

COMMISSION STATEMENT

April 30, 2017

EZRA GRAYMAN
7 EDGEWOOD AVEBROKER #:
BRANCH: HOME OFFICE

SPRINGFIELD, NJ 07081

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/30/2017	QGV001944	MERRILL LYNCH PROF	FEDERAL NATL MTG ASSN PFD SER S DIV S	-685,000.00	1,000.00	10.00	990.00	80.0	792.00
04/03/2017	QGV001944	MERRILL LYNCH PROF	FEDERAL NATL MTG ASSN PFD SER S DIV S	-1,154,400.00	1,850.00	10.00	1,840.00	80.0	1,472.00
04/07/2017	QGV001944	MERRILL LYNCH PROF	FEDERAL NATL MTG ASSN PFD SER S DIV B	102,675.00	185.00	10.00	175.00	80.0	140.00
04/18/2017	QGV001944	MERRILL LYNCH PROF	WELLS FARGO & CO NEW DEP SHS REPSTG B	1,307,050.50	525.00	10.00	515.00	80.0	412.00
04/19/2017	QGV001944	MERRILL LYNCH PROF	QWEST CORP PFD 6.75 CPN QRTLY CALL B	1,484,280.00	0.01	10.00	-9.99	80.0	-7.99
04/19/2017	QGV001944	MERRILL LYNCH PROF	QWEST CORP PFD 6.75 CPN QRTLY CALL B	-1,484,280.00	-0.01	-10.00	9.99	80.0	7.99 C
04/19/2017	QGV001944	MERRILL LYNCH PROF	QWEST CORP PFD 6.75 CPN QRTLY CALL B	1,484,280.00	600.00	10.00	590.00	80.0	472.00
04/20/2017	QGV001944	MERRILL LYNCH PROF	AMERICAN HOMES 4 RENT CUMULATIVE RE B	1,675,917.00	0.00	10.00	-10.00	80.0	-8.00
04/20/2017	QGV001944	MERRILL LYNCH PROF	AMERICAN HOMES 4 RENT CUMULATIVE RE B	-1,675,917.00	0.00	-10.00	10.00	80.0	8.00 C
04/20/2017	QGV001944	MERRILL LYNCH PROF	AMERICAN HOMES 4 RENT CUMULATIVE RE B	1,676,592.00	675.00	10.00	665.00	80.0	532.00
04/21/2017	QGV001944	MERRILL LYNCH PROF	FEDERAL NATL MTG ASSN PFD SER S DIV S	-89,700.00	130.00	10.00	120.00	80.0	96.00
04/21/2017	QGV001944	MERRILL LYNCH PROF	QWEST CORP PFD 6.75 CPN QRTLY CALL B	707,085.00	285.00	10.00	275.00	80.0	220.00
04/24/2017	QGV001944	MERRILL LYNCH PROF	FEDERAL NATL MTG ASSN PFD SER S DIV B	351,000.00	500.00	10.00	490.00	80.0	392.00
04/24/2017	QGV001944	MERRILL LYNCH PROF	QWEST CORP PFD 6.75 CPN QRTLY CALL B	123,950.00	50.00	10.00	40.00	80.0	32.00
04/25/2017	QGV001944	MERRILL LYNCH PROF	QWEST CORP PFD 6.75 CPN QRTLY CALL B	124,350.00	50.00	10.00	40.00	80.0	32.00
04/25/2017	QGV001944	MERRILL LYNCH PROF	WELLS FARGO & CO NEW DEP SHS REPSTG B	1,829,920.00	730.00	10.00	720.00	80.0	576.00
03/30/2017	QGV005713	MORGAN STANLEY	FEDERAL NATL MTG ASSN PFD SER T DIV B	667,000.00	1,000.00	10.00	990.00	80.0	792.00
03/30/2017	QGV005713	MORGAN STANLEY	R1 RCM INC COM S	-442,965.00	4,500.00	10.00	4,490.00	80.0	3,592.00
03/31/2017	QGV005713	MORGAN STANLEY	R1 RCM INC COM S	-305,920.00	3,000.00	10.00	2,990.00	80.0	2,392.00
04/03/2017	QGV005713	MORGAN STANLEY	FEDERAL NATL MTG ASSN PFD SER T DIV B	1,118,325.00	1,850.00	10.00	1,840.00	80.0	1,472.00
04/07/2017	QGV005713	MORGAN STANLEY	FEDERAL NATL MTG ASSN PFD SER T DIV S	-100,825.00	185.00	10.00	175.00	80.0	140.00
04/21/2017	QGV005713	MORGAN STANLEY	FEDERAL NATL MTG ASSN PFD SER T DIV B	87,100.00	130.00	10.00	120.00	80.0	96.00
04/24/2017	QGV005713	MORGAN STANLEY	FEDERAL NATL MTG ASSN PFD SER T DIV S	-353,750.00	500.00	10.00	490.00	80.0	392.00
04/05/2017	QGV006190	MCGREGOR CAPITAL LLC	BANCO SANTANDER BRAZIL SA ADR REPR S	-84,900.00	100.00	10.00	90.00	80.0	72.00
03/29/2017	QGV006216	MCGREGOR CAPITAL LLC	ADVANCED MICRO DEVICES INC B	344,035.00	100.00	10.00	90.00	80.0	72.00
03/29/2017	QGV006216	MCGREGOR CAPITAL LLC	ALLIQUA BIOMEDICAL INC COM NEW S	-21,380.00	100.00	10.00	90.00	80.0	72.00
03/29/2017	QGV006216	MCGREGOR CAPITAL LLC	ATHENE HLDG LTD CL A ISIN# BMG0684D B	985,736.00	200.00	10.00	190.00	80.0	152.00
03/29/2017	QGV006216	MCGREGOR CAPITAL LLC	BLUEPRINT MEDICINES CORP COM B	267,732.40	65.00	10.00	55.00	80.0	44.00
03/29/2017	QGV006216	MCGREGOR CAPITAL LLC	BLUEPRINT MEDICINES CORP COM S	-266,133.40	65.00	10.00	55.00	80.0	44.00
03/29/2017	QGV006216	MCGREGOR CAPITAL LLC	NUVEEN CR OPPORTUNITIES 2022 TRGT T S	-1,000,000.00	100.00	10.00	90.00	80.0	72.00
03/30/2017	QGV006216	MCGREGOR CAPITAL LLC	ADVANCED MICRO DEVICES INC S	-342,500.00	100.00	10.00	90.00	80.0	72.00

