



COMMISSION STATEMENT

August 13, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CHRISTOPHER MILGROOM</u>								
08/06/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200598	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	0.99	0.00	0.99	15.0	0.15
08/06/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200633	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	7.83	0.00	7.83	15.0	1.17
08/06/2018	***-**-2107 - FIGUERAS, DAURIS ACCOUNT #: 07468200945	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	1.46	0.00	1.46	15.0	0.22
08/06/2018	***-**-2111 - SPECTRUM TOURS ACCOUNT #: 07468201048	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	0.14	0.00	0.14	15.0	0.02
08/06/2018	***-**-7574 - G-MEN CORP ACCOUNT #: 07468201148	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	1.78	0.00	1.78	15.0	0.27
07/03/2018	***-**-84IN - CAMPBELL, COLIN ACCOUNT #: 00456000642	CAPITAL APPRECIATION INST - CLASS R BUY 0 Units @0.0000	0.00	0.29	0.00	0.29	15.0	0.04
08/01/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796907	CAPITAL WORLD GROWTH AND INCOME-529 BUY 2 Units @53.3800	100.00	2.75	0.00	2.75	15.0	0.41
08/01/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796907	EUROPACIFIC GROWTH FUND-529A BUY 2 Units @55.8100	100.00	2.75	0.00	2.75	15.0	0.41
08/01/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796907	FUNDAMENTAL INVESTORS-529A BUY 2 Units @66.3900	100.00	2.75	0.00	2.75	15.0	0.41
08/04/2018	***-**-1022 - ZYLKA, VERONICA ACCOUNT #: R078851-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	4.49	0.00	4.49	15.0	0.67
08/06/2018	***-**-8694 - FLUSBERG, ACCOUNT #: R106699-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	104.74	0.00	104.74	15.0	15.71
07/30/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07123000494	INVESCO GLOBAL REAL ESTATE FUND -CL BUY 10 Units @13.3600	127.39	0.96	0.00	0.96	15.0	0.14
08/02/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07123000351	INVESCO GLOBAL REAL ESTATE FUND -CL BUY 1 Units @13.3600	18.36	0.14	0.00	0.14	15.0	0.02
08/13/2018	***-**-67CL - MILGROOM,	JANUS MF TRAILS BUY 0 Units @0.0000	0.00	50.83	0.00	50.83	15.0	7.62
08/06/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07418403711	SMALL CAP VALUE FUND - R2 BUY 0 Units @0.0000	0.00	2.39	0.00	2.39	15.0	0.36
08/06/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07418403731	SMALL CAP VALUE FUND - R2 BUY 0 Units @0.0000	0.00	0.67	0.00	0.67	15.0	0.10



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> 								
08/06/2018	***-**-7574 - G-MEN CORP	SMALL CAP VALUE FUND - R2	0.00	0.10	0.00	0.10	15.0	0.02
	ACCOUNT #: 07418404146	BUY 0 Units @0.0000						
08/01/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @56.3100						
08/02/2018	***-**-67CL - MILGROOM,	TRANSAMERICA SMALL/MID CAP VALUE-C	0.00	15.22	0.00	15.22	15.0	2.28
	ACCOUNT #: 07748008823	BUY 0 Units @0.0000						
08/02/2018	***-**-67CL - MILGROOM,	TRANSAMERICA SMALL/MID CAP VALUE-C	0.00	19.24	0.00	19.24	15.0	2.89
	ACCOUNT #: 07748008142	BUY 0 Units @0.0000						
* CHRISTOPHER MILGROOM SUBTOTAL *			\$545.75	\$222.27	\$0.00	\$222.27		\$33.32
*** OVERRIDE TRANSACTIONS TOTAL ***			\$545.75	\$222.27	\$0.00	\$222.27		\$33.32

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$33.32
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$44.03
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$77.35
Year-to-date Earnings	\$2,507.05