

All Companies
STATEMENT
April 20, 2018

STEVE BOHN

BROKER #: 059
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for STEVE BOHN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	---------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: BONDS

03/15/2018 # BOHN, ROBIN	COCA COLA ENTERPRISES INC ZERO COUP B			47,435.00	236.00	25.00	19.95	230.95	75.0	173.21
	* BONDS SUBTOTAL *			\$47,435.00	\$236.00	\$25.00	\$19.95	\$230.95		\$173.21

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/06/2018 # FREDERICK, JIMMIE	DAIN TRAILER	B		70,614.71	529.61	0.00	0.00	529.61	75.0	397.21
03/09/2018 # BATTENFIELD, CLIFF	DAIN TRAILER	B		0.00	66.47	0.00	0.00	66.47	75.0	49.85
03/09/2018 # FREDERICK, JIMMIE	DAIN TRAILER	B		0.00	10.99	0.00	0.00	10.99	75.0	8.24
03/09/2018 # FREDERICK, JIMMIE	DAIN TRAILER	B		0.00	23.30	0.00	0.00	23.30	75.0	17.48
03/09/2018 # PERRY, THOMAS	DAIN TRAILER	B		0.00	10.41	0.00	0.00	10.41	75.0	7.81
03/09/2018 # PERRY, THOMAS	DAIN TRAILER	B		0.00	3.08	0.00	0.00	3.08	75.0	2.31
03/09/2018 # PERRY, THOMAS	DAIN TRAILER	B		0.00	3.52	0.00	0.00	3.52	75.0	2.64
03/09/2018 # PERRY, THOMAS	DAIN TRAILER	B		0.00	16.94	0.00	0.00	16.94	75.0	12.71
03/09/2018 # PERRY, THOMAS	DAIN TRAILER	B		0.00	30.17	0.00	0.00	30.17	75.0	22.63
03/09/2018 # RAPPEL, SUSAN	DAIN TRAILER	B		0.00	30.32	0.00	0.00	30.32	75.0	22.74
03/09/2018 #	DAIN TRAILER	B		0.00	10.04	0.00	0.00	10.04	75.0	7.53
03/09/2018 #	DAIN TRAILER	B		0.00	4.27	0.00	0.00	4.27	75.0	3.20
03/09/2018 #	DAIN TRAILER	B		0.00	9.48	0.00	0.00	9.48	75.0	7.11
03/09/2018 #	DAIN TRAILER	B		3,281.07	32.81	0.00	0.00	32.81	75.0	24.61
03/09/2018 #	DAIN TRAILER	B		3,281.07	32.81	0.00	0.00	32.81	75.0	24.61
03/09/2018 #	DAIN TRAILER	S		-3,281.07	-32.81	10.00	0.00	-42.81	75.0	-32.11
03/12/2018 # FREDERICK, JIMMIE	DAIN TRAILER	B		30,000.00	225.00	0.00	0.00	225.00	75.0	168.75
03/12/2018 # FREDERICK, JIMMIE	DAIN TRAILER	S		30,322.71	0.00	0.00	0.00	0.00	75.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$134,218.49	\$1,006.41	\$10.00	\$0.00	\$996.41		\$747.32
*** BROKER TRANSACTIONS TOTAL ***				\$181,653.49	\$1,242.41	\$35.00	\$19.95	\$1,227.36		\$920.53

COMMISSION STATEMENT for STEVE BOHN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	---------	-------------------	------	----------------------

ADJUSTMENTS

04/20/2018		FINRA: FINRA ANNUAL ASSESMENT FEE								-215.00
04/20/2018		OTHER: OTHER		87707106 MF						-42.45
		ERROR ADJUSTMENT								
04/20/2018		ADMIN FEE: ADMIN FEE								-320.00
04/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
*** ADJUSTMENTS TOTAL ***										<u>\$-756.45</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$920.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-756.45
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$164.08
--------------	----------