

STATEMENT

January 20, 2018

NICK DREW - LPCM
12721 Sawmill Rd
Suite 105
The Woodlands, TX 77380

BROKER #: 3169
BRANCH: LIBERTY PARTNERS CAP

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/12/2017	# BUSKING, RYAN	IAFEES	-93.65	71.80	95.0	0.00	68.21	0.00	68.21
12/12/2017	# SHOAFF, JOHN	IAFEES	-322.80	223.81	95.0	0.00	212.62	0.00	212.62
12/15/2017	# WHITE, JESSE	IAFEES	-852.59	653.65	95.0	0.00	620.97	0.00	620.97
12/15/2017	# SHOAFF, JOHN	IAFEES	-836.10	624.29	95.0	0.00	593.08	0.00	593.08
12/19/2017	# THROWER, NANCY	IAFEES	-71.85	55.09	95.0	0.00	52.34	0.00	52.34
12/22/2017	# POUPARD, GREGORY	IAFEES	45.21	-36.77	95.0	0.00	-34.93	0.00	-34.93
12/28/2017	# SPARKS, TERRY	IAFEES	16.44	-13.37	95.0	0.00	-12.70	0.00	-12.70
* MUTUAL FUNDS SUBTOTAL *			\$-2,115.34	\$1,578.50		\$0.00	\$1,499.59	\$0.00	\$1,499.59
<u>PRODUCT CATEGORY: RIA</u>									
12/01/2017	#547013 SAILORS, JAMES	TCA PLANNING FEES	0.00	3,000.00	95.0	0.00	2,850.00	0.00	2,850.00
12/01/2017	#514101 BROWN, DAVID	TCA PLANNING FEES	0.00	6,000.00	95.0	0.00	5,700.00	0.00	5,700.00
12/01/2017	#514106 HOUGEN, JOHN	TCA PLANNING FEES	0.00	4,000.00	95.0	0.00	3,800.00	0.00	3,800.00
12/01/2017	#514173 PIPIRINGOS, PANDORA	TCA PLANNING FEES	0.00	-3,000.00	95.0	0.00	-2,850.00	0.00	-2,850.00
01/01/2018	#514166 BROWN, CHRISTIAN	DO NOT SELL SECURITIES	58,668.23	-17.11	95.0	0.00	-16.25	0.00	-16.25
01/01/2018	#514101 BROWN, DAVID	DO NOT SELL SECURITIES	30,324.01	-8.84	95.0	0.00	-8.40	0.00	-8.40
01/01/2018	#514067 BROWN, KAREN	DO NOT SELL SECURITIES	74,946.82	-21.86	95.0	0.00	-20.77	0.00	-20.77
01/01/2018	#514308 TCA DREW VAR	DO NOT SELL SECURITIES	5,830.75	-1.70	95.0	0.00	-1.62	0.00	-1.62
01/01/2018	#514303 GREATHOUSE,	DO NOT SELL SECURITIES	5,277.90	-1.54	95.0	0.00	-1.46	0.00	-1.46
01/01/2018	#514103 TCA DREW VAR	DO NOT SELL SECURITIES	17,613.88	-5.14	95.0	0.00	-4.88	0.00	-4.88
01/01/2018	#514100 JACKSON, JOHN	DO NOT SELL SECURITIES	14,670.00	-4.28	95.0	0.00	-4.07	0.00	-4.07
01/01/2018	#514278 KRISHNASWAMY,	DO NOT SELL SECURITIES	25,917.00	-7.56	95.0	0.00	-7.18	0.00	-7.18
01/01/2018	#514116 MANGIAMELI, ROSWITHA	DO NOT SELL SECURITIES	1,000.14	-0.29	95.0	0.00	-0.28	0.00	-0.28
01/01/2018	#514241 MONTEGUT, MAX	DO NOT SELL SECURITIES	18,977.60	-5.54	95.0	0.00	-5.26	0.00	-5.26
01/01/2018	#514134 MONTEGUT, TONI	DO NOT SELL SECURITIES	9,842.42	-2.87	95.0	0.00	-2.73	0.00	-2.73
01/01/2018	#514074 PALMER, BRIAN	DO NOT SELL SECURITIES	10,297.27	-3.00	95.0	0.00	-2.85	0.00	-2.85
01/01/2018	#514173 PIPIRINGOS, PANDORA	DO NOT SELL SECURITIES	23,443.27	-6.84	95.0	0.00	-6.50	0.00	-6.50
