



COMMISSION STATEMENT

February 12, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u>								
01/04/2018	***-**-326I - GRANDE, FBO MR	GWG PREFERRED STOCK BUY 10 Units @1000.0000	10,000.00	660.00	0.00	660.00	0.0	0.00
01/16/2018	***-**-35IN - RUGGIERO, LAURIE	GWG PREFERRED STOCK BUY 10 Units @1000.0000	10,000.00	660.00	0.00	660.00	0.0	0.00
01/22/2018	***-**-22IO - CLINTON, STEPHEN	TERRA INCOME FUND 6, INC. BUY 917 Units @10.9000	9,999.99	400.00	0.00	400.00	0.0	0.00
* ALTERNATIVE INVESTMENTS SUBTOTAL *			\$29,999.99	\$1,720.00	\$0.00	\$1,720.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/02/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	AMERICAN GLOBAL BALANCED CL C SELL 121 Units @33.1700	4,000.00	18.00	18.00	0.00	0.0	0.00
02/02/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	CAPITAL WORLD GROWTH AND INCOME FUN SELL 57 Units @52.5200	2,999.99	18.00	18.00	0.00	0.0	0.00
02/02/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	FUNDAMENTAL INVESTORS-C SELL 47 Units @64.0600	2,999.99	18.00	18.00	0.00	0.0	0.00
02/09/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	LORD ABBETT GROWTH OPPORTUNITIES CL BUY 336 Units @14.8800	5,000.01	19.99	6.00	13.99	0.0	0.00
02/09/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	LORD ABBETT SHORT DURATION INCOME C SELL 1176 Units @4.2500	5,000.00	0.00	6.00	-6.00	100.0	-6.00
02/02/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	MFS CONSERVATIVE ALLOCATION CL C SELL 195 Units @15.3800	3,000.01	18.00	18.00	0.00	0.0	0.00
02/02/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	MFS UTILITIES FUND-C SELL 252 Units @19.8700	5,000.01	18.00	18.00	0.00	0.0	0.00
02/02/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	OPPENHEIMER ACTIVE ALLOCATION FUND SELL 102 Units @14.6500	1,500.00	18.00	18.00	0.00	0.0	0.00
02/02/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	OPPENHEIMER RISING DIVIDENDS FUND C SELL 239 Units @16.7400	4,000.01	18.00	18.00	0.00	0.0	0.00
02/06/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	PORTFOLIO SERIES: CONS INV A SELL 844 Units @9.4800	8,000.00	18.00	18.00	0.00	0.0	0.00
02/02/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	PUTNAM CONVERTIBLE SECURITIES CL C SELL 79 Units @25.4100	2,000.00	18.00	18.00	0.00	0.0	0.00
02/02/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	PUTNAM GLOBAL CONSUMER FUND CL C SELL 46 Units @21.7300	999.99	18.00	18.00	0.00	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/02/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	SMALLCAP WORLD FUND-C SELL 30 Units @50.6400	1,500.01	18.00	18.00	0.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$46,000.02	\$217.99	\$210.00	\$7.99		\$-6.00
PRODUCT CATEGORY: STOCKS								
02/02/2018	***-**-169I - EVANS, PAUL ACCOUNT #: LSR011026	EXXON MOBIL CORP UNSOLICITED ORDER BUY 100 Units @83.8500	8,385.00	101.85	17.00	84.85	0.0	0.00
* STOCKS SUBTOTAL *			\$8,385.00	\$101.85	\$17.00	\$84.85		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
02/12/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	MFS ABSOLUTE RETURN FUND CLASS C BUY 1 Units @0.0000	0.00	3.34	0.00	3.34	0.0	0.00
02/12/2018	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS AGGRESSIVE GROWTH ALLOCATION FD BUY 1 Units @0.0000	0.00	1.09	0.00	1.09	0.0	0.00
02/12/2018	***-**-13IN - ROTH, KAREN ACCOUNT #: LSR011954	MFS BLENDED RESEARCH CORE EQUITY FD BUY 1 Units @0.0000	0.00	1.36	0.00	1.36	0.0	0.00
02/12/2018	***-**-881I - EBERLEY, SUSAN ACCOUNT #: LSR010858	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	9.87	0.00	9.87	0.0	0.00
02/12/2018	***-**-901I - SATTERLY, ANN ACCOUNT #: LSR010874	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	9.79	0.00	9.79	0.0	0.00
02/12/2018	***-**-382K - SATTERLY, NEIL ACCOUNT #: LSR010875	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	4.43	0.00	4.43	0.0	0.00
02/12/2018	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	18.74	0.00	18.74	0.0	0.00
02/12/2018	***-**-031O - MCVOY, ROBERT ACCOUNT #: LSR010916	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	4.60	0.00	4.60	0.0	0.00
02/12/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	17.81	0.00	17.81	0.0	0.00
02/12/2018	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	17.51	0.00	17.51	0.0	0.00
02/12/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	11.50	0.00	11.50	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-420T - DEMETRI, AMELIA	MFS CONSERVATIVE ALLOCATION CL C	0.00	6.38	0.00	6.38	0.0	0.00
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
02/12/2018	***-**-73TR - WESTCOTT IRRVOC	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.89	0.00	9.89	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
02/12/2018	***-**-837O - BASEMENT	MFS CONSERVATIVE ALLOCATION CL C	0.00	5.02	0.00	5.02	0.0	0.00
	ACCOUNT #: LSR011046	BUY 1 Units @0.0000						
02/12/2018	***-**-09IO - BATES, FBO MRS	MFS CONSERVATIVE ALLOCATION CL C	0.00	15.20	0.00	15.20	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
02/12/2018	***-**-89IN - LEE, ROGER	MFS CONSERVATIVE ALLOCATION CL C	0.00	18.29	0.00	18.29	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
02/12/2018	***-**-900J - LEE, MR.	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.42	0.00	4.42	0.0	0.00
	ACCOUNT #: LSR011186	BUY 1 Units @0.0000						
02/12/2018	***-**-06IR - CAMPBELL, PAMELA	MFS CONSERVATIVE ALLOCATION CL C	0.00	6.61	0.00	6.61	0.0	0.00
	ACCOUNT #: LSR011222	BUY 1 Units @0.0000						
02/12/2018	***-**-11IO - LYNN G.	MFS CONSERVATIVE ALLOCATION CL C	0.00	14.45	0.00	14.45	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
02/12/2018	***-**-80IO - EVANS, RICHARD	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.88	0.00	9.88	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
02/12/2018	***-**-376I - BERBEN, GAIL	MFS CONSERVATIVE ALLOCATION CL C	0.00	14.43	0.00	14.43	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
02/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS CONSERVATIVE ALLOCATION CL C	0.00	23.03	0.00	23.03	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
02/12/2018	***-**-14IO - BUSHEY, MATTHEW	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.58	0.00	9.58	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
02/12/2018	***-**-37IO - MCCLUSKY, MARK	MFS CONSERVATIVE ALLOCATION CL C	0.00	7.94	0.00	7.94	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
02/12/2018	***-**-99IN - EDELMAN, TINA	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.08	0.00	0.08	0.0	0.00
	ACCOUNT #: LSR011956	BUY 1 Units @0.0000						
02/12/2018	***-**-28IN - BYWATER, KIM	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.13	0.00	0.13	0.0	0.00
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
02/12/2018	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-A	0.00	1.18	0.00	1.18	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-254I - AUGUSTINE, LINDA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.82	0.00	0.82	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
02/12/2018	***-**-15IN - PENDRAK, JOHN	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.22	0.00	2.22	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
02/12/2018	***-**-960J - MASTRANGELO,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	5.69	0.00	5.69	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
02/12/2018	***-**-51IO - CAIN, TIMOTHY	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.69	0.00	2.69	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
02/12/2018	***-**-761I - EVANS, DOLORES	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.14	0.00	2.14	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
02/12/2018	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
02/12/2018	***-**-326J - GRANDE, MR	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
02/12/2018	***-**-13IN - RHYMESTINE,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.06	0.00	1.06	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
02/12/2018	***-**-367J - WAGNER, MRS LANT	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.17	0.00	1.17	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
02/12/2018	***-**-992J - CORNACCHIA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.41	0.00	0.41	0.0	0.00
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
02/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	4.03	0.00	4.03	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
02/12/2018	***-**-64IO - PFAFF, GEORGE	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.17	0.00	1.17	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
02/12/2018	***-**-448X - ANACKER, SUSAN	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: LSR011578	BUY 1 Units @0.0000						
02/12/2018	***-**-382K - SATTERLY, NEIL	MFS DIVERSIFIED INCOME FD CL C	0.00	4.71	0.00	4.71	0.0	0.00
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
02/12/2018	***-**-980I - ACEE, ELIZABETH	MFS DIVERSIFIED INCOME FD CL C	0.00	9.47	0.00	9.47	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
02/12/2018	***-**-72IN - GAVALO, JULIE	MFS DIVERSIFIED INCOME FD CL C	0.00	17.20	0.00	17.20	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-73TR - WESTCOTT IRRVOC	MFS DIVERSIFIED INCOME FD CL C	0.00	13.38	0.00	13.38	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
02/12/2018	***-**-1320 - EVANS, THOMAS	MFS DIVERSIFIED INCOME FD CL C	0.00	8.52	0.00	8.52	0.0	0.00
	ACCOUNT #: LSR011074	BUY 1 Units @0.0000						
02/12/2018	***-**-44IO - MAYO, DEBORAH	MFS DIVERSIFIED INCOME FD CL C	0.00	13.34	0.00	13.34	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
02/12/2018	***-**-579J - RHYMESTINE,	MFS DIVERSIFIED INCOME FD CL C	0.00	24.49	0.00	24.49	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
02/12/2018	***-**-09IO - BATES, FBO MRS	MFS DIVERSIFIED INCOME FD CL C	0.00	14.67	0.00	14.67	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
02/12/2018	***-**-89IN - LEE, ROGER	MFS DIVERSIFIED INCOME FD CL C	0.00	6.10	0.00	6.10	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
02/12/2018	***-**-64IO - PFAFF, GEORGE	MFS DIVERSIFIED INCOME FD CL C	0.00	6.07	0.00	6.07	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
02/12/2018	***-**-439J - MCCARTHY, JAMES	MFS DIVERSIFIED INCOME FD CL C	0.00	3.22	0.00	3.22	0.0	0.00
	ACCOUNT #: LSR011205	BUY 1 Units @0.0000						
02/12/2018	***-**-52IO - EUSEPI, SUSAN	MFS DIVERSIFIED INCOME FD CL C	0.00	6.39	0.00	6.39	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
02/12/2018	***-**-81IR - CAMPBELL,	MFS DIVERSIFIED INCOME FD CL C	0.00	6.16	0.00	6.16	0.0	0.00
	ACCOUNT #: LSR011223	BUY 1 Units @0.0000						
02/12/2018	***-**-439I - MCCARTHY, JAMES	MFS DIVERSIFIED INCOME FD CL C	0.00	2.30	0.00	2.30	0.0	0.00
	ACCOUNT #: LSR011244	BUY 1 Units @0.0000						
02/12/2018	***-**-376I - BERBEN, GAIL	MFS DIVERSIFIED INCOME FD CL C	0.00	9.45	0.00	9.45	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
02/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS DIVERSIFIED INCOME FD CL C	0.00	14.31	0.00	14.31	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
02/12/2018	***-**-14IO - BUSHEY, MATTHEW	MFS DIVERSIFIED INCOME FD CL C	0.00	14.09	0.00	14.09	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
02/12/2018	***-**-37IO - MCCLUSKY, MARK	MFS DIVERSIFIED INCOME FD CL C	0.00	5.18	0.00	5.18	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
02/12/2018	***-**-24IN - BLISS, RYAN	MFS EMERGING GROWTH FUND-B	0.00	0.29	0.00	0.29	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-881I - EBERLEY, SUSAN ACCOUNT #: LSR010858	MFS EMERGING MARKETS EQUITY FUND-B BUY 1 Units @0.0000	0.00	0.84	0.00	0.84	0.0	0.00
02/12/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS EMERGING MARKETS EQUITY FUND-B BUY 1 Units @0.0000	0.00	1.48	0.00	1.48	0.0	0.00
02/12/2018	***-**-761I - EVANS, DOLORES ACCOUNT #: LSR011024	MFS EMERGING MARKETS EQUITY FUND-B BUY 1 Units @0.0000	0.00	1.52	0.00	1.52	0.0	0.00
02/12/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	MFS EMERGING MARKETS EQUITY FUND-B BUY 1 Units @0.0000	0.00	0.82	0.00	0.82	0.0	0.00
02/12/2018	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	MFS EMERGING MARKETS EQUITY FUND-B BUY 1 Units @0.0000	0.00	1.49	0.00	1.49	0.0	0.00
02/12/2018	***-**-197I - GAMBINO, ANDREW ACCOUNT #: LSR011465	MFS EMERGING MARKETS EQUITY FUND-B BUY 1 Units @0.0000	0.00	0.24	0.00	0.24	0.0	0.00
02/12/2018	***-**-254I - AUGUSTINE, LINDA ACCOUNT #: LSR010846	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.11	0.00	1.11	0.0	0.00
02/12/2018	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.85	0.00	0.85	0.0	0.00
02/12/2018	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.04	0.00	1.04	0.0	0.00
02/12/2018	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.26	0.00	1.26	0.0	0.00
02/12/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.69	0.00	0.69	0.0	0.00
02/12/2018	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.36	0.00	1.36	0.0	0.00
02/12/2018	***-**-580X - COBB, JAMES ACCOUNT #: LSR011040	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.21	0.00	1.21	0.0	0.00
02/12/2018	***-**-506J - CURRIERE JR, MR ACCOUNT #: LSR011121	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.38	0.00	0.38	0.0	0.00
02/12/2018	***-**-367J - WAGNER, MRS LANT ACCOUNT #: LSR011134	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.93	0.00	0.93	0.0	0.00
02/12/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.36	0.00	1.36	0.0	0.00



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KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.24	0.00	1.24	0.0	0.00
02/12/2018	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.53	0.00	0.53	0.0	0.00
02/12/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	MFS EMERGING MKTS DEBT CLASS C BUY 1 Units @0.0000	0.00	0.29	0.00	0.29	0.0	0.00
02/12/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS EQUITY OPPORTUNITIES CL B BUY 1 Units @0.0000	0.00	1.77	0.00	1.77	0.0	0.00
02/12/2018	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS GLOBAL ALTERNATIVE STRAT A BUY 1 Units @0.0000	0.00	0.64	0.00	0.64	0.0	0.00
02/12/2018	***-**-978I - GUNN, KATHLEEN ACCOUNT #: LSR011345	MFS GLOBAL ALTERNATIVE STRAT B BUY 1 Units @0.0000	0.00	0.44	0.00	0.44	0.0	0.00
02/12/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	6.51	0.00	6.51	0.0	0.00
02/12/2018	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	14.64	0.00	14.64	0.0	0.00
02/12/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	14.10	0.00	14.10	0.0	0.00
02/12/2018	***-**-80IN - COBB, JAMES ACCOUNT #: LSR011042	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	7.54	0.00	7.54	0.0	0.00
02/12/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	12.84	0.00	12.84	0.0	0.00
02/12/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	17.46	0.00	17.46	0.0	0.00
02/12/2018	***-**-80IO - EVANS, RICHARD ACCOUNT #: LSR011276	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	10.74	0.00	10.74	0.0	0.00
02/12/2018	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	13.11	0.00	13.11	0.0	0.00
02/12/2018	***-**-094I - KATRENA L ACCOUNT #: LSR011188	MFS GLOBAL BOND FUND CLASS C BUY 1 Units @0.0000	0.00	3.55	0.00	3.55	0.0	0.00
02/12/2018	***-**-978I - GUNN, KATHLEEN ACCOUNT #: LSR011345	MFS GLOBAL EQUITY FUND-B BUY 1 Units @0.0000	0.00	0.74	0.00	0.74	0.0	0.00



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-53IN - EVANS, ANDREW ACCOUNT #: LSR011483	MFS GLOBAL EQUITY FUND-B BUY 1 Units @0.0000	0.00	0.39	0.00	0.39	0.0	0.00
02/12/2018	***-**-24IN - BLISS, RYAN ACCOUNT #: LSR011502	MFS GLOBAL EQUITY FUND-B BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	0.0	0.00
02/12/2018	***-**-21IO - RADELL, SANDRA ACCOUNT #: LSR011392	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	1.65	0.00	1.65	0.0	0.00
02/12/2018	***-**-53IN - EVANS, ANDREW ACCOUNT #: LSR011483	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	0.30	0.00	0.30	0.0	0.00
02/12/2018	***-**-24IN - BLISS, RYAN ACCOUNT #: LSR011502	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	0.18	0.00	0.18	0.0	0.00
02/12/2018	***-**-38IO - BROWN, TYLER ACCOUNT #: LSR011602	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	0.81	0.00	0.81	0.0	0.00
02/12/2018	***-**-628I - SANGER, SANDRA ACCOUNT #: LSR010942	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.98	0.00	0.98	0.0	0.00
02/12/2018	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.92	0.00	0.92	0.0	0.00
02/12/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.70	0.00	0.70	0.0	0.00
02/12/2018	***-**-653J - KOCHER, CLINTON ACCOUNT #: LSR011298	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.99	0.00	0.99	0.0	0.00
02/12/2018	***-**-254I - AUGUSTINE, LINDA ACCOUNT #: LSR010846	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.05	0.00	1.05	0.0	0.00
02/12/2018	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.61	0.00	1.61	0.0	0.00
02/12/2018	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.57	0.00	1.57	0.0	0.00
02/12/2018	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.83	0.00	0.83	0.0	0.00
02/12/2018	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.98	0.00	2.98	0.0	0.00
02/12/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.23	0.00	2.23	0.0	0.00



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-51IO - CAIN, TIMOTHY	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.71	0.00	1.71	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
02/12/2018	***-**-60UT - FALCHI, ADALYNN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.23	0.00	0.23	0.0	0.00
	ACCOUNT #: LSR011010	BUY 1 Units @0.0000						
02/12/2018	***-**-761I - EVANS, DOLORES	MFS GLOBAL TOTAL RETURN FUND-B	0.00	6.02	0.00	6.02	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
02/12/2018	***-**-63TR - EVANS, AUDREY J	MFS GLOBAL TOTAL RETURN FUND-B	0.00	3.17	0.00	3.17	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
02/12/2018	***-**-306X - COBB, CHERRYL	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.62	0.00	1.62	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
02/12/2018	***-**-96IN - NESSIA, BONNIE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.25	0.00	1.25	0.0	0.00
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
02/12/2018	***-**-579I - RHYMESTINE,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	3.08	0.00	3.08	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
02/12/2018	***-**-861I - SANGER, LAWRENCE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.43	0.00	1.43	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
02/12/2018	***-**-13IN - RHYMESTINE,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.01	0.00	1.01	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
02/12/2018	***-**-76IO - MCALLISTER, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
02/12/2018	***-**-506J - CURRIERE JR, MR	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.97	0.00	0.97	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
02/12/2018	***-**-367J - WAGNER, MRS LANT	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.74	0.00	1.74	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
02/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	3.68	0.00	3.68	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
02/12/2018	***-**-64IO - PFAFF, GEORGE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.31	0.00	1.31	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
02/12/2018	***-**-62IR - ROBBIANO, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.96	0.00	0.96	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
02/12/2018	***-**-52IO - EUSEPI, SUSAN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.99	0.00	2.99	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-02IN - BUSHEY, MELISSA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.71	0.00	0.71	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
02/12/2018	***-**-653I - KOCHER, CLINTON	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.78	0.00	2.78	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
02/12/2018	***-**-62IN - EATON, DAVID	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.72	0.00	0.72	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
02/12/2018	***-**-21IO - RADELL, SANDRA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.81	0.00	1.81	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
02/12/2018	***-**-241I - DINITTO, ALLISYN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.33	0.00	1.33	0.0	0.00
	ACCOUNT #: LSR011444	BUY 1 Units @0.0000						
02/12/2018	***-**-51IN - GERACE, JOANNE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.68	0.00	1.68	0.0	0.00
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						
02/12/2018	***-**-09IO - BATES, FBO MRS	MFS GLOBAL TOTAL RETURN FUND-C	0.00	19.40	0.00	19.40	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
02/12/2018	***-**-11IO - LYNN G.	MFS GLOBAL TOTAL RETURN FUND-C	0.00	14.94	0.00	14.94	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
02/12/2018	***-**-376I - BERBEN, GAIL	MFS GLOBAL TOTAL RETURN FUND-C	0.00	13.52	0.00	13.52	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
02/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS GLOBAL TOTAL RETURN FUND-C	0.00	17.75	0.00	17.75	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
02/12/2018	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-A	0.00	0.07	0.00	0.07	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
02/12/2018	***-**-254I - AUGUSTINE, LINDA	MFS GOVERNMENT SECURITIES FUND-B	0.00	1.69	0.00	1.69	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
02/12/2018	***-**-111J - NESSIA, JAMES	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.55	0.00	0.55	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
02/12/2018	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.72	0.00	0.72	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
02/12/2018	***-**-44IO - MAYO, DEBORAH	MFS HIGH INCOME CLASS C	0.00	0.55	0.00	0.55	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
02/12/2018	***-**-61IN - EVANS, DOLORES	MFS HIGH INCOME FUND-B	0.00	0.27	0.00	0.27	0.0	0.00
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-13IN - ROTH, KAREN ACCOUNT #: LSR011954	MFS HIGH INCOME FUND-B BUY 1 Units @0.0000	0.00	0.83	0.00	0.83	0.0	0.00
02/12/2018	***-**-881I - EBERLEY, SUSAN ACCOUNT #: LSR010858	MFS HIGH YIELD OPPORTUNITIES FUND-B BUY 1 Units @0.0000	0.00	0.69	0.00	0.69	0.0	0.00
02/12/2018	***-**-13IN - ROTH, KAREN ACCOUNT #: LSR011954	MFS HIGH YIELD OPPORTUNITIES FUND-B BUY 1 Units @0.0000	0.00	0.86	0.00	0.86	0.0	0.00
02/12/2018	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011001	MFS HIGH YIELD OPPORTUNITIES FUND-C BUY 1 Units @0.0000	0.00	9.44	0.00	9.44	0.0	0.00
02/12/2018	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011008	MFS HIGH YIELD OPPORTUNITIES FUND-C BUY 1 Units @0.0000	0.00	9.42	0.00	9.42	0.0	0.00
02/12/2018	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.41	0.00	0.41	0.0	0.00
02/12/2018	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.49	0.00	0.49	0.0	0.00
02/12/2018	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.48	0.00	0.48	0.0	0.00
02/12/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.86	0.00	0.86	0.0	0.00
02/12/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.29	0.00	0.29	0.0	0.00
02/12/2018	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.36	0.00	0.36	0.0	0.00
02/12/2018	***-**-761I - EVANS, DOLORES ACCOUNT #: LSR011024	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	1.23	0.00	1.23	0.0	0.00
02/12/2018	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.32	0.00	0.32	0.0	0.00
02/12/2018	***-**-580X - COBB, JAMES ACCOUNT #: LSR011040	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.61	0.00	0.61	0.0	0.00
02/12/2018	***-**-111J - NESSIA, JAMES ACCOUNT #: LSR011054	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	0.0	0.00
02/12/2018	***-**-326J - GRANDE, MR ACCOUNT #: LSR011089	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.63	0.00	0.63	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-861I - SANGER, LAWRENCE	MFS INFLATION ADJUSTED BOND CL B	0.00	0.46	0.00	0.46	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
02/12/2018	***-**-76IO - MCALLISTER, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.35	0.00	0.35	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
02/12/2018	***-**-506J - CURRIERE JR, MR	MFS INFLATION ADJUSTED BOND CL B	0.00	0.18	0.00	0.18	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
02/12/2018	***-**-48IO - ANACKER, FBO MRS	MFS INFLATION ADJUSTED BOND CL B	0.00	0.84	0.00	0.84	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
02/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.63	0.00	0.63	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
02/12/2018	***-**-64IO - PFAFF, GEORGE	MFS INFLATION ADJUSTED BOND CL B	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
02/12/2018	***-**-62IR - ROBBIANO, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
02/12/2018	***-**-382I - SATTERLY, NEIL	MFS INFLATION ADJUSTED BOND CL C	0.00	9.89	0.00	9.89	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
02/12/2018	***-**-031O - MCVOY, ROBERT	MFS INFLATION ADJUSTED BOND CL C	0.00	2.80	0.00	2.80	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
02/12/2018	***-**-44IO - MAYO, DEBORAH	MFS INFLATION ADJUSTED BOND CL C	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
02/12/2018	***-**-861I - SANGER, LAWRENCE	MFS INFLATION ADJUSTED BOND FUND-A	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
02/12/2018	***-**-065I - LAZIO, THOMAS	MFS INTERNATIONAL NEW DISCOVERY CL	0.00	6.05	0.00	6.05	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
02/12/2018	***-**-254I - AUGUSTINE, LINDA	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.47	0.00	1.47	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
02/12/2018	***-**-930I - SANGER, KEVIN	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
02/12/2018	***-**-959I - FRANZ, PAUL	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
02/12/2018	***-**-960J - MASTRANGELO,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.42	0.00	1.42	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-761I - EVANS, DOLORES	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	5.52	0.00	5.52	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
02/12/2018	***-**-944O - MAYO, DEBORAH	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.69	0.00	0.69	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
02/12/2018	***-**-861I - SANGER, LAWRENCE	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.57	0.00	0.57	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
02/12/2018	***-**-13IN - RHYMESTINE,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.12	0.00	1.12	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
02/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.89	0.00	2.89	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
02/12/2018	***-**-03IO - MASTRANGELO,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.35	0.00	2.35	0.0	0.00
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
02/12/2018	***-**-861I - SANGER, LAWRENCE	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.28	0.00	0.28	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
02/12/2018	***-**-51IO - CAIN, TIMOTHY	MFS INTERNATIONAL VALUE FUND-B	0.00	0.98	0.00	0.98	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
02/12/2018	***-**-861I - SANGER, LAWRENCE	MFS LIFETIME INCOME CLASS A	0.00	0.45	0.00	0.45	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
02/12/2018	***-**-861I - SANGER, LAWRENCE	MFS LIFETIME INCOME CLASS B	0.00	0.93	0.00	0.93	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
02/12/2018	***-**-959J - FRANZ, PAUL	MFS MODERATE ALLOCATION CL C	0.00	14.66	0.00	14.66	0.0	0.00
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
02/12/2018	***-**-86IN - LEE, KEVIN	MFS MODERATE ALLOCATION CL C	0.00	25.22	0.00	25.22	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
02/12/2018	***-**-960J - MASTRANGELO,	MFS NEW DISCOVERY FUND-B	0.00	2.37	0.00	2.37	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
02/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS NEW DISCOVERY FUND-B	0.00	1.43	0.00	1.43	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
02/12/2018	***-**-978I - GUNN, KATHLEEN	MFS NEW DISCOVERY FUND-B	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
02/12/2018	***-**-197I - GAMBINO, ANDREW	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.28	0.00	0.28	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-53IN - EVANS, ANDREW	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
02/12/2018	***-**-24IN - BLISS, RYAN	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
02/12/2018	***-**-38IO - BROWN, TYLER	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
02/12/2018	***-**-36IR - SANGER, BETH	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.04	0.00	0.04	0.0	0.00
	ACCOUNT #: LSR011757	BUY 1 Units @0.0000						
02/12/2018	***-**-382I - SATTERLY, NEIL	MFS NEW DISCOVERY VALUE FUND CL C	0.00	10.49	0.00	10.49	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
02/12/2018	***-**-44IO - MAYO, DEBORAH	MFS NEW DISCOVERY VALUE FUND CL C	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
02/12/2018	***-**-63TR - EVANS, AUDREY J	MFS NEW YORK MUNICIPAL BOND FUND-B	0.00	1.65	0.00	1.65	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
02/12/2018	***-**-111J - NESSIA, JAMES	MFS NEW YORK MUNICIPAL BOND FUND-B	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
02/12/2018	***-**-254I - AUGUSTINE, LINDA	MFS RESEARCH BOND FUND-B	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
02/12/2018	***-**-959I - FRANZ, PAUL	MFS RESEARCH BOND FUND-B	0.00	0.85	0.00	0.85	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
02/12/2018	***-**-51IO - CAIN, TIMOTHY	MFS RESEARCH BOND FUND-B	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
02/12/2018	***-**-761I - EVANS, DOLORES	MFS RESEARCH BOND FUND-B	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
02/12/2018	***-**-111J - NESSIA, JAMES	MFS RESEARCH BOND FUND-B	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
02/12/2018	***-**-861I - SANGER, LAWRENCE	MFS RESEARCH BOND FUND-B	0.00	0.40	0.00	0.40	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
02/12/2018	***-**-76IO - MCALLISTER, FBO	MFS RESEARCH BOND FUND-B	0.00	0.54	0.00	0.54	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
02/12/2018	***-**-367J - WAGNER, MRS LANT	MFS RESEARCH BOND FUND-B	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS RESEARCH BOND FUND-B	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
02/12/2018	***-**-02IN - BUSHEY, MELISSA	MFS RESEARCH BOND FUND-B	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
02/12/2018	***-**-62IN - EATON, DAVID	MFS RESEARCH BOND FUND-B	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
02/12/2018	***-**-326J - GRANDE, MR	MFS STRATEGIC INCOME FUND-B	0.00	1.15	0.00	1.15	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
02/12/2018	***-**-382I - SATTERLY, NEIL	MFS TECHNOLOGY CLASS C	0.00	8.85	0.00	8.85	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
02/12/2018	***-**-48IO - CLARK, PATRICK	MFS TECHNOLOGY CLASS C	0.00	7.57	0.00	7.57	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
02/12/2018	***-**-03IO - MCVOY, ROBERT	MFS TECHNOLOGY CLASS C	0.00	4.92	0.00	4.92	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
02/12/2018	***-**-930I - SANGER, KEVIN	MFS TECHNOLOGY CLASS C	0.00	9.30	0.00	9.30	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
02/12/2018	***-**-065I - LAZIO, THOMAS	MFS TECHNOLOGY CLASS C	0.00	6.04	0.00	6.04	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
02/12/2018	***-**-00IO - LEE, GARY	MFS TECHNOLOGY CLASS C	0.00	12.02	0.00	12.02	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
02/12/2018	***-**-44IO - MAYO, DEBORAH	MFS TECHNOLOGY CLASS C	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
02/12/2018	***-**-09IO - BATES, FBO MRS	MFS TECHNOLOGY CLASS C	0.00	11.86	0.00	11.86	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
02/12/2018	***-**-89IN - LEE, ROGER	MFS TECHNOLOGY CLASS C	0.00	6.42	0.00	6.42	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
02/12/2018	***-**-094I - KATRENA L	MFS TECHNOLOGY CLASS C	0.00	6.67	0.00	6.67	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
02/12/2018	***-**-06IR - CAMPBELL, PAMELA	MFS TECHNOLOGY CLASS C	0.00	8.33	0.00	8.33	0.0	0.00
	ACCOUNT #: LSR011222	BUY 1 Units @0.0000						
02/12/2018	***-**-11IO - LYNN G.	MFS TECHNOLOGY CLASS C	0.00	6.88	0.00	6.88	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS TECHNOLOGY CLASS C	0.00	8.29	0.00	8.29	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
02/12/2018	***-**-37IO - MCCLUSKY, MARK	MFS TECHNOLOGY CLASS C	0.00	2.62	0.00	2.62	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
02/12/2018	***-**-86IN - LEE, KEVIN	MFS TECHNOLOGY CLASS C	0.00	10.43	0.00	10.43	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
02/12/2018	***-**-633J -	MFS TECHNOLOGY CLASS C	0.00	3.08	0.00	3.08	0.0	0.00
	ACCOUNT #: LSR011732	BUY 1 Units @0.0000						
02/12/2018	***-**-99IN - EDELMAN, TINA	MFS TECHNOLOGY CLASS C	0.00	0.10	0.00	0.10	0.0	0.00
	ACCOUNT #: LSR011956	BUY 1 Units @0.0000						
02/12/2018	***-**-28IN - BYWATER, KIM	MFS TECHNOLOGY CLASS C	0.00	0.19	0.00	0.19	0.0	0.00
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
02/12/2018	***-**-944O - MAYO, DEBORAH	MFS TECHNOLOGY FUND CLASS A	0.00	1.27	0.00	1.27	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
02/12/2018	***-**-808J - GORMAN, RANDY	MFS TECHNOLOGY FUND CLASS A	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
02/12/2018	***-**-31IO - HARTZ, CLAUDIA	MFS TECHNOLOGY FUND-B	0.00	0.91	0.00	0.91	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
02/12/2018	***-**-15IN - PENDRAK, JOHN	MFS TECHNOLOGY FUND-B	0.00	2.36	0.00	2.36	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
02/12/2018	***-**-959I - FRANZ, PAUL	MFS TECHNOLOGY FUND-B	0.00	1.96	0.00	1.96	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
02/12/2018	***-**-169I - EVANS, PAUL	MFS TECHNOLOGY FUND-B	0.00	1.54	0.00	1.54	0.0	0.00
