



All Companies
COMMISSION STATEMENT
May 15, 2018

05/14/2018
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RICHMOND RAMPHUL
5620 SW Green Oaks Blvd.
Ste. A
Arlington, TX 76017-1151

BROKER #: 9648
BRANCH: HOME OFFICE

COMMISSION STATEMENT for RICHMOND RAMPHUL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
BROKER: RICHARD CARWILE #9649								
04/30/2018	000000007764665 BARONA, MYRIAM B.	FRANKLIN MUTUAL SHARES FD CL 1	0.00	6.12		0.31	0.00	0.31
04/30/2018	000000007766520 BARONA, MYRIAM B.	FRANKLIN MUTUAL SHARES FD CL 1	0.00	0.79		0.04	0.00	0.04
04/30/2018	000013912698249 MIKLOVICH, JUDY	FRANKLIN SPECIAL EQUITY INCOME FD C	0.00	13.30		0.67	0.00	0.67
04/30/2018	000090239349916 VAN DEYL, ANTHONY	FRANKLIN INCOME FD CL I	0.00	10.52		0.53	0.00	0.53
04/30/2018	000090239593533 BEATTIE, LAURA L.	FRANKLIN INCOME FD CL I	0.00	8.76		0.44	0.00	0.44
04/30/2018	000090239596062 BARONA, MYRIAM B.	FRANKLIN INCOME FD CL I	0.00	10.94		0.55	0.00	0.55
04/30/2018	000090239615443 BARONA, MYRIAM B.	FRANKLIN INCOME FD CL I	0.00	1.41		0.07	0.00	0.07
04/30/2018	000090239620963 VAN DEYL, DAYNA	FRANKLIN INCOME FD CL I	0.00	4.96		0.25	0.00	0.25
04/30/2018	00089696978 ABRAHAMSON, THOMAS A.	AMERICAN FUNDS BALANCED FD	33.53	1.68		0.08	0.00	0.08
04/30/2018	00089696978 ABRAHAMSON, THOMAS A.	AMERICAN FUNDS BALANCED FD	33.53	1.68		0.08	0.00	0.08
04/30/2018	00089696978 ABRAHAMSON, THOMAS A.	AMERICAN FUNDS GROWTH FUND OF AMERI	33.53	1.68		0.08	0.00	0.08
04/30/2018	00089696978 ABRAHAMSON, THOMAS A.	AMERICAN FUNDS GROWTH FUND OF AMERI	33.53	1.68		0.08	0.00	0.08
04/30/2018	00089697313 GRAEF, WESLEY S.	AMERICAN FUNDS 2035 TARGET DATE-A	68.75	3.44		0.17	0.00	0.17
04/30/2018	00089697313 GRAEF, WESLEY S.	AMERICAN FUNDS 2035 TARGET DATE-A	68.75	3.44		0.17	0.00	0.17
04/30/2018	04000641700 JIANPANAH, AMIR	AMERICAN FUNDS 2025 TARGET DATE-A	53.45	2.67		0.13	0.00	0.13
04/30/2018	04000641700 JIANPANAH, AMIR	AMERICAN FUNDS 2025 TARGET DATE-A	53.45	2.67		0.13	0.00	0.13
* RICHARD CARWILE SUBTOTAL *			\$378.52	\$75.74		\$3.78	\$0.00	\$3.78

COMMISSION STATEMENT for RICHMOND RAMPHUL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

*** OVERRIDE TRANSACTIONS TOTAL ***	\$378.52	\$75.74	\$3.78	\$0.00	\$3.78
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ADJUSTMENTS

05/15/2018		CONTINUING ED: 2018 FIRM ELEMENT	0.00	0.00	-45.00	0.00	-45.00
05/15/2018		E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	-100.00	0.00	-100.00
05/15/2018		TECH: 1/2 MONTHLY TECH SERVICES	0.00	0.00	-27.50	0.00	-27.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$-172.50	\$0.00	\$-172.50

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$3.78
Adjustments	\$-172.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-237.68

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-406.40
Year-to-date Earnings	\$456.60