



COMMISSION STATEMENT

August 20, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/06/2018	***-**-94IR - HALL-SPLENDORIO, PIONEER FUND A		50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 06202691947	BUY 2 Units @32.6900						
		* MUTUAL FUNDS SUBTOTAL *	\$50.00	\$2.50	\$0.00	\$2.50		\$1.75
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	***-**-654I - ROSTAD, BARBARA	AF MODERATE GROWTH AND INCOME-A	0.00	19.70	0.00	19.70	70.0	13.79
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
/ /	***-**-755I - ROSTAD, HOWARD	AF MODERATE GROWTH AND INCOME-A	0.00	6.24	0.00	6.24	70.0	4.37
	ACCOUNT #: LSR012427	BUY 1 Units @0.0000						
/ /	***-**-1184 - SPORRER, SHIRLEY	AMCAP FUND-A	0.00	2.28	0.00	2.28	70.0	1.60
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
/ /	***-**-0400 - SPORRER, JERALD	AMCAP FUND-A	0.00	4.13	0.00	4.13	70.0	2.89
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
/ /	***-**-00IR - SPORRER, JERALD	AMCAP FUND-A	0.00	4.42	0.00	4.42	70.0	3.09
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
/ /	***-**-0437 - ALLEN, WAYNE	AMCAP FUND-A	0.00	2.64	0.00	2.64	70.0	1.85
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
/ /	***-**-0376 - ALLEN, MISTY	AMCAP FUND-A	0.00	4.86	0.00	4.86	70.0	3.40
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
/ /	***-**-37TR - SCHROEDER TRUST,	AMERICAN BALANCED FUND-A	0.00	12.14	0.00	12.14	70.0	8.50
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
/ /	***-**-579I - FREDERICK,	AMERICAN BALANCED FUND-A	0.00	20.88	0.00	20.88	70.0	14.62
	ACCOUNT #: LSR011570	BUY 1 Units @0.0000						
/ /	***-**-0737 - SCHROEDER, JACK	AMERICAN BALANCED FUND-A	0.00	13.49	0.00	13.49	70.0	9.44
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
/ /	***-**-37TR - SCHROEDER TRUST,	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	11.51	0.00	11.51	70.0	8.06
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
/ /	***-**-568I - MARSHALL, BRUCE	BOND A	0.00	10.50	0.00	10.50	70.0	7.35
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
/ /	***-**-5594 - BISHOP, SHANNON	EUROPACIFIC GROWTH FUND-A	0.00	1.03	0.00	1.03	70.0	0.72
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
/ /	***-**-1184 - SPORRER, SHIRLEY	FIRST EAGLE GOLD FUND CLASS A	0.00	0.89	0.00	0.89	70.0	0.62
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-184I - SPORRER, SHIRLEY	FIRST EAGLE GOLD FUND CLASS A	0.00	1.43	0.00	1.43	70.0	1.00
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
/ /	***-**-00IR - SPORRER, JERALD	FIRST EAGLE GOLD FUND CLASS A	0.00	1.66	0.00	1.66	70.0	1.16
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
/ /	***-**-654I - ROSTAD, BARBARA	GLOBAL GROWTH PORTFOLIO-A	0.00	6.60	0.00	6.60	70.0	4.62
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
/ /	***-**-755I - ROSTAD, HOWARD	GLOBAL GROWTH PORTFOLIO-A	0.00	3.10	0.00	3.10	70.0	2.17
	ACCOUNT #: LSR012427	BUY 1 Units @0.0000						
/ /	***-**-24IO - DEWITT, TRACY	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	5.89	0.00	5.89	70.0	4.12
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
/ /	***-**-0383 - MARSHALL,	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	7.18	0.00	7.18	70.0	5.03
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
/ /	***-**-568I - MARSHALL, BRUCE	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	0.52	0.00	0.52	70.0	0.36
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
/ /	***-**-2425 - BRIAN N CALNAN,	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	3.90	0.00	3.90	70.0	2.73
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
/ /	***-**-1184 - SPORRER, SHIRLEY	JOHN HANCOCK GL ABSO RETURN STRATS	0.00	0.55	0.00	0.55	70.0	0.39
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
/ /	***-**-568J - MARSHALL, BRUCE	JOHN HANCOCK GLOBAL EQUITY FUND CLA	0.00	2.66	0.00	2.66	70.0	1.86
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
/ /	***-**-5712 - RAMSEY, GUSSIE	JOHN HANCOCK TECHNICAL OPPS CL A	0.00	2.03	0.00	2.03	70.0	1.42
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
/ /	***-**-32IO - VASQUEZ, CHARLES	LIFESTYLE BALANCED PORTFOLIO A	0.00	0.29	0.00	0.29	70.0	0.20
	ACCOUNT #: LSR006530	BUY 1 Units @0.0000						
/ /	***-**-24IO - DEWITT, TRACY	LIFESTYLE BALANCED PORTFOLIO A	0.00	24.12	0.00	24.12	70.0	16.88
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
/ /	***-**-0724 - STROMMESEN,	LIFESTYLE BALANCED PORTFOLIO A	0.00	6.29	0.00	6.29	70.0	4.40
	ACCOUNT #: LSR105090	BUY 1 Units @0.0000						
/ /	***-**-1788 - STROMMESEN,	LIFESTYLE BALANCED PORTFOLIO A	0.00	4.05	0.00	4.05	70.0	2.84
	ACCOUNT #: LSR105104	BUY 1 Units @0.0000						
/ /	***-**-00IR - SPORRER, JERALD	LIFESTYLE BALANCED PORTFOLIO A	0.00	0.94	0.00	0.94	70.0	0.66
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-9761 - SANTAPAOLA,	LIFESTYLE BALANCED PORTFOLIO A	0.00	3.30	0.00	3.30	70.0	2.31
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
/ /	***-**-568J - MARSHALL, BRUCE	LIFESTYLE BALANCED PORTFOLIO A	0.00	27.66	0.00	27.66	70.0	19.36
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
/ /	***-**-0383 - MARSHALL,	LIFESTYLE BALANCED PORTFOLIO A	0.00	28.26	0.00	28.26	70.0	19.78
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
/ /	***-**-9483 - WYCKOFF,	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.63	0.00	2.63	70.0	1.84
	ACCOUNT #: LSR106887	BUY 1 Units @0.0000						
08/14/2018	***-**-579I - FREDERICK,	LIFESTYLE BALANCED PORTFOLIO A	0.00	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00001676185	BUY 0 Units @0.0000						
08/14/2018	***-**-492I - SELL, MICHAEL	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.05	0.00	2.05	70.0	1.44
	ACCOUNT #: 00001722478	BUY 0 Units @0.0000						
08/14/2018	***-**-63IN - SYPHERS, ZACKARY	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.27	0.00	2.27	70.0	1.59
	ACCOUNT #: 00001827112	BUY 0 Units @0.0000						
08/14/2018	***-**-280I - CURD, NANCY	LIFESTYLE BALANCED PORTFOLIO A	0.00	1.19	0.00	1.19	70.0	0.83
	ACCOUNT #: 00001860711	BUY 0 Units @0.0000						
08/14/2018	***-**-837I - CURTIN, PAUL	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.33	0.00	2.33	70.0	1.63
	ACCOUNT #: 00002116172	BUY 0 Units @0.0000						
08/14/2018	***-**-180I - GOODMILLER,	LIFESTYLE BALANCED PORTFOLIO A	0.00	6.28	0.00	6.28	70.0	4.40
	ACCOUNT #: 00002330736	BUY 0 Units @0.0000						
/ /	***-**-29IO - NORFLEET,	LIFESTYLE CONSERVATIVE PORTFOLIO A	0.00	10.80	0.00	10.80	70.0	7.56
	ACCOUNT #: LSR010116	BUY 1 Units @0.0000						
/ /	***-**-76IO - SMOAKE, DAVID	LIFESTYLE GROWTH PORTFOLIO A	0.00	12.24	0.00	12.24	70.0	8.57
	ACCOUNT #: LSR011651	BUY 1 Units @0.0000						
/ /	***-**-1184 - SPORRER, SHIRLEY	LIFESTYLE GROWTH PORTFOLIO A	0.00	0.93	0.00	0.93	70.0	0.65
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
/ /	***-**-5594 - BISHOP, SHANNON	LIFESTYLE GROWTH PORTFOLIO A	0.00	1.33	0.00	1.33	70.0	0.93
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
/ /	***-**-2425 - BRIAN N CALNAN,	LIFESTYLE GROWTH PORTFOLIO A	0.00	7.65	0.00	7.65	70.0	5.36
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
/ /	***-**-37TR - SCHROEDER TRUST,	LIFESTYLE MODERATE PORTFOLIO A	0.00	9.98	0.00	9.98	70.0	6.99
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-514I - BERGIN, JOSEPH	LIFESTYLE MODERATE PORTFOLIO A	0.00	6.74	0.00	6.74	70.0	4.72
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
/ /	***-**-099X - JAMES L SMITH,	LIFESTYLE MODERATE PORTFOLIO A	0.00	0.28	0.00	0.28	70.0	0.20
	ACCOUNT #: LSR011494	BUY 1 Units @0.0000						
/ /	***-**-087X - MACHRINA E	LIFESTYLE MODERATE PORTFOLIO A	0.00	0.28	0.00	0.28	70.0	0.20
	ACCOUNT #: LSR011495	BUY 1 Units @0.0000						
/ /	***-**-0737 - SCHROEDER, JACK	LIFESTYLE MODERATE PORTFOLIO A	0.00	5.41	0.00	5.41	70.0	3.79
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
/ /	***-**-568I - MARSHALL, BRUCE	LIFESTYLE MODERATE PORTFOLIO A	0.00	45.82	0.00	45.82	70.0	32.07
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
/ /	***-**-5712 - RAMSEY, GUSSIE	LIFESTYLE MODERATE PORTFOLIO A	0.00	25.04	0.00	25.04	70.0	17.53
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
/ /	***-**-0237 - RAMSEY, WILLIAM	LIFESTYLE MODERATE PORTFOLIO A	0.00	4.04	0.00	4.04	70.0	2.83
	ACCOUNT #: LSR105937	BUY 1 Units @0.0000						
08/14/2018	***-**-36IN - PISARIK, MICHAEL	LIFESTYLE MODERATE PORTFOLIO A	0.00	5.09	0.00	5.09	70.0	3.56
	ACCOUNT #: 00001840495	BUY 0 Units @0.0000						
08/14/2018	***-**-9040 - WATSON, MARY	LIFESTYLE MODERATE PORTFOLIO A	0.00	9.66	0.00	9.66	70.0	6.76
	ACCOUNT #: 00002400791	BUY 0 Units @0.0000						
08/14/2018	***-**-4036 - PISARIK, MICHAEL	LIFESTYLE MODERATE PORTFOLIO A	0.00	0.52	0.00	0.52	70.0	0.36
	ACCOUNT #: 00002493848	BUY 0 Units @0.0000						
/ /	***-**-5594 - BISHOP, SHANNON	MASS INVESTORS GROWTH STOCK FUND-A	0.00	1.12	0.00	1.12	70.0	0.78
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
/ /	***-**-0737 - SCHROEDER, JACK	MFS DIVERSIFIED INCOME FD CL A	0.00	12.03	0.00	12.03	70.0	8.42
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
/ /	***-**-514I - BERGIN, JOSEPH	MFS EMERGING MARKETS DEBT FUND-A	0.00	0.79	0.00	0.79	70.0	0.55
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
/ /	***-**-0737 - SCHROEDER, JACK	MFS EMERGING MARKETS DEBT FUND-A	0.00	3.21	0.00	3.21	70.0	2.25
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
/ /	***-**-5712 - RAMSEY, GUSSIE	MFS EMERGING MARKETS DEBT FUND-A	0.00	1.30	0.00	1.30	70.0	0.91
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
/ /	***-**-0437 - ALLEN, WAYNE	NEW PERSPECTIVE FUND-A	0.00	2.59	0.00	2.59	70.0	1.81
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***--0376 - ALLEN, MISTY	NEW PERSPECTIVE FUND-A	0.00	4.78	0.00	4.78	70.0	3.35
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
/ /	***--654I - ROSTAD, BARBARA	PRESERVATION PORTFOLIO-A	0.00	6.51	0.00	6.51	70.0	4.56
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
/ /	***--755I - ROSTAD, HOWARD	PRESERVATION PORTFOLIO-A	0.00	2.11	0.00	2.11	70.0	1.48
	ACCOUNT #: LSR012427	BUY 1 Units @0.0000						
/ /	***--514I - BERGIN, JOSEPH	PUTNAM ABSOLUTE RETURN 300 FUND CLA	0.00	0.37	0.00	0.37	70.0	0.26
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
/ /	***--0437 - ALLEN, WAYNE	THE GROWTH FUND OF AMERICA-A	0.00	2.79	0.00	2.79	70.0	1.95
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
/ /	***--0376 - ALLEN, MISTY	THE GROWTH FUND OF AMERICA-A	0.00	5.15	0.00	5.15	70.0	3.61
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
/ /	***--184I - SPORRER, SHIRLEY	THE INCOME FUND OF AMERICA-A	0.00	4.24	0.00	4.24	70.0	2.97
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
/ /	***--0400 - SPORRER, JERALD	THE INCOME FUND OF AMERICA-A	0.00	1.09	0.00	1.09	70.0	0.76
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
/ /	***--00IR - SPORRER, JERALD	THE INCOME FUND OF AMERICA-A	0.00	7.78	0.00	7.78	70.0	5.45
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
/ /	***--0437 - ALLEN, WAYNE	THE INCOME FUND OF AMERICA-A	0.00	1.87	0.00	1.87	70.0	1.31
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
/ /	***--7347 - WYCKOFF, DAVID	THE INCOME FUND OF AMERICA-A	0.00	0.38	0.00	0.38	70.0	0.27
	ACCOUNT #: LSR105457	BUY 1 Units @0.0000						
/ /	***--0376 - ALLEN, MISTY	THE INCOME FUND OF AMERICA-A	0.00	3.44	0.00	3.44	70.0	2.41
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
/ /	***--184I - SPORRER, SHIRLEY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	3.28	0.00	3.28	70.0	2.30
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
/ /	***--0400 - SPORRER, JERALD	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.61	0.00	2.61	70.0	1.83
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
/ /	***--00IR - SPORRER, JERALD	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.89	0.00	1.89	70.0	1.32
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
/ /	***--0437 - ALLEN, WAYNE	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.27	0.00	2.27	70.0	1.59
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-0376 - ALLEN, MISTY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	4.17	0.00	4.17	70.0	2.92
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
/ /	***-**-7347 - WYCKOFF, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.27	0.00	0.27	70.0	0.19
	ACCOUNT #: LSR105457	BUY 1 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$488.01	\$0.00	\$488.01		\$341.64
	*** BROKER TRANSACTIONS TOTAL ***		\$50.00	\$490.51	\$0.00	\$490.51		\$343.39

BROKER COMMISSION TOTALS

Broker Transactions	\$343.39
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$343.39
Year-to-date Earnings	\$49,574.37