



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
 June 15, 2017

06/13/2017  
 Page No. 1

JAMES BREWER  
 6500 Busch Blvd  
 Suite 132  
 Columbus, OH 43229

BROKER #: B153  
 BRANCH: BREWER & ASSOCIATIES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/25/2017	MCANINCH, JUDY	AMERICAN FUNDS RETIREMENT INCOME	25,000.00	1,062.50	90.0	956.25	22.00	934.25
05/25/2017	MCANINCH, JUDY	CATALYST/MAP GLOBAL TOTAL RETURN	25,000.00	1,250.00	90.0	1,125.00	22.00	1,103.00
05/25/2017	MCANINCH, JUDY	FRANKLIN INCOME A	30,000.00	1,200.00	90.0	1,080.00	22.00	1,058.00
05/25/2017	MCANINCH, JUDY	ICON UTILITIES FUND CLASS A	30,000.00	1,275.00	90.0	1,147.50	22.00	1,125.50
* MUTUAL FUNDS SUBTOTAL *			\$110,000.00	\$4,787.50		\$4,308.75	\$88.00	\$4,220.75
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2017	KUSKOWSKI, MARK	JNL PERSPECTIVE ADV II 5/05	21,276.99	17.73	90.0	15.96	0.00	15.96
05/31/2017	MYERS MACHINERY MOVERS IN	JNL PERSPECTIVE L	922,787.22	999.69	90.0	899.72	0.00	899.72
* VARIABLE ANNUITIES SUBTOTAL *			\$944,064.21	\$1,017.42		\$915.68	\$0.00	\$915.68
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/15/2017	BALE, RICHARD	AM FUNDS AMCAP A	0.00	0.18	90.0	0.16	0.00	0.16
05/15/2017	VANDERGRIFF, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	2.28	90.0	2.05	0.00	2.05
05/15/2017	ROWE, ERNEST	AM FUNDS AMERICAN BALANCED A	0.00	2.52	90.0	2.27	0.00	2.27
05/15/2017	DUNCAN, DONALD	AM FUNDS AMERICAN BALANCED A	0.00	2.11	90.0	1.90	0.00	1.90
05/15/2017	LAWVER, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	2.18	90.0	1.96	0.00	1.96
05/15/2017	COMPTON, SHARON	AM FUNDS AMERICAN BALANCED A	0.00	3.38	90.0	3.04	0.00	3.04
05/15/2017	ALLEN, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	3.61	90.0	3.25	0.00	3.25
05/15/2017	MYERS, GARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.23	90.0	0.21	0.00	0.21
05/15/2017	MYERS, GARY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	47.84	90.0	43.06	0.00	43.06
05/15/2017	VANDERGRIFF, CHARLES	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.46	90.0	1.31	0.00	1.31
05/15/2017	GOUDY, BEVERLY	AM FUNDS NEW ECONOMY A	0.00	8.96	90.0	8.06	0.00	8.06
05/15/2017	GOUDY, BEVERLY	AM FUNDS SMALLCAP WORLD A	0.00	8.20	90.0	7.38	0.00	7.38
05/19/2017	SCHOPPELREI, CLEMENT	AMERICAN CENTURY MID CAP VALUE	0.00	0.05	90.0	0.05	0.00	0.05
05/15/2017	FALTER, THEODORE	AMERICAN FUNDS RETIREMENT INCOME	0.00	3.29	90.0	2.96	0.00	2.96
05/15/2017	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME	0.00	1.96	90.0	1.76	0.00	1.76

