



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 31, 2017

07/27/2017
 Page No. 1

BARRY LEMAY
 2242 Camden Ave
 Suite 102
 San Jose, CA 95124

BROKER #: L122
BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
06/30/2017	GARCIA, AL	GRIFFIN AMERICAN HEALTHCARE REIT IV	0.00	24.93	0.00	24.93	90.0	22.44
		* REIT SUBTOTAL *	\$0.00	\$24.93	\$0.00	\$24.93		\$22.44
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/26/2017	HAYTON, KEN	INVESCO HIGH YIELD MUNICIPAL A	2,632.50	105.30	22.00	83.30	90.0	74.97
		* MUTUAL FUNDS SUBTOTAL *	\$2,632.50	\$105.30	\$22.00	\$83.30		\$74.97
<u>PRODUCT CATEGORY: STOCKS</u>								
07/26/2017	HAYTON, KEN	***NXP SEMICONDUCTORS	-10,992.01	109.92	22.50	87.42	90.0	78.68
07/26/2017	HAYTON, KEN	CISCO SYSTEMS INC	6,315.70	63.16	22.50	40.66	90.0	36.59
07/20/2017	AQUILINA, GARY	MICRON TECHNOLOGY INC	3,197.66	31.98	22.50	9.48	90.0	8.53
07/26/2017	HAYTON, KEN	MITEK SYS INC COM NEW	2,643.75	26.44	22.50	3.94	90.0	3.55
07/20/2017	AQUILINA, GARY	NETFLIX INC COM	18,331.64	183.32	22.50	160.82	90.0	144.74
		* STOCKS SUBTOTAL *	\$19,496.74	\$414.82	\$112.50	\$302.32		\$272.09
		*** BROKER TRANSACTIONS TOTAL ***	\$22,129.24	\$545.05	\$134.50	\$410.55		\$369.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$369.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$369.50
Year-to-date Gross	\$140,873.21
Year-to-date Earnings	\$126,299.00