

# Bill Payment Stub

Check Date:	5/7/2018
Check No.:	ACH
Check Amount:	9,529.29

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: PAMELA E. BOOTON  
825 W. 11TH ST., SUITE 114  
AUSTIN, TX 78701

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
4/30/2018	Bill	COMMISSIONS	9,704.29	9,704.29		9,704.29
4/26/2018	Credit	FINRA CE	-55.00	-55.00		-55.00
5/1/2018	Credit	E&O	-120.00	-120.00		-120.00

**COMMISSION STATEMENT**

April 30, 2018

PAM BOOTON-D006

BROKER #: D006

BRANCH: DMN

, TX

**COMMISSION STATEMENT for PAM BOOTON-D006**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
04/11/2018	246528552	RHOADES, TERRY	S	FIREEYE INC	B	5,447.42	15.00	15.00	0.00 90.0 0.00
04/11/2018	246528552	RHOADES, TERRY	S	GENERAL DYNAMICS CORPORATION	B	21,671.25	15.00	15.00	0.00 90.0 0.00
04/11/2018	246528552	RHOADES, TERRY	S	HALLIBURTON COMPANY	B	3,738.13	15.00	15.00	0.00 90.0 0.00
04/11/2018	246528552	RHOADES, TERRY	S	IPG PHOTONICS CORP	S	-5,705.92	15.00	15.00	0.00 90.0 0.00
04/11/2018	246528552	RHOADES, TERRY	S	NETFLIX.COM INC	S	-18,234.13	15.00	15.00	0.00 90.0 0.00
04/11/2018	246528552	RHOADES, TERRY	S	NVIDIA CORPORATION	S	-20,343.77	15.00	15.00	0.00 90.0 0.00
04/11/2018	246528552	RHOADES, TERRY	S	PALO ALTO NETWORKS INC	B	2,260.24	15.00	15.00	0.00 90.0 0.00
04/11/2018	246528552	RHOADES, TERRY	S	VEEVA SYSTEMS INC-CLASS A	B	7,256.45	15.00	15.00	0.00 90.0 0.00
04/11/2018	246528552	RHOADES, TERRY	S	VERINT SYSTEMS INC	B	7,396.55	15.00	15.00	0.00 90.0 0.00
04/16/2018	368433082	VITANZA, CHRISTINE	S	VERINT SYSTEMS INC	B	992.99	35.00	15.00	20.00 90.0 18.00
04/16/2018	368460717	BOOTON, JAVIER OMAR	S	VEEVA SYSTEMS INC-CLASS A	B	880.49	15.00	15.00	0.00 90.0 0.00
04/16/2018	677656320	VITANZA, CHRISTINE	S	FIREEYE INC	B	2,855.92	35.00	15.00	20.00 90.0 18.00
04/16/2018	677656320	VITANZA, CHRISTINE	S	VEEVA SYSTEMS INC-CLASS A	B	3,045.91	35.00	15.00	20.00 90.0 18.00
				<b>* STOCKS SUBTOTAL *</b>		<b>\$11,261.53</b>	<b>\$255.00</b>	<b>\$195.00</b>	<b>\$60.00 \$54.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/20/2018	mfc099149	BOOTON 099149		MFT AMCAP FUND-A	B	0.00	1.92	0.00	1.92 90.0 1.73
04/20/2018	mfc099149	BOOTON 099149		MFT AMCAP FUND-A	B	0.00	2.52	0.00	2.52 90.0 2.27
04/20/2018	mfc099149	BOOTON 099149		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.69	0.00	2.69 90.0 2.42
04/20/2018	mfc099149	BOOTON 099149		MFT THE INVESTMENT COMPANY OF	B	0.00	2.41	0.00	2.41 90.0 2.17
04/20/2018	mfc099149	BOOTON 099149		MFT THE NEW ECONOMY FUND-A	B	0.00	2.71	0.00	2.71 90.0 2.44
04/20/2018	mfc099149	BOOTON 099149		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.38	0.00	2.38 90.0 2.14
				<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$14.63</b>	<b>\$0.00</b>	<b>\$14.63 \$13.17</b>
				<b>*** CLEARING TRANSACTIONS TOTAL ***</b>		<b>\$11,261.53</b>	<b>\$269.63</b>	<b>\$195.00</b>	<b>\$74.63 \$67.17</b>

COMMISSION STATEMENT for PAM BOOTON-D006

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/16/2018	00084049352	LOVETT IV, GEORGE MF	THE NEW ECONOMY FUND-529A	B	50.00	0.80	0.00	0.80	90.0	0.72
04/16/2018	00084690310	LOVETT IV, GEORGE MF	THE NEW ECONOMY FUND-529A	B	50.00	0.80	0.00	0.80	90.0	0.72
04/16/2018	00084690320	LOVETT IV, GEORGE MF	THE NEW ECONOMY FUND-529A	B	50.00	0.80	0.00	0.80	90.0	0.72
04/16/2018	00085592789	BRATHWAITE, MF	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
* MUTUAL FUNDS SUBTOTAL *					\$200.00	\$4.53	\$0.00	\$4.53		\$4.08
PRODUCT CATEGORY: VARIABLE ANNUITIES										
04/06/2018	(None)	SHOEBROEK, MICHAEL	VA SECURITY BENEFIT LIFE	B	0.00	8.76	0.00	8.76	90.0	7.88
04/06/2018	(None)	SHOEBROEK, MICHAEL	VA SECURITY BENEFIT LIFE	B	0.00	27.29	0.00	27.29	90.0	24.56
04/13/2018	(None)	MOORE, DAVID	VA SECURITY BENEFIT LIFE	B	15,832.35	514.56	0.00	514.56	90.0	463.10
* VARIABLE ANNUITIES SUBTOTAL *					\$15,832.35	\$550.61	\$0.00	\$550.61		\$495.54
PRODUCT CATEGORY: FIXED ANNUITIES										
04/20/2018	(None)	SCOTT, TERESA	FA SECURITY BENEFIT FIA	B	49,000.00	2,450.00	0.00	2,450.00	90.0	2,205.00
04/20/2018	(None)	MOTA, PEDRO	FA SECURITY BENEFIT FIA	B	118,653.10	7,712.45	0.00	7,712.45	90.0	6,941.21
* FIXED ANNUITIES SUBTOTAL *					\$167,653.10	\$10,162.45	\$0.00	\$10,162.45		\$9,146.21
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS										
03/31/2018	(None)	UNIVERSITY, OF B	VAT LINCOLN NATL LIFE	B	0.00	104.76	0.00	104.76	90.0	94.28
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *					\$0.00	\$104.76	\$0.00	\$104.76		\$94.28
*** DIRECT TRANSACTIONS TOTAL ***					\$183,685.45	\$10,822.35	\$0.00	\$10,822.35		\$9,740.11
ADJUSTMENTS										
04/30/2018		:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
04/30/2018		:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
04/30/2018		:	FEDEX - NATIONAL LIFE GROUP		0.00	0.00	0.00	0.00		-34.99
04/30/2018		:	SHAREFILE		0.00	0.00	0.00	0.00		-13.00
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$-102.99

**BROKER COMMISSION TOTALS**

Broker Transactions	\$9,807.28
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-102.99
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$9,704.29</b>
<b>Year-to-date Commissions</b>	<b>\$143,377.06</b>