



All Companies

04/27/2018
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COMMISSION STATEMENT

May 01, 2018

JERRY SINGLETON
700 THROCKMORTON STREET

BROKER #: 8373
BRANCH: HOME OFFICE

FORT WORTH, TX 76102

COMMISSION STATEMENT for JERRY SINGLETON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/31/2018	TRAILS	-FRANKLIN TEMPLETON	B	105.22	105.22	0.00	105.22	90.0	94.70
03/29/2018	TRAILS	-PRUDENTIAL MUTUAL FUND	B	41.27	41.27	0.00	41.27	90.0	37.14
03/31/2018	TRAILS	-TRANSAMERICA	B	321.24	31.24	0.00	31.24	90.0	28.12
03/31/2018	TRAILS	-VIRTUS FUNDS	B	96.46	96.46	0.00	96.46	90.0	86.81
03/31/2018	TRAILS	-VOYA	B	6.62	6.62	0.00	6.62	90.0	5.96
03/31/2018	TRAILS	-VOYA	B	12.27	12.27	0.00	12.27	90.0	11.04
03/31/2018	TRAILS	BLACK ROCK	B	0.93	0.93	0.00	0.93	90.0	0.84
03/31/2018	TRAILS	BLACK ROCK	B	1.85	1.85	0.00	1.85	90.0	1.67
03/31/2018	TRAILS	COLLEGEBOUND 529	B	151,599.94	159.94	0.00	159.94	90.0	143.95
03/31/2018	TRAILS	COLLEGEBOUND 529	B	12.92	12.92	0.00	12.92	90.0	11.63
03/31/2018	TRAILS	DELAWARE - GENERAL	B	95.81	95.81	0.00	95.81	90.0	86.23
03/31/2018	TRAILS	DELAWARE - GENERAL	B	12.05	12.05	0.00	12.05	90.0	10.85
03/29/2018	TRAILS	EATON VANCE - GENERAL	B	5.34	5.34	0.00	5.34	90.0	4.81
03/31/2018	TRAILS	EATON VANCE - GENERAL	B	1.71	1.71	0.00	1.71	90.0	1.54
03/31/2018	TRAILS	NUVEEN INVESTMENTS	B	7.78	7.78	0.00	7.78	90.0	7.00
04/16/2018	000000000887075 HODGES, A	FRANKLIN SMALL CAP GROWTH FD CL II	B	100.00	1.00	0.00	1.00	90.0	0.90
04/16/2018	000060160708608 HENRY, ERIC	FRANKLIN GROWTH FD CL I	B	200.00	10.00	0.00	10.00	90.0	9.00
04/10/2018	00059157984 AHLENIUS TEN COM, JEANETTE	AMERICAN FUNDS INVESTMENT COMP OF A	B	950.00	26.13	0.00	26.13	90.0	23.52
04/16/2018	00059335645 MORGENSTERN, JUDY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	2.50	0.00	2.50	90.0	2.25
04/11/2018	00059964494 SHOEMAKER, THOMAS	AMERICAN FUNDS INVESTMENT COMP OF A	B	200.00	5.50	0.00	5.50	90.0	4.95
04/10/2018	00060932562 LIEBERMAN, NORMAN/JENNIFER	AMERICAN FUNDS EURO PACIFIC GROWT	B	50.00	2.13	0.00	2.13	90.0	1.92
04/20/2018	00063718228 THOMPSON, JOHN	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	2.50	0.00	2.50	90.0	2.25
04/10/2018	00063853534 ALTIERI, TIERNI	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	1.88	0.00	1.88	90.0	1.69
04/16/2018	00073026805 KOTARA, KELLEN	THE GROWTH FUND OF AMERICA-529A	B	125.00	3.44	0.00	3.44	90.0	3.10
04/16/2018	00074688559 HARRIS, CHAD	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.38	0.00	1.38	90.0	1.24
04/16/2018	00074688631 HARRIS, ANGELA L.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	1.38	0.00	1.38	90.0	1.24
04/16/2018	00081283633 HARRIS, ANGELA	AMERICAN FUNDS INCOME FD OF AMERI	B	50.00	1.38	0.00	1.38	90.0	1.24
04/16/2018	00081284351 HARRIS, CHAD	CAPITAL WORLD GROWTH AND INCOME-529	B	50.00	0.50	0.00	0.50	90.0	0.45
04/10/2018	00081489987 STAUFFER, TRAVIS	CAPITAL WORLD GROWTH AND INCOME-529	B	100.00	1.00	0.00	1.00	90.0	0.90

