



Concorde Investment Services, LLC

02/14/2017
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COMMISSION STATEMENT

February 15, 2017

ROY PFLEGER II
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: P123
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
02/03/2017	PFLEGER, CLIFFORD	MAINSTAY CUSHING MLP PREMIER FUND C	2,650.00	0.00	0.00	0.00	75.0	0.00
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$2,650.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
01/23/2017	ZEGLEN, BRENT	BLUEROCK BIG CREEK DST	85,000.00	1,020.00	0.00	1,020.00	75.0	765.00
01/23/2017	ZEGLEN, BRENT	EXCHANGERIGHT NET LEASED PF 14 DST	84,837.54	1,187.73	0.00	1,187.73	75.0	890.80
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$169,837.54	\$2,207.73	\$0.00	\$2,207.73		\$1,655.80
*** SPLIT TRANSACTIONS TOTAL ***			\$172,487.54	\$2,207.73	\$0.00	\$2,207.73		\$1,655.80
<u>ADJUSTMENTS</u>								
02/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.05
02/15/2017		*PERSHING: MF PROSPECTUS	0.00					-0.84
02/15/2017		*REGISTRATION FEE: MN REG FEE	0.00					-50.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-51.89

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$1,655.80
Override Transactions	\$0.00
Adjustments	\$-51.89
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$10.78
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,614.69
Year-to-date Gross	\$2,460.10
Year-to-date Earnings	\$1,845.08