

**COMMISSION STATEMENT****July 31, 2017**

**LAWRENCE HORN**  
 301 E 47TH STREET  
 APT 16J  
 NEW YORK, NY 10017

**BROKER #: 46**  
**BRANCH: HOME OFFICE**

**COMMISSION STATEMENT for LAWRENCE HORN**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**BROKER TRANSACTIONS****PRODUCT CATEGORY: STOCKS**

07/25/2017	QGV004930	GS ARBITRAGE	HAEMONETICS CORP MASS COM	B	556,240.32	418.23	10.00	408.23	50.0	204.12
07/26/2017	QGV004930	GS ARBITRAGE	HAEMONETICS CORP MASS COM	B	667,613.20	502.77	10.00	492.77	50.0	246.39
06/28/2017	QGV006240	GS ARBITRAGE	WHOLE FOODS MKT INC	S	-423,500.00	400.00	10.00	390.00	50.0	195.00
07/21/2017	QGV006240	GS ARBITRAGE	NORDSTROM INC	S	-235,561.50	200.00	10.00	190.00	50.0	95.00
					<b>* STOCKS SUBTOTAL *</b>	<b>\$564,792.02</b>	<b>\$1,521.00</b>	<b>\$40.00</b>	<b>\$1,481.00</b>	<b>\$740.51</b>
					<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$564,792.02</b>	<b>\$1,521.00</b>	<b>\$40.00</b>	<b>\$1,481.00</b>	<b>\$740.51</b>

**ADJUSTMENTS**

07/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
07/31/2017	OTHER: HAMMERSTONE	0.00	0.00	0.00	0.00	-127.50
07/31/2017	OTHER: OTHER	0.00	0.00	0.00	0.00	-1,050.00
07/31/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-5.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-1,202.50

**BROKER COMMISSION TOTALS**

Broker Transactions	\$740.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,202.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.90
SIPC Assessment	\$-3.80

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-467.69</b>
<b>Year-to-date Earnings</b>	<b>\$421.78</b>