

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/30/2017	75599821 RF LAFFERTY & CO	LYONDELLBASELL INDUSTRIES N V ORD S S		74,357.94	0.00	0.00	0.00	80.0	0.00
10/30/2017	75599821 RF LAFFERTY & CO	SONY CORPORATION ADR	S	30,902.96	0.00	0.00	0.00	80.0	0.00
10/30/2017	75599821 RF LAFFERTY & CO	SONY CORPORATION ADR	B	3,856.00	0.00	0.00	0.00	80.0	0.00
10/30/2017	75599821 RF LAFFERTY & CO	SONY CORPORATION ADR	B	3,856.00	0.00	0.00	0.00	80.0	0.00
10/30/2017	75599821 RF LAFFERTY & CO	SONY CORPORATION ADR	B	3,856.00	0.00	0.00	0.00	80.0	0.00
10/30/2017	75599821 RF LAFFERTY & CO	SONY CORPORATION ADR	B	9,640.00	0.00	0.00	0.00	80.0	0.00
10/30/2017	75599821 RF LAFFERTY & CO	SONY CORPORATION ADR	B	9,694.98	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	ARISTA NETWORKS INC COM	S	30,084.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	ARISTA NETWORKS INC COM	B	20,056.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	ARISTA NETWORKS INC COM	B	10,028.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,651.76	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	S	375,400.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,877.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,877.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,877.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,877.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,877.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,877.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,877.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	187,700.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	2,008.39	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	2,121.01	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	2,139.78	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	2,252.40	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	2,984.43	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	3,284.75	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	3,378.60	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	3,754.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	3,754.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	3,754.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	4,016.78	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	4,016.78	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	4,148.17	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	4,429.72	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	4,504.80	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	506.79	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	563.10	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	563.10	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	563.10	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	563.10	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	807.11	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	919.73	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	95,727.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,107.43	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,126.20	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,126.20	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,126.20	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,126.20	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	11,262.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,313.90	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,313.90	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,313.90	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	MACYS INC	B	1,332.67	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	TWITTER INC	S	2,084.50	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	TWITTER INC	S	2,084.50	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	TWITTER INC	S	2,084.50	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	TWITTER INC	S	2,084.50	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	TWITTER INC	S	2,084.50	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	TWITTER INC	S	2,084.50	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	TWITTER INC	S	2,084.50	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	TWITTER INC	S	2,084.50	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	TWITTER INC	B	20,845.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	TWITTER INC	S	2,084.50	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	TWITTER INC	S	2,084.50	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	TWITTER INC	S	2,084.50	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	VISTRA ENERGY CORP COM	B	38,100.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599821 RF LAFFERTY & CO	VISTRA ENERGY CORP COM	S	38,100.20	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599821 RF LAFFERTY & CO	PICO HOLDINGS INC-NEW	B	38,000.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599821 RF LAFFERTY & CO	PICO HOLDINGS INC-NEW	S	1,900.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599821 RF LAFFERTY & CO	PICO HOLDINGS INC-NEW	S	1,900.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599821 RF LAFFERTY & CO	PICO HOLDINGS INC-NEW	S	1,900.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599821 RF LAFFERTY & CO	PICO HOLDINGS INC-NEW	S	1,900.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599821 RF LAFFERTY & CO	PICO HOLDINGS INC-NEW	S	1,900.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599821 RF LAFFERTY & CO	PICO HOLDINGS INC-NEW	S	19,000.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599821 RF LAFFERTY & CO	PICO HOLDINGS INC-NEW	S	76.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599821 RF LAFFERTY & CO	PICO HOLDINGS INC-NEW	S	9,424.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599821 RF LAFFERTY & CO	VISTRA ENERGY CORP COM	B	38,640.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599821 RF LAFFERTY & CO	VISTRA ENERGY CORP COM	S	38,640.00	0.00	0.00	0.00	80.0	0.00
11/02/2017	75599821 RF LAFFERTY & CO	CBS CORP CLASS B	S	5,446.97	0.00	0.00	0.00	80.0	0.00
11/02/2017	75599821 RF LAFFERTY & CO	CBS CORP CLASS B	B	5,446.97	0.00	0.00	0.00	80.0	0.00
11/02/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		13,840.00	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/02/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		6,914.75	0.00	0.00	0.00	80.0	0.00
11/02/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		6,914.75	0.00	0.00	0.00	80.0	0.00
11/02/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		13,830.00	0.00	0.00	0.00	80.0	0.00
11/02/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		41,499.50	0.00	0.00	0.00	80.0	0.00
11/06/2017	75599821 RF LAFFERTY & CO	AUTOHOME INC SPONSORED ADR REPST CL S		30,132.00	0.00	0.00	0.00	80.0	0.00
11/06/2017	75599821 RF LAFFERTY & CO	AUTOHOME INC SPONSORED ADR REPST CL B		30,132.00	0.00	0.00	0.00	80.0	0.00
11/06/2017	75599821 RF LAFFERTY & CO	CHECK POINT SOFTWARE TECHNOLOGIES L B		31,704.72	0.00	0.00	0.00	80.0	0.00
11/06/2017	75599821 RF LAFFERTY & CO	CHECK POINT SOFTWARE TECHNOLOGIES L S		31,704.72	0.00	0.00	0.00	80.0	0.00
11/06/2017	75599821 RF LAFFERTY & CO	GARTNER INC	B	47,460.00	0.00	0.00	0.00	80.0	0.00
11/06/2017	75599821 RF LAFFERTY & CO	GARTNER INC	S	47,460.00	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599821 RF LAFFERTY & CO	MISONIX INC	B	4,937.40	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599821 RF LAFFERTY & CO	MISONIX INC	S	1,055.00	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599821 RF LAFFERTY & CO	MISONIX INC	S	1,149.95	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599821 RF LAFFERTY & CO	MISONIX INC	S	633.00	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599821 RF LAFFERTY & CO	MISONIX INC	S	2,099.45	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	1,584.95	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	1,008.61	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	288.17	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	B	2,881.73	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	B	40,600.00	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	20,300.00	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	20,300.00	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599821 RF LAFFERTY & CO	IHS MARKIT LTD SHS	S	30,698.50	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599821 RF LAFFERTY & CO	IHS MARKIT LTD SHS	B	4,385.00	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599821 RF LAFFERTY & CO	IHS MARKIT LTD SHS	B	4,385.00	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599821 RF LAFFERTY & CO	IHS MARKIT LTD SHS	B	4,385.00	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599821 RF LAFFERTY & CO	IHS MARKIT LTD SHS	B	8,770.00	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599821 RF LAFFERTY & CO	IHS MARKIT LTD SHS	B	8,773.52	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599821 RF LAFFERTY & CO	MISONIX INC	B	8,229.00	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599821 RF LAFFERTY & CO	MISONIX INC	S	-8,229.00	0.00	0.00	0.00	80.0	0.00 C
11/08/2017	75599821 RF LAFFERTY & CO	MISONIX INC	B	8,229.00	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599821 RF LAFFERTY & CO	MISONIX INC	S	1,899.00	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599821 RF LAFFERTY & CO	MISONIX INC	S	2,110.00	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599821 RF LAFFERTY & CO	MISONIX INC	S	2,110.00	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599821 RF LAFFERTY & CO	MISONIX INC	S	2,110.00	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	39,858.90	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	B	19,968.40	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	B	19,890.50	0.00	0.00	0.00	80.0	0.00
11/09/2017	75599821 RF LAFFERTY & CO	CBS CORP CLASS B	B	5,759.64	0.00	0.00	0.00	80.0	0.00
11/09/2017	75599821 RF LAFFERTY & CO	CBS CORP CLASS B	S	5,759.64	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/09/2017	75599821 RF LAFFERTY & CO	MFS INTERMEDIATE INCOME TRUST SH BE S		79,610.00	0.00	0.00	0.00	80.0	0.00
11/09/2017	75599821 RF LAFFERTY & CO	MFS INTERMEDIATE INCOME TRUST SH BE B		4,189.90	0.00	0.00	0.00	80.0	0.00
11/09/2017	75599821 RF LAFFERTY & CO	MFS INTERMEDIATE INCOME TRUST SH BE B		25,140.00	0.00	0.00	0.00	80.0	0.00
11/09/2017	75599821 RF LAFFERTY & CO	MFS INTERMEDIATE INCOME TRUST SH BE B		29,329.30	0.00	0.00	0.00	80.0	0.00
11/09/2017	75599821 RF LAFFERTY & CO	MFS INTERMEDIATE INCOME TRUST SH BE B		20,950.00	0.00	0.00	0.00	80.0	0.00
11/10/2017	75599821 RF LAFFERTY & CO	ALCOBRA LTD SHS	S	20,100.00	0.00	0.00	0.00	80.0	0.00
11/10/2017	75599821 RF LAFFERTY & CO	ALCOBRA LTD SHS	B	1,340.00	0.00	0.00	0.00	80.0	0.00
11/10/2017	75599821 RF LAFFERTY & CO	ALCOBRA LTD SHS	B	134.00	0.00	0.00	0.00	80.0	0.00
11/10/2017	75599821 RF LAFFERTY & CO	ALCOBRA LTD SHS	B	134.00	0.00	0.00	0.00	80.0	0.00
11/10/2017	75599821 RF LAFFERTY & CO	ALCOBRA LTD SHS	B	134.00	0.00	0.00	0.00	80.0	0.00
11/10/2017	75599821 RF LAFFERTY & CO	ALCOBRA LTD SHS	B	134.00	0.00	0.00	0.00	80.0	0.00
11/10/2017	75599821 RF LAFFERTY & CO	ALCOBRA LTD SHS	B	15,812.00	0.00	0.00	0.00	80.0	0.00
11/10/2017	75599821 RF LAFFERTY & CO	ALCOBRA LTD SHS	B	2,412.00	0.00	0.00	0.00	80.0	0.00
11/13/2017	75599821 RF LAFFERTY & CO	ISHARES INC ISHARES MSCI JAPAN ETF B		141,048.00	0.00	0.00	0.00	80.0	0.00
11/13/2017	75599821 RF LAFFERTY & CO	ISHARES INC ISHARES MSCI JAPAN ETF S		139,578.75	0.00	0.00	0.00	80.0	0.00
11/13/2017	75599821 RF LAFFERTY & CO	ISHARES INC ISHARES MSCI JAPAN ETF S		1,469.25	0.00	0.00	0.00	80.0	0.00
11/13/2017	75599821 RF LAFFERTY & CO	ON SEMICONDUCTOR CORP	B	42,660.20	0.00	0.00	0.00	80.0	0.00
11/13/2017	75599821 RF LAFFERTY & CO	ON SEMICONDUCTOR CORP	B	6,399.03	0.00	0.00	0.00	80.0	0.00
11/13/2017	75599821 RF LAFFERTY & CO	ON SEMICONDUCTOR CORP	B	4,266.02	0.00	0.00	0.00	80.0	0.00
11/13/2017	75599821 RF LAFFERTY & CO	ON SEMICONDUCTOR CORP	S	53,325.25	0.00	0.00	0.00	80.0	0.00
11/14/2017	75599821 RF LAFFERTY & CO	CANTEL MEDICAL CORPORATION	S	30,198.99	0.00	0.00	0.00	80.0	0.00
11/14/2017	75599821 RF LAFFERTY & CO	CANTEL MEDICAL CORPORATION	B	10,064.00	0.00	0.00	0.00	80.0	0.00
11/14/2017	75599821 RF LAFFERTY & CO	CANTEL MEDICAL CORPORATION	B	10,067.00	0.00	0.00	0.00	80.0	0.00
11/14/2017	75599821 RF LAFFERTY & CO	CANTEL MEDICAL CORPORATION	B	5,034.00	0.00	0.00	0.00	80.0	0.00
11/14/2017	75599821 RF LAFFERTY & CO	CANTEL MEDICAL CORPORATION	B	5,034.00	0.00	0.00	0.00	80.0	0.00
11/15/2017	75599821 RF LAFFERTY & CO	AUTOHOME INC SPONSORED ADR REPST CL B		29,350.80	0.00	0.00	0.00	80.0	0.00
11/15/2017	75599821 RF LAFFERTY & CO	AUTOHOME INC SPONSORED ADR REPST CL S		29,350.80	0.00	0.00	0.00	80.0	0.00
11/15/2017	75599821 RF LAFFERTY & CO	ENTERCOM COMMUNICATIONS CORP CLASS B		6,258.43	0.00	0.00	0.00	80.0	0.00
11/15/2017	75599821 RF LAFFERTY & CO	ENTERCOM COMMUNICATIONS CORP CLASS B		6,258.43	0.00	0.00	0.00	80.0	0.00
11/15/2017	75599821 RF LAFFERTY & CO	ENTERCOM COMMUNICATIONS CORP CLASS S		6,773.40	0.00	0.00	0.00	80.0	0.00
11/15/2017	75599821 RF LAFFERTY & CO	ENTERCOM COMMUNICATIONS CORP CLASS S		5,743.51	0.00	0.00	0.00	80.0	0.00
11/15/2017	75599821 RF LAFFERTY & CO	FERRARI N V COM	B	32,457.99	0.00	0.00	0.00	80.0	0.00
11/15/2017	75599821 RF LAFFERTY & CO	FERRARI N V COM	S	10,818.00	0.00	0.00	0.00	80.0	0.00
11/15/2017	75599821 RF LAFFERTY & CO	FERRARI N V COM	S	10,819.00	0.00	0.00	0.00	80.0	0.00
11/15/2017	75599821 RF LAFFERTY & CO	FERRARI N V COM	S	10,821.00	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599821 RF LAFFERTY & CO	AMAZON.COM INC	S	11,374.54	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599821 RF LAFFERTY & CO	AMAZON.COM INC	S	5,687.27	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599821 RF LAFFERTY & CO	AMAZON.COM INC	S	11,374.54	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599821 RF LAFFERTY & CO	AMAZON.COM INC	B	28,436.35	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599821 RF LAFFERTY & CO	GENERAL ELECTRIC CO	B	10,860.55	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/16/2017	75599821 RF LAFFERTY & CO	GENERAL ELECTRIC CO	B	5,831.17	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599821 RF LAFFERTY & CO	GENERAL ELECTRIC CO	S	16,691.72	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599821 RF LAFFERTY & CO	MERIDIAN WASTE SOLUTIONS INC COMMON B		12,750.00	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599821 RF LAFFERTY & CO	MERIDIAN WASTE SOLUTIONS INC COMMON S		12,750.00	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	5,160.00	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	1,032.00	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	1,135.20	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	16,666.80	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	1,806.00	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599821 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	B	25,800.00	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599821 RF LAFFERTY & CO	SQUARE INC CLASS A COM	S	29,013.32	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599821 RF LAFFERTY & CO	SQUARE INC CLASS A COM	B	29,013.32	0.00	0.00	0.00	80.0	0.00
11/17/2017	75599821 RF LAFFERTY & CO	SQUARE INC CLASS A COM	S	26,244.00	0.00	0.00	0.00	80.0	0.00
11/17/2017	75599821 RF LAFFERTY & CO	SQUARE INC CLASS A COM	B	12,939.00	0.00	0.00	0.00	80.0	0.00
11/17/2017	75599821 RF LAFFERTY & CO	SQUARE INC CLASS A COM	B	4,435.00	0.00	0.00	0.00	80.0	0.00
11/17/2017	75599821 RF LAFFERTY & CO	SQUARE INC CLASS A COM	B	4,435.00	0.00	0.00	0.00	80.0	0.00
11/17/2017	75599821 RF LAFFERTY & CO	SQUARE INC CLASS A COM	B	2,217.50	0.00	0.00	0.00	80.0	0.00
11/17/2017	75599821 RF LAFFERTY & CO	SQUARE INC CLASS A COM	B	2,217.50	0.00	0.00	0.00	80.0	0.00
11/17/2017	75599821 RF LAFFERTY & CO	STRATA OIL & GAS INC	B	450.00	0.00	0.00	0.00	80.0	0.00
11/17/2017	75599821 RF LAFFERTY & CO	STRATA OIL & GAS INC	S	450.00	0.00	0.00	0.00	80.0	0.00
11/17/2017	75599821 RF LAFFERTY & CO	TVI PACIFIC INC	B	280.00	0.00	0.00	0.00	80.0	0.00
11/17/2017	75599821 RF LAFFERTY & CO	TVI PACIFIC INC	S	41.00	0.00	0.00	0.00	80.0	0.00
11/17/2017	75599821 RF LAFFERTY & CO	TVI PACIFIC INC	S	48.00	0.00	0.00	0.00	80.0	0.00
11/17/2017	75599821 RF LAFFERTY & CO	TVI PACIFIC INC	S	192.00	0.00	0.00	0.00	80.0	0.00
11/20/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		14,014.29	0.00	0.00	0.00	80.0	0.00
11/20/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		5,605.71	0.00	0.00	0.00	80.0	0.00
11/20/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		8,412.00	0.00	0.00	0.00	80.0	0.00
11/20/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		5,604.00	0.00	0.00	0.00	80.0	0.00
11/20/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		5,604.00	0.00	0.00	0.00	80.0	0.00
11/21/2017	75599821 RF LAFFERTY & CO	VERINT SYS INC COM	S	29,890.00	0.00	0.00	0.00	80.0	0.00
11/21/2017	75599821 RF LAFFERTY & CO	VERINT SYS INC COM	S	29,879.99	0.00	0.00	0.00	80.0	0.00
11/21/2017	75599821 RF LAFFERTY & CO	VERINT SYS INC COM	B	29,874.39	0.00	0.00	0.00	80.0	0.00
11/21/2017	75599821 RF LAFFERTY & CO	VERINT SYS INC COM	S	4,270.00	0.00	0.00	0.00	80.0	0.00
11/21/2017	75599821 RF LAFFERTY & CO	VERINT SYS INC COM	S	25,604.40	0.00	0.00	0.00	80.0	0.00
11/21/2017	75599821 RF LAFFERTY & CO	VERINT SYS INC COM	B	29,889.93	0.00	0.00	0.00	80.0	0.00
11/21/2017	75599821 RF LAFFERTY & CO	VERINT SYS INC COM	B	4,270.00	0.00	0.00	0.00	80.0	0.00
11/21/2017	75599821 RF LAFFERTY & CO	VERINT SYS INC COM	B	4,270.00	0.00	0.00	0.00	80.0	0.00
11/21/2017	75599821 RF LAFFERTY & CO	VERINT SYS INC COM	B	4,270.00	0.00	0.00	0.00	80.0	0.00
11/21/2017	75599821 RF LAFFERTY & CO	VERINT SYS INC COM	B	8,530.00	0.00	0.00	0.00	80.0	0.00
11/21/2017	75599821 RF LAFFERTY & CO	VERINT SYS INC COM	B	8,540.00	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/22/2017	75599821 RF LAFFERTY & CO	CRISPR THERAPEUTICS AG NAMEN-AKT IS S		19,749.00	0.00	0.00	0.00	80.0	0.00
11/22/2017	75599821 RF LAFFERTY & CO	CRISPR THERAPEUTICS AG NAMEN-AKT IS B		1,974.00	0.00	0.00	0.00	80.0	0.00
11/22/2017	75599821 RF LAFFERTY & CO	CRISPR THERAPEUTICS AG NAMEN-AKT IS B		1,975.00	0.00	0.00	0.00	80.0	0.00
11/22/2017	75599821 RF LAFFERTY & CO	CRISPR THERAPEUTICS AG NAMEN-AKT IS B		1,975.00	0.00	0.00	0.00	80.0	0.00
11/22/2017	75599821 RF LAFFERTY & CO	CRISPR THERAPEUTICS AG NAMEN-AKT IS B		1,975.00	0.00	0.00	0.00	80.0	0.00
11/22/2017	75599821 RF LAFFERTY & CO	CRISPR THERAPEUTICS AG NAMEN-AKT IS B		1,975.00	0.00	0.00	0.00	80.0	0.00
11/22/2017	75599821 RF LAFFERTY & CO	CRISPR THERAPEUTICS AG NAMEN-AKT IS B		3,950.00	0.00	0.00	0.00	80.0	0.00
11/22/2017	75599821 RF LAFFERTY & CO	CRISPR THERAPEUTICS AG NAMEN-AKT IS B		5,925.00	0.00	0.00	0.00	80.0	0.00
11/27/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		39,112.46	0.00	0.00	0.00	80.0	0.00
11/27/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		83.46	0.00	0.00	0.00	80.0	0.00
11/27/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		4,176.00	0.00	0.00	0.00	80.0	0.00
11/27/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		19,530.00	0.00	0.00	0.00	80.0	0.00
11/27/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		15,323.00	0.00	0.00	0.00	80.0	0.00
11/28/2017	75599821 RF LAFFERTY & CO	M101 CORP COMMON STOCK	B	6,981.96	0.00	0.00	0.00	80.0	0.00
11/28/2017	75599821 RF LAFFERTY & CO	M101 CORP COMMON STOCK	S	3,472.00	0.00	0.00	0.00	80.0	0.00
11/28/2017	75599821 RF LAFFERTY & CO	M101 CORP COMMON STOCK	S	700.00	0.00	0.00	0.00	80.0	0.00
11/28/2017	75599821 RF LAFFERTY & CO	M101 CORP COMMON STOCK	S	700.00	0.00	0.00	0.00	80.0	0.00
11/28/2017	75599821 RF LAFFERTY & CO	M101 CORP COMMON STOCK	S	812.00	0.00	0.00	0.00	80.0	0.00
11/28/2017	75599821 RF LAFFERTY & CO	M101 CORP COMMON STOCK	S	1,297.20	0.00	0.00	0.00	80.0	0.00
11/28/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		22,261.00	0.00	0.00	0.00	80.0	0.00
11/28/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		15,301.00	0.00	0.00	0.00	80.0	0.00
11/28/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		6,960.00	0.00	0.00	0.00	80.0	0.00
11/28/2017	75599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE MUNICIPAL I S		19,365.00	0.00	0.00	0.00	80.0	0.00
11/28/2017	75599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		12,910.00	0.00	0.00	0.00	80.0	0.00
11/28/2017	75599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		6,455.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599822 RF LAFFERTY & CO	TWITTER INC	S	20,845.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599822 RF LAFFERTY & CO	TWITTER INC	B	20,847.00	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599822 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	S	40,600.00	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599822 RF LAFFERTY & CO	OVERSTOCK COM INC DEL COM	B	40,600.00	0.00	0.00	0.00	80.0	0.00
11/09/2017	75599822 RF LAFFERTY & CO	MFS INTERMEDIATE INCOME TRUST SH BE B		79,610.00	0.00	0.00	0.00	80.0	0.00
11/09/2017	75599822 RF LAFFERTY & CO	MFS INTERMEDIATE INCOME TRUST SH BE S		79,400.50	0.00	0.00	0.00	80.0	0.00
11/09/2017	75599822 RF LAFFERTY & CO	MFS INTERMEDIATE INCOME TRUST SH BE B		-79,400.50	0.00	0.00	0.00	80.0	0.00 C
11/09/2017	75599822 RF LAFFERTY & CO	MFS INTERMEDIATE INCOME TRUST SH BE S		79,400.50	0.00	0.00	0.00	80.0	0.00
11/15/2017	75599822 RF LAFFERTY & CO	MFS INTERMEDIATE INCOME TRUST SH BE S		206.50	0.00	0.00	0.00	80.0	0.00
11/21/2017	75599822 RF LAFFERTY & CO	VERINT SYS INC COM	B	29,879.99	0.00	0.00	0.00	80.0	0.00
11/21/2017	75599822 RF LAFFERTY & CO	VERINT SYS INC COM	S	29,874.39	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT B		22,274.40	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		5,743.04	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT B		5,743.04	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		21,518.96	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE B		8,444.65	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE B		28,242.55	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE B		21,518.96	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		8,444.65	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		28,242.56	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		25,047.29	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		25,047.29	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		19,104.33	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		82,824.60	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		19,104.33	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		82,824.61	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		29,215.60	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		22,274.40	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT B		29,215.60	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		27,710.31	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		21,089.87	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B		9,771.62	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B		27,710.31	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B		21,089.87	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		9,771.62	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		27,348.96	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		27,348.96	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		20,840.77	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		10,940.54	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		10,940.54	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		20,840.77	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		20,514.26	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF B		13,493.51	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF B		26,905.31	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF B		20,514.26	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		13,493.51	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		26,905.31	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		27,257.39	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B		11,621.34	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B		27,257.39	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B		20,759.30	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		11,621.34	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		20,759.30	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		27,761.53	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF B		27,761.53	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF B		21,173.95	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		9,448.41	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF B		9,448.41	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		21,173.95	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		27,361.28	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		20,862.51	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF B		10,934.83	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF B		27,361.28	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF B		20,862.51	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		10,934.83	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	S	3,100.32	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	233,307.21	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	187,826.99	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	B	3,100.32	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	S	233,307.21	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST	S	187,826.99	0.00	0.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *				\$6,686,221.16	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: BONDS</u>									
11/08/2017	75599820 RF LAFFERTY & CO	UNITED STATES TREAS NTS 1.625% 11/1 S		98,520.88	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599820 RF LAFFERTY & CO	UNITED STATES TREAS NTS 1.625% 11/1 B		98,320.88	0.00	0.00	0.00	80.0	0.00
11/14/2017	75599820 RF LAFFERTY & CO	UNITED STATES TREAS NTS 1.625% 11/1 S		98,196.30	0.00	0.00	0.00	80.0	0.00
11/14/2017	75599820 RF LAFFERTY & CO	UNITED STATES TREAS NTS 1.625% 11/1 B		97,996.30	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599820 RF LAFFERTY & CO	SOUTH DAKOTA ST HEALTH & EDL SANFOR B		50,601.50	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599820 RF LAFFERTY & CO	SOUTH DAKOTA ST HEALTH & EDL SANFOR S		51,242.50	0.00	0.00	0.00	80.0	0.00
10/30/2017	75599826 RF LAFFERTY & CO	BANK AMER CORP TGT CPN 14 LINKED TO S		11,775.00	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599826 RF LAFFERTY & CO	GENERAL MOTORS FINL CO SENIOR NOTES B		20,095.80	0.00	0.00	0.00	80.0	0.00
10/31/2017	75599826 RF LAFFERTY & CO	GENERAL MOTORS FINL CO SENIOR NOTES S		20,160.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599826 RF LAFFERTY & CO	FIRSTENERGY SOLUTIONS CORP GTD SR N B		14,700.00	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599826 RF LAFFERTY & CO	ENSCO PLC	S	21,312.50	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599826 RF LAFFERTY & CO	ENSCO PLC	B	21,218.75	0.00	0.00	0.00	80.0	0.00
11/08/2017	75599826 RF LAFFERTY & CO	MORGAN STANLEY MEDIUM TERM SR NTS F B		7,650.00	0.00	0.00	0.00	80.0	0.00
11/10/2017	75599826 RF LAFFERTY & CO	MORGAN STANLEY MEDIUM TERM SR NTS F S		27,475.00	0.00	0.00	0.00	80.0	0.00
11/13/2017	75599826 RF LAFFERTY & CO	MORGAN STANLEY TGT CPN 12 LINKED TO B		38,500.00	0.00	0.00	0.00	80.0	0.00
11/13/2017	75599826 RF LAFFERTY & CO	MORGAN STANLEY TGT CPN 12 LINKED TO S		19,625.00	0.00	0.00	0.00	80.0	0.00
11/16/2017	75599826 RF LAFFERTY & CO	MORGAN STANLEY TGT CPN 12 LINKED TO S		19,500.00	0.00	0.00	0.00	80.0	0.00
11/20/2017	75599826 RF LAFFERTY & CO	GOLDMAN SACHS GROUP INC	S	15,650.00	0.00	0.00	0.00	80.0	0.00
11/28/2017	75599826 RF LAFFERTY & CO	BANK AMER CORP MEDIUM TERM SR NTS S S		16,500.00	0.00	0.00	0.00	80.0	0.00
11/28/2017	75599826 RF LAFFERTY & CO	SLM CORP EDNOTES BOOK ENTRY CA LL 6 B		23,100.00	0.00	0.00	0.00	80.0	0.00
11/28/2017	75599826 RF LAFFERTY & CO	SLM CORP EDNOTES BOOK ENTRY CA LL 6 S		23,287.50	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
				* BONDS SUBTOTAL *	\$795,427.91	\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
11/01/2017	75599822 RF LAFFERTY & CO	PUT AMZN 09/21/18 1000	S	42,722.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599822 RF LAFFERTY & CO	PUT AMZN 09/21/18 1000	B	42,760.00	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599822 RF LAFFERTY & CO	PUT NVDA 06/15/18 195	B	17,774.00	0.00	0.00	0.00	80.0	0.00
11/07/2017	75599822 RF LAFFERTY & CO	PUT NVDA 06/15/18 195	S	17,800.00	0.00	0.00	0.00	80.0	0.00
				* OPTIONS SUBTOTAL *	\$121,056.00	\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u>									
11/03/2017	75599820 RF LAFFERTY & CO	MORGAN STANLEY BK N A UTAH CD C/D F B		89,986.50	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599820 RF LAFFERTY & CO	MORGAN STANLEY BK N A UTAH CD C/D F S		90,000.00	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599820 RF LAFFERTY & CO	MORGAN STANLEY BK N A UTAH CD C/D F B		99,985.00	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599820 RF LAFFERTY & CO	MORGAN STANLEY BK N A UTAH CD C/D F S		100,000.00	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599820 RF LAFFERTY & CO	STATE BK INDIA NEW YORK NY C/D FDIC B		249,962.50	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599820 RF LAFFERTY & CO	STATE BK INDIA NEW YORK NY C/D FDIC S		250,000.00	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599820 RF LAFFERTY & CO	STATE BK INDIA NEW YORK NY C/D FDIC B		249,962.50	0.00	0.00	0.00	80.0	0.00
11/03/2017	75599820 RF LAFFERTY & CO	STATE BK INDIA NEW YORK NY C/D FDIC S		250,000.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599826 RF LAFFERTY & CO	CITIBANK N A C/D FDIC INS TO LIMITS S		3,120.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599826 RF LAFFERTY & CO	CITIBANK N A C/D FDIC INS TO LIMITS B		3,075.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599826 RF LAFFERTY & CO	EAST WEST BK PASADENA CALIF C/D FDI S		3,525.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599826 RF LAFFERTY & CO	EAST WEST BK PASADENA CALIF C/D FDI B		3,500.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599826 RF LAFFERTY & CO	JPMORGAN CHASE BK NA COLUMBUS OHIO S		7,950.00	0.00	0.00	0.00	80.0	0.00
11/01/2017	75599826 RF LAFFERTY & CO	JPMORGAN CHASE BK NA COLUMBUS OHIO B		7,900.00	0.00	0.00	0.00	80.0	0.00
				* CERTIFICATES OF DEPOSIT SUBTOTAL *	\$1,408,966.50	\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
11/02/2017	75599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SER S		10,004.80	0.00	0.00	0.00	80.0	0.00
11/02/2017	75599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SER B		9,804.66	0.00	0.00	0.00	80.0	0.00
				* UNIT INVESTMENT TRUSTS SUBTOTAL *	\$19,809.46	\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/09/2017	75500245 BLUMENTHAL, MARK	MASS INVESTORS GROWTH STOCK FUND-A B		0.00	0.12	0.00	0.12	80.0	0.10
11/09/2017	75500245 BLUMENTHAL, MARK	PUTNAM 746802800	B	0.00	0.35	0.00	0.35	80.0	0.28
11/09/2017	75500245 BLUMENTHAL, MARK	PUTNAM GLOBAL EQUITY FUND CLASS A B		0.00	0.07	0.00	0.07	80.0	0.06
11/09/2017	75500245 BLUMENTHAL, MARK	PUTNAM NEW OPPORTUNITIES FUND CLASS B		0.00	0.12	0.00	0.12	80.0	0.10
11/09/2017	75500961 HIRTH, ASHER	ALLIANZ OCC RENAISSANCE FUND CLASS B		0.00	4.22	0.00	4.22	80.0	3.38
11/09/2017	75500961 HIRTH, ASHER	DREYFUS RESH GROWTH FD INC SHS CL A B		0.00	0.34	0.00	0.34	80.0	0.27
11/09/2017	75500961 HIRTH, ASHER	JOHN HANCOCK CLASSIC VALUE FUND CLA B		0.00	0.59	0.00	0.59	80.0	0.47
11/09/2017	75500961 HIRTH, ASHER	MUTUAL DISC FND - CL A	B	0.00	3.06	0.00	3.06	80.0	2.45
11/09/2017	75500961 HIRTH, ASHER	OLSTEIN ALL CAP VALUE FUND CLASS C B		0.00	5.04	0.00	5.04	80.0	4.03

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
11/21/2017	75500961	HIRTH, ASHER	COHEN & STEERS REAL ESTATE SECURITI	B	0.00	1.83	0.00	1.83	80.0	1.46
11/09/2017	75501430	D'AGOSTINO, NICHOLAS	AMERICAN FUNDS 2025 TARGET DATE-A	B	0.00	0.25	0.00	0.25	80.0	0.20
11/09/2017	75501842	STRAUGHN, SARAH	**GUGGENHEIM FDS TR	B	0.00	2.53	0.00	2.53	80.0	2.02
11/09/2017	75501842	STRAUGHN, SARAH	**VIRTUS EQUITY TREND FUND	B	0.00	5.73	0.00	5.73	80.0	4.58
11/09/2017	75501842	STRAUGHN, SARAH	**VIRTUS MULTI ASSET TREND	B	0.00	7.66	0.00	7.66	80.0	6.13
11/09/2017	75501842	STRAUGHN, SARAH	**VIRTUS MULTI SECTOR SHORT	B	0.00	34.40	0.00	34.40	80.0	27.52
11/09/2017	75501842	STRAUGHN, SARAH	FEDERATED MUN SECS INCOME TR HIGH Y	B	0.00	4.16	0.00	4.16	80.0	3.33
11/09/2017	75501842	STRAUGHN, SARAH	JANUS GLOBAL UNCONSTRAINED BOND FUN	B	0.00	10.56	0.00	10.56	80.0	8.45
11/09/2017	75501844	STRAUGHN, SARAH	**VIRTUS EQUITY TREND FUND	B	0.00	0.52	0.00	0.52	80.0	0.42
11/09/2017	75501844	STRAUGHN, SARAH	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	47.90	0.00	47.90	80.0	38.32
11/09/2017	75501844	STRAUGHN, SARAH	LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	34.06	0.00	34.06	80.0	27.25
11/09/2017	75501846	STRAUGHN, SARAH	TEMP WORLD FND - CL A	B	0.00	5.94	0.00	5.94	80.0	4.75
11/09/2017	75501846	STRAUGHN, SARAH	THE GROWTH FUND OF AMERICA-A	B	0.00	13.45	0.00	13.45	80.0	10.76
11/09/2017	75501846	STRAUGHN, SARAH	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	14.07	0.00	14.07	80.0	11.26
11/09/2017	75597106	MORGAN, LESTER	AMCAP FUND-A	B	0.00	4.10	0.00	4.10	80.0	3.28
11/09/2017	75597106	MORGAN, LESTER	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	4.85	0.00	4.85	80.0	3.88
11/09/2017	75597106	MORGAN, LESTER	FIRST EAGLE GOLD FUND CLASS A	B	0.00	3.15	0.00	3.15	80.0	2.52
11/09/2017	75597106	MORGAN, LESTER	FRANKLIN HIGH-YIELD TAX-FREE INCOME	B	0.00	3.46	0.00	3.46	80.0	2.77
11/09/2017	75597106	MORGAN, LESTER	HANCOCK JOHN CAP SER GRTR CHINA OPP	B	0.00	3.53	0.00	3.53	80.0	2.82
11/09/2017	75597106	MORGAN, LESTER	NEW PERSPECTIVE FUND-A	B	0.00	31.67	0.00	31.67	80.0	25.34
11/09/2017	75597106	MORGAN, LESTER	REGIONAL BANK A	B	0.00	1.44	0.00	1.44	80.0	1.15
11/09/2017	75597106	MORGAN, LESTER	TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	5.21	0.00	5.21	80.0	4.17
11/09/2017	75597107	MORGAN, LESTER	AMCAP FUND-A	B	0.00	1.75	0.00	1.75	80.0	1.40
11/09/2017	75597107	MORGAN, LESTER	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	7.22	0.00	7.22	80.0	5.78
11/09/2017	75597125	SHAVITZ, ERNEST	FRANKLIN TAX FREE TR FEDERAL INTER	B	0.00	111.27	0.00	111.27	80.0	89.02
11/09/2017	75597125	SHAVITZ, ERNEST	MAINSTAY FDS HIGH YIELD CORPORATE-C	B	0.00	41.96	0.00	41.96	80.0	33.57
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$416.58	\$0.00	\$416.58	\$333.29	
*** BROKER TRANSACTIONS TOTAL ***					\$9,031,481.03	\$416.58	\$0.00	\$416.58	\$333.29	

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

11/30/2017		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-10.00

BROKER COMMISSION TOTALS

Broker Transactions	\$333.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-10.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.52
SIPC Assessment	\$-1.04

Check Amount	\$321.73
Year-to-date Earnings	\$734.62