



# COMMISSION STATEMENT

## February 26, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/12/2018	***-**-5144 - WINTER, LAURA	AMERICAN BALANCED FUND-C	200.00	2.00	0.00	2.00	85.0	1.70
	ACCOUNT #: 00084354308	BUY 7 Units @26.6900						
02/12/2018	***-**-36IR - HERDSON, JOHN	CAPITAL APPRECIATION C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 03222003518	BUY 0 Units @0.0000						
02/12/2018	***-**-36IR - HERDSON, JOHN	EQUITY INCOME FUND, INC. C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 08370183502	BUY 0 Units @0.0000						
02/15/2018	***-**-32IR - SHAW, WILLIAM	EUROPACIFIC GROWTH FUND-A	50.00	1.38	0.00	1.38	85.0	1.17
	ACCOUNT #: 00082011931	BUY 1 Units @60.2200						
02/12/2018	***-**-5144 - WINTER, LAURA	FUNDAMENTAL INVESTORS-C	200.00	2.00	0.00	2.00	85.0	1.70
	ACCOUNT #: 00084354308	BUY 3 Units @61.7000						
02/12/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	65.28	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
02/15/2018	***-**-72IR - SHAW, SUSAN	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.38	0.00	1.38	85.0	1.17
	ACCOUNT #: 00082013491	BUY 1 Units @43.0200						
* MUTUAL FUNDS SUBTOTAL *			<b>\$692.00</b>	<b>\$8.67</b>	<b>\$0.00</b>	<b>\$8.67</b>		<b>\$7.37</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/20/2018	***-**-37IN - KUNKEL, JANET	PERSPECTIVE II DTCC	48,817.15	976.34	0.00	976.34	85.0	829.89
	ACCOUNT #: 1021012935	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$48,817.15</b>	<b>\$976.34</b>	<b>\$0.00</b>	<b>\$976.34</b>		<b>\$829.89</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
02/20/2018	***-**-036I - CROWL, LINDA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.39	0.00	0.39	85.0	0.33
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
02/20/2018	***-**-831I - REED, JACK	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
02/20/2018	***-**-34IR - MCKENNA, EDWARD	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.20	0.00	0.20	85.0	0.17
	ACCOUNT #: LSR011376	BUY 1 Units @0.0000						
02/20/2018	***-**-373X - MANGANELLO, TIRA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	17.94	0.00	17.94	85.0	15.25
	ACCOUNT #: LSR011551	BUY 1 Units @0.0000						
02/20/2018	***-**-373X - MANGANELLO, TIRA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	23.48	0.00	23.48	85.0	19.96
	ACCOUNT #: LSR011552	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/20/2018	***-**-260X - ILGENFRITZ, CORY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	18.84	0.00	18.84	85.0	16.01
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
02/20/2018	***-**-181X - ILGENFRITZ,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	19.70	0.00	19.70	85.0	16.75
	ACCOUNT #: LSR011555	BUY 1 Units @0.0000						
02/20/2018	***-**-055X - ILGENFRITZ,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	23.75	0.00	23.75	85.0	20.19
	ACCOUNT #: LSR011558	BUY 1 Units @0.0000						
02/20/2018	***-**-352I - KUNKEL, RAY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	13.82	0.00	13.82	85.0	11.75
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
02/20/2018	***-**-8100 - BLAUCH, CATHY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.13	0.00	0.13	85.0	0.11
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						
02/20/2018	***-**-881I - HOUSER, LORI	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.21	0.00	0.21	85.0	0.18
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
02/20/2018	***-**-13IR - LOYCH, ALICE	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.32	0.00	0.32	85.0	0.27
	ACCOUNT #: LSR012051	BUY 1 Units @0.0000						
02/20/2018	***-**-81IR - BLEILER, CARLTON	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.08	0.00	0.08	85.0	0.07
	ACCOUNT #: LSR012082	BUY 1 Units @0.0000						
02/20/2018	***-**-872I - GAROFALO,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.34	0.00	0.34	85.0	0.29
	ACCOUNT #: LSR010758	BUY 1 Units @0.0000						
02/20/2018	***-**-244X - REED, GENEVIEVE	AIM HIGH YIELD FUND - CLASS A	0.00	1.70	0.00	1.70	85.0	1.45
	ACCOUNT #: LSR012032	BUY 1 Units @0.0000						
02/20/2018	***-**-8100 - BLAUCH, CATHY	AIM INCOME ALLOCATION FUND - CLASS	0.00	0.18	0.00	0.18	85.0	0.15
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						
02/20/2018	***-**-881I - HOUSER, LORI	AIM INCOME ALLOCATION FUND - CLASS	0.00	0.19	0.00	0.19	85.0	0.16
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
02/20/2018	***-**-228I - BUCHANAN,	AIM UTILITIES FUND - CLASS C	0.00	18.92	0.00	18.92	85.0	16.08
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
02/20/2018	***-**-630X - WEAVER, NICOLE	AIM UTILITIES FUND - CLASS C	0.00	72.40	0.00	72.40	85.0	61.54
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
02/20/2018	***-**-4000 - ROMIG, MARK	AIM UTILITIES FUND - CLASS C	0.00	13.85	0.00	13.85	85.0	11.77
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
02/20/2018	***-**-831I - REED, JACK	AMCAP FUND-A	0.00	12.24	0.00	12.24	85.0	10.40
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/20/2018	***-**-036I - KAKAREKA, PAUL	AMCAP FUND-C	0.00	20.03	0.00	20.03	85.0	17.03
	ACCOUNT #: LSR011085	BUY 1 Units @0.0000						
02/20/2018	***-**-73IR - MANGANELLO, TIRA	AMERICAN 2045 TARGET DATE RETIREMNT	0.00	5.53	0.00	5.53	85.0	4.70
	ACCOUNT #: LSR011771	BUY 1 Units @0.0000						
02/20/2018	***-**-054I - GAROFALO,	AMERICAN BALANCED FUND-A	0.00	4.96	0.00	4.96	85.0	4.22
	ACCOUNT #: LSF000299	BUY 1 Units @0.0000						
02/20/2018	***-**-036I - CROWL, LINDA	AMERICAN BALANCED FUND-C	0.00	77.22	0.00	77.22	85.0	65.64
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
02/20/2018	***-**-59IR - FREY, RYAN	AMERICAN BALANCED FUND-C	0.00	9.53	0.00	9.53	85.0	8.10
	ACCOUNT #: LSR011056	BUY 1 Units @0.0000						
02/20/2018	***-**-036I - KAKAREKA, PAUL	AMERICAN BALANCED FUND-C	0.00	36.51	0.00	36.51	85.0	31.03
	ACCOUNT #: LSR011085	BUY 1 Units @0.0000						
02/20/2018	***-**-376X - WAGNER, LINDA	AMERICAN FUNDS BALANCED PORT CL C	0.00	34.83	0.00	34.83	85.0	29.61
	ACCOUNT #: LSR010737	BUY 1 Units @0.0000						
02/20/2018	***-**-14IR - MCKENNA, DONNA	AMERICAN FUNDS BALANCED PORT CL C	0.00	14.25	0.00	14.25	85.0	12.11
	ACCOUNT #: LSR011375	BUY 1 Units @0.0000						
02/20/2018	***-**-285I - ACKERMAN, SEAN	AMERICAN FUNDS GROWTH PORT CL C	0.00	11.62	0.00	11.62	85.0	9.88
	ACCOUNT #: LSR010998	BUY 1 Units @0.0000						
02/20/2018	***-**-265I - NORNHOLD,	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	25.40	0.00	25.40	85.0	21.59
	ACCOUNT #: LSR010794	BUY 1 Units @0.0000						
02/20/2018	***-**-057I - PLAPPERT,	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	43.29	0.00	43.29	85.0	36.80
	ACCOUNT #: LSR010833	BUY 1 Units @0.0000						
02/20/2018	***-**-057I - PLAPPERT,	AMERICAN FUNDS INCOME PORT CL C	0.00	15.25	0.00	15.25	85.0	12.96
	ACCOUNT #: LSR010833	BUY 1 Units @0.0000						
02/20/2018	***-**-8540 - FREY, RYAN	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	14.22	0.00	14.22	85.0	12.09
	ACCOUNT #: LSR011528	BUY 1 Units @0.0000						
02/20/2018	***-**-2600 - ILGENFRITZ, TOD	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	45.12	0.00	45.12	85.0	38.35
	ACCOUNT #: LSR011549	BUY 1 Units @0.0000						
02/20/2018	***-**-3730 - MANGANELLO, TIRA	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	20.70	0.00	20.70	85.0	17.60
	ACCOUNT #: LSR011550	BUY 1 Units @0.0000						
02/26/2018	***-**-036I - CROWL, LINDA	BLACKROCK BALANCED CAP FD CL C	0.00	48.61	0.00	48.61	85.0	41.32
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/26/2018	***-**-992I - SMITH, FBO MR ACCOUNT #: LSR010578	BLACKROCK BALANCED CAP FD CL C BUY 1 Units @0.0000	0.00	31.71	0.00	31.71	85.0	26.95
02/26/2018	***-**-036I - CROWL, LINDA ACCOUNT #: LSR010466	BLACKROCK US MORTGAGE PORT CL C BUY 1 Units @0.0000	0.00	17.69	0.00	17.69	85.0	15.04
02/26/2018	***-**-992I - SMITH, FBO MR ACCOUNT #: LSR010578	BLACKROCK US MORTGAGE PORT CL C BUY 1 Units @0.0000	0.00	9.54	0.00	9.54	85.0	8.11
02/26/2018	***-**-566I - DURKIN, FBO MRS ACCOUNT #: LSR010544	CAPITAL APPRECIATION A BUY 1 Units @0.0000	0.00	6.19	0.00	6.19	85.0	5.26
02/20/2018	***-**-949I - LICHT, FBO MS ACCOUNT #: LSR010542	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	2.22	0.00	2.22	85.0	1.89
02/20/2018	***-**-992I - SMITH, FBO MR ACCOUNT #: LSR010578	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	12.32	0.00	12.32	85.0	10.47
02/20/2018	***-**-184I - BEAVERSON, ROYCE ACCOUNT #: LSR010468	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	10.18	0.00	10.18	85.0	8.65
02/20/2018	***-**-831I - REED, JACK ACCOUNT #: LSR010577	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	0.83	0.00	0.83	85.0	0.71
02/26/2018	***-**-228I - BUCHANAN, ACCOUNT #: LSR010538	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	6.90	0.00	6.90	85.0	5.87
02/26/2018	***-**-4000 - ROMIG, MARK ACCOUNT #: LSR011567	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	9.96	0.00	9.96	85.0	8.47
02/26/2018	***-**-228I - BUCHANAN, ACCOUNT #: LSR010538	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	2.30	0.00	2.30	85.0	1.96
02/26/2018	***-**-4000 - ROMIG, MARK ACCOUNT #: LSR011567	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	3.32	0.00	3.32	85.0	2.82
02/26/2018	***-**-635I - TOBIN, MAUREEN ACCOUNT #: LSR011912	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	85.0	0.00
02/26/2018	***-**-830J - STRAUB, JANE ACCOUNT #: LSR011950	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	85.0	0.00
02/26/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	85.0	0.00
02/26/2018	***-**-651R - NORNHOLD, ACCOUNT #: LSR012005	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	85.0	0.00



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/26/2018	***-**-13IR - LOYCH, ALICE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR012051	BUY 1 Units @0.0000						
02/26/2018	***-**-376X - WAGNER, LINDA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	85.0	0.08
	ACCOUNT #: LSR010451	BUY 1 Units @0.0000						
02/26/2018	***-**-036I - CROWL, LINDA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.55	0.00	1.55	85.0	1.32
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
02/26/2018	***-**-184I - BEAVERSON, ROYCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	85.0	0.02
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
02/26/2018	***-**-228I - BUCHANAN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	85.0	0.02
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
02/26/2018	***-**-949I - LICHT, FBO MS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.27	0.00	0.27	85.0	0.23
	ACCOUNT #: LSR010542	BUY 1 Units @0.0000						
02/26/2018	***-**-992I - SMITH, FBO MR	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.17	0.00	0.17	85.0	0.14
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
02/26/2018	***-**-276I - GASSWINT, SUSAN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	85.0	0.01
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
