



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 15, 2018

05/11/2018
Page No. 1

ROBIN, E119 EDGAR
1161 WAYZATA BLVD E
#400
Wayzata, MN 55391

BROKER #: E119
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
05/01/2018	KOSS-WINN BANC ESOP	CRISPR THERAPEUTICS AG NAMEN-AKT IS	9,380.10	50.00	22.50	27.50	90.0	24.75
05/01/2018	KOSS-WINN BANC ESOP	EDITAS MEDICINE INC COM	8,846.48	50.00	22.50	27.50	90.0	24.75
05/01/2018	KOSS-WINN BANC ESOP	INTELLIA THERAPEUTICS INC COM	10,214.40	50.00	22.75	27.25	90.0	24.53
05/01/2018	KOSS-WINN BANC ESOP	NIGHTSTAR THERAPEUTICS LIMITED SHS	9,810.43	50.00	23.05	26.95	90.0	24.26
05/01/2018	KOSS-WINN BANC ESOP	SANGAMO BIOSCIENCES INC COM	8,240.00	50.00	22.75	27.25	90.0	24.53
05/01/2018	KOSS-WINN BANC ESOP	TOCAGEN INC COM	9,167.80	50.00	23.50	26.50	90.0	23.85
05/01/2018	KOSS-WINN BANC ESOP	VOYAGER THERAPEUTICS INC COM	9,515.05	50.00	22.75	27.25	90.0	24.53
* STOCKS SUBTOTAL *			\$65,174.26	\$350.00	\$159.80	\$190.20		\$171.20
*** CLEARING TRANSACTIONS TOTAL ***			\$65,174.26	\$350.00	\$159.80	\$190.20		\$171.20
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
03/22/2018	GERZEMA, MARLENE	HPI FUND VI LLC	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
04/20/2018	EDGAR, ROBIN	SHOPOFF LAND FUND V	25,000.00	0.00	0.00	0.00	90.0	0.00
04/26/2018	KEHN, LEVI	SHOPOFF LAND FUND V	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
04/26/2018	STRIPLING, KERRY	SHOPOFF LAND FUND V	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
* PRIVATE PLACEMENT SUBTOTAL *			\$100,000.00	\$5,000.00	\$0.00	\$5,000.00		\$4,500.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/27/2018	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
04/27/2018	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
05/01/2018	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	90.0	2.53
05/04/2018	BUSS, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	500.00	5.00	0.00	5.00	90.0	4.50
05/01/2018	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
05/04/2018	BUSS, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	5.00	0.00	5.00	90.0	4.50
04/23/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	25.73	0.71	0.00	0.71	90.0	0.64

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/23/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	25.73	0.71	0.00	0.71	90.0	0.64
04/26/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
04/26/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38
04/26/2018	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	45.00	1.69	0.00	1.69	90.0	1.52
04/26/2018	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
04/26/2018	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	61.25	2.30	0.00	2.30	90.0	2.07
04/26/2018	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	61.25	2.30	0.00	2.30	90.0	2.07
04/30/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	26.51	0.73	0.00	0.73	90.0	0.66
04/30/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	26.51	0.73	0.00	0.73	90.0	0.66
05/03/2018	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	76.77	2.11	0.00	2.11	90.0	1.90
05/03/2018	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	57.58	1.58	0.00	1.58	90.0	1.42
05/03/2018	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	79.93	2.20	0.00	2.20	90.0	1.98
05/03/2018	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	59.94	1.65	0.00	1.65	90.0	1.49
05/04/2018	GROTHE, CHRISTI	AM FUNDS GROWTH AND INCOME A	250.00	2.50	0.00	2.50	90.0	2.25
04/23/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	29.21	0.80	0.00	0.80	90.0	0.72
04/23/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	48.69	1.34	0.00	1.34	90.0	1.21
04/26/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
04/26/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
04/30/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	47.98	1.32	0.00	1.32	90.0	1.19
04/30/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	28.79	0.79	0.00	0.79	90.0	0.71
05/03/2018	PAGE, SUSAN	AM FUNDS GROWTH PORT A	65.51	1.80	0.00	1.80	90.0	1.62
05/03/2018	PAGE, SUSAN	AM FUNDS GROWTH PORT A	49.13	1.35	0.00	1.35	90.0	1.22
05/03/2018	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	62.74	1.73	0.00	1.73	90.0	1.56
05/03/2018	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	83.65	2.30	0.00	2.30	90.0	2.07
05/04/2018	HEMINGSEN, LAURA	AM FUNDS GROWTH PORT A	200.00	2.00	0.00	2.00	90.0	1.80
05/04/2018	BUSS, KAREN	AM FUNDS INTL GROWTH AND INCOME A	500.00	5.00	0.00	5.00	90.0	4.50
05/01/2018	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	75.00	2.81	0.00	2.81	90.0	2.53
05/04/2018	BUSS, KAREN	AM FUNDS NEW PERSPECTIVE A	500.00	5.00	0.00	5.00	90.0	4.50
05/03/2018	GROSS, JUDY	AM FUNDS NEW WORLD A	1,000.00	27.50	0.00	27.50	90.0	24.75
04/23/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	17.60	0.48	0.00	0.48	90.0	0.43
04/23/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	17.60	0.48	0.00	0.48	90.0	0.43
04/23/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	38.44	1.06	0.00	1.06	90.0	0.95
04/23/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	102.50	2.82	0.00	2.82	90.0	2.54

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/26/2018	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	45.00	1.69	0.00	1.69	90.0	1.52
04/26/2018	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	75.00	2.81	0.00	2.81	90.0	2.53
04/26/2018	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	61.25	2.30	0.00	2.30	90.0	2.07
04/26/2018	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	61.25	2.30	0.00	2.30	90.0	2.07
04/26/2018	VACANTI, EMMA	AMERICAN FUND GLOBAL GROWTH PORTFOL	80.00	3.00	0.00	3.00	90.0	2.70
04/26/2018	VACANTI, EMMA	AMERICAN FUND GLOBAL GROWTH PORTFOL	133.33	5.00	0.00	5.00	90.0	4.50
04/27/2018	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	300.00	8.25	0.00	8.25	90.0	7.43
04/27/2018	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	2.75	0.00	2.75	90.0	2.48
04/30/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	16.96	0.47	0.00	0.47	90.0	0.42
04/30/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	16.96	0.47	0.00	0.47	90.0	0.42
04/30/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	102.50	2.82	0.00	2.82	90.0	2.54
04/30/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	38.44	1.06	0.00	1.06	90.0	0.95
04/30/2018	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	40.73	1.12	0.00	1.12	90.0	1.01
04/30/2018	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	24.44	0.67	0.00	0.67	90.0	0.60
05/04/2018	GROTHE, CHRISTI	AMERICAN FUND GLOBAL GROWTH PORTFOL	250.00	2.50	0.00	2.50	90.0	2.25
05/03/2018	GROSS, ROBERT	AMERICAN FUNDS DEVELOPING WORLD GRO	1,000.00	27.50	0.00	27.50	90.0	24.75
* MUTUAL FUNDS SUBTOTAL *			\$7,652.90	\$175.95	\$0.00	\$175.95		\$158.40
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/19/2018	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	33.55	0.00	33.55	90.0	30.20
04/19/2018	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	32.08	0.00	32.08	90.0	28.87
04/19/2018	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.26	0.00	2.26	90.0	2.03
04/19/2018	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.39	0.00	2.39	90.0	2.15
04/19/2018	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.65	0.00	2.65	90.0	2.39
04/19/2018	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.75	0.00	2.75	90.0	2.48
04/19/2018	SWIFT, STEPHEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.99	0.00	1.99	90.0	1.79
04/19/2018	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.53	0.00	13.53	90.0	12.18
04/19/2018	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.26	0.00	9.26	90.0	8.33
04/19/2018	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	9.38	0.00	9.38	90.0	8.44
04/19/2018	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	7.95	0.00	7.95	90.0	7.16
04/18/2018	CAMPBELL, DENISE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.96	0.00	3.96	90.0	3.56
04/17/2018	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	57.46	0.00	57.46	90.0	51.71
04/17/2018	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	62.14	0.00	62.14	90.0	55.93
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$241.35	\$0.00	\$241.35		\$217.22

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
*** DIRECT TRANSACTIONS TOTAL ***			\$107,652.90	\$5,417.30	\$0.00	\$5,417.30		\$4,875.62

ADJUSTMENTS								
05/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
05/15/2018		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
05/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
05/15/2018		*MARKETING PRO: PRO USER	0.00					-45.00
05/15/2018		*PERSHING: FOREIGN SAFEKEEPING PNW04526	0.00					-8.00
05/15/2018		*TECHNOLOGY: ADMIN - E ROELIKE	0.00					-130.00
05/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-706.00

BROKER COMMISSION TOTALS	
Broker Transactions	\$5,046.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-706.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,340.82
Year-to-date Gross	\$171,214.97
Year-to-date Earnings	\$153,430.30

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