

**COMMISSION STATEMENT****February 08, 2018**

TRACY CUDA  
3200 N. Central Ave  
Suite 200  
Phoenix, AZ 85012

BROKER #: 73670  
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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**Company: Securities America****BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

02/08/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-525.00	0.00	-525.00	-191.89
02/08/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	601.79	0.00	601.79	219.95
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$76.79</u>	<u>\$0.00</u>	<u>\$76.79</u>	<u>\$28.06</u>

**PRODUCT CATEGORY: TRAILERS**

02/08/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	57,846.34	0.00	57,846.34	21,142.84
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$57,846.34</u>	<u>\$0.00</u>	<u>\$57,846.34</u>	<u>\$21,142.84</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$57,923.13</u>	<u>\$0.00</u>	<u>\$57,923.13</u>	<u>\$21,170.90</u>

**OVERRIDE TRANSACTIONS****BROKER: JODI GALLOVICH #73712**

02/08/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	12,086.63	0.00	12,086.63	1,625.65
02/08/2018	GALLOVICH (73712), JODI	SAI.TRAILS	0.00	776.66	0.00	776.66	104.46
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$12,863.29</u>	<u>\$0.00</u>	<u>\$12,863.29</u>	<u>\$1,730.11</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$12,863.29</u>	<u>\$0.00</u>	<u>\$12,863.29</u>	<u>\$1,730.11</u>

COMMISSION STATEMENT for TRACY CUDA

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Company: Securities America (continued)

ADJUSTMENTS

2. MONTHLY SVC & TECHNOLOGY FEE							-227.50
10. MONTHLY ADMIN SVC FEE							-25.00
17. SAI SIPC PE 2/2/18							-18.15
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-270.65

BROKER COMMISSION TOTALS

Broker Transactions	\$21,170.90
Split Transactions	\$0.00
Override Transactions	\$1,730.11
Adjustments	\$-270.65
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$22,630.36
Year-to-date Earnings	\$55,635.68