

COMMISSION STATEMENT

July 31, 2018

JAY SHARTSIS
54 BIRCHWOOD LANE

BROKER #: P82 P83 P84

BRANCH: HOME OFFICE

HARTSDALE, NY, NY 10530

COMMISSION STATEMENT for JAY SHARTSIS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

07/24/2018	QGW008872	CINQUEMANI, FRANK	BITCOIN INVT TR SHS	B	2,456.00	61.52	6.00	55.52	50.0	27.76
07/26/2018	QGW009094	KANTO, WILLIAM	ALPS ETF TR ALERIAN MLP	B	16,252.50	98.50	6.00	92.50	50.0	46.25
07/26/2018	QGW009094	KANTO, WILLIAM	FORD MOTOR CO PAR \$0.01	S	-7,485.08	85.00	6.00	79.00	50.0	39.50
07/26/2018	QGW009151	KOBOLD, MICHAEL	FACEBOOK INC CL A	B	5,238.30	80.73	6.00	74.73	50.0	37.37
07/26/2018	QGW009151	KOBOLD, MICHAEL	VIRNETX HOLDING CORPORATION	S	-7,089.08	149.50	6.00	143.50	50.0	71.75
07/20/2018	QGW009177	-, MICHAEL KRUEGER	SKECHERS USA INC CL A	B	13,500.00	50.00	6.00	44.00	50.0	22.00
07/23/2018	QGW009177	-, MICHAEL KRUEGER	SKECHERS USA INC CL A	S	-13,465.00	50.00	6.00	44.00	50.0	22.00
07/12/2018	QGW009292	OSTOW, MARK	AMAZON.COM INC	S	-178,616.59	80.73	6.00	74.73	50.0	37.37
07/13/2018	QGW009292	OSTOW, MARK	AMAZON.COM INC	B	180,798.24	80.73	6.00	74.73	50.0	37.37
07/17/2018	QGW009292	OSTOW, MARK	AMAZON.COM INC	S	-184,431.54	80.73	6.00	74.73	50.0	37.37
07/20/2018	QGW009292	OSTOW, MARK	AMAZON.COM INC	B	182,949.44	80.73	6.00	74.73	50.0	37.37
07/24/2018	QGW009367	SCHIFF MINOR, NICOLE	BITCOIN INVT TR SHS	B	2,456.00	61.52	6.00	55.52	50.0	27.76
07/24/2018	QGW009490	PARTNERSHIP, 311	BITCOIN INVT TR SHS	B	6,140.00	98.50	6.00	92.50	50.0	46.25
07/13/2018	QGW009540	CINQUEMANI, FRANK	ALIBABA GROUP HOLDING LTD SPONSORED B		9,519.37	80.73	6.00	74.73	50.0	37.37
07/24/2018	QGW009540	CINQUEMANI, FRANK	BITCOIN INVT TR SHS	B	6,140.00	98.50	6.00	92.50	50.0	46.25
07/24/2018	QGW021420	CINQUEMANI, FRANK	BITCOIN INVT TR SHS	B	3,684.00	74.50	6.00	68.50	50.0	34.25
07/02/2018	QGW021461	BURNS, RHONDIE	AMTECH SYSTEMS INC COM PAR \$0.01	S	-1,005.48	50.00	6.00	44.00	50.0	22.00
07/02/2018	QGW021461	BURNS, RHONDIE	TRONOX LTD SHS CL A ISIN#AU000XINEO B		1,975.99	50.00	6.00	44.00	50.0	22.00
07/13/2018	QGW021461	BURNS, RHONDIE	SKECHERS USA INC CL A	B	3,123.88	55.13	6.00	49.13	50.0	24.57
07/19/2018	QGW021461	BURNS, RHONDIE	KIRKLAND LAKE GOLD LTD COM ISIN# CA B		2,222.99	50.00	6.00	44.00	50.0	22.00
06/28/2018	QGW029514	HUNT, GREGORY	BARCLAYS BANK PLC IPATH S&P 500 VIX S		-15,861.56	74.00	6.00	68.00	50.0	34.00

* STOCKS SUBTOTAL *

\$28,502.38

\$1,591.05

\$126.00

\$1,465.05

\$732.56

PRODUCT CATEGORY: OPTIONS

07/12/2018	QGW009094	KANTO, WILLIAM	PUT 100 SELECT SECTOR SPDR TR ENERG S		-3,925.00	96.06	7.00	89.06	50.0	44.53
07/25/2018	QGW009094	KANTO, WILLIAM	PUT 100 FORD MOTOR CO DEL COM PAR E B		9,600.00	258.83	7.00	251.83	50.0	125.92
07/25/2018	QGW009094	KANTO, WILLIAM	PUT 100 FORD MOTOR CO DEL COM PAR E B		-9,600.00	-258.83	-7.00	-251.83	50.0	-125.92 C
07/25/2018	QGW009094	KANTO, WILLIAM	PUT 100 FORD MOTOR CO DEL COM PAR E B		9,600.00	124.50	7.00	117.50	50.0	58.75
06/29/2018	QGW009177	-, MICHAEL KRUEGER	CALL 100 CBOE VOLATILITY INDEX - VX S		-700.00	50.00	7.00	43.00	50.0	21.50
06/29/2018	QGW009177	-, MICHAEL KRUEGER	PUT 100 KEYCORP NEW COM EXP 09-21-1 S		-300.00	50.00	7.00	43.00	50.0	21.50
07/09/2018	QGW009177	-, MICHAEL KRUEGER	CALL 100 ISHARES INC MSCI BRAZIL ET S		-1,060.00	50.00	7.00	43.00	50.0	21.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
07/10/2018	QGW009177	-, MICHAEL KRUEGER	PUT 100 BARCLAYS BK PLC IPATH S&P 5 S	-310.00	50.00	7.00	43.00	50.0	21.50
07/13/2018	QGW009177	-, MICHAEL KRUEGER	PUT 100 SKECHERS U S A INC CL A EXP S	-290.00	50.00	7.00	43.00	50.0	21.50
07/16/2018	QGW009177	-, MICHAEL KRUEGER	CALL 100 CBOE VOLATILITY INDEX - VX B	550.00	50.00	7.00	43.00	50.0	21.50
07/20/2018	QGW009177	-, MICHAEL KRUEGER	PUT 100 BARCLAYS BK PLC IPATH S&P 5 B	65.00	50.00	7.00	43.00	50.0	21.50
07/23/2018	QGW009177	-, MICHAEL KRUEGER	CALL 100 UNITED STS STL CORP NEW CO S	-325.00	50.00	7.00	43.00	50.0	21.50
07/26/2018	QGW009177	-, MICHAEL KRUEGER	PUT 100 INTREPID POTASH INC COM EXP S	-300.00	50.00	7.00	43.00	50.0	21.50
07/26/2018	QGW009177	-, MICHAEL KRUEGER	PUT 100 UNITED STS STL CORP NEW COM S	-280.00	50.00	7.00	43.00	50.0	21.50
06/29/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 06-29-1 B	2,740.00	51.33	7.00	44.33	50.0	22.17
06/29/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-06-1 B	904.00	50.00	7.00	43.00	50.0	21.50
06/29/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-06-1 S	-1,623.00	50.00	7.00	43.00	50.0	21.50
07/02/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-06-1 S	-1,100.00	50.00	7.00	43.00	50.0	21.50
07/05/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-06-1 B	350.00	50.00	7.00	43.00	50.0	21.50
07/05/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-13-1 S	-1,785.00	50.00	7.00	43.00	50.0	21.50
07/10/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-20-1 S	-2,769.00	51.61	7.00	44.61	50.0	22.31
07/10/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-13-1 B	3,000.00	53.90	7.00	46.90	50.0	23.45
07/12/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-20-1 B	5,433.00	80.73	7.00	73.73	50.0	36.87
07/13/2018	QGW009292	OSTOW, MARK	CALL 100 AGIOS PHARMACEUTICALS INC B	2,500.00	74.50	7.00	67.50	50.0	33.75
07/13/2018	QGW009292	OSTOW, MARK	CALL 100 AGIOS PHARMACEUTICALS INC B	1,000.00	49.50	7.00	42.50	50.0	21.25
07/13/2018	QGW009292	OSTOW, MARK	CALL 100 AGIOS PHARMACEUTICALS INC B	300.00	49.50	7.00	42.50	50.0	21.25
07/13/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-20-1 S	-1,693.00	50.00	7.00	43.00	50.0	21.50
07/17/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-27-1 S	-3,610.00	59.94	7.00	52.94	50.0	26.47
07/17/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-20-1 B	3,557.00	59.41	7.00	52.41	50.0	26.21
07/19/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-27-1 B	2,640.00	50.34	7.00	43.34	50.0	21.67
07/20/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-27-1 B	2,885.00	52.76	7.00	45.76	50.0	22.88
07/20/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-27-1 S	-3,725.00	61.08	7.00	54.08	50.0	27.04
07/23/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-27-1 S	-2,995.00	53.85	7.00	46.85	50.0	23.43
07/26/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-27-1 B	1,000.00	50.00	7.00	43.00	50.0	21.50
07/27/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 07-27-1 B	1,800.00	50.00	7.00	43.00	50.0	21.50
07/27/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-03-1 B	1,050.00	50.00	7.00	43.00	50.0	21.50
07/27/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-03-1 S	-1,850.00	50.00	7.00	43.00	50.0	21.50
07/30/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-10-1 S	-2,350.00	50.00	7.00	43.00	50.0	21.50
07/30/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-10-1 B	1,472.00	50.00	7.00	43.00	50.0	21.50
07/05/2018	QGW009581	PRINDLE DDS	CALL 100 CBL & ASSOC PPTYS INC REIT S	-690.00	57.96	7.00	50.96	50.0	25.48
07/17/2018	QGW009581	PRINDLE DDS	CALL 100 CBL & ASSOC PPTYS INC REIT S	-630.00	52.92	7.00	45.92	50.0	22.96
07/10/2018	QGW029514	HUNT, GREGORY	CALL 100 TRONOX LTD SHS CL A ISIN#A B	2,127.00	97.50	7.00	90.50	50.0	45.25
07/10/2018	QGW029514	HUNT, GREGORY	PUT 100 BARCLAYS BK PLC IPATH S&P 5 B	300.00	50.00	7.00	43.00	50.0	21.50
07/10/2018	QGW029514	HUNT, GREGORY	PUT 100 BARCLAYS BK PLC IPATH S&P 5 S	-1,240.00	96.93	7.00	89.93	50.0	44.97
07/20/2018	QGW029514	HUNT, GREGORY	PUT 100 BARCLAYS BK PLC IPATH S&P 5 B	700.00	58.80	7.00	51.80	50.0	25.90

* OPTIONS SUBTOTAL *

\$10,423.00

\$2,483.12

\$301.00

\$2,182.12

\$1,091.09

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/31/2018		FEE COUNT - 3		0.00	90.78	0.00	90.78	50.0	45.40
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$90.78	\$0.00	\$90.78		\$45.40
		*** BROKER TRANSACTIONS TOTAL ***		\$38,925.38	\$4,164.95	\$427.00	\$3,737.95		\$1,869.05

ADJUSTMENTS

07/31/2018		MISCELLANEOUS: VERIZON BILL		0.00	0.00	0.00	0.00		-59.14
07/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
07/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
07/31/2018		OTHER: OTHER--SALARY		0.00	0.00	0.00	0.00		500.00
07/31/2018		OTHER: CBOE		0.00	0.00	0.00	0.00		-250.00
07/31/2018		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-96.36
07/31/2018		OTHER: QUODD		0.00	0.00	0.00	0.00		-65.88
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$0.62

BROKER COMMISSION TOTALS

Broker Transactions	\$1,869.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.62
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.21
SIPC Assessment	\$-10.41

Check Amount	\$1,854.05
Year-to-date Earnings	\$14,787.72