

**COMMISSION STATEMENT****October 15, 2017****P124-JONP/MARC/DAN/J FT-FL JUNO CIRE/LINS**

14255 US Hwy One

Suite 232

Juno Beach, FL 33408

BROKER #: P124

BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^09/28/2017	CAMPBELL, VICTORIA	DREYFUS HIGH YIELD FUND CLASS I	-3,896.81	0.00	0.00	0.00	100.0	0.00
^10/03/2017	CAMPBELL, CHARLES	DREYFUS HIGH YIELD FUND CLASS I	-447.40	0.00	0.00	0.00	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	FORMULA FOLIOS U.S. EQUITY FUND INS	-5,190.36	0.00	22.00	-22.00	100.0	0.00
^10/03/2017	CAMPBELL, CHARLES	FORMULA FOLIOS U.S. EQUITY FUND INS	-1,108.44	0.00	22.00	-22.00	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	INVESCO HIGH YIELD FUND A	-3,887.61	0.00	0.00	0.00	100.0	0.00
^10/03/2017	CAMPBELL, CHARLES	INVESCO HIGH YIELD FUND A	-449.10	0.00	0.00	0.00	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	NEUBERGER BERMAN HIGH INCOME BOND F	-3,882.39	0.00	0.00	0.00	100.0	0.00
^10/03/2017	CAMPBELL, CHARLES	NEUBERGER BERMAN HIGH INCOME BOND F	-447.42	0.00	0.00	0.00	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	PIMCO HIGH YIELD SPECTRUM FUND CLAS	-3,884.08	0.00	0.00	0.00	100.0	0.00
^10/03/2017	CAMPBELL, CHARLES	PIMCO HIGH YIELD SPECTRUM FUND CLAS	-447.32	0.00	0.00	0.00	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	PRINCIPAL FUNDS HIGH YIELD II A	-3,904.33	0.00	0.00	0.00	100.0	0.00
^10/03/2017	CAMPBELL, CHARLES	PRINCIPAL FUNDS HIGH YIELD II A	-448.15	0.00	0.00	0.00	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	PROFUNDS ULTRA MIDCAP INV	-911.02	0.00	0.00	0.00	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	REDWOOD MANAGED VOLATILITY FUND CLA	-23,374.54	0.00	22.00	-22.00	100.0	0.00
^10/03/2017	CAMPBELL, CHARLES	REDWOOD MANAGED VOLATILITY FUND CLA	-5,192.26	0.00	22.00	-22.00	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	ULTRABULL-INV	-983.83	0.00	0.00	0.00	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	ULTRASMALL-CAP-INV	-927.54	0.00	0.00	0.00	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	VIRTUS SEIX HIGH INCOME FUND CLASS	-3,916.84	0.00	0.00	0.00	100.0	0.00
^10/03/2017	CAMPBELL, CHARLES	VIRTUS SEIX HIGH INCOME FUND CLASS	-450.03	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-63,749.47	\$0.00	\$88.00	\$-88.00		\$0.00

PRODUCT CATEGORY: STOCKS

^09/28/2017	CAMPBELL, VICTORIA	FIDELITY COVINGTON TR MSCI FINLS IN	-1,209.02	30.00	22.50	7.50	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	FIDELITY COVINGTON TR MSCI REAL EST	-3,259.16	30.00	22.50	7.50	100.0	0.00
^10/03/2017	CAMPBELL, CHARLES	FIDELITY COVINGTON TR MSCI REAL EST	-701.83	30.00	22.50	7.50	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	ISHARES CORE S&P SMALL CAP ETF	-738.01	30.00	22.50	7.50	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	ISHARES TR CORE MSCI TOTAL INTL STK	-4,177.29	30.00	22.50	7.50	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
^10/03/2017	CAMPBELL, CHARLES	ISHARES TR CORE MSCI TOTAL INTL STK	-856.53	30.00	22.50	7.50	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	ISHARES TR CORE S&P TOTAL U S STK M	-631.77	30.00	22.50	7.50	100.0	0.00
^10/03/2017	CAMPBELL, CHARLES	ISHARES TR CORE S&P TOTAL U S STK M	-869.30	30.00	22.50	7.50	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	ISHARES TR IBOX \$ HIGH YIELD CORP	-30,664.25	30.00	22.52	7.48	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	ISHARES TR IBOX USD INVT GRADE COR	-10,643.91	30.00	22.50	7.50	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	ISHARES TR INTL TREASURY BD ETF	-11,671.42	30.00	22.50	7.50	100.0	0.00
^09/28/2017	CAMPBELL, VICTORIA	ISHARES TR S&P 500 INDEX FD	-3,778.08	30.00	22.50	7.50	100.0	0.00
^10/03/2017	CAMPBELL, CHARLES	NORTHERN LTS FD TR IV FORMULA FOLIO	-11,709.98	30.00	22.70	7.30	100.0	0.00
^10/03/2017	CAMPBELL, CHARLES	NORTHERN LTS FD TR IV FORMULA FOLIO	-1,097.58	30.00	22.50	7.50	100.0	0.00
* STOCKS SUBTOTAL *			\$-82,008.13	\$420.00	\$315.22	\$104.78		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

^09/18/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.18	0.00	0.18	100.0	0.00
^09/14/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.56	0.00	4.56	100.0	0.00
^09/14/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	14.08	0.00	14.08	100.0	0.00
^09/14/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	9.29	0.00	9.29	100.0	0.00
^09/14/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	6.74	0.00	6.74	100.0	0.00
^09/15/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.05	0.00	1.05	100.0	0.00
^09/15/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.61	0.00	2.61	100.0	0.00
^09/15/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.13	0.00	0.13	100.0	0.00
^09/15/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.38	0.00	0.38	100.0	0.00
^09/20/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.63	0.00	2.63	100.0	0.00
^09/20/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.89	0.00	7.89	100.0	0.00
^09/20/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.29	0.00	3.29	100.0	0.00
^09/20/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.86	0.00	9.86	100.0	0.00
^09/20/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.19	0.00	2.19	100.0	0.00
^09/20/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.57	0.00	6.57	100.0	0.00
^09/20/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.63	0.00	2.63	100.0	0.00
^09/20/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.89	0.00	7.89	100.0	0.00
^09/20/2017	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.67	0.00	1.67	100.0	0.00
^09/20/2017	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.59	0.00	2.59	100.0	0.00
^09/20/2017	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.47	0.00	4.47	100.0	0.00
^09/20/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.58	0.00	3.58	100.0	0.00
^09/20/2017	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.36	0.00	1.36	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^09/20/2017	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.27	0.00	4.27	100.0	0.00
^09/20/2017	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	13.05	0.00	13.05	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$112.96	\$0.00	\$112.96		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$-145,757.60	\$532.96	\$403.22	\$129.74		\$0.00

ADJUSTMENTS

10/15/2017		*AUDIT FEE: BRANCH EXAM	0.00					0.02
10/15/2017		*EO INS: CURRENT MONTH INS	0.00					0.00
10/15/2017		*EO INS: CURRENT MONTH-T THOMPSON	0.00					0.00
10/15/2017		*EO INS: N ELIAS	0.00					0.00
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					0.02
10/15/2017		*PERSHING: MARK UPS	0.00					0.00
10/15/2017		*PERSHING: MF PROSPECTUS	0.00					-0.01
10/15/2017		*TECH: CURRENT MONTH TECH	0.00					0.00
10/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					0.00
10/15/2017		*TECH: N ELIAS	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.03

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.03
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.11
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.14
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00