



Concorde Investment Services, LLC

05/11/2018

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COMMISSION STATEMENT**May 15, 2018**JEFFREY, K119 KAUFMAN
801 Gray Oak DriveBROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	1,230.76	12.31	0.00	12.31	90.0	11.08
05/01/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	466.46	4.66	0.00	4.66	90.0	4.19
05/01/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	145.17	1.45	0.00	1.45	90.0	1.31
05/01/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	87.10	0.87	0.00	0.87	90.0	0.78
05/01/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
05/01/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
05/01/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	25.46	0.25	0.00	0.25	90.0	0.23
05/01/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	25.46	0.25	0.00	0.25	90.0	0.23
05/01/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
05/01/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
05/01/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	42.28	0.42	0.00	0.42	90.0	0.38
05/01/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	42.28	0.42	0.00	0.42	90.0	0.38
05/01/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	190.00	1.90	0.00	1.90	90.0	1.71
05/01/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	443.33	4.43	0.00	4.43	90.0	3.99
05/01/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	101.91	1.02	0.00	1.02	90.0	0.92
05/01/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	101.91	1.02	0.00	1.02	90.0	0.92
05/01/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN BALANCED A	7.74	0.09	0.00	0.09	90.0	0.08
05/01/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN BALANCED A	52.00	0.62	0.00	0.62	90.0	0.56
05/01/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN HIGH-INC MUNI BON	7.74	0.09	0.00	0.09	90.0	0.08
05/01/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN HIGH-INC MUNI BON	52.00	0.62	0.00	0.62	90.0	0.56
05/01/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	24.72	0.25	0.00	0.25	90.0	0.23
05/01/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	65.92	0.66	0.00	0.66	90.0	0.59
05/01/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	233.66	2.34	0.00	2.34	90.0	2.11
05/01/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	233.66	2.34	0.00	2.34	90.0	2.11
05/01/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	44.19	0.44	0.00	0.44	90.0	0.40
05/01/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	44.19	0.44	0.00	0.44	90.0	0.40

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	53.19	0.53	0.00	0.53	90.0	0.48
05/01/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	26.59	0.27	0.00	0.27	90.0	0.24
04/27/2018	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	188.46	3.77	0.00	3.77	90.0	3.39
05/01/2018	REDDICK, RYAN	AM FUNDS EUROPACIFIC GROWTH FUND A	62.50	1.72	0.00	1.72	90.0	1.55
05/01/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	18.54	0.19	0.00	0.19	90.0	0.17
05/01/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	49.44	0.49	0.00	0.49	90.0	0.44
05/01/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	84.97	0.85	0.00	0.85	90.0	0.77
05/01/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	84.97	0.85	0.00	0.85	90.0	0.77
05/01/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	89.92	0.90	0.00	0.90	90.0	0.81
05/01/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	89.92	0.90	0.00	0.90	90.0	0.81
04/27/2018	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	376.92	7.54	0.00	7.54	90.0	6.79
05/01/2018	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	62.50	1.72	0.00	1.72	90.0	1.55
05/01/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	106.37	1.06	0.00	1.06	90.0	0.95
05/01/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	53.19	0.53	0.00	0.53	90.0	0.48
05/01/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	15.22	0.15	0.00	0.15	90.0	0.14
05/01/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	55.80	0.56	0.00	0.56	90.0	0.50
05/01/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	18.54	0.19	0.00	0.19	90.0	0.17
05/01/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	49.44	0.49	0.00	0.49	90.0	0.44
05/01/2018	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	57.75	2.17	0.00	2.17	90.0	1.95
05/01/2018	SUMMERS, AARON	AM FUNDS GROWTH FUND OF AMERICA A	45.50	1.71	0.00	1.71	90.0	1.54
05/01/2018	EICHHOLZ, JARED	AM FUNDS GROWTH FUND OF AMERICA A	125.88	4.72	0.00	4.72	90.0	4.25
05/01/2018	JOHNSON, MARK	AM FUNDS GROWTH FUND OF AMERICA A	180.00	6.75	0.00	6.75	90.0	6.08
05/01/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	51.16	0.51	0.00	0.51	90.0	0.46
05/01/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	51.16	0.51	0.00	0.51	90.0	0.46
05/01/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	103.46	1.03	0.00	1.03	90.0	0.93
05/01/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	103.46	1.03	0.00	1.03	90.0	0.93
05/01/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	52.12	0.52	0.00	0.52	90.0	0.47
05/01/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	52.12	0.52	0.00	0.52	90.0	0.47
05/01/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH FUND OF AMERICA A	7.74	0.09	0.00	0.09	90.0	0.08
05/01/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH FUND OF AMERICA A	52.00	0.62	0.00	0.62	90.0	0.56
05/01/2018	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	40.21	0.48	0.00	0.48	90.0	0.43
05/01/2018	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	105.00	1.26	0.00	1.26	90.0	1.13
05/01/2018	TURNER, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	31.46	0.38	0.00	0.38	90.0	0.34

