



Concorde Investment Services, LLC

10/11/2017

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# COMMISSION STATEMENT

October 15, 2017

ROBERT CHESTER

100 E. Campus Drive

Suite 250

Columbus, OH 43235

BROKER #: C116 Terminated 12/31/2012

BRANCH: THE FINANCIAL SOLUTI

| DATE  | CLIENT          | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|-----------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                       |                 |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                 |                                     |                      |                     |                    |                   |      |                      |
| 09/26/2017  | MARVIN, CYNTHIA | BLK GLOBAL ALLOCATION A             | 0.00                 | 0.43                | 0.00               | 0.43              | 0.0  | 0.00                 |
| 09/26/2017  | DILTS, ERIC     | BLK MANAGED VOLATILITY A            | 0.00                 | 1.25                | 0.00               | 1.25              | 0.0  | 0.00                 |
| 09/13/2017  | MARVIN, CYNTHIA | SUNAMERICA FOCUSED DIVIDEND STRAT A | 0.00                 | 0.21                | 0.00               | 0.21              | 0.0  | 0.00                 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL *                    |                 |                                     | \$0.00               | \$1.89              | \$0.00             | \$1.89            |      | \$0.00               |
| *** BROKER TRANSACTIONS TOTAL ***                       |                 |                                     | \$0.00               | \$1.89              | \$0.00             | \$1.89            |      | \$0.00               |

## **BROKER COMMISSION TOTALS**

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.00 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                       |            |
|-----------------------|------------|
| Balance Forward       | \$0.00     |
| Year-to-date Gross    | \$1,170.98 |
| Year-to-date Earnings | \$0.00     |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed