

COMMISSION STATEMENT

July 15, 2018

MARIE OFFITT-D047

BROKER #: D047

BRANCH: DME

, TX

COMMISSION STATEMENT for MARIE OFFITT-D047

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
06/29/2018	00067212328	GEORGE C CARLISLE	MF	INVESCO COMSTOCK FUND - CLASS A	B	25.00	1.25	0.00	1.25	70.0	0.88
06/28/2018	00068387199	JACKSON, DERRICK	MF	CAPITAL WORLD GROWTH AND INCOME	B	426.25	8.53	0.00	8.53	70.0	5.97
06/28/2018	00068387199	JACKSON, DERRICK	MF	CAPITAL WORLD GROWTH AND INCOME	B	426.25	8.53	0.00	8.53	70.0	5.97
06/28/2018	00068387199	JACKSON, DERRICK	MF	NEW WORLD FUND-A	B	426.25	8.53	0.00	8.53	70.0	5.97
06/28/2018	00068387199	JACKSON, DERRICK	MF	NEW WORLD FUND-A	B	426.25	8.53	0.00	8.53	70.0	5.97
06/28/2018	00068387199	JACKSON, DERRICK	MF	THE GROWTH FUND OF AMERICA-A	B	439.17	8.78	0.00	8.78	70.0	6.15
06/28/2018	00068387199	JACKSON, DERRICK	MF	THE GROWTH FUND OF AMERICA-A	B	439.17	8.78	0.00	8.78	70.0	6.15
07/05/2018	00068387199	JACKSON, DERRICK	MF	CAPITAL WORLD GROWTH AND INCOME	B	426.25	8.53	0.00	8.53	70.0	5.97
07/05/2018	00068387199	JACKSON, DERRICK	MF	CAPITAL WORLD GROWTH AND INCOME	B	426.25	8.53	0.00	8.53	70.0	5.97
07/05/2018	00068387199	JACKSON, DERRICK	MF	NEW WORLD FUND-A	B	426.25	8.53	0.00	8.53	70.0	5.97
07/05/2018	00068387199	JACKSON, DERRICK	MF	NEW WORLD FUND-A	B	426.25	8.53	0.00	8.53	70.0	5.97
07/05/2018	00068387199	JACKSON, DERRICK	MF	THE GROWTH FUND OF AMERICA-A	B	439.17	8.78	0.00	8.78	70.0	6.15
07/05/2018	00068387199	JACKSON, DERRICK	MF	THE GROWTH FUND OF AMERICA-A	B	439.17	8.78	0.00	8.78	70.0	6.15
06/25/2018	00068562081	ROBERTS, BENJAMIN	MF	NEW PERSPECTIVE FUND-A	B	321.75	12.07	0.00	12.07	70.0	8.45
06/25/2018	00068562081	ROBERTS, BENJAMIN	MF	THE GROWTH FUND OF AMERICA-A	B	331.50	12.43	0.00	12.43	70.0	8.70
06/25/2018	00068562081	ROBERTS, BENJAMIN	MF	THE NEW ECONOMY FUND-A	B	321.75	12.07	0.00	12.07	70.0	8.45
06/28/2018	00068623960	FIRST BAPTIST	MF	CAPITAL INCOME BUILDER-A	B	1,118.75	22.38	0.00	22.38	70.0	15.67
06/28/2018	00068623960	FIRST BAPTIST	MF	THE GROWTH FUND OF AMERICA-A	B	1,118.75	22.38	0.00	22.38	70.0	15.67
06/28/2018	00068623960	FIRST BAPTIST	MF	THE INCOME FUND OF AMERICA-A	B	1,118.75	22.38	0.00	22.38	70.0	15.67
06/28/2018	00068623960	FIRST BAPTIST	MF	THE INVESTMENT COMPANY OF	B	1,118.75	22.38	0.00	22.38	70.0	15.67
06/28/2018	00075964908	MAYS, GARY	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
06/28/2018	00081281401	HAMILTON, MOLLY	MF	CAPITAL INCOME BUILDER-A	B	25.00	1.25	0.00	1.25	70.0	0.88
06/28/2018	00081281401	HAMILTON, MOLLY	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	70.0	0.88
06/28/2018	00085289727	CRUTCHER,	MF	CAPITAL INCOME BUILDER-529A	B	25.00	1.25	0.00	1.25	70.0	0.88
06/28/2018	00085289747	CRUTCHER,	MF	CAPITAL INCOME BUILDER-529A	B	25.00	1.25	0.00	1.25	70.0	0.88
06/28/2018	00085828612	CRUTCHER,	MF	CAPITAL WORLD GROWTH AND INCOME	B	100.00	5.00	0.00	5.00	70.0	3.50
07/05/2018	04000248795	DOROTHY H JOYNER	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
07/05/2018	04000248831	DOROTHY H JOYNER	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
07/05/2018	04000248863	DOROTHY H JOYNER	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
07/02/2018	04000580926	LEWISFELEC	MF	CAPITAL INCOME BUILDER-A	B	100.00	5.00	0.00	5.00	70.0	3.50
07/02/2018	04000580926	LEWISFELEC	MF	THE INCOME FUND OF AMERICA-A	B	100.00	5.00	0.00	5.00	70.0	3.50

COMMISSION STATEMENT for MARIE OFFITT-D047

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/05/2018 040007111016	WILLIAMS, MICHAEL	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
07/05/2018 040007111016	WILLIAMS, MICHAEL	MF THE NEW ECONOMY FUND-A	B	50.00	2.50	0.00	2.50	70.0	1.75
07/02/2018 04000718508	CARTER, SHANE	MF FUNDAMENTAL INVESTORS-A	B	50.00	2.50	0.00	2.50	70.0	1.75
07/02/2018 04000718508	CARTER, SHANE	MF NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50	70.0	1.75
07/02/2018 04000718508	CARTER, SHANE	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
07/02/2018 04000718508	CARTER, SHANE	MF THE NEW ECONOMY FUND-A	B	50.00	2.50	0.00	2.50	70.0	1.75
* MUTUAL FUNDS SUBTOTAL *				\$11,541.68	\$275.70	\$0.00	\$275.70		\$193.04

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018	(None)	VARIOUS, OFFITT	MFT OPPENHEIMER	B	0.00	2.03	0.00	2.03	70.0	1.42
07/01/2018	00060451120	JACKSON, LAURIE	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	13.00	0.00	13.00	70.0	9.10
07/01/2018	00067212328	GEORGE C CARLISLE	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	1.27	0.00	1.27	70.0	0.89
07/09/2018	00655762862	HIBBLER, ADRIANA	MFT FIDELITY ADVISOR BIOTECH FD CL	B	0.00	8.40	0.00	8.40	70.0	5.88
07/09/2018	00655762862	HIBBLER, ADRIANA	MFT FIDELITY ADVISOR VALUE	B	0.00	4.07	0.00	4.07	70.0	2.85
07/01/2018	00671943693	MAYS, GARY	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	0.85	0.00	0.85	70.0	0.60
07/01/2018	00671943926	WILHITE, DANIEL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.26	0.00	0.26	70.0	0.18
07/01/2018	00671943926	WILHITE, DANIEL	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	0.45	0.00	0.45	70.0	0.32
07/01/2018	00671959437	TRAN MIN ACT-TN,	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	0.43	0.00	0.43	70.0	0.30
07/01/2018	00671959438	OLUTA A GBASIN,	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	0.51	0.00	0.51	70.0	0.36
07/01/2018	00671997678	MCQUIDDY, LINDA	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	0.86	0.00	0.86	70.0	0.60
07/01/2018	00672001122	GASTON, ANGEL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.64	0.00	1.64	70.0	1.15
07/01/2018	00672001122	GASTON, ANGEL	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	3.60	0.00	3.60	70.0	2.52
07/01/2018	00672001122	GASTON, ANGEL	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	2.12	0.00	2.12	70.0	1.48
07/01/2018	00672001122	GASTON, ANGEL	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	2.05	0.00	2.05	70.0	1.44
07/01/2018	00672048323	IGE, ABEL	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	0.43	0.00	0.43	70.0	0.30
07/01/2018	00672090643	WILLIAMS, EUDITH	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.28	0.00	0.28	70.0	0.20
07/01/2018	00672090643	WILLIAMS, EUDITH	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.03	0.00	1.03	70.0	0.72
07/01/2018	00672200148	SHAW, FERRELL	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	3.42	0.00	3.42	70.0	2.39
07/01/2018	08187857253	HIBBLER, ADRIANA	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	12.37	0.00	12.37	70.0	8.66
07/01/2018	08187857257	HIBBLER, ADRIANA	MFT MFS CORE EQUITY FUND-A	B	0.00	11.44	0.00	11.44	70.0	8.01
07/01/2018	08187857261	HIBBLER, ADRIANA	MFT MASS INVESTORS GROWTH STOCK	B	0.00	11.74	0.00	11.74	70.0	8.22
07/01/2018	08191006046	JACKSON, DERRICK	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	11.30	0.00	11.30	70.0	7.91
07/01/2018	08800698412	LOWE JT TEN,	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	0.12	0.00	0.12	70.0	0.08
07/01/2018	08800798873	SHARON D MCKIBBEN	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	1.98	0.00	1.98	70.0	1.39
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$95.65	\$0.00	\$95.65		\$66.97
*** DIRECT TRANSACTIONS TOTAL ***					\$11,541.68	\$371.35	\$0.00	\$371.35		\$260.01

BROKER COMMISSION TOTALS

Broker Transactions	\$260.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-0.54
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$259.47
Year-to-date Commissions	\$4,584.59