

STATEMENT

February 20, 2018

JOHN PINEMAN - LPCM

BROKER #: 3189

BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for JOHN PINEMAN - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/17/2018 #	STUBBS, CARRIE	IAFEES	-475.73	342.52	95.0	0.00	325.39	0.00	325.39
01/17/2018 #	NEIDERHISER, BRENDA	IAFEES	-152.92	99.99	95.0	0.00	94.99	0.00	94.99
01/17/2018 #	SAROKIN, WILLIAM	IAFEES	-501.58	326.02	95.0	0.00	309.72	0.00	309.72
01/17/2018 #	GARLOW, DONALD	IAFEES	-141.92	90.83	95.0	0.00	86.29	0.00	86.29
01/17/2018 #	SWAHN, CHRISTOPHER	IAFEES	-1,086.68	883.83	95.0	0.00	839.64	0.00	839.64
01/17/2018 #	BAIR, TODD	IAFEES	-0.33	0.21	95.0	0.00	0.20	0.00	0.20
01/17/2018 #	STUBBS, CARRIE	IAFEES	-579.54	444.31	95.0	0.00	422.09	0.00	422.09
01/17/2018 #	AMENT, LAURA	IAFEES	-255.87	163.76	95.0	0.00	155.57	0.00	155.57
01/17/2018 #	SWAHN, CHRISTOPHER	IAFEES	-241.25	168.88	95.0	0.00	160.44	0.00	160.44
01/17/2018 #	GARLOW, CAROL	IAFEES	-138.54	88.67	95.0	0.00	84.24	0.00	84.24
01/17/2018 #	SWAHN, GERI	IAFEES	-175.42	122.80	95.0	0.00	116.66	0.00	116.66
01/17/2018 #	SWAHN, CHRISTOPHER	IAFEES	-1,450.76	1,179.95	95.0	0.00	1,120.95	0.00	1,120.95
01/17/2018 #	HAMM, WYNN	IAFEES	-185.95	119.00	95.0	0.00	113.05	0.00	113.05
* MUTUAL FUNDS SUBTOTAL *			\$-5,386.49	\$4,030.77		\$0.00	\$3,829.23	\$0.00	\$3,829.23
<u>PRODUCT CATEGORY: RIA</u>									
02/01/0018 #514089	TCA PINEMAN VAR	LPCM CONS 20/20/60	157,019.42	-78.51	100.0	0.00	-78.51	0.00	-78.51
02/01/0018 #514204	TCA PINEMAN VAR	LPCM CONS 20/20/60	70,128.81	-35.06	100.0	0.00	-35.06	0.00	-35.06
02/01/0018 #514124	TCA PINEMAN VAR	LPCM CONS 20/20/60	40,495.38	-20.25	100.0	0.00	-20.25	0.00	-20.25
01/01/2018 #87701784	PRILL, DANNA	ENVESTNET .	0.00	716.58	95.0	0.00	680.75	0.00	680.75
01/01/2018 #87701783	PRILL, JOSEPH	ENVESTNET .	0.00	404.15	95.0	0.00	383.94	0.00	383.94
02/01/2018 #514089	TCA PINEMAN VAR	LPCM CONS 20/20/60	157,019.42	130.85	95.0	0.00	124.31	0.00	124.31
02/01/2018 #514124	TCA PINEMAN VAR	LPCM CONS 20/20/60	40,495.38	84.37	95.0	0.00	80.15	0.00	80.15
02/01/2018 #514204	TCA PINEMAN VAR	LPCM CONS 20/20/60	70,128.81	58.44	95.0	0.00	55.52	0.00	55.52
* RIA SUBTOTAL *			\$535,287.22	\$1,260.57		\$0.00	\$1,190.85	\$0.00	\$1,190.85
*** BROKER TRANSACTIONS TOTAL ***			\$529,900.73	\$5,291.34		\$0.00	\$5,020.08	\$0.00	\$5,020.08

INVESTMENT
AMOUNT

GROSS
COMMISSION

RATE		POSTAGE	
1	10	1	10
2	20	2	20
3	30	3	30
4	40	4	40
5	50	5	50
6	60	6	60
7	70	7	70
8	80	8	80
9	90	9	90
10	100	10	100

NET
COMMISSION

CLEARING
CHARGE

BROKER
COMMISSION

DATE _____

CLIENT

INVESTMENT

GORMAN - LPCM, MICHAEL

5,368.68

107.38

*** OVERRIDE TRANSACTIONS TOTAL ***

\$5,368.68

\$107.38

Broker Transactions	\$5,020.08
Split Transactions	\$0.00
Override Transactions	\$107.38
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount

\$5,127.46