BROKER

CONCORDE INVESTMENT SERVICES

COMMISSION STATEMENT

February 15, 2017

INVESTMENT

BROKER #: B116

GROSS

BRANCH: BRAEVEST

NET

CLEARING

MATT BRANSTETTER
PO Box 250
111 S. Main Street
Noble, OK 73068

DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	COMMISSION	CHARGE	COMMISSION
		BROKER TRAN	SACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS							
01/25/2017	EVERETT, BRIAN	AM FUNDS AMERICAN BALANCED C	50.00	0.50	80.0	0.40	0.00	0.40
02/01/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	80.0	4.00	0.00	4.00
02/03/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	250.00	12.50	80.0	10.00	0.00	10.00
01/31/2017	MATHEWS, DALE	FRANKLIN INCOME C	0.00	171.86	80.0	137.49	0.00	137.49
/ /	MICHENER, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.95	80.0	0.76	0.00	0.76
/ /	WHITETREE, THOMAS	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	CASTLE, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	HUFFMAN, KRISTOPHER	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.39	80.0	0.31	0.00	0.31
/ /	LIVELY, ELIZABETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	LIVELY, DUSTIN	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HUNTER, TIMOTHY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	PUCKETT, CHERI	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	DOBELBOWER, JORGE LARRY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HARRALD, DONALD	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	SALLEE, MARY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.16	80.0	0.13	0.00	0.13
/ /	TREADWAY, PAMELA	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.07	80.0	0.06	0.00	0.06
/ /	TREADWAY, TOMMY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.13	80.0	0.10	0.00	0.10
/ /	DOWNING, JOHN	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.25	80.0	0.20	0.00	0.20
/ /	ACOSTA, JF	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	MELTON, JOY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	BENSON, KARLA	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	DESHIELDS, JAMES	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POORE, NANCY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MCFERRON, LINDA	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.08	80.0	0.06	0.00	0.06
/ /	VOCI, ROBERTO	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.17	80.0	0.14	0.00	0.14
/ /	WILLIAMS, JACKIE	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.21	80.0	0.17	0.00	0.17

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

			INVESTMENT	GROSS		NET	CLEARING	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	COMMISSION	CHARGE	COMMISSION

	BRUKER IRANSA	CITONS					
TEGORY: MUTUAL FUNDS							
POTTER, G	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
POTTER, RK	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
RICK, JOSEPH	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
BACON, RICHARD	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.07	80.0	0.06	0.00	0.06
ARRINGTON, NANCY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
HOLMAN, KENLEE	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
HOLMAN, MEEGAN	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.04	80.0	0.03	0.00	0.03
MICHENER, DAVID	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.16	80.0	0.13	0.00	0.13
WHITETREE, THOMAS	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
CASTLE, DAVID	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
HUFFMAN, KRISTOPHER	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.07	80.0	0.06	0.00	0.06
LIVELY, ELIZABETH	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
LIVELY, DUSTIN	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
HUNTER, TIMOTHY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
PUCKETT, CHERI	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
HARRALD, DONALD	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
SALLEE, MARY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
TAYLOR, RANDALL	PAC LIFE PORT OPTIM MOD AGG	1,250.00	20.00	80.0	16.00	0.00	16.00
LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 100-A	6.75	0.07	80.0	0.06	0.00	0.06
LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 300-A	6.75	0.07	80.0	0.06	0.00	0.06
LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 500-A	6.75	0.34	80.0	0.27	0.00	0.27
LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 700-A	6.75	0.34	80.0	0.27	0.00	0.27
MORIELS, FELICIA	PUTNAM AMERICAN GOVT INCOME-M	100.00	3.00	80.0	2.40	0.00	2.40
TAYLOR, CATHERINE	PUTNAM GROWTH OPP C	146.98	1.47	80.0	1.18	0.00	1.18
CAMPBELL, CHANDLER	PUTNAM GROWTH OPP C	25.00	0.25	80.0	0.20	0.00	0.20
CAMPBELL, CHANDLER	PUTNAM GROWTH OPP C	-25.00	-0.25	80.0	-0.20	0.00	-0.20
CASTLE, DAVID	PUTNAM INTL CAPITAL OPPS-B	100.00	4.00	80.0	3.20	0.00	3.20
MYERS, AMANDA	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
	POTTER, G POTTER, RK RICK, JOSEPH BACON, RICHARD ARRINGTON, NANCY HOLMAN, KENLEE HOLMAN, MEEGAN MICHENER, DAVID WHITETREE, THOMAS CASTLE, DAVID HUFFMAN, KRISTOPHER LIVELY, ELIZABETH LIVELY, DUSTIN HUNTER, TIMOTHY PUCKETT, CHERI HARRALD, DONALD SALLEE, MARY TAYLOR, RANDALL LLTD INVESTMENTS LLC CAMPBELL, CHANDLER CAMPBELL, CHANDLER CAMPBELL, CHANDLER CASTLE, DAVID MYERS, AMANDA HYER, LISA DOCTORMAN, SHANA DOCTORMAN, SHANA	POTTER, G GUGGENHEIM WEAKENING DOLLAR 2X POTTER, RK GUGGENHEIM WEAKENING DOLLAR 2X RICK, JOSEPH BACON, RICHARD GUGGENHEIM WEAKENING DOLLAR 2X BACON, RICHARD GUGGENHEIM WEAKENING DOLLAR 2X ARRINGTON, NANCY GUGGENHEIM WEAKENING DOLLAR 2X HOLMAN, KENLEE GUGGENHEIM WEAKENING DOLLAR 2X HOLMAN, MEEGAN MICHENER, DAVID GUGGENHEIM WEAKENING DOLLAR 2X WHITETREE, THOMAS GUGGENHEIM WEAKENING DOLLAR 2X WHITETREE, THOMAS GUGGENHEIM WEAKENING DOLLAR 2X HUFFMAN, KRISTOPHER GUGGENHEIM WEAKENING DOLLAR 2X LIVELY, ELIZABETH GUGGENHEIM WEAKENING DOLLAR 2X LIVELY, DUSTIN GUGGENHEIM WEAKENING DOLLAR 2X HUNTER, TIMOTHY GUGGENHEIM WEAKENING DOLLAR 2X PUCKETT, CHERI HARRALD, DONALD GUGGENHEIM WEAKENING DOLLAR 2X GUGGENHEIM WEAKENING DOLLAR 2X GUGGENHEIM WEAKENING DOLLAR 2X GUGGENHEIM WEAKENING DOLLAR 2X DUCKETT, CHERI GUGGENHEIM WEAKENING DOLLAR 2X GUGGENHEIM WEAKENING DOLLAR 2X DUCKETT, CHERI GUGGENHEIM WEAKENING DOLLAR 2X DUCKETT, CHERI GUGGENHEIM WEAKENING DOLLAR 2X GUGGENHEIM WEAKENING DOLLAR 2X GUGGENHEIM WEAKENING DOLLAR 2X GUGGENHEIM WEAKENING DOLLAR 2X GUGGENHEIM WEAKENING DOLLAR 2	POTTER, G GUGGENHEIM WEAKENING DOLLAR 2X 0.00 POTTER, RK GUGGENHEIM WEAKENING DOLLAR 2X 0.00 RICK, JOSEPH GUGGENHEIM WEAKENING DOLLAR 2X 0.00 BACON, RICHARD GUGGENHEIM WEAKENING DOLLAR 2X 0.00 ARRINGTON, NANCY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 HOLMAN, KENLEE GUGGENHEIM WEAKENING DOLLAR 2X 0.00 MICHENER, DAVID GUGGENHEIM WEAKENING DOLLAR 2X 0.00 MICHENER, DAVID GUGGENHEIM WEAKENING DOLLAR 2X 0.00 WHITETERE, THOMAS GUGGENHEIM WEAKENING DOLLAR 2X 0.00 CASTLE, DAVID GUGGENHEIM WEAKENING DOLLAR 2X 0.00 HUFFMAN, KRISTOPHER GUGGENHEIM WEAKENING DOLLAR 2X 0.00 LIVELY, ELIZABETH GUGGENHEIM WEAKENING DOLLAR 2X 0.00 LIVELY, BLIZABETH GUGGENHEIM WEAKENING DOLLAR 2X 0.00 HUNTER, TIMOTHY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 HUNTER, THOTHY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 HARRALD, DONALD GUGGENHEIM WEAKENING DOLLAR 2X 0.00 HARRALD, DONALD GUGGENHEIM WEAKENING DOLLAR 2X <t< td=""><td>POTTER, G GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.02 POTTER, RK GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.02 RICK, JOSEPH GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 RICK, JOSEPH GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.07 ARRINGTON, NANCY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.07 ARRINGTON, NANCY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 HOLMAN, KENLEE GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 HOLMAN, MEEGAN GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 HOLMAN, MEEGAN GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.04 MICHENER, DAVID GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 CASTLE, DAVID GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 CASTLE, DAVID GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 LUYELY, ELIZABETH GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.07 LIVELY, DUSTIN GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 LUYELY, DUSTIN GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 HUNTER, TIMOTHY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 HUNTER, TIMOTHY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 LUYELY, CHERI GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 LUYELY, CHERI GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 LUTELY, CHERI GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 LUTER, CHERI GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 LUTELY, CHERI GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 LUT</td><td>POTTER, G GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.02 80.0 POTTER, RK GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.02 80.0 RICK, JOSEPH GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.02 80.0 RICK, JOSEPH GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.07 80.0 ARRINGTON, NANCY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 ARRINGTON, NANCY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 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MICHENDER, DAVID GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.01 CASTLE, DAVID GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.01 CASTLE, DAVID GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.01 LIVELY, RILZARITH GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.07 80.0 0.02 MUFFWAN, KRISTOPHER GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.07 80.0 0.01 LIVELY, RILZARITH GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.07 80.0 0.01 LIVELY, DUSTIN GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.01 LIVELY, DUSTIN GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.01 HUNTER, TIMOTHY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.01 LIVELY, DUSTIN GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.01 SALLER, MARY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.01 SALLER, MARY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.01 SALLER, MARY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.01 SALLER, MARY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.02 ENCONTROL SALLER, MARY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.02 ENCONTROL SALLER, MARY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.02 ENCONTROL SALLER, MARY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.02 ENCONTROL SALLER, MARY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.02 ENCONTROL SALLER, MARY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.00 0.01 80.0 0.02 ENCONTROL SALLER, MARY GUGGENHEIM WEAKENING DOLLAR 2X 0.00 0.00 0.01 80.0 0.00 0.02 ENCONTROL</td><td>POTTER, G GUGGENEEM WEAKENING DOLLAR 2X 0.00 0.02 80.0 0.02 0.00 0.07 POTTER, EK GUGGENEEM WEAKENING DOLLAR 2X 0.00 0.02 80.0 0.02 0.00 0.00 EACON, RICHARD GUGGENEEM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.01 0.00 EACON, RICHARD GUGGENEEM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.01 0.00 EACON, RICHARD GUGGENEEM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.01 0.00 EACON, RICHARD GUGGENEEM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.01 0.00 EACON, RICHARD GUGGENEEM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.01 0.00 EACON, RICHARD GUGGENEEM WEAKENING DOLLAR 2X 0.00 0.01 80.0 0.01 0.00 EACON, RICHARD GUGGENEEM 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[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

02/14/2017		COMMISSION STATEMENT	for MATT BRANSTET	<u>TER</u>			I	Page No. 3
DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TR	ANSACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS							
01/25/2017	DOCTORMAN, EDGE	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
01/25/2017	DOCTORMAN, AIMES	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
01/17/2017	DOWNING, JOHN	PUTNAM MULTI-CAP GROWTH M	75.00	2.25	80.0	1.80	0.00	1.80
01/09/2017	POORE, NANCY	PUTNAM SMALL CAP GROWTH-C	60.00	0.60	80.0	0.48	0.00	0.48
/ /	ROLLINS, LISA	RYDEX DOW 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	TREADWAY, PAMELA	RYDEX DOW 2X STRATEGY C	0.00	0.44	80.0	0.35	0.00	0.35
/ /	TREADWAY, TOMMY	RYDEX DOW 2X STRATEGY C	0.00	0.79	80.0	0.63	0.00	0.63
/ /	DOWNING, JOHN	RYDEX DOW 2X STRATEGY C	0.00	1.53	80.0	1.22	0.00	1.22
/ /	ACOSTA, JF	RYDEX DOW 2X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	MELTON, JOY	RYDEX DOW 2X STRATEGY C	0.00	0.30	80.0	0.24	0.00	0.24
/ /	BENSON, KARLA	RYDEX DOW 2X STRATEGY C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	DESHIELDS, JAMES	RYDEX DOW 2X STRATEGY C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	POORE, NANCY	RYDEX DOW 2X STRATEGY C	0.00	0.38	80.0	0.30	0.00	0.30
/ /	MCFERRON, LINDA	RYDEX DOW 2X STRATEGY C	0.00	0.48	80.0	0.38	0.00	0.38
/ /	VOCI, ROBERTO	RYDEX DOW 2X STRATEGY C	0.00	1.05	80.0	0.84	0.00	0.84
/ /	WILLIAMS, JACKIE	RYDEX DOW 2X STRATEGY C	0.00	1.33	80.0	1.06	0.00	1.06
/ /	WRIGHT, MARK	RYDEX DOW 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX DOW 2X STRATEGY C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	POTTER, RK	RYDEX DOW 2X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	RICK, JOSEPH	RYDEX DOW 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	BACON, RICHARD	RYDEX DOW 2X STRATEGY C	0.00	0.42	80.0	0.34	0.00	0.34
/ /	ARRINGTON, NANCY	RYDEX DOW 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX DOW 2X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HOLMAN, MEEGAN	RYDEX DOW 2X STRATEGY C	0.00	0.28	80.0	0.22	0.00	0.22
/ /	ROLLINS, LISA	RYDEX ENERGY SERVICES C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX ENERGY SERVICES C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	TREADWAY, TOMMY	RYDEX ENERGY SERVICES C	0.00	0.46	80.0	0.37	0.00	0.37
/ /	DOWNING, JOHN	RYDEX ENERGY SERVICES C	0.00	0.82	80.0	0.66	0.00	0.66
/ /	ACOSTA, JF	RYDEX ENERGY SERVICES C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	MELTON, JOY	RYDEX ENERGY SERVICES C	0.00	0.17	80.0	0.14	0.00	0.14

