

**COMMISSION STATEMENT**
**June 30, 2018**

DPM HAVARD

BROKER #:

BRANCH: DWA

, TX

**COMMISSION STATEMENT for DPM HAVARD**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

**CLEARING TRANSACTIONS**
**PRODUCT CATEGORY: MUTUAL FUNDS**

06/14/2018	308127552	GASPARAC, TIHOMIR	MF	AKRE FOCUS FD RETAIL	S	-12,468.34	0.00	5.00	-5.00	0.0	0.00
06/14/2018	308127552	GASPARAC, TIHOMIR	MF	ALGER SPECTRA FD CL I	S	-19,579.44	0.00	5.00	-5.00	0.0	0.00
06/14/2018	308127552	GASPARAC, TIHOMIR	MF	AQR LARGE CAP DEFENSIVE STYLE	S	-17,078.67	0.00	5.00	-5.00	0.0	0.00
06/14/2018	308127552	GASPARAC, TIHOMIR	MF	FIDELITY L/T TREAS BOND INDEX	S	-9,422.65	0.00	5.00	-5.00	0.0	0.00
06/14/2018	308127552	GASPARAC, TIHOMIR	MF	FIRST EAGLE GLOBAL FD CL I	S	-26,387.87	0.00	5.00	-5.00	0.0	0.00
06/14/2018	308127552	GASPARAC, TIHOMIR	MF	FPA CRESCENT PORTFOLIO	S	-8,507.07	0.00	5.00	-5.00	0.0	0.00
06/14/2018	308127552	GASPARAC, TIHOMIR	MF	JENSEN QUALITY GROWTH FD CL I	S	-13,498.95	0.00	5.00	-5.00	0.0	0.00
06/14/2018	308127552	GASPARAC, TIHOMIR	MF	MATTHEWS ASIAN GROWTH & INCOME	S	-18,041.26	0.00	5.00	-5.00	0.0	0.00
06/14/2018	308127552	GASPARAC, TIHOMIR	MF	MATTHEWS PACIFIC TIGER FD	S	-9,076.59	0.00	5.00	-5.00	0.0	0.00
06/14/2018	308127552	GASPARAC, TIHOMIR	MF	OPPENHEIMER INTL SMALL-MID CO	S	-12,762.61	0.00	5.00	-5.00	0.0	0.00
06/14/2018	308127552	GASPARAC, TIHOMIR	MF	VIRTUS DUFF & PHELPS REAL	S	-9,248.47	0.00	5.00	-5.00	0.0	0.00
06/14/2018	308127552	GASPARAC, TIHOMIR	MF	WALTHAUSEN SELECT VALUE FD I	S	-24,452.20	0.00	5.00	-5.00	0.0	0.00
06/25/2018	308127552	GASPARAC, TIHOMIR	MF	VANGUARD ENERGY FD ADM CL 1%	S	-17,431.52	0.00	5.00	-5.00	0.0	0.00
06/27/2018	308127552	GASPARAC, TIHOMIR	MF	BLACKROCK LARGE CAP CORE FD I	S	6,402.45	0.00	5.00	-5.00	0.0	0.00
06/27/2018	308127552	GASPARAC, TIHOMIR	MF	BLACKROCK GLOBAL OPPTS FD CL I	S	11,202.45	0.00	5.00	-5.00	0.0	0.00
06/27/2018	308127552	GASPARAC, TIHOMIR	MF	BLACKROCK EMERG MKT LONG SHT	S	11,202.45	0.00	5.00	-5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *						<b>\$-169,148.29</b>	<b>\$0.00</b>	<b>\$80.00</b>	<b>\$-80.00</b>		<b>\$0.00</b>

**PRODUCT CATEGORY: STOCKS**

06/27/2018	308127552	GASPARAC, TIHOMIR	S	ISHARE EDGE MSCI MIN VOL USA	B	3,208.24	15.00	15.00	0.00	0.0	0.00
06/27/2018	308127552	GASPARAC, TIHOMIR	S	ISHARES CORE S&P 500 ETF	B	14,286.72	15.00	15.00	0.00	0.0	0.00
06/27/2018	308127552	GASPARAC, TIHOMIR	S	ISHARES CORE S&P MID-CAP ETF	B	22,384.14	15.00	15.00	0.00	0.0	0.00
06/27/2018	308127552	GASPARAC, TIHOMIR	S	ISHARES CORE MSCI EMERGING	B	6,428.13	15.00	15.00	0.00	0.0	0.00
06/27/2018	308127552	GASPARAC, TIHOMIR	S	ISHARES CORE S&P TOTAL U.S.	B	36,790.49	15.00	15.00	0.00	0.0	0.00
06/27/2018	308127552	GASPARAC, TIHOMIR	S	ISHARES CORE MSCI EAFE ETF	B	16,292.14	290.00	15.00	275.00	0.0	0.00
06/27/2018	308127552	GASPARAC, TIHOMIR	S	ISHARES EDGE MSCI USA MOMENTUM	B	15,974.66	15.00	15.00	0.00	0.0	0.00
06/27/2018	308127552	GASPARAC, TIHOMIR	S	ISHARES EDGE MSCI MULTIFACTOR	B	16,035.71	15.00	15.00	0.00	0.0	0.00
06/22/2018	707151793	THOMAS, BRUCE	S	ISHARES CURRENCY HEDGED MSCI	S	-48,827.84	15.00	15.00	0.00	0.0	0.00
06/22/2018	707151793	THOMAS, BRUCE	S	ISHARES EDGE MSCI USA MOMENTUM	B	48,760.17	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *						<b>\$131,332.56</b>	<b>\$425.00</b>	<b>\$150.00</b>	<b>\$275.00</b>		<b>\$0.00</b>

COMMISSION STATEMENT for DPM HAVARD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
06/21/2018	mfc307289	VARIOUS, HAVARD							
		MFT AKRE FOCUS FD RETAIL	B	0.00	2.58	0.00	2.58	0.0	0.00
06/21/2018	mfc307289	VARIOUS, HAVARD							
		MFT ALGER SPECTRA FD CL I	B	0.00	3.96	0.00	3.96	0.0	0.00
06/21/2018	mfc307289	VARIOUS, HAVARD							
		MFT BLACKROCK MULTI-ASSET INCOME FD	B	0.00	42.05	0.00	42.05	0.0	0.00
06/21/2018	mfc307289	VARIOUS, HAVARD							
		MFT BLACKROCK STRATEGIC INC OPP FD	B	0.00	49.42	0.00	49.42	0.0	0.00
06/21/2018	mfc307289	VARIOUS, HAVARD							
		MFT VIRTUS DUFF & PHELPS REAL	B	0.00	1.91	0.00	1.91	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$99.92</b>	<b>\$0.00</b>	<b>\$99.92</b>		<b>\$0.00</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-37,815.73</b>	<b>\$524.92</b>	<b>\$230.00</b>	<b>\$294.92</b>		<b>\$0.00</b>

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00