



Concorde Investment Services, LLC

10/11/2017

Page No. 1

# COMMISSION STATEMENT

October 15, 2017

NATHANIEL KUHN  
612 S. Bristol Lane

BROKER #: K134  
BRANCH: KUHN FINANCIAL, INC

Arlington Heights, IL 60005-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/02/2017	KOVACH, ERIC	AM FUNDS AMCAP A	25.00	1.06	0.00	1.06	90.0	0.95
10/02/2017	RAPATA, DANIEL	AM FUNDS AMCAP A	50.00	1.38	0.00	1.38	90.0	1.24
10/02/2017	SANTOS, LOURDES	AM FUNDS AMERICAN BALANCED C	100.00	1.00	0.00	1.00	90.0	0.90
10/02/2017	FUSCO, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	150.00	5.63	0.00	5.63	90.0	5.07
10/02/2017	RAPATA, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	90.0	1.24
10/02/2017	RAPATA, DANIEL	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.38	0.00	1.38	90.0	1.24
10/02/2017	ROLLINGER, LAUREN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	2.25
10/02/2017	WIRTZ, GRETCHEN	AM FUNDS GROWTH AND INCOME 529A	100.00	4.25	0.00	4.25	90.0	3.83
09/25/2017	JOHNSON, LISA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
10/02/2017	FUSCO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
10/02/2017	LIEBERMAN, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
10/02/2017	KOVACH, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	25.00	1.06	0.00	1.06	90.0	0.95
10/02/2017	MANSKE, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
10/02/2017	ROLLINGER, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
10/02/2017	KOVACH, ERIC	AM FUNDS ICA A	25.00	1.06	0.00	1.06	90.0	0.95
10/02/2017	RAPATA, DANIEL	AM FUNDS ICA A	50.00	1.38	0.00	1.38	90.0	1.24
10/02/2017	MANSKE, DONNA	AM FUNDS ICA A	50.00	2.50	0.00	2.50	90.0	2.25
10/02/2017	LIEBERMAN, DAVID	AM FUNDS SMALLCAP WORLD A	50.00	1.88	0.00	1.88	90.0	1.69
10/02/2017	KOVACH, ERIC	AM FUNDS SMALLCAP WORLD A	25.00	1.06	0.00	1.06	90.0	0.95
10/02/2017	RAPATA, DANIEL	AM FUNDS SMALLCAP WORLD A	50.00	1.38	0.00	1.38	90.0	1.24
/ /	HOGLUND, KRISTEN	HARTFORD BALANCED ALLOCATION FUND C	0.00	0.14	0.00	0.14	90.0	0.13
/ /	WILLIS, JUDE	HARTFORD BALANCED ALLOCATION FUND C	0.00	5.20	0.00	5.20	90.0	4.68
/ /	SANTOS, LOURDES	HARTFORD CAPITAL APPRECIATION C	0.00	2.97	0.00	2.97	90.0	2.67
/ /	MEYER, WILLIAM	HARTFORD CHECKS AND BALANCES FUND A	0.00	5.72	0.00	5.72	90.0	5.15
/ /	LOPEZ, JOSE	HARTFORD CHECKS AND BALANCES FUND A	0.00	6.00	0.00	6.00	90.0	5.40
/ /	RAY, SCOTT	HARTFORD CONSERVATIVE ALLOC C	0.00	2.72	0.00	2.72	90.0	2.45

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	SANTOS, LOURDES	HARTFORD DIVIDEND & GROWTH C	0.00	5.80	0.00	5.80	90.0	5.22
/ /	BORJA, AIDA	HARTFORD DIVIDEND & GROWTH C	0.00	8.65	0.00	8.65	90.0	7.79
10/02/2017	SANTOS, LOURDES	HARTFORD DIVIDEND & GROWTH C	50.00	0.50	0.00	0.50	90.0	0.45
10/02/2017	BORJA, AIDA	HARTFORD DIVIDEND & GROWTH C	50.00	0.50	0.00	0.50	90.0	0.45
/ /	SANTOS, JAIMEE	HARTFORD FLOATING RATE C	0.00	10.74	0.00	10.74	90.0	9.67
/ /	LIEBERMAN, SHARON	HARTFORD GROWTH ALLOCATION A	0.00	4.57	0.00	4.57	90.0	4.11
/ /	BAXTER, KIMBERLY	HARTFORD GROWTH ALLOCATION A	0.00	0.25	0.00	0.25	90.0	0.23
/ /	EAR, SIEV	HARTFORD GROWTH ALLOCATION A	0.00	2.25	0.00	2.25	90.0	2.03
/ /	STEFFEN, NATHAN	HARTFORD GROWTH ALLOCATION A	0.00	4.89	0.00	4.89	90.0	4.40
/ /	BIEGERT, LAUREN	HARTFORD GROWTH ALLOCATION A	0.00	12.51	0.00	12.51	90.0	11.26
/ /	FLORES, KARINA	HARTFORD GROWTH ALLOCATION A	0.00	0.69	0.00	0.69	90.0	0.62
10/02/2017	LIEBERMAN, SHARON	HARTFORD GROWTH ALLOCATION A	100.00	4.75	0.00	4.75	90.0	4.28
/ /	LIEBERMAN, SHARON	HARTFORD GROWTH ALLOCATION B	0.00	0.02	0.00	0.02	90.0	0.02
/ /	STEFFEN, NATHAN	HARTFORD GROWTH OPP A	0.00	1.80	0.00	1.80	90.0	1.62
/ /	DELLAPORTAS, VASILIS	HARTFORD TOTAL RETURN BOND A	0.00	1.81	0.00	1.81	90.0	1.63
/ /	SANTOS, LOURDES	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.38	0.00	4.38	90.0	3.94
/ /	BORJA, AIDA	HARTFORD TOTAL RETURN BOND FUND C	0.00	5.84	0.00	5.84	90.0	5.26
10/02/2017	SANTOS, LOURDES	HARTFORD TOTAL RETURN BOND FUND C	50.00	0.50	0.00	0.50	90.0	0.45
10/02/2017	BORJA, AIDA	HARTFORD TOTAL RETURN BOND FUND C	50.00	0.50	0.00	0.50	90.0	0.45
/ /	VOLKOVER, SUSAN	UB TRUST FUND 60 A	0.00	1.50	0.00	1.50	90.0	1.35
/ /	VOLKOVER, SUSAN	UB TRUST FUND 60 A	0.00	21.20	0.00	21.20	90.0	19.08
09/20/2017	VOLKOVER, SUSAN	UB TRUST FUND 60 A	50.00	1.50	0.00	1.50	90.0	1.35
/ /	REHMAN, AFSHAN	UB TRUST FUND 80 A	0.00	5.67	0.00	5.67	90.0	5.10
/ /	REHMAN, AFSHAN	UB TRUST FUND 80 A	0.00	1.20	0.00	1.20	90.0	1.08
/ /	REHMAN, AFSHAN	UB TRUST FUND 80 A	0.00	1.20	0.00	1.20	90.0	1.08
/ /	GERALDS, PAMELA	UB TRUST FUND 80 A	0.00	1.75	0.00	1.75	90.0	1.58
09/11/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	75.00	2.25	0.00	2.25	90.0	2.03
09/11/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
09/11/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
09/25/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	75.00	2.25	0.00	2.25	90.0	2.03
09/25/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
09/25/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
/ /	CROW, ELISSA	UB&T MODERATE AGE BASED 09-10-C	0.00	20.35	0.00	20.35	90.0	18.32

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 0-02-A	0.00	0.35	0.00	0.35	90.0	0.32
09/18/2017	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 0-02-A	100.00	3.00	0.00	3.00	90.0	2.70
/ /	SAAM, ROBERT	UBT AGGRESSIVE AGE BASED 06-08-A	0.00	22.50	0.00	22.50	90.0	20.25
/ /	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 06-08-A	0.00	5.31	0.00	5.31	90.0	4.78
09/05/2017	SAAM, ROBERT	UBT AGGRESSIVE AGE BASED 06-08-A	200.00	6.00	0.00	6.00	90.0	5.40
09/15/2017	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 06-08-A	100.00	3.00	0.00	3.00	90.0	2.70
/ /	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 09-10-A	0.00	3.23	0.00	3.23	90.0	2.91
/ /	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 09-10-A	0.00	8.85	0.00	8.85	90.0	7.97
09/15/2017	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 09-10-A	100.00	3.00	0.00	3.00	90.0	2.70
09/20/2017	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 09-10-A	25.00	0.75	0.00	0.75	90.0	0.68
/ /	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 11-12-A	0.00	4.16	0.00	4.16	90.0	3.74
/ /	WIRTZ, GRETCHEN	UBT AGGRESSIVE AGE BASED 11-12-A	0.00	3.74	0.00	3.74	90.0	3.37
09/20/2017	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 11-12-A	25.00	0.75	0.00	0.75	90.0	0.68
/ /	BRANNAN, JESSE	UBT MODERATE AGE BASED 0-02-A	0.00	0.32	0.00	0.32	90.0	0.29
/ /	KUHN, NATHANIEL	UBT MODERATE AGE BASED 0-02-A	0.00	7.70	0.00	7.70	90.0	6.93
/ /	TUCKER, BRANDON	UBT MODERATE AGE BASED 0-02-A	0.00	6.15	0.00	6.15	90.0	5.54
/ /	BUERSTATTE, BRANDI	UBT MODERATE AGE BASED 0-02-A	0.00	0.68	0.00	0.68	90.0	0.61
/ /	ARIAS, MELISSA	UBT MODERATE AGE BASED 0-02-A	0.00	4.88	0.00	4.88	90.0	4.39
/ /	LAMPI, KEITH	UBT MODERATE AGE BASED 0-02-A	0.00	4.44	0.00	4.44	90.0	4.00
/ /	MARIQUINA, JACQUELINE	UBT MODERATE AGE BASED 0-02-A	0.00	0.44	0.00	0.44	90.0	0.40
/ /	MACK, ALEXANDER	UBT MODERATE AGE BASED 0-02-A	0.00	2.21	0.00	2.21	90.0	1.99
/ /	NORRIS, VALERIE	UBT MODERATE AGE BASED 0-02-A	0.00	2.21	0.00	2.21	90.0	1.99
/ /	BUERSTATTE, BRANDI	UBT MODERATE AGE BASED 0-02-A	0.00	0.26	0.00	0.26	90.0	0.23
/ /	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 0-02-A	0.00	0.10	0.00	0.10	90.0	0.09
/ /	WASPI, GRANT	UBT MODERATE AGE BASED 0-02-A	0.00	0.11	0.00	0.11	90.0	0.10
/ /	MEGER, AMANDA	UBT MODERATE AGE BASED 0-02-A	0.00	0.04	0.00	0.04	90.0	0.04
09/15/2017	WASPI, GRANT	UBT MODERATE AGE BASED 0-02-A	50.00	1.50	0.00	1.50	90.0	1.35
09/18/2017	TUCKER, BRANDON	UBT MODERATE AGE BASED 0-02-A	250.00	7.50	0.00	7.50	90.0	6.75
09/18/2017	ARIAS, MELISSA	UBT MODERATE AGE BASED 0-02-A	300.00	9.00	0.00	9.00	90.0	8.10
09/18/2017	LAMPI, KEITH	UBT MODERATE AGE BASED 0-02-A	50.00	1.50	0.00	1.50	90.0	1.35
09/18/2017	MARIQUINA, JACQUELINE	UBT MODERATE AGE BASED 0-02-A	10.00	0.30	0.00	0.30	90.0	0.27
09/18/2017	MACK, ALEXANDER	UBT MODERATE AGE BASED 0-02-A	250.00	7.50	0.00	7.50	90.0	6.75
09/18/2017	NORRIS, VALERIE	UBT MODERATE AGE BASED 0-02-A	150.00	4.50	0.00	4.50	90.0	4.05

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
09/18/2017	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 0-02-A	30.00	0.90	0.00	0.90	90.0	0.81
09/18/2017	MEGER, AMANDA	UBT MODERATE AGE BASED 0-02-A	100.00	3.00	0.00	3.00	90.0	2.70
09/18/2017	TUCCINI, MELISSA	UBT MODERATE AGE BASED 0-02-A	50.00	1.50	0.00	1.50	90.0	1.35
09/18/2017	TUCCINI, MELISSA	UBT MODERATE AGE BASED 0-02-A	50.00	1.50	0.00	1.50	90.0	1.35
/ /	LAMPI, KEITH	UBT MODERATE AGE BASED 03-05-A	0.00	10.57	0.00	10.57	90.0	9.51
/ /	CATANESE, ERIN	UBT MODERATE AGE BASED 03-05-A	0.00	20.81	0.00	20.81	90.0	18.73
/ /	BRANNAN, JESSE	UBT MODERATE AGE BASED 03-05-A	0.00	20.57	0.00	20.57	90.0	18.51
/ /	SISON, MARYBETH	UBT MODERATE AGE BASED 03-05-A	0.00	2.37	0.00	2.37	90.0	2.13
/ /	NORRIS, VALERIE	UBT MODERATE AGE BASED 03-05-A	0.00	27.60	0.00	27.60	90.0	24.84
/ /	CATANESE, JODY	UBT MODERATE AGE BASED 03-05-A	0.00	5.50	0.00	5.50	90.0	4.95
/ /	MARTIN, JOELLYN	UBT MODERATE AGE BASED 03-05-A	0.00	6.72	0.00	6.72	90.0	6.05
/ /	COLAN, MICHAEL	UBT MODERATE AGE BASED 03-05-A	0.00	1.85	0.00	1.85	90.0	1.67
/ /	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 03-05-A	0.00	1.25	0.00	1.25	90.0	1.13
/ /	KADLEC, SABA	UBT MODERATE AGE BASED 03-05-A	0.00	0.13	0.00	0.13	90.0	0.12
/ /	WHITE, AMANDA	UBT MODERATE AGE BASED 03-05-A	0.00	3.94	0.00	3.94	90.0	3.55
/ /	ARIAS, MELISSA	UBT MODERATE AGE BASED 03-05-A	0.00	8.32	0.00	8.32	90.0	7.49
/ /	SAYRE, ROSS	UBT MODERATE AGE BASED 03-05-A	0.00	2.83	0.00	2.83	90.0	2.55
/ /	WILSON, JOE	UBT MODERATE AGE BASED 03-05-A	0.00	1.02	0.00	1.02	90.0	0.92
/ /	KARKI, TRISHA	UBT MODERATE AGE BASED 03-05-A	0.00	2.86	0.00	2.86	90.0	2.57
/ /	MEYER, ELIZABETH	UBT MODERATE AGE BASED 03-05-A	0.00	0.28	0.00	0.28	90.0	0.25
/ /	HARRIS, KRISTOFER	UBT MODERATE AGE BASED 03-05-A	0.00	0.12	0.00	0.12	90.0	0.11
/ /	BATTAGLIA, JULIE	UBT MODERATE AGE BASED 03-05-A	0.00	0.16	0.00	0.16	90.0	0.14
/ /	GREINER, PAUL	UBT MODERATE AGE BASED 03-05-A	0.00	10.24	0.00	10.24	90.0	9.22
/ /	GREINER, PAUL	UBT MODERATE AGE BASED 03-05-A	0.00	10.24	0.00	10.24	90.0	9.22
/ /	CATANESE, JODY	UBT MODERATE AGE BASED 03-05-A	0.00	3.31	0.00	3.31	90.0	2.98
/ /	REIDY, TIFFANY	UBT MODERATE AGE BASED 03-05-A	0.00	7.87	0.00	7.87	90.0	7.08
/ /	DEUTSCH, SCOTT	UBT MODERATE AGE BASED 03-05-A	0.00	13.02	0.00	13.02	90.0	11.72
/ /	BRANNAN, JESSE	UBT MODERATE AGE BASED 03-05-A	0.00	14.87	0.00	14.87	90.0	13.38
/ /	ARATA, MATHEW	UBT MODERATE AGE BASED 03-05-A	0.00	6.47	0.00	6.47	90.0	5.82
/ /	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 03-05-A	0.00	0.63	0.00	0.63	90.0	0.57
/ /	SILKAITIS, MYIA	UBT MODERATE AGE BASED 03-05-A	0.00	0.85	0.00	0.85	90.0	0.77
/ /	MARIQUINA, JACQUELINE	UBT MODERATE AGE BASED 03-05-A	0.00	0.24	0.00	0.24	90.0	0.22
/ /	SAYRE, MICHAEL	UBT MODERATE AGE BASED 03-05-A	0.00	2.72	0.00	2.72	90.0	2.45

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	SAYRE, MICHAEL	UBT MODERATE AGE BASED 03-05-A	0.00	2.72	0.00	2.72	90.0	2.45
09/01/2017	LAMPI, KEITH	UBT MODERATE AGE BASED 03-05-A	1,250.00	37.50	0.00	37.50	90.0	33.75
09/01/2017	BRANNAN, JESSE	UBT MODERATE AGE BASED 03-05-A	250.00	7.50	0.00	7.50	90.0	6.75
09/01/2017	NORRIS, VALERIE	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
09/01/2017	CATANESE, JODY	UBT MODERATE AGE BASED 03-05-A	100.00	3.00	0.00	3.00	90.0	2.70
09/01/2017	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 03-05-A	30.00	0.90	0.00	0.90	90.0	0.81
09/01/2017	WHITE, AMANDA	UBT MODERATE AGE BASED 03-05-A	100.00	3.00	0.00	3.00	90.0	2.70
09/01/2017	REIDY, TIFFANY	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
09/01/2017	BRANNAN, JESSE	UBT MODERATE AGE BASED 03-05-A	250.00	7.50	0.00	7.50	90.0	6.75
09/07/2017	ARATA, MATHEW	UBT MODERATE AGE BASED 03-05-A	111.00	3.33	0.00	3.33	90.0	3.00
09/14/2017	CATANESE, ERIN	UBT MODERATE AGE BASED 03-05-A	500.00	15.00	0.00	15.00	90.0	13.50
09/15/2017	ARIAS, MELISSA	UBT MODERATE AGE BASED 03-05-A	300.00	9.00	0.00	9.00	90.0	8.10
09/15/2017	GREINER, PAUL	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
09/15/2017	GREINER, PAUL	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
09/18/2017	MARTIN, JOELLYN	UBT MODERATE AGE BASED 03-05-A	250.00	7.50	0.00	7.50	90.0	6.75
09/18/2017	WILSON, JOE	UBT MODERATE AGE BASED 03-05-A	30.00	0.90	0.00	0.90	90.0	0.81
09/18/2017	KARKI, TRISHA	UBT MODERATE AGE BASED 03-05-A	25.00	0.75	0.00	0.75	90.0	0.68
09/18/2017	CATANESE, JODY	UBT MODERATE AGE BASED 03-05-A	100.00	3.00	0.00	3.00	90.0	2.70
09/18/2017	DEUTSCH, SCOTT	UBT MODERATE AGE BASED 03-05-A	500.00	15.00	0.00	15.00	90.0	13.50
09/18/2017	ARATA, MATHEW	UBT MODERATE AGE BASED 03-05-A	111.00	3.33	0.00	3.33	90.0	3.00
09/18/2017	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 03-05-A	30.00	0.90	0.00	0.90	90.0	0.81
09/18/2017	SILKAITIS, MYIA	UBT MODERATE AGE BASED 03-05-A	50.00	1.50	0.00	1.50	90.0	1.35
09/18/2017	MARIQUINA, JACQUELINE	UBT MODERATE AGE BASED 03-05-A	25.00	0.75	0.00	0.75	90.0	0.68
09/18/2017	SAYRE, MICHAEL	UBT MODERATE AGE BASED 03-05-A	500.00	15.00	0.00	15.00	90.0	13.50
09/18/2017	SAYRE, MICHAEL	UBT MODERATE AGE BASED 03-05-A	500.00	15.00	0.00	15.00	90.0	13.50
/ /	LIEBERMAN, DAVID	UBT MODERATE AGE BASED 06-08-A	0.00	11.51	0.00	11.51	90.0	10.36
/ /	KLABACHA, JASON	UBT MODERATE AGE BASED 06-08-A	0.00	9.08	0.00	9.08	90.0	8.17
/ /	MUELLNER, MARK	UBT MODERATE AGE BASED 06-08-A	0.00	3.97	0.00	3.97	90.0	3.57
/ /	MARTIN, JOELLYN	UBT MODERATE AGE BASED 06-08-A	0.00	9.10	0.00	9.10	90.0	8.19
/ /	KUHN, NATHANIEL	UBT MODERATE AGE BASED 06-08-A	0.00	15.16	0.00	15.16	90.0	13.64
/ /	SISON, MARYBETH	UBT MODERATE AGE BASED 06-08-A	0.00	2.99	0.00	2.99	90.0	2.69
/ /	BUERSTATTE, BRANDI	UBT MODERATE AGE BASED 06-08-A	0.00	7.58	0.00	7.58	90.0	6.82
/ /	CATANESE, ERIN	UBT MODERATE AGE BASED 06-08-A	0.00	21.42	0.00	21.42	90.0	19.28

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	SILKAITIS, MYIA	UBT MODERATE AGE BASED 06-08-A	0.00	5.58	0.00	5.58	90.0	5.02
/ /	LIM, SUSIE	UBT MODERATE AGE BASED 06-08-A	0.00	8.81	0.00	8.81	90.0	7.93
/ /	KLABACHA, JASON	UBT MODERATE AGE BASED 06-08-A	0.00	8.27	0.00	8.27	90.0	7.44
/ /	REIDY, TIFFANY	UBT MODERATE AGE BASED 06-08-A	0.00	11.84	0.00	11.84	90.0	10.66
/ /	BATTAGLIA, MICHAEL	UBT MODERATE AGE BASED 06-08-A	0.00	2.78	0.00	2.78	90.0	2.50
/ /	WIRTZ, GRETCHEN	UBT MODERATE AGE BASED 06-08-A	0.00	1.46	0.00	1.46	90.0	1.31
/ /	SAAM, ROBERT	UBT MODERATE AGE BASED 06-08-A	0.00	10.39	0.00	10.39	90.0	9.35
/ /	KARKI, TRISHA	UBT MODERATE AGE BASED 06-08-A	0.00	3.14	0.00	3.14	90.0	2.83
/ /	COLAN, MICHAEL	UBT MODERATE AGE BASED 06-08-A	0.00	1.82	0.00	1.82	90.0	1.64
/ /	MEYER, ELIZABETH	UBT MODERATE AGE BASED 06-08-A	0.00	0.78	0.00	0.78	90.0	0.70
/ /	MEYER, ELIZABETH	UBT MODERATE AGE BASED 06-08-A	0.00	0.51	0.00	0.51	90.0	0.46
/ /	LAWLESS, JENNIFER	UBT MODERATE AGE BASED 06-08-A	0.00	2.48	0.00	2.48	90.0	2.23
/ /	CONTRERAS, ANDY	UBT MODERATE AGE BASED 06-08-A	0.00	0.59	0.00	0.59	90.0	0.53
/ /	CONTRERAS, ANDY	UBT MODERATE AGE BASED 06-08-A	0.00	0.59	0.00	0.59	90.0	0.53
/ /	HARRIS, KRISTOFER	UBT MODERATE AGE BASED 06-08-A	0.00	8.43	0.00	8.43	90.0	7.59
/ /	WILKINS, AARON	UBT MODERATE AGE BASED 06-08-A	0.00	10.14	0.00	10.14	90.0	9.13
/ /	LAMPI, KEITH	UBT MODERATE AGE BASED 06-08-A	0.00	14.62	0.00	14.62	90.0	13.16
/ /	BUERSTATTE, BRANDI	UBT MODERATE AGE BASED 06-08-A	0.00	9.68	0.00	9.68	90.0	8.71
09/01/2017	KLABACHA, JASON	UBT MODERATE AGE BASED 06-08-A	300.00	9.00	0.00	9.00	90.0	8.10
09/01/2017	SILKAITIS, MYIA	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
09/01/2017	LIM, SUSIE	UBT MODERATE AGE BASED 06-08-A	100.00	3.00	0.00	3.00	90.0	2.70
09/01/2017	KLABACHA, JASON	UBT MODERATE AGE BASED 06-08-A	300.00	9.00	0.00	9.00	90.0	8.10
09/01/2017	REIDY, TIFFANY	UBT MODERATE AGE BASED 06-08-A	150.00	4.50	0.00	4.50	90.0	4.05
09/01/2017	BATTAGLIA, MICHAEL	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
09/01/2017	SAAM, ROBERT	UBT MODERATE AGE BASED 06-08-A	200.00	6.00	0.00	6.00	90.0	5.40
09/05/2017	WILKINS, AARON	UBT MODERATE AGE BASED 06-08-A	150.00	4.50	0.00	4.50	90.0	4.05
09/14/2017	CATANESE, ERIN	UBT MODERATE AGE BASED 06-08-A	500.00	15.00	0.00	15.00	90.0	13.50
09/15/2017	LIEBERMAN, DAVID	UBT MODERATE AGE BASED 06-08-A	100.00	3.00	0.00	3.00	90.0	2.70
09/15/2017	LAMPI, KEITH	UBT MODERATE AGE BASED 06-08-A	1,650.00	49.50	0.00	49.50	90.0	44.55
09/18/2017	MARTIN, JOELLYN	UBT MODERATE AGE BASED 06-08-A	250.00	7.50	0.00	7.50	90.0	6.75
09/18/2017	MEYER, ELIZABETH	UBT MODERATE AGE BASED 06-08-A	25.00	0.75	0.00	0.75	90.0	0.68
09/18/2017	MEYER, ELIZABETH	UBT MODERATE AGE BASED 06-08-A	25.00	0.75	0.00	0.75	90.0	0.68
09/18/2017	CONTRERAS, ANDY	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/18/2017	CONTRERAS, ANDY	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
09/21/2017	KARKI, TRISHA	UBT MODERATE AGE BASED 06-08-A	25.00	0.75	0.00	0.75	90.0	0.68
/ /	CROW, ELISSA	UBT MODERATE AGE BASED 06-08-C	0.00	20.60	0.00	20.60	90.0	18.54
/ /	COLAN, MICHAEL	UBT MODERATE AGE BASED 09-10-A	0.00	3.34	0.00	3.34	90.0	3.01
/ /	MUELLNER, MARK	UBT MODERATE AGE BASED 09-10-A	0.00	2.39	0.00	2.39	90.0	2.15
/ /	MUELLNER, MARK	UBT MODERATE AGE BASED 09-10-A	0.00	6.15	0.00	6.15	90.0	5.54
/ /	HIRSTIUS, KERRIE	UBT MODERATE AGE BASED 09-10-A	0.00	0.16	0.00	0.16	90.0	0.14
/ /	HIRSTIUS, KERRIE	UBT MODERATE AGE BASED 09-10-A	0.00	2.15	0.00	2.15	90.0	1.94
/ /	HARRIS, KRISTOFER	UBT MODERATE AGE BASED 09-10-A	0.00	10.96	0.00	10.96	90.0	9.86
/ /	WILKINS, AARON	UBT MODERATE AGE BASED 09-10-A	0.00	1.36	0.00	1.36	90.0	1.22
09/01/2017	MUELLNER, MARK	UBT MODERATE AGE BASED 09-10-A	100.00	3.00	0.00	3.00	90.0	2.70
09/01/2017	MUELLNER, MARK	UBT MODERATE AGE BASED 09-10-A	100.00	3.00	0.00	3.00	90.0	2.70
/ /	LIEBERMAN, DAVID	UBT MODERATE AGE BASED 11-12-A	0.00	8.66	0.00	8.66	90.0	7.79
/ /	BATTAGLIA, MICHAEL	UBT MODERATE AGE BASED 11-12-A	0.00	7.22	0.00	7.22	90.0	6.50
/ /	HINTCH, ELIZABETH	UBT MODERATE AGE BASED 11-12-A	0.00	35.62	0.00	35.62	90.0	32.06
/ /	HIRSTIUS, KERRIE	UBT MODERATE AGE BASED 11-12-A	0.00	2.50	0.00	2.50	90.0	2.25
/ /	HIRSTIUS, KERRIE	UBT MODERATE AGE BASED 11-12-A	0.00	31.33	0.00	31.33	90.0	28.20
/ /	WELLINGTON, ERICA	UBT MODERATE AGE BASED 11-12-A	0.00	7.24	0.00	7.24	90.0	6.52
/ /	WILKINS, AARON	UBT MODERATE AGE BASED 11-12-A	0.00	12.90	0.00	12.90	90.0	11.61
/ /	STETTER, MARIA	UBT MODERATE AGE BASED 11-12-A	0.00	4.98	0.00	4.98	90.0	4.48
09/01/2017	BATTAGLIA, MICHAEL	UBT MODERATE AGE BASED 11-12-A	100.00	3.00	0.00	3.00	90.0	2.70
09/01/2017	HINTCH, ELIZABETH	UBT MODERATE AGE BASED 11-12-A	850.00	25.50	0.00	25.50	90.0	22.95
09/01/2017	STETTER, MARIA	UBT MODERATE AGE BASED 11-12-A	50.00	1.50	0.00	1.50	90.0	1.35
09/05/2017	WILKINS, AARON	UBT MODERATE AGE BASED 11-12-A	150.00	4.50	0.00	4.50	90.0	4.05
09/15/2017	LIEBERMAN, DAVID	UBT MODERATE AGE BASED 11-12-A	100.00	3.00	0.00	3.00	90.0	2.70
09/15/2017	WELLINGTON, ERICA	UBT MODERATE AGE BASED 11-12-A	250.00	7.50	0.00	7.50	90.0	6.75
09/18/2017	HIRSTIUS, KERRIE	UBT MODERATE AGE BASED 11-12-A	255.00	7.65	0.00	7.65	90.0	6.89
/ /	CROW, ELISSA	UBT MODERATE AGE BASED 11-12-C	0.00	19.64	0.00	19.64	90.0	17.68
/ /	MUELLNER, MARK	UBT MODERATE AGE BASED 13-14-A	0.00	5.94	0.00	5.94	90.0	5.35
/ /	WELLINGTON, ERICA	UBT MODERATE AGE BASED 13-14-A	0.00	8.70	0.00	8.70	90.0	7.83
09/01/2017	MUELLNER, MARK	UBT MODERATE AGE BASED 13-14-A	100.00	3.00	0.00	3.00	90.0	2.70
09/15/2017	WELLINGTON, ERICA	UBT MODERATE AGE BASED 13-14-A	250.00	7.50	0.00	7.50	90.0	6.75
/ /	LOPEZ, JOSE	UBT MODERATE AGE BASED 15-16-A	0.00	4.95	0.00	4.95	90.0	4.46

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	CONDE, CATHERINE	UBT MODERATE AGE BASED 15-16-A	0.00	2.66	0.00	2.66	90.0	2.39
/ /	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 15-16-A	0.00	12.93	0.00	12.93	90.0	11.64
/ /	ROSALES-HARRIGAN, ESTELA	UBT MODERATE AGE BASED 15-16-A	0.00	2.08	0.00	2.08	90.0	1.87
09/05/2017	LOPEZ, JOSE	UBT MODERATE AGE BASED 15-16-A	50.00	1.50	0.00	1.50	90.0	1.35
09/20/2017	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 15-16-A	100.00	3.00	0.00	3.00	90.0	2.70
/ /	LOPEZ, JOSE	UBT MODERATE AGE BASED 17-18-A	0.00	4.63	0.00	4.63	90.0	4.17
/ /	CONDE, CATHERINE	UBT MODERATE AGE BASED 17-18-A	0.00	0.10	0.00	0.10	90.0	0.09
/ /	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 17-18-A	0.00	5.43	0.00	5.43	90.0	4.89
/ /	ROSALES-HARRIGAN, ESTELA	UBT MODERATE AGE BASED 17-18-A	0.00	8.17	0.00	8.17	90.0	7.35
09/05/2017	LOPEZ, JOSE	UBT MODERATE AGE BASED 17-18-A	50.00	1.50	0.00	1.50	90.0	1.35
09/05/2017	ROSALES-HARRIGAN, ESTELA	UBT MODERATE AGE BASED 17-18-A	150.00	4.50	0.00	4.50	90.0	4.05
09/20/2017	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 17-18-A	100.00	3.00	0.00	3.00	90.0	2.70
/ /	LOPEZ, JOSE	UBT MODERATE AGE BASED 19+-A	0.00	4.14	0.00	4.14	90.0	3.73
/ /	CONDE, CATHERINE	UBT MODERATE AGE BASED 19+-A	0.00	0.39	0.00	0.39	90.0	0.35
09/05/2017	LOPEZ, JOSE	UBT MODERATE AGE BASED 19+-A	50.00	1.50	0.00	1.50	90.0	1.35
* MUTUAL FUNDS SUBTOTAL *			\$15,882.00	\$1,309.01	\$0.00	\$1,309.01		\$1,178.24
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/29/2017	KUHN, LINDA	HARTFORD DIRECTOR VII (HL)	18,971.40	11.86	0.00	11.86	90.0	10.67
10/02/2017	GALLESE, ALLISON	JNL ELITE ACCESS	500.00	26.25	0.00	26.25	90.0	23.63
09/30/2017	JOHNSON, LISA	NATIONWIDE BOA FUTURE II 32	51,202.98	32.00	0.00	32.00	90.0	28.80
09/30/2017	KUHN, THOMAS	NATIONWIDE BOA FUTURE II 32	449,674.53	281.05	0.00	281.05	90.0	252.95
09/30/2017	RITCHIE, KARREN	PRU AS ADVISOR PLAN III	99,566.44	62.23	0.00	62.23	90.0	56.01
09/30/2017	RITCHIE, KARREN	PRU AS ADVISOR PLAN III	99,566.44	62.23	0.00	62.23	90.0	56.01
09/30/2017	RITCHIE, KARREN	PRU AS ADVISOR PLAN III	72,769.31	45.48	0.00	45.48	90.0	40.93
09/30/2017	RITCHIE, KARREN	PRU AS ADVISOR PLAN III	72,769.31	45.48	0.00	45.48	90.0	40.93
09/28/2017	LOIKA, GREGORY	SBL ADVISOR PROGRAM	6,144.72	5.12	0.00	5.12	90.0	4.61
09/28/2017	LOIKA, JENNIFER	SBL ADVISOR PROGRAM	6,148.90	5.12	0.00	5.12	90.0	4.61
09/30/2017	EDUCATION, BOARD OF	VOYA RETIREMENT & ANNUITY	0.00	45.66	0.00	45.66	90.0	41.09
* VARIABLE ANNUITIES SUBTOTAL *			\$877,314.03	\$622.48	\$0.00	\$622.48		\$560.24
*** BROKER TRANSACTIONS TOTAL ***			\$893,196.03	\$1,931.49	\$0.00	\$1,931.49		\$1,738.48

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
10/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
10/15/2017		*ALBRIDGE: FULL USER	0.00					-176.00
10/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					1,091.00
10/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*GLOBAL RELAY: WEBSITE	0.00					-15.00
10/15/2017		*LASER APP: CURRENT MONTH	0.00					-18.00
10/15/2017		*TECH: ADMIN-K JALOWIECKI	0.00					-130.00
10/15/2017		*TECH: ADMIN-W CLARK	0.00					-130.00
10/15/2017		*TECHNOLOGY: ADMIN - MATT KUHN	0.00					-135.00
10/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,738.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<b>\$1,738.48</b>
Year-to-date Gross	<b>\$469,926.31</b>
Year-to-date Earnings	<b>\$422,935.34</b>

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