

**COMMISSION STATEMENT****June 15, 2018**

**STAN, W121 WEBB**  
 300 N. Main Street  
 Suite 309  
 Wichita, KS 67202

**BROKER #: W121**  
**BRANCH: STAN WEBB**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
05/31/2018	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	10.00	2.50	0.00	2.50	92.0	2.30
06/01/2018	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	50.00	2.50	0.00	2.50	92.0	2.30
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$60.00</b>	<b>\$5.00</b>	<b>\$0.00</b>	<b>\$5.00</b>		<b>\$4.60</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/31/2018	DUSH, THERESA	AM FUNDS AMERICAN BALANCED A	100.00	2.75	0.00	2.75	92.0	2.53
05/21/2018	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	0.94	0.00	0.94	92.0	0.86
05/21/2018	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	0.94	0.00	0.94	92.0	0.86
05/25/2018	ROTHS, GLEN	AM FUNDS CAPITAL INCOME BUILDER A	100.00	2.75	0.00	2.75	92.0	2.53
06/06/2018	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	0.94	0.00	0.94	92.0	0.86
06/06/2018	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	0.94	0.00	0.94	92.0	0.86
05/25/2018	ROTHS, GLEN	AM FUNDS FUNDAMENTAL INVESTORS A	100.00	2.75	0.00	2.75	92.0	2.53
05/29/2018	STOCKHAM, JOYCE	AM FUNDS GROWTH AND INCOME A	75.00	3.75	0.00	3.75	92.0	3.45
05/29/2018	STOCKHAM, JOYCE	AM FUNDS GROWTH AND INCOME A	202.80	10.14	0.00	10.14	92.0	9.33
05/21/2018	HILGER, GERALD	AM FUNDS GROWTH FUND OF AMERICA A	25.00	0.94	0.00	0.94	92.0	0.86
05/21/2018	HILGER, GERALD	AM FUNDS ICA A	50.00	1.88	0.00	1.88	92.0	1.73
05/21/2018	HILGER, GERALD	AM FUNDS INCOME FUND OF AMERICA A	25.00	0.94	0.00	0.94	92.0	0.86
05/25/2018	ROTHS, GLEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	2.75	0.00	2.75	92.0	2.53
06/01/2018	EVERETT, TRAVIS	FIDELITY ADV REAL ESTATE A	100.00	2.75	0.00	2.75	92.0	2.53
06/01/2018	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	125.00	2.75	0.00	2.75	92.0	2.53
06/01/2018	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	100.00	2.75	0.00	2.75	92.0	2.53
05/21/2018	THOMAS, DAVID	FT FOUNDING FUNDS ALLOC A	150.00	7.50	0.00	7.50	92.0	6.90
05/31/2018	NELSON, ARIKA	INVESCO VALUE OPP A	200.00	6.00	0.00	6.00	92.0	5.52
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,552.80</b>	<b>\$54.16</b>	<b>\$0.00</b>	<b>\$54.16</b>		<b>\$49.80</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
05/21/2018	SCHMIDT, SYLVIA	ADV CENTURY	300.00	12.00	0.00	12.00	92.0	11.04
05/21/2018	SCHMITT, SUSAN	ADV CENTURY	200.00	8.00	0.00	8.00	92.0	7.36
06/02/2018	ERKER, LAWRENCE	AM GEN POLARIS FLEX PYMT DEF ANNUIT	59,709.51	59.71	0.00	59.71	92.0	54.93
05/31/2018	SPRAGUE, KRISTY	JNL ELITE ACCESS	32,714.25	13.63	0.00	13.63	92.0	12.54
05/31/2018	BAZIL, RALPH	JNL ELITE ACCESS	56,406.95	23.50	0.00	23.50	92.0	21.62
05/31/2018	STOCKHAM, THOMAS	JNL PERSPECTIVE II 05/05	10,281.87	4.28	0.00	4.28	92.0	3.94
05/31/2018	KELLEY, JAMES	JNL PERSPECTIVE II 05/05	131,447.22	54.77	0.00	54.77	92.0	50.39
05/31/2018	CARPENTER JR, ROBERT	JNL PERSPECTIVE II 05/05	41,416.79	17.26	0.00	17.26	92.0	15.88
05/31/2018	HOFFMAN, FRANCIS	JNL PERSPECTIVE II 05/05	126,249.25	52.60	0.00	52.60	92.0	48.39
05/31/2018	EVERETT, TRAVIS	JNL PERSPECTIVE II 05/05	106,085.61	44.20	0.00	44.20	92.0	40.66
05/31/2018	BRIMER JR, ALBERT	JNL PERSPECTIVE II 05/05	75,599.74	31.50	0.00	31.50	92.0	28.98
05/31/2018	GREENING, CHRISTOPHER	JNL PERSPECTIVE II 05/05	53,133.37	22.14	0.00	22.14	92.0	20.37
05/31/2018	RHEA, JONNIE	JNL PERSPECTIVE II 05/05	81,055.75	33.77	0.00	33.77	92.0	31.07
05/31/2018	PRACHT, MARK	JNL PERSPECTIVE II 05/05	117,323.15	48.88	0.00	48.88	92.0	44.97
05/31/2018	ENGEL, CALVIN	JNL PERSPECTIVE II 05/05	167,931.00	69.97	0.00	69.97	92.0	64.37
05/31/2018	HESSE, RICHARD	JNL PERSPECTIVE II 05/05	43,902.96	18.29	0.00	18.29	92.0	16.83
05/31/2018	BROWN, MARY	JNL PERSPECTIVE L	9,017.53	9.77	0.00	9.77	92.0	8.99
05/31/2018	CRANE, BEVERLY	JNL PERSPECTIVE L	149,558.84	162.02	0.00	162.02	92.0	149.06
05/31/2018	DANDURAND, BEVERLY	JNL PERSPECTIVE L	162,293.41	175.82	0.00	175.82	92.0	161.75
05/31/2018	ROBINSON, DENNIS	JOHN HANCOCK VANTAGE	70,103.67	14.60	0.00	14.60	92.0	13.43
05/31/2018	KENNEDY, ROBERT	JOHN HANCOCK VENTURE	37,558.55	23.47	0.00	23.47	92.0	21.59
05/31/2018	HEARD, DAVID	JOHN HANCOCK VENTURE	16,382.27	10.24	0.00	10.24	92.0	9.42
05/31/2018	HEIMERMAN, JANET	JOHN HANCOCK VENTURE 2006	43,468.04	9.06	0.00	9.06	92.0	8.34
05/31/2018	ROBINSON, DENNIS	JOHN HANCOCK VENTURE III	120,271.52	100.23	0.00	100.23	92.0	92.21
04/30/2018	STEKOLL, BERNARD	PRU AS ADVISOR PLAN II - 1996	130,210.57	27.13	0.00	27.13	92.0	24.96
05/31/2018	STEKOLL, BERNARD	PRU AS ADVISOR PLAN II - 1996	132,614.34	27.63	0.00	27.63	92.0	25.42
05/30/2018	ROBINSON, VIRGINIA	SBL ADVISOR PROGRAM	63,933.73	13.30	0.00	13.30	92.0	12.24
05/30/2018	CRANE, JEANNE	SBL ADVISOR PROGRAM	216.91	0.14	0.00	0.14	92.0	0.13
05/30/2018	BLUMENTHAL, JAY	SBL ADVISOR PROGRAM	56,437.77	37.63	0.00	37.63	92.0	34.62
05/30/2018	PERICA, NICK	SBL ADVISOR PROGRAM	20,367.16	13.58	0.00	13.58	92.0	12.49
05/30/2018	PERICA, NICK	SBL ADVISOR PROGRAM	20,675.38	4.31	0.00	4.31	92.0	3.97
05/30/2018	PRATT, DAN	SBL ADVISOR PROGRAM	76,664.94	51.11	0.00	51.11	92.0	47.02
05/30/2018	PRATT, DAN	SBL ADVISOR PROGRAM	9,840.88	6.56	0.00	6.56	92.0	6.04

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
05/30/2018	WILSON, MICHAEL	SBL ADVISOR PROGRAM	9,031.60	1.88	0.00	1.88	92.0	1.73
05/30/2018	SCOTT, MELANIE	SBL ADVISOR PROGRAM	54,940.05	36.63	0.00	36.63	92.0	33.70
05/30/2018	KIMBROUGH, MICHAEL	SBL ADVISOR PROGRAM	33,136.07	22.09	0.00	22.09	92.0	20.32
05/30/2018	SPARTAN, STEPHEN	SBL ADVISOR PROGRAM	17,154.75	11.44	0.00	11.44	92.0	10.52
05/30/2018	ALEXANDER, DEBORAH	SBL ADVISOR PROGRAM	1,091.48	0.73	0.00	0.73	92.0	0.67
05/30/2018	SEGRIST, LLOYD	SBL ADVISOR PROGRAM	12,542.70	8.36	0.00	8.36	92.0	7.69
05/30/2018	SCHILLING, BARBARA	SBL ADVISOR PROGRAM	12,600.43	8.40	0.00	8.40	92.0	7.73
05/30/2018	SMITH, MAURICE	SBL ADVISOR PROGRAM	29.41	0.02	0.00	0.02	92.0	0.02
05/30/2018	HAYNES, VICKIE	SBL ADVISOR PROGRAM	9,078.77	6.05	0.00	6.05	92.0	5.57
05/30/2018	SANCHEZ, RICHARD	SBL ADVISOR PROGRAM	33,864.29	22.58	0.00	22.58	92.0	20.77
05/30/2018	SANCHEZ, RICHARD	SBL ADVISOR PROGRAM	8,629.40	5.76	0.00	5.76	92.0	5.30
05/30/2018	LEIGHTON, CAROL	SBL ADVISOR PROGRAM	13,737.33	9.16	0.00	9.16	92.0	8.43
05/30/2018	NOVAK, JAY	SBL ADVISOR PROGRAM	42,123.82	28.08	0.00	28.08	92.0	25.83
05/30/2018	LAHMANN, CYNTHIA	SBL ADVISOR PROGRAM	51,965.30	34.64	0.00	34.64	92.0	31.87
05/30/2018	HILL, MICHAEL	SBL ADVISOR PROGRAM	20,031.71	13.35	0.00	13.35	92.0	12.28
05/30/2018	MOREHOUSE, MARIA	SBL ADVISOR PROGRAM	5,014.66	3.34	0.00	3.34	92.0	3.07
05/30/2018	LAHMANN, JAMES	SBL ADVISOR PROGRAM	40,406.92	33.67	0.00	33.67	92.0	30.98
05/30/2018	NEFF, SHERRILL	SBL ADVISOR PROGRAM	23,630.50	15.75	0.00	15.75	92.0	14.49
05/30/2018	CHASTAIN, ANDREA	SBL ADVISOR PROGRAM	60,768.67	40.51	0.00	40.51	92.0	37.27
05/30/2018	JOHNSON, JUDY	SBL ADVISOR PROGRAM	56,365.78	37.58	0.00	37.58	92.0	34.57
05/30/2018	TUNG, CHAN	SBL ADVISOR PROGRAM	23,719.01	15.81	0.00	15.81	92.0	14.55
05/30/2018	COOPER, KATHERINE	SBL ADVISOR PROGRAM	11,031.47	7.35	0.00	7.35	92.0	6.76
05/30/2018	DAVIS, JOANNE	SBL ADVISOR PROGRAM	7,953.42	5.30	0.00	5.30	92.0	4.88
05/30/2018	YEAZEL, JOHN	SBL ADVISOR PROGRAM	72,728.81	48.49	0.00	48.49	92.0	44.61
05/30/2018	SAUNDERS, TONI	SBL ADVISOR PROGRAM	2,929.58	1.95	0.00	1.95	92.0	1.79
05/30/2018	POWELL, MATTHEW	SBL ADVISOR PROGRAM	3,192.18	2.13	0.00	2.13	92.0	1.96
05/30/2018	MCDOWELL, DENISE	SBL ADVISOR PROGRAM	64,656.27	43.10	0.00	43.10	92.0	39.65
05/30/2018	SUTTON, LINDA	SBL ADVISOR PROGRAM	476.35	0.32	0.00	0.32	92.0	0.29
05/30/2018	BENNETT, CARA	SBL SECUREDESIGNS VA	12,174.99	2.55	0.00	2.55	92.0	2.35
05/30/2018	RHEA, JONNIE	SBL SECUREDESIGNS VA	104,771.37	21.80	0.00	21.80	92.0	20.06
05/30/2018	MCADAM, DANIEL	SBL SECUREDESIGNS VA	104,620.30	21.77	0.00	21.77	92.0	20.03
05/30/2018	DUFFENDACK, PAULA	SBL SECUREDESIGNS VA	25,893.56	9.71	0.00	9.71	92.0	8.93
06/04/2018	WINN, VALDENIA	SBL VARIFLEX VA	150.00	7.50	0.00	7.50	92.0	6.90

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/04/2018	BENNETT, TERESA	SBL VARIFLEX VA	40.00	2.00	0.00	2.00	92.0	1.84
05/03/2018	OTTAWAY, SANDRA	TRANSAMERICA FREEDOM	1,943.25	1.62	0.00	1.62	92.0	1.49
05/04/2018	OTTAWAY, SANDRA	TRANSAMERICA FREEDOM	7,186.48	5.99	0.00	5.99	92.0	5.51
05/24/2018	HOSS, JODENE	TRANSAMERICA PRINCIPIUM	264,797.22	110.33	0.00	110.33	92.0	101.50
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$3,437,780.83</b>	<b>\$1,848.84</b>	<b>\$0.00</b>	<b>\$1,848.84</b>		<b>\$1,700.93</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/10/2018	RUBENTHALER, RANDALL	ALLIANCEBERNSTEIN BALANCED SHARES A	0.00	4.22	0.00	4.22	92.0	3.88
05/10/2018	GALLARDO, KAREN	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	2.76	0.00	2.76	92.0	2.54
05/10/2018	KONECNY, BETTE J LUTES-	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.34	0.00	0.34	92.0	0.31
05/10/2018	KONECNY, BETTE J LUTES-	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.49	0.00	0.49	92.0	0.45
05/21/2018	PRACHT, MARK	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	7.13	0.00	7.13	92.0	6.56
05/21/2018	PRACHT, MARK	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.46	0.00	2.46	92.0	2.26
06/01/2018	MILLER, WARREN	AM FUNDS AMCAP A	0.00	26.72	0.00	26.72	92.0	24.58
06/01/2018	SCHMIDT, SYLVIA	AM FUNDS AMCAP A	0.00	53.89	0.00	53.89	92.0	49.58
06/01/2018	PRACHT, CHRISTOPHER	AM FUNDS AMCAP A	0.00	11.97	0.00	11.97	92.0	11.01
06/01/2018	BOWMAN, DANIEL	AM FUNDS AMCAP A	0.00	0.94	0.00	0.94	92.0	0.86
06/01/2018	JENKINS, MARTY	AM FUNDS AMCAP A	0.00	12.27	0.00	12.27	92.0	11.29
06/01/2018	BOWMAN, JAMES	AM FUNDS AMCAP A	0.00	0.89	0.00	0.89	92.0	0.82
06/01/2018	BOWMAN, ROBIN	AM FUNDS AMCAP A	0.00	0.80	0.00	0.80	92.0	0.74
06/01/2018	HEIMERMAN, DOYLE	AM FUNDS AMCAP A	0.00	3.46	0.00	3.46	92.0	3.18
06/01/2018	STROOT, SANDRA	AM FUNDS AMCAP A	0.00	5.46	0.00	5.46	92.0	5.02
06/01/2018	WILLIAMS, WILLIAM	AM FUNDS AMCAP A	0.00	8.48	0.00	8.48	92.0	7.80
06/01/2018	HAMPTON, ANNDRE	AM FUNDS AMCAP A	0.00	2.97	0.00	2.97	92.0	2.73
06/01/2018	GALLARDO, KAREN	AM FUNDS AMCAP A	0.00	10.24	0.00	10.24	92.0	9.42
06/01/2018	REED TRUST, MARK	AM FUNDS AMCAP A	0.00	136.98	0.00	136.98	92.0	126.02
05/15/2018	PRACHT, MARK	AM FUNDS AMERICAN BALANCED A	0.00	4.64	0.00	4.64	92.0	4.27
06/01/2018	RHEA, JONNIE	AM FUNDS AMERICAN BALANCED A	0.00	178.65	0.00	178.65	92.0	164.36
06/01/2018	SCHMIDT, ALAN	AM FUNDS AMERICAN BALANCED A	0.00	59.33	0.00	59.33	92.0	54.58
06/01/2018	MILLER, ELAINE	AM FUNDS AMERICAN BALANCED A	0.00	30.57	0.00	30.57	92.0	28.12
06/01/2018	WERNER TRUST, WANDA	AM FUNDS AMERICAN BALANCED A	0.00	35.94	0.00	35.94	92.0	33.06
06/01/2018	BOWMAN, KELLY	AM FUNDS AMERICAN BALANCED A	0.00	5.68	0.00	5.68	92.0	5.23
06/01/2018	DANDURAND, BEVERLY	AM FUNDS AMERICAN BALANCED A	0.00	40.25	0.00	40.25	92.0	37.03
06/01/2018	PETERSEN, RAYMOND	AM FUNDS AMERICAN BALANCED A	0.00	14.44	0.00	14.44	92.0	13.28

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	SPRAGUE, ARTHUR	AM FUNDS AMERICAN BALANCED A	0.00	77.58	0.00	77.58	92.0	71.37
06/01/2018	PRACHT, CHRISTOPHER	AM FUNDS AMERICAN BALANCED A	0.00	13.98	0.00	13.98	92.0	12.86
06/01/2018	PRACHT, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	3.27	0.00	3.27	92.0	3.01
06/01/2018	SPRAGUE, KRISTY	AM FUNDS AMERICAN BALANCED A	0.00	92.61	0.00	92.61	92.0	85.20
06/01/2018	BELL, CONNOR	AM FUNDS AMERICAN BALANCED A	0.00	4.07	0.00	4.07	92.0	3.74
06/01/2018	SPRAGUE, ARTHUR	AM FUNDS AMERICAN BALANCED A	0.00	8.68	0.00	8.68	92.0	7.99
06/01/2018	LAWSON, SYLVIA	AM FUNDS AMERICAN BALANCED A	0.00	12.25	0.00	12.25	92.0	11.27
06/01/2018	DELPH TRUST, NORMA	AM FUNDS AMERICAN BALANCED A	0.00	139.99	0.00	139.99	92.0	128.79
06/01/2018	SPRAGUE, ARTHUR	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	92.0	0.21
06/01/2018	CLEMENS, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	7.76	0.00	7.76	92.0	7.14
06/01/2018	STOCKHAM, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	1.68	0.00	1.68	92.0	1.55
06/01/2018	STOCKHAM, JOYCE	AM FUNDS AMERICAN BALANCED A	0.00	4.11	0.00	4.11	92.0	3.78
06/01/2018	STOCKHAM, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.66	0.00	0.66	92.0	0.61
06/01/2018	STOCKHAM, JOYCE	AM FUNDS AMERICAN BALANCED A	0.00	1.14	0.00	1.14	92.0	1.05
06/01/2018	SPRAGUE, KRISTY	AM FUNDS AMERICAN BALANCED A	0.00	81.42	0.00	81.42	92.0	74.91
06/01/2018	HIEGER REV TRUST, STEVEN & KIMAM	FUNDS AMERICAN BALANCED A	0.00	16.75	0.00	16.75	92.0	15.41
06/01/2018	HESSE KS/TOD, RICHARD	AM FUNDS AMERICAN BALANCED A	0.00	134.05	0.00	134.05	92.0	123.33
06/01/2018	HIEGER, KIM	AM FUNDS AMERICAN BALANCED A	0.00	4.91	0.00	4.91	92.0	4.52
06/01/2018	DUSH, THERESA	AM FUNDS AMERICAN BALANCED A	0.00	4.45	0.00	4.45	92.0	4.09
06/01/2018	SMITH, SHARRILYN	AM FUNDS AMERICAN BALANCED A	0.00	10.90	0.00	10.90	92.0	10.03
06/01/2018	LANDGREN, PAMELA	AM FUNDS AMERICAN BALANCED A	0.00	2.87	0.00	2.87	92.0	2.64
06/01/2018	LANDGREN, RICHARD	AM FUNDS AMERICAN BALANCED A	0.00	10.68	0.00	10.68	92.0	9.83
06/01/2018	LANDGREN, RICHARD	AM FUNDS AMERICAN BALANCED A	0.00	1.92	0.00	1.92	92.0	1.77
06/01/2018	GOODMAN, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	22.44	0.00	22.44	92.0	20.64
06/01/2018	DUSH, THERESA	AM FUNDS AMERICAN BALANCED A	0.00	2.83	0.00	2.83	92.0	2.60
06/01/2018	JENKINS, MARTY	AM FUNDS AMERICAN BALANCED A	0.00	2.34	0.00	2.34	92.0	2.15
06/01/2018	HIEGER, STEVEN	AM FUNDS AMERICAN BALANCED A	0.00	12.13	0.00	12.13	92.0	11.16
06/01/2018	HEIMERMAN, JANET	AM FUNDS AMERICAN BALANCED A	0.00	4.87	0.00	4.87	92.0	4.48
06/01/2018	BROWN, MERRI	AM FUNDS AMERICAN BALANCED A	0.00	10.02	0.00	10.02	92.0	9.22
06/01/2018	KELLEY, RUTH	AM FUNDS AMERICAN BALANCED A	0.00	20.85	0.00	20.85	92.0	19.18
06/01/2018	HILGER, PAMELA	AM FUNDS AMERICAN BALANCED A	0.00	6.76	0.00	6.76	92.0	6.22
06/01/2018	KELLEY, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	63.55	0.00	63.55	92.0	58.47
06/01/2018	CARPENTER, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	3.17	0.00	3.17	92.0	2.92

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	CARPENTER, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	1.90	0.00	1.90	92.0	1.75
06/01/2018	CARPENTER, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	12.69	0.00	12.69	92.0	11.67
06/01/2018	ROTHS, GLEN	AM FUNDS AMERICAN BALANCED A	0.00	1.67	0.00	1.67	92.0	1.54
06/01/2018	ROTHS, GLEN	AM FUNDS AMERICAN BALANCED A	0.00	4.28	0.00	4.28	92.0	3.94
06/01/2018	IORIO, NATASHA AUSDERA	AM FUNDS AMERICAN BALANCED A	0.00	7.35	0.00	7.35	92.0	6.76
06/01/2018	BOHANNON, RONALD	AM FUNDS AMERICAN BALANCED A	0.00	6.47	0.00	6.47	92.0	5.95
06/01/2018	CRANE, BEVERLY	AM FUNDS AMERICAN BALANCED A	0.00	36.91	0.00	36.91	92.0	33.96
06/01/2018	MCADAM, DANIEL	AM FUNDS AMERICAN BALANCED A	0.00	5.39	0.00	5.39	92.0	4.96
06/01/2018	MCADAM, JEANNETTE	AM FUNDS AMERICAN BALANCED A	0.00	3.85	0.00	3.85	92.0	3.54
06/01/2018	MCADAM, JEANNETTE	AM FUNDS AMERICAN BALANCED A	0.00	30.06	0.00	30.06	92.0	27.66
06/01/2018	MULLINIX, JANICE	AM FUNDS AMERICAN BALANCED A	0.00	22.58	0.00	22.58	92.0	20.77
06/01/2018	HEIMERMAN, DOYLE	AM FUNDS AMERICAN BALANCED A	0.00	6.03	0.00	6.03	92.0	5.55
06/01/2018	HAVERFIELD, KARLA	AM FUNDS AMERICAN BALANCED A	0.00	3.54	0.00	3.54	92.0	3.26
06/01/2018	STROOT, SANDRA	AM FUNDS AMERICAN BALANCED A	0.00	5.89	0.00	5.89	92.0	5.42
06/01/2018	GOULD, HILARY	AM FUNDS AMERICAN BALANCED A	0.00	2.04	0.00	2.04	92.0	1.88
06/01/2018	THOMAS, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	0.35	0.00	0.35	92.0	0.32
06/01/2018	HENDERSON, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	0.63	0.00	0.63	92.0	0.58
06/01/2018	HENDERSON, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	0.63	0.00	0.63	92.0	0.58
06/01/2018	WILLIAMS, WILLIAM	AM FUNDS AMERICAN BALANCED A	0.00	5.17	0.00	5.17	92.0	4.76
06/01/2018	SMITH, PHILLIP	AM FUNDS AMERICAN BALANCED A	0.00	10.12	0.00	10.12	92.0	9.31
06/01/2018	FLATTERY, VICKI	AM FUNDS AMERICAN BALANCED A	0.00	33.09	0.00	33.09	92.0	30.44
06/01/2018	OTTAWAY, SANDRA	AM FUNDS AMERICAN BALANCED A	0.00	32.52	0.00	32.52	92.0	29.92
06/01/2018	OTTAWAY, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	32.12	0.00	32.12	92.0	29.55
06/01/2018	HAMPTON, ANNDRE	AM FUNDS AMERICAN BALANCED A	0.00	11.48	0.00	11.48	92.0	10.56
06/01/2018	SPONSEL, VICKY	AM FUNDS AMERICAN BALANCED A	0.00	0.61	0.00	0.61	92.0	0.56
06/01/2018	GALLARDO, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	23.42	0.00	23.42	92.0	21.55
06/01/2018	LANDGREN, RICHARD	AM FUNDS AMERICAN BALANCED A	0.00	2.74	0.00	2.74	92.0	2.52
06/01/2018	HAINES, EDWARD	AM FUNDS AMERICAN BALANCED A	0.00	51.04	0.00	51.04	92.0	46.96
06/01/2018	STEWART, SUE	AM FUNDS AMERICAN BALANCED A	0.00	4.62	0.00	4.62	92.0	4.25
06/01/2018	SCHMITT, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	0.01	0.00	0.01	92.0	0.01
06/01/2018	PRACHT, CAROLE	AM FUNDS AMERICAN BALANCED A	0.00	0.93	0.00	0.93	92.0	0.86
06/01/2018	LACHER, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	1.45	0.00	1.45	92.0	1.33
06/01/2018	JONES-CLARK, DORETHEA	AM FUNDS AMERICAN BALANCED A	0.00	1.68	0.00	1.68	92.0	1.55

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	BOHANNON, DONNA	AM FUNDS AMERICAN BALANCED A	0.00	9.31	0.00	9.31	92.0	8.57
06/01/2018	JONES-CLARK, DOROTHEA	AM FUNDS AMERICAN BALANCED A	0.00	1.49	0.00	1.49	92.0	1.37
06/01/2018	MULLINIX, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	0.87	0.00	0.87	92.0	0.80
06/01/2018	VALDIVIA, HOLLY	AM FUNDS AMERICAN BALANCED A	0.00	0.86	0.00	0.86	92.0	0.79
06/01/2018	MULLINIX, JONATHAN	AM FUNDS AMERICAN BALANCED A	0.00	0.69	0.00	0.69	92.0	0.63
06/01/2018	WELCH, COLLEEN	AM FUNDS AMERICAN BALANCED A	0.00	12.34	0.00	12.34	92.0	11.35
06/01/2018	GALLARDO, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	0.62	0.00	0.62	92.0	0.57
06/01/2018	DANDURAND, BEVERLY	AM FUNDS AMERICAN BALANCED A	0.00	6.03	0.00	6.03	92.0	5.55
06/01/2018	LOOK, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	1.13	0.00	1.13	92.0	1.04
06/01/2018	WILLIAMS, JAIME	AM FUNDS AMERICAN BALANCED C	0.00	19.61	0.00	19.61	92.0	18.04
06/01/2018	RILEY-WILLIAMS, DEBRA	AM FUNDS AMERICAN BALANCED C	0.00	63.35	0.00	63.35	92.0	58.28
06/01/2018	GERSTENKORN, CAROLYN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	14.06	0.00	14.06	92.0	12.94
06/01/2018	SCHMIDT, ALAN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	2.46	0.00	2.46	92.0	2.26
06/01/2018	WERNER TRUST, WANDA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	25.74	0.00	25.74	92.0	23.68
06/01/2018	WIRTH, MARJORIE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	37.42	0.00	37.42	92.0	34.43
06/01/2018	MULLINIX, JANICE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.98	0.00	5.98	92.0	5.50
06/01/2018	FLATTERY, VICKI	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	23.67	0.00	23.67	92.0	21.78
06/01/2018	OTTAWAY, SANDRA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	17.18	0.00	17.18	92.0	15.81
06/01/2018	OTTAWAY, DANNY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	23.01	0.00	23.01	92.0	21.17
06/01/2018	SCHMITT, SUSAN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.01	0.00	0.01	92.0	0.01
06/01/2018	REED TRUST, MARK	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	52.44	0.00	52.44	92.0	48.24
06/01/2018	GERSTENKORN, CAROLYN	AM FUNDS AMERICAN MUTUAL A	0.00	127.81	0.00	127.81	92.0	117.59
06/01/2018	THOMPSON, JESSICA	AM FUNDS AMERICAN MUTUAL A	0.00	3.10	0.00	3.10	92.0	2.85
06/01/2018	KELLEY, RUTH	AM FUNDS AMERICAN MUTUAL A	0.00	49.24	0.00	49.24	92.0	45.30
06/01/2018	BAZIL, RALPH	AM FUNDS AMERICAN MUTUAL A	0.00	7.90	0.00	7.90	92.0	7.27
06/01/2018	BAZIL, REBECCA	AM FUNDS AMERICAN MUTUAL A	0.00	9.81	0.00	9.81	92.0	9.03
06/01/2018	GERSTENKORN, CAROLYN	AM FUNDS BOND FUND OF AMERICA A	0.00	9.41	0.00	9.41	92.0	8.66
06/01/2018	SPRAGUE, ARTHUR	AM FUNDS BOND FUND OF AMERICA A	0.00	7.00	0.00	7.00	92.0	6.44
06/01/2018	KELLEY, RUTH	AM FUNDS BOND FUND OF AMERICA A	0.00	20.83	0.00	20.83	92.0	19.16
06/01/2018	HART, WALLACE	AM FUNDS BOND FUND OF AMERICA A	0.00	2.68	0.00	2.68	92.0	2.47
06/01/2018	BELL, BRAYDEN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.43	0.00	2.43	92.0	2.24
06/01/2018	BELL, BRAYDEN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.43	0.00	2.43	92.0	2.24
05/15/2018	RUBENTHALER, RANDALL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.45	0.00	4.45	92.0	4.09

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/15/2018	KONECNY, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.60	0.00	5.60	92.0	5.15
05/15/2018	ROTHS, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.77	0.00	1.77	92.0	1.63
05/15/2018	HOUSTON, SAMUEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.72	0.00	0.72	92.0	0.66
06/01/2018	SCHMIDT, ALAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.83	0.00	9.83	92.0	9.04
06/01/2018	WERNER TRUST, WANDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	19.08	0.00	19.08	92.0	17.55
06/01/2018	DANDURAND, BEVERLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.12	0.00	14.12	92.0	12.99
06/01/2018	PRACHT, CHRISTOPHER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.38	0.00	12.38	92.0	11.39
06/01/2018	PRACHT, BRIAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.18	0.00	5.18	92.0	4.77
06/01/2018	LAWSON, SYLVIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.82	0.00	2.82	92.0	2.59
06/01/2018	IORIO, RICHARD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.99	0.00	1.99	92.0	1.83
06/01/2018	JONES, RITA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.70	0.00	1.70	92.0	1.56
06/01/2018	IORIO, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.80	0.00	0.80	92.0	0.74
06/01/2018	CLEMENS, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.46	0.00	14.46	92.0	13.30
06/01/2018	STOCKHAM, JOYCE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.59	0.00	0.59	92.0	0.54
06/01/2018	SPRAGUE, KRISTY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	83.73	0.00	83.73	92.0	77.03
06/01/2018	HESSE KS/TOD, RICHARD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	25.05	0.00	25.05	92.0	23.05
06/01/2018	BELL, BRAYDEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.81	0.00	0.81	92.0	0.75
06/01/2018	BELL, GRIFFIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.58	0.00	0.58	92.0	0.53
06/01/2018	DUSH, THERESA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.67	0.00	9.67	92.0	8.90
06/01/2018	HOFFMAN, FRANCIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	22.20	0.00	22.20	92.0	20.42
06/01/2018	HOFFMAN, VERLA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	41.48	0.00	41.48	92.0	38.16
06/01/2018	WIRTH, MARJORIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.97	0.00	6.97	92.0	6.41
06/01/2018	SMITH, SHARRILYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	22.86	0.00	22.86	92.0	21.03
06/01/2018	LANDGREN, RICHARD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.53	0.00	1.53	92.0	1.41
06/01/2018	GOODMAN, KEITH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	19.96	0.00	19.96	92.0	18.36
06/01/2018	GOODMAN, CHRISTINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.82	0.00	11.82	92.0	10.87
06/01/2018	JENKINS, MARTY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.92	0.00	0.92	92.0	0.85
06/01/2018	JENKINS, MARTY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.01	0.00	5.01	92.0	4.61
06/01/2018	HIEGER, STEVEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.21	0.00	21.21	92.0	19.51
06/01/2018	HEIMERMAN, JANET	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.62	0.00	4.62	92.0	4.25
06/01/2018	IORIO, ISABELLA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.35	0.00	1.35	92.0	1.24
06/01/2018	BROWN, MERRI	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.54	0.00	5.54	92.0	5.10
06/01/2018	KELLEY, RUTH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	36.96	0.00	36.96	92.0	34.00

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	HILGER, PAMELA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.83	0.00	12.83	92.0	11.80
06/01/2018	KELLEY, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.61	0.00	17.61	92.0	16.20
06/01/2018	DAVIS, ELISE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.66	0.00	0.66	92.0	0.61
06/01/2018	CARPENTER, REBECCA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.99	0.00	1.99	92.0	1.83
06/01/2018	CARPENTER, REBECCA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.09	0.00	2.09	92.0	1.92
06/01/2018	ROTHS, GLEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.37	0.00	1.37	92.0	1.26
06/01/2018	ROTHS, GLEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.03	0.00	11.03	92.0	10.15
06/01/2018	GAUNA, DOMINIC	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.62	0.00	8.62	92.0	7.93
06/01/2018	LANDGREN, PAMELA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.47	0.00	0.47	92.0	0.43
06/01/2018	GALLARDO, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.63	0.00	21.63	92.0	19.90
06/01/2018	BOHANNON, RONALD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.96	0.00	5.96	92.0	5.48
06/01/2018	CRANE, BEVERLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	15.01	0.00	15.01	92.0	13.81
06/01/2018	LOTT TRUST, EDITH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.23	0.00	13.23	92.0	12.17
06/01/2018	HOUSTON, CAMMY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.08	0.00	13.08	92.0	12.03
06/01/2018	THOMAS, IAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.76	0.00	0.76	92.0	0.70
06/01/2018	MCADAM, DANIEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.14	0.00	3.14	92.0	2.89
06/01/2018	MCADAM, JEANNETTE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.26	0.00	1.26	92.0	1.16
06/01/2018	MCADAM, JEANNETTE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	19.59	0.00	19.59	92.0	18.02
06/01/2018	MULLINIX, JANICE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	22.48	0.00	22.48	92.0	20.68
06/01/2018	CROSBY, AMANDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.46	0.00	0.46	92.0	0.42
06/01/2018	LAWSON, THOMAS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.72	0.00	0.72	92.0	0.66
06/01/2018	GOULD, HILARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.67	0.00	1.67	92.0	1.54
06/01/2018	HOUSTON, JACOB	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.15	0.00	1.15	92.0	1.06
06/01/2018	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.88	0.00	0.88	92.0	0.81
06/01/2018	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.88	0.00	0.88	92.0	0.81
06/01/2018	WILLIAMS, WILLIAM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.38	0.00	3.38	92.0	3.11
06/01/2018	SMITH, PHILLIP	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.93	0.00	0.93	92.0	0.86
06/01/2018	FLATTERY, VICKI	AM FUNDS CAPITAL INCOME BUILDER A	0.00	16.27	0.00	16.27	92.0	14.97
06/01/2018	OTTAWAY, SANDRA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.09	0.00	9.09	92.0	8.36
06/01/2018	OTTAWAY, DANNY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.39	0.00	8.39	92.0	7.72
06/01/2018	HEARD, STEPHANIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.25	0.00	0.25	92.0	0.23
06/01/2018	HAMPTON, ANNDRE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.09	0.00	5.09	92.0	4.68
06/01/2018	SPONSEL, ERNEST	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.48	0.00	1.48	92.0	1.36

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	SPONSEL, VICKY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.64	0.00	0.64	92.0	0.59
06/01/2018	KERSTING, LINDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.38	0.00	3.38	92.0	3.11
06/01/2018	GALLARDO, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.15	0.00	13.15	92.0	12.10
06/01/2018	LANDGREN, RICHARD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.53	0.00	0.53	92.0	0.49
06/01/2018	HAINES, EDWARD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	25.29	0.00	25.29	92.0	23.27
06/01/2018	STEWART, SUE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.95	0.00	21.95	92.0	20.19
06/01/2018	LACHER, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.10	0.00	1.10	92.0	1.01
06/01/2018	STUPASKY, BRIAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.62	0.00	0.62	92.0	0.57
06/01/2018	JONES-CLARK, DORETHEA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.08	0.00	1.08	92.0	0.99
06/01/2018	BOHANNON, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.85	0.00	3.85	92.0	3.54
06/01/2018	JONES-CLARK, DOROTHEA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.96	0.00	0.96	92.0	0.88
06/01/2018	MULLINIX, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.83	0.00	0.83	92.0	0.76
06/01/2018	VALDIVIA, HOLLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.66	0.00	0.66	92.0	0.61
06/01/2018	MULLINIX, JONATHAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.66	0.00	0.66	92.0	0.61
06/01/2018	WELCH, COLLEEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.00	0.00	10.00	92.0	9.20
06/01/2018	REED TRUST, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	46.25	0.00	46.25	92.0	42.55
06/01/2018	DANDURAND, BEVERLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.96	0.00	2.96	92.0	2.72
06/01/2018	JONES, RITA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.28	0.00	3.28	92.0	3.02
06/01/2018	PYRON, KAREN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	80.33	0.00	80.33	92.0	73.90
06/01/2018	WILLIAMS, JAIMIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	16.16	0.00	16.16	92.0	14.87
06/01/2018	RILEY-WILLIAMS, DEBRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	39.73	0.00	39.73	92.0	36.55
06/01/2018	JONES, RITA	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.45	0.00	0.45	92.0	0.41
06/01/2018	MULLINIX, JANICE	AM FUNDS CAPITAL WORLD BOND A	0.00	9.16	0.00	9.16	92.0	8.43
05/15/2018	KONECNY, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.07	0.00	4.07	92.0	3.74
06/01/2018	DANDURAND, BEVERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.53	0.00	4.53	92.0	4.17
06/01/2018	SPRAGUE, ARTHUR	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	30.44	0.00	30.44	92.0	28.00
06/01/2018	PRACHT, CHRISTOPHER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.09	0.00	1.09	92.0	1.00
06/01/2018	ROGERS, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.94	0.00	6.94	92.0	6.38
06/01/2018	CLEMENS, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.89	0.00	10.89	92.0	10.02
06/01/2018	SPRAGUE, KRISTY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	63.02	0.00	63.02	92.0	57.98
06/01/2018	HESSE KS/TOD, RICHARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	31.37	0.00	31.37	92.0	28.86
06/01/2018	DUSH, THERESA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.71	0.00	8.71	92.0	8.01
06/01/2018	HOFFMAN, FRANCIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.79	0.00	12.79	92.0	11.77

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	HOFFMAN, VERLA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.64	0.00	15.64	92.0	14.39
06/01/2018	HOFFMAN, VERLA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	23.46	0.00	23.46	92.0	21.58
06/01/2018	WIRTH, MARJORIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	41.66	0.00	41.66	92.0	38.33
06/01/2018	SMITH, SHARRILYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	19.15	0.00	19.15	92.0	17.62
06/01/2018	LANDGREN, PAMELA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.16	0.00	1.16	92.0	1.07
06/01/2018	LANDGREN, RICHARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.94	0.00	0.94	92.0	0.86
06/01/2018	LANDGREN, RICHARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.74	0.00	0.74	92.0	0.68
06/01/2018	GOODMAN, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.72	0.00	11.72	92.0	10.78
06/01/2018	JENKINS, MARTY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.08	0.00	1.08	92.0	0.99
06/01/2018	JENKINS, MARTY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.36	0.00	4.36	92.0	4.01
06/01/2018	HIEGER, STEVEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.82	0.00	6.82	92.0	6.27
06/01/2018	HEIMERMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.14	0.00	2.14	92.0	1.97
06/01/2018	BROWN, MERRI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.90	0.00	2.90	92.0	2.67
06/01/2018	DAVIS, ELISE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.28	0.00	0.28	92.0	0.26
06/01/2018	CARPENTER JR, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.60	0.00	1.60	92.0	1.47
06/01/2018	ROTHS, GLEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.74	0.00	1.74	92.0	1.60
06/01/2018	GAUNA, DOMINIC	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.91	0.00	1.91	92.0	1.76
06/01/2018	LANDGREN, PAMELA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.75	0.00	1.75	92.0	1.61
06/01/2018	JENDEL, TERESA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.02	0.00	1.02	92.0	0.94
06/01/2018	BAZIL, RALPH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.50	0.00	3.50	92.0	3.22
06/01/2018	BAZIL, REBECCA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.37	0.00	2.37	92.0	2.18
06/01/2018	IORIO, NATASHA AUSDERA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.75	0.00	6.75	92.0	6.21
06/01/2018	BOHANNON, RONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.91	0.00	2.91	92.0	2.68
06/01/2018	LOTT TRUST, EDITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.09	0.00	9.09	92.0	8.36
06/01/2018	HOUSTON, CAMMY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.74	0.00	3.74	92.0	3.44
06/01/2018	MCADAM, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.45	0.00	1.45	92.0	1.33
06/01/2018	MCADAM, JEANNETTE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.73	0.00	0.73	92.0	0.67
06/01/2018	MCADAM, JEANNETTE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.54	0.00	2.54	92.0	2.34
06/01/2018	MULLINIX, JANICE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.63	0.00	10.63	92.0	9.78
06/01/2018	CROSBY, AMANDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.36	0.00	0.36	92.0	0.33
06/01/2018	LAWSON, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.37	0.00	0.37	92.0	0.34
06/01/2018	THOMAS, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.27	0.00	0.27	92.0	0.25
06/01/2018	HEARD, STEPHANIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.33	0.00	0.33	92.0	0.30

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	HAMPTON, ANNDRE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.85	0.00	2.85	92.0	2.62
06/01/2018	SPONSEL, ERNEST	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.47	0.00	0.47	92.0	0.43
06/01/2018	SPONSEL, VICKY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.36	0.00	0.36	92.0	0.33
06/01/2018	KERSTING, LINDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.55	0.00	1.55	92.0	1.43
06/01/2018	GALLARDO, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.81	0.00	3.81	92.0	3.51
06/01/2018	BOHANNON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.78	0.00	2.78	92.0	2.56
06/01/2018	VALDIVIA, HOLLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.54	0.00	0.54	92.0	0.50
06/01/2018	MULLINIX, JONATHAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.72	0.00	0.72	92.0	0.66
06/01/2018	REED TRUST, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	37.96	0.00	37.96	92.0	34.92
06/01/2018	SPRAGUE, ARTHUR	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	39.67	0.00	39.67	92.0	36.50
06/01/2018	CLEMENS, SUSAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.91	0.00	3.91	92.0	3.60
06/01/2018	DUSH, THERESA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	12.21	0.00	12.21	92.0	11.23
06/01/2018	HOFFMAN, FRANCIS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.63	0.00	9.63	92.0	8.86
06/01/2018	LANDGREN, PAMELA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.02	0.00	1.02	92.0	0.94
06/01/2018	LANDGREN, RICHARD	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.83	0.00	0.83	92.0	0.76
06/01/2018	LANDGREN, RICHARD	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.67	0.00	0.67	92.0	0.62
06/01/2018	RAYMER, DEBRA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.78	0.00	2.78	92.0	2.56
06/01/2018	KOSANKE, MARK	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.18	0.00	0.18	92.0	0.17
06/01/2018	HIEGER, STEVEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.94	0.00	8.94	92.0	8.22
06/01/2018	CARPENTER, REBECCA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.93	0.00	0.93	92.0	0.86
06/01/2018	BAZIL, RALPH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.60	0.00	1.60	92.0	1.47
06/01/2018	BAZIL, REBECCA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.44	0.00	1.44	92.0	1.32
06/01/2018	CRANE, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.90	0.00	3.90	92.0	3.59
06/01/2018	LACHER, KAREN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.30	0.00	0.30	92.0	0.28
05/15/2018	KONECNY, MARK	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.35	0.00	2.35	92.0	2.16
06/01/2018	SCHMIDT, ALAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	13.74	0.00	13.74	92.0	12.64
06/01/2018	SCHMIDT, SYLVIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	48.28	0.00	48.28	92.0	44.42
06/01/2018	BOWMAN, KELLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.34	0.00	3.34	92.0	3.07
06/01/2018	DANDURAND, BEVERLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.19	0.00	15.19	92.0	13.97
06/01/2018	LAWSON, SYLVIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.92	0.00	3.92	92.0	3.61
06/01/2018	ROGERS, DEBRA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	13.17	0.00	13.17	92.0	12.12
06/01/2018	STOCKHAM, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.61	0.00	0.61	92.0	0.56
06/01/2018	SPRAGUE, KRISTY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	28.70	0.00	28.70	92.0	26.40

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	DUSH, THERESA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.58	0.00	6.58	92.0	6.05
06/01/2018	SMITH, SHARRILYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.79	0.00	15.79	92.0	14.53
06/01/2018	LANDGREN, PAMELA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.32	0.00	2.32	92.0	2.13
06/01/2018	LANDGREN, RICHARD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.57	0.00	15.57	92.0	14.32
06/01/2018	LANDGREN, RICHARD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.21	0.00	1.21	92.0	1.11
06/01/2018	GOODMAN, CHRISTINE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.98	0.00	3.98	92.0	3.66
06/01/2018	BROWN, MERRI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.12	0.00	6.12	92.0	5.63
06/01/2018	KELLEY, LUCIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.21	0.00	9.21	92.0	8.47
06/01/2018	DAVIS, ELISE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.50	0.00	0.50	92.0	0.46
06/01/2018	CARPENTER JR, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.33	0.00	5.33	92.0	4.90
06/01/2018	CARPENTER, REBECCA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.19	0.00	3.19	92.0	2.93
06/01/2018	ROTHS, GLEN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	16.33	0.00	16.33	92.0	15.02
06/01/2018	GAUNA, DOMINIC	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.55	0.00	7.55	92.0	6.95
06/01/2018	LANDGREN, PAMELA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.62	0.00	0.62	92.0	0.57
06/01/2018	JENDEL, TERESA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.31	0.00	1.31	92.0	1.21
06/01/2018	GALLARDO, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	40.98	0.00	40.98	92.0	37.70
06/01/2018	IORIO, NATASHA AUSDERA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	14.56	0.00	14.56	92.0	13.40
06/01/2018	CRANE, BEVERLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	16.08	0.00	16.08	92.0	14.79
06/01/2018	LOTT TRUST, EDITH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	17.86	0.00	17.86	92.0	16.43
06/01/2018	HOUSTON, CAMMY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	20.21	0.00	20.21	92.0	18.59
06/01/2018	MCADAM, JEANNETTE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.75	0.00	1.75	92.0	1.61
06/01/2018	MCADAM, JEANNETTE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.55	0.00	8.55	92.0	7.87
06/01/2018	HEIMERMAN, DOYLE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.99	0.00	7.99	92.0	7.35
06/01/2018	HAVERFIELD, KARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.92	0.00	7.92	92.0	7.29
06/01/2018	STROOT, SANDRA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.99	0.00	7.99	92.0	7.35
06/01/2018	GOULD, HILARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.59	0.00	1.59	92.0	1.46
06/01/2018	WILLIAMS, WILLIAM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.78	0.00	9.78	92.0	9.00
06/01/2018	OTTAWAY, DANNY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	10.73	0.00	10.73	92.0	9.87
06/01/2018	HAMPTON, ANNDRE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.50	0.00	6.50	92.0	5.98
06/01/2018	SPONSEL, ERNEST	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.37	0.00	1.37	92.0	1.26
06/01/2018	KERSTING, LINDA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.01	0.00	15.01	92.0	13.81
06/01/2018	GALLARDO, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	13.71	0.00	13.71	92.0	12.61
06/01/2018	LANDGREN, RICHARD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.04	0.00	3.04	92.0	2.80

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	HAINES, EDWARD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	21.63	0.00	21.63	92.0	19.90
06/01/2018	STEWART, SUE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.14	0.00	1.14	92.0	1.05
06/01/2018	SCHMITT, SUSAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.02	0.00	0.02	92.0	0.02
06/01/2018	LACHER, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.22	0.00	1.22	92.0	1.12
06/01/2018	STUPASKY, BRIAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.83	0.00	0.83	92.0	0.76
06/01/2018	JONES-CLARK, DORETHEA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.18	0.00	1.18	92.0	1.09
06/01/2018	BOHANNON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.58	0.00	5.58	92.0	5.13
06/01/2018	JONES-CLARK, DOROTHEA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.04	0.00	1.04	92.0	0.96
06/01/2018	MULLINIX, JAMES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.91	0.00	0.91	92.0	0.84
06/01/2018	VALDIVIA, HOLLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.72	0.00	0.72	92.0	0.66
06/01/2018	MULLINIX, JONATHAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.72	0.00	0.72	92.0	0.66
06/01/2018	WELCH, COLLEEN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	10.54	0.00	10.54	92.0	9.70
06/01/2018	REED TRUST, MARK	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	59.15	0.00	59.15	92.0	54.42
06/01/2018	GALLARDO, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.63	0.00	0.63	92.0	0.58
06/01/2018	DANDURAND, BEVERLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.04	0.00	3.04	92.0	2.80
06/01/2018	MULLINIX, JONATHAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.63	0.00	4.63	92.0	4.26
06/01/2018	LOOK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.14	0.00	1.14	92.0	1.05
06/01/2018	WILLIAMS, JAIME	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	17.57	0.00	17.57	92.0	16.16
06/01/2018	STOCKHAM, JOYCE	AM FUNDS GROWTH AND INCOME A	0.00	7.59	0.00	7.59	92.0	6.98
06/01/2018	BELL, BRAYDEN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.37	0.00	0.37	92.0	0.34
05/15/2018	KONECNY, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.90	0.00	3.90	92.0	3.59
05/15/2018	PRACHT, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.61	0.00	9.61	92.0	8.84
06/01/2018	MILLER, WARREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.08	0.00	1.08	92.0	0.99
06/01/2018	SCHMIDT, SYLVIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	60.93	0.00	60.93	92.0	56.06
06/01/2018	BOWMAN, KELLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.96	0.00	2.96	92.0	2.72
06/01/2018	REED, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	19.80	0.00	19.80	92.0	18.22
06/01/2018	PRACHT, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.78	0.00	7.78	92.0	7.16
06/01/2018	PRACHT, BRIAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.19	0.00	10.19	92.0	9.37
06/01/2018	THOMPSON, JESSICA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.21	0.00	4.21	92.0	3.87
06/01/2018	SMITH, OLIVIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.20	0.00	1.20	92.0	1.10
06/01/2018	IORIO, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.76	0.00	5.76	92.0	5.30
06/01/2018	THOMAS, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.19	0.00	2.19	92.0	2.01
06/01/2018	CLEMENS, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.72	0.00	11.72	92.0	10.78

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	STOCKHAM, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.30	0.00	2.30	92.0	2.12
06/01/2018	STOCKHAM, JOYCE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.65	0.00	5.65	92.0	5.20
06/01/2018	STOCKHAM, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.79	0.00	1.79	92.0	1.65
06/01/2018	HIEGER REV TRUST, STEVEN & KIMAM FUNDS	GROWTH FUND OF AMERICA A	0.00	15.91	0.00	15.91	92.0	14.64
06/01/2018	SMITH, BAILEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.00	0.00	1.00	92.0	0.92
06/01/2018	SMITH, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.00	0.00	1.00	92.0	0.92
06/01/2018	HIEGER, KIM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.13	0.00	6.13	92.0	5.64
06/01/2018	BELL, BRAYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.76	0.00	0.76	92.0	0.70
06/01/2018	BELL, GRIFFIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.69	0.00	0.69	92.0	0.63
06/01/2018	DUSH, THERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.34	0.00	5.34	92.0	4.91
06/01/2018	HOFFMAN, FRANCIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.08	0.00	14.08	92.0	12.95
06/01/2018	HOFFMAN, VERLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.96	0.00	13.96	92.0	12.84
06/01/2018	HOFFMAN, VERLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	31.26	0.00	31.26	92.0	28.76
06/01/2018	WIRTH, MARJORIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	54.03	0.00	54.03	92.0	49.71
06/01/2018	SMITH, SHARRILYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	17.42	0.00	17.42	92.0	16.03
06/01/2018	LANDGREN, PAMELA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.54	0.00	1.54	92.0	1.42
06/01/2018	LANDGREN, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.57	0.00	7.57	92.0	6.96
06/01/2018	LANDGREN, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.98	0.00	0.98	92.0	0.90
06/01/2018	GOODMAN, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.01	0.00	7.01	92.0	6.45
06/01/2018	JENKINS, MARTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.93	0.00	5.93	92.0	5.46
06/01/2018	HIEGER, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.32	0.00	9.32	92.0	8.57
06/01/2018	HEIMERMAN, JANET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.03	0.00	2.03	92.0	1.87
06/01/2018	KELLEY, LUCIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.51	0.00	4.51	92.0	4.15
06/01/2018	DAVIS, ELISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.51	0.00	0.51	92.0	0.47
06/01/2018	CARPENTER JR, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.17	0.00	2.17	92.0	2.00
06/01/2018	CARPENTER, REBECCA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.08	0.00	2.08	92.0	1.91
06/01/2018	ROTHS, GLEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.51	0.00	5.51	92.0	5.07
06/01/2018	GAUNA, DOMINIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.55	0.00	2.55	92.0	2.35
06/01/2018	LANDGREN, PAMELA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.18	0.00	1.18	92.0	1.09
06/01/2018	BAZIL, RALPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.20	0.00	7.20	92.0	6.62
06/01/2018	BAZIL, REBECCA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.33	0.00	4.33	92.0	3.98
06/01/2018	IORIO, NATASHA AUSDERA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.98	0.00	6.98	92.0	6.42
06/01/2018	CRANE, BEVERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.65	0.00	14.65	92.0	13.48

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	HOUSTON, CAMMY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.19	0.00	5.19	92.0	4.77
06/01/2018	MCADAM, JEANNETTE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.82	0.00	6.82	92.0	6.27
06/01/2018	CROSBY, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.48	0.00	0.48	92.0	0.44
06/01/2018	HILGER, GERALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.14	0.00	4.14	92.0	3.81
06/01/2018	HOUSTON, JACOB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.22	0.00	4.22	92.0	3.88
06/01/2018	HENDERSON, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.81	0.00	0.81	92.0	0.75
06/01/2018	HENDERSON, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.81	0.00	0.81	92.0	0.75
06/01/2018	WILLIAMS, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.40	0.00	7.40	92.0	6.81
06/01/2018	WILLIAMS, JAIMIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.44	0.00	0.44	92.0	0.40
06/01/2018	KERSTING, LINDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.78	0.00	1.78	92.0	1.64
06/01/2018	GALLARDO, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.28	0.00	3.28	92.0	3.02
06/01/2018	SCHMITT, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	92.0	0.01
06/01/2018	LACHER, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.64	0.00	0.64	92.0	0.59
06/01/2018	STUPASKY, BRIAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.55	0.00	0.55	92.0	0.51
06/01/2018	JONES-CLARK, DORETHEA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.61	0.00	0.61	92.0	0.56
06/01/2018	JONES-CLARK, DOROTHEA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.54	0.00	0.54	92.0	0.50
06/01/2018	DUSH, THERESA	AM FUNDS GROWTH PORT A	0.00	10.42	0.00	10.42	92.0	9.59
06/01/2018	HEIMERMAN, DOYLE	AM FUNDS GROWTH PORT A	0.00	5.44	0.00	5.44	92.0	5.00
06/01/2018	HAVERFIELD, KARLA	AM FUNDS GROWTH PORT A	0.00	5.41	0.00	5.41	92.0	4.98
06/01/2018	STROOT, SANDRA	AM FUNDS GROWTH PORT A	0.00	5.35	0.00	5.35	92.0	4.92
05/15/2018	RUBENTHALER, RANDALL	AM FUNDS ICA A	0.00	3.98	0.00	3.98	92.0	3.66
05/15/2018	KONECNY, MARK	AM FUNDS ICA A	0.00	6.56	0.00	6.56	92.0	6.04
05/15/2018	ROTHS, GLEN	AM FUNDS ICA A	0.00	0.86	0.00	0.86	92.0	0.79
05/15/2018	ROTHS, BARBARA	AM FUNDS ICA A	0.00	0.65	0.00	0.65	92.0	0.60
06/01/2018	GERSTENKORN, CAROLYN	AM FUNDS ICA A	0.00	146.87	0.00	146.87	92.0	135.12
06/01/2018	SCHMIDT, ALAN	AM FUNDS ICA A	0.00	6.93	0.00	6.93	92.0	6.38
06/01/2018	CLEMENS, SUSAN	AM FUNDS ICA A	0.00	19.18	0.00	19.18	92.0	17.65
06/01/2018	HESSE KS/TOD, RICHARD	AM FUNDS ICA A	0.00	32.48	0.00	32.48	92.0	29.88
06/01/2018	HOFFMAN, VERLA	AM FUNDS ICA A	0.00	24.31	0.00	24.31	92.0	22.37
06/01/2018	HOFFMAN, VERLA	AM FUNDS ICA A	0.00	35.53	0.00	35.53	92.0	32.69
06/01/2018	WIRTH, MARJORIE	AM FUNDS ICA A	0.00	92.87	0.00	92.87	92.0	85.44
06/01/2018	SMITH, SHARRILYN	AM FUNDS ICA A	0.00	13.02	0.00	13.02	92.0	11.98
06/01/2018	HIEGER, STEVEN	AM FUNDS ICA A	0.00	12.09	0.00	12.09	92.0	11.12

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	HEIMERMAN, JANET	AM FUNDS ICA A	0.00	8.65	0.00	8.65	92.0	7.96
06/01/2018	IORIO, ISABELLA	AM FUNDS ICA A	0.00	1.84	0.00	1.84	92.0	1.69
06/01/2018	BROWN, MERRI	AM FUNDS ICA A	0.00	7.47	0.00	7.47	92.0	6.87
06/01/2018	KELLEY, RUTH	AM FUNDS ICA A	0.00	60.73	0.00	60.73	92.0	55.87
06/01/2018	GALLARDO, KAREN	AM FUNDS ICA A	0.00	9.10	0.00	9.10	92.0	8.37
06/01/2018	BOHANNON, RONALD	AM FUNDS ICA A	0.00	4.11	0.00	4.11	92.0	3.78
06/01/2018	MCADAM, DANIEL	AM FUNDS ICA A	0.00	5.12	0.00	5.12	92.0	4.71
06/01/2018	MCADAM, JEANNETTE	AM FUNDS ICA A	0.00	12.18	0.00	12.18	92.0	11.21
06/01/2018	HEIMERMAN, DOYLE	AM FUNDS ICA A	0.00	6.43	0.00	6.43	92.0	5.92
06/01/2018	HAVERFIELD, KARLA	AM FUNDS ICA A	0.00	4.05	0.00	4.05	92.0	3.73
06/01/2018	STROOT, SANDRA	AM FUNDS ICA A	0.00	8.42	0.00	8.42	92.0	7.75
06/01/2018	LAWSON, THOMAS	AM FUNDS ICA A	0.00	0.70	0.00	0.70	92.0	0.64
06/01/2018	HILGER, GERALD	AM FUNDS ICA A	0.00	6.13	0.00	6.13	92.0	5.64
06/01/2018	SMITH, PHILLIP	AM FUNDS ICA A	0.00	10.15	0.00	10.15	92.0	9.34
06/01/2018	STUPASKY, BRIAN	AM FUNDS ICA A	0.00	0.66	0.00	0.66	92.0	0.61
06/01/2018	REED TRUST, MARK	AM FUNDS ICA A	0.00	12.78	0.00	12.78	92.0	11.76
06/01/2018	RAYMER, GARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	49.94	0.00	49.94	92.0	45.94
06/01/2018	SALISBURY, CLEOTA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.01	0.00	0.01	92.0	0.01
06/01/2018	GERSTENKORN, CAROLYN	AM FUNDS INCOME FUND OF AMERICA A	0.00	40.38	0.00	40.38	92.0	37.15
06/01/2018	WERNER TRUST, WANDA	AM FUNDS INCOME FUND OF AMERICA A	0.00	26.09	0.00	26.09	92.0	24.00
06/01/2018	SPRAGUE, KRISTY	AM FUNDS INCOME FUND OF AMERICA A	0.00	92.85	0.00	92.85	92.0	85.42
06/01/2018	HIEGER, KIM	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.71	0.00	3.71	92.0	3.41
06/01/2018	THOMAS, ERIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.04	0.00	1.04	92.0	0.96
06/01/2018	THOMAS, IAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.74	0.00	0.74	92.0	0.68
06/01/2018	MCADAM, JEANNETTE	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.49	0.00	10.49	92.0	9.65
06/01/2018	MULLINIX, JANICE	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.01	0.00	15.01	92.0	13.81
06/01/2018	HILGER, GERALD	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.25	0.00	3.25	92.0	2.99
06/01/2018	HOUSTON, GARRETT	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.29	0.00	1.29	92.0	1.19
06/01/2018	REED TRUST, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	25.39	0.00	25.39	92.0	23.36
06/01/2018	SPRAGUE, ARTHUR	AM FUNDS NEW ECONOMY A	0.00	48.41	0.00	48.41	92.0	44.54
06/01/2018	GOODMAN, KEITH	AM FUNDS NEW ECONOMY A	0.00	11.05	0.00	11.05	92.0	10.17
05/15/2018	PRACHT, MARK	AM FUNDS NEW PERSPECTIVE A	0.00	8.31	0.00	8.31	92.0	7.65
06/01/2018	SCHMIDT, SYLVIA	AM FUNDS NEW PERSPECTIVE A	0.00	46.21	0.00	46.21	92.0	42.51

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	SPRAGUE, ARTHUR	AM FUNDS NEW PERSPECTIVE A	0.00	46.63	0.00	46.63	92.0	42.90
06/01/2018	PRACHT, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	2.12	0.00	2.12	92.0	1.95
06/01/2018	HIEGER REV TRUST, STEVEN & KIMAM	FUNDS NEW PERSPECTIVE A	0.00	20.82	0.00	20.82	92.0	19.15
06/01/2018	HIEGER, KIM	AM FUNDS NEW PERSPECTIVE A	0.00	2.39	0.00	2.39	92.0	2.20
06/01/2018	HOFFMAN, VERLA	AM FUNDS NEW PERSPECTIVE A	0.00	14.38	0.00	14.38	92.0	13.23
06/01/2018	SMITH, SHARRILYN	AM FUNDS NEW PERSPECTIVE A	0.00	10.82	0.00	10.82	92.0	9.95
06/01/2018	LANDGREN, RICHARD	AM FUNDS NEW PERSPECTIVE A	0.00	0.66	0.00	0.66	92.0	0.61
06/01/2018	BUCKO, ALEXANDER	AM FUNDS NEW PERSPECTIVE A	0.00	6.87	0.00	6.87	92.0	6.32
06/01/2018	BUCKO, ETHAN	AM FUNDS NEW PERSPECTIVE A	0.00	2.68	0.00	2.68	92.0	2.47
06/01/2018	REED TRUST, MARK	AM FUNDS NEW PERSPECTIVE A	0.00	145.68	0.00	145.68	92.0	134.03
06/01/2018	THOMAS, MATTHEW	AM FUNDS NEW WORLD A	0.00	0.68	0.00	0.68	92.0	0.63
06/01/2018	CLEMENS, SUSAN	AM FUNDS NEW WORLD A	0.00	4.89	0.00	4.89	92.0	4.50
06/01/2018	BELL, BRAYDEN	AM FUNDS NEW WORLD A	0.00	0.56	0.00	0.56	92.0	0.52
06/01/2018	BELL, GRIFFIN	AM FUNDS NEW WORLD A	0.00	0.52	0.00	0.52	92.0	0.48
06/01/2018	DUSH, THERESA	AM FUNDS NEW WORLD A	0.00	4.15	0.00	4.15	92.0	3.82
06/01/2018	GOODMAN, KEITH	AM FUNDS NEW WORLD A	0.00	6.34	0.00	6.34	92.0	5.83
06/01/2018	LOTT TRUST, EDITH	AM FUNDS NEW WORLD A	0.00	4.12	0.00	4.12	92.0	3.79
06/01/2018	MULLINIX, JANICE	AM FUNDS NEW WORLD A	0.00	4.05	0.00	4.05	92.0	3.73
06/01/2018	WILLIAMS, WILLIAM	AM FUNDS NEW WORLD A	0.00	3.19	0.00	3.19	92.0	2.93
05/15/2018	RUBENTHALER, RANDALL	AM FUNDS SMALLCAP WORLD A	0.00	5.58	0.00	5.58	92.0	5.13
05/15/2018	PRACHT, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.28	0.00	6.28	92.0	5.78
06/01/2018	GERSTENKORN, CAROLYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	80.39	0.00	80.39	92.0	73.96
06/01/2018	GERSTENKORN, CAROLYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	181.82	0.00	181.82	92.0	167.27
06/01/2018	SCHMIDT, ALAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	55.13	0.00	55.13	92.0	50.72
06/01/2018	BOWMAN, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.61	0.00	5.61	92.0	5.16
06/01/2018	DANDURAND, BEVERLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	21.75	0.00	21.75	92.0	20.01
06/01/2018	REED, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	135.63	0.00	135.63	92.0	124.78
06/01/2018	SPRAGUE, ARTHUR	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	61.45	0.00	61.45	92.0	56.53
06/01/2018	PRACHT, CHRISTOPHER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	16.73	0.00	16.73	92.0	15.39
06/01/2018	PRACHT, BRIAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.89	0.00	6.89	92.0	6.34
06/01/2018	THOMPSON, JESSICA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.37	0.00	3.37	92.0	3.10
06/01/2018	BELL, CONNOR	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.61	0.00	14.61	92.0	13.44
06/01/2018	SMITH, KATELYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.13	0.00	1.13	92.0	1.04

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	IORIO, RICHARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.57	0.00	4.57	92.0	4.20
06/01/2018	IORIO, NICHOLAS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.42	0.00	3.42	92.0	3.15
06/01/2018	ROGERS, DEBRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	29.19	0.00	29.19	92.0	26.85
06/01/2018	CLEMENS, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	24.14	0.00	24.14	92.0	22.21
06/01/2018	STOCKHAM, THOMAS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.29	0.00	2.29	92.0	2.11
06/01/2018	STOCKHAM, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.90	0.00	5.90	92.0	5.43
06/01/2018	STOCKHAM, THOMAS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.26	0.00	1.26	92.0	1.16
06/01/2018	MCGUIRE, PAMELA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	11.92	0.00	11.92	92.0	10.97
06/01/2018	MCGUIRE, RICKY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.52	0.00	0.52	92.0	0.48
06/01/2018	HIEGER REV TRUST, STEVEN & KIMAM	FUNDS WASHINGTON MUTUAL INVESTOR	0.00	20.50	0.00	20.50	92.0	18.86
06/01/2018	HIEGER, KIM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.19	0.00	4.19	92.0	3.85
06/01/2018	MCLEAN, CAROLINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.39	0.00	0.39	92.0	0.36
06/01/2018	MCLEAN, GAVIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.64	0.00	1.64	92.0	1.51
06/01/2018	DUSH, THERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.92	0.00	8.92	92.0	8.21
06/01/2018	SMITH, SHARRILYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.62	0.00	5.62	92.0	5.17
06/01/2018	LANDGREN, PAMELA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.90	0.00	2.90	92.0	2.67
06/01/2018	LANDGREN, RICHARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	11.39	0.00	11.39	92.0	10.48
06/01/2018	LANDGREN, RICHARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.34	0.00	1.34	92.0	1.23
06/01/2018	JENKINS, MARTY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.72	0.00	6.72	92.0	6.18
06/01/2018	ROTHS, GLEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.52	0.00	14.52	92.0	13.36
06/01/2018	LANDGREN, PAMELA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.06	0.00	1.06	92.0	0.98
06/01/2018	GALLARDO, KAREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.63	0.00	12.63	92.0	11.62
06/01/2018	LOTT TRUST, EDITH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.46	0.00	5.46	92.0	5.02
06/01/2018	CROSBY, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	92.0	0.55
06/01/2018	GOULD, HILARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.54	0.00	1.54	92.0	1.42
06/01/2018	WILLIAMS, WILLIAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.27	0.00	4.27	92.0	3.93
06/01/2018	SMITH, PHILLIP	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.19	0.00	10.19	92.0	9.37
06/01/2018	FLATTERY, VICKI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	17.19	0.00	17.19	92.0	15.81
06/01/2018	OTTAWAY, SANDRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	20.50	0.00	20.50	92.0	18.86
06/01/2018	OTTAWAY, DANNY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.60	0.00	12.60	92.0	11.59
06/01/2018	SPONSEL, ERNEST	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.52	0.00	1.52	92.0	1.40
06/01/2018	SPONSEL, VICKY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.75	0.00	0.75	92.0	0.69
06/01/2018	GALLARDO, KAREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.13	0.00	3.13	92.0	2.88

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	HAINES, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	21.25	0.00	21.25	92.0	19.55
06/01/2018	LACHER, KAREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.22	0.00	1.22	92.0	1.12
06/01/2018	JONES-CLARK, DORETHEA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.16	0.00	1.16	92.0	1.07
06/01/2018	BOHANNON, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.55	0.00	5.55	92.0	5.11
06/01/2018	JONES-CLARK, DOROTHEA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.02	0.00	1.02	92.0	0.94
06/01/2018	MULLINIX, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.89	0.00	0.89	92.0	0.82
06/01/2018	VALDIVIA, HOLLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.71	0.00	0.71	92.0	0.65
06/01/2018	MULLINIX, JONATHAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.71	0.00	0.71	92.0	0.65
06/01/2018	WELCH, COLLEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.39	0.00	8.39	92.0	7.72
06/01/2018	REED TRUST, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	41.24	0.00	41.24	92.0	37.94
06/01/2018	GALLARDO, KAREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.63	0.00	0.63	92.0	0.58
06/01/2018	DANDURAND, BEVERLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.03	0.00	3.03	92.0	2.79
/ /	LAGPACAN, RAYMUND	FIDELITY ADV EQUITY GROWTH CL M	0.00	9.86	0.00	9.86	92.0	9.07
/ /	LAGPACAN, RAYMUND	FIDELITY ADV EQUITY GROWTH CL M	0.00	6.62	0.00	6.62	92.0	6.09
/ /	RIEMANN TRUST, ARVELLA	FIDELITY ADV EQUITY GROWTH CL M	0.00	31.54	0.00	31.54	92.0	29.02
/ /	HAYES, BEN	FIDELITY ADV EQUITY GROWTH CL M	0.00	36.43	0.00	36.43	92.0	33.52
/ /	HEARD, DAVID	FIDELITY ADV EQUITY GROWTH CL M	0.00	1.16	0.00	1.16	92.0	1.07
/ /	LOTT TRUST, EDITH	FIDELITY ADV EQUITY GROWTH CL M	0.00	26.81	0.00	26.81	92.0	24.67
/ /	RAYMER, CHRISTOPHER	FIDELITY ADV HEALTH CARE T	0.00	1.69	0.00	1.69	92.0	1.55
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV HEALTH CARE T	0.00	11.36	0.00	11.36	92.0	10.45
/ /	EVERETT, TRAVIS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	2.17	0.00	2.17	92.0	2.00
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	3.14	0.00	3.14	92.0	2.89
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	1.96	0.00	1.96	92.0	1.80
/ /	LOTT TRUST, EDITH	FIDELITY ADV OVERSEAS	0.00	2.80	0.00	2.80	92.0	2.58
/ /	EVERETT, TRAVIS	FIDELITY ADV REAL ESTATE A	0.00	2.28	0.00	2.28	92.0	2.10
/ /	EVERETT, TRAVIS	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	2.13	0.00	2.13	92.0	1.96
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV STRATEGIC INCOME	0.00	3.79	0.00	3.79	92.0	3.49
/ /	KENNEDY, ROBERT	FIDELITY ADV VALUE STRATEGIES A	0.00	8.79	0.00	8.79	92.0	8.09
05/18/2018	PRACHT, MARK	FIDELITY ADV VALUE STRATEGIES A	0.00	13.36	0.00	13.36	92.0	12.29
/ /	RIEMANN TRUST, ARVELLA	FIDELITY ADVISOR VALUE	0.00	9.69	0.00	9.69	92.0	8.91
/ /	RIEMANN TRUST, ARVELLA	FIDELITY BALANCED T	0.00	10.09	0.00	10.09	92.0	9.28
/ /	CROSS TRUST, ALLEN & CHARLA	FIDELITY BALANCED T	0.00	10.88	0.00	10.88	92.0	10.01
/ /	RIEMANN TRUST, ARVELLA	FIDELITY DIV GROWTH T	0.00	14.84	0.00	14.84	92.0	13.65

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	CHRISTIAN TOD, ROBERT	FIDELITY DIV GROWTH T	0.00	10.57	0.00	10.57	92.0	9.72
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED INTL A	0.00	0.59	0.00	0.59	92.0	0.54
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED STOCK A	0.00	1.87	0.00	1.87	92.0	1.72
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	2.34	0.00	2.34	92.0	2.15
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	4.48	0.00	4.48	92.0	4.12
/ /	KENNEDY, ROBERT	FIDELITY EMERGING MARKET A	0.00	0.68	0.00	0.68	92.0	0.63
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	0.46	0.00	0.46	92.0	0.42
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	3.88	0.00	3.88	92.0	3.57
/ /	RANCILIO, KENNETH	FIDELITY EQUITY GROWTH A	0.00	0.98	0.00	0.98	92.0	0.90
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	8.54	0.00	8.54	92.0	7.86
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	8.58	0.00	8.58	92.0	7.89
/ /	RIEMANN TRUST, ARVELLA	FIDELITY EQUITY INCOME	0.00	32.57	0.00	32.57	92.0	29.96
/ /	LOTT TRUST, EDITH	FIDELITY EQUITY INCOME	0.00	37.58	0.00	37.58	92.0	34.57
/ /	HAYES, MARTHA	FIDELITY EQUITY INCOME A	0.00	0.54	0.00	0.54	92.0	0.50
/ /	HAYES, BEN	FIDELITY EQUITY INCOME A	0.00	0.56	0.00	0.56	92.0	0.52
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	0.78	0.00	0.78	92.0	0.72
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	3.62	0.00	3.62	92.0	3.33
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.12	0.00	3.12	92.0	2.87
/ /	LOTT TRUST, EDITH	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.80	0.00	0.80	92.0	0.74
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.23	0.00	3.23	92.0	2.97
/ /	CHRISTIAN TOD, ROBERT	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	2.37	0.00	2.37	92.0	2.18
/ /	KENNEDY, ROBERT	FIDELITY GROWTH & INCOME A	0.00	3.99	0.00	3.99	92.0	3.67
/ /	EVERETT, TRAVIS	FIDELITY GROWTH & INCOME A	0.00	1.76	0.00	1.76	92.0	1.62
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME A	0.00	0.53	0.00	0.53	92.0	0.49
/ /	KENNEDY, ROBERT	FIDELITY HIGH INCOME ADVANTAGE A	0.00	1.04	0.00	1.04	92.0	0.96
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME ADVANTAGE A	0.00	1.96	0.00	1.96	92.0	1.80
/ /	RIEMANN TRUST, ARVELLA	FIDELITY HIGH INCOME T	0.00	3.72	0.00	3.72	92.0	3.42
/ /	LOTT TRUST, EDITH	FIDELITY HIGH INCOME T	0.00	3.16	0.00	3.16	92.0	2.91
/ /	RIEMANN TRUST, ARVELLA	FIDELITY MIDCAP T	0.00	5.55	0.00	5.55	92.0	5.11
/ /	RAYMER, CHRISTOPHER	FIDELITY MIDCAP T	0.00	1.39	0.00	1.39	92.0	1.28
/ /	EVERETT, TRAVIS	FIDELITY TECHNOLOGY A	0.00	0.70	0.00	0.70	92.0	0.64
/ /	HAYES, BEN	FIDELITY TECHNOLOGY T	0.00	1.91	0.00	1.91	92.0	1.76
/ /	CHRISTIAN TOD, ROBERT	FIDELITY TECHNOLOGY T	0.00	7.13	0.00	7.13	92.0	6.56

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/17/2018	KONECNY, BETTE J LUTES-	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	0.10	0.00	0.10	92.0	0.09
05/17/2018	RUBENTHALER, RANDALL	FRANKLIN INCOME A	0.00	5.16	0.00	5.16	92.0	4.75
05/17/2018	KONECNY, BETTE J LUTES-	FRANKLIN MUTUAL SHARES A	0.00	0.67	0.00	0.67	92.0	0.62
05/17/2018	HATJE, ROBERT	FRANKLIN UTILITIES A	0.00	4.30	0.00	4.30	92.0	3.96
/ /	RANCILIO, KENNETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	5.92	0.00	5.92	92.0	5.45
/ /	FAIRLEY, MIKE	GUGGENHEIM TOTAL RETURN BOND - A	0.00	4.10	0.00	4.10	92.0	3.77
/ /	WALMANN, JEFFREY	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.54	0.00	0.54	92.0	0.50
05/30/2018	MCADAM, DANIEL	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	24.47	0.00	24.47	92.0	22.51
05/18/2018	KONECNY, BETTE J LUTES-	LORD ABBETT MID CAP STOCK A	0.00	2.30	0.00	2.30	92.0	2.12
05/11/2018	MCADAM, DANIEL	MFS GOVERNMENT SECURITIES A	0.00	0.46	0.00	0.46	92.0	0.42
05/23/2018	PRACHT, MARK	PIMCO TOTAL RETURN A	0.00	6.29	0.00	6.29	92.0	5.79
05/10/2018	MCADAM, DANIEL	PRUDENTIAL SHORT- TERM CORPORATE BO	0.00	1.00	0.00	1.00	92.0	0.92
05/10/2018	MCADAM, DANIEL	PRUDENTIAL TOTAL RETURN BOND CL A	0.00	1.22	0.00	1.22	92.0	1.12
/ /	FRANZ, PJ	RYDEX ALPHA OPPORTUNITY A	0.00	0.50	0.00	0.50	92.0	0.46
/ /	THOMAS, MARY	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.25	0.00	0.25	92.0	0.23
/ /	LAWRENCE POLICE	RYDEX GUGG INVESTMENT GRADE BOND A	0.00	5.64	0.00	5.64	92.0	5.19
/ /	RANCILIO, KENNETH	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	1.30	0.00	1.30	92.0	1.20
/ /	THOMAS, MARY	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	0.12	0.00	0.12	92.0	0.11
06/06/2018	RANCILIO, KENNETH	RYDEX GUGG S&P 500 PURE GROWTH A	0.00	3.39	0.00	3.39	92.0	3.12
06/04/2018	LAWRENCE POLICE	RYDEX GUGG STYLEPLUS LARGE CORE A	0.00	9.82	0.00	9.82	92.0	9.03
/ /	ROGERS, D	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	23.89	0.00	23.89	92.0	21.98
/ /	WALMANN, JEFFREY	RYDEX MULTI HEDGE STRATEGIES A	0.00	0.43	0.00	0.43	92.0	0.40
05/17/2018	RUBENTHALER, RANDALL	TEMPLETON GROWTH A	0.00	6.25	0.00	6.25	92.0	5.75
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$7,461.53</b>	<b>\$0.00</b>	<b>\$7,461.53</b>		<b>\$6,864.62</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
05/31/2018	SCHMIDT, ALAN	ADV CENTURY	37,681.40	7.85	0.00	7.85	92.0	7.22
05/31/2018	SCHMIDT, ALAN	ADV CENTURY	991.46	0.21	0.00	0.21	92.0	0.19
05/31/2018	SCHMIDT, SYLVIA	ADV CENTURY	44,420.87	9.25	0.00	9.25	92.0	8.51
05/31/2018	SCHMIDT, SYLVIA	ADV CENTURY	984.00	0.20	0.00	0.20	92.0	0.18
05/31/2018	SCHMITT, SUSAN	ADV CENTURY	30,017.22	6.25	0.00	6.25	92.0	5.75
05/25/2018	BAZIL, RALPH	AM GEN POLARIS PLATINUM III	0.00	73.70	0.00	73.70	92.0	67.80
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$114,094.95</b>	<b>\$97.46</b>	<b>\$0.00</b>	<b>\$97.46</b>		<b>\$89.65</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>ADJUSTMENTS</b>								
*** DIRECT TRANSACTIONS TOTAL ***			\$3,553,488.58	\$9,466.99	\$0.00	\$9,466.99		\$8,709.60

<b>ADJUSTMENTS</b>								
06/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
06/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
06/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2018		*GLOBAL RELAY: FACEBOOK	0.00					-5.00
06/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
06/15/2018		*GLOBAL RELAY: YOUTUBE	0.00					-25.00
06/15/2018		*MARKETING PRO: PRO USER	0.00					-45.00
06/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-21.84
06/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-674.84

**BROKER COMMISSION TOTALS**

Broker Transactions	\$8,709.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-674.84
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$9.18
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,043.94
Year-to-date Gross	\$45,438.69
Year-to-date Earnings	\$41,762.02

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