All Companies

LIBERTY PARTNERS

STATEMENT

August 20, 2018

BRYAN DUCKETT

11761 Connecticut Dr

Rancho Cucamonga, CA 91730-

BROKER #: 009

BRANCH: LA BRANCH

COMMISSION STATEMENT for BRYAN DUCKETT

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION RATE	BROKER COMMISSION
	BROKER TR	A NTC!	A CTTONG					
	BROKER IR	ниол	HC110N5					
PRODUCT CATEGORY: MUTUAL FUNDS								
05/01/0018 #671895 WEST COAST	ADP 416649283	В	52,262.31	11.10	0.00	0.00	11.10 88.0	9.77
05/01/0018 #671895 WEST COAST	ADP 47103C167	В	148,126.82	31.45	0.00	0.00	31.45 88.0	27.68
05/01/0018 #671895 WEST COAST	ADP 56062X625	В	223,749.18	47.51	0.00	0.00	47.51 88.0	41.81
05/01/0018 #671895 WEST COAST	ADP 74149P606	В	94,537.70	20.07	0.00	0.00	20.07 88.0	17.66
05/01/0018 #671895 WEST COAST	ADP 74149P705	В	365,028.19	77.51	0.00	0.00	77.51 88.0	68.21
05/01/0018 #671895 WEST COAST	ADP 74149P721	В	54,279.82	11.53	0.00	0.00	11.53 88.0	10.15
05/01/0018 #671895 WEST COAST	ADP 74149P804	В	135,408.87	28.75	0.00	0.00	28.75 88.0	25.30
05/01/0018 #671895 WEST COAST	ADP 74149P887	В	647,417.40	137.47	0.00	0.00	137.47 88.0	120.97
05/01/0018 #671895 WEST COAST	ADP 85744A513	В	314,323.88	66.74	0.00	0.00	66.74 88.0	58.73
05/01/0018 #671895 WEST COAST	ADP 85744A638	В	691,008.33	146.72	0.00	0.00	146.72 88.0	129.11
05/01/0018 #671895 WEST COAST	ADP 885215269	В	144,648.57	30.71	0.00	0.00	30.71 88.0	27.02
05/01/0018 #671895 WEST COAST	BLACKROCK EQUITY DIVIDEND FUND CL A	В	80,223.83	17.03	0.00	0.00	17.03 88.0	14.99
05/01/0018 #671895 WEST COAST	COLUMBIA SELIGMAN COMMUNICATIONS AN	ГВ	101,455.35	21.54	0.00	0.00	21.54 88.0	18.96
05/01/0018 #671895 WEST COAST	FIDELITY ADV SMALL CAP-CL T	В	145,055.95	30.80	0.00	0.00	30.80 88.0	27.10
05/01/0018 #671895 WEST COAST	INVESCO DEVELOPING MARKETS FUND CL	В	321,450.81	68.25	0.00	0.00	68.25 88.0	60.06
05/01/0018 #671895 WEST COAST	INVESCO ENERGY FUND CLASS A	В	177,439.18	37.68	0.00	0.00	37.68 88.0	33.16
05/01/0018 #671895 WEST COAST	PIMCO LOW DURATION FUND-CL A	В	105,066.73	22.31	0.00	0.00	22.31 88.0	19.63
05/01/0018 #671895 WEST COAST	PIMCO TOTAL RETURN FUND-CL A	В	634.70	0.13	0.00	0.00	0.13 88.0	0.11
05/01/0018 #671895 WEST COAST	PRUDENTIAL HIGH YIELD CL A	В	202,077.43	42.91	0.00	0.00	42.91 88.0	37.76
07/07/0018 #81984090101 HOPSON, ERNEST	COLLEGE BOUND 76220Y679	В	50.00	0.20	0.00	0.00	0.20 88.0	0.18
04/30/0201 #671895 WEST COAST	ADP 416649283	В	51,452.48	10.57	0.00	0.00	10.57 88.0	9.30
04/30/0201 #671895 WEST COAST	ADP 47103C167	В	145,449.80	29.89	0.00	0.00	29.89 88.0	26.30
04/30/0201 #671895 WEST COAST	ADP 56062X625	В	214,335.40	44.04	0.00	0.00	44.04 88.0	38.76
04/30/0201 #671895 WEST COAST	ADP 74149P606	В	94,411.71	19.40	0.00	0.00	19.40 88.0	17.07
04/30/0201 #671895 WEST COAST	ADP 74149P705	В	361,738.88	74.33	0.00	0.00	74.33 88.0	65.41
04/30/0201 #671895 WEST COAST	ADP 74149P721	В	53,460.58	10.99	0.00	0.00	10.99 88.0	9.67
04/30/0201 #671895 WEST COAST	ADP 74149P804	В	134,058.97	27.55	0.00	0.00	27.55 88.0	24.24
04/30/0201 #671895 WEST COAST	ADP 74149P887	В	640,632.88	131.64	0.00	0.00	131.64 88.0	115.84
04/30/0201 #671895 WEST COAST	ADP 85744A513	В	306,885.41	63.06	0.00	0.00	63.06 88.0	55.49
04/30/0201 #671895 WEST COAST	ADP 85744A638	В	690,912.59	141.97	0.00	0.00	141.97 88.0	124.93
04/30/0201 #671895 WEST COAST	ADP 885215269	В	143,791.28	29.55	0.00	0.00	29.55 88.0	26.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION RATE (BROKER COMMISSION
		BROKER TR	ANS	ACTIONS					
PRODUCT CAT	TEGORY: MUTUAL FUNDS								
04/30/0201 #6	671895 WEST COAST	BLACKROCK EQUITY DIVIDEND FUND CL .	AВ	79,395.88	16.31	0.00	0.00	16.31 88.0	14.35
04/30/0201 #6	671895 WEST COAST	COLUMBIA SELIGMAN COMMUNICATIONS A	NΒ	99,105.83	20.36	0.00	0.00	20.36 88.0	17.92
04/30/0201 #6	671895 WEST COAST	FIDELITY ADV SMALL CAP-CL T	В	143,314.68	29.45	0.00	0.00	29.45 88.0	25.92
04/30/0201 #6	671895 WEST COAST	INVESCO DEVELOPING MARKETS FUND CL	В	338,232.91	69.50	0.00	0.00	69.50 88.0	61.16
04/30/0201 #6	671895 WEST COAST	INVESCO ENERGY FUND CLASS A	В	164,650.65	33.83	0.00	0.00	33.83 88.0	29.77
04/30/0201 #6	671895 WEST COAST	PIMCO LOW DURATION FUND-CL A	В	105,651.95	21.71	0.00	0.00	21.71 88.0	19.10
04/30/0201 #6	671895 WEST COAST	PIMCO TOTAL RETURN FUND-CL A	В	638.98	0.13	0.00	0.00	0.13 88.0	0.11
04/30/0201 #6	671895 WEST COAST	PRUDENTIAL HIGH YIELD CL A	В	202,680.67	41.65	0.00	0.00	41.65 88.0	36.65
06/30/0201 #6	671895 WEST COAST	ADP 416649283	В	52,797.18	10.85	0.00	0.00	10.85 88.0	9.55
06/30/0201 #6	671895 WEST COAST	ADP 47103C167	В	150,304.49	30.88	0.00	0.00	30.88 88.0	27.17
06/30/0201 #6	671895 WEST COAST	ADP 56062X625	В	227,732.44	46.79	0.00	0.00	46.79 88.0	41.18
06/30/0201 #6	671895 WEST COAST	ADP 74149P606	В	94,881.59	19.50	0.00	0.00	19.50 88.0	17.16
06/30/0201 #6	671895 WEST COAST	ADP 74149P705	В	368,381.76	75.69	0.00	0.00	75.69 88.0	66.61
