BROKER

All Companies

R.F. Lafferty & Co., Inc.

CHARLES LAWRENCE

COMMISSION STATEMENT April 03, 2017

511 05, 2017

INVESTMENT

10729 NORTHRIDGE COURT

TRINITY, FL 34655

BROKER #:

GROSS

BRANCH: HOME OFFICE

CLEARING

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COMMISSION STATEMENT for CHARLES LAWRENCE

| TRADE DATE | CLIENT | | INVESTMENT | B/S | AMOUNT | COMMISSION | CHARGE | COMMISSION | RATE | COMMISSION |
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| | | | BROKER | TRANSA | CTIONS | | | | | |
| PRODUCT CAT | TEGORY: STOCKS | | | | | | | | | |
| 02/28/2017 75 | 5501981 LAWRENCE, | VICTORIA | BROADCOM LIMITED SHS | В | 21,160.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/01/2017 75 | 5501981 LAWRENCE, | VICTORIA | MEAD JOHNSON NUTRITION COMPANY | COMM S | 39,555.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/01/2017 75 | 5501981 LAWRENCE, | VICTORIA | PROSHARES TRUST ULTRAPRO NASDAÇ | BIOS | 14,900.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/02/2017 75 | 5501981 LAWRENCE, | VICTORIA | BAIDU INC SPONSORED ADR REPSTG | ORD S | 35,264.80 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/02/2017 75 | 5501981 LAWRENCE, | VICTORIA | MARATHON OIL CORP | В | 8,869.85 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/06/2017 75 | 5501981 LAWRENCE, | VICTORIA | COSTCO WHOLESALE CORP-NEW | В | 25,140.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/08/2017 75 | 5501981 LAWRENCE, | VICTORIA | PROSHARES TRUST ULTRAPRO NASDAÇ | BIO S | 28,775.30 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/10/2017 75 | 5501981 LAWRENCE, | VICTORIA | BOSTON BEER CO INC-CL A | В | 14,717.50 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/21/2017 75 | 5501981 LAWRENCE, | VICTORIA | NETEASE INC SPONSORED ADR | В | 28,780.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 02/27/2017 75 | 5501985 LAWRENCE, | CHRISTOPHE | R PROSHARES TRUST ULTRAPRO NASDAÇ | BIO S | 6,480.00 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 03/01/2017 75 | 5501985 LAWRENCE, | CHRISTOPHE | R DIREXION SHS ETF TR DAILY FINL | BULL S | 13,156.00 | 65.00 | 0.00 | 65.00 | 60.0 | 39.00 |
| 03/02/2017 75 | 5501985 LAWRENCE, | CHRISTOPHE | R DIREXION SHS ETF TR DAILY FINL | BULL B | 13,077.30 | 65.00 | 0.00 | 65.00 | 60.0 | 39.00 |
| 02/24/2017 75 | 5501986 LAWRENCE, | CHRISTOPHE | R MOLSON COORS BREWING CO CL B | В | 14,918.37 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/03/2017 75 | 5501986 LAWRENCE, | CHRISTOPHE | R ALIGN TECHNOLOGY INC | S | 15,619.50 | 75.00 | 0.00 | 75.00 | 60.0 | 45.00 |
| 03/06/2017 75 | 5501986 LAWRENCE, | CHRISTOPHE | R PROSHARES TRUST ULTRA GOLD MINE | RS B | 5,960.94 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 03/14/2017 75 | 5501986 LAWRENCE, | CHRISTOPHE | R PROSHARES TRUST ULTRA GOLD MINE | RS S | 6,166.89 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 03/20/2017 75 | 5501986 LAWRENCE, | CHRISTOPHE | R WALT DISNEY CO | S | 14,106.25 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 03/21/2017 75 | 5501986 LAWRENCE, | CHRISTOPHE | R DIREXION SHS ETF TR DAILY FINL | BULL B | 9,888.30 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/01/2017 75 | 5501987 SCHWARTZ, | MARILYN | WYNN RESORTS LTD | S | 10,180.00 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 03/15/2017 75 | 5501987 SCHWARTZ, | MARILYN | HOWARD HUGHES CORP | S | 34,691.34 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 03/16/2017 75 | 5501987 SCHWARTZ, | MARILYN | BROADCOM LIMITED SHS | В | 33,390.00 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 03/21/2017 75 | 5501987 SCHWARTZ, | MARILYN | ALIBABA GROUP HOLDING LTD SPONS | ORED B | 13,194.63 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 02/24/2017 75 | 5501988 LAWRENCE, | CHRISTOPHE | R MOLSON COORS BREWING CO CL B | В | 9,955.94 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 02/27/2017 75 | 5501988 LAWRENCE, | CHRISTOPHE | R NVIDIA CORP | S | 10,440.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/03/2017 75 | 5501988 LAWRENCE, | CHRISTOPHE | R NVIDIA CORP | В | 9,754.64 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/08/2017 75 | 5501988 LAWRENCE, | CHRISTOPHE | R NINTENDO CO LTD-ADR NEW | В | 8,187.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/10/2017 75 | 5501988 LAWRENCE, | CHRISTOPHE | R NVIDIA CORP | S | 9,889.70 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/14/2017 75 | 5501988 LAWRENCE, | CHRISTOPHE | R ALIBABA GROUP HOLDING LTD SPONS | ORED B | 10,473.66 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/17/2017 75 | 5501988 LAWRENCE, | CHRISTOPHE | R ALEXION PHARMACEUTICALS INC | S | 4,925.30 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/20/2017 75 | 5501988 LAWRENCE, | CHRISTOPHE | R CONSTELLATION BRANDS INC CL A | S | 4,064.06 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/23/2017 75 | 5501988 LAWRENCE, | CHRISTOPHE | R ALIBABA GROUP HOLDING LTD SPONS | ORED S | 10,839.13 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |

COMMISSION STATEMENT for CHARLES LAWRENCE

Page No.

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------|----------------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
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| | | BROKER TR | ANS | ACTIONS | | | | | |
| PRODUCT CAT | regory: stocks | | | | | | | | |
| 02/24/2017 75 | 5501989 BINES, BURTON | GW PHARMACEUTICALS PLC ADS | В | 24,377.28 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/01/2017 75 | 5501989 BINES, BURTON | ALIGN TECHNOLOGY INC | S | 10,397.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/01/2017 75 | 5501989 BINES, BURTON | ETSY INC COM | S | 10,550.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/01/2017 75 | 5501989 BINES, BURTON | WYNN RESORTS LTD | S | 25,450.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/06/2017 75 | 5501989 BINES, BURTON | ETSY INC COM | S | 15,317.25 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/06/2017 75 | 5501989 BINES, BURTON | NVIDIA CORP | В | 26,783.96 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/08/2017 75 | 5501989 BINES, BURTON | REGENERON PHARMACEUTICALS INC | S | 19,054.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/10/2017 75 | 5501989 BINES, BURTON | CONSTELLATION BRANDS INC CL A | S | 62,905.36 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/15/2017 75 | 5501989 BINES, BURTON | HOWARD HUGHES CORP | S | 57,775.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/15/2017 75 | 5501989 BINES, BURTON | NIKE INC CL B | S | 11,570.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/16/2017 75 | 5501989 BINES, BURTON | BROADCOM LIMITED SHS | В | 33,450.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/21/2017 75 | 5501989 BINES, BURTON | BROADCOM LIMITED SHS | В | 32,790.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/27/2017 75 | 5501989 BINES, BURTON | NVIDIA CORP | S | 29,782.50 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/28/2017 75 | 5501989 BINES, BURTON | GW PHARMACEUTICALS PLC ADS | В | 12,058.84 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 02/24/2017 75 | 5501990 LAWRENCE, JEFFREY | MOLSON COORS BREWING CO CL B | В | 7,469.06 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 02/27/2017 75 | 5501990 LAWRENCE, JEFFREY | NVIDIA CORP | S | 7,834.50 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/03/2017 75 | 5501990 LAWRENCE, JEFFREY | NVIDIA CORP | В | 7,318.31 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/08/2017 75 | 5501990 LAWRENCE, JEFFREY | NINTENDO CO LTD-ADR NEW | В | 4,093.50 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/10/2017 75 | 5501990 LAWRENCE, JEFFREY | NVIDIA CORP | S | 7,425.94 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/14/2017 75 | 5501990 LAWRENCE, JEFFREY | ALIBABA GROUP HOLDING LTD SPONSOREI | ЭΒ | 7,862.06 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/17/2017 75 | 5501990 LAWRENCE, JEFFREY | ALEXION PHARMACEUTICALS INC | S | 3,698.18 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/23/2017 75 | 5501990 LAWRENCE, JEFFREY | ALIBABA GROUP HOLDING LTD SPONSOREI |) S | 8,130.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/14/2017 75 | 5501991 NSH 81 LLC | SNAP INC CLASS A COMMON STOCK | В | 10,298.50 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/14/2017 75 | 5501992 THE BARBARA & NEAL | SNAP INC CLASS A COMMON STOCK | В | 5,150.80 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/01/2017 75 | 5501999 LAWRENCE, CHARLES | AKEBIA THERAPEUTICS INC COM | S | 5,684.00 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 03/06/2017 75 | 5501999 LAWRENCE, CHARLES | ARCH COAL INC CLASS A COMMON STOCK | S | 12,674.80 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 03/06/2017 75 | 5501999 LAWRENCE, CHARLES | KITE PHARMA INC COM | В | 12,944.23 | 125.00 | 0.00 | 125.00 | 60.0 | 75.00 |
| 03/07/2017 75 | 5501999 LAWRENCE, CHARLES | SNAP INC CLASS A COMMON STOCK | В | 5,010.87 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 03/09/2017 75 | 5501999 LAWRENCE, CHARLES | HOWARD HUGHES CORP | S | 23,262.83 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 03/10/2017 75 | 5501999 LAWRENCE, CHARLES | SNAP INC CLASS A COMMON STOCK | В | 5,125.92 | 154.28 | 0.00 | 154.28 | 60.0 | 92.57 |
| 03/14/2017 75 | 5501999 LAWRENCE, CHARLES | KITE PHARMA INC COM | S | 15,015.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/16/2017 75 | 5501999 LAWRENCE, CHARLES | VALE S A SPONSORED ADR | В | 11,170.28 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| | 5501999 LAWRENCE, CHARLES | BLUEBIRD BIO INC COM | В | 15,277.50 | 150.00 | 0.00 | 150.00 | | 90.00 |
| 03/23/2017 75 | 5501999 LAWRENCE, CHARLES | BLUEBIRD BIO INC COM | S | 15,680.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/24/2017 75 | 5501999 LAWRENCE, CHARLES | SNAP INC CLASS A COMMON STOCK | В | 5,153.03 | 75.00 | 0.00 | 75.00 | 60.0 | 45.00 |
| | 5502000 LAWRENCE, WILLIAM | NVIDIA CORP | S | 16,084.91 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| | 5502000 LAWRENCE, WILLIAM | DIREXION SHS ETF TR DAILY FINL BULL | | 18,975.00 | 100.00 | 0.00 | 100.00 | | 60.00 |
| | 5502000 LAWRENCE, WILLIAM | MICRON TECHNOLOGY INC | В | 13,944.20 | 150.00 | 0.00 | 150.00 | 60.0 | 90.00 |
| | 5502000 LAWRENCE, WILLIAM | MICRON TECHNOLOGY INC | S | 14,531.80 | 75.00 | 0.00 | 75.00 | 60.0 | 45.00 |
| 03/08/2017 75 | 5502000 LAWRENCE, WILLIAM | ARCH COAL INC CLASS A COMMON STOCK | В | 15,034.75 | 150.00 | 0.00 | 150.00 | 60.0 | 90.00 |

COMMISSION STATEMENT for CHARLES LAWRENCE

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| TRADE DATE | CLIENT | | INVESTMENT | B/S | AMOUNT | COMMISSION | CHARGE | COMMISSION | RATE | COMMISSION |
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| PRODUCT CAT | TEGORY: STOCKS | | | | | | | | | |
| 03/17/2017 75 | 5502000 LAWRENCE | , WILLIAM | MOSAIC COMPANY | В | 10,464.23 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/28/2017 75 | 5502000 LAWRENCE | , WILLIAM | AKEBIA THERAPEUTICS INC COM | В | 9,100.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/28/2017 75 | 5502000 LAWRENCE | , WILLIAM | ARCH COAL INC CLASS A COMMON STOC | K S | 15,607.26 | 75.00 | 0.00 | 75.00 | 60.0 | 45.00 |
| 02/24/2017 75 | 5502001 LAWRENCE | , CHARLES | DYNEGY INC | В | 8,574.80 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 02/28/2017 75 | 5502001 LAWRENCE | , CHARLES | COHERUS BIOSCIENCES INC COM | В | 6,452.50 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/01/2017 75 | 5502001 LAWRENCE | , CHARLES | STEEL DYNAMICS INC COM | S | 17,164.15 | 75.00 | 0.00 | 75.00 | 60.0 | 45.00 |
| 03/02/2017 75 | 5502001 LAWRENCE | , CHARLES | KITE PHARMA INC COM | В | 13,200.60 | 150.00 | 0.00 | 150.00 | 60.0 | 90.00 |
| 03/03/2017 75 | 5502001 LAWRENCE | , CHARLES | DIREXION SHARES ETF TRUST DAILY O | OL B | 9,947.75 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/03/2017 75 | 5502001 LAWRENCE | , CHARLES | MAZOR ROBOTICS LTD SPONSORED ADS | S | 12,725.29 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/07/2017 75 | 5502001 LAWRENCE | , CHARLES | VALEANT PHARMACEUTICALS INTERNATI | ON B | 6,219.68 | 75.00 | 0.00 | 75.00 | 60.0 | 45.00 |
| 03/09/2017 75 | 5502001 LAWRENCE | , CHARLES | KITE PHARMA INC COM | S | 13,561.85 | 75.00 | 0.00 | 75.00 | 60.0 | 45.00 |
| 03/09/2017 75 | 5502001 LAWRENCE | , CHARLES | SNAP INC CLASS A COMMON STOCK | В | 6,045.50 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/10/2017 75 | 5502001 LAWRENCE | , CHARLES | SNAP INC CLASS A COMMON STOCK | В | 5,988.50 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/13/2017 75 | 5502001 LAWRENCE | , CHARLES | DIREXION SHARES ETF TRUST DAILY O | OL S | 10,164.25 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/14/2017 75 | 5502001 LAWRENCE | , CHARLES | ARCH COAL INC CLASS A COMMON STO | K B | 11,480.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/15/2017 75 | 5502001 LAWRENCE | , CHARLES | FIREEYE INC COM | S | 8,418.40 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 03/16/2017 75 | 5502001 LAWRENCE | , CHARLES | ARCH COAL INC CLASS A COMMON STOC | CK S | 12,197.50 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/16/2017 75 | 5502001 LAWRENCE | , CHARLES | BLUEBIRD BIO INC COM | В | 16,493.75 | 150.00 | 0.00 | 150.00 | 60.0 | 90.00 |
| 03/17/2017 75 | 5502001 LAWRENCE | , CHARLES | SNAP INC CLASS A COMMON STOCK | В | 2,496.00 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 03/20/2017 75 | 5502001 LAWRENCE | , CHARLES | MAZOR ROBOTICS LTD SPONSORED ADS | S | 12,390.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/28/2017 75 | 5502001 LAWRENCE | , CHARLES | CONTINENTAL RESOURCES INC | В | 12,000.97 | 125.00 | 0.00 | 125.00 | 60.0 | 75.00 |
| 03/28/2017 75 | 5502001 LAWRENCE | , CHARLES | CONTINENTAL RESOURCES INC | В | 7,629.98 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/28/2017 75 | 5502001 LAWRENCE | , CHARLES | SNAP INC CLASS A COMMON STOCK | S | 15,220.52 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 02/27/2017 75 | 5502002 LAWRENCE | , WILLIAM | PROSHARES TRUST ULTRAPRO NASDAQ E | BIO S | 28,300.00 | 150.00 | 0.00 | 150.00 | 60.0 | 90.00 |
| 02/28/2017 75 | 5502002 LAWRENCE | , WILLIAM | VALEANT PHARMACEUTICALS INTERNATI | ON B | 8,308.85 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 03/02/2017 75 | 5502002 LAWRENCE | , WILLIAM | MOLSON COORS BREWING CO CL B | В | 25,740.73 | 150.00 | 0.00 | 150.00 | 60.0 | 90.00 |
| 03/09/2017 75 | 5502002 LAWRENCE | , WILLIAM | MICRON TECHNOLOGY INC | В | 13,932.46 | 150.00 | 0.00 | 150.00 | 60.0 | 90.00 |
| 03/13/2017 75 | 5502002 LAWRENCE | , WILLIAM | BP P L C SPONSORED ADR (FRM BP AM | IOC B | 19,021.52 | 175.00 | 0.00 | 175.00 | 60.0 | 105.00 |
| 03/13/2017 75 | 5502002 LAWRENCE | , WILLIAM | WYNN RESORTS LTD | S | 31,114.28 | 150.00 | 0.00 | 150.00 | 60.0 | 90.00 |
| 03/14/2017 75 | 5502002 LAWRENCE | , WILLIAM | DIREXION SHS ETF TR DAILY FINL BU | JLL B | 21,212.19 | 200.00 | 0.00 | 200.00 | 60.0 | 120.00 |
| 03/15/2017 75 | 5502002 LAWRENCE | , WILLIAM | MICRON TECHNOLOGY INC | S | 14,381.36 | 75.00 | 0.00 | 75.00 | 60.0 | 45.00 |
| 03/17/2017 75 | 5502005 SHEMIN, | VICKI | CONSTELLATION BRANDS INC CL A | S | 9,760.20 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 03/17/2017 75 | 5502006 SHEMIN, | VICKI | BP P L C SPONSORED ADR (FRM BP AM | IOC B | 6,851.72 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 03/01/2017 75 | 5502008 DEC, JOH | N | HP INC COM | S | 4,412.50 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/02/2017 75 | 5502008 DEC, JOH | N | BP P L C SPONSORED ADR (FRM BP AM | IOC B | 10,260.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/15/2017 75 | 5502008 DEC, JOH | N | CONSTELLATION BRANDS INC CL A | S | 8,084.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/21/2017 75 | 5502008 DEC, JOH | N | CONSTELLATION BRANDS INC CL A | S | 8,245.01 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/28/2017 75 | 5502008 DEC, JOH | N | NVIDIA CORP | S | 16,205.52 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 02/24/2017 75 | 5502010 KEETER, | LANCE | GW PHARMACEUTICALS PLC ADS | В | 4,895.10 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 02/28/2017 75 | 5502010 KEETER, | LANCE | ADIDAS AG SPONSORED ADR | S | 4,210.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
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COMMISSION STATEMENT for CHARLES LAWRENCE

| ### PROMET CATEGORY: STOCKS 01/06/2017 75502010 KARTHAN, LANCE NVIDIA CORP 2 2,391.69 0.00 0.00 0.00 60.0 01/20/2017 75502010 KARTHAN, LANCE NVIDIA CORP 2 2,391.69 0.00 0.00 0.00 60.0 01/20/2017 75502011 DEC., JANCE SEP IL C SENDRORED ARR (FRM SE AMOC S 6,440.00 0.00 0.00 0.00 60.0 01/20/2017 75502011 DEC., JANCE SEP IL C SENDRORED ARR (FRM SE AMOC S 6,440.00 0.00 0.00 0.00 60.0 01/21/2017 75502011 DEC., JANCE SEP IL C SENDRORED ARR (FRM SE AMOC S 6,440.00 0.00 0.00 0.00 60.0 01/21/2017 75502011 DEC., JANCE CONSTILLATION BRANDS INC CL A S 4,122.75 0.00 0.00 0.00 60.0 01/21/2017 75502011 DEC., JANCE CONSTILLATION BRANDS INC CL A S 4,122.75 0.00 0.00 0.00 60.0 01/21/2017 75502011 DEC., JANCE CONSTILLATION BRANDS INC CL A S 4,122.75 0.00 0.00 0.00 60.0 01/01/2017 75502011 DEC., JOHN GESTOG PARTHENS IN UNIT INT PARTHERS 2,4,650.00 0.00 0.00 0.00 60.0 01/01/2017 75502011 DEC., JOHN DINTER INS HOUGHING INC CL A S 1,122.75 0.00 0.00 0.00 0.00 60.0 01/01/2017 75502011 DEC., JOHN MITCIA CORP S 9,999.56 0.00 0.00 0.00 0.00 60.0 01/01/2017 75502011 DEC., JOHN MITCIA CORP S 9,999.56 0.00 0.00 0.00 0.00 60.0 01/01/01/2017 75502011 DEC., JOHN HOWARD HIGHES CORP S 9,999.56 0.00 0.00 0.00 0.00 60.0 01/01/2017 75502011 DEC., JOHN HOWARD HIGHES CORP S 29,100.00 0.00 0.00 0.00 0.00 0.00 01/01/2017 75502011 DEC., JOHN HOWARD HIGHES CORP S 29,100.00 0.00 0.00 0.00 0.00 0.00 01/21/2017 75502012 DEC., JOHN HOWARD HIGHES CORP S 29,100.00 0.00 0.00 0.00 0.00 01/21/2017 75502012 DEC., JOHN HOWARD HIGHES CORP S 29,100.00 0.00 0.00 0.00 0.00 01/21/2017 75502012 DEC., JOHN HOWARD HIGHES CORP S 2,200.00 0.00 0.00 0.00 0.00 01/21/2017 75502012 DEC., JOHN HOWARD HIGHES CORP S 2,200.00 0.00 0.00 0.00 0.00 01/21/2017 75502012 DEC., JOHN HOWARD HIGHES CORP S 2,200.00 0.00 0.00 | TRADE DATE | CLIENT | INVESTMENT B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
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| ### PRODUCT CATROONY: STOCKS 97/06/2017 7550210 RESTER, LARCE 97/06/2017 7550210 RESC, JANICE 98 PLC SEMONRED ARR (FEM 3P ANCE 5 6,840.00 0.00 0.00 0.00 0.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00.00 00. | | | | | | | | | |
| 17/06/JULY PASO2010 REFERE LANCE NYIDIA CORF B 2,391.89 0.00 0.00 0.00 60.0 | | | BROKER TRANS | BACTIONS | | | | | |
| 39.22/2017 #5502011 ERC, JANICE HE INC COM | PRODUCT CAT | regory: stocks | | | | | | | |
| 03/07/2017 75502011 DEC, JANICE HO INC COM S 4,412.50 0,00 0,00 0,00 60.0 03/07/2017 75502011 DEC, JANICE HO P L C SPONSORID ANN (FUN SF ANOC S 6,940.00 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502011 DEC, JANICE CONSTRILATION BRANDS INC CL A S 4,104.50 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502011 DEC, JANICE CONSTRILATION BRANDS INC CL A S 4,121.75 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502011 DEC, JANICE CONSTRILATION BRANDS INC CL A S 4,121.75 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502011 DEC, JANICE CONSTRILATION BRANDS INC CL A S 8,102.44 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502011 DEC, JOHN GASLOG PARTNERS LE UNIT LITD PARTNERS 24,000.00 0,00 0,00 0,00 60.0 03/07/2017 75502012 DEC, JOHN CASLOG PARTNERS LE UNIT LITD PARTNERS S 24,000.00 0,00 0,00 0,00 60.0 03/07/2017 75502012 DEC, JOHN NULLA COMP B 3,559.66 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502012 DEC, JOHN HOWARD HUGHSS COMP S 29,100.00 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502012 DEC, JOHN HOWARD HUGHSS COMP S 29,100.00 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502012 DEC, JOHN HOWARD HUGHSS COMP S 29,100.00 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502012 DEC, JOHN HOWARD HUGHSS COMP S 29,100.00 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502012 DEC, JOHN HOWARD HUGHSS COMP S 2,1615.36 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502012 DEC, JOHN HOWARD HUGHSS COMP S 2,1615.36 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502012 DEC, JOHN NICLE COMP S 2,1615.36 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502012 DEC, JOHN NICLE COMP S 2,1615.36 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502013 DEC, JOHN NICLE COMP S 2,1615.36 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502013 DEC, JOHN NICLE COMP S 2,1615.36 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502013 DEC, JOHN NICLE COMP S 2,1615.36 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502013 DEC, JOHN NICLE COMP S 2,1615.36 0,00 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502013 ROMANO, LINA MARKEN MINISTER MINISTER MINISTER MARKEN S 3,1916.0 0,00 0,00 0,00 0,00 60.0 03/07/2017 75502013 ROMANO, LINA MARKEN S 24,100 0,00 0,00 0,00 0,00 0,00 0,00 0,00 | 03/06/2017 7 | 5502010 KEETER, LANCE | NVIDIA CORP B | 2,393.69 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 13/10/2017 75502011 DEC, JAMICE SPPLC SHORNOWED ADE FEMS PE AMOC B 6,860.00 0.00 0.00 0.00 60.0 | 03/22/2017 7 | 5502010 KEETER, LANCE | NVIDIA CORP S | 2,700.50 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 33/15/2017 75502011 DEC, JANICE CONSTELLATION BEANDS INC CL A S 4,040.50 0.00 0.00 0.00 60.0 13/3/21/2017 75502011 DEC, JANICE CONSTELLATION BEANDS INC CL A S 4,121.75 0.00 0.00 0.00 60.0 13/3/21/2017 75502012 DEC, JOHN CARLOS FARTHERS LE UNIT LTD PARTNER S 24,050.00 0.00 0.00 0.00 60.0 13/3/01/2017 75502012 DEC, JOHN CARLOS FARTHERS LE UNIT LTD PARTNER S 24,050.00 0.00 0.00 0.00 60.0 60.0 13/06/2017 75502012 DEC, JOHN WILL CORNER CORNER LE UNIT LTD PARTNER S 24,050.00 0.00 0.00 0.00 60.0 60.0 13/06/2017 75502012 DEC, JOHN WILL CORNER CORNER LE UNIT LTD PARTNER S 24,050.00 0.00 0.00 0.00 60.0 60.0 13/06/2017 75502012 DEC, JOHN WILL CORNER CORNER DE S 15,059.66 0.00 0.00 0.00 60.0 60.0 13/06/2017 75502012 DEC, JOHN WILL CORNER CORNER DE S 29,100.00 0.00 0.00 0.00 60.0 60.0 13/06/2017 75502012 DEC, JOHN BOSTON BEER CO INC-CL A B 15,195.00 0.00 0.00 0.00 0.00 60.0 13/06/2017 75502012 DEC, JOHN HOMAGI BUGHES CORP S 29,100.00 0.00 0.00 0.00 0.00 60.0 60.0 13/06/2017 75502012 DEC, JOHN HOMAGI BUGHES CORP S 29,100.00 0.00 0.00 0.00 0.00 60.0 13/06/2017 75502012 DEC, JOHN DYNERY INC B 6,886.20 0.00 0.00 0.00 0.00 60.0 13/06/2017 75502012 DEC, JOHN DYNERY INC B 6,886.20 0.00 0.00 0.00 0.00 0.00 60.0 13/06/2017 75502012 DEC, JOHN WILL CORP S 7,580.00 0.00 0.00 0.00 0.00 60.0 13/06/2017 75502012 DEC, JOHN WILL CORP S 9 1,488.61 0.00 0.00 0.00 0.00 60.0 13/06/2017 75502012 DEC, JOHN WILL CORP S 9 1,488.61 0.00 0.00 0.00 0.00 60.0 13/06/2017 75502012 DEC, JOHN WILL CORP S 9 1,488.61 0.00 0.00 0.00 0.00 60.0 13/06/2017 75502012 DEC, JOHN WILL CORP S 9 1,488.61 0.00 0.00 0.00 0.00 60.0 13/06/2017 75502013 DEC, JOHN WILL CORP S 9 1,488.61 0.00 0.00 0.00 0.00 60.0 13/06/2017 75502013 RACARO, DANIEL WILL CORP S 9 1,488.61 0.00 0.00 0.00 0.00 60.0 13/06/2017 75502013 RACARO, DANIEL WILL CORP S 9 1,488.61 0.00 0.00 0.00 0.00 0.00 60.0 13/06/2017 75502013 RACARO, DANIEL WILL CORP S 9 1,488.61 0.00 0.00 0.00 0.00 0.00 60.0 13/06/2017 75502013 RACARO, DANIEL WILL CORP S 9 1,488.61 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0 | 03/01/2017 7 | 5502011 DEC, JANICE | HP INC COM S | 4,412.50 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 33/21/2017 75502011 DEC, JANICE CONSTELLATION BRANDS INC CL A S 4,121,75 0.00 0.00 0.00 60.0 | 03/02/2017 7 | 5502011 DEC, JANICE | BP P L C SPONSORED ADR (FRM BP AMOC B | 6,840.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 33/28/2017 75502011 DEC, JANTCE NUTLIC CORP S 8,102.44 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 | 3/15/2017 7 | 5502011 DEC, JANICE | CONSTELLATION BRANDS INC CL A S | 4,040.50 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 33/01/2017 75502012 DEC, JOHN GASLOG PARTNERS LF UNIT LITD PARTNERS 24,050.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 | 03/21/2017 7 | 5502011 DEC, JANICE | CONSTELLATION BRANDS INC CL A S | 4,121.75 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 13/301/2017 75502012 DEC. JOHN LEINIR CORP CL A S 15,016.02 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 | 03/28/2017 7 | 5502011 DEC, JANICE | NVIDIA CORP S | 8,102.44 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 137/08/2017 75502012 DEC, JOHN NUTDIA CORP B 9,599.66 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0 | 03/01/2017 7 | 5502012 DEC, JOHN | GASLOG PARTNERS LP UNIT LTD PARTNER S | 24,050.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 13/08/2017 75502012 DEC, JOHN QUINTILES IMS HOLDINGS INC S 31,760.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 | 03/01/2017 7 | 5502012 DEC, JOHN | LENNAR CORP CL A S | 15,016.02 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 13/10/2017 75502012 DEC, JOHN | 03/06/2017 7 | 5502012 DEC, JOHN | NVIDIA CORP B | 9,599.66 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 33/10/2017 75502012 DEC, JOHN | 03/08/2017 7 | 5502012 DEC, JOHN | QUINTILES IMS HOLDINGS INC S | 31,760.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/14/2017 75502012 DEC, JOHN BP P L C SPONSORED ADR (FRM BP AMOC B | 03/09/2017 7 | 5502012 DEC, JOHN | BOSTON BEER CO INC-CL A B | 15,195.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/22/2017 75502012 DEC, JOHN DYNEGY INC S 7.580.00 0.00 0.00 0.00 60.0 03/27/2017 75502012 DEC, JOHN DYNEGY INC S 7.580.00 0.00 0.00 0.00 60.0 03/28/2017 75502012 DEC, JOHN NVIDIA CORP S 21,615.36 0.00 0.00 0.00 0.00 60.0 03/08/2017 75502013 DEC, JOHN BP P L C SPONSORED ADR (FRM BF AMOC B 6.840.00 0.00 0.00 0.00 60.0 03/08/2017 75502013 DEC, JOHN BP P L C SPONSORED ADR (FRM BF AMOC B 1.839.56 0.00 0.00 0.00 0.00 60.0 03/26/2017 75502021 ARCARO, DANIEL GW PHARMACEUTICALS PLC ADS B 1.839.56 0.00 0.00 0.00 0.00 60.0 03/21/2017 75502021 ARCARO, DANIEL MOLSON COORS REWING CO CL B B 1.4924.00 0.00 0.00 0.00 0.00 60.0 03/21/2017 75502021 ARCARO, DANIEL MOLSON COORS REWING CO CL B B 4.924.00 0.00 0.00 0.00 0.00 60.0 03/21/2017 75502021 ARCARO, DANIEL NVIDIA CORP S 2.160.20 0.00 0.00 0.00 0.00 60.0 03/21/2017 75502021 ARCARO, DANIEL NVIDIA CORP S 2.160.20 0.00 0.00 0.00 0.00 60.0 03/21/2017 75502023 APPEL, CHARLES INTERNATIONAL BUSINESS MACHINES COR B 13.148.24 55.00 0.00 55.00 60.0 30.00 02/24/2017 75502035 ROMANO, LISA AMERICAN INTERNATIONAL GROUP INC B 3.172.88 0.00 0.00 55.00 60.0 30.00 03/01/2017 75502035 ROMANO, LISA AMERICAN INTERNATIONAL GROUP INC B 1.096.38 0.00 0.00 0.00 0.00 60.0 33/01/2017 75502035 ROMANO, LISA BP L C SPONSORED ADR (FRM BP AMOC B 1.906.38 0.00 0.00 0.00 0.00 60.0 33/01/2017 75502035 ROMANO, LISA BP L C SPONSORED ADR (FRM BP AMOC B 2.905.94 0.00 0.00 0.00 0.00 60.0 33/01/2017 75502035 ROMANO, LISA BP L C SPONSORED ADR (FRM BP AMOC B 4.441.33 0.00 0.00 0.00 0.00 60.0 33/01/2017 75502036 ROMANO, MARK SPIRIT AEROSYSTEMS HOLDINGS INC CL A S 2.450.55 0.00 0.00 0.00 0.00 60.0 0.00 60.0 0.00 0.00 60.0 0.00 0.00 0.00 60.0 0.00 0.00 0.00 60.0 0.00 0.00 0.00 0.00 60.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 | 03/10/2017 7 | 5502012 DEC, JOHN | HOWARD HUGHES CORP S | 29,100.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/27/2017 75502012 DEC, JOHN DYNEGY INC S 7,580.00 0.00 0.00 0.00 0.00 60.0 03/28/2017 75502013 DEC, JOHN NVIDIA CORP S 21,615.36 0.00 0.00 0.00 0.00 60.0 03/28/2017 75502013 DEC, JOHN BP PL C SPONSORED ADR (FEM BP AMCD B 6,840.00 0.00 0.00 0.00 0.00 60.0 03/26/2017 75502013 RCARO, DANIEL GW PHARMACEUTICALS PLC ADS B 1,839.56 0.00 0.00 0.00 0.00 60.0 03/26/2017 75502021 ARCARO, DANIEL NVIDIA CORP B 1,438.61 0.00 0.00 0.00 0.00 60.0 03/26/2017 75502021 ARCARO, DANIEL NVIDIA CORP B 1,438.61 0.00 0.00 0.00 0.00 60.0 03/22/2017 75502021 ARCARO, DANIEL NVIDIA CORP S 2,160.20 0.00 0.00 0.00 60.0 03/22/2017 75502021 ARCARO, DANIEL NVIDIA CORP S 2,160.20 0.00 0.00 0.00 60.0 03/22/2017 75502023 APPEL, CHARLES MOBILEYE N V ORD SHS S 9,194.01 55.00 0.00 55.00 60.0 03/21/2017 75502023 APPEL, CHARLES INTERNATIONAL BUSINESS MACHINES COR B 13,148.24 55.00 0.00 55.00 60.0 03/22/24/2017 75502035 ROMANO, LISA AMERICAN INTERNATIONAL GROUP INC B 3,172.88 0.00 0.00 0.00 0.00 60.0 03/01/2017 75502035 ROMANO, LISA WALT DISNEY CO B 1,096.38 0.00 0.00 0.00 0.00 60.0 03/01/2017 75502035 ROMANO, LISA SPIRIT AEROSYSTEMS HOLDINGS INC CL S 2,810.25 0.00 0.00 0.00 0.00 60.0 03/22/2017 75502035 ROMANO, LISA SPIRIT AEROSYSTEMS HOLDINGS INC CL S 2,810.25 0.00 0.00 0.00 0.00 60.0 03/22/2017 75502035 ROMANO, LISA SPIRIT AEROSYSTEMS HOLDINGS INC CL S 2,810.25 0.00 0.00 0.00 0.00 60.0 03/22/2017 75502036 ROMANO, MARK AMERICAN INTERNATIONAL GROUP INC B 4,441.33 0.00 0.00 0.00 0.00 60.0 03/22/2017 75502036 ROMANO, MARK SPIRIT AEROSYSTEMS HOLDINGS INC CL A S 2,450.55 0.00 0.00 0.00 0.00 60.0 03/22/2017 75502036 ROMANO, MARK SPIRIT AEROSYSTEMS HOLDINGS INC CL A S 2,450.55 0.00 0.00 0.00 0.00 60.0 03/22/2017 75502036 ROMANO, MARK SPIRIT AEROSYSTEMS HOLDINGS INC CL A S 4,689.76 0.00 0.00 0.00 0.00 60.0 03/21/2017 75502036 ROMANO, MARK SPIRIT AEROSYSTEMS HOLDINGS INC CL A S 4,699.76 0.00 0.00 0.00 0.00 60.0 03/21/2017 75502036 ROMANO, MARK SPIRIT AEROSYSTEMS HOLDINGS INC CL A S 4,699.76 0.00 0.00 0.00 0.00 60.0 03/21/2017 75502036 ROMANO, MARK SPIRIT AER | 03/14/2017 7 | 5502012 DEC, JOHN | BP P L C SPONSORED ADR (FRM BP AMOC B | 20,020.20 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| \$23/28/2017 75502012 DEC, JOHN NVIDIA CORP \$ 21,615.36 0.00 0.00 0.00 60.0 83/02/2017 75502013 DEC, JOHN BP P L C SPONSORED ADR (FRM BP AMOC B 6,840.00 0.00 0.00 0.00 60.0 60.0 60.0 60.0 | 3/22/2017 7 | 5502012 DEC, JOHN | DYNEGY INC B | 6,836.20 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/02/2017 75502013 DEC, JOHN BP P L C SPONSORED ADR (FRM BP AMOC B 6,840.00 0.00 0.00 0.00 60.0 0.00 60.0 0.02/24/2017 75502021 ARCARO, DANIEL GM PHARMACEUTICALS PLC ADS B 1,839.56 0.00 0.00 0.00 0.00 60.0 0.00 60.0 0.00 0.00 0.00 60.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 | 3/27/2017 7 | 5502012 DEC, JOHN | DYNEGY INC S | 7,580.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 1,02/24/2017 75502021 ARCARO, DANIEL NVIDIA CORP B 1,438.61 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0. | 3/28/2017 7 | 5502012 DEC, JOHN | NVIDIA CORP S | 21,615.36 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/06/2017 75502021 ARCARO, DANIEL NVIDIA CORP B 1,438.61 0.00 0.00 0.00 60.0 03/21/2017 75502021 ARCARO, DANIEL MOLSON COORS BREWING CO CL B B 4,924.00 0.00 0.00 0.00 0.00 60.0 03/22/2017 75502021 ARCARO, DANIEL NVIDIA CORP S 2,160.20 0.00 0.00 0.00 0.00 60.0 03/22/2017 75502023 APPEL, CHARLES MOBILEYE N V ORD SHS S 9,194.01 55.00 0.00 55.00 60.0 03/31/4/2017 75502023 APPEL, CHARLES INTERNATIONAL BUSINESS MACHINES COR B 13,148.24 55.00 0.00 55.00 60.0 30.00 02/24/2017 75502035 ROMANO, LISA AMERICAN INTERNATIONAL GROUP INC B 3,172.88 0.00 0.00 0.00 0.00 60.0 03/30/12/2017 75502035 ROMANO, LISA WALIT DISNEY CO B 1,096.38 0.00 0.00 0.00 0.00 60.0 03/30/12/2017 75502035 ROMANO, LISA SPIRIT AEROSYSTEMS HOLDINGS INC CL S 2,810.25 0.00 0.00 0.00 0.00 60.0 03/24/2017 75502035 ROMANO, LISA BP P L C SPONSORED ADR (FRM BP AMOC B 2,905.94 0.00 0.00 0.00 0.00 60.0 03/24/2017 75502036 ROMANO, MARK AMERICAN INTERNATIONAL GROUP INC B 4,441.33 0.00 0.00 0.00 60.0 03/2017 75502036 ROMANO, MARK AMERICAN INTERNATIONAL GROUP INC B 4,441.33 0.00 0.00 0.00 60.0 03/2017 75502036 ROMANO, MARK SPIRIT AEROSYSTEMS HOLDINGS INC CL S 4,689.76 0.00 0.00 0.00 60.0 03/2017 75502036 ROMANO, MARK SPIRIT AEROSYSTEMS HOLDINGS INC CL S 4,689.76 0.00 0.00 0.00 0.00 60.0 03/2017 75502036 ROMANO, MARK SPIRIT AEROSYSTEMS HOLDINGS INC CL S 4,689.76 0.00 0.00 0.00 0.00 60.0 03/2017 75502036 ROMANO, MARK BP L C SPONSORED ADR (FRM BP AMOC B 4,273.33 0.00 0.00 0.00 0.00 60.0 03/2017 75502036 ROMANO, MARK BP L C SPONSORED ADR (FRM BP AMOC B 4,273.33 0.00 0.00 0.00 0.00 60.0 03/2017 75502041 MCCORD, ELIZABETH BP L C SPONSORED ADR (FRM BP AMOC B 6,875.98 0.00 0.00 0.00 0.00 60.0 03/17/2017 75502041 MCCORD, ELIZABETH BP P L C SPONSORED ADR (FRM BP AMOC B 6,875.98 0.00 0.00 0.00 0.00 60.0 03/17/2017 75502041 MCCORD, ELIZABETH BP P L C SPONSORED ADR (FRM BP AMOC B 6,875.98 0.00 0.00 0.00 0.00 0.00 60.0 03/17/2017 75502044 MCCORD, ELIZABETH BP P L C SPONSORED ADR (FRM BP AMOC B 6,875.98 0.00 0.00 0.00 0.00 0.00 60.0 03/17/2017 75502044 MCCORD, ELIZABETH BP P L C | 3/02/2017 7 | 5502013 DEC, JOHN | BP P L C SPONSORED ADR (FRM BP AMOC B | 6,840.