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## **COMMISSION STATEMENT**

**January 15, 2018** 

ALAN SMITH-D206

BROKER #: D206 BRANCH: DMR

, TX

## COMMISSION STATEMENT for ALAN SMITH-D206

| TRADE DATE          | CLIENT              | INVESTMENT              | B/S             | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE   | NET<br>COMMISSION | RATE    | BROKER<br>COMMISSION |
|---------------------|---------------------|-------------------------|-----------------|----------------------|---------------------|----------------------|-------------------|---------|----------------------|
| DIRECT TRANSACTIONS |                     |                         |                 |                      |                     |                      |                   |         |                      |
| PRODUCT CAT         | TEGORY: MUTUAL FUND | 12B1 TRAILS             |                 |                      |                     |                      |                   |         |                      |
| 12/04/2017 (N       | None) GLENNROBES    | MFT BLACKROCK           | В               | 0.00                 | 9.61                | 0.00                 | 9.61              | 80.0    | 7.69                 |
| 12/04/2017 (N       | None) GLENNROBES    | MFT BLACKROCK           | В               | 0.00                 | 6.21                | 0.00                 | 6.21              | 80.0    | 4.97                 |
|                     |                     | * MUTUAL FUND 12B1 TRAI | LS SUBTOTAL *   | \$0.00               | \$15.82             | \$0.00               | \$15.82           |         | \$12.66              |
|                     |                     | *** DIRECT TRANSACTI    | ONS TOTAL ***   | \$0.00               | \$15.82             | \$0.00               | \$15.82           |         | \$12.66              |
|                     | BROKER COM          |                         |                 |                      |                     |                      | BROKER COMMI      | ssion   | TOTALS               |
|                     |                     |                         |                 |                      | Broker Transactions |                      |                   | \$12.66 |                      |
|                     |                     |                         | Split Transacti |                      | t Transactions      |                      | \$0.00            |         |                      |
|                     |                     |                         |                 | Override Transactio  |                     | e Transactions       |                   | \$0.00  |                      |
|                     |                     |                         |                 |                      |                     | Adjustments          |                   |         | \$0.00               |
|                     |                     |                         |                 |                      | Payroll Draw        |                      |                   | \$0.00  |                      |
|                     |                     |                         |                 |                      |                     | Base Salary          |                   | \$0.00  |                      |
|                     |                     |                         |                 |                      |                     | Prior Period Balance |                   |         | \$0.00               |
|                     |                     |                         |                 |                      | FI                  | NRA Assessment       |                   | \$0.00  |                      |
|                     |                     |                         |                 |                      |                     | S                    | IPC Assessment    |         | \$0.00               |
|                     |                     |                         |                 |                      |                     | N                    | et Commissions    |         | \$12.66              |
|                     |                     |                         |                 |                      |                     | Year-to-da           | te Commissions    |         | \$1,854.20           |