



Concorde Investment Services, LLC

08/14/2017
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COMMISSION STATEMENT August 15, 2017

J1D-DAN/JON PAUL FT-NY RAUPP/CIRELLI
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: J1D
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^08/03/2017	SELDEN, MARC	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^07/28/2017	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	4.27	0.00	4.27	100.0	0.00
^07/17/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.59	0.00	12.59	100.0	0.00
^07/17/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.66	0.00	10.66	100.0	0.00
^07/17/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	31.99	0.00	31.99	100.0	0.00
^07/17/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.37	0.00	10.37	100.0	0.00
^07/17/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	31.12	0.00	31.12	100.0	0.00
^07/19/2017	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	22.92	0.00	22.92	100.0	0.00
^07/19/2017	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	68.76	0.00	68.76	100.0	0.00
^07/19/2017	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	12.58	0.00	12.58	100.0	0.00
^07/18/2017	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	3.43	0.00	3.43	100.0	0.00
^07/18/2017	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.33	0.00	4.33	100.0	0.00
^07/18/2017	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	3.09	0.00	3.09	100.0	0.00
^07/21/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.81	0.00	0.81	100.0	0.00
^07/21/2017	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	17.24	0.00	17.24	100.0	0.00
^07/21/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.63	0.00	0.63	100.0	0.00
^07/13/2017	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	131.26	0.00	131.26	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$366.05	\$0.00	\$366.05		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$100,000.00	\$7,366.05	\$0.00	\$7,366.05		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.03
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.03
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00