



Concorde Investment Services, LLC

03/06/2017
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COMMISSION STATEMENT

February 28, 2017

WILLIAM THOMAS
2565 West Maple Road

BROKER #: T123 Terminated 12/31/2016
BRANCH: SECURE ASSET FINANCI

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/15/2017	THOMAS, NATALIE	AM FUNDS AMCAP 529A	100.00	4.25	0.00	4.25	0.0	0.00
02/15/2017	VALICEVIC, ROBERT	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	0.0	0.00
02/15/2017	SHERMAN, BROOKE	AMERICAN FDS GROWTH PORTFOLIO 529C	50.00	0.50	0.00	0.50	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$5.25	\$0.00	\$5.25		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/16/2017	KUPPE, MARK	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	0.00
02/17/2017	THOMAS, MARIA	NWL NEXT GEN II FP VUL	250.00	7.50	0.00	7.50	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$450.00	\$11.50	\$0.00	\$11.50		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$650.00	\$16.75	\$0.00	\$16.75		\$0.00

SPLIT TRANSACTIONS

BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240

02/15/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.25	0.00	0.25	0.0	0.00
02/17/2017	FEY, MICHAEL	AM FUNDS AMERICAN BALANCED C	50.00	0.25	0.00	0.25	0.0	0.00
02/15/2017	THOMPSON, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC C	200.00	1.00	0.00	1.00	0.0	0.00
02/15/2017	BRACHER, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA-529	50.00	0.25	0.00	0.25	0.0	0.00
02/15/2017	BOWLBY, TAMIE	AMERICAN FUND COLLEGE 2027 FUND 529	200.00	3.50	0.00	3.50	0.0	0.00
02/15/2017	BOWLBY, BRET	AMERICAN FUNDS COLLEGE 2024 FD-529A	250.00	4.38	0.00	4.38	0.0	0.00
01/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.08	0.00	0.08	0.0	0.00
/ /	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	1.50	0.00	1.50	0.0	0.00
01/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	14.44	0.00	14.44	0.0	0.00
01/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	11.83	0.00	11.83	0.0	0.00
01/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	1.27	0.00	1.27	0.0	0.00
01/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	3.79	0.00	3.79	0.0	0.00
01/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	4.81	0.00	4.81	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
01/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	3.94	0.00	3.94	0.0	0.00
02/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	1.50	0.00	1.50	0.0	0.00
02/15/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	3.00	0.00	3.00	0.0	0.00
02/07/2017	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	0.50	0.00	0.50	0.0	0.00
02/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.45	0.00	0.45	0.0	0.00
02/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	2.13	0.00	2.13	0.0	0.00
02/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	1.05	0.00	1.05	0.0	0.00
02/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	0.56	0.00	0.56	0.0	0.00
02/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	2.46	0.00	2.46	0.0	0.00
02/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.45	0.00	0.45	0.0	0.00
02/13/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.25	0.00	0.25	0.0	0.00
02/13/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.25	0.00	0.25	0.0	0.00
02/17/2017	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	0.76	0.00	0.76	0.0	0.00
02/15/2017	REICH, MICHELLE	PRU PREMIER INVT VA C	50.00	0.00	0.00	0.00	0.0	0.00
02/16/2017	BONGIOVANNI TOD, CLARICE	TRANSAMERICA AA CONSERVATIVE A	250.00	5.94	0.00	5.94	0.0	0.00
01/01/2017	THOMPSON, MARGARET	TRANSAMERICA LIBERTY	17,869.76	7.45	0.00	7.45	0.0	0.00
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$21,295.54	\$78.04	\$0.00	\$78.04		\$0.00
*** SPLIT TRANSACTIONS TOTAL ***			\$21,295.54	\$78.04	\$0.00	\$78.04		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$6,429.30
Year-to-date Earnings	\$5,706.04