

COMMISSION STATEMENT

April 30, 2017

JAY TOMLINSON
474 GREGORY AVENUEBROKER #:
BRANCH: HOME OFFICE

WEEHAWKEN, NJ 07086

COMMISSION STATEMENT for JAY TOMLINSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/05/2017	QGV001928	FEDERATED KAUFMANN	MOBILEYE N V ORD SHS	S	-11,995,494.68	9,763.50	10.00	9,753.50	70.0 6,827.45
04/05/2017	QGV001936	FEDERATED KAUFMANN	MOBILEYE N V ORD SHS	S	-290,565.32	236.50	10.00	226.50	70.0 158.55
03/29/2017	QGW001778	INTERACTIVE BROKERS	ATHERSYS INC NEW COM	B	93,290.80	670.00	10.00	660.00	70.0 462.00
03/29/2017	QGW001778	INTERACTIVE BROKERS	ATHERSYS INC NEW COM	B	69,280.00	500.00	10.00	490.00	70.0 343.00
03/29/2017	QGW001778	INTERACTIVE BROKERS	ATHERSYS INC NEW COM	B	12,115.94	483.59	10.00	473.59	70.0 331.51
03/29/2017	QGW001778	INTERACTIVE BROKERS	ATHERSYS INC NEW COM	B	-69,280.00	-500.00	-10.00	-490.00	70.0 -343.00 C
03/29/2017	QGW001778	INTERACTIVE BROKERS	ATHERSYS INC NEW COM	B	-12,115.94	-483.59	-10.00	-473.59	70.0 -331.51 C
04/20/2017	QGW001778	INTERACTIVE BROKERS	KCG HLDGS INC CL A	S	-256,490.00	260.00	10.00	250.00	70.0 175.00
03/30/2017	QGW029704	ROJAS, RAFAEL	CONATUS PHARMACEUTICALS INC COM	S	-1,188.00	50.00	10.00	40.00	70.0 28.00
03/31/2017	QGW029704	ROJAS, RAFAEL	EXELIXIS INC	S	-10,860.05	50.00	10.00	40.00	70.0 28.00
04/10/2017	QGW029704	ROJAS, RAFAEL	ASPEN GROUP INC COM NEW	S	-2,439.50	50.00	10.00	40.00	70.0 28.00
04/10/2017	QGW029704	ROJAS, RAFAEL	CONATUS PHARMACEUTICALS INC COM	S	-2,025.00	75.00	10.00	65.00	70.0 45.50
04/21/2017	QGW029704	ROJAS, RAFAEL	CONATUS PHARMACEUTICALS INC COM	S	-9,038.16	75.00	10.00	65.00	70.0 45.50
04/21/2017	QGW029704	ROJAS, RAFAEL	CONATUS PHARMACEUTICALS INC COM	B	2,500.00	50.00	10.00	40.00	70.0 28.00
04/21/2017	QGW029704	ROJAS, RAFAEL	CONATUS PHARMACEUTICALS INC COM	B	37,500.00	611.97	10.00	601.97	70.0 421.38
04/21/2017	QGW029704	ROJAS, RAFAEL	EXELIXIS INC	S	-20,992.40	25.00	10.00	15.00	70.0 10.50
* STOCKS SUBTOTAL *				-12,455,802.31	\$11,916.97	\$120.00	\$11,796.97		\$8,257.88
<u>PRODUCT CATEGORY: OPTIONS</u>									
04/21/2017	QGW029704	ROJAS, RAFAEL	CALL 100 CONATUS PHARMACEUTICALS IN B		1,050.00	50.00	10.00	40.00	70.0 28.00
04/21/2017	QGW029704	ROJAS, RAFAEL	CALL 100 EXELIXIS INC COM EXP 04-21 B		1,400.00	50.00	10.00	40.00	70.0 28.00
* OPTIONS SUBTOTAL *				\$2,450.00	\$100.00	\$20.00	\$80.00		\$56.00
*** BROKER TRANSACTIONS TOTAL ***				-12,453,352.31	\$12,016.97	\$140.00	\$11,876.97		\$8,313.88

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
04/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
04/30/2017		OTHER: OTHER		0.00	0.00	0.00	0.00		-154.44
04/30/2017		OTHER: OTHER-PERSHING INVOICE		0.00	0.00	0.00	0.00		-288.91
04/30/2017		OTHER: OTHER-QGW001810		0.00	0.00	0.00	0.00		-15.86
04/30/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-5.00
04/30/2017		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-425.00
04/30/2017		:		0.00	0.00	0.00	0.00		0.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-909.21

BROKER COMMISSION TOTALS

Broker Transactions	\$8,313.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-909.21
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-15.02
SIPC Assessment	\$-225.32

Check Amount	\$7,164.33
Year-to-date Earnings	\$18,492.70