



COMMISSION STATEMENT

January 29, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/08/2018	***-**-575I - PALELLA, CHARLES	EQUITY INCOME FUND, INC. A	250.00	10.00	0.00	10.00	95.0	9.50
	ACCOUNT #: 08350895037	BUY 0 Units @0.0000						
01/02/2018	***-**-9281 - RUSSELL, AMY	EQUITY INCOME FUND, INC. C	25.00	0.25	0.00	0.25	95.0	0.24
	ACCOUNT #: 08370662827	BUY 0 Units @0.0000						
01/16/2018	***-**-40CL - LUPIANI, CLIENTS	FA 529 COLLEGE PORT-CL C	200.00	2.00	0.00	2.00	95.0	1.90
	ACCOUNT #: 00625374335	BUY 13 Units @15.5400						
01/16/2018	***-**-40CL - LUPIANI, CLIENTS	FA 529 PORT 2019-CL C	150.00	1.50	0.00	1.50	95.0	1.43
	ACCOUNT #: 00625374327	BUY 8 Units @18.4900						
01/11/2018	***-**-8934 - BUCKWELL, JAMES	OPPENHEIMER ROCHEST NATIONAL MUNI C	100.00	0.90	0.00	0.90	95.0	0.86
	ACCOUNT #: 07970445643	BUY 0 Units @0.0000						
01/19/2018	***-**-095J - MORAN, MARY	PUTNAM NEW OPPORTUNITIES-C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 00476905514	BUY 1 Units @81.4700						
01/02/2018	***-**-7727 - CUMMINGS,	ROCHESTER FUND MUNICIPALS C	100.00	1.00	0.00	1.00	95.0	0.95
	ACCOUNT #: 03670723437	BUY 0 Units @0.0000						
01/02/2018	***-**-9281 - RUSSELL, AMY	SENIOR FLOATING RATE FUND C	10.00	0.10	0.00	0.10	95.0	0.10
	ACCOUNT #: 02932131646	BUY 0 Units @0.0000						
01/02/2018	***-**-9281 - RUSSELL, AMY	VALUE FUND C	25.00	0.25	0.00	0.25	95.0	0.24
	ACCOUNT #: 03770519816	BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$910.00	\$16.50	\$0.00	\$16.50		\$15.70
<u>PRODUCT CATEGORY: 529 PLAN</u>								
01/26/2018	***-**-605P - BONSIGNORE,	COLLEGE BOUND MF	50.00	0.20	0.00	0.20	95.0	0.19
		BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$50.00	\$0.20	\$0.00	\$0.20		\$0.19
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/29/2018	***-**-50IN - RAYMOND, TODD	BLACKROCK GLOBAL ALLOCATION FUND IN	0.00	4.56	0.00	4.56	95.0	4.33
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
01/29/2018	***-**-50IN - RAYMOND, TODD	BLACKROCK GLOBAL DIVIDEND PORT CL A	0.00	0.69	0.00	0.69	95.0	0.66
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
01/29/2018	***-**-50IN - RAYMOND, TODD	BLACKROCK HEALTH SCIENCES FUND CLAS	0.00	0.70	0.00	0.70	95.0	0.67
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/29/2018	***-**-50IN - RAYMOND, TODD	BLACKROCK SMALL CAP GROWTH EQUITY C	0.00	0.87	0.00	0.87	95.0	0.83
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
01/29/2018	***-**-50IN - RAYMOND, TODD	BLACKROCK TOTAL RETURN FUND CL A	0.00	1.47	0.00	1.47	95.0	1.40
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
01/29/2018	***-**-01IN - SAUTTER, HOWARD	CAPITAL GROWTH FUND A	0.00	1.86	0.00	1.86	95.0	1.77
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
01/29/2018	***-**-22IN - SAUTTER, TERRIE	CAPITAL GROWTH FUND A	0.00	2.20	0.00	2.20	95.0	2.09
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
01/29/2018	***-**-3500 - NIELSEN, JEFFREY	DELAWARE INV MF TRAILS	0.00	10.14	0.00	10.14	95.0	9.63
	ACCOUNT #: 6000305476	BUY 0 Units @0.0000						
01/29/2018	***-**-175I - CURNS, DANIEL	DELAWARE INV MF TRAILS	0.00	0.44	0.00	0.44	95.0	0.42
		BUY 0 Units @0.0000						
01/29/2018	***-**-626I - BALDWIN, GARY	DELAWARE INV MF TRAILS	0.00	1.23	0.00	1.23	95.0	1.17
		BUY 0 Units @0.0000						
01/29/2018	***-**-287I - TODD, BRADLEY	DELAWARE INV MF TRAILS	0.00	1.70	0.00	1.70	95.0	1.62
		BUY 0 Units @0.0000						
01/29/2018	***-**-5289 - UGMA/NY	DELAWARE INV MF TRAILS	0.00	3.04	0.00	3.04	95.0	2.89
		BUY 0 Units @0.0000						
01/16/2018	***-**-276I - CASTROP, SAMUEL	DEUTSCHE GNMA FUND - A	0.00	1.72	0.00	1.72	95.0	1.63
	ACCOUNT #: 00916127221	BUY 0 Units @0.0000						
01/16/2018	***-**-659I - CASTROP, CARLA	DEUTSCHE GNMA FUND - A	0.00	0.20	0.00	0.20	95.0	0.19
	ACCOUNT #: 00916127222	BUY 0 Units @0.0000						
01/29/2018	***-**-565J - KASE, LEROY	FIDELITY CASH MM	0.00	0.00	0.00	0.00	95.0	0.00
	ACCOUNT #: LSR010786	BUY 1 Units @0.0000						
01/29/2018	***-**-588X - RUSSELL, JUDY	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	95.0	0.00
	ACCOUNT #: LSR011098	BUY 1 Units @0.0000						
01/29/2018	***-**-31IN - SMITH, MS VIVICA	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	95.0	0.00
	ACCOUNT #: LSR011147	BUY 1 Units @0.0000						
01/29/2018	***-**-01IN - SAUTTER, HOWARD	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	95.0	0.00
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
01/29/2018	***-**-38IO - GRAHAM	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.66	0.00	0.66	95.0	0.63
	ACCOUNT #: LSR005428	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/29/2018	***-**-853J - SAUTTER ACCOUNT #: LSR006386	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.32	0.00	0.32	95.0	0.30
01/29/2018	***-**-43IO - MCGUIRE, NANCY ACCOUNT #: LSR011708	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	7.06	0.00	7.06	95.0	6.71
01/29/2018	***-**-7545 - LACY, LEEANNE ACCOUNT #: LSR102873	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	95.0	0.01
01/29/2018	***-**-4205 - SPECIAL RISK ACCOUNT #: LSR107948	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.29	0.00	0.29	95.0	0.28
01/16/2018	***-**-355I - CHRISTENSEN, ACCOUNT #: 00777231908	FINANCIAL INDUSTRIES A BUY 0 Units @0.0000	0.00	0.20	0.00	0.20	95.0	0.19
01/29/2018	***-**-588X - RUSSELL, JUDY ACCOUNT #: LSR011098	GOLD & SPECIAL MINERALS A BUY 1 Units @0.0000	0.00	1.29	0.00	1.29	95.0	1.23
01/29/2018	***-**-853J - SAUTTER ACCOUNT #: LSR006386	GOLDMAN SACHS CORE PLUS FIXED INCOM BUY 1 Units @0.0000	0.00	0.15	0.00	0.15	95.0	0.14
01/29/2018	***-**-01IN - SAUTTER, HOWARD ACCOUNT #: LSR011301	GOLDMAN SACHS CORE PLUS FIXED INCOM BUY 1 Units @0.0000	0.00	2.09	0.00	2.09	95.0	1.99
01/29/2018	***-**-22IN - SAUTTER, TERRIE ACCOUNT #: LSR011302	GOLDMAN SACHS CORE PLUS FIXED INCOM BUY 1 Units @0.0000	0.00	4.64	0.00	4.64	95.0	4.41
01/29/2018	***-**-60IN - KALINOWSKI, ACCOUNT #: LSF000213	JANUS GLOBAL BUY 1 Units @0.0000	0.00	0.39	0.00	0.39	95.0	0.37
01/16/2018	***-**-428J - MYKINS, RONALD ACCOUNT #: 00008994438	JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 0 Units @0.0000	0.00	0.85	0.00	0.85	95.0	0.81
01/16/2018	***-**-355I - CHRISTENSEN, ACCOUNT #: 00777230696	JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 0 Units @0.0000	0.00	0.16	0.00	0.16	95.0	0.15
01/16/2018	***-**-355I - CHRISTENSEN, ACCOUNT #: 00777230400	LARGE CAP EQUITY A BUY 0 Units @0.0000	0.00	0.11	0.00	0.11	95.0	0.10
01/29/2018	***-**-6468 - WARNER, JANET ACCOUNT #: LSR010223	OPPENHEIMER MAIN STREET SMALL CAP F BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	95.0	0.32
01/29/2018	***-**-68IN - WARNER, JANET ACCOUNT #: LSR010222	OPPENHEIMER SENIOR FLOATING RATE CL BUY 1 Units @0.0000	0.00	0.41	0.00	0.41	95.0	0.39
01/29/2018	***-**-68IN - WARNER, JANET ACCOUNT #: LSR010222	PIMCO LOW DURATION CLASS A BUY 1 Units @0.0000	0.00	0.70	0.00	0.70	95.0	0.67



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/29/2018	***-**-6468 - WARNER, JANET	PIMCO LOW DURATION CLASS A	0.00	0.36	0.00	0.36	95.0	0.34
	ACCOUNT #: LSR010223	BUY 1 Units @0.0000						
01/29/2018	***-**-68IN - WARNER, JANET	PIMCO TOTAL RETURN CLASS A	0.00	0.77	0.00	0.77	95.0	0.73
	ACCOUNT #: LSR010222	BUY 1 Units @0.0000						
01/29/2018	***-**-6468 - WARNER, JANET	PIMCO TOTAL RETURN CLASS A	0.00	0.40	0.00	0.40	95.0	0.38
	ACCOUNT #: LSR010223	BUY 1 Units @0.0000						
