



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 15, 2018

04/17/2018
Page No. 1

ACCOUNT HOUSE
1120 E. LONG LAKE ROAD
SUITE 207
TROY, MI 48085-

BROKER #: G999
BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/05/2018	CONCORDE, VARIOUS	AM FUNDS AMCAP A	-75.00	0.00	0.00	0.00	100.0	0.00
04/05/2018	CONCORDE, VARIOUS	AM FUNDS AMCAP A	71.81	0.00	0.00	0.00	100.0	0.00
04/05/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	71.81	0.00	0.00	0.00	100.0	0.00
04/05/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-75.00	0.00	0.00	0.00	100.0	0.00
04/03/2018	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	9,500.00	0.00	0.00	0.00	100.0	0.00
04/03/2018	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-10,000.00	0.00	0.00	0.00	100.0	0.00
04/05/2018	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	225.72	0.00	0.00	0.00	100.0	0.00
04/05/2018	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-228.00	0.00	0.00	0.00	100.0	0.00
04/05/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-227.00	0.00	0.00	0.00	100.0	0.00
04/05/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	224.73	0.00	0.00	0.00	100.0	0.00
04/05/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-300.00	0.00	0.00	0.00	100.0	0.00
04/05/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	297.00	0.00	0.00	0.00	100.0	0.00
04/10/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-200.00	0.00	0.00	0.00	100.0	0.00
04/10/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	198.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-515.93	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
04/06/2018	CONCORDE, VARIOUS	BANCROFT	2,524.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2018	CONCORDE, VARIOUS	BANCROFT	1,262.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2018	CONCORDE, VARIOUS	BANCROFT	2,524.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2018	CONCORDE, VARIOUS	BANCROFT	1,262.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2018	CONCORDE, VARIOUS	BANCROFT	2,529.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2018	CONCORDE, VARIOUS	BANCROFT	5,060.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2018	CONCORDE, VARIOUS	BANCROFT	-15,160.98	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-921.70	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-368.68	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-2,027.73	0.00	0.00	0.00	100.0	0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-2,580.75	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-2,396.41	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	148,945.91	0.00	1.00	-1.00	100.0	-1.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-1,474.71	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-737.36	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-22,858.04	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-1,843.39	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-1,474.71	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-553.02	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-1,659.05	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-1,474.71	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-7,742.24	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-921.70	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-737.36	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-4,055.46	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-5,530.17	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-184.34	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-9,032.61	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-921.70	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-3,502.44	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-4,424.14	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-7,373.56	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-2,396.41	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-1,659.05	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-921.70	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-737.36	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-921.70	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-3,133.76	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-1,659.05	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-737.36	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-553.02	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-4,239.80	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-921.70	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-1,843.39	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-6,083.19	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-1,474.71	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-2,396.41	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-3,871.12	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-8,110.92	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-5,161.49	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-9,401.29	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-1,106.03	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-184.34	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-921.70	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-5,714.51	0.00	0.00	0.00	100.0	0.00
04/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	188.61	0.00	1.00	-1.00	100.0	-1.00
04/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	1,886.10	0.00	1.00	-1.00	100.0	-1.00
04/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-2,074.71	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	106.86	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	854.88	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	534.30	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	106.86	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	534.30	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	-2,137.20	0.00	1.00	-1.00	100.0	-1.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-520.24	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-2,601.19	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-780.36	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-4,422.02	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-780.36	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,040.48	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-2,861.31	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-260.12	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-3,641.67	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-4,422.02	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	68,671.42	0.00	1.00	-1.00	100.0	-1.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-780.36	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-260.12	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-780.36	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-260.12	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,040.48	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-2,080.95	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-260.12	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-780.36	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-520.24	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,040.48	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,040.48	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-260.12	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-260.12	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-2,341.07	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-2,080.95	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,820.83	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-260.12	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-520.24	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-520.24	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-3,641.67	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,300.60	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,560.71	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,040.48	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,040.48	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-520.24	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-2,861.31	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-2,080.95	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-260.12	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-520.24	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-11,445.24	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-3,641.67	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-260.12	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-260.12	0.00	0.00	0.00	100.0	0.00
04/06/2018	CONCORDE, VARIOUS	KKR & CO L P DEL PFD DIV RATE 6.50%	-7,473.30	0.00	1.00	-1.00	100.0	-1.00

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<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
04/06/2018	CONCORDE, VARIOUS	KKR & CO L P DEL PFD DIV RATE 6.50%	-2,577.00	0.00	1.00	-1.00	100.0	-1.00
04/06/2018	CONCORDE, VARIOUS	KKR & CO L P DEL PFD DIV RATE 6.50%	10,050.30	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	1,097.96	0.00	1.00	-1.00	100.0	-1.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-69.71	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-104.53	0.00	1.00	-1.00	100.0	-1.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-52.28	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-87.14	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-34.86	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-122.00	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-34.86	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-17.43	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-34.86	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-52.28	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-139.42	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-17.43	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	52.27	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-52.28	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	17.42	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-17.43	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	17.42	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-17.43	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-52.28	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-87.14	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	17.42	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-17.43	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-52.28	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-87.14	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES ACTIVELY MANAGED EXCHAN	-52.28	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	23.07	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	69.21	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	23.07	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	23.07	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	138.43	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	92.28	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	161.50	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	92.28	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	46.14	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	92.28	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	23.07	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	23.07	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	46.14	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-1,891.81	0.00	1.00	-1.00	100.0	-1.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	69.21	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	46.14	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	46.14	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	23.07	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	23.07	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	230.71	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	69.21	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	322.99	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	92.28	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	23.07	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	92.28	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-2,476.87	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-589.73	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-2,919.17	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-1,474.33	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-1,120.49	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-324.35	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-796.14	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-176.92	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-58.97	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-530.76	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-294.87	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-678.19	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-796.14	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-7,460.11	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-1,857.66	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-796.14	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-2,978.15	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-501.27	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-1,326.90	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-471.79	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-1,297.41	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-2,388.41	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-58.97	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-58.97	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-294.87	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-117.95	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-1,415.36	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-1,149.98	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-324.35	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-1,798.68	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-589.73	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-324.35	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-471.79	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-766.65	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-235.89	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-58.97	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-324.35	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-206.41	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-530.76	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	44,996.55	0.00	1.00	-1.00	100.0	-1.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-176.92	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-324.35	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-1,326.90	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-383.33	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-294.87	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-619.22	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-1,710.22	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-117.95	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	178.21	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	71.28	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	35.64	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	35.64	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	106.93	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	35.64	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	178.21	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	35.64	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	106.93	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	106.93	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	71.28	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	35.64	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	249.49	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	35.64	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	71.28	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	106.93	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-2,494.94	0.00	1.00	-1.00	100.0	-1.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	35.64	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	35.64	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	35.64	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	178.21	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	35.64	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	71.28	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	641.56	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	-328.09	0.00	1.00	-1.00	100.0	-1.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	109.36	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	54.68	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	164.05	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	4,517.53	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	828.21	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	2,710.52	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	3,463.44	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-93,813.96	0.00	1.00	-1.00	100.0	-1.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	1,054.09	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	2,108.18	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	903.51	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	677.63	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	602.34	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	1,430.55	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	2,635.22	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	3,237.56	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	1,430.55	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	13,703.16	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	903.51	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	225.88	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	828.21	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	301.17	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	1,430.55	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	75.29	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	527.04	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	1,430.55	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	225.88	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	451.75	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	1,129.38	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	828.21	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	1,054.09	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	451.75	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	6,851.58	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	1,204.67	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	5,270.45	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	527.04	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	527.04	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	3,162.27	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	2,409.35	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	903.51	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	376.46	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	1,581.13	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	2,108.18	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	527.04	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	2,484.64	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	677.63	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	5,119.86	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	376.46	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	5,496.32	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	4,442.23	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	602.34	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	1,762.04	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	2,509.57	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	694.14	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	266.98	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	2,669.75	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	1,548.46	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	1,922.22	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	1,708.64	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	1,228.09	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	533.95	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	747.53	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	1,067.90	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	1,067.90	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	160.19	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	8,383.02	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	160.19	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	8,222.83	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	266.98	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	10,198.45	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	1,014.51	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	2,295.99	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	4,538.58	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	1,601.85	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	106.79	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	1,067.90	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	4,378.39	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	2,082.41	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-148,918.66	0.00	1.00	-1.00	100.0	-1.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	213.58	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	6,354.01	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	5,820.06	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	1,014.51	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	533.95	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	5,713.27	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	1,014.51	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	2,723.15	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	3,897.84	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	25,362.63	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	1,067.90	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	961.11	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	1,548.46	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	2,990.12	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	4,538.58	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	5,019.13	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	2,616.36	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	4,004.63	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	1,922.22	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	9,397.52	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$-0.06	\$0.00	\$21.00	\$-21.00		\$-21.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-515.99	\$0.00	\$21.00	\$-21.00		\$-21.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
03/28/2018	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
04/02/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
04/02/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
04/02/2018	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
04/02/2018	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
04/02/2018	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
04/02/2018	CHIECHA, MOLLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	100.0	2.50
03/28/2018	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
04/05/2018	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
04/09/2018	PANOS, VARIOUS	FA 529 COLLEGE PORT C	0.00	0.24	0.00	0.24	100.0	0.24
* 529 PLANS SUBTOTAL *			\$825.00	\$34.68	\$0.00	\$34.68		\$34.68
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/02/2018	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
04/02/2018	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
04/02/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
04/06/2018	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
04/02/2018	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
04/02/2018	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
04/02/2018	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
04/05/2018	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
04/02/2018	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
04/02/2018	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
04/02/2018	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
04/06/2018	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
04/02/2018	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
04/02/2018	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
03/26/2018	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	100.0	1.50
03/26/2018	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	100.0	1.50
04/02/2018	WHEELER, PAUL	AM FUNDS ICA C	75.00	0.75	0.00	0.75	100.0	0.75
04/02/2018	LOUDERMILK, SCOTT	AM FUNDS ICA C	400.00	4.00	0.00	4.00	100.0	4.00
04/02/2018	LOCKHART, JOSEPH	AM FUNDS ICA C	480.00	4.80	0.00	4.80	100.0	4.80
04/06/2018	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
04/05/2018	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/06/2018	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
04/06/2018	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	100.0	5.00
* MUTUAL FUNDS SUBTOTAL *			\$3,530.00	\$94.51	\$0.00	\$94.51		\$94.51
<u>PRODUCT CATEGORY: STOCKS</u>								
03/06/2018	CONCORDE IS	POWERSHARES ACTIVELY MANAGED EXCHAN	11,244.48	0.00	0.00	0.00	100.0	0.00
03/06/2018	CONCORDE IS	POWERSHARES ACTIVELY MANAGED EXCHAN	11,244.48	0.00	0.00	0.00	100.0	0.00
03/06/2018	CONCORDE IS	POWERSHARES DB COMMODITY INDEX TRAC	11,748.10	0.00	0.00	0.00	100.0	0.00
03/06/2018	CONCORDE IS	POWERSHARES DB COMMODITY INDEX TRAC	11,748.10	0.00	0.00	0.00	100.0	0.00
03/06/2018	CONCORDE IS	POWERSHARES EXCHANGE-TRADED FD TR I	69.43	0.00	0.00	0.00	100.0	0.00
03/06/2018	CONCORDE IS	POWERSHARES EXCHANGE-TRADED FD TR I	69.43	0.00	0.00	0.00	100.0	0.00
03/06/2018	CONCORDE IS	SPDR PORTFOLIO SMALL CAP ETF	90.99	0.00	0.00	0.00	100.0	0.00
03/06/2018	CONCORDE IS	SPDR PORTFOLIO SMALL CAP ETF	90.99	0.00	0.00	0.00	100.0	0.00
03/06/2018	CONCORDE IS	VANGUARD INTL EQUITY INDEX FD INC F	715.00	0.00	0.00	0.00	100.0	0.00
03/06/2018	CONCORDE IS	VANGUARD INTL EQUITY INDEX FD INC F	715.00	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$47,736.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: BONDS</u>								
03/22/2018	CONCORDE IS	***BNP PARIBAS US MTN IDX ZRO	48,480.00	0.00	0.00	0.00	100.0	0.00
03/22/2018	CONCORDE IS	***BNP PARIBAS US MTN IDX ZRO	-48,480.00	0.00	0.00	0.00	100.0	0.00
03/22/2018	CONCORDE IS	***BNP PARIBAS US MTN IDX ZRO	49,600.00	0.00	0.00	0.00	100.0	0.00
03/22/2018	CONCORDE IS	***BNP PARIBAS US MTN IDX ZRO	-49,600.00	0.00	0.00	0.00	100.0	0.00
03/22/2018	CONCORDE, VARIOUS	***BNP PARIBAS US MTN IDX ZRO	49,600.00	0.00	0.00	0.00	100.0	0.00
03/22/2018	CONCORDE, VARIOUS	***BNP PARIBAS US MTN IDX ZRO	49,600.00	0.00	0.00	0.00	100.0	0.00
03/07/2018	CONCORDE, VARIOUS	HSBC USA INC NEW SR IDX ZRO	3,919.50	0.00	0.00	0.00	100.0	0.00
03/07/2018	CONCORDE, VARIOUS	HSBC USA INC NEW SR IDX ZRO	3,919.50	0.00	0.00	0.00	100.0	0.00
* BONDS SUBTOTAL *			\$107,039.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/20/2018	LUND, VARIOUS	ADV CENTURY	700.00	36.75	0.00	36.75	100.0	36.75
04/02/2018	AUFFANT, KATHERINE	ADV CENTURY	500.00	20.00	0.00	20.00	100.0	20.00
04/03/2018	MOLIK, DANIEL	ADV CENTURY	500.00	26.25	0.00	26.25	100.0	26.25
04/03/2018	MORROW, DANIEL	ADV CENTURY	200.00	8.00	0.00	8.00	100.0	8.00
04/03/2018	STARK, TONI	ADV CENTURY	2,000.00	105.00	0.00	105.00	100.0	105.00
04/04/2018	KLEIN, MELAINE	ADV CENTURY	350.00	18.38	0.00	18.38	100.0	18.38

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
03/16/2018	FORMELLA, ANNETTE	GENWORTH INS	12,260.39	2.55	0.00	2.55	100.0	2.55
03/16/2018	FORMELLA, DAVID	GENWORTH INS	12,085.73	2.52	0.00	2.52	100.0	2.52
03/29/2018	LI, YUAN	HARTFORD LEADERS ILA	45,869.67	28.67	0.00	28.67	100.0	28.67
03/29/2018	PIROZZO, KATHLEEN	HARTFORD LEADERS OUTLOOK	36,928.47	92.32	0.00	92.32	100.0	92.32
03/29/2018	PIROZZO, PETER	HARTFORD LEADERS PLUS	150,436.61	94.02	0.00	94.02	100.0	94.02
03/29/2018	WHITE, CATHLEEN	HARTFORD LEADERS PLUS	22,777.61	14.24	0.00	14.24	100.0	14.24
03/29/2018	HUGGINS, STEVE	HARTFORD LIFE DIRECTOR 8	26,440.83	16.53	0.00	16.53	100.0	16.53
03/31/2018	PEEK, EUNICE	JOHN HANCOCK VANTAGE	15,618.47	3.25	0.00	3.25	100.0	3.25
03/31/2018	PEEK LI, VASSIE	JOHN HANCOCK VENTURE III	19,199.01	16.00	0.00	16.00	100.0	16.00
03/29/2018	ENRIGHT, MYRA	MINNESOTA LIFE MULTIOPTION ADV B	434,195.59	1,085.49	0.00	1,085.49	100.0	1,085.49
03/22/2018	MOXON, HAROLD	OHIO NATL ONCORE PREMIER	22,648.09	56.62	0.00	56.62	100.0	56.62
03/31/2018	LI, WEIXING	PRU AS ADVISOR PLAN - 1993	61,974.31	38.73	0.00	38.73	100.0	38.73
03/31/2018	KNEPPER, MAX	PRU AS ADVISOR PLAN III	26,289.16	16.43	0.00	16.43	100.0	16.43
03/31/2018	KNEPPER, MAX	PRU AS ADVISOR PLAN III	26,289.16	16.43	0.00	16.43	100.0	16.43
03/31/2018	LANE, JOAN	PRU AS APEX II	29,292.04	18.31	0.00	18.31	100.0	18.31
03/31/2018	LANE, JOAN	PRU AS APEX II	29,292.04	73.23	0.00	73.23	100.0	73.23
03/31/2018	DUSSEAU, KEITH	PRU AS APEX II	9,072.90	22.68	0.00	22.68	100.0	22.68
03/31/2018	DUSSEAU, KEITH	PRU AS APEX II	9,072.90	5.67	0.00	5.67	100.0	5.67
03/31/2018	BOOTS, ROBERTA	PRU AS APEX II	22,660.66	42.49	0.00	42.49	100.0	42.49
03/31/2018	BOOTS, ROBERTA	PRU AS APEX II	22,660.66	14.16	0.00	14.16	100.0	14.16
03/31/2018	DAILEY, SUZANNE	PRU AS APEX II	17,968.70	44.92	0.00	44.92	100.0	44.92
03/31/2018	DAILEY, SUZANNE	PRU AS APEX II	17,968.70	11.23	0.00	11.23	100.0	11.23
03/31/2018	GUINN, MARGARET	PRU AS LIFEVEST II	48,245.28	90.46	0.00	90.46	100.0	90.46
03/31/2018	GUINN, MARGARET	PRU AS LIFEVEST II	48,245.28	30.15	0.00	30.15	100.0	30.15
03/31/2018	GREENE, DAVID	PRU AS XTRA CREDIT	31,228.32	19.52	0.00	19.52	100.0	19.52
03/31/2018	HARTONG CR TRUST	PRU AS XTRA CREDIT	73,431.10	45.89	0.00	45.89	100.0	45.89
03/31/2018	BUCKEYE, ALEN	PRU AS XTRA CREDIT SIX	64,081.67	40.05	0.00	40.05	100.0	40.05
03/31/2018	SCHRITZ, BRYAN	PRU AS XTRA CREDIT SIX	38,134.70	23.83	0.00	23.83	100.0	23.83
03/31/2018	MORSE, MARK	PRU AS XTRA CREDIT SIX	25,785.57	16.12	0.00	16.12	100.0	16.12
03/31/2018	MCGINNIS, KATHLEEN	PRU AS XTRA CREDIT SIX	214,654.55	134.16	0.00	134.16	100.0	134.16
03/31/2018	GORDON, DAVID	PRU AS XTRA CREDIT SIX	441,141.73	275.71	0.00	275.71	100.0	275.71
03/31/2018	EVANS, SHARON	PRU AS XTRA CREDIT SIX	53,094.26	33.18	0.00	33.18	100.0	33.18
03/31/2018	VANDAL, DONALD	PRU AS XTRA CREDIT SIX	38,991.44	24.37	0.00	24.37	100.0	24.37

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2018	SHELTON, PAUL	PRU AS XTRA CREDIT SIX	14,295.15	8.93	0.00	8.93	100.0	8.93
03/31/2018	MCDONALD, CRAIG	PRU AS XTRA CREDIT VI	13,405.87	8.38	0.00	8.38	100.0	8.38
03/31/2018	HIGLEY, LIN	PRU DEFINED INCOME	282,579.96	706.45	0.00	706.45	100.0	706.45
03/31/2018	LORENCZ, MARY	PRU PREMIER INVT A	24,485.32	18.36	0.00	18.36	100.0	18.36
03/31/2018	TAMER, FIDAA	PRU PREMIER INVT A	14,463.10	10.85	0.00	10.85	100.0	10.85
03/31/2018	MITCHELL, JAMES	PRU PREMIER INVT A	66,045.80	49.53	0.00	49.53	100.0	49.53
03/31/2018	SEMENIK, JOHN	PRU PREMIER RETIREMENT B	85,617.49	53.51	0.00	53.51	100.0	53.51
03/31/2018	SEMENIK, EMILY	PRU PREMIER RETIREMENT B	179,708.27	112.32	0.00	112.32	100.0	112.32
03/31/2018	SCHECK, VICTORIA	PRU PREMIER RETIREMENT B	30,836.33	19.27	0.00	19.27	100.0	19.27
03/31/2018	COOPER, FRANK	PRU PREMIER RETIREMENT B	77,566.00	48.48	0.00	48.48	100.0	48.48
03/31/2018	ALBERT, ALLISON	PRU PREMIER RETIREMENT B	5,970.97	3.73	0.00	3.73	100.0	3.73
03/31/2018	ANNONI, LINDA	PRU PREMIER RETIREMENT B	49,719.52	31.07	0.00	31.07	100.0	31.07
03/31/2018	PERSCHKE, WILMA	PRU PREMIER RETIREMENT L	121,503.13	303.76	0.00	303.76	100.0	303.76
03/31/2018	REA, DIANA	PRU PREMIER RETIREMENT L	108,528.63	271.32	0.00	271.32	100.0	271.32
03/31/2018	GAVIN, GARY	PRU PREMIER RETIREMENT L	134,157.07	335.39	0.00	335.39	100.0	335.39
03/31/2018	GAVIN, LINDA	PRU PREMIER RETIREMENT L	132,842.90	332.11	0.00	332.11	100.0	332.11
03/31/2018	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	3,733.22	9.33	0.00	9.33	100.0	9.33
03/31/2018	BACHTELL, JAMES	PRU PREMIER RETIREMENT X	35,994.77	22.50	0.00	22.50	100.0	22.50
03/31/2018	MCCANDLESS, JAMES	PRU PREMIER RETIREMENT X	438,550.08	274.09	0.00	274.09	100.0	274.09
03/31/2018	WILLS, KAREN	PRU PREMIER RETIREMENT X	558,374.00	348.98	0.00	348.98	100.0	348.98
03/31/2018	LUCIER, LYNN	PRU PREMIER RETIREMENT X	62,692.96	39.18	0.00	39.18	100.0	39.18
03/31/2018	LUCIER, ROBERT	PRU PREMIER RETIREMENT X	166,512.22	104.07	0.00	104.07	100.0	104.07
03/28/2018	RIDDLEBARGE, DAWN	SBL ADVISOR PROGRAM	789.10	0.16	0.00	0.16	100.0	0.16
03/28/2018	BURNSIDE, SHARON	SBL ADVISOR PROGRAM	10,293.79	2.14	0.00	2.14	100.0	2.14
03/28/2018	LOOMIS, CATHERINE	SBL ADVISOR PROGRAM	5,098.48	1.06	0.00	1.06	100.0	1.06
03/02/2018	BREWER, VARIOUS	TRANSAMERICA EXTRA	36,034.93	30.03	0.00	30.03	100.0	30.03
03/04/2018	BELTRAN, RANDOLPH	TRANSAMERICA EXTRA	107,008.35	89.17	0.00	89.17	100.0	89.17
03/27/2018	LEONARD, NITA	TRANSAMERICA EXTRA	46,952.59	39.13	0.00	39.13	100.0	39.13
03/12/2018	WILLIAMS, JESSIE	TRANSAMERICA LIBERTY	70,478.76	58.73	0.00	58.73	100.0	58.73
03/24/2018	MORRISON, BARRETT	TRANSAMERICA PRINCIPIUM	83,810.97	69.84	0.00	69.84	100.0	69.84
* VARIABLE ANNUITIES SUBTOTAL *			\$5,120,335.33	\$6,066.18	\$0.00	\$6,066.18		\$6,066.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
03/21/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	8.26	0.00	8.26	100.0	8.26
03/26/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	1.46	0.00	1.46	100.0	1.46
04/02/2018	FOELS, BRUCE	TRANSAMERICA LIFE	83.50	1.04	0.00	1.04	100.0	1.04
04/02/2018	KIRCHNER, DUANE	TRANSAMERICA LIFE	54,348.16	33.97	0.00	33.97	100.0	33.97
04/02/2018	FITTERER, RUTH	TRANSAMERICA LIFE	31,337.72	195.86	0.00	195.86	100.0	195.86
04/02/2018	FITTERER, DELORIS	TRANSAMERICA LIFE	69,827.58	43.64	0.00	43.64	100.0	43.64
04/03/2018	GARTNER, MARK	TRANSAMERICA LIFE	55,676.43	34.80	0.00	34.80	100.0	34.80
04/03/2018	SCHMIT, DALE	TRANSAMERICA LIFE	15,335.71	9.58	0.00	9.58	100.0	9.58
04/03/2018	KIRCHNER, PAUL	TRANSAMERICA LIFE	132,360.48	82.73	0.00	82.73	100.0	82.73
04/03/2018	KIRCHNER, JACOB	TRANSAMERICA LIFE	62,148.73	38.84	0.00	38.84	100.0	38.84
04/03/2018	PICHNER, DOUGLAS	TRANSAMERICA LIFE	40,115.90	25.07	0.00	25.07	100.0	25.07
04/03/2018	BEATY, SIGNE	TRANSAMERICA LIFE	602,309.35	376.44	0.00	376.44	100.0	376.44
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$1,063,543.56	\$851.69	\$0.00	\$851.69		\$851.69
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/15/2018	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.62	0.00	0.62	100.0	0.62
03/15/2018	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.31	0.00	0.31	100.0	0.31
03/15/2018	WHITING, DENISE	AM FUNDS NEW PERSPECTIVE A	0.00	1.42	0.00	1.42	100.0	1.42
03/31/2018	KLEMP, DANIAL	DELAWARE VALUE A	0.00	8.20	0.00	8.20	100.0	8.20
03/31/2018	SIDLOROBEG	DELAWARE VALUE A	0.00	35.60	0.00	35.60	100.0	35.60
03/15/2018	LOHR, KIMBERLY	FRANKLIN INCOME A	0.00	0.01	0.00	0.01	100.0	0.01
03/15/2018	MCCORKLE, ERIC	FT FOUNDING FDS ALLOC C	0.00	1.49	0.00	1.49	100.0	1.49
04/01/2018	RADDEN, JACOB	MFS AGGRESS GROWTH ALLOCATION 529C	0.00	30.70	0.00	30.70	100.0	30.70
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$78.35	\$0.00	\$78.35		\$78.35
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/23/2018	GLOSS, DEMETRA	JEFFERSON NAT'L TRAILS	220,708.33	1,103.54	0.00	1,103.54	100.0	1,103.54
03/23/2018	GLOSS, DEMETRA	JEFFERSON NAT'L TRAILS	8,006.10	40.03	0.00	40.03	100.0	40.03
03/29/2018	LUND, VARIOUS	ADV CENTURY	0.00	503.28	0.00	503.28	100.0	503.28
01/02/2018	NTC & CO	ING EMPIRE INNOVATIONS STD OPT A	-115,097.65	-287.74	0.00	-287.74	100.0	-287.74
01/02/2018	NTC & CO	ING EMPIRE INNOVATIONS STD OPT A	-146,883.69	-367.21	0.00	-367.21	100.0	-367.21
03/28/2018	LIGON, NANCY	ING LANDMARK	41,511.95	129.72	0.00	129.72	100.0	129.72
03/26/2018	WAGNER, CHARLES	PAC LIFE	0.00	162.36	0.00	162.36	100.0	162.36
03/28/2018	HALES, WILLIAM	PAC LIFE INNOVATIVE SELECT	0.00	74.36	0.00	74.36	100.0	74.36

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/26/2018	WEST, JERRY	PAC LIFE ONE	0.00	15.68	0.00	15.68	100.0	15.68
03/19/2018	BRAMER, GEORGE	PAC LIFE PORTFOLIOS	0.00	75.41	0.00	75.41	100.0	75.41
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$8,245.04	\$1,449.43	\$0.00	\$1,449.43		\$1,449.43
*** DIRECT TRANSACTIONS TOTAL ***			\$6,351,253.93	\$8,574.84	\$0.00	\$8,574.84		\$8,574.84

VERRIDE TRANSACTIONS**BROKER: JAMES PANOS #10**

/ /	MACUCH, PAUL	FA 529 COLLEGE PORT C	0.00	0.12	0.00	0.12	0.0	0.12
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT CL A	0.00	2.10	0.00	2.10	0.0	2.10
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GRWTH PORT CL A	0.00	0.02	0.00	0.02	0.0	0.02
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GRWTH PORT CL A	0.00	3.33	0.00	3.33	0.0	3.33
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT CL A	0.00	0.01	0.00	0.01	0.0	0.01
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT CL A	0.00	3.73	0.00	3.73	0.0	3.73
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT A	0.00	0.02	0.00	0.02	0.0	0.02
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT A	0.00	3.51	0.00	3.51	0.0	3.51
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 A	0.00	15.68	0.00	15.68	0.0	15.68
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 A	0.00	0.74	0.00	0.74	0.0	0.74
/ /	DORAN, PATRICK	FA 529 PORT 2019 A	0.00	2.14	0.00	2.14	0.0	2.14
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	2.32	0.00	2.32	0.0	2.32
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	7.26	0.00	7.26	0.0	7.26
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	7.26	0.00	7.26	0.0	7.26
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	2.42	0.00	2.42	0.0	2.42
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	2.42	0.00	2.42	0.0	2.42
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	7.26	0.00	7.26	0.0	7.26
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	0.00	16.28	0.00	16.28	0.0	16.28
/ /	LORA, MARCOS	FA 529 PORT 2022 CL A	0.00	9.63	0.00	9.63	0.0	9.63
/ /	LORA, CATALINA	FA 529 PORT 2022 CL A	0.00	5.12	0.00	5.12	0.0	5.12
/ /	POWERS, GARY	FA 529 PORT 2022 CL A	0.00	2.78	0.00	2.78	0.0	2.78
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	0.00	4.21	0.00	4.21	0.0	4.21
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	0.00	6.34	0.00	6.34	0.0	6.34
/ /	DORAN, PATRICK	FA 529 PORT 2022 CL A	0.00	1.33	0.00	1.33	0.0	1.33
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 CL A	0.00	7.29	0.00	7.29	0.0	7.29

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAMES PANOS #10</u>								
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	1.60	0.00	1.60	0.0	1.60
/ /	DORAN, SHAWN	FA 529 PORT 2022 CL A	0.00	0.87	0.00	0.87	0.0	0.87
/ /	LORA, ROLANDO	FA 529 PORT 2022 CL A	0.00	3.95	0.00	3.95	0.0	3.95
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 CL A	0.00	0.79	0.00	0.79	0.0	0.79
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	0.00	10.27	0.00	10.27	0.0	10.27
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.61	0.00	1.61	0.0	1.61
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.61	0.00	1.61	0.0	1.61
/ /	MURPHY, CHRIS	FA 529 PORT 2025 A	0.00	5.81	0.00	5.81	0.0	5.81
/ /	MACK, NEIL	FA 529 PORT 2025 A	0.00	2.40	0.00	2.40	0.0	2.40
04/05/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
04/05/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	14.42	0.00	14.42	0.0	14.42
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	12.37	0.00	12.37	0.0	12.37
04/02/2018	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
04/02/2018	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.87	0.00	3.87	0.0	3.87
/ /	MACK, NEIL	FA 529 PORT 2028 A	0.00	1.20	0.00	1.20	0.0	1.20
04/05/2018	BRYAN, TRICIA	FA 529 PORT 2028 A	120.00	6.00	0.00	6.00	0.0	6.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	13.91	0.00	13.91	0.0	13.91
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	12.80	0.00	12.80	0.0	12.80
04/02/2018	MACK, NEIL	FA 529 PORT 2028 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.71	0.00	0.71	0.0	0.71
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	2.50	0.00	2.50	0.0	2.50
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	3.34	0.00	3.34	0.0	3.34
/ /	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	1.84	0.00	1.84	0.0	1.84
/ /	FACADA, MANUEL	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	7.20	0.00	7.20	0.0	7.20
/ /	FACADA, ANNA	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.14	0.00	6.14	0.0	6.14
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.11	0.00	0.11	0.0	0.11
04/02/2018	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	125.00	6.25	0.00	6.25	0.0	6.25
* JIM LUND SUBTOTAL *			\$1,195.00	\$252.39	\$0.00	\$252.39		\$252.39

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: DANIEL WOLF #11</u>								
03/30/2018	MARTIN, RONALD	NW DESTINATION B2 05	18,029.93	33.81	0.00	33.81	0.0	33.81
03/30/2018	CARIGNAN, JAMES	NW DESTINATION B2 05	189,252.87	354.85	0.00	354.85	0.0	354.85
* DANIEL WOLF SUBTOTAL *			\$207,282.80	\$388.66	\$0.00	\$388.66		\$388.66
<u>BROKER: ROBERT CHESTER #21</u>								
03/28/2018	MARVIN, CYNTHIA	BLK GLOBAL ALLOCATION A	0.00	0.39	0.00	0.39	0.0	0.39
03/28/2018	DILTS, ERIC	BLK MANAGED VOLATILITY A	0.00	1.20	0.00	1.20	0.0	1.20
03/16/2018	MARVIN, CYNTHIA	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	0.20	0.00	0.20	0.0	0.20
* ROBERT CHESTER SUBTOTAL *			\$0.00	\$1.79	\$0.00	\$1.79		\$1.79
<u>BROKER: EDWARD MCMANUS #25</u>								
03/29/2018	GRIBBLE, MARGARET	ALLIANZ VISION BASIC	27,739.24	69.35	0.00	69.35	0.0	69.35
03/15/2018	REESE, BARBARA	FRANKLIN HIGH INCOME C	0.00	0.23	0.00	0.23	0.0	0.23
03/15/2018	REESE, BARBARA	FRANKLIN INCOME C	0.00	0.24	0.00	0.24	0.0	0.24
03/30/2018	KINCAID, LINDA	NATIONWIDE MARKETFLEX BB	40,578.82	25.36	0.00	25.36	0.0	25.36
03/19/2018	SPENCER FAMILY TRUST	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	1.21	0.00	1.21	0.0	1.21
03/19/2018	MCGREW, JAMES	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.08	0.00	2.08	0.0	2.08
04/02/2018	COLVARD, DAVID	PUTNAM EUROPE EQUITY A	0.00	0.27	0.00	0.27	0.0	0.27
04/02/2018	COLVARD, DAVID	PUTNAM GLOBAL EQUITY A	0.00	0.05	0.00	0.05	0.0	0.05
04/02/2018	COLVARD, DAVID	PUTNAM INTERNATIONAL EQUITY-A	0.00	0.18	0.00	0.18	0.0	0.18
04/02/2018	COLVARD, DAVID	PUTNAM MULTI CAP GROWTH A	0.00	0.51	0.00	0.51	0.0	0.51
03/05/2018	TEMPLE, GORDON	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.14	0.00	1.14	0.0	1.14
03/15/2018	TEMPLE, GORDON	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.00	0.00	1.00	0.0	1.00
03/15/2018	REESE, BARBARA	THE MULTI-STRATEGY GROWTH & INCOME	0.00	1.43	0.00	1.43	0.0	1.43
* EDWARD MCMANUS SUBTOTAL *			\$68,318.06	\$103.05	\$0.00	\$103.05		\$103.05
<u>BROKER: ERIC HORNYAK #29</u>								
03/09/2018	JOHNS, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	25.13	0.00	25.13	0.0	25.13
03/09/2018	CAUL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.42	0.00	0.42	0.0	0.42
03/09/2018	GELow, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.60	0.00	0.60	0.0	0.60
03/09/2018	GELow, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.53	0.00	0.53	0.0	0.53
03/09/2018	PALFFY, CARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.57	0.00	4.57	0.0	4.57
03/09/2018	CAUL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.70	0.00	0.70	0.0	0.70
03/09/2018	JOHNS, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.04	0.00	13.04	0.0	13.04
03/09/2018	JOHNS, JOHN	BLK GLOBAL ALLOCATION A	0.00	1.28	0.00	1.28	0.0	1.28

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ERIC HORNYAK #29 </u>								
02/28/2018	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	1.01	0.00	1.01	0.0	1.01
02/28/2018	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	0.19	0.00	0.19	0.0	0.19
03/09/2018	HOWARD, JAMES	FT TEMPLETON GLOBAL OPP TRUST C	0.00	25.65	0.00	25.65	0.0	25.65
/ /	CAUL, JEFFREY	JH 529 PORT 2021-2024 A	0.00	0.59	0.00	0.59	0.0	0.59
03/09/2018	BROUSE, SARAH	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	0.73	0.00	0.73	0.0	0.73
03/09/2018	HOWARD, JAMES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	26.29	0.00	26.29	0.0	26.29
03/09/2018	SIELSKI, OLA	TRANSAMERICA SHORT-TERM BOND C	0.00	8.30	0.00	8.30	0.0	8.30
03/09/2018	HOWARD, JAMES	TRANSAMERICA SHORT-TERM BOND C	0.00	19.77	0.00	19.77	0.0	19.77
* ERIC HORNYAK SUBTOTAL *			\$0.00	\$128.80	\$0.00	\$128.80		\$128.80
<u> BROKER: THOMAS BOAKS #33 </u>								
/ /	SKIBA, TODD	BLK GLOBAL ALLOCATION A	0.00	6.07	0.00	6.07	0.0	6.07
02/28/2018	PITTE, JOELA	BLK GLOBAL ALLOCATION A	0.00	2.06	0.00	2.06	0.0	2.06
02/28/2018	WITT, AMANDA	BLK GLOBAL ALLOCATION A	0.00	0.31	0.00	0.31	0.0	0.31
03/29/2018	PUDIL, HSIU CHIN	GENWORTH TRAILS	362.17	0.06	0.00	0.06	0.0	0.06
03/29/2018	LASALLE, BARBARA	GENWORTH TRAILS	347,085.90	289.24	0.00	289.24	0.0	289.24
03/29/2018	GEORGE, CAROLE	GENWORTH TRAILS	155,289.75	129.41	0.00	129.41	0.0	129.41
* THOMAS BOAKS SUBTOTAL *			\$502,737.82	\$427.15	\$0.00	\$427.15		\$427.15
<u> BROKER: BARTHOLOMEW MACKLER #35 </u>								
03/29/2018	MEDIODIA, GIL	ALLIANZ ALTERITY OPTIONS	29,477.57	36.85	0.00	36.85	0.0	36.85
03/09/2018	DIX, DAVID	ALLIANZ INCOME & GROWTH FND	0.00	32.63	0.00	32.63	0.0	32.63
03/09/2018	LINGEMANN, HELEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.45	0.00	12.45	0.0	12.45
03/09/2018	KALOHN TRUST, RUTHANN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	19.21	0.00	19.21	0.0	19.21
03/09/2018	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.76	0.00	10.76	0.0	10.76
03/09/2018	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.23	0.00	6.23	0.0	6.23
03/09/2018	ODOM, EDDIE	AM FUNDS ICA A	0.00	1.27	0.00	1.27	0.0	1.27
03/09/2018	LINGEMANN, HELEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.25	0.00	5.25	0.0	5.25
03/09/2018	ODOM, EDDIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	42.27	0.00	42.27	0.0	42.27
03/09/2018	MILLER, ROBERT	BLK GLOBAL ALLOCATION A	0.00	3.24	0.00	3.24	0.0	3.24
03/09/2018	MARSH, GARY	COLUMBIA DIVIDEND OPP A	0.00	4.15	0.00	4.15	0.0	4.15
02/28/2018	HERMAN, JENNIFER	CYTOSORBENTS CORPORATION	741.00	22.00	14.00	8.00	0.0	8.00
03/09/2018	PALFFY, AMANDA	DAVIS NEW YORK VENTURE B	0.00	2.29	0.00	2.29	0.0	2.29
03/09/2018	PALFFY, JESSICA	DAVIS NEW YORK VENTURE B	0.00	1.85	0.00	1.85	0.0	1.85

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: BARTHOLOMEW MACKLER #35 </u>								
03/09/2018	PALFFY, VICTORIA	DAVIS NEW YORK VENTURE B	0.00	1.38	0.00	1.38	0.0	1.38
03/09/2018	PALFFY, AMANDA	FEDERATED CAPITAL INCOME B	0.00	0.11	0.00	0.11	0.0	0.11
03/09/2018	PALFFY, JESSICA	FEDERATED CAPITAL INCOME B	0.00	0.09	0.00	0.09	0.0	0.09
03/09/2018	PALFFY, VICTORIA	FEDERATED CAPITAL INCOME B	0.00	0.07	0.00	0.07	0.0	0.07
03/09/2018	QUENNEVILLE, JEROME	FEDERATED CAPITAL INCOME B	0.00	4.54	0.00	4.54	0.0	4.54
03/09/2018	ALLOR, PATRICK	FIRST EAGLE GLOBAL FUND CL A	0.00	3.86	0.00	3.86	0.0	3.86
03/09/2018	LINGEMANN TRUST, THOMAS	FRANKLIN MI TAX FR INCOME A	0.00	0.75	0.00	0.75	0.0	0.75
03/09/2018	MILLER, ROBERT	IVY ASSET STRATEGY FUND CLASS A	0.00	2.78	0.00	2.78	0.0	2.78
03/31/2018	DAMRON, ROBERT	JNL PERSPECTIVE	285,663.11	59.51	0.00	59.51	0.0	59.51
03/31/2018	JOHNSON, WILLIAM	JNL PERSPECTIVE	1,758.09	0.37	0.00	0.37	0.0	0.37
03/31/2018	MITCHELL, DONALD	JNL PERSPECTIVE	8,680.42	1.81	0.00	1.81	0.0	1.81
03/31/2018	ROHLOFF, CARL	JNL PERSPECTIVE	15,654.57	3.26	0.00	3.26	0.0	3.26
03/31/2018	SCHNELL, JAMES	JNL PERSPECTIVE	5,109.49	2.13	0.00	2.13	0.0	2.13
03/31/2018	ANTON, CAROLE	JNL PERSPECTIVE II 04/14	24,170.78	10.07	0.00	10.07	0.0	10.07
03/31/2018	RYAN, NANCY	JNL PERSPECTIVE L	61,271.97	51.06	0.00	51.06	0.0	51.06
03/31/2018	MAZURKIEWICZ, JAMES	JNL PERSPECTIVE L	48,982.89	40.82	0.00	40.82	0.0	40.82
03/31/2018	SNYDER, MICHAEL C	JNL PERSPECTIVE L	37,638.69	31.37	0.00	31.37	0.0	31.37
03/09/2018	HORTON, RICHARD	LEGG MASON CLEARBRIDGE TACTICAL DIV	0.00	3.34	0.00	3.34	0.0	3.34
04/01/2018	MARKEL, DENNIS	MFS GROWTH A	0.00	24.62	0.00	24.62	0.0	24.62
/ /	BORR, DIANE	OPPENHEIMER EQUITY INCOME A	0.00	22.96	0.00	22.96	0.0	22.96
/ /	LOWELL, CATHY	OPPENHEIMER GLOBAL A	0.00	10.12	0.00	10.12	0.0	10.12
/ /	GAFFNEY, PAUL	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	0.47	0.00	0.47	0.0	0.47
/ /	MAPLEY, CRISSELLA	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	5.13	0.00	5.13	0.0	5.13
/ /	WAGNER, CHARLES	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	7.60	0.00	7.60	0.0	7.60
/ /	BORR, RUDOLPH	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	4.82	0.00	4.82	0.0	4.82
03/09/2018	LINGEMANN TRUST, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.84	0.00	0.84	0.0	0.84
03/09/2018	LAMARRA, BEN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.69	0.00	0.69	0.0	0.69
03/09/2018	MAPLEY, CRISSELLA	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.18	0.00	1.18	0.0	1.18
03/09/2018	CURL, BRIAN K	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.88	0.00	0.88	0.0	0.88
03/09/2018	BECK III, FRANKLIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.08	0.00	0.08	0.0	0.08
03/09/2018	NIPPA, BETTY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.65	0.00	0.65	0.0	0.65
03/09/2018	QUENNEVILLE, JEROME	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.41	0.00	0.41	0.0	0.41
/ /	BORR, DIANE	OPPENHEIMER INTL DIVERSIFIED A	0.00	13.58	0.00	13.58	0.0	13.58

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: BARTHOLOMEW MACKLER #35 </u>								
03/09/2018	LINGEMANN, HELEN	OPPENHEIMER INTL DIVERSIFIED A	0.00	7.04	0.00	7.04	0.0	7.04
03/09/2018	LINGEMANN, HELEN	OPPENHEIMER LIMITED TERM MUNI A	0.00	5.07	0.00	5.07	0.0	5.07
/ /	GAFFNEY, PAUL	OPPENHEIMER MAIN STREET A	0.00	3.53	0.00	3.53	0.0	3.53
/ /	CHATTULANI, DIANA	OPPENHEIMER MAIN STREET A	0.00	13.36	0.00	13.36	0.0	13.36
03/31/2018	KAZMIROWSKI, THOMAS	PRU AS XTRA CREDIT SIX	30,849.72	19.28	0.00	19.28	0.0	19.28
03/31/2018	KAZMIROWSKI, THOMAS	PRU AS XTRA CREDIT SIX	30,849.72	19.28	0.00	19.28	0.0	19.28
04/02/2018	SCHNARS, MICHAEL	PUTNAM AMERICAN GOVT INCOME-A	0.00	1.44	0.00	1.44	0.0	1.44
04/02/2018	SCHNARS, MICHAEL	PUTNAM CONV SECURITIES A	0.00	6.39	0.00	6.39	0.0	6.39
03/09/2018	TUMINO TRUST, RAPHAEL	PUTNAM EQUITY INCOME A	0.00	6.51	0.00	6.51	0.0	6.51
04/02/2018	SCHNARS, MICHAEL	PUTNAM EUROPE EQUITY A	0.00	1.37	0.00	1.37	0.0	1.37
04/02/2018	SCHNARS, MICHAEL	PUTNAM GLOBAL EQUITY A	0.00	8.25	0.00	8.25	0.0	8.25
04/02/2018	SCHNARS, MICHAEL	PUTNAM GLOBAL HEALTH CARE A	0.00	2.30	0.00	2.30	0.0	2.30
04/02/2018	SCHNARS, MICHAEL	PUTNAM GROWTH OPP A	0.00	1.71	0.00	1.71	0.0	1.71
04/02/2018	SCHNARS, MICHAEL	PUTNAM INTL CAPITAL OPPS-A	0.00	2.85	0.00	2.85	0.0	2.85
04/02/2018	SCHNARS, MICHAEL	PUTNAM INVESTORS A	0.00	1.01	0.00	1.01	0.0	1.01
04/02/2018	SCHNARS, MICHAEL	PUTNAM MULTI CAP GROWTH A	0.00	2.17	0.00	2.17	0.0	2.17
03/09/2018	TUMINO TRUST, RAPHAEL	PUTNAM TAX EXEMPT INCOME-A	0.00	1.54	0.00	1.54	0.0	1.54
04/03/2018	MACKLER, PATRICK	TRANSAMERICA AA MODERATE A	0.00	1.18	0.00	1.18	0.0	1.18
04/03/2018	TERRELL, KATHLEEN	TRANSAMERICA AA MODERATE C	0.00	9.61	0.00	9.61	0.0	9.61
04/03/2018	KRYSTOFF, LAURA	TRANSAMERICA AA MODERATE C	0.00	31.27	0.00	31.27	0.0	31.27
04/03/2018	MACKLER, PATRICK	TRANSAMERICA MULTI MGR INTL A	0.00	1.01	0.00	1.01	0.0	1.01
03/01/2018	HORTON, NANCY	VIACOM INC NEW CLASS B	1,166.90	22.00	14.00	8.00	0.0	8.00
03/23/2018	JOHNSON, WILLIAM	VIACOM INC NEW CLASS B	19,643.07	22.00	14.00	8.00	0.0	8.00
* BARTHOLOMEW MACKLER SUBTOTAL *			\$601,657.99	\$701.97	\$42.00	\$659.97		\$659.97
<u> BROKER: BRUCE BAXTER #38 </u>								
03/27/2018	GOLSCH, INVESTMENT LTD	ING ACCESS	21,272.37	53.18	0.00	53.18	0.0	53.18
04/01/2018	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	0.00	16.76	0.00	16.76	0.0	16.76
04/02/2018	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	5.00
04/01/2018	BAXTER-SAVAGE, MEGAN	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	45.94	0.00	45.94	0.0	45.94
04/01/2018	BRENNEMAN, W JOHN	MFS GROWTH A	0.00	7.72	0.00	7.72	0.0	7.72
04/01/2018	WARTHMAN, JODY	MFS GROWTH A	0.00	0.96	0.00	0.96	0.0	0.96
04/01/2018	BAXTER, RYAN	MFS GROWTH ALLOCATION A	0.00	5.32	0.00	5.32	0.0	5.32
04/01/2018	BAXTER, SARAH	MFS GROWTH ALLOCATION A	0.00	5.96	0.00	5.96	0.0	5.96

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: BRUCE BAXTER #38</u>								
03/28/2018	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	15,481.52	5.81	0.00	5.81	0.0	5.81
		* BRUCE BAXTER SUBTOTAL *	\$36,853.89	\$146.65	\$0.00	\$146.65		\$146.65
<u>BROKER: MELANIE DICENSO #43</u>								
03/15/2018	BEATTY, MATTHEW	AM FUNDS BOND FUND OF AMERICA F1	0.00	5.50	0.00	5.50	0.0	5.50
03/15/2018	BEATTY, MATTHEW	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	3.59	0.00	3.59	0.0	3.59
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.14	0.00	1.14	0.0	1.14
04/03/2018	DAYTON, ROBERT	PIONEER FUND A	0.00	0.93	0.00	0.93	0.0	0.93
04/02/2018	JOSEPH, CATHERINE	PUTNAM EUROPE EQUITY A	0.00	0.10	0.00	0.10	0.0	0.10
04/02/2018	JOSEPH, CATHERINE	PUTNAM GROWTH OPP A	0.00	0.39	0.00	0.39	0.0	0.39
04/02/2018	JOSEPH, CATHERINE	PUTNAM INTERNATIONAL EQUITY-A	0.00	0.17	0.00	0.17	0.0	0.17
04/02/2018	WRIGHT, ANGELA	PUTNAM MULTI CAP GROWTH A	0.00	23.02	0.00	23.02	0.0	23.02
04/02/2018	JOSEPH, CATHERINE	PUTNAM MULTI CAP GROWTH A	0.00	0.04	0.00	0.04	0.0	0.04
04/02/2018	WRIGHT, ANGELA	PUTNAM MULTI-CAP VALUE A	0.00	4.19	0.00	4.19	0.0	4.19
03/28/2018	COLE, SUSAN	SBL ADVISOR PROGRAM	20,383.39	4.25	0.00	4.25	0.0	4.25
03/28/2018	COLE, SUSAN	SBL ADVISOR PROGRAM	50.00	2.00	0.00	2.00	0.0	2.00
		* MELANIE DICENSO SUBTOTAL *	\$20,433.39	\$45.32	\$0.00	\$45.32		\$45.32
<u>BROKER: JOHN BEAN #45</u>								
03/15/2018	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.32	0.00	0.32	0.0	0.32
03/15/2018	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
03/15/2018	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
03/15/2018	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
03/15/2018	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.25	0.00	0.25	0.0	0.25
03/15/2018	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.05
03/15/2018	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.05
03/15/2018	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.05
03/15/2018	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.82	0.00	0.82	0.0	0.82
03/15/2018	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.17	0.00	0.17	0.0	0.17
03/15/2018	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.17	0.00	0.17	0.0	0.17
03/15/2018	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.17	0.00	0.17	0.0	0.17
03/22/2018	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.23	0.00	0.23	0.0	0.23
03/22/2018	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.11	0.00	0.11	0.0	0.11
03/22/2018	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.16	0.00	0.16	0.0	0.16

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JOHN BEAN #45 </u>								
03/15/2018	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.69	0.00	0.69	0.0	0.69
03/15/2018	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.58	0.00	0.58	0.0	0.58
03/15/2018	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.42	0.00	0.42	0.0	0.42
03/15/2018	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.39	0.00	0.39	0.0	0.39
03/15/2018	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.08	0.00	0.08	0.0	0.08
03/15/2018	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.31	0.00	0.31	0.0	0.31
03/15/2018	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.15	0.00	0.15	0.0	0.15
03/15/2018	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.08	0.00	0.08	0.0	0.08
03/30/2018	MAST, DEBORAH	NATIONWIDE DESTINATION L2 01	96,675.89	241.69	0.00	241.69	0.0	241.69
03/20/2018	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.97	0.00	0.97	0.0	0.97
03/20/2018	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	4.85	0.00	4.85	0.0	4.85
* JOHN BEAN SUBTOTAL *			\$96,675.89	\$252.97	\$0.00	\$252.97		\$252.97
<u> BROKER: ROBERT (RUSTY) TWEED #58 </u>								
03/15/2018	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	8.40	0.00	8.40	0.0	8.40
03/29/2018	BURTON, MIKE	AXA ACCUM 04 ELITE (RO IRA)	56,416.04	176.30	0.00	176.30	0.0	176.30
03/29/2018	BURTON, CATHERINE	AXA ACCUM 04 ELITE (RO IRA)	46,785.64	146.21	0.00	146.21	0.0	146.21
03/29/2018	BRANIK, ROBERT	AXA ACCUM 04 ELITE (RO IRA)	16,380.10	51.19	0.00	51.19	0.0	51.19
03/29/2018	CLARK, JEAN MARIE	AXA ACCUM 07 ELITE (RO IRA)	11,861.13	29.65	0.00	29.65	0.0	29.65
03/01/2018	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.39	0.00	2.39	0.0	2.39
03/23/2018	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.17	0.00	2.17	0.0	2.17
03/15/2018	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	2.06	0.00	2.06	0.0	2.06
03/21/2018	LEDWITZ TRUST, MARTIN & ELIZABJAMES	ALPHA GLOBAL REAL ESTATE FUND	0.00	0.91	0.00	0.91	0.0	0.91
03/16/2018	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	7.82	0.00	7.82	0.0	7.82
03/31/2018	ZAX TRUST	JNL PERSPECTIVE	388.35	0.08	0.00	0.08	0.0	0.08
03/31/2018	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	8,764.63	3.65	0.00	3.65	0.0	3.65
03/31/2018	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	62,581.36	67.80	0.00	67.80	0.0	67.80
03/31/2018	WHITE, LINDA	JNL PERSPECTIVE L	78,913.17	65.76	0.00	65.76	0.0	65.76
03/31/2018	ENGSTROM, WAYNE	JNL PERSPECTIVE L	33,834.85	28.20	0.00	28.20	0.0	28.20
03/31/2018	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	14,829.99	12.36	0.00	12.36	0.0	12.36
03/20/2018	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	10.16	0.00	10.16	0.0	10.16
04/03/2018	MYERS, BARBARA	PIONEER EQUITY INCOME A	0.00	3.97	0.00	3.97	0.0	3.97
04/03/2018	MYERS, BARBARA	PIONEER MID CAP VALUE A	0.00	0.45	0.00	0.45	0.0	0.45
04/03/2018	ENGSTROM, NOAH	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	4.97	0.00	4.97	0.0	4.97

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ROBERT (RUSTY) TWEED #58</u>								
03/26/2018	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	4.94	0.00	4.94	0.0	4.94
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$330,755.26	\$629.44	\$0.00	\$629.44		\$629.44
<u>BROKER: JIM LUND #60</u>								
03/29/2018	DOBBINS, BARBARA	AXA RETIREMENT CORNERSTONE 13 B	108,040.51	67.53	0.00	67.53	0.0	67.53
03/29/2018	HAIGH, PEGGY	AXA RETIREMENT CORNERSTONE 13 B	95,117.07	59.45	0.00	59.45	0.0	59.45
03/20/2018	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	1.20
/ /	ELLSWORTH, CAROL	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	14.86	0.00	14.86	0.0	14.86
/ /	HOLZINGER, DIANE	OPPENHEIMER MAIN STREET A	0.00	30.89	0.00	30.89	0.0	30.89
/ /	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	10.06	0.00	10.06	0.0	10.06
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	16.16	0.00	16.16	0.0	16.16
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	2.14	0.00	2.14	0.0	2.14
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	8.55	0.00	8.55	0.0	8.55
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	0.73	0.00	0.73	0.0	0.73
* JIM LUND SUBTOTAL *			\$203,277.58	\$211.57	\$0.00	\$211.57		\$211.57
<u>BROKER: JAMES PANOS #61</u>								
03/31/2018	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	83,822.03	69.85	0.00	69.85	0.0	69.85
03/31/2018	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	214,298.04	89.29	0.00	89.29	0.0	89.29
* JAMES PANOS SUBTOTAL *			\$298,120.07	\$159.14	\$0.00	\$159.14		\$159.14
<u>BROKER: JEFFREY BUTLER #93</u>								
03/29/2018	HOLLIDAY SHOPPING CT, 401K	HARTFORD REITREMENT PLANS	487,448.73	609.31	0.00	609.31	0.0	609.31
03/31/2018	CRAWFORD, ROBERT	LINCOLN BENEFIT TRAIL RENEWALS	63,562.93	39.73	0.00	39.73	0.0	39.73
* JEFFREY BUTLER SUBTOTAL *			\$551,011.66	\$649.04	\$0.00	\$649.04		\$649.04
<u>BROKER: ERIK BLOOMFELDT #105</u>								
/ /	FREER, JADA	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	4.06	0.00	4.06	0.0	4.06
* ERIK BLOOMFELDT SUBTOTAL *			\$0.00	\$4.06	\$0.00	\$4.06		\$4.06
<u>BROKER: DANIEL COLLINS #106</u>								
03/14/2018	WHITE, JACKSON	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	4.30	0.00	4.30	0.0	4.30
03/14/2018	WHITE, MARGARET	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	10.49	0.00	10.49	0.0	10.49
03/14/2018	PARSONS, VIRGINIA	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	5.25	0.00	5.25	0.0	5.25
03/14/2018	PARSONS, VIRGINIA	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	2.08	0.00	2.08	0.0	2.08
03/15/2018	JESTER, CATHERINE	AM FUNDS ICA A	0.00	5.82	0.00	5.82	0.0	5.82

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: DANIEL COLLINS #106 </u>								
/ /	MORRISON, ISABELLA	COLLEGE PORTFOLIO B	0.00	0.23	0.00	0.23	0.0	0.23
/ /	MORRISON, ALEXANDRA	COLLEGE PORTFOLIO B	0.00	0.23	0.00	0.23	0.0	0.23
03/12/2018	JESTER, CATHERINE	DAVIS NEW YORK VENTURE A	0.00	3.49	0.00	3.49	0.0	3.49
03/13/2018	BESEE, MARY	DREYFUS AMT-FREE MUNICIPAL BOND FUN	0.00	3.80	0.00	3.80	0.0	3.80
03/29/2018	PLUTSCHAK, ERNEST	GENWORTH TRAILS	70,943.31	11.82	0.00	11.82	0.0	11.82
03/29/2018	PLUTSCHAK, ERNEST	GENWORTH TRAILS	4,197.90	0.70	0.00	0.70	0.0	0.70
03/20/2018	WHITE, JACKSON	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	2.43	0.00	2.43	0.0	2.43
03/21/2018	WHITE, MARGARET	PIMCO REAL RETURN A	0.00	1.80	0.00	1.80	0.0	1.80
03/21/2018	PARSONS, VIRGINIA	PUTNAM GLOBAL NAT RESOURCES-A	0.00	0.87	0.00	0.87	0.0	0.87
03/14/2018	WHITE, JACKSON	WELLS FARGO MUNICIPAL BOND A	0.00	2.47	0.00	2.47	0.0	2.47
* DANIEL COLLINS SUBTOTAL *			\$75,141.21	\$55.78	\$0.00	\$55.78		\$55.78
<u> BROKER: G118 GRECO #110 </u>								
03/09/2018	KLIMA, JANINA	AM FUNDS AMERICAN BALANCED A	0.00	7.38	0.00	7.38	0.0	7.38
03/09/2018	SOKOLNICKI, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	4.65	0.00	4.65	0.0	4.65
03/09/2018	AMENDOLA, KEITH	AM FUNDS AMERICAN BALANCED A	0.00	4.42	0.00	4.42	0.0	4.42
03/09/2018	O'DWYER, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	6.52	0.00	6.52	0.0	6.52
03/09/2018	BALDASSANO, DOMINICK	AM FUNDS AMERICAN BALANCED A	0.00	6.52	0.00	6.52	0.0	6.52
03/09/2018	AMENDOLA, KEITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.16	0.00	0.16	0.0	0.16
03/09/2018	SOKOLNICKI, BRIAN	AM FUNDS ICA A	0.00	5.26	0.00	5.26	0.0	5.26
03/09/2018	SOKOLNICKI, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	2.96	0.00	2.96	0.0	2.96
03/09/2018	MAENTANIS, JOHN	LEGG MASON CLEARBRIDGE APPRECIATION	0.00	15.92	0.00	15.92	0.0	15.92
03/08/2018	SOKOLNICKI, BRIAN	MORGAN STANLEY INSTL FD INC GBL APP	0.00	6.80	0.00	6.80	0.0	6.80
03/09/2018	MAENTANIS, JOHN	TRANSAMERICA AA MODERATE C	0.00	12.10	0.00	12.10	0.0	12.10
* G118 GRECO SUBTOTAL *			\$0.00	\$72.69	\$0.00	\$72.69		\$72.69
<u> BROKER: JAY MCCLELLAN #120 </u>								
03/19/2018	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.15	0.00	0.15	0.0	0.15
03/12/2018	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.14	0.00	0.14	0.0	0.14
03/19/2018	MAPLE TRUST, DENNIS & JOANN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.80	0.00	2.80	0.0	2.80
03/19/2018	KULBACK, SONDR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.78	0.00	2.78	0.0	2.78
03/19/2018	KAMMER, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.10	0.00	2.10	0.0	2.10
03/19/2018	HAMANN, JOHN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.21	0.00	3.21	0.0	3.21
03/19/2018	LUCKEY, CHARISSE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.89	0.00	8.89	0.0	8.89

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAY MCCLELLAN #120</u>								
03/31/2018	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	19,948.05	6.65	0.00	6.65	0.0	6.65
03/13/2018	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.68	0.00	0.68	0.0	0.68
03/13/2018	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.22	0.00	0.22	0.0	0.22
03/13/2018	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.60	0.00	0.60	0.0	0.60
/ /	WEST, PAMELA	OPPENHEIMER DEVELOPING MARKETS A	0.00	1.56	0.00	1.56	0.0	1.56
/ /	WEST, PAMELA	OPPENHEIMER GLOBAL A	0.00	6.79	0.00	6.79	0.0	6.79
/ /	WEST, PAMELA	OPPENHEIMER INTL BOND A	0.00	1.82	0.00	1.82	0.0	1.82
/ /	WEST, PAMELA	OPPENHEIMER MAIN STREET A	0.00	3.21	0.00	3.21	0.0	3.21
04/03/2018	PEACOCK, RICHARD	PIIONEER BOND FUND A	0.00	4.85	0.00	4.85	0.0	4.85
04/03/2018	DEXTER, ROCHELLE	PIIONEER BOND FUND A	0.00	0.76	0.00	0.76	0.0	0.76
04/03/2018	ZEHRINGER, JAMES	PIIONEER BOND FUND A	0.00	1.36	0.00	1.36	0.0	1.36
04/03/2018	MATHENEY, DONNA	PIIONEER CLASSIC BALANCED FUND A	0.00	5.17	0.00	5.17	0.0	5.17
04/03/2018	KEY, LARRY	PIIONEER CLASSIC BALANCED FUND A	0.00	2.10	0.00	2.10	0.0	2.10
04/03/2018	PRICE, JOYCE	PIIONEER CLASSIC BALANCED FUND A	0.00	4.08	0.00	4.08	0.0	4.08
04/03/2018	RATLIFF, DONNA	PIIONEER CULLEN VALUE FUND A	0.00	6.01	0.00	6.01	0.0	6.01
04/03/2018	ZEHRINGER, JAMES	PIIONEER CULLEN VALUE FUND A	0.00	10.43	0.00	10.43	0.0	10.43
04/03/2018	STARNES, EDDIE	PIIONEER EQUITY INCOME A	0.00	0.41	0.00	0.41	0.0	0.41
04/03/2018	ZEHRINGER, SHARON	PIIONEER EQUITY INCOME A	0.00	7.09	0.00	7.09	0.0	7.09
04/03/2018	ZEHRINGER, JAMES	PIIONEER EQUITY INCOME A	0.00	5.99	0.00	5.99	0.0	5.99
04/03/2018	JONES, JACQUELINE	PIIONEER EQUITY INCOME A	0.00	2.53	0.00	2.53	0.0	2.53
04/03/2018	MILLER, MYRNA	PIIONEER FLOATING RATE A	0.00	10.89	0.00	10.89	0.0	10.89
04/03/2018	MCCABE VA/UTMA, ELIZABETH	PIIONEER FUND A	0.00	1.74	0.00	1.74	0.0	1.74
04/03/2018	WATKINS OH/UTMA, ALEXI	PIIONEER FUND A	0.00	1.79	0.00	1.79	0.0	1.79
04/03/2018	HUTTON JT TEN, JANET	PIIONEER FUND A	0.00	7.44	0.00	7.44	0.0	7.44
04/03/2018	CURTIS, RAY	PIIONEER FUND A	0.00	3.51	0.00	3.51	0.0	3.51
04/03/2018	JONES, JACQUELINE	PIIONEER FUND A	0.00	1.86	0.00	1.86	0.0	1.86
04/03/2018	RUSH, KAREN	PIIONEER FUND A	0.00	14.20	0.00	14.20	0.0	14.20
04/03/2018	CRABTREE, GARY	PIIONEER FUND C	0.00	10.84	0.00	10.84	0.0	10.84
04/03/2018	GREGONCZA, RONALD	PIIONEER FUND C	0.00	32.10	0.00	32.10	0.0	32.10
04/03/2018	THOMPSON REYNOLDS JT TEN,	PIIONEER FUNDAMENTAL GROWTH A	0.00	8.53	0.00	8.53	0.0	8.53
04/03/2018	MATHENEY, DONNA	PIIONEER GLOBAL EQUITY A	0.00	0.77	0.00	0.77	0.0	0.77
04/03/2018	RATLIFF, DONNA	PIIONEER GLOBAL EQUITY A	0.00	2.32	0.00	2.32	0.0	2.32
04/03/2018	KEY, LARRY	PIIONEER GLOBAL EQUITY A	0.00	3.77	0.00	3.77	0.0	3.77

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAY MCCLELLAN #120</u>								
04/03/2018	MINIX, DEBORAH	PIONEER GLOBAL EQUITY A	0.00	3.30	0.00	3.30	0.0	3.30
04/03/2018	THOMPSON REYNOLDS JT TEN,	PIONEER GLOBAL EQUITY A	0.00	2.20	0.00	2.20	0.0	2.20
04/03/2018	PEACOCK, RICHARD	PIONEER GLOBAL HIGH YIELD A	0.00	2.03	0.00	2.03	0.0	2.03
04/03/2018	MATHENEY, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.30	0.00	1.30	0.0	1.30
04/03/2018	HUTTON JT TEN, JANET	PIONEER GLOBAL HIGH YIELD A	0.00	2.19	0.00	2.19	0.0	2.19
04/03/2018	RATLIFF, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.03	0.00	1.03	0.0	1.03
04/03/2018	MAYBERRY, JEFFREY	PIONEER GLOBAL HIGH YIELD A	0.00	2.33	0.00	2.33	0.0	2.33
04/03/2018	ZEHRINGER, JAMES	PIONEER GLOBAL HIGH YIELD A	0.00	1.09	0.00	1.09	0.0	1.09
04/03/2018	WONDERLY, MEGAN	PIONEER GROWTH OPPORTUNITIES A	0.00	1.27	0.00	1.27	0.0	1.27
04/03/2018	MONG, TRACY	PIONEER GROWTH OPPORTUNITIES A	0.00	5.06	0.00	5.06	0.0	5.06
04/03/2018	STARNES, EDDIE	PIONEER HIGH YIELD A	0.00	1.43	0.00	1.43	0.0	1.43
04/03/2018	JONES, JACQUELINE	PIONEER HIGH YIELD A	0.00	1.71	0.00	1.71	0.0	1.71
04/03/2018	MONG, TRACY	PIONEER HIGH YIELD A	0.00	3.19	0.00	3.19	0.0	3.19
04/03/2018	O'NEAL, KELLY	PIONEER IBBOTSON MODERATE ALLOC A	0.00	0.58	0.00	0.58	0.0	0.58
04/03/2018	O'NEAL, DEAN	PIONEER IBBOTSON MODERATE ALLOC A	0.00	0.45	0.00	0.45	0.0	0.45
04/03/2018	ZEHRINGER, SHARON	PIONEER INDEPENDENCE A	0.00	2.13	0.00	2.13	0.0	2.13
04/03/2018	DICKEY, CYNTHIA	PIONEER INDEPENDENCE A	0.00	5.11	0.00	5.11	0.0	5.11
04/03/2018	MONG, TRACY	PIONEER INDEPENDENCE A	0.00	6.88	0.00	6.88	0.0	6.88
04/03/2018	THOMPSON REYNOLDS JT TEN,	PIONEER INTERNATIONAL VALUE A	0.00	4.37	0.00	4.37	0.0	4.37
04/03/2018	ZEHRINGER, JAMES	PIONEER MID CAP VALUE A	0.00	3.56	0.00	3.56	0.0	3.56
04/03/2018	ARMSTRONG, TODD	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	1.24	0.00	1.24	0.0	1.24
04/03/2018	DEAN, DUANE	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	1.57	0.00	1.57	0.0	1.57
04/03/2018	HOLTMAN, MICHAEL	PIONEER MULTI-ASSET REAL RETURN A	0.00	6.07	0.00	6.07	0.0	6.07
04/03/2018	MAYBERRY, JEFFREY	PIONEER MULTI-ASSET REAL RETURN A	0.00	6.33	0.00	6.33	0.0	6.33
04/03/2018	KEY, LARRY	PIONEER MULTI-ASSET REAL RETURN A	0.00	3.94	0.00	3.94	0.0	3.94
04/03/2018	PRICE, JOYCE	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.46	0.00	4.46	0.0	4.46
04/03/2018	MINIX, DEBORAH	PIONEER MULTI-ASSET REAL RETURN A	0.00	1.55	0.00	1.55	0.0	1.55
04/03/2018	ZHAO, WENJUN	PIONEER MULTI-ASSET REAL RETURN A	0.00	0.87	0.00	0.87	0.0	0.87
04/03/2018	DEXTER, ROCHELLE	PIONEER REAL ESTATE SHARES A	0.00	0.40	0.00	0.40	0.0	0.40
04/03/2018	JONES, JACQUELINE	PIONEER REAL ESTATE SHARES A	0.00	1.31	0.00	1.31	0.0	1.31
04/03/2018	O'NEAL, DEAN	PIONEER SOLUTIONS-GROWTH FUND A	0.00	0.18	0.00	0.18	0.0	0.18
03/31/2018	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	146,801.60	275.25	0.00	275.25	0.0	275.25
03/31/2018	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	146,801.60	91.75	0.00	91.75	0.0	91.75

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JAY MCCLELLAN #120 </u>								
03/31/2018	FORTKAMP, MARY	PRU AS XTRA CREDIT SIX	46,333.79	28.96	0.00	28.96	0.0	28.96
03/05/2018	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.30	0.00	2.30	0.0	2.30
03/05/2018	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.03	0.00	3.03	0.0	3.03
03/05/2018	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.44	0.00	3.44	0.0	3.44
03/15/2018	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.03	0.00	2.03	0.0	2.03
03/15/2018	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.68	0.00	2.68	0.0	2.68
03/15/2018	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.04	0.00	3.04	0.0	3.04
04/04/2018	STARNES, EDDIE	ROYAL DUTCH SHELL PLC SPONSORED ADR	-3,307.20	22.00	22.50	-0.50	0.0	-0.50
03/29/2018	MANOS, SCOTT	TEMPLETON GLOBAL BALANCED FUND	8,088.00	80.88	0.00	80.88	0.0	80.88
04/03/2018	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	13.53	0.00	13.53	0.0	13.53
04/03/2018	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	8.26	0.00	8.26	0.0	8.26
 * JAY MCCLELLAN SUBTOTAL * 			\$364,665.84	\$808.42	\$22.50	\$785.92		\$785.92
<u> BROKER: TIMOTHY KULHANEK #145 </u>								
03/20/2018	KRESSER, JOSEPH	FIDELITY ADVISOR LEVERAGED COMPANY	0.00	0.84	0.00	0.84	0.0	0.84
03/20/2018	HACHTEL, SHARON	FIDELITY ADVISOR LEVERAGED COMPANY	0.00	0.30	0.00	0.30	0.0	0.30
03/21/2018	JACKSON, MARISSA	FIRST EAGLE GLOBAL FUND CL A	0.00	0.35	0.00	0.35	0.0	0.35
03/21/2018	JACKSON, TONI	FIRST EAGLE GOLD FUND CL A	0.00	0.34	0.00	0.34	0.0	0.34
04/02/2018	CARLSON, JEANNE	OHIO NATL ONCORE LITE	16,489.85	41.22	0.00	41.22	0.0	41.22
04/03/2018	DAVIS, AUSTIN	PIONEER FUND A	0.00	1.87	0.00	1.87	0.0	1.87
03/15/2018	JACKSON, MARISSA	TEMPLETON GLOBAL BOND A	0.00	0.32	0.00	0.32	0.0	0.32
 * TIMOTHY KULHANEK SUBTOTAL * 			\$16,489.85	\$45.24	\$0.00	\$45.24		\$45.24
<u> BROKER: DAVID KOTZ #179 </u>								
03/09/2018	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.13	0.00	4.13	0.0	4.13
03/09/2018	NAGY, ZOLTAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.92	0.00	0.92	0.0	0.92
03/09/2018	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.51	0.00	9.51	0.0	9.51
03/09/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	26.63	0.00	26.63	0.0	26.63
03/09/2018	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.86	0.00	2.86	0.0	2.86
03/09/2018	NAGY, ZOLTAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.86	0.00	0.86	0.0	0.86
03/09/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.73	0.00	2.73	0.0	2.73
03/09/2018	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.60	0.00	1.60	0.0	1.60
03/09/2018	NAGY, ZOLTAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.21	0.00	2.21	0.0	2.21
03/09/2018	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	1.99	0.00	1.99	0.0	1.99

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: WILLIAM WALSH #187 </u>								
		* DAVID KOTZ SUBTOTAL *	\$0.00	\$53.44	\$0.00	\$53.44		\$53.44
<u> BROKER: WILLIAM WALSH #187 </u>								
03/09/2018	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.06	0.00	0.06	0.0	0.06
03/09/2018	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.31	0.00	0.31	0.0	0.31
03/09/2018	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.12	0.00	0.12	0.0	0.12
03/09/2018	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.02	0.00	11.02	0.0	11.02
03/09/2018	MURPHY, CAROL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.32	0.00	5.32	0.0	5.32
03/09/2018	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.01	0.00	0.01	0.0	0.01
03/09/2018	MURPHY, CAROL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.48	0.00	3.48	0.0	3.48
03/09/2018	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.49	0.00	4.49	0.0	4.49
03/09/2018	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.39	0.00	0.39	0.0	0.39
03/09/2018	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	7.20	0.00	7.20	0.0	7.20
03/09/2018	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	4.68	0.00	4.68	0.0	4.68
03/09/2018	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.08	0.00	1.08	0.0	1.08
03/09/2018	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	7.02	0.00	7.02	0.0	7.02
03/09/2018	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	0.54	0.00	0.54	0.0	0.54
03/09/2018	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	21.14	0.00	21.14	0.0	21.14
03/09/2018	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.84	0.00	1.84	0.0	1.84
03/09/2018	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.06	0.00	1.06	0.0	1.06
03/09/2018	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	3.83	0.00	3.83	0.0	3.83
03/09/2018	MURPHY, CAROL	IVY ASSET STRATEGY FUND CLASS A	0.00	1.53	0.00	1.53	0.0	1.53
03/09/2018	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	3.19	0.00	3.19	0.0	3.19
03/09/2018	MURPHY, CAROL	IVY ASSET STRATEGY FUND CLASS A	0.00	1.83	0.00	1.83	0.0	1.83
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	0.0	0.01
03/09/2018	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	5.66	0.00	5.66	0.0	5.66
03/09/2018	MURPHY, CAROL	JH REGIONAL BANK A	0.00	2.72	0.00	2.72	0.0	2.72
03/09/2018	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.13	0.00	1.13	0.0	1.13
03/09/2018	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	14.40	0.00	14.40	0.0	14.40
03/31/2018	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	10,927.18	6.83	0.00	6.83	0.0	6.83
03/31/2018	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	10,927.18	6.83	0.00	6.83	0.0	6.83
03/31/2018	BJEDOV, MARK	PRU AS APEX II	14,603.64	36.51	0.00	36.51	0.0	36.51
03/31/2018	BJEDOV, MARK	PRU AS APEX II	14,603.64	9.13	0.00	9.13	0.0	9.13
03/31/2018	BOMSTA, MERIANNE	PRU AS XTRA CREDIT	56,867.71	35.54	0.00	35.54	0.0	35.54

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: WILLIAM WALSH #187</u>								
03/09/2018	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	13.70	0.00	13.70	0.0	13.70
03/09/2018	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.49	0.00	0.49	0.0	0.49
03/27/2018	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.23	0.00	0.23	0.0	0.23
03/27/2018	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.34	0.00	0.34	0.0	0.34
03/27/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.22	0.00	1.22	0.0	1.22
03/27/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.24	0.00	0.24	0.0	0.24
03/27/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.16	0.00	0.16	0.0	0.16
* WILLIAM WALSH SUBTOTAL *			\$107,929.35	\$215.28	\$0.00	\$215.28		\$215.28
<u>BROKER: MICHELE BOYER #189</u>								
03/15/2018	FORBES, JEANNE	AM FUNDS AMCAP A	0.00	0.96	0.00	0.96	0.0	0.96
03/15/2018	FORBES, JEANNE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.61	0.00	0.61	0.0	0.61
03/15/2018	FORBES, JEANNE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.81	0.00	0.81	0.0	0.81
03/15/2018	FORBES, JEANNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.09	0.00	1.09	0.0	1.09
03/15/2018	FORBES, JEANNE	AM FUNDS NEW WORLD A	0.00	0.67	0.00	0.67	0.0	0.67
03/21/2018	BOETTGER, JENNIFER	PIMCO INFLATION RESPONSE MULTI- ASS	0.00	1.34	0.00	1.34	0.0	1.34
* MICHELE BOYER SUBTOTAL *			\$0.00	\$5.48	\$0.00	\$5.48		\$5.48
<u>BROKER: THOMAS JAHNCKE #193</u>								
03/15/2018	LOTT FAMILY TRUST	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.13	0.00	1.13	0.0	1.13
03/15/2018	LOTT FAMILY TRUST	AM FUNDS CAPITAL WORLD BOND A	0.00	1.86	0.00	1.86	0.0	1.86
03/15/2018	LOTT FAMILY TRUST	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.25	0.00	1.25	0.0	1.25
* THOMAS JAHNCKE SUBTOTAL *			\$0.00	\$4.24	\$0.00	\$4.24		\$4.24
<u>BROKER: MICHAEL PALMISANO #196</u>								
03/31/2018	SUTTER, DANI-ANN	JNL PERSPECTIVE L	246,421.89	205.35	0.00	205.35	0.0	205.35
* MICHAEL PALMISANO SUBTOTAL *			\$246,421.89	\$205.35	\$0.00	\$205.35		\$205.35
<u>BROKER: MEREDITH MCCUTCHEN #221</u>								
03/15/2018	MCCUTCHEN, JERRY	AM FUNDS AMERICAN MUTUAL A	0.00	4.70	0.00	4.70	0.0	4.70
03/15/2018	MCCUTCHEN, DEBORAH	AM FUNDS AMERICAN MUTUAL A	0.00	4.81	0.00	4.81	0.0	4.81
03/15/2018	MCCUTCHEN, JERRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.04	0.00	3.04	0.0	3.04
03/15/2018	MCCUTCHEN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.06	0.00	3.06	0.0	3.06
03/15/2018	MCCUTCHEN, JERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.33	0.00	5.33	0.0	5.33
03/15/2018	MCCUTCHEN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.46	0.00	5.46	0.0	5.46

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MEREDITH MCCUTCHEN #221</u>								
03/15/2018	MCCUTCHEN, JERRY	AM FUNDS NEW PERSPECTIVE A	0.00	3.52	0.00	3.52	0.0	3.52
03/15/2018	MCCUTCHEN, DEBORAH	AM FUNDS NEW PERSPECTIVE A	0.00	3.54	0.00	3.54	0.0	3.54
03/15/2018	WEST, GLENDA	CALVERT SHORT DURATION INCOME FUND	0.00	4.23	0.00	4.23	0.0	4.23
03/12/2018	MCCUTCHEN, JERRY	DAVIS NEW YORK VENTURE A	0.00	0.08	0.00	0.08	0.0	0.08
03/20/2018	MCCUTCHEN, JERRY	OPPENHEIMER GLOBAL A	0.00	0.13	0.00	0.13	0.0	0.13
03/20/2018	MCCUTCHEN, JERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.19	0.00	0.19	0.0	0.19
03/20/2018	MCCUTCHEN, JERRY	OPPENHEIMER SMALL & MIDCAP GROWTH A	0.00	0.20	0.00	0.20	0.0	0.20
* MEREDITH MCCUTCHEN SUBTOTAL *			\$0.00	\$38.29	\$0.00	\$38.29		\$38.29
<u>BROKER: GREGORY FRIEL #223</u>								
03/19/2018	GAWEL, IRENE	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.54	0.00	0.54	0.0	0.54
03/15/2018	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.52	0.00	3.52	0.0	3.52
03/15/2018	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.70	0.00	1.70	0.0	1.70
03/20/2018	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.58	0.00	4.58	0.0	4.58
03/20/2018	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.74	0.00	2.74	0.0	2.74
03/20/2018	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.12	0.00	1.12	0.0	1.12
03/20/2018	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.44	0.00	0.44	0.0	0.44
03/13/2018	FRIEL, JEREMY	MFS BOND A	0.00	1.09	0.00	1.09	0.0	1.09
03/13/2018	FRIEL, SHELLEY	MFS BOND A	0.00	0.20	0.00	0.20	0.0	0.20
03/13/2018	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.74	0.00	0.74	0.0	0.74
03/13/2018	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.24	0.00	0.24	0.0	0.24
03/13/2018	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.25	0.00	0.25	0.0	0.25
03/13/2018	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.43	0.00	1.43	0.0	1.43
03/13/2018	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.97	0.00	4.97	0.0	4.97
03/13/2018	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	8.32	0.00	8.32	0.0	8.32
03/13/2018	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.23	0.00	0.23	0.0	0.23
03/13/2018	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	10.56	0.00	10.56	0.0	10.56
03/13/2018	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	3.16	0.00	3.16	0.0	3.16
* GREGORY FRIEL SUBTOTAL *			\$0.00	\$45.83	\$0.00	\$45.83		\$45.83
<u>BROKER: JAMES CRAWFORD #225</u>								
03/15/2018	CARRIKER, AARON	FRANKLIN MUTUAL BEACON C	0.00	2.94	0.00	2.94	0.0	2.94
03/31/2018	ROBERTS, KERMIT	JOHN HANCOCK VENTURE 2006	9,232.51	1.92	0.00	1.92	0.0	1.92
03/31/2018	ROBERTS, ELLEN	JOHN HANCOCK VENTURE 2006	7,346.97	1.53	0.00	1.53	0.0	1.53

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CARL WORDEN III #232</u>								
		* JAMES CRAWFORD SUBTOTAL *	\$16,579.48	\$6.39	\$0.00	\$6.39		\$6.39
<u>BROKER: CARL WORDEN III #232</u>								
03/31/2018	ALVAREZ, ANTONIO	JNL PERSPECTIVE II 05/05	10,533.04	8.78	0.00	8.78	0.0	8.78
		* CARL WORDEN III SUBTOTAL *	\$10,533.04	\$8.78	\$0.00	\$8.78		\$8.78
<u>BROKER: WILLIAM THOMAS #239</u>								
03/31/2018	LUKE, CECILIA	LINCOLN BENEFIT TRAIL RENEWALS	439,325.50	274.58	0.00	274.58	0.0	274.58
03/28/2018	WOJCICKIJ, TARAS	NWL NEXT GEN II FP VUL	300.00	6.00	0.00	6.00	0.0	6.00
04/03/2018	GILSON, ANN	PIONEER VALUE A	0.00	31.44	0.00	31.44	0.0	31.44
		* WILLIAM THOMAS SUBTOTAL *	\$439,625.50	\$312.02	\$0.00	\$312.02		\$312.02
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
03/29/2018	POLL, KELLY	ALLIANZ VISION BASIC	78,141.44	97.68	0.00	97.68	0.0	97.68
02/28/2018	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.03	0.00	0.03	0.0	0.03
02/28/2018	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.05	0.00	0.05	0.0	0.05
02/28/2018	WAX, LUBENA	BLACKROCK 19452P735	0.00	2.59	0.00	2.59	0.0	2.59
02/28/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.10	0.00	8.10	0.0	8.10
02/28/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	24.29	0.00	24.29	0.0	24.29
02/28/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.55	0.00	7.55	0.0	7.55
02/28/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	10.02	0.00	10.02	0.0	10.02
02/28/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	30.07	0.00	30.07	0.0	30.07
02/28/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.52	0.00	2.52	0.0	2.52
04/03/2018	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	1.25	0.00	1.25	0.0	1.25
/ /	CROCKETT, DAVID	OPPENHEIMER DEVELOPING MARKETS C	0.00	9.30	0.00	9.30	0.0	9.30
/ /	CROCKETT, DAVID	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	22.35	0.00	22.35	0.0	22.35
03/19/2018	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	1.52
04/03/2018	COCHRILL, NATHAN	TRANSAMERICA AA GROWTH A	0.00	3.70	0.00	3.70	0.0	3.70
04/03/2018	PUVALOWSKI, WILLIAM	TRANSAMERICA AA GROWTH A	0.00	2.55	0.00	2.55	0.0	2.55
04/03/2018	COCHRILL, MELANIE	TRANSAMERICA AA MOD GROWTH A	0.00	9.89	0.00	9.89	0.0	9.89
04/03/2018	COCHRILL, MELANIE	TRANSAMERICA AA MOD GROWTH A	0.00	3.59	0.00	3.59	0.0	3.59
04/03/2018	CROCKETT, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	4.28	0.00	4.28	0.0	4.28
		* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *	\$78,418.44	\$241.33	\$0.00	\$241.33		\$241.33

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: JAMES, B153 BREWER #291</u>								
03/15/2018	BALE, RICHARD	AM FUNDS AMCAP A	0.00	0.19	0.00	0.19	0.0	0.19
03/15/2018	VANDERGRIFF, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	5.15	0.00	5.15	0.0	5.15
03/15/2018	ROWE, ERNEST	AM FUNDS AMERICAN BALANCED A	0.00	2.60	0.00	2.60	0.0	2.60
03/15/2018	BARNETT, ALVA	AM FUNDS AMERICAN BALANCED A	0.00	5.10	0.00	5.10	0.0	5.10
03/15/2018	CROSBY, RONALD	AM FUNDS AMERICAN BALANCED A	0.00	8.82	0.00	8.82	0.0	8.82
03/15/2018	LIMCHOC, OSCAR	AM FUNDS AMERICAN BALANCED A	0.00	5.04	0.00	5.04	0.0	5.04
03/15/2018	DUNCAN, DONALD	AM FUNDS AMERICAN BALANCED A	0.00	2.08	0.00	2.08	0.0	2.08
03/15/2018	LAWVER, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	2.14	0.00	2.14	0.0	2.14
03/15/2018	COMPTON, SHARON	AM FUNDS AMERICAN BALANCED A	0.00	3.32	0.00	3.32	0.0	3.32
03/15/2018	ALLEN, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	3.72	0.00	3.72	0.0	3.72
03/15/2018	COMPTON, SHARON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.29	0.00	3.29	0.0	3.29
03/15/2018	MYERS, GARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.23	0.00	0.23	0.0	0.23
03/15/2018	MYERS, GARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	52.90	0.00	52.90	0.0	52.90
03/15/2018	BEBOUT, DARRELL	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.71	0.00	4.71	0.0	4.71
03/15/2018	VANDERGRIFF, CHARLES	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.65	0.00	3.65	0.0	3.65
03/15/2018	GOUDY, BEVERLY	AM FUNDS NEW ECONOMY A	0.00	11.27	0.00	11.27	0.0	11.27
03/15/2018	GOUDY, BEVERLY	AM FUNDS SMALLCAP WORLD A	0.00	9.04	0.00	9.04	0.0	9.04
03/16/2018	SCHOPPELREI, CLEMENT	AMERICAN CENTURY MID CAP VALUE FUND	0.00	0.05	0.00	0.05	0.0	0.05
03/15/2018	WESS, DONALD	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	2.29	0.00	2.29	0.0	2.29
03/15/2018	FALTER, THEODORE	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	3.34	0.00	3.34	0.0	3.34
03/15/2018	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	1.99	0.00	1.99	0.0	1.99
03/15/2018	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	1.07	0.00	1.07	0.0	1.07
03/15/2018	SELCER, RICHARD	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	11.27	0.00	11.27	0.0	11.27
03/15/2018	FALTER, THEODORE	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	3.22	0.00	3.22	0.0	3.22
03/15/2018	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	1.93	0.00	1.93	0.0	1.93
03/15/2018	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	1.03	0.00	1.03	0.0	1.03
03/29/2018	COMPTON, WILLIAM	AXA ACCUM 04 ELITE (RO IRA)	47,550.36	148.59	0.00	148.59	0.0	148.59
03/28/2018	MOORE, CHARLES	BLACKROCK NATURAL RESOURCES TRUST F	0.00	2.98	0.00	2.98	0.0	2.98
03/28/2018	SCHOPPELREI, CLEMENT	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.06	0.00	0.06	0.0	0.06
03/08/2018	ROWE, ERNEST	CATALYST/MAP GLOBAL CAPITAL APPRECI	0.00	2.46	0.00	2.46	0.0	2.46
03/08/2018	SELCER, RICHARD	CATALYST/MAP GLOBAL CAPITAL APPRECI	0.00	4.75	0.00	4.75	0.0	4.75
03/08/2018	DUNCAN, DONALD	CATALYST/MAP GLOBAL TOTAL RETURN IN	0.00	1.88	0.00	1.88	0.0	1.88
03/08/2018	SELCER, RICHARD	CATALYST/MAP GLOBAL TOTAL RETURN IN	0.00	8.28	0.00	8.28	0.0	8.28

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JAMES, B153 BREWER #291 </u>								
03/08/2018	LAWVER, DAVID	CATALYST/MAP GLOBAL TOTAL RETURN IN	0.00	2.24	0.00	2.24	0.0	2.24
03/19/2018	MOORE, CHARLES	COLUMBIA DIVIDEND INCOME A	0.00	4.97	0.00	4.97	0.0	4.97
03/19/2018	BARNETT, ALVA	COLUMBIA DIVIDEND INCOME A	0.00	2.79	0.00	2.79	0.0	2.79
03/19/2018	CROSBY, RONALD	COLUMBIA DIVIDEND INCOME A	0.00	5.67	0.00	5.67	0.0	5.67
03/19/2018	FRESHLY, BEATRICE	COLUMBIA DIVIDEND INCOME A	0.00	5.65	0.00	5.65	0.0	5.65
03/19/2018	LIMCHOC, OSCAR	COLUMBIA DIVIDEND INCOME A	0.00	5.00	0.00	5.00	0.0	5.00
03/19/2018	WESS, DONALD	COLUMBIA DIVIDEND INCOME A	0.00	2.45	0.00	2.45	0.0	2.45
03/19/2018	SCHOPPELREI, CLEMENT	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.05	0.00	0.05	0.0	0.05
03/12/2018	MOORE, CHARLES	DELAWARE REAL ESTATE INVESTMENT TRU	0.00	2.96	0.00	2.96	0.0	2.96
03/12/2018	STEIN, JANICE	DELAWARE SELECT GROWTH FUND CLASS A	0.00	0.30	0.00	0.30	0.0	0.30
03/15/2018	CROSBY, RONALD	FRANKLIN INCOME A	0.00	2.16	0.00	2.16	0.0	2.16
03/15/2018	LIMCHOC, OSCAR	FRANKLIN INCOME A	0.00	2.99	0.00	2.99	0.0	2.99
03/15/2018	COMPTON, SHARON	FRANKLIN INCOME A	0.00	1.98	0.00	1.98	0.0	1.98
03/15/2018	FALTER, THEODORE	FRANKLIN RISING DIVIDENDS A	0.00	0.05	0.00	0.05	0.0	0.05
03/15/2018	FRESHLY, BEATRICE	FRANKLIN UTILITIES A	0.00	2.88	0.00	2.88	0.0	2.88
03/12/2018	DUNCAN, JAMES	GOOD HARBOR US TACTICAL CORE FUND	0.00	4.79	0.00	4.79	0.0	4.79
03/19/2018	SELCER, RICHARD	HARTFORD HEALTHCARE A	0.00	5.04	0.00	5.04	0.0	5.04
03/19/2018	GOUDY, BEVERLY	HARTFORD HEALTHCARE A	0.00	6.28	0.00	6.28	0.0	6.28
03/19/2018	FALTER, THEODORE	HARTFORD HEALTHCARE A	0.00	2.71	0.00	2.71	0.0	2.71
03/22/2018	LAWVER, DAVID	ICON LEISURE AND CONSUMER STAPLES F	0.00	1.54	0.00	1.54	0.0	1.54
03/22/2018	MCANINCH, JUDY	ICON UTILITIES FUND CLASS A	0.00	4.82	0.00	4.82	0.0	4.82
04/02/2018	LIMCHOC, OSCAR	ING LANDMARK	18,103.34	56.57	0.00	56.57	0.0	56.57
03/31/2018	LAWVER, JANET	JNL ELITE CHOICE	78,739.23	16.24	0.00	16.24	0.0	16.24
03/31/2018	KUSKOWSKI, MARK	JNL PERSPECTIVE ADV II 5/05	16,812.40	14.01	0.00	14.01	0.0	14.01
03/31/2018	MYERS MACHINERY MOVERS IN	JNL PERSPECTIVE L	862,218.69	934.07	0.00	934.07	0.0	934.07
03/19/2018	SCHOPPELREI, CLEMENT	JP MORGAN LARGE-CAP GROWTH A	0.00	0.05	0.00	0.05	0.0	0.05
03/19/2018	SCHOPPELREI, CLEMENT	LORD ABBETT INFLATION FOCUSED FUND	0.00	0.01	0.00	0.01	0.0	0.01
03/13/2018	GOUDY, BEVERLY	MFS INTERNATIONAL DISCOVERY A	0.00	7.51	0.00	7.51	0.0	7.51
03/13/2018	SCHOPPELREI, CLEMENT	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.03	0.00	0.03	0.0	0.03
03/13/2018	SCHOPPELREI, CLEMENT	MFS VALUE A	0.00	0.06	0.00	0.06	0.0	0.06
03/20/2018	COMPTON, SHARON	OPPENHEIMER GLOBAL A	0.00	3.50	0.00	3.50	0.0	3.50
03/20/2018	MYERS, GARY	OPPENHEIMER GLOBAL A	0.00	53.67	0.00	53.67	0.0	53.67
03/20/2018	SCHOPPELREI, CLEMENT	OPPENHEIMER INTL GROWTH A	0.00	0.03	0.00	0.03	0.0	0.03

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAMES, B153 BREWER #291</u>								
03/21/2018	BALE, RICHARD	PAC LIFE FUNDS PORTFOLIO OPTIMIZATI	0.00	0.09	0.00	0.09	0.0	0.09
03/12/2018	GOUDY, BEVERLY	PRUDENTIAL QMA MID CAP VALUE FUND C	0.00	20.16	0.00	20.16	0.0	20.16
03/05/2018	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.04	0.00	2.04	0.0	2.04
03/05/2018	FALTER, THEODORE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.47	0.00	3.47	0.0	3.47
03/05/2018	VANDERGRIFF, CHARLES	RESOURCE R E DIVERSIFIED INC FUND A	0.00	6.66	0.00	6.66	0.0	6.66
03/05/2018	WESS, DONALD	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.11	0.00	5.11	0.0	5.11
03/05/2018	CALABRETTA, SHIRLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.93	0.00	2.93	0.0	2.93
03/05/2018	SELCER, RICHARD	RESOURCE R E DIVERSIFIED INC FUND A	0.00	7.13	0.00	7.13	0.0	7.13
03/05/2018	BEBOUT, ELMA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.30	0.00	2.30	0.0	2.30
03/05/2018	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.77	0.00	5.77	0.0	5.77
03/05/2018	CHAMBERLAIN, LARRY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	7.79	0.00	7.79	0.0	7.79
03/05/2018	COMPTON, WILLIAM	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.99	0.00	5.99	0.0	5.99
03/15/2018	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.80	0.00	1.80	0.0	1.80
03/15/2018	FALTER, THEODORE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.06	0.00	3.06	0.0	3.06
03/15/2018	VANDERGRIFF, CHARLES	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.88	0.00	5.88	0.0	5.88
03/15/2018	WESS, DONALD	RESOURCE R E DIVERSIFIED INC FUND A	0.00	4.51	0.00	4.51	0.0	4.51
03/15/2018	CALABRETTA, SHIRLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.59	0.00	2.59	0.0	2.59
03/15/2018	SELCER, RICHARD	RESOURCE R E DIVERSIFIED INC FUND A	0.00	6.30	0.00	6.30	0.0	6.30
03/15/2018	BEBOUT, ELMA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.03	0.00	2.03	0.0	2.03
03/15/2018	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.10	0.00	5.10	0.0	5.10
03/15/2018	CHAMBERLAIN, LARRY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	6.88	0.00	6.88	0.0	6.88
03/15/2018	COMPTON, WILLIAM	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.29	0.00	5.29	0.0	5.29
03/15/2018	MYERS, GARY	TEMPLETON GROWTH A	0.00	29.33	0.00	29.33	0.0	29.33
03/15/2018	MYERS, GARY	TEMPLETON WORLD A	0.00	0.41	0.00	0.41	0.0	0.41
03/12/2018	NIXON, CHARLENE	TIMOTHY PLAN CONSERVATIVE GROWTH FU	0.00	3.95	0.00	3.95	0.0	3.95
03/12/2018	NIXON, CHARLENE	TIMOTHY PLAN DEFENSIVE STRATEGY FUN	0.00	1.83	0.00	1.83	0.0	1.83
03/14/2018	SCHOPPELREI, CLEMENT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.03	0.00	0.03	0.0	0.03
03/14/2018	MOORE, CHARLES	WELLS FARGO INDEX ASSET ALLOCATION	0.00	4.34	0.00	4.34	0.0	4.34
* JAMES, B153 BREWER SUBTOTAL *			\$1,023,424.02	\$1,624.28	\$0.00	\$1,624.28		\$1,624.28
<u>BROKER: MARTIN PROSHEK #355</u>								
03/21/2018	NERO, PATRICK	ALLIANZGI FOCUSED GROWTH A	0.00	6.33	0.00	6.33	0.0	6.33
03/15/2018	MABEE, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.98	0.00	0.98	0.0	0.98
03/15/2018	MABEE, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.17	0.00	0.17	0.0	0.17

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARTIN PROSHEK #355 </u>								
03/15/2018	MABEE, SUSAN	AM FUNDS NEW WORLD A	0.00	0.38	0.00	0.38	0.0	0.38
03/28/2018	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.33	0.00	0.33	0.0	0.33
03/28/2018	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.08	0.00	0.08	0.0	0.08
03/28/2018	HORR, DIANE	BLACKROCK ENERGY & RESOURCES PORTFO	0.00	0.16	0.00	0.16	0.0	0.16
03/19/2018	KODAT, JANICE	COLUMBIA SELIGMAN GLOBAL TECH A	0.00	4.89	0.00	4.89	0.0	4.89
03/12/2018	MCPARTLAND, BARBARA	DAVIS APPRECIATION AND INCOME A	0.00	0.64	0.00	0.64	0.0	0.64
03/12/2018	HENNINGER, DAROLD	DELAWARE HEALTHCARE FUNDS CLASS A	0.00	3.45	0.00	3.45	0.0	3.45
03/20/2018	MCPARTLAND, BARBARA	FIDELITY ADVISOR BIOTECHNOLOGY FUND	0.00	1.55	0.00	1.55	0.0	1.55
03/20/2018	HENNINGER, DAROLD	FIDELITY ADVISOR TELECOMMUNICATIONS	0.00	2.51	0.00	2.51	0.0	2.51
03/21/2018	FUQUA, CHARLES (C.K.)	FIRST EAGLE GLOBAL FUND CL A	0.00	5.32	0.00	5.32	0.0	5.32
03/21/2018	HENNINGER, DAROLD	FIRST EAGLE GLOBAL FUND CL A	0.00	2.90	0.00	2.90	0.0	2.90
03/21/2018	MCPARTLAND, BARBARA	FIRST EAGLE GLOBAL FUND CL A	0.00	1.38	0.00	1.38	0.0	1.38
03/15/2018	NERO, PATRICK	FRANKLIN BALANCED C	0.00	10.84	0.00	10.84	0.0	10.84
03/15/2018	NERO, BARBARA	FRANKLIN BALANCED C	0.00	5.26	0.00	5.26	0.0	5.26
03/15/2018	FUQUA, CHARLES (C.K.)	FRANKLIN CONV SEC A	0.00	3.97	0.00	3.97	0.0	3.97
03/15/2018	MCPARTLAND, BARBARA	FRANKLIN INCOME A	0.00	0.42	0.00	0.42	0.0	0.42
03/20/2018	WARREN, KATHLEEN	JANUS GLOBAL LIFE SCIENCES FUND CLA	0.00	1.27	0.00	1.27	0.0	1.27
03/13/2018	HORR, DIANE	MFS EMERGING MARKETS DEBT FUND A	0.00	1.13	0.00	1.13	0.0	1.13
03/13/2018	FUQUA, CHARLES (C.K.)	MFS GROWTH ALLOCATION A	0.00	4.15	0.00	4.15	0.0	4.15
03/12/2018	HORR, DIANE	NATIXIS LOOMIS STRATEGIC INCOME A	0.00	0.74	0.00	0.74	0.0	0.74
03/21/2018	KODAT, JANICE	PIMCO FUNDAMENTAL INDEXPLUS AR FUND	0.00	8.22	0.00	8.22	0.0	8.22
03/14/2018	FUQUA, CHARLES (C.K.)	SALIENT SELECT INCOME FUND CLASS A	0.00	3.12	0.00	3.12	0.0	3.12
03/14/2018	MCPARTLAND, BARBARA	SALIENT SELECT INCOME FUND CLASS A	0.00	1.15	0.00	1.15	0.0	1.15
03/16/2018	KODAT, JANICE	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	7.78	0.00	7.78	0.0	7.78
03/16/2018	MORSE, PATRICIA	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	5.15	0.00	5.15	0.0	5.15
03/16/2018	FUQUA, CHARLES (C.K.)	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	5.06	0.00	5.06	0.0	5.06
03/16/2018	HENNINGER, DAROLD	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	3.29	0.00	3.29	0.0	3.29
03/20/2018	MCPARTLAND, BARBARA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	1.60	0.00	1.60	0.0	1.60
03/20/2018	MCPARTLAND, BARBARA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	0.83	0.00	0.83	0.0	0.83
03/20/2018	NERO, PATRICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	11.61	0.00	11.61	0.0	11.61
03/20/2018	NERO, BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	8.09	0.00	8.09	0.0	8.09
03/20/2018	KODAT, JANICE	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	7.13	0.00	7.13	0.0	7.13
03/19/2018	FUQUA, CHARLES (C.K.)	UNDISCOVERED MANAGERS BEHAVIORAL VA	0.00	7.25	0.00	7.25	0.0	7.25

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARTIN PROSHEK #355 </u>								
03/14/2018	FUQUA, CHARLES (C.K.)	WELLS FARGO SPECIAL MID CAP VALUE F	0.00	5.28	0.00	5.28	0.0	5.28
* MARTIN PROSHEK SUBTOTAL *			\$0.00	\$134.41	\$0.00	\$134.41		\$134.41
<u> BROKER: L149-ROBERT LUCHSINGER #388 </u>								
03/31/2018	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	118,681.21	98.90	0.00	98.90	0.0	9.89
03/31/2018	CAPASSO, DEBRA	PACIFIC LIFE FUNDS	1,344.08	0.83	0.00	0.83	0.0	0.08
03/31/2018	KNAACK, PATRICIA	PACIFIC LIFE FUNDS	44,077.50	27.17	0.00	27.17	0.0	2.72
03/31/2018	CAPASSO, MICHAEL	PACIFIC LIFE FUNDS	1,342.76	0.83	0.00	0.83	0.0	0.08
03/31/2018	ROARKE, MARY ELIZABETH	PACIFIC LIFE FUNDS	140,382.25	86.54	0.00	86.54	0.0	8.65
03/31/2018	CAPASSO, DEBRA	PACIFIC LIFE FUNDS	1,340.71	0.83	0.00	0.83	0.0	0.08
03/31/2018	CAPASSO, MICHAEL	PACIFIC LIFE FUNDS	1,340.71	0.83	0.00	0.83	0.0	0.08
03/31/2018	COSTELLO, KEVIN	PACIFIC LIFE FUNDS	16,049.87	9.89	0.00	9.89	0.0	0.99
03/31/2018	CAPASSO, CRISTINA	PACIFIC LIFE FUNDS	737.18	0.45	0.00	0.45	0.0	0.05
03/31/2018	MALIA, EDWARD	PACIFIC LIFE FUNDS	8,868.43	5.47	0.00	5.47	0.0	0.55
03/31/2018	CAPASSO, DEBRA	PACIFIC LIFE FUNDS	31,439.86	19.38	0.00	19.38	0.0	1.94
03/31/2018	KNAACK, PATRICIA	PACIFIC LIFE FUNDS	1,886.85	1.16	0.00	1.16	0.0	0.12
03/31/2018	MALIA, EDWARD	PACIFIC LIFE FUNDS	11,669.71	7.19	0.00	7.19	0.0	0.72
03/31/2018	LOITSCH, DIANE	PACIFIC LIFE FUNDS	16,790.72	10.35	0.00	10.35	0.0	1.04
03/31/2018	CAPASSO, MICHAEL	PACIFIC LIFE FUNDS	28,470.16	17.55	0.00	17.55	0.0	1.76
03/31/2018	ROARKE, MARY ELIZABETH	PACIFIC LIFE FUNDS	132,495.03	81.68	0.00	81.68	0.0	8.17
03/31/2018	MALIA, EDWARD	PACIFIC LIFE FUNDS	15,714.48	9.69	0.00	9.69	0.0	0.97
03/31/2018	CAPASSO, DEBRA	PACIFIC LIFE FUNDS	27,164.44	16.75	0.00	16.75	0.0	1.68
03/31/2018	CASKIN, KENNETH	PACIFIC LIFE FUNDS	7,323.99	4.51	0.00	4.51	0.0	0.45
03/31/2018	CAPASSO, MICHAEL	PACIFIC LIFE FUNDS	24,604.45	15.17	0.00	15.17	0.0	1.52
03/31/2018	COSTELLO, KEVIN	PACIFIC LIFE FUNDS	147.82	0.09	0.00	0.09	0.0	0.01
03/31/2018	CIO, ANNA	PACIFIC LIFE FUNDS	2,189.23	1.35	0.00	1.35	0.0	0.14
03/31/2018	TERMINE, MASON	PACIFIC LIFE FUNDS	2,189.23	1.35	0.00	1.35	0.0	0.14
03/31/2018	CIO, ROCCO	PACIFIC LIFE FUNDS	2,643.90	1.63	0.00	1.63	0.0	0.16
03/31/2018	CAPASSO, CRISTINA	PACIFIC LIFE FUNDS	16,138.21	9.95	0.00	9.95	0.0	1.00
03/31/2018	CAPASSO, LAURA	PACIFIC LIFE FUNDS	12,624.70	7.78	0.00	7.78	0.0	0.78
03/31/2018	CASKIN, MEGAN	PACIFIC LIFE FUNDS	2,452.63	1.51	0.00	1.51	0.0	0.15
03/31/2018	TERMINE, MILO	PACIFIC LIFE FUNDS	1,828.13	1.13	0.00	1.13	0.0	0.11
03/31/2018	SEAMAN, SUSAN	PACIFIC LIFE FUNDS	986.85	2.43	0.00	2.43	0.0	0.24
03/31/2018	SEAMAN, SUSAN	PACIFIC LIFE FUNDS	1,124.13	2.77	0.00	2.77	0.0	0.28

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
BROKER: L149-ROBERT LUCHSINGER #388								
03/31/2018	TERMINE, ALLISON	PACIFIC LIFE FUNDS	3,145.79	7.76	0.00	7.76	0.0	0.78
03/31/2018	SEAMAN, SUSAN	PACIFIC LIFE FUNDS	2,189.24	5.40	0.00	5.40	0.0	0.54
03/31/2018	TERMINE, ALLISON	PACIFIC LIFE FUNDS	2,513.88	6.20	0.00	6.20	0.0	0.62
03/31/2018	CHIAPPERINO, LORRAINE	PACIFIC LIFE FUNDS	4,656.73	10.67	0.00	10.67	0.0	1.07
03/31/2018	SEAMAN, SUSAN	PACIFIC LIFE FUNDS	1,120.10	2.76	0.00	2.76	0.0	0.28
03/21/2018	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.14	0.00	4.14	0.0	0.41
03/21/2018	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.34	0.00	3.34	0.0	0.33
03/15/2018	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.55	0.00	2.55	0.0	0.26
03/24/2018	LOITSCH, ROBERT	ING EMPIRE INNOVATIONS STD OPT A	136,072.50	340.18	0.00	340.18	0.0	34.02
03/31/2018	KNAACK, JOHN	LINCOLN BENEFIT TRAIL RENEWALS	233,147.15	582.87	0.00	582.87	0.0	58.29
03/31/2018	STRYJEWski, DONALD	LINCOLN BENEFIT TRAIL RENEWALS	331,775.20	829.44	0.00	829.44	0.0	82.94
03/31/2018	CHIAPPERINO, LORRAINE	LINCOLN BENEFIT TRAIL RENEWALS	82,719.11	206.80	0.00	206.80	0.0	20.68
03/31/2018	PANDOLFO, CATHERINE	LINCOLN BENEFIT TRAIL RENEWALS	508,259.33	1,270.65	0.00	1,270.65	0.0	127.07
03/31/2018	GORMAN, ANN	LINCOLN BENEFIT TRAIL RENEWALS	409,536.40	1,023.84	0.00	1,023.84	0.0	102.38
03/20/2018	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	5.44	0.00	5.44	0.0	0.54
03/21/2018	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.98	0.00	0.98	0.0	0.10
03/21/2018	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.23	0.00	1.23	0.0	0.12
03/21/2018	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.58	0.00	0.58	0.0	0.06
03/21/2018	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.82	0.00	4.82	0.0	0.48
03/21/2018	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.54	0.00	4.54	0.0	0.45
03/21/2018	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	8.39	0.00	8.39	0.0	0.84
03/21/2018	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.69	0.00	1.69	0.0	0.17
03/20/2018	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	4.43	0.00	4.43	0.0	0.44
03/16/2018	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.14	0.00	0.14	0.0	0.01
03/16/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.56	0.00	0.56	0.0	0.06
03/16/2018	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.83	0.00	4.83	0.0	0.48
03/16/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	2.01	0.00	2.01	0.0	0.20
03/16/2018	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.66	0.00	0.66	0.0	0.07
03/16/2018	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.01	0.00	1.01	0.0	0.10
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$2,389,184.65	\$4,783.07	\$0.00	\$4,783.07		\$478.34

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: DAVID EURTON #414</u>								
03/15/2018	SCHOLTEN TRUST, J	CARTER VALIDUS MC REIT II CLASS T	0.00	78.25	0.00	78.25	0.0	78.25
03/31/2018	SCHOLTEN, JOANNE	JNL PERSPECTIVE II 04/14	220,874.02	138.05	0.00	138.05	0.0	138.05
03/31/2018	LUKSCH, GARY	JNL PERSPECTIVE II 04/14	91,380.06	57.11	0.00	57.11	0.0	57.11
03/31/2018	LUKSCH, MARY	JNL PERSPECTIVE II 04/14	180,614.83	112.88	0.00	112.88	0.0	112.88
03/31/2018	RICE, DORIS	JNL PERSPECTIVE L	282,374.68	235.31	0.00	235.31	0.0	235.31
03/10/2018	SAHARA, IRENE	TA LANDMARK VA	198,296.39	165.25	0.00	165.25	0.0	165.25
03/23/2018	SAHARA, IRENE	TA LANDMARK VA	120,033.64	100.03	0.00	100.03	0.0	100.03
03/31/2018	DIXON REV, ROSE	TA LANDMARK VA	394,579.87	328.82	0.00	328.82	0.0	328.82
03/05/2018	RICE, DORIS	TRANSAMERICA B-SHARE	91,996.57	76.66	0.00	76.66	0.0	76.66
03/12/2018	SCHOLTEN, JOANNE	TRANSAMERICA B-SHARE	133,171.05	110.98	0.00	110.98	0.0	110.98
* DAVID EURTON SUBTOTAL *			\$1,713,321.11	\$1,403.34	\$0.00	\$1,403.34		\$1,403.34
*** OVERRIDE TRANSACTIONS TOTAL ***			\$9,400,053.79	\$14,165.66	\$64.50	\$14,101.16		\$9,796.43

BROKER COMMISSION TOTALS

Broker Transactions	\$8,553.84
Split Transactions	\$0.00
Override Transactions	\$9,796.43
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$18,350.27
Year-to-date Gross	\$28,648.78
Year-to-date Earnings	\$85,735.24

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