



All Companies

01/30/2018
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COMMISSION STATEMENT

February 01, 2018

RAYMOND C. ASHLEY
4911 Fall Creek Hwy

BROKER #: 8975
BRANCH: HOME OFFICE

Granbury, TX 76049

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/31/2017	TRAILS	-FIDELITY ADVISOR FUNDS	B	52.61	52.61	0.00	52.61	90.0	47.35
12/31/2017	TRAILS	-JOHN HANCOCK FREEDOM 529	B	89.79	89.79	0.00	89.79	90.0	80.81
12/31/2017	TRAILS	PACIFIC LIFE FUNDS - GENERAL	B	221.09	221.09	0.00	221.09	90.0	198.98
01/16/2018	00088819064 BARNARD, NONA L.	AMERICAN FUNDS WA MUTUAL	INVEST B	250.00	9.38	0.00	9.38	90.0	8.44
01/10/2018	00207272799 ASHLEY/BRUMWELL, SUSAN/SAVANNAH	T ROWE PRICE NEW HORIZONS 529 C2	B	125.00	1.25	0.00	1.25	90.0	1.13
01/10/2018	00207272803 ASHLEY/BRUMWELL, SUSAN/SAVANNAH	EQUITY PORTFOLIO C2	B	125.00	1.25	0.00	1.25	90.0	1.13
01/09/2018	04000606103 RANGEL, IRMA E.	AMERICAN FUNDS BALANCED FD	B	21,354.59	800.80	0.00	800.80	90.0	720.72
01/09/2018	04000606103 RANGEL, IRMA E.	AMERICAN FUNDS BD FD OF AMER FD	B	21,354.59	640.64	0.00	640.64	90.0	576.58
01/09/2018	04000606103 RANGEL, IRMA E.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	17,083.68	640.64	0.00	640.64	90.0	576.58
01/09/2018	04000606103 RANGEL, IRMA E.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	12,812.75	480.48	0.00	480.48	90.0	432.43
01/09/2018	04000606103 RANGEL, IRMA E.	AMERICAN FUNDS WA MUTUAL	INVEST B	12,812.75	480.48	0.00	480.48	90.0	432.43
01/02/2018	07150213641 BLAKE/MANSFIELD, FRANCES/MORGAN	FIXED INCOME PORTFOLIO C2	B	200.00	2.00	0.00	2.00	90.0	1.80
01/08/2018	07150213641 BLAKE/MANSFIELD, FRANCES/MORGAN	FIXED INCOME PORTFOLIO C2	B	200.00	2.00	0.00	2.00	90.0	1.80
01/10/2018	07150513926 BRUMWELL, SAMANTHA COLLEGE PORTFOLIO C2		B	250.00	2.50	0.00	2.50	90.0	2.25
01/10/2018	07150513930 BRUMWELL, SAMANTHA PORTFOLIO 2021-2024 C2		B	250.00	2.50	0.00	2.50	90.0	2.25
* MUTUAL FUNDS SUBTOTAL *				\$87,181.85	\$3,427.41	\$0.00	\$3,427.41		\$3,084.68
<u>PRODUCT CATEGORY: STOCKS</u>									
01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	1,434.54	1,434.54	0.00	1,434.54	90.0	1,291.09
* STOCKS SUBTOTAL *				\$1,434.54	\$1,434.54	\$0.00	\$1,434.54		\$1,291.09
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/05/2018	TRAILS	-JOHN HANCOCK	B	118.64	118.64	0.00	118.64	90.0	106.78
01/15/2018	CORBET, JEFFERY L	-JOHN HANCOCK	B	300.00	18.00	0.00	18.00	90.0	16.20
01/03/2018	TRAILS	-PACIFIC LIFE INSURANCE	B	51.04	51.04	0.00	51.04	90.0	45.94
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	4,605.82	4,605.82	0.00	4,605.82	90.0	4,145.24
* VARIABLE ANNUITIES SUBTOTAL *				\$5,075.50	\$4,793.50	\$0.00	\$4,793.50		\$4,314.16

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
01/12/2018	17946488	MABRY, CHRISTOPHER D.	SIGNAL SECURITIES RIA	B	0.00	903.33	0.00	903.33	90.0	813.00
01/12/2018	30932836	BARNETT, RHANDY L	SIGNAL SECURITIES RIA	B	0.00	270.33	0.00	270.33	90.0	243.30
01/12/2018	77430114	WATSON, RICHARD S	SIGNAL SECURITIES RIA	B	0.00	81.33	0.00	81.33	90.0	73.20
01/12/2018	81766746	KELLY, JOHN	SIGNAL SECURITIES RIA	B	0.00	240.33	0.00	240.33	90.0	216.30
* RIA SUBTOTAL *					0.00	1,495.32	0.00	1,495.32		1,345.80
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	9.98	0.00	9.98	90.0	8.98	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					0.00	9.98	0.00	9.98		8.98
*** BROKER TRANSACTIONS TOTAL ***					93,691.89	11,160.75	0.00	11,160.75		10,044.71
SPLIT TRANSACTIONS										
BROKER: JOINT ASHLEY/ASHLEY #8030										
12/31/2017	TRAILS	-PUTNAM	B	0.97	0.48	0.00	0.48	90.0	0.43	
01/15/2018	TRICO ELECTRI C, GBM MGMT GROUP	NATIONWIDE QUALIFIED PLAN	B	1,924.29	166.50	0.00	166.50	90.0	149.85	
01/12/2018	30367258	BURLESON, JAMES/MARTHA	SIGNAL SECURITIES RIA	B	0.00	152.50	0.00	152.50	90.0	137.25
* JOINT ASHLEY/ASHLEY SUBTOTAL *					1,925.26	319.48	0.00	319.48		287.53
*** SPLIT TRANSACTIONS TOTAL ***					1,925.26	319.48	0.00	319.48		287.53
ADJUSTMENTS										
02/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-48.25	
02/01/2018		HILLTOP: CUSTOMER WRITE OFF		0.00	0.00	0.00	0.00		-4.00	
02/01/2018		HILLTOP: FIXED INCOME TICKET CHARGE		0.00	0.00	0.00	0.00		-17.50	
02/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50	
*** ADJUSTMENTS TOTAL ***					0.00	0.00	0.00	0.00		-97.25

BROKER COMMISSION TOTALS

Broker Transactions	\$10,044.71
Split Transactions	\$287.53
Override Transactions	\$0.00
Adjustments	\$-97.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$10,234.99
Year-to-date Earnings	\$15,077.89