

**COMMISSION STATEMENT****January 15, 2018****BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAUP**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

**BROKER #: BUM**

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
^12/29/2017	IAQUINTO, JOSEPH	GPB WASTE MANAGEMENT LP	400,000.00	28,000.00	0.00	28,000.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$400,000.00	\$28,000.00	\$0.00	\$28,000.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^12/06/2017	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.80	0.00	3.80	100.0	0.00
^12/06/2017	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.67	0.00	1.67	100.0	0.00
^12/06/2017	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.06	0.00	4.06	100.0	0.00
^12/13/2017	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	2.08	0.00	2.08	100.0	0.00
^12/13/2017	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	8.33	0.00	8.33	100.0	0.00
^12/13/2017	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	5.14	0.00	5.14	100.0	0.00
^12/18/2017	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	2.02	0.00	2.02	100.0	0.00
^12/18/2017	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	3.33	0.00	3.33	100.0	0.00
^12/14/2017	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.50	0.00	0.50	100.0	0.00
^12/19/2017	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.70	0.00	1.70	100.0	0.00
^12/19/2017	CHEW, ROLAND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.60	0.00	1.60	100.0	0.00
^12/19/2017	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.85	0.00	2.85	100.0	0.00
^12/19/2017	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.37	0.00	0.37	100.0	0.00
^12/19/2017	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.22	0.00	2.22	100.0	0.00
^12/19/2017	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.41	0.00	1.41	100.0	0.00
^12/19/2017	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.79	0.00	0.79	100.0	0.00
^12/19/2017	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.75	0.00	1.75	100.0	0.00
^12/14/2017	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	20.63	0.00	20.63	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$64.25	\$0.00	\$64.25		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$400,000.00	\$28,064.25	\$0.00	\$28,064.25		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.01</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>