

COMMISSION STATEMENT

January 15, 2018

RANDY SMITH-D061

BROKER #: D061

BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
12/18/2017 (None)	0030282465	MF SCHOLARS CHOICE	B	0.00	1.50	0.00	1.50	50.0	0.75
12/26/2017	000005870019840	MARY F MAYES, MF TEMP GLOBAL SM CO FND - CL A	B	30.00	1.50	0.00	1.50	50.0	0.75
01/05/2018	00058011489	FOSTER, EMILIE MF CAPITAL WORLD GROWTH AND INCOME	B	4,825.81	96.52	0.00	96.52	50.0	48.26
12/29/2017	00063963036	JAUER, DAVID MF NEW PERSPECTIVE FUND-A	B	6,500.00	130.00	0.00	130.00	50.0	65.00
01/03/2018	00068681036	MURPHY, DEBORAH MF THE NEW ECONOMY FUND-A	B	75.00	3.19	0.00	3.19	50.0	1.60
01/03/2018	00068681036	MURPHY, DEBORAH MF WASHINGTON MUTUAL INVESTORS	B	75.00	3.19	0.00	3.19	50.0	1.60
01/05/2018	00074516217	MONROY, INGRID MF CAPITAL WORLD GROWTH AND INCOME	B	1,750.00	48.13	0.00	48.13	50.0	24.07
01/05/2018	00074516217	MONROY, INGRID MF NEW PERSPECTIVE FUND-A	B	1,750.00	48.13	0.00	48.13	50.0	24.07
01/05/2018	00074516217	MONROY, INGRID MF THE GROWTH FUND OF AMERICA-A	B	2,000.00	55.00	0.00	55.00	50.0	27.50
01/05/2018	00074516217	MONROY, INGRID MF WASHINGTON MUTUAL INVESTORS	B	1,000.00	27.50	0.00	27.50	50.0	13.75
01/02/2018	00075390701	MURPHY, DEBORAH MF INTERMEDIATE BOND FUND OF	B	1,000.00	20.00	0.00	20.00	50.0	10.00
01/02/2018	00075396784	CASTANEDA, SANDRA MF THE GROWTH FUND OF AMERICA-A	B	70.00	1.40	0.00	1.40	50.0	0.70
12/26/2017	00083359485	SCHLESSIGER, MF AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
01/02/2018	00083949478	LIMON, ELIZABETH MF AMERICAN BALANCED FUND-A	B	160.00	3.20	0.00	3.20	50.0	1.60
01/02/2018	00083949478	LIMON, ELIZABETH MF AMERICAN BALANCED FUND-A	B	160.00	3.20	0.00	3.20	50.0	1.60
01/02/2018	00084366836	MENDOZA, SANDRA MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
01/02/2018	00084366836	MENDOZA, SANDRA MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
12/29/2017	00086507215	TRAPP, ANDREA MF AMERICAN BALANCED FUND-A	B	100.00	4.25	0.00	4.25	50.0	2.13
01/02/2018	00087738166	JIMERFIELD, MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
01/02/2018	00087738166	JIMERFIELD, MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
01/05/2018	00089079661	KAREN STEHLING MF AMERICAN BALANCED FUND-A	B	1,246.57	34.28	0.00	34.28	50.0	17.14
12/27/2017	04000163744	GARZA, ALEXANDER MF AMERICAN BALANCED FUND-A	B	100.00	5.00	0.00	5.00	50.0	2.50
12/27/2017	04000163744	GARZA, ALEXANDER MF FUNDAMENTAL INVESTORS-A	B	50.00	2.50	0.00	2.50	50.0	1.25
12/27/2017	04000163744	GARZA, ALEXANDER MF NEW PERSPECTIVE FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
* MUTUAL FUNDS SUBTOTAL *				\$21,392.38	\$501.49	\$0.00	\$501.49		\$250.77

PRODUCT CATEGORY: VARIABLE ANNUITIES

12/31/2017	009062915A	BROWN, SARAH VA PERSPECTIVE	B	122,650.23	25.55	0.00	25.55	50.0	12.78
12/31/2017	1009661472	BAHAN, MARGARET VA PERSPECTIVE II	B	125,061.05	78.16	0.00	78.16	50.0	39.08
12/31/2017	1009737665	BARICKMAN, VA PERSPECTIVE II	B	116,345.38	72.72	0.00	72.72	50.0	36.36
12/31/2017	1013950608	BURNETT, PATRICIA VA PERSP II 09/12	B	1,184,846.20	987.37	0.00	987.37	50.0	493.69

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/31/2017	1015646752 DORER, VALORIE	VA PERSP II 04/14	B	71,611.47	59.68	0.00	59.68	50.0	29.84
12/31/2017	1015845666 HOGAN, REBECCA	VA PERSP II 04/14	B	61,331.41	51.11	0.00	51.11	50.0	25.56
12/31/2017	1016104708 SCHROEDER, RICHARD	VA PERSP II 04/14	B	261,314.42	217.76	0.00	217.76	50.0	108.88
12/31/2017	1018926438 WILLIAMS, JOE	VA PERSP II 04/14	B	337,816.45	70.38	0.00	70.38	50.0	35.19
* VARIABLE ANNUITIES SUBTOTAL *				\$2,280,976.61	\$1,562.73	\$0.00	\$1,562.73		\$781.38

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/31/2017	(None) VARIOUS, RANDY SMITH	MFT SCHOLAR'S EDGE	B	0.00	15.13	0.00	15.13	50.0	7.57
12/20/2017	(None) GARTIN, ROBERT	MFT OPPENHEIMER	B	0.00	6.00	0.00	6.00	50.0	3.00
12/29/2017	(None) COUVILLION, TARI	MFT VIRTUS	B	0.00	15.92	0.00	15.92	50.0	7.96
12/31/2017	(None) VARIOUS, RANDALL SMITH	MFT OPPENHEIMER	B	0.00	383.27	0.00	383.27	50.0	191.64
01/01/2018	00005627047 SHEPHERD, GARY	MFT AIM ENERGY FUND - CLASS A	B	0.00	8.92	0.00	8.92	50.0	4.46
01/01/2018	00006047615 JOHNSON, DIANNE	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	7.65	0.00	7.65	50.0	3.83
01/01/2018	00006047615 JOHNSON, DIANNE	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	29.26	0.00	29.26	50.0	14.63
01/03/2018	00099707981 GILLHAM TOD,	MFT TRANSAMERICA MULTI-MANAGED	B	0.00	10.97	0.00	10.97	50.0	5.49
01/03/2018	00099707981 GILLHAM TOD,	MFT TRANSAMERICA-IDEX 893961813	B	0.00	10.98	0.00	10.98	50.0	5.49
01/03/2018	00099707981 GILLHAM TOD,	MFT TRANSAMERICA-IDEX 893962415	B	0.00	15.73	0.00	15.73	50.0	7.87
01/03/2018	00099804447 GRAVES TOD,	MFT TRANSAMERICA-IDEX 893962415	B	0.00	0.41	0.00	0.41	50.0	0.21
01/01/2018	00670514319 MARTINEZ, MANUEL	MFT AIM DIVERSIFIED DIVIDEND FUND -	B	0.00	11.42	0.00	11.42	50.0	5.71
01/01/2018	00671304303 SANCHEZ, ADRIANA	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	67.52	0.00	67.52	50.0	33.76
01/01/2018	00672055601 DOROTHY A LAWLER,	MFT AIM REAL ESTATE FUND - CLASS A	B	0.00	2.65	0.00	2.65	50.0	1.33
01/03/2018	00961633589 MARTINEZ, MANUEL	MFT PIONEER CLASSIC BALANCED FUND A	B	0.00	34.13	0.00	34.13	50.0	17.07
01/03/2018	00963434381 HANSON, SARAH	MFT PIONEER VALUE FUND A	B	0.00	0.90	0.00	0.90	50.0	0.45
01/01/2018	01001315426 MOORE, ALETHEA	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	43.58	0.00	43.58	50.0	21.79
01/01/2018	03909106654 COLLAS, PAUL	MFT AIM DIVERSIFIED DIVIDEND FUND -	B	0.00	14.80	0.00	14.80	50.0	7.40
01/01/2018	03909106654 COLLAS, PAUL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	19.26	0.00	19.26	50.0	9.63
01/01/2018	04025619125 TAYLOR UGMA/TX,	MFT AIM INTERNATIONAL GROWTH FUND -	B	0.00	2.90	0.00	2.90	50.0	1.45
01/01/2018	04025619125 TAYLOR UGMA/TX,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	3.63	0.00	3.63	50.0	1.82
01/03/2018	04030010295 MARTINEZ, MANUEL	MFT PIONEER CLASSIC BALANCED FUND A	B	0.00	17.50	0.00	17.50	50.0	8.75
01/01/2018	05002779055 SHEPHERD, KATIE	MFT AIM ENERGY FUND - CLASS A	B	0.00	1.39	0.00	1.39	50.0	0.70
01/01/2018	05024959701 COLLAS, PAUL	MFT AIM DIVERSIFIED DIVIDEND FUND -	B	0.00	8.93	0.00	8.93	50.0	4.47
01/03/2018	06500021438 GILLHAM (BENE),	MFT TRANSAMERICA HIGH YIELD BOND-A	B	0.00	13.92	0.00	13.92	50.0	6.96
01/03/2018	06500021438 GILLHAM (BENE),	MFT TRANSAMERICA-IDEX 893962415	B	0.00	14.20	0.00	14.20	50.0	7.10
01/03/2018	06500027143 CRAWFORD, CARLA	MFT TRANSAMERICA ASSET ALLC-GROWTH	B	0.00	0.33	0.00	0.33	50.0	0.17
01/03/2018	06500159364 GILLHAM TOD,	MFT TRANSAMERICA-IDEX 893962415	B	0.00	17.05	0.00	17.05	50.0	8.53
01/03/2018	06500159365 GILLHAM (BENE),	MFT TRANSAMERICA-IDEX 893962415	B	0.00	28.60	0.00	28.60	50.0	14.30
01/01/2018	06910071921 JOHNSON, DIANNE	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	0.60	0.00	0.60	50.0	0.30
01/01/2018	06910071921 JOHNSON, DIANNE	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	3.47	0.00	3.47	50.0	1.74
01/01/2018	08000434478 JOHNSON, DIANNE	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	4.87	0.00	4.87	50.0	2.44
01/01/2018	08000434478 JOHNSON, DIANNE	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	19.37	0.00	19.37	50.0	9.69
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$835.26	\$0.00	\$835.26		\$417.71

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PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
12/29/2017 (None)	BISHOP, GARLAND	VAT COMMONWEALTH	B	0.00	111.95	0.00	111.95	50.0	55.98
12/30/2017 (None)	MATHIS, MAGARET	VAT NATIONWIDE	B	0.00	93.18	0.00	93.18	50.0	46.59
12/31/2017 (None)	PETER, ELOISE KOLLMAN	VAT LINCOLN NATL LIFE	B	0.00	114.59	0.00	114.59	50.0	57.30
12/31/2017 (None)	ABBOTT, BEN	VAT LINCOLN NATL LIFE	B	0.00	298.37	0.00	298.37	50.0	149.19
12/31/2017 (None)	WHITE, MARGARET	VAT PRUDENTIAL LIFE	B	0.00	36.73	0.00	36.73	50.0	18.37
01/02/2018 (None)	MEYER	VAT TRANSAMERICA PREMIER	B	0.00	789.25	0.00	789.25	50.0	394.63
01/02/2018 (None)	GRAVES	VAT TRANSAMERICA PREMIER	B	0.00	69.65	0.00	69.65	50.0	34.83
01/05/2018 (None)	HERAS, JUANITA	VAT JOHN HANCOCK LIFE	B	0.00	2.93	0.00	2.93	50.0	1.47
12/31/2017 00711345641	DORER, VALORIE	VAT HARTFORD LIFE	B	0.00	109.64	0.00	109.64	50.0	54.82
12/31/2017 00711345641	COLLINS, GLADYS	VAT HARTFORD LIFE	B	0.00	163.43	0.00	163.43	50.0	81.72
12/31/2017 00711345641	NICHOLS, LARHEA	VAT HARTFORD LIFE	B	0.00	4.53	0.00	4.53	50.0	2.27
12/31/2017 00711345641	CLOPTON, GENE	VAT HARTFORD LIFE	B	0.00	16.05	0.00	16.05	50.0	8.03
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,810.30	\$0.00	\$1,810.30		\$905.20
*** DIRECT TRANSACTIONS TOTAL ***				\$2,302,368.99	\$4,709.78	\$0.00	\$4,709.78		\$2,355.06

SPLIT TRANSACTIONSBROKER: RIX/RANDY SMITH/SMITH-D081 #80

01/05/2018 00073969963	SMITH, RONALD	MF NEW PERSPECTIVE FUND-A	B	4,877.75	48.78	0.00	48.78		24.39
* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *				\$4,877.75	\$48.78	\$0.00	\$48.78		\$24.39
*** SPLIT TRANSACTIONS TOTAL ***				\$4,877.75	\$48.78	\$0.00	\$48.78		\$24.39

REP LINK TRANSACTIONSBROKER: RANDY SMITH-D601 #66

01/02/2018 08190128650	GIFT MIN ACT-SD,	MF MFS MID CAP GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
01/01/2018 00090001299	GULF STATES	MFT MFS INTERNATIONAL GROWTH FUND-C	B	0.00	138.68	0.00	138.68		27.74
01/01/2018 00090002000	GULF STATES	MFT MFS TECHNOLOGY FUND-C	B	0.00	225.04	0.00	225.04		45.01
01/01/2018 00090002235	GULF STATES	MFT MFS MID CAP VALUE FUND-C	B	0.00	175.28	0.00	175.28		35.06
01/01/2018 00090002652	GULF STATES	MFT MFS EMERGING MARKETS EQUITY	B	0.00	70.96	0.00	70.96		14.19
01/01/2018 00090011485	GULF STATES	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	141.58	0.00	141.58		28.32
01/01/2018 08187623236	ST JULIEN, ERIC	MFT MFS MID CAP GROWTH FUND-A	B	0.00	7.38	0.00	7.38		1.48
01/01/2018 08187623236	ST JULIEN, ERIC	MFT MFS MID CAP VALUE FUND-A	B	0.00	24.75	0.00	24.75		4.95
01/01/2018 08189050828	SASSEN, JOHN	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	47.02	0.00	47.02		9.40
01/01/2018 08189189584	CORBETT LIVING	MFT MFS UTILITIES FUND-C	B	0.00	0.99	0.00	0.99		0.20
01/01/2018 08189251981	WARNIE, BARBARA	MFT MFS TECHNOLOGY FUND-C	B	0.00	81.58	0.00	81.58		16.32
01/01/2018 08189384163	DELSA, SUZANNE	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	4.80	0.00	4.80		0.96
01/01/2018 08189400866	KNIGHT, WILLIAM	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	0.74	0.00	0.74		0.15
01/01/2018 08189401119	JAMII BARAKA ST	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	4.63	0.00	4.63		0.93
01/01/2018 08189401119	JAMII BARAKA ST	MFT MFS MID CAP VALUE FUND-A	B	0.00	3.13	0.00	3.13		0.63
01/01/2018 08189401123	ST JULIEN,	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	8.07	0.00	8.07		1.61

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01/01/2018 08189401123	ST JULIEN,	MFT MFS MID CAP VALUE FUND-A	B	0.00	13.67	0.00	13.67		2.73
01/01/2018 08189402020	TAZAMA UPENDO ST.	MFT MFS INTERNATIONAL VALUE FUND-A	B	0.00	3.01	0.00	3.01		0.60
01/01/2018 08189516581	COCKERHAM, CAROL	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	10.64	0.00	10.64		2.13
01/01/2018 08190039961	COCKERHAM, CAROL	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	148.92	0.00	148.92		29.78
01/01/2018 08190095532	SASSEN, JOHN	MFT MFS CORE EQUITY FUND-C	B	0.00	37.32	0.00	37.32		7.46
01/01/2018 08190123620	GIFT MIN ACT LA,	MFT MFS UTILITIES FUND-C	B	0.00	14.76	0.00	14.76		2.95
01/01/2018 08190128650	GIFT MIN ACT-SD,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	17.34	0.00	17.34		3.47
01/01/2018 08190129109	MARY BUQUOI FINN	MFT MFS DIVERSIFIED INCOME FUND-C	B	0.00	5.84	0.00	5.84		1.17
01/01/2018 08190129109	MARY BUQUOI FINN	MFT MFS GROWTH FUND-C	B	0.00	17.91	0.00	17.91		3.58
01/01/2018 08190129110	MARY BUQUOI FINN	MFT MFS GROWTH FUND-C	B	0.00	21.39	0.00	21.39		4.28
01/01/2018 08190129112	MARY BUQUOI FINN	MFT MFS GROWTH FUND-C	B	0.00	14.89	0.00	14.89		2.98
01/01/2018 08190129112	MARY BUQUOI FINN	MFT MFS MID CAP VALUE FUND-C	B	0.00	5.54	0.00	5.54		1.11
01/01/2018 08190129113	MARY BUQUOI FINN	MFT MFS GROWTH FUND-C	B	0.00	13.93	0.00	13.93		2.79
01/01/2018 08190129113	MARY BUQUOI FINN	MFT MFS MID CAP VALUE FUND-C	B	0.00	5.54	0.00	5.54		1.11
01/01/2018 08190130171	PROPERTY,	MFT MFS GROWTH FUND-C	B	0.00	40.06	0.00	40.06		8.01
01/01/2018 08190130171	PROPERTY,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	38.02	0.00	38.02		7.60
01/01/2018 08191017415	COCKERHAM, CAROL	MFT MFS MID CAP GROWTH FUND-A	B	0.00	55.94	0.00	55.94		11.19
01/01/2018 08191029111	LA, UTMA	MFT MFS GROWTH FUND-C	B	0.00	18.23	0.00	18.23		3.65
01/01/2018 08191041110	LIVING TR UA DTD	MFT MFS INTERNATIONAL GROWTH FUND-C	B	0.00	19.49	0.00	19.49		3.90
01/01/2018 08191041110	LIVING TR UA DTD	MFT MFS MID CAP GROWTH FUND-C	B	0.00	23.80	0.00	23.80		4.76
01/01/2018 08191041110	LIVING TR UA DTD	MFT MFS MID CAP VALUE FUND-C	B	0.00	25.38	0.00	25.38		5.08
01/01/2018 08191043389	GIFT MIN ACT LA,	MFT MFS MID CAP VALUE FUND-A	B	0.00	6.69	0.00	6.69		1.34
01/01/2018 08191043390	GIFT MIN ACT LA,	MFT MFS TECHNOLOGY FUND-A	B	0.00	8.55	0.00	8.55		1.71
01/01/2018 08191059944	FINN, JOHN	MFT MFS DIVERSIFIED INCOME FUND-C	B	0.00	108.85	0.00	108.85		21.77
01/01/2018 08191117462	DELSA, SUZANNE	MFT MFS MID CAP VALUE FUND-C	B	0.00	9.30	0.00	9.30		1.86
01/01/2018 08191122694	KNIGHT, WILLIAM	MFT MFS INTERNATIONAL GROWTH FUND-C	B	0.00	53.90	0.00	53.90		10.78
01/01/2018 08191122694	KNIGHT, WILLIAM	MFT MFS MID CAP VALUE FUND-C	B	0.00	52.75	0.00	52.75		10.55
01/01/2018 08191161796	RAINES,	MFT MFS MID CAP VALUE FUND-C	B	0.00	6.52	0.00	6.52		1.30
01/01/2018 08191187109	BEACH, MELISSA	MFT MFS GROWTH FUND-C	B	0.00	33.13	0.00	33.13		6.63
01/01/2018 08191187109	BEACH, MELISSA	MFT MFS MID CAP GROWTH FUND-C	B	0.00	32.30	0.00	32.30		6.46
01/01/2018 08191187109	BEACH, MELISSA	MFT MFS TECHNOLOGY FUND-C	B	0.00	37.22	0.00	37.22		7.44
01/01/2018 08191191313	TICHENOR,	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	9.94	0.00	9.94		1.99
01/01/2018 08191191313	TICHENOR,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	11.62	0.00	11.62		2.32
01/01/2018 08191191313	TICHENOR,	MFT MFS MID CAP VALUE FUND-C	B	0.00	12.08	0.00	12.08		2.42
01/01/2018 08191196742	DI GRADO, JOSEPH	MFT MFS MID CAP VALUE FUND-C	B	0.00	32.78	0.00	32.78		6.56
01/01/2018 08191197834	NOLTING, EVELYN	MFT MFS GROWTH FUND-C	B	0.00	22.94	0.00	22.94		4.59
01/01/2018 08191197834	NOLTING, EVELYN	MFT MFS MID CAP VALUE FUND-C	B	0.00	20.83	0.00	20.83		4.17
01/01/2018 08191197834	NOLTING, EVELYN	MFT MFS UTILITIES FUND-C	B	0.00	17.14	0.00	17.14		3.43
01/01/2018 08191197864	WARNIE, BARBARA	MFT MFS GROWTH FUND-C	B	0.00	71.81	0.00	71.81		14.36
01/01/2018 08191197864	WARNIE, BARBARA	MFT MFS MID CAP GROWTH FUND-C	B	0.00	68.35	0.00	68.35		13.67
01/01/2018 08191197864	WARNIE, BARBARA	MFT MFS UTILITIES FUND-C	B	0.00	34.99	0.00	34.99		7.00
01/01/2018 08191216107	GEE, WANDA	MFT MFS GROWTH FUND-C	B	0.00	69.68	0.00	69.68		13.94
01/01/2018 08191216107	GEE, WANDA	MFT MFS MID CAP VALUE FUND-C	B	0.00	62.44	0.00	62.44		12.49
01/01/2018 08191220624	COCKERHAM, CAROL	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	57.22	0.00	57.22		11.44

