

**COMMISSION STATEMENT****February 28, 2018**

JONATHAN, C117 CREIGHTON
350 Lincoln Street
Suite 2400
Hingham, MA 02043

BROKER #: C117
BRANCH: JACK CREIGHTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
02/12/2016	EATON, GUY	COLUMBIA BOND FUND CLASS A	0.00	1.41	0.00	1.41	90.0	1.27
02/12/2016	EATON, JOANNE	COLUMBIA BOND FUND CLASS A	0.00	7.05	0.00	7.05	90.0	6.35
02/12/2016	EATON, JOANNE	COLUMBIA BOND FUND CLASS A	0.00	1.53	0.00	1.53	90.0	1.38
03/14/2016	EATON, GUY	COLUMBIA BOND FUND CLASS A	0.00	1.33	0.00	1.33	90.0	1.20
03/14/2016	EATON, JOANNE	COLUMBIA BOND FUND CLASS A	0.00	6.66	0.00	6.66	90.0	5.99
03/14/2016	EATON, JOANNE	COLUMBIA BOND FUND CLASS A	0.00	1.44	0.00	1.44	90.0	1.30
04/15/2016	EATON, GUY	COLUMBIA BOND FUND CLASS A	0.00	1.43	0.00	1.43	90.0	1.29
04/15/2016	EATON, JOANNE	COLUMBIA BOND FUND CLASS A	0.00	7.15	0.00	7.15	90.0	6.44
04/15/2016	EATON, JOANNE	COLUMBIA BOND FUND CLASS A	0.00	1.55	0.00	1.55	90.0	1.40
05/24/2016	EATON, GUY	COLUMBIA BOND FUND CLASS A	0.00	1.40	0.00	1.40	90.0	1.26
05/24/2016	EATON, JOANNE	COLUMBIA BOND FUND CLASS A	0.00	7.01	0.00	7.01	90.0	6.31
05/24/2016	EATON, JOANNE	COLUMBIA BOND FUND CLASS A	0.00	1.52	0.00	1.52	90.0	1.37
06/14/2016	EATON, GUY	COLUMBIA BOND FUND CLASS A	0.00	1.45	0.00	1.45	90.0	1.31
06/14/2016	EATON, JOANNE	COLUMBIA BOND FUND CLASS A	0.00	7.27	0.00	7.27	90.0	6.54
06/14/2016	EATON, JOANNE	COLUMBIA BOND FUND CLASS A	0.00	1.57	0.00	1.57	90.0	1.41
07/20/2016	EATON, GUY	COLUMBIA BOND FUND CLASS A	0.00	0.61	0.00	0.61	90.0	0.55
07/20/2016	EATON, JOANNE	COLUMBIA BOND FUND CLASS A	0.00	3.31	0.00	3.31	90.0	2.98
07/20/2016	EATON, JOANNE	COLUMBIA BOND FUND CLASS A	0.00	0.46	0.00	0.46	90.0	0.41
02/12/2016	EATON, JOANNE	COLUMBIA CAPITAL ALLOCATION CONSERV	0.00	1.08	0.00	1.08	90.0	0.97
03/14/2016	EATON, JOANNE	COLUMBIA CAPITAL ALLOCATION CONSERV	0.00	1.01	0.00	1.01	90.0	0.91
04/15/2016	EATON, JOANNE	COLUMBIA CAPITAL ALLOCATION CONSERV	0.00	1.11	0.00	1.11	90.0	1.00
05/24/2016	EATON, JOANNE	COLUMBIA CAPITAL ALLOCATION CONSERV	0.00	1.09	0.00	1.09	90.0	0.98
06/14/2016	EATON, JOANNE	COLUMBIA CAPITAL ALLOCATION CONSERV	0.00	1.13	0.00	1.13	90.0	1.02
07/20/2016	EATON, JOANNE	COLUMBIA CAPITAL ALLOCATION CONSERV	0.00	0.33	0.00	0.33	90.0	0.30
02/12/2016	EATON, GUY	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.13	0.00	1.13	90.0	1.02
03/14/2016	EATON, GUY	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.03	0.00	1.03	90.0	0.93

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/15/2016	EATON, GUY	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.19	0.00	1.19	90.0	1.07
05/24/2016	EATON, GUY	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.18	0.00	1.18	90.0	1.06
06/14/2016	EATON, GUY	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.23	0.00	1.23	90.0	1.11
07/20/2016	EATON, GUY	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	0.52	0.00	0.52	90.0	0.47
11/15/2016	AGUIAR, SUSAN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.68	0.00	0.68	90.0	0.61
12/15/2016	AGUIAR, SUSAN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	5.16	0.00	5.16	90.0	4.64
02/12/2016	CONNOLLY TRUST	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	2.56	0.00	2.56	90.0	2.30
02/12/2016	EATON, GUY	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.33	0.00	0.33	90.0	0.30
02/12/2016	EATON, JOANNE	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.22	0.00	0.22	90.0	0.20
02/12/2016	AGUIAR, SUSAN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	4.96	0.00	4.96	90.0	4.46
03/14/2016	CONNOLLY TRUST	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	2.27	0.00	2.27	90.0	2.04
03/14/2016	EATON, GUY	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.29	0.00	0.29	90.0	0.26
03/14/2016	EATON, JOANNE	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.20	0.00	0.20	90.0	0.18
03/14/2016	AGUIAR, SUSAN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	4.40	0.00	4.40	90.0	3.96
04/15/2016	CONNOLLY TRUST	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	2.58	0.00	2.58	90.0	2.32
04/15/2016	EATON, GUY	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.33	0.00	0.33	90.0	0.30
04/15/2016	EATON, JOANNE	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.22	0.00	0.22	90.0	0.20
04/15/2016	AGUIAR, SUSAN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	5.00	0.00	5.00	90.0	4.50
05/24/2016	CONNOLLY TRUST	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	2.58	0.00	2.58	90.0	2.32
05/24/2016	EATON, GUY	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.33	0.00	0.33	90.0	0.30
05/24/2016	EATON, JOANNE	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.22	0.00	0.22	90.0	0.20
05/24/2016	AGUIAR, SUSAN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	4.99	0.00	4.99	90.0	4.49
06/14/2016	CONNOLLY TRUST	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	1.54	0.00	1.54	90.0	1.39
06/14/2016	EATON, GUY	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.34	0.00	0.34	90.0	0.31
06/14/2016	EATON, JOANNE	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.23	0.00	0.23	90.0	0.21
06/14/2016	AGUIAR, SUSAN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	5.16	0.00	5.16	90.0	4.64
07/20/2016	EATON, GUY	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.15	0.00	0.15	90.0	0.14
07/20/2016	EATON, JOANNE	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.07	0.00	0.07	90.0	0.06
07/20/2016	AGUIAR, SUSAN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	5.00	0.00	5.00	90.0	4.50
08/17/2016	AGUIAR, SUSAN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	5.29	0.00	5.29	90.0	4.76
09/16/2016	AGUIAR, SUSAN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	5.43	0.00	5.43	90.0	4.89
10/14/2016	AGUIAR, SUSAN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	5.20	0.00	5.20	90.0	4.68
11/15/2016	AGUIAR, SUSAN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	4.70	0.00	4.70	90.0	4.23

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/12/2016	EATON, GUY	COLUMBIA SMALL/MID CAP VALUE FUND A	0.00	1.13	0.00	1.13	90.0	1.02
03/14/2016	EATON, GUY	COLUMBIA SMALL/MID CAP VALUE FUND A	0.00	1.03	0.00	1.03	90.0	0.93
04/15/2016	EATON, GUY	COLUMBIA SMALL/MID CAP VALUE FUND A	0.00	1.20	0.00	1.20	90.0	1.08
05/24/2016	EATON, GUY	COLUMBIA SMALL/MID CAP VALUE FUND A	0.00	1.19	0.00	1.19	90.0	1.07
06/14/2016	EATON, GUY	COLUMBIA SMALL/MID CAP VALUE FUND A	0.00	1.23	0.00	1.23	90.0	1.11
07/20/2016	EATON, GUY	COLUMBIA SMALL/MID CAP VALUE FUND A	0.00	0.53	0.00	0.53	90.0	0.48
04/26/2016	HARRIS, ANNMARIE	DWS DEUTSCHE GLOBAL GROWTH FUND-A	0.00	1.99	0.00	1.99	90.0	1.79
04/26/2016	MARKS JR, PAUL	DWS DEUTSCHE GLOBAL GROWTH FUND-A	0.00	8.48	0.00	8.48	90.0	7.63
07/25/2016	MARKS JR, PAUL	DWS DEUTSCHE GLOBAL GROWTH FUND-A	0.00	1.68	0.00	1.68	90.0	1.51
02/10/2016	CAMPBELL, ROGER	EATON VANCE CORE PLUS BOND FUND CLA	0.00	2.10	0.00	2.10	90.0	1.89
02/10/2016	ARSENIAN, CAROL	EATON VANCE CORE PLUS BOND FUND CLA	0.00	3.01	0.00	3.01	90.0	2.71
02/10/2016	EATON, JOANNE	EATON VANCE CORE PLUS BOND FUND CLA	0.00	1.85	0.00	1.85	90.0	1.67
03/16/2016	CAMPBELL, ROGER	EATON VANCE CORE PLUS BOND FUND CLA	0.00	1.94	0.00	1.94	90.0	1.75
03/16/2016	ARSENIAN, CAROL	EATON VANCE CORE PLUS BOND FUND CLA	0.00	2.79	0.00	2.79	90.0	2.51
03/16/2016	EATON, JOANNE	EATON VANCE CORE PLUS BOND FUND CLA	0.00	1.71	0.00	1.71	90.0	1.54
04/25/2016	CAMPBELL, ROGER	EATON VANCE CORE PLUS BOND FUND CLA	0.00	2.16	0.00	2.16	90.0	1.94
04/25/2016	ARSENIAN, CAROL	EATON VANCE CORE PLUS BOND FUND CLA	0.00	3.10	0.00	3.10	90.0	2.79
04/25/2016	EATON, JOANNE	EATON VANCE CORE PLUS BOND FUND CLA	0.00	1.90	0.00	1.90	90.0	1.71
05/13/2016	CAMPBELL, ROGER	EATON VANCE CORE PLUS BOND FUND CLA	0.00	2.16	0.00	2.16	90.0	1.94
05/13/2016	ARSENIAN, CAROL	EATON VANCE CORE PLUS BOND FUND CLA	0.00	3.10	0.00	3.10	90.0	2.79
05/13/2016	EATON, JOANNE	EATON VANCE CORE PLUS BOND FUND CLA	0.00	1.90	0.00	1.90	90.0	1.71
06/15/2016	CAMPBELL, ROGER	EATON VANCE CORE PLUS BOND FUND CLA	0.00	2.25	0.00	2.25	90.0	2.03
06/15/2016	ARSENIAN, CAROL	EATON VANCE CORE PLUS BOND FUND CLA	0.00	3.23	0.00	3.23	90.0	2.91
06/15/2016	EATON, JOANNE	EATON VANCE CORE PLUS BOND FUND CLA	0.00	1.98	0.00	1.98	90.0	1.78
07/20/2016	CAMPBELL, ROGER	EATON VANCE CORE PLUS BOND FUND CLA	0.00	2.20	0.00	2.20	90.0	1.98
07/20/2016	ARSENIAN, CAROL	EATON VANCE CORE PLUS BOND FUND CLA	0.00	3.16	0.00	3.16	90.0	2.84
07/20/2016	EATON, JOANNE	EATON VANCE CORE PLUS BOND FUND CLA	0.00	0.90	0.00	0.90	90.0	0.81
08/12/2016	CAMPBELL, ROGER	EATON VANCE CORE PLUS BOND FUND CLA	0.00	2.33	0.00	2.33	90.0	2.10
08/12/2016	ARSENIAN, CAROL	EATON VANCE CORE PLUS BOND FUND CLA	0.00	3.34	0.00	3.34	90.0	3.01
09/13/2016	CAMPBELL, ROGER	EATON VANCE CORE PLUS BOND FUND CLA	0.00	2.36	0.00	2.36	90.0	2.12
09/13/2016	ARSENIAN, CAROL	EATON VANCE CORE PLUS BOND FUND CLA	0.00	3.38	0.00	3.38	90.0	3.04
10/12/2016	CAMPBELL, ROGER	EATON VANCE CORE PLUS BOND FUND CLA	0.00	2.29	0.00	2.29	90.0	2.06
10/12/2016	ARSENIAN, CAROL	EATON VANCE CORE PLUS BOND FUND CLA	0.00	3.29	0.00	3.29	90.0	2.96

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/15/2016	CAMPBELL, ROGER	EATON VANCE CORE PLUS BOND FUND CLA	0.00	2.38	0.00	2.38	90.0	2.14
11/15/2016	ARSENIAN, CAROL	EATON VANCE CORE PLUS BOND FUND CLA	0.00	3.42	0.00	3.42	90.0	3.08
12/21/2016	CAMPBELL, ROGER	EATON VANCE CORE PLUS BOND FUND CLA	0.00	2.28	0.00	2.28	90.0	2.05
12/21/2016	ARSENIAN, CAROL	EATON VANCE CORE PLUS BOND FUND CLA	0.00	3.27	0.00	3.27	90.0	2.94
02/10/2016	ARSENIAN, CAROL	EATON VANCE GREATER INDIA FUND CLAS	0.00	5.48	0.00	5.48	90.0	4.93
02/10/2016	ZONIS, ELISSA	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.01	0.00	1.01	90.0	0.91
02/10/2016	MURPHY, PATRICIA	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.42	0.00	1.42	90.0	1.28
02/10/2016	EATON, GUY	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.85	0.00	0.85	90.0	0.77
02/10/2016	EATON, JOANNE	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.30	0.00	0.30	90.0	0.27
02/10/2016	HARRIS, ANNMARIE	EATON VANCE GREATER INDIA FUND CLAS	0.00	2.18	0.00	2.18	90.0	1.96
02/10/2016	AGUIAR, SUSAN	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.11	0.00	1.11	90.0	1.00
02/10/2016	AGUIAR, JOHN	EATON VANCE GREATER INDIA FUND CLAS	0.00	4.41	0.00	4.41	90.0	3.97
02/10/2016	MARKS JR, PAUL	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.39	0.00	0.39	90.0	0.35
02/10/2016	LONARDO, LISA	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.02	0.00	3.02	90.0	2.72
03/16/2016	ARSENIAN, CAROL	EATON VANCE GREATER INDIA FUND CLAS	0.00	4.85	0.00	4.85	90.0	4.37
03/16/2016	ZONIS, ELISSA	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.89	0.00	0.89	90.0	0.80
03/16/2016	MURPHY, PATRICIA	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.26	0.00	1.26	90.0	1.13
03/16/2016	EATON, GUY	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.75	0.00	0.75	90.0	0.68
03/16/2016	EATON, JOANNE	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.26	0.00	0.26	90.0	0.23
03/16/2016	HARRIS, ANNMARIE	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.93	0.00	1.93	90.0	1.74
03/16/2016	AGUIAR, SUSAN	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.98	0.00	0.98	90.0	0.88
03/16/2016	AGUIAR, JOHN	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.90	0.00	3.90	90.0	3.51
03/16/2016	MARKS JR, PAUL	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.34	0.00	0.34	90.0	0.31
03/16/2016	LONARDO, LISA	EATON VANCE GREATER INDIA FUND CLAS	0.00	2.67	0.00	2.67	90.0	2.40
04/25/2016	ARSENIAN, CAROL	EATON VANCE GREATER INDIA FUND CLAS	0.00	5.52	0.00	5.52	90.0	4.97
04/25/2016	ZONIS, ELISSA	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.02	0.00	1.02	90.0	0.92
04/25/2016	MURPHY, PATRICIA	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.43	0.00	1.43	90.0	1.29
04/25/2016	EATON, GUY	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.85	0.00	0.85	90.0	0.77
04/25/2016	EATON, JOANNE	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.30	0.00	0.30	90.0	0.27
04/25/2016	HARRIS, ANNMARIE	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.70	0.00	0.70	90.0	0.63
04/25/2016	AGUIAR, SUSAN	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.12	0.00	1.12	90.0	1.01
04/25/2016	AGUIAR, JOHN	EATON VANCE GREATER INDIA FUND CLAS	0.00	4.44	0.00	4.44	90.0	4.00
04/25/2016	MARKS JR, PAUL	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.39	0.00	0.39	90.0	0.35

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/25/2016	LONARDO, LISA	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.04	0.00	3.04	90.0	2.74
05/13/2016	ARSENIAN, CAROL	EATON VANCE GREATER INDIA FUND CLAS	0.00	5.61	0.00	5.61	90.0	5.05
05/13/2016	ZONIS, ELISSA	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.93	0.00	0.93	90.0	0.84
05/13/2016	MURPHY, PATRICIA	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.45	0.00	1.45	90.0	1.31
05/13/2016	EATON, GUY	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.87	0.00	0.87	90.0	0.78
05/13/2016	EATON, JOANNE	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.31	0.00	0.31	90.0	0.28
05/13/2016	AGUIAR, SUSAN	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.14	0.00	1.14	90.0	1.03
05/13/2016	AGUIAR, JOHN	EATON VANCE GREATER INDIA FUND CLAS	0.00	4.51	0.00	4.51	90.0	4.06
05/13/2016	MARKS JR, PAUL	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.25	0.00	0.25	90.0	0.23
05/13/2016	LONARDO, LISA	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.08	0.00	3.08	90.0	2.77
06/15/2016	ARSENIAN, CAROL	EATON VANCE GREATER INDIA FUND CLAS	0.00	5.99	0.00	5.99	90.0	5.39
06/15/2016	MURPHY, PATRICIA	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.55	0.00	1.55	90.0	1.40
06/15/2016	EATON, GUY	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.93	0.00	0.93	90.0	0.84
06/15/2016	EATON, JOANNE	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.33	0.00	0.33	90.0	0.30
06/15/2016	AGUIAR, SUSAN	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.21	0.00	1.21	90.0	1.09
06/15/2016	AGUIAR, JOHN	EATON VANCE GREATER INDIA FUND CLAS	0.00	4.81	0.00	4.81	90.0	4.33
06/15/2016	LONARDO, LISA	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.29	0.00	3.29	90.0	2.96
07/20/2016	ARSENIAN, CAROL	EATON VANCE GREATER INDIA FUND CLAS	0.00	6.00	0.00	6.00	90.0	5.40
07/20/2016	MURPHY, PATRICIA	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.55	0.00	1.55	90.0	1.40
07/20/2016	EATON, GUY	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.40	0.00	0.40	90.0	0.36
07/20/2016	EATON, JOANNE	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.10	0.00	0.10	90.0	0.09
07/20/2016	AGUIAR, SUSAN	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.21	0.00	1.21	90.0	1.09
07/20/2016	AGUIAR, JOHN	EATON VANCE GREATER INDIA FUND CLAS	0.00	4.82	0.00	4.82	90.0	4.34
07/20/2016	LONARDO, LISA	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.30	0.00	3.30	90.0	2.97
08/12/2016	ARSENIAN, CAROL	EATON VANCE GREATER INDIA FUND CLAS	0.00	6.56	0.00	6.56	90.0	5.90
08/12/2016	MURPHY, PATRICIA	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.70	0.00	1.70	90.0	1.53
08/12/2016	AGUIAR, SUSAN	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.33	0.00	1.33	90.0	1.20
08/12/2016	AGUIAR, JOHN	EATON VANCE GREATER INDIA FUND CLAS	0.00	5.27	0.00	5.27	90.0	4.74
08/12/2016	LONARDO, LISA	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.61	0.00	3.61	90.0	3.25
09/13/2016	ARSENIAN, CAROL	EATON VANCE GREATER INDIA FUND CLAS	0.00	6.83	0.00	6.83	90.0	6.15
09/13/2016	MURPHY, PATRICIA	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.77	0.00	1.77	90.0	1.59
09/13/2016	AGUIAR, SUSAN	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.38	0.00	1.38	90.0	1.24
09/13/2016	AGUIAR, JOHN	EATON VANCE GREATER INDIA FUND CLAS	0.00	5.49	0.00	5.49	90.0	4.94

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/13/2016	LONARDO, LISA	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.76	0.00	3.76	90.0	3.38
10/12/2016	ARSENIAN, CAROL	EATON VANCE GREATER INDIA FUND CLAS	0.00	6.76	0.00	6.76	90.0	6.08
10/12/2016	MURPHY, PATRICIA	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.75	0.00	1.75	90.0	1.58
10/12/2016	AGUIAR, SUSAN	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.37	0.00	1.37	90.0	1.23
10/12/2016	AGUIAR, JOHN	EATON VANCE GREATER INDIA FUND CLAS	0.00	5.43	0.00	5.43	90.0	4.89
10/12/2016	LONARDO, LISA	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.72	0.00	3.72	90.0	3.35
11/15/2016	ARSENIAN, CAROL	EATON VANCE GREATER INDIA FUND CLAS	0.00	6.91	0.00	6.91	90.0	6.22
11/15/2016	MURPHY, PATRICIA	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.79	0.00	1.79	90.0	1.61
11/15/2016	AGUIAR, SUSAN	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.40	0.00	1.40	90.0	1.26
11/15/2016	AGUIAR, JOHN	EATON VANCE GREATER INDIA FUND CLAS	0.00	5.55	0.00	5.55	90.0	5.00
11/15/2016	LONARDO, LISA	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.80	0.00	3.80	90.0	3.42
12/21/2016	ARSENIAN, CAROL	EATON VANCE GREATER INDIA FUND CLAS	0.00	6.13	0.00	6.13	90.0	5.52
12/21/2016	MURPHY, PATRICIA	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.59	0.00	1.59	90.0	1.43
12/21/2016	AGUIAR, SUSAN	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.24	0.00	1.24	90.0	1.12
12/21/2016	AGUIAR, JOHN	EATON VANCE GREATER INDIA FUND CLAS	0.00	4.93	0.00	4.93	90.0	4.44
12/21/2016	LONARDO, LISA	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.37	0.00	3.37	90.0	3.03
02/10/2016	EATON, GUY	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.96	0.00	0.96	90.0	0.86
03/16/2016	EATON, GUY	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.89	0.00	0.89	90.0	0.80
04/25/2016	EATON, GUY	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	1.00	0.00	1.00	90.0	0.90
05/13/2016	EATON, GUY	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.99	0.00	0.99	90.0	0.89
06/15/2016	EATON, GUY	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	1.03	0.00	1.03	90.0	0.93
07/20/2016	EATON, GUY	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	1.01	0.00	1.01	90.0	0.91
08/12/2016	EATON, GUY	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	1.07	0.00	1.07	90.0	0.96
09/13/2016	EATON, GUY	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	1.08	0.00	1.08	90.0	0.97
10/12/2016	EATON, GUY	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	1.03	0.00	1.03	90.0	0.93
11/15/2016	EATON, GUY	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	1.06	0.00	1.06	90.0	0.95
12/21/2016	EATON, GUY	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	1.04	0.00	1.04	90.0	0.94
02/12/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	4.64	0.00	4.64	90.0	4.18
02/12/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	6.88	0.00	6.88	90.0	6.19
02/12/2016	ZONIS, MELVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	3.71	0.00	3.71	90.0	3.34
02/12/2016	ZONIS, MELVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	5.11	0.00	5.11	90.0	4.60
02/12/2016	FALLON, SHEILA	FIDELITY ADV STRATEGIC INCOME A	0.00	0.93	0.00	0.93	90.0	0.84
02/12/2016	ALLEN, ELISABETH	FIDELITY ADV STRATEGIC INCOME A	0.00	3.62	0.00	3.62	90.0	3.26

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/12/2016	MURPHY, PATRICIA	FIDELITY ADV STRATEGIC INCOME A	0.00	5.18	0.00	5.18	90.0	4.66
02/12/2016	EATON, GUY	FIDELITY ADV STRATEGIC INCOME A	0.00	0.42	0.00	0.42	90.0	0.38
02/12/2016	EATON, JOANNE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.37	0.00	0.37	90.0	0.33
02/12/2016	AGUIAR, JOHN	FIDELITY ADV STRATEGIC INCOME A	0.00	5.40	0.00	5.40	90.0	4.86
02/12/2016	MARKS JR, PAUL	FIDELITY ADV STRATEGIC INCOME A	0.00	1.34	0.00	1.34	90.0	1.21
03/17/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	4.33	0.00	4.33	90.0	3.90
03/17/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	6.42	0.00	6.42	90.0	5.78
03/17/2016	ZONIS, MELVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	3.46	0.00	3.46	90.0	3.11
03/17/2016	ZONIS, MELVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.78	0.00	4.78	90.0	4.30
03/17/2016	FALLON, SHEILA	FIDELITY ADV STRATEGIC INCOME A	0.00	0.87	0.00	0.87	90.0	0.78
03/17/2016	ALLEN, ELISABETH	FIDELITY ADV STRATEGIC INCOME A	0.00	3.38	0.00	3.38	90.0	3.04
03/17/2016	MURPHY, PATRICIA	FIDELITY ADV STRATEGIC INCOME A	0.00	4.83	0.00	4.83	90.0	4.35
03/17/2016	EATON, GUY	FIDELITY ADV STRATEGIC INCOME A	0.00	0.40	0.00	0.40	90.0	0.36
03/17/2016	EATON, JOANNE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.35	0.00	0.35	90.0	0.32
03/17/2016	AGUIAR, JOHN	FIDELITY ADV STRATEGIC INCOME A	0.00	5.04	0.00	5.04	90.0	4.54
03/17/2016	MARKS JR, PAUL	FIDELITY ADV STRATEGIC INCOME A	0.00	1.25	0.00	1.25	90.0	1.13
04/19/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	4.77	0.00	4.77	90.0	4.29
04/19/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	7.07	0.00	7.07	90.0	6.36
04/19/2016	ZONIS, MELVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	3.81	0.00	3.81	90.0	3.43
04/19/2016	ZONIS, MELVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	5.26	0.00	5.26	90.0	4.73
04/19/2016	FALLON, SHEILA	FIDELITY ADV STRATEGIC INCOME A	0.00	0.96	0.00	0.96	90.0	0.86
04/19/2016	ALLEN, ELISABETH	FIDELITY ADV STRATEGIC INCOME A	0.00	2.03	0.00	2.03	90.0	1.83
04/19/2016	MURPHY, PATRICIA	FIDELITY ADV STRATEGIC INCOME A	0.00	5.32	0.00	5.32	90.0	4.79
04/19/2016	EATON, GUY	FIDELITY ADV STRATEGIC INCOME A	0.00	0.44	0.00	0.44	90.0	0.40
04/19/2016	EATON, JOANNE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.39	0.00	0.39	90.0	0.35
04/19/2016	AGUIAR, JOHN	FIDELITY ADV STRATEGIC INCOME A	0.00	5.55	0.00	5.55	90.0	5.00
04/19/2016	MARKS JR, PAUL	FIDELITY ADV STRATEGIC INCOME A	0.00	1.38	0.00	1.38	90.0	1.24
05/24/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	4.70	0.00	4.70	90.0	4.23
05/24/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	6.97	0.00	6.97	90.0	6.27
05/24/2016	ZONIS, MELVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	3.76	0.00	3.76	90.0	3.38
05/24/2016	ZONIS, MELVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.66	0.00	4.66	90.0	4.19
05/24/2016	FALLON, SHEILA	FIDELITY ADV STRATEGIC INCOME A	0.00	0.85	0.00	0.85	90.0	0.77
05/24/2016	MURPHY, PATRICIA	FIDELITY ADV STRATEGIC INCOME A	0.00	5.25	0.00	5.25	90.0	4.73

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/24/2016	EATON, GUY	FIDELITY ADV STRATEGIC INCOME A	0.00	0.43	0.00	0.43	90.0	0.39
05/24/2016	EATON, JOANNE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.38	0.00	0.38	90.0	0.34
05/24/2016	AGUIAR, JOHN	FIDELITY ADV STRATEGIC INCOME A	0.00	5.48	0.00	5.48	90.0	4.93
05/24/2016	MARKS JR, PAUL	FIDELITY ADV STRATEGIC INCOME A	0.00	0.31	0.00	0.31	90.0	0.28
06/15/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	4.89	0.00	4.89	90.0	4.40
06/15/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	7.26	0.00	7.26	90.0	6.53
06/15/2016	ZONIS, MELVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	3.91	0.00	3.91	90.0	3.52
06/15/2016	MURPHY, PATRICIA	FIDELITY ADV STRATEGIC INCOME A	0.00	5.46	0.00	5.46	90.0	4.91
06/15/2016	EATON, GUY	FIDELITY ADV STRATEGIC INCOME A	0.00	0.45	0.00	0.45	90.0	0.41
06/15/2016	EATON, JOANNE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.40	0.00	0.40	90.0	0.36
06/15/2016	AGUIAR, JOHN	FIDELITY ADV STRATEGIC INCOME A	0.00	5.70	0.00	5.70	90.0	5.13
07/19/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	4.79	0.00	4.79	90.0	4.31
07/19/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	7.09	0.00	7.09	90.0	6.38
07/19/2016	ZONIS, MELVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	3.83	0.00	3.83	90.0	3.45
07/19/2016	MURPHY, PATRICIA	FIDELITY ADV STRATEGIC INCOME A	0.00	5.34	0.00	5.34	90.0	4.81
07/19/2016	EATON, GUY	FIDELITY ADV STRATEGIC INCOME A	0.00	0.19	0.00	0.19	90.0	0.17
07/19/2016	EATON, JOANNE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.12	0.00	0.12	90.0	0.11
07/19/2016	AGUIAR, JOHN	FIDELITY ADV STRATEGIC INCOME A	0.00	5.57	0.00	5.57	90.0	5.01
08/16/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	5.03	0.00	5.03	90.0	4.53
08/16/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	7.46	0.00	7.46	90.0	6.71
08/16/2016	ZONIS, MELVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.02	0.00	4.02	90.0	3.62
08/16/2016	MURPHY, PATRICIA	FIDELITY ADV STRATEGIC INCOME A	0.00	5.62	0.00	5.62	90.0	5.06
08/16/2016	AGUIAR, JOHN	FIDELITY ADV STRATEGIC INCOME A	0.00	5.86	0.00	5.86	90.0	5.27
09/19/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	5.10	0.00	5.10	90.0	4.59
09/19/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	7.57	0.00	7.57	90.0	6.81
09/19/2016	ZONIS, MELVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.08	0.00	4.08	90.0	3.67
09/19/2016	MURPHY, PATRICIA	FIDELITY ADV STRATEGIC INCOME A	0.00	5.69	0.00	5.69	90.0	5.12
09/19/2016	AGUIAR, JOHN	FIDELITY ADV STRATEGIC INCOME A	0.00	5.94	0.00	5.94	90.0	5.35
10/19/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	4.96	0.00	4.96	90.0	4.46
10/19/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	7.35	0.00	7.35	90.0	6.62
10/19/2016	ZONIS, MELVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	3.96	0.00	3.96	90.0	3.56
10/19/2016	MURPHY, PATRICIA	FIDELITY ADV STRATEGIC INCOME A	0.00	5.53	0.00	5.53	90.0	4.98
10/19/2016	AGUIAR, JOHN	FIDELITY ADV STRATEGIC INCOME A	0.00	5.77	0.00	5.77	90.0	5.19

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/18/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	5.13	0.00	5.13	90.0	4.62
11/18/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	7.61	0.00	7.61	90.0	6.85
11/18/2016	ZONIS, MELVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.10	0.00	4.10	90.0	3.69
11/18/2016	MURPHY, PATRICIA	FIDELITY ADV STRATEGIC INCOME A	0.00	5.73	0.00	5.73	90.0	5.16
11/18/2016	AGUIAR, JOHN	FIDELITY ADV STRATEGIC INCOME A	0.00	5.98	0.00	5.98	90.0	5.38
12/16/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	4.89	0.00	4.89	90.0	4.40
12/16/2016	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	7.24	0.00	7.24	90.0	6.52
12/16/2016	ZONIS, MELVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	3.91	0.00	3.91	90.0	3.52
12/16/2016	MURPHY, PATRICIA	FIDELITY ADV STRATEGIC INCOME A	0.00	5.45	0.00	5.45	90.0	4.91
12/16/2016	AGUIAR, JOHN	FIDELITY ADV STRATEGIC INCOME A	0.00	5.69	0.00	5.69	90.0	5.12
02/16/2016	MURPHY, PATRICIA	FT FOUNDING FUNDS ALLOC A	0.00	0.03	0.00	0.03	90.0	0.03
03/16/2016	MURPHY, PATRICIA	FT FOUNDING FUNDS ALLOC A	0.00	0.03	0.00	0.03	90.0	0.03
04/15/2016	MURPHY, PATRICIA	FT FOUNDING FUNDS ALLOC A	0.00	0.04	0.00	0.04	90.0	0.04
05/13/2016	MURPHY, PATRICIA	FT FOUNDING FUNDS ALLOC A	0.00	0.04	0.00	0.04	90.0	0.04
06/14/2016	MURPHY, PATRICIA	FT FOUNDING FUNDS ALLOC A	0.00	0.04	0.00	0.04	90.0	0.04
07/14/2016	MURPHY, PATRICIA	FT FOUNDING FUNDS ALLOC A	0.00	0.04	0.00	0.04	90.0	0.04
08/15/2016	MURPHY, PATRICIA	FT FOUNDING FUNDS ALLOC A	0.00	0.04	0.00	0.04	90.0	0.04
09/14/2016	MURPHY, PATRICIA	FT FOUNDING FUNDS ALLOC A	0.00	0.04	0.00	0.04	90.0	0.04
10/18/2016	MURPHY, PATRICIA	FT FOUNDING FUNDS ALLOC A	0.00	0.04	0.00	0.04	90.0	0.04
11/15/2016	MURPHY, PATRICIA	FT FOUNDING FUNDS ALLOC A	0.00	0.04	0.00	0.04	90.0	0.04
12/16/2016	MURPHY, PATRICIA	FT FOUNDING FUNDS ALLOC A	0.00	0.04	0.00	0.04	90.0	0.04
02/23/2016	MARKS JR, PAUL	GABELLI EQUITY SERIES	0.00	1.57	0.00	1.57	90.0	1.41
03/21/2016	MARKS JR, PAUL	GABELLI EQUITY SERIES	0.00	1.45	0.00	1.45	90.0	1.31
04/28/2016	MARKS JR, PAUL	GABELLI EQUITY SERIES	0.00	1.67	0.00	1.67	90.0	1.50
05/24/2016	MARKS JR, PAUL	GABELLI EQUITY SERIES	0.00	0.93	0.00	0.93	90.0	0.84
04/14/2016	AGUIAR, SUSAN	INVESCO DEIVERSIFIED DIVIDEND FD CL	0.00	5.33	0.00	5.33	90.0	4.80
04/14/2016	AGUIAR, JOHN	INVESCO DEIVERSIFIED DIVIDEND FD CL	0.00	5.31	0.00	5.31	90.0	4.78
07/19/2016	AGUIAR, SUSAN	INVESCO DEIVERSIFIED DIVIDEND FD CL	0.00	1.62	0.00	1.62	90.0	1.46
07/19/2016	AGUIAR, JOHN	INVESCO DEIVERSIFIED DIVIDEND FD CL	0.00	1.61	0.00	1.61	90.0	1.45
07/19/2016	AGUIAR, SUSAN	INVESCO DIVERSIFIED DIVIDEND A	0.00	4.10	0.00	4.10	90.0	3.69
07/19/2016	AGUIAR, JOHN	INVESCO DIVERSIFIED DIVIDEND A	0.00	4.08	0.00	4.08	90.0	3.67
10/17/2016	AGUIAR, SUSAN	INVESCO DIVERSIFIED DIVIDEND A	0.00	5.95	0.00	5.95	90.0	5.36
10/17/2016	AGUIAR, JOHN	INVESCO DIVERSIFIED DIVIDEND A	0.00	5.93	0.00	5.93	90.0	5.34

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/19/2016	MARKS JR, PAUL	JH BOND A	0.00	2.81	0.00	2.81	90.0	2.53
03/18/2016	MARKS JR, PAUL	JH BOND A	0.00	2.63	0.00	2.63	90.0	2.37
04/15/2016	MARKS JR, PAUL	JH BOND A	0.00	2.84	0.00	2.84	90.0	2.56
05/23/2016	MARKS JR, PAUL	JH BOND A	0.00	1.58	0.00	1.58	90.0	1.42
02/19/2016	EATON, GUY	JH STRATEGIC INCOME A	0.00	0.61	0.00	0.61	90.0	0.55
03/18/2016	EATON, GUY	JH STRATEGIC INCOME A	0.00	0.57	0.00	0.57	90.0	0.51
04/15/2016	EATON, GUY	JH STRATEGIC INCOME A	0.00	0.62	0.00	0.62	90.0	0.56
05/23/2016	EATON, GUY	JH STRATEGIC INCOME A	0.00	0.60	0.00	0.60	90.0	0.54
06/27/2016	EATON, GUY	JH STRATEGIC INCOME A	0.00	0.62	0.00	0.62	90.0	0.56
07/15/2016	EATON, GUY	JH STRATEGIC INCOME A	0.00	0.26	0.00	0.26	90.0	0.23
02/19/2016	ZONIS, MELVIN	JH TECHNICAL OPPORTUNITIES A	0.00	7.55	0.00	7.55	90.0	6.80
03/18/2016	ZONIS, MELVIN	JH TECHNICAL OPPORTUNITIES A	0.00	6.58	0.00	6.58	90.0	5.92
04/15/2016	ZONIS, MELVIN	JH TECHNICAL OPPORTUNITIES A	0.00	7.13	0.00	7.13	90.0	6.42
05/23/2016	ZONIS, MELVIN	JH TECHNICAL OPPORTUNITIES A	0.00	6.27	0.00	6.27	90.0	5.64
02/19/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	5.10	0.00	5.10	90.0	4.59
03/18/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	4.69	0.00	4.69	90.0	4.22
04/15/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	5.27	0.00	5.27	90.0	4.74
05/23/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	5.21	0.00	5.21	90.0	4.69
06/27/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	5.39	0.00	5.39	90.0	4.85
07/15/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	5.25	0.00	5.25	90.0	4.73
08/16/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	5.53	0.00	5.53	90.0	4.98
09/16/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	5.63	0.00	5.63	90.0	5.07
10/24/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	5.45	0.00	5.45	90.0	4.91
11/18/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	5.62	0.00	5.62	90.0	5.06
12/16/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	5.41	0.00	5.41	90.0	4.87
02/19/2016	AGUIAR, SPENCER	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	8.98	0.00	8.98	90.0	8.08
02/19/2016	ARSENIAN, CAROL	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	9.63	0.00	9.63	90.0	8.67
02/19/2016	ZONIS, MELVIN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	4.50	0.00	4.50	90.0	4.05
02/19/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	8.20	0.00	8.20	90.0	7.38
02/19/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	3.09	0.00	3.09	90.0	2.78
02/19/2016	EATON, GUY	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.68	0.00	0.68	90.0	0.61
02/19/2016	EATON, JOANNE	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	5.26	0.00	5.26	90.0	4.73
02/19/2016	EATON, JOANNE	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.33	0.00	0.33	90.0	0.30

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/19/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.04	0.00	0.04	90.0	0.04
02/19/2016	LONARDO, LISA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	2.25	0.00	2.25	90.0	2.03
03/18/2016	AGUIAR, SPENCER	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	8.32	0.00	8.32	90.0	7.49
03/18/2016	ARSENIAN, CAROL	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	8.92	0.00	8.92	90.0	8.03
03/18/2016	ZONIS, MELVIN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	4.17	0.00	4.17	90.0	3.75
03/18/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	7.59	0.00	7.59	90.0	6.83
03/18/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	2.86	0.00	2.86	90.0	2.57
03/18/2016	EATON, GUY	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.63	0.00	0.63	90.0	0.57
03/18/2016	EATON, JOANNE	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	4.87	0.00	4.87	90.0	4.38
03/18/2016	EATON, JOANNE	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.31	0.00	0.31	90.0	0.28
03/18/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.03	0.00	0.03	90.0	0.03
03/18/2016	LONARDO, LISA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	2.08	0.00	2.08	90.0	1.87
04/15/2016	AGUIAR, SPENCER	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	9.22	0.00	9.22	90.0	8.30
04/15/2016	ARSENIAN, CAROL	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	9.90	0.00	9.90	90.0	8.91
04/15/2016	ZONIS, MELVIN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	4.63	0.00	4.63	90.0	4.17
04/15/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	8.43	0.00	8.43	90.0	7.59
04/15/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	3.18	0.00	3.18	90.0	2.86
04/15/2016	EATON, GUY	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.70	0.00	0.70	90.0	0.63
04/15/2016	EATON, JOANNE	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	5.40	0.00	5.40	90.0	4.86
04/15/2016	EATON, JOANNE	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.34	0.00	0.34	90.0	0.31
04/15/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.04	0.00	0.04	90.0	0.04
04/15/2016	LONARDO, LISA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	2.31	0.00	2.31	90.0	2.08
05/23/2016	AGUIAR, SPENCER	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	9.10	0.00	9.10	90.0	8.19
05/23/2016	ARSENIAN, CAROL	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	9.77	0.00	9.77	90.0	8.79
05/23/2016	ZONIS, MELVIN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	4.11	0.00	4.11	90.0	3.70
05/23/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	8.32	0.00	8.32	90.0	7.49
05/23/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	3.14	0.00	3.14	90.0	2.83
05/23/2016	EATON, GUY	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.69	0.00	0.69	90.0	0.62
05/23/2016	EATON, JOANNE	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	5.34	0.00	5.34	90.0	4.81
05/23/2016	EATON, JOANNE	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.33	0.00	0.33	90.0	0.30
05/23/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.04	0.00	0.04	90.0	0.04
05/23/2016	LONARDO, LISA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	2.28	0.00	2.28	90.0	2.05
06/27/2016	AGUIAR, SPENCER	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	9.43	0.00	9.43	90.0	8.49

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/27/2016	ARSENIAN, CAROL	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	10.13	0.00	10.13	90.0	9.12
06/27/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	8.63	0.00	8.63	90.0	7.77
06/27/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	3.25	0.00	3.25	90.0	2.93
06/27/2016	EATON, GUY	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.72	0.00	0.72	90.0	0.65
06/27/2016	EATON, JOANNE	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	5.53	0.00	5.53	90.0	4.98
06/27/2016	EATON, JOANNE	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.35	0.00	0.35	90.0	0.32
06/27/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.04	0.00	0.04	90.0	0.04
06/27/2016	LONARDO, LISA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	2.37	0.00	2.37	90.0	2.13
07/15/2016	AGUIAR, SPENCER	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	9.18	0.00	9.18	90.0	8.26
07/15/2016	ARSENIAN, CAROL	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	9.87	0.00	9.87	90.0	8.88
07/15/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	8.41	0.00	8.41	90.0	7.57
07/15/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	3.17	0.00	3.17	90.0	2.85
07/15/2016	EATON, GUY	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.30	0.00	0.30	90.0	0.27
07/15/2016	EATON, JOANNE	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	2.53	0.00	2.53	90.0	2.28
07/15/2016	EATON, JOANNE	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.10	0.00	0.10	90.0	0.09
07/15/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.04	0.00	0.04	90.0	0.04
07/15/2016	LONARDO, LISA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	2.31	0.00	2.31	90.0	2.08
08/16/2016	AGUIAR, SPENCER	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	9.66	0.00	9.66	90.0	8.69
08/16/2016	ARSENIAN, CAROL	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	10.39	0.00	10.39	90.0	9.35
08/16/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	8.85	0.00	8.85	90.0	7.97
08/16/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	3.34	0.00	3.34	90.0	3.01
08/16/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.04	0.00	0.04	90.0	0.04
08/16/2016	LONARDO, LISA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	2.43	0.00	2.43	90.0	2.19
09/16/2016	AGUIAR, SPENCER	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	9.78	0.00	9.78	90.0	8.80
09/16/2016	ARSENIAN, CAROL	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	10.53	0.00	10.53	90.0	9.48
09/16/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	8.96	0.00	8.96	90.0	8.06
09/16/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	3.38	0.00	3.38	90.0	3.04
09/16/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.04	0.00	0.04	90.0	0.04
09/16/2016	LONARDO, LISA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	2.46	0.00	2.46	90.0	2.21
10/24/2016	AGUIAR, SPENCER	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	9.46	0.00	9.46	90.0	8.51
10/24/2016	ARSENIAN, CAROL	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	10.19	0.00	10.19	90.0	9.17
10/24/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	8.67	0.00	8.67	90.0	7.80
10/24/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	3.27	0.00	3.27	90.0	2.94

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/24/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.04	0.00	0.04	90.0	0.04
10/24/2016	LONARDO, LISA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	2.38	0.00	2.38	90.0	2.14
11/18/2016	AGUIAR, SPENCER	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	9.75	0.00	9.75	90.0	8.78
11/18/2016	ARSENIAN, CAROL	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	10.51	0.00	10.51	90.0	9.46
11/18/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	8.95	0.00	8.95	90.0	8.06
11/18/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	3.38	0.00	3.38	90.0	3.04
11/18/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.04	0.00	0.04	90.0	0.04
11/18/2016	LONARDO, LISA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	2.45	0.00	2.45	90.0	2.21
12/16/2016	AGUIAR, SPENCER	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	9.35	0.00	9.35	90.0	8.42
12/16/2016	ARSENIAN, CAROL	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	10.08	0.00	10.08	90.0	9.07
12/16/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	8.58	0.00	8.58	90.0	7.72
12/16/2016	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	3.24	0.00	3.24	90.0	2.92
12/16/2016	AGUIAR, SUSAN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.04	0.00	0.04	90.0	0.04
12/16/2016	LONARDO, LISA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	2.35	0.00	2.35	90.0	2.12
02/08/2016	ALLEN, ELISABETH	MFS BOND A	0.00	3.72	0.00	3.72	90.0	3.35
02/08/2016	EATON, JOANNE	MFS BOND A	0.00	0.23	0.00	0.23	90.0	0.21
03/14/2016	ALLEN, ELISABETH	MFS BOND A	0.00	3.49	0.00	3.49	90.0	3.14
03/14/2016	EATON, JOANNE	MFS BOND A	0.00	0.21	0.00	0.21	90.0	0.19
04/15/2016	ALLEN, ELISABETH	MFS BOND A	0.00	2.07	0.00	2.07	90.0	1.86
04/15/2016	EATON, JOANNE	MFS BOND A	0.00	0.23	0.00	0.23	90.0	0.21
05/17/2016	EATON, JOANNE	MFS BOND A	0.00	0.23	0.00	0.23	90.0	0.21
06/16/2016	EATON, JOANNE	MFS BOND A	0.00	0.24	0.00	0.24	90.0	0.22
07/20/2016	EATON, JOANNE	MFS BOND A	0.00	0.11	0.00	0.11	90.0	0.10
02/08/2016	EATON, GUY	MFS DIVERSIFIED INCOME A	0.00	0.87	0.00	0.87	90.0	0.78
02/08/2016	EATON, JOANNE	MFS DIVERSIFIED INCOME A	0.00	6.45	0.00	6.45	90.0	5.81
02/08/2016	EATON, JOANNE	MFS DIVERSIFIED INCOME A	0.00	0.83	0.00	0.83	90.0	0.75
03/14/2016	EATON, GUY	MFS DIVERSIFIED INCOME A	0.00	0.81	0.00	0.81	90.0	0.73
03/14/2016	EATON, JOANNE	MFS DIVERSIFIED INCOME A	0.00	5.99	0.00	5.99	90.0	5.39
03/14/2016	EATON, JOANNE	MFS DIVERSIFIED INCOME A	0.00	0.77	0.00	0.77	90.0	0.69
04/15/2016	EATON, GUY	MFS DIVERSIFIED INCOME A	0.00	0.90	0.00	0.90	90.0	0.81
04/15/2016	EATON, JOANNE	MFS DIVERSIFIED INCOME A	0.00	6.71	0.00	6.71	90.0	6.04
04/15/2016	EATON, JOANNE	MFS DIVERSIFIED INCOME A	0.00	0.86	0.00	0.86	90.0	0.77
05/17/2016	EATON, GUY	MFS DIVERSIFIED INCOME A	0.00	0.89	0.00	0.89	90.0	0.80

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/17/2016	EATON, JOANNE	MFS DIVERSIFIED INCOME A	0.00	6.63	0.00	6.63	90.0	5.97
05/17/2016	EATON, JOANNE	MFS DIVERSIFIED INCOME A	0.00	0.85	0.00	0.85	90.0	0.77
06/16/2016	EATON, GUY	MFS DIVERSIFIED INCOME A	0.00	0.93	0.00	0.93	90.0	0.84
06/16/2016	EATON, JOANNE	MFS DIVERSIFIED INCOME A	0.00	6.89	0.00	6.89	90.0	6.20
06/16/2016	EATON, JOANNE	MFS DIVERSIFIED INCOME A	0.00	0.89	0.00	0.89	90.0	0.80
07/20/2016	EATON, GUY	MFS DIVERSIFIED INCOME A	0.00	0.39	0.00	0.39	90.0	0.35
07/20/2016	EATON, JOANNE	MFS DIVERSIFIED INCOME A	0.00	3.15	0.00	3.15	90.0	2.84
07/20/2016	EATON, JOANNE	MFS DIVERSIFIED INCOME A	0.00	0.26	0.00	0.26	90.0	0.23
02/08/2016	AGUIAR, SUSAN	MFS EMERGING MARKETS DEBT FUND A	0.00	4.22	0.00	4.22	90.0	3.80
03/14/2016	AGUIAR, SUSAN	MFS EMERGING MARKETS DEBT FUND A	0.00	3.97	0.00	3.97	90.0	3.57
04/15/2016	AGUIAR, SUSAN	MFS EMERGING MARKETS DEBT FUND A	0.00	4.39	0.00	4.39	90.0	3.95
05/17/2016	AGUIAR, SUSAN	MFS EMERGING MARKETS DEBT FUND A	0.00	4.34	0.00	4.34	90.0	3.91
06/16/2016	AGUIAR, SUSAN	MFS EMERGING MARKETS DEBT FUND A	0.00	4.52	0.00	4.52	90.0	4.07
07/20/2016	AGUIAR, SUSAN	MFS EMERGING MARKETS DEBT FUND A	0.00	4.43	0.00	4.43	90.0	3.99
08/16/2016	AGUIAR, SUSAN	MFS EMERGING MARKETS DEBT FUND A	0.00	4.73	0.00	4.73	90.0	4.26
09/15/2016	AGUIAR, SUSAN	MFS EMERGING MARKETS DEBT FUND A	0.00	4.80	0.00	4.80	90.0	4.32
10/20/2016	AGUIAR, SUSAN	MFS EMERGING MARKETS DEBT FUND A	0.00	4.66	0.00	4.66	90.0	4.19
11/14/2016	AGUIAR, SUSAN	MFS EMERGING MARKETS DEBT FUND A	0.00	4.81	0.00	4.81	90.0	4.33
12/19/2016	AGUIAR, SUSAN	MFS EMERGING MARKETS DEBT FUND A	0.00	4.51	0.00	4.51	90.0	4.06
02/08/2016	EATON, GUY	MFS HIGH INCOME A	0.00	0.28	0.00	0.28	90.0	0.25
03/14/2016	EATON, GUY	MFS HIGH INCOME A	0.00	0.26	0.00	0.26	90.0	0.23
04/15/2016	EATON, GUY	MFS HIGH INCOME A	0.00	0.29	0.00	0.29	90.0	0.26
05/17/2016	EATON, GUY	MFS HIGH INCOME A	0.00	0.28	0.00	0.28	90.0	0.25
06/16/2016	EATON, GUY	MFS HIGH INCOME A	0.00	0.30	0.00	0.30	90.0	0.27
07/20/2016	EATON, GUY	MFS HIGH INCOME A	0.00	0.13	0.00	0.13	90.0	0.12
02/08/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.62	0.00	4.62	90.0	4.16
02/08/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.93	0.00	5.93	90.0	5.34
02/08/2016	ALLEN, ELISABETH	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.34	0.00	1.34	90.0	1.21
02/08/2016	MURPHY, PATRICIA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.49	0.00	3.49	90.0	3.14
02/08/2016	EATON, GUY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.25	0.00	0.25	90.0	0.23
02/08/2016	EATON, JOANNE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.30	0.00	0.30	90.0	0.27
02/08/2016	AGUIAR, SUSAN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.40	0.00	3.40	90.0	3.06
02/08/2016	AGUIAR, JOHN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.12	0.00	3.12	90.0	2.81

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/08/2016	MARKS JR, PAUL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.63	0.00	5.63	90.0	5.07
02/08/2016	LONARDO, LISA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.00	0.00	6.00	90.0	5.40
03/14/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.26	0.00	4.26	90.0	3.83
03/14/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.48	0.00	5.48	90.0	4.93
03/14/2016	ALLEN, ELISABETH	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.24	0.00	1.24	90.0	1.12
03/14/2016	MURPHY, PATRICIA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.22	0.00	3.22	90.0	2.90
03/14/2016	EATON, GUY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.23	0.00	0.23	90.0	0.21
03/14/2016	EATON, JOANNE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.28	0.00	0.28	90.0	0.25
03/14/2016	AGUIAR, SUSAN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.14	0.00	3.14	90.0	2.83
03/14/2016	AGUIAR, JOHN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	2.88	0.00	2.88	90.0	2.59
03/14/2016	MARKS JR, PAUL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.20	0.00	5.20	90.0	4.68
03/14/2016	LONARDO, LISA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.54	0.00	5.54	90.0	4.99
04/15/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.83	0.00	4.83	90.0	4.35
04/15/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.20	0.00	6.20	90.0	5.58
04/15/2016	ALLEN, ELISABETH	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.76	0.00	0.76	90.0	0.68
04/15/2016	MURPHY, PATRICIA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.65	0.00	3.65	90.0	3.29
04/15/2016	EATON, GUY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.26	0.00	0.26	90.0	0.23
04/15/2016	EATON, JOANNE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.31	0.00	0.31	90.0	0.28
04/15/2016	AGUIAR, SUSAN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.55	0.00	3.55	90.0	3.20
04/15/2016	AGUIAR, JOHN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.26	0.00	3.26	90.0	2.93
04/15/2016	MARKS JR, PAUL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.88	0.00	5.88	90.0	5.29
04/15/2016	LONARDO, LISA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.27	0.00	6.27	90.0	5.64
05/17/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.79	0.00	4.79	90.0	4.31
05/17/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.16	0.00	6.16	90.0	5.54
05/17/2016	MURPHY, PATRICIA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.62	0.00	3.62	90.0	3.26
05/17/2016	EATON, GUY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.26	0.00	0.26	90.0	0.23
05/17/2016	EATON, JOANNE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.31	0.00	0.31	90.0	0.28
05/17/2016	AGUIAR, SUSAN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.53	0.00	3.53	90.0	3.18
05/17/2016	AGUIAR, JOHN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.23	0.00	3.23	90.0	2.91
05/17/2016	MARKS JR, PAUL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.27	0.00	3.27	90.0	2.94
05/17/2016	LONARDO, LISA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.22	0.00	6.22	90.0	5.60
06/16/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.93	0.00	4.93	90.0	4.44
06/16/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.34	0.00	6.34	90.0	5.71

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/16/2016	MURPHY, PATRICIA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.73	0.00	3.73	90.0	3.36
06/16/2016	EATON, GUY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.27	0.00	0.27	90.0	0.24
06/16/2016	EATON, JOANNE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.32	0.00	0.32	90.0	0.29
06/16/2016	AGUIAR, SUSAN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.63	0.00	3.63	90.0	3.27
06/16/2016	AGUIAR, JOHN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.33	0.00	3.33	90.0	3.00
06/16/2016	LONARDO, LISA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.41	0.00	6.41	90.0	5.77
07/20/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.79	0.00	4.79	90.0	4.31
07/20/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.15	0.00	6.15	90.0	5.54
07/20/2016	MURPHY, PATRICIA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.62	0.00	3.62	90.0	3.26
07/20/2016	EATON, GUY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.11	0.00	0.11	90.0	0.10
07/20/2016	EATON, JOANNE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.10	0.00	0.10	90.0	0.09
07/20/2016	AGUIAR, SUSAN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.52	0.00	3.52	90.0	3.17
07/20/2016	AGUIAR, JOHN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.23	0.00	3.23	90.0	2.91
07/20/2016	LONARDO, LISA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.22	0.00	6.22	90.0	5.60
08/16/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.05	0.00	5.05	90.0	4.55
08/16/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.49	0.00	6.49	90.0	5.84
08/16/2016	MURPHY, PATRICIA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.81	0.00	3.81	90.0	3.43
08/16/2016	AGUIAR, SUSAN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.71	0.00	3.71	90.0	3.34
08/16/2016	AGUIAR, JOHN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.40	0.00	3.40	90.0	3.06
08/16/2016	LONARDO, LISA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.56	0.00	6.56	90.0	5.90
09/15/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.22	0.00	5.22	90.0	4.70
09/15/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.71	0.00	6.71	90.0	6.04
09/15/2016	MURPHY, PATRICIA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.95	0.00	3.95	90.0	3.56
09/15/2016	AGUIAR, SUSAN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.84	0.00	3.84	90.0	3.46
09/15/2016	AGUIAR, JOHN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.52	0.00	3.52	90.0	3.17
09/15/2016	LONARDO, LISA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.78	0.00	6.78	90.0	6.10
10/20/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.07	0.00	5.07	90.0	4.56
10/20/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.52	0.00	6.52	90.0	5.87
10/20/2016	MURPHY, PATRICIA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.83	0.00	3.83	90.0	3.45
10/20/2016	AGUIAR, SUSAN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.73	0.00	3.73	90.0	3.36
10/20/2016	AGUIAR, JOHN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.42	0.00	3.42	90.0	3.08
10/20/2016	LONARDO, LISA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.59	0.00	6.59	90.0	5.93
11/14/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.17	0.00	5.17	90.0	4.65

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/14/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.64	0.00	6.64	90.0	5.98
11/14/2016	MURPHY, PATRICIA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.91	0.00	3.91	90.0	3.52
11/14/2016	AGUIAR, SUSAN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.80	0.00	3.80	90.0	3.42
11/14/2016	AGUIAR, JOHN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.49	0.00	3.49	90.0	3.14
11/14/2016	LONARDO, LISA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.71	0.00	6.71	90.0	6.04
12/19/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.81	0.00	4.81	90.0	4.33
12/19/2016	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.18	0.00	6.18	90.0	5.56
12/19/2016	MURPHY, PATRICIA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.63	0.00	3.63	90.0	3.27
12/19/2016	AGUIAR, SUSAN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.54	0.00	3.54	90.0	3.19
12/19/2016	AGUIAR, JOHN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.24	0.00	3.24	90.0	2.92
12/19/2016	LONARDO, LISA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	6.25	0.00	6.25	90.0	5.63
02/08/2016	LONARDO, LISA	MFS LIFETIME 2030 FUND A	0.00	7.65	0.00	7.65	90.0	6.89
03/14/2016	LONARDO, LISA	MFS LIFETIME 2030 FUND A	0.00	7.08	0.00	7.08	90.0	6.37
04/15/2016	LONARDO, LISA	MFS LIFETIME 2030 FUND A	0.00	7.99	0.00	7.99	90.0	7.19
05/17/2016	LONARDO, LISA	MFS LIFETIME 2030 FUND A	0.00	7.94	0.00	7.94	90.0	7.15
06/16/2016	LONARDO, LISA	MFS LIFETIME 2030 FUND A	0.00	8.25	0.00	8.25	90.0	7.43
07/20/2016	LONARDO, LISA	MFS LIFETIME 2030 FUND A	0.00	8.06	0.00	8.06	90.0	7.25
08/16/2016	LONARDO, LISA	MFS LIFETIME 2030 FUND A	0.00	8.53	0.00	8.53	90.0	7.68
09/15/2016	LONARDO, LISA	MFS LIFETIME 2030 FUND A	0.00	8.67	0.00	8.67	90.0	7.80
10/20/2016	LONARDO, LISA	MFS LIFETIME 2030 FUND A	0.00	8.37	0.00	8.37	90.0	7.53
11/14/2016	LONARDO, LISA	MFS LIFETIME 2030 FUND A	0.00	8.61	0.00	8.61	90.0	7.75
12/19/2016	LONARDO, LISA	MFS LIFETIME 2030 FUND A	0.00	8.27	0.00	8.27	90.0	7.44
02/08/2016	ZONIS, MELVIN	MFS LIMITED MATURITY A	0.00	1.78	0.00	1.78	90.0	1.60
02/08/2016	ZONIS, MELVIN	MFS LIMITED MATURITY A	0.00	1.78	0.00	1.78	90.0	1.60
02/08/2016	CONNOLLY TRUST	MFS LIMITED MATURITY A	0.00	1.46	0.00	1.46	90.0	1.31
02/08/2016	EATON, JOANNE	MFS LIMITED MATURITY A	0.00	1.47	0.00	1.47	90.0	1.32
02/08/2016	EATON, JOANNE	MFS LIMITED MATURITY A	0.00	0.18	0.00	0.18	90.0	0.16
02/08/2016	HARRIS, ANNMARIE	MFS LIMITED MATURITY A	0.00	0.77	0.00	0.77	90.0	0.69
02/08/2016	AGUIAR, SUSAN	MFS LIMITED MATURITY A	0.00	2.49	0.00	2.49	90.0	2.24
02/08/2016	MARKS JR, PAUL	MFS LIMITED MATURITY A	0.00	5.41	0.00	5.41	90.0	4.87
02/08/2016	LONARDO, LISA	MFS LIMITED MATURITY A	0.00	4.10	0.00	4.10	90.0	3.69
03/14/2016	ZONIS, MELVIN	MFS LIMITED MATURITY A	0.00	1.67	0.00	1.67	90.0	1.50
03/14/2016	ZONIS, MELVIN	MFS LIMITED MATURITY A	0.00	1.66	0.00	1.66	90.0	1.49

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/14/2016	CONNOLLY TRUST	MFS LIMITED MATURITY A	0.00	1.37	0.00	1.37	90.0	1.23
03/14/2016	EATON, JOANNE	MFS LIMITED MATURITY A	0.00	1.38	0.00	1.38	90.0	1.24
03/14/2016	EATON, JOANNE	MFS LIMITED MATURITY A	0.00	0.17	0.00	0.17	90.0	0.15
03/14/2016	HARRIS, ANNMARIE	MFS LIMITED MATURITY A	0.00	0.72	0.00	0.72	90.0	0.65
03/14/2016	AGUIAR, SUSAN	MFS LIMITED MATURITY A	0.00	2.33	0.00	2.33	90.0	2.10
03/14/2016	MARKS JR, PAUL	MFS LIMITED MATURITY A	0.00	5.07	0.00	5.07	90.0	4.56
03/14/2016	LONARDO, LISA	MFS LIMITED MATURITY A	0.00	3.84	0.00	3.84	90.0	3.46
04/15/2016	ZONIS, MELVIN	MFS LIMITED MATURITY A	0.00	1.79	0.00	1.79	90.0	1.61
04/15/2016	ZONIS, MELVIN	MFS LIMITED MATURITY A	0.00	1.78	0.00	1.78	90.0	1.60
04/15/2016	CONNOLLY TRUST	MFS LIMITED MATURITY A	0.00	1.46	0.00	1.46	90.0	1.31
04/15/2016	EATON, JOANNE	MFS LIMITED MATURITY A	0.00	1.47	0.00	1.47	90.0	1.32
04/15/2016	EATON, JOANNE	MFS LIMITED MATURITY A	0.00	0.18	0.00	0.18	90.0	0.16
04/15/2016	HARRIS, ANNMARIE	MFS LIMITED MATURITY A	0.00	0.25	0.00	0.25	90.0	0.23
04/15/2016	AGUIAR, SUSAN	MFS LIMITED MATURITY A	0.00	2.50	0.00	2.50	90.0	2.25
04/15/2016	MARKS JR, PAUL	MFS LIMITED MATURITY A	0.00	5.43	0.00	5.43	90.0	4.89
04/15/2016	LONARDO, LISA	MFS LIMITED MATURITY A	0.00	4.12	0.00	4.12	90.0	3.71
05/17/2016	ZONIS, MELVIN	MFS LIMITED MATURITY A	0.00	1.74	0.00	1.74	90.0	1.57
05/17/2016	ZONIS, MELVIN	MFS LIMITED MATURITY A	0.00	1.56	0.00	1.56	90.0	1.40
05/17/2016	CONNOLLY TRUST	MFS LIMITED MATURITY A	0.00	1.42	0.00	1.42	90.0	1.28
05/17/2016	EATON, JOANNE	MFS LIMITED MATURITY A	0.00	1.43	0.00	1.43	90.0	1.29
05/17/2016	EATON, JOANNE	MFS LIMITED MATURITY A	0.00	0.18	0.00	0.18	90.0	0.16
05/17/2016	AGUIAR, SUSAN	MFS LIMITED MATURITY A	0.00	2.43	0.00	2.43	90.0	2.19
05/17/2016	MARKS JR, PAUL	MFS LIMITED MATURITY A	0.00	2.99	0.00	2.99	90.0	2.69
05/17/2016	LONARDO, LISA	MFS LIMITED MATURITY A	0.00	4.00	0.00	4.00	90.0	3.60
06/16/2016	ZONIS, MELVIN	MFS LIMITED MATURITY A	0.00	1.80	0.00	1.80	90.0	1.62
06/16/2016	CONNOLLY TRUST	MFS LIMITED MATURITY A	0.00	0.86	0.00	0.86	90.0	0.77
06/16/2016	EATON, JOANNE	MFS LIMITED MATURITY A	0.00	1.48	0.00	1.48	90.0	1.33
06/16/2016	EATON, JOANNE	MFS LIMITED MATURITY A	0.00	0.18	0.00	0.18	90.0	0.16
06/16/2016	AGUIAR, SUSAN	MFS LIMITED MATURITY A	0.00	2.51	0.00	2.51	90.0	2.26
06/16/2016	LONARDO, LISA	MFS LIMITED MATURITY A	0.00	4.14	0.00	4.14	90.0	3.73
07/20/2016	ZONIS, MELVIN	MFS LIMITED MATURITY A	0.00	1.74	0.00	1.74	90.0	1.57
07/20/2016	EATON, JOANNE	MFS LIMITED MATURITY A	0.00	0.67	0.00	0.67	90.0	0.60
07/20/2016	EATON, JOANNE	MFS LIMITED MATURITY A	0.00	0.05	0.00	0.05	90.0	0.05

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/20/2016	AGUIAR, SUSAN	MFS LIMITED MATURITY A	0.00	2.44	0.00	2.44	90.0	2.20
07/20/2016	LONARDO, LISA	MFS LIMITED MATURITY A	0.00	4.02	0.00	4.02	90.0	3.62
08/16/2016	ZONIS, MELVIN	MFS LIMITED MATURITY A	0.00	1.81	0.00	1.81	90.0	1.63
08/16/2016	AGUIAR, SUSAN	MFS LIMITED MATURITY A	0.00	2.53	0.00	2.53	90.0	2.28
08/16/2016	LONARDO, LISA	MFS LIMITED MATURITY A	0.00	4.17	0.00	4.17	90.0	3.75
09/15/2016	ZONIS, MELVIN	MFS LIMITED MATURITY A	0.00	1.81	0.00	1.81	90.0	1.63
09/15/2016	AGUIAR, SUSAN	MFS LIMITED MATURITY A	0.00	2.53	0.00	2.53	90.0	2.28
09/15/2016	LONARDO, LISA	MFS LIMITED MATURITY A	0.00	4.17	0.00	4.17	90.0	3.75
10/20/2016	ZONIS, MELVIN	MFS LIMITED MATURITY A	0.00	1.75	0.00	1.75	90.0	1.58
10/20/2016	AGUIAR, SUSAN	MFS LIMITED MATURITY A	0.00	2.45	0.00	2.45	90.0	2.21
10/20/2016	LONARDO, LISA	MFS LIMITED MATURITY A	0.00	4.04	0.00	4.04	90.0	3.64
11/14/2016	ZONIS, MELVIN	MFS LIMITED MATURITY A	0.00	1.81	0.00	1.81	90.0	1.63
11/14/2016	AGUIAR, SUSAN	MFS LIMITED MATURITY A	0.00	2.53	0.00	2.53	90.0	2.28
11/14/2016	LONARDO, LISA	MFS LIMITED MATURITY A	0.00	4.17	0.00	4.17	90.0	3.75
12/19/2016	ZONIS, MELVIN	MFS LIMITED MATURITY A	0.00	1.75	0.00	1.75	90.0	1.58
12/19/2016	AGUIAR, SUSAN	MFS LIMITED MATURITY A	0.00	2.44	0.00	2.44	90.0	2.20
12/19/2016	LONARDO, LISA	MFS LIMITED MATURITY A	0.00	4.03	0.00	4.03	90.0	3.63
02/08/2016	LADINO, ELIOHENY	MFS MODERATE ALLOCATION A	0.00	32.55	0.00	32.55	90.0	29.30
02/08/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	9.76	0.00	9.76	90.0	8.78
02/08/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	1.31	0.00	1.31	90.0	1.18
02/08/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	0.50	0.00	0.50	90.0	0.45
02/08/2016	ROSENTHAL, MARY ELLEN	MFS MODERATE ALLOCATION A	0.00	4.31	0.00	4.31	90.0	3.88
02/08/2016	ROSENTHAL, JONATHAN	MFS MODERATE ALLOCATION A	0.00	6.81	0.00	6.81	90.0	6.13
03/14/2016	LADINO, ELIOHENY	MFS MODERATE ALLOCATION A	0.00	30.26	0.00	30.26	90.0	27.23
03/14/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	9.08	0.00	9.08	90.0	8.17
03/14/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	1.21	0.00	1.21	90.0	1.09
03/14/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	0.47	0.00	0.47	90.0	0.42
03/14/2016	ROSENTHAL, MARY ELLEN	MFS MODERATE ALLOCATION A	0.00	4.00	0.00	4.00	90.0	3.60
03/14/2016	ROSENTHAL, JONATHAN	MFS MODERATE ALLOCATION A	0.00	6.33	0.00	6.33	90.0	5.70
04/15/2016	LADINO, ELIOHENY	MFS MODERATE ALLOCATION A	0.00	33.76	0.00	33.76	90.0	30.38
04/15/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	10.13	0.00	10.13	90.0	9.12
04/15/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	1.35	0.00	1.35	90.0	1.22
04/15/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	0.52	0.00	0.52	90.0	0.47

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/15/2016	ROSENTHAL, MARY ELLEN	MFS MODERATE ALLOCATION A	0.00	4.47	0.00	4.47	90.0	4.02
04/15/2016	ROSENTHAL, JONATHAN	MFS MODERATE ALLOCATION A	0.00	7.06	0.00	7.06	90.0	6.35
05/17/2016	LADINO, ELIOHENY	MFS MODERATE ALLOCATION A	0.00	33.45	0.00	33.45	90.0	30.11
05/17/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	10.03	0.00	10.03	90.0	9.03
05/17/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	1.34	0.00	1.34	90.0	1.21
05/17/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	0.52	0.00	0.52	90.0	0.47
05/17/2016	ROSENTHAL, MARY ELLEN	MFS MODERATE ALLOCATION A	0.00	4.42	0.00	4.42	90.0	3.98
05/17/2016	ROSENTHAL, JONATHAN	MFS MODERATE ALLOCATION A	0.00	7.00	0.00	7.00	90.0	6.30
06/16/2016	LADINO, ELIOHENY	MFS MODERATE ALLOCATION A	0.00	34.72	0.00	34.72	90.0	31.25
06/16/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	10.41	0.00	10.41	90.0	9.37
06/16/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	1.39	0.00	1.39	90.0	1.25
06/16/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	0.54	0.00	0.54	90.0	0.49
06/16/2016	ROSENTHAL, MARY ELLEN	MFS MODERATE ALLOCATION A	0.00	4.59	0.00	4.59	90.0	4.13
06/16/2016	ROSENTHAL, JONATHAN	MFS MODERATE ALLOCATION A	0.00	7.26	0.00	7.26	90.0	6.53
07/20/2016	LADINO, ELIOHENY	MFS MODERATE ALLOCATION A	0.00	33.93	0.00	33.93	90.0	30.54
07/20/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	10.18	0.00	10.18	90.0	9.16
07/20/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	1.36	0.00	1.36	90.0	1.22
07/20/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	0.52	0.00	0.52	90.0	0.47
07/20/2016	ROSENTHAL, MARY ELLEN	MFS MODERATE ALLOCATION A	0.00	4.49	0.00	4.49	90.0	4.04
07/20/2016	ROSENTHAL, JONATHAN	MFS MODERATE ALLOCATION A	0.00	7.10	0.00	7.10	90.0	6.39
08/16/2016	LADINO, ELIOHENY	MFS MODERATE ALLOCATION A	0.00	35.78	0.00	35.78	90.0	32.20
08/16/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	10.73	0.00	10.73	90.0	9.66
08/16/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	1.44	0.00	1.44	90.0	1.30
08/16/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	0.55	0.00	0.55	90.0	0.50
08/16/2016	ROSENTHAL, MARY ELLEN	MFS MODERATE ALLOCATION A	0.00	4.73	0.00	4.73	90.0	4.26
08/16/2016	ROSENTHAL, JONATHAN	MFS MODERATE ALLOCATION A	0.00	7.48	0.00	7.48	90.0	6.73
09/15/2016	LADINO, ELIOHENY	MFS MODERATE ALLOCATION A	0.00	36.26	0.00	36.26	90.0	32.63
09/15/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	10.87	0.00	10.87	90.0	9.78
09/15/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	1.45	0.00	1.45	90.0	1.31
09/15/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	0.56	0.00	0.56	90.0	0.50
09/15/2016	ROSENTHAL, MARY ELLEN	MFS MODERATE ALLOCATION A	0.00	4.79	0.00	4.79	90.0	4.31
09/15/2016	ROSENTHAL, JONATHAN	MFS MODERATE ALLOCATION A	0.00	7.58	0.00	7.58	90.0	6.82
10/20/2016	LADINO, ELIOHENY	MFS MODERATE ALLOCATION A	0.00	34.96	0.00	34.96	90.0	31.46

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/20/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	10.49	0.00	10.49	90.0	9.44
10/20/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	1.40	0.00	1.40	90.0	1.26
10/20/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	0.54	0.00	0.54	90.0	0.49
10/20/2016	ROSENTHAL, MARY ELLEN	MFS MODERATE ALLOCATION A	0.00	4.62	0.00	4.62	90.0	4.16
10/20/2016	ROSENTHAL, JONATHAN	MFS MODERATE ALLOCATION A	0.00	7.31	0.00	7.31	90.0	6.58
11/14/2016	LADINO, ELIOHENY	MFS MODERATE ALLOCATION A	0.00	35.93	0.00	35.93	90.0	32.34
11/14/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	10.77	0.00	10.77	90.0	9.69
11/14/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	1.44	0.00	1.44	90.0	1.30
11/14/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	0.56	0.00	0.56	90.0	0.50
11/14/2016	ROSENTHAL, MARY ELLEN	MFS MODERATE ALLOCATION A	0.00	4.75	0.00	4.75	90.0	4.28
11/14/2016	ROSENTHAL, JONATHAN	MFS MODERATE ALLOCATION A	0.00	7.51	0.00	7.51	90.0	6.76
12/19/2016	LADINO, ELIOHENY	MFS MODERATE ALLOCATION A	0.00	34.34	0.00	34.34	90.0	30.91
12/19/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	10.30	0.00	10.30	90.0	9.27
12/19/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	1.38	0.00	1.38	90.0	1.24
12/19/2016	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	0.53	0.00	0.53	90.0	0.48
12/19/2016	ROSENTHAL, MARY ELLEN	MFS MODERATE ALLOCATION A	0.00	4.54	0.00	4.54	90.0	4.09
12/19/2016	ROSENTHAL, JONATHAN	MFS MODERATE ALLOCATION A	0.00	7.18	0.00	7.18	90.0	6.46
02/08/2016	LONARDO, LISA	MFS TOTAL RETURN A	0.00	4.08	0.00	4.08	90.0	3.67
03/14/2016	LONARDO, LISA	MFS TOTAL RETURN A	0.00	3.82	0.00	3.82	90.0	3.44
04/15/2016	LONARDO, LISA	MFS TOTAL RETURN A	0.00	4.26	0.00	4.26	90.0	3.83
05/17/2016	LONARDO, LISA	MFS TOTAL RETURN A	0.00	4.21	0.00	4.21	90.0	3.79
06/16/2016	LONARDO, LISA	MFS TOTAL RETURN A	0.00	4.37	0.00	4.37	90.0	3.93
07/20/2016	LONARDO, LISA	MFS TOTAL RETURN A	0.00	4.26	0.00	4.26	90.0	3.83
08/16/2016	LONARDO, LISA	MFS TOTAL RETURN A	0.00	4.50	0.00	4.50	90.0	4.05
09/15/2016	LONARDO, LISA	MFS TOTAL RETURN A	0.00	4.53	0.00	4.53	90.0	4.08
10/20/2016	LONARDO, LISA	MFS TOTAL RETURN A	0.00	4.37	0.00	4.37	90.0	3.93
11/14/2016	LONARDO, LISA	MFS TOTAL RETURN A	0.00	4.49	0.00	4.49	90.0	4.04
12/19/2016	LONARDO, LISA	MFS TOTAL RETURN A	0.00	4.36	0.00	4.36	90.0	3.92
02/08/2016	ROSENTHAL, MARY ELLEN	MFS VALUE A	0.00	1.94	0.00	1.94	90.0	1.75
02/08/2016	AGUIAR, JOHN	MFS VALUE A	0.00	5.84	0.00	5.84	90.0	5.26
02/08/2016	MARKS JR, PAUL	MFS VALUE A	0.00	4.28	0.00	4.28	90.0	3.85
03/14/2016	ROSENTHAL, MARY ELLEN	MFS VALUE A	0.00	1.82	0.00	1.82	90.0	1.64
03/14/2016	AGUIAR, JOHN	MFS VALUE A	0.00	5.48	0.00	5.48	90.0	4.93

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/14/2016	MARKS JR, PAUL	MFS VALUE A	0.00	4.02	0.00	4.02	90.0	3.62
04/15/2016	ROSENTHAL, MARY ELLEN	MFS VALUE A	0.00	2.06	0.00	2.06	90.0	1.85
04/15/2016	AGUIAR, JOHN	MFS VALUE A	0.00	6.21	0.00	6.21	90.0	5.59
04/15/2016	MARKS JR, PAUL	MFS VALUE A	0.00	4.55	0.00	4.55	90.0	4.10
05/17/2016	ROSENTHAL, MARY ELLEN	MFS VALUE A	0.00	2.05	0.00	2.05	90.0	1.85
05/17/2016	AGUIAR, JOHN	MFS VALUE A	0.00	6.19	0.00	6.19	90.0	5.57
05/17/2016	MARKS JR, PAUL	MFS VALUE A	0.00	2.54	0.00	2.54	90.0	2.29
06/16/2016	ROSENTHAL, MARY ELLEN	MFS VALUE A	0.00	2.14	0.00	2.14	90.0	1.93
06/16/2016	AGUIAR, JOHN	MFS VALUE A	0.00	6.47	0.00	6.47	90.0	5.82
07/20/2016	ROSENTHAL, MARY ELLEN	MFS VALUE A	0.00	2.09	0.00	2.09	90.0	1.88
07/20/2016	AGUIAR, JOHN	MFS VALUE A	0.00	6.30	0.00	6.30	90.0	5.67
08/16/2016	ROSENTHAL, MARY ELLEN	MFS VALUE A	0.00	2.22	0.00	2.22	90.0	2.00
08/16/2016	AGUIAR, JOHN	MFS VALUE A	0.00	6.71	0.00	6.71	90.0	6.04
09/15/2016	ROSENTHAL, MARY ELLEN	MFS VALUE A	0.00	2.25	0.00	2.25	90.0	2.03
09/15/2016	AGUIAR, JOHN	MFS VALUE A	0.00	6.80	0.00	6.80	90.0	6.12
10/20/2016	ROSENTHAL, MARY ELLEN	MFS VALUE A	0.00	2.16	0.00	2.16	90.0	1.94
10/20/2016	AGUIAR, JOHN	MFS VALUE A	0.00	6.50	0.00	6.50	90.0	5.85
11/14/2016	ROSENTHAL, MARY ELLEN	MFS VALUE A	0.00	2.20	0.00	2.20	90.0	1.98
11/14/2016	AGUIAR, JOHN	MFS VALUE A	0.00	6.64	0.00	6.64	90.0	5.98
12/19/2016	ROSENTHAL, MARY ELLEN	MFS VALUE A	0.00	2.18	0.00	2.18	90.0	1.96
12/19/2016	AGUIAR, JOHN	MFS VALUE A	0.00	6.57	0.00	6.57	90.0	5.91
02/22/2016	ARBITEL, ROCCO	OPPENHEIMER STEELPATH SELECT 40 C	0.00	189.28	0.00	189.28	90.0	170.35
02/22/2016	ALBERTI, ANTHONY	OPPENHEIMER STEELPATH SELECT 40 C	0.00	29.79	0.00	29.79	90.0	26.81
03/17/2016	ARBITEL, ROCCO	OPPENHEIMER STEELPATH SELECT 40 C	0.00	164.03	0.00	164.03	90.0	147.63
03/17/2016	ALBERTI, ANTHONY	OPPENHEIMER STEELPATH SELECT 40 C	0.00	26.04	0.00	26.04	90.0	23.44
04/21/2016	ARBITEL, ROCCO	OPPENHEIMER STEELPATH SELECT 40 C	0.00	197.12	0.00	197.12	90.0	177.41
04/21/2016	ALBERTI, ANTHONY	OPPENHEIMER STEELPATH SELECT 40 C	0.00	31.53	0.00	31.53	90.0	28.38
05/19/2016	ARBITEL, ROCCO	OPPENHEIMER STEELPATH SELECT 40 C	0.00	197.79	0.00	197.79	90.0	178.01
05/19/2016	ALBERTI, ANTHONY	OPPENHEIMER STEELPATH SELECT 40 C	0.00	31.84	0.00	31.84	90.0	28.66
06/30/2016	ARBITEL, ROCCO	OPPENHEIMER STEELPATH SELECT 40 C	0.00	214.97	0.00	214.97	90.0	193.47
06/30/2016	ALBERTI, ANTHONY	OPPENHEIMER STEELPATH SELECT 40 C	0.00	34.85	0.00	34.85	90.0	31.37
07/18/2016	ARBITEL, ROCCO	OPPENHEIMER STEELPATH SELECT 40 C	0.00	112.95	0.00	112.95	90.0	101.66
07/18/2016	ALBERTI, ANTHONY	OPPENHEIMER STEELPATH SELECT 40 C	0.00	34.43	0.00	34.43	90.0	30.99

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
08/24/2016	ALBERTI, ANTHONY	OPPENHEIMER STEELPATH SELECT 40 C	0.00	36.01	0.00	36.01	90.0	32.41
09/22/2016	ALBERTI, ANTHONY	OPPENHEIMER STEELPATH SELECT 40 C	0.00	36.39	0.00	36.39	90.0	32.75
10/21/2016	ALBERTI, ANTHONY	OPPENHEIMER STEELPATH SELECT 40 C	0.00	35.18	0.00	35.18	90.0	31.66
11/21/2016	ALBERTI, ANTHONY	OPPENHEIMER STEELPATH SELECT 40 C	0.00	36.49	0.00	36.49	90.0	32.84
12/19/2016	ALBERTI, ANTHONY	OPPENHEIMER STEELPATH SELECT 40 C	0.00	34.72	0.00	34.72	90.0	31.25
02/12/2016	HARRIS, ANNMARIE	WELLS FARGO ADVANTAGE ASSET ALLOCAT	0.00	2.60	0.00	2.60	90.0	2.34
03/16/2016	HARRIS, ANNMARIE	WELLS FARGO ADVANTAGE ASSET ALLOCAT	0.00	2.41	0.00	2.41	90.0	2.17
04/15/2016	HARRIS, ANNMARIE	WELLS FARGO ADVANTAGE ASSET ALLOCAT	0.00	0.86	0.00	0.86	90.0	0.77
02/12/2016	MARKS JR, PAUL	WELLS FARGO ADVTG C&B LARGE CAP VAL	0.00	1.30	0.00	1.30	90.0	1.17
03/16/2016	MARKS JR, PAUL	WELLS FARGO ADVTG C&B LARGE CAP VAL	0.00	1.21	0.00	1.21	90.0	1.09
04/15/2016	MARKS JR, PAUL	WELLS FARGO ADVTG C&B LARGE CAP VAL	0.00	1.39	0.00	1.39	90.0	1.25
05/16/2016	MARKS JR, PAUL	WELLS FARGO ADVTG C&B LARGE CAP VAL	0.00	1.20	0.00	1.20	90.0	1.08
02/12/2016	EATON, JOANNE	WELLS FARGO TOTAL RETURN BOND A	0.00	7.70	0.00	7.70	90.0	6.93
03/16/2016	EATON, JOANNE	WELLS FARGO TOTAL RETURN BOND A	0.00	7.27	0.00	7.27	90.0	6.54
04/15/2016	EATON, JOANNE	WELLS FARGO TOTAL RETURN BOND A	0.00	7.79	0.00	7.79	90.0	7.01
05/16/2016	EATON, JOANNE	WELLS FARGO TOTAL RETURN BOND A	0.00	7.62	0.00	7.62	90.0	6.86
06/14/2016	EATON, JOANNE	WELLS FARGO TOTAL RETURN BOND A	0.00	7.89	0.00	7.89	90.0	7.10
07/18/2016	EATON, JOANNE	WELLS FARGO TOTAL RETURN BOND A	0.00	3.59	0.00	3.59	90.0	3.23
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$4,289.18	\$0.00	\$4,289.18		\$3,860.74
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$4,289.18	\$0.00	\$4,289.18		\$3,860.74

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$3,860.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-315.95
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,544.79
Year-to-date Gross	\$65,229.24
Year-to-date Earnings	\$58,706.82