

**COMMISSION STATEMENT****February 15, 2017****JAMES MAHER**

3082 Quail Ridge Circle

Rochester Hills, MI 48309-

BROKER #: M127

BRANCH: JAMES MAHER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/11/2017	BROEREN, LISA	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	8.29	0.00	8.29	90.0	7.46
01/11/2017	FINDLING, DARREN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.89	0.00	6.89	90.0	6.20
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$15.18	\$0.00	\$15.18		\$13.66
<u>PRODUCT CATEGORY: RIA</u>								
02/01/2017	BROEREN, LISA	RBC	0.00	1,012.80	0.00	1,012.80	90.0	911.52
02/01/2017	BROEREN, LISA	RBC	0.00	159.38	0.00	159.38	90.0	143.44
02/01/2017	FINDLING, DARREN	RBC	0.00	138.11	0.00	138.11	90.0	124.30
02/01/2017	NUNEZ TRUST, LYNDIA	RBC	0.00	110.91	0.00	110.91	90.0	99.82
* RIA SUBTOTAL *			\$0.00	\$1,421.20	\$0.00	\$1,421.20		\$1,279.08
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$1,436.38	\$0.00	\$1,436.38		\$1,292.74
<u>ADJUSTMENTS</u>								
02/15/2017		*MANAGED FEE EXPENSE: RBC FEES	0.00					-75.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-75.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,292.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-75.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,217.74
Year-to-date Gross	\$1,436.38
Year-to-date Earnings	\$1,292.74