

# STATEMENT

## July 20, 2018

CYNTHIA HERRON  
2321 Travis Lane  
#608  
Overland Park, KS 66209-

BROKER #: 227  
BRANCH: LA BRANCH

### COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
06/05/2018	#00085515885	GUITY, NIQUICHE COLLEGE 2024 FUND-529C	50.00	0.50	92.0	0.00	0.46	0.00	0.46
06/05/2018	#00085515930	GUITYORBIL COLLEGE 2027 FUND-529C	50.00	0.50	92.0	0.00	0.46	0.00	0.46
06/05/2018	#00088467617	GUITY, NIQUICHE COLLEGE 2030 FUND-529A	50.00	1.75	92.0	0.00	1.61	0.00	1.61
06/05/2018	# HALL, ERIN	MFS EQUITY OPPORTUNITIES FD CL A	65.00	3.25	92.0	0.00	2.99	20.00	-17.01
06/05/2018	#00085978086	RARDIN, JOHN THE BOND FUND OF AMERICA-529A	50.00	1.50	92.0	0.00	1.38	0.00	1.38
06/06/2018	#005226561946	FREY, RUTH JOHN HANCOCK FRE	0.00	31.86	92.0	0.00	29.31	0.00	29.31
06/06/2018	#005226562026	FREY, RUTH JOHN HANCOCK FRE	0.00	26.90	92.0	0.00	24.75	0.00	24.75
06/08/2018	#00088576061	DAKEMICHEL 2055 TARGET DATE RETIREMENT FUND	32.15	0.64	92.0	0.00	0.59	0.00	0.59
06/08/2018	#00088576061	DAKEMICHEL 2055 TARGET DATE RETIREMENT FUND	107.16	2.14	92.0	0.00	1.97	0.00	1.97
06/08/2018	#04000834615	ERPELDING, AMERICAN FDS PORTFOLIO SER GROWTH F	16.15	0.32	92.0	0.00	0.29	0.00	0.29
06/08/2018	#04000834615	ERPELDING, AMERICAN FDS PORTFOLIO SER GROWTH F	21.54	0.43	92.0	0.00	0.40	0.00	0.40
06/08/2018	#00086985099	LOWNANCYEL AMERICAN FUNDS 2010 TARGET DATE-A	395.70	7.91	92.0	0.00	7.28	0.00	7.28
06/08/2018	#00086985099	LOWNANCYEL AMERICAN FUNDS 2010 TARGET DATE-A	94.97	1.90	92.0	0.00	1.75	0.00	1.75
06/08/2018	#00087552633	TALBERTLUC AMERICAN FUNDS 2010 TARGET DATE-A	589.28	11.79	92.0	0.00	10.85	0.00	10.85
06/08/2018	#00087552633	TALBERTLUC AMERICAN FUNDS 2010 TARGET DATE-A	88.39	1.77	92.0	0.00	1.63	0.00	1.63
06/08/2018	#00087708562	JONES-WISN AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	0.00	1.41
06/08/2018	#00087708562	JONES-WISN AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	0.00	1.41
06/08/2018	#04000101422	MCGINNISMI AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	0.00	1.09
06/08/2018	#04000101422	MCGINNISMI AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	0.00	1.09
06/08/2018	#04000101439	GIBBONSHEA AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	0.00	1.06
06/08/2018	#04000101439	GIBBONSHEA AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	0.00	1.06
06/08/2018	#04000790583	AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.00	0.80
06/08/2018	#04000790583	AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.00	0.80
06/08/2018	#04000834615	ERPELDING, AMERICAN FUNDS 2030 TARGET DATE-A	24.23	0.48	92.0	0.00	0.44	0.00	0.44
06/08/2018	#04000834615	ERPELDING, AMERICAN FUNDS 2030 TARGET DATE-A	32.30	0.65	92.0	0.00	0.60	0.00	0.60
06/08/2018	#00086986934	KUNKELGRET AMERICAN FUNDS 2035 TARGET DATE-A	298.07	5.96	92.0	0.00	5.48	0.00	5.48
06/08/2018	#00086986934	KUNKELGRET AMERICAN FUNDS 2035 TARGET DATE-A	63.26	1.27	92.0	0.00	1.17	0.00	1.17
06/08/2018	#04000834615	ERPELDING, AMERICAN FUNDS 2045 TARGET DATE-A	12.11	0.24	92.0	0.00	0.22	0.00	0.22

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/08/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2045 TARGET DATE-A	16.15	0.32	92.0	0.00	0.29	0.29
06/08/2018	#00088576061	DAKEMICHEL	BOND FUND OF AMERICA INC CL A	21.43	0.43	92.0	0.00	0.40	0.40
06/08/2018	#00088576061	DAKEMICHEL	BOND FUND OF AMERICA INC CL A	6.43	0.13	92.0	0.00	0.12	0.12
06/08/2018	#00086986934	KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	298.08	5.96	92.0	0.00	5.48	5.48
06/08/2018	#00086986934	KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	63.27	1.27	92.0	0.00	1.17	1.17
06/08/2018	#04000834615	ERPELDING,	GROWTH AND INCOME PORTFOLIO-A	28.27	0.57	92.0	0.00	0.52	0.52
06/08/2018	#04000834615	ERPELDING,	GROWTH AND INCOME PORTFOLIO-A	37.69	0.75	92.0	0.00	0.69	0.69
06/08/2018	#04000101795	BEDDINGFIE	INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	1.63
06/08/2018	#04000101795	BEDDINGFIE	INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	1.63
06/08/2018	#00088576061	DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	25.72	0.51	92.0	0.00	0.47	0.47
06/08/2018	#00088576061	DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	85.73	1.71	92.0	0.00	1.57	1.57
06/11/2018	# RUSSELL, JOHN		MFS EQUITY OPPORTUNITIES FD CL A	100.00	5.00	92.0	0.00	4.60	20.00 -15.40
06/15/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	20.00	0.75	92.0	0.00	0.69	0.69
06/15/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	11.83	0.44	92.0	0.00	0.40	0.40
06/15/2018	#04000672747	LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	60.00	2.25	92.0	0.00	2.07	2.07
06/15/2018	#04000672747	LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	10.06	0.38	92.0	0.00	0.35	0.35
06/15/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	30.00	1.13	92.0	0.00	1.04	1.04
06/15/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	17.73	0.66	92.0	0.00	0.61	0.61
06/15/2018	#04000672757	SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.84	0.41	92.0	0.00	0.38	0.38
06/15/2018	#04000672757	SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.84	0.41	92.0	0.00	0.38	0.38
06/15/2018	#04000672747	LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	90.00	3.38	92.0	0.00	3.11	3.11
06/15/2018	#04000672747	LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	15.09	0.57	92.0	0.00	0.52	0.52
06/15/2018	#04000652169	WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.52
06/15/2018	#04000652169	WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.52
06/15/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	18.20	0.68	92.0	0.00	0.63	0.63
06/15/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	10.92	0.41	92.0	0.00	0.38	0.38
06/15/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	40.00	1.50	92.0	0.00	1.38	1.38
06/15/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	13.44	0.50	92.0	0.00	0.46	0.46
06/15/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	16.25	0.61	92.0	0.00	0.56	0.56
06/15/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	16.26	0.61	92.0	0.00	0.56	0.56
06/15/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.77
06/15/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.77
06/15/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	27.30	1.02	92.0	0.00	0.94	0.94
06/15/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	16.38	0.61	92.0	0.00	0.56	0.56
06/15/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	60.00	2.25	92.0	0.00	2.07	2.07
06/15/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	20.16	0.76	92.0	0.00	0.70	0.70

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/15/2018	#04000672757 SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	8.13	0.30	92.0	0.00	0.28	0.00	0.28
06/15/2018	#04000672757 SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	8.12	0.30	92.0	0.00	0.28	0.00	0.28
06/15/2018	#04000652169 WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
06/15/2018	#04000652169 WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
06/15/2018	#04000652163 PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	13.65	0.51	92.0	0.00	0.47	0.00	0.47
06/15/2018	#04000652163 PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	8.19	0.31	92.0	0.00	0.29	0.00	0.29
06/15/2018	#04000652154 GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	30.00	1.13	92.0	0.00	1.04	0.00	1.04
06/15/2018	#04000652154 GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	10.08	0.38	92.0	0.00	0.35	0.00	0.35
06/15/2018	#04000748210 RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	20.00	0.75	92.0	0.00	0.69	0.00	0.69
06/15/2018	#04000748210 RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	11.83	0.44	92.0	0.00	0.40	0.00	0.40
06/15/2018	#04000672747 LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	60.00	2.25	92.0	0.00	2.07	0.00	2.07
06/15/2018	#04000672747 LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	10.06	0.38	92.0	0.00	0.35	0.00	0.35
06/15/2018	#04000748210 RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	30.00	1.13	92.0	0.00	1.04	0.00	1.04
06/15/2018	#04000748210 RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	17.74	0.67	92.0	0.00	0.62	0.00	0.62
06/15/2018	#04000672747 LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	90.00	3.38	92.0	0.00	3.11	0.00	3.11
06/15/2018	#04000672747 LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	15.09	0.57	92.0	0.00	0.52	0.00	0.52
06/15/2018	#04000672757 SHANNON,	GROWTH AND INCOME PORTFOLIO-A	18.97	0.71	92.0	0.00	0.65	0.00	0.65
06/15/2018	#04000672757 SHANNON,	GROWTH AND INCOME PORTFOLIO-A	18.97	0.71	92.0	0.00	0.65	0.00	0.65
06/15/2018	#04000652169 WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.00	0.90
06/15/2018	#04000652169 WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.00	0.90
06/15/2018	#04000652163 PRICE B J	GROWTH AND INCOME PORTFOLIO-A	31.85	1.19	92.0	0.00	1.09	0.00	1.09
06/15/2018	#04000652163 PRICE B J	GROWTH AND INCOME PORTFOLIO-A	19.11	0.72	92.0	0.00	0.66	0.00	0.66
06/15/2018	#04000652154 GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	70.00	2.63	92.0	0.00	2.42	0.00	2.42
06/15/2018	#04000652154 GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	23.52	0.88	92.0	0.00	0.81	0.00	0.81
06/21/2018	#00088576061 DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	32.15	0.64	92.0	0.00	0.59	0.00	0.59
06/21/2018	#00088576061 DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	107.16	2.14	92.0	0.00	1.97	0.00	1.97
06/21/2018	#04000834615 ERPELDING,	AMERICAN FDS PORTFOLIO SER GROWTH F	16.15	0.32	92.0	0.00	0.29	0.00	0.29
06/21/2018	#04000834615 ERPELDING,	AMERICAN FDS PORTFOLIO SER GROWTH F	21.54	0.43	92.0	0.00	0.40	0.00	0.40
06/21/2018	#00086985099 LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	395.70	7.91	92.0	0.00	7.28	0.00	7.28
06/21/2018	#00086985099 LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	94.97	1.90	92.0	0.00	1.75	0.00	1.75
06/21/2018	#00087552633 TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	88.39	1.77	92.0	0.00	1.63	0.00	1.63
06/21/2018	#00087552633 TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	589.28	11.79	92.0	0.00	10.85	0.00	10.85
06/21/2018	#00087708562 JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	0.00	1.41
06/21/2018	#00087708562 JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	0.00	1.41
06/21/2018	#04000101422 MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	0.00	1.09
06/21/2018	#04000101422 MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	0.00	1.09

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/21/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	1.06
06/21/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	1.06
06/21/2018	#04000790583		AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.80
06/21/2018	#04000790583		AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.80
06/21/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2030 TARGET DATE-A	32.30	0.65	92.0	0.00	0.60	0.60
06/21/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2030 TARGET DATE-A	24.23	0.48	92.0	0.00	0.44	0.44
06/21/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	298.07	5.96	92.0	0.00	5.48	5.48
06/21/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	63.26	1.27	92.0	0.00	1.17	1.17
06/21/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2045 TARGET DATE-A	16.15	0.32	92.0	0.00	0.29	0.29
06/21/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2045 TARGET DATE-A	12.11	0.24	92.0	0.00	0.22	0.22
06/21/2018	#00088576061	DAKEMICHEL	BOND FUND OF AMERICA INC CL A	6.43	0.13	92.0	0.00	0.12	0.12
06/21/2018	#00088576061	DAKEMICHEL	BOND FUND OF AMERICA INC CL A	21.43	0.43	92.0	0.00	0.40	0.40
06/21/2018	#00086986934	KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	298.08	5.96	92.0	0.00	5.48	5.48
06/21/2018	#00086986934	KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	63.27	1.27	92.0	0.00	1.17	1.17
06/21/2018	#04000834615	ERPELDING,	GROWTH AND INCOME PORTFOLIO-A	37.69	0.75	92.0	0.00	0.69	0.69
06/21/2018	#04000834615	ERPELDING,	GROWTH AND INCOME PORTFOLIO-A	28.27	0.57	92.0	0.00	0.52	0.52
06/21/2018	#04000101795	BEDDINGFIE	INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	1.63
06/21/2018	#04000101795	BEDDINGFIE	INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	1.63
06/21/2018	#00088576061	DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	25.72	0.51	92.0	0.00	0.47	0.47
06/21/2018	#00088576061	DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	85.73	1.71	92.0	0.00	1.57	1.57
* MUTUAL FUNDS SUBTOTAL *			\$7,562.13	\$235.87		\$0.00	\$217.02	\$40.00	\$177.02
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/01/0018	#575320000	LANE HEALTH,	JOHN HANCOCK ARA	472,774.38	98.38	92.0	0.00	90.51	90.51
05/01/0018	#1315500000	SOLUTIONS,	JOHN HANCOCK ARA	58,407.13	36.37	92.0	0.00	33.46	33.46
05/01/0018	#1226040000	PRESTRESSED	JOHN HANCOCK ARA	329,662.74	137.04	92.0	0.00	126.08	126.08
06/03/0018	#E1853484	CANTRELL, MARGIE	OHIO NATIONAL	0.00	215.75	92.0	0.00	198.49	198.49
06/06/2018	#E1906419	PAGSIAT, ARVIN	OHIO NATIONAL	0.00	8.65	92.0	0.00	7.96	7.96
06/20/2018	#1013722249	OLIVER, HAROLD	PERSP II 09/12	150.00	11.25	92.0	0.00	10.35	10.35
06/30/2018	#1020069500	BOWEN, CHRISTINA	PERSP II 01/16	350,718.34	146.13	92.0	0.00	134.44	134.44
06/30/2018	#1016423285	DUDLEY, PAMELA	PERSP II 04/14	292,021.86	121.68	92.0	0.00	111.95	111.95
06/30/2018	#1018282220	GALVAS, MARIE	PERSP II 04/14	105,124.76	43.80	92.0	0.00	40.30	40.30
06/30/2018	#1018331701	STRACHAN, GRACE	PERSP II 04/14	32,858.75	13.69	92.0	0.00	12.59	12.59
06/30/2018	#1018865714	MOON, MELISSA	PERSP II 04/14	69,417.52	28.92	92.0	0.00	26.61	26.61
06/30/2018	#1016423315	MOOT, PEGGY	PERSP II 04/14	79,858.06	33.27	92.0	0.00	30.61	30.61
06/30/2018	#1017975869	RARDIN, JOHN	PERSP II 04/14	54,283.32	22.62	92.0	0.00	20.81	20.81

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
06/30/2018	#1017913227	STRACHAN, GRACE	PERSP II 04/14	132,626.22	55.26	92.0	0.00	50.84	50.84
06/30/2018	#1016035579	TAYLOR, R	PERSP II 04/14	45,526.91	18.97	92.0	0.00	17.45	17.45
06/30/2018	#1015862358	WHEATON, RICK	PERSP II 04/14	92,720.67	38.63	92.0	0.00	35.54	35.54
06/30/2018	#1018781774	WILKERSON, TERRY	PERSP II 04/14	40,851.26	17.02	92.0	0.00	15.66	15.66
06/30/2018	#1018743570	WILLIAMS,	PERSP II 04/14	6,940.66	2.89	92.0	0.00	2.66	2.66
06/30/2018	#1015043497	ALBIN, CHARLES	PERSP II 09/12	16,409.45	6.84	92.0	0.00	6.29	6.29
06/30/2018	#1014026084	KELLY, MARY	PERSP II 09/12	22,238.24	9.27	92.0	0.00	8.53	8.53
06/30/2018	#1014027650	KELLY, STEVEN	PERSP II 09/12	74,879.76	31.20	92.0	0.00	28.70	28.70
06/30/2018	#1013061951	WATSON, ROSEZENA	PERSP II 09/12	9,296.34	3.87	92.0	0.00	3.56	3.56
06/30/2018	#1014134537	WITHEROW,	PERSP II 09/12	38,228.25	15.93	92.0	0.00	14.66	14.66
06/30/2018	#1013027893	LEWANDOWSKI, KIM	PERSP L 08/11	60,963.39	50.80	92.0	0.00	46.74	46.74
06/30/2018	#1014818002	PENDER, PENNY	PERSP L 08/11	40,029.89	33.36	92.0	0.00	30.69	30.69
06/30/2018	#1014025991	STOUT, KYLE	PERSP L 08/11	65,637.97	54.70	92.0	0.00	50.32	50.32
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$2,491,625.87</b>	<b>\$1,256.29</b>	<b>\$0.00</b>	<b>\$1,155.80</b>	<b>\$0.00</b>	<b>\$1,155.80</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
06/01/2018	#00088576061	DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	0.00	4.66	92.0	0.00	4.29	4.29
06/01/2018	#04000515434	LEE, CHRISTINA	2055 TARGET DATE RETIREMENT FUND	0.00	1.05	92.0	0.00	0.97	0.97
06/01/2018	#04000539467	KELLY, STEVEN	2055 TARGET DATE RETIREMENT FUND	0.00	2.19	92.0	0.00	2.01	2.01
06/01/2018	#04000672747	LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	0.00	0.16	92.0	0.00	0.15	0.15
06/01/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	0.00	0.09	92.0	0.00	0.08	0.08
06/01/2018	#00088046313	BAUCOMKARA	AMCAP FUND INC CLASS A	0.00	6.53	92.0	0.00	6.01	6.01
06/01/2018	#00085613691	LISHER, GINA	AMERICAN BALANCED FUND-529A	0.00	6.36	92.0	0.00	5.85	5.85
06/01/2018	#00085789570	NYANG, LAMIN	AMERICAN BALANCED FUND-529A	0.00	1.18	92.0	0.00	1.09	1.09
06/01/2018	#00085978086	RARDIN, JOHN	AMERICAN BALANCED FUND-529A	0.00	3.98	92.0	0.00	3.66	3.66
06/01/2018	#00085978095	RARDIN, JOHN	AMERICAN BALANCED FUND-529A	0.00	2.21	92.0	0.00	2.03	2.03
06/01/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	5.03	92.0	0.00	4.63	4.63
06/01/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	1.23	92.0	0.00	1.13	1.13
06/01/2018	#04000652169	WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	0.13	92.0	0.00	0.12	0.12
06/01/2018	#04000672747	LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	0.23	92.0	0.00	0.21	0.21
06/01/2018	#04000672757	SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	1.14	92.0	0.00	1.05	1.05
06/01/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	0.12	92.0	0.00	0.11	0.11
06/01/2018	#04000834615	ERPELDING,	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	0.08	92.0	0.00	0.07	0.07
06/01/2018	#00089560144		AMERICAN FUNDS 02629M58	0.00	0.28	92.0	0.00	0.26	0.26
06/01/2018	#00089601023		AMERICAN FUNDS 02629M58	0.00	0.41	92.0	0.00	0.38	0.38
06/01/2018	#00086984142		AMERICAN FUNDS 2010 TARGET DATE-A	0.00	2.75	92.0	0.00	2.53	2.53

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00086985099	LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	21.18	92.0	0.00	19.49	19.49
06/01/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	9.43	92.0	0.00	8.68	8.68
06/01/2018	#00088050835		AMERICAN FUNDS 2010 TARGET DATE-A	0.00	0.43	92.0	0.00	0.40	0.40
06/01/2018	#00087708562	JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	1.73	92.0	0.00	1.59	1.59
06/01/2018	#00088046313	BAUCOMKARA	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	5.31	92.0	0.00	4.89	4.89
06/01/2018	#00088167164		AMERICAN FUNDS 2015 TARGET DATE-A	0.00	0.98	92.0	0.00	0.90	0.90
06/01/2018	#04000101404		AMERICAN FUNDS 2015 TARGET DATE-A	0.00	0.45	92.0	0.00	0.41	0.41
06/01/2018	#04000101422	MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	1.76	92.0	0.00	1.62	1.62
06/01/2018	#04000101425	SHIPLEYSAR	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	1.28	92.0	0.00	1.18	1.18
06/01/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	1.70	92.0	0.00	1.56	1.56
06/01/2018	#04000539467	KELLY, STEVEN	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	0.65	92.0	0.00	0.60	0.60
06/01/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	2.25	92.0	0.00	2.07	2.07
06/01/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	1.88	92.0	0.00	1.73	1.73
06/01/2018	#04000790583		AMERICAN FUNDS 2015 TARGET DATE-A	0.00	0.23	92.0	0.00	0.21	0.21
06/01/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	0.01	92.0	0.00	0.01	0.01
06/01/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	6.18	92.0	0.00	5.69	5.69
06/01/2018	#00088050835		AMERICAN FUNDS 2025 TARGET DATE-A	0.00	0.47	92.0	0.00	0.43	0.43
06/01/2018	#00086984142		AMERICAN FUNDS 2030 TARGET DATE-A	0.00	15.43	92.0	0.00	14.20	14.20
06/01/2018	#00089031414		AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.55	92.0	0.00	0.51	0.51
06/01/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	7.47	92.0	0.00	6.87	6.87
06/01/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	1.84	92.0	0.00	1.69	1.69
06/01/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.19	92.0	0.00	0.17	0.17
06/01/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	1.70	92.0	0.00	1.56	1.56
06/01/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.12	92.0	0.00	0.11	0.11
06/01/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	35.96	92.0	0.00	33.08	33.08
06/01/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	8.77	92.0	0.00	8.07	8.07
06/01/2018	#04000539467	KELLY, STEVEN	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	2.17	92.0	0.00	2.00	2.00
06/01/2018	#04000515434	LEE, CHRISTINA	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	1.04	92.0	0.00	0.96	0.96
06/01/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	0.00	3.75	92.0	0.00	3.45	3.45
06/01/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	0.00	0.92	92.0	0.00	0.85	0.85
06/01/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	0.00	0.10	92.0	0.00	0.09	0.09
06/01/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	0.00	0.85	92.0	0.00	0.78	0.78
06/01/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2045 TARGET DATE-A	0.00	0.06	92.0	0.00	0.06	0.06
06/01/2018	#04000672747	LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	0.00	0.16	92.0	0.00	0.15	0.15
06/01/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	0.00	0.09	92.0	0.00	0.08	0.08
06/01/2018	#00088050835		AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.42	92.0	0.00	0.39	0.39

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00088046313	BAUCOMKARA	AMERICAN MUTUAL FUND INC CLASS A	0.00	5.36	92.0	0.00	4.93	4.93
06/01/2018	#00085613691	LISHER, GINA	AMERICAN MUTUAL FUND-529A	0.00	1.51	92.0	0.00	1.39	1.39
06/01/2018	#00085789570	NYANG, LAMIN	AMERICAN MUTUAL FUND-529A	0.00	1.67	92.0	0.00	1.54	1.54
06/01/2018	#00088576061	DAKEMICHEL	BOND FUND OF AMERICA INC CL A	0.00	0.47	92.0	0.00	0.43	0.43
06/01/2018	#00085789570	NYANG, LAMIN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	1.19	92.0	0.00	1.09	1.09
06/01/2018	#00085978086	RARDIN, JOHN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	2.35	92.0	0.00	2.16	2.16
06/01/2018	#04000917692	LISHER, RYAN	COLLEGE 2021 FUND-529A	0.00	0.18	92.0	0.00	0.17	0.17
06/01/2018	#00088727323	RUSSELL, JOHN	COLLEGE 2024 FUND 529A	0.00	2.30	92.0	0.00	2.12	2.12
06/01/2018	#00085515885	GUITY, NIQUICHE	COLLEGE 2024 FUND-529C	0.00	38.37	92.0	0.00	35.30	35.30
06/01/2018	#00085515930	GUITYORBIL	COLLEGE 2027 FUND-529C	0.00	17.02	92.0	0.00	15.66	15.66
06/01/2018	#00088467617	GUITY, NIQUICHE	COLLEGE 2030 FUND-529A	0.00	6.36	92.0	0.00	5.85	5.85
06/01/2018	#00089144766		COLLEGE 2030 FUND-529A	0.00	2.54	92.0	0.00	2.34	2.34
06/01/2018	#00085613691	LISHER, GINA	FUNDAMENTAL INVESTORS-529A	0.00	1.70	92.0	0.00	1.56	1.56
06/01/2018	#04000672747	LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	0.00	0.24	92.0	0.00	0.22	0.22
06/01/2018	#04000748210	RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	0.00	0.13	92.0	0.00	0.12	0.12
06/01/2018	#00086986934	KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	0.00	34.97	92.0	0.00	32.17	32.17
06/01/2018	#04000652154	GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	0.00	8.70	92.0	0.00	8.00	8.00
06/01/2018	#04000652163	PRICE B J	GROWTH AND INCOME PORTFOLIO-A	0.00	2.14	92.0	0.00	1.97	1.97
06/01/2018	#04000652169	WATKINS,	GROWTH AND INCOME PORTFOLIO-A	0.00	0.22	92.0	0.00	0.20	0.20
06/01/2018	#04000672757	SHANNON,	GROWTH AND INCOME PORTFOLIO-A	0.00	1.97	92.0	0.00	1.81	1.81
06/01/2018	#04000834615	ERPELDING,	GROWTH AND INCOME PORTFOLIO-A	0.00	0.13	92.0	0.00	0.12	0.12
06/01/2018	#00087708562	JONES-WISN	GROWTH FUND AMERICA INC CLASS A	0.00	4.37	92.0	0.00	4.02	4.02
06/01/2018	#00087708562	JONES-WISN	INVESTMENT CO AMER CLASS A	0.00	2.84	92.0	0.00	2.61	2.61
06/01/2018	#00088050835		INVESTMENT CO AMER CLASS A	0.00	0.53	92.0	0.00	0.49	0.49
06/01/2018	#04000101795	BEDDINGFIE	INVESTMENT CO AMER CLASS A	0.00	2.47	92.0	0.00	2.27	2.27
06/01/2018	#00088050835		NEW ECONOMY FUND SBI CLASS A	0.00	1.48	92.0	0.00	1.36	1.36
06/01/2018	#00085978086	RARDIN, JOHN	NEW PERSPECTIVE FUND-529A	0.00	3.47	92.0	0.00	3.19	3.19
06/01/2018	#00085978095	RARDIN, JOHN	NEW PERSPECTIVE FUND-529A	0.00	1.46	92.0	0.00	1.34	1.34
06/01/2018	#00088050835		NEW WORLD FUND INC NEW	0.00	1.19	92.0	0.00	1.09	1.09
06/01/2018	#00088576061	DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	0.00	3.90	92.0	0.00	3.59	3.59
06/01/2018	#00085978086	RARDIN, JOHN	THE BOND FUND OF AMERICA-529A	0.00	4.81	92.0	0.00	4.43	4.43
06/01/2018	#00085978086	RARDIN, JOHN	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	2.75	92.0	0.00	2.53	2.53
06/01/2018	#00085978095	RARDIN, JOHN	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	0.82	92.0	0.00	0.75	0.75
06/01/2018	#00088050835		WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.50	92.0	0.00	0.46	0.46
06/04/2018	#	RUSSELL, MEREDITH	DAIN TRAILER	145.00	5.80	92.0	0.00	5.34	5.34
06/05/2018	#	HALL, ERIN	DAIN TRAILER	65.00	3.25	92.0	0.00	2.99	2.99

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/05/2018	# HALL, ERIN	DAIN TRAILER	65.00	2.60	92.0	0.00	2.39	0.00	2.39
06/08/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.08	92.0	0.00	0.07	0.00	0.07
06/08/2018	# HARRIMAN, DARELL	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
06/08/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	0.05	92.0	0.00	0.05	0.00	0.05
06/08/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
06/08/2018	# RUSSELL, MEREDITH	DAIN TRAILER	0.00	2.26	92.0	0.00	2.08	0.00	2.08
06/08/2018	# MCNARY, JALEESA	DAIN TRAILER	0.00	0.10	92.0	0.00	0.09	0.00	0.09
06/11/2018	# BAUM, HARRY	DAIN TRAILER	0.00	4.24	92.0	0.00	3.90	0.00	3.90
06/11/2018	# BAUM, HARRY	DAIN TRAILER	0.00	1.19	92.0	0.00	1.09	0.00	1.09
06/11/2018	# BAUM, HARRY	DAIN TRAILER	0.00	7.64	92.0	0.00	7.03	0.00	7.03
06/11/2018	# BAUM, HARRY	DAIN TRAILER	0.00	3.67	92.0	0.00	3.38	0.00	3.38
06/11/2018	# BAUM, HARRY	DAIN TRAILER	0.00	3.23	92.0	0.00	2.97	0.00	2.97
06/11/2018	# DAVIDSON, JANEL	DAIN TRAILER	0.00	0.35	92.0	0.00	0.32	0.00	0.32
06/11/2018	# DAVIDSON, JANEL	DAIN TRAILER	0.00	0.45	92.0	0.00	0.41	0.00	0.41
06/11/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	0.16	92.0	0.00	0.15	0.00	0.15
06/11/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	2.70	92.0	0.00	2.48	0.00	2.48
06/11/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	0.10	92.0	0.00	0.09	0.00	0.09
06/11/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	3.09	92.0	0.00	2.84	0.00	2.84
06/11/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	1.42	92.0	0.00	1.31	0.00	1.31
06/11/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	0.26	92.0	0.00	0.24	0.00	0.24
06/11/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
06/11/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.28	92.0	0.00	0.26	0.00	0.26
06/11/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.46	92.0	0.00	0.42	0.00	0.42
06/11/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.04	92.0	0.00	0.04	0.00	0.04
06/11/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
06/11/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
06/11/2018	# LANE, JAMES	DAIN TRAILER	0.00	1.79	92.0	0.00	1.65	0.00	1.65
06/11/2018	# LANE, JAMES	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
06/11/2018	# LANE, JAMES	DAIN TRAILER	0.00	1.26	92.0	0.00	1.16	0.00	1.16
06/11/2018	# LANE, JAMES	DAIN TRAILER	0.00	2.53	92.0	0.00	2.33	0.00	2.33
06/11/2018	# LANE, JAMES	DAIN TRAILER	0.00	2.53	92.0	0.00	2.33	0.00	2.33
06/11/2018	# LANE, JAMES	DAIN TRAILER	0.00	3.92	92.0	0.00	3.61	0.00	3.61
06/11/2018	# RANSOM, REBA	DAIN TRAILER	0.00	0.33	92.0	0.00	0.30	0.00	0.30
06/11/2018	# RANSOM, REBA	DAIN TRAILER	0.00	0.32	92.0	0.00	0.29	0.00	0.29
06/11/2018	# RANSOM, REBA	DAIN TRAILER	0.00	0.32	92.0	0.00	0.29	0.00	0.29
06/11/2018	# RANSOM, HERMAN	DAIN TRAILER	0.00	0.10	92.0	0.00	0.09	0.00	0.09



COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/11/2018	# RANSOM, HERMAN	DAIN TRAILER	0.00	0.20	92.0	0.00	0.18	0.00	0.18
06/11/2018	# RANSOM, HERMAN	DAIN TRAILER	0.00	0.10	92.0	0.00	0.09	0.00	0.09
06/11/2018	# RANSOM, HERMAN	DAIN TRAILER	0.00	0.10	92.0	0.00	0.09	0.00	0.09
06/11/2018	# HARRIMAN, DARELL	DAIN TRAILER	0.00	1.17	92.0	0.00	1.08	0.00	1.08
06/11/2018	# HARRIMAN, DARELL	DAIN TRAILER	0.00	1.51	92.0	0.00	1.39	0.00	1.39
06/11/2018	# MARCUM, MICHAEL	DAIN TRAILER	0.00	6.72	92.0	0.00	6.18	0.00	6.18
06/11/2018	# MARCUM, MICHAEL	DAIN TRAILER	0.00	1.60	92.0	0.00	1.47	0.00	1.47
06/11/2018	# WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.68	92.0	0.00	1.55	0.00	1.55
06/11/2018	# WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	3.60	92.0	0.00	3.31	0.00	3.31
06/11/2018	# WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.31	92.0	0.00	1.21	0.00	1.21
06/11/2018	# WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	2.37	92.0	0.00	2.18	0.00	2.18
06/11/2018	# WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.52	92.0	0.00	1.40	0.00	1.40
06/11/2018	# WATSON, ROSEZENA	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
06/11/2018	# WATSON, ROSEZENA	DAIN TRAILER	0.00	0.03	92.0	0.00	0.03	0.00	0.03
06/11/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	6.87	92.0	0.00	6.32	0.00	6.32
06/11/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	6.34	92.0	0.00	5.83	0.00	5.83
06/11/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	3.42	92.0	0.00	3.15	0.00	3.15
06/11/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	3.42	92.0	0.00	3.15	0.00	3.15
06/11/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	1.45	92.0	0.00	1.33	0.00	1.33
06/11/2018	# RUSSELL, JOHN	DAIN TRAILER	100.00	5.00	92.0	0.00	4.60	0.00	4.60
06/11/2018	# LISHER, GINA	DAIN TRAILER	0.00	0.33	92.0	0.00	0.30	0.00	0.30
06/11/2018	# LISHER, GINA	DAIN TRAILER	0.00	1.46	92.0	0.00	1.34	0.00	1.34
06/11/2018	# LISHER, GINA	DAIN TRAILER	0.00	1.38	92.0	0.00	1.27	0.00	1.27
06/11/2018	# LISHER, GINA	DAIN TRAILER	0.00	1.52	92.0	0.00	1.40	0.00	1.40
06/11/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	6.14	92.0	0.00	5.65	0.00	5.65
06/11/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	6.43	92.0	0.00	5.92	0.00	5.92
06/11/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	6.86	92.0	0.00	6.31	0.00	6.31
06/11/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	6.90	92.0	0.00	6.35	0.00	6.35
06/11/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	0.22	92.0	0.00	0.20	0.00	0.20
06/11/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
06/11/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	0.61	92.0	0.00	0.56	0.00	0.56
06/11/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	0.88	92.0	0.00	0.81	0.00	0.81
06/11/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	0.87	92.0	0.00	0.80	0.00	0.80
06/11/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	1.45	92.0	0.00	1.33	0.00	1.33
06/11/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	1.45	92.0	0.00	1.33	0.00	1.33
06/11/2018	# GALVAS, MARIE	DAIN TRAILER	0.00	0.95	92.0	0.00	0.87	0.00	0.87

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/11/2018	# GALVAS, MARIE	DAIN TRAILER	0.00	0.45	92.0	0.00	0.41	0.00	0.41
06/11/2018	# OLSON, SALLY	DAIN TRAILER	0.00	2.94	92.0	0.00	2.70	0.00	2.70
06/11/2018	# RUSSELL, JOHN	DAIN TRAILER	100.00	4.75	92.0	0.00	4.37	0.00	4.37
06/11/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.43	92.0	0.00	0.40	0.00	0.40
06/11/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.57	92.0	0.00	0.52	0.00	0.52
06/11/2018	# NELSON, MIKE	DAIN TRAILER	0.00	1.55	92.0	0.00	1.43	0.00	1.43
06/11/2018	# WATSON, ROSEZENA	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
06/11/2018	# WATSON, ROSEZENA	DAIN TRAILER	0.00	0.27	92.0	0.00	0.25	0.00	0.25
06/11/2018	# RUSSELL, JOHN	DAIN TRAILER	0.00	2.73	92.0	0.00	2.51	0.00	2.51
06/11/2018	# RUSSELL, JOHN	DAIN TRAILER	0.00	1.56	92.0	0.00	1.44	0.00	1.44
06/11/2018	# RUSSELL, JOHN	DAIN TRAILER	0.00	1.40	92.0	0.00	1.29	0.00	1.29
06/11/2018	# RUSSELL, JOHN	DAIN TRAILER	0.00	1.84	92.0	0.00	1.69	0.00	1.69
06/11/2018	# RUSSELL, JOHN	DAIN TRAILER	0.00	1.91	92.0	0.00	1.76	0.00	1.76
06/11/2018	# RUSSELL, JOHN	DAIN TRAILER	0.00	1.92	92.0	0.00	1.77	0.00	1.77
06/11/2018	# HARDY, JAMES WILLIAM	DAIN TRAILER	0.00	2.48	92.0	0.00	2.28	0.00	2.28
06/11/2018	# HARDY, JAMES WILLIAM	DAIN TRAILER	0.00	1.36	92.0	0.00	1.25	0.00	1.25
06/11/2018	# RUSSELL, MEREDITH	DAIN TRAILER	0.00	3.21	92.0	0.00	2.95	0.00	2.95
06/11/2018	# RUSSELL, MEREDITH	DAIN TRAILER	0.00	2.35	92.0	0.00	2.16	0.00	2.16
06/11/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.45	92.0	0.00	0.41	0.00	0.41
06/11/2018	# NYANG, LAMIN	DAIN TRAILER	0.00	0.08	92.0	0.00	0.07	0.00	0.07
06/11/2018	# LEWANDOWSKI, KIM	DAIN TRAILER	0.00	1.30	92.0	0.00	1.20	0.00	1.20
06/11/2018	# LEWANDOWSKI, KIM	DAIN TRAILER	0.00	0.91	92.0	0.00	0.84	0.00	0.84
06/11/2018	# LEWANDOWSKI, KIM	DAIN TRAILER	0.00	0.34	92.0	0.00	0.31	0.00	0.31
06/11/2018	# LEWANDOWSKI, KIM	DAIN TRAILER	0.00	1.33	92.0	0.00	1.22	0.00	1.22
06/11/2018	# NYANG, LAMIN	DAIN TRAILER	0.00	0.13	92.0	0.00	0.12	0.00	0.12
06/11/2018	# NYANG, LAMIN	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
06/11/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	1.10	92.0	0.00	1.01	0.00	1.01
06/11/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	1.47	92.0	0.00	1.35	0.00	1.35
06/11/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	0.28	92.0	0.00	0.26	0.00	0.26
06/11/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	0.55	92.0	0.00	0.51	0.00	0.51
06/11/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.97	92.0	0.00	0.89	0.00	0.89
06/11/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.37	92.0	0.00	0.34	0.00	0.34
06/11/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.36	92.0	0.00	0.33	0.00	0.33
06/11/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.36	92.0	0.00	0.33	0.00	0.33
06/11/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.74	92.0	0.00	0.68	0.00	0.68
06/11/2018	# MYERS, DAVE	DAIN TRAILER	0.00	0.99	92.0	0.00	0.91	0.00	0.91

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/11/2018 #	MYERS, DAVE	DAIN TRAILER	0.00	4.95	92.0	0.00	4.55	0.00	4.55
06/11/2018 #	PENDER, PENNY	DAIN TRAILER	0.00	0.01	92.0	0.00	0.01	0.00	0.01
06/11/2018 #	SMITH, MATT	DAIN TRAILER	0.00	1.05	92.0	0.00	0.97	0.00	0.97
06/11/2018 #	SMITH, MATT	DAIN TRAILER	0.00	1.50	92.0	0.00	1.38	0.00	1.38
06/11/2018 #	SMITH, MATT	DAIN TRAILER	0.00	1.53	92.0	0.00	1.41	0.00	1.41
06/11/2018 #	HALL, ERIN	DAIN TRAILER	0.00	0.73	92.0	0.00	0.67	0.00	0.67
06/11/2018 #	HALL, ERIN	DAIN TRAILER	0.00	0.73	92.0	0.00	0.67	0.00	0.67
06/11/2018 #	HALL, ERIN	DAIN TRAILER	0.00	0.71	92.0	0.00	0.65	0.00	0.65
06/11/2018 #	WILLIAMS, DARRELL	DAIN TRAILER	0.00	0.30	92.0	0.00	0.28	0.00	0.28
06/11/2018 #	WILLIAMS, DARRELL	DAIN TRAILER	0.00	0.24	92.0	0.00	0.22	0.00	0.22
06/11/2018 #	BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.63	92.0	0.00	0.58	0.00	0.58
06/11/2018 #	BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.19	92.0	0.00	0.17	0.00	0.17
06/11/2018 #	BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.26	92.0	0.00	0.24	0.00	0.24
06/11/2018 #	BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.26	92.0	0.00	0.24	0.00	0.24
06/11/2018 #	WILLIAMS, KERSTIN	DAIN TRAILER	0.00	0.38	92.0	0.00	0.35	0.00	0.35
06/11/2018 #	WILLIAMS, KERSTIN	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
06/11/2018 #		DAIN TRAILER	0.00	0.23	92.0	0.00	0.21	0.00	0.21
06/11/2018 #		DAIN TRAILER	0.00	0.14	92.0	0.00	0.13	0.00	0.13
06/11/2018 #		DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
06/11/2018 #	NELSON, MIKE	DAIN TRAILER	0.00	1.55	92.0	0.00	1.43	0.00	1.43
06/11/2018 #	NELSON, MIKE	DAIN TRAILER	0.00	0.28	92.0	0.00	0.26	0.00	0.26
06/11/2018 #	HILTE, MONTY	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
06/11/2018 #	HILTE, MONTY	DAIN TRAILER	0.00	0.05	92.0	0.00	0.05	0.00	0.05
06/11/2018 #	HILTE, MONTY	DAIN TRAILER	0.00	0.90	92.0	0.00	0.83	0.00	0.83
06/11/2018 #	PEKAREK, MARJAN	DAIN TRAILER	0.00	0.98	92.0	0.00	0.90	0.00	0.90
06/11/2018 #	PEKAREK, MARJAN	DAIN TRAILER	0.00	1.30	92.0	0.00	1.20	0.00	1.20
06/11/2018 #	PEKAREK, MARJAN	DAIN TRAILER	0.00	0.68	92.0	0.00	0.63	0.00	0.63
06/11/2018 #	PEKAREK, MARJAN	DAIN TRAILER	0.00	1.29	92.0	0.00	1.19	0.00	1.19
06/11/2018 #	SHANDY, RONNITA	DAIN TRAILER	0.00	0.32	92.0	0.00	0.29	0.00	0.29
06/11/2018 #	SHANDY, RONNITA	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
06/11/2018 #	SHANDY, RONNITA	DAIN TRAILER	0.00	0.57	92.0	0.00	0.52	0.00	0.52
06/11/2018 #	RARDIN, JOHN	DAIN TRAILER	0.00	1.50	92.0	0.00	1.38	0.00	1.38
06/11/2018 #	RARDIN, JOHN	DAIN TRAILER	0.00	0.99	92.0	0.00	0.91	0.00	0.91
06/11/2018 #	RARDIN, JOHN	DAIN TRAILER	0.00	0.48	92.0	0.00	0.44	0.00	0.44
06/11/2018 #	RARDIN, JOHN	DAIN TRAILER	0.00	0.48	92.0	0.00	0.44	0.00	0.44
06/11/2018 #	RARDIN, JOHN	DAIN TRAILER	0.00	0.93	92.0	0.00	0.86	0.00	0.86

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/11/2018 #	MCNARY, JALEESA	DAIN TRAILER	0.00	0.08	92.0	0.00	0.07	0.00	0.07
06/11/2018 #	STOUT, KYLE	DAIN TRAILER	0.00	3.49	92.0	0.00	3.21	0.00	3.21
06/11/2018 #	STOUT, KYLE	DAIN TRAILER	0.00	7.61	92.0	0.00	7.00	0.00	7.00
06/11/2018 #	STOUT, KYLE	DAIN TRAILER	0.00	2.75	92.0	0.00	2.53	0.00	2.53
06/11/2018 #	STOUT, KYLE	DAIN TRAILER	0.00	1.15	92.0	0.00	1.06	0.00	1.06
06/11/2018 #	STOUT, KYLE	DAIN TRAILER	0.00	1.15	92.0	0.00	1.06	0.00	1.06
06/11/2018 #	GUITY, NIQUICHE	DAIN TRAILER	0.00	2.97	92.0	0.00	2.73	0.00	2.73
06/11/2018 #	GUITY, NIQUICHE	DAIN TRAILER	0.00	0.26	92.0	0.00	0.24	0.00	0.24
06/11/2018 #	GUITY, NIQUICHE	DAIN TRAILER	0.00	0.24	92.0	0.00	0.22	0.00	0.22
06/11/2018 #	GUITY, NIQUICHE	DAIN TRAILER	0.00	0.24	92.0	0.00	0.22	0.00	0.22
06/11/2018 #	ALBIN, JANETTE	DAIN TRAILER	0.00	2.45	92.0	0.00	2.25	0.00	2.25
06/11/2018 #	ALBIN, JANETTE	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
06/11/2018 #	ALBIN, JANETTE	DAIN TRAILER	0.00	0.54	92.0	0.00	0.50	0.00	0.50
06/11/2018 #	ALBIN, JANETTE	DAIN TRAILER	0.00	0.80	92.0	0.00	0.74	0.00	0.74
06/11/2018 #	BERG, ERIK	DAIN TRAILER	0.00	0.65	92.0	0.00	0.60	0.00	0.60
06/11/2018 #	BERG, ERIK	DAIN TRAILER	0.00	0.67	92.0	0.00	0.62	0.00	0.62
06/11/2018 #	BERG, ERIK	DAIN TRAILER	0.00	0.81	92.0	0.00	0.75	0.00	0.75
06/11/2018 #	BERG, ERIK	DAIN TRAILER	0.00	0.81	92.0	0.00	0.75	0.00	0.75
06/11/2018 #		DAIN TRAILER	0.00	1.51	92.0	0.00	1.39	0.00	1.39
06/11/2018 #		DAIN TRAILER	0.00	0.91	92.0	0.00	0.84	0.00	0.84
06/11/2018 #	OLSON, SALLY	DAIN TRAILER	0.00	1.41	92.0	0.00	1.30	0.00	1.30
06/11/2018 #	OLSON, SALLY	DAIN TRAILER	0.00	0.26	92.0	0.00	0.24	0.00	0.24
06/11/2018 #	OLSON, SALLY	DAIN TRAILER	0.00	0.26	92.0	0.00	0.24	0.00	0.24
06/11/2018 #	OLSON, SALLY	DAIN TRAILER	0.00	0.68	92.0	0.00	0.63	0.00	0.63
06/11/2018 #	ELL, MICHAEL	DAIN TRAILER	0.00	0.04	92.0	0.00	0.04	0.00	0.04
06/11/2018 #	ELL, MICHAEL	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
06/11/2018 #	WILLIAMS, DARRELL	DAIN TRAILER	0.00	0.34	92.0	0.00	0.31	0.00	0.31
06/11/2018 #	MOON, MELISSA	DAIN TRAILER	0.00	2.17	92.0	0.00	2.00	0.00	2.00
06/11/2018 #	MOON, MELISSA	DAIN TRAILER	0.00	1.46	92.0	0.00	1.34	0.00	1.34
06/11/2018 #	HARDY, PATRICIA	DAIN TRAILER	0.00	1.07	92.0	0.00	0.98	0.00	0.98
06/11/2018 #	HARDY, PATRICIA	DAIN TRAILER	0.00	0.68	92.0	0.00	0.63	0.00	0.63
06/11/2018 #	EUBANKS, WILLONE	DAIN TRAILER	0.00	0.26	92.0	0.00	0.24	0.00	0.24
06/11/2018 #	EUBANKS, WILLONE	DAIN TRAILER	0.00	0.03	92.0	0.00	0.03	0.00	0.03
06/11/2018 #	EUBANKS, WILLONE	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
06/11/2018 #	WHITAKER, KATHERINE	DAIN TRAILER	0.00	0.38	92.0	0.00	0.35	0.00	0.35
06/11/2018 #	WHITAKER, KATHERINE	DAIN TRAILER	0.00	1.22	92.0	0.00	1.12	0.00	1.12

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/11/2018 #	WILKERSON, TERRY	DAIN TRAILER	0.00	0.95	92.0	0.00	0.87	0.00	0.87
06/11/2018 #	WILKERSON, TERRY	DAIN TRAILER	0.00	0.47	92.0	0.00	0.43	0.00	0.43
06/11/2018 #	WILKERSON, TERRY	DAIN TRAILER	0.00	0.46	92.0	0.00	0.42	0.00	0.42
06/11/2018 #	RUSSELL, MEREDITH	DAIN TRAILER	100.00	5.00	92.0	0.00	4.60	0.00	4.60
06/11/2018 #	RUSSELL, JOHN	DAIN TRAILER	115.00	4.60	92.0	0.00	4.23	0.00	4.23
06/11/2018 #	RANSOM, HERMAN	DAIN TRAILER	25.00	1.25	92.0	0.00	1.15	0.00	1.15
06/15/2018 #	SMITH, MATT	DAIN TRAILER	0.00	2.69	92.0	0.00	2.47	0.00	2.47
06/15/2018 #	LISHER, GINA	DAIN TRAILER	200.00	10.00	92.0	0.00	9.20	0.00	9.20
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$915.00	\$616.08		\$0.00	\$566.79	\$0.00	\$566.79
*** BROKER TRANSACTIONS TOTAL ***			\$2,500,103.00	\$2,108.24		\$0.00	\$1,939.61	\$40.00	\$1,899.61

ADJUSTMENTS

07/20/2018	ADMIN FEE: ADMIN FEE	-320.00
07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,899.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00
Check Amount	\$1,400.61