

**COMMISSION STATEMENT****February 28, 2018**TIMOTHY, S118 STAUFFER  
333 Fiddlers CoveBROKER #: S118  
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/12/2018	SMITH, KAREN	JNL PERSPECTIVE II 04/14	45,000.00	2,250.00	0.00	2,250.00	90.0	2,025.00
02/12/2018	SMITH, KAREN	JNL PERSPECTIVE II 04/14	158,957.46	7,947.87	0.00	7,947.87	90.0	7,153.08
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$203,957.46</b>	<b>\$10,197.87</b>	<b>\$0.00</b>	<b>\$10,197.87</b>		<b>\$9,178.08</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/22/2016	ZEHR, BERTHA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.99	0.00	5.99	90.0	5.39
04/22/2016	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.99	0.00	5.99	90.0	5.39
04/22/2016	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	19.39	0.00	19.39	90.0	17.45
04/22/2016	ZAHM, CHRISTINE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.17	0.00	3.17	90.0	2.85
04/22/2016	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.57	0.00	3.57	90.0	3.21
04/22/2016	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	18.96	0.00	18.96	90.0	17.06
04/22/2016	SCHROEDER, JEFFERY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.91	0.00	5.91	90.0	5.32
04/22/2016	PIERSON III, CARL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.65	0.00	3.65	90.0	3.29
04/22/2016	PIERSON, JUDITH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.65	0.00	3.65	90.0	3.29
08/01/2016	ZEHR, BERTHA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.14	0.00	6.14	90.0	5.53
08/01/2016	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.14	0.00	6.14	90.0	5.53
08/01/2016	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	24.38	0.00	24.38	90.0	21.94
08/01/2016	BYERLEY, WAYNE & KATHLEE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.97	0.00	9.97	90.0	8.97
08/01/2016	ZAHM, CHRISTINE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.25	0.00	5.25	90.0	4.73
08/01/2016	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.10	0.00	4.10	90.0	3.69
08/01/2016	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	35.94	0.00	35.94	90.0	32.35
08/01/2016	SCHROEDER, JEFFERY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.97	0.00	5.97	90.0	5.37
08/01/2016	PIERSON III, CARL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.86	0.00	3.86	90.0	3.47
08/01/2016	PIERSON, JUDITH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.86	0.00	3.86	90.0	3.47
10/17/2016	ZEHR, BERTHA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.33	0.00	6.33	90.0	5.70
10/17/2016	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.33	0.00	6.33	90.0	5.70
10/17/2016	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	25.17	0.00	25.17	90.0	22.65

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
10/17/2016	BYERLEY, WAYNE & KATHLEE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	11.99	0.00	11.99	90.0	10.79
10/17/2016	ZAHM, CHRISTINE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.35	0.00	5.35	90.0	4.82
10/17/2016	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.18	0.00	4.18	90.0	3.76
10/17/2016	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	36.61	0.00	36.61	90.0	32.95
10/17/2016	SCHROEDER, JEFFERY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.08	0.00	6.08	90.0	5.47
10/17/2016	PIERSON III, CARL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.94	0.00	3.94	90.0	3.55
10/17/2016	PIERSON, JUDITH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.94	0.00	3.94	90.0	3.55
/ /	ALLES, JENIFER	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.37	0.00	0.37	90.0	0.33
04/14/2016	MOHR, BROGAN	INVESCO AMERICAN FRANCHISE A	0.00	0.28	0.00	0.28	90.0	0.25
04/14/2016	MOHR, AMY	INVESCO AMERICAN FRANCHISE A	0.00	1.77	0.00	1.77	90.0	1.59
07/19/2016	MOHR, BROGAN	INVESCO AMERICAN FRANCHISE A	0.00	0.30	0.00	0.30	90.0	0.27
07/19/2016	MOHR, AMY	INVESCO AMERICAN FRANCHISE A	0.00	1.87	0.00	1.87	90.0	1.68
10/17/2016	MOHR, BROGAN	INVESCO AMERICAN FRANCHISE A	0.00	0.32	0.00	0.32	90.0	0.29
10/17/2016	MOHR, AMY	INVESCO AMERICAN FRANCHISE A	0.00	2.00	0.00	2.00	90.0	1.80
04/14/2016	MOHR, AMY	INVESCO UTILITIES A	0.00	1.64	0.00	1.64	90.0	1.48
07/19/2016	MOHR, AMY	INVESCO UTILITIES A	0.00	1.75	0.00	1.75	90.0	1.58
10/17/2016	MOHR, AMY	INVESCO UTILITIES A	0.00	1.83	0.00	1.83	90.0	1.65
02/19/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	3.98	0.00	3.98	90.0	3.58
03/17/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	3.73	0.00	3.73	90.0	3.36
04/25/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	4.23	0.00	4.23	90.0	3.81
05/16/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	4.20	0.00	4.20	90.0	3.78
06/20/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	4.35	0.00	4.35	90.0	3.92
07/25/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	4.24	0.00	4.24	90.0	3.82
08/15/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	4.51	0.00	4.51	90.0	4.06
09/15/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	4.54	0.00	4.54	90.0	4.09
10/14/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	4.36	0.00	4.36	90.0	3.92
11/21/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	4.47	0.00	4.47	90.0	4.02
12/16/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	4.45	0.00	4.45	90.0	4.01
02/19/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	3.74	0.00	3.74	90.0	3.37
03/17/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	3.43	0.00	3.43	90.0	3.09
04/25/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	3.97	0.00	3.97	90.0	3.57
05/16/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	3.94	0.00	3.94	90.0	3.55
06/20/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	4.07	0.00	4.07	90.0	3.66

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
07/25/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	3.99	0.00	3.99	90.0	3.59
08/15/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	4.27	0.00	4.27	90.0	3.84
09/15/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	4.33	0.00	4.33	90.0	3.90
10/14/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	4.17	0.00	4.17	90.0	3.75
11/21/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	4.28	0.00	4.28	90.0	3.85
12/16/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	4.19	0.00	4.19	90.0	3.77
02/19/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	3.84	0.00	3.84	90.0	3.46
03/17/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	3.49	0.00	3.49	90.0	3.14
04/25/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.00	0.00	4.00	90.0	3.60
05/16/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	3.98	0.00	3.98	90.0	3.58
06/20/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.11	0.00	4.11	90.0	3.70
07/25/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.00	0.00	4.00	90.0	3.60
08/15/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.23	0.00	4.23	90.0	3.81
09/15/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.32	0.00	4.32	90.0	3.89
10/14/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.15	0.00	4.15	90.0	3.74
11/21/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.30	0.00	4.30	90.0	3.87
12/16/2016	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.24	0.00	4.24	90.0	3.82
02/22/2016	BUUCK, SALLY	PIMCO TOTAL RETURN A	0.00	0.01	0.00	0.01	90.0	0.01
02/11/2016	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.08	0.00	0.08	90.0	0.07
03/11/2016	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.08	0.00	0.08	90.0	0.07
04/29/2016	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.09	0.00	0.09	90.0	0.08
05/10/2016	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.09	0.00	0.09	90.0	0.08
06/15/2016	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.10	0.00	0.10	90.0	0.09
07/15/2016	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.10	0.00	0.10	90.0	0.09
08/10/2016	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.10	0.00	0.10	90.0	0.09
09/19/2016	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.10	0.00	0.10	90.0	0.09
10/18/2016	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.09	0.00	0.09	90.0	0.08
11/15/2016	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.10	0.00	0.10	90.0	0.09
12/14/2016	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.10	0.00	0.10	90.0	0.09
/ /	MILLER, SEAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.55	0.00	0.55	90.0	0.50
/ /	BRENN, BRIAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.08	0.00	0.08	90.0	0.07
/ /	MILLER, SEAN	RYDEX GUGG ENERGY C	0.00	1.32	0.00	1.32	90.0	1.19
/ /	KROUSE, ANDRE	RYDEX GUGG ENERGY C	0.00	0.99	0.00	0.99	90.0	0.89

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	BRENN, BRIAN	RYDEX GUGG ENERGY C	0.00	0.06	0.00	0.06	90.0	0.05
/ /	ALLES, JENIFER	RYDEX GUGG PRECIOUS METALS A	0.00	0.28	0.00	0.28	90.0	0.25
/ /	MILLER, SEAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.64	0.00	0.64	90.0	0.58
/ /	KROUSE, ANDRE	RYDEX GUGG PRECIOUS METALS C	0.00	0.86	0.00	0.86	90.0	0.77
/ /	BRENN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.07	0.00	0.07	90.0	0.06
02/18/2016	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	0.93	0.00	0.93	90.0	0.84
03/14/2016	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	0.85	0.00	0.85	90.0	0.77
04/15/2016	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	0.97	0.00	0.97	90.0	0.87
05/16/2016	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	0.96	0.00	0.96	90.0	0.86
06/16/2016	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	0.99	0.00	0.99	90.0	0.89
07/18/2016	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	0.97	0.00	0.97	90.0	0.87
08/17/2016	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.02	0.00	1.02	90.0	0.92
09/15/2016	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.05	0.00	1.05	90.0	0.95
10/20/2016	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.02	0.00	1.02	90.0	0.92
11/16/2016	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.05	0.00	1.05	90.0	0.95
12/16/2016	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.01	0.00	1.01	90.0	0.91
02/18/2016	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	1.82	0.00	1.82	90.0	1.64
03/14/2016	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	1.67	0.00	1.67	90.0	1.50
04/15/2016	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	1.88	0.00	1.88	90.0	1.69
05/16/2016	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	1.87	0.00	1.87	90.0	1.68
06/16/2016	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	1.92	0.00	1.92	90.0	1.73
07/18/2016	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	1.88	0.00	1.88	90.0	1.69
08/17/2016	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	1.98	0.00	1.98	90.0	1.78
09/15/2016	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	2.02	0.00	2.02	90.0	1.82
10/20/2016	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	1.95	0.00	1.95	90.0	1.76
11/16/2016	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	2.01	0.00	2.01	90.0	1.81
12/16/2016	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	1.93	0.00	1.93	90.0	1.74
02/18/2016	WRIGHT, WAYNE	TRANSAMERICA AA MODERATE A	0.00	0.17	0.00	0.17	90.0	0.15
03/14/2016	WRIGHT, WAYNE	TRANSAMERICA AA MODERATE A	0.00	0.16	0.00	0.16	90.0	0.14
04/15/2016	WRIGHT, WAYNE	TRANSAMERICA AA MODERATE A	0.00	0.18	0.00	0.18	90.0	0.16
05/16/2016	WRIGHT, WAYNE	TRANSAMERICA AA MODERATE A	0.00	0.17	0.00	0.17	90.0	0.15
06/16/2016	WRIGHT, WAYNE	TRANSAMERICA AA MODERATE A	0.00	0.18	0.00	0.18	90.0	0.16
07/18/2016	WRIGHT, WAYNE	TRANSAMERICA AA MODERATE A	0.00	0.18	0.00	0.18	90.0	0.16

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/17/2016	WRIGHT, WAYNE	TRANSAMERICA AA MODERATE A	0.00	0.18	0.00	0.18	90.0	0.16
09/15/2016	WRIGHT, WAYNE	TRANSAMERICA AA MODERATE A	0.00	0.19	0.00	0.19	90.0	0.17
10/20/2016	WRIGHT, WAYNE	TRANSAMERICA AA MODERATE A	0.00	0.18	0.00	0.18	90.0	0.16
11/16/2016	WRIGHT, WAYNE	TRANSAMERICA AA MODERATE A	0.00	0.19	0.00	0.19	90.0	0.17
12/16/2016	WRIGHT, WAYNE	TRANSAMERICA AA MODERATE A	0.00	0.18	0.00	0.18	90.0	0.16
04/15/2016	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	3.99	0.00	3.99	90.0	3.59
05/16/2016	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	5.84	0.00	5.84	90.0	5.26
06/16/2016	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.16	0.00	6.16	90.0	5.54
07/18/2016	STAUFFER, KAREN	TRANSAMERICA TACTICAL INCOME C	0.00	0.27	0.00	0.27	90.0	0.24
07/18/2016	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.10	0.00	6.10	90.0	5.49
07/18/2016	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	0.27	0.00	0.27	90.0	0.24
07/18/2016	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	0.64	0.00	0.64	90.0	0.58
07/18/2016	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	3.34	0.00	3.34	90.0	3.01
08/17/2016	STAUFFER, KAREN	TRANSAMERICA TACTICAL INCOME C	0.00	8.39	0.00	8.39	90.0	7.55
08/17/2016	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.47	0.00	6.47	90.0	5.82
08/17/2016	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	8.39	0.00	8.39	90.0	7.55
08/17/2016	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	10.04	0.00	10.04	90.0	9.04
08/17/2016	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	6.62	0.00	6.62	90.0	5.96
09/15/2016	STAUFFER, KAREN	TRANSAMERICA TACTICAL INCOME C	0.00	8.44	0.00	8.44	90.0	7.60
09/15/2016	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.51	0.00	6.51	90.0	5.86
09/15/2016	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	8.44	0.00	8.44	90.0	7.60
09/15/2016	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	10.10	0.00	10.10	90.0	9.09
09/15/2016	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	6.66	0.00	6.66	90.0	5.99
10/20/2016	STAUFFER, KAREN	TRANSAMERICA TACTICAL INCOME C	0.00	8.14	0.00	8.14	90.0	7.33
10/20/2016	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.28	0.00	6.28	90.0	5.65
10/20/2016	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	8.14	0.00	8.14	90.0	7.33
10/20/2016	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	9.75	0.00	9.75	90.0	8.78
10/20/2016	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	6.43	0.00	6.43	90.0	5.79
11/16/2016	STAUFFER, KAREN	TRANSAMERICA TACTICAL INCOME C	0.00	8.36	0.00	8.36	90.0	7.52
11/16/2016	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.45	0.00	6.45	90.0	5.81
11/16/2016	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	8.36	0.00	8.36	90.0	7.52
11/16/2016	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	10.02	0.00	10.02	90.0	9.02
11/16/2016	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	6.61	0.00	6.61	90.0	5.95

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/16/2016	STAUFFER, KAREN	TRANSAMERICA TACTICAL INCOME C	0.00	7.89	0.00	7.89	90.0	7.10
12/16/2016	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.09	0.00	6.09	90.0	5.48
12/16/2016	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	7.89	0.00	7.89	90.0	7.10
12/16/2016	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	9.45	0.00	9.45	90.0	8.51
12/16/2016	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	6.24	0.00	6.24	90.0	5.62
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$696.41</b>	<b>\$0.00</b>	<b>\$696.41</b>		<b>\$626.82</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
02/13/2018	RAMIREZ, HERLINDA GARCIA	ING ESII	11,588.74	7.24	0.00	7.24	90.0	6.52
02/13/2018	NUTTLE, DARREN	ING PREMIUM PLUS 2005	17,241.46	10.78	0.00	10.78	90.0	9.70
01/29/2018	CROWDER, CHAR	PAC LIFE INNOVATIVE SELECT	0.00	111.29	0.00	111.29	90.0	100.16
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$28,830.20</b>	<b>\$129.31</b>	<b>\$0.00</b>	<b>\$129.31</b>		<b>\$116.38</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$232,787.66</b>	<b>\$11,023.59</b>	<b>\$0.00</b>	<b>\$11,023.59</b>		<b>\$9,921.28</b>
<b><u>ADJUSTMENTS</u></b>								
02/28/2018		*BAL CARRIED FORWARD: BAL CARRIED F	0.00					100.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$100.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$9,921.28
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$100.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,021.28
Year-to-date Gross	\$34,587.44
Year-to-date Earnings	\$30,885.24