01/01/2018	#514104 REDDICK, MICHELLE	DO NOT SELL SECURITIES	20,006.83	-5.84	95.0	0.00	-5.55	0.00	-5.55

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/01/2018	#514154	SHOAFF, JOHN	DO NOT SELL SECURITIES	8,840.56	-2.58	95.0	0.00	-2.45	-2.45
01/01/2018	#514073	MORVANT, GEORGE	TCA FEES	31,424.97	39.28	95.0	0.00	37.32	37.32
01/01/2018	#514095	LAZRINE, SANDRA	TCA FEES	64,446.42	80.56	95.0	0.00	76.53	76.53
01/01/2018	#514126	LAZRINE, DONALD	TCA FEES	63,169.39	78.96	95.0	0.00	75.01	75.01
01/01/2018	#514136	CONNER, ANNETTE	TCA FEES	15,574.91	19.47	95.0	0.00	18.50	18.50
01/01/2018	#514177	BOLIVAR, ANDRES	TCA FEES	23,045.02	28.81	95.0	0.00	27.37	27.37
01/01/2018	#514202	BRAKEFIELD, JONMARC	TCA FEES	17,248.16	21.56	95.0	0.00	20.48	20.48
01/01/2018	#514211	GRANT, BARBARA	TCA FEES	4,419.28	5.52	95.0	0.00	5.24	5.24
01/01/2018	#514224	HOUGEN, JOHN	TCA FEES	34,092.18	42.62	95.0	0.00	40.49	40.49
01/01/2018	#514275	BOLIVAR, ANDRES	TCA FEES	9,001.37	9.38	95.0	0.00	8.91	8.91
01/01/2018	#539323	GODEJOHN-BAIRD,	TCA FEES	12,547.88	15.68	95.0	0.00	14.90	14.90
01/01/2018	#540040	ANDERSON, JOHNNY	TCA FEES	254,116.50	317.65	95.0	0.00	301.77	301.77
01/01/2018	#542443	ES FAMILY TRUST	TCA FEES	119,804.64	149.76	95.0	0.00	142.27	142.27
01/01/2018	#547013	SAILORS, JAMES	TCA FEES	11,815.02	14.77	95.0	0.00	14.03	14.03
01/01/2018	#593725	TCA DREW VAR	TCA FEES	43,703.49	27.32	95.0	0.00	25.95	25.95
01/01/2018	#615636	TCA DREW VAR	TCA FEES	101,224.51	126.53	95.0	0.00	120.20	120.20
01/01/2018	#514111	O'DONNELL, KATHY	TCA FEES	44,761.19	55.95	95.0	0.00	53.15	53.15
01/01/2018	#514127	BRAKEFIELD, JONMARC	TCA FEES	65,200.92	81.50	95.0	0.00	77.43	77.43
01/01/2018	#514167	O'DONNELL, JAMES	TCA FEES	238,650.53	298.31	95.0	0.00	283.39	283.39
01/01/2018	#514202	BRAKEFIELD, JONMARC	TCA FEES	24,889.64	31.11	95.0	0.00	29.55	29.55
01/01/2018	#514064	BRAKEFIELD, JONMARC	TCA FEES	13,719.35	17.15	95.0	0.00	16.29	16.29
01/01/2018	#514068	STOVAL, BLAKE	TCA FEES	69,763.28	87.20	95.0	0.00	82.84	82.84
01/01/2018	#514075	BROWN, KAREN	TCA FEES	627,049.29	783.81	95.0	0.00	744.62	744.62
01/01/2018	#514100	JACKSON, JOHN	TCA FEES	6,036.59	7.55	95.0	0.00	7.17	7.17
01/01/2018	#514101	BROWN, DAVID	TCA FEES	219,572.85	274.47	95.0	0.00	260.75	260.75
01/01/2018	#514116	MANGIAMELI, ROSWITHA	TCA FEES	314,183.44	392.73	95.0	0.00	373.09	373.09
01/01/2018	#514140	HOUSER, JAQUELINE	TCA FEES	167,966.74	209.96	95.0	0.00	199.46	199.46
01/01/2018	#514294	FIRST J TRUST	TCA FEES	98,394.08	122.99	95.0	0.00	116.84	116.84
01/01/2018	#514314	SMITH, KAREN	TCA FEES	4,111.60	5.14	95.0	0.00	4.88	4.88
01/01/2018	#514067	BROWN, KAREN	TCA FEES	36,071.11	45.09	95.0	0.00	42.84	42.84
01/01/2018	#514071	HOPPERS, KIMBERLY	TCA FEES	181,699.59	227.12	95.0	0.00	215.76	215.76
01/01/2018	#514074	PALMER, BRIAN	TCA FEES	38,212.75	50.95	95.0	0.00	48.40	48.40
01/01/2018	#514086	KOLB, JOEL	TCA FEES	172,162.50	215.20	95.0	0.00	204.44	204.44
01/01/2018	#514090	MORGAN, TIFFANY	TCA FEES	21,464.87	26.83	95.0	0.00	25.49	25.49
01/01/2018	#514102	HAVARD, NICK	TCA FEES	78,745.52	98.43	95.0	0.00	93.51	93.51
01/01/2018	#514104	REDDICK, MICHELLE	TCA FEES	83,719.38	104.65	95.0	0.00	99.42	99.42

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: RIA									
01/01/2018	#514106	HOUGEN, JOHN	TCA FEES	5,161.47	6.45	95.0	0.00	6.13	6.13
01/01/2018	#514110	KANNAPPAN, JOTHI	TCA FEES	41,380.32	51.73	95.0	0.00	49.14	49.14
01/01/2018	#514112	ADDICKS, BRIANNE	TCA FEES	52,599.52	65.75	95.0	0.00	62.46	62.46
01/01/2018	#514119	MURILLO, VICTOR	TCA FEES	15,957.50	19.95	95.0	0.00	18.95	18.95
01/01/2018	#514123	LAMB, DONNA	TCA FEES	5,240.63	6.55	95.0	0.00	6.22	6.22
01/01/2018	#514134	MONTEGUT, TONI	TCA FEES	127,280.15	159.10	95.0	0.00	151.15	151.15
01/01/2018	#514139	JACKSON, JENNIFER	TCA FEES	23,348.81	29.19	95.0	0.00	27.73	27.73
01/01/2018	#514145	DURCHHOLZ, BRADLEY	TCA FEES	82,955.18	103.69	95.0	0.00	98.51	98.51
01/01/2018	#514149	VINEYARD, MARK	TCA FEES	23,291.39	29.11	95.0	0.00	27.65	27.65
01/01/2018	#514156	MANGIAMELI, PAUL	TCA FEES	56,324.19	70.41	95.0	0.00	66.89	66.89
01/01/2018	#514158	MONTEGUT, MAX	TCA FEES	40,740.11	50.93	95.0	0.00	48.38	48.38
01/01/2018	#514161	RAYBURN, CHRISTOPHER	TCA FEES	49,161.54	61.45	95.0	0.00	58.38	58.38
01/01/2018	#514163	SCHLANSER, GLORIA	TCA FEES	246,139.71	307.68	95.0	0.00	292.30	292.30
01/01/2018	#514166	BROWN, CHRISTIAN	TCA FEES	233,090.93	291.36	95.0	0.00	276.79	276.79
01/01/2018	#514170	MC ELHOE, GEORGE	TCA FEES	3,895.01	4.87	95.0	0.00	4.63	4.63
01/01/2018	#514178	BYERS, MANDY	TCA FEES	178,920.35	223.65	95.0	0.00	212.47	212.47
01/01/2018	#514192	ATKINSON, JEFFRY	TCA FEES	29,506.74	30.74	95.0	0.00	29.20	29.20
01/01/2018	#514209	SCHLANSER, GLORIA	TCA FEES	240,857.52	301.07	95.0	0.00	286.02	286.02
01/01/2018	#514220	KRISHNASWAMY,	TCA FEES	8,223.39	10.28	95.0	0.00	9.77	9.77
01/01/2018	#514237	DURCHHOLZ, BRADLEY	TCA FEES	137,333.13	171.67	95.0	0.00	163.09	163.09
01/01/2018	#514240	BROWN, CHRISTIAN	TCA FEES	15,540.88	19.43	95.0	0.00	18.46	18.46
01/01/2018	#514241	MONTEGUT, MAX	TCA FEES	90,239.78	112.80	95.0	0.00	107.16	107.16
01/01/2018	#514248	STOVALL, BLAKE	TCA FEES	14,063.00	17.58	95.0	0.00	16.70	16.70
01/01/2018	#514251	JACKSON, CLIFF	TCA FEES	42,143.11	52.68	95.0	0.00	50.05	50.05
01/01/2018	#514256	JACKSON, JENNIFER	TCA FEES	35,461.71	44.33	95.0	0.00	42.11	42.11
01/01/2018	#514259	STOVALL, JANET	TCA FEES	46,786.56	58.48	95.0	0.00	55.56	55.56
01/01/2018	#514266	MANGIAMELI, ROSWITHA	TCA FEES	11,811.99	14.77	95.0	0.00	14.03	14.03
01/01/2018	#514270	MANGIAMELI, PAUL	TCA FEES	19,774.33	24.72	95.0	0.00	23.48	23.48
01/01/2018	#514278	KRISHNASWAMY,	TCA FEES	66,803.02	83.50	95.0	0.00	79.33	79.33
01/01/2018	#514300	ATKINSON, JEFFRY	TCA FEES	10,407.44	13.01	95.0	0.00	12.36	12.36
01/01/2018	#514303	GREATHOUSE,	TCA FEES	294,671.91	368.34	95.0	0.00	349.92	349.92
01/01/2018	#514305	RAYBURN, CHRISTINE	TCA FEES	274,738.91	343.42	95.0	0.00	326.25	326.25
01/01/2018	#514315	SMITH, PERRY	TCA FEES	335,013.63	418.77	95.0	0.00	397.83	397.83
01/01/2018	#514320	KOLB, TRACEY	TCA FEES	164,441.12	205.55	95.0	0.00	195.27	195.27
01/01/2018	#516183	GREATHOUSE, JEFFREY	TCA FEES	22,372.49	27.97	95.0	0.00	26.57	26.57
01/01/2018	#520084	KIRBY, TRAVIS	TCA FEES	50,220.69	62.78	95.0	0.00	59.64	59.64

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/01/2018	#539661 ARORA, MICHAEL	TCA FEES	156,004.72	195.01	95.0	0.00	185.26	0.00	185.26
01/01/2018	#540054 ARORA, TERRI	TCA FEES	94,604.82	118.26	95.0	0.00	112.35	0.00	112.35
01/01/2018	#545632 MARTIN, BRANDON	TCA FEES	12,457.35	15.57	95.0	0.00	14.79	0.00	14.79
01/01/2018	#547695 SLAVIN, WYATT	TCA FEES	174,350.66	217.94	95.0	0.00	207.04	0.00	207.04
01/01/2018	#575400 TCA DREW VAR	TCA FEES	340,303.61	425.38	95.0	0.00	404.11	0.00	404.11
01/01/2018	#575697 TCA DREW VAR	TCA FEES	144,346.90	180.43	95.0	0.00	171.41	0.00	171.41
01/01/2018	#585567 TCA DREW VAR	TCA FEES	53,887.16	67.36	95.0	0.00	63.99	0.00	63.99
01/01/2018	#586712 TCA DREW VAR	TCA FEES	111,308.07	139.14	95.0	0.00	132.18	0.00	132.18
01/01/2018	#586714 TCA DREW VAR	TCA FEES	117,611.28	147.01	95.0	0.00	139.66	0.00	139.66
01/01/2018	#539323 GODEJOHN-BAIRD,	TCA FEES	44,863.01	56.08	95.0	0.00	53.28	0.00	53.28
01/01/2018	#514244 TCA DREW VAR	TCA PLATFORM FEE	15,226.39	-4.44	95.0	0.00	-4.22	0.00	-4.22
01/01/2018	#514262 TCA DREW VAR	TCA PLATFORM FEE	3,531.25	-1.03	95.0	0.00	-0.98	0.00	-0.98
01/01/2018	#540052 TCA DREW VAR	TCA PLATFORM FEE	3,041.69	-0.89	95.0	0.00	-0.85	0.00	-0.85
01/01/2018	#514269 TCA DREW VAR	TCA PLATFORM FEE	6,588.07	-1.92	95.0	0.00	-1.82	0.00	-1.82
01/01/2018	#547523 TCA DREW VAR	TCA PLATFORM FEE	4,144.36	-1.21	95.0	0.00	-1.15	0.00	-1.15
01/01/2018	#514253 TCA DREW VAR	TCA PLATFORM FEE	4,926.76	-1.44	95.0	0.00	-1.37	0.00	-1.37
01/01/2018	#514079 TCA DREW VAR	TCA PLATFORM FEE	5,948.05	-1.73	95.0	0.00	-1.64	0.00	-1.64
01/01/2018	#514219 TCA DREW VAR	TCA PLATFORM FEE	1,197.72	-0.35	95.0	0.00	-0.33	0.00	-0.33
01/01/2018	#514265 TCA DREW VAR	TCA PLATFORM FEE	5,628.17	-1.64	95.0	0.00	-1.56	0.00	-1.56
01/01/2018	#514321 TCA DREW VAR	TCA PLATFORM FEE	7,770.40	-2.27	95.0	0.00	-2.16	0.00	-2.16
01/01/2018	#514267 TCA DREW VAR	TCA PLATFORM FEE	5,601.75	-1.63	95.0	0.00	-1.55	0.00	-1.55
01/01/2018	#514268 TCA DREW VAR	TCA PLATFORM FEE	3,563.16	-1.04	95.0	0.00	-0.99	0.00	-0.99
01/01/2018	#514225 LAZRINE, DONALD	TCA PLATFORM FEE	3,350.25	-0.98	95.0	0.00	-0.93	0.00	-0.93
01/01/2018	#514235 TCA DREW VAR	TCA PLATFORM FEE	204.38	-0.06	95.0	0.00	-0.06	0.00	-0.06
01/01/2018	#514218 TCA DREW VAR	TCA PLATFORM FEE	2,301.20	-0.67	95.0	0.00	-0.64	0.00	-0.64
01/01/2018	#514228 TCA DREW VAR	TCA PLATFORM FEE	24,800.90	-7.23	95.0	0.00	-6.87	0.00	-6.87
01/01/2018	#514243 TCA DREW VAR	TCA PLATFORM FEE	12,941.03	-3.77	95.0	0.00	-3.58	0.00	-3.58
01/01/2018	#514097 SMITH, SHARON	TCA PLATFORM FEE	-38.95	-0.01	95.0	0.00	-0.01	0.00	-0.01
01/01/2018	#514254 VINEYARD, MARK	TCA PLATFORM FEE	5,788.70	-1.69	95.0	0.00	-1.61	0.00	-1.61
01/01/2018	#514264 TCA DREW VAR	TCA PLATFORM FEE	8,552.21	-2.49	95.0	0.00	-2.37	0.00	-2.37
01/01/2018	#514073 MORVANT, GEORGE	TCA PLATFORM FEES.	31,424.97	-9.17	95.0	0.00	-8.71	0.00	-8.71
01/01/2018	#514095 LAZRINE, SANDRA	TCA PLATFORM FEES.	64,446.42	-18.80	95.0	0.00	-17.86	0.00	-17.86
01/01/2018	#514126 LAZRINE, DONALD	TCA PLATFORM FEES.	63,169.39	-18.42	95.0	0.00	-17.50	0.00	-17.50
01/01/2018	#514136 CONNER, ANNETTE	TCA PLATFORM FEES.	15,574.91	-4.54	95.0	0.00	-4.31	0.00	-4.31
01/01/2018	#514177 BOLIVAR, ANDRES	TCA PLATFORM FEES.	23,045.02	-6.72	95.0	0.00	-6.38	0.00	-6.38
01/01/2018	#514202 BRAKEFIELD, JONMARC	TCA PLATFORM FEES.	17,248.16	-5.03	95.0	0.00	-4.78	0.00	-4.78

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/01/2018	#514211 GRANT, BARBARA	TCA PLATFORM FEES.	4,419.28	-1.29	95.0	0.00	-1.23	0.00	-1.23
01/01/2018	#514224 HOUGEN, JOHN	TCA PLATFORM FEES.	34,092.18	-9.94	95.0	0.00	-9.44	0.00	-9.44
01/01/2018	#514275 BOLIVAR, ANDRES	TCA PLATFORM FEES.	9,001.37	-2.63	95.0	0.00	-2.50	0.00	-2.50
01/01/2018	#539323 GODEJOHN-BAIRD,	TCA PLATFORM FEES.	12,547.88	-3.66	95.0	0.00	-3.48	0.00	-3.48
01/01/2018	#540040 ANDERSON, JOHNNY	TCA PLATFORM FEES.	254,116.50	-74.12	95.0	0.00	-70.41	0.00	-70.41
01/01/2018	#542443 ES FAMILY TRUST	TCA PLATFORM FEES.	119,804.64	-34.94	95.0	0.00	-33.19	0.00	-33.19
01/01/2018	#547013 SAILORS, JAMES	TCA PLATFORM FEES.	11,815.02	-3.45	95.0	0.00	-3.28	0.00	-3.28
01/01/2018	#593725 TCA DREW VAR	TCA PLATFORM FEES.	43,703.49	-12.75	95.0	0.00	-12.11	0.00	-12.11
