

All Companies  
**STATEMENT**  
**July 20, 2018**

**BEN REYNOSO**  
222 N Garden St #300  
SUITE 620  
Visalia, CA 93291

**BROKER #: 174**  
**BRANCH:**

COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
05/01/0018	#0049157621	BLCKRCK	0.00	21.43	94.0	0.00	20.14	0.00	20.14
05/01/0018	#0001226331 YOUNG, MICH	BLCKRCK	0.00	5.11	94.0	0.00	4.80	0.00	4.80
05/01/0018	#0004950242 BLANKENSHIP,	BLCKRCK	0.00	3.45	94.0	0.00	3.24	0.00	3.24
05/01/0018	#0049157621	BLCKRCK	0.00	24.16	94.0	0.00	22.71	0.00	22.71
05/01/0018	#0049157621	BLCKRCK	0.00	7.14	94.0	0.00	6.71	0.00	6.71
05/01/0018	#0047818448 COUNSEL, TR	BLCKRCK	0.00	0.02	94.0	0.00	0.02	0.00	0.02
05/01/0018	#0001226331 YOUNG, MICH	BLCKRCK	0.00	1.70	94.0	0.00	1.60	0.00	1.60
05/01/0018	#0004950242 BLANKENSHIP,	BLCKRCK	0.00	1.15	94.0	0.00	1.08	0.00	1.08
05/01/0018	#0049157621	BLCKRCK	0.00	8.05	94.0	0.00	7.57	0.00	7.57
05/01/0018	#0047895412 TRUST COMPANY,	BLCKRCK	0.00	1.31	94.0	0.00	1.23	0.00	1.23
05/01/0018	#077930383668 & TRUST,	COLUMBIA ACORN TR INTL CL A	0.14	0.00	94.0	0.00	0.00	0.00	0.00
05/01/0018	#070380318154 & TRUST,	COLUMBIA FDS SER TR II MASS	0.02	0.00	94.0	0.00	0.00	0.00	0.00
05/01/0018	#070380324591 & TRUST,	COLUMBIA FDS SER TR II MASS	0.01	0.00	94.0	0.00	0.00	0.00	0.00
05/01/0018	#074480003530 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	6,510.37	2.76	94.0	0.00	2.59	0.00	2.59
05/01/0018	#074480003828 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	19,904.88	8.45	94.0	0.00	7.94	0.00	7.94
05/01/0018	#074480019676 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	1,377.15	0.58	94.0	0.00	0.55	0.00	0.55
05/01/0018	#070440060258 & TRUST,	COLUMBIA SMALLCAP INDEX FUND A	23,705.18	5.03	94.0	0.00	4.73	0.00	4.73
05/01/0018	#070440064322 & TRUST,	COLUMBIA SMALLCAP INDEX FUND A	52,820.65	11.22	94.0	0.00	10.55	0.00	10.55
05/01/0018	#07419000908 TRUST,	JP MORGAN	0.00	0.25	94.0	0.00	0.24	0.00	0.24
05/01/0018	#07419000239 TRUST,	JP MORGAN	0.00	4.17	94.0	0.00	3.92	0.00	3.92
06/04/2018	#00068787876 LAMBERT, CHLOE	AMERICAN BALANCED FUND-529A	150.00	6.38	94.0	0.00	6.00	0.00	6.00
06/04/2018	#00081603713 LAMBERT, CHLOE	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	94.0	0.00	2.00	0.00	2.00
06/05/2018	#0049157621	BLCKRCK	250.00	2.50	94.0	0.00	2.35	0.00	2.35
06/05/2018	#0049157621	BLCKRCK	250.00	2.50	94.0	0.00	2.35	0.00	2.35
06/11/2018	#00073613500 DIXON, TRACEY	AMERICAN MUTUAL FUND INC CLASS A	75.00	2.81	94.0	0.00	2.64	0.00	2.64
06/11/2018	#00073612948 DIXON, JEFF	GROWTH FUND AMERICA INC CLASS A	75.00	2.81	94.0	0.00	2.64	0.00	2.64
06/15/2018	#00085514794 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
06/15/2018	#00085514756 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
06/15/2018	#00086006772	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	2.07
06/15/2018	#00085514806	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	2.07
06/15/2018	#00085514794	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
06/15/2018	#00085514756	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
06/15/2018	#00086006772	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
06/15/2018	#00085514806	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
06/15/2018	#00085514794	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07
06/15/2018	#00085514756	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07
06/15/2018	#00086006772	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07
06/15/2018	#00085514806	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$106,128.40</b>	<b>\$151.51</b>		<b>\$0.00</b>	<b>\$142.44</b>	<b>\$0.00</b>	<b>\$142.44</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
05/03/0018	#129617LB8	EVANS, PAM	TRANSAMERICA LIFE	46,532.53	38.78	94.0	0.00	36.45	36.45
05/07/0018	#104571LB8	GUTIERREZ, LOUIS	TRANSAMERICA LIFE	39,870.85	33.23	94.0	0.00	31.24	31.24
05/08/0018	#104570LB8	GUTIERREZ, LOUIS	TRANSAMERICA LIFE	50,494.27	42.08	94.0	0.00	39.56	39.56
06/04/0018	#803625000	BIG PINE PAI	TRANSAMERICA FIN LIFE	306,790.02	127.83	94.0	0.00	120.16	120.16
06/04/0018	#500002ABZ	ENTERPRISE, RGK	TRANSAMERICA FIN LIFE	280,025.47	116.68	94.0	0.00	109.68	109.68
06/04/0018	#500002ARB	ELLEN, MARY	TRANSAMERICA FIN LIFE	46,481.21	19.37	94.0	0.00	18.21	18.21
06/04/0018	#500002BBI	PERFO, GILSON	TRANSAMERICA FIN LIFE	11,141.47	4.64	94.0	0.00	4.36	4.36
06/04/0018	#500002BLH	SANC, ERNESTO	TRANSAMERICA FIN LIFE	12,917.47	5.38	94.0	0.00	5.06	5.06
06/04/0018	#500002BVT	MACHANICS,	TRANSAMERICA FIN LIFE	9,044.51	3.77	94.0	0.00	3.54	3.54
06/04/0018	#500002E26	MENDOZA FAMILY	TRANSAMERICA FIN LIFE	20,000.42	8.33	94.0	0.00	7.83	7.83
06/04/0018	#500002M18	WESTERN SIER	TRANSAMERICA FIN LIFE	1,165.95	0.49	94.0	0.00	0.46	0.46
06/04/0018	#500002P59	LOPEZ, MARIA	TRANSAMERICA FIN LIFE	14,195.86	5.91	94.0	0.00	5.56	5.56
06/04/0018	#500002R30	, ANTONIO&VE	TRANSAMERICA FIN LIFE	8,212.18	3.42	94.0	0.00	3.21	3.21
06/04/0018	#500002S00	HORIZON, MARK	TRANSAMERICA FIN LIFE	110,018.37	45.84	94.0	0.00	43.09	43.09
06/04/0018	#500002S08	TRANSWEST	TRANSAMERICA FIN LIFE	214,041.39	89.18	94.0	0.00	83.83	83.83
06/04/0018	#500002S10	HORIZON, TRAN	TRANSAMERICA FIN LIFE	204,320.63	85.13	94.0	0.00	80.02	80.02
06/04/0018	#500002S24	SUNRIVER, SAL	TRANSAMERICA FIN LIFE	543,389.31	226.41	94.0	0.00	212.83	212.83
06/04/0018	#500002T37	RI, GABRIEL	TRANSAMERICA FIN LIFE	27,758.94	11.57	94.0	0.00	10.88	10.88
06/04/0018	#500002V01	CANNACANHELP	TRANSAMERICA FIN LIFE	11,780.01	4.91	94.0	0.00	4.62	4.62
06/04/0018	#500002W19	NETTO, A&A	TRANSAMERICA FIN LIFE	335,924.38	139.97	94.0	0.00	131.57	131.57
06/04/0018	#500002Z47	CARE, ORDUNO	TRANSAMERICA FIN LIFE	12,384.86	5.16	94.0	0.00	4.85	4.85
06/04/0018	#500002O58	JANICES INC	TRANSAMERICA FIN LIFE	29,823.25	12.43	94.0	0.00	11.68	11.68
06/09/0018	#DA315044	BETTENCOURT, JOHN	ALLIANZ VA	0.00	117.71	94.0	0.00	110.65	110.65

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/09/0018	#DA312937	HALLAM, DAVID	ALLIANZ VA	0.00	118.19	94.0	0.00	111.10	111.10
06/09/0018	#DA308135	CONNELLY, DENNIS	ALLIANZ VA	0.00	180.93	94.0	0.00	170.07	170.07
06/09/0018	#DA936249	OGATA, MORRIS	ALLIANZ VA	0.00	302.36	94.0	0.00	284.22	284.22
06/09/0018	#DA933385	DOERKSEN, DANIEL	ALLIANZ VA	0.00	98.22	94.0	0.00	92.33	92.33
06/09/0018	#DA933386	DOERKSEN, DANIEL	ALLIANZ VA	0.00	270.80	94.0	0.00	254.55	254.55
06/09/0018	#DA023440	BETTENCOURT, JOHN	ALLIANZ VA	0.00	222.67	94.0	0.00	209.31	209.31
06/09/0018	#DA030663	CEREGHINO, PAUL	ALLIANZ VA	0.00	180.21	94.0	0.00	169.40	169.40
06/09/0018	#DAX37587	ARTIS, KATHI	ALLIANZ VA	0.00	64.26	94.0	0.00	60.40	60.40
06/09/0018	#DAX32997	ARTIS HARE & CO	ALLIANZ VA	0.00	87.61	94.0	0.00	82.35	82.35
06/09/0018	#DAX38058	PAUSMA, JASON	ALLIANZ VA	0.00	62.14	94.0	0.00	58.41	58.41
06/09/0018	#DAV00394	BENEDICT, RICHARD	ALLIANZ VA	0.00	96.20	94.0	0.00	90.43	90.43
06/09/0018	#DAX42006	BLANKENSHIP,	ALLIANZ VA	0.00	76.75	94.0	0.00	72.15	72.15
06/09/0018	#DAX25223	UNDERWOOD, MICHELE	ALLIANZ VA	0.00	153.52	94.0	0.00	144.31	144.31
06/09/0018	#DAX29769	ARTIS HARE CO 401K	ALLIANZ VA	0.00	339.67	94.0	0.00	319.29	319.29
06/09/0018	#DAX25190	ANDERSON, SHERRIE	ALLIANZ VA	0.00	78.04	94.0	0.00	73.36	73.36
06/09/0018	#DAX25191	GARCIA, GERARDO	ALLIANZ VA	0.00	62.58	94.0	0.00	58.83	58.83
06/09/0018	#DAX23486	MCPHERSON, VICKIE	ALLIANZ VA	0.00	375.65	94.0	0.00	353.11	353.11
06/09/0018	#DAV13176	LOPEZ, ROBIN	ALLIANZ VA	0.00	39.63	94.0	0.00	37.25	37.25
06/09/0018	#DAX29913	BEATTY, JANET	ALLIANZ VA	0.00	102.73	94.0	0.00	96.57	96.57
06/09/0018	#DAV36755	EASTBURN, JANICE	ALLIANZ VA	0.00	82.87	94.0	0.00	77.90	77.90
06/09/0018	#DAX69568	ROOT, ROGER	ALLIANZ VA	0.00	170.96	94.0	0.00	160.70	160.70
06/30/2018	#1011649730	REYNOSO,	ADVS II (08/11)	68,738.14	57.28	94.0	0.00	53.84	53.84
06/30/2018	#1015044205	COLLINS,	ELITE ACCESS	31,962.80	26.64	94.0	0.00	25.04	25.04
06/30/2018	#1015975837	DELGADO, MIGUEL	ELITE ACCESS	7,092.44	5.91	94.0	0.00	5.56	5.56
06/30/2018	#1014723311	SCHWAMB, SHANNON	ELITE ACCESS	464,663.27	387.22	94.0	0.00	363.99	363.99
06/30/2018	#1015151290	SALMON	ELITE ACCESS L	107,091.15	89.24	94.0	0.00	83.89	83.89
06/30/2018	#1015154353	SALMON	ELITE ACCESS L	33,346.20	27.79	94.0	0.00	26.12	26.12
06/30/2018	#1016329351	SCHMIDT, LARRY	ELITE ACCESS L	67,262.67	56.05	94.0	0.00	52.69	52.69
06/30/2018	#1011931452	JASSO, EUSEBIO	PERSP L 08/11	120,827.72	100.69	94.0	0.00	94.65	94.65
06/30/2018	#1009421862	PETERSON, CARA	PERSPECTIVE II	165,664.99	138.05	94.0	0.00	129.77	129.77
06/30/2018	#1008962452	BROWN, SUSAN	PERSPECTIVE L	35,939.13	29.95	94.0	0.00	28.15	28.15
06/30/2018	#1008329393	DOUGLASS, JOHN	PERSPECTIVE L	19,921.96	16.60	94.0	0.00	15.60	15.60
* VARIABLE ANNUITIES SUBTOTAL *				\$3,458,823.82	\$5,249.63	\$0.00	\$4,934.68	\$0.00	\$4,934.68

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
06/01/0018	#265875 REPAIR CENTER,	PAYCHEX RETIREMENT SERVICES	0.00	37.01	94.0	0.00	34.79	0.00	34.79
06/01/0018	#276879 INTERNATIONAL,	PAYCHEX RETIREMENT SERVICES	0.00	294.00	94.0	0.00	276.36	0.00	276.36
<b>* RIA SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$331.01</b>		<b>\$0.00</b>	<b>\$311.15</b>	<b>\$0.00</b>	<b>\$311.15</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
06/01/2018	#01847000368 TRUST,	02630V840	0.00	17.11	94.0	0.00	16.08	0.00	16.08
06/01/2018	#01847000759 TRUST,	02630V840	0.00	0.01	94.0	0.00	0.01	0.00	0.01
06/01/2018	#01847001172 TRUST,	02630V840	0.00	0.18	94.0	0.00	0.17	0.00	0.17
06/01/2018	#00086840595 HICKAM, TRACY	AMCAP FUND INC CL C	0.00	5.90	94.0	0.00	5.55	0.00	5.55
06/01/2018	#00086840595 HICKAM, TRACY	AMCAP FUND INC CLASS A	0.00	8.35	94.0	0.00	7.85	0.00	7.85
06/01/2018	#01235729431 TRUST,	AMCAP R3	0.00	0.07	94.0	0.00	0.07	0.00	0.07
06/01/2018	#01235729433 TRUST,	AMCAP R3	0.00	0.96	94.0	0.00	0.90	0.00	0.90
06/01/2018	#01235729439 TRUST,	AMCAP R3	0.00	30.74	94.0	0.00	28.90	0.00	28.90
06/01/2018	#01235729441 TRUST,	AMCAP R3	0.00	16.73	94.0	0.00	15.73	0.00	15.73
06/01/2018	#00088457153 REYNOSO, MARTIN	AMERICAN BALANCED FUND INC CL C	0.00	20.74	94.0	0.00	19.50	0.00	19.50
06/01/2018	#00089121187	AMERICAN BALANCED FUND INC CL C	0.00	3.75	94.0	0.00	3.53	0.00	3.53
06/01/2018	#00075052101	AMERICAN BALANCED FUND INC CLASS A	0.00	8.61	94.0	0.00	8.09	0.00	8.09
06/01/2018	#00082476308	AMERICAN BALANCED FUND INC CLASS A	0.00	1.46	94.0	0.00	1.37	0.00	1.37
06/01/2018	#00084568467 ARTIS, SHEILA	AMERICAN BALANCED FUND INC CLASS A	0.00	10.54	94.0	0.00	9.91	0.00	9.91
06/01/2018	#00068787876 LAMBERT, CHLOE	AMERICAN BALANCED FUND-529A	0.00	11.79	94.0	0.00	11.08	0.00	11.08
06/01/2018	#00084199574	AMERICAN BALANCED FUND-529A	0.00	5.68	94.0	0.00	5.34	0.00	5.34
06/01/2018	#00084199618 UNTIL AGE 18,	AMERICAN BALANCED FUND-529A	0.00	5.68	94.0	0.00	5.34	0.00	5.34
06/01/2018	#00084199643 UNTIL AGE 18,	AMERICAN BALANCED FUND-529A	0.00	5.68	94.0	0.00	5.34	0.00	5.34
06/01/2018	#00085514756 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	0.00	19.01	94.0	0.00	17.87	0.00	17.87
06/01/2018	#00085514794 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	0.00	13.25	94.0	0.00	12.46	0.00	12.46
06/01/2018	#00085514806 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	0.00	9.49	94.0	0.00	8.92	0.00	8.92
06/01/2018	#00086006772 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	0.00	3.72	94.0	0.00	3.50	0.00	3.50
06/01/2018	#00089704205 UNTIL AGE 18,	AMERICAN BALANCED FUND-529A	0.00	4.65	94.0	0.00	4.37	0.00	4.37
06/01/2018	#00085904895 REYNOSO, SEAN	AMERICAN BALANCED FUND-529C	0.00	25.40	94.0	0.00	23.88	0.00	23.88
06/01/2018	#00086467381 MITTLESTEAD,	AMERICAN BALANCED FUND-529C	0.00	4.75	94.0	0.00	4.47	0.00	4.47
06/01/2018	#00086467436 MITTLESTEAD,	AMERICAN BALANCED FUND-529C	0.00	3.93	94.0	0.00	3.69	0.00	3.69
06/01/2018	#01246013705 TRUST,	AMERICAN FUNDS 298706847	0.00	4.55	94.0	0.00	4.28	0.00	4.28
06/01/2018	#01246013706 TRUST,	AMERICAN FUNDS 298706847	0.00	7.05	94.0	0.00	6.63	0.00	6.63
06/01/2018	#00067118530	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.14	94.0	0.00	1.07	0.00	1.07
06/01/2018	#00073613500 DIXON, TRACEY	AMERICAN MUTUAL FUND INC CLASS A	0.00	16.48	94.0	0.00	15.49	0.00	15.49
06/01/2018	#00075969272 ARTIS, SHEILA	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.65	94.0	0.00	2.49	0.00	2.49

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00084199574	AMERICAN MUTUAL FUND-529A	0.00	6.21	94.0	0.00	5.84	0.00	5.84
06/01/2018	#00084199618 UNTIL AGE 18,	AMERICAN MUTUAL FUND-529A	0.00	6.21	94.0	0.00	5.84	0.00	5.84
06/01/2018	#00084199643 UNTIL AGE 18,	AMERICAN MUTUAL FUND-529A	0.00	6.21	94.0	0.00	5.84	0.00	5.84
06/01/2018	#00089704205 UNTIL AGE 18,	AMERICAN MUTUAL FUND-529A	0.00	4.65	94.0	0.00	4.37	0.00	4.37
06/01/2018	#00086857981 REYNOSO, SEAN	BALANCED PORTFOLIO-529C	0.00	17.64	94.0	0.00	16.58	0.00	16.58
06/01/2018	#00075969272 ARTIS, SHEILA	BOND FUND OF AMERICA INC CL A	0.00	2.81	94.0	0.00	2.64	0.00	2.64
06/01/2018	#00084568467 ARTIS, SHEILA	CAPITAL INCOME BUILDER FD CL A	0.00	8.66	94.0	0.00	8.14	0.00	8.14
06/01/2018	#00075969272 ARTIS, SHEILA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.45	94.0	0.00	2.30	0.00	2.30
06/01/2018	#00081603713 LAMBERT, CHLOE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	7.10	94.0	0.00	6.67	0.00	6.67
06/01/2018	#00084199574	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.51	94.0	0.00	5.18	0.00	5.18
06/01/2018	#00084199618 UNTIL AGE 18,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.51	94.0	0.00	5.18	0.00	5.18
06/01/2018	#00084199643 UNTIL AGE 18,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.51	94.0	0.00	5.18	0.00	5.18
06/01/2018	#00085514756 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.67	94.0	0.00	5.33	0.00	5.33
06/01/2018	#00085514794 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	0.00	4.13	94.0	0.00	3.88	0.00	3.88
06/01/2018	#00085514806 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	0.00	3.12	94.0	0.00	2.93	0.00	2.93
06/01/2018	#00086006772 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	0.00	1.62	94.0	0.00	1.52	0.00	1.52
06/01/2018	#00089704205 UNTIL AGE 18,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	4.85	94.0	0.00	4.56	0.00	4.56
06/01/2018	#00086467381 MITTLESTEAD,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	2.71	94.0	0.00	2.55	0.00	2.55
06/01/2018	#00086467436 MITTLESTEAD,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	3.40	94.0	0.00	3.20	0.00	3.20
06/01/2018	#00086416241 BOWMAN,	GLOBAL BALANCED FUND-529C	0.00	10.46	94.0	0.00	9.83	0.00	9.83
06/01/2018	#00086836039 JASSO, CORY	GLOBAL BALANCED FUND-C	0.00	2.73	94.0	0.00	2.57	0.00	2.57
06/01/2018	#00088415549 REYNOSO, MARTIN	GROWTH AND INCOME PORFOLIO	0.00	40.10	94.0	0.00	37.69	0.00	37.69
06/01/2018	#00086840595 HICKAM, TRACY	GROWTH FUND AMER INC CL C	0.00	5.86	94.0	0.00	5.51	0.00	5.51
06/01/2018	#00073612948 DIXON, JEFF	GROWTH FUND AMERICA INC CLASS A	0.00	19.23	94.0	0.00	18.08	0.00	18.08
06/01/2018	#00075483797 SMITH, AMBER	GROWTH FUND AMERICA INC CLASS A	0.00	1.77	94.0	0.00	1.66	0.00	1.66
06/01/2018	#00075969272 ARTIS, SHEILA	GROWTH FUND AMERICA INC CLASS A	0.00	3.46	94.0	0.00	3.25	0.00	3.25
06/01/2018	#00082432942 HARE, LYNNE	GROWTH FUND AMERICA INC CLASS A	0.00	0.20	94.0	0.00	0.19	0.00	0.19
06/01/2018	#00082476308	GROWTH FUND AMERICA INC CLASS A	0.00	1.13	94.0	0.00	1.06	0.00	1.06
06/01/2018	#00086840595 HICKAM, TRACY	GROWTH FUND AMERICA INC CLASS A	0.00	8.38	94.0	0.00	7.88	0.00	7.88
06/01/2018	#00075969272 ARTIS, SHEILA	INCOME FUND OF AMERICA INC CLASS A	0.00	2.91	94.0	0.00	2.74	0.00	2.74
06/01/2018	#00082476308	INCOME FUND OF AMERICA INC CLASS A	0.00	2.86	94.0	0.00	2.69	0.00	2.69
06/01/2018	#00084568467 ARTIS, SHEILA	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	7.15	94.0	0.00	6.72	0.00	6.72
06/01/2018	#00075969272 ARTIS, SHEILA	INVESTMENT CO AMER CLASS A	0.00	3.58	94.0	0.00	3.37	0.00	3.37
06/01/2018	#00082476308	INVESTMENT CO AMER CLASS A	0.00	0.48	94.0	0.00	0.45	0.00	0.45
06/01/2018	#00067118530	NEW ECONOMY FUND SBI CLASS A	0.00	0.03	94.0	0.00	0.03	0.00	0.03
06/01/2018	#00086467381 MITTLESTEAD,	NEW PERSPECTIVE FUND-529C	0.00	8.62	94.0	0.00	8.10	0.00	8.10

COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
06/01/2018	#00086467436	MITTLESTEAD, NEW PERSPECTIVE FUND-529C	0.00	9.10	94.0	0.00	8.55	0.00	8.55
06/01/2018	#00067118530	NEW WORLD FUND INC NEW	0.00	0.05	94.0	0.00	0.05	0.00	0.05
06/01/2018	#00082524245	PAUSMA, JASON NEW WORLD FUND INC NEW	0.00	0.24	94.0	0.00	0.23	0.00	0.23
06/01/2018	#01241468376	TRUST, NEW WORLD R3	0.00	10.64	94.0	0.00	10.00	0.00	10.00
06/01/2018	#01241468383	TRUST, NEW WORLD R3	0.00	45.50	94.0	0.00	42.77	0.00	42.77
06/01/2018	#01241471275	TRUST, NEW WORLD R3	0.00	0.04	94.0	0.00	0.04	0.00	0.04
06/01/2018	#01241472946	TRUST, NEW WORLD R3	0.00	0.61	94.0	0.00	0.57	0.00	0.57
06/01/2018	#00067118530	SMALLCAP WORLD FUND INC CLASS A	0.00	0.06	94.0	0.00	0.06	0.00	0.06
06/01/2018	#00085514756	WILSON, ANDREW THE BOND FUND OF AMERICA-529A	0.00	2.42	94.0	0.00	2.27	0.00	2.27
06/01/2018	#00085514794	WILSON, ANDREW THE BOND FUND OF AMERICA-529A	0.00	1.94	94.0	0.00	1.82	0.00	1.82
06/01/2018	#00085514806	WILSON, ANDREW THE BOND FUND OF AMERICA-529A	0.00	1.62	94.0	0.00	1.52	0.00	1.52
06/01/2018	#00086006772	WILSON, ANDREW THE BOND FUND OF AMERICA-529A	0.00	1.09	94.0	0.00	1.02	0.00	1.02
06/01/2018	#00086467381	MITTLESTEAD, THE BOND FUND OF AMERICA-529C	0.00	1.38	94.0	0.00	1.30	0.00	1.30
06/01/2018	#00086467436	MITTLESTEAD, THE BOND FUND OF AMERICA-529C	0.00	1.38	94.0	0.00	1.30	0.00	1.30
06/01/2018	#00075969272	ARTIS, SHEILA THE TAX-EXEMPT FUND OF CALIFORNIA-A	0.00	1.56	94.0	0.00	1.47	0.00	1.47
06/01/2018	#00086467381	MITTLESTEAD, WASHINGTON MUTUAL INVESTORS FUND-52	0.00	13.36	94.0	0.00	12.56	0.00	12.56
06/01/2018	#00086467436	MITTLESTEAD, WASHINGTON MUTUAL INVESTORS FUND-52	0.00	15.60	94.0	0.00	14.66	0.00	14.66
06/01/2018	#01235428439	TRUST, WASHINGTON MUTUAL INVESTORS FUND-R3	0.00	0.07	94.0	0.00	0.07	0.00	0.07
06/01/2018	#01235428441	TRUST, WASHINGTON MUTUAL INVESTORS FUND-R3	0.00	1.50	94.0	0.00	1.41	0.00	1.41
06/01/2018	#01235428449	TRUST, WASHINGTON MUTUAL INVESTORS FUND-R3	0.00	7.89	94.0	0.00	7.42	0.00	7.42
06/01/2018	#01235428452	TRUST, WASHINGTON MUTUAL INVESTORS FUND-R3	0.00	52.28	94.0	0.00	49.14	0.00	49.14
06/01/2018	#00067118530	WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.01	94.0	0.00	0.01	0.00	0.01
06/04/2018	#06500180544	KUNKLRANDG TRANSAMERICA DYNAMIC ALLOCATION C	0.00	5.92	94.0	0.00	5.56	0.00	5.56
06/07/2018	#01487226001	TRUST, FIDELITY ADV MID CAP-CL T	0.00	11.30	94.0	0.00	10.62	0.00	10.62
06/07/2018	#01464834835	TRUST, FIDELITY ADV SMALL CAP-CL T	0.00	17.04	94.0	0.00	16.02	0.00	16.02
06/11/2018	# FUJIE OKINO	TTEE DAIN TRAILER	0.00	52.47	94.0	0.00	49.32	0.00	49.32
06/11/2018	# FUJIE OKINO	TTEE DAIN TRAILER	0.00	71.08	94.0	0.00	66.82	0.00	66.82
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$819.12</b>		<b>\$0.00</b>	<b>\$770.01</b>	<b>\$0.00</b>	<b>\$770.01</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$3,564,952.22</b>	<b>\$6,551.27</b>		<b>\$0.00</b>	<b>\$6,158.28</b>	<b>\$0.00</b>	<b>\$6,158.28</b>

COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

07/20/2018		BETA BACK OFFICE: ADMIN FEE							-390.00
07/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-569.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$6,158.28
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,589.28
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