



Concorde Investment Services, LLC

09/28/2017

Page No. 1

COMMISSION STATEMENT

September 30, 2017

DELMAR (BO)-M143 MCKINNEY

5 Red Bud Trail

BROKER #: M143

BRANCH: EAGLE WEALTH MANAGEM

Round Rock, TX 78655-

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 09/01/2017 | NAIZER, ROSANNE | AM FUNDS AMCAP 529A | 0.00 | 2.58 | 0.00 | 2.58 | 90.0 | 2.32 |
| 09/01/2017 | NAIZER, JOHNNIE | AM FUNDS AMCAP 529A | 0.00 | 1.79 | 0.00 | 1.79 | 90.0 | 1.61 |
| 09/01/2017 | PRAESEL, ARTHUR | AM FUNDS AMCAP A | 0.00 | 209.16 | 0.00 | 209.16 | 90.0 | 188.24 |
| 09/01/2017 | NAIZER, ROSANNE | AM FUNDS AMERICAN MUTUAL 529A | 0.00 | 1.54 | 0.00 | 1.54 | 90.0 | 1.39 |
| 09/01/2017 | NAIZER, JOHNNIE | AM FUNDS AMERICAN MUTUAL 529A | 0.00 | 1.17 | 0.00 | 1.17 | 90.0 | 1.05 |
| 09/01/2017 | MCKINNON, BROOKE | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 0.94 | 0.00 | 0.94 | 90.0 | 0.85 |
| 09/01/2017 | OHE, EUGENIA | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 6.56 | 0.00 | 6.56 | 90.0 | 5.90 |
| 09/01/2017 | PEKAR, DAVID | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 24.29 | 0.00 | 24.29 | 90.0 | 21.86 |
| 09/01/2017 | PRAESEL, ARTHUR | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 58.25 | 0.00 | 58.25 | 90.0 | 52.43 |
| 09/01/2017 | NAIZER, ROSANNE | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 1.80 | 0.00 | 1.80 | 90.0 | 1.62 |
| 09/01/2017 | NAIZER, JOHNNIE | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 09/01/2017 | KORNEGAY, ALISA | AM FUNDS EUROPACIFIC GROWTH FUND A | 0.00 | 15.60 | 0.00 | 15.60 | 90.0 | 14.04 |
| 09/01/2017 | ENOCHS, DONNA | AM FUNDS EUROPACIFIC GROWTH FUND A | 0.00 | 14.18 | 0.00 | 14.18 | 90.0 | 12.76 |
| 09/01/2017 | ENOCHS, LELAND | AM FUNDS EUROPACIFIC GROWTH FUND A | 0.00 | 37.28 | 0.00 | 37.28 | 90.0 | 33.55 |
| 09/01/2017 | OHE, EUGENIA | AM FUNDS EUROPACIFIC GROWTH FUND A | 0.00 | 6.88 | 0.00 | 6.88 | 90.0 | 6.19 |
| 09/01/2017 | ENOCHS, DONNA | AM FUNDS EUROPACIFIC GROWTH FUND A | 0.00 | 5.14 | 0.00 | 5.14 | 90.0 | 4.63 |
| 09/01/2017 | NAIZER, ROSANNE | AM FUNDS FUNDAMENTAL INVESTORS 529A | 0.00 | 2.61 | 0.00 | 2.61 | 90.0 | 2.35 |
| 09/01/2017 | NAIZER, JOHNNIE | AM FUNDS FUNDAMENTAL INVESTORS 529A | 0.00 | 0.17 | 0.00 | 0.17 | 90.0 | 0.15 |
| 09/01/2017 | ENOCHS, LELAND | AM FUNDS FUNDAMENTAL INVESTORS A | 0.00 | 52.42 | 0.00 | 52.42 | 90.0 | 47.18 |
| 09/01/2017 | ENOCHS, DONNA | AM FUNDS FUNDAMENTAL INVESTORS A | 0.00 | 5.63 | 0.00 | 5.63 | 90.0 | 5.07 |
| 09/01/2017 | BRUECKNER, MICHAEL | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 16.05 | 0.00 | 16.05 | 90.0 | 14.45 |
| 09/01/2017 | NAIZER, ROSANNE | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 3.15 | 0.00 | 3.15 | 90.0 | 2.84 |
| 09/01/2017 | NAIZER, JOHNNIE | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 1.65 | 0.00 | 1.65 | 90.0 | 1.49 |
| 09/01/2017 | OHE, EUGENIA | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 9.31 | 0.00 | 9.31 | 90.0 | 8.38 |
| 09/01/2017 | OHE, EUGENIA | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 9.31 | 0.00 | 9.31 | 90.0 | 8.38 |
| 09/20/2017 | BRUECKNER, MICHAEL | AM FUNDS GROWTH FUND OF AMERICA 529 | 100.00 | 1.60 | 0.00 | 1.60 | 90.0 | 1.44 |

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|--|---------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 09/01/2017 | PRAESEL, ARTHUR | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 266.67 | 0.00 | 266.67 | 90.0 | 240.00 |
| 09/01/2017 | KORNEGAY, ALISA | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 22.81 | 0.00 | 22.81 | 90.0 | 20.53 |
| 09/01/2017 | ENOCHS, LELAND | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 50.39 | 0.00 | 50.39 | 90.0 | 45.35 |
| 09/01/2017 | OHE, EUGENIA | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 19.09 | 0.00 | 19.09 | 90.0 | 17.18 |
| 09/01/2017 | BRUECKNER, MICHAEL | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 34.74 | 0.00 | 34.74 | 90.0 | 31.27 |
| 09/01/2017 | BRUECKNER, KIMBERLY | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 30.59 | 0.00 | 30.59 | 90.0 | 27.53 |
| 09/01/2017 | ENOCHS, DONNA | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 5.62 | 0.00 | 5.62 | 90.0 | 5.06 |
| 09/01/2017 | GILLEY, BEVERLY | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 173.23 | 0.00 | 173.23 | 90.0 | 155.91 |
| 09/15/2017 | BRUECKNER, MICHAEL | AM FUNDS GROWTH FUND OF AMERICA A | 200.00 | 3.20 | 0.00 | 3.20 | 90.0 | 2.88 |
| 09/01/2017 | KORNEGAY, ALISA | AM FUNDS ICA A | 0.00 | 21.05 | 0.00 | 21.05 | 90.0 | 18.95 |
| 09/01/2017 | PRAESEL, ARTHUR | AM FUNDS INCOME FUND OF AMERICA A | 0.00 | 67.99 | 0.00 | 67.99 | 90.0 | 61.19 |
| 09/01/2017 | NAIZER, ROSANNE | AM FUNDS INTL GROWTH AND INCOME 529 | 0.00 | 0.91 | 0.00 | 0.91 | 90.0 | 0.82 |
| 09/01/2017 | NAIZER, JOHNNIE | AM FUNDS INTL GROWTH AND INCOME 529 | 0.00 | 1.20 | 0.00 | 1.20 | 90.0 | 1.08 |
| 09/01/2017 | ENOCHS, DONNA | AM FUNDS NEW ECONOMY A | 0.00 | 18.70 | 0.00 | 18.70 | 90.0 | 16.83 |
| 09/01/2017 | OHE, EUGENIA | AM FUNDS NEW ECONOMY A | 0.00 | 8.07 | 0.00 | 8.07 | 90.0 | 7.26 |
| 09/01/2017 | PRAESEL, ARTHUR | AM FUNDS NEW PERSPECTIVE A | 0.00 | 224.80 | 0.00 | 224.80 | 90.0 | 202.32 |
| 09/01/2017 | OHE, EUGENIA | AM FUNDS NEW PERSPECTIVE A | 0.00 | 8.17 | 0.00 | 8.17 | 90.0 | 7.35 |
| 09/01/2017 | NAIZER, ROSANNE | AM FUNDS NEW WORLD 529A | 0.00 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 09/01/2017 | NAIZER, JOHNNIE | AM FUNDS NEW WORLD 529A | 0.00 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 09/01/2017 | OHE, EUGENIA | AM FUNDS SMALLCAP WORLD A | 0.00 | 8.68 | 0.00 | 8.68 | 90.0 | 7.81 |
| 09/01/2017 | NAIZER, ROSANNE | AM FUNDS SMALLCAP WORLD-529A | 0.00 | 2.37 | 0.00 | 2.37 | 90.0 | 2.13 |
| 09/01/2017 | NAIZER, JOHNNIE | AM FUNDS SMALLCAP WORLD-529A | 0.00 | 1.50 | 0.00 | 1.50 | 90.0 | 1.35 |
| 09/01/2017 | FISCHER, DOROTHY | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 15.71 | 0.00 | 15.71 | 90.0 | 14.14 |
| 09/01/2017 | HAMITER, DONNIE | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 14.99 | 0.00 | 14.99 | 90.0 | 13.49 |
| 09/01/2017 | LOGHRY, DORIS | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 36.04 | 0.00 | 36.04 | 90.0 | 32.44 |
| 09/01/2017 | JAMES, JERRI | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 5.30 | 0.00 | 5.30 | 90.0 | 4.77 |
| 09/01/2017 | ENOCHS, LELAND | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 44.16 | 0.00 | 44.16 | 90.0 | 39.74 |
| 09/01/2017 | JAMES, JERRI | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 5.40 | 0.00 | 5.40 | 90.0 | 4.86 |
| 09/01/2017 | OHE, EUGENIA | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 16.76 | 0.00 | 16.76 | 90.0 | 15.08 |
| 09/01/2017 | ENOCHS, DONNA | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 5.40 | 0.00 | 5.40 | 90.0 | 4.86 |
| 09/01/2017 | MEYER, KATHY | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 1.73 | 0.00 | 1.73 | 90.0 | 1.56 |
| 09/01/2017 | SPECKELS, WARREN | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 3.07 | 0.00 | 3.07 | 90.0 | 2.76 |
| 09/01/2017 | MICHNA, DENISE | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 2.94 | 0.00 | 2.94 | 90.0 | 2.65 |

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|--|--------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 09/01/2017 | KRIEGEL, ROBERT | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 4.30 | 0.00 | 4.30 | 90.0 | 3.87 |
| 09/01/2017 | LAWHON, STEVEN | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 35.48 | 0.00 | 35.48 | 90.0 | 31.93 |
| 09/01/2017 | GILLEY, BEVERLY | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 133.88 | 0.00 | 133.88 | 90.0 | 120.49 |
| 09/01/2017 | BRUECKNER, MICHAEL | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 14.57 | 0.00 | 14.57 | 90.0 | 13.11 |
| 09/20/2017 | BRUECKNER, MICHAEL | AM FUNDS WASHINGTON MUTUAL INVESTOR | 100.00 | 1.60 | 0.00 | 1.60 | 90.0 | 1.44 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$400.00 | \$1,800.44 | \$0.00 | \$1,800.44 | | \$1,620.40 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 09/14/2017 | WILSON, MARY | AM GEN POLARIS FLEX PYMT DEF ANNUIT | 142,620.61 | 142.62 | 0.00 | 142.62 | 90.0 | 128.36 |
| 09/09/2017 | CHEATHAM, JIMMY | AMER GEN POLARIS II | 38,912.42 | 24.32 | 0.00 | 24.32 | 90.0 | 21.89 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$181,533.03 | \$166.94 | \$0.00 | \$166.94 | | \$150.25 |
| <u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u> | | | | | | | | |
| 09/14/2017 | HOHMAN, JEROME | FT UNIT 6770 MLP CLOSED END PT SER | 21,005.32 | 420.18 | 38.00 | 382.18 | 90.0 | 343.96 |
| 09/14/2017 | HOHMAN, JEROME | FT UNIT 6881 DIVID INC SELECT CLSD | 21,001.12 | 420.01 | 38.00 | 382.01 | 90.0 | 343.81 |
| * UNIT INVESTMENT TRUSTS SUBTOTAL * | | | \$42,006.44 | \$840.19 | \$76.00 | \$764.19 | | \$687.77 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$223,939.47 | \$2,807.57 | \$76.00 | \$2,731.57 | | \$2,458.42 |
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: BO/MO-M145 MCKINNEY #314</u> | | | | | | | | |
| 09/15/2017 | BRUSH COUNTRY INV | INLAND GULF COAST IND'L DST | 82,317.50 | 2,057.94 | 0.00 | 2,057.94 | 90.0 | 1,852.15 |
| 09/18/2017 | STT LAND CO LTD | INLAND GULF COAST IND'L DST | 210,826.38 | 5,270.66 | 0.00 | 5,270.66 | 90.0 | 4,743.59 |
| * BO/MO-M145 MCKINNEY SUBTOTAL * | | | \$293,143.88 | \$7,328.60 | \$0.00 | \$7,328.60 | | \$6,595.74 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$293,143.88 | \$7,328.60 | \$0.00 | \$7,328.60 | | \$6,595.74 |

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BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$2,458.42 |
| Split Transactions | \$6,595.74 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|--------------|
| Check Amount | \$9,054.16 |
| Year-to-date Gross | \$216,889.59 |
| Year-to-date Earnings | \$192,463.25 |