

STATEMENT

March 20, 2018

RON RENSBERGER
707 E 41st Street
Suite 226
Sioux Falls, SD 57105

BROKER #: 076
BRANCH:

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/22/2018	#00036343490 O'BRIEN,	IVY ENERGY A	200.00	10.00	95.0	0.00	9.50	0.00	9.50
01/22/2018	#00032388137 CHRISTOPHER,	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
01/22/2018	#00032388137 CHRISTOPHER,	IVY FDS INC HIGH INCOME FD CL A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
01/22/2018	#00036343490 O'BRIEN,	IVY FDS INC HIGH INCOME FD CL A	200.00	10.00	95.0	0.00	9.50	0.00	9.50
01/22/2018	#00036343490 O'BRIEN,	IVY FDS INC LARGE CAP GROWTH FD CL	200.00	10.00	95.0	0.00	9.50	0.00	9.50
01/22/2018	#00032388137 CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
01/22/2018	#00035578623 CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.00	0.67
01/22/2018	#00035578629 CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.00	0.67
01/22/2018	#00036343490 O'BRIEN,	IVY FDS INC SCIENCE & TECH FD CL A	200.00	10.00	95.0	0.00	9.50	0.00	9.50
02/01/2018	#00036307156 METZJOAN	INVESTED AGGRESSIVE PORT A	100.00	2.00	95.0	0.00	1.90	0.00	1.90
02/01/2018	#00035543848 SPENNY, DAVID	INVESTED CONSERVATIVE PORTFOLIO A	25.00	0.50	95.0	0.00	0.48	0.00	0.48
02/01/2018	#00036218685 METZKELL	INVESTED GROWTH PORTFOLIO A	100.00	2.00	95.0	0.00	1.90	0.00	1.90
02/01/2018	#00032423005 SMOOK, CURTIS	IVY EUROPEAN OPPORTUNITIES A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
02/01/2018	#00032423005 SMOOK, CURTIS	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
02/01/2018	#00036143265 HOGUETRACL	IVY FDS INC ASSET STRATEGY FD CL A	300.00	12.00	95.0	0.00	11.40	0.00	11.40
02/01/2018	#00035631725 WEBER, JESSICA	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
02/01/2018	#00035746714 STACEY, JON	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
02/01/2018	#00032418293 SMOOK, MARY	IVY FDS INC HIGH INCOME FD CL A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
02/01/2018	#00032423005 SMOOK, CURTIS	IVY FDS INC HIGH INCOME FD CL A	75.00	3.00	95.0	0.00	2.85	0.00	2.85
02/01/2018	#00036143265 HOGUETRACL	IVY FDS INC HIGH INCOME FD CL A	125.00	5.00	95.0	0.00	4.75	0.00	4.75
02/01/2018	#00032374436 RENTSCHLER,	IVY FDS INC LARGE CAP GROWTH FD CL	50.00	2.50	95.0	0.00	2.38	0.00	2.38
02/01/2018	#00032418293 SMOOK, MARY	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
02/01/2018	#00032423005 SMOOK, CURTIS	IVY FDS INC SCIENCE & TECH FD CL A	75.00	3.00	95.0	0.00	2.85	0.00	2.85
02/01/2018	#00036143265 HOGUETRACL	IVY FDS INC SCIENCE & TECH FD CL A	425.00	17.00	95.0	0.00	16.15	0.00	16.15
02/01/2018	#00036187511 BEANEDALEF	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.25	95.0	0.00	2.14	0.00	2.14
02/01/2018	#00032374436 RENTSCHLER,	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
02/01/2018	#00032375891 SPENNY, DAVID	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	0.00	1.19
02/01/2018	#00032384266 SKORCZEWSKI,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	0.00	1.19

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/01/2018	#00035631725	WEBER, JESSICA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
02/01/2018	#00032423005	SMOOK, CURTIS	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.00	95.0	0.00	1.90	1.90
02/01/2018	#00036143265	HOGUETRAC TL	IVY REAL ESTATE SECURITIES A	75.00	3.00	95.0	0.00	2.85	2.85
02/01/2018	#00036143265	HOGUETRAC TL	IVY SMALL CAP GROWTH A	75.00	3.00	95.0	0.00	2.85	2.85
02/02/2018	#00035485711	WANG, DAVID	INVESTED GROWTH PORTFOLIO A	480.00	4.80	95.0	0.00	4.56	4.56
02/02/2018	#00036027743	TRAUT, TODD	IVY FDS INC ASSET STRATEGY FD CL A	25.00	1.25	95.0	0.00	1.19	1.19
02/05/2018	#00086459796	GORHAM,	AMERICAN BALANCED FUND INC CLASS A	100.00	3.75	95.0	0.00	3.56	3.56
02/05/2018	#00086459796	GORHAM,	BOND FUND OF AMERICA INC CL A	75.00	2.25	95.0	0.00	2.14	2.14
02/05/2018	#00086459796	GORHAM,	CAPITAL WORLD GROWTH & INCOME FUND	100.00	3.75	95.0	0.00	3.56	3.56
02/05/2018	#00086459796	GORHAM,	GROWTH FUND AMERICA INC CLASS A	50.00	1.88	95.0	0.00	1.79	1.79
02/05/2018	#00035579226	BAKER, JANIE	INVESTED CONSERVATIVE PORTFOLIO A	25.00	0.50	95.0	0.00	0.48	0.48
02/05/2018	#00036140009	BAKER, JANIE	INVESTED GROWTH PORTFOLIO A	50.00	1.00	95.0	0.00	0.95	0.95
02/05/2018	#00035579219	BAKER, JANIE	INVESTED INCOME	25.00	0.50	95.0	0.00	0.48	0.48
02/05/2018	#00035998467	GORHAM,	IVY BALANCED A	100.00	1.20	95.0	0.00	1.14	1.14
02/05/2018	#00036208319	TAYLOR, NICK	IVY BALANCED A	76.00	3.04	95.0	0.00	2.89	2.89
02/05/2018	#00036208332	TAYLOCALI	IVY BALANCED A	76.00	3.04	95.0	0.00	2.89	2.89
02/05/2018	#00036098588	LEVEDCRYS	IVY BALANCED A	50.00	2.50	95.0	0.00	2.38	2.38
02/05/2018	#00032398033	ALLEN, KENNETH	IVY CORE EQUITY FUND CLASS A	50.00	2.50	95.0	0.00	2.38	2.38
02/05/2018	#00032398031	KEMP, DANIEL	IVY CUNDILL GLOBAL VALUE A	82.50	0.83	95.0	0.00	0.79	0.79
02/05/2018	#00032398032	KEMP, MICHELE	IVY CUNDILL GLOBAL VALUE A	82.50	0.83	95.0	0.00	0.79	0.79
02/05/2018	#00032398031	KEMP, DANIEL	IVY EUROPEAN OPPORTUNITIES A	48.75	0.49	95.0	0.00	0.47	0.47
02/05/2018	#00032398032	KEMP, MICHELE	IVY EUROPEAN OPPORTUNITIES A	48.75	0.49	95.0	0.00	0.47	0.47
02/05/2018	#00032398031	KEMP, DANIEL	IVY FD BD FD CL A	82.50	0.83	95.0	0.00	0.79	0.79
02/05/2018	#00032398033	ALLEN, KENNETH	IVY FD BD FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
02/05/2018	#00032398032	KEMP, MICHELE	IVY FD BD FD CL A	82.50	0.83	95.0	0.00	0.79	0.79
02/05/2018	#00032398031	KEMP, DANIEL	IVY FDS INC ASSET STRATEGY FD CL A	101.25	1.01	95.0	0.00	0.96	0.96
02/05/2018	#00035998467	GORHAM,	IVY FDS INC ASSET STRATEGY FD CL A	200.00	2.40	95.0	0.00	2.28	2.28
02/05/2018	#00036098588	LEVEDCRYS	IVY FDS INC ASSET STRATEGY FD CL A	150.00	7.50	95.0	0.00	7.13	7.13
02/05/2018	#00032398032	KEMP, MICHELE	IVY FDS INC ASSET STRATEGY FD CL A	101.25	1.01	95.0	0.00	0.96	0.96
02/05/2018	#00032398031	KEMP, DANIEL	IVY FDS INC HIGH INCOME FD CL A	71.25	0.71	95.0	0.00	0.67	0.67
02/05/2018	#00036208319	TAYLOR, NICK	IVY FDS INC HIGH INCOME FD CL A	78.00	3.12	95.0	0.00	2.96	2.96
02/05/2018	#00036208332	TAYLOCALI	IVY FDS INC HIGH INCOME FD CL A	78.00	3.12	95.0	0.00	2.96	2.96
02/05/2018	#00032398032	KEMP, MICHELE	IVY FDS INC HIGH INCOME FD CL A	26.25	0.26	95.0	0.00	0.25	0.25
02/05/2018	#00032398031	KEMP, DANIEL	IVY FDS INC LARGE CAP GROWTH FD CL	48.75	0.49	95.0	0.00	0.47	0.47
02/05/2018	#00036208319	TAYLOR, NICK	IVY FDS INC LARGE CAP GROWTH FD CL	76.00	3.04	95.0	0.00	2.89	2.89
02/05/2018	#00036208332	TAYLOCALI	IVY FDS INC LARGE CAP GROWTH FD CL	76.00	3.04	95.0	0.00	2.89	2.89

