

COMMISSION STATEMENT

July 31, 2018

JAY TOMLINSON
474 GREGORY AVENUEBROKER #: I50
BRANCH: HOME OFFICE

WEEHAWKEN, NJ 07086

COMMISSION STATEMENT for JAY TOMLINSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/10/2018	QGV004229	INTERACTIVE BROKERS	CATASYS INC COM PAR\$.0001 2017	S	-44,784.64	192.60	10.00	182.60	124.82
07/10/2018	QGV004229	INTERACTIVE BROKERS	CATASYS INC COM PAR\$.0001 2017	S	44,784.64	-192.60	-10.00	-182.60	-124.82 C
07/10/2018	QGV004229	INTERACTIVE BROKERS	CATASYS INC COM PAR\$.0001 2017	S	-44,784.64	128.40	10.00	118.40	79.88
07/11/2018	QGV004229	INTERACTIVE BROKERS	CATASYS INC COM PAR\$.0001 2017	S	-51,024.14	159.80	10.00	149.80	101.86
07/20/2018	QGW001778	INTERACTIVE BROKERS	BONANZA CREEK ENERGY INC COM NEW IS	S	-81,958.17	69.84	10.00	59.84	38.89
07/20/2018	QGW001778	INTERACTIVE BROKERS	EAGLE ENERGY INC SHS LKD TO ISHS MS	S	-20,186.40	390.00	10.00	380.00	263.00
07/20/2018	QGW001778	INTERACTIVE BROKERS	MERRIMACK PHARMACEUTICALS INC COM N	S	-51,231.82	197.00	10.00	187.00	127.90
07/23/2018	QGW001778	INTERACTIVE BROKERS	EAGLE ENERGY INC SHS LKD TO ISHS MS	S	-15,781.50	315.00	10.00	305.00	210.50
07/23/2018	QGW001778	INTERACTIVE BROKERS	ONCOSEC MED INC COM NEW	S	-59,121.00	675.00	10.00	665.00	462.50
07/23/2018	QGW001778	INTERACTIVE BROKERS	VISTAGEN THERAPEUTICS INC COM SHS N	S	-70,215.62	779.25	10.00	769.25	535.48
07/23/2018	QGW001778	INTERACTIVE BROKERS	XENON PHARMACEUTICALS INC COM ISIN#	S	-51,323.00	150.00	10.00	140.00	95.00
07/24/2018	QGW001778	INTERACTIVE BROKERS	ONCOSEC MED INC COM NEW	S	-27,434.00	300.00	10.00	290.00	200.00
07/24/2018	QGW001778	INTERACTIVE BROKERS	VISTAGEN THERAPEUTICS INC COM SHS N	S	-63,531.71	720.25	10.00	710.25	494.18
07/24/2018	QGW001778	INTERACTIVE BROKERS	VISTAGEN THERAPEUTICS INC COM SHS N	S	-31,746.00	1,419.80	10.00	1,409.80	983.86
07/24/2018	QGW001778	INTERACTIVE BROKERS	VISTAGEN THERAPEUTICS INC COM SHS N	S	31,746.00	-1,419.80	-10.00	-1,409.80	-983.86 C
07/24/2018	QGW001778	INTERACTIVE BROKERS	XENON PHARMACEUTICALS INC COM ISIN#	S	-292.50	0.60	10.00	-9.40	-9.58
07/25/2018	QGW001778	INTERACTIVE BROKERS	MEDICENNA THERAPEUTICS CORP REGISTE	S	-2,883.60	75.00	10.00	65.00	42.50
07/25/2018	QGW001778	INTERACTIVE BROKERS	XENON PHARMACEUTICALS INC COM ISIN#	S	-77,364.27	159.64	10.00	149.64	101.75
07/26/2018	QGW001778	INTERACTIVE BROKERS	XENON PHARMACEUTICALS INC COM ISIN#	S	-68,103.75	139.70	10.00	129.70	87.79
* STOCKS SUBTOTAL *					<u>\$-685,236.12</u>	<u>\$4,259.48</u>	<u>\$150.00</u>	<u>\$4,109.48</u>	<u>\$2,831.65</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$-685,236.12</u>	<u>\$4,259.48</u>	<u>\$150.00</u>	<u>\$4,109.48</u>	<u>\$2,831.65</u>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
07/31/2018		ADVANCE: ADVANCE 08/10/2018		0.00	0.00	0.00	0.00		-7,000.00
07/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
07/31/2018		OTHER: OTHER		0.00	0.00	0.00	0.00		-154.44
07/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
07/31/2018		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-425.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-7,607.44

BROKER COMMISSION TOTALS

Broker Transactions	\$2,831.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-7,607.44
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,451.84
FINRA Assessment	\$-5.32
SIPC Assessment	\$-10.65

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-6,243.60
Year-to-date Earnings	\$-3,669.22