

COMMISSION STATEMENT

January 15, 2018

JIM HUGHES-D349

BROKER #: D349

BRANCH: DMV

, TX

COMMISSION STATEMENT for JIM HUGHES-D349

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
12/26/2017	000000000055083	MEDLEY, DONNA MF	FRANKLIN REAL RETURN	B	250.00	2.50	0.00	2.50	80.0	2.00
01/02/2018	0000000000380547	ROBINEDDIR MF	FRNKLN FLOATING RATE DLY ACCESS	B	200.00	2.00	0.00	2.00	80.0	1.60
01/02/2018	0000000001642697	RODGEKATH MF	FRK TEMP FOUNDING FUNDS ALLOC	B	100.00	1.00	0.00	1.00	80.0	0.80
12/26/2017	0000000003912312	ADAMS, MICH MF	MUTUAL SHRS FND - CL C	B	300.00	3.00	0.00	3.00	80.0	2.40
12/26/2017	0000000004070696	MEDLEY, DONNA MF	MUTUAL DISC FND - CL C	B	250.00	2.50	0.00	2.50	80.0	2.00
01/02/2018	0000000007053662	DUFREMAXA MF	CUSIP 645769696	B	100.00	5.00	0.00	5.00	80.0	4.00
01/02/2018	0000000012760498	POLLOSTEP MF	FRANKLIN INCOME FUND CLASS C	B	458.33	4.58	0.00	4.58	80.0	3.66
01/05/2018	0000000012879742	HUFFMMICHR MF	FRANKLIN INCOME FUND CLASS C	B	200.00	2.00	0.00	2.00	80.0	1.60
* MUTUAL FUNDS SUBTOTAL *					\$1,858.33	\$22.58	\$0.00	\$22.58		\$18.06

PRODUCT CATEGORY: VARIABLE ANNUITIES

01/03/2018	(None)	ELWELL, JOSEPH	VA	SECURITY BENEFIT LIFE	B	0.00	28.00	0.00	28.00	80.0	22.40
01/05/2018	(None)	ADVANTAGE	VA	MASS MUTUAL	B	0.00	36.00	0.00	36.00	80.0	28.80
01/05/2018	(None)	ADVANTAGE	VA	MASS MUTUAL	B	0.00	1.84	0.00	1.84	80.0	1.47
01/05/2018	(None)	ADVANTAGE	VA	MASS MUTUAL	B	0.00	781.35	0.00	781.35	80.0	625.08
01/05/2018	(None)	WEAVERMOT	VA	MASS MUTUAL	B	0.00	3,470.46	0.00	3,470.46	80.0	2,776.37
* VARIABLE ANNUITIES SUBTOTAL *						\$0.00	\$4,317.65	\$0.00	\$4,317.65		\$3,454.12

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

12/28/2017	(None)	HUGHES, VARIOUS	VAT	SECURITY BENEFIT LIFE	B	0.00	107.15	0.00	107.15	80.0	85.72
12/31/2017	00712280202	HENNIGAN, DEBORAH	VAT	HARTFORD LIFE	B	0.00	0.55	0.00	0.55	80.0	0.44
12/31/2017	00712280202	WAGSTAFF, PATRICE	VAT	HARTFORD LIFE	B	0.00	127.05	0.00	127.05	80.0	101.64
12/31/2017	00712280202	COX, DENISE	VAT	HARTFORD LIFE	B	0.00	18.98	0.00	18.98	80.0	15.18
12/31/2017	00712280202	DURSO, LEE	VAT	HARTFORD LIFE	B	0.00	1.18	0.00	1.18	80.0	0.94
12/31/2017	00712280202	PETERS, DAN	VAT	HARTFORD LIFE	B	0.00	154.47	0.00	154.47	80.0	123.58
12/31/2017	00712280202	PETERS, TANYA	VAT	HARTFORD LIFE	B	0.00	143.65	0.00	143.65	80.0	114.92
12/31/2017	00712280202	REYNOLDS, RANDY	VAT	HARTFORD LIFE	B	0.00	15.10	0.00	15.10	80.0	12.08
12/31/2017	00712280202	DARDER, TWYLA	VAT	HARTFORD LIFE	B	0.00	5.09	0.00	5.09	80.0	4.07
12/31/2017	00712280202	SIMMONS, JULIE	VAT	HARTFORD LIFE	B	0.00	0.71	0.00	0.71	80.0	0.57
12/31/2017	00712280202	SIMMONS, MARK	VAT	HARTFORD LIFE	B	0.00	16.22	0.00	16.22	80.0	12.98
12/31/2017	00712280202	GILLIS, MARY	VAT	HARTFORD LIFE	B	0.00	12.10	0.00	12.10	80.0	9.68
12/31/2017	00712280202	SHANE, DARLA	VAT	HARTFORD LIFE	B	0.00	17.35	0.00	17.35	80.0	13.88

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12/31/2017	00712280202	EVERETT, PAMELA	VAT HARTFORD LIFE	B	0.00	15.64	0.00	15.64	80.0	12.51
12/31/2017	00712280202	FERGUSON, DEANNA	VAT HARTFORD LIFE	B	0.00	0.03	0.00	0.03	80.0	0.02
12/31/2017	00712280202	KARR, LANDA	VAT HARTFORD LIFE	B	0.00	80.04	0.00	80.04	80.0	64.03
12/31/2017	00712280202	LATIOLAIS, PAMELA	VAT HARTFORD LIFE	B	0.00	6.47	0.00	6.47	80.0	5.18
12/31/2017	00712280202	KARR, LARRY	VAT HARTFORD LIFE	B	0.00	49.23	0.00	49.23	80.0	39.38
12/31/2017	00712280202	WEST, JAMES	VAT HARTFORD LIFE	B	0.00	0.05	0.00	0.05	80.0	0.04
12/31/2017	00712280202	SANDERS, ELAINE	VAT HARTFORD LIFE	B	0.00	4.70	0.00	4.70	80.0	3.76
12/31/2017	00712280202	JONES, JEFFERY	VAT HARTFORD LIFE	B	0.00	13.16	0.00	13.16	80.0	10.53
12/31/2017	00712280202	FOMBY, KRISTIN	VAT HARTFORD LIFE	B	0.00	10.14	0.00	10.14	80.0	8.11
12/31/2017	00712280202	WOMACK, JOHN	VAT HARTFORD LIFE	B	0.00	31.47	0.00	31.47	80.0	25.18
12/31/2017	00712280202	STIVENDER,	VAT HARTFORD LIFE	B	0.00	4.27	0.00	4.27	80.0	3.42
12/31/2017	00712280202	FISETTE, BRADLEY	VAT HARTFORD LIFE	B	0.00	2.22	0.00	2.22	80.0	1.78
12/31/2017	00712280202	GARCIA, MAGDALENO	VAT HARTFORD LIFE	B	0.00	1.81	0.00	1.81	80.0	1.45
12/31/2017	00712280202	LOVELADY, JERRY	VAT HARTFORD LIFE	B	0.00	12.75	0.00	12.75	80.0	10.20
12/31/2017	00712280202	ESTER, NORMAN	VAT HARTFORD LIFE	B	0.00	16.22	0.00	16.22	80.0	12.98
12/31/2017	00712280202	BELLOMY, GAVIN	VAT HARTFORD LIFE	B	0.00	2.82	0.00	2.82	80.0	2.26
01/02/2018	VM14004968	WOMACK, JOHN	VAT PACIFIC LIFE VA 69448B191	B	0.00	126.00	0.00	126.00	80.0	100.80
01/02/2018	VM14004972	EVERETT, PAMELA	VAT PACIFIC LIFE VA 69448B191	B	0.00	67.34	0.00	67.34	80.0	53.87
01/02/2018	VM14004976	FISCHER, SHANE	VAT PACIFIC LIFE VA 69448B191	B	0.00	42.13	0.00	42.13	80.0	33.70
01/02/2018	VM14004980	FORE, WANDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	55.33	0.00	55.33	80.0	44.26
01/02/2018	VM14004984	GUILLORY, CHASITY	VAT PACIFIC LIFE VA 69448B191	B	0.00	35.49	0.00	35.49	80.0	28.39
01/02/2018	VM14004988	SHANE, DARLA	VAT PACIFIC LIFE VA 69448B191	B	0.00	38.22	0.00	38.22	80.0	30.58
01/02/2018	VM14005893	WADE, RENDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	46.59	0.00	46.59	80.0	37.27
01/02/2018	VM16000353	THOMPSON, SANDRA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.92	0.00	2.92	80.0	2.34
01/02/2018	VM16000357	WALSTON, FAITH	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.49	0.00	2.49	80.0	1.99
01/02/2018	VM16000361	GARESS, LAURA	VAT PACIFIC LIFE VA 69448B175	B	0.00	6.49	0.00	6.49	80.0	5.19
01/02/2018	VM16000365	HENSARLING, SHAWNA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.29	0.00	2.29	80.0	1.83
01/02/2018	VM16000385	CLOWERS, SUNNIE	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.26	0.00	1.26	80.0	1.01
01/02/2018	VM16000393	DALTON, MELISSA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.64	0.00	2.64	80.0	2.11
01/02/2018	VM16005144	BRONSTAD, LORI	VAT PACIFIC LIFE VA 69448B191	B	0.00	6.89	0.00	6.89	80.0	5.51
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,306.70	\$0.00	\$1,306.70		\$1,045.36
*** DIRECT TRANSACTIONS TOTAL ***					\$1,858.33	\$5,646.93	\$0.00	\$5,646.93		\$4,517.54

BROKER COMMISSION TOTALS

Broker Transactions	\$4,517.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$4,517.54
Year-to-date Commissions	\$6,309.65