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/21/2017 75502021 ARCARO, DANIEL MOLSON COORS BREWING CO CL B B 4,924.00 0.00 0.00 0.00 0.00 60.0 03/22/2017 75502021 ARCARO, DANIEL NVIDIA CORP S 2,160.20 0.00 0.00 0.00 0.00 60.0 03/23/2017 75502023 APPEL, CHARLES MOBILEYE N V ORD SHS S 9,194.01 55.00 0.00 55.00 60.0 33/13/2017 75502023 APPEL, CHARLES INTERNATIONAL BUSINESS MACHINES COR B 13,148.24 55.00 0.00 55.00 60.0 32/24/2017 75502023 APPEL, CHARLES INTERNATIONAL BUSINESS MACHINES COR B 13,148.24 55.00 0.00 0.00 0.00 60.0 02/24/2017 75502035 ROMANO, LISA AMERICAN INTERNATIONAL GROUP INC B 3,172.88 0.00 0.00 0.00 60.0 0.00 0.00 60.0 0.00 0.00 0.00 60.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0 | 02/24/2017 7 | 5502021 ARCARO, DANIEL | GW PHARMACEUTICALS PLC ADS B | 1,839.56 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/22/2017 75502021 ARCARO, DANIEL NVIDIA CORP S 2,160.20 0.00 0.00 0.00 60.0 03/13/2017 75502023 APPEL, CHARLES MOBILEYE N V ORD SHS S 9,194.01 55.00 0.00 55.00 60.0 33/14/2017 75502023 APPEL, CHARLES INTERNATIONAL BUSINESS MACHINES COR B 13,148.24 55.00 0.00 55.00 60.0 32/24/2017 75502035 ROMANO, LISA AMERICAN INTERNATIONAL GROUP INC B 3,172.88 0.00 0.00 0.00 60.0 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 60.0 0.00 0.00 60.0 0.00 0.00 60.0 0.00 0.00 0.00 60.0 0.00 0.00 0.00 60.0 0. | 3/06/2017 7 | 5502021 ARCARO, DANIEL | NVIDIA CORP B | 1,438.61 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/13/2017 75502023 APPEL, CHARLES MOBILEYE N V ORD SHS S 9,194.01 55.00 0.00 55.00 60.0 33/14/2017 75502023 APPEL, CHARLES INTERNATIONAL BUSINESS MACHINES COR B 13,148.24 55.00 0.00 55.00 60.0 32/24/2017 75502035 ROMANO, LISA AMERICAN INTERNATIONAL GROUP INC B 3,172.88 0.00 0.00 0.00 60.0 0.00 60.0 0.00 0.0 | 3/21/2017 7 | 5502021 ARCARO, DANIEL | MOLSON COORS BREWING CO CL B B | 4,924.00 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 13/14/2017 75502033 APPEL, CHARLES INTERNATIONAL BUSINESS MACHINES COR B 13,148.24 55.00 0.00 55.00 60.0 32/24/2017 75502035 ROMANO, LISA AMERICAN INTERNATIONAL GROUP INC B 3,172.88 0.00 0.00 0.00 60.0 0.00 0.00 60.0 0.00 0.00 0.00 60.0 0.00 0.00 0.00 60.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 | 03/22/2017 7 | 5502021 ARCARO, DANIEL | NVIDIA CORP S | 2,160.20 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| AMERICAN INTERNATIONAL GROUP INC B 3,172.88 0.00 0.00 0.00 0.00 60.0 0.00 0.00 0 | 3/13/2017 7 | 5502023 APPEL, CHARLES | MOBILEYE N V ORD SHS S | 9,194.01 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 02/24/2017 75502035 ROMANO, LISA WALT DISNEY CO B 1,096.38 0.00 0.00 0.00 60.0 0.00 0.00 60.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0. | 03/14/2017 7 | 5502023 APPEL, CHARLES | INTERNATIONAL BUSINESS MACHINES COR B | 13,148.24 | 55.00 | 0.00 | 55.00 | 60.0 | 33.00 |
| 03/01/2017 75502035 ROMANO, LISA SPIRIT AEROSYSTEMS HOLDINGS INC CL S 2,810.25 0.00 0.00 0.00 60.0 0.03/02/2017 75502035 ROMANO, LISA BP P L C SPONSORED ADR (FRM BP AMOC B 2,905.94 0.00 0.00 0.00 60.0 0.00 0.00 60.0 0.00 0.00 0.00 0.00 60.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 | 02/24/2017 7 | 5502035 ROMANO, LISA | AMERICAN INTERNATIONAL GROUP INC B | 3,172.88 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/02/2017 75502035 ROMANO, LISA BP P L C SPONSORED ADR (FRM BP AMOC B 2,905.94 0.00 0.00 0.00 60.0 0.03/24/2017 75502035 ROMANO, LISA CONSTELLATION BRANDS INC CL A S 2,450.55 0.00 0.00 0.00 60.0 0.00 60.0 0.00 0.0 | 02/24/2017 7 | 5502035 ROMANO, LISA | WALT DISNEY CO B | 1,096.38 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/24/2017 75502035 ROMANO, LISA CONSTELLATION BRANDS INC CL A S 2,450.55 0.00 0.00 0.00 60.0 0.00 0.00 0.00 | 03/01/2017 7 | 5502035 ROMANO, LISA | SPIRIT AEROSYSTEMS HOLDINGS INC CL S | 2,810.25 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 02/24/2017 75502036 ROMANO, MARK AMERICAN INTERNATIONAL GROUP INC B 4,441.33 0.00 0.00 0.00 0.00 60.0 0.00/03/01/2017 75502036 ROMANO, MARK SPIRIT AEROSYSTEMS HOLDINGS INC CL S 4,689.76 0.00 0.00 0.00 0.00 60.0 0.00/03/02/2017 75502036 ROMANO, MARK BP P L C SPONSORED ADR (FRM BP AMOC B 4,273.33 0.00 0.00 0.00 0.00 60.0 0.00/03/24/2017 75502036 ROMANO, MARK CONSTELLATION BRANDS INC CL A S 4,901.70 0.00 0.00 0.00 60.0 0.00/03/17/2017 75502041 MCCORD, ELIZABETH BP P L C SPONSORED ADR (FRM BP AMOC B 6,875.98 0.00 0.00 0.00 60.0 0.00/03/17/2017 75502041 MCCORD, ELIZABETH FIRST TR EXCHANGE TRADED FD II FIRS S 7,391.46 0.00 0.00 0.00 60.0 0.00/03/17/2017 75502041 MCCORD, ELIZABETH WALT DISNEY CO B 11,147.66 0.00 0.00 0.00 0.00 60.0 0.00/03/17/2017 75502044 MCCORD, ELIZABETH BP P L C SPONSORED ADR (FRM BP AMOC B 6,873.98 0.00 0.00 0.00 0.00 60.0 0.00/03/17/2017 75502044 MCCORD, ELIZABETH FIRST TR EXCHANGE TRADED FD II FIRS S 9,860.80 0.00 0.00 0.00 0.00 60.0 0.00/03/17/2017 75502044 MCCORD, ELIZABETH FIRST TR EXCHANGE TRADED FD II FIRS S 9,860.80 0.00 0.00 0.00 0.00 60.0 0.00/03/17/2017 75502044 MCCORD, ELIZABETH WALT DISNEY CO B 8,361.56 0.00 0.00 0.00 0.00 0.00 60.0 | 3/02/2017 7 | 5502035 ROMANO, LISA | BP P L C SPONSORED ADR (FRM BP AMOC B | 2,905.94 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/01/2017 75502036 ROMANO, MARK SPIRIT AEROSYSTEMS HOLDINGS INC CL S 4,689.76 0.00 0.00 0.00 60.0 0.3/02/2017 75502036 ROMANO, MARK BP P L C SPONSORED ADR (FRM BP AMOC B 4,273.33 0.00 0.00 0.00 60.0 0.3/24/2017 75502036 ROMANO, MARK CONSTELLATION BRANDS INC CL A S 4,901.70 0.00 0.00 0.00 60.0 0.3/17/2017 75502041 MCCORD, ELIZABETH BP P L C SPONSORED ADR (FRM BP AMOC B 6,875.98 0.00 0.00 0.00 60.0 0.00 0.00 60.0 0.00 0.00 0.00 60.0 0.00 0.00 0.00 0.00 0.00 0.00 60.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 | 3/24/2017 7 | 5502035 ROMANO, LISA | CONSTELLATION BRANDS INC CL A S | 2,450.55 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/02/2017 75502036 ROMANO, MARK BP P L C SPONSORED ADR (FRM BP AMOC B 4,273.33 0.00 0.00 0.00 0.00 60.0 0.03/24/2017 75502036 ROMANO, MARK CONSTELLATION BRANDS INC CL A S 4,901.70 0.00 0.00 0.00 60.0 0.03/17/2017 75502041 MCCORD, ELIZABETH BP P L C SPONSORED ADR (FRM BP AMOC B 6,875.98 0.00 0.00 0.00 0.00 60.0 0.03/17/2017 75502041 MCCORD, ELIZABETH FIRST TR EXCHANGE TRADED FD II FIRS S 7,391.46 0.00 0.00 0.00 0.00 60.0 0.03/17/2017 75502041 MCCORD, ELIZABETH WALT DISNEY CO B 11,147.66 0.00 0.00 0.00 0.00 60.0 0.03/17/2017 75502044 MCCORD, ELIZABETH BP P L C SPONSORED ADR (FRM BP AMOC B 6,873.98 0.00 0.00 0.00 0.00 60.0 0.03/17/2017 75502044 MCCORD, ELIZABETH FIRST TR EXCHANGE TRADED FD II FIRS 9,860.80 0.00 0.00 0.00 0.00 60.0 0.00 0.0 | 02/24/2017 7 | 5502036 ROMANO, MARK | AMERICAN INTERNATIONAL GROUP INC B | 4,441.33 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/24/2017 75502036 ROMANO, MARK CONSTELLATION BRANDS INC CL A S 4,901.70 0.00 0.00 0.00 0.00 60.0 0.3/17/2017 75502041 MCCORD, ELIZABETH BP P L C SPONSORED ADR (FRM BP AMOC B 6,875.98 0.00 0.00 0.00 60.0 0.00 0.00 0.00 60.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0 | 03/01/2017 7 | 5502036 ROMANO, MARK | SPIRIT AEROSYSTEMS HOLDINGS INC CL S | 4,689.76 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/17/2017 75502041 MCCORD, ELIZABETH BP P L C SPONSORED ADR (FRM BP AMOC B 6,875.98 0.00 0.00 0.00 60.0 0.03/17/2017 75502041 MCCORD, ELIZABETH FIRST TR EXCHANGE TRADED FD II FIRS S 7,391.46 0.00 0.00 0.00 60.0 0.03/17/2017 75502041 MCCORD, ELIZABETH WALT DISNEY CO B 11,147.66 0.00 0.00 0.00 0.00 60.0 0.03/17/2017 75502044 MCCORD, ELIZABETH BP P L C SPONSORED ADR (FRM BP AMOC B 6,873.98 0.00 0.00 0.00 60.0 0.03/17/2017 75502044 MCCORD, ELIZABETH FIRST TR EXCHANGE TRADED FD II FIRS S 9,860.80 0.00 0.00 0.00 60.0 0.00 0.00 0.0 | 3/02/2017 7 | 5502036 ROMANO, MARK | BP P L C SPONSORED ADR (FRM BP AMOC B | 4,273.33 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 3/17/2017 75502041 MCCORD, ELIZABETH FIRST TR EXCHANGE TRADED FD II FIRS S 7,391.46 0.00 0.00 0.00 60.0 0.00 0.00 60.0 0.00 0.00 0.00 60.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0. | 3/24/2017 7 | 5502036 ROMANO, MARK | CONSTELLATION BRANDS INC CL A S | 4,901.70 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/17/2017 75502041 MCCORD, ELIZABETH WALT DISNEY CO B 11,147.66 0.00 0.00 0.00 60.0 03/17/2017 75502044 MCCORD, ELIZABETH BP P L C SPONSORED ADR (FRM BP AMOC B 6,873.98 0.00 0.00 0.00 60.0 03/17/2017 75502044 MCCORD, ELIZABETH FIRST TR EXCHANGE TRADED FD II FIRS 9,860.80 0.00 0.00 0.00 60.0 03/17/2017 75502044 MCCORD, ELIZABETH WALT DISNEY CO B 8,361.56 0.00 0.00 0.00 60.0 | 3/17/2017 7 | 5502041 MCCORD, ELIZABETH | BP P L C SPONSORED ADR (FRM BP AMOC B | 6,875.98 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 3/17/2017 75502044 MCCORD, ELIZABETH BP P L C SPONSORED ADR (FRM BP AMOC B 6,873.98 0.00 0.00 0.00 60.0 3/17/2017 75502044 MCCORD, ELIZABETH FIRST TR EXCHANGE TRADED FD II FIRS 9,860.80 0.00 0.00 0.00 60.0 3/17/2017 75502044 MCCORD, ELIZABETH WALT DISNEY CO B 8,361.56 0.00 0.00 0.00 60.0 | 3/17/2017 7 | 5502041 MCCORD, ELIZABETH | FIRST TR EXCHANGE TRADED FD II FIRS S | 7,391.46 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/17/2017 75502044 MCCORD, ELIZABETH FIRST TR EXCHANGE TRADED FD II FIRS S 9,860.80 0.00 0.00 0.00 60.0 03/17/2017 75502044 MCCORD, ELIZABETH WALT DISNEY CO B 8,361.56 0.00 0.00 0.00 60.0 | 3/17/2017 7 | 5502041 MCCORD, ELIZABETH | WALT DISNEY CO B | 11,147.66 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| 03/17/2017 75502044 MCCORD, ELIZABETH FIRST TR EXCHANGE TRADED FD II FIRS S 9,860.80 0.00 0.00 0.00 60.0 03/17/2017 75502044 MCCORD, ELIZABETH WALT DISNEY CO B 8,361.56 0.00 0.00 0.00 60.0 | | | BP P L C SPONSORED ADR (FRM BP AMOC B | | | | | | 0.00 |
| 03/17/2017 75502044 MCCORD, ELIZABETH WALT DISNEY CO B 8,361.56 0.00 0.00 0.00 60.0 | 3/17/2017 7 | 5502044 MCCORD, ELIZABETH | | | | | | | 0.00 |
| * STOCKS SUBTOTAL * \$1,946,293.48 \$6,049.28 \$0.00 \$6,049.28 \$3,62 | 03/17/2017 7 | 5502044 MCCORD, ELIZABETH | WALT DISNEY CO B | 8,361.56 | 0.00 | 0.00 | 0.00 | 60.0 | 0.00 |
| | | | * STOCKS SUBTOTAL * | \$1,946,293.48 | \$6,049.28 | \$0.00 | \$6,049.28 | | \$3,629.57 |

COMMISSION STATEMENT for CHARLES LAWRENCE

| TRADE DATE CLIENT | INVESTMENT B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|----------------------------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| | BROKER TRANS | SACTIONS | | | | | |
| PRODUCT CATEGORY: BONDS | | | | | | | |
| 03/23/2017 75502073 HAND FAMILY LP | BAC CAPITAL TRUST XIV NOTES FIXED T S | 81,000.00 | 250.00 | 0.00 | 250.00 | 60.0 | 150.00 |
| 03/23/2017 75502073 HAND FAMILY LP | VIACOM INC NEW JUNIOR SUBORDINATED B | 82,400.00 | 1,600.00 | 0.00 | 1,600.00 | 60.0 | 960.00 |
| | * BONDS SUBTOTAL * | \$163,400.00 | \$1,850.00 | \$0.00 | \$1,850.00 | | \$1,110.00 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 | TRAILS | | | | | | |
| 03/10/2017 75501992 THE BARBARA & NEAL | **GUGGENHEIM FDS TR B | 0.00 | 9.92 | 0.00 | 9.92 | 60.0 | 5.95 |
| 03/10/2017 75502005 SHEMIN, VICKI | THE INCOME FUND OF AMERICA-A B | 0.00 | 3.93 | 0.00 | 3.93 | 60.0 | 2.36 |
| 03/10/2017 75502013 DEC, JOHN | MFS INTERNTL DIVERSIFICATION FUND-A B | 0.00 | 1.16 | 0.00 | 1.16 | 60.0 | 0.70 |
| 03/10/2017 75502014 DEC, JOHN | MFS INTERNTL DIVERSIFICATION FUND-A B | 0.00 | 1.16 | 0.00 | 1.16 | 60.0 | 0.70 |
| 03/10/2017 75502022 APPEL, CHARLES | MFS INTERNTL DIVERSIFICATION FUND-A B | 0.00 | 4.05 | 0.00 | 4.05 | 60.0 | 2.43 |
| 03/10/2017 75502023 APPEL, CHARLES | **CLEARBRIDGE AGGRESSIVE B | 0.00 | 8.63 | 0.00 | 8.63 | 60.0 | 5.18 |
| 03/10/2017 75502023 APPEL, CHARLES | JANUS INVT FD GLOBAL LIFE SCIENCES B | 0.00 | 8.62 | 0.00 | 8.62 | 60.0 | 5.17 |
| 03/10/2017 75502023 APPEL, CHARLES | JANUS INVT FD TRITON FD CL C B | 0.00 | 13.27 | 0.00 | 13.27 | 60.0 | 7.96 |
| 03/10/2017 75502023 APPEL, CHARLES | JOHN HANCOCK FDS III DISCIPLINED VA B | 0.00 | 8.98 | 0.00 | 8.98 | 60.0 | 5.39 |
| 03/10/2017 75502023 APPEL, CHARLES | LORD ABBETT SECS TR INTL DIVIDEND I B | 0.00 | 1.79 | 0.00 | 1.79 | 60.0 | 1.07 |
| 03/10/2017 75502070 HAND, STEPHEN | LORD ABBETT SECS TR INTL DIVIDEND I B | 0.00 | 8.27 | 0.00 | 8.27 | 60.0 | 4.96 |
| 03/10/2017 75502070 HAND, STEPHEN | LORD ABBETT MULTI ASSET INCOME FUND B | 0.00 | 8.61 | 0.00 | 8.61 | 60.0 | 5.17 |
| 03/10/2017 75502070 HAND, STEPHEN | LORD ABBETT HIGH YIELD FUND CL-C B | 0.00 | 7.68 | 0.00 | 7.68 | 60.0 | 4.61 |
| 03/10/2017 75502070 HAND, STEPHEN | NUVEEN SYMPHONY CREDIT OPPORTUNITIE B | 0.00 | 9.77 | 0.00 | 9.77 | 60.0 | 5.86 |
| 03/10/2017 75502071 HAND, STEPHEN | LORD ABBETT MULTI ASSET INCOME FUND B | 0.00 | 2.15 | 0.00 | 2.15 | 60.0 | 1.29 |
| 03/10/2017 75502071 HAND, STEPHEN | NUVEEN SYMPHONY CREDIT OPPORTUNITIE B | 0.00 | 2.44 | 0.00 | 2.44 | 60.0 | 1.46 |
| 03/10/2017 75502073 HAND FAMILY LP | **AB HIGH INCOME MUNICIPAL B | 0.00 | 154.49 | 0.00 | 154.49 | 60.0 | 92.69 |
| 03/10/2017 75502073 HAND FAMILY LP | LORD ABBETT TAX-FREE INCOME TR HIGH B | 0.00 | 113.89 | 0.00 | 113.89 | 60.0 | 68.33 |
| | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00 | \$368.81 | \$0.00 | \$368.81 | | \$221.28 |
| | *** BROKER TRANSACTIONS TOTAL *** | \$2,109,693.48 | \$8,268.09 | \$0.00 | \$8,268.09 | | \$4,960.85 |
| | ADJUSTME | ENTS | | | | | |
| 04/03/2017 | OTHER: COMPLIANCE AND TECHNOLOGY | 0.00 | 0.00 | 0.00 | 0.00 | | -20.00 |
| | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-20.00 |

BROKER COMMISSION TOTALS \$4,960.85 Broker Transactions Split Transactions \$0.00 \$0.00 Override Transactions Adjustments \$-20.00 Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$-10.34 \$-155.03 SIPC Assessment \$4,775.48 Check Amount \$11,911.00 Year-to-date Earnings