



Concorde Investment Services, LLC

01/29/2018

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COMMISSION STATEMENT**January 31, 2018**K146-JASON KEMBLE
4106 E. Doe Creek DriveBROKER #: K146
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65202-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
01/10/2018	MO/TOD	AM FUNDS AMERICAN BALANCED A	5,000.00	212.50	0.00	212.50	90.0	191.25
01/10/2018	MO/TOD	AM FUNDS AMERICAN BALANCED C	15,000.00	150.00	0.00	150.00	90.0	135.00
01/10/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	250.00	10.63	0.00	10.63	90.0	9.57
01/10/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	1,250.00	53.13	0.00	53.13	90.0	47.82
01/10/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	1,250.00	53.13	0.00	53.13	90.0	47.82
* MUTUAL FUNDS SUBTOTAL *			\$22,750.00	\$479.39	\$0.00	\$479.39		\$431.46
*** DIRECT TRANSACTIONS TOTAL ***			\$22,750.00	\$479.39	\$0.00	\$479.39		\$431.46

SPLIT TRANSACTIONS**BROKER: JEFF/JASON KAUFMAN/KEMBLE #349**

01/16/2018	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	33.83	0.65	0.00	0.65	90.0	0.59
01/16/2018	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	1,041.63	19.92	0.00	19.92	90.0	17.93
01/10/2018	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	800.00	18.00	0.00	18.00	90.0	16.20
01/10/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	5.15	0.00	5.15	90.0	4.64
01/16/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	833.30	15.94	0.00	15.94	90.0	14.35
01/16/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	136.64	2.61	0.00	2.61	90.0	2.35
01/16/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
01/16/2018	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
01/16/2018	HEASTON, JENNALEA	AM FUNDS GROWTH FUND OF AMERICA A	27.18	0.61	0.00	0.61	90.0	0.55
01/16/2018	HEASTON, JENNALEA	AM FUNDS GROWTH FUND OF AMERICA A	27.18	0.61	0.00	0.61	90.0	0.55
01/16/2018	BACON, KAYLEE	AM FUNDS GROWTH FUND OF AMERICA A	25.04	0.56	0.00	0.56	90.0	0.50
01/16/2018	BACON, KAYLEE	AM FUNDS GROWTH FUND OF AMERICA A	25.04	0.56	0.00	0.56	90.0	0.50
01/16/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	5.48	0.12	0.00	0.12	90.0	0.11
01/16/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	5.48	0.12	0.00	0.12	90.0	0.11
01/10/2018	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	200.00	4.50	0.00	4.50	90.0	4.05

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
01/16/2018	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	1.13	0.00	1.13	90.0	1.02
01/16/2018	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.16	0.65	0.00	0.65	90.0	0.59
01/16/2018	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	208.33	3.98	0.00	3.98	90.0	3.58
01/02/2017	MCNERNEY, DAVID	PAC LIFE VUL	1,000.00	9.00	0.00	9.00	90.0	8.10
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$4,882.29	\$88.61	\$0.00	\$88.61		\$79.78
<u>BROKER: JASON KEMBLE #394</u>								
01/10/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.55	0.00	2.55	90.0	2.30
01/10/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	2.55	0.00	2.55	90.0	2.30
* JASON KEMBLE SUBTOTAL *			\$200.00	\$5.10	\$0.00	\$5.10		\$4.60
*** SPLIT TRANSACTIONS TOTAL ***			\$5,082.29	\$93.71	\$0.00	\$93.71		\$84.38

BROKER COMMISSION TOTALS

Broker Transactions	\$431.46
Split Transactions	\$84.38
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-577.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-61.16
Year-to-date Gross	\$1,030.09
Year-to-date Earnings	\$966.95

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