



Concorde Investment Services, LLC

07/27/2017

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# COMMISSION STATEMENT

July 31, 2017

NATHANIEL KUHN  
612 S. Bristol Lane

BROKER #: K134  
BRANCH: KUHN FINANCIAL, INC

Arlington Heights, IL 60005-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
07/07/2017	EDWARDS TRUST, TOBI	CANTOR FITZGERALD NET LEASE 8 DST	50,000.00	3,000.00	0.00	3,000.00	90.0	2,700.00
07/24/2017	FREUND, ROSEMARY	INLAND DENVER MSA MULTIFAM'Y II DST	196,006.74	9,800.34	0.00	9,800.34	90.0	8,820.31
06/29/2017	FREUND, ROSEMARY	INLAND HEALTHCARE PF II DST	300,000.00	15,000.00	0.00	15,000.00	90.0	13,500.00
06/29/2017	FREUND, ROSEMARY	INLAND SELF-STORAGE PF II DST	230,000.00	11,500.00	0.00	11,500.00	90.0	10,350.00
* 1031 EXCHANGES SUBTOTAL *			<b>\$776,006.74</b>	<b>\$39,300.34</b>	<b>\$0.00</b>	<b>\$39,300.34</b>		<b>\$35,370.31</b>

## **PRODUCT CATEGORY: MUTUAL FUNDS**

07/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS AMCAP A	50.00	1.38	0.00	1.38	90.0	1.24
07/20/2017	STETTER, MARIA	AM FUNDS AMCAP A	50.00	1.88	0.00	1.88	90.0	1.69
07/20/2017	STETTER, MARIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
07/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS AMERICAN BALANCED C	100.00	1.00	0.00	1.00	90.0	0.90
07/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS BOND FUND OF AMERICA C	50.00	0.50	0.00	0.50	90.0	0.45
07/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS CAPITAL WORLD GRTH & INC C	50.00	0.50	0.00	0.50	90.0	0.45
07/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	90.0	1.24
07/17/2017	WEIDENFELLER, KIRSTEN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.13	0.00	2.13	90.0	1.92
07/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.38	0.00	1.38	90.0	1.24
07/10/2017	MARASOVICH, THOMAS	AM FUNDS GLOBAL BALANCED A	50.00	2.13	0.00	2.13	90.0	1.92
07/10/2017	MARASOVICH, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
07/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
07/17/2017	SANTOS, JAIMEE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
07/17/2017	TOLLEFSON, LOUANN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
07/17/2017	WEIDENFELLER, KIRSTEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
07/17/2017	WILLIS, JUDE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
07/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS GROWTH FUND OF AMERICA C	125.00	1.25	0.00	1.25	90.0	1.13
07/17/2017	WEIDENFELLER, KIRSTEN	AM FUNDS ICA A	50.00	2.13	0.00	2.13	90.0	1.92
07/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS ICA C	125.00	1.25	0.00	1.25	90.0	1.13
07/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS NEW WORLD C	50.00	0.50	0.00	0.50	90.0	0.45

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
07/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS SMALLCAP WORLD A	50.00	1.38	0.00	1.38	90.0	1.24
07/17/2017	SANTOS, JAIMEE	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
07/03/2017	LADUCA, BRIAN	FT CONSERVATIVE ALLOCATION C	50.00	0.50	0.00	0.50	90.0	0.45
07/03/2017	WILSON, JOE	FT GROWTH ALLOC A	50.00	2.50	0.00	2.50	90.0	2.25
07/03/2017	CHASE, LINDA	FT MODERATE ALLOCATION FUND C	100.00	1.00	0.00	1.00	90.0	0.90
/ /	HOGLUND, KRISTEN	HARTFORD BALANCED ALLOCATION FUND C	0.00	0.16	0.00	0.16	90.0	0.14
/ /	LIVERIS, NICHOLAS	HARTFORD BALANCED ALLOCATION FUND C	0.00	15.40	0.00	15.40	90.0	13.86
/ /	WILLIS, JUDE	HARTFORD BALANCED ALLOCATION FUND C	0.00	4.98	0.00	4.98	90.0	4.48
07/03/2017	LIVERIS, NICHOLAS	HARTFORD BALANCED ALLOCATION FUND C	150.00	1.50	0.00	1.50	90.0	1.35
/ /	KENNEDY, RACHEL	HARTFORD CAPITAL APPRECIATION A	0.00	0.59	0.00	0.59	90.0	0.53
/ /	SANTOS, LOURDES	HARTFORD CAPITAL APPRECIATION C	0.00	2.94	0.00	2.94	90.0	2.65
/ /	MEYER, WILLIAM	HARTFORD CHECKS AND BALANCES FUND A	0.00	5.62	0.00	5.62	90.0	5.06
/ /	LOPEZ, JOSE	HARTFORD CHECKS AND BALANCES FUND A	0.00	5.90	0.00	5.90	90.0	5.31
/ /	RAY, SCOTT	HARTFORD CONSERVATIVE ALLOC C	0.00	2.70	0.00	2.70	90.0	2.43
/ /	SANTOS, LOURDES	HARTFORD DIVIDEND & GROWTH C	0.00	5.54	0.00	5.54	90.0	4.99
/ /	BORJA, AIDA	HARTFORD DIVIDEND & GROWTH C	0.00	8.34	0.00	8.34	90.0	7.51
07/03/2017	SANTOS, LOURDES	HARTFORD DIVIDEND & GROWTH C	50.00	0.50	0.00	0.50	90.0	0.45
07/03/2017	BORJA, AIDA	HARTFORD DIVIDEND & GROWTH C	50.00	0.50	0.00	0.50	90.0	0.45
/ /	SANTOS, JAIMEE	HARTFORD FLOATING RATE C	0.00	10.43	0.00	10.43	90.0	9.39
/ /	SANTOS, JAIMEE	HARTFORD FLOATING RATE C	0.00	1.00	0.00	1.00	90.0	0.90
/ /	LIEBERMAN, SHARON	HARTFORD GROWTH ALLOCATION A	0.00	4.29	0.00	4.29	90.0	3.86
/ /	BAXTER, KIMBERLY	HARTFORD GROWTH ALLOCATION A	0.00	0.25	0.00	0.25	90.0	0.23
/ /	EAR, SIEV	HARTFORD GROWTH ALLOCATION A	0.00	2.20	0.00	2.20	90.0	1.98
/ /	STEFFEN, NATHAN	HARTFORD GROWTH ALLOCATION A	0.00	4.78	0.00	4.78	90.0	4.30
/ /	BIEGERT, LAUREN	HARTFORD GROWTH ALLOCATION A	0.00	12.21	0.00	12.21	90.0	10.99
/ /	FLORES, KARINA	HARTFORD GROWTH ALLOCATION A	0.00	0.68	0.00	0.68	90.0	0.61
07/03/2017	LIEBERMAN, SHARON	HARTFORD GROWTH ALLOCATION A	100.00	4.75	0.00	4.75	90.0	4.28
/ /	LIEBERMAN, SHARON	HARTFORD GROWTH ALLOCATION B	0.00	0.14	0.00	0.14	90.0	0.13
/ /	STEFFEN, NATHAN	HARTFORD GROWTH OPP A	0.00	1.73	0.00	1.73	90.0	1.56
/ /	KENNEDY, RACHEL	HARTFORD GROWTH OPP A	0.00	0.74	0.00	0.74	90.0	0.67
/ /	DELLAPORTAS, VASILIS	HARTFORD TOTAL RETURN BOND A	0.00	1.79	0.00	1.79	90.0	1.61
/ /	SANTOS, LOURDES	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.21	0.00	4.21	90.0	3.79
/ /	BORJA, AIDA	HARTFORD TOTAL RETURN BOND FUND C	0.00	5.66	0.00	5.66	90.0	5.09

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	SANTOS, LOURDES	HARTFORD TOTAL RETURN BOND FUND C	50.00	0.50	0.00	0.50	90.0	0.45
07/03/2017	BORJA, AIDA	HARTFORD TOTAL RETURN BOND FUND C	50.00	0.50	0.00	0.50	90.0	0.45
* MUTUAL FUNDS SUBTOTAL *			\$1,950.00	\$150.47	\$0.00	\$150.47		\$135.46
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
07/14/2017	DUPUIS, ROGER	GUARDIAN LIFE INS TRAILS	0.00	99.37	0.00	99.37	90.0	89.43
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$99.37	\$0.00	\$99.37		\$89.43
*** BROKER TRANSACTIONS TOTAL ***			\$777,956.74	\$39,550.18	\$0.00	\$39,550.18		\$35,595.20

**BROKER COMMISSION TOTALS**

Broker Transactions	\$35,595.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$35,595.20
Year-to-date Gross	\$450,979.16
Year-to-date Earnings	\$405,882.49

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