

**COMMISSION STATEMENT****October 15, 2017**JOEL WOODS
1412 Blue Quail RunBROKER #: W143
BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
09/21/2017	SENESE, CARMEN	COTTONWOOD RESIDENTIAL 2016 PREFR'D	50,000.00	3,500.00	0.00	3,500.00	92.0	3,220.00
09/22/2017	SHERRIEB, HAROLD	COTTONWOOD RESIDENTIAL 2016 PREFR'D	70,000.00	4,900.00	0.00	4,900.00	92.0	4,508.00
09/14/2017	JNJM LLC	GPB WASTE MANAGEMENT LP	60,000.00	4,200.00	0.00	4,200.00	92.0	3,864.00
09/15/2017	SENESE, CARMEN	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	92.0	3,220.00
* PRIVATE PLACEMENT SUBTOTAL *			\$230,000.00	\$16,100.00	\$0.00	\$16,100.00		\$14,812.00
<u>PRODUCT CATEGORY: REIT</u>								
09/14/2017	PHIPPS, CHRISTEEN	CARTER VALIDUS MC REIT II CLASS T	0.00	13.70	0.00	13.70	92.0	12.60
09/14/2017	PHIPPS, LESLIE	CARTER VALIDUS MC REIT II CLASS T	0.00	29.10	0.00	29.10	92.0	26.77
09/14/2017	SHERRIEB, HAROLD	CARTER VALIDUS MC REIT II CLASS T	0.00	64.20	0.00	64.20	92.0	59.06
09/14/2017	MCELVAIN, TED	CARTER VALIDUS MC REIT II CLASS T	0.00	9.77	0.00	9.77	92.0	8.99
09/14/2017	HINCKLEY, CYNTHIA	CARTER VALIDUS MC REIT II CLASS T	0.00	2.55	0.00	2.55	92.0	2.35
09/14/2017	HINCKLEY, BRYAN	CARTER VALIDUS MC REIT II CLASS T	0.00	13.16	0.00	13.16	92.0	12.11
* REIT SUBTOTAL *			\$0.00	\$132.48	\$0.00	\$132.48		\$121.88
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/02/2017	GIBSON, TIMOTHY	AM FUNDS GROWTH FUND OF AMERICA 529	125.00	6.25	0.00	6.25	90.0	5.63
09/25/2017	GRAVES, BENJAMIN	AMERICAN FUNDS COLLEGE 2033 FUND-52	40.00	1.40	0.00	1.40	90.0	1.26
10/02/2017	ST JOHN, FINN	AMERICAN FUNDS COLLEGE 2033 FUND-52	100.00	3.50	0.00	3.50	90.0	3.15
09/08/2017	HICKMAN, FRANCES	DELAWARE TAX FREE USA A	0.00	8.78	0.00	8.78	90.0	7.90
/ /	WESTMORELAND, SUSAN	FIDELITY ADV SHORT TERM BOND CL A	0.00	0.78	0.00	0.78	90.0	0.70
/ /	AMOS, ALEXANDER	FIDELITY ADVISORS FD 529 PORT 2016	0.00	15.96	0.00	15.96	90.0	14.36
/ /	AMOS, ALEXANDER	FIDELITY ADVISORS FD 529 PORT 2016	0.00	17.11	0.00	17.11	90.0	15.40
09/12/2017	MCELVAIN, TED	FIRST EAGLE GLOBAL FUND CL A	0.00	7.31	0.00	7.31	90.0	6.58
09/12/2017	TMICO TRUST CO	FIRST EAGLE GOLD FUND CLASS C	0.00	6.54	0.00	6.54	90.0	5.89
09/12/2017	HICKMAN, FRANCES	FRANKLIN FED TAX FREE INCOME A	0.00	12.63	0.00	12.63	90.0	11.37
09/12/2017	RICHTER, ROBERT	HARTFORD MIDCAP A	0.00	5.22	0.00	5.22	90.0	4.70

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/18/2017	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	125.00	1.25	0.00	1.25	90.0	1.13
09/18/2017	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
09/18/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
09/18/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
09/22/2017	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	125.00	1.25	0.00	1.25	90.0	1.13
09/22/2017	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
09/22/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
09/22/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
09/25/2017	HAMPTON, JARROTT	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
/ /	RECTOR, ABIGAIL	JH 529 PORT 2017-2020 A	0.00	1.41	0.00	1.41	90.0	1.27
/ /	RECTOR, MADELINE	JH 529 PORT 2017-2020 A	0.00	1.41	0.00	1.41	90.0	1.27
09/12/2017	CROSS, BETTY	MFS UTILITIES A	0.00	3.88	0.00	3.88	90.0	3.49
09/12/2017	CONDRAY, GADA	OPPENHEIMER STEELPATH MLP ALPHA FD	0.00	4.26	0.00	4.26	90.0	3.83
10/02/2017	VECCHIO, SANDRA	PUTNAM GROWTH OPP A	0.00	1.59	0.00	1.59	90.0	1.43
09/12/2017	CONDRAY, GADA	TRANSAMERICA MLP & ENERGY INC - C	0.00	5.52	0.00	5.52	90.0	4.97
10/03/2017	RADEMAKERS, DAVID	TRANSAMERICA MLP & ENERGY INC - C	0.00	4.60	0.00	4.60	90.0	4.14
10/03/2017	RADEMAKERS, DAVID	TRANSAMERICA MLP & ENERGY INC - C	0.00	17.04	0.00	17.04	90.0	15.34
10/03/2017	U/A DTD 07-19-2007	TRANSAMERICA MLP & ENERGY INC - C	0.00	13.03	0.00	13.03	90.0	11.73
* MUTUAL FUNDS SUBTOTAL *			\$915.00	\$144.72	\$0.00	\$144.72		\$130.28
<u>PRODUCT CATEGORY: STOCKS</u>								
09/26/2017	WEAVER, GEORGE	PREFERRED APT CMNTYS INC COM	19,030.00	100.00	22.00	78.00	90.0	70.20
09/26/2017	WEAVER, GEORGE	TIER REIT INC COM	7,775.92	60.00	22.00	38.00	90.0	34.20
* STOCKS SUBTOTAL *			\$26,805.92	\$160.00	\$44.00	\$116.00		\$104.40
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/29/2017	COMBS, RICHARD	AXA ACCUM 07 ELITE (RO IRA)	38,476.45	96.19	0.00	96.19	90.0	86.57
09/29/2017	DERMARDEROSIANSMITH, LAURA	AXA ACCUM 07 ELITE (RO IRA)	34,546.04	86.37	0.00	86.37	90.0	77.73
09/29/2017	THREADGILL, VICKY	AXA ACCUM 07 ELITE (RO IRA)	30,932.83	77.33	0.00	77.33	90.0	69.60
09/29/2017	SONES, MONICA	AXA RETIREMENT CORNERSTONE 13 B	20,407.83	12.75	0.00	12.75	90.0	11.48
09/29/2017	PROPST, MARGARETT	HARTFORD LEADERS OUTLOOK	15,030.69	37.58	0.00	37.58	90.0	33.82
09/29/2017	RICHTER, ROBERT	HARTFORD LEADERS OUTLOOK	7,000.38	17.50	0.00	17.50	90.0	15.75
09/29/2017	ARMOUR, DANA	HARTFORD PHCM V	56,601.69	56.60	0.00	56.60	90.0	50.94
09/30/2017	NORRIS, JERRY	JNL PERSPECTIVE	11,041.66	2.30	0.00	2.30	90.0	2.07

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/30/2017	HENSLEE, CHARLES	JNL PERSPECTIVE	9,565.79	1.99	0.00	1.99	90.0	1.79
09/30/2017	GORDON, JEFFREY	JNL PERSPECTIVE II 04/14	100,580.86	41.91	0.00	41.91	90.0	37.72
09/30/2017	CADY, KENDA	JNL PERSPECTIVE L	28,709.72	31.10	0.00	31.10	90.0	27.99
09/30/2017	HEIL, ROBERT	JNL PERSPECTIVE L	58,678.95	63.57	0.00	63.57	90.0	57.21
09/30/2017	PARKIN, DAVID	JNL PERSPECTIVE L	63,777.95	69.09	0.00	69.09	90.0	62.18
09/30/2017	TOMLINSON, HAROLD	JNL PERSPECTIVE L	113,958.41	123.45	0.00	123.45	90.0	111.11
09/30/2017	MARKS, FRANCES	JNL PERSPECTIVE L	44,806.85	48.54	0.00	48.54	90.0	43.69
09/30/2017	MARKS, RICHARD	JNL PERSPECTIVE L	44,915.42	48.66	0.00	48.66	90.0	43.79
09/30/2017	SENESE, CATHERINE	JNL PERSPECTIVE L	6,911.71	7.49	0.00	7.49	90.0	6.74
09/30/2017	HARVEY, HELEN	JNL PERSPECTIVE L	85,943.78	93.11	0.00	93.11	90.0	83.80
09/30/2017	SENESE, CARMEN	JNL PERSPECTIVE L	22,474.47	24.35	0.00	24.35	90.0	21.92
09/30/2017	HARVEY, JESSE	JNL PERSPECTIVE L	105,117.47	113.88	0.00	113.88	90.0	102.49
09/30/2017	PERRY, JANINE	JNL PERSPECTIVE L	18,554.47	20.10	0.00	20.10	90.0	18.09
09/30/2017	LILES, ROBERT	JOHN HANCOCK VENTURE III	195,080.43	162.57	0.00	162.57	90.0	146.31
09/30/2017	GRAVITT, ELIZABETH	JOHN HANCOCK VENTURE III	71,043.48	59.20	0.00	59.20	90.0	53.28
* VARIABLE ANNUITIES SUBTOTAL *			\$1,184,157.33	\$1,295.63	\$0.00	\$1,295.63		\$1,166.07
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/30/2017	JOHNSON, LONZO	LFG TRAILS	0.00	102.80	0.00	102.80	90.0	92.52
09/30/2017	TERRY, CHARLES	LFG TRAILS	0.00	273.59	0.00	273.59	90.0	246.23
09/30/2017	THOMAS, BRENDA	LFG TRAILS	0.00	105.70	0.00	105.70	90.0	95.13
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$482.09	\$0.00	\$482.09		\$433.88
*** BROKER TRANSACTIONS TOTAL ***			\$1,441,878.25	\$18,314.92	\$44.00	\$18,270.92		\$16,768.51

OVERRIDE TRANSACTIONS**BROKER: MARK-S141 REG SMITH #367**

09/30/2017	WISE, LUCILE	LFG TRAILS	0.00	234.78	0.00	234.78	48.0	98.61
10/02/2017	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.05
10/02/2017	BONGIORNO, VALERIE	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.05
09/12/2017	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	4.00	0.00	4.00	48.0	1.68
10/02/2017	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	48.0	1.05
09/12/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.03
09/12/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.03

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: MARK-S141 REG SMITH #367</u>								
09/12/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.43	0.00	6.43	48.0	2.70
10/02/2017	BONGIORNO, VALERIE	AM FUNDS CAPITAL INCOME BUILDER A	50.00	2.50	0.00	2.50	48.0	1.05
09/12/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.55	0.00	3.55	48.0	1.49
10/02/2017	BONGIORNO, VALERIE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	48.0	1.05
10/02/2017	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	48.0	1.05
09/12/2017	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.55	0.00	1.55	48.0	0.65
10/02/2017	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	48.0	1.05
10/02/2017	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.05
10/02/2017	BONGIORNO, VALERIE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.05
09/12/2017	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	3.85	0.00	3.85	48.0	1.62
09/12/2017	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.06
09/12/2017	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.06
09/12/2017	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.11	0.00	2.11	48.0	0.89
09/12/2017	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.36	0.00	3.36	48.0	1.41
09/12/2017	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
09/12/2017	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
09/12/2017	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.20	0.00	0.20	48.0	0.08
09/12/2017	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.20	0.00	0.20	48.0	0.08
09/29/2017	WU, PEILI	AXA 00246Q312	118,299.45	295.75	0.00	295.75	48.0	124.22
09/29/2017	GODWIN, SANDRA	HARTFORD PHCM V	14,601.78	14.60	0.00	14.60	48.0	6.13
09/30/2017	BRIDGES, JANIS	JNL ELITE ACCESS	102,898.00	85.75	0.00	85.75	48.0	36.02
09/30/2017	RHODES, VIRGINIA	JNL ELITE ACCESS	75,649.33	15.76	0.00	15.76	48.0	6.62
09/30/2017	CROWTHER, JOHN	JNL ELITE ACCESS	58,456.78	12.18	0.00	12.18	48.0	5.12
09/30/2017	NOBLES, VERLIE	JNL PERSPECTIVE L	31,775.01	34.42	0.00	34.42	48.0	14.46
09/30/2017	REEDER, WESLEY	JNL PERSPECTIVE L	17,528.56	18.99	0.00	18.99	48.0	7.98
09/30/2017	SPURGIN, BRENT	JNL PERSPECTIVE L	37,606.06	40.74	0.00	40.74	48.0	17.11
09/30/2017	GODWIN, SANDRA	JNL PERSPECTIVE L	151,315.54	163.93	0.00	163.93	48.0	68.85
09/30/2017	WALLACE, DEBORREH	JNL PERSPECTIVE L	82,856.94	69.05	0.00	69.05	48.0	29.00
09/30/2017	NOBLES, VERLIE	JOHN HANCOCK VENTURE III	29,649.99	24.71	0.00	24.71	48.0	10.38
/ /	ORR, RICHARD	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	5.30	0.00	5.30	48.0	2.23
09/12/2017	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	1.01	0.00	1.01	48.0	0.42
09/30/2017	JEFFERS, LARRY	PRU AS APEX II	117,839.90	294.60	0.00	294.60	48.0	123.73
09/30/2017	JEFFERS, LARRY	PRU AS APEX II	117,839.90	73.65	0.00	73.65	48.0	30.93

