



COMMISSION STATEMENT

July 30, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/20/2018	***-**-9501 - SHALLENBERGER, ACCOUNT #: 00086494801	AMCAP FUND-C BUY 0 Units @29.8200	6.37	0.06	0.00	0.06	80.0	0.05
07/20/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMCAP FUND-C BUY 0 Units @29.8200	11.58	0.12	0.00	0.12	80.0	0.10
07/20/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMCAP FUND-C BUY 1 Units @29.8200	35.96	0.36	0.00	0.36	80.0	0.29
07/25/2018	***-**-9501 - SHALLENBERGER, ACCOUNT #: 00086494801	AMCAP FUND-C BUY 0 Units @30.1800	13.32	0.13	0.00	0.13	80.0	0.10
07/25/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMCAP FUND-C BUY 0 Units @30.1800	11.05	0.11	0.00	0.11	80.0	0.09
07/25/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMCAP FUND-C BUY 1 Units @30.1800	34.48	0.34	0.00	0.34	80.0	0.27
07/26/2018	***-**-8208 - KARNs, SHANNON ACCOUNT #: 04000409714	AMCAP FUND-C BUY 16 Units @30.1000	480.00	4.80	0.00	4.80	80.0	3.84
07/26/2018	***-**-8208 - KARNs, SHANNON ACCOUNT #: 04000409714	AMCAP FUND-C BUY 1 Units @30.1000	15.80	0.16	0.00	0.16	80.0	0.13
07/20/2018	***-**-965P - SHAFER, PAUL ACCOUNT #: 00073549401	AMERICAN BALANCED FUND-A BUY 1 Units @28.1800	17.60	0.28	0.00	0.28	80.0	0.22
07/20/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	AMERICAN BALANCED FUND-A BUY 1 Units @28.1800	17.10	0.27	0.00	0.27	80.0	0.22
07/20/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	AMERICAN BALANCED FUND-A BUY 2 Units @28.1800	64.00	1.02	0.00	1.02	80.0	0.82
07/25/2018	***-**-965P - SHAFER, PAUL ACCOUNT #: 00073549401	AMERICAN BALANCED FUND-A BUY 1 Units @28.4100	17.00	0.27	0.00	0.27	80.0	0.22
07/25/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	AMERICAN BALANCED FUND-A BUY 1 Units @28.4100	17.10	0.27	0.00	0.27	80.0	0.22
07/25/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	AMERICAN BALANCED FUND-A BUY 2 Units @28.4100	64.00	1.02	0.00	1.02	80.0	0.82
07/20/2018	***-**-6000 - SMITH, KENNETH ACCOUNT #: 00083081099	AMERICAN BALANCED FUND-C BUY 1 Units @27.4400	17.60	0.18	0.00	0.18	80.0	0.14
07/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00086979418	AMERICAN BALANCED FUND-C BUY 2 Units @27.4400	42.50	0.43	0.00	0.43	80.0	0.34



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00086979418	AMERICAN BALANCED FUND-C BUY 1 Units @27.4400	20.00	0.20	0.00	0.20	80.0	0.16
07/20/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	AMERICAN BALANCED FUND-C BUY 1 Units @27.4400	23.00	0.23	0.00	0.23	80.0	0.18
07/20/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	AMERICAN BALANCED FUND-C BUY 3 Units @27.4400	88.86	0.89	0.00	0.89	80.0	0.71
07/23/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	AMERICAN BALANCED FUND-C BUY 1 Units @27.4400	28.05	0.28	0.00	0.28	80.0	0.22
07/23/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	AMERICAN BALANCED FUND-C BUY 0 Units @27.4400	3.63	0.04	0.00	0.04	80.0	0.03
07/23/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN BALANCED FUND-C BUY 0 Units @27.4400	1.77	0.02	0.00	0.02	80.0	0.02
07/23/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN BALANCED FUND-C BUY 0 Units @27.4400	6.00	0.06	0.00	0.06	80.0	0.05
07/23/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	AMERICAN BALANCED FUND-C BUY 1 Units @27.4400	16.00	0.16	0.00	0.16	80.0	0.13
07/23/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	AMERICAN BALANCED FUND-C BUY 0 Units @27.4400	4.58	0.05	0.00	0.05	80.0	0.04
07/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @27.5000	40.00	0.40	0.00	0.40	80.0	0.32
07/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.5000	40.80	0.41	0.00	0.41	80.0	0.33
07/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.5000	16.32	0.16	0.00	0.16	80.0	0.13
07/24/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	AMERICAN BALANCED FUND-C BUY 1 Units @27.5000	15.25	0.15	0.00	0.15	80.0	0.12
07/25/2018	***-**-6000 - SMITH, KENNETH ACCOUNT #: 00083081099	AMERICAN BALANCED FUND-C BUY 1 Units @27.6500	17.60	0.18	0.00	0.18	80.0	0.14
07/25/2018	***-**-398I - GUERRIERI, PAUL ACCOUNT #: 00086040659	AMERICAN BALANCED FUND-C BUY 4 Units @27.6500	100.00	1.00	0.00	1.00	80.0	0.80
07/25/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00086979418	AMERICAN BALANCED FUND-C BUY 2 Units @27.6500	42.50	0.43	0.00	0.43	80.0	0.34



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/25/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00086979418	AMERICAN BALANCED FUND-C BUY 1 Units @27.6500	20.00	0.20	0.00	0.20	80.0	0.16
07/25/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	AMERICAN BALANCED FUND-C BUY 1 Units @27.6500	23.00	0.23	0.00	0.23	80.0	0.18
07/25/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	AMERICAN BALANCED FUND-C BUY 3 Units @27.6500	86.33	0.86	0.00	0.86	80.0	0.69
07/25/2018	***-**-8208 - KARNS, SHANNON ACCOUNT #: 04000886858	AMERICAN BALANCED FUND-C BUY 36 Units @27.6500	1,000.00	10.00	0.00	10.00	80.0	8.00
07/26/2018	***-**-5838 - SPOLETA, BRENDA ACCOUNT #: 00087435959	AMERICAN BALANCED FUND-C BUY 2 Units @27.6200	50.00	0.50	0.00	0.50	80.0	0.40
07/26/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	AMERICAN BALANCED FUND-C BUY 4 Units @27.6200	120.00	1.20	0.00	1.20	80.0	0.96
07/23/2018	***-**-4478 - YACONO, JOSEPH ACCOUNT #: 04000820464	AMERICAN FUNDS 2055 TARGET DATE-C BUY 2 Units @19.2700	47.76	0.48	0.00	0.48	80.0	0.38
07/23/2018	***-**-4478 - YACONO, JOSEPH ACCOUNT #: 04000820464	AMERICAN FUNDS 2055 TARGET DATE-C BUY 0 Units @19.2700	7.96	0.08	0.00	0.08	80.0	0.06
07/20/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMERICAN GLOBAL BALANCED CL C BUY 0 Units @32.2200	9.92	0.10	0.00	0.10	80.0	0.08
07/20/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @32.2200	30.83	0.31	0.00	0.31	80.0	0.25
07/25/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMERICAN GLOBAL BALANCED CL C BUY 0 Units @32.5200	9.48	0.09	0.00	0.09	80.0	0.07
07/25/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @32.5200	29.56	0.30	0.00	0.30	80.0	0.24
07/26/2018	***-**-5838 - SPOLETA, BRENDA ACCOUNT #: 00088037501	AMERICAN GLOBAL BALANCED CL C BUY 3 Units @32.4500	100.00	1.00	0.00	1.00	80.0	0.80
07/26/2018	***-**-5838 - SPOLETA, BRENDA ACCOUNT #: 00087435959	AMERICAN HIGH INCOME MUNI BOND CLAS BUY 3 Units @15.7900	50.00	0.50	0.00	0.50	80.0	0.40
07/23/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @10.1900	4.55	0.05	0.00	0.05	80.0	0.04
07/23/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 10 Units @10.1900	105.00	1.05	0.00	1.05	80.0	0.84



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/26/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN HIGH-INCOME TRUST-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087435959	BUY 5 Units @10.2100						
07/20/2018	***-**-511I - ROOD, SCOTT	AMERICAN MUTUAL FUND-C	11.58	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00088607840	BUY 0 Units @40.8800						
07/20/2018	***-**-511I - ROOD, SCOTT	AMERICAN MUTUAL FUND-C	35.97	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00088607840	BUY 1 Units @40.8800						
07/23/2018	***-**-895P - ARBORE,	AMERICAN MUTUAL FUND-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00081632761	BUY 2 Units @40.9100						
07/23/2018	***-**-23UG - ARBORE,	AMERICAN MUTUAL FUND-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00084503705	BUY 2 Units @40.9100						
07/23/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00085904181	BUY 0 Units @40.9100						
07/23/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	1.47	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904181	BUY 0 Units @40.9100						
07/23/2018	***-**-482I - MARCONI, TODD	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @40.9100						
07/25/2018	***-**-511I - ROOD, SCOTT	AMERICAN MUTUAL FUND-C	11.05	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00088607840	BUY 0 Units @41.3300						
07/25/2018	***-**-511I - ROOD, SCOTT	AMERICAN MUTUAL FUND-C	34.48	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 00088607840	BUY 1 Units @41.3300						
07/26/2018	***-**-6781 - KARNS, DANIELLE	AMERICAN MUTUAL FUND-C	8.44	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04000409710	BUY 0 Units @41.4500						
07/26/2018	***-**-6781 - KARNS, DANIELLE	AMERICAN MUTUAL FUND-C	63.36	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 04000409710	BUY 2 Units @41.4500						
07/20/2018	***-**-7171 - GIUDICE, JUSTIN	CAPITAL INCOME BUILDER CLASS C	16.09	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00086494794	BUY 0 Units @60.6500						
07/20/2018	***-**-7171 - GIUDICE, JUSTIN	CAPITAL INCOME BUILDER CLASS C	46.20	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 00086494794	BUY 1 Units @60.6500						
07/20/2018	***-**-0962 - TRETYAK,	CAPITAL INCOME BUILDER CLASS C	23.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 00089399972	BUY 0 Units @60.6500						
07/20/2018	***-**-0962 - TRETYAK,	CAPITAL INCOME BUILDER CLASS C	88.86	0.89	0.00	0.89	80.0	0.71
	ACCOUNT #: 00089399972	BUY 1 Units @60.6500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/23/2018	***-**-9611 - MACDUFFIE, LINDA	CAPITAL INCOME BUILDER CLASS C	300.00	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: 00087299599	BUY 5 Units @60.5600						
07/25/2018	***-**-7171 - GIUDICE, JUSTIN	CAPITAL INCOME BUILDER CLASS C	16.25	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00086494794	BUY 0 Units @61.0800						
07/25/2018	***-**-7171 - GIUDICE, JUSTIN	CAPITAL INCOME BUILDER CLASS C	46.20	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 00086494794	BUY 1 Units @61.0800						
07/25/2018	***-**-0962 - TRETYAK,	CAPITAL INCOME BUILDER CLASS C	23.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 00089399972	BUY 0 Units @61.0800						
07/25/2018	***-**-0962 - TRETYAK,	CAPITAL INCOME BUILDER CLASS C	86.33	0.86	0.00	0.86	80.0	0.69
	ACCOUNT #: 00089399972	BUY 1 Units @61.0800						
07/26/2018	***-**-5838 - SPOLETA, BRENDA	CAPITAL INCOME BUILDER CLASS C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00088037501	BUY 2 Units @61.0300						
07/20/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL INCOME BUILDER-A	17.10	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00073550085	BUY 0 Units @61.8200						
07/20/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL INCOME BUILDER-A	64.00	1.02	0.00	1.02	80.0	0.82
	ACCOUNT #: 00073550085	BUY 1 Units @61.8200						
07/25/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL INCOME BUILDER-A	17.10	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00073550085	BUY 0 Units @62.2700						
07/25/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL INCOME BUILDER-A	64.00	1.02	0.00	1.02	80.0	0.82
	ACCOUNT #: 00073550085	BUY 1 Units @62.2700						
07/25/2018	***-**-398I - GUERRIERI, PAUL	CAPITAL WORLD BOND FUND-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00086040659	BUY 5 Units @19.1700						
07/20/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL WORLD GROWTH AND INCOME FUN	17.10	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00073550085	BUY 0 Units @52.4800						
07/20/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL WORLD GROWTH AND INCOME FUN	64.00	1.02	0.00	1.02	80.0	0.82
	ACCOUNT #: 00073550085	BUY 1 Units @52.4800						
07/20/2018	***-**-4036 - AMES, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	24.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 00083414092	BUY 0 Units @50.8600						
07/20/2018	***-**-4036 - AMES, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	32.00	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: 00083414092	BUY 1 Units @50.8600						
07/20/2018	***-**-4926 - HAUN, GARY	CAPITAL WORLD GROWTH AND INCOME FUN	21.15	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 04000492822	BUY 0 Units @50.8600						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/20/2018	***-**-4926 - HAUN, GARY	CAPITAL WORLD GROWTH AND INCOME FUN	84.60	0.85	0.00	0.85	80.0	0.68
	ACCOUNT #: 04000492822	BUY 2 Units @50.8600						
07/23/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	96.40	0.96	0.00	0.96	80.0	0.77
	ACCOUNT #: 00087038420	BUY 2 Units @50.7600						
07/23/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	3.60	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00087038420	BUY 0 Units @50.7600						
07/24/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089045192	BUY 1 Units @51.0900						
07/25/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL WORLD GROWTH AND INCOME FUN	17.10	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00073550085	BUY 0 Units @53.1400						
07/25/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL WORLD GROWTH AND INCOME FUN	64.00	1.02	0.00	1.02	80.0	0.82
	ACCOUNT #: 00073550085	BUY 1 Units @53.1400						
07/25/2018	***-**-4036 - AMES, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	24.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 00083414092	BUY 0 Units @51.4900						
07/25/2018	***-**-4036 - AMES, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	32.00	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: 00083414092	BUY 1 Units @51.4900						
07/25/2018	***-**-4926 - HAUN, GARY	CAPITAL WORLD GROWTH AND INCOME FUN	21.15	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 04000492822	BUY 0 Units @51.4900						
07/25/2018	***-**-4926 - HAUN, GARY	CAPITAL WORLD GROWTH AND INCOME FUN	84.60	0.85	0.00	0.85	80.0	0.68
	ACCOUNT #: 04000492822	BUY 2 Units @51.4900						
07/26/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @51.3800						
07/20/2018	***-**-925P - BURNS, JASON	FUNDAMENTAL INVESTORS-A	22.28	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00073550035	BUY 0 Units @65.5100						
07/20/2018	***-**-925P - BURNS, JASON	FUNDAMENTAL INVESTORS-A	132.11	2.11	0.00	2.11	80.0	1.69
	ACCOUNT #: 00073550035	BUY 2 Units @65.5100						
07/25/2018	***-**-925P - BURNS, JASON	FUNDAMENTAL INVESTORS-A	22.28	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00073550035	BUY 0 Units @66.6100						
07/25/2018	***-**-925P - BURNS, JASON	FUNDAMENTAL INVESTORS-A	107.91	1.73	0.00	1.73	80.0	1.38
	ACCOUNT #: 00073550035	BUY 2 Units @66.6100						
07/20/2018	***-**-7171 - GIUDICE, JUSTIN	FUNDAMENTAL INVESTORS-C	16.09	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00086494794	BUY 0 Units @63.8300						



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Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/20/2018	***-**-7171 - GIUDICE, JUSTIN	FUNDAMENTAL INVESTORS-C	46.20	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 00086494794	BUY 1 Units @63.8300						
07/23/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	2.40	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @63.9300						
07/23/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	9.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00085902226	BUY 0 Units @63.9300						
07/23/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00085904203	BUY 0 Units @63.9300						
07/23/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	2.25	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @63.9300						
07/23/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @63.9300						
07/24/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @64.2100						
07/24/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	8.16	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @64.2100						
07/25/2018	***-**-615P - LE, TU	FUNDAMENTAL INVESTORS-C	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00075107985	BUY 2 Units @64.9000						
07/25/2018	***-**-7171 - GIUDICE, JUSTIN	FUNDAMENTAL INVESTORS-C	16.24	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00086494794	BUY 0 Units @64.9000						
07/25/2018	***-**-7171 - GIUDICE, JUSTIN	FUNDAMENTAL INVESTORS-C	46.20	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 00086494794	BUY 1 Units @64.9000						
07/26/2018	***-**-6171 - ROWE, KEVIN	FUNDAMENTAL INVESTORS-C	53.00	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 04000409801	BUY 1 Units @64.5600						
07/26/2018	***-**-6171 - ROWE, KEVIN	FUNDAMENTAL INVESTORS-C	480.00	4.80	0.00	4.80	80.0	3.84
	ACCOUNT #: 04000409801	BUY 7 Units @64.5600						
07/23/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	1.95	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087236607	BUY 0 Units @22.7500						
07/23/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00087236607	BUY 2 Units @22.7500						
07/23/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00085902249	BUY 1 Units @40.7900						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/23/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	3.74	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @40.7900						
07/23/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @40.7900						
07/23/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	1.15	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904210	BUY 0 Units @40.7900						
07/23/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089563161	BUY 0 Units @40.7900						
07/24/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	14.80	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089583477	BUY 0 Units @41.0500						
07/26/2018	***-**-3727 - D'ANGELO, ANDREW	INVESTMENT COMPANY OF AMERICA CLASS	13.00	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 04000409699	BUY 0 Units @41.2700						
07/26/2018	***-**-3727 - D'ANGELO, ANDREW	INVESTMENT COMPANY OF AMERICA CLASS	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 04000409699	BUY 0 Units @41.2700						
07/26/2018	***-**-6781 - KARNS, DANIELLE	INVESTMENT COMPANY OF AMERICA CLASS	8.45	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04000409710	BUY 0 Units @41.2700						
07/26/2018	***-**-6781 - KARNS, DANIELLE	INVESTMENT COMPANY OF AMERICA CLASS	63.37	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 04000409710	BUY 2 Units @41.2700						
07/20/2018	***-**-955P - ROSE, JUSTIN	NEW PERSPECTIVE FUND-A	20.11	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: 00073550044	BUY 0 Units @47.4000						
07/20/2018	***-**-955P - ROSE, JUSTIN	NEW PERSPECTIVE FUND-A	150.00	2.40	0.00	2.40	80.0	1.92
	ACCOUNT #: 00073550044	BUY 3 Units @47.4000						
07/25/2018	***-**-955P - ROSE, JUSTIN	NEW PERSPECTIVE FUND-A	20.10	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: 00073550044	BUY 0 Units @48.0500						
07/25/2018	***-**-955P - ROSE, JUSTIN	NEW PERSPECTIVE FUND-A	150.00	2.40	0.00	2.40	80.0	1.92
	ACCOUNT #: 00073550044	BUY 3 Units @48.0500						
07/20/2018	***-**-6000 - SMITH, KENNETH	NEW PERSPECTIVE FUND-C	8.80	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00083081099	BUY 0 Units @44.4500						
07/20/2018	***-**-4036 - AMES, JOHN	NEW PERSPECTIVE FUND-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00083414092	BUY 0 Units @44.4500						
07/20/2018	***-**-4036 - AMES, JOHN	NEW PERSPECTIVE FUND-C	24.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 00083414092	BUY 1 Units @44.4500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/23/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @44.3200						
07/23/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @44.3200						
07/23/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @44.3200						
07/23/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @44.3200						
07/23/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @44.3200						
07/23/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	2.62	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @44.3200						
07/24/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @44.5300						
07/24/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	8.15	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @44.5300						
07/25/2018	***-**-6000 - SMITH, KENNETH	NEW PERSPECTIVE FUND-C	8.80	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00083081099	BUY 0 Units @45.0500						
07/25/2018	***-**-4036 - AMES, JOHN	NEW PERSPECTIVE FUND-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00083414092	BUY 0 Units @45.0500						
07/25/2018	***-**-4036 - AMES, JOHN	NEW PERSPECTIVE FUND-C	24.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 00083414092	BUY 1 Units @45.0500						
07/26/2018	***-**-1955 - MARCELLUS, JAMES	NEW PERSPECTIVE FUND-C	23.08	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 04000409718	BUY 1 Units @44.6600						
07/26/2018	***-**-1955 - MARCELLUS, JAMES	NEW PERSPECTIVE FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000409718	BUY 1 Units @44.6600						
07/26/2018	***-**-9004 - MARCELLUS,	NEW PERSPECTIVE FUND-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 04000409785	BUY 2 Units @44.6600						
07/26/2018	***-**-9004 - MARCELLUS,	NEW PERSPECTIVE FUND-C	17.30	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 04000409785	BUY 0 Units @44.6600						
07/20/2018	***-**-4036 - AMES, JOHN	NEW WORLD FUND-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00083414092	BUY 0 Units @63.7300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/20/2018	***-**-4036 - AMES, JOHN	NEW WORLD FUND-C	24.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 00083414092	BUY 0 Units @63.7300						
07/23/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @63.5500						
07/23/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @63.5500						
07/23/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @63.5500						
07/23/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	2.62	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @63.5500						
07/23/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @63.5500						
07/23/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @63.5500						
07/25/2018	***-**-4036 - AMES, JOHN	NEW WORLD FUND-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00083414092	BUY 0 Units @64.6700						
07/25/2018	***-**-4036 - AMES, JOHN	NEW WORLD FUND-C	24.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 00083414092	BUY 0 Units @64.6700						
07/25/2018	***-**-398I - GUERRIERI, PAUL	NEW WORLD FUND-C	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00086040659	BUY 2 Units @64.6700						
07/20/2018	***-**-925P - BURNS, JASON	SMALLCAP WORLD FUND-A	22.28	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00073550035	BUY 0 Units @61.1400						
07/20/2018	***-**-925P - BURNS, JASON	SMALLCAP WORLD FUND-A	132.11	2.11	0.00	2.11	80.0	1.69
	ACCOUNT #: 00073550035	BUY 2 Units @61.1400						
07/25/2018	***-**-925P - BURNS, JASON	SMALLCAP WORLD FUND-A	22.27	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00073550035	BUY 0 Units @61.1600						
07/25/2018	***-**-925P - BURNS, JASON	SMALLCAP WORLD FUND-A	107.91	1.73	0.00	1.73	80.0	1.38
	ACCOUNT #: 00073550035	BUY 2 Units @61.1600						
07/23/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @52.4100						
07/23/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @52.4100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @52.1300	20.40	0.20	0.00	0.20	80.0	0.16
07/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @52.1300	8.16	0.08	0.00	0.08	80.0	0.06
07/20/2018	***-**-7171 - GIUDICE, JUSTIN ACCOUNT #: 00086494794	THE BOND FUND OF AMERICA-C BUY 1 Units @12.5600	16.57	0.17	0.00	0.17	80.0	0.14
07/20/2018	***-**-7171 - GIUDICE, JUSTIN ACCOUNT #: 00086494794	THE BOND FUND OF AMERICA-C BUY 4 Units @12.5600	47.60	0.48	0.00	0.48	80.0	0.38
07/23/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 2 Units @12.5100	28.05	0.28	0.00	0.28	80.0	0.22
07/23/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5100	3.63	0.04	0.00	0.04	80.0	0.03
07/23/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 1 Units @12.5100	9.00	0.09	0.00	0.09	80.0	0.07
07/23/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5100	2.66	0.03	0.00	0.03	80.0	0.02
07/25/2018	***-**-7171 - GIUDICE, JUSTIN ACCOUNT #: 00086494794	THE BOND FUND OF AMERICA-C BUY 1 Units @12.5200	16.73	0.17	0.00	0.17	80.0	0.14
07/25/2018	***-**-7171 - GIUDICE, JUSTIN ACCOUNT #: 00086494794	THE BOND FUND OF AMERICA-C BUY 4 Units @12.5200	47.60	0.48	0.00	0.48	80.0	0.38
07/26/2018	***-**-5838 - SPOLETA, BRENDA ACCOUNT #: 00088037501	THE BOND FUND OF AMERICA-C BUY 8 Units @12.5100	100.00	1.00	0.00	1.00	80.0	0.80
07/20/2018	***-**-965P - SHAFER, PAUL ACCOUNT #: 00073549401	THE GROWTH FUND OF AMERICA-A BUY 0 Units @56.5500	17.60	0.28	0.00	0.28	80.0	0.22
07/20/2018	***-**-925P - BURNS, JASON ACCOUNT #: 00073550035	THE GROWTH FUND OF AMERICA-A BUY 0 Units @56.5500	22.94	0.37	0.00	0.37	80.0	0.30
07/20/2018	***-**-925P - BURNS, JASON ACCOUNT #: 00073550035	THE GROWTH FUND OF AMERICA-A BUY 2 Units @56.5500	136.10	2.18	0.00	2.18	80.0	1.74
07/20/2018	***-**-955P - ROSE, JUSTIN ACCOUNT #: 00073550044	THE GROWTH FUND OF AMERICA-A BUY 0 Units @56.5500	20.10	0.32	0.00	0.32	80.0	0.26
07/20/2018	***-**-955P - ROSE, JUSTIN ACCOUNT #: 00073550044	THE GROWTH FUND OF AMERICA-A BUY 3 Units @56.5500	150.00	2.40	0.00	2.40	80.0	1.92



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/20/2018	***-**-625P - BORRELLI, LOUIS	THE GROWTH FUND OF AMERICA-A	34.20	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00073550085	BUY 1 Units @56.5500						
07/20/2018	***-**-625P - BORRELLI, LOUIS	THE GROWTH FUND OF AMERICA-A	128.00	2.05	0.00	2.05	80.0	1.64
	ACCOUNT #: 00073550085	BUY 2 Units @56.5500						
07/25/2018	***-**-965P - SHAFER, PAUL	THE GROWTH FUND OF AMERICA-A	17.00	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00073549401	BUY 0 Units @57.4300						
07/25/2018	***-**-925P - BURNS, JASON	THE GROWTH FUND OF AMERICA-A	22.95	0.37	0.00	0.37	80.0	0.30
	ACCOUNT #: 00073550035	BUY 0 Units @57.4300						
07/25/2018	***-**-925P - BURNS, JASON	THE GROWTH FUND OF AMERICA-A	111.18	1.78	0.00	1.78	80.0	1.42
	ACCOUNT #: 00073550035	BUY 2 Units @57.4300						
07/25/2018	***-**-955P - ROSE, JUSTIN	THE GROWTH FUND OF AMERICA-A	20.11	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: 00073550044	BUY 0 Units @57.4300						
07/25/2018	***-**-955P - ROSE, JUSTIN	THE GROWTH FUND OF AMERICA-A	150.00	2.40	0.00	2.40	80.0	1.92
	ACCOUNT #: 00073550044	BUY 3 Units @57.4300						
07/25/2018	***-**-625P - BORRELLI, LOUIS	THE GROWTH FUND OF AMERICA-A	34.20	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00073550085	BUY 1 Units @57.4300						
07/25/2018	***-**-625P - BORRELLI, LOUIS	THE GROWTH FUND OF AMERICA-A	128.00	2.05	0.00	2.05	80.0	1.64
	ACCOUNT #: 00073550085	BUY 2 Units @57.4300						
07/20/2018	***-**-6000 - SMITH, KENNETH	THE GROWTH FUND OF AMERICA-C	8.80	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00083081099	BUY 0 Units @51.1800						
07/20/2018	***-**-9501 - SHALLENBERGER,	THE GROWTH FUND OF AMERICA-C	6.37	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00086494801	BUY 0 Units @51.1800						
07/20/2018	***-**-4926 - HAUN, GARY	THE GROWTH FUND OF AMERICA-C	28.20	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 04000492822	BUY 1 Units @51.1800						
07/20/2018	***-**-4926 - HAUN, GARY	THE GROWTH FUND OF AMERICA-C	112.80	1.13	0.00	1.13	80.0	0.90
	ACCOUNT #: 04000492822	BUY 2 Units @51.1800						
07/23/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @51.2300						
07/23/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @51.2300						
07/23/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @51.2300						



COMMISSION STATEMENT

July 30, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/23/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.2300	1.80	0.02	0.00	0.02	80.0	0.02
07/23/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.2300	10.00	0.10	0.00	0.10	80.0	0.08
07/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 1 Units @51.3700	30.00	0.30	0.00	0.30	80.0	0.24
07/24/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.3700	14.80	0.15	0.00	0.15	80.0	0.12
07/25/2018	***-**-6000 - SMITH, KENNETH ACCOUNT #: 00083081099	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.9700	8.80	0.09	0.00	0.09	80.0	0.07
07/25/2018	***-**-9501 - SHALLENBERGER, ACCOUNT #: 00086494801	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.9700	13.32	0.13	0.00	0.13	80.0	0.10
07/25/2018	***-**-7186 - VELLA, DOMENICO ACCOUNT #: 04000248474	THE GROWTH FUND OF AMERICA-C BUY 1 Units @51.9700	50.00	0.50	0.00	0.50	80.0	0.40
07/25/2018	***-**-2678 - VELLA, VINCENZO ACCOUNT #: 04000248491	THE GROWTH FUND OF AMERICA-C BUY 1 Units @51.9700	50.00	0.50	0.00	0.50	80.0	0.40
07/25/2018	***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822	THE GROWTH FUND OF AMERICA-C BUY 1 Units @51.9700	28.20	0.28	0.00	0.28	80.0	0.22
07/25/2018	***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822	THE GROWTH FUND OF AMERICA-C BUY 2 Units @51.9700	112.80	1.13	0.00	1.13	80.0	0.90
07/26/2018	***-**-6375 - MARCELLUS, ACCOUNT #: 04000598159	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.5500	24.00	0.24	0.00	0.24	80.0	0.19
07/26/2018	***-**-6375 - MARCELLUS, ACCOUNT #: 04000598159	THE GROWTH FUND OF AMERICA-C BUY 2 Units @51.5500	100.00	1.00	0.00	1.00	80.0	0.80
07/26/2018	***-**-3727 - D'ANGELO, ANDREW ACCOUNT #: 04000409699	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.5500	13.00	0.13	0.00	0.13	80.0	0.10
07/26/2018	***-**-3727 - D'ANGELO, ANDREW ACCOUNT #: 04000409699	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.5500	20.00	0.20	0.00	0.20	80.0	0.16
07/26/2018	***-**-8208 - KARNS, SHANNON ACCOUNT #: 04000409714	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.5500	15.80	0.16	0.00	0.16	80.0	0.13
07/26/2018	***-**-8208 - KARNS, SHANNON ACCOUNT #: 04000409714	THE GROWTH FUND OF AMERICA-C BUY 9 Units @51.5500	480.00	4.80	0.00	4.80	80.0	3.84



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/26/2018	***-**-1955 - MARCELLUS, JAMES	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000409718	BUY 1 Units @51.5500						
07/26/2018	***-**-1955 - MARCELLUS, JAMES	THE GROWTH FUND OF AMERICA-C	23.08	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 04000409718	BUY 0 Units @51.5500						
07/26/2018	***-**-9004 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 04000409785	BUY 2 Units @51.5500						
07/26/2018	***-**-9004 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	17.30	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 04000409785	BUY 0 Units @51.5500						
07/26/2018	***-**-6171 - ROWE, KEVIN	THE GROWTH FUND OF AMERICA-C	53.00	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 04000409801	BUY 1 Units @51.5500						
07/26/2018	***-**-6171 - ROWE, KEVIN	THE GROWTH FUND OF AMERICA-C	480.00	4.80	0.00	4.80	80.0	3.84
	ACCOUNT #: 04000409801	BUY 9 Units @51.5500						
07/27/2018	***-**-3572 - GIANGRECO,	THE GROWTH FUND OF AMERICA-C	35,000.00	350.00	0.00	350.00	80.0	280.00
	ACCOUNT #: 00087777483	BUY 685 Units @51.1000						
07/27/2018	***-**-1439 - GIANGRECO,	THE GROWTH FUND OF AMERICA-C	35,000.00	350.00	0.00	350.00	80.0	280.00
	ACCOUNT #: 00087777516	BUY 685 Units @51.1000						
07/20/2018	***-**-4926 - HAUN, GARY	WASHINGTON MUTUAL INVESTORS FUND-C	21.15	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 04000492822	BUY 0 Units @45.0100						
07/20/2018	***-**-4926 - HAUN, GARY	WASHINGTON MUTUAL INVESTORS FUND-C	84.60	0.85	0.00	0.85	80.0	0.68
	ACCOUNT #: 04000492822	BUY 2 Units @45.0100						
07/23/2018	***-**-482I - MARCONI, TODD	WASHINGTON MUTUAL INVESTORS FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @45.1000						
07/25/2018	***-**-398I - GUERRIERI, PAUL	WASHINGTON MUTUAL INVESTORS FUND-C	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00086040659	BUY 3 Units @45.5600						
07/25/2018	***-**-4926 - HAUN, GARY	WASHINGTON MUTUAL INVESTORS FUND-C	21.15	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 04000492822	BUY 0 Units @45.5600						
07/25/2018	***-**-4926 - HAUN, GARY	WASHINGTON MUTUAL INVESTORS FUND-C	84.60	0.85	0.00	0.85	80.0	0.68
	ACCOUNT #: 04000492822	BUY 2 Units @45.5600						
07/26/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @45.5000						
* MUTUAL FUNDS SUBTOTAL *			\$81,448.97	\$829.04	\$0.00	\$829.04		\$663.18



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: RIA								
07/30/2018	***-**-90FB - DIODATO, CAROL	SYMMETRY RIA	369,279.70	923.20	0.00	923.20	80.0	738.56
	ACCOUNT #: 525805	BUY 0 Units @36.9279						
07/30/2018	***-**-44FB - PIAZZA, ANNIE	SYMMETRY RIA	19,373.85	48.44	0.00	48.44	80.0	38.75
	ACCOUNT #: 528418	BUY 0 Units @1.9373						
07/30/2018	***-**-22FB - WAGNER, LAUREL	SYMMETRY RIA	167,957.59	419.90	0.00	419.90	80.0	335.92
	ACCOUNT #: 544373	BUY 0 Units @16.7957						
07/30/2018	***-**-58IR - HINDERLAND, GARY	SYMMETRY RIA	55,191.61	137.98	0.00	137.98	80.0	110.38
	ACCOUNT #: 553934	BUY 0 Units @5.5191						
* RIA SUBTOTAL *			\$611,802.75	\$1,529.52	\$0.00	\$1,529.52		\$1,223.61
PRODUCT CATEGORY: 529 PLAN								
07/13/2018	***-**-6793 - ESTEP, ARDEN	NYA JPM 529 AGGR AGE-BASE C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 77375699601	BUY 0 Units @0.0000						
07/16/2018	***-**-3758 - CONNORS, DAVID	NYA JPM 529 AGGR AGE-BASE C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 77162702001	BUY 0 Units @0.0000						
07/13/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 COLLEGE PORT - C	45.71	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
07/13/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 COLLEGE PORT - C	45.71	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
07/20/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 COLLEGE PORT - C	45.71	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
07/13/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 CONSV GROWTH C	47.10	0.47	0.00	0.47	80.0	0.38
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
07/20/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 CONSV GROWTH C	47.10	0.47	0.00	0.47	80.0	0.38
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
07/13/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	45.71	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
07/20/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	45.71	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
07/16/2018	***-**-275P - ROBERTS,	NYA JPM 529 MOD GROWTH POR C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 77891325801	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$422.75	\$4.24	\$0.00	\$4.24		\$3.41



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/30/2018	***-**-526I - CAVALLARO,	CARILLON FAMILY FUNDS TRAILS BUY 0 Units @0.0000	0.00	8.57	0.00	8.57	80.0	6.86
07/30/2018	***-**-526I - CAVALLARO,	CARILLON FAMILY FUNDS TRAILS BUY 0 Units @0.0000	0.00	2.56	0.00	2.56	80.0	2.05
07/30/2018	***-**-526I - CAVALLARO,	CARILLON FAMILY FUNDS TRAILS BUY 0 Units @0.0000	0.00	2.32	0.00	2.32	80.0	1.86
07/31/2018	***-**-63IR - DIAMOND, ANN ACCOUNT #: LSR011725	FEDERATED STRATEGIC INCOME FUND CLA BUY 1 Units @0.0000	0.00	0.62	0.00	0.62	80.0	0.50
07/31/2018	***-**-44IR - ROBERTSON, ACCOUNT #: LSR010558	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
07/31/2018	***-**-92IR - CHEN, CYNTHIA ACCOUNT #: LSR011412	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	1.88	0.00	1.88	80.0	1.50
07/31/2018	***-**-63IR - DIAMOND, ANN ACCOUNT #: LSR011725	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	0.26	0.00	0.26	80.0	0.21
07/31/2018	***-**-20IN - GIANGRECO, TERRY ACCOUNT #: LSR010603	ROCH. LIMITED TERM NEW YORK MUNICI BUY 1 Units @0.0000	0.00	102.00	0.00	102.00	80.0	81.60
07/31/2018	***-**-92IR - CHEN, CYNTHIA ACCOUNT #: LSR011412	SELECT VALUE FUND A BUY 1 Units @0.0000	0.00	1.25	0.00	1.25	80.0	1.00
07/30/2018	***-**-69IN - BUCK, LESLIE	TOUCHSTONE FUNDS TRAILS BUY 0 Units @0.0000	0.00	3.24	0.00	3.24	80.0	2.59
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$122.70	\$0.00	\$122.70		\$98.17
*** BROKER TRANSACTIONS TOTAL ***			\$693,674.47	\$2,485.50	\$0.00	\$2,485.50		\$1,988.37



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$1,988.37
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,988.37
						Year-to-date Earnings		\$142,967.90