

**COMMISSION STATEMENT****January 15, 2018****L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU**11617 Coldwater Road
Suite 103
Fort Wayne, IN 46845**BROKER #: L131**

BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
^01/04/2018	HAMM, GAIL	PERSHING	246,120.92	910.31	0.00	910.31	90.0	0.00
^01/04/2018	HAMM, GAIL	PERSHING	104,619.66	386.95	0.00	386.95	90.0	0.00
^01/04/2018	HAMM, GAIL	PERSHING	120,323.70	445.03	0.00	445.03	90.0	0.00
^01/04/2018	EISERLE, DAVID	PERSHING	18,424.55	68.15	0.00	68.15	90.0	0.00
^01/04/2018	NOVELL, DEANA	PERSHING	106,591.83	394.24	0.00	394.24	90.0	0.00
^01/04/2018	GROTE, DONALD	PERSHING	113,166.56	418.56	0.00	418.56	90.0	0.00
^01/04/2018	GROTE, DONALD	PERSHING	30,975.97	114.57	0.00	114.57	90.0	0.00
^01/04/2018	CHIDDISTER, LISA	PERSHING	96.48	0.36	0.00	0.36	90.0	0.00
^01/04/2018	KAISER, ROBIN	PERSHING	49,813.07	184.24	0.00	184.24	90.0	0.00
^01/04/2018	KAISER, ROBERT	PERSHING	15,804.57	58.46	0.00	58.46	90.0	0.00
^01/04/2018	GAY, RICHARD	PERSHING	23,702.45	87.67	0.00	87.67	90.0	0.00
^01/04/2018	SORG, RICHARD	PERSHING	83,790.33	206.61	0.00	206.61	90.0	0.00
^01/04/2018	BURTNETTE, PATRICIA	PERSHING	413,969.32	1,020.75	0.00	1,020.75	90.0	0.00
^01/04/2018	BAILEY, LAURIE	PERSHING	45,975.35	170.05	0.00	170.05	90.0	0.00
* RIA SUBTOTAL *			\$1,373,374.76	\$4,465.95	\$0.00	\$4,465.95		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$1,373,374.76	\$4,465.95	\$0.00	\$4,465.95		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
01/04/2018	HAMM, GAIL	PERSHING	246,120.92	273.09	0.00	273.09	90.0	245.78
01/04/2018	HAMM, GAIL	PERSHING	104,619.66	116.09	0.00	116.09	90.0	104.48
01/04/2018	HAMM, GAIL	PERSHING	120,323.70	133.51	0.00	133.51	90.0	120.16
01/04/2018	EISERLE, DAVID	PERSHING	18,424.55	20.45	0.00	20.45	90.0	18.41
01/04/2018	NOVELL, DEANA	PERSHING	106,591.83	118.27	0.00	118.27	90.0	106.44
01/04/2018	GROTE, DONALD	PERSHING	113,166.56	125.57	0.00	125.57	90.0	113.01
01/04/2018	GROTE, DONALD	PERSHING	30,975.97	34.37	0.00	34.37	90.0	30.93
01/04/2018	CHIDDISTER, LISA	PERSHING	96.48	0.11	0.00	0.11	90.0	0.10
01/04/2018	KAISER, ROBIN	PERSHING	49,813.07	55.27	0.00	55.27	90.0	49.74
01/04/2018	KAISER, ROBERT	PERSHING	15,804.57	17.54	0.00	17.54	90.0	15.79
01/04/2018	GAY, RICHARD	PERSHING	23,702.45	26.30	0.00	26.30	90.0	23.67
01/04/2018	SORG, RICHARD	PERSHING	83,790.33	61.98	0.00	61.98	90.0	55.78
01/04/2018	BURTNETTE, PATRICIA	PERSHING	413,969.32	306.23	0.00	306.23	90.0	275.61
01/04/2018	BAILEY, LAURIE	PERSHING	45,975.35	51.02	0.00	51.02	90.0	45.92
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,373,374.76	\$1,339.80	\$0.00	\$1,339.80		\$1,205.82
*** SPLIT TRANSACTIONS TOTAL ***			\$1,373,374.76	\$1,339.80	\$0.00	\$1,339.80		\$1,205.82

ADJUSTMENTS

01/15/2018	*PERSHING: ETF PROSPECTUS	0.00						0.00
01/15/2018	*PERSHING: ETF PROSPECTUS	0.00						-6.77
01/15/2018	*PERSHING: MF PROSPECTUS	0.00						0.00
01/15/2018	*PERSHING: MF PROSPECTUS	0.00						-7.55
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-14.32

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$1,205.82
Override Transactions	\$0.00
Adjustments	\$-14.32
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.41
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,191.91
Year-to-date Gross	\$1,339.80
Year-to-date Earnings	\$1,205.82