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>								
BROKER: MARK-S141 REG SMITH #367								
10/02/2017	HORNE, CHARLES	PUTNAM EQUITY INCOME A	0.00	3.12	0.00	3.12	48.0	1.31
10/02/2017	HORNE, CHARLES	PUTNAM GLOBAL HEALTH CARE A	0.00	3.17	0.00	3.17	48.0	1.33
10/02/2017	HORNE, CHARLES	PUTNAM HIGH YIELD ADVANTAGE A	0.00	2.35	0.00	2.35	48.0	0.99
09/12/2017	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.85	0.00	0.85	48.0	0.36
10/02/2017	HORNE, CHARLES	PUTNAM MULTI-CAP VALUE A	0.00	2.86	0.00	2.86	48.0	1.20
10/02/2017	AARY, HARLEY	PUTNAM SMALL CAP GROWTH-A	0.00	0.29	0.00	0.29	48.0	0.12
10/02/2017	HORNE, CHARLES	PUTNAM SMALL CAP VALUE A	0.00	0.99	0.00	0.99	48.0	0.42
10/02/2017	HORNE, CHARLES	PUTNAM U.S. GOVT INCOME TR-A	0.00	0.48	0.00	0.48	48.0	0.20
09/28/2017	BURNS, ANNE	SEC BEN 814121158	36,285.33	30.23	0.00	30.23	48.0	12.70
10/03/2017	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	10.76	0.00	10.76	48.0	4.52
10/03/2017	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.26	0.00	4.26	48.0	1.79
* MARK-S141 REG SMITH SUBTOTAL *			\$993,052.57	\$1,492.93	\$0.00	\$1,492.93		\$627.04
*** OVERRIDE TRANSACTIONS TOTAL ***			\$993,052.57	\$1,492.93	\$0.00	\$1,492.93		\$627.04

ADJUSTMENTS

10/15/2017	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
10/15/2017	*EO INS: CURRENT MONTH INS	0.00						-300.00
10/15/2017	*LASER APP: CURRENT MONTH	0.00						-18.00
10/15/2017	*RBC: CURRENT MONTH	0.00						-25.00
10/15/2017	*TECH: CURRENT MONTH BASIC	0.00						-180.00
10/15/2017	*TECHNOLOGY: ADMIN - CHRISTINA POOL	0.00						-130.00
10/15/2017	*TECHNOLOGY: ADMIN - JAMIE CONDRAY	0.00						-130.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-790.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$16,768.51
Split Transactions	\$0.00
Override Transactions	\$627.04
Adjustments	\$-790.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$16,605.55
Year-to-date Gross	\$73,489.73
Year-to-date Earnings	\$107,421.82