

**COMMISSION STATEMENT****October 31, 2017**

MATT BRANSTETTER
 PO Box 250
 111 S. Main Street
 Noble, OK 73068

BROKER #: B116
BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
10/16/2017	MOORE, TONI	WPC CORP PROP ASSOC 18 GBL INC CL	0.00	10.74	80.0	8.59	0.00	8.59
10/16/2017	CASTLE, DAVID	WPC CORP PROP ASSOC 18 GBL INC CL	0.00	10.74	80.0	8.59	0.00	8.59
10/16/2017	MANSFIELD, PHILLIP	WPC CORP PROP ASSOC 18 GBL INC CL	0.00	15.04	80.0	12.03	0.00	12.03
* PRIVATE PLACEMENT SUBTOTAL *			\$0.00	\$36.52		\$29.21	\$0.00	\$29.21
<u>PRODUCT CATEGORY: REIT</u>								
09/30/2017	MILLER, MARGA	GRIFFIN CAPITAL ESSENTIAL ASSET II	0.00	118.26	80.0	94.61	0.00	94.61
* REIT SUBTOTAL *			\$0.00	\$118.26		\$94.61	\$0.00	\$94.61
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/16/2017	DOBELBOWER, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	80.0	2.00	0.00	2.00
10/20/2017	MILLER, RILEY	AM FUNDS NEW PERSPECTIVE C	50.00	0.50	80.0	0.40	0.00	0.40
10/16/2017	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL	100.00	5.00	80.0	4.00	0.00	4.00
09/30/2017	ROWE-BRANF	BLK CAPITAL APPRECIATION A	0.00	0.25	80.0	0.20	0.00	0.20
09/30/2017	ROWE-BRANF	BLK CAPITAL APPRECIATION A	0.00	0.99	80.0	0.79	0.00	0.79
09/30/2017	ROWE-BRANF	BLK MOD 17+ AGE BASED A	0.00	0.16	80.0	0.13	0.00	0.13
/ /	WHITETREE, THOMAS	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HUFFMAN, KRISTOPHER	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.29	80.0	0.23	0.00	0.23
/ /	LIVELY, ELIZABETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	LIVELY, DUSTIN	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HUNTER, TIMOTHY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	PUCKETT, CHERI	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HARRALD, DONALD	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	ROLLINS, LISA	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.04	80.0	0.03	0.00	0.03

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	TREADWAY, PAMELA	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.10	80.0	0.08	0.00	0.08
/ /	TREADWAY, TOMMY	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.08	80.0	0.06	0.00	0.06
/ /	DOWNING, JOHN	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.51	80.0	0.41	0.00	0.41
/ /	ACOSTA, JF	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	MELTON, JOY	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.08	80.0	0.06	0.00	0.06
/ /	BENSON, KARLA	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	DESHIELDS, JAMES	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	MCFERRON, LINDA	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.14	80.0	0.11	0.00	0.11
/ /	VOCI, ROBERTO	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.33	80.0	0.26	0.00	0.26
/ /	WILLIAMS, JACKIE	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.38	80.0	0.30	0.00	0.30
/ /	WRIGHT, MARK	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	POTTER, RK	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	BACON, RICHARD	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.30	80.0	0.24	0.00	0.24
/ /	ARRINGTON, NANCY	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.08	80.0	0.06	0.00	0.06
10/02/2017	MCKINNEY, E KIM	INVESCO AMERICAN FRANCHISE A	0.00	5.06	80.0	4.05	0.00	4.05
10/02/2017	WHITE, JOANNE	INVESCO AMERICAN FRANCHISE A	0.00	7.26	80.0	5.81	0.00	5.81
10/02/2017	CRETSINGER, DON	INVESCO AMERICAN FRANCHISE A	0.00	5.42	80.0	4.34	0.00	4.34
10/02/2017	MCKINNEY, E KIM	INVESCO HIGH YIELD FUND A	0.00	0.58	80.0	0.46	0.00	0.46
10/02/2017	MCKINNEY, E KIM	INVESCO INTERNATIONAL GROWTH A	0.00	2.12	80.0	1.70	0.00	1.70
10/02/2017	MCFERRON, LINDA	INVESCO SUMMIT FUND A	0.00	0.95	80.0	0.76	0.00	0.76
10/02/2017	MCFERRON, LINDA	INVESCO TECHNOLOGY A	0.00	1.33	80.0	1.06	0.00	1.06
10/24/2017	CASTLE, DAVID	POWER DIVIDEND INDEX FUND CLASS C	-15,520.68	25.00	80.0	20.00	22.00	-2.00
10/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 100-A	6.75	0.07	80.0	0.06	0.00	0.06
10/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 300-A	6.75	0.07	80.0	0.06	0.00	0.06
10/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 500-A	6.75	0.34	80.0	0.27	0.00	0.27
10/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 700-A	6.75	0.34	80.0	0.27	0.00	0.27
09/25/2017	MORIELS, FELICIA	PUTNAM AMERICAN GOVT INCOME-M	100.00	3.00	80.0	2.40	0.00	2.40
09/25/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
09/25/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
09/25/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/25/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
09/25/2017	DOCTORMAN, EDGE	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
09/25/2017	DOCTORMAN, AIMES	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
10/05/2017	MYERS, JON	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
10/02/2017	MOORE, TONI	PUTNAM MULTI-CAP CORE FUND A	2,500.00	125.00	80.0	100.00	0.00	100.00
10/02/2017	GRIMMETT, JAMES	PUTNAM MULTI-CAP CORE FUND C	3,000.00	30.00	80.0	24.00	0.00	24.00
10/06/2017	SNYDER, LESTER	PUTNAM SMALL CAP GROWTH-C	100.00	1.00	80.0	0.80	0.00	0.80
10/02/2017	ALLGIRE, ERNESTINE	PUTNAM SMALL CAP VALUE A	1,984.68	99.23	80.0	79.38	0.00	79.38
10/02/2017	PLAN	PUTNAM SMALL CAP VALUE A	5,000.00	250.00	80.0	200.00	0.00	200.00
10/02/2017	ALLGIRE, ERNESTINE	PUTNAM SMALL CAP VALUE A	4,000.00	200.00	80.0	160.00	0.00	160.00
10/02/2017	GRIMMETT, JAMES	PUTNAM SMALL CAP VALUE C	2,946.25	29.46	80.0	23.57	0.00	23.57
/ /	WHITETREE, THOMAS	RYDEX BIOTECHNOLOGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX BIOTECHNOLOGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HUFFMAN, KRISTOPHER	RYDEX BIOTECHNOLOGY A	0.00	0.27	80.0	0.22	0.00	0.22
/ /	LIVELY, ELIZABETH	RYDEX BIOTECHNOLOGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	LIVELY, DUSTIN	RYDEX BIOTECHNOLOGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUNTER, TIMOTHY	RYDEX BIOTECHNOLOGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	PUCKETT, CHERI	RYDEX BIOTECHNOLOGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HARRALD, DONALD	RYDEX BIOTECHNOLOGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX BIOTECHNOLOGY A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	ROLLINS, LISA	RYDEX BIOTECHNOLOGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX BIOTECHNOLOGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	TREADWAY, PAMELA	RYDEX BIOTECHNOLOGY C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	TREADWAY, TOMMY	RYDEX BIOTECHNOLOGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	DOWNING, JOHN	RYDEX BIOTECHNOLOGY C	0.00	1.19	80.0	0.95	0.00	0.95
/ /	ACOSTA, JF	RYDEX BIOTECHNOLOGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	MELTON, JOY	RYDEX BIOTECHNOLOGY C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	BENSON, KARLA	RYDEX BIOTECHNOLOGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	DESHIELDS, JAMES	RYDEX BIOTECHNOLOGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MCFERRON, LINDA	RYDEX BIOTECHNOLOGY C	0.00	0.32	80.0	0.26	0.00	0.26
/ /	VOCI, ROBERTO	RYDEX BIOTECHNOLOGY C	0.00	0.78	80.0	0.62	0.00	0.62
/ /	WILLIAMS, JACKIE	RYDEX BIOTECHNOLOGY C	0.00	0.96	80.0	0.77	0.00	0.77
/ /	WRIGHT, MARK	RYDEX BIOTECHNOLOGY C	0.00	0.01	80.0	0.01	0.00	0.01

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	POTTER, G	RYDEX BIOTECHNOLOGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	POTTER, RK	RYDEX BIOTECHNOLOGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	ARRINGTON, NANCY	RYDEX BIOTECHNOLOGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HOLMAN, KENLEE	RYDEX BIOTECHNOLOGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HOLMAN, MEEGAN	RYDEX BIOTECHNOLOGY C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	ROLLINS, LISA	RYDEX DOW 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX DOW 2X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	TREADWAY, PAMELA	RYDEX DOW 2X STRATEGY C	0.00	0.29	80.0	0.23	0.00	0.23
/ /	DOWNING, JOHN	RYDEX DOW 2X STRATEGY C	0.00	1.30	80.0	1.04	0.00	1.04
/ /	ACOSTA, JF	RYDEX DOW 2X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	MELTON, JOY	RYDEX DOW 2X STRATEGY C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	BENSON, KARLA	RYDEX DOW 2X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	DESHIELDS, JAMES	RYDEX DOW 2X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	MCFERRON, LINDA	RYDEX DOW 2X STRATEGY C	0.00	0.35	80.0	0.28	0.00	0.28
/ /	VOCI, ROBERTO	RYDEX DOW 2X STRATEGY C	0.00	0.85	80.0	0.68	0.00	0.68
/ /	WILLIAMS, JACKIE	RYDEX DOW 2X STRATEGY C	0.00	1.05	80.0	0.84	0.00	0.84
/ /	WRIGHT, MARK	RYDEX DOW 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX DOW 2X STRATEGY C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	POTTER, RK	RYDEX DOW 2X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	ARRINGTON, NANCY	RYDEX DOW 2X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HOLMAN, KENLEE	RYDEX DOW 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HOLMAN, MEEGAN	RYDEX DOW 2X STRATEGY C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	ROLLINS, LISA	RYDEX ENERGY SERVICES C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX ENERGY SERVICES C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	TREADWAY, PAMELA	RYDEX ENERGY SERVICES C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	DOWNING, JOHN	RYDEX ENERGY SERVICES C	0.00	0.51	80.0	0.41	0.00	0.41
/ /	ACOSTA, JF	RYDEX ENERGY SERVICES C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	MELTON, JOY	RYDEX ENERGY SERVICES C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	BENSON, KARLA	RYDEX ENERGY SERVICES C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	DESHIELDS, JAMES	RYDEX ENERGY SERVICES C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	MCFERRON, LINDA	RYDEX ENERGY SERVICES C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	VOCI, ROBERTO	RYDEX ENERGY SERVICES C	0.00	0.33	80.0	0.26	0.00	0.26
/ /	WILLIAMS, JACKIE	RYDEX ENERGY SERVICES C	0.00	0.38	80.0	0.30	0.00	0.30

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	WRIGHT, MARK	RYDEX ENERGY SERVICES C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX ENERGY SERVICES C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	POTTER, RK	RYDEX ENERGY SERVICES C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	ARRINGTON, NANCY	RYDEX ENERGY SERVICES C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX ENERGY SERVICES C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX ENERGY SERVICES C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	WHITETREE, THOMAS	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.02	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUFFMAN, KRISTOPHER	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.11	80.0	0.09	0.00	0.09
/ /	LIVELY, ELIZABETH	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.03	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.02	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HARRALD, DONALD	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.04	80.0	0.03	0.00	0.03
/ /	ROLLINS, LISA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	TREADWAY, PAMELA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	DOWNING, JOHN	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.88	80.0	0.70	0.00	0.70
/ /	ACOSTA, JF	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	MELTON, JOY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	BENSON, KARLA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	DESHIELDS, JAMES	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	MCFERRON, LINDA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.23	80.0	0.18	0.00	0.18
/ /	VOCI, ROBERTO	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.57	80.0	0.46	0.00	0.46
/ /	WILLIAMS, JACKIE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.66	80.0	0.53	0.00	0.53
/ /	WRIGHT, MARK	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	BACON, RICHARD	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.29	80.0	0.23	0.00	0.23
/ /	ARRINGTON, NANCY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, KENLEE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.13	80.0	0.10	0.00	0.10

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BACON, RICHARD	RYDEX GUGG ELECTRONICS C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	WHITETREE, THOMAS	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	CASTLE, DAVID	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.34	80.0	0.27	0.00	0.27
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	1.26	80.0	1.01	0.00	1.01
/ /	LIVELY, ELIZABETH	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.29	80.0	0.23	0.00	0.23
/ /	LIVELY, DUSTIN	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.29	80.0	0.23	0.00	0.23
/ /	HUNTER, TIMOTHY	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.26	80.0	0.21	0.00	0.21
/ /	PUCKETT, CHERI	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.37	80.0	0.30	0.00	0.30
/ /	HARRALD, DONALD	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	SALLEE, MARY	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.48	80.0	0.38	0.00	0.38
/ /	ROLLINS, LISA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	BACON-HILL, MICHELE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.39	80.0	0.31	0.00	0.31
/ /	TREADWAY, PAMELA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	1.23	80.0	0.98	0.00	0.98
/ /	MORIELS, FELICIA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DOWNING, JOHN	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	5.61	80.0	4.49	0.00	4.49
/ /	ACOSTA, JF	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.43	80.0	0.34	0.00	0.34
/ /	MELTON, JOY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.90	80.0	0.72	0.00	0.72
/ /	BENSON, KARLA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.34	80.0	0.27	0.00	0.27
/ /	DESHIELDS, JAMES	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.37	80.0	0.30	0.00	0.30
/ /	MCFERRON, LINDA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	1.50	80.0	1.20	0.00	1.20
/ /	VOCI, ROBERTO	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	3.65	80.0	2.92	0.00	2.92
/ /	WILLIAMS, JACKIE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	4.51	80.0	3.61	0.00	3.61
/ /	WRIGHT, MARK	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	POTTER, G	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.45	80.0	0.36	0.00	0.36
/ /	POTTER, RK	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.44	80.0	0.35	0.00	0.35
/ /	ARRINGTON, NANCY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.34	80.0	0.27	0.00	0.27
/ /	HOLMAN, KENLEE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	HOLMAN, MEEGAN	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.86	80.0	0.69	0.00	0.69
/ /	WHITETREE, THOMAS	RYDEX GUGG ENERGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG ENERGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG ENERGY A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	LIVELY, ELIZABETH	RYDEX GUGG ENERGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	RYDEX GUGG ENERGY A	0.00	0.03	80.0	0.02	0.00	0.02

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	HUNTER, TIMOTHY	RYDEX GUGG ENERGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX GUGG ENERGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HARRALD, DONALD	RYDEX GUGG ENERGY A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX GUGG ENERGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	ROLLINS, LISA	RYDEX GUGG ENERGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG ENERGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	TREADWAY, PAMELA	RYDEX GUGG ENERGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	DOWNING, JOHN	RYDEX GUGG ENERGY C	0.00	0.47	80.0	0.38	0.00	0.38
/ /	ACOSTA, JF	RYDEX GUGG ENERGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	MELTON, JOY	RYDEX GUGG ENERGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	BENSON, KARLA	RYDEX GUGG ENERGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	DESHIELDS, JAMES	RYDEX GUGG ENERGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	MCFERRON, LINDA	RYDEX GUGG ENERGY C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	VOCI, ROBERTO	RYDEX GUGG ENERGY C	0.00	0.31	80.0	0.25	0.00	0.25
/ /	WILLIAMS, JACKIE	RYDEX GUGG ENERGY C	0.00	0.32	80.0	0.26	0.00	0.26
/ /	WRIGHT, MARK	RYDEX GUGG ENERGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG ENERGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	POTTER, RK	RYDEX GUGG ENERGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	ARRINGTON, NANCY	RYDEX GUGG ENERGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX GUGG ENERGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX GUGG ENERGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	BACON, RICHARD	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.24	80.0	0.99	0.00	0.99
10/16/2017	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	300.00	3.00	80.0	2.40	0.00	2.40
10/20/2017	ARRINGTON, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	100.00	1.00	80.0	0.80	0.00	0.80
/ /	WHITETREE, THOMAS	RYDEX GUGG PRECIOUS METALS A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG PRECIOUS METALS A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG PRECIOUS METALS A	0.00	0.17	80.0	0.14	0.00	0.14
/ /	LIVELY, ELIZABETH	RYDEX GUGG PRECIOUS METALS A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	LIVELY, DUSTIN	RYDEX GUGG PRECIOUS METALS A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUNTER, TIMOTHY	RYDEX GUGG PRECIOUS METALS A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	PUCKETT, CHERI	RYDEX GUGG PRECIOUS METALS A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HARRALD, DONALD	RYDEX GUGG PRECIOUS METALS A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG PRECIOUS METALS A	0.00	0.07	80.0	0.06	0.00	0.06

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	ROLLINS, LISA	RYDEX GUGG PRECIOUS METALS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	TREADWAY, PAMELA	RYDEX GUGG PRECIOUS METALS C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	TREADWAY, TOMMY	RYDEX GUGG PRECIOUS METALS C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	DOWNING, JOHN	RYDEX GUGG PRECIOUS METALS C	0.00	0.80	80.0	0.64	0.00	0.64
/ /	ACOSTA, JF	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MELTON, JOY	RYDEX GUGG PRECIOUS METALS C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	BENSON, KARLA	RYDEX GUGG PRECIOUS METALS C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	DESHIELDS, JAMES	RYDEX GUGG PRECIOUS METALS C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	MCFERRON, LINDA	RYDEX GUGG PRECIOUS METALS C	0.00	0.22	80.0	0.18	0.00	0.18
/ /	VOCI, ROBERTO	RYDEX GUGG PRECIOUS METALS C	0.00	0.53	80.0	0.42	0.00	0.42
/ /	WILLIAMS, JACKIE	RYDEX GUGG PRECIOUS METALS C	0.00	0.60	80.0	0.48	0.00	0.48
/ /	WRIGHT, MARK	RYDEX GUGG PRECIOUS METALS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG PRECIOUS METALS C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	ARRINGTON, NANCY	RYDEX GUGG PRECIOUS METALS C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX GUGG PRECIOUS METALS C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	WHITETREE, THOMAS	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	LIVELY, ELIZABETH	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	LIVELY, DUSTIN	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUNTER, TIMOTHY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	PUCKETT, CHERI	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HARRALD, DONALD	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	KINNEY, ERICK	RYDEX GUGGENHEIM NASDAQ-100-A	0.00	0.29	80.0	0.23	0.00	0.23
/ /	WHITETREE, THOMAS	RYDEX HEALTH CARE-A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	CASTLE, DAVID	RYDEX HEALTH CARE-A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HUFFMAN, KRISTOPHER	RYDEX HEALTH CARE-A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	LIVELY, ELIZABETH	RYDEX HEALTH CARE-A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	RYDEX HEALTH CARE-A	0.00	0.02	80.0	0.02	0.00	0.02

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	HUNTER, TIMOTHY	RYDEX HEALTH CARE-A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX HEALTH CARE-A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HARRALD, DONALD	RYDEX HEALTH CARE-A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX HEALTH CARE-A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	ROLLINS, LISA	RYDEX HEALTH CARE-C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX HEALTH CARE-C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	TREADWAY, PAMELA	RYDEX HEALTH CARE-C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	DOWNING, JOHN	RYDEX HEALTH CARE-C	0.00	0.37	80.0	0.30	0.00	0.30
/ /	ACOSTA, JF	RYDEX HEALTH CARE-C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	MELTON, JOY	RYDEX HEALTH CARE-C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	BENSON, KARLA	RYDEX HEALTH CARE-C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	DESHIELDS, JAMES	RYDEX HEALTH CARE-C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	MCFERRON, LINDA	RYDEX HEALTH CARE-C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	VOCI, ROBERTO	RYDEX HEALTH CARE-C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	WILLIAMS, JACKIE	RYDEX HEALTH CARE-C	0.00	0.27	80.0	0.22	0.00	0.22
/ /	POTTER, G	RYDEX HEALTH CARE-C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POTTER, RK	RYDEX HEALTH CARE-C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	ARRINGTON, NANCY	RYDEX HEALTH CARE-C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX HEALTH CARE-C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX HEALTH CARE-C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	BACON, RICHARD	RYDEX JAPAN 2X STRATEGY C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	WILLIAMS, JACKIE	RYDEX JAPAN 2X STRATEGY C	0.00	1.47	80.0	1.18	0.00	1.18
/ /	ROLLINS, LISA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.08	80.0	0.06	0.00	0.06
/ /	TREADWAY, PAMELA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.18	80.0	0.14	0.00	0.14
/ /	DOWNING, JOHN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	1.08	80.0	0.86	0.00	0.86
/ /	ACOSTA, JF	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.08	80.0	0.06	0.00	0.06
/ /	MELTON, JOY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.18	80.0	0.14	0.00	0.14
/ /	BENSON, KARLA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.07	80.0	0.06	0.00	0.06
/ /	DESHIELDS, JAMES	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.07	80.0	0.06	0.00	0.06
/ /	MCFERRON, LINDA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.29	80.0	0.23	0.00	0.23
/ /	VOCI, ROBERTO	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.70	80.0	0.56	0.00	0.56
/ /	WILLIAMS, JACKIE	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.74	80.0	0.59	0.00	0.59