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/01/2018 08191220625	COCKERHAM, ASHLEY	MFT MFS MID CAP GROWTH FUND-A	B	0.00	8.00	0.00	8.00		1.60
01/01/2018 08191220625	COCKERHAM, ASHLEY	MFT MFS MID CAP VALUE FUND-C	B	0.00	29.57	0.00	29.57		5.91
01/01/2018 08191235410	PEREZ, CHRISTINA	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	33.53	0.00	33.53		6.71
01/01/2018 08191235410	PEREZ, CHRISTINA	MFT MFS MID CAP GROWTH FUND-C	B	0.00	22.84	0.00	22.84		4.57
01/01/2018 08191235410	PEREZ, CHRISTINA	MFT MFS MID CAP VALUE FUND-C	B	0.00	42.73	0.00	42.73		8.55
01/01/2018 08191250375	BARAKA ST JULIEN,	MFT MFS GROWTH FUND-C	B	0.00	13.99	0.00	13.99		2.80
01/01/2018 08191250375	BARAKA ST JULIEN,	MFT MFS MID CAP VALUE FUND-C	B	0.00	12.10	0.00	12.10		2.42
01/01/2018 08191250377	UPENDO ST JULIEN,	MFT MFS GROWTH FUND-C	B	0.00	5.10	0.00	5.10		1.02
01/01/2018 08191259308	TAZAMA UPENDO ST.	MFT MFS MID CAP VALUE FUND-A	B	0.00	5.10	0.00	5.10		1.02
01/01/2018 08191265828	LE BLANC, ERIN	MFT MFS MID CAP VALUE FUND-C	B	0.00	62.71	0.00	62.71		12.54
01/01/2018 08191270658	JOHN J FINN JR	MFT MFS GROWTH FUND-C	B	0.00	11.22	0.00	11.22		2.24
01/01/2018 08191277936	TICHENOR, ALICE	MFT MFS DIVERSIFIED INCOME FUND-C	B	0.00	4.47	0.00	4.47		0.89
01/01/2018 08191277936	TICHENOR, ALICE	MFT MFS GROWTH FUND-C	B	0.00	0.53	0.00	0.53		0.11
01/01/2018 08191310353	MCELEARNEY,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	40.76	0.00	40.76		8.15
01/01/2018 08191310353	MCELEARNEY,	MFT MFS MID CAP VALUE FUND-C	B	0.00	38.26	0.00	38.26		7.65
01/01/2018 08191375494	FINN, JOHN	MFT MFS GROWTH FUND-C	B	0.00	164.00	0.00	164.00		32.80
01/01/2018 08191395184	FINN, CONNOR	MFT MFS GROWTH FUND-C	B	0.00	11.67	0.00	11.67		2.33
01/01/2018 08191398185	CORBETT, JOHN	MFT MFS DIVERSIFIED INCOME FUND-C	B	0.00	45.31	0.00	45.31		9.06
01/01/2018 08191402994	JANICE K BARDEN	MFT MFS GROWTH FUND-C	B	0.00	107.11	0.00	107.11		21.42
01/01/2018 08191402994	JANICE K BARDEN	MFT MFS MID CAP GROWTH FUND-C	B	0.00	103.34	0.00	103.34		20.67
01/01/2018 08191402994	JANICE K BARDEN	MFT MFS MID CAP VALUE FUND-C	B	0.00	110.65	0.00	110.65		22.13
01/01/2018 08191403238	CALIVA, CAROLINE	MFT MFS GROWTH FUND-C	B	0.00	27.46	0.00	27.46		5.49
01/01/2018 08191403238	CALIVA, CAROLINE	MFT MFS MID CAP VALUE FUND-C	B	0.00	14.73	0.00	14.73		2.95
01/01/2018 08191403387	CALIVA, BRENDON	MFT MFS GROWTH FUND-C	B	0.00	0.13	0.00	0.13		0.03
* RANDY SMITH-D601 SUBTOTAL *				\$50.00	\$3,243.09	\$0.00	\$3,243.09		\$648.66
*** REP LINK TRANSACTIONS TOTAL ***				\$50.00	\$3,243.09	\$0.00	\$3,243.09		\$648.66

BROKER COMMISSION TOTALS

Broker Transactions	\$3,003.72
Split Transactions	\$24.39
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,028.11
Year-to-date Commissions	\$6,356.90