

COMMISSION STATEMENT

April 30, 2018

LOUIS CIAVARELLA
21 MILITIA HILL DRIVE

BROKER #: V22 A04
BRANCH: FULCRUM GROUP

CHESTERBROOK, PA 19087

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

04/26/2018	75502185	CARR, CHARLES	FA SEMICONDUCTORS FUND CLASS I	B	10,000.00	0.00	0.00	0.00	50.0	0.00
04/26/2018	75502185	CARR, CHARLES	FIDELITY ADVISOR INDUSTRIALS CL I	B	10,000.00	0.00	0.00	0.00	50.0	0.00
04/26/2018	75502185	CARR, CHARLES	FIDELITY ADVISOR ENERGY CL I	B	10,000.00	0.00	0.00	0.00	50.0	0.00
04/26/2018	75502185	CARR, CHARLES	FIDELITY ADVISOR BIOTECHNOLOGY CLAS B	B	10,000.00	0.00	0.00	0.00	50.0	0.00
04/26/2018	75502185	CARR, CHARLES	FIDELITY ADVISOR NEW INSIGHTS FD CL B	B	10,000.00	0.00	0.00	0.00	50.0	0.00
04/26/2018	75502185	CARR, CHARLES	FIDELITY ADVISOR SMALL CAP GROWTH F B	B	10,000.00	0.00	0.00	0.00	50.0	0.00
04/26/2018	75502185	CARR, CHARLES	NEW ECONOMY FD CL F-2 SHS	B	10,000.00	0.00	0.00	0.00	50.0	0.00
04/26/2018	75502185	CARR, CHARLES	NEW WORLD FD INC NEW CL F-2 SHS	B	10,000.00	0.00	0.00	0.00	50.0	0.00
04/27/2018	75502185	CARR, CHARLES	CALAMOS INVT TR NEW INTL GROWTH FD B	B	10,000.00	0.00	0.00	0.00	50.0	0.00
04/27/2018	75502185	CARR, CHARLES	CALAMOS INVT TR NEW PHINEUS LONG SH B	B	5,000.00	0.00	0.00	0.00	50.0	0.00
				* MUTUAL FUNDS SUBTOTAL *	\$95,000.00	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

04/04/2018	75501767	HALL, JAMES	CITIGROUP INC COM	S	13,375.05	51.04	0.00	51.04	50.0	25.52
				* STOCKS SUBTOTAL *	\$13,375.05	\$51.04	\$0.00	\$51.04		\$25.52

PRODUCT CATEGORY: VARIABLE ANNUITIES

04/10/2018	(None)	VARIOUS, CIAVARELLA	NATIONWIDE	B	0.00	188.41	0.00	188.41	50.0	94.21
04/13/2018	(None)	VARIOUS, CIAVARELLA	GENWORTH LIFE & ANNUNITY INS	B	0.00	64.39	0.00	64.39	50.0	32.20
				* VARIABLE ANNUITIES SUBTOTAL *	\$0.00	\$252.80	\$0.00	\$252.80		\$126.41

PRODUCT CATEGORY: FIXED ANNUITIES

04/24/2018	(None)	VARIOUS, CIAVARELLA	FIDELITY ADVISOR 401K	B	0.00	1,831.93	0.00	1,831.93	50.0	915.97
				* FIXED ANNUITIES SUBTOTAL *	\$0.00	\$1,831.93	\$0.00	\$1,831.93		\$915.97

PRODUCT CATEGORY: RIA

04/01/2018	75501737	HENDRIX, GREG	FAS FEES PT. I/III	B	-358.00	119.33	0.00	119.33	50.0	59.67
04/01/2018	75501740	GIOMMI MCDOWELL,	FAS FEES PT. I/III	B	-1,795.34	598.44	0.00	598.44	50.0	299.22
04/01/2018	75501741	GIOMMI MCDOWELL,	FAS FEES PT. I/III	B	-696.52	232.17	0.00	232.17	50.0	116.09
04/01/2018	75501742	CIAVARELLA, LOUIS	FAS FEES PT. I/III	B	-104.14	34.71	0.00	34.71	50.0	17.36
04/01/2018	75501749	CIAVARELLA, MELISSA	FAS FEES PT. I/III	B	-254.16	84.72	0.00	84.72	50.0	42.36
04/01/2018	75501752	CIAVARELLA, JAMES	FAS FEES PT. I/III	B	-51.32	17.10	0.00	17.10	50.0	8.55

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
04/01/2018	75501754	CIAVARELLA, JAMES	FAS FEES PT. I/III	B	-123.84	41.28	0.00	41.28	50.0	20.64
04/01/2018	75501755	MANFREDI, MICHAEL	FAS FEES PT. I/III	B	-880.60	293.53	0.00	293.53	50.0	146.77
04/01/2018	75501757	BERGEN, MIMI	FAS FEES PT. I/III	B	-157.53	52.51	0.00	52.51	50.0	26.26
04/25/2018	75502185	CARR, CHARLES	FAS FEES PT. I/III	B	-1,448.86	129.74	0.00	129.74	50.0	64.87
* RIA SUBTOTAL *					\$-5,870.31	\$1,603.53	\$0.00	\$1,603.53		\$801.79
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS										
04/06/2018	75501549	ALEXANDER, RUTH	UIT FT CORPORATE TAX REFORM SER 5 P B		4,979.45	99.60	0.00	99.60	50.0	49.80
04/06/2018	75501571	ALEXANDER, RUTH	UIT FT CORPORATE TAX REFORM SER 5 P B		995.89	19.92	0.00	19.92	50.0	9.96
04/06/2018	75501572	ALEXANDER, RUTH	UIT FT CORPORATE TAX REFORM SER 5 P B		995.89	19.92	0.00	19.92	50.0	9.96
04/06/2018	75501746	CIAVARELLA, LOUIS	UIT FT CORPORATE TAX REFORM SER 5 P B		1,947.02	0.00	0.00	0.00	50.0	0.00
04/06/2018	75502177	ALEXANDER, RUTH	UIT FT CORPORATE TAX REFORM SER 5 P B		995.89	19.92	0.00	19.92	50.0	9.96
03/28/2018	75502185	CARR, CHARLES	UIT FT S&P DIVID ARISTOCRATS TARGE B		13,811.55	172.65	0.00	172.65	50.0	86.33
03/28/2018	75502185	CARR, CHARLES	UIT FT DOW TARGET 10 SER MAR 18 TER B		7,177.58	89.70	0.00	89.70	50.0	44.85
03/28/2018	75502185	CARR, CHARLES	UIT FT DOW TARGET 5 1Q 18 TERM 4/9/ B		6,906.83	0.00	0.00	0.00	50.0	0.00
03/28/2018	75502185	CARR, CHARLES	UIT FT DOW TARGET 5 1Q 18 TERM 4/9/ S		-6,906.83	0.00	0.00	0.00	50.0	0.00 C
03/28/2018	75502185	CARR, CHARLES	UIT FT DOW TARGET 5 1Q 18 TERM 4/9/ B		6,906.83	86.33	0.00	86.33	50.0	43.17
03/28/2018	75502185	CARR, CHARLES	UIT FT SABRIENT BAKERS DOZEN PORTFO B		9,602.20	120.00	0.00	120.00	50.0	60.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *					\$47,412.30	\$628.04	\$0.00	\$628.04		\$314.03
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/30/2018		FEE COUNT - 148			0.00	512.20	0.00	512.20	50.0	242.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$512.20	\$0.00	\$512.20		\$242.58
*** BROKER TRANSACTIONS TOTAL ***					\$149,917.04	\$4,879.54	\$0.00	\$4,879.54		\$2,426.30

ADJUSTMENTS

04/30/2018	DEUNB:UNBUNDLED QRTLY FEE (LPCM):	0.00	0.00	0.00	0.00	-25.00
04/30/2018	DEUNB:UNBUNDLED QRTLY FEE (LPCM):	0.00	0.00	0.00	0.00	-68.23
04/30/2018	DEUNB:UNBUNDLED QRTLY FEE (LPCM):	0.00	0.00	0.00	0.00	-26.00
04/30/2018	DEUNB:UNBUNDLED QRTLY FEE (LPCM):	0.00	0.00	0.00	0.00	-25.00
04/30/2018	DEUNB:UNBUNDLED QRTLY FEE (LPCM):	0.00	0.00	0.00	0.00	-25.00
04/30/2018	DEUNB:UNBUNDLED QRTLY FEE (LPCM):	0.00	0.00	0.00	0.00	-25.00
04/30/2018	DEUNB:UNBUNDLED QRTLY FEE (LPCM):	0.00	0.00	0.00	0.00	-25.00
04/30/2018	DEUNB:UNBUNDLED QRTLY FEE (LPCM):	0.00	0.00	0.00	0.00	-29.39
04/30/2018	DEUNB:UNBUNDLED QRTLY FEE (LPCM):	0.00	0.00	0.00	0.00	-25.00
04/30/2018	LICENSING FEE - FINRA: FINRA CE TRA	0.00	0.00	0.00	0.00	0.00
04/30/2018	DEUNC:UNBUNDLED NEW ACCT FEE (LPCM)	0.00	0.00	0.00	0.00	-197.30

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ADJUSTMENTS

04/30/2018	:			0.00	0.00	0.00	0.00		0.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-470.92

BROKER COMMISSION TOTALS

Broker Transactions	\$2,426.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-470.92
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.81
SIPC Assessment	\$-7.62

Check Amount	\$1,943.95
Year-to-date Earnings	\$8,590.97