



Concorde Investment Services, LLC

03/06/2017  
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# COMMISSION STATEMENT

February 28, 2017

NATHANIEL KUHN  
612 S. Bristol Lane

BROKER #: K134  
BRANCH: KUHN FINANCIAL, INC

Arlington Heights, IL 60005-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS AMCAP A	50.00	1.38	0.00	1.38	90.0	1.24
02/15/2017	PARADISE, MICHAEL	AM FUNDS AMCAP A	63.00	1.73	0.00	1.73	90.0	1.56
02/15/2017	KENNEDY, RACHEL	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2017	KENNEDY, RACHEL	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
02/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS AMERICAN BALANCED C	100.00	1.00	0.00	1.00	90.0	0.90
02/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS BOND FUND OF AMERICA C	50.00	0.50	0.00	0.50	90.0	0.45
02/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS CAPITAL WORLD GRTH & INC C	50.00	0.50	0.00	0.50	90.0	0.45
02/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	90.0	1.24
02/15/2017	WEIDENFELLER, KIRSTEN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.13	0.00	2.13	90.0	1.92
02/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.38	0.00	1.38	90.0	1.24
02/15/2017	LIM, SUSIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	2.25
02/10/2017	MARASOVICH, THOMAS	AM FUNDS GLOBAL BALANCED A	50.00	2.13	0.00	2.13	90.0	1.92
02/10/2017	MARASOVICH, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
02/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
02/15/2017	PARADISE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	62.00	1.71	0.00	1.71	90.0	1.54
02/15/2017	LIM, SUSIE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2017	SANTOS, JAIMEE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2017	OCHAL, VALERIE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2017	TOLLEFSON, LOUANN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
02/15/2017	WEIDENFELLER, KIRSTEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
02/15/2017	WILLIS, JUDE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
02/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS GROWTH FUND OF AMERICA C	125.00	1.25	0.00	1.25	90.0	1.13
02/15/2017	PARADISE, MICHAEL	AM FUNDS ICA A	62.00	1.71	0.00	1.71	90.0	1.54
02/15/2017	WEIDENFELLER, KIRSTEN	AM FUNDS ICA A	50.00	2.13	0.00	2.13	90.0	1.92
02/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS ICA C	125.00	1.25	0.00	1.25	90.0	1.13
02/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS NEW WORLD C	50.00	0.50	0.00	0.50	90.0	0.45

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS SMALLCAP WORLD A	50.00	1.38	0.00	1.38	90.0	1.24
02/15/2017	PARADISE, MICHAEL	AM FUNDS SMALLCAP WORLD A	63.00	1.73	0.00	1.73	90.0	1.56
02/15/2017	LIM, SUSIE	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2017	SANTOS, JAIMEE	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2017	WILLIS, JUDE	HARTFORD BALANCED ALLOCATION FUND C	50.00	0.50	0.00	0.50	90.0	0.45
02/15/2017	SANTOS, JAIMEE	HARTFORD FLOATING RATE C	100.00	1.00	0.00	1.00	90.0	0.90
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,900.00</b>	<b>\$55.56</b>	<b>\$0.00</b>	<b>\$55.56</b>		<b>\$50.03</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/14/2017	SAJDAK, DEBORAH	JNL PERSPECTIVE II 04/14	100,000.00	7,000.00	0.00	7,000.00	90.0	6,300.00
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$100,000.00</b>	<b>\$7,000.00</b>	<b>\$0.00</b>	<b>\$7,000.00</b>		<b>\$6,300.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$101,900.00</b>	<b>\$7,055.56</b>	<b>\$0.00</b>	<b>\$7,055.56</b>		<b>\$6,350.03</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$6,350.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$6,350.03</b>
<b>Year-to-date Gross</b>	<b>\$42,449.65</b>
<b>Year-to-date Earnings</b>	<b>\$38,204.98</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed