



COMMISSION STATEMENT

June 04, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/15/2018	***-**-0485 - WHALEN, STEPHEN	ALLIANCE BERNSTEIN LARGE-CAP GROWTH	40.00	1.60	0.00	1.60	70.0	1.12
	ACCOUNT #: 04752066331	BUY 1 Units @37.5800						
05/30/2018	***-**-47FB - TORRES, SHARI	AMCAP FUND-A	165.74	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 5 Units @34.0400						
05/21/2018	***-**-8325 - BUECHEL,	AMERICAN BALANCED FUND-A	126.92	2.03	0.00	2.03	70.0	1.42
	ACCOUNT #: 00088244527	BUY 5 Units @27.8000						
05/21/2018	***-**-8325 - BUECHEL,	AMERICAN BALANCED FUND-A	126.92	2.03	0.00	2.03	70.0	1.42
	ACCOUNT #: 00088244527	BUY 5 Units @27.8000						
05/30/2018	***-**-47FB - TORRES, SHARI	AMERICAN BALANCED FUND-A	194.08	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 7 Units @27.2200						
05/21/2018	***-**-8346 - SCOLLAN, JAMIE	AMERICAN FUNDS 2040 TARGET DATE-A	110.76	1.77	0.00	1.77	70.0	1.24
	ACCOUNT #: 04000941955	BUY 7 Units @16.0000						
05/21/2018	***-**-8346 - SCOLLAN, JAMIE	AMERICAN FUNDS 2040 TARGET DATE-A	110.76	1.77	0.00	1.77	70.0	1.24
	ACCOUNT #: 04000941955	BUY 7 Units @16.0000						
05/21/2018	***-**-7033 - TURNER, BRYAN	AMERICAN FUNDS 2045 TARGET DATE-A	565.40	9.05	0.00	9.05	70.0	6.34
	ACCOUNT #: 00089463498	BUY 35 Units @16.2800						
05/21/2018	***-**-7033 - TURNER, BRYAN	AMERICAN FUNDS 2045 TARGET DATE-A	169.60	2.71	0.00	2.71	70.0	1.90
	ACCOUNT #: 00089463498	BUY 10 Units @16.2800						
05/21/2018	***-**-4858 - GODOFSKY,	AMERICAN FUNDS GROWTH PORTFOLIO A	323.08	5.17	0.00	5.17	70.0	3.62
	ACCOUNT #: 04000349982	BUY 17 Units @19.3900						
05/21/2018	***-**-4858 - GODOFSKY,	AMERICAN FUNDS GROWTH PORTFOLIO A	138.48	2.22	0.00	2.22	70.0	1.55
	ACCOUNT #: 04000349982	BUY 7 Units @19.3900						
05/30/2018	***-**-47FB - TORRES, SHARI	AMERICAN HIGH-INCOME TRUST-A	45.19	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 4 Units @10.1100						
05/30/2018	***-**-47FB - TORRES, SHARI	AMERICAN MUTUAL FUND-A	199.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 5 Units @40.8800						
05/15/2018	***-**-4642 - COOKE, ROBERT	MAINSTAY TOTAL RETURN BOND FUND CL	200.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 00055761480	BUY 20 Units @10.2400						
05/21/2018	***-**-2633 - BUTLER, JAIME	NEW PERSPECTIVE FUND-A	33.12	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075179061	BUY 1 Units @46.4500						
05/21/2018	***-**-2633 - BUTLER, JAIME	NEW PERSPECTIVE FUND-A	24.84	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 1 Units @46.4500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/21/2018	***-**-9064 - GUERRERO, NIGEL	NEW PERSPECTIVE FUND-A	38.08	0.61	0.00	0.61	70.0	0.43
	ACCOUNT #: 00088394220	BUY 1 Units @46.4500						
05/21/2018	***-**-9064 - GUERRERO, NIGEL	NEW PERSPECTIVE FUND-A	38.08	0.61	0.00	0.61	70.0	0.43
	ACCOUNT #: 00088394220	BUY 1 Units @46.4500						
05/22/2018	***-**-5922 - HOTAILING,	PUTNAM CAP. OPPORTUNITIES-A	60.00	1.65	0.00	1.65	70.0	1.16
	ACCOUNT #: 00186955359	BUY 4 Units @14.7400						
05/22/2018	***-**-5922 - HOTAILING,	PUTNAM GROWTH OPPORTUNITIES FD CL A	160.00	4.40	0.00	4.40	70.0	3.08
	ACCOUNT #: 00186955359	BUY 5 Units @34.8000						
05/22/2018	***-**-5922 - HOTAILING,	PUTNAM HIGH YIELD ADVANTAGE-A	190.00	5.23	0.00	5.23	70.0	3.66
	ACCOUNT #: 00186955359	BUY 32 Units @5.9600						
05/22/2018	***-**-5922 - HOTAILING,	PUTNAM INTERNATIONAL EQUITY-A	80.00	2.20	0.00	2.20	70.0	1.54
	ACCOUNT #: 00186955359	BUY 3 Units @27.7400						
05/22/2018	***-**-5922 - HOTAILING,	PUTNAM MID-CAP VALUE FUND-A	60.00	1.65	0.00	1.65	70.0	1.16
	ACCOUNT #: 00186955359	BUY 3 Units @21.1500						
05/21/2018	***-**-2633 - BUTLER, JAIME	THE BOND FUND OF AMERICA-A	33.12	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075179061	BUY 3 Units @12.6900						
