

**COMMISSION STATEMENT****October 31, 2017**DAVID KERN  
4321 Flagstaff CoveBROKER #: K123  
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	PAYNE, MARTHA	GUGGENHEIM DIVERSIFIED INCOME C	0.00	2.09	0.00	2.09	90.0	1.88
/ /	PAYNE, MARTHA	GUGGENHEIM GOV'T LONG BOND 1.2X STG	0.00	3.17	0.00	3.17	90.0	2.85
/ /	PAYNE, MARTHA	GUGGENHEIM RBP LARGE CAP DEFENSIVE	0.00	1.07	0.00	1.07	90.0	0.96
10/05/2017	FREISTROFFER, MERIDITH	OPPENHEIMER SMALL MID CAP VALUE A	9,090.21	261.25	0.00	261.25	90.0	235.13
/ /	DAVIS, PATRICIA	PROFUNDS BASIC MATERIALS SVC	0.00	0.08	0.00	0.08	90.0	0.07
/ /	COPELAND, DANIEL	PROFUNDS BASIC MATERIALS SVC	0.00	0.02	0.00	0.02	90.0	0.02
/ /	DAVIS, PATRICIA	PROFUNDS BASIC MATERIALS SVC	0.00	0.25	0.00	0.25	90.0	0.23
/ /	COPELAND, DANIEL	PROFUNDS BASIC MATERIALS SVC	0.00	0.05	0.00	0.05	90.0	0.05
/ /	DAVIS, PATRICIA	PROFUNDS OIL & GAS SVC	0.00	0.51	0.00	0.51	90.0	0.46
/ /	COPELAND, DANIEL	PROFUNDS OIL & GAS SVC	0.00	0.10	0.00	0.10	90.0	0.09
/ /	DAVIS, PATRICIA	PROFUNDS OIL & GAS SVC	0.00	1.53	0.00	1.53	90.0	1.38
/ /	COPELAND, DANIEL	PROFUNDS OIL & GAS SVC	0.00	0.30	0.00	0.30	90.0	0.27
/ /	DAVIS, PATRICIA	PROFUNDS PRECIOUS METALS SVC	0.00	0.65	0.00	0.65	90.0	0.59
/ /	COPELAND, DANIEL	PROFUNDS PRECIOUS METALS SVC	0.00	0.13	0.00	0.13	90.0	0.12
/ /	DAVIS, PATRICIA	PROFUNDS PRECIOUS METALS SVC	0.00	1.94	0.00	1.94	90.0	1.75
/ /	COPELAND, DANIEL	PROFUNDS PRECIOUS METALS SVC	0.00	0.38	0.00	0.38	90.0	0.34
/ /	DAVIS, PATRICIA	PROFUNDS REAL ESTATE SVC	0.00	0.02	0.00	0.02	90.0	0.02
/ /	DAVIS, PATRICIA	PROFUNDS REAL ESTATE SVC	0.00	0.06	0.00	0.06	90.0	0.05
/ /	COPELAND, DANIEL	PROFUNDS REAL ESTATE SVC	0.00	0.01	0.00	0.01	90.0	0.01
/ /	DAVIS, PATRICIA	PROFUNDS SHORT REAL ESTATE SVC	0.00	0.02	0.00	0.02	90.0	0.02
/ /	DAVIS, PATRICIA	PROFUNDS SHORT REAL ESTATE SVC	0.00	0.06	0.00	0.06	90.0	0.05
/ /	COPELAND, DANIEL	PROFUNDS SHORT REAL ESTATE SVC	0.00	0.01	0.00	0.01	90.0	0.01
/ /	KERN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	3.06	0.00	3.06	90.0	2.75
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$9,090.21</b>	<b>\$276.76</b>	<b>\$0.00</b>	<b>\$276.76</b>		<b>\$249.10</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/16/2017	KERN, LEESA	AMERITAS LOWLOAD VUL	190.73	0.02	0.00	0.02	90.0	0.02
10/17/2017	KERN, HELMBERGER	AMERITAS LOWLOAD VUL	158.48	0.01	0.00	0.01	90.0	0.01
10/16/2017	FORTNEY, ELIZABETH	JNL ELITE ACCESS	400.00	5.00	0.00	5.00	90.0	4.50
10/16/2017	FREISTROFFER, HENRY	JNL PERSPECTIVE L	3,000.00	120.00	0.00	120.00	90.0	108.00
/ /	MARATHON LABELS 401K	NWL FLEX ADVANTAGE	303,775.49	62.42	0.00	62.42	90.0	56.18
/ /	NEW HAVEN PRINT &	NWL FLEX ADVANTAGE	861,223.96	212.36	0.00	212.36	90.0	191.12
/ /	MARATHON LABELS 401K	NWL POOLED FXD	51,847.28	10.65	0.00	10.65	90.0	9.59
/ /	NEW HAVEN PRINT &	NWL POOLED FXD	3,129.53	0.77	0.00	0.77	90.0	0.69
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$1,223,725.47</b>	<b>\$411.23</b>	<b>\$0.00</b>	<b>\$411.23</b>		<b>\$370.11</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,232,815.68</b>	<b>\$687.99</b>	<b>\$0.00</b>	<b>\$687.99</b>		<b>\$619.21</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$619.21
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$619.21
Year-to-date Gross	\$98,280.23
Year-to-date Earnings	\$88,490.21

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