



Concorde Investment Services, LLC

01/12/2018

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# COMMISSION STATEMENT

January 15, 2018

ROBIN EDGAR  
1161 WAYZATA BLVD E  
#400  
Wayzata, MN 55391

BROKER #: E119  
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
01/04/2018	KOSS-WINN BANC ESOP	BTCS INC COM NEW	11,910.00	50.00	27.00	23.00	90.0	20.70
01/08/2018	KOSS-WINN BANC ESOP	BTCS INC COM NEW	6,890.00	50.00	27.00	23.00	90.0	20.70
01/09/2018	KOSS-WINN BANC ESOP	WINNEBAGO INDUSTRIES INC	26,975.00	50.00	22.75	27.25	90.0	24.53
* STOCKS SUBTOTAL *			<b>\$45,775.00</b>	<b>\$150.00</b>	<b>\$76.75</b>	<b>\$73.25</b>		<b>\$65.93</b>
*** CLEARING TRANSACTIONS TOTAL ***			<b>\$45,775.00</b>	<b>\$150.00</b>	<b>\$76.75</b>	<b>\$73.25</b>		<b>\$65.93</b>

<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: PRIVATE PLACEMENT</b>								
12/28/2017	KOSS-WINN BANC ESOP	COTTONWOOD RESIDENTIAL 2016 PREFR'D	25,000.00	250.00	0.00	250.00	90.0	225.00
12/28/2017	KOSS-WINN BANC ESOP	COTTONWOOD RESIDENTIAL 2016 PREFR'D	25,000.00	250.00	0.00	250.00	90.0	225.00
12/28/2017	KOSS-WINN BANC ESOP	COTTONWOOD RESIDENTIAL 2016 PREFR'D	-25,000.00	-250.00	0.00	-250.00	90.0	-225.00
12/28/2017	KOSS-WINN BANC ESOP	COTTONWOOD RESIDENTIAL 2016 PREFR'D	25,000.00	250.00	0.00	250.00	90.0	225.00
12/28/2017	KOSS-WINN BANC ESOP	COTTONWOOD RESIDENTIAL 2016 PREFR'D	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
12/28/2017	KOSS-WINN BANC ESOP	COTTONWOOD RESIDENTIAL 2016 PREFR'D	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
12/28/2017	KOSS-WINN BANC ESOP	COTTONWOOD RESIDENTIAL 2016 PREFR'D	-25,000.00	-1,750.00	0.00	-1,750.00	90.0	-1,575.00
12/28/2017	KOSS-WINN BANC ESOP	COTTONWOOD RESIDENTIAL 2016 PREFR'D	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
11/13/2017	TORNQUIST, SCOTT	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
01/04/2018	KOSS-WINN BANC ESOP	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
12/27/2017	AUDINO, JAMES	HPI FUND V	20,000.00	1,200.00	0.00	1,200.00	90.0	1,080.00
01/04/2018	DOANE TRUST, LAVONNE	HPI FUND V	50,000.00	3,000.00	0.00	3,000.00	90.0	2,700.00
01/10/2018	KOSS-WINN BANC ESOP	HPI FUND V	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
01/10/2018	WUBBEN, VICKI	HPI FUND V	35,000.00	2,100.00	0.00	2,100.00	90.0	1,890.00
01/04/2018	KOSS-WINN BANC ESOP	SHOPOFF COMMERCIAL GRWTH & INC FD 3	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
01/10/2018	KOSS-WINN BANC ESOP	SHOPOFF LAND FUND V	10,000.00	700.00	0.00	700.00	90.0	630.00
* PRIVATE PLACEMENT SUBTOTAL *			<b>\$365,000.00</b>	<b>\$21,250.00</b>	<b>\$0.00</b>	<b>\$21,250.00</b>		<b>\$19,125.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: OIL &amp; GAS</u></b>								
01/04/2018	KOSS-WINN BANC ESOP	RESOURCE ROYALTY INC & GRWTH FD V	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
01/10/2018	KETTWICK, MICHAEL	RESOURCE ROYALTY INC & GRWTH FD V	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
* OIL & GAS SUBTOTAL *			<b>\$50,000.00</b>	<b>\$3,500.00</b>	<b>\$0.00</b>	<b>\$3,500.00</b>		<b>\$3,150.00</b>
<b><u>PRODUCT CATEGORY: REIT</u></b>								
12/28/2017	KOSS-WINN BANC ESOP	COTTONWOOD MULTIFAMILY REIT II	15,000.00	187.50	0.00	187.50	90.0	168.75
12/28/2017	KOSS-WINN BANC ESOP	COTTONWOOD MULTIFAMILY REIT II	15,000.00	900.00	0.00	900.00	90.0	810.00
12/29/2017	KOSS-WINN BANC ESOP	COTTONWOOD MULTIFAMILY REIT II	20,000.00	250.00	0.00	250.00	90.0	225.00
12/29/2017	KOSS-WINN BANC ESOP	COTTONWOOD MULTIFAMILY REIT II	20,000.00	1,200.00	0.00	1,200.00	90.0	1,080.00
* REIT SUBTOTAL *			<b>\$70,000.00</b>	<b>\$2,537.50</b>	<b>\$0.00</b>	<b>\$2,537.50</b>		<b>\$2,283.75</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/27/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
12/27/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
01/02/2018	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	90.0	2.53
01/05/2018	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	200.00	3.20	0.00	3.20	90.0	2.88
01/05/2018	BUSS, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	500.00	5.00	0.00	5.00	90.0	4.50
01/02/2018	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
01/05/2018	BUSS, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	5.00	0.00	5.00	90.0	4.50
12/28/2017	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	74.61	2.05	0.00	2.05	90.0	1.85
12/28/2017	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	55.96	1.54	0.00	1.54	90.0	1.39
12/28/2017	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	72.59	2.00	0.00	2.00	90.0	1.80
12/28/2017	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	96.79	2.66	0.00	2.66	90.0	2.39
01/03/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38
01/03/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
01/03/2018	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	40.62	1.52	0.00	1.52	90.0	1.37
01/03/2018	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	67.71	2.54	0.00	2.54	90.0	2.29
01/03/2018	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	89.87	3.37	0.00	3.37	90.0	3.03
01/03/2018	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	89.87	3.37	0.00	3.37	90.0	3.03
01/05/2018	GROTHE, CHRISTI	AM FUNDS GROWTH AND INCOME A	250.00	2.50	0.00	2.50	90.0	2.25
01/05/2018	TORNQUIST, NATHAN	AM FUNDS GROWTH AND INCOME A	150.00	7.50	0.00	7.50	90.0	6.75
12/26/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	47.98	1.32	0.00	1.32	90.0	1.19
12/26/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	28.79	0.79	0.00	0.79	90.0	0.71
12/28/2017	PAGE, SUSAN	AM FUNDS GROWTH PORT A	64.70	1.78	0.00	1.78	90.0	1.60

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/28/2017	PAGE, SUSAN	AM FUNDS GROWTH PORT A	48.52	1.33	0.00	1.33	90.0	1.20
12/28/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	64.90	1.78	0.00	1.78	90.0	1.60
12/28/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	86.52	2.38	0.00	2.38	90.0	2.14
12/28/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	19.76	0.54	0.00	0.54	90.0	0.49
12/28/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	26.34	0.72	0.00	0.72	90.0	0.65
01/02/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	42.28	1.16	0.00	1.16	90.0	1.04
01/02/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	25.37	0.70	0.00	0.70	90.0	0.63
01/03/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
01/03/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
01/05/2018	HEMINGSEN, LAURA	AM FUNDS GROWTH PORT A	300.00	3.00	0.00	3.00	90.0	2.70
01/05/2018	TORNQUIST, NATHAN	AM FUNDS GROWTH PORT A	150.00	7.50	0.00	7.50	90.0	6.75
01/05/2018	BUSS, KAREN	AM FUNDS INTL GROWTH AND INCOME A	500.00	5.00	0.00	5.00	90.0	4.50
01/02/2018	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	75.00	2.81	0.00	2.81	90.0	2.53
01/05/2018	BUSS, KAREN	AM FUNDS NEW PERSPECTIVE A	500.00	5.00	0.00	5.00	90.0	4.50
12/26/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	14.88	0.41	0.00	0.41	90.0	0.37
12/26/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	14.88	0.41	0.00	0.41	90.0	0.37
12/26/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	61.19	1.68	0.00	1.68	90.0	1.51
12/26/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	30.60	0.84	0.00	0.84	90.0	0.76
12/26/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	41.40	1.14	0.00	1.14	90.0	1.03
12/26/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	24.84	0.68	0.00	0.68	90.0	0.61
12/27/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	233.33	6.42	0.00	6.42	90.0	5.78
12/27/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	2.75	0.00	2.75	90.0	2.48
01/02/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	18.87	0.52	0.00	0.52	90.0	0.47
01/02/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	18.87	0.52	0.00	0.52	90.0	0.47
01/02/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	73.80	2.03	0.00	2.03	90.0	1.83
01/02/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	27.68	0.76	0.00	0.76	90.0	0.68
01/02/2018	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	24.30	0.67	0.00	0.67	90.0	0.60
01/02/2018	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	40.50	1.11	0.00	1.11	90.0	1.00
01/03/2018	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	40.63	1.52	0.00	1.52	90.0	1.37
01/03/2018	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	67.71	2.54	0.00	2.54	90.0	2.29
01/03/2018	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	89.88	3.37	0.00	3.37	90.0	3.03
01/03/2018	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	89.88	3.37	0.00	3.37	90.0	3.03
01/05/2018	GROTHER, CHRISTI	AMERICAN FUND GLOBAL GROWTH PORTFOL	250.00	2.50	0.00	2.50	90.0	2.25

