



Concorde Investment Services, LLC

05/11/2017

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COMMISSION STATEMENT

May 15, 2017

JEFFREY KAUFMAN
801 Gray Oak Drive

BROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/02/2017	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	1,230.76	12.31	0.00	12.31	90.0	11.08
05/02/2017	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	516.81	5.17	0.00	5.17	90.0	4.65
05/02/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	85.40	0.85	0.00	0.85	90.0	0.77
05/02/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	85.40	0.85	0.00	0.85	90.0	0.77
05/02/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
05/02/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
05/02/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	79.54	0.80	0.00	0.80	90.0	0.72
05/02/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	59.65	0.60	0.00	0.60	90.0	0.54
05/02/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	69.31	0.69	0.00	0.69	90.0	0.62
05/02/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	69.31	0.69	0.00	0.69	90.0	0.62
05/02/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	28.64	0.29	0.00	0.29	90.0	0.26
05/02/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	28.64	0.29	0.00	0.29	90.0	0.26
05/02/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
05/02/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
05/02/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.20	0.40	0.00	0.40	90.0	0.36
05/02/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.20	0.40	0.00	0.40	90.0	0.36
05/02/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	96.71	0.97	0.00	0.97	90.0	0.87
05/02/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	96.71	0.97	0.00	0.97	90.0	0.87
05/01/2017	MEDLEY, LISA	AM FUNDS AMERICAN BALANCED A	52.66	0.53	0.00	0.53	90.0	0.48
05/01/2017	MEDLEY, LISA	AM FUNDS AMERICAN BALANCED A	52.66	0.53	0.00	0.53	90.0	0.48
05/02/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN BALANCED A	1,104.80	11.05	0.00	11.05	90.0	9.95
05/02/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN BALANCED A	331.44	3.31	0.00	3.31	90.0	2.98
05/02/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	311.71	3.12	0.00	3.12	90.0	2.81
05/02/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	133.59	1.34	0.00	1.34	90.0	1.21
05/02/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	97.60	0.98	0.00	0.98	90.0	0.88
05/02/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	97.60	0.98	0.00	0.98	90.0	0.88

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
05/02/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	236.74	2.37	0.00	2.37	90.0	2.13
05/02/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	71.02	0.71	0.00	0.71	90.0	0.64
05/02/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	63.29	0.63	0.00	0.63	90.0	0.57
05/02/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	23.73	0.24	0.00	0.24	90.0	0.22
05/02/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	292.02	2.92	0.00	2.92	90.0	2.63
05/02/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	292.02	2.92	0.00	2.92	90.0	2.63
05/02/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
05/02/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
05/02/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	49.94	0.50	0.00	0.50	90.0	0.45
05/02/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	49.94	0.50	0.00	0.50	90.0	0.45
05/02/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	28.25	0.28	0.00	0.28	90.0	0.25
05/02/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	28.25	0.28	0.00	0.28	90.0	0.25
05/02/2017	PATTISON, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	236.74	2.37	0.00	2.37	90.0	2.13
05/02/2017	PATTISON, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	71.02	0.71	0.00	0.71	90.0	0.64
05/01/2017	REDDICK, RYAN	AM FUNDS EUROPACIFIC GROWTH FUND A	62.50	1.72	0.00	1.72	90.0	1.55
05/02/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	47.46	0.47	0.00	0.47	90.0	0.42
05/02/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	17.80	0.18	0.00	0.18	90.0	0.16
05/04/2017	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	184.62	3.69	0.00	3.69	90.0	3.32
05/05/2017	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND A	200.00	10.00	0.00	10.00	90.0	9.00
05/02/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	947.16	9.47	0.00	9.47	90.0	8.52
05/02/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	321.00	3.21	0.00	3.21	90.0	2.89
05/02/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	122.06	1.22	0.00	1.22	90.0	1.10
05/02/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	122.06	1.22	0.00	1.22	90.0	1.10
05/02/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	127.38	1.27	0.00	1.27	90.0	1.14
05/02/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	127.38	1.27	0.00	1.27	90.0	1.14
04/25/2017	MARK, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA A	458.32	22.92	0.00	22.92	90.0	20.63
05/01/2017	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	62.50	1.72	0.00	1.72	90.0	1.55
05/01/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	62.07	2.33	0.00	2.33	90.0	2.10
05/01/2017	SUMMERS, AARON	AM FUNDS GROWTH FUND OF AMERICA A	58.97	2.21	0.00	2.21	90.0	1.99
05/01/2017	EICHHOLZ, JARED	AM FUNDS GROWTH FUND OF AMERICA A	122.35	4.59	0.00	4.59	90.0	4.13
05/01/2017	JOHNSON, MARK	AM FUNDS GROWTH FUND OF AMERICA A	180.00	6.75	0.00	6.75	90.0	6.08
05/01/2017	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	75.00	0.75	0.00	0.75	90.0	0.68
05/01/2017	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	46.45	0.46	0.00	0.46	90.0	0.41

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2017	TURNER, JOSH	AM FUNDS GROWTH FUND OF AMERICA A	30.49	0.30	0.00	0.30	90.0	0.27
05/01/2017	TURNER, JOSH	AM FUNDS GROWTH FUND OF AMERICA A	30.49	0.30	0.00	0.30	90.0	0.27
05/01/2017	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	260.25	2.60	0.00	2.60	90.0	2.34
05/01/2017	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.41	0.00	0.41	90.0	0.37
05/01/2017	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	520.50	5.21	0.00	5.21	90.0	4.69
05/01/2017	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	82.50	0.83	0.00	0.83	90.0	0.75
05/01/2017	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	27.53	0.28	0.00	0.28	90.0	0.25
05/01/2017	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	27.53	0.28	0.00	0.28	90.0	0.25
05/01/2017	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	260.25	2.60	0.00	2.60	90.0	2.34
05/01/2017	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.41	0.00	0.41	90.0	0.37
05/01/2017	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.68	0.00	0.68	90.0	0.61
05/01/2017	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.68	0.00	0.68	90.0	0.61
05/01/2017	UNZICKER, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	89.88	0.90	0.00	0.90	90.0	0.81
05/01/2017	UNZICKER, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	89.88	0.90	0.00	0.90	90.0	0.81
05/02/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	56.51	0.57	0.00	0.57	90.0	0.51
05/02/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	56.51	0.57	0.00	0.57	90.0	0.51
05/02/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	44.75	0.45	0.00	0.45	90.0	0.41
05/02/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	14.91	0.15	0.00	0.15	90.0	0.14
05/02/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	47.46	0.47	0.00	0.47	90.0	0.42
05/02/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	17.80	0.18	0.00	0.18	90.0	0.16
05/02/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	18.91	0.19	0.00	0.19	90.0	0.17
05/02/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	18.91	0.19	0.00	0.19	90.0	0.17
05/02/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	45.46	0.45	0.00	0.45	90.0	0.41
05/02/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	45.46	0.45	0.00	0.45	90.0	0.41
05/02/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	94.39	0.94	0.00	0.94	90.0	0.85
05/02/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	94.39	0.94	0.00	0.94	90.0	0.85
05/02/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17
05/02/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17
05/04/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	184.62	3.69	0.00	3.69	90.0	3.32
05/05/2017	LEHMAN, COLBY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
05/05/2017	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	150.00	7.50	0.00	7.50	90.0	6.75
05/01/2017	WINFREY, LARRY	AM FUNDS ICA A	200.00	7.50	0.00	7.50	90.0	6.75
05/01/2017	BAUER, MATTHEW	AM FUNDS ICA A	60.25	2.26	0.00	2.26	90.0	2.03

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2017	SUMMERS, AARON	AM FUNDS ICA A	58.96	2.21	0.00	2.21	90.0	1.99
05/01/2017	EICHHOLZ, JARED	AM FUNDS ICA A	122.35	4.59	0.00	4.59	90.0	4.13
05/01/2017	EULTGEN, VICKI	AM FUNDS ICA A	75.00	0.75	0.00	0.75	90.0	0.68
05/01/2017	EULTGEN, VICKI	AM FUNDS ICA A	46.45	0.46	0.00	0.46	90.0	0.41
05/01/2017	MURPHY, MELISSA	AM FUNDS ICA A	208.20	2.08	0.00	2.08	90.0	1.87
05/01/2017	MURPHY, MELISSA	AM FUNDS ICA A	33.00	0.33	0.00	0.33	90.0	0.30
05/01/2017	RIDGWAY, BENJAMIN	AM FUNDS ICA A	50.00	0.50	0.00	0.50	90.0	0.45
05/01/2017	RIDGWAY, BENJAMIN	AM FUNDS ICA A	50.00	0.50	0.00	0.50	90.0	0.45
05/01/2017	SUTTON, CHRISTOPHER	AM FUNDS ICA A	112.00	1.12	0.00	1.12	90.0	1.01
05/01/2017	SUTTON, CHRISTOPHER	AM FUNDS ICA A	83.24	0.83	0.00	0.83	90.0	0.75
05/01/2017	ELMORE, STUART	AM FUNDS ICA A	91.06	0.91	0.00	0.91	90.0	0.82
05/01/2017	ELMORE, STUART	AM FUNDS ICA A	91.06	0.91	0.00	0.91	90.0	0.82
05/01/2017	MEDLEY, LISA	AM FUNDS ICA A	52.65	0.53	0.00	0.53	90.0	0.48
05/01/2017	MEDLEY, LISA	AM FUNDS ICA A	52.65	0.53	0.00	0.53	90.0	0.48
05/02/2017	DILLON, EMMA	AM FUNDS ICA A	18.90	0.19	0.00	0.19	90.0	0.17
05/02/2017	DILLON, EMMA	AM FUNDS ICA A	14.18	0.14	0.00	0.14	90.0	0.13
05/02/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	113.40	1.13	0.00	1.13	90.0	1.02
05/02/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	37.80	0.38	0.00	0.38	90.0	0.34
05/02/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
05/02/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
05/01/2017	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	208.20	2.08	0.00	2.08	90.0	1.87
05/01/2017	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	33.00	0.33	0.00	0.33	90.0	0.30
05/01/2017	REDDICK, RYAN	AM FUNDS NEW ECONOMY A	62.50	1.72	0.00	1.72	90.0	1.55
05/01/2017	BAUER, MATTHEW	AM FUNDS NEW ECONOMY A	60.24	2.26	0.00	2.26	90.0	2.03
05/01/2017	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	53.53	0.54	0.00	0.54	90.0	0.49
05/01/2017	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	53.53	0.54	0.00	0.54	90.0	0.49
05/01/2017	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	48.00	0.48	0.00	0.48	90.0	0.43
05/01/2017	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	35.67	0.36	0.00	0.36	90.0	0.32
05/02/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	43.43	0.43	0.00	0.43	90.0	0.39
05/02/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.48	0.14	0.00	0.14	90.0	0.13
05/02/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	53.08	0.53	0.00	0.53	90.0	0.48
05/02/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	31.85	0.32	0.00	0.32	90.0	0.29
05/02/2017	ELLIOTT, TIMOTHY	AM FUNDS NEW ECONOMY A	3,250.00	162.50	0.00	162.50	90.0	146.25

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/04/2017	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	184.62	3.69	0.00	3.69	90.0	3.32
05/05/2017	MO/TOD	AM FUNDS NEW ECONOMY A	60.00	3.00	0.00	3.00	90.0	2.70
05/01/2017	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	50.00	0.50	0.00	0.50	90.0	0.45
05/01/2017	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	30.98	0.31	0.00	0.31	90.0	0.28
05/01/2017	TURNER, JOSH	AM FUNDS NEW PERSPECTIVE A	30.49	0.30	0.00	0.30	90.0	0.27
05/01/2017	TURNER, JOSH	AM FUNDS NEW PERSPECTIVE A	30.49	0.30	0.00	0.30	90.0	0.27
05/01/2017	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	208.20	2.08	0.00	2.08	90.0	1.87
05/01/2017	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	33.00	0.33	0.00	0.33	90.0	0.30
05/01/2017	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	53.54	0.54	0.00	0.54	90.0	0.49
05/01/2017	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	53.54	0.54	0.00	0.54	90.0	0.49
05/01/2017	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	27.53	0.28	0.00	0.28	90.0	0.25
05/01/2017	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	27.53	0.28	0.00	0.28	90.0	0.25
05/01/2017	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	260.25	2.60	0.00	2.60	90.0	2.34
05/01/2017	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	41.25	0.41	0.00	0.41	90.0	0.37
05/02/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	56.51	0.57	0.00	0.57	90.0	0.51
05/02/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	56.50	0.57	0.00	0.57	90.0	0.51
05/02/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	43.44	0.43	0.00	0.43	90.0	0.39
05/02/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.48	0.14	0.00	0.14	90.0	0.13
05/02/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	18.91	0.19	0.00	0.19	90.0	0.17
05/02/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	18.91	0.19	0.00	0.19	90.0	0.17
05/02/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	44.12	0.44	0.00	0.44	90.0	0.40
05/02/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	44.12	0.44	0.00	0.44	90.0	0.40
05/02/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	33.60	0.34	0.00	0.34	90.0	0.31
05/02/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	16.80	0.17	0.00	0.17	90.0	0.15
05/01/2017	EULTGEN, VICKI	AM FUNDS NEW WORLD A	25.00	0.25	0.00	0.25	90.0	0.23
05/01/2017	EULTGEN, VICKI	AM FUNDS NEW WORLD A	15.48	0.15	0.00	0.15	90.0	0.14
05/01/2017	TURNER, JOSH	AM FUNDS NEW WORLD A	30.49	0.30	0.00	0.30	90.0	0.27
05/01/2017	TURNER, JOSH	AM FUNDS NEW WORLD A	30.49	0.30	0.00	0.30	90.0	0.27
05/01/2017	MURPHY, MELISSA	AM FUNDS NEW WORLD A	52.05	0.52	0.00	0.52	90.0	0.47
05/01/2017	MURPHY, MELISSA	AM FUNDS NEW WORLD A	8.25	0.08	0.00	0.08	90.0	0.07
05/01/2017	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	520.50	5.21	0.00	5.21	90.0	4.69
05/01/2017	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	82.50	0.83	0.00	0.83	90.0	0.75
05/01/2017	VICTOR, THOMAS	AM FUNDS NEW WORLD A	27.53	0.28	0.00	0.28	90.0	0.25

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2017	VICTOR, THOMAS	AM FUNDS NEW WORLD A	27.53	0.28	0.00	0.28	90.0	0.25
05/01/2017	TURNER, COREY	AM FUNDS NEW WORLD A	260.25	2.60	0.00	2.60	90.0	2.34
05/01/2017	TURNER, COREY	AM FUNDS NEW WORLD A	41.25	0.41	0.00	0.41	90.0	0.37
05/01/2017	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.68	0.00	0.68	90.0	0.61
05/01/2017	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.68	0.00	0.68	90.0	0.61
05/02/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	44.12	0.44	0.00	0.44	90.0	0.40
05/02/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	44.12	0.44	0.00	0.44	90.0	0.40
05/02/2017	ELLIOTT, TIMOTHY	AM FUNDS NEW WORLD A	3,250.00	162.50	0.00	162.50	90.0	146.25
05/04/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	369.22	7.38	0.00	7.38	90.0	6.64
05/01/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	62.50	1.72	0.00	1.72	90.0	1.55
05/01/2017	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	25.00	0.25	0.00	0.25	90.0	0.23
05/01/2017	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	15.48	0.15	0.00	0.15	90.0	0.14
05/01/2017	TURNER, JOSH	AM FUNDS SMALLCAP WORLD A	30.49	0.30	0.00	0.30	90.0	0.27
05/01/2017	TURNER, JOSH	AM FUNDS SMALLCAP WORLD A	30.49	0.30	0.00	0.30	90.0	0.27
05/01/2017	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	104.10	1.04	0.00	1.04	90.0	0.94
05/01/2017	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	16.50	0.17	0.00	0.17	90.0	0.15
05/01/2017	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	27.53	0.28	0.00	0.28	90.0	0.25
05/01/2017	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	27.53	0.28	0.00	0.28	90.0	0.25
05/01/2017	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	260.25	2.60	0.00	2.60	90.0	2.34
05/01/2017	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	41.25	0.41	0.00	0.41	90.0	0.37
05/02/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	122.31	1.22	0.00	1.22	90.0	1.10
05/02/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	122.31	1.22	0.00	1.22	90.0	1.10
05/02/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	25.22	0.25	0.00	0.25	90.0	0.23
05/02/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	25.22	0.25	0.00	0.25	90.0	0.23
05/02/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
05/02/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
05/02/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	33.60	0.34	0.00	0.34	90.0	0.31
05/02/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	16.80	0.17	0.00	0.17	90.0	0.15
05/01/2017	HOLTKAMP, MARIGENE	AM FUNDS U.S. GOVERNMENT SECURITIES	375.00	3.75	0.00	3.75	90.0	3.38
05/01/2017	HOLTKAMP, MARIGENE	AM FUNDS U.S. GOVERNMENT SECURITIES	77.46	0.77	0.00	0.77	90.0	0.69
05/01/2017	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	194.05	1.94	0.00	1.94	90.0	1.75
05/01/2017	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	116.43	1.16	0.00	1.16	90.0	1.04
05/02/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	47.09	0.47	0.00	0.47	90.0	0.42

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/02/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	47.08	0.47	0.00	0.47	90.0	0.42
/ /	KAUFMAN, JEFFREY	FIDELITY ADV CANADA	0.00	0.81	0.00	0.81	90.0	0.73
/ /	KAUFMAN, JEFFREY	FIDELITY ADV EMERGING EMEA	0.00	0.82	0.00	0.82	90.0	0.74
/ /	CROWLEY, MICHA	FIDELITY ADV EVENT DRIVEN OPPS	0.00	3.19	0.00	3.19	90.0	2.87
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL CAP APP T	0.00	1.91	0.00	1.91	90.0	1.72
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL COMMODITY STK	0.00	0.81	0.00	0.81	90.0	0.73
/ /	CROWLEY, MICHA	FIDELITY ADV GLOBAL COMMODITY STOCK	0.00	0.94	0.00	0.94	90.0	0.85
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GOLD	0.00	0.83	0.00	0.83	90.0	0.75
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV GOLD C	0.00	0.69	0.00	0.69	90.0	0.62
/ /	GRIFFITH, CHERYL	FIDELITY ADV GOVERNMENT INC	0.00	0.10	0.00	0.10	90.0	0.09
/ /	HALL, ELLEN	FIDELITY ADV GROWTH OPP	0.00	9.07	0.00	9.07	90.0	8.16
/ /	ELLIOTT, TIMOTHY	FIDELITY ADV GROWTH OPP	0.00	1.09	0.00	1.09	90.0	0.98
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GROWTH OPP	0.00	7.01	0.00	7.01	90.0	6.31
/ /	RICHARDSON, BOBBY	FIDELITY ADV HEALTH CARE T	0.00	2.34	0.00	2.34	90.0	2.11
/ /	CROWLEY, MICHA	FIDELITY ADV INT'L REAL ESTATE C	0.00	1.38	0.00	1.38	90.0	1.24
/ /	CROWLEY, MICHA	FIDELITY ADV INTERNATIONAL GROWTH C	0.00	3.72	0.00	3.72	90.0	3.35
/ /	GRIFFITH, CHERYL	FIDELITY ADV INTL SM CAP CL T	0.00	4.10	0.00	4.10	90.0	3.69
/ /	RICHARDSON, BOBBY	FIDELITY ADV INTL SM CAP CL T	0.00	1.16	0.00	1.16	90.0	1.04
/ /	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	0.00	5.81	0.00	5.81	90.0	5.23
05/04/2017	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	75.00	1.50	0.00	1.50	90.0	1.35
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV LEVERAGED CO STOCK C	0.00	7.71	0.00	7.71	90.0	6.94
/ /	HALL, ELLEN	FIDELITY ADV LEVERAGED CO STOCK-CL	0.00	2.62	0.00	2.62	90.0	2.36
/ /	RICHARDSON, BOBBY	FIDELITY ADV LEVERAGED CO STOCK-CL	0.00	13.46	0.00	13.46	90.0	12.11
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV MATERIALS C	0.00	4.19	0.00	4.19	90.0	3.77
/ /	GRIFFITH, CHERYL	FIDELITY ADV OVERSEAS	0.00	1.08	0.00	1.08	90.0	0.97
/ /	CROWLEY, MICHA	FIDELITY ADV REAL ESTATE C	0.00	2.73	0.00	2.73	90.0	2.46
/ /	RICHARDSON, BOBBY	FIDELITY ADV REAL ESTATE-CL T	0.00	6.11	0.00	6.11	90.0	5.50
/ /	GRIFFITH, CHERYL	FIDELITY ADV SMALL CAP	0.00	1.15	0.00	1.15	90.0	1.04
/ /	KAUFMAN, JEFFREY	FIDELITY ADV SMALL CAP GROWTH C	0.00	0.61	0.00	0.61	90.0	0.55
/ /	CROWLEY, MICHA	FIDELITY ADV SMALL CAP GROWTH C	0.00	5.07	0.00	5.07	90.0	4.56
/ /	GRIFFITH, CHERYL	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	9.86	0.00	9.86	90.0	8.87
/ /	KAUFMAN, JEFFREY	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	2.11	0.00	2.11	90.0	1.90
/ /	RICHARDSON, BOBBY	FIDELITY ADV TELECOMMUNICATIONS-CL	0.00	3.05	0.00	3.05	90.0	2.75

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV UTILITIES C	0.00	8.89	0.00	8.89	90.0	8.00
/ /	RICHARDSON, BOBBY	FIDELITY ADV UTILITIES-CL T	0.00	1.26	0.00	1.26	90.0	1.13
/ /	RICHARDSON, BOBBY	FIDELITY ADV VALUE LENDERS-CL T	0.00	2.45	0.00	2.45	90.0	2.21
/ /	GRIFFITH, CHERYL	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	1.28	0.00	1.28	90.0	1.15
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	1.60	0.00	1.60	90.0	1.44
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR MATERIALS FUND-CLA	0.00	1.00	0.00	1.00	90.0	0.90
/ /	WILMSMEYER, CHRISTA	FIDELITY ADVISORS 31618H424	0.00	5.42	0.00	5.42	90.0	4.88
/ /	HALL, ELLEN	FIDELITY ADVISORS 31618H440	0.00	1.13	0.00	1.13	90.0	1.02
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	0.51	0.00	0.51	90.0	0.46
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	13.63	0.00	13.63	90.0	12.27
/ /	HALL, ELLEN	FIDELITY COMM EQUIPMENT T	0.00	1.62	0.00	1.62	90.0	1.46
/ /	RICHARDSON, BOBBY	FIDELITY COMM EQUIPMENT T	0.00	5.32	0.00	5.32	90.0	4.79
/ /	RICHARDSON, BOBBY	FIDELITY EMERGING MKTS T	0.00	2.48	0.00	2.48	90.0	2.23
/ /	CROWLEY, MICHA	FIDELITY ENERGY C	0.00	0.91	0.00	0.91	90.0	0.82
/ /	RICHARDSON, BOBBY	FIDELITY ENERGY T	0.00	2.63	0.00	2.63	90.0	2.37
/ /	KAUFMAN, JEFFREY	FIDELITY ENERGY T	0.00	0.77	0.00	0.77	90.0	0.69
/ /	GRIFFITH, CHERYL	FIDELITY EQUITY VALUE	0.00	9.42	0.00	9.42	90.0	8.48
/ /	CROWLEY, MICHA	FIDELITY FINANCIAL SERVICES C	0.00	2.36	0.00	2.36	90.0	2.12
/ /	RICHARDSON, BOBBY	FIDELITY FINANCIAL SERVICES T	0.00	0.72	0.00	0.72	90.0	0.65
/ /	KAUFMAN, JEFFREY	FIDELITY FINANCIAL SERVICES T	0.00	0.81	0.00	0.81	90.0	0.73
/ /	CROWLEY, MICHA	FIDELITY LATIN AMERICA C	0.00	1.90	0.00	1.90	90.0	1.71
/ /	KAUFMAN, JEFFREY	FIDELITY MIDCAP C	0.00	0.48	0.00	0.48	90.0	0.43
/ /	GRIFFITH, CHERYL	FIDELITY MIDCAP T	0.00	8.32	0.00	8.32	90.0	7.49
/ /	CROWLEY, MICHA	FIDELITY STOCK SELECTOR ALLCAP C	0.00	5.81	0.00	5.81	90.0	5.23
/ /	KAUFMAN, JEFFREY	FIDLEITY ADV INTL REAL ESTATE	0.00	0.87	0.00	0.87	90.0	0.78
/ /	GRIFFITH, CHERYL	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	1.33	0.00	1.33	90.0	1.20
/ /	KAUFMAN, JEFFREY	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	2.03	0.00	2.03	90.0	1.83
/ /	RICHARDSON, BOBBY	FIDLEITY ADVISOR JAPAN-CL T	0.00	0.70	0.00	0.70	90.0	0.63
/ /	MCS DESIGN TEAM	HARTFORD BALANCED C	0.00	15.35	0.00	15.35	90.0	13.82
/ /	MCS DESIGN TEAM	HARTFORD DIVIDEND & GROWTH C	0.00	16.70	0.00	16.70	90.0	15.03
* MUTUAL FUNDS SUBTOTAL *			\$26,298.46	\$824.77	\$0.00	\$824.77		\$742.43

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2017	PAGE, BRIAN	JNL ELITE ACCESS W/ LIQUIDITY	11,966.87	9.97	0.00	9.97	90.0	8.97
04/30/2017	ANDING, MARY DIANE	JNL PERSPECTIVE II 04/14	104,896.53	43.71	0.00	43.71	90.0	39.34
04/30/2017	STEWART, SHEILA	JNL PERSPECTIVE II 04/14	236,184.48	98.41	0.00	98.41	90.0	88.57
04/30/2017	DEAN GUIER INC	JNL PERSPECTIVE II 04/14	189,838.91	79.10	0.00	79.10	90.0	71.19
04/30/2017	GUIER, CARROL	JNL PERSPECTIVE II 04/14	22,810.84	9.50	0.00	9.50	90.0	8.55
04/30/2017	CALLIS, CHARLES	JNL PERSPECTIVE II 05/05	27,924.99	11.64	0.00	11.64	90.0	10.48
04/30/2017	OWEN, ERNEST	JNL PERSPECTIVE II 05/05	144,222.32	60.09	0.00	60.09	90.0	54.08
04/30/2017	OSSMAN, ED	JNL PERSPECTIVE II 05/05	56,168.15	23.40	0.00	23.40	90.0	21.06
04/30/2017	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	25,954.15	10.81	0.00	10.81	90.0	9.73
04/30/2017	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	150,508.98	62.71	0.00	62.71	90.0	56.44
04/30/2017	MARK, RICHARD GEORGE	JNL PERSPECTIVE II 05/05	89,867.32	37.44	0.00	37.44	90.0	33.70
04/30/2017	LANGE, ARLEN	JNL PERSPECTIVE II 05/05	124,813.24	52.01	0.00	52.01	90.0	46.81
04/30/2017	MCBRIDE, KENNETH	JNL PERSPECTIVE II 05/05	296,250.62	123.44	0.00	123.44	90.0	111.10
04/30/2017	ANDING, MARY DIANE	JNL PERSPECTIVE II 05/05	236,597.61	98.58	0.00	98.58	90.0	88.72
04/17/2017	YOUNG, MARY ELIZABETH	PAC LIFE PORTFOLIOS	0.00	18.65	0.00	18.65	90.0	16.79
04/27/2017	ANDERSON, CHERRI	SBL ADVISORDESIGNS VA	27,664.26	23.06	0.00	23.06	90.0	20.75
04/27/2017	SCOFIELD, ROBERT	SBL ADVISORDESIGNS VA	10,993.54	9.16	0.00	9.16	90.0	8.24
04/27/2017	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	94,888.25	79.07	0.00	79.07	90.0	71.16
04/27/2017	DOWNS, JAMES	SBL ADVISORDESIGNS VA	38,304.09	31.92	0.00	31.92	90.0	28.73
04/27/2017	BENNETT, MILDRED	SBL ADVISORDESIGNS VA	92,512.61	77.09	0.00	77.09	90.0	69.38
04/27/2017	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	108,833.96	90.69	0.00	90.69	90.0	81.62
04/27/2017	LEE, HOWARD	SBL SECUREDESIGNS VA	249,498.94	51.97	0.00	51.97	90.0	46.77
* VARIABLE ANNUITIES SUBTOTAL *			\$2,340,700.66	\$1,102.42	\$0.00	\$1,102.42		\$992.18
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
04/17/2017	REDDICK, CRYSTAL	PAC LIFE VUL	0.00	4.76	0.00	4.76	90.0	4.28
04/17/2017	MCNERNEY, DAVID	PAC LIFE VUL	1,000.00	20.00	0.00	20.00	90.0	18.00
04/17/2017	MURRAY, JEFFREY	PAC LIFE VUL	584.57	584.57	0.00	584.57	90.0	526.11
04/18/2017	HALL, ELLEN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
04/18/2017	GRIFFITH, CHERYL	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
04/20/2017	PATTISON, CHRISTINE	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
04/20/2017	GAMM, SKYLAR	PAC LIFE VUL	417.63	104.41	0.00	104.41	90.0	93.97
04/20/2017	GAMM, SKYLAR	PAC LIFE VUL	7.37	7.37	0.00	7.37	90.0	6.63
04/24/2017	KING, CALEB	PAC LIFE VUL	50.00	0.60	0.00	0.60	90.0	0.54

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
04/24/2017	JONES, KAREN	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
04/24/2017	ELLIOTT, MITCHELL	PAC LIFE VUL	100.00	3.00	0.00	3.00	90.0	2.70
04/25/2017	JONES, KENNETH	PAC LIFE VUL	60.00	1.20	0.00	1.20	90.0	1.08
04/25/2017	ADAMS, GREGORY	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
04/25/2017	ADAMS, ASHLEY	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
04/25/2017	GRIFFIN, AMANDA	PAC LIFE VUL	68.07	68.07	0.00	68.07	90.0	61.26
04/25/2017	GRIFFIN, AMANDA	PAC LIFE VUL	37.85	0.76	0.00	0.76	90.0	0.68
04/25/2017	ADAMS, JAXON	PAC LIFE VUL	1.98	0.04	0.00	0.04	90.0	0.04
04/25/2017	ADAMS, JAXON	PAC LIFE VUL	48.02	0.96	0.00	0.96	90.0	0.86
05/01/2017	KAUFMAN, KIMBERLY	PAC LIFE VUL	75.00	1.50	0.00	1.50	90.0	1.35
05/01/2017	REDDICK, RYAN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
05/02/2017	KAUFMAN, JEFFREY	PAC LIFE VUL	200.00	4.00	0.00	4.00	90.0	3.60
05/02/2017	KAUFMAN, COOPER	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
05/02/2017	KAUFMAN, ELLIE	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
05/02/2017	STALEY, MICHAELA	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
05/02/2017	ROBINSON, PHYLILS	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
05/02/2017	REDDICK, CRYSTAL	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
05/02/2017	STALEY, JOSELYN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
05/02/2017	MCNERNEY, SUSAN	PAC LIFE VUL	1,000.00	30.00	0.00	30.00	90.0	27.00
05/02/2017	MCNERNEY, DASAN	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
05/02/2017	MCNERNEY, ALYSSA	PAC LIFE VUL	150.00	4.50	0.00	4.50	90.0	4.05
05/02/2017	MCNERNEY, DYLAN	PAC LIFE VUL	150.00	4.50	0.00	4.50	90.0	4.05
05/03/2017	GUIER, GREGORY	PAC LIFE VUL	185.00	1.85	0.00	1.85	90.0	1.67
05/04/2017	STEWART, CHAD	PAC LIFE VUL	420.00	8.40	0.00	8.40	90.0	7.56
05/05/2017	GUIER, CLINT	PAC LIFE VUL	74.29	0.74	0.00	0.74	90.0	0.67
05/05/2017	GUIER, CLINT	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
05/05/2017	GUIER, CLINT	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
05/05/2017	BROCKSMITH, RACHEL	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$6,179.78	\$888.23	\$0.00	\$888.23		\$799.40
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/28/2017	MCBRIDE, VIRGINIA CAROLY	LANDMARK 2002	0.00	32.84	0.00	32.84	90.0	29.56
04/25/2017	SWAIN, DOROLENE	PAC LIFE VALUE	0.00	44.07	0.00	44.07	90.0	39.66
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$76.91	\$0.00	\$76.91		\$69.22

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
*** BROKER TRANSACTIONS TOTAL ***			\$2,373,178.90	\$2,892.33	\$0.00	\$2,892.33		\$2,603.23

SPLIT TRANSACTIONS**BROKER: JEFF/JASON KAUFMAN/KEMBLE #349**

04/25/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	1,041.67	24.35	0.00	24.35	90.0	21.92
04/25/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	33.84	0.79	0.00	0.79	90.0	0.71
05/02/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.09	0.12	0.00	0.12	90.0	0.11
05/02/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.09	0.12	0.00	0.12	90.0	0.11
05/04/2017	ABEL, DENNIS	AM FUNDS AMERICAN BALANCED A	50,665.15	1,044.97	0.00	1,044.97	90.0	940.47
04/25/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	833.34	19.48	0.00	19.48	90.0	17.53
04/25/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	136.61	3.20	0.00	3.20	90.0	2.88
04/28/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
05/01/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	81.30	0.45	0.00	0.45	90.0	0.41
05/01/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	48.78	0.27	0.00	0.27	90.0	0.24
05/02/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.13	0.00	0.13	90.0	0.12
05/02/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.09	0.00	0.09	90.0	0.08
05/02/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
05/02/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	8.55	0.05	0.00	0.05	90.0	0.05
05/02/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	94.09	0.52	0.00	0.52	90.0	0.47
05/02/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	94.09	0.52	0.00	0.52	90.0	0.47
05/02/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
05/02/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
05/02/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	39.90	0.22	0.00	0.22	90.0	0.20
05/02/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	39.90	0.22	0.00	0.22	90.0	0.20
05/02/2017	MCMILLIAN, KATY	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.26	0.00	0.26	90.0	0.23
05/02/2017	MCMILLIAN, KATY	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.26	0.00	0.26	90.0	0.23
05/02/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	25.43	0.14	0.00	0.14	90.0	0.13
05/02/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	25.42	0.14	0.00	0.14	90.0	0.13
05/02/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	800.00	22.00	0.00	22.00	90.0	19.80
05/01/2017	HAGUE, VICTORIA	AM FUNDS ICA A	400.00	2.20	0.00	2.20	90.0	1.98
05/01/2017	HAGUE, VICTORIA	AM FUNDS ICA A	68.43	0.37	0.00	0.37	90.0	0.33
05/02/2017	ZELLMER, RIANNON	AM FUNDS ICA A	10.19	0.05	0.00	0.05	90.0	0.05
05/02/2017	ZELLMER, RIANNON	AM FUNDS ICA A	10.19	0.05	0.00	0.05	90.0	0.05
05/02/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.10	0.12	0.00	0.12	90.0	0.11

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
05/02/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.10	0.12	0.00	0.12	90.0	0.11
05/02/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
05/02/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
05/02/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.13	0.00	0.13	90.0	0.12
05/02/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.09	0.00	0.09	90.0	0.08
05/01/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	100.00	0.55	0.00	0.55	90.0	0.50
05/01/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	17.11	0.09	0.00	0.09	90.0	0.08
05/01/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	81.31	0.45	0.00	0.45	90.0	0.41
05/01/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	48.78	0.27	0.00	0.27	90.0	0.24
05/02/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
05/02/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
05/02/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	25.42	0.14	0.00	0.14	90.0	0.13
05/02/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	25.43	0.14	0.00	0.14	90.0	0.13
04/25/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	208.33	4.87	0.00	4.87	90.0	4.38
04/25/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.15	0.80	0.00	0.80	90.0	0.72
04/28/2017	CARSON, SEDEL	PAC LIFE VUL	55.00	15.12	0.00	15.12	90.0	13.61
04/28/2017	CARSON, MATTHEW	PAC LIFE VUL	7.57	2.08	0.00	2.08	90.0	1.87
04/28/2017	CARSON, MATTHEW	PAC LIFE VUL	142.43	9.79	0.00	9.79	90.0	8.81
04/28/2017	CARSON, SEDEL	PAC LIFE VUL	55.00	15.12	0.00	15.12	90.0	13.61
04/28/2017	CARSON, MATTHEW	PAC LIFE VUL	7.57	2.08	0.00	2.08	90.0	1.87
04/28/2017	CARSON, MATTHEW	PAC LIFE VUL	142.43	9.79	0.00	9.79	90.0	8.81
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$55,871.73	\$1,186.04	\$0.00	\$1,186.04		\$1,067.48
*** SPLIT TRANSACTIONS TOTAL ***			\$55,871.73	\$1,186.04	\$0.00	\$1,186.04		\$1,067.48

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JASON KEMBLE #348</u>								
05/05/2017	HOLMAN, D'NACIA	AM FUNDS AMERICAN BALANCED A	2,000.00	100.00	0.00	100.00	90.0	40.00
05/05/2017	HOLMAN, TYMBER	AM FUNDS AMERICAN BALANCED A	2,000.00	100.00	0.00	100.00	90.0	40.00
04/24/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	2.00
05/05/2017	HOLMAN, D'NACIA	AM FUNDS GROWTH FUND OF AMERICA A	2,000.00	100.00	0.00	100.00	90.0	40.00
05/05/2017	HOLMAN, TYMBER	AM FUNDS GROWTH FUND OF AMERICA A	2,000.00	100.00	0.00	100.00	90.0	40.00
04/24/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	90.0	2.00
* JASON KEMBLE SUBTOTAL *			\$8,200.00	\$410.00	\$0.00	\$410.00		\$164.00
*** OVERRIDE TRANSACTIONS TOTAL ***			\$8,200.00	\$410.00	\$0.00	\$410.00		\$164.00

ADJUSTMENTS

05/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2017		*TECHNOLOGY: ADMIN - KIM KAUFMAN	0.00					-100.00
05/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-675.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$2,603.23
Split Transactions	\$1,067.48
Override Transactions	\$164.00
Adjustments	\$-675.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,159.71
Year-to-date Gross	\$66,667.91
Year-to-date Earnings	\$66,102.88