



Concorde Investment Services, LLC

01/29/2018

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COMMISSION STATEMENT

January 31, 2018

DAVID KERN
4321 Flagstaff Cove

BROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	DAVIS, PATRICIA	PROFUNDS OIL EQUIP SVCS & DIST SVC	0.00	0.27	0.00	0.27	90.0	0.24
/ /	COPELAND, DANIEL	PROFUNDS OIL EQUIP SVCS & DIST SVC	0.00	0.05	0.00	0.05	90.0	0.05
/ /	DAVIS, PATRICIA	PROFUNDS PRECIOUS METALS SVC	0.00	0.94	0.00	0.94	90.0	0.85
/ /	COPELAND, DANIEL	PROFUNDS PRECIOUS METALS SVC	0.00	0.18	0.00	0.18	90.0	0.16
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$1.44	\$0.00	\$1.44		\$1.30
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/17/2018	KERN, HELMBERGER	AMERITAS LOWLOAD VUL	400.51	0.03	0.00	0.03	90.0	0.03
01/16/2018	FORTNEY, ELIZABETH	JNL ELITE ACCESS	400.00	5.00	0.00	5.00	90.0	4.50
01/16/2018	FREISTROFFER, HENRY	JNL PERSPECTIVE L	3,000.00	120.00	0.00	120.00	90.0	108.00
/ /	MARATHON LABELS 401K	NWL FLEX ADVANTAGE	331,127.30	68.04	0.00	68.04	90.0	61.24
/ /	NEW HAVEN PRINT &	NWL FLEX ADVANTAGE	828,340.30	204.25	0.00	204.25	90.0	183.83
/ /	MARATHON LABELS 401K	NWL POOLED FXD	52,042.21	10.69	0.00	10.69	90.0	9.62
/ /	NEW HAVEN PRINT &	NWL POOLED FXD	3,220.77	0.79	0.00	0.79	90.0	0.71
* VARIABLE ANNUITIES SUBTOTAL *			\$1,218,531.09	\$408.80	\$0.00	\$408.80		\$367.93
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
10/27/2017	ARMSTRONG, CHRISTINE	HARTFORD LIFE TRAILS	0.00	0.23	0.00	0.23	90.0	0.21
10/27/2017	ARMSTRONG, MARK	HARTFORD LIFE TRAILS	0.00	0.70	0.00	0.70	90.0	0.63
10/27/2017	YODER, DONALD	HARTFORD LIFE TRAILS	0.00	0.12	0.00	0.12	90.0	0.11
10/27/2017	ARMSTRONG, MARK	HARTFORD LIFE TRAILS	0.00	0.12	0.00	0.12	90.0	0.11
10/27/2017	PELOSI, GREGORY	HARTFORD LIFE TRAILS	0.00	4.79	0.00	4.79	90.0	4.31
11/24/2017	ARMSTRONG, CHRISTINE	HARTFORD LIFE TRAILS	0.00	0.22	0.00	0.22	90.0	0.20
11/24/2017	ARMSTRONG, MARK	HARTFORD LIFE TRAILS	0.00	0.71	0.00	0.71	90.0	0.64
11/24/2017	YODER, DONALD	HARTFORD LIFE TRAILS	0.00	0.14	0.00	0.14	90.0	0.13
11/24/2017	SPRINGFIELD ENT, DANIEL E	HARTFORD LIFE TRAILS	0.00	0.06	0.00	0.06	90.0	0.05
11/24/2017	ARMSTRONG, MARK	HARTFORD LIFE TRAILS	0.00	0.12	0.00	0.12	90.0	0.11

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
11/24/2017	PELOSI, GREGORY	HARTFORD LIFE TRAILS	0.00	4.84	0.00	4.84	90.0	4.36
12/29/2017	ARMSTRONG, MARK	HARTFORD LIFE TRAILS	0.00	0.72	0.00	0.72	90.0	0.65
12/29/2017	YODER, DONALD	HARTFORD LIFE TRAILS	0.00	0.15	0.00	0.15	90.0	0.14
12/29/2017	ARMSTRONG, MARK	HARTFORD LIFE TRAILS	0.00	0.11	0.00	0.11	90.0	0.10
12/29/2017	PELOSI, GREGORY	HARTFORD LIFE TRAILS	0.00	4.73	0.00	4.73	90.0	4.26
* LIFE INSURANCE SUBTOTAL *			\$0.00	\$17.76	\$0.00	\$17.76		\$16.01
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
12/29/2017	ARMSTRONG, CHRISTINE	HARTFORD LIFE TRAILS	0.00	0.20	0.00	0.20	90.0	0.18
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$0.20	\$0.00	\$0.20		\$0.18
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	PAYNE, MARTHA	GUGGENHEIM DIVERSIFIED INCOME C	0.00	2.16	0.00	2.16	90.0	1.94
/ /	PAYNE, MARTHA	GUGGENHEIM GOV'T LONG BOND 1.2X STG	0.00	3.28	0.00	3.28	90.0	2.95
/ /	PAYNE, MARTHA	GUGGENHEIM RBP LARGE CAP DEFENSIVE	0.00	1.18	0.00	1.18	90.0	1.06
/ /	DAVIS, PATRICIA	PROFUNDS OIL EQUIP SVCS & DIST SVC	0.00	0.82	0.00	0.82	90.0	0.74
/ /	COPELAND, DANIEL	PROFUNDS OIL EQUIP SVCS & DIST SVC	0.00	0.16	0.00	0.16	90.0	0.14
/ /	DAVIS, PATRICIA	PROFUNDS PRECIOUS METALS SVC	0.00	2.82	0.00	2.82	90.0	2.54
/ /	COPELAND, DANIEL	PROFUNDS PRECIOUS METALS SVC	0.00	0.55	0.00	0.55	90.0	0.50
/ /	KERN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	4.03	0.00	4.03	90.0	3.63
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$15.00	\$0.00	\$15.00		\$13.50
*** DIRECT TRANSACTIONS TOTAL ***			\$1,218,531.09	\$443.20	\$0.00	\$443.20		\$398.92

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$398.92
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$398.92
Year-to-date Gross	\$17,595.79
Year-to-date Earnings	\$15,852.37