



All Companies
COMMISSION STATEMENT
March 01, 2018

02/27/2018
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JEFFREY ROTHSCHILD
609 Gunnison Dr.

BROKER #: 9100
BRANCH: HOME OFFICE

ARLINGTON, TX 76006-

COMMISSION STATEMENT for JEFFREY ROTHSCHILD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/31/2018	TRAILS	DELAWARE - GENERAL	B	8.13	8.13	0.00	8.13	70.0	5.69
02/05/2018	00002032720	QUALMAN, LESLIE	LIFESTYLE GROWTH PORTFOLIO A	B	200.00	8.50	0.00	8.50	5.95
02/15/2018	000090238363748	MINATRA, ROYCE	FRANKLIN INCOME FD CL I	B	450.00	18.00	0.00	18.00	12.60
02/15/2018	00060669572	GAYLORD, CYNTHIA	AMERICAN FUNDS INVESTMENT COMP OF A B	B	50.00	1.88	0.00	1.88	1.32
02/15/2018	00060669573	GAYLORD, THURMOND	AMERICAN FUNDS INVESTMENT COMP OF A B	B	50.00	1.88	0.00	1.88	1.32
02/15/2018	00075438141	LAWYER, BLAKE	AMCAP FUND-529A	B	100.00	2.75	0.00	2.75	1.93
02/15/2018	00075438141	LAWYER, BLAKE	AMERICAN BALANCED FUND-529A	B	100.00	2.75	0.00	2.75	1.93
02/15/2018	00075438141	LAWYER, BLAKE	THE BOND FUND OF AMERICA-529A	B	100.00	2.75	0.00	2.75	1.93
02/15/2018	00075438141	LAWYER, BLAKE	THE GROWTH FUND OF AMERICA-529A	B	100.00	2.75	0.00	2.75	1.93
02/15/2018	00075438141	LAWYER, BLAKE	THE INVESTMENT COMPANY OF AMERICA-5 B	B	100.00	2.75	0.00	2.75	1.93
02/15/2018	00075438141	LAWYER, BLAKE	WASHINGTON MUTUAL INVESTORS FUND-52 B	B	100.00	2.75	0.00	2.75	1.93
02/15/2018	00088220301	MINTON, SESSILY/KAIN A.	COLLEGE 2030 FUND-529A	B	100.00	3.50	0.00	3.50	2.45
02/15/2018	00088220301	MINTON, SESSILY/KAIN A.	THE INVESTMENT COMPANY OF AMERICA-5 B	B	50.00	2.50	0.00	2.50	1.75
02/15/2018	00088662742	BLUMKA, RONALD	AMERICAN FUNDS BALANCED FD	B	500.00	18.75	0.00	18.75	13.13
02/15/2018	00089743823	MINTON, SESSILY	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	1.75
02/15/2018	00089743823	MINTON, SESSILY	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	2.45
02/15/2018	00089743830	MINTON, SESSILY	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	1.75
02/15/2018	00089743830	MINTON, SESSILY	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	2.45
* MUTUAL FUNDS SUBTOTAL *				\$2,308.13	\$91.64	\$0.00	\$91.64		\$64.19

PRODUCT CATEGORY: STOCKS

02/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	330.55	330.55	0.00	330.55	70.0	231.39
* STOCKS SUBTOTAL *				\$330.55	\$330.55	\$0.00	\$330.55		\$231.39

PRODUCT CATEGORY: VARIABLE ANNUITIES

02/20/2009	TRAILS	TRANSAMERICA LIFE INSURANCE	B	196.21	196.21	0.00	196.21	70.0	137.35
02/09/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	78.25	78.25	0.00	78.25	70.0	54.78
02/09/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	1.78	1.78	0.00	1.78	70.0	1.25
02/09/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	88.39	88.39	0.00	88.39	70.0	61.87

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
		* VARIABLE ANNUITIES SUBTOTAL *		\$364.63	\$364.63	\$0.00	\$364.63		\$255.25
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	24.08	0.00	24.08	70.0	16.85
03/01/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	201.78	0.00	201.78	70.0	141.26
03/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	7.81	0.00	7.81	70.0	5.47
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$233.67	\$0.00	\$233.67		\$163.58
		*** BROKER TRANSACTIONS TOTAL ***		\$3,003.31	\$1,020.49	\$0.00	\$1,020.49		\$714.41
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: ROTHSCHILD/POOL #9629</u>									
01/09/2018	ANGELO, CONCORDIA/DOMIN	-PACIFIC LIFE INSURANCE	B	145.50	1.74	0.00	1.74	70.0	1.22
01/09/2018	ANGELO, CONCORDIA/XAVIE	-PACIFIC LIFE INSURANCE	B	189.50	2.27	0.00	2.27	70.0	1.59
01/09/2018	ANGELO, CONCORDIA/MIKE	-PACIFIC LIFE INSURANCE	B	169.00	2.03	0.00	2.03	70.0	1.42
02/15/2018	00061957268 SMITH, MARK	AMERICAN FUNDS INVESTMENT COMP OF A B		100.00	1.65	0.00	1.65	70.0	1.16
02/15/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS INCOME FD OF AMERI B		50.00	1.50	0.00	1.50	70.0	1.05
02/15/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	1.50	0.00	1.50	70.0	1.05
02/15/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	1.50	0.00	1.50	70.0	1.05
02/15/2018	00067724745 ANGELO, CONCORDIA/MILES	AMERICAN FUNDS - GENERAL	B	30.00	0.54	0.00	0.54	70.0	0.38
02/15/2018	00067724745 ANGELO, CONCORDIA/MILES	AMERICAN MUTUAL FUND-529A	B	30.00	0.77	0.00	0.77	70.0	0.54
02/15/2018	00067724745 ANGELO, CONCORDIA/MILES	CAPITAL WORLD BOND FUND-529A	B	30.00	0.54	0.00	0.54	70.0	0.38
02/15/2018	00067724745 ANGELO, CONCORDIA/MILES	THE INCOME FUND OF AMERICA-529A	B	30.00	0.77	0.00	0.77	70.0	0.54
02/15/2018	00067724745 ANGELO, CONCORDIA/MILES	THE NEW ECONOMY FUND-529A	B	30.00	0.77	0.00	0.77	70.0	0.54
02/15/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B		75.52	1.36	0.00	1.36	70.0	0.95
02/15/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B		75.52	1.36	0.00	1.36	70.0	0.95
02/15/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B		77.81	2.33	0.00	2.33	70.0	1.63
02/15/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B		77.81	2.33	0.00	2.33	70.0	1.63
02/15/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD B		75.52	2.27	0.00	2.27	70.0	1.59
02/15/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD B		75.52	2.27	0.00	2.27	70.0	1.59
02/16/2018	00081247942 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS INVESTMENT COMP OF A B		250.00	2.40	0.00	2.40	70.0	1.68
02/15/2018	00082838137 HODGES, MICHAEL L	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	1.28	0.00	1.28	70.0	0.90
		* ROTHSCHILD/POOL SUBTOTAL *		\$1,661.70	\$31.18	\$0.00	\$31.18		\$21.84

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ADJUSTMENTS

*** SPLIT TRANSACTIONS TOTAL ***	\$1,661.70	\$31.18	\$0.00	\$31.18	\$21.84
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ADJUSTMENTS

03/01/2018	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-140.50
03/01/2018	HILLTOP: MISC TICKET FEES	0.00	0.00	0.00	0.00	80.00
03/01/2018	POSTAGE: FEDEX CHARGES	0.00	0.00	0.00	0.00	-13.43
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-73.93

BROKER COMMISSION TOTALS

Broker Transactions	\$714.41
Split Transactions	\$21.84
Override Transactions	\$0.00
Adjustments	\$-73.93
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$662.32
Year-to-date Earnings	\$11,098.00