

All Companies
STATEMENT
August 20, 2018

KRISTIN FRENCH
9431 Coppertop Loop NE Suite 2
SUITE 620
Bainbridge Island, WA 98110

BROKER #: 125
BRANCH:

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/02/2018	#00085024996	DOWNS, CAPITAL INCOME BUILDER FD CL A	50.00	2.13	90.0	0.00	1.92	0.00	1.92
07/02/2018	#00085024996	DOWNS, CAPITAL WORLD GROWTH & INCOME FUND	50.00	2.13	90.0	0.00	1.92	0.00	1.92
07/20/2018	#	FIDELITY COMWLTH TR FIDELITY ADV EV	10,000.00	500.00	90.0	0.00	450.00	20.00	430.00
07/23/2018	#	FIDELITY COMWLTH TR FIDELITY ADV EV	10,000.00	500.00	90.0	0.00	450.00	20.00	430.00
* MUTUAL FUNDS SUBTOTAL *			\$20,100.00	\$1,004.26		\$0.00	\$903.84	\$40.00	\$863.84
<u>PRODUCT CATEGORY: STOCKS</u>									
07/12/2018	# DICKINSON-KIDDER, KAREN	***EMERALD HEALTH THERAPEUTICS	115.00	50.00	90.0	0.00	45.00	19.95	25.05
07/12/2018	# DICKINSON-KIDDER, KAREN	CANOPY GROWTH CORPORATION COM	1,189.20	50.00	90.0	0.00	45.00	19.95	25.05
07/12/2018	# DICKINSON-KIDDER, KAREN	GREENGRO TECHNOLOGIES INC	453.00	25.00	90.0	0.00	22.50	19.95	2.55
* STOCKS SUBTOTAL *			\$1,757.20	\$125.00		\$0.00	\$112.50	\$59.85	\$52.65
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/01/0018	#E0737443	KIRKREIT, JUDY PRUDETIAL TRAILS	0.00	32.84	90.0	0.00	29.56	0.00	29.56
07/05/0018	#I083459LM	KITSSAP ROOFING VOYA	0.00	1,070.84	90.0	0.00	963.76	0.00	963.76
06/30/0201	#711693249	NICHOLSEN, HARTFORD LIFE	0.00	71.14	90.0	0.00	64.03	0.00	64.03
07/20/2018	#1015492461	PERSP II 09/12	25,000.00	1,875.00	90.0	0.00	1,687.50	0.00	1,687.50
07/23/2018	#1021409932	HERMAN, BARBARA PERSP II 01/16	70,000.00	4,900.00	90.0	0.00	4,410.00	0.00	4,410.00
07/24/2018	#1201643602	KOUWE, KATHY PII NY 01/16	80,000.00	5,600.00	90.0	0.00	5,040.00	0.00	5,040.00
* VARIABLE ANNUITIES SUBTOTAL *			\$175,000.00	\$13,549.82		\$0.00	\$12,194.85	\$0.00	\$12,194.85
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/02/2018	# WALPOLE, WILLIAM	DAIN TRAILER	8,969.76	0.00	90.0	0.00	0.00	0.00	0.00
07/06/2018	# BRADEN, RANDALL	DAIN TRAILER	7,362.39	0.00	90.0	0.00	0.00	0.00	0.00
07/06/2018	# BRADEN, RANDALL	DAIN TRAILER	9,439.14	0.00	90.0	0.00	0.00	0.00	0.00
07/06/2018	# BRADEN, RANDALL	DAIN TRAILER	23,655.23	0.00	90.0	0.00	0.00	0.00	0.00
07/06/2018	# BRADEN, RANDALL	DAIN TRAILER	25,272.86	0.00	90.0	0.00	0.00	0.00	0.00
07/06/2018	# BRADEN, RANDALL	DAIN TRAILER	17,936.21	0.00	90.0	0.00	0.00	0.00	0.00
07/11/2018	# JOHNSON, JACK	DAIN TRAILER	0.00	0.89	90.0	0.00	0.80	0.00	0.80

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	2.48	90.0	0.00	2.23	0.00	2.23
07/11/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	0.57	90.0	0.00	0.51	0.00	0.51
07/11/2018 #	BRESKE, THOMAS	DAIN TRAILER	0.00	3.60	90.0	0.00	3.24	0.00	3.24
07/11/2018 #	S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	8.18	90.0	0.00	7.36	0.00	7.36
07/11/2018 #	S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	2.69	90.0	0.00	2.42	0.00	2.42
07/11/2018 #	S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	10.85	90.0	0.00	9.77	0.00	9.77
07/11/2018 #	S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	4.08	90.0	0.00	3.67	0.00	3.67
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	21.64	90.0	0.00	19.48	0.00	19.48
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	23.92	90.0	0.00	21.53	0.00	21.53
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	19.83	90.0	0.00	17.85	0.00	17.85
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	26.61	90.0	0.00	23.95	0.00	23.95
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	2.04	90.0	0.00	1.84	0.00	1.84
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	16.08	90.0	0.00	14.47	0.00	14.47
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	8.02	90.0	0.00	7.22	0.00	7.22
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	10.86	90.0	0.00	9.77	0.00	9.77
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	16.99	90.0	0.00	15.29	0.00	15.29
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	8.46	90.0	0.00	7.61	0.00	7.61
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	5.39	90.0	0.00	4.85	0.00	4.85
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	4.96	90.0	0.00	4.46	0.00	4.46
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	10.83	90.0	0.00	9.75	0.00	9.75
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	6.28	90.0	0.00	5.65	0.00	5.65
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	2.66	90.0	0.00	2.39	0.00	2.39
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	17.36	90.0	0.00	15.62	0.00	15.62
07/11/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	4.74	90.0	0.00	4.27	0.00	4.27
07/11/2018 #	C, SAN RAY PROPERT	DAIN TRAILER	0.00	20.43	90.0	0.00	18.39	0.00	18.39
07/11/2018 #	ION, JIM FOGARTY CON	DAIN TRAILER	0.00	5.35	90.0	0.00	4.82	0.00	4.82
07/11/2018 #	ION, JIM FOGARTY CON	DAIN TRAILER	0.00	38.19	90.0	0.00	34.37	0.00	34.37
07/11/2018 #	ION, JIM FOGARTY CON	DAIN TRAILER	0.00	24.22	90.0	0.00	21.80	0.00	21.80
07/11/2018 #	ION, JIM FOGARTY CON	DAIN TRAILER	0.00	20.07	90.0	0.00	18.06	0.00	18.06
07/11/2018 #	ION, JIM FOGARTY CON	DAIN TRAILER	0.00	44.27	90.0	0.00	39.84	0.00	39.84
07/11/2018 #	ION, JIM FOGARTY CON	DAIN TRAILER	0.00	49.59	90.0	0.00	44.63	0.00	44.63
07/11/2018 #	JADILE LLC	DAIN TRAILER	0.00	18.89	90.0	0.00	17.00	0.00	17.00
07/11/2018 #	JADILE LLC	DAIN TRAILER	0.00	8.15	90.0	0.00	7.34	0.00	7.34
07/11/2018 #	JADILE LLC	DAIN TRAILER	0.00	17.84	90.0	0.00	16.06	0.00	16.06
07/11/2018 #	JADILE LLC	DAIN TRAILER	0.00	15.00	90.0	0.00	13.50	0.00	13.50
07/11/2018 #	JADILE LLC	DAIN TRAILER	0.00	7.94	90.0	0.00	7.15	0.00	7.15

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #	JADILE LLC	DAIN TRAILER	0.00	8.11	90.0	0.00	7.30	0.00	7.30
07/11/2018 #	JADILE LLC	DAIN TRAILER	0.00	10.96	90.0	0.00	9.86	0.00	9.86
07/11/2018 #	JADILE LLC	DAIN TRAILER	0.00	6.05	90.0	0.00	5.45	0.00	5.45
07/11/2018 #	JADILE LLC	DAIN TRAILER	0.00	16.63	90.0	0.00	14.97	0.00	14.97
07/11/2018 #	JADILE LLC	DAIN TRAILER	0.00	3.85	90.0	0.00	3.47	0.00	3.47
07/11/2018 #	WALPOLE, WILLIAM	DAIN TRAILER	0.00	1.86	90.0	0.00	1.67	0.00	1.67
07/11/2018 #	SORENSEN, LORI	DAIN TRAILER	0.00	0.21	90.0	0.00	0.19	0.00	0.19
07/11/2018 #	SORENSEN, LORI	DAIN TRAILER	0.00	2.82	90.0	0.00	2.54	0.00	2.54
07/11/2018 #	SORENSEN, LORI	DAIN TRAILER	0.00	5.69	90.0	0.00	5.12	0.00	5.12
07/11/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	2.68	90.0	0.00	2.41	0.00	2.41
07/11/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	2.81	90.0	0.00	2.53	0.00	2.53
07/11/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	6.06	90.0	0.00	5.45	0.00	5.45
07/11/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	1.54	90.0	0.00	1.39	0.00	1.39
07/11/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	13.62	90.0	0.00	12.26	0.00	12.26
07/11/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	17.94	90.0	0.00	16.15	0.00	16.15
07/11/2018 #	COATES DESIGN INC	DAIN TRAILER	0.00	0.82	90.0	0.00	0.74	0.00	0.74
07/11/2018 #	COATES DESIGN INC	DAIN TRAILER	0.00	1.44	90.0	0.00	1.30	0.00	1.30
07/11/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.81	90.0	0.00	1.63	0.00	1.63
07/11/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.90	90.0	0.00	2.61	0.00	2.61
07/11/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.50	90.0	0.00	1.35	0.00	1.35
07/11/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.94	90.0	0.00	2.65	0.00	2.65
07/11/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	5.30	90.0	0.00	4.77	0.00	4.77
07/11/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.78	90.0	0.00	1.60	0.00	1.60
07/11/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.93	90.0	0.00	2.64	0.00	2.64
07/11/2018 #	DAHLEM, DARCY	DAIN TRAILER	0.00	2.40	90.0	0.00	2.16	0.00	2.16
07/11/2018 #	DAHLEM, DARCY	DAIN TRAILER	0.00	8.00	90.0	0.00	7.20	0.00	7.20
07/11/2018 #	COATES, MATTHEW	DAIN TRAILER	0.00	6.07	90.0	0.00	5.46	0.00	5.46
07/11/2018 #	COATES, MATTHEW	DAIN TRAILER	0.00	1.96	90.0	0.00	1.76	0.00	1.76
07/11/2018 #	COATES, MATTHEW	DAIN TRAILER	0.00	1.71	90.0	0.00	1.54	0.00	1.54
07/11/2018 #	COATES, MATTHEW	DAIN TRAILER	0.00	2.65	90.0	0.00	2.39	0.00	2.39
07/11/2018 #	COATES, MATTHEW	DAIN TRAILER	0.00	2.73	90.0	0.00	2.46	0.00	2.46
07/11/2018 #	INC, STARGAZER MANAG	DAIN TRAILER	0.00	5.30	90.0	0.00	4.77	0.00	4.77
07/11/2018 #	INC, STARGAZER MANAG	DAIN TRAILER	0.00	0.01	90.0	0.00	0.01	0.00	0.01
07/11/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.90	90.0	0.00	4.41	0.00	4.41
07/11/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	5.77	90.0	0.00	5.19	0.00	5.19
07/11/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	5.35	90.0	0.00	4.82	0.00	4.82

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.23	90.0	0.00	2.01	0.00	2.01
07/11/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	3.95	90.0	0.00	3.56	0.00	3.56
07/11/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	8.02	90.0	0.00	7.22	0.00	7.22
07/11/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	5.86	90.0	0.00	5.27	0.00	5.27
07/11/2018	# ARCANDIER INC	DAIN TRAILER	0.00	27.64	90.0	0.00	24.88	0.00	24.88
07/11/2018	# ARCANDIER INC	DAIN TRAILER	0.00	23.60	90.0	0.00	21.24	0.00	21.24
07/11/2018	# ARCANDIER INC	DAIN TRAILER	0.00	9.79	90.0	0.00	8.81	0.00	8.81
07/11/2018	# ARCANDIER INC	DAIN TRAILER	0.00	18.20	90.0	0.00	16.38	0.00	16.38
07/11/2018	# ARCANDIER INC	DAIN TRAILER	0.00	11.66	90.0	0.00	10.49	0.00	10.49
07/11/2018	# ARCANDIER INC	DAIN TRAILER	0.00	7.66	90.0	0.00	6.89	0.00	6.89
07/11/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	9.51	90.0	0.00	8.56	0.00	8.56
07/11/2018	# BRESKE, THOMAS	DAIN TRAILER	0.00	3.20	90.0	0.00	2.88	0.00	2.88
07/11/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	2.20	90.0	0.00	1.98	0.00	1.98
07/11/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	2.02	90.0	0.00	1.82	0.00	1.82
07/11/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	7.43	90.0	0.00	6.69	0.00	6.69
07/11/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	3.89	90.0	0.00	3.50	0.00	3.50
07/11/2018	# HOLMQUIST, ANN	DAIN TRAILER	0.00	2.51	90.0	0.00	2.26	0.00	2.26
07/11/2018	# HOLMQUIST, ANN	DAIN TRAILER	0.00	2.60	90.0	0.00	2.34	0.00	2.34
07/11/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	6.89	90.0	0.00	6.20	0.00	6.20
07/11/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	14.96	90.0	0.00	13.46	0.00	13.46
07/11/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	2.97	90.0	0.00	2.67	0.00	2.67
07/11/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	3.52	90.0	0.00	3.17	0.00	3.17
07/11/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	3.13	90.0	0.00	2.82	0.00	2.82
07/11/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	7.61	90.0	0.00	6.85	0.00	6.85
07/11/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	6.68	90.0	0.00	6.01	0.00	6.01
07/11/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.85	90.0	0.00	3.47	0.00	3.47
07/11/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.68	90.0	0.00	1.51	0.00	1.51
07/11/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.98	90.0	0.00	1.78	0.00	1.78
07/11/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.88	90.0	0.00	2.59	0.00	2.59
07/11/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	5.79	90.0	0.00	5.21	0.00	5.21
07/11/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.05	90.0	0.00	1.85	0.00	1.85
07/11/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	0.85	90.0	0.00	0.77	0.00	0.77
07/11/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.05	90.0	0.00	0.95	0.00	0.95
07/11/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	12.50	90.0	0.00	11.25	0.00	11.25
07/11/2018	# REBECCA LALIBERTE TTEE	DAIN TRAILER	0.00	10.57	90.0	0.00	9.51	0.00	9.51
07/11/2018	# JOHNSON, JACK	DAIN TRAILER	0.00	2.79	90.0	0.00	2.51	0.00	2.51

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	2.19	90.0	0.00	1.97	0.00	1.97
07/11/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	1.18	90.0	0.00	1.06	0.00	1.06
07/11/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	1.61	90.0	0.00	1.45	0.00	1.45
07/11/2018 #	SARDINIA, SANDHYA	DAIN TRAILER	0.00	0.66	90.0	0.00	0.59	0.00	0.59
07/11/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	3.22	90.0	0.00	2.90	0.00	2.90
07/11/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	3.37	90.0	0.00	3.03	0.00	3.03
07/11/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	10.98	90.0	0.00	9.88	0.00	9.88
07/11/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	5.59	90.0	0.00	5.03	0.00	5.03
07/11/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	9.90	90.0	0.00	8.91	0.00	8.91
07/11/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	24.78	90.0	0.00	22.30	0.00	22.30
07/11/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	12.33	90.0	0.00	11.10	0.00	11.10
07/11/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.58	90.0	0.00	3.22	0.00	3.22
07/11/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	4.54	90.0	0.00	4.09	0.00	4.09
07/11/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	4.17	90.0	0.00	3.75	0.00	3.75
07/11/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.31	90.0	0.00	2.08	0.00	2.08
07/11/2018 #	HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	4.19	90.0	0.00	3.77	0.00	3.77
07/11/2018 #	HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	2.56	90.0	0.00	2.30	0.00	2.30
07/11/2018 #	HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	4.28	90.0	0.00	3.85	0.00	3.85
07/11/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	0.11	90.0	0.00	0.10	0.00	0.10
07/11/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	0.10	90.0	0.00	0.09	0.00	0.09
07/11/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	0.51	90.0	0.00	0.46	0.00	0.46
07/11/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	1.17	90.0	0.00	1.05	0.00	1.05
07/11/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	1.26	90.0	0.00	1.13	0.00	1.13
07/11/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	7.29	90.0	0.00	6.56	0.00	6.56
07/11/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	0.20	90.0	0.00	0.18	0.00	0.18
07/11/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	2.44	90.0	0.00	2.20	0.00	2.20
07/11/2018 #	COATES DESIGN INC	DAIN TRAILER	0.00	3.22	90.0	0.00	2.90	0.00	2.90
07/11/2018 #	COATES DESIGN INC	DAIN TRAILER	0.00	9.16	90.0	0.00	8.24	0.00	8.24
07/11/2018 #	COATES DESIGN INC	DAIN TRAILER	0.00	3.08	90.0	0.00	2.77	0.00	2.77
07/11/2018 #	JOHNSON, MARGARET	DAIN TRAILER	0.00	68.52	90.0	0.00	61.67	0.00	61.67
07/11/2018 #	JOHNSON, MARGARET	DAIN TRAILER	0.00	83.70	90.0	0.00	75.33	0.00	75.33
07/11/2018 #	JOHNSON, MARGARET	DAIN TRAILER	0.00	20.24	90.0	0.00	18.22	0.00	18.22
07/11/2018 #	JOHNSON, ROGER	DAIN TRAILER	0.00	37.25	90.0	0.00	33.53	0.00	33.53
07/11/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	3.91	90.0	0.00	3.52	0.00	3.52
07/11/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	12.34	90.0	0.00	11.11	0.00	11.11
07/11/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	34.33	90.0	0.00	30.90	0.00	30.90

