

# STATEMENT

## February 20, 2018

NICK DREW  
12721 Sawmill Rd Suite 105  
SUITE 105  
The Woodlands, TX 77380

BROKER #: 169  
BRANCH:

### COMMISSION STATEMENT for NICK DREW

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
01/02/2018	#03900207205 KATE ASHLEY	FA 529 DIV. INTERNATIONAL	50.00	0.50	95.0	0.00	0.48	0.00	0.48
01/02/2018	#03900207204 KATE ASHLEY	FA 529 DIV. INTERNATIONAL	50.00	0.50	95.0	0.00	0.48	0.00	0.48
01/02/2018	#03900207203 KATE ASHLEY	FA 529 DIV. INTERNATIONAL	50.00	0.50	95.0	0.00	0.48	0.00	0.48
01/02/2018	#03900207202 KATE ASHLEY	FA 529 DIV. INTERNATIONAL	50.00	0.50	95.0	0.00	0.48	0.00	0.48
01/02/2018	#01489928364 MORGAMONT	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
01/02/2018	#01489928307 MORGAMONT	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
01/02/2018	#01482268297 WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
01/02/2018	#01482268271 WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
01/02/2018	#01482268248 WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
01/02/2018	#01475342802 HOPPERS,	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
01/02/2018	#01474968060 MORGAN, TIFFANY	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
01/02/2018	#01467787535 RAYBURN,	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
01/02/2018	#01462130194 CRASSMELI	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
01/02/2018	#01490487806 BYERS, JUSTIN	FIDELITY GROWTH OP	50.00	0.50	95.0	0.00	0.48	0.00	0.48
01/02/2018	#00073658761 STOVALL, BLAKE	SMALLCAP WORLD FUND-529A	600.00	12.00	95.0	0.00	11.40	0.00	11.40
01/11/2018	# WHITE, JESSE	FIDELITY ADVISOR INTL SMALL CAP INS	3,000.00	0.00	95.0	0.00	0.00	0.00	0.00
01/16/2018	#01446906354 SCHLANSE,	FA 529 EQUITY GROWTH PORT-CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
01/16/2018	#01486464421 VINEYARD,	FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
01/16/2018	#01484284672 CRASSMELI	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
01/16/2018	#01481917423 SCHLANSE,	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
01/16/2018	#01475344956 HOPPEJON	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
01/16/2018	#01467602163 ADDICKS,	FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
01/16/2018	#01466752647 ADDICKS,	FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
01/26/2018	#01453112920 MATHEANGE	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
01/26/2018	#01453145300 MATHEANGE	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
02/01/2018	#00073658761 STOVALL, BLAKE	THE GROWTH FUND OF AMERICA-529A	600.00	12.00	95.0	0.00	11.40	0.00	11.40
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$6,800.00</b>	<b>\$52.00</b>		<b>\$0.00</b>	<b>\$49.47</b>	<b>\$0.00</b>	<b>\$49.47</b>

COMMISSION STATEMENT for NICK DREW

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
12/28/2017	# THROWER, NANCY	GUGGENHEIM S&P 500 EQUAL WEIGHT TEC	29,882.22	0.00	85.0	0.00	0.00	0.00	0.00
12/28/2017	# THROWER, NANCY	ISHARES TRUST DOW JONES US AEROSPAC	49,152.58	0.00	85.0	0.00	0.00	0.00	0.00
12/29/2017	# WHITE, JESSE	POWERSHARES EXCHAN CEF INCOM COMPOS	11,943.00	0.00	85.0	0.00	0.00	0.00	0.00
01/18/2018	# KARBER, GREG	SPDR S&P 500 ETF TR	114,710.91	0.00	85.0	0.00	0.00	0.00	0.00
01/25/2018	# FARMER, IAN	GUGGENHEIM S&P 500 EQUAL WEIGHT TEC	11,599.50	0.00	85.0	0.00	0.00	0.00	0.00
01/25/2018	# FARMER, IAN	ISHARES TRUST DOW JONES US AEROSPAC	11,962.80	0.00	85.0	0.00	0.00	0.00	0.00
01/25/2018	# FARMER, IAN	VANGUARD INTERNATIONAL EQUITY INDEX	13,912.20	0.00	85.0	0.00	0.00	0.00	0.00
<b>* STOCKS SUBTOTAL *</b>			<b>\$243,163.21</b>	<b>\$0.00</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
/ /	#824818 SEARLY URG	MASSMUTUAL	0.00	1,444.04	95.0	0.00	1,371.84	0.00	1,371.84
/ /	#826081 BRADLEY, SE	MASSMUTUAL	0.00	378.84	95.0	0.00	359.90	0.00	359.90
/ /	#826081 BRADLEY, SE	MASSMUTUAL	0.00	5.90	95.0	0.00	5.61	0.00	5.61
/ /	#826081 BRADLEY, SE	MASSMUTUAL	0.00	5.60	95.0	0.00	5.32	0.00	5.32
/ /	#832117 SEARLY URG	MASSMUTUAL	0.00	4,671.88	95.0	0.00	4,438.29	0.00	4,438.29
/ /	#832295 SEARLY URG	MASSMUTUAL	0.00	379.13	95.0	0.00	360.17	0.00	360.17
01/05/0018	#826081 BRADLEY, SE	MASSMUTUAL	0.00	6.00	95.0	0.00	5.70	0.00	5.70
01/05/0018	#826081 BRADLEY, SE	MASSMUTUAL	0.00	5.70	95.0	0.00	5.42	0.00	5.42
01/09/0018	#016339854 KARBER, GREG	NATIONWIDE DESTINATION LS	100,000.00	6,250.00	95.0	0.00	5,937.50	0.00	5,937.50
01/02/2018	#016355802 SPARKS, TERRY	NATIONWIDE 620	0.00	5,800.00	95.0	0.00	5,510.00	0.00	5,510.00
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$100,000.00</b>	<b>\$18,947.09</b>		<b>\$0.00</b>	<b>\$17,999.75</b>	<b>\$0.00</b>	<b>\$17,999.75</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
01/10/2018	# BROWN, DAVID	DAIN TRAILER	0.00	19.59	95.0	0.00	18.61	0.00	18.61
01/10/2018	# BEDORE, ROBERT	DAIN TRAILER	0.00	1.62	95.0	0.00	1.54	0.00	1.54
02/07/2018	#01473861464	FA 529 DIV. INTERNATIONAL	0.00	1.90	95.0	0.00	1.81	0.00	1.81
02/07/2018	#00517292975 VINEYARD,	FA 529 DIVERSIFIED INTL PORT-CL A	0.00	5.43	95.0	0.00	5.16	0.00	5.16
02/07/2018	#00517292975 VINEYARD,	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	10.77	95.0	0.00	10.23	0.00	10.23
02/07/2018	#01447784933 BEDORE,	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	12.77	95.0	0.00	12.13	0.00	12.13
02/07/2018	#01433683800 SAILCHOLL	FA 529 EQUITY GROWTH PORT-CL A	0.00	14.00	95.0	0.00	13.30	0.00	13.30
02/07/2018	#01446906354 SCHLANSER,	FA 529 EQUITY GROWTH PORT-CL A	0.00	1.56	95.0	0.00	1.48	0.00	1.48
02/07/2018	#01473861464	FA 529 INFLATION PROTECTED BD PORT-	0.00	2.99	95.0	0.00	2.84	0.00	2.84
02/07/2018	#01447608090 MCELHOE, BENE	FA 529 INTERMED BOND PORT-CL A	0.00	6.30	95.0	0.00	5.99	0.00	5.99
02/07/2018	#01447651777 MCELHOE, BENE	FA 529 INTERMED BOND PORT-CL A	0.00	3.90	95.0	0.00	3.71	0.00	3.71
02/07/2018	#01466752647 ADDICKS,	FA 529 INTERMED BOND PORT-CL A	0.00	0.30	95.0	0.00	0.29	0.00	0.29
02/07/2018	#01466752647 ADDICKS,	FA 529 INTERMED BOND PORT-CL C	0.00	0.58	95.0	0.00	0.55	0.00	0.55

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<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
02/07/2018	#00517292975	VINEYARD, FA 529 MID CAP PORT-CL A	0.00	2.91	95.0	0.00	2.76	0.00	2.76
02/07/2018	#00517624490	BROWN, FA 529 NEW INSIGHTS PORT-CL A	0.00	36.86	95.0	0.00	35.02	0.00	35.02
02/07/2018	#01447651777	MCELHOE, BENE FA 529 NEW INSIGHTS PORT-CL A	0.00	4.71	95.0	0.00	4.47	0.00	4.47
02/07/2018	#01453112920	MATHEANGE FA 529 NEW INSIGHTS PORT-CL A	0.00	2.19	95.0	0.00	2.08	0.00	2.08
02/07/2018	#01453145300	MATHEANGE FA 529 NEW INSIGHTS PORT-CL A	0.00	2.19	95.0	0.00	2.08	0.00	2.08
02/07/2018	#01462130194	CRASSMELI FA 529 NEW INSIGHTS PORT-CL A	0.00	0.50	95.0	0.00	0.48	0.00	0.48
02/07/2018	#01466752647	ADDICKS, FA 529 NEW INSIGHTS PORT-CL A	0.00	1.13	95.0	0.00	1.07	0.00	1.07
02/07/2018	#01467787535	RAYBURN, FA 529 NEW INSIGHTS PORT-CL A	0.00	2.57	95.0	0.00	2.44	0.00	2.44
02/07/2018	#01483572945	RAYBURN, FA 529 NEW INSIGHTS PORT-CL A	0.00	0.28	95.0	0.00	0.27	0.00	0.27
02/07/2018	#01453112920	MATHEANGE FA 529 NEW INSIGHTS PORT-CL C	0.00	8.50	95.0	0.00	8.08	0.00	8.08
02/07/2018	#01453145300	MATHEANGE FA 529 NEW INSIGHTS PORT-CL C	0.00	8.50	95.0	0.00	8.08	0.00	8.08
02/07/2018	#01462130194	CRASSMELI FA 529 NEW INSIGHTS PORT-CL C	0.00	12.35	95.0	0.00	11.73	0.00	11.73
02/07/2018	#01466752647	ADDICKS, FA 529 NEW INSIGHTS PORT-CL C	0.00	8.59	95.0	0.00	8.16	0.00	8.16
02/07/2018	#01467602163	ADDICKS, FA 529 NEW INSIGHTS PORT-CL C	0.00	13.68	95.0	0.00	13.00	0.00	13.00
02/07/2018	#01467787535	RAYBURN, FA 529 NEW INSIGHTS PORT-CL C	0.00	2.99	95.0	0.00	2.84	0.00	2.84
02/07/2018	#01473861464	FA 529 NEW INSIGHTS PORT-CL C	0.00	3.98	95.0	0.00	3.78	0.00	3.78
02/07/2018	#01474968060	MORGAN, TIFFANY FA 529 NEW INSIGHTS PORT-CL C	0.00	6.42	95.0	0.00	6.10	0.00	6.10
02/07/2018	#01475342802	HOPPERS, FA 529 NEW INSIGHTS PORT-CL C	0.00	9.57	95.0	0.00	9.09	0.00	9.09
02/07/2018	#01475344956	HOPPEJON FA 529 NEW INSIGHTS PORT-CL C	0.00	11.78	95.0	0.00	11.19	0.00	11.19
02/07/2018	#01481917423	SCHLANSE, FA 529 NEW INSIGHTS PORT-CL C	0.00	1.45	95.0	0.00	1.38	0.00	1.38
02/07/2018	#01482268248	WHITE, JESSE FA 529 NEW INSIGHTS PORT-CL C	0.00	19.24	95.0	0.00	18.28	0.00	18.28
02/07/2018	#01482268271	WHITE, JESSE FA 529 NEW INSIGHTS PORT-CL C	0.00	1.36	95.0	0.00	1.29	0.00	1.29
02/07/2018	#01482268297	WHITE, JESSE FA 529 NEW INSIGHTS PORT-CL C	0.00	1.36	95.0	0.00	1.29	0.00	1.29
02/07/2018	#01484284672	CRASSMELI FA 529 NEW INSIGHTS PORT-CL C	0.00	4.16	95.0	0.00	3.95	0.00	3.95
02/07/2018	#01486464421	VINEYARD, FA 529 NEW INSIGHTS PORT-CL C	0.00	7.66	95.0	0.00	7.28	0.00	7.28
02/07/2018	#01473861464	FA 529 SMALL CAP PORTFOLIO - CLASS	0.00	2.04	95.0	0.00	1.94	0.00	1.94
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$258.68</b>		<b>\$0.00</b>	<b>\$245.77</b>	<b>\$0.00</b>	<b>\$245.77</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$349,963.21</b>	<b>\$19,257.77</b>		<b>\$0.00</b>	<b>\$18,294.99</b>	<b>\$0.00</b>	<b>\$18,294.99</b>

COMMISSION STATEMENT for NICK DREW

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

02/20/2018		ADVANCE: ADVANCE NATIONWIDE							-5,800.00
02/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-3,232.88
		DEBIT BALANCE							
02/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-391.43
		DEBIT BALANCE							
*** ADJUSTMENTS TOTAL ***									<u>\$-9,424.31</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$18,294.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-9,424.31
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<u>\$8,870.68</u>
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