	ACCOUNT #: LSR011026	BUY 1 Units @0.0000						
02/12/2018	***-**-580X - COBB, JAMES	MFS TECHNOLOGY FUND-B	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
02/12/2018	***-**-579J - RHYMESTINE,	MFS TECHNOLOGY FUND-B	0.00	1.01	0.00	1.01	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
02/12/2018	***-**-579I - RHYMESTINE,	MFS TECHNOLOGY FUND-B	0.00	1.37	0.00	1.37	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
02/12/2018	***-**-326I - GRANDE, FBO MR	MFS TECHNOLOGY FUND-B	0.00	1.72	0.00	1.72	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-992J - CORNACCHIA	MFS TECHNOLOGY FUND-B	0.00	0.44	0.00	0.44	0.0	0.00
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
02/12/2018	***-**-48IO - ANACKER, FBO MRS	MFS TECHNOLOGY FUND-B	0.00	1.35	0.00	1.35	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
02/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS TECHNOLOGY FUND-B	0.00	1.78	0.00	1.78	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
02/12/2018	***-**-664J - PFAFF, GEORGE	MFS TECHNOLOGY FUND-B	0.00	1.89	0.00	1.89	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
02/12/2018	***-**-64IO - PFAFF, GEORGE	MFS TECHNOLOGY FUND-B	0.00	1.03	0.00	1.03	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
02/12/2018	***-**-52IO - EUSEPI, SUSAN	MFS TECHNOLOGY FUND-B	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
02/12/2018	***-**-02IN - BUSHEY, MELISSA	MFS TECHNOLOGY FUND-B	0.00	1.02	0.00	1.02	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
02/12/2018	***-**-37IR - HARTZ, RENEE	MFS TECHNOLOGY FUND-B	0.00	1.34	0.00	1.34	0.0	0.00
	ACCOUNT #: LSR011259	BUY 1 Units @0.0000						
02/12/2018	***-**-75IR - EVANS, DIANE	MFS TECHNOLOGY FUND-B	0.00	1.46	0.00	1.46	0.0	0.00
	ACCOUNT #: LSR011275	BUY 1 Units @0.0000						
02/12/2018	***-**-653I - KOCHER, CLINTON	MFS TECHNOLOGY FUND-B	0.00	3.32	0.00	3.32	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
02/12/2018	***-**-680I - HARRELL, DARYL	MFS TECHNOLOGY FUND-B	0.00	1.54	0.00	1.54	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
02/12/2018	***-**-978I - GUNN, KATHLEEN	MFS TECHNOLOGY FUND-B	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
02/12/2018	***-**-197I - GAMBINO, ANDREW	MFS TECHNOLOGY FUND-B	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
02/12/2018	***-**-53IN - EVANS, ANDREW	MFS TECHNOLOGY FUND-B	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
02/12/2018	***-**-38IO - BROWN, TYLER	MFS TECHNOLOGY FUND-B	0.00	1.01	0.00	1.01	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
02/12/2018	***-**-03IO - MASTRANGELO,	MFS TECHNOLOGY FUND-B	0.00	1.88	0.00	1.88	0.0	0.00
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	MFS TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.67	0.00	1.67	0.0	0.00
02/12/2018	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	MFS TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.94	0.00	1.94	0.0	0.00
02/12/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.96	0.00	1.96	0.0	0.00
02/12/2018	***-**-506J - CURRIERE JR, MR ACCOUNT #: LSR011121	MFS TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	0.0	0.00
02/12/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.93	0.00	2.93	0.0	0.00
02/12/2018	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	MFS TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.91	0.00	0.91	0.0	0.00
02/12/2018	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS UTILITIES FUND-A BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	0.0	0.00
02/12/2018	***-**-808J - GORMAN, RANDY ACCOUNT #: LSR011087	MFS UTILITIES FUND-A BUY 1 Units @0.0000	0.00	0.58	0.00	0.58	0.0	0.00
02/12/2018	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	1.37	0.00	1.37	0.0	0.00
02/12/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	1.89	0.00	1.89	0.0	0.00
02/12/2018	***-**-366S - GRUENEWALD, ACCOUNT #: LSR010990	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	0.74	0.00	0.74	0.0	0.00
02/12/2018	***-**-61IN - EVANS, DOLORES ACCOUNT #: LSR011023	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	0.29	0.00	0.29	0.0	0.00
02/12/2018	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	1.71	0.00	1.71	0.0	0.00
02/12/2018	***-**-306X - COBB, CHERRYL ACCOUNT #: LSR011037	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	2.01	0.00	2.01	0.0	0.00
02/12/2018	***-**-96IN - NESSIA, BONNIE ACCOUNT #: LSR011055	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	0.56	0.00	0.56	0.0	0.00
02/12/2018	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	2.09	0.00	2.09	0.0	0.00



COMMISSION STATEMENT

February 12, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-326J - GRANDE, MR	MFS UTILITIES FUND-B	0.00	0.69	0.00	0.69	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
02/12/2018	***-**-326I - GRANDE, FBO MR	MFS UTILITIES FUND-B	0.00	0.99	0.00	0.99	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
02/12/2018	***-**-13IN - RHYMESTINE,	MFS UTILITIES FUND-B	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
02/12/2018	***-**-76IO - MCALLISTER, FBO	MFS UTILITIES FUND-B	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
02/12/2018	***-**-367J - WAGNER, MRS LANT	MFS UTILITIES FUND-B	0.00	1.68	0.00	1.68	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
02/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS UTILITIES FUND-B	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
02/12/2018	***-**-64IO - PFAFF, GEORGE	MFS UTILITIES FUND-B	0.00	1.71	0.00	1.71	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
02/12/2018	***-**-653I - KOCHER, CLINTON	MFS UTILITIES FUND-B	0.00	1.44	0.00	1.44	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
