

# STATEMENT

## February 20, 2018

MIKE MARCOTULLIO  
3031 Wynsum Ave  
SUITE 100  
Merrick, NY 11566

BROKER #: 153  
BRANCH:

### COMMISSION STATEMENT for MIKE MARCOTULLIO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
01/11/2018 #	LA FEX, THOMAS	ANNALY CAPITAL MANAGEMENT INC	13,578.00	350.70	75.0	0.00	263.03	19.95	243.08
01/11/2018 #	LA FEX, THOMAS	ANNALY CAPITAL MANAGEMENT INC 7.625	14,757.59	322.03	75.0	0.00	241.52	19.95	221.57
01/17/2018 #	WALRATH, DOUGLAS	TENET HEALTHCARE CORPORATION	13,823.55	100.00	75.0	0.00	75.00	19.95	55.05
01/24/2018 #	LA FEX, THOMAS	FIRST TRUST INTERMEDIATE DURATION P	9,988.86	246.70	75.0	0.00	185.03	19.95	165.08
01/24/2018 #	ZUGARO, AUTILIA	TENET HEALTHCARE CORPORATION	36,400.00	100.00	75.0	0.00	75.00	19.95	55.05
01/24/2018 #	DAHL, RONALD	TENET HEALTHCARE CORPORATION	27,450.00	268.64	75.0	0.00	201.48	19.95	181.53
01/24/2018 #	LA FEX, THOMAS	TENET HEALTHCARE CORPORATION	12,740.00	296.53	75.0	0.00	222.40	19.95	202.45
01/29/2018 #	ZUCKERMAN, ANDREW	CONOCOPHILLIPS	15,983.05	301.55	75.0	0.00	226.16	19.95	206.21
01/29/2018 #	ZUCKERMAN, ANDREW	TENET HEALTHCARE CORPORATION	12,363.77	303.95	75.0	0.00	227.96	19.95	208.01
* STOCKS SUBTOTAL *			\$157,084.82	\$2,290.10		\$0.00	\$1,717.58	\$179.55	\$1,538.03
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
01/10/2018 #	BERRY, ROBERT	DAIN TRAILER	0.00	0.81	75.0	0.00	0.61	0.00	0.61
01/10/2018 #	BERRY, ROBERT	DAIN TRAILER	0.00	2.98	75.0	0.00	2.24	0.00	2.24
01/10/2018 #	LA FEX, THOMAS	DAIN TRAILER	0.00	17.14	75.0	0.00	12.86	0.00	12.86
01/10/2018 #	GOLDSTEIN, STEVEN	DAIN TRAILER	0.00	7.45	75.0	0.00	5.59	0.00	5.59
01/10/2018 #	AUTILIA ZUGARO	DAIN TRAILER	0.00	4.94	75.0	0.00	3.71	0.00	3.71
01/10/2018 #	PARKS-HALL, MONICA	DAIN TRAILER	0.00	5.05	75.0	0.00	3.79	0.00	3.79
01/10/2018 #	PARKS, DOMINIQUE	DAIN TRAILER	0.00	5.05	75.0	0.00	3.79	0.00	3.79
01/10/2018 #	BUCKNER, TYRONE	DAIN TRAILER	0.00	3.20	75.0	0.00	2.40	0.00	2.40
01/10/2018 #	SANBORN, ROBERT	DAIN TRAILER	0.00	1.50	75.0	0.00	1.13	0.00	1.13
01/10/2018 #	STANLEY, MICHELLE	DAIN TRAILER	0.00	0.51	75.0	0.00	0.38	0.00	0.38
01/10/2018 #	STANLEY, MICHELLE	DAIN TRAILER	0.00	4.50	75.0	0.00	3.38	0.00	3.38
01/10/2018 #	STANLEY, RICHARD	DAIN TRAILER	0.00	0.01	75.0	0.00	0.01	0.00	0.01
01/10/2018 #	BAILEY, MARTIN	DAIN TRAILER	0.00	0.79	75.0	0.00	0.59	0.00	0.59
01/10/2018 #	LA FEX, THOMAS	DAIN TRAILER	0.00	1.23	75.0	0.00	0.92	0.00	0.92
01/10/2018 #	RICKS, DONNA	DAIN TRAILER	0.00	1.32	75.0	0.00	0.99	0.00	0.99
01/10/2018 #	WORTHINGTON, FARIS	DAIN TRAILER	0.00	3.37	75.0	0.00	2.53	0.00	2.53

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
01/10/2018 #	WORTHINGTON, FARIS	DAIN TRAILER	0.00	0.91	75.0	0.00	0.68	0.00	0.68
01/10/2018 #	GOLDSTEIN, STEVEN	DAIN TRAILER	0.00	2.05	75.0	0.00	1.54	0.00	1.54
01/10/2018 #	FREDA, MARTIN	DAIN TRAILER	0.00	1.01	75.0	0.00	0.76	0.00	0.76
01/10/2018 #	SFERRAZZA, JOANN	DAIN TRAILER	0.00	1.64	75.0	0.00	1.23	0.00	1.23
01/10/2018 #	SFERRAZZA, PAUL	DAIN TRAILER	0.00	0.89	75.0	0.00	0.67	0.00	0.67
01/10/2018 #	SFERRAZZA, PAUL	DAIN TRAILER	0.00	6.05	75.0	0.00	4.54	0.00	4.54
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$72.40		\$0.00	\$54.34	\$0.00	\$54.34
*** BROKER TRANSACTIONS TOTAL ***			\$157,084.82	\$2,362.50		\$0.00	\$1,771.92	\$179.55	\$1,592.37

ADJUSTMENTS

02/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
02/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,592.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-356.84
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$736.53
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