



COMMISSION STATEMENT

July 02, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/02/2018	***-**-80FB - CURD, NANCY	LIFEMARK INVESTMENT ADVISORY FEE	25.53	25.53	0.00	25.53	70.0	17.87
	ACCOUNT #: 925344088	BUY 0 Units @0.0000						
07/02/2018	***-**-33FB - AUDY, JAMES	LIFEMARK INVESTMENT ADVISORY FEE	167.64	167.64	0.00	167.64	70.0	117.35
	ACCOUNT #: 925433071	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			<u>\$193.17</u>	<u>\$193.17</u>	<u>\$0.00</u>	<u>\$193.17</u>		<u>\$135.22</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	***-**-37TR - SCHROEDER TRUST,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.29	0.00	0.29	70.0	0.20
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
07/02/2018	***-**-514I - BERGIN, JOSEPH	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
07/02/2018	***-**-32IO - VASQUEZ, CHARLES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR006530	BUY 1 Units @0.0000						
07/02/2018	***-**-24IO - DEWITT, TRACY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
07/02/2018	***-**-29IO - NORFLEET,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	2.41	0.00	2.41	70.0	1.69
	ACCOUNT #: LSR010116	BUY 1 Units @0.0000						
07/02/2018	***-**-76IO - SMOAKE, DAVID	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.94	0.00	0.94	70.0	0.66
	ACCOUNT #: LSR011651	BUY 1 Units @0.0000						
07/02/2018	***-**-33IO - AUDY, JAMES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	5.79	0.00	5.79	70.0	4.05
	ACCOUNT #: LSR012379	BUY 1 Units @0.0000						
07/02/2018	***-**-654I - ROSTAD, BARBARA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
07/02/2018	***-**-3603 - BACA, RACHEL	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105074	BUY 1 Units @0.0000						
07/02/2018	***-**-0724 - STROMMESEN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105090	BUY 1 Units @0.0000						
07/02/2018	***-**-1788 - STROMMESEN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	70.0	0.06
	ACCOUNT #: LSR105104	BUY 1 Units @0.0000						
07/02/2018	***-**-0737 - SCHROEDER, JACK	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.52	0.00	0.52	70.0	0.36
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
07/02/2018	***-**-1184 - SPORRER, SHIRLEY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/02/2018	***-**-184I - SPORRER, SHIRLEY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
07/02/2018	***-**-0400 - SPORRER, JERALD	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
07/02/2018	***-**-00IR - SPORRER, JERALD	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
07/02/2018	***-**-5594 - BISHOP, SHANNON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.12	0.00	0.12	70.0	0.08
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
07/02/2018	***-**-0437 - ALLEN, WAYNE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
07/02/2018	***-**-9761 - MCCARTHY, NANCY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.11	0.00	0.11	70.0	0.08
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
07/02/2018	***-**-92IO - SAUDER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: LSR105538	BUY 1 Units @0.0000						
07/02/2018	***-**-0376 - ALLEN, MISTY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.08	0.00	0.08	70.0	0.06
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
07/02/2018	***-**-568J - MARSHALL, BRUCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.80	0.00	0.80	70.0	0.56
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
07/02/2018	***-**-0383 - MARSHALL,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.20	0.00	0.20	70.0	0.14
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
07/02/2018	***-**-568I - MARSHALL, BRUCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.36	0.00	1.36	70.0	0.95
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
07/02/2018	***-**-5712 - RAMSEY, GUSSIE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	2.28	0.00	2.28	70.0	1.60
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
07/02/2018	***-**-0237 - RAMSEY, WILLIAM	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105937	BUY 1 Units @0.0000						
07/02/2018	***-**-2425 - BRIAN N CALNAN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.67	0.00	0.67	70.0	0.47
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
07/02/2018	***-**-388I - SHIPMAN, M	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.08	0.00	0.08	70.0	0.06
	ACCOUNT #: LSR108812	BUY 1 Units @0.0000						
07/02/2018	***-**-2425 - BRIAN N CALNAN,	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	6.91	0.00	6.91	70.0	4.84
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						



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<div>ADJUSTMENTS (continued)</div>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$22.89	\$0.00	\$22.89		\$16.03
		*** BROKER TRANSACTIONS TOTAL ***	\$193.17	\$216.06	\$0.00	\$216.06		\$151.25
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$151.25
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$151.25
						Year-to-date Earnings		\$34,206.10