

All Companies
STATEMENT
March 20, 2018

MICHAEL GREER - LPCM
9445 Indianfield Dr

BROKER #: 3029
BRANCH: LA BRANCH

Mechanicsville, VA 23116-

COMMISSION STATEMENT for MICHAEL GREER - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/13/2018 # BOYKIN, THOMAS	HARTFORD MUT FDS INC BALANCED INCOM S			7,562.00	0.00	0.00	0.00	0.00	85.0	0.00
02/13/2018 # BOYKIN, THOMAS	MORGAN STANLEY INST FD TRUST CORPOR S			4,085.00	0.00	0.00	0.00	0.00	85.0	0.00
02/13/2018 # BOYKIN, THOMAS	PARNANNUS INCOME TRUST		S	1,592.00	0.00	0.00	0.00	0.00	85.0	0.00
02/13/2018 # BOYKIN, THOMAS	PROFUNDS CONSUMER GOODS ULTRASECTOR S			1,212.00	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 # WINSTON, YOLANDA	FIDELITY SELECT HEALTH CARE PORTFOL S			13,455.17	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 # WINSTON, YOLANDA	FIDELITY SELECT IT SERVICES		S	48,372.94	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 # GRANT, EILEEN	HARTFORD MUT FDS INC BALANCED INCOM S			16,231.80	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 # WINSTON, YOLANDA	HARTFORD MUT FDS INC BALANCED INCOM S			89,431.04	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 # BALLARD, RODNEY	HARTFORD MUT FDS INC BALANCED INCOM S			16,352.62	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 # WINSTON, YOLANDA	MORGAN STANLEY INST FD TRUST CORPOR B			10,514.00	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 # BALLARD, RODNEY	MORGAN STANLEY INST FD TRUST CORPOR B			3,889.00	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 # WINSTON, YOLANDA	PARNANNUS INCOME TRUST		S	29,269.55	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 # BALLARD, RODNEY	PARNANNUS INCOME TRUST		S	9,173.30	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 # WINSTON, YOLANDA	PROFUNDS CONSUMER GOODS ULTRASECTOR B			11,631.00	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 # BALLARD, RODNEY	PROFUNDS CONSUMER GOODS ULTRASECTOR B			2,192.00	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 # WINSTON, YOLANDA	VALUE LINE ASSET ALLOCATION FUND IN B			13,228.00	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 # BALLARD, RODNEY	VALUE LINE ASSET ALLOCATION FUND IN B			5,505.00	0.00	0.00	0.00	0.00	85.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$283,696.42	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

02/01/2018 # DAMIAN, KEVIN	SUNOCO LP COMMON UNITS REPRESENTING S			6,499.80	0.00	0.00	0.00	0.00	85.0	0.00
02/12/2018 # MINTER, ARTHUR	SUNOCO LP COMMON UNITS REPRESENTING S			150.40	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 # WINSTON, YOLANDA	BLACKSTONE GROUP L P		S	13,628.00	0.00	0.00	0.00	0.00	85.0	0.00
02/26/2018 # WARE, WILLIAM	DOMINION RESOURCES INC VA NEW		S	22,773.63	0.00	0.00	0.00	0.00	85.0	0.00
02/26/2018 # WARE, WILLIAM	ENTERGY CORP NEW		S	17,070.69	0.00	0.00	0.00	0.00	85.0	0.00
02/26/2018 # WARE, WILLIAM	HOME DEPOT INC		S	15,108.20	0.00	0.00	0.00	0.00	85.0	0.00
* STOCKS SUBTOTAL *				\$75,230.72	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: RIA

01/02/0018 #87706897 SIMPSON-NANCEKIVELL, ENVESTNET .		B		0.00	7.19	0.00	0.00	7.19	85.0	6.11
01/04/0018 #87706613 YORK, CHARLES	ENVESTNET .		B	0.00	-4.06	0.00	0.00	-4.06	85.0	-3.45
01/07/0018 #87706631 TUMLIN, THOMAS	ENVESTNET .		B	0.00	-30.49	0.00	0.00	-30.49	85.0	-25.92

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
01/09/0018 #87706507	WARE, WILLIAM	ENVESTNET .	B	0.00	-158.55	0.00	0.00	-158.55	85.0	-134.77
01/02/2018 #87706479	DAMIAN, KEVIN	ENVESTNET .	B	0.00	-81.29	0.00	0.00	-81.29	85.0	-69.10
01/05/2018 #87706509	WARE, WILLIAM	ENVESTNET .	B	0.00	-40.63	0.00	0.00	-40.63	85.0	-34.54
01/09/2018 #87706573	ARVIZU, ROBERT	ENVESTNET .	B	0.00	-371.95	0.00	0.00	-371.95	85.0	-316.16
01/09/2018 #87706574	ARVIZU, ROBERT	ENVESTNET .	B	0.00	-640.93	0.00	0.00	-640.93	85.0	-544.79
* RIA SUBTOTAL *				\$0.00	\$-1,320.71	\$0.00	\$0.00	\$-1,320.71		\$-1,122.62
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
02/09/2018 #	BATEMAN, WOODROW	DAIN TRAILER	B	0.00	9.35	0.00	0.00	9.35	85.0	7.95
02/09/2018 #	DAMIAN, SHELLEY	DAIN TRAILER	B	0.00	0.83	0.00	0.00	0.83	85.0	0.71
02/09/2018 #	WARE, WILLIAM	DAIN TRAILER	B	0.00	10.45	0.00	0.00	10.45	85.0	8.88
02/09/2018 #	WARE, WILLIAM	DAIN TRAILER	B	0.00	1.86	0.00	0.00	1.86	85.0	1.58
02/09/2018 #	MINTER, ARTHUR	DAIN TRAILER	B	0.00	5.59	0.00	0.00	5.59	85.0	4.75
02/09/2018 #	DUEGAW, DAVID	DAIN TRAILER	B	0.00	56.13	0.00	0.00	56.13	85.0	47.71
02/09/2018 #	TUMLIN, JUDITH	DAIN TRAILER	B	0.00	0.96	0.00	0.00	0.96	85.0	0.82
02/13/2018 #	BOYKIN, THOMAS	DAIN TRAILER	S	745.00	0.00	0.00	0.00	0.00	85.0	0.00
02/13/2018 #	BOYKIN, THOMAS	DAIN TRAILER	S	507.00	0.00	0.00	0.00	0.00	85.0	0.00
02/13/2018 #	BOYKIN, THOMAS	DAIN TRAILER	S	1,470.00	0.00	0.00	0.00	0.00	85.0	0.00
02/13/2018 #	BOYKIN, THOMAS	DAIN TRAILER	S	7,827.00	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 #	WINSTON, YOLANDA	DAIN TRAILER	B	27,779.00	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 #	BALLARD, RODNEY	DAIN TRAILER	B	1,552.00	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 #	WINSTON, YOLANDA	DAIN TRAILER	B	27,779.00	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 #	BALLARD, RODNEY	DAIN TRAILER	B	1,554.00	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 #	WINSTON, YOLANDA	DAIN TRAILER	B	4,643.00	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 #	BALLARD, RODNEY	DAIN TRAILER	B	4,869.00	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 #	WINSTON, YOLANDA	DAIN TRAILER	B	23,149.00	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 #	BALLARD, RODNEY	DAIN TRAILER	B	6,139.00	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 #	WINSTON, YOLANDA	DAIN TRAILER	S	65,589.49	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 #	BALLARD, RODNEY	DAIN TRAILER	S	11,642.78	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 #	WINSTON, YOLANDA	DAIN TRAILER	B	69,447.00	0.00	0.00	0.00	0.00	85.0	0.00
02/22/2018 #	BALLARD, RODNEY	DAIN TRAILER	B	18,419.00	0.00	0.00	0.00	0.00	85.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$273,111.27	\$85.17	\$0.00	\$0.00	\$85.17		\$72.40
*** BROKER TRANSACTIONS TOTAL ***				\$632,038.41	\$-1,235.54	\$0.00	\$0.00	\$-1,235.54		\$-1,050.22

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ADJUSTMENTS

03/20/2018		ADMIN FEE: ADMIN FEE								-320.00
03/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
*** ADJUSTMENTS TOTAL ***										<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$-1,050.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-1,549.22</u>
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