

COMMISSION STATEMENT

July 31, 2017

HENRY HACKEL
179 EAST 70TH STREET
APT 6B
NEW YORK, NY 10021

BROKER #: 30
BRANCH: HOME OFFICE

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

06/19/2017	00075458097	VANDENHEUVEL, RYAN EURO PACIFIC GROWTH FUND-A	B	83.33	3.12	0.00	3.12	80.0	2.50
06/19/2017	00075458097	VANDENHEUVEL, RYAN NEW PERSPECTIVE FUND-A	B	83.33	3.12	0.00	3.12	80.0	2.50
06/19/2017	00075458097	VANDENHEUVEL, RYAN THE GROWTH FUND OF AMERICA-A	B	83.33	3.12	0.00	3.12	80.0	2.50
06/19/2017	00075458097	VANDENHEUVEL, RYAN THE INCOME FUND OF AMERICA-A	B	83.33	3.12	0.00	3.12	80.0	2.50
06/19/2017	00075458097	VANDENHEUVEL, RYAN THE INVESTMENT COMPANY OF AMERICA-A	B	83.33	3.12	0.00	3.12	80.0	2.50
05/31/2017	00084739455	SHABAZZ, JAHZARA AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	80.0	1.60
06/19/2017	00085327415	VANDENHEUVEL, AMCAP FUND-A	B	100.00	3.75	0.00	3.75	80.0	3.00
06/19/2017	00085327415	VANDENHEUVEL, AMERICAN FUND-45956T105	B	100.00	3.75	0.00	3.75	80.0	3.00
06/19/2017	00085327415	VANDENHEUVEL, FUNDAMENTAL INVESTORS-A	B	100.00	3.75	0.00	3.75	80.0	3.00
06/19/2017	00085327415	VANDENHEUVEL, THE GROWTH FUND OF AMERICA-A	B	100.00	3.75	0.00	3.75	80.0	3.00
06/19/2017	00085327415	VANDENHEUVEL, THE INVESTMENT COMPANY OF AMERICA-A	B	50.00	1.88	0.00	1.88	80.0	1.50
06/19/2017	00085327415	VANDENHEUVEL, THE NEW ECONOMY FUND-A	B	50.00	1.88	0.00	1.88	80.0	1.50
05/31/2017	00085890289	DAS, SPANDAN AMERICAN HIGH-INCOME TRUST-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
05/31/2017	00085890289	DAS, SPANDAN NEW WORLD FUND-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
05/31/2017	00085890289	DAS, SPANDAN SMALLCAP WORLD FUND-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
05/31/2017	00085890289	DAS, SPANDAN THE GROWTH FUND OF AMERICA-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
05/31/2017	00085890289	DAS, SPANDAN THE INVESTMENT COMPANY OF AMERICA-5	B	150.00	4.13	0.00	4.13	80.0	3.30
06/19/2017	00086531491	DAS, SPANDAN AMERICAN HIGH-INCOME TRUST-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
06/19/2017	00086531491	DAS, SPANDAN NEW WORLD FUND-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
06/19/2017	00086531491	DAS, SPANDAN SMALLCAP WORLD FUND-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
06/19/2017	00086531491	DAS, SPANDAN THE GROWTH FUND OF AMERICA-529A	B	250.00	6.88	0.00	6.88	80.0	5.50
06/19/2017	00086531491	DAS, SPANDAN THE INVESTMENT COMPANY OF AMERICA-5	B	200.00	5.50	0.00	5.50	80.0	4.40
06/05/2017	00087121704	JOGLEKAR, SHAYNE AMERICAN BALANCED FUND-529A	B	500.00	13.75	0.00	13.75	80.0	11.00
06/05/2017	00087122294	JOGLEKAR, SHAYNE AMERICAN BALANCED FUND-529A	B	500.00	13.75	0.00	13.75	80.0	11.00
06/20/2017	00087149656	CHANDELA, AJAY AMERICAN BALANCED FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
06/20/2017	00087149667	CHANDELA, AJAY NEW WORLD FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
06/20/2017	00087149689	CHANDELA, AJAY NEW WORLD FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
06/20/2017	00087149729	CHANDELA, AJAY AMERICAN BALANCED FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
06/06/2017	00087205674	MORRELL, CHARLES THE GROWTH FUND OF AMERICA-529A	B	300.00	15.00	0.00	15.00	80.0	12.00
05/30/2017	00087630417	SHABAZZ, JAHZARA AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	80.0	1.60
06/15/2017	00089081756	FOSTER, JAKE AMERICAN FUND-02629M587	B	200.00	7.00	0.00	7.00	80.0	5.60

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
06/15/2017	00089084643	FOSTER, JAKE		AMERICAN FUND-02629M587	B	200.00	7.00	0.00	7.00	80.0	5.60
06/12/2017	01204161104	PASQUALONE,		THE INVESTMENT COMPANY OF AMERICA-5	B	150.00	6.38	0.00	6.38	80.0	5.10
06/12/2017	01204463227	PASQUALONE,		THE GROWTH FUND OF AMERICA-529A	B	150.00	6.38	0.00	6.38	80.0	5.10
06/12/2017	01205067051	PASQUALONE,		NEW PERSPECTIVE FUND-529A	B	150.00	6.38	0.00	6.38	80.0	5.10
				* MUTUAL FUNDS SUBTOTAL *		\$8,966.65	\$195.28	\$0.00	\$195.28		\$156.20

PRODUCT CATEGORY: STOCKS

07/06/2017	75500349	POMP, BARRY		AMERICAN AIRLINES GROUP INC	S	10,480.00	20.00	0.00	20.00	80.0	16.00
07/03/2017	75500635	CABO CANYON LLC		KINDER HOLDING CORP	S	875.00	50.00	0.00	50.00	80.0	40.00
07/07/2017	75500635	CABO CANYON LLC		KINDER HOLDING CORP	S	720.00	50.00	0.00	50.00	80.0	40.00
07/19/2017	75501455	HACKEL, HENRY		AT&T INC	B	721,600.00	0.00	0.00	0.00	80.0	0.00
05/12/2017	QGW001000	WILSON, STEPHEN		RELM WIRELESS CORP COM	S	-2,271.95	20.00	0.00	20.00	80.0	16.00
07/06/2017	QGW001018	GRAYMAN, EZRA		OPKO HEALTH INC	S	-22,975.00	10.00	0.00	10.00	80.0	8.00
07/13/2017	QGW001018	GRAYMAN, EZRA		ENSCO PLC NEW CLASS A ORD	B	12,250.00	10.00	0.00	10.00	80.0	8.00
07/20/2017	QGW001018	GRAYMAN, EZRA		ENSCO PLC NEW CLASS A ORD	B	26,949.50	10.00	0.00	10.00	80.0	8.00
07/25/2017	QGW001018	GRAYMAN, EZRA		ENSCO PLC NEW CLASS A ORD	S	-42,000.00	10.00	0.00	10.00	80.0	8.00
07/26/2017	QGW001018	GRAYMAN, EZRA		ENSCO PLC NEW CLASS A ORD	B	13,323.50	10.00	0.00	10.00	80.0	8.00
07/06/2017	QGW001042	GRAYMAN, EZRA		OPKO HEALTH INC	B	22,975.00	10.00	0.00	10.00	80.0	8.00
05/12/2017	QGW001166	KOONDEL		APPLE HOSPITALITY REIT INC COM NEW	B	12,009.53	15.53	0.00	15.53	80.0	12.42
06/28/2017	QGW001166	KOONDEL		OPKO HEALTH INC	S	-1,884.88	12.34	0.00	12.34	80.0	9.87
06/29/2017	QGW001166	KOONDEL		APPLE HOSPITALITY REIT INC COM NEW	B	22,606.80	20.20	0.00	20.20	80.0	16.16
06/30/2017	QGW001166	KOONDEL		APPLE HOSPITALITY REIT INC COM NEW	B	6,552.00	12.98	0.00	12.98	80.0	10.38
06/30/2017	QGW001166	KOONDEL		OPKO HEALTH INC	S	-3,955.98	15.10	0.00	15.10	80.0	12.08
07/03/2017	QGW001166	KOONDEL		OPKO HEALTH INC	S	-1,964.01	12.55	0.00	12.55	80.0	10.04
07/05/2017	QGW001166	KOONDEL		APPLE HOSPITALITY REIT INC COM NEW	B	7,500.00	13.40	0.00	13.40	80.0	10.72
07/05/2017	QGW001166	KOONDEL		OPKO HEALTH INC	S	-5,742.00	17.65	0.00	17.65	80.0	14.12
07/06/2017	QGW001166	KOONDEL		APPLE HOSPITALITY REIT INC COM NEW	B	16,736.04	17.65	0.00	17.65	80.0	14.12
07/06/2017	QGW001166	KOONDEL		APPLE INC	B	14,600.00	10.85	0.00	10.85	80.0	8.68
07/06/2017	QGW001166	KOONDEL		OPKO HEALTH INC	S	-2,383.39	13.19	0.00	13.19	80.0	10.55
07/07/2017	QGW001166	KOONDEL		APPLE INC	B	58,400.00	13.40	0.00	13.40	80.0	10.72
07/07/2017	QGW001166	KOONDEL		OPKO HEALTH INC	S	-7,147.01	19.56	0.00	19.56	80.0	15.65
07/10/2017	QGW001166	KOONDEL		APPLE HOSPITALITY REIT INC COM NEW	B	17,047.29	17.86	0.00	17.86	80.0	14.29
07/10/2017	QGW001166	KOONDEL		APPLE INC	S	-72,854.50	14.25	0.00	14.25	80.0	11.40
07/10/2017	QGW001166	KOONDEL		OPKO HEALTH INC	S	-3,117.00	14.25	0.00	14.25	80.0	11.40
07/11/2017	QGW001166	KOONDEL		OPKO HEALTH INC	S	-9,838.56	23.60	0.00	23.60	80.0	18.88
07/13/2017	QGW001166	KOONDEL		APPLE INC	S	-73,677.00	14.25	0.00	14.25	80.0	11.40
07/21/2017	QGW001166	KOONDEL		APPLE HOSPITALITY REIT INC COM NEW	B	9,122.00	14.25	0.00	14.25	80.0	11.40
07/24/2017	QGW001166	KOONDEL		APPLE HOSPITALITY REIT INC COM NEW	B	7,262.00	13.40	0.00	13.40	80.0	10.72
07/25/2017	QGW001166	KOONDEL		BANK OF AMERICA CORP	S	-7,395.00	12.55	0.00	12.55	80.0	10.04
07/26/2017	QGW001166	KOONDEL		APPLE HOSPITALITY REIT INC COM NEW	B	8,122.50	13.83	0.00	13.83	80.0	11.06

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/26/2017	QGW001166 KOONDEL	BANK OF AMERICA CORP	S	-2,464.00	10.85	0.00	10.85	80.0	8.68
05/12/2017	QGW001729 WILSON, STEPHEN	ICAD INC COM NEW	B	3,757.50	20.00	0.00	20.00	80.0	16.00
05/12/2017	QGW001729 WILSON, STEPHEN	RELM WIRELESS CORP COM	S	-6,815.85	20.00	0.00	20.00	80.0	16.00
07/07/2017	QGW001737 HEATH, KIMBERLY	GENERAL ELECTRIC CO	B	13,060.00	20.00	0.00	20.00	80.0	16.00
05/12/2017	QGW001752 HEATH, KIMBERLY	RELM WIRELESS CORP COM	S	-66,213.71	20.00	0.00	20.00	80.0	16.00
07/24/2017	QGW001752 HEATH, KIMBERLY	ICAD INC COM NEW	B	3,947.60	20.00	0.00	20.00	80.0	16.00
06/30/2017	QGW001760 HEATH, KIMBERLY	ICAD INC COM NEW	B	4,198.80	20.00	0.00	20.00	80.0	16.00
07/06/2017	QGW001760 HEATH, KIMBERLY	ICAD INC COM NEW	B	4,199.80	20.00	0.00	20.00	80.0	16.00
07/07/2017	QGW001760 HEATH, KIMBERLY	GENERAL ELECTRIC CO	B	13,060.00	20.00	0.00	20.00	80.0	16.00
07/24/2017	QGW001760 HEATH, KIMBERLY	ICAD INC COM NEW	B	3,977.60	20.00	0.00	20.00	80.0	16.00
07/18/2017	QGW001828 BRIMBERG BIOTECH	EXELIXIS INC	S	-13,000.00	100.00	0.00	100.00	80.0	80.00
07/24/2017	QGW005936 RABBITO, RICHARD	NII HLDGS INC COM	B	1,910.21	35.00	0.00	35.00	80.0	28.00
07/24/2017	QGW005936 RABBITO, RICHARD	REGULUS THERAPEUTICS INC COM	S	-2,050.00	35.00	0.00	35.00	80.0	28.00
06/28/2017	QGW006603 KAMIN-HACKEL	DINEEQUITY INC	B	17,895.96	25.00	0.00	25.00	80.0	20.00
07/26/2017	QGW007106 BUNEL, LORI	STW RES HLDG CORP COM NEW	S	-14.67	4.91	0.00	4.91	80.0	3.93
05/12/2017	QGW007445 SMITH, GENE	SHOPIFY INC CL A ISIN#CA82509L1076	B	19,309.50	80.00	0.00	80.00	80.0	64.00
05/12/2017	QGW007445 SMITH, GENE	ZENDESK INC COM	B	29,029.00	100.00	0.00	100.00	80.0	80.00
05/12/2017	QGW009730 SHARTSIS, JAY	ORBITAL ATK INC COM	S	-19,502.40	20.00	0.00	20.00	80.0	16.00
05/12/2017	QGW009730 SHARTSIS, JAY	SIBANYE GOLD LTD SPONSORED ADR ISIN S	S	-26,014.20	20.00	0.00	20.00	80.0	16.00
06/28/2017	QGW009730 SHARTSIS, JAY	ADVANCED MICRO DEVICES INC	B	33,271.00	20.00	0.00	20.00	80.0	16.00
06/30/2017	QGW009730 SHARTSIS, JAY	DIREXION SHARES ETF TRUST DAILY SMA B	B	32,475.00	20.00	0.00	20.00	80.0	16.00
06/30/2017	QGW009730 SHARTSIS, JAY	NVIDIA CORP	S	-44,061.96	20.00	0.00	20.00	80.0	16.00
06/30/2017	QGW009730 SHARTSIS, JAY	NVIDIA CORP	B	43,548.60	20.00	0.00	20.00	80.0	16.00
06/30/2017	QGW009730 SHARTSIS, JAY	TEUCRIUM COMMODITY TR WHEAT FD	S	-22,680.90	20.00	0.00	20.00	80.0	16.00
07/03/2017	QGW009730 SHARTSIS, JAY	HIMAX TECHNOLOGIES INC SPONS ADR	S	-23,912.70	20.00	0.00	20.00	80.0	16.00
07/03/2017	QGW009730 SHARTSIS, JAY	NETFLIX COM INC	S	-29,465.00	20.00	0.00	20.00	80.0	16.00
07/03/2017	QGW009730 SHARTSIS, JAY	NVIDIA CORP	S	-28,924.90	20.00	0.00	20.00	80.0	16.00
07/03/2017	QGW009730 SHARTSIS, JAY	WEIGHT WATCHERS INTL INC NEW	S	-16,440.00	20.00	0.00	20.00	80.0	16.00
07/05/2017	QGW009730 SHARTSIS, JAY	DIREXION SHS ETF TR DIREXION DAILY	B	9,986.95	20.00	0.00	20.00	80.0	16.00
07/05/2017	QGW009730 SHARTSIS, JAY	HIMAX TECHNOLOGIES INC SPONS ADR	B	23,993.70	20.00	0.00	20.00	80.0	16.00
07/05/2017	QGW009730 SHARTSIS, JAY	NETFLIX COM INC	B	29,457.00	20.00	0.00	20.00	80.0	16.00
07/05/2017	QGW009730 SHARTSIS, JAY	NVIDIA CORP	B	28,430.00	20.00	0.00	20.00	80.0	16.00
07/05/2017	QGW009730 SHARTSIS, JAY	WEIGHT WATCHERS INTL INC NEW	B	16,494.00	20.00	0.00	20.00	80.0	16.00
07/06/2017	QGW009730 SHARTSIS, JAY	POLARIS INDUSTRIES INC COM	S	-43,777.00	12.50	0.00	12.50	80.0	10.00
07/06/2017	QGW009730 SHARTSIS, JAY	POLARIS INDUSTRIES INC COM	S	-26,208.00	7.50	0.00	7.50	80.0	6.00
07/06/2017	QGW009730 SHARTSIS, JAY	PROSHARES TR II ULTA BLOOMBERG CRUD S	S	-10,486.00	20.00	0.00	20.00	80.0	16.00
07/07/2017	QGW009730 SHARTSIS, JAY	NETFLIX COM INC	S	-30,021.58	20.00	0.00	20.00	80.0	16.00
07/07/2017	QGW009730 SHARTSIS, JAY	POLARIS INDUSTRIES INC COM	B	26,198.25	20.00	0.00	20.00	80.0	16.00
07/07/2017	QGW009730 SHARTSIS, JAY	POLARIS INDUSTRIES INC COM	S	-25,974.00	20.00	0.00	20.00	80.0	16.00
07/10/2017	QGW009730 SHARTSIS, JAY	BARCLAYS BK PLC IPATH DOW JONES UBS B	B	28,480.30	20.00	0.00	20.00	80.0	16.00

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BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
07/10/2017	QGW009730	SHARTSIS, JAY		CREDIT SUISSE AG NASSAU BRANCH VELO B	4,530.00	20.00	0.00	20.00	80.0	16.00	
07/10/2017	QGW009730	SHARTSIS, JAY		NETFLIX COM INC	B	30,560.22	20.00	0.00	20.00	80.0	16.00
07/10/2017	QGW009730	SHARTSIS, JAY		POLARIS INDUSTRIES INC COM	B	26,571.00	20.00	0.00	20.00	80.0	16.00
07/10/2017	QGW009730	SHARTSIS, JAY		POTASH CORP OF SASKATCHEWAN INC CAN B		25,454.85	20.00	0.00	20.00	80.0	16.00
07/10/2017	QGW009730	SHARTSIS, JAY		POTASH CORP OF SASKATCHEWAN INC CAN S		-25,922.85	20.00	0.00	20.00	80.0	16.00
07/13/2017	QGW009730	SHARTSIS, JAY		CREDIT SUISSE AG NASSAU BRANCH VELO S		-4,945.05	20.00	0.00	20.00	80.0	16.00
07/13/2017	QGW009730	SHARTSIS, JAY		DIREXION SHARES ETF TRUST DAILY SMA S		-32,320.00	20.00	0.00	20.00	80.0	16.00
07/13/2017	QGW009730	SHARTSIS, JAY		POLARIS INDUSTRIES INC COM	B	18,504.00	20.00	0.00	20.00	80.0	16.00
07/14/2017	QGW009730	SHARTSIS, JAY		LAS VEGAS SANDS CORP	B	30,255.00	20.00	0.00	20.00	80.0	16.00
07/14/2017	QGW009730	SHARTSIS, JAY		TESLA MOTORS INC	B	65,558.32	20.00	0.00	20.00	80.0	16.00
07/14/2017	QGW009730	SHARTSIS, JAY		WHEATON PRECIOUS METALS CORP COM	B	49,020.50	20.00	0.00	20.00	80.0	16.00
07/17/2017	QGW009730	SHARTSIS, JAY		DYNAVAX TECHNOLOGIES CORP	S	-6,300.00	20.00	0.00	20.00	80.0	16.00
07/20/2017	QGW009730	SHARTSIS, JAY		NVIDIA CORP	S	-32,889.50	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW009730	SHARTSIS, JAY		BARCLAYS BK PLC IPATH DOW JONES UBS S	S	-29,000.00	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW009730	SHARTSIS, JAY		DIREXION SHS ETF TR DIREXION DAILY S	S	-10,000.00	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW009730	SHARTSIS, JAY		DYNAVAX TECHNOLOGIES CORP	S	-700.00	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW009730	SHARTSIS, JAY		HERTZ GLOBAL HLDGS INC NEW COM	S	-7,500.00	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW009730	SHARTSIS, JAY		NVIDIA CORP	B	33,754.00	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW009730	SHARTSIS, JAY		NVIDIA CORP	S	-30,000.00	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW009730	SHARTSIS, JAY		POWERSHARES QQQ TRUST SERIES 1	B	142,000.00	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW009730	SHARTSIS, JAY		POWERSHARES QQQ TRUST SERIES 1	S	-137,000.00	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW009730	SHARTSIS, JAY		TWILIO INC CL A	S	-9,000.00	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW009730	SHARTSIS, JAY		WAYFAIR INC CL A	S	-21,000.00	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW009730	SHARTSIS, JAY		WHEATON PRECIOUS METALS CORP COM	S	-48,750.00	20.00	0.00	20.00	80.0	16.00
07/26/2017	QGW009730	SHARTSIS, JAY		ADVANCED MICRO DEVICES INC	S	-29,429.20	20.00	0.00	20.00	80.0	16.00
06/29/2017	QGW012965	NEILL, MARTIN		DALECO RES CORP COM NEW	B	480.00	20.00	0.00	20.00	80.0	16.00
07/07/2017	QGW012965	NEILL, MARTIN		DALECO RES CORP COM NEW	B	770.00	20.00	0.00	20.00	80.0	16.00
07/12/2017	QGW012965	NEILL, MARTIN		DALECO RES CORP COM NEW	B	613.44	20.00	0.00	20.00	80.0	16.00
07/13/2017	QGW012965	NEILL, MARTIN		DALECO RES CORP COM NEW	B	131.72	18.00	0.00	18.00	80.0	14.40
06/29/2017	QGW013005	QUINONES, ALBERT		BANK OF AMERICA CORP	B	981.14	10.00	0.00	10.00	80.0	8.00
07/14/2017	QGW013005	QUINONES, ALBERT		GAMESTOP CORP NEW CLASS A	B	420.76	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW013104	GRASS, BRIAN		GENERAL ELECTRIC CO	B	5,167.30	20.00	0.00	20.00	80.0	16.00
07/19/2017	QGW013385	CUSTODIAN, ANTONIO		FS INVESTMENT CORPORATION COM	B	6,091.88	20.00	0.00	20.00	80.0	16.00
07/19/2017	QGW013385	CUSTODIAN, ANTONIO		GENERAL ELECTRIC CO	B	5,246.77	20.00	0.00	20.00	80.0	16.00
07/19/2017	QGW013385	CUSTODIAN, ANTONIO		GOGO INC	S	-4,141.20	20.00	0.00	20.00	80.0	16.00
07/19/2017	QGW013385	CUSTODIAN, ANTONIO		POTBELLY CORPORATION	S	-1,568.75	20.00	0.00	20.00	80.0	16.00
07/19/2017	QGW013385	CUSTODIAN, ANTONIO		WEIGHT WATCHERS INTL INC NEW	S	-4,628.75	20.00	0.00	20.00	80.0	16.00
07/19/2017	QGW013393	CUSTOIAN, ANTONIO		GENERAL ELECTRIC CO	B	5,246.77	20.00	0.00	20.00	80.0	16.00
07/19/2017	QGW013393	CUSTOIAN, ANTONIO		WEIGHT WATCHERS INTL INC NEW	S	-4,628.28	20.00	0.00	20.00	80.0	16.00
05/11/2017	QGW013484	HACKEL, DEBBIE		AMERICAN OUTDOOR BRANDS CORP COM	S	22,200.00	-5.00	0.00	-5.00	80.0	-4.00 C

