



COMMISSION STATEMENT

June 25, 2018

TIMOTHY STATES
1629 K ST NW STE 300
SUITE 300
WASHINGTON, DC 20001

BROKER #: A70
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 03211304425	CAPITAL APPRECIATION A BUY 0 Units @0.0000	904.00	27.12	0.00	27.12	75.0	20.34
		* MUTUAL FUNDS SUBTOTAL *	\$904.00	\$27.12	\$0.00	\$27.12		\$20.34
<u>PRODUCT CATEGORY: STOCKS</u>								
06/18/2018	***-**-100I - BRITT, FBO RUTH ACCOUNT #: LSR010568	BANK OF AMERICA CORP UNSOLICITED OR SELL 150 Units @29.4100	4,411.50	100.00	18.00	82.00	75.0	61.50
06/18/2018	***-**-00TR - BRITT, MRS RUTH ACCOUNT #: LSR010582	BANK OF AMERICA CORP UNSOLICITED OR SELL 200 Units @29.4150	5,883.00	135.00	19.00	116.00	75.0	87.00
06/18/2018	***-**-100O - BRITT, RUTH ACCOUNT #: LSR012326	BANK OF AMERICA CORP UNSOLICITED OR SELL 200 Units @29.4150	5,883.00	135.00	19.00	116.00	75.0	87.00
06/19/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	BANK OF AMERICA CORP UNSOLICITED OR SELL 253 Units @28.9710	7,329.66	135.00	20.06	114.94	75.0	86.21
06/19/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	BANK OF AMERICA CORP UNSOLICITED OR SELL 253 Units @29.0040	7,338.01	150.00	20.06	129.94	75.0	97.46
06/19/2018	***-**-537I - EDELIN, FBO MS ACCOUNT #: LSR010592	BANK OF AMERICA CORP UNSOLICITED OR SELL 151 Units @29.0300	4,383.53	100.00	18.02	81.98	75.0	61.49
06/19/2018	***-**-35IR - ANTOINE, FBO MS ACCOUNT #: LSR010598	BANK OF AMERICA CORP UNSOLICITED OR SELL 285 Units @29.0250	8,272.13	150.00	20.70	129.30	75.0	96.98
06/19/2018	***-**-91IN - RICHARDS, RMR ACCOUNT #: LSR010600	BANK OF AMERICA CORP UNSOLICITED OR SELL 50 Units @29.0150	1,450.75	20.00	16.00	4.00	75.0	3.00
06/19/2018	***-**-91IN - RICHARDS, RMR ACCOUNT #: LSR010600	BANK OF AMERICA CORP UNSOLICITED OR SELL 200 Units @29.0150	5,803.00	80.00	4.00	76.00	75.0	57.00
06/19/2018	***-**-819I - BOYKIN, ACCOUNT #: LSR010634	BANK OF AMERICA CORP UNSOLICITED OR SELL 200 Units @28.9950	5,799.00	135.00	19.00	116.00	75.0	87.00
06/19/2018	***-**-067X - HIGHSMITH JR, ACCOUNT #: LSR010776	BANK OF AMERICA CORP UNSOLICITED OR SELL 210 Units @28.9700	6,083.70	100.00	19.20	80.80	75.0	60.60
06/19/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	BANK OF AMERICA CORP UNSOLICITED OR SELL 301 Units @29.0000	8,729.00	150.00	21.02	128.98	75.0	96.74
06/19/2018	***-**-423I - JONES, BRENDA ACCOUNT #: LSR010837	BANK OF AMERICA CORP UNSOLICITED OR SELL 325 Units @29.0600	9,444.50	150.00	21.50	128.50	75.0	96.38
06/18/2018	***-**-066I - STATES, FBO MR ACCOUNT #: LSF000282	FACEBOOK INC COM USD0.000006 CL A BUY 63 Units @199.0200	12,538.26	10.00	9.62	0.38	75.0	0.29



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
06/20/2018	***-**-233J - SCALES, DARYL	KEYCORP NEW COM	8,226.00	50.00	23.00	27.00	75.0	20.25
	ACCOUNT #: LSR011378	SELL 400 Units @20.5650						
06/20/2018	***-**-233J - SCALES, DARYL	MALLINCKRODT PLC ORDINARY USD UNSOL	8,084.00	100.00	23.00	77.00	75.0	57.75
	ACCOUNT #: LSR011378	BUY 400 Units @20.2100						
06/20/2018	***-**-935I - KERR, FBO GAIL S	MICRON TECHNOLOGY SOLICITED ORDER	5,952.90	75.00	17.00	58.00	75.0	43.50
	ACCOUNT #: LSR010579	BUY 100 Units @59.5290						
06/20/2018	***-**-935I - KERR, FBO GAIL S	MICRON TECHNOLOGY SOLICITED ORDER	5,952.90	75.00	2.00	73.00	75.0	54.75
	ACCOUNT #: LSR010579	BUY 100 Units @59.5290						
06/18/2018	***-**-066I - STATES, FBO MR	MICROSOFT CORP UNSOLICITED ORDER EX	12,610.63	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000282	SELL 125 Units @100.8850						
06/18/2018	***-**-100O - BRITT, RUTH	MICROSOFT CORP UNSOLICITED ORDER EX	5,730.50	135.00	18.00	117.00	75.0	87.75
	ACCOUNT #: LSR012326	BUY 57 Units @100.5350						
06/20/2018	***-**-66IN - STATES, MR	MICROSOFT CORP UNSOLICITED ORDER EX	12,014.17	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000281	BUY 118 Units @101.8150						
06/18/2018	***-**-100I - BRITT, FBO RUTH	NEKTAR THERAPEUTICS	4,347.00	100.00	16.50	83.50	75.0	62.63
	ACCOUNT #: LSR010568	BUY 75 Units @57.9600						
06/18/2018	***-**-00TR - BRITT, MRS RUTH	NEKTAR THERAPEUTICS	5,799.00	135.00	17.00	118.00	75.0	88.50
	ACCOUNT #: LSR010582	BUY 100 Units @57.9900						
06/20/2018	***-**-66IN - STATES, MR	NEKTAR THERAPEUTICS	5,763.00	10.00	9.99	0.01	75.0	0.01
	ACCOUNT #: LSF000281	SELL 100 Units @57.6300						
06/20/2018	***-**-66IN - STATES, MR	UNDER ARMOUR INC COM STK USD0.00033	5,882.24	10.00	11.59	-1.59	100.0	-1.59
	ACCOUNT #: LSF000281	SELL 260 Units @22.6240						
* STOCKS SUBTOTAL *			\$173,711.38	\$2,260.00	\$401.24	\$1,858.76		\$1,393.72

