



COMMISSION STATEMENT

July 23, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/12/2018	***-**-2885 - BISHOP, DAVID	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00068915116	BUY 2 Units @28.8600						
07/16/2018	***-**-8238 - ASMUS, MICHAEL	AMERICAN BALANCED FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00074487927	BUY 3 Units @28.8600						
07/13/2018	***-**-8806 - MABRY, REBECCA	CAPITAL INCOME BUILDER-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 1 Units @63.6200						
07/13/2018	***-**-8806 - MABRY, REBECCA	EUROPACIFIC GROWTH FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 1 Units @56.8600						
07/12/2018	***-**-2885 - BISHOP, DAVID	FUNDAMENTAL INVESTORS-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00068915116	BUY 1 Units @66.9300						
07/12/2018	***-**-2885 - BISHOP, DAVID	NEW PERSPECTIVE FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00068915116	BUY 1 Units @48.3100						
07/20/2018	***-**-6759 - TARANTINO, GARY	THE BOND FUND OF AMERICA-A	10.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089449406	BUY 1 Units @13.0500						
07/10/2018	***-**-98CL - ACOSTA, CLIENTS	THE GROWTH FUND OF AMERICA-A	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00083299252	BUY 1 Units @58.4700						
07/12/2018	***-**-2885 - BISHOP, DAVID	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00068915116	BUY 1 Units @58.2400						
07/20/2018	***-**-6759 - TARANTINO, GARY	THE GROWTH FUND OF AMERICA-A	40.00	2.00	0.00	2.00	80.0	1.60
	ACCOUNT #: 00089449406	BUY 1 Units @58.8000						
07/16/2018	***-**-8238 - ASMUS, MICHAEL	THE INVESTMENT COMPANY OF AMERICA-A	140.00	5.25	0.00	5.25	80.0	4.20
	ACCOUNT #: 00074487927	BUY 3 Units @43.2400						
07/10/2018	***-**-98CL - ACOSTA, CLIENTS	WASHINGTON MUTUAL INVESTORS FUND-A	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00083299252	BUY 1 Units @47.9400						
* MUTUAL FUNDS SUBTOTAL *			\$740.00	\$28.69	\$0.00	\$28.69		\$22.94
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	***-**-0683 - DAVIS, SHARON	HARTFORD INFLATION PLUS FUND CLASS	0.00	18.94	0.00	18.94	80.0	15.15
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						
07/02/2018	***-**-0683 - DAVIS, SHARON	THE HARTFORD ADVISERS FUND-C	0.00	16.24	0.00	16.24	80.0	12.99
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						
07/02/2018	***-**-0683 - DAVIS, SHARON	THE HARTFORD DIVIDEND & GROWTH FUND	0.00	20.91	0.00	20.91	80.0	16.73
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						



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ADJUSTMENTS (continued)

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$56.09	\$0.00	\$56.09	\$44.87
*** BROKER TRANSACTIONS TOTAL ***	\$740.00	\$84.78	\$0.00	\$84.78	\$67.81

BROKER COMMISSION TOTALS

Broker Transactions	\$67.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$67.81
Year-to-date Earnings	\$4,378.40