

COMMISSION STATEMENT July 31, 2018

JOY LAWRENCE-D198

BROKER #: D198

BRANCH: DMA

, TX

COMMISSION STATEMENT for JOY LAWRENCE-D198

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/17/2018	153274741	BEAN, MITCHELL	MF	GROWTH PORTFOLIO-C	S	-5,544.91	0.00	5.00	-5.00	0.0	0.00
07/25/2018	214776560	DUNLAP, TERRY	MF	AMERICAN BALANCED FUND-A	S	100.00	4.88	2.50	2.38	0.0	0.00
07/13/2018	368371758	SMITH, BARBARA	MF	BALANCED PORTFOLIO-C	B	-7,000.00	0.00	5.00	-5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *						\$-12,444.91	\$4.88	\$12.50	\$-7.62		\$0.00

PRODUCT CATEGORY: MISCELLANEOUS

07/16/2018	a03974464	LAWRENCE-D198, JOY	MI	MUTUAL FUND MISC FEE 368371758	S	0.00	5.00	0.00	5.00	0.0	0.00
07/18/2018	a03974464	LAWRENCE-D198, JOY	MI	MUTUAL FUND MISC FEE 153274741	S	0.00	15.00	0.00	15.00	0.0	0.00
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$20.00	\$0.00	\$20.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	AIM SHORT TERM BOND FUND CLASS	B	0.00	1.37	0.00	1.37	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	9.11	0.00	9.11	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	ALLIANCEBERNSTEIN GROWTH AND	B	0.00	3.47	0.00	3.47	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	ALLIANCEBERNSTEIN SMALL CAP	B	0.00	2.82	0.00	2.82	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	AMCAP FUND-A	B	0.00	3.39	0.00	3.39	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	AMCAP FUND-A	B	0.00	4.05	0.00	4.05	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.00	0.00	1.00	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.62	0.00	1.62	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	AMERICAN BALANCED FUND-A	B	0.00	3.19	0.00	3.19	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	AMERICAN BALANCED FUND-A	B	0.00	3.61	0.00	3.61	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	BALANCED PORTFOLIO-C	B	0.00	1.91	0.00	1.91	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	BALANCED PORTFOLIO-C	B	0.00	7.01	0.00	7.01	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	BALANCED PORTFOLIO-C	B	0.00	11.92	0.00	11.92	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	BALANCED PORTFOLIO-C	B	0.00	33.69	0.00	33.69	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	BALANCED PORTFOLIO-C	B	0.00	38.26	0.00	38.26	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	BALANCED PORTFOLIO-C	B	0.00	42.75	0.00	42.75	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	BALANCED PORTFOLIO-C	B	0.00	64.83	0.00	64.83	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	BLK 091928846	B	0.00	6.07	0.00	6.07	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.03	0.00	1.03	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.29	0.00	1.29	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464	MFT	CAPITAL INCOME BUILDER-A	B	0.00	3.40	0.00	3.40	0.0	0.00

