

COMMISSION STATEMENT

April 30, 2018

ERIC BELZ

1802 LITTLE JOHN STREET

MONTGOMERY, TX 77316

BROKER #: C40 C41

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/12/2018 (None)	VARIOUS, BELZ ERIC	ADVISOR GUIDED TRAILS	B	0.00	13.99	0.00	13.99	90.0	12.59
04/12/2018 (None)	VARIOUS, BELZ ERIC	OPPENHEIMER FDS	B	0.00	13.93	0.00	13.93	90.0	12.54
04/12/2018 (None)	VARIOUS, BELZ ERIC	PUTNAM	B	0.00	27.81	0.00	27.81	90.0	25.03
04/24/2018 (None)	VARIOUS, BELZ ERIC	OPPENHEIMER FDS	B	0.00	8.00	0.00	8.00	90.0	7.20
* MUTUAL FUNDS SUBTOTAL *				<u>\$0.00</u>	<u>\$63.73</u>	<u>\$0.00</u>	<u>\$63.73</u>		<u>\$57.36</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$63.73</u>	<u>\$0.00</u>	<u>\$63.73</u>		<u>\$57.36</u>

SPLIT TRANSACTIONS**BROKER: RICHARD BELZ #77**

04/10/2018 (None)	VARIOUS, BELZ RICHARD	AMERICAN FUND	B	0.00	3.34	0.00	3.34		3.01
04/12/2018 (None)	VARIOUS, BELZ RICHARD	OPPENHEIMER FDS	B	0.00	54.34	0.00	54.34		48.91
04/12/2018 (None)	VARIOUS, BELZ RICHARD	OPPENHEIMER FDS	B	0.00	508.10	0.00	508.10		457.29
04/12/2018 (None)	VARIOUS, BELZ RICHARD	PUTNAM	B	0.00	71.89	0.00	71.89		64.70
04/18/2018 (None)	VARIOUS, BELZ RICHARD	JOHN HANCOCK INVESTMENTS	B	0.00	0.07	0.00	0.07		0.06
03/27/2018 000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763		B	11.88	0.45	0.00	0.45		0.41
04/10/2018 000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763		B	11.88	0.45	0.00	0.45		0.41
04/24/2018 000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763		B	11.88	0.45	0.00	0.45		0.41
03/27/2018 0000000000591610	VARIOUS, BELZ FRANKLIN TEMPLETON		B	34.00	0.68	0.00	0.68		0.61
04/10/2018 0000000000591610	VARIOUS, BELZ FRANKLIN TEMPLETON		B	34.00	0.68	0.00	0.68		0.61
04/11/2018 0000000000591610	VARIOUS, BELZ FRANKLIN TEMPLETON		B	0.00	1.71	0.00	1.71		1.54
04/24/2018 0000000000591610	VARIOUS, BELZ FRANKLIN TEMPLETON		B	34.00	0.68	0.00	0.68		0.61
04/17/2018 0000000000888774	COLEMAN, FRK AGGRESSIVE GRWTH - CL A		B	5.44	0.15	0.00	0.15		0.14
03/27/2018 0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND		B	25.00	0.25	0.00	0.25		0.23
04/10/2018 0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND		B	25.00	0.25	0.00	0.25		0.23
04/24/2018 0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND		B	25.00	0.25	0.00	0.25		0.23
04/17/2018 0000000004328098	COLEMAN, FRK TEMP FOUNDING FUNDS ALLOC FND C B		B	18.13	0.51	0.00	0.51		0.46
04/17/2018 0000000004468094	COLEMAN, MUTUAL DISC FND - CL A		B	7.25	0.20	0.00	0.20		0.18
03/27/2018 0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A		B	6.25	0.31	0.00	0.31		0.28
04/10/2018 0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A		B	6.25	0.31	0.00	0.31		0.28

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
04/24/2018	000000005055304	SULLIVAN, MUTUAL DISC FND - CL A	B	6.25	0.31	0.00	0.31		0.28
03/27/2018	000010186458322	VARIOUS, BELZ FRANKLIN U.S. GOVERNMENT SECURITIES	B	8.50	0.17	0.00	0.17		0.15
04/10/2018	000010186458322	VARIOUS, BELZ FRANKLIN U.S. GOVERNMENT SECURITIES	B	8.50	0.17	0.00	0.17		0.15
04/24/2018	000010186458322	VARIOUS, BELZ FRANKLIN U.S. GOVERNMENT SECURITIES	B	8.50	0.17	0.00	0.17		0.15
03/27/2018	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS	B	11.88	0.45	0.00	0.45		0.41
04/10/2018	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS	B	11.88	0.45	0.00	0.45		0.41
04/24/2018	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS	B	11.88	0.45	0.00	0.45		0.41
04/17/2018	000019411906629	COLEMAN, FRK STRAT INC FND - CL A	B	5.44	0.15	0.00	0.15		0.14
03/27/2018	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	6.25	0.25	0.00	0.25		0.23
04/10/2018	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	6.25	0.25	0.00	0.25		0.23
04/24/2018	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	6.25	0.25	0.00	0.25		0.23
04/13/2018	00056956375	FERRADO, MICHAEL AMCAP FUND-A	B	10.00	0.43	0.00	0.43		0.39
04/16/2018	00075280585	MILLER, SAMANTHA AMERICAN BALANCED FUND-A	B	11.25	0.31	0.00	0.31		0.28
04/16/2018	00075280585	MILLER, SAMANTHA THE GROWTH FUND OF AMERICA-A	B	11.25	0.31	0.00	0.31		0.28
04/16/2018	00084040075	WILLIAMS, ALYSSA CAPITAL WORLD GROWTH AND INCOME FUN	B	5.00	0.21	0.00	0.21		0.19
04/16/2018	00084040075	WILLIAMS, ALYSSA WASHINGTON MUTUAL INVESTORS FUND-A	B	5.00	0.21	0.00	0.21		0.19
04/16/2018	00088094822	WILLIAMS, ALYSSA GLOBAL BALANCED FUND-C	B	2.50	0.03	0.00	0.03		0.03
04/16/2018	00453533309	BURNS, KATHLEEN PUTNAM 746933100	B	7.50	0.21	0.00	0.21		0.19
04/16/2018	00453533309	BURNS, KATHLEEN PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	7.50	0.21	0.00	0.21		0.19
04/11/2018	00487862253	PERUGINI, MILDRED PUTNAM 746745108	B	5.00	0.14	0.00	0.14		0.13
04/11/2018	00487862253	PERUGINI, MILDRED PUTNAM 746802800	B	7.50	0.21	0.00	0.21		0.19
04/11/2018	00487862253	PERUGINI, MILDRED PUTNAM NEW OPPORTUNITIES FUND CLASS	B	7.50	0.21	0.00	0.21		0.19
04/16/2018	00493247534	PLAN PUTNAM 74680E101	B	7.50	0.28	0.00	0.28		0.25
03/26/2018	00503977532	SCHAEFER, DOREEN PUTNAM 746809102	B	1.25	0.06	0.00	0.06		0.05
03/26/2018	00503977532	SCHAEFER, DOREEN PUTNAM GLOBAL EQUITY FUND CLASS A	B	1.25	0.06	0.00	0.06		0.05
04/09/2018	00503977532	SCHAEFER, DOREEN PUTNAM 746809102	B	1.25	0.06	0.00	0.06		0.05
04/09/2018	00503977532	SCHAEFER, DOREEN PUTNAM GLOBAL EQUITY FUND CLASS A	B	1.25	0.06	0.00	0.06		0.05
04/16/2018	00514953902	STELLATO, BRIAN PUTNAM 746809102	B	5.00	0.19	0.00	0.19		0.17
04/16/2018	00514953902	STELLATO, BRIAN PUTNAM GLOBAL EQUITY FUND CLASS A	B	5.00	0.19	0.00	0.19		0.17
03/26/2018	00515030771	ONORATO, LAURA PUTNAM 746933100	B	15.05	0.41	0.00	0.41		0.37
03/26/2018	00515030771	ONORATO, LAURA PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	10.15	0.28	0.00	0.28		0.25
03/26/2018	00515030771	ONORATO, LAURA PUTNAM-746764109	B	9.80	0.27	0.00	0.27		0.24
04/09/2018	00515030771	ONORATO, LAURA PUTNAM 746933100	B	15.05	0.41	0.00	0.41		0.37
04/09/2018	00515030771	ONORATO, LAURA PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	10.15	0.28	0.00	0.28		0.25
04/09/2018	00515030771	ONORATO, LAURA PUTNAM-746764109	B	9.80	0.27	0.00	0.27		0.24
04/16/2018	00550827572	PLAN PUTNAM 74680E101	B	7.50	0.28	0.00	0.28		0.25
04/16/2018	00551218283	C GARRUPPO, PUTNAM 746802800	B	1.25	0.06	0.00	0.06		0.05
04/16/2018	00551218283	C GARRUPPO, PUTNAM 746763200	B	2.50	0.13	0.00	0.13		0.12
04/16/2018	00551218283	C GARRUPPO, PUTNAM-746764109	B	1.25	0.06	0.00	0.06		0.05
03/26/2018	00551279988	AZZATO, JOSEPH PUTNAM 746745108	B	2.50	0.13	0.00	0.13		0.12

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<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
03/26/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	2.00	0.10	0.00	0.10	0.09
03/26/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	2.50	0.13	0.00	0.13	0.12
03/26/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	3.00	0.15	0.00	0.15	0.14
04/09/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	6.25	0.31	0.00	0.31	0.28
04/09/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	5.00	0.25	0.00	0.25	0.23
04/09/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	6.25	0.31	0.00	0.31	0.28
04/09/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	7.50	0.38	0.00	0.38	0.34
04/16/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	1.25	0.06	0.00	0.06	0.05
04/16/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	2.00	0.10	0.00	0.10	0.09
04/16/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	1.75	0.09	0.00	0.09	0.08
04/24/2018	2158104580	VARIOUS, BELZ	OPPENHEIMER FDS	B	0.00	72.12	0.00	72.12	64.91
03/28/2018	QGW035040	THUILLOT, CHARLES	OPKO HEALTH INC	S	-622.52	1.25	0.60	0.65	0.53
04/20/2018	QGW035172	O'NEILL, RORY	VUZIX CORP COM NEW	B	1,000.00	12.90	0.60	12.30	11.01
04/20/2018	QGW035297	SIERRA, KATHLEEN	VUZIX CORP COM NEW	B	1,000.00	12.90	0.60	12.30	11.01
04/20/2018	QGW035347	MODUGNO, ROCCO	VUZIX CORP COM NEW	B	937.50	12.09	0.60	11.49	10.28
04/20/2018	QGW035479	ROBERTS, KATHLEEN	VUZIX CORP COM NEW	B	375.00	5.44	0.60	4.84	4.30
04/20/2018	QGW035545	KMIECIAK, INES	VUZIX CORP COM NEW	B	1,125.00	12.38	0.60	11.78	10.54
04/20/2018	QGW035552	KMIECIAK, ZBIGNIEW	VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08	8.11
04/20/2018	QGW035594	DUSATKO, ANNE	VUZIX CORP COM NEW	B	1,250.00	13.75	0.60	13.15	11.78
04/20/2018	QGW035669	KOLLAR, ROBERT	VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08	8.11
04/20/2018	QGW035677	KOLLAR, MAURA	VUZIX CORP COM NEW	B	500.00	7.25	0.60	6.65	5.93
04/20/2018	QGW035685	EICHENHOLZ, SONDR	VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08	8.11
04/20/2018	QGW035727	HERDTER, CYNTHIA	VUZIX CORP COM NEW	B	500.00	7.25	0.60	6.65	5.93
04/20/2018	QGW035743	KUDLIK, CHRIS	VUZIX CORP COM NEW	B	375.00	5.44	0.60	4.84	4.30
04/20/2018	QGW035768	MASSA, PATRICIA	VUZIX CORP COM NEW	B	1,125.00	12.38	0.60	11.78	10.54
04/18/2018	QGW035776	ZIMMERMAN, FRANCINE	SACHEM CAPITAL CORP COMMON SHARES	B	155.86	4.80	0.60	4.20	3.72
04/20/2018	QGW035776	ZIMMERMAN, FRANCINE	VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08	8.11
04/24/2018	QGW035776	ZIMMERMAN, FRANCINE	FAT BRANDS INC COMMON STOCK	S	-349.06	4.95	0.60	4.35	3.86
03/29/2018	QGW035792	NOLTE, DONNA	HEICO CORPORATION	B	868.73	9.50	0.60	8.90	7.95
04/20/2018	QGW035792	NOLTE, DONNA	VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08	8.11
04/23/2018	QGW035875	HARRISON, DENNIS	FAT BRANDS INC COMMON STOCK	S	-4.73	0.08	0.60	-0.52	-0.53
04/20/2018	QGW035883	BUDKEVICS, YANA	VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08	8.11
04/18/2018	QGW035982	PANASUK, JOHN	SACHEM CAPITAL CORP COMMON SHARES	B	686.00	19.75	0.60	19.15	17.18
04/20/2018	QGW035982	PANASUK, JOHN	VUZIX CORP COM NEW	B	1,500.00	16.50	0.60	15.90	14.25
04/03/2018	QGW036030	BALLO, CATHERINE	SACHEM CAPITAL CORP COMMON SHARES	B	172.53	1.70	0.60	1.10	0.93
04/04/2018	QGW036030	BALLO, CATHERINE	SACHEM CAPITAL CORP COMMON SHARES	B	59.97	0.59	0.60	-0.01	-0.07
04/17/2018	QGW036030	BALLO, CATHERINE	SACHEM CAPITAL CORP COMMON SHARES	B	514.50	12.75	0.60	12.15	10.88
04/20/2018	QGW036063	PICCININNI,	VUZIX CORP COM NEW	B	562.50	7.26	0.60	6.66	5.93
04/20/2018	QGW036089	HENNEMANN, PATRICIA	VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08	8.11
04/24/2018	QGW036311	FAST, KENNETH	NABORS INDUSTRIES LTD	S	-392.00	3.10	0.60	2.50	2.19

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SPLIT TRANSACTIONS										
BROKER: RICHARD BELZ #77										
04/20/2018	QGW036329	WOLF, KIM		VUZIX CORP COM NEW	B	937.50	12.09	0.60	11.49	10.28
04/20/2018	QGW036899	SARLO, JESSICA		VUZIX CORP COM NEW	B	637.50	8.22	0.60	7.62	6.80
04/20/2018	QGW036956	BROCHARD, THOMAS		VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08	8.11
04/20/2018	QGW037087	01/19/2016, U/A		VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08	8.11
04/20/2018	QGW038556	LAPINTA, LEONARD		VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08	8.11
04/20/2018	QGW039091	CITARELLA, FRANK		VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08	8.11
04/20/2018	QGW039117	CUPAIOLO, JOSEPH		VUZIX CORP COM NEW	B	712.50	9.19	0.60	8.59	7.67
04/18/2018	QGW035123	BOWLEY, KEVIN		CALL 100 TRANSOCEAN LTD REG SHS ISI S		-13.50	1.65	0.79	0.86	0.70
04/18/2018	QGW035248	BELZ, RICHARD		CALL 100 ENERGOUS CORP COM EXP 05-1 S		-20.00	1.90	0.73	1.17	0.98
04/25/2018	QGW035248	BELZ, RICHARD		CALL 100 TRANSOCEAN LTD REG SHS ISI S		-16.50	1.55	0.79	0.76	0.61
04/18/2018	QGW035362	MOON, STEVEN		CALL 100 TRANSOCEAN LTD REG SHS ISI S		-17.10	2.00	0.84	1.16	0.96
04/16/2018	QGW035511	LYDEN, MARY		CALL 100 ENERGOUS CORP COM EXP 04-2 S		-7.50	1.55	0.79	0.76	0.61
04/18/2018	QGW035511	LYDEN, MARY		CALL 100 TRANSOCEAN LTD REG SHS ISI S		-17.00	1.75	0.85	0.90	0.73
04/19/2018	QGW035511	LYDEN, MARY		PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-5.50	0.40	0.63	-0.23	-0.27
04/26/2018	QGW035529	AMENITA, FREDERICK		PUT 100 ENERGOUS CORP COM EXP 05-18 S		-15.00	1.05	0.68	0.37	0.27
04/26/2018	QGW035594	DUSATKO, ANNE		CALL 100 NABORS INDS LTD SHS ISIN#B S		-49.50	4.50	1.16	3.34	2.89
04/26/2018	QGW035644	MONGELUZZI, LINDA		CALL 100 NABORS INDS LTD SHS ISIN#B S		-23.00	1.95	0.85	1.10	0.91
04/25/2018	QGW035701	SZARKA, MICHAEL		CALL 100 TRANSOCEAN LTD REG SHS ISI S		-15.00	1.50	0.75	0.75	0.60
04/18/2018	QGW035735	STELLATO, MICHAEL		CALL 100 ENERGOUS CORP COM EXP 05-1 S		-22.50	2.05	0.73	1.32	1.12
04/18/2018	QGW035735	STELLATO, MICHAEL		CALL 100 TRANSOCEAN LTD REG SHS ISI S		-17.00	1.75	0.85	0.90	0.73
04/18/2018	QGW035776	ZIMMERMAN, FRANCINE		CALL 100 TRANSOCEAN LTD REG SHS ISI S		-18.00	1.80	0.85	0.95	0.77
04/25/2018	QGW035776	ZIMMERMAN, FRANCINE		CALL 100 NABORS INDS LTD SHS ISIN#B S		-58.00	4.90	1.10	3.80	3.31
04/18/2018	QGW035859	SZABO, JOSEPH		CALL 100 ENERGOUS CORP COM EXP 05-1 S		-22.50	2.25	0.73	1.52	1.30
04/17/2018	QGW035982	PANASUK, JOHN		CALL 100 ENERGOUS CORP COM EXP 04-2 S		-15.00	2.40	0.85	1.55	1.31
04/16/2018	QGW036030	BALLO, CATHERINE		CALL 100 ENERGOUS CORP COM EXP 04-2 S		-7.50	0.90	0.79	0.11	0.02
04/18/2018	QGW036030	BALLO, CATHERINE		CALL 100 ENERGOUS CORP COM EXP 05-1 S		-30.00	3.05	0.79	2.26	1.96
04/18/2018	QGW036030	BALLO, CATHERINE		CALL 100 ENERGOUS CORP COM EXP 05-1 S		30.00	-3.05	-0.79	-2.26	-1.96 C
04/18/2018	QGW036030	BALLO, CATHERINE		CALL 100 ENERGOUS CORP COM EXP 05-1 S		-30.00	3.05	0.79	2.26	1.96
04/18/2018	QGW036030	BALLO, CATHERINE		PUT 100 VUZIX CORP COM NEW EXP 05-1 S		-40.00	4.05	1.10	2.95	2.55
04/26/2018	QGW036030	BALLO, CATHERINE		CALL 100 NABORS INDS LTD SHS ISIN#B S		-22.00	1.95	0.85	1.10	0.91
04/25/2018	QGW036048	FITZPATRICK, BARRY		CALL 100 NABORS INDS LTD SHS ISIN#B S		-30.00	2.95	0.85	2.10	1.81
04/25/2018	QGW036048	FITZPATRICK, BARRY		CALL 100 TRANSOCEAN LTD REG SHS ISI S		-17.25	2.00	0.79	1.21	1.01
04/18/2018	QGW036063	PICCININNI,		PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-50.00	4.60	0.85	3.75	3.29
04/26/2018	QGW037087	01/19/2016, U/A		CALL 100 NABORS INDS LTD SHS ISIN#B S		-32.20	2.45	0.95	1.50	1.26
04/16/2018	QGW037103	BURNS, KATHLEEN		CALL 100 ENERGOUS CORP COM EXP 04-2 S		-5.00	1.05	0.73	0.32	0.22
04/25/2018	QGW037103	BURNS, KATHLEEN		PUT 100 ENERGOUS CORP COM EXP 05-18 S		-55.00	4.95	0.73	4.22	3.73
04/27/2018	QGW039745	LEWIS, THOMAS		PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-75.00	4.95	0.98	3.97	3.48
04/19/2018	QGW031783	JACKSON, FRANK		FRANKLIN GROWTH FUND CLASS A	B	0.00	0.22	0.00	0.22	0.20
04/23/2018	QGW034035	FAMILY 2012 IRREV		PUTNAM 746745108	B	0.00	0.37	0.00	0.37	0.33
04/23/2018	QGW034035	FAMILY 2012 IRREV		PUTNAM 746745207	B	0.00	0.76	0.00	0.76	0.68

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
SPLIT TRANSACTIONS										
BROKER: RICHARD BELZ #77										
04/23/2018	QGW034035	FAMILY 2012 IRREV		PUTNAM 746802511	B	0.00	0.53	0.00	0.53	0.48
04/16/2018	QGW035503	SIMPSON, DAVID		GLOBAL OPPORTUNITIES A	B	0.00	0.07	0.00	0.07	0.06
04/12/2018	QGW036774	STOCKWELL, GLENN		DREYFUS RESH GROWTH FD INC SHS CL A B		0.00	0.52	0.00	0.52	0.47
04/13/2018	QGW036774	STOCKWELL, GLENN		DEUTSCHE HIGH INCOME FUND CLASS A	B	0.00	0.30	0.00	0.30	0.27
04/17/2018	QGW036774	STOCKWELL, GLENN		ALLIANCEBERNSTEIN GROWTH AND INC A	B	0.00	0.21	0.00	0.21	0.19
04/17/2018	QGW036774	STOCKWELL, GLENN		ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	0.62	0.00	0.62	0.56
04/18/2018	QGW036774	STOCKWELL, GLENN		INVESCO GLOBAL REAL ESTATE INCOME F	B	0.00	1.26	0.00	1.26	1.13
04/16/2018	QGW036980	MARCOLINI, COREY		ROCHESTER FUND MUNICIPALS A	B	0.00	1.03	0.00	1.03	0.93
04/17/2018	QGW037129	GILMARTIN, MARGARET		THE HARTFORD CAPITAL APPRECIATION F	B	0.00	0.28	0.00	0.28	0.25
04/19/2018	QGW038002	WICKERS, SCOTT		BLACKROCK ADVANTAGE SMALL CAP GROWT	B	0.00	0.08	0.00	0.08	0.07
04/19/2018	QGW038002	WICKERS, SCOTT		BLACKROCK HIGH YIELD BOND FUND CLAS	B	0.00	0.09	0.00	0.09	0.08
04/19/2018	QGW038002	WICKERS, SCOTT		BLACKROCK BASIC VALUE FUND INC CLAS	B	0.00	0.17	0.00	0.17	0.15
04/19/2018	QGW038002	WICKERS, SCOTT		BLACKROCK EMERGING MARKETS FUND, IN	B	0.00	0.18	0.00	0.18	0.16
04/19/2018	QGW038002	WICKERS, SCOTT		BLACKROCK CAP APPRECIATION FD INC C	B	0.00	0.22	0.00	0.22	0.20
04/19/2018	QGW038002	WICKERS, SCOTT		BLACKROCK GLOBAL ALLOCATION FUND IN	B	0.00	0.15	0.00	0.15	0.14
04/19/2018	QGW038002	WICKERS, SCOTT		BLACKROCK VALUE OPPORTUNITIES FUND	B	0.00	0.08	0.00	0.08	0.07
04/19/2018	QGW038002	WICKERS, SCOTT		BLACKROCK SMALL CAP GROWTH FUND II	B	0.00	0.00	0.00	0.00	0.00
04/19/2018	QGW038002	WICKERS, SCOTT		BLACKROCK STRATEGIC INCOME OPPORTUN	B	0.00	0.07	0.00	0.07	0.06
04/20/2018	QGW038002	WICKERS, SCOTT		FIDELITY ADVISOR BALANCED CLASS A	B	0.00	0.15	0.00	0.15	0.14
04/20/2018	QGW038002	WICKERS, SCOTT		FIDELITY ADVISOR EQUITY INCOME CL A	B	0.00	0.15	0.00	0.15	0.14
04/16/2018	QGW038556	LAPINTA, LEONARD		GLOBAL FUND A	B	0.00	0.29	0.00	0.29	0.26
04/16/2018	QGW038556	LAPINTA, LEONARD		OPPENHEIMER DEVELOPING MARKETS CLAS	B	0.00	0.36	0.00	0.36	0.32
04/16/2018	QGW038556	LAPINTA, LEONARD		QUEST VALUE A	B	0.00	0.39	0.00	0.39	0.35
				* RICHARD BELZ SUBTOTAL *		\$21,732.77	\$1,124.49	\$44.98	\$1,079.51	\$967.21
				*** SPLIT TRANSACTIONS TOTAL ***		\$21,732.77	\$1,124.49	\$44.98	\$1,079.51	\$967.21
ADJUSTMENTS										
04/30/2018		OTHER: OTHER-360 OFFICE			0.00	0.00	0.00	0.00		-20.00
04/30/2018		OTHER: OTHER-TELEPHONE			0.00	0.00	0.00	0.00		-3.25
04/30/2018		OTHER: TECHNOLOGY			0.00	0.00	0.00	0.00		-150.00
				*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-173.25

BROKER COMMISSION TOTALS

Broker Transactions	\$57.36
Split Transactions	\$967.21
Override Transactions	\$0.00
Adjustments	\$-173.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.49
SIPC Assessment	\$-2.97

Check Amount	\$846.86
Year-to-date Earnings	\$5,284.07