

**COMMISSION STATEMENT****October 31, 2017**TIMOTHY STAUFFER
333 Fiddlers CoveBROKER #: S118
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
09/27/2017	MILLER, BRET	COTTONWOOD MULTIFAMILY REIT II	11,100.00	666.00	0.00	666.00	90.0	599.40
09/27/2017	MILLER, BRET	COTTONWOOD MULTIFAMILY REIT II	-11,100.00	-666.00	0.00	-666.00	90.0	-599.40
09/27/2017	PARKER, MICHAEL	COTTONWOOD MULTIFAMILY REIT II	15,900.00	954.00	0.00	954.00	90.0	858.60
09/27/2017	PARKER, MICHAEL	COTTONWOOD MULTIFAMILY REIT II	-15,900.00	-954.00	0.00	-954.00	90.0	-858.60
09/27/2017	REICHARD, THOMAS	COTTONWOOD MULTIFAMILY REIT II	50,000.00	3,000.00	0.00	3,000.00	90.0	2,700.00
09/27/2017	REICHARD, THOMAS	COTTONWOOD MULTIFAMILY REIT II	-50,000.00	-3,000.00	0.00	-3,000.00	90.0	-2,700.00
09/27/2017	STUCKY, JULIA	COTTONWOOD MULTIFAMILY REIT II	14,000.00	840.00	0.00	840.00	90.0	756.00
09/27/2017	STUCKY, JULIA	COTTONWOOD MULTIFAMILY REIT II	-14,000.00	-840.00	0.00	-840.00	90.0	-756.00
09/27/2017	STUCKY, JULIA	COTTONWOOD MULTIFAMILY REIT II	13,100.00	786.00	0.00	786.00	90.0	707.40
09/27/2017	STUCKY, JULIA	COTTONWOOD MULTIFAMILY REIT II	-13,100.00	-786.00	0.00	-786.00	90.0	-707.40
09/27/2017	MILLER, BRET	COTTONWOOD MULTIFAMILY REIT II	17,300.00	1,038.00	0.00	1,038.00	90.0	934.20
09/27/2017	MILLER, BRET	COTTONWOOD MULTIFAMILY REIT II	-17,300.00	-1,038.00	0.00	-1,038.00	90.0	-934.20
09/27/2017	SMITH, KAREN	COTTONWOOD MULTIFAMILY REIT II	40,000.00	2,400.00	0.00	2,400.00	90.0	2,160.00
09/27/2017	SMITH, KAREN	COTTONWOOD MULTIFAMILY REIT II	-40,000.00	-2,400.00	0.00	-2,400.00	90.0	-2,160.00
09/27/2017	SCHROEDER, JEFFERY	COTTONWOOD MULTIFAMILY REIT II	18,800.00	1,128.00	0.00	1,128.00	90.0	1,015.20
09/27/2017	SCHROEDER, JEFFERY	COTTONWOOD MULTIFAMILY REIT II	-18,800.00	-1,128.00	0.00	-1,128.00	90.0	-1,015.20
09/27/2017	LARKINS, TROY	COTTONWOOD MULTIFAMILY REIT II	20,000.00	1,200.00	0.00	1,200.00	90.0	1,080.00
09/27/2017	LARKINS, TROY	COTTONWOOD MULTIFAMILY REIT II	-20,000.00	-1,200.00	0.00	-1,200.00	90.0	-1,080.00
09/27/2017	LARKINS, KATHRYN	COTTONWOOD MULTIFAMILY REIT II	10,000.00	600.00	0.00	600.00	90.0	540.00
09/27/2017	LARKINS, KATHRYN	COTTONWOOD MULTIFAMILY REIT II	-10,000.00	-600.00	0.00	-600.00	90.0	-540.00
09/27/2017	ZEHR, PAUL	COTTONWOOD MULTIFAMILY REIT II	50,000.00	3,000.00	0.00	3,000.00	90.0	2,700.00
09/27/2017	ZEHR, PAUL	COTTONWOOD MULTIFAMILY REIT II	-50,000.00	-3,000.00	0.00	-3,000.00	90.0	-2,700.00
09/27/2017	HOLLE, JEREMY	COTTONWOOD MULTIFAMILY REIT II	12,400.00	744.00	0.00	744.00	90.0	669.60
09/27/2017	HOLLE, JEREMY	COTTONWOOD MULTIFAMILY REIT II	-12,400.00	-744.00	0.00	-744.00	90.0	-669.60
09/27/2017	STUCKY, MIKE	COTTONWOOD MULTIFAMILY REIT II	17,500.00	1,050.00	0.00	1,050.00	90.0	945.00
09/27/2017	STUCKY, MIKE	COTTONWOOD MULTIFAMILY REIT II	-17,500.00	-1,050.00	0.00	-1,050.00	90.0	-945.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
09/27/2017	HOLLE, DONELLE	COTTONWOOD MULTIFAMILY REIT II	19,000.00	1,140.00	0.00	1,140.00	90.0	1,026.00
09/27/2017	HOLLE, DONELLE	COTTONWOOD MULTIFAMILY REIT II	-19,000.00	-1,140.00	0.00	-1,140.00	90.0	-1,026.00
09/27/2017	MIDDLETON, LUCINDA	COTTONWOOD MULTIFAMILY REIT II	43,600.00	2,616.00	0.00	2,616.00	90.0	2,354.40
09/27/2017	MIDDLETON, LUCINDA	COTTONWOOD MULTIFAMILY REIT II	-43,600.00	-2,616.00	0.00	-2,616.00	90.0	-2,354.40
* REIT SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	SMITH, ERIC	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	1.61	0.00	1.61	90.0	1.45
/ /	SMITH, LANCE	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.82	0.00	0.82	90.0	0.74
/ /	ROPP, ROBIN	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	2.33	0.00	2.33	90.0	2.10
/ /	SMITH, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	1.64	0.00	1.64	90.0	1.48
/ /	SMITH, LANCE	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.84	0.00	0.84	90.0	0.76
/ /	ROPP, ROBIN	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	2.36	0.00	2.36	90.0	2.12
/ /	SMITH, ERIC	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.56	0.00	1.56	90.0	1.40
/ /	SMITH, LANCE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.78	0.00	0.78	90.0	0.70
/ /	ROPP, ROBIN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	2.30	0.00	2.30	90.0	2.07
/ /	ALLES, JENIFER	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.32	0.00	0.32	90.0	0.29
10/01/2017	NUTTLE, CHRIS	MFS EQUITY OPPORTUNITIES A	0.00	2.52	0.00	2.52	90.0	2.27
10/01/2017	NUTTLE, CHRIS	MFS EQUITY OPPORTUNITIES A	0.00	0.42	0.00	0.42	90.0	0.38
10/01/2017	NUTTLE, CHRIS	MFS EQUITY OPPORTUNITIES B	0.00	0.87	0.00	0.87	90.0	0.78
/ /	MILLER, SEAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.48	0.00	0.48	90.0	0.43
/ /	BRENN, BRIAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.07	0.00	0.07	90.0	0.06
/ /	MILLER, SEAN	RYDEX GUGG ENERGY C	0.00	1.09	0.00	1.09	90.0	0.98
/ /	KROUSE, ANDRE	RYDEX GUGG ENERGY C	0.00	0.81	0.00	0.81	90.0	0.73
/ /	BRENN, BRIAN	RYDEX GUGG ENERGY C	0.00	0.05	0.00	0.05	90.0	0.05
/ /	ALLES, JENIFER	RYDEX GUGG PRECIOUS METALS A	0.00	0.27	0.00	0.27	90.0	0.24
/ /	MILLER, SEAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.63	0.00	0.63	90.0	0.57
/ /	KROUSE, ANDRE	RYDEX GUGG PRECIOUS METALS C	0.00	0.85	0.00	0.85	90.0	0.77
/ /	BRENN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.08	0.00	0.08	90.0	0.07
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$22.70	\$0.00	\$22.70		\$20.44
<u>PRODUCT CATEGORY: STOCKS</u>								
10/24/2017	SHERRILL, TIMOTHY	BOFI HLDG INC COM	-2,778.01	35.00	22.50	12.50	90.0	11.25
10/24/2017	SHERRILL, TIMOTHY	GENTEX CORP COM	-1,914.01	32.00	22.50	9.50	90.0	8.55

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
10/24/2017	SHERRILL, TIMOTHY	HCA HLDGS INC COM	4,034.20	35.00	22.50	12.50	90.0	11.25
10/24/2017	SHERRILL, TIMOTHY	KINDER MORGAN INC	2,549.09	35.00	22.50	12.50	90.0	11.25
10/24/2017	SHERRILL, TIMOTHY	MASIMO CORP COM	3,984.75	35.00	22.50	12.50	90.0	11.25
10/24/2017	SHERRILL, TIMOTHY	PRUDENTIAL FINL INC COM	-3,341.30	24.00	22.50	1.50	90.0	1.35
10/24/2017	SHERRILL, TIMOTHY	UNDER ARMOUR INC CL C	-1,503.34	35.00	22.50	12.50	90.0	11.25
* STOCKS SUBTOTAL *			\$1,031.38	\$231.00	\$157.50	\$73.50		\$66.15
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
10/05/2017	REICHARD, DEBRA	ING ESII	0.00	19.34	0.00	19.34	90.0	17.41
10/16/2017	REICHARD, THOMAS	ING ESII	0.00	37.57	0.00	37.57	90.0	33.81
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$56.91	\$0.00	\$56.91		\$51.22
*** BROKER TRANSACTIONS TOTAL ***			\$1,031.38	\$310.61	\$157.50	\$153.11		\$137.81

BROKER COMMISSION TOTALS

Broker Transactions	\$137.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$137.81
Year-to-date Gross	\$132,416.29
Year-to-date Earnings	\$118,156.67

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed