



COMMISSION STATEMENT

January 08, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/20/2017	***-**-716I - PAPST, JASON	AMCAP FUND-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 3 Units @32.6700						
12/29/2017	***-**-761I - HUSTEK, PETER	AMCAP FUND-A	32.11	0.64	0.00	0.64	80.0	0.51
	ACCOUNT #: 00087410644	BUY 1 Units @32.3000						
12/29/2017	***-**-761I - HUSTEK, PETER	AMCAP FUND-A	32.11	0.64	0.00	0.64	80.0	0.51
	ACCOUNT #: 00087410644	BUY 1 Units @32.3000						
01/02/2018	***-**-593X - WOODY, ROBERT	AMERICAN 2020 TARGET DATE RETIREMNT	305.00	3.05	3.00	0.05	80.0	0.04
	ACCOUNT #: LSR010802	BUY 24 Units @12.4500						
01/02/2018	***-**-325X - WARD, JAMES	AMERICAN 2035 TARGET DATE RETIREMNT	100.01	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010789	BUY 7 Units @14.7500						
01/02/2018	***-**-007X - MONACO, CARMINE	AMERICAN 2035 TARGET DATE RETIREMNT	1,325.01	13.25	3.00	10.25	80.0	8.20
	ACCOUNT #: LSR010800	BUY 90 Units @14.7500						
01/02/2018	***-**-310X - HARDWICK, TODD	AMERICAN 2035 TARGET DATE RETIREMNT	90.00	0.90	3.00	-2.10	100.0	-2.10
	ACCOUNT #: LSR011310	BUY 6 Units @14.7500						
12/21/2017	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.6700						
12/21/2017	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.6700						
12/21/2017	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.6700						
12/21/2017	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.6700						
12/21/2017	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.6700						
12/21/2017	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.6700						
12/21/2017	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.6700						
12/26/2017	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.6800						
12/26/2017	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.6800						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
12/26/2017	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.6800						
12/26/2017	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.6800						
12/26/2017	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.6800						
12/26/2017	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.6800						
12/26/2017	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.6800						
12/26/2017	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00083064667	BUY 1 Units @28.1100						
12/26/2017	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	32.76	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 00083064667	BUY 1 Units @28.1100						
12/26/2017	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	46.39	1.28	0.00	1.28	80.0	1.02
	ACCOUNT #: 04000563712	BUY 2 Units @28.1100						
12/26/2017	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	154.64	4.25	0.00	4.25	80.0	3.40
	ACCOUNT #: 04000563712	BUY 6 Units @28.1100						
12/29/2017	***-**-0514 - SMITLEY, DONALD	AMERICAN BALANCED FUND-A	118.32	2.37	0.00	2.37	80.0	1.90
	ACCOUNT #: 00089895362	BUY 4 Units @27.8500						
12/29/2017	***-**-0514 - SMITLEY, DONALD	AMERICAN BALANCED FUND-A	70.99	1.42	0.00	1.42	80.0	1.14
	ACCOUNT #: 00089895362	BUY 3 Units @27.8500						
12/29/2017	***-**-0891 - RAFAEL, MANUEL	AMERICAN FUNDS 2015 TARGET DATE-A	215.87	4.32	0.00	4.32	80.0	3.46
	ACCOUNT #: 00084671422	BUY 18 Units @11.8900						
12/29/2017	***-**-0891 - RAFAEL, MANUEL	AMERICAN FUNDS 2015 TARGET DATE-A	107.93	2.16	0.00	2.16	80.0	1.73
	ACCOUNT #: 00084671422	BUY 9 Units @11.8900						
12/29/2017	***-**-8521 - ECKER, DAVID	AMERICAN FUNDS 2015 TARGET DATE-A	1,442.30	28.85	0.00	28.85	80.0	23.08
	ACCOUNT #: 00084671526	BUY 121 Units @11.8900						
12/29/2017	***-**-8521 - ECKER, DAVID	AMERICAN FUNDS 2015 TARGET DATE-A	432.70	8.65	0.00	8.65	80.0	6.92
	ACCOUNT #: 00084671526	BUY 36 Units @11.8900						
12/26/2017	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.78	0.57	0.00	0.57	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.3100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/26/2017	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00087513340	BUY 2 Units @13.3100						
12/29/2017	***-**-3575 - LUCARELLI, DONNA	AMERICAN FUNDS 2025 TARGET DATE-A	92.64	1.85	0.00	1.85	80.0	1.48
	ACCOUNT #: 00085997645	BUY 7 Units @13.7800						
12/29/2017	***-**-3575 - LUCARELLI, DONNA	AMERICAN FUNDS 2025 TARGET DATE-A	92.64	1.85	0.00	1.85	80.0	1.48
	ACCOUNT #: 00085997645	BUY 7 Units @13.7800						
12/29/2017	***-**-3231 - CAIZA, HILDA	AMERICAN FUNDS 2025 TARGET DATE-A	79.44	1.59	0.00	1.59	80.0	1.27
	ACCOUNT #: 00085997891	BUY 6 Units @13.7800						
12/29/2017	***-**-3231 - CAIZA, HILDA	AMERICAN FUNDS 2025 TARGET DATE-A	105.91	2.12	0.00	2.12	80.0	1.70
	ACCOUNT #: 00085997891	BUY 8 Units @13.7800						
01/02/2018	***-**-968X - GAW, LELAND	AMERICAN FUNDS 2030 TARGET DATE-C	1,220.00	12.20	3.00	9.20	80.0	7.36
	ACCOUNT #: LSR010777	BUY 85 Units @14.3000						
01/02/2018	***-**-552X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	800.00	8.00	3.00	5.00	80.0	4.00
	ACCOUNT #: LSR010780	BUY 56 Units @14.3000						
01/02/2018	***-**-558X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	100.00	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010788	BUY 7 Units @14.3000						
12/26/2017	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	43.27	1.19	0.00	1.19	80.0	0.95
	ACCOUNT #: 04000563724	BUY 3 Units @15.7100						
12/26/2017	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	72.12	1.98	0.00	1.98	80.0	1.58
	ACCOUNT #: 04000563724	BUY 5 Units @15.7100						
12/29/2017	***-**-111I - OJA, MARISSA	AMERICAN FUNDS 2035 TARGET DATE-A	53.55	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00087410497	BUY 4 Units @15.2200						
12/29/2017	***-**-111I - OJA, MARISSA	AMERICAN FUNDS 2035 TARGET DATE-A	53.55	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00087410497	BUY 4 Units @15.2200						
12/29/2017	***-**-2796 - ESTRELLA, JUDITH	AMERICAN FUNDS 2040 TARGET DATE-A	264.00	5.28	0.00	5.28	80.0	4.22
	ACCOUNT #: 00084671437	BUY 17 Units @15.5900						
12/29/2017	***-**-2796 - ESTRELLA, JUDITH	AMERICAN FUNDS 2040 TARGET DATE-A	132.00	2.64	0.00	2.64	80.0	2.11
	ACCOUNT #: 00084671437	BUY 8 Units @15.5900						
12/29/2017	***-**-6980 - ANDRADE,	AMERICAN FUNDS 2045 TARGET DATE-A	138.48	2.77	0.00	2.77	80.0	2.22
	ACCOUNT #: 00084670745	BUY 9 Units @15.8300						
12/29/2017	***-**-6980 - ANDRADE,	AMERICAN FUNDS 2045 TARGET DATE-A	138.48	2.77	0.00	2.77	80.0	2.22
	ACCOUNT #: 00084670745	BUY 9 Units @15.8300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/29/2017	***-**-6931 - MILANO, ANTHONY ACCOUNT #: 00084671439	AMERICAN FUNDS 2045 TARGET DATE-A BUY 6 Units @15.8300	93.51	1.87	0.00	1.87	80.0	1.50
12/29/2017	***-**-6931 - MILANO, ANTHONY ACCOUNT #: 00084671439	AMERICAN FUNDS 2045 TARGET DATE-A BUY 6 Units @15.8300	93.51	1.87	0.00	1.87	80.0	1.50
12/26/2017	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 12 Units @15.9800	187.00	5.14	0.00	5.14	80.0	4.11
12/26/2017	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @15.9800	44.09	1.21	0.00	1.21	80.0	0.97
12/26/2017	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @15.9800	48.83	1.34	0.00	1.34	80.0	1.07
12/26/2017	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @15.9800	100.00	2.75	0.00	2.75	80.0	2.20
12/29/2017	***-**-6979 - ECKER, ASHLEY ACCOUNT #: 00084671531	AMERICAN FUNDS 2050 TARGET DATE-A BUY 19 Units @15.4900	298.05	5.96	0.00	5.96	80.0	4.77
12/29/2017	***-**-6979 - ECKER, ASHLEY ACCOUNT #: 00084671531	AMERICAN FUNDS 2050 TARGET DATE-A BUY 19 Units @15.4900	298.05	5.96	0.00	5.96	80.0	4.77
12/29/2017	***-**-838I - TYSZKA, SEAN ACCOUNT #: 04000234338	AMERICAN FUNDS 2060 TARGET DATE-A BUY 1 Units @12.6900	18.98	0.38	0.00	0.38	80.0	0.30
12/29/2017	***-**-838I - TYSZKA, SEAN ACCOUNT #: 04000234338	AMERICAN FUNDS 2060 TARGET DATE-A BUY 1 Units @12.6900	18.98	0.38	0.00	0.38	80.0	0.30
12/29/2017	***-**-1540 - FROSCENO, KAYLA ACCOUNT #: 04000234351	AMERICAN FUNDS 2060 TARGET DATE-A BUY 4 Units @12.6900	49.51	0.99	0.00	0.99	80.0	0.79
12/29/2017	***-**-1540 - FROSCENO, KAYLA ACCOUNT #: 04000234351	AMERICAN FUNDS 2060 TARGET DATE-A BUY 3 Units @12.6900	37.14	0.74	0.00	0.74	80.0	0.59
12/18/2017	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3600	32.77	0.33	0.00	0.33	80.0	0.26
12/18/2017	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3600	35.00	0.35	0.00	0.35	80.0	0.28
12/18/2017	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3600	33.28	0.33	0.00	0.33	80.0	0.26
12/18/2017	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3600	35.00	0.35	0.00	0.35	80.0	0.28



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
12/18/2017	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.3600						
12/18/2017	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.3600						
12/18/2017	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.3600						
12/18/2017	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.3600						
12/26/2017	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.3500						
12/26/2017	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.3500						
12/26/2017	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	35.00	0.35	0.00	0.35	80.0	0.28
	ACCOUNT #: 00085621852	BUY 2 Units @15.3500						
12/26/2017	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	32.55	0.33	0.00	0.33	80.0	0.26
	ACCOUNT #: 00085621852	BUY 2 Units @15.3500						
12/29/2017	***-**-88SI - CROSSMAN, ROBERT	AMERICAN HIGH-INCOME TRUST-A	30.00	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 00086854812	BUY 3 Units @10.6400						
12/29/2017	***-**-88SI - CROSSMAN, ROBERT	AMERICAN HIGH-INCOME TRUST-A	70.00	1.40	0.00	1.40	80.0	1.12
	ACCOUNT #: 00086854812	BUY 7 Units @10.6400						
12/29/2017	***-**-88SI - CROSSMAN, ROBERT	AMERICAN MUTUAL FUND-A	140.00	2.80	0.00	2.80	80.0	2.24
	ACCOUNT #: 00086854812	BUY 3 Units @41.8500						
12/29/2017	***-**-88SI - CROSSMAN, ROBERT	AMERICAN MUTUAL FUND-A	60.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00086854812	BUY 1 Units @41.8500						
12/29/2017	***-**-761I - HUSTEK, PETER	AMERICAN MUTUAL FUND-A	24.09	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087410644	BUY 1 Units @41.8500						
12/29/2017	***-**-761I - HUSTEK, PETER	AMERICAN MUTUAL FUND-A	24.09	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087410644	BUY 1 Units @41.8500						
12/29/2017	***-**-88SI - CROSSMAN, ROBERT	CAPITAL INCOME BUILDER-A	70.00	1.40	0.00	1.40	80.0	1.12
	ACCOUNT #: 00086854812	BUY 1 Units @64.4300						
12/29/2017	***-**-88SI - CROSSMAN, ROBERT	CAPITAL INCOME BUILDER-A	30.00	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 00086854812	BUY 0 Units @64.4300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/20/2017	***-**-716I - PAPST, JASON	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 2 Units @52.6400						
12/29/2017	***-**-761I - HUSTEK, PETER	EUROPACIFIC GROWTH FUND-A	24.08	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087410644	BUY 0 Units @57.6600						
12/29/2017	***-**-761I - HUSTEK, PETER	EUROPACIFIC GROWTH FUND-A	24.08	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087410644	BUY 0 Units @57.6600						
12/26/2017	***-**-01IN - PELLETIER, KELLY	FRANKLIN GROWTH FUND CLASS A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000060177222593	BUY 1 Units @98.0000						
12/26/2017	***-**-01IN - PELLETIER, KELLY	FRANKLIN INCOME FUND CLASS A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000090236508494	BUY 30 Units @2.4700						
12/27/2017	***-**-078I - PELLETIER,	FT 2015 RETIREMENT TARGET FUND - CL	500.00	18.75	0.00	18.75	80.0	15.00
	ACCOUNT #: 000000000017588	BUY 43 Units @11.5800						
12/26/2017	***-**-01IN - PELLETIER, KELLY	MUTUAL GLOBAL DISCOVERY FUND - CLAS	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000000007745197	BUY 2 Units @32.9600						
01/02/2018	***-**-102I - DIPAOLO, EVELYN	T ROWE PRICE RET 2020 FUND	7,500.01	3.00	3.00	0.00	80.0	0.00
	ACCOUNT #: LSR011455	BUY 331 Units @22.6700						
12/26/2017	***-**-01IN - PELLETIER, KELLY	TEMP GLOBAL BOND FND - CL A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000006984020841	BUY 6 Units @12.3800						
12/20/2017	***-**-716I - PAPST, JASON	U.S. GOVERNMENT SECURITIES FUND CLA	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 7 Units @14.1100						
12/21/2017	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.9000						
12/26/2017	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.9000						
12/20/2017	***-**-716I - PAPST, JASON	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 2 Units @47.1900						
* MUTUAL FUNDS SUBTOTAL *			\$20,819.08	\$238.39	\$24.00	\$214.39		\$170.29
PRODUCT CATEGORY: 529 PLAN								
01/08/2018	***-**-235I - DASILVA, BRANDON	CHET 529	0.00	95.96	0.00	95.96	80.0	76.77
	ACCOUNT #: 68701113601	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$0.00	\$95.96	\$0.00	\$95.96		\$76.77



