

**COMMISSION STATEMENT****March 15, 2018**WILLIAM WALSH
2565 West MapleBROKER #: W127 Terminated 08/17/2017
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/13/2018	SENGSTOCK, KATHLEEN	**CALVER SMALL CAP FD	0.00	3.68	0.00	3.68	0.0	0.00
02/13/2018	SENGSTOCK, KATHLEEN	**CALVERT FD	0.00	0.42	0.00	0.42	0.0	0.00
02/09/2018	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	0.92	0.00	0.92	0.0	0.00
02/09/2018	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.07	0.00	0.07	0.0	0.00
02/09/2018	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.35	0.00	0.35	0.0	0.00
02/09/2018	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.13	0.00	0.13	0.0	0.00
02/09/2018	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.29	0.00	18.29	0.0	0.00
02/09/2018	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.44	0.00	0.44	0.0	0.00
02/09/2018	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	11.95	0.00	11.95	0.0	0.00
02/09/2018	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.02	0.00	0.02	0.0	0.00
02/09/2018	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.02	0.00	5.02	0.0	0.00
02/01/2018	LEWANDOWSKI, ANDREW	BARON GROWTH FUND	0.00	3.07	0.00	3.07	0.0	0.00
02/13/2018	SENGSTOCK, KATHLEEN	CALVERT BOND PORT A	0.00	1.21	0.00	1.21	0.0	0.00
02/09/2018	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.24	0.00	1.24	0.0	0.00
02/09/2018	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	11.95	0.00	11.95	0.0	0.00
02/09/2018	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.51	0.00	1.51	0.0	0.00
02/09/2018	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	2.12	0.00	2.12	0.0	0.00
02/09/2018	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.82	0.00	2.82	0.0	0.00
02/09/2018	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	24.34	0.00	24.34	0.0	0.00
02/06/2018	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	1.09	0.00	1.09	0.0	0.00
02/09/2018	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.18	0.00	1.18	0.0	0.00
02/09/2018	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.33	0.00	5.33	0.0	0.00
02/09/2018	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	6.40	0.00	6.40	0.0	0.00
02/09/2018	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	9.27	0.00	9.27	0.0	0.00
02/09/2018	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.27	0.00	1.27	0.0	0.00
02/09/2018	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	16.82	0.00	16.82	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2018	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.57	0.00	0.57	0.0	0.00
02/09/2018	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	15.75	0.00	15.75	0.0	0.00
02/26/2018	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.27	0.00	0.27	0.0	0.00
02/26/2018	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.59	0.00	0.59	0.0	0.00
02/26/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.41	0.00	1.41	0.0	0.00
02/26/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.28	0.00	0.28	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$149.78	\$0.00	\$149.78		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.01	\$0.00	\$0.01		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$149.79	\$0.00	\$149.79		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$3,805.58
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed