



# COMMISSION STATEMENT

April 09, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/29/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	100.00	5.00	0.00	5.00	95.0	4.75
	ACCOUNT #: 00701328528	BUY 5 Units @18.5300						
03/28/2018	***-**-35RO - MARCOCCIA, ROBIN	INTERMEDIATE BOND FUND OF AMERICA-A	50.00	1.00	0.00	1.00	95.0	0.95
	ACCOUNT #: 00086583849	BUY 4 Units @13.5000						
* MUTUAL FUNDS SUBTOTAL *			<u>\$150.00</u>	<u>\$6.00</u>	<u>\$0.00</u>	<u>\$6.00</u>		<u>\$5.70</u>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/05/2018	***-**-86I` - SEELEY, NANCY	BLACKROCK TRAILS	0.00	3.85	0.00	3.85	95.0	3.66
	ACCOUNT #: 5291468659	BUY 0 Units @0.0000						
04/09/2018	***-**-786F - SEELEY, NANCY	SCHOLAR'S EDGE TRAILS	0.00	6.33	0.00	6.33	95.0	6.01
	ACCOUNT #: 2000093784	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<u>\$0.00</u>	<u>\$10.18</u>	<u>\$0.00</u>	<u>\$10.18</u>		<u>\$9.67</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$150.00</u>	<u>\$16.18</u>	<u>\$0.00</u>	<u>\$16.18</u>		<u>\$15.37</u>

## VERRIDE TRANSACTIONS

### BROKER: RONALD DRAKE

03/26/2018	***-**-4427 - ZOGBY, MICHAEL	AMERICAN BALANCED FUND-A	116.00	3.19	0.00	3.19	20.0	0.64
	ACCOUNT #: 00084492487	BUY 4 Units @27.7100						
03/26/2018	***-**-7449 - JONES, D	AMERICAN BALANCED FUND-A	115.00	4.31	0.00	4.31	20.0	0.86
	ACCOUNT #: 00087990221	BUY 4 Units @28.0000						
03/26/2018	***-**-6900 - MILLER, KENNETH	AMERICAN BALANCED FUND-A	1,094.50	46.52	0.00	46.52	20.0	9.30
	ACCOUNT #: 00087633416	BUY 39 Units @28.1500						
03/28/2018	***-**-24IR - BERTRAND, JOHN	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089941898	BUY 2 Units @28.1300						
03/29/2018	***-**-9500 - KELLER, BILLIE	AXA EQUI VA 00248C360	0.00	18.73	0.00	18.73	20.0	3.75
	ACCOUNT #: 316022320	BUY 0 Units @0.0000						
03/29/2018	***-**-9002 - ANDERSON, BRYAN	AXA EQUI VA 00248C360	0.00	60.79	0.00	60.79	20.0	12.16
	ACCOUNT #: 316102600	BUY 0 Units @0.0000						
03/29/2018	***-**-2456 - VOELKER, ROBERT	AXA EQUI VA 00248C360	0.00	164.01	0.00	164.01	20.0	32.80
	ACCOUNT #: 316126418	BUY 0 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: RONALD DRAKE (continued) </u></b>								
03/29/2018	***-**-143R - DRAKE, RONALD	AXA EQUI VA 00248C360	0.00	29.52	0.00	29.52	20.0	5.90
	ACCOUNT #: 317006112	BUY 0 Units @0.0000						
03/20/2018	***-**-70IN - KING, SUSAN	CAPITAL APPRECIATION A	60.00	1.80	0.00	1.80	20.0	0.36
	ACCOUNT #: 03210336612	BUY 0 Units @0.0000						
03/20/2018	***-**-481J - MERRILL, JASON	CAPITAL INCOME A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 03009145730	BUY 0 Units @0.0000						
03/26/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME A	262.50	12.47	0.00	12.47	20.0	2.49
	ACCOUNT #: 03008792487	BUY 0 Units @0.0000						
03/26/2018	***-**-030J - MERRILL,	CAPITAL INCOME A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 03009145719	BUY 0 Units @0.0000						
03/26/2018	***-**-7449 - JONES, D	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 00087990221	BUY 1 Units @63.0800						
03/31/2018	***-**-59VA - SEYMOUR, DEBORAH	CHOICEPLUS ASSURANCE BONUS	0.00	16.41	0.00	16.41	20.0	3.28
	ACCOUNT #: 921058830	BUY 0 Units @0.0000						
03/31/2018	***-**-57VA - NELSON, IRENE	CHOICEPLUS ASSURANCE BONUS	0.00	26.73	0.00	26.73	20.0	5.35
	ACCOUNT #: 921059039	BUY 0 Units @0.0000						
03/31/2018	***-**-9692 - KELLER, KENNETH	CHOICEPLUS ASSURANCE BONUS	0.00	91.27	0.00	91.27	20.0	18.25
	ACCOUNT #: 921075402	BUY 0 Units @0.0000						
03/20/2018	***-**-33IN - KING, JOHN	EQUITY INCOME FUND, INC. A	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 08351548074	BUY 0 Units @0.0000						
03/26/2018	***-**-4932 - BAKER, STEVEN	EQUITY INCOME FUND, INC. A	262.50	12.47	0.00	12.47	20.0	2.49
	ACCOUNT #: 08352551208	BUY 0 Units @0.0000						
03/26/2018	***-**-4427 - ZOGBY, MICHAEL	EUROPACIFIC GROWTH FUND-A	85.00	2.34	0.00	2.34	20.0	0.47
	ACCOUNT #: 00084492487	BUY 1 Units @58.9400						
03/31/2018	***-**-7431 - PATANE, JOANNE	LINCOLN FIN VA 533787727	0.00	67.63	0.00	67.63	20.0	13.53
	ACCOUNT #: 951069509	BUY 0 Units @0.0000						
03/31/2018	***-**-61VA - BUDINE, JESSE	LINCOLN FIN VA 53404B676	0.00	105.38	0.00	105.38	20.0	21.08
	ACCOUNT #: 924689841	BUY 0 Units @0.0000						
03/20/2018	***-**-481J - MERRILL, JASON	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490435	BUY 0 Units @0.0000						
03/26/2018	***-**-030J - MERRILL,	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490490	BUY 0 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
03/20/2018	***-**-33IN - KING, JOHN ACCOUNT #: 07859653204	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	76.00	2.28	0.00	2.28	20.0	0.46
03/20/2018	***-**-481J - MERRILL, JASON ACCOUNT #: 01951261073	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	12.50	0.38	0.00	0.38	20.0	0.08
03/26/2018	***-**-030J - MERRILL, ACCOUNT #: 01951261139	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	12.50	0.38	0.00	0.38	20.0	0.08
03/20/2018	***-**-33IN - KING, JOHN ACCOUNT #: 08476672325	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	76.00	2.28	0.00	2.28	20.0	0.46
03/20/2018	***-**-33IN - KING, JOHN ACCOUNT #: 02514166107	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	76.00	2.28	0.00	2.28	20.0	0.46
03/20/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 02305070453	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	60.00	1.65	0.00	1.65	20.0	0.33
03/26/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 02306510122	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	262.50	10.50	0.00	10.50	20.0	2.10
03/20/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 02253478497	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	80.00	2.40	0.00	2.40	20.0	0.48
03/20/2018	***-**-33IN - KING, JOHN ACCOUNT #: 02254507140	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	96.00	2.88	0.00	2.88	20.0	0.58
03/26/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 02254109468	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	262.50	12.47	0.00	12.47	20.0	2.49
03/20/2018	***-**-481J - MERRILL, JASON ACCOUNT #: 06000782397	SELECT VALUE FUND A BUY 0 Units @0.0000	62.50	1.88	0.00	1.88	20.0	0.38
03/26/2018	***-**-030J - MERRILL, ACCOUNT #: 06000782386	SELECT VALUE FUND A BUY 0 Units @0.0000	62.50	1.88	0.00	1.88	20.0	0.38
03/26/2018	***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487	THE BOND FUND OF AMERICA-A BUY 5 Units @13.0300	65.00	1.79	0.00	1.79	20.0	0.36
03/26/2018	***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487	THE GROWTH FUND OF AMERICA-A BUY 3 Units @53.7300	150.00	4.13	0.00	4.13	20.0	0.83
03/26/2018	***-**-7449 - JONES, D ACCOUNT #: 00087990221	THE GROWTH FUND OF AMERICA-A BUY 1 Units @54.2900	50.00	1.88	0.00	1.88	20.0	0.38
03/28/2018	***-**-7823 - BERTRAND, ACCOUNT #: 00089897908	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.2800	50.00	2.50	0.00	2.50	20.0	0.50



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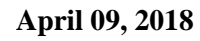
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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
03/28/2018	***-**-24IR - BERTRAND, JOHN	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089941898	BUY 1 Units @53.2800						
03/26/2018	***-**-7449 - JONES, D	THE INCOME FUND OF AMERICA-A	125.00	4.69	0.00	4.69	20.0	0.94
	ACCOUNT #: 00087990221	BUY 5 Units @23.7300						
03/26/2018	***-**-7449 - JONES, D	WASHINGTON MUTUAL INVESTORS FUND-A	118.33	4.44	0.00	4.44	20.0	0.89
	ACCOUNT #: 00087990221	BUY 3 Units @47.0600						
<b>* RONALD DRAKE SUBTOTAL *</b>			<b>\$4,268.83</b>	<b>\$743.94</b>	<b>\$0.00</b>	<b>\$743.94</b>		<b>\$148.85</b>
<b><u>BROKER: RONALD CIOTOLI</u></b>								
03/20/2018	***-**-3380 - DENTON, KELLI	CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	35.0	0.83
	ACCOUNT #: 03210124708	BUY 0 Units @0.0000						
03/31/2018	***-**-630I - ROMA, JAMES	JACKSON 468511530	0.00	44.36	0.00	44.36	35.0	15.53
	ACCOUNT #: 1200802832	BUY 0 Units @0.0000						
03/31/2018	***-**-8626 - CIOTOLI, CLIENTS	LINCOLN FIN VA TRAILS 533785101	0.00	27.63	0.00	27.63	35.0	9.67
	ACCOUNT #: 951057679	BUY 0 Units @0.0000						
04/09/2018	***-**-295P - MILLER, PAUL	NEW YORK 529 TRAILS	0.00	5.77	0.00	5.77	35.0	2.02
	ACCOUNT #: 77043335701	BUY 0 Units @0.0000						
04/09/2018	***-**-354I - MASTRONARDI,	NEW YORK 529 TRAILS	0.00	3.24	0.00	3.24	35.0	1.13
	ACCOUNT #: 77407712301	BUY 0 Units @0.0000						
<b>* RONALD CIOTOLI SUBTOTAL *</b>			<b>\$50.00</b>	<b>\$83.38</b>	<b>\$0.00</b>	<b>\$83.38</b>		<b>\$29.18</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$4,318.83</b>	<b>\$827.32</b>	<b>\$0.00</b>	<b>\$827.32</b>		<b>\$178.03</b>



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			INVESTMENT	GROSS	CLEARING	NET	BROKER
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
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						BROKER COMMISSION TOTALS	
						Broker Transactions	\$15.37
						Split Transactions	\$0.00
						Override Transactions	\$178.03
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						<hr/>	
						Net Commission Earned	\$193.40
						Year-to-date Earnings	\$6,780.27