

COMMISSION STATEMENT

September 29, 2017

DAVID REILLY
8 ACRON TERRACEBROKER #: 21
BRANCH: HOME OFFICE

NEW CITY, NY 10956

COMMISSION STATEMENT for DAVID REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

09/20/2017	QGW008013	MENDOZA, ALEJANDRINO	METLIFE INC	B	4,961.66	35.75	0.00	35.75	45.0	16.09
09/20/2017	QGW008021	MENDOZA, ESTELA	METLIFE INC	B	4,960.66	35.75	0.00	35.75	45.0	16.09
09/14/2017	QGW008047	DOUGHERTY, JANE	TECK RESOURCES LIMITED SUB VOTING C S		-1,075.51	25.00	0.00	25.00	45.0	11.25
* STOCKS SUBTOTAL *					\$8,846.81	\$96.50	\$0.00	\$96.50		\$43.43

PRODUCT CATEGORY: OPTIONS

08/31/2017	QGW023848	BYRNE, GERARD	CALL 100 ADVANCED MICRO DEVICES INC S		-515.00	25.00	0.00	25.00	45.0	11.25
09/14/2017	QGW023848	BYRNE, GERARD	CALL 100 CELGENE CORP EXP 09-15-17@ B		200.00	25.00	0.00	25.00	45.0	11.25
09/18/2017	QGW023848	BYRNE, GERARD	CALL 100 CELGENE CORP EXP 09-29-17@ S		-280.00	25.00	0.00	25.00	45.0	11.25
* OPTIONS SUBTOTAL *					\$-595.00	\$75.00	\$0.00	\$75.00		\$33.75

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2017	QGW008005	SCHULZ, CARL	ROCH. LIMITED TERM NEW YORK MUNICIPL B		0.00	6.77	0.00	6.77	45.0	3.05
09/21/2017	QGW008005	SCHULZ, CARL	ROCHESTER FUND MUNICIPALS A	B	0.00	36.02	0.00	36.02	45.0	16.21
09/21/2017	QGW008039	STEFANO, MARGARET	ROCHESTER FUND MUNICIPALS A	B	0.00	1.67	0.00	1.67	45.0	0.75
09/15/2017	QGW008047	DOUGHERTY, JANE	HIGH YIELD A	B	0.00	0.56	0.00	0.56	45.0	0.25
09/21/2017	QGW008047	DOUGHERTY, JANE	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	3.39	0.00	3.39	45.0	1.53
09/21/2017	QGW023798	OLSSON, RITA	CAPITAL APPRECIATION A	B	0.00	0.25	0.00	0.25	45.0	0.11
09/18/2017	QGW023814	STEFANO, MARGARET	EUROPACIFIC GROWTH FUND-A	B	0.00	0.27	0.00	0.27	45.0	0.12
09/18/2017	QGW023814	STEFANO, MARGARET	THE GROWTH FUND OF AMERICA-A	B	0.00	1.16	0.00	1.16	45.0	0.52
09/14/2017	QGW023848	BYRNE, GERARD	MFS INTERNTL DIVERSIFICATION FUND-A B		0.00	1.31	0.00	1.31	45.0	0.59
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$51.40	\$0.00	\$51.40		\$23.13
*** BROKER TRANSACTIONS TOTAL ***					\$8,251.81	\$222.90	\$0.00	\$222.90		\$100.31

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ADJUSTMENTS

09/29/2017		ADVANCE: ADVANCE		0.00	0.00	0.00	0.00		-111.00
09/29/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-131.00

BROKER COMMISSION TOTALS

Broker Transactions	\$100.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-131.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.28
SIPC Assessment	\$-0.56

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-31.53
Year-to-date Earnings	\$419.81