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2018	TURNER, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	31.44	0.38	0.00	0.38	90.0	0.34
05/01/2018	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.50	0.00	0.50	90.0	0.45
05/01/2018	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	260.25	3.12	0.00	3.12	90.0	2.81
05/01/2018	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	82.50	0.99	0.00	0.99	90.0	0.89
05/01/2018	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	645.83	7.75	0.00	7.75	90.0	6.98
05/01/2018	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	28.37	0.34	0.00	0.34	90.0	0.31
05/01/2018	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	28.37	0.34	0.00	0.34	90.0	0.31
05/01/2018	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.50	0.00	0.50	90.0	0.45
05/01/2018	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	260.25	3.12	0.00	3.12	90.0	2.81
05/01/2018	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.81	0.00	0.81	90.0	0.73
05/01/2018	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.81	0.00	0.81	90.0	0.73
05/01/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH PORT A	7.71	0.09	0.00	0.09	90.0	0.08
05/01/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH PORT A	52.00	0.62	0.00	0.62	90.0	0.56
05/01/2018	WINFREY, LARRY	AM FUNDS ICA A	140.00	5.25	0.00	5.25	90.0	4.73
05/01/2018	BAUER, MATTHEW	AM FUNDS ICA A	56.05	2.10	0.00	2.10	90.0	1.89
05/01/2018	SUMMERS, AARON	AM FUNDS ICA A	45.49	1.71	0.00	1.71	90.0	1.54
05/01/2018	EICHHOLZ, JARED	AM FUNDS ICA A	125.89	4.72	0.00	4.72	90.0	4.25
05/01/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	249.96	2.50	0.00	2.50	90.0	2.25
05/01/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	62.49	0.62	0.00	0.62	90.0	0.56
05/01/2018	EULTGEN, VICKI	AM FUNDS ICA A	40.21	0.48	0.00	0.48	90.0	0.43
05/01/2018	EULTGEN, VICKI	AM FUNDS ICA A	105.00	1.26	0.00	1.26	90.0	1.13
05/01/2018	MURPHY, MELISSA	AM FUNDS ICA A	33.00	0.40	0.00	0.40	90.0	0.36
05/01/2018	MURPHY, MELISSA	AM FUNDS ICA A	208.20	2.50	0.00	2.50	90.0	2.25
05/01/2018	RIDGWAY, BENJAMIN	AM FUNDS ICA A	91.61	1.10	0.00	1.10	90.0	0.99
05/01/2018	RIDGWAY, BENJAMIN	AM FUNDS ICA A	91.61	1.10	0.00	1.10	90.0	0.99
05/01/2018	SUTTON, CHRISTOPHER	AM FUNDS ICA A	87.05	1.04	0.00	1.04	90.0	0.94
05/01/2018	SUTTON, CHRISTOPHER	AM FUNDS ICA A	112.00	1.34	0.00	1.34	90.0	1.21
05/01/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
05/01/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
05/01/2018	HOLTKAMP, MARIGENE	AM FUNDS INTL GROWTH AND INCOME A	7.74	0.09	0.00	0.09	90.0	0.08
05/01/2018	HOLTKAMP, MARIGENE	AM FUNDS INTL GROWTH AND INCOME A	52.00	0.62	0.00	0.62	90.0	0.56
05/01/2018	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	33.00	0.40	0.00	0.40	90.0	0.36
05/01/2018	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	208.20	2.50	0.00	2.50	90.0	2.25

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/27/2018	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	188.46	3.77	0.00	3.77	90.0	3.39
05/01/2018	REDDICK, RYAN	AM FUNDS NEW ECONOMY A	62.50	1.72	0.00	1.72	90.0	1.55
05/01/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.77	0.15	0.00	0.15	90.0	0.14
05/01/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	54.16	0.54	0.00	0.54	90.0	0.49
05/01/2018	BAUER, MATTHEW	AM FUNDS NEW ECONOMY A	56.05	2.10	0.00	2.10	90.0	1.89
05/01/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	69.23	0.69	0.00	0.69	90.0	0.62
05/01/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	41.54	0.42	0.00	0.42	90.0	0.38
05/01/2018	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	50.23	0.60	0.00	0.60	90.0	0.54
05/01/2018	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	50.23	0.60	0.00	0.60	90.0	0.54
05/01/2018	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	37.30	0.45	0.00	0.45	90.0	0.41
05/01/2018	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	48.00	0.58	0.00	0.58	90.0	0.52
05/01/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	106.37	1.06	0.00	1.06	90.0	0.95
05/01/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	53.19	0.53	0.00	0.53	90.0	0.48
05/01/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.77	0.15	0.00	0.15	90.0	0.14
05/01/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	54.16	0.54	0.00	0.54	90.0	0.49
05/01/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	49.66	0.50	0.00	0.50	90.0	0.45
05/01/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	49.66	0.50	0.00	0.50	90.0	0.45
05/01/2018	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	70.00	0.84	0.00	0.84	90.0	0.76
05/01/2018	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	26.82	0.32	0.00	0.32	90.0	0.29
05/01/2018	TURNER, JOSHUA	AM FUNDS NEW PERSPECTIVE A	31.44	0.38	0.00	0.38	90.0	0.34
05/01/2018	TURNER, JOSHUA	AM FUNDS NEW PERSPECTIVE A	31.46	0.38	0.00	0.38	90.0	0.34
05/01/2018	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	33.00	0.40	0.00	0.40	90.0	0.36
05/01/2018	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	208.20	2.50	0.00	2.50	90.0	2.25
05/01/2018	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	50.24	0.60	0.00	0.60	90.0	0.54
05/01/2018	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	50.24	0.60	0.00	0.60	90.0	0.54
05/01/2018	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	28.37	0.34	0.00	0.34	90.0	0.31
05/01/2018	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	28.37	0.34	0.00	0.34	90.0	0.31
05/01/2018	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	41.25	0.50	0.00	0.50	90.0	0.45
05/01/2018	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	260.25	3.12	0.00	3.12	90.0	2.81
04/27/2018	CROWLEY, MICHA	AM FUNDS NEW WORLD A	188.46	3.77	0.00	3.77	90.0	3.39
05/01/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	49.66	0.50	0.00	0.50	90.0	0.45
05/01/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	49.66	0.50	0.00	0.50	90.0	0.45
05/01/2018	HOLTKAMP, MARIGENE	AM FUNDS NEW WORLD A	38.68	0.46	0.00	0.46	90.0	0.41

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2018	HOLTKAMP, MARIGENE	AM FUNDS NEW WORLD A	260.00	3.12	0.00	3.12	90.0	2.81
05/01/2018	EULTGEN, VICKI	AM FUNDS NEW WORLD A	13.40	0.16	0.00	0.16	90.0	0.14
05/01/2018	EULTGEN, VICKI	AM FUNDS NEW WORLD A	35.00	0.42	0.00	0.42	90.0	0.38
05/01/2018	TURNER, JOSHUA	AM FUNDS NEW WORLD A	31.46	0.38	0.00	0.38	90.0	0.34
05/01/2018	TURNER, JOSHUA	AM FUNDS NEW WORLD A	31.46	0.38	0.00	0.38	90.0	0.34
05/01/2018	MURPHY, MELISSA	AM FUNDS NEW WORLD A	8.25	0.10	0.00	0.10	90.0	0.09
05/01/2018	MURPHY, MELISSA	AM FUNDS NEW WORLD A	52.05	0.62	0.00	0.62	90.0	0.56
05/01/2018	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	82.50	0.99	0.00	0.99	90.0	0.89
05/01/2018	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	645.83	7.75	0.00	7.75	90.0	6.98
05/01/2018	VICTOR, THOMAS	AM FUNDS NEW WORLD A	28.38	0.34	0.00	0.34	90.0	0.31
05/01/2018	VICTOR, THOMAS	AM FUNDS NEW WORLD A	28.38	0.34	0.00	0.34	90.0	0.31
05/01/2018	TURNER, COREY	AM FUNDS NEW WORLD A	41.25	0.50	0.00	0.50	90.0	0.45
05/01/2018	TURNER, COREY	AM FUNDS NEW WORLD A	260.25	3.12	0.00	3.12	90.0	2.81
05/01/2018	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.81	0.00	0.81	90.0	0.73
05/01/2018	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.81	0.00	0.81	90.0	0.73
05/01/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	62.50	1.72	0.00	1.72	90.0	1.55
05/01/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	62.18	0.62	0.00	0.62	90.0	0.56
05/01/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	62.18	0.62	0.00	0.62	90.0	0.56
05/01/2018	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	13.40	0.16	0.00	0.16	90.0	0.14
05/01/2018	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	35.00	0.42	0.00	0.42	90.0	0.38
05/01/2018	TURNER, JOSHUA	AM FUNDS SMALLCAP WORLD A	31.46	0.38	0.00	0.38	90.0	0.34
05/01/2018	TURNER, JOSHUA	AM FUNDS SMALLCAP WORLD A	31.46	0.38	0.00	0.38	90.0	0.34
05/01/2018	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	16.50	0.20	0.00	0.20	90.0	0.18
05/01/2018	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	104.10	1.25	0.00	1.25	90.0	1.13
05/01/2018	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	28.37	0.34	0.00	0.34	90.0	0.31
05/01/2018	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	28.37	0.34	0.00	0.34	90.0	0.31
05/01/2018	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	41.25	0.50	0.00	0.50	90.0	0.45
05/01/2018	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	260.25	3.12	0.00	3.12	90.0	2.81
05/01/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	88.64	0.89	0.00	0.89	90.0	0.80
05/01/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	44.32	0.44	0.00	0.44	90.0	0.40
05/01/2018	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	245.56	2.95	0.00	2.95	90.0	2.66
05/01/2018	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	147.34	1.77	0.00	1.77	90.0	1.59
/ /	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	100.00	1.50	0.00	1.50	90.0	1.35

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
* MUTUAL FUNDS SUBTOTAL *			\$15,012.61	\$200.83	\$0.00	\$200.83		\$180.89
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/27/2018	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	93,471.46	77.88	0.00	77.88	90.0	70.09
04/27/2018	SCOFIELD, ROBERT	SBL ADVISORDESIGNS VA	10,436.86	8.70	0.00	8.70	90.0	7.83
04/27/2018	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	107,318.60	89.43	0.00	89.43	90.0	80.49
04/27/2018	BENNETT, MILDRED	SBL ADVISORDESIGNS VA	101,590.57	84.65	0.00	84.65	90.0	76.19
04/27/2018	DOWNS, JAMES	SBL ADVISORDESIGNS VA	38,771.44	32.32	0.00	32.32	90.0	29.09
04/27/2018	ANDERSON, CHERRI	SBL ADVISORDESIGNS VA	27,283.41	22.72	0.00	22.72	90.0	20.45
04/27/2018	LEE, HOWARD	SBL SECUREDESIGNS VA	265,075.80	55.22	0.00	55.22	90.0	49.70
* VARIABLE ANNUITIES SUBTOTAL *			\$643,948.14	\$370.92	\$0.00	\$370.92		\$333.84
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
04/23/2018	JONES, KAREN	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
04/24/2018	KING, CALEB	PAC LIFE VUL	50.00	0.60	0.00	0.60	90.0	0.54
04/25/2018	JONES, KENNETH	PAC LIFE VUL	60.00	1.20	0.00	1.20	90.0	1.08
04/25/2018	ADAMS, GREGORY	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
04/25/2018	ADAMS, ASHLEY	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
04/25/2018	GRIFFIN, AMANDA	PAC LIFE VUL	68.07	1.36	0.00	1.36	90.0	1.22
04/25/2018	GRIFFIN, AMANDA	PAC LIFE VUL	37.85	0.76	0.00	0.76	90.0	0.68
04/25/2018	ADAMS, JAXON	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
04/25/2018	ELLIOTT, MITCHELL	PAC LIFE VUL	100.00	3.00	0.00	3.00	90.0	2.70
05/01/2018	KAUFMAN, JEFFREY	PAC LIFE VUL	75.00	1.50	0.00	1.50	90.0	1.35
05/01/2018	REDDICK, RYAN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
05/02/2018	KAUFMAN, COOPER	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
05/02/2018	KAUFMAN, ELLIE	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
05/02/2018	STALEY, MICHAELA	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
05/02/2018	ROBINSON, PHYLILS	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
05/02/2018	REDDICK, CRYSTAL	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
05/02/2018	STALEY, JOSELYN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
05/02/2018	MCNERNEY, SUSAN	PAC LIFE VUL	1,000.00	30.00	0.00	30.00	90.0	27.00
05/02/2018	MCNERNEY, DASAN	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
05/02/2018	MCNERNEY, ALYSSA	PAC LIFE VUL	150.00	4.50	0.00	4.50	90.0	4.05
05/02/2018	MCNERNEY, DYLAN	PAC LIFE VUL	150.00	4.50	0.00	4.50	90.0	4.05

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
05/02/2018	KAUFMAN, JEFFREY	PAC LIFE VUL	200.00	4.00	0.00	4.00	90.0	3.60
05/03/2018	GUIER, GREGORY	PAC LIFE VUL	185.00	1.85	0.00	1.85	90.0	1.67
05/04/2018	STEWART, CHAD	PAC LIFE VUL	420.00	8.40	0.00	8.40	90.0	7.56
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$3,595.92	\$86.67	\$0.00	\$86.67		\$78.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	KAUFMAN, JEFFREY	FIDELITY ADV CANADA	0.00	0.86	0.00	0.86	90.0	0.77
/ /	KAUFMAN, JEFFREY	FIDELITY ADV EMERGING EMEA	0.00	1.00	0.00	1.00	90.0	0.90
/ /	KAUFMAN, JEFFREY	FIDELITY ADV ENERGY CL M	0.00	0.81	0.00	0.81	90.0	0.73
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL CAP APP T	0.00	2.29	0.00	2.29	90.0	2.06
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL COMMODITY STK	0.00	0.94	0.00	0.94	90.0	0.85
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GOLD	0.00	0.76	0.00	0.76	90.0	0.68
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV GOLD C	0.00	0.63	0.00	0.63	90.0	0.57
/ /	GRIFFITH, CHERYL	FIDELITY ADV GOVERNMENT INC	0.00	0.10	0.00	0.10	90.0	0.09
/ /	HALL, ELLEN	FIDELITY ADV GROWTH OPP	0.00	11.26	0.00	11.26	90.0	10.13
/ /	ELLIOTT, TIMOTHY	FIDELITY ADV GROWTH OPP	0.00	1.35	0.00	1.35	90.0	1.22
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GROWTH OPP	0.00	8.70	0.00	8.70	90.0	7.83
/ /	GRIFFITH, CHERYL	FIDELITY ADV INTL SM CAP CL T	0.00	4.97	0.00	4.97	90.0	4.47
/ /	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	0.00	6.85	0.00	6.85	90.0	6.17
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV LEVERAGED CO STOCK C	0.00	8.36	0.00	8.36	90.0	7.52
/ /	HALL, ELLEN	FIDELITY ADV LEVERAGED CO STOCK-CL	0.00	2.85	0.00	2.85	90.0	2.57
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV MATERIALS C	0.00	4.65	0.00	4.65	90.0	4.19
/ /	GRIFFITH, CHERYL	FIDELITY ADV OVERSEAS	0.00	1.26	0.00	1.26	90.0	1.13
05/01/2018	GRIFFITH, CHERYL	FIDELITY ADV SMALL CAP	0.00	1.29	0.00	1.29	90.0	1.16
/ /	KAUFMAN, JEFFREY	FIDELITY ADV SMALL CAP GROWTH C	0.00	0.77	0.00	0.77	90.0	0.69
/ /	GRIFFITH, CHERYL	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	11.54	0.00	11.54	90.0	10.39
/ /	KAUFMAN, JEFFREY	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	2.46	0.00	2.46	90.0	2.21
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV UTILITIES C	0.00	9.68	0.00	9.68	90.0	8.71
/ /	GRIFFITH, CHERYL	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	2.70	0.00	2.70	90.0	2.43
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	1.78	0.00	1.78	90.0	1.60
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR MATERIALS FUND-CLA	0.00	1.11	0.00	1.11	90.0	1.00
/ /	WILMSMEYER, CHRISTA	FIDELITY ADVISORS 31618H424	0.00	6.38	0.00	6.38	90.0	5.74
/ /	HALL, ELLEN	FIDELITY ADVISORS 31618H440	0.00	1.34	0.00	1.34	90.0	1.21
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	0.56	0.00	0.56	90.0	0.50

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	14.96	0.00	14.96	90.0	13.46
05/01/2018	HALL, ELLEN	FIDELITY COMM EQUIPMENT T	0.00	1.88	0.00	1.88	90.0	1.69
/ /	GRIFFITH, CHERYL	FIDELITY EQUITY VALUE	0.00	10.07	0.00	10.07	90.0	9.06
/ /	KAUFMAN, JEFFREY	FIDELITY FINANCIAL SERVICES T	0.00	0.96	0.00	0.96	90.0	0.86
05/04/2018	KAUFMAN, JEFFREY	FIDELITY MIDCAP C	0.00	0.54	0.00	0.54	90.0	0.49
/ /	GRIFFITH, CHERYL	FIDELITY MIDCAP T	0.00	9.44	0.00	9.44	90.0	8.50
/ /	KAUFMAN, JEFFREY	FIDLEITY ADV INTL REAL ESTATE	0.00	1.03	0.00	1.03	90.0	0.93
/ /	GRIFFITH, CHERYL	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	2.87	0.00	2.87	90.0	2.58
/ /	KAUFMAN, JEFFREY	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	2.46	0.00	2.46	90.0	2.21
05/01/2018	MCS DESIGN TEAM	HARTFORD BALANCED C	0.00	16.56	0.00	16.56	90.0	14.90
/ /	MCS DESIGN TEAM	HARTFORD DIVIDEND & GROWTH C	0.00	18.54	0.00	18.54	90.0	16.69
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$176.56	\$0.00	\$176.56		\$158.89
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/28/2018	MCBRIDE, VIRGINIA CAROLY	ING LANDMARK	10,279.95	32.12	0.00	32.12	90.0	28.91
04/30/2018	PAGE, BRIAN	JNL ELITE ACCESS W/ LIQUIDITY	0.00	10.20	0.00	10.20	90.0	9.18
04/30/2018	ANDING, MARY DIANE	JNL PERSPECTIVE II 04/14	0.00	41.67	0.00	41.67	90.0	37.50
04/30/2018	STEWART, SHEILA	JNL PERSPECTIVE II 04/14	0.00	105.15	0.00	105.15	90.0	94.64
04/30/2018	DEAN GUIER INC	JNL PERSPECTIVE II 04/14	0.00	82.44	0.00	82.44	90.0	74.20
04/30/2018	GUIER, CARROL	JNL PERSPECTIVE II 04/14	0.00	10.65	0.00	10.65	90.0	9.59
04/30/2018	CALLIS, CHARLES	JNL PERSPECTIVE II 05/05	0.00	12.33	0.00	12.33	90.0	11.10
04/30/2018	OWEN, ERNEST	JNL PERSPECTIVE II 05/05	0.00	59.80	0.00	59.80	90.0	53.82
04/30/2018	OSSMAN, ED	JNL PERSPECTIVE II 05/05	0.00	24.84	0.00	24.84	90.0	22.36
04/30/2018	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	0.00	11.93	0.00	11.93	90.0	10.74
04/30/2018	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	0.00	66.13	0.00	66.13	90.0	59.52
04/30/2018	MARK, RICHARD GEORGE	JNL PERSPECTIVE II 05/05	0.00	40.31	0.00	40.31	90.0	36.28
04/30/2018	LANGE, ARLEN	JNL PERSPECTIVE II 05/05	0.00	51.58	0.00	51.58	90.0	46.42
04/30/2018	MCBRIDE, KENNETH	JNL PERSPECTIVE II 05/05	0.00	116.24	0.00	116.24	90.0	104.62
04/30/2018	ANDING, MARY DIANE	JNL PERSPECTIVE II 05/05	0.00	91.99	0.00	91.99	90.0	82.79
04/16/2018	YOUNG, MARY ELIZABETH	PAC LIFE PORTFOLIOS	0.00	19.30	0.00	19.30	90.0	17.37
04/25/2018	SWAIN, DOROLENE	PAC LIFE VALUE	0.00	34.13	0.00	34.13	90.0	30.72
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$10,279.95	\$810.81	\$0.00	\$810.81		\$729.76
*** DIRECT TRANSACTIONS TOTAL ***			\$672,836.62	\$1,645.79	\$0.00	\$1,645.79		\$1,481.38

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
05/01/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	23.03	0.13	0.00	0.13	90.0	0.12
05/01/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	23.03	0.13	0.00	0.13	90.0	0.12
05/01/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.70	0.16	0.00	0.16	90.0	0.14
05/01/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.70	0.16	0.00	0.16	90.0	0.14
05/01/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	32.36	0.18	0.00	0.18	90.0	0.16
05/01/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	32.36	0.18	0.00	0.18	90.0	0.16
04/23/2018	GUIER, BETHANY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.37	0.00	1.37	90.0	1.23
04/24/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	89.91	2.10	0.00	2.10	90.0	1.89
04/24/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	520.84	12.18	0.00	12.18	90.0	10.96
04/24/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	19.36	0.45	0.00	0.45	90.0	0.41
04/24/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	520.84	12.18	0.00	12.18	90.0	10.96
04/25/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	6.30	0.00	6.30	90.0	5.67
04/30/2018	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
05/01/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	37.31	0.20	0.00	0.20	90.0	0.18
05/01/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	37.31	0.20	0.00	0.20	90.0	0.18
05/01/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	81.96	0.45	0.00	0.45	90.0	0.41
05/01/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	81.96	0.45	0.00	0.45	90.0	0.41
05/01/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	49.92	0.33	0.00	0.33	90.0	0.30
05/01/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	83.20	0.55	0.00	0.55	90.0	0.50
05/01/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.10	0.00	0.10	90.0	0.09
05/01/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.00	0.13	0.00	0.13	90.0	0.12
05/01/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	7.48	0.04	0.00	0.04	90.0	0.04
05/01/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
05/01/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	76.69	0.42	0.00	0.42	90.0	0.38
05/01/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	76.69	0.42	0.00	0.42	90.0	0.38
05/01/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
05/01/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
05/01/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.57	0.16	0.00	0.16	90.0	0.14
05/01/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.57	0.16	0.00	0.16	90.0	0.14
05/01/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	16.64	0.09	0.00	0.09	90.0	0.08
05/01/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	16.64	0.09	0.00	0.09	90.0	0.08
05/01/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	48.90	0.27	0.00	0.27	90.0	0.24
05/01/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	48.90	0.27	0.00	0.27	90.0	0.24

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
05/01/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	37.43	0.20	0.00	0.20	90.0	0.18
05/01/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	37.43	0.20	0.00	0.20	90.0	0.18
05/01/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.27	0.00	0.27	90.0	0.24
05/01/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.27	0.00	0.27	90.0	0.24
05/01/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	33.38	0.18	0.00	0.18	90.0	0.16
05/01/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	33.38	0.18	0.00	0.18	90.0	0.16
05/01/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	45.25	0.25	0.00	0.25	90.0	0.23
05/01/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	45.25	0.25	0.00	0.25	90.0	0.23
05/01/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	64.94	0.36	0.00	0.36	90.0	0.32
05/01/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	27.83	0.15	0.00	0.15	90.0	0.14
05/01/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	10.81	0.06	0.00	0.06	90.0	0.05
05/01/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	10.81	0.06	0.00	0.06	90.0	0.05
05/01/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	52.03	0.29	0.00	0.29	90.0	0.26
05/01/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	52.03	0.29	0.00	0.29	90.0	0.26
05/01/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	45.70	0.25	0.00	0.25	90.0	0.23
05/01/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	45.70	0.25	0.00	0.25	90.0	0.23
05/01/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.24	0.00	0.24	90.0	0.22
05/01/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.24	0.00	0.24	90.0	0.22
05/01/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	32.49	0.18	0.00	0.18	90.0	0.16
05/01/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	32.49	0.18	0.00	0.18	90.0	0.16
05/01/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	40.32	0.22	0.00	0.22	90.0	0.20
05/01/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	40.32	0.22	0.00	0.22	90.0	0.20
05/01/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	28.61	0.16	0.00	0.16	90.0	0.14
05/01/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	28.61	0.16	0.00	0.16	90.0	0.14
05/01/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	118.10	0.78	0.00	0.78	90.0	0.70
05/01/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	118.10	0.78	0.00	0.78	90.0	0.70
05/01/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	90.85	0.60	0.00	0.60	90.0	0.54
05/01/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	90.85	0.60	0.00	0.60	90.0	0.54
05/01/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	11.19	0.06	0.00	0.06	90.0	0.05
05/01/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	11.19	0.06	0.00	0.06	90.0	0.05
05/01/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	152.88	0.84	0.00	0.84	90.0	0.76
05/01/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	45.86	0.25	0.00	0.25	90.0	0.23
04/23/2018	GUIER, BETHANY	AM FUNDS ICA A	50.00	1.37	0.00	1.37	90.0	1.23

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
05/01/2018	ZELLMER, RIANNON	AM FUNDS ICA A	15.15	0.08	0.00	0.08	90.0	0.07
05/01/2018	ZELLMER, RIANNON	AM FUNDS ICA A	15.15	0.08	0.00	0.08	90.0	0.07
05/01/2018	HAGUE, VICTORIA	AM FUNDS ICA A	68.68	0.45	0.00	0.45	90.0	0.41
05/01/2018	HAGUE, VICTORIA	AM FUNDS ICA A	1,033.33	6.82	0.00	6.82	90.0	6.14
05/01/2018	WAHLIG, KACEY	AM FUNDS ICA A	23.04	0.13	0.00	0.13	90.0	0.12
05/01/2018	WAHLIG, KACEY	AM FUNDS ICA A	23.04	0.13	0.00	0.13	90.0	0.12
05/01/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.10	0.00	0.10	90.0	0.09
05/01/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.10	0.00	0.10	90.0	0.09
04/24/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	89.92	2.10	0.00	2.10	90.0	1.89
04/24/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	520.83	12.18	0.00	12.18	90.0	10.96
04/24/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	19.35	0.45	0.00	0.45	90.0	0.41
04/24/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	520.83	12.18	0.00	12.18	90.0	10.96
05/01/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	43.33	0.24	0.00	0.24	90.0	0.22
05/01/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	43.33	0.24	0.00	0.24	90.0	0.22
05/01/2018	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	1.37	0.00	1.37	90.0	1.23
05/01/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.68	0.00	0.68	90.0	0.61
05/01/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	312.85	1.72	0.00	1.72	90.0	1.55
05/01/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.00	0.10	0.00	0.10	90.0	0.09
05/01/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.00	0.13	0.00	0.13	90.0	0.12
05/01/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	32.90	0.18	0.00	0.18	90.0	0.16
05/01/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	54.83	0.30	0.00	0.30	90.0	0.27
05/01/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	17.17	0.12	0.00	0.12	90.0	0.11
05/01/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	258.33	1.70	0.00	1.70	90.0	1.53
05/01/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	49.92	0.33	0.00	0.33	90.0	0.30
05/01/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	83.20	0.55	0.00	0.55	90.0	0.50
05/01/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.10	0.00	0.10	90.0	0.09
05/01/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.10	0.00	0.10	90.0	0.09
05/01/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.70	0.16	0.00	0.16	90.0	0.14
05/01/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.70	0.16	0.00	0.16	90.0	0.14
04/24/2018	CARTER, STANLEY	AM FUNDS TAX-EXEMPT BOND FUND OF AM	8,000.00	44.00	0.00	44.00	90.0	39.60
04/30/2018	CARSON, SEDEL	PAC LIFE VUL	55.00	0.30	0.00	0.30	90.0	0.27
04/30/2018	CARSON, MATTHEW	PAC LIFE VUL	7.57	0.04	0.00	0.04	90.0	0.04
04/30/2018	CARSON, MATTHEW	PAC LIFE VUL	142.43	8.23	0.00	8.23	90.0	7.41

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
04/30/2018	CARSON, MATTHEW	PAC LIFE VUL	247.82	12.95	0.00	12.95	90.0	11.66
04/30/2018	CARSON, SEDEL	PAC LIFE VUL	55.00	0.30	0.00	0.30	90.0	0.27
04/30/2018	CARSON, MATTHEW	PAC LIFE VUL	7.57	0.04	0.00	0.04	90.0	0.04
04/30/2018	CARSON, MATTHEW	PAC LIFE VUL	142.43	8.23	0.00	8.23	90.0	7.41
04/30/2018	CARSON, MATTHEW	PAC LIFE VUL	247.82	12.95	0.00	12.95	90.0	11.66
05/02/2018	MCNERNEY, DAVID	PAC LIFE VUL	1,000.00	11.00	0.00	11.00	90.0	9.90
* JEFF/JASON, K148 KAUFMAN/KEMBLE SUBTOTAL *			\$17,593.28	\$194.26	\$0.00	\$194.26		\$174.88
<u>BROKER: B167-KELS/JEFF/JASO BOSM/KAUF/KEMBL #433</u>								
04/27/2018	STONE, DANIEL	AM FUNDS AMERICAN BALANCED C	250.00	1.13	0.00	1.13	90.0	1.02
04/27/2018	STONE, DANIEL	AM FUNDS GLOBAL BALANCED C	250.00	1.13	0.00	1.13	90.0	1.02
* B167-KELS/JEFF/JASO BOSM/KAUF/KEMBL SUBTOTAL *			\$500.00	\$2.26	\$0.00	\$2.26		\$2.04
*** SPLIT TRANSACTIONS TOTAL ***			\$18,093.28	\$196.52	\$0.00	\$196.52		\$176.92

VERRIDE TRANSACTIONS

<u>BROKER: K146-JASON KEMBLE #348</u>								
04/23/2018	ANDRUS, MARY	AM FUNDS AMERICAN BALANCED A	5,000.00	212.50	0.00	212.50	90.0	85.00
04/24/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	1.70
04/24/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	0.85
04/24/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	50.00	2.13	0.00	2.13	90.0	0.85
04/24/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	4.25	0.00	4.25	90.0	1.70
05/01/2018	NASHAN, SUSAN	RTMT INCOME PORTFOLIO CONSERVATIVE-	100.00	1.00	0.00	1.00	90.0	0.40
* K146-JASON KEMBLE SUBTOTAL *			\$5,400.00	\$226.26	\$0.00	\$226.26		\$90.50
*** OVERRIDE TRANSACTIONS TOTAL ***			\$5,400.00	\$226.26	\$0.00	\$226.26		\$90.50

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
05/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2018		*MARKETING PRO: PRO USER	0.00					-45.00
05/15/2018		*TECHNOLOGY: ADMIN - KIM KAUFMAN	0.00					-100.00
05/15/2018		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-720.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,481.38
Split Transactions	\$176.92
Override Transactions	\$90.50
Adjustments	\$-720.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,028.80
Year-to-date Gross	\$79,233.70
Year-to-date Earnings	\$76,737.04

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