



COMMISSION STATEMENT

January 01, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/15/2017	***-**-6195 - BACKUS, THOMAS	INVESTCO AMERICAN FRANCHISE FUND -CL	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 03908954377	BUY 2 Units @21.0200						
12/15/2017	***-**-4379 - MATICIC, JOSEPH	PUTNAM EQUITY INCOME FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00461190200	BUY 2 Units @26.2600						
* MUTUAL FUNDS SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$4.00
*** BROKER TRANSACTIONS TOTAL ***			\$100.00	\$5.00	\$0.00	\$5.00		\$4.00

BROKER COMMISSION TOTALS

Broker Transactions	\$4.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$58.65
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$62.65
Year-to-date Earnings	\$62.65