

# STATEMENT

July 20, 2018

JEFF JONES  
1601 Mier St

BROKER #: 016  
BRANCH: LA BRANCH

Laredo, TX 78043-

## COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

05/05/0018 #2000347795	PEREZ, SELINA	AGGRESSIVEC	B	50.00	0.50	0.00	0.00	0.50	85.0	0.43
05/05/0018 #2000347795	PEREZ, SELINA	CONSERVATIVE C	B	50.00	0.50	0.00	0.00	0.50	85.0	0.43
06/05/2018 #00074389206	HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	63.07	0.63	0.00	0.00	0.63	85.0	0.54
06/05/2018 #00074389206	HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	63.58	0.64	0.00	0.00	0.64	85.0	0.54
06/05/2018 #00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	31.55	0.32	0.00	0.00	0.32	85.0	0.27
06/05/2018 #00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	31.78	0.32	0.00	0.00	0.32	85.0	0.27
06/05/2018 #00074389206	HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	63.09	0.63	0.00	0.00	0.63	85.0	0.54
06/05/2018 #00074389206	HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	63.57	0.64	0.00	0.00	0.64	85.0	0.54
06/05/2018 #00074389206	HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	63.09	0.63	0.00	0.00	0.63	85.0	0.54
06/05/2018 #00074389206	HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	63.57	0.64	0.00	0.00	0.64	85.0	0.54
06/05/2018 #00088848033	DELTORRER	INTERMEDIATE BOND FUND OF AMERICA-A	B	75.00	1.50	0.00	0.00	1.50	85.0	1.28
06/05/2018 #00088859005	REGALADO, JOSE	INVESTMENT CO AMER CL C	B	132.78	1.33	0.00	0.00	1.33	85.0	1.13
06/05/2018 #00088859005	REGALADO, JOSE	INVESTMENT CO AMER CL C	B	103.88	1.04	0.00	0.00	1.04	85.0	0.88
06/05/2018 #00089051015	VELACESARE	INVESTMENT CO AMER CL C	B	117.21	1.17	0.00	0.00	1.17	85.0	0.99
06/05/2018 #00089051015	VELACESARE	INVESTMENT CO AMER CL C	B	118.10	1.18	0.00	0.00	1.18	85.0	1.00
06/05/2018 #00089128773	VELACESARE	INVESTMENT CO AMER CL C	B	50.00	0.50	0.00	0.00	0.50	85.0	0.43
06/05/2018 #00089125404	KELLETJULI	INVESTMENT CO AMER CLASS A	B	76.47	3.82	0.00	0.00	3.82	85.0	3.25
06/05/2018 #00089125404	KELLETJULI	NEW PERSPECTIVE FUND INC CL A	B	30.59	1.53	0.00	0.00	1.53	85.0	1.30
06/05/2018 #00088859005	REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0	0.45
06/05/2018 #00088859005	REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0	0.36
06/05/2018 #00089051015	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	46.88	0.47	0.00	0.00	0.47	85.0	0.40
06/05/2018 #00089051015	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	47.24	0.47	0.00	0.00	0.47	85.0	0.40
06/05/2018 #00089128773	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	20.00	0.20	0.00	0.00	0.20	85.0	0.17
06/05/2018 #00089778964	MILLER, THOMAS	NEW PERSPECTIVE FUND INC CL C	B	250.00	2.50	0.00	0.00	2.50	85.0	2.13
06/05/2018 #00089125404	KELLETJULI	NEW WORLD FUND INC NEW	B	30.58	1.53	0.00	0.00	1.53	85.0	1.30
06/05/2018 #00074389206	HUMB, LAUREL	NEW WORLD FUND INC NEW CL C	B	31.55	0.32	0.00	0.00	0.32	85.0	0.27
06/05/2018 #00074389206	HUMB, LAUREL	NEW WORLD FUND INC NEW CL C	B	31.78	0.32	0.00	0.00	0.32	85.0	0.27
06/05/2018 #00088848033	DELTORRER	NEW WORLD FUND INC NEW CL C	B	30.00	0.30	0.00	0.00	0.30	85.0	0.26
06/05/2018 #00088859005	REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	26.55	0.27	0.00	0.00	0.27	85.0	0.23
06/05/2018 #00088859005	REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	20.77	0.21	0.00	0.00	0.21	85.0	0.18
06/05/2018 #00089051015	VELACESARE	NEW WORLD FUND INC NEW CL C	B	46.89	0.47	0.00	0.00	0.47	85.0	0.40

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
06/05/2018	#00089051015	VELACESARE	NEW WORLD FUND INC NEW CL C	B	47.24	0.47	0.00	0.00	0.47	85.0 0.40
06/05/2018	#00089128773	VELACESARE	NEW WORLD FUND INC NEW CL C	B	20.00	0.20	0.00	0.00	0.20	85.0 0.17
06/05/2018	#00074389206	HUMB, LAUREL	SMALLCAP WORLD FUND INC CL C	B	31.55	0.32	0.00	0.00	0.32	85.0 0.27
06/05/2018	#00074389206	HUMB, LAUREL	SMALLCAP WORLD FUND INC CL C	B	31.78	0.32	0.00	0.00	0.32	85.0 0.27
06/05/2018	#00088848033	DELTORRER	SMALLCAP WORLD FUND INC CL C	B	15.00	0.15	0.00	0.00	0.15	85.0 0.13
06/05/2018	#00088859005	REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0 0.45
06/05/2018	#00088859005	REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0 0.36
06/05/2018	#00089051015	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	23.44	0.23	0.00	0.00	0.23	85.0 0.20
06/05/2018	#00089051015	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	23.62	0.24	0.00	0.00	0.24	85.0 0.20
06/05/2018	#00089128773	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	10.00	0.10	0.00	0.00	0.10	85.0 0.09
06/05/2018	#00089125404	KELLETJULI	SMALLCAP WORLD FUND INC CLASS A	B	15.29	0.76	0.00	0.00	0.76	85.0 0.65
06/05/2018	#00074389206	HUMB, LAUREL	THE NEW ECONOMY FUND-C	B	31.55	0.32	0.00	0.00	0.32	85.0 0.27
06/05/2018	#00074389206	HUMB, LAUREL	THE NEW ECONOMY FUND-C	B	31.78	0.32	0.00	0.00	0.32	85.0 0.27
06/05/2018	#00088848033	DELTORRER	THE NEW ECONOMY FUND-C	B	30.00	0.30	0.00	0.00	0.30	85.0 0.26
06/05/2018	#00089778964	MILLER, THOMAS	THE NEW ECONOMY FUND-C	B	250.00	2.50	0.00	0.00	2.50	85.0 2.13
06/11/2018	#00084419230	MELENDEZ, JA	INTERNATIONAL GROWTH AND INCOME-A	B	100.00	5.00	0.00	0.00	5.00	85.0 4.25
06/19/2018	#00074389206	HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	34.38	0.34	0.00	0.00	0.34	85.0 0.29
06/19/2018	#00074389206	HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	34.64	0.35	0.00	0.00	0.35	85.0 0.30
06/19/2018	#00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	17.19	0.17	0.00	0.00	0.17	85.0 0.14
06/19/2018	#00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	17.32	0.17	0.00	0.00	0.17	85.0 0.14
06/19/2018	#00074389206	HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	34.38	0.34	0.00	0.00	0.34	85.0 0.29
06/19/2018	#00074389206	HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	34.64	0.35	0.00	0.00	0.35	85.0 0.30
06/19/2018	#00074389206	HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	34.38	0.34	0.00	0.00	0.34	85.0 0.29
06/19/2018	#00074389206	HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	34.64	0.35	0.00	0.00	0.35	85.0 0.30
06/19/2018	#00088848033	DELTORRER	INTERMEDIATE BOND FUND OF AMERICA-A	B	75.00	1.50	0.00	0.00	1.50	85.0 1.28
06/19/2018	#00088859005	REGALADO, JOSE	INVESTMENT CO AMER CL C	B	132.78	1.33	0.00	0.00	1.33	85.0 1.13
06/19/2018	#00088859005	REGALADO, JOSE	INVESTMENT CO AMER CL C	B	103.88	1.04	0.00	0.00	1.04	85.0 0.88
06/19/2018	#00089051015	VELACESARE	INVESTMENT CO AMER CL C	B	151.25	1.51	0.00	0.00	1.51	85.0 1.28
06/19/2018	#00089051015	VELACESARE	INVESTMENT CO AMER CL C	B	152.40	1.52	0.00	0.00	1.52	85.0 1.29
06/19/2018	#00089128773	VELACESARE	INVESTMENT CO AMER CL C	B	50.00	0.50	0.00	0.00	0.50	85.0 0.43
06/19/2018	#00089125404	KELLETJULI	INVESTMENT CO AMER CLASS A	B	71.74	3.59	0.00	0.00	3.59	85.0 3.05
06/19/2018	#00089125404	KELLETJULI	NEW PERSPECTIVE FUND INC CL A	B	28.70	1.44	0.00	0.00	1.44	85.0 1.22
06/19/2018	#00088859005	REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0 0.45
06/19/2018	#00088859005	REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0 0.36
06/19/2018	#00089051015	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	60.50	0.61	0.00	0.00	0.61	85.0 0.52
06/19/2018	#00089051015	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	60.96	0.61	0.00	0.00	0.61	85.0 0.52
06/19/2018	#00089128773	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	20.00	0.20	0.00	0.00	0.20	85.0 0.17
06/19/2018	#00089778964	MILLER, THOMAS	NEW PERSPECTIVE FUND INC CL C	B	250.00	2.50	0.00	0.00	2.50	85.0 2.13
06/19/2018	#00089125404	KELLETJULI	NEW WORLD FUND INC NEW	B	28.69	1.43	0.00	0.00	1.43	85.0 1.22
06/19/2018	#00074389206	HUMB, LAUREL	NEW WORLD FUND INC NEW CL C	B	17.19	0.17	0.00	0.00	0.17	85.0 0.14

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
06/19/2018	#00074389206	HUMB, LAUREL	NEW WORLD FUND INC NEW CL C	B	17.32	0.17	0.00	0.00	0.17	85.0 0.14
06/19/2018	#00088848033	DELTORRER	NEW WORLD FUND INC NEW CL C	B	30.00	0.30	0.00	0.00	0.30	85.0 0.26
06/19/2018	#00088859005	REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	26.55	0.27	0.00	0.00	0.27	85.0 0.23
06/19/2018	#00088859005	REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	20.77	0.21	0.00	0.00	0.21	85.0 0.18
06/19/2018	#00089051015	VELACESARE	NEW WORLD FUND INC NEW CL C	B	60.50	0.61	0.00	0.00	0.61	85.0 0.52
06/19/2018	#00089051015	VELACESARE	NEW WORLD FUND INC NEW CL C	B	60.95	0.61	0.00	0.00	0.61	85.0 0.52
06/19/2018	#00089128773	VELACESARE	NEW WORLD FUND INC NEW CL C	B	20.00	0.20	0.00	0.00	0.20	85.0 0.17
06/19/2018	#00074389206	HUMB, LAUREL	SMALLCAP WORLD FUND INC CL C	B	17.19	0.17	0.00	0.00	0.17	85.0 0.14
06/19/2018	#00074389206	HUMB, LAUREL	SMALLCAP WORLD FUND INC CL C	B	17.32	0.17	0.00	0.00	0.17	85.0 0.14
06/19/2018	#00088848033	DELTORRER	SMALLCAP WORLD FUND INC CL C	B	15.00	0.15	0.00	0.00	0.15	85.0 0.13
06/19/2018	#00088859005	REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0 0.45
06/19/2018	#00088859005	REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0 0.36
06/19/2018	#00089051015	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	30.25	0.30	0.00	0.00	0.30	85.0 0.26
06/19/2018	#00089051015	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	30.48	0.30	0.00	0.00	0.30	85.0 0.26
06/19/2018	#00089128773	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	10.00	0.10	0.00	0.00	0.10	85.0 0.09
06/19/2018	#00089125404	KELLETJULI	SMALLCAP WORLD FUND INC CLASS A	B	14.35	0.72	0.00	0.00	0.72	85.0 0.61
06/19/2018	#00074389206	HUMB, LAUREL	THE NEW ECONOMY FUND-C	B	17.19	0.17	0.00	0.00	0.17	85.0 0.14
06/19/2018	#00074389206	HUMB, LAUREL	THE NEW ECONOMY FUND-C	B	17.32	0.17	0.00	0.00	0.17	85.0 0.14
06/19/2018	#00088848033	DELTORRER	THE NEW ECONOMY FUND-C	B	30.00	0.30	0.00	0.00	0.30	85.0 0.26
06/19/2018	#00089778964	MILLER, THOMAS	THE NEW ECONOMY FUND-C	B	250.00	2.50	0.00	0.00	2.50	85.0 2.13
06/22/2018	#00074389206	HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	52.62	0.53	0.00	0.00	0.53	85.0 0.45
06/22/2018	#00074389206	HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	53.03	0.53	0.00	0.00	0.53	85.0 0.45
06/22/2018	#00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	26.32	0.26	0.00	0.00	0.26	85.0 0.22
06/22/2018	#00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	26.52	0.27	0.00	0.00	0.27	85.0 0.23
06/22/2018	#00074389206	HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	52.64	0.53	0.00	0.00	0.53	85.0 0.45
06/22/2018	#00074389206	HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	53.03	0.53	0.00	0.00	0.53	85.0 0.45
06/22/2018	#00074389206	HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	52.64	0.53	0.00	0.00	0.53	85.0 0.45
06/22/2018	#00074389206	HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	53.03	0.53	0.00	0.00	0.53	85.0 0.45
06/22/2018	#00089125404	KELLETJULI	INVESTMENT CO AMER CLASS A	B	84.37	4.22	0.00	0.00	4.22	85.0 3.59
06/22/2018	#00089125404	KELLETJULI	NEW PERSPECTIVE FUND INC CL A	B	33.75	1.69	0.00	0.00	1.69	85.0 1.44
06/22/2018	#00089125404	KELLETJULI	NEW WORLD FUND INC NEW	B	33.75	1.69	0.00	0.00	1.69	85.0 1.44
06/22/2018	#00074389206	HUMB, LAUREL	NEW WORLD FUND INC NEW CL C	B	26.32	0.26	0.00	0.00	0.26	85.0 0.22
06/22/2018	#00074389206	HUMB, LAUREL	NEW WORLD FUND INC NEW CL C	B	26.52	0.27	0.00	0.00	0.27	85.0 0.23
06/22/2018	#00074389206	HUMB, LAUREL	SMALLCAP WORLD FUND INC CL C	B	26.32	0.26	0.00	0.00	0.26	85.0 0.22
06/22/2018	#00074389206	HUMB, LAUREL	SMALLCAP WORLD FUND INC CL C	B	26.52	0.27	0.00	0.00	0.27	85.0 0.23
06/22/2018	#00089125404	KELLETJULI	SMALLCAP WORLD FUND INC CLASS A	B	16.87	0.84	0.00	0.00	0.84	85.0 0.71
06/22/2018	#00074389206	HUMB, LAUREL	THE NEW ECONOMY FUND-C	B	26.32	0.26	0.00	0.00	0.26	85.0 0.22
06/22/2018	#00074389206	HUMB, LAUREL	THE NEW ECONOMY FUND-C	B	26.52	0.27	0.00	0.00	0.27	85.0 0.23
06/25/2018	#000019212608867	RAMIREZ,	FRANKLIN TEMPLETON FUNDS REAL ESTAT B	B	25.00	1.25	0.00	0.00	1.25	85.0 1.06
06/25/2018	#000002974454306	JONESVAR	TEMPLETON FUNDS INC WORLD FUND CL A B	B	25.00	1.25	0.00	0.00	1.25	85.0 1.06

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$5,746.40</b>	<b>\$83.63</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$83.63</b>		<b>\$71.14</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>										
05/01/0018 #1098000000	DEL NORTE,	JOHN HANCOCK ARA	B	558,694.62	185.89	0.00	0.00	185.89	85.0	158.01
06/01/2018 #702155	BARRERA, ADRIANA	JOHN HANCOCK LIFE TRAILS	B	0.00	55.52	0.00	0.00	55.52	85.0	47.19
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$558,694.62</b>	<b>\$241.41</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$241.41</b>		<b>\$205.20</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>										
06/01/2018 #00089114280		2025 TARGET DATE RETIRMENT	B	0.00	47.63	0.00	0.00	47.63	85.0	40.49
06/01/2018 #00089114571		AMCAP FUND INC CLASS A	B	0.00	7.64	0.00	0.00	7.64	85.0	6.49
06/01/2018 #00062954437		AMERICAN BALANCED FUND INC CLASS A	B	0.00	39.31	0.00	0.00	39.31	85.0	33.41
06/01/2018 #00089114280		AMERICAN BALANCED FUND INC CLASS A	B	0.00	11.58	0.00	0.00	11.58	85.0	9.84
06/01/2018 #00089114325		AMERICAN BALANCED FUND INC CLASS A	B	0.00	11.41	0.00	0.00	11.41	85.0	9.70
06/01/2018 #00074389206	HUMB, LAUREL	AMERICAN FUNDS 643822406	B	0.00	2.94	0.00	0.00	2.94	85.0	2.50
06/01/2018 #00074389206	HUMB, LAUREL	AMERICAN FUNDS 831681408	B	0.00	4.51	0.00	0.00	4.51	85.0	3.83
06/01/2018 #04000777598		BOND FUND AMER INC CL C	B	0.00	90.87	0.00	0.00	90.87	85.0	77.24
06/01/2018 #04000777598		BOND FUND AMER INC CL F1	B	0.00	4.58	0.00	0.00	4.58	85.0	3.89
06/01/2018 #00089114280		BOND FUND OF AMERICA INC CL A	B	0.00	7.71	0.00	0.00	7.71	85.0	6.55
06/01/2018 #00089114571		BOND FUND OF AMERICA INC CL A	B	0.00	5.28	0.00	0.00	5.28	85.0	4.49
06/01/2018 #00058430593		CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	8.33	0.00	0.00	8.33	85.0	7.08
06/01/2018 #00062624441		CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	29.62	0.00	0.00	29.62	85.0	25.18
06/01/2018 #00074389206	HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	0.00	87.31	0.00	0.00	87.31	85.0	74.21
06/01/2018 #00074389206	HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-F1	B	0.00	5.50	0.00	0.00	5.50	85.0	4.68
06/01/2018 #00058698238	VERGARA, CARLOS	EMERGING MARKETS BOND FUND-A	B	0.00	97.62	0.00	0.00	97.62	85.0	82.98
06/01/2018 #00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	0.00	44.72	0.00	0.00	44.72	85.0	38.01
06/01/2018 #00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL F1	B	0.00	2.82	0.00	0.00	2.82	85.0	2.40
06/01/2018 #00058337242	RAMIREZ, J	EURO PACIFIC GROWTH FUND CLASS A	B	0.00	11.00	0.00	0.00	11.00	85.0	9.35
06/01/2018 #00059045508		EURO PACIFIC GROWTH FUND CLASS A	B	0.00	13.85	0.00	0.00	13.85	85.0	11.77
06/01/2018 #00085834157		EURO PACIFIC GROWTH FUND CLASS A	B	0.00	5.21	0.00	0.00	5.21	85.0	4.43
06/01/2018 #00089114280		EURO PACIFIC GROWTH FUND CLASS A	B	0.00	7.11	0.00	0.00	7.11	85.0	6.04
06/01/2018 #00089114571		EURO PACIFIC GROWTH FUND CLASS A	B	0.00	11.15	0.00	0.00	11.15	85.0	9.48
06/01/2018 #00085937930		FUNDAMENTAL INVESTORS INC CLASS A	B	0.00	17.72	0.00	0.00	17.72	85.0	15.06
06/01/2018 #00089114325		FUNDAMENTAL INVESTORS INC CLASS A	B	0.00	7.19	0.00	0.00	7.19	85.0	6.11
06/01/2018 #00074389206	HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	0.00	89.15	0.00	0.00	89.15	85.0	75.78
06/01/2018 #00089813958		FUNDAMENTAL INVS INC CL C	B	0.00	30.19	0.00	0.00	30.19	85.0	25.66
06/01/2018 #00074389206	HUMB, LAUREL	FUNDAMENTAL INVS INC CL F1	B	0.00	5.61	0.00	0.00	5.61	85.0	4.77
06/01/2018 #00058698238	VERGARA, CARLOS	GLOBAL GROWTH PORTFOLIO-A	B	0.00	481.53	0.00	0.00	481.53	85.0	409.30
06/01/2018 #00074389206	HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	0.00	91.44	0.00	0.00	91.44	85.0	77.72
06/01/2018 #00074389206	HUMB, LAUREL	GROWTH FUND AMER INC CL F1	B	0.00	5.76	0.00	0.00	5.76	85.0	4.90
06/01/2018 #00089114280		GROWTH FUND AMERICA INC CLASS A	B	0.00	10.92	0.00	0.00	10.92	85.0	9.28
06/01/2018 #00089114571		GROWTH FUND AMERICA INC CLASS A	B	0.00	26.46	0.00	0.00	26.46	85.0	22.49
06/01/2018 #04000592877	ZUNIGA, FERNANDO	GROWTH FUND AMERICA INC CLASS A	B	0.00	0.95	0.00	0.00	0.95	85.0	0.81
06/01/2018 #00089114325		INCOME FUND OF AMERICA INC CLASS A	B	0.00	7.83	0.00	0.00	7.83	85.0	6.66
06/01/2018 #00058698238	VERGARA, CARLOS	INTERMEDIATE BOND FUND OF AMERICA-A	B	0.00	199.07	0.00	0.00	199.07	85.0	169.21
06/01/2018 #00088848033	DELTORRER	INTERMEDIATE BOND FUND OF AMERICA-A	B	0.00	0.75	0.00	0.00	0.75	85.0	0.64

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
06/01/2018	#00084419230	MELLENDEZ, JA		INTERNATIONAL GROWTH AND INCOME-A	B	0.00	3.87	0.00	0.00	3.87 85.0 3.29
06/01/2018	#00088859005	REGALADO, JOSE		INVESTMENT CO AMER CL C	B	0.00	217.44	0.00	0.00	217.44 85.0 184.82
06/01/2018	#00089051015	VELACESARE		INVESTMENT CO AMER CL C	B	0.00	149.60	0.00	0.00	149.60 85.0 127.16
06/01/2018	#00089128773	VELACESARE		INVESTMENT CO AMER CL C	B	0.00	21.86	0.00	0.00	21.86 85.0 18.58
06/01/2018	#04000777598			INVESTMENT CO AMER CL C	B	0.00	152.44	0.00	0.00	152.44 85.0 129.57
06/01/2018	#00059045508			INVESTMENT CO AMER CLASS A	B	0.00	26.88	0.00	0.00	26.88 85.0 22.85
06/01/2018	#00085834157			INVESTMENT CO AMER CLASS A	B	0.00	12.53	0.00	0.00	12.53 85.0 10.65
06/01/2018	#00089114280			INVESTMENT CO AMER CLASS A	B	0.00	31.18	0.00	0.00	31.18 85.0 26.50
06/01/2018	#00089114571			INVESTMENT CO AMER CLASS A	B	0.00	22.80	0.00	0.00	22.80 85.0 19.38
06/01/2018	#00089125404	KELLETJULI		INVESTMENT CO AMER CLASS A	B	0.00	3.05	0.00	0.00	3.05 85.0 2.59
06/01/2018	#00067095603			NEW ECONOMY FUND SBI CLASS A	B	0.00	6.24	0.00	0.00	6.24 85.0 5.30
06/01/2018	#00085834157			NEW ECONOMY FUND SBI CLASS A	B	0.00	8.18	0.00	0.00	8.18 85.0 6.95
06/01/2018	#00087938001			NEW ECONOMY FUND SBI CLASS A	B	0.00	14.05	0.00	0.00	14.05 85.0 11.94
06/01/2018	#04000073403	ALEXANDERC		NEW ECONOMY FUND SBI CLASS A	B	0.00	1.58	0.00	0.00	1.58 85.0 1.34
06/01/2018	#04000777598			NEW PERSPECTIVE FUND -F1	B	0.00	8.00	0.00	0.00	8.00 85.0 6.80
06/01/2018	#00067095603			NEW PERSPECTIVE FUND INC CL A	B	0.00	6.13	0.00	0.00	6.13 85.0 5.21
06/01/2018	#00082145523			NEW PERSPECTIVE FUND INC CL A	B	0.00	1.47	0.00	0.00	1.47 85.0 1.25
06/01/2018	#00085834157			NEW PERSPECTIVE FUND INC CL A	B	0.00	20.13	0.00	0.00	20.13 85.0 17.11
06/01/2018	#00089114325			NEW PERSPECTIVE FUND INC CL A	B	0.00	15.20	0.00	0.00	15.20 85.0 12.92
06/01/2018	#00089114571			NEW PERSPECTIVE FUND INC CL A	B	0.00	9.57	0.00	0.00	9.57 85.0 8.13
06/01/2018	#00089125404	KELLETJULI		NEW PERSPECTIVE FUND INC CL A	B	0.00	1.27	0.00	0.00	1.27 85.0 1.08
06/01/2018	#00088859005	REGALADO, JOSE		NEW PERSPECTIVE FUND INC CL C	B	0.00	88.07	0.00	0.00	88.07 85.0 74.86
06/01/2018	#00089051015	VELACESARE		NEW PERSPECTIVE FUND INC CL C	B	0.00	60.44	0.00	0.00	60.44 85.0 51.37
06/01/2018	#00089128773	VELACESARE		NEW PERSPECTIVE FUND INC CL C	B	0.00	8.89	0.00	0.00	8.89 85.0 7.56
06/01/2018	#00089778964	MILLER, THOMAS		NEW PERSPECTIVE FUND INC CL C	B	0.00	13.39	0.00	0.00	13.39 85.0 11.38
06/01/2018	#04000777598			NEW PERSPECTIVE FUND INC CL C	B	0.00	155.27	0.00	0.00	155.27 85.0 131.98
06/01/2018	#00086852003	ZUNIGA, FERNANDO		NEW PERSPECTIVE FUND-529A	B	0.00	2.23	0.00	0.00	2.23 85.0 1.90
06/01/2018	#00074389206	HUMB, LAUREL		NEW WORLD FUND INC CL F1	B	0.00	3.72	0.00	0.00	3.72 85.0 3.16
06/01/2018	#00085834157			NEW WORLD FUND INC NEW	B	0.00	3.89	0.00	0.00	3.89 85.0 3.31
06/01/2018	#00089114280			NEW WORLD FUND INC NEW	B	0.00	8.68	0.00	0.00	8.68 85.0 7.38
06/01/2018	#00089114571			NEW WORLD FUND INC NEW	B	0.00	7.92	0.00	0.00	7.92 85.0 6.73
06/01/2018	#00089125404	KELLETJULI		NEW WORLD FUND INC NEW	B	0.00	1.27	0.00	0.00	1.27 85.0 1.08
06/01/2018	#00074389206	HUMB, LAUREL		NEW WORLD FUND INC NEW CL C	B	0.00	27.13	0.00	0.00	27.13 85.0 23.06
06/01/2018	#00088848033	DELTORRER		NEW WORLD FUND INC NEW CL C	B	0.00	30.00	0.00	0.00	30.00 85.0 25.50
06/01/2018	#00088859005	REGALADO, JOSE		NEW WORLD FUND INC NEW CL C	B	0.00	83.34	0.00	0.00	83.34 85.0 70.84
06/01/2018	#00089051015	VELACESARE		NEW WORLD FUND INC NEW CL C	B	0.00	60.92	0.00	0.00	60.92 85.0 51.78
06/01/2018	#00089128773	VELACESARE		NEW WORLD FUND INC NEW CL C	B	0.00	8.97	0.00	0.00	8.97 85.0 7.62
06/01/2018	#00058698238	VERGARA, CARLOS		SHORT-TERM BOND FUND OF AMERICA-A	B	0.00	199.92	0.00	0.00	199.92 85.0 169.93
06/01/2018	#00088848033	DELTORRER		SHORT-TERM BOND FUND OF AMERICA-C	B	0.00	70.13	0.00	0.00	70.13 85.0 59.61
06/01/2018	#00074389206	HUMB, LAUREL		SMALLCAP WORLD FUND INC CL C	B	0.00	38.73	0.00	0.00	38.73 85.0 32.92

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
06/01/2018	#00088848033 DELTORRER	SMALLCAP WORLD FUND INC CL C	B	0.00	14.89	0.00	0.00	14.89	85.0	12.66
06/01/2018	#00088859005 REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	0.00	41.35	0.00	0.00	41.35	85.0	35.15
06/01/2018	#00089051015 VELACESARE	SMALLCAP WORLD FUND INC CL C	B	0.00	30.52	0.00	0.00	30.52	85.0	25.94
06/01/2018	#00089128773 VELACESARE	SMALLCAP WORLD FUND INC CL C	B	0.00	4.49	0.00	0.00	4.49	85.0	3.82
06/01/2018	#00089114280	SMALLCAP WORLD FUND INC CLASS A	B	0.00	3.28	0.00	0.00	3.28	85.0	2.79
06/01/2018	#00089114571	SMALLCAP WORLD FUND INC CLASS A	B	0.00	4.89	0.00	0.00	4.89	85.0	4.16
06/01/2018	#00089125404 KELLETJULI	SMALLCAP WORLD FUND INC CLASS A	B	0.00	0.64	0.00	0.00	0.64	85.0	0.54
06/01/2018	#04000777598	THE INVESTMENT COMPANY OF AMERICA F B	B	0.00	7.51	0.00	0.00	7.51	85.0	6.38
06/01/2018	#00074389206 HUMB, LAUREL	THE NEW ECONOMY FUND-C	B	0.00	32.83	0.00	0.00	32.83	85.0	27.91
06/01/2018	#00088848033 DELTORRER	THE NEW ECONOMY FUND-C	B	0.00	33.21	0.00	0.00	33.21	85.0	28.23
06/01/2018	#00089778964 MILLER, THOMAS	THE NEW ECONOMY FUND-C	B	0.00	14.53	0.00	0.00	14.53	85.0	12.35
06/01/2018	#00089813971	THE NEW ECONOMY FUND-C	B	0.00	27.45	0.00	0.00	27.45	85.0	23.33
06/01/2018	#00086722477 ZUNIGA, FERNANDO	WASHINGTON MUTUAL INVESTORS FUND-52 B	B	0.00	3.89	0.00	0.00	3.89	85.0	3.31
06/01/2018	#00087948868 MARTINEZ, MARISSA	WASHINGTON MUTUAL INVESTORS FUND-52 B	B	0.00	0.89	0.00	0.00	0.89	85.0	0.76
06/01/2018	#00088532798 MARTINEZ, MARISSA	WASHINGTON MUTUAL INVESTORS FUND-52 B	B	0.00	0.90	0.00	0.00	0.90	85.0	0.77
06/01/2018	#00059045508	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	30.95	0.00	0.00	30.95	85.0	26.31
06/01/2018	#00065067787 RENNER, ERIC	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	1.75	0.00	0.00	1.75	85.0	1.49
06/01/2018	#00082145523	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	21.81	0.00	0.00	21.81	85.0	18.54
06/01/2018	#00089114280	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	14.13	0.00	0.00	14.13	85.0	12.01
06/01/2018	#00089114325	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	7.37	0.00	0.00	7.37	85.0	6.26
06/01/2018	#00089114571	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	22.22	0.00	0.00	22.22	85.0	18.89
06/08/2018	# ROSILLO, MARIA	DAIN TRAILER	B	0.00	13.90	0.00	0.00	13.90	85.0	11.82
06/08/2018	# CANTU, VIDAL	DAIN TRAILER	B	0.00	7.69	0.00	0.00	7.69	85.0	6.54
06/08/2018	# BENAVIDES, ENRIQUE	DAIN TRAILER	B	0.00	21.81	0.00	0.00	21.81	85.0	18.54
06/11/2018	# GARCIA, YOLANDA	DAIN TRAILER	B	0.00	6.36	0.00	0.00	6.36	85.0	5.41
06/11/2018	# RAMIREZ, J EDMUNDO	DAIN TRAILER	B	0.00	0.74	0.00	0.00	0.74	85.0	0.63
06/11/2018	# CARLOS J VERGARA EXEC	DAIN TRAILER	B	0.00	16.89	0.00	0.00	16.89	85.0	14.36
06/11/2018	# CARLOS J VERGARA EXEC	DAIN TRAILER	B	0.00	11.67	0.00	0.00	11.67	85.0	9.92
06/11/2018	# CARLOS J VERGARA EXEC	DAIN TRAILER	B	0.00	4.96	0.00	0.00	4.96	85.0	4.22
06/11/2018	# CARLOS J VERGARA EXEC	DAIN TRAILER	B	0.00	7.91	0.00	0.00	7.91	85.0	6.72
06/11/2018	# CARLOS J VERGARA EXEC	DAIN TRAILER	B	0.00	12.19	0.00	0.00	12.19	85.0	10.36
06/11/2018	# CARLOS J VERGARA EXEC	DAIN TRAILER	B	0.00	14.53	0.00	0.00	14.53	85.0	12.35
06/11/2018	# MARTINEZ, MARISSA	DAIN TRAILER	B	0.00	0.25	0.00	0.00	0.25	85.0	0.21
06/11/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	1.04	0.00	0.00	1.04	85.0	0.88
06/11/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	7.81	0.00	0.00	7.81	85.0	6.64
06/11/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	0.74	0.00	0.00	0.74	85.0	0.63
06/11/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	2.64	0.00	0.00	2.64	85.0	2.24
06/11/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	1.07	0.00	0.00	1.07	85.0	0.91
06/11/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	8.26	0.00	0.00	8.26	85.0	7.02
06/11/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	3.47	0.00	0.00	3.47	85.0	2.95

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	6.59	0.00	0.00	6.59	85.0	5.60
06/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	17.26	0.00	0.00	17.26	85.0	14.67
06/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	14.77	0.00	0.00	14.77	85.0	12.55
06/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	8.61	0.00	0.00	8.61	85.0	7.32
06/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	5.05	0.00	0.00	5.05	85.0	4.29
06/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	0.06	0.00	0.00	0.06	85.0	0.05
06/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	1.76	0.00	0.00	1.76	85.0	1.50
06/11/2018 #	LAMKIN, DYANNE	DAIN TRAILER	B	0.00	0.95	0.00	0.00	0.95	85.0	0.81
06/11/2018 #	BENAVIDES, ENRIQUE	DAIN TRAILER	B	0.00	3.96	0.00	0.00	3.96	85.0	3.37
06/11/2018 #	BENAVIDES, ENRIQUE	DAIN TRAILER	B	0.00	19.87	0.00	0.00	19.87	85.0	16.89
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3,680.96	\$0.00	\$0.00	\$3,680.96		\$3,128.82
*** BROKER TRANSACTIONS TOTAL ***				\$564,441.02	\$4,006.00	\$0.00	\$0.00	\$4,006.00		\$3,405.16

ADJUSTMENTS

07/20/2018	ADMIN FEE: ADMIN FEE	-390.00
07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
07/20/2018	MISCELLANEOUS: MISCELLANEOUS	-473.00
	CHARLESTON PLACE HOTEL	
*** ADJUSTMENTS TOTAL ***		\$-1,042.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,405.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,042.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount \$2,363.16