



# COMMISSION STATEMENT

## May 07, 2018

ALFREDO BALLESTEROS  
21830 Walnut Street  
Wildmar, CA 92595

BROKER #: B10  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/03/2018	***-**-8130 - COOK, MARY	PACIFIC LIFE CUSIP 694475625	250.00	13.75	0.00	13.75	80.0	11.00
	ACCOUNT #: VR09005396	BUY 0 Units @0.0000						
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$250.00</b>	<b>\$13.75</b>	<b>\$0.00</b>	<b>\$13.75</b>		<b>\$11.00</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>								
05/08/2018	***-**-16FB - DOWNS-MINETREE,	LIFEMARK INVESTMENT ADVISORY FEE	103.16	103.16	0.00	103.16	80.0	82.53
	ACCOUNT #: 925310184	BUY 0 Units @0.0000						
05/08/2018	***-**-55FB - LAROCK, ANDREA	LIFEMARK INVESTMENT ADVISORY FEE	33.99	33.99	0.00	33.99	80.0	27.19
	ACCOUNT #: 925394309	BUY 0 Units @0.0000						
05/08/2018	***-**-55FB - LAROCK, ANDREA	LIFEMARK INVESTMENT ADVISORY FEE	55.05	55.05	0.00	55.05	80.0	44.04
	ACCOUNT #: 925396270	BUY 0 Units @0.0000						
05/08/2018	***-**-43FB - GOLLINGER, DAVID	LIFEMARK INVESTMENT ADVISORY FEE	179.41	179.41	0.00	179.41	80.0	143.53
	ACCOUNT #: 925429570	BUY 0 Units @0.0000						
05/08/2018	***-**-90FB - DUARTE, BERTHA	LIFEMARK INVESTMENT ADVISORY FEE	33.48	33.48	0.00	33.48	80.0	26.78
	ACCOUNT #: 925332301	BUY 0 Units @0.0000						
05/08/2018	***-**-20FB - TOWNSEND,	LIFEMARK INVESTMENT ADVISORY FEE	38.48	38.48	0.00	38.48	80.0	30.78
	ACCOUNT #: 925348773	BUY 0 Units @0.0000						
05/08/2018	***-**-20FB - TOWNSEND,	LIFEMARK INVESTMENT ADVISORY FEE	21.43	21.43	0.00	21.43	80.0	17.14
	ACCOUNT #: 925348380	BUY 0 Units @0.0000						
05/08/2018	***-**-11FB - FRIEDLANDER,	LIFEMARK INVESTMENT ADVISORY FEE	117.89	117.89	0.00	117.89	80.0	94.31
	ACCOUNT #: 925348711	BUY 0 Units @0.0000						
05/08/2018	***-**-11FB - FRIEDLANDER,	LIFEMARK INVESTMENT ADVISORY FEE	19.65	19.65	0.00	19.65	80.0	15.72
	ACCOUNT #: 925348770	BUY 0 Units @0.0000						
05/08/2018	***-**-99FB - GARCIA, JENNY	LIFEMARK INVESTMENT ADVISORY FEE	133.68	133.68	0.00	133.68	80.0	106.94
	ACCOUNT #: 925348382	BUY 0 Units @0.0000						
05/08/2018	***-**-87FB - GARCIA, ONORIO	LIFEMARK INVESTMENT ADVISORY FEE	45.66	45.66	0.00	45.66	80.0	36.53
	ACCOUNT #: 925348386	BUY 0 Units @0.0000						
05/08/2018	***-**-38FB - WUSTHOF, PAMELA	LIFEMARK INVESTMENT ADVISORY FEE	13.02	13.02	0.00	13.02	80.0	10.42
	ACCOUNT #: 925353393	BUY 0 Units @0.0000						
05/08/2018	***-**-38FB - WUSTHOF, PAMELA	LIFEMARK INVESTMENT ADVISORY FEE	21.47	21.47	0.00	21.47	80.0	17.18
	ACCOUNT #: 925353396	BUY 0 Units @0.0000						
05/08/2018	***-**-38FB - WUSTHOF, PAMELA	LIFEMARK INVESTMENT ADVISORY FEE	23.49	23.49	0.00	23.49	80.0	18.79
	ACCOUNT #: 925353402	BUY 0 Units @0.0000						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: RIA (continued)</u></b>								
05/08/2018	***-**-48FB - WAY, JANET ACCOUNT #: 925367463	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.18	23.18	0.00	23.18	80.0	18.54
05/08/2018	***-**-98FB - RUFFING, JODIE ACCOUNT #: 925379613	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	64.58	64.58	0.00	64.58	80.0	51.66
05/08/2018	***-**-98FB - RUFFING, JODIE ACCOUNT #: 925378876	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	14.53	14.53	0.00	14.53	80.0	11.62
05/08/2018	***-**-54FB - COOK, DENNIS ACCOUNT #: 925402359	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	15.05	15.05	0.00	15.05	80.0	12.04
05/08/2018	***-**-54FB - COOK, DENNIS ACCOUNT #: 925402364	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	8.85	8.85	0.00	8.85	80.0	7.08
05/08/2018	***-**-97FB - GASTON-PIERCE, ACCOUNT #: 925305856	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	24.75	24.75	0.00	24.75	80.0	19.80
05/08/2018	***-**-61FB - LONGSWORTH, CITA ACCOUNT #: 925319593	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	30.86	30.86	0.00	30.86	80.0	24.69
05/08/2018	***-**-46FB - HAYWOOD, CARLA ACCOUNT #: 925320981	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	353.82	353.82	0.00	353.82	80.0	283.06
05/08/2018	***-**-46FB - HAYWOOD, CARLA ACCOUNT #: 925320095	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	18.30	18.30	0.00	18.30	80.0	14.64
05/08/2018	***-**-46FB - HAYWOOD, CARLA ACCOUNT #: 925320080	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	42.30	42.30	0.00	42.30	80.0	33.84
05/08/2018	***-**-85FB - HAYWOOD, JOHN ACCOUNT #: 925320991	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	177.31	177.31	0.00	177.31	80.0	141.85
05/08/2018	***-**-33FB - CLAY, MARIA ACCOUNT #: 925323203	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	81.65	81.65	0.00	81.65	80.0	65.32
05/08/2018	***-**-21FB - VALLE, NORMA ACCOUNT #: 925331134	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.14	23.14	0.00	23.14	80.0	18.51
05/08/2018	***-**-21FB - VALLE, NORMA ACCOUNT #: 925331129	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	8.31	8.31	0.00	8.31	80.0	6.65
05/08/2018	***-**-48FB - WONG, WALTER ACCOUNT #: 925406630	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	53.87	53.87	0.00	53.87	80.0	43.10
05/08/2018	***-**-48FB - WONG, WALTER ACCOUNT #: 925406639	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	38.84	38.84	0.00	38.84	80.0	31.07



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: RIA (continued)</b>								
05/08/2018	***-**-52FB - NGUYEN, VICKIE ACCOUNT #: 925329024	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	96.23	96.23	0.00	96.23	80.0	76.98
05/08/2018	***-**-52FB - NGUYEN, VICKIE ACCOUNT #: 925344068	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	6.12	6.12	0.00	6.12	80.0	4.90
05/08/2018	***-**-61FB - VALLE, EDUARDO ACCOUNT #: 925332341	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	4.73	4.73	0.00	4.73	80.0	3.78
05/08/2018	***-**-90FB - MAI, JOHNNY ACCOUNT #: 925336235	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	37.01	37.01	0.00	37.01	80.0	29.61
05/08/2018	***-**-90FB - MAI, JOHNNY ACCOUNT #: 925344043	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	9.17	9.17	0.00	9.17	80.0	7.34
05/08/2018	***-**-62FB - METZGER, JEANNE ACCOUNT #: 925344624	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	21.66	21.66	0.00	21.66	80.0	17.33
05/08/2018	***-**-31FB - WATSON, ACCOUNT #: 925362048	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	72.83	72.83	0.00	72.83	80.0	58.26
05/08/2018	***-**-14FB - DE LOS SANTOS, ACCOUNT #: 925435795	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	9.10	9.10	0.00	9.10	80.0	7.28
05/08/2018	***-**-14FB - DE LOS SANTOS, ACCOUNT #: 925435799	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	5.87	5.87	0.00	5.87	80.0	4.70
05/08/2018	***-**-14FB - DE LOS SANTOS, ACCOUNT #: 925446200	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	242.95	242.95	0.00	242.95	80.0	194.36
05/08/2018	***-**-14FB - DE LOS SANTOS, ACCOUNT #: 925435789	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	16.31	16.31	0.00	16.31	80.0	13.05
05/08/2018	***-**-57FB - LARKIN, MICHAEL ACCOUNT #: 925409229	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	56.50	56.50	0.00	56.50	80.0	45.20
05/08/2018	***-**-57FB - LARKIN, MICHAEL ACCOUNT #: 925427487	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	59.37	59.37	0.00	59.37	80.0	47.50
05/08/2018	***-**-18FB - LARKIN, KAREN ACCOUNT #: 925427475	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	40.67	40.67	0.00	40.67	80.0	32.54
05/08/2018	***-**-86FB - GALLEGOS, ACCOUNT #: 925401872	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	6.35	6.35	0.00	6.35	80.0	5.08
05/08/2018	***-**-86FB - GALLEGOS, ACCOUNT #: 925401890	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	85.66	85.66	0.00	85.66	80.0	68.53



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: RIA (continued)</b>								
05/08/2018	***-**-22FB - KARR-CHEBAT, ACCOUNT #: 925373485	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	136.31	136.31	0.00	136.31	80.0	109.05
05/08/2018	***-**-22FB - KARR-CHEBAT, ACCOUNT #: 925402005	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	8.63	8.63	0.00	8.63	80.0	6.90
05/08/2018	***-**-08FB - HORNER, COLBY ACCOUNT #: 925373490	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	245.83	245.83	0.00	245.83	80.0	196.66
05/08/2018	***-**-53FB - CHEBAT, JOE ACCOUNT #: 925401991	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	2.74	2.74	0.00	2.74	80.0	2.19
05/08/2018	***-**-43FB - MINETREE, ACCOUNT #: 925411309	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	117.57	117.57	0.00	117.57	80.0	94.06
05/08/2018	***-**-90FB - RIVERA, LYNDIA ACCOUNT #: 925419577	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.37	23.37	0.00	23.37	80.0	18.70
05/08/2018	***-**-90FB - RIVERA, LYNDIA ACCOUNT #: 925422680	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	20.71	20.71	0.00	20.71	80.0	16.57
05/08/2018	***-**-97FB - RIVERA, ACCOUNT #: 925419429	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	94.56	94.56	0.00	94.56	80.0	75.65
05/08/2018	***-**-65FB - FRANKIAN, TIAHNA ACCOUNT #: 925422175	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	4.11	4.11	0.00	4.11	80.0	3.29
05/08/2018	***-**-52FB - FRANKIAN, ACCOUNT #: 925422183	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	3.19	3.19	0.00	3.19	80.0	2.55
05/08/2018	***-**-17FB - GOLLINGER, ACCOUNT #: 925429573	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	4.07	4.07	0.00	4.07	80.0	3.26
05/08/2018	***-**-17FB - GOLLINGER, ACCOUNT #: 925429578	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	1.95	1.95	0.00	1.95	80.0	1.56
05/08/2018	***-**-68FB - KIEL, JANET ACCOUNT #: 925434255	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	27.47	27.47	0.00	27.47	80.0	21.98
05/08/2018	***-**-68FB - KIEL, JANET ACCOUNT #: 925434257	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	17.38	17.38	0.00	17.38	80.0	13.90
<b>* RIA SUBTOTAL *</b>			<b>\$3,297.62</b>	<b>\$3,297.62</b>	<b>\$0.00</b>	<b>\$3,297.62</b>		<b>\$2,638.11</b>



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
04/30/2018	***-**-4597 - RIVERA, ACCOUNT #: 1017513210	JACKSON ELITE ACCESS E BUY 0 Units @0.0000	0.00	121.00	0.00	121.00	80.0	96.80
04/30/2018	***-**-9808 - SJOERDSMA, GREGG ACCOUNT #: 1015027963	JACKSON NATIONAL PERSPECTIVE L SERI BUY 0 Units @0.0000	0.00	14.94	0.00	14.94	80.0	11.95
04/30/2018	***-**-4357 - LARKIN, MICHAEL ACCOUNT #: 1015047107	JACKSON NATIONAL PERSPECTIVE L SERI BUY 0 Units @0.0000	0.00	82.09	0.00	82.09	80.0	65.67
04/30/2018	***-**-0215 - JENNINGS, ACCOUNT #: 1016519606	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	23.30	0.00	23.30	80.0	18.64
04/30/2018	***-**-0339 - NUSE, PHILIP ACCOUNT #: 1017175544	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	28.87	0.00	28.87	80.0	23.10
04/30/2018	***-**-0339 - NUSE, PHILIP ACCOUNT #: 1017175820	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	127.08	0.00	127.08	80.0	101.66
04/30/2018	***-**-4843 - GOLLINGER, DAVID ACCOUNT #: 1018097431	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	50.38	0.00	50.38	80.0	40.30
04/30/2018	***-**-6116 - DOWNS-MINETREE, ACCOUNT #: 1018954628	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	84.55	0.00	84.55	80.0	67.64
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$532.21</b>	<b>\$0.00</b>	<b>\$532.21</b>		<b>\$425.76</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$3,547.62</b>	<b>\$3,843.58</b>	<b>\$0.00</b>	<b>\$3,843.58</b>		<b>\$3,074.87</b>



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$3,074.87
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$9.36
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$3,084.23
						Year-to-date Earnings		\$13,648.34