



All Companies

01/30/2018
Page No. 1

COMMISSION STATEMENT February 01, 2018

JOHN J. O'REILLY
25042 SUMMIT CREEK

BROKER #: 9585
BRANCH: HOME OFFICE

San Antonio, TX 78258-

COMMISSION STATEMENT for JOHN J. O'REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
12/29/2017	TRAILS	-PRUDENTIAL MUTUAL FUND	B	1.23	1.23	0.00	1.23	70.0	0.86
12/31/2017	TRAILS	-TEXAS 529 PLAN	B	4.20	4.20	0.00	4.20	70.0	2.94
12/31/2017	TRAILS	AMERICAN CENTURY INVESTMENTS	B	2.11	2.11	0.00	2.11	70.0	1.48
12/31/2017	TRAILS	CALAMOS INVESTMENTS	B	3.67	3.67	0.00	3.67	70.0	2.57
12/31/2017	TRAILS	PACIFIC LIFE FUNDS - GENERAL	B	114.37	114.37	0.00	114.37	70.0	80.06
01/11/2018	000000000092231 TARPINIAN, JOSEPH	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	2.50	0.00	2.50	70.0	1.75
01/11/2018	000000000092243 JONES, KAREN	FRK INTL SMALL CAP GROWTH FUND - CL B	B	55.00	1.54	0.00	1.54	70.0	1.08
01/11/2018	000000001177637 JONES, KAREN M.	FRK AGGRESSIVE GRWTH - CL A	B	96.25	2.70	0.00	2.70	70.0	1.89
01/16/2018	000000001293253 VOHRA, RUKSANA	FRANKLIN RISING DIVIDEND 2 FD	B	50.00	0.50	0.00	0.50	70.0	0.35
01/11/2018	000006982462769 JONES, KAREN	TEMPLETON GLOBAL BD FD CL I	B	27.50	0.77	0.00	0.77	70.0	0.54
01/11/2018	000015813931074 TARPINIAN, JOSEPH	FRANKLIN RISING DIVIDEND FD CL I	B	50.00	2.50	0.00	2.50	70.0	1.75
01/11/2018	000015813931100 JONES, KAREN	FRANKLIN RISING DIVIDEND FD CL I	B	96.25	2.70	0.00	2.70	70.0	1.89
01/18/2018	00084387418 LERMA, ALEJANDRO	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	2.50	0.00	2.50	70.0	1.75
01/16/2018	00084586485 GUAJARDO, JORGE	THE GROWTH FUND OF AMERICA-C	B	150.00	1.50	0.00	1.50	70.0	1.05
01/17/2018	00084753253 UNTIL AGE 21, TX/UTMA	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	70.0	0.35
01/11/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	3.48	0.03	0.00	0.03	70.0	0.02
01/17/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	4.78	0.04	0.00	0.04	70.0	0.03
01/05/2018	02000035041 GONZALEZ, ROBERT	AGES 12-14 YEARS A	B	30.00	1.20	0.00	1.20	70.0	0.84
01/05/2018	02000035042 GONZALEZ, ROBERT	AGES 12-14 YEARS A	B	30.00	1.20	0.00	1.20	70.0	0.84
01/05/2018	02000094560 MCCANN/WILEY, JANIE/KERBI	AGES 12-14 YEARS A	B	25.00	1.00	0.00	1.00	70.0	0.70
01/02/2018	1951227842 WONG, AARON	INTERNATIONAL DIVERSIFIED FUND A	B	50.00	2.00	0.00	2.00	70.0	1.40
* MUTUAL FUNDS SUBTOTAL *				\$943.84	\$148.76	\$0.00	\$148.76		\$104.14

COMMISSION STATEMENT for JOHN J. O'REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	60.08	60.08	0.00	60.08	70.0	42.06
		* VARIABLE ANNUITIES SUBTOTAL *		\$60.08	\$60.08	\$0.00	\$60.08		\$42.06
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	12220999 SMITH, BERNARD C. III	SIGNAL SECURITIES RIA	B	0.00	103.00	0.00	103.00	70.0	72.10
01/12/2018	13238509 SINCLAIR, KATHERINE M.	SIGNAL SECURITIES RIA	B	0.00	102.00	0.00	102.00	70.0	71.40
01/12/2018	13983746 ENGELHORN, ANN	SIGNAL SECURITIES RIA	B	0.00	78.00	0.00	78.00	70.0	54.60
01/12/2018	14035391 PETRUCCI, MICHELE L	SIGNAL SECURITIES RIA	B	0.00	213.67	0.00	213.67	70.0	149.57
01/12/2018	15170253 ALMONTE, JANET	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	70.0	16.80
01/12/2018	16851102 SPAIN, ASHLEY & KENNET	SIGNAL SECURITIES RIA	B	0.00	3.67	0.00	3.67	70.0	2.57
01/12/2018	26132361 HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	8.33	0.00	8.33	70.0	5.83
01/12/2018	27920326 KIRCHOFER/TRUSTY, DAWN & BETTY	SIGNAL SECURITIES RIA	B	0.00	39.33	0.00	39.33	70.0	27.53
01/12/2018	29926270 KIRKMAN, KENT S	SIGNAL SECURITIES RIA	B	0.00	39.33	0.00	39.33	70.0	27.53
01/12/2018	31239223 HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	46.33	0.00	46.33	70.0	32.43
01/12/2018	35855095 ALMONTE, ROBERT	SIGNAL SECURITIES RIA	B	0.00	246.67	0.00	246.67	70.0	172.67
01/12/2018	38672030 HETTINGER, STEPHANIE & AND	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	70.0	7.23
01/12/2018	39026321 SMITH, KATHY J	SIGNAL SECURITIES RIA	B	0.00	138.00	0.00	138.00	70.0	96.60
01/12/2018	44751241 WILLSON, BRENDA EVANS	SIGNAL SECURITIES RIA	B	0.00	189.33	0.00	189.33	70.0	132.53
01/12/2018	45156560 WALKER, KATHLEEN DIANE	SIGNAL SECURITIES RIA	B	0.00	364.67	0.00	364.67	70.0	255.27
01/12/2018	48792670 TRAN, JOHN HUAN	SIGNAL SECURITIES RIA	B	0.00	124.33	0.00	124.33	70.0	87.03
01/12/2018	49221103 PREUSS, CHARLES/JEANETT	SIGNAL SECURITIES RIA	B	0.00	389.67	0.00	389.67	70.0	272.77
01/12/2018	51882908 SULLIVAN, DONNA B.	SIGNAL SECURITIES RIA	B	0.00	131.33	0.00	131.33	70.0	91.93
01/12/2018	52865620 JAHNS, BARBARA J.	SIGNAL SECURITIES RIA	B	0.00	222.33	0.00	222.33	70.0	155.63
01/12/2018	53855208 SPAIN, KENNETH	SIGNAL SECURITIES RIA	B	0.00	9.33	0.00	9.33	70.0	6.53
01/12/2018	55187004 MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	359.00	0.00	359.00	70.0	251.30
01/12/2018	56791320 TRUSTY, BETTY	SIGNAL SECURITIES RIA	B	0.00	48.33	0.00	48.33	70.0	33.83
01/12/2018	58311572 MORIARTY, MERRY/DANIEL	SIGNAL SECURITIES RIA	B	0.00	135.00	0.00	135.00	70.0	94.50
01/12/2018	60833365 SPAIN, ASHLEY & KENNET	SIGNAL SECURITIES RIA	B	0.00	1.33	0.00	1.33	70.0	0.93
01/12/2018	62758634 KARL, LAURA/ FERNANDO	SIGNAL SECURITIES RIA	B	0.00	676.00	0.00	676.00	70.0	473.20
01/12/2018	63242808 ROSS, ERIS R	SIGNAL SECURITIES RIA	B	0.00	57.00	0.00	57.00	70.0	39.90
01/12/2018	68055487 MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	25.33	0.00	25.33	70.0	17.73
01/12/2018	68991609 HUSTON, STEVE C	SIGNAL SECURITIES RIA	B	0.00	228.33	0.00	228.33	70.0	159.83

COMMISSION STATEMENT for JOHN J. O'REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	69084796 HETTINGER, ANDREW JASON	SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00	70.0	8.40
01/12/2018	72527951 ENGELHORN, ANN	SIGNAL SECURITIES RIA	B	0.00	153.00	0.00	153.00	70.0	107.10
01/12/2018	76952379 KIRKMAN, ELLEN F	SIGNAL SECURITIES RIA	B	0.00	31.33	0.00	31.33	70.0	21.93
01/12/2018	77047700 HETTINGER, STEPHANIE & AND	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	70.0	7.00
01/12/2018	77154313 HILLS, BERNARD& ANTOIN	SIGNAL SECURITIES RIA	B	0.00	249.67	0.00	249.67	70.0	174.77
01/12/2018	79434263 HETTINGER, ANDREW JASON	SIGNAL SECURITIES RIA	B	0.00	56.67	0.00	56.67	70.0	39.67
01/12/2018	81586140 MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	124.00	0.00	124.00	70.0	86.80
01/12/2018	82278696 GARRISON, PATRICIA A	SIGNAL SECURITIES RIA	B	0.00	40.67	0.00	40.67	70.0	28.47
01/12/2018	84235121 JONES, MATTHEW W	SIGNAL SECURITIES RIA	B	0.00	10.67	0.00	10.67	70.0	7.47
01/12/2018	85795100 BRUCE, BRENDA	SIGNAL SECURITIES RIA	B	0.00	230.67	0.00	230.67	70.0	161.47
01/12/2018	86268239 BOURGEOIS, FAY L.	SIGNAL SECURITIES RIA	B	0.00	60.00	0.00	60.00	70.0	42.00
01/12/2018	90026714 O'CONNOR, CHRISTINE A	SIGNAL SECURITIES RIA	B	0.00	184.67	0.00	184.67	70.0	129.27
01/12/2018	92572691 HERRING, GAYLE HALL	SIGNAL SECURITIES RIA	B	0.00	30.33	0.00	30.33	70.0	21.23
01/12/2018	94367363 HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	70.0	4.20
01/12/2018	98606484 WILLSON II, DERRELL C	SIGNAL SECURITIES RIA	B	0.00	335.33	0.00	335.33	70.0	234.73
01/12/2018	99342265 SPAIN, KENNETH	SIGNAL SECURITIES RIA	B	0.00	22.00	0.00	22.00	70.0	15.40
* RIA SUBTOTAL *				\$0.00	\$5,570.98	\$0.00	\$5,570.98		\$3,899.68
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/01/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	2.67	0.00	2.67	70.0	1.87
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2.67	\$0.00	\$2.67		\$1.87
*** BROKER TRANSACTIONS TOTAL ***				\$1,003.92	\$5,782.49	\$0.00	\$5,782.49		\$4,047.75
<u>ADJUSTMENTS</u>									
02/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$4,047.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$4,020.25
Year-to-date Earnings	\$8,339.40