



COMMISSION STATEMENT

January 08, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/04/2018	***-**-80FB - CURD, NANCY	LIFEMARK INVESTMENT ADVISORY FEE	25.36	25.36	0.00	25.36	70.0	17.75
	ACCOUNT #: 925344088	BUY 0 Units @0.0000						
		* RIA SUBTOTAL *	\$25.36	\$25.36	\$0.00	\$25.36		\$17.75
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/02/2018	***-**-37TR - SCHROEDER TRUST,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.29	0.00	0.29	70.0	0.20
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
01/02/2018	***-**-514I - BERGIN, JOSEPH	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
01/02/2018	***-**-32IO - VASQUEZ, CHARLES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR006530	BUY 1 Units @0.0000						
01/02/2018	***-**-24IO - DEWITT, TRACY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
01/02/2018	***-**-29IO - NORFLEET,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: LSR010116	BUY 1 Units @0.0000						
01/02/2018	***-**-76IO - SMOAKE, DAVID	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.93	0.00	0.93	70.0	0.65
	ACCOUNT #: LSR011651	BUY 1 Units @0.0000						
01/02/2018	***-**-703I - LEGROS, DAVID	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR011660	BUY 1 Units @0.0000						
01/02/2018	***-**-3603 - BACA, RACHEL	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105074	BUY 1 Units @0.0000						
01/02/2018	***-**-0724 - STROMMESEN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105090	BUY 1 Units @0.0000						
01/02/2018	***-**-1788 - STROMMESEN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	70.0	0.06
	ACCOUNT #: LSR105104	BUY 1 Units @0.0000						
01/02/2018	***-**-0737 - SCHROEDER, JACK	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.52	0.00	0.52	70.0	0.36
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
01/02/2018	***-**-1184 - SPORRER, SHIRLEY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
01/02/2018	***-**-184I - SPORRER, SHIRLEY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
01/02/2018	***-**-0400 - SPORRER, JERALD	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/02/2018	***-**-00IR - SPORRER, JERALD	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
01/02/2018	***-**-5594 - BISHOP, SHANNON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.12	0.00	0.12	70.0	0.08
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
01/02/2018	***-**-0437 - ALLEN, WAYNE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
01/02/2018	***-**-9761 - MCCARTHY, NANCY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.11	0.00	0.11	70.0	0.08
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
01/02/2018	***-**-92IO - SAUDER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.16	0.00	0.16	70.0	0.11
	ACCOUNT #: LSR105538	BUY 1 Units @0.0000						
01/02/2018	***-**-0376 - ALLEN, MISTY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.08	0.00	0.08	70.0	0.06
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
01/02/2018	***-**-568J - MARSHALL, BRUCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.79	0.00	0.79	70.0	0.55
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
01/02/2018	***-**-0383 - MARSHALL,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.20	0.00	0.20	70.0	0.14
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
01/02/2018	***-**-568I - MARSHALL, BRUCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.36	0.00	1.36	70.0	0.95
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
01/02/2018	***-**-5712 - RAMSEY, GUSSIE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	3.54	0.00	3.54	70.0	2.48
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
01/02/2018	***-**-0237 - RAMSEY, WILLIAM	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR105937	BUY 1 Units @0.0000						
01/02/2018	***-**-2425 - BRIAN N CALNAN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.52	0.00	0.52	70.0	0.36
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
01/02/2018	***-**-388I - SHIPMAN, M	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.08	0.00	0.08	70.0	0.06
	ACCOUNT #: LSR108812	BUY 1 Units @0.0000						
01/02/2018	***-**-184I - SPORRER, SHIRLEY	NATIONWIDE INVESTOR DESTIN CONSERV	0.00	3.33	0.00	3.33	70.0	2.33
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
01/02/2018	***-**-0400 - SPORRER, JERALD	NATIONWIDE INVESTOR DESTIN CONSERV	0.00	0.97	0.00	0.97	70.0	0.68
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
01/03/2018	***-**-94IR - HALL-SPLENDORIO,	PIONEER FUND A	0.00	1.13	0.00	1.13	70.0	0.79
	ACCOUNT #: 06202691947	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
01/03/2018	***-**-77IR - NAVARRO, CELINA	PIONEER INDEPENDENCE FUND A	0.00	42.68	0.00	42.68	70.0	29.88
	ACCOUNT #: 06206005823	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$57.20	\$0.00	\$57.20		\$40.03
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/29/2017	***-**-10EX - EVERETT, WIDNEY	HARTFORD LIFE CUSIP 416589562-NFS	0.00	5.26	0.00	5.26	70.0	3.68
	ACCOUNT #: 712777976	BUY 0 Units @0.0000						
12/30/2017	***-**-151I - SAUDER, EUGENE	NATIONWIDE CUSIP 638662700-NSCC	0.00	33.59	0.00	33.59	70.0	23.51
	ACCOUNT #: 013403982	BUY 0 Units @0.0000						
12/30/2017	***-**-156I - HIGHTREE, SANDRA	NATIONWIDE CUSIP 638942565-NSCC	0.00	37.25	0.00	37.25	70.0	26.08
	ACCOUNT #: 016003194	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$76.10	\$0.00	\$76.10		\$53.27
		*** BROKER TRANSACTIONS TOTAL ***	\$25.36	\$158.66	\$0.00	\$158.66		\$111.05

BROKER COMMISSION TOTALS

Broker Transactions	\$111.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$111.05
Year-to-date Earnings	\$357.89