



COMMISSION STATEMENT

May 28, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/14/2018	***-**-2885 - BISHOP, DAVID	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00068915116	BUY 2 Units @28.4800						
05/15/2018	***-**-8238 - ASMUS, MICHAEL	AMERICAN BALANCED FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00074487927	BUY 3 Units @28.3500						
05/14/2018	***-**-8806 - MABRY, REBECCA	CAPITAL INCOME BUILDER-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 1 Units @64.4100						
05/14/2018	***-**-8806 - MABRY, REBECCA	EUROPACIFIC GROWTH FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 1 Units @60.7600						
05/14/2018	***-**-2885 - BISHOP, DAVID	FUNDAMENTAL INVESTORS-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00068915116	BUY 1 Units @66.7100						
05/15/2018	***-**-6195 - BACKUS, THOMAS	INVESCO AMERICAN FRANCHISE FUND -CL	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 03908954377	BUY 2 Units @22.5700						
05/14/2018	***-**-2885 - BISHOP, DAVID	NEW PERSPECTIVE FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00068915116	BUY 1 Units @47.7600						
05/14/2018	***-**-2885 - BISHOP, DAVID	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00068915116	BUY 1 Units @55.8600						
05/15/2018	***-**-8238 - ASMUS, MICHAEL	THE INVESTMENT COMPANY OF AMERICA-A	140.00	5.25	0.00	5.25	80.0	4.20
	ACCOUNT #: 00074487927	BUY 3 Units @42.7600						
* MUTUAL FUNDS SUBTOTAL *			\$640.00	\$24.63	\$0.00	\$24.63		\$19.70
*** BROKER TRANSACTIONS TOTAL ***			\$640.00	\$24.63	\$0.00	\$24.63		\$19.70



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				BROKER
DATE	CLIENT	INVESTMENT	GROSS COMMISSION	NET COMMISSION
			CHARGE	RATE
BROKER COMMISSION TOTALS				
			Broker Transactions	\$19.70
			Split Transactions	\$0.00
			Override Transactions	\$0.00
			Adjustments	\$0.00
			Payroll Draw	\$0.00
			Base Salary	\$0.00
			Prior Period Balance	\$14.50
			FINRA Assessment	\$0.00
			SIPC Assessment	\$0.00
			<hr/>	
Please Retain for Your Records			Balance Forward	\$34.20
THERE WILL BE NO PAYMENT THIS PERIOD			Year-to-date Earnings	\$2,902.06