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BENSON, KARLA

POORE, NANCY

DESHIELDS, JAMES

RYDEX ENERGY SERVICES C

RYDEX ENERGY SERVICES C

RYDEX ENERGY SERVICES C

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRA	NSACTIONS					
PRODUCT	CATEGORY: MUTUAL FUNDS							
/ /	MCFERRON, LINDA	RYDEX ENERGY SERVICES C	0.00	0.28	80.0	0.22	0.00	0.22
/ /	VOCI, ROBERTO	RYDEX ENERGY SERVICES C	0.00	0.57	80.0	0.46	0.00	0.46
/ /	WILLIAMS, JACKIE	RYDEX ENERGY SERVICES C	0.00	1.46	80.0	1.17	0.00	1.17
/ /	WRIGHT, MARK	RYDEX ENERGY SERVICES C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX ENERGY SERVICES C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX ENERGY SERVICES C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	RICK, JOSEPH	RYDEX ENERGY SERVICES C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX ENERGY SERVICES C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	ARRINGTON, NANCY	RYDEX ENERGY SERVICES C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX ENERGY SERVICES C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, MEEGAN	RYDEX ENERGY SERVICES C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	MICHENER, DAVID	RYDEX EUROPE 1.25X DTGY - A	0.00	0.60	80.0	0.48	0.00	0.48
/ /	WHITETREE, THOMAS	RYDEX EUROPE 1.25X DTGY - A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX EUROPE 1.25X DTGY - A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HUFFMAN, KRISTOPHER	RYDEX EUROPE 1.25X DTGY - A	0.00	0.26	80.0	0.21	0.00	0.21
/ /	LIVELY, ELIZABETH	RYDEX EUROPE 1.25% DTGY - A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	LIVELY, DUSTIN	RYDEX EUROPE 1.25X DTGY - A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUNTER, TIMOTHY	RYDEX EUROPE 1.25X DTGY - A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	PUCKETT, CHERI	RYDEX EUROPE 1.25X DTGY - A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HARRALD, DONALD	RYDEX EUROPE 1.25X DTGY - A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX EUROPE 1.25X DTGY - A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	ROLLINS, LISA	RYDEX EUROPE 1.25% STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX EUROPE 1.25% STRATEGY C	0.00	0.27	80.0	0.22	0.00	0.22
/ /	TREADWAY, TOMMY	RYDEX EUROPE 1.25% STRATEGY C	0.00	0.49	80.0	0.39	0.00	0.39
/ /	DOWNING, JOHN	RYDEX EUROPE 1.25% STRATEGY C	0.00	0.87	80.0	0.70	0.00	0.70
/ /	ACOSTA, JF	RYDEX EUROPE 1.25% STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	MELTON, JOY	RYDEX EUROPE 1.25% STRATEGY C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	BENSON, KARLA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	DESHIELDS, JAMES	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	POORE, NANCY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	MCFERRON, LINDA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.29	80.0	0.23	0.00	0.23
/ /	VOCI, ROBERTO	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.61	80.0	0.49	0.00	0.49
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0.00 1.56 80.0 1.25 0.00 1.25

NOTES: * - Indicates adjustment is not included in 1099 Earnings

/ /

WILLIAMS, JACKIE

RYDEX EUROPE 1.25% STRATEGY C

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRA	NSACTIONS					
PRODUCT C	CATEGORY: MUTUAL FUNDS							
/ /	WRIGHT, MARK	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX EUROPE 1.25% STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	POTTER, RK	RYDEX EUROPE 1.25% STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	RICK, JOSEPH	RYDEX EUROPE 1.25% STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX EUROPE 1.25% STRATEGY C	0.00	0.25	80.0	0.20	0.00	0.20
/ /	ARRINGTON, NANCY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX EUROPE 1.25% STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, MEEGAN	RYDEX EUROPE 1.25% STRATEGY C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	MICHENER, DAVID	RYDEX GUGG BANKING A	0.00	1.41	80.0	1.13	0.00	1.13
/ /	WHITETREE, THOMAS	RYDEX GUGG BANKING A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	CASTLE, DAVID	RYDEX GUGG BANKING A	0.00	0.15	80.0	0.12	0.00	0.12
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG BANKING A	0.00	0.59	80.0	0.47	0.00	0.47
/ /	LIVELY, ELIZABETH	RYDEX GUGG BANKING A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	LIVELY, DUSTIN	RYDEX GUGG BANKING A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	HUNTER, TIMOTHY	RYDEX GUGG BANKING A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	PUCKETT, CHERI	RYDEX GUGG BANKING A	0.00	0.15	80.0	0.12	0.00	0.12
/ /	DOBELBOWER, JORGE LARRY	RYDEX GUGG BANKING A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HARRALD, DONALD	RYDEX GUGG BANKING A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	SALLEE, MARY	RYDEX GUGG BANKING A	0.00	0.22	80.0	0.18	0.00	0.18
/ /	ROLLINS, LISA	RYDEX GUGG BANKING C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	TREADWAY, PAMELA	RYDEX GUGG BANKING C	0.00	0.67	80.0	0.54	0.00	0.54
/ /	TREADWAY, TOMMY	RYDEX GUGG BANKING C	0.00	1.14	80.0	0.91	0.00	0.91
/ /	DOWNING, JOHN	RYDEX GUGG BANKING C	0.00	2.23	80.0	1.78	0.00	1.78
/ /	ACOSTA, JF	RYDEX GUGG BANKING C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	MELTON, JOY	RYDEX GUGG BANKING C	0.00	0.43	80.0	0.34	0.00	0.34
/ /	BENSON, KARLA	RYDEX GUGG BANKING C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	DESHIELDS, JAMES	RYDEX GUGG BANKING C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	POORE, NANCY	RYDEX GUGG BANKING C	0.00	0.60	80.0	0.48	0.00	0.48
/ /	MCFERRON, LINDA	RYDEX GUGG BANKING C	0.00	0.68	80.0	0.54	0.00	0.54
/ /	VOCI, ROBERTO	RYDEX GUGG BANKING C	0.00	1.52	80.0	1.22	0.00	1.22
/ /	WILLIAMS, JACKIE	RYDEX GUGG BANKING C	0.00	1.98	80.0	1.58	0.00	1.58
/ /	WRIGHT, MARK	RYDEX GUGG BANKING C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX GUGG BANKING C	0.00	0.21	80.0	0.17	0.00	0.17

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION

		BRUKER IRA	MSACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS							
/ /	POTTER, RK	RYDEX GUGG BANKING C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	RICK, JOSEPH	RYDEX GUGG BANKING C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	BACON, RICHARD	RYDEX GUGG BANKING C	0.00	0.59	80.0	0.47	0.00	0.47
/ /	ARRINGTON, NANCY	RYDEX GUGG BANKING C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HOLMAN, KENLEE	RYDEX GUGG BANKING C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HOLMAN, MEEGAN	RYDEX GUGG BANKING C	0.00	0.39	80.0	0.31	0.00	0.31
/ /	MCKIDDY, PAULA	RYDEX GUGG BANKING C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	ROLLINS, LISA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	TREADWAY, TOMMY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.37	80.0	0.30	0.00	0.30
/ /	DOWNING, JOHN	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.70	80.0	0.56	0.00	0.56
/ /	ACOSTA, JF	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MELTON, JOY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	BENSON, KARLA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	DESHIELDS, JAMES	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POORE, NANCY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	MCFERRON, LINDA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.22	80.0	0.18	0.00	0.18
/ /	VOCI, ROBERTO	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.48	80.0	0.38	0.00	0.38
/ /	WILLIAMS, JACKIE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.60	80.0	0.48	0.00	0.48
/ /	WRIGHT, MARK	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	RICK, JOSEPH	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	ARRINGTON, NANCY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	MCKIDDY, PAULA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	PUCKETT, CHERI	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	2.00	80.0	1.60	0.00	1.60
/ /	MICHENER, DAVID	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.62	80.0	0.50	0.00	0.50
/ /	WHITETREE, THOMAS	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.26	80.0	0.21	0.00	0.21

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			INVESTMENT	GROSS		NET	CLEARING	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	COMMISSION	CHARGE	COMMISSION

		BROKER T	RANSACTION	<u>s</u>					
PRODUCT CA	TEGORY: MUTUAL FUNDS								
/ /	LIVELY, ELIZABETH	RYDEX GUGG EMG MKTS 2X STRATEGY	A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	LIVELY, DUSTIN	RYDEX GUGG EMG MKTS 2X STRATEGY	A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUNTER, TIMOTHY	RYDEX GUGG EMG MKTS 2X STRATEGY	A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	PUCKETT, CHERI	RYDEX GUGG EMG MKTS 2X STRATEGY	A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HARRALD, DONALD	RYDEX GUGG EMG MKTS 2X STRATEGY	A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG EMG MKTS 2X STRATEGY	A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	ROLLINS, LISA	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	TREADWAY, PAMELA	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.29	80.0	0.23	0.00	0.23
/ /	TREADWAY, TOMMY	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.51	80.0	0.41	0.00	0.41
/ /	DOWNING, JOHN	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	1.00	80.0	0.80	0.00	0.80
/ /	ACOSTA, JF	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	MELTON, JOY	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	BENSON, KARLA	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	DESHIELDS, JAMES	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	POORE, NANCY	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.25	80.0	0.20	0.00	0.20
/ /	MCFERRON, LINDA	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.31	80.0	0.25	0.00	0.25
/ /	VOCI, ROBERTO	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.68	80.0	0.54	0.00	0.54
/ /	WILLIAMS, JACKIE	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.85	80.0	0.68	0.00	0.68
/ /	WRIGHT, MARK	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	POTTER, RK	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	RICK, JOSEPH	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.27	80.0	0.22	0.00	0.22
/ /	ARRINGTON, NANCY	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, MEEGAN	RYDEX GUGG EMG MKTS 2X STRATEGY	C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	MICHENER, DAVID	RYDEX GUGG ENERGY A		0.00	0.56	80.0	0.45	0.00	0.45
/ /	WHITETREE, THOMAS	RYDEX GUGG ENERGY A		0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX GUGG ENERGY A		0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG ENERGY A		0.00	0.24	80.0	0.19	0.00	0.19
/ /	LIVELY, ELIZABETH	RYDEX GUGG ENERGY A		0.00	0.05	80.0	0.04	0.00	0.04
/ /	LIVELY, DUSTIN	RYDEX GUGG ENERGY A		0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUNTER, TIMOTHY	RYDEX GUGG ENERGY A		0.00	0.05	80.0	0.04	0.00	0.04

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			INVESTMENT	GROSS		NET	CLEARING	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	COMMISSION	CHARGE	COMMISSION

	BRUKER TRANSAC						
ATEGORY: MUTUAL FUNDS							
PUCKETT, CHERI	RYDEX GUGG ENERGY A	0.00	0.06	80.0	0.05	0.00	0.05
HARRALD, DONALD	RYDEX GUGG ENERGY A	0.00	0.03	80.0	0.02	0.00	0.02
SALLEE, MARY	RYDEX GUGG ENERGY A	0.00	0.09	80.0	0.07	0.00	0.07
ROLLINS, LISA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.03	80.0	0.02	0.00	0.02
TREADWAY, PAMELA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.37	80.0	0.30	0.00	0.30
TREADWAY, TOMMY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.83	80.0	0.66	0.00	0.66
DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.71	80.0	1.37	0.00	1.37
ACOSTA, JF	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.15	80.0	0.12	0.00	0.12
MELTON, JOY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.30	80.0	0.24	0.00	0.24
BENSON, KARLA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.12	80.0	0.10	0.00	0.10
DESHIELDS, JAMES	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.09	80.0	0.07	0.00	0.07
POORE, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.26	80.0	0.21	0.00	0.21
MCFERRON, LINDA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.53	80.0	0.42	0.00	0.42
VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.13	80.0	0.90	0.00	0.90
WILLIAMS, JACKIE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.31	80.0	1.05	0.00	1.05
WRIGHT, MARK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.02	80.0	0.02	0.00	0.02
POTTER, G	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.16	80.0	0.13	0.00	0.13
POTTER, RK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.15	80.0	0.12	0.00	0.12
BACON, RICHARD	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.46	80.0	0.37	0.00	0.37
ARRINGTON, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.01	80.0	0.01	0.00	0.01
HOLMAN, KENLEE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.09	80.0	0.07	0.00	0.07
HOLMAN, MEEGAN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.30	80.0	0.24	0.00	0.24
MICHENER, DAVID	RYDEX GUGG PRECIOUS METALS A	0.00	0.37	80.0	0.30	0.00	0.30
WHITETREE, THOMAS	RYDEX GUGG PRECIOUS METALS A	0.00	0.02	80.0	0.02	0.00	0.02
CASTLE, DAVID	RYDEX GUGG PRECIOUS METALS A	0.00	0.04	0.08	0.03	0.00	0.03
HUFFMAN, KRISTOPHER	RYDEX GUGG PRECIOUS METALS A	0.00	0.15	80.0	0.12	0.00	0.12
LIVELY, ELIZABETH	RYDEX GUGG PRECIOUS METALS A	0.00	0.04	80.0	0.03	0.00	0.03
LIVELY, DUSTIN	RYDEX GUGG PRECIOUS METALS A	0.00	0.04	0.08	0.03	0.00	0.03
HUNTER, TIMOTHY	RYDEX GUGG PRECIOUS METALS A	0.00	0.03	0.08	0.02	0.00	0.02
PUCKETT, CHERI	RYDEX GUGG PRECIOUS METALS A	0.00	0.04	80.0	0.03	0.00	0.03
HARRALD, DONALD	RYDEX GUGG PRECIOUS METALS A	0.00	0.02	0.08	0.02	0.00	0.02
SALLEE, MARY	RYDEX GUGG PRECIOUS METALS A	0.00	0.06	80.0	0.05	0.00	0.05
ROLLINS, LISA	RYDEX GUGG PRECIOUS METALS C	0.00	0.01	80.0	0.01	0.00	0.01
	HARRALD, DONALD SALLEE, MARY ROLLINS, LISA TREADWAY, PAMELA TREADWAY, TOMMY DOWNING, JOHN ACOSTA, JF MELTON, JOY BENSON, KARLA DESHIELDS, JAMES POORE, NANCY MCFERRON, LINDA VOCI, ROBERTO WILLIAMS, JACKIE WRIGHT, MARK POTTER, G POTTER, RK BACON, RICHARD ARRINGTON, NANCY HOLMAN, KENLEE HOLMAN, MEEGAN MICHENER, DAVID WHITETREE, THOMAS CASTLE, DAVID HUFFMAN, KRISTOPHER LIVELY, ELIZABETH LIVELY, DUSTIN HUNTER, TIMOTHY PUCKETT, CHERI HARRALD, DONALD SALLEE, MARY	PUCKETT, CHERI HARRALD, DONALD RYDEX GUGG ENERGY A SALLEE, MARY ROLLINS, LISA RYDEX GUGG ENERGY A ROLLINS, LISA RYDEX GUGG ENERGY A ROLLINS, LISA RYDEX GUGG ENERGY A ROLLINS, LISA RYDEX GUGG NASDAQ 100 2X STRATEGY TREADWAY, PAMELA RYDEX GUGG NASDAQ 100 2X STRATEGY TREADWAY, TOMMY RYDEX GUGG NASDAQ 100 2X STRATEGY DOWNING, JOHN RYDEX GUGG NASDAQ 100 2X STRATEGY MELTON, JOY RYDEX GUGG NASDAQ 100 2X STRATEGY MELTON, JOY RYDEX GUGG NASDAQ 100 2X STRATEGY BENSON, KARLA RYDEX GUGG NASDAQ 100 2X STRATEGY DESHIELDS, JAMES RYDEX GUGG NASDAQ 100 2X STRATEGY MCFERRON, LINDA RYDEX GUGG NASDAQ 100 2X STRATEGY WOFFERRON, LINDA RYDEX GUGG NASDAQ 100 2X STRATEGY WILLIAMS, JACKIE RYDEX GUGG NASDAQ 100 2X STRATEGY WRIGHT, MARK RYDEX GUGG NASDAQ 100 2X STRATEGY WRIGHT, MARK RYDEX GUGG NASDAQ 100 2X STRATEGY POTTER, G RYDEX GUGG NASDAQ 100 2X STRATEGY WRIGHT, MARK RYDEX GUGG NASDAQ 100 2X STRATEGY BACON, RICHARD RYDEX GUGG NASDAQ 100 2X STRATEGY HOLMAN, KENLEE RYDEX GUGG NASDAQ 100 2X STRATEGY HOLMAN, MEEGAN RYDEX GUGG NASDAQ 100 2X STRATEGY HOLMAN, MEEGAN RYDEX GUGG NASDAQ 100 2X STRATEGY HOLMAN, KENLEE RYDEX GUGG PRECIOUS METALS A HHITTERE, THOMAS RYDEX GUGG PRECIOUS METALS A HUFFWAN, KRISTOPHER RYDEX GUGG PRECIOUS METALS A HUFFWAN, KRISTOPHER RYDEX GUGG PRECIOUS METALS A HUFFWAN, KRISTOPHER RYDEX GUGG PRECIOUS METALS A HUNTER, TIMOTHY RYDEX GUGG PRECIOUS METALS A HARRALD, DONALD RYDEX GUGG PRECIOUS METALS A	PUCKETT, CHERI RYDEX GUGG ENERGY A 0.00 HARRALD, DONALD RYDEX GUGG ENERGY A 0.00 SALLEE, MARY RYDEX GUGG ENERGY A 0.00 ROLLINS, LISA RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 TREADWAY, PAMELA RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 TREADWAY, TOMMY RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 DOWNING, JOHN RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 ACOSTA, JF RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 MELTON, JOY RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 MELTON, JOY RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 DESHIELDS, JAMES RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 DESHIELDS, JAMES RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 MCFERRON, LINDA RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 MCFERRON, LINDA RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 WILLIAMS, JACKIE RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 WILLIAMS, JACKIE RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 WRIGHT, MARK RYDEX GUGG NASDAQ 100 2X STRATEGY	PUCKETT, CHERI RYDEX GUGG ENERGY A 0.00 0.06 HARRALD, DONALD RYDEX GUGG ENERGY A 0.00 0.03 SALLEE, MARY RYDEX GUGG ENERGY A 0.00 0.09 ROLLINS, LISA RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.03 TREADWAY, PAMELA RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.37 TREADWAY, TOMMY RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.83 DONNING, JOHN RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 1.71 ACOSTA, JF RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.15 MELITON, JOY RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.30 BENSON, KARLA RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.12 DESHIELDS, JAMES RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.09 POOTER, NANCY RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.26 MCSERRON, LINDA RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.53 VOCI, ROBERTO RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.11 WILLIAMS, JACKIE	PUCKETT, CHERI RYDEX GUGG ENERGY A 0.00 0.06 80.0 HABRRALD, DONALD RYDEX GUGG ENERGY A 0.00 0.03 80.0 SALLEE, MARY RYDEX GUGG ENERGY A 0.00 0.03 80.0 ROLLINS, LISA RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.03 80.0 TREADWAY, PAMELA RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.37 80.0 TREADWAY, TOMMY RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.83 80.0 DOWNING, JOHN RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.17 80.0 ACOSTA, JF RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.15 80.0 MELTON, JOY RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.12 80.0 DESHIELDS, JAMES RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.12 80.0 MCFERRON, LINDA RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.26 80.0 VOCI, ROBERTO RYDEX GUGG NASDAQ 100 2X STRATEGY <th>PUCKETT, CHERI RYDEX GUGG ENERGY A 0.00 0.06 80.0 0.05 HARRALD, DONALD RYDEX GUGG ENERGY A 0.00 0.03 80.0 0.02 SALLEE, MARY RYDEX GUGG ENERGY A 0.00 0.03 80.0 0.07 ROLLINS, LISA RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.33 80.0 0.02 TREADWAY, PANELA RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.33 80.0 0.02 TREADWAY, TORMY RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.33 80.0 0.66 DOWNING, JOHN RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 1.77 80.0 0.36 80.0 0.66 DOWNING, JOHN RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 1.71 80.0 1.79 ACOSTA, JF RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 1.75 80.0 0.12 MELTON, JOY RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.15 80.0 0.12 MELTON, JOY RYDEX GUGG NASDAQ 100 2X STRATEGY 0.00 0.15 80.0 0.12 BENSON, KARLA RYDEX GUGG 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[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

