



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
 April 15, 2017

04/12/2017  
 Page No. 1

STAN WEBB  
 300 N. Main Street  
 Suite 309  
 Wichita, KS 67202

BROKER #: W121  
 BRANCH: STAN WEBB

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/03/2017	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	50.00	2.50	0.00	2.50	92.0	2.30
04/03/2017	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	50.00	2.50	0.00	2.50	92.0	2.30
03/31/2017	PRACHT, CHRISTOPHER	AM FUNDS AMCAP A	2,500.00	68.75	0.00	68.75	92.0	63.25
03/30/2017	LANDGREN, PAMELA	AM FUNDS AMERICAN BALANCED A	150.00	4.13	0.00	4.13	92.0	3.80
03/30/2017	KS/TOD	AM FUNDS AMERICAN BALANCED A	450.00	12.38	0.00	12.38	92.0	11.39
03/31/2017	DUSH, THERESA	AM FUNDS AMERICAN BALANCED A	50.00	1.38	0.00	1.38	92.0	1.27
03/27/2017	REED, MARK	AM FUNDS AMERICAN HIGH-INCOME TRUST	100.00	1.20	0.00	1.20	92.0	1.10
03/27/2017	REED, MARK	AM FUNDS CAPITAL INCOME BUILDER A	100.00	1.20	0.00	1.20	92.0	1.10
03/27/2017	ROTHS, GLEN	AM FUNDS CAPITAL INCOME BUILDER A	100.00	3.75	0.00	3.75	92.0	3.45
03/30/2017	KS/TOD	AM FUNDS CAPITAL INCOME BUILDER A	300.00	8.25	0.00	8.25	92.0	7.59
03/31/2017	PRACHT, CHRISTOPHER	AM FUNDS CAPITAL INCOME BUILDER A	2,500.00	68.75	0.00	68.75	92.0	63.25
04/06/2017	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	1.06	0.00	1.06	92.0	0.98
04/06/2017	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	1.06	0.00	1.06	92.0	0.98
03/27/2017	REED, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	1.20	0.00	1.20	92.0	1.10
03/27/2017	ROGERS, DEBRA	AM FUNDS FUNDAMENTAL INVESTORS A	5,000.00	187.50	0.00	187.50	92.0	172.50
03/27/2017	REED, MARK	AM FUNDS FUNDAMENTAL INVESTORS A	100.00	1.20	0.00	1.20	92.0	1.10
03/27/2017	ROTHS, GLEN	AM FUNDS FUNDAMENTAL INVESTORS A	100.00	3.75	0.00	3.75	92.0	3.45
03/30/2017	LANDGREN, PAMELA	AM FUNDS FUNDAMENTAL INVESTORS A	200.00	5.50	0.00	5.50	92.0	5.06
03/30/2017	KS/TOD	AM FUNDS FUNDAMENTAL INVESTORS A	600.00	16.50	0.00	16.50	92.0	15.18
03/27/2017	REED, MARK	AM FUNDS ICA A	100.00	1.20	0.00	1.20	92.0	1.10
03/27/2017	REED, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	100.00	1.20	0.00	1.20	92.0	1.10
03/27/2017	REED, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	1.20	0.00	1.20	92.0	1.10
03/27/2017	ROTHS, GLEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	3.75	0.00	3.75	92.0	3.45
03/30/2017	LANDGREN, PAMELA	AM FUNDS WASHINGTON MUTUAL INVESTOR	150.00	4.13	0.00	4.13	92.0	3.80
/ /	RAYMER, CHRISTOPHER	FIDELITY ADV HEALTH CARE T	0.00	1.40	0.00	1.40	92.0	1.29
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV HEALTH CARE T	0.00	9.35	0.00	9.35	92.0	8.60

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	EVERETT, TRAVIS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	1.72	0.00	1.72	92.0	1.58
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	2.59	0.00	2.59	92.0	2.38
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	1.61	0.00	1.61	92.0	1.48
/ /	LOTT TRUST, EDITH	FIDELITY ADV OVERSEAS	0.00	2.31	0.00	2.31	92.0	2.13
/ /	EVERETT, TRAVIS	FIDELITY ADV REAL ESTATE A	0.00	2.02	0.00	2.02	92.0	1.86
/ /	EVERETT, TRAVIS	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	1.77	0.00	1.77	92.0	1.63
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV STRATEGIC INCOME	0.00	4.51	0.00	4.51	92.0	4.15
/ /	KENNEDY, ROBERT	FIDELITY ADV VALUE STRATEGIES A	0.00	8.05	0.00	8.05	92.0	7.41
/ /	RIEMANN TRUST, ARVELLA	FIDELITY ADVISOR VALUE	0.00	9.02	0.00	9.02	92.0	8.30
/ /	RIEMANN TRUST, ARVELLA	FIDELITY BALANCED T	0.00	9.00	0.00	9.00	92.0	8.28
/ /	CROSS TRUST, ALLEN & CHARLA	FIDELITY BALANCED T	0.00	9.71	0.00	9.71	92.0	8.93
/ /	RIEMANN TRUST, ARVELLA	FIDELITY DIV GROWTH T	0.00	13.29	0.00	13.29	92.0	12.23
/ /	CHRISTIAN TOD, ROBERT	FIDELITY DIV GROWTH T	0.00	9.47	0.00	9.47	92.0	8.71
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED INTL A	0.00	0.50	0.00	0.50	92.0	0.46
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED STOCK A	0.00	1.57	0.00	1.57	92.0	1.44
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	2.09	0.00	2.09	92.0	1.92
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	3.73	0.00	3.73	92.0	3.43
/ /	KENNEDY, ROBERT	FIDELITY EMERGING MARKET A	0.00	0.68	0.00	0.68	92.0	0.63
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	0.36	0.00	0.36	92.0	0.33
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	2.78	0.00	2.78	92.0	2.56
/ /	RANCILIO, KENNETH	FIDELITY EQUITY GROWTH A	0.00	0.75	0.00	0.75	92.0	0.69
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY GROWTH T	0.00	7.54	0.00	7.54	92.0	6.94
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY GROWTH T	0.00	5.06	0.00	5.06	92.0	4.66
/ /	RIEMANN TRUST, ARVELLA	FIDELITY EQUITY GROWTH T	0.00	24.13	0.00	24.13	92.0	22.20
/ /	HAYES, BEN	FIDELITY EQUITY GROWTH T	0.00	27.12	0.00	27.12	92.0	24.95
/ /	HEARD, DAVID	FIDELITY EQUITY GROWTH T	0.00	0.89	0.00	0.89	92.0	0.82
/ /	LOTT TRUST, EDITH	FIDELITY EQUITY GROWTH T	0.00	20.51	0.00	20.51	92.0	18.87
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	8.09	0.00	8.09	92.0	7.44
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	8.12	0.00	8.12	92.0	7.47
/ /	RIEMANN TRUST, ARVELLA	FIDELITY EQUITY INCOME	0.00	30.85	0.00	30.85	92.0	28.38
/ /	LOTT TRUST, EDITH	FIDELITY EQUITY INCOME	0.00	35.60	0.00	35.60	92.0	32.75
/ /	HAYES, MARTHA	FIDELITY EQUITY INCOME A	0.00	0.51	0.00	0.51	92.0	0.47
/ /	HAYES, BEN	FIDELITY EQUITY INCOME A	0.00	0.53	0.00	0.53	92.0	0.49