01/29/2018	***-**-107J - SMITH, KRISTEN	PUTNAM EQUITY INCOME FUND-A	0.00	0.44	0.00	0.44	95.0	0.42
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
01/29/2018	***-**-107J - SMITH, KRISTEN	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	0.86	0.00	0.86	95.0	0.82
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
01/29/2018	***-**-107J - SMITH, KRISTEN	PUTNAM INVESTORS FUND-A	0.00	0.31	0.00	0.31	95.0	0.29
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
01/29/2018	***-**-107J - SMITH, KRISTEN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.59	0.00	0.59	95.0	0.56
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
01/29/2018	***-**-43IR - D'ANGELO, DONNA	ROCHESTER FUND MUNICIPALS A	0.00	1.55	0.00	1.55	95.0	1.47
	ACCOUNT #: LSR003301	BUY 1 Units @0.0000						
01/29/2018	***-**-30IN - HALL, CHEREEZE	ROCHESTER FUND MUNICIPALS C	0.00	0.92	0.00	0.92	95.0	0.87
	ACCOUNT #: LSR010611	BUY 1 Units @0.0000						
01/29/2018	***-**-31IN - SMITH, MS VIVICA	ROCHESTER FUND MUNICIPALS C	0.00	0.84	0.00	0.84	95.0	0.80
	ACCOUNT #: LSR011147	BUY 1 Units @0.0000						
01/29/2018	***-**-66IN - BENNETT, KALENA	ROCHESTER FUND MUNICIPALS C	0.00	0.92	0.00	0.92	95.0	0.87
	ACCOUNT #: LSR011317	BUY 1 Units @0.0000						
01/29/2018	***-**-01IN - SAUTTER, HOWARD	STRUCTURED U.S. EQUITY CLASS A	0.00	1.63	0.00	1.63	95.0	1.55
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
01/29/2018	***-**-22IN - SAUTTER, TERRIE	STRUCTURED U.S. EQUITY CLASS A	0.00	4.32	0.00	4.32	95.0	4.10
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
01/29/2018	***-**-1625 - SAFRANEK, EARL &	VICTORY TRAILS	0.00	0.65	0.00	0.65	95.0	0.62
		BUY 0 Units @0.0000						
01/29/2018	***-**-7637 - SAFRANEK,	VICTORY TRAILS	0.00	1.51	0.00	1.51	95.0	1.43
		BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$66.56	\$0.00	\$66.56		\$63.25
*** BROKER TRANSACTIONS TOTAL ***			\$960.00	\$83.26	\$0.00	\$83.26		\$79.14



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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: BARRY BRESIN</u>								
01/08/2018	***-**-892K - STERN MD PC	AMERICAN FUNDS 401K TRAILS	0.00	862.35	0.00	862.35	15.0	129.35
	ACCOUNT #: BRK115201	BUY 0 Units @0.0000						
01/29/2018	***-**-717I - MARSJANIK,	LIVEWELL MUTUAL FUND	0.00	180.09	0.00	180.09	15.0	27.01
	ACCOUNT #: 7200008226	BUY 0 Units @0.0000						
01/29/2018	***-**-4892 - STERN, ROBERT	LIVEWELL MUTUAL FUND	0.00	17.23	0.00	17.23	15.0	2.58
	ACCOUNT #: 7200027682	BUY 0 Units @0.0000						
01/29/2017	***-**-4892 - STERN, ROBERT	PRINCIPAL FUNDS TRAILS	0.00	9.62	0.00	9.62	15.0	1.44
		BUY 0 Units @0.0000						
01/29/2017	***-**-4892 - STERN, ROBERT	PRINCIPAL FUNDS TRAILS	0.00	10.10	0.00	10.10	15.0	1.52
		BUY 0 Units @0.0000						
01/29/2017	***-**-010R - LOMBARD, LAURIE	PRINCIPAL FUNDS TRAILS	0.00	10.87	0.00	10.87	15.0	1.63
		BUY 0 Units @0.0000						
01/29/2018	***-**-16IN - STERN, PATRICIA	SAMMONS MF TRAILS	0.00	16.94	0.00	16.94	15.0	2.54
	ACCOUNT #: 7200027673	BUY 0 Units @0.0000						
* BARRY BRESIN SUBTOTAL *			\$0.00	\$1,107.20	\$0.00	\$1,107.20		\$166.07
<u>BROKER: FREDERIC SCARPULLA</u>								
01/08/2018	***-**-3043 - SCARPULLA,	AMERICAN FUNDS 401K TRAILS	0.00	331.38	0.00	331.38	10.0	33.14
	ACCOUNT #: IRK131856	BUY 0 Units @0.0000						
01/08/2018	***-**-278K - GUERRIERI DDS,	AMERICAN FUNDS 401K TRAILS	0.00	1,200.55	0.00	1,200.55	10.0	120.06
	ACCOUNT #: IRK101640	BUY 0 Units @0.0000						
01/02/2018	***-**-772I - KERHAERT,	CAPITAL APPRECIATION C	62.50	0.63	0.00	0.63	10.0	0.06
	ACCOUNT #: 03222178044	BUY 0 Units @0.0000						
01/02/2018	***-**-9206 - SANDER, DAVID	CAPITAL APPRECIATION C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 03222178055	BUY 0 Units @0.0000						
01/02/2018	***-**-729I - GIAMBRONE, KELLY	CAPITAL APPRECIATION C	61.61	0.62	0.00	0.62	10.0	0.06
	ACCOUNT #: 03222325444	BUY 0 Units @0.0000						
01/09/2018	***-**-7330 - JOHNSON, DONALD	CAPITAL APPRECIATION C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 03220387068	BUY 0 Units @0.0000						
01/09/2018	***-**-6603 - JOHNSON, TERRY	CAPITAL APPRECIATION C	68.00	0.68	0.00	0.68	10.0	0.07
	ACCOUNT #: 03222192157	BUY 0 Units @0.0000						
01/09/2018	***-**-1834 - BUTLER, KIM	CAPITAL APPRECIATION C	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 03222200176	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: FREDERIC SCARPULLA (continued) </u>								
01/26/2018	***-**-50IN - DIGIOVANNI, ACCOUNT #: 921077312	CHOICEPLUS ASSURANCE BONUS BUY 0 Units @0.0000	70,000.00	2,450.00	0.00	2,450.00	10.0	245.00
01/29/2018	***-**-264I - SIDOTI, LAURA ACCOUNT #: 0104134960	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	10.24	0.00	10.24	10.0	1.02
01/02/2018	***-**-729I - GIAMBRONE, KELLY ACCOUNT #: 08370596090	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	46.21	0.46	0.00	0.46	10.0	0.05
01/02/2018	***-**-6188 - ROBERTS, ACCOUNT #: 08370627385	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	10.0	0.05
01/29/2018	***-**-63IR - DIAMOND, ANN ACCOUNT #: LSR011725	FEDERATED STRATEGIC INCOME FUND CLA BUY 1 Units @0.0000	0.00	0.65	0.00	0.65	10.0	0.07
01/29/2018	***-**-20IN - GIANGRECO, TERRY ACCOUNT #: LSR010603	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.58	0.00	0.58	10.0	0.06
01/29/2018	***-**-721I - TABER, FBO LISA ACCOUNT #: LSR010434	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	1.20	0.00	1.20	10.0	0.12
01/29/2018	***-**-721I - TABER, FBO LISA ACCOUNT #: LSR010434	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	2.45	0.00	2.45	10.0	0.25
01/29/2018	***-**-92IR - CHEN, CYNTHIA ACCOUNT #: LSR011412	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	1.69	0.00	1.69	10.0	0.17
01/02/2018	***-**-9206 - SANDER, DAVID ACCOUNT #: 02171629936	GLOBAL OPPORTUNITIES FUND C BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	10.0	0.10
01/02/2018	***-**-2620 - CUSIMANO, MEGAN ACCOUNT #: 02171800557	GLOBAL OPPORTUNITIES FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
01/02/2018	***-**-729I - GIAMBRONE, KELLY ACCOUNT #: 02171875445	GLOBAL OPPORTUNITIES FUND C BUY 0 Units @0.0000	77.02	0.77	0.00	0.77	10.0	0.08
01/08/2018	***-**-90FB - DIODATO, CAROL ACCOUNT #: 02171777391	GLOBAL OPPORTUNITIES FUND C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	10.0	0.05
01/08/2018	***-**-9530 - MILAM, IAN ACCOUNT #: 02171852708	GLOBAL OPPORTUNITIES FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
01/09/2018	***-**-7330 - JOHNSON, DONALD ACCOUNT #: 02171798599	GLOBAL OPPORTUNITIES FUND C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	10.0	0.05
01/29/2018	***-**-63IR - DIAMOND, ANN ACCOUNT #: LSR011725	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	0.27	0.00	0.27	10.0	0.03



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
01/02/2018	***-**-9206 - SANDER, DAVID	MAIN STREET FUND C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 07024170947	BUY 0 Units @0.0000						
01/02/2018	***-**-729I - GIAMBRONE, KELLY	MAIN STREET FUND C	77.01	0.77	0.00	0.77	10.0	0.08
	ACCOUNT #: 07024326366	BUY 0 Units @0.0000						
01/02/2018	***-**-2620 - CUSIMANO, MEGAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024397338	BUY 0 Units @0.0000						
01/08/2018	***-**-4980 - MOYER, COLLEEN	MAIN STREET FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 07024431823	BUY 0 Units @0.0000						
01/08/2018	***-**-90FB - DIODATO, CAROL	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024358024	BUY 0 Units @0.0000						
01/08/2018	***-**-9530 - MILAM, IAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024469113	BUY 0 Units @0.0000						
01/09/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	66.00	0.66	0.00	0.66	10.0	0.07
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						
01/09/2018	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
01/29/2018	***-**-63IR - DIAMOND, ANN	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.71	0.00	0.71	10.0	0.07
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
01/26/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 CONSV GROWTH A	138.52	1.39	0.00	1.39	10.0	0.14
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
01/26/2018	***-**-80IN - MOYER, COLLEEN	NYA JPM 529 MOD AGE-BASED A	50.00	1.52	0.00	1.52	10.0	0.15
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
01/02/2018	***-**-7072 - SMITH, DEBBIE	OPP PORT SERIES: MOD INV FD C	600.00	6.00	0.00	6.00	10.0	0.60
	ACCOUNT #: 06670037664	BUY 0 Units @0.0000						
01/02/2018	***-**-4143 - STONE, GEORGE	OPP PORT SERIES: MOD INV FD C	315.00	3.15	0.00	3.15	10.0	0.32
	ACCOUNT #: 06670037675	BUY 0 Units @0.0000						
01/02/2018	***-**-3526 - STONE, LINDA	OPP PORT SERIES: MOD INV FD C	270.00	2.70	0.00	2.70	10.0	0.27
	ACCOUNT #: 06670037686	BUY 0 Units @0.0000						
01/09/2018	***-**-3407 - SUTTELL, PAULA	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 05470454740	BUY 0 Units @0.0000						
01/09/2018	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
01/09/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						
01/09/2018	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	66.00	0.66	0.00	0.66	10.0	0.07
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
01/02/2018	***-**-729I - GIAMBRONE, KELLY	OPPENHEIMER MAIN STREET SMALL CAP F	46.21	0.46	0.00	0.46	10.0	0.05
	ACCOUNT #: 08491596925	BUY 0 Units @0.0000						
01/02/2018	***-**-541P - SCHILLACI, AMY	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02271188506	BUY 0 Units @0.0000						
01/02/2018	***-**-1314 - BENEDETTO,	OPPENHEIMER RISING DIVIDENDS FUND C	125.00	1.25	0.00	1.25	10.0	0.13
	ACCOUNT #: 02270934318	BUY 0 Units @0.0000						
01/02/2018	***-**-655P - DAVIS, BERTHA	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 02271237808	BUY 0 Units @0.0000						
01/08/2018	***-**-4980 - MOYER, COLLEEN	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02271217095	BUY 0 Units @0.0000						
01/09/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 02271182830	BUY 0 Units @0.0000						
01/29/2018	***-**-92IR - CHEN, CYNTHIA	PIMCO TOTAL RETURN CLASS A	0.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: LSR011412	BUY 1 Units @0.0000						
01/02/2018	***-**-8706 - BOSCO, REN	QUEST BALANCED FUND C	500.00	5.00	0.00	5.00	10.0	0.50
	ACCOUNT #: 02590094105	BUY 0 Units @0.0000						
01/02/2018	***-**-772I - KERHAERT,	QUEST BALANCED FUND C	62.50	0.63	0.00	0.63	10.0	0.06
	ACCOUNT #: 02592262304	BUY 0 Units @0.0000						
01/02/2018	***-**-1314 - BENEDETTO,	QUEST BALANCED FUND C	250.00	2.50	0.00	2.50	10.0	0.25
	ACCOUNT #: 02592292741	BUY 0 Units @0.0000						
01/10/2018	***-**-8706 - BOSCO, REN	QUEST BALANCED FUND C	500.00	5.00	0.00	5.00	10.0	0.50
	ACCOUNT #: 02590094105	BUY 0 Units @0.0000						
01/02/2018	***-**-2620 - CUSIMANO, MEGAN	REAL ESTATE FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 05920400103	BUY 0 Units @0.0000						
01/08/2018	***-**-9530 - MILAM, IAN	REAL ESTATE FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 05920419496	BUY 0 Units @0.0000						
01/09/2018	***-**-7330 - JOHNSON, DONALD	REAL ESTATE FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 05920398266	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: FREDERIC SCARPULLA (continued) </u>								
01/29/2018	***-**-20IN - GIANGRECO, TERRY	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	112.37	0.00	112.37	10.0	11.24
	ACCOUNT #: LSR010603	BUY 1 Units @0.0000						
01/29/2018	***-**-92IR - CHEN, CYNTHIA	SELECT VALUE FUND A	0.00	1.15	0.00	1.15	10.0	0.12
	ACCOUNT #: LSR011412	BUY 1 Units @0.0000						
01/08/2018	***-**-4980 - MOYER, COLLEEN	SMALL- & MID- CAP VALUE FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 02531288969	BUY 0 Units @0.0000						
01/09/2018	***-**-1834 - BUTLER, KIM	SMALL- & MID- CAP VALUE FUND C	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 02531162106	BUY 0 Units @0.0000						
01/02/2018	***-**-9206 - SANDER, DAVID	STRATEGIC INCOME FUND C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 02322653794	BUY 0 Units @0.0000						
01/02/2018	***-**-1314 - BENEDETTO,	STRATEGIC INCOME FUND C	125.00	1.25	0.00	1.25	10.0	0.13
	ACCOUNT #: 02322751089	BUY 0 Units @0.0000						
01/09/2018	***-**-1834 - BUTLER, KIM	STRATEGIC INCOME FUND C	10.00	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: 01920863291	BUY 0 Units @0.0000						
01/02/2018	***-**-9206 - SANDER, DAVID	VALUE FUND C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 03770488829	BUY 0 Units @0.0000						
01/04/2018	***-**-27IN - TINKLEPAUGH,	WELLS FGO AVTG DIVERSFD CAPITAL BLD	0.00	1.09	0.00	1.09	10.0	0.11
	ACCOUNT #: 01005412974	BUY 0 Units @0.0000						
	* FREDERIC SCARPULLA SUBTOTAL *		\$75,031.58	\$4,165.93	\$0.00	\$4,165.93		\$416.70
<u> BROKER: WILLIAM AGNELLO </u>								
01/29/2018	***-**-28IN - CUP, ANDREW	DELAWARE INV MF TRAILS	0.00	0.37	0.00	0.37	25.0	0.09
		BUY 0 Units @0.0000						
01/29/2018	***-**-963I - GAMMARIELLO,	FEDERATED REAL RETRN BOND FUND CL A	0.00	1.10	0.00	1.10	25.0	0.28
	ACCOUNT #: LSR007102	BUY 1 Units @0.0000						
01/29/2018	***-**-963I - GAMMARIELLO,	FEDERATED TOTAL RETURN BOND CL A	0.00	2.07	0.00	2.07	25.0	0.52
	ACCOUNT #: LSR007102	BUY 1 Units @0.0000						
01/29/2018	***-**-925I - DIPAOLO, JOSEPH	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.26	0.00	1.26	25.0	0.32
	ACCOUNT #: LSR006874	BUY 1 Units @0.0000						
01/29/2018	***-**-43IN - CUP, NICHOLAS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	3.33	0.00	3.33	25.0	0.83
	ACCOUNT #: LSR006890	BUY 1 Units @0.0000						
01/29/2018	***-**-59T2 - ETHEL CUP IRREV	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.12	0.00	0.12	25.0	0.03
	ACCOUNT #: LSR006912	BUY 1 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: WILLIAM AGNELLO (continued) </u>								
01/29/2018	***-**-59T3 - ETHEL CUP IRREV ACCOUNT #: LSR006947	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	25.0	0.01
01/29/2018	***-**-59T4 - ETHEL CUP TRUST ACCOUNT #: LSR006955	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.09	0.00	0.09	25.0	0.02
01/29/2018	***-**-59T5 - ETHEL CUP TRUST ACCOUNT #: LSR006963	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.55	0.00	0.55	25.0	0.14
01/29/2018	***-**-13IR - HAGER, MICHAEL ACCOUNT #: LSR006971	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.04	0.00	0.04	25.0	0.01
01/29/2018	***-**-613K - HAGER ACCOUNT #: LSR006980	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	25.0	0.06
01/29/2018	***-**-002I - NFS/FMTC IRA ACCOUNT #: LSR007005	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	1.65	0.00	1.65	25.0	0.41
01/29/2018	***-**-963I - GAMMARIELLO, ACCOUNT #: LSR007102	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.79	0.00	0.79	25.0	0.20
01/29/2018	***-**-90IR - NFS/FMTC ROTH ACCOUNT #: LSR007110	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.28	0.00	0.28	25.0	0.07
01/29/2018	***-**-28IR - CUP, ANDREW ACCOUNT #: LSR007129	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.15	0.00	0.15	25.0	0.04
01/29/2018	***-**-28IN - CUP, ANDREW ACCOUNT #: LSR007137	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.23	0.00	0.23	25.0	0.06
01/29/2018	***-**-11IO - CRANDALL, ACCOUNT #: LSR007145	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	1.51	0.00	1.51	25.0	0.38
01/29/2018	***-**-36IO - IRWIN, CHARLES ACCOUNT #: LSR007200	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	1.91	0.00	1.91	25.0	0.48
01/29/2018	***-**-39IN - IRWIN, DIANE ACCOUNT #: LSR007234	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	25.0	0.01
01/29/2018	***-**-39IR - IRWIN ACCOUNT #: LSR007242	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.05	0.00	0.05	25.0	0.01
01/29/2018	***-**-439I - IRWIN, DIANE ACCOUNT #: LSR007250	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.06	0.00	0.06	25.0	0.02
01/29/2018	***-**-93IO - WOJTAS, JEANETTE ACCOUNT #: LSR007323	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.04	0.00	0.04	25.0	0.01



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: WILLIAM AGNELLO (continued) </u>								
01/29/2018	***-**-82IO - PARISI ACCOUNT #: LSR007331	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	25.0	0.02
01/29/2018	***-**-75IO - DELCOUR ACCOUNT #: LSR007358	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	25.0	0.01
01/29/2018	***-**-657I - RIEL, KEVIN ACCOUNT #: LSR007366	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.31	0.00	0.31	25.0	0.08
01/29/2018	***-**-951I - SCHAEFER, ACCOUNT #: LSR007382	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.11	0.00	0.11	25.0	0.03
01/29/2018	***-**-728X - CHIAVETTA, NSPS ACCOUNT #: LSR008699	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.10	0.00	0.10	25.0	0.03
01/29/2018	***-**-28IN - CHIAVETTA, ACCOUNT #: LSR008842	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.04	0.00	0.04	25.0	0.01
01/29/2018	***-**-461J - PANZARELLA, ACCOUNT #: LSR010004	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	25.0	0.01
01/29/2018	***-**-72IN - MAZZOCHETTI, ACCOUNT #: LSR010021	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.25	0.00	0.25	25.0	0.06
01/29/2018	***-**-87IN - IRWIN, IRENE ACCOUNT #: LSR010100	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.35	0.00	0.35	25.0	0.09
01/29/2018	***-**-25IN - DIPAOLO, JOSEPH ACCOUNT #: LSR010260	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.53	0.00	0.53	25.0	0.13
01/29/2018	***-**-36IO - IRWIN, CHARLES ACCOUNT #: LSR007200	JANUS HIGH YIELD CLASS C BUY 1 Units @0.0000	0.00	4.57	0.00	4.57	25.0	1.14
01/29/2018	***-**-43FB - CUP, NICHOLAS ACCOUNT #: 00052280820	JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000	0.00	8.58	0.00	8.58	25.0	2.15
01/29/2018	***-**-87IN - IRWIN, IRENE ACCOUNT #: LSR010100	MAIN STREET FUND A BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	25.0	0.02
01/29/2018	***-**-59T2 - ETHEL CUP IRREV ACCOUNT #: LSR006912	MAINSTAY COMMON STOCK FUND CLASS A BUY 1 Units @0.0000	0.00	7.22	0.00	7.22	25.0	1.81
01/29/2018	***-**-28IN - CHIAVETTA, ACCOUNT #: LSR008842	MAINSTAY FLOATING RATE FUND CL A BUY 1 Units @0.0000	0.00	2.00	0.00	2.00	25.0	0.50
01/29/2018	***-**-87IN - IRWIN, IRENE ACCOUNT #: LSR010100	OPPENHEIMER DISCOVERY CLASS A BUY 1 Units @0.0000	0.00	0.19	0.00	0.19	25.0	0.05



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: WILLIAM AGNELLO (continued)</u>								
01/29/2018	***-**-87IN - IRWIN, IRENE ACCOUNT #: LSR010100	PIMCO EMERG MKTS BOND NFS BUY 1 Units @0.0000	0.00	0.44	0.00	0.44	25.0	0.11
01/29/2018	***-**-36IO - IRWIN, CHARLES ACCOUNT #: LSR007200	PIMCO FLOATING INCOME FD CL A BUY 1 Units @0.0000	0.00	2.00	0.00	2.00	25.0	0.50
01/29/2018	***-**-28IN - CUP, ANDREW ACCOUNT #: LSR007137	PIMCO GLOBAL BOND BUY 1 Units @0.0000	0.00	1.66	0.00	1.66	25.0	0.42
01/29/2018	***-**-28IN - CUP, ANDREW ACCOUNT #: LSR007137	PIMCO GLOBAL BOND BUY 1 Units @0.0000	0.00	0.64	0.00	0.64	25.0	0.16
01/29/2018	***-**-002I - NFS/FMTC IRA ACCOUNT #: LSR007005	PIMCO HIGH YIELD CLASS A BUY 1 Units @0.0000	0.00	2.99	0.00	2.99	25.0	0.75
01/29/2018	***-**-963I - GAMMARIELLO, ACCOUNT #: LSR007102	PIMCO HIGH YIELD CLASS A BUY 1 Units @0.0000	0.00	3.31	0.00	3.31	25.0	0.83
01/29/2018	***-**-36IO - IRWIN, CHARLES ACCOUNT #: LSR007200	PIMCO HIGH YIELD CLASS A BUY 1 Units @0.0000	0.00	7.24	0.00	7.24	25.0	1.81
01/29/2018	***-**-90IR - NFS/FMTC ROTH ACCOUNT #: LSR007110	PIMCO HIGH YIELD CLASS C BUY 1 Units @0.0000	0.00	3.22	0.00	3.22	25.0	0.81
01/29/2018	***-**-28IR - CUP, ANDREW ACCOUNT #: LSR007129	PIMCO HIGH YIELD CLASS C BUY 1 Units @0.0000	0.00	3.28	0.00	3.28	25.0	0.82
01/29/2018	***-**-90IR - NFS/FMTC ROTH ACCOUNT #: LSR007110	PIMCO HIGH YIELD CLASS C BUY 1 Units @0.0000	0.00	1.24	0.00	1.24	25.0	0.31
01/29/2018	***-**-28IR - CUP, ANDREW ACCOUNT #: LSR007129	PIMCO HIGH YIELD CLASS C BUY 1 Units @0.0000	0.00	1.26	0.00	1.26	25.0	0.32
01/29/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	PIMCO REAL RETURN FUND CLASS A BUY 1 Units @0.0000	0.00	1.96	0.00	1.96	25.0	0.49
01/29/2018	***-**-28IN - CUP, ANDREW ACCOUNT #: LSR007137	PIMCO REAL RETURN FUND CLASS A BUY 1 Units @0.0000	0.00	1.71	0.00	1.71	25.0	0.43
01/29/2018	***-**-39IN - IRWIN, DIANE ACCOUNT #: LSR007234	PIMPCO GLOBAL BOND CL A BUY 1 Units @0.0000	0.00	1.25	0.00	1.25	25.0	0.31
01/29/2018	***-**-439I - IRWIN, DIANE ACCOUNT #: LSR007250	PIMPCO GLOBAL BOND CL A BUY 1 Units @0.0000	0.00	1.13	0.00	1.13	25.0	0.28
01/29/2018	***-**-93UT - CUP CUST, MARCIE ACCOUNT #: LSR011103	PORT SERIES: EQUITY INV FD A BUY 1 Units @0.0000	0.00	0.33	0.00	0.33	25.0	0.08



COMMISSION STATEMENT

January 29, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: WILLIAM AGNELLO (continued)</u>								
01/29/2018	***-**-93UT - CUP CUST, MARCIE	PORT SERIES: EQUITY INV FD B	0.00	0.03	0.00	0.03	25.0	0.01
	ACCOUNT #: LSR011103	BUY 1 Units @0.0000						
		* WILLIAM AGNELLO SUBTOTAL *	\$0.00	\$74.00	\$0.00	\$74.00		\$18.58
<u>BROKER: JAMES LOMBARDO</u>								
01/12/2018	***-**-5506 - LOMBARDO, LEIGH	INVESCO AMERICAN FRANCHISE FUND -CL	300.00	12.00	0.00	12.00	15.0	1.80
	ACCOUNT #: 03809219276	BUY 14 Units @21.9000						
01/16/2018	***-**-6195 - BACKUS, THOMAS	INVESCO AMERICAN FRANCHISE FUND -CL	50.00	2.50	0.00	2.50	15.0	0.38
	ACCOUNT #: 03908954377	BUY 2 Units @22.0200						
01/16/2018	***-**-7177 - RUNICE, MARGARET	PUTNAM EQUITY INCOME FUND-A	6,500.00	243.75	0.00	243.75	15.0	36.56
	ACCOUNT #: 00266380833	BUY 245 Units @26.5400						
01/16/2018	***-**-4379 - MATICIC, JOSEPH	PUTNAM EQUITY INCOME FUND-A	50.00	2.50	0.00	2.50	15.0	0.38
	ACCOUNT #: 00461190200	BUY 2 Units @26.9000						
01/12/2018	***-**-5506 - LOMBARDO, LEIGH	VAN KAMPEN3	100.00	4.00	0.00	4.00	15.0	0.60
	ACCOUNT #: 03809219276	BUY 13 Units @7.7100						
		* JAMES LOMBARDO SUBTOTAL *	\$7,000.00	\$264.75	\$0.00	\$264.75		\$39.72
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$82,031.58	\$5,611.88	\$0.00	\$5,611.88		\$641.07



BROKER #: 20
BRANCH #01016 - 16 - CASPER

				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
<div>BROKER COMMISSION TOTALS</div>							
						Broker Transactions	\$79.14
						Split Transactions	\$0.00
						Override Transactions	\$641.07
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$720.21
						Year-to-date Earnings	\$11,872.49