02/14/201	7	COMMISSION STATEMENT		Page 1				
DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRA	ANSACTIONS					
PRODUCT	CATEGORY: MUTUAL FUNDS							
/ /	TREADWAY, PAMELA	RYDEX GUGG PRECIOUS METALS C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	TREADWAY, TOMMY	RYDEX GUGG PRECIOUS METALS C	0.00	0.31	80.0	0.25	0.00	0.25
/ /	DOWNING, JOHN	RYDEX GUGG PRECIOUS METALS C	0.00	0.61	80.0	0.49	0.00	0.49
/ /	ACOSTA, JF	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	80.0	0.05	0.00	0.0
/ /	MELTON, JOY	RYDEX GUGG PRECIOUS METALS C	0.00	0.12	80.0	0.10	0.00	0.1
/ /	BENSON, KARLA	RYDEX GUGG PRECIOUS METALS C	0.00	0.04	80.0	0.03	0.00	0.0
/ /	DESHIELDS, JAMES	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	80.0	0.05	0.00	0.0
/ /	POORE, NANCY	RYDEX GUGG PRECIOUS METALS C	0.00	0.15	80.0	0.12	0.00	0.1
/ /	MCFERRON, LINDA	RYDEX GUGG PRECIOUS METALS C	0.00	0.19	80.0	0.15	0.00	0.1
/ /	VOCI, ROBERTO	RYDEX GUGG PRECIOUS METALS C	0.00	0.41	80.0	0.33	0.00	0.3
/ /	WILLIAMS, JACKIE	RYDEX GUGG PRECIOUS METALS C	0.00	0.52	80.0	0.42	0.00	0.4
/ /	WRIGHT, MARK	RYDEX GUGG PRECIOUS METALS C	0.00	0.01	80.0	0.01	0.00	0.0
/ /	POTTER, G	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	80.0	0.05	0.00	0.0
/ /	POTTER, RK	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	80.0	0.05	0.00	0.0
/ /	RICK, JOSEPH	RYDEX GUGG PRECIOUS METALS C	0.00	0.02	80.0	0.02	0.00	0.0
/ /	BACON, RICHARD	RYDEX GUGG PRECIOUS METALS C	0.00	0.16	80.0	0.13	0.00	0.1
/ /	ARRINGTON, NANCY	RYDEX GUGG PRECIOUS METALS C	0.00	0.02	80.0	0.02	0.00	0.0
/ /	HOLMAN, KENLEE	RYDEX GUGG PRECIOUS METALS C	0.00	0.03	80.0	0.02	0.00	0.0
/ /	HOLMAN, MEEGAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.11	80.0	0.09	0.00	0.0
/ /	MICHENER, DAVID	RYDEX GUGG REAL ESTATE A	0.00	0.31	80.0	0.25	0.00	0.2
/ /	WHITETREE, THOMAS	RYDEX GUGG REAL ESTATE A	0.00	0.02	80.0	0.02	0.00	0.0
/ /	CASTLE, DAVID	RYDEX GUGG REAL ESTATE A	0.00	0.03	80.0	0.02	0.00	0.0
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG REAL ESTATE A	0.00	0.13	80.0	0.10	0.00	0.1
/ /	LIVELY, ELIZABETH	RYDEX GUGG REAL ESTATE A	0.00	0.03	80.0	0.02	0.00	0.0
/ /	LIVELY, DUSTIN	RYDEX GUGG REAL ESTATE A	0.00	0.03	80.0	0.02	0.00	0.0

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0.00

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0.04

0.01

0.12

0.21

0.39

NOTES: * - Indicates adjustment is not included in 1099 Earnings

HUNTER, TIMOTHY

PUCKETT, CHERI

HARRALD, DONALD

SALLEE, MARY

ROLLINS, LISA

TREADWAY, PAMELA

TREADWAY, TOMMY DOWNING, JOHN

RYDEX GUGG REAL ESTATE A

RYDEX GUGG REAL ESTATE C

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRAN	SACTIONS					
PRODUCT	CATEGORY: MUTUAL FUNDS							
/ /	ACOSTA, JF	RYDEX GUGG REAL ESTATE C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	MELTON, JOY	RYDEX GUGG REAL ESTATE C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BENSON, KARLA	RYDEX GUGG REAL ESTATE C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	DESHIELDS, JAMES	RYDEX GUGG REAL ESTATE C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POORE, NANCY	RYDEX GUGG REAL ESTATE C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	MCFERRON, LINDA	RYDEX GUGG REAL ESTATE C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	VOCI, ROBERTO	RYDEX GUGG REAL ESTATE C	0.00	0.34	80.0	0.27	0.00	0.27
/ /	WILLIAMS, JACKIE	RYDEX GUGG REAL ESTATE C	0.00	0.42	80.0	0.34	0.00	0.34
/ /	WRIGHT, MARK	RYDEX GUGG REAL ESTATE C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG REAL ESTATE C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POTTER, RK	RYDEX GUGG REAL ESTATE C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	RICK, JOSEPH	RYDEX GUGG REAL ESTATE C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX GUGG REAL ESTATE C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	ARRINGTON, NANCY	RYDEX GUGG REAL ESTATE C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX GUGG REAL ESTATE C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX GUGG REAL ESTATE C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	MICHENER, DAVID	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.45	80.0	0.36	0.00	0.36
/ /	WHITETREE, THOMAS	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	LIVELY, ELIZABETH	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	LIVELY, DUSTIN	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUNTER, TIMOTHY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	PUCKETT, CHERI	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	DOBELBOWER, JORGE LARRY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HARRALD, DONALD	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	ROLLINS, LISA	RYDEX GUGG UTILITIES C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX GUGG UTILITIES C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	TREADWAY, TOMMY	RYDEX GUGG UTILITIES C	0.00	0.37	80.0	0.30	0.00	0.30
/ /	DOWNING, JOHN	RYDEX GUGG UTILITIES C	0.00	0.71	80.0	0.57	0.00	0.57
/ /	ACOSTA, JF	RYDEX GUGG UTILITIES C	0.00	0.06	80.0	0.05	0.00	0.05

0.00 0.14 80.0

0.11 0.00

0.11

/ /

MELTON, JOY

RYDEX GUGG UTILITIES C

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

			COT MAIT BRANSTET					
DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRA	NSACTIONS					
PRODUCT	CATEGORY: MUTUAL FUNDS							
/ /	BENSON, KARLA	RYDEX GUGG UTILITIES C	0.00	0.05	80.0	0.04	0.00	0.04
, ,	DESHIELDS, JAMES	RYDEX GUGG UTILITIES C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POORE, NANCY	RYDEX GUGG UTILITIES C	0.00	0.18	80.0	0.14	0.00	0.14
, ,	MCFERRON, LINDA	RYDEX GUGG UTILITIES C	0.00	0.22	80.0	0.18	0.00	0.18
/ /	VOCI, ROBERTO	RYDEX GUGG UTILITIES C	0.00	0.48	80.0	0.38	0.00	0.38
/ /	WILLIAMS, JACKIE	RYDEX GUGG UTILITIES C	0.00	0.61	80.0	0.49	0.00	0.49
/ /	WRIGHT, MARK	RYDEX GUGG UTILITIES C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG UTILITIES C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX GUGG UTILITIES C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	RICK, JOSEPH	RYDEX GUGG UTILITIES C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX GUGG UTILITIES C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	ARRINGTON, NANCY	RYDEX GUGG UTILITIES C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX GUGG UTILITIES C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX GUGG UTILITIES C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	MICHENER, DAVID	RYDEX GUGG VELOCITY 100 A	0.00	0.85	80.0	0.68	0.00	0.68
/ /	WHITETREE, THOMAS	RYDEX GUGG VELOCITY 100 A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	CASTLE, DAVID	RYDEX GUGG VELOCITY 100 A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG VELOCITY 100 A	0.00	0.46	80.0	0.37	0.00	0.37
/ /	LIVELY, ELIZABETH	RYDEX GUGG VELOCITY 100 A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	LIVELY, DUSTIN	RYDEX GUGG VELOCITY 100 A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	HUNTER, TIMOTHY	RYDEX GUGG VELOCITY 100 A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	PUCKETT, CHERI	RYDEX GUGG VELOCITY 100 A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	DOBELBOWER, JORGE LARRY	RYDEX GUGG VELOCITY 100 A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HARRALD, DONALD	RYDEX GUGG VELOCITY 100 A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	SALLEE, MARY	RYDEX GUGG VELOCITY 100 A	0.00	0.17	80.0	0.14	0.00	0.14
/ /	KINNEY, ERICK	RYDEX GUGGENHEIM NASDAQ-100-A	0.00	0.22	80.0	0.18	0.00	0.18
/ /	MICHENER, DAVID	RYDEX GUGGENHEIM UTILITIES A	0.00	0.45	80.0	0.36	0.00	0.36
/ /	WHITETREE, THOMAS	RYDEX GUGGENHEIM UTILITIES A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGGENHEIM UTILITIES A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGGENHEIM UTILITIES A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	LIVELY, ELIZABETH	RYDEX GUGGENHEIM UTILITIES A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	LIVELY, DUSTIN	RYDEX GUGGENHEIM UTILITIES A	0.00	0.04	80.0	0.03	0.00	0.03

