



# COMMISSION STATEMENT April 15, 2017

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU  
11617 Coldwater Road  
Suite 103  
Fort Wayne, IN 46845

BROKER #: L131  
BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
^04/05/2017	HAMM, GAIL	PERSHING	247,033.01	923.84	0.00	923.84	90.0	0.00
^04/05/2017	HAMM, GAIL	PERSHING	98,671.73	369.01	0.00	369.01	90.0	0.00
^04/05/2017	HAMM, GAIL	PERSHING	99,965.53	373.84	0.00	373.84	90.0	0.00
^04/05/2017	EISERLE, DAVID	PERSHING	18,358.36	68.66	0.00	68.66	90.0	0.00
^04/05/2017	NOVELL, DEANA	PERSHING	100,431.09	375.58	0.00	375.58	90.0	0.00
^04/05/2017	GROTE, DONALD	PERSHING	111,985.07	418.79	0.00	418.79	90.0	0.00
^04/05/2017	GROTE, DONALD	PERSHING	28,804.03	107.72	0.00	107.72	90.0	0.00
^04/05/2017	CHIDDISTER, LISA	PERSHING	154.95	0.58	0.00	0.58	90.0	0.00
^04/05/2017	KAISER, ROBIN	PERSHING	44,872.39	167.81	0.00	167.81	90.0	0.00
^04/05/2017	KAISER, ROBERT	PERSHING	15,089.89	56.43	0.00	56.43	90.0	0.00
^04/05/2017	GAY, RICHARD	PERSHING	22,332.88	83.52	0.00	83.52	90.0	0.00
^04/05/2017	SORG, RICHARD	PERSHING	81,316.56	202.73	0.00	202.73	90.0	0.00
^04/05/2017	BURTNETTE, PATRICIA	PERSHING	154,534.52	577.92	0.00	577.92	90.0	0.00
^04/05/2017	BAILEY, LAURIE	PERSHING	47,000.00	150.66	0.00	150.66	90.0	0.00
^04/05/2017	BAILEY, LAURIE	PERSHING	46,940.25	175.54	0.00	175.54	90.0	0.00
* RIA SUBTOTAL *			<b>\$1,117,490.26</b>	<b>\$4,052.63</b>	<b>\$0.00</b>	<b>\$4,052.63</b>		<b>\$0.00</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$1,117,490.26</b>	<b>\$4,052.63</b>	<b>\$0.00</b>	<b>\$4,052.63</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
04/05/2017	HAMM, GAIL	PERSHING	247,033.01	277.15	0.00	277.15	90.0	249.44
04/05/2017	HAMM, GAIL	PERSHING	98,671.73	110.70	0.00	110.70	90.0	99.63
04/05/2017	HAMM, GAIL	PERSHING	99,965.53	112.15	0.00	112.15	90.0	100.94
04/05/2017	EISERLE, DAVID	PERSHING	18,358.36	20.60	0.00	20.60	90.0	18.54
04/05/2017	NOVELL, DEANA	PERSHING	100,431.09	112.67	0.00	112.67	90.0	101.40
04/05/2017	GROTE, DONALD	PERSHING	111,985.07	125.64	0.00	125.64	90.0	113.08
04/05/2017	GROTE, DONALD	PERSHING	28,804.03	32.32	0.00	32.32	90.0	29.09
04/05/2017	CHIDDISTER, LISA	PERSHING	154.95	0.17	0.00	0.17	90.0	0.15
04/05/2017	KAISER, ROBIN	PERSHING	44,872.39	50.34	0.00	50.34	90.0	45.31
04/05/2017	KAISER, ROBERT	PERSHING	15,089.89	16.93	0.00	16.93	90.0	15.24
04/05/2017	GAY, RICHARD	PERSHING	22,332.88	25.06	0.00	25.06	90.0	22.55
04/05/2017	SORG, RICHARD	PERSHING	81,316.56	60.82	0.00	60.82	90.0	54.74
04/05/2017	BURTNETTE, PATRICIA	PERSHING	154,534.52	173.38	0.00	173.38	90.0	156.04
04/05/2017	BAILEY, LAURIE	PERSHING	47,000.00	45.20	0.00	45.20	90.0	40.68
04/05/2017	BAILEY, LAURIE	PERSHING	46,940.25	52.66	0.00	52.66	90.0	47.39
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			<b>\$1,117,490.26</b>	<b>\$1,215.79</b>	<b>\$0.00</b>	<b>\$1,215.79</b>		<b>\$1,094.22</b>
*** SPLIT TRANSACTIONS TOTAL ***			<b>\$1,117,490.26</b>	<b>\$1,215.79</b>	<b>\$0.00</b>	<b>\$1,215.79</b>		<b>\$1,094.22</b>

**ADJUSTMENTS**

04/15/2017	*PERSHING: MF PROSPECTUS	0.00						0.00
04/15/2017	*PERSHING: MF PROSPECTUS	0.00						-0.47
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-0.47</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$1,094.22
Override Transactions	\$0.00
Adjustments	\$-0.47
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-3.22
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,090.53
Year-to-date Gross	\$3,158.89
Year-to-date Earnings	\$2,843.02