



Concorde Investment Services, LLC

12/28/2017

Page No. 1

COMMISSION STATEMENT

December 31, 2017

BRENT LEE

13423 Kings View Lane

Williston, ND 58801-

BROKER #: L137

BRANCH: TRUWEALTH FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
12/01/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	7.53	0.00	7.53	90.0	6.78
12/01/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	12.95	0.00	12.95	90.0	11.66
12/01/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	8.58	0.00	8.58	90.0	7.72
12/01/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	6.65	0.00	6.65	90.0	5.99
* 529 PLANS SUBTOTAL *			\$1,020.00	\$35.71	\$0.00	\$35.71		\$32.15
*** DIRECT TRANSACTIONS TOTAL ***			\$1,020.00	\$35.71	\$0.00	\$35.71		\$32.15

SPLIT TRANSACTIONS

BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #10

12/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
12/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
12/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
12/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
12/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
12/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
12/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
12/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
12/01/2017	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
12/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
12/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
12/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
12/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
12/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
12/20/2017	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
12/20/2017	LEE, DONALD	AM FUNDS ICA 529C	17.00	0.07	0.00	0.07	90.0	0.06

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #10</u>								
12/20/2017	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
12/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
12/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
12/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
12/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
12/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
12/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.07	0.00	0.07	90.0	0.06
12/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	16.50	0.07	0.00	0.07	90.0	0.06
12/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.07	0.00	0.07	90.0	0.06
12/20/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	50.00	0.85	0.00	0.85	90.0	0.77
12/20/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	50.00	0.85	0.00	0.85	90.0	0.77
12/01/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	180.00	3.15	0.00	3.15	90.0	2.84
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$905.00	\$13.27	\$0.00	\$13.27		\$11.92
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
12/15/2017	FEE, ERIC	COTTONWOOD MULTIFAMILY REIT II, INC	75,000.00	0.00	0.00	0.00	90.0	0.00
12/18/2017	MILLER, CHARLES	COTTONWOOD MULTIFAMILY REIT II, INC	72,750.00	0.00	0.00	0.00	90.0	0.00
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$147,750.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
12/22/2017	KASMER, SARA	COTTONWOOD MULTIFAMILY REIT II, INC	35,000.00	0.00	0.00	0.00	90.0	0.00
12/11/2017	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	13.81	0.00	13.81	90.0	12.43
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$35,000.00	\$13.81	\$0.00	\$13.81		\$12.43
*** SPLIT TRANSACTIONS TOTAL ***			\$183,655.00	\$27.08	\$0.00	\$27.08		\$24.35

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
12/31/2017		*CONCIERGE SVC: 203162 BARTINGALE	0.00					-25.00
12/31/2017		*CONCIERGE SVC: 204730 KEMPER	0.00					-25.00
12/31/2017		*CONCIERGE SVC: 204998 FEE	0.00					-25.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-75.00

BROKER COMMISSION TOTALS

Broker Transactions	\$32.15
Split Transactions	\$24.35
Override Transactions	\$0.00
Adjustments	\$-75.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-18.50
Year-to-date Gross	\$84,888.26
Year-to-date Earnings	\$76,304.50

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