

## COMMISSION STATEMENT

August 31, 2018

JANICE GINSBURG  
100 AQUEDUCT DRIVEBROKER #: V03 A03  
BRANCH: FULCRUM GROUP

NORTH WALES, PA 19454

## COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
08/09/2018 (None)	VARIOUS, GINSBURG	309 - FRANKLIN INCOME FUND - CLASS B	B	0.00	19.29	0.00	19.29	50.0	9.65
08/06/2018 75501483	SPILLER, HELEN	THE INCOME FUND OF AMERICA-A	S	1,115.52	0.00	0.00	0.00	50.0	0.00
08/10/2018 75501483	SPILLER, HELEN	**GUGGENHEIM FDS TR	S	500.00	0.00	0.00	0.00	50.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$1,615.52</b>	<b>\$19.29</b>	<b>\$0.00</b>	<b>\$19.29</b>		<b>\$9.65</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
08/03/2018 75501629	INOVATIVE INC	HOME DEPOT INC	S	9,780.63	100.00	0.00	100.00	50.0	50.00
08/03/2018 75501629	INOVATIVE INC	NATIONAL GRID PLC SPON ADR NEW 2017	S	4,364.25	95.00	0.00	95.00	50.0	47.50
08/08/2018 75501655	KINSLEY, GEORGE	CONSOLIDATED WATER CO LTD	B	1,375.00	50.00	0.00	50.00	50.0	25.00
08/08/2018 75501655	KINSLEY, GEORGE	NATIONAL GRID PLC SPON ADR NEW 2017	S	6,663.74	100.00	0.00	100.00	50.0	50.00
08/08/2018 75501655	KINSLEY, GEORGE	PICO HOLDINGS INC-NEW	S	1,242.50	50.00	0.00	50.00	50.0	25.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$23,426.12</b>	<b>\$395.00</b>	<b>\$0.00</b>	<b>\$395.00</b>		<b>\$197.50</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
08/08/2018 (None)	VARIOUS, GINSBURG	JACKSON	B	0.00	351.69	0.00	351.69	50.0	175.85
08/08/2018 (None)	VARIOUS, GINSBURG	LINCOLN	B	0.00	27.54	0.00	27.54	50.0	13.77
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$379.23</b>	<b>\$0.00</b>	<b>\$379.23</b>		<b>\$189.62</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
07/01/2018 75501739	STAUFFER, JUDITH	FAS FEES PT. II/III	B	0.00	196.93	0.00	196.93	50.0	98.47
07/01/2018 75501744	STAUFFER, ROBERT	FAS FEES PT. II/III	B	-594.01	0.00	0.00	0.00	50.0	0.00
07/01/2018 75501744	STAUFFER, ROBERT	FAS FEES PT. II/III	B	-191.18	0.00	0.00	0.00	50.0	0.00
07/01/2018 75501744	STAUFFER, ROBERT	FAS FEES PT. II/III	B	-1,013.64	336.04	0.00	336.04	50.0	168.02
07/01/2018 75501751	STAUFFER, ROBERT	FAS FEES PT. II/III	B	0.00	63.38	0.00	63.38	50.0	31.69
07/01/2018 75501824	ALANCRAIG, DIANE	FAS FEES PT. II/III	B	-362.46	120.16	0.00	120.16	50.0	60.08
07/01/2018 75501829	SEPTIEN, ALFREDO	FAS FEES PT. II/III	B	-219.82	72.88	0.00	72.88	50.0	36.44
07/01/2018 75501830	SEPTIEN, ALFREDO	FAS FEES PT. II/III	B	-315.44	104.58	0.00	104.58	50.0	52.29
07/01/2018 75501832	WEXLER, SHEILA	FAS FEES PT. II/III	B	-473.78	157.07	0.00	157.07	50.0	78.54
07/01/2018 75501833	GIRGENTI, V JAMES	FAS FEES PT. II/III	B	-1,321.45	438.09	0.00	438.09	50.0	219.05
07/01/2018 75501834	BUGANSKI, TERRI	FAS FEES PT. II/III	B	-843.20	279.54	0.00	279.54	50.0	139.77
07/01/2018 75501835	BUGANSKI, TERRI	FAS FEES PT. II/III	B	-93.98	31.16	0.00	31.16	50.0	15.58
07/01/2018 75501836	FARKAS, RONALD	FAS FEES PT. II/III	B	-676.17	224.17	0.00	224.17	50.0	112.09

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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

07/01/2018	75501837 FARKAS, MARILYN	FAS FEES PT. II/III	B	-1,383.47	458.65	0.00	458.65	50.0	229.33
07/01/2018	75501868 ZEGEN, LOUIS	FAS FEES PT. II/III	B	-1,288.49	427.16	0.00	427.16	50.0	213.58
07/01/2018	75501869 ZEGEN, LOUIS	FAS FEES PT. II/III	B	-221.92	73.57	0.00	73.57	50.0	36.79
07/01/2018	75501879 LIBOVE, MICHAEL	FAS FEES PT. II/III	B	-1,344.22	445.64	0.00	445.64	50.0	222.82
07/01/2018	75501993 ZEGEN, LOUIS	FAS FEES PT. II/III	B	-296.93	98.44	0.00	98.44	50.0	49.22
07/01/2018	75502182 GIRGENTI, V JAMES	FAS FEES PT. II/III	B	-676.67	224.33	0.00	224.33	50.0	112.17
* RIA SUBTOTAL *				\$-11,316.83	\$3,751.79	\$0.00	\$3,751.79		\$1,875.93

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/31/2018	FEE COUNT - 179			0.00	995.60	0.00	995.60	50.0	492.76
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$995.60	\$0.00	\$995.60		\$492.76
*** BROKER TRANSACTIONS TOTAL ***				\$13,724.81	\$5,540.91	\$0.00	\$5,540.91		\$2,765.46

ADJUSTMENTS

08/31/2018	OTHER: TOWER BRIDGE - 3Q 2/3			0.00	0.00	0.00	0.00		-116.05
08/31/2018	OTHER: UNBUNDLED FEES 2/3			0.00	0.00	0.00	0.00		-322.48
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-438.53

BROKER COMMISSION TOTALS

Broker Transactions	\$2,765.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-438.53
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.93
SIPC Assessment	\$-13.85

Check Amount	\$2,306.15
Year-to-date Earnings	\$19,671.91