



Concorde Investment Services, LLC

08/14/2018

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# COMMISSION STATEMENT

August 15, 2018

BRION, N121 NORBY  
4905 Prairie Lane

BROKER #: N121  
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #10</u></b>								
08/01/2018	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
08/01/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	3.01	0.00	3.01	90.0	2.71
08/01/2018	HEEN, JEREMY	COLLEGE 2021 FUND-529A	370.00	5.18	0.00	5.18	90.0	4.66
06/01/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
07/03/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
08/01/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
09/01/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
10/02/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
11/01/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
12/01/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
01/02/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
02/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
03/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
04/02/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
05/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
06/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
07/02/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
08/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
08/01/2018	HEEN, JEREMY	COLLEGE 2027 FUND-529A	245.00	3.43	0.00	3.43	90.0	3.09
08/01/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	180.00	3.15	0.00	3.15	90.0	2.84
08/01/2018	HEEN, JEREMY	COLLEGE 2033 FUND-529A	190.00	2.66	0.00	2.66	90.0	2.39
08/02/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	160.00	2.80	0.00	2.80	90.0	2.52
<b>* BRENT/BRION TRU- BLB LEE/NORBY SUBTOTAL *</b>			<b>\$5,785.00</b>	<b>\$97.67</b>	<b>\$0.00</b>	<b>\$97.67</b>		<b>\$87.91</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #332</u></b>								
07/31/2018	SHAHIN, SALEM	ANHEUSER BUSCH INBEV SA NV SPONSORE	-6,092.46	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	AXALTA COATING SYSTEMS LTD SHS ISIN	-2,117.57	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	BANK OF NEW YORK MELLON CORP COM	-3,420.26	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	BARCLAYS BK PLC IPATH BLOOMBERG COM	-33,740.62	11.00	12.07	-1.07	90.0	-0.96
07/31/2018	SHAHIN, SALEM	BERKLEY W R CORPORATION	-3,791.89	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	BERKSHIRE HATHAWAY INC DEL CL B NEW	-3,949.13	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	BROOKFIELD ASSET MGMT INC VTG SHS C	-4,425.06	11.00	11.25	-0.25	90.0	-0.23
07/17/2018	KASMER, SARA	COLLEGE 2033 FUND-529A	0.00	0.23	0.00	0.23	90.0	0.21
07/31/2018	SHAHIN, SALEM	CONDUENT INC COM	-2,426.41	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	CVS CAREMARK CORP	-4,232.27	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	DISNEY WALT CO DISNEY COM	-2,270.63	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	DOMINION RES INC VA COM	-6,423.44	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	DOUBLELINE CORE FIXED INCOME FUND C	-85,204.59	0.00	0.00	0.00	90.0	0.00
07/31/2018	SHAHIN, SALEM	DOUBLELINE EMERGING MARKETS FIXED I	-69,148.94	0.00	0.00	0.00	90.0	0.00
07/31/2018	SHAHIN, SALEM	DOUBLELINE FLOATING RATE FUND CLASS	-109,691.47	0.00	0.00	0.00	90.0	0.00
07/31/2018	SHAHIN, SALEM	ENBRIDGE INC COM ISIN#CA29250N1050	-5,035.83	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	EXPEDITORS INTERNATIONAL OF	-2,661.79	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	FIRST EAGLE OVERSEAS FD CLASS I	-79,554.13	0.00	0.00	0.00	90.0	0.00
07/31/2018	SHAHIN, SALEM	FPA CRESCENT PORTFOLIO	-70,707.02	11.00	11.00	0.00	90.0	0.00
08/02/2018	KNUDSVIG, GENE	HPI FUND VI LLC	75,000.00	2,250.00	0.00	2,250.00	90.0	2,025.00
07/31/2018	SHAHIN, SALEM	ISHARES TR BARCLAYS 1-3 YR TREAS BD	-83,193.20	11.00	11.75	-0.75	90.0	-0.68
07/31/2018	SHAHIN, SALEM	ISHARES TR EXPONENTIAL TECHNOLOGIES	-76,044.49	11.00	12.51	-1.51	90.0	-1.36
07/31/2018	SHAHIN, SALEM	LIBERTY GLOBAL PLC SHS CL C ISIN#GB	-3,242.86	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	LOEWS CORP COM	-4,561.29	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	MATTHEWS PACIFIC TIGER FUND INVESTO	-50,814.85	0.00	0.00	0.00	90.0	0.00
07/31/2018	SHAHIN, SALEM	MUZINICH CREDIT OPPORTUNITIES FUND	-125,736.08	11.00	11.00	0.00	90.0	0.00
07/31/2018	SHAHIN, SALEM	NESTLE SA SPONSORED ADR REPSTG REG	-4,481.68	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	NEWS CORP NEW CL A	-2,486.85	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	PIMCO ALL ASSET	-68,276.31	11.00	11.00	0.00	90.0	0.00
07/31/2018	SHAHIN, SALEM	ROYAL GOLD INC	-4,671.76	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	SANOFI SPONS ADR ISIN#US80105N1054	-5,643.77	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	SCHLUMBERGER LTD COM ISIN#AN8068571	-2,026.55	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	SPDR SER TR S&P BIOTECH ETF	-102,844.21	11.00	11.81	-0.81	90.0	-0.73

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #332</u></b>								
07/31/2018	SHAHIN, SALEM	SPDR SER TR SPDR BLOOMBERG BARCLAYS	-16,941.08	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	TJX COMPANIES INC (NEW)	-2,920.23	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	VANGUARD SHORT-TERM INFLATION-PROTE	-33,340.80	11.00	11.00	0.00	90.0	0.00
* BRENT/BRION TRU- BLB LEE/NORBY SUBTOTAL *			<b>\$-1,007,119.52</b>	<b>\$2,569.23</b>	<b>\$328.39</b>	<b>\$2,240.84</b>		<b>\$2,016.65</b>
<b><u>BROKER: JEN-BRENT-BRION TRU-JBB DONAIS-L #333</u></b>								
07/17/2018	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.18	0.00	0.18	90.0	0.16
07/17/2018	ERNST, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
07/24/2018	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
07/16/2018	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.09	0.00	0.09	90.0	0.08
07/31/2018	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	0.09	0.00	0.09	90.0	0.08
07/23/2018	NEWBERRY TRUST, GLORIA	INVESCO GROWTH AND INCOME FUND CLAS	0.00	0.20	0.00	0.20	90.0	0.18
07/25/2018	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.06	0.00	0.06	90.0	0.05
07/25/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.55	0.00	0.55	90.0	0.50
07/11/2018	DONAIS, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.32	0.00	0.32	90.0	0.29
07/30/2018	ERNST, TERRI	TOUCHSTONE SMALL COMPANY FUND CLASS	0.00	0.06	0.00	0.06	90.0	0.05
* JEN-BRENT-BRION TRU-JBB DONAIS-L SUBTOTAL *			<b>\$0.00</b>	<b>\$1.64</b>	<b>\$0.00</b>	<b>\$1.64</b>		<b>\$1.48</b>
<b><u>BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #335</u></b>								
08/02/2018	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	15.60	0.00	15.60	90.0	14.04
* BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL *			<b>\$0.00</b>	<b>\$15.60</b>	<b>\$0.00</b>	<b>\$15.60</b>		<b>\$14.04</b>
<b><u>BROKER: TYLE-JEN-BRE-BRI TRU-TJB LIFFR-DONA #337</u></b>								
07/23/2018	LIFFRIG, ZOANNI	FRANKLIN GROWTH OPP A	0.00	0.04	0.00	0.04	90.0	0.04
07/23/2018	LIFFRIG, ZOANNI	FRANKLIN INCOME A	0.00	0.08	0.00	0.08	90.0	0.07
07/23/2018	LIFFRIG, ZOANNI	FRANKLIN RISING DIVIDENDS A	0.00	0.30	0.00	0.30	90.0	0.27
07/23/2018	LIFFRIG, ZOANNI	FT FOUNDING FUNDS ALLOC A	0.00	0.37	0.00	0.37	90.0	0.33
* TYLE-JEN-BRE-BRI TRU-TJB LIFFR-DONA SUBTOTAL *			<b>\$0.00</b>	<b>\$0.79</b>	<b>\$0.00</b>	<b>\$0.79</b>		<b>\$0.71</b>
*** SPLIT TRANSACTIONS TOTAL ***			<b>\$-1,001,334.52</b>	<b>\$2,684.93</b>	<b>\$328.39</b>	<b>\$2,356.54</b>		<b>\$2,120.79</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
08/15/2018		*CONCIERGE SVC: 220035 JUMA, LLC	0.00					-25.00
08/15/2018		*CONCIERGE SVC: 220041 JUMA, LLC	0.00					-25.00
08/15/2018		*CONCIERGE SVC: 220059 HOLLENBECK	0.00					-20.00
08/15/2018		*CONCIERGE SVC: 220102 HOLLENBECK	0.00					-20.00
08/15/2018		*CONCIERGE SVC: 220141 HOLLENBECK	0.00					-20.00
08/15/2018		*CONCIERGE SVC: 220358 PARIZEK	0.00					-12.50
08/15/2018		*CONCIERGE SVC: 220620 TOOLEY	0.00					-11.00
08/15/2018		*CONCIERGE SVC: 220632 TOOLEY	0.00					-11.00
08/15/2018		*CONCIERGE SVC: 220913 KNUDSVIG	0.00					-25.00
08/15/2018		*CONCIERGE SVC: 220975 SHAHIN	0.00					-25.00
08/15/2018		*CONCIERGE SVC: 221034 RASMUSSEN	0.00					-20.00
08/15/2018		*CONCIERGE SVC: 221046 RASMUSSEN	0.00					-20.00
08/15/2018		*CONCIERGE SVC: 221052 RASMUSSEN	0.00					-20.00
08/15/2018		*CONCIERGE SVC: 221239 KNUDSVIG	0.00					-25.00
08/15/2018		*CONCIERGE SVC: 221245 KNUDSVIG	0.00					-25.00
08/15/2018		*CONCIERGE SVC: 221295 STIERNAGLE	0.00					-12.50
08/15/2018		*CONCIERGE SVC: 221423 MCIVOR	0.00					-8.00
08/15/2018		*CONCIERGE SVC: 221495 SHAHIN	0.00					-25.00
08/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
08/15/2018		*FINRA: FINRA CE TRACKED WEB FEE S101	0.00					-55.00
08/15/2018		*PERSHING: DOMESTIC ADDRESS VERIFICATION	0.00					-0.75
08/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.11
08/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.40
08/15/2018		*PERSHING: OVERNIGHT CHECK DELIVERY FEE PNW120129	0.00					-7.00
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.50
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.60
08/15/2018		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-990.36

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$2,120.79
Override Transactions	\$0.00
Adjustments	\$-990.36
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,130.43
Year-to-date Gross	\$99,570.05
Year-to-date Earnings	\$88,713.27