



COMMISSION STATEMENT January 17, 2017

BRION NORBY
4905 Prairie Lane

BROKER #: N121
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
01/11/2017	NORBY, CHERISE	SEI	129,924.59	324.81	0.00	324.81	90.0	292.33
01/11/2017	NORBY, CHERISE	SEI	4,266.40	10.67	0.00	10.67	90.0	9.60
01/11/2017	NORBY, BRION	SEI	6,813.73	17.04	0.00	17.04	90.0	15.34
* RIA SUBTOTAL *			\$141,004.72	\$352.52	\$0.00	\$352.52		\$317.27
*** BROKER TRANSACTIONS TOTAL ***			\$141,004.72	\$352.52	\$0.00	\$352.52		\$317.27

SPLIT TRANSACTIONS

BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332

01/06/2017	HELLER, VICKIE	AMG GW&K ENHANCED CORE BOND FUND CL	-1,779.32	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	AMG GW&K ENHANCED CORE BOND FUND CL	-913.21	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	AMG GW&K ENHANCED CORE BOND FUND CL	-830.74	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	AMG GW&K ENHANCED CORE BOND FUND CL	-9,293.64	0.00	0.00	0.00	90.0	0.00
01/06/2017	LIESENER, MARTY	CAMBIAR INTERNATIONAL EQUITY FUND I	-62.78	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, MELINDA	CAMBIAR INTERNATIONAL EQUITY FUND I	-58.08	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	CAMBIAR INTERNATIONAL EQUITY FUND I	-90.82	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	CAMBIAR INTERNATIONAL EQUITY FUND I	-63.84	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	CAMBIAR INTERNATIONAL EQUITY FUND I	-118.02	0.00	0.00	0.00	90.0	0.00
01/06/2017	BROE, CRAIG	CAMBIAR INTERNATIONAL EQUITY FUND I	-245.38	0.00	0.00	0.00	90.0	0.00
01/06/2017	HICKEL, TONY	CAMBIAR INTERNATIONAL EQUITY FUND I	-179.66	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	CAMBIAR INTERNATIONAL EQUITY FUND I	576.31	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	CAMBIAR INTERNATIONAL EQUITY FUND I	299.95	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	CAMBIAR INTERNATIONAL EQUITY FUND I	273.78	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	CAMBIAR INTERNATIONAL EQUITY FUND I	3,076.93	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	CLEARBRIDGE MID CAP	189.23	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	CLEARBRIDGE MID CAP	98.49	0.00	0.00	0.00	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
01/06/2017	HELLER, GREG	CLEARBRIDGE MID CAP	89.91	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	CLEARBRIDGE MID CAP	1,003.97	0.00	0.00	0.00	90.0	0.00
01/06/2017	LIESENER, MARTY	CONGRESS MID CAP GROWTH FUND INSTIT	3.87	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, MELINDA	CONGRESS MID CAP GROWTH FUND INSTIT	3.69	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	CONGRESS MID CAP GROWTH FUND INSTIT	4.31	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	CONGRESS MID CAP GROWTH FUND INSTIT	3.50	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	CONGRESS MID CAP GROWTH FUND INSTIT	4.76	0.00	0.00	0.00	90.0	0.00
01/06/2017	BROE, CRAIG	CONGRESS MID CAP GROWTH FUND INSTIT	12.16	0.00	0.00	0.00	90.0	0.00
01/06/2017	HICKEL, TONY	CONGRESS MID CAP GROWTH FUND INSTIT	56.64	0.00	0.00	0.00	90.0	0.00
01/06/2017	LIESENER, MARTY	CREDIT SUISSE COMMODITY RETURN STRA	177.93	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, MELINDA	CREDIT SUISSE COMMODITY RETURN STRA	166.23	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	CREDIT SUISSE COMMODITY RETURN STRA	235.96	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	CREDIT SUISSE COMMODITY RETURN STRA	173.79	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	CREDIT SUISSE COMMODITY RETURN STRA	292.20	0.00	0.00	0.00	90.0	0.00
01/06/2017	BROE, CRAIG	CREDIT SUISSE COMMODITY RETURN STRA	640.63	0.00	0.00	0.00	90.0	0.00
01/06/2017	HICKEL, TONY	CREDIT SUISSE COMMODITY RETURN STRA	633.78	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	CREDIT SUISSE COMMODITY RETURN STRA	391.06	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	CREDIT SUISSE COMMODITY RETURN STRA	201.70	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	CREDIT SUISSE COMMODITY RETURN STRA	183.94	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	CREDIT SUISSE COMMODITY RETURN STRA	2,055.77	0.00	0.00	0.00	90.0	0.00
01/06/2017	LIESENER, MARTY	HORIZON ACTIVE ASSET ALLOCATION FUN	-17.72	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, MELINDA	HORIZON ACTIVE ASSET ALLOCATION FUN	-15.11	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	HORIZON ACTIVE ASSET ALLOCATION FUN	-29.95	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	HORIZON ACTIVE ASSET ALLOCATION FUN	-19.61	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	HORIZON ACTIVE ASSET ALLOCATION FUN	-36.15	0.00	0.00	0.00	90.0	0.00
01/06/2017	BROE, CRAIG	HORIZON ACTIVE ASSET ALLOCATION FUN	-24.18	0.00	0.00	0.00	90.0	0.00
01/06/2017	HICKEL, TONY	HORIZON ACTIVE ASSET ALLOCATION FUN	280.04	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	HORIZON ACTIVE ASSET ALLOCATION FUN	-73.20	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	HORIZON ACTIVE ASSET ALLOCATION FUN	-37.00	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	HORIZON ACTIVE ASSET ALLOCATION FUN	-33.86	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	HORIZON ACTIVE ASSET ALLOCATION FUN	-335.27	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	ISHARES TR RUSSELL 2000 INDEX FD	135.98	0.00	0.00	0.00	90.0	0.00
01/06/2017	BROE, CRAIG	ISHARES TR RUSSELL 2000 INDEX FD	135.98	0.00	0.00	0.00	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
01/06/2017	HICKEL, TONY	ISHARES TR RUSSELL 2000 INDEX FD	135.98	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	ISHARES TR RUSSELL 2000 INDEX FD	271.96	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	ISHARES TR RUSSELL 2000 INDEX FD	135.98	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	ISHARES TR RUSSELL 2000 INDEX FD	1,223.80	0.00	0.00	0.00	90.0	0.00
01/06/2017	LIESENER, MARTY	JH DISCIPLINED VAL CL 1	16.03	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, MELINDA	JH DISCIPLINED VAL CL 1	15.09	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	JH DISCIPLINED VAL CL 1	19.46	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	JH DISCIPLINED VAL CL 1	15.05	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	JH DISCIPLINED VAL CL 1	22.80	0.00	0.00	0.00	90.0	0.00
01/06/2017	BROE, CRAIG	JH DISCIPLINED VAL CL 1	53.60	0.00	0.00	0.00	90.0	0.00
01/06/2017	HICKEL, TONY	JH DISCIPLINED VAL CL 1	126.42	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	JH DISCIPLINED VAL CL 1	401.06	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	JH DISCIPLINED VAL CL 1	207.81	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	JH DISCIPLINED VAL CL 1	189.58	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	JH DISCIPLINED VAL CL 1	2,117.88	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	METROPOLITAN WEST TOTAL RETURN BOND	-1,777.35	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	METROPOLITAN WEST TOTAL RETURN BOND	-912.18	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	METROPOLITAN WEST TOTAL RETURN BOND	-829.84	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	METROPOLITAN WEST TOTAL RETURN BOND	-9,283.30	0.00	0.00	0.00	90.0	0.00
01/06/2017	LIESENER, MARTY	MFS INTL NEW DISCOVERY FUND I	-5.47	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, MELINDA	MFS INTL NEW DISCOVERY FUND I	-5.00	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	MFS INTL NEW DISCOVERY FUND I	-9.09	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	MFS INTL NEW DISCOVERY FUND I	-5.97	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	MFS INTL NEW DISCOVERY FUND I	-12.59	0.00	0.00	0.00	90.0	0.00
01/06/2017	BROE, CRAIG	MFS INTL NEW DISCOVERY FUND I	-24.38	0.00	0.00	0.00	90.0	0.00
01/06/2017	HICKEL, TONY	MFS INTL NEW DISCOVERY FUND I	-52.18	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	MFS INTL NEW DISCOVERY FUND I	112.56	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	MFS INTL NEW DISCOVERY FUND I	58.56	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	MFS INTL NEW DISCOVERY FUND I	53.45	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	MFS INTL NEW DISCOVERY FUND I	597.06	0.00	0.00	0.00	90.0	0.00
01/06/2017	LIESENER, MARTY	NAVIGATOR TACTICAL FIXED INCOME FUN	-752.78	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, MELINDA	NAVIGATOR TACTICAL FIXED INCOME FUN	-702.95	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	NAVIGATOR TACTICAL FIXED INCOME FUN	-1,002.55	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332								
01/06/2017	MILLER, CHARLES	NAVIGATOR TACTICAL FIXED INCOME FUN	-736.66	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	NAVIGATOR TACTICAL FIXED INCOME FUN	-1,244.69	0.00	0.00	0.00	90.0	0.00
01/06/2017	BROE, CRAIG	NAVIGATOR TACTICAL FIXED INCOME FUN	-2,721.80	0.00	0.00	0.00	90.0	0.00
01/06/2017	HICKEL, TONY	NAVIGATOR TACTICAL FIXED INCOME FUN	-3,064.88	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	NAVIGATOR TACTICAL FIXED INCOME FUN	23.61	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	NAVIGATOR TACTICAL FIXED INCOME FUN	14.00	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	NAVIGATOR TACTICAL FIXED INCOME FUN	12.95	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	NAVIGATOR TACTICAL FIXED INCOME FUN	152.89	0.00	0.00	0.00	90.0	0.00
01/06/2017	LIESENER, MARTY	NORTHERN MULTI- MANAGER EMERGING MA	10.71	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, MELINDA	NORTHERN MULTI- MANAGER EMERGING MA	10.09	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	NORTHERN MULTI- MANAGER EMERGING MA	13.24	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	NORTHERN MULTI- MANAGER EMERGING MA	10.14	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	NORTHERN MULTI- MANAGER EMERGING MA	15.70	0.00	0.00	0.00	90.0	0.00
01/06/2017	BROE, CRAIG	NORTHERN MULTI- MANAGER EMERGING MA	36.14	0.00	0.00	0.00	90.0	0.00
01/06/2017	HICKEL, TONY	NORTHERN MULTI- MANAGER EMERGING MA	13.63	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	NORTHERN MULTI- MANAGER EMERGING MA	68.54	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	NORTHERN MULTI- MANAGER EMERGING MA	35.70	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	NORTHERN MULTI- MANAGER EMERGING MA	32.60	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	NORTHERN MULTI- MANAGER EMERGING MA	366.39	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	POWERSHARES DB COMMODITY INDEX TRAC	47.42	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, TICINIE	POWERSHARES DB COMMODITY INDEX TRAC	79.04	0.00	0.00	0.00	90.0	0.00
01/06/2017	LIESENER, MARTY	POWERSHARES EXCHANGE-TRADED FD TR I	-280.56	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, MELINDA	POWERSHARES EXCHANGE-TRADED FD TR I	-257.18	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	POWERSHARES EXCHANGE-TRADED FD TR I	-374.08	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	POWERSHARES EXCHANGE-TRADED FD TR I	-280.56	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	POWERSHARES EXCHANGE-TRADED FD TR I	-467.60	0.00	0.00	0.00	90.0	0.00
01/06/2017	BROE, CRAIG	POWERSHARES EXCHANGE-TRADED FD TR I	-1,028.72	0.00	0.00	0.00	90.0	0.00
01/06/2017	HICKEL, TONY	POWERSHARES EXCHANGE-TRADED FD TR I	-1,145.62	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	POWERSHARES EXCHANGE-TRADED FD TR I	116.93	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, TICINIE	POWERSHARES EXCHANGE-TRADED FD TR I	233.87	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	POWERSHARES EXCHANGE-TRADED FD TR I	-1,332.66	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	POWERSHARES EXCHANGE-TRADED FD TR I	-678.02	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	POWERSHARES EXCHANGE-TRADED FD TR I	-607.88	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