05/21/2018	***-**-2633 - BUTLER, JAIME	THE BOND FUND OF AMERICA-A	24.84	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 2 Units @12.6900						
05/21/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	12.69	0.20	0.00	0.20	70.0	0.14
	ACCOUNT #: 00088394220	BUY 1 Units @12.6900						
05/21/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	12.69	0.20	0.00	0.20	70.0	0.14
	ACCOUNT #: 00088394220	BUY 1 Units @12.6900						
05/30/2018	***-**-47FB - TORRES, SHARI	THE BOND FUND OF AMERICA-A	123.26	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 10 Units @12.5800						
05/21/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	50.77	0.81	0.00	0.81	70.0	0.57
	ACCOUNT #: 00088394220	BUY 1 Units @54.6600						
05/21/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	50.77	0.81	0.00	0.81	70.0	0.57
	ACCOUNT #: 00088394220	BUY 1 Units @54.6600						
05/30/2018	***-**-47FB - TORRES, SHARI	THE GROWTH FUND OF AMERICA-A	114.16	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 2 Units @53.4200						
05/21/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	33.12	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075179061	BUY 1 Units @23.5400						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/21/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	24.84	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 1 Units @23.5400						
05/21/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	25.38	0.41	0.00	0.41	70.0	0.29
	ACCOUNT #: 00088394220	BUY 1 Units @23.5400						
05/21/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	25.38	0.41	0.00	0.41	70.0	0.29
	ACCOUNT #: 00088394220	BUY 1 Units @23.5400						
05/21/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	33.12	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075179061	BUY 1 Units @42.1600						
05/21/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	24.84	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 1 Units @42.1600						
05/23/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	252.36	4.04	0.00	4.04	70.0	2.83
	ACCOUNT #: 01293376129	BUY 5 Units @47.4300						
05/30/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	158.06	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 3 Units @46.2700						
* MUTUAL FUNDS SUBTOTAL *			\$4,199.45	\$57.30	\$0.00	\$57.30		\$40.14
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/31/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY EPOCH GLOBAL EQUITY YLD A	0.00	5.47	0.00	5.47	70.0	3.83
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
05/31/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY HIGH-YIELD CORPORATE BOND	0.00	12.90	0.00	12.90	70.0	9.03
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
05/31/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY SHORT DURATION HIGH YLD A	0.00	6.23	0.00	6.23	70.0	4.36
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
05/31/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY TOTAL RETURN FUND A	0.00	5.63	0.00	5.63	70.0	3.94
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
05/31/2018	***-**-548I - MOLONEY, JAMES	MAINSTAY UNCONSTRND BOND OPPORTUNIT	0.00	7.12	0.00	7.12	70.0	4.98
	ACCOUNT #: LSR011545	BUY 1 Units @0.0000						
05/31/2018	***-**-548I - MOLONEY, JAMES	MAINSTAY US EQUITY OPPORTUNITIES CL	0.00	3.27	0.00	3.27	70.0	2.29
	ACCOUNT #: LSR011545	BUY 1 Units @0.0000						
05/31/2018	***-**-548J - MOLONEY, JAMES	MAINSTAY US EQUITY OPPORTUNITIES CL	0.00	0.66	0.00	0.66	70.0	0.46
	ACCOUNT #: LSR011813	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$41.28	\$0.00	\$41.28		\$28.89



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ADJUSTMENTS (continued)								
*** BROKER TRANSACTIONS TOTAL ***			\$4,199.45	\$98.58	\$0.00	\$98.58		\$69.03

BROKER COMMISSION TOTALS

Broker Transactions	\$69.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$69.03
Year-to-date Earnings	\$25,989.43