



Concorde Investment Services, LLC

05/25/2017  
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## COMMISSION STATEMENT

May 31, 2017

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU  
11617 Coldwater Road  
Suite 103  
Fort Wayne, IN 46845

BROKER #: L131  
BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^05/15/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	0.00
^05/11/2017	JUNOD, BARBARA	AM FUNDS AMERICAN BALANCED C	2,500.00	25.00	22.00	3.00	90.0	0.00
^05/11/2017	JUNOD, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	3,600.00	36.00	22.00	14.00	90.0	0.00
^05/11/2017	JUNOD, BARBARA	AM FUNDS BOND FUND OF AMERICA C	4,000.00	40.00	22.00	18.00	90.0	0.00
^05/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	18.75	0.00	18.75	90.0	0.00
^05/15/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	10.00	0.00	10.00	90.0	0.00
^05/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	18.75	0.00	18.75	90.0	0.00
^05/11/2017	JUNOD, BARBARA	AMERICAN EMERGING MARKETS BOND FUND	1,500.00	15.00	22.00	-7.00	90.0	0.00
^05/11/2017	JUNOD, BARBARA	AMERICAN FUNDS STRATEGIC BOND FUND	6,000.00	60.00	22.00	38.00	90.0	0.00
^05/12/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	50.00	2.38	0.00	2.38	90.0	0.00
^05/19/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^05/19/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^ / /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.63	0.00	1.63	90.0	0.00
^05/19/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.39	0.00	0.39	90.0	0.00
^05/19/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^05/19/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^05/19/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	1.83	0.00	1.83	90.0	0.00
^05/19/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^05/19/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$18,930.00	\$239.57	\$110.00	\$129.57		\$0.00

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

^04/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.64	0.00	0.64	90.0	0.00
^04/18/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	90.0	0.00
^04/18/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	90.0	0.00
^04/18/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	1.13	0.00	1.13	90.0	0.00
^04/18/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.14	0.00	0.14	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^04/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.60	0.00	0.60	90.0	0.00
^04/18/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.35	0.00	0.35	90.0	0.00
^04/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.49	0.00	0.49	90.0	0.00
^04/18/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.45	0.00	0.45	90.0	0.00
^04/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.50	0.00	0.50	90.0	0.00
^04/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.72	0.00	0.72	90.0	0.00
^04/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.49	0.00	0.49	90.0	0.00
^04/13/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	2.81	0.00	2.81	90.0	0.00
^04/17/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	11.68	0.00	11.68	90.0	0.00
^04/21/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.51	0.00	0.51	90.0	0.00
^04/19/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	1.81	0.00	1.81	90.0	0.00
^04/18/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	0.38	0.00	0.38	90.0	0.00
^04/21/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	3.71	0.00	3.71	90.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$26.86</b>	<b>\$0.00</b>	<b>\$26.86</b>		<b>\$0.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$18,930.00</b>	<b>\$266.43</b>	<b>\$110.00</b>	<b>\$156.43</b>		<b>\$0.00</b>

**SPLIT TRANSACTIONS****BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

04/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.19	0.00	0.19	90.0	0.17
04/18/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.06
04/18/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.06
04/18/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.34	0.00	0.34	90.0	0.31
04/18/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.04	0.00	0.04	90.0	0.04
05/15/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.50	0.00	1.50	90.0	1.35
05/11/2017	JUNOD, BARBARA	AM FUNDS AMERICAN BALANCED C	2,500.00	7.50	6.60	0.90	90.0	0.81
04/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.18	0.00	0.18	90.0	0.16
05/11/2017	JUNOD, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	3,600.00	10.80	6.60	4.20	90.0	3.78
05/11/2017	JUNOD, BARBARA	AM FUNDS BOND FUND OF AMERICA C	4,000.00	12.00	6.60	5.40	90.0	4.86
04/18/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.11	0.00	0.11	90.0	0.10
05/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	5.63	0.00	5.63	90.0	5.07
04/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.15	0.00	0.15	90.0	0.14
04/18/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.14	0.00	0.14	90.0	0.13

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
05/15/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.00	0.00	3.00	90.0	2.70
05/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	5.63	0.00	5.63	90.0	5.07
04/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
04/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.22	0.00	0.22	90.0	0.20
04/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.15	0.00	0.15	90.0	0.14
05/11/2017	JUNOD, BARBARA	AMERICAN EMERGING MARKETS BOND FUND	1,500.00	4.50	6.60	-2.10	90.0	-1.89
05/11/2017	JUNOD, BARBARA	AMERICAN FUNDS STRATEGIC BOND FUND	6,000.00	18.00	6.60	11.40	90.0	10.26
05/12/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	50.00	0.71	0.00	0.71	90.0	0.64
05/19/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
05/19/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
/ /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.49	0.00	0.49	90.0	0.44
05/19/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.12	0.00	0.12	90.0	0.11
05/19/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
05/19/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
05/19/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.55	0.00	0.55	90.0	0.50
05/19/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
05/19/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
04/13/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	0.84	0.00	0.84	90.0	0.76
04/17/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	3.50	0.00	3.50	90.0	3.15
04/21/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.15	0.00	0.15	90.0	0.14
04/19/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.54	0.00	0.54	90.0	0.49
04/18/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	0.11	0.00	0.11	90.0	0.10
04/21/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.11	0.00	1.11	90.0	1.00
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$18,930.00</b>	<b>\$79.95</b>	<b>\$33.00</b>	<b>\$46.95</b>		<b>\$42.30</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$18,930.00</b>	<b>\$79.95</b>	<b>\$33.00</b>	<b>\$46.95</b>		<b>\$42.30</b>

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$42.30
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$42.30</b>
<b>Year-to-date Gross</b>	<b>\$799.08</b>
<b>Year-to-date Earnings</b>	<b>\$624.42</b>