R.F. Lafferty & Co., Inc.

# **COMMISSION STATEMENT**

August 31, 2018

RICHARD BELZ
40 Wall Street

New York, NY 10005

BROKER #: C40 C42
BRANCH: HOME OFFICE

#### COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT B	/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
									_
BROKER TRANSACTIONS									
PRODUCT CA	TEGORY: MUTUAL FUNDS								
08/17/2018 (	(None) VARIOUS, BELZ RICHARD	BALANCED A	В	0.00	1.25	0.00	1.25	90.0	1.13
08/09/2018 0	000000000591610 VARIOUS, BELZ	309 - FRANKLIN INCOME FUND - CLASS E	В	0.00	1,222.43	0.00	1,222.43	90.0	1,100.19
07/24/2018 0	00000000888774 COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	В	108.75	2.90	0.00	2.90	90.0	2.61
08/09/2018 0	000000000888774 COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	В	108.75	2.90	0.00	2.90	90.0	2.61
08/21/2018 0	000000000888774 COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	В	108.75	2.90	0.00	2.90	90.0	2.61
07/24/2018 0	000000004328098 COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C	В	362.50	9.64	0.00	9.64	90.0	8.68
08/09/2018 0	00000004328098 COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C	В	362.50	9.64	0.00	9.64	90.0	8.68
08/21/2018 0	00000004328098 COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C	В	362.50	9.64	0.00	9.64	90.0	8.68
07/24/2018 0	000000004468094 COLEMAN,	MUTUAL DISC FND - CL A	В	145.00	3.86	0.00	3.86	90.0	3.47
08/09/2018 0	000000004468094 COLEMAN,	MUTUAL DISC FND - CL A	В	145.00	3.86	0.00	3.86	90.0	3.47
08/21/2018 0	000000004468094 COLEMAN,	MUTUAL DISC FND - CL A	В	145.00	3.86	0.00	3.86	90.0	3.47
07/24/2018 0	000019411906629 COLEMAN,	FRK STRAT INC FND - CL A	В	108.75	2.90	0.00	2.90	90.0	2.61
08/09/2018 0	000019411906629 COLEMAN,	FRK STRAT INC FND - CL A	В	108.75	2.90	0.00	2.90	90.0	2.61
08/21/2018 0	000019411906629 COLEMAN,	FRK STRAT INC FND - CL A	В	108.75	2.90	0.00	2.90	90.0	2.61
08/06/2018 0	00056956375 FERRADO, MICHAEL	AMCAP FUND-A	В	300.00	12.11	0.00	12.11	90.0	10.90
08/21/2018 0	00064802351 SCHENK, ERIC	THE GROWTH FUND OF AMERICA-A	В	25.00	0.89	0.00	0.89	90.0	0.80
08/21/2018 0	00064818808 SCHENK, ERIC	AMCAP FUND-A	В	25.00	0.89	0.00	0.89	90.0	0.80
08/15/2018 0	00084040075 WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME FUN F	В	10.00	0.41	0.00	0.41	90.0	0.37
08/15/2018 0	00084040075 WILLIAMS, ALYSSA	WASHINGTON MUTUAL INVESTORS FUND-A	В	10.00	0.41	0.00	0.41	90.0	0.37
08/15/2018 0	00088094822 WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C	В	15.00	0.14	0.00	0.14	90.0	0.13
08/13/2018 0	00487862253 PERUGINI, MILDRED	PUTNAM 746745108	В	100.00	2.61	0.00	2.61	90.0	2.35
08/13/2018 0	00487862253 PERUGINI, MILDRED	PUTNAM 746802800	В	150.00	3.92	0.00	3.92	90.0	3.53
08/13/2018 0	00487862253 PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS F	В	150.00	3.92	0.00	3.92	90.0	3.53
08/16/2018 0	00493247534 PLAN	PUTNAM 74680E101	В	150.00	5.35	0.00	5.35	90.0	4.82
08/16/2018 0	00550827572 PLAN	PUTNAM 74680E101	В	150.00	5.35	0.00	5.35	90.0	4.82
08/22/2018 0	01000144893 VARIOUS, BELZ	OPPENHEIMER 683793400	В	0.00	2,160.56	0.00	2,160.56	90.0	1,944.50
08/08/2018 2	2158104580 VARIOUS, BELZ	OPPENHEIMER 68380L100	В	0.00	2,524.31	0.00	2,524.31	90.0	2,271.88
08/06/2018 Q	QGW040081 CAUSIN, LAURENCE	JANUS HENDERSON MID CAP VALUE FUND	S	-12,961.07	47.50	0.00	47.50	90.0	42.75
		* MUTUAL FUNDS SUBTOTAL *	-	\$-9,701.07	\$6,049.95	\$0.00	\$6,049.95		\$5,444.98

### COMMISSION STATEMENT for RICHARD BELZ

INVESTMENT

GROSS

CLEARING

NET

Page No. 2

BROKER

RADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TH	RANS	ACTIONS					
RODUCT CAT	TEGORY: STOCKS								
8/20/2018 QG	GW034027 HALL, JONATHAN	GWG HLDGS INC COM	S	-17,475.00	156.75	11.40	145.35	90.0	129.68
8/17/2018 QG	GW035172 O'NEILL, RORY	VUZIX CORP COM NEW	В	22,500.00	235.12	11.40	223.72	90.0	200.2
8/17/2018 QG	GW035198 WULFF, HANS	COHERUS BIOSCIENCES INC COM	S	-70,000.00	412.30	11.40	400.90	90.0	359.6
8/17/2018 QG	GW035198 WULFF, HANS	VUZIX CORP COM NEW	В	18,750.00	229.79	11.40	218.39	90.0	195.43
8/09/2018 QG	GW035388 FAY, WALTER	SACHEM CAPITAL CORP COMMON SHARES	В	8,140.00	94.05	11.40	82.65	90.0	73.2
8/17/2018 QG	GW035511 LYDEN, MARY	VUZIX CORP COM NEW	В	22,500.00	235.12	11.40	223.72	90.0	200.2
8/17/2018 QG	GW035552 KMIECIAK, ZBIGNIEW	COHERUS BIOSCIENCES INC COM	S	-17,500.00	214.46	11.40	203.06	90.0	181.6
8/17/2018 QG	GW035560 AMENITA, FREDERICK	VUZIX CORP COM NEW	В	22,500.00	235.12	11.40	223.72	90.0	200.2
8/17/2018 QG	GW035594 DUSATKO, ANNE	VUZIX CORP COM NEW	В	22,500.00	235.12	11.40	223.72	90.0	200.2
8/21/2018 QG	GW035602 MURPHY, CHRISTIAN	WALT DISNEY CO	В	11,237.26	90.25	11.40	78.85	90.0	69.8
8/24/2018 QG	GW035677 KOLLAR, MAURA	OPKO HEALTH INC	В	12,000.00	147.06	11.40	135.66	90.0	120.9
8/17/2018 QG	GW035727 HERDTER, CYNTHIA	NABORS INDUSTRIES LTD	S	-6,515.85	83.60	11.40	72.20	90.0	63.8
8/17/2018 QG	GW035776 ZIMMERMAN, FRANCINE	BANK OF AMERICA CORP	S	-45,000.00	376.20	11.40	364.80	90.0	327.1
8/17/2018 QG	GW035776 ZIMMERMAN, FRANCINE	VUZIX CORP COM NEW	В	18,750.00	229.79	11.40	218.39	90.0	195.4
3/17/2018 QG	GW035792 NOLTE, DONNA	VUZIX CORP COM NEW	В	9,750.00	134.31	11.40	122.91	90.0	109.4
3/13/2018 QG	GW035867 ROGERS, MICHELE	SACHEM CAPITAL CORP COMMON SHARES	В	14,076.00	327.75	11.40	316.35	90.0	283.5
3/17/2018 QG	GW035917 BOVE, DOMINICK	VUZIX CORP COM NEW	В	22,500.00	235.12	11.40	223.72	90.0	200.2
3/17/2018 QG	GW035982 PANASUK, JOHN	BANK OF AMERICA CORP	S	-30,000.00	313.50	11.40	302.10	90.0	270.7
3/17/2018 QG	GW035982 PANASUK, JOHN	VUZIX CORP COM NEW	В	15,000.00	183.82	11.40	172.42	90.0	154.0
3/21/2018 QG	GW035982 PANASUK, JOHN	NABORS INDUSTRIES LTD	S	-15,585.00	147.25	11.40	135.85	90.0	121.1
7/30/2018 QG	GW036030 BALLO, CATHERINE	HEICO CORPORATION	В	16,000.00	196.08	11.40	184.68	90.0	165.0
8/10/2018 QG	GW036030 BALLO, CATHERINE	MEDALLION FINL CORP COM	В	18,840.00	140.60	11.40	129.20	90.0	115.1
3/17/2018 QG	GW036030 BALLO, CATHERINE	HEICO CORPORATION	В	40,000.00	334.40	11.40	323.00	90.0	289.5
8/17/2018 QG	GW036030 BALLO, CATHERINE	VUZIX CORP COM NEW	В	22,500.00	235.12	11.40	223.72	90.0	200.2
8/20/2018 QG	GW036030 BALLO, CATHERINE	GWG HLDGS INC COM	S	-18,225.00	178.60	11.40	167.20	90.0	149.3
8/20/2018 QG	GW036030 BALLO, CATHERINE	NABORS INDUSTRIES LTD	S	-11,971.40	109.25	11.40	97.85	90.0	86.9
8/17/2018 QG	GW036048 FITZPATRICK, BARRY	VUZIX CORP COM NEW	В	18,750.00	229.79	11.40	218.39	90.0	195.4
8/01/2018 QG	GW036063 PICCININNI,	GWG HLDGS INC COM	S	-2,220.00	33.25	11.40	21.85	90.0	18.5
8/20/2018 QG	GW036071 HENNEMANN, DENNIS	GWG HLDGS INC COM	S	-12,705.00	147.25	11.40	135.85	90.0	121.1
8/03/2018 QG	GW036089 HENNEMANN, PATRICIA	YANDEX N V CLASS A	В	36,000.00	300.96	11.40	289.56	90.0	259.4
8/20/2018 QG	GW036089 HENNEMANN, PATRICIA	GWG HLDGS INC COM	S	-5,950.00	61.75	11.40	50.35	90.0	44.1
8/17/2018 QG	GW036105 ARDOLINO, SUSAN	VUZIX CORP COM NEW	В	15,000.00	183.82	11.40	172.42	90.0	154.0
8/13/2018 QG	GW036162 BENZA, GREGORY	MEDALLION FINL CORP COM	В	12,440.00	179.55	11.40	168.15	90.0	150.2
3/22/2018 QG	GW036162 BENZA, GREGORY	SACHEM CAPITAL CORP COMMON SHARES	В	27,072.00	608.00	11.40	596.60	90.0	535.8
	GW036220 KOLLAR, RUTH	GWG HLDGS INC COM	S	-17,625.00	109.25	11.40		90.0	86.9
	GW036279 MIKO, ARTHUR	SACHEM CAPITAL CORP COMMON SHARES	В	14,280.00	332.50	11.40	321.10		287.8
	GW036311 FAST, KENNETH	SACHEM CAPITAL CORP COMMON SHARES	В	22,356.00	513.00	11.40	501.60		450.3
	GW036386 FRANCK, RACHEL	GWG HLDGS INC COM	S	-3,750.05	77.90	11.40		90.0	58.7
	GW037004 STAAB, MARY	ALCOA CORPORATION COMMON STOCK	S	-9,435.00	94.05	11.40		90.0	73.2
	GW037004 STAAB, MARY	ARCONIC INC COM	S	-13,914.87	94.05	11.40		90.0	73.2

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TRADE DATE	CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: STOCKS							
08/07/2018 Q	GW037004 STAAB, MARY	GWG HLDGS INC COM S	-16,940.00	114.00	11.40	102.60	90.0	91.20
08/17/2018 Q	GW037012 STAAB, MARY	VUZIX CORP COM NEW B	15,000.00	183.82	11.40	172.42	90.0	154.04
08/17/2018 Q	GW037087 01/19/2016, U/A	ENERGOUS CORP COM B	15,000.00	183.82	11.40	172.42	90.0	154.04
08/13/2018 Q	GW037103 BURNS, KATHLEEN	SACHEM CAPITAL CORP COMMON SHARES B	3,676.08	68.48	11.40	57.08	90.0	50.23
08/15/2018 Q	GW037103 BURNS, KATHLEEN	SACHEM CAPITAL CORP COMMON SHARES B	1,774.80	33.06	11.40	21.66	90.0	18.35
08/17/2018 Q	GW037103 BURNS, KATHLEEN	VUZIX CORP COM NEW B	48,750.00	407.55	11.40	396.15	90.0	355.40
08/28/2018 Q	GW037103 BURNS, KATHLEEN	SACHEM CAPITAL CORP COMMON SHARES S	-234.85	1.69	11.40	-9.71	90.0	-9.88
08/17/2018 Q	GW038002 WICKERS, SCOTT	VUZIX CORP COM NEW B	15,000.00	183.82	11.40	172.42	90.0	154.04
08/17/2018 Q	GW039125 WHITE, KERRY	VUZIX CORP COM NEW B	22,500.00	235.12	11.40	223.72	90.0	200.21
07/30/2018 Q	GW039257 EVANGELISTA, DAVID	SACHEM CAPITAL CORP COMMON SHARES B	19,776.00	456.00	11.40	444.60	90.0	399.00
08/03/2018 Q	GW039497 CAUSIN, KERRY	IQIYI INC SPONS ADR ISIN#US46267X10 B	8,850.00	121.91	11.40	110.51	90.0	98.32
08/10/2018 Q	GW039497 CAUSIN, KERRY	YANDEX N V CLASS A B	17,750.00	217.53	11.40	206.13	90.0	184.38
08/17/2018 Q	GW039570 KMIECIAK, STEFANIA	MEDALLION FINL CORP COM B	11,900.00	109.25	11.40	97.85	90.0	86.93
08/07/2018 Q	GW039612 DUSATKO, FRANK	VERIZON COMMUNICATIONS B	52,483.20	422.75	11.40	411.35	90.0	369.08
08/24/2018 Q	GW039745 LEWIS, THOMAS	BUCKEYE PARTNERS LP UNITS LTD PARTN S	-36,940.00	66.50	11.40	55.10	90.0	48.45
08/21/2018 Q	GW039869 OHARA, JOHN	BUCKEYE PARTNERS LP UNITS LTD PARTN S	-37,350.00	213.75	11.40	202.35	90.0	180.98
08/20/2018 Q	GW039901 BELZ, OLGA	HEICO CORPORATION B	4,749.94	11.40	11.40	0.00	90.0	-1.14
08/06/2018 Q	GW039943 NESTOR, MARYLOU	SACHEM CAPITAL CORP COMMON SHARES B	6,150.00	175.75	11.40	164.35	90.0	146.78
08/24/2018 Q	GW040081 CAUSIN, LAURENCE	JD.COM INC SPONSORED ADR REPSTG COM B	27,600.00	288.42	11.40	277.02	90.0	248.18
08/03/2018 Q	GW040099 CAUSIN, KERRY	NEW RESIDENTIAL INVESTMENT CORP B	12,779.93	151.05	11.40	139.65	90.0	124.55
		* STOCKS SUBTOTAL *	\$357,844.19	\$12,121.32	\$684.00	\$11,437.32		\$10,225.29
PRODUCT CA	TEGORY: BONDS							
08/21/2018 (	None) VARIOUS, BELZ RICHARD	GWG BONDS B	0.00	950.00	11.40	938.60	90.0	843.60
08/28/2018 Q	GW035198 WULFF, HANS	BARCLAYS BANK PLC FR 8%083030 B	40,000.00	665.00	11.40	653.60	90.0	587.10
08/28/2018 Q	GW039612 DUSATKO, FRANK	BARCLAYS BANK PLC FR 8%083030 B	50,000.00	831.25	11.40	819.85	90.0	736.73
		* BONDS SUBTOTAL *	\$90,000.00	\$2,446.25	\$34.20	\$2,412.05		\$2,167.43
PRODUCT CA	TEGORY: OPTIONS							
08/23/2018 0	GW035040 THUILOT, CHARLES	CALL 100 VUZIX CORP COM NEW EXP 10-S	-700.00	67.45	19.71	47.74	90.0	41.00
_	GW035172 O'NEILL, RORY	CALL 100 VUZIX CORP COM NEW EXP 10-S	-700.00	67.45	19.71	47.74	90.0	41.00
_	GW035172 O'NEILL, RORY	CALL 100 VUZIX CORP COM NEW EXP 10-S	700.00	-67.45	-19.71	-47.74		-41.00 C
	GW035198 WULFF, HANS	CALL 100 VUZIX CORP COM NEW EXP 10-S	-500.00	46.55	17.34		90.0	24.56
	GW035198 WULFF, HANS	CALL 100 OPKO HEALTH INC COM EXP 10 S	-750.00	66.50	23.27		90.0	36.58
~	GW035511 LYDEN, MARY	CALL 100 VUZIX CORP COM NEW EXP 01- S	-1,800.00	94.05	18.52	75.53		66.13
	GW035529 AMENITA, FREDERICK	PUT 100 VUZIX CORP COM NEW EXP 09-2 S	-2,400.00	94.05	14.96		90.0	69.69
	GW035552 KMIECIAK, ZBIGNIEW	CALL 100 PAYPAL HLDGS INC COM EXP 1 S	-396.00	36.10	12.11		90.0	20.38
	GW035560 AMENITA, FREDERICK	CALL 100 VUZIX CORP COM NEW EXP 01- S	-1,650.00	94.05	18.52	75.53		66.13
	GW035560 AMENITA, FREDERICK	CALL 100 VUZIX CORP COM NEW EXP 01-S	1,650.00	-94.05	-18.52	-75.53		-66.13 C
	GW035560 AMENITA, FREDERICK	CALL 100 VUZIX CORP COM NEW EXP 01-S	-1,650.00	94.05	18.52		90.0	66.13
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B/S

INVESTMENT

AMOUNT

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

4

BROKER

COMMISSION

RATE

# COMMISSION STATEMENT for RICHARD BELZ

INVESTMENT

TRADE DATE

CLIENT

PRODUCT CATEGORY: OPTIONS							
08/23/2018 QGW035594 DUSATKO, ANNE CALL 100 NABORS INDS LTD SHS ISIN#BS -810.00 76.95 22.09 54.86 90.0 08/21/2018 QGW035591 SASSA, WILLIAM CALL 100 PAYPAL HLDGS INC COM EXP 1 -996.00 36.10 12.11 23.99 90.0 08/21/2018 QGW035701 SAKRA, MICHAEL CALL 100 PAYPAL HLDGS INC COM EXP 1 -995.00 90.25 13.77 76.48 90.0 08/21/2018 QGW0357027 HERDITER, CYNTHIA CALL 100 PAYPAL HLDGS INC COM EXP 1 -995.00 90.25 13.77 76.48 90.0 08/21/2018 QGW035768 MASSA, PATRICIA CALL 100 PAYPAL HLDGS INC COM EXP 1 S -405.00 38.00 12.11 25.89 90.0 08/23/2018 QGW035768 MASSA, PATRICIA CALL 100 PAYPAL HLDGS INC COM EXP 1 S -405.00 38.00 12.11 25.89 90.0 08/23/2018 QGW035768 MASSA, PATRICIA CALL 100 NABORS INDS LITD SHI SISINER S -360.00 31.35 16.15 15.20 90.0 08/23/2018 QGW035768 MASSA, PATRICIA CALL 100 VUZIX CORP COM NEW EXP 10- S -1,250.00 94.05 23.27 70.78 90.0 08/23/2018 QGW03576 ZIMMERMAN, FRANCINE CALL 100 VUZIX CORP COM NEW EXP 10- S -1,250.00 94.05 17.34 76.71 90.0 08/23/2018 QGW03579 NOLTE, DONNA CALL 100 VUZIX CORP COM NEW EXP 01- S -650.00 61.75 14.49 47.26 90.0 08/23/2018 QGW03579 NOLTE, DONNA CALL 100 VUZIX CORP COM NEW EXP 01- S -650.00 61.75 14.49 47.26 90.0 08/22/2018 QGW03579 NOLTE, DONNA CALL 100 VUZIX CORP COM NEW EXP 15 -650.00 61.75 14.49 47.26 90.0 08/22/2018 QGW03579 NOLTE, DONNA CALL 100 VUZIX CORP COM NEW EXP 15 -650.00 61.75 14.49 47.26 90.0 08/22/2018 QGW035982 PANDANSIK, JOHN CALL 100 VUZIX CORP COM NEW EXP 10- S -600.00 59.90 18.52 40.38 90.0 08/22/2018 QGW035989 PANDANSIK, JOHN CALL 100 VUZIX CORP COM NEW EXP 10- S -600.00 59.90 18.52 40.38 90.0 08/22/2018 QGW035990 HREUTER, RICHARD CALL 100 VUZIX CORP COM NEW EXP 10- S -600.00 59.90 18.52 40.38 90.0 08/22/2018 QGW035990 HREUTER, RICHARD CALL 100 VUZIX CORP COM NEW EXP 10- S -600.00 94.05 16.15 77.90 90.0 08/22/2018 QGW035990 HREUTER, EXCLAND CALL 100 VUZIX CORP COM NEW EXP 10- S -200.00 94.05 16.15 77.90 90.0 08/22/2018 QGW035990 HREUTER, EXCLAND CALL 100 VUZIX CORP COM NEW EXP 10- S -2.900.00 94.05 16.15 77.90 90.0 08/22/2018 QGW035990 HREUTER, EXCLAND CALL 100	BROKER TRANSACTIONS						
08/21/2018 QCM035791 MASSA, WILLIAM CALL 100 PAYPAL HLDGS INC COM EXP 1 S -405.00 38.00 12.11 23.99 90.0   08/21/2018 QCM035701 SZARKA, MICHAEL CALL 100 EMERGUOS COME EXP 1 S -405.00 38.00 12.11 25.89 90.0   08/21/2018 QCM035768 MASSA, PATRICIA CALL 100 EMERGUOS COME EXP 1 S -405.00 38.00 12.11 25.89 90.0   08/23/2018 QCM035768 MASSA, PATRICIA CALL 100 NABORS INDS LTD SHS ISINHS S -405.00 38.00 12.11 25.89 90.0   08/23/2018 QCM035768 MASSA, PATRICIA CALL 100 VUZIX CORP COM EXP 1 S -405.00 38.00 12.11 25.89 90.0   08/23/2018 QCM035768 MASSA, PATRICIA CALL 100 VUZIX CORP COM NEW EXP 10-S -1,250.00 94.05 33.27 70.78 90.0   08/23/2018 QCM035776 ZIMMERMAN, FRANCINE CALL 100 VUZIX CORP COM NEW EXP 10-S -1,250.00 94.05 17.34 76.71 90.0   08/23/2018 QCM035792 NOLTE, DONNA CALL 100 VUZIX CORP COM NEW EXP 10-S -1,300.00 94.05 17.34 76.71 90.0   08/23/2018 QCM035792 NOLTE, DONNA CALL 100 VUZIX CORP COM NEW EXP 10-S -220.00 23.75 16.15 7.60 90.0   08/23/2018 QCM035792 NOLTE, DONNA CALL 100 VUZIX CORP COM NEW EXP 10-S -650.00 61.75 14.49 47.26 90.0   08/23/2018 QCM035792 NOLTE, DONNA CALL 100 VUZIX CORP COM NEW EXP 10-S -650.00 61.75 14.49 47.26 90.0   08/23/2018 QCM035792 NOLTE, DONNA CALL 100 VUZIX CORP COM NEW EXP 10-S -600.00 58.90 18.52 40.38 90.0   08/23/2018 QCM035992 NOLTE, DONNA CALL 100 VUZIX CORP COM NEW EXP 10-S -600.00 58.90 18.52 40.38 90.0   08/23/2018 QCM035992 PANASUW, JOHN CALL 100 VUZIX CORP COM NEW EXP 10-S -600.00 58.90 18.52 40.38 90.0   08/23/2018 QCM035993 PANASUW, JOHN CALL 100 VUZIX CORP COM NEW EXP 10-S -1,200.00 94.05 16.15 77.90 90.0   08/23/2018 QCM035993 DALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 10-S -1,200.00 94.05 16.15 77.90 90.0   08/23/2018 QCM035993 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 10-S -1,200.00 94.05 16.15 77.90 90.0   08/23/2018 QCM035993 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 10-S -1,200.00 94.05 16.15 77.90 90.0   08/23/2018 QCM035993 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 10-S -1,650.00 94.05 17.34 76.71 90.0   08/23/2018 QCM035993 BALLO,							
08/21/2018 QGW035701 SZARKA, MICHAEL CALL 100 PAYPAL HLDGS INC COM EXP 1s	47.17						
08/17/2018 QR0035727 HERDTER, CYNTHIA CALL 100 ENERGOUS CORP COM EXP 11-1S	20.38						
08/21/2018 QSM035768 MASSA, PATRICIA CALL 100 PAYFAL HLDGS INC COM EXP 1 S -405.00 38.00 12.11 25.89 90.0   08/23/2018 QSM035768 MASSA, PATRICIA CALL 100 NABONS INDS ITD SNS ISINHBS -3-06.00 31.35 16.15 15.20 90.0   08/23/2018 QSM035767 ZIMMERMAN, FRANCINE CALL 100 VUZIX CORP COM NEW EXP 10-S -1,250.00 94.05 17.34 76.71 90.0   08/23/2018 QSM035776 ZIMMERMAN, FRANCINE CALL 100 VUZIX CORP COM NEW EXP 10-S -1,300.00 94.05 17.34 76.71 90.0   08/23/2018 QSM035792 NOLTE, DONNA CALL 100 TRANSOCRAN LTD REG SHS ISIS -20.00 23.75 16.15 7.60 90.0   08/23/2018 QSM035792 NOLTE, DONNA CALL 100 TRANSOCRAN LTD REG SHS ISIS -407.00 37.05 14.01 23.04 90.0   08/23/2018 QSM035792 NOLTE, DONNA CALL 100 TRANSOCRAN LTD REG SHS ISIS -407.00 37.05 14.01 23.04 90.0   08/23/2018 QSM035939 PROMICE, DONNA CALL 100 TRANSOCRAN LTD REG SHS ISIS -407.00 37.05 14.01 23.04 90.0   08/23/2018 QSM03593 CHAUDHRY, JAHANZED CALL 100 TRANSOCRAN LTD REG SHS ISIS -407.00 37.05 14.01 23.04 90.0   08/23/2018 QSM03593 PROMICE, DONNA CALL 100 VUZIX CORP COM NEW EXP 10-S -600.00 58.90 18.52 40.38 90.0   08/23/2018 QSM03599 PROMICE CONTINCE CALL 100 VUZIX CORP COM NEW EXP 10-S -1,920.00 94.05 16.15 77.90 90.0   08/23/2018 QSM03599 BRIDGER, RICHAND CALL 100 FREGUS CORP COM EXP 10-S -1,920.00 94.05 16.15 77.90 90.0   08/23/2018 QSM03600 BALLD, CATHERINE PUT 100 TRANSOCRAN LTD REG SIS ISIN S -1,250.00 94.05 16.15 77.90 90.0   08/23/2018 QSM03603 BALLD, CATHERINE CALL 100 HEICC CORP NEW COM EXP 90-S -290.00 31.35 11.87 19.48 90.0   08/23/2018 QSM03603 BALLD, CATHERINE CALL 100 HEICC CORP NEW COM EXP 90-S -290.00 31.35 11.87 19.49 90.0   08/23/2018 QSM03603 BALLD, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 91-S -1,550.00 94.05 17.34 76.71 90.0   08/23/2018 QSM03603 BALLD, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 91-S -1,375.00 94.05 17.34 76.71 90.0   08/23/2018 QSM03603 BALLD, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 91-S -1,375.00 94.05 17.34 76.71 90.0   08/23/2018 QSM03603 BALD, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 91-S -1,375.00 94.05 17.34 76.71 90.0	22.09						
08/23/2018 QR0035768 MASSA, PATRICIA CALL 100 NABORS INDS LTD SHS ISINHBS	67.46						
08/23/2018 QW0035768 MASSA, PATRICIA CALL 100 VUZIX CORP COM NEW EXP 10-S -1,250.00 94.05 17.34 76.71 90.0   08/21/2018 QW0035776 ZIMMERMAN, FRANCINE CALL 100 TRANSOCEAN LTD REG SHS ISIS -220.00 23.75 16.15 76.00 90.0   08/21/2018 QW0035792 NOLTE, DONNA CALL 100 TRANSOCEAN LTD REG SHS ISIS -650.00 61.75 14.49 47.26 90.0   08/22/2018 QW0035792 NOLTE, DONNA CALL 100 TRANSOCEAN LTD REG SHS ISIS -650.00 61.75 14.49 47.26 90.0   08/22/2018 QW0035792 NOLTE, DONNA CALL 100 TRANSOCEAN LTD REG SHS ISIS -650.00 61.75 14.49 47.26 90.0   08/22/2018 QW0035792 NOLTE, DONNA CALL 100 TRANSOCEAN LTD REG SHS ISIS -407.00 37.05 14.01 23.04 90.0   08/22/2018 QW003591 CHAUDHRY, JAHANZEB CALL 100 PAYPAL HLDGS INC COM EXP 1S -600.00 58.90 18.52 40.38 90.0   08/22/2018 QW003591 BOVE, DONINICK CALL 100 VUZIX CORP COM NEW EXP 10-S -600.00 58.90 18.52 40.38 90.0   08/22/2018 QW003599 HREDTER, RICHARD CALL 100 VUZIX CORP COM NEW EXP 10-S -600.00 58.90 18.52 40.38 90.0   08/22/2018 QW0035990 HREDTER, RICHARD CALL 100 VUZIX CORP COM NEW EXP 10-S -1,100.00 94.05 16.15 77.90 90.0   08/23/2018 QW003600 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 10-S -1,200.00 94.05 16.15 77.90 90.0   08/23/2018 QW003600 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 90-S -290.00 31.35 11.87 19.48 90.0   08/22/2018 QW003600 BALLO, CATHERINE CALL 100 HEICO CORP NEW COM EXP 90-S -290.00 31.35 11.87 19.49 90.0   08/22/2018 QW003600 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 10-S -1,550.00 94.05 17.34 76.71 90.0   08/22/2018 QW003600 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 10-S -1,550.00 94.05 17.34 76.71 90.0   08/22/2018 QW003600 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 10-S -1,550.00 94.05 17.34 76.71 90.0   08/22/2018 QW003600 BALCO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 10-S -1,550.00 94.05 17.34 76.71 90.0   08/22/2018 QW003600 BALCO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 10-S -1,550.00 94.05 17.34 76.71 90.0   08/22/2018 QW003600 BALCO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 10-S -1,550.00 94.05 17.34 76.71 90.0   08	22.09						
08/21/2018 QGW035776 ZIMMERMAN, FRANCINE CALL 100 VUZIX CORP COM NEW EXP 01-S -1,300.00 94.05 17.34 76.71 90.0 08/21/2018 QGW035792 NOLTE, DONNA CALL 100 VUZIX CORP COM NEW EXP 01-S -650.00 61.75 14.49 47.26 90.0 08/21/2018 QGW035792 NOLTE, DONNA CALL 100 VUZIX CORP COM NEW EXP 01-S -650.00 61.75 14.01 23.04 90.0 08/21/2018 QGW035792 NOLTE, DONNA CALL 100 VUZIX CORP COM NEW EXP 01-S -407.00 37.05 14.01 23.04 90.0 08/21/2018 QGW035992 NOLTE, DONNA CALL 100 PAYPAL HILDGS INC COM EXP 1S -420.00 41.80 12.11 29.69 90.0 08/21/2018 QGW035997 BOVE, DOMINICK CALL 100 VUZIX CORP COM NEW EXP 1-S -420.00 41.80 12.11 29.69 90.0 08/21/2018 QGW035999 HERDTER, RICHARD CALL 100 VUZIX CORP COM NEW EXP 01-S -1,100.00 94.05 16.15 77.90 90.0 08/21/2018 QGW035999 HERDTER, RICHARD CALL 100 VUZIX CORP COM NEW EXP 01-S -1,200.00 94.05 16.15 77.90 90.0 08/21/2018 QGW036908 NALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01-S -1,200.00 94.05 16.15 77.90 90.0 08/21/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW COM EXP 09-S -200.00 31.35 11.87 19.48 90.0 08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW COM EXP 09-S -200.00 37.55 12.59 14.96 90.0 08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW COM EXP 09-S -200.00 37.55 12.59 14.96 90.0 08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01-S -1,650.00 94.05 18.52 75.53 90.0 08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01-S -1,375.00 94.05 18.52 75.53 90.0 08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01-S -1,375.00 94.05 17.34 76.71 90.0 08/23/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01-S -1,375.00 94.05 18.52 75.53 90.0 08/23/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01-S -1,375.00 94.05 18.52 75.53 90.0 08/23/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01-S -1,375.00 94.05 16.15 77.90 90.0 08/23/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01-S -1,375.00 94.05 16.15 77.90 90.0 08/23/2018 QGW03603 BALDO	12.07						
08/23/2018 QGW035776 ZIMMERMAN, FRANCINE CALL 100 TRANSOCEAN LTD REG SHS ISIS	61.38						
08/21/2018 QGW035792 NOLTE, DONNA CALL 100 VUZIX CORP COM NEW EXP 01-S -650.00 61.75 14.49 47.26 90.0 08/29/2018 QGW035792 NOLTE, DONNA CALL 100 TRANSCCEAN ITD REG SHS ISI S -407.00 37.05 14.01 23.04 90.0 08/21/2018 QGW035834 CHAUDHRY, JAHANZEB CALL 100 PAYPAL HLDGS INC COM EXP 1 S -420.00 41.80 12.11 29.69 90.0 08/22/2018 QGW035982 PANASUK, JOHN CALL 100 VUZIX CORP COM NEW EXP 10-S -600.00 58.90 18.52 40.38 90.0 08/12/2018 QGW035990 HERDITER, RICHARD CALL 100 VUZIX CORP COM NEW EXP 10-S -1,100.00 94.05 16.15 77.90 90.0 08/17/2018 QGW035990 HERDITER, RICHARD CALL 100 ENERGOUS CORP COM EXP 11-1S -1,920.00 94.05 16.15 77.90 90.0 08/22/2018 QGW036006 WALLNER, ERIC CALL 100 VUZIX CORP COM NEW EXP 01-S -1,200.00 94.05 16.15 77.90 90.0 08/22/2018 QGW036006 WALLO, CATHERINE CALL 100 HEICO CORP NEW COM EXP 09-S -290.00 31.35 11.87 19.48 90.0 08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW COM EXP 09-S -290.00 31.35 11.87 19.48 90.0 08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW COM EXP 09-S -200.00 27.55 12.59 14.96 90.0 08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM WEXP 10-1S -1,650.00 94.05 18.52 75.53 90.0 08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM WEXP 10-1S -3,125.00 94.05 17.34 76.71 90.0 08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM WEXP 10-1S -3,125.00 94.05 17.34 76.71 90.0 08/22/2018 QGW036036 BALLO, CATHERINE CALL 100 VUZIX CORP COM WEXP 10-1S -3,125.00 94.05 17.34 76.71 90.0 08/22/2018 QGW036048 FITEPATTICK, BARRY CALL 100 VUZIX CORP COM WEXP 10-1S -1,375.00 94.05 17.34 76.71 90.0 08/22/2018 QGW036055 MATACOTTA, CORINNA PUT 100 IQIYI INC SPONS ADR ISINHUS S -750.00 72.20 13.77 58.43 90.0 08/22/2018 QGW036055 MATACOTTA, CORINNA PUT 100 VUZIX CORP COM NEW EXP 01-S -1,375.00 94.05 16.15 77.90 90.0 08/23/2018 QGW036071 HENNEMANN, DENNIS CALL 100 VUZIX CORP COM NEW EXP 01-S -1,300.00 94.05 16.15 77.90 90.0 08/23/2018 QGW037012 STAAB, MARY CALL 100 VUZIX CORP COM NEW EXP 01-S -1,300.00 94.05 16.15 77.90 90.0 08/23/2018 QGW037012 STAAB	67.31						
08/29/2018 QGW035792 NOLTE, DONNA CALL 100 TRANSOCEAN LTD REG SHS ISI S	5.23						
08/21/2018 QGW035834 CHAUDRY, JAHANZEB CALL 100 PAYPAL HLDGS INC COM EXP 1 S -420.00	41.09						
08/22/2018 QGW035917 BOVE, DOMINICK CALL 100 VUZIX CORP COM NEW EXP 10-S -600.00 58.90 18.52 40.38 90.0  08/22/2018 QGW035982 PANASUK, JOHN CALL 100 VUZIX CORP COM NEW EXP 01-S -1,100.00 94.05 16.15 77.90 90.0  08/23/2018 QGW035990 HERDTER, RICHARD CALL 100 ENERGOUS CORP COM EXP 11-1 S -1,920.00 94.05 16.15 77.90 90.0  08/23/2018 QGW036006 WALLNER, ERIC CALL 100 VUZIX CORP COM MEW EXP 01-S -1,200.00 94.05 16.15 77.90 90.0  08/01/2018 QGW036030 BALLO, CATHERINE PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,250.00 94.05 17.34 76.71 90.0  08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW COM EXP 09-S -290.00 31.35 11.87 19.48 90.0  08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW COM EXP 09-S -200.00 27.55 12.59 14.96 90.0  08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW COM EXP 01-S -1,650.00 94.05 18.52 75.53 90.0  08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW EXP 01-S -1,650.00 94.05 18.52 75.53 90.0  08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW EXP 01-S -1,650.00 94.05 18.52 75.53 90.0  08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW EXP 01-S -3,125.00 94.05 17.34 76.71 90.0  08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01-S -3,125.00 94.05 17.34 76.71 90.0  08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01-S -3,125.00 94.05 17.34 76.71 90.0  08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01-S -1,375.00 94.05 17.34 76.71 90.0  08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01-S -1,300.00 94.05 17.34 76.71 90.0  08/22/2018 QGW036070 HENNEMANN, DENTICIA PUT 100 VUZIX CORP COM NEW EXP 01-S -1,500.00 94.05 16.15 77.90 90.0  08/23/2018 QGW036070 HENNEMANN, PATRICIA PUT 100 VUZIX CORP COM NEW EXP 01-S -1,300.00 94.05 16.15 77.90 90.0  08/23/2018 QGW037012 STABR, MARY CALL 100 VUZIX CORP COM NEW EXP 01-S -1,300.00 94.05 16.15 77.90 90.0  08/23/2018 QGW037018 OTHORS MARY CALL 100 VUZIX CORP COM NEW EXP 01-S -1,500.00 94.05 16.15 77.90 90.0  08/23	19.34						
08/22/2018 QGW035982 PANASUK, JOHN CALL 100 VUZIX CORP COM NEW EXP 01-S -1,100.00 94.05 16.15 77.90 90.0 08/17/2018 QGW035990 HERDTER, RICHARD CALL 100 ENERGOUS CORP COM EXP 11-1S -1,920.00 94.05 16.15 77.90 90.0 08/23/2018 QGW036006 WALLNER, ERIC CALL 100 VUZIX CORP COM NEW EXP 01-S -1,200.00 94.05 16.15 77.90 90.0 08/101/2018 QGW036030 BALLO, CATHERINE PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,250.00 94.05 17.34 76.71 90.0 08/24/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW COM EXP 09-S -290.00 31.35 11.87 19.48 90.0 08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW COM EXP 09-S -200.00 27.55 12.59 14.96 90.0 08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW COM EXP 09-S -200.00 27.55 12.59 14.96 90.0 08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01-S -1,650.00 94.05 18.52 75.53 90.0 08/23/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01-S -3,125.00 94.05 17.34 76.71 90.0 08/23/2018 QGW036048 FITZPATRICK, BARRY CALL 100 VUZIX CORP COM NEW EXP 01-S -1,375.00 94.05 17.34 76.71 90.0 08/23/2018 QGW036055 MATACOTTA, CORINNA PUT 100 IQIYI INC SPONS ADD RISIN#US S -750.00 72.20 13.77 58.43 90.0 08/23/2018 QGW0360507 HENNEMANN, DENNIS CALL 100 PAYPAL HLDGS INC COM EXP 1 S -488.00 50.35 12.11 38.24 90.0 08/23/2018 QGW036019 HENNEMANN, PATRICIA PUT 100 VUZIX CORP COM NEW EXP 01-S -1,500.00 94.05 16.15 77.90 90.0 08/23/2018 QGW036050 ARDOLINO, SUSAN CALL 100 VUZIX CORP COM NEW EXP 01-S -1,500.00 94.05 16.15 77.90 90.0 08/23/2018 QGW037087 01/19/2016, U/A CALL 100 VUZIX CORP COM NEW EXP 01-S -1,300.00 94.05 16.15 77.90 90.0 08/23/2018 QGW037087 01/19/2016, U/A CALL 100 VUZIX CORP COM NEW EXP 01-S -1,500.00 94.05 16.15 77.90 90.0 08/28/2018 QGW037087 01/19/2016, U/A CALL 100 VUZIX CORP COM NEW EXP 01-S -1,500.00 94.05 16.15 77.90 90.0 08/28/2018 QGW037087 01/19/2016, U/A CALL 100 OPKO HEALTH INC COM EXP 01S -1,500.00 94.05 16.15 77.90 90.0 08/2018 QGW037103 BURNS, KATHLEEN PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,500.00 94.05 16.15 77.90 90.0 08/20	25.51						
08/17/2018 QGW035990 HERDTER, RICHARD CALL 100 ENERGOUS CORP COM EXP 11-1 S -1,920.00 94.05 16.15 77.90 90.0  08/23/2018 QGW036006 WALLNER, ERIC CALL 100 VUZIX CORP COM NEW EXP 01- S -1,200.00 94.05 16.15 77.90 90.0  08/01/2018 QGW036030 BALLO, CATHERINE PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,250.00 94.05 17.34 76.71 90.0  08/14/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW COM EXP 09- S -290.00 31.35 11.87 19.48 90.0  08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW COM EXP 09- S -200.00 27.55 12.59 14.96 90.0  08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 HEICO CORP NEW EXP 01- S -1,650.00 94.05 18.52 75.53 90.0  08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01- S -3,125.00 94.05 17.34 76.71 90.0  08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 ENERGOUS CORP COM EXP 10-1 S -3,125.00 94.05 17.34 76.71 90.0  08/22/2018 QGW036036 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01- S -1,375.00 94.05 17.34 76.71 90.0  08/22/2018 QGW036055 MATACOTTA, CORINNA PUT 100 IQIYI INC SPONS ADR ISIN#US S -750.00 72.20 13.77 58.43 90.0  08/23/2018 QGW036071 HENNEMANN, DENNIS CALL 100 PAYPAL HLDGS INC COM EXP 1 S -480.00 50.35 12.11 38.24 90.0  08/15/2018 QGW03609 HENNEMANN, PATRICIA PUT 100 VUZIX CORP COM NEW EXP 01- S -1,300.00 94.05 16.15 77.90 90.0  08/23/2018 QGW037012 STAAB, MARY CALL 100 VUZIX CORP COM NEW EXP 01- S -1,300.00 94.05 16.15 77.90 90.0  08/23/2018 QGW037087 01/19/2016, U/A PUT 100 COHERUS BIOSCIENCES INC COM S -1,100.00 94.05 13.77 80.28 90.0  08/28/2018 QGW037087 01/19/2016, U/A PUT 100 CHERUS BIOSCIENCES INC COM S -1,100.00 94.05 18.52 75.53 90.0  08/28/2018 QGW037013 BURNS, KATHLEEN PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,000.00 94.05 16.15 77.90 90.0  08/28/2018 QGW037103 BURNS, KATHLEEN PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,000.00 94.05 16.15 77.90 90.0  08/201/2018 QGW037103 BURNS, KATHLEEN PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,000.00 94.05 16.15 77.90 90.0  08/201/2018 QGW037103 BURNS, KATHLEEN PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,000.00 9	34.49						
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08/22/2018 QGW036030 BALLO, CATHERINE CALL 100 VUZIX CORP COM NEW EXP 01- S -1,650.00 94.05 18.52 75.53 90.0 08/23/2018 QGW036030 BALLO, CATHERINE CALL 100 ENERGOUS CORP COM EXP 10-1 S -3,125.00 94.05 17.34 76.71 90.0 08/22/2018 QGW036048 FITZPATRICK, BARRY CALL 100 VUZIX CORP COM NEW EXP 01- S -1,375.00 94.05 17.34 76.71 90.0 08/03/2018 QGW036055 MATACOTTA, CORINNA PUT 100 IQIYI INC SPONS ADR ISIN#US S -750.00 72.20 13.77 58.43 90.0 08/23/2018 QGW036071 HENNEMANN, DENNIS CALL 100 PAYPAL HLDGS INC COM EXP 1 S -480.00 50.35 12.11 38.24 90.0 08/15/2018 QGW036089 HENNEMANN, PATRICIA PUT 100 VUZIX CORP COM NEW EXP 01- S -1,500.00 94.05 16.15 77.90 90.0 08/23/2018 QGW036105 ARDOLINO, SUSAN CALL 100 VUZIX CORP COM NEW EXP 01- S -1,300.00 94.05 16.15 77.90 90.0 08/23/2018 QGW037012 STAAB, MARY CALL 100 VUZIX CORP COM NEW EXP 01- S -1,300.00 94.05 16.15 77.90 90.0 08/16/2018 QGW037087 01/19/2016, U/A PUT 100 COHERUS BIOSCIENCES INC COM S -1,100.00 94.05 13.77 80.28 90.0 08/28/2018 QGW037087 01/19/2016, U/A CALL 100 OPKO HEALTH INC COM EXP 01 S -1,500.00 94.05 18.52 75.53 90.0 08/01/2018 QGW037103 BURNS, KATHLEEN PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,000.00 94.05 16.15 77.90 90.0 08/21/2018 QGW037103 BURNS, KATHLEEN CALL 100 VUZIX CORP COM NEW EXP 01- S -3,250.00 94.05 26.84 67.21 90.0	16.35						
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08/22/2018 QGW036048 FITZPATRICK, BARRY CALL 100 VUZIX CORP COM NEW EXP 01- S -1,375.00 94.05 17.34 76.71 90.0 08/03/2018 QGW036055 MATACOTTA, CORINNA PUT 100 IQIYI INC SPONS ADR ISIN#US S -750.00 72.20 13.77 58.43 90.0 08/23/2018 QGW036071 HENNEMANN, DENNIS CALL 100 PAYPAL HLDGS INC COM EXP 1 S -480.00 50.35 12.11 38.24 90.0 08/15/2018 QGW036089 HENNEMANN, PATRICIA PUT 100 VUZIX CORP COM NEW EXP 01-1 S -1,500.00 94.05 16.15 77.90 90.0 08/23/2018 QGW036105 ARDOLINO, SUSAN CALL 100 VUZIX CORP COM NEW EXP 01- S -1,300.00 94.05 16.15 77.90 90.0 08/23/2018 QGW037012 STAAB, MARY CALL 100 VUZIX CORP COM NEW EXP 01- S -1,300.00 94.05 16.15 77.90 90.0 08/16/2018 QGW037087 01/19/2016, U/A PUT 100 COHERUS BIOSCIENCES INC COM S -1,100.00 94.05 13.77 80.28 90.0 08/28/2018 QGW037087 01/19/2016, U/A CALL 100 OPKO HEALTH INC COM EXP 01 S -1,500.00 94.05 18.52 75.53 90.0 08/01/2018 QGW037103 BURNS, KATHLEEN PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,000.00 94.05 16.15 77.90 90.0 08/21/2018 QGW037103 BURNS, KATHLEEN CALL 100 VUZIX CORP COM NEW EXP 01- S -3,250.00 94.05 26.84 67.21 90.0	66.13						
08/03/2018 QGW036055 MATACOTTA, CORINNA PUT 100 IQIYI INC SPONS ADR ISIN#US S -750.00 72.20 13.77 58.43 90.0 08/23/2018 QGW036071 HENNEMANN, DENNIS CALL 100 PAYPAL HLDGS INC COM EXP 1 S -480.00 50.35 12.11 38.24 90.0 08/15/2018 QGW036089 HENNEMANN, PATRICIA PUT 100 VUZIX CORP COM NEW EXP 01-1 S -1,500.00 94.05 16.15 77.90 90.0 08/23/2018 QGW036105 ARDOLINO, SUSAN CALL 100 VUZIX CORP COM NEW EXP 01- S -1,300.00 94.05 16.15 77.90 90.0 08/23/2018 QGW037012 STAAB, MARY CALL 100 VUZIX CORP COM NEW EXP 01- S -1,300.00 94.05 16.15 77.90 90.0 08/16/2018 QGW037087 01/19/2016, U/A PUT 100 COHERUS BIOSCIENCES INC COM S -1,100.00 94.05 13.77 80.28 90.0 08/28/2018 QGW037087 01/19/2016, U/A CALL 100 OPKO HEALTH INC COM EXP 01 S -1,500.00 94.05 18.52 75.53 90.0 08/01/2018 QGW037103 BURNS, KATHLEEN PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,000.00 94.05 16.15 77.90 90.0 08/21/2018 QGW037103 BURNS, KATHLEEN CALL 100 VUZIX CORP COM NEW EXP 01- S -3,250.00 94.05 26.84 67.21 90.0	67.31						
08/23/2018 QGW036071 HENNEMANN, DENNIS CALL 100 PAYPAL HLDGS INC COM EXP 1 S -480.00 50.35 12.11 38.24 90.0 08/15/2018 QGW036089 HENNEMANN, PATRICIA PUT 100 VUZIX CORP COM NEW EXP 01-1 S -1,500.00 94.05 16.15 77.90 90.0 08/23/2018 QGW036105 ARDOLINO, SUSAN CALL 100 VUZIX CORP COM NEW EXP 01- S -1,300.00 94.05 16.15 77.90 90.0 08/23/2018 QGW037012 STAAB, MARY CALL 100 VUZIX CORP COM NEW EXP 01- S -1,300.00 94.05 16.15 77.90 90.0 08/16/2018 QGW037087 01/19/2016, U/A PUT 100 COHERUS BIOSCIENCES INC COM S -1,100.00 94.05 13.77 80.28 90.0 08/28/2018 QGW037087 01/19/2016, U/A CALL 100 OPKO HEALTH INC COM EXP 01 S -1,500.00 94.05 18.52 75.53 90.0 08/01/2018 QGW037103 BURNS, KATHLEEN PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,000.00 94.05 16.15 77.90 90.0 08/21/2018 QGW037103 BURNS, KATHLEEN CALL 100 VUZIX CORP COM NEW EXP 01- S -3,250.00 94.05 26.84 67.21 90.0	67.31						
08/15/2018 QGW036089 HENNEMANN, PATRICIA PUT 100 VUZIX CORP COM NEW EXP 01-1 S -1,500.00 94.05 16.15 77.90 90.0 08/23/2018 QGW036105 ARDOLINO, SUSAN CALL 100 VUZIX CORP COM NEW EXP 01- S -1,300.00 94.05 16.15 77.90 90.0 08/23/2018 QGW037012 STAAB, MARY CALL 100 VUZIX CORP COM NEW EXP 01- S -1,300.00 94.05 16.15 77.90 90.0 08/16/2018 QGW037087 01/19/2016, U/A PUT 100 COHERUS BIOSCIENCES INC COM S -1,100.00 94.05 13.77 80.28 90.0 08/28/2018 QGW037087 01/19/2016, U/A CALL 100 OPKO HEALTH INC COM EXP 01 S -1,500.00 94.05 18.52 75.53 90.0 08/01/2018 QGW037103 BURNS, KATHLEEN PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,000.00 94.05 16.15 77.90 90.0 08/21/2018 QGW037103 BURNS, KATHLEEN CALL 100 VUZIX CORP COM NEW EXP 01- S -3,250.00 94.05 26.84 67.21 90.0	51.21						
08/23/2018 QGW036105 ARDOLINO, SUSAN CALL 100 VUZIX CORP COM NEW EXP 01-S -1,300.00 94.05 16.15 77.90 90.0 08/23/2018 QGW037012 STAAB, MARY CALL 100 VUZIX CORP COM NEW EXP 01-S -1,300.00 94.05 16.15 77.90 90.0 08/16/2018 QGW037087 01/19/2016, U/A PUT 100 COHERUS BIOSCIENCES INC COM S -1,100.00 94.05 13.77 80.28 90.0 08/28/2018 QGW037087 01/19/2016, U/A CALL 100 OPKO HEALTH INC COM EXP 01 S -1,500.00 94.05 18.52 75.53 90.0 08/01/2018 QGW037103 BURNS, KATHLEEN PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,000.00 94.05 16.15 77.90 90.0 08/21/2018 QGW037103 BURNS, KATHLEEN CALL 100 VUZIX CORP COM NEW EXP 01-S -3,250.00 94.05 26.84 67.21 90.0	33.21						
08/23/2018 QGW037012 STAAB, MARY CALL 100 VUZIX CORP COM NEW EXP 01- S -1,300.00 94.05 16.15 77.90 90.0 08/16/2018 QGW037087 01/19/2016, U/A PUT 100 COHERUS BIOSCIENCES INC COM S -1,100.00 94.05 13.77 80.28 90.0 08/28/2018 QGW037087 01/19/2016, U/A CALL 100 OPKO HEALTH INC COM EXP 01 S -1,500.00 94.05 18.52 75.53 90.0 08/01/2018 QGW037103 BURNS, KATHLEEN PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,000.00 94.05 16.15 77.90 90.0 08/21/2018 QGW037103 BURNS, KATHLEEN CALL 100 VUZIX CORP COM NEW EXP 01- S -3,250.00 94.05 26.84 67.21 90.0	68.50						
08/16/2018 QGW037087 01/19/2016, U/A PUT 100 COHERUS BIOSCIENCES INC COM S -1,100.00 94.05 13.77 80.28 90.0 08/28/2018 QGW037087 01/19/2016, U/A CALL 100 OPKO HEALTH INC COM EXP 01 S -1,500.00 94.05 18.52 75.53 90.0 08/01/2018 QGW037103 BURNS, KATHLEEN PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,000.00 94.05 16.15 77.90 90.0 08/21/2018 QGW037103 BURNS, KATHLEEN CALL 100 VUZIX CORP COM NEW EXP 01-S -3,250.00 94.05 26.84 67.21 90.0	68.50						
08/28/2018 QGW037087 01/19/2016, U/A CALL 100 OPKO HEALTH INC COM EXP 01 S -1,500.00 94.05 18.52 75.53 90.0 08/01/2018 QGW037103 BURNS, KATHLEEN PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,000.00 94.05 16.15 77.90 90.0 08/21/2018 QGW037103 BURNS, KATHLEEN CALL 100 VUZIX CORP COM NEW EXP 01-S -3,250.00 94.05 26.84 67.21 90.0	68.50						
08/01/2018 QGW037103 BURNS, KATHLEEN PUT 100 TRANSOCEAN LTD REG SHS ISIN S -1,000.00 94.05 16.15 77.90 90.0 08/21/2018 QGW037103 BURNS, KATHLEEN CALL 100 VUZIX CORP COM NEW EXP 01-S -3,250.00 94.05 26.84 67.21 90.0	70.88						
08/21/2018 QGW037103 BURNS, KATHLEEN CALL 100 VUZIX CORP COM NEW EXP 01- S -3,250.00 94.05 26.84 67.21 90.0	66.13						
	68.50						
08/24/2018 QGW038002 WICKERS, SCOTT CALL 100 VUZIX CORP COM NEW EXP 10-S -120.00 11.59 12.35 -0.76 90.0	57.81						
	-1.92						
08/28/2018 QGW038556 LAPINTA, LEONARD CALL 100 OPKO HEALTH INC COM EXP 09 S -450.00 33.25 18.52 14.73 90.0	11.41						
08/15/2018 QGW038978 KMIECIAK, INES	39.43						
08/23/2018 QGW039091 CITARELLA, FRANK CALL 100 PAYPAL HLDGS INC COM EXP 1 S -474.00 56.05 12.11 43.94 90.0	38.34						
08/23/2018 QGW039125 WHITE, KERRY CALL 100 VUZIX CORP COM NEW EXP 10-S -750.00 64.60 18.52 46.08 90.0	39.62						
08/23/2018 QGW039125 WHITE, KERRY PUT 100 HEICO CORP NEW COM EXP 09-2 S -1,950.00 94.05 13.77 80.28 90.0	70.88						
07/31/2018 QGW039257 EVANGELISTA, DAVID PUT 100 VUZIX CORP COM NEW EXP 09-2 S -500.00 45.60 16.15 29.45 90.0	24.89						
08/03/2018 QGW039471 MARTINEZ, MARY PUT 100 COHERUS BIOSCIENCES INC COM S -690.00 93.10 12.82 80.28 90.0	70.97						
08/15/2018 QGW039562 BENZA, GREGORY PUT 100 VUZIX CORP COM NEW EXP 01-1 S -1,500.00 94.05 16.15 77.90 90.0	68.50						

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FRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRANS	ACTIONS					
PRODUCT CATEGORY: OPTIONS							
08/08/2018 QGW039570 KMIECIAK, STEFANIA	PUT 100 ENERGOUS CORP COM EXP 09-21 S	-600.00	56.05	13.77	42.28	90.0	36.6
08/07/2018 QGW039612 DUSATKO, FRANK	PUT 100 ZILLOW GROUP INC CL C CAP S S	-1,350.00	94.05	13.77	80.28	90.0	70.8
8/15/2018 QGW039612 DUSATKO, FRANK	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-660.00	61.75	16.15	45.60	90.0	39.
8/15/2018 QGW039612 DUSATKO, FRANK	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-2,250.00	94.05	18.52	75.53	90.0	66.
8/16/2018 QGW039612 DUSATKO, FRANK	PUT 100 COHERUS BIOSCIENCES INC COM S	-1,650.00	94.05	14.96	79.09	90.0	69.
8/27/2018 QGW039612 DUSATKO, FRANK	PUT 100 TESLA INC EXP 09-07-18 @280 S	-580.00	56.05	11.87	44.18	90.0	38.
8/21/2018 QGW039745 LEWIS, THOMAS	CALL 100 PAYPAL HLDGS INC COM EXP 0 S	-736.00	67.45	13.30	54.15	90.0	47.4
8/24/2018 QGW039745 LEWIS, THOMAS	PUT 100 NATIONAL OILWELL VARCO INC S	-460.00	33.25	13.77	19.48	90.0	16.3
8/24/2018 QGW039745 LEWIS, THOMAS	PUT 100 VUZIX CORP COM NEW EXP 10-1 S	-800.00	64.60	23.27	41.33	90.0	34.8
8/24/2018 QGW039869 OHARA, JOHN	CALL 100 PAYPAL HLDGS INC COM EXP 1 S	-408.00	49.40	12.11	37.29	90.0	32.3
8/24/2018 QGW039869 OHARA, JOHN	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-720.00	70.30	16.15	54.15	90.0	47.1
8/10/2018 QGW040081 CAUSIN, LAURENCE	PUT 100 JD COM INC SPONS ADR REPSTG S	-632.00	57.00	13.30	43.70	90.0	38.0
	* OPTIONS SUBTOTAL *	\$-58,608.00	\$4,070.94	\$939.20	\$3,131.74		\$2,724.8

	PUT 100 ENERGOUS CORP COM EXP 09-21 S	-600.00	56.05	13.77	42.28	90.0	36.68
08/07/2018 QGW039612 DUSATKO, FRANK	PUT 100 ZILLOW GROUP INC CL C CAP S S	-1,350.00	94.05	13.77	80.28	90.0	70.88
08/15/2018 QGW039612 DUSATKO, FRANK	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-660.00	61.75	16.15	45.60	90.0	39.43
08/15/2018 QGW039612 DUSATKO, FRANK	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-2,250.00	94.05	18.52	75.53	90.0	66.13
08/16/2018 QGW039612 DUSATKO, FRANK	PUT 100 COHERUS BIOSCIENCES INC COM S	-1,650.00	94.05	14.96	79.09	90.0	69.69
08/27/2018 QGW039612 DUSATKO, FRANK	PUT 100 TESLA INC EXP 09-07-18 @280 S	-580.00	56.05	11.87	44.18	90.0	38.58
08/21/2018 QGW039745 LEWIS, THOMAS	CALL 100 PAYPAL HLDGS INC COM EXP 0 S	-736.00	67.45	13.30	54.15	90.0	47.41
08/24/2018 QGW039745 LEWIS, THOMAS	PUT 100 NATIONAL OILWELL VARCO INC S	-460.00	33.25	13.77	19.48	90.0	16.16
08/24/2018 QGW039745 LEWIS, THOMAS	PUT 100 VUZIX CORP COM NEW EXP 10-1 S	-800.00	64.60	23.27	41.33	90.0	34.87
08/24/2018 QGW039869 OHARA, JOHN	CALL 100 PAYPAL HLDGS INC COM EXP 1 S	-408.00	49.40	12.11	37.29	90.0	32.35
08/24/2018 QGW039869 OHARA, JOHN	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-720.00	70.30	16.15	54.15	90.0	47.12
08/10/2018 QGW040081 CAUSIN, LAURENCE	PUT 100 JD COM INC SPONS ADR REPSTG S	-632.00	57.00	13.30	43.70	90.0	38.00
	* OPTIONS SUBTOTAL *	\$-58,608.00	\$4,070.94	\$939.20	\$3,131.74	•	\$2,724.86
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS						
08/31/2018	FEE COUNT - 27	0.00	187.09	0.00	187.09	90.0	168.41
			\$187.09	\$0.00	\$187.09	•	\$168.41
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$107.03	40.00	4-07.002		,
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *  *** BROKER TRANSACTIONS TOTAL ***	\$379,535.12	\$24,875.55	\$1,657.40	\$23,218.15	-	\$20,730.97
		\$379,535.12	·	·	· .	•	
08/31/2018	*** BROKER TRANSACTIONS TOTAL ***	\$379,535.12	·	·	· .	-	
08/31/2018 08/31/2018	*** BROKER TRANSACTIONS TOTAL ***  ADJUSTMEN	\$379,535.12 NTS	\$24,875.55	\$1,657.40	\$23,218.15	-	\$20,730.97
	*** BROKER TRANSACTIONS TOTAL ***  ADJUSTMEN  OTHER: COMPLIANCE AND TECHNOLOGY	\$379,535.12 NTS	<b>\$24,875.55</b>	\$1,657.40	\$23,218.15	-	\$20,730.97 -20.00

# BROKER COMMISSION TOTALS

Broker	Transactions	\$20,730.97
Split	Transactions	\$0.00
Override	Transactions	\$0.00
	Adjustments	\$-231.75
	Payroll Draw	\$0.00
	Base Salary	\$0.00
Prior Pe	eriod Balance	\$0.00
FINE	RA Assessment	\$-31.09
SII	PC Assessment	\$-62.19

Check Amount	\$20,405.94
Year-to-date Earnings	\$184,782.17