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/30/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	B	343,950.00	100.00	10.00	90.00	80.0	72.00
03/30/2017	QGV006216	MCGREGOR CAPITAL LLC CAMPING WORLD HLDGS INC CL A	S	-322,424.55	105.00	10.00	95.00	80.0	76.00
03/30/2017	QGV006216	MCGREGOR CAPITAL LLC CENOVUS ENERGY INC	S	-628,144.00	200.00	10.00	190.00	80.0	152.00
03/30/2017	QGV006216	MCGREGOR CAPITAL LLC CENOVUS ENERGY INC	S	-57,350.00	50.00	10.00	40.00	80.0	32.00
03/30/2017	QGV006216	MCGREGOR CAPITAL LLC CENOVUS ENERGY INC	B	647,229.00	550.00	10.00	540.00	80.0	432.00
03/30/2017	QGV006216	MCGREGOR CAPITAL LLC CENOVUS ENERGY INC	B	-647,229.00	-550.00	-10.00	-540.00	80.0	-432.00 C
03/30/2017	QGV006216	MCGREGOR CAPITAL LLC CENOVUS ENERGY INC	B	647,229.00	200.00	10.00	190.00	80.0	152.00
03/30/2017	QGV006216	MCGREGOR CAPITAL LLC OFS CAP CORP COM	S	-70,650.00	50.00	10.00	40.00	80.0	32.00
03/30/2017	QGV006216	MCGREGOR CAPITAL LLC OFS CAP CORP COM	B	70,050.00	50.00	10.00	40.00	80.0	32.00
03/30/2017	QGV006216	MCGREGOR CAPITAL LLC SUNSHINE HEART INC COM NEW	S	-16,965.10	95.00	10.00	85.00	80.0	68.00
03/30/2017	QGV006216	MCGREGOR CAPITAL LLC TSAKOS ENERGY NAVIGATION LTD PERP P S	S	-123,750.00	50.00	10.00	40.00	80.0	32.00
03/31/2017	QGV006216	MCGREGOR CAPITAL LLC CAMPING WORLD HLDGS INC CL A	S	-206,200.15	65.00	10.00	55.00	80.0	44.00
03/31/2017	QGV006216	MCGREGOR CAPITAL LLC CARA THERAPEUTICS INC COM	S	-72,016.00	40.00	10.00	30.00	80.0	24.00
03/31/2017	QGV006216	MCGREGOR CAPITAL LLC CYTOSORBENTS CORP COM NEW	S	-46,435.00	100.00	10.00	90.00	80.0	72.00
03/31/2017	QGV006216	MCGREGOR CAPITAL LLC E L F BEAUTY INC COM	S	-11,264.00	20.00	10.00	10.00	80.0	8.00
03/31/2017	QGV006216	MCGREGOR CAPITAL LLC SUNSHINE HEART INC COM NEW	S	-5,766.08	32.00	10.00	22.00	80.0	17.60
03/31/2017	QGV006216	MCGREGOR CAPITAL LLC TANDEM DIABETES CARE INC COM	S	-40,547.50	100.00	10.00	90.00	80.0	72.00
04/03/2017	QGV006216	MCGREGOR CAPITAL LLC TANDEM DIABETES CARE INC COM	S	-4,250.00	34.00	10.00	24.00	80.0	19.20
04/04/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	B	352,500.00	100.00	10.00	90.00	80.0	72.00
04/05/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	S	-1,087,500.00	150.00	10.00	140.00	80.0	112.00
04/05/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	B	721,250.00	150.00	10.00	140.00	80.0	112.00
04/05/2017	QGV006216	MCGREGOR CAPITAL LLC BANCO SANTANDER BRAZIL SA ADR REPR	S	-339,600.00	100.00	10.00	90.00	80.0	72.00
04/05/2017	QGV006216	MCGREGOR CAPITAL LLC HESS MIDSTREAM PARTNERS LP UNIT LTD S	S	-119,850.00	47.00	10.00	37.00	80.0	29.60
04/06/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	B	330,625.00	100.00	10.00	90.00	80.0	72.00
04/06/2017	QGV006216	MCGREGOR CAPITAL LLC BANCO SANTANDER BRAZIL SA ADR REPR	B	327,600.00	200.00	10.00	190.00	80.0	152.00
04/06/2017	QGV006216	MCGREGOR CAPITAL LLC ELEVATE CR INC COM	S	-9,300.96	20.00	10.00	10.00	80.0	8.00
04/06/2017	QGV006216	MCGREGOR CAPITAL LLC EVERBRIDGE INC COM	S	-41,919.20	20.00	10.00	10.00	80.0	8.00
04/06/2017	QGV006216	MCGREGOR CAPITAL LLC SCHNEIDER NATL INC WIS CL B	S	-5,850.00	20.00	10.00	10.00	80.0	8.00
04/06/2017	QGV006216	MCGREGOR CAPITAL LLC SUNSHINE HEART INC COM NEW	B	25,899.11	127.00	10.00	117.00	80.0	93.60
04/07/2017	QGV006216	MCGREGOR CAPITAL LLC C&J ENERGY SVCS INC NEW COM	S	-10,095.00	20.00	10.00	10.00	80.0	8.00
04/07/2017	QGV006216	MCGREGOR CAPITAL LLC CODEXIS INC COM	S	-4,000.00	20.00	10.00	10.00	80.0	8.00
04/07/2017	QGV006216	MCGREGOR CAPITAL LLC VEON LTD ADS	S	-11,490.00	30.00	10.00	20.00	80.0	16.00
04/10/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	B	662,625.00	100.00	10.00	90.00	80.0	72.00
04/10/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	S	-660,130.00	100.00	10.00	90.00	80.0	72.00
04/10/2017	QGV006216	MCGREGOR CAPITAL LLC AEHR TEST SYS COM	B	21,061.99	53.16	10.00	43.16	80.0	34.53
04/10/2017	QGV006216	MCGREGOR CAPITAL LLC AEHR TEST SYS COM	S	-21,573.39	53.16	10.00	43.16	80.0	34.53
04/10/2017	QGV006216	MCGREGOR CAPITAL LLC HOSTESS BRANDS INC CL A	S	-309,396.00	200.00	10.00	190.00	80.0	152.00
04/11/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	B	322,852.50	150.00	10.00	140.00	80.0	112.00
04/11/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	S	-327,687.50	150.00	10.00	140.00	80.0	112.00
04/11/2017	QGV006216	MCGREGOR CAPITAL LLC AZUL S A SPONSORED ADR REPSTG PFD I S	S	-4,400.00	20.00	10.00	10.00	80.0	8.00

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/11/2017	QGV006216	MCGREGOR CAPITAL LLC CAMPING WORLD HLDGS INC CL A	S	-72,570.00	25.00	10.00	15.00	80.0	12.00
04/11/2017	QGV006216	MCGREGOR CAPITAL LLC CYTORI THERAPEUTICS INC COM NEW	S	-378,985.50	500.00	10.00	490.00	80.0	392.00
04/11/2017	QGV006216	MCGREGOR CAPITAL LLC HOSTESS BRANDS INC CL A	S	-114,419.25	75.00	10.00	65.00	80.0	52.00
04/11/2017	QGV006216	MCGREGOR CAPITAL LLC STEWARDSHIP FINL CORP COM	S	-42,125.00	50.00	10.00	40.00	80.0	32.00
04/11/2017	QGV006216	MCGREGOR CAPITAL LLC VANTAGE ENERGY ACQUISITION CORP UNI S	S	-4,040.00	20.00	10.00	10.00	80.0	8.00
04/12/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	S	-321,252.50	100.00	10.00	90.00	80.0	72.00
04/12/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	B	321,500.00	100.00	10.00	90.00	80.0	72.00
04/12/2017	QGV006216	MCGREGOR CAPITAL LLC COUPA SOFTWARE INCORPORATED COM	S	-13,500.00	20.00	10.00	10.00	80.0	8.00
04/12/2017	QGV006216	MCGREGOR CAPITAL LLC HOSTESS BRANDS INC CL A	S	-38,981.50	25.00	10.00	15.00	80.0	12.00
04/12/2017	QGV006216	MCGREGOR CAPITAL LLC NUSTAR ENERGY L P COM UNITS REPSTG S	S	-237,096.09	49.50	10.00	39.50	80.0	31.60
04/12/2017	QGV006216	MCGREGOR CAPITAL LLC NUSTAR ENERGY L P COM UNITS REPSTG B	B	200,500.20	43.50	10.00	33.50	80.0	26.80
04/12/2017	QGV006216	MCGREGOR CAPITAL LLC WAVE LIFE SCIENCES LTD SHS ISIN#SG9 S	S	-652,500.80	150.00	10.00	140.00	80.0	112.00
04/12/2017	QGV006216	MCGREGOR CAPITAL LLC WAVE LIFE SCIENCES LTD SHS ISIN#SG9 B	B	70,500.00	30.00	10.00	20.00	80.0	16.00
04/13/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	B	497,252.00	200.00	10.00	190.00	80.0	152.00
04/13/2017	QGV006216	MCGREGOR CAPITAL LLC AEHR TEST SYS COM	S	-223,405.00	250.00	10.00	240.00	80.0	192.00
04/13/2017	QGV006216	MCGREGOR CAPITAL LLC CADENCE BANCORPORATION CL A	S	-2,205.00	20.00	10.00	10.00	80.0	8.00
04/13/2017	QGV006216	MCGREGOR CAPITAL LLC CAMPING WORLD HLDGS INC CL A	B	566,395.05	195.00	10.00	185.00	80.0	148.00
04/13/2017	QGV006216	MCGREGOR CAPITAL LLC SORRENTO THERAPEUTICS INC COM NEW	B	2,405.00	20.00	10.00	10.00	80.0	8.00
04/13/2017	QGV006216	MCGREGOR CAPITAL LLC SORRENTO THERAPEUTICS INC COM NEW	S	-94,658.22	250.00	10.00	240.00	80.0	192.00
04/13/2017	QGV006216	MCGREGOR CAPITAL LLC TOCAGEN INC COM	S	-219,720.90	210.00	10.00	200.00	80.0	160.00
04/13/2017	QGV006216	MCGREGOR CAPITAL LLC WARRIOR MET COAL INC COM	S	-8,750.00	20.00	10.00	10.00	80.0	8.00
04/13/2017	QGV006216	MCGREGOR CAPITAL LLC YEXT INC COM	S	-56,000.00	40.00	10.00	30.00	80.0	24.00
04/17/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	B	625,970.00	100.00	10.00	90.00	80.0	72.00
04/17/2017	QGV006216	MCGREGOR CAPITAL LLC AFFIMED N V COM ISIN#NL0010872420	S	-24,015.00	100.00	10.00	90.00	80.0	72.00
04/18/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	S	-896,000.00	250.00	10.00	240.00	80.0	192.00
04/18/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	B	1,086,266.00	250.00	10.00	240.00	80.0	192.00
04/18/2017	QGV006216	MCGREGOR CAPITAL LLC ARENA PHARMACEUTICALS INC	S	-31,250.00	200.00	10.00	190.00	80.0	152.00
04/18/2017	QGV006216	MCGREGOR CAPITAL LLC ARENA PHARMACEUTICALS INC	S	31,250.00	-200.00	-10.00	-190.00	80.0	-152.00 C
04/18/2017	QGV006216	MCGREGOR CAPITAL LLC ARENA PHARMACEUTICALS INC	S	-65,000.00	200.00	10.00	190.00	80.0	152.00
04/18/2017	QGV006216	MCGREGOR CAPITAL LLC UNITI GROUP INC COMMON STOCK	S	-200,124.75	75.00	10.00	65.00	80.0	52.00
04/19/2017	QGV006216	MCGREGOR CAPITAL LLC AGIOS PHARMACEUTICALS INC	B	625,162.50	125.00	10.00	115.00	80.0	92.00
04/19/2017	QGV006216	MCGREGOR CAPITAL LLC AGIOS PHARMACEUTICALS INC	S	-444,133.02	89.84	10.00	79.84	80.0	63.87
04/19/2017	QGV006216	MCGREGOR CAPITAL LLC AUDENTES THERAPEUTICS INC COM	S	-15,050.00	20.00	10.00	10.00	80.0	8.00
04/19/2017	QGV006216	MCGREGOR CAPITAL LLC DIANA SHIPPING INC COM	S	-180,825.00	375.00	10.00	365.00	80.0	292.00
04/19/2017	QGV006216	MCGREGOR CAPITAL LLC SUNSHINE HEART INC COM NEW	S	-3,199.00	35.00	10.00	25.00	80.0	20.00
04/19/2017	QGV006216	MCGREGOR CAPITAL LLC UNITI GROUP INC COMMON STOCK	S	-271,452.00	100.00	10.00	90.00	80.0	72.00
04/20/2017	QGV006216	MCGREGOR CAPITAL LLC AGIOS PHARMACEUTICALS INC	S	-370,137.45	75.16	10.00	65.16	80.0	52.13
04/20/2017	QGV006216	MCGREGOR CAPITAL LLC AGIOS PHARMACEUTICALS INC	B	48,350.00	20.00	10.00	10.00	80.0	8.00
04/20/2017	QGV006216	MCGREGOR CAPITAL LLC DIANA SHIPPING INC COM	B	159,832.50	375.00	10.00	365.00	80.0	292.00
04/20/2017	QGV006216	MCGREGOR CAPITAL LLC UNITI GROUP INC COMMON STOCK	B	474,682.25	175.00	10.00	165.00	80.0	132.00

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/20/2017	QGV006216	MCGREGOR CAPITAL LLC WHITESTONE REIT CL B SHS BEN INT IS B		90,300.00	70.00	10.00	60.00	80.0	48.00
04/20/2017	QGV006216	MCGREGOR CAPITAL LLC WHITESTONE REIT CL B SHS BEN INT IS S		-130,754.00	100.00	10.00	90.00	80.0	72.00
04/21/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	B	649,750.00	150.00	10.00	140.00	80.0	112.00
04/21/2017	QGV006216	MCGREGOR CAPITAL LLC GRAMERCY PPTY TR COM NEW	S	-84,472.80	30.00	10.00	20.00	80.0	16.00
04/21/2017	QGV006216	MCGREGOR CAPITAL LLC ONCONOVA THERAPEUTICS INC COM NEW	S	-109,475.00	250.00	10.00	240.00	80.0	192.00
04/21/2017	QGV006216	MCGREGOR CAPITAL LLC SELECT ENERGY SVCS INC CL A COM	S	-172,318.94	118.00	10.00	108.00	80.0	86.40
04/24/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	B	983,752.50	250.00	10.00	240.00	80.0	192.00
04/24/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	S	-990,000.00	250.00	10.00	240.00	80.0	192.00
04/24/2017	QGV006216	MCGREGOR CAPITAL LLC AGIOS PHARMACEUTICALS INC	B	47,650.00	20.00	10.00	10.00	80.0	8.00
04/24/2017	QGV006216	MCGREGOR CAPITAL LLC TRUECAR INC COM	S	-117,061.50	75.00	10.00	65.00	80.0	52.00
04/25/2017	QGV006216	MCGREGOR CAPITAL LLC AGIOS PHARMACEUTICALS INC	S	-147,000.00	30.00	10.00	20.00	80.0	16.00
04/25/2017	QGV006216	MCGREGOR CAPITAL LLC TRUECAR INC COM	S	-162,744.00	100.00	10.00	90.00	80.0	72.00
03/29/2017	QGV006224	DAVIS FAMILY OFFICE, ALLIQUA BIOMEDICAL INC COM NEW	S	-8,552.00	100.00	10.00	90.00	80.0	72.00
03/30/2017	QGV006224	DAVIS FAMILY OFFICE, TSAKOS ENERGY NAVIGATION LTD PERP P S		-123,750.00	50.00	10.00	40.00	80.0	32.00
03/31/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	1,080,735.00	250.00	10.00	240.00	80.0	192.00
03/31/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-1,440,000.00	250.00	10.00	240.00	80.0	192.00
03/31/2017	QGV006224	DAVIS FAMILY OFFICE, CARA THERAPEUTICS INC COM	S	-32,407.20	20.00	10.00	10.00	80.0	8.00
03/31/2017	QGV006224	DAVIS FAMILY OFFICE, CYTOSORBENTS CORP COM NEW	S	-6,965.25	20.00	10.00	10.00	80.0	8.00
03/31/2017	QGV006224	DAVIS FAMILY OFFICE, E L F BEAUTY INC COM	S	-5,632.00	20.00	10.00	10.00	80.0	8.00
04/04/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	352,500.00	100.00	10.00	90.00	80.0	72.00
04/05/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-362,500.00	150.00	10.00	140.00	80.0	112.00
04/05/2017	QGV006224	DAVIS FAMILY OFFICE, HESS MIDSTREAM PARTNERS LP UNIT LTD S		-25,500.00	20.00	10.00	10.00	80.0	8.00
04/06/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	330,625.00	100.00	10.00	90.00	80.0	72.00
04/06/2017	QGV006224	DAVIS FAMILY OFFICE, EVERBRIDGE INC COM	S	-4,715.91	20.00	10.00	10.00	80.0	8.00
04/06/2017	QGV006224	DAVIS FAMILY OFFICE, EVERBRIDGE INC COM	S	4,715.91	-20.00	-10.00	-10.00	80.0	-8.00 C
04/06/2017	QGV006224	DAVIS FAMILY OFFICE, EVERBRIDGE INC COM	S	-3,143.94	20.00	10.00	10.00	80.0	8.00
04/06/2017	QGV006224	DAVIS FAMILY OFFICE, EVERBRIDGE INC COM	S	-1,571.97	20.00	10.00	10.00	80.0	8.00
04/06/2017	QGV006224	DAVIS FAMILY OFFICE, SCHNEIDER NATL INC WIS CL B	S	-21,937.50	20.00	10.00	10.00	80.0	8.00
04/06/2017	QGV006224	DAVIS FAMILY OFFICE, SCHNEIDER NATL INC WIS CL B	S	-3,900.00	20.00	10.00	10.00	80.0	8.00
04/07/2017	QGV006224	DAVIS FAMILY OFFICE, C&J ENERGY SVCS INC NEW COM	S	-10,095.00	20.00	10.00	10.00	80.0	8.00
04/07/2017	QGV006224	DAVIS FAMILY OFFICE, FORUM MERGER CORP UNIT 1 COM & 1/2	S	-10,000.00	20.00	10.00	10.00	80.0	8.00
04/10/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-660,130.00	100.00	10.00	90.00	80.0	72.00
04/10/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	662,625.00	100.00	10.00	90.00	80.0	72.00
04/11/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	968,557.50	150.00	10.00	140.00	80.0	112.00
04/11/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-983,062.50	150.00	10.00	140.00	80.0	112.00
04/12/2017	QGV006224	DAVIS FAMILY OFFICE, NUSTAR ENERGY L P COM UNITS REPSTG	S	-81,426.94	20.00	10.00	10.00	80.0	8.00
04/12/2017	QGV006224	DAVIS FAMILY OFFICE, NUSTAR ENERGY L P COM UNITS REPSTG	S	-143,694.60	30.00	10.00	20.00	80.0	16.00
04/13/2017	QGV006224	DAVIS FAMILY OFFICE, SORRENTO THERAPEUTICS INC COM NEW	S	-36,032.45	100.00	10.00	90.00	80.0	72.00
04/13/2017	QGV006224	DAVIS FAMILY OFFICE, WARRIOR MET COAL INC COM	S	-50,750.00	29.00	10.00	19.00	80.0	15.20
04/17/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	688,567.00	100.00	10.00	90.00	80.0	72.00

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/17/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-695,750.00	100.00	10.00	90.00	80.0	72.00
04/19/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-780,498.00	250.00	10.00	240.00	80.0	192.00
04/19/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	778,710.00	250.00	10.00	240.00	80.0	192.00
04/19/2017	QGV006224	DAVIS FAMILY OFFICE, SUNSHINE HEART INC COM NEW	S	-4,570.00	50.00	10.00	40.00	80.0	32.00
04/20/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-647,500.00	100.00	10.00	90.00	80.0	72.00
04/20/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	647,445.00	100.00	10.00	90.00	80.0	72.00
04/20/2017	QGV006224	DAVIS FAMILY OFFICE, EAGLE PT CR CO LLC COM	S	-195,000.00	100.00	10.00	90.00	80.0	72.00
04/21/2017	QGV006224	DAVIS FAMILY OFFICE, GRAMERCY PPTY TR COM NEW	S	-28,157.60	20.00	10.00	10.00	80.0	8.00
04/21/2017	QGV006224	DAVIS FAMILY OFFICE, PLATINUM GROUP METALS LTD	S	-32,000.00	100.00	10.00	90.00	80.0	72.00
04/21/2017	QGV006224	DAVIS FAMILY OFFICE, SELECT ENERGY SVCS INC CL A COM	S	-2,920.66	20.00	10.00	10.00	80.0	8.00
04/25/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	1,010,002.50	150.00	10.00	140.00	80.0	112.00
04/25/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-1,000,747.50	150.00	10.00	140.00	80.0	112.00
04/11/2017	QGV006257	MCGREGOR CAPITAL LLC AZUL S A SPONSORED ADR REPSTG PFD I S	S	-2,200.00	20.00	10.00	10.00	80.0	8.00
04/11/2017	QGV006257	MCGREGOR CAPITAL LLC STEWARDSHIP FINL CORP COM	S	-8,425.00	20.00	10.00	10.00	80.0	8.00
04/11/2017	QGV006257	MCGREGOR CAPITAL LLC VANTAGE ENERGY ACQUISITION CORP UNI S	S	-20,200.00	20.00	10.00	10.00	80.0	8.00
04/11/2017	QGV006257	MCGREGOR CAPITAL LLC VANTAGE ENERGY ACQUISITION CORP UNI S	S	-5,050.00	20.00	10.00	10.00	80.0	8.00
03/31/2017	QGW001356	ROTARY PARTNERS LLC PROVISION HLDG INC COM	B	7,187.60	175.00	10.00	165.00	80.0	132.00
03/31/2017	QGW001356	ROTARY PARTNERS LLC STRATASYS LTD	S	-40,407.00	120.00	10.00	110.00	80.0	88.00
04/20/2017	QGW014847	ALBANESE, JOHN MACYS INC	S	-75,184.00	150.00	10.00	140.00	80.0	112.00
04/05/2017	QGW014888	RINGER, DENNIS AUXILIO INC COM NEW	S	-3,000.00	75.00	10.00	65.00	80.0	52.00
04/07/2017	QGW024606	GOLD, ALAN C&J ENERGY SVCS INC NEW COM	B	16,850.00	125.00	10.00	115.00	80.0	92.00
04/07/2017	QGW024614	GOLD, SUSAN C&J ENERGY SVCS INC NEW COM	B	16,850.00	125.00	10.00	115.00	80.0	92.00
04/24/2017	QGW031338	BRAND, MATTHEW HOMEFED CORP COM PAR \$.01	S	-5,450.00	75.00	10.00	65.00	80.0	52.00
03/30/2017	QGW031650	FIBER TECHNOLOGY FTE NETWORKS INC COM PAR\$.001	S	-10,076.00	490.00	10.00	480.00	80.0	384.00
03/31/2017	QGW031650	FIBER TECHNOLOGY FTE NETWORKS INC COM PAR\$.001	S	-2,543.20	125.00	10.00	115.00	80.0	92.00
04/03/2017	QGW031650	FIBER TECHNOLOGY FTE NETWORKS INC COM PAR\$.001	S	-3,995.00	190.00	10.00	180.00	80.0	144.00
04/04/2017	QGW031650	FIBER TECHNOLOGY FTE NETWORKS INC COM PAR\$.001	S	-26,212.28	1,275.00	10.00	1,265.00	80.0	1,012.00
04/17/2017	QGW034068	CCT UNDERGROUND LLC FTE NETWORKS INC COM PAR\$.001	S	-62,357.25	2,900.00	10.00	2,890.00	80.0	2,312.00
04/25/2017	QGW036352	LAWRENCE ADAMS LTD BANK OF AMERICA CORP	B	362,698.50	150.00	10.00	140.00	80.0	112.00
* STOCKS SUBTOTAL *				\$4,442,799.71	\$37,421.32	\$1,700.00	\$35,721.32		\$28,577.06
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/19/2017	QGW014870	GOLD, ALAN GOLDMAN SACHS SHORT DURATION TAX FR B		0.00	4.85	0.00	4.85	80.0	3.88
04/20/2017	QGW014870	GOLD, ALAN NUVEEN LIMITED TERM MUNI BOND CLASS B		0.00	5.33	0.00	5.33	80.0	4.26
04/21/2017	QGW024606	GOLD, ALAN PIMCO COMMODITY REAL RETURN STRATEG B		0.00	3.97	0.00	3.97	80.0	3.18
04/21/2017	QGW024614	GOLD, SUSAN PIMCO COMMODITY REAL RETURN STRATEG B		0.00	2.28	0.00	2.28	80.0	1.82
04/13/2017	QGW024622	GOLD, ALAN COLUMBIA SHORT TERM BOND FUND CLASS B		0.00	29.23	0.00	29.23	80.0	23.38
04/21/2017	QGW024622	GOLD, ALAN PIMCO COMMODITY REAL RETURN STRATEG B		0.00	1.70	0.00	1.70	80.0	1.36
04/13/2017	QGW024630	GOLD, SUSAN COLUMBIA SHORT TERM BOND FUND CLASS B		0.00	14.44	0.00	14.44	80.0	11.55
04/21/2017	QGW024630	GOLD, SUSAN PIMCO COMMODITY REAL RETURN STRATEG B		0.00	1.70	0.00	1.70	80.0	1.36

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$63.50	\$0.00	\$63.50	\$50.79
*** BROKER TRANSACTIONS TOTAL ***	\$4,442,799.71	\$37,484.82	\$1,700.00	\$35,784.82	\$28,627.85

ADJUSTMENTS

04/30/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
04/30/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-5.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-25.00

BROKER COMMISSION TOTALS

Broker Transactions	\$28,627.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-46.86
SIPC Assessment	\$-702.84

Check Amount	\$27,853.15
Year-to-date Earnings	\$78,926.30