



Concorde Investment Services, LLC

09/13/2017
Page No. 1

COMMISSION STATEMENT **September 15, 2017**

GREGORY FRIEL
7500 NW 10th Place

BROKER #: F123 Terminated 01/31/2016
BRANCH: GREGORY FRIEL

Plantation, FL 33313-

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 08/17/2017 | GAWEL, IRENE | COLUMBIA MARSICO FOCUSED EQUITIES F | 0.00 | 0.54 | 0.00 | 0.54 | 0.0 | 0.00 |
| 08/17/2017 | SAURMAN, PAMELA | COLUMBIA MARSICO FOCUSED EQUITIES F | 0.00 | 1.15 | 0.00 | 1.15 | 0.0 | 0.00 |
| 08/17/2017 | GAWEL, IRENE | EATON VANCE GREATER INDIA FUND CLAS | 0.00 | 3.75 | 0.00 | 3.75 | 0.0 | 0.00 |
| 08/17/2017 | GAWEL, IRENE | EATON VANCE GREATER INDIA FUND CLAS | 0.00 | 1.81 | 0.00 | 1.81 | 0.0 | 0.00 |
| 08/17/2017 | KNAPP TRUST, KEVIN | FIDELITY ADV STRATEGIC INCOME A | 0.00 | 4.96 | 0.00 | 4.96 | 0.0 | 0.00 |
| 08/17/2017 | FOUST, KRISTIN | FIDELITY ADV STRATEGIC INCOME A | 0.00 | 2.97 | 0.00 | 2.97 | 0.0 | 0.00 |
| 08/17/2017 | GAWEL, IRENE | FIDELITY ADV STRATEGIC INCOME A | 0.00 | 1.24 | 0.00 | 1.24 | 0.0 | 0.00 |
| 08/17/2017 | GAWEL, IRENE | FIDELITY ADV STRATEGIC INCOME A | 0.00 | 0.47 | 0.00 | 0.47 | 0.0 | 0.00 |
| 08/17/2017 | SAURMAN, J DOUGLAS | FIDELITY ADV STRATEGIC INCOME A | 0.00 | 12.70 | 0.00 | 12.70 | 0.0 | 0.00 |
| 08/18/2017 | SAURMAN, J DOUGLAS | JOHN HANCOCK LIFESTYLE MODERATE POR | 0.00 | 6.88 | 0.00 | 6.88 | 0.0 | 0.00 |
| 08/18/2017 | SAURMAN, PAMELA | JOHN HANCOCK LIFESTYLE MODERATE POR | 0.00 | 10.10 | 0.00 | 10.10 | 0.0 | 0.00 |
| 08/17/2017 | FRIEL, JEREMY | MFS BOND A | 0.00 | 1.21 | 0.00 | 1.21 | 0.0 | 0.00 |
| 08/17/2017 | FRIEL, SHELLEY | MFS BOND A | 0.00 | 0.23 | 0.00 | 0.23 | 0.0 | 0.00 |
| 08/17/2017 | FRIEL, SHELLEY | MFS DIVERSIFIED INCOME A | 0.00 | 0.81 | 0.00 | 0.81 | 0.0 | 0.00 |
| 08/17/2017 | SAURMAN, PAMELA | MFS DIVERSIFIED INCOME A | 0.00 | 0.93 | 0.00 | 0.93 | 0.0 | 0.00 |
| 08/17/2017 | SAURMAN, PAMELA | MFS EMERGING MARKETS DEBT FUND A | 0.00 | 0.89 | 0.00 | 0.89 | 0.0 | 0.00 |
| 08/17/2017 | FRIEL, JEREMY | MFS EQUITY OPPORTUNITIES A | 0.00 | 0.25 | 0.00 | 0.25 | 0.0 | 0.00 |
| 08/17/2017 | FRIEL, JEREMY | MFS INTERNATIONAL DIVERSIFICATION A | 0.00 | 0.25 | 0.00 | 0.25 | 0.0 | 0.00 |
| 08/17/2017 | FOUST, KRISTIN | MFS INTERNATIONAL DIVERSIFICATION A | 0.00 | 1.44 | 0.00 | 1.44 | 0.0 | 0.00 |
| 08/17/2017 | GAWEL, IRENE | MFS INTERNATIONAL DIVERSIFICATION A | 0.00 | 4.99 | 0.00 | 4.99 | 0.0 | 0.00 |
| 08/17/2017 | FRIEL, JEREMY | MFS MODERATE ALLOCATION A | 0.00 | 8.70 | 0.00 | 8.70 | 0.0 | 0.00 |
| 08/17/2017 | FRIEL, SHELLEY | MFS MODERATE ALLOCATION A | 0.00 | 0.24 | 0.00 | 0.24 | 0.0 | 0.00 |
| 08/17/2017 | FRIEL, SHELLEY | MFS MODERATE ALLOCATION A | 0.00 | 11.05 | 0.00 | 11.05 | 0.0 | 0.00 |
| 08/17/2017 | GAWEL, IRENE | MFS MODERATE ALLOCATION A | 0.00 | 3.31 | 0.00 | 3.31 | 0.0 | 0.00 |
| 08/17/2017 | SAURMAN, J DOUGLAS | MFS MODERATE ALLOCATION A | 0.00 | 2.39 | 0.00 | 2.39 | 0.0 | 0.00 |
| 08/17/2017 | SAURMAN, PAMELA | MFS MODERATE ALLOCATION A | 0.00 | 1.19 | 0.00 | 1.19 | 0.0 | 0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | |
|--------------------------------------|-----------------|-----------------------------------|--------|---------|--------|---------|-----|--------|
| 08/21/2017 | SAURMAN, PAMELA | WILLIAM BLAIR INCOME FUND CLASS N | 0.00 | 0.01 | 0.00 | 0.01 | 0.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$84.46 | \$0.00 | \$84.46 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$0.00 | \$84.46 | \$0.00 | \$84.46 | | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|----------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$494.76 |
| Year-to-date Earnings | \$0.00 |

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