



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 30, 2017

06/29/2017
 Page No. 1

ACCOUNT HOUSE
 1120 E. LONG LAKE ROAD
 SUITE 207
 TROY, MI 48085-

BROKER #: G999
 BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
06/15/2017	HERNDON, TIMOTHY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.07	0.00	1.07	100.0	1.07
06/15/2017	MCGINNIS TRUST	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.96	0.00	6.96	100.0	6.96
06/15/2017	CICONE, SISENANDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.38	0.00	1.38	100.0	1.38
06/20/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	89,126.20	0.00	0.00	0.00	100.0	0.00
06/20/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-90,026.46	0.00	0.00	0.00	100.0	0.00
06/14/2017	CONCORDE, VARIOUS	RESOURCE R E DIVERSIFIED INC FUND A	33,075.75	0.00	0.00	0.00	100.0	0.00
06/14/2017	CONCORDE, VARIOUS	RESOURCE R E DIVERSIFIED INC FUND A	-35,000.79	0.00	0.00	0.00	100.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$-2,825.30	\$9.41	\$0.00	\$9.41		\$9.41
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/15/2017	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	-405.90	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	385.60	0.00	0.00	0.00	100.0	0.00
06/15/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
06/15/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
06/06/2017	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
06/12/2017	ESSARY, BRADLEY	AM FUNDS AMERICAN MUTUAL A	115.00	3.16	0.00	3.16	100.0	3.16
06/20/2017	TORNOW, MARGARET	AM FUNDS AMERICAN MUTUAL A	150.00	1.80	0.00	1.80	100.0	1.80
06/05/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
06/12/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.19	0.00	3.19	100.0	3.19
06/16/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.28	0.00	1.28	100.0	1.28
06/06/2017	TYRANSKI, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	16.50	0.83	0.00	0.83	100.0	0.83
06/12/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	100.0	3.19
06/12/2017	ESSARY, BRADLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	3.16	0.00	3.16	100.0	3.16
06/15/2017	MAJOR, SAUDIA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	100.0	2.50
06/19/2017	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
06/19/2017	TYRANSKI, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	16.50	0.83	0.00	0.83	100.0	0.83
06/20/2017	TORNOW, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC A	150.00	1.80	0.00	1.80	100.0	1.80

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/19/2017	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
06/12/2017	GREEN, WILLIAM	AM FUNDS GROWTH AND INCOME A	250.00	12.50	0.00	12.50	100.0	12.50
06/20/2017	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
06/06/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
06/22/2017	COHEN, LORI PIERCE-	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	1.00	0.00	1.00	100.0	1.00
06/06/2017	TYRANSKI, KELLY	AM FUNDS GROWTH FUND OF AMERICA A	17.00	0.85	0.00	0.85	100.0	0.85
06/08/2017	JOHNSTON, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	100.0	4.25
06/12/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	100.0	2.13
06/12/2017	ESSARY, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	115.00	3.16	0.00	3.16	100.0	3.16
06/15/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	95.09	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-100.10	0.00	0.00	0.00	100.0	0.00
06/15/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
06/16/2017	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.28	0.00	1.28	100.0	1.28
06/19/2017	TYRANSKI, KELLY	AM FUNDS GROWTH FUND OF AMERICA A	17.00	0.85	0.00	0.85	100.0	0.85
06/20/2017	TORNOW, MARGARET	AM FUNDS GROWTH FUND OF AMERICA A	150.00	1.80	0.00	1.80	100.0	1.80
06/23/2017	JOHNSTON, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	100.0	4.25
06/15/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	-2,004.10	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	-414.40	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	1,928.95	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	393.68	0.00	0.00	0.00	100.0	0.00
06/20/2017	TORNOW, MARGARET	AM FUNDS ICA A	150.00	1.80	0.00	1.80	100.0	1.80
06/06/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
06/16/2017	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.28	0.00	1.28	100.0	1.28
06/05/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
06/22/2017	COHEN, LORI PIERCE-	AM FUNDS NEW PERSPECTIVE 529C	100.00	1.00	0.00	1.00	100.0	1.00
06/06/2017	TYRANSKI, KELLY	AM FUNDS NEW PERSPECTIVE A	16.50	0.83	0.00	0.83	100.0	0.83
06/12/2017	ESSARY, BRADLEY	AM FUNDS NEW PERSPECTIVE A	115.00	3.16	0.00	3.16	100.0	3.16
06/19/2017	TYRANSKI, KELLY	AM FUNDS NEW PERSPECTIVE A	16.50	0.83	0.00	0.83	100.0	0.83
06/15/2017	BUGGS, MICHELLE	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	100.0	2.50
06/16/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.28	0.00	1.28	100.0	1.28
06/05/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
06/06/2017	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
06/16/2017	WHITE, KRISTIN	AM FUNDS SMALLCAP WORLD C	200.00	2.00	0.00	2.00	100.0	2.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	100.0	5.00
06/15/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	1,947.68	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	-2,023.56	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	-419.40	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	398.43	0.00	0.00	0.00	100.0	0.00
