

COMMISSION STATEMENT

January 31, 2018

VICTOR SHAMILOV
605 EDISON GLEN TERRACE

BROKER #: 70
BRANCH: HOME OFFICE

EDISON, NJ 08837

COMMISSION STATEMENT for VICTOR SHAMILOV

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/10/2018	75501005	AMERICAN COMMITTEE	FRK CA TF INC FND - CL A	S	25,955.16	174.00	7.00	167.00	85.0	140.90
01/26/2018	75501005	AMERICAN COMMITTEE	VANGUARD BALANCED INDEX FD INC ADMI S		5,375.92	50.00	7.00	43.00	85.0	35.50
01/26/2018	75501005	AMERICAN COMMITTEE	VANGUARD BD INDEX FD INC INTER TERM S		3,180.80	50.00	7.00	43.00	85.0	35.50
01/26/2018	75501005	AMERICAN COMMITTEE	VANGUARD CALIF TAX FREE FD	S	13,831.60	57.00	7.00	50.00	85.0	41.45
01/26/2018	75501005	AMERICAN COMMITTEE	VANGUARD CALIF TAX FREE INTERMEDIAT S		38,635.45	165.00	7.00	158.00	85.0	133.25
01/26/2018	75501005	AMERICAN COMMITTEE	VANGUARD INDEX TR VANGUARD GROWTH I S		5,728.31	50.00	7.00	43.00	85.0	35.50
01/26/2018	75501005	AMERICAN COMMITTEE	VANGUARD MUN BOND FUND INC INTER TE S		19,459.76	69.00	7.00	62.00	85.0	51.65
01/26/2018	75501005	AMERICAN COMMITTEE	VANGUARD WELLINGTON FD INC	S	5,218.15	50.00	7.00	43.00	85.0	35.50
01/26/2018	75501005	AMERICAN COMMITTEE	VANGUARD/WELLESLEY INCOME FD INC AD S		23,661.96	50.00	7.00	43.00	85.0	35.50
* MUTUAL FUNDS SUBTOTAL *					\$141,047.11	\$715.00	\$63.00	\$652.00		\$544.75

PRODUCT CATEGORY: STOCKS

01/02/2018	75501005	AMERICAN COMMITTEE	MICROSOFT CORP	S	22,297.60	50.00	7.00	43.00	85.0	35.50
01/03/2018	75501157	DZIEDZIC, HENRY	HESS CORPORATION	S	48,925.10	50.00	7.00	43.00	85.0	35.50
01/03/2018	75501157	DZIEDZIC, HENRY	HESS CORPORATION	S	49,450.00	50.00	7.00	43.00	85.0	35.50
01/05/2018	75501157	DZIEDZIC, HENRY	MORGAN STANLEY DEAN WITTER & CO	S	159,000.00	75.00	7.00	68.00	85.0	56.75
01/05/2018	75501157	DZIEDZIC, HENRY	MORGAN STANLEY DEAN WITTER & CO	S	157,500.00	75.00	7.00	68.00	85.0	56.75
01/08/2018	75501157	DZIEDZIC, HENRY	MORGAN STANLEY DEAN WITTER & CO	B	158,694.00	75.00	7.00	68.00	85.0	56.75
01/10/2018	75501157	DZIEDZIC, HENRY	MORGAN STANLEY DEAN WITTER & CO	B	53,887.00	50.00	7.00	43.00	85.0	35.50
01/16/2018	75501157	DZIEDZIC, HENRY	J P MORGAN CHASE & CO	B	112,834.00	50.00	7.00	43.00	85.0	35.50
01/19/2018	75501157	DZIEDZIC, HENRY	HESS CORPORATION	B	52,281.00	50.00	7.00	43.00	85.0	35.50
01/19/2018	75501157	DZIEDZIC, HENRY	MORGAN STANLEY DEAN WITTER & CO	S	57,250.00	50.00	7.00	43.00	85.0	35.50
01/29/2018	75501157	DZIEDZIC, HENRY	HESS CORPORATION	B	53,301.60	50.00	7.00	43.00	85.0	35.50
01/10/2018	75501254	TEPLER, MICHAEL	EXACT SCIENCES CORP	B	25,775.00	50.00	7.00	43.00	85.0	35.50
01/24/2018	75501254	TEPLER, MICHAEL	EXACT SCIENCES CORP	S	25,812.50	50.00	7.00	43.00	85.0	35.50
01/24/2018	75501254	TEPLER, MICHAEL	TEVA PHARMACEUTICAL INDUSTRIES LIMI S		41,784.00	50.00	7.00	43.00	85.0	35.50
01/16/2018	75502163	TEPLER, MICHAEL	MERCK & CO INC	B	62,167.00	50.00	7.00	43.00	85.0	35.50
				* STOCKS SUBTOTAL *	\$1,080,958.80	\$825.00	\$105.00	\$720.00		\$596.25

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BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
01/03/2018	75501157	DZIEDZIC, HENRY	CALL MS	01/05/18	52.50	S	870.00	75.00	7.00	68.00	85.0	56.75
01/04/2018	75501157	DZIEDZIC, HENRY	CALL MS	01/05/18	53	S	1,620.00	75.00	7.00	68.00	85.0	56.75
01/08/2018	75501157	DZIEDZIC, HENRY	CALL MS	01/12/18	53	S	480.00	50.00	7.00	43.00	85.0	35.50
01/09/2018	75501157	DZIEDZIC, HENRY	CALL MS	01/12/18	53	S	690.00	50.00	7.00	43.00	85.0	35.50
01/12/2018	75501157	DZIEDZIC, HENRY	CALL MS	01/12/18	53	B	3,980.00	50.00	7.00	43.00	85.0	35.50
01/22/2018	75501157	DZIEDZIC, HENRY	CALL JPM	01/26/18	114	S	810.00	50.00	7.00	43.00	85.0	35.50
01/22/2018	75501157	DZIEDZIC, HENRY	CALL MS	01/26/18	56	S	1,150.00	50.00	7.00	43.00	85.0	35.50
01/23/2018	75501157	DZIEDZIC, HENRY	CALL HES	01/26/18	54	S	850.00	50.00	7.00	43.00	85.0	35.50
01/26/2018	75501157	DZIEDZIC, HENRY	CALL JPM	02/02/18	115	S	1,400.00	50.00	7.00	43.00	85.0	35.50
01/26/2018	75501157	DZIEDZIC, HENRY	CALL JPM	01/26/18	114	B	1,949.00	50.00	7.00	43.00	85.0	35.50
01/26/2018	75501157	DZIEDZIC, HENRY	CALL MS	01/26/18	56	B	1,580.00	50.00	7.00	43.00	85.0	35.50
* OPTIONS SUBTOTAL *							\$15,379.00	\$600.00	\$77.00	\$523.00		\$433.00
PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT												
01/03/2018	75501005	AMERICAN COMMITTEE	GOLDMAN SACHS BK USA NY CD 2.8 C/D	S			15,000.00	0.00	7.00	-7.00	85.0	-7.00
01/03/2018	75501005	AMERICAN COMMITTEE	HORIZON BK WAVERLY NEB CD 2.8% C/D	S			7,960.00	0.00	7.00	-7.00	85.0	-7.00
* CERTIFICATES OF DEPOSIT SUBTOTAL *							\$22,960.00	\$0.00	\$14.00	\$-14.00		\$-14.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS												
01/10/2018	75500778	PINCUS, HARVEY	CALAMOS GROWTH FUND CLASS A	B			0.00	9.14	0.00	9.14	85.0	7.77
01/10/2018	75500778	PINCUS, HARVEY	JOHN HANCOCK CLASSIC VALUE FUND CLA	B			0.00	2.89	0.00	2.89	85.0	2.46
01/10/2018	75500941	REISS & DAVIDOWITZ	AIM MID-CAP EQUITY FUND CLASS A	B			0.00	77.33	0.00	77.33	85.0	65.73
01/10/2018	75500941	REISS & DAVIDOWITZ	ALLIANZ OCC RENAISSANCE FUND CLASS	B			0.00	29.21	0.00	29.21	85.0	24.83
01/10/2018	75500941	REISS & DAVIDOWITZ	INVESCO VALUE OPPORTUNITIES CLASS A	B			0.00	56.84	0.00	56.84	85.0	48.31
01/10/2018	75500941	REISS & DAVIDOWITZ	MUTUAL SERIES BEACON CLASS A	B			0.00	25.33	0.00	25.33	85.0	21.53
01/10/2018	75501093	FALK, ALAN	CALAMOS GROWTH FUND CLASS A	B			0.00	3.55	0.00	3.55	85.0	3.02
01/10/2018	75501093	FALK, ALAN	OLSTEIN ALL CAP VALUE FUND CLASS C	B			0.00	4.48	0.00	4.48	85.0	3.81
01/10/2018	75501156	TEPLER, MICHAEL	CALAMOS GROWTH FUND CLASS A	B			0.00	128.36	0.00	128.36	85.0	109.11
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *							\$0.00	\$337.13	\$0.00	\$337.13		\$286.57
*** BROKER TRANSACTIONS TOTAL ***							\$1,260,344.91	\$2,477.13	\$259.00	\$2,218.13		\$1,846.57

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ADJUSTMENTS

01/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
01/31/2018		OTHER: OTHER-STEVE SUSLOW		0.00	0.00	0.00	0.00		-908.64
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-928.64

BROKER COMMISSION TOTALS

Broker Transactions	\$1,846.57
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-928.64
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-48.73
FINRA Assessment	\$-3.10
SIPC Assessment	\$-6.19

Check Amount	\$859.91
Year-to-date Earnings	\$859.91