0.00 0.04 80.0

0.03 0.00

0.03

/ /

HUNTER, TIMOTHY

RYDEX GUGGENHEIM UTILITIES A

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRANS	SACTIONS					
PRODUCT CA	ATEGORY: MUTUAL FUNDS							
/ /	PUCKETT, CHERI	RYDEX GUGGENHEIM UTILITIES A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HARRALD, DONALD	RYDEX GUGGENHEIM UTILITIES A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGGENHEIM UTILITIES A	0.00	0.07	80.0	0.06	0.00	0.06
		* MUTUAL FUNDS SUBTOTAL *	\$2,433.98	\$299.63		\$239.80	\$0.00	\$239.80
PRODUCT CA	ATEGORY: STOCKS							
02/03/2017	ACOSTA, ANNA	DIREXION SHS ETF TR DAILY	6,774.78	49.00	80.0	39.20	22.50	16.70
01/30/2017	CANFIELD, OWEN	DIREXION SHS ETF TR DIREXION DAILY	-90.10	29.00	80.0	23.20	22.50	0.70
01/30/2017	ACOSTA, ANNA	DIREXION SHS ETF TR DIREXION DAILY	-225.15	49.00	80.0	39.20	22.50	16.70
01/30/2017	TREADWAY, TOMMY	DIREXION SHS ETF TR DIREXION DAILY	-90.00	29.00	80.0	23.20	22.50	0.70
01/30/2017	CANFIELD, OWEN	DIREXION SHS ETF TR DIREXION DAILY	-90.10	22.00	80.0	17.60	22.50	-4.90
01/30/2017	ACOSTA, ANNA	DIREXION SHS ETF TR DIREXION DAILY	-225.15	22.00	80.0	17.60	22.50	-4.90
01/30/2017	TREADWAY, TOMMY	DIREXION SHS ETF TR DIREXION DAILY	-90.00	22.00	80.0	17.60	22.50	-4.90
		* STOCKS SUBTOTAL *	\$5,964.28	\$222.00		\$177.60	\$157.50	\$20.10
PRODUCT CA	ATEGORY: VARIABLE ANNUIT	IES						
01/31/2017	BRANSTETTER, ERIK	JNL ELITE ACCESS	5,505.96	1.15	80.0	0.92	0.00	0.92
01/31/2017	BRANSTETTER, ERIK	JNL ELITE ACCESS	2,001.84	0.42	80.0	0.34	0.00	0.34
01/31/2017	CASTLE, DAVID	JNL ELITE ACCESS	2,513.29	0.52	80.0	0.42	0.00	0.42
01/31/2017	FIRST TRUST OF ONAGA	JNL ELITE ACCESS	2,686.88	0.56	80.0	0.45	0.00	0.45
01/31/2017	PYLE JR, JUSTIN	JNL ELITE ACCESS	26,584.01	5.54	80.0	4.43	0.00	4.43
01/31/2017	ALEXANDER, SUSAN	JNL PERSPECTIVE II 04/14	8,695.93	1.81	80.0	1.45	0.00	1.45
01/31/2017	RAY, RANDY	JNL PERSPECTIVE II 04/14	25,162.66	10.48	80.0	8.38	0.00	8.38
01/31/2017	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	52,145.02	10.86	80.0	8.69	0.00	8.69
01/31/2017	BRANSTETTER, TRACY	JNL PERSPECTIVE II 05/05	1,323.29	0.28	80.0	0.22	0.00	0.22
01/31/2017	HIPP, RONALD	JNL PERSPECTIVE II 05/05	9,318.96	1.94	80.0	1.55	0.00	1.55
01/31/2017	HOBBS, DONALD	JNL PERSPECTIVE II 05/05	7,698.83	1.60	80.0	1.28	0.00	1.28
01/31/2017	MCKIBBEN, SUSAN	JNL PERSPECTIVE II 05/05	79,925.87	16.65	80.0	13.32	0.00	13.32
01/31/2017	MANSFIELD, CORDELIA	JNL PERSPECTIVE L	61,021.16	66.11	80.0	52.89	0.00	52.89
01/31/2017	MCFERRON, LINDA	JNL PERSPECTIVE L	15,674.87	16.98	80.0	13.58	0.00	13.58
01/31/2017	WOODS III, JOHN	JNL PERSPECTIVE L	29,561.39	24.63	80.0	19.70	0.00	19.70
01/31/2017	WOODS III, JOHN	JNL PERSPECTIVE L	10,557.64	11.44	80.0	9.15	0.00	9.15
01/31/2017	DOWDY, LINDA	JNL PERSPECTIVE L	33,995.64	28.33	80.0	22.66	0.00	22.66
01/31/2017	DOWDY, LINDA	JNL PERSPECTIVE L	12,743.42	13.81	80.0	11.05	0.00	11.05

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		DDOVED	TRANSACTIONS					
		BROKER	TRANSACTIONS					
PRODUCT CA	TEGORY: VARIABLE ANNUITIES							
01/31/2017	RICK, JOSEPH	JNL PERSPECTIVE L	8,984.77	7.49	80.0	5.99	0.00	5.99
01/31/2017	RICK, JOSEPH	JNL PERSPECTIVE L	11,668.54	12.64	80.0	10.11	0.00	10.11
01/31/2017	WILLIAMS, HARRY	JNL PERSPECTIVE L	6,705.94	5.59	80.0	4.47	0.00	4.47
01/31/2017	WILLIAMS, HARRY	JNL PERSPECTIVE L	11,176.56	12.11	80.0	9.69	0.00	9.69
01/31/2017	BRISCOE, TOMMIE	JNL PERSPECTIVE L	10,679.15	8.90	80.0	7.12	0.00	7.12
01/31/2017	BRISCOE, TOMMIE	JNL PERSPECTIVE L	9,763.45	10.58	80.0	8.46	0.00	8.46
01/31/2017	WILSON, TIM	JNL PERSPECTIVE L	22,857.77	19.05	80.0	15.24	0.00	15.24
01/31/2017	WILSON, TIM	JNL PERSPECTIVE L	9,392.49	10.18	80.0	8.14	0.00	8.14
01/31/2017	HERNDON, RONALD	JNL PERSPECTIVE L	15,887.37	13.24	80.0	10.59	0.00	10.59
01/31/2017	HERNDON, RONALD	JNL PERSPECTIVE L	9,366.75	10.15	80.0	8.12	0.00	8.12
01/31/2017	CANFIELD, OWEN	JNL PERSPECTIVE L	41,201.46	34.33	80.0	27.46	0.00	27.46
01/31/2017	CANFIELD, OWEN	JNL PERSPECTIVE L	9,998.08	10.83	80.0	8.66	0.00	8.66
01/31/2017	MOORE, TONI	JNL PERSPECTIVE L	211,867.22	176.56	80.0	141.25	0.00	141.25
01/31/2017	MICHENER, DAVID	JNL PERSPECTIVE L	256,225.65	213.52	80.0	170.82	0.00	170.82
01/31/2017	LEMMOND, MARY	JNL PERSPECTIVE L	19,255.60	16.05	80.0	12.84	0.00	12.84
01/31/2017	BRANSTETTER, LINDA	JNL PERSPECTIVE L	2,333.01	1.94	80.0	1.55	0.00	1.55
01/31/2017	BRANSTETTER, TODD	JNL PERSPECTIVE L	12,358.04	10.30	80.0	8.24	0.00	8.24
01/31/2017	MCFERRON, LARRY	JNL PERSPECTIVE L	18,963.40	15.80	80.0	12.64	0.00	12.64
01/31/2017	MANSFIELD, PHILLIP	JNL PERSPECTIVE L	48,113.95	40.09	80.0	32.07	0.00	32.07
01/31/2017	POORE, NANCY	JNL PERSPECTIVE L	45,931.77	38.28	80.0	30.62	0.00	30.62
01/31/2017	HUFFMAN, KRISTOPHER	JNL PERSPECTIVE L	54,769.62	45.64	80.0	36.51	0.00	36.51
01/31/2017	CASTLE, DAVID	JNL PERSPECTIVE L	110,386.26	91.99	80.0	73.59	0.00	73.59
01/31/2017	FARIS, THERESA ANN	JNL PERSPECTIVE L	32,954.76	27.46	80.0	21.97	0.00	21.97
01/31/2017	DOBELBOWER, JORGE LARRY	JNL PERSPECTIVE L	92,059.13	76.72	80.0	61.38	0.00	61.38
01/31/2017	MCKIDDY, BOBBY	JNL PERSPECTIVE L	19,359.17	16.13	80.0	12.90	0.00	12.90
01/31/2017	TREADWAY, PAMELA	JNL PERSPECTIVE L	39,201.68	32.67	80.0	26.14	0.00	26.14
01/31/2017	ACOSTA, ANNA	JNL PERSPECTIVE L	6,378.54	5.32	80.0	4.26	0.00	4.26
01/31/2017	STANFORD, DAVID	JOHN HANCOCK VENTURE	9,115.02	1.90	80.0	1.52	0.00	1.52
01/23/2017	MADEWELL, DOUGLAS	PAC LIFE INNOVATIVE SELECT	0.00	5.13	80.0	4.10	0.00	4.10
01/27/2017	SMIT, CONRAD	PAC LIFE INNOVATIVE SELECT	0.00	67.27	80.0	53.82	0.00	53.82
01/23/2017	HOWARD, JOSEPH	PAC LIFE PACIFIC CHOICE	0.00	3.41	80.0	2.73	0.00	2.73
01/24/2017	JENNINGS, CAROL	PAC LIFE PACIFIC CHOICE	0.00	9.37	80.0	7.50	0.00	7.50
01/24/2017	GARRISON, JENNIFER	PAC LIFE PACIFIC CHOICE	0.00	6.57	80.0	5.26	0.00	5.26

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRAN	SACTIONS					
PRODUCT CA	TEGORY: VARIABLE AN	NUITIES						
01/24/2017	KING, AMY	PAC LIFE PACIFIC CHOICE	0.00	16.08	80.0	12.86	0.00	12.86
01/23/2017	COLWELL, JEREMY	PAC LIFE VALUE	0.00	12.69	80.0	10.15	0.00	10.15
01/23/2017	PROCTOR, CHAD	PAC LIFE VALUE	0.00	8.29	80.0	6.63	0.00	6.63
01/23/2017	CRETSINGER, DON	PAC LIFE VALUE	0.00	13.60	80.0	10.88	0.00	10.88
01/23/2017	WHITE, JOANNE	PAC LIFE VALUE	0.00	10.40	80.0	8.32	0.00	8.32
01/23/2017	FISHER, SARA	PAC LIFE VALUE	0.00	8.88	80.0	7.10	0.00	7.10
01/25/2017	MCFERRON, LINDA	PAC LIFE VALUE	0.00	27.69	80.0	22.15	0.00	22.15
01/27/2017	SELENSKY, FRED	PAC LIFE VALUE	0.00	34.75	80.0	27.80	0.00	27.80
		* VARIABLE ANNUITIES SUBTOTAL *	\$1,534,071.81	\$1,402.70		\$1,122.13	\$0.00	\$1,122.13
PRODUCT CA	TEGORY: VARIABLE AN	NUITY 12B1 TRAILS						
01/30/2017	FRANKS, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	55.86	80.0	44.69	0.00	44.69
01/30/2017	DUREN, DENNIS	PAC LIFE INNOVATIVE SELECT	0.00	21.07	80.0	16.86	0.00	16.86
02/03/2017	STINSON, BRADLEY	PAC LIFE INNOVATIVE SELECT	0.00	5.00	80.0	4.00	0.00	4.00
01/30/2017	PROCTOR, CHAD	PAC LIFE VALUE	0.00	74.77	80.0	59.82	0.00	59.82
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$156.70		\$125.37	\$0.00	\$125.37
		*** BROKER TRANSACTIONS TOTAL ***	\$1,542,470.07	\$2,081.03		\$1,664.90	\$157.50	\$1,507.40
		ADJUSTM	ENTS					
		<u></u>						
02/15/2017		*E & O INSURANCE: CURRENT MONTH	0.00					-300.00
02/15/2017		*MARKETING LIBRARY: MARKETING	0.00					-20.00
02/15/2017		*PERSHING: ETF PROSPECTUS	0.00					-4.62
02/15/2017		*PERSHING: MF PROSPECTUS	0.00					-6.43
02/15/2017		*REGISTRATION FEE: GA REG FEE	0.00					-50.00
02/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00		\$-656.05	\$0.00	\$-656.05

^{** -} Indicates adjustment is not included in Totals or Commissions

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BROKER COMMISSION TOTALS \$1,507.40 Broker Transactions Split Transactions \$0.00 \$0.00 Override Transactions Adjustments \$-656.05 Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$851.35 Check Amount \$5,209.21 Year-to-date Gross \$3,920.07 Year-to-date Earnings