

**COMMISSION STATEMENT****February 15, 2018**

101 CFGROUP/KOSANKE
1120 E. Long Lake Road
Suite 250
Troy, MI 48085

BROKER #: 101
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
12/28/2017	WAGNER II TRUST, HARRY	BOURNE SENIORS HOUSING III	53,191.49	531.91	0.00	531.91	95.0	505.31
01/29/2018	YOUNG TRUST, RICK & DENISE	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	95.0	3,325.00
* PRIVATE PLACEMENT SUBTOTAL *			\$103,191.49	\$4,031.91	\$0.00	\$4,031.91		\$3,830.31
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
12/31/2017	WEYCKER, AARON	GRIFFIN INST'L ACCESS R E FUND C	35,050.80	29.77	0.00	29.77	95.0	28.28
12/31/2017	BAKER, GENE	GRIFFIN INST'L ACCESS R E FUND C	52,708.50	44.76	0.00	44.76	95.0	42.52
12/31/2017	THOMSON, DOUGLAS	GRIFFIN INST'L ACCESS R E FUND C	10,259.13	87.13	0.00	87.13	95.0	82.77
12/31/2017	MILLER, RAYMOND	GRIFFIN INST'L ACCESS R E FUND C	30,795.24	26.16	0.00	26.16	95.0	24.85
12/31/2017	SUTTON, TIMOTHY	GRIFFIN INST'L ACCESS R E FUND C	117,404.50	99.72	0.00	99.72	95.0	94.73
12/31/2017	WAGNER II, HARRY	GRIFFIN INST'L ACCESS R E FUND C	303,086.73	257.41	0.00	257.41	95.0	244.54
12/31/2017	MIERAS-HESSLER, DEBORAH	GRIFFIN INST'L ACCESS R E FUND C	35,222.70	29.92	0.00	29.92	95.0	28.42
12/31/2017	CANNER, JULIE	GRIFFIN INST'L ACCESS R E FUND C	41,857.88	35.55	0.00	35.55	95.0	33.77
12/31/2017	CYRULEWSKI TRUST, LOIS	GRIFFIN INST'L ACCESS R E FUND C	150,897.89	128.16	0.00	128.16	95.0	121.75
12/31/2017	CYRULEWSKI, JIM	GRIFFIN INST'L ACCESS R E FUND C	11,466.99	97.39	0.00	97.39	95.0	92.52
12/31/2017	CYRULEWSKI, JIM	GRIFFIN INST'L ACCESS R E FUND C	124,028.20	105.33	0.00	105.33	95.0	100.06
12/31/2017	BEAUDETTE, FLOYD	GRIFFIN INST'L ACCESS R E FUND C	50,515.69	42.91	0.00	42.91	95.0	40.76
12/31/2017	YANIS, MATTHEW	GRIFFIN INST'L ACCESS R E FUND C	126,329.07	107.29	0.00	107.29	95.0	101.93
12/31/2017	GALLO, ANTHONY	GRIFFIN INST'L ACCESS R E FUND C	24,578.94	20.88	0.00	20.88	95.0	19.84
12/31/2017	QUICK, ELAINE	GRIFFIN INST'L ACCESS R E FUND C	50,302.19	42.72	0.00	42.72	95.0	40.58
12/31/2017	FERRARI, JACQUELINE	GRIFFIN INST'L ACCESS R E FUND C	70,158.77	59.59	0.00	59.59	95.0	56.61
12/31/2017	CARMODY, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	41,803.14	35.51	0.00	35.51	95.0	33.73
12/31/2017	SIMONY TRUST, JOSEPH & REGINA	GRIFFIN INST'L ACCESS R E FUND C	25,259.94	21.45	0.00	21.45	95.0	20.38
12/31/2017	SIMONY, RICHARD	GRIFFIN INST'L ACCESS R E FUND C	20,254.16	17.20	0.00	17.20	95.0	16.34
12/31/2017	SIMONY, RICHARD	GRIFFIN INST'L ACCESS R E FUND C	25,164.04	21.37	0.00	21.37	95.0	20.30
12/31/2017	ROBERTS, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	30,286.66	25.72	0.00	25.72	95.0	24.43
12/31/2017	EQUITY TRUST CO	GRIFFIN INST'L ACCESS R E FUND C	9,027.14	7.67	0.00	7.67	95.0	7.29

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
12/31/2017	EQUITY TRUST CO	GRIFFIN INST'L ACCESS R E FUND C	7,441.94	6.32	0.00	6.32	95.0	6.00
12/31/2017	ANDERSON TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	191,303.39	162.48	0.00	162.48	95.0	154.36
12/31/2017	DEMAN, KENNETH	GRIFFIN INST'L ACCESS R E FUND C	52,800.68	44.84	0.00	44.84	95.0	42.60
12/31/2017	KEELER, DAVID	GRIFFIN INST'L ACCESS R E FUND C	26,170.48	22.23	0.00	22.23	95.0	21.12
12/31/2017	BUSZEK, PAUL	GRIFFIN INST'L ACCESS R E FUND C	25,910.96	22.00	0.00	22.00	95.0	20.90
12/31/2017	WETZEL, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	10,260.25	8.72	0.00	8.72	95.0	8.28
12/31/2017	ELLIOT, JAMES	GRIFFIN INST'L ACCESS R E FUND C	98,445.31	83.61	0.00	83.61	95.0	79.43
12/31/2017	ELLIOT, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	66,410.62	56.40	0.00	56.40	95.0	53.58
12/31/2017	JOUPPI, SHARON	GRIFFIN INST'L ACCESS R E FUND C	55,191.73	46.88	0.00	46.88	95.0	44.54
12/31/2017	JOUPPI, SHARON	GRIFFIN INST'L ACCESS R E FUND C	24,699.82	20.97	0.00	20.97	95.0	19.92
12/31/2017	FEWLESS, SCOTT	GRIFFIN INST'L ACCESS R E FUND C	15,410.03	13.09	0.00	13.09	95.0	12.44
12/31/2017	BARTOI, JOHN	GRIFFIN INST'L ACCESS R E FUND C	85,076.33	72.25	0.00	72.25	95.0	68.64
12/31/2017	MERRITT REVOCABLE TRUST, KATHY	GRIFFIN INST'L ACCESS R E FUND C	49,760.48	42.27	0.00	42.27	95.0	40.16
12/31/2017	KOPCZYK TRUST, LAWRENCE & PATR	GRIFFIN INST'L ACCESS R E FUND C	77,180.57	65.55	0.00	65.55	95.0	62.27
12/31/2017	THOMSON, DOUGLAS	GRIFFIN INST'L ACCESS R E FUND C	103,101.84	87.56	0.00	87.56	95.0	83.18
12/31/2017	AMMAN, KATHLEEN	GRIFFIN INST'L ACCESS R E FUND C	20,191.42	17.15	0.00	17.15	95.0	16.29
12/31/2017	BAUMGART, ROY MARK	GRIFFIN INST'L ACCESS R E FUND C	30,393.37	25.81	0.00	25.81	95.0	24.52
12/31/2017	SMITH, MARTHA	GRIFFIN INST'L ACCESS R E FUND C	74,259.81	63.07	0.00	63.07	95.0	59.92
12/31/2017	SPEARY, LISA	GRIFFIN INST'L ACCESS R E FUND C	50,496.73	42.89	0.00	42.89	95.0	40.75
12/31/2017	VOGEL, KEITH	GRIFFIN INST'L ACCESS R E FUND C	117,983.69	100.20	0.00	100.20	95.0	95.19
12/31/2017	STRAITH LIV TRUST, JAMES	GRIFFIN INST'L ACCESS R E FUND C	49,994.29	42.47	0.00	42.47	95.0	40.35
12/31/2017	WILMAN, ANN ELAINE	GRIFFIN INST'L ACCESS R E FUND C	27,453.29	23.32	0.00	23.32	95.0	22.15
12/31/2017	GRUSH, ERNEST	GRIFFIN INST'L ACCESS R E FUND C	100,986.16	85.77	0.00	85.77	95.0	81.48
12/31/2017	ETHIER, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	46,294.59	39.32	0.00	39.32	95.0	37.35
12/31/2017	SMITH REV TRUST #2, CHARLES	GRIFFIN INST'L ACCESS R E FUND C	37,260.86	31.64	0.00	31.64	95.0	30.06
12/31/2017	MCKENZIE TRUST, ROBERT & DONNA	GRIFFIN INST'L ACCESS R E FUND C	119,205.12	101.24	0.00	101.24	95.0	96.18
12/31/2017	STAHL, CURTIS	GRIFFIN INST'L ACCESS R E FUND C	26,827.01	22.79	0.00	22.79	95.0	21.65
12/31/2017	BERNARDI TRUST, DONALD &	GRIFFIN INST'L ACCESS R E FUND C	49,719.31	42.23	0.00	42.23	95.0	40.12
12/31/2017	ORTAGO, PAULA	GRIFFIN INST'L ACCESS R E FUND C	42,242.14	35.88	0.00	35.88	95.0	34.09
12/31/2017	KOSANKE, CHARLES W	GRIFFIN INST'L ACCESS R E FUND C	25,489.74	21.65	0.00	21.65	95.0	20.57
12/31/2017	DINKA, JOHN	GRIFFIN INST'L ACCESS R E FUND C	113,800.84	96.65	0.00	96.65	95.0	91.82
12/31/2017	DINKA, CATHERINE	GRIFFIN INST'L ACCESS R E FUND C	106,931.12	90.81	0.00	90.81	95.0	86.27
12/31/2017	BELLINGE, GEORGE	GRIFFIN INST'L ACCESS R E FUND C	85,763.18	72.84	0.00	72.84	95.0	69.20

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
12/31/2017	GHELFI, CRAIG	GRIFFIN INST'L ACCESS R E FUND C	93,289.84	79.23	0.00	79.23	95.0	75.27
12/31/2017	CANNON TRUST, HENRY & BARBARA	GRIFFIN INST'L ACCESS R E FUND C	30,265.92	25.71	0.00	25.71	95.0	24.42
12/31/2017	KRONNER, DAVID	GRIFFIN INST'L ACCESS R E FUND C	43,801.02	37.20	0.00	37.20	95.0	35.34
12/31/2017	RANG, JAMES S & MARIL	GRIFFIN INST'L ACCESS R E FUND C	9,703.08	8.24	0.00	8.24	95.0	7.83
12/31/2017	KNIGHT TRUST, SHARON	GRIFFIN INST'L ACCESS R E FUND C	10,483.42	8.91	0.00	8.91	95.0	8.46
12/31/2017	EQUITY TRUST CO	GRIFFIN INST'L ACCESS R E FUND C	42,834.04	36.37	0.00	36.37	95.0	34.55
* INTERVAL FUNDS SUBTOTAL *			\$3,631,292.72	\$3,250.17	\$0.00	\$3,250.17		\$3,087.65
<u>PRODUCT CATEGORY: 529 PLANS</u>								
01/23/2018	SILVERSTEIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	1.88	0.00	1.88	95.0	1.79
02/01/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
02/01/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
02/01/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
01/30/2018	MOELLER, RICHARD	BLACK ROCK 67776G527	50.00	0.50	0.00	0.50	95.0	0.48
01/30/2018	CFGROUP, VARIOUS	BLACK ROCK 67776G527	50.00	0.50	0.00	0.50	95.0	0.48
01/25/2018	WETZEL, DIANNE	BLACK ROCK 67776G543	50.00	0.50	0.00	0.50	95.0	0.48
01/30/2018	WETZEL, DIANNE	BLACK ROCK 67776G543	50.00	0.50	0.00	0.50	95.0	0.48
01/30/2018	MOELLER, RICHARD	BLACKROCK 67776G493	50.00	0.50	0.00	0.50	95.0	0.48
01/30/2018	MOELLER, RICHARD	BLACKROCK 67776G477	50.00	0.50	0.00	0.50	95.0	0.48
* 529 PLANS SUBTOTAL *			\$500.00	\$10.52	\$0.00	\$10.52		\$10.04
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/16/2018	RICHARDS, JAKOB	ALGER LARGE CAP GROWTH C	200.00	2.00	0.00	2.00	95.0	1.90
01/22/2018	BRADEN, TERRI	ALLIANCEBERNSTEIN GROWTH B	50.00	2.00	0.00	2.00	95.0	1.90
01/25/2018	SILVERSTEIN, MICHAEL	AM FUNDS AMCAP A	50.00	1.88	0.00	1.88	95.0	1.79
01/24/2018	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	2.55	0.00	2.55	95.0	2.42
02/01/2018	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	35.00	1.75	0.00	1.75	95.0	1.66
02/01/2018	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	35.00	1.75	0.00	1.75	95.0	1.66
01/22/2018	ALVEY, SHERRIE	AM FUNDS CAPITAL WORLD GRTH & INC A	125.00	2.50	0.00	2.50	95.0	2.38
01/22/2018	NAGEOTTE, NIKOLAUS	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	4.75
02/01/2018	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	95.0	3.03
01/22/2018	ALVEY, SHERRIE	AM FUNDS GROWTH FUND OF AMERICA A	125.00	2.50	0.00	2.50	95.0	2.38
02/01/2018	BAILEY, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	95.0	2.38
01/29/2018	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/22/2018	ALVEY, SHERRIE	AM FUNDS ICA A	125.00	2.50	0.00	2.50	95.0	2.38
01/31/2018	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
02/01/2018	BAILEY, STEVEN	AM FUNDS ICA A	50.00	2.50	0.00	2.50	95.0	2.38
02/02/2018	MCLAUGHLIN, TRACY	AM FUNDS ICA A	150.00	6.38	0.00	6.38	95.0	6.06
02/01/2018	BUTT, DENNIS	AM FUNDS NEW WORLD A	105.00	5.25	0.00	5.25	95.0	4.99
02/01/2018	BUTT, DENNIS	AM FUNDS NEW WORLD A	105.00	5.25	0.00	5.25	95.0	4.99
01/22/2018	ALVEY, SHERRIE	AM FUNDS SMALLCAP WORLD A	125.00	2.50	0.00	2.50	95.0	2.38
01/29/2018	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
01/25/2018	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
02/02/2018	CHADICK TRUST	FRANKLIN INCOME A	80.00	3.20	0.00	3.20	95.0	3.04
02/02/2018	MICHAELS, RENEE	FRANKLIN US GOVT SEC FUND A	5,000.00	200.00	0.00	200.00	95.0	190.00
01/25/2018	DAIZA, PHILLIP	INVESCO CHARTER FUND C	50.00	0.50	0.00	0.50	95.0	0.48
01/19/2018	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
01/19/2018	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
01/19/2018	DINKA, JOHN	OPPENHEIMER CAPITAL APPRECIATION C	222.00	2.22	0.00	2.22	95.0	2.11
01/19/2018	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	120.00	1.20	0.00	1.20	95.0	1.14
01/19/2018	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	75.38	0.75	0.00	0.75	95.0	0.71
01/26/2018	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	20.55	0.21	0.00	0.21	95.0	0.20
01/26/2018	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	12.32	0.12	0.00	0.12	95.0	0.11
01/19/2018	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	60.00	0.60	0.00	0.60	95.0	0.57
01/19/2018	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	37.69	0.38	0.00	0.38	95.0	0.36
01/26/2018	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	229.35	2.29	0.00	2.29	95.0	2.18
01/26/2018	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	40.47	0.40	0.00	0.40	95.0	0.38
01/26/2018	ELIAS, JANICE	OPPENHEIMER GLOBAL C	19.94	0.20	0.00	0.20	95.0	0.19
01/26/2018	ELIAS, JANICE	OPPENHEIMER GLOBAL C	11.97	0.12	0.00	0.12	95.0	0.11
01/19/2018	DINKA, CATHERINE	OPPENHEIMER GLOBAL OPPORTUNITIES C	55.50	0.56	0.00	0.56	95.0	0.53
01/19/2018	DINKA, JOHN	OPPENHEIMER GLOBAL OPPORTUNITIES C	111.00	1.11	0.00	1.11	95.0	1.05
01/19/2018	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	120.00	1.20	0.00	1.20	95.0	1.14
01/19/2018	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	75.38	0.75	0.00	0.75	95.0	0.71
01/26/2018	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	229.35	2.29	0.00	2.29	95.0	2.18
01/26/2018	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	40.47	0.40	0.00	0.40	95.0	0.38
01/26/2018	DAMORE, MONICA	OPPENHEIMER INTL SMALL CO C	236.29	2.36	0.00	2.36	95.0	2.24
01/26/2018	DAMORE, MONICA	OPPENHEIMER INTL SMALL CO C	41.71	0.42	0.00	0.42	95.0	0.40

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/19/2018	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	25.00	0.25	0.00	0.25	95.0	0.24
01/19/2018	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	25.00	0.25	0.00	0.25	95.0	0.24
01/19/2018	DINKA, CATHERINE	OPPENHEIMER MAIN ST SELECT C	166.50	1.67	0.00	1.67	95.0	1.59
01/26/2018	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	19.94	0.20	0.00	0.20	95.0	0.19
01/26/2018	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	11.97	0.12	0.00	0.12	95.0	0.11
01/19/2018	DINKA, CATHERINE	OPPENHEIMER MAIN ST SMALL & MID CAP	166.50	1.67	0.00	1.67	95.0	1.59
01/16/2018	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	50.00	0.50	0.00	0.50	95.0	0.48
01/19/2018	DINKA, CATHERINE	OPPENHEIMER MAIN STREET C	166.50	1.67	0.00	1.67	95.0	1.59
01/19/2018	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	104.88	1.05	0.00	1.05	95.0	1.00
01/19/2018	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	100.00	1.00	0.00	1.00	95.0	0.95
01/19/2018	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	600.00	6.00	0.00	6.00	95.0	5.70
01/19/2018	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	134.47	1.34	0.00	1.34	95.0	1.27
01/26/2018	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
01/26/2018	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	57.21	0.57	0.00	0.57	95.0	0.54
01/19/2018	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	80.00	0.80	0.00	0.80	95.0	0.76
01/19/2018	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	80.00	0.80	0.00	0.80	95.0	0.76
01/19/2018	DINKA, JOHN	OPPENHEIMER VALUE C	222.00	2.22	0.00	2.22	95.0	2.11
02/01/2018	WONCH, LIZ	PUTNAM INVESTORS A	50.00	2.50	0.00	2.50	95.0	2.38
* MUTUAL FUNDS SUBTOTAL *			\$11,039.34	\$309.15	\$0.00	\$309.15		\$293.74
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2018	BERTRAND, C JERRY	JNL ELITE ACCESS	59,128.08	32.03	0.00	32.03	95.0	30.43
01/31/2018	MELTZER, CLARENCE	JNL PERSPECTIVE ADVANTAGE	26,894.93	22.41	0.00	22.41	95.0	21.29
01/31/2018	COVYEAU, FREDERICK J & B	JNL PERSPECTIVE II	109,290.31	45.54	0.00	45.54	95.0	43.26
01/31/2018	JEANNETTE, JUSTIN	JNL PERSPECTIVE II 05/05	547.42	0.46	0.00	0.46	95.0	0.44
01/31/2018	KOSCIELSKI, RONALD	JNL PERSPECTIVE II 05/05	31,087.29	12.95	0.00	12.95	95.0	12.30
01/31/2018	MAGGARD, LORETTA	JNL PERSPECTIVE II 05/05	49,041.04	20.43	0.00	20.43	95.0	19.41
01/31/2018	RANG, MARILYN	JNL PERSPECTIVE II 05/05	76,867.04	32.03	0.00	32.03	95.0	30.43
01/31/2018	MCDONALD, GLENN	JNL PERSPECTIVE II 05/05	185,793.41	77.41	0.00	77.41	95.0	73.54
01/31/2018	KEELER, SUSAN	JNL PERSPECTIVE II 05/05	134,791.62	56.16	0.00	56.16	95.0	53.35
01/31/2018	PIROZZO, PETER	JNL PERSPECTIVE L	59,352.06	64.30	0.00	64.30	95.0	61.09
01/31/2018	LEGGE, GERALD	JNL PERSPECTIVE L	320,803.53	347.54	0.00	347.54	95.0	330.16
01/31/2018	DIEM, LINDA	JOHN HANCOCK VENTURE	706.74	0.15	0.00	0.15	95.0	0.14
01/31/2018	TYMCZY, MARY JANE	JOHN HANCOCK VENTURE	8,277.52	1.72	0.00	1.72	95.0	1.63

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2018	DANIEL, SABRINA	JOHN HANCOCK VENTURE	322.16	0.07	0.00	0.07	95.0	0.07
		* VARIABLE ANNUITIES SUBTOTAL *	\$1,062,903.15	\$713.20	\$0.00	\$713.20		\$677.54
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
01/30/2018	DINKA, DAVID	PAC LIFE VUL	6,600.00	132.00	0.00	132.00	95.0	125.40
		* VARIABLE UNIVERSAL LIFE SUBTOTAL *	\$6,600.00	\$132.00	\$0.00	\$132.00		\$125.40
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/03/2018	MIKLEVICH, MICHAEL	ALLIANZ NFJ MIDCAP VALUE C	0.00	44.87	0.00	44.87	95.0	42.63
01/03/2018	MIKLEVICH, MICHAEL	ALLIANZ NFJ MIDCAP VALUE C	0.00	124.09	0.00	124.09	95.0	117.89
12/31/2017	NIK, YASCH	BLACK ROCK 67776G485	0.00	0.52	0.00	0.52	95.0	0.49
12/31/2017	YASCH, KEN	BLACK ROCK 67776G485	0.00	0.57	0.00	0.57	95.0	0.54
12/31/2017	COOPER, LISA	BLACK ROCK 67776G485	0.00	3.09	0.00	3.09	95.0	2.94
12/31/2017	MERRITT, GREGORY	BLACK ROCK 67776G485	0.00	1.97	0.00	1.97	95.0	1.87
12/31/2017	COOPER, LISA	BLACK ROCK 67776G485	0.00	2.76	0.00	2.76	95.0	2.62
12/31/2017	KUCHAREK, PAUL	BLACK ROCK 67776G485	0.00	2.27	0.00	2.27	95.0	2.16
12/31/2017	BURKE, KIMBERLY	BLACK ROCK 67776G485	0.00	5.97	0.00	5.97	95.0	5.67
12/31/2017	HOOTNER-JONES, ANN	BLACK ROCK 67776G485	0.00	3.15	0.00	3.15	95.0	2.99
12/31/2017	HOOTNER-JONES, ANN	BLACK ROCK 67776G485	0.00	3.15	0.00	3.15	95.0	2.99
12/31/2017	HOOTNER-JONES, ANN	BLACK ROCK 67776G485	0.00	4.77	0.00	4.77	95.0	4.53
12/31/2017	BUSZEK, RICHARD	BLACK ROCK 67776G527	0.00	3.15	0.00	3.15	95.0	2.99
12/31/2017	MOELLER, RICHARD	BLACK ROCK 67776G527	0.00	0.43	0.00	0.43	95.0	0.41
12/31/2017	MOELLER, RICHARD	BLACK ROCK 67776G527	0.00	0.14	0.00	0.14	95.0	0.13
12/31/2017	COOPER, LISA	BLACK ROCK 67776G527	0.00	6.48	0.00	6.48	95.0	6.16
12/31/2017	COOPER, LISA	BLACK ROCK 67776G527	0.00	2.16	0.00	2.16	95.0	2.05
12/31/2017	CFGROUP, VARIOUS	BLACK ROCK 67776G527	0.00	3.50	0.00	3.50	95.0	3.33
12/31/2017	CFGROUP, VARIOUS	BLACK ROCK 67776G527	0.00	1.17	0.00	1.17	95.0	1.11
12/31/2017	BUSZEK, RICHARD	BLACK ROCK 67776G527	0.00	3.16	0.00	3.16	95.0	3.00
12/31/2017	BUSZEK, RICHARD	BLACK ROCK 67776G527	0.00	1.05	0.00	1.05	95.0	1.00
12/31/2017	BUSZEK, RICHARD	BLACK ROCK 67776G527	0.00	1.05	0.00	1.05	95.0	1.00
12/31/2017	WETZEL, DIANNE	BLACK ROCK 67776G543	0.00	2.50	0.00	2.50	95.0	2.38
12/31/2017	BUSZEK, RICHARD	BLACK ROCK 67776G543	0.00	1.78	0.00	1.78	95.0	1.69
12/31/2017	BAKER, JEAN-ANNE	BLACK ROCK 67776G543	0.00	0.23	0.00	0.23	95.0	0.22
12/31/2017	BUSZEK, RICHARD	BLACK ROCK 67776G543	0.00	0.59	0.00	0.59	95.0	0.56

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/31/2017	BAKER, JEAN-ANNE	BLACK ROCK 67776G543	0.00	0.08	0.00	0.08	95.0	0.08
12/31/2017	WETZEL, DIANNE	BLACK ROCK 67776G543	0.00	0.91	0.00	0.91	95.0	0.86
12/31/2017	WETZEL, DIANNE	BLACK ROCK 67776G543	0.00	2.73	0.00	2.73	95.0	2.59
12/31/2017	WETZEL, DIANNE	BLACK ROCK 67776G543	0.00	0.83	0.00	0.83	95.0	0.79
12/31/2017	BAKER, JEAN-ANNE	BLACKROCK 67776G493	0.00	0.12	0.00	0.12	95.0	0.11
12/31/2017	NIZIO, EDMUND	BLACKROCK 67776G493	0.00	7.25	0.00	7.25	95.0	6.89
12/31/2017	NIZIO, EDMUND	BLACKROCK 67776G493	0.00	2.42	0.00	2.42	95.0	2.30
12/31/2017	NIZIO, MARGARET	BLACKROCK 67776G493	0.00	2.42	0.00	2.42	95.0	2.30
12/31/2017	BAKER, JEAN-ANNE	BLACKROCK 67776G493	0.00	0.04	0.00	0.04	95.0	0.04
12/31/2017	MOELLER, RICHARD	BLACKROCK 67776G493	0.00	0.16	0.00	0.16	95.0	0.15
12/31/2017	MOELLER, RICHARD	BLACKROCK 67776G493	0.00	0.49	0.00	0.49	95.0	0.47
12/31/2017	NIZIO, MARGARET	BLACKROCK 67776G493	0.00	7.25	0.00	7.25	95.0	6.89
12/31/2017	GALLAS, ANN	BLACKROCK 19452P473	0.00	3.66	0.00	3.66	95.0	3.48
12/31/2017	GALLAS, JAMES L & ANN M	BLACKROCK 19452P473	0.00	7.51	0.00	7.51	95.0	7.13
12/31/2017	BURKE, KIMBERLY	BLACKROCK 67776G469	0.00	1.40	0.00	1.40	95.0	1.33
12/31/2017	KUCHAREK, PAUL	BLACKROCK 67776G469	0.00	3.65	0.00	3.65	95.0	3.47
12/31/2017	KUCHAREK, PAUL	BLACKROCK 67776G469	0.00	4.02	0.00	4.02	95.0	3.82
12/31/2017	MERRITT, GREGORY	BLACKROCK 67776G469	0.00	0.36	0.00	0.36	95.0	0.34
12/31/2017	KUCHAREK, PAUL	BLACKROCK 67776G469	0.00	2.07	0.00	2.07	95.0	1.97
12/31/2017	MUENCH, DANIEL	BLACKROCK 67776G469	0.00	2.23	0.00	2.23	95.0	2.12
12/31/2017	BURKE, KIMBERLY	BLACKROCK 67776G469	0.00	5.64	0.00	5.64	95.0	5.36
12/31/2017	MUENCH, DANIEL	BLACKROCK 67776G469	0.00	0.82	0.00	0.82	95.0	0.78
12/31/2017	COOPER, LISA	BLACKROCK 67776G477	0.00	1.67	0.00	1.67	95.0	1.59
12/31/2017	COOPER, LISA	BLACKROCK 67776G477	0.00	1.85	0.00	1.85	95.0	1.76
12/31/2017	BURKE, KIMBERLY	BLACKROCK 67776G477	0.00	1.95	0.00	1.95	95.0	1.85
12/31/2017	BURKE, KIMBERLY	BLACKROCK 67776G477	0.00	5.86	0.00	5.86	95.0	5.57
12/31/2017	MOELLER, RICHARD	BLACKROCK 67776G477	0.00	0.19	0.00	0.19	95.0	0.18
12/31/2017	TRYNAN, SHELLY	BLACKROCK 67776G477	0.00	1.35	0.00	1.35	95.0	1.28
12/31/2017	TRYNAN, SHELLY	BLACKROCK 67776G477	0.00	0.45	0.00	0.45	95.0	0.43
12/31/2017	COOPER, LISA	BLACKROCK 67776G477	0.00	0.56	0.00	0.56	95.0	0.53
12/31/2017	COOPER, LISA	BLACKROCK 67776G477	0.00	0.62	0.00	0.62	95.0	0.59
12/31/2017	MOELLER, RICHARD	BLACKROCK 67776G477	0.00	0.57	0.00	0.57	95.0	0.54
12/31/2017	BAKER, JEAN-ANNE	BLACKROCK 67776G519	0.00	0.81	0.00	0.81	95.0	0.77

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/31/2017	MERRITT, GREGORY	BLACKROCK 67776G519	0.00	4.59	0.00	4.59	95.0	4.36
12/31/2017	YASCH, SANDY	BLACKROCK 67776G519	0.00	0.60	0.00	0.60	95.0	0.57
12/31/2017	BAKER, JEAN-ANNE	BLACKROCK 67776G519	0.00	1.76	0.00	1.76	95.0	1.67
12/31/2017	MERRITT, GREGORY	BLACKROCK 67776G535	0.00	4.27	0.00	4.27	95.0	4.06
12/31/2017	BURKE, KIMBERLY	BLACKROCK ENROLMENT CLASS C	0.00	1.94	0.00	1.94	95.0	1.84
12/31/2017	BURKE, KIMBERLY	BLACKROCK ENROLMENT CLASS C	0.00	5.82	0.00	5.82	95.0	5.53
12/31/2017	BURKE, KIMBERLY	BLACKROCK ENROLMENT CLASS C	0.00	7.03	0.00	7.03	95.0	6.68
12/31/2017	BURKE, KIMBERLY	BLACKROCK ENROLMENT CLASS C	0.00	2.34	0.00	2.34	95.0	2.22
12/31/2017	TRYNAN, SHELLY	BLACKROCK ENROLMENT CLASS C	0.00	2.61	0.00	2.61	95.0	2.48
12/31/2017	TRYNAN, SHELLY	BLACKROCK ENROLMENT CLASS C	0.00	0.87	0.00	0.87	95.0	0.83
12/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	0.75	0.00	0.75	95.0	0.71
12/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	0.62	0.00	0.62	95.0	0.59
12/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	0.25	0.00	0.25	95.0	0.24
12/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	0.21	0.00	0.21	95.0	0.20
12/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P610	0.00	0.60	0.00	0.60	95.0	0.57
12/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P610	0.00	0.20	0.00	0.20	95.0	0.19
12/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	0.63	0.00	0.63	95.0	0.60
12/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	0.21	0.00	0.21	95.0	0.20
12/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P636	0.00	0.64	0.00	0.64	95.0	0.61
12/31/2017	YASCH, SANDY	BLK AGG 10-12 AGE BASED A	0.00	0.06	0.00	0.06	95.0	0.06
12/31/2017	MERRITT, GREGORY	BLK AGG 10-12 AGE BASED A	0.00	0.49	0.00	0.49	95.0	0.47
12/31/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	0.00	0.18	0.00	0.18	95.0	0.17
12/31/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	0.00	0.08	0.00	0.08	95.0	0.08
12/31/2017	YASCH, KEN	BLK AGG 13-16 AGE BASED A	0.00	0.06	0.00	0.06	95.0	0.06
12/31/2017	WILLIT	BLK AGG GROWTH A	0.00	2.06	0.00	2.06	95.0	1.96
12/31/2017	BAKER, JEAN-ANNE	BLK COLLEGE ADVANTAGE 529 PLAN	0.00	0.01	0.00	0.01	95.0	0.01
12/31/2017	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.17	0.00	0.17	95.0	0.16
12/31/2017	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.52	0.00	0.52	95.0	0.49
12/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	0.06	0.00	0.06	95.0	0.06
12/31/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	0.00	0.03	0.00	0.03	95.0	0.03
12/31/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	0.00	0.01	0.00	0.01	95.0	0.01
12/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.29	0.00	0.29	95.0	0.28
12/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	0.19	0.00	0.19	95.0	0.18

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.09	0.00	0.09	95.0	0.09
12/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.27	0.00	0.27	95.0	0.26
12/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.10	0.00	0.10	95.0	0.10
12/31/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	0.00	0.26	0.00	0.26	95.0	0.25
12/31/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	0.00	0.77	0.00	0.77	95.0	0.73
12/31/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	0.00	0.77	0.00	0.77	95.0	0.73
12/31/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	0.00	0.02	0.00	0.02	95.0	0.02
12/31/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	0.00	0.26	0.00	0.26	95.0	0.25
12/31/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	0.00	0.05	0.00	0.05	95.0	0.05
12/31/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	0.00	0.24	0.00	0.24	95.0	0.23
12/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED A	0.00	0.30	0.00	0.30	95.0	0.29
12/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED A	0.00	0.33	0.00	0.33	95.0	0.31
12/31/2017	MERRITT, GREGORY	BLK MOD 13-16 AGE BASED A	0.00	0.21	0.00	0.21	95.0	0.20
12/31/2017	HOOTNER-JONES, ANN	BLK MOD 13-16 AGE BASED A	0.00	0.34	0.00	0.34	95.0	0.32
12/31/2017	HOOTNER-JONES, ANN	BLK MOD 13-16 AGE BASED A	0.00	0.51	0.00	0.51	95.0	0.48
12/31/2017	NIK, YASCH	BLK MOD 13-16 AGE BASED A	0.00	0.06	0.00	0.06	95.0	0.06
12/31/2017	HOOTNER-JONES, ANN	BLK MOD 13-16 AGE BASED A	0.00	0.34	0.00	0.34	95.0	0.32
12/31/2017	MOELLER, RICHARD	BLK MOD 13-16 AGE BASED C	0.00	0.06	0.00	0.06	95.0	0.06
12/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	0.15	0.00	0.15	95.0	0.14
12/31/2017	MOELLER, RICHARD	BLK MOD 13-16 AGE BASED C	0.00	0.02	0.00	0.02	95.0	0.02
12/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	0.05	0.00	0.05	95.0	0.05
12/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	0.18	0.00	0.18	95.0	0.17
12/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	0.20	0.00	0.20	95.0	0.19
12/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	0.06	0.00	0.06	95.0	0.06
12/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	0.07	0.00	0.07	95.0	0.07
12/31/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	0.43	0.00	0.43	95.0	0.41
12/31/2017	MUENCH, DANIEL	BLK MOD 17+ AGE BASED A	0.00	0.09	0.00	0.09	95.0	0.09
12/31/2017	MUENCH, DANIEL	BLK MOD 17+ AGE BASED A	0.00	0.24	0.00	0.24	95.0	0.23
12/31/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	0.39	0.00	0.39	95.0	0.37
12/31/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	0.22	0.00	0.22	95.0	0.21
12/31/2017	MERRITT, GREGORY	BLK MOD 17+ AGE BASED A	0.00	0.04	0.00	0.04	95.0	0.04
12/31/2017	TRYNAN, SHELLY	BLK MOD 17+ AGE BASED C	0.00	0.09	0.00	0.09	95.0	0.09
12/31/2017	TRYNAN, SHELLY	BLK MOD 17+ AGE BASED C	0.00	0.28	0.00	0.28	95.0	0.27

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/31/2017	MERRITT, GREGORY	BLK MOD 6-9 AGE BASED A	0.00	0.45	0.00	0.45	95.0	0.43
12/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.05	0.00	0.05	95.0	0.05
12/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.02	0.00	0.02	95.0	0.02
12/31/2017	COOPER, LISA	BLK MOD 6-9 AGE BASED C	0.00	0.23	0.00	0.23	95.0	0.22
12/31/2017	COOPER, LISA	BLK MOD 6-9 AGE BASED C	0.00	0.69	0.00	0.69	95.0	0.66
12/31/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.11	0.00	0.11	95.0	0.10
12/31/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.34	0.00	0.34	95.0	0.32
12/31/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.34	0.00	0.34	95.0	0.32
12/31/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.11	0.00	0.11	95.0	0.10
12/31/2017	CFGROUP, VARIOUS	BLK MOD 6-9 AGE BASED C	0.00	0.37	0.00	0.37	95.0	0.35
12/31/2017	CFGROUP, VARIOUS	BLK MOD 6-9 AGE BASED C	0.00	0.12	0.00	0.12	95.0	0.11
/ /	BLEIL, STEPHEN	FIDELITY ADV GOLD	0.00	0.08	0.00	0.08	95.0	0.08
/ /	ROCCA, JACQUELYN	FIDELITY ADV GROWTH OPP	0.00	0.61	0.00	0.61	95.0	0.58
/ /	ROCCA, ALEX	FIDELITY ADV GROWTH OPP	0.00	0.75	0.00	0.75	95.0	0.71
/ /	ROCCA, AARON	FIDELITY ADV GROWTH OPP	0.00	0.75	0.00	0.75	95.0	0.71
/ /	MCQUEENEY, JULIE	FIDELITY ADV GROWTH OPP	0.00	1.21	0.00	1.21	95.0	1.15
/ /	FELIS-ROSS, CAROL	FIDELITY ADV GROWTH OPP	0.00	0.11	0.00	0.11	95.0	0.10
/ /	SCALISE, CYNTHIA	FIDELITY ADV GROWTH OPP	0.00	1.42	0.00	1.42	95.0	1.35
/ /	DUDZIK, ELZBIETA	FIDELITY ADV GROWTH OPP	0.00	27.31	0.00	27.31	95.0	25.94
/ /	KULBACK, WILLIAM	FIDELITY ADV GROWTH OPP A	0.00	4.53	0.00	4.53	95.0	4.30
/ /	VIVIANO, JASON	FIDELITY ADV GROWTH OPP A	0.00	2.02	0.00	2.02	95.0	1.92
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV HEALTH CARE T	0.00	14.00	0.00	14.00	95.0	13.30
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV LARGE CAP	0.00	10.63	0.00	10.63	95.0	10.10
/ /	KULBACK, WILLIAM	FIDELITY ADV LARGE CAP A	0.00	6.00	0.00	6.00	95.0	5.70
/ /	HERWARTH, CHARLES	FIDELITY ADV LARGE CAP A	0.00	2.12	0.00	2.12	95.0	2.01
/ /	FELIS-ROSS, CAROL	FIDELITY ADV MORTGAGE SECURITIES T	0.00	0.12	0.00	0.12	95.0	0.11
/ /	SCALISE, CYNTHIA	FIDELITY ADV MORTGAGE SECURITIES T	0.00	1.64	0.00	1.64	95.0	1.56
/ /	KULBACK, WILLIAM	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	4.62	0.00	4.62	95.0	4.39
/ /	HERWARTH, CHARLES	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	2.34	0.00	2.34	95.0	2.22
/ /	DUDZIK, ELZBIETA	FIDELITY BALANCED T	0.00	21.61	0.00	21.61	95.0	20.53
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MARKETS	0.00	0.10	0.00	0.10	95.0	0.10
/ /	ROCCA, ALEX	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	ROCCA, AARON	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MARKETS	0.00	3.54	0.00	3.54	95.0	3.36
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MKTS T	0.00	0.32	0.00	0.32	95.0	0.30
/ /	ROCCA, ALEX	FIDELITY EMERGING MKTS T	0.00	0.20	0.00	0.20	95.0	0.19
/ /	ROCCA, AARON	FIDELITY EMERGING MKTS T	0.00	0.20	0.00	0.20	95.0	0.19
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MKTS T	0.00	7.98	0.00	7.98	95.0	7.58
/ /	KULBACK, WILLIAM	FIDELITY EQUITY GROWTH A	0.00	5.80	0.00	5.80	95.0	5.51
/ /	HERWARTH, CHARLES	FIDELITY EQUITY GROWTH A	0.00	2.08	0.00	2.08	95.0	1.98
/ /	BESS, JAMES	FIDELITY EQUITY GROWTH A	0.00	3.16	0.00	3.16	95.0	3.00
/ /	ZOBOSKI, JAYNE	FIDELITY EQUITY INCOME A	0.00	3.92	0.00	3.92	95.0	3.72
/ /	FRINTNER, SEAN EDWARD	FIDELITY EQUITY INCOME A	0.00	1.37	0.00	1.37	95.0	1.30
/ /	ZERILLI, ROSA	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.43	0.00	3.43	95.0	3.26
/ /	HERWARTH, CHARLES	FIDELITY TECHNOLOGY A	0.00	1.69	0.00	1.69	95.0	1.61
/ /	ROHLOFF, SHARON	FIDELITY TECHNOLOGY A	0.00	0.71	0.00	0.71	95.0	0.67
/ /	BEARD, LATOYA	FIDELITY TECHNOLOGY A	0.00	0.03	0.00	0.03	95.0	0.03
01/31/2018	WILLIAMSON, CHLOE	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	0.46	0.00	0.46	95.0	0.44
01/31/2018	TAYLOR, DAVID	FRANKLIN BALANCE SHEET INVST A	0.00	0.22	0.00	0.22	95.0	0.21
01/31/2018	EILLISON, BARBARA	FRANKLIN CA TF INCOME A	0.00	24.46	0.00	24.46	95.0	23.24
01/31/2018	CASTELLI, FRED	FRANKLIN CA TF INCOME A	0.00	2.03	0.00	2.03	95.0	1.93
01/31/2018	PRICE, PATRICIA	FRANKLIN CONV SEC A	0.00	1.13	0.00	1.13	95.0	1.07
01/31/2018	OSBORN, RICHARD	FRANKLIN DYNATECH A	0.00	6.58	0.00	6.58	95.0	6.25
01/31/2018	WATSON, ROBERT	FRANKLIN DYNATECH C	0.00	10.64	0.00	10.64	95.0	10.11
01/31/2018	SCHONER, ALAN	FRANKLIN EQUITY INCOME A	0.00	19.53	0.00	19.53	95.0	18.55
01/31/2018	PRICE, PATRICIA	FRANKLIN EQUITY INCOME A	0.00	5.24	0.00	5.24	95.0	4.98
01/31/2018	KRAUSE, BARBARA	FRANKLIN FED TAX FREE INCOME A	0.00	36.09	0.00	36.09	95.0	34.29
01/31/2018	KRAUSE, MICHAEL	FRANKLIN FED TAX FREE INCOME A	0.00	1.08	0.00	1.08	95.0	1.03
01/31/2018	HENKIN TRUST, RAYMOND & EDEN	FRANKLIN FED TAX FREE INCOME A	0.00	8.98	0.00	8.98	95.0	8.53
01/31/2018	WILLIAMSON, CHLOE	FRANKLIN FOUNDING FUNDS 529 PORT C	0.00	2.23	0.00	2.23	95.0	2.12
01/31/2018	ROBINSON, BRUCE	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.43	0.00	2.43	95.0	2.31
01/31/2018	MILLARD, PATRICIA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	0.46	0.00	0.46	95.0	0.44
01/31/2018	LOFTUS, SUSAN	FRANKLIN GOLD & PRECIOUS METALS A	0.00	0.85	0.00	0.85	95.0	0.81
01/31/2018	MICHON, BARBARA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.06	0.00	1.06	95.0	1.01
01/31/2018	RYAN, ANDREW	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.72	0.00	1.72	95.0	1.63
01/31/2018	AKERS, JAMES	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.64	0.00	1.64	95.0	1.56

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/31/2018	PATRICK, BEVERLY	FRANKLIN GROWTH A	0.00	1.44	0.00	1.44	95.0	1.37
01/31/2018	GREGORY J ELBERT, C/O	FRANKLIN GROWTH A	0.00	0.39	0.00	0.39	95.0	0.37
01/31/2018	SAAR, ALEXIS	FRANKLIN GROWTH A	0.00	0.28	0.00	0.28	95.0	0.27
01/31/2018	FORD, JOHN	FRANKLIN GROWTH A	0.00	0.23	0.00	0.23	95.0	0.22
01/31/2018	DAVIS, JAMES	FRANKLIN GROWTH A	0.00	0.85	0.00	0.85	95.0	0.81
01/31/2018	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	0.00	125.51	0.00	125.51	95.0	119.23
01/31/2018	WILEY, MATTALYN	FRANKLIN GROWTH OPP A	0.00	0.62	0.00	0.62	95.0	0.59
01/31/2018	OSBORN, RICHARD	FRANKLIN GROWTH OPP A	0.00	3.51	0.00	3.51	95.0	3.33
01/31/2018	FORD, JOHN	FRANKLIN GROWTH OPP A	0.00	0.59	0.00	0.59	95.0	0.56
01/31/2018	HENKIN TRUST, RAYMOND & EDEN	FRANKLIN HIGH INCOME A	0.00	13.16	0.00	13.16	95.0	12.50
01/31/2018	CELANI, JOSEPH	FRANKLIN HIGH INCOME A	0.00	4.64	0.00	4.64	95.0	4.41
01/31/2018	CELANI, MAURIZIO	FRANKLIN HIGH INCOME A	0.00	13.95	0.00	13.95	95.0	13.25
01/31/2018	PRICE, PATRICIA	FRANKLIN HIGH INCOME A	0.00	0.59	0.00	0.59	95.0	0.56
01/31/2018	MANNING, JOHN	FRANKLIN HIGH INCOME C	0.00	45.99	0.00	45.99	95.0	43.69
01/31/2018	OBIE, THOMAS	FRANKLIN HIGH YIELD TAX FREE A	0.00	0.06	0.00	0.06	95.0	0.06
01/31/2018	SCHARL, MICHAEL	FRANKLIN HIGH YIELD TAX FREE A	0.00	0.57	0.00	0.57	95.0	0.54
01/31/2018	RUTKOWSKI, DONALD	FRANKLIN HIGH YIELD TAX FREE A	0.00	11.53	0.00	11.53	95.0	10.95
01/31/2018	DYER, TRACY	FRANKLIN HIGH YIELD TAX FREE A	0.00	0.35	0.00	0.35	95.0	0.33
01/31/2018	CHADICK TRUST	FRANKLIN INCOME A	0.00	4.49	0.00	4.49	95.0	4.27
01/31/2018	LAZARUS, CONSTANTINE	FRANKLIN INCOME A	0.00	60.87	0.00	60.87	95.0	57.83
01/31/2018	LAZARUS, HELEN	FRANKLIN INCOME A	0.00	29.00	0.00	29.00	95.0	27.55
01/31/2018	SMYKOWSKI, JAMES	FRANKLIN INCOME A	0.00	23.25	0.00	23.25	95.0	22.09
01/31/2018	WILSON, JANET	FRANKLIN INCOME A	0.00	0.74	0.00	0.74	95.0	0.70
01/31/2018	HATFIELD MCDUFFIE, JOYCE	FRANKLIN INCOME A	0.00	3.23	0.00	3.23	95.0	3.07
01/31/2018	MATSURA, MATTHEW	FRANKLIN INCOME A	0.00	7.70	0.00	7.70	95.0	7.32
01/31/2018	ALLEN, LAUREN	FRANKLIN INCOME A	0.00	7.22	0.00	7.22	95.0	6.86
01/31/2018	MATHEY, ERIN	FRANKLIN INCOME A	0.00	0.86	0.00	0.86	95.0	0.82
01/31/2018	GOUDREAU, RACHEL	FRANKLIN INCOME A	0.00	1.90	0.00	1.90	95.0	1.81
01/31/2018	DAVIS, JAMES	FRANKLIN INCOME A	0.00	0.03	0.00	0.03	95.0	0.03
01/31/2018	MATYASIC, JULIE	FRANKLIN INCOME A	0.00	3.09	0.00	3.09	95.0	2.94
01/31/2018	RICHARDSON, BLAKE	FRANKLIN INCOME A	0.00	3.16	0.00	3.16	95.0	3.00
01/31/2018	NELLES, TROY	FRANKLIN INCOME A	0.00	0.49	0.00	0.49	95.0	0.47
01/31/2018	CHANDLER, JOHN	FRANKLIN INCOME A	0.00	7.56	0.00	7.56	95.0	7.18

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/31/2018	PRICE, PATRICIA	FRANKLIN INCOME A	0.00	3.71	0.00	3.71	95.0	3.52
01/31/2018	PAUL, DARLEEN	FRANKLIN INCOME A	0.00	1.08	0.00	1.08	95.0	1.03
01/31/2018	DAVIS, JAMES	FRANKLIN INCOME A	0.00	17.07	0.00	17.07	95.0	16.22
01/31/2018	DAVIS, RITA	FRANKLIN INCOME A	0.00	19.63	0.00	19.63	95.0	18.65
01/31/2018	DENNIS L FUSINSKI, BENE	FRANKLIN INCOME A	0.00	11.29	0.00	11.29	95.0	10.73
01/31/2018	MANOLATOS, STEVE	FRANKLIN INCOME A	0.00	27.63	0.00	27.63	95.0	26.25
01/31/2018	WALKER, SUE	FRANKLIN INCOME A	0.00	46.06	0.00	46.06	95.0	43.76
01/31/2018	DAVIS, JAMES	FRANKLIN INT'L SMALL CAP GROWTH	0.00	24.68	0.00	24.68	95.0	23.45
01/31/2018	STROUSE, MARILYN	FRANKLIN MI TAX FR INCOME A	0.00	21.24	0.00	21.24	95.0	20.18
01/31/2018	BELSER, JOHN	FRANKLIN MI TAX FR INCOME A	0.00	2.68	0.00	2.68	95.0	2.55
01/31/2018	BROWN, KAY	FRANKLIN MI TAX FR INCOME A	0.00	4.25	0.00	4.25	95.0	4.04
01/31/2018	LAGA, LAURA	FRANKLIN MI TAX FR INCOME A	0.00	1.57	0.00	1.57	95.0	1.49
01/31/2018	WORTHY, MILDRED	FRANKLIN MI TAX FR INCOME A	0.00	6.04	0.00	6.04	95.0	5.74
01/31/2018	SMITH, JILL	FRANKLIN MI TAX FR INCOME A	0.00	2.53	0.00	2.53	95.0	2.40
01/31/2018	SZOCINSKI, CASIMER	FRANKLIN MI TAX FR INCOME A	0.00	5.44	0.00	5.44	95.0	5.17
01/31/2018	JENDRUSINA, ROGER	FRANKLIN MI TAX FR INCOME A	0.00	11.29	0.00	11.29	95.0	10.73
01/31/2018	SCHNEIDER, REBECCA	FRANKLIN MN TAX FREE INCOME A	0.00	0.71	0.00	0.71	95.0	0.67
01/31/2018	ULISSE, DONALD	FRANKLIN MUTUAL BEACON C	0.00	915.30	0.00	915.30	95.0	869.54
01/31/2018	PRICE, PATRICIA	FRANKLIN MUTUAL SHARES A	0.00	7.74	0.00	7.74	95.0	7.35
01/31/2018	LOFTUS, SUSAN	FRANKLIN NATURAL RESOURCES A	0.00	0.42	0.00	0.42	95.0	0.40
01/31/2018	CLARK, EDWARD	FRANKLIN OH TAX FR INCOME FUND	0.00	3.87	0.00	3.87	95.0	3.68
01/31/2018	SCHRITZ, BRYAN	FRANKLIN OH TAX FR INCOME FUND	0.00	13.55	0.00	13.55	95.0	12.87
01/31/2018	WALKER TRUST, SUE	FRANKLIN OH TAX FR INCOME FUND	0.00	9.95	0.00	9.95	95.0	9.45
01/31/2018	WARNER, KRISTA	FRANKLIN OH TAX FR INCOME FUND	0.00	1.37	0.00	1.37	95.0	1.30
01/31/2018	PRICE, PATRICIA	FRANKLIN RISING DIVIDENDS A	0.00	7.03	0.00	7.03	95.0	6.68
01/31/2018	RUSHLOW, JEAN	FRANKLIN RISING DIVIDENDS A	0.00	14.19	0.00	14.19	95.0	13.48
01/31/2018	RUSHLOW, JEAN	FRANKLIN RISING DIVIDENDS A	0.00	8.79	0.00	8.79	95.0	8.35
01/31/2018	RUSHLOW, LAWRENCE	FRANKLIN RISING DIVIDENDS A	0.00	14.83	0.00	14.83	95.0	14.09
01/31/2018	RUSHLOW, LAWRENCE	FRANKLIN RISING DIVIDENDS A	0.00	8.79	0.00	8.79	95.0	8.35
01/31/2018	MANOLATOS, STEVE	FRANKLIN RISING DIVIDENDS A	0.00	91.66	0.00	91.66	95.0	87.08
01/31/2018	SALLEY, PAMELA	FRANKLIN SMALL CAP GROWTH A	0.00	0.70	0.00	0.70	95.0	0.67
01/31/2018	MATYASIC, JULIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	5.19	0.00	5.19	95.0	4.93
01/31/2018	SWARTZ, ERIC	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	11.63	0.00	11.63	95.0	11.05

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/31/2018	ELBERT, NATHANIAL	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	0.26	0.00	0.26	95.0	0.25
01/31/2018	WULFF, STEVEN	FRANKLIN STRATEGIC INCOME C	0.00	10.58	0.00	10.58	95.0	10.05
01/31/2018	BELSER, HELEN	FRANKLIN TN MUNI BOND A	0.00	7.28	0.00	7.28	95.0	6.92
01/31/2018	GOTT, RICHARD	FRANKLIN US GOVT SEC FUND A	0.00	5.06	0.00	5.06	95.0	4.81
01/31/2018	GIRTY, MABLE	FRANKLIN US GOVT SEC FUND A	0.00	4.95	0.00	4.95	95.0	4.70
01/31/2018	SCHORNAK-YEE, DEBRA	FRANKLIN US GOVT SEC FUND A	0.00	0.75	0.00	0.75	95.0	0.71
01/31/2018	MICHAELS, RONALD	FRANKLIN US GOVT SEC FUND A	0.00	10.49	0.00	10.49	95.0	9.97
01/31/2018	MICHAELS, RENEE	FRANKLIN US GOVT SEC FUND A	0.00	2.31	0.00	2.31	95.0	2.19
01/31/2018	WORTHY, MILDRED	FRANKLIN US GOVT SEC FUND A	0.00	4.40	0.00	4.40	95.0	4.18
01/31/2018	MICHAELS, RICK	FRANKLIN US GOVT SEC FUND A	0.00	18.57	0.00	18.57	95.0	17.64
01/31/2018	MEHLER, BENE	FRANKLIN US GOVT SEC FUND A	0.00	0.39	0.00	0.39	95.0	0.37
01/31/2018	LORI RUTH THOMASSY, BENE	FRANKLIN US GOVT SEC FUND A	0.00	0.48	0.00	0.48	95.0	0.46
01/31/2018	OBIE, BRADFORD	FRANKLIN US GOVT SEC FUND A	0.00	6.66	0.00	6.66	95.0	6.33
01/31/2018	WULFF, STEVEN	FRANKLIN US GOVT SEC FUND C	0.00	10.59	0.00	10.59	95.0	10.06
01/31/2018	BARTOLONE, DANA	FRANKLIN US GOVT SEC FUND C	0.00	34.85	0.00	34.85	95.0	33.11
01/31/2018	MCKENZIE TRUST, WALTER &	FRANKLIN UTILITIES A	0.00	4.20	0.00	4.20	95.0	3.99
01/31/2018	MAY, PEGGY	FRANKLIN UTILITIES A	0.00	1.17	0.00	1.17	95.0	1.11
01/31/2018	BAEKELANDT, KARL	FRANKLIN UTILITIES A	0.00	23.76	0.00	23.76	95.0	22.57
01/31/2018	WATTS, MARIA	FRANKLIN UTILITIES A	0.00	0.26	0.00	0.26	95.0	0.25
01/31/2018	SEVESTIAN JR, JOHN	FRANKLIN UTILITIES A	0.00	0.98	0.00	0.98	95.0	0.93
01/31/2018	MACCAGNONE, GARY	FT FOUNDING FDS ALLOC C	0.00	2.21	0.00	2.21	95.0	2.10
01/31/2018	DUDA, ANNA	FT FOUNDING FUNDS ALLOC A	0.00	3.58	0.00	3.58	95.0	3.40
01/19/2018	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	93.04	0.00	93.04	95.0	88.39
01/19/2018	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	279.11	0.00	279.11	95.0	265.15
01/19/2018	FARRAR TRUST, CHARLES & KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.44	0.00	45.44	95.0	43.17
01/19/2018	FARRAR TRUST, CHARLES & KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	136.31	0.00	136.31	95.0	129.49
01/19/2018	ANDERSON, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.36	0.00	11.36	95.0	10.79
01/19/2018	ANDERSON, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	34.08	0.00	34.08	95.0	32.38
/ /	OVERMYER, SUSAN	HARTFORD CAPITAL APP II A	0.00	0.04	0.00	0.04	95.0	0.04
/ /	ROCCA, HELEN	HARTFORD CAPITAL APPRECIATION A	0.00	0.71	0.00	0.71	95.0	0.67
/ /	HARTMAN, GREGORY	HARTFORD CAPITAL APPRECIATION A	0.00	2.24	0.00	2.24	95.0	2.13
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD CAPITAL APPRECIATION C	0.00	5.90	0.00	5.90	95.0	5.61
/ /	OVERMYER, SUSAN	HARTFORD DIVIDEND & GROWTH A	0.00	2.40	0.00	2.40	95.0	2.28

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	COLE, JAMES WILLIAM	HARTFORD DIVIDEND & GROWTH C	0.00	14.57	0.00	14.57	95.0	13.84
/ /	HARTMAN, GREGORY	HARTFORD HEALTHCARE A	0.00	0.88	0.00	0.88	95.0	0.84
/ /	OVERMYER, SUSAN	HARTFORD HEALTHCARE A	0.00	2.20	0.00	2.20	95.0	2.09
/ /	RUFFINO, ERNESTINE	HARTFORD HEALTHCARE A	0.00	20.08	0.00	20.08	95.0	19.08
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD HEALTHCARE C	0.00	5.40	0.00	5.40	95.0	5.13
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP A	0.00	2.35	0.00	2.35	95.0	2.23
/ /	OVERMYER, SUSAN	HARTFORD MIDCAP A	0.00	1.33	0.00	1.33	95.0	1.26
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD MIDCAP C	0.00	5.22	0.00	5.22	95.0	4.96
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP VALUE A	0.00	0.01	0.00	0.01	95.0	0.01
/ /	HARTMAN, GREGORY	HARTFORD SCHRDRS US SM MD CAP OPP-A	0.00	1.42	0.00	1.42	95.0	1.35
/ /	HARTMAN, GREGORY	HARTFORD SMALLCAP GROWTH A	0.00	4.43	0.00	4.43	95.0	4.21
/ /	HARTMAN, GREGORY	HARTFUND EQUITY INCIME FUND A	0.00	2.11	0.00	2.11	95.0	2.00
01/31/2018	CEBALT, THOMAS	TEMPLETON DEVELOPING MKTS A	0.00	1.86	0.00	1.86	95.0	1.77
01/31/2018	ELBERT, NATHANIAL	TEMPLETON DEVELOPING MKTS A	0.00	0.24	0.00	0.24	95.0	0.23
01/31/2018	WILEY, MATTALYN	TEMPLETON DEVELOPING MKTS A	0.00	0.69	0.00	0.69	95.0	0.66
01/31/2018	RYAN, ANDREW	TEMPLETON DEVELOPING MKTS A	0.00	4.15	0.00	4.15	95.0	3.94
01/31/2018	LOFTUS, SUSAN	TEMPLETON DEVELOPING MKTS A	0.00	0.82	0.00	0.82	95.0	0.78
01/31/2018	HENDERSON JT TEN, CAROLYN	TEMPLETON DEVELOPING MKTS A	0.00	2.49	0.00	2.49	95.0	2.37
01/31/2018	LEWIS, CHRISTIAN	TEMPLETON DEVELOPING MKTS A	0.00	0.00	0.00	0.00	95.0	0.00
01/31/2018	ROCCA, JOHN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	2.90	0.00	2.90	95.0	2.76
01/31/2018	BICKEL, PATRICIA	TEMPLETON FOREIGN FUND A	0.00	7.19	0.00	7.19	95.0	6.83
01/31/2018	BONHAM, JAMES	TEMPLETON FOREIGN FUND A	0.00	9.92	0.00	9.92	95.0	9.42
01/31/2018	ARONICA, JAMES	TEMPLETON FOREIGN FUND A	0.00	13.08	0.00	13.08	95.0	12.43
01/31/2018	TAYLOR, GARY	TEMPLETON FOREIGN FUND A	0.00	479.85	0.00	479.85	95.0	455.86
01/31/2018	KROZAL, CHRISTIAN	TEMPLETON FOREIGN FUND A	0.00	4.37	0.00	4.37	95.0	4.15
01/31/2018	KELLEY, DAVID	TEMPLETON FOREIGN FUND A	0.00	0.51	0.00	0.51	95.0	0.48
01/31/2018	TRUSCELLO, DAVID	TEMPLETON FOREIGN FUND A	0.00	7.46	0.00	7.46	95.0	7.09
01/31/2018	HOVIS, RYAN	TEMPLETON FOREIGN FUND A	0.00	0.61	0.00	0.61	95.0	0.58
01/31/2018	KOLLINGER, KELLY	TEMPLETON FOREIGN FUND A	0.00	0.61	0.00	0.61	95.0	0.58
01/31/2018	KOLLINGER, ROBERT	TEMPLETON FOREIGN FUND A	0.00	0.61	0.00	0.61	95.0	0.58
01/31/2018	KOLLINGER, CODY	TEMPLETON FOREIGN FUND A	0.00	0.61	0.00	0.61	95.0	0.58
01/31/2018	HOVIS, FARREN	TEMPLETON FOREIGN FUND A	0.00	0.61	0.00	0.61	95.0	0.58
01/31/2018	HOVIS, BARBARA	TEMPLETON FOREIGN FUND A	0.00	0.58	0.00	0.58	95.0	0.55

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/31/2018	KOLLINGER, KAILYN	TEMPLETON FOREIGN FUND A	0.00	0.64	0.00	0.64	95.0	0.61
01/31/2018	MATYASIC, JULIE	TEMPLETON FOREIGN FUND A	0.00	3.93	0.00	3.93	95.0	3.73
01/31/2018	FORD, JOHN	TEMPLETON FOREIGN SMCO A	0.00	0.25	0.00	0.25	95.0	0.24
01/31/2018	ROCCA, JOHN	TEMPLETON FRONTIER MARKETS A	0.00	1.99	0.00	1.99	95.0	1.89
01/31/2018	MANOLATOS, STEVE	TEMPLETON GLOBAL BOND A	0.00	66.65	0.00	66.65	95.0	63.32
01/31/2018	BERRY, CHARLOTTE	TEMPLETON GLOBAL SMALL CO A	0.00	2.85	0.00	2.85	95.0	2.71
01/31/2018	MARCUS, PAMELA	TEMPLETON GROWTH A	0.00	127.76	0.00	127.76	95.0	121.37
01/31/2018	KEELER, DAVID	TEMPLETON GROWTH A	0.00	40.51	0.00	40.51	95.0	38.48
01/31/2018	BITTIKER, STUART	TEMPLETON GROWTH A	0.00	3.71	0.00	3.71	95.0	3.52
01/31/2018	PAUL, DARLEEN	TEMPLETON GROWTH A	0.00	1.53	0.00	1.53	95.0	1.45
01/31/2018	RUBIN, BRITTANY	TEMPLETON GROWTH A	0.00	8.32	0.00	8.32	95.0	7.90
01/31/2018	KILDAL, KATIE	TEMPLETON GROWTH A	0.00	5.08	0.00	5.08	95.0	4.83
01/31/2018	CHESNEY TRUST, CHRISTINE	TEMPLETON GROWTH C	0.00	14.09	0.00	14.09	95.0	13.39
01/31/2018	WALSH, MICHAEL	TEMPLETON WORLD A	0.00	0.84	0.00	0.84	95.0	0.80
01/31/2018	O'CONNOR, FRANK	TEMPLETON WORLD A	0.00	32.66	0.00	32.66	95.0	31.03
01/31/2018	RIGGENBACH, DARWYN	TEMPLETON WORLD A	0.00	143.48	0.00	143.48	95.0	136.31
01/31/2018	RICHARD, GLENN	TEMPLETON WORLD A	0.00	56.38	0.00	56.38	95.0	53.56
01/31/2018	ROBSON, ANNETTE	TEMPLETON WORLD A	0.00	229.02	0.00	229.02	95.0	217.57
01/31/2018	O'LEARY, JUDITH	TEMPLETON WORLD A	0.00	92.43	0.00	92.43	95.0	87.81
01/31/2018	JENDRUSINA, ROGER	TEMPLETON WORLD A	0.00	134.72	0.00	134.72	95.0	127.98
01/31/2018	MURRAY, ANN	TEMPLETON WORLD A	0.00	30.59	0.00	30.59	95.0	29.06
01/31/2018	KAMINSKI, MARGUERITE	TEMPLETON WORLD A	0.00	1.69	0.00	1.69	95.0	1.61
01/31/2018	FLORIE, TERRENCE	TEMPLETON WORLD A	0.00	1.21	0.00	1.21	95.0	1.15
01/31/2018	FLORIE, CHRISTINE	TEMPLETON WORLD A	0.00	21.07	0.00	21.07	95.0	20.02
01/31/2018	BACHTELL, JAMES	TEMPLETON WORLD A	0.00	32.59	0.00	32.59	95.0	30.96
01/31/2018	SCHWARZ, JAMES	TEMPLETON WORLD A	0.00	7.73	0.00	7.73	95.0	7.34
01/31/2018	GREENE, KARLI	TEMPLETON WORLD A	0.00	8.89	0.00	8.89	95.0	8.45
01/31/2018	GREENE, CHRISTOPHER	TEMPLETON WORLD A	0.00	0.40	0.00	0.40	95.0	0.38
01/31/2018	WAMPLER TRUST	TEMPLETON WORLD A	0.00	20.18	0.00	20.18	95.0	19.17
01/31/2018	RICHARD, DANIEL	TEMPLETON WORLD A	0.00	3.45	0.00	3.45	95.0	3.28
02/02/2018	PETERSON, ALEXA	TRANSAMERICA AA CONSERVATIVE C	0.00	35.14	0.00	35.14	95.0	33.38
02/02/2018	PETERSON, TREY	TRANSAMERICA AA CONSERVATIVE C	0.00	35.14	0.00	35.14	95.0	33.38
02/02/2018	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	5.32	0.00	5.32	95.0	5.05

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/02/2018	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	6.71	0.00	6.71	95.0	6.37
02/02/2018	SULLIVAN, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	22.49	0.00	22.49	95.0	21.37
02/02/2018	MAYNARD, TRAVIS	TRANSAMERICA AA GROWTH C	0.00	1.78	0.00	1.78	95.0	1.69
02/02/2018	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	10.74	0.00	10.74	95.0	10.20
02/02/2018	COOPER, LISA	TRANSAMERICA AA GROWTH C	0.00	0.50	0.00	0.50	95.0	0.48
02/02/2018	WEBSTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	1.08	0.00	1.08	95.0	1.03
02/02/2018	JOUPPI, JEFFREY	TRANSAMERICA AA GROWTH C	0.00	93.11	0.00	93.11	95.0	88.45
02/02/2018	COMAN, RONALD	TRANSAMERICA AA GROWTH C	0.00	22.07	0.00	22.07	95.0	20.97
02/02/2018	PALAZZOLO, SALATORE	TRANSAMERICA AA GROWTH C	0.00	13.08	0.00	13.08	95.0	12.43
02/02/2018	BARTOI, MIKAELA	TRANSAMERICA AA GROWTH C	0.00	4.40	0.00	4.40	95.0	4.18
02/02/2018	ROWAN, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	29.92	0.00	29.92	95.0	28.42
02/02/2018	KUCHAREK, NORMA	TRANSAMERICA AA GROWTH C	0.00	9.69	0.00	9.69	95.0	9.21
02/02/2018	OLSEN, MARTHA	TRANSAMERICA AA GROWTH C	0.00	11.23	0.00	11.23	95.0	10.67
02/02/2018	HESSLER, GARY	TRANSAMERICA AA GROWTH C	0.00	42.30	0.00	42.30	95.0	40.19
02/02/2018	BLANKENSHIP, JANET	TRANSAMERICA AA GROWTH C	0.00	12.77	0.00	12.77	95.0	12.13
02/02/2018	RONDO, DENISE	TRANSAMERICA AA GROWTH C	0.00	13.29	0.00	13.29	95.0	12.63
02/02/2018	PALAZZOLO, ANNA	TRANSAMERICA AA GROWTH C	0.00	20.52	0.00	20.52	95.0	19.49
02/02/2018	LINDOW, RONALD	TRANSAMERICA AA GROWTH C	0.00	1.63	0.00	1.63	95.0	1.55
02/02/2018	WILMAN, ANN	TRANSAMERICA AA GROWTH C	0.00	62.96	0.00	62.96	95.0	59.81
02/02/2018	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	40.69	0.00	40.69	95.0	38.66
02/02/2018	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	0.00	44.50	0.00	44.50	95.0	42.28
02/02/2018	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	24.18	0.00	24.18	95.0	22.97
02/02/2018	RIOS-DORIA, H. WALTER	TRANSAMERICA AA GROWTH C	0.00	52.70	0.00	52.70	95.0	50.07
02/02/2018	WETZEL, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	14.40	0.00	14.40	95.0	13.68
02/02/2018	KEELER, DAVID	TRANSAMERICA AA GROWTH C	0.00	53.16	0.00	53.16	95.0	50.50
02/02/2018	HILDEBRAND, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	29.70	0.00	29.70	95.0	28.22
02/02/2018	DEHEM, GARY	TRANSAMERICA AA GROWTH C	0.00	41.82	0.00	41.82	95.0	39.73
02/02/2018	LINDOW, RICHARD	TRANSAMERICA AA GROWTH C	0.00	25.62	0.00	25.62	95.0	24.34
02/02/2018	MULDOON, SHEILA	TRANSAMERICA AA GROWTH C	0.00	26.23	0.00	26.23	95.0	24.92
02/02/2018	KUCHAREK, SARAH	TRANSAMERICA AA GROWTH C	0.00	5.30	0.00	5.30	95.0	5.04
02/02/2018	HECKER, GENE	TRANSAMERICA AA GROWTH C	0.00	7.94	0.00	7.94	95.0	7.54
02/02/2018	WATERS, SALLY	TRANSAMERICA AA GROWTH C	0.00	14.39	0.00	14.39	95.0	13.67
02/02/2018	WATERS, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	5.57	0.00	5.57	95.0	5.29

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/02/2018	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	0.00	14.76	0.00	14.76	95.0	14.02
02/02/2018	FARRAR, CHARLES	TRANSAMERICA AA GROWTH C	0.00	8.23	0.00	8.23	95.0	7.82
02/02/2018	BUSZEK, PAUL	TRANSAMERICA AA GROWTH C	0.00	1.33	0.00	1.33	95.0	1.26
02/02/2018	HOOTNER-JONES, ANN	TRANSAMERICA AA GROWTH C	0.00	8.32	0.00	8.32	95.0	7.90
02/02/2018	PALAZZOLO, SALVATORE	TRANSAMERICA AA GROWTH C	0.00	15.36	0.00	15.36	95.0	14.59
02/02/2018	BASTIN, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	4.02	0.00	4.02	95.0	3.82
02/02/2018	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	4.08	0.00	4.08	95.0	3.88
02/02/2018	ROWAN, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	8.12	0.00	8.12	95.0	7.71
02/02/2018	KOLLINGER, ROBERT	TRANSAMERICA AA MOD GROWTH C	0.00	0.99	0.00	0.99	95.0	0.94
02/02/2018	BELLINGER, VICKIE	TRANSAMERICA AA MOD GROWTH C	0.00	35.76	0.00	35.76	95.0	33.97
02/02/2018	BELLINGER, GEORGE	TRANSAMERICA AA MOD GROWTH C	0.00	28.86	0.00	28.86	95.0	27.42
02/02/2018	POLENYCHKO, SHIRLEY	TRANSAMERICA AA MOD GROWTH C	0.00	9.81	0.00	9.81	95.0	9.32
02/02/2018	AUGUSTYN, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	12.05	0.00	12.05	95.0	11.45
02/02/2018	BLANKENSHIP, JANET	TRANSAMERICA AA MOD GROWTH C	0.00	220.76	0.00	220.76	95.0	209.72
02/02/2018	KOLLINGER, CINDY	TRANSAMERICA AA MOD GROWTH C	0.00	10.08	0.00	10.08	95.0	9.58
02/02/2018	KEELER, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	23.14	0.00	23.14	95.0	21.98
02/02/2018	TYSZKIEWICZ, KURT	TRANSAMERICA AA MOD GROWTH C	0.00	25.20	0.00	25.20	95.0	23.94
02/02/2018	KUCHAREK, MARCIA	TRANSAMERICA AA MOD GROWTH C	0.00	12.48	0.00	12.48	95.0	11.86
02/02/2018	MCGUIRE, MARY	TRANSAMERICA AA MOD GROWTH C	0.00	16.89	0.00	16.89	95.0	16.05
02/02/2018	LEHMAN, JEFFREY	TRANSAMERICA AA MOD GROWTH C	0.00	0.11	0.00	0.11	95.0	0.10
02/02/2018	HENRY, JACQUELINE	TRANSAMERICA AA MOD GROWTH C	0.00	27.12	0.00	27.12	95.0	25.76
02/02/2018	O'CONNOR, FRANCIS	TRANSAMERICA AA MOD GROWTH C	0.00	61.52	0.00	61.52	95.0	58.44
02/02/2018	BAKER, JEAN-ANNE	TRANSAMERICA AA MOD GROWTH C	0.00	40.59	0.00	40.59	95.0	38.56
02/02/2018	KOSANKE, BARBARA	TRANSAMERICA AA MOD GROWTH C	0.00	34.20	0.00	34.20	95.0	32.49
02/02/2018	DEW, DESIREE	TRANSAMERICA AA MOD GROWTH C	0.00	47.14	0.00	47.14	95.0	44.78
02/02/2018	CYRULEWSKI TRUST, LOIS	TRANSAMERICA AA MOD GROWTH C	0.00	12.56	0.00	12.56	95.0	11.93
02/02/2018	NIZIO, EDMUND	TRANSAMERICA AA MOD GROWTH C	0.00	3.80	0.00	3.80	95.0	3.61
02/02/2018	PALAZZOLO, SALATORE	TRANSAMERICA AA MOD GROWTH C	0.00	10.33	0.00	10.33	95.0	9.81
02/02/2018	KOSANKE, CHARLES W	TRANSAMERICA AA MOD GROWTH C	0.00	17.61	0.00	17.61	95.0	16.73
02/02/2018	MERRITT, MARY LOU	TRANSAMERICA AA MOD GROWTH C	0.00	121.10	0.00	121.10	95.0	115.05
02/02/2018	GROBAR TRUST, MARVIN & MARY	TRANSAMERICA AA MOD GROWTH C	0.00	61.07	0.00	61.07	95.0	58.02
02/02/2018	OLSEN, MARTHA	TRANSAMERICA AA MOD GROWTH C	0.00	10.46	0.00	10.46	95.0	9.94
02/02/2018	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	10.72	0.00	10.72	95.0	10.18

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/02/2018	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	5.41	0.00	5.41	95.0	5.14
02/02/2018	CARLSON, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	2.88	0.00	2.88	95.0	2.74
02/02/2018	RIOS-DORIA, H. WALTER	TRANSAMERICA AA MOD GROWTH C	0.00	47.33	0.00	47.33	95.0	44.96
02/02/2018	RYAN, VICKI	TRANSAMERICA AA MOD GROWTH C	0.00	3.71	0.00	3.71	95.0	3.52
02/02/2018	BARTOI, MIKAELA	TRANSAMERICA AA MOD GROWTH C	0.00	161.79	0.00	161.79	95.0	153.70
02/02/2018	BUTLER, SANDRA	TRANSAMERICA AA MOD GROWTH C	0.00	57.71	0.00	57.71	95.0	54.82
02/02/2018	KANAKRI, JOSEPH	TRANSAMERICA AA MOD GROWTH C	0.00	2.24	0.00	2.24	95.0	2.13
02/02/2018	KANAKRI, MARIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.51	0.00	3.51	95.0	3.33
02/02/2018	BUSZEK, PAUL	TRANSAMERICA AA MOD GROWTH C	0.00	13.80	0.00	13.80	95.0	13.11
02/02/2018	LAWRENCE, PEGGY	TRANSAMERICA AA MOD GROWTH C	0.00	13.98	0.00	13.98	95.0	13.28
02/02/2018	BERDESKI, AUDREY	TRANSAMERICA AA MOD GROWTH C	0.00	5.86	0.00	5.86	95.0	5.57
02/02/2018	KAWALA, CHRISTINA	TRANSAMERICA AA MOD GROWTH C	0.00	119.85	0.00	119.85	95.0	113.86
02/02/2018	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	19.43	0.00	19.43	95.0	18.46
02/02/2018	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	0.81	0.00	0.81	95.0	0.77
02/02/2018	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	90.26	0.00	90.26	95.0	85.75
02/02/2018	BARTOI, JOHN	TRANSAMERICA AA MODERATE C	0.00	3.44	0.00	3.44	95.0	3.27
02/02/2018	MANOLATOS, STEVE	TRANSAMERICA AA MODERATE C	0.00	8.14	0.00	8.14	95.0	7.73
02/02/2018	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	10.15	0.00	10.15	95.0	9.64
02/02/2018	PALAZZOLO, SALATORE	TRANSAMERICA MULTI MGR INTL C	0.00	0.37	0.00	0.37	95.0	0.35
02/02/2018	BARTOI, JOHN	TRANSAMERICA MULTI MGR INTL C	0.00	14.28	0.00	14.28	95.0	13.57
02/02/2018	BARTOI, MIKAELA	TRANSAMERICA MULTI MGR INTL C	0.00	10.02	0.00	10.02	95.0	9.52
02/02/2018	FARRAR, CHARLES	TRANSAMERICA MULTI MGR INTL C	0.00	38.55	0.00	38.55	95.0	36.62
02/02/2018	WILMAN, ANN	TRANSAMERICA MULTI MGR INTL C	0.00	27.85	0.00	27.85	95.0	26.46
02/02/2018	KUCHAREK, MARK	TRANSAMERICA MULTI MGR INTL C	0.00	11.69	0.00	11.69	95.0	11.11
02/02/2018	DUMOND, PHYLLIS	TRANSAMERICA MULTI MGR INTL C	0.00	5.64	0.00	5.64	95.0	5.36
02/02/2018	KEELER, DAVID	TRANSAMERICA MULTI MGR INTL C	0.00	15.63	0.00	15.63	95.0	14.85
02/02/2018	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR INTL C	0.00	13.54	0.00	13.54	95.0	12.86
02/02/2018	DEHEM, GARY	TRANSAMERICA MULTI MGR INTL C	0.00	16.45	0.00	16.45	95.0	15.63
02/02/2018	WAGNER, MALLORY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	3.19	0.00	3.19	95.0	3.03
02/02/2018	EVANS, SANDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.68	0.00	6.68	95.0	6.35
02/02/2018	EVANS, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	8.01	0.00	8.01	95.0	7.61
02/02/2018	GRECH, ROSALIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	39.76	0.00	39.76	95.0	37.77
02/02/2018	CANNON TRUST, HENRY & BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	118.99	0.00	118.99	95.0	113.04

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/02/2018	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	47.08	0.00	47.08	95.0	44.73
02/02/2018	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.41	0.00	7.41	95.0	7.04
02/02/2018	DOUTSAS, MADELYN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	3.14	0.00	3.14	95.0	2.98
02/02/2018	HOOTNER-JONES, ANN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.91	0.00	2.91	95.0	2.76
02/02/2018	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	15.50	0.00	15.50	95.0	14.73
02/02/2018	RICHARDSON, SHANE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.30	0.00	1.30	95.0	1.24
02/02/2018	MAGGARD, LORETTA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	13.30	0.00	13.30	95.0	12.64
02/02/2018	KAWALA, CHRISTINA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	18.33	0.00	18.33	95.0	17.41
02/02/2018	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	41.09	0.00	41.09	95.0	39.04
02/02/2018	BELLINGER, VICKIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	49.18	0.00	49.18	95.0	46.72
02/02/2018	OLSEN, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	11.29	0.00	11.29	95.0	10.73
02/02/2018	MOORE, SUSAN ANN PRIES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	107.22	0.00	107.22	95.0	101.86
02/02/2018	YOUNG, RICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	143.90	0.00	143.90	95.0	136.71
02/02/2018	DINKA, CATHERINE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	81.49	0.00	81.49	95.0	77.42
02/02/2018	PARKE, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	17.13	0.00	17.13	95.0	16.27
02/02/2018	SMITH, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	108.15	0.00	108.15	95.0	102.74
02/02/2018	FARRAR, KAREN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	46.59	0.00	46.59	95.0	44.26
02/02/2018	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	22.23	0.00	22.23	95.0	21.12
02/02/2018	ROLLINGS, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	31.23	0.00	31.23	95.0	29.67
02/02/2018	DOUTSAS, ALEXANDER	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.64	0.00	6.64	95.0	6.31
02/02/2018	GRAMMATICO, DENNIS	TRANSAMERICA SHORT-TERM BOND C	0.00	7.30	0.00	7.30	95.0	6.94
02/02/2018	GRAMMATICO, SHARON	TRANSAMERICA SHORT-TERM BOND C	0.00	7.31	0.00	7.31	95.0	6.94
02/02/2018	SARKISIAN, NORAY	TRANSAMERICA SHORT-TERM BOND C	0.00	27.70	0.00	27.70	95.0	26.32
02/02/2018	MERRITT, MARY LOU	TRANSAMERICA SHORT-TERM BOND C	0.00	22.75	0.00	22.75	95.0	21.61
02/02/2018	O'CONNOR, FRANCIS	TRANSAMERICA SHORT-TERM BOND C	0.00	62.69	0.00	62.69	95.0	59.56
02/02/2018	EVANS, SANDRA	TRANSAMERICA TACTICAL INCOME C	0.00	4.89	0.00	4.89	95.0	4.65
02/02/2018	EVANS, ROBERT	TRANSAMERICA TACTICAL INCOME C	0.00	5.86	0.00	5.86	95.0	5.57
02/02/2018	KAWALA, CHRISTINA	TRANSAMERICA TACTICAL INCOME C	0.00	2.64	0.00	2.64	95.0	2.51
02/02/2018	PIZZO, ROSOLINO	TRANSAMERICA WMC DIV EQUITY C	0.00	2.01	0.00	2.01	95.0	1.91
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$8,348.19	\$0.00	\$8,348.19		\$7,931.04

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
01/31/2018	BYERS, SHARRON	GENWORTH TRAILS	115,611.43	19.27	0.00	19.27	95.0	18.31
01/31/2018	EMBACH, KEVIN	GENWORTH TRAILS	86,783.02	72.32	0.00	72.32	95.0	68.70
01/31/2018	EMBACH, JAMES	GENWORTH TRAILS	86,783.03	72.32	0.00	72.32	95.0	68.70
01/31/2018	HARTOUNIAN, HAGOB	GENWORTH TRAILS	12,422.25	10.35	0.00	10.35	95.0	9.83
01/31/2018	MCCLAIN, DOROTHY	GENWORTH TRAILS	104,207.03	86.84	0.00	86.84	95.0	82.50
01/31/2018	ZACK, MAUREEN	GENWORTH TRAILS	86,783.03	72.32	0.00	72.32	95.0	68.70
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$492,589.79	\$333.42	\$0.00	\$333.42		\$316.74
*** DIRECT TRANSACTIONS TOTAL ***			\$5,308,116.49	\$17,128.56	\$0.00	\$17,128.56		\$16,272.46

SPLIT TRANSACTIONS**BROKER: MARK, 103 KOSANKE #3**

01/10/2018	MARCOLA, THADDEUS	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	0.42	0.00	0.42	95.0	0.40
01/10/2018	CAUL, MARTINA	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	95.0	0.21
01/10/2018	GOOSSENS, LORI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.68	0.00	2.68	95.0	2.55
01/10/2018	CAUL, MARTINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.19	0.00	0.19	95.0	0.18
01/10/2018	CAUL, MARTINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.50	0.00	0.50	95.0	0.48
01/10/2018	SCULLY, DOROTHY	AM FUNDS ICA A	0.00	13.12	0.00	13.12	95.0	12.46
01/10/2018	DENNIS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	6.99	0.00	6.99	95.0	6.64
01/10/2018	MCCALL, JEFFREY	AM FUNDS SMALLCAP WORLD A	0.00	1.32	0.00	1.32	95.0	1.25
01/10/2018	DENNIS, STEVEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.68	0.00	5.68	95.0	5.40
01/10/2018	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.70	0.00	1.70	95.0	1.62
01/10/2018	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.70	0.00	1.70	95.0	1.62
01/23/2018	RUMPLER, KENNETH	COLUMBIA PPTY TR INC COM NEW	2,299.50	50.00	14.00	36.00	95.0	34.20
01/10/2018	NICOLOSI, ROSE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.66	0.00	2.66	95.0	2.53
01/10/2018	DENNIS, STEVEN	DAVIS NEW YORK VENTURE A	0.00	17.66	0.00	17.66	95.0	16.78
01/10/2018	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	10.97	0.00	10.97	95.0	10.42
01/10/2018	HENKEL, JAMES	DWS DEUTSCHE HIGH INCOME FUND A	0.00	23.30	0.00	23.30	95.0	22.14
01/10/2018	ADAMS, ERIK	FEDERATED HIGH INCOME BOND FUND INC	0.00	0.76	0.00	0.76	95.0	0.72
01/10/2018	ADAMS, ERIK	FEDERATED MARKET OPPORTUNITY A	0.00	2.43	0.00	2.43	95.0	2.31
01/10/2018	GARCIA-SANTOS, VICKY	FIRST EAGLE GLOBAL FUND CL A	0.00	7.55	0.00	7.55	95.0	7.17
01/31/2018	KRASS, DELORES	JNL PERSPECTIVE REWARDS	14,950.04	3.12	0.00	3.12	95.0	2.96
01/10/2018	ADAMS, TONJA	PUTNAM INTERNATIONAL VALUE-A	0.00	0.29	0.00	0.29	95.0	0.28

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK, 103 KOSANKE #3</u>								
02/02/2018	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	30.66	0.00	30.66	95.0	29.13
01/26/2018	HOSKIN, JOHN	VICTORY CAPITAL MANAGEMENT	0.00	0.76	0.00	0.76	95.0	0.72
01/26/2018	MARCOLA, ERIKA	VICTORY CAPITAL MANAGEMENT	0.00	1.23	0.00	1.23	95.0	1.17
* MARK, 103 KOSANKE SUBTOTAL *			\$17,249.54	\$185.91	\$14.00	\$171.91		\$163.34
<u>BROKER: J117-PETE/JOAN JOHNSON/FLAM #19</u>								
/ /	PRICOPIO, VANESSA	JH 529 COLLEGE PORT A	0.00	2.25	0.00	2.25	95.0	2.14
* J117-PETE/JOAN JOHNSON/FLAM SUBTOTAL *			\$0.00	\$2.25	\$0.00	\$2.25		\$2.14
<u>BROKER: GROUP, B119 FLAM/BUSSELL #32</u>								
01/31/2018	M, OSIP	DELAWARE VALUE A	0.00	1.37	0.00	1.37	95.0	1.30
* GROUP, B119 FLAM/BUSSELL SUBTOTAL *			\$0.00	\$1.37	\$0.00	\$1.37		\$1.30
<u>BROKER: PETER JOHNSON #63</u>								
01/31/2018	ROSS, DAVID	DELAWARE HIGH YIELD OPP A	0.00	26.69	0.00	26.69	95.0	25.36
01/31/2018	KAPELN, J	DELAWARE TAX FREE USA A	0.00	9.27	0.00	9.27	95.0	8.81
01/31/2018	PAPPAS, LARRY	DELAWARE VALUE A	0.00	37.58	0.00	37.58	95.0	35.70
01/31/2018	PAPPAS, JANE	DELAWARE VALUE A	0.00	7.41	0.00	7.41	95.0	7.04
* PETER JOHNSON SUBTOTAL *			\$0.00	\$80.95	\$0.00	\$80.95		\$76.91
*** SPLIT TRANSACTIONS TOTAL ***			\$17,249.54	\$270.48	\$14.00	\$256.48		\$243.69

OVERRIDE TRANSACTIONS

<u>BROKER: FRANK, D116 DUNN #7</u>								
12/31/2017	SCARLATO, MARY	GRIFFIN INST'L ACCESS R E FUND	48,120.48	10.22	0.00	10.22	90.0	0.51
12/31/2017	BRENT, MARY	GRIFFIN INST'L ACCESS R E FUND C	48,052.98	10.20	0.00	10.20	90.0	0.51
* FRANK, D116 DUNN SUBTOTAL *			\$96,173.46	\$20.42	\$0.00	\$20.42		\$1.02
<u>BROKER: THOMAS SCHNEIDER #30</u>								
01/30/2018	SCHECK, VICTORIA	SBL ADVISOR PROGRAM	15,303.62	3.19	0.00	3.19	0.0	3.03
01/30/2018	KELLER, MICHELLE	SBL ADVISOR PROGRAM	30,111.52	6.27	0.00	6.27	0.0	5.96
01/30/2018	YOUNG, MARCIA	SBL ADVISOR PROGRAM	26,390.31	5.50	0.00	5.50	0.0	5.23
01/30/2018	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,310.18	0.69	0.00	0.69	0.0	0.66
01/30/2018	BAKER, MARILYN	SBL ADVISORDESIGNS VA	7,219.73	1.51	0.00	1.51	0.0	1.43
01/30/2018	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,674.01	0.77	0.00	0.77	0.0	0.73

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: THOMAS SCHNEIDER #30</u>								
01/30/2018	MCCRANN, JAMES	SBL ADVISORDESIGNS VA	6,485.35	1.35	0.00	1.35	0.0	1.28
01/30/2018	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,631.05	1.59	0.00	1.59	0.0	1.51
01/30/2018	KUBAN, JOANNE	SBL ADVISORDESIGNS VA	20,890.13	4.36	0.00	4.36	0.0	4.14
01/30/2018	LITTTRELL, REX	SBL ADVISORDESIGNS VA	6,691.27	1.39	0.00	1.39	0.0	1.32
01/30/2018	HARTONG, DEAN	SBL ADVISORDESIGNS VA	13,409.71	2.79	0.00	2.79	0.0	2.65
01/30/2018	HAWK, GLENN	SBL ADVISORDESIGNS VA	5,829.41	1.21	0.00	1.21	0.0	1.15
01/30/2018	DEFRANCESCO, MARY	SBL ADVISORDESIGNS VA	7,601.15	1.59	0.00	1.59	0.0	1.51
01/30/2018	GREGORY, CAROL	SBL ADVISORDESIGNS VA	23,074.28	4.81	0.00	4.81	0.0	4.57
01/30/2018	GREGORY, RICHARD	SBL ADVISORDESIGNS VA	9,145.78	1.90	0.00	1.90	0.0	1.81
01/30/2018	FULTON, JOHN	SBL ADVISORDESIGNS VA	8,236.85	1.71	0.00	1.71	0.0	1.62
01/30/2018	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,848.84	1.63	0.00	1.63	0.0	1.55
01/30/2018	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,710.51	1.40	0.00	1.40	0.0	1.33
01/30/2018	BABIN, WILLIAM	SBL ADVISORDESIGNS VA	131,943.22	27.49	0.00	27.49	0.0	26.12
01/30/2018	DEFRANCESCO, STEVEN	SBL ADVISORDESIGNS VA	8,471.72	1.76	0.00	1.76	0.0	1.67
* THOMAS SCHNEIDER SUBTOTAL *			\$349,978.64	\$72.91	\$0.00	\$72.91		\$69.27
*** OVERRIDE TRANSACTIONS TOTAL ***			\$446,152.10	\$93.33	\$0.00	\$93.33		\$70.29

ADJUSTMENTS

02/15/2018	*EO INS: CURRENT MONTH EO INS	0.00						-300.00
02/15/2018	*FINRA: MI INDIVIDUAL REG. FEE	0.00						-65.00
02/15/2018	*FINRA: S66 EXAM	0.00						-165.00
02/15/2018	*TECHNOLOGY: TECHNOLOGY	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-805.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$16,272.46
Split Transactions	\$243.69
Override Transactions	\$70.29
Adjustments	\$-805.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$15,781.44
Year-to-date Gross	\$64,207.18
Year-to-date Earnings	\$66,312.68