

# STATEMENT

## January 20, 2018

STEVE DAVENPORT  
204-B E Washington St  
SUITE 620  
Glasgow, KY 42141

BROKER #: 051  
BRANCH:

### COMMISSION STATEMENT for STEVE DAVENPORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
11/29/2017 #	DAVENPORT, JAMES	DEERE & CO	148,250.00	50.00	90.0	0.00	45.00	18.00	27.00
11/29/2017 #	UST, THE PERKINS FAM	PAYPAL HOLDINGS INC COM	36,848.50	50.00	90.0	0.00	45.00	18.00	27.00
11/30/2017 #	DAVENPORT, JAMES	RH	100,500.00	50.00	90.0	0.00	45.00	18.00	27.00
12/04/2017 #	BRUTON, WENDELL	ALIBABA GROUP HOLDING LTD SPONSORED	51,050.76	50.00	90.0	0.00	45.00	18.00	27.00
12/06/2017 #	UST, THE PERKINS FAM	UNITED STATES STL CORP NEW	95,977.00	400.00	90.0	0.00	360.00	18.00	342.00
12/07/2017 #	DAVENPORT, JAMES	RH	106,000.00	50.00	90.0	0.00	45.00	18.00	27.00
12/07/2017 #	BASIL, DANNY	UNITED STATES STL CORP NEW	15,995.56	50.00	90.0	0.00	45.00	18.00	27.00
12/07/2017 #	DAVENPORT, JAMES	VALEANT PHARMACEUTICALS INTERNATIONAL	87,250.00	50.00	90.0	0.00	45.00	18.00	27.00
12/08/2017 #	BRUTON, WENDELL	CELGENE CORP	31,740.54	50.00	90.0	0.00	45.00	18.00	27.00
12/08/2017 #	DAVENPORT, JAMES	RH	108,200.00	50.00	90.0	0.00	45.00	18.00	27.00
12/08/2017 #	DAVENPORT, JAMES	RH	107,000.00	50.00	90.0	0.00	45.00	18.00	27.00
12/11/2017 #	DAVENPORT, JAMES	UNITED STATES STL CORP NEW	134,158.50	53.96	90.0	0.00	48.56	18.00	30.56
12/12/2017 #	BAILEY, JAMES	AT&T INC	11,220.00	75.00	90.0	0.00	67.50	18.00	49.50
12/13/2017 #	BASIL, DANNY	TWITTER INC	21,642.60	20.00	90.0	0.00	18.00	18.00	0.00
12/13/2017 #	SIMPSON, TOMMY	VALEANT PHARMACEUTICALS INTERNATIONAL	132,197.40	20.00	90.0	0.00	18.00	18.00	0.00
12/15/2017 #	BRUTON, WENDELL	SANDERSON FARMS INC	43,215.00	50.00	90.0	0.00	45.00	18.00	27.00
12/15/2017 #	DAVENPORT, JAMES	UNITED STATES STL CORP NEW	128,000.00	50.00	90.0	0.00	45.00	18.00	27.00
12/18/2017 #	UST, THE PERKINS FAM	FACEBOOK INC	54,314.97	50.00	90.0	0.00	45.00	18.00	27.00
12/18/2017 #	JEWELL, LARRY	PORTER BANCORP INC COM NEW	15,000.00	75.00	90.0	0.00	67.50	18.00	49.50
12/19/2017 #	DAVENPORT, JAMES	DEERE & CO	154,600.00	50.00	90.0	0.00	45.00	18.00	27.00
12/19/2017 #	DAVENPORT, JAMES	RH	102,500.00	50.00	90.0	0.00	45.00	18.00	27.00
12/22/2017 #	DAVENPORT, JAMES	RH	100,000.00	50.00	90.0	0.00	45.00	18.00	27.00
12/26/2017 #	DAVENPORT, JAMES	SAGE THERAPEUTICS INC	83,750.00	50.00	90.0	0.00	45.00	18.00	27.00
12/27/2017 #	DAVENPORT, JAMES	DEERE & CO	158,750.00	50.00	90.0	0.00	45.00	18.00	27.00
12/27/2017 #	BASIL, DANNY	DEERE & CO	62,500.00	525.00	90.0	0.00	472.50	18.00	454.50
12/27/2017 #	BASIL, DANNY	DEERE & CO	-62,500.00	-525.00	90.0	0.00	-472.50	18.00	-490.50
12/27/2017 #	BASIL, DANNY	DEERE & CO	62,500.00	40.00	90.0	0.00	36.00	18.00	18.00
12/27/2017 #	BASIL, DANNY	DEERE & CO	78,750.00	525.00	90.0	0.00	472.50	18.00	454.50

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
12/27/2017 #	BASIL, DANNY	DEERE & CO	-78,750.00	-525.00	90.0	0.00	-472.50	18.00	-490.50
12/27/2017 #	BASIL, DANNY	DEERE & CO	78,750.00	40.00	90.0	0.00	36.00	18.00	18.00
12/27/2017 #	DAVENPORT, JAMES	SAGE THERAPEUTICS INC	82,500.00	50.00	90.0	0.00	45.00	18.00	27.00
<b>* STOCKS SUBTOTAL *</b>			<b>\$2,251,910.83</b>	<b>\$1,673.96</b>		<b>\$0.00</b>	<b>\$1,506.56</b>	<b>\$558.00</b>	<b>\$948.56</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
12/04/2017 #	SIMPSON, TOMMY	FEDERATED RETAIL HLDGS INC	102,025.00	25.00	90.0	0.00	22.50	25.00	-2.50
12/04/2017 #	SIMPSON, TOMMY	TRANSOCEAN OFFSHORE INC NOTE	91,575.00	25.00	90.0	0.00	22.50	25.00	-2.50
<b>* BONDS SUBTOTAL *</b>			<b>\$193,600.00</b>	<b>\$50.00</b>		<b>\$0.00</b>	<b>\$45.00</b>	<b>\$50.00</b>	<b>\$-5.00</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
11/30/2017 #	UST, THE PERKINS FAM	CALL PYPL 12/15/17 78	750.00	50.00	90.0	0.00	45.00	30.50	14.50
11/30/2017 #	DAVENPORT, JAMES	PUT RH 12/08/17 107	1,000.00	50.00	90.0	0.00	45.00	30.50	14.50
12/05/2017 #	DAVENPORT, JAMES	PUT RH 12/08/17 98	3,000.00	50.00	90.0	0.00	45.00	30.50	14.50
12/06/2017 #	DAVENPORT, JAMES	PUT RH 12/08/17 98	500.00	50.00	90.0	0.00	45.00	30.50	14.50
12/08/2017 #	DAVENPORT, JAMES	CALL VRX 01/19/18 16	17,986.00	75.00	90.0	0.00	67.50	80.50	-13.00
12/08/2017 #	DAVENPORT, JAMES	PUT RH 12/08/17 107	1,000.00	50.00	90.0	0.00	45.00	30.50	14.50
12/11/2017 #	UST, THE PERKINS FAM	PUT DE 12/29/17 148	550.00	50.00	90.0	0.00	45.00	24.25	20.75
12/11/2017 #	UST, THE PERKINS FAM	PUT X 01/19/18 31	2,160.00	75.00	90.0	0.00	67.50	55.50	12.00
12/11/2017 #	DAVENPORT, JAMES	PUT X 12/15/17 32	840.00	60.00	90.0	0.00	54.00	68.00	-14.00
12/12/2017 #	DAVENPORT, JAMES	CALL CF 12/15/17 39.50	1,200.00	60.00	90.0	0.00	54.00	36.75	17.25
12/12/2017 #	BASIL, DANNY	PUT DE 01/12/18 148	875.00	25.00	90.0	0.00	22.50	24.25	-1.75
12/12/2017 #	BASIL, DANNY	PUT DE 01/19/18 115	30.00	25.00	90.0	0.00	22.50	24.25	-1.75
12/13/2017 #	BASIL, DANNY	PUT TWTR 01/19/18 18	2,100.00	50.00	90.0	0.00	45.00	30.50	14.50
12/14/2017 #	SIMPSON, TOMMY	CALL VRX 01/19/18 15	39,000.00	75.00	90.0	0.00	67.50	93.00	-25.50
12/15/2017 #	DAVENPORT, JAMES	CALL CF 12/15/17 39.50	2,190.00	60.00	90.0	0.00	54.00	36.75	17.25
12/18/2017 #	UST, THE PERKINS FAM	CALL PYPL 01/19/18 80	1,215.00	75.00	90.0	0.00	67.50	36.75	30.75
12/18/2017 #	CAUDEL, CHARLES	CALL TWTR 03/16/18 25	700.00	50.00	90.0	0.00	45.00	24.25	20.75
12/18/2017 #	BASIL, DANNY	PUT DE 12/22/17 152.50	600.00	50.00	90.0	0.00	45.00	24.25	20.75
12/19/2017 #	DAVENPORT, JAMES	PUT DE 12/22/17 152.50	500.00	50.00	90.0	0.00	45.00	30.50	14.50
12/19/2017 #	DAVENPORT, JAMES	PUT RH 12/22/17 100	1,200.00	50.00	90.0	0.00	45.00	30.50	14.50
12/22/2017 #	BASIL, DANNY	PUT DE 12/22/17 152.50	1,750.00	40.00	90.0	0.00	36.00	24.25	11.75
12/26/2017 #	BASIL, DANNY	CALL DE 12/29/17 157.50	400.00	40.00	90.0	0.00	36.00	24.25	11.75
12/28/2017 #	DAVENPORT, JAMES	PUT SAGE 12/29/17 162.50	1,400.00	50.00	90.0	0.00	45.00	30.50	14.50
<b>* OPTIONS SUBTOTAL *</b>			<b>\$80,946.00</b>	<b>\$1,210.00</b>		<b>\$0.00</b>	<b>\$1,089.00</b>	<b>\$851.50</b>	<b>\$237.50</b>

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
12/01/2017	#00952792958	MERCER, DAVID	CAPITAL INCOME BUILDER FD CL A	0.00	8.37	90.0	0.00	7.53	7.53
12/01/2017	#00977327337	MERCER, DAVID	CAPITAL WORLD GROWTH & INCOME FUND	0.00	5.35	90.0	0.00	4.82	4.82
12/01/2017	#00058670848	MERCER, SHERRY	GROWTH FUND AMERICA INC CLASS A	0.00	35.22	90.0	0.00	31.70	31.70
12/01/2017	#00067060664	MERCER, SHERRY	GROWTH FUND AMERICA INC CLASS A	0.00	10.31	90.0	0.00	9.28	9.28
12/01/2017	#00067060665	MERCER, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	10.31	90.0	0.00	9.28	9.28
12/01/2017	#00935794930	MERCER, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	26.24	90.0	0.00	23.62	23.62
12/01/2017	#00935797570	MERCER, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	0.17	90.0	0.00	0.15	0.15
12/01/2017	#00058670847	MERCER, SHERRY	GROWTH FUND AMERICA INC CLASS A	0.00	3.63	90.0	0.00	3.27	3.27
12/01/2017	#00085657915	CHILDRESS,	GROWTH FUND AMERICA INC CLASS A	0.00	0.60	90.0	0.00	0.54	0.54
12/11/2017	#	ANDERSON, JOHN	DAIN TRAILER	0.00	5.43	90.0	0.00	4.89	4.89
12/11/2017	#	ANDERSON, JOHN	DAIN TRAILER	0.00	5.02	90.0	0.00	4.52	4.52
12/11/2017	#	ANDERSON, RUTH	DAIN TRAILER	0.00	6.60	90.0	0.00	5.94	5.94
12/11/2017	#	ANDERSON, RUTH	DAIN TRAILER	0.00	6.09	90.0	0.00	5.48	5.48
12/11/2017	#	ANDERSON, RUTH	DAIN TRAILER	0.00	8.21	90.0	0.00	7.39	7.39
12/11/2017	#	ANDERSON, JOHN	DAIN TRAILER	0.00	2.75	90.0	0.00	2.48	2.48
12/11/2017	#	ANDERSON, JOHN	DAIN TRAILER	0.00	3.59	90.0	0.00	3.23	3.23
12/11/2017	#	ANDERSON, JOHN	DAIN TRAILER	0.00	4.36	90.0	0.00	3.92	3.92
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$142.25</b>	<b>\$0.00</b>	<b>\$128.04</b>	<b>\$0.00</b>	<b>\$128.04</b>	
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$2,526,456.83</b>	<b>\$3,076.21</b>	<b>\$0.00</b>	<b>\$2,768.60</b>	<b>\$1,459.50</b>	<b>\$1,309.10</b>	

**ADJUSTMENTS**

01/20/2018	ADMIN FEE: ADMIN FEE	-289.00
01/20/2018	E & O INSURANCE: E & O INSURANCE	-249.00
01/20/2018	QUOTES: QUOTES	-132.00
01/20/2018	DEMNL:MANUAL PROCESS FEE (LPFS): MANUAL PROCESS FEE	-10.00
01/20/2018	DEMNL:MANUAL PROCESS FEE (LPFS): MANUAL PROCESS FEE	-10.00
01/20/2018	DEMNL:MANUAL PROCESS FEE (LPFS): MANUAL PROCESS FEE	-10.00
01/20/2018	DEMNL:MANUAL PROCESS FEE (LPFS): MANUAL PROCESS FEE	-10.00
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$-710.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,309.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-710.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$599.10</b>
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