



COMMISSION STATEMENT

March 19, 2018

RICHARD BIRNS
6800 Jericho Turnpike
Suite # 200AW
Syosset, NY 11791

BROKER #: 31
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/16/2018	***-**-31UG - GIFT MIN ACT NY,	COLUMBIA MF TRAILS BUY 0 Units @0.0000	0.00	2.02	0.00	2.02	75.0	1.52
03/02/2018	***-**-192I - BIRNS, DAVID ACCOUNT #: 00000647924	HARTFORD CAPITAL APPRECIATION FUND BUY 0 Units @0.0000	0.00	1.55	0.00	1.55	75.0	1.16
03/02/2018	***-**-68IN - BIRNS, RICHARD ACCOUNT #: 00022050066	HARTFORD CAPITAL APPRECIATION FUND BUY 0 Units @0.0000	0.00	13.88	0.00	13.88	75.0	10.41
03/02/2018	***-**-192I - BIRNS, DAVID ACCOUNT #: 00000647924	THE HARTFORD CAPITAL APPRECIATION F BUY 0 Units @0.0000	0.00	1.09	0.00	1.09	75.0	0.82
03/02/2018	***-**-447P - BIRNS, PHYLLIS ACCOUNT #: 00000700389	THE HARTFORD CAPITAL APPRECIATION F BUY 0 Units @0.0000	0.00	2.42	0.00	2.42	75.0	1.82
03/02/2018	***-**-68IN - BIRNS, RICHARD ACCOUNT #: 00022050066	THE HARTFORD CAPITAL APPRECIATION F BUY 0 Units @0.0000	0.00	19.43	0.00	19.43	75.0	14.57
03/02/2018	***-**-85IN - SEAMAN, ACCOUNT #: 00022087686	THE HARTFORD CAPITAL APPRECIATION F BUY 0 Units @0.0000	0.00	1.29	0.00	1.29	75.0	0.97
03/02/2018	***-**-68IN - BIRNS, RICHARD ACCOUNT #: 00022050066	THE HARTFORD DIVIDEND & GROWTH FUND BUY 0 Units @0.0000	0.00	8.54	0.00	8.54	75.0	6.41
03/02/2018	***-**-192I - BIRNS, DAVID ACCOUNT #: 00000647924	THE HARTFORD GROWTH OPPORTUNITIES F BUY 0 Units @0.0000	0.00	2.40	0.00	2.40	75.0	1.80
03/02/2018	***-**-192I - BIRNS, DAVID ACCOUNT #: 00000647924	THE HARTFORD INTL CAPITAL APP. FUND BUY 0 Units @0.0000	0.00	1.04	0.00	1.04	75.0	0.78
03/02/2018	***-**-68IN - BIRNS, RICHARD ACCOUNT #: 00022050066	THE HARTFORD INTL OPPORTUNITIES FD- BUY 0 Units @0.0000	0.00	12.00	0.00	12.00	75.0	9.00
03/02/2018	***-**-68IN - BIRNS, RICHARD ACCOUNT #: 00022050066	THE HARTFORD MID CAP FUND-A BUY 0 Units @0.0000	0.00	19.23	0.00	19.23	75.0	14.42
03/02/2018	***-**-192I - BIRNS, DAVID ACCOUNT #: 00000647924	THE HARTFORD SMALL CAP GROWTH FD-A BUY 0 Units @0.0000	0.00	2.23	0.00	2.23	75.0	1.67
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$87.12	\$0.00	\$87.12		\$65.35
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$87.12	\$0.00	\$87.12		\$65.35



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<u>BROKER COMMISSION TOTALS</u>								
								Broker Transactions \$65.35
								Split Transactions \$0.00
								Override Transactions \$0.00
								Adjustments \$0.00
								Payroll Draw \$0.00
								Base Salary \$0.00
								Prior Period Balance \$2.18
								FINRA Assessment \$0.00
								SIPC Assessment \$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
Balance Forward								\$67.53
Year-to-date Earnings								\$3,070.24