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/15/2017	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME	0.00	0.35	90.0	0.32	0.00	0.32
05/15/2017	FALTER, THEODORE	AMERICAN FUNDS RETIREMENT INCOME	0.00	3.23	90.0	2.91	0.00	2.91
05/15/2017	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME	0.00	1.93	90.0	1.74	0.00	1.74
05/15/2017	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME	0.00	0.35	90.0	0.32	0.00	0.32
05/30/2017	SCHOPPELREI, CLEMENT	BLACKROCK STRATEGIC INCOME OPPT	0.00	0.06	90.0	0.05	0.00	0.05
05/08/2017	ROWE, ERNEST	CATALYST/MAP GLOBAL CAPITAL	0.00	2.34	90.0	2.11	0.00	2.11
05/08/2017	DUNCAN, DONALD	CATALYST/MAP GLOBAL TOTAL RETURN	0.00	1.94	90.0	1.75	0.00	1.75
05/08/2017	LAWVER, DAVID	CATALYST/MAP GLOBAL TOTAL RETURN	0.00	2.32	90.0	2.09	0.00	2.09
05/22/2017	WESS, DONALD	COLUMBIA DIVIDEND INCOME A	0.00	3.33	90.0	3.00	0.00	3.00
05/22/2017	SCHOPPELREI, CLEMENT	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.05	90.0	0.05	0.00	0.05
05/12/2017	STEIN, JANICE	DELAWARE SELECT GROWTH FUND CLASS	0.00	0.28	90.0	0.25	0.00	0.25
05/11/2017	FALTER, THEODORE	FRANKLIN RISING DIVIDENDS A	0.00	0.05	90.0	0.05	0.00	0.05
05/11/2017	DUNCAN, JAMES	GOOD HARBOR US TACTICAL CORE FUND	0.00	4.98	90.0	4.48	0.00	4.48
05/23/2017	GOUDY, BEVERLY	HARTFORD HEALTHCARE A	0.00	6.05	90.0	5.45	0.00	5.45
05/23/2017	FALTER, THEODORE	HARTFORD HEALTHCARE A	0.00	2.61	90.0	2.35	0.00	2.35
05/16/2017	LAWVER, DAVID	ICON LEISURE AND CONSUMER STAPLES	0.00	1.81	90.0	1.63	0.00	1.63
05/17/2017	SCHOPPELREI, CLEMENT	JP MORGAN LARGE-CAP GROWTH A	0.00	0.04	90.0	0.04	0.00	0.04
05/22/2017	SCHOPPELREI, CLEMENT	LORD ABBETT INFLATION FOCUSED FUND	0.00	0.01	90.0	0.01	0.00	0.01
05/12/2017	GOUDY, BEVERLY	MFS INTERNATIONAL DISCOVERY A	0.00	6.66	90.0	5.99	0.00	5.99
05/12/2017	SCHOPPELREI, CLEMENT	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.03	90.0	0.03	0.00	0.03
05/12/2017	SCHOPPELREI, CLEMENT	MFS VALUE A	0.00	0.05	90.0	0.05	0.00	0.05
05/15/2017	ROSS, CARL	NATIONWIDE ALTERNATIVE ALLOCATIONS	0.00	2.17	90.0	1.95	0.00	1.95
05/15/2017	BARNETT, ALVA	NATIONWIDE ALTERNATIVE ALLOCATIONS	0.00	3.08	90.0	2.77	0.00	2.77
05/15/2017	BITONTE, RICHARD	NATIONWIDE ALTERNATIVE ALLOCATIONS	0.00	0.66	90.0	0.59	0.00	0.59
05/22/2017	MYERS, GARY	OPPENHEIMER GLOBAL A	0.00	45.68	90.0	41.11	0.00	41.11
05/22/2017	SCHOPPELREI, CLEMENT	OPPENHEIMER INTL GROWTH A	0.00	0.02	90.0	0.02	0.00	0.02
05/15/2017	BALE, RICHARD	PAC LIFE FUNDS PORTFOLIO	0.00	0.09	90.0	0.08	0.00	0.08
05/16/2017	GOUDY, BEVERLY	PRUDENTIAL QMA MID CAP VALUE FUND	0.00	20.96	90.0	18.86	0.00	18.86
05/15/2017	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND	0.00	2.03	90.0	1.83	0.00	1.83
05/15/2017	FALTER, THEODORE	RESOURCE R E DIVERSIFIED INC FUND	0.00	3.29	90.0	2.96	0.00	2.96
05/15/2017	VANDERGRIFF, CHARLES	RESOURCE R E DIVERSIFIED INC FUND	0.00	2.88	90.0	2.59	0.00	2.59
05/15/2017	WESS, DONALD	RESOURCE R E DIVERSIFIED INC FUND	0.00	5.07	90.0	4.56	0.00	4.56
05/15/2017	CALABRETTA, SHIRLEY	RESOURCE R E DIVERSIFIED INC FUND	0.00	2.91	90.0	2.62	0.00	2.62

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/15/2017	BEBOUT, ELMA	RESOURCE R E DIVERSIFIED INC FUND	0.00	2.18	90.0	1.96	0.00	1.96
05/15/2017	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND	0.00	5.73	90.0	5.16	0.00	5.16
05/15/2017	CHAMBERLAIN, LARRY	RESOURCE R E DIVERSIFIED INC FUND	0.00	7.73	90.0	6.96	0.00	6.96
05/15/2017	COMPTON, WILLIAM	RESOURCE R E DIVERSIFIED INC FUND	0.00	5.95	90.0	5.36	0.00	5.36
05/11/2017	MYERS, GARY	TEMPLETON GROWTH A	0.00	27.87	90.0	25.08	0.00	25.08
05/11/2017	MYERS, GARY	TEMPLETON WORLD A	0.00	0.40	90.0	0.36	0.00	0.36
05/09/2017	NIXON, CHARLENE	TIMOTHY PLAN CONSERVATIVE GROWTH	0.00	4.03	90.0	3.63	0.00	3.63
05/09/2017	NIXON, CHARLENE	TIMOTHY PLAN DEFENSIVE STRATEGY	0.00	1.95	90.0	1.76	0.00	1.76
05/16/2017	SCHOPPELREI, CLEMENT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.02	90.0	0.02	0.00	0.02
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$271.42</b>		<b>\$244.32</b>	<b>\$0.00</b>	<b>\$244.32</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,054,064.21</b>	<b>\$6,076.34</b>		<b>\$5,468.75</b>	<b>\$88.00</b>	<b>\$5,380.75</b>

**ADJUSTMENTS**

06/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.50
06/15/2017		*TECH: ADMIN - MICHELE BARR	0.00					-100.00
06/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-676.50</b>	<b>\$0.00</b>	<b>\$-676.50</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,380.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-676.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,704.25
Year-to-date Gross	\$18,624.46
Year-to-date Earnings	\$16,498.07