

COMMISSION STATEMENT

May 31, 2018

JANICE GINSBURG
100 AQUEDUCT DRIVE

BROKER #: V03 A03
BRANCH: FULCRUM GROUP

NORTH WALES, PA 19454

COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/10/2018	75501483 SPILLER, HELEN	**GUGGENHEIM FDS TR	S	500.00	0.00	0.00	0.00	50.0	0.00
		* MUTUAL FUNDS SUBTOTAL *		\$500.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>									
04/27/2018	75501739 STAUFFER, JUDITH	EXPEDIA INC	S	8,621.25	0.00	0.00	0.00	50.0	0.00
05/15/2018	75501739 STAUFFER, JUDITH	WHIRLPOOL CORP	S	15,689.70	0.00	0.00	0.00	50.0	0.00
04/30/2018	75501898 LIBOVE, MICHAEL	ALTRIA GROUP INC	S	11,297.23	178.50	0.00	178.50	50.0	89.25
04/30/2018	75501898 LIBOVE, MICHAEL	GENERAL MILLS INC	S	4,688.25	102.46	0.00	102.46	50.0	51.23
04/30/2018	75501898 LIBOVE, MICHAEL	PEPSICO INC	S	6,009.15	100.00	0.00	100.00	50.0	50.00
05/09/2018	75502187 THOMPSON, LISA	ALPS ETF TR ALERIAN MLP	S	1,963.46	85.76	0.00	85.76	50.0	42.88
05/09/2018	75502187 THOMPSON, LISA	AT&T INC	S	10,020.42	239.93	0.00	239.93	50.0	119.97
05/09/2018	75502187 THOMPSON, LISA	CATERPILLAR INC	S	12,276.56	105.00	0.00	105.00	50.0	52.50
05/09/2018	75502187 THOMPSON, LISA	COCA COLA COMPANY (THE)	S	6,015.37	156.74	0.00	156.74	50.0	78.37
05/09/2018	75502187 THOMPSON, LISA	MICROSOFT CORP	S	19,394.50	233.18	0.00	233.18	50.0	116.59
		* STOCKS SUBTOTAL *		\$95,975.89	\$1,201.57	\$0.00	\$1,201.57		\$600.79
<u>PRODUCT CATEGORY: BONDS</u>									
05/04/2018	75501621 WEXLER, SHEILA	BRISTOL BOROUGH PA SCH DIST PRIMARY B		26,347.00	98.25	0.00	98.25	50.0	49.13
05/03/2018	75501744 STAUFFER, ROBERT	COACH INC SENIOR NOTES	B	24,185.00	0.00	0.00	0.00	50.0	0.00
		* BONDS SUBTOTAL *		\$50,532.00	\$98.25	\$0.00	\$98.25		\$49.13
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/08/2018	(None) VARIOUS, GINSBURG	LINCOLN	B	0.00	25.98	0.00	25.98	50.0	12.99
05/09/2018	(None) VARIOUS, GINSBURG	JACKSON	B	0.00	119.02	0.00	119.02	50.0	59.51
		* VARIABLE ANNUITIES SUBTOTAL *		\$0.00	\$145.00	\$0.00	\$145.00		\$72.50
<u>PRODUCT CATEGORY: RIA</u>									
04/01/2018	75501739 STAUFFER, JUDITH	FAS FEES PT. II/III	B	0.00	194.02	0.00	194.02	50.0	97.01
04/01/2018	75501744 STAUFFER, ROBERT	FAS FEES PT. II/III	B	-1,001.62	333.87	0.00	333.87	50.0	166.94
04/01/2018	75501744 STAUFFER, ROBERT	FAS FEES PT. II/III	B	-582.08	0.00	0.00	0.00	50.0	0.00
04/01/2018	75501744 STAUFFER, ROBERT	FAS FEES PT. II/III	B	-185.96	0.00	0.00	0.00	50.0	0.00
04/01/2018	75501751 STAUFFER, ROBERT	FAS FEES PT. II/III	B	0.00	61.98	0.00	61.98	50.0	30.99

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BROKER TRANSACTIONSPRODUCT CATEGORY: RIA

04/01/2018	75501824	ALANCRAIG, DIANE	FAS FEES PT. II/III	B	-349.75	116.58	0.00	116.58	50.0	58.29
04/01/2018	75501828	ALANCRAIG, DIANE	FAS FEES PT. II/III	B	-180.64	60.21	0.00	60.21	50.0	30.11
04/17/2018	75501828	ALANCRAIG, DIANE	FAS FEES PT. II/III	B	148.88	-60.54	0.00	-60.54	50.0	-30.27
04/01/2018	75501829	SEPTIEN, ALFREDO	FAS FEES PT. II/III	B	-173.24	57.74	0.00	57.74	50.0	28.87
04/01/2018	75501830	SEPTIEN, ALFREDO	FAS FEES PT. II/III	B	-311.94	103.98	0.00	103.98	50.0	51.99
04/01/2018	75501832	WEXLER, SHEILA	FAS FEES PT. II/III	B	-399.33	133.11	0.00	133.11	50.0	66.56
04/01/2018	75501833	GIRGENTI, V JAMES	FAS FEES PT. II/III	B	-1,260.15	420.05	0.00	420.05	50.0	210.03
04/01/2018	75501834	BUGANSKI, TERRI	FAS FEES PT. II/III	B	-815.62	271.87	0.00	271.87	50.0	135.94
04/01/2018	75501835	BUGANSKI, TERRI	FAS FEES PT. II/III	B	-91.27	30.42	0.00	30.42	50.0	15.21
04/01/2018	75501836	FARKAS, RONALD	FAS FEES PT. II/III	B	-658.50	219.50	0.00	219.50	50.0	109.75
04/01/2018	75501837	FARKAS, MARILYN	FAS FEES PT. II/III	B	-1,354.42	451.47	0.00	451.47	50.0	225.74
04/01/2018	75501868	ZEGEN, LOUIS	FAS FEES PT. II/III	B	-1,261.66	420.55	0.00	420.55	50.0	210.28
04/01/2018	75501869	ZEGEN, LOUIS	FAS FEES PT. II/III	B	-228.90	76.30	0.00	76.30	50.0	38.15
04/01/2018	75501879	LIBOVE, MICHAEL	FAS FEES PT. II/III	B	-1,292.10	430.70	0.00	430.70	50.0	215.35
04/01/2018	75501993	ZEGEN, LOUIS	FAS FEES PT. II/III	B	-290.12	96.70	0.00	96.70	50.0	48.35
04/01/2018	75502182	GIRGENTI, V JAMES	FAS FEES PT. II/III	B	-661.57	220.52	0.00	220.52	50.0	110.26
* RIA SUBTOTAL *					<u>\$-10,949.99</u>	<u>\$3,639.03</u>	<u>\$0.00</u>	<u>\$3,639.03</u>		<u>\$1,819.55</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/31/2018		FEE COUNT - 186			0.00	967.09	0.00	967.09	50.0	477.39
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					<u>\$0.00</u>	<u>\$967.09</u>	<u>\$0.00</u>	<u>\$967.09</u>		<u>\$477.39</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$136,057.90</u>	<u>\$6,050.94</u>	<u>\$0.00</u>	<u>\$6,050.94</u>		<u>\$3,019.36</u>

ADJUSTMENTS

05/31/2018		OTHER: 2ND QTR - 2/3 ADV CHARGES			0.00	0.00	0.00	0.00		-316.28
05/31/2018		OTHER: TOWERBRIDGE - MAY CHG			0.00	0.00	0.00	0.00		-230.00
05/31/2018		OTHER: TOWERBRIDGE - APRIL CHG			0.00	0.00	0.00	0.00		-230.00
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-776.28</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$3,019.36
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-776.28
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-7.56
SIPC Assessment	\$-15.13

Check Amount	\$2,220.39
Year-to-date Earnings	\$11,876.00