

All Companies
STATEMENT
August 20, 2018

ERIK NEW DODDS

BROKER #: 067
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for ERIK NEW DODDS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

06/29/2018 #	ALI, ASAD	PROSHARES ULTRA QQQ	S	40,507.29	0.00	0.00	0.00	0.00	45.0	0.00
07/11/2018 #	SANDERS, MATHEW	SPDR INDEX SHARES FUNDS	S	549.00	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	ALPS ETF TR	B	649.06	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	ISHARES IBOXX \$ HIGH YIELD	B	6,245.15	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	ISHARES TR DOW JONES US	B	1,303.60	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	ISHARES TRUST MSCI EMERGING	B	2,396.90	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	SECTOR SPDR TRUST	B	1,247.46	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	SECTOR SPDR TRUST SHS BEN INT TECHN B	B	5,782.40	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	SELECT SECTOR SPDR FUND	B	451.07	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	SELECT SECTOR SPDR FUND SHS BEN INT B	B	4,254.18	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	SELECT SECTOR SPDR TRUST SHS BEN IN B	B	2,029.59	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	SPDR SER TR S&P REGL BKG ETF	B	4,413.42	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	SPDR SERIES TRUST	B	320.40	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	SPDR SERIES TRUST BARCLAYS HIGH YIE B	B	5,171.57	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	VANGUARD INDEX FUNDS	B	1,111.25	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	VANGUARD INDEX FUNDS VANGUARD MID-C B	B	1,932.36	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	VANGUARD INTL EQUITY INDEX	B	1,270.28	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	VANGUARD SECTOR INDEX FDS	B	6,302.65	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	VANGUARD SECTOR INDEX FDS VANGUARD B	B	4,721.94	0.00	0.00	0.00	0.00	45.0	0.00
07/16/2018 #	ALI, ASAD	VANGUARD TAX-MANAGED FD MSCI EAFE E B	B	561.86	0.00	0.00	0.00	0.00	45.0	0.00
* STOCKS SUBTOTAL *				\$91,221.43	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: BONDS

06/28/2018 #	MILLER, PEGGY	GK INVESTMENT HOLDINGS	B	15,000.00	472.25	10.00	0.00	462.25	45.0	208.01
* BONDS SUBTOTAL *				\$15,000.00	\$472.25	\$10.00	\$0.00	\$462.25		\$208.01

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/11/2018 #		DAIN TRAILER	B	0.00	35.95	0.00	0.00	35.95	45.0	16.18
07/11/2018 #	DAVENPORT, BLAKE	DAIN TRAILER	B	0.00	0.56	0.00	0.00	0.56	45.0	0.25
07/11/2018 #	DAVENPORT, BLAKE	DAIN TRAILER	B	0.00	0.65	0.00	0.00	0.65	45.0	0.29
07/19/2018 #	DAVENPORT, BLAKE	DAIN TRAILER	B	0.00	0.57	0.00	0.00	0.57	45.0	0.26

COMMISSION STATEMENT for ERIK NEW DODDS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$37.73	\$0.00	\$0.00	\$37.73		\$16.98
		*** BROKER TRANSACTIONS TOTAL ***		\$106,221.43	\$509.98	\$10.00	\$0.00	\$499.98		\$224.99

BROKER COMMISSION TOTALS

Broker Transactions	\$224.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$224.99
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