



COMMISSION STATEMENT

May 14, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/30/2018	***-**-336I - REEKIE, JANICE	AMERICAN FUNDS 2030 TARGET DATE-C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 04000004425	BUY 4 Units @14.2100						
04/30/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	100.00	5.00	0.00	5.00	95.0	4.75
	ACCOUNT #: 00701328528	BUY 5 Units @18.4900						
04/30/2018	***-**-35RO - MARCOCCIA, ROBIN	INTERMEDIATE BOND FUND OF AMERICA-A	50.00	1.00	0.00	1.00	95.0	0.95
	ACCOUNT #: 00086583849	BUY 4 Units @13.4400						
05/14/2018	***-**-6713 - KREMER, JOHN	VIRTUS MF	25.00	1.25	0.00	1.25	95.0	1.19
		BUY 0 Units @0.0000						
05/14/2018	***-**-3782 - WEINERT-WILEY,	VIRTUS MF	50.00	2.50	0.00	2.50	95.0	2.38
		BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$275.00	\$10.25	\$0.00	\$10.25		\$9.75
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/02/2018	***-**-926I - MEDLEY, MARY	CALVERT SMALL CAP VALUE FUND	0.00	9.47	0.00	9.47	95.0	9.00
	ACCOUNT #: 00006835005	BUY 0 Units @0.0000						
05/07/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	0.00	0.83	0.00	0.83	95.0	0.79
	ACCOUNT #: 00701328528	BUY 0 Units @0.0000						
05/07/2018	***-**-8653 - WARNER, ROBERT	FIDELITY ADV GROWTH & INCOME CL A	0.00	1.59	0.00	1.59	95.0	1.51
	ACCOUNT #: 01469251977	BUY 0 Units @0.0000						
05/07/2018	***-**-01RI - TOTMAN, ANDREW	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.15	0.00	1.15	95.0	1.09
	ACCOUNT #: 00536998180	BUY 0 Units @0.0000						
04/30/2018	***-**-599P - IVINO, MARY	FRANKLIN GROWTH FUND CLASS A	0.00	1.59	0.00	1.59	95.0	1.51
	ACCOUNT #: 000060172289841	BUY 0 Units @0.0000						
04/30/2018	***-**-983P - BODE, DANA	FRANKLIN TEMP 88019R484	0.00	1.13	0.00	1.13	95.0	1.07
	ACCOUNT #: 000019110334137	BUY 0 Units @0.0000						
04/30/2018	***-**-99IN - IVINO, MARY	FRK AGGRESSIVE GRWTH - CL A	0.00	0.88	0.00	0.88	95.0	0.84
	ACCOUNT #: 000000000901658	BUY 0 Units @0.0000						
04/30/2018	***-**-71IN - O'NEILL, RAYMOND	FRK STRAT INC FND - CL A	0.00	5.85	0.00	5.85	95.0	5.56
	ACCOUNT #: 000019400013468	BUY 0 Units @0.0000						
04/30/2018	***-**-599P - IVINO, MARY	TEMP FRGN FND - CL A	0.00	0.76	0.00	0.76	95.0	0.72
	ACCOUNT #: 000004960163604	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$23.25	\$0.00	\$23.25		\$22.09



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*** BROKER TRANSACTIONS TOTAL ***								
			\$275.00	\$33.50	\$0.00	\$33.50		\$31.84
BROKER: RONALD DRAKE								
05/01/2018	***-***-3246 - SGROI, TERESA	AMCAP FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089976714	BUY 1 Units @34.9500						
04/30/2018	***-***-241R - BERTRAND, JOHN	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089941898	BUY 2 Units @28.4500						
04/30/2018	***-***-9037 - NOURSE, STACIA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 04000247689	BUY 2 Units @28.4500						
04/30/2018	***-***-4639 - SCOONES,	AMERICAN BALANCED FUND-A	90.75	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00084481157	BUY 3 Units @27.7800						
04/30/2018	***-***-5556 - BURNS, ROBERT	AMERICAN BALANCED FUND-A	37.50	1.59	0.00	1.59	20.0	0.32
	ACCOUNT #: 00085993216	BUY 1 Units @28.2200						
04/30/2018	***-***-0939 - LAWRENCE, JASON	AMERICAN BALANCED FUND-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 2 Units @28.4500						
05/01/2018	***-***-9408 - SNIZEK,	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00085099445	BUY 2 Units @27.7900						
04/30/2018	***-***-9037 - NOURSE, STACIA	AMERICAN HIGH-INCOME TRUST-A	50.00	1.50	0.00	1.50	20.0	0.30
	ACCOUNT #: 04000247689	BUY 5 Units @10.5900						
04/30/2018	***-***-7598 - HUMPF, MINDI	AMERICAN HIGH-INCOME TRUST-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 2 Units @10.5600						
04/30/2018	***-***-1909 - BASHANT, MATTHEW	AMERICAN HIGH-INCOME TRUST-A	25.50	0.70	0.00	0.70	20.0	0.14
	ACCOUNT #: 00085839015	BUY 2 Units @10.5600						
04/30/2018	***-***-5556 - BURNS, ROBERT	AMERICAN HIGH-INCOME TRUST-A	52.50	1.58	0.00	1.58	20.0	0.32
	ACCOUNT #: 00085993216	BUY 5 Units @10.5900						
05/01/2018	***-***-9408 - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00085099445	BUY 5 Units @10.5500						
05/01/2018	***-***-6469 - BENNETT, DAVID	AMERICAN MUTUAL FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00088370668	BUY 1 Units @42.4200						
05/01/2018	***-***-9408 - SNIZEK,	AMERICAN MUTUAL FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00085099445	BUY 1 Units @41.4300						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
05/01/2018	***-**-2119 - BENNETT, COLLEEN	AMERICAN MUTUAL FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00088366890	BUY 1 Units @42.4200						
05/01/2018	***-**-3246 - SGROI, TERESA	CAPITAL INCOME BUILDER-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089976714	BUY 1 Units @64.3500						
04/30/2018	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	31.50	0.87	0.00	0.87	20.0	0.17
	ACCOUNT #: 00085839015	BUY 1 Units @53.6500						
04/30/2018	***-**-7598 - HUMPF, MINDI	CAPITAL WORLD GROWTH AND INCOME FUN	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 0 Units @53.6500						
04/30/2018	***-**-5556 - BURNS, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	37.50	1.59	0.00	1.59	20.0	0.32
	ACCOUNT #: 00085993216	BUY 1 Units @54.4900						
04/30/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	31.50	0.87	0.00	0.87	20.0	0.17
	ACCOUNT #: 00085839015	BUY 1 Units @58.9500						
04/30/2018	***-**-0403 - DONOVAN, HEATHER	FRANKLIN GROWTH FUND CLASS A	0.00	15.05	0.00	15.05	20.0	3.01
	ACCOUNT #: 000060177003031	BUY 0 Units @0.0000						
04/30/2018	***-**-1787 - ANO, MICHAEL	FRANKLIN GROWTH FUND CLASS A	0.00	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 000060177122300	BUY 0 Units @0.0000						
04/30/2018	***-**-9217 - POLITIS, DEBRA	FRANKLIN GROWTH FUND CLASS A	0.00	31.50	0.00	31.50	20.0	6.30
	ACCOUNT #: 000060177196078	BUY 0 Units @0.0000						
04/30/2018	***-**-9217 - POLITIS, DEBRA	FRANKLIN INCOME FUND CLASS A	0.00	7.44	0.00	7.44	20.0	1.49
	ACCOUNT #: 000090236333236	BUY 0 Units @0.0000						
04/30/2018	***-**-8321 - HIGGINS, CYNTHIA	FRANKLIN INCOME FUND CLASS A	0.00	12.99	0.00	12.99	20.0	2.60
	ACCOUNT #: 000090236456264	BUY 0 Units @0.0000						
04/30/2018	***-**-9692 - KELLER, KENNETH	FRANKLIN INCOME FUND CLASS A	0.00	0.87	0.00	0.87	20.0	0.17
	ACCOUNT #: 000090237957306	BUY 0 Units @0.0000						
04/30/2018	***-**-9500 - KELLER, BILLIE	FRANKLIN INCOME FUND CLASS A	0.00	1.10	0.00	1.10	20.0	0.22
	ACCOUNT #: 000090238038740	BUY 0 Units @0.0000						
04/30/2018	***-**-5371 - SOUTHFIELD,	FRANKLIN INCOME FUND CLASS A	0.00	11.92	0.00	11.92	20.0	2.38
	ACCOUNT #: 000090239092353	BUY 0 Units @0.0000						
04/30/2018	***-**-6469 - BENNETT, DAVID	FRANKLIN INCOME FUND CLASS A	0.00	2.86	0.00	2.86	20.0	0.57
	ACCOUNT #: 000090239535847	BUY 0 Units @0.0000						
04/30/2018	***-**-6469 - BENNETT, DAVID	FRANKLIN INCOME FUND CLASS A	0.00	0.29	0.00	0.29	20.0	0.06
	ACCOUNT #: 000090239551269	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
04/30/2018	***-**-481J - MERRILL, JASON	FRANKLIN NEW YORK TAX FREE CLASS C	0.00	10.94	0.00	10.94	20.0	2.19
	ACCOUNT #: 000000000426484	BUY 0 Units @0.0000						
04/30/2018	***-**-3756 - GAGNE, JOHN	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	6.06	0.00	6.06	20.0	1.21
	ACCOUNT #: 000011516893059	BUY 0 Units @0.0000						
04/30/2018	***-**-8526 - SPINELLI, ELISE	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	2.13	0.00	2.13	20.0	0.43
	ACCOUNT #: 000011516901730	BUY 0 Units @0.0000						
04/30/2018	***-**-1787 - ANO, MICHAEL	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	2.34	0.00	2.34	20.0	0.47
	ACCOUNT #: 000011516948107	BUY 0 Units @0.0000						
04/30/2018	***-**-5559 - SEYMOUR, DEBORAH	FRANKLIN NY INTERM TERM TAX FREE A	0.00	0.80	0.00	0.80	20.0	0.16
	ACCOUNT #: 000015311057123	BUY 0 Units @0.0000						
04/30/2018	***-**-481J - MERRILL, JASON	FRANKLIN NY INTR-TRM TF INC FD	0.00	8.17	0.00	8.17	20.0	1.63
	ACCOUNT #: 000000000033504	BUY 0 Units @0.0000						
04/30/2018	***-**-5371 - SOUTHFIELD,	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	33.28	0.00	33.28	20.0	6.66
	ACCOUNT #: 000015815231665	BUY 0 Units @0.0000						
04/30/2018	***-**-0403 - DONOVAN, HEATHER	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	19.61	0.00	19.61	20.0	3.92
	ACCOUNT #: 000015813931694	BUY 0 Units @0.0000						
04/30/2018	***-**-0961 - BUDINE, JESSE	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	1.53	0.00	1.53	20.0	0.31
	ACCOUNT #: 000015814136194	BUY 0 Units @0.0000						
04/30/2018	***-**-4848 - DOGGETT, AMY	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	2.69	0.00	2.69	20.0	0.54
	ACCOUNT #: 000015814148023	BUY 0 Units @0.0000						
04/30/2018	***-**-1787 - ANO, MICHAEL	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	9.62	0.00	9.62	20.0	1.92
	ACCOUNT #: 000015814180311	BUY 0 Units @0.0000						
04/30/2018	***-**-3034 - DRAKE, CATHERINE	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	1.09	0.00	1.09	20.0	0.22
	ACCOUNT #: 000015814181008	BUY 0 Units @0.0000						
04/30/2018	***-**-0608 - ANO, CHERYL	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	9.39	0.00	9.39	20.0	1.88