01/01/2018	#615636 TCA DREW VAR	TCA PLATFORM FEES.	101,224.51	-29.52	95.0	0.00	-28.04	0.00	-28.04
01/01/2018	#514067 BROWN, KAREN	WOODLANDS AGGRESSIVE	36,071.11	-10.52	95.0	0.00	-9.99	0.00	-9.99
01/01/2018	#514071 HOPPERS, KIMBERLY	WOODLANDS AGGRESSIVE	181,699.59	-53.00	95.0	0.00	-50.35	0.00	-50.35
01/01/2018	#514074 PALMER, BRIAN	WOODLANDS AGGRESSIVE	38,212.75	-11.15	95.0	0.00	-10.59	0.00	-10.59
01/01/2018	#514086 KOLB, JOEL	WOODLANDS AGGRESSIVE	172,162.50	-50.21	95.0	0.00	-47.70	0.00	-47.70
01/01/2018	#514090 MORGAN, TIFFANY	WOODLANDS AGGRESSIVE	21,464.87	-6.26	95.0	0.00	-5.95	0.00	-5.95
01/01/2018	#514102 HAVARD, NICK	WOODLANDS AGGRESSIVE	78,745.52	-22.97	95.0	0.00	-21.82	0.00	-21.82
01/01/2018	#514104 REDDICK, MICHELLE	WOODLANDS AGGRESSIVE	83,719.38	-24.42	95.0	0.00	-23.20	0.00	-23.20
01/01/2018	#514106 HOUGEN, JOHN	WOODLANDS AGGRESSIVE	5,161.47	-1.51	95.0	0.00	-1.43	0.00	-1.43
01/01/2018	#514110 KANNAPPAN, JOTHI	WOODLANDS AGGRESSIVE	41,380.32	-12.07	95.0	0.00	-11.47	0.00	-11.47
01/01/2018	#514112 ADDICKS, BRIANNE	WOODLANDS AGGRESSIVE	52,599.52	-15.34	95.0	0.00	-14.57	0.00	-14.57
01/01/2018	#514119 MURILLO, VICTOR	WOODLANDS AGGRESSIVE	15,957.50	-4.65	95.0	0.00	-4.42	0.00	-4.42
01/01/2018	#514123 LAMB, DONNA	WOODLANDS AGGRESSIVE	5,240.63	-1.53	95.0	0.00	-1.45	0.00	-1.45
01/01/2018	#514134 MONTEGUT, TONI	WOODLANDS AGGRESSIVE	127,280.15	-37.12	95.0	0.00	-35.26	0.00	-35.26
01/01/2018	#514139 JACKSON, JENNIFER	WOODLANDS AGGRESSIVE	23,348.81	-6.81	95.0	0.00	-6.47	0.00	-6.47
01/01/2018	#514145 DURCHHOLZ, BRADLEY	WOODLANDS AGGRESSIVE	82,955.18	-24.20	95.0	0.00	-22.99	0.00	-22.99
01/01/2018	#514149 VINEYARD, MARK	WOODLANDS AGGRESSIVE	23,291.39	-6.79	95.0	0.00	-6.45	0.00	-6.45
01/01/2018	#514156 MANGIAMELI, PAUL	WOODLANDS AGGRESSIVE	56,324.19	-16.43	95.0	0.00	-15.61	0.00	-15.61
01/01/2018	#514158 MONTEGUT, MAX	WOODLANDS AGGRESSIVE	40,740.11	-11.88	95.0	0.00	-11.29	0.00	-11.29
01/01/2018	#514161 RAYBURN, CHRISTOPHER	WOODLANDS AGGRESSIVE	49,161.54	-14.34	95.0	0.00	-13.62	0.00	-13.62
01/01/2018	#514163 SCHLANSER, GLORIA	WOODLANDS AGGRESSIVE	246,139.71	-71.79	95.0	0.00	-68.20	0.00	-68.20
01/01/2018	#514166 BROWN, CHRISTIAN	WOODLANDS AGGRESSIVE	233,090.93	-67.98	95.0	0.00	-64.58	0.00	-64.58
01/01/2018	#514170 MC ELHOE, GEORGE	WOODLANDS AGGRESSIVE	3,895.01	-1.14	95.0	0.00	-1.08	0.00	-1.08
01/01/2018	#514178 BYERS, MANDY	WOODLANDS AGGRESSIVE	178,920.35	-52.19	95.0	0.00	-49.58	0.00	-49.58
01/01/2018	#514192 ATKINSON, JEFFRY	WOODLANDS AGGRESSIVE	29,506.74	-8.61	95.0	0.00	-8.18	0.00	-8.18