COMMISSION STATEMENT

January 08, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/02/2018	***-**-878I - MOSGROVE, KEITH	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR011966	BUY 1 Units @0.0000						
01/03/2018	***-**-39IN - GRAZIOSA, ROBERT	PIONEER INDEPENDENCE FUND C	0.00	11.56	0.00	11.56	80.0	9.25
	ACCOUNT #: 06206043710	BUY 0 Units @0.0000						
01/03/2018	***-**-39IN - GRAZIOSA, ROBERT	PIONEER STRATEGIC INCOME FUND C	0.00	5.51	0.00	5.51	80.0	4.41
	ACCOUNT #: 06206043710	BUY 0 Units @0.0000						
01/08/2018	***-**-078I - PELLETIER,	SAMMONS MF TRAILS	0.00	22.23	0.00	22.23	80.0	17.78
	ACCOUNT #: 7200000132	BUY 0 Units @0.0000						
01/08/2018	***-**-365I - HENDRICKS,	SAMMONS MF TRAILS	0.00	52.75	0.00	52.75	80.0	42.20
	ACCOUNT #: 7200000751	BUY 0 Units @0.0000						
01/08/2018	***-**-8503 - DEPINHO, MARY	SAMMONS MF TRAILS	0.00	30.71	0.00	30.71	80.0	24.57
	ACCOUNT #: 7200004964	BUY 0 Units @0.0000						
01/08/2018	***-**-230I - ADAMAITIS, JOY	SAMMONS MF TRAILS	0.00	34.87	0.00	34.87	80.0	27.90
	ACCOUNT #: 7200005523	BUY 0 Units @0.0000						
01/08/2018	***-**-069I - PIRES, DAVID	SAMMONS MF TRAILS	0.00	17.36	0.00	17.36	80.0	13.89
	ACCOUNT #: 7200006689	BUY 0 Units @0.0000						
01/08/2018	***-**-124I - YOUNG, SANDRA	SAMMONS MF TRAILS	0.00	20.77	0.00	20.77	80.0	16.62
	ACCOUNT #: 7200017871	BUY 0 Units @0.0000						
01/08/2018	***-**-731I - GEARY, ALICE	SAMMONS MF TRAILS	0.00	61.21	0.00	61.21	80.0	48.97
	ACCOUNT #: 7200001261	BUY 0 Units @0.0000						
01/08/2018	***-**-447I - BELVAL, PAUL	SAMMONS MF TRAILS	0.00	71.66	0.00	71.66	80.0	57.33
	ACCOUNT #: 7200002672	BUY 0 Units @0.0000						
01/08/2018	***-**-057I - DASILVA, JOSE	SAMMONS MF TRAILS	0.00	13.45	0.00	13.45	80.0	10.76
	ACCOUNT #: 7200010529	BUY 0 Units @0.0000						
01/08/2018	***-**-700I - NIHILL, TODD	SAMMONS MF TRAILS	0.00	32.23	0.00	32.23	80.0	25.78
	ACCOUNT #: 7200019445	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$374.31	\$0.00	\$374.31		\$299.46
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/31/2017	***-**-72IN - ROSEN, THOMAS	JACKSON PERSEPCTIVE ADVANTAGE A	0.00	33.54	0.00	33.54	80.0	26.83
	ACCOUNT #: 009102606A	BUY 0 Units @0.0000						
12/31/2017	***-**-09IN - SILL, RONALD	LINCOLN FIN VA TRAILS DTCC 53422E51	0.00	266.90	0.00	266.90	80.0	213.52
	ACCOUNT #: 924094424	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
12/31/2017	***-**-3912 - LAFUENTE, CARLOS MASS MUTUAL VA DTCC TRAILS		0.00	201.09	0.00	201.09	80.0	160.87
	ACCOUNT #: EVN46018411	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$501.53	\$0.00	\$501.53		\$401.22
	*** BROKER TRANSACTIONS TOTAL ***		\$20,819.08	\$1,210.19	\$24.00	\$1,186.19		\$947.74

BROKER COMMISSION TOTALS

Broker Transactions	\$947.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$947.74
Year-to-date Earnings	\$4,875.60