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/05/2018	DAHL, ERIK	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	5.00	0.00	5.00	90.0	4.50
12/28/2017	GROSS, ROBERT	AMERICAN FUNDS DEVELOPING WORLD GRO	1,000.00	27.50	0.00	27.50	90.0	24.75
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$7,100.42</b>	<b>\$164.44</b>	<b>\$0.00</b>	<b>\$164.44</b>		<b>\$148.04</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/31/2017	EDGAR, VARIOUS	LINCOLN BENEFIT TRAIL RENEWALS	0.00	493.27	0.00	493.27	90.0	443.94
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$493.27</b>	<b>\$0.00</b>	<b>\$493.27</b>		<b>\$443.94</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/15/2017	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	31.79	0.00	31.79	90.0	28.61
12/15/2017	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	30.41	0.00	30.41	90.0	27.37
12/15/2017	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.19	0.00	2.19	90.0	1.97
12/15/2017	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.31	0.00	2.31	90.0	2.08
12/15/2017	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.46	0.00	2.46	90.0	2.21
12/15/2017	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.55	0.00	2.55	90.0	2.30
12/15/2017	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.95	0.00	12.95	90.0	11.66
12/15/2017	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.88	0.00	8.88	90.0	7.99
12/15/2017	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	8.66	0.00	8.66	90.0	7.79
12/15/2017	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	7.34	0.00	7.34	90.0	6.61
12/18/2017	CAMPBELL, DENISE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.81	0.00	3.81	90.0	3.43
12/18/2017	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	51.37	0.00	51.37	90.0	46.23
12/18/2017	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	55.55	0.00	55.55	90.0	50.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$220.27</b>	<b>\$0.00</b>	<b>\$220.27</b>		<b>\$198.25</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$492,100.42</b>	<b>\$28,165.48</b>	<b>\$0.00</b>	<b>\$28,165.48</b>		<b>\$25,348.98</b>

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<b><u>ADJUSTMENTS</u></b>								
01/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
01/15/2018		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
01/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
01/15/2018		*PERSHING: BOOKS AND RECORDS	0.00					-2.01
01/15/2018		*TECHNOLOGY: ADMIN - E ROELIKE	0.00					-130.00
01/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-655.01

**BROKER COMMISSION TOTALS**

Broker Transactions	\$25,414.91
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-655.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$24,759.90
Year-to-date Gross	\$28,315.48
Year-to-date Earnings	\$25,414.91

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