



Concorde Investment Services, LLC

05/11/2018

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COMMISSION STATEMENT

May 15, 2018

WILLIAM WALSH
2565 West Maple

BROKER #: W127 Terminated 08/17/2017
BRANCH: WILLIAM WALSH

Troy, MI 48084

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-----------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>CLEARING TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 04/10/2018 | MURPHY, CAROL | ALGER CAPITAL APPRECIATION A | 0.00 | 4.63 | 0.00 | 4.63 | 0.0 | 0.00 |
| 04/10/2018 | BOARD, MONTE | ALGER CAPITAL APPRECIATION A | 0.00 | 1.18 | 0.00 | 1.18 | 0.0 | 0.00 |
| 04/10/2018 | WALSH, PATRICIA | ALLIANCE SMALLCAP | 0.00 | 0.07 | 0.00 | 0.07 | 0.0 | 0.00 |
| 04/10/2018 | WALSH, PATRICIA | ALLIANCEBERNSTEIN GL THEMATIC GROWT | 0.00 | 0.34 | 0.00 | 0.34 | 0.0 | 0.00 |
| 04/10/2018 | WALSH, MEGAN | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 0.13 | 0.00 | 0.13 | 0.0 | 0.00 |
| 04/10/2018 | MURPHY, CAROL | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 18.27 | 0.00 | 18.27 | 0.0 | 0.00 |
| 04/10/2018 | MURPHY, CAROL | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 0.02 | 0.00 | 0.02 | 0.0 | 0.00 |
| 04/10/2018 | BAUER, MATTHEW | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 0.44 | 0.00 | 0.44 | 0.0 | 0.00 |
| 04/10/2018 | MURPHY, CAROL | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 11.95 | 0.00 | 11.95 | 0.0 | 0.00 |
| 04/10/2018 | HAMM, MARK | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 5.02 | 0.00 | 5.02 | 0.0 | 0.00 |
| 04/10/2018 | WALSH, MARIANNE | DAVIS NEW YORK VENTURE A | 0.00 | 1.19 | 0.00 | 1.19 | 0.0 | 0.00 |
| 04/10/2018 | MURPHY, CAROL | DAVIS NEW YORK VENTURE A | 0.00 | 12.83 | 0.00 | 12.83 | 0.0 | 0.00 |
| 04/10/2018 | HAMM, MARK | DAVIS NEW YORK VENTURE C | 0.00 | 23.18 | 0.00 | 23.18 | 0.0 | 0.00 |
| 04/10/2018 | WALSH, PATRICIA | DAVIS NEW YORK VENTURE C | 0.00 | 2.02 | 0.00 | 2.02 | 0.0 | 0.00 |
| 04/10/2018 | WALSH, PATRICIA | FRANKLIN TEMPLETON FUNDS CONV SECUR | 0.00 | 1.21 | 0.00 | 1.21 | 0.0 | 0.00 |
| 04/10/2018 | MURPHY, CAROL | IVY ASSET STRATEGY FUND CLASS A | 0.00 | 6.24 | 0.00 | 6.24 | 0.0 | 0.00 |
| 04/10/2018 | MURPHY, CAROL | IVY ASSET STRATEGY FUND CLASS A | 0.00 | 5.20 | 0.00 | 5.20 | 0.0 | 0.00 |
| 04/10/2018 | MURPHY, CAROL | JH REGIONAL BANK A | 0.00 | 9.34 | 0.00 | 9.34 | 0.0 | 0.00 |
| 04/10/2018 | WALSH, MARIANNE | MFS MASS INVESTORS GROWTH STOCK A | 0.00 | 1.25 | 0.00 | 1.25 | 0.0 | 0.00 |
| 04/10/2018 | HAMM, MARK | OPPENHEIMER SMALL MID CAP VALUE C | 0.00 | 15.91 | 0.00 | 15.91 | 0.0 | 0.00 |
| 04/10/2018 | HAMM, MARK | THORNBURG INVT TR INTL VALUE FD CL | 0.00 | 14.84 | 0.00 | 14.84 | 0.0 | 0.00 |
| 04/10/2018 | WALSH, PATRICIA | THORNBURG INVT TR INTL VALUE FD CL | 0.00 | 0.53 | 0.00 | 0.53 | 0.0 | 0.00 |
| 04/24/2018 | MURPHY, CAROL | VICTORY CAPITAL MANAGEMENT | 0.00 | 0.56 | 0.00 | 0.56 | 0.0 | 0.00 |
| 04/24/2018 | MURPHY, DANIEL | VICTORY CAPITAL MANAGEMENT | 0.00 | 0.05 | 0.00 | 0.05 | 0.0 | 0.00 |
| 04/24/2018 | MURPHY, CAROL | VICTORY CAPITAL MANAGEMENT | 0.00 | 1.35 | 0.00 | 1.35 | 0.0 | 0.00 |
| 04/24/2018 | MURPHY, CAROL | VICTORY CAPITAL MANAGEMENT | 0.00 | 0.47 | 0.00 | 0.47 | 0.0 | 0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | |
|-------------------------------------|--------|----------|--------|----------|--------|
| * MUTUAL FUNDS SUBTOTAL * | \$0.00 | \$138.22 | \$0.00 | \$138.22 | \$0.00 |
| *** CLEARING TRANSACTIONS TOTAL *** | \$0.00 | \$138.22 | \$0.00 | \$138.22 | \$0.00 |

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | |
|--------------------------------------|-------------|----------------------|--------|--------|--------|--------|-----|--------|
| 04/30/2018 | DARGO, JILL | JH 529 EQUITY PORT A | 0.00 | 0.01 | 0.00 | 0.01 | 0.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$0.01 | \$0.00 | \$0.01 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$0.00 | \$0.01 | \$0.00 | \$0.01 | | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$4,208.34 |
| Year-to-date Earnings | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed