



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 31, 2018

05/30/2018
Page No. 1

HERBERT, A123 ALSTON
4200 Park Blvd
Suite 154
Oakland, CA 94602

BROKER #: A123
BRANCH: HERBERT ALSTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
05/23/2018	CHASALOW, FRED	FREEDOM OIL AND GAS LTD SHS ISIN#AU	42.89	0.44	9.36	-8.92	75.0	-6.69
05/23/2018	CHASALOW, FRED	FREEDOM OIL AND GAS LTD SHS ISIN#AU	2,125.62	21.56	26.64	-5.08	75.0	-3.81
* STOCKS SUBTOTAL *			\$2,168.51	\$22.00	\$36.00	\$-14.00		\$-10.50
*** CLEARING TRANSACTIONS TOTAL ***			\$2,168.51	\$22.00	\$36.00	\$-14.00		\$-10.50
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: NON-TRADED BDC</u>								
05/07/2018	BULKIN, CARLETON	FS INVESTMENT CORP IV CLASS T	0.00	182.58	0.00	182.58	75.0	136.94
* NON-TRADED BDC SUBTOTAL *			\$0.00	\$182.58	\$0.00	\$182.58		\$136.94
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$182.58	\$0.00	\$182.58		\$136.94

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$126.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$44.91
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$171.35
Year-to-date Gross	\$11,572.77
Year-to-date Earnings	\$8,538.11