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/11/2017	QGW013484 HACKEL, DEBBIE	AMERICAN OUTDOOR BRANDS CORP COM	B	-22,138.00	-5.00	0.00	-5.00	80.0	-4.00 C
05/11/2017	QGW013484 HACKEL, DEBBIE	NORDSTROM INC	S	49,048.70	-5.00	0.00	-5.00	80.0	-4.00 C
05/11/2017	QGW013484 HACKEL, DEBBIE	NORDSTROM INC	B	-48,510.00	-5.00	0.00	-5.00	80.0	-4.00 C
05/12/2017	QGW013484 HACKEL, DEBBIE	THE TRADE DESK INC COM CL A	S	-14,706.00	10.00	0.00	10.00	80.0	8.00
06/28/2017	QGW013484 HACKEL, DEBBIE	CARDIOVASCULAR SYS INC DEL COM	S	-32,361.00	10.00	0.00	10.00	80.0	8.00
06/28/2017	QGW013484 HACKEL, DEBBIE	CARDIOVASCULAR SYS INC DEL COM	B	31,050.00	10.00	0.00	10.00	80.0	8.00
06/28/2017	QGW013484 HACKEL, DEBBIE	ETHAN ALLEN INTERIORS INC	S	-15,600.00	10.00	0.00	10.00	80.0	8.00
06/29/2017	QGW013484 HACKEL, DEBBIE	ETHAN ALLEN INTERIORS INC	B	15,700.00	10.00	0.00	10.00	80.0	8.00
07/05/2017	QGW013484 HACKEL, DEBBIE	ADVANCE AUTO PARTS INC	B	11,048.94	10.00	0.00	10.00	80.0	8.00
07/05/2017	QGW013484 HACKEL, DEBBIE	ADVANCE AUTO PARTS INC	S	-11,005.00	10.00	0.00	10.00	80.0	8.00
07/06/2017	QGW013484 HACKEL, DEBBIE	KNOLL INC COM NEW	S	-19,615.00	10.00	0.00	10.00	80.0	8.00
07/06/2017	QGW013484 HACKEL, DEBBIE	KNOLL INC COM NEW	B	19,758.00	10.00	0.00	10.00	80.0	8.00
07/10/2017	QGW013484 HACKEL, DEBBIE	AARONS INC COM PAR \$0 50	B	49,270.00	10.00	0.00	10.00	80.0	8.00
07/10/2017	QGW013484 HACKEL, DEBBIE	SNAP INC CLASS A COMMON STOCK	S	-16,990.00	10.00	0.00	10.00	80.0	8.00
07/11/2017	QGW013484 HACKEL, DEBBIE	AARONS INC COM PAR \$0 50	B	7,580.00	10.00	0.00	10.00	80.0	8.00
07/11/2017	QGW013484 HACKEL, DEBBIE	AARONS INC COM PAR \$0 50	S	-56,701.95	10.00	0.00	10.00	80.0	8.00
07/11/2017	QGW013484 HACKEL, DEBBIE	SNAP INC CLASS A COMMON STOCK	B	16,400.00	10.00	0.00	10.00	80.0	8.00
07/13/2017	QGW013484 HACKEL, DEBBIE	APPLIED OPTOELECTRONICS INC COM	S	-7,679.00	10.00	0.00	10.00	80.0	8.00
07/13/2017	QGW013484 HACKEL, DEBBIE	APPLIED OPTOELECTRONICS INC COM	B	7,682.50	10.00	0.00	10.00	80.0	8.00
07/13/2017	QGW013484 HACKEL, DEBBIE	OCLARO INC COM NEW	B	29,220.00	10.00	0.00	10.00	80.0	8.00
07/13/2017	QGW013484 HACKEL, DEBBIE	OCLARO INC COM NEW	S	-30,027.00	10.00	0.00	10.00	80.0	8.00
07/17/2017	QGW013484 HACKEL, DEBBIE	TEMPUR SEALY INTERNATIONAL INC	S	-26,500.00	10.00	0.00	10.00	80.0	8.00
07/18/2017	QGW013484 HACKEL, DEBBIE	TEMPUR SEALY INTERNATIONAL INC	B	26,932.00	10.00	0.00	10.00	80.0	8.00
07/19/2017	QGW013484 HACKEL, DEBBIE	BANK NEW YORK MELLON CORP	S	-53,450.00	10.00	0.00	10.00	80.0	8.00
07/19/2017	QGW013484 HACKEL, DEBBIE	BANK NEW YORK MELLON CORP	B	53,581.00	10.00	0.00	10.00	80.0	8.00
07/19/2017	QGW013484 HACKEL, DEBBIE	LANDSTAR SYSTEMS INC COM	S	-43,190.05	10.00	0.00	10.00	80.0	8.00
07/20/2017	QGW013484 HACKEL, DEBBIE	DISCOVER FINANCIAL SERVICES	B	61,680.00	10.00	0.00	10.00	80.0	8.00
07/20/2017	QGW013484 HACKEL, DEBBIE	H & E EQUIP SVCS INC COM	B	22,197.00	10.00	0.00	10.00	80.0	8.00
07/20/2017	QGW013484 HACKEL, DEBBIE	H & E EQUIP SVCS INC COM	S	-22,012.00	10.00	0.00	10.00	80.0	8.00
07/20/2017	QGW013484 HACKEL, DEBBIE	LANDSTAR SYSTEMS INC COM	B	60,799.97	10.00	0.00	10.00	80.0	8.00
07/20/2017	QGW013484 HACKEL, DEBBIE	LANDSTAR SYSTEMS INC COM	S	-17,280.00	10.00	0.00	10.00	80.0	8.00
07/21/2017	QGW013484 HACKEL, DEBBIE	DISCOVER FINANCIAL SERVICES	S	-62,040.00	10.00	0.00	10.00	80.0	8.00
07/24/2017	QGW013484 HACKEL, DEBBIE	FOOT LOCKER INC	B	31,915.03	10.00	0.00	10.00	80.0	8.00
07/24/2017	QGW013484 HACKEL, DEBBIE	FOOT LOCKER INC	S	-32,396.00	10.00	0.00	10.00	80.0	8.00
07/24/2017	QGW013484 HACKEL, DEBBIE	HIBBETT SPORTS INC COM	S	-11,550.00	10.00	0.00	10.00	80.0	8.00
07/24/2017	QGW013484 HACKEL, DEBBIE	HIBBETT SPORTS INC COM	B	10,850.00	10.00	0.00	10.00	80.0	8.00
07/25/2017	QGW013484 HACKEL, DEBBIE	CARPENTER TECHNOLOGY	B	38,537.00	10.00	0.00	10.00	80.0	8.00
07/25/2017	QGW013484 HACKEL, DEBBIE	CARPENTER TECHNOLOGY	S	-38,519.00	10.00	0.00	10.00	80.0	8.00
07/25/2017	QGW013484 HACKEL, DEBBIE	PENSKE AUTOMOTIVE GROUP INC COM	S	-30,275.00	10.00	0.00	10.00	80.0	8.00
07/25/2017	QGW013484 HACKEL, DEBBIE	PENSKE AUTOMOTIVE GROUP INC COM	B	30,320.99	10.00	0.00	10.00	80.0	8.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
07/25/2017	QGW013484 HACKEL, DEBBIE	TENET HEALTHCARE CORP COM NEW	S	-38,470.00	10.00	0.00	10.00	80.0	8.00
07/25/2017	QGW013484 HACKEL, DEBBIE	TEREX CORP	B	39,600.00	10.00	0.00	10.00	80.0	8.00
07/25/2017	QGW013484 HACKEL, DEBBIE	TEREX CORP	S	-39,548.70	10.00	0.00	10.00	80.0	8.00
07/26/2017	QGW013484 HACKEL, DEBBIE	BUFFALO WILD WINGS INC COM	B	58,245.00	10.00	0.00	10.00	80.0	8.00
07/26/2017	QGW013484 HACKEL, DEBBIE	BUFFALO WILD WINGS INC COM	S	-55,720.50	10.00	0.00	10.00	80.0	8.00
07/26/2017	QGW013484 HACKEL, DEBBIE	CHEESE CAKE FACTORY INC COM	S	-50,207.90	10.00	0.00	10.00	80.0	8.00
07/26/2017	QGW013484 HACKEL, DEBBIE	CHEESE CAKE FACTORY INC COM	B	49,865.00	10.00	0.00	10.00	80.0	8.00
07/26/2017	QGW013484 HACKEL, DEBBIE	TENET HEALTHCARE CORP COM NEW	B	38,301.40	10.00	0.00	10.00	80.0	8.00
07/26/2017	QGW013484 HACKEL, DEBBIE	WEIGHT WATCHERS INTL INC NEW	S	-19,140.00	10.00	0.00	10.00	80.0	8.00
07/26/2017	QGW013484 HACKEL, DEBBIE	WEIGHT WATCHERS INTL INC NEW	B	19,245.95	10.00	0.00	10.00	80.0	8.00
05/12/2017	QGW013492 HACKEL, DEBBIE	CHEMOURS COMPANY (THE) COM	B	21,410.00	20.00	0.00	20.00	80.0	16.00
06/28/2017	QGW013492 HACKEL, DEBBIE	COMMERCIAL METALS CO	B	37,815.60	20.00	0.00	20.00	80.0	16.00
07/05/2017	QGW013492 HACKEL, DEBBIE	MACYS INC	B	49,162.80	20.00	0.00	20.00	80.0	16.00
07/11/2017	QGW013492 HACKEL, DEBBIE	RIO TINTO PLC SPONSORED ADR	B	22,303.25	20.00	0.00	20.00	80.0	16.00
07/14/2017	QGW013492 HACKEL, DEBBIE	RIO TINTO PLC SPONSORED ADR	S	-22,681.05	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW013609 WOLLOCH, PSP	GENERAL ELECTRIC CO	B	7,200.00	20.00	0.00	20.00	80.0	16.00
05/12/2017	QGW014391 DARWISH, MENACHEM	OPKO HEALTH INC	B	35,500.00	35.00	0.00	35.00	80.0	28.00
07/13/2017	QGW014391 DARWISH, MENACHEM	MACYS INC	B	43,820.00	35.00	0.00	35.00	80.0	28.00
07/21/2017	QGW014391 DARWISH, MENACHEM	MACYS INC	S	-46,685.20	35.00	0.00	35.00	80.0	28.00
07/21/2017	QGW014391 DARWISH, MENACHEM	VALERO ENERGY CORP NEW	B	172,500.00	35.00	0.00	35.00	80.0	28.00
07/05/2017	QGW016073 WOLLOCH, HELENE	GOGO INC	B	1,136.50	10.00	0.00	10.00	80.0	8.00
07/11/2017	QGW018152 GAULD, PETER	INNOVARO INC COM	S	-89.00	10.00	0.00	10.00	80.0	8.00
07/11/2017	QGW018152 GAULD, PETER	LOCAL CORPORATION COM	S	-120.00	10.00	0.00	10.00	80.0	8.00
07/11/2017	QGW020497 FATTORUSO, PHYLLIS	BRISTOL MYERS SQUIBB CO	S	-27,687.50	20.00	0.00	20.00	80.0	16.00
07/13/2017	QGW020497 FATTORUSO, PHYLLIS	RMR GROUP INC (THE) CLASS A	S	-490.50	20.00	0.00	20.00	80.0	16.00
07/13/2017	QGW020497 FATTORUSO, PHYLLIS	TYLER TECHNOLOGIES INC	S	-10,445.40	20.00	0.00	20.00	80.0	16.00
06/29/2017	QGW020513 FORST, BARRY	DALECO RES CORP COM NEW	B	178.04	20.00	0.00	20.00	80.0	16.00
07/10/2017	QGW020513 FORST, BARRY	CLEAN TEQ HOLDINGS LTD MELBOURNE SH B	B	2,890.00	20.00	0.00	20.00	80.0	16.00
07/14/2017	QGW020513 FORST, BARRY	SEYCHELLE ENVIRONMENTAL COM	B	540.00	20.00	0.00	20.00	80.0	16.00
07/19/2017	QGW020513 FORST, BARRY	CLEAN TEQ HOLDINGS LTD MELBOURNE SH B	B	2,900.00	20.00	0.00	20.00	80.0	16.00
07/10/2017	QGW020521 FORST, DANIEL	CLEAN TEQ HOLDINGS LTD MELBOURNE SH B	B	1,725.00	20.00	0.00	20.00	80.0	16.00
07/10/2017	QGW020521 FORST, DANIEL	STRATEGIC ENVIRONMENTAL & ENERGY RE S	S	-1,311.60	10.93	0.00	10.93	80.0	8.74
07/17/2017	QGW020521 FORST, DANIEL	CLEAN TEQ HOLDINGS LTD MELBOURNE SH B	B	1,785.00	20.00	0.00	20.00	80.0	16.00
07/17/2017	QGW020521 FORST, DANIEL	STRATEGIC ENVIRONMENTAL & ENERGY RE S	S	-1,015.84	20.00	0.00	20.00	80.0	16.00
07/14/2017	QGW020539 FORST, SHERRIE	SEYCHELLE ENVIRONMENTAL COM	B	790.00	20.00	0.00	20.00	80.0	16.00
07/13/2017	QGW020687 GRAUBERD, ALLAN	PROSHARES TR SHORT 20+ YR TREAS ETF S	S	-9,523.29	50.00	0.00	50.00	80.0	40.00
07/03/2017	QGW021156 SIEGEL, STUART	BRISTOL MYERS SQUIBB CO	B	11,270.00	20.00	0.00	20.00	80.0	16.00
07/10/2017	QGW022204 GRAUBERD, CAROLINE	SYMANTEC CORPORATION	B	17,929.59	50.00	0.00	50.00	80.0	40.00
06/30/2017	QGW023160 RAFANELLO, KRISTA	DALECO RES CORP COM NEW	B	88.00	20.00	0.00	20.00	80.0	16.00
07/10/2017	QGW023160 RAFANELLO, KRISTA	CLEAN TEQ HOLDINGS LTD MELBOURNE SH B	B	1,156.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
07/17/2017	QGW024036	GALLO, ANTONIO	MAGELLAN MIDSTREAM PARTNERS LP UNIT B	6,414.48	20.00	0.00	20.00	80.0	16.00	
07/17/2017	QGW024044	GALLO, TERESA	MAGELLAN MIDSTREAM PARTNERS LP UNIT B	6,414.12	20.00	0.00	20.00	80.0	16.00	
07/21/2017	QGW028193	DARWISH, MENACHEM	VALERO ENERGY CORP NEW	B	172,500.00	35.00	0.00	35.00	80.0	28.00
07/21/2017	QGW028193	DARWISH, MENACHEM	VALERO ENERGY CORP NEW	B	-172,500.00	-35.00	0.00	-35.00	80.0	-28.00 C
07/17/2017	QGW030058	DADDIO, DEANA	SAFETEK INTL INC COM PAR \$0 00001 N S	-1,050.00	50.00	0.00	50.00	80.0	40.00	
07/05/2017	QGW030298	CHERNOFF, GLENN	TERADYNE INC	S	-18,396.54	20.00	0.00	20.00	80.0	16.00
07/18/2017	QGW030298	CHERNOFF, GLENN	ARROW ELECTRS INC COM	B	40,557.00	20.00	0.00	20.00	80.0	16.00
07/25/2017	QGW030298	CHERNOFF, GLENN	NOVANTA INC COM ISIN#CA67000B1040	B	18,975.00	20.00	0.00	20.00	80.0	16.00
07/06/2017	QGW030306	HERMAN, PAUL	TRIO TECH INTL NEW	S	-4,468.80	50.00	0.00	50.00	80.0	40.00
07/19/2017	QGW030306	HERMAN, PAUL	ONCOCYTE CORP COM	S	-562.65	20.00	0.00	20.00	80.0	16.00
07/19/2017	QGW030306	HERMAN, PAUL	REGIONS FINANCIAL CORP	S	-42,137.94	200.00	0.00	200.00	80.0	160.00
07/19/2017	QGW030306	HERMAN, PAUL	TITAN PHARMACEUTICALS INC COM	S	-852.78	20.00	0.00	20.00	80.0	16.00
07/19/2017	QGW030306	HERMAN, PAUL	TRIO TECH INTL NEW	S	-10,628.86	75.00	0.00	75.00	80.0	60.00
07/19/2017	QGW030306	HERMAN, PAUL	VERSAR INC COM	S	-657.12	20.00	0.00	20.00	80.0	16.00
07/24/2017	QGW030306	HERMAN, PAUL	SEVCON INC COM	S	-21,193.98	150.00	0.00	150.00	80.0	120.00
06/28/2017	QGW030314	PERLA, DANIEL	MACYS INC	B	11,729.35	20.00	0.00	20.00	80.0	16.00
06/28/2017	QGW030314	PERLA, DANIEL	RIOCAN REAL ESTATE INVESTMENT TR UN B	12,170.00	20.00	0.00	20.00	80.0	16.00	
07/06/2017	QGW030314	PERLA, DANIEL	CANADIAN DOLLAR CURRENCY	B	-10,032.73	15.94	0.00	15.94	80.0	12.75
06/29/2017	QGW030702	RUDOLPH, MARTIN	AT&T INC	S	-7,656.51	20.00	0.00	20.00	80.0	16.00
06/29/2017	QGW030702	RUDOLPH, MARTIN	BLUE APRON HOLDINGS INC CLASS A COM S	-10,450.00	20.00	0.00	20.00	80.0	16.00	
06/29/2017	QGW030702	RUDOLPH, MARTIN	BLUE APRON HOLDINGS INC CLASS A COM B	10,000.00	20.00	0.00	20.00	80.0	16.00	
07/06/2017	QGW030702	RUDOLPH, MARTIN	APOLLO COMMERCIAL REAL ESTATE FINAN S	-25,072.40	20.00	0.00	20.00	80.0	16.00	
07/06/2017	QGW030702	RUDOLPH, MARTIN	TAHOE RES INC COM ISIN#CA8738681037 B	5,738.60	20.00	0.00	20.00	80.0	16.00	
07/07/2017	QGW030702	RUDOLPH, MARTIN	DELPHI AUTOMOTIVE PLC SHS ISIN#JE00 B	8,680.00	20.00	0.00	20.00	80.0	16.00	
07/07/2017	QGW030702	RUDOLPH, MARTIN	INTEL CORP	B	13,508.00	20.00	0.00	20.00	80.0	16.00
07/11/2017	QGW030702	RUDOLPH, MARTIN	BANK OF AMERICA CORP DEP SHS REPSTG S	-35,057.75	20.00	0.00	20.00	80.0	16.00	
07/13/2017	QGW030702	RUDOLPH, MARTIN	JOHNSON & JOHNSON	B	26,231.32	20.00	0.00	20.00	80.0	16.00
07/18/2017	QGW030728	RUDOLPH, MARTIN	NETFLIX COM INC	S	-88,360.00	20.00	0.00	20.00	80.0	16.00
06/30/2017	QGW030827	TO B CAPITAL SPECIAL	U S GOLD CORP COM PAR \$ NEW 2017	B	855.00	50.00	0.00	50.00	80.0	40.00
07/26/2017	QGW030827	TO B CAPITAL SPECIAL	U S GOLD CORP COM PAR \$ NEW 2017	B	1,003.40	50.23	0.00	50.23	80.0	40.18
05/12/2017	QGW031049	WINNICK, CHARLES	BBX CAP CORP NEW CL A	S	-29,400.00	20.00	0.00	20.00	80.0	16.00
05/12/2017	QGW031049	WINNICK, CHARLES	GREEN BRICK PARTNERS INC COM	B	53,990.00	20.00	0.00	20.00	80.0	16.00
06/28/2017	QGW031049	WINNICK, CHARLES	COLLEGIUM PHARMACEUTICAL INC COM	S	-30,155.00	20.00	0.00	20.00	80.0	16.00
06/29/2017	QGW031049	WINNICK, CHARLES	BLUE APRON HOLDINGS INC CLASS A COM B	20,000.00	20.00	0.00	20.00	80.0	16.00	
06/29/2017	QGW031049	WINNICK, CHARLES	BLUE APRON HOLDINGS INC CLASS A COM S	-20,000.00	20.00	0.00	20.00	80.0	16.00	
07/03/2017	QGW031049	WINNICK, CHARLES	CROCS INC	S	-78,931.00	20.00	0.00	20.00	80.0	16.00
07/03/2017	QGW031049	WINNICK, CHARLES	PROPETRO HLDG CORP COM	S	-50,320.20	20.00	0.00	20.00	80.0	16.00
07/03/2017	QGW031049	WINNICK, CHARLES	YRC WORLDWIDE INC	S	-34,302.60	20.00	0.00	20.00	80.0	16.00
07/10/2017	QGW031049	WINNICK, CHARLES	CARRIZO OIL & GAS INC COM	B	78,140.00	20.00	0.00	20.00	80.0	16.00
07/11/2017	QGW031049	WINNICK, CHARLES	CARRIZO OIL & GAS INC COM	B	78,477.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
07/11/2017	QGW031049	WINNICK, CHARLES	PDC ENERGY INC COM	B	85,634.60	20.00	0.00	20.00	80.0	16.00
07/14/2017	QGW031049	WINNICK, CHARLES	CARRIZO OIL & GAS INC COM	S	-81,913.00	20.00	0.00	20.00	80.0	16.00
07/14/2017	QGW031049	WINNICK, CHARLES	PDC ENERGY INC COM	S	-89,050.00	20.00	0.00	20.00	80.0	16.00
07/18/2017	QGW031049	WINNICK, CHARLES	FIRST RESOURCE BANK PA	B	31,612.35	20.00	0.00	20.00	80.0	16.00
07/18/2017	QGW031049	WINNICK, CHARLES	ORACLE CORPORATION	B	100,511.00	20.00	0.00	20.00	80.0	16.00
07/18/2017	QGW031049	WINNICK, CHARLES	PBF LOGISTICS LP UNIT LTD PARTNERSH S	S	-37,565.28	20.00	0.00	20.00	80.0	16.00
07/19/2017	QGW031049	WINNICK, CHARLES	CARRIZO OIL & GAS INC COM	S	-80,228.00	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW031049	WINNICK, CHARLES	GREEN BRICK PARTNERS INC COM	B	57,490.00	20.00	0.00	20.00	80.0	16.00
07/24/2017	QGW031049	WINNICK, CHARLES	NEW YORK REIT INC COM	B	60,620.00	20.00	0.00	20.00	80.0	16.00
07/25/2017	QGW031049	WINNICK, CHARLES	NEW YORK REIT INC COM	B	43,499.50	20.00	0.00	20.00	80.0	16.00
07/26/2017	QGW031049	WINNICK, CHARLES	ORACLE CORPORATION	S	-102,106.00	20.00	0.00	20.00	80.0	16.00
07/26/2017	QGW031049	WINNICK, CHARLES	TROPICANA ENTMT INC COM	B	4,281.75	20.00	0.00	20.00	80.0	16.00
06/30/2017	QGW035032	HACKEL, ROBERT	TESLA MOTORS INC	B	363,250.00	20.00	0.00	20.00	80.0	16.00
07/18/2017	QGW035065	LIEBERT, JAMES	ELXSI CORP COM	S	-493.00	50.00	0.00	50.00	80.0	40.00
07/14/2017	QGW036436	BELZ, KATHLEEN	SNAP INC CLASS A COMMON STOCK	S	-38,537.50	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW036436	BELZ, KATHLEEN	ATLAS AIR WORLDWIDE HLDGS INC FORME S	S	-55,000.00	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW036436	BELZ, KATHLEEN	BANK OF AMERICA CORP	B	61,250.00	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW036436	BELZ, KATHLEEN	TWITTER INC	S	-30,000.00	20.00	0.00	20.00	80.0	16.00
07/14/2017	QGW036451	BELZ, RICHARD	SNAP INC CLASS A COMMON STOCK	S	-30,820.00	20.00	0.00	20.00	80.0	16.00
06/29/2017	QGW036550	FATTORUSO, PHYLLIS	GOVERNMENT PROPERTIES INCOME TRUST	B	9,230.00	20.00	0.00	20.00	80.0	16.00
07/11/2017	QGW036550	FATTORUSO, PHYLLIS	DINEEQUITY INC	B	12,735.00	20.00	0.00	20.00	80.0	16.00
07/12/2017	QGW036550	FATTORUSO, PHYLLIS	DINEEQUITY INC	B	12,872.01	20.00	0.00	20.00	80.0	16.00
07/18/2017	QGW036550	FATTORUSO, PHYLLIS	GABELLI EQUITY TRUST INC	S	-9,351.45	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW036550	FATTORUSO, PHYLLIS	APOLLO COMMERCIAL REAL ESTATE FINAN	B	9,127.50	20.00	0.00	20.00	80.0	16.00
07/06/2017	QGW036659	SHARTSIS, JAY	TAHOE RES INC COM ISIN#CA8738681037	B	11,488.00	20.00	0.00	20.00	80.0	16.00
07/07/2017	QGW036659	SHARTSIS, JAY	TAHOE RES INC COM ISIN#CA8738681037	S	-5,493.10	20.00	0.00	20.00	80.0	16.00
07/11/2017	QGW037194	CUSTOIAN, ANTONIO	APPLE INC	B	1,446.18	20.00	0.00	20.00	80.0	16.00
07/11/2017	QGW037194	CUSTOIAN, ANTONIO	GENERAL ELECTRIC CO	B	1,819.09	20.00	0.00	20.00	80.0	16.00
07/25/2017	QGW037368	HEIBER, JENNIFER	BRITISH AMERN TOB PLC SPONSORED ADR	B	16,293.75	20.00	0.00	20.00	80.0	16.00
07/26/2017	QGW037368	HEIBER, JENNIFER	AK STEEL HOLDING CORP	B	62,400.00	20.00	0.00	20.00	80.0	16.00
07/26/2017	QGW037368	HEIBER, JENNIFER	GNC HLDGS INC COM CL A	B	95,000.00	20.00	0.00	20.00	80.0	16.00
07/25/2017	QGW038309	HIEBER & JEAN F	BRITISH AMERN TOB PLC SPONSORED ADR	B	6,531.25	100.00	0.00	100.00	80.0	80.00
* STOCKS SUBTOTAL *					\$1,349,390.71	\$5,433.50	\$0.00	\$5,433.50		\$4,346.78
PRODUCT CATEGORY: BONDS										
07/26/2017	75500349	POMP, BARRY	SOCIETE GENERALE 13% CONTINGENT INC	B	10,120.00	0.00	0.00	0.00	80.0	0.00
07/06/2017	QGW030702	RUDOLPH, MARTIN	HERTZ CORP SR NT FULLY EXCH FROM 40	B	8,844.80	20.00	0.00	20.00	80.0	16.00
* BONDS SUBTOTAL *					\$18,964.80	\$20.00	\$0.00	\$20.00		\$16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
06/30/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- B		34,968.00	180.00	0.00	180.00	80.0	144.00
06/30/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 02- S		-26,400.00	95.00	0.00	95.00	80.0	76.00
06/30/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 02- S		-20,700.00	95.00	0.00	95.00	80.0	76.00
06/30/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 01-19 S		-4,020.00	11.70	0.00	11.70	80.0	9.36
06/30/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 06-30-17@ B		241.98	15.10	0.00	15.10	80.0	12.08
07/05/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		12,084.99	12.55	0.00	12.55	80.0	10.04
07/05/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-7,973.01	12.55	0.00	12.55	80.0	10.04
07/05/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- S		-510.00	35.50	0.00	35.50	80.0	28.40
07/06/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		3,708.00	10.85	0.00	10.85	80.0	8.68
07/06/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-2,423.00	10.85	0.00	10.85	80.0	8.68
07/06/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- S		-4,750.05	22.75	0.00	22.75	80.0	18.20
07/06/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- S		-460.00	18.50	0.00	18.50	80.0	14.80
07/06/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- S		-210.00	18.50	0.00	18.50	80.0	14.80
07/07/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 S		-2,985.00	12.55	0.00	12.55	80.0	10.04
07/07/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 S		-7,351.96	21.90	0.00	21.90	80.0	17.52
07/07/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 B		522.00	12.55	0.00	12.55	80.0	10.04
07/07/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 B		393.96	21.90	0.00	21.90	80.0	17.52
07/07/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-11-17 B		9,044.91	27.85	0.00	27.85	80.0	22.28
07/07/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-11-17 S		-3,971.10	27.85	0.00	27.85	80.0	22.28
07/07/2017	QGW001166 KOONDEL	PUT 100 OCCIDENTAL PETE CORP COM EX S		-240.00	13.40	0.00	13.40	80.0	10.72
07/10/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 S		-16,501.05	22.75	0.00	22.75	80.0	18.20
07/10/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 S		-14,414.10	29.55	0.00	29.55	80.0	23.64
07/10/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 B		3,490.05	22.75	0.00	22.75	80.0	18.20
07/10/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 B		1,023.04	29.55	0.00	29.55	80.0	23.64
07/10/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- S		-411.00	12.55	0.00	12.55	80.0	10.04
07/12/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-3,400.00	11.70	0.00	11.70	80.0	9.36
07/12/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 S		-7,428.96	20.20	0.00	20.20	80.0	16.16
07/12/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 B		303.96	20.20	0.00	20.20	80.0	16.16
07/13/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 S		-27,665.98	44.85	0.00	44.85	80.0	35.88
07/13/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 B		741.00	10.85	0.00	10.85	80.0	8.68
07/13/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 B		1,416.96	44.85	0.00	44.85	80.0	35.88
07/13/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 S		-52.00	10.85	0.00	10.85	80.0	8.68
07/13/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-14-17 S		-780.00	22.75	0.00	22.75	80.0	18.20
07/13/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-14-17 B		15.00	22.75	0.00	22.75	80.0	18.20
07/14/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-11-17 S		-586.00	14.25	0.00	14.25	80.0	11.40
07/14/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-01-17 B		4,234.00	18.50	0.00	18.50	80.0	14.80
07/14/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-01-17 S		-1,694.00	18.50	0.00	18.50	80.0	14.80
07/14/2017	QGW001166 KOONDEL	CALL 100 CME GROUP INC COM EXP 01-1 S		-2,712.99	12.55	0.00	12.55	80.0	10.04
07/14/2017	QGW001166 KOONDEL	CALL 100 CME GROUP INC COM EXP 09-1 B		2,229.99	12.55	0.00	12.55	80.0	10.04
07/14/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 08-11-17@ S		-818.00	14.25	0.00	14.25	80.0	11.40

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
07/20/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-28-17 S		-1,730.00	13.40	0.00	13.40	80.0	10.72
07/20/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-28-17 B		871.93	29.55	0.00	29.55	80.0	23.64
07/24/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-28-17 S		-7,830.09	26.15	0.00	26.15	80.0	20.92
07/25/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 S		-22,034.00	11.70	0.00	11.70	80.0	9.36
07/25/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 S		-23,475.00	12.55	0.00	12.55	80.0	10.04
07/25/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 B		14,767.00	11.70	0.00	11.70	80.0	9.36
07/25/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 B		30,675.00	14.25	0.00	14.25	80.0	11.40
07/25/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-11-17 S		-2,029.00	11.70	0.00	11.70	80.0	9.36
07/25/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-11-17 B		1,088.00	11.70	0.00	11.70	80.0	9.36
07/25/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- S		-2,405.97	17.65	0.00	17.65	80.0	14.12
07/25/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- S		-713.90	28.70	0.00	28.70	80.0	22.96
07/25/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- S		-790.02	17.65	0.00	17.65	80.0	14.12
07/26/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		15,494.00	13.40	0.00	13.40	80.0	10.72
07/26/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-18,373.02	15.10	0.00	15.10	80.0	12.08
07/26/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-11-17 S		-19,540.93	26.15	0.00	26.15	80.0	20.92
07/26/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-11-17 B		10,377.99	26.15	0.00	26.15	80.0	20.92
07/26/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- S		-320.00	18.50	0.00	18.50	80.0	14.80
07/27/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- S		-430.00	27.00	0.00	27.00	80.0	21.60
07/27/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 08-11-17@ S		-176.00	13.40	0.00	13.40	80.0	10.72
07/27/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 08-11-17@ S		-372.00	13.40	0.00	13.40	80.0	10.72
05/12/2017	QGW004905 CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT S		-1,000.00	100.00	0.00	100.00	80.0	80.00
05/12/2017	QGW004905 CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT S		-800.00	100.00	0.00	100.00	80.0	80.00
07/26/2017	QGW005936 RABBITO, RICHARD	CALL 100 MORGAN STANLEY COM NEW EXP B		375.00	35.00	0.00	35.00	80.0	28.00
07/03/2017	QGW006504 COLE, ALAN	CALL 100 REGENERON PHARMACEUTICALS S		-9,820.00	35.00	0.00	35.00	80.0	28.00
07/03/2017	QGW006504 COLE, ALAN	CALL 100 REGENERON PHARMACEUTICALS B		3,620.00	35.00	0.00	35.00	80.0	28.00
07/06/2017	QGW006504 COLE, ALAN	CALL 100 REGENERON PHARMACEUTICALS S		-5,000.00	35.00	0.00	35.00	80.0	28.00
07/06/2017	QGW006504 COLE, ALAN	PUT 100 REGENERON PHARMACEUTICALS I S		-4,250.00	35.00	0.00	35.00	80.0	28.00
07/10/2017	QGW006504 COLE, ALAN	CALL 100 REGENERON PHARMACEUTICALS B		7,285.00	35.00	0.00	35.00	80.0	28.00
07/10/2017	QGW006504 COLE, ALAN	PUT 100 REGENERON PHARMACEUTICALS I B		1,835.00	35.00	0.00	35.00	80.0	28.00
07/14/2017	QGW006504 COLE, ALAN	CALL 100 REGENERON PHARMACEUTICALS S		-5,500.00	35.00	0.00	35.00	80.0	28.00
07/14/2017	QGW006504 COLE, ALAN	PUT 100 REGENERON PHARMACEUTICALS I S		-4,100.00	35.00	0.00	35.00	80.0	28.00
07/17/2017	QGW006504 COLE, ALAN	CALL 100 REGENERON PHARMACEUTICALS B		5,195.00	35.00	0.00	35.00	80.0	28.00
07/17/2017	QGW006504 COLE, ALAN	CALL 100 REGENERON PHARMACEUTICALS B		546.00	35.00	0.00	35.00	80.0	28.00
07/17/2017	QGW006504 COLE, ALAN	PUT 100 REGENERON PHARMACEUTICALS I B		1,555.00	35.00	0.00	35.00	80.0	28.00
07/17/2017	QGW006504 COLE, ALAN	PUT 100 REGENERON PHARMACEUTICALS I B		2,004.00	35.00	0.00	35.00	80.0	28.00
07/20/2017	QGW006504 COLE, ALAN	CALL 100 REGENERON PHARMACEUTICALS B		7,500.00	35.00	0.00	35.00	80.0	28.00
07/20/2017	QGW006504 COLE, ALAN	CALL 100 REGENERON PHARMACEUTICALS B		2,100.00	35.00	0.00	35.00	80.0	28.00
07/20/2017	QGW006504 COLE, ALAN	PUT 100 REGENERON PHARMACEUTICALS I B		90.00	35.00	0.00	35.00	80.0	28.00
07/20/2017	QGW006504 COLE, ALAN	PUT 100 REGENERON PHARMACEUTICALS I B		60.00	35.00	0.00	35.00	80.0	28.00
07/21/2017	QGW006504 COLE, ALAN	CALL 100 REGENERON PHARMACEUTICALS B		2,400.00	35.00	0.00	35.00	80.0	28.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
06/30/2017	QGW009730	SHARTSIS, JAY	CALL 100 SHERWIN WILLIAMS CO COM EX S	-1,700.00	20.00	0.00	20.00	80.0	16.00
07/03/2017	QGW009730	SHARTSIS, JAY	CALL 100 DIREXION SHS ETF TR DAILY S	-440.00	20.00	0.00	20.00	80.0	16.00
07/03/2017	QGW009730	SHARTSIS, JAY	PUT 100 LAS VEGAS SANDS CORP COM EX B	3,148.00	20.00	0.00	20.00	80.0	16.00
07/03/2017	QGW009730	SHARTSIS, JAY	PUT 100 LAS VEGAS SANDS CORP COM EX B	-3,148.00	-20.00	0.00	-20.00	80.0	-16.00 C
07/03/2017	QGW009730	SHARTSIS, JAY	PUT 100 LAS VEGAS SANDS CORP COM EX B	3,148.00	20.00	0.00	20.00	80.0	16.00
07/05/2017	QGW009730	SHARTSIS, JAY	CALL 100 POWERSHARES QQQ TR UNIT SE S	-2,120.00	20.00	0.00	20.00	80.0	16.00
07/05/2017	QGW009730	SHARTSIS, JAY	CALL 100 POWERSHARES QQQ TR UNIT SE S	2,120.00	-20.00	0.00	-20.00	80.0	-16.00 C
07/05/2017	QGW009730	SHARTSIS, JAY	CALL 100 POWERSHARES QQQ TR UNIT SE S	-2,120.00	20.00	0.00	20.00	80.0	16.00
07/05/2017	QGW009730	SHARTSIS, JAY	PUT 100 TESLA INC EXP 07-14-17 @325 S	-830.00	20.00	0.00	20.00	80.0	16.00
07/06/2017	QGW009730	SHARTSIS, JAY	PUT 100 LAS VEGAS SANDS CORP COM EX S	-3,720.00	20.00	0.00	20.00	80.0	16.00
07/07/2017	QGW009730	SHARTSIS, JAY	CALL 100 DIREXION SHS ETF TR DIREXI S	-250.00	20.00	0.00	20.00	80.0	16.00
07/10/2017	QGW009730	SHARTSIS, JAY	CALL 100 AMAZON COM INC EXP 07-14-1 B	24.00	20.00	0.00	20.00	80.0	16.00
07/11/2017	QGW009730	SHARTSIS, JAY	CALL 100 AMAZON COM INC EXP 07-21-1 S	-1,133.00	20.00	0.00	20.00	80.0	16.00
07/11/2017	QGW009730	SHARTSIS, JAY	CALL 100 BARCLAYS BK PLC IPATH DOW S	-300.00	20.00	0.00	20.00	80.0	16.00
07/12/2017	QGW009730	SHARTSIS, JAY	CALL 100 APPLE INC COM EXP 07-14-17 S	-1,860.00	20.00	0.00	20.00	80.0	16.00
07/12/2017	QGW009730	SHARTSIS, JAY	CALL 100 APPLE INC COM EXP 07-14-17 B	665.00	20.00	0.00	20.00	80.0	16.00
07/13/2017	QGW009730	SHARTSIS, JAY	CALL 100 APPLE INC COM EXP 07-14-17 B	1,100.00	20.00	0.00	20.00	80.0	16.00
07/13/2017	QGW009730	SHARTSIS, JAY	CALL 100 HERTZ GLOBAL HLDGS INC NEW S	-215.00	20.00	0.00	20.00	80.0	16.00
07/14/2017	QGW009730	SHARTSIS, JAY	CALL 100 WAYFAIR INC CL A EXP 07-21 S	-2,100.00	20.00	0.00	20.00	80.0	16.00
07/18/2017	QGW009730	SHARTSIS, JAY	CALL 100 POWERSHARES QQQ TR UNIT SE S	-670.00	20.00	0.00	20.00	80.0	16.00
07/19/2017	QGW009730	SHARTSIS, JAY	CALL 100 POWERSHARES QQQ TR UNIT SE B	1,047.00	20.00	0.00	20.00	80.0	16.00
07/19/2017	QGW009730	SHARTSIS, JAY	CALL 100 TESLA INC EXP 07-28-17 @32 S	-1,000.00	20.00	0.00	20.00	80.0	16.00
07/20/2017	QGW009730	SHARTSIS, JAY	CALL 100 SHERWIN WILLIAMS CO COM EX B	1,010.00	20.00	0.00	20.00	80.0	16.00
07/24/2017	QGW009730	SHARTSIS, JAY	CALL 100 DIREXION SHS ETF TR DAILY S	-1,300.00	20.00	0.00	20.00	80.0	16.00
07/24/2017	QGW009730	SHARTSIS, JAY	CALL 100 PROSHARES TR II ULTA BLOOM S	-504.00	20.00	0.00	20.00	80.0	16.00
07/25/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR NASDAQ BIOTECHN S	-5,100.00	20.00	0.00	20.00	80.0	16.00
07/25/2017	QGW009730	SHARTSIS, JAY	PUT 100 SPDR SER TR S&P BIOTECH ETF B	4,460.00	20.00	0.00	20.00	80.0	16.00
07/27/2017	QGW009730	SHARTSIS, JAY	CALL 100 NVIDIA CORP COM EXP 08-18- S	-5,279.00	20.00	0.00	20.00	80.0	16.00
07/27/2017	QGW009730	SHARTSIS, JAY	CALL 100 NVIDIA CORP COM EXP 08-18- B	1,160.00	20.00	0.00	20.00	80.0	16.00
07/28/2017	QGW009730	SHARTSIS, JAY	PUT 100 SPDR SER TR S&P BIOTECH ETF S	-6,463.00	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW013377	GRASS, PAUL	CALL 100 GENERAL ELECTRIC CO COM EX S	-552.00	20.00	0.00	20.00	80.0	16.00
07/21/2017	QGW013609	WOLLOCH, PSP	CALL 100 GENERAL ELECTRIC CO COM EX S	-549.00	20.00	0.00	20.00	80.0	16.00
06/02/2017	QGW014391	DARWISH, MENACHEM	CALL 100 VALERO ENERGY CORP NEW COM B	16,050.00	35.00	0.00	35.00	80.0	28.00
07/19/2017	QGW014391	DARWISH, MENACHEM	CALL 100 VALERO ENERGY CORP NEW COM B	3,850.00	35.00	0.00	35.00	80.0	28.00
07/03/2017	QGW021156	SIEGEL, STUART	CALL 100 BRISTOL MYERS SQUIBB CO CO S	-386.00	20.00	0.00	20.00	80.0	16.00
06/02/2017	QGW028193	DARWISH, MENACHEM	CALL 100 VALERO ENERGY CORP NEW COM B	-16,050.00	-35.00	0.00	-35.00	80.0	-28.00 C
07/12/2017	QGW029019	SHARTSIS, JAY	CALL 100 TESLA INC EXP 07-14-17 @33 S	-734.00	20.00	0.00	20.00	80.0	16.00
07/13/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 BANK AMER CORP COM EXP 01- S	-270.00	20.00	0.00	20.00	80.0	16.00
07/13/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 DELPHI AUTOMOTIVE PLC SHS S	-372.00	20.00	0.00	20.00	80.0	16.00
07/13/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 INTEL CORP COM EXP 01-19-1 S	-336.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
07/13/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 JOHNSON & JOHNSON COM EXP S	-650.00	20.00	0.00	20.00	80.0	16.00
07/24/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 VUZIX CORP COM NEW EXP 01- S	-500.00	20.00	0.00	20.00	80.0	16.00
07/26/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 FACEBOOK INC CL A EXP 07-2 B	2,500.00	20.00	0.00	20.00	80.0	16.00
07/26/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 FACEBOOK INC CL A EXP 07-2 B	-2,500.00	-20.00	0.00	-20.00	80.0	-16.00 C
07/17/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 SKY WEST INC COM EXP 10-20 S	-600.00	20.00	0.00	20.00	80.0	16.00
07/24/2017	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 08-18-17 S	-1,000.00	20.00	0.00	20.00	80.0	16.00
07/24/2017	QGW030728	RUDOLPH, MARTIN	PUT 100 NETFLIX INC COM EXP 08-18-1 S	-1,032.00	20.00	0.00	20.00	80.0	16.00
07/26/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 FACEBOOK INC CL A EXP 07-2 B	2,500.00	20.00	0.00	20.00	80.0	16.00
07/12/2017	QGW031049	WINNICK, CHARLES	CALL 100 PBF ENERGY INC CL A EXP 09 S	-9,090.00	20.00	0.00	20.00	80.0	16.00
07/03/2017	QGW036436	BELZ, KATHLEEN	CALL 100 BANK AMER CORP COM EXP 07- S	-168.00	20.00	0.00	20.00	80.0	16.00
07/06/2017	QGW036436	BELZ, KATHLEEN	PUT 100 TWITTER INC COM EXP 08-18-1 S	-2,460.00	20.00	0.00	20.00	80.0	16.00
07/11/2017	QGW036436	BELZ, KATHLEEN	PUT 100 BANK AMER CORP COM EXP 07-2 S	-925.00	20.00	0.00	20.00	80.0	16.00
07/13/2017	QGW036436	BELZ, KATHLEEN	CALL 100 BANK AMER CORP COM EXP 07- S	-312.00	20.00	0.00	20.00	80.0	16.00
07/24/2017	QGW036436	BELZ, KATHLEEN	PUT 100 TWITTER INC COM EXP 08-18-1 S	-2,190.00	20.00	0.00	20.00	80.0	16.00
07/25/2017	QGW036436	BELZ, KATHLEEN	CALL 100 BANK AMER CORP COM EXP 08- S	-637.00	20.00	0.00	20.00	80.0	16.00
07/25/2017	QGW036436	BELZ, KATHLEEN	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-330.00	20.00	0.00	20.00	80.0	16.00
07/25/2017	QGW036436	BELZ, KATHLEEN	PUT 100 TWITTER INC COM EXP 08-18-1 S	-1,520.00	20.00	0.00	20.00	80.0	16.00
07/27/2017	QGW036444	BELZ, RICHARD	CALL 100 OPKO HEALTH INC COM EXP 08 S	-400.00	20.00	0.00	20.00	80.0	16.00
07/27/2017	QGW036444	BELZ, RICHARD	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-950.00	20.00	0.00	20.00	80.0	16.00
07/20/2017	QGW036451	BELZ, RICHARD	CALL 100 BANK AMER CORP COM EXP 07- S	-270.00	20.00	0.00	20.00	80.0	16.00
07/24/2017	QGW036451	BELZ, RICHARD	CALL 100 COHERUS BIOSCIENCES INC CO S	-1,400.00	20.00	0.00	20.00	80.0	16.00
07/24/2017	QGW036451	BELZ, RICHARD	CALL 100 OLLIES BARGAIN OUTLET HLDG S	-950.00	20.00	0.00	20.00	80.0	16.00
07/25/2017	QGW036451	BELZ, RICHARD	PUT 100 HEICO CORP NEW EXP 08-18-1 S	-1,400.00	20.00	0.00	20.00	80.0	16.00
* OPTIONS SUBTOTAL *				\$-152,894.37	\$3,398.40	\$0.00	\$3,398.40		\$2,718.72