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	9.46	90.0	0.00	8.51	0.00	8.51
07/11/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	6.76	90.0	0.00	6.08	0.00	6.08
07/11/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	69.79	90.0	0.00	62.81	0.00	62.81
07/11/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	9.87	90.0	0.00	8.88	0.00	8.88
07/11/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	13.36	90.0	0.00	12.02	0.00	12.02
07/11/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	53.70	90.0	0.00	48.33	0.00	48.33
07/11/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	9.87	90.0	0.00	8.88	0.00	8.88
07/11/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	51.78	90.0	0.00	46.60	0.00	46.60
07/11/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	49.02	90.0	0.00	44.12	0.00	44.12
07/11/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	29.94	90.0	0.00	26.95	0.00	26.95
07/11/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	16.04	90.0	0.00	14.44	0.00	14.44
07/11/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	38.72	90.0	0.00	34.85	0.00	34.85
07/11/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	23.38	90.0	0.00	21.04	0.00	21.04
07/11/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	2.65	90.0	0.00	2.39	0.00	2.39
07/11/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	4.11	90.0	0.00	3.70	0.00	3.70
07/11/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	5.73	90.0	0.00	5.16	0.00	5.16
07/11/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	16.45	90.0	0.00	14.81	0.00	14.81
07/11/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	2.69	90.0	0.00	2.42	0.00	2.42
07/11/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	21.90	90.0	0.00	19.71	0.00	19.71
07/11/2018 #	BRADEN, RANDALL	DAIN TRAILER	0.00	5.17	90.0	0.00	4.65	0.00	4.65
07/11/2018 #	BRADEN, RANDALL	DAIN TRAILER	0.00	3.68	90.0	0.00	3.31	0.00	3.31
07/11/2018 #	BRADEN, RANDALL	DAIN TRAILER	0.00	4.59	90.0	0.00	4.13	0.00	4.13
07/11/2018 #	BRADEN, RANDALL	DAIN TRAILER	0.00	1.90	90.0	0.00	1.71	0.00	1.71
07/11/2018 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	2.27	90.0	0.00	2.04	0.00	2.04
07/11/2018 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	3.17	90.0	0.00	2.85	0.00	2.85
07/11/2018 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	1.15	90.0	0.00	1.04	0.00	1.04
07/11/2018 #	DR JOHN MCLAIRN JR TTEE	DAIN TRAILER	0.00	0.39	90.0	0.00	0.35	0.00	0.35
07/11/2018 #	DR JOHN MCLAIRN JR TTEE	DAIN TRAILER	0.00	0.46	90.0	0.00	0.41	0.00	0.41
07/11/2018 #	DR JOHN MCLAIRN JR TTEE	DAIN TRAILER	0.00	0.41	90.0	0.00	0.37	0.00	0.37
07/11/2018 #	DR JOHN MCLAIRN JR TTEE	DAIN TRAILER	0.00	0.99	90.0	0.00	0.89	0.00	0.89
07/11/2018 #	DR JOHN MCLAIRN JR TTEE	DAIN TRAILER	0.00	0.64	90.0	0.00	0.58	0.00	0.58
07/11/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	0.90	90.0	0.00	0.81	0.00	0.81
07/11/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	3.00	90.0	0.00	2.70	0.00	2.70
07/11/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	2.97	90.0	0.00	2.67	0.00	2.67
07/11/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	1.40	90.0	0.00	1.26	0.00	1.26
07/11/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	3.79	90.0	0.00	3.41	0.00	3.41

