



# COMMISSION STATEMENT

April 23, 2018

JOHN KELLY

2400 E. Arizona Biltmore Cir.  
Suite 1100  
Phoenix, AZ 85016

BROKER #: 279

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/12/2018	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	39.00	0.78	0.00	0.78	90.0	0.70
	ACCOUNT #: 00087877714	BUY 1 Units @33.7000						
04/12/2018	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	60.00	1.20	0.00	1.20	90.0	1.08
	ACCOUNT #: 00087877714	BUY 2 Units @33.7000						
04/13/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @28.9400						
04/13/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @14.7400						
04/13/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	43.01	0.43	0.00	0.43	90.0	0.39
	ACCOUNT #: 00089949168	BUY 3 Units @14.7400						
04/13/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @14.2600						
04/13/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @39.3600						
04/09/2018	***-**-835P - BARNETT, ROBERT	COLLEGE 2027 FUND-529A	100.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: 00089237420	BUY 8 Units @12.8300						
04/13/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @55.7100						
04/12/2018	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	55.25	1.11	0.00	1.11	90.0	1.00
	ACCOUNT #: 00087877714	BUY 1 Units @58.6500						
04/12/2018	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	85.00	1.70	0.00	1.70	90.0	1.53
	ACCOUNT #: 00087877714	BUY 1 Units @58.6500						
04/13/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @39.5700						
04/12/2018	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	13.00	0.26	0.00	0.26	90.0	0.23
	ACCOUNT #: 00087877714	BUY 0 Units @69.7300						
04/12/2018	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	20.00	0.40	0.00	0.40	90.0	0.36
	ACCOUNT #: 00087877714	BUY 0 Units @69.7300						
04/13/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @65.3200						
04/12/2018	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	16.25	0.33	0.00	0.33	90.0	0.30
	ACCOUNT #: 00087877714	BUY 0 Units @58.5200						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/12/2018	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	25.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: 00087877714	BUY 0 Units @58.5200						
04/13/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @49.9500						
04/12/2018	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	55.25	1.11	0.00	1.11	90.0	1.00
	ACCOUNT #: 00087877714	BUY 4 Units @12.9200						
04/12/2018	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	85.00	1.70	0.00	1.70	90.0	1.53
	ACCOUNT #: 00087877714	BUY 7 Units @12.9200						
04/13/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.6100						
04/12/2018	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	65.00	1.30	0.00	1.30	90.0	1.17
	ACCOUNT #: 00087877714	BUY 1 Units @52.8500						
04/12/2018	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	100.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: 00087877714	BUY 2 Units @52.8500						
04/13/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @47.5800						
04/12/2018	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	65.00	1.30	0.00	1.30	90.0	1.17
	ACCOUNT #: 00087877714	BUY 2 Units @41.0700						
04/12/2018	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	100.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: 00087877714	BUY 2 Units @41.0700						
04/13/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @42.5300						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$986.76</b>	<b>\$18.72</b>	<b>\$0.00</b>	<b>\$18.72</b>		<b>\$16.87</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
04/16/2018	***-**-7979 - THOMPSON,	PACIFIC LIFE DTCC RENEWAL	500.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: VP60440630	BUY 0 Units @0.0000						
04/17/2018	***-**-655I - SWARTZ, MICHAEL	PACIFIC LIFE DTCC RENEWAL	100.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: VP60738000	BUY 0 Units @0.0000						
04/17/2018	***-**-0304 - LOUGHRIDGE,	PACIFIC LIFE DTCC RENEWAL	1,666.00	24.99	0.00	24.99	90.0	22.49
	ACCOUNT #: VP60638610	BUY 0 Units @0.0000						
04/17/2018	***-**-921I - OSBORNE, DARIN	PACIFIC LIFE DTCC RENEWAL	1,546.50	30.93	0.00	30.93	90.0	27.84
	ACCOUNT #: VP60570240	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)</b>								
04/17/2018	***-**-0795 - SWARTZ, SHARI	PACIFIC LIFE DTCC RENEWAL	100.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: VP60737990	BUY 0 Units @0.0000						
04/20/2018	***-**-209I - SPARACO, MICHAEL	PACIFIC LIFE DTCC RENEWAL	1,510.67	30.21	0.00	30.21	90.0	27.19
	ACCOUNT #: VP60570220	BUY 0 Units @0.0000						
04/20/2018	***-**-8258 - IFG, KELLY,J	PACIFIC LIFE DTCC RENEWAL	412.00	8.24	0.00	8.24	90.0	7.42
	ACCOUNT #: VP60088260	BUY 0 Units @0.0000						
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$5,835.17</b>	<b>\$106.37</b>	<b>\$0.00</b>	<b>\$106.37</b>		<b>\$95.74</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
04/23/2018	***-**-65IO - MAHAMA, NURUDEEN	AMCAP FUND-A	0.00	0.31	0.00	0.31	90.0	0.28
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
04/23/2018	***-**-0726 - DAHL, KEVIN	AMCAP FUND-A	0.00	1.38	0.00	1.38	90.0	1.24
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
04/23/2018	***-**-0726 - DAHL, KEVIN	BLACKROCK GLBL SCI & TECH OPPORT CL	0.00	1.24	0.00	1.24	90.0	1.12
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
04/23/2018	***-**-85TR - KELLY TRUST,	COLUMBIA SELIGMAN COM AND INFO CL A	0.00	10.33	0.00	10.33	90.0	9.30
	ACCOUNT #: LSR005290	BUY 1 Units @0.0000						
04/23/2018	***-**-65IO - MAHAMA, NURUDEEN	EUROPACIFIC GROWTH FUND-A	0.00	0.85	0.00	0.85	90.0	0.77
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
04/23/2018	***-**-0726 - DAHL, KEVIN	EUROPACIFIC GROWTH FUND-A	0.00	1.09	0.00	1.09	90.0	0.98
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
04/23/2018	***-**-0726 - DAHL, KEVIN	FEDERATED KAUFMAN SMALL-CAP FUND CL	0.00	2.27	0.00	2.27	90.0	2.04
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
04/23/2018	***-**-7034 - DAHL, ROXANNE	FEDERATED KAUFMAN SMALL-CAP FUND CL	0.00	4.74	0.00	4.74	90.0	4.27
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
04/23/2018	***-**-0726 - DAHL, KEVIN	GLOBAL FUND A	0.00	1.33	0.00	1.33	90.0	1.20
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
04/23/2018	***-**-7034 - DAHL, ROXANNE	GLOBAL FUND A	0.00	2.60	0.00	2.60	90.0	2.34
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
04/23/2018	***-**-83IN - BARNETT, ROBERT	INTERNATIONAL GROWTH FUND A	0.00	3.51	0.00	3.51	90.0	3.16
	ACCOUNT #: LSR010053	BUY 1 Units @0.0000						
04/23/2018	***-**-0726 - DAHL, KEVIN	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	0.64	0.00	0.64	90.0	0.58
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						



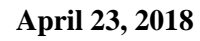
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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/23/2018	***-**-7034 - DAHL, ROXANNE	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
04/23/2018	***-**-0726 - DAHL, KEVIN	NEW WORLD FUND-A	0.00	0.24	0.00	0.24	90.0	0.22
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
04/23/2018	***-**-83IN - BARNETT, ROBERT	NEW WORLD FUND-F1	0.00	0.80	0.00	0.80	90.0	0.72
	ACCOUNT #: LSR010053	BUY 1 Units @0.0000						
04/23/2018	***-**-0726 - DAHL, KEVIN	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	1.27	0.00	1.27	90.0	1.14
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
04/23/2018	***-**-7034 - DAHL, ROXANNE	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	1.56	0.00	1.56	90.0	1.40
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
04/23/2018	***-**-98JK - VESTAR	QUALIFIED PLAN SUMMARY	0.00	803.53	0.00	803.53	90.0	723.19
	ACCOUNT #: 207247219	BUY 0 Units @0.0000						
04/23/2018	***-**-65IO - MAHAMA, NURUDEEN	SMALLCAP WORLD FUND-A	0.00	0.30	0.00	0.30	90.0	0.27
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
04/23/2018	***-**-0726 - DAHL, KEVIN	SMALLCAP WORLD FUND-A	0.00	0.44	0.00	0.44	90.0	0.40
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
04/23/2018	***-**-65IO - MAHAMA, NURUDEEN	THE BOND FUND OF AMERICA-A	0.00	0.68	0.00	0.68	90.0	0.61
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
04/23/2018	***-**-0726 - DAHL, KEVIN	THE BOND FUND OF AMERICA-A	0.00	0.98	0.00	0.98	90.0	0.88
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
04/23/2018	***-**-65IO - MAHAMA, NURUDEEN	THE GROWTH FUND OF AMERICA-A	0.00	0.94	0.00	0.94	90.0	0.85
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
04/23/2018	***-**-0726 - DAHL, KEVIN	THE GROWTH FUND OF AMERICA-A	0.00	2.04	0.00	2.04	90.0	1.84
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
04/23/2018	***-**-65IO - MAHAMA, NURUDEEN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.60	0.00	0.60	90.0	0.54
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
04/23/2018	***-**-0726 - DAHL, KEVIN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.92	0.00	1.92	90.0	1.73
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
04/23/2018	***-**-85TR - KELLY TRUST,	TOUCHSTONE SMALL COMP FND A -NFS	0.00	8.21	0.00	8.21	90.0	7.39
	ACCOUNT #: LSR005290	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$855.30</b>	<b>\$0.00</b>	<b>\$855.30</b>		<b>\$769.81</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$6,821.93</b>	<b>\$980.39</b>	<b>\$0.00</b>	<b>\$980.39</b>		<b>\$882.42</b>



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$275.29
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$882.42
						Year-to-date Earnings		\$133,873.36