CONCORDE INVESTMENT SERVICES

COMMISSION STATEMENT

March 31, 2017

BROKER #: 1JR

BRANCH: FORTITUDE INVESTMENT

1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOSK 417 E. Main Street

3rd Floor Port Jefferson, NY 11777

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION RAT | BROKER COMMISSION | | | |
|---------------------|-----------------|-----------------------------------|----------------------|---------------------|--------------------|-----------------------|----------------------|--|--|--|
| | | DDOWED MDANG | IA CITTONIC | | | | | | | |
| BROKER TRANSACTIONS | | | | | | | | | | |
| PRODUCT C | ATEGORY: STOCKS | | | | | | | | | |
| ^03/17/2017 | PARILLO, RALPH | ADVANCED MICRO DEVICES INC COM | -4,048.50 | 0.00 | 22.50 | -22.50 100. | 0.00 | | | |
| ^03/23/2017 | LAGALA, JAMES | EXELIXIS INC COM | -5,243.70 | 30.00 | 22.50 | 7.50 100. | 0.00 | | | |
| | | * STOCKS SUBTOTAL * | \$-9,292.20 | \$30.00 | \$45.00 | \$-15.00 | \$0.00 | | | |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$-9,292.20 | \$30.00 | \$45.00 | \$-15.00 | \$0.00 | | | |
| <u>ADJUSTMENTS</u> | | | | | | | | | | |
| 03/31/2017 | | *PERSHING: MARK UPS | 0.00 | | | | 0.00 | | | |
| | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | | |

BROKER COMMISSION TOTALS

| Broker | Transactions | \$0 | .00 |
|----------|---------------|-----|-----|
| Split | Transactions | \$0 | .00 |
| Override | Transactions | \$0 | .00 |
| | Adjustments | \$0 | .00 |
| | Payroll Draw | \$0 | .00 |
| | Base Salary | \$0 | .00 |
| Prior Pe | eriod Balance | \$0 | .02 |
| FINE | RA Assessment | \$0 | .00 |
| SII | PC Assessment | \$0 | .00 |
| | | | |
| | | | |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| Balance Forward | \$0.02 |
|-----------------------|--------|
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |