



Concorde Investment Services, LLC

02/14/2017
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COMMISSION STATEMENT **February 15, 2017**

WILLIAM WALSH
2565 West Maple

BROKER #: W127
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2017	SENGSTOCK, KATHLEEN	**CALVERT FD	0.00	9.41	0.00	9.41	87.0	8.19
01/11/2017	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	6.74	0.00	6.74	87.0	5.86
01/11/2017	BOARD, MONTE	ALGER CAPITAL APPRECIATION A	0.00	2.12	0.00	2.12	87.0	1.84
01/11/2017	MURPHY, CAROL	ALGER CAPITAL APPRECIATION A	0.00	3.83	0.00	3.83	87.0	3.33
01/11/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	4.21	0.00	4.21	87.0	3.66
01/11/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	3.00	0.00	3.00	87.0	2.61
01/11/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	28.75	0.00	28.75	87.0	25.01
01/11/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN SMALL CAP GROWTH	0.00	0.58	0.00	0.58	87.0	0.50
01/11/2017	HANSZ, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	30.01	0.00	30.01	87.0	26.11
01/11/2017	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.10	0.00	0.10	87.0	0.09
01/11/2017	DARGO, JILL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.00	0.00	1.00	87.0	0.87
01/11/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.35	0.00	12.35	87.0	10.74
01/11/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	30.77	0.00	30.77	87.0	26.77
01/11/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	70.15	0.00	70.15	87.0	61.03
01/11/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.54	0.00	11.54	87.0	10.04
01/11/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.45	0.00	10.45	87.0	9.09
01/11/2017	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.19	0.00	15.19	87.0	13.22
01/11/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	41.13	0.00	41.13	87.0	35.78
01/11/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.98	0.00	13.98	87.0	12.16
01/11/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.33	0.00	0.33	87.0	0.29
01/11/2017	CHAWLA, SUVIRA	AM FUNDS SMALLCAP WORLD A	0.00	4.04	0.00	4.04	87.0	3.51
01/11/2017	WALSH, DAWN ERIN	BLACKROCK FUNDS FLEXIBLE EQUITY FUN	0.00	4.49	0.00	4.49	87.0	3.91
01/10/2017	SENGSTOCK, KATHLEEN	CALVERT BOND PORT A	0.00	27.53	0.00	27.53	87.0	23.95
01/11/2017	DARGO, JILL	DAVIS NEW YORK VENTURE A	0.00	2.88	0.00	2.88	87.0	2.51
01/11/2017	WALSH, DAWN ERIN	DAVIS NEW YORK VENTURE A	0.00	33.88	0.00	33.88	87.0	29.48
01/11/2017	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	2.78	0.00	2.78	87.0	2.42

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/11/2017	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	29.83	0.00	29.83	87.0	25.95
01/11/2017	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	3.79	0.00	3.79	87.0	3.30
01/11/2017	SENGSTOCK, JODIE	DAVIS NEW YORK VENTURE C	0.00	32.45	0.00	32.45	87.0	28.23
01/11/2017	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	6.36	0.00	6.36	87.0	5.53
01/11/2017	SENGSTOCK, DAVID	DAVIS NEW YORK VENTURE C	0.00	30.89	0.00	30.89	87.0	26.87
01/11/2017	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	54.89	0.00	54.89	87.0	47.75
01/11/2017	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	4.79	0.00	4.79	87.0	4.17
01/09/2017	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	6.42	0.00	6.42	87.0	5.59
01/11/2017	CHAWLA, SUVIRA	FIRST EAGLE GLOBAL C	0.00	124.91	0.00	124.91	87.0	108.67
01/11/2017	DARGO, JILL	INVESCO SMALL CAP VALUE A	0.00	1.26	0.00	1.26	87.0	1.10
01/11/2017	CHAWLA, MAHINDER	INVESCO SMALL CAP VALUE C	0.00	73.44	0.00	73.44	87.0	63.89
01/11/2017	SENGSTOCK, JODIE	INVESCO SMALL CAP VALUE C	0.00	28.20	0.00	28.20	87.0	24.53
01/11/2017	SENGSTOCK, DAVID	INVESCO SMALL CAP VALUE C	0.00	26.81	0.00	26.81	87.0	23.32
01/11/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	12.75	0.00	12.75	87.0	11.09
01/11/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	15.29	0.00	15.29	87.0	13.30
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	87.0	0.01
01/11/2017	WALSH, DAWN ERIN	JH FINANCIAL INDUSTRIES A	0.00	1.71	0.00	1.71	87.0	1.49
01/11/2017	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	9.48	0.00	9.48	87.0	8.25
01/11/2017	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	2.79	0.00	2.79	87.0	2.43
01/11/2017	SENGSTOCK, FRANK	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	4.34	0.00	4.34	87.0	3.78
01/11/2017	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	41.14	0.00	41.14	87.0	35.79
01/05/2017	YOUNG PROFIT SHARING, RICK	PIMCO ALL ASSET A	50,000.00	1,000.00	0.00	1,000.00	87.0	870.00
01/05/2017	YOUNG-CASH BALANCE, RICK	PIMCO ALL ASSET A	250,000.00	5,000.00	0.00	5,000.00	87.0	4,350.00
01/11/2017	APPLECON, LLC	PIMCO ALL ASSET A	0.00	473.24	0.00	473.24	87.0	411.72
01/26/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.20	0.00	0.20	87.0	0.17
01/26/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.44	0.00	0.44	87.0	0.38
01/26/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.05	0.00	1.05	87.0	0.91
01/26/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.21	0.00	0.21	87.0	0.18
* MUTUAL FUNDS SUBTOTAL *			\$300,000.00	\$7,357.93	\$0.00	\$7,357.93		\$6,401.37
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/02/2017	EAGLE LANDSCAPING	GWL GRP ANNUITY 401K	2,552,538.19	758.77	0.00	758.77	87.0	660.13
02/02/2017	EVSSON EMP RET PLAN	GWL GRP ANNUITY 401K	3,683.15	1.56	0.00	1.56	87.0	1.36
02/02/2017	MCCLAIN,SMITH & WENZ	GWL GRP ANNUITY 401K	1,177,943.01	500.22	0.00	500.22	87.0	435.19

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
02/02/2017	IHRIE O'BRIEN PC, 401K	GWL GRP ANNUITY 401K	856,614.70	363.77	0.00	363.77	87.0	316.48
02/02/2017	JACKSON ASSOCIATES, 401K	GWL GRP ANNUITY 401K	379,878.76	161.32	0.00	161.32	87.0	140.35
02/02/2017	INDUSTRIAL FLUID	GWL GRP ANNUITY 401K	494,672.96	210.07	0.00	210.07	87.0	182.76
02/02/2017	WESTSHORE GOLF, RET PLAN	GWL GRP ANNUITY 401K	324,996.00	138.01	0.00	138.01	87.0	120.07
02/02/2017	APPLECON, LLC	GWL GRP ANNUITY 401K	1,180,116.45	501.15	0.00	501.15	87.0	436.00
02/02/2017	RIVERVIEW COMM FED	GWL GRP ANNUITY 401K	249,684.47	106.03	0.00	106.03	87.0	92.25
02/02/2017	STEPHEN HARRIS, DDS, PROFIT	GWL NET ASSET VALUE 401K	1,580,493.13	671.17	0.00	671.17	87.0	583.92
/ /	ACADEMY MANAGEMENT	NW RETIREMENT CLR ADVANTAGE	828,550.97	181.60	0.00	181.60	87.0	157.99
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	987,032.22	108.17	0.00	108.17	87.0	94.11
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	1,926,066.84	211.08	0.00	211.08	87.0	183.64
/ /	SHAW & SLAVSKY	NWL FLEX ADVANTAGE	2,642,176.03	579.11	0.00	579.11	87.0	503.83
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	1,437,641.07	315.10	0.00	315.10	87.0	274.14
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	497,267.20	108.99	0.00	108.99	87.0	94.82
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	21,124.44	4.63	0.00	4.63	87.0	4.03
/ /	SHAW & SLAVSKY	NWL POOLED FXD	14,101.93	3.09	0.00	3.09	87.0	2.69
/ /	COMMUNITY HOUSE	NWL POOLED FXD	150.78	0.03	0.00	0.03	87.0	0.03
/ /	DETROIT CONNECTION	NWL RETIREMENT INNOVATOR	424,920.13	111.76	0.00	111.76	87.0	97.23
/ /	DETROIT CONNECTION	NWL SELF DIRECTED BROKERAGE GRP	40,152.94	10.56	0.00	10.56	87.0	9.19
/ /	MARYSVILLE ETHANOL	NWL SELF DIRECTED BROKERAGE GRP	95,082.10	20.84	0.00	20.84	87.0	18.13
01/25/2017	BROOKS, JAMES F & MARGE	PAC LIFE INNOVATIVE SELECT	0.00	646.36	0.00	646.36	87.0	562.33
* VARIABLE ANNUITIES SUBTOTAL *			\$17,714,887.47	\$5,713.39	\$0.00	\$5,713.39		\$4,970.67
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
01/31/2017	SENGSTOCK, KATHLEEN	PAC LIFE PACIFIC CHOICE	0.00	530.98	0.00	530.98	87.0	461.95
01/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	554.78	0.00	554.78	87.0	482.66
01/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	59.08	0.00	59.08	87.0	51.40
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,144.84	\$0.00	\$1,144.84		\$996.01
*** BROKER TRANSACTIONS TOTAL ***			\$18,014,887.47	\$14,216.16	\$0.00	\$14,216.16		\$12,368.05

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<u>ADJUSTMENTS</u>								
02/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2017		*RBC: ADMIN	0.00					-25.00
02/15/2017		*TECHNOLOGY: ADMIN - JILL DARGO	0.00					-100.00
02/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-700.00

BROKER COMMISSION TOTALS

Broker Transactions	\$12,368.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-700.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$11,668.05
Year-to-date Gross	\$27,462.57
Year-to-date Earnings	\$23,584.42

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