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/25/2018	***-**-44TR - LYNCH TTEE,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	5.95	0.00	5.95	75.0	4.46
	ACCOUNT #: LSR010606	BUY 1 Units @0.0000						
06/25/2018	***-**-195O - CARTER, IRIS	AIM TAX-FREE INTERMEDIATE FUND -CL	0.00	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: LSR012687	BUY 1 Units @0.0000						
06/25/2018	***-**-542O - BROWN, MARY	CLEARBRIDGE APPRECIATION CLASS A	0.00	1.51	0.00	1.51	75.0	1.13
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
06/25/2018	***-**-542O - BROWN, MARY	EQUITY INCOME	0.00	4.02	0.00	4.02	75.0	3.02
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/25/2018	***-**-1950 - CARTER, IRIS	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: LSR012687	BUY 1 Units @0.0000						
06/25/2018	***-**-384I - WALKER, EUGENE	GLOBAL OPPORTUNITIES A	0.00	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: LSR010626	BUY 1 Units @0.0000						
06/25/2018	***-**-58TR - HOUSING	GLOBAL OPPORTUNITIES A	0.00	3.11	0.00	3.11	75.0	2.33
	ACCOUNT #: LSR010838	BUY 1 Units @0.0000						
06/25/2018	***-**-437K - SIGUE, JOCELYN	GLOBAL OPPORTUNITIES A	0.00	2.39	0.00	2.39	75.0	1.79
	ACCOUNT #: LSR012251	BUY 1 Units @0.0000						
06/25/2018	***-**-27IN - LYNCH, JAIR	GLOBAL OPPORTUNITIES A	0.00	7.74	0.00	7.74	75.0	5.81
	ACCOUNT #: LSR012262	BUY 1 Units @0.0000						
06/25/2018	***-**-1950 - CARTER, IRIS	GLOBAL OPPORTUNITIES A	0.00	1.28	0.00	1.28	75.0	0.96
	ACCOUNT #: LSR012687	BUY 1 Units @0.0000						
06/25/2018	***-**-1950 - CARTER, IRIS	INTERNATIONAL BOND A	0.00	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: LSR012687	BUY 1 Units @0.0000						
06/25/2018	***-**-1950 - CARTER, IRIS	INV INT TERM MUNI INCOME FUND CL A	0.00	0.07	0.00	0.07	75.0	0.05
	ACCOUNT #: LSR012687	BUY 1 Units @0.0000						
06/25/2018	***-**-5420 - BROWN, MARY	INVESCO VAN KAMPEN COMSTOCK CLASS A	0.00	6.64	0.00	6.64	75.0	4.98
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
06/25/2018	***-**-44TR - LYNCH TTEE,	IVK GROWTH AND INCOME FUND - CLASS	0.00	6.47	0.00	6.47	75.0	4.85
	ACCOUNT #: LSR010606	BUY 1 Units @0.0000						
06/25/2018	***-**-820I - HIGHSMITH,	IVK GROWTH AND INCOME FUND - CLASS	0.00	1.85	0.00	1.85	75.0	1.39
	ACCOUNT #: LSR010653	BUY 1 Units @0.0000						
06/25/2018	***-**-826I - CLARK, FBO MS	OPPENHEIMER GLOBAL VALUE FUND CL A	0.00	1.73	0.00	1.73	75.0	1.30
	ACCOUNT #: LSR010588	BUY 1 Units @0.0000						
06/25/2018	***-**-574I - DAVIS, MISCHA	OPPENHEIMER GLOBAL VALUE FUND CL A	0.00	1.74	0.00	1.74	75.0	1.31
	ACCOUNT #: LSR010630	BUY 1 Units @0.0000						
06/25/2018	***-**-5420 - BROWN, MARY	PUTNAM EUROPE EQUITY FUND-A	0.00	1.22	0.00	1.22	75.0	0.92
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
06/25/2018	***-**-1950 - CARTER, IRIS	RISEING DIVIDENDS FUND A	0.00	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: LSR012687	BUY 1 Units @0.0000						
06/25/2018	***-**-5420 - BROWN, MARY	WESTERN ASSET CORE BOND FUND CL A	0.00	2.14	0.00	2.14	75.0	1.61
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)

PRODUCT CATEGORY: MUTUAL FUNDS (continued)

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$48.33	\$0.00	\$48.33	\$36.28
*** BROKER TRANSACTIONS TOTAL ***	\$174,615.38	\$2,335.45	\$401.24	\$1,934.21	\$1,450.34

BROKER COMMISSION TOTALS

Broker Transactions	\$1,450.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,450.34
Year-to-date Earnings	\$45,686.09