	ACCOUNT #: 000015814241626	BUY 0 Units @0.0000						
04/30/2018	***-**-9217 - POLITIS, DEBRA	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	60.10	0.00	60.10	20.0	12.02
	ACCOUNT #: 000015814353890	BUY 0 Units @0.0000						
04/30/2018	***-**-8321 - HIGGINS, CYNTHIA	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	38.58	0.00	38.58	20.0	7.72
	ACCOUNT #: 000015814404622	BUY 0 Units @0.0000						
04/30/2018	***-**-9692 - KELLER, KENNETH	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	1.80	0.00	1.80	20.0	0.36
	ACCOUNT #: 000015814926861	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
04/30/2018	***-**-9500 - KELLER, BILLIE	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	2.21	0.00	2.21	20.0	0.44
	ACCOUNT #: 000015814954630	BUY 0 Units @0.0000						
04/30/2018	***-**-9692 - KELLER, KENNETH	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	2.24	0.00	2.24	20.0	0.45
	ACCOUNT #: 000015814954705	BUY 0 Units @0.0000						
04/30/2018	***-**-5371 - SOUTHFIELD,	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	22.07	0.00	22.07	20.0	4.41
	ACCOUNT #: 000015815282015	BUY 0 Units @0.0000						
04/30/2018	***-**-6469 - BENNETT, DAVID	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	5.26	0.00	5.26	20.0	1.05
	ACCOUNT #: 000015815518754	BUY 0 Units @0.0000						
04/30/2018	***-**-6469 - BENNETT, DAVID	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	0.49	0.00	0.49	20.0	0.10
	ACCOUNT #: 000015815524857	BUY 0 Units @0.0000						
04/30/2018	***-**-0403 - DONOVAN, HEATHER	FRANKLIN U.S. GOVERNMENT SECURITIES	0.00	2.07	0.00	2.07	20.0	0.41
	ACCOUNT #: 000010187500957	BUY 0 Units @0.0000						
04/30/2018	***-**-0403 - DONOVAN, HEATHER	FRK EQUITY INC FND - CL A	0.00	18.51	0.00	18.51	20.0	3.70
	ACCOUNT #: 000013912505964	BUY 0 Units @0.0000						
04/30/2018	***-**-0961 - BUDINE, JESSE	FRK EQUITY INC FND - CL A	0.00	0.75	0.00	0.75	20.0	0.15
	ACCOUNT #: 000013912529800	BUY 0 Units @0.0000						
04/30/2018	***-**-1787 - ANO, MICHAEL	FRK EQUITY INC FND - CL A	0.00	5.64	0.00	5.64	20.0	1.13
	ACCOUNT #: 000013912534634	BUY 0 Units @0.0000						
04/30/2018	***-**-0608 - ANO, CHERYL	FRK EQUITY INC FND - CL A	0.00	3.46	0.00	3.46	20.0	0.69
	ACCOUNT #: 000013912540587	BUY 0 Units @0.0000						
04/30/2018	***-**-1787 - ANO, MICHAEL	FRK HIGH INC FND - CL A	0.00	1.85	0.00	1.85	20.0	0.37
	ACCOUNT #: 000050117882954	BUY 0 Units @0.0000						
04/30/2018	***-**-0608 - ANO, CHERYL	FRK HIGH INC FND - CL A	0.00	2.88	0.00	2.88	20.0	0.58
	ACCOUNT #: 000050117905563	BUY 0 Units @0.0000						
04/30/2018	***-**-4848 - DOGGETT, AMY	FRK STRAT INC FND - CL A	0.00	0.45	0.00	0.45	20.0	0.09
	ACCOUNT #: 000019413185810	BUY 0 Units @0.0000						
04/30/2018	***-**-1787 - ANO, MICHAEL	FRK STRAT INC FND - CL A	0.00	1.85	0.00	1.85	20.0	0.37
	ACCOUNT #: 000019413201563	BUY 0 Units @0.0000						
04/30/2018	***-**-0608 - ANO, CHERYL	FRK STRAT INC FND - CL A	0.00	4.43	0.00	4.43	20.0	0.89
	ACCOUNT #: 000019413236403	BUY 0 Units @0.0000						
04/30/2018	***-**-8321 - HIGGINS, CYNTHIA	FRK STRAT INC FND - CL A	0.00	12.91	0.00	12.91	20.0	2.58
	ACCOUNT #: 000019413353537	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
04/30/2018	***-**-9692 - KELLER, KENNETH	FRK STRAT INC FND - CL A	0.00	1.31	0.00	1.31	20.0	0.26
	ACCOUNT #: 000019413735729	BUY 0 Units @0.0000						
04/30/2018	***-**-9500 - KELLER, BILLIE	FRK STRAT INC FND - CL A	0.00	1.69	0.00	1.69	20.0	0.34
	ACCOUNT #: 000019413751292	BUY 0 Units @0.0000						
04/30/2018	***-**-5371 - SOUTHFIELD,	FRK STRAT INC FND - CL A	0.00	11.22	0.00	11.22	20.0	2.24
	ACCOUNT #: 000019413960182	BUY 0 Units @0.0000						
04/30/2018	***-**-6469 - BENNETT, DAVID	FRK STRAT INC FND - CL A	0.00	4.48	0.00	4.48	20.0	0.90
	ACCOUNT #: 000019414041778	BUY 0 Units @0.0000						
04/30/2018	***-**-6469 - BENNETT, DAVID	FRK STRAT INC FND - CL A	0.00	0.36	0.00	0.36	20.0	0.07
	ACCOUNT #: 000019414044317	BUY 0 Units @0.0000						
04/30/2018	***-**-481J - MERRILL, JASON	FRK TEMP FOUNDING FUNDS ALLOC FND C	0.00	3.03	0.00	3.03	20.0	0.61
	ACCOUNT #: 000000000369520	BUY 0 Units @0.0000						
04/30/2018	***-**-4848 - DOGGETT, AMY	FRK TOTAL RETURN FND - CL A	0.00	0.42	0.00	0.42	20.0	0.08
	ACCOUNT #: 000000000938415	BUY 0 Units @0.0000						
04/30/2018	***-**-1787 - ANO, MICHAEL	FRK TOTAL RETURN FND - CL A	0.00	4.65	0.00	4.65	20.0	0.93
	ACCOUNT #: 000000000947097	BUY 0 Units @0.0000						
04/30/2018	***-**-0608 - ANO, CHERYL	FRK TOTAL RETURN FND - CL A	0.00	5.28	0.00	5.28	20.0	1.06
	ACCOUNT #: 000000000963900	BUY 0 Units @0.0000						
04/30/2018	***-**-9217 - POLITIS, DEBRA	FRK TOTAL RETURN FND - CL A	0.00	9.08	0.00	9.08	20.0	1.82
	ACCOUNT #: 000000001004629	BUY 0 Units @0.0000						
04/30/2018	***-**-5371 - SOUTHFIELD,	FRK TOTAL RETURN FND - CL A	0.00	6.35	0.00	6.35	20.0	1.27
	ACCOUNT #: 000000001197399	BUY 0 Units @0.0000						
04/30/2018	***-**-9692 - KELLER, KENNETH	FRK UTIL FND - CL A	0.00	0.51	0.00	0.51	20.0	0.10
	ACCOUNT #: 000070188041136	BUY 0 Units @0.0000						
04/30/2018	***-**-9500 - KELLER, BILLIE	FRK UTIL FND - CL A	0.00	0.66	0.00	0.66	20.0	0.13
	ACCOUNT #: 000070188050820	BUY 0 Units @0.0000						
05/14/2018	***-**-46IR - SWANCOTT, KELLIE	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	0.34	0.00	0.34	20.0	0.07
	ACCOUNT #: LSR010508	BUY 1 Units @0.0000						
05/14/2018	***-**-46IR - SWANCOTT, KELLIE	IVK GROWTH AND INCOME FUND - CLASS	0.00	0.53	0.00	0.53	20.0	0.11
	ACCOUNT #: LSR010508	BUY 1 Units @0.0000						
04/30/2018	***-**-0403 - DONOVAN, HEATHER	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	9.28	0.00	9.28	20.0	1.86
	ACCOUNT #: 000000007175262	BUY 0 Units @0.0000						



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ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
04/30/2018	***-**-0961 - BUDINE, JESSE	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.90	0.00	0.90	20.0	0.18
	ACCOUNT #: 000000007475698	BUY 0 Units @0.0000						
04/30/2018	***-**-4848 - DOGETT, AMY	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.82	0.00	0.82	20.0	0.16
	ACCOUNT #: 000000007485725	BUY 0 Units @0.0000						
04/30/2018	***-**-1787 - ANO, MICHAEL	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	3.05	0.00	3.05	20.0	0.61
	ACCOUNT #: 000000007508916	BUY 0 Units @0.0000						
04/30/2018	***-**-0608 - ANO, CHERYL	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	2.55	0.00	2.55	20.0	0.51
	ACCOUNT #: 000000007556347	BUY 0 Units @0.0000						
04/30/2018	***-**-9217 - POLITIS, DEBRA	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	23.52	0.00	23.52	20.0	4.70
	ACCOUNT #: 000000007691975	BUY 0 Units @0.0000						
04/30/2018	***-**-9692 - KELLER, KENNETH	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.76	0.00	0.76	20.0	0.15
	ACCOUNT #: 000000008301804	BUY 0 Units @0.0000						
04/30/2018	***-**-9500 - KELLER, BILLIE	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.96	0.00	0.96	20.0	0.19
	ACCOUNT #: 000000008332761	BUY 0 Units @0.0000						
04/30/2018	***-**-5371 - SOUTHFIELD,	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	12.17	0.00	12.17	20.0	2.43
	ACCOUNT #: 000000008780278	BUY 0 Units @0.0000						
04/30/2018	***-**-6469 - BENNETT, DAVID	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	4.83	0.00	4.83	20.0	0.97
	ACCOUNT #: 000000008962250	BUY 0 Units @0.0000						
04/30/2018	***-**-5556 - BURNS, ROBERT	SMALLCAP WORLD FUND-A	22.50	0.96	0.00	0.96	20.0	0.19
	ACCOUNT #: 00085993216	BUY 0 Units @59.6500						
04/30/2018	***-**-0961 - BUDINE, JESSE	TEMP FRGN FND - CL A	0.00	0.81	0.00	0.81	20.0	0.16
	ACCOUNT #: 000004978424895	BUY 0 Units @0.0000						
04/30/2018	***-**-0403 - DONOVAN, HEATHER	TEMP GLOBAL BOND FND - CL A	0.00	4.58	0.00	4.58	20.0	0.92
	ACCOUNT #: 000006982468899	BUY 0 Units @0.0000						
04/30/2018	***-**-0961 - BUDINE, JESSE	TEMP GLOBAL BOND FND - CL A	0.00	0.78	0.00	0.78	20.0	0.16
	ACCOUNT #: 000006983356424	BUY 0 Units @0.0000						
04/30/2018	***-**-4848 - DOGETT, AMY	TEMP GLOBAL BOND FND - CL A	0.00	0.53	0.00	0.53	20.0	0.11
	ACCOUNT #: 000006983376554	BUY 0 Units @0.0000						
04/30/2018	***-**-1787 - ANO, MICHAEL	TEMP GLOBAL BOND FND - CL A	0.00	4.97	0.00	4.97	20.0	0.99
	ACCOUNT #: 000006983429330	BUY 0 Units @0.0000						
04/30/2018	***-**-3034 - DRAKE, CATHERINE	TEMP GLOBAL BOND FND - CL A	0.00	0.51	0.00	0.51	20.0	0.10
	ACCOUNT #: 000006983437097	BUY 0 Units @0.0000						



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BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
04/30/2018	***-**-0608 - ANO, CHERYL	TEMP GLOBAL BOND FND - CL A	0.00	3.09	0.00	3.09	20.0	0.62
	ACCOUNT #: 000006983546669	BUY 0 Units @0.0000						
04/30/2018	***-**-9217 - POLITIS, DEBRA	TEMP GLOBAL BOND FND - CL A	0.00	26.62	0.00	26.62	20.0	5.32
	ACCOUNT #: 000006983894537	BUY 0 Units @0.0000						
04/30/2018	***-**-9692 - KELLER, KENNETH	TEMP GLOBAL BOND FND - CL A	0.00	1.31	0.00	1.31	20.0	0.26
	ACCOUNT #: 000006985212585	BUY 0 Units @0.0000						
04/30/2018	***-**-9500 - KELLER, BILLIE	TEMP GLOBAL BOND FND - CL A	0.00	1.67	0.00	1.67	20.0	0.33
	ACCOUNT #: 000006985253074	BUY 0 Units @0.0000						
04/30/2018	***-**-5371 - SOUTHFIELD,	TEMP GLOBAL BOND FND - CL A	0.00	10.98	0.00	10.98	20.0	2.20
	ACCOUNT #: 000006985760625	BUY 0 Units @0.0000						
04/30/2018	***-**-6469 - BENNETT, DAVID	TEMP GLOBAL BOND FND - CL A	0.00	4.40	0.00	4.40	20.0	0.88
	ACCOUNT #: 000006985886089	BUY 0 Units @0.0000						
04/30/2018	***-**-6469 - BENNETT, DAVID	TEMP GLOBAL BOND FND - CL A	0.00	0.28	0.00	0.28	20.0	0.06
	ACCOUNT #: 000006985890265	BUY 0 Units @0.0000						
04/30/2018	***-**-0608 - ANO, CHERYL	TEMPLETON GROWTH FUND CLASS A	0.00	1.49	0.00	1.49	20.0	0.30
	ACCOUNT #: 000007077039626	BUY 0 Units @0.0000						
04/30/2018	***-**-4639 - SCOONES,	THE BOND FUND OF AMERICA-A	90.75	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00084481157	BUY 7 Units @12.9700						
05/01/2018	***-**-6790 - DRAKE, ROBERT	THE BOND FUND OF AMERICA-A	50.00	1.50	0.00	1.50	20.0	0.30
	ACCOUNT #: 00086643595	BUY 4 Units @12.9800						
04/30/2018	***-**-7823 - BERTRAND,	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089897908	BUY 1 Units @54.9000						
04/30/2018	***-**-241R - BERTRAND, JOHN	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089941898	BUY 1 Units @54.9000						
04/30/2018	***-**-4639 - SCOONES,	THE GROWTH FUND OF AMERICA-A	93.50	2.57	0.00	2.57	20.0	0.51
	ACCOUNT #: 00084481157	BUY 2 Units @53.6200						
04/30/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	36.00	0.99	0.00	0.99	20.0	0.20
	ACCOUNT #: 00085839015	BUY 1 Units @53.6200						
04/30/2018	***-**-7598 - HUMPF, MINDI	THE GROWTH FUND OF AMERICA-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 0 Units @53.6200						
05/01/2018	***-**-9408 - SNIZEK,	THE GROWTH FUND OF AMERICA-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00085099445	BUY 1 Units @53.8300						



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BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
04/30/2018	***-**-7598 - HUMPF, MINDI	THE INCOME FUND OF AMERICA-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 1 Units @23.6000						
04/30/2018	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	25.50	0.70	0.00	0.70	20.0	0.14
	ACCOUNT #: 00085839015	BUY 1 Units @23.6000						
04/30/2018	***-**-0939 - LAWRENCE, JASON	THE INCOME FUND OF AMERICA-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 2 Units @24.1600						
05/01/2018	***-**-6790 - DRAKE, ROBERT	THE INCOME FUND OF AMERICA-A	75.00	2.81	0.00	2.81	20.0	0.56
	ACCOUNT #: 00086643595	BUY 3 Units @23.8000						
		* RONALD DRAKE SUBTOTAL *	\$1,570.00	\$610.88	\$0.00	\$610.88		\$122.21
<u>BROKER: RONALD CIOTOLI</u>								
04/30/2018	***-**-923I - MILLER, DENISE	AMERICAN BALANCED FUND-A	100.00	3.75	0.00	3.75	35.0	1.31
	ACCOUNT #: 00068752100	BUY 4 Units @28.0700						
05/10/2018	***-**-630I - ROMA, JAMES	JACKSON 468511530	300.00	15.75	0.00	15.75	35.0	5.51
	ACCOUNT #: 1200802832	BUY 0 Units @0.0000						
		* RONALD CIOTOLI SUBTOTAL *	\$400.00	\$19.50	\$0.00	\$19.50		\$6.82
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$1,970.00	\$630.38	\$0.00	\$630.38		\$129.03



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				GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
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