



Concorde Investment Services, LLC

03/13/2018

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COMMISSION STATEMENT**March 15, 2018**FRED CHASALOW
2523 Hastings DriveBROKER #: C121 Terminated 12/31/2015
BRANCH: FRED CHASALOW

Belmont, CA 94002-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: A132 - HERB/FRED ALSTON/CHASALOW #413</u>								
03/01/2018	COHEN, LORI PIERCE-	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.92	0.00	0.92	72.0	0.66
03/01/2018	COHEN, LORI PIERCE-	AM FUNDS AMERICAN MUTUAL 529C	0.00	1.63	0.00	1.63	72.0	1.17
03/01/2018	BRADY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.70	0.00	0.70	72.0	0.50
03/01/2018	BRADY, JOHN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.22	0.00	4.22	72.0	3.04
03/01/2018	COHEN, LORI PIERCE-	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.07	0.00	1.07	72.0	0.77
03/01/2018	COHEN, LORI PIERCE-	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	7.67	0.00	7.67	72.0	5.52
03/01/2018	BRADY, JOHN	AM FUNDS HIGH INCOME TRUST 529A	0.00	0.98	0.00	0.98	72.0	0.71
03/01/2018	BRADY, JOHN	AM FUNDS ICA 529A	0.00	11.35	0.00	11.35	72.0	8.17
03/01/2018	COHEN, LORI PIERCE-	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.83	0.00	0.83	72.0	0.60
03/01/2018	COHEN, LORI PIERCE-	AM FUNDS NEW PERSPECTIVE 529C	0.00	7.03	0.00	7.03	72.0	5.06
03/01/2018	BRADY, JOHN	AM FUNDS SMALLCAP WORLD-529A	0.00	1.35	0.00	1.35	72.0	0.97
03/06/2018	LAYCOCK, VICKI	APPLE INC COM	-39,758.63	7.33	7.49	-0.16	72.0	-0.12
03/06/2018	LAYCOCK, VICKI	ARK ETF TR WEB X.0 ETF	39,762.59	7.33	7.70	-0.37	72.0	-0.27
02/12/2018	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	2.78	0.00	2.78	72.0	2.00
02/12/2018	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	0.41	0.00	0.41	72.0	0.30
02/12/2018	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	2.02	0.00	2.02	72.0	1.45
03/07/2018	CHASALOW, FRED	CALL 100 PATTERN ENERGY GROUP INC C	-1,060.00	8.99	8.99	0.00	72.0	0.00
02/15/2018	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	0.44	0.00	0.44	72.0	0.32
02/16/2018	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	1.03	0.00	1.03	72.0	0.74
02/16/2018	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	4.03	0.00	4.03	72.0	2.90
02/28/2018	CHASALOW, FRED	ONELIFE TECHNOLOGIES CORP	2,820.00	7.33	8.33	-1.00	72.0	-0.72
03/06/2018	CHASALOW, FRED	PATTERN ENERGY GROUP INC CL A	17,029.90	7.33	7.83	-0.50	72.0	-0.36
02/21/2018	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	0.77	0.00	0.77	72.0	0.55
* A132 - HERB/FRED ALSTON/CHASALOW SUBTOTAL *			\$18,793.86	\$87.54	\$40.34	\$47.20		\$33.96
*** SPLIT TRANSACTIONS TOTAL ***			\$18,793.86	\$87.54	\$40.34	\$47.20		\$33.96

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$33.96
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$33.96
Year-to-date Gross	\$4,967.02
Year-to-date Earnings	\$3,541.76