



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 15, 2018

07/16/2018
Page No. 1

DAMIAN, D127 DERING
165 Culver Blvd
Suite A
Playa Del Rey, CA 90293

BROKER #: D127
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
07/05/2018	ENTREKIN CHARB TRUST, GARY	COLONY CAP INC NEW CL A COM	9,465.00	94.65	24.25	70.40	92.0	64.77
		* STOCKS SUBTOTAL *	\$9,465.00	\$94.65	\$24.25	\$70.40		\$64.77
		*** CLEARING TRANSACTIONS TOTAL ***	\$9,465.00	\$94.65	\$24.25	\$70.40		\$64.77
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
06/25/2018	ANDREWS, LISA	COTTONWOOD MULTIFAMILY REIT II	10,000.00	600.00	0.00	600.00	92.0	552.00
		* REIT SUBTOTAL *	\$10,000.00	\$600.00	\$0.00	\$600.00		\$552.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
06/25/2018	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
06/25/2018	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
06/25/2018	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
06/25/2018	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
06/25/2018	PEARSON, ROBERT	AMCAP FUND-529C	200.00	2.00	0.00	2.00	92.0	1.84
06/25/2018	PEARSON, ROBERT	AMCAP FUND-529C	200.00	2.00	0.00	2.00	92.0	1.84
/ /	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	0.00	2.00	0.00	2.00	92.0	1.84
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	200.00	6.38	0.00	6.38	92.0	5.87
06/29/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
		* 529 PLANS SUBTOTAL *	\$1,350.00	\$24.76	\$0.00	\$24.76		\$22.78
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/05/2018	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	500.00	18.75	0.00	18.75	92.0	17.25
		* MUTUAL FUNDS SUBTOTAL *	\$500.00	\$18.75	\$0.00	\$18.75		\$17.25

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/27/2018	PUGH, CECILIA	JNL PERSPECTIVE L	0.00	859.96	0.00	859.96	92.0	791.16
06/22/2018	DERING, DAMIAN	LINCOLN CHOICEPLUS ASSURANCE	50.00	2.50	0.00	2.50	92.0	2.30
* VARIABLE ANNUITIES SUBTOTAL *			\$50.00	\$862.46	\$0.00	\$862.46		\$793.46
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/15/2018	SUTZ, JACK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.50	0.00	5.50	92.0	5.06
06/21/2018	SUTZ, JACK	FIDELITY DIVIDEND GROWTH A	0.00	1.93	0.00	1.93	92.0	1.78
06/18/2018	SUTZ, JACK	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.20	0.00	4.20	92.0	3.86
06/18/2018	SUTZ, JACK	GRIFFIN INST'L ACCESS R E FUND C	0.00	12.61	0.00	12.61	92.0	11.60
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	0.00	2.28	0.00	2.28	92.0	2.10
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.60	0.00	1.60	92.0	1.47
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.54	0.00	1.54	92.0	1.42
/ /	MARTINEZ, ELSA	NEA AGE-BASED GROWTH 6-10 A	0.00	14.38	0.00	14.38	92.0	13.23
06/18/2018	SUTZ, JACK	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	3.66	0.00	3.66	92.0	3.37
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$47.70	\$0.00	\$47.70		\$43.89
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	PUGH, VERA	LFG TRAILS	131,612.03	329.03	0.00	329.03	92.0	302.71
06/30/2018	AGUILUZ, LUCILLE	LFG TRAILS	87,627.61	219.07	0.00	219.07	92.0	201.54
06/30/2018	SCANE, DARYL	LFG TRAILS	79,610.64	199.03	0.00	199.03	92.0	183.11
06/30/2018	FITZSIMONS, DOROTHY	LFG TRAILS	125,230.94	313.08	0.00	313.08	92.0	288.03
06/30/2018	BEELER, FRANCES	LFG TRAILS	194,908.65	487.27	0.00	487.27	92.0	448.29
06/30/2018	LAXER, JACK	LFG TRAILS	227,765.52	227.77	0.00	227.77	92.0	209.55
06/30/2018	SCANE, DONALD	LFG TRAILS	191,626.44	479.07	0.00	479.07	92.0	440.74
06/30/2018	ENCINA, OPHELIA	LFG TRAILS	160,802.56	402.01	0.00	402.01	92.0	369.85
06/30/2018	BAUTISTA, FEDERICO	LFG TRAILS	188,533.70	471.33	0.00	471.33	92.0	433.62
06/30/2018	LAXER, JACK	LFG TRAILS	164,268.41	410.67	0.00	410.67	92.0	377.82
06/30/2018	ENCINA, ANTONIO	LFG TRAILS	156,395.00	390.99	0.00	390.99	92.0	359.71
06/30/2018	SUTZ, JACK	LFG TRAILS	76,315.75	190.79	0.00	190.79	92.0	175.53
06/30/2018	SUTZ, JUNE	LFG TRAILS	139,555.59	348.89	0.00	348.89	92.0	320.98
06/30/2018	ENTREKIN, GARY	LFG TRAILS	292,727.17	731.82	0.00	731.82	92.0	673.27
06/29/2018	SCANE FAMILY TRUST	HARTFORD DIRECTOR OUTLOOK	0.00	2.24	0.00	2.24	92.0	2.06
06/30/2018	LAXER, JACK	JNL PERSPECTIVE L	0.00	45.21	0.00	45.21	92.0	41.59
06/30/2018	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	0.00	50.01	0.00	50.01	92.0	46.01

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	0.00	57.64	0.00	57.64	92.0	53.03
06/30/2018	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	0.00	55.74	0.00	55.74	92.0	51.28
06/11/2018	BAUTISTA, MARYANN	TRANSAMERICA LIBERTY	0.00	161.73	0.00	161.73	92.0	148.79
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$2,216,980.01	\$5,573.39	\$0.00	\$5,573.39		\$5,127.51
*** DIRECT TRANSACTIONS TOTAL ***			\$2,228,880.01	\$7,127.06	\$0.00	\$7,127.06		\$6,556.89
<u>ADJUSTMENTS</u>								
07/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
07/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					697.00
07/15/2018		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
07/15/2018		*TECHNOLOGY: ADMIN - CHRYSANTHA COSBY	0.00					-135.00
07/15/2018		*TECHNOLOGY: ADMIN - ELIZABETH	0.00					-60.00
07/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$6,621.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,621.66
Year-to-date Gross	\$204,554.01
Year-to-date Earnings	\$188,018.37