

COMMISSION STATEMENT

September 29, 2017

ROBERT HACKEL

206 VAN BUREN

BROKER #: 56

BRANCH: HOME OFFICE

PARAMUS, NJ 07652

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

09/15/2017	75500234	MULLEN, GEORGE	FRANKLIN RESOURCES INC (DEL)	S	8,510.56	35.00	0.00	35.00	80.0	28.00
09/18/2017	75500255	HOCHBERGER, ELLEN	ALLERGAN PLC	B	1,992.26	35.00	0.00	35.00	80.0	28.00
09/18/2017	75500256	HOCHBERGER, ELLEN	ALLERGAN PLC	B	1,328.18	35.00	0.00	35.00	80.0	28.00
09/18/2017	75500257	HOCHBERGER, ELLEN	ALLERGAN PLC	B	1,106.81	35.00	0.00	35.00	80.0	28.00
09/20/2017	75501207	MYMAN GREENSPAN	NETFLIX COM INC	B	24,959.34	20.00	0.00	20.00	80.0	16.00
08/29/2017	75501322	GILBERTSON, JAY	VEEVA SYSTEMS INC CL A COM	B	515.88	0.00	0.00	0.00	80.0	0.00
08/30/2017	75501322	GILBERTSON, JAY	APPLE INC	S	980.58	0.00	0.00	0.00	80.0	0.00
08/30/2017	75501322	GILBERTSON, JAY	CVS HEALTH CORPORATION	B	76.52	0.00	0.00	0.00	80.0	0.00
08/30/2017	75501322	GILBERTSON, JAY	LIVE NATION ENTMT INC COM	B	790.83	0.00	0.00	0.00	80.0	0.00
08/31/2017	75501322	GILBERTSON, JAY	ANALOG DEVICES INC	B	754.06	0.00	0.00	0.00	80.0	0.00
08/31/2017	75501322	GILBERTSON, JAY	EBAY INC	B	470.32	0.00	0.00	0.00	80.0	0.00
08/31/2017	75501322	GILBERTSON, JAY	REGENERON PHARMACEUTICALS INC	S	1,987.57	0.00	0.00	0.00	80.0	0.00
09/01/2017	75501322	GILBERTSON, JAY	ALIGN TECHNOLOGY INC	S	1,055.79	0.00	0.00	0.00	80.0	0.00
09/05/2017	75501322	GILBERTSON, JAY	ALPHABET INC CLASS A COMMON STOCK	S	1,879.24	0.00	0.00	0.00	80.0	0.00
09/07/2017	75501322	GILBERTSON, JAY	BOSTON BEER CO INC-CL A	S	744.63	0.00	0.00	0.00	80.0	0.00
09/07/2017	75501322	GILBERTSON, JAY	EBAY INC	B	381.18	0.00	0.00	0.00	80.0	0.00
09/07/2017	75501322	GILBERTSON, JAY	MICROSOFT CORP	B	3,653.69	0.00	0.00	0.00	80.0	0.00
09/07/2017	75501322	GILBERTSON, JAY	RADIUS HEALTH INC	S	181.92	0.00	0.00	0.00	80.0	0.00
09/07/2017	75501322	GILBERTSON, JAY	RADIUS HEALTH INC	S	291.07	0.00	0.00	0.00	80.0	0.00
09/07/2017	75501322	GILBERTSON, JAY	SABRE CORPORATION	S	359.60	0.00	0.00	0.00	80.0	0.00
09/07/2017	75501322	GILBERTSON, JAY	SABRE CORPORATION	S	737.18	0.00	0.00	0.00	80.0	0.00
09/08/2017	75501322	GILBERTSON, JAY	EAST WEST BANCORP INC	B	1,022.92	0.00	0.00	0.00	80.0	0.00
09/13/2017	75501322	GILBERTSON, JAY	APPLE INC	S	317.82	0.00	0.00	0.00	80.0	0.00
09/13/2017	75501322	GILBERTSON, JAY	APPLE INC	S	317.82	0.00	0.00	0.00	80.0	0.00
09/13/2017	75501322	GILBERTSON, JAY	CABLE ONE INC	B	737.76	0.00	0.00	0.00	80.0	0.00
09/14/2017	75501322	GILBERTSON, JAY	CAMBREX CORP	B	786.91	0.00	0.00	0.00	80.0	0.00
09/14/2017	75501322	GILBERTSON, JAY	CSRA INC COM	B	570.62	0.00	0.00	0.00	80.0	0.00
09/14/2017	75501322	GILBERTSON, JAY	DOLBY LABORATORIES INC CL A	B	347.08	0.00	0.00	0.00	80.0	0.00
09/14/2017	75501322	GILBERTSON, JAY	GLOBAL PAYMENTS INC	S	1,455.97	0.00	0.00	0.00	80.0	0.00
09/19/2017	75501322	GILBERTSON, JAY	ABBVIE INC	S	603.52	0.00	0.00	0.00	80.0	0.00
09/19/2017	75501322	GILBERTSON, JAY	BP P L C SPONSORED ADR (FRM BP AMOC B		1,183.36	0.00	0.00	0.00	80.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/19/2017	75501322 GILBERTSON, JAY	DANAHER CORPORATION	S	610.26	0.00	0.00	0.00	80.0	0.00
09/19/2017	75501322 GILBERTSON, JAY	DANAHER CORPORATION	S	784.62	0.00	0.00	0.00	80.0	0.00
09/19/2017	75501322 GILBERTSON, JAY	FLEETCOR TECHNOLOGIES INC	B	460.56	0.00	0.00	0.00	80.0	0.00
09/19/2017	75501322 GILBERTSON, JAY	GENERAL MILLS INC	B	948.21	0.00	0.00	0.00	80.0	0.00
09/20/2017	75501322 GILBERTSON, JAY	ALEXANDRIA REAL ESTATE EQUITIES INC	B	831.11	0.00	0.00	0.00	80.0	0.00
09/20/2017	75501322 GILBERTSON, JAY	J P MORGAN CHASE & CO	S	1,330.86	0.00	0.00	0.00	80.0	0.00
09/20/2017	75501322 GILBERTSON, JAY	PFIZER INCORPORATED	B	752.84	0.00	0.00	0.00	80.0	0.00
09/20/2017	75501322 GILBERTSON, JAY	SHERWIN WILLIAMS COMPANY	B	1,032.37	0.00	0.00	0.00	80.0	0.00
09/20/2017	75501322 GILBERTSON, JAY	SIEMENS AG AMERICAN DEPOSITARY SHAR	B	2,760.79	0.00	0.00	0.00	80.0	0.00
09/26/2017	75501322 GILBERTSON, JAY	ABBVIE INC	S	853.64	0.00	0.00	0.00	80.0	0.00
09/26/2017	75501322 GILBERTSON, JAY	ALTRIA GROUP INC	B	1,098.03	0.00	0.00	0.00	80.0	0.00
09/26/2017	75501322 GILBERTSON, JAY	THERMO FISHER SCIENTIFIC INC	S	375.65	0.00	0.00	0.00	80.0	0.00
09/26/2017	75501322 GILBERTSON, JAY	THERMO FISHER SCIENTIFIC INC	S	375.65	0.00	0.00	0.00	80.0	0.00
08/29/2017	75501761 WRIGHTON, BRYAN	MGP INGREDIENTS INC	B	564.38	0.00	0.00	0.00	80.0	0.00
08/29/2017	75501761 WRIGHTON, BRYAN	STAMPS.COM INC	S	961.00	0.00	0.00	0.00	80.0	0.00
08/30/2017	75501761 WRIGHTON, BRYAN	BALCHEM CORP	B	520.96	0.00	0.00	0.00	80.0	0.00
08/30/2017	75501761 WRIGHTON, BRYAN	NEOGEN CORP	B	204.77	0.00	0.00	0.00	80.0	0.00
08/30/2017	75501761 WRIGHTON, BRYAN	ROGERS CORP	B	588.98	0.00	0.00	0.00	80.0	0.00
08/30/2017	75501761 WRIGHTON, BRYAN	SUN HYDRAULICS CORP	B	286.59	0.00	0.00	0.00	80.0	0.00
08/30/2017	75501761 WRIGHTON, BRYAN	WAGeworks INC	B	350.53	0.00	0.00	0.00	80.0	0.00
08/31/2017	75501761 WRIGHTON, BRYAN	MOBILE MINI INC	S	1,147.07	0.00	0.00	0.00	80.0	0.00
08/31/2017	75501761 WRIGHTON, BRYAN	REGENERON PHARMACEUTICALS INC	S	1,987.57	0.00	0.00	0.00	80.0	0.00
09/01/2017	75501761 WRIGHTON, BRYAN	ALIGN TECHNOLOGY INC	S	1,055.79	0.00	0.00	0.00	80.0	0.00
09/01/2017	75501761 WRIGHTON, BRYAN	WD 40 CO	B	657.30	0.00	0.00	0.00	80.0	0.00
09/05/2017	75501761 WRIGHTON, BRYAN	ALPHABET INC CLASS A COMMON STOCK	S	939.62	0.00	0.00	0.00	80.0	0.00
09/05/2017	75501761 WRIGHTON, BRYAN	ALPHABET INC CLASS A COMMON STOCK	S	939.62	0.00	0.00	0.00	80.0	0.00
09/07/2017	75501761 WRIGHTON, BRYAN	MICROSOFT CORP	B	3,131.74	0.00	0.00	0.00	80.0	0.00
09/15/2017	75501761 WRIGHTON, BRYAN	BLACKLINE INC COM	B	540.77	0.00	0.00	0.00	80.0	0.00
09/15/2017	75501761 WRIGHTON, BRYAN	MATADOR RESOURCES COMPANY	S	435.15	0.00	0.00	0.00	80.0	0.00
09/19/2017	75501761 WRIGHTON, BRYAN	ABBVIE INC	S	689.74	0.00	0.00	0.00	80.0	0.00
09/19/2017	75501761 WRIGHTON, BRYAN	BP P L C SPONSORED ADR (FRM BP AMOC B	B	702.62	0.00	0.00	0.00	80.0	0.00
09/19/2017	75501761 WRIGHTON, BRYAN	GENERAL MILLS INC	B	948.21	0.00	0.00	0.00	80.0	0.00
09/20/2017	75501761 WRIGHTON, BRYAN	ALTRIA GROUP INC	S	182.82	0.00	0.00	0.00	80.0	0.00
09/20/2017	75501761 WRIGHTON, BRYAN	PFIZER INCORPORATED	B	645.29	0.00	0.00	0.00	80.0	0.00
09/20/2017	75501761 WRIGHTON, BRYAN	SIEMENS AG AMERICAN DEPOSITARY SHAR	B	2,070.60	0.00	0.00	0.00	80.0	0.00
09/22/2017	75501761 WRIGHTON, BRYAN	DOUGLAS DYNAMICS INC COM	B	879.75	0.00	0.00	0.00	80.0	0.00
09/26/2017	75501761 WRIGHTON, BRYAN	ABBVIE INC	S	939.01	0.00	0.00	0.00	80.0	0.00
09/26/2017	75501761 WRIGHTON, BRYAN	GLAXOSMITHKLINE PLC SPONSORED ADR(F B	B	1,011.75	0.00	0.00	0.00	80.0	0.00
09/27/2017	75501761 WRIGHTON, BRYAN	BLACKLINE INC COM	B	67.80	0.00	0.00	0.00	80.0	0.00
09/11/2017	QGN005076 BRENNAN, LUCY	LANDMARK INFRASTRUCTURE PARTNERS LP	B	50,047.40	210.00	0.00	210.00	80.0	168.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
09/11/2017	QGN005076	BRENNAN, LUCY	RESOURCE CAP CORP PFD SER C 8.625%	B	38,683.05	165.00	0.00	165.00	80.0	132.00
09/26/2017	QGN005464	RF LAFFERTY & CO	JOHNSON & JOHNSON	S	-288,294.60	228.00	0.00	228.00	80.0	182.40
09/11/2017	QGN005514	JONATHAN T BARKER	ROYAL GOLD INC	S	-1,385.45	40.00	0.00	40.00	80.0	32.00
09/08/2017	QGN005555	HENRY L THOMPSON	SOUTHERN CO	S	-200,880.80	200.00	0.00	200.00	80.0	160.00
09/11/2017	QGN005555	HENRY L THOMPSON	ECOLAB INC	S	-39,313.20	35.00	0.00	35.00	80.0	28.00
09/11/2017	QGN005555	HENRY L THOMPSON	J P MORGAN CHASE & CO	B	178,052.40	100.00	0.00	100.00	80.0	80.00
09/11/2017	QGN005555	HENRY L THOMPSON	MERCK & CO INC	B	97,059.45	75.00	0.00	75.00	80.0	60.00
09/21/2017	QGN005787	YALINEWITZ, BOAZ	BAIDU INC SPONSORED ADR REPSTG ORD	S	-11,793.87	40.00	0.00	40.00	80.0	32.00
09/21/2017	QGN005787	YALINEWITZ, BOAZ	GENERAL ELECTRIC CO	S	-9,817.60	78.00	0.00	78.00	80.0	62.40
09/21/2017	QGN005787	YALINEWITZ, BOAZ	HASBRO INC	S	-4,733.56	40.00	0.00	40.00	80.0	32.00
09/21/2017	QGN005787	YALINEWITZ, BOAZ	PROCTER & GAMBLE CO	S	-4,677.58	40.00	0.00	40.00	80.0	32.00
09/21/2017	QGN005787	YALINEWITZ, BOAZ	WELLS FARGO & CO	S	-10,808.04	54.00	0.00	54.00	80.0	43.20
09/21/2017	QGN005787	YALINEWITZ, BOAZ	WPP PLC NEW ADR	S	-9,377.38	42.00	0.00	42.00	80.0	33.60
09/06/2017	QGN005811	MARIAN RUSSEL LARKIN	AUTOMATIC DATA PROCESSING INC	S	-10,151.23	40.00	0.00	40.00	80.0	32.00
09/20/2017	QGN005845	BRIANNA HARRIS	EXXON MOBIL CORPORATION	S	-3,218.80	20.00	0.00	20.00	80.0	16.00
09/26/2017	QGN005845	BRIANNA HARRIS	ALIBABA GROUP HOLDING LTD SPONSORED B		5,011.04	20.00	0.00	20.00	80.0	16.00
09/01/2017	QGN005894	SARAH M LARKIN	GENERAL ELECTRIC CO	S	-50,360.00	210.00	0.00	210.00	80.0	168.00
09/18/2017	QGN005902	WINSTON HAGEN	EXXON MOBIL CORPORATION	S	-39,950.00	90.00	0.00	90.00	80.0	72.00
09/13/2017	QGN006124	ANDERS D EISNER	MONDELEZ INTERNATIONAL INC COM	S	-152,190.50	50.00	0.00	50.00	80.0	40.00
09/05/2017	QGW031544	HEALY, TIMOTHY	AMERICAN INTERNATIONAL GROUP INC	S	-59,820.40	120.00	0.00	120.00	80.0	96.00
09/18/2017	QGW031544	HEALY, TIMOTHY	EQUIFAX INC	B	46,344.00	90.00	0.00	90.00	80.0	72.00
09/19/2017	QGW031551	HEALY, TIMOTHY	AARONS INC COM PAR \$0 50	B	19,134.95	90.00	0.00	90.00	80.0	72.00
09/27/2017	QGW031551	HEALY, TIMOTHY	ALLY FINL INC COM	B	11,839.95	90.00	0.00	90.00	80.0	72.00
09/18/2017	QGW038440	LEOPOLD, CLAUDIA	MOMO INC ADR	B	18,515.00	90.00	0.00	90.00	80.0	72.00
* STOCKS SUBTOTAL *					\$-337,320.76	\$2,417.00	\$0.00	\$2,417.00		\$1,933.60
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/12/2017	75500245	BLUMENTHAL, MARK	MASS INVESTORS GROWTH STOCK FUND-A	B	0.00	1.21	0.00	1.21	80.0	0.97
09/12/2017	75500245	BLUMENTHAL, MARK	PUTNAM 746802800	B	0.00	3.46	0.00	3.46	80.0	2.77
09/12/2017	75500245	BLUMENTHAL, MARK	PUTNAM GLOBAL EQUITY FUND CLASS A	B	0.00	0.68	0.00	0.68	80.0	0.54
09/12/2017	75500245	BLUMENTHAL, MARK	PUTNAM NEW OPPORTUNITIES FUND CLASS B		0.00	1.21	0.00	1.21	80.0	0.97
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$6.56	\$0.00	\$6.56		\$5.25
*** BROKER TRANSACTIONS TOTAL ***					\$-337,320.76	\$2,423.56	\$0.00	\$2,423.56		\$1,938.85

BROKER COMMISSION TOTALS

Broker Transactions	\$1,938.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.03
SIPC Assessment	\$-6.06

Check Amount	\$1,929.76
Year-to-date Earnings	\$28,238.81