

**COMMISSION STATEMENT****April 30, 2018**

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
 11617 Coldwater Road
 Suite 103
 Fort Wayne, IN 46845

BROKER #: L131
 BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^03/31/2018	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	52,717.75	44.77	0.00	44.77	90.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$52,717.75	\$44.77	\$0.00	\$44.77		\$0.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
^04/13/2018	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	50.00	2.38	0.00	2.38	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.50	0.00	0.50	90.0	0.00
^04/20/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^04/20/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	90.0	0.00
* 529 PLANS SUBTOTAL *			\$150.00	\$5.76	\$0.00	\$5.76		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^04/17/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	25.00	0.00	25.00	90.0	0.00
^04/16/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	10.00	0.00	10.00	90.0	0.00
^04/17/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	25.00	0.00	25.00	90.0	0.00
^04/20/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^ / /	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	0.00	1.63	0.00	1.63	90.0	0.00
^04/20/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.39	0.00	0.39	90.0	0.00
^04/20/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	1.83	0.00	1.83	90.0	0.00
^04/20/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^04/20/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,305.00	\$66.50	\$0.00	\$66.50		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^ / /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	4.93	0.00	4.93	90.0	0.00
^ / /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.56	0.00	0.56	90.0	0.00
^ / /	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	1.44	0.00	1.44	90.0	0.00
^ / /	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	0.00	0.41	0.00	0.41	90.0	0.00
^ / /	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	0.00	1.71	0.00	1.71	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^ / /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	3.92	0.00	3.92	90.0	0.00
^ / /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	2.19	0.00	2.19	90.0	0.00
^ / /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.11	0.00	0.11	90.0	0.00
^ / /	LINN, ROSANNA	INA 2034 ENROLLMENT PORT CLASS A	0.00	0.02	0.00	0.02	90.0	0.00
^ / /	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	2.63	0.00	2.63	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	21.32	0.00	21.32	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	21.38	0.00	21.38	90.0	0.00
^ / /	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	1.24	0.00	1.24	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	1.68	0.00	1.68	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	1.86	0.00	1.86	90.0	0.00
^ / /	CRAWFORD, JOHN	INA COLLEGE PORT CLASS A	0.00	0.03	0.00	0.03	90.0	0.00
^ / /	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	0.00	0.59	0.00	0.59	90.0	0.00
^ / /	HORNER, DOUGLAS	INA COLLEGE PORT CLASS A	0.00	0.31	0.00	0.31	90.0	0.00
^ / /	BAILEY, LAURIE	INA COLLEGE PORT CLASS A	0.00	4.85	0.00	4.85	90.0	0.00
^ / /	BAILEY, LAURIE	INA COLLEGE PORT CLASS A	0.00	3.17	0.00	3.17	90.0	0.00
^ / /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	3.59	0.00	3.59	90.0	0.00
^ / /	HAMM, GAIL	INA COLLEGE PORT CLASS A	0.00	2.97	0.00	2.97	90.0	0.00
^ / /	LINN, JASON	INA EMERGINING MARKETS EQUITY INDEX	0.00	0.13	0.00	0.13	90.0	0.00
^ / /	LINN, JASON	INA INTER EQTY INDEX PORTF A	0.00	0.52	0.00	0.52	90.0	0.00
^ / /	LINN, JASON	INA LG CAP INDEX PORT CLASS A	0.00	3.30	0.00	3.30	90.0	0.00
^ / /	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	0.00	1.96	0.00	1.96	90.0	0.00
^ / /	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	0.00	1.96	0.00	1.96	90.0	0.00
^ / /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	3.06	0.00	3.06	90.0	0.00
^ / /	LINN, ROSANNA	INA TIPS INDEX PORT CLASS A	0.00	0.78	0.00	0.78	90.0	0.00
^ / /	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	0.00	4.86	0.00	4.86	90.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$97.48	\$0.00	\$97.48		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$54,172.75	\$214.51	\$0.00	\$214.51		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10</u>								
04/13/2018	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	50.00	0.71	0.00	0.71	90.0	0.64
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.15	0.00	0.15	90.0	0.14
04/20/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
04/20/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.71	0.00	0.71	90.0	0.64
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$150.00	\$1.72	\$0.00	\$1.72		\$1.56
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
04/17/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	7.50	0.00	7.50	90.0	6.75
04/16/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.00	0.00	3.00	90.0	2.70
04/17/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	7.50	0.00	7.50	90.0	6.75
/ /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	1.48	0.00	1.48	90.0	1.33
/ /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.17	0.00	0.17	90.0	0.15
04/20/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
/ /	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.43	0.00	0.43	90.0	0.39
03/31/2018	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	52,717.75	13.43	0.00	13.43	90.0	12.09
/ /	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	0.00	0.12	0.00	0.12	90.0	0.11
/ /	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	0.00	0.51	0.00	0.51	90.0	0.46
/ /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	1.18	0.00	1.18	90.0	1.06
/ /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	0.66	0.00	0.66	90.0	0.59
/ /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.03	0.00	0.03	90.0	0.03
/ /	LINN, ROSANNA	INA 2034 ENROLLMENT PORT CLASS A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.79	0.00	0.79	90.0	0.71
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	6.40	0.00	6.40	90.0	5.76
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	6.41	0.00	6.41	90.0	5.77
/ /	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.37	0.00	0.37	90.0	0.33
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.50	0.00	0.50	90.0	0.45
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.56	0.00	0.56	90.0	0.50
/ /	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	0.00	0.49	0.00	0.49	90.0	0.44
/ /	CRAWFORD, JOHN	INA COLLEGE PORT CLASS A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	0.00	0.18	0.00	0.18	90.0	0.16
/ /	HORNER, DOUGLAS	INA COLLEGE PORT CLASS A	0.00	0.09	0.00	0.09	90.0	0.08
/ /	BAILEY, LAURIE	INA COLLEGE PORT CLASS A	0.00	1.46	0.00	1.46	90.0	1.31
/ /	BAILEY, LAURIE	INA COLLEGE PORT CLASS A	0.00	0.95	0.00	0.95	90.0	0.86
/ /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	1.08	0.00	1.08	90.0	0.97

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
/ /	HAMM, GAIL	INA COLLEGE PORT CLASS A	0.00	0.89	0.00	0.89	90.0	0.80
/ /	LINN, JASON	INA EMERGINING MARKETS EQUITY INDEX	0.00	0.04	0.00	0.04	90.0	0.04
/ /	LINN, JASON	INA INTER EQTY INDEX PORTF A	0.00	0.16	0.00	0.16	90.0	0.14
04/20/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.12	0.00	0.12	90.0	0.11
/ /	LINN, JASON	INA LG CAP INDEX PORT CLASS A	0.00	0.99	0.00	0.99	90.0	0.89
/ /	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	0.00	0.59	0.00	0.59	90.0	0.53
/ /	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	0.00	0.59	0.00	0.59	90.0	0.53
04/20/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.55	0.00	0.55	90.0	0.50
04/20/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
04/20/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
/ /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	0.92	0.00	0.92	90.0	0.83
/ /	LINN, ROSANNA	INA TIPS INDEX PORT CLASS A	0.00	0.23	0.00	0.23	90.0	0.21
/ /	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	0.00	1.46	0.00	1.46	90.0	1.31
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$54,022.75	\$62.65	\$0.00	\$62.65		\$56.37
*** SPLIT TRANSACTIONS TOTAL ***			\$54,172.75	\$64.37	\$0.00	\$64.37		\$57.93

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$57.93
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$44.66
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$102.59
Year-to-date Gross	\$563.24
Year-to-date Earnings	\$495.43

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