



Concorde Investment Services, LLC

12/28/2017

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COMMISSION STATEMENT**December 31, 2017**

BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-DONAIS

BROKER #: BBJ

BRANCH: TRUWEALTH FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^12/22/2017	KASMER, SARA	COTTONWOOD MULTIFAMILY REIT II, INC	35,000.00	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$35,000.00	\$0.00	\$0.00	\$0.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$35,000.00	\$0.00	\$0.00	\$0.00		\$0.00

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: NON-TRADED BDC</u>								
^12/11/2017	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	34.54	0.00	34.54	100.0	0.00
* NON-TRADED BDC SUBTOTAL *			\$0.00	\$34.54	\$0.00	\$34.54		\$0.00

<u>PRODUCT CATEGORY: 529 PLANS</u>								
^12/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.50	0.00	0.50	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.50	0.00	0.50	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.50	0.00	0.50	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.50	0.00	0.50	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.50	0.00	0.50	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.17	0.00	0.17	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.17	0.00	0.17	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.17	0.00	0.17	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.70	0.00	0.70	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.70	0.00	0.70	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.70	0.00	0.70	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.70	0.00	0.70	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.17	0.00	0.17	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS ICA 529C	17.00	0.17	0.00	0.17	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.17	0.00	0.17	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
^12/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.72	0.00	0.72	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.72	0.00	0.72	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.72	0.00	0.72	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.72	0.00	0.72	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.72	0.00	0.72	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.17	0.00	0.17	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	16.50	0.17	0.00	0.17	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.17	0.00	0.17	100.0	0.00
^12/20/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	50.00	2.13	0.00	2.13	100.0	0.00
^12/20/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	50.00	2.13	0.00	2.13	100.0	0.00
* 529 PLANS SUBTOTAL *			\$500.00	\$15.39	\$0.00	\$15.39		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$500.00	\$49.93	\$0.00	\$49.93		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed