

**COMMISSION STATEMENT****January 31, 2018**

**BARRY LE MAY**  
2242 Camden Ave  
Suite 102  
San Jose, CA 95124

**BROKER #: L122**  
**BRANCH: AMBAR FINANCIAL**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
01/22/2018	BARDELL, PAULA	TD AMERITRADE	0.00	525.03	0.00	525.03	90.0	472.53
01/22/2018	BARRETT, MARYALYS	TD AMERITRADE	0.00	141.62	0.00	141.62	90.0	127.46
01/22/2018	BHASKARAN, SURESH	TD AMERITRADE	0.00	186.49	0.00	186.49	90.0	167.84
01/22/2018	BRENNAN, CHRISTOPHER	TD AMERITRADE	0.00	607.07	0.00	607.07	90.0	546.36
01/22/2018	SALVADORI, DANIELA	TD AMERITRADE	0.00	146.46	0.00	146.46	90.0	131.81
01/22/2018	DE LA ROSA, MARIA	TD AMERITRADE	0.00	251.14	0.00	251.14	90.0	226.03
01/22/2018	DE LA ROSA, RAMON	TD AMERITRADE	0.00	143.72	0.00	143.72	90.0	129.35
01/22/2018	CARPIO, IRMINA	TD AMERITRADE	0.00	1,213.24	0.00	1,213.24	90.0	1,091.92
01/22/2018	CODY, SUZANNA	TD AMERITRADE	0.00	239.11	0.00	239.11	90.0	215.20
01/22/2018	CONLIN, MARGARET	TD AMERITRADE	0.00	133.70	0.00	133.70	90.0	120.33
01/22/2018	DEL ROSARIO, BYRON	TD AMERITRADE	0.00	106.11	0.00	106.11	90.0	95.50
01/22/2018	DEMARTINI, ALLEN	TD AMERITRADE	0.00	313.21	0.00	313.21	90.0	281.89
01/22/2018	HARE, CLAIRE	TD AMERITRADE	0.00	351.05	0.00	351.05	90.0	315.95
01/22/2018	HAUWERT SR, GERARDUS	TD AMERITRADE	0.00	193.14	0.00	193.14	90.0	173.83
01/22/2018	HEY, GEORGE MICHAEL	TD AMERITRADE	0.00	443.15	0.00	443.15	90.0	398.84
01/22/2018	HEY, GEORGE MICHAEL	TD AMERITRADE	0.00	154.50	0.00	154.50	90.0	139.05
01/22/2018	HEY, GEORGE MICHAEL	TD AMERITRADE	0.00	287.49	0.00	287.49	90.0	258.74
01/22/2018	HEY, GEORGE MICHAEL	TD AMERITRADE	0.00	246.72	0.00	246.72	90.0	222.05
01/22/2018	HEY, GEORGE MICHAEL	TD AMERITRADE	0.00	242.65	0.00	242.65	90.0	218.39
01/22/2018	HEY, GEORGE MICHAEL	TD AMERITRADE	0.00	266.41	0.00	266.41	90.0	239.77
01/22/2018	HEY, GEORGE MICHAEL	TD AMERITRADE	0.00	192.53	0.00	192.53	90.0	173.28
01/22/2018	BDH CONSULTANTS	TD AMERITRADE	0.00	435.98	0.00	435.98	90.0	392.38
01/22/2018	HURLEY, KENNETH	TD AMERITRADE	0.00	339.62	0.00	339.62	90.0	305.66
01/22/2018	HURLEY, KENNETH	TD AMERITRADE	0.00	303.93	0.00	303.93	90.0	273.54
01/22/2018	HURLEY, KENNETH	TD AMERITRADE	0.00	271.78	0.00	271.78	90.0	244.60
01/22/2018	HURLEY, KENNETH	TD AMERITRADE	0.00	136.94	0.00	136.94	90.0	123.25

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: RIA								
01/22/2018	KACHULIS, CASSANDRA	TD AMERITRADE	0.00	92.21	0.00	92.21	90.0	82.99
01/22/2018	KACHULIS, CASSANDRA	TD AMERITRADE	0.00	161.23	0.00	161.23	90.0	145.11
01/22/2018	KACHULIS, CASSANDRA	TD AMERITRADE	0.00	391.27	0.00	391.27	90.0	352.14
01/22/2018	KACHULIS, CASSANDRA	TD AMERITRADE	0.00	193.19	0.00	193.19	90.0	173.87
01/22/2018	LAKE, DAVID	TD AMERITRADE	0.00	101.64	0.00	101.64	90.0	91.48
01/22/2018	LIU, GRANT	TD AMERITRADE	0.00	88.20	0.00	88.20	90.0	79.38
01/22/2018	MACKENZIE, MICHELLE	TD AMERITRADE	0.00	165.29	0.00	165.29	90.0	148.76
01/22/2018	NEWMAN, PAUL	TD AMERITRADE	0.00	163.54	0.00	163.54	90.0	147.19
01/22/2018	NEWMAN, PAUL	TD AMERITRADE	0.00	252.86	0.00	252.86	90.0	227.57
01/22/2018	LODI, EDWARD	TD AMERITRADE	0.00	146.29	0.00	146.29	90.0	131.66
01/22/2018	LODI, EDWARD	TD AMERITRADE	0.00	150.16	0.00	150.16	90.0	135.14
01/22/2018	LODI, EDWARD	TD AMERITRADE	0.00	99.26	0.00	99.26	90.0	89.33
01/22/2018	PRYLE, KIM	TD AMERITRADE	0.00	144.04	0.00	144.04	90.0	129.64
01/22/2018	RODRIGUEZ, MARCOS	TD AMERITRADE	0.00	132.49	0.00	132.49	90.0	119.24
01/22/2018	RODRIGUEZ, MARCOS	TD AMERITRADE	0.00	159.56	0.00	159.56	90.0	143.60
01/22/2018	SANDHU, KAMINI SHARMA	TD AMERITRADE	0.00	352.37	0.00	352.37	90.0	317.13
01/22/2018	SMART, THOMAS	TD AMERITRADE	0.00	154.74	0.00	154.74	90.0	139.27
01/22/2018	TRAN, TRANG	TD AMERITRADE	0.00	100.95	0.00	100.95	90.0	90.86
01/22/2018	TRAN, TRANG	TD AMERITRADE	0.00	85.29	0.00	85.29	90.0	76.76
01/22/2018	VIZIR, VITALI	TD AMERITRADE	0.00	712.98	0.00	712.98	90.0	641.68
* RIA SUBTOTAL *			\$0.00	\$11,720.35	\$0.00	\$11,720.35		\$10,548.35
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$11,720.35	\$0.00	\$11,720.35		\$10,548.35
ADJUSTMENTS								
01/31/2018	*MANAGED FEE EXPENSE: SAWTOOTH ACCT		0.00					-234.88
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-234.88

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$10,548.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-234.88
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,313.47
Year-to-date Gross	\$20,475.31
Year-to-date Earnings	\$18,427.82