

**COMMISSION STATEMENT****April 15, 2018**

DAN/JON PAUL FT- J1D NY RAUPP/CIR
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: J1D
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^03/28/2018	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	4.05	0.00	4.05	100.0	0.00
^03/01/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.52	0.00	13.52	100.0	0.00
^03/01/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.40	0.00	11.40	100.0	0.00
^03/01/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	34.19	0.00	34.19	100.0	0.00
^03/01/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.66	0.00	10.66	100.0	0.00
^03/01/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	31.99	0.00	31.99	100.0	0.00
^03/23/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.27	0.00	12.27	100.0	0.00
^03/23/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.34	0.00	10.34	100.0	0.00
^03/23/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	31.01	0.00	31.01	100.0	0.00
^03/23/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.67	0.00	9.67	100.0	0.00
^03/23/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	29.01	0.00	29.01	100.0	0.00
^03/19/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.65	0.00	9.65	100.0	0.00
^03/19/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	28.94	0.00	28.94	100.0	0.00
^03/19/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.17	0.00	6.17	100.0	0.00
^03/19/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	18.52	0.00	18.52	100.0	0.00
^03/19/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.49	0.00	9.49	100.0	0.00
^03/19/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	28.46	0.00	28.46	100.0	0.00
^03/19/2018	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.01	0.00	4.01	100.0	0.00
^03/19/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	3.79	0.00	3.79	100.0	0.00
^03/19/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.55	0.00	4.55	100.0	0.00
^03/19/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	3.15	0.00	3.15	100.0	0.00
^03/23/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.77	0.00	0.77	100.0	0.00
^03/23/2018	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	16.43	0.00	16.43	100.0	0.00
^03/23/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.65	0.00	0.65	100.0	0.00
^03/12/2018	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	126.89	0.00	126.89	100.0	0.00
^03/14/2018	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	4.15	0.00	4.15	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$463.73	\$0.00	\$463.73	\$0.00
*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$463.73	\$0.00	\$463.73	\$0.00

ADJUSTMENTS

04/15/2018	*PERSHING: R SPLIT NEW YORK REIT - REED	0.00			0.00
04/15/2018	*PERSHING: R SPLIT NEW YORK REIT - REED	0.00			0.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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