



COMMISSION STATEMENT

February 26, 2018

GARRETT MORETZ
181 North Main Street, Ste 208
Mooresville, NC 28115

BROKER #: B09
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u>								
02/06/2018	***-**-501J - DAGENHART, JAMES	GWG L BOND - 7 YR BUY 100 Units @1000.0000	100,000.00	6,000.00	0.00	6,000.00	82.0	4,920.00
12/20/2017	***-**-6224 - SMITH, SHANNON	GWG L BOND - 5 YR BUY 40 Units @1000.0000	40,000.00	2,360.00	0.00	2,360.00	82.0	1,935.20
* ALTERNATIVE INVESTMENTS SUBTOTAL *			\$140,000.00	\$8,360.00	\$0.00	\$8,360.00		\$6,855.20
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/20/2018	***-**-071X - HENDRIX, NSPS ACCOUNT #: LSR012263	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	0.38	0.00	0.38	82.0	0.31
02/20/2018	***-**-71IR - HENDRIX, DONNA ACCOUNT #: LSR012260	GROWTH FUND OF AMERICA CLASS F BUY 1 Units @0.0000	0.00	0.39	0.00	0.39	82.0	0.32
02/26/2018	***-**-071X - HENDRIX, NSPS ACCOUNT #: LSR012263	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	0.82	0.00	0.82	82.0	0.67
02/26/2018	***-**-277I - PECK, GORDON ACCOUNT #: LSR012201	INTERNATIONAL SMALL COMPANY A BUY 1 Units @0.0000	0.00	0.33	0.00	0.33	82.0	0.27
02/26/2018	***-**-972I - GRIFFIN, OTIS ACCOUNT #: LSR011960	JANUS HENDERSON HIGH YIELD A-NFS BUY 1 Units @0.0000	0.00	1.63	0.00	1.63	82.0	1.34
02/20/2018	***-**-277I - PECK, GORDON ACCOUNT #: LSR012201	JPMORGAN GROWTH ADVANTAGE FUND CL A BUY 1 Units @0.0000	0.00	0.36	0.00	0.36	82.0	0.30
02/20/2018	***-**-50IR - JONES, MICHAEL ACCOUNT #: LSR012331	JPMORGAN GROWTH ADVANTAGE FUND CL A BUY 1 Units @0.0000	0.00	0.57	0.00	0.57	82.0	0.47
02/20/2018	***-**-440I - BOHNAKER, ANGELA ACCOUNT #: LSR012403	JPMORGAN GROWTH ADVANTAGE FUND CL A BUY 1 Units @0.0000	0.00	0.17	0.00	0.17	82.0	0.14
02/20/2018	***-**-113I - RUSSELL, MARK ACCOUNT #: LSR011979	NUVEEN STRATEGY BAL ALLOC A BUY 1 Units @0.0000	0.00	2.63	0.00	2.63	82.0	2.16
02/20/2018	***-**-03IR - HINES, WALTER ACCOUNT #: LSR012153	PRINCIPAL INVESTOR HIGH YIELD II CL BUY 1 Units @0.0000	0.00	0.99	0.00	0.99	82.0	0.81
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$8.27	\$0.00	\$8.27		\$6.79
*** BROKER TRANSACTIONS TOTAL ***			\$140,000.00	\$8,368.27	\$0.00	\$8,368.27		\$6,861.99



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$6,861.99
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$6,861.99
						Year-to-date Earnings		\$0.00