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2017	00058581921	HAMROCK /SEP,	THE INVESTMENT COMPANY OF AMERICA-A B	0.00	61.38	0.00	61.38	80.0	49.10
06/01/2017	00062773949	UNTIL AGE 21,	WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	4.02	0.00	4.02	80.0	3.22
06/01/2017	00062773950	UNTIL AGE 21,	WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	3.60	0.00	3.60	80.0	2.88
06/01/2017	00063363878	NGUYEN, BANG	AMCAP FUND-A B	0.00	0.69	0.00	0.69	80.0	0.55
06/01/2017	00063363878	NGUYEN, BANG	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	0.83	0.00	0.83	80.0	0.66
06/01/2017	00063363878	NGUYEN, BANG	EUROPACIFIC GROWTH FUND-A B	0.00	5.85	0.00	5.85	80.0	4.68
06/01/2017	00063363878	NGUYEN, BANG	FUNDAMENTAL INVESTORS-A B	0.00	1.93	0.00	1.93	80.0	1.54
06/01/2017	00063363878	NGUYEN, BANG	NEW PERSPECTIVE FUND-A B	0.00	1.72	0.00	1.72	80.0	1.38
06/01/2017	00063363878	NGUYEN, BANG	NEW WORLD FUND-A B	0.00	1.83	0.00	1.83	80.0	1.46
06/01/2017	00063363878	NGUYEN, BANG	SMALLCAP WORLD FUND-A B	0.00	0.96	0.00	0.96	80.0	0.77
06/01/2017	00063363878	NGUYEN, BANG	THE GROWTH FUND OF AMERICA-A B	0.00	0.92	0.00	0.92	80.0	0.74
06/01/2017	00063363878	NGUYEN, BANG	THE INVESTMENT COMPANY OF AMERICA-A B	0.00	5.59	0.00	5.59	80.0	4.47
06/01/2017	00063363878	NGUYEN, BANG	THE NEW ECONOMY FUND-A B	0.00	0.98	0.00	0.98	80.0	0.78
06/01/2017	00064558607	BRECKENRIDGE,	EUROPACIFIC GROWTH FUND-A B	0.00	1.31	0.00	1.31	80.0	1.05

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2017	00064558607	BRECKENRIDGE, NEW PERSPECTIVE FUND-A	B	0.00	1.55	0.00	1.55	80.0	1.24
06/01/2017	00064558607	BRECKENRIDGE, NEW WORLD FUND-A	B	0.00	1.61	0.00	1.61	80.0	1.29
06/01/2017	00064558607	BRECKENRIDGE, THE GROWTH FUND OF AMERICA-A	B	0.00	0.01	0.00	0.01	80.0	0.01
06/01/2017	00064558607	BRECKENRIDGE, THE NEW ECONOMY FUND-A	B	0.00	1.60	0.00	1.60	80.0	1.28
06/01/2017	00067057124	SHORT, LAUREN GROWTH FUND OF AMERICA CLASS F	B	0.00	27.99	0.00	27.99	80.0	22.39
06/01/2017	00067057124	SHORT, LAUREN NEW PERSPECTIVE FUND INC CL F1	B	0.00	31.23	0.00	31.23	80.0	24.98
06/01/2017	00067057124	SHORT, LAUREN THE NEW ECONOMY FUND-F1	B	0.00	9.79	0.00	9.79	80.0	7.83
06/01/2017	00067985265	FAZELYAR, JOSEPH THE BOND FUND OF AMERICA-529A	B	0.00	0.98	0.00	0.98	80.0	0.78
06/01/2017	00067985266	FAZELYAR, JOSEPH THE BOND FUND OF AMERICA-529A	B	0.00	0.98	0.00	0.98	80.0	0.78
06/01/2017	00067985267	FAZELYAR, JOSEPH THE BOND FUND OF AMERICA-529A	B	0.00	0.98	0.00	0.98	80.0	0.78
06/01/2017	00069036587	ARDAYFIO, IMANI THE GROWTH FUND OF AMERICA-529A	B	0.00	13.68	0.00	13.68	80.0	10.94
06/01/2017	00069036587	ARDAYFIO, IMANI THE INCOME FUND OF AMERICA-529A	B	0.00	3.72	0.00	3.72	80.0	2.98
06/01/2017	00069275020	CREGAN, KIMBERLY EUROPACIFIC GROWTH FUND-A	B	0.00	4.50	0.00	4.50	80.0	3.60
06/01/2017	00069275020	CREGAN, KIMBERLY THE GROWTH FUND OF AMERICA-A	B	0.00	6.12	0.00	6.12	80.0	4.90
06/01/2017	00069275020	CREGAN, KIMBERLY THE INCOME FUND OF AMERICA-A	B	0.00	5.24	0.00	5.24	80.0	4.19
06/01/2017	00073167825	HARRIS, AROM EUROPACIFIC GROWTH FUND-A	B	0.00	8.78	0.00	8.78	80.0	7.02
06/01/2017	00073167825	HARRIS, AROM THE GROWTH FUND OF AMERICA-A	B	0.00	21.95	0.00	21.95	80.0	17.56
06/01/2017	00073167825	HARRIS, AROM WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	9.55	0.00	9.55	80.0	7.64
06/01/2017	00073356751	PENCE, MADELINE AMERICAN BALANCED FUND-529A	B	0.00	1.94	0.00	1.94	80.0	1.55
06/01/2017	00073356751	PENCE, MADELINE THE BOND FUND OF AMERICA-529A	B	0.00	2.45	0.00	2.45	80.0	1.96
06/01/2017	00073390879	TRIGGS, JOHN AMERICAN BALANCED FUND-A	B	0.00	0.95	0.00	0.95	80.0	0.76
06/01/2017	00073390879	TRIGGS, JOHN NEW PERSPECTIVE FUND-A	B	0.00	1.95	0.00	1.95	80.0	1.56
06/01/2017	00073390879	TRIGGS, JOHN THE BOND FUND OF AMERICA-A	B	0.00	1.33	0.00	1.33	80.0	1.06
06/01/2017	00073390879	TRIGGS, JOHN THE GROWTH FUND OF AMERICA-A	B	0.00	13.72	0.00	13.72	80.0	10.98
06/01/2017	00073390879	TRIGGS, JOHN THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	1.82	0.00	1.82	80.0	1.46
06/01/2017	00074087372	WITTE, AUDRA NEW PERSPECTIVE FUND-529A	B	0.00	0.17	0.00	0.17	80.0	0.14
06/01/2017	00074087372	WITTE, AUDRA THE GROWTH FUND OF AMERICA-529A	B	0.00	4.30	0.00	4.30	80.0	3.44
06/01/2017	00074087372	WITTE, AUDRA THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	2.71	0.00	2.71	80.0	2.17
06/01/2017	00074264410	SUSI, GIANCARLO FUNDAMENTAL INVESTORS-529E	B	0.00	50.92	0.00	50.92	80.0	40.74
06/01/2017	00074264410	SUSI, GIANCARLO THE GROWTH FUND OF AMERICA-529E	B	0.00	16.46	0.00	16.46	80.0	13.17
06/01/2017	00074683116	ARP, JAMES AMERICAN BALANCED FUND-529A	B	0.00	0.45	0.00	0.45	80.0	0.36
06/01/2017	00074683116	ARP, JAMES THE GROWTH FUND OF AMERICA-529A	B	0.00	6.62	0.00	6.62	80.0	5.30
06/01/2017	00074889851	WRAY, RAYMOND AMERICAN BALANCED FUND INC CL F1	B	0.00	0.26	0.00	0.26	80.0	0.21
06/01/2017	00074889957	BOFFETTI, DIANNE AMERICAN BALANCED FUND INC CL F1	B	0.00	3.16	0.00	3.16	80.0	2.53
06/01/2017	00074890025	HUDSON, DEAL AMERICAN BALANCED FUND INC CL F1	B	0.00	15.01	0.00	15.01	80.0	12.01
06/01/2017	00074890025	HUDSON, DEAL GROWTH FUND OF AMERICA CLASS F	B	0.00	17.73	0.00	17.73	80.0	14.18
06/01/2017	00074890807	HUDSON, DEAL AMERICAN BALANCED FUND-C	B	0.00	27.43	0.00	27.43	80.0	21.94
06/01/2017	00074890807	HUDSON, DEAL AMERICAN BALANCED FUND INC CL F1	B	0.00	47.41	0.00	47.41	80.0	37.93
06/01/2017	00074890807	HUDSON, DEAL GROWTH FUND OF AMERICA CLASS F	B	0.00	55.25	0.00	55.25	80.0	44.20
06/01/2017	00074890807	HUDSON, DEAL THE GROWTH FUND OF AMERICA-C	B	0.00	29.22	0.00	29.22	80.0	23.38

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2017	00074890913 WRAY, RAYMOND	AMERICAN BALANCED FUND INC CL F1	B	0.00	10.20	0.00	10.20	80.0	8.16
06/01/2017	00074946171 CABANISS, MARGARET	GROWTH FUND OF AMERICA CLASS F	B	0.00	2.72	0.00	2.72	80.0	2.18
06/01/2017	00074946171 CABANISS, MARGARET	THE GROWTH FUND OF AMERICA-C	B	0.00	48.81	0.00	48.81	80.0	39.05
06/01/2017	00075121937 ROMANOWSKY	GROWTH FUND OF AMERICA CLASS F	B	0.00	1.55	0.00	1.55	80.0	1.24
06/01/2017	00075121970 SAINT-PAUL, BRIAN	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	3.62	0.00	3.62	80.0	2.90
06/01/2017	00075458097 VANDENHEUVEL, RYAN	EUROPACIFIC GROWTH FUND-A	B	0.00	6.44	0.00	6.44	80.0	5.15
06/01/2017	00075458097 VANDENHEUVEL, RYAN	NEW PERSPECTIVE FUND-A	B	0.00	8.21	0.00	8.21	80.0	6.57
06/01/2017	00075458097 VANDENHEUVEL, RYAN	THE GROWTH FUND OF AMERICA-A	B	0.00	8.93	0.00	8.93	80.0	7.14
06/01/2017	00075458097 VANDENHEUVEL, RYAN	THE INCOME FUND OF AMERICA-A	B	0.00	6.00	0.00	6.00	80.0	4.80
06/01/2017	00075458097 VANDENHEUVEL, RYAN	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	6.67	0.00	6.67	80.0	5.34
06/01/2017	00075490501 TRICARICO, VINCENT	THE BOND FUND OF AMERICA-529C	B	0.00	55.75	0.00	55.75	80.0	44.60
06/01/2017	00075490569 TRICARICO, VINCENT	THE BOND FUND OF AMERICA-529C	B	0.00	32.66	0.00	32.66	80.0	26.13
06/01/2017	00075593923 VANDENHEUVEL, KURT	EUROPACIFIC GROWTH FUND-A	B	0.00	1.88	0.00	1.88	80.0	1.50
06/01/2017	00075593923 VANDENHEUVEL, KURT	THE GROWTH FUND OF AMERICA-A	B	0.00	4.90	0.00	4.90	80.0	3.92
06/01/2017	00075654717 MOUSSELLI, LAYLA	THE GROWTH FUND OF AMERICA-529A	B	0.00	0.77	0.00	0.77	80.0	0.62
06/01/2017	00075654788 MOUSSELLI, LAYLA	THE GROWTH FUND OF AMERICA-529A	B	0.00	2.23	0.00	2.23	80.0	1.78
06/01/2017	00075975643 CRISTE, VINCE	GROWTH FUND OF AMERICA CLASS F	B	0.00	4.01	0.00	4.01	80.0	3.21
06/01/2017	00075975643 CRISTE, VINCE	NEW WORLD FUND-C	B	0.00	5.93	0.00	5.93	80.0	4.74
06/01/2017	00075975643 CRISTE, VINCE	THE GROWTH FUND OF AMERICA-C	B	0.00	61.49	0.00	61.49	80.0	49.19
06/01/2017	00081212157 CARDENAS, ELENA	GROWTH FUND OF AMERICA CLASS F	B	0.00	0.53	0.00	0.53	80.0	0.42
06/01/2017	00081212176 JOPSON, CHRISTINA	GROWTH FUND OF AMERICA CLASS F	B	0.00	0.69	0.00	0.69	80.0	0.55
06/01/2017	00081212176 JOPSON, CHRISTINA	THE GROWTH FUND OF AMERICA-C	B	0.00	7.31	0.00	7.31	80.0	5.85
06/01/2017	00081234263 SAINT-PAUL, BRIAN	GROWTH FUND OF AMERICA CLASS F	B	0.00	2.49	0.00	2.49	80.0	1.99
06/01/2017	00081234263 SAINT-PAUL, BRIAN	THE GROWTH FUND OF AMERICA-C	B	0.00	53.26	0.00	53.26	80.0	42.61
06/01/2017	00081266450 BUTO, ALANA	SMALLCAP WORLD FUND-529C	B	0.00	3.29	0.00	3.29	80.0	2.63
06/01/2017	00081266450 BUTO, ALANA	THE GROWTH FUND OF AMERICA-529C	B	0.00	5.13	0.00	5.13	80.0	4.10
06/01/2017	00081266450 BUTO, ALANA	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	4.42	0.00	4.42	80.0	3.54
06/01/2017	00081371070 HERD, JACK	AMERICAN BALANCED FUND-529C	B	0.00	2.51	0.00	2.51	80.0	2.01
06/01/2017	00081371070 HERD, JACK	NEW PERSPECTIVE FUND-529C	B	0.00	3.97	0.00	3.97	80.0	3.18
06/01/2017	00081371070 HERD, JACK	SMALLCAP WORLD FUND-529C	B	0.00	3.83	0.00	3.83	80.0	3.06
06/01/2017	00081371070 HERD, JACK	THE GROWTH FUND OF AMERICA-529C	B	0.00	8.25	0.00	8.25	80.0	6.60
06/01/2017	00081371070 HERD, JACK	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	7.20	0.00	7.20	80.0	5.76
06/01/2017	00081547907 SUSI, GIANCARLO	FUNDAMENTAL INVESTORS-529E	B	0.00	40.10	0.00	40.10	80.0	32.08
06/01/2017	00081547907 SUSI, GIANCARLO	THE GROWTH FUND OF AMERICA-529E	B	0.00	12.96	0.00	12.96	80.0	10.37
06/01/2017	00081809334 SILVER, LORI	THE GROWTH FUND OF AMERICA-A	B	0.00	11.79	0.00	11.79	80.0	9.43
06/01/2017	00082291100 MARIE F EWELL,	AMERICAN BALANCED FUND-C	B	0.00	23.05	0.00	23.05	80.0	18.44
06/01/2017	00082291100 MARIE F EWELL,	AMERICAN BALANCED FUND INC CL F1	B	0.00	7.58	0.00	7.58	80.0	6.06
06/01/2017	00082291100 MARIE F EWELL,	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	18.71	0.00	18.71	80.0	14.97
06/01/2017	00082291100 MARIE F EWELL,	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	6.24	0.00	6.24	80.0	4.99
06/01/2017	00082291100 MARIE F EWELL,	GROWTH FUND OF AMERICA CLASS F	B	0.00	0.31	0.00	0.31	80.0	0.25

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/01/2017	00082291100	MARIE F EWELL,	THE GROWTH FUND OF AMERICA-C	B	0.00	0.94	0.00	0.94	80.0	0.75
06/01/2017	00082839379	WITTE, MAEVE	AMCAP FUND-529A	B	0.00	0.62	0.00	0.62	80.0	0.50
06/01/2017	00082839379	WITTE, MAEVE	THE GROWTH FUND OF AMERICA-529A	B	0.00	1.57	0.00	1.57	80.0	1.26
06/01/2017	00082839379	WITTE, MAEVE	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	0.65	0.00	0.65	80.0	0.52
06/01/2017	00083286116	SIEGISMUND,	AMERICAN MUTUAL FUND-529E	B	0.00	0.13	0.00	0.13	80.0	0.10
06/01/2017	00083286116	SIEGISMUND,	FUNDAMENTAL INVESTORS-529E	B	0.00	0.29	0.00	0.29	80.0	0.23
06/01/2017	00083391523	TANKEL, LILIANA	CAPITAL INCOME BUILDER-529E	B	0.00	8.70	0.00	8.70	80.0	6.96
06/01/2017	00083391523	TANKEL, LILIANA	NEW WORLD FUND-529E	B	0.00	8.12	0.00	8.12	80.0	6.50
06/01/2017	00083391523	TANKEL, LILIANA	THE GROWTH FUND OF AMERICA-529E	B	0.00	13.41	0.00	13.41	80.0	10.73
06/01/2017	00083494057	BURTON, LINDA	THE GROWTH FUND OF AMERICA-C	B	0.00	12.56	0.00	12.56	80.0	10.05
06/01/2017	00083570166	OZOG, KATHERINE	AMERICAN BALANCED FUND-529C	B	0.00	109.28	0.00	109.28	80.0	87.42
06/01/2017	00083650929	MATHIS, JACK	AMERICAN BALANCED FUND-529E	B	0.00	36.51	0.00	36.51	80.0	29.21
06/01/2017	00083655410	ROBERTSON, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	32.75	0.00	32.75	80.0	26.20
06/01/2017	00083655410	ROBERTSON, MICHAEL	THE GROWTH FUND OF AMERICA-C	B	0.00	41.72	0.00	41.72	80.0	33.38
06/01/2017	00083714875	MATHIS, JACK	AMERICAN BALANCED FUND-529E	B	0.00	35.79	0.00	35.79	80.0	28.63
06/01/2017	00083792434	VERA, ANDREA	NEW PERSPECTIVE FUND-A	B	0.00	0.41	0.00	0.41	80.0	0.33
06/01/2017	00083792434	VERA, ANDREA	THE GROWTH FUND OF AMERICA-A	B	0.00	0.34	0.00	0.34	80.0	0.27
06/01/2017	00083792434	VERA, ANDREA	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.23	0.00	0.23	80.0	0.18
06/01/2017	00083863964	HERD, JACK	CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	0.22	0.00	0.22	80.0	0.18
06/01/2017	00083863964	HERD, JACK	SMALLCAP WORLD FUND-529A	B	0.00	0.26	0.00	0.26	80.0	0.21
06/01/2017	00083863964	HERD, JACK	THE GROWTH FUND OF AMERICA-529A	B	0.00	0.29	0.00	0.29	80.0	0.23
06/01/2017	00083863964	HERD, JACK	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	0.20	0.00	0.20	80.0	0.16
06/01/2017	00083952983	EVANS, LEANNE	AMERICAN BALANCED FUND-529E	B	0.00	18.68	0.00	18.68	80.0	14.94
06/01/2017	00083956221	EVANS, LEANNE	AMERICAN BALANCED FUND-529E	B	0.00	8.96	0.00	8.96	80.0	7.17
06/01/2017	00084022714	KHURANA, KUNAL	AMCAP FUND-529A	B	0.00	3.25	0.00	3.25	80.0	2.60
06/01/2017	00084022714	KHURANA, KUNAL	CAPITAL INCOME BUILDER-529A	B	0.00	2.26	0.00	2.26	80.0	1.81
06/01/2017	00084022714	KHURANA, KUNAL	CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	2.34	0.00	2.34	80.0	1.87
06/01/2017	00084022714	KHURANA, KUNAL	FUNDAMENTAL INVESTORS-529A	B	0.00	3.04	0.00	3.04	80.0	2.43
06/01/2017	00084022714	KHURANA, KUNAL	NEW PERSPECTIVE FUND-529A	B	0.00	2.74	0.00	2.74	80.0	2.19
06/01/2017	00084041069	ARP, JAMES	THE GROWTH FUND OF AMERICA-529A	B	0.00	3.83	0.00	3.83	80.0	3.06
06/01/2017	00084080976	BEAL, MILES	AMERICAN BALANCED FUND-529E	B	0.00	22.44	0.00	22.44	80.0	17.95
06/01/2017	00084080976	BEAL, MILES	THE GROWTH FUND OF AMERICA-529E	B	0.00	0.06	0.00	0.06	80.0	0.05
06/01/2017	00084096413	BEAL, MILES	AMERICAN BALANCED FUND-529E	B	0.00	22.57	0.00	22.57	80.0	18.06
06/01/2017	00084116518	WALKER, BRYAN	AMERICAN BALANCED FUND-529C	B	0.00	2.15	0.00	2.15	80.0	1.72
06/01/2017	00084116518	WALKER, BRYAN	AMERICAN FUNDS	B	0.00	1.69	0.00	1.69	80.0	1.35
06/01/2017	00084116518	WALKER, BRYAN	NEW WORLD FUND-529C	B	0.00	1.46	0.00	1.46	80.0	1.17
06/01/2017	00084116518	WALKER, BRYAN	SMALLCAP WORLD FUND-529C	B	0.00	1.76	0.00	1.76	80.0	1.41
06/01/2017	00084116518	WALKER, BRYAN	THE GROWTH FUND OF AMERICA-529C	B	0.00	3.02	0.00	3.02	80.0	2.42
06/01/2017	00084116518	WALKER, BRYAN	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	2.80	0.00	2.80	80.0	2.24
06/01/2017	00084116528	WALKER, BRYAN	AMERICAN BALANCED FUND-529C	B	0.00	0.83	0.00	0.83	80.0	0.66

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2017	00084116528	WALKER, BRYAN		AMERICAN FUNDS	B	0.00	0.47	0.00	0.47 80.0 0.38
06/01/2017	00084116528	WALKER, BRYAN		NEW WORLD FUND-529C	B	0.00	0.59	0.00	0.59 80.0 0.47
06/01/2017	00084116528	WALKER, BRYAN		SMALLCAP WORLD FUND-529C	B	0.00	0.56	0.00	0.56 80.0 0.45
06/01/2017	00084116528	WALKER, BRYAN		THE GROWTH FUND OF AMERICA-529C	B	0.00	1.53	0.00	1.53 80.0 1.22
06/01/2017	00084116528	WALKER, BRYAN		THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	1.38	0.00	1.38 80.0 1.10
06/01/2017	00084116532	WALKER, BRYAN		AMERICAN BALANCED FUND-529C	B	0.00	2.79	0.00	2.79 80.0 2.23
06/01/2017	00084116532	WALKER, BRYAN		AMERICAN FUNDS	B	0.00	3.12	0.00	3.12 80.0 2.50
06/01/2017	00084116532	WALKER, BRYAN		NEW WORLD FUND-529C	B	0.00	2.20	0.00	2.20 80.0 1.76
06/01/2017	00084116532	WALKER, BRYAN		SMALLCAP WORLD FUND-529C	B	0.00	2.60	0.00	2.60 80.0 2.08
06/01/2017	00084116532	WALKER, BRYAN		THE GROWTH FUND OF AMERICA-529C	B	0.00	3.96	0.00	3.96 80.0 3.17
06/01/2017	00084116532	WALKER, BRYAN		THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	3.96	0.00	3.96 80.0 3.17
06/01/2017	00084175814	CLEAVES, ELIZABETH		NEW PERSPECTIVE FUND-A	B	0.00	1.43	0.00	1.43 80.0 1.14
06/01/2017	00084175814	CLEAVES, ELIZABETH		THE GROWTH FUND OF AMERICA-A	B	0.00	1.49	0.00	1.49 80.0 1.19
06/01/2017	00084180216	KIMLICK, ELIZABETH		THE GROWTH FUND OF AMERICA-A	B	0.00	0.25	0.00	0.25 80.0 0.20
06/01/2017	00084180216	KIMLICK, ELIZABETH		THE INCOME FUND OF AMERICA-A	B	0.00	0.30	0.00	0.30 80.0 0.24
06/01/2017	00084739455	SHABAZZ, JAHZARA		AMERICAN BALANCED FUND-529C	B	0.00	59.12	0.00	59.12 80.0 47.30
06/01/2017	00084812978	VERA, INES		AMERICAN BALANCED FUND-529A	B	0.00	19.91	0.00	19.91 80.0 15.93
06/01/2017	00084917394	GRIGGS, DUSTIN		AMERICAN HIGH-INCOME TRUST-529A	B	0.00	0.59	0.00	0.59 80.0 0.47
06/01/2017	00084917394	GRIGGS, DUSTIN		CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	0.62	0.00	0.62 80.0 0.50
06/01/2017	00084917394	GRIGGS, DUSTIN		EUROPACIFIC GROWTH FUND-529A	B	0.00	0.52	0.00	0.52 80.0 0.42
06/01/2017	00084917394	GRIGGS, DUSTIN		FUNDAMENTAL INVESTORS-529A	B	0.00	0.77	0.00	0.77 80.0 0.62
06/01/2017	00084917394	GRIGGS, DUSTIN		NEW WORLD FUND-529A	B	0.00	0.50	0.00	0.50 80.0 0.40
06/01/2017	00084917404	GRIGGS, DUSTIN		AMERICAN HIGH-INCOME TRUST-529A	B	0.00	0.59	0.00	0.59 80.0 0.47
06/01/2017	00084917404	GRIGGS, DUSTIN		CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	0.46	0.00	0.46 80.0 0.37
06/01/2017	00084917404	GRIGGS, DUSTIN		EUROPACIFIC GROWTH FUND-529A	B	0.00	0.52	0.00	0.52 80.0 0.42
06/01/2017	00084917404	GRIGGS, DUSTIN		FUNDAMENTAL INVESTORS-529A	B	0.00	0.77	0.00	0.77 80.0 0.62
06/01/2017	00084917404	GRIGGS, DUSTIN		NEW WORLD FUND-529A	B	0.00	0.63	0.00	0.63 80.0 0.50
06/01/2017	00084917420	GRIGGS, DUSTIN		AMERICAN HIGH-INCOME TRUST-529A	B	0.00	0.59	0.00	0.59 80.0 0.47
06/01/2017	00084917420	GRIGGS, DUSTIN		CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	0.62	0.00	0.62 80.0 0.50
06/01/2017	00084917420	GRIGGS, DUSTIN		EUROPACIFIC GROWTH FUND-529A	B	0.00	0.52	0.00	0.52 80.0 0.42
06/01/2017	00084917420	GRIGGS, DUSTIN		FUNDAMENTAL INVESTORS-529A	B	0.00	0.77	0.00	0.77 80.0 0.62
06/01/2017	00084917420	GRIGGS, DUSTIN		NEW WORLD FUND-529A	B	0.00	0.50	0.00	0.50 80.0 0.40
06/01/2017	00084921884	GRIGGS, DUSTIN		AMERICAN HIGH-INCOME TRUST-529C	B	0.00	3.48	0.00	3.48 80.0 2.78
06/01/2017	00084921884	GRIGGS, DUSTIN		CAPITAL WORLD BOND FUND-529C	B	0.00	3.26	0.00	3.26 80.0 2.61
06/01/2017	00084921884	GRIGGS, DUSTIN		NEW WORLD FUND-529C	B	0.00	1.00	0.00	1.00 80.0 0.80
06/01/2017	00084989238	BEURMANN, CONNOR		CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	51.38	0.00	51.38 80.0 41.10
06/01/2017	00084989238	BEURMANN, CONNOR		SMALLCAP WORLD FUND-529C	B	0.00	36.16	0.00	36.16 80.0 28.93
06/01/2017	00084989238	BEURMANN, CONNOR		THE BOND FUND OF AMERICA-529C	B	0.00	15.43	0.00	15.43 80.0 12.34
06/01/2017	00084989238	BEURMANN, CONNOR		THE GROWTH FUND OF AMERICA-529C	B	0.00	55.22	0.00	55.22 80.0 44.18
06/01/2017	00084989238	BEURMANN, CONNOR		THE INCOME FUND OF AMERICA-529C	B	0.00	22.60	0.00	22.60 80.0 18.08

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2017	00084989238	BEURMANN, CONNOR THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	52.24	0.00	52.24	80.0	41.79
06/01/2017	00085019479	KIMLICK, ELIZABETH THE GROWTH FUND OF AMERICA-A	B	0.00	0.58	0.00	0.58	80.0	0.46
06/01/2017	00085019479	KIMLICK, ELIZABETH THE INCOME FUND OF AMERICA-A	B	0.00	0.55	0.00	0.55	80.0	0.44
06/01/2017	00085019484	CLEAVES, ELIZABETH NEW PERSPECTIVE FUND-A	B	0.00	1.11	0.00	1.11	80.0	0.89
06/01/2017	00085019484	CLEAVES, ELIZABETH THE GROWTH FUND OF AMERICA-A	B	0.00	1.24	0.00	1.24	80.0	0.99
06/01/2017	00085327415	VANDENHEUVEL, AMCAP FUND-A	B	0.00	6.76	0.00	6.76	80.0	5.41
06/01/2017	00085327415	VANDENHEUVEL, AMERICAN FUND-45956T105	B	0.00	4.91	0.00	4.91	80.0	3.93
06/01/2017	00085327415	VANDENHEUVEL, FUNDAMENTAL INVESTORS-A	B	0.00	6.96	0.00	6.96	80.0	5.57
06/01/2017	00085327415	VANDENHEUVEL, THE GROWTH FUND OF AMERICA-A	B	0.00	7.05	0.00	7.05	80.0	5.64
06/01/2017	00085327415	VANDENHEUVEL, THE INVESTMENT COMPANY OF AMERICA-A B		0.00	3.41	0.00	3.41	80.0	2.73
06/01/2017	00085327415	VANDENHEUVEL, THE NEW ECONOMY FUND-A	B	0.00	3.41	0.00	3.41	80.0	2.73
06/01/2017	00085890289	DAS, SPANDAN AMERICAN HIGH-INCOME TRUST-529A	B	0.00	5.72	0.00	5.72	80.0	4.58
06/01/2017	00085890289	DAS, SPANDAN NEW WORLD FUND-529A	B	0.00	7.85	0.00	7.85	80.0	6.28
06/01/2017	00085890289	DAS, SPANDAN SMALLCAP WORLD FUND-529A	B	0.00	8.35	0.00	8.35	80.0	6.68
06/01/2017	00085890289	DAS, SPANDAN THE GROWTH FUND OF AMERICA-529A	B	0.00	15.56	0.00	15.56	80.0	12.45
06/01/2017	00085890289	DAS, SPANDAN THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	13.26	0.00	13.26	80.0	10.61
06/01/2017	00086003298	MATHIS, JACK AMERICAN BALANCED FUND-529A	B	0.00	12.34	0.00	12.34	80.0	9.87
06/01/2017	00086531491	DAS, SPANDAN AMERICAN HIGH-INCOME TRUST-529A	B	0.00	3.29	0.00	3.29	80.0	2.63
06/01/2017	00086531491	DAS, SPANDAN NEW WORLD FUND-529A	B	0.00	3.16	0.00	3.16	80.0	2.53
06/01/2017	00086531491	DAS, SPANDAN SMALLCAP WORLD FUND-529A	B	0.00	3.82	0.00	3.82	80.0	3.06
06/01/2017	00086531491	DAS, SPANDAN THE GROWTH FUND OF AMERICA-529A	B	0.00	9.05	0.00	9.05	80.0	7.24
06/01/2017	00086531491	DAS, SPANDAN THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	7.60	0.00	7.60	80.0	6.08
06/01/2017	00087075485	GRAY, SUSAN AMCAP FUND-A	B	0.00	3.46	0.00	3.46	80.0	2.77
06/01/2017	00087075485	GRAY, SUSAN AMERICAN BALANCED FUND-A	B	0.00	1.91	0.00	1.91	80.0	1.53
06/01/2017	00087075485	GRAY, SUSAN EUROPACIFIC GROWTH FUND-A	B	0.00	1.67	0.00	1.67	80.0	1.34
06/01/2017	00087075485	GRAY, SUSAN NEW WORLD FUND-A	B	0.00	2.11	0.00	2.11	80.0	1.69
06/01/2017	00087075485	GRAY, SUSAN THE GROWTH FUND OF AMERICA-A	B	0.00	1.43	0.00	1.43	80.0	1.14
06/01/2017	00087075485	GRAY, SUSAN WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.02	0.00	2.02	80.0	1.62
06/01/2017	00087105779	ARP, JAMES THE GROWTH FUND OF AMERICA-529A	B	0.00	1.77	0.00	1.77	80.0	1.42
06/01/2017	00087121704	JOGLEKAR, SHAYNE AMERICAN BALANCED FUND-529A	B	0.00	13.49	0.00	13.49	80.0	10.79
06/01/2017	00087122294	JOGLEKAR, SHAYNE AMERICAN BALANCED FUND-529A	B	0.00	14.83	0.00	14.83	80.0	11.86
06/01/2017	00087149656	CHANDELA, AJAY AMERICAN BALANCED FUND-529C	B	0.00	119.91	0.00	119.91	80.0	95.93
06/01/2017	00087149667	CHANDELA, AJAY NEW WORLD FUND-529C	B	0.00	106.79	0.00	106.79	80.0	85.43
06/01/2017	00087149689	CHANDELA, AJAY NEW WORLD FUND-529C	B	0.00	106.79	0.00	106.79	80.0	85.43
06/01/2017	00087149729	CHANDELA, AJAY AMERICAN BALANCED FUND-529C	B	0.00	119.91	0.00	119.91	80.0	95.93
06/01/2017	00087205674	MORRELL, CHARLES THE GROWTH FUND OF AMERICA-529A	B	0.00	3.10	0.00	3.10	80.0	2.48
06/01/2017	00087475325	ARP, EVIE THE GROWTH FUND OF AMERICA-529A	B	0.00	3.83	0.00	3.83	80.0	3.06
06/01/2017	00087475364	ARP, EVIE AMERICAN BALANCED FUND-529A	B	0.00	0.45	0.00	0.45	80.0	0.36
06/01/2017	00087475364	ARP, EVIE THE GROWTH FUND OF AMERICA-529A	B	0.00	6.62	0.00	6.62	80.0	5.30
06/01/2017	00087475376	ARP, EVIE THE GROWTH FUND OF AMERICA-529A	B	0.00	1.77	0.00	1.77	80.0	1.42

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/01/2017	00087485324	EAKLE, JAMES	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	9.17	0.00	9.17	80.0	7.34
06/01/2017	00087630417	SHABAZZ, JAHZARA	AMERICAN BALANCED FUND-529C	B	0.00	27.49	0.00	27.49	80.0	21.99
06/01/2017	00088306683	MALLAVARAPU,	AMERICAN BALANCED FUND-529A	B	0.00	3.71	0.00	3.71	80.0	2.97
06/01/2017	00088306683	MALLAVARAPU,	AMERICAN HIGH-INCOME TRUST-529A	B	0.00	0.49	0.00	0.49	80.0	0.39
06/01/2017	00088306683	MALLAVARAPU,	CAPITAL INCOME BUILDER-529A	B	0.00	6.62	0.00	6.62	80.0	5.30
06/01/2017	00088306683	MALLAVARAPU,	CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	0.54	0.00	0.54	80.0	0.43
06/01/2017	00088306683	MALLAVARAPU,	EUROPACIFIC GROWTH FUND-529A	B	0.00	0.47	0.00	0.47	80.0	0.38
06/01/2017	00088306683	MALLAVARAPU,	INTERNATIONAL GROWTH AND INCOME-529	B	0.00	0.85	0.00	0.85	80.0	0.68
06/01/2017	00088306683	MALLAVARAPU,	NEW PERSPECTIVE FUND-529A	B	0.00	3.66	0.00	3.66	80.0	2.93
06/01/2017	00088306683	MALLAVARAPU,	NEW WORLD FUND-529A	B	0.00	0.42	0.00	0.42	80.0	0.34
06/01/2017	00088306683	MALLAVARAPU,	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	0.62	0.00	0.62	80.0	0.50
06/01/2017	00088310922	DABBI, VEER	EUROPACIFIC GROWTH FUND-529A	B	0.00	3.28	0.00	3.28	80.0	2.62
06/01/2017	00088310922	DABBI, VEER	FUNDAMENTAL INVESTORS-529A	B	0.00	4.13	0.00	4.13	80.0	3.30
06/01/2017	00088310922	DABBI, VEER	INTERNATIONAL GROWTH AND INCOME-529	B	0.00	1.51	0.00	1.51	80.0	1.21
06/01/2017	00088311010	DABBI, VEER	AMERICAN BALANCED FUND-529A	B	0.00	3.82	0.00	3.82	80.0	3.06
06/01/2017	00088311010	DABBI, VEER	AMERICAN HIGH-INCOME TRUST-529A	B	0.00	1.69	0.00	1.69	80.0	1.35
06/01/2017	00088311010	DABBI, VEER	CAPITAL INCOME BUILDER-529A	B	0.00	3.54	0.00	3.54	80.0	2.83
06/01/2017	00088311626	DABBI, VEER	NEW PERSPECTIVE FUND-529A	B	0.00	3.75	0.00	3.75	80.0	3.00
06/01/2017	00088311626	DABBI, VEER	SMALLCAP WORLD FUND-529A	B	0.00	1.84	0.00	1.84	80.0	1.47
06/01/2017	00088311626	DABBI, VEER	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	4.01	0.00	4.01	80.0	3.21
06/01/2017	00088311652	DABBI, VEER	CAPITAL INCOME BUILDER-529A	B	0.00	3.54	0.00	3.54	80.0	2.83
06/01/2017	00088311652	DABBI, VEER	CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	1.79	0.00	1.79	80.0	1.43
06/01/2017	00088311652	DABBI, VEER	THE INCOME FUND OF AMERICA-529A	B	0.00	3.71	0.00	3.71	80.0	2.97
06/01/2017	00089081756	FOSTER, JAKE	AMERICAN FUND-02629M587	B	0.00	1.06	0.00	1.06	80.0	0.85
06/01/2017	00089084643	FOSTER, JAKE	AMERICAN FUND-02629M587	B	0.00	1.06	0.00	1.06	80.0	0.85
06/01/2017	00089794319	FAWKES, MICHAEL	AMERICAN BALANCED FUND-529A	B	0.00	1.59	0.00	1.59	80.0	1.27
06/01/2017	00089794319	FAWKES, MICHAEL	AMERICAN MUTUAL FUND-529A	B	0.00	4.83	0.00	4.83	80.0	3.86
06/01/2017	00089794319	FAWKES, MICHAEL	CAPITAL INCOME BUILDER-529C	B	0.00	4.28	0.00	4.28	80.0	3.42
06/01/2017	00089794319	FAWKES, MICHAEL	THE INCOME FUND OF AMERICA-529C	B	0.00	62.70	0.00	62.70	80.0	50.16
06/01/2017	00089794403	FAWKES, MICHAEL	CAPITAL INCOME BUILDER-529C	B	0.00	0.04	0.00	0.04	80.0	0.03
06/01/2017	01204161104	PASQUALONE,	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	3.06	0.00	3.06	80.0	2.45
06/01/2017	01204463227	PASQUALONE,	THE GROWTH FUND OF AMERICA-529A	B	0.00	7.03	0.00	7.03	80.0	5.62
06/01/2017	01205067051	PASQUALONE,	NEW PERSPECTIVE FUND-529A	B	0.00	4.76	0.00	4.76	80.0	3.81
06/01/2017	01229681594	LUMBER 401(K) PLAN	THE INVESTMENT COMPANY OF AMERICA-R	B	0.00	2.30	0.00	2.30	80.0	1.84
06/01/2017	01229986589	LUMBER 401(K) PLAN	THE GROWTH FUND OF AMERICA-R2	B	0.00	2.35	0.00	2.35	80.0	1.88
06/01/2017	01230894562	LUMBER 401(K) PLAN	THE BOND FUND OF AMERICA-R2	B	0.00	0.17	0.00	0.17	80.0	0.14
06/01/2017	01233005681	LUMBER 401(K) PLAN	AMERICAN HIGH-INCOME TRUST-R2	B	0.00	0.18	0.00	0.18	80.0	0.14
06/01/2017	01234513265	LUMBER 401(K) PLAN	SMALLCAP WORLD FUND-R2	B	0.00	2.05	0.00	2.05	80.0	1.64
06/01/2017	01234817201	LUMBER 401(K) PLAN	NEW WORLD FUND-R2	B	0.00	1.38	0.00	1.38	80.0	1.10
06/01/2017	01238449785	TR, 401(K) PP & TR	AMERICAN BALANCED FUND-R3	B	0.00	3.56	0.00	3.56	80.0	2.85

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2017	01239369901	NATIONAL WOODEN EURO PACIFIC GROWTH FUND-R3	B	0.00	32.74	0.00	32.74	80.0	26.19
06/01/2017	01239370238	ADVANCED COMPUTER EURO PACIFIC GROWTH FUND-R3	B	0.00	64.64	0.00	64.64	80.0	51.71
06/01/2017	01239376601	TR, 401(K) PP & TR EURO PACIFIC GROWTH FUND-R3	B	0.00	227.65	0.00	227.65	80.0	182.12
06/01/2017	01239377111	TR, 401(K) PP & TR EURO PACIFIC GROWTH FUND-R3	B	0.00	12.23	0.00	12.23	80.0	9.78
06/01/2017	01242667202	GEUTERBRUCK AMERICAN MUTUAL FUND-R4	B	0.00	5.62	0.00	5.62	80.0	4.50
07/12/2017	75502067	SCRUGGS, SUSAN CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	0.03	0.00	0.03	80.0	0.02
07/12/2017	75502067	SCRUGGS, SUSAN THE BOND FUND OF AMERICA-A	B	0.00	1.35	0.00	1.35	80.0	1.08
07/12/2017	75502067	SCRUGGS, SUSAN THE GROWTH FUND OF AMERICA-A	B	0.00	3.51	0.00	3.51	80.0	2.81
07/18/2017	QGW005472	KRELL, MOSHE EURO PACIFIC GROWTH FUND CLASS C	B	0.00	18.02	0.00	18.02	80.0	14.42
07/18/2017	QGW005472	KRELL, MOSHE PRINCIPAL PREFERRED SECURITIES FUND B	B	0.00	18.82	0.00	18.82	80.0	15.06
07/18/2017	QGW005472	KRELL, MOSHE PRINCIPAL GLOBAL DIVERSIFIED INCOME B	B	0.00	40.38	0.00	40.38	80.0	32.30
07/20/2017	QGW005472	KRELL, MOSHE COHEN & STEERS GLOBAL REALTY SHARES B	B	0.00	33.42	0.00	33.42	80.0	26.74
07/21/2017	QGW005472	KRELL, MOSHE MAINSTAY CUSHING MLP PREMIER FUND C B	B	0.00	22.80	0.00	22.80	80.0	18.24
07/21/2017	QGW005472	KRELL, MOSHE OPPENHEIMERFUNDS 683940886	B	0.00	14.73	0.00	14.73	80.0	11.78
07/21/2017	QGW005472	KRELL, MOSHE OPPENHEIMER ROCHESTER LIMITED TERM B	B	0.00	35.73	0.00	35.73	80.0	28.58
07/24/2017	QGW005472	KRELL, MOSHE JANUS HENDERSON GLOBAL EQUITY INCOM B	B	0.00	27.01	0.00	27.01	80.0	21.61
07/28/2017	QGW005472	KRELL, MOSHE HENDERSON GLOBAL EQUITY INCOME FUND B	B	0.00	0.94	0.00	0.94	80.0	0.75
07/13/2017	QGW006884	VOGEL, NEALA TEMPLETON GROWTH FUND CLASS A	B	0.00	3.09	0.00	3.09	80.0	2.47
07/17/2017	QGW006884	VOGEL, NEALA COLUMBIA SELIGMAN COMMUNICATIONS AN B	B	0.00	5.74	0.00	5.74	80.0	4.59
07/17/2017	QGW006884	VOGEL, NEALA DAVIS NEW YORK VENTURE CLASS A	B	0.00	3.74	0.00	3.74	80.0	2.99
07/21/2017	QGW006884	VOGEL, NEALA MFS RESEARCH FUND-A	B	0.00	3.27	0.00	3.27	80.0	2.62
07/25/2017	QGW006884	VOGEL, NEALA PUTNAM 746809102	B	0.00	2.43	0.00	2.43	80.0	1.94
07/18/2017	QGW007122	CUSTODIAN, DIANNE INVESCO GROWTH AND INCOME FUND CL A B	B	0.00	7.13	0.00	7.13	80.0	5.70
07/18/2017	QGW007130	CUSTODIAN, DIANNE INVESCO GROWTH AND INCOME FUND CL A B	B	0.00	7.13	0.00	7.13	80.0	5.70
07/13/2017	QGW007411	OPPELT, MARION WELLS FARGO OPPORTUNITY FD ADMIN	B	0.00	1.81	0.00	1.81	80.0	1.45
07/13/2017	QGW008203	MCGOWAN, DIANE FRK FL TF INC FND - CL A	B	0.00	1.12	0.00	1.12	80.0	0.90
07/21/2017	QGW008203	MCGOWAN, DIANE ROCHESTER NATIONAL MUNICIPALS A	B	0.00	2.56	0.00	2.56	80.0	2.05
07/14/2017	QGW022154	MANOS, WAYNE FINANCIAL INDUSTRIES A	B	0.00	5.70	0.00	5.70	80.0	4.56
07/14/2017	QGW022154	MANOS, WAYNE RMB MENDON FINANCIAL LNG/SHRT FUND B	B	0.00	9.98	0.00	9.98	80.0	7.98
07/14/2017	QGW022154	MANOS, WAYNE RMB MENDON FINANCIAL SERVICES FUND B	B	0.00	18.64	0.00	18.64	80.0	14.91
07/21/2017	QGW022154	MANOS, WAYNE GOLDMAN SACHS CAPITAL GROWTH FUND C B	B	0.00	7.63	0.00	7.63	80.0	6.10
07/24/2017	QGW022154	MANOS, WAYNE JANUS HENDERSON EUROPEAN FOCUS FUND B	B	0.00	9.65	0.00	9.65	80.0	7.72
07/25/2017	QGW022154	MANOS, WAYNE PUTNAM 746802800	B	0.00	0.60	0.00	0.60	80.0	0.48
07/28/2017	QGW022154	MANOS, WAYNE HENDERSON EUROPEAN FOCUS FUND CLASS B	B	0.00	0.34	0.00	0.34	80.0	0.27
07/13/2017	QGW023731	REILLY, DAVID TEMP FRGN FND - CL A	B	0.00	0.30	0.00	0.30	80.0	0.24
07/13/2017	QGW023731	REILLY, DAVID WELLS FARGO ADVANTAGE SMALL-CAP VAL B	B	0.00	0.50	0.00	0.50	80.0	0.40
07/14/2017	QGW023731	REILLY, DAVID FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	0.57	0.00	0.57	80.0	0.46
07/17/2017	QGW023731	REILLY, DAVID ALLIANCEBERNSTEIN GROWTH AND INC A B	B	0.00	0.71	0.00	0.71	80.0	0.57
07/18/2017	QGW023731	REILLY, DAVID AIM SMALL CAP GROWTH FUND - CLASS A B	B	0.00	1.22	0.00	1.22	80.0	0.98
07/18/2017	QGW023731	REILLY, DAVID THE GROWTH FUND OF AMERICA-A	B	0.00	0.96	0.00	0.96	80.0	0.77

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/18/2017	QGW023731	REILLY, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.08	0.00	1.08	80.0	0.86
07/21/2017	QGW023731	REILLY, DAVID	CAPITAL INCOME A	B	0.00	1.85	0.00	1.85	80.0	1.48
07/21/2017	QGW023731	REILLY, DAVID	DELAWARE REAL ESTATE INVESTMENT TRU	B	0.00	0.27	0.00	0.27	80.0	0.22
07/21/2017	QGW023731	REILLY, DAVID	GLOBAL FUND A	B	0.00	0.44	0.00	0.44	80.0	0.35
07/21/2017	QGW023731	REILLY, DAVID	MFS INTERNATIONAL NEW DISCOVERY FUN	B	0.00	0.24	0.00	0.24	80.0	0.19
07/21/2017	QGW023731	REILLY, DAVID	MFS TOTAL RETURN FUND-A	B	0.00	0.66	0.00	0.66	80.0	0.53
07/18/2017	QGW028250	SAG LLC	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	22.80	0.00	22.80	80.0	18.24
07/13/2017	QGW031999	HEIDENREICH, CLAIRE	FRANKLIN INCOME FUND CLASS A	B	0.00	0.40	0.00	0.40	80.0	0.32
07/13/2017	QGW035065	LIEBERT, JAMES	FRANKLIN/TEMPLETON FUNDS 88019R732	B	0.00	6.96	0.00	6.96	80.0	5.57
07/14/2017	QGW035065	LIEBERT, JAMES	REGIONAL BANK A	B	0.00	13.18	0.00	13.18	80.0	10.54
07/17/2017	QGW035065	LIEBERT, JAMES	COLUMBIA CONVERTIBLE SECURITIES FD	B	0.00	8.30	0.00	8.30	80.0	6.64
07/18/2017	QGW035065	LIEBERT, JAMES	RYDEX TELECOMMUNICATIONS FUND CLASS	B	0.00	10.07	0.00	10.07	80.0	8.06
07/21/2017	QGW035065	LIEBERT, JAMES	AMERICAN CENTURY GLOBAL GOLD FUND	C B	0.00	2.07	0.00	2.07	80.0	1.66
07/21/2017	QGW035065	LIEBERT, JAMES	DELAWARE HEALTHCARE FUNDS CLASS A	B	0.00	7.25	0.00	7.25	80.0	5.80
07/28/2017	QGW035065	LIEBERT, JAMES	BLACKROCK REAL ESTATE SECURITIES FU	B	0.00	9.96	0.00	9.96	80.0	7.97
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3,374.65	\$0.00	\$3,374.65		\$2,699.76
*** BROKER TRANSACTIONS TOTAL ***					\$1,224,427.79	\$12,421.83	\$0.00	\$12,421.83		\$9,937.46

BROKER COMMISSION TOTALS

Broker Transactions	\$9,937.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-15.53
SIPC Assessment	\$-31.05

Check Amount	\$9,890.88
Year-to-date Earnings	\$18,496.81