



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
 May 15, 2017

05/11/2017  
 Page No. 1

MARK-S141 REG SMITH

BROKER #: S141  
 BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	3.88	0.00	3.88	48.0	1.86
04/11/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
04/11/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
04/11/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.12	0.00	6.12	48.0	2.94
04/11/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.32	0.00	3.32	48.0	1.59
04/11/2017	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.46	0.00	1.46	48.0	0.70
04/11/2017	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	3.74	0.00	3.74	48.0	1.80
04/11/2017	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.07
04/11/2017	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.07
04/11/2017	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.46	0.00	2.46	48.0	1.18
04/11/2017	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.26	0.00	3.26	48.0	1.56
04/11/2017	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
04/11/2017	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
04/11/2017	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	48.0	0.09
04/11/2017	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	48.0	0.09
04/30/2017	BOMMARITO, VICTORIA	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	15.99	0.00	15.99	48.0	7.68
05/05/2017	BOMMARITO, VICTORIA	FOUNDING FUNDS 529 PORTFOLIO - CLAS	50.00	2.50	0.00	2.50	48.0	1.20
04/30/2017	TMICO	FRANKLIN INCOME C	0.00	7.84	0.00	7.84	48.0	3.76
04/30/2017	MCCORMICK, DEBORAH	FT FOUNDING FUNDS ALLOC A	0.00	26.40	0.00	26.40	48.0	12.67
04/11/2017	BOWLAND, BOBBY	INVESCO MIDCAP CORE EQUITY A	0.00	4.71	0.00	4.71	48.0	2.26
04/11/2017	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.96	0.00	0.96	48.0	0.46
04/11/2017	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.82	0.00	0.82	48.0	0.39
05/02/2017	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.17	0.00	4.17	48.0	2.00
* MUTUAL FUNDS SUBTOTAL *			\$50.00	\$88.59	\$0.00	\$88.59		\$42.51

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
04/30/2017	NOBLES, VERLIE	JNL PERSPECTIVE L	30,242.69	32.76	0.00	32.76	48.0	15.72
04/30/2017	NOBLES, VERLIE	JOHN HANCOCK VENTURE III	28,112.82	23.43	0.00	23.43	48.0	11.25
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$58,355.51</b>	<b>\$56.19</b>	<b>\$0.00</b>	<b>\$56.19</b>		<b>\$26.97</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$58,405.51</b>	<b>\$144.78</b>	<b>\$0.00</b>	<b>\$144.78</b>		<b>\$69.48</b>

**ADJUSTMENTS**

05/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
05/15/2017		*RBC: WRITE OFF DB BAL(S)	0.00					-150.00
05/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-725.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$69.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-725.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-655.52</b>
<b>Year-to-date Gross</b>	<b>\$1,961.27</b>
<b>Year-to-date Earnings</b>	<b>\$941.37</b>

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