



# COMMISSION STATEMENT

## January 15, 2018

ALFREDO BALLESTEROS  
21830 Walnut Street  
Wildmar, CA 92595

BROKER #: B10  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/02/2018	***-**-9808 - SJOERDSMA, GREGG	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919902	BUY 2 Units @28.9100						
01/02/2018	***-**-0385 - SJOERDSMA, ANN	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919970	BUY 2 Units @28.9100						
01/05/2018	***-**-8240 - BREWER, LUCINDA	EUROPACIFIC GROWTH FUND-A	100.00	4.25	0.00	4.25	80.0	3.40
	ACCOUNT #: 00087834785	BUY 2 Units @60.9200						
01/05/2018	***-**-5049 - CRUZ, JOSE	EUROPACIFIC GROWTH FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087905262	BUY 1 Units @61.4000						
01/05/2018	***-**-8240 - BREWER, LUCINDA	SMALLCAP WORLD FUND-A	100.00	4.25	0.00	4.25	80.0	3.40
	ACCOUNT #: 00087834785	BUY 2 Units @60.0800						
01/02/2018	***-**-9808 - SJOERDSMA, GREGG	THE BOND FUND OF AMERICA-A	50.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00087919902	BUY 4 Units @13.3600						
01/02/2018	***-**-0385 - SJOERDSMA, ANN	THE BOND FUND OF AMERICA-A	50.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00087919970	BUY 4 Units @13.3600						
01/02/2018	***-**-9808 - SJOERDSMA, GREGG	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919902	BUY 1 Units @53.2200						
01/02/2018	***-**-0385 - SJOERDSMA, ANN	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919970	BUY 1 Units @53.2200						
01/05/2018	***-**-8240 - BREWER, LUCINDA	THE GROWTH FUND OF AMERICA-A	100.00	4.25	0.00	4.25	80.0	3.40
	ACCOUNT #: 00087834785	BUY 2 Units @53.8400						
01/05/2018	***-**-5049 - CRUZ, JOSE	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087905159	BUY 1 Units @54.2700						
01/05/2018	***-**-5049 - CRUZ, JOSE	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087905262	BUY 1 Units @54.2700						
01/02/2018	***-**-9808 - SJOERDSMA, GREGG	THE INCOME FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919902	BUY 2 Units @24.8700						
01/02/2018	***-**-0385 - SJOERDSMA, ANN	THE INCOME FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919970	BUY 2 Units @24.8700						
01/05/2018	***-**-8240 - BREWER, LUCINDA	THE INVESTMENT COMPANY OF AMERICA-A	100.00	4.25	0.00	4.25	80.0	3.40
	ACCOUNT #: 00087834785	BUY 2 Units @43.4900						
01/05/2018	***-**-5049 - CRUZ, JOSE	THE INVESTMENT COMPANY OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087905262	BUY 1 Units @43.8400						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,000.00</b>	<b>\$45.00</b>	<b>\$0.00</b>	<b>\$45.00</b>		<b>\$36.00</b>



# COMMISSION STATEMENT

## January 15, 2018

ALFREDO BALLESTEROS  
21830 Walnut Street  
Wildmar, CA 92595

BROKER #: B10  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: 529 PLAN</b>								
01/05/2018	***-**-9952 - NGUYEN, VICKIE	AMERICAN BALANCED FUND-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 3 Units @29.1700						
01/05/2018	***-**-9952 - NGUYEN, VICKIE	AMERICAN BALANCED FUND-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 2 Units @29.1700						
01/05/2018	***-**-9952 - NGUYEN, VICKIE	NEW PERSPECTIVE FUND-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 2 Units @46.7100						
01/05/2018	***-**-9952 - NGUYEN, VICKIE	NEW PERSPECTIVE FUND-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @46.7100						
01/05/2018	***-**-9952 - NGUYEN, VICKIE	THE GROWTH FUND OF AMERICA-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 1 Units @53.7100						
01/05/2018	***-**-9952 - NGUYEN, VICKIE	THE GROWTH FUND OF AMERICA-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @53.7100						
01/05/2018	***-**-9952 - NGUYEN, VICKIE	THE INVESTMENT COMPANY OF AMERICA-5	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 2 Units @43.7300						
01/05/2018	***-**-9952 - NGUYEN, VICKIE	THE INVESTMENT COMPANY OF AMERICA-5	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @43.7300						
<b>* 529 PLAN SUBTOTAL *</b>			<b>\$500.00</b>	<b>\$25.00</b>	<b>\$0.00</b>	<b>\$25.00</b>		<b>\$20.00</b>

### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/31/2017	***-**-0405 - STANDRIDGE,	C-STEELPATH MLP ALPHA FUND	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 00100308672	BUY 0 Units @0.0000						
12/31/2017	***-**-6399 - STANDRIDGE,	C-STEELPATH MLP ALPHA FUND	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 00100308650	BUY 0 Units @0.0000						
12/31/2017	***-**-6399 - STANDRIDGE,	C-STEELPATH MLP ALPHA FUND	0.00	1.99	0.00	1.99	80.0	1.59
	ACCOUNT #: 00100308661	BUY 0 Units @0.0000						
12/31/2017	***-**-0405 - STANDRIDGE,	C-STEELPATH MLP ALPHA FUND	0.00	1.99	0.00	1.99	80.0	1.59
	ACCOUNT #: 00100308683	BUY 0 Units @0.0000						
12/31/2017	***-**-0405 - STANDRIDGE,	CAPITAL APPRECIATION C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 03222367035	BUY 0 Units @0.0000						
12/31/2017	***-**-6399 - STANDRIDGE,	CAPITAL APPRECIATION C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 03222367013	BUY 0 Units @0.0000						
12/31/2017	***-**-6399 - STANDRIDGE,	CAPITAL APPRECIATION C	0.00	4.91	0.00	4.91	80.0	3.93
	ACCOUNT #: 03222367024	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

January 15, 2018

ALFREDO BALLESTEROS  
21830 Walnut Street  
Wildmar, CA 92595

BROKER #: B10  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 03222367046	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	4.91	0.00	4.91	80.0	3.93
12/31/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 03020607761	CAPITAL INCOME FUND C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
12/31/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 03020607739	CAPITAL INCOME FUND C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
12/31/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 03020607750	CAPITAL INCOME FUND C BUY 0 Units @0.0000	0.00	2.27	0.00	2.27	80.0	1.82
12/31/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 03020607772	CAPITAL INCOME FUND C BUY 0 Units @0.0000	0.00	2.27	0.00	2.27	80.0	1.82
12/31/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 08370762784	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
12/31/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 08370762762	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
12/31/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 08370762773	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	0.00	4.95	0.00	4.95	80.0	3.96
12/31/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 08370762795	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	0.00	4.95	0.00	4.95	80.0	3.96
12/31/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 08571297538	LIMITED-TERM GOVERNMENT FUND C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
12/31/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 08571297516	LIMITED-TERM GOVERNMENT FUND C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
12/31/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 08571297527	LIMITED-TERM GOVERNMENT FUND C BUY 0 Units @0.0000	0.00	1.96	0.00	1.96	80.0	1.57
12/31/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 08571297549	LIMITED-TERM GOVERNMENT FUND C BUY 0 Units @0.0000	0.00	1.96	0.00	1.96	80.0	1.57
12/31/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 08822120030	OPPENHEIMER INT'L BOND CLASS C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
12/31/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 08822120008	OPPENHEIMER INT'L BOND CLASS C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
12/31/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 08822120019	OPPENHEIMER INT'L BOND CLASS C BUY 0 Units @0.0000	0.00	2.32	0.00	2.32	80.0	1.86



# COMMISSION STATEMENT

January 15, 2018

ALFREDO BALLESTEROS  
21830 Walnut Street  
Wildmar, CA 92595

BROKER #: B10  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
12/31/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 08822120041	OPPENHEIMER INT'L BOND CLASS C BUY 0 Units @0.0000	0.00	2.32	0.00	2.32	80.0	1.86
12/31/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 08170466895	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
12/31/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 08170466873	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
12/31/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 08170466884	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	0.00	5.33	0.00	5.33	80.0	4.26
12/31/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 08170466906	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	0.00	5.33	0.00	5.33	80.0	4.26
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$47.46</b>	<b>\$0.00</b>	<b>\$47.46</b>		<b>\$37.98</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,500.00</b>	<b>\$117.46</b>	<b>\$0.00</b>	<b>\$117.46</b>		<b>\$93.98</b>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$93.98
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$93.98</b>
<b>Year-to-date Earnings</b>	<b>\$1,984.80</b>