02/20/2018	***-**-276I - GASSWINT, SUSAN	FRANKLIN INCOME FUND CLASS C	0.00	31.54	0.00	31.54	85.0	26.81
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
02/26/2018	***-**-566I - DURKIN, FBO MRS	GLOBAL FUND A	0.00	10.35	0.00	10.35	85.0	8.80
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
02/26/2018	***-**-65IR - NORNHOLD,	HARTFORD BALANCED INCOME FD CL C	0.00	0.21	0.00	0.21	85.0	0.18
	ACCOUNT #: LSR012005	BUY 1 Units @0.0000						
02/26/2018	***-**-934I - BEAVERSON, MARY	HARTFORD BALANCED INCOME FD CL C	0.00	11.16	0.00	11.16	85.0	9.49
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
02/26/2018	***-**-184I - BEAVERSON, ROYCE	HARTFORD BALANCED INCOME FD CL C	0.00	14.55	0.00	14.55	85.0	12.37
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
02/26/2018	***-**-566I - DURKIN, FBO MRS	HARTFORD BALANCED INCOME FD CL C	0.00	58.22	0.00	58.22	85.0	49.49
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
02/26/2018	***-**-859X - FREY, RYAN	HARTFORD BALANCED INCOME FD CL C	0.00	71.65	0.00	71.65	85.0	60.90
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
02/26/2018	***-**-630X - WEAVER, NICOLE	HARTFORD BALANCED INCOME FD CL C	0.00	67.00	0.00	67.00	85.0	56.95
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/26/2018	***-**-260X - ILGENFRITZ, CORY	HARTFORD BALANCED INCOME FD CL C	0.00	23.59	0.00	23.59	85.0	20.05
	ACCOUNT #: LSR011554	BUY 1 Units @0.0000						
02/26/2018	***-**-181X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	23.40	0.00	23.40	85.0	19.89
	ACCOUNT #: LSR011556	BUY 1 Units @0.0000						
02/26/2018	***-**-055X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	18.54	0.00	18.54	85.0	15.76
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
02/26/2018	***-**-352I - KUNKEL, RAY	HARTFORD BALANCED INCOME FD CL C	0.00	14.26	0.00	14.26	85.0	12.12
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
02/26/2018	***-**-8100 - BLAUCH, CATHY	HARTFORD BALANCED INCOME FD CL C	0.00	0.34	0.00	0.34	85.0	0.29
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						
02/26/2018	***-**-14IR - SCHMIDT, DAVID	HARTFORD BALANCED INCOME FD CL C	0.00	0.41	0.00	0.41	85.0	0.35
	ACCOUNT #: LSR011865	BUY 1 Units @0.0000						
02/26/2018	***-**-830J - STRAUB, JANE	HARTFORD BALANCED INCOME FD CL C	0.00	1.00	0.00	1.00	85.0	0.85
	ACCOUNT #: LSR011950	BUY 1 Units @0.0000						
02/26/2018	***-**-881I - HOUSER, LORI	HARTFORD BALANCED INCOME FD CL C	0.00	0.61	0.00	0.61	85.0	0.52
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
02/26/2018	***-**-381J - BLEILER, CONNIE	HARTFORD BALANCED INCOME FD CL C	0.00	0.39	0.00	0.39	85.0	0.33
	ACCOUNT #: LSR012081	BUY 1 Units @0.0000						
02/26/2018	***-**-21IR - ILGENFRITZ,	HARTFORD CHECKS AND BALANCED FUND C	0.00	1.16	0.00	1.16	85.0	0.99
	ACCOUNT #: LSR011824	BUY 1 Units @0.0000						
02/26/2018	***-**-859X - FREY, RYAN	HARTFORD CORE EQUITY CLASS C	0.00	59.71	0.00	59.71	85.0	50.75
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
02/26/2018	***-**-630X - WEAVER, NICOLE	HARTFORD CORE EQUITY CLASS C	0.00	69.02	0.00	69.02	85.0	58.67
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
02/26/2018	***-**-2600 - ILGENFRITZ, TOD	HARTFORD CORE EQUITY CLASS C	0.00	22.10	0.00	22.10	85.0	18.79
	ACCOUNT #: LSR011549	BUY 1 Units @0.0000						
02/26/2018	***-**-373X - MANGANELLO, TIRA	HARTFORD CORE EQUITY CLASS C	0.00	21.15	0.00	21.15	85.0	17.98
	ACCOUNT #: LSR011551	BUY 1 Units @0.0000						
02/26/2018	***-**-352I - KUNKEL, RAY	HARTFORD CORE EQUITY CLASS C	0.00	15.84	0.00	15.84	85.0	13.46
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
02/26/2018	***-**-214I - SCHMIDT, DAVID	HARTFORD CORE EQUITY CLASS C	0.00	0.45	0.00	0.45	85.0	0.38
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

February 26, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/26/2018	***-**-67IR - NORNHOLD, DENNIS	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.08	0.00	0.08	85.0	0.07
	ACCOUNT #: LSR012006	BUY 1 Units @0.0000						
02/26/2018	***-**-036I - CROWL, LINDA	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.33	0.00	0.33	85.0	0.28
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
02/26/2018	***-**-881I - HOUSER, LORI	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.21	0.00	0.21	85.0	0.18
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
02/26/2018	***-**-51IR - BLEILER, CONNIE	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.07	0.00	0.07	85.0	0.06
	ACCOUNT #: LSR012077	BUY 1 Units @0.0000						
02/20/2018	***-**-949I - LICHT, FBO MS	INCOME FUND OF AMERICA CLASS C	0.00	3.02	0.00	3.02	85.0	2.57
	ACCOUNT #: LSR010542	BUY 1 Units @0.0000						
02/20/2018	***-**-228I - BUCHANAN,	INVESCO BALANCED - RISK ALLOCATION	0.00	9.12	0.00	9.12	85.0	7.75
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
02/20/2018	***-**-276I - GASSWINT, SUSAN	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	21.10	0.00	21.10	85.0	17.94
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
02/20/2018	***-**-214I - SCHMIDT, DAVID	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	0.84	0.00	0.84	85.0	0.71
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
02/20/2018	***-**-322I - SHOCKEY, DEBORAH	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	0.34	0.00	0.34	85.0	0.29
	ACCOUNT #: LSR011848	BUY 1 Units @0.0000						
02/20/2018	***-**-881I - HOUSER, LORI	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	0.91	0.00	0.91	85.0	0.77
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
02/20/2018	***-**-334I - MCKENNA, EDWARD	LEGG MASON VALUE TRUST CL C	0.00	7.05	0.00	7.05	85.0	5.99
	ACCOUNT #: LSR011377	BUY 1 Units @0.0000						
02/26/2018	***-**-566I - DURKIN, FBO MRS	MAIN STREET FUND A	0.00	12.18	0.00	12.18	85.0	10.35
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
02/20/2018	***-**-055O - ILGENFRITZ, TIM	NUVEEN REAL ASSET INCOME FUND C SHR	0.00	20.47	0.00	20.47	85.0	17.40
	ACCOUNT #: LSR011557	BUY 1 Units @0.0000						
02/26/2018	***-**-162I - ANDERSON, THOMAS	PIMCO DIVERSIFIED INCOME FND CL A-N	0.00	1.74	0.00	1.74	85.0	1.48
	ACCOUNT #: LSR012358	BUY 1 Units @0.0000						
02/26/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	0.00	43.68	0.00	43.68	85.0	37.13
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
02/26/2018	***-**-831I - REED, JACK	PIMCO INCOME FUND CL C	0.00	9.42	0.00	9.42	85.0	8.01
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/26/2018	***-**-036I - CROWL, LINDA ACCOUNT #: LSR010466	PIMCO INCOME FUND CL C BUY 1 Units @0.0000	0.00	16.80	0.00	16.80	85.0	14.28
02/26/2018	***-**-831I - REED, JACK ACCOUNT #: LSR010577	PIMCO INCOME FUND CL C BUY 1 Units @0.0000	0.00	3.62	0.00	3.62	85.0	3.08
02/20/2018	***-**-260X - ILGENFRITZ, CORY ACCOUNT #: LSR011553	PRINCIPAL SMALL-MID CAP DIV INCOME BUY 1 Units @0.0000	0.00	10.29	0.00	10.29	85.0	8.75
02/20/2018	***-**-055X - ILGENFRITZ, ACCOUNT #: LSR011559	PRINCIPAL SMALL-MID CAP DIV INCOME BUY 1 Units @0.0000	0.00	10.20	0.00	10.20	85.0	8.67
02/26/2018	***-**-992I - SMITH, FBO MR ACCOUNT #: LSR010578	PUTNAM EQUITY INCOME FUND-C BUY 1 Units @0.0000	0.00	26.83	0.00	26.83	85.0	22.81
02/26/2018	***-**-566I - DURKIN, FBO MRS ACCOUNT #: LSR010544	QUEST OPPORTUNITY VALUE A BUY 1 Units @0.0000	0.00	7.03	0.00	7.03	85.0	5.98
02/20/2018	***-**-831I - REED, JACK ACCOUNT #: LSR010577	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	6.51	0.00	6.51	85.0	5.53
02/26/2018	***-**-214I - SCHMIDT, DAVID ACCOUNT #: LSR011691	THE HARTFORD ADVISERS FUND-C BUY 1 Units @0.0000	0.00	0.17	0.00	0.17	85.0	0.14
02/26/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	THE HARTFORD ADVISERS FUND-C BUY 1 Units @0.0000	0.00	0.05	0.00	0.05	85.0	0.04
02/20/2018	***-**-83IN - SCHWARTZ, EMILY ACCOUNT #: LSR010190	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	12.16	0.00	12.16	85.0	10.34
02/20/2018	***-**-934I - BEAVERSON, MARY ACCOUNT #: LSR010467	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	4.66	0.00	4.66	85.0	3.96
02/20/2018	***-**-184I - BEAVERSON, ROYCE ACCOUNT #: LSR010468	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	9.22	0.00	9.22	85.0	7.84
02/20/2018	***-**-831I - REED, JACK ACCOUNT #: LSR010577	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	13.84	0.00	13.84	85.0	11.76
02/20/2018	***-**-992I - SMITH, FBO MR ACCOUNT #: LSR010578	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	12.94	0.00	12.94	85.0	11.00
02/20/2018	***-**-653J - MYERS, AND ACCOUNT #: LSR011521	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.89	0.00	1.89	85.0	1.61
02/20/2018	***-**-054I - GAROFALO, ACCOUNT #: LSF000299	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	8.47	0.00	8.47	85.0	7.20





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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/20/2018	***-**-83IN - SCHWARTZ, EMILY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	10.56	0.00	10.56	85.0	8.98
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
02/20/2018	***-**-934I - BEAVERSON, MARY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	5.24	0.00	5.24	85.0	4.45
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
02/20/2018	***-**-653J - MYERS, AND	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.20	0.00	2.20	85.0	1.87
	ACCOUNT #: LSR011521	BUY 1 Units @0.0000						
02/20/2018	***-**-054I - GAROFALO,	THE NEW ECONOMY FUND-A	0.00	4.01	0.00	4.01	85.0	3.41
	ACCOUNT #: LSF000299	BUY 1 Units @0.0000						
02/20/2018	***-**-054J - GAROFALO,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	4.92	0.00	4.92	85.0	4.18
	ACCOUNT #: LSF000300	BUY 1 Units @0.0000						
02/20/2018	***-**-29IR - MANGANELLO,	WELLS FARGO DIVERSIF INC BUILDER CL	0.00	4.66	0.00	4.66	85.0	3.96
	ACCOUNT #: LSR011770	BUY 1 Units @0.0000						
02/20/2018	***-**-0550 - ILGENFRITZ, TIM	WELLS FARGO INDEX ASSET ALLOC C	0.00	21.72	0.00	21.72	85.0	18.46
	ACCOUNT #: LSR011557	BUY 1 Units @0.0000						
02/20/2018	***-**-352I - KUNKEL, RAY	WELLS FARGO SPECIAL MID CAP CL C	0.00	16.48	0.00	16.48	85.0	14.01
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
02/20/2018	***-**-831I - KAKAREKA,	WELLS FARGO SPECIAL SMALL CAP VALUE	0.00	1.16	0.00	1.16	85.0	0.99
	ACCOUNT #: LSR011084	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,664.53</b>	<b>\$0.00</b>	<b>\$1,664.53</b>		<b>\$1,414.93</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$49,509.15</b>	<b>\$2,649.54</b>	<b>\$0.00</b>	<b>\$2,649.54</b>		<b>\$2,252.19</b>



BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER COMMISSION TOTALS									
Broker Transactions \$2,252.19									
Split Transactions \$0.00									
Override Transactions \$0.00									
Adjustments \$0.00									
Payroll Draw \$0.00									
Base Salary \$0.00									
Prior Period Balance \$21.32									
FINRA Assessment \$0.00									
SIPC Assessment \$0.00									
Net Commission Earned \$2,273.51									
Year-to-date Earnings \$0.00									