

All Companies
COMMISSION STATEMENT
May 01, 2018

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
04/13/2018	11933608	MCADAMS, JOHN W	SIGNAL SECURITIES RIA	B	0.00	374.67	0.00	374.67	90.0	337.20
04/13/2018	12055626	GANTER, ELAINE M	SIGNAL SECURITIES RIA	B	0.00	870.33	0.00	870.33	90.0	783.30
04/13/2018	14459187	BROWN, PEGGY	SIGNAL SECURITIES RIA	B	0.00	93.33	0.00	93.33	90.0	84.00
04/13/2018	14607090	MANLEY, RORY	SIGNAL SECURITIES RIA	B	0.00	36.67	0.00	36.67	90.0	33.00
04/13/2018	17365377	LEFFORGE, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	99.67	0.00	99.67	90.0	89.70
04/19/2018	19010175	STATE FAIR PUB. INC.	SIGNAL SECURITIES RIA	B	0.00	150.33	0.00	150.33	90.0	135.30
04/13/2018	20011253	GLANCY, FRANCES M	SIGNAL SECURITIES RIA	B	0.00	62.67	0.00	62.67	90.0	56.40
04/13/2018	21441902	SANTOS, RAYMOND	SIGNAL SECURITIES RIA	B	0.00	888.00	0.00	888.00	90.0	799.20
04/13/2018	21575254	DOWNES LIV TRUST, WILLIAM/CAROLYN	SIGNAL SECURITIES RIA	B	0.00	1,251.00	0.00	1,251.00	90.0	1,125.90
04/13/2018	23275885	HUFFMAN, NANCY R.	SIGNAL SECURITIES RIA	B	0.00	766.67	0.00	766.67	90.0	690.00
04/13/2018	26419203	AHRING, DOROTHY J	SIGNAL SECURITIES RIA	B	0.00	921.33	0.00	921.33	90.0	829.20
04/13/2018	28060052	GRAY, ROCKFORD/SALLY	SIGNAL SECURITIES RIA	B	0.00	1,056.00	0.00	1,056.00	90.0	950.40
04/13/2018	28106533	LIKENS, LESLIE E	SIGNAL SECURITIES RIA	B	0.00	483.33	0.00	483.33	90.0	435.00
04/13/2018	28416413	BOWDON FAMILY FOUNDATION	SIGNAL SECURITIES RIA	B	0.00	3,204.00	0.00	3,204.00	90.0	2,883.60
04/13/2018	29733972	BOWERS, CINDA I	SIGNAL SECURITIES RIA	B	0.00	936.67	0.00	936.67	90.0	843.00
04/13/2018	30856518	JAYNE, DAVID JOE	SIGNAL SECURITIES RIA	B	0.00	49.33	0.00	49.33	90.0	44.40
04/13/2018	30889813	DALLAS CHRISTIAN CO	SIGNAL SECURITIES RIA	B	0.00	239.00	0.00	239.00	90.0	215.10
04/13/2018	30958570	DONNELL, MIKE	SIGNAL SECURITIES RIA	B	0.00	88.33	0.00	88.33	90.0	79.50
10/13/2017	31260927	FARMERS BR. CHAMBER, EDU. FUND	SIGNAL SECURITIES RIA	B	0.00	101.66	0.00	101.66	90.0	91.49
10/13/2017	31260927	FARMERS BR. CHAMBER, EDU. FUND	SIGNAL SECURITIES RIA	B	0.00	101.67	0.00	101.67	90.0	91.50
10/13/2017	31260927	FARMERS BR. CHAMBER, EDU. FUND	SIGNAL SECURITIES RIA	B	0.00	101.67	0.00	101.67	90.0	91.50
01/12/2018	31260927	FARMERS BR. CHAMBER, EDU. FUND	SIGNAL SECURITIES RIA	B	0.00	106.67	0.00	106.67	90.0	96.00
01/12/2018	31260927	FARMERS BR. CHAMBER, EDU. FUND	SIGNAL SECURITIES RIA	B	0.00	106.67	0.00	106.67	90.0	96.00
04/13/2018	31260927	FARMERS BR. CHAMBER, EDU. FUND	SIGNAL SECURITIES RIA	B	0.00	106.00	0.00	106.00	90.0	95.40
04/13/2018	32047427	COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
04/13/2018	34235945	HARSHAW, JOHN FREDERICK	SIGNAL SECURITIES RIA	B	0.00	121.00	0.00	121.00	90.0	108.90
04/13/2018	34663352	GLANCY, WILLIAM P.	SIGNAL SECURITIES RIA	B	0.00	42.67	0.00	42.67	90.0	38.40
04/13/2018	34739881	KENNY, STEPHEN O	SIGNAL SECURITIES RIA	B	0.00	218.67	0.00	218.67	90.0	196.80
04/13/2018	35296442	DAUM (SEPARATE PROP), JERRY F	SIGNAL SECURITIES RIA	B	0.00	53.33	0.00	53.33	90.0	48.00
04/13/2018	35962941	DOWNES, ALEXANDRA M	SIGNAL SECURITIES RIA	B	0.00	170.33	0.00	170.33	90.0	153.30

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018 37772604	DRONE, CRAIG A	SIGNAL SECURITIES RIA	B	0.00	241.33	0.00	241.33	90.0	217.20
04/13/2018 38803585	NEARY, GEORGE & LISA	SIGNAL SECURITIES RIA	B	0.00	78.00	0.00	78.00	90.0	70.20
04/13/2018 41000586	ZIELINSKI, THOMAS L	SIGNAL SECURITIES RIA	B	0.00	125.67	0.00	125.67	90.0	113.10
04/13/2018 42907147	JERNIGAN, KYLE	SIGNAL SECURITIES RIA	B	0.00	281.33	0.00	281.33	90.0	253.20
04/13/2018 43177248	ZIELINSKI, THOMAS L	SIGNAL SECURITIES RIA	B	0.00	634.00	0.00	634.00	90.0	570.60
04/13/2018 44412032	GETZ, RENEE E	SIGNAL SECURITIES RIA	B	0.00	196.00	0.00	196.00	90.0	176.40
04/13/2018 47335624	HANSEN, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	244.67	0.00	244.67	90.0	220.20
04/13/2018 50230780	BLAIR, JR, DAVID D	SIGNAL SECURITIES RIA	B	0.00	638.67	0.00	638.67	90.0	574.80
04/13/2018 50550606	LIKENS, LESLIE E	SIGNAL SECURITIES RIA	B	0.00	302.33	0.00	302.33	90.0	272.10
04/13/2018 51058096	PENN, JR, ROLAND E	SIGNAL SECURITIES RIA	B	0.00	336.67	0.00	336.67	90.0	303.00
04/13/2018 51666847	WILSON, JOHN DOUGLAS	SIGNAL SECURITIES RIA	B	0.00	836.00	0.00	836.00	90.0	752.40
04/13/2018 52129314	WAMRE FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	445.67	0.00	445.67	90.0	401.10
04/13/2018 52178933	MARTINIE, GARY & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	33.00	0.00	33.00	90.0	29.70
04/13/2018 53917162	MCCASH, GARY & SUZETTE	SIGNAL SECURITIES RIA	B	0.00	687.33	0.00	687.33	90.0	618.60
04/13/2018 54113355	DEE, EDWARD & RUTH	SIGNAL SECURITIES RIA	B	0.00	650.00	0.00	650.00	90.0	585.00
04/13/2018 54825568	NEARY, GEORGE & LISA	SIGNAL SECURITIES RIA	B	0.00	262.67	0.00	262.67	90.0	236.40
04/13/2018 55524343	MCGEEHON, DANIEL L	SIGNAL SECURITIES RIA	B	0.00	505.67	0.00	505.67	90.0	455.10
04/13/2018 56699985	LIKENS, KEVIN A	SIGNAL SECURITIES RIA	B	0.00	416.00	0.00	416.00	90.0	374.40
04/13/2018 57714569	DAVENPORT, CURTIS/SUSAN	SIGNAL SECURITIES RIA	B	0.00	930.67	0.00	930.67	90.0	837.60
04/13/2018 57788308	YAZELL, KIMBERLY A	SIGNAL SECURITIES RIA	B	0.00	610.00	0.00	610.00	90.0	549.00
04/13/2018 58441030	HARSHAW, JOHN	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	90.0	12.00
04/13/2018 59251730	GANTER, ELAINE M	SIGNAL SECURITIES RIA	B	0.00	18.33	0.00	18.33	90.0	16.50
04/13/2018 60681399	HERING, KAREN	SIGNAL SECURITIES RIA	B	0.00	569.00	0.00	569.00	90.0	512.10
04/13/2018 61024092	HADDIX, CAROLINE E	SIGNAL SECURITIES RIA	B	0.00	737.33	0.00	737.33	90.0	663.60
04/13/2018 63201352	MANLEY, KERRYANN K	SIGNAL SECURITIES RIA	B	0.00	68.67	0.00	68.67	90.0	61.80
04/13/2018 68409444	TURNER, LYNN D	SIGNAL SECURITIES RIA	B	0.00	633.00	0.00	633.00	90.0	569.70
04/13/2018 68570849	BLAIR, BRENDA	SIGNAL SECURITIES RIA	B	0.00	112.33	0.00	112.33	90.0	101.10
04/13/2018 68675276	BLAIR BYPASS TRUST	SIGNAL SECURITIES RIA	B	0.00	479.00	0.00	479.00	90.0	431.10
04/13/2018 70913435	BOWDON REV TRUST	SIGNAL SECURITIES RIA	B	0.00	503.67	0.00	503.67	90.0	453.30
04/13/2018 71239113	TURNER, J DWIGHT	SIGNAL SECURITIES RIA	B	0.00	152.67	0.00	152.67	90.0	137.40
04/13/2018 71695806	CHRISTIE, Nanci M	SIGNAL SECURITIES RIA	B	0.00	84.00	0.00	84.00	90.0	75.60
04/13/2018 71762114	BOWDON, HENRY & VIRGINI	SIGNAL SECURITIES RIA	B	0.00	126.67	0.00	126.67	90.0	114.00
04/13/2018 72285366	JOHNSON, ERIC W	SIGNAL SECURITIES RIA	B	0.00	168.67	0.00	168.67	90.0	151.80
04/13/2018 73298229	KENNY, STEPHEN O	SIGNAL SECURITIES RIA	B	0.00	229.00	0.00	229.00	90.0	206.10
04/13/2018 73451449	RALPH, MARK ALAN	SIGNAL SECURITIES RIA	B	0.00	513.33	0.00	513.33	90.0	462.00
04/13/2018 75602403	MANLEY, ROSS /	SIGNAL SECURITIES RIA	B	0.00	279.67	0.00	279.67	90.0	251.70

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
KERRYANN									
04/13/2018	80644541 TURNER, RITA	SIGNAL SECURITIES RIA	B	0.00	251.00	0.00	251.00	90.0	225.90
04/13/2018	80920481 PEIRSON, WILLIAM/CHRIS	SIGNAL SECURITIES RIA	B	0.00	1,505.33	0.00	1,505.33	90.0	1,354.80
04/13/2018	81013153 BOWDON, BOBBYE J	SIGNAL SECURITIES RIA	B	0.00	233.33	0.00	233.33	90.0	210.00
04/13/2018	81290736 SICKLER, LEE/CHRIS	SIGNAL SECURITIES RIA	B	0.00	120.67	0.00	120.67	90.0	108.60
04/13/2018	81769883 GATLIN, EDWARD E	SIGNAL SECURITIES RIA	B	0.00	126.00	0.00	126.00	90.0	113.40
04/13/2018	81877335 WAMRE, RICK & SALLY	SIGNAL SECURITIES RIA	B	0.00	880.67	0.00	880.67	90.0	792.60
04/13/2018	83450493 GLANCY, WILLIAM P.	SIGNAL SECURITIES RIA	B	0.00	367.00	0.00	367.00	90.0	330.30
04/13/2018	83813956 COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	189.33	0.00	189.33	90.0	170.40
04/13/2018	83898089 MJG PARTNERS LP	SIGNAL SECURITIES RIA	B	0.00	4,426.67	0.00	4,426.67	90.0	3,984.00
04/13/2018	85186147 JONES, LAURA JILL	SIGNAL SECURITIES RIA	B	0.00	117.33	0.00	117.33	90.0	105.60
04/13/2018	85289265 KLEIN, SHEILA M	SIGNAL SECURITIES RIA	B	0.00	369.67	0.00	369.67	90.0	332.70
04/13/2018	85475534 DOWNS, WILLIAM M	SIGNAL SECURITIES RIA	B	0.00	154.67	0.00	154.67	90.0	139.20
04/13/2018	85534947 MARTINIE, GARY & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	632.00	0.00	632.00	90.0	568.80
04/13/2018	87153342 BLAIR, JR, DAVID D	SIGNAL SECURITIES RIA	B	0.00	96.67	0.00	96.67	90.0	87.00
04/13/2018	87804016 LEWIS, JR, JACK F	SIGNAL SECURITIES RIA	B	0.00	55.67	0.00	55.67	90.0	50.10
04/13/2018	88575440 LOHMAN, REBECCA LYNN	SIGNAL SECURITIES RIA	B	0.00	241.00	0.00	241.00	90.0	216.90
04/13/2018	89037743 BLAIR, JR, DAVID D	SIGNAL SECURITIES RIA	B	0.00	33.33	0.00	33.33	90.0	30.00
04/13/2018	90630517 GLANCY, FRANCES M	SIGNAL SECURITIES RIA	B	0.00	65.33	0.00	65.33	90.0	58.80
04/13/2018	90750567 VEGA, ROY (RAUL)	SIGNAL SECURITIES RIA	B	0.00	3,420.33	0.00	3,420.33	90.0	3,078.30
04/13/2018	90906864 SAVAGE, JANICE	SIGNAL SECURITIES RIA	B	0.00	506.33	0.00	506.33	90.0	455.70
04/13/2018	91566568 BOWDON, KENNETH S	SIGNAL SECURITIES RIA	B	0.00	365.67	0.00	365.67	90.0	329.10
04/13/2018	93139099 CHAPMAN, JOE & CARMEN	SIGNAL SECURITIES RIA	B	0.00	711.00	0.00	711.00	90.0	639.90
04/13/2018	93542283 BROWN, PEGGY	SIGNAL SECURITIES RIA	B	0.00	399.33	0.00	399.33	90.0	359.40
04/13/2018	96116390 HARSHAW, JOHN FREDERICK	SIGNAL SECURITIES RIA	B	0.00	440.67	0.00	440.67	90.0	396.60
04/13/2018	98023364 MANLEY, ROSS / KERRYANN	SIGNAL SECURITIES RIA	B	0.00	68.33	0.00	68.33	90.0	61.50
04/13/2018	98899816 STRICKLIN, DONNA A	SIGNAL SECURITIES RIA	B	0.00	375.33	0.00	375.33	90.0	337.80
04/13/2018	98935139 ROBERTS, ROBERT M	SIGNAL SECURITIES RIA	B	0.00	102.67	0.00	102.67	90.0	92.40
04/13/2018	99600192 COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	46.00	0.00	46.00	90.0	41.40
* RIA SUBTOTAL *				\$0.00	\$42,265.35	\$0.00	\$42,265.35		\$38,038.79
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	26.10	0.00	26.10	90.0	23.49
05/01/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	11.80	0.00	11.80	90.0	10.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$37.90	\$0.00	\$37.90		\$34.11

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ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$4,061.44	\$44,589.26	\$0.00	\$44,589.26	\$40,130.32
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ADJUSTMENTS

05/01/2018	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-6.50
05/01/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-34.00

BROKER COMMISSION TOTALS

Broker Transactions	\$40,130.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-34.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$40,096.32
Year-to-date Earnings	\$204,590.06