

All Companies
STATEMENT
April 20, 2018

KRISTIN FRENCH
9431 Coppertop Loop NE Suite 2
SUITE 620
Bainbridge Island, WA 98110

BROKER #: 125
BRANCH:

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/02/2018	#00085024996	DOWNS, CAPITAL INCOME BUILDER FD CL A	50.00	2.50	90.0	0.00	2.25	0.00	2.25
03/02/2018	#00085024996	DOWNS, CAPITAL WORLD GROWTH & INCOME FUND	50.00	2.50	90.0	0.00	2.25	0.00	2.25
03/12/2018	#00085024996	DOWNS, CAPITAL INCOME BUILDER FD CL A	8,048.95	342.08	90.0	0.00	307.87	0.00	307.87
03/12/2018	#00085024996	DOWNS, CAPITAL WORLD GROWTH & INCOME FUND	8,048.95	342.08	90.0	0.00	307.87	0.00	307.87
* MUTUAL FUNDS SUBTOTAL *			\$16,197.90	\$689.16		\$0.00	\$620.24	\$0.00	\$620.24
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
02/08/0018	#E0737443	KIRKREIT, JUDY PRUDETIAL TRAILS	0.00	33.61	90.0	0.00	30.25	0.00	30.25
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$33.61		\$0.00	\$30.25	\$0.00	\$30.25
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00086116791	LOGEMANN, PAM AMERICAN BALANCED FUND-R3	0.00	114.79	90.0	0.00	103.31	0.00	103.31
03/01/2018	#00086116791	LOGEMANN, PAM AMERICAN FUNDS 2015 TARGET DATE-R3	0.00	0.20	90.0	0.00	0.18	0.00	0.18
03/01/2018	#00086116791	LOGEMANN, PAM AMERICAN FUNDS 2025 TARGET DATE-R3	0.00	1.44	90.0	0.00	1.30	0.00	1.30
03/01/2018	#00086116791	LOGEMANN, PAM AMERICAN FUNDS 2040 TARGET DATE-R3	0.00	0.75	90.0	0.00	0.68	0.00	0.68
03/01/2018	#00086116791	LOGEMANN, PAM AMERICAN FUNDS 2045 TARGET DATE-R3	0.00	18.53	90.0	0.00	16.68	0.00	16.68
03/01/2018	#00086116791	LOGEMANN, PAM AMERICAN FUNDS 2050 TARGET DATE-R3	0.00	33.55	90.0	0.00	30.20	0.00	30.20
03/01/2018	#00086116791	LOGEMANN, PAM AMERICAN FUNDS 2055 TARGET DATE-R3	0.00	1.25	90.0	0.00	1.13	0.00	1.13
03/01/2018	#00084643698	SCOTT, BLAINE CAPITAL INCOME BUILDER FD CL A	0.00	0.30	90.0	0.00	0.27	0.00	0.27
03/01/2018	#00085024996	DOWNS, CAPITAL INCOME BUILDER FD CL A	0.00	4.96	90.0	0.00	4.46	0.00	4.46
03/01/2018	#00085025213	POPACH, OLGA CAPITAL INCOME BUILDER FD CL A	0.00	6.71	90.0	0.00	6.04	0.00	6.04
03/01/2018	#00081788492	SELLERS, CARRIE CAPITAL WORLD GROWTH & INCOME FUND	0.00	6.16	90.0	0.00	5.54	0.00	5.54
03/01/2018	#00081788832	SELLERS, DAVID CAPITAL WORLD GROWTH & INCOME FUND	0.00	4.02	90.0	0.00	3.62	0.00	3.62
03/01/2018	#00084643698	SCOTT, BLAINE CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.45	90.0	0.00	0.41	0.00	0.41
03/01/2018	#00085024996	DOWNS, CAPITAL WORLD GROWTH & INCOME FUND	0.00	5.78	90.0	0.00	5.20	0.00	5.20
03/01/2018	#00085025213	POPACH, OLGA CAPITAL WORLD GROWTH & INCOME FUND	0.00	7.91	90.0	0.00	7.12	0.00	7.12
03/01/2018	#00086116791	LOGEMANN, PAM CAPITAL WORLD GROWTH AND INCOME-R3	0.00	81.26	90.0	0.00	73.13	0.00	73.13
03/01/2018	#00081788492	SELLERS, CARRIE EURO PACIFIC GROWTH FUND CLASS A	0.00	5.39	90.0	0.00	4.85	0.00	4.85
03/01/2018	#00081788832	SELLERS, DAVID EURO PACIFIC GROWTH FUND CLASS A	0.00	7.63	90.0	0.00	6.87	0.00	6.87

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00081788492	SELLERS, CARRIE	GROWTH FUND AMERICA INC CLASS A	0.00	9.87	90.0	0.00	8.88	8.88
03/01/2018	#00081788832	SELLERS, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	19.81	90.0	0.00	17.83	17.83
03/01/2018	#00086116791	LOGEMANN, PAM	INTERMEDIATE BOND FUND OF AMERICA-R	0.00	24.95	90.0	0.00	22.46	22.46
03/01/2018	#00081788492	SELLERS, CARRIE	INVESTMENT CO AMER CLASS A	0.00	10.27	90.0	0.00	9.24	9.24
03/01/2018	#00081788832	SELLERS, DAVID	INVESTMENT CO AMER CLASS A	0.00	12.13	90.0	0.00	10.92	10.92
03/01/2018	#00081788492	SELLERS, CARRIE	NEW PERSPECTIVE FUND INC CL A	0.00	7.55	90.0	0.00	6.80	6.80
03/01/2018	#00081788832	SELLERS, DAVID	NEW PERSPECTIVE FUND INC CL A	0.00	10.11	90.0	0.00	9.10	9.10
03/01/2018	#00086116791	LOGEMANN, PAM	NEW PERSPECTIVE FUND-R3	0.00	70.37	90.0	0.00	63.33	63.33
03/01/2018	#00086116791	LOGEMANN, PAM	THE GROWTH FUND OF AMERICA-R3	0.00	0.45	90.0	0.00	0.41	0.41
03/01/2018	#00081788492	SELLERS, CARRIE	WASHINGTON MUTUAL INVS FD CLASS A	0.00	8.68	90.0	0.00	7.81	7.81
03/01/2018	#00081788832	SELLERS, DAVID	WASHINGTON MUTUAL INVS FD CLASS A	0.00	11.11	90.0	0.00	10.00	10.00
03/07/2018	#	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	75,000.00	1,312.50	90.0	0.00	1,181.25	1,181.25
03/09/2018	#	TODD ALTOM TTEE	DAIN TRAILER	0.00	2.01	90.0	0.00	1.81	1.81
03/09/2018	#	TODD ALTOM TTEE	DAIN TRAILER	0.00	1.05	90.0	0.00	0.95	0.95
03/09/2018	#	TODD ALTOM TTEE	DAIN TRAILER	0.00	3.37	90.0	0.00	3.03	3.03
03/09/2018	#	TODD ALTOM TTEE	DAIN TRAILER	0.00	3.76	90.0	0.00	3.38	3.38
03/09/2018	#	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	2.45	90.0	0.00	2.21	2.21
03/09/2018	#	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	3.66	90.0	0.00	3.29	3.29
03/09/2018	#	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	5.38	90.0	0.00	4.84	4.84
03/09/2018	#	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	15.27	90.0	0.00	13.74	13.74
03/09/2018	#	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	2.57	90.0	0.00	2.31	2.31
03/09/2018	#	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	19.36	90.0	0.00	17.42	17.42
03/09/2018	#	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	23.58	90.0	0.00	21.22	21.22
03/09/2018	#	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	11.53	90.0	0.00	10.38	10.38
03/09/2018	#	REBECCA LALIBERTE TTEE	DAIN TRAILER	0.00	9.74	90.0	0.00	8.77	8.77
03/09/2018	#	DAHLEM, DARCY	DAIN TRAILER	0.00	2.24	90.0	0.00	2.02	2.02
03/09/2018	#	DAHLEM, DARCY	DAIN TRAILER	0.00	7.47	90.0	0.00	6.72	6.72
03/09/2018	#	COATES, MATTHEW	DAIN TRAILER	0.00	5.64	90.0	0.00	5.08	5.08
03/09/2018	#	COATES, MATTHEW	DAIN TRAILER	0.00	1.74	90.0	0.00	1.57	1.57
03/09/2018	#	COATES, MATTHEW	DAIN TRAILER	0.00	1.59	90.0	0.00	1.43	1.43
03/09/2018	#	COATES, MATTHEW	DAIN TRAILER	0.00	2.41	90.0	0.00	2.17	2.17
03/09/2018	#	COATES, MATTHEW	DAIN TRAILER	0.00	2.15	90.0	0.00	1.94	1.94
03/09/2018	#	INC, STARGAZER MANAG	DAIN TRAILER	0.00	4.95	90.0	0.00	4.46	4.46
03/09/2018	#	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.14	90.0	0.00	3.73	3.73
03/09/2018	#	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.91	90.0	0.00	4.42	4.42
03/09/2018	#	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.57	90.0	0.00	4.11	4.11

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.07	90.0	0.00	1.86	0.00	1.86
03/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	19.43	90.0	0.00	17.49	0.00	17.49
03/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	32.28	90.0	0.00	29.05	0.00	29.05
03/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	15.13	90.0	0.00	13.62	0.00	13.62
03/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	12.48	90.0	0.00	11.23	0.00	11.23
03/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	27.95	90.0	0.00	25.16	0.00	25.16
03/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	20.98	90.0	0.00	18.88	0.00	18.88
03/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	18.12	90.0	0.00	16.31	0.00	16.31
03/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	42.21	90.0	0.00	37.99	0.00	37.99
03/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	40.33	90.0	0.00	36.30	0.00	36.30
03/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	11.48	90.0	0.00	10.33	0.00	10.33
03/09/2018	# JADILE LLC	DAIN TRAILER	0.00	17.47	90.0	0.00	15.72	0.00	15.72
03/09/2018	# JADILE LLC	DAIN TRAILER	0.00	7.40	90.0	0.00	6.66	0.00	6.66
03/09/2018	# JADILE LLC	DAIN TRAILER	0.00	12.68	90.0	0.00	11.41	0.00	11.41
03/09/2018	# JADILE LLC	DAIN TRAILER	0.00	13.49	90.0	0.00	12.14	0.00	12.14
03/09/2018	# JADILE LLC	DAIN TRAILER	0.00	7.04	90.0	0.00	6.34	0.00	6.34
03/09/2018	# JADILE LLC	DAIN TRAILER	0.00	7.19	90.0	0.00	6.47	0.00	6.47
03/09/2018	# JADILE LLC	DAIN TRAILER	0.00	9.09	90.0	0.00	8.18	0.00	8.18
03/09/2018	# JADILE LLC	DAIN TRAILER	0.00	5.56	90.0	0.00	5.00	0.00	5.00
03/09/2018	# JADILE LLC	DAIN TRAILER	0.00	14.41	90.0	0.00	12.97	0.00	12.97
03/09/2018	# JADILE LLC	DAIN TRAILER	0.00	3.55	90.0	0.00	3.20	0.00	3.20
03/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	7.57	90.0	0.00	6.81	0.00	6.81
03/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	3.49	90.0	0.00	3.14	0.00	3.14
03/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	4.02	90.0	0.00	3.62	0.00	3.62
03/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	3.07	90.0	0.00	2.76	0.00	2.76
03/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	4.39	90.0	0.00	3.95	0.00	3.95
03/09/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	2.06	90.0	0.00	1.85	0.00	1.85
03/09/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	1.79	90.0	0.00	1.61	0.00	1.61
03/09/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	3.73	90.0	0.00	3.36	0.00	3.36
03/09/2018	# HOLMQUIST, ANN	DAIN TRAILER	0.00	2.85	90.0	0.00	2.57	0.00	2.57
03/09/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	6.14	90.0	0.00	5.53	0.00	5.53
03/09/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	13.93	90.0	0.00	12.54	0.00	12.54
03/09/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	2.63	90.0	0.00	2.37	0.00	2.37
03/09/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	2.92	90.0	0.00	2.63	0.00	2.63
03/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	3.01	90.0	0.00	2.71	0.00	2.71
03/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	6.95	90.0	0.00	6.26	0.00	6.26

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.19	90.0	0.00	3.77	0.00	3.77
03/09/2018	# ARCANDIER INC	DAIN TRAILER	0.00	25.73	90.0	0.00	23.16	0.00	23.16
03/09/2018	# ARCANDIER INC	DAIN TRAILER	0.00	21.23	90.0	0.00	19.11	0.00	19.11
03/09/2018	# ARCANDIER INC	DAIN TRAILER	0.00	8.67	90.0	0.00	7.80	0.00	7.80
03/09/2018	# ARCANDIER INC	DAIN TRAILER	0.00	9.67	90.0	0.00	8.70	0.00	8.70
03/09/2018	# ARCANDIER INC	DAIN TRAILER	0.00	7.01	90.0	0.00	6.31	0.00	6.31
03/09/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	9.10	90.0	0.00	8.19	0.00	8.19
03/09/2018	# BRESKE, THOMAS	DAIN TRAILER	0.00	2.97	90.0	0.00	2.67	0.00	2.67
03/09/2018	# BRESKE, THOMAS	DAIN TRAILER	0.00	3.18	90.0	0.00	2.86	0.00	2.86
03/09/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	7.59	90.0	0.00	6.83	0.00	6.83
03/09/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	2.39	90.0	0.00	2.15	0.00	2.15
03/09/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	9.91	90.0	0.00	8.92	0.00	8.92
03/09/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	3.54	90.0	0.00	3.19	0.00	3.19
03/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	20.14	90.0	0.00	18.13	0.00	18.13
03/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	23.32	90.0	0.00	20.99	0.00	20.99
03/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	17.57	90.0	0.00	15.81	0.00	15.81
03/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	1.85	90.0	0.00	1.67	0.00	1.67
03/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	13.34	90.0	0.00	12.01	0.00	12.01
03/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	8.23	90.0	0.00	7.41	0.00	7.41
03/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	10.80	90.0	0.00	9.72	0.00	9.72
03/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	14.78	90.0	0.00	13.30	0.00	13.30
03/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	7.80	90.0	0.00	7.02	0.00	7.02
03/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	4.72	90.0	0.00	4.25	0.00	4.25
03/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	4.62	90.0	0.00	4.16	0.00	4.16
03/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	9.74	90.0	0.00	8.77	0.00	8.77
03/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	5.56	90.0	0.00	5.00	0.00	5.00
03/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	2.43	90.0	0.00	2.19	0.00	2.19
03/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	15.85	90.0	0.00	14.27	0.00	14.27
03/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	3.88	90.0	0.00	3.49	0.00	3.49
03/09/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	4.96	90.0	0.00	4.46	0.00	4.46
03/09/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	30.99	90.0	0.00	27.89	0.00	27.89
03/09/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	16.81	90.0	0.00	15.13	0.00	15.13
03/09/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	36.98	90.0	0.00	33.28	0.00	33.28
03/09/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	37.06	90.0	0.00	33.35	0.00	33.35
03/09/2018	# SARDINIA, SANDHYA	DAIN TRAILER	0.00	0.61	90.0	0.00	0.55	0.00	0.55
03/09/2018	# VELOSYS LLC	DAIN TRAILER	0.00	10.92	90.0	0.00	9.83	0.00	9.83

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<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/09/2018	# VELOSYS LLC	DAIN TRAILER	0.00	51.39	90.0	0.00	46.25	0.00	46.25
03/09/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	8.94	90.0	0.00	8.05	0.00	8.05
03/09/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	48.19	90.0	0.00	43.37	0.00	43.37
03/09/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	44.08	90.0	0.00	39.67	0.00	39.67
03/09/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	26.53	90.0	0.00	23.88	0.00	23.88
03/09/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	14.01	90.0	0.00	12.61	0.00	12.61
03/09/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	32.12	90.0	0.00	28.91	0.00	28.91
03/09/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	20.48	90.0	0.00	18.43	0.00	18.43
03/09/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	5.33	90.0	0.00	4.80	0.00	4.80
03/09/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	8.76	90.0	0.00	7.88	0.00	7.88
03/09/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	23.72	90.0	0.00	21.35	0.00	21.35
03/09/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	9.70	90.0	0.00	8.73	0.00	8.73
03/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.32	90.0	0.00	2.99	0.00	2.99
03/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.71	90.0	0.00	3.34	0.00	3.34
03/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.61	90.0	0.00	3.25	0.00	3.25
03/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.21	90.0	0.00	1.99	0.00	1.99
03/09/2018	# HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	3.73	90.0	0.00	3.36	0.00	3.36
03/09/2018	# HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	2.38	90.0	0.00	2.14	0.00	2.14
03/09/2018	# HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	3.78	90.0	0.00	3.40	0.00	3.40
03/09/2018	# WALLS, ALICE	DAIN TRAILER	0.00	0.10	90.0	0.00	0.09	0.00	0.09
03/09/2018	# WALLS, ALICE	DAIN TRAILER	0.00	0.10	90.0	0.00	0.09	0.00	0.09
03/09/2018	# WALLS, ALICE	DAIN TRAILER	0.00	0.40	90.0	0.00	0.36	0.00	0.36
03/09/2018	# WALLS, ALICE	DAIN TRAILER	0.00	1.09	90.0	0.00	0.98	0.00	0.98
03/09/2018	# WALLS, ALICE	DAIN TRAILER	0.00	1.12	90.0	0.00	1.01	0.00	1.01
03/09/2018	# WALLS, ALICE	DAIN TRAILER	0.00	6.11	90.0	0.00	5.50	0.00	5.50
03/09/2018	# WALLS, ALICE	DAIN TRAILER	0.00	0.19	90.0	0.00	0.17	0.00	0.17
03/09/2018	# WALLS, ALICE	DAIN TRAILER	0.00	1.92	90.0	0.00	1.73	0.00	1.73
03/09/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	2.37	90.0	0.00	2.13	0.00	2.13
03/09/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	6.68	90.0	0.00	6.01	0.00	6.01
03/09/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	1.94	90.0	0.00	1.75	0.00	1.75
03/09/2018	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	4.04	90.0	0.00	3.64	0.00	3.64
03/09/2018	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	5.59	90.0	0.00	5.03	0.00	5.03
03/09/2018	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	1.85	90.0	0.00	1.67	0.00	1.67
03/09/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	1.04	90.0	0.00	0.94	0.00	0.94
03/09/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	3.49	90.0	0.00	3.14	0.00	3.14
03/09/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	2.56	90.0	0.00	2.30	0.00	2.30

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/09/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	1.22	90.0	0.00	1.10	0.00	1.10
03/09/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	2.58	90.0	0.00	2.32	0.00	2.32
03/09/2018 #	BRADEN, RANDALL	DAIN TRAILER	0.00	4.54	90.0	0.00	4.09	0.00	4.09
03/09/2018 #	BRADEN, RANDALL	DAIN TRAILER	0.00	4.65	90.0	0.00	4.19	0.00	4.19
03/09/2018 #	BRADEN, RANDALL	DAIN TRAILER	0.00	3.26	90.0	0.00	2.93	0.00	2.93
03/09/2018 #	BRADEN, RANDALL	DAIN TRAILER	0.00	1.55	90.0	0.00	1.40	0.00	1.40
03/09/2018 #	BARBARA HERMAN TTEE	DAIN TRAILER	0.00	1.35	90.0	0.00	1.22	0.00	1.22
03/09/2018 #	BARBARA HERMAN TTEE	DAIN TRAILER	0.00	2.86	90.0	0.00	2.57	0.00	2.57
03/09/2018 #	BARBARA HERMAN TTEE	DAIN TRAILER	0.00	1.40	90.0	0.00	1.26	0.00	1.26
03/09/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	0.65	90.0	0.00	0.59	0.00	0.59
03/09/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	0.57	90.0	0.00	0.51	0.00	0.51
03/09/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	0.30	90.0	0.00	0.27	0.00	0.27
03/09/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	0.39	90.0	0.00	0.35	0.00	0.35
03/09/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	0.23	90.0	0.00	0.21	0.00	0.21
03/09/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	0.62	90.0	0.00	0.56	0.00	0.56
03/09/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	0.15	90.0	0.00	0.14	0.00	0.14
03/09/2018 #	COATES DESIGN INC	DAIN TRAILER	0.00	0.77	90.0	0.00	0.69	0.00	0.69
03/09/2018 #	COATES DESIGN INC	DAIN TRAILER	0.00	1.13	90.0	0.00	1.02	0.00	1.02
03/09/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.68	90.0	0.00	1.51	0.00	1.51
03/09/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.71	90.0	0.00	2.44	0.00	2.44
03/09/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.39	90.0	0.00	1.25	0.00	1.25
03/09/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.46	90.0	0.00	2.21	0.00	2.21
03/09/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	5.03	90.0	0.00	4.53	0.00	4.53
03/09/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.49	90.0	0.00	1.34	0.00	1.34
03/09/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.30	90.0	0.00	2.07	0.00	2.07
03/09/2018 #	JOHNSON, MARGARET	DAIN TRAILER	0.00	62.21	90.0	0.00	55.99	0.00	55.99
03/09/2018 #	JOHNSON, MARGARET	DAIN TRAILER	0.00	74.46	90.0	0.00	67.01	0.00	67.01
03/09/2018 #	JOHNSON, MARGARET	DAIN TRAILER	0.00	18.90	90.0	0.00	17.01	0.00	17.01
03/09/2018 #	JOHNSON, ROGER	DAIN TRAILER	0.00	34.85	90.0	0.00	31.37	0.00	31.37
03/09/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	2.86	90.0	0.00	2.57	0.00	2.57
03/09/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	6.22	90.0	0.00	5.60	0.00	5.60
03/09/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	5.26	90.0	0.00	4.73	0.00	4.73
03/09/2018 #	WALPOLE, WILLIAM	DAIN TRAILER	0.00	1.74	90.0	0.00	1.57	0.00	1.57
03/09/2018 #	SORENSEN, LORI	DAIN TRAILER	0.00	0.19	90.0	0.00	0.17	0.00	0.17
03/09/2018 #	SORENSEN, LORI	DAIN TRAILER	0.00	2.45	90.0	0.00	2.21	0.00	2.21
03/09/2018 #	SORENSEN, LORI	DAIN TRAILER	0.00	5.45	90.0	0.00	4.91	0.00	4.91

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/09/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	2.50	90.0	0.00	2.25	0.00	2.25
03/09/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	2.49	90.0	0.00	2.24	0.00	2.24
03/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	1.43	90.0	0.00	1.29	0.00	1.29
03/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	19.55	90.0	0.00	17.60	0.00	17.60
03/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	3.67	90.0	0.00	3.30	0.00	3.30
03/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	11.45	90.0	0.00	10.31	0.00	10.31
03/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	30.35	90.0	0.00	27.32	0.00	27.32
03/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	8.97	90.0	0.00	8.07	0.00	8.07
03/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	6.29	90.0	0.00	5.66	0.00	5.66
03/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	8.62	90.0	0.00	7.76	0.00	7.76
03/09/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.57	90.0	0.00	3.21	0.00	3.21
03/09/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.61	90.0	0.00	1.45	0.00	1.45
03/09/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.75	90.0	0.00	1.58	0.00	1.58
03/09/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.55	90.0	0.00	2.30	0.00	2.30
03/09/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	5.54	90.0	0.00	4.99	0.00	4.99
03/09/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.91	90.0	0.00	1.72	0.00	1.72
03/09/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	0.75	90.0	0.00	0.68	0.00	0.68
03/09/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	0.96	90.0	0.00	0.86	0.00	0.86
03/09/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	2.87	90.0	0.00	2.58	0.00	2.58
03/09/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	3.15	90.0	0.00	2.84	0.00	2.84
03/09/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	10.18	90.0	0.00	9.16	0.00	9.16
03/09/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	1.04	90.0	0.00	0.94	0.00	0.94
03/09/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	3.49	90.0	0.00	3.14	0.00	3.14
03/09/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	3.85	90.0	0.00	3.47	0.00	3.47
03/09/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	1.30	90.0	0.00	1.17	0.00	1.17
03/09/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.34	90.0	0.00	2.11	0.00	2.11
03/09/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.54	90.0	0.00	2.29	0.00	2.29
03/09/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	5.40	90.0	0.00	4.86	0.00	4.86
03/09/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	4.06	90.0	0.00	3.65	0.00	3.65
03/09/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.52	90.0	0.00	2.27	0.00	2.27
03/09/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.10	90.0	0.00	1.89	0.00	1.89
03/09/2018 #	SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.31	90.0	0.00	2.08	0.00	2.08
03/09/2018 #	LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	2.84	90.0	0.00	2.56	0.00	2.56
03/09/2018 #	ANN CURRY TTEE	DAIN TRAILER	0.00	0.17	90.0	0.00	0.15	0.00	0.15
03/09/2018 #	ANN CURRY TTEE	DAIN TRAILER	0.00	0.39	90.0	0.00	0.35	0.00	0.35
03/09/2018 #	ANN CURRY TTEE	DAIN TRAILER	0.00	0.13	90.0	0.00	0.12	0.00	0.12

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/19/2018 # VELOSYS LLC	DAIN TRAILER	12,000.00	0.00	90.0	0.00	0.00	0.00	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$87,000.00	\$3,552.54		\$0.00	\$3,197.45	\$0.00	\$3,197.45
*** BROKER TRANSACTIONS TOTAL ***		\$103,197.90	\$4,275.31		\$0.00	\$3,847.94	\$0.00	\$3,847.94

ADJUSTMENTS

04/20/2018	BETA BACK OFFICE: ADMIN FEE							-320.00
04/20/2018	E & O INSURANCE: E & O INSURANCE							-179.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE							-215.00
*** ADJUSTMENTS TOTAL ***								\$-714.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,847.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-714.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,133.94
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