

## COMMISSION STATEMENT

August 31, 2017

JAY SHARTSIS  
54 BIRCHWOOD LANEBROKER #: 37  
BRANCH: HOME OFFICE

HARTSDALE, NY, NY 10530

COMMISSION STATEMENT for JAY SHARTSIS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

08/31/2017 (None)	VARIOUS, SHARTSIS	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	1,000.00	0.00	1,000.00	50.0	500.00
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$1,000.00	\$0.00	\$1,000.00		\$500.00

PRODUCT CATEGORY: STOCKS

08/03/2017	QGW009177 -, MICHAEL KRUEGER	KRONOS WORLDWIDE INC COM	S	-10,322.90	50.00	6.00	44.00	50.0	22.00
08/14/2017	QGW009177 -, MICHAEL KRUEGER	MCDERMOTT INTL INC	B	700.00	50.00	6.00	44.00	50.0	22.00
08/18/2017	QGW009177 -, MICHAEL KRUEGER	KRONOS WORLDWIDE INC COM	B	10,000.00	50.00	6.00	44.00	50.0	22.00
08/18/2017	QGW009177 -, MICHAEL KRUEGER	MCDERMOTT INTL INC	B	6,300.00	50.00	6.00	44.00	50.0	22.00
08/28/2017	QGW009177 -, MICHAEL KRUEGER	KRONOS WORLDWIDE INC COM	S	-10,006.00	50.00	6.00	44.00	50.0	22.00
08/14/2017	QGW021461 BURNS, RHONDIE	TAHOE RES INC COM ISIN#CA8738681037 B		2,723.25	81.44	6.00	75.44	50.0	37.72
08/14/2017	QGW021461 BURNS, RHONDIE	TAHOE RES INC COM ISIN#CA8738681037 B		2,738.25	81.45	6.00	75.45	50.0	37.73
08/16/2017	QGW021461 BURNS, RHONDIE	TEUCRIUM COMMODITY TR WHEAT FD	B	1,994.97	61.53	6.00	55.53	50.0	27.77
08/21/2017	QGW021461 BURNS, RHONDIE	MANSFIELD-MARTIN EXPL MNG INC COM	B	2,987.00	135.00	6.00	129.00	50.0	64.50
08/09/2017	QGW029514 HUNT, GREGORY	TAHOE RES INC COM ISIN#CA8738681037 B		10,135.20	125.00	6.00	119.00	50.0	59.50
08/24/2017	QGW029514 HUNT, GREGORY	TEUCRIUM COMMODITY TR WHEAT FD	B	11,032.83	136.00	6.00	130.00	50.0	65.00
* STOCKS SUBTOTAL *				\$28,282.60	\$870.42	\$66.00	\$804.42		\$402.22

PRODUCT CATEGORY: OPTIONS

07/31/2017	QGW009177 -, MICHAEL KRUEGER	PUT 100 KRONOS WORLDWIDE INC COM EX S		-300.00	50.00	6.00	44.00	50.0	22.00
08/04/2017	QGW009177 -, MICHAEL KRUEGER	CALL 100 BARCLAYS BK PLC IPATH S&P B		930.00	50.00	6.00	44.00	50.0	22.00
08/10/2017	QGW009177 -, MICHAEL KRUEGER	CALL 100 BARCLAYS BK PLC IPATH S&P S		-2,190.00	50.00	6.00	44.00	50.0	22.00
08/23/2017	QGW009177 -, MICHAEL KRUEGER	CALL 100 CIENA CORP COM NEW EXP 09- B		850.00	50.00	6.00	44.00	50.0	22.00
08/29/2017	QGW009177 -, MICHAEL KRUEGER	PUT 100 KRONOS WORLDWIDE INC COM EX S		-300.00	50.00	6.00	44.00	50.0	22.00
08/30/2017	QGW009177 -, MICHAEL KRUEGER	PUT 100 CIENA CORP COM NEW EXP 09-0 S		-265.00	50.00	6.00	44.00	50.0	22.00
08/09/2017	QGW029514 HUNT, GREGORY	CALL 100 BARCLAYS BK PLC IPATH S&P B		2,788.05	139.80	6.00	133.80	50.0	66.90
08/15/2017	QGW029514 HUNT, GREGORY	CALL 100 BARCLAYS BK PLC IPATH S&P S		-435.00	50.00	6.00	44.00	50.0	22.00
08/28/2017	QGW029514 HUNT, GREGORY	CALL 100 VXX 1:4 (25 VXX) EXP 09-15 S		-2,775.00	98.00	6.00	92.00	50.0	46.00
* OPTIONS SUBTOTAL *				\$-1,696.95	\$587.80	\$54.00	\$533.80		\$266.90

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<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
08/09/2017	75501786 WRIGHTON, BRYAN	**CLEARBRIDGE LARGE CAP VALUE	B	0.00	43.93	0.00	43.93	50.0	21.97
08/25/2017	QGW030561 CERASO, ANTONIO	BLACKROCK GLOBAL ALLOCATION FUND IN B		0.00	0.28	0.00	0.28	50.0	0.14
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$44.21</b>	<b>\$0.00</b>	<b>\$44.21</b>		<b>\$22.11</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$26,585.65</b>	<b>\$2,502.43</b>	<b>\$120.00</b>	<b>\$2,382.43</b>		<b>\$1,191.23</b>

**ADJUSTMENTS**

08/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
08/31/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
08/31/2017	OTHER: OTHER-PERSHING CHARGES	0.00	0.00	0.00	0.00	-2.13
08/31/2017	OTHER: OTHER-PUBLICATION ON CREDIT	0.00	0.00	0.00	0.00	-224.50
08/31/2017	OTHER: OTHER-CREDIT CARD	0.00	0.00	0.00	0.00	-11.18
08/31/2017	OTHER: OTHER-TELEPHONE	0.00	0.00	0.00	0.00	-25.87
08/31/2017	OTHER: CBOE	0.00	0.00	0.00	0.00	-250.00
08/31/2017	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00	-96.36
08/31/2017	OTHER: QUODD	0.00	0.00	0.00	0.00	-60.63
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-698.67</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,191.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-698.67
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.13
SIPC Assessment	\$-6.26

<b>Check Amount</b>	<b>\$483.17</b>
<b>Year-to-date Earnings</b>	<b>\$3,552.75</b>