



COMMISSION STATEMENT

April 30, 2018

SAMUEL BARBER
327 Church St
Naugatuck, CT 06770

BROKER #: B06
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------------|--------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 04/27/2018 | ***-**-3812 - NORIEGA, CARLOS | FRK GTH ALC 9-12 YR 529 PORT CL A | 600.00 | 22.50 | 0.00 | 22.50 | 75.0 | 16.88 |
| | ACCOUNT #: 7007857 | BUY 0 Units @0.0000 | | | | | | |
| | | * MUTUAL FUNDS SUBTOTAL * | \$600.00 | \$22.50 | \$0.00 | \$22.50 | | \$16.88 |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| 04/25/2018 | ***-**-2010 - BARBER, SAMUEL | VANGUARD INTL EQUITY INDEX FDS TOTA | 1,610.16 | 54.00 | 9.21 | 44.79 | 75.0 | 33.59 |
| | ACCOUNT #: LSF000307 | BUY 22 Units @73.1890 | | | | | | |
| | | * STOCKS SUBTOTAL * | \$1,610.16 | \$54.00 | \$9.21 | \$44.79 | | \$33.59 |
| <u>PRODUCT CATEGORY: 529 PLAN</u> | | | | | | | | |
| 04/26/2018 | ***-**-0853 - BOYER, THOMAS | NYA JPM 529 CONSV GROWTH A | 50.00 | 2.38 | 0.00 | 2.38 | 75.0 | 1.79 |
| | ACCOUNT #: 77467864401 | BUY 0 Units @0.0000 | | | | | | |
| | | * 529 PLAN SUBTOTAL * | \$50.00 | \$2.38 | \$0.00 | \$2.38 | | \$1.79 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 04/30/2018 | ***-**-2440 - RICHTER, DIANA | LORD ABBETT SHORT DURATION INCOME C | 0.00 | 11.55 | 0.00 | 11.55 | 75.0 | 8.66 |
| | ACCOUNT #: LSR011984 | BUY 1 Units @0.0000 | | | | | | |
| | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00 | \$11.55 | \$0.00 | \$11.55 | | \$8.66 |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$2,260.16 | \$90.43 | \$9.21 | \$81.22 | | \$60.92 |



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|--|--------|------------|----------------------|---------------------|--------------------|-----------------------|------|----------------------|
| <u>BROKER COMMISSION TOTALS</u> | | | | | | | | |
| | | | | | | Broker Transactions | | \$60.92 |
| | | | | | | Split Transactions | | \$0.00 |
| | | | | | | Override Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | | Prior Period Balance | | \$0.00 |
| | | | | | | FINRA Assessment | | \$0.00 |
| | | | | | | SIPC Assessment | | \$0.00 |
| Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD | | | | | | | | |
| Balance Forward | | | | | | | | \$60.92 |
| Year-to-date Earnings | | | | | | | | \$20,996.99 |