



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**January 31, 2018**

01/29/2018  
Page No. 1

JOSEPH MICHALETZ  
41474 Judson Bottom Road  
  
North Mankato, MI 56003-

BROKER #: M138  
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/19/2018	HOWARD, ALFRED	361 GLOBAL LONG/SHORT EQUITY FUND C	30,000.00	0.00	0.00	0.00	93.0	0.00
01/11/2018	HOWARD, ALFRED	BOURNE SENIORS HOUSING III LLC CL A	50,000.00	0.00	0.00	0.00	93.0	0.00
01/11/2018	HOWARD, ALFRED	BOURNE SENIORS HOUSING III LLC CL A	50,000.00	0.00	0.00	0.00	93.0	0.00
01/12/2018	HOWARD, ALFRED	GPB AUTOMOTIVE PORTFOLIO LP	75,000.00	0.00	0.00	0.00	93.0	0.00
01/12/2018	HOWARD, ALFRED	HPI REAL ESTATE FUND V LLC	75,000.00	0.00	0.00	0.00	93.0	0.00
01/10/2018	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	200.00	2.00	12.00	-10.00	93.0	-9.30
* MUTUAL FUNDS SUBTOTAL *			<b>\$280,200.00</b>	<b>\$2.00</b>	<b>\$12.00</b>	<b>\$-10.00</b>		<b>\$-9.30</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/12/2018	HOWARD, ALFRED	SPDR PORTFOLIO SMALL CAP ETF	-8,592.21	22.00	22.50	-0.50	93.0	-0.47
* STOCKS SUBTOTAL *			<b>\$-8,592.21</b>	<b>\$22.00</b>	<b>\$22.50</b>	<b>\$-0.50</b>		<b>\$-0.47</b>
*** CLEARING TRANSACTIONS TOTAL ***			<b>\$271,607.79</b>	<b>\$24.00</b>	<b>\$34.50</b>	<b>\$-10.50</b>		<b>\$-9.77</b>

<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
12/29/2017	THOM FAM FOUNDATION	BOURNE SENIORS HOUSING III	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
01/05/2018	LANGHORST, LINCOLN	BOURNE SENIORS HOUSING III	250,000.00	17,500.00	0.00	17,500.00	93.0	16,275.00
01/08/2018	LANGHORST, STEVE	BOURNE SENIORS HOUSING III	500,000.00	35,000.00	0.00	35,000.00	93.0	32,550.00
01/15/2018	MICHALETZ TRUST, CHRISTINE	BOURNE SENIORS HOUSING III	200,000.00	0.00	0.00	0.00	93.0	0.00
01/15/2018	DIETRICH IRREVOCABLE, SHARON	BOURNE SENIORS HOUSING III	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
01/18/2018	DIETRICH IRREV TRUST, RAYMOND	BOURNE SENIORS HOUSING III	400,000.00	28,000.00	0.00	28,000.00	93.0	26,040.00
01/18/2018	KELLEY, BRUCE	BOURNE SENIORS HOUSING III	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
01/19/2018	GARTNER TRUST, ORVAL	BOURNE SENIORS HOUSING III	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
01/22/2018	GILMAN, GENE	BOURNE SENIORS HOUSING III	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
01/24/2018	BOHLENDER, RODNEY	BOURNE SENIORS HOUSING III	300,000.00	21,000.00	0.00	21,000.00	93.0	19,530.00
01/24/2018	WINGERT, CHARLES	BOURNE SENIORS HOUSING III	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
01/24/2018	DAVIS, MARK	BOURNE SENIORS HOUSING III	300,000.00	21,000.00	0.00	21,000.00	93.0	19,530.00
01/24/2018	HOWARD, ALFRED	BOURNE SENIORS HOUSING III	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
12/04/2017	HANSON, DAVID	GPB AUTOMOTIVE	95,000.00	6,650.00	0.00	6,650.00	93.0	6,184.50
01/08/2018	LANGHORST, STEVE	GPB AUTOMOTIVE	250,000.00	17,500.00	0.00	17,500.00	93.0	16,275.00
01/19/2018	LUTTRELL, LARRY	GPB AUTOMOTIVE	300,000.00	21,000.00	0.00	21,000.00	93.0	19,530.00
01/19/2018	KIECKER TRUST, DENISE	GPB AUTOMOTIVE	25,000.00	1,750.00	0.00	1,750.00	93.0	1,627.50
01/10/2018	VOIGHT, RICKY L & KATHE	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
01/22/2018	HOWARD, ALFRED	GPB AUTOMOTIVE PORTFOLIO LP	75,000.00	5,250.00	0.00	5,250.00	93.0	4,882.50
01/08/2018	LANGHORST, STEVE	HPI FUND V	250,000.00	15,000.00	0.00	15,000.00	93.0	13,950.00
01/15/2018	MICHALETZ TRUST, CHRISTINE	HPI FUND V	100,000.00	0.00	0.00	0.00	93.0	0.00
01/15/2018	RADICHEL SUBTRUST, BRADLEY	HPI FUND V	300,000.00	18,000.00	0.00	18,000.00	93.0	16,740.00
01/15/2018	DIETRICH, SHARON	HPI FUND V	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
01/15/2018	DIETRICH, RAYMOND	HPI FUND V	150,000.00	9,000.00	0.00	9,000.00	93.0	8,370.00
01/17/2018	OLSON, DONALD	HPI FUND V	150,000.00	9,000.00	0.00	9,000.00	93.0	8,370.00
01/18/2018	KELLEY, BRUCE	HPI FUND V	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
01/19/2018	VOIGHT, RICKY L & KATHE	HPI FUND V	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
01/22/2018	DIVENTURES LLC	HPI FUND V	750,000.00	45,000.00	0.00	45,000.00	93.0	41,850.00
01/22/2018	GREEN, STEVEN	HPI FUND V	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
01/22/2018	TOMM, JODI	HPI FUND V	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
01/22/2018	WEIBLE TRUST, DEAN AND ARDIS	HPI FUND V	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
<b>* PRIVATE PLACEMENT SUBTOTAL *</b>			<b>\$5,445,000.00</b>	<b>\$340,650.00</b>	<b>\$0.00</b>	<b>\$340,650.00</b>		<b>\$316,804.50</b>
<b><u>PRODUCT CATEGORY: OIL &amp; GAS</u></b>								
01/17/2018	OLSON, GREGG D & GWEND	WAVELAND RESOURCE PARTNERS IV	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
<b>* OIL &amp; GAS SUBTOTAL *</b>			<b>\$100,000.00</b>	<b>\$7,000.00</b>	<b>\$0.00</b>	<b>\$7,000.00</b>		<b>\$6,510.00</b>
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
01/16/2018	STRUCK, MARY	PASSCO WATERVUE DST	78,550.00	4,713.00	0.00	4,713.00	93.0	4,383.09
01/22/2018	LOCATION, LOCATION, LOCATION	PASSCO WATERVUE DST	200,000.00	12,000.00	0.00	12,000.00	93.0	11,160.00
01/22/2018	MILLER TRUST, SCOTT & DEBORAH	PASSCO WATERVUE DST	147,900.00	5,974.00	0.00	5,974.00	93.0	5,555.82
01/22/2018	MICHALETZ, CHRISTINE	PASSCO WATERVUE DST	100,000.00	0.00	0.00	0.00	93.0	0.00
01/18/2018	HOWARD, ALFRED	RRTY KING RIVER ROYALTIES	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
01/18/2018	GILOW, HENRY	RRTY KING RIVER ROYALTIES	80,000.00	4,800.00	0.00	4,800.00	93.0	4,464.00
01/18/2018	DIETRICH, RAYMOND	RRTY KING RIVER ROYALTIES	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
01/18/2018	KAster, MARVIN	RRTY KING RIVER ROYALTIES	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
01/18/2018	KAster TRUST, RUTH	RRTY KING RIVER ROYALTIES	250,000.00	15,000.00	0.00	15,000.00	93.0	13,950.00
01/19/2018	KIECKER TRUST, DENISE	RRTY KING RIVER ROYALTIES	75,000.00	4,500.00	0.00	4,500.00	93.0	4,185.00
01/19/2018	LUTTRELL, LARRY	RRTY KING RIVER ROYALTIES	400,000.00	24,000.00	0.00	24,000.00	93.0	22,320.00
01/24/2018	OWENS, DAVID	RRTY KING RIVER ROYALTIES	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
01/29/2018	SOHRE, DENNIS	RRTY KING RIVER ROYALTIES	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
01/29/2018	COPPER STAR FARMS LL	RRTY KING RIVER ROYALTIES	700,000.00	42,000.00	0.00	42,000.00	93.0	39,060.00
<b>* 1031 EXCHANGES SUBTOTAL *</b>			<b>\$2,481,450.00</b>	<b>\$139,987.00</b>	<b>\$0.00</b>	<b>\$139,987.00</b>		<b>\$130,187.91</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/22/2018	BOESCH, DEBRA	MFS RESEARCH FUND-C	6,500.00	65.00	0.00	65.00	93.0	60.45
01/11/2018	WETZELL, ELI	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	93.0	9.30
01/11/2018	WETZELL, BECKETT	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	93.0	9.30
01/11/2018	WETZELL, PEYTON	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	93.0	9.30
01/11/2018	WETZELL, BRADY	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	93.0	9.30
01/11/2018	WETZELL, CHRISTOPHER	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	93.0	9.30
01/11/2018	TRANS MIN ACT - MN, UNIF	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	93.0	9.30
01/16/2018	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	93.0	0.93
01/16/2018	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	93.0	0.47
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$12,650.00</b>	<b>\$126.50</b>	<b>\$0.00</b>	<b>\$126.50</b>		<b>\$117.65</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/02/2018	HANSGEN, DIANE	BRIGHTHOUSE	336,415.82	841.04	0.00	841.04	93.0	782.17
01/02/2018	WERKMEISTER, DAVID	BRIGHTHOUSE	729,866.55	1,824.67	0.00	1,824.67	93.0	1,696.94
01/02/2018	VETTER, BRIAN	BRIGHTHOUSE	166,070.74	415.18	0.00	415.18	93.0	386.12
01/02/2018	OLINGER, THOMAS	BRIGHTHOUSE	63,610.14	159.03	0.00	159.03	93.0	147.90
01/02/2018	HINTON, TIMOTHY	BRIGHTHOUSE	23,818.55	59.55	0.00	59.55	93.0	55.38
01/02/2018	FLOR, PATRICIA	BRIGHTHOUSE	105,346.29	263.37	0.00	263.37	93.0	244.93
01/02/2018	FRANKLIN, WILLIAM	BRIGHTHOUSE	311,098.45	777.75	0.00	777.75	93.0	723.31
01/02/2018	FRANKLIN, ROSALIE	BRIGHTHOUSE	103,691.36	259.23	0.00	259.23	93.0	241.08
01/02/2018	MILLER, KAREN	BRIGHTHOUSE	371,045.77	927.61	0.00	927.61	93.0	862.68
01/02/2018	KIECKER, MARK	BRIGHTHOUSE	169,804.88	424.51	0.00	424.51	93.0	394.79
01/02/2018	BIRK, MARILYN	BRIGHTHOUSE	100,116.00	250.29	0.00	250.29	93.0	232.77
01/02/2018	ROSSING, PATRICIA	BRIGHTHOUSE	425,204.82	1,063.01	0.00	1,063.01	93.0	988.60

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/02/2018	ERICKSON, RONALD	BRIGHTHOUSE	372,809.30	932.02	0.00	932.02	93.0	866.78
01/02/2018	FRANKLIN, WILLIAM	BRIGHTHOUSE	275,462.22	688.66	0.00	688.66	93.0	640.45
01/02/2018	AYERS, KATHRYN	BRIGHTHOUSE	409,296.58	1,023.24	0.00	1,023.24	93.0	951.61
01/02/2018	WEIBLE, DEAN	BRIGHTHOUSE	417,478.77	1,043.70	0.00	1,043.70	93.0	970.64
01/02/2018	KELLOGG, J EDWARD	BRIGHTHOUSE	547,296.94	1,368.24	0.00	1,368.24	93.0	1,272.46
01/02/2018	WOLFF, WALTER	BRIGHTHOUSE	36,072.47	90.18	0.00	90.18	93.0	83.87
01/02/2018	OTTO, JOLENE	BRIGHTHOUSE	148,195.35	370.49	0.00	370.49	93.0	344.56
01/02/2018	WOLFF, LINDA	BRIGHTHOUSE	155,387.42	388.47	0.00	388.47	93.0	361.28
01/02/2018	WALLACE, DEANNE	BRIGHTHOUSE	102,151.92	255.38	0.00	255.38	93.0	237.50
01/02/2018	HEIDTMAN, JOYCE	BRIGHTHOUSE	66,862.22	167.16	0.00	167.16	93.0	155.46
01/02/2018	JONES, THOMAS	BRIGHTHOUSE	173,697.29	434.24	0.00	434.24	93.0	403.84
01/02/2018	BERGLING, PAUL	BRIGHTHOUSE	874,013.84	2,185.03	0.00	2,185.03	93.0	2,032.08
01/02/2018	BERGLING, SHARON	BRIGHTHOUSE	324,210.49	810.53	0.00	810.53	93.0	753.79
01/02/2018	HUSBYN, ROGER	BRIGHTHOUSE	171,848.38	429.62	0.00	429.62	93.0	399.55
01/02/2018	WALLACE, LLOYD	BRIGHTHOUSE	397,835.64	994.59	0.00	994.59	93.0	924.97
01/02/2018	ROSS TRUST, MARY JO	BRIGHTHOUSE	68,245.75	170.61	0.00	170.61	93.0	158.67
01/02/2018	BIRK, DAVID	BRIGHTHOUSE	1,500,383.06	3,750.96	0.00	3,750.96	93.0	3,488.39
01/02/2018	BIRK, DAVID	BRIGHTHOUSE	148,143.34	370.36	0.00	370.36	93.0	344.43
01/02/2018	JONES, LINDA	BRIGHTHOUSE	42,823.36	107.06	0.00	107.06	93.0	99.57
01/02/2018	ARNOLD, RICHARD	BRIGHTHOUSE	468,589.08	1,171.47	0.00	1,171.47	93.0	1,089.47
01/02/2018	MILLER, KAREN	BRIGHTHOUSE	72,259.27	180.65	0.00	180.65	93.0	168.00
01/02/2018	ROY, JAMES	BRIGHTHOUSE	230,201.50	575.50	0.00	575.50	93.0	535.22
01/02/2018	FLO, DAVID	BRIGHTHOUSE	584,852.80	1,462.13	0.00	1,462.13	93.0	1,359.78
01/02/2018	HEIDTMAN, JOYCE	BRIGHTHOUSE	95,663.26	239.16	0.00	239.16	93.0	222.42
01/02/2018	MAINE, DOUGLAS	BRIGHTHOUSE	267,616.68	669.04	0.00	669.04	93.0	622.21
01/02/2018	TOMM, JODI	BRIGHTHOUSE	142,686.05	356.72	0.00	356.72	93.0	331.75
01/02/2018	SWANSON, DARLA	BRIGHTHOUSE	249,548.69	623.87	0.00	623.87	93.0	580.20
01/02/2018	STANTON, KAREN	BRIGHTHOUSE	144,761.88	361.90	0.00	361.90	93.0	336.57
01/02/2018	OTTO, CHRISTOPHER	BRIGHTHOUSE	97,179.67	242.95	0.00	242.95	93.0	225.94
01/02/2018	SANDER, GLORIAN	BRIGHTHOUSE	327,742.86	819.36	0.00	819.36	93.0	762.00
01/02/2018	KIECKER, DENISE	BRIGHTHOUSE	115,062.82	287.66	0.00	287.66	93.0	267.52
01/02/2018	ARNOLD, RICHARD	BRIGHTHOUSE	141,240.49	353.10	0.00	353.10	93.0	328.38
01/09/2018	GARTNER, LEONARD	JNL PERSPECTIVE II 04/14	107,441.86	2,148.84	0.00	2,148.84	93.0	1,998.42

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/10/2018	VOIGHT, RICK	JNL PERSPECTIVE II 04/14	495,590.58	9,911.81	0.00	9,911.81	93.0	9,217.98
01/18/2018	GARTNER, MARIE	JNL PERSPECTIVE II 04/14	107,441.39	2,148.83	0.00	2,148.83	93.0	1,998.41
01/18/2018	ANDERSEN, DAVID	JNL PERSPECTIVE L	550.00	22.00	0.00	22.00	93.0	20.46
01/18/2018	GARTNER, MARIE	JNL PERSPECTIVE L	6,500.00	260.00	0.00	260.00	93.0	241.80
01/18/2018	GARTNER, LEONARD	JNL PERSPECTIVE L	6,500.00	260.00	0.00	260.00	93.0	241.80
01/02/2018	BOUDREAU, DIANE	MET LIFE 10922M105	383,092.16	957.73	0.00	957.73	93.0	890.69
01/19/2018	BOESCH, JEFFERY	TRANSAMERICA EXTRA 89352F889	6,500.00	71.50	0.00	71.50	93.0	66.50
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$13,189,324.75</b>	<b>\$45,970.00</b>	<b>\$0.00</b>	<b>\$45,970.00</b>		<b>\$42,752.09</b>
<b><u>PRODUCT CATEGORY: LIFE INSURANCE</u></b>								
01/16/2018	FOELS, TAMMY	TRANSAMERICA LIFE	0.00	1.00	0.00	1.00	93.0	0.93
<b>* LIFE INSURANCE SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1.00</b>	<b>\$0.00</b>	<b>\$1.00</b>		<b>\$0.93</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
01/04/2018	ARROWHEAD PROMOTIONS	LINCOLN LIFE	30,276.00	756.90	0.00	756.90	93.0	703.92
01/04/2018	OTTO, CHRISTOPHER	LINCOLN LIFE	270.00	4.05	0.00	4.05	93.0	3.77
01/04/2018	OTTO, CHRISTOPHER	LINCOLN LIFE	270.00	4.05	0.00	4.05	93.0	3.77
12/29/2017	FLYGARE, DUANE	TRANSAMERICA LIFE	0.00	15.94	0.00	15.94	93.0	14.82
12/29/2017	WETZELL, THOMAS	TRANSAMERICA LIFE	0.00	18.91	0.00	18.91	93.0	17.59
12/29/2017	GARTNER, JEFF	TRANSAMERICA LIFE	0.00	10.57	0.00	10.57	93.0	9.83
12/29/2017	GARTNER, TAR	TRANSAMERICA LIFE	0.00	4.56	0.00	4.56	93.0	4.24
12/29/2017	OLSON, REID	TRANSAMERICA LIFE	0.00	3.97	0.00	3.97	93.0	3.69
12/29/2017	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	0.00	288.64	0.00	288.64	93.0	268.44
12/29/2017	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	0.00	120.18	0.00	120.18	93.0	111.77
12/29/2017	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	0.00	17.63	0.00	17.63	93.0	16.40
12/29/2017	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	0.00	32.42	0.00	32.42	93.0	30.15
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$30,816.00</b>	<b>\$1,277.82</b>	<b>\$0.00</b>	<b>\$1,277.82</b>		<b>\$1,188.39</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/24/2018	MICHALETZ, VARIOUS-JOE	AMERICAN FUNDS PERSHING	0.00	2,531.84	0.00	2,531.84	93.0	2,354.61
01/16/2018	WILKERSON, LAURA	JH STRATEGIC INCOME A	0.00	8.05	0.00	8.05	93.0	7.49
01/02/2018	CORDS, SCOTT	PUTNAM CAPITAL SPECTRUM C	0.00	13.77	0.00	13.77	93.0	12.81
01/02/2018	CORDS, GRETCHEN	PUTNAM CAPITAL SPECTRUM C	0.00	40.81	0.00	40.81	93.0	37.95
01/02/2018	CORDS, RANDALL	PUTNAM EQUITY SPECTRUM C	0.00	42.49	0.00	42.49	93.0	39.52
01/02/2018	HINTON, EMILY	PUTNAM MULTI CAP GROWTH A	0.00	1.12	0.00	1.12	93.0	1.04

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/03/2018	KIECKER, MARK	TRANSAMERICA AA GROWTH C	0.00	36.21	0.00	36.21	93.0	33.68
01/03/2018	KIECKER, MARK	TRANSAMERICA AA GROWTH C	0.00	35.81	0.00	35.81	93.0	33.30
01/03/2018	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	0.00	39.07	0.00	39.07	93.0	36.34
01/03/2018	THORP, MEGAN	TRANSAMERICA AA GROWTH C	0.00	1.88	0.00	1.88	93.0	1.75
01/03/2018	MICHALETZ, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	1.52	0.00	1.52	93.0	1.41
01/03/2018	FITTERER, JAMES	TRANSAMERICA AA GROWTH C	0.00	41.83	0.00	41.83	93.0	38.90
01/03/2018	ROBERTSON, KATELYN	TRANSAMERICA AA GROWTH C	0.00	4.50	0.00	4.50	93.0	4.19
01/03/2018	SCHOEN, JUDITH	TRANSAMERICA AA GROWTH C	0.00	19.18	0.00	19.18	93.0	17.84
01/03/2018	JONES, ERIN	TRANSAMERICA AA GROWTH C	0.00	5.00	0.00	5.00	93.0	4.65
01/03/2018	JONES, KEITH	TRANSAMERICA AA GROWTH C	0.00	5.00	0.00	5.00	93.0	4.65
01/03/2018	LELAND, CRAIG	TRANSAMERICA AA GROWTH C	0.00	30.94	0.00	30.94	93.0	28.77
01/03/2018	SEVERSON, STEVEN	TRANSAMERICA AA GROWTH C	0.00	2.62	0.00	2.62	93.0	2.44
01/03/2018	FREDERICK, THOMAS	TRANSAMERICA AA GROWTH C	0.00	4.99	0.00	4.99	93.0	4.64
01/03/2018	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	0.00	1.24	0.00	1.24	93.0	1.15
01/03/2018	RENDL, MARK	TRANSAMERICA AA GROWTH C	0.00	3.91	0.00	3.91	93.0	3.64
01/03/2018	GROMMERSCH, IZABEL	TRANSAMERICA AA GROWTH C	0.00	4.51	0.00	4.51	93.0	4.19
01/03/2018	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	0.00	17.12	0.00	17.12	93.0	15.92
01/03/2018	HASKIN-BEEBE, JEAN	TRANSAMERICA AA GROWTH C	0.00	4.08	0.00	4.08	93.0	3.79
01/03/2018	KIECKER, DENISE	TRANSAMERICA AA GROWTH C	0.00	17.97	0.00	17.97	93.0	16.71
01/03/2018	MARK T KIECKER, SIM	TRANSAMERICA AA GROWTH C	0.00	18.61	0.00	18.61	93.0	17.31
01/03/2018	SHORE, HELEN	TRANSAMERICA AA GROWTH C	0.00	60.36	0.00	60.36	93.0	56.13
01/03/2018	DOBIE, SHERYL	TRANSAMERICA AA GROWTH C	0.00	6.31	0.00	6.31	93.0	5.87
01/03/2018	JONES, EVAN	TRANSAMERICA AA GROWTH C	0.00	1.49	0.00	1.49	93.0	1.39
01/03/2018	GROMMERSCH, JOHN	TRANSAMERICA AA GROWTH C	0.00	4.51	0.00	4.51	93.0	4.19
01/03/2018	WETZELL, PAMELA	TRANSAMERICA AA GROWTH C	0.00	4.06	0.00	4.06	93.0	3.78
01/03/2018	WETZELL, THOMAS	TRANSAMERICA AA GROWTH C	0.00	12.48	0.00	12.48	93.0	11.61
01/03/2018	JONES, WYATT	TRANSAMERICA AA GROWTH C	0.00	1.60	0.00	1.60	93.0	1.49
01/03/2018	THOMPSON, JO ANN	TRANSAMERICA AA GROWTH C	0.00	10.94	0.00	10.94	93.0	10.17
01/03/2018	JONES, DYLAN	TRANSAMERICA AA GROWTH C	0.00	1.31	0.00	1.31	93.0	1.22
01/03/2018	OTTO, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	12.33	0.00	12.33	93.0	11.47
01/03/2018	CHRISTMAN, PATRICK	TRANSAMERICA AA GROWTH C	0.00	9.92	0.00	9.92	93.0	9.23
01/03/2018	CHRISTMAN, LISA	TRANSAMERICA AA GROWTH C	0.00	8.92	0.00	8.92	93.0	8.30
01/03/2018	THORP, CALEB	TRANSAMERICA AA GROWTH C	0.00	6.74	0.00	6.74	93.0	6.27

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/03/2018	WETZELL, ELI	TRANSAMERICA AA GROWTH C	0.00	5.76	0.00	5.76	93.0	5.36
01/03/2018	WETZELL, BECKETT	TRANSAMERICA AA GROWTH C	0.00	6.20	0.00	6.20	93.0	5.77
01/03/2018	TOMM, JODI	TRANSAMERICA AA GROWTH C	0.00	60.32	0.00	60.32	93.0	56.10
01/03/2018	JONES, WILL	TRANSAMERICA AA GROWTH C	0.00	1.34	0.00	1.34	93.0	1.25
01/03/2018	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	14.07	0.00	14.07	93.0	13.09
01/03/2018	SANDBO, KAID	TRANSAMERICA AA GROWTH C	0.00	4.57	0.00	4.57	93.0	4.25
01/03/2018	SANDBO, ALYIAH	TRANSAMERICA AA GROWTH C	0.00	4.31	0.00	4.31	93.0	4.01
01/03/2018	WETZELL, PEYTON	TRANSAMERICA AA GROWTH C	0.00	3.06	0.00	3.06	93.0	2.85
01/03/2018	THORP, REED	TRANSAMERICA AA GROWTH C	0.00	3.31	0.00	3.31	93.0	3.08
01/03/2018	SOLOMON, ARIANNA	TRANSAMERICA AA GROWTH C	0.00	3.04	0.00	3.04	93.0	2.83
01/03/2018	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	23.72	0.00	23.72	93.0	22.06
01/03/2018	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	6.57	0.00	6.57	93.0	6.11
01/03/2018	GRUBISH, GERTRUDE	TRANSAMERICA AA GROWTH C	0.00	6.57	0.00	6.57	93.0	6.11
01/03/2018	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.13	0.00	1.13	93.0	1.05
01/03/2018	WETZELL, BRADY	TRANSAMERICA AA GROWTH C	0.00	1.08	0.00	1.08	93.0	1.00
01/03/2018	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.20	0.00	1.20	93.0	1.12
01/03/2018	THORP, QUINN	TRANSAMERICA AA GROWTH C	0.00	1.09	0.00	1.09	93.0	1.01
01/03/2018	WETZELL, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	1.06	0.00	1.06	93.0	0.99
01/03/2018	KIECKER, BENJAMIN	TRANSAMERICA AA GROWTH C	0.00	2.50	0.00	2.50	93.0	2.33
01/03/2018	KIECKER, DANIEL	TRANSAMERICA AA GROWTH C	0.00	2.90	0.00	2.90	93.0	2.70
01/03/2018	STEVENS, GAVIN	TRANSAMERICA AA GROWTH C	0.00	9.89	0.00	9.89	93.0	9.20
01/03/2018	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	0.00	3.99	0.00	3.99	93.0	3.71
01/03/2018	VOIGHT, CODY	TRANSAMERICA AA GROWTH C	0.00	4.45	0.00	4.45	93.0	4.14
01/03/2018	VOIGHT, NICKOLAS	TRANSAMERICA AA GROWTH C	0.00	0.16	0.00	0.16	93.0	0.15
01/03/2018	CONRAD, MAREIDITH	TRANSAMERICA AA MOD GROWTH C	0.00	6.65	0.00	6.65	93.0	6.18
01/03/2018	CONRAD, DELBERT	TRANSAMERICA AA MOD GROWTH C	0.00	43.44	0.00	43.44	93.0	40.40
01/03/2018	LOE, GLORIA	TRANSAMERICA AA MOD GROWTH C	0.00	8.17	0.00	8.17	93.0	7.60
01/03/2018	GARTNER, TIMOTHY	TRANSAMERICA AA MOD GROWTH C	0.00	26.03	0.00	26.03	93.0	24.21
01/03/2018	GRAFF, GARY	TRANSAMERICA AA MOD GROWTH C	0.00	22.30	0.00	22.30	93.0	20.74
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$3,353.92</b>	<b>\$0.00</b>	<b>\$3,353.92</b>		<b>\$3,119.21</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
12/27/2017	MICHALETZ, VARIOUS-JOE	JOHN HANCOCK LIFE INS CO	100.00	2.00	0.00	2.00	93.0	1.86
01/02/2018	MICHALETZ, VARIOUS-JOE	JOHN HANCOCK LIFE INS CO	150.00	3.00	0.00	3.00	93.0	2.79
01/01/2018	IRLBECK, CALEB	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	0.80	0.00	0.80	93.0	0.74
01/01/2018	IRLBECK, AVA	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	1.39	0.00	1.39	93.0	1.29
01/01/2018	IRLBECK, KERSTEN	MFS AGGRESS GROWTH ALLOCATION 529C	0.00	1.50	0.00	1.50	93.0	1.40
01/01/2018	IRLBECK, CALEB	MFS GROWTH ALLOCATION 529A	0.00	0.40	0.00	0.40	93.0	0.37
01/01/2018	IRLBECK, AVA	MFS GROWTH ALLOCATION 529A	0.00	0.82	0.00	0.82	93.0	0.76
01/01/2018	BOESCH, DEBRA	MFS RESEARCH A	0.00	67.52	0.00	67.52	93.0	62.79
01/01/2018	LEVIK, LADONNA	MFS RESEARCH A	0.00	5.81	0.00	5.81	93.0	5.40
01/01/2018	BURRUS, ALYSSA	MFS RESEARCH A	0.00	1.02	0.00	1.02	93.0	0.95
01/01/2018	BOESCH, DEBRA	MFS RESEARCH B	0.00	30.70	0.00	30.70	93.0	28.55
01/01/2018	BOESCH, DEBRA	MFS RESEARCH FUND-C	0.00	44.44	0.00	44.44	93.0	41.33
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$250.00</b>	<b>\$159.40</b>	<b>\$0.00</b>	<b>\$159.40</b>		<b>\$148.23</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$21,259,490.75</b>	<b>\$538,525.64</b>	<b>\$0.00</b>	<b>\$538,525.64</b>		<b>\$500,828.91</b>

**SPLIT TRANSACTIONS****BROKER: 46G-JOE/JACK MICHALETZ #290**

01/24/2018	MICHALETZ, VARIOUS-JOE	AMERICAN FUNDS PERSHING	0.00	55.97	0.00	55.97	93.0	52.05
01/22/2018	OLSON, MARK	HPI FUND V	80,000.00	2,736.00	0.00	2,736.00	93.0	2,544.48
<b>* 46G-JOE/JACK MICHALETZ SUBTOTAL *</b>			<b>\$80,000.00</b>	<b>\$2,791.97</b>	<b>\$0.00</b>	<b>\$2,791.97</b>		<b>\$2,596.53</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$80,000.00</b>	<b>\$2,791.97</b>	<b>\$0.00</b>	<b>\$2,791.97</b>		<b>\$2,596.53</b>

**OVERRIDE TRANSACTIONS****BROKER: JACK MICHALETZ #287**

01/07/2018	LORENTZ, ANDREW	METLIFE TRAILS	0.00	1.00	0.00	1.00	40.0	0.93
01/08/2018	ROSE, DUANE	AB MUNICIPAL INCOME MN A	0.00	37.56	0.00	37.56	40.0	34.93
01/24/2018	MICHALETZ, VARIOUS-JACK	AMERICAN FUNDS PERSHING	0.00	794.33	0.00	794.33	40.0	738.73
01/10/2018	BROWN, MARK	COTTONWOOD RESIDENTIAL 2016 PREFR'D	25,000.00	1,750.00	0.00	1,750.00	40.0	927.50
01/16/2018	BLOCK, EUGENE	JH DISCIPLINED VALUE INT'L C	0.00	1.37	0.00	1.37	40.0	1.27
01/16/2018	ROBERTS, MICHELLE	JH DISCIPLINED VALUE INT'L C	0.00	0.23	0.00	0.23	40.0	0.21
01/16/2018	WALLEM, HARRY	JH FINANCIAL INDUSTRIES A	0.00	2.58	0.00	2.58	40.0	2.40

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JACK MICHALETZ #287 </u></b>								
01/16/2018	BLOCK, EUGENE	JH INCOME B	0.00	1.14	0.00	1.14	40.0	1.06
01/16/2018	WALLEM, HARRY	JH LARGE CAP EQUITY A	0.00	4.19	0.00	4.19	40.0	3.90
01/16/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY A	0.00	1.79	0.00	1.79	40.0	1.66
01/16/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY B	0.00	1.09	0.00	1.09	40.0	1.01
01/16/2018	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY B	0.00	0.03	0.00	0.03	40.0	0.03
01/16/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY C	0.00	1.93	0.00	1.93	40.0	1.79
01/16/2018	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	0.00	0.29	0.00	0.29	40.0	0.27
01/16/2018	ROBERTS, MICHELLE	JH LARGE CAP EQUITY C	0.00	0.06	0.00	0.06	40.0	0.06
01/16/2018	TOLZMANN, RICHARD	JH LIFESTYLE AGGRESSIVE PORTFOLIO C	0.00	7.48	0.00	7.48	40.0	6.96
01/16/2018	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	5.84	0.00	5.84	40.0	5.43
01/16/2018	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT B	0.00	1.74	0.00	1.74	40.0	1.62
01/16/2018	OLSON, HARLAN	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	3.22	0.00	3.22	40.0	2.99
01/16/2018	HERMEL, ROBERT	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	5.03	0.00	5.03	40.0	4.68
01/16/2018	WALLEM, HARRY	JH NEW OPPORTUNITIES A	0.00	2.28	0.00	2.28	40.0	2.12
01/16/2018	BLOCK, EUGENE	JH NEW OPPORTUNITIES A	0.00	0.67	0.00	0.67	40.0	0.62
01/16/2018	BLOCK, EUGENE	JH NEW OPPORTUNITIES C	0.00	1.44	0.00	1.44	40.0	1.34
01/16/2018	ROBERTS, MICHELLE	JH SMALL COMPANY FUND A	0.00	0.08	0.00	0.08	40.0	0.07
01/16/2018	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH A	0.00	0.04	0.00	0.04	40.0	0.04
01/16/2018	BLOCK, EUGENE	JH STRATEGIC GROWTH A	0.00	1.20	0.00	1.20	40.0	1.12
01/16/2018	BLOCK, EUGENE	JH STRATEGIC GROWTH C	0.00	1.87	0.00	1.87	40.0	1.74
01/16/2018	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	0.00	0.29	0.00	0.29	40.0	0.27
01/16/2018	WALLEM, HARRY	JH STRATEGIC INCOME A	0.00	2.69	0.00	2.69	40.0	2.50
01/16/2018	BLOCK, EUGENE	JH STRATEGIC INCOME A	0.00	0.49	0.00	0.49	40.0	0.46
01/16/2018	BLOCK, EUGENE	JH STRATEGIC INCOME C	0.00	1.33	0.00	1.33	40.0	1.24
01/16/2018	ROBERTS, MICHELLE	JH STRATEGIC INCOME C	0.00	0.85	0.00	0.85	40.0	0.79
01/16/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	0.00	7.86	0.00	7.86	40.0	7.31
01/16/2018	WALLEM, HARRY	JN DISCIPLINED VALUE INT'L A	0.00	1.97	0.00	1.97	40.0	1.83
01/16/2018	BLOCK, EUGENE	JN DISCIPLINED VALUE INT'L A	0.00	0.62	0.00	0.62	40.0	0.58
01/16/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	0.00	8.49	0.00	8.49	40.0	7.90
01/16/2018	ROBERTS, MICHELLE	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	0.11	0.00	0.11	40.0	0.10
01/16/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	6.94	0.00	6.94	40.0	6.45
01/16/2018	HENZE, DUANE	JOHN HANCOCK LIFESTYLE AGGR PORTFOL	0.00	0.02	0.00	0.02	40.0	0.02
01/16/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	0.00	6.32	0.00	6.32	40.0	5.88

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JACK MICHALETZ #287 </u></b>								
01/02/2018	WOLFE JR, THEODORE	MET LIFE 10922M360	728,618.28	2,276.93	0.00	2,276.93	40.0	2,117.54
01/10/2018	PAHL, ADAM	MFS AGGRESSIVE GROWTH ALLOCATION C	5,000.00	50.00	22.00	28.00	40.0	14.84
01/01/2018	URBAN, CURTIS	MFS RESEARCH A	0.00	21.02	0.00	21.02	40.0	19.55
01/01/2018	URBAN, ANDREW	MFS RESEARCH A	0.00	2.33	0.00	2.33	40.0	2.17
01/01/2018	URBAN, CURTIS	MFS RESEARCH B	0.00	16.16	0.00	16.16	40.0	15.03
01/09/2018	SOUKUP, LAVERN	PAC LIFE PORTFOLIOS	0.00	13.99	0.00	13.99	40.0	13.01
01/10/2018	SIEBERG, KYLE	POWERSHARES QQQ TR UNIT SER 1	5,828.70	0.00	22.50	-22.50	40.0	-11.93
01/16/2018	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	50.00	2.50	12.00	-9.50	40.0	-5.04
01/02/2018	CONNOLLY, BARBARA	PUTNAM EQUITY INCOME A	0.00	2.35	0.00	2.35	40.0	2.19
01/16/2018	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.00	1.00	12.00	-11.00	40.0	-5.83
01/02/2018	PLAN	PUTNAM GROWTH OPP A	0.00	1.33	0.00	1.33	40.0	1.24
01/19/2018	NELSON, DONALD	RRTY KING RIVER ROYALTIES	100,000.00	6,000.00	0.00	6,000.00	40.0	5,580.00
01/02/2018	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	604.98	28.74	0.00	28.74	40.0	26.73
01/02/2018	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	430.00	20.43	0.00	20.43	40.0	19.00
01/03/2018	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH A	0.00	1.31	0.00	1.31	40.0	1.22
01/03/2018	PAHL, JASON	TRANSAMERICA AA GROWTH A	0.00	7.21	0.00	7.21	40.0	6.71
01/03/2018	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	0.00	4.00	0.00	4.00	40.0	3.72
01/03/2018	PROKOP, GRANT	TRANSAMERICA AA GROWTH A	0.00	1.26	0.00	1.26	40.0	1.17
01/03/2018	PROKOP, GRANT	TRANSAMERICA AA GROWTH A	0.00	0.85	0.00	0.85	40.0	0.79
01/03/2018	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	0.00	1.28	0.00	1.28	40.0	1.19
01/02/2018	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	40.0	9.30
01/03/2018	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	40.0	2.33
01/03/2018	ROSE, ERIC	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	40.0	2.33
01/03/2018	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	40.0	0.47
01/03/2018	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	40.0	0.47
01/03/2018	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.92	0.00	2.92	40.0	2.72
01/03/2018	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	6.01	0.00	6.01	40.0	5.59
01/03/2018	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	40.73	0.00	40.73	40.0	37.88
01/03/2018	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	40.73	0.00	40.73	40.0	37.88
01/03/2018	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.81	0.00	5.81	40.0	5.40
01/03/2018	FITTERER, PAMELA	TRANSAMERICA AA GROWTH C	0.00	17.86	0.00	17.86	40.0	16.61
01/03/2018	DORN, SANDRA	TRANSAMERICA AA GROWTH C	0.00	6.05	0.00	6.05	40.0	5.63
01/03/2018	FITTERER, EMILY	TRANSAMERICA AA GROWTH C	0.00	1.86	0.00	1.86	40.0	1.73

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JACK MICHALETZ #287</u></b>								
01/03/2018	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	17.17	0.00	17.17	40.0	15.97
01/03/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	53.19	0.00	53.19	40.0	49.47
01/03/2018	FITTERER, SAMUEL	TRANSAMERICA AA GROWTH C	0.00	1.62	0.00	1.62	40.0	1.51
01/03/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	45.99	0.00	45.99	40.0	42.77
01/03/2018	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	7.23	0.00	7.23	40.0	6.72
01/03/2018	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	8.25	0.00	8.25	40.0	7.67
01/03/2018	MCRAE, HEATHER	TRANSAMERICA AA GROWTH C	0.00	1.79	0.00	1.79	40.0	1.66
01/03/2018	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.74	0.00	2.74	40.0	2.55
01/03/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	19.45	0.00	19.45	40.0	18.09
01/03/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	0.00	18.68	0.00	18.68	40.0	17.37
01/03/2018	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	12.05	0.00	12.05	40.0	11.21
01/03/2018	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.26	0.00	2.26	40.0	2.10
01/03/2018	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.29	0.00	2.29	40.0	2.13
01/03/2018	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.47	0.00	1.47	40.0	1.37
01/03/2018	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.78	0.00	3.78	40.0	3.52
01/03/2018	MICHALETZ, ADISYN	TRANSAMERICA AA GROWTH C	0.00	1.17	0.00	1.17	40.0	1.09
01/03/2018	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.14	0.00	1.14	40.0	1.06
01/03/2018	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.97	0.00	5.97	40.0	5.55
01/03/2018	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	21.89	0.00	21.89	40.0	20.36
01/03/2018	ROY TOD, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	9.91	0.00	9.91	40.0	9.22
01/03/2018	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	5.35	0.00	5.35	40.0	4.98
01/03/2018	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	4.01	0.00	4.01	40.0	3.73
01/03/2018	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	8.40	0.00	8.40	40.0	7.81
01/03/2018	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	2.21	0.00	2.21	40.0	2.06
01/03/2018	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.81	0.00	8.81	40.0	8.19
01/03/2018	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	13.80	0.00	13.80	40.0	12.83
01/03/2018	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	16.28	0.00	16.28	40.0	15.14
01/03/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	0.00	0.88	0.00	0.88	40.0	0.82
01/03/2018	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.95	0.00	4.95	40.0	4.60
01/03/2018	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.93	0.00	1.93	40.0	1.79
01/03/2018	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.90	0.00	2.90	40.0	2.70
01/03/2018	BOESCH, SKYE	TRANSAMERICA AA GROWTH C	0.00	1.85	0.00	1.85	40.0	1.72
01/03/2018	FLYGARE, MATTHEW	TRANSAMERICA AA GROWTH C	0.00	3.15	0.00	3.15	40.0	2.93

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JACK MICHALETZ #287 </u></b>								
01/03/2018	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.68	0.00	0.68	40.0	0.63
01/03/2018	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.50	0.00	4.50	40.0	4.19
01/03/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH C	0.00	9.98	0.00	9.98	40.0	9.28
01/03/2018	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH C	0.00	16.90	0.00	16.90	40.0	15.72
01/08/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	30.90	0.31	0.00	0.31	40.0	0.29
01/08/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	30.90	0.31	0.00	0.31	40.0	0.29
01/08/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	177.34	1.77	0.00	1.77	40.0	1.65
01/08/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	177.34	1.77	0.00	1.77	40.0	1.65
01/16/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	40.0	1.86
01/16/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	40.0	1.86
01/16/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	68.56	0.69	0.00	0.69	40.0	0.64
01/16/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	68.56	0.69	0.00	0.69	40.0	0.64
01/16/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	40.0	0.38
01/16/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	40.0	0.38
01/16/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	39.27	0.39	0.00	0.39	40.0	0.36
01/16/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	39.27	0.39	0.00	0.39	40.0	0.36
01/16/2018	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	40.0	0.47
01/16/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	51.13	0.51	0.00	0.51	40.0	0.47
01/16/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	51.13	0.51	0.00	0.51	40.0	0.47
01/03/2018	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	0.00	7.27	0.00	7.27	40.0	6.76
01/03/2018	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.54	0.00	3.54	40.0	3.29
01/03/2018	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	5.24	0.00	5.24	40.0	4.87
01/03/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	50.21	0.00	50.21	40.0	46.70
01/03/2018	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	0.00	6.86	0.00	6.86	40.0	6.38
01/03/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	7.40	0.00	7.40	40.0	6.88
01/03/2018	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.33	0.00	1.33	40.0	1.24
01/03/2018	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.43	0.00	2.43	40.0	2.26
01/03/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	10.53	0.00	10.53	40.0	9.79
01/03/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	7.04	0.00	7.04	40.0	6.55
01/03/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	3.83	0.00	3.83	40.0	3.56
01/08/2018	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	250.00	2.50	0.00	2.50	40.0	2.33
01/08/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	659.14	6.59	0.00	6.59	40.0	6.13
01/08/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	219.72	2.20	0.00	2.20	40.0	2.05

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JACK MICHALETZ #287</u></b>								
01/08/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	73.44	0.73	0.00	0.73	40.0	0.68
01/08/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	73.44	0.73	0.00	0.73	40.0	0.68
01/08/2018	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	28.46	0.28	0.00	0.28	40.0	0.26
01/08/2018	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	28.46	0.28	0.00	0.28	40.0	0.26
01/08/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	187.98	1.88	0.00	1.88	40.0	1.75
01/08/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	187.98	1.88	0.00	1.88	40.0	1.75
01/16/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	40.0	1.40
01/16/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	40.0	1.40
* JACK MICHALETZ SUBTOTAL *			<b>\$870,506.24</b>	<b>\$11,740.15</b>	<b>\$68.50</b>	<b>\$11,671.65</b>		<b>\$10,160.70</b>
*** OVERRIDE TRANSACTIONS TOTAL ***			<b>\$870,506.24</b>	<b>\$11,740.15</b>	<b>\$68.50</b>	<b>\$11,671.65</b>		<b>\$10,160.70</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$500,819.14
Split Transactions	\$2,596.53
Override Transactions	\$10,160.70
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<b>\$513,576.37</b>
Year-to-date Gross	<b>\$772,019.72</b>
Year-to-date Earnings	<b>\$739,044.16</b>

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