

All Companies  
**STATEMENT**  
August 20, 2018

MIKE MARCOTULLIO  
3031 Wynsum Ave  
SUITE 100  
Merrick, NY 11566

BROKER #: 153  
BRANCH:

COMMISSION STATEMENT for MIKE MARCOTULLIO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
07/13/2018 #	GOLDSTEIN, STEVEN	FIRST TRUST EXCHANGE TRADED FD II F	9,997.13	243.74	75.0	0.00	182.81	19.95	162.86
* STOCKS SUBTOTAL *			<b>\$9,997.13</b>	<b>\$243.74</b>		<b>\$0.00</b>	<b>\$182.81</b>	<b>\$19.95</b>	<b>\$162.86</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
07/06/2018 #	STANLEY, MICHELLE	DAIN TRAILER	250.00	50.00	75.0	0.00	37.50	0.00	37.50
07/06/2018 #	PARKS-HALL, MONICA	DAIN TRAILER	23,100.00	300.00	75.0	0.00	225.00	0.00	225.00
07/09/2018 #	PARKS-HALL, MONICA	DAIN TRAILER	15,636.95	200.00	75.0	0.00	150.00	0.00	150.00
07/11/2018 #	LA FEX, THOMAS	DAIN TRAILER	0.00	16.22	75.0	0.00	12.17	0.00	12.17
07/11/2018 #	GOLDSTEIN, STEVEN	DAIN TRAILER	0.00	7.24	75.0	0.00	5.43	0.00	5.43
07/11/2018 #	LA FEX, THOMAS	DAIN TRAILER	0.00	1.19	75.0	0.00	0.89	0.00	0.89
07/11/2018 #	GOLDSTEIN, STEVEN	DAIN TRAILER	0.00	1.99	75.0	0.00	1.49	0.00	1.49
07/11/2018 #	FREDA, MARTIN	DAIN TRAILER	0.00	0.96	75.0	0.00	0.72	0.00	0.72
07/11/2018 #	AUTILIA ZUGARO	DAIN TRAILER	0.00	4.67	75.0	0.00	3.50	0.00	3.50
07/11/2018 #	PARKS-HALL, MONICA	DAIN TRAILER	0.00	4.78	75.0	0.00	3.59	0.00	3.59
07/11/2018 #	PARKS, DOMINIQUE	DAIN TRAILER	0.00	4.78	75.0	0.00	3.59	0.00	3.59
07/11/2018 #	BUCKNER, TYRONE	DAIN TRAILER	0.00	3.03	75.0	0.00	2.27	0.00	2.27
07/11/2018 #	BAILEY, MARTIN	DAIN TRAILER	0.00	0.77	75.0	0.00	0.58	0.00	0.58
07/11/2018 #	STANLEY, MICHELLE	DAIN TRAILER	0.00	0.49	75.0	0.00	0.37	0.00	0.37
07/11/2018 #	STANLEY, MICHELLE	DAIN TRAILER	0.00	4.37	75.0	0.00	3.28	0.00	3.28
07/11/2018 #	BERRY, ROBERT	DAIN TRAILER	0.00	0.78	75.0	0.00	0.59	0.00	0.59
07/11/2018 #	BERRY, ROBERT	DAIN TRAILER	0.00	2.90	75.0	0.00	2.18	0.00	2.18
07/11/2018 #	SFERRAZZA, JOANN	DAIN TRAILER	0.00	1.59	75.0	0.00	1.19	0.00	1.19
07/11/2018 #	SFERRAZZA, PAUL	DAIN TRAILER	0.00	0.87	75.0	0.00	0.65	0.00	0.65
07/11/2018 #	SFERRAZZA, PAUL	DAIN TRAILER	0.00	6.31	75.0	0.00	4.73	0.00	4.73
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<b>\$38,986.95</b>	<b>\$612.94</b>		<b>\$0.00</b>	<b>\$459.72</b>	<b>\$0.00</b>	<b>\$459.72</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$48,984.08</b>	<b>\$856.68</b>		<b>\$0.00</b>	<b>\$642.53</b>	<b>\$19.95</b>	<b>\$622.58</b>

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ADJUSTMENTS

08/20/2018		BETA BACK OFFICE: ADMIN FEE							-390.00
08/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-569.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$622.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,385.52
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-1,331.94</u>
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