COMMISSION STATEMENT for JOY LAWRENCE-D198

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT CAPITAL INCOME BUILDER-A	B	0.00	3.48	0.00	3.48	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.97	0.00	1.97	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT CAPITAL WORLD BOND FUND-A	B	0.00	2.11	0.00	2.11	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.98	0.00	1.98	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.71	0.00	2.71	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT EHV 277902813	B	0.00	4.31	0.00	4.31	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.85	0.00	0.85	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT FEDERATED KAUFMANN SMALL CAP FD	B	0.00	2.01	0.00	2.01	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT FEDERATED KAUFMANN FUND	B	0.00	2.26	0.00	2.26	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT FEDERATED EQUITY INCOME FUND	B	0.00	2.23	0.00	2.23	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	1.98	0.00	1.98	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	2.63	0.00	2.63	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	2.21	0.00	2.21	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.44	0.00	3.44	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT GROWTH AND INCOME PORTFOLIO-C	B	0.00	16.73	0.00	16.73	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT GROWTH AND INCOME PORTFOLIO-C	B	0.00	17.18	0.00	17.18	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT GROWTH AND INCOME PORTFOLIO-C	B	0.00	23.89	0.00	23.89	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.66	0.00	2.66	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT GROWTH PORTFOLIO-C	B	0.00	4.52	0.00	4.52	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT HARTFORD GLOBAL CAP	B	0.00	2.38	0.00	2.38	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT INVESCO US GOVT FD INV CL	B	0.00	3.96	0.00	3.96	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT INVESCO WORLD BOND FD A	B	0.00	2.43	0.00	2.43	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT NEW PERSPECTIVE FUND-A	B	0.00	1.88	0.00	1.88	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT NEW PERSPECTIVE FUND-A	B	0.00	7.59	0.00	7.59	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT PIMCO ALL ASSET A	B	0.00	0.69	0.00	0.69	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM 746444504	B	0.00	5.37	0.00	5.37	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM 746444108	B	0.00	2.34	0.00	2.34	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM 746444108	B	0.00	2.48	0.00	2.48	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM 746802693	B	0.00	0.46	0.00	0.46	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM 746745108	B	0.00	2.11	0.00	2.11	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM 74677Q109	B	0.00	1.25	0.00	1.25	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM ASSET ALLOCATION	B	0.00	3.92	0.00	3.92	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM DIVERSIFIED INCOME TRUST	B	0.00	1.28	0.00	1.28	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM GLOBAL EQUITY FUND CLASS	B	0.00	1.93	0.00	1.93	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.97	0.00	0.97	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT SMALLCAP WORLD FUND-A	B	0.00	1.21	0.00	1.21	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT SMALLCAP WORLD FUND-A	B	0.00	1.35	0.00	1.35	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.92	0.00	1.92	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.79	0.00	2.79	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.41	0.00	3.41	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.42	0.00	2.42	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.54	0.00	2.54	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT THE INCOME FUND OF AMERICA-A	B	0.00	4.33	0.00	4.33	0.0	0.00
07/23/2018	mfc974464	LAWRENCE JOY 974464 MFT THE INCOME FUND OF AMERICA-F1	B	0.00	2.26	0.00	2.26	0.0	0.00

COMMISSION STATEMENT for JOY LAWRENCE-D198

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/23/2018	mfc974464 LAWRENCE JOY 974464	MFT THE INVESTMENT COMPANY OF	B	0.00	2.37	0.00	2.37	0.0	0.00
07/23/2018	mfc974464 LAWRENCE JOY 974464	MFT TRANSAMERICA ASSET ALLOC	B	0.00	1.99	0.00	1.99	0.0	0.00
07/23/2018	mfc974464 LAWRENCE JOY 974464	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.19	0.00	7.19	0.0	0.00
07/23/2018	mfc974464 LAWRENCE JOY 974464	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.33	0.00	2.33	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$422.09	\$0.00	\$422.09		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$-12,444.91	\$446.97	\$12.50	\$434.47		\$0.00

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/16/2018	00483478258 SCHRAUBEN, DIANA	MF PUTNAM 746802800	B	100.00	5.00	0.00	5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$100.00	\$5.00	\$0.00	\$5.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018	(None) VARIOUS, JOY RIX	MFT HARTFORD MUTUAL FUIND	B	0.00	22.24	0.00	22.24	0.0	0.00
07/09/2018	00089409077 KNIGHT, HEATHER	MFT DEUTSCHE GNMA FUND-A	B	0.00	3.67	0.00	3.67	0.0	0.00
07/09/2018	00089409077 KNIGHT, HEATHER	MFT DEUTSCHE HIGH INCOME FUND-A	B	0.00	6.77	0.00	6.77	0.0	0.00
07/09/2018	00133424003 BECKER, DENISE	MFT DEUTSCHE MULTI-ASSET MODERATE	B	0.00	0.64	0.00	0.64	0.0	0.00
07/09/2018	04700260305 AMESSE, MICHELLE	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	4.41	0.00	4.41	0.0	0.00
07/09/2018	04785240742 BEARD, KATHRYN	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.70	0.00	0.70	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$38.43	\$0.00	\$38.43		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***				\$100.00	\$43.43	\$0.00	\$43.43		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00