



All Companies

01/30/2018  
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# COMMISSION STATEMENT February 01, 2018

JIM CHEN  
6300 I-40 West  
Ste. 102  
Amarillo, TX 79106

BROKER #: 9651  
BRANCH: HOME OFFICE

## COMMISSION STATEMENT for JIM CHEN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS									
PRODUCT CATEGORY: VARIABLE ANNUITIES									
12/31/2017	TRAILS	-JACKSON NATIONAL	204.58	204.58	75.0	153.44	0.00	153.44	
* VARIABLE ANNUITIES SUBTOTAL *			\$204.58	\$204.58		\$153.44	\$0.00	\$153.44	
PRODUCT CATEGORY: RIA									
01/12/2018	15240871	BURNAM, DENNIS D	SIGNAL SECURITIES RIA	0.00	75.33	75.0	56.50	0.00	56.50
01/12/2018	17058809	BOLTON, WILMA	SIGNAL SECURITIES RIA	0.00	113.67	75.0	85.25	0.00	85.25
01/12/2018	18127812	TIFFEE, GAE L	SIGNAL SECURITIES RIA	0.00	9.00	75.0	6.75	0.00	6.75
01/12/2018	19687002	CARVER, DON	SIGNAL SECURITIES RIA	0.00	117.67	75.0	88.25	0.00	88.25
01/12/2018	20208443	SNYDER, DAVID J	SIGNAL SECURITIES RIA	0.00	52.00	75.0	39.00	0.00	39.00
01/12/2018	21372067	LAI, LISA	SIGNAL SECURITIES RIA	0.00	5.33	75.0	4.00	0.00	4.00
01/12/2018	28820037	GILBERT, PAUL	SIGNAL SECURITIES RIA	0.00	41.33	75.0	31.00	0.00	31.00
01/12/2018	29488506	LAI, LISA	SIGNAL SECURITIES RIA	0.00	101.67	75.0	76.25	0.00	76.25
01/12/2018	39815176	CARVER, CINDY L L.	SIGNAL SECURITIES RIA	0.00	95.33	75.0	71.50	0.00	71.50
01/12/2018	49788125	WATSON, KIMBRA R	SIGNAL SECURITIES RIA	0.00	23.00	75.0	17.25	0.00	17.25
01/23/2018	53898308	MEREDITH, JOHN C	SIGNAL SECURITIES RIA	0.00	51.66	75.0	38.75	0.00	38.75
01/12/2018	55407278	SHIEH, RICHARD	SIGNAL SECURITIES RIA	0.00	73.67	75.0	55.25	0.00	55.25
01/12/2018	75737800	TIFFEE, GAE L	SIGNAL SECURITIES RIA	0.00	28.33	75.0	21.25	0.00	21.25
01/12/2018	87329027	SOUTHWEST CHAPTER	SIGNAL SECURITIES RIA	0.00	12.00	75.0	9.00	0.00	9.00
	NATL AM								
* RIA SUBTOTAL *			\$0.00	\$799.99		\$600.00	\$0.00	\$600.00	
*** BROKER TRANSACTIONS TOTAL ***			\$204.58	\$1,004.57		\$753.44	\$0.00	\$753.44	

COMMISSION STATEMENT for JIM CHEN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
ADJUSTMENTS								
02/01/2018		E & O INSURANCE: 1/2 MONTHLY	0.00	0.00		-100.00	0.00	-100.00
02/01/2018		TECH: 1/2 MONTHLY TECH SERVICES	0.00	0.00		-27.50	0.00	-27.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-127.50	\$0.00	\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$753.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-127.50

Check Amount	\$498.44
Year-to-date Earnings	\$859.17