



All Companies

02/14/2018
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COMMISSION STATEMENT February 15, 2018

RAYMOND C. ASHLEY
4911 Fall Creek Hwy

BROKER #: 8975
BRANCH: HOME OFFICE

Granbury, TX 76049

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	54.56	54.56	0.00	54.56	90.0	49.10
01/31/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	90.18	90.18	0.00	90.18	90.0	81.16
02/01/2018	00062334785 BARNARD, ALBERT	AMERICAN FUNDS AMERICAN MUTUAL FD	B	903.28	33.87	0.00	33.87	90.0	30.48
02/01/2018	00062334785 BARNARD, ALBERT	AMERICAN FUNDS CAPITAL INCOME BUILD	B	2,000.00	75.00	0.00	75.00	90.0	67.50
02/01/2018	00062334785 BARNARD, ALBERT	AMERICAN FUNDS INVESTMENT COMP OF A	B	2,000.00	75.00	0.00	75.00	90.0	67.50
01/31/2018	00085208512 BURCH/STEELE, HANNAH	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	90.0	2.25
02/01/2018	00088819064 BARNARD, NONA L.	AMERICAN FUNDS WA MUTUAL	INVEST B	250.00	9.38	0.00	9.38	90.0	8.44
02/01/2018	07150213641 BLAKE/MANSFIELD, FRANCES/MORGAN	FIXED INCOME PORTFOLIO C2	B	200.00	2.00	0.00	2.00	90.0	1.80
* MUTUAL FUNDS SUBTOTAL *				\$5,548.02	\$342.49	\$0.00	\$342.49		\$308.23
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/31/2018	TRAILS	-JOHN HANCOCK	B	909.53	909.53	0.00	909.53	90.0	818.58
02/02/2018	TRAILS	-JOHN HANCOCK	B	120.13	120.13	0.00	120.13	90.0	108.12
* VARIABLE ANNUITIES SUBTOTAL *				\$1,029.66	\$1,029.66	\$0.00	\$1,029.66		\$926.70
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	3.03	0.00	3.03	90.0	2.73
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3.03	\$0.00	\$3.03		\$2.73
*** BROKER TRANSACTIONS TOTAL ***				\$6,577.68	\$1,375.18	\$0.00	\$1,375.18		\$1,237.66

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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SPLIT TRANSACTIONS

BROKER: JOINT ASHLEY/ASHLEY #8030

01/31/2018	TRICO ELECTRI C, GBM MGMT GROUP	NATIONWIDE QUALIFIED PLAN	B	0.00	181.48	0.00	181.48	90.0	163.33
* JOINT ASHLEY/ASHLEY SUBTOTAL *				\$0.00	\$181.48	\$0.00	\$181.48		\$163.33
*** SPLIT TRANSACTIONS TOTAL ***				\$0.00	\$181.48	\$0.00	\$181.48		\$163.33

ADJUSTMENTS

02/15/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
	*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-27.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,237.66
Split Transactions	\$163.33
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,373.49
Year-to-date Earnings	\$16,451.38