



COMMISSION STATEMENT

June 04, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
06/01/2018	***-**-80FB - CURD, NANCY	LIFEMARK INVESTMENT ADVISORY FEE	25.45	25.45	0.00	25.45	70.0	17.82
	ACCOUNT #: 925344088	BUY 0 Units @0.0000						
06/01/2018	***-**-33FB - AUDY, JAMES	LIFEMARK INVESTMENT ADVISORY FEE	167.10	167.10	0.00	167.10	70.0	116.97
	ACCOUNT #: 925433071	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			\$192.55	\$192.55	\$0.00	\$192.55		\$134.79
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/31/2018	***-**-3603 - BACA, RACHEL	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105074	BUY 1 Units @0.0000						
05/31/2018	***-**-0724 - STROMMESEN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105090	BUY 1 Units @0.0000						
05/31/2018	***-**-1788 - STROMMESEN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.07	0.00	0.07	70.0	0.05
	ACCOUNT #: LSR105104	BUY 1 Units @0.0000						
05/31/2018	***-**-0737 - SCHROEDER, JACK	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.42	0.00	0.42	70.0	0.29
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
05/31/2018	***-**-1184 - SPORRER, SHIRLEY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
05/31/2018	***-**-184I - SPORRER, SHIRLEY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
05/31/2018	***-**-0400 - SPORRER, JERALD	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
05/31/2018	***-**-00IR - SPORRER, JERALD	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
05/31/2018	***-**-5594 - BISHOP, SHANNON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	70.0	0.06
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
05/31/2018	***-**-0437 - ALLEN, WAYNE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
05/31/2018	***-**-9761 - MCCARTHY, NANCY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	70.0	0.06
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
05/31/2018	***-**-92IO - SAUDER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105538	BUY 1 Units @0.0000						
05/31/2018	***-**-0376 - ALLEN, MISTY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/31/2018	***-**-568J - MARSHALL, BRUCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.64	0.00	0.64	70.0	0.45
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
05/31/2018	***-**-0383 - MARSHALL,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.16	0.00	0.16	70.0	0.11
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
05/31/2018	***-**-568I - MARSHALL, BRUCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.09	0.00	1.09	70.0	0.76
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
05/31/2018	***-**-5712 - RAMSEY, GUSSIE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	2.36	0.00	2.36	70.0	1.65
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
05/31/2018	***-**-0237 - RAMSEY, WILLIAM	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: LSR105937	BUY 1 Units @0.0000						
05/31/2018	***-**-2425 - BRIAN N CALNAN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.51	0.00	0.51	70.0	0.36
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
05/31/2018	***-**-388I - SHIPMAN, M	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR108812	BUY 1 Units @0.0000						
05/31/2018	***-**-37TR - SCHROEDER TRUST,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.23	0.00	0.23	70.0	0.16
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
05/31/2018	***-**-514I - BERGIN, JOSEPH	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
05/31/2018	***-**-32IO - VASQUEZ, CHARLES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR006530	BUY 1 Units @0.0000						
05/31/2018	***-**-24IO - DEWITT, TRACY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
05/31/2018	***-**-29IO - NORFLEET,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR010116	BUY 1 Units @0.0000						
05/31/2018	***-**-76IO - SMOAKE, DAVID	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.75	0.00	0.75	70.0	0.53
	ACCOUNT #: LSR011651	BUY 1 Units @0.0000						
05/31/2018	***-**-33IO - AUDY, JAMES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	4.63	0.00	4.63	70.0	3.24
	ACCOUNT #: LSR012379	BUY 1 Units @0.0000						
05/31/2018	***-**-654I - ROSTAD, BARBARA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
05/31/2018	***-**-0724 - STROMMESEN,	FRANKLIN INCOME FUND CLASS A	0.00	4.33	0.00	4.33	70.0	3.03
	ACCOUNT #: LSR105090	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/31/2018	***-**-1788 - STROMMESEN, ACCOUNT #: LSR105104	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	2.80	0.00	2.80	70.0	1.96
05/31/2018	***-**-9761 - MCCARTHY, NANCY ACCOUNT #: LSR105490	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	2.49	0.00	2.49	70.0	1.74
05/31/2018	***-**-568J - MARSHALL, BRUCE ACCOUNT #: LSR105600	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	6.92	0.00	6.92	70.0	4.84
05/31/2018	***-**-0383 - MARSHALL, ACCOUNT #: LSR105619	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	0.55	0.00	0.55	70.0	0.39
05/31/2018	***-**-5712 - RAMSEY, GUSSIE ACCOUNT #: LSR105929	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	12.92	0.00	12.92	70.0	9.04
05/31/2018	***-**-2425 - BRIAN N CALNAN, ACCOUNT #: LSR105945	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	0.86	0.00	0.86	70.0	0.60
05/31/2018	***-**-10TR - SAUDER TRUST ACCOUNT #: LSR010138	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	80.67	0.00	80.67	70.0	56.47
05/31/2018	***-**-92IO - SAUDER ACCOUNT #: LSR105538	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	42.78	0.00	42.78	70.0	29.95
05/31/2018	***-**-1184 - SPORRER, SHIRLEY ACCOUNT #: LSR105244	FRK GOLD FUND - CLASS A BUY 1 Units @0.0000	0.00	0.18	0.00	0.18	70.0	0.13
05/31/2018	***-**-184I - SPORRER, SHIRLEY ACCOUNT #: LSR105252	FRK GOLD FUND - CLASS A BUY 1 Units @0.0000	0.00	0.29	0.00	0.29	70.0	0.20
05/31/2018	***-**-0400 - SPORRER, JERALD ACCOUNT #: LSR105287	FRK GOLD FUND - CLASS A BUY 1 Units @0.0000	0.00	0.30	0.00	0.30	70.0	0.21
05/31/2018	***-**-00IR - SPORRER, JERALD ACCOUNT #: LSR105317	FRK GOLD FUND - CLASS A BUY 1 Units @0.0000	0.00	0.23	0.00	0.23	70.0	0.16
05/31/2018	***-**-2425 - BRIAN N CALNAN, ACCOUNT #: LSR105945	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	6.62	0.00	6.62	70.0	4.63
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$173.31	\$0.00	\$173.31		\$121.32
*** BROKER TRANSACTIONS TOTAL ***			\$192.55	\$365.86	\$0.00	\$365.86		\$256.11



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$256.11
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$27.28
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$283.39
						Year-to-date Earnings		\$30,939.71