

STATEMENT

February 20, 2018

MICHAEL-TERMINATED ROASA
17470 N Pacesetter Way
SUITE 620
Scottsdale, AZ 85255

BROKER #: 165
BRANCH:

COMMISSION STATEMENT for MICHAEL-TERMINATED ROASA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/31/2017	#008003935637	FIMBREZ, ALGER 015565831	0.00	0.86	92.0	0.00	0.79	0.00	0.79
12/31/2017	#008003935637	FIMBREZ, ALGER FUND-CAPITAL APPRECIATION FUN	0.00	7.60	92.0	0.00	6.99	0.00	6.99
12/31/2017	#008003935637	FIMBREZ, ALGER GROWTH OPPORTUNITIES FUND CL	0.00	0.92	92.0	0.00	0.85	0.00	0.85
12/31/2017	#008003935637	FIMBREZ, ALGER SPECTRA FUND CL A	0.00	5.58	92.0	0.00	5.13	0.00	5.13
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$14.96</u>		<u>\$0.00</u>	<u>\$13.76</u>	<u>\$0.00</u>	<u>\$13.76</u>
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/01/0018	#GAPC7AL2B	INSTI, NATIONWIDE 620	0.00	128.63	92.0	0.00	118.34	0.00	118.34
* VARIABLE ANNUITIES SUBTOTAL *			<u>\$0.00</u>	<u>\$128.63</u>		<u>\$0.00</u>	<u>\$118.34</u>	<u>\$0.00</u>	<u>\$118.34</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017	#04103523509	VARIOUS HOUSE OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.90	92.0	0.00	1.75	0.00	1.75
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<u>\$0.00</u>	<u>\$1.90</u>		<u>\$0.00</u>	<u>\$1.75</u>	<u>\$0.00</u>	<u>\$1.75</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$145.49</u>		<u>\$0.00</u>	<u>\$133.85</u>	<u>\$0.00</u>	<u>\$133.85</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$133.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$133.85
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