02/12/2018	***-**-62IN - EATON, DAVID	MFS UTILITIES FUND-B	0.00	1.35	0.00	1.35	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
02/12/2018	***-**-978I - GUNN, KATHLEEN	MFS UTILITIES FUND-B	0.00	0.41	0.00	0.41	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
02/12/2018	***-**-21IO - RADELL, SANDRA	MFS UTILITIES FUND-B	0.00	1.66	0.00	1.66	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
02/12/2018	***-**-41IR - DINITTO, ALLISYN	MFS UTILITIES FUND-B	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: LSR011443	BUY 1 Units @0.0000						
02/12/2018	***-**-197I - GAMBINO, ANDREW	MFS UTILITIES FUND-B	0.00	0.12	0.00	0.12	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
02/12/2018	***-**-53IN - EVANS, ANDREW	MFS UTILITIES FUND-B	0.00	0.33	0.00	0.33	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
02/12/2018	***-**-24IN - BLISS, RYAN	MFS UTILITIES FUND-B	0.00	0.12	0.00	0.12	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
02/12/2018	***-**-51IN - GERACE, JOANNE	MFS UTILITIES FUND-B	0.00	1.55	0.00	1.55	0.0	0.00
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						



COMMISSION STATEMENT

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BROKER #: A77
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-38IO - BROWN, TYLER	MFS UTILITIES FUND-B	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
02/12/2018	***-**-235I - AUGUSTINE III,	MFS UTILITIES FUND-C	0.00	9.15	0.00	9.15	0.0	0.00
	ACCOUNT #: LSR010845	BUY 1 Units @0.0000						
02/12/2018	***-**-980I - ACEE, ELIZABETH	MFS UTILITIES FUND-C	0.00	10.91	0.00	10.91	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
02/12/2018	***-**-48IO - CLARK, PATRICK	MFS UTILITIES FUND-C	0.00	9.22	0.00	9.22	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
02/12/2018	***-**-031O - MCVOY, ROBERT	MFS UTILITIES FUND-C	0.00	4.65	0.00	4.65	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
02/12/2018	***-**-065I - LAZIO, THOMAS	MFS UTILITIES FUND-C	0.00	17.87	0.00	17.87	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
02/12/2018	***-**-00IO - LEE, GARY	MFS UTILITIES FUND-C	0.00	10.83	0.00	10.83	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
02/12/2018	***-**-09IO - BATES, FBO MRS	MFS UTILITIES FUND-C	0.00	11.37	0.00	11.37	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
02/12/2018	***-**-89IN - LEE, ROGER	MFS UTILITIES FUND-C	0.00	6.84	0.00	6.84	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
02/12/2018	***-**-81IR - CAMPBELL,	MFS UTILITIES FUND-C	0.00	7.08	0.00	7.08	0.0	0.00
	ACCOUNT #: LSR011223	BUY 1 Units @0.0000						
02/12/2018	***-**-11IO - LYNN G.	MFS UTILITIES FUND-C	0.00	9.83	0.00	9.83	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
02/12/2018	***-**-37IR - HARTZ, RENEE	MFS UTILITIES FUND-C	0.00	0.04	0.00	0.04	0.0	0.00
	ACCOUNT #: LSR011259	BUY 1 Units @0.0000						
02/12/2018	***-**-80IO - EVANS, RICHARD	MFS UTILITIES FUND-C	0.00	7.55	0.00	7.55	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
02/12/2018	***-**-376I - BERBEN, GAIL	MFS UTILITIES FUND-C	0.00	9.82	0.00	9.82	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
02/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS UTILITIES FUND-C	0.00	8.76	0.00	8.76	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
02/12/2018	***-**-14IO - BUSHEY, MATTHEW	MFS UTILITIES FUND-C	0.00	9.63	0.00	9.63	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-13IN - ROTH, KAREN	MFS VALUE FUND-B	0.00	1.09	0.00	1.09	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
02/12/2018	***-**-07IO - FAMOLARO, JAMES	PRUDENTIAL JENNISON 20/20 FOCUS CLA	0.00	3.33	0.00	3.33	0.0	0.00
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
02/12/2018	***-**-15IN - PENDRAK, JOHN	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	2.65	0.00	2.65	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
02/12/2018	***-**-366S - GRUENEWALD,	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.35	0.00	0.35	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
02/12/2018	***-**-861I - SANGER, LAWRENCE	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
02/12/2018	***-**-128I - BASHANT, THOMAS	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.36	0.00	0.36	0.0	0.00
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						
02/12/2018	***-**-30TR - AND SANDRA	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.57	0.00	0.57	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
02/12/2018	***-**-254I - AUGUSTINE, LINDA	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.52	0.00	0.52	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
02/12/2018	***-**-15IN - PENDRAK, JOHN	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.06	0.00	0.06	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
02/12/2018	***-**-944O - MAYO, DEBORAH	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.45	0.00	0.45	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,170.74	\$0.00	\$1,170.74		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$84,385.01	\$3,210.58	\$227.00	\$2,983.58		\$-6.00



BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div> <div><div>Broker Transactions</div><div>Split Transactions</div><div>Override Transactions</div><div>Adjustments</div><div>Payroll Draw</div><div>Base Salary</div><div>Prior Period Balance</div><div>FINRA Assessment</div><div>SIPC Assessment</div></div> <div><div>Balance Forward</div><div>Year-to-date Earnings</div></div>								
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								<div>\$-6.00</div> <div>\$0.00</div> <div>\$0.00</div> <div>\$0.00</div> <div>\$0.00</div> <div>\$0.00</div> <div>\$-164.88</div> <div>\$0.00</div> <div>\$0.00</div> <div>\$-170.88</div> <div>\$-6.00</div>