

COMMISSION STATEMENT**January 12, 2018**

TRACY CUDA
 3200 N. Central Ave
 Suite 200
 Phoenix, AZ 85012

BROKER #: 73670
 BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities America**BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

01/11/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-100.00	0.00	-100.00	-36.55
01/11/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	114.88	0.00	114.88	41.99
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$14.88</u>	<u>\$0.00</u>	<u>\$14.88</u>	<u>\$5.44</u>

PRODUCT CATEGORY: TRAILERS

01/11/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	66,167.34	0.00	66,167.34	24,184.16
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$66,167.34</u>	<u>\$0.00</u>	<u>\$66,167.34</u>	<u>\$24,184.16</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$66,182.22</u>	<u>\$0.00</u>	<u>\$66,182.22</u>	<u>\$24,189.60</u>

OVERRIDE TRANSACTIONS**BROKER: JODI GALLOVICH #73712**

01/11/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	71.30	0.00	71.30	9.59
01/11/2018	GALLOVICH (73712), JODI	SAI.TRAILS	0.00	4,356.87	0.00	4,356.87	586.00
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$4,428.17</u>	<u>\$0.00</u>	<u>\$4,428.17</u>	<u>\$595.59</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$4,428.17</u>	<u>\$0.00</u>	<u>\$4,428.17</u>	<u>\$595.59</u>

COMMISSION STATEMENT for TRACY CUDA

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Company: Securities America (continued)

ADJUSTMENTS

2. MONTHLY SVC & TECHNOLOGY FEE							-227.50
10. MONTHLY ADMIN SVC FEE							-25.00
12. SAI SIPC PE 1/5/18							-0.92
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-253.42

BROKER COMMISSION TOTALS

Broker Transactions	\$24,189.60
Split Transactions	\$0.00
Override Transactions	\$595.59
Adjustments	\$-253.42
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$24,531.77
Year-to-date Earnings	\$24,736.42