



Concorde Investment Services, LLC

12/15/2017

Page No. 1

COMMISSION STATEMENT

December 15, 2017

BRENT LEE

13423 Kings View Lane

Williston, ND 58801-

BROKER #: L137

BRANCH: TRUWEALTH FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/30/2017	KUHN, KENNETH	JNL PERSPECTIVE L	259,404.52	216.17	0.00	216.17	90.0	194.55
11/30/2017	KUHN, KRISTINE	JNL PERSPECTIVE L	139,801.94	116.50	0.00	116.50	90.0	104.85
11/30/2017	FREIH, DIANE	JNL PERSPECTIVE L	109,226.49	91.02	0.00	91.02	90.0	81.92
11/30/2017	KEMPER, SHERRY	JNL PERSPECTIVE L	217,393.70	181.16	0.00	181.16	90.0	163.04
11/30/2017	RETZLAFF, SANDRA	JNL PERSPECTIVE L	38,458.19	32.05	0.00	32.05	90.0	28.85
11/30/2017	GRANT, GREGORY	JNL PERSPECTIVE L	96,134.58	80.11	0.00	80.11	90.0	72.10
11/30/2017	MONETTE, RONALD	JNL PERSPECTIVE L	61,231.34	51.03	0.00	51.03	90.0	45.93
11/30/2017	LIESENER, MARTY	JNL PERSPECTIVE L	44,158.98	36.80	0.00	36.80	90.0	33.12
11/30/2017	BARTINGALE, JAMES	JNL PERSPECTIVE L	63,697.86	53.08	0.00	53.08	90.0	47.77
11/30/2017	MONETTE, RONALD	JNL PERSPECTIVE L	208,763.50	173.97	0.00	173.97	90.0	156.57
* VARIABLE ANNUITIES SUBTOTAL *			\$1,238,271.10	\$1,031.89	\$0.00	\$1,031.89		\$928.70
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/01/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	9.35	0.00	9.35	90.0	8.42
12/01/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	0.00	17.96	0.00	17.96	90.0	16.16
12/01/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	0.00	11.85	0.00	11.85	90.0	10.67
12/01/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	8.35	0.00	8.35	90.0	7.52
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$47.51	\$0.00	\$47.51		\$42.77
*** DIRECT TRANSACTIONS TOTAL ***			\$1,238,271.10	\$1,079.40	\$0.00	\$1,079.40		\$971.47

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #10</u>								
12/04/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	160.00	2.80	0.00	2.80	90.0	2.52
10/31/2017	LEE-NORBY-DONAIS, VARIOUS-BBJ	VOYA 529 AGE 5-8 OPTION CL A	0.00	0.34	0.00	0.34	90.0	0.31
11/01/2017	LEE-NORBY-DONAIS, VARIOUS-BBJ	VOYA 529 AGE 5-8 OPTION CL A	0.00	0.85	0.00	0.85	90.0	0.77
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$160.00	\$3.99	\$0.00	\$3.99		\$3.60
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
12/01/2017	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	0.00	4.50	0.00	4.50	90.0	4.05
12/01/2017	MILLER, CHARLES	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.04	0.00	0.04	90.0	0.04
12/01/2017	DEVLIN, DON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.85	0.00	2.85	90.0	2.57
12/01/2017	DEVLIN, DON	AM FUNDS SMALLCAP WORLD A	0.00	0.90	0.00	0.90	90.0	0.81
12/01/2017	KLINE, STEWART	AMERICAN FUND COLLEGE 2027 FUND 529	0.00	5.39	0.00	5.39	90.0	4.85
12/01/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	3.63	0.00	3.63	90.0	3.27
12/01/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	2.99	0.00	2.99	90.0	2.69
11/10/2017	BARTINGALE, JAMES	COTTONWOOD MULTIFAMILY REIT II	35,000.00	1,050.00	0.00	1,050.00	90.0	945.00
12/06/2017	KEMPER, JEROME	COTTONWOOD MULTIFAMILY REIT II	71,250.00	2,137.50	0.00	2,137.50	90.0	1,923.75
12/01/2017	KEMPER, JEROME	COTTONWOOD MULTIFAMILY REIT II, INC	71,250.00	0.00	0.00	0.00	90.0	0.00
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$177,500.00	\$3,207.80	\$0.00	\$3,207.80		\$2,887.03
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
11/20/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.19	0.00	0.19	90.0	0.17
11/22/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
11/20/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.08	0.00	0.08	90.0	0.07
11/20/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.02	0.00	0.02	90.0	0.02
11/20/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.12	0.00	0.12	90.0	0.11
11/20/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.57	0.00	0.57	90.0	0.51
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$0.00	\$1.03	\$0.00	\$1.03		\$0.93
<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u>								
12/08/2017	AGUIRRE TRUST	ISHARES CORE MSCI EMERGING	1,995.48	8.50	3.83	4.67	90.0	4.20
12/08/2017	AGUIRRE TRUST	ISHARES TR BARCLAYS INTER CR BD FD	-2,951.67	12.75	3.83	8.92	90.0	8.03
12/08/2017	AGUIRRE TRUST	ISHARES TR IBOX USD INVT GRADE COR	-1,088.96	4.25	3.83	0.42	90.0	0.38
12/08/2017	AGUIRRE TRUST	ISHARES TR S&P 500 INDEX FD	2,937.99	12.75	3.83	8.92	90.0	8.03
* BJB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$892.84	\$38.25	\$15.32	\$22.93		\$20.64

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.19	0.00	0.19	90.0	0.17
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.19	0.00	0.19	90.0	0.17
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.19	0.00	0.19	90.0	0.17
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.15	0.00	0.15	90.0	0.14
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.12	0.00	0.12	90.0	0.11
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.15	0.00	0.15	90.0	0.14
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.15	0.00	0.15	90.0	0.14
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.11	0.00	0.11	90.0	0.10
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.46	0.00	0.46	90.0	0.41
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.40	0.00	0.40	90.0	0.36
12/01/2017	JORGENSEN, BLAINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	17.75	0.00	17.75	90.0	15.98
12/01/2017	JORGENSEN, BLAINE	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	23.67	0.00	23.67	90.0	21.30
12/01/2017	JORGENSEN, BLAINE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	19.81	0.00	19.81	90.0	17.83
12/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.29	0.00	0.29	90.0	0.26
12/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.29	0.00	0.29	90.0	0.26
12/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.28	0.00	0.28	90.0	0.25
12/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.29	0.00	0.29	90.0	0.26
12/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.28	0.00	0.28	90.0	0.25
12/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.23	0.00	0.23	90.0	0.21
12/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.21	0.00	0.21	90.0	0.19
12/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.21	0.00	0.21	90.0	0.19
12/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.14	0.00	0.14	90.0	0.13
12/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.71	0.00	0.71	90.0	0.64
12/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.59	0.00	0.59	90.0	0.53
12/01/2017	UNTIL AGE 21, MN/UTMA	AM FUNDS ICA A	0.00	0.29	0.00	0.29	90.0	0.26
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.31	0.00	0.31	90.0	0.28
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.31	0.00	0.31	90.0	0.28
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.31	0.00	0.31	90.0	0.28
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.31	0.00	0.31	90.0	0.28
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.33	0.00	0.33	90.0	0.30
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.25	0.00	0.25	90.0	0.23
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.23	0.00	0.23	90.0	0.21

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.23	0.00	0.23	90.0	0.21
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.15	0.00	0.15	90.0	0.14
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.67	0.00	0.67	90.0	0.60
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.59	0.00	0.59	90.0	0.53
12/01/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.34	0.00	0.34	90.0	0.31
12/01/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.19	0.00	0.19	90.0	0.17
12/01/2017	RASMUSSEN, CLINTON	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.29	0.00	0.29	90.0	0.26
11/09/2017	SKAARE, LARRY	FS INVESTMENT CORPORATION IV (CLASS	0.00	17.27	0.00	17.27	90.0	15.54
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$0.00	\$89.10	\$0.00	\$89.10		\$80.22
*** SPLIT TRANSACTIONS TOTAL ***			\$178,552.84	\$3,340.17	\$15.32	\$3,324.85		\$2,992.42

ADJUSTMENTS

12/15/2017	*: ETF PROSPECTUS MAILING	0.00						-3.12
12/15/2017	*: PERSHING REORG FEE K KASMER	0.00						-5.00
12/15/2017	*: PERSHING: ETF PROSPECTUS MAILING	0.00						-2.32
12/15/2017	*: RBC WRITE OFF	0.00						-3.85
12/15/2017	*EO INS: CURRENT MONTH INS	0.00						-300.00
12/15/2017	*TECH: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-589.29

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BROKER COMMISSION TOTALS

Broker Transactions	\$971.47
Split Transactions	\$2,992.42
Override Transactions	\$0.00
Adjustments	\$-589.29
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,374.60
Year-to-date Gross	\$84,825.47
Year-to-date Earnings	\$76,248.00