

COMMISSION STATEMENT

September 29, 2017

MICHAEL WOLLOCH

43 SECOR RD

SCARSDALE, NY 10583

BROKER #: 51

BRANCH: HOME OFFICE

COMMISSION STATEMENT for MICHAEL WOLLOCH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

09/05/2017	QGW024101	NEWMAN, LIZA		CREDIT SUISSE AG NASSAU BRH VELOCIT B	5,100.00	63.00	10.00	53.00	45.0	23.85
09/05/2017	QGW024101	NEWMAN, LIZA		PROSHARES TRUST PROSHARES SHORT S&P B	4,923.00	53.00	10.00	43.00	45.0	19.35
				* STOCKS SUBTOTAL *	\$10,023.00	\$116.00	\$20.00	\$96.00		\$43.20

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/14/2017	QGW016115	MAYER, MURRAY	MFS GOVERNMENT SECURITIES FUND CLAS B	0.00	48.65	0.00	48.65	45.0	21.89
09/19/2017	QGW016123	DEMSKO, DARYL	PUTNAM HIGH-YIELD FUND CLASS A B	0.00	11.26	0.00	11.26	45.0	5.07
09/11/2017	QGW016131	TUERS, ERIC	SELECTED AMERICAN SHARES CLASS S B	0.00	1.19	0.00	1.19	45.0	0.54
09/11/2017	QGW016305	PEDDICORD TRUST,	ALLIANCEBERNSTEIN LARGE-CAP GROWTH B	0.00	22.18	0.00	22.18	45.0	9.98
09/27/2017	QGW016347	AGAR REVOCABLE TRST,	NUVEEN CORE BOND FUND CLASS A B	0.00	6.35	0.00	6.35	45.0	2.86
09/11/2017	QGW016354	PEDDICORD, JANE	ALLIANCEBERNSTEIN LARGE-CAP GROWTH B	0.00	14.79	0.00	14.79	45.0	6.66
09/11/2017	QGW016412	MERGED TRUST, CARA	ALLIANCEBERNSTEIN LARGE-CAP GROWTH B	0.00	14.79	0.00	14.79	45.0	6.66
09/18/2017	QGW016511	JASON, LAURIE	THE BOND FUND OF AMERICA-A B	0.00	0.01	0.00	0.01	45.0	0.00
09/14/2017	QGW016628	KRAUSS, SHARON	FRANKLIN INCOME FUND CLASS C B	0.00	20.81	0.00	20.81	45.0	9.36
09/11/2017	QGW024150	GORDON, ARLENE	SELECTED AMERICAN SHARES CLASS S B	0.00	5.32	0.00	5.32	45.0	2.39
09/11/2017	QGW036626	MIRZOEFF, DANA	SELECTED AMERICAN SHARES CLASS S B	0.00	6.42	0.00	6.42	45.0	2.89
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$151.77	\$0.00	\$151.77		\$68.30
*** BROKER TRANSACTIONS TOTAL ***				\$10,023.00	\$267.77	\$20.00	\$247.77		\$111.50

ADJUSTMENTS

09/29/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
09/29/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-28.00

BROKER COMMISSION TOTALS

Broker Transactions	\$111.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-28.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$522.40
FINRA Assessment	\$-0.33
SIPC Assessment	\$-0.67

Check Amount	\$604.90
Year-to-date Earnings	\$672.43