



Concorde Investment Services, LLC

08/14/2017
Page No. 1

COMMISSION STATEMENT

August 15, 2017

JEFFREY KIESNOSKI
417 East Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: K141
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/03/2017	INFINITY 8 FOREVER CORP	AXA 00248C360	29,904.60	2,093.32	0.00	2,093.32	94.0	1,967.72
* VARIABLE ANNUITIES SUBTOTAL *			\$29,904.60	\$2,093.32	\$0.00	\$2,093.32		\$1,967.72
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/10/2017	DEMIAN, CAROLE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.93	0.00	2.93	94.0	2.75
07/13/2017	FROH, PAULA SANDRA	FRANKLIN OREGON TAX- FREE INCOME FU	0.00	0.28	0.00	0.28	94.0	0.26
07/18/2017	DEMIAN, CAROLE	JP MORGAN CORE BOND A	0.00	2.78	0.00	2.78	94.0	2.61
07/18/2017	DEMIAN, CAROLE	JP MORGAN INCOME BUILDER FUND CLASS	0.00	2.81	0.00	2.81	94.0	2.64
07/21/2017	COREY, JEFFREY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.35	0.00	0.35	94.0	0.33
07/21/2017	FROH, PAULA SANDRA	MFS GLOBAL EQUITY A	0.00	2.17	0.00	2.17	94.0	2.04
07/11/2017	FROH, PAULA SANDRA	PRU JENNISON GROWTH	0.00	0.96	0.00	0.96	94.0	0.90
07/25/2017	FROH, PAULA SANDRA	PUTNAM EQUITY INCOME A	0.00	0.95	0.00	0.95	94.0	0.89
07/25/2017	FROH, PAULA SANDRA	PUTNAM GLOBAL HEALTH CARE A	0.00	0.10	0.00	0.10	94.0	0.09
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$13.33	\$0.00	\$13.33		\$12.51
*** BROKER TRANSACTIONS TOTAL ***			\$29,904.60	\$2,106.65	\$0.00	\$2,106.65		\$1,980.23

SPLIT TRANSACTIONS

BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251

07/10/2017	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.54	0.00	2.54	94.0	2.39
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$2.54	\$0.00	\$2.54		\$2.39

BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252

07/10/2017	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.28	0.00	1.28	94.0	1.20
07/10/2017	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.04	0.00	3.04	94.0	2.86
07/10/2017	WYFFELS, ALAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.67	0.00	3.67	94.0	3.45
07/10/2017	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.28	0.00	1.28	94.0	1.20

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u>								
07/21/2017	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.25	0.00	0.25	94.0	0.24
07/21/2017	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.20	0.00	0.20	94.0	0.19
07/21/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.13	0.00	1.13	94.0	1.06
07/21/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.27	0.00	0.27	94.0	0.25
07/21/2017	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.30	0.00	0.30	94.0	0.28
07/21/2017	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.15	0.00	0.15	94.0	0.14
07/21/2017	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.11	0.00	0.11	94.0	0.10
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$0.00	\$11.68	\$0.00	\$11.68		\$10.97

<u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u>								
07/10/2017	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.74	0.00	2.74	94.0	2.58
07/10/2017	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.21	0.00	1.21	94.0	1.14
07/10/2017	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.94	0.00	2.94	94.0	2.76
07/17/2017	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	1.54	0.00	1.54	94.0	1.45
07/17/2017	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	6.16	0.00	6.16	94.0	5.79
07/13/2017	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	3.70	0.00	3.70	94.0	3.48
07/18/2017	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	1.44	0.00	1.44	94.0	1.35
07/18/2017	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.38	0.00	2.38	94.0	2.24
07/27/2017	DEGREGORIO, JOHN	HAUPPAUGE DIGITAL INC COM	299.39	0.00	20.25	-20.25	94.0	-19.04
07/18/2017	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.94	0.00	0.94	94.0	0.88
07/21/2017	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.31	0.00	1.31	94.0	1.23
07/21/2017	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.73	0.00	0.73	94.0	0.69
07/21/2017	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.00	0.00	2.00	94.0	1.88
07/21/2017	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.26	0.00	0.26	94.0	0.24
07/21/2017	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.56	0.00	1.56	94.0	1.47
07/21/2017	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.01	0.00	1.01	94.0	0.95
07/21/2017	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.56	0.00	0.56	94.0	0.53
07/21/2017	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.25	0.00	1.25	94.0	1.18
07/20/2017	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	15.40	0.00	15.40	94.0	14.48
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			\$299.39	\$47.13	\$20.25	\$26.88		\$25.28

<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
06/30/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	0.00	5.06	0.00	5.06	94.0	4.76
07/19/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.03	0.00	0.03	94.0	0.03

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
07/19/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.27	0.00	0.27	94.0	0.25
07/19/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.45	0.00	0.45	94.0	0.42
07/18/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.26	0.00	0.26	94.0	0.24
07/18/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.23	0.00	0.23	94.0	0.22
07/18/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.10	0.00	0.10	94.0	0.09
07/18/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.10	0.00	0.10	94.0	0.09
07/18/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.19	0.00	0.19	94.0	0.18
07/18/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.48	0.00	1.48	94.0	1.39
07/18/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.09	0.00	1.09	94.0	1.02
07/18/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.11	0.00	0.11	94.0	0.10
07/18/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.12	0.00	0.12	94.0	0.11
07/18/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.09	0.00	0.09	94.0	0.08
07/21/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.07	0.00	0.07	94.0	0.07
07/13/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.15	0.00	0.15	94.0	0.14
07/13/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.67	0.00	0.67	94.0	0.63
07/21/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.32	0.00	0.32	94.0	0.30
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$0.00	\$10.79	\$0.00	\$10.79		\$10.12
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
07/18/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	4.35	0.00	4.35	94.0	4.09
07/10/2017	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.80	0.00	1.80	94.0	1.69
07/10/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.34	0.00	1.34	94.0	1.26
08/10/2017	MAKRIDES, MARIE	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	875.00	0.00	875.00	94.0	822.50
07/19/2017	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.48	0.00	1.48	94.0	1.39
07/21/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.04	0.00	3.04	94.0	2.86
07/21/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.24	0.00	1.24	94.0	1.17
07/21/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.65	0.00	0.65	94.0	0.61
07/21/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.14	0.00	2.14	94.0	2.01
07/21/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.55	0.00	0.55	94.0	0.52
07/21/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.50	0.00	2.50	94.0	2.35
07/21/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.78	0.00	0.78	94.0	0.73
07/21/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.88	0.00	1.88	94.0	1.77
07/21/2017	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.03	0.00	2.03	94.0	1.91
07/21/2017	STARK, LINDA	MAINSTAY MAP A	0.00	0.46	0.00	0.46	94.0	0.43

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
07/21/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	0.43	0.00	0.43	94.0	0.40
07/13/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.04	0.00	2.04	94.0	1.92
07/21/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	2.54	0.00	2.54	94.0	2.39
07/12/2017	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.08	0.00	0.08	94.0	0.08
07/12/2017	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.20	0.00	0.20	94.0	0.19
07/21/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.20	0.00	0.20	94.0	0.19
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$50,000.00	\$904.73	\$0.00	\$904.73		\$850.46
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
07/18/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.14	0.00	0.14	94.0	0.13
07/10/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.36	0.00	4.36	94.0	4.10
07/10/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.70	0.00	0.70	94.0	0.66
07/10/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.32	0.00	2.32	94.0	2.18
07/10/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.22	0.00	3.22	94.0	3.03
07/14/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	1.64	0.00	1.64	94.0	1.54
07/21/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.96	0.00	1.96	94.0	1.84
07/21/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.38	0.00	4.38	94.0	4.12
07/21/2017	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.25	0.00	0.25	94.0	0.24
07/21/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.54	0.00	2.54	94.0	2.39
07/21/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.74	0.00	1.74	94.0	1.64
07/13/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.58	0.00	4.58	94.0	4.31
07/13/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	1.45	0.00	1.45	94.0	1.36
07/13/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	1.34	0.00	1.34	94.0	1.26
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$0.00	\$30.62	\$0.00	\$30.62		\$28.80
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
07/10/2017	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.45	0.00	3.45	94.0	3.24
07/21/2017	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.63	0.00	0.63	94.0	0.59
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$4.08	\$0.00	\$4.08		\$3.83
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
07/18/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.48	0.00	0.48	94.0	0.45
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.48	\$0.00	\$0.48		\$0.45

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
07/18/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.03	0.00	0.03	94.0	0.03
07/21/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.60	0.00	0.60	94.0	0.56
07/21/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.02	0.00	2.02	94.0	1.90
07/21/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.33	0.00	1.33	94.0	1.25
07/21/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.97	0.00	0.97	94.0	0.91
07/17/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.15	0.00	0.15	94.0	0.14
07/17/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.38	0.00	0.38	94.0	0.36
07/17/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.02	0.00	0.02	94.0	0.02
07/17/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.06	0.00	0.06	94.0	0.06
07/19/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.14	0.00	1.14	94.0	1.07
07/19/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.42	0.00	3.42	94.0	3.21
07/19/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.43	0.00	1.43	94.0	1.34
07/19/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.28	0.00	4.28	94.0	4.02
07/19/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.95	0.00	0.95	94.0	0.89
07/19/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.85	0.00	2.85	94.0	2.68
07/19/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.14	0.00	1.14	94.0	1.07
07/19/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.42	0.00	3.42	94.0	3.21
07/19/2017	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.72	0.00	0.72	94.0	0.68
07/19/2017	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.13	0.00	1.13	94.0	1.06
07/19/2017	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.94	0.00	1.94	94.0	1.82
07/19/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.55	0.00	1.55	94.0	1.46
07/19/2017	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.78	0.00	0.78	94.0	0.73
07/19/2017	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.66	0.00	4.66	94.0	4.38
07/18/2017	GRISALES, CARMEN	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	0.29	0.00	0.29	94.0	0.27
07/18/2017	GRISALES, CARMEN	INVESCO EQUITY AND INCOME A	0.00	0.28	0.00	0.28	94.0	0.26
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$0.00	\$35.54	\$0.00	\$35.54		\$33.38
<u>BROKER: M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR #277</u>								
07/17/2017	KISSIL, GEORGE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.61	0.00	0.61	94.0	0.57
07/17/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.29	0.00	1.29	94.0	1.21
07/17/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.86	0.00	3.86	94.0	3.63
07/19/2017	LAROCHE, LAURIE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.72	0.00	0.72	94.0	0.68
* M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR SUBTOTAL *			\$0.00	\$6.48	\$0.00	\$6.48		\$6.09

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
*** SPLIT TRANSACTIONS TOTAL ***			\$50,299.39	\$1,054.07	\$20.25	\$1,033.82		\$971.77
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ALAN LICHTENSTEIN #249 </u>								
08/04/2017	TRIOLA, DOREEN	GPB AUTOMOTIVE PORTFOLIO LP	30,000.00	2,100.00	0.00	2,100.00	75.0	262.50
08/04/2017	CORDING, JOAN	GPB AUTOMOTIVE PORTFOLIO LP	25,000.00	1,750.00	0.00	1,750.00	75.0	218.75
08/04/2017	EISEL-RYAN, JOSEPHINE	INLAND RIVERDALE MULITFAMILY DST	115,456.55	5,772.83	0.00	5,772.83	75.0	721.60
* ALAN LICHTENSTEIN SUBTOTAL *			\$170,456.55	\$9,622.83	\$0.00	\$9,622.83		\$1,202.85
<u> BROKER: JOHN KLETT #250 </u>								
07/31/2017	TIPLEY, ANNE	VANGUARD INDEX FUNDS SMALLCAP GROWT	-9,947.52	0.00	22.50	-22.50	75.0	-2.81
* JOHN KLETT SUBTOTAL *			\$-9,947.52	\$0.00	\$22.50	\$-22.50		\$-2.81
<u> BROKER: MARC LINSKY #263 </u>								
07/26/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	37.50	1.88	0.00	1.88	90.0	0.03
08/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	1,000.00	10.00	0.00	10.00	90.0	0.17
07/26/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	37.50	1.88	0.00	1.88	90.0	0.03
07/26/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	75.00	3.75	0.00	3.75	90.0	0.06
08/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	17.00	0.00	17.00	90.0	0.29
08/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
07/18/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.93	0.00	4.93	90.0	0.08
07/18/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	19.98	0.00	19.98	90.0	0.34
08/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	90.0	0.29
08/09/2017	PRATOLA, PRESTON	APOLLO GLOBAL MGMT LLC CL A SHS	-30,196.92	30.00	23.52	6.48	90.0	0.11
07/28/2017	SPECTER TRUST, STEPHANIE	GRIFFIN INST'L ACCESS R E FUND C	36,000.00	360.00	0.00	360.00	90.0	6.12
07/19/2017	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	14.81	0.00	14.81	90.0	0.25
08/09/2017	PRATOLA, PRESTON	INTEL CORP COM	-25,347.00	30.00	23.05	6.95	90.0	0.12
07/18/2017	FARBMAN, ERIC	INVESCO AMERICAN FRANCHISE A	0.00	9.04	0.00	9.04	90.0	0.15
07/18/2017	FARBMAN, ERIC	INVESCO HIGH YIELD FUND A	0.00	12.91	0.00	12.91	90.0	0.22
07/18/2017	FARBMAN, ERIC	INVESCO STRUCTURED CORE A	0.00	15.49	0.00	15.49	90.0	0.26
08/04/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
07/31/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	264,553.39	220.46	0.00	220.46	90.0	3.75
07/31/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	266,402.98	222.00	0.00	222.00	90.0	3.77
07/31/2017	NANI, JOSEPH	JNL PERSPECTIVE L	409,791.10	341.49	0.00	341.49	90.0	5.81
07/31/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	511,343.92	426.12	0.00	426.12	90.0	7.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
07/31/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	107,076.21	89.23	0.00	89.23	90.0	1.52
07/31/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,041.83	2.93	0.00	2.93	90.0	0.05
07/31/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	208,358.39	173.63	0.00	173.63	90.0	2.95
07/21/2017	MCFADDEN, JOAN	LANDMARK 2002	0.00	207.17	0.00	207.17	90.0	3.52
08/01/2017	PISANI, NICHOLAS	LFG LNCTV679U	250.00	10.00	0.00	10.00	90.0	0.17
08/01/2017	PISANI, CARLA	LFG LNDEV679U	541.00	25.70	0.00	25.70	90.0	0.44
07/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	27.73	0.00	27.73	90.0	0.47
07/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.04	0.00	15.04	90.0	0.26
07/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	147.87	0.00	147.87	90.0	2.51
07/27/2017	HALL, GLORIA	PORTLAND GEN ELEC CO COM NEW	-680.64	15.00	22.50	-7.50	90.0	-0.13
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	6.96	0.00	6.96	90.0	0.12
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.41	0.00	0.41	90.0	0.01
07/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.13
07/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
/ /	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	0.00	6.92	0.00	6.92	90.0	0.12
07/28/2017	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	200.00	5.00	0.00	5.00	90.0	0.09
* MARC LINSKY SUBTOTAL *			\$1,765,259.26	\$2,535.83	\$69.07	\$2,466.76		\$41.93
<u>BROKER: ANDREW (ANDREAS) ZIGOURAS #282</u>								
08/09/2017	DILLON, ROBERT	BIODELIVERY SCIENCES INTL INC COM	12,200.00	124.00	27.00	97.00	90.0	3.20
* ANDREW (ANDREAS) ZIGOURAS SUBTOTAL *			\$12,200.00	\$124.00	\$27.00	\$97.00		\$3.20
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,937,968.29	\$12,282.66	\$118.57	\$12,164.09		\$1,245.17

ADJUSTMENTS

08/15/2017	*EO INS: CURRENT MONTH INS	0.00	-45.00
08/15/2017	*EO INS: CURRENT MONTH-T THOMPSON	0.00	-45.00
08/15/2017	*EO INS: N ELIAS	0.00	-45.00
08/15/2017	*FEDERAL EXPRESS: 5-878-03801 7/26/	0.00	-10.70
08/15/2017	*FEDERAL EXPRESS: 5-885-29775 8/2/1	0.00	-19.83
08/15/2017	*MANAGED FEE EXPENSE: DEBIT FROM 1A	0.00	1,600.00
08/15/2017	*MARKETING LIBRARY: MARKETING LIBRA	0.00	-45.00
08/15/2017	*MISC: 7/15 OVERNIGHT FEE	0.00	-20.00

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<u>ADJUSTMENTS</u>								
08/15/2017		*MISC: 7/31 OVERNIGHT FEE	0.00					-10.00
08/15/2017		*MISC: C FULMER, A LEEDS	0.00					-540.00
08/15/2017		*MISC: CREDIT TO K141	0.00					-528.00
08/15/2017		*MISC: ROY, AFLAC, 1031DST	0.00					-1,326.00
08/15/2017		*MISC: SARAH, FT L, 1031DST	0.00					-205.00
08/15/2017		*OVERRIDE: BRANCH-KIESNOSKI	0.00					-0.53
08/15/2017		*OVERRIDE: LINSKY	0.00					108.85
08/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-0.75
08/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.33
08/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.34
08/15/2017		*PERSHING: MARK UPS	0.00					22.50
08/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-1.89
08/15/2017		*TECH: ADMIN FULMER	0.00					-25.00
08/15/2017		*TECH: ADMIN-AMY LEEDS	0.00					-33.00
08/15/2017		*TECH: CURRENT MONTH TECH	0.00					-41.25
08/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					-41.25
08/15/2017		*TECH: N ELIAS	0.00					-41.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,293.77

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BROKER COMMISSION TOTALS

Broker Transactions	\$1,980.23
Split Transactions	\$971.77
Override Transactions	\$1,245.17
Adjustments	\$-1,293.77
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,903.40
Year-to-date Gross	\$145,705.96
Year-to-date Earnings	\$151,522.54