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STATEMENT April 20, 2018

MICHAEL WISE

DLIBERTY PARTNERS

BROKER #: 279

BRANCH: LA BRANCH

, SC 29464-

COMMISSION STATEMENT for MICHAEL WISE

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION RATE	BROKER COMMISSION
	BROKER I	RANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS								
02/28/2018 #00089299903 BYRNE-STEE	AMERICAN BALANCED FUND INC CL C	В	59.10	0.59	0.00	0.00	0.59 91.0	0.54
02/28/2018 #00089299903 BYRNE-STEE	AMERICAN BALANCED FUND INC CL C	В	59.10	0.59	0.00	0.00	0.59 91.0	0.54
02/28/2018 #00089098820 ROPER, LEIGH	BOND FUND AMER INC CL C	В	7.15	0.07	0.00	0.00	0.07 91.0	0.06
02/28/2018 #00089098820 ROPER, LEIGH	BOND FUND AMER INC CL C	В	7.15	0.07	0.00	0.00	0.07 91.0	0.06
02/28/2018 #00089102273 WILLIAMSLE	CAPITAL INCOME BLDR FUND CL C	В	67.83	0.68	0.00	0.00	0.68 91.0	0.62
02/28/2018 #00089102273 WILLIAMSLE	CAPITAL INCOME BLDR FUND CL C	В	67.83	0.68	0.00	0.00	0.68 91.0	0.62
02/28/2018 #00089098820 ROPER, LEIGH	GROWTH AND INCOME PORFOLIO	В	14.30	0.14	0.00	0.00	0.14 91.0	0.13
02/28/2018 #00089098820 ROPER, LEIGH	GROWTH AND INCOME PORFOLIO	В	14.30	0.14	0.00	0.00	0.14 91.0	0.13
02/28/2018 #00089098820 ROPER, LEIGH	GROWTH FUND AMER INC CL C	В	14.31	0.14	0.00	0.00	0.14 91.0	0.13
02/28/2018 #00089098820 ROPER, LEIGH	GROWTH FUND AMER INC CL C	В	14.31	0.14	0.00	0.00	0.14 91.0	0.13
02/28/2018 #00089098820 ROPER, LEIGH	INCOME FUND AMER INC CL C	В	35.77	0.36	0.00	0.00	0.36 91.0	0.33
02/28/2018 #00089098820 ROPER, LEIGH	INCOME FUND AMER INC CL C	В	35.77	0.36	0.00	0.00	0.36 91.0	0.33
02/28/2018 #00089098695 BECKROGEAN	INCOME PORTFOLIO-C	В	120.00	1.20	0.00	0.00	1.20 91.0	1.09
02/28/2018 #00089098695 BECKROGEAN	INCOME PORTFOLIO-C	В	61.97	0.62	0.00	0.00	0.62 91.0	0.56
02/28/2018 #00089098778 MORE, KAREN	INCOME PORTFOLIO-C	В	41.80	0.42	0.00	0.00	0.42 91.0	0.38
02/28/2018 #00089098778 MORE, KAREN	INCOME PORTFOLIO-C	В	41.80	0.42	0.00	0.00	0.42 91.0	0.38
02/28/2018 #00089098811 GRIGGSMARI	INCOME PORTFOLIO-C	В	57.96	0.58	0.00	0.00	0.58 91.0	0.53
02/28/2018 #00089098811 GRIGGSMARI	INCOME PORTFOLIO-C	В	57.96	0.58	0.00	0.00	0.58 91.0	0.53
02/28/2018 #00089299903 BYRNE-STEE	INCOME PORTFOLIO-C	В	59.09	0.59	0.00	0.00	0.59 91.0	0.54
02/28/2018 #00089299903 BYRNE-STEE	INCOME PORTFOLIO-C	В	59.09	0.59	0.00	0.00	0.59 91.0	0.54
02/28/2018 #00089098695 BECKROGEAN	PRESERVATION PORTFOLIO-C	В	80.00	0.80	0.00	0.00	0.80 91.0	0.73
02/28/2018 #00089098695 BECKROGEAN	PRESERVATION PORTFOLIO-C	В	41.31	0.41	0.00	0.00	0.41 91.0	0.37
02/28/2018 #00089098717 RHODESPAME	PRESERVATION PORTFOLIO-C	В	93.11	0.93	0.00	0.00	0.93 91.0	0.85
02/28/2018 #00089098717 RHODESPAME	PRESERVATION PORTFOLIO-C	В	93.11	0.93	0.00	0.00	0.93 91.0	0.85
02/28/2018 #00089098778 MORE, KAREN	PRESERVATION PORTFOLIO-C	В	17.92	0.18	0.00	0.00	0.18 91.0	0.16
02/28/2018 #00089098778 MORE, KAREN	PRESERVATION PORTFOLIO-C	В	17.92	0.18	0.00	0.00	0.18 91.0	0.16
03/15/2018 #00086892691 THOMASONCA	CAPITAL INCOME BLDR FUND CL C	В	150.00	1.50	0.00	0.00	1.50 91.0	1.37
03/15/2018 #00086892691 THOMASONCA	INCOME FUND AMER INC CL C	В	150.00	1.50	0.00	0.00	1.50 91.0	1.37
03/15/2018 #00086892691 THOMASONCA	INCOME PORTFOLIO-C	В	100.00	1.00	0.00	0.00	1.00 91.0	0.91
03/15/2018 #00086892691 THOMASONCA	PRESERVATION PORTFOLIO-C	В	100.00	1.00	0.00	0.00	1.00 91.0	0.91
	* MUTUAL FUNDS SUBTOTA	L *	\$1,739.96	\$17.39	\$0.00	\$0.00	\$17.39	\$15.85