01/01/2018	#514209 SCHLANSER, GLORIA	WOODLANDS AGGRESSIVE	240,857.52	-70.25	95.0	0.00	-66.74	0.00	-66.74
01/01/2018	#514220 KRISHNASWAMY,	WOODLANDS AGGRESSIVE	8,223.39	-2.40	95.0	0.00	-2.28	0.00	-2.28
01/01/2018	#514237 DURCHHOLZ, BRADLEY	WOODLANDS AGGRESSIVE	137,333.13	-40.06	95.0	0.00	-38.06	0.00	-38.06

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/01/2018	#514240 BROWN, CHRISTIAN	WOODLANDS AGGRESSIVE	15,540.88	-4.53	95.0	0.00	-4.30	0.00	-4.30
01/01/2018	#514241 MONTEGUT, MAX	WOODLANDS AGGRESSIVE	90,239.78	-26.32	95.0	0.00	-25.00	0.00	-25.00
01/01/2018	#514248 STOVALL, BLAKE	WOODLANDS AGGRESSIVE	14,063.00	-4.10	95.0	0.00	-3.90	0.00	-3.90
01/01/2018	#514251 JACKSON, CLIFF	WOODLANDS AGGRESSIVE	42,143.11	-12.29	95.0	0.00	-11.68	0.00	-11.68
01/01/2018	#514256 JACKSON, JENNIFER	WOODLANDS AGGRESSIVE	35,461.71	-10.34	95.0	0.00	-9.82	0.00	-9.82
01/01/2018	#514259 STOVALL, JANET	WOODLANDS AGGRESSIVE	46,786.56	-13.65	95.0	0.00	-12.97	0.00	-12.97
01/01/2018	#514266 MANGIAMELI, ROSWITHA	WOODLANDS AGGRESSIVE	11,811.99	-3.45	95.0	0.00	-3.28	0.00	-3.28
01/01/2018	#514270 MANGIAMELI, PAUL	WOODLANDS AGGRESSIVE	19,774.33	-5.77	95.0	0.00	-5.48	0.00	-5.48
01/01/2018	#514278 KRISHNASWAMY,	WOODLANDS AGGRESSIVE	66,803.02	-19.48	95.0	0.00	-18.51	0.00	-18.51
01/01/2018	#514300 ATKINSON, JEFFRY	WOODLANDS AGGRESSIVE	10,407.44	-3.04	95.0	0.00	-2.89	0.00	-2.89
01/01/2018	#514303 GREATHOUSE,	WOODLANDS AGGRESSIVE	294,671.91	-85.95	95.0	0.00	-81.65	0.00	-81.65
01/01/2018	#514305 RAYBURN, CHRISTINE	WOODLANDS AGGRESSIVE	274,738.91	-80.13	95.0	0.00	-76.12	0.00	-76.12
01/01/2018	#514315 SMITH, PERRY	WOODLANDS AGGRESSIVE	335,013.63	-97.71	95.0	0.00	-92.82	0.00	-92.82
01/01/2018	#514320 KOLB, TRACEY	WOODLANDS AGGRESSIVE	164,441.12	-47.96	95.0	0.00	-45.56	0.00	-45.56
01/01/2018	#516183 GREATHOUSE, JEFFREY	WOODLANDS AGGRESSIVE	22,372.49	-6.53	95.0	0.00	-6.20	0.00	-6.20
01/01/2018	#520084 KIRBY, TRAVIS	WOODLANDS AGGRESSIVE	50,220.69	-14.65	95.0	0.00	-13.92	0.00	-13.92
01/01/2018	#539661 ARORA, MICHAEL	WOODLANDS AGGRESSIVE	156,004.72	-45.40	95.0	0.00	-43.13	0.00	-43.13
01/01/2018	#540054 ARORA, TERRI	WOODLANDS AGGRESSIVE	94,604.82	-27.59	95.0	0.00	-26.21	0.00	-26.21
01/01/2018	#545632 MARTIN, BRANDON	WOODLANDS AGGRESSIVE	12,457.35	-3.63	95.0	0.00	-3.45	0.00	-3.45
01/01/2018	#547695 SLAVIN, WYATT	WOODLANDS AGGRESSIVE	174,350.66	-50.85	95.0	0.00	-48.31	0.00	-48.31
01/01/2018	#575400 TCA DREW VAR	WOODLANDS AGGRESSIVE	340,303.61	-99.26	95.0	0.00	-94.30	0.00	-94.30
01/01/2018	#575697 TCA DREW VAR	WOODLANDS AGGRESSIVE	144,346.90	-42.10	95.0	0.00	-40.00	0.00	-40.00
01/01/2018	#585567 TCA DREW VAR	WOODLANDS AGGRESSIVE	53,887.16	-15.72	95.0	0.00	-14.93	0.00	-14.93
01/01/2018	#586712 TCA DREW VAR	WOODLANDS AGGRESSIVE	111,308.07	-32.46	95.0	0.00	-30.84	0.00	-30.84
01/01/2018	#586714 TCA DREW VAR	WOODLANDS AGGRESSIVE	117,611.28	-34.30	95.0	0.00	-32.59	0.00	-32.59
01/01/2018	#514111 O'DONNELL, KATHY	WOODLANDS CONSERVATIVE	44,761.19	-13.06	95.0	0.00	-12.41	0.00	-12.41
01/01/2018	#514127 BRAKEFIELD, JONMARC	WOODLANDS CONSERVATIVE	65,200.92	-19.02	95.0	0.00	-18.07	0.00	-18.07
01/01/2018	#514167 O'DONNELL, JAMES	WOODLANDS CONSERVATIVE	238,650.53	-69.61	95.0	0.00	-66.13	0.00	-66.13
01/01/2018	#514202 BRAKEFIELD, JONMARC	WOODLANDS CONSERVATIVE	24,889.64	-7.26	95.0	0.00	-6.90	0.00	-6.90
01/01/2018	#514064 BRAKEFIELD, JONMARC	WOODLANDS MODERATE	13,719.35	-4.00	95.0	0.00	-3.80	0.00	-3.80
01/01/2018	#514068 STOVALL, BLAKE	WOODLANDS MODERATE	69,763.28	-20.35	95.0	0.00	-19.33	0.00	-19.33
01/01/2018	#514075 BROWN, KAREN	WOODLANDS MODERATE	627,049.29	-182.89	95.0	0.00	-173.75	0.00	-173.75
01/01/2018	#514100 JACKSON, JOHN	WOODLANDS MODERATE	6,036.59	-1.76	95.0	0.00	-1.67	0.00	-1.67
01/01/2018	#514101 BROWN, DAVID	WOODLANDS MODERATE	219,572.85	-64.04	95.0	0.00	-60.84	0.00	-60.84
01/01/2018	#514116 MANGIAMELI, ROSWITHA	WOODLANDS MODERATE	314,183.44	-91.64	95.0	0.00	-87.06	0.00	-87.06
01/01/2018	#514140 HOUSER, JAQUELINE	WOODLANDS MODERATE	167,966.74	-48.99	95.0	0.00	-46.54	0.00	-46.54

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

01/01/2018	#514294 FIRST J TRUST	WOODLANDS MODERATE	98,394.08	-28.70	95.0	0.00	-27.27	0.00	-27.27
01/01/2018	#514314 SMITH, KAREN	WOODLANDS MODERATE	4,111.60	-1.20	95.0	0.00	-1.14	0.00	-1.14
01/01/2018	#539323 GODEJOHN-BAIRD,	WOODSLAND NO OIL	44,863.01	-13.09	95.0	0.00	-12.44	0.00	-12.44
			<u>* RIA SUBTOTAL *</u>	<u>\$15,766,013.57</u>	<u>\$17,175.05</u>	<u>\$0.00</u>	<u>\$16,316.24</u>	<u>\$0.00</u>	<u>\$16,316.24</u>
			*** BROKER TRANSACTIONS TOTAL ***	\$15,763,898.23	\$18,753.55	\$0.00	\$17,815.83	\$0.00	\$17,815.83

ADJUSTMENTS

01/20/2018	BETA BACK OFFICE: ADMIN FEE								-320.00
01/20/2018	E & O INSURANCE: E & O INSURANCE								-179.00
01/20/2018	OUTSIDE MANAGEMENT FEES-OUTGOING:								4,000.00
	OUTSIDE MANAGEMENT FEES-OUTGOING								
01/20/2018	OUTSIDE MANAGEMENT FEES-OUTGOING:								5,000.00
	OUTSIDE MANAGEMENT FEES-OUTGOING								
*** ADJUSTMENTS TOTAL ***									<u>\$8,501.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$17,815.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$8,501.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$26,316.83
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