



COMMISSION STATEMENT

April 16, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/11/2018	***-**-752I - BRODER, RUTH	FIDELITY ADV BALANCED CL A	1,000.01	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR010670	SELL 48 Units @20.8300						
04/11/2018	***-**-674I - RAMSEY, MARION	JOHN HANCOCK TECHNICAL OPPS CL A	21.74	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 2 Units @12.1000						
04/10/2018	***-**-80UT - WILCOX UTMA CT,	PACIFIC FUNDS PORT OP AGGR-GROWTH C	100.00	1.00	0.00	1.00	70.0	0.70
	ACCOUNT #: LSR012264	BUY 6 Units @16.7300						
04/02/2018	***-**-31RI - BRUNO, CAROL	PUTNAM EQUITY INCOME FUND-A	100.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 00551361268	BUY 4 Units @24.8900						
04/11/2018	***-**-674I - RAMSEY, MARION	PUTNAM EQUITY INCOME FUND-A	61.34	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 3 Units @24.1500						
04/11/2018	***-**-674I - RAMSEY, MARION	PUTNAM HIGH YIELD ADVANTAGE-A	141.68	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 24 Units @5.8400						
* MUTUAL FUNDS SUBTOTAL *			\$1,424.77	\$6.00	\$18.00	\$-12.00		\$-13.80
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
04/13/2018	***-**-76IN - FENGLER, KERI	SECURITY BENEFIT VUL TRAILS	0.00	10.51	0.00	10.51	70.0	7.36
	ACCOUNT #: 46762776	BUY 1 Units @0.0000						
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$10.51	\$0.00	\$10.51		\$7.36
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/16/2018	***-**-674I - RAMSEY, MARION	JOHN HANCOCK TECHNICAL OPPS CL A	0.00	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: LSR012275	BUY 1 Units @0.0000						
04/02/2018	***-**-04UT - BRODER, HARRISON	PUTNAM EQUITY INCOME FUND-A	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: 00551342997	BUY 0 Units @0.0000						
04/02/2018	***-**-54UT - BRODER, JOSHUA	PUTNAM EQUITY INCOME FUND-A	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: 00551342999	BUY 0 Units @0.0000						
04/02/2018	***-**-31RI - BRUNO, CAROL	PUTNAM EQUITY INCOME FUND-A	0.00	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: 00551361268	BUY 0 Units @0.0000						
04/02/2018	***-**-99UT - BRODER, ZACHARY	PUTNAM EQUITY INCOME FUND-B	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: 00551342992	BUY 0 Units @0.0000						
04/02/2018	***-**-04UT - BRODER, HARRISON	PUTNAM EQUITY INCOME FUND-B	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: 00551342997	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/02/2018	***-**-54UT - BRODER, JOSHUA	PUTNAM EQUITY INCOME FUND-B	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: 00551342999	BUY 0 Units @0.0000						
04/02/2018	***-**-725I - SHEIK, JONATHAN	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	2.46	0.00	2.46	70.0	1.72
	ACCOUNT #: 00551329386	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$3.48	\$0.00	\$3.48		\$2.45
		*** BROKER TRANSACTIONS TOTAL ***	\$1,424.77	\$19.99	\$18.00	\$1.99		\$-3.99

BROKER COMMISSION TOTALS

Broker Transactions	\$-3.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$-3.99
Year-to-date Earnings	\$15,759.05