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	0.67	0.00	0.67	92.0	0.62
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	3.10	0.00	3.10	92.0	2.85
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	2.61	0.00	2.61	92.0	2.40
/ /	LOTT TRUST, EDITH	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.67	0.00	0.67	92.0	0.62
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	2.70	0.00	2.70	92.0	2.48
/ /	CHRISTIAN TOD, ROBERT	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.74	0.00	3.74	92.0	3.44
/ /	KENNEDY, ROBERT	FIDELITY GROWTH & INCOME A	0.00	3.58	0.00	3.58	92.0	3.29
/ /	EVERETT, TRAVIS	FIDELITY GROWTH & INCOME A	0.00	1.58	0.00	1.58	92.0	1.45
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME A	0.00	0.51	0.00	0.51	92.0	0.47
/ /	KENNEDY, ROBERT	FIDELITY HIGH INCOME ADVANTAGE A	0.00	0.97	0.00	0.97	92.0	0.89
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME ADVANTAGE A	0.00	1.82	0.00	1.82	92.0	1.67
/ /	RIEMANN TRUST, ARVELLA	FIDELITY HIGH INCOME T	0.00	3.45	0.00	3.45	92.0	3.17
/ /	LOTT TRUST, EDITH	FIDELITY HIGH INCOME T	0.00	2.93	0.00	2.93	92.0	2.70
/ /	RIEMANN TRUST, ARVELLA	FIDELITY MIDCAP T	0.00	4.75	0.00	4.75	92.0	4.37
/ /	RAYMER, CHRISTOPHER	FIDELITY MIDCAP T	0.00	1.19	0.00	1.19	92.0	1.09
/ /	EVERETT, TRAVIS	FIDELITY TECHNOLOGY A	0.00	0.49	0.00	0.49	92.0	0.45
/ /	HAYES, BEN	FIDELITY TECHNOLOGY T	0.00	1.33	0.00	1.33	92.0	1.22
/ /	CHRISTIAN TOD, ROBERT	FIDELITY TECHNOLOGY T	0.00	4.98	0.00	4.98	92.0	4.58
03/20/2017	THOMAS, DAVID	FT FOUNDING FUNDS ALLOC A	150.00	7.50	0.00	7.50	92.0	6.90
/ /	RANCILIO, KENNETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	4.29	0.00	4.29	92.0	3.95
/ /	FAIRLEY, MIKE	GUGGENHEIM TOTAL RETURN BOND - A	0.00	3.94	0.00	3.94	92.0	3.62
/ /	WALMANN, JEFFREY	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.51	0.00	0.51	92.0	0.47
03/20/2017	NELSON, JIM	INVESCO AMERICAN FRANCHISE A	100.00	3.00	0.00	3.00	92.0	2.76
04/01/2017	BROWN, SHIRLEY	INVESCO AMERICAN FRANCHISE A	0.00	7.22	0.00	7.22	92.0	6.64
04/01/2017	BROWN, SHIRLEY	INVESCO AMERICAN FRANCHISE A	0.00	7.22	0.00	7.22	92.0	6.64
04/01/2017	JENDEL, JEFFREY	INVESCO AMERICAN FRANCHISE A	0.00	0.64	0.00	0.64	92.0	0.59
04/01/2017	JAKOVAC, PATRICIA	INVESCO AMERICAN FRANCHISE A	0.00	2.98	0.00	2.98	92.0	2.74
04/01/2017	MOSHER UTMA/KS, JUSTIN	INVESCO AMERICAN FRANCHISE A	0.00	2.00	0.00	2.00	92.0	1.84
04/01/2017	WEBB, STAN	INVESCO AMERICAN FRANCHISE A	0.00	9.45	0.00	9.45	92.0	8.69
04/01/2017	HIEGER, KIM	INVESCO AMERICAN FRANCHISE A	0.00	0.96	0.00	0.96	92.0	0.88
04/01/2017	PRACHT, JULIE	INVESCO AMERICAN FRANCHISE A	0.00	1.10	0.00	1.10	92.0	1.01
04/01/2017	SMITH, TROY	INVESCO AMERICAN FRANCHISE A	0.00	2.72	0.00	2.72	92.0	2.50
04/01/2017	ROGERS, DEBRA	INVESCO AMERICAN FRANCHISE A	0.00	10.77	0.00	10.77	92.0	9.91

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
04/01/2017	MOSHER UGMA/KS, CHEYENNE	INVESCO AMERICAN FRANCHISE A	0.00	1.17	0.00	1.17	92.0	1.08
04/01/2017	NELSON, JIM	INVESCO AMERICAN FRANCHISE A	0.00	4.61	0.00	4.61	92.0	4.24
04/01/2017	CROSS, BRENDA	INVESCO AMERICAN FRANCHISE A	0.00	9.73	0.00	9.73	92.0	8.95
04/01/2017	CROSS, BRENDA	INVESCO AMERICAN FRANCHISE A	0.00	4.89	0.00	4.89	92.0	4.50
04/01/2017	BRIMER JR, ALBERT	INVESCO AMERICAN FRANCHISE A	0.00	1.55	0.00	1.55	92.0	1.43
04/01/2017	COOK, NOAH	INVESCO AMERICAN FRANCHISE A	0.00	0.12	0.00	0.12	92.0	0.11
04/01/2017	COOK, CALEB	INVESCO AMERICAN FRANCHISE A	0.00	0.15	0.00	0.15	92.0	0.14
04/01/2017	BISHOP, DENNIS	INVESCO AMERICAN FRANCHISE C	0.00	1.58	0.00	1.58	92.0	1.45
04/01/2017	TOLLETT, CHARLES	INVESCO ASIA PACIFIC GROWTH A	0.00	4.35	0.00	4.35	92.0	4.00
04/01/2017	PRACHT, MARK	INVESCO BALANCED RISK ALLOCATION A	0.00	5.78	0.00	5.78	92.0	5.32
04/01/2017	KIENTZ, KRAIG KERMIT	INVESCO BALANCED RISK ALLOCATION A	0.00	1.70	0.00	1.70	92.0	1.56
04/01/2017	MOSHER, AMY	INVESCO BALANCED RISK ALLOCATION A	0.00	1.29	0.00	1.29	92.0	1.19
04/01/2017	BISHOP, LARRY	INVESCO CASH RESERVE SHARES	0.00	1.38	0.00	1.38	92.0	1.27
04/01/2017	JAKOVAC, PATRICIA	INVESCO CASH RESERVE SHARES	0.00	1.51	0.00	1.51	92.0	1.39
04/01/2017	WEBB, STAN	INVESCO CASH RESERVE SHARES	0.00	1.76	0.00	1.76	92.0	1.62
04/01/2017	CROSS, BRENDA	INVESCO CASH RESERVE SHARES	0.00	8.14	0.00	8.14	92.0	7.49
04/01/2017	BRIMER JR, ALBERT	INVESCO CASH RESERVE SHARES	0.00	1.21	0.00	1.21	92.0	1.11
03/20/2017	NELSON, DENE	INVESCO CHARTER FUND A	200.00	6.00	0.00	6.00	92.0	5.52
03/20/2017	NELSON, JIM	INVESCO CHARTER FUND A	250.00	7.50	0.00	7.50	92.0	6.90
04/01/2017	BISHOP, DENNIS	INVESCO CHARTER FUND A	0.00	0.09	0.00	0.09	92.0	0.08
04/01/2017	PRACHT, MARK	INVESCO CHARTER FUND A	0.00	19.46	0.00	19.46	92.0	17.90
04/01/2017	KIENTZ, KRAIG KERMIT	INVESCO CHARTER FUND A	0.00	4.58	0.00	4.58	92.0	4.21
04/01/2017	MOSHER, AMY	INVESCO CHARTER FUND A	0.00	4.13	0.00	4.13	92.0	3.80
04/01/2017	HOUSTON, CAMMY	INVESCO CHARTER FUND A	0.00	5.96	0.00	5.96	92.0	5.48
04/01/2017	BISHOP, LARRY	INVESCO CHARTER FUND A	0.00	10.13	0.00	10.13	92.0	9.32
04/01/2017	ANDREWS, EMMALEE	INVESCO CHARTER FUND A	0.00	0.48	0.00	0.48	92.0	0.44
04/01/2017	JENDEL, JEFFREY	INVESCO CHARTER FUND A	0.00	6.05	0.00	6.05	92.0	5.57
04/01/2017	JAKOVAC, PATRICIA	INVESCO CHARTER FUND A	0.00	0.82	0.00	0.82	92.0	0.75
04/01/2017	NELSON, DENE	INVESCO CHARTER FUND A	0.00	18.41	0.00	18.41	92.0	16.94
04/01/2017	CROSS, MAX	INVESCO CHARTER FUND A	0.00	11.34	0.00	11.34	92.0	10.43
04/01/2017	WEBB, STAN	INVESCO CHARTER FUND A	0.00	12.25	0.00	12.25	92.0	11.27
04/01/2017	SMITH, TROY	INVESCO CHARTER FUND A	0.00	16.39	0.00	16.39	92.0	15.08
04/01/2017	NELSON, JIM	INVESCO CHARTER FUND A	0.00	19.03	0.00	19.03	92.0	17.51

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<b><u>BROKER TRANSACTIONS</u></b>								
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04/01/2017	CROSS, BRENDA	INVESCO CHARTER FUND A	0.00	13.86	0.00	13.86	92.0	12.75
04/01/2017	BRIMER JR, ALBERT	INVESCO CHARTER FUND A	0.00	10.86	0.00	10.86	92.0	9.99
04/01/2017	BRIMER JR, ALBERT	INVESCO COMSTOCK A	0.00	3.07	0.00	3.07	92.0	2.82
03/20/2017	NELSON, JIM	INVESCO DEVELOPING MARKETS A	50.00	1.50	0.00	1.50	92.0	1.38
04/01/2017	ANDREWS, EMMALEE	INVESCO DEVELOPING MARKETS A	0.00	0.14	0.00	0.14	92.0	0.13
04/01/2017	WEBB, STAN	INVESCO DEVELOPING MARKETS A	0.00	0.35	0.00	0.35	92.0	0.32
04/01/2017	NELSON, JIM	INVESCO DEVELOPING MARKETS A	0.00	4.40	0.00	4.40	92.0	4.05
04/01/2017	CROSS, BRENDA	INVESCO DEVELOPING MARKETS A	0.00	8.13	0.00	8.13	92.0	7.48
04/01/2017	PRACHT, MARK	INVESCO DIVERSIFIED DIVIDEND A	0.00	16.78	0.00	16.78	92.0	15.44
04/01/2017	JENDEL, JEFFREY	INVESCO DIVERSIFIED DIVIDEND A	0.00	1.71	0.00	1.71	92.0	1.57
04/01/2017	SMITH, TROY	INVESCO DIVERSIFIED DIVIDEND A	0.00	4.89	0.00	4.89	92.0	4.50
04/01/2017	MOSHER, AMY	INVESCO ENDEAVOR FUND A	0.00	0.99	0.00	0.99	92.0	0.91
04/01/2017	SMITH, TROY	INVESCO ENDEAVOR FUND A	0.00	5.70	0.00	5.70	92.0	5.24
04/01/2017	BISHOP, LARRY	INVESCO ENERGY FUND A	0.00	1.23	0.00	1.23	92.0	1.13
04/01/2017	CROSS, MAX	INVESCO ENERGY FUND A	0.00	3.40	0.00	3.40	92.0	3.13
04/01/2017	BISHOP, LARRY	INVESCO EQUITY AND INCOME A	0.00	11.64	0.00	11.64	92.0	10.71
04/01/2017	PRACHT, JULIE	INVESCO EQUITY AND INCOME A	0.00	0.52	0.00	0.52	92.0	0.48
04/01/2017	CROSS, BRENDA	INVESCO EQUITY AND INCOME A	0.00	5.60	0.00	5.60	92.0	5.15
04/01/2017	PRACHT, MARK	INVESCO EUROPEAN GROWTH FUND A	0.00	0.72	0.00	0.72	92.0	0.66
04/01/2017	WEBB, STAN	INVESCO GBL SMALL & MID CAP GROWTH	0.00	1.62	0.00	1.62	92.0	1.49
04/01/2017	BRIMER JR, ALBERT	INVESCO GBL SMALL & MID CAP GROWTH	0.00	1.12	0.00	1.12	92.0	1.03
04/01/2017	PRACHT, MARK	INVESCO GLOBAL CORE EQUITY A	0.00	3.19	0.00	3.19	92.0	2.93
04/01/2017	JENDEL, JEFFREY	INVESCO GLOBAL EQUITY A	0.00	2.63	0.00	2.63	92.0	2.42
04/01/2017	PRACHT, MARK	INVESCO GLOBAL EQUITY A	0.00	0.90	0.00	0.90	92.0	0.83
04/01/2017	CROSS, BRENDA	INVESCO GLOBAL GROWTH A	0.00	14.07	0.00	14.07	92.0	12.94
03/20/2017	NELSON, DENE	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
03/20/2017	NELSON, JIM	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
04/01/2017	BOHANNON, RONALD	INVESCO GROWTH ALLOCATION A	0.00	8.38	0.00	8.38	92.0	7.71
04/01/2017	NELSON, DENE	INVESCO GROWTH ALLOCATION A	0.00	11.14	0.00	11.14	92.0	10.25
04/01/2017	NELSON, JIM	INVESCO GROWTH ALLOCATION A	0.00	8.46	0.00	8.46	92.0	7.78
04/01/2017	BRIMER JR, ALBERT	INVESCO HIGH YIELD FUND A	0.00	3.02	0.00	3.02	92.0	2.78
04/01/2017	TOLLETT, CHARLES	INVESCO INTERNATIONAL GROWTH A	0.00	11.70	0.00	11.70	92.0	10.76
04/01/2017	CROSS, MAX	INVESCO MIDCAP CORE EQUITY A	0.00	4.75	0.00	4.75	92.0	4.37

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
04/01/2017	BRIMER JR, ALBERT	INVESCO MIDCAP CORE EQUITY A	0.00	4.49	0.00	4.49	92.0	4.13
04/01/2017	PRACHT, MARK	INVESCO MIDCAP GROWTH A	0.00	2.08	0.00	2.08	92.0	1.91
04/01/2017	SMITH, TROY	INVESCO MIDCAP GROWTH A	0.00	5.60	0.00	5.60	92.0	5.15
04/01/2017	CROSS, BRENDA	INVESCO MIDCAP GROWTH A	0.00	14.65	0.00	14.65	92.0	13.48
03/20/2017	NELSON, DENE	INVESCO MODERATE ALLOCATION A	200.00	6.00	0.00	6.00	92.0	5.52
04/01/2017	PRACHT, MARK	INVESCO MODERATE ALLOCATION A	0.00	1.51	0.00	1.51	92.0	1.39
04/01/2017	NELSON, DENE	INVESCO MODERATE ALLOCATION A	0.00	18.16	0.00	18.16	92.0	16.71
04/01/2017	CROSS, BRENDA	INVESCO REAL ESTATE A	0.00	5.85	0.00	5.85	92.0	5.38
04/01/2017	CROSS, BRENDA	INVESCO REAL ESTATE A	0.00	4.64	0.00	4.64	92.0	4.27
04/01/2017	BELL, JASON	INVESCO STRUCTURED CORE A	0.00	2.58	0.00	2.58	92.0	2.37
04/01/2017	SMITH, TROY	INVESCO STRUCTURED CORE A	0.00	13.22	0.00	13.22	92.0	12.16
04/01/2017	MOSHER UGMA/KS, CHEYENNE	INVESCO STRUCTURED CORE A	0.00	0.94	0.00	0.94	92.0	0.86
04/01/2017	BRIMER JR, ALBERT	INVESCO TECHNOLOGY A	0.00	2.05	0.00	2.05	92.0	1.89
04/01/2017	BELL, JASON	INVESCO TECHNOLOGY C	0.00	2.05	0.00	2.05	92.0	1.89
03/31/2017	NELSON, ARIKA	INVESCO VALUE OPP A	200.00	6.00	0.00	6.00	92.0	5.52
04/01/2017	BISHOP, LARRY	INVESCO VALUE OPP A	0.00	2.43	0.00	2.43	92.0	2.24
04/01/2017	JENDEL, JEFFREY	INVESCO VALUE OPP A	0.00	2.40	0.00	2.40	92.0	2.21
04/01/2017	PRACHT, MARK	INVESCO VALUE OPP A	0.00	1.68	0.00	1.68	92.0	1.55
04/01/2017	BELL, JASON	INVESCO VALUE OPP A	0.00	8.29	0.00	8.29	92.0	7.63
04/01/2017	POYNTER, REGAN	INVESCO VALUE OPP A	0.00	4.22	0.00	4.22	92.0	3.88
04/01/2017	MOSHER UTMA/KS, JUSTIN	INVESCO VALUE OPP A	0.00	0.41	0.00	0.41	92.0	0.38
04/01/2017	SMITH, TROY	INVESCO VALUE OPP A	0.00	5.97	0.00	5.97	92.0	5.49
04/01/2017	MOSHER UGMA/KS, CHEYENNE	INVESCO VALUE OPP A	0.00	0.41	0.00	0.41	92.0	0.38
04/01/2017	NELSON, ARIKA	INVESCO VALUE OPP A	0.00	10.74	0.00	10.74	92.0	9.88
04/01/2017	CROSS, BRENDA	INVESCO VALUE OPP A	0.00	7.42	0.00	7.42	92.0	6.83
04/01/2017	BRIMER JR, ALBERT	INVESCO VALUE OPP A	0.00	4.77	0.00	4.77	92.0	4.39
04/01/2017	BRIMER JR, ALBERT	INVESCO VALUE OPP A	0.00	2.20	0.00	2.20	92.0	2.02
04/01/2017	WOODBURN, JOSEPH	MFS CORE EQUITY A	0.00	0.98	0.00	0.98	92.0	0.90
04/01/2017	WOODBURN, JEAN	MFS CORE EQUITY A	0.00	0.79	0.00	0.79	92.0	0.73
04/01/2017	WOODBURN, JOSEPH	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.00	0.00	1.00	92.0	0.92
/ /	LOOK, RALPH	OPPENHEIMER CORE BOND A	0.00	30.32	0.00	30.32	92.0	27.89
/ /	LOOK, RALPH	OPPENHEIMER GLOBAL A	0.00	42.45	0.00	42.45	92.0	39.05
04/03/2017	PRACHT, CAROLE	PIMCO ALL ASSET A	3,000.00	97.50	0.00	97.50	92.0	89.70

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/03/2017	PRACHT, CAROLE	PIMCO FUNDAMENTAL INDEXPLUS AR FUND	3,500.00	113.75	0.00	113.75	92.0	104.65
04/03/2017	HOOTEN, JENNIFER	PUTNAM CAPITAL SPECTRUM A	0.00	2.31	0.00	2.31	92.0	2.13
04/03/2017	HOOTEN, JENNIFER	PUTNAM DIVERSIFIED INCOME A	0.00	1.14	0.00	1.14	92.0	1.05
04/03/2017	HOOTEN, JENNIFER	PUTNAM EQUITY INCOME A	0.00	2.61	0.00	2.61	92.0	2.40
04/03/2017	STEKOLL, BERNARD	PUTNAM EQUITY INCOME A	0.00	14.82	0.00	14.82	92.0	13.63
04/03/2017	STEKOLL, JOAN	PUTNAM EQUITY INCOME A	0.00	4.15	0.00	4.15	92.0	3.82
04/03/2017	HOOTEN, JENNIFER	PUTNAM GROWTH & INCOME A	0.00	3.27	0.00	3.27	92.0	3.01
04/03/2017	STEKOLL, BERNARD	PUTNAM GROWTH & INCOME A	0.00	15.38	0.00	15.38	92.0	14.15
04/03/2017	STEKOLL, JOAN	PUTNAM GROWTH & INCOME A	0.00	10.04	0.00	10.04	92.0	9.24
04/03/2017	HOOTEN, JENNIFER	PUTNAM GROWTH & INCOME A	0.00	0.45	0.00	0.45	92.0	0.41
04/03/2017	STEKOLL, BERNARD	PUTNAM INTERNATIONAL EQUITY-A	0.00	7.21	0.00	7.21	92.0	6.63
04/03/2017	STEKOLL, BERNARD	PUTNAM MULTI CAP GROWTH A	0.00	4.69	0.00	4.69	92.0	4.31
/ /	FRANZ, PJ	RYDEX ALPHA OPPORTUNITY A	0.00	0.52	0.00	0.52	92.0	0.48
/ /	THOMAS, MARY	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.21	0.00	0.21	92.0	0.19
/ /	LAWRENCE POLICE	RYDEX GUGG INVESTMENT GRADE BOND A	0.00	5.40	0.00	5.40	92.0	4.97
/ /	RANCILIO, KENNETH	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	1.23	0.00	1.23	92.0	1.13
/ /	THOMAS, MARY	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	0.12	0.00	0.12	92.0	0.11
/ /	RANCILIO, KENNETH	RYDEX GUGG S&P 500 PURE GROWTH A	0.00	2.69	0.00	2.69	92.0	2.47
/ /	LAWRENCE POLICE	RYDEX GUGG STYLEPLUS LARGE CORE A	0.00	8.48	0.00	8.48	92.0	7.80
/ /	ROGERS, D	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	22.45	0.00	22.45	92.0	20.65
/ /	WALMANN, JEFFREY	RYDEX MULTI HEDGE STRATEGIES A	0.00	0.43	0.00	0.43	92.0	0.40
04/04/2017	CHRISTIAN, ROBERT	TRANSAMERICA AA GROWTH A	0.00	0.53	0.00	0.53	92.0	0.49
04/04/2017	ATKINSON, MARSHA	TRANSAMERICA AA MOD GROWTH A	0.00	51.55	0.00	51.55	92.0	47.43
04/04/2017	MCADAM, JEANNETTE	TRANSAMERICA AA MOD GROWTH A	0.00	80.98	0.00	80.98	92.0	74.50
04/04/2017	SCHMITT, SUSAN	TRANSAMERICA AA MOD GROWTH A	0.00	8.01	0.00	8.01	92.0	7.37
04/04/2017	SMITH, SHARRILYN	TRANSAMERICA AA MOD GROWTH A	0.00	4.61	0.00	4.61	92.0	4.24
04/04/2017	SMITH, PHILLIP	TRANSAMERICA AA MOD GROWTH A	0.00	10.68	0.00	10.68	92.0	9.83
04/05/2017	MCADAM, JEANNETTE	TRANSAMERICA AA MOD GROWTH A	300.00	8.25	0.00	8.25	92.0	7.59
04/04/2017	ATKINSON, MARSHA	TRANSAMERICA AA MODERATE A	0.00	61.59	0.00	61.59	92.0	56.66
04/04/2017	DANDURAND, BEVERLY	TRANSAMERICA AA MODERATE A	0.00	15.34	0.00	15.34	92.0	14.11
04/04/2017	SMITH, SHARRILYN	TRANSAMERICA AA MODERATE A	0.00	2.28	0.00	2.28	92.0	2.10
04/04/2017	DANDURAND, BEVERLY	TRANSAMERICA CAPITAL GROWTH A	0.00	12.00	0.00	12.00	92.0	11.04
04/04/2017	SMITH, SHARRILYN	TRANSAMERICA CAPITAL GROWTH A	0.00	6.80	0.00	6.80	92.0	6.26

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/03/2017	CHRISTIAN, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	-1,000.00	-47.50	0.00	-47.50	92.0	-43.70
04/03/2017	CHRISTIAN, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	1,000.00	47.50	0.00	47.50	92.0	43.70
04/03/2017	CHRISTIAN, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	-1,000.00	-47.50	0.00	-47.50	92.0	-43.70
04/04/2017	ATKINSON, MARSHA	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	19.60	0.00	19.60	92.0	18.03
04/04/2017	WELCH, FRANK	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	8.80	0.00	8.80	92.0	8.10
04/04/2017	RHEA, JONNIE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	45.35	0.00	45.35	92.0	41.72
04/04/2017	DANDURAND, BEVERLY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	5.56	0.00	5.56	92.0	5.12
04/04/2017	DANDURAND, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	2.60	0.00	2.60	92.0	2.39
04/04/2017	SMITH, SHARRILYN	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	2.93	0.00	2.93	92.0	2.70
04/04/2017	DRESCHER-UPTON, MARY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.79	0.00	1.79	92.0	1.65
04/04/2017	DRESCHER-UPTON, MARY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.28	0.00	0.28	92.0	0.26
04/04/2017	SMITH, PHILLIP	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	4.01	0.00	4.01	92.0	3.69
04/04/2017	FLATTERY, VICKI	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	3.43	0.00	3.43	92.0	3.16
04/04/2017	OTTAWAY, DANNY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	3.43	0.00	3.43	92.0	3.16
04/04/2017	CHRISTIAN, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	10.09	0.00	10.09	92.0	9.28
04/04/2017	CHRISTIAN, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	11.05	0.00	11.05	92.0	10.17
04/04/2017	SCHMITT, ILA	TRANSAMERICA FLEXIBLE INCOME A	0.00	2.74	0.00	2.74	92.0	2.52
04/04/2017	FLATTERY, VICKI	TRANSAMERICA HIGH YIELD BOND A	0.00	2.45	0.00	2.45	92.0	2.25
04/04/2017	OTTAWAY, DANNY	TRANSAMERICA HIGH YIELD BOND A	0.00	2.45	0.00	2.45	92.0	2.25
04/04/2017	SCHMITT, ILA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	7.06	0.00	7.06	92.0	6.50
04/04/2017	MILLER, JANET	TRANSAMERICA MULTI-MANAGED BAL A	0.00	4.91	0.00	4.91	92.0	4.52
04/04/2017	SMITH, PHILLIP	TRANSAMERICA MULTI-MANAGED BAL A	0.00	7.24	0.00	7.24	92.0	6.66
04/04/2017	SMITH TRUST, PHILLIP	TRANSAMERICA SHORT-TERM BOND A	0.00	3.11	0.00	3.11	92.0	2.86
04/04/2017	SMITH, SHARRILYN	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	8.36	0.00	8.36	92.0	7.69
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$20,200.00</b>	<b>\$2,050.16</b>	<b>\$0.00</b>	<b>\$2,050.16</b>		<b>\$1,886.09</b>

**PRODUCT CATEGORY: VARIABLE ANNUITIES**

03/22/2017	SCHMIDT, SYLVIA	ING RELIASTAR	300.00	12.00	0.00	12.00	92.0	11.04
03/22/2017	SCHMITT, SUSAN	ING RELIASTAR	200.00	8.00	0.00	8.00	92.0	7.36
03/31/2017	CARR, KATHRYN	ALLIANZ ALTERITY ENHANCED	19,101.52	47.75	0.00	47.75	92.0	43.93
03/31/2017	ROGERS, DEBRA	ALLIANZ ALTERITY ENHANCED	78,575.35	98.22	0.00	98.22	92.0	90.36
03/31/2017	HAMPTON, ANDRE	ALLIANZ REWARDS ENHANCED	10,141.19	12.68	0.00	12.68	92.0	11.67
03/31/2017	DENNY, MICHAEL	ALLIANZ REWARDS ENHANCED	25,314.85	31.64	0.00	31.64	92.0	29.11
03/31/2017	LANDGREN, RICHARD	HARTFORD LEADERS	96,423.73	60.26	0.00	60.26	92.0	55.44

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<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
03/31/2017	MCADAM, JEANNETTE	JNL ASCENDER PLUS SELECT 01/10	71,404.11	89.26	0.00	89.26	92.0	82.12
03/31/2017	SPRAGUE, KRISTY	JNL ELITE ACCESS	29,202.92	6.08	0.00	6.08	92.0	5.59
03/31/2017	BAZIL, RALPH	JNL ELITE ACCESS	54,245.87	11.30	0.00	11.30	92.0	10.40
03/31/2017	CLEARY, MICHAEL	JNL ELITE ACCESS	59,795.46	12.46	0.00	12.46	92.0	11.46
03/31/2017	ARVANITIS, MICHELLE	JNL PERSPECTIVE II 05/05	25,022.39	20.85	0.00	20.85	92.0	19.18
03/31/2017	STOCKHAM, THOMAS	JNL PERSPECTIVE II 05/05	25,250.16	10.52	0.00	10.52	92.0	9.68
03/31/2017	KELLEY, JAMES	JNL PERSPECTIVE II 05/05	203,712.56	84.88	0.00	84.88	92.0	78.09
03/31/2017	CARPENTER JR, ROBERT	JNL PERSPECTIVE II 05/05	38,458.42	16.02	0.00	16.02	92.0	14.74
03/31/2017	HOFFMAN, FRANCIS	JNL PERSPECTIVE II 05/05	123,717.09	51.55	0.00	51.55	92.0	47.43
03/31/2017	EVERETT, TRAVIS	JNL PERSPECTIVE II 05/05	99,040.43	41.27	0.00	41.27	92.0	37.97
03/31/2017	BRIMER JR, ALBERT	JNL PERSPECTIVE II 05/05	70,997.08	29.58	0.00	29.58	92.0	27.21
03/31/2017	GREENING, CHRISTOPHER	JNL PERSPECTIVE II 05/05	52,151.60	21.73	0.00	21.73	92.0	19.99
03/31/2017	RHEA, JONNIE	JNL PERSPECTIVE II 05/05	77,516.13	32.30	0.00	32.30	92.0	29.72
03/31/2017	PRACHT, MARK	JNL PERSPECTIVE II 05/05	115,045.39	47.94	0.00	47.94	92.0	44.10
03/31/2017	ENGEL, CALVIN	JNL PERSPECTIVE II 05/05	153,988.01	64.16	0.00	64.16	92.0	59.03
03/31/2017	HESSE, RICHARD	JNL PERSPECTIVE II 05/05	45,301.46	18.88	0.00	18.88	92.0	17.37
03/31/2017	BROWN, MARY	JNL PERSPECTIVE L	17,927.84	19.42	0.00	19.42	92.0	17.87
03/31/2017	CRANE, BEVERLY	JNL PERSPECTIVE L	150,867.01	163.44	0.00	163.44	92.0	150.36
03/31/2017	DANDURAND, BEVERLY	JNL PERSPECTIVE L	152,218.20	164.90	0.00	164.90	92.0	151.71
03/31/2017	ROBINSON, DENNIS	JOHN HANCOCK VANTAGE	69,333.72	14.44	0.00	14.44	92.0	13.28
03/31/2017	KENNEDY, ROBERT	JOHN HANCOCK VENTURE	32,440.69	20.28	0.00	20.28	92.0	18.66
03/31/2017	HEARD, DAVID	JOHN HANCOCK VENTURE	13,400.18	8.38	0.00	8.38	92.0	7.71
03/31/2017	HEIMERMAN, JANET	JOHN HANCOCK VENTURE 2006	38,171.08	7.95	0.00	7.95	92.0	7.31
03/31/2017	ROBINSON, DENNIS	JOHN HANCOCK VENTURE III	114,582.43	95.49	0.00	95.49	92.0	87.85
04/01/2017	MINGEE, VIRGINIA	NATIONWIDE AM FUT II NOCDSC 24	9,896.57	24.74	0.00	24.74	92.0	22.76
04/01/2017	DANDURAND, ROBERT	NATIONWIDE BOA ACHIEVER 45	70,500.37	44.06	0.00	44.06	92.0	40.54
04/01/2017	KERSTING, LINDA	NATIONWIDE BOA ACHIEVER 45	95,062.38	59.41	0.00	59.41	92.0	54.66
04/01/2017	HART, JOHN	NATIONWIDE BOA FUTURE II 32	14,377.49	8.99	0.00	8.99	92.0	8.27
04/01/2017	DENNY, MICHAEL	NATIONWIDE BOA FUTURE II 32	17,163.63	32.18	0.00	32.18	92.0	29.61
04/01/2017	RARIDEN, RICHARD	NATIONWIDE BOA FUTURE II 32	20,265.45	12.67	0.00	12.67	92.0	11.66
04/01/2017	LOZANO, RODOLFO	NATIONWIDE BOA FUTURE II 32	37,618.60	94.05	0.00	94.05	92.0	86.53
04/01/2017	LEIGHTON, CAROL	NATIONWIDE BOA FUTURE II 32	125,929.00	314.82	0.00	314.82	92.0	289.63
04/01/2017	RUBENTHALER, RANDALL	NATIONWIDE BOA FUTURE II 32	77,405.40	48.38	0.00	48.38	92.0	44.51

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<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
04/01/2017	BENTCH, SANDRA	NATIONWIDE BOA FUTURE II 32	14,002.74	8.75	0.00	8.75	92.0	8.05
04/01/2017	CONRAD, TOBY	NATIONWIDE BOA IVB 01	578.60	0.36	0.00	0.36	92.0	0.33
04/01/2017	CRABTREE, MICHAEL	NATIONWIDE DESTINATION B 16	38,013.79	23.76	0.00	23.76	92.0	21.86
04/01/2017	ESCHENBRENNER, NICHOLAS	NATIONWIDE MARKETFLEX BB	12,071.71	30.18	0.00	30.18	92.0	27.77
04/01/2017	COOK, LLOYD	NATIONWIDE MARKETFLEX BB	861.58	0.54	0.00	0.54	92.0	0.50
04/01/2017	ATKINSON, MARSHA	NATIONWIDE MARKETFLEX BB	101,244.91	63.28	0.00	63.28	92.0	58.22
04/01/2017	BROWN, SHIRLEY	NATIONWIDE MARKETFLEX BB	110,855.99	221.71	0.00	221.71	92.0	203.97
04/01/2017	WIRTH, MARJORIE	NATIONWIDE MARKETFLEX BB	76,805.41	48.00	0.00	48.00	92.0	44.16
04/01/2017	WALTERS, CLARK	NATIONWIDE MARKETFLEX BB	27,834.06	34.79	0.00	34.79	92.0	32.01
04/01/2017	RAYMER, RICHARD	NATIONWIDE MARKETFLEX BB	82,050.35	102.56	0.00	102.56	92.0	94.36
03/31/2017	STEKOLL, BERNARD	PRU AS ADVISOR PLAN II - 1996	109,439.07	68.40	0.00	68.40	92.0	62.93
03/31/2017	MCSPADDEN-STREIB, GLORIA	PRU AS APEX II	86,395.87	215.99	0.00	215.99	92.0	198.71
03/31/2017	MCSPADDEN-STREIB, GLORIA	PRU AS APEX II	86,395.87	54.00	0.00	54.00	92.0	49.68
03/30/2017	ROBINSON, VIRGINIA	SBL ADVISOR PROGRAM	59,859.35	12.47	0.00	12.47	92.0	11.47
03/30/2017	CRANE, JEANNE	SBL ADVISOR PROGRAM	251.60	0.17	0.00	0.17	92.0	0.16
03/30/2017	BROWN, MARSHALL	SBL ADVISOR PROGRAM	5,341.00	3.56	0.00	3.56	92.0	3.28
03/30/2017	BLUMENTHAL, JAY	SBL ADVISOR PROGRAM	42,283.64	28.19	0.00	28.19	92.0	25.93
03/30/2017	PERICA, NICK	SBL ADVISOR PROGRAM	20,374.00	13.58	0.00	13.58	92.0	12.49
03/30/2017	PERICA, NICK	SBL ADVISOR PROGRAM	20,640.00	4.30	0.00	4.30	92.0	3.96
03/30/2017	PRATT, DAN	SBL ADVISOR PROGRAM	72,071.38	48.05	0.00	48.05	92.0	44.21
03/30/2017	PRATT, DAN	SBL ADVISOR PROGRAM	9,522.09	6.35	0.00	6.35	92.0	5.84
03/30/2017	WILSON, MICHAEL	SBL ADVISOR PROGRAM	14,280.00	2.98	0.00	2.98	92.0	2.74
03/30/2017	SCOTT, MELANIE	SBL ADVISOR PROGRAM	36,663.38	24.44	0.00	24.44	92.0	22.48
03/30/2017	KIMBROUGH, MICHAEL	SBL ADVISOR PROGRAM	25,376.94	16.92	0.00	16.92	92.0	15.57
03/30/2017	GAMMON, RICHARD	SBL ADVISOR PROGRAM	7,296.00	4.86	0.00	4.86	92.0	4.47
03/30/2017	SPARTAN, STEPHEN	SBL ADVISOR PROGRAM	17,166.00	11.44	0.00	11.44	92.0	10.52
03/30/2017	HUGGINS, LINDA	SBL ADVISOR PROGRAM	6,282.00	4.19	0.00	4.19	92.0	3.85
03/30/2017	GREENFIELD, CHARLES	SBL ADVISOR PROGRAM	77.00	0.05	0.00	0.05	92.0	0.05
03/30/2017	CHENNAULT, WILLIAM	SBL ADVISOR PROGRAM	11,663.83	7.78	0.00	7.78	92.0	7.16
03/30/2017	ALEXANDER, DEBORAH	SBL ADVISOR PROGRAM	2,515.00	1.68	0.00	1.68	92.0	1.55
03/30/2017	SCHILLING, BARBARA	SBL ADVISOR PROGRAM	12,618.00	8.41	0.00	8.41	92.0	7.74
03/30/2017	ROBINSON, ROSALEE	SBL ADVISOR PROGRAM	5,136.00	3.42	0.00	3.42	92.0	3.15
03/30/2017	SMITH, MAURICE	SBL ADVISOR PROGRAM	64.37	0.04	0.00	0.04	92.0	0.04

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/30/2017	HAYNES, VICKIE	SBL ADVISOR PROGRAM	10,994.00	7.33	0.00	7.33	92.0	6.74
03/30/2017	SANCHEZ, RICHARD	SBL ADVISOR PROGRAM	34,778.05	23.19	0.00	23.19	92.0	21.33
03/30/2017	SANCHEZ, RICHARD	SBL ADVISOR PROGRAM	7,886.60	5.25	0.00	5.25	92.0	4.83
03/30/2017	LEIGHTON, CAROL	SBL ADVISOR PROGRAM	12,801.43	8.53	0.00	8.53	92.0	7.85
03/30/2017	NOVAK, JAY	SBL ADVISOR PROGRAM	42,100.56	28.07	0.00	28.07	92.0	25.82
03/30/2017	LAHMANN, CYNTHIA	SBL ADVISOR PROGRAM	51,893.45	34.60	0.00	34.60	92.0	31.83
03/30/2017	WOLF, LORRAINE	SBL ADVISOR PROGRAM	5,424.00	3.62	0.00	3.62	92.0	3.33
03/30/2017	HILL, MICHAEL	SBL ADVISOR PROGRAM	14,125.86	9.42	0.00	9.42	92.0	8.67
03/30/2017	MOREHOUSE, MARIA	SBL ADVISOR PROGRAM	5,247.64	3.50	0.00	3.50	92.0	3.22
03/30/2017	LAHMANN, JAMES	SBL ADVISOR PROGRAM	40,397.00	33.66	0.00	33.66	92.0	30.97
03/30/2017	NEFF, SHERRILL	SBL ADVISOR PROGRAM	35,744.12	23.83	0.00	23.83	92.0	21.92
03/30/2017	NEFF, SHERRILL	SBL ADVISOR PROGRAM	23,930.00	15.95	0.00	15.95	92.0	14.67
03/30/2017	CHASTAIN, ANDREA	SBL ADVISOR PROGRAM	53,811.95	35.87	0.00	35.87	92.0	33.00
03/30/2017	JOHNSON, JUDY	SBL ADVISOR PROGRAM	56,287.85	37.53	0.00	37.53	92.0	34.53
03/30/2017	LEONARD, ROBERT	SBL ADVISOR PROGRAM	6,168.00	4.11	0.00	4.11	92.0	3.78
03/30/2017	DARR, YVONNE	SBL ADVISOR PROGRAM	24,514.94	16.34	0.00	16.34	92.0	15.03
03/30/2017	TUNG, CHAN	SBL ADVISOR PROGRAM	15,805.00	10.54	0.00	10.54	92.0	9.70
03/30/2017	COOPER, KATHERINE	SBL ADVISOR PROGRAM	7,357.00	4.90	0.00	4.90	92.0	4.51
03/30/2017	DAVIS, JOANNE	SBL ADVISOR PROGRAM	8,290.00	5.53	0.00	5.53	92.0	5.09
03/30/2017	YEAZEL, JOHN	SBL ADVISOR PROGRAM	61,128.02	40.75	0.00	40.75	92.0	37.49
03/30/2017	SAUNDERS, TONI	SBL ADVISOR PROGRAM	3,762.00	2.51	0.00	2.51	92.0	2.31
03/30/2017	POWELL, MATTHEW	SBL ADVISOR PROGRAM	30,552.43	20.37	0.00	20.37	92.0	18.74
03/30/2017	MCDOWELL, DENISE	SBL ADVISOR PROGRAM	47,232.46	31.49	0.00	31.49	92.0	28.97
03/30/2017	SUTTON, LINDA	SBL ADVISOR PROGRAM	52,432.68	34.96	0.00	34.96	92.0	32.16
03/30/2017	DUFFENDACK, PAULA	SBL SECUREDESIGNS VA	22,840.25	8.57	0.00	8.57	92.0	7.88
03/30/2017	MCADAM, DANIEL	SBL SECUREDESIGNS VA	92,171.17	19.17	0.00	19.17	92.0	17.64
03/30/2017	RHEA, JONNIE	SBL SECUREDESIGNS VA	95,202.80	19.81	0.00	19.81	92.0	18.23
03/30/2017	BENNETT, CARA	SBL SECUREDESIGNS VA	10,801.01	2.25	0.00	2.25	92.0	2.07
04/04/2017	WINN, VALDENIA	SBL VARIFLEX VA	150.00	7.50	0.00	7.50	92.0	6.90
04/04/2017	BENNETT, TERESA	SBL VARIFLEX VA	40.00	2.00	0.00	2.00	92.0	1.84
03/03/2017	OTTAWAY, SANDRA	TRANSAMERICA FREEDOM	1,735.23	1.45	0.00	1.45	92.0	1.33
03/04/2017	OTTAWAY, SANDRA	TRANSAMERICA FREEDOM	6,216.95	5.18	0.00	5.18	92.0	4.77
03/24/2017	HOSS, JODENE	TRANSAMERICA PRINCIPIUM	259,377.64	108.07	0.00	108.07	92.0	99.42

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**BROKER TRANSACTIONS****PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS**

* VARIABLE ANNUITIES SUBTOTAL *			\$4,789,297.38	\$3,653.98	\$0.00	\$3,653.98		\$3,361.69
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
03/31/2017	SCHMIDT, ALAN	ING RELIASTAR	0.00	7.28	0.00	7.28	92.0	6.70
03/31/2017	SCHMIDT, SYLVIA	ING RELIASTAR	0.00	7.72	0.00	7.72	92.0	7.10
03/31/2017	SCHMITT, SUSAN	ING RELIASTAR	0.00	5.10	0.00	5.10	92.0	4.69
03/20/2017	MCSPADDEN-STREIB, GLORIA	ING OPPORTUNITIES	0.00	78.31	0.00	78.31	92.0	72.05
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$98.41	\$0.00	\$98.41		\$90.54
*** BROKER TRANSACTIONS TOTAL ***			\$4,809,497.38	\$5,802.55	\$0.00	\$5,802.55		\$5,338.32

**ADJUSTMENTS**

04/15/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
04/15/2017	*MARKETING LIBRARY: MARKETING LIBRA	0.00						-20.00
04/15/2017	*MISC: REG. FEE INV. WILLIAMSON	0.00						-642.09
04/15/2017	*TECH: ADMIN: WILLIAMSON	0.00						-100.00
04/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,337.09

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,338.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,337.09
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,001.23
Year-to-date Gross	\$25,828.33
Year-to-date Earnings	\$23,741.29