



All Companies
COMMISSION STATEMENT
August 01, 2018

07/30/2018
Page No. 1

WILLIAM L. BROOKMAN
1840 Hurstview Drive

BROKER #: 9081
BRANCH: HOME OFFICE

HURST, TX 76054

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/09/2018	00074687854	MIZELL, NICOLE	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/09/2018	00074687854	MIZELL, NICOLE	THE INVESTMENT COMPANY OF AMERICA-5	B	50.00	0.50	0.00	0.50	90.0	0.45
07/09/2018	00083143340	MIZELL, NICOLE	FUNDAMENTAL INVESTORS-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/09/2018	00085317312	MIZELL, NICOLE	FUNDAMENTAL INVESTORS-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/16/2018	00088728542	CABLE, MARTHA I.	AMERICAN BALANCED FUND-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	00088728542	CABLE, MARTHA I.	FUNDAMENTAL INVESTORS-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
07/16/2018	00088728542	CABLE, MARTHA I.	NEW PERSPECTIVE FUND-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
07/16/2018	00088728542	CABLE, MARTHA I.	WASHINGTON MUTUAL INVESTORS FUND-52	B	50.00	0.50	0.00	0.50	90.0	0.45
* MUTUAL FUNDS SUBTOTAL *					\$550.00	\$5.50	\$0.00	\$5.50		\$4.96
PRODUCT CATEGORY: VARIABLE ANNUITIES										
06/10/2018	WILSON, JAMES M.	-HARTFORD LIFE	B	350.00	7.00	0.00	7.00	90.0	6.30	
07/08/2018	WILSON, JAMES M.	-HARTFORD LIFE	B	350.00	7.00	0.00	7.00	90.0	6.30	
07/13/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	36.78	36.78	0.00	36.78	90.0	33.10	
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	2,798.89	2,798.89	0.00	2,798.89	90.0	2,519.00	
06/29/2018	TRAILS	GENWORTH LIFE ANNUITY	B	101.31	101.31	0.00	101.31	90.0	91.18	
06/25/2018	PORTER, A. BROOKE	GREAT WEST LIFE & ANNUITY	B	100.00	6.00	0.00	6.00	90.0	5.40	
* VARIABLE ANNUITIES SUBTOTAL *					\$3,736.98	\$2,956.98	\$0.00	\$2,956.98		\$2,661.28
PRODUCT CATEGORY: RIA										
07/13/2018	25632715	DIAZ, CARMEN A	SIGNAL SECURITIES RIA	B	0.00	78.00	0.00	78.00	90.0	70.20
07/13/2018	49283223	HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	B	0.00	19.00	0.00	19.00	90.0	17.10
07/13/2018	62315710	HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
* RIA SUBTOTAL *					\$0.00	\$110.67	\$0.00	\$110.67		\$99.60
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
08/01/2018	TRAIL COMMISSIONS	DAVIS FUNDS FEES		0.00	119.36	0.00	119.36	90.0	107.44	
08/01/2018	TRAIL COMMISSIONS	WELLS FARGO FUNDS FEES		0.00	3.48	0.00	3.48	90.0	3.13	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$122.84	\$0.00	\$122.84		\$110.57

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

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ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$4,286.98	\$3,195.99	\$0.00	\$3,195.99	\$2,876.41
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ADJUSTMENTS

08/01/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
08/01/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-7.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-107.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,876.41
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-107.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,768.91
Year-to-date Earnings	\$19,796.86