

# COMMISSION STATEMENT

June 30, 2018

REYNALDO BATISTA-073300

BROKER #: D367

BRANCH: D12

, TX

## COMMISSION STATEMENT for REYNALDO BATISTA-073300

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
06/04/2018 154236543	BICKEL, SARAH	MF AMERICAN FD FUNDAMENTAL INV FD	S	-2,055.07	0.00	5.00	-5.00	90.0	-4.50
06/04/2018 154236543	BICKEL, SARAH	MF INVESCO DIVRS DIV FD CL R6	S	-2,498.76	0.00	5.00	-5.00	90.0	-4.50
06/04/2018 154236543	BICKEL, SARAH	MF JPMORGAN GRWTH ADVANTAGE CL R6	S	-2,830.84	0.00	5.00	-5.00	90.0	-4.50
06/06/2018 337637260	HARVEY, BETTE	MF AF U.S. GOVERNMENT MONEY	S	-21,508.16	0.00	5.00	-5.00	90.0	-4.50
06/06/2018 337657892	HARVEY, CHARLES	MF AF U.S. GOVERNMENT MONEY	S	-13,289.06	0.00	5.00	-5.00	90.0	-4.50
06/11/2018 553258050	BROOKS CUST,	MF THE INVESTMENT COMPANY OF	S	437.45	21.50	2.50	19.00	90.0	17.10
06/22/2018 585017569	PICKETT, DOUGLAS	MF AMERICAN FDS INVEST CO OF AMER	B	-5,492.55	0.00	5.00	-5.00	90.0	-4.50
06/22/2018 585017569	PICKETT, DOUGLAS	MF PRINCIPAL MIDCAP BLEND FD CL I	S	-3,932.50	0.00	5.00	-5.00	90.0	-4.50
06/11/2018 707530359	BROOKS CUST,	MF AMERICAN BALANCED FUND-A	S	-5,880.73	0.00	5.00	-5.00	90.0	-4.50
06/11/2018 707530359	BROOKS CUST,	MF THE GROWTH FUND OF AMERICA-A	S	-4,430.34	0.00	5.00	-5.00	90.0	-4.50
06/11/2018 707530359	BROOKS CUST,	MF THE INVESTMENT COMPANY OF	S	-6,403.45	0.00	5.00	-5.00	90.0	-4.50
06/22/2018 800399799	WISCHNEWSKY, ADOLPH	MF CAPITAL INCOME BUILDER-A	S	-47,374.86	0.00	5.00	-5.00	90.0	-4.50
06/22/2018 800399799	WISCHNEWSKY, ADOLPH	MF FUNDAMENTAL INVESTORS-A	S	-1,036.19	0.00	5.00	-5.00	90.0	-4.50
06/22/2018 800399799	WISCHNEWSKY, ADOLPH	MF THE BOND FUND OF AMERICA-A	S	-37,461.35	0.00	5.00	-5.00	90.0	-4.50
06/22/2018 800401215	WISCHNEWSKY JR TOD,	MF CAPITAL INCOME BUILDER-A	S	-27,397.70	0.00	5.00	-5.00	90.0	-4.50
06/22/2018 800401215	WISCHNEWSKY JR TOD,	MF THE BOND FUND OF AMERICA-A	S	-10,962.37	0.00	5.00	-5.00	90.0	-4.50
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$-192,116.48</b>	<b>\$21.50</b>	<b>\$77.50</b>	<b>\$-56.00</b>		<b>\$-50.40</b>

**PRODUCT CATEGORY: STOCKS**

06/04/2018 154236543	BICKEL, SARAH	S MICROSOFT CORPORATION	B	7,741.98	139.40	15.00	124.40	90.0	111.96
06/06/2018 337637260	HARVEY, BETTE	S ALERIAN MLP ETF	S	-5,136.68	96.40	15.00	81.40	90.0	73.26
06/06/2018 337637260	HARVEY, BETTE	S MICROSOFT CORPORATION	B	5,233.22	107.82	15.00	92.82	90.0	83.54
06/06/2018 337657892	HARVEY, CHARLES	S ALERIAN MLP ETF	S	-25,322.64	326.08	15.00	311.08	90.0	279.97
06/06/2018 337657892	HARVEY, CHARLES	S AMAZON.COM INC	B	17,362.97	260.52	15.00	245.52	90.0	220.97
06/06/2018 337657892	HARVEY, CHARLES	S ENERGY TRANSFER EQUITY L P	S	-17,274.61	234.51	15.00	219.51	90.0	197.56
06/06/2018 337657892	HARVEY, CHARLES	S MICROSOFT CORPORATION	B	25,961.20	368.77	15.00	353.77	90.0	318.39
06/14/2018 584994161	PICKETT, FREDDIE	S MICROSOFT CORPORATION	B	1,068.70	51.00	15.00	36.00	90.0	32.40
06/22/2018 585017569	PICKETT, DOUGLAS	S APPLE INC	B	6,996.33	130.01	15.00	115.01	90.0	103.51
06/22/2018 585017569	PICKETT, DOUGLAS	S CAPITAL ONE FINANCIAL CORP	B	4,989.43	104.75	15.00	89.75	90.0	80.78
06/22/2018 585017569	PICKETT, DOUGLAS	S ISHARES RUSSELL 3000 INDEX FD	S	-6,812.00	115.46	15.00	100.46	90.0	90.41
06/22/2018 585017569	PICKETT, DOUGLAS	S MICROSOFT CORPORATION	B	7,136.26	131.78	15.00	116.78	90.0	105.10

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06/22/2018 585017569	PICKETT, DOUGLAS	S UNDER ARMOUR INC CLASS C	B	2,773.69	76.85	15.00	61.85	90.0	55.67
06/06/2018 646118651	RITTIERODT, DAVID	S MICROSOFT CORPORATION	B	11,441.09	185.97	15.00	170.97	90.0	153.87
06/06/2018 646118651	RITTIERODT, DAVID	S UNION PACIFIC CORPORATION	S	-14,051.56	197.84	15.00	182.84	90.0	164.56
06/25/2018 677591079	SEBETKA, MIKE	S CARVANA CO	B	3,700.03	88.52	15.00	73.52	90.0	66.17
06/25/2018 677592062	SEBETKA, MIKE	S MICROSOFT CORPORATION	B	4,731.10	101.50	15.00	86.50	90.0	77.85
06/26/2018 677592062	SEBETKA, MIKE	S ADVANCED MICRO DEVICES INC	B	3,931.38	91.43	15.00	76.43	90.0	68.79
06/26/2018 677592062	SEBETKA, MIKE	S AMAZON.COM INC	B	5,127.27	106.48	15.00	91.48	90.0	82.33
06/11/2018 707530359	BROOKS CUST,	S APPLE INC	S	-3,166.97	73.99	15.00	58.99	90.0	53.09
06/11/2018 707530359	BROOKS CUST,	S ISHARES CORE S&P MID-CAP ETF	S	-2,139.51	62.30	15.00	47.30	90.0	42.57
06/11/2018 707530359	BROOKS CUST,	S MICROSOFT CORPORATION	S	-2,561.39	67.10	15.00	52.10	90.0	46.89
06/11/2018 707530359	BROOKS CUST,	S SPDR FD TECHNOLOGY INDX TRADING	S	-2,653.62	68.15	15.00	53.15	90.0	47.84
06/11/2018 707530359	BROOKS CUST,	S SPDR S&P DIVIDEND ETF	S	-2,194.91	62.93	15.00	47.93	90.0	43.14
06/22/2018 800399799	WISCHNEWSKY, ADOLPH	S ALIBABA GROUP HOLDING LTD	B	10,225.11	170.66	15.00	155.66	90.0	140.09
06/22/2018 800399799	WISCHNEWSKY, ADOLPH	S CHEVRON CORPORATION	B	15,910.90	242.24	15.00	227.24	90.0	204.52
06/22/2018 800399799	WISCHNEWSKY, ADOLPH	S STATE STREET CORP	B	10,495.68	174.07	15.00	159.07	90.0	143.16
06/22/2018 800399799	WISCHNEWSKY, ADOLPH	S T-MOBILE US INC	B	10,174.62	170.03	15.00	155.03	90.0	139.53
06/22/2018 800399799	WISCHNEWSKY, ADOLPH	S VERIZON COMMUNICATIONS INC	B	10,098.72	169.07	15.00	154.07	90.0	138.66
06/22/2018 800399799	WISCHNEWSKY, ADOLPH	S WAL-MART STORES INC	B	10,328.29	171.96	15.00	156.96	90.0	141.26
06/22/2018 800399799	WISCHNEWSKY, ADOLPH	S WELLS FARGO & CO	B	20,349.99	298.13	15.00	283.13	90.0	254.82
06/22/2018 800401215	WISCHNEWSKY JR TOD,	S CONSOLIDATED EDISON HOLDING CO.	B	5,262.93	108.19	15.00	93.19	90.0	83.87
06/22/2018 800401215	WISCHNEWSKY JR TOD,	S ENBRIDGE INC ISIN CA29250N1050	B	10,179.78	170.09	15.00	155.09	90.0	139.58
06/22/2018 800401215	WISCHNEWSKY JR TOD,	S KELLOGG COMPANY	B	5,169.65	107.02	15.00	92.02	90.0	82.82
06/22/2018 800401215	WISCHNEWSKY JR TOD,	S KINDER MORGAN INC	S	-11,850.81	172.80	15.00	157.80	90.0	142.02
06/22/2018 800401215	WISCHNEWSKY JR TOD,	S OAKTREE CAPITAL GROUP, LLC CL A	B	5,329.61	109.03	15.00	94.03	90.0	84.63
06/22/2018 800401215	WISCHNEWSKY JR TOD,	S T-MOBILE US INC	B	10,153.04	169.76	15.00	154.76	90.0	139.28
06/22/2018 800401215	WISCHNEWSKY JR TOD,	S THE COCA-COLA COMPANY	B	5,523.81	111.48	15.00	96.48	90.0	86.83
06/22/2018 800401215	WISCHNEWSKY JR TOD,	S VERIZON COMMUNICATIONS INC	B	10,054.77	168.52	15.00	153.52	90.0	138.17
06/25/2018 800401215	WISCHNEWSKY JR TOD,	S VERIZON COMMUNICATIONS INC	B	2,818.87	77.42	15.00	62.42	90.0	56.18
* STOCKS SUBTOTAL *				\$157,105.72	\$5,840.03	\$600.00	\$5,240.03		\$4,716.04

PRODUCT CATEGORY: MISCELLANEOUS

06/05/2018 a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 154236543	S	0.00	5.00	0.00	5.00	90.0	4.50
06/05/2018 a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 154236543	S	0.00	5.00	0.00	5.00	90.0	4.50
06/05/2018 a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 154236543	S	0.00	5.00	0.00	5.00	90.0	4.50
06/07/2018 a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 337657892	S	0.00	5.00	0.00	5.00	90.0	4.50
06/07/2018 a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 337637260	S	0.00	5.00	0.00	5.00	90.0	4.50
06/12/2018 a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 553258050	S	0.00	5.00	0.00	5.00	90.0	4.50
06/12/2018 a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 707530359	S	0.00	5.00	0.00	5.00	90.0	4.50
06/12/2018 a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 707530359	S	0.00	5.00	0.00	5.00	90.0	4.50
06/12/2018 a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 707530359	S	0.00	5.00	0.00	5.00	90.0	4.50
06/25/2018 a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 800399799	S	0.00	5.00	0.00	5.00	90.0	4.50
06/25/2018 a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 800399799	S	0.00	5.00	0.00	5.00	90.0	4.50
06/25/2018 a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 800399799	S	0.00	5.00	0.00	5.00	90.0	4.50
06/25/2018 a03073300	VARIOUS,	MI	MUTUAL FUND MISC FEE 800401215	S	0.00	5.00	0.00	5.00	90.0	4.50

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06/25/2018	a03073300	VARIOUS, MI MUTUAL FUND MISC FEE 800401215	S	0.00	5.00	0.00	5.00	90.0	4.50
06/25/2018	a03073300	VARIOUS, MI MUTUAL FUND MISC FEE 585017569	S	0.00	5.00	0.00	5.00	90.0	4.50
06/25/2018	a03073300	VARIOUS, MI MUTUAL FUND MISC FEE 585017569	S	0.00	5.00	0.00	5.00	90.0	4.50
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$80.00	\$0.00	\$80.00		\$72.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/21/2018	mfc073300	VARIOUS, MFT AMERICAN BALANCED FUND-A	B	0.00	1.23	0.00	1.23	90.0	1.11
06/21/2018	mfc073300	VARIOUS, MFT AMERICAN BALANCED FUND-A	B	0.00	1.23	0.00	1.23	90.0	1.11
06/21/2018	mfc073300	VARIOUS, MFT AMERICAN BALANCED FUND-A	B	0.00	1.58	0.00	1.58	90.0	1.42
06/21/2018	mfc073300	VARIOUS, MFT AMERICAN BALANCED FUND-A	B	0.00	2.01	0.00	2.01	90.0	1.81
06/21/2018	mfc073300	VARIOUS, MFT AMERICAN BALANCED FUND-A	B	0.00	10.78	0.00	10.78	90.0	9.70
06/21/2018	mfc073300	VARIOUS, MFT CAPITAL INCOME BUILDER-A	B	0.00	2.33	0.00	2.33	90.0	2.10
06/21/2018	mfc073300	VARIOUS, MFT CAPITAL INCOME BUILDER-A	B	0.00	2.57	0.00	2.57	90.0	2.31
06/21/2018	mfc073300	VARIOUS, MFT CAPITAL INCOME BUILDER-A	B	0.00	5.84	0.00	5.84	90.0	5.26
06/21/2018	mfc073300	VARIOUS, MFT CAPITAL INCOME BUILDER-A	B	0.00	10.10	0.00	10.10	90.0	9.09
06/21/2018	mfc073300	VARIOUS, MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.43	0.00	2.43	90.0	2.19
06/21/2018	mfc073300	VARIOUS, MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.22	0.00	0.22	90.0	0.20
06/21/2018	mfc073300	VARIOUS, MFT THE BOND FUND OF AMERICA-A	B	0.00	2.32	0.00	2.32	90.0	2.09
06/21/2018	mfc073300	VARIOUS, MFT THE BOND FUND OF AMERICA-A	B	0.00	7.93	0.00	7.93	90.0	7.14
06/21/2018	mfc073300	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.91	0.00	0.91	90.0	0.82
06/21/2018	mfc073300	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.91	0.00	0.91	90.0	0.82
06/21/2018	mfc073300	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.66	0.00	3.66	90.0	3.29
06/21/2018	mfc073300	VARIOUS, MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.53	0.00	6.53	90.0	5.88
06/21/2018	mfc073300	VARIOUS, MFT THE INVESTMENT COMPANY OF	B	0.00	1.33	0.00	1.33	90.0	1.20
06/21/2018	mfc073300	VARIOUS, MFT THE INVESTMENT COMPANY OF	B	0.00	1.33	0.00	1.33	90.0	1.20
06/21/2018	mfc073300	VARIOUS, MFT THE INVESTMENT COMPANY OF	B	0.00	2.27	0.00	2.27	90.0	2.04
06/21/2018	mfc073300	VARIOUS, MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.67	0.00	3.67	90.0	3.30
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$71.18	\$0.00	\$71.18		\$64.08
*** CLEARING TRANSACTIONS TOTAL ***				\$-35,010.76	\$6,012.71	\$677.50	\$5,335.21		\$4,801.72

ADJUSTMENTS TRANSACTIONSBROKER: #0

06/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
06/30/2018	:	E&O	0.00	0.00	0.00	0.00	-120.00
06/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00	\$-175.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-175.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,801.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-175.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$4,626.72</b>
<b>Year-to-date Commissions</b>	<b>\$5,904.85</b>