



Concorde Investment Services, LLC

06/15/2018

Page No. 1

# COMMISSION STATEMENT

June 15, 2018

BRENT, L137 LEE  
13423 Kings View Lane

BROKER #: L137  
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	KUHN, KENNETH	JNL PERSPECTIVE L	260,335.44	216.95	0.00	216.95	90.0	195.26
05/31/2018	KUHN, KRISTINE	JNL PERSPECTIVE L	140,306.58	116.92	0.00	116.92	90.0	105.23
05/31/2018	FREIH, DIANE	JNL PERSPECTIVE L	106,610.10	88.84	0.00	88.84	90.0	79.96
05/31/2018	KEMPER, SHERRY	JNL PERSPECTIVE L	210,494.50	175.41	0.00	175.41	90.0	157.87
05/31/2018	RETZLAFF, SANDRA	JNL PERSPECTIVE L	38,546.57	32.12	0.00	32.12	90.0	28.91
05/31/2018	GRANT, GREGORY	JNL PERSPECTIVE L	96,223.15	80.19	0.00	80.19	90.0	72.17
05/31/2018	MONETTE, RONALD	JNL PERSPECTIVE L	61,290.89	51.08	0.00	51.08	90.0	45.97
05/31/2018	LIESENER, MARTY	JNL PERSPECTIVE L	44,203.89	36.84	0.00	36.84	90.0	33.16
05/31/2018	BARTINGALE, JAMES	JNL PERSPECTIVE L	63,922.47	53.27	0.00	53.27	90.0	47.94
05/31/2018	MONETTE, RONALD	JNL PERSPECTIVE L	209,500.73	174.58	0.00	174.58	90.0	157.12
04/30/2018	SIKOLE, ROBERT	PRU PREMIER RETIREMENT B	36,944.02	15.39	0.00	15.39	90.0	13.85
04/30/2018	BARTINGALE, JAMES	PRU PREMIER RETIREMENT B	129,710.65	54.05	0.00	54.05	90.0	48.65
05/31/2018	SIKOLE, ROBERT	PRU PREMIER RETIREMENT B	37,327.55	15.55	0.00	15.55	90.0	14.00
05/31/2018	BARTINGALE, JAMES	PRU PREMIER RETIREMENT B	131,399.80	54.75	0.00	54.75	90.0	49.28
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$1,566,816.34</b>	<b>\$1,165.94</b>	<b>\$0.00</b>	<b>\$1,165.94</b>		<b>\$1,049.37</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	11.47	0.00	11.47	90.0	10.32
06/01/2018	HEEN, JEREMY	COLLEGE 2021 FUND-529A	0.00	20.66	0.00	20.66	90.0	18.59
06/01/2018	HEEN, JEREMY	COLLEGE 2027 FUND-529A	0.00	14.07	0.00	14.07	90.0	12.66
06/01/2018	HEEN, JEREMY	COLLEGE 2033 FUND-529A	0.00	10.34	0.00	10.34	90.0	9.31
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$56.54</b>	<b>\$0.00</b>	<b>\$56.54</b>		<b>\$50.88</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$1,566,816.34</b>	<b>\$1,222.48</b>	<b>\$0.00</b>	<b>\$1,222.48</b>		<b>\$1,100.25</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #10</u></b>								
06/01/2018	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
06/01/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	3.01	0.00	3.01	90.0	2.71
06/01/2018	HEEN, JEREMY	COLLEGE 2021 FUND-529A	370.00	5.18	0.00	5.18	90.0	4.66
06/01/2018	HEEN, JEREMY	COLLEGE 2027 FUND-529A	245.00	3.43	0.00	3.43	90.0	3.09
06/01/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	180.00	3.15	0.00	3.15	90.0	2.84
06/01/2018	HEEN, JEREMY	COLLEGE 2033 FUND-529A	190.00	2.66	0.00	2.66	90.0	2.39
06/04/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	160.00	2.80	0.00	2.80	90.0	2.52
<b>* BRENT/BRION TRU- BLB LEE/NORBY SUBTOTAL *</b>			<b>\$1,585.00</b>	<b>\$24.17</b>	<b>\$0.00</b>	<b>\$24.17</b>		<b>\$21.76</b>

<b><u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #332</u></b>								
06/01/2018	MILLER, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.59	0.00	0.59	90.0	0.53
06/01/2018	MILLER, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2018	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	0.00	5.59	0.00	5.59	90.0	5.03
06/01/2018	MILLER, CHARLES	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2018	MILLER, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.58	0.00	0.58	90.0	0.52
06/01/2018	MILLER, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2018	DEVLIN, DON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.07	0.00	3.07	90.0	2.76
06/01/2018	MILLER, CHARLES	AM FUNDS NEW WORLD A	0.00	0.55	0.00	0.55	90.0	0.50
06/01/2018	MILLER, CHARLES	AM FUNDS NEW WORLD A	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2018	DEVLIN, DON	AM FUNDS SMALLCAP WORLD A	0.00	0.97	0.00	0.97	90.0	0.87
06/01/2018	MILLER, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.87	0.00	0.87	90.0	0.78
06/01/2018	MILLER, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	0.00	6.11	0.00	6.11	90.0	5.50
05/15/2018	KASMER, SARA	COLLEGE 2033 FUND-529A	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	0.00	4.56	0.00	4.56	90.0	4.10
06/01/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	0.00	3.80	0.00	3.80	90.0	3.42
06/01/2018	SPITZLEY, MARIAN	MOODY DFW DST	246,096.25	7,382.89	0.00	7,382.89	90.0	6,644.60
06/01/2018	RETZLAFF, SANDRA	MOODY NATIONAL REIT II INC	26,000.00	0.00	0.00	0.00	90.0	0.00
<b>* BRENT/BRION TRU- BLB LEE/NORBY SUBTOTAL *</b>			<b>\$272,096.25</b>	<b>\$7,410.99</b>	<b>\$0.00</b>	<b>\$7,410.99</b>		<b>\$6,669.90</b>

<b><u>BROKER: JEN-BRENT-BRION TRU-JBB DONAIS-L #333</u></b>								
05/15/2018	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.17	0.00	0.17	90.0	0.15
05/15/2018	ERNST, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
05/16/2018	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEN-BRENT-BRION TRU-JBB DONAIS-L #333</u></b>								
05/18/2018	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.08	0.00	0.08	90.0	0.07
05/02/2018	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	0.21	0.00	0.21	90.0	0.19
05/29/2018	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	0.20	0.00	0.20	90.0	0.18
05/09/2018	LARAMIE, JAMES	ISHARES CORE S&P SMALL CAP ETF	12,535.18	0.00	2.42	-2.42	90.0	-2.18
06/08/2018	SULLICAN, TAMARA	ISHARES CORE S&P SMALL CAP ETF	425.95	2.20	2.47	-0.27	90.0	-0.24
05/09/2018	LARAMIE, JAMES	ISHARES S&P 500 VALUE ETF	11,758.23	0.00	2.42	-2.42	90.0	-2.18
05/09/2018	LARAMIE, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	15,221.03	0.00	2.42	-2.42	90.0	-2.18
05/09/2018	LARAMIE, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	7,330.26	0.00	2.42	-2.42	90.0	-2.18
05/09/2018	LARAMIE, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	56,070.88	0.00	2.42	-2.42	90.0	-2.18
05/09/2018	LARAMIE, JAMES	ISHARES TR CORE S&P MID-CAP ETF	20,506.66	0.00	2.42	-2.42	90.0	-2.18
05/09/2018	LARAMIE, JAMES	ISHARES TR IBOX USD INVT GRADE COR	18,318.94	0.00	2.42	-2.42	90.0	-2.18
05/09/2018	LARAMIE, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	12,717.26	0.00	2.42	-2.42	90.0	-2.18
05/09/2018	LARAMIE, JAMES	ISHARES TR S&P 500 GROWTH ETF	8,433.90	0.00	2.42	-2.42	90.0	-2.18
05/09/2018	LARAMIE, JAMES	ISHARES TR S&P 500 INDEX FD	126,226.62	0.00	2.42	-2.42	90.0	-2.18
05/09/2018	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE	14,034.29	0.00	2.42	-2.42	90.0	-2.18
05/16/2018	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.07	0.00	0.07	90.0	0.06
05/31/2018	RED RIVER SUPPLY	PRINCIPAL LIFE FLEX INV VA	828,568.00	56.96	0.00	56.96	90.0	51.26
05/16/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.54	0.00	0.54	90.0	0.49
05/29/2018	ERNST, TERRI	TOUCHSTONE SMALL COMPANY FUND CLASS	0.00	0.06	0.00	0.06	90.0	0.05
05/09/2018	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	23,769.16	0.00	2.42	-2.42	90.0	-2.18
05/09/2018	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	18,538.53	0.00	2.42	-2.42	90.0	-2.18
05/09/2018	LARAMIE, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	47,774.07	0.00	2.42	-2.42	90.0	-2.18
<b>* JEN-BRENT-BRION TRU-JBB DONAIS-L SUBTOTAL *</b>			<b>\$1,222,228.96</b>	<b>\$60.58</b>	<b>\$36.35</b>	<b>\$24.23</b>		<b>\$21.78</b>
<b><u>BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #335</u></b>								
06/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.15	0.00	0.15	90.0	0.14

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #335</u></b>								
06/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.43	0.00	0.43	90.0	0.39
06/01/2018	JORGENSEN, BLAINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.05	0.00	0.05	90.0	0.05
06/01/2018	JORGENSEN, BLAINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2018	JORGENSEN, BLAINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2018	JORGENSEN, BLAINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.37	0.00	2.37	90.0	2.13
06/01/2018	JORGENSEN, BLAINE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.06	0.00	0.06	90.0	0.05
06/01/2018	JORGENSEN, BLAINE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2018	JORGENSEN, BLAINE	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	1.19	0.00	1.19	90.0	1.07
06/01/2018	JORGENSEN, BLAINE	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	3.21	0.00	3.21	90.0	2.89
06/01/2018	JORGENSEN, BLAINE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.05	0.00	0.05	90.0	0.05
06/01/2018	JORGENSEN, BLAINE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2018	JORGENSEN, BLAINE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.99	0.00	0.99	90.0	0.89
06/01/2018	JORGENSEN, BLAINE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.78	0.00	2.78	90.0	2.50
06/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2018	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2018	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2018	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.67	0.00	0.67	90.0	0.60
06/01/2018	JOHNSON, BRADLEY	AM FUNDS ICA A	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.36	0.00	0.36	90.0	0.32
06/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.27	0.00	0.27	90.0	0.24

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #335</u></b>								
06/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.67	0.00	0.67	90.0	0.60
06/01/2018	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.43	0.00	0.43	90.0	0.39
06/01/2018	JORGENSEN, BLAINE	COLLEGE 2021 FUND-529A	0.00	2.27	0.00	2.27	90.0	2.04
06/01/2018	JORGENSEN, BLAINE	COLLEGE 2021 FUND-529C	0.00	30.78	0.00	30.78	90.0	27.70
06/01/2018	JORGENSEN, BLAINE	COLLEGE 2024 FUND - 529A	0.00	2.03	0.00	2.03	90.0	1.83
06/01/2018	JORGENSEN, BLAINE	COLLEGE 2024 FUND-529C	0.00	42.85	0.00	42.85	90.0	38.57
06/01/2018	JORGENSEN, BLAINE	COLLEGE ENROLLMENT FUND-529C	0.00	12.65	0.00	12.65	90.0	11.39
06/01/2018	LEE, DONALD	GLOBAL GROWTH PORTFOLIO-529A	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2018	RASMUSSEN, CLINTON	GLOBAL GROWTH PORTFOLIO-529A	0.00	0.43	0.00	0.43	90.0	0.39
05/08/2018	LYNDE, STEVEN	HPI REAL ESTATE FUND VI LLC	100,000.00	2,400.00	0.00	2,400.00	90.0	2,160.00
<b>* BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL *</b>			<b>\$100,000.00</b>	<b>\$2,512.70</b>	<b>\$0.00</b>	<b>\$2,512.70</b>		<b>\$2,261.49</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$1,595,910.21</b>	<b>\$10,008.44</b>	<b>\$36.35</b>	<b>\$9,972.09</b>		<b>\$8,974.93</b>

**ADJUSTMENTS**

06/15/2018	*CONCIERGE SVC: 215816 LYNDE	0.00	-20.00
06/15/2018	*CONCIERGE SVC: 216202 LIFFRIG	0.00	-5.00
06/15/2018	*CONCIERGE SVC: 216302 LIFFRIG	0.00	-5.00
06/15/2018	*CONCIERGE SVC: 216371 KOHLER	0.00	-12.50
06/15/2018	*CONCIERGE SVC: 217496 SPITZLEY	0.00	-25.00
06/15/2018	*EO INS: CURRENT MONTH INS	0.00	-300.00
06/15/2018	*FINRA: FL INDIVIDUAL REG. FEE	0.00	-50.00
06/15/2018	*PERSHING: ETF PROSPECTUS MAILING	0.00	-4.65
06/15/2018	*PERSHING: ETF PROSPECTUS MAILING	0.00	-6.38
06/15/2018	*PERSHING: ETF PROSPECTUS MAILING	0.00	-6.08
06/15/2018	*PERSHING: ETF PROSPECTUS MAILING	0.00	-0.39
06/15/2018	*PERSHING: BOOKS & RECORDS	0.00	-0.15
06/15/2018	*RBC: WEALTHSTATION - BUMGARDNER	0.00	-25.00
06/15/2018	*TECH: CURRENT MONTH TECH	0.00	-275.00
06/15/2018	*TECHNOLOGY: ADMIN - BUMGARDNER	0.00	-100.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-835.15
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BROKER COMMISSION TOTALS

Broker Transactions	\$1,100.25
Split Transactions	\$8,974.93
Override Transactions	\$0.00
Adjustments	\$-835.15
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$12.88
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$9,252.91
Year-to-date Gross	\$67,346.93
Year-to-date Earnings	\$60,023.15

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed