



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 15, 2018

04/17/2018
Page No. 1

JACK, M137 MICHALETZ
150 St. Andrews Ct.
Ste. 210
Mankato, MN 56001

BROKER #: M137
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/05/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	12.00	-9.72	40.0	-3.89
04/05/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	12.00	-9.73	40.0	-3.89
04/05/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	12.00	-9.00	40.0	-3.60
* MUTUAL FUNDS SUBTOTAL *			\$755.00	\$7.55	\$36.00	\$-28.45		\$-11.38
*** CLEARING TRANSACTIONS TOTAL ***			\$755.00	\$7.55	\$36.00	\$-28.45		\$-11.38

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: OIL & GAS</u>								
03/16/2018	ASKELAND, RYAN	WAVELAND RESOURCE PARTNERS IV	100,000.00	7,000.00	0.00	7,000.00	40.0	2,800.00
* OIL & GAS SUBTOTAL *			\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$2,800.00

<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/22/2018	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	40.0	1.90
03/23/2018	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	40.0	1.90
03/20/2018	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	40.0	0.20
03/27/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	7.13	0.07	0.00	0.07	40.0	0.03
03/27/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	7.13	0.07	0.00	0.07	40.0	0.03
* MUTUAL FUNDS SUBTOTAL *			\$264.26	\$10.14	\$0.00	\$10.14		\$4.06

<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/29/2018	WEBSTER, RONALD	ALLIANZ VISION BASIC	115,062.26	287.66	0.00	287.66	40.0	115.06
03/29/2018	STEPHENS, GREG	ALLIANZ VISION BASIC	64,009.55	160.02	0.00	160.02	40.0	64.01
03/29/2018	ZABEL, DENNIS	ALLIANZ VISION BASIC	130,357.03	325.89	0.00	325.89	40.0	130.36
03/29/2018	DRAPEAU, GAIL	ALLIANZ VISION BASIC	168,434.94	421.09	0.00	421.09	40.0	168.44
03/29/2018	GEHRKE, DEBORAH	ALLIANZ VISION BASIC	32,062.65	40.08	0.00	40.08	40.0	16.03
04/03/2018	STEPHENS, GREG	AM GEN POLARIS CHOICE IV	41,100.17	102.75	0.00	102.75	40.0	41.10

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/29/2018	SOUKUP, ARLENE	AXA ACCUM 04 ELITE (RO IRA)	28,094.20	80.77	0.00	80.77	40.0	32.31
03/29/2018	FEMRITE, LYLE	AXA ACCUM 04 ELITE (RO IRA)	75,076.80	206.46	0.00	206.46	40.0	82.58
03/29/2018	JOHNSON, ELOIS	AXA ACCUMULATOR PLUS (NQ)	101,768.02	190.82	0.00	190.82	40.0	76.33
03/29/2018	JANSMA, DWAYNE	AXA ACCUMULATOR SELECT 2004	45,145.65	112.86	0.00	112.86	40.0	45.14
03/29/2018	JANSMA, SUSANNE	AXA ACCUMULATOR SELECT 2004	30,707.97	76.77	0.00	76.77	40.0	30.71
03/29/2018	ROSE, REBECCA	AXA REITREMENT CORNERSTONE 13 L	35,902.35	89.76	0.00	89.76	40.0	35.90
03/29/2018	STEFFL, JOSEPH	AXA REITREMENT CORNERSTONE 13 L	506,870.13	1,267.18	0.00	1,267.18	40.0	506.87
03/31/2018	HAAK, HELEN	JNL PERSPECTIVE ADV II 5/05	66,256.68	69.02	0.00	69.02	40.0	27.61
03/31/2018	FIRST CONGREGATIONAL	JNL PERSPECTIVE II 04/14	175,980.92	36.66	0.00	36.66	40.0	14.66
03/31/2018	STEFFL, JAMES	JNL PERSPECTIVE II 04/14	160,653.45	33.47	0.00	33.47	40.0	13.39
03/31/2018	ZOARS UNITED CHURCH OF CH	JNL PERSPECTIVE II 04/14	35,095.65	7.31	0.00	7.31	40.0	2.92
03/31/2018	COLBY, TODD	JNL PERSPECTIVE II 04/14	205,197.70	42.75	0.00	42.75	40.0	17.10
03/31/2018	ROY, DEBRA	JNL PERSPECTIVE II 04/14	162,012.52	135.01	0.00	135.01	40.0	54.00
03/31/2018	STEVERMER, THOMAS	JNL PERSPECTIVE II 04/14	110,816.57	46.17	0.00	46.17	40.0	18.47
03/31/2018	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	46,277.57	38.56	0.00	38.56	40.0	15.42
03/31/2018	WATTS, BAMBI	JNL PERSPECTIVE II 04/14	14,555.23	12.13	0.00	12.13	40.0	4.85
03/31/2018	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	72,007.18	60.01	0.00	60.01	40.0	24.00
03/31/2018	ROSE, DENNIS	JNL PERSPECTIVE II 05/05	82,661.06	68.88	0.00	68.88	40.0	27.55
03/31/2018	ROSE, CHERYL	JNL PERSPECTIVE II 05/05	138,153.96	115.13	0.00	115.13	40.0	46.05
03/31/2018	OTTO, CURTIS	JNL PERSPECTIVE II 05/05	8,452.14	7.04	0.00	7.04	40.0	2.82
03/31/2018	PETERSON, IONE	JNL PERSPECTIVE L	131,644.88	142.62	0.00	142.62	40.0	57.05
03/31/2018	PANKRATZ, SUSAN	JNL PERSPECTIVE L	116,000.54	96.67	0.00	96.67	40.0	38.67
03/31/2018	PANKRATZ, EDWARD	JNL PERSPECTIVE L	207,253.67	172.71	0.00	172.71	40.0	69.08
03/31/2018	ROSE, DENNIS	JNL PERSPECTIVE L	168,300.54	140.25	0.00	140.25	40.0	56.10
03/31/2018	KOPISCHKE, BEVERLY	JNL PERSPECTIVE L	159,031.09	132.53	0.00	132.53	40.0	53.01
03/31/2018	JOHNSON, ELOIS	JNL PERSPECTIVE L	483,346.55	402.79	0.00	402.79	40.0	161.12
03/31/2018	FITTERER, DELORIS	JNL PERSPECTIVE L	107,108.31	89.26	0.00	89.26	40.0	35.70
03/31/2018	FITTERER, DELORIS	JNL PERSPECTIVE L	241,484.94	201.24	0.00	201.24	40.0	80.50
03/31/2018	SHIRK, PAMELA	JNL PERSPECTIVE L	207,090.71	172.58	0.00	172.58	40.0	69.03
03/31/2018	ENGELBRECHT, ALICE	JNL PERSPECTIVE L	240,582.99	200.49	0.00	200.49	40.0	80.20
03/31/2018	UTZKA, LANA	JNL PERSPECTIVE L	8,347.26	6.96	0.00	6.96	40.0	2.78
03/31/2018	KRONBACK, MAYDELLE	JNL PERSPECTIVE L	179,169.33	149.31	0.00	149.31	40.0	59.72
03/31/2018	ESLINGER, DOUGLAS	JNL PERSPECTIVE L	45,567.16	37.97	0.00	37.97	40.0	15.19

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2018	DAHLKE, RONALD	JNL PERSPECTIVE L	9,266.12	7.72	0.00	7.72	40.0	3.09
03/31/2018	HOL, PATRICIA	JNL PERSPECTIVE L	128,668.65	107.22	0.00	107.22	40.0	42.89
03/31/2018	HAGER, REBECCA	JNL PERSPECTIVE L	361,516.86	301.26	0.00	301.26	40.0	120.50
03/31/2018	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	36,511.05	30.43	0.00	30.43	40.0	12.17
03/31/2018	OLSON, BRENDA	JNL PERSPECTIVE L	55,372.03	46.14	0.00	46.14	40.0	18.46
03/31/2018	OLSON, BRYCE	JNL PERSPECTIVE L	448,544.58	373.79	0.00	373.79	40.0	149.52
03/31/2018	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	262,164.06	218.47	0.00	218.47	40.0	87.39
03/31/2018	GOFF, WESLEY	JNL PERSPECTIVE L	33,903.15	28.25	0.00	28.25	40.0	11.30
03/31/2018	KRAFT, JUDITH	JNL PERSPECTIVE L	161,720.82	134.77	0.00	134.77	40.0	53.91
03/31/2018	KRAFT, JUDITH	JNL PERSPECTIVE L	103,643.60	86.37	0.00	86.37	40.0	34.55
03/31/2018	SIEBERG, JOSEPH	JNL PERSPECTIVE L	69,852.45	58.21	0.00	58.21	40.0	23.28
03/31/2018	DORN, SANDRA	JNL PERSPECTIVE L	511,822.71	426.52	0.00	426.52	40.0	170.61
03/31/2018	HOWELL, NICOLE	JNL PERSPECTIVE L	207,223.98	172.69	0.00	172.69	40.0	69.08
03/31/2018	STIERLEN, ROGER	JNL PERSPECTIVE L	87,829.34	73.19	0.00	73.19	40.0	29.28
03/31/2018	MARSH, JAMES	JNL PERSPECTIVE L	39,479.38	32.90	0.00	32.90	40.0	13.16
03/31/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	149,231.96	124.36	0.00	124.36	40.0	49.74
03/31/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	33,035.90	27.53	0.00	27.53	40.0	11.01
03/31/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	62,551.35	52.13	0.00	52.13	40.0	20.85
03/31/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	36,626.00	30.52	0.00	30.52	40.0	12.21
03/31/2018	FEAR, WILLIAM	JNL PERSPECTIVE L	140,314.55	116.93	0.00	116.93	40.0	46.77
03/31/2018	PEMBLE, MICHELLE	JNL PERSPECTIVE L	305,615.32	254.68	0.00	254.68	40.0	101.87
03/31/2018	HART, JILLANE	JNL PERSPECTIVE L	98,905.91	82.42	0.00	82.42	40.0	32.97
03/31/2018	HART, KEVIN	JNL PERSPECTIVE L	292,228.02	243.52	0.00	243.52	40.0	97.41
03/31/2018	STEFFL, CHRISTINE	JNL PERSPECTIVE L	24,196.20	20.16	0.00	20.16	40.0	8.06
03/31/2018	BEVAN, HELEN	JNL PERSPECTIVE L	37,466.09	31.22	0.00	31.22	40.0	12.49
03/31/2018	SOUKUP, LAVERN	JNL PERSPECTIVE L	253,630.93	211.36	0.00	211.36	40.0	84.54
03/31/2018	MULFORD, PATRICIA	JOHN HANCOCK VANTAGE	253,738.92	211.45	0.00	211.45	40.0	84.58
03/01/2018	GRONAU, ROLAND	TRANS LIFE LANDMARK 2003 VA	24,878.73	20.73	0.00	20.73	40.0	8.29
03/01/2018	STEVENS, DOUGLAS	TRANSAMERICA CLASSIC	13,385.29	2.79	0.00	2.79	40.0	1.12
03/24/2018	KIRCHNER, JACOB	TRANSAMERICA CLASSIC	19,265.26	4.01	0.00	4.01	40.0	1.60
03/08/2018	KIRCHNER, DUANE	TRANSAMERICA EXTRA	44,992.10	37.49	0.00	37.49	40.0	15.00
03/09/2018	DRESSEN, KATHRYN	TRANSAMERICA EXTRA	341,648.77	284.71	0.00	284.71	40.0	113.88
03/20/2018	GEWERTH, CHERYL	TRANSAMERICA EXTRA	20,233.42	16.86	0.00	16.86	40.0	6.74

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/21/2018	KRONBACK, EUGENE	TRANSAMERICA EXTRA	60,168.08	50.14	0.00	50.14	40.0	20.06
03/29/2018	BULLER, CAROL	TRANSAMERICA EXTRA	13,584.41	11.32	0.00	11.32	40.0	4.53
03/29/2018	KIRCHNER, JANET	TRANSAMERICA EXTRA	32,089.87	26.74	0.00	26.74	40.0	10.70
03/01/2018	IRELAND, DANIEL	TRANSAMERICA LANDMARK	111,377.46	92.81	0.00	92.81	40.0	37.12
03/16/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	19,414.05	16.18	0.00	16.18	40.0	6.47
03/16/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	19,948.15	16.62	0.00	16.62	40.0	6.65
03/25/2018	FITTERER, DELORIS	TRANSAMERICA LANDMARK	53,300.33	44.42	0.00	44.42	40.0	17.77
03/26/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	40.0	1.00
03/26/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	40.0	1.00
03/29/2018	ATKINSON, VONDA	TRANSAMERICA LANDMARK 89352F772	55,684.81	46.40	0.00	46.40	40.0	18.56
03/08/2018	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	87,330.75	72.78	0.00	72.78	40.0	29.11
03/13/2018	BECKWITH, ALTON	TRANSAMERICA LIBERTY	88,710.67	73.93	0.00	73.93	40.0	29.57
* VARIABLE ANNUITIES SUBTOTAL *			\$10,158,812.40	\$10,306.77	\$0.00	\$10,306.77		\$4,122.69
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
03/21/2018	LORENTZ, NICHOLAS	METLIFE LIFE INS	9,787.58	9.79	0.00	9.79	40.0	3.92
03/22/2018	LORENTZ, NICHOLAS	METLIFE LIFE INS	1,000.00	5.00	0.00	5.00	40.0	2.00
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$10,787.58	\$14.79	\$0.00	\$14.79		\$5.92
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/15/2018	PEMBLE, JOCELYN	529 AMCAP FUND CLASS A	0.00	0.35	0.00	0.35	40.0	0.14
03/15/2018	PEMBLE, JOCELYN	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.26	0.00	0.26	40.0	0.10
03/01/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.03	0.00	15.03	40.0	6.01
03/01/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	45.08	0.00	45.08	40.0	18.03
03/23/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.63	0.00	13.63	40.0	5.45
03/23/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	40.88	0.00	40.88	40.0	16.35
03/23/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.81	0.00	6.81	40.0	2.72
03/23/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	20.44	0.00	20.44	40.0	8.18
03/23/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.98	0.00	0.98	40.0	0.39
03/23/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.95	0.00	2.95	40.0	1.18
03/13/2018	ATKINSON, VONDA	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	4.68	0.00	4.68	40.0	1.87
03/16/2018	GROMMERSCH, STACI	JH CLASSIC VALUE A	0.00	0.05	0.00	0.05	40.0	0.02
03/16/2018	GROMMERSCH, STACI	JH LARGE CAP EQUITY A	0.00	0.06	0.00	0.06	40.0	0.02
03/16/2018	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.05	0.00	0.05	40.0	0.02

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/16/2018	STEFFL, CHRISTINE	JH STRATEGIC GROWTH A	0.00	0.29	0.00	0.29	40.0	0.12
03/16/2018	STEFFL, JOSEPH	JH STRATEGIC GROWTH A	0.00	0.41	0.00	0.41	40.0	0.16
03/16/2018	ATKINSON, VONDA	JH STRATEGIC GROWTH A	0.00	2.38	0.00	2.38	40.0	0.95
03/16/2018	GROMMERSCH, STACI	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	0.04	0.00	0.04	40.0	0.02
03/13/2018	PAHL, ADAM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	16.34	0.00	16.34	40.0	6.54
03/13/2018	SWARD, KIM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	36.08	0.00	36.08	40.0	14.43
03/13/2018	SORUM, JEFFREY	MFS GROWTH ALLOCATION C	0.00	4.23	0.00	4.23	40.0	1.69
03/13/2018	WHITE, STACEY	MFS GROWTH ALLOCATION C	0.00	11.32	0.00	11.32	40.0	4.53
04/01/2018	URBAN, CURTIS	MFS RESEARCH A	0.00	22.15	0.00	22.15	40.0	8.86
04/01/2018	URBAN, ANDREW	MFS RESEARCH A	0.00	2.39	0.00	2.39	40.0	0.96
04/01/2018	URBAN, CURTIS	MFS RESEARCH B	0.00	16.16	0.00	16.16	40.0	6.46
03/20/2018	SORENSEN, JOSHUA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.38	0.00	0.38	40.0	0.15
03/21/2018	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	0.00	2.54	0.00	2.54	40.0	1.02
03/21/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	0.00	0.15	0.00	0.15	40.0	0.06
04/02/2018	CONNOLLY, BARBARA	PUTNAM EQUITY INCOME A	0.00	2.39	0.00	2.39	40.0	0.96
03/21/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	0.00	0.18	0.00	0.18	40.0	0.07
04/02/2018	PLAN	PUTNAM GROWTH OPP A	0.00	2.68	0.00	2.68	40.0	1.07
03/21/2018	ATKINSON, VONDA	PUTNAM INTERNATIONAL GROWTH-A	0.00	9.58	0.00	9.58	40.0	3.83
03/21/2018	SCHULLER, ALEC	PUTNAM MULTI CAP GROWTH A	0.00	0.87	0.00	0.87	40.0	0.35
03/16/2018	ATKINSON, VONDA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	21.45	0.00	21.45	40.0	8.58
03/20/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	0.00	2.91	0.00	2.91	40.0	1.16
03/20/2018	GILBERTO, SARAH	TRANSAMERICA AA GROWTH A	0.00	3.74	0.00	3.74	40.0	1.50
03/20/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH A	0.00	2.31	0.00	2.31	40.0	0.92
03/20/2018	LORENTZ, ROBERT	TRANSAMERICA AA GROWTH A	0.00	4.89	0.00	4.89	40.0	1.96
04/03/2018	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH A	0.00	1.34	0.00	1.34	40.0	0.54
04/03/2018	PAHL, JASON	TRANSAMERICA AA GROWTH A	0.00	7.36	0.00	7.36	40.0	2.94
04/03/2018	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	0.00	4.58	0.00	4.58	40.0	1.83
04/03/2018	PROKOP, GRANT	TRANSAMERICA AA GROWTH A	0.00	1.28	0.00	1.28	40.0	0.51
04/03/2018	PROKOP, GRANT	TRANSAMERICA AA GROWTH A	0.00	0.86	0.00	0.86	40.0	0.34
04/03/2018	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	0.00	1.70	0.00	1.70	40.0	0.68
03/20/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.93	0.00	4.93	40.0	1.97
03/20/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	18.60	0.00	18.60	40.0	7.44
03/20/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	16.54	0.00	16.54	40.0	6.62

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/03/2018	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.93	0.00	2.93	40.0	1.17
04/03/2018	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	6.02	0.00	6.02	40.0	2.41
04/03/2018	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	41.49	0.00	41.49	40.0	16.60
04/03/2018	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	41.49	0.00	41.49	40.0	16.60
04/03/2018	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.82	0.00	5.82	40.0	2.33
04/03/2018	FITTERER, PAMELA	TRANSAMERICA AA GROWTH C	0.00	17.89	0.00	17.89	40.0	7.16
04/03/2018	DORN, SANDRA	TRANSAMERICA AA GROWTH C	0.00	6.06	0.00	6.06	40.0	2.42
04/03/2018	FITTERER, EMILY	TRANSAMERICA AA GROWTH C	0.00	1.87	0.00	1.87	40.0	0.75
04/03/2018	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	17.20	0.00	17.20	40.0	6.88
04/03/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	53.81	0.00	53.81	40.0	21.52
04/03/2018	FITTERER, SAMUEL	TRANSAMERICA AA GROWTH C	0.00	1.62	0.00	1.62	40.0	0.65
04/03/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	46.61	0.00	46.61	40.0	18.64
04/03/2018	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	7.24	0.00	7.24	40.0	2.90
04/03/2018	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	8.26	0.00	8.26	40.0	3.30
04/03/2018	MCRAE, HEATHER	TRANSAMERICA AA GROWTH C	0.00	1.79	0.00	1.79	40.0	0.72
04/03/2018	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.75	0.00	2.75	40.0	1.10
04/03/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	19.94	0.00	19.94	40.0	7.98
04/03/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	0.00	19.14	0.00	19.14	40.0	7.66
04/03/2018	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	12.07	0.00	12.07	40.0	4.83
04/03/2018	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.27	0.00	2.27	40.0	0.91
04/03/2018	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.29	0.00	2.29	40.0	0.92
04/03/2018	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.47	0.00	1.47	40.0	0.59
04/03/2018	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.79	0.00	3.79	40.0	1.52
04/03/2018	MICHALETZ, ADISYN	TRANSAMERICA AA GROWTH C	0.00	1.16	0.00	1.16	40.0	0.46
04/03/2018	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.14	0.00	1.14	40.0	0.46
04/03/2018	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.98	0.00	5.98	40.0	2.39
04/03/2018	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	21.93	0.00	21.93	40.0	8.77
04/03/2018	ROY TOD, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	9.93	0.00	9.93	40.0	3.97
04/03/2018	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	5.50	0.00	5.50	40.0	2.20
04/03/2018	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	4.02	0.00	4.02	40.0	1.61
04/03/2018	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	8.41	0.00	8.41	40.0	3.36
04/03/2018	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	2.21	0.00	2.21	40.0	0.88
04/03/2018	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.82	0.00	8.82	40.0	3.53

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/03/2018	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	13.82	0.00	13.82	40.0	5.53
04/03/2018	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	16.44	0.00	16.44	40.0	6.58
04/03/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	0.00	1.10	0.00	1.10	40.0	0.44
04/03/2018	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.96	0.00	4.96	40.0	1.98
04/03/2018	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.93	0.00	1.93	40.0	0.77
04/03/2018	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.91	0.00	2.91	40.0	1.16
04/03/2018	BOESCH, SKYE	TRANSAMERICA AA GROWTH C	0.00	1.85	0.00	1.85	40.0	0.74
04/03/2018	FLYGARE, MATTHEW	TRANSAMERICA AA GROWTH C	0.00	3.15	0.00	3.15	40.0	1.26
04/03/2018	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.70	0.00	0.70	40.0	0.28
04/03/2018	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.51	0.00	4.51	40.0	1.80
04/03/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	0.00	0.26	0.00	0.26	40.0	0.10
04/03/2018	MICHALETZ, WHITNEY	TRANSAMERICA AA GROWTH C	0.00	0.91	0.00	0.91	40.0	0.36
04/03/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH C	0.00	9.99	0.00	9.99	40.0	4.00
04/03/2018	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH C	0.00	16.93	0.00	16.93	40.0	6.77
03/20/2018	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	0.00	29.73	0.00	29.73	40.0	11.89
04/03/2018	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	0.00	7.79	0.00	7.79	40.0	3.12
04/03/2018	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.62	0.00	3.62	40.0	1.45
04/03/2018	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	5.21	0.00	5.21	40.0	2.08
04/03/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	52.19	0.00	52.19	40.0	20.88
04/03/2018	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	0.00	8.79	0.00	8.79	40.0	3.52
04/03/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	7.71	0.00	7.71	40.0	3.08
04/03/2018	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.32	0.00	1.32	40.0	0.53
04/03/2018	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.41	0.00	2.41	40.0	0.96
04/03/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	11.06	0.00	11.06	40.0	4.42
04/03/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	7.59	0.00	7.59	40.0	3.04
04/03/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	4.27	0.00	4.27	40.0	1.71
04/03/2018	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	0.08	0.00	0.08	40.0	0.03
03/20/2018	STEFFL, CHRISTINE	TRANSAMERICA CAPITAL GROWTH A	0.00	0.68	0.00	0.68	40.0	0.27
03/20/2018	STEFFL, JOSEPH	TRANSAMERICA CAPITAL GROWTH A	0.00	0.64	0.00	0.64	40.0	0.26
03/20/2018	STEFFL, CHRISTINE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.44	0.00	0.44	40.0	0.18
03/20/2018	STEFFL, JOSEPH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.39	0.00	0.39	40.0	0.16
03/20/2018	SCHULLER, CORY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.45	0.00	1.45	40.0	0.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$992.05	\$0.00	\$992.05		\$396.82

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/27/2018	SIVANICH, DONALD	PACIFIC LIFE STEP UP VA	0.00	102.91	0.00	102.91	40.0	41.16
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$102.91	\$0.00	\$102.91		\$41.16
*** DIRECT TRANSACTIONS TOTAL ***			\$10,269,864.24	\$18,426.66	\$0.00	\$18,426.66		\$7,370.65

<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: 46G-JOE/JACK MICHALETZ #290</u>								
03/01/2018	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.24	0.00	4.24	40.0	1.70
03/01/2018	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.72	0.00	12.72	40.0	5.09
03/23/2018	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.85	0.00	3.85	40.0	1.54
03/23/2018	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.54	0.00	11.54	40.0	4.62
03/27/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	0.66	0.00	0.66	40.0	0.26
04/03/2018	MAINE, DOUGLAS	JNL PERSPECTIVE II 04/14	258,235.44	2,582.36	0.00	2,582.36	40.0	1,032.94
03/27/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	0.53	0.00	0.53	40.0	0.21
03/27/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	0.53	0.00	0.53	40.0	0.21
03/27/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.40	0.00	0.40	40.0	0.16
* 46G-JOE/JACK MICHALETZ SUBTOTAL *			\$258,657.84	\$2,616.83	\$0.00	\$2,616.83		\$1,046.73
*** SPLIT TRANSACTIONS TOTAL ***			\$258,657.84	\$2,616.83	\$0.00	\$2,616.83		\$1,046.73

<u>ADJUSTMENTS</u>								
04/15/2018		*BAL CARRIED FORWARD: PREVIOUS	0.00					-32.73
		PAYMNET 3/31 COMMISSION						
04/15/2018		*CREDIT CARD PYT: CC PMNT	0.00					100.00
		CANCELLATION FEE						
04/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					3.10
04/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-3.10
04/15/2018		*TERMINATION FEE: TERMINATION FEE	0.00					-100.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-32.73

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$7,359.27
Split Transactions	\$1,046.73
Override Transactions	\$0.00
Adjustments	\$-32.73
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$32.73
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,406.00
Year-to-date Gross	\$70,990.23
Year-to-date Earnings	\$28,317.30