



Concorde Investment Services, LLC

04/12/2017
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COMMISSION STATEMENT April 15, 2017

WILLIAM WALSH
2565 West Maple

BROKER #: W127
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/10/2017	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	6.12	0.00	6.12	87.0	5.32
03/10/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	1.37	0.00	1.37	87.0	1.19
03/10/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.98	0.00	0.98	87.0	0.85
03/10/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	9.40	0.00	9.40	87.0	8.18
03/10/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN SMALL CAP GROWTH	0.00	0.19	0.00	0.19	87.0	0.17
03/10/2017	HANSZ, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	37.60	0.00	37.60	87.0	32.71
03/10/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.80	0.00	11.80	87.0	10.27
03/10/2017	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.09	0.00	0.09	87.0	0.08
03/10/2017	DARGO, JILL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.95	0.00	0.95	87.0	0.83
03/10/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	29.36	0.00	29.36	87.0	25.54
03/10/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	66.93	0.00	66.93	87.0	58.23
03/10/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.33	0.00	12.33	87.0	10.73
03/10/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.28	0.00	11.28	87.0	9.81
03/10/2017	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.61	0.00	4.61	87.0	4.01
03/10/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	39.24	0.00	39.24	87.0	34.14
03/10/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.34	0.00	13.34	87.0	11.61
03/10/2017	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.47	0.00	2.47	87.0	2.15
03/10/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.32	0.00	0.32	87.0	0.28
03/10/2017	CHAWLA, SUVIRA	AM FUNDS SMALLCAP WORLD A	0.00	3.88	0.00	3.88	87.0	3.38
03/10/2017	WALSH, DAWN ERIN	BLACKROCK FUNDS FLEXIBLE EQUITY FUN	0.00	4.27	0.00	4.27	87.0	3.71
03/10/2017	DARGO, JILL	DAVIS NEW YORK VENTURE A	0.00	0.94	0.00	0.94	87.0	0.82
03/10/2017	WALSH, DAWN ERIN	DAVIS NEW YORK VENTURE A	0.00	11.01	0.00	11.01	87.0	9.58
03/10/2017	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	0.90	0.00	0.90	87.0	0.78
03/10/2017	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	8.68	0.00	8.68	87.0	7.55
03/10/2017	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.10	0.00	1.10	87.0	0.96
03/10/2017	SENGSTOCK, JODIE	DAVIS NEW YORK VENTURE C	0.00	11.88	0.00	11.88	87.0	10.34

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/10/2017	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.06	0.00	2.06	87.0	1.79
03/10/2017	SENGSTOCK, DAVID	DAVIS NEW YORK VENTURE C	0.00	11.37	0.00	11.37	87.0	9.89
03/10/2017	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	17.81	0.00	17.81	87.0	15.49
03/10/2017	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.55	0.00	1.55	87.0	1.35
03/07/2017	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	6.09	0.00	6.09	87.0	5.30
03/10/2017	WALSH, DAWN ERIN	FRANKLIN SMALL CAP GROWTH A	0.00	7.10	0.00	7.10	87.0	6.18
03/10/2017	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	0.93	0.00	0.93	87.0	0.81
04/01/2017	HESSELL, LOUIS	INVESCO CHARTER FUND A	0.00	46.80	0.00	46.80	87.0	40.72
04/01/2017	HESSELL, LOUIS	INVESCO CHARTER FUND B	0.00	6.65	0.00	6.65	87.0	5.79
04/01/2017	HESSELL, LOUIS	INVESCO EQUITY AND INCOME A	0.00	25.49	0.00	25.49	87.0	22.18
04/01/2017	HESSELL, LOUIS	INVESCO EQUITY AND INCOME FUND CL B	0.00	7.34	0.00	7.34	87.0	6.39
03/10/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	4.02	0.00	4.02	87.0	3.50
03/10/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	4.82	0.00	4.82	87.0	4.19
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	87.0	0.01
03/10/2017	WALSH, DAWN ERIN	JH FINANCIAL INDUSTRIES A	0.00	1.61	0.00	1.61	87.0	1.40
03/10/2017	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	8.85	0.00	8.85	87.0	7.70
03/10/2017	DARGO, JILL	LORD ABBETT DEVELOPING GROWTH A	0.00	0.38	0.00	0.38	87.0	0.33
03/10/2017	CHAWLA, MAHINDER	LORD ABBETT DEVELOPING GROWTH FUND	0.00	22.43	0.00	22.43	87.0	19.51
03/10/2017	CHAWLA, SUVIRA	LORD ABBETT DEVELOPING GROWTH FUND	0.00	45.92	0.00	45.92	87.0	39.95
03/10/2017	SENGSTOCK, JODIE	LORD ABBETT DEVELOPING GROWTH FUND	0.00	8.92	0.00	8.92	87.0	7.76
03/10/2017	SENGSTOCK, DAVID	LORD ABBETT DEVELOPING GROWTH FUND	0.00	8.99	0.00	8.99	87.0	7.82
03/10/2017	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.91	0.00	0.91	87.0	0.79
03/10/2017	SENGSTOCK, FRANK	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	1.43	0.00	1.43	87.0	1.24
03/10/2017	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	13.64	0.00	13.64	87.0	11.87
03/10/2017	DARGO, JILL	THORNBURG INT'L VALUE A	0.00	0.78	0.00	0.78	87.0	0.68
03/10/2017	WALSH, DAWN ERIN	THORNBURG INT'L VALUE A	0.00	15.50	0.00	15.50	87.0	13.49
03/10/2017	SENGSTOCK, JODIE	THORNBURG INVT TR INTL VALUE FD CL	0.00	17.66	0.00	17.66	87.0	15.36
03/10/2017	SENGSTOCK, DAVID	THORNBURG INVT TR INTL VALUE FD CL	0.00	21.80	0.00	21.80	87.0	18.97
03/10/2017	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	35.61	0.00	35.61	87.0	30.98
03/10/2017	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	1.28	0.00	1.28	87.0	1.11
03/28/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.19	0.00	0.19	87.0	0.17
03/28/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.41	0.00	0.41	87.0	0.36
03/28/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.00	0.00	1.00	87.0	0.87

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/28/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.20	0.00	0.20	87.0	0.17
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$640.59	\$0.00	\$640.59		\$557.34
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/04/2017	EAGLE LANDSCAPING	GWL GRP ANNUITY 401K	2,632,774.23	782.62	0.00	782.62	87.0	680.88
04/04/2017	MCCLAIN, SMITH & WENZ	GWL GRP ANNUITY 401K	1,215,934.81	516.36	0.00	516.36	87.0	449.23
04/04/2017	IHRIE O'BRIEN PC, 401K	GWL GRP ANNUITY 401K	881,681.03	374.41	0.00	374.41	87.0	325.74
04/04/2017	JACKSON ASSOCIATES, 401K	GWL GRP ANNUITY 401K	400,638.15	170.13	0.00	170.13	87.0	148.01
04/04/2017	INDUSTRIAL FLUID	GWL GRP ANNUITY 401K	517,658.60	219.83	0.00	219.83	87.0	191.25
04/04/2017	WESTSHORE GOLF, RET PLAN	GWL GRP ANNUITY 401K	335,738.43	142.57	0.00	142.57	87.0	124.04
04/04/2017	APPLECON, LLC	GWL GRP ANNUITY 401K	1,220,988.54	518.50	0.00	518.50	87.0	451.10
04/04/2017	RIVERVIEW COMM FED	GWL GRP ANNUITY 401K	261,869.53	111.20	0.00	111.20	87.0	96.74
04/04/2017	STEPHEN HARRIS, DDS, PROFIT	GWL NET ASSET VALUE 401K	1,658,942.85	704.48	0.00	704.48	87.0	612.90
/ /	ACADEMY MANAGEMENT	NW RETIREMENT CLR ADVANTAGE	873,989.18	191.56	0.00	191.56	87.0	166.66
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	1,050,678.75	115.14	0.00	115.14	87.0	100.17
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	2,094,132.46	229.49	0.00	229.49	87.0	199.66
/ /	SHAW & SLAVSKY	NWL FLEX ADVANTAGE	2,735,703.28	599.61	0.00	599.61	87.0	521.66
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	1,532,647.72	335.92	0.00	335.92	87.0	292.25
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	527,441.76	115.60	0.00	115.60	87.0	100.57
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	30,847.53	6.76	0.00	6.76	87.0	5.88
/ /	SHAW & SLAVSKY	NWL POOLED FXD	14,187.44	3.11	0.00	3.11	87.0	2.71
/ /	COMMUNITY HOUSE	NWL POOLED FXD	193.16	0.04	0.00	0.04	87.0	0.03
/ /	DETROIT CONNECTION	NWL RETIREMENT INNOVATOR	445,264.76	117.11	0.00	117.11	87.0	101.89
/ /	DETROIT CONNECTION	NWL SELF DIRECTED BROKERAGE GRP	46,160.72	12.14	0.00	12.14	87.0	10.56
/ /	MARYSVILLE ETHANOL	NWL SELF DIRECTED BROKERAGE GRP	94,290.13	20.67	0.00	20.67	87.0	17.98
04/05/2017	WILLIAMS, JUDITH	PAC LIFE INNOVATIVE SELECT	0.00	1,563.20	0.00	1,563.20	87.0	1,359.98
03/31/2017	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	9,291.13	5.81	0.00	5.81	87.0	5.05
03/31/2017	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	9,291.13	5.81	0.00	5.81	87.0	5.05
03/31/2017	BJEDOV, MARK	PRU AS APEX II	17,598.44	11.00	0.00	11.00	87.0	9.57
03/31/2017	BJEDOV, MARK	PRU AS APEX II	17,598.44	44.00	0.00	44.00	87.0	38.28
03/31/2017	ORMISTON, BARBARA	PRU AS APEX II	52,301.17	32.69	0.00	32.69	87.0	28.44
03/31/2017	ORMISTON, BARBARA	PRU AS APEX II	52,301.17	130.75	0.00	130.75	87.0	113.75
03/31/2017	BOMSTA, MERIANNE	PRU AS XTRA CREDIT	48,536.06	30.34	0.00	30.34	87.0	26.40
03/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	569.84	0.00	569.84	87.0	495.76

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	61.21	0.00	61.21	87.0	53.25
* VARIABLE ANNUITIES SUBTOTAL *			\$18,778,680.60	\$7,741.90	\$0.00	\$7,741.90		\$6,735.44
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/31/2017	WALSH, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	12.87	0.00	12.87	87.0	11.20
03/31/2017	EVSSON EMP RET PLAN	MUTUAL OF OMAHA INS CO	0.00	171.83	0.00	171.83	87.0	149.49
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$184.70	\$0.00	\$184.70		\$160.69
*** BROKER TRANSACTIONS TOTAL ***			\$18,778,680.60	\$8,567.19	\$0.00	\$8,567.19		\$7,453.47

ADJUSTMENTS

04/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
04/15/2017		*MISC: TRADE ERROR 10/24/16 SENGSTO	0.00					-12.16
04/15/2017		*RBC: ADMIN	0.00					-25.00
04/15/2017		*TECHNOLOGY: ADMIN - JILL DARGO	0.00					-100.00
04/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-712.16

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BROKER COMMISSION TOTALS

Broker Transactions	\$7,453.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-712.16
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,741.31
Year-to-date Gross	\$47,814.01
Year-to-date Earnings	\$41,060.67