



Concorde Investment Services, LLC

03/14/2017

Page No. 1

COMMISSION STATEMENT

March 15, 2017

BRENT LEE

13423 Kings View Lane

Williston, ND 58801-

BROKER #: L137

BRANCH: TRUWEALTH FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.00	0.00	4.00	90.0	3.60
03/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.73	0.00	1.73	90.0	1.56
03/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.87	0.00	1.87	90.0	1.68
03/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.55	0.00	2.55	90.0	2.30
03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	0.00	2.94	0.00	2.94	90.0	2.65
03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	0.00	1.16	0.00	1.16	90.0	1.04
03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	0.00	1.46	0.00	1.46	90.0	1.31
03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	0.00	1.91	0.00	1.91	90.0	1.72
03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	0.00	4.12	0.00	4.12	90.0	3.71
03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	0.00	1.71	0.00	1.71	90.0	1.54
03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	0.00	1.90	0.00	1.90	90.0	1.71
03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	0.00	2.59	0.00	2.59	90.0	2.33
03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	0.00	2.29	0.00	2.29	90.0	2.06
03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	0.00	1.17	0.00	1.17	90.0	1.05
03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	0.00	1.29	0.00	1.29	90.0	1.16
03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	0.00	1.50	0.00	1.50	90.0	1.35
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$34.19	\$0.00	\$34.19		\$30.77
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2017	FREIH, DIANE	JNL PERSPECTIVE L	108,067.20	90.06	0.00	90.06	90.0	81.05
02/28/2017	KEMPER, SHERRY	JNL PERSPECTIVE L	211,564.67	176.30	0.00	176.30	90.0	158.67
02/28/2017	RETZLAFF, SANDRA	JNL PERSPECTIVE L	36,517.53	30.43	0.00	30.43	90.0	27.39
02/28/2017	GRANT, GREGORY	JNL PERSPECTIVE L	91,511.84	76.26	0.00	76.26	90.0	68.63
02/28/2017	MONETTE, RONALD	JNL PERSPECTIVE L	58,285.01	48.57	0.00	48.57	90.0	43.71
02/28/2017	LIESENER, MARTY	JNL PERSPECTIVE L	42,072.15	35.06	0.00	35.06	90.0	31.55
02/28/2017	BARTINGALE, JAMES	JNL PERSPECTIVE L	60,420.25	50.35	0.00	50.35	90.0	45.32
02/28/2017	MONETTE, RONALD	JNL PERSPECTIVE L	198,009.27	165.01	0.00	165.01	90.0	148.51

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
		* VARIABLE ANNUITIES SUBTOTAL *	\$806,447.92	\$672.04	\$0.00	\$672.04		\$604.83
		*** BROKER TRANSACTIONS TOTAL ***	\$806,447.92	\$706.23	\$0.00	\$706.23		\$635.60

<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
03/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	180.00	3.37	0.00	3.37	90.0	3.03
03/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	225.00	4.22	0.00	4.22	90.0	3.80
03/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	280.00	5.25	0.00	5.25	90.0	4.73
03/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	2.46	0.00	2.46	90.0	2.21
03/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	3.08	0.00	3.08	90.0	2.77
03/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	3.84	0.00	3.84	90.0	3.46
03/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	1.98	0.00	1.98	90.0	1.78
03/02/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	160.00	3.00	0.00	3.00	90.0	2.70
03/08/2017	FREIH, DIANE	COTTONWOOD MULTIFAMILY REIT I INC C	60,000.00	0.00	0.00	0.00	90.0	0.00
03/07/2017	FREIH, DIANE	LIGHTSTONE VALUE PLUS REAL ESTATE I	70,000.00	0.00	0.00	0.00	90.0	0.00
		* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *	\$130,845.00	\$27.20	\$0.00	\$27.20		\$24.48
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333</u>								
02/09/2017	SINNESS, STEVEN	AM FUNDS ICA A	0.00	0.02	0.00	0.02	90.0	0.02
02/09/2017	SINNESS, STEVEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.00	0.00	0.00	90.0	0.00
		* JBB JEN-BRENT-BRION TRU-DONAI-LEE- SUBTOTAL *	\$0.00	\$0.02	\$0.00	\$0.02		\$0.02

<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.11	0.00	0.11	90.0	0.10
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.39	0.00	0.39	90.0	0.35

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.34	0.00	0.34	90.0	0.31
03/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	111.00	1.22	0.00	1.22	90.0	1.10
03/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	58.00	0.64	0.00	0.64	90.0	0.58
03/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	73.00	0.81	0.00	0.81	90.0	0.73
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.20	0.00	0.20	90.0	0.18
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
03/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.07	0.00	0.07	90.0	0.06
03/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.59	0.00	0.59	90.0	0.53
03/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.47	0.00	0.47	90.0	0.42
03/01/2017	UNTIL AGE 21, MN/UTMA	AM FUNDS ICA A	0.00	0.27	0.00	0.27	90.0	0.24
03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	74.00	0.81	0.00	0.81	90.0	0.73
03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	60.00	0.66	0.00	0.66	90.0	0.59
03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.55	0.00	0.55	90.0	0.50
03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.55	0.00	0.55	90.0	0.50
03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	111.00	1.22	0.00	1.22	90.0	1.10
03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	65.00	0.71	0.00	0.71	90.0	0.64
03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	57.00	0.63	0.00	0.63	90.0	0.57
03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	72.00	0.79	0.00	0.79	90.0	0.71
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.23	0.00	0.23	90.0	0.21
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.23	0.00	0.23	90.0	0.21
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.23	0.00	0.23	90.0	0.21
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.27	0.00	0.27	90.0	0.24
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.21	0.00	0.21	90.0	0.19
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.17	0.00	0.17	90.0	0.15
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.17	0.00	0.17	90.0	0.15
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.07	0.00	0.07	90.0	0.06

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.49	0.00	0.49	90.0	0.44
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.43	0.00	0.43	90.0	0.39
03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	74.00	0.81	0.00	0.81	90.0	0.73
03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	65.00	0.71	0.00	0.71	90.0	0.64
03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.55	0.00	0.55	90.0	0.50
03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.55	0.00	0.55	90.0	0.50
03/01/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.11	0.00	0.11	90.0	0.10
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$1,020.00	\$19.41	\$0.00	\$19.41		\$17.52
*** SPLIT TRANSACTIONS TOTAL ***			\$131,865.00	\$46.63	\$0.00	\$46.63		\$42.02

ADJUSTMENTS

03/15/2017	*CONCIERGE SVC: 187505 MARISTUEN	0.00						-20.00
03/15/2017	*CONCIERGE SVC: 187682, 187686 AAFE	0.00						-40.00
03/15/2017	*CONCIERGE SVC: 188081 & 188085 BAR	0.00						-50.00
03/15/2017	*CONCIERGE SVC: 188109, 188113, 188	0.00						-75.00
03/15/2017	*EO INS: CURRENT MONTH E&O	0.00						-300.00
03/15/2017	*PERSHING: BOOKS AND RECORDS	0.00						-1.20
03/15/2017	*PERSHING: ETF PROSPECTUS	0.00						-2.83
03/15/2017	*PERSHING: ETF PROSPECTUS	0.00						-5.66
03/15/2017	*PERSHING: MF PROSPECTUS	0.00						-1.54
03/15/2017	*PERSHING: MF PROSPECTUS	0.00						-13.78
03/15/2017	*PERSHING: MF PROSPECTUS	0.00						-6.14
03/15/2017	*TECH: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-791.15

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BROKER COMMISSION TOTALS

Broker Transactions	\$635.60
Split Transactions	\$42.02
Override Transactions	\$0.00
Adjustments	\$-791.15
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-260.15
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-373.68
Year-to-date Gross	\$4,132.88
Year-to-date Earnings	\$3,715.64