

**COMMISSION STATEMENT****November 15, 2017**

TROY BERGERON
301 Tiger Lane
Apt. 216
Columbia, MO 65203-

BROKER #: B155 Terminated 04/27/2016
BRANCH: JEFFREY KAUFMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: K143 JEFF/TROY KAUFMAN/BERGERON #299</u>								
11/01/2017	JONES, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	55.30	2.07	0.00	2.07	63.0	1.30
11/01/2017	MCKEAGE, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA A	54.95	2.06	0.00	2.06	63.0	1.30
11/01/2017	MCKEAGE, NICHOLAS	AM FUNDS ICA A	54.95	2.06	0.00	2.06	63.0	1.30
11/01/2017	JONES, MICHAEL	AM FUNDS NEW PERSPECTIVE A	55.29	2.07	0.00	2.07	63.0	1.30
* K143 JEFF/TROY KAUFMAN/BERGERON SUBTOTAL *			\$220.49	\$8.26	\$0.00	\$8.26		\$5.20
*** SPLIT TRANSACTIONS TOTAL ***			\$220.49	\$8.26	\$0.00	\$8.26		\$5.20

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$5.20
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-403.57
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-398.37
Year-to-date Gross	\$224.75
Year-to-date Earnings	\$141.61

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed