



COMMISSION STATEMENT

January 15, 2018

RONALD CIOTOLI
3401 E Main St.
Endwell, NY 13760

BROKER #: A89
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/05/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE INCOME FUND OF AMERICA-A BUY 8 Units @24.2700	190.00	3.80	0.00	3.80	60.0	2.28
01/05/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE INCOME FUND OF AMERICA-A BUY 2 Units @24.2700	57.00	1.14	0.00	1.14	60.0	0.68
* MUTUAL FUNDS SUBTOTAL *			\$247.00	\$4.94	\$0.00	\$4.94		\$2.96
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/10/2018	***-**-630I - ROMA, JAMES ACCOUNT #: 1200802832	JACKSON 468511530 BUY 0 Units @0.0000	300.00	15.75	0.00	15.75	60.0	9.45
* VARIABLE ANNUITIES SUBTOTAL *			\$300.00	\$15.75	\$0.00	\$15.75		\$9.45
<u>PRODUCT CATEGORY: 529 PLAN</u>								
01/15/2018	***-**-295P - MILLER, PAUL ACCOUNT #: 77043335701	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	5.66	0.00	5.66	60.0	3.40
01/15/2018	***-**-354I - MASTRONARDI, ACCOUNT #: 77407712301	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	3.22	0.00	3.22	60.0	1.93
* 529 PLAN SUBTOTAL *			\$0.00	\$8.88	\$0.00	\$8.88		\$5.33
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/31/2017	***-**-2131 - CASTELLUCCI, ACCOUNT #: 02704872989	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	21.38	0.00	21.38	60.0	12.83
12/31/2017	***-**-2131 - CASTELLUCCI, ACCOUNT #: 03203076865	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	0.07	0.00	0.07	60.0	0.04
12/31/2017	***-**-3380 - DENTON, KELLI ACCOUNT #: 03210124708	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	7.16	0.00	7.16	60.0	4.30
12/31/2017	***-**-850I - BERG, SCOTT ACCOUNT #: 03210694585	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	10.90	0.00	10.90	60.0	6.54
12/31/2017	***-**-258I - JOHNSON, ACCOUNT #: 03009150416	CAPITAL INCOME A BUY 0 Units @0.0000	0.00	28.38	0.00	28.38	60.0	17.03
12/31/2017	***-**-793I - CIOTOLI, LOUIS ACCOUNT #: 07470601535	DISCOVERY FUND C BUY 0 Units @0.0000	0.00	74.00	0.00	74.00	60.0	44.40
12/31/2017	***-**-832I - FLETCHER, JAMES ACCOUNT #: 08352369477	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	21.60	0.00	21.60	60.0	12.96



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-753I - BRYAN, DEBRA	EQUITY INCOME FUND, INC. A	0.00	4.95	0.00	4.95	60.0	2.97
	ACCOUNT #: 08353019005	BUY 0 Units @0.0000						
12/31/2017	***-**-793I - CIOTOLI, LOUIS	EQUITY INCOME FUND, INC. C	0.00	255.99	0.00	255.99	60.0	153.59
	ACCOUNT #: 08370088704	BUY 0 Units @0.0000						
12/31/2017	***-**-832I - FLETCHER, JAMES	GLOBAL FUND A	0.00	12.28	0.00	12.28	60.0	7.37
	ACCOUNT #: 03307051794	BUY 0 Units @0.0000						
12/31/2017	***-**-753I - BRYAN, DEBRA	GLOBAL FUND A	0.00	2.67	0.00	2.67	60.0	1.60
	ACCOUNT #: 03307545892	BUY 0 Units @0.0000						
12/31/2017	***-**-717I - EWING, JOSEPH	GLOBAL FUND N	0.00	5.49	0.00	5.49	60.0	3.29
	ACCOUNT #: 03330205810	BUY 0 Units @0.0000						
12/31/2017	***-**-717I - EWING, JOSEPH	GLOBAL FUND N	0.00	0.18	0.00	0.18	60.0	0.11
	ACCOUNT #: 03330205810	BUY 0 Units @0.0000						
12/31/2017	***-**-832I - FLETCHER, JAMES	INTERNATIONAL BOND A	0.00	5.88	0.00	5.88	60.0	3.53
	ACCOUNT #: 08809946992	BUY 0 Units @0.0000						
12/31/2017	***-**-717I - EWING, JOSEPH	INTERNATIONAL BOND FUND N	0.00	12.67	0.00	12.67	60.0	7.60
	ACCOUNT #: 08790164604	BUY 0 Units @0.0000						
12/31/2017	***-**-717I - EWING, JOSEPH	INTERNATIONAL BOND FUND N	0.00	3.15	0.00	3.15	60.0	1.89
	ACCOUNT #: 08790164604	BUY 0 Units @0.0000						
12/31/2017	***-**-850I - BERG, SCOTT	INTERNATIONAL GROWTH FUND A	0.00	9.62	0.00	9.62	60.0	5.77
	ACCOUNT #: 08254805537	BUY 0 Units @0.0000						
12/31/2017	***-**-213I - CASTELLUCCI,	MAIN STREET FUND A	0.00	0.00	0.00	0.00	60.0	0.00
	ACCOUNT #: 07002242036	BUY 0 Units @0.0000						
12/31/2017	***-**-258I - JOHNSON,	MAIN STREET FUND A	0.00	30.05	0.00	30.05	60.0	18.03
	ACCOUNT #: 07006148794	BUY 0 Units @0.0000						
12/31/2017	***-**-7713 - KROH, CRYSTAL	MAIN STREET FUND C	0.00	0.00	0.00	0.00	60.0	0.00
	ACCOUNT #: 07024468992	BUY 0 Units @0.0000						
12/31/2017	***-**-354I - MASTRONARDI,	OPP PORT SERIES: ACTIVE ALLOC A	0.00	17.46	0.00	17.46	60.0	10.48
	ACCOUNT #: 05502014892	BUY 0 Units @0.0000						
12/31/2017	***-**-258I - JOHNSON,	OPPENHEIMER CORE BOND FUND A	0.00	27.52	0.00	27.52	60.0	16.51
	ACCOUNT #: 02852454661	BUY 0 Units @0.0000						
12/31/2017	***-**-258I - JOHNSON,	OPPENHEIMER DISCOVERY CLASS A	0.00	2.41	0.00	2.41	60.0	1.45
	ACCOUNT #: 05001906223	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
12/31/2017	***-**-850I - BERG, SCOTT ACCOUNT #: 08476862416	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	11.92	0.00	11.92	60.0	7.15
12/31/2017	***-**-753I - BRYAN, DEBRA ACCOUNT #: 08477449156	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	2.49	0.00	2.49	60.0	1.49
12/31/2017	***-**-832I - FLETCHER, JAMES ACCOUNT #: 02912380983	OPPENHEIMER SENIOR FLOATING RATE CL BUY 0 Units @0.0000	0.00	6.53	0.00	6.53	60.0	3.92
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 00100013223	OPPENHEIMER SENIOR FLOATING RATE FU BUY 0 Units @0.0000	0.00	21.29	0.00	21.29	60.0	12.77
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 00100013223	OPPENHEIMER SENIOR FLOATING RATE FU BUY 0 Units @0.0000	0.00	10.12	0.00	10.12	60.0	6.07
12/31/2017	***-**-832I - FLETCHER, JAMES ACCOUNT #: 02306352283	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	48.33	0.00	48.33	60.0	29.00
12/31/2017	***-**-753I - BRYAN, DEBRA ACCOUNT #: 02306960198	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	9.55	0.00	9.55	60.0	5.73
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 05550672298	PORT SERIES: EQUITY INV FD A BUY 0 Units @0.0000	0.00	20.93	0.00	20.93	60.0	12.56
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 05560094699	PORT SERIES: EQUITY INV FD B BUY 0 Units @0.0000	0.00	1.26	0.00	1.26	60.0	0.76
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 08380094006	QUEST CAPITAL VALUE FUND, INC. N BUY 0 Units @0.0000	0.00	9.66	0.00	9.66	60.0	5.80
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 08380094006	QUEST CAPITAL VALUE FUND, INC. N BUY 0 Units @0.0000	0.00	4.24	0.00	4.24	60.0	2.54
12/31/2017	***-**-850I - BERG, SCOTT ACCOUNT #: 02253463944	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	11.02	0.00	11.02	60.0	6.61
12/31/2017	***-**-832I - FLETCHER, JAMES ACCOUNT #: 02253984684	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	22.53	0.00	22.53	60.0	13.52
12/31/2017	***-**-354I - MASTRONARDI, ACCOUNT #: 02254007586	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	7.12	0.00	7.12	60.0	4.27
12/31/2017	***-**-753I - BRYAN, DEBRA ACCOUNT #: 02254472149	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	5.13	0.00	5.13	60.0	3.08
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 02350125422	RISING DIVIDENDS FUND, INC. N BUY 0 Units @0.0000	0.00	8.79	0.00	8.79	60.0	5.27



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
12/31/2017	***-**-717I - EWING, JOSEPH	RISING DIVIDENDS FUND, INC. N	0.00	5.23	0.00	5.23	60.0	3.14
	ACCOUNT #: 02350125422	BUY 0 Units @0.0000						
12/31/2017	***-**-354I - MASTRONARDI,	ROCHESTER FUND MUNICIPALS A	0.00	11.58	0.00	11.58	60.0	6.95
	ACCOUNT #: 03652709938	BUY 0 Units @0.0000						
12/31/2017	***-**-258I - JOHNSON,	SELECT VALUE FUND A	0.00	29.78	0.00	29.78	60.0	17.87
	ACCOUNT #: 06000784399	BUY 0 Units @0.0000						
12/31/2017	***-**-793I - CIOTOLI, LOUIS	SMALL- & MID- CAP VALUE FUND C	0.00	98.48	0.00	98.48	60.0	59.09
	ACCOUNT #: 02530273031	BUY 0 Units @0.0000						
12/31/2017	***-**-717I - EWING, JOSEPH	STRATEGIC INCOME FUND N	0.00	29.27	0.00	29.27	60.0	17.56
	ACCOUNT #: 02330203984	BUY 0 Units @0.0000						
12/31/2017	***-**-717I - EWING, JOSEPH	STRATEGIC INCOME FUND N	0.00	8.20	0.00	8.20	60.0	4.92
	ACCOUNT #: 02330203984	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$937.26	\$0.00	\$937.26		\$562.36
*** BROKER TRANSACTIONS TOTAL ***			\$547.00	\$966.83	\$0.00	\$966.83		\$580.10

BROKER COMMISSION TOTALS

Broker Transactions	\$580.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$580.10
Year-to-date Earnings	\$1,069.09