06/30/0201 #6	671895 WEST COAST	ADP 74149P721	В	54,947.22	11.29	0.00	0.00	11.29 88.0	9.94
06/30/0201 #6	671895 WEST COAST	ADP 74149P804	В	136,811.89	28.11	0.00	0.00	28.11 88.0	24.74
06/30/0201 #6	671895 WEST COAST	ADP 74149P887	В	653,846.43	134.35	0.00	0.00	134.35 88.0	118.23
06/30/0201 #6	671895 WEST COAST	ADP 85744A513	В	319,175.56	65.58	0.00	0.00	65.58 88.0	57.71
06/30/0201 #6	671895 WEST COAST	ADP 85744A638	В	693,641.28	142.53	0.00	0.00	142.53 88.0	125.43
06/30/0201 #6	671895 WEST COAST	ADP 885215269	В	143,484.76	29.48	0.00	0.00	29.48 88.0	25.94
06/30/0201 #6	671895 WEST COAST	BLACKROCK EQUITY DIVIDEND FUND CL .	AВ	80,633.38	16.57	0.00	0.00	16.57 88.0	14.58
06/30/0201 #8	81984090101 HOPSON, ERNEST	COLLEGE BOUND 76220Y679	В	0.00	3.08	0.00	0.00	3.08 88.0	2.71
06/30/0201 #8	81984090102 HOPSON, ERNEST	COLLEGE BOUND 76220Y877	В	0.00	3.78	0.00	0.00	3.78 88.0	3.33
06/30/0201 #6	671895 WEST COAST	COLUMBIA SELIGMAN COMMUNICATIONS A	NΒ	105,081.86	21.59	0.00	0.00	21.59 88.0	19.00
06/30/0201 #6	671895 WEST COAST	FIDELITY ADV SMALL CAP-CL T	В	148,627.48	30.54	0.00	0.00	30.54 88.0	26.88
06/30/0201 #6	671895 WEST COAST	INVESCO DEVELOPING MARKETS FUND CL	В	319,027.49	65.55	0.00	0.00	65.55 88.0	57.68
06/30/0201 #6	671895 WEST COAST	INVESCO ENERGY FUND CLASS A	В	174,839.40	35.93	0.00	0.00	35.93 88.0	31.62
06/30/0201 #6	671895 WEST COAST	PIMCO LOW DURATION FUND-CL A	В	105,375.00	21.65	0.00	0.00	21.65 88.0	19.05
06/30/0201 #6	671895 WEST COAST	PIMCO TOTAL RETURN FUND-CL A	В	635.93	0.13	0.00	0.00	0.13 88.0	0.11
06/30/0201 #6	671895 WEST COAST	PRUDENTIAL HIGH YIELD CL A	В	203,308.40	41.78	0.00	0.00	41.78 88.0	36.77
07/17/2018 #0	00089506143 UHLSSCOTT	AMERICAN FUNDS 02629M58	В	100.00	3.50	0.00	0.00	3.50 88.0	3.08
		* MUTUAL FUNDS SUBTOTAL	*	\$12,008,680.12	\$2,505.49	\$0.00	\$0.00	\$2,505.49	\$2,204.82
PRODUCT CAT	TEGORY: STOCKS								
06/28/2018 #	HOLCOMB, TIM	ABBOTT LABORATORIES	S	3,062.51	0.00	9.95	0.00	-9.95 88.0	-8.76
06/28/2018 #	HOLCOMB, TIM	ABBVIE INC	S	2,300.06	0.00	9.95	0.00	-9.95 88.0	-8.76
06/29/2018 #		SPDR INDEX SHARES FUNDS	В	141.26	0.00	19.95	0.00	-19.95 88.0	-17.56
06/29/2018 #		SPDR INDEX SHARES FUNDS	В	152.05	0.00	19.95	0.00	-19.95 88.0	-17.56
06/29/2018 #		SPDR SERIES TRUST	В	83.61	0.00	19.95	0.00	-19.95 88.0	-17.56
06/29/2018 #		SPDR SERIES TRUST	В	27.30	0.00	19.95	0.00	-19.95 88.0	-17.56
06/29/2018 #		SPDR SERIES TRUST	В	27.30	0.00	19.95	0.00	-19.95 88.0	-17.56

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TRADE DATE	CLIENT	INVESTME	NT		B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
				BROKER '	TRANS	ACTIONS						
PRODUCT CAT	TEGORY: STOCKS											
06/29/2018 #		SPDR SERIE	S TRUST SPD	R PORTFOLIO	LA S	160.00	0.00	19.95	0.00	-19.95	88.0	-17.56
06/29/2018 #		SPDR SERIE	S TRUST SPD	R PORTFOLIO	LA S	32.00	0.00	19.95	0.00	-19.95	88.0	-17.56
06/29/2018 #		SPDR SERIE	S TRUST SPD	R PORTFOLIO	SM S	129.21	0.00	19.95	0.00	-19.95	88.0	-17.56
06/29/2018 #		SPDR SERIE	S TRUST SPD	R PORTFOLIO	SM S	32.30	0.00	19.95	0.00	-19.95	88.0	-17.56
07/06/2018 #	NEIMAN, JOHN	ISHARES TR	UST IBOXX \$	INVESTOP I	NVE S	2,303.80	54.30	19.95	0.00	34.35	88.0	30.23
07/06/2018 #	NEIMAN, JOHN	POWERSHARE	S QQQ TRUST		S	12,284.30	84.00	19.95	0.00	64.05	88.0	56.36
07/06/2018 #	NEIMAN, JOHN	SECTOR SPD	R TRUST		S	4,329.60	77.79	19.95	0.00	57.84	88.0	50.90
07/06/2018 #	NEIMAN, JOHN	SELECT SEC	TOR SPDR FU	ND SHS BEN	INT S	2,492.84	57.34	19.95	0.00	37.39	88.0	32.90
07/06/2018 #	NEIMAN, JOHN	VANGUARD I	NDEX FUNDS	VANGUARD MI	D-C S	4,384.40	78.40	19.95	0.00	58.45	88.0	51.44
07/12/2018 #	TREVIZO, JAN-MICHAEL	ISHARES TR	UST DOW JON	ES US AEROS	PAC B	1,975.04	50.00	19.95	0.00	30.05	88.0	26.44
07/12/2018 #	TREVIZO, JAN-MICHAEL	MGM RESORT	S INTERNATI	ONAL	В	909.53	50.00	19.95	0.00	30.05	88.0	26.44
07/12/2018 #	TREVIZO, JAN-MICHAEL	PAPA JOHNS	INTERNATIO	NAL INC	В	543.60	50.00	19.95	0.00	30.05	88.0	26.44
07/13/2018 #	MILLER, DAVID	ISHARES MO	RNINGSTAR S	MALL CAP ET	F S	12,784.11	0.00	9.95	0.00	-9.95	88.0	-8.76
07/13/2018 #	MILLER, DAVID	VANGUARD I	NTERMEDIATE	TERM BOND	ETF S	10,227.43	0.00	9.95	0.00	-9.95	88.0	-8.76
07/18/2018 #	TREVIZO, JAN-MICHAEL	MGM RESORT	S INTERNATI	ONAL	S	155.36	50.00	19.95	0.00	30.05	88.0	26.44
07/18/2018 #	TREVIZO, JAN-MICHAEL	PAPA JOHNS	INTERNATIO	NAL INC	S	538.30	50.00	19.95	0.00	30.05	88.0	26.44
			* ST	OCKS SUBTOTA	AL *	\$59,075.91	\$601.83	\$418.85	\$0.00	\$182.98		\$160.95
PRODUCT CAT	TEGORY: OPTIONS											
07/16/2018 #		CALL VHC	12/22/12	32	В	1,100.00	43.00	23.85	0.00	19.15	88.0	16.85
07/17/2018 #		PUT QQQ	08/17/18	180	В	1,450.00	36.25	29.70	0.00	6.55	88.0	5.76
07/19/2018 #		CALL VHC	12/22/12	32	В	1,000.00	40.00	23.85	0.00	16.15	88.0	14.21
07/26/2018 #	SCAFFIDE, SAM	CALL FB	01/18/19	175	В	5,100.00	0.00	12.95	0.00	-12.95	88.0	-11.40
07/26/2018 #		PUT EA	08/03/18	140	В	380.00	0.00	22.95	0.00	-22.95	88.0	-20.20
07/27/2018 #		PUT EA	08/03/18	140	S	730.00	0.00	22.95	0.00	-22.95	88.0	-20.20
07/27/2018 #		PUT QQQ	08/17/18	180	S	1,970.00	50.00	29.70	0.00	20.30	88.0	17.86
			* OPT	IONS SUBTOTA	AL *	\$11,730.00	\$169.25	\$165.95	\$0.00	\$3.30		\$2.88
PRODUCT CAT	TEGORY: VARIABLE ANNUITIE	<u>:s</u>										
07/06/2018 #3	304706276 MUOLO, RICHARD	AXA			В	369,106.58	1,153.46	0.00	0.00	1,153.46	88.0	1,015.04
07/06/2018 #3	304706500 MCLAIN, DALE	AXA			В	252,690.25	789.66	0.00	0.00	789.66	88.0	694.90
07/06/2018 #3	304707110 CAGANAP, DANIEL	AXA			В	120,304.30	375.95	0.00	0.00	375.95	88.0	330.84
07/06/2018 #3	304718797 MCLAIN, TERRI	AXA			В	33,243.74	103.89	0.00	0.00	103.89	88.0	91.42
07/06/2018 #3	305612120 NEIMAN, JOHN	AXA			В	180,451.00	563.91	0.00	0.00	563.91	88.0	496.24
07/06/2018 #3	305651082 GIRARD, KENNETH	AXA			В	403,746.92	1,261.71	0.00	0.00	1,261.71	88.0	1,110.30
07/06/2018 #3	307682538 ALLEN, ROBERT	AXA			В	45,797.77	114.95	0.00	0.00	114.95	88.0	101.16
07/06/2018 #3	307683780 CANIGILA, KENNITH	AXA			В	85,892.56	214.73	0.00	0.00	214.73	88.0	188.96
07/06/2018 #3	104570LB8 GUTIERREZ, LOUIS	TRANSAMERI	CA LIFE		В	0.00	42.07	0.00	0.00	42.07	88.0	37.02
07/06/2018 #3	104571LB8 GUTIERREZ, LOUIS	TRANSAMERI	CA LIFE		В	0.00	33.17	0.00	0.00	33.17	88.0	29.19
07/06/2018 #3	129617LB8 EVANS, PAM	TRANSAMERI	CA LIFE		В	0.00	38.86	0.00	0.00	38.86	88.0	34.20

COMMISSION STATEMENT for BRYAN DUCKETT

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TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION RAT	BROKER E COMMISSION
TRADE DATE CLIENT	INVESIMENT	Б/ 5	AMOUNT	COMMISSION	CHARGE	POSTAGE	COMMISSION RAI	E COMMISSION
	В	OKER TRANS	SACTIONS					
PRODUCT CATEGORY: VARIABLE ANNUITIES								
	_		015 122 54	100.04	0.00	0.00	100 04 00	0 150 00
07/31/2018 #1005560841 LUTZ, CAROL	L SERIES	В	217,133.54	180.94	0.00	0.00	180.94 88	
07/31/2018 #1018268538 ZAROFF, MICHAEL	PERSP II 04/14	В	84,496.02	17.60	0.00	0.00	17.60 88	
07/31/2018 #1012046576 CANIGILA, KENNITH		В	151,165.58	125.97	0.00	0.00	125.97 88	
07/31/2018 #1017957305 HOLCOMB, TIM	PERSP L 08/11	В	188,652.83	157.21	0.00	0.00	157.21 88	
07/31/2018 #1010427467 HOLCOMB, TIM	PERSP L 08/11	В	222,609.96	185.51	0.00	0.00	185.51 88	
07/31/2018 #1012917429 MILLER, DAVID	PERSP L 08/11	В	1,451,537.30	1,209.61	0.00	0.00	1,209.61 88	
07/31/2018 #1015021990 MONTGOMERY, BERT	PERSP L 08/11	В _	202,013.78	168.34	0.00	0.00	168.34 88	
07/31/2018 #1011249104 MUOLO, HELYN	PERSP L 08/11	В	280,670.72	233.89	0.00	0.00	233.89 88	
07/31/2018 #1018477897 SINGLETON, SEAN	PERSP L 08/11	В	732,757.94	610.63	0.00	0.00	610.63 88	
07/31/2018 #1014022941 SMITH, RANDY	PERSP L 08/11	В	468,229.44	390.19	0.00	0.00	390.19 88	.0 343.37
	* VARIABLE ANNUITIES	SUBTOTAL *	\$5,490,500.23	\$7,972.25	\$0.00	\$0.00	\$7,972.25	\$7,015.57
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
07/06/2018 # NEIMAN, JOHN	DAIN TRAILER	S	12,473.90	0.00	0.00	0.00	0.00 88	.0 0.00
07/11/2018 # MANASHOWITZ, STEVEN	DAIN TRAILER	В	0.00	0.83	0.00	0.00	0.83 88	.0 0.73
07/11/2018 # MANASHOWITZ, STEVEN	DAIN TRAILER	В	0.00	2.31	0.00	0.00	2.31 88	.0 2.03
07/11/2018 # MANASHOWITZ, STEVEN	DAIN TRAILER	В	0.00	1.13	0.00	0.00	1.13 88	.0 0.99
07/11/2018 # MORGA, MARIA	DAIN TRAILER	В	0.00	51.39	0.00	0.00	51.39 88	.0 45.22
07/11/2018 # NEIMAN, JOHN	DAIN TRAILER	В	0.00	2.54	0.00	0.00	2.54 88	.0 2.24
07/11/2018 # SCAFFIDE, SAM	DAIN TRAILER	В	0.00	4.04	0.00	0.00	4.04 88	.0 3.56
07/11/2018 # GIRARD, KENNETH	DAIN TRAILER	В	0.00	91.74	0.00	0.00	91.74 88	.0 80.73
07/11/2018 # MILLER, DAVID	DAIN TRAILER	В	0.00	11.06	0.00	0.00	11.06 88	.0 9.73
07/11/2018 # SCAFFIDE, SAM	DAIN TRAILER	В	0.00	1.44	0.00	0.00	1.44 88	.0 1.27
07/11/2018 # MANASHOWITZ, STEVEN	DAIN TRAILER	В	0.00	0.61	0.00	0.00	0.61 88	.0 0.54
	* MUTUAL FUND 12B1 TRAILS	SUBTOTAL *	\$12,473.90	\$167.09	\$0.00	\$0.00	\$167.09	\$147.04
	*** BROKER TRANSACTION	NS TOTAL ***	\$17,582,460.16	\$11,415.91	\$584.80	\$0.00	\$10,831.11	\$9,531.26
		ADJUSTME	INTS					
08/20/2018	CIRCLEBLACK: CIRCLEBLACK							-175.00
08/20/2018	ADMIN FEE: ADMIN FEE							-390.00
08/20/2018	DOCUSIGN: DOCUSIGN							-51.00

*** ADJUSTMENTS TOTAL ***

\$-795.00

BROKER COMMISSION TOTALS \$9,531.26 Broker Transactions Split Transactions \$0.00 \$0.00 Override Transactions \$-795.00 Adjustments Payroll Draw \$0.00 Base Salary \$0.00 \$0.00 Prior Period Balance FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$8,736.26 Check Amount