



Concorde Investment Services, LLC

08/14/2017  
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## COMMISSION STATEMENT

August 15, 2017

DAVID KOTZ  
601 Mine Brook Rd

BROKER #: K135 Terminated 05/04/2017  
BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/12/2017	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.16	0.00	4.16	0.0	0.00
07/12/2017	NAGY, ZOLLAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.93	0.00	0.93	0.0	0.00
07/12/2017	WHITTLESEY, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.68	0.00	8.68	0.0	0.00
07/12/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	24.64	0.00	24.64	0.0	0.00
07/12/2017	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.42	0.00	9.42	0.0	0.00
07/12/2017	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.82	0.00	2.82	0.0	0.00
07/12/2017	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.85	0.00	0.85	0.0	0.00
07/12/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.51	0.00	2.51	0.0	0.00
07/12/2017	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.67	0.00	1.67	0.0	0.00
07/12/2017	NAGY, ZOLLAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.30	0.00	2.30	0.0	0.00
07/12/2017	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	1.86	0.00	1.86	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$59.84	\$0.00	\$59.84		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$59.84	\$0.00	\$59.84		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$51.10
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$51.10</b>
<b>Year-to-date Gross</b>	<b>\$7,730.32</b>
<b>Year-to-date Earnings</b>	<b>\$3,866.34</b>