



All Companies
COMMISSION STATEMENT
May 01, 2018

04/27/2018
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CHRISTOPHER SNOW
6336 WARWICK HILLS DR

BROKER #: 4854
BRANCH: HOME OFFICE

FORT WORTH, TX 76132-

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/31/2018	TRAILS	-PIMCO FUNDS	B	61.38	61.38	0.00	61.38	70.0	42.97
03/31/2018	TRAILS	-VIRTUS FUNDS	B	5.65	5.65	0.00	5.65	70.0	3.96
03/31/2018	TRAILS	BLACK ROCK	B	3.19	3.19	0.00	3.19	70.0	2.23
03/31/2018	TRAILS	COLLEGEBOUND 529	B	28.69	28.69	0.00	28.69	70.0	20.08
04/20/2018	000001970770529 GARDNER, JR, JOHN HAROLD	TEMPLETON GROWTH FD CL 1	B	50.00	2.50	0.00	2.50	70.0	1.75
04/20/2018	00087127617 BRENNAN, JEAN S	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
04/16/2018	00089517055 MCKIMMEY, KENDALL W.	AMCAP FUND-529A	B	115.00	5.75	0.00	5.75	70.0	4.03
04/17/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS AMCAP FD	B	15.18	0.15	0.00	0.15	70.0	0.11
04/17/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS BALANCED FD	B	15.18	0.15	0.00	0.15	70.0	0.11
04/17/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS BD FD OF AMER FD	B	15.18	0.15	0.00	0.15	70.0	0.11
04/17/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS CAPITAL WORLD GROWT	B	15.14	0.15	0.00	0.15	70.0	0.11
04/17/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS EURO PACIFIC GROWT	B	15.18	0.15	0.00	0.15	70.0	0.11
04/17/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS GROWTH FUND OF AMERI	B	15.18	0.15	0.00	0.15	70.0	0.11
04/17/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INCOME FD OF AMERI	B	15.18	0.15	0.00	0.15	70.0	0.11
04/17/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INTERM BD FD OF AMER	B	7.59	0.08	0.00	0.08	70.0	0.06
04/17/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INVESTMENT COMP OF A	B	15.18	0.15	0.00	0.15	70.0	0.11
04/17/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS NEW PERSPECTIVE FD	B	15.18	0.15	0.00	0.15	70.0	0.11
04/17/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS WA MUTUAL	INVES B	7.59	0.08	0.00	0.08	70.0	0.06

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/17/2018	02000171317 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS BD FD OF AMER	FD B	30.00	0.30	0.00	0.30	70.0	0.21
04/17/2018	02000171317 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INCOME FD OF	AMERI B	30.00	0.30	0.00	0.30	70.0	0.21
04/17/2018	02000171317 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INTERM BD FD OF AMER	B	30.00	0.30	0.00	0.30	70.0	0.21
04/17/2018	02000171317 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS WA MUTUAL	INVES B	15.00	0.15	0.00	0.15	70.0	0.11
04/17/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS AMCAP FD	B	2.00	0.02	0.00	0.02	70.0	0.01
04/17/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS BD FD OF AMER	FD B	3.00	0.03	0.00	0.03	70.0	0.02
04/17/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS EURO PACIFIC	GROWT B	2.00	0.02	0.00	0.02	70.0	0.01
04/17/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS GROWTH FUND OF AMERI	B	2.00	0.02	0.00	0.02	70.0	0.01
04/17/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INTERM BD FD OF AMER	B	3.00	0.03	0.00	0.03	70.0	0.02
04/17/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INVESTMENT COMP OF A	B	3.00	0.03	0.00	0.03	70.0	0.02
04/17/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS WA MUTUAL	INVES B	3.00	0.03	0.00	0.03	70.0	0.02
04/16/2018	04000205238 MCKIMMEY, KENDALL W.	CAPITAL INCOME BUILDER-529A	B	115.00	5.75	0.00	5.75	70.0	4.03
* MUTUAL FUNDS SUBTOTAL *				\$703.67	\$118.15	\$0.00	\$118.15		\$82.76
<u>PRODUCT CATEGORY: STOCKS</u>									
04/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	754.24	754.24	0.00	754.24	70.0	527.97
* STOCKS SUBTOTAL *				\$754.24	\$754.24	\$0.00	\$754.24		\$527.97
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/06/2018	TRAILS	-JOHN HANCOCK	B	336.13	336.13	0.00	336.13	70.0	235.29
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	42.55	42.55	0.00	42.55	70.0	29.79
03/19/2018	COMP USA	METROPOLITAN LIFE AND AFFILIATED CO	B	5,180.84	518.09	0.00	518.09	70.0	362.66
04/04/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	372.00	372.00	0.00	372.00	70.0	260.40
04/19/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	255.07	255.07	0.00	255.07	70.0	178.55
* VARIABLE ANNUITIES SUBTOTAL *				\$6,186.59	\$1,523.84	\$0.00	\$1,523.84		\$1,066.69

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	10790419 AL-JAZRAWI, SAMIR & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	3,445.00	0.00	3,445.00	70.0	2,411.50
04/17/2018	11124600 GOODMAN, PAUL R	SIGNAL SECURITIES RIA	B	0.00	281.00	0.00	281.00	70.0	196.70
04/17/2018	12390799 SINGLETON, MARION B & STEV	SIGNAL SECURITIES RIA	B	0.00	39.33	0.00	39.33	70.0	27.53
04/17/2018	18300233 MOORE, RUSSELL ALLAN	SIGNAL SECURITIES RIA	B	0.00	132.67	0.00	132.67	70.0	92.87
04/17/2018	18987768 TREADWELL, TONEY ELLEN	SIGNAL SECURITIES RIA	B	0.00	30.67	0.00	30.67	70.0	21.47
04/17/2018	22527639 ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES RIA	B	0.00	9.67	0.00	9.67	70.0	6.77
04/13/2018	22730240 AL-JAZRAWI, SAMIR & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	427.00	0.00	427.00	70.0	298.90
04/17/2018	27525617 NYUL, PAUL RYAN	SIGNAL SECURITIES RIA	B	0.00	344.33	0.00	344.33	70.0	241.03
04/17/2018	27562425 MCKINNEY, HAROLD LOUIS	SIGNAL SECURITIES RIA	B	0.00	14.67	0.00	14.67	70.0	10.27
04/17/2018	28409072 RITTBY, MAGNUS LENNART	SIGNAL SECURITIES RIA	B	0.00	691.00	0.00	691.00	70.0	483.70
04/17/2018	28442209 GUTH, ERIC C.	SIGNAL SECURITIES RIA	B	0.00	489.00	0.00	489.00	70.0	342.30
04/17/2018	29294822 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	23.33	0.00	23.33	70.0	16.33
04/17/2018	30917351 SCHEIDEMAN, SUMMER SHARIE	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	70.0	1.40
04/17/2018	32062105 TRIMARCO, JOHN R.	SIGNAL SECURITIES RIA	B	0.00	212.67	0.00	212.67	70.0	148.87
04/17/2018	37406476 WALES, CHRIS & ANDREA	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	70.0	13.77
04/17/2018	39194204 THORSON, CRAIG ADAM	SIGNAL SECURITIES RIA	B	0.00	420.33	0.00	420.33	70.0	294.23
04/17/2018	39923272 MOORE, RUSSELL ALLAN	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	70.0	5.13
04/25/2018	401K SRNKA, DAVID	SIGNAL SECURITIES RIA	B	0.00	90.33	0.00	90.33	70.0	63.23
04/17/2018	40772483 MCKINNEY, JUDITH ANN	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	70.0	6.07
04/17/2018	41231228 HAGAN, SHERYL	SIGNAL SECURITIES RIA	B	0.00	1,299.67	0.00	1,299.67	70.0	909.77
04/17/2018	44998184 FENLEY, REBECCA K	SIGNAL SECURITIES RIA	B	0.00	217.33	0.00	217.33	70.0	152.13
04/17/2018	45505681 D'ONOFRIO, JUN & BROOKE	SIGNAL SECURITIES RIA	B	0.00	164.33	0.00	164.33	70.0	115.03
04/17/2018	45603849 HOWARD REV. TRUST, CAROLYN COX	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	70.0	9.10
04/17/2018	50429988 SINGLETON, MARION B & STEV	SIGNAL SECURITIES RIA	B	0.00	1,875.33	0.00	1,875.33	70.0	1,312.73
04/17/2018	50676897 MERLO, CHRIS J.	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	70.0	1.40
04/17/2018	50869265 MERLO, CHRIS J.	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	70.0	3.97
04/17/2018	52520997 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	70.0	7.23
04/17/2018	52610132 SIMONE, GARY & PENELOPE	SIGNAL SECURITIES RIA	B	0.00	128.00	0.00	128.00	70.0	89.60

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/17/2018	56345160 MCKIMMEY, DREW & KENDALL	SIGNAL SECURITIES RIA	B	0.00	12.67	0.00	12.67	70.0	8.87
04/17/2018	57264459 KELLERMAN, MICHAEL E	SIGNAL SECURITIES RIA	B	0.00	11.67	0.00	11.67	70.0	8.17
04/17/2018	60684203 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33	70.0	2.33
04/17/2018	61324514 MORGAN, HELGA I	SIGNAL SECURITIES RIA	B	0.00	36.00	0.00	36.00	70.0	25.20
04/17/2018	62479593 MCKIMMEY, DREW & KENDALL	SIGNAL SECURITIES RIA	B	0.00	17.67	0.00	17.67	70.0	12.37
04/17/2018	63085125 SIMONE, PENELOPE ANN OW	SIGNAL SECURITIES RIA	B	0.00	295.00	0.00	295.00	70.0	206.50
04/13/2018	70855134 AL-JAZRAWI, SAMIR & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	409.00	0.00	409.00	70.0	286.30
04/17/2018	71324279 TREADWELL, TONEY ELLEN	SIGNAL SECURITIES RIA	B	0.00	562.00	0.00	562.00	70.0	393.40
04/17/2018	72500561 GUTH, ERIC C.	SIGNAL SECURITIES RIA	B	0.00	0.33	0.00	0.33	70.0	0.23
04/17/2018	73189180 WIMBERLY, MELISSA BROWNING	SIGNAL SECURITIES RIA	B	0.00	87.33	0.00	87.33	70.0	61.13
04/17/2018	74389400 ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES RIA	B	0.00	9.33	0.00	9.33	70.0	6.53
04/17/2018	74395342 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	9.33	0.00	9.33	70.0	6.53
04/17/2018	76521383 HENDERSON, CLIFFORD & KATH	SIGNAL SECURITIES RIA	B	0.00	112.67	0.00	112.67	70.0	78.87
04/17/2018	77103397 UHR, MARGARET K	SIGNAL SECURITIES RIA	B	0.00	128.33	0.00	128.33	70.0	89.83
04/17/2018	77901571 MCKIMMEY, DREW & KENDALL	SIGNAL SECURITIES RIA	B	0.00	48.67	0.00	48.67	70.0	34.07
04/17/2018	78113997 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	61.67	0.00	61.67	70.0	43.17
04/17/2018	78797347 WALES, CHRIS & ANDREA	SIGNAL SECURITIES RIA	B	0.00	70.67	0.00	70.67	70.0	49.47
04/17/2018	78947702 SIMONE, GARY & PENELOPE	SIGNAL SECURITIES RIA	B	0.00	253.67	0.00	253.67	70.0	177.57
04/17/2018	80337453 TREADWELL, TONEY ELLEN	SIGNAL SECURITIES RIA	B	0.00	108.33	0.00	108.33	70.0	75.83
04/17/2018	82350222 ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES RIA	B	0.00	239.67	0.00	239.67	70.0	167.77
04/17/2018	82374695 KELLERMAN, MICHAEL E	SIGNAL SECURITIES RIA	B	0.00	181.67	0.00	181.67	70.0	127.17
04/17/2018	83025761 SIMONE, PENELOPE ANN OW	SIGNAL SECURITIES RIA	B	0.00	131.67	0.00	131.67	70.0	92.17
04/17/2018	83130926 ZEIGLER, KATHY JO	SIGNAL SECURITIES RIA	B	0.00	75.33	0.00	75.33	70.0	52.73
04/17/2018	87845699 KELLERMAN, NANCY/MICHAEL	SIGNAL SECURITIES RIA	B	0.00	617.00	0.00	617.00	70.0	431.90
04/17/2018	92989374 SCHEIDEMAN, JOHN PARKER	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	70.0	0.47

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/17/2018 93302124	SCHEIDEMAN, JOHN PARKER	SIGNAL SECURITIES RIA	B	0.00	62.33	0.00	62.33	70.0	43.63
04/17/2018 95235681	STARE INVESTMENTS LP	SIGNAL SECURITIES RIA	B	0.00	480.67	0.00	480.67	70.0	336.47
04/17/2018 97573277	GARZA, RICARDO C	SIGNAL SECURITIES RIA	B	0.00	436.67	0.00	436.67	70.0	305.67
04/17/2018 98761332	LOPEZ, VICTOR	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	70.0	6.30
04/17/2018 99462551	ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	219.33	0.00	219.33	70.0	153.53
* RIA SUBTOTAL *				\$0.00	\$15,096.01	\$0.00	\$15,096.01		\$10,567.21
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/01/2018 TRAIL COMMISSIONS		FIRST EAGLE FEES		0.00	42.78	0.00	42.78	70.0	29.94
05/01/2018 TRAIL COMMISSIONS		ALLIANZ GLOBAL INVESTORS FEES		0.00	3.10	0.00	3.10	70.0	2.17
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$45.88	\$0.00	\$45.88		\$32.11
*** BROKER TRANSACTIONS TOTAL ***				\$7,644.50	\$17,538.12	\$0.00	\$17,538.12		\$12,276.74
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SNOW/MOYNIHAN #9543</u>									
04/13/2018 47895792	HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	252.40	0.00	252.40	70.0	176.68
04/13/2018 75756775	HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	157.00	0.00	157.00	70.0	109.90
04/13/2018 83385351	COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	22.00	0.00	22.00	70.0	15.40
04/13/2018 91616746	COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	10.20	0.00	10.20	70.0	7.14
* SNOW/MOYNIHAN SUBTOTAL *				\$0.00	\$441.60	\$0.00	\$441.60		\$309.12
<u>BROKER: SINGLETON/SNOW #9575</u>									
04/13/2018 57689062	SINGLETON, STEVEN B	SIGNAL SECURITIES RIA	B	0.00	114.17	0.00	114.17	70.0	79.92
04/13/2018 97231190	CHRISTOFORETTI, II, ERIN/JOHN	SIGNAL SECURITIES RIA	B	0.00	165.67	0.00	165.67	70.0	115.97
* SINGLETON/SNOW SUBTOTAL *				\$0.00	\$279.84	\$0.00	\$279.84		\$195.89
<u>BROKER: PALMERSHEIM/SNOW #9613</u>									
04/13/2018 15447982	PEARSON, DIANNE H	SIGNAL SECURITIES RIA	B	0.00	110.80	0.00	110.80	70.0	77.56
04/13/2018 16695746	QUANBECK, REA DENISE	SIGNAL SECURITIES RIA	B	0.00	28.80	0.00	28.80	70.0	20.16
04/13/2018 18091956	BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	18.40	0.00	18.40	70.0	12.88
04/13/2018 20770029	BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	185.20	0.00	185.20	70.0	129.64
04/13/2018 27933838	RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	19.20	0.00	19.20	70.0	13.44
04/13/2018 29192217	JOHNSON, TONYA	SIGNAL SECURITIES RIA	B	0.00	57.07	0.00	57.07	70.0	39.95

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<u>SPLIT TRANSACTIONS</u>									
BROKER: PALMERSHEIM/SNOW #9613									
WAYNETTE									
04/13/2018	29329286 QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	B	0.00	236.93	0.00	236.93	70.0	165.85
04/13/2018	31207916 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	74.80	0.00	74.80	70.0	52.36
04/13/2018	31528580 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	215.87	0.00	215.87	70.0	151.11
04/13/2018	33117987 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	12.93	0.00	12.93	70.0	9.05
04/13/2018	35080832 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	21.87	0.00	21.87	70.0	15.31
04/13/2018	35770153 RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	4.93	0.00	4.93	70.0	3.45
04/13/2018	39222483 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	103.87	0.00	103.87	70.0	72.71
04/13/2018	39816703 WARREN, GLORIA CLARK	SIGNAL SECURITIES RIA	B	0.00	72.13	0.00	72.13	70.0	50.49
04/19/2018	401K OPTIMIZER PUKIS, BARBARA ANN	SIGNAL SECURITIES RIA	B	0.00	404.80	0.00	404.80	70.0	283.36
04/19/2018	401K OPTIMIZER PUKIS, BARBARA ANN	SIGNAL SECURITIES RIA	B	0.00	96.00	0.00	96.00	70.0	67.20
04/13/2018	41540562 LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	149.73	0.00	149.73	70.0	104.81
04/13/2018	42458316 WARREN, WILLIAM& GLORIA	SIGNAL SECURITIES RIA	B	0.00	42.13	0.00	42.13	70.0	29.49
04/13/2018	49635286 BERNARD, DONNA GAY PANCA	SIGNAL SECURITIES RIA	B	0.00	17.20	0.00	17.20	70.0	12.04
04/13/2018	56512595 BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	6.93	0.00	6.93	70.0	4.85
04/13/2018	58196619 STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	0.93	0.00	0.93	70.0	0.65
04/13/2018	59968411 KINUNEN, LEZLIE ANNE	SIGNAL SECURITIES RIA	B	0.00	89.07	0.00	89.07	70.0	62.35
04/13/2018	62652031 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	103.73	0.00	103.73	70.0	72.61
04/13/2018	64024640 QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	B	0.00	25.73	0.00	25.73	70.0	18.01
04/13/2018	64679619 WARREN, WILLIAM& GLORIA	SIGNAL SECURITIES RIA	B	0.00	76.53	0.00	76.53	70.0	53.57
04/13/2018	67104851 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	122.00	0.00	122.00	70.0	85.40
04/13/2018	68428952 HORN, JEFFREY	SIGNAL SECURITIES RIA	B	0.00	233.73	0.00	233.73	70.0	163.61
04/13/2018	68892175 LAWRENCE, JERROLD B	SIGNAL SECURITIES RIA	B	0.00	177.20	0.00	177.20	70.0	124.04
04/13/2018	70196330 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	31.73	0.00	31.73	70.0	22.21
04/13/2018	72540730 BLALOCK III, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	156.13	0.00	156.13	70.0	109.29
04/13/2018	73959390 VOIRIN FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	16.67	0.00	16.67	70.0	11.67
04/13/2018	74609933 REESE, PEGGY M	SIGNAL SECURITIES RIA	B	0.00	8.80	0.00	8.80	70.0	6.16
04/13/2018	74970598 MCKEEL, GWEN F	SIGNAL SECURITIES RIA	B	0.00	17.60	0.00	17.60	70.0	12.32
04/13/2018	75970772 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	20.67	0.00	20.67	70.0	14.47
04/13/2018	81184800 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	191.20	0.00	191.20	70.0	133.84

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: PALMERSHEIM/SNOW #9613</u>									
04/13/2018	81184802 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	31.07	0.00	31.07	70.0	21.75
04/13/2018	81944763 RENDER, SYLVIA/RICHARD	SIGNAL SECURITIES RIA	B	0.00	149.60	0.00	149.60	70.0	104.72
04/13/2018	85616643 STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	19.60	0.00	19.60	70.0	13.72
04/13/2018	87845699 KELLERMAN, NANCY/MICHAEL	SIGNAL SECURITIES RIA	B	0.00	298.00	0.00	298.00	70.0	208.60
04/13/2018	87940275 HART, CHARLOTT/ROBERT	SIGNAL SECURITIES RIA	B	0.00	75.87	0.00	75.87	70.0	53.11
04/13/2018	91118996 BRENNAN, JEAN S	SIGNAL SECURITIES RIA	B	0.00	7.47	0.00	7.47	70.0	5.23
04/13/2018	96915588 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	70.0	6.07
04/13/2018	97397744 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	72.67	0.00	72.67	70.0	50.87
04/26/2018	FIDELITY 401K KERN, NINA M	SIGNAL SECURITIES RIA	B	0.00	118.13	0.00	118.13	70.0	82.69
* PALMERSHEIM/SNOW SUBTOTAL *				\$0.00	\$3,932.39	\$0.00	\$3,932.39		\$2,752.67
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
04/13/2018	11999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	245.28	0.00	245.28	70.0	171.70
04/13/2018	11999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	249.36	0.00	249.36	70.0	174.55
04/13/2018	13081653 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	16.56	0.00	16.56	70.0	11.59
04/13/2018	17017159 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	1.12	0.00	1.12	70.0	0.78
04/13/2018	24551097 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	341.52	0.00	341.52	70.0	239.06
04/13/2018	24551097 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	64.56	0.00	64.56	70.0	45.19
04/13/2018	27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	462.48	0.00	462.48	70.0	323.74
04/13/2018	27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	72.40	0.00	72.40	70.0	50.68
04/13/2018	29396124 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	16.08	0.00	16.08	70.0	11.26
04/13/2018	32611628 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	18.24	0.00	18.24	70.0	12.77
04/13/2018	34872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	352.80	0.00	352.80	70.0	246.96
04/13/2018	34872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	208.64	0.00	208.64	70.0	146.05
04/13/2018	37351723 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	208.16	0.00	208.16	70.0	145.71
04/13/2018	39099528 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	23.60	0.00	23.60	70.0	16.52
04/19/2018	401K OPTIMIZER BROWN, THEODORE A	SIGNAL SECURITIES RIA	B	0.00	160.80	0.00	160.80	70.0	112.56
04/13/2018	52065317 EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	B	0.00	291.60	0.00	291.60	70.0	204.12
04/13/2018	52065317 EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	B	0.00	26.48	0.00	26.48	70.0	18.54
04/13/2018	58050029 RAINES, JOEY	SIGNAL SECURITIES RIA	B	0.00	13.84	0.00	13.84	70.0	9.69

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
SHANKLAND									
04/13/2018	68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	264.00	0.00	264.00	70.0	184.80
04/13/2018	68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	120.48	0.00	120.48	70.0	84.34
04/13/2018	84865050 SHANKLAND, SCOTT	SIGNAL SECURITIES RIA	B	0.00	235.44	0.00	235.44	70.0	164.81
GARBER									
04/13/2018	84865050 SHANKLAND, SCOTT	SIGNAL SECURITIES RIA	B	0.00	56.08	0.00	56.08	70.0	39.26
GARBER									
04/13/2018	86608828 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	16.72	0.00	16.72	70.0	11.70
04/13/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	301.44	0.00	301.44	70.0	211.01
04/13/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	0.80	0.00	0.80	70.0	0.56
04/13/2018	90329067 RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	94.24	0.00	94.24	70.0	65.97
04/13/2018	94409837 RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	5.84	0.00	5.84	70.0	4.09
04/13/2018	98008502 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	10.32	0.00	10.32	70.0	7.22
04/13/2018	98257329 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	218.16	0.00	218.16	70.0	152.71
04/13/2018	98569607 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	15.04	0.00	15.04	70.0	10.53
* ERIC CHARLES GUTH SUBTOTAL *				<u>\$0.00</u>	<u>\$4,112.08</u>	<u>\$0.00</u>	<u>\$4,112.08</u>		<u>\$2,878.47</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$8,765.91</u>	<u>\$0.00</u>	<u>\$8,765.91</u>		<u>\$6,136.15</u>

VERRIDE TRANSACTIONS**BROKER: KELLERMAN PALMERSHEIM/ #9534**

04/19/2018	BUSH, ABE A. (JR.)	SIGNAL SECURITIES RIA	B	0.00	188.33	0.00	188.33		47.08
04/23/2018	COLE, JAMES JEFFRY	SIGNAL SECURITIES RIA	B	0.00	158.00	0.00	158.00		39.50
04/01/2018	00060514701 WARREN, GLORIA	MFS MA INVS GROWTH STOCK FD CL A	B	0.00	17.24	0.00	17.24		4.31
04/01/2018	00060514702 WARREN, WILLIAM	MFS MA INVS GROWTH STOCK FD CL A	B	0.00	13.99	0.00	13.99		3.50
04/01/2018	00060514967 WARREN, GLORIA	MFS TOTAL RETURN FD CL A	B	0.00	14.32	0.00	14.32		3.58
04/01/2018	00060514968 WARREN, WILLIAM	MFS TOTAL RETURN FD CL A	B	0.00	14.58	0.00	14.58		3.65
04/01/2018	00060515772 WARREN, WILLIAM	MFS OTC FD CL A	B	0.00	1.88	0.00	1.88		0.47
04/01/2018	00060515773 WARREN, GLORIA	MFS OTC FD CL A	B	0.00	1.82	0.00	1.82		0.46
04/01/2018	00060516665 WARREN, WILLIAM	MFS EQUITY INCOME FD CL A	B	0.00	16.10	0.00	16.10		4.03
04/01/2018	00060596857 WARREN, WILLIAM	MFS OTC FD CL A	B	0.00	7.20	0.00	7.20		1.80
04/01/2018	08191224871 WARREN, GLORIA	MFS LTD MTY FD CL A	B	0.00	3.52	0.00	3.52		0.88
04/01/2018	08191224872 WARREN, GLORIA	MFS EMERGING MARKETS DEBT FUND-A	B	0.00	3.60	0.00	3.60		0.90
04/01/2018	08191224874 WARREN, GLORIA	MFS HIGH YIELD OPPORTUNITIES FUND-A	B	0.00	3.78	0.00	3.78		0.95
04/01/2018	08191224876 WARREN, WILLIAM	MFS LTD MTY FD CL A	B	0.00	4.70	0.00	4.70		1.18
04/01/2018	08191224878 WARREN, WILLIAM	MFS EMERGING MARKETS DEBT FUND-A	B	0.00	4.81	0.00	4.81		1.20

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: KELLERMAN PALMERSHEIM/ #9534</u>									
04/01/2018	08191224879	WARREN, WILLIAM	MFS HIGH YIELD OPPORTUNITIES FUND-A	B	0.00	5.05	0.00	5.05	1.26
04/13/2018	10556019	GILLILAND, JULIE	SIGNAL SECURITIES RIA	B	0.00	71.33	0.00	71.33	17.83
		DEANN							
04/13/2018	10882572	BRUCE, JUDITH IRENE	SIGNAL SECURITIES RIA	B	0.00	221.00	0.00	221.00	55.25
04/13/2018	20872135	SLAUGHTER, JUSTINE	SIGNAL SECURITIES RIA	B	0.00	146.00	0.00	146.00	36.50
		MARIE							
04/13/2018	22163519	ABBOTT, JAMES ADDISON	SIGNAL SECURITIES RIA	B	0.00	34.67	0.00	34.67	8.67
04/13/2018	30561785	THOMAS, LARRY D	SIGNAL SECURITIES RIA	B	0.00	211.33	0.00	211.33	52.83
04/13/2018	31528580	STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	4,746.00	0.00	4,746.00	1,186.50
04/13/2018	31671856	THOMAS, POLLY S.	SIGNAL SECURITIES RIA	B	0.00	30.67	0.00	30.67	7.67
04/13/2018	41540562	LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	21.33	0.00	21.33	5.33
04/13/2018	51260395	SUTHERLAND, MIKE DEAN	SIGNAL SECURITIES RIA	B	0.00	690.33	0.00	690.33	172.58
04/13/2018	51489395	FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	338.67	0.00	338.67	84.67
04/13/2018	52007712	CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	6.67	0.00	6.67	1.67
04/13/2018	71014745	ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	39.67	0.00	39.67	9.92
04/13/2018	79512456	BRUCE, GRANVILLE	SIGNAL SECURITIES RIA	B	0.00	136.67	0.00	136.67	34.17
		WAYNE							
04/13/2018	82007581	KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	369.00	0.00	369.00	92.25
04/13/2018	89721188	HARRIS, SHARON K	SIGNAL SECURITIES RIA	B	0.00	1.33	0.00	1.33	0.33
04/13/2018	90768067	ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	266.00	0.00	266.00	66.50
04/13/2018	91789542	FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	75.00	0.00	75.00	18.75
04/13/2018	91886872	ABBOTT, NANCYE/JAMES	SIGNAL SECURITIES RIA	B	0.00	669.67	0.00	669.67	167.42
04/13/2018	95761721	KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	2.50
04/13/2018	99442454	CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	42.33	0.00	42.33	10.58
		* KELLERMAN PALMERSHEIM/ SUBTOTAL *			\$0.00	\$8,586.59	\$0.00	\$8,586.59	\$2,146.67
<u>BROKER: THOMPSON/SIGNAL #9622</u>									
04/13/2018	35296442	DAUM (SEPARATE PROP),	SIGNAL SECURITIES RIA	B	0.00	5,145.67	0.00	5,145.67	283.01
		JERRY F							
		* THOMPSON/SIGNAL SUBTOTAL *			\$0.00	\$5,145.67	\$0.00	\$5,145.67	\$283.01
		*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$13,732.26	\$0.00	\$13,732.26	\$2,429.68

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

05/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-26.50
05/01/2018		HILLTOP: MISC TICKET FEES		0.00	0.00	0.00	0.00		45.00
05/01/2018		MISC: 10% OVERRIDE 9533		0.00	0.00	0.00	0.00		871.20
05/01/2018		MISC: RENT - WESTWOOD CENTER		0.00	0.00	0.00	0.00		-800.00
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$89.70

BROKER COMMISSION TOTALS

Broker Transactions	\$12,276.74
Split Transactions	\$6,136.15
Override Transactions	\$2,429.68
Adjustments	\$89.70
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$20,932.27
Year-to-date Earnings	\$100,249.24