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/10/2018	00081490017	STAUFFER, TRAVIS							
		CAPITAL WORLD GROWTH AND INCOME-529 B		100.00	1.00	0.00	1.00	90.0	0.90
04/16/2018	00083335257	KOTARA, KELLEN							
		THE GROWTH FUND OF AMERICA-529C B		125.00	1.25	0.00	1.25	90.0	1.13
04/10/2018	00085279516	ALTIERI, NICOLE							
		THE GROWTH FUND OF AMERICA-529A B		75.00	2.81	0.00	2.81	90.0	2.53
04/16/2018	00086483945	SINGLETON, ELIZABETH							
		THE NEW ECONOMY FUND-C B		350.00	3.50	0.00	3.50	90.0	3.15
04/16/2018	00086502248	RUEDEMAN, KOBIE							
		FUNDAMENTAL INVESTORS-529C B		50.00	0.50	0.00	0.50	90.0	0.45
04/16/2018	00087833281	GARRISON, JAMES/KATE							
		GLOBAL GROWTH PORTFOLIO-529C B		50.00	0.50	0.00	0.50	90.0	0.45
04/10/2018	00089307555	JOBE, LISA GLENN							
		THE GROWTH FUND OF AMERICA-529C B		100.00	1.00	0.00	1.00	90.0	0.90
04/16/2018	00089704151	DELK, KYLIE/BAYLIE							
		THE NEW ECONOMY FUND-529A B		100.00	5.00	0.00	5.00	90.0	4.50
04/02/2018	00431134561	MINGUS, TIM N							
		-PUTNAM B		100.00	2.75	0.00	2.75	90.0	2.48
04/02/2018	00511514163	ORENDER, KARI							
		-PUTNAM B		25.00	1.25	0.00	1.25	90.0	1.13
04/10/2018	00671173702	ORENDER, KARI							
		INVESCO VAN KAMPEN G & I FD B		25.00	1.25	0.00	1.25	90.0	1.13
04/10/2018	00671173702	ORENDER, KARI							
		IVK AMERICAN FRANCHISE FUND - CLASS B		25.00	1.25	0.00	1.25	90.0	1.13
04/16/2018	00671187305	FISCHER JT TEN, WILLIAM							
		IVK AMERICAN FRANCHISE FUND - CLASS B		100.00	4.00	0.00	4.00	90.0	3.60
04/02/2018	02000062628	TERRY, STACEY/GRIFFEN							
		-SCHOLARS EDGE 529 PLAN B		50.00	1.50	0.00	1.50	90.0	1.35
04/02/2018	03310426468	JACKSON, ANNIE							
		ALLIANCE GROWTH FD CL A B		100.00	4.00	0.00	4.00	90.0	3.60
04/03/2018	0406498570	PREVOT, GAIL/SHANIECE							
		-PUTNAM B		25.00	1.25	0.00	1.25	90.0	1.13
04/03/2018	0406498570	PREVOT, GAIL/SHANIECE							
		-PUTNAM B		25.00	1.25	0.00	1.25	90.0	1.13
04/12/2018	05043751733	STEWART, SUZANNE							
		IVK EQUITY AND INCOME FUND - CLASS B		12,500.00	125.00	0.00	125.00	90.0	112.50
04/12/2018	05043751733	STEWART, SUZANNE							
		IVK EQUITY AND INCOME FUND - CLASS B		4,922.00	49.22	0.00	49.22	90.0	44.30
04/20/2018	05051919255	THOMPSON, CATHY							
		INVESCO STRUCTURED CORE FUND -CLASS B		50.00	2.50	0.00	2.50	90.0	2.25
04/20/2018	06910027819	CORNSTUBBLE, BARBARA							
		INVESCO VAN KAMPEN G & I FD B		50.00	2.50	0.00	2.50	90.0	2.25
04/16/2018	08184753175	CARROLL, MELINDA							
		MFS EMERGING GROWTH FD CL A B		50.00	2.50	0.00	2.50	90.0	2.25
04/16/2018	08184753824	BROWN, DAVID P.							
		MFS TOTAL RETURN FD CL A B		50.00	2.50	0.00	2.50	90.0	2.25
04/10/2018	08697809525	TEDDER, THOMAS V							
		COLUMBIA SELIGMAN COMM & INFO FD A B		50.00	1.88	0.00	1.88	90.0	1.69
04/05/2018	3301699247	LESSOR, RANDY							
		OPPENHEIMER GLOBAL FD CL A B		50.00	2.38	0.00	2.38	90.0	2.14
04/05/2018	7004732002	ORENDER, KARI A							
		OPPENHEIMER MAIN STREET INCOME & GR B		25.00	1.19	0.00	1.19	90.0	1.07
* MUTUAL FUNDS SUBTOTAL *				\$173,568.41	\$875.86	\$0.00	\$875.86		\$788.33
<u>PRODUCT CATEGORY: STOCKS</u>									
04/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	5,401.66	5,401.66	0.00	5,401.66	90.0	4,861.49
* STOCKS SUBTOTAL *				\$5,401.66	\$5,401.66	\$0.00	\$5,401.66		\$4,861.49

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
03/31/2018	TRAILS	-JACKSON NATIONAL	B	141.83	141.83	0.00	141.83	90.0	127.65
04/06/2018	TRAILS	-JOHN HANCOCK	B	33.29	33.29	0.00	33.29	90.0	29.96
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	418.91	418.91	0.00	418.91	90.0	377.02
03/31/2018	TRAILS	PHOENIX HOME LIFE	B	2.22	2.22	0.00	2.22	90.0	2.00
04/04/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	48.19	48.19	0.00	48.19	90.0	43.37
* VARIABLE ANNUITIES SUBTOTAL *				\$644.44	\$644.44	\$0.00	\$644.44		\$580.00
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>									
04/18/2018	SHEPPARD, JOE L	NATIONWIDE LIFE	B	125.00	1.25	0.00	1.25	90.0	1.13
* LIFE INSURANCE SUBTOTAL *				\$125.00	\$1.25	\$0.00	\$1.25		\$1.13
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	35117029 PIERSON, PEPPER ANN	SIGNAL SECURITIES RIA	B	0.00	76.67	0.00	76.67	90.0	69.00
04/13/2018	50875792 MOORE, PHILIP WAYNE	SIGNAL SECURITIES RIA	B	0.00	213.67	0.00	213.67	90.0	192.30
04/13/2018	58959215 PIERSON, PEPPER ANN	SIGNAL SECURITIES RIA	B	0.00	52.00	0.00	52.00	90.0	46.80
04/13/2018	61321609 WALSH, THOMAS B.	SIGNAL SECURITIES RIA	B	0.00	317.33	0.00	317.33	90.0	285.60
04/13/2018	69982324 PELIZZONI FAM REV	SIGNAL SECURITIES RIA	B	0.00	522.00	0.00	522.00	90.0	469.80
	TRST, EUGENE & BECKY								
04/13/2018	71160962 MOORE, PHILIP WAYNE	SIGNAL SECURITIES RIA	B	0.00	185.00	0.00	185.00	90.0	166.50
04/13/2018	90838267 KENNEDY TRUST, MARY	SIGNAL SECURITIES RIA	B	0.00	490.00	0.00	490.00	90.0	441.00
	RUTH								
* RIA SUBTOTAL *				\$0.00	\$1,856.67	\$0.00	\$1,856.67		\$1,671.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	83.79	0.00	83.79	90.0	75.41
05/01/2018	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	4.17	0.00	4.17	90.0	3.75
05/01/2018	TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES		0.00	1,488.38	0.00	1,488.38	90.0	1,339.65
05/01/2018	TRAIL COMMISSIONS	DWS INVESTMENTS SERVICE COMPANY FEE		0.00	338.47	0.00	338.47	90.0	304.66
05/01/2018	TRAIL COMMISSIONS	DEUTSCHE ASSET & WEALTH MANAGEMENT		0.00	24.29	0.00	24.29	90.0	21.87
05/01/2018	TRAIL COMMISSIONS	PIONEER SERVICES CORPORATION FEES		0.00	43.70	0.00	43.70	90.0	39.33
05/01/2018	TRAIL COMMISSIONS	WELLS FARGO FUNDS FEES		0.00	23.77	0.00	23.77	90.0	21.42
05/01/2018	TRAIL COMMISSIONS	DAVIS FUNDS FEES		0.00	24.63	0.00	24.63	90.0	22.17
05/01/2018	TRAIL COMMISSIONS	ALLIANCE BERNSTEIN FUNDS FEES		0.00	135.48	0.00	135.48	90.0	121.95
05/01/2018	TRAIL COMMISSIONS	IVY FUNDS FEES		0.00	27.35	0.00	27.35	90.0	24.61
05/01/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	12.68	0.00	12.68	90.0	11.42
05/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	61.71	0.00	61.71	90.0	55.54
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,268.42	\$0.00	\$2,268.42		\$2,041.78
*** BROKER TRANSACTIONS TOTAL ***				\$179,739.51	\$11,048.30	\$0.00	\$11,048.30		\$9,943.73

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SINGLETON/SNOW #9575</u>									
04/13/2018	57689062 SINGLETON, STEVEN B	SIGNAL SECURITIES RIA	B	0.00	114.16	0.00	114.16	90.0	102.74
04/13/2018	97231190 CHRISTOFORETTI, II, ERIN/JOHN	SIGNAL SECURITIES RIA	B	0.00	165.66	0.00	165.66	90.0	149.09
* SINGLETON/SNOW SUBTOTAL *				\$0.00	\$279.82	\$0.00	\$279.82		\$251.83
<u>BROKER: SINGLETON/MOYNIHAN #9595</u>									
04/13/2018	15381199 WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	80.00	0.00	80.00	90.0	72.00
04/13/2018	17599493 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	62.67	0.00	62.67	90.0	56.40
04/13/2018	31646344 DAVIS, RUFUS L	SIGNAL SECURITIES RIA	B	0.00	104.17	0.00	104.17	90.0	93.75
04/13/2018	41564753 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	12.50	0.00	12.50	90.0	11.25
04/13/2018	41989330 YOUNGBERG, THOMAS N	SIGNAL SECURITIES RIA	B	0.00	53.67	0.00	53.67	90.0	48.30
04/13/2018	42529214 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	79.50	0.00	79.50	90.0	71.55
04/13/2018	45771580 WARREN, THOMAS & SUSAN	SIGNAL SECURITIES RIA	B	0.00	125.00	0.00	125.00	90.0	112.50
04/13/2018	51406766 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	93.50	0.00	93.50	90.0	84.15
04/13/2018	62437500 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	241.84	0.00	241.84	90.0	217.66
04/13/2018	63181693 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	88.34	0.00	88.34	90.0	79.51
04/13/2018	67846422 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	0.84	0.00	0.84	90.0	0.76
04/13/2018	68333401 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	63.67	0.00	63.67	90.0	57.30
04/13/2018	69683604 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	63.50	0.00	63.50	90.0	57.15
04/13/2018	82910782 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	57.50	0.00	57.50	90.0	51.75
04/13/2018	86316364 WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	61.67	0.00	61.67	90.0	55.50
* SINGLETON/MOYNIHAN SUBTOTAL *				\$0.00	\$1,188.37	\$0.00	\$1,188.37		\$1,069.53
*** SPLIT TRANSACTIONS TOTAL ***				\$0.00	\$1,468.19	\$0.00	\$1,468.19		\$1,321.36
<u>ADJUSTMENTS</u>									
05/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-962.50
05/01/2018		HILLTOP: MISC TICKET FEES		0.00	0.00	0.00	0.00		60.00
05/01/2018		HILLTOP: REVERSE CUST WRITE OFF		0.00	0.00	0.00	0.00		281.76
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-620.74

BROKER COMMISSION TOTALS

Broker Transactions	\$9,943.73
Split Transactions	\$1,321.36
Override Transactions	\$0.00
Adjustments	\$-620.74
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$10,644.35
Year-to-date Earnings	\$70,862.87