



All Companies

04/27/2018  
Page No. 1

# COMMISSION STATEMENT May 01, 2018

RAYMOND C. ASHLEY  
4911 Fall Creek Hwy

BROKER #: 8975  
BRANCH: HOME OFFICE

Granbury, TX 76049

## COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/18/2018	00062824923	CORBET, JEFF L	AMERICAN FUNDS BD FD OF AMER	FD B	16.25	0.33	0.00	0.33	90.0	0.30
04/18/2018	00062824923	CORBET, JEFF L	AMERICAN FUNDS CAPITAL WORLD	BD FD B	32.50	0.65	0.00	0.65	90.0	0.59
04/18/2018	00062824923	CORBET, JEFF L	AMERICAN FUNDS GROWTH FUND OF AMERI	B	162.50	3.25	0.00	3.25	90.0	2.93
04/18/2018	00062824923	CORBET, JEFF L	AMERICAN FUNDS HIGH INCOME TR	FD B	65.00	1.30	0.00	1.30	90.0	1.17
04/18/2018	00062824923	CORBET, JEFF L	AMERICAN FUNDS INTERM BD FD OF AMER	B	16.25	0.33	0.00	0.33	90.0	0.30
04/18/2018	00062824923	CORBET, JEFF L	AMERICAN FUNDS WA MUTUAL	INVES B	32.50	0.65	0.00	0.65	90.0	0.59
04/18/2018	00074241583	GUEST, LEANNE	AMERICAN FUNDS FUNDAMENTAL	INVES B	150.00	3.00	0.00	3.00	90.0	2.70
04/12/2018	00074241583	GUEST, LEANNE	AMERICAN FUNDS GROWTH FUND OF AMERI	B	900.00	18.00	0.00	18.00	90.0	16.20
04/18/2018	00074241583	GUEST, LEANNE	AMERICAN FUNDS GROWTH FUND OF AMERI	B	150.00	3.00	0.00	3.00	90.0	2.70
04/12/2018	00083054164	CALHOUN, SHERRI GAIL	AMERICAN FUNDS FUNDAMENTAL	INVES B	1,150.00	23.00	0.00	23.00	90.0	20.70
04/18/2018	00083054164	CALHOUN, SHERRI GAIL	AMERICAN FUNDS FUNDAMENTAL	INVES B	300.00	6.00	0.00	6.00	90.0	5.40
04/18/2018	00083054164	CALHOUN, SHERRI GAIL	AMERICAN FUNDS FUNDAMENTAL	INVES B	100.00	2.00	0.00	2.00	90.0	1.80
04/16/2018	00088819064	BARNARD, NONA L.	AMERICAN FUNDS WA MUTUAL	INVES B	250.00	9.38	0.00	9.38	90.0	8.44
04/10/2018	00207272799	ASHLEY/BRUMWELL, SUSAN/SAVANNAH	T ROWE PRICE NEW HORIZONS 529	C2 B	125.00	1.25	0.00	1.25	90.0	1.13
04/10/2018	00207272803	ASHLEY/BRUMWELL, SUSAN/SAVANNAH	EQUITY PORTFOLIO C2	B	125.00	1.25	0.00	1.25	90.0	1.13
04/02/2018	07150213641	BLAKE/MANSFIELD, FRANCES/MORGAN	FIXED INCOME PORTFOLIO C2	B	200.00	2.00	0.00	2.00	90.0	1.80
04/06/2018	07150213641	BLAKE/MANSFIELD, FRANCES/MORGAN	FIXED INCOME PORTFOLIO C2	B	200.00	2.00	0.00	2.00	90.0	1.80
04/10/2018	07150513926	BRUMWELL, SAMANTHA	COLLEGE PORTFOLIO C2	B	250.00	2.50	0.00	2.50	90.0	2.25
04/10/2018	07150513930	BRUMWELL, SAMANTHA	PORTFOLIO 2021-2024 C2	B	250.00	2.50	0.00	2.50	90.0	2.25
* MUTUAL FUNDS SUBTOTAL *					\$4,475.00	\$82.39	\$0.00	\$82.39	\$74.18	

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
04/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	203.67	203.67	0.00	203.67	90.0	183.30
		<b>* STOCKS SUBTOTAL *</b>		<b>\$203.67</b>	<b>\$203.67</b>	<b>\$0.00</b>	<b>\$203.67</b>		<b>\$183.30</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
04/06/2018	TRAILS	-JOHN HANCOCK	B	115.76	115.76	0.00	115.76	90.0	104.18
04/15/2018	CORBET, JEFFERY L	-JOHN HANCOCK	B	300.00	18.00	0.00	18.00	90.0	16.20
04/03/2018	TRAILS	-PACIFIC LIFE INSURANCE	B	50.26	50.26	0.00	50.26	90.0	45.23
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	4,137.32	4,137.32	0.00	4,137.32	90.0	3,723.59
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	287.31	287.31	0.00	287.31	90.0	258.58
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>		<b>\$4,890.65</b>	<b>\$4,608.65</b>	<b>\$0.00</b>	<b>\$4,608.65</b>		<b>\$4,147.78</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
04/13/2018	17946488 MABRY, CHRISTOPHER D.	SIGNAL SECURITIES RIA	B	0.00	873.33	0.00	873.33	90.0	786.00
04/13/2018	30932836 BARNETT, RHANDY L	SIGNAL SECURITIES RIA	B	0.00	150.33	0.00	150.33	90.0	135.30
04/13/2018	77430114 WATSON, RICHARD S	SIGNAL SECURITIES RIA	B	0.00	81.67	0.00	81.67	90.0	73.50
04/13/2018	81766746 KELLY, JOHN	SIGNAL SECURITIES RIA	B	0.00	243.33	0.00	243.33	90.0	219.00
		<b>* RIA SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$1,348.66</b>	<b>\$0.00</b>	<b>\$1,348.66</b>		<b>\$1,213.80</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
05/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	7.99	0.00	7.99	90.0	7.20
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$7.99</b>	<b>\$0.00</b>	<b>\$7.99</b>		<b>\$7.20</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$9,569.32</b>	<b>\$6,251.36</b>	<b>\$0.00</b>	<b>\$6,251.36</b>		<b>\$5,626.26</b>
<b><u>SPLIT TRANSACTIONS</u></b>									
<b><u>BROKER: JOINT ASHLEY/ASHLEY #8030</u></b>									
04/15/2018	TRICO ELECTRI C, GBM MGMT GROUP	NATIONWIDE QUALIFIED PLAN	B	6,699.36	165.80	0.00	165.80	90.0	149.22
04/13/2018	30367258 BURLESON, JAMES/MARTHA	SIGNAL SECURITIES RIA	B	0.00	151.66	0.00	151.66	90.0	136.49
		<b>* JOINT ASHLEY/ASHLEY SUBTOTAL *</b>		<b>\$6,699.36</b>	<b>\$317.46</b>	<b>\$0.00</b>	<b>\$317.46</b>		<b>\$285.71</b>
		<b>*** SPLIT TRANSACTIONS TOTAL ***</b>		<b>\$6,699.36</b>	<b>\$317.46</b>	<b>\$0.00</b>	<b>\$317.46</b>		<b>\$285.71</b>

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

05/01/2018		CRD CHARGES: CONT EDUCATION - REG E		0.00	0.00	0.00	0.00		-55.00
05/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-5.00
05/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00		\$-87.50

BROKER COMMISSION TOTALS

Broker Transactions	\$5,626.26
Split Transactions	\$285.71
Override Transactions	\$0.00
Adjustments	\$-87.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$5,824.47
Year-to-date Earnings	\$35,099.03