



COMMISSION STATEMENT

April 16, 2018

LOUIS HORWIN
7670 E La Junta Rd
Scottsdale, AZ 85255

BROKER #: A92
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
04/09/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	ALLIANZGI CONV & INCOME FD II COM BUY 100 Units @6.0600	606.00	8.20	17.00	-8.80	100.0	-8.80
04/09/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	ALLIANZGI CONV & INCOME FD II COM BUY 400 Units @6.0590	2,423.60	32.79	8.00	24.79	50.0	12.40
04/09/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	ALLIANZGI CONV & INCOME FD II COM BUY 100 Units @6.0600	606.00	8.20	2.00	6.20	50.0	3.10
04/09/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	ALLIANZGI CONV & INCOME FD II COM BUY 100 Units @6.0600	606.00	8.20	2.00	6.20	50.0	3.10
04/09/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	ALLIANZGI CONV & INCOME FD II COM BUY 100 Units @6.0600	606.00	8.20	2.00	6.20	50.0	3.10
04/09/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	ALLIANZGI CONV & INCOME FD II COM BUY 100 Units @6.0600	606.00	8.20	2.00	6.20	50.0	3.10
04/09/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	ALLIANZGI CONV & INCOME FD II COM BUY 100 Units @6.0600	606.00	8.20	2.00	6.20	50.0	3.10
04/09/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	ALLIANZGI CONV & INCOME FD II COM BUY 100 Units @6.0600	606.00	8.20	2.00	6.20	50.0	3.10
04/09/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	ALLIANZGI CONV & INCOME FD II COM BUY 100 Units @6.0600	606.00	8.20	2.00	6.20	50.0	3.10
04/09/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	ALLIANZGI CONV & INCOME FD II COM BUY 2200 Units @6.0600	13,332.00	180.33	44.00	136.33	50.0	68.17
04/09/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	ALLIANZGI CONV & INCOME FD II COM BUY 1480 Units @6.0600	8,968.80	121.31	29.60	91.71	50.0	45.86
04/09/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	PIMCO INCOME OPPORTUNITY FND SELL 560 Units @27.0380	15,141.28	225.00	26.20	198.80	50.0	99.40
04/09/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	WESTERN ASSET MTG DEF OPPTY FD COM SELL 200 Units @25.6250	5,125.00	75.76	19.00	56.76	50.0	28.38
04/09/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	WESTERN ASSET MTG DEF OPPTY FD COM SELL 394 Units @25.6030	10,087.58	149.24	7.88	141.36	50.0	70.68
* STOCKS SUBTOTAL *			\$59,926.26	\$850.03	\$165.68	\$684.35		\$337.79



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/16/2018	***-**-648K - PARADY, STEPHEN	ALLIANZGI INCOME & GROWTH CL A	0.00	0.02	0.00	0.02	50.0	0.01
	ACCOUNT #: LSR011461	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$0.02	\$0.00	\$0.02		\$0.01
		*** BROKER TRANSACTIONS TOTAL ***	\$59,926.26	\$850.05	\$165.68	\$684.37		\$337.80

BROKER COMMISSION TOTALS

Broker Transactions	\$337.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$337.81
Year-to-date Earnings	\$2,875.85