\$0.00

\$412.77

\$458.63 \$412.77

Page No.

SIPC Assessment

Year-to-date Gross

Year-to-date Earnings

Check Amount

INVESTMENT SERVICES

COMMISSION STATEMENT

February 15, 2017

RICHARD CROUCH

6296 N Orange Grove Circle

Tucson, AZ 85704

BROKER #	: C139	Terminated	10/19/2016
BRANCH:	RICHARD	CROIICH	

CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION				
BROKER TRANSACTIONS											
TEGORY: RIA											
OSTENDORF, CAROLYN	SAWTOOTH	883,462.22	458.63	0.00	458.63	90.0	412.77				
	* RIA SUBTOTAL *	\$883,462.22	\$458.63	\$0.00	\$458.63		\$412.77				
	*** BROKER TRANSACTIONS TOTAL ***	\$883,462.22	\$458.63	\$0.00	\$458.63		\$412.77				
				E	ssion	TOTALS					
				Broker Transactions			\$412.77				
				Split Transactions			\$0.00				
				Override Transactions			\$0.00				
				Adjustments			\$0.00				
				Payroll Draw			\$0.00				
				Base Salary			\$0.00				
				Prior Period Balance			\$0.00				
				FI	NRA Assessment		\$0.00				
	TEGORY: RIA	BROKER TRANS TEGORY: RIA OSTENDORF, CAROLYN SAWTOOTH * RIA SUBTOTAL *	CLIENT INVESTMENT BROKER TRANSACTIONS TEGORY: RIA OSTENDORF, CAROLYN SAWTOOTH * RIA SUBTOTAL * \$883,462.22	CLIENT INVESTMENT AMOUNT COMMISSION BROKER TRANSACTIONS ATEGORY: RIA OSTENDORF, CAROLYN SAWTOOTH 883,462.22 458.63 * RIA SUBTOTAL * \$883,462.22 \$458.63	BROKER TRANSACTIONS SAWTOOTH SAWTOOTAL *** BROKER TRANSACTIONS \$883,462.22	BROKER TRANSACTIONS TEGORY: RIA OSTENDORF, CAROLYN SAWTOOTH * RIA SUBTOTAL ** \$883,462.22 \$458.63 *** BROKER TRANSACTIONS TOTAL *** \$883,462.22 \$458.63 \$0.00 \$458.63 *** BROKER TRANSACTIONS TOTAL *** \$883,462.22 \$458.63 \$0.00 \$458.63 *** BROKER TRANSACTIONS TOTAL *** BROKER COMMIT Broker Transactions Split Transactions Split Transactions Override Transactions Adjustments Payroll Draw Base Salary	BROKER TRANSACTIONS SAWTOOTH SAWTOOTH				