

All Companies
STATEMENT
June 20, 2018

KYLE VAN OORT
5051 North Sabino Canyon Rd #2
#2212
Tuscon, AZ 85750

BROKER #: 053
BRANCH:

COMMISSION STATEMENT for KYLE VAN OORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/03/0018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	21.60	0.59	93.0	0.00	0.55	0.55
04/03/0018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	50.40	1.39	93.0	0.00	1.29	1.29
05/05/0018	#07150238955	ANDR, JACOB	PORTFOLIO 2021-2024 C2	500.00	5.00	93.0	0.00	4.65	4.65
05/05/0018	#00052802686	SILK, KYLE	PORTFOLIO 2025-2028 C2	300.00	3.00	93.0	0.00	2.79	2.79
05/05/0018	#00052805686	SURA, SCOT	PORTFOLIO 2025-2028 C2	50.00	0.50	93.0	0.00	0.47	0.47
05/05/0018	#07150238954	ANDR, JACOB	PORTFOLIO 2025-2028 C2	500.00	5.00	93.0	0.00	4.65	4.65
05/06/0018	#00052863559	ZOUCH, JENNA	PORTFOLIO 2029-2032 C2	150.00	1.50	93.0	0.00	1.40	1.40
05/06/0018	#00052922155	ZOUCH, JENNA	PORTFOLIO 2029-2032 C2	150.00	1.50	93.0	0.00	1.40	1.40
05/10/0201	#00052767873	HIGHT, GARY	PORTFOLIO 2017-2020C2	100.00	1.00	93.0	0.00	0.93	0.93
05/10/0201	#00052767877	HIGHT, GARY	PORTFOLIO 2017-2020C2	100.00	1.00	93.0	0.00	0.93	0.93
04/09/2018	#00002493087		LIFESTYLE GROWTH PORTFOLIO C	5,500.00	55.00	93.0	0.00	51.15	51.15
04/30/2018	#00001854646	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	81.25	0.81	93.0	0.00	0.75	0.75
04/30/2018	#00001854646	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	81.25	0.81	93.0	0.00	0.75	0.75
04/30/2018	#00002043539	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	22,397.55	223.98	93.0	0.00	208.30	208.30
04/30/2018	#00003380832	ARMENTA,	LIFESTYLE GROWTH PORTFOLIO C	1,000.00	10.00	93.0	0.00	9.30	9.30
04/30/2018	#00003380834	ARMENTA,	LIFESTYLE GROWTH PORTFOLIO C	1,000.00	10.00	93.0	0.00	9.30	9.30
04/30/2018	#00003380829	TO (STA) TOD	LIFESTYLE MODERATE PORTFOLIO C	50,000.00	500.00	93.0	0.00	465.00	465.00
05/01/2018	#00002179564	COELEROSSA	LIFESTYLE GROWTH PORTFOLIO C	500.00	5.00	93.0	0.00	4.65	4.65
05/01/2018	#00002195815	FITZNSIENM	LIFESTYLE GROWTH PORTFOLIO C	50.00	0.50	93.0	0.00	0.47	0.47
05/01/2018	#07004635396	BALESROBEA	PORTFOLIO 2025-2028 C2	75.00	0.75	93.0	0.00	0.70	0.70
05/01/2018	#00052939434	MEINDL, ROBERT	PORTFOLIO 2029-2032 C2	50.00	0.50	93.0	0.00	0.47	0.47
05/01/2018	#00053015272	MEINDL, ROBERT	PORTFOLIO 2033-2036 A	50.00	2.13	93.0	0.00	1.98	1.98
05/02/2018	#00073663981	FITZNER, SHAWN	AMERICAN BALANCED FUND-529C	50.00	0.50	93.0	0.00	0.47	0.47
05/02/2018	#00002164899	BOYER, JOHN	LIFESTYLE GROWTH PORTFOLIO C	250.00	2.50	93.0	0.00	2.33	2.33
05/03/2018	#00002170093		LIFESTYLE GROWTH PORTFOLIO C	200.00	2.00	93.0	0.00	1.86	1.86
05/04/2018	#000529603425	BUTLE, JANE	COLLEGE PORTFOLIO C2	0.00	4.54	93.0	0.00	4.22	4.22
05/04/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	50.40	1.39	93.0	0.00	1.29	1.29
05/04/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	21.60	0.59	93.0	0.00	0.55	0.55

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/04/2018	#00088605022	NAVARRETTE	GROWTH AND INCOME PORTFOLIO-A	24.50	0.67	93.0	0.00	0.62	0.62
05/04/2018	#00088605022	NAVARRETTE	GROWTH AND INCOME PORTFOLIO-A	24.50	0.67	93.0	0.00	0.62	0.62
05/04/2018	#071501496210	HIGHT, GARY	PORTFOLIO 2017-2020 A	0.00	3.36	93.0	0.00	3.12	3.12
05/04/2018	#071501496252	HIGHT, GARY	PORTFOLIO 2017-2020 A	0.00	3.86	93.0	0.00	3.59	3.59
05/04/2018	#000527678737	HIGHT, GARY	PORTFOLIO 2017-2020C2	0.00	10.24	93.0	0.00	9.52	9.52
05/04/2018	#000527678779	HIGHT, GARY	PORTFOLIO 2017-2020C2	0.00	10.24	93.0	0.00	9.52	9.52
05/04/2018	#071502389559	JACOBS, ANDREW	PORTFOLIO 2021-2024 C2	0.00	14.89	93.0	0.00	13.85	13.85
05/04/2018	#070046353000	MEIND, JANIS	PORTFOLIO 2021-2024 C2	0.00	4.01	93.0	0.00	3.73	3.73
05/04/2018	#070046353039	MEIND, JANIS	PORTFOLIO 2021-2024 C2	0.00	4.01	93.0	0.00	3.73	3.73
05/04/2018	#000528026867	SILK, KYLE	PORTFOLIO 2025-2028 C2	0.00	27.78	93.0	0.00	25.84	25.84
05/04/2018	#071502389546	JACOBS, ANDREW	PORTFOLIO 2025-2028 C2	0.00	15.71	93.0	0.00	14.61	14.61
05/04/2018	#000527214201	GOODE, THOMK	PORTFOLIO 2025-2028 C2	0.00	2.44	93.0	0.00	2.27	2.27
05/04/2018	#000529393081	BOUCHKARAA	PORTFOLIO 2025-2028 C2	0.00	1.94	93.0	0.00	1.80	1.80
05/04/2018	#000529865137	DOLPHIN,	PORTFOLIO 2025-2028 C2	0.00	13.49	93.0	0.00	12.55	12.55
05/04/2018	#000528056766	SURA, SCOT	PORTFOLIO 2025-2028 C2	0.00	5.45	93.0	0.00	5.07	5.07
05/04/2018	#070046353961	BALESROBEA	PORTFOLIO 2025-2028 C2	0.00	0.13	93.0	0.00	0.12	0.12
05/04/2018	#000528635591	ZOUCH, JENNA	PORTFOLIO 2029-2032 C2	0.00	12.22	93.0	0.00	11.36	11.36
05/04/2018	#000528889457	GOODE, THOMK	PORTFOLIO 2029-2032 C2	0.00	1.11	93.0	0.00	1.03	1.03
05/04/2018	#000529221551	ZOUCH, JENNA	PORTFOLIO 2029-2032 C2	0.00	6.67	93.0	0.00	6.20	6.20
05/04/2018	#000529393078	BOUCHKARAA	PORTFOLIO 2029-2032 C2	0.00	0.94	93.0	0.00	0.87	0.87
05/04/2018	#000529394349	MEIND, JANIS	PORTFOLIO 2029-2032 C2	0.00	2.70	93.0	0.00	2.51	2.51
05/04/2018	#000530293507	GOODE, THOMK	PORTFOLIO 2029-2032 C2	0.00	0.57	93.0	0.00	0.53	0.53
05/04/2018	#000530152729	MEINDL, ROBERT	PORTFOLIO 2033-2036 A	0.00	0.10	93.0	0.00	0.09	0.09
05/07/2018	#00068061257	UPTON, JOAN	BOND FUND OF AMERICA INC CL A	100.00	2.75	93.0	0.00	2.56	2.56
05/07/2018	#00068061257	UPTON, JOAN	GROWTH FUND AMERICA INC CLASS A	350.00	9.63	93.0	0.00	8.96	8.96
05/07/2018	#00068061257	UPTON, JOAN	INCOME FUND OF AMERICA INC CLASS A	250.00	6.88	93.0	0.00	6.40	6.40
05/07/2018	#00068061257	UPTON, JOAN	INVESTMENT CO AMER CLASS A	100.00	2.75	93.0	0.00	2.56	2.56
05/07/2018	#00001844081	RONCO	LIFESTYLE BALANCED PORTFOLIO C	600.00	6.00	93.0	0.00	5.58	5.58
05/07/2018	#00002401559	THEBEANNAL	LIFESTYLE GROWTH PORTFOLIO C	100.00	1.00	93.0	0.00	0.93	0.93
05/07/2018	#00068061257	UPTON, JOAN	NEW PERSPECTIVE FUND INC CL A	100.00	2.75	93.0	0.00	2.56	2.56
05/07/2018	#00068061257	UPTON, JOAN	SMALLCAP WORLD FUND INC CLASS A	100.00	2.75	93.0	0.00	2.56	2.56
05/07/2018	#00009438579	LOTT, UNIKA	SUNAMERICA FOCUSED DIVIDEND STRAT C	25.00	0.25	93.0	0.00	0.23	0.23
05/08/2018	#07004635300	MEIND, JANIS	PORTFOLIO 2021-2024 C2	50.00	0.50	93.0	0.00	0.47	0.47
05/08/2018	#07004635303	MEIND, JANIS	PORTFOLIO 2021-2024 C2	50.00	0.50	93.0	0.00	0.47	0.47
05/08/2018	#00052939434	MEINDL, ROBERT	PORTFOLIO 2029-2032 C2	50.00	0.50	93.0	0.00	0.47	0.47
05/08/2018	#00053015272	MEINDL, ROBERT	PORTFOLIO 2033-2036 A	50.00	2.13	93.0	0.00	1.98	1.98

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/10/2018	#00002404602	DAILE, JULIA	LIFESTYLE GROWTH PORTFOLIO C	100.00	1.00	93.0	0.00	0.93	0.93
05/11/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	21.33	0.59	93.0	0.00	0.55	0.55
05/11/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	49.77	1.37	93.0	0.00	1.27	1.27
05/15/2018	#00002117933	ROSS, NANCY	LIFESTYLE BALANCED PORTFOLIO C	100.00	1.00	93.0	0.00	0.93	0.93
05/15/2018	#00003377673	FANTIN, ANDREA	LIFESTYLE GROWTH PORTFOLIO C	1,000.00	10.00	93.0	0.00	9.30	9.30
05/15/2018	#00002105876	MEINDL, ROBERT	LIFESTYLE GROWTH PORTFOLIO C	550.00	5.50	93.0	0.00	5.12	5.12
05/15/2018	#00002134391	MEINDL, ROBERT	LIFESTYLE GROWTH PORTFOLIO C	450.00	4.50	93.0	0.00	4.19	4.19
05/15/2018	#00002195815	FITZNSIENM	LIFESTYLE GROWTH PORTFOLIO C	50.00	0.50	93.0	0.00	0.47	0.47
05/16/2018	#00002016848	TENNANT, NANCY	LIFESTYLE BALANCED PORTFOLIO C	150.00	1.50	93.0	0.00	1.40	1.40
05/16/2018	#00002187190	FITZNER, SHAWN	LIFESTYLE BALANCED PORTFOLIO C	50.00	0.50	93.0	0.00	0.47	0.47
05/16/2018	#00002111491	BOYER, JOHN	LIFESTYLE GROWTH PORTFOLIO C	150.00	1.50	93.0	0.00	1.40	1.40
05/18/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	47.25	1.30	93.0	0.00	1.21	1.21
05/18/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	20.25	0.56	93.0	0.00	0.52	0.52
05/18/2018	#00088605022	NAVARRETTE	GROWTH AND INCOME PORTFOLIO-A	25.32	0.70	93.0	0.00	0.65	0.65
05/18/2018	#00088605022	NAVARRETTE	GROWTH AND INCOME PORTFOLIO-A	25.32	0.70	93.0	0.00	0.65	0.65
05/21/2018	#00002098770	LOTT, UNIKA	LIFESTYLE GROWTH PORTFOLIO C	25.00	0.25	93.0	0.00	0.23	0.23
05/21/2018	#00002404190	NEVILJAMEM	LIFESTYLE GROWTH PORTFOLIO C	40.00	0.40	93.0	0.00	0.37	0.37
05/24/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	20.52	0.56	93.0	0.00	0.52	0.52
05/24/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	47.88	1.32	93.0	0.00	1.23	1.23
05/30/2018	#00087326387	ALEGRIAKIM	INCOME FUND AMER INC CL C	100.00	1.00	93.0	0.00	0.93	0.93
* MUTUAL FUNDS SUBTOTAL *			\$88,275.69	\$1,057.82		\$0.00	\$983.82	\$0.00	\$983.82
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/05/0018	#GAPC7CZ2F	HAVEN, THE	NATIONWIDE 620	0.00	74.08	93.0	0.00	68.89	68.89
05/01/0018	#GAPC7CZ2F	HAVEN, THE	NATIONWIDE 620	0.00	77.80	93.0	0.00	72.35	72.35
04/30/0201	#000193827	MCDONALD, MARYANN	PRUDETIAL TRAILS	0.00	4.22	93.0	0.00	3.92	3.92
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$156.10		\$0.00	\$145.16	\$0.00	\$145.16
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/10/2018	#00009519595		AIG 00138678	0.00	6.45	93.0	0.00	6.00	6.00
05/10/2018	#00009532919		AIG 86704B30	0.00	66.93	93.0	0.00	62.24	62.24
05/10/2018	#00009532923		AIG 86704B30	0.00	64.67	93.0	0.00	60.14	60.14
05/10/2018	#00009438579	LOTT, UNIKA	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	0.69	93.0	0.00	0.64	0.64
05/10/2018	#00009519595		SUNAMERICA FOCUSED MULTI-ASSET CL C	0.00	61.79	93.0	0.00	57.46	57.46
05/14/2018	#00002043049	VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION C	0.00	32.33	93.0	0.00	30.07	30.07
05/14/2018	#00002043369	VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION C	0.00	38.47	93.0	0.00	35.78	35.78

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/14/2018	#00002043370	VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION C	0.00	14.74	93.0	0.00	13.71	13.71
05/14/2018	#00002043540	COELET, WALTER	ALTERNATIVE ASSET ALLOCATION C	0.00	36.40	93.0	0.00	33.85	33.85
05/14/2018	#00002043586	VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION C	0.00	14.48	93.0	0.00	13.47	13.47
05/14/2018	#00002124057	VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION C	0.00	13.97	93.0	0.00	12.99	12.99
05/14/2018	#00002131339	VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION C	0.00	38.72	93.0	0.00	36.01	36.01
05/14/2018	#00002195006	VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION C	0.00	0.84	93.0	0.00	0.78	0.78
05/14/2018	#00002328261	VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION C	0.00	4.41	93.0	0.00	4.10	4.10
05/14/2018	#00003376080	GOODENOW,	ALTERNATIVE ASSET ALLOCATION C	0.00	7.74	93.0	0.00	7.20	7.20
05/14/2018	#00003377061	ARMIGO, MAUREEN	ALTERNATIVE ASSET ALLOCATION C	0.00	8.41	93.0	0.00	7.82	7.82
05/14/2018	#00001204768	VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION FUND A	0.00	4.37	93.0	0.00	4.06	4.06
05/14/2018	#00002117176	VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION FUND A	0.00	2.74	93.0	0.00	2.55	2.55
05/14/2018	#00002324317	VAN OORT VAR	EMERGING MARKETS C	0.00	6.79	93.0	0.00	6.31	6.31
05/14/2018	#00002324316	VAN OORT VAR	INTERNATIONAL VALUE EQUITY C	0.00	6.14	93.0	0.00	5.71	5.71
05/14/2018	#00001723982	VAN OORT VAR	JOHN HANCOCK - LIFESTYLE GROWTH A	0.00	0.61	93.0	0.00	0.57	0.57
05/14/2018	#00001823419	VAN OORT VAR	JOHN HANCOCK - LIFESTYLE GROWTH A	0.00	18.73	93.0	0.00	17.42	17.42
05/14/2018	#00001848312	VAN OORT VAR	JOHN HANCOCK - LIFESTYLE GROWTH A	0.00	17.23	93.0	0.00	16.02	16.02
05/14/2018	#00001848320	VAN OORT VAR	JOHN HANCOCK - LIFESTYLE GROWTH A	0.00	17.23	93.0	0.00	16.02	16.02
05/14/2018	#00002191441	VAN OORT VAR	JOHN HANCOCK - LIFESTYLE GROWTH A	0.00	1.30	93.0	0.00	1.21	1.21
05/14/2018	#00002191446	VAN OORT VAR	JOHN HANCOCK - LIFESTYLE GROWTH A	0.00	0.36	93.0	0.00	0.33	0.33
05/14/2018	#00002193985	VAN OORT VAR	JOHN HANCOCK - LIFESTYLE GROWTH A	0.00	1.54	93.0	0.00	1.43	1.43
05/14/2018	#00002192280	VAN OORT VAR	JOHN HANCOCK INVT TR SOVEREIGN BALA	0.00	145.23	93.0	0.00	135.06	135.06
05/14/2018	#00002324315	VAN OORT VAR	JOHN HANCOCK INVT TR SOVEREIGN BALA	0.00	20.60	93.0	0.00	19.16	19.16
05/14/2018	#00001823456	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO A	0.00	42.50	93.0	0.00	39.53	39.53
05/14/2018	#00006549081	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO A	0.00	0.48	93.0	0.00	0.45	0.45
05/14/2018	#00006604847	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO A	0.00	1.55	93.0	0.00	1.44	1.44
05/14/2018	#00002492486	DOAIERZS	LIFESTYLE BALANCED PORTFOLIO C	0.00	0.25	93.0	0.00	0.23	0.23
05/14/2018	#00003372763	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	17.44	93.0	0.00	16.22	16.22
05/14/2018	#00001826696	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	186.28	93.0	0.00	173.24	173.24
05/14/2018	#00001827540	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	61.97	93.0	0.00	57.63	57.63
05/14/2018	#00001827541	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	30.55	93.0	0.00	28.41	28.41
05/14/2018	#00001827544	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	56.31	93.0	0.00	52.37	52.37
05/14/2018	#00001827545	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	115.68	93.0	0.00	107.58	107.58
05/14/2018	#00001827546	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	124.70	93.0	0.00	115.97	115.97
05/14/2018	#00001827549	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	52.77	93.0	0.00	49.08	49.08
05/14/2018	#00001828040	ERIKSON, JANE	LIFESTYLE BALANCED PORTFOLIO C	0.00	14.79	93.0	0.00	13.75	13.75
05/14/2018	#00001828047	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	151.73	93.0	0.00	141.11	141.11

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/14/2018	#00001844059 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	52.54	93.0	0.00	48.86	0.00	48.86
05/14/2018	#00001844073 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	5.26	93.0	0.00	4.89	0.00	4.89
05/14/2018	#00001844077 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	104.50	93.0	0.00	97.19	0.00	97.19
05/14/2018	#00001844081 RONCO	LIFESTYLE BALANCED PORTFOLIO C	0.00	80.39	93.0	0.00	74.76	0.00	74.76
05/14/2018	#00001846001 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	28.28	93.0	0.00	26.30	0.00	26.30
05/14/2018	#00001846003 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	5.90	93.0	0.00	5.49	0.00	5.49
05/14/2018	#00001849129 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	0.95	93.0	0.00	0.88	0.00	0.88
05/14/2018	#00001853740 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	42.37	93.0	0.00	39.40	0.00	39.40
05/14/2018	#00001853745 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	0.67	93.0	0.00	0.62	0.00	0.62
05/14/2018	#00001853746 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	0.48	93.0	0.00	0.45	0.00	0.45
05/14/2018	#00001854456 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	1.46	93.0	0.00	1.36	0.00	1.36
05/14/2018	#00001854646 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	29.82	93.0	0.00	27.73	0.00	27.73
05/14/2018	#00001855394 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	52.62	93.0	0.00	48.94	0.00	48.94
05/14/2018	#00001862151 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	78.18	93.0	0.00	72.71	0.00	72.71
05/14/2018	#00001862155 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	2.16	93.0	0.00	2.01	0.00	2.01
05/14/2018	#00001862156 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	3.08	93.0	0.00	2.86	0.00	2.86
05/14/2018	#00001949826 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	199.81	93.0	0.00	185.82	0.00	185.82
05/14/2018	#00001949829 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	199.87	93.0	0.00	185.88	0.00	185.88
05/14/2018	#00001956843 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	139.89	93.0	0.00	130.10	0.00	130.10
05/14/2018	#00001956844 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	108.44	93.0	0.00	100.85	0.00	100.85
05/14/2018	#00001958760 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	5.98	93.0	0.00	5.56	0.00	5.56
05/14/2018	#00001959942 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	13.25	93.0	0.00	12.32	0.00	12.32
05/14/2018	#00001959943 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	162.04	93.0	0.00	150.70	0.00	150.70
05/14/2018	#00001959945 VARIOUS VANOORT	LIFESTYLE BALANCED PORTFOLIO C	0.00	5.75	93.0	0.00	5.35	0.00	5.35
05/14/2018	#00002000799 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	206.63	93.0	0.00	192.17	0.00	192.17
05/14/2018	#00002003571 LAMPE, TINA	LIFESTYLE BALANCED PORTFOLIO C	0.00	200.57	93.0	0.00	186.53	0.00	186.53
05/14/2018	#00002016848 TENNANT, NANCY	LIFESTYLE BALANCED PORTFOLIO C	0.00	20.93	93.0	0.00	19.46	0.00	19.46
05/14/2018	#00002018295 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	12.57	93.0	0.00	11.69	0.00	11.69
05/14/2018	#00002018299 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	13.07	93.0	0.00	12.16	0.00	12.16
05/14/2018	#00002019842 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	5.32	93.0	0.00	4.95	0.00	4.95
05/14/2018	#00002019850 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	17.41	93.0	0.00	16.19	0.00	16.19
05/14/2018	#00002019851 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	39.47	93.0	0.00	36.71	0.00	36.71
05/14/2018	#00002019852 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	20.47	93.0	0.00	19.04	0.00	19.04
05/14/2018	#00002019854 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	8.94	93.0	0.00	8.31	0.00	8.31
05/14/2018	#00002032728 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	42.50	93.0	0.00	39.53	0.00	39.53
05/14/2018	#00002032734 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	1.39	93.0	0.00	1.29	0.00	1.29

COMMISSION STATEMENT for KYLE VAN OORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/14/2018	#00002036211 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	38.62	93.0	0.00	35.92	0.00	35.92
05/14/2018	#00002042083 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	39.74	93.0	0.00	36.96	0.00	36.96
05/14/2018	#00002043174 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	62.88	93.0	0.00	58.48	0.00	58.48
05/14/2018	#00002043539 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	12.74	93.0	0.00	11.85	0.00	11.85
05/14/2018	#00002092232 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	37.40	93.0	0.00	34.78	0.00	34.78
05/14/2018	#00002106091 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	221.14	93.0	0.00	205.66	0.00	205.66
05/14/2018	#00002112762 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	23.26	93.0	0.00	21.63	0.00	21.63
05/14/2018	#00002112765 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	13.85	93.0	0.00	12.88	0.00	12.88
05/14/2018	#00002112767 VARIOUS VANOORT	LIFESTYLE BALANCED PORTFOLIO C	0.00	22.28	93.0	0.00	20.72	0.00	20.72
05/14/2018	#00002117933 ROSS, NANCY	LIFESTYLE BALANCED PORTFOLIO C	0.00	0.27	93.0	0.00	0.25	0.00	0.25
05/14/2018	#00002123059 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	57.26	93.0	0.00	53.25	0.00	53.25
05/14/2018	#00002129506 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	46.51	93.0	0.00	43.25	0.00	43.25
05/14/2018	#00002133738 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	40.59	93.0	0.00	37.75	0.00	37.75
05/14/2018	#00002158112 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	5.35	93.0	0.00	4.98	0.00	4.98
05/14/2018	#00002158196 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	29.21	93.0	0.00	27.17	0.00	27.17
05/14/2018	#00002160232 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	36.27	93.0	0.00	33.73	0.00	33.73
05/14/2018	#00002164411 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	5.40	93.0	0.00	5.02	0.00	5.02
05/14/2018	#00002164622 TAYLOR, PAUL	LIFESTYLE BALANCED PORTFOLIO C	0.00	52.97	93.0	0.00	49.26	0.00	49.26
05/14/2018	#00002170084 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	58.72	93.0	0.00	54.61	0.00	54.61
05/14/2018	#00002175276 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	471.03	93.0	0.00	438.06	0.00	438.06
05/14/2018	#00002179219 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	79.90	93.0	0.00	74.31	0.00	74.31
05/14/2018	#00002180400 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	190.47	93.0	0.00	177.14	0.00	177.14
05/14/2018	#00002187190 FITZNER, SHAWN	LIFESTYLE BALANCED PORTFOLIO C	0.00	101.14	93.0	0.00	94.06	0.00	94.06
05/14/2018	#00002327664 VARIOUS VANOORT	LIFESTYLE BALANCED PORTFOLIO C	0.00	3.82	93.0	0.00	3.55	0.00	3.55
05/14/2018	#00002337799 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	5.31	93.0	0.00	4.94	0.00	4.94
05/14/2018	#00002337800 VARIOUS BARRONE	LIFESTYLE BALANCED PORTFOLIO C	0.00	12.72	93.0	0.00	11.83	0.00	11.83
05/14/2018	#00002389234 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	16.21	93.0	0.00	15.08	0.00	15.08
05/14/2018	#00002401558 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	25.77	93.0	0.00	23.97	0.00	23.97
05/14/2018	#00002402675 JOLLY,	LIFESTYLE BALANCED PORTFOLIO C	0.00	1.14	93.0	0.00	1.06	0.00	1.06
05/14/2018	#00002402676 JOLLY,	LIFESTYLE BALANCED PORTFOLIO C	0.00	1.45	93.0	0.00	1.35	0.00	1.35
05/14/2018	#00002404598 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	10.71	93.0	0.00	9.96	0.00	9.96
05/14/2018	#00002408810 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	31.46	93.0	0.00	29.26	0.00	29.26
05/14/2018	#00003372765 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	17.44	93.0	0.00	16.22	0.00	16.22
05/14/2018	#00002015624 VAN OORT VAR	LIFESTYLE CONSERVATIVE PORTFOLIO C	0.00	53.84	93.0	0.00	50.07	0.00	50.07
05/14/2018	#00003379033 DIFRANK, JULIE	LIFESTYLE CONSERVATIVE PORTFOLIO C	0.00	0.56	93.0	0.00	0.52	0.00	0.52
05/14/2018	#00001203162 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	1.08	93.0	0.00	1.00	0.00	1.00

COMMISSION STATEMENT for KYLE VAN OORT

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/14/2018	#00001826692 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	101.57	93.0	0.00	94.46	0.00	94.46
05/14/2018	#00001826694 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	84.90	93.0	0.00	78.96	0.00	78.96
05/14/2018	#00001826697 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	106.71	93.0	0.00	99.24	0.00	99.24
05/14/2018	#00001828049 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	274.69	93.0	0.00	255.46	0.00	255.46
05/14/2018	#00001828050 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	26.16	93.0	0.00	24.33	0.00	24.33
05/14/2018	#00001828053 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	23.50	93.0	0.00	21.86	0.00	21.86
05/14/2018	#00001829819 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	5.07	93.0	0.00	4.72	0.00	4.72
05/14/2018	#00001844062 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	85.40	93.0	0.00	79.42	0.00	79.42
05/14/2018	#00001844067 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	131.39	93.0	0.00	122.19	0.00	122.19
05/14/2018	#00001844071 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	6.13	93.0	0.00	5.70	0.00	5.70
05/14/2018	#00001846371 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	2.32	93.0	0.00	2.16	0.00	2.16
05/14/2018	#00001846378 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	19.73	93.0	0.00	18.35	0.00	18.35
05/14/2018	#00001846381 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	5.25	93.0	0.00	4.88	0.00	4.88
05/14/2018	#00001846385 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	40.45	93.0	0.00	37.62	0.00	37.62
05/14/2018	#00002004314 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	23.81	93.0	0.00	22.14	0.00	22.14
05/14/2018	#00002017919 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	6.67	93.0	0.00	6.20	0.00	6.20
05/14/2018	#00002020227 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	42.44	93.0	0.00	39.47	0.00	39.47
05/14/2018	#00002043536 COELET, MARY	LIFESTYLE GROWTH PORTFOLIO C	0.00	74.14	93.0	0.00	68.95	0.00	68.95
05/14/2018	#00002043537 COELET, WALTER	LIFESTYLE GROWTH PORTFOLIO C	0.00	83.77	93.0	0.00	77.91	0.00	77.91
05/14/2018	#00002098770 LOTT, UNIKA	LIFESTYLE GROWTH PORTFOLIO C	0.00	0.01	93.0	0.00	0.01	0.00	0.01
05/14/2018	#00002105876 MEINDL, ROBERT	LIFESTYLE GROWTH PORTFOLIO C	0.00	31.79	93.0	0.00	29.56	0.00	29.56
05/14/2018	#00002111491 BOYER, JOHN	LIFESTYLE GROWTH PORTFOLIO C	0.00	11.21	93.0	0.00	10.43	0.00	10.43
05/14/2018	#00002111492 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	17.50	93.0	0.00	16.28	0.00	16.28
05/14/2018	#00002112769 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	13.11	93.0	0.00	12.19	0.00	12.19
05/14/2018	#00002112770 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	6.83	93.0	0.00	6.35	0.00	6.35
05/14/2018	#00002124056 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	79.98	93.0	0.00	74.38	0.00	74.38
05/14/2018	#00002130594 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	16.62	93.0	0.00	15.46	0.00	15.46
05/14/2018	#00002134391 MEINDL, ROBERT	LIFESTYLE GROWTH PORTFOLIO C	0.00	14.89	93.0	0.00	13.85	0.00	13.85
05/14/2018	#00002162742 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	12.64	93.0	0.00	11.76	0.00	11.76
05/14/2018	#00002164899 BOYER, JOHN	LIFESTYLE GROWTH PORTFOLIO C	0.00	13.12	93.0	0.00	12.20	0.00	12.20
05/14/2018	#00002170093	LIFESTYLE GROWTH PORTFOLIO C	0.00	47.24	93.0	0.00	43.93	0.00	43.93
05/14/2018	#00002179564 COELEROSSA	LIFESTYLE GROWTH PORTFOLIO C	0.00	12.75	93.0	0.00	11.86	0.00	11.86
05/14/2018	#00002190043 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	3.84	93.0	0.00	3.57	0.00	3.57
05/14/2018	#00002192088 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	18.85	93.0	0.00	17.53	0.00	17.53
05/14/2018	#00002192142 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	12.21	93.0	0.00	11.36	0.00	11.36
05/14/2018	#00002192963 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	3.81	93.0	0.00	3.54	0.00	3.54

COMMISSION STATEMENT for KYLE VAN OORT

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/14/2018	#00002193302	HESS, TEREK	LIFESTYLE GROWTH PORTFOLIO C	0.00	1.71	93.0	0.00	1.59	1.59
05/14/2018	#00002195005	VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	3.84	93.0	0.00	3.57	3.57
05/14/2018	#00002195815	FITZNSIENM	LIFESTYLE GROWTH PORTFOLIO C	0.00	1.96	93.0	0.00	1.82	1.82
05/14/2018	#00002196011	VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	41.15	93.0	0.00	38.27	38.27
05/14/2018	#00002197358	VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	9.05	93.0	0.00	8.42	8.42
05/14/2018	#00002327396	VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	2.87	93.0	0.00	2.67	2.67
05/14/2018	#00002336243	VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	10.13	93.0	0.00	9.42	9.42
05/14/2018	#00002401559	THEBEANNAL	LIFESTYLE GROWTH PORTFOLIO C	0.00	1.33	93.0	0.00	1.24	1.24
05/14/2018	#00002404190	NEVILJAMEM	LIFESTYLE GROWTH PORTFOLIO C	0.00	3.99	93.0	0.00	3.71	3.71
05/14/2018	#00002404601	VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	5.36	93.0	0.00	4.98	4.98
05/14/2018	#00002404602	DAILE, JULIA	LIFESTYLE GROWTH PORTFOLIO C	0.00	1.47	93.0	0.00	1.37	1.37
05/14/2018	#00002493087		LIFESTYLE GROWTH PORTFOLIO C	0.00	0.82	93.0	0.00	0.76	0.76
05/14/2018	#00002493090	VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	8.79	93.0	0.00	8.17	8.17
05/14/2018	#00003372708	FONTANA,	LIFESTYLE GROWTH PORTFOLIO C	0.00	0.05	93.0	0.00	0.05	0.05
05/14/2018	#00001202629	VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	9.02	93.0	0.00	8.39	8.39
05/14/2018	#00001203274	VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	45.20	93.0	0.00	42.04	42.04
05/14/2018	#00001849129	VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	3.59	93.0	0.00	3.34	3.34
05/14/2018	#00002012319	VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	24.07	93.0	0.00	22.39	22.39
05/14/2018	#00002012322	VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	1.16	93.0	0.00	1.08	1.08
05/14/2018	#00002037352	VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	62.87	93.0	0.00	58.47	58.47
05/14/2018	#00002039253		LIFESTYLE MODERATE PORTFOLIO C	0.00	50.85	93.0	0.00	47.29	47.29
05/14/2018	#00002039254	TRUEBLOOD,	LIFESTYLE MODERATE PORTFOLIO C	0.00	15.29	93.0	0.00	14.22	14.22
05/14/2018	#00002131338	VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	141.36	93.0	0.00	131.46	131.46
05/14/2018	#00002133057	VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	92.40	93.0	0.00	85.93	85.93
05/14/2018	#00002158130	VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	26.83	93.0	0.00	24.95	24.95
05/14/2018	#00002160073	VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	125.16	93.0	0.00	116.40	116.40
05/14/2018	#00002337794	NEVILJAMEM	LIFESTYLE MODERATE PORTFOLIO C	0.00	78.89	93.0	0.00	73.37	73.37
05/14/2018	#00002493062	VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	0.92	93.0	0.00	0.86	0.86
05/14/2018	#00002005454	VAN OORT VAR	RETIREMENT LIVING THROUGH 2030 PORT	0.00	12.24	93.0	0.00	11.38	11.38
05/14/2018	#00002106006	VAN OORT VAR	RETIREMENT LIVING THROUGH 2030 PORT	0.00	26.92	93.0	0.00	25.04	25.04
05/14/2018	#00003372764	VAN OORT VAR	TAX-FREE BOND C	0.00	5.26	93.0	0.00	4.89	4.89
05/14/2018	#00001949827	VAN OORT VAR	TAX-FREE BOND C	0.00	23.64	93.0	0.00	21.99	21.99
05/14/2018	#00001949830	VAN OORT VAR	TAX-FREE BOND C	0.00	23.61	93.0	0.00	21.96	21.96
05/14/2018	#00002039255	VAN OORT VAR	TAX-FREE BOND C	0.00	1.05	93.0	0.00	0.98	0.98
05/14/2018	#00002117178	VAN OORT VAR	TAX-FREE BOND C	0.00	26.78	93.0	0.00	24.91	24.91
05/14/2018	#00002157273	VAN OORT VAR	TAX-FREE BOND C	0.00	198.09	93.0	0.00	184.22	184.22

COMMISSION STATEMENT for KYLE VAN OORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
05/14/2018	#00002172207 VAN OORT VAR	TAX-FREE BOND C	0.00	201.73	93.0	0.00	187.61	0.00	187.61
05/14/2018	#00003372766 VAN OORT VAR	TAX-FREE BOND C	0.00	5.26	93.0	0.00	4.89	0.00	4.89
05/14/2018	#00002043173 COELET, MARY	US GLOBAL LEADERS GROWTH C	0.00	16.39	93.0	0.00	15.24	0.00	15.24
05/14/2018	#00002131340 VAN OORT VAR	US GLOBAL LEADERS GROWTH C	0.00	23.37	93.0	0.00	21.73	0.00	21.73
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$8,047.35		\$0.00	\$7,484.06	\$0.00	\$7,484.06
*** BROKER TRANSACTIONS TOTAL ***			\$88,275.69	\$9,261.27		\$0.00	\$8,613.04	\$0.00	\$8,613.04

ADJUSTMENTS

06/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
06/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$8,613.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00
Check Amount	\$8,044.04