06/16/2017	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.28	0.00	1.28	100.0	1.28
06/20/2017	TORNOW, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	150.00	1.80	0.00	1.80	100.0	1.80
06/15/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	960.05	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	-1,000.05	0.00	0.00	0.00	100.0	0.00
06/14/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	33,255.51	0.00	0.00	0.00	100.0	0.00
06/14/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-35,005.80	0.00	0.00	0.00	100.0	0.00
06/21/2017	CONCORDE, VARIOUS	GUGGENHEIM RISK MANAGED REAL ESTATE	48,000.00	0.00	0.00	0.00	100.0	0.00
06/21/2017	CONCORDE, VARIOUS	GUGGENHEIM RISK MANAGED REAL ESTATE	-50,000.00	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	-225.75	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	223.49	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	HARTFORD HEALTHCARE A	48,000.00	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	HARTFORD HEALTHCARE A	-50,000.00	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	INVESCO TAX-FREE INTERMEDIATE A	49,000.00	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	INVESCO TAX-FREE INTERMEDIATE A	-50,000.00	0.00	0.00	0.00	100.0	0.00
06/27/2017	CONCORDE, VARIOUS	MAINSTAY CUSHING MLP PREMIER FUND C	-67,000.00	0.00	0.00	0.00	100.0	0.00
06/27/2017	CONCORDE, VARIOUS	MAINSTAY CUSHING MLP PREMIER FUND C	66,330.00	0.00	0.00	0.00	100.0	0.00
06/27/2017	CONCORDE, VARIOUS	MAINSTAY CUSHING MLP PREMIER FUND C	44,550.00	0.00	0.00	0.00	100.0	0.00
06/27/2017	CONCORDE, VARIOUS	MAINSTAY CUSHING MLP PREMIER FUND C	-45,000.00	0.00	0.00	0.00	100.0	0.00
06/14/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	47.50	0.00	0.00	0.00	100.0	0.00
06/14/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	-50.00	0.00	0.00	0.00	100.0	0.00
06/19/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	148.50	0.00	0.00	0.00	100.0	0.00
06/19/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-150.00	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	99.00	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-100.00	0.00	0.00	0.00	100.0	0.00
06/19/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-150.00	0.00	0.00	0.00	100.0	0.00
06/19/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	148.50	0.00	0.00	0.00	100.0	0.00
06/26/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-200.00	0.00	0.00	0.00	100.0	0.00
06/26/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	198.00	0.00	0.00	0.00	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
* MUTUAL FUNDS SUBTOTAL *			\$-4,379.08	\$110.83	\$0.00	\$110.83		\$110.83
<u>PRODUCT CATEGORY: STOCKS</u>								
06/26/2017	CONCORDE, VARIOUS	AURICO METALS INC COM ISIN#CA05157J	-90.02	0.00	1.00	-1.00	100.0	-1.00
06/26/2017	CONCORDE, VARIOUS	AURICO METALS INC COM ISIN#CA05157J	-90.93	0.00	1.00	-1.00	100.0	-1.00
06/26/2017	CONCORDE, VARIOUS	AURICO METALS INC COM ISIN#CA05157J	180.95	0.00	0.00	0.00	100.0	0.00
06/23/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	2,900.00	0.00	1.00	-1.00	100.0	-1.00
06/23/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	5.80	0.00	1.00	-1.00	100.0	-1.00
06/23/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	66.70	0.00	1.00	-1.00	100.0	-1.00
06/23/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	145.00	0.00	1.00	-1.00	100.0	-1.00
06/23/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	2,900.00	0.00	1.00	-1.00	100.0	-1.00
06/23/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	4,060.00	0.00	1.00	-1.00	100.0	-1.00
06/23/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	983.10	0.00	1.00	-1.00	100.0	-1.00
06/23/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	9,239.40	0.00	1.00	-1.00	100.0	-1.00
06/23/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	-20,300.00	0.00	0.00	0.00	100.0	0.00
06/26/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-426.21	0.00	1.00	-1.00	100.0	-1.00
06/26/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-865.00	0.00	1.00	-1.00	100.0	-1.00
06/26/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-3,089.54	0.00	1.00	-1.00	100.0	-1.00
06/26/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-2,949.89	0.00	1.00	-1.00	100.0	-1.00
06/26/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	7,327.00	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,420.50	0.00	1.00	-1.00	100.0	-1.00
06/13/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,760.92	0.00	1.00	-1.00	100.0	-1.00
06/13/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	3,181.43	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	CUSHING RENAISSANCE FD COM	10,102.02	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	CUSHING RENAISSANCE FD COM	-1,687.01	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	CUSHING RENAISSANCE FD COM	-1,687.01	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	CUSHING RENAISSANCE FD COM	-3,374.02	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	CUSHING RENAISSANCE FD COM	-3,354.00	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	CUSHING RENAISSANCE FD COM	-3,913.86	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	CUSHING RENAISSANCE FD COM	-1,687.01	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	CUSHING RENAISSANCE FD COM	-1,687.01	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	CUSHING RENAISSANCE FD COM	-1,686.02	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	CUSHING RENAISSANCE FD COM	-1,685.03	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	CUSHING RENAISSANCE FD COM	-22,969.95	0.00	1.00	-1.00	100.0	-1.00

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
06/15/2017	CONCORDE, VARIOUS	CUSHING RENAISSANCE FD COM	-3,370.06	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	CUSHING RENAISSANCE FD COM	36,998.94	0.00	0.00	0.00	100.0	0.00
06/27/2017	CONCORDE, VARIOUS	DIREXION SHS ETF TR DAILY HEALTHCAR	-4,244.00	0.00	1.00	-1.00	100.0	-1.00
06/27/2017	CONCORDE, VARIOUS	DIREXION SHS ETF TR DAILY HEALTHCAR	-4,244.00	0.00	1.00	-1.00	100.0	-1.00
06/27/2017	CONCORDE, VARIOUS	DIREXION SHS ETF TR DAILY HEALTHCAR	-4,244.00	0.00	1.00	-1.00	100.0	-1.00
06/27/2017	CONCORDE, VARIOUS	DIREXION SHS ETF TR DAILY HEALTHCAR	12,732.00	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	DOUBLELINE INCOME SOLUTIONS FD COM	-20,672.60	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	DOUBLELINE INCOME SOLUTIONS FD COM	14,468.65	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	DOUBLELINE INCOME SOLUTIONS FD COM	6,203.97	0.00	1.00	-1.00	100.0	-1.00
06/14/2017	CONCORDE, VARIOUS	ENERGY TRANSFER EQUITY LP COM UNITS	-4,713.00	0.00	1.00	-1.00	100.0	-1.00
06/14/2017	CONCORDE, VARIOUS	ENERGY TRANSFER EQUITY LP COM UNITS	-7,855.00	0.00	1.00	-1.00	100.0	-1.00
06/14/2017	CONCORDE, VARIOUS	ENERGY TRANSFER EQUITY LP COM UNITS	-17,281.00	0.00	1.00	-1.00	100.0	-1.00
06/14/2017	CONCORDE, VARIOUS	ENERGY TRANSFER EQUITY LP COM UNITS	-1,571.00	0.00	1.00	-1.00	100.0	-1.00
06/14/2017	CONCORDE, VARIOUS	ENERGY TRANSFER EQUITY LP COM UNITS	31,420.00	0.00	0.00	0.00	100.0	0.00
06/26/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	6,275.00	0.00	0.00	0.00	100.0	0.00
06/26/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-255.00	0.00	1.00	-1.00	100.0	-1.00
06/26/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-255.00	0.00	1.00	-1.00	100.0	-1.00
06/26/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-255.00	0.00	1.00	-1.00	100.0	-1.00
06/26/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-5,522.00	0.00	1.00	-1.00	100.0	-1.00
06/27/2017	CONCORDE, VARIOUS	HAUPPAUGE DIGITAL INC COM	959.49	0.00	1.00	-1.00	100.0	-1.00
06/27/2017	CONCORDE, VARIOUS	HAUPPAUGE DIGITAL INC COM	43.12	0.00	1.00	-1.00	100.0	-1.00
06/27/2017	CONCORDE, VARIOUS	HAUPPAUGE DIGITAL INC COM	-1,002.61	0.00	0.00	0.00	100.0	0.00
06/21/2017	CONCORDE, VARIOUS	HONEYWELL INTL INC COM ISIN#US43851	-46,627.13	0.00	1.00	-1.00	100.0	-1.00
06/21/2017	CONCORDE, VARIOUS	HONEYWELL INTL INC COM ISIN#US43851	-13,476.50	0.00	1.00	-1.00	100.0	-1.00
06/21/2017	CONCORDE, VARIOUS	HONEYWELL INTL INC COM ISIN#US43851	60,103.63	0.00	0.00	0.00	100.0	0.00
06/26/2017	CONCORDE, VARIOUS	INVESCO DYNAMIC CR OPPORTUNITIES FD	-7,282.10	0.00	1.00	-1.00	100.0	-1.00
06/26/2017	CONCORDE, VARIOUS	INVESCO DYNAMIC CR OPPORTUNITIES FD	-3,558.00	0.00	1.00	-1.00	100.0	-1.00
06/26/2017	CONCORDE, VARIOUS	INVESCO DYNAMIC CR OPPORTUNITIES FD	10,840.13	0.00	0.00	0.00	100.0	0.00
06/21/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	-29,154.72	0.00	0.00	0.00	100.0	0.00
06/21/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	11,002.00	0.00	1.00	-1.00	100.0	-1.00
06/21/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	18,152.72	0.00	1.00	-1.00	100.0	-1.00
06/21/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-51,358.26	0.00	0.00	0.00	100.0	0.00
06/21/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	24,573.73	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
06/21/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	26,784.52	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	20,688.00	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-9,485.85	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-7,758.99	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-862.08	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-3,449.40	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	4,311.50	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	17,244.80	0.00	0.00	0.00	100.0	0.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,724.00	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-5,172.00	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-862.00	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-862.00	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-51.72	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-4,146.22	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-5,103.04	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-17.24	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-862.00	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-862.00	0.00	1.00	-1.00	100.0	-1.00
06/15/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,025.78	0.00	1.00	-1.00	100.0	-1.00
06/13/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	6,569.47	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-3,849.30	0.00	1.00	-1.00	100.0	-1.00
06/13/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-2,720.17	0.00	1.00	-1.00	100.0	-1.00
06/14/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-1,903.65	0.00	1.00	-1.00	100.0	-1.00
06/14/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-1,269.50	0.00	1.00	-1.00	100.0	-1.00
06/14/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	3,173.15	0.00	0.00	0.00	100.0	0.00
06/21/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-8,879.72	0.00	0.00	0.00	100.0	0.00
06/21/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	6,126.09	0.00	1.00	-1.00	100.0	-1.00
06/21/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	2,753.62	0.00	1.00	-1.00	100.0	-1.00
06/21/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	88,910.98	0.00	1.00	-1.00	100.0	-1.00
06/21/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	4,972.99	0.00	1.00	-1.00	100.0	-1.00
06/21/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-93,884.01	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$-15.68	\$0.00	\$73.00	\$-73.00		\$-73.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
06/15/2017	FORMELLA, ANNETTE	GENWORTH INS	25.00	0.25	0.00	0.25	100.0	0.25
06/15/2017	FORMELLA, DAVID	GENWORTH INS	35.00	0.35	0.00	0.35	100.0	0.35
06/16/2017	SUSSDORFF, EDWIN	PAC LIFE	0.00	72.66	0.00	72.66	100.0	72.66
06/12/2017	DAVIS, PATRICIA	PAC LIFE INNOVATIVE SELECT	0.00	127.48	0.00	127.48	100.0	127.48
06/12/2017	GUGLIELME, SAUNDRA	PAC LIFE PACIFIC CHOICE	0.00	39.67	0.00	39.67	100.0	39.67
06/07/2017	SELLS, SUSAN	PAC LIFE PORTFOLIOS	0.00	5.58	0.00	5.58	100.0	5.58
* VARIABLE ANNUITIES SUBTOTAL *			\$60.00	\$245.99	\$0.00	\$245.99		\$245.99

PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS

06/22/2017	CONCORDE, VARIOUS	FT UNIT 6586 EUROPEAN TARGET HIGH Q	19,161.90	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6586 EUROPEAN TARGET HIGH Q	-19,503.12	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6607 WTR UTIL & INFRAS SELE	-6,504.89	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6607 WTR UTIL & INFRAS SELE	6,332.49	0.00	0.00	0.00	100.0	0.00
06/16/2017	CONCORDE, VARIOUS	FT UNIT 6615 EQUITY CLOSED END PORT	-49,993.66	0.00	0.00	0.00	100.0	0.00
06/16/2017	CONCORDE, VARIOUS	FT UNIT 6615 EQUITY CLOSED END PORT	48,818.88	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6615 EQUITY CLOSED END PORT	21,918.42	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6615 EQUITY CLOSED END PORT	-22,400.06	0.00	0.00	0.00	100.0	0.00
06/23/2017	CONCORDE, VARIOUS	FT UNIT 6615 EQUITY CLOSED END PORT	-25,009.49	0.00	0.00	0.00	100.0	0.00
06/23/2017	CONCORDE, VARIOUS	FT UNIT 6615 EQUITY CLOSED END PORT	24,346.79	0.00	0.00	0.00	100.0	0.00
06/16/2017	CONCORDE, VARIOUS	FT UNIT 6627 CLOSED END FD & ENERGY	48,818.20	0.00	0.00	0.00	100.0	0.00
06/16/2017	CONCORDE, VARIOUS	FT UNIT 6627 CLOSED END FD & ENERGY	-49,992.90	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6654 EQUITY INCOME SELECT P	-5,702.69	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6654 EQUITY INCOME SELECT P	6,469.54	0.00	38.00	-38.00	100.0	-38.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6654 EQUITY INCOME SELECT P	5,588.66	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6654 EQUITY INCOME SELECT P	-6,601.54	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6668 TECHNOLOGY SELECT PORT	12,747.99	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6668 TECHNOLOGY SELECT PORT	-13,008.15	0.00	0.00	0.00	100.0	0.00
06/16/2017	CONCORDE, VARIOUS	FT UNIT 6684 COVERED CALL SELECT CL	-49,994.34	0.00	0.00	0.00	100.0	0.00
06/16/2017	CONCORDE, VARIOUS	FT UNIT 6684 COVERED CALL SELECT CL	48,819.45	0.00	0.00	0.00	100.0	0.00
06/14/2017	CONCORDE, VARIOUS	FT UNIT 6691 US EQUITY CLOSED END P	-35,001.07	0.00	0.00	0.00	100.0	0.00
06/14/2017	CONCORDE, VARIOUS	FT UNIT 6691 US EQUITY CLOSED END P	34,248.59	0.00	0.00	0.00	100.0	0.00
06/16/2017	CONCORDE, VARIOUS	FT UNIT 6691 US EQUITY CLOSED END P	11,716.41	0.00	0.00	0.00	100.0	0.00
06/16/2017	CONCORDE, VARIOUS	FT UNIT 6691 US EQUITY CLOSED END P	36,198.73	0.00	0.00	0.00	100.0	0.00
06/16/2017	CONCORDE, VARIOUS	FT UNIT 6691 US EQUITY CLOSED END P	-36,993.96	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
06/16/2017	CONCORDE, VARIOUS	FT UNIT 6691 US EQUITY CLOSED END P	-11,998.37	0.00	0.00	0.00	100.0	0.00
06/23/2017	CONCORDE, VARIOUS	FT UNIT 6691 US EQUITY CLOSED END P	-25,005.73	0.00	0.00	0.00	100.0	0.00
06/23/2017	CONCORDE, VARIOUS	FT UNIT 6691 US EQUITY CLOSED END P	24,343.01	0.00	0.00	0.00	100.0	0.00
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6703 CAP STRENGTH PORTFOLIO	35,043.28	0.00	0.00	0.00	100.0	0.00
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6703 CAP STRENGTH PORTFOLIO	-35,758.28	0.00	0.00	0.00	100.0	0.00
06/16/2017	CONCORDE, VARIOUS	FT UNIT 6703 CAP STRENGTH PORTFOLIO	8,630.69	0.00	0.00	0.00	100.0	0.00
06/16/2017	CONCORDE, VARIOUS	FT UNIT 6703 CAP STRENGTH PORTFOLIO	-8,806.86	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6703 CAP STRENGTH PORTFOLIO	21,665.18	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6703 CAP STRENGTH PORTFOLIO	-10,506.75	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6703 CAP STRENGTH PORTFOLIO	10,296.62	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6703 CAP STRENGTH PORTFOLIO	-22,107.33	0.00	0.00	0.00	100.0	0.00
06/27/2017	CONCORDE, VARIOUS	FT UNIT 6703 CAP STRENGTH PORTFOLIO	15,389.85	0.00	0.00	0.00	100.0	0.00
06/27/2017	CONCORDE, VARIOUS	FT UNIT 6703 CAP STRENGTH PORTFOLIO	-15,703.88	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6716 MLP CLOSED END PORT SE	-22,402.39	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6716 MLP CLOSED END PORT SE	21,954.44	0.00	0.00	0.00	100.0	0.00
06/23/2017	CONCORDE, VARIOUS	FT UNIT 6716 MLP CLOSED END PORT SE	-25,005.75	0.00	0.00	0.00	100.0	0.00
06/23/2017	CONCORDE, VARIOUS	FT UNIT 6716 MLP CLOSED END PORT SE	24,505.58	0.00	0.00	0.00	100.0	0.00
06/27/2017	CONCORDE, VARIOUS	FT UNIT 6722 SABRIENT BAKERS DOZEN	-39,906.75	0.00	0.00	0.00	100.0	0.00
06/27/2017	CONCORDE, VARIOUS	FT UNIT 6722 SABRIENT BAKERS DOZEN	39,408.05	0.00	0.00	0.00	100.0	0.00
06/16/2017	CONCORDE, VARIOUS	FT UNIT 6723 GLOBAL EQUITY INCOME C	-49,994.15	0.00	0.00	0.00	100.0	0.00
06/16/2017	CONCORDE, VARIOUS	FT UNIT 6723 GLOBAL EQUITY INCOME C	48,819.10	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6723 GLOBAL EQUITY INCOME C	21,918.63	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6723 GLOBAL EQUITY INCOME C	-22,400.27	0.00	0.00	0.00	100.0	0.00
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6733 SMID CAP STRENGTH PORT	14,021.51	0.00	0.00	0.00	100.0	0.00
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6733 SMID CAP STRENGTH PORT	-14,307.71	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6733 SMID CAP STRENGTH PORT	12,745.98	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6733 SMID CAP STRENGTH PORT	-13,006.16	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6742 FINLS SELECT PORT SER	12,741.73	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6742 FINLS SELECT PORT SER	-13,001.77	0.00	0.00	0.00	100.0	0.00
06/16/2017	CONCORDE, VARIOUS	FT UNIT 6758 DIVID & INCOME SELECT	49,000.00	0.00	0.00	0.00	100.0	0.00
06/16/2017	CONCORDE, VARIOUS	FT UNIT 6758 DIVID & INCOME SELECT	-50,000.00	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6758 DIVID & INCOME SELECT	16,963.26	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6758 DIVID & INCOME SELECT	-17,309.49	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
06/23/2017	CONCORDE, VARIOUS	FT UNIT 6758 DIVID & INCOME SELECT	-25,001.43	0.00	0.00	0.00	100.0	0.00
06/23/2017	CONCORDE, VARIOUS	FT UNIT 6758 DIVID & INCOME SELECT	24,501.29	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6761 HEALTH CARE SELECT POR	12,748.75	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6761 HEALTH CARE SELECT POR	-13,008.90	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6775 ENERGY SELECT PORT SER	-7,204.59	0.00	0.00	0.00	100.0	0.00
06/22/2017	CONCORDE, VARIOUS	FT UNIT 6775 ENERGY SELECT PORT SER	7,060.51	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR DORSEY; WRIGHT INTL	-21,451.31	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR DORSEY; WRIGHT INTL	21,075.97	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR DYNAMIC; SECTOR INCOME TR	6,956.78	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR DYNAMIC; SECTOR INCOME TR	-7,146.18	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR HEALTHCARE; INNOVATIONS TR	-14,290.48	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR HEALTHCARE; INNOVATIONS TR	13,911.82	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR HIGH 20; DIVID STRATEGY	13,915.49	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR HIGH 20; DIVID STRATEGY	-14,294.30	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR MILLER / HOWARD NORTH AMER	-7,144.91	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR MILLER / HOWARD NORTH AMER	7,019.86	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR MORNINGSTAR; DIVID YIELD F	13,916.63	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR MORNINGSTAR; DIVID YIELD F	-14,295.50	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR SMART TEN TR SER 8 UNIT RE	14,043.60	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR SMART TEN TR SER 8 UNIT RE	-14,293.73	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR STRATEGIC; GROWTH & INCOME	-14,300.00	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR STRATEGIC; GROWTH & INCOME	14,014.00	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR ZACKS GARP; COMPOSITE 35 T	-35,758.61	0.00	0.00	0.00	100.0	0.00
06/13/2017	CONCORDE, VARIOUS	SMART TR ZACKS GARP; COMPOSITE 35 T	35,132.85	0.00	0.00	0.00	100.0	0.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$-19,186.94	\$0.00	\$38.00	\$-38.00		\$-38.00
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
06/09/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	1.75	0.00	1.75	100.0	1.75
06/09/2017	PAI, YOGISH & ANITA	PAC LIFE VUL	700.00	14.00	0.00	14.00	100.0	14.00
06/16/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	8.07	0.00	8.07	100.0	8.07
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$700.00	\$23.82	\$0.00	\$23.82		\$23.82

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
06/16/2017	LUND, VARIOUS	ING RELIASTAR	0.00	241.48	0.00	241.48	100.0	241.48
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$241.48	\$0.00	\$241.48		\$241.48
*** BROKER TRANSACTIONS TOTAL ***			\$-25,647.00	\$631.53	\$111.00	\$520.53		\$520.53

VERRIDE TRANSACTIONS**BROKER: ACCOUNT HOUSE #1**

06/15/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
06/15/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
06/06/2017	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
06/12/2017	ESSARY, BRADLEY	AM FUNDS AMERICAN MUTUAL A	115.00	3.16	0.00	3.16	100.0	3.16
06/05/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
06/12/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.19	0.00	3.19	100.0	3.19
06/12/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	100.0	3.19
06/12/2017	ESSARY, BRADLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	3.16	0.00	3.16	100.0	3.16
06/12/2017	GREEN, WILLIAM	AM FUNDS GROWTH AND INCOME A	250.00	12.50	0.00	12.50	100.0	12.50
06/20/2017	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
06/06/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
06/22/2017	COHEN, LORI PIERCE-	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	1.00	0.00	1.00	100.0	1.00
06/12/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	100.0	2.13
06/12/2017	ESSARY, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	115.00	3.16	0.00	3.16	100.0	3.16
06/15/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
06/06/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
06/05/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
06/22/2017	COHEN, LORI PIERCE-	AM FUNDS NEW PERSPECTIVE 529C	100.00	1.00	0.00	1.00	100.0	1.00
06/12/2017	ESSARY, BRADLEY	AM FUNDS NEW PERSPECTIVE A	115.00	3.16	0.00	3.16	100.0	3.16
06/05/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
06/06/2017	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
* ACCOUNT HOUSE SUBTOTAL *			\$2,060.00	\$67.15	\$0.00	\$67.15		\$67.15

BROKER: ANTHONY GUGLIELME #8

06/15/2017	BRANDUZZI, DOMENIC	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	4.25	0.00	4.25	0.0	4.25
06/15/2017	BRANDUZZI, DOMENIC	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	0.0	4.25

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: 101 CFGROUP/KOSANKE #9</u>								
		* ANTHONY GUGLIELME SUBTOTAL *	\$200.00	\$8.50	\$0.00	\$8.50		\$8.50
<u>BROKER: 101 CFGROUP/KOSANKE #9</u>								
06/12/2017	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	5.00
		* 101 CFGROUP/KOSANKE SUBTOTAL *	\$100.00	\$5.00	\$0.00	\$5.00		\$5.00
<u>BROKER: MATT BRANSTETTER #10</u>								
06/05/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	250.00	12.50	0.00	12.50	80.0	12.50
06/15/2017	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	3.75	0.00	3.75	80.0	3.75
		* MATT BRANSTETTER SUBTOTAL *	\$350.00	\$16.25	\$0.00	\$16.25		\$16.25
<u>BROKER: DANIEL WOLF #11</u>								
06/26/2017	CARIGNAN, NANCY	MML CM LIFE VUL	52,245.37	6.53	0.00	6.53	0.0	6.53
		* DANIEL WOLF SUBTOTAL *	\$52,245.37	\$6.53	\$0.00	\$6.53		\$6.53
<u>BROKER: ERIC HORNYAK #29</u>								
06/08/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
06/08/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
06/08/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	0.0	3.75
/ /	CROSSFIRE GROUP Q3AM	BLK HEALTH SCIENCES A	0.00	0.20	0.00	0.20	0.0	0.20
05/31/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	1.04	0.00	1.04	0.0	1.04
05/31/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	1.03	0.00	1.03	0.0	1.03
		* ERIC HORNYAK SUBTOTAL *	\$1,392.00	\$54.48	\$0.00	\$54.48		\$54.48
<u>BROKER: THOMAS BOAKS #33</u>								
05/31/2017	WITT-AMANS	BLK GLOBAL ALLOCATION A	0.00	0.32	0.00	0.32	0.0	0.32
05/31/2017	PITTE, JOELA	BLK GLOBAL ALLOCATION A	0.00	2.14	0.00	2.14	0.0	2.14
05/31/2017	SKIBA, TODD	BLK GLOBAL ALLOCATION A	0.00	6.29	0.00	6.29	0.0	6.29
		* THOMAS BOAKS SUBTOTAL *	\$0.00	\$8.75	\$0.00	\$8.75		\$8.75
<u>BROKER: TIMOTHY BROWN #36</u>								
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	2.54	0.00	2.54	0.0	2.54
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	7.62	0.00	7.62	0.0	7.62
		* TIMOTHY BROWN SUBTOTAL *	\$0.00	\$10.16	\$0.00	\$10.16		\$10.16

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: BRUCE BAXTER #38 </u>								
/ /	GEIGER, JACQUELYN	PROFUNDS RISING RATES SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS RISING RATES SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GEIGER, JACQUELYN	PROFUNDS RISING RATES SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.07	0.00	0.07	0.0	0.07
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.08	0.00	0.08	0.0	0.08
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.22	0.00	0.22	0.0	0.22
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	6.90	0.00	6.90	0.0	6.90
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	20.71	0.00	20.71	0.0	20.71
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.14	0.00	0.14	0.0	0.14
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.08	0.00	0.08	0.0	0.08
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.14	0.00	0.14	0.0	0.14
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.42	0.00	0.42	0.0	0.42
* BRUCE BAXTER SUBTOTAL *			\$0.00	\$29.00	\$0.00	\$29.00		\$29.00
<u> BROKER: JOHN BEAN #45 </u>								
06/12/2017	TOTZKE, LARRY	CALVERT INCOME FUND CLASS A	0.00	1.31	0.00	1.31	0.0	1.31
* JOHN BEAN SUBTOTAL *			\$0.00	\$1.31	\$0.00	\$1.31		\$1.31
<u> BROKER: ROBERT (RUSTY) TWEED #58 </u>								
06/21/2017	BAUMGARTNER TRUST, CECIL &	HONEYWELL INTL INC COM ISIN#US43851	-60,103.63	22.00	22.67	-0.67	0.0	-0.67
06/14/2017	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	2.09	0.00	2.09	0.0	2.09
06/14/2017	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	2.07	0.00	2.07	0.0	2.07
06/14/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.11	0.00	1.11	0.0	1.11
06/14/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.81	0.00	1.81	0.0	1.81
06/14/2017	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	1.94	0.00	1.94	0.0	1.94
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$-60,103.63	\$31.02	\$22.67	\$8.35		\$8.35

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JIM LUND #60 </u>								
06/15/2017	BARBOUR, ELEANOR	FA 529 PORT 2016 C	200.00	10.00	0.00	10.00	0.0	10.00
06/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
06/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	6.00	0.00	6.00	0.0	6.00
06/05/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
06/15/2017	WURLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
06/05/2017	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	4.00
06/14/2017	STARK, TONI	FRANKLIN INCOME C	1,000.00	10.00	0.00	10.00	0.0	10.00
06/07/2017	RAMIREZ, MYRNA	FT FOUNDING FUNDS ALLOC A	650.00	18.20	0.00	18.20	0.0	18.20
06/16/2017	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	1.20
06/09/2017	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	9.50
06/09/2017	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	247.50	11.76	0.00	11.76	0.0	11.76
06/09/2017	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	82.50	3.92	0.00	3.92	0.0	3.92
/ /	GUTHRIE, JACOB	VAN ECK GLOBAL HARD ASSETS A	0.00	15.88	0.00	15.88	0.0	15.88
 * JIM LUND SUBTOTAL * 			\$3,300.00	\$108.46	\$0.00	\$108.46		\$108.46
<u> BROKER: JAMES PANOS #61 </u>								
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	0.70	0.00	0.70	0.0	0.70
06/15/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
06/15/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
06/15/2017	MACUCH, PAUL	FA 529 PORT 2016 C	50.00	0.50	0.00	0.50	0.0	0.50
06/15/2017	MACUCH, PAUL	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
06/15/2017	DORAN, PATRICK	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
06/15/2017	LORA, MARCOS	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
06/15/2017	LORA, CATALINA	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
06/15/2017	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
06/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
06/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
06/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
06/15/2017	LORA, ROLANDO	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
06/15/2017	MACUCH, PAUL	FA 529 PORT 2028 C	50.00	0.50	0.00	0.50	0.0	0.50
 * JAMES PANOS SUBTOTAL * 			\$990.00	\$10.60	\$0.00	\$10.60		\$10.60

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: RENE CASTRO #92</u>								
06/14/2017	BUSTOS, ARMANDO	JH HIGH YIELD A	0.00	2.40	0.00	2.40	0.0	2.40
06/14/2017	BUSTOS, ARMANDO	JH STRATEGIC INCOME A	0.00	9.32	0.00	9.32	0.0	9.32
* RENE CASTRO SUBTOTAL *			\$0.00	\$11.72	\$0.00	\$11.72		\$11.72
<u>BROKER: DANIEL COLLINS #106</u>								
06/14/2017	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES A	0.00	1.61	0.00	1.61	0.0	1.61
06/14/2017	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES B	0.00	0.13	0.00	0.13	0.0	0.13
06/14/2017	EVANSUSA, DENISE	JH LARGE CAP EQUITY A	0.00	2.63	0.00	2.63	0.0	2.63
06/14/2017	EVANSUSA, DENISE	JH LARGE CAP EQUITY B	0.00	0.10	0.00	0.10	0.0	0.10
06/14/2017	EVANSUSA, DENISE	JH NEW OPPORTUNITIES A	0.00	1.89	0.00	1.89	0.0	1.89
06/14/2017	EVANSUSA, DENISE	JH STRATEGIC GROWTH A	0.00	1.95	0.00	1.95	0.0	1.95
* DANIEL COLLINS SUBTOTAL *			\$0.00	\$8.31	\$0.00	\$8.31		\$8.31
<u>BROKER: G118 GRECO #110</u>								
06/20/2017	GARZA, NATHAN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.50	0.00	2.50	0.0	2.50
06/20/2017	GARZA, NATHAN	AM FUNDS NEW PERSPECTIVE 529A	50.00	2.50	0.00	2.50	0.0	2.50
* G118 GRECO SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$5.00
<u>BROKER: JAY MCCLELLAN #120</u>								
06/15/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	2.50	0.00	2.50	0.0	2.50
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.52	0.00	2.52	0.0	2.52
06/12/2017	CRABTREE, GARY	METLIFE	6,470.05	1.35	0.00	1.35	0.0	1.35
06/12/2017	CRABTREE, GARY	METLIFE	10,909.35	2.27	0.00	2.27	0.0	2.27
06/12/2017	CRABTREE, GARY	METLIFE	35,933.51	7.47	0.00	7.47	0.0	7.47
06/12/2017	CRABTREE, GARY	METLIFE	8,158.31	1.70	0.00	1.70	0.0	1.70
06/12/2017	CRABTREE, GARY	METLIFE	7,350.12	1.53	0.00	1.53	0.0	1.53
06/12/2017	CRABTREE, GARY	METLIFE	7,691.41	1.60	0.00	1.60	0.0	1.60
05/31/2017	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	4.44	0.00	4.44	0.0	4.44
* JAY MCCLELLAN SUBTOTAL *			\$76,562.75	\$25.38	\$0.00	\$25.38		\$25.38
<u>BROKER: DAVID KOTZ #179</u>								
05/31/2017	SHELLEY, SUZANNE	ASCENSUS 529 TRAILS	0.00	6.76	0.00	6.76	0.0	6.76
05/31/2017	SHELLEY, SUZANNE	ASCENSUS 529 TRAILS	0.00	6.76	0.00	6.76	0.0	6.76
* DAVID KOTZ SUBTOTAL *			\$0.00	\$13.52	\$0.00	\$13.52		\$13.52

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MICHELE BOYER #189</u>								
06/23/2017	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	21.68	0.22	0.00	0.22	0.0	0.22
06/23/2017	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	21.57	0.22	0.00	0.22	0.0	0.22
06/23/2017	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	36.80	0.37	0.00	0.37	0.0	0.37
06/23/2017	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	11.04	0.11	0.00	0.11	0.0	0.11
06/23/2017	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	34.96	0.35	0.00	0.35	0.0	0.35
06/23/2017	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	10.49	0.10	0.00	0.10	0.0	0.10
06/23/2017	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	24.72	0.25	0.00	0.25	0.0	0.25
06/23/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	24.72	0.25	0.00	0.25	0.0	0.25
06/23/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	23.04	0.23	0.00	0.23	0.0	0.23
06/23/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	23.04	0.23	0.00	0.23	0.0	0.23
06/23/2017	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	373.13	3.73	0.00	3.73	0.0	3.73
06/23/2017	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	111.94	1.12	0.00	1.12	0.0	1.12
06/23/2017	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	233.86	2.34	0.00	2.34	0.0	2.34
06/23/2017	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	70.16	0.70	0.00	0.70	0.0	0.70
06/23/2017	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	156.00	1.56	0.00	1.56	0.0	1.56
06/23/2017	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	156.00	1.56	0.00	1.56	0.0	1.56
06/23/2017	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	156.00	1.56	0.00	1.56	0.0	1.56
06/23/2017	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	156.00	1.56	0.00	1.56	0.0	1.56
06/23/2017	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	26.19	0.26	0.00	0.26	0.0	0.26
06/23/2017	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	26.19	0.26	0.00	0.26	0.0	0.26
06/23/2017	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	30.87	0.31	0.00	0.31	0.0	0.31
06/23/2017	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	30.87	0.31	0.00	0.31	0.0	0.31
06/23/2017	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	108.00	1.08	0.00	1.08	0.0	1.08
06/23/2017	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	108.00	1.08	0.00	1.08	0.0	1.08
06/23/2017	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	108.00	1.08	0.00	1.08	0.0	1.08
06/23/2017	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	108.00	1.08	0.00	1.08	0.0	1.08
06/23/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	24.72	0.25	0.00	0.25	0.0	0.25
06/23/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	24.72	0.25	0.00	0.25	0.0	0.25
06/23/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	23.04	0.23	0.00	0.23	0.0	0.23

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MICHELE BOYER #189</u>								
06/23/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	23.04	0.23	0.00	0.23	0.0	0.23
06/23/2017	EMERSON, JAMES	INVESCO CHARTER FUND C	159.91	1.60	0.00	1.60	0.0	1.60
06/23/2017	EMERSON, JAMES	INVESCO CHARTER FUND C	47.97	0.48	0.00	0.48	0.0	0.48
06/23/2017	EMERSON, JAMES	INVESCO CHARTER FUND C	100.22	1.00	0.00	1.00	0.0	1.00
06/23/2017	EMERSON, JAMES	INVESCO CHARTER FUND C	30.07	0.30	0.00	0.30	0.0	0.30
06/23/2017	HAWKINS, DAMON	INVESCO COMSTOCK A	36.80	0.37	0.00	0.37	0.0	0.37
06/23/2017	HAWKINS, DAMON	INVESCO COMSTOCK A	11.03	0.11	0.00	0.11	0.0	0.11
06/23/2017	HAWKINS, DAMON	INVESCO COMSTOCK A	34.97	0.35	0.00	0.35	0.0	0.35
06/23/2017	HAWKINS, DAMON	INVESCO COMSTOCK A	10.49	0.10	0.00	0.10	0.0	0.10
06/23/2017	NOEL, ANTHONY	INVESCO COMSTOCK A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	NOEL, ANTHONY	INVESCO COMSTOCK A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	NOEL, ANTHONY	INVESCO COMSTOCK A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	NOEL, ANTHONY	INVESCO COMSTOCK A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	26.20	0.26	0.00	0.26	0.0	0.26
06/23/2017	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	26.20	0.26	0.00	0.26	0.0	0.26
06/23/2017	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	30.86	0.31	0.00	0.31	0.0	0.31
06/23/2017	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	30.86	0.31	0.00	0.31	0.0	0.31
06/23/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	42.89	0.43	0.00	0.43	0.0	0.43
06/23/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	21.45	0.21	0.00	0.21	0.0	0.21
06/23/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	45.77	0.46	0.00	0.46	0.0	0.46
06/23/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	22.89	0.23	0.00	0.23	0.0	0.23
06/23/2017	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	29.44	0.29	0.00	0.29	0.0	0.29
06/23/2017	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	8.83	0.09	0.00	0.09	0.0	0.09
06/23/2017	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	27.97	0.28	0.00	0.28	0.0	0.28
06/23/2017	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	8.39	0.08	0.00	0.08	0.0	0.08
06/23/2017	HAWKINS, DAMON	INVESCO ENERGY FUND A	33.12	0.33	0.00	0.33	0.0	0.33
06/23/2017	HAWKINS, DAMON	INVESCO ENERGY FUND A	9.94	0.10	0.00	0.10	0.0	0.10
06/23/2017	HAWKINS, DAMON	INVESCO ENERGY FUND A	31.46	0.31	0.00	0.31	0.0	0.31
06/23/2017	HAWKINS, DAMON	INVESCO ENERGY FUND A	9.44	0.09	0.00	0.09	0.0	0.09
06/23/2017	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	27.82	0.28	0.00	0.28	0.0	0.28
06/23/2017	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	27.82	0.28	0.00	0.28	0.0	0.28
06/23/2017	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	32.80	0.33	0.00	0.33	0.0	0.33
06/23/2017	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	32.80	0.33	0.00	0.33	0.0	0.33

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: MICHELE BOYER #189</u>								
06/23/2017	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	196.85	1.97	0.00	1.97	0.0	1.97
06/23/2017	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	196.85	1.97	0.00	1.97	0.0	1.97
06/23/2017	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	195.06	1.95	0.00	1.95	0.0	1.95
06/23/2017	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	195.06	1.95	0.00	1.95	0.0	1.95
06/23/2017	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	27.82	0.28	0.00	0.28	0.0	0.28
06/23/2017	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	27.82	0.28	0.00	0.28	0.0	0.28
06/23/2017	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	32.80	0.33	0.00	0.33	0.0	0.33
06/23/2017	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	32.80	0.33	0.00	0.33	0.0	0.33
06/23/2017	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	10.85	0.11	0.00	0.11	0.0	0.11
06/23/2017	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	10.78	0.11	0.00	0.11	0.0	0.11
06/23/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	32.17	0.32	0.00	0.32	0.0	0.32
06/23/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	16.08	0.16	0.00	0.16	0.0	0.16
06/23/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	34.33	0.34	0.00	0.34	0.0	0.34
06/23/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	17.17	0.17	0.00	0.17	0.0	0.17
06/23/2017	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	27.82	0.28	0.00	0.28	0.0	0.28
06/23/2017	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	27.82	0.28	0.00	0.28	0.0	0.28
06/23/2017	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	32.80	0.33	0.00	0.33	0.0	0.33
06/23/2017	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	32.80	0.33	0.00	0.33	0.0	0.33
06/23/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	42.89	0.43	0.00	0.43	0.0	0.43
06/23/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	21.46	0.21	0.00	0.21	0.0	0.21
06/23/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	45.78	0.46	0.00	0.46	0.0	0.46
06/23/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	22.88	0.23	0.00	0.23	0.0	0.23
06/23/2017	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	55.20	0.55	0.00	0.55	0.0	0.55
06/23/2017	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	16.56	0.17	0.00	0.17	0.0	0.17
06/23/2017	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	52.44	0.52	0.00	0.52	0.0	0.52
06/23/2017	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	15.73	0.16	0.00	0.16	0.0	0.16
06/23/2017	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	12.00	0.12	0.00	0.12	0.0	0.12
06/23/2017	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	12.00	0.12	0.00	0.12	0.0	0.12
06/23/2017	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	12.00	0.12	0.00	0.12	0.0	0.12

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MICHELE BOYER #189 </u>								
06/23/2017	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	12.00	0.12	0.00	0.12	0.0	0.12
06/23/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	32.17	0.32	0.00	0.32	0.0	0.32
06/23/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	16.08	0.16	0.00	0.16	0.0	0.16
06/23/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	34.33	0.34	0.00	0.34	0.0	0.34
06/23/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	17.17	0.17	0.00	0.17	0.0	0.17
06/23/2017	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	21.68	0.22	0.00	0.22	0.0	0.22
06/23/2017	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	21.57	0.22	0.00	0.22	0.0	0.22
06/23/2017	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	32.53	0.33	0.00	0.33	0.0	0.33
06/23/2017	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	32.36	0.32	0.00	0.32	0.0	0.32
06/23/2017	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	12.00	0.12	0.00	0.12	0.0	0.12
06/23/2017	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	12.00	0.12	0.00	0.12	0.0	0.12
06/23/2017	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	12.00	0.12	0.00	0.12	0.0	0.12
06/23/2017	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	12.00	0.12	0.00	0.12	0.0	0.12
06/23/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	32.17	0.32	0.00	0.32	0.0	0.32
06/23/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	16.08	0.16	0.00	0.16	0.0	0.16
06/23/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	34.33	0.34	0.00	0.34	0.0	0.34
06/23/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	17.17	0.17	0.00	0.17	0.0	0.17
06/23/2017	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	21.68	0.22	0.00	0.22	0.0	0.22
06/23/2017	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	21.57	0.22	0.00	0.22	0.0	0.22
06/23/2017	KINNEY, THOMAS	INVESCO MONEY MARKET C	132.00	1.32	0.00	1.32	0.0	1.32
06/23/2017	KINNEY, THOMAS	INVESCO MONEY MARKET C	132.00	1.32	0.00	1.32	0.0	1.32
06/23/2017	KINNEY, THOMAS	INVESCO MONEY MARKET C	132.00	1.32	0.00	1.32	0.0	1.32
06/23/2017	KINNEY, THOMAS	INVESCO MONEY MARKET C	132.00	1.32	0.00	1.32	0.0	1.32
06/23/2017	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	27.82	0.28	0.00	0.28	0.0	0.28
06/23/2017	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	27.82	0.28	0.00	0.28	0.0	0.28
06/23/2017	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	32.80	0.33	0.00	0.33	0.0	0.33
06/23/2017	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	32.80	0.33	0.00	0.33	0.0	0.33
06/23/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	32.17	0.32	0.00	0.32	0.0	0.32
06/23/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	16.08	0.16	0.00	0.16	0.0	0.16
06/23/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	34.33	0.34	0.00	0.34	0.0	0.34
06/23/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	17.17	0.17	0.00	0.17	0.0	0.17
06/23/2017	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	36.80	0.37	0.00	0.37	0.0	0.37
06/23/2017	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	11.04	0.11	0.00	0.11	0.0	0.11

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MICHELE BOYER #189</u>								
06/23/2017	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	34.96	0.35	0.00	0.35	0.0	0.35
06/23/2017	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	10.49	0.10	0.00	0.10	0.0	0.10
06/23/2017	HAWKINS, DAMON	INVESCO SUMMIT FUND A	55.20	0.55	0.00	0.55	0.0	0.55
06/23/2017	HAWKINS, DAMON	INVESCO SUMMIT FUND A	16.56	0.17	0.00	0.17	0.0	0.17
06/23/2017	HAWKINS, DAMON	INVESCO SUMMIT FUND A	52.44	0.52	0.00	0.52	0.0	0.52
06/23/2017	HAWKINS, DAMON	INVESCO SUMMIT FUND A	15.73	0.16	0.00	0.16	0.0	0.16
06/23/2017	HAWKINS, DAMON	INVESCO TECHNOLOGY A	33.12	0.33	0.00	0.33	0.0	0.33
06/23/2017	HAWKINS, DAMON	INVESCO TECHNOLOGY A	9.94	0.10	0.00	0.10	0.0	0.10
06/23/2017	HAWKINS, DAMON	INVESCO TECHNOLOGY A	31.46	0.31	0.00	0.31	0.0	0.31
06/23/2017	HAWKINS, DAMON	INVESCO TECHNOLOGY A	9.44	0.09	0.00	0.09	0.0	0.09
06/23/2017	NOEL, ANTHONY	INVESCO TECHNOLOGY A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	NOEL, ANTHONY	INVESCO TECHNOLOGY A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	NOEL, ANTHONY	INVESCO TECHNOLOGY A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	NOEL, ANTHONY	INVESCO TECHNOLOGY A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	HAWKINS, DAMON	INVESCO UTILITIES A	33.12	0.33	0.00	0.33	0.0	0.33
06/23/2017	HAWKINS, DAMON	INVESCO UTILITIES A	9.94	0.10	0.00	0.10	0.0	0.10
06/23/2017	HAWKINS, DAMON	INVESCO UTILITIES A	31.46	0.31	0.00	0.31	0.0	0.31
06/23/2017	HAWKINS, DAMON	INVESCO UTILITIES A	9.44	0.09	0.00	0.09	0.0	0.09
06/23/2017	NOEL, ANTHONY	INVESCO UTILITIES A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	NOEL, ANTHONY	INVESCO UTILITIES A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	NOEL, ANTHONY	INVESCO UTILITIES A	18.00	0.18	0.00	0.18	0.0	0.18
06/23/2017	NOEL, ANTHONY	INVESCO UTILITIES A	18.00	0.18	0.00	0.18	0.0	0.18
/ /	SOJKA, MICHAEL	VAN ECK GLOBAL HARD ASSETS A	0.00	0.73	0.00	0.73	0.0	0.73
* MICHELE BOYER SUBTOTAL *			\$6,622.84	\$66.95	\$0.00	\$66.95		\$66.95
<u>BROKER: THOMAS JAHNCKE #193</u>								
06/15/2017	GLOVER, FRANK	THORNBURG VALUE CLASS C	0.00	86.04	0.00	86.04	0.0	86.04
* THOMAS JAHNCKE SUBTOTAL *			\$0.00	\$86.04	\$0.00	\$86.04		\$86.04
<u>BROKER: WILLIAM THOMAS #239</u>								
06/15/2017	SHERMAN, BROOKE	AMERICAN FDS GROWTH PORTFOLIO 529C	50.00	0.50	0.00	0.50	0.0	0.50
06/16/2017	KUPPE, MARK	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
06/21/2017	KUPPE, PATRICIA	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
06/17/2017	THOMAS, MARIA	NWL NEXT GEN II FP VUL	250.00	5.00	0.00	5.00	0.0	5.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
		* WILLIAM THOMAS SUBTOTAL *	\$700.00	\$13.50	\$0.00	\$13.50		\$13.50
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
06/15/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.50
06/19/2017	FEY, MICHAEL	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.50
06/15/2017	BRACHER, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA-529	50.00	0.50	0.00	0.50	0.0	0.50
05/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	1.11	0.00	1.11	0.0	1.11
05/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.02	0.00	0.02	0.0	0.02
05/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.01	0.00	0.01	0.0	0.01
/ /	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	3.00	0.00	3.00	0.0	3.00
05/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	29.87	0.00	29.87	0.0	29.87
05/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.76	0.00	8.76	0.0	8.76
05/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	9.96	0.00	9.96	0.0	9.96
05/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.92	0.00	2.92	0.0	2.92
05/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.46	0.00	8.46	0.0	8.46
05/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	25.38	0.00	25.38	0.0	25.38
06/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
06/15/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	6.00	0.00	6.00	0.0	6.00
06/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	0.0	0.90
06/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	4.26	0.00	4.26	0.0	4.26
06/22/2017	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	4.32	0.00	4.32	0.0	4.32
06/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	2.10	0.00	2.10	0.0	2.10
06/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	0.0	1.11
06/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	4.92	0.00	4.92	0.0	4.92
06/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.89	0.00	0.89	0.0	0.89
06/06/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.50	0.00	0.50	0.0	0.50
06/06/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.50	0.00	0.50	0.0	0.50
06/05/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	1.19
06/19/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	1.19
		* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *	\$2,417.78	\$121.87	\$0.00	\$121.87		\$121.87
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
06/14/2017	ROARKE, MARY ELIZABETH	ING EMPIRE INNOVATIONS STD OPT B	0.00	130.72	0.00	130.72	0.0	13.07
		* L149-ROBERT LUCHSINGER SUBTOTAL *	\$0.00	\$130.72	\$0.00	\$130.72		\$13.07

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
*** OVERRIDE TRANSACTIONS TOTAL ***			\$86,937.11	\$840.22	\$22.67	\$817.55		\$699.90

BROKER COMMISSION TOTALS

Broker Transactions	\$520.53
Split Transactions	\$0.00
Override Transactions	\$699.90
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,220.43
Year-to-date Gross	\$44,992.64
Year-to-date Earnings	\$113,233.75

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