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<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/05/2018	#00032398032	KEMP, MICHELE	IVY FDS INC LARGE CAP GROWTH FD CL	48.75	0.49	95.0	0.00	0.47	0.47
02/05/2018	#00035998467	GORHAM,	IVY FDS INC SCIENCE & TECH FD CL A	200.00	2.40	95.0	0.00	2.28	2.28
02/05/2018	#00032387551	ROBERTSON,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.67
02/05/2018	#00036208319	TAYLOR, NICK	IVY FDS INC SCIENCE & TECH FD CL A	76.00	3.04	95.0	0.00	2.89	2.89
02/05/2018	#00036208332	TAYLOCALI	IVY FDS INC SCIENCE & TECH FD CL A	76.00	3.04	95.0	0.00	2.89	2.89
02/05/2018	#00032398033	ALLEN, KENNETH	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
02/05/2018	#00036098588	LEVEDCRYS	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
02/05/2018	#00036264275	WIPFCAROK	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	4.75
02/05/2018	#00032387551	ROBERTSON,	IVY GLOBAL NATURAL RESOURCES FUND-C	25.00	0.70	95.0	0.00	0.67	0.67
02/05/2018	#00036208319	TAYLOR, NICK	IVY GLOBAL NATURAL RESOURCES FUND-C	76.00	3.04	95.0	0.00	2.89	2.89
02/05/2018	#00036208332	TAYLOCALI	IVY GLOBAL NATURAL RESOURCES FUND-C	76.00	3.04	95.0	0.00	2.89	2.89
02/05/2018	#00032398033	ALLEN, KENNETH	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.50	95.0	0.00	2.38	2.38
02/05/2018	#00032398031	KEMP, DANIEL	IVY MID CAP GROWTH FUND CLASS A	78.75	0.79	95.0	0.00	0.75	0.75
02/05/2018	#00032398032	KEMP, MICHELE	IVY MID CAP GROWTH FUND CLASS A	33.75	0.34	95.0	0.00	0.32	0.32
02/05/2018	#00032398032	KEMP, MICHELE	IVY PACIFIC OPPORTUNITIES A	90.00	0.90	95.0	0.00	0.86	0.86
02/05/2018	#00036208319	TAYLOR, NICK	IVY REAL ESTATE SECURITIES A	76.00	3.04	95.0	0.00	2.89	2.89
02/05/2018	#00036208332	TAYLOCALI	IVY REAL ESTATE SECURITIES A	76.00	3.04	95.0	0.00	2.89	2.89
02/05/2018	#00032398031	KEMP, DANIEL	IVY SMALL CAP VALUE A	26.25	0.26	95.0	0.00	0.25	0.25
02/05/2018	#00032398032	KEMP, MICHELE	IVY SMALL CAP VALUE A	26.25	0.26	95.0	0.00	0.25	0.25
02/05/2018	#00086459796	GORHAM,	NEW WORLD FUND INC NEW	100.00	3.75	95.0	0.00	3.56	3.56
02/07/2018	#00035578513	CHRISTOPHER,	IVY FDS INC ASSET STRATEGY FD CL A	185.97	5.21	95.0	0.00	4.95	4.95
02/07/2018	#00035578513	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	185.97	5.21	95.0	0.00	4.95	4.95
02/07/2018	#00035578513	CHRISTOPHER,	IVY REAL ESTATE SECURITIES A	185.98	5.21	95.0	0.00	4.95	4.95
02/09/2018	#00035492585	EMERSON, SHAWN	IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
02/09/2018	#00035492585	EMERSON, SHAWN	IVY FDS INC SCIENCE & TECH FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
02/09/2018	#00035492585	EMERSON, SHAWN	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	1.00	95.0	0.00	0.95	0.95
02/12/2018	#00035378852	VARIOUS	IVY CORE EQUITY FUND CLASS A	25.00	1.00	95.0	0.00	0.95	0.95
02/12/2018	#00032421732	BEANEDALEF	IVY FDS INC ASSET STRATEGY FD CL A	200.00	9.00	95.0	0.00	8.55	8.55
02/12/2018	#00035378852	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.00	95.0	0.00	1.90	1.90
02/15/2018	#00036325146	TELLI, TERRL	IVY BALANCED A	1,000.00	20.00	95.0	0.00	19.00	19.00
02/15/2018	#00036325146	TELLI, TERRL	IVY ENERGY A	2,000.00	40.00	95.0	0.00	38.00	38.00
02/15/2018	#00036325146	TELLI, TERRL	IVY EUROPEAN OPPORTUNITIES A	1,000.00	20.00	95.0	0.00	19.00	19.00
02/15/2018	#00036325146	TELLI, TERRL	IVY FD BD FD CL A	2,000.00	40.00	95.0	0.00	38.00	38.00
02/15/2018	#00036150657	JOHNSON, JOSEPH	IVY FDS INC ASSET STRATEGY FD CL A	100.00	4.50	95.0	0.00	4.28	4.28
02/15/2018	#00032411317	ANDREWS,	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
02/15/2018	#00035502928	ANDREWS, SARA	IVY FDS INC ASSET STRATEGY FD CL A	75.00	3.75	95.0	0.00	3.56	3.56

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/15/2018	#00036077173	BACKHROGEL	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
02/15/2018	#00036325146	TELLI, TERRL	IVY FDS INC HIGH INCOME FD CL A	2,000.00	40.00	95.0	0.00	38.00	38.00
02/15/2018	#00036150657	JOHNSON, JOSEPH	IVY FDS INC HIGH INCOME FD CL A	79.00	3.56	95.0	0.00	3.38	3.38
02/15/2018	#00036325146	TELLI, TERRL	IVY FDS INC LARGE CAP GROWTH FD CL	3,000.00	60.00	95.0	0.00	57.00	57.00
02/15/2018	#00036325146	TELLI, TERRL	IVY FDS INC SCIENCE & TECH FD CL A	2,943.22	58.86	95.0	0.00	55.92	55.92
02/15/2018	#00032408864	DEMPSEY, DARLA	IVY FDS INC SCIENCE & TECH FD CL A	75.00	2.10	95.0	0.00	2.00	2.00
02/15/2018	#00036150657	JOHNSON, JOSEPH	IVY FDS INC SCIENCE & TECH FD CL A	100.00	4.50	95.0	0.00	4.28	4.28
02/15/2018	#00035864350	HALL, JASON	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
02/15/2018	#00036149962	SPENNY, DAVID	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
02/15/2018	#00036276384	HOITEN, LAURA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
02/15/2018	#00036306920	BRYAN, CLAIN	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	4.75
02/15/2018	#00036306920	BRYAN, CLAIN	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	4.75
02/15/2018	#00036326947	EMERSOLIV	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
02/15/2018	#00036325146	TELLI, TERRL	IVY GLOBAL NATURAL RESOURCES FUND-C	2,000.00	40.00	95.0	0.00	38.00	38.00
02/15/2018	#00036325146	TELLI, TERRL	IVY INTERNATIONAL CORE EQUITY A	1,000.00	20.00	95.0	0.00	19.00	19.00
02/15/2018	#00036325146	TELLI, TERRL	IVY REAL ESTATE SECURITIES A	3,000.00	60.00	95.0	0.00	57.00	57.00
02/15/2018	#00036150657	JOHNSON, JOSEPH	IVY REAL ESTATE SECURITIES A	100.00	4.50	95.0	0.00	4.28	4.28
02/15/2018	#00035864350	HALL, JASON	IVY REAL ESTATE SECURITIES A	50.00	2.50	95.0	0.00	2.38	2.38
02/15/2018	#00036149954	SPENNIDA, L	IVY REAL ESTATE SECURITIES A	50.00	2.50	95.0	0.00	2.38	2.38
02/15/2018	#00036150657	JOHNSON, JOSEPH	IVY SMALL CAP GROWTH A	79.00	3.56	95.0	0.00	3.38	3.38
02/16/2018	#00036253281	REEKERS, DONNA	IVY FDS INC ASSET STRATEGY FD CL A	92.32	4.62	95.0	0.00	4.39	4.39
02/16/2018	#00036253281	REEKERS, DONNA	IVY FDS INC ASSET STRATEGY FD CL A	92.32	4.62	95.0	0.00	4.39	4.39
02/16/2018	#00036193565	EVANS, LARRL	IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
02/16/2018	#00036247691	OKUWEZAKA	IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
02/16/2018	#00036247691	OKUWEZAKA	IVY FDS INC SCIENCE & TECH FD CL A	47.52	2.38	95.0	0.00	2.26	2.26
02/20/2018	#00035763248	GLOVER, JESSICA	IVY ASSET STRATEGY E	150.00	3.00	95.0	0.00	2.85	2.85
02/20/2018	#00032396121	COOPER TRACY,	IVY BALANCED A	200.00	5.60	95.0	0.00	5.32	5.32
02/20/2018	#00036077197	LYKKEN, ARLO	IVY BALANCED A	100.00	2.80	95.0	0.00	2.66	2.66
02/20/2018	#00035633441	KOCOUREK,	IVY BALANCED A	60.00	3.00	95.0	0.00	2.85	2.85
02/20/2018	#00032396121	COOPER TRACY,	IVY ENERGY A	100.00	2.80	95.0	0.00	2.66	2.66
02/20/2018	#00036343490	O'BRIEN,	IVY ENERGY A	200.00	10.00	95.0	0.00	9.50	9.50
02/20/2018	#00032388137	CHRISTOPHER,	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
02/20/2018	#00032396121	COOPER TRACY,	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
02/20/2018	#00036077197	LYKKEN, ARLO	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
02/20/2018	#00035633441	KOCOUREK,	IVY FDS INC ASSET STRATEGY FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
02/20/2018	#00035202865	GLOVER, JESSICA	IVY FDS INC ASSET STRATEGY FD CL A	50.00	1.40	95.0	0.00	1.33	1.33

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/20/2018	#00032388137	CHRISTOPHER, IVY FDS INC HIGH INCOME FD CL A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
02/20/2018	#00035436381	GLOVER, KEITH IVY FDS INC HIGH INCOME FD CL A	50.00	1.40	95.0	0.00	1.33	0.00	1.33
02/20/2018	#00036343490	O'BRIEN, IVY FDS INC HIGH INCOME FD CL A	200.00	10.00	95.0	0.00	9.50	0.00	9.50
02/20/2018	#00035202865	GLOVER, JESSICA IVY FDS INC HIGH INCOME FD CL A	50.00	1.40	95.0	0.00	1.33	0.00	1.33
02/20/2018	#00032396121	COOPER TRACY, IVY FDS INC LARGE CAP GROWTH FD CL	200.00	5.60	95.0	0.00	5.32	0.00	5.32
02/20/2018	#00036343490	O'BRIEN, IVY FDS INC LARGE CAP GROWTH FD CL	200.00	10.00	95.0	0.00	9.50	0.00	9.50
02/20/2018	#00032388137	CHRISTOPHER, IVY FDS INC SCIENCE & TECH FD CL A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
02/20/2018	#00032396121	COOPER TRACY, IVY FDS INC SCIENCE & TECH FD CL A	200.00	5.60	95.0	0.00	5.32	0.00	5.32
02/20/2018	#00035436381	GLOVER, KEITH IVY FDS INC SCIENCE & TECH FD CL A	75.00	2.10	95.0	0.00	2.00	0.00	2.00
02/20/2018	#00035578623	CHRISTOPHER, IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.00	0.67
02/20/2018	#00035578629	CHRISTOPHER, IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.00	0.67
02/20/2018	#00032410232	CHRISTOPHER, IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	0.00	1.19
02/20/2018	#00035633441	KOCOUREK, IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	0.00	2.85
02/20/2018	#00036343490	O'BRIEN, IVY FDS INC SCIENCE & TECH FD CL A	200.00	10.00	95.0	0.00	9.50	0.00	9.50
02/20/2018	#00035202865	GLOVER, JESSICA IVY FDS INC SCIENCE & TECH FD CL A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
02/20/2018	#00036077197	LYKKEN, ARLO IVY GLOBAL NATURAL RESOURCES FUND-C	100.00	2.80	95.0	0.00	2.66	0.00	2.66
02/20/2018	#00032396121	COOPER TRACY, IVY INTERNATIONAL CORE EQUITY A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
02/20/2018	#00035436381	GLOVER, KEITH IVY MID CAP GROWTH FUND CLASS A	92.00	2.58	95.0	0.00	2.45	0.00	2.45
02/20/2018	#00035202865	GLOVER, JESSICA IVY MID CAP GROWTH FUND CLASS A	158.00	4.42	95.0	0.00	4.20	0.00	4.20
02/20/2018	#00032396121	COOPER TRACY, IVY REAL ESTATE SECURITIES A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
02/20/2018	#00036077197	LYKKEN, ARLO IVY REAL ESTATE SECURITIES A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
02/20/2018	#00036130945	CHRIS, JANE IVY REAL ESTATE SECURITIES A	80.00	2.24	95.0	0.00	2.13	0.00	2.13
02/21/2018	#00032408419	DEMPSEY, STEVEN IVY CORE EQUITY FUND CLASS A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
02/21/2018	#00032408419	DEMPSEY, STEVEN IVY CUNDILL GLOBAL VALUE A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
02/21/2018	#00032408419	DEMPSEY, STEVEN IVY FDS INC HIGH INCOME FD CL A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
02/21/2018	#00032408419	DEMPSEY, STEVEN IVY FDS INC SCIENCE & TECH FD CL A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
02/23/2018	#00035492585	EMERSON, SHAWN IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.00	0.95
02/23/2018	#00035492585	EMERSON, SHAWN IVY FDS INC SCIENCE & TECH FD CL A	50.00	1.00	95.0	0.00	0.95	0.00	0.95
02/23/2018	#00035492585	EMERSON, SHAWN IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	1.00	95.0	0.00	0.95	0.00	0.95
02/26/2018	#00032397882	REEKERS, DONNA IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
02/27/2018	#00035572064	KEMP, DANIEL IVY CUNDILL GLOBAL VALUE A	500.00	5.00	95.0	0.00	4.75	0.00	4.75
02/27/2018	#00035572064	KEMP, DANIEL IVY FD BD FD CL A	200.00	2.00	95.0	0.00	1.90	0.00	1.90
02/27/2018	#00035572064	KEMP, DANIEL IVY FDS INC ASSET STRATEGY FD CL A	400.00	4.00	95.0	0.00	3.80	0.00	3.80
02/27/2018	#00035572064	KEMP, DANIEL IVY FDS INC HIGH INCOME FD CL A	200.00	2.00	95.0	0.00	1.90	0.00	1.90
02/27/2018	#00035572064	KEMP, DANIEL IVY FDS INC LARGE CAP GROWTH FD CL	500.00	5.00	95.0	0.00	4.75	0.00	4.75
02/27/2018	#00035572064	KEMP, DANIEL IVY FDS INC SCIENCE & TECH FD CL A	200.00	2.00	95.0	0.00	1.90	0.00	1.90

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/27/2018	#00035649857	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
02/27/2018	#00036192778	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	100.00	4.00	95.0	0.00	3.80	0.00	3.80
02/28/2018	#00035492588	EMERSON, BECKY	IVY CORE EQUITY FUND CLASS A	125.00	2.50	95.0	0.00	2.38	0.00	2.38
02/28/2018	#00035492588	EMERSON, BECKY	IVY EUROPEAN OPPORTUNITIES A	25.00	0.50	95.0	0.00	0.48	0.00	0.48
02/28/2018	#00035492588	EMERSON, BECKY	IVY FDS INC ASSET STRATEGY FD CL A	110.00	2.20	95.0	0.00	2.09	0.00	2.09
02/28/2018	#00035492588	EMERSON, BECKY	IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.00	0.95
02/28/2018	#00035492588	EMERSON, BECKY	IVY FDS INC SCIENCE & TECH FD CL A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
02/28/2018	#00035492588	EMERSON, BECKY	IVY GLOBAL NATURAL RESOURCES FUND-C	125.00	2.50	95.0	0.00	2.38	0.00	2.38
02/28/2018	#00032370316	CLINTON, PAUL	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.50	95.0	0.00	2.38	0.00	2.38
* MUTUAL FUNDS SUBTOTAL *				\$36,507.30	\$907.71	\$0.00	\$862.60	\$0.00	\$862.60	
*** BROKER TRANSACTIONS TOTAL ***				\$36,507.30	\$907.71	\$0.00	\$862.60	\$0.00	\$862.60	

ADJUSTMENTS

03/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
03/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-49.99
DEBIT BALANCE		
*** ADJUSTMENTS TOTAL ***		\$-548.99

BROKER COMMISSION TOTALS

Broker Transactions	\$862.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-548.99
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount \$313.61