



Concorde Investment Services, LLC

06/13/2017
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COMMISSION STATEMENT June 15, 2017

WILLIAM WALSH
2565 West Maple

BROKER #: W127
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/15/2017	SENGSTOCK, KATHLEEN	**CALVER SMALL CAP FD	0.00	8.73	0.00	8.73	87.0	7.60
05/15/2017	SENGSTOCK, KATHLEEN	**CALVERT FD	0.00	3.09	0.00	3.09	87.0	2.69
05/09/2017	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	6.40	0.00	6.40	87.0	5.57
05/09/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	1.52	0.00	1.52	87.0	1.32
05/09/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	1.08	0.00	1.08	87.0	0.94
05/09/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	10.39	0.00	10.39	87.0	9.04
05/09/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN SMALL CAP GROWTH	0.00	0.21	0.00	0.21	87.0	0.18
06/01/2017	WALSH, CAROLINE	AM FUNDS AMCAP 529A	0.00	21.66	0.00	21.66	87.0	18.84
06/01/2017	WALSH, BENJAMIN	AM FUNDS AMCAP 529A	0.00	22.57	0.00	22.57	87.0	19.64
06/01/2017	WALSH, DALTON	AM FUNDS AMCAP 529A	0.00	24.15	0.00	24.15	87.0	21.01
06/01/2017	WALSH, CAROLINE	AM FUNDS AMERICAN BALANCED 529A	0.00	19.67	0.00	19.67	87.0	17.11
06/01/2017	WALSH, BENJAMIN	AM FUNDS AMERICAN BALANCED 529A	0.00	20.42	0.00	20.42	87.0	17.77
06/01/2017	WALSH, DALTON	AM FUNDS AMERICAN BALANCED 529A	0.00	21.38	0.00	21.38	87.0	18.60
05/09/2017	HANSZ, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	40.84	0.00	40.84	87.0	35.53
06/01/2017	GUDANOWSKI, GERALDINE	AM FUNDS BOND FUND OF AMERICA C	0.00	43.15	0.00	43.15	87.0	37.54
06/01/2017	CHAWLA, MAHINDER	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	8.27	0.00	8.27	87.0	7.19
06/01/2017	WALSH, CAROLINE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	19.23	0.00	19.23	87.0	16.73
06/01/2017	WALSH, BENJAMIN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	19.86	0.00	19.86	87.0	17.28
06/01/2017	WALSH, DALTON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	20.49	0.00	20.49	87.0	17.83
06/01/2017	CHAWLA, MAHINDER	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	3.14	0.00	3.14	87.0	2.73
06/01/2017	SENGSTOCK, DAVID	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	94.80	0.00	94.80	87.0	82.48
06/01/2017	WALSH, CAROLINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	20.45	0.00	20.45	87.0	17.79
06/01/2017	WALSH, BENJAMIN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	21.21	0.00	21.21	87.0	18.45
06/01/2017	WALSH, DALTON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	22.19	0.00	22.19	87.0	19.31
06/01/2017	SENGSTOCK, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	90.33	0.00	90.33	87.0	78.59
06/01/2017	SENGSTOCK, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	32.87	0.00	32.87	87.0	28.60

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	CHAWLA, MAHINDER	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	10.88	0.00	10.88	87.0	9.47
06/01/2017	CHAWLA, MAHINDER	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	6.88	0.00	6.88	87.0	5.99
06/01/2017	SENGSTOCK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	126.00	0.00	126.00	87.0	109.62
06/01/2017	SENGSTOCK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	121.89	0.00	121.89	87.0	106.04
06/01/2017	SENGSTOCK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	44.70	0.00	44.70	87.0	38.89
06/01/2017	SENGSTOCK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	0.85	0.00	0.85	87.0	0.74
06/01/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	13.26	0.00	13.26	87.0	11.54
06/01/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	11.91	0.00	11.91	87.0	10.36
06/01/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	124.66	0.00	124.66	87.0	108.45
06/01/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	121.34	0.00	121.34	87.0	105.57
06/01/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	44.53	0.00	44.53	87.0	38.74
06/01/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.82	0.00	0.82	87.0	0.71
05/09/2017	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.10	0.00	0.10	87.0	0.09
05/09/2017	DARGO, JILL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.04	0.00	1.04	87.0	0.90
05/09/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.87	0.00	12.87	87.0	11.20
05/09/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	31.99	0.00	31.99	87.0	27.83
05/09/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	72.93	0.00	72.93	87.0	63.45
05/09/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.43	0.00	13.43	87.0	11.68
05/09/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.29	0.00	12.29	87.0	10.69
05/09/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	42.76	0.00	42.76	87.0	37.20
05/09/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.53	0.00	14.53	87.0	12.64
05/09/2017	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.95	0.00	3.95	87.0	3.44
05/09/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.35	0.00	0.35	87.0	0.30
06/01/2017	CHAWLA, MAHINDER	AM FUNDS NEW PERSPECTIVE 529A	0.00	13.02	0.00	13.02	87.0	11.33
06/01/2017	CHAWLA, MAHINDER	AM FUNDS NEW PERSPECTIVE 529C	0.00	8.92	0.00	8.92	87.0	7.76
05/09/2017	CHAWLA, SUVIRA	AM FUNDS SMALLCAP WORLD A	0.00	4.27	0.00	4.27	87.0	3.71
05/09/2017	WALSH, DAWN ERIN	BLACKROCK FUNDS FLEXIBLE EQUITY FUN	0.00	4.62	0.00	4.62	87.0	4.02
05/15/2017	SENGSTOCK, KATHLEEN	CALVERT BOND PORT A	0.00	8.98	0.00	8.98	87.0	7.81
05/09/2017	DARGO, JILL	DAVIS NEW YORK VENTURE A	0.00	1.01	0.00	1.01	87.0	0.88
05/09/2017	WALSH, DAWN ERIN	DAVIS NEW YORK VENTURE A	0.00	11.87	0.00	11.87	87.0	10.33
05/09/2017	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	0.97	0.00	0.97	87.0	0.84
05/09/2017	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	9.36	0.00	9.36	87.0	8.14
05/09/2017	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.18	0.00	1.18	87.0	1.03

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/09/2017	SENGSTOCK, JODIE	DAVIS NEW YORK VENTURE C	0.00	12.78	0.00	12.78	87.0	11.12
05/09/2017	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.22	0.00	2.22	87.0	1.93
05/09/2017	SENGSTOCK, DAVID	DAVIS NEW YORK VENTURE C	0.00	12.24	0.00	12.24	87.0	10.65
05/09/2017	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	19.17	0.00	19.17	87.0	16.68
05/09/2017	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.67	0.00	1.67	87.0	1.45
05/08/2017	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	6.61	0.00	6.61	87.0	5.75
05/09/2017	CHAWLA, SUVIRA	FIRST EAGLE GLOBAL C	0.00	43.15	0.00	43.15	87.0	37.54
05/09/2017	WALSH, DAWN ERIN	FRANKLIN SMALL CAP GROWTH A	0.00	7.54	0.00	7.54	87.0	6.56
05/09/2017	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.00	0.00	1.00	87.0	0.87
05/09/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	4.40	0.00	4.40	87.0	3.83
05/09/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.28	0.00	5.28	87.0	4.59
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	87.0	0.01
05/09/2017	WALSH, DAWN ERIN	JH FINANCIAL INDUSTRIES A	0.00	1.69	0.00	1.69	87.0	1.47
05/09/2017	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	9.13	0.00	9.13	87.0	7.94
05/09/2017	DARGO, JILL	LORD ABBETT DEVELOPING GROWTH A	0.00	0.40	0.00	0.40	87.0	0.35
05/09/2017	CHAWLA, MAHINDER	LORD ABBETT DEVELOPING GROWTH FUND	0.00	23.88	0.00	23.88	87.0	20.78
05/09/2017	CHAWLA, SUVIRA	LORD ABBETT DEVELOPING GROWTH FUND	0.00	48.89	0.00	48.89	87.0	42.53
05/09/2017	SENGSTOCK, JODIE	LORD ABBETT DEVELOPING GROWTH FUND	0.00	9.49	0.00	9.49	87.0	8.26
05/09/2017	SENGSTOCK, DAVID	LORD ABBETT DEVELOPING GROWTH FUND	0.00	9.57	0.00	9.57	87.0	8.33
05/09/2017	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.00	0.00	1.00	87.0	0.87
05/09/2017	SENGSTOCK, FRANK	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	1.55	0.00	1.55	87.0	1.35
05/09/2017	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	14.43	0.00	14.43	87.0	12.55
05/09/2017	DARGO, JILL	THORNBURG INT'L VALUE A	0.00	0.27	0.00	0.27	87.0	0.23
05/09/2017	WALSH, DAWN ERIN	THORNBURG INT'L VALUE A	0.00	5.45	0.00	5.45	87.0	4.74
05/09/2017	SENGSTOCK, JODIE	THORNBURG INVT TR INTL VALUE FD CL	0.00	6.62	0.00	6.62	87.0	5.76
05/09/2017	SENGSTOCK, DAVID	THORNBURG INVT TR INTL VALUE FD CL	0.00	8.07	0.00	8.07	87.0	7.02
05/09/2017	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	12.50	0.00	12.50	87.0	10.88
05/09/2017	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.45	0.00	0.45	87.0	0.39
05/26/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.20	0.00	0.20	87.0	0.17
05/26/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.45	0.00	0.45	87.0	0.39
05/26/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.07	0.00	1.07	87.0	0.93
05/26/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.21	0.00	0.21	87.0	0.18
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$1,767.65	\$0.00	\$1,767.65		\$1,537.85

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
06/02/2017	EAGLE LANDSCAPING	GWL GRP ANNUITY 401K	2,722,132.57	809.18	0.00	809.18	87.0	703.99
06/02/2017	MCCLAIN,SMITH & WENZ	GWL GRP ANNUITY 401K	1,272,663.83	540.45	0.00	540.45	87.0	470.19
06/02/2017	IHRIE O'BRIEN PC, 401K	GWL GRP ANNUITY 401K	887,135.37	376.73	0.00	376.73	87.0	327.76
06/02/2017	JACKSON ASSOCIATES, 401K	GWL GRP ANNUITY 401K	417,598.02	177.34	0.00	177.34	87.0	154.29
06/02/2017	INDUSTRIAL FLUID	GWL GRP ANNUITY 401K	517,574.84	219.79	0.00	219.79	87.0	191.22
06/02/2017	WESTSHORE GOLF, RET PLAN	GWL GRP ANNUITY 401K	346,313.60	147.06	0.00	147.06	87.0	127.94
06/02/2017	APPLECON, LLC	GWL GRP ANNUITY 401K	1,269,385.62	539.05	0.00	539.05	87.0	468.97
06/02/2017	RIVERVIEW COMM FED	GWL GRP ANNUITY 401K	262,915.47	111.65	0.00	111.65	87.0	97.14
06/02/2017	STEPHEN HARRIS, DDS, PROFIT	GWL NET ASSET VALUE 401K	1,711,492.99	726.80	0.00	726.80	87.0	632.32
/ /	ACADEMY MANAGEMENT	NW RETIREMENT CLR ADVANTAGE	943,530.42	206.80	0.00	206.80	87.0	179.92
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	1,091,589.69	119.63	0.00	119.63	87.0	104.08
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	2,142,825.93	234.83	0.00	234.83	87.0	204.30
/ /	SHAW & SLAVSKY	NWL FLEX ADVANTAGE	2,828,239.51	619.89	0.00	619.89	87.0	539.30
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	1,614,714.95	353.91	0.00	353.91	87.0	307.90
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	557,049.47	122.09	0.00	122.09	87.0	106.22
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	36,579.62	8.02	0.00	8.02	87.0	6.98
/ /	SHAW & SLAVSKY	NWL POOLED FXD	14,274.70	3.13	0.00	3.13	87.0	2.72
/ /	COMMUNITY HOUSE	NWL POOLED FXD	249.93	0.05	0.00	0.05	87.0	0.04
/ /	DETROIT CONNECTION	NWL RETIREMENT INNOVATOR	465,854.46	122.53	0.00	122.53	87.0	106.60
/ /	DETROIT CONNECTION	NWL SELF DIRECTED BROKERAGE GRP	48,777.06	12.83	0.00	12.83	87.0	11.16
/ /	MARYSVILLE ETHANOL	NWL SELF DIRECTED BROKERAGE GRP	95,284.45	20.88	0.00	20.88	87.0	18.17
* VARIABLE ANNUITIES SUBTOTAL *			\$19,246,182.50	\$5,472.64	\$0.00	\$5,472.64		\$4,761.21
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
05/31/2017	WALSH, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	13.38	0.00	13.38	87.0	11.64
05/31/2017	EVSSON EMP RET PLAN	MUTUAL OF OMAHA INS CO	0.00	176.18	0.00	176.18	87.0	153.28
05/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	583.17	0.00	583.17	87.0	507.36
05/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	63.45	0.00	63.45	87.0	55.20
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$836.18	\$0.00	\$836.18		\$727.48
*** BROKER TRANSACTIONS TOTAL ***			\$19,246,182.50	\$8,076.47	\$0.00	\$8,076.47		\$7,026.54

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<u>ADJUSTMENTS</u>								
06/15/2017		*ADVANCE: ADVANCE	0.00					-1,500.00
06/15/2017		*BANK FEE: WIRE FEE	0.00					-40.00
06/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*OUT OF CYCLE PROCESSING FEE: OUT O	0.00					-100.00
06/15/2017		*RBC: ADMIN	0.00					-25.00
06/15/2017		*TECHNOLOGY: ADMIN - JILL DARGO	0.00					-100.00
06/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-2,340.00

BROKER COMMISSION TOTALS

Broker Transactions	\$7,026.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,340.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,686.54
Year-to-date Gross	\$71,508.38
Year-to-date Earnings	\$61,674.79

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