



# COMMISSION STATEMENT

## May 07, 2018

JAMES LOMBARDO  
231 Hill Road  
Rome, NY 13441

BROKER #: A08  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/16/2018	***-**-4379 - MATICIC, JOSEPH	PUTNAM EQUITY INCOME FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00461190200	BUY 2 Units @25.9600						
04/27/2018	***-**-8806 - MABRY, REBECCA	THE BOND FUND OF AMERICA-A	75.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 00082729374	BUY 6 Units @13.0000						
04/23/2018	***-**-1748 - LOMBARDO, JAMES	THE GROWTH FUND OF AMERICA-A	100.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00083088053	BUY 2 Units @55.2500						
04/27/2018	***-**-8806 - MABRY, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 2 Units @47.8300						
* MUTUAL FUNDS SUBTOTAL *			\$300.00	\$12.56	\$0.00	\$12.56		\$10.05
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
05/07/2018	***-**-13CL - BERG, C, CLIENTS	NEW YORK 529 TRAILS	0.00	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 77450911001	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$0.00	\$0.27	\$0.00	\$0.27		\$0.22
*** BROKER TRANSACTIONS TOTAL ***			\$300.00	\$12.83	\$0.00	\$12.83		\$10.27

### **BROKER COMMISSION TOTALS**

Broker Transactions	\$10.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$41.69
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$51.96
Year-to-date Earnings	\$2,781.57