

**COMMISSION STATEMENT****March 31, 2018**DAVID, K123 KERN
4321 Flagstaff CoveBROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
02/27/2018	PICCIANO, DEAN	PACIFIC FINANCIAL	0.25	0.00	0.00	0.00	90.0	0.00
02/27/2018	PICCIANO, DEAN	PACIFIC FINANCIAL	129,004.48	82.17	0.00	82.17	90.0	73.95
02/27/2018	PICCIANO, DEAN	PACIFIC FINANCIAL	304.12	0.23	0.00	0.23	90.0	0.21
03/21/2018	PICCIANO, DEAN	PACIFIC FINANCIAL	126,478.66	72.77	0.00	72.77	90.0	65.49
* RIA SUBTOTAL *			\$255,787.51	\$155.17	\$0.00	\$155.17		\$139.65
*** DIRECT TRANSACTIONS TOTAL ***			\$255,787.51	\$155.17	\$0.00	\$155.17		\$139.65

BROKER COMMISSION TOTALS

Broker Transactions	\$139.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$139.65
Year-to-date Gross	\$1,924.29
Year-to-date Earnings	\$1,731.86

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed