

COMMISSION STATEMENT

July 31, 2018

 AARON ARCHAMBO-D002
 310 South Osage

 BROKER #: D002
 BRANCH: DMB

Bartlesville, OK 74003

COMMISSION STATEMENT for AARON ARCHAMBO-D002

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
CLEARING TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/23/2018	mfc596870	ARCHAMBO A 596870	MFT AMERICAN BALANCED FUND-A	B	0.00	6.87	0.00	6.87	90.0	6.18
07/23/2018	mfc596870	ARCHAMBO A 596870	MFT AMERICAN BALANCED FUND-F1	B	0.00	5.30	0.00	5.30	90.0	4.77
07/23/2018	mfc596870	ARCHAMBO A 596870	MFT AMERICAN BALANCED FUND-F1	B	0.00	5.30	0.00	5.30	90.0	4.77
07/23/2018	mfc596870	ARCHAMBO A 596870	MFT CAPITAL INCOME BUILDER-F1	B	0.00	3.78	0.00	3.78	90.0	3.40
07/23/2018	mfc596870	ARCHAMBO A 596870	MFT LOOMIS HIGH INCOME FUND-A	B	0.00	0.40	0.00	0.40	90.0	0.36
07/23/2018	mfc596870	ARCHAMBO A 596870	MFT LOOMIS SAYLES CORE PLUS BOND FD B	B	0.00	0.82	0.00	0.82	90.0	0.74
07/23/2018	mfc596870	ARCHAMBO A 596870	MFT LOOMIS SAYLES CORE PLUS BOND FD B	B	0.00	0.41	0.00	0.41	90.0	0.37
07/23/2018	mfc596870	ARCHAMBO A 596870	MFT LOOMIS SAYLES HIGH INCOME FD CL B	B	0.00	0.77	0.00	0.77	90.0	0.69
07/23/2018	mfc596870	ARCHAMBO A 596870	MFT OPPENHEIMIERFUNDS 683810204	B	0.00	6.83	0.00	6.83	90.0	6.15
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$30.48	\$0.00	\$30.48		\$27.43
*** CLEARING TRANSACTIONS TOTAL ***					\$0.00	\$30.48	\$0.00	\$30.48		\$27.43

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/16/2018	00082971771	BARNES, JEREMY	MF CAPITAL WORLD GROWTH AND INCOME B	B	156.25	1.56	0.00	1.56	90.0	1.40
07/16/2018	00082971771	BARNES, JEREMY	MF CAPITAL WORLD GROWTH AND INCOME B	B	24.37	0.24	0.00	0.24	90.0	0.22
07/16/2018	00082971771	BARNES, JEREMY	MF SMALLCAP WORLD FUND-C	B	156.25	1.56	0.00	1.56	90.0	1.40
07/16/2018	00082971771	BARNES, JEREMY	MF SMALLCAP WORLD FUND-C	B	24.38	0.24	0.00	0.24	90.0	0.22
07/16/2018	00082971771	BARNES, JEREMY	MF THE GROWTH FUND OF AMERICA-C	B	208.33	2.08	0.00	2.08	90.0	1.87
07/16/2018	00082971771	BARNES, JEREMY	MF THE GROWTH FUND OF AMERICA-C	B	32.50	0.33	0.00	0.33	90.0	0.30
07/16/2018	00086884931	TROLLOPE, ANDREW	MF AMERICAN BALANCED FUND-A	B	100.00	2.75	0.00	2.75	90.0	2.48
07/16/2018	00086884931	TROLLOPE, ANDREW	MF AMERICAN BALANCED FUND-A	B	98.08	2.70	0.00	2.70	90.0	2.43
07/16/2018	00088372702	WALKER, BRANDON	MF AMERICAN BALANCED FUND-A	B	108.33	2.98	0.00	2.98	90.0	2.68
07/16/2018	00088372702	WALKER, BRANDON	MF AMERICAN BALANCED FUND-A	B	67.32	1.85	0.00	1.85	90.0	1.67
07/16/2018	00089942067	HAYS, AYNE	MF AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/11/2018	01470070853	GAMMON, GINA	MF FIDELITY ADV ENERGY CL M	B	100.00	2.00	0.00	2.00	90.0	1.80
07/11/2018	01470070853	GAMMON, GINA	MF FIDELITY ADV REAL ESTATE CL M	B	100.00	2.00	0.00	2.00	90.0	1.80
07/11/2018	01470070853	GAMMON, GINA	MF FIDELITY ADVISOR FUNDS	B	800.00	16.00	0.00	16.00	90.0	14.40
07/11/2018	01485185084	SUTHERLAND,	MF FIDELITY ADV FREEDOM 2045 CL A	B	80.09	2.20	0.00	2.20	90.0	1.98

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07/11/2018	01485185084 SUTHERLAND,	MF FIDELITY ADV FREEDOM 2045 CL A	B	80.09	2.20	0.00	2.20	90.0	1.98
07/11/2018	01485187296 CANOY, THERESA	MF FIDELITY ADV FREEDOM 2040 CL A	B	66.44	1.83	0.00	1.83	90.0	1.65
07/11/2018	01485187296 CANOY, THERESA	MF FIDELITY ADV FREEDOM 2040 CL A	B	66.44	1.83	0.00	1.83	90.0	1.65
* MUTUAL FUNDS SUBTOTAL *				\$2,368.87	\$45.35	\$0.00	\$45.35		\$40.83

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018	(None) VARIOUS, ARCHAMBO A	MFT NATIXIS	B	0.00	329.13	0.00	329.13	90.0	296.22
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$329.13	\$0.00	\$329.13		\$296.22
*** DIRECT TRANSACTIONS TOTAL ***				\$2,368.87	\$374.48	\$0.00	\$374.48		\$337.05

LINKED CLEARING TRANSACTIONS

07/10/2018	184072873 CHENG, KOON TAT	MF OAKMARK INTL FD CL INV	B	-847.55	0.00	5.00	-5.00		-4.50
07/10/2018	368324927 LOTTRIDGE, DELyce	MF OAKMARK INTL FD CL INV	B	-697.55	0.00	5.00	-5.00		-4.50
07/12/2018	368341892 DICKINSON, SHONNA	MF LOOMIS SAYLES BOND FD CL R	S	-10,018.79	0.00	5.00	-5.00		-4.50
07/12/2018	368341892 DICKINSON, SHONNA	MF OAKMARK FUND	S	-5,015.76	0.00	5.00	-5.00		-4.50
07/12/2018	368341892 DICKINSON, SHONNA	MF OPPENHEIMER DEVELOPING MKT FD	B	-4,997.55	0.00	5.00	-5.00		-4.50
07/18/2018	368438526 JOHNSON, HELEN	MF OAKMARK INTL FD CL INV	B	-1,097.55	0.00	5.00	-5.00		-4.50
07/18/2018	368438526 JOHNSON, HELEN	MF PIMCO REAL RETURN FUND (A)	B	-1,597.55	0.00	5.00	-5.00		-4.50
07/18/2018	584430112 PHILLIPS, BILLY	MF CARILLON SCOUT MID CAP FUND	S	3,842.45	0.00	5.00	-5.00		-4.50
07/18/2018	584430112 PHILLIPS, BILLY	MF DREYFUS SMALL CAP STOCK INDEX	S	3,842.45	0.00	5.00	-5.00		-4.50
07/18/2018	584430112 PHILLIPS, BILLY	MF HARDING LOEVNER INTL EQUITY FD	S	5,762.45	0.00	5.00	-5.00		-4.50
07/18/2018	584430112 PHILLIPS, BILLY	MF NATIXIS LOOMIS SAYLES INV GRD	S	5,762.45	0.00	5.00	-5.00		-4.50
07/18/2018	584430112 PHILLIPS, BILLY	MF OAKMARK FUND	S	7,682.45	0.00	5.00	-5.00		-4.50
07/18/2018	584430112 PHILLIPS, BILLY	MF OPPENHEIMER SENIOR FLOATING	S	5,762.45	0.00	5.00	-5.00		-4.50
07/18/2018	584430112 PHILLIPS, BILLY	MF THORNBURG LTD TERM INCOME FD CL	S	5,762.45	0.00	5.00	-5.00		-4.50
07/10/2018	646261660 FITZSIMMONS,	MF MFS GLOBAL EQUITY FD CL I	B	-147.55	0.00	5.00	-5.00		-4.50
07/17/2018	646261660 FITZSIMMONS,	MF MFS GLOBAL EQUITY FD CL I	S	152.45	0.00	2.50	-2.50		-2.25
07/10/2018	646266202 FITZSIMMONS,	MF MFS GLOBAL EQUITY FD CL I	B	-147.55	0.00	5.00	-5.00		-4.50
07/17/2018	646266202 FITZSIMMONS,	MF MFS GLOBAL EQUITY FD CL I	S	152.45	0.00	2.50	-2.50		-2.25
06/29/2018	646277370 FITZSIMMONS, JULIE	MF AMERICAN FDS BALANCED FD CL F2	S	202.45	0.00	2.50	-2.50		-2.25
07/19/2018	799767148 WOLKEN, CHERAY	MF VANGUARD INFLATION PROTECT SEC	S	-7,951.09	0.00	5.00	-5.00		-4.50
07/19/2018	799767148 WOLKEN, CHERAY	MF VANGUARD INTERMEDIATE US	S	-3,878.05	0.00	5.00	-5.00		-4.50
07/19/2018	799767148 WOLKEN, CHERAY	MF VANGUARD SHORT TERM CORP BOND	B	-5,497.55	0.00	5.00	-5.00		-4.50
07/23/2018	mfc002080 ARCHAMBO A 002080	MFT AB HIGH INCOME A	B	0.00	0.99	0.00	0.99		0.89
07/23/2018	mfc002080 ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1	B	0.00	4.59	0.00	4.59		4.13
07/23/2018	mfc002080 ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1	B	0.00	13.15	0.00	13.15		11.84
07/23/2018	mfc002080 ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1	B	0.00	20.81	0.00	20.81		18.73
07/23/2018	mfc002080 ARCHAMBO A 002080	MFT BAIRD CORE PLUS BOND FD INV	B	0.00	1.52	0.00	1.52		1.37
07/23/2018	mfc002080 ARCHAMBO A 002080	MFT BAIRD CORE PLUS BOND FD INV	B	0.00	8.11	0.00	8.11		7.30
07/23/2018	mfc002080 ARCHAMBO A 002080	MFT CAPITAL INCOME BUILDER-A	B	0.00	8.09	0.00	8.09		7.28
07/23/2018	mfc002080 ARCHAMBO A 002080	MFT CAPITAL WORLD GROWTH AND INCOME B	B	0.00	10.76	0.00	10.76		9.68

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	9.64	0.00	9.64		8.68
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT COLUMBIA MID CAP INDEX FD CL A B	0.00	2.87	0.00	2.87		2.58
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT COLUMBIA MID CAP INDEX FD CL A B	0.00	3.06	0.00	3.06		2.75
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT CUSIP 926826827 B	0.00	2.13	0.00	2.13		1.92
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DOMINI IMPACT INTL EQUITY FD B	0.00	2.46	0.00	2.46		2.21
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DOMINI IMPACT BOND FD INV B	0.00	1.95	0.00	1.95		1.76
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX B	0.00	0.48	0.00	0.48		0.43
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX B	0.00	0.82	0.00	0.82		0.74
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX B	0.00	1.00	0.00	1.00		0.90
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX B	0.00	1.00	0.00	1.00		0.90
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX B	0.00	1.44	0.00	1.44		1.30
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX B	0.00	1.60	0.00	1.60		1.44
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX B	0.00	1.67	0.00	1.67		1.50
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX B	0.00	2.20	0.00	2.20		1.98
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX B	0.00	5.73	0.00	5.73		5.16
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX B	0.00	6.02	0.00	6.02		5.42
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT EUROPAICIFIC GROWTH FUND CLASS F B	0.00	3.83	0.00	3.83		3.45
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADVISOR (FOCUS) HEALTH B	0.00	0.47	0.00	0.47		0.42
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADV GROWTH OPPORT CL T B	0.00	4.78	0.00	4.78		4.30
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADVISOR VALUE B	0.00	0.87	0.00	0.87		0.78
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADVISOR VALUE B	0.00	2.15	0.00	2.15		1.94
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADV STRATEGIC INCOME B	0.00	0.03	0.00	0.03		0.03
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT GROWTH FUND OF AMERICA CLASS F B	0.00	1.59	0.00	1.59		1.43
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM FLOATING RATE B	0.00	3.86	0.00	3.86		3.47
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM FLOATING RATE B	0.00	4.68	0.00	4.68		4.21
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM LTD DURATION A B	0.00	4.46	0.00	4.46		4.01
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV B	0.00	0.51	0.00	0.51		0.46
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV B	0.00	0.54	0.00	0.54		0.49
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV B	0.00	0.77	0.00	0.77		0.69
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV B	0.00	1.53	0.00	1.53		1.38
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV B	0.00	1.53	0.00	1.53		1.38
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV B	0.00	3.16	0.00	3.16		2.84
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV B	0.00	3.28	0.00	3.28		2.95
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV B	0.00	12.11	0.00	12.11		10.90
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT INTERMEDIATE BOND FUND OF B	0.00	9.48	0.00	9.48		8.53
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT LOOMIS SAYLES BOND FD CL R B	0.00	0.33	0.00	0.33		0.30
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT LOOMIS SAYLES BOND FD CL R B	0.00	2.05	0.00	2.05		1.85
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT METROPOLITAN WEST TOTAL RTN BD B	0.00	2.21	0.00	2.21		1.99
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT NATIXIS OAKMARK INTL FD A B	0.00	0.78	0.00	0.78		0.70
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT NEW PERSPECTIVE FUND-F1 B	0.00	2.75	0.00	2.75		2.48
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1 B	0.00	1.59	0.00	1.59		1.43
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1 B	0.00	1.60	0.00	1.60		1.44
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1 B	0.00	1.97	0.00	1.97		1.77
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT OPPENHEIMER DEVELOPING MARKETS B	0.00	1.29	0.00	1.29		1.16

COMMISSION STATEMENT for AARON ARCHAMBO-D002

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT OPPENHEIMER DEVELOPING MKT FD	B	0.00	0.45	0.00	0.45	0.41
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT OPPENHEIMER DEVELOPING MKT FD	B	0.00	4.37	0.00	4.37	3.93
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PAX HIGH YIELD BOND FD INV CL	B	0.00	1.24	0.00	1.24	1.12
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PAX HIGH YIELD BOND FD INV CL	B	0.00	2.06	0.00	2.06	1.85
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PAX SMALL CAP INDIVIDUAL FD INV	B	0.00	0.78	0.00	0.78	0.70
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PAX SMALL CAP INDIVIDUAL FD INV	B	0.00	2.58	0.00	2.58	2.32
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO INCOME FD CL A	B	0.00	1.69	0.00	1.69	1.52
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO INCOME FD CL A	B	0.00	2.87	0.00	2.87	2.58
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.46	0.00	1.46	1.31
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.68	0.00	2.68	2.41
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.02	0.00	3.02	2.72
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.35	0.00	3.35	3.02
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	9.90	0.00	9.90	8.91
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD GLOBAL EQTY INCM INDV	B	0.00	0.56	0.00	0.56	0.50
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD GLOBAL EQTY INCM INDV	B	0.00	0.98	0.00	0.98	0.88
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD LARGE CAP ENHANCED	B	0.00	0.68	0.00	0.68	0.61
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD LARGE CAP ENHANCED	B	0.00	1.71	0.00	1.71	1.54
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT THE BOND FUND OF AMERICA-A	B	0.00	2.32	0.00	2.32	2.09
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	1.85	0.00	1.85	1.67
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	5.74	0.00	5.74	5.17
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.46	0.00	1.46	1.31
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.99	0.00	3.99	3.59
* AARON ARCHAMBO-D166 SUBTOTAL *				\$-2,969.59	\$242.03	\$102.50	\$139.53		\$125.58
*** LINKED CLEARING TRANSACTIONS TOTAL ***				\$-2,969.59	\$242.03	\$102.50	\$139.53		\$125.58

ADJUSTMENTS TRANSACTIONS

BROKER: #0

07/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
07/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-55.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-55.00

BROKER COMMISSION TOTALS

Broker Transactions	\$490.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$435.06
Year-to-date Commissions	\$4,005.89