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	WRIGHT, MARK	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.09	80.0	0.07	0.00	0.07
/ /	POTTER, RK	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.08	80.0	0.06	0.00	0.06
/ /	BACON, RICHARD	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.32	80.0	0.26	0.00	0.26
/ /	ARRINGTON, NANCY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, MEEGAN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.16	80.0	0.13	0.00	0.13
/ /	WHITETREE, THOMAS	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	CASTLE, DAVID	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HUFFMAN, KRISTOPHER	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.31	80.0	0.25	0.00	0.25
/ /	LIVELY, ELIZABETH	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.08	80.0	0.06	0.00	0.06
/ /	LIVELY, DUSTIN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HUNTER, TIMOTHY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.07	80.0	0.06	0.00	0.06
/ /	PUCKETT, CHERI	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.11	80.0	0.09	0.00	0.09
/ /	HARRALD, DONALD	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.13	80.0	0.10	0.00	0.10
* MUTUAL FUNDS SUBTOTAL *			\$4,987.25	\$862.91		\$690.31	\$22.00	\$668.31
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/20/2017	ARRINGTON, NANCY	JNL ELITE ACCESS	100.00	5.25	80.0	4.20	0.00	4.20
10/16/2017	FRANKS, MARTHA	JNL PERSPECTIVE L	12.85	0.76	80.0	0.61	0.00	0.61
10/23/2017	FRANKS, MARTHA	JNL PERSPECTIVE L	25.30	1.51	80.0	1.21	0.00	1.21
10/10/2017	STANFORD, ELAINE	PAC LIFE INNOVATIVE SELECT	0.00	75.22	80.0	60.18	0.00	60.18
10/11/2017	NEWBERN, BRENDA	PAC LIFE INNOVATIVE SELECT	0.00	5.07	80.0	4.06	0.00	4.06
10/09/2017	BRANCH, MARY	PAC LIFE PORTFOLIOS	0.00	224.44	80.0	179.55	0.00	179.55
10/09/2017	HAYES, GARY	PAC LIFE VALUE	0.00	7.41	80.0	5.93	0.00	5.93
* VARIABLE ANNUITIES SUBTOTAL *			\$138.15	\$319.66		\$255.74	\$0.00	\$255.74
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/30/2017	POMA, TAYLOR	PACIFIC LIFE FUNDS	0.00	474.61	80.0	379.69	0.00	379.69
09/30/2017	TAYLOR, PATTY	PACIFIC LIFE FUNDS	0.00	61.67	80.0	49.34	0.00	49.34
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$536.28		\$429.03	\$0.00	\$429.03

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
10/13/2017	STANFORD, DAVID	VOYA RETIREMENT & ANNUITY	0.00	1.10	80.0	0.88	0.00	0.88
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$1.10		\$0.88	\$0.00	\$0.88
		*** BROKER TRANSACTIONS TOTAL ***	\$5,125.40	\$1,874.73		\$1,499.78	\$22.00	\$1,477.78

BROKER COMMISSION TOTALS

Broker Transactions	\$1,477.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,477.78
Year-to-date Gross	\$55,776.23
Year-to-date Earnings	\$43,744.28

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed