

**COMMISSION STATEMENT****February 28, 2018**

ROBERT (RUSTY) TWEED
 2060 Huntington Drive
 Suite 1
 San Marino, CA 91108

BROKER #: T117 Terminated 10/30/2015
 BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
02/15/2018	FAUSTINI, MARLOU	COLONY NORTHSTAR INC CL A COM	-1,117.87	22.00	22.50	-0.50	0.0	0.00
02/15/2018	FAUSTINI, MARLOU	NORTHSTAR RLTY EUROPE CORP COM	-413.74	22.00	22.50	-0.50	0.0	0.00
02/15/2018	FAUSTINI, MARLOU	TIER REIT INC COM NEW	-12,196.92	22.00	22.97	-0.97	0.0	0.00
* STOCKS SUBTOTAL *			\$-13,728.53	\$66.00	\$67.97	\$-1.97		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-13,728.53	\$66.00	\$67.97	\$-1.97		\$0.00

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/16/2016	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	15.49	0.00	15.49	0.0	0.00
03/15/2016	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	14.43	0.00	14.43	0.0	0.00
04/20/2016	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	16.05	0.00	16.05	0.0	0.00
05/16/2016	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	15.88	0.00	15.88	0.0	0.00
06/15/2016	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	16.42	0.00	16.42	0.0	0.00
07/18/2016	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	16.05	0.00	16.05	0.0	0.00
08/15/2016	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	16.94	0.00	16.94	0.0	0.00
09/16/2016	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	13.48	0.00	13.48	0.0	0.00
10/17/2016	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	8.23	0.00	8.23	0.0	0.00
11/18/2016	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	8.49	0.00	8.49	0.0	0.00
12/15/2016	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	8.24	0.00	8.24	0.0	0.00
02/08/2016	NISHIOKA, KENNETH	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.56	0.00	6.56	0.0	0.00
02/08/2016	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.97	0.00	1.97	0.0	0.00
03/18/2016	NISHIOKA, KENNETH	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.99	0.00	6.99	0.0	0.00
03/18/2016	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.10	0.00	2.10	0.0	0.00
04/14/2016	NISHIOKA, KENNETH	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.81	0.00	1.81	0.0	0.00
04/14/2016	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.12	0.00	2.12	0.0	0.00

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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/19/2016	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.99	0.00	1.99	0.0	0.00
06/23/2016	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.21	0.00	2.21	0.0	0.00
07/11/2016	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.09	0.00	2.09	0.0	0.00
08/15/2016	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.17	0.00	2.17	0.0	0.00
09/12/2016	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.18	0.00	2.18	0.0	0.00
10/14/2016	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.11	0.00	2.11	0.0	0.00
11/17/2016	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.19	0.00	2.19	0.0	0.00
12/12/2016	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.14	0.00	2.14	0.0	0.00
02/08/2016	ZELLMAN, DEAN	CALVERT SHORT- INCOME FUND CLASS C	0.00	0.01	0.00	0.01	0.0	0.00
03/07/2016	ZELLMAN, DEAN	CALVERT SHORT- INCOME FUND CLASS C	0.00	0.01	0.00	0.01	0.0	0.00
04/12/2016	ZELLMAN, DEAN	CALVERT SHORT- INCOME FUND CLASS C	0.00	0.01	0.00	0.01	0.0	0.00
05/11/2016	ZELLMAN, DEAN	CALVERT SHORT- INCOME FUND CLASS C	0.00	0.01	0.00	0.01	0.0	0.00
06/09/2016	ZELLMAN, DEAN	CALVERT SHORT- INCOME FUND CLASS C	0.00	0.01	0.00	0.01	0.0	0.00
07/11/2016	ZELLMAN, DEAN	CALVERT SHORT- INCOME FUND CLASS C	0.00	0.01	0.00	0.01	0.0	0.00
08/08/2016	ZELLMAN, DEAN	CALVERT SHORT- INCOME FUND CLASS C	0.00	0.01	0.00	0.01	0.0	0.00
02/05/2018	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	2.90	0.00	2.90	0.0	0.00
02/05/2018	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.89	0.00	0.89	0.0	0.00
02/05/2018	UMBRELLO, ALBERT	COLUMBIA LARGE CAP GROWTH A	0.00	7.60	0.00	7.60	0.0	0.00
02/05/2018	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.36	0.00	6.36	0.0	0.00
02/16/2016	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	1.75	0.00	1.75	0.0	0.00
03/16/2016	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	1.63	0.00	1.63	0.0	0.00
04/15/2016	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	1.85	0.00	1.85	0.0	0.00
05/13/2016	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	1.83	0.00	1.83	0.0	0.00
06/14/2016	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	1.91	0.00	1.91	0.0	0.00
07/14/2016	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	1.87	0.00	1.87	0.0	0.00
08/15/2016	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	2.00	0.00	2.00	0.0	0.00
09/14/2016	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	2.02	0.00	2.02	0.0	0.00
10/18/2016	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	1.96	0.00	1.96	0.0	0.00
11/15/2016	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	2.04	0.00	2.04	0.0	0.00
12/16/2016	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	1.97	0.00	1.97	0.0	0.00
02/08/2016	LEDWITZ TRUST, MARTIN & ELIZABJAMES	ALPHA GLOBAL REAL ESTATE FUND	0.00	0.90	0.00	0.90	0.0	0.00
03/11/2016	LEDWITZ TRUST, MARTIN & ELIZABJAMES	ALPHA GLOBAL REAL ESTATE FUND	0.00	0.94	0.00	0.94	0.0	0.00
04/18/2016	LEDWITZ TRUST, MARTIN & ELIZABJAMES	ALPHA GLOBAL REAL ESTATE FUND	0.00	1.03	0.00	1.03	0.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/25/2016	LEDWITZ TRUST, MARTIN & ELIZABJAMES	ALPHA GLOBAL REAL ESTATE FUND	0.00	0.98	0.00	0.98	0.0	0.00
06/16/2016	LEDWITZ TRUST, MARTIN & ELIZABJAMES	ALPHA GLOBAL REAL ESTATE FUND	0.00	1.09	0.00	1.09	0.0	0.00
07/27/2016	LEDWITZ TRUST, MARTIN & ELIZABJAMES	ALPHA GLOBAL REAL ESTATE FUND	0.00	1.03	0.00	1.03	0.0	0.00
08/19/2016	LEDWITZ TRUST, MARTIN & ELIZABJAMES	ALPHA GLOBAL REAL ESTATE FUND	0.00	1.07	0.00	1.07	0.0	0.00
09/22/2016	LEDWITZ TRUST, MARTIN & ELIZABJAMES	ALPHA GLOBAL REAL ESTATE FUND	0.00	1.07	0.00	1.07	0.0	0.00
10/20/2016	LEDWITZ TRUST, MARTIN & ELIZABJAMES	ALPHA GLOBAL REAL ESTATE FUND	0.00	1.02	0.00	1.02	0.0	0.00
11/21/2016	LEDWITZ TRUST, MARTIN & ELIZABJAMES	ALPHA GLOBAL REAL ESTATE FUND	0.00	0.99	0.00	0.99	0.0	0.00
12/12/2016	LEDWITZ TRUST, MARTIN & ELIZABJAMES	ALPHA GLOBAL REAL ESTATE FUND	0.00	0.94	0.00	0.94	0.0	0.00
02/19/2016	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	5.55	0.00	5.55	0.0	0.00
03/18/2016	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	5.03	0.00	5.03	0.0	0.00
04/15/2016	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	5.85	0.00	5.85	0.0	0.00
05/23/2016	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	5.86	0.00	5.86	0.0	0.00
06/27/2016	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	6.00	0.00	6.00	0.0	0.00
07/15/2016	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	5.80	0.00	5.80	0.0	0.00
08/16/2016	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	6.14	0.00	6.14	0.0	0.00
09/16/2016	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	6.38	0.00	6.38	0.0	0.00
10/24/2016	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	6.23	0.00	6.23	0.0	0.00
11/18/2016	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	6.52	0.00	6.52	0.0	0.00
12/16/2016	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	6.68	0.00	6.68	0.0	0.00
02/14/2018	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	2.41	0.00	2.41	0.0	0.00
02/14/2018	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	2.39	0.00	2.39	0.0	0.00
02/14/2018	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.10	0.00	1.10	0.0	0.00
02/14/2018	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.81	0.00	1.81	0.0	0.00
02/14/2018	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	2.35	0.00	2.35	0.0	0.00
02/22/2016	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	20.12	0.00	20.12	0.0	0.00
03/17/2016	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	18.83	0.00	18.83	0.0	0.00
04/21/2016	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	20.07	0.00	20.07	0.0	0.00
05/19/2016	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	19.58	0.00	19.58	0.0	0.00
06/30/2016	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	20.30	0.00	20.30	0.0	0.00
07/18/2016	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	19.89	0.00	19.89	0.0	0.00
08/24/2016	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	20.74	0.00	20.74	0.0	0.00
09/22/2016	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	20.68	0.00	20.68	0.0	0.00
10/21/2016	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	19.99	0.00	19.99	0.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
11/21/2016	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	20.59	0.00	20.59	0.0	0.00
12/19/2016	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	18.15	0.00	18.15	0.0	0.00
03/01/2016	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	3.93	0.00	3.93	0.0	0.00
04/01/2016	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	3.60	0.00	3.60	0.0	0.00
04/25/2016	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	4.20	0.00	4.20	0.0	0.00
06/29/2016	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	4.29	0.00	4.29	0.0	0.00
06/30/2016	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	4.15	0.00	4.15	0.0	0.00
08/01/2016	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	4.22	0.00	4.22	0.0	0.00
08/30/2016	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	4.45	0.00	4.45	0.0	0.00
09/30/2016	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	4.58	0.00	4.58	0.0	0.00
10/25/2016	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	4.44	0.00	4.44	0.0	0.00
11/30/2016	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	4.57	0.00	4.57	0.0	0.00
12/29/2016	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	4.69	0.00	4.69	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$580.20	\$0.00	\$580.20		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$580.20	\$0.00	\$580.20		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$1,611.25
Year-to-date Earnings	\$0.00

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