



All Companies

05/30/2018  
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# COMMISSION STATEMENT

June 01, 2018

FNB GILMER

BROKER #: 9619  
BRANCH: HOME OFFICE

, TX

## COMMISSION STATEMENT for FNB GILMER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
05/16/2018	00002046284 WILSON, LINDSEY	LIFESTYLE GROWTH PORTFOLIO C	B	100.00	1.00	0.00	1.00	30.0	0.30
05/16/2018	00002046296 WILSON, WESLEY	LIFESTYLE GROWTH PORTFOLIO C	B	200.00	2.00	0.00	2.00	30.0	0.60
05/01/2018	00002100036 BUNN, JIMMY	LIFESTYLE GROWTH PORTFOLIO C	B	458.33	4.58	0.00	4.58	30.0	1.37
05/01/2018	00002160101 GASTON, JERRY/JERI	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	5,000.00	50.00	0.00	50.00	30.0	15.00
05/15/2018	00002397528 BUNN, JIMMY	LIFESTYLE GROWTH PORTFOLIO C	B	520.00	5.20	0.00	5.20	30.0	1.56
05/15/2018	00002397536 BUNN, JIMMY	LIFESTYLE MODERATE PORTFOLIO C	B	300.00	3.00	0.00	3.00	30.0	0.90
05/10/2018	00087551447 GRIFFITH, ROBERT	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	30.0	0.30
05/03/2018	5470420167 GOMEZ, MARIA	PORTFOLIO SERIES: MODERATE INVESTOR B	B	50.00	0.50	0.00	0.50	30.0	0.15
* MUTUAL FUNDS SUBTOTAL *				\$6,728.33	\$67.28	\$0.00	\$67.28		\$20.18
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
05/11/2018	GRAY, PATTI	PRUDENTIAL INSURANCE CO.	B	100.00	2.00	0.00	2.00	30.0	0.60
* VARIABLE ANNUITIES SUBTOTAL *				\$100.00	\$2.00	\$0.00	\$2.00		\$0.60
<b><u>PRODUCT CATEGORY: RIA</u></b>									
04/13/2018	22876508 SMITH, BARRY R & AMY E	SIGNAL SECURITIES RIA	B	0.00	1.00	0.00	1.00	30.0	0.30
04/13/2018	58127539 SMITH, AMY E	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	30.0	4.10
04/13/2018	58588928 DEAN, JERRY MACK	SIGNAL SECURITIES RIA	B	0.00	32.33	0.00	32.33	30.0	9.70
04/13/2018	60067561 SMITH, AMY E	SIGNAL SECURITIES RIA	B	0.00	14.67	0.00	14.67	30.0	4.40
04/13/2018	65483992 DEAN, JERRY MACK	SIGNAL SECURITIES RIA	B	0.00	15.00	0.00	15.00	30.0	4.50
04/13/2018	72066865 TDR CONTRACTORS	SIGNAL SECURITIES RIA	B	0.00	41.00	0.00	41.00	30.0	12.30
04/13/2018	73078546 SMITH, BARRY R & AMY E	SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33	30.0	1.00
04/13/2018	77686229 MOORE, GARY/PATRICIA	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	30.0	2.40
04/13/2018	88865815 DEAN, CHRISTIE ANN	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	30.0	3.30
04/13/2018	94358578 SMITH, BARRY R & AMY E	SIGNAL SECURITIES RIA	B	0.00	18.67	0.00	18.67	30.0	5.60
* RIA SUBTOTAL *				\$0.00	\$158.67	\$0.00	\$158.67		\$47.60

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
05/14/2018	00001713020	HOOD, DORIS	JOHN HANCOCK TAX FREE BD FD CL A	B	0.00	7.34	0.00	7.34	30.0	2.20
05/14/2018	00002046284	WILSON, LINDSEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	13.06	0.00	13.06	30.0	3.92
05/14/2018	00002046289	WILSON, WESLEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	32.34	0.00	32.34	30.0	9.70
05/14/2018	00002046291	WILSON, WESLEY M.	ALTERNATIVE ASSET ALLOCATION C	B	0.00	12.48	0.00	12.48	30.0	3.74
05/14/2018	00002046296	WILSON, WESLEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	13.51	0.00	13.51	30.0	4.05
05/14/2018	00002048865	RODENBAUGH, DANIEL	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	16.66	0.00	16.66	30.0	5.00
05/14/2018	00002048869	WILSON, LINDSEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	9.88	0.00	9.88	30.0	2.96
05/14/2018	00002100036	BUNN, JIMMY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	26.43	0.00	26.43	30.0	7.93
05/14/2018	00002108329	ORMSBY, KENT W.	FLOATING RATE INCOME C	B	0.00	18.29	0.00	18.29	30.0	5.49
05/14/2018	00002108331	ORMSBY, KENT W.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	4.90	0.00	4.90	30.0	1.47
05/14/2018	00002111652	COOK, WILLIAM B.	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	23.63	0.00	23.63	30.0	7.09
05/14/2018	00002111653	COOK, WILLIAM B.	LIFESTYLE BALANCED PORTFOLIO C	B	0.00	137.66	0.00	137.66	30.0	41.30
05/14/2018	00002124014	EMORY, ADAM	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	12.25	0.00	12.25	30.0	3.68
05/14/2018	00002155310	CABRERA, GERARDO	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	8.57	0.00	8.57	30.0	2.57
05/14/2018	00002160101	GASTON, JERRY/JERI	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	173.83	0.00	173.83	30.0	52.15
05/14/2018	00002171725	HOOD, DORIS	ALTERNATIVE ASSET ALLOCATION FUND A	B	0.00	7.02	0.00	7.02	30.0	2.11
05/14/2018	00002175516	MURRAY, KC L.	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	72.96	0.00	72.96	30.0	21.89
05/14/2018	00002175517	MURRAY, KC L.	ALTERNATIVE ASSET ALLOCATION C	B	0.00	10.77	0.00	10.77	30.0	3.23
05/14/2018	00002177089	MURRAY, KC L.	FLOATING RATE INCOME C	B	0.00	14.75	0.00	14.75	30.0	4.43
05/14/2018	00002177090	MURRAY, KC L.	US GLOBAL LEADERS GROWTH C	B	0.00	20.40	0.00	20.40	30.0	6.12
05/14/2018	00002177091	MURRAY, KC L.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	21.72	0.00	21.72	30.0	6.52
05/14/2018	00002179884	BOWEN, JOHN A.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	22.17	0.00	22.17	30.0	6.65
05/14/2018	00002182326	TO (STA) TOD	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	44.37	0.00	44.37	30.0	13.31
		RULES, SUBJECT								
05/14/2018	00002182327	TO (STA) TOD	TAX-FREE BOND C	B	0.00	34.46	0.00	34.46	30.0	10.34
		RULES, SUBJECT								
05/14/2018	00002182328	TO (STA) TOD	ALTERNATIVE ASSET ALLOCATION C	B	0.00	21.41	0.00	21.41	30.0	6.42
		RULES, SUBJECT								
05/14/2018	00002188800	BEASON, LINDA	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	129.57	0.00	129.57	30.0	38.87
		PRESTON								
05/14/2018	00002189540	BEASON, LINDA	LIFESTYLE MODERATE PORTFOLIO C	B	0.00	124.65	0.00	124.65	30.0	37.40
		PRESTON								
05/14/2018	00002190489	SHULER, SUNNI A.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	0.00	3.65	0.00	3.65	30.0	1.10
05/14/2018	00002193224	WALLACE, ROY T.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	1.04	0.00	1.04	30.0	0.31
05/14/2018	00002329019	TORRES, ROBERTO	LIFESTYLE BALANCED PORTFOLIO C	B	0.00	62.92	0.00	62.92	30.0	18.88
05/14/2018	00002337567	DAVIS, PAUL J.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	45.71	0.00	45.71	30.0	13.71
05/14/2018	00002397528	BUNN, JIMMY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	6.04	0.00	6.04	30.0	1.81
05/14/2018	00002397536	BUNN, JIMMY	LIFESTYLE MODERATE PORTFOLIO C	B	0.00	2.23	0.00	2.23	30.0	0.67
05/14/2018	00003370537	GIPSON, RICKIE A.	JOHN HANCOCK SOVEREIGN BALANCED FD	B	0.00	0.25	0.00	0.25	30.0	0.08
05/14/2018	00003370539	GIPSON, RICKIE A.	JOHN HANCOCK GOVT INCOME FD CLA	B	0.00	0.07	0.00	0.07	30.0	0.02

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/14/2018	00003370540	GIPSON, RICKIE A.	JOHN HANCOCK STRATEGIC INCOME FD CL B	0.00	0.10	0.00	0.10	30.0	0.03
05/14/2018	00003370541	GIPSON, RICKIE A.	STRATEGIC INCOME OPPORTUNITIES A B	0.00	0.22	0.00	0.22	30.0	0.07
05/14/2018	00777102664	HOOD, DORIS	JOHN HANCOCK GROWTH & INCOME FD CL B	0.00	23.86	0.00	23.86	30.0	7.16
05/14/2018	01001527243	HOOD, DORIS	JOHN HANCOCK GOVT INCOME FD CLA B	0.00	8.57	0.00	8.57	30.0	2.57
05/14/2018	01001527243	HOOD, DORIS	JOHN HANCOCK SOVEREIGN BD FD CL A B	0.00	1.60	0.00	1.60	30.0	0.48
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,191.34	\$0.00	\$1,191.34		\$357.43
*** BROKER TRANSACTIONS TOTAL ***				\$6,828.33	\$1,419.29	\$0.00	\$1,419.29		\$425.81

BROKER COMMISSION TOTALS

Broker Transactions	\$425.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$14.87

Check Amount	\$440.68
Year-to-date Earnings	\$10,409.88