01/06/2017	HELLER, GREG	POWERSHARES EXCHANGE-TRADED FD TR I	-6,990.62	0.00	0.00	0.00	90.0	0.00
01/06/2017	LIESENER, MARTY	RESEARCH MARKET NEUTRAL FUND-INST	56.38	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, MELINDA	RESEARCH MARKET NEUTRAL FUND-INST	52.72	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	RESEARCH MARKET NEUTRAL FUND-INST	74.16	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	RESEARCH MARKET NEUTRAL FUND-INST	54.86	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	RESEARCH MARKET NEUTRAL FUND-INST	91.40	0.00	0.00	0.00	90.0	0.00
01/06/2017	BROE, CRAIG	RESEARCH MARKET NEUTRAL FUND-INST	201.62	0.00	0.00	0.00	90.0	0.00
01/06/2017	HICKEL, TONY	RESEARCH MARKET NEUTRAL FUND-INST	136.79	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	RESEARCH MARKET NEUTRAL FUND-INST	53.07	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	RESEARCH MARKET NEUTRAL FUND-INST	28.25	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	RESEARCH MARKET NEUTRAL FUND-INST	25.85	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	RESEARCH MARKET NEUTRAL FUND-INST	287.94	0.00	0.00	0.00	90.0	0.00
01/06/2017	LIESENER, MARTY	RIDGEWORTH SEIX FLOATING RATE HIGH	884.08	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, MELINDA	RIDGEWORTH SEIX FLOATING RATE HIGH	825.64	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	RIDGEWORTH SEIX FLOATING RATE HIGH	1,176.03	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	RIDGEWORTH SEIX FLOATING RATE HIGH	864.68	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	RIDGEWORTH SEIX FLOATING RATE HIGH	1,459.06	0.00	0.00	0.00	90.0	0.00
01/06/2017	BROE, CRAIG	RIDGEWORTH SEIX FLOATING RATE HIGH	3,192.98	0.00	0.00	0.00	90.0	0.00
01/06/2017	HICKEL, TONY	RIDGEWORTH SEIX FLOATING RATE HIGH	3,516.85	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	RIDGEWORTH SEIX FLOATING RATE HIGH	2,700.02	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	RIDGEWORTH SEIX FLOATING RATE HIGH	1,387.87	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	RIDGEWORTH SEIX FLOATING RATE HIGH	1,265.13	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	RIDGEWORTH SEIX FLOATING RATE HIGH	14,145.85	0.00	0.00	0.00	90.0	0.00
01/06/2017	LIESENER, MARTY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	31.32	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, MELINDA	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	29.38	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	39.58	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	29.94	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	47.65	0.00	0.00	0.00	90.0	0.00
01/06/2017	BROE, CRAIG	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	107.86	0.00	0.00	0.00	90.0	0.00
01/06/2017	HICKEL, TONY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	140.29	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	427.77	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, VICKIE	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	221.52	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	202.09	0.00	0.00	0.00	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
01/06/2017	HELLER, GREG	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	2,266.75	0.00	0.00	0.00	90.0	0.00
01/11/2017	AAFEDT, CYNTHIA	SEI	416,039.00	182.02	0.00	182.02	90.0	163.82
01/11/2017	BENSON, JEFFREY	SEI	124,084.14	209.40	0.00	209.40	90.0	188.46
01/11/2017	HARMEL, ERIC	SEI	100.06	0.02	0.00	0.02	90.0	0.02
01/11/2017	HARMEL, ERIC	SEI	39,326.03	29.87	0.00	29.87	90.0	26.88
01/11/2017	HAUGEN, PATRICK	SEI	159,220.58	268.69	0.00	268.69	90.0	241.82
01/11/2017	HAUGEN, PATRICK	SEI	97,731.38	164.92	0.00	164.92	90.0	148.43
01/11/2017	KRINGEN, STUART	SEI	320,643.75	120.24	0.00	120.24	90.0	108.22
01/11/2017	LIESENER, MARTY	SEI	0.79	0.00	0.00	0.00	90.0	0.00
01/11/2017	LIESENER, MARTY	SEI	53,323.03	89.99	0.00	89.99	90.0	80.99
01/11/2017	LYNDE, STEVEN	SEI	46,865.99	73.23	0.00	73.23	90.0	65.91
01/11/2017	LYNDE, LANA	SEI	19,897.98	31.09	0.00	31.09	90.0	27.98
01/11/2017	WEBER, DANIEL	SEI	93,734.74	35.15	0.00	35.15	90.0	31.64
01/06/2017	WEBER, TICINIE	SPDR SER TR BARCLAYS HIGH YIELD BD	-220.21	0.00	0.00	0.00	90.0	0.00
01/06/2017	LIESENER, MARTY	STERLING CAP FUNDS	-9.44	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, MELINDA	STERLING CAP FUNDS	-8.74	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	STERLING CAP FUNDS	-13.40	0.00	0.00	0.00	90.0	0.00
01/06/2017	MILLER, CHARLES	STERLING CAP FUNDS	-9.52	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	STERLING CAP FUNDS	-17.23	0.00	0.00	0.00	90.0	0.00
01/06/2017	BROE, CRAIG	STERLING CAP FUNDS	-35.64	0.00	0.00	0.00	90.0	0.00
01/06/2017	HICKEL, TONY	STERLING CAP FUNDS	27.95	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	VANECK VECTORS ETF TR HIGH-YIELD MU	-89.38	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	VANGUARD CHARLOTTE FDS TOTAL INTL B	-108.01	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	VANGUARD INDEX FDS VANGUARD REIT ET	84.27	0.00	0.00	0.00	90.0	0.00
01/06/2017	HELLER, GREG	VANGUARD INDEX FDS VANGUARD REIT ET	-168.44	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, DANIEL	VANGUARD INTL EQUITY INDEX FD INC F	90.52	0.00	0.00	0.00	90.0	0.00
01/06/2017	WEBER, TICINIE	VANGUARD INTL EQUITY INDEX FD INC F	271.55	0.00	0.00	0.00	90.0	0.00
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$1,374,412.64	\$1,204.62	\$0.00	\$1,204.62		\$1,084.17

BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333

12/29/2016	VESTAL, KATHY	DREYFUS SMALL CAP STOCK INDEX	5,000.00	0.00	2.53	-2.53	90.0	-2.28
12/29/2016	MORRIS, PATRICK	DRIEHAUS EVENT DRIVEN FUND	2,000.00	0.00	2.53	-2.53	90.0	-2.28
12/29/2016	MORRIS, PATRICK	DRIEHAUS MICRO CAP GROWTH FUND	2,000.00	0.00	2.53	-2.53	90.0	-2.28
12/29/2016	MORRIS, SANDRA PACKER	DRIEHAUS MICRO CAP GROWTH FUND	2,000.00	0.00	2.53	-2.53	90.0	-2.28

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
12/29/2016	VESTAL, KATHY	FIDELITY SPARTAN 500 INDEX FUND INV	7,000.00	0.00	2.53	-2.53	90.0	-2.28
12/29/2016	VESTAL, KATHY	FIDELITY SPARTAN 500 INDEX FUND INV	10,000.00	0.00	2.53	-2.53	90.0	-2.28
12/29/2016	VESTAL, KATHY	FRK BIOTECHNOLOGY DISCOVERY FD ADV-	7,412.88	0.00	0.00	0.00	90.0	0.00
12/29/2016	VESTAL, KATHY	FRK BIOTECHNOLOGY DISCOVERY FD ADV-	12,177.41	0.00	0.00	0.00	90.0	0.00
12/20/2016	KALIL, DANIEL	PRUDENTIAL SHORT-TERM CORP BOND CL	45,930.03	0.00	0.00	0.00	90.0	0.00
12/29/2016	MORRIS, SANDRA PACKER	RIDGEWORTH MID CAP VALUE EQUITY FUN	2,000.00	0.00	0.00	0.00	90.0	0.00
12/29/2016	MORRIS, SANDRA PACKER	TOUCHSTONE FOCUSED FUND CLASS Y	2,000.00	0.00	2.53	-2.53	90.0	-2.28
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$97,520.32	\$0.00	\$17.71	\$-17.71		\$-15.96
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
01/05/2017	SKAARE, LARRY	AMG GW&K ENHANCED CORE BOND FUND CL	-836.52	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	AMG GW&K ENHANCED CORE BOND FUND CL	-5,064.28	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	AMG GW&K ENHANCED CORE BOND FUND CL	-5,680.87	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	AMG GW&K ENHANCED CORE BOND FUND CL	-2,959.53	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	AMG GW&K ENHANCED CORE BOND FUND CL	-1,616.70	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	CAMBIAR INTERNATIONAL EQUITY FUND I	313.83	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	CAMBIAR INTERNATIONAL EQUITY FUND I	626.85	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	CAMBIAR INTERNATIONAL EQUITY FUND I	1,504.65	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	CAMBIAR INTERNATIONAL EQUITY FUND I	-844.66	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	CAMBIAR INTERNATIONAL EQUITY FUND I	-82.95	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	CAMBIAR INTERNATIONAL EQUITY FUND I	2,157.52	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	CAMBIAR INTERNATIONAL EQUITY FUND I	511.60	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	CAMBIAR INTERNATIONAL EQUITY FUND I	-214.36	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	CAMBIAR INTERNATIONAL EQUITY FUND I	-269.64	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	CAMBIAR INTERNATIONAL EQUITY FUND I	-287.23	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	CAMBIAR INTERNATIONAL EQUITY FUND I	-186.04	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, DONALD	CAMBIAR INTERNATIONAL EQUITY FUND I	-540.87	0.00	0.00	0.00	90.0	0.00
01/06/2017	SKAARE, LARRY	CAMBIAR INTERNATIONAL EQUITY FUND I	-328.71	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	CAMBIAR INTERNATIONAL EQUITY FUND I	-198.26	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	CATALYST HEDGED FUTURES STRATEGY FU	1,520.26	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	CATALYST HEDGED FUTURES STRATEGY FU	1,492.28	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	CATALYST HEDGED FUTURES STRATEGY FU	406.17	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	CATALYST HEDGED FUTURES STRATEGY FU	464.03	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	CATALYST HEDGED FUTURES STRATEGY FU	531.32	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
01/06/2017	KUHN, KRISTINE	CATALYST HEDGED FUTURES STRATEGY FU	326.57	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, DONALD	CATALYST HEDGED FUTURES STRATEGY FU	945.50	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	CATALYST HEDGED FUTURES STRATEGY FU	349.76	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	CLEARBRIDGE MID CAP	200.58	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	CLEARBRIDGE MID CAP	166.64	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	CONGRESS MID CAP GROWTH FUND INSTIT	47.07	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	CONGRESS MID CAP GROWTH FUND INSTIT	342.03	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	CONGRESS MID CAP GROWTH FUND INSTIT	-27.89	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	CONGRESS MID CAP GROWTH FUND INSTIT	4.17	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	CONGRESS MID CAP GROWTH FUND INSTIT	67.77	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	CONGRESS MID CAP GROWTH FUND INSTIT	-8.69	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	CONGRESS MID CAP GROWTH FUND INSTIT	-9.12	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	CONGRESS MID CAP GROWTH FUND INSTIT	-11.92	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	CONGRESS MID CAP GROWTH FUND INSTIT	-6.07	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, DONALD	CONGRESS MID CAP GROWTH FUND INSTIT	-17.66	0.00	0.00	0.00	90.0	0.00
01/06/2017	SKAARE, LARRY	CONGRESS MID CAP GROWTH FUND INSTIT	65.63	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	CONGRESS MID CAP GROWTH FUND INSTIT	-6.34	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	CREDIT SUISSE COMMODITY RETURN STRA	172.51	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	CREDIT SUISSE COMMODITY RETURN STRA	836.10	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	CREDIT SUISSE COMMODITY RETURN STRA	1,117.28	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	CREDIT SUISSE COMMODITY RETURN STRA	1,069.26	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	CREDIT SUISSE COMMODITY RETURN STRA	219.22	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	CREDIT SUISSE COMMODITY RETURN STRA	454.96	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	CREDIT SUISSE COMMODITY RETURN STRA	350.56	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	CREDIT SUISSE COMMODITY RETURN STRA	242.41	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	CREDIT SUISSE COMMODITY RETURN STRA	333.04	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	CREDIT SUISSE COMMODITY RETURN STRA	317.84	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	CREDIT SUISSE COMMODITY RETURN STRA	233.93	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, DONALD	CREDIT SUISSE COMMODITY RETURN STRA	676.99	0.00	0.00	0.00	90.0	0.00
01/06/2017	SKAARE, LARRY	CREDIT SUISSE COMMODITY RETURN STRA	564.33	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	CREDIT SUISSE COMMODITY RETURN STRA	250.38	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	HORIZON ACTIVE ASSET ALLOCATION FUN	-43.62	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	HORIZON ACTIVE ASSET ALLOCATION FUN	-824.35	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
01/06/2017	FREIH, DIANE	HORIZON ACTIVE ASSET ALLOCATION FUN	-367.34	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	HORIZON ACTIVE ASSET ALLOCATION FUN	-332.37	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	HORIZON ACTIVE ASSET ALLOCATION FUN	-27.25	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	HORIZON ACTIVE ASSET ALLOCATION FUN	-712.99	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	HORIZON ACTIVE ASSET ALLOCATION FUN	-77.62	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	HORIZON ACTIVE ASSET ALLOCATION FUN	-102.20	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	HORIZON ACTIVE ASSET ALLOCATION FUN	-116.50	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	HORIZON ACTIVE ASSET ALLOCATION FUN	-129.64	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	HORIZON ACTIVE ASSET ALLOCATION FUN	-87.07	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, DONALD	HORIZON ACTIVE ASSET ALLOCATION FUN	-206.72	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	HORIZON ACTIVE ASSET ALLOCATION FUN	-74.29	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	ISHARES TR CORE TOTAL U S BD MKT ET	-1,086.02	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	ISHARES TR CORE TOTAL U S BD MKT ET	-650.23	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	ISHARES TR CORE TOTAL U S BD MKT ET	-975.35	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	ISHARES TR CORE TOTAL U S BD MKT ET	-325.12	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, PAMELA	ISHARES TR CORE TOTAL U S BD MKT ET	-758.60	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, PAMELA	ISHARES TR CORE TOTAL U S BD MKT ET	-866.98	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	ISHARES TR CORE TOTAL U S BD MKT ET	-1,192.09	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	ISHARES TR RUSSELL 2000 INDEX FD	136.47	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	ISHARES TR RUSSELL 2000 INDEX FD	407.93	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	ISHARES TR RUSSELL 2000 INDEX FD	815.87	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	ISHARES TR RUSSELL 2000 INDEX FD	135.98	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	ISHARES TR RUSSELL 2000 INDEX FD	271.96	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	ISHARES TR RUSSELL 2000 INDEX FD	135.98	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	ISHARES TR RUSSELL 2000 INDEX FD	135.98	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, DONALD	ISHARES TR RUSSELL 2000 INDEX FD	135.98	0.00	0.00	0.00	90.0	0.00
01/06/2017	SKAARE, LARRY	ISHARES TR RUSSELL 2000 INDEX FD	135.98	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	ISHARES TR S&P 500 INDEX FD	227.63	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	ISHARES TR S&P 500 INDEX FD	227.86	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, PAMELA	ISHARES TR S&P 500 INDEX FD	227.86	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, PAMELA	ISHARES TR S&P 500 INDEX FD	227.86	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	ISHARES TR S&P 500 INDEX FD	227.86	0.00	0.00	0.00	90.0	0.00
01/10/2017	RASMUSSEN, CLINTON	JEFFERSON NATIONAL	335,392.03	419.24	0.00	419.24	90.0	377.32

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
01/05/2017	SKAARE, LARRY	JH DISCIPLINED VAL CL 1	164.38	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	JH DISCIPLINED VAL CL 1	642.64	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	JH DISCIPLINED VAL CL 1	1,109.41	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	JH DISCIPLINED VAL CL 1	-16.19	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	JH DISCIPLINED VAL CL 1	18.39	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	JH DISCIPLINED VAL CL 1	306.66	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	JH DISCIPLINED VAL CL 1	356.44	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	JH DISCIPLINED VAL CL 1	-8.81	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	JH DISCIPLINED VAL CL 1	-5.96	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	JH DISCIPLINED VAL CL 1	-12.78	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	JH DISCIPLINED VAL CL 1	-3.39	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, DONALD	JH DISCIPLINED VAL CL 1	-10.23	0.00	0.00	0.00	90.0	0.00
01/06/2017	SKAARE, LARRY	JH DISCIPLINED VAL CL 1	152.16	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	JH DISCIPLINED VAL CL 1	-3.37	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	METROPOLITAN WEST TOTAL RETURN BOND	-834.94	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	METROPOLITAN WEST TOTAL RETURN BOND	-5,059.10	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	METROPOLITAN WEST TOTAL RETURN BOND	-5,677.51	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	METROPOLITAN WEST TOTAL RETURN BOND	-2,957.85	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	METROPOLITAN WEST TOTAL RETURN BOND	-1,614.94	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	MFS INTL NEW DISCOVERY FUND I	88.19	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	MFS INTL NEW DISCOVERY FUND I	123.54	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	MFS INTL NEW DISCOVERY FUND I	443.03	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	MFS INTL NEW DISCOVERY FUND I	-147.93	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	MFS INTL NEW DISCOVERY FUND I	-8.12	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	MFS INTL NEW DISCOVERY FUND I	60.25	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	MFS INTL NEW DISCOVERY FUND I	99.37	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	MFS INTL NEW DISCOVERY FUND I	-38.79	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	MFS INTL NEW DISCOVERY FUND I	-47.01	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	MFS INTL NEW DISCOVERY FUND I	-52.08	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	MFS INTL NEW DISCOVERY FUND I	-32.19	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, DONALD	MFS INTL NEW DISCOVERY FUND I	-93.82	0.00	0.00	0.00	90.0	0.00
01/06/2017	SKAARE, LARRY	MFS INTL NEW DISCOVERY FUND I	-83.89	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	MFS INTL NEW DISCOVERY FUND I	-34.26	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
01/05/2017	SKAARE, LARRY	NAVIGATOR TACTICAL FIXED INCOME FUN	2.90	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	NAVIGATOR TACTICAL FIXED INCOME FUN	-367.85	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	NAVIGATOR TACTICAL FIXED INCOME FUN	7.87	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	NAVIGATOR TACTICAL FIXED INCOME FUN	-4,793.00	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	NAVIGATOR TACTICAL FIXED INCOME FUN	-930.61	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	NAVIGATOR TACTICAL FIXED INCOME FUN	-152.16	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	NAVIGATOR TACTICAL FIXED INCOME FUN	17.20	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	NAVIGATOR TACTICAL FIXED INCOME FUN	-1,099.12	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	NAVIGATOR TACTICAL FIXED INCOME FUN	-1,495.55	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	NAVIGATOR TACTICAL FIXED INCOME FUN	-1,444.27	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	NAVIGATOR TACTICAL FIXED INCOME FUN	-1,048.52	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, DONALD	NAVIGATOR TACTICAL FIXED INCOME FUN	-3,037.94	0.00	0.00	0.00	90.0	0.00
01/06/2017	SKAARE, LARRY	NAVIGATOR TACTICAL FIXED INCOME FUN	-2,811.39	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	NAVIGATOR TACTICAL FIXED INCOME FUN	-1,121.83	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	NORTHERN MULTI- MANAGER EMERGING MA	52.08	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	NORTHERN MULTI- MANAGER EMERGING MA	67.20	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	NORTHERN MULTI- MANAGER EMERGING MA	278.31	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	NORTHERN MULTI- MANAGER EMERGING MA	4.63	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	NORTHERN MULTI- MANAGER EMERGING MA	12.48	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	NORTHERN MULTI- MANAGER EMERGING MA	42.67	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	NORTHERN MULTI- MANAGER EMERGING MA	60.75	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	NORTHERN MULTI- MANAGER EMERGING MA	-1.89	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	NORTHERN MULTI- MANAGER EMERGING MA	0.57	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	NORTHERN MULTI- MANAGER EMERGING MA	-3.29	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	NORTHERN MULTI- MANAGER EMERGING MA	0.84	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, DONALD	NORTHERN MULTI- MANAGER EMERGING MA	2.14	0.00	0.00	0.00	90.0	0.00
01/06/2017	SKAARE, LARRY	NORTHERN MULTI- MANAGER EMERGING MA	-87.42	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	NORTHERN MULTI- MANAGER EMERGING MA	1.01	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	POWERSHARES DB COMMODITY INDEX TRAC	79.10	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	POWERSHARES DB COMMODITY INDEX TRAC	63.23	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	POWERSHARES DB COMMODITY INDEX TRAC	94.85	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	POWERSHARES DB COMMODITY INDEX TRAC	47.42	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	POWERSHARES DB COMMODITY INDEX TRAC	63.23	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
01/06/2017	KUHN, KRISTINE	POWERSHARES DB COMMODITY INDEX TRAC	47.42	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	POWERSHARES DB COMMODITY INDEX TRAC	63.23	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, PAMELA	POWERSHARES DB COMMODITY INDEX TRAC	79.04	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, PAMELA	POWERSHARES DB COMMODITY INDEX TRAC	79.04	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	POWERSHARES DB COMMODITY INDEX TRAC	94.85	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	POWERSHARES EXCHANGE-TRADED FD TR I	-514.40	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	POWERSHARES EXCHANGE-TRADED FD TR I	374.21	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	POWERSHARES EXCHANGE-TRADED FD TR I	-3,507.00	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	POWERSHARES EXCHANGE-TRADED FD TR I	374.18	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	POWERSHARES EXCHANGE-TRADED FD TR I	537.89	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	POWERSHARES EXCHANGE-TRADED FD TR I	-3,530.38	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	POWERSHARES EXCHANGE-TRADED FD TR I	-1,847.02	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	POWERSHARES EXCHANGE-TRADED FD TR I	-350.70	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	POWERSHARES EXCHANGE-TRADED FD TR I	-1,776.88	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	POWERSHARES EXCHANGE-TRADED FD TR I	210.48	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	POWERSHARES EXCHANGE-TRADED FD TR I	-1,215.76	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	POWERSHARES EXCHANGE-TRADED FD TR I	163.71	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	POWERSHARES EXCHANGE-TRADED FD TR I	-420.84	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	POWERSHARES EXCHANGE-TRADED FD TR I	116.93	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	POWERSHARES EXCHANGE-TRADED FD TR I	-561.12	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	POWERSHARES EXCHANGE-TRADED FD TR I	140.32	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	POWERSHARES EXCHANGE-TRADED FD TR I	-537.74	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	POWERSHARES EXCHANGE-TRADED FD TR I	-397.46	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, PAMELA	POWERSHARES EXCHANGE-TRADED FD TR I	444.34	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, PAMELA	POWERSHARES EXCHANGE-TRADED FD TR I	467.73	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, DONALD	POWERSHARES EXCHANGE-TRADED FD TR I	-1,145.62	0.00	0.00	0.00	90.0	0.00
01/06/2017	SKAARE, LARRY	POWERSHARES EXCHANGE-TRADED FD TR I	-1,075.48	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	POWERSHARES EXCHANGE-TRADED FD TR I	-420.84	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	POWERSHARES EXCHANGE-TRADED FD TR I	608.05	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	RESEARCH MARKET NEUTRAL FUND-INST	19.29	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	RESEARCH MARKET NEUTRAL FUND-INST	-86.19	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	RESEARCH MARKET NEUTRAL FUND-INST	99.99	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	RESEARCH MARKET NEUTRAL FUND-INST	300.45	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
01/06/2017	MONETTE, PEGGY	RESEARCH MARKET NEUTRAL FUND-INST	69.02	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	RESEARCH MARKET NEUTRAL FUND-INST	-54.59	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	RESEARCH MARKET NEUTRAL FUND-INST	44.91	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	RESEARCH MARKET NEUTRAL FUND-INST	66.36	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	RESEARCH MARKET NEUTRAL FUND-INST	93.26	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	RESEARCH MARKET NEUTRAL FUND-INST	86.58	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	RESEARCH MARKET NEUTRAL FUND-INST	65.78	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, DONALD	RESEARCH MARKET NEUTRAL FUND-INST	190.22	0.00	0.00	0.00	90.0	0.00
01/06/2017	SKAARE, LARRY	RESEARCH MARKET NEUTRAL FUND-INST	175.26	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	RESEARCH MARKET NEUTRAL FUND-INST	70.48	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	RIDGEWORTH SEIX FLOATING RATE HIGH	1,058.44	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	RIDGEWORTH SEIX FLOATING RATE HIGH	6,848.51	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	RIDGEWORTH SEIX FLOATING RATE HIGH	7,077.91	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	RIDGEWORTH SEIX FLOATING RATE HIGH	5,544.26	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	RIDGEWORTH SEIX FLOATING RATE HIGH	1,091.90	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	RIDGEWORTH SEIX FLOATING RATE HIGH	3,452.50	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	RIDGEWORTH SEIX FLOATING RATE HIGH	2,434.29	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	RIDGEWORTH SEIX FLOATING RATE HIGH	1,267.49	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	RIDGEWORTH SEIX FLOATING RATE HIGH	1,728.80	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	RIDGEWORTH SEIX FLOATING RATE HIGH	1,664.41	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	RIDGEWORTH SEIX FLOATING RATE HIGH	1,212.61	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, DONALD	RIDGEWORTH SEIX FLOATING RATE HIGH	3,510.47	0.00	0.00	0.00	90.0	0.00
01/06/2017	SKAARE, LARRY	RIDGEWORTH SEIX FLOATING RATE HIGH	3,281.13	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	RIDGEWORTH SEIX FLOATING RATE HIGH	1,297.55	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	182.70	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	713.28	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	1,198.35	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	70.10	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	37.15	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	349.69	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	382.11	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	10.09	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	20.10	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
01/06/2017	KUHN, KENNETH	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	11.62	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	14.97	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, DONALD	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	42.78	0.00	0.00	0.00	90.0	0.00
01/06/2017	SKAARE, LARRY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	179.20	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	16.23	0.00	0.00	0.00	90.0	0.00
01/11/2017	DEAVER, ROSS	SEI	30,321.59	23.74	0.00	23.74	90.0	21.37
01/11/2017	ERICKSTAD, SAMUEL	SEI	57,443.59	44.98	0.00	44.98	90.0	40.48
01/11/2017	HARSHBARGER, RORY	SEI	75,624.40	60.24	0.00	60.24	90.0	54.22
01/11/2017	HEEN, JEREMY	SEI	181,380.36	146.92	0.00	146.92	90.0	132.23
01/11/2017	HEEN, KRISTA	SEI	57,619.50	46.67	0.00	46.67	90.0	42.00
01/11/2017	HEEN, JEREMY	SEI	121,815.69	98.67	0.00	98.67	90.0	88.80
01/11/2017	JORGENSEN, BLAINE	SEI	1,754,154.00	183.04	0.00	183.04	90.0	164.74
01/11/2017	RETZLAFF, SANDRA	SEI	19,821.84	6.14	0.00	6.14	90.0	5.53
01/11/2017	SKAARE, LARRY	SEI	19,869.61	5.64	0.00	5.64	90.0	5.08
01/11/2017	SMITH, AUSTIN	SEI	18,364.81	14.63	0.00	14.63	90.0	13.17
01/05/2017	SKAARE, LARRY	SPDR SER TR BARCLAYS HIGH YIELD BD	-36.74	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	SPDR SER TR BARCLAYS HIGH YIELD BD	-146.81	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	SPDR SER TR BARCLAYS HIGH YIELD BD	-110.11	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	SPDR SER TR BARCLAYS HIGH YIELD BD	-110.11	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	STERLING CAP FUNDS	37.57	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	STERLING CAP FUNDS	253.96	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	STERLING CAP FUNDS	-112.21	0.00	0.00	0.00	90.0	0.00
01/06/2017	MONETTE, PEGGY	STERLING CAP FUNDS	-12.27	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	STERLING CAP FUNDS	23.44	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	STERLING CAP FUNDS	-27.98	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	STERLING CAP FUNDS	-35.40	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	STERLING CAP FUNDS	-37.27	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	STERLING CAP FUNDS	-24.47	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, DONALD	STERLING CAP FUNDS	-71.03	0.00	0.00	0.00	90.0	0.00
01/06/2017	SKAARE, LARRY	STERLING CAP FUNDS	38.02	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	STERLING CAP FUNDS	-26.05	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	VANGUARD CHARLOTTE FDS TOTAL INTL B	54.12	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	VANGUARD CHARLOTTE FDS TOTAL INTL B	-162.01	0.00	0.00	0.00	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
01/06/2017	FREIH, DIANE	VANGUARD CHARLOTTE FDS TOTAL INTL B	108.03	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	VANGUARD INDEX FDS VANGUARD REIT ET	-168.44	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	VANGUARD INDEX FDS VANGUARD REIT ET	-84.22	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	VANGUARD INDEX FDS VANGUARD REIT ET	-84.22	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	VANGUARD INDEX FDS VANGUARD REIT ET	84.27	0.00	0.00	0.00	90.0	0.00
01/05/2017	SKAARE, LARRY	VANGUARD INTL EQUITY INDEX FD INC F	363.50	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	VANGUARD INTL EQUITY INDEX FD INC F	316.81	0.00	0.00	0.00	90.0	0.00
01/06/2017	FREIH, DIANE	VANGUARD INTL EQUITY INDEX FD INC F	497.84	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, CLINTON	VANGUARD INTL EQUITY INDEX FD INC F	181.03	0.00	0.00	0.00	90.0	0.00
01/06/2017	LEE, CONNIE	VANGUARD INTL EQUITY INDEX FD INC F	226.29	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KRISTINE	VANGUARD INTL EQUITY INDEX FD INC F	135.77	0.00	0.00	0.00	90.0	0.00
01/06/2017	KUHN, KENNETH	VANGUARD INTL EQUITY INDEX FD INC F	135.77	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, PAMELA	VANGUARD INTL EQUITY INDEX FD INC F	407.32	0.00	0.00	0.00	90.0	0.00
01/06/2017	RASMUSSEN, PAMELA	VANGUARD INTL EQUITY INDEX FD INC F	452.58	0.00	0.00	0.00	90.0	0.00
01/06/2017	RETZLAFF, SANDRA	VANGUARD INTL EQUITY INDEX FD INC F	452.58	0.00	0.00	0.00	90.0	0.00
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$2,670,171.14	\$1,049.91	\$0.00	\$1,049.91		\$944.94
<u>BROKER: TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L #336</u>								
01/06/2017	HENNEN, ANN	POWERSHARES DB COMMODITY INDEX TRAC	79.04	0.00	0.00	0.00	90.0	0.00
01/06/2017	HENNEN, STUART	POWERSHARES DB COMMODITY INDEX TRAC	79.04	0.00	0.00	0.00	90.0	0.00
01/06/2017	HENNEN, ANN	POWERSHARES EXCHANGE-TRADED FD TR I	233.87	0.00	0.00	0.00	90.0	0.00
01/06/2017	HENNEN, STUART	POWERSHARES EXCHANGE-TRADED FD TR I	233.87	0.00	0.00	0.00	90.0	0.00
01/06/2017	HENNEN, ANN	SPDR SER TR BARCLAYS HIGH YIELD BD	-256.92	0.00	0.00	0.00	90.0	0.00
01/06/2017	HENNEN, STUART	SPDR SER TR BARCLAYS HIGH YIELD BD	-256.92	0.00	0.00	0.00	90.0	0.00
01/06/2017	HENNEN, ANN	VANGUARD INTL EQUITY INDEX FD INC F	45.26	0.00	0.00	0.00	90.0	0.00
01/06/2017	HENNEN, STUART	VANGUARD INTL EQUITY INDEX FD INC F	90.52	0.00	0.00	0.00	90.0	0.00
* TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L SUBTOTAL *			\$247.76	\$0.00	\$0.00	\$0.00		\$0.00
*** SPLIT TRANSACTIONS TOTAL ***			\$4,142,351.86	\$2,254.53	\$17.71	\$2,236.82		\$2,013.15

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

01/17/2017		*RBC: 7A502927 & 7A502933	0.00					-39.38
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-39.38

BROKER COMMISSION TOTALS

Broker Transactions	\$317.27
Split Transactions	\$2,013.15
Override Transactions	\$0.00
Adjustments	\$-39.38
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$47.05
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,338.09
Year-to-date Gross	\$2,607.05
Year-to-date Earnings	\$2,330.42

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed