



Concorde Investment Services, LLC

07/16/2018

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COMMISSION STATEMENT

July 15, 2018

JEFFREY, K119 KAUFMAN
801 Gray Oak Drive

BROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/27/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	730.88	7.31	0.00	7.31	90.0	6.58
06/27/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	593.06	5.93	0.00	5.93	90.0	5.34
06/27/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	87.10	0.87	0.00	0.87	90.0	0.78
06/27/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	145.17	1.45	0.00	1.45	90.0	1.31
06/27/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
06/27/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
06/27/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	26.70	0.27	0.00	0.27	90.0	0.24
06/27/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	26.70	0.27	0.00	0.27	90.0	0.24
06/27/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
06/27/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
06/27/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.99	0.41	0.00	0.41	90.0	0.37
06/27/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.99	0.41	0.00	0.41	90.0	0.37
06/27/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	316.92	3.17	0.00	3.17	90.0	2.85
06/27/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	135.82	1.36	0.00	1.36	90.0	1.22
06/27/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	68.14	0.68	0.00	0.68	90.0	0.61
06/27/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	68.14	0.68	0.00	0.68	90.0	0.61
07/02/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN BALANCED A	52.00	0.62	0.00	0.62	90.0	0.56
07/02/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN BALANCED A	8.19	0.10	0.00	0.10	90.0	0.09
07/02/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN HIGH-INC MUNI BON	8.19	0.10	0.00	0.10	90.0	0.09
07/02/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN HIGH-INC MUNI BON	52.00	0.62	0.00	0.62	90.0	0.56
06/27/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	65.92	0.66	0.00	0.66	90.0	0.59
06/27/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	24.72	0.25	0.00	0.25	90.0	0.23
06/27/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	243.86	2.44	0.00	2.44	90.0	2.20
06/27/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	243.86	2.44	0.00	2.44	90.0	2.20
06/27/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	44.91	0.45	0.00	0.45	90.0	0.41
06/27/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	44.91	0.45	0.00	0.45	90.0	0.41

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/27/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	41.43	0.41	0.00	0.41	90.0	0.37
06/27/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	20.72	0.21	0.00	0.21	90.0	0.19
06/25/2018	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	188.46	3.02	0.00	3.02	90.0	2.72
06/27/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	49.44	0.49	0.00	0.49	90.0	0.44
06/27/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	18.54	0.19	0.00	0.19	90.0	0.17
07/02/2018	REDDICK, RYAN	AM FUNDS EUROPACIFIC GROWTH FUND A	62.50	1.72	0.00	1.72	90.0	1.55
07/02/2018	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	188.46	3.02	0.00	3.02	90.0	2.72
07/05/2018	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND A	200.00	10.00	0.00	10.00	90.0	9.00
06/27/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	67.11	0.67	0.00	0.67	90.0	0.60
06/27/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	67.11	0.67	0.00	0.67	90.0	0.60
06/27/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	69.56	0.70	0.00	0.70	90.0	0.63
06/27/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	69.56	0.70	0.00	0.70	90.0	0.63
06/25/2018	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	376.92	6.03	0.00	6.03	90.0	5.43
06/27/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	82.87	0.83	0.00	0.83	90.0	0.75
06/27/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	41.43	0.41	0.00	0.41	90.0	0.37
06/27/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	55.80	0.56	0.00	0.56	90.0	0.50
06/27/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	15.22	0.15	0.00	0.15	90.0	0.14
06/27/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	49.44	0.49	0.00	0.49	90.0	0.44
06/27/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	18.54	0.19	0.00	0.19	90.0	0.17
06/27/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	53.98	0.54	0.00	0.54	90.0	0.49
06/27/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	53.98	0.54	0.00	0.54	90.0	0.49
06/27/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	35.86	0.36	0.00	0.36	90.0	0.32
06/27/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	35.86	0.36	0.00	0.36	90.0	0.32
06/27/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	77.02	0.77	0.00	0.77	90.0	0.69
06/27/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	77.02	0.77	0.00	0.77	90.0	0.69
06/27/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	54.10	0.54	0.00	0.54	90.0	0.49
06/27/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	54.10	0.54	0.00	0.54	90.0	0.49
07/02/2018	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	62.50	1.72	0.00	1.72	90.0	1.55
07/02/2018	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	61.69	2.31	0.00	2.31	90.0	2.08
07/02/2018	SUMMERS, AARON	AM FUNDS GROWTH FUND OF AMERICA A	52.79	1.98	0.00	1.98	90.0	1.78
07/02/2018	EICHHOLZ, JARED	AM FUNDS GROWTH FUND OF AMERICA A	112.54	4.22	0.00	4.22	90.0	3.80
07/02/2018	JOHNSON, MARK	AM FUNDS GROWTH FUND OF AMERICA A	180.00	6.75	0.00	6.75	90.0	6.08
07/02/2018	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	376.92	6.03	0.00	6.03	90.0	5.43

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
07/02/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH FUND OF AMERICA A	8.19	0.10	0.00	0.10	90.0	0.09
07/02/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH FUND OF AMERICA A	52.00	0.62	0.00	0.62	90.0	0.56
07/02/2018	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	43.58	0.52	0.00	0.52	90.0	0.47
07/02/2018	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	105.00	1.26	0.00	1.26	90.0	1.13
07/02/2018	TURNER, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	32.71	0.39	0.00	0.39	90.0	0.35
07/02/2018	TURNER, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	32.73	0.39	0.00	0.39	90.0	0.35
07/02/2018	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	260.25	3.12	0.00	3.12	90.0	2.81
07/02/2018	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.50	0.00	0.50	90.0	0.45
07/02/2018	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	82.50	0.99	0.00	0.99	90.0	0.89
07/02/2018	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	645.83	7.75	0.00	7.75	90.0	6.98
07/02/2018	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	29.40	0.35	0.00	0.35	90.0	0.32
07/02/2018	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	29.40	0.35	0.00	0.35	90.0	0.32
07/02/2018	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	260.25	3.12	0.00	3.12	90.0	2.81
07/02/2018	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.50	0.00	0.50	90.0	0.45
07/02/2018	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.81	0.00	0.81	90.0	0.73
07/02/2018	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.81	0.00	0.81	90.0	0.73
07/05/2018	LEHMAN, COLBY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
07/05/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	200.00	10.00	0.00	10.00	90.0	9.00
07/02/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH PORT A	52.00	0.62	0.00	0.62	90.0	0.56
07/02/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH PORT A	8.19	0.10	0.00	0.10	90.0	0.09
06/27/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	244.80	2.45	0.00	2.45	90.0	2.21
06/27/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	61.20	0.61	0.00	0.61	90.0	0.55
07/02/2018	WINFREY, LARRY	AM FUNDS ICA A	120.00	4.50	0.00	4.50	90.0	4.05
07/02/2018	BAUER, MATTHEW	AM FUNDS ICA A	59.87	2.25	0.00	2.25	90.0	2.03
07/02/2018	SUMMERS, AARON	AM FUNDS ICA A	52.78	1.98	0.00	1.98	90.0	1.78
07/02/2018	EICHHOLZ, JARED	AM FUNDS ICA A	112.55	4.22	0.00	4.22	90.0	3.80
07/02/2018	EULTGEN, VICKI	AM FUNDS ICA A	43.58	0.52	0.00	0.52	90.0	0.47
07/02/2018	EULTGEN, VICKI	AM FUNDS ICA A	105.00	1.26	0.00	1.26	90.0	1.13
07/02/2018	MURPHY, MELISSA	AM FUNDS ICA A	208.20	2.50	0.00	2.50	90.0	2.25
07/02/2018	MURPHY, MELISSA	AM FUNDS ICA A	33.00	0.40	0.00	0.40	90.0	0.36
07/02/2018	RIDGWAY, BENJAMIN	AM FUNDS ICA A	98.52	1.18	0.00	1.18	90.0	1.06
07/02/2018	RIDGWAY, BENJAMIN	AM FUNDS ICA A	98.52	1.18	0.00	1.18	90.0	1.06
07/02/2018	SUTTON, CHRISTOPHER	AM FUNDS ICA A	87.06	1.04	0.00	1.04	90.0	0.94

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/02/2018	SUTTON, CHRISTOPHER	AM FUNDS ICA A	112.00	1.34	0.00	1.34	90.0	1.21
06/27/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
06/27/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
07/02/2018	HOLTKAMP, MARIGENE	AM FUNDS INTL GROWTH AND INCOME A	8.19	0.10	0.00	0.10	90.0	0.09
07/02/2018	HOLTKAMP, MARIGENE	AM FUNDS INTL GROWTH AND INCOME A	52.00	0.62	0.00	0.62	90.0	0.56
07/02/2018	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	208.20	2.50	0.00	2.50	90.0	2.25
07/02/2018	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	33.00	0.40	0.00	0.40	90.0	0.36
06/25/2018	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	188.46	3.02	0.00	3.02	90.0	2.72
06/27/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	54.16	0.54	0.00	0.54	90.0	0.49
06/27/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.77	0.15	0.00	0.15	90.0	0.14
06/27/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	69.23	0.69	0.00	0.69	90.0	0.62
06/27/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	41.54	0.42	0.00	0.42	90.0	0.38
07/02/2018	REDDICK, RYAN	AM FUNDS NEW ECONOMY A	62.50	1.72	0.00	1.72	90.0	1.55
07/02/2018	BAUER, MATTHEW	AM FUNDS NEW ECONOMY A	59.88	2.25	0.00	2.25	90.0	2.03
07/02/2018	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	188.46	3.02	0.00	3.02	90.0	2.72
07/02/2018	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	65.32	0.78	0.00	0.78	90.0	0.70
07/02/2018	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	65.32	0.78	0.00	0.78	90.0	0.70
07/02/2018	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	37.31	0.45	0.00	0.45	90.0	0.41
07/02/2018	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	48.00	0.58	0.00	0.58	90.0	0.52
07/05/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	50.00	2.50	0.00	2.50	90.0	2.25
06/27/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	82.87	0.83	0.00	0.83	90.0	0.75
06/27/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	41.43	0.41	0.00	0.41	90.0	0.37
06/27/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	54.16	0.54	0.00	0.54	90.0	0.49
06/27/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.77	0.15	0.00	0.15	90.0	0.14
06/27/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	34.81	0.35	0.00	0.35	90.0	0.32
06/27/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	34.81	0.35	0.00	0.35	90.0	0.32
07/02/2018	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	29.04	0.35	0.00	0.35	90.0	0.32
07/02/2018	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	70.00	0.84	0.00	0.84	90.0	0.76
07/02/2018	TURNER, JOSHUA	AM FUNDS NEW PERSPECTIVE A	32.73	0.39	0.00	0.39	90.0	0.35
07/02/2018	TURNER, JOSHUA	AM FUNDS NEW PERSPECTIVE A	32.71	0.39	0.00	0.39	90.0	0.35
07/02/2018	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	208.20	2.50	0.00	2.50	90.0	2.25
07/02/2018	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	33.00	0.40	0.00	0.40	90.0	0.36
07/02/2018	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	65.32	0.78	0.00	0.78	90.0	0.70

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/02/2018	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	65.32	0.78	0.00	0.78	90.0	0.70
07/02/2018	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	29.40	0.35	0.00	0.35	90.0	0.32
07/02/2018	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	29.40	0.35	0.00	0.35	90.0	0.32
07/02/2018	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	260.25	3.12	0.00	3.12	90.0	2.81
07/02/2018	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	41.25	0.50	0.00	0.50	90.0	0.45
06/25/2018	CROWLEY, MICHA	AM FUNDS NEW WORLD A	188.46	3.02	0.00	3.02	90.0	2.72
06/27/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	34.81	0.35	0.00	0.35	90.0	0.32
06/27/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	34.81	0.35	0.00	0.35	90.0	0.32
07/02/2018	CROWLEY, MICHA	AM FUNDS NEW WORLD A	188.46	3.02	0.00	3.02	90.0	2.72
07/02/2018	HOLTKAMP, MARIGENE	AM FUNDS NEW WORLD A	40.96	0.49	0.00	0.49	90.0	0.44
07/02/2018	HOLTKAMP, MARIGENE	AM FUNDS NEW WORLD A	260.00	3.12	0.00	3.12	90.0	2.81
07/02/2018	EULTGEN, VICKI	AM FUNDS NEW WORLD A	14.53	0.17	0.00	0.17	90.0	0.15
07/02/2018	EULTGEN, VICKI	AM FUNDS NEW WORLD A	35.00	0.42	0.00	0.42	90.0	0.38
07/02/2018	TURNER, JOSHUA	AM FUNDS NEW WORLD A	32.73	0.39	0.00	0.39	90.0	0.35
07/02/2018	TURNER, JOSHUA	AM FUNDS NEW WORLD A	32.73	0.39	0.00	0.39	90.0	0.35
07/02/2018	MURPHY, MELISSA	AM FUNDS NEW WORLD A	52.05	0.62	0.00	0.62	90.0	0.56
07/02/2018	MURPHY, MELISSA	AM FUNDS NEW WORLD A	8.25	0.10	0.00	0.10	90.0	0.09
07/02/2018	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	82.50	0.99	0.00	0.99	90.0	0.89
07/02/2018	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	645.83	7.75	0.00	7.75	90.0	6.98
07/02/2018	VICTOR, THOMAS	AM FUNDS NEW WORLD A	29.39	0.35	0.00	0.35	90.0	0.32
07/02/2018	VICTOR, THOMAS	AM FUNDS NEW WORLD A	29.39	0.35	0.00	0.35	90.0	0.32
07/02/2018	TURNER, COREY	AM FUNDS NEW WORLD A	260.25	3.12	0.00	3.12	90.0	2.81
07/02/2018	TURNER, COREY	AM FUNDS NEW WORLD A	41.25	0.50	0.00	0.50	90.0	0.45
07/02/2018	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.81	0.00	0.81	90.0	0.73
07/02/2018	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.81	0.00	0.81	90.0	0.73
06/27/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	128.26	1.28	0.00	1.28	90.0	1.15
06/27/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	128.26	1.28	0.00	1.28	90.0	1.15
07/02/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	62.50	1.72	0.00	1.72	90.0	1.55
07/02/2018	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	14.53	0.17	0.00	0.17	90.0	0.15
07/02/2018	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	35.00	0.42	0.00	0.42	90.0	0.38
07/02/2018	TURNER, JOSHUA	AM FUNDS SMALLCAP WORLD A	32.73	0.39	0.00	0.39	90.0	0.35
07/02/2018	TURNER, JOSHUA	AM FUNDS SMALLCAP WORLD A	32.73	0.39	0.00	0.39	90.0	0.35
07/02/2018	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	104.10	1.25	0.00	1.25	90.0	1.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/02/2018	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	16.50	0.20	0.00	0.20	90.0	0.18
07/02/2018	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	29.40	0.35	0.00	0.35	90.0	0.32
07/02/2018	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	29.40	0.35	0.00	0.35	90.0	0.32
07/02/2018	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	260.25	3.12	0.00	3.12	90.0	2.81
07/02/2018	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	41.25	0.50	0.00	0.50	90.0	0.45
06/27/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	69.06	0.69	0.00	0.69	90.0	0.62
06/27/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.53	0.35	0.00	0.35	90.0	0.32
07/02/2018	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	242.69	2.91	0.00	2.91	90.0	2.62
07/02/2018	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	145.61	1.75	0.00	1.75	90.0	1.58
/ /	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	100.00	1.50	0.00	1.50	90.0	1.35
* MUTUAL FUNDS SUBTOTAL *			\$15,889.24	\$233.14	\$0.00	\$233.14		\$210.00
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
06/18/2018	HALL, ELLEN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
06/18/2018	GRIFFITH, CHERYL	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
06/18/2018	KILEY, CHRISTINE	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
06/18/2018	ELLIOTT, MITCHELL	PAC LIFE VUL	100.00	3.00	0.00	3.00	90.0	2.70
06/20/2018	GAMM, SKYLAR	PAC LIFE VUL	7.37	0.15	0.00	0.15	90.0	0.14
06/20/2018	GAMM, SKYLAR	PAC LIFE VUL	417.63	87.70	0.00	87.70	90.0	78.93
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$825.00	\$98.85	\$0.00	\$98.85		\$88.97
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	KAUFMAN, JEFFREY	FIDELITY ADV CANADA	0.00	0.88	0.00	0.88	90.0	0.79
/ /	KAUFMAN, JEFFREY	FIDELITY ADV EMERGING EMEA	0.00	0.93	0.00	0.93	90.0	0.84
/ /	KAUFMAN, JEFFREY	FIDELITY ADV ENERGY CL M	0.00	0.86	0.00	0.86	90.0	0.77
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL CAP APP T	0.00	2.35	0.00	2.35	90.0	2.12
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL COMMODITY STK	0.00	0.97	0.00	0.97	90.0	0.87
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GOLD	0.00	0.74	0.00	0.74	90.0	0.67
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV GOLD C	0.00	0.61	0.00	0.61	90.0	0.55
/ /	GRIFFITH, CHERYL	FIDELITY ADV GOVERNMENT INC	0.00	0.10	0.00	0.10	90.0	0.09
/ /	HALL, ELLEN	FIDELITY ADV GROWTH OPP	0.00	12.66	0.00	12.66	90.0	11.39
/ /	ELLIOTT, TIMOTHY	FIDELITY ADV GROWTH OPP	0.00	1.52	0.00	1.52	90.0	1.37
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GROWTH OPP	0.00	9.79	0.00	9.79	90.0	8.81
/ /	GRIFFITH, CHERYL	FIDELITY ADV INTL SM CAP CL T	0.00	4.90	0.00	4.90	90.0	4.41

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	0.00	7.18	0.00	7.18	90.0	6.46
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV LEVERAGED CO STOCK C	0.00	8.86	0.00	8.86	90.0	7.97
/ /	HALL, ELLEN	FIDELITY ADV LEVERAGED CO STOCK-CL	0.00	3.02	0.00	3.02	90.0	2.72
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV MATERIALS C	0.00	4.79	0.00	4.79	90.0	4.31
/ /	GRIFFITH, CHERYL	FIDELITY ADV OVERSEAS	0.00	1.26	0.00	1.26	90.0	1.13
07/02/2018	GRIFFITH, CHERYL	FIDELITY ADV SMALL CAP	0.00	1.35	0.00	1.35	90.0	1.22
/ /	KAUFMAN, JEFFREY	FIDELITY ADV SMALL CAP GROWTH C	0.00	0.83	0.00	0.83	90.0	0.75
/ /	GRIFFITH, CHERYL	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	12.08	0.00	12.08	90.0	10.87
/ /	KAUFMAN, JEFFREY	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	2.58	0.00	2.58	90.0	2.32
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV UTILITIES C	0.00	9.92	0.00	9.92	90.0	8.93
/ /	GRIFFITH, CHERYL	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	2.84	0.00	2.84	90.0	2.56
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	1.87	0.00	1.87	90.0	1.68
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR MATERIALS FUND-CLA	0.00	1.14	0.00	1.14	90.0	1.03
/ /	WILMSMEYER, CHRISTA	FIDELITY ADVISORS 31618H424	0.00	6.32	0.00	6.32	90.0	5.69
/ /	HALL, ELLEN	FIDELITY ADVISORS 31618H440	0.00	1.33	0.00	1.33	90.0	1.20
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	0.58	0.00	0.58	90.0	0.52
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	15.47	0.00	15.47	90.0	13.92
07/02/2018	HALL, ELLEN	FIDELITY COMM EQUIPMENT T	0.00	1.95	0.00	1.95	90.0	1.76
/ /	GRIFFITH, CHERYL	FIDELITY EQUITY VALUE	0.00	10.17	0.00	10.17	90.0	9.15
/ /	KAUFMAN, JEFFREY	FIDELITY FINANCIAL SERVICES T	0.00	0.96	0.00	0.96	90.0	0.86
07/05/2018	KAUFMAN, JEFFREY	FIDELITY MIDCAP C	0.00	0.56	0.00	0.56	90.0	0.50
/ /	GRIFFITH, CHERYL	FIDELITY MIDCAP T	0.00	9.90	0.00	9.90	90.0	8.91
/ /	KAUFMAN, JEFFREY	FIDLEITY ADV INTL REAL ESTATE	0.00	1.03	0.00	1.03	90.0	0.93
/ /	GRIFFITH, CHERYL	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	2.30	0.00	2.30	90.0	2.07
/ /	KAUFMAN, JEFFREY	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	1.97	0.00	1.97	90.0	1.77
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$146.57	\$0.00	\$146.57		\$131.91

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

06/29/2018	OGLESBY, WILLIAM	ALLIANZ HIGH FIVE TRADITIONAL	0.00	196.00	0.00	196.00	90.0	176.40
06/29/2018	LEE, HOWARD	ALLIANZ VISION	0.00	77.51	0.00	77.51	90.0	69.76
06/30/2018	PAGE, BRIAN	JNL ELITE ACCESS W/ LIQUIDITY	0.00	10.13	0.00	10.13	90.0	9.12
06/30/2018	ANDING, MARY DIANE	JNL PERSPECTIVE II 04/14	0.00	41.82	0.00	41.82	90.0	37.64
06/30/2018	STEWART, SHEILA	JNL PERSPECTIVE II 04/14	0.00	105.42	0.00	105.42	90.0	94.88
06/30/2018	DEAN GUIER INC	JNL PERSPECTIVE II 04/14	0.00	82.85	0.00	82.85	90.0	74.57

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
06/30/2018	GUIER, CARROL	JNL PERSPECTIVE II 04/14	0.00	10.74	0.00	10.74	90.0	9.67
06/30/2018	CALLIS, CHARLES	JNL PERSPECTIVE II 05/05	0.00	12.36	0.00	12.36	90.0	11.12
06/30/2018	OWEN, ERNEST	JNL PERSPECTIVE II 05/05	0.00	59.41	0.00	59.41	90.0	53.47
06/30/2018	OSSMAN, ED	JNL PERSPECTIVE II 05/05	0.00	24.90	0.00	24.90	90.0	22.41
06/30/2018	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	0.00	11.80	0.00	11.80	90.0	10.62
06/30/2018	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	0.00	66.15	0.00	66.15	90.0	59.54
06/30/2018	MARK, RICHARD GEORGE	JNL PERSPECTIVE II 05/05	0.00	40.51	0.00	40.51	90.0	36.46
06/30/2018	LANGE, ARLEN	JNL PERSPECTIVE II 05/05	0.00	51.31	0.00	51.31	90.0	46.18
06/30/2018	MCBRIDE, KENNETH	JNL PERSPECTIVE II 05/05	0.00	115.18	0.00	115.18	90.0	103.66
06/30/2018	ANDING, MARY DIANE	JNL PERSPECTIVE II 05/05	0.00	91.97	0.00	91.97	90.0	82.77
06/21/2018	JENNINGS, MARY	PAC LIFE PACIFIC CHOICE	0.00	310.01	0.00	310.01	90.0	279.01
06/28/2018	DOWNS, JAMES	SBL ADVISORDESIGNS VA	0.00	32.30	0.00	32.30	90.0	29.07
06/28/2018	SCOFIELD, ROBERT	SBL ADVISORDESIGNS VA	0.00	9.27	0.00	9.27	90.0	8.34
06/28/2018	BENNETT, MILDRED	SBL ADVISORDESIGNS VA	0.00	87.21	0.00	87.21	90.0	78.49
06/28/2018	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	0.00	78.22	0.00	78.22	90.0	70.40
06/28/2018	ANDERSON, CHERRI	SBL ADVISORDESIGNS VA	0.00	22.49	0.00	22.49	90.0	20.24
06/28/2018	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	0.00	89.83	0.00	89.83	90.0	80.85
06/28/2018	LEE, HOWARD	SBL SECUREDESIGNS VA	0.00	56.01	0.00	56.01	90.0	50.41
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,683.40	\$0.00	\$1,683.40		\$1,515.08
*** DIRECT TRANSACTIONS TOTAL ***			\$16,714.24	\$2,161.96	\$0.00	\$2,161.96		\$1,945.96

SPLIT TRANSACTIONS**BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349**

06/27/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.65	0.12	0.00	0.12	90.0	0.11
06/27/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.65	0.12	0.00	0.12	90.0	0.11
06/29/2018	MOELLER, JOYCE	AM FUNDS AMERICAN BALANCED A	14,958.82	308.53	0.00	308.53	90.0	277.68
06/27/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.15	0.00	0.15	90.0	0.14
06/27/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.15	0.00	0.15	90.0	0.14
06/27/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	68.71	0.38	0.00	0.38	90.0	0.34
06/27/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	68.71	0.38	0.00	0.38	90.0	0.34
06/25/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	6.30	0.00	6.30	90.0	5.67
06/27/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	71.61	0.40	0.00	0.40	90.0	0.36

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
06/27/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	71.61	0.40	0.00	0.40	90.0	0.36
06/27/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.00	0.13	0.00	0.13	90.0	0.12
06/27/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.10	0.00	0.10	90.0	0.09
06/27/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	60.85	0.34	0.00	0.34	90.0	0.31
06/27/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	60.85	0.34	0.00	0.34	90.0	0.31
06/27/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
06/27/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
06/27/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.16	0.00	0.16	90.0	0.14
06/27/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.16	0.00	0.16	90.0	0.14
06/27/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	57.52	0.32	0.00	0.32	90.0	0.29
06/27/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	57.52	0.32	0.00	0.32	90.0	0.29
06/27/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.27	0.00	0.27	90.0	0.24
06/27/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.27	0.00	0.27	90.0	0.24
06/27/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	38.79	0.21	0.00	0.21	90.0	0.19
06/27/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	38.79	0.21	0.00	0.21	90.0	0.19
06/27/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	89.79	0.49	0.00	0.49	90.0	0.44
06/27/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	38.48	0.21	0.00	0.21	90.0	0.19
06/27/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	8.67	0.05	0.00	0.05	90.0	0.05
06/27/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	8.67	0.05	0.00	0.05	90.0	0.05
06/27/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	49.35	0.27	0.00	0.27	90.0	0.24
06/27/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	49.35	0.27	0.00	0.27	90.0	0.24
06/27/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	58.88	0.32	0.00	0.32	90.0	0.29
06/27/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	58.88	0.32	0.00	0.32	90.0	0.29
06/27/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.24	0.00	0.24	90.0	0.22
06/27/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.24	0.00	0.24	90.0	0.22
06/27/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.17	0.00	0.17	90.0	0.15
06/27/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.17	0.00	0.17	90.0	0.15
06/27/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	40.32	0.22	0.00	0.22	90.0	0.20
06/27/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	40.32	0.22	0.00	0.22	90.0	0.20
06/28/2018	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
06/29/2018	MOELLER, JOYCE	AM FUNDS GROWTH FUND OF AMERICA A	7,479.41	154.26	0.00	154.26	90.0	138.83
07/02/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	81.91	0.54	0.00	0.54	90.0	0.49
07/02/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	49.15	0.32	0.00	0.32	90.0	0.29

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
07/02/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	118.68	0.78	0.00	0.78	90.0	0.70
07/02/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	118.68	0.78	0.00	0.78	90.0	0.70
07/02/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	90.40	0.59	0.00	0.59	90.0	0.53
07/02/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	90.40	0.59	0.00	0.59	90.0	0.53
06/27/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	158.27	0.87	0.00	0.87	90.0	0.78
06/27/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	47.48	0.26	0.00	0.26	90.0	0.23
06/27/2018	ZELLMER, RIANNON	AM FUNDS ICA A	20.25	0.11	0.00	0.11	90.0	0.10
06/27/2018	ZELLMER, RIANNON	AM FUNDS ICA A	20.25	0.11	0.00	0.11	90.0	0.10
06/27/2018	WAHLIG, KACEY	AM FUNDS ICA A	21.66	0.12	0.00	0.12	90.0	0.11
06/27/2018	WAHLIG, KACEY	AM FUNDS ICA A	21.66	0.12	0.00	0.12	90.0	0.11
06/27/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	21.91	0.12	0.00	0.12	90.0	0.11
06/27/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	21.91	0.12	0.00	0.12	90.0	0.11
07/02/2018	HAGUE, VICTORIA	AM FUNDS ICA A	1,033.33	6.82	0.00	6.82	90.0	6.14
07/02/2018	HAGUE, VICTORIA	AM FUNDS ICA A	71.50	0.47	0.00	0.47	90.0	0.42
06/27/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	53.61	0.30	0.00	0.30	90.0	0.27
06/27/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	53.61	0.30	0.00	0.30	90.0	0.27
07/05/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	60.00	1.65	0.00	1.65	90.0	1.49
06/27/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	312.85	1.72	0.00	1.72	90.0	1.55
06/27/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.68	0.00	0.68	90.0	0.61
06/29/2018	MOELLER, JOYCE	AM FUNDS NEW PERSPECTIVE A	7,479.41	154.26	0.00	154.26	90.0	138.83
07/02/2018	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	1.37	0.00	1.37	90.0	1.23
06/27/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.00	0.13	0.00	0.13	90.0	0.12
06/27/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.00	0.10	0.00	0.10	90.0	0.09
06/27/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	63.71	0.35	0.00	0.35	90.0	0.32
06/27/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	38.23	0.21	0.00	0.21	90.0	0.19
06/27/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	21.91	0.12	0.00	0.12	90.0	0.11
06/27/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	21.91	0.12	0.00	0.12	90.0	0.11
06/27/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.15	0.00	0.15	90.0	0.14
06/27/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.15	0.00	0.15	90.0	0.14
07/02/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	258.33	1.70	0.00	1.70	90.0	1.53
07/02/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	17.88	0.12	0.00	0.12	90.0	0.11
07/02/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	49.14	0.32	0.00	0.32	90.0	0.29
07/02/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	81.91	0.54	0.00	0.54	90.0	0.49

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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 OVERRIDE TRANSACTIONS BROKER: K146-JASON KEMBLE #348

* JEFF/JASON, K148 KAUFMAN/KEMBLE SUBTOTAL *	\$35,046.62	\$657.16	\$0.00	\$657.16	\$591.50
*** SPLIT TRANSACTIONS TOTAL ***	\$35,046.62	\$657.16	\$0.00	\$657.16	\$591.50

 OVERRIDE TRANSACTIONS BROKER: K146-JASON KEMBLE #348

06/25/2018	WESTBROOK, LEANNA	AM FUNDS AMERICAN BALANCED A	1,000.00	50.00	0.00	50.00	90.0	20.00
06/25/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	1.70
06/25/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	0.85
06/25/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	50.00	2.13	0.00	2.13	90.0	0.85
06/25/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	4.25	0.00	4.25	90.0	1.70
07/02/2018	NASHAN, SUSAN	RTMT INCOME PORTFOLIO CONSERVATIVE-	100.00	1.00	0.00	1.00	90.0	0.40
* K146-JASON KEMBLE SUBTOTAL *			\$1,400.00	\$63.76	\$0.00	\$63.76		\$25.50
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,400.00	\$63.76	\$0.00	\$63.76		\$25.50

 ADJUSTMENTS

07/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
07/15/2018	*FINRA: UT INDIVIDUAL REG.	0.00						-60.00
	FEE-KAUFMAN,JEFFREY,JOE							
07/15/2018	*MARKETING PRO: PRO USER	0.00						-45.00
07/15/2018	*TECHNOLOGY: ADMIN - KIM KAUFMAN	0.00						-100.00
07/15/2018	*TECHNOLOGY: ADMIN C. KAUFMAN TECH	0.00						-65.00
07/15/2018	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-845.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,945.96
Split Transactions	\$591.50
Override Transactions	\$25.50
Adjustments	\$-845.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,717.96
Year-to-date Gross	\$101,888.10
Year-to-date Earnings	\$97,938.99