



Concorde Investment Services, LLC
COMMISSION STATEMENT
 April 15, 2017

04/12/2017
 Page No. 1

BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-DONAIS

BROKER #: BBJ
 BRANCH: TRUWEALTH FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^03/03/2017	RASMUSSEN, CLINTON	HPI FUND V	98,000.00	5,880.00	0.00	5,880.00	100.0	0.00
^03/15/2017	KUHN, KRISTINE	HPI FUND V	74,000.00	4,440.00	0.00	4,440.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$172,000.00	\$10,320.00	\$0.00	\$10,320.00		\$0.00
<u>PRODUCT CATEGORY: REIT</u>								
^03/23/2017	JORGENSEN, BLAINE	LIGHTSTONE VALUE PLUS REIT III INC	100,000.00	7,000.00	0.00	7,000.00	100.0	0.00
^03/28/2017	KUHN, KENNETH	LIGHTSTONE VALUE PLUS REIT III INC	87,000.00	6,090.00	0.00	6,090.00	100.0	0.00
* REIT SUBTOTAL *			\$187,000.00	\$13,090.00	\$0.00	\$13,090.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^04/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	111.00	3.05	0.00	3.05	100.0	0.00
^04/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	58.00	1.60	0.00	1.60	100.0	0.00
^04/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	73.00	2.01	0.00	2.01	100.0	0.00
^04/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	74.00	2.04	0.00	2.04	100.0	0.00
^04/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	60.00	1.65	0.00	1.65	100.0	0.00
^04/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	1.38	0.00	1.38	100.0	0.00
^04/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	1.38	0.00	1.38	100.0	0.00
^04/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	111.00	3.05	0.00	3.05	100.0	0.00
^04/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	65.00	1.79	0.00	1.79	100.0	0.00
^04/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	57.00	1.57	0.00	1.57	100.0	0.00
^04/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	72.00	1.98	0.00	1.98	100.0	0.00
^04/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	74.00	2.04	0.00	2.04	100.0	0.00
^04/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	65.00	1.79	0.00	1.79	100.0	0.00
^04/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	1.38	0.00	1.38	100.0	0.00
^04/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	1.38	0.00	1.38	100.0	0.00
^04/11/2017	JORGENSEN, BLAINE	HPI REAL ESTATE FUND V LLC	100,000.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$101,020.00	\$28.09	\$0.00	\$28.09		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$460,020.00	\$23,438.09	\$0.00	\$23,438.09	\$0.00
-----------------------------------	--------------	-------------	--------	-------------	--------

ADJUSTMENTS

04/15/2017	*CONCIERGE SVC: 189216 189221	0.00			0.00
04/15/2017	*PERSHING: BOOKS AND RECORDS	0.00			0.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed