



# COMMISSION STATEMENT

June 04, 2018

FREDERIC SCARPULLA  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 417  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/22/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063412117	BUY 3 Units @34.4500						
05/22/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063451518	BUY 3 Units @34.4500						
05/22/2018	***-**-0006 - FORSYTH, KATHRYN	AMCAP FUND-C	57.69	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 04000650661	BUY 2 Units @29.9400						
05/24/2018	***-**-8208 - KARNS, SHANNON	AMCAP FUND-C	15.80	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 04000409714	BUY 1 Units @30.0300						
05/24/2018	***-**-8208 - KARNS, SHANNON	AMCAP FUND-C	480.00	4.80	0.00	4.80	80.0	3.84
	ACCOUNT #: 04000409714	BUY 16 Units @30.0300						
05/25/2018	***-**-965P - MCGUIRE, SCOTT	AMERICAN BALANCED FUND-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 1 Units @27.1400						
05/25/2018	***-**-4263 - HARTER, IAN	AMERICAN BALANCED FUND-A	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089461113	BUY 0 Units @27.1400						
05/21/2018	***-**-3834 - SWARTWOUT,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089957234	BUY 2 Units @27.0400						
05/21/2018	***-**-3834 - SWARTWOUT,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089957234	BUY 2 Units @27.0400						
05/21/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 1 Units @27.0400						
05/21/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @27.0400						
05/21/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	1.78	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @27.0400						
05/21/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	6.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00085904181	BUY 0 Units @27.0400						
05/21/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089045192	BUY 1 Units @27.0400						
05/21/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089045192	BUY 1 Units @27.0400						
05/21/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089045192	BUY 1 Units @27.0400						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/21/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @27.0400	40.80	0.41	0.00	0.41	80.0	0.33
05/21/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 0 Units @27.0400	4.00	0.04	0.00	0.04	80.0	0.03
05/21/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 0 Units @27.0400	10.00	0.10	0.00	0.10	80.0	0.08
05/21/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.0400	20.40	0.20	0.00	0.20	80.0	0.16
05/21/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 0 Units @27.0400	4.00	0.04	0.00	0.04	80.0	0.03
05/21/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @27.0400	40.80	0.41	0.00	0.41	80.0	0.33
05/21/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @27.0400	40.80	0.41	0.00	0.41	80.0	0.33
05/21/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 0 Units @27.0400	4.00	0.04	0.00	0.04	80.0	0.03
05/21/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	AMERICAN BALANCED FUND-C BUY 1 Units @27.0400	21.35	0.21	0.00	0.21	80.0	0.17
05/22/2018	***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754	AMERICAN BALANCED FUND-C BUY 7 Units @26.9600	187.00	1.87	0.00	1.87	80.0	1.50
05/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @26.9600	20.00	0.20	0.00	0.20	80.0	0.16
05/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @26.9600	14.00	0.14	0.00	0.14	80.0	0.11
05/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @26.9600	40.80	0.41	0.00	0.41	80.0	0.33
05/22/2018	***-**-1134 - BARTON, WILLIAM ACCOUNT #: 00089244081	AMERICAN BALANCED FUND-C BUY 3 Units @26.9600	87.96	0.88	0.00	0.88	80.0	0.70
05/22/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	AMERICAN BALANCED FUND-C BUY 1 Units @26.9600	19.18	0.19	0.00	0.19	80.0	0.15
05/22/2018	***-**-035S - CAPIZZI, TRACIE ACCOUNT #: 00089679504	AMERICAN BALANCED FUND-C BUY 1 Units @26.9600	37.75	0.38	0.00	0.38	80.0	0.30



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/22/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00089910177	BUY 4 Units @26.9600						
05/25/2018	***-**-398I - GUERRIERI, PAUL	AMERICAN BALANCED FUND-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00086040659	BUY 4 Units @26.9400						
05/25/2018	***-**-8208 - KARNS, SHANNON	AMERICAN BALANCED FUND-C	1,000.00	10.00	0.00	10.00	80.0	8.00
	ACCOUNT #: 04000886858	BUY 37 Units @26.9400						
05/21/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	47.78	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 04000820464	BUY 2 Units @19.1300						
05/21/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	7.96	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04000820464	BUY 0 Units @19.1300						
05/21/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	105.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 00087236607	BUY 10 Units @10.1300						
05/21/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	4.55	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00087236607	BUY 0 Units @10.1300						
05/25/2018	***-**-275P - LAMANNA, DANIEL	AMERICAN MUTUAL FUND-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758347	BUY 1 Units @40.7800						
05/25/2018	***-**-255P - EVANS, JAMES	AMERICAN MUTUAL FUND-A	693.67	6.94	0.00	6.94	80.0	5.55
	ACCOUNT #: 00066759711	BUY 17 Units @40.7800						
05/21/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	1.48	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904181	BUY 0 Units @40.4600						
05/21/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00085904181	BUY 0 Units @40.4600						
05/21/2018	***-**-482I - MARCONI, TODD	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @40.4600						
05/24/2018	***-**-6781 - KARNS, DANIELLE	AMERICAN MUTUAL FUND-C	29.99	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 04000409710	BUY 1 Units @40.2600						
05/24/2018	***-**-6781 - KARNS, DANIELLE	AMERICAN MUTUAL FUND-C	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 04000409710	BUY 0 Units @40.2600						
05/21/2018	***-**-9611 - MACDUFFIE, LINDA	CAPITAL INCOME BUILDER CLASS C	300.00	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: 00087299599	BUY 5 Units @61.3400						
05/21/2018	***-**-0447 - LEVINE, JACQUE	CAPITAL INCOME BUILDER-A	100.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 00084996765	BUY 2 Units @64.2000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/22/2018	***-**-425P - DELGAIZO, LOUISA	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 1 Units @19.8400						
05/22/2018	***-**-535P - DELGAIZO,	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063451518	BUY 1 Units @19.8400						
05/25/2018	***-**-398I - GUERRIERI, PAUL	CAPITAL WORLD BOND FUND-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00086040659	BUY 5 Units @19.2700						
05/21/2018	***-**-425P - MEEKS, PERDITA	CAPITAL WORLD GROWTH AND INCOME FUN	12.50	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 00074210167	BUY 0 Units @56.0000						
05/21/2018	***-**-05IN - RANDALL, ADAM	CAPITAL WORLD GROWTH AND INCOME FUN	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00085941585	BUY 0 Units @52.1600						
05/21/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	96.40	0.96	0.00	0.96	80.0	0.77
	ACCOUNT #: 00087038420	BUY 2 Units @52.1600						
05/21/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	3.60	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00087038420	BUY 0 Units @52.1600						
05/21/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @52.1600						
05/21/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @52.1600						
05/21/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @52.1600						
05/22/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @52.0800						
05/22/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @52.0800						
05/25/2018	***-**-405P - FRISA, ALAN	CAPITAL WORLD GROWTH AND INCOME FUN	12.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00066758344	BUY 0 Units @52.2200						
05/22/2018	***-**-425P - DELGAIZO, LOUISA	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @58.4600						
05/22/2018	***-**-535P - DELGAIZO,	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063451518	BUY 1 Units @58.4600						
05/25/2018	***-**-445P - BURNS, DANIEL	EUROPACIFIC GROWTH FUND-A	19.80	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00066758346	BUY 0 Units @56.9500						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/22/2018	***-**-425P - DELGAIZO, LOUISA	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063412117	BUY 2 Units @64.5300						
05/22/2018	***-**-535P - DELGAIZO,	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063451518	BUY 2 Units @64.5300						
05/25/2018	***-**-495P - HARTER, EDWARD	FUNDAMENTAL INVESTORS-A	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00066759710	BUY 0 Units @63.2000						
05/21/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	2.40	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @63.3900						
05/21/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	9.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00085902226	BUY 0 Units @63.3900						
05/21/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	2.30	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @63.3900						
05/21/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00085904203	BUY 0 Units @63.3900						
05/21/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @63.3900						
05/21/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @63.3900						
05/21/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089051066	BUY 0 Units @63.3900						
05/21/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	10.20	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089051066	BUY 0 Units @63.3900						
05/21/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @63.3900						
05/21/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @63.3900						
05/21/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @63.3900						
05/21/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @63.3900						
05/21/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @63.3900						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @63.1600	7.00	0.07	0.00	0.07	80.0	0.06
05/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @63.1600	20.40	0.20	0.00	0.20	80.0	0.16
05/24/2018	***-**-6171 - ROWE, KEVIN ACCOUNT #: 04000409801	FUNDAMENTAL INVESTORS-C BUY 1 Units @62.9400	53.00	0.53	0.00	0.53	80.0	0.42
05/24/2018	***-**-6171 - ROWE, KEVIN ACCOUNT #: 04000409801	FUNDAMENTAL INVESTORS-C BUY 8 Units @62.9400	480.00	4.80	0.00	4.80	80.0	3.84
05/25/2018	***-**-615P - LE, TU ACCOUNT #: 00075107985	FUNDAMENTAL INVESTORS-C BUY 2 Units @62.7900	150.00	1.50	0.00	1.50	80.0	1.20
05/21/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	INCOME FUND OF AMERICA CLASS C BUY 2 Units @22.7600	45.00	0.45	0.00	0.45	80.0	0.36
05/21/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	INCOME FUND OF AMERICA CLASS C BUY 0 Units @22.7600	1.95	0.02	0.00	0.02	80.0	0.02
05/21/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	INVESTMENT COMPANY OF AMERICA CLASS BUY 1 Units @40.8500	28.90	0.29	0.00	0.29	80.0	0.23
05/21/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @40.8500	3.74	0.04	0.00	0.04	80.0	0.03
05/21/2018	***-**-564I - CARNEY, BARBARA ACCOUNT #: 00089563161	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @40.8500	10.00	0.10	0.00	0.10	80.0	0.08
05/21/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	INVESTMENT COMPANY OF AMERICA CLASS BUY 1 Units @40.8500	20.71	0.21	0.00	0.21	80.0	0.17
05/22/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @40.7300	18.61	0.19	0.00	0.19	80.0	0.15
05/24/2018	***-**-3727 - D'ANGELO, ANDREW ACCOUNT #: 04000409699	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @40.6400	13.00	0.13	0.00	0.13	80.0	0.10
05/24/2018	***-**-3727 - D'ANGELO, ANDREW ACCOUNT #: 04000409699	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @40.6400	20.00	0.20	0.00	0.20	80.0	0.16
05/24/2018	***-**-6781 - KARNS, DANIELLE ACCOUNT #: 04000409710	INVESTMENT COMPANY OF AMERICA CLASS BUY 1 Units @40.6400	29.99	0.30	0.00	0.30	80.0	0.24
05/24/2018	***-**-6781 - KARNS, DANIELLE ACCOUNT #: 04000409710	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @40.6400	4.00	0.04	0.00	0.04	80.0	0.03



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/22/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 0 Units @46.1000						
05/22/2018	***-**-535P - DELGAIZO,	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063451518	BUY 0 Units @46.1000						
05/25/2018	***-**-445P - BURNS, DANIEL	NEW PERSPECTIVE FUND-A	19.80	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00066758346	BUY 0 Units @45.3000						
05/21/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @43.6100						
05/21/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @43.6100						
05/21/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @43.6100						
05/21/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @43.6100						
05/21/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	2.67	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @43.6100						
05/21/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @43.6100						
05/21/2018	***-**-05IN - RANDALL, ADAM	NEW PERSPECTIVE FUND-C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00085941585	BUY 1 Units @43.6100						
05/21/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @43.6100						
05/21/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @43.6100						
05/21/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	5.01	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089051066	BUY 0 Units @43.6100						
05/21/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	10.20	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089051066	BUY 0 Units @43.6100						
05/21/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @43.6100						
05/21/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @43.6100						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/21/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @43.6100	20.40	0.20	0.00	0.20	80.0	0.16
05/21/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @43.6100	2.00	0.02	0.00	0.02	80.0	0.02
05/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @43.5000	7.01	0.07	0.00	0.07	80.0	0.06
05/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @43.5000	20.40	0.20	0.00	0.20	80.0	0.16
05/24/2018	***-**-68IN - RANDALL, JASON ACCOUNT #: 00083798896	NEW PERSPECTIVE FUND-C BUY 8 Units @43.4500	337.00	3.37	0.00	3.37	80.0	2.70
05/24/2018	***-**-1955 - MARCELLUS, JAMES ACCOUNT #: 04000409718	NEW PERSPECTIVE FUND-C BUY 1 Units @43.4500	50.00	0.50	0.00	0.50	80.0	0.40
05/24/2018	***-**-1955 - MARCELLUS, JAMES ACCOUNT #: 04000409718	NEW PERSPECTIVE FUND-C BUY 1 Units @43.4500	23.08	0.23	0.00	0.23	80.0	0.18
05/24/2018	***-**-9004 - MARCELLUS, ACCOUNT #: 04000409785	NEW PERSPECTIVE FUND-C BUY 2 Units @43.4500	100.00	1.00	0.00	1.00	80.0	0.80
05/24/2018	***-**-9004 - MARCELLUS, ACCOUNT #: 04000409785	NEW PERSPECTIVE FUND-C BUY 0 Units @43.4500	17.30	0.17	0.00	0.17	80.0	0.14
05/21/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @65.2900	10.50	0.11	0.00	0.11	80.0	0.09
05/21/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @65.2900	2.80	0.03	0.00	0.03	80.0	0.02
05/21/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW WORLD FUND-C BUY 0 Units @65.2900	21.00	0.21	0.00	0.21	80.0	0.17
05/21/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW WORLD FUND-C BUY 0 Units @65.2900	2.68	0.03	0.00	0.03	80.0	0.02
05/21/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	NEW WORLD FUND-C BUY 1 Units @65.2900	48.20	0.48	0.00	0.48	80.0	0.38
05/21/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	NEW WORLD FUND-C BUY 0 Units @65.2900	1.80	0.02	0.00	0.02	80.0	0.02
05/24/2018	***-**-68IN - RANDALL, JASON ACCOUNT #: 00083798896	NEW WORLD FUND-C BUY 5 Units @64.8900	338.00	3.38	0.00	3.38	80.0	2.70





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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/24/2018	***-**-68IN - RANDALL, JASON	NEW WORLD FUND-C	675.00	6.75	0.00	6.75	80.0	5.40
	ACCOUNT #: 00084905937	BUY 10 Units @64.8900						
05/25/2018	***-**-398I - GUERRIERI, PAUL	NEW WORLD FUND-C	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00086040659	BUY 2 Units @64.7800						
05/25/2018	***-**-445P - BURNS, DANIEL	SMALLCAP WORLD FUND-A	28.60	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00066758346	BUY 0 Units @58.4300						
05/21/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @51.3400						
05/21/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @51.3400						
05/21/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @51.3400						
05/21/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @51.3400						
05/21/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089051066	BUY 0 Units @51.3400						
05/21/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	10.20	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089051066	BUY 0 Units @51.3400						
05/21/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @51.3400						
05/21/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @51.3400						
05/21/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @51.3400						
05/21/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @51.3400						
05/22/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	7.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @51.2500						
05/22/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @51.2500						
05/22/2018	***-**-425P - DELGAIZO, LOUISA	THE BOND FUND OF AMERICA-A	44.50	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 00063412117	BUY 4 Units @12.6300						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/22/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE BOND FUND OF AMERICA-A BUY 4 Units @12.6300	44.50	0.53	0.00	0.53	80.0	0.42
05/25/2018	***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346	THE BOND FUND OF AMERICA-A BUY 2 Units @12.5300	19.80	0.20	0.00	0.20	80.0	0.16
05/21/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 2 Units @12.4400	28.05	0.28	0.00	0.28	80.0	0.22
05/21/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 0 Units @12.4400	3.63	0.04	0.00	0.04	80.0	0.03
05/21/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 0 Units @12.4400	2.66	0.03	0.00	0.03	80.0	0.02
05/21/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 1 Units @12.4400	9.00	0.09	0.00	0.09	80.0	0.07
05/21/2018	***-**-00IN - DIVASTA, JANINE ACCOUNT #: 00063669925	THE GROWTH FUND OF AMERICA-A BUY 4 Units @56.0900	250.00	9.38	0.00	9.38	80.0	7.50
05/21/2018	***-**-425P - MEEKS, PERDITA ACCOUNT #: 00074210167	THE GROWTH FUND OF AMERICA-A BUY 0 Units @56.8400	12.50	0.63	0.00	0.63	80.0	0.50
05/22/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE GROWTH FUND OF AMERICA-A BUY 1 Units @54.1200	66.75	0.80	0.00	0.80	80.0	0.64
05/22/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE GROWTH FUND OF AMERICA-A BUY 1 Units @54.1200	66.75	0.80	0.00	0.80	80.0	0.64
05/25/2018	***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342	THE GROWTH FUND OF AMERICA-A BUY 5 Units @53.3300	250.00	2.50	0.00	2.50	80.0	2.00
05/25/2018	***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344	THE GROWTH FUND OF AMERICA-A BUY 0 Units @53.3300	18.00	0.18	0.00	0.18	80.0	0.14
05/25/2018	***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.3300	72.60	0.73	0.00	0.73	80.0	0.58
05/25/2018	***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.3300	30.00	0.30	0.00	0.30	80.0	0.24
05/25/2018	***-**-945P - VEGA, EDWARD ACCOUNT #: 00066758348	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.3300	50.00	0.50	0.00	0.50	80.0	0.40
05/25/2018	***-**-095P - RIVERA, MIGUEL ACCOUNT #: 00074922243	THE GROWTH FUND OF AMERICA-A BUY 2 Units @53.3300	130.00	1.30	0.00	1.30	80.0	1.04



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/25/2018	***-**-4750 - OSCHMANN, MARK	THE GROWTH FUND OF AMERICA-A	60.59	0.61	0.00	0.61	80.0	0.49
	ACCOUNT #: 00083414110	BUY 1 Units @53.3300						
05/25/2018	***-**-9447 - ZAJONCZKOSKI,	THE GROWTH FUND OF AMERICA-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00087318332	BUY 0 Units @53.3300						
05/25/2018	***-**-4263 - HARTER, IAN	THE GROWTH FUND OF AMERICA-A	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089461113	BUY 0 Units @53.3300						
05/21/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @49.5300						
05/21/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @49.5300						
05/21/2018	***-**-05IN - RANDALL, ADAM	THE GROWTH FUND OF AMERICA-C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00085941585	BUY 1 Units @49.5300						
05/21/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @49.5300						
05/21/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @49.5300						
05/21/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @49.5300						
05/21/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @49.5300						
05/21/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @49.5300						
05/21/2018	***-**-482I - MARCONI, TODD	THE GROWTH FUND OF AMERICA-C	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089570936	BUY 0 Units @49.5300						
05/21/2018	***-**-312S - DISCH, JASMINE	THE GROWTH FUND OF AMERICA-C	20.72	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00089583477	BUY 0 Units @49.5300						
05/22/2018	***-**-435P - BOSCO, SOFIA	THE GROWTH FUND OF AMERICA-C	176.00	1.76	0.00	1.76	80.0	1.41
	ACCOUNT #: 00073003754	BUY 4 Units @49.2900						
05/22/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @49.2900						
05/22/2018	***-**-1134 - BARTON, WILLIAM	THE GROWTH FUND OF AMERICA-C	60.17	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 00089319958	BUY 1 Units @49.2900						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/22/2018	***-**-312S - DISCH, JASMINE	THE GROWTH FUND OF AMERICA-C	18.61	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 00089583477	BUY 0 Units @49.2900						
05/22/2018	***-**-0006 - FORSYTH, KATHRYN	THE GROWTH FUND OF AMERICA-C	86.54	0.87	0.00	0.87	80.0	0.70
	ACCOUNT #: 04000650661	BUY 2 Units @49.2900						
05/24/2018	***-**-6375 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	24.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 04000598159	BUY 0 Units @49.3700						
05/24/2018	***-**-6375 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 04000598159	BUY 2 Units @49.3700						
05/24/2018	***-**-68IN - RANDALL, JASON	THE GROWTH FUND OF AMERICA-C	1,000.00	10.00	0.00	10.00	80.0	8.00
	ACCOUNT #: 00088870923	BUY 20 Units @49.3700						
05/24/2018	***-**-3727 - D'ANGELO, ANDREW	THE GROWTH FUND OF AMERICA-C	13.00	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 04000409699	BUY 0 Units @49.3700						
05/24/2018	***-**-3727 - D'ANGELO, ANDREW	THE GROWTH FUND OF AMERICA-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 04000409699	BUY 0 Units @49.3700						
05/24/2018	***-**-8208 - KARNS, SHANNON	THE GROWTH FUND OF AMERICA-C	15.80	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 04000409714	BUY 0 Units @49.3700						
05/24/2018	***-**-8208 - KARNS, SHANNON	THE GROWTH FUND OF AMERICA-C	480.00	4.80	0.00	4.80	80.0	3.84
	ACCOUNT #: 04000409714	BUY 10 Units @49.3700						
05/24/2018	***-**-1955 - MARCELLUS, JAMES	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000409718	BUY 1 Units @49.3700						
05/24/2018	***-**-1955 - MARCELLUS, JAMES	THE GROWTH FUND OF AMERICA-C	23.08	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 04000409718	BUY 0 Units @49.3700						
05/24/2018	***-**-9004 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 04000409785	BUY 2 Units @49.3700						
05/24/2018	***-**-9004 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	17.30	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 04000409785	BUY 0 Units @49.3700						
05/24/2018	***-**-6171 - ROWE, KEVIN	THE GROWTH FUND OF AMERICA-C	53.00	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 04000409801	BUY 1 Units @49.3700						
05/24/2018	***-**-6171 - ROWE, KEVIN	THE GROWTH FUND OF AMERICA-C	480.00	4.80	0.00	4.80	80.0	3.84
	ACCOUNT #: 04000409801	BUY 10 Units @49.3700						
05/25/2018	***-**-7186 - VELLA, DOMENICO	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000248474	BUY 1 Units @49.3100						



# COMMISSION STATEMENT

June 04, 2018

FREDERIC SCARPULLA  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 417  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/25/2018	***-**-2678 - VELLA, VINCENZO	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000248491	BUY 1 Units @49.3100						
05/21/2018	***-**-8776 - LEVINE, TODD	THE INCOME FUND OF AMERICA-A	100.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 00067996697	BUY 4 Units @24.1600						
05/25/2018	***-**-195P - OZMINKOWSKI,	THE INVESTMENT COMPANY OF AMERICA-A	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00066758342	BUY 6 Units @40.9700						
05/25/2018	***-**-445P - BURNS, DANIEL	THE INVESTMENT COMPANY OF AMERICA-A	28.60	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00066758346	BUY 1 Units @40.9700						
05/25/2018	***-**-945P - VEGA, EDWARD	THE INVESTMENT COMPANY OF AMERICA-A	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00066758348	BUY 1 Units @40.9700						
05/25/2018	***-**-965P - MCGUIRE, SCOTT	THE INVESTMENT COMPANY OF AMERICA-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 0 Units @40.9700						
05/21/2018	***-**-00IN - DIVASTA, JANINE	WASHINGTON MUTUAL INVESTORS FUND-A	250.00	9.38	0.00	9.38	80.0	7.50
	ACCOUNT #: 00063669925	BUY 5 Units @48.8600						
05/25/2018	***-**-415P - MALOVICS, LASZLO	WASHINGTON MUTUAL INVESTORS FUND-A	500.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00066758345	BUY 11 Units @46.2200						
05/25/2018	***-**-445P - BURNS, DANIEL	WASHINGTON MUTUAL INVESTORS FUND-A	30.80	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00066758346	BUY 1 Units @46.2200						
05/21/2018	***-**-482I - MARCONI, TODD	WASHINGTON MUTUAL INVESTORS FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @46.0200						
05/22/2018	***-**-435P - BOSCO, SOFIA	WASHINGTON MUTUAL INVESTORS FUND-C	187.00	1.87	0.00	1.87	80.0	1.50
	ACCOUNT #: 00073003754	BUY 4 Units @45.8000						
05/22/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @45.8000						
05/25/2018	***-**-398I - GUERRIERI, PAUL	WASHINGTON MUTUAL INVESTORS FUND-C	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00086040659	BUY 3 Units @45.5800						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$13,968.72</b>	<b>\$161.78</b>	<b>\$0.00</b>	<b>\$161.78</b>		<b>\$129.41</b>



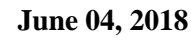
# COMMISSION STATEMENT

June 04, 2018

FREDERIC SCARPULLA  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 417  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
05/22/2018	***-**-435P - BOSCO, SOFIA	AMERICAN BALANCED FUND-529C	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00067522496	BUY 9 Units @27.0900						
05/31/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 AGGR AGE-BASE C	138.52	1.39	0.00	1.39	80.0	1.11
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
06/04/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	138.52	1.39	0.00	1.39	80.0	1.11
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
05/31/2018	***-**-80IN - MOYER, COLLEEN	NYA JPM 529 MOD AGE-BASED A	50.00	1.52	0.00	1.52	80.0	1.22
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
05/31/2018	***-**-3758 - CONNORS, DAVID	NYA JPM 529 MOD GROWTH POR C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 77162702001	BUY 0 Units @0.0000						
05/31/2018	***-**-6793 - ESTEP, ARDEN	NYA JPM 529 MOD GROWTH POR C	50.00	0.50	0.00	0.50	80.0	0.40
		BUY 0 Units @0.0000						
05/31/2018	***-**-275P - ROBERTS,	NYA JPM 529 MOD GROWTH POR C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 77891325801	BUY 0 Units @0.0000						
<b>* 529 PLAN SUBTOTAL *</b>			<b>\$677.04</b>	<b>\$7.80</b>	<b>\$0.00</b>	<b>\$7.80</b>		<b>\$6.24</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/31/2018	***-**-63IR - DIAMOND, ANN	FEDERATED STRATEGIC INCOME FUND CLA	0.00	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
05/31/2018	***-**-44IR - ROBERTSON,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR010558	BUY 1 Units @0.0000						
05/31/2018	***-**-721I - TABER, FBO LISA	FRANKLIN INCOME FUND CLASS A	0.00	1.14	0.00	1.14	80.0	0.91
	ACCOUNT #: LSR010434	BUY 1 Units @0.0000						
05/31/2018	***-**-721I - TABER, FBO LISA	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	2.34	0.00	2.34	80.0	1.87
	ACCOUNT #: LSR010434	BUY 1 Units @0.0000						
05/31/2018	***-**-63IR - DIAMOND, ANN	LORD ABBETT SHORT DURATION INCOME C	0.00	0.26	0.00	0.26	80.0	0.21
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
05/31/2018	***-**-63IR - DIAMOND, ANN	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.69	0.00	0.69	80.0	0.55
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
05/31/2018	***-**-92IR - CHEN, CYNTHIA	PIMCO TOTAL RETURN CLASS A	0.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: LSR011412	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$5.30</b>	<b>\$0.00</b>	<b>\$5.30</b>		<b>\$4.23</b>



BROKER #: 417  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>ADJUSTMENTS (continued)</div>								
*** BROKER TRANSACTIONS TOTAL ***			\$14,645.76	\$174.88	\$0.00	\$174.88		\$139.88
<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$139.88
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$70.39
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					<div>Net Commission Earned</div>			\$210.27
					<div>Year-to-date Earnings</div>			\$78,999.38