

**COMMISSION STATEMENT****October 15, 2017****BRENT LEE**

13423 Kings View Lane

Williston, ND 58801-

**BROKER #: L137**

BRANCH: TRUWEALTH FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/10/2017	KUHN, KEVIN	PERSHING	3,130.77	9.86	0.00	9.86	90.0	8.87
10/10/2017	QUALE, MICHAEL	PERSHING	54,671.85	199.81	0.00	199.81	90.0	179.83
10/10/2017	QUALE, MICHAEL	PERSHING	16,270.56	59.47	0.00	59.47	90.0	53.52
10/10/2017	QUALE, LOLA	PERSHING	55,656.60	203.41	0.00	203.41	90.0	183.07
<b>* RIA SUBTOTAL *</b>			<b>\$129,729.78</b>	<b>\$472.55</b>	<b>\$0.00</b>	<b>\$472.55</b>		<b>\$425.29</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$129,729.78</b>	<b>\$472.55</b>	<b>\$0.00</b>	<b>\$472.55</b>		<b>\$425.29</b>

**SPLIT TRANSACTIONS****BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332**

10/10/2017	HELLER, GREG	PERSHING	172,168.70	271.22	0.00	271.22	90.0	244.10
10/10/2017	HELLER, GREG	PERSHING	34,296.63	54.03	0.00	54.03	90.0	48.63
10/10/2017	HICKEL, TONY	PERSHING	130,866.83	239.14	0.00	239.14	90.0	215.23
10/10/2017	WEBER, DANIEL	PERSHING	54,209.12	85.40	0.00	85.40	90.0	76.86
10/10/2017	WEBER, TICINIE	PERSHING	21,314.49	33.58	0.00	33.58	90.0	30.22
10/10/2017	WEBER, DANIEL	PERSHING	11,329.17	17.84	0.00	17.84	90.0	16.06
10/10/2017	LIESENER, MARTY	PERSHING	32,731.11	51.56	0.00	51.56	90.0	46.40
10/10/2017	MILLER, MELINDA	PERSHING	2,535.61	3.99	0.00	3.99	90.0	3.59
10/10/2017	MILLER, MELINDA	PERSHING	36,608.28	57.67	0.00	57.67	90.0	51.90
10/10/2017	MILLER, CHARLES	PERSHING	43,702.79	68.84	0.00	68.84	90.0	61.96
10/10/2017	MILLER, CHARLES	PERSHING	32,029.99	50.46	0.00	50.46	90.0	45.41
10/10/2017	BROE, CRAIG	PERSHING	119,068.74	187.57	0.00	187.57	90.0	168.81
10/10/2017	HICKEL, TONY	PERSHING	4,166.03	7.61	0.00	7.61	90.0	6.85
10/10/2017	DEVLIN, DON	PERSHING	3,557.88	6.50	0.00	6.50	90.0	5.85
10/10/2017	LIESENER TRUST, VICKI	PERSHING	11,810.48	21.58	0.00	21.58	90.0	19.42
10/11/2017	BARTINGALE, JAMES	SEI	6,659.84	10.40	0.00	10.40	90.0	9.36

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u></b>								
10/11/2017	BENSON, JEFFREY	SEI	139,140.33	234.80	0.00	234.80	90.0	211.32
10/11/2017	DEVLIN, DON	SEI	32,353.66	54.59	0.00	54.59	90.0	49.13
10/11/2017	DEVLIN, DON	SEI	5,192.22	8.76	0.00	8.76	90.0	7.88
10/11/2017	HARMEL, ERIC	SEI	102.79	0.04	0.00	0.04	90.0	0.04
10/11/2017	HARMEL, ERIC	SEI	10,343.82	17.45	0.00	17.45	90.0	15.71
10/11/2017	HEEN, LINDA	SEI	15,765.77	6.90	0.00	6.90	90.0	6.21
10/11/2017	KRINGEN, STUART	SEI	330,053.60	123.77	0.00	123.77	90.0	111.39
10/11/2017	LIESENER, MARTY	SEI	58,606.63	98.90	0.00	98.90	90.0	89.01
10/11/2017	WEBER, DANIEL	SEI	54,487.71	20.43	0.00	20.43	90.0	18.39
10/11/2017	BARTINGALE, JAMES	SEI	113,618.53	191.73	0.00	191.73	90.0	172.56
10/11/2017	BARTINGALE, JAMES	SEI	78,266.25	129.53	0.00	129.53	90.0	116.58
10/11/2017	BENSON, JEFFREY	SEI	15,798.12	24.68	0.00	24.68	90.0	22.21
10/11/2017	HUGHES, MITCH	SEI	11,109.51	18.75	0.00	18.75	90.0	16.88
10/11/2017	SIKOLE, ROBERT	SEI	294,337.00	496.69	0.00	496.69	90.0	447.02
10/11/2017	SIKOLE, ROBERT	SEI	74.68	0.12	0.00	0.12	90.0	0.11
<b>* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *</b>			<b>\$1,876,306.31</b>	<b>\$2,594.53</b>	<b>\$0.00</b>	<b>\$2,594.53</b>		<b>\$2,335.09</b>

<b><u>BROKER: JBB JEN-BRENT-BRION TRU-DONALIS-LEE- #333</u></b>								
09/12/2017	SINNESS, STEVEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.19	0.00	1.19	90.0	1.07
09/12/2017	SINNESS, STEVEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.20	0.00	0.20	90.0	0.18
09/12/2017	SINNESS, STEVEN	AM FUNDS ICA A	0.00	1.36	0.00	1.36	90.0	1.22
09/12/2017	SINNESS, STEVEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.77	0.00	1.77	90.0	1.59
09/12/2017	SINNESS, STEVEN	AM FUNDS NEW WORLD A	0.00	0.51	0.00	0.51	90.0	0.46
09/12/2017	SINNESS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	0.24	0.00	0.24	90.0	0.22
09/25/2017	SINNESS, ELAINE	BLACKRCOK MUN BD FD INC	9,986.42	0.00	0.00	0.00	90.0	0.00
09/19/2017	KALIL, DANIEL	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	0.22	0.00	0.22	90.0	0.20
09/19/2017	MORRIS, SANDRA PACKER	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	0.02	0.00	0.02	90.0	0.02
09/12/2017	SINNESS, STEVEN	FIRST EAGLE GLOBAL FUND CL A	0.00	0.21	0.00	0.21	90.0	0.19
09/07/2017	LARAMIE, JAMES	ISHARES CORE S&P SMALL CAP ETF	7,210.90	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES S&P 500 VALUE ETF	10,774.73	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	7,199.08	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	3,609.48	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	12,607.77	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES TR CORE S&P MID-CAP ETF	8,897.07	0.00	2.42	-2.42	90.0	-2.18

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</b>								
09/07/2017	LARAMIE, JAMES	ISHARES TR CORE TOTAL U S BD MKT ET	42,661.11	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES TR IBOX USD INVT GRADE COR	7,170.26	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	5,313.94	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES TR RUSSELL 2000 INDEX FD	6,942.01	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES TR S&P 500 INDEX FD	20,856.35	0.00	2.42	-2.42	90.0	-2.18
09/12/2017	DONAI, RUTH	METROPOLITAN WEST TOTAL RETURN BOND	0.00	0.20	0.00	0.20	90.0	0.18
09/07/2017	LARAMIE, JAMES	POWERSHARES DB COMMODITY INDEX TRAC	6,761.69	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	POWERSHARES EXCHANGE-TRADED FD TR I	9,148.95	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	7,248.46	0.00	2.42	-2.42	90.0	-2.18
10/10/2017	KALIL, DANIEL	RBC	66,584.10	18.46	0.00	18.46	90.0	16.61
10/10/2017	KALIL, DANIEL	RBC	126,714.86	35.14	0.00	35.14	90.0	31.63
10/10/2017	KALIL, DANIEL	RBC	68,938.32	19.12	0.00	19.12	90.0	17.21
10/10/2017	KALIL, DANIEL	RBC	106,563.13	29.54	0.00	29.54	90.0	26.59
10/10/2017	KALIL, DANIEL	RBC	375,086.56	103.99	0.00	103.99	90.0	93.59
10/10/2017	KALIL, DANIEL	RBC	106,168.09	29.43	0.00	29.43	90.0	26.49
10/10/2017	SCHWAN, BRIAN	RBC	201,100.26	55.75	0.00	55.75	90.0	50.18
10/10/2017	SCHWAN, BRIAN	RBC	36,529.75	10.13	0.00	10.13	90.0	9.12
10/10/2017	DONAI, RUTH	RBC	65,676.41	18.21	0.00	18.21	90.0	16.39
10/10/2017	DONAI, RUTH	RBC	168,118.83	46.61	0.00	46.61	90.0	41.95
10/10/2017	DONAI, DONALD	RBC	97,434.11	27.02	0.00	27.02	90.0	24.32
10/10/2017	DONAI, DONALD	RBC	76,741.21	21.27	0.00	21.27	90.0	19.14
10/10/2017	DONAI, DONALD	RBC	119,700.29	33.19	0.00	33.19	90.0	29.87
10/10/2017	HATLESTAD, PATRICK	RBC	21,228.49	5.88	0.00	5.88	90.0	5.29
10/10/2017	HATLESTAD, PATRICK	RBC	187,281.52	51.92	0.00	51.92	90.0	46.73
10/10/2017	HATLESTAD, DURELLE	RBC	8,927.32	2.47	0.00	2.47	90.0	2.22
10/10/2017	HATLESTAD, DURELLE	RBC	143,847.86	39.89	0.00	39.89	90.0	35.90
10/10/2017	SINNESS, STEVEN	RBC	487,277.55	135.11	0.00	135.11	90.0	121.60
10/10/2017	SINNESS, STEVEN	RBC	80,352.42	22.28	0.00	22.28	90.0	20.05
10/10/2017	SINNESS, STEVEN	RBC	5,062.10	1.41	0.00	1.41	90.0	1.27
10/10/2017	SINNESS, ELAINE	RBC	76,222.11	21.14	0.00	21.14	90.0	19.03
10/10/2017	SINNESS, ELAINE	RBC	10,193.59	2.82	0.00	2.82	90.0	2.54
10/10/2017	SINNESS, ELAINE	RBC	114,015.17	31.61	0.00	31.61	90.0	28.45
10/10/2017	POWELL, WILLIAM	RBC	151,945.80	42.13	0.00	42.13	90.0	37.92

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</b>								
10/10/2017	POWELL, JANICE	RBC	87,042.42	24.14	0.00	24.14	90.0	21.73
10/10/2017	LARAMIE, JAMES	RBC	18,370.49	5.10	0.00	5.10	90.0	4.59
10/10/2017	MORRIS, PATRICK	RBC	5,681.59	1.57	0.00	1.57	90.0	1.41
10/10/2017	MORRIS, SANDRA PACKER	RBC	22,197.41	6.16	0.00	6.16	90.0	5.54
10/10/2017	MORRIS, PATRICK	RBC	37,793.73	10.48	0.00	10.48	90.0	9.43
10/10/2017	VESTAL, KATHY	RBC	153,115.11	42.45	0.00	42.45	90.0	38.21
10/10/2017	VESTAL, KATHY	RBC	245,293.85	68.01	0.00	68.01	90.0	61.21
10/10/2017	LARAMIE, ELAINE	RBC	10,173.32	2.82	0.00	2.82	90.0	2.54
10/10/2017	ALEXANDER, MICHELLE	RBC	222,731.81	61.75	0.00	61.75	90.0	55.58
09/12/2017	MORRIS, SANDRA PACKER	RIVERNORTH CORE OPPORTUNITY FUND CL	0.00	0.00	0.00	0.00	90.0	0.00
09/12/2017	HATLESTAD, PATRICK	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.19	0.00	0.19	90.0	0.17
09/12/2017	DONAI, DONALD	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.57	0.00	0.57	90.0	0.51
09/12/2017	DONAI, DONALD	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.16	0.00	0.16	90.0	0.14
09/12/2017	VESTAL, KATHY	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.11	0.00	0.11	90.0	0.10
09/07/2017	LARAMIE, JAMES	SPDR S&P MIDCAP 400 ETF TR UNIT SER	11,555.56	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	SPDR SER TR BARCLAYS HIGH YIELD BD	5,039.48	0.00	0.00	0.00	90.0	0.00
09/12/2017	MORRIS, PATRICK	T ROWE PRICE GROWTH STOCK FUND ADVI	0.00	0.40	0.00	0.40	90.0	0.36
09/12/2017	MORRIS, SANDRA PACKER	T ROWE PRICE GROWTH STOCK FUND ADVI	0.00	0.06	0.00	0.06	90.0	0.05
09/12/2017	MORRIS, PATRICK	T ROWE PRICE INT STOCK - ADVISOR CL	0.00	0.22	0.00	0.22	90.0	0.20
09/12/2017	MORRIS, SANDRA PACKER	T ROWE PRICE INT STOCK - ADVISOR CL	0.00	0.05	0.00	0.05	90.0	0.05
09/12/2017	MORRIS, SANDRA PACKER	TCW DIVIDEND FOCUSED FUND CLASS N	0.00	0.01	0.00	0.01	90.0	0.01
09/07/2017	LARAMIE, JAMES	VANGUARD CHARLOTTE FDS TOTAL INTL B	5,104.78	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	VANGUARD INDEX FDS VANGUARD GROWTH	8,963.07	0.00	2.42	-2.42	90.0	-2.18
09/26/2017	SINNESS, ELAINE	VANGUARD INDEX FDS VANGUARD GROWTH	9,863.99	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	VANGUARD INDEX FDS VANGUARD REIT ET	4,656.86	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FD INC F	57,285.22	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	10,822.00	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	7,221.75	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	28,935.10	0.00	2.42	-2.42	90.0	-2.18
09/12/2017	KALIL, DANIEL	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.05	0.00	0.05	90.0	0.05
09/12/2017	DONAI, RUTH	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.15	0.00	0.15	90.0	0.14
09/12/2017	HATLESTAD, PATRICK	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.36	0.00	0.36	90.0	0.32
<b>* JBB JEN-BRENT-BRION TRU-DONAI, S-EE- SUBTOTAL *</b>			<b>\$4,019,945.61</b>	<b>\$1,035.25</b>	<b>\$55.66</b>	<b>\$979.59</b>		<b>\$881.62</b>

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u></b>								
09/07/2017	HUGGINS, JAMES	***TECK RESOURCES LIMITED	486.00	8.50	3.74	4.76	90.0	4.28
09/07/2017	HUGGINS, JAMES	BRIGHTHOUSE FINL INC COM	106.06	8.50	3.74	4.76	90.0	4.28
09/07/2017	HUGGINS, JAMES	CBS CORP CL B COM	1,461.42	8.66	3.74	4.92	90.0	4.43
08/22/2017	LARAMIE, JAMES	CIRRUS LOGIC INC	-63,258.90	-130.46	-3.74	-126.72	90.0	-114.05
08/22/2017	LARAMIE, JAMES	CIRRUS LOGIC INC	63,258.94	4.59	3.74	0.85	90.0	0.77
08/22/2017	LARAMIE, JAMES	CLAYMORE EXCHANGE TRADED FD TR	-6,194.77	-29.90	-3.74	-26.16	90.0	-23.54
08/22/2017	LARAMIE, JAMES	CLAYMORE EXCHANGE TRADED FD TR	6,194.77	4.59	3.74	0.85	90.0	0.77
08/22/2017	LARAMIE, JAMES	CLAYMORE EXCHANGE-TRADED FD TR GUGG	-43,548.60	-107.36	-3.74	-103.62	90.0	-93.26
08/22/2017	LARAMIE, JAMES	CLAYMORE EXCHANGE-TRADED FD TR GUGG	43,548.59	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	CONSOL ENERGY INC	796.46	8.50	3.74	4.76	90.0	4.28
09/07/2017	HUGGINS, JAMES	DIAMONDBACK ENERGY INC COM	908.03	8.50	3.74	4.76	90.0	4.28
08/22/2017	LARAMIE, JAMES	GUGGENHEIM BULLETSHARES 2017	-35,464.10	-101.75	-3.74	-98.01	90.0	-88.21
08/22/2017	LARAMIE, JAMES	GUGGENHEIM BULLETSHARES 2017	35,464.11	4.59	3.74	0.85	90.0	0.77
08/22/2017	LARAMIE, JAMES	GUGGENHEIM BULLETSHARES 2018	-50,565.10	-126.70	-3.74	-122.96	90.0	-110.66
08/22/2017	LARAMIE, JAMES	GUGGENHEIM BULLETSHARES 2018	50,565.10	4.59	3.74	0.85	90.0	0.77
08/22/2017	LARAMIE, JAMES	GUGGENHEIM S&P 500 EQUAL	-24,105.90	-35.70	-3.74	-31.96	90.0	-28.76
08/22/2017	LARAMIE, JAMES	GUGGENHEIM S&P 500 EQUAL	24,105.88	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	INTERCONTINENTALEXCHANGE GROUP INC	1,090.89	8.50	3.74	4.76	90.0	4.28
08/22/2017	LARAMIE, JAMES	ISHARES CORE S&P SMALL CAP ETF	-11,236.30	-34.71	-3.74	-30.97	90.0	-27.87
08/22/2017	LARAMIE, JAMES	ISHARES CORE S&P SMALL CAP ETF	11,236.31	4.59	3.74	0.85	90.0	0.77
08/22/2017	HUGGINS, JAMES	ISHARES CORE S&P SMALL CAP ETF	-3,316.81	-14.14	-3.74	-10.40	90.0	-9.36
08/22/2017	HUGGINS, JAMES	ISHARES CORE S&P SMALL CAP ETF	3,316.81	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	ISHARES CORE S&P SMALL CAP ETF	15,102.60	44.06	3.74	40.32	90.0	36.29
10/10/2017	KINCAID INDUSTRIES	ISHARES CORE S&P SMALL CAP ETF	8,918.65	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	ISHARES GLOBAL TELECOM ETF	-3,305.09	-14.11	-3.74	-10.37	90.0	-9.33
08/22/2017	LARAMIE, JAMES	ISHARES GLOBAL TELECOM ETF	3,305.09	4.59	3.74	0.85	90.0	0.77
08/22/2017	LARAMIE, JAMES	ISHARES S&P 500 VALUE ETF	-11,206.00	-23.64	-3.74	-19.90	90.0	-17.91
08/22/2017	LARAMIE, JAMES	ISHARES S&P 500 VALUE ETF	11,206.00	4.59	3.74	0.85	90.0	0.77
08/22/2017	HUGGINS, JAMES	ISHARES S&P 500 VALUE ETF	-3,247.25	-13.97	-3.74	-10.23	90.0	-9.21
08/22/2017	HUGGINS, JAMES	ISHARES S&P 500 VALUE ETF	3,247.25	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	ISHARES S&P 500 VALUE ETF	13,775.39	32.04	3.74	28.30	90.0	25.47
10/10/2017	KINCAID INDUSTRIES	ISHARES S&P 500 VALUE ETF	13,415.60	0.00	0.00	0.00	90.0	0.00
09/21/2017	HUGGINS, JAMES	ISHARES S&P SMALL CAP 600	3,282.56	0.00	3.74	-3.74	90.0	-3.37

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u></b>								
09/07/2017	HUGGINS, JAMES	ISHARES TR	32,181.68	89.28	3.74	85.54	90.0	76.99
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	-14,978.60	-35.70	-3.74	-31.96	90.0	-28.76
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	14,978.63	4.59	3.74	0.85	90.0	0.77
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	-4,400.24	-16.70	-3.74	-12.96	90.0	-11.66
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	4,400.24	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	20,076.03	49.41	3.74	45.67	90.0	41.10
10/10/2017	KINCAID INDUSTRIES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	8,942.86	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	-7,461.72	-17.85	-3.74	-14.11	90.0	-12.70
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	7,461.72	4.59	3.74	0.85	90.0	0.77
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	-2,150.50	-11.02	-3.74	-7.28	90.0	-6.55
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	2,150.50	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	9,954.55	17.85	3.74	14.11	90.0	12.70
10/10/2017	KINCAID INDUSTRIES	ISHARES TR BARCLAYS 20+ YR TREAS BD	4,365.78	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	-56,206.40	-96.69	-3.74	-92.95	90.0	-83.66
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	56,206.44	4.59	3.74	0.85	90.0	0.77
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	-16,531.10	-35.70	-3.74	-31.96	90.0	-28.76
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	16,531.08	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	75,462.28	124.95	3.74	121.21	90.0	109.09
10/10/2017	KINCAID INDUSTRIES	ISHARES TR BARCLAYS INTER CR BD FD	15,631.35	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	ISHARES TR CORE S&P MID-CAP ETF	-18,700.70	-27.33	-3.74	-23.59	90.0	-21.23
08/22/2017	LARAMIE, JAMES	ISHARES TR CORE S&P MID-CAP ETF	18,700.73	4.59	3.74	0.85	90.0	0.77
08/22/2017	HUGGINS, JAMES	ISHARES TR CORE S&P MID-CAP ETF	-5,440.56	-17.85	-3.74	-14.11	90.0	-12.70
08/22/2017	HUGGINS, JAMES	ISHARES TR CORE S&P MID-CAP ETF	5,440.56	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	ISHARES TR CORE S&P MID-CAP ETF	25,095.81	35.70	3.74	31.96	90.0	28.76
10/10/2017	KINCAID INDUSTRIES	ISHARES TR CORE S&P MID-CAP ETF	11,065.38	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	ISHARES TR DOW JONES US HEALTH CARE	-10,873.50	-17.85	-3.74	-14.11	90.0	-12.70
08/22/2017	LARAMIE, JAMES	ISHARES TR DOW JONES US HEALTH CARE	10,873.51	4.59	3.74	0.85	90.0	0.77
08/22/2017	LARAMIE, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	-18,706.80	-35.70	-3.74	-31.96	90.0	-28.76
08/22/2017	LARAMIE, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	18,706.75	4.59	3.74	0.85	90.0	0.77
08/22/2017	HUGGINS, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	-5,431.95	-17.85	-3.74	-14.11	90.0	-12.70
08/22/2017	HUGGINS, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	5,431.95	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	25,065.69	41.48	3.74	37.74	90.0	33.97
10/10/2017	KINCAID INDUSTRIES	ISHARES TR IBOXX USD INVT GRADE COR	8,858.40	0.00	0.00	0.00	90.0	0.00

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</b>								
08/22/2017	LARAMIE, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	-11,220.30	-17.85	-3.74	-14.11	90.0	-12.70
08/22/2017	LARAMIE, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	11,220.31	4.59	3.74	0.85	90.0	0.77
08/22/2017	HUGGINS, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	-3,294.00	-14.08	-3.74	-10.34	90.0	-9.31
08/22/2017	HUGGINS, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	3,294.00	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	14,987.94	30.30	3.74	26.56	90.0	23.90
10/10/2017	KINCAID INDUSTRIES	ISHARES TR RUSSELL 1000 GROWTH INDE	6,724.45	0.00	0.00	0.00	90.0	0.00
09/07/2017	HUGGINS, JAMES	ISHARES TR RUSSELL MIDCAP GROWTH IN	3,300.08	14.10	3.74	10.36	90.0	9.32
09/21/2017	HUGGINS, JAMES	ISHARES TR RUSSELL MIDCAP GROWTH IN	112.29	0.00	3.74	-3.74	90.0	-3.37
08/22/2017	LARAMIE, JAMES	ISHARES TR S&P 500 GROWTH ETF	-7,439.60	-17.85	-3.74	-14.11	90.0	-12.70
08/22/2017	LARAMIE, JAMES	ISHARES TR S&P 500 GROWTH ETF	7,439.60	4.59	3.74	0.85	90.0	0.77
08/22/2017	HUGGINS, JAMES	ISHARES TR S&P 500 GROWTH ETF	-2,106.30	-10.86	-3.74	-7.12	90.0	-6.41
08/22/2017	HUGGINS, JAMES	ISHARES TR S&P 500 GROWTH ETF	2,106.30	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	ISHARES TR S&P 500 GROWTH ETF	9,973.59	17.85	3.74	14.11	90.0	12.70
08/22/2017	LARAMIE, JAMES	ISHARES TR S&P 500 INDEX FD	-116,166.00	-89.25	-3.74	-85.51	90.0	-76.96
08/22/2017	LARAMIE, JAMES	ISHARES TR S&P 500 INDEX FD	116,165.98	4.59	3.74	0.85	90.0	0.77
08/22/2017	HUGGINS, JAMES	ISHARES TR S&P 500 INDEX FD	-34,043.80	-35.70	-3.74	-31.96	90.0	-28.76
08/22/2017	HUGGINS, JAMES	ISHARES TR S&P 500 INDEX FD	34,043.83	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	ISHARES TR S&P 500 INDEX FD	155,962.86	124.95	3.74	121.21	90.0	109.09
10/10/2017	KINCAID INDUSTRIES	ISHARES TR S&P 500 INDEX FD	67,087.27	0.00	0.00	0.00	90.0	0.00
09/07/2017	HUGGINS, JAMES	ISHARES TRUST	2,300.46	11.53	3.74	7.79	90.0	7.01
09/07/2017	HUGGINS, JAMES	JETBLUE AIRWAYS CORP COM	3,010.16	18.15	3.74	14.41	90.0	12.97
09/07/2017	HUGGINS, JAMES	LEAR CORPORATION	1,515.13	8.85	3.74	5.11	90.0	4.60
09/07/2017	HUGGINS, JAMES	LOCKHEED MARTIN CORP COM	3,015.50	13.43	3.74	9.69	90.0	8.72
09/07/2017	HUGGINS, JAMES	MAGELLAN MIDSTREAM PARTNERS LP UNIT	2,189.45	11.15	3.74	7.41	90.0	6.67
09/07/2017	HUGGINS, JAMES	MARTIN MARIETTA MATERIALS INC	1,450.70	8.63	3.74	4.89	90.0	4.40
09/07/2017	HUGGINS, JAMES	METLIFE INC COM	1,168.88	8.50	3.74	4.76	90.0	4.28
09/07/2017	HUGGINS, JAMES	MGM RESORTS INTL COM	2,148.46	11.01	3.74	7.27	90.0	6.54
09/07/2017	HUGGINS, JAMES	MONSANTO CO NEW COM	2,349.25	11.69	3.74	7.95	90.0	7.16
09/07/2017	HUGGINS, JAMES	OWENS ILLINOIS INC	1,000.55	8.50	3.74	4.76	90.0	4.28
10/10/2017	KINCAID INDUSTRIES	PERSHING	229,945.77	49.26	0.00	49.26	90.0	44.33
10/10/2017	KINCAID INDUSTRIES	PERSHING	63,374.78	13.58	0.00	13.58	90.0	12.22
08/22/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	-15,000.60	-55.22	-3.74	-51.48	90.0	-46.33
08/22/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	15,000.61	4.59	3.74	0.85	90.0	0.77

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<b><u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u></b>								
08/22/2017	HUGGINS, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	-4,406.91	-22.44	-3.74	-18.70	90.0	-16.83
08/22/2017	HUGGINS, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	4,406.91	4.59	3.74	0.85	90.0	0.77
08/22/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	-5,885.25	-28.83	-3.74	-25.09	90.0	-22.58
08/22/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	5,885.25	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	20,111.48	70.40	3.74	66.66	90.0	59.99
10/10/2017	KINCAID INDUSTRIES	POWERSHARES GLOBAL EXCHANGE TRADED	8,950.72	0.00	0.00	0.00	90.0	0.00
10/10/2017	PATOROS, LORI	RBC	101,162.48	43.35	0.00	43.35	90.0	39.02
10/10/2017	PATOROS, JOHN	RBC	163,261.07	69.96	0.00	69.96	90.0	62.96
10/10/2017	LARAMIE, JAMES	RBC	392,785.65	168.31	0.00	168.31	90.0	151.48
10/10/2017	HUGGINS, JAMES	RBC	689,328.51	295.37	0.00	295.37	90.0	265.83
10/10/2017	HUGGINS, JAMES	RBC	46,392.32	19.88	0.00	19.88	90.0	17.89
10/10/2017	HUGGINS, JAMES	RBC	115,227.65	49.37	0.00	49.37	90.0	44.43
09/12/2017	PATOROS, JOHN	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.33	0.00	0.33	90.0	0.30
09/07/2017	HUGGINS, JAMES	SCHWAB CHARLES CORP NEW COM	1,985.89	10.46	3.74	6.72	90.0	6.05
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR	-20,356.30	-65.92	-3.74	-62.18	90.0	-55.96
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR	20,356.35	4.59	3.74	0.85	90.0	0.77
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR EMERGING MKTS E	-7,225.16	-32.04	-3.74	-28.30	90.0	-25.47
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR EMERGING MKTS E	7,225.16	4.59	3.74	0.85	90.0	0.77
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR INTERMEDIATE-TE	-43,566.00	-102.62	-3.74	-98.88	90.0	-88.99
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR INTERMEDIATE-TE	43,565.96	4.59	3.74	0.85	90.0	0.77
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR INTL EQUITY ETF	-22,227.30	-73.05	-3.74	-69.31	90.0	-62.38
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR INTL EQUITY ETF	22,227.29	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	SCHWAB STRATEGIC TR US LARGE-CAP GR	3,533.23	14.65	3.74	10.91	90.0	9.82
09/07/2017	HUGGINS, JAMES	SELECT SECTOR SPDR TR CONSUMER DISC	1,797.45	9.81	3.74	6.07	90.0	5.46
09/07/2017	HUGGINS, JAMES	SELECT SECTOR SPDR TR FINANCIAL	2,381.50	12.33	3.74	8.59	90.0	7.73
09/07/2017	HUGGINS, JAMES	SELECT SECTOR SPDR TR MATLS	2,686.18	12.65	3.74	8.91	90.0	8.02
09/07/2017	HUGGINS, JAMES	SELECT SECTOR SPDR TRUST	1,832.83	9.93	3.74	6.19	90.0	5.57
09/07/2017	HUGGINS, JAMES	SPDR S&P 500 ETF TR TR UNIT	1,726.62	9.57	3.74	5.83	90.0	5.25
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	-9,120.81	-17.85	0.00	-17.85	90.0	-16.07
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	9,120.81	4.59	0.00	4.59	90.0	4.13
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	-9,647.58	-17.85	0.00	-17.85	90.0	-16.07
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	9,647.58	4.59	0.00	4.59	90.0	4.13
09/07/2017	HUGGINS, JAMES	STEEL DYNAMICS INC COM	2,788.18	12.89	3.74	9.15	90.0	8.24

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<b><u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u></b>								
09/07/2017	HUGGINS, JAMES	U S CONCRETE INC COM NEW	2,323.50	11.61	3.74	7.87	90.0	7.08
09/07/2017	HUGGINS, JAMES	UNITED PARCEL SVC INC CL B	1,599.26	9.13	3.74	5.39	90.0	4.85
09/07/2017	HUGGINS, JAMES	UNITED RENTALS INC COM	1,827.38	9.91	3.74	6.17	90.0	5.55
09/07/2017	HUGGINS, JAMES	USG CORP (NEW) COMMON STOCK	1,127.79	8.50	3.74	4.76	90.0	4.28
10/10/2017	KINCAID INDUSTRIES	VANGUARD INDEX FDS VANGUARD GROWTH	11,159.19	0.00	0.00	0.00	90.0	0.00
09/07/2017	HUGGINS, JAMES	VANGUARD INDEX FUNDS SMALLCAP GROWT	3,076.29	13.57	3.74	9.83	90.0	8.85
08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	-22,454.10	-73.83	-3.74	-70.09	90.0	-63.08
08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	22,454.14	4.59	3.74	0.85	90.0	0.77
08/22/2017	HUGGINS, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	-6,620.97	-28.53	-3.74	-24.79	90.0	-22.31
08/22/2017	HUGGINS, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	6,620.97	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	30,186.59	84.94	3.74	81.20	90.0	73.08
10/10/2017	KINCAID INDUSTRIES	VANGUARD INTL EQUITY INDEX FDS MSCI	13,411.06	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	-18,710.60	-49.37	-3.74	-45.63	90.0	-41.07
08/22/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	18,710.57	4.59	3.74	0.85	90.0	0.77
08/22/2017	HUGGINS, JAMES	VANGUARD SCOTTSDALE FUNDS	-5,457.89	-17.85	-3.74	-14.11	90.0	-12.70
08/22/2017	HUGGINS, JAMES	VANGUARD SCOTTSDALE FUNDS	5,457.89	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	VANGUARD SCOTTSDALE FUNDS	25,088.93	61.30	3.74	57.56	90.0	51.80
10/10/2017	KINCAID INDUSTRIES	VANGUARD SCOTTSDALE FUNDS	8,919.47	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	VANGUARD SPECIALIZED PORTFOLIOS DIV	-10,667.80	-26.28	-3.74	-22.54	90.0	-20.29
08/22/2017	LARAMIE, JAMES	VANGUARD SPECIALIZED PORTFOLIOS DIV	10,667.79	4.59	3.74	0.85	90.0	0.77
08/22/2017	LARAMIE, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	-45,000.70	-107.50	-3.74	-103.76	90.0	-93.38
08/22/2017	LARAMIE, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	45,000.73	4.59	3.74	0.85	90.0	0.77
08/22/2017	HUGGINS, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	-13,246.10	-48.66	-3.74	-44.92	90.0	-40.43
08/22/2017	HUGGINS, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	13,246.09	4.59	3.74	0.85	90.0	0.77
09/07/2017	HUGGINS, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	60,383.83	129.34	3.74	125.60	90.0	113.04
10/10/2017	KINCAID INDUSTRIES	VANGUARD TAX-MANAGED INTL FD FTSE D	35,836.57	0.00	0.00	0.00	90.0	0.00
09/07/2017	HUGGINS, JAMES	VULCAN MATLS CO COM	2,611.68	12.47	3.74	8.73	90.0	7.86
<b>* BJB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *</b>			<b>\$2,621,164.46</b>	<b>\$283.29</b>	<b>\$179.52</b>	<b>\$103.77</b>		<b>\$93.56</b>
<b><u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u></b>								
09/26/2017	HEEN, JEREMY	AM FUNDS AMCAP A	-765.04	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, KRISTA	AM FUNDS AMCAP A	-4,525.71	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC A	-1,754.84	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, KRISTA	AM FUNDS CAPITAL WORLD GRTH & INC A	-8,963.92	0.00	0.00	0.00	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u></b>								
09/26/2017	HEEN, JEREMY	AM FUNDS ICA A	-2,026.28	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, KRISTA	AM FUNDS ICA A	-10,503.32	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, JEREMY	AM FUNDS INCOME FUND OF AMERICA A	-1,980.09	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, KRISTA	AM FUNDS INCOME FUND OF AMERICA A	-11,163.83	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, JEREMY	AM FUNDS NEW WORLD A	-577.91	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, KRISTA	AM FUNDS NEW WORLD A	-3,303.83	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	CATALYST HEDGED FUTURES STRATEGY FU	1,674.00	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	ISHARES TR CORE TOTAL U S BD MKT ET	327.93	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	ISHARES TR CORE TOTAL U S BD MKT ET	765.17	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	ISHARES TR CORE TOTAL U S BD MKT ET	765.17	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	ISHARES TR CORE TOTAL U S BD MKT ET	109.31	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	ISHARES TR CORE TOTAL U S BD MKT ET	218.62	0.00	0.00	0.00	90.0	0.00
10/06/2017	RETZLAFF, SANDRA	ISHARES TR CORE TOTAL U S BD MKT ET	109.31	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	ISHARES TR CORE TOTAL U S BD MKT ET	1,202.41	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, JEREMY	ISHARES TR RUSSELL 2000 INDEX FD	295.10	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	ISHARES TR RUSSELL 2000 INDEX FD	6,197.10	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	ISHARES TR RUSSELL 2000 INDEX FD	1,035.02	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	ISHARES TR RUSSELL 2000 INDEX FD	-599.20	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	ISHARES TR RUSSELL 2000 INDEX FD	-1,947.40	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	ISHARES TR RUSSELL 2000 INDEX FD	-299.60	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	ISHARES TR RUSSELL 2000 INDEX FD	-149.80	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	ISHARES TR RUSSELL 2000 INDEX FD	-2,396.80	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, JEREMY	ISHARES TR S&P 500 INDEX FD	1,259.04	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	ISHARES TR S&P 500 INDEX FD	19,640.99	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	ISHARES TR S&P 500 INDEX FD	3,023.88	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	ISHARES TR S&P 500 INDEX FD	-1,278.80	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	ISHARES TR S&P 500 INDEX FD	-4,347.92	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	ISHARES TR S&P 500 INDEX FD	-2,046.08	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	ISHARES TR S&P 500 INDEX FD	-511.52	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	ISHARES TR S&P 500 INDEX FD	-511.52	0.00	0.00	0.00	90.0	0.00
10/06/2017	RETZLAFF, SANDRA	ISHARES TR S&P 500 INDEX FD	-511.52	0.00	0.00	0.00	90.0	0.00
10/06/2017	SKAARE, LARRY	ISHARES TR S&P 500 INDEX FD	-255.76	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	ISHARES TR S&P 500 INDEX FD	-4,859.44	0.00	0.00	0.00	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
10/10/2017	RASMUSSEN, CLINTON	JEFFERSON NATIONAL	364,756.52	455.95	0.00	455.95	90.0	410.36
09/21/2017	RUFFIE, TWYLA	OPPENHEIMER MAIN ST SELECT C	0.00	0.09	0.00	0.09	90.0	0.08
10/02/2017	HEEN, JEREMY	PERSHING	0.00	0.45	0.00	0.45	90.0	0.41
10/02/2017	HEEN, KRISTA	PERSHING	0.00	6.53	0.00	6.53	90.0	5.88
10/10/2017	KUHN, KENNETH	PERSHING	62,821.80	79.17	0.00	79.17	90.0	71.25
10/10/2017	RASMUSSEN, CLINTON	PERSHING	103,454.80	130.38	0.00	130.38	90.0	117.34
10/10/2017	RASMUSSEN, CLINTON	PERSHING	93,467.47	117.79	0.00	117.79	90.0	106.01
10/10/2017	RASMUSSEN, PAMELA	PERSHING	27,862.14	35.11	0.00	35.11	90.0	31.60
10/10/2017	RASMUSSEN, PAMELA	PERSHING	19,809.03	24.97	0.00	24.97	90.0	22.47
10/10/2017	FREIH, DIANE	PERSHING	62,602.99	78.89	0.00	78.89	90.0	71.00
10/10/2017	KRINGEN, MARK	PERSHING	394,067.63	337.71	0.00	337.71	90.0	303.94
10/10/2017	KEMPER, JEROME	PERSHING	235,270.77	296.51	0.00	296.51	90.0	266.86
10/10/2017	LEE, DONALD	PERSHING	136,674.07	172.25	0.00	172.25	90.0	155.03
10/10/2017	LEE, CONNIE	PERSHING	53,513.06	67.44	0.00	67.44	90.0	60.70
10/10/2017	LEE, CONNIE	PERSHING	15,445.08	19.47	0.00	19.47	90.0	17.52
10/10/2017	MONETTE, PEGGY	PERSHING	223,434.25	281.59	0.00	281.59	90.0	253.43
10/10/2017	MONETTE, PEGGY	PERSHING	40,525.25	51.07	0.00	51.07	90.0	45.96
10/10/2017	RETZLAFF, SANDRA	PERSHING	135,811.65	171.16	0.00	171.16	90.0	154.04
10/10/2017	RETZLAFF, SANDRA	PERSHING	26,555.98	33.47	0.00	33.47	90.0	30.12
10/10/2017	SKAARE, LARRY	PERSHING	32,889.32	48.08	0.00	48.08	90.0	43.27
10/10/2017	SKAARE, LARRY	PERSHING	208,550.36	304.89	0.00	304.89	90.0	274.40
10/10/2017	SKAARE, LARRY	PERSHING	19,011.17	27.79	0.00	27.79	90.0	25.01
10/10/2017	RUFFIE, DAVID	PERSHING	265,282.44	387.82	0.00	387.82	90.0	349.04
10/10/2017	RUFFIE, TWYLA	PERSHING	8,898.32	13.01	0.00	13.01	90.0	11.71
10/10/2017	HEEN, JEREMY	PERSHING	22,784.83	33.31	0.00	33.31	90.0	29.98
10/10/2017	HEEN, KRISTA	PERSHING	103,288.21	151.00	0.00	151.00	90.0	135.90
09/27/2017	HEEN, JEREMY	POWERSHARES DB COMMODITY INDEX TRAC	232.77	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	POWERSHARES DB COMMODITY INDEX TRAC	3,569.12	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	POWERSHARES DB COMMODITY INDEX TRAC	556.79	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	POWERSHARES DB COMMODITY INDEX TRAC	-91.22	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	POWERSHARES DB COMMODITY INDEX TRAC	-15.20	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	POWERSHARES DB COMMODITY INDEX TRAC	15.21	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	POWERSHARES DB COMMODITY INDEX TRAC	-106.42	0.00	0.00	0.00	90.0	0.00

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
09/27/2017	HEEN, JEREMY	POWERSHARES EXCHANGE-TRADED FD TR I	161.75	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	POWERSHARES EXCHANGE-TRADED FD TR I	2,541.72	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	POWERSHARES EXCHANGE-TRADED FD TR I	393.15	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	POWERSHARES EXCHANGE-TRADED FD TR I	115.83	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	POWERSHARES EXCHANGE-TRADED FD TR I	347.50	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	POWERSHARES EXCHANGE-TRADED FD TR I	185.33	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	POWERSHARES EXCHANGE-TRADED FD TR I	46.33	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	POWERSHARES EXCHANGE-TRADED FD TR I	23.17	0.00	0.00	0.00	90.0	0.00
10/06/2017	RETZLAFF, SANDRA	POWERSHARES EXCHANGE-TRADED FD TR I	23.17	0.00	0.00	0.00	90.0	0.00
10/06/2017	SKAARE, LARRY	POWERSHARES EXCHANGE-TRADED FD TR I	46.33	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	POWERSHARES EXCHANGE-TRADED FD TR I	486.50	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, TWYLA	POWERSHARES EXCHANGE-TRADED FD TR I	23.17	0.00	0.00	0.00	90.0	0.00
10/11/2017	DEAVER, ROSS	SEI	34,134.04	46.08	0.00	46.08	90.0	41.47
10/11/2017	ERICKSTAD, SAMUEL	SEI	64,747.79	87.41	0.00	87.41	90.0	78.67
10/11/2017	HARSHBARGER, RORY	SEI	85,240.40	115.07	0.00	115.07	90.0	103.56
10/11/2017	HEEN, JEREMY	SEI	235,374.37	317.75	0.00	317.75	90.0	285.98
10/11/2017	JORGENSEN, BLAINE	SEI	436,321.18	436.32	0.00	436.32	90.0	392.69
10/11/2017	JORGENSEN, BLAINE	SEI	2,686,201.45	805.86	0.00	805.86	90.0	725.27
10/11/2017	KASMER, JOHN	SEI	260,637.60	31.45	0.00	31.45	90.0	28.31
10/11/2017	KASMER, TRACEY	SEI	57,498.04	6.93	0.00	6.93	90.0	6.24
10/11/2017	MARISTUEN, JON	SEI	3,571.22	4.47	0.00	4.47	90.0	4.02
10/11/2017	PACHECO, MICHAEL	SEI	7,581.57	10.23	0.00	10.23	90.0	9.21
10/11/2017	RETZLAFF, SANDRA	SEI	17,329.03	5.20	0.00	5.20	90.0	4.68
10/11/2017	SKAARE, LARRY	SEI	20,409.21	6.13	0.00	6.13	90.0	5.52
10/11/2017	SMITH, AUSTIN	SEI	21,004.44	28.35	0.00	28.35	90.0	25.52
10/11/2017	HAUGEN, PATRICK	SEI	179,201.13	241.93	0.00	241.93	90.0	217.74
10/11/2017	HAUGEN, PATRICK	SEI	108,983.18	147.13	0.00	147.13	90.0	132.42
10/11/2017	LYNDE, STEVEN	SEI	51,159.21	63.95	0.00	63.95	90.0	57.56
10/11/2017	LYNDE, LANA	SEI	22,687.65	28.36	0.00	28.36	90.0	25.52
10/11/2017	FREIH, DIANE	SEI	151,312.86	204.27	0.00	204.27	90.0	183.84
10/11/2017	GRANT, GREGORY	SEI	76,132.03	114.20	0.00	114.20	90.0	102.78
10/11/2017	GRANT, KATHLEEN	SEI	52,848.44	79.27	0.00	79.27	90.0	71.34
10/11/2017	JOHNSON, SHARI	SEI	16,157.80	21.81	0.00	21.81	90.0	19.63

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
10/11/2017	JOHNSON, BRAD	SEI	16,991.47	22.94	0.00	22.94	90.0	20.65
10/11/2017	JOHNSON, BRAD	SEI	2,309.01	3.11	0.00	3.11	90.0	2.80
10/11/2017	JOHNSON, BRAD	SEI	27,739.75	37.45	0.00	37.45	90.0	33.71
10/11/2017	JOHNSON, SHARI	SEI	18,267.58	24.66	0.00	24.66	90.0	22.19
10/11/2017	KRINGEN, MARK	SEI	1,242,836.41	372.85	0.00	372.85	90.0	335.57
10/11/2017	MARISTUEN, JON	SEI	189,919.08	256.39	0.00	256.39	90.0	230.75
09/27/2017	HEEN, JEREMY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	325.14	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	SPDR S&P MIDCAP 400 ETF TR UNIT SER	8,128.53	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	1,302.00	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-330.14	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-1,320.56	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-660.28	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-1,320.56	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, JEREMY	SPDR SER TR BARCLAYS HIGH YIELD BD	484.85	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	SPDR SER TR BARCLAYS HIGH YIELD BD	7,123.63	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	SPDR SER TR BARCLAYS HIGH YIELD BD	1,081.31	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	SPDR SER TR BARCLAYS HIGH YIELD BD	37.17	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	SPDR SER TR BARCLAYS HIGH YIELD BD	111.51	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	SPDR SER TR BARCLAYS HIGH YIELD BD	74.34	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	SPDR SER TR BARCLAYS HIGH YIELD BD	37.17	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	SPDR SER TR BARCLAYS HIGH YIELD BD	148.68	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	VANGUARD CHARLOTTE FDS TOTAL INTL B	54.47	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	VANGUARD CHARLOTTE FDS TOTAL INTL B	108.93	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	VANGUARD CHARLOTTE FDS TOTAL INTL B	108.93	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	VANGUARD CHARLOTTE FDS TOTAL INTL B	163.40	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, JEREMY	VANGUARD INDEX FDS VANGUARD REIT ET	164.60	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	VANGUARD INDEX FDS VANGUARD REIT ET	2,551.30	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	VANGUARD INDEX FDS VANGUARD REIT ET	330.44	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	VANGUARD INDEX FDS VANGUARD REIT ET	83.10	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	VANGUARD INDEX FDS VANGUARD REIT ET	83.10	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, JEREMY	VANGUARD INTL EQUITY INDEX FD INC F	3,451.77	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	VANGUARD INTL EQUITY INDEX FD INC F	50,103.04	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	VANGUARD INTL EQUITY INDEX FD INC F	7,597.51	0.00	0.00	0.00	90.0	0.00

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u></b>								
10/06/2017	FREIH, DIANE	VANGUARD INTL EQUITY INDEX FD INC F	1,636.07	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	VANGUARD INTL EQUITY INDEX FD INC F	4,644.33	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	VANGUARD INTL EQUITY INDEX FD INC F	1,899.95	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	VANGUARD INTL EQUITY INDEX FD INC F	316.66	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	VANGUARD INTL EQUITY INDEX FD INC F	474.99	0.00	0.00	0.00	90.0	0.00
10/06/2017	RETZLAFF, SANDRA	VANGUARD INTL EQUITY INDEX FD INC F	369.44	0.00	0.00	0.00	90.0	0.00
10/06/2017	SKAARE, LARRY	VANGUARD INTL EQUITY INDEX FD INC F	369.44	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	VANGUARD INTL EQUITY INDEX FD INC F	6,544.29	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, TWYLA	VANGUARD INTL EQUITY INDEX FD INC F	263.88	0.00	0.00	0.00	90.0	0.00
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			<b>\$8,819,813.43</b>	<b>\$6,845.47</b>	<b>\$0.00</b>	<b>\$6,845.47</b>		<b>\$6,160.95</b>
<b><u>BROKER: TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L #336</u></b>								
10/10/2017	HENNEN, ANN	PERSHING	21,864.59	10.39	0.00	10.39	90.0	9.35
10/10/2017	HENNEN, STUART	PERSHING	20,311.82	9.65	0.00	9.65	90.0	8.69
10/10/2017	HENNEN, ANN	PERSHING	517,881.44	246.06	0.00	246.06	90.0	221.45
10/11/2017	LOOMIS, MATT	SEI	10,480.28	4.26	0.00	4.26	90.0	3.83
* TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L SUBTOTAL *			<b>\$570,538.13</b>	<b>\$270.36</b>	<b>\$0.00</b>	<b>\$270.36</b>		<b>\$243.32</b>
<b><u>BROKER: L139-BRE/BRI/JEN/TY TRU-LEE/NOR/DON #358</u></b>								
10/11/2017	SORENSEN, CURTIS	SEI	806,717.74	199.66	0.00	199.66	90.0	179.69
* L139-BRE/BRI/JEN/TY TRU-LEE/NOR/DON SUBTOTAL *			<b>\$806,717.74</b>	<b>\$199.66</b>	<b>\$0.00</b>	<b>\$199.66</b>		<b>\$179.69</b>
*** SPLIT TRANSACTIONS TOTAL ***			<b>\$18,714,485.68</b>	<b>\$11,228.56</b>	<b>\$235.18</b>	<b>\$10,993.38</b>		<b>\$9,894.23</b>

**ADJUSTMENTS**

10/15/2017	*MISC: BBJ 10/15 CANCEL/REBILL	0.00						-0.08
10/15/2017	*MISC: BJB 10/15 CANCEL/REBILL	0.00						-1,045.26
10/15/2017	*MISC: BJB 9/15 CANCEL/REBILLS DONE	0.00						1,583.12
10/15/2017	*MISC: JBB 10/15 CANCEL/REBILL	0.00						-7.43
*** ADJUSTMENTS TOTAL ***		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$530.35</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$425.29
Split Transactions	\$9,894.23
Override Transactions	\$0.00
Adjustments	\$530.35
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,849.87
Year-to-date Gross	\$46,332.59
Year-to-date Earnings	\$40,509.47