

COMMISSION STATEMENT

May 31, 2018

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #: W11

BRANCH: FULCRUM GROUP

COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/01/2018	75501345 WINNICK, JOELY	TEST AIM	S	28,006.00	20.00	0.00	20.00	55.0	0.00
		* MUTUAL FUNDS SUBTOTAL *		\$28,006.00	\$20.00	\$0.00	\$20.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>									
05/01/2018	75501342 WINNICK, HILLARY	ABBVIE INC	B	10,136.36	20.00	0.00	20.00	55.0	0.00
05/01/2018	75501342 WINNICK, HILLARY	ABBVIE INC	S	-10,136.40	-20.00	0.00	-20.00	55.0	0.00 C
05/01/2018	75501342 WINNICK, HILLARY	ABBVIE INC	B	10,136.36	20.00	0.00	20.00	55.0	0.00
05/01/2018	75501342 WINNICK, HILLARY	ELLIS PERRY INTERNATIONAL INC	S	38,128.50	20.00	0.00	20.00	55.0	0.00
05/02/2018	75501342 WINNICK, HILLARY	WHIRLPOOL CORP	B	15,449.94	20.00	0.00	20.00	55.0	0.00
05/18/2018	75501342 WINNICK, HILLARY	MACQUARIE INFRASTRUCTURE CORPORATIO	B	36,818.00	20.00	0.00	20.00	55.0	0.00
05/18/2018	75501342 WINNICK, HILLARY	OCWEN FINANCIAL CORPORATION	S	23,264.00	20.00	0.00	20.00	55.0	0.00
05/01/2018	75501345 WINNICK, JOELY	ABBVIE INC	B	10,143.29	20.00	0.00	20.00	55.0	0.00
05/02/2018	75501345 WINNICK, JOELY	WHIRLPOOL CORP	B	15,449.94	20.00	0.00	20.00	55.0	0.00
05/18/2018	75501345 WINNICK, JOELY	MACQUARIE INFRASTRUCTURE CORPORATIO	B	36,818.00	20.00	0.00	20.00	55.0	0.00
05/18/2018	75501345 WINNICK, JOELY	OCWEN FINANCIAL CORPORATION	S	23,264.00	20.00	0.00	20.00	55.0	0.00
05/02/2018	QGV006075 PARTNERS, F/A/O:	MYRIAD GENETICS INC	B	43,650.00	60.00	0.00	60.00	55.0	33.00
05/04/2018	QGV006075 PARTNERS, F/A/O:	CHEMBIO DIAGNOSTICS INC COM PAR \$0	B	15,189.84	75.16	0.00	75.16	55.0	41.34
05/18/2018	QGV006075 PARTNERS, F/A/O:	BRINK'S COMPANY	S	-141,600.00	100.00	0.00	100.00	55.0	55.00
05/03/2018	QGV006182 NATIONAL FINANCIAL	ADIANT PLC ORDINARY SHARES	S	-362,629.15	195.00	0.00	195.00	55.0	107.25
05/03/2018	QGV006182 NATIONAL FINANCIAL	NVENT ELECTRIC PLC ORDINARY SHARES	B	1,473,306.25	1,875.00	0.00	1,875.00	55.0	1,031.25
05/08/2018	QGV006430 JPMORGAN CLEARING	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-28,577.50	57.50	0.00	57.50	55.0	31.63
05/11/2018	QGV006430 JPMORGAN CLEARING	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-44,730.00	90.00	0.00	90.00	55.0	49.50
05/15/2018	QGV006430 JPMORGAN CLEARING	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-18,389.00	51.80	0.00	51.80	55.0	28.49
05/18/2018	QGV006430 JPMORGAN CLEARING	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-144,756.49	290.90	0.00	290.90	55.0	160.00
05/21/2018	QGV006430 JPMORGAN CLEARING	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-23,756.60	51.62	0.00	51.62	55.0	28.39
05/08/2018	QGV006448 MORGAN STANLEY	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-28,577.50	57.50	0.00	57.50	55.0	31.63
05/10/2018	QGV006448 MORGAN STANLEY	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-19,880.00	50.40	0.00	50.40	55.0	27.72
05/14/2018	QGV006448 MORGAN STANLEY	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-7,455.00	15.00	0.00	15.00	55.0	0.00
05/14/2018	QGV006448 MORGAN STANLEY	ISTAR INC PFD SER I DIV-7.5% DIV FR S		7,455.00	-15.00	0.00	-15.00	55.0	0.00 C
05/14/2018	QGV006448 MORGAN STANLEY	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-7,455.00	60.00	0.00	60.00	55.0	33.00
05/16/2018	QGV006448 MORGAN STANLEY	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-11,955.35	52.91	0.00	52.91	55.0	29.10

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

05/18/2018	QGV006448	MORGAN STANLEY	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-144,731.61	290.85	0.00	290.85	55.0	159.97
05/01/2018	QGW031098	KOPIN, BENJAMIN	ABBVIE INC	B	10,109.88	51.00	0.00	51.00	55.0	28.05
04/27/2018	QGW031106	TIMYAN, PHILIP	CIT GROUP INC COM	B	5,281.00	51.00	0.00	51.00	55.0	28.05
05/01/2018	QGW031106	TIMYAN, PHILIP	ABBVIE INC	B	10,109.88	51.00	0.00	51.00	55.0	28.05
05/29/2018	QGW031106	TIMYAN, PHILIP	EASTERN MICH FINL CORP COM	B	27,829.98	51.00	0.00	51.00	55.0	28.05
05/01/2018	QGW031130	KOPIN, BENJAMIN	ABBVIE INC	B	10,109.88	51.00	0.00	51.00	55.0	28.05
05/01/2018	QGW031148	KOPIN, ZACHARY	ABBVIE INC	B	10,109.88	51.00	0.00	51.00	55.0	28.05
05/01/2018	QGW031155	KOPIN, JOSHUA	ABBVIE INC	B	10,109.88	51.00	0.00	51.00	55.0	28.05
05/01/2018	QGW031163	KOPIN, JOSHUA	ABBVIE INC	B	10,109.88	51.00	0.00	51.00	55.0	28.05
05/03/2018	QGW031163	KOPIN, JOSHUA	WHIRLPOOL CORP	B	15,072.55	51.00	0.00	51.00	55.0	28.05
05/01/2018	QGW031171	KOPIN, ZACHARY	ABBVIE INC	B	10,109.88	51.00	0.00	51.00	55.0	28.05
05/03/2018	QGW031171	KOPIN, ZACHARY	WHIRLPOOL CORP	B	15,072.55	51.00	0.00	51.00	55.0	28.05
05/14/2018	QGW034852	HOLMES, MEGAN	ULTRA PETROLEUM CORP REGISTERED SHS B	B	23,000.00	100.00	0.00	100.00	55.0	55.00
05/24/2018	QGW034852	HOLMES, MEGAN	ABBVIE INC	B	10,187.61	55.00	0.00	55.00	55.0	30.25
* STOCKS SUBTOTAL *					\$931,792.73	\$4,254.64	\$0.00	\$4,254.64		\$2,241.07

PRODUCT CATEGORY: OPTIONS

04/30/2018	QGW034852	HOLMES, MEGAN		CALL 100 OVERSTOCK COM INC DEL COM S	-1,780.00	75.00	0.00	75.00	55.0	41.25
05/14/2018	QGW034852	HOLMES, MEGAN		CALL 100 OVERSTOCK COM INC DEL COM S	-1,100.00	75.00	0.00	75.00	55.0	41.25
05/21/2018	QGW034852	HOLMES, MEGAN		CALL 100 OVERSTOCK COM INC DEL COM S	-1,100.00	75.00	0.00	75.00	55.0	41.25
05/29/2018	QGW034852	HOLMES, MEGAN		CALL 100 OVERSTOCK COM INC DEL COM S	-1,080.00	75.00	0.00	75.00	55.0	41.25
				* OPTIONS SUBTOTAL *	\$-5,060.00	\$300.00	\$0.00	\$300.00		\$165.00
				*** BROKER TRANSACTIONS TOTAL ***	\$954,738.73	\$4,574.64	\$0.00	\$4,574.64		\$2,406.07

ADJUSTMENTS

05/31/2018		FEDEX CHARGE: OCHOA		0.00	0.00	0.00	0.00		-13.73
05/31/2018		COMMISSION INCOME: ADJ FOR SOFT DOL		0.00	0.00	0.00	0.00		-347.65
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-361.38

BROKER COMMISSION TOTALS

Broker Transactions	\$2,406.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-361.38
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.72
SIPC Assessment	\$-11.44

Check Amount	\$2,027.53
Year-to-date Earnings	\$20,306.25