03/01/2018 #00086892691 THOMASONCA

INCOME PORTFOLIO-C

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TRADE DATE CLIE	NT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION R	\ATE	BROKER COMMISSION
		BROKER I	RANS	ACTIONS						
PRODUCT CATEGORY	: MUTUAL FUND 12B1	TRAILS								
03/01/2018 #000744	15017 FISCHETTE, PAMEL	A AMERICAN BALANCED FUND INC CL C	В	0.00	5.58	0.00	0.00	5.58	91.0	5.08
03/01/2018 #000868		AMERICAN BALANCED FUND INC CL C	В	0.00	31.36	0.00	0.00	31.36		28.54
03/01/2018 #000892		AMERICAN BALANCED FUND INC CL C	В	0.00	4.25	0.00	0.00	4.25	91.0	3.87
03/01/2018 #000892	99934	AMERICAN BALANCED FUND INC CL C	В	0.00	2.12	0.00	0.00	2.12	91.0	1.93
03/01/2018 #000895	37987	AMERICAN BALANCED FUND INC CL C	В	0.00	0.10	0.00	0.00	0.10	91.0	0.09
03/01/2018 #000738	84742	AMERICAN BALANCED FUND-F1	В	0.00	8.28	0.00	0.00	8.28	91.0	7.53
03/01/2018 #000739	08298	AMERICAN FUNDS 02630Y414	В	0.00	30.87	0.00	0.00	30.87	91.0	28.09
03/01/2018 #000739	08298	AMERICAN FUNDS 02630Y760	В	0.00	20.34	0.00	0.00	20.34	91.0	18.51
03/01/2018 #000738	84742	BOND FUND AMER INC CL C	В	0.00	4.25	0.00	0.00	4.25	91.0	3.87
03/01/2018 #0008909	98820 ROPER, LEIGH	BOND FUND AMER INC CL C	В	0.00	0.54	0.00	0.00	0.54	91.0	0.49
03/01/2018 #000868	91922	CAPITAL INCOME BLDR FUND CL C	В	0.00	48.22	0.00	0.00	48.22	91.0	43.88
03/01/2018 #000868	92691 THOMASONCA	CAPITAL INCOME BLDR FUND CL C	В	0.00	21.39	0.00	0.00	21.39	91.0	19.46
03/01/2018 #000876	70684	CAPITAL INCOME BLDR FUND CL C	В	0.00	15.54	0.00	0.00	15.54	91.0	14.14
03/01/2018 #000891	02273 WILLIAMSLE	CAPITAL INCOME BLDR FUND CL C	В	0.00	4.53	0.00	0.00	4.53	91.0	4.12
03/01/2018 #000895	37987	CAPITAL INCOME BLDR FUND CL C	В	0.00	0.19	0.00	0.00	0.19	91.0	0.17
03/01/2018 #000739	08298	CAPITAL INCOME BUILDER FD CL F-1	В	0.00	5.85	0.00	0.00	5.85	91.0	5.32
03/01/2018 #000744	15017 FISCHETTE, PAMEL	A CAPITAL INCOME BUILDER FD CL F-1	В	0.00	2.50	0.00	0.00	2.50	91.0	2.28
03/01/2018 #000738	84742	GROWTH AND INCOME PORFOLIO	В	0.00	19.28	0.00	0.00	19.28	91.0	17.54
03/01/2018 #000744	15017 FISCHETTE, PAMEL	A GROWTH AND INCOME PORFOLIO	В	0.00	5.63	0.00	0.00	5.63	91.0	5.12
03/01/2018 #000876	70684	GROWTH AND INCOME PORFOLIO	В	0.00	71.40	0.00	0.00	71.40	91.0	64.97
03/01/2018 #000890	01672 CONE, COURTENAY	GROWTH AND INCOME PORFOLIO	В	0.00	139.55	0.00	0.00	139.55	91.0	126.99
03/01/2018 #0008909	98820 ROPER, LEIGH	GROWTH AND INCOME PORFOLIO	В	0.00	1.33	0.00	0.00	1.33	91.0	1.21
03/01/2018 #0008909	98820 ROPER, LEIGH	GROWTH FUND AMER INC CL C	В	0.00	1.36	0.00	0.00	1.36	91.0	1.24
03/01/2018 #000739	08298	GROWTH FUND AMER INC CL F1	В	0.00	2.02	0.00	0.00	2.02	91.0	1.84
03/01/2018 #000744	15017 FISCHETTE, PAMEL	A INCOME FUND AMER INC CL C	В	0.00	5.40	0.00	0.00	5.40	91.0	4.91
03/01/2018 #000868	91922	INCOME FUND AMER INC CL C	В	0.00	50.25	0.00	0.00	50.25	91.0	45.73
03/01/2018 #000868	92691 THOMASONCA	INCOME FUND AMER INC CL C	В	0.00	22.13	0.00	0.00	22.13	91.0	20.14
03/01/2018 #000876	70684	INCOME FUND AMER INC CL C	В	0.00	151.37	0.00	0.00	151.37	91.0	137.75
03/01/2018 #000890	98820 ROPER, LEIGH	INCOME FUND AMER INC CL C	В	0.00	3.18	0.00	0.00	3.18	91.0	2.89
03/01/2018 #000738	84742	INCOME FUND AMER INC CL F1	В	0.00	10.92	0.00	0.00	10.92	91.0	9.94
03/01/2018 #000739	08298	INCOME FUND AMER INC CL F1	В	0.00	24.34	0.00	0.00	24.34	91.0	22.15
03/01/2018 #000744	15017 FISCHETTE, PAMEL	A INCOME FUND AMER INC CL F1	В	0.00	4.52	0.00	0.00	4.52	91.0	4.11
03/01/2018 #000744	15017 FISCHETTE, PAMEL	A INCOME PORTFOLIO A	В	0.00	3.34	0.00	0.00	3.34	91.0	3.04
03/01/2018 #000890	01672 CONE, COURTENAY	INCOME PORTFOLIO A	В	0.00	3.10	0.00	0.00	3.10	91.0	2.82
03/01/2018 #0008909	98695 BECKROGEAN	INCOME PORTFOLIO A	В	0.00	5.25	0.00	0.00	5.25	91.0	4.78
03/01/2018 #000891	89754	INCOME PORTFOLIO A	В	0.00	16.61	0.00	0.00	16.61	91.0	15.12
03/01/2018 #000738	84742	INCOME PORTFOLIO-C	В	0.00	165.75	0.00	0.00	165.75	91.0	150.83
03/01/2018 #000744	15017 FISCHETTE, PAMEL	A INCOME PORTFOLIO-C	В	0.00	31.41	0.00	0.00	31.41	91.0	28.58
03/01/2018 #000868	91922	INCOME PORTFOLIO-C	В	0.00	4.44	0.00	0.00	4.44	91.0	4.04

В

0.00

27.10

0.00

0.00

27.10 91.0

24.66

COMMISSION STATEMENT for MICHAEL WISE

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION RATE	BROKER COMMISSION
	BROKE	R TRANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
03/01/2018 #00087670684	INCOME PORTFOLIO-C	В	0.00	65.88	0.00	0.00	65.88 91.0	59.95
03/01/2018 #00089001672 CONE, COURTENAY	INCOME PORTFOLIO-C	В	0.00	192.92	0.00	0.00	192.92 91.0	175.56
03/01/2018 #00089098695 BECKROGEAN	INCOME PORTFOLIO-C	В	0.00	8.04	0.00	0.00	8.04 91.0	7.32
03/01/2018 #00089098717 RHODESPAME	INCOME PORTFOLIO-C	В	0.00	1.32	0.00	0.00	1.32 91.0	1.20
03/01/2018 #00089098778 MORE, KAREN	INCOME PORTFOLIO-C	В	0.00	2.72	0.00	0.00	2.72 91.0	2.48
03/01/2018 #00089098811 GRIGGSMARI	INCOME PORTFOLIO-C	В	0.00	4.05	0.00	0.00	4.05 91.0	3.69
03/01/2018 #00089299903 BYRNE-STEE	INCOME PORTFOLIO-C	В	0.00	4.14	0.00	0.00	4.14 91.0	3.77
03/01/2018 #00089299934	INCOME PORTFOLIO-C	В	0.00	2.09	0.00	0.00	2.09 91.0	1.90
03/01/2018 #00089537987	INCOME PORTFOLIO-C	В	0.00	0.04	0.00	0.00	0.04 91.0	0.04
03/01/2018 #04000009377 SC/TOD	INCOME PORTFOLIO-C	В	0.00	116.03	0.00	0.00	116.03 91.0	105.59
03/01/2018 #00089189754	PRESERVATION PORTFOLIO-A	В	0.00	2.93	0.00	0.00	2.93 91.0	2.67
03/01/2018 #00074415017 FISCHETTE, PAMEL	A PRESERVATION PORTFOLIO-C	В	0.00	44.29	0.00	0.00	44.29 91.0	40.30
03/01/2018 #00086891922	PRESERVATION PORTFOLIO-C	В	0.00	69.83	0.00	0.00	69.83 91.0	63.55
03/01/2018 #00086892691 THOMASONCA	PRESERVATION PORTFOLIO-C	В	0.00	22.93	0.00	0.00	22.93 91.0	20.87
03/01/2018 #00087670684	PRESERVATION PORTFOLIO-C	В	0.00	57.56	0.00	0.00	57.56 91.0	52.38
03/01/2018 #00089001672 CONE, COURTENAY	PRESERVATION PORTFOLIO-C	В	0.00	260.17	0.00	0.00	260.17 91.0	236.75
03/01/2018 #00089098695 BECKROGEAN	PRESERVATION PORTFOLIO-C	В	0.00	4.51	0.00	0.00	4.51 91.0	4.10
03/01/2018 #00089098717 RHODESPAME	PRESERVATION PORTFOLIO-C	В	0.00	7.90	0.00	0.00	7.90 91.0	7.19
03/01/2018 #00089098778 MORE, KAREN	PRESERVATION PORTFOLIO-C	В	0.00	0.92	0.00	0.00	0.92 91.0	0.84
03/07/2018 #00656191574	FIDELITY ADV GOVERNMENT INCOME	E-CL A B	0.00	16.29	0.00	0.00	16.29 91.0	14.82
03/07/2018 #00656191574	FIDELITY ADVISOR HIGH INCOME A	ADVANT B	0.00	22.78	0.00	0.00	22.78 91.0	20.73
	* MUTUAL FUND 12B1 TRAILS SUBT	TOTAL *	\$0.00	\$1,882.93	\$0.00	\$0.00	\$1,882.93	\$1,713.47
	*** BROKER TRANSACTIONS TOT	TAL ***	\$1,739.96	\$1,900.32	\$0.00	\$0.00	\$1,900.32	\$1,729.32
	2.1	DJUSTME	NTS					
04/00/0010	_	DU UB TIME.	<u> </u>					200 00
04/20/2018	ADMIN FEE: ADMIN FEE	TOT.						-320.00
04/20/2018	E & O INSURANCE: E & O INSURAN							-179.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT	FEE						-215.00

\$-714.00

*** ADJUSTMENTS TOTAL ***

BROKER COMMISSION TOTALS \$1,729.32 Broker Transactions Split Transactions \$0.00 \$0.00 Override Transactions \$-714.00 Adjustments Payroll Draw \$0.00 Base Salary \$0.00 \$-494.44 Prior Period Balance FINRA Assessment \$0.00 \$0.00 SIPC Assessment

Check Amount \$520.88