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	8.42	90.0	0.00	7.58	0.00	7.58
07/11/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	3.99	90.0	0.00	3.59	0.00	3.59
07/11/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	9.55	90.0	0.00	8.60	0.00	8.60
07/11/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	6.14	90.0	0.00	5.53	0.00	5.53
07/11/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	3.52	90.0	0.00	3.17	0.00	3.17
07/11/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.37	90.0	0.00	2.13	0.00	2.13
07/11/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	3.22	90.0	0.00	2.90	0.00	2.90
07/11/2018 #	LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	3.07	90.0	0.00	2.76	0.00	2.76
07/11/2018 #	LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	4.35	90.0	0.00	3.92	0.00	3.92
07/11/2018 #	LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	5.84	90.0	0.00	5.26	0.00	5.26
07/11/2018 #	LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	2.35	90.0	0.00	2.12	0.00	2.12
07/11/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	1.12	90.0	0.00	1.01	0.00	1.01
07/11/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	3.74	90.0	0.00	3.37	0.00	3.37
07/11/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	2.81	90.0	0.00	2.53	0.00	2.53
07/11/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	1.41	90.0	0.00	1.27	0.00	1.27
07/11/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	3.27	90.0	0.00	2.94	0.00	2.94
07/11/2018 #	BRADEN, RANDALL	DAIN TRAILER	0.00	4.88	90.0	0.00	4.39	0.00	4.39
07/11/2018 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	4.13	90.0	0.00	3.72	0.00	3.72
07/11/2018 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	3.93	90.0	0.00	3.54	0.00	3.54
07/17/2018 #	S INC, SAGE MEDICAL SO	DAIN TRAILER	25,000.00	1,000.00	90.0	0.00	900.00	0.00	900.00
07/19/2018 #	S INC, SAGE MEDICAL SO	DAIN TRAILER	15,000.00	450.00	90.0	0.00	405.00	0.00	405.00
07/19/2018 #	S INC, SAGE MEDICAL SO	DAIN TRAILER	15,000.00	450.00	90.0	0.00	405.00	0.00	405.00
07/19/2018 #		DAIN TRAILER	25,000.00	1,250.00	90.0	0.00	1,125.00	0.00	1,125.00
07/19/2018 #		DAIN TRAILER	25,000.00	1,000.00	90.0	0.00	900.00	0.00	900.00
07/19/2018 #		DAIN TRAILER	50,000.00	2,000.00	90.0	0.00	1,800.00	0.00	1,800.00
07/20/2018 #		DAIN TRAILER	25,000.00	1,250.00	90.0	0.00	1,125.00	0.00	1,125.00
07/23/2018 #		DAIN TRAILER	25,000.00	1,250.00	90.0	0.00	1,125.00	0.00	1,125.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$297,635.59	\$10,513.25		\$0.00	\$9,461.99	\$0.00	\$9,461.99
*** BROKER TRANSACTIONS TOTAL ***			\$494,492.79	\$25,192.33		\$0.00	\$22,673.18	\$99.85	\$22,573.33

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
ADJUSTMENTS									
08/20/2018		BETA BACK OFFICE: ADMIN FEE							-320.00
08/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
08/20/2018		ASSISTANT FEE: ASSISTANT FEE							-59.00
08/20/2018		ASSISTANT FEE: ASSISTANT FEE							-59.00
08/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-35.00
		DEBIT BALANCE							
08/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-35.00
		DEBIT BALANCE							
08/20/2018		ADVANCE: ADVANCE ON LINCOLN							-10,493.50
		COMMISSIONS							
*** ADJUSTMENTS TOTAL ***									\$-11,180.50

BROKER COMMISSION TOTALS

Broker Transactions	\$22,573.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-11,180.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$11,392.83
--------------	-------------