



# COMMISSION STATEMENT

## January 01, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JOHN AMBRUSCO</u></b>								
12/15/2017	***-**-615P - AMBRUSCO, JOHN	FA 529 PORT 2025-CL A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00625334479	BUY 1 Units @19.8700						
12/28/2017	***-**-5627 - AMBRUSCO, SUSAN	VICTORY TRAILS	0.00	1.41	0.00	1.41	0.5	0.01
		BUY 0 Units @0.0000						
		<b>* JOHN AMBRUSCO SUBTOTAL *</b>	<b>\$25.00</b>	<b>\$2.66</b>	<b>\$0.00</b>	<b>\$2.66</b>		<b>\$0.02</b>
<b><u>BROKER: MARC ASHER</u></b>								
12/28/2017	***-**-977I - BROWN, ERIC	CALVERT AGGRESSIVE ALLOCATION FUND-	265.03	10.60	0.00	10.60	0.5	0.05
	ACCOUNT #: 00006121814	BUY 0 Units @0.0000						
12/28/2017	***-**-584I - MARTORELLA,	CALVERT AGGRESSIVE ALLOCATION FUND-	50.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 00007180767	BUY 0 Units @0.0000						
12/28/2017	***-**-79SI - CREEGAN, THOMAS	CALVERT AGGRESSIVE ALLOCATION FUND-	600.00	13.50	0.00	13.50	0.5	0.07
	ACCOUNT #: 00007203830	BUY 0 Units @0.0000						
12/28/2017	***-**-18SI - FRAZIER, STEPHEN	CALVERT AGGRESSIVE ALLOCATION FUND-	320.00	7.20	0.00	7.20	0.5	0.04
	ACCOUNT #: 00007203904	BUY 0 Units @0.0000						
12/28/2017	***-**-82SI - PERRY, ROBERT	CALVERT AGGRESSIVE ALLOCATION FUND-	40.20	1.21	0.00	1.21	0.5	0.01
	ACCOUNT #: 00007203908	BUY 0 Units @0.0000						
12/28/2017	***-**-46IS - BAINBRIDGE, ERIC	CALVERT AGGRESSIVE ALLOCATION FUND-	84.27	3.37	0.00	3.37	0.5	0.02
	ACCOUNT #: 00007225284	BUY 0 Units @0.0000						
12/28/2017	***-**-67SI - VIRKUS, AMANDA	CALVERT AGGRESSIVE ALLOCATION FUND-	246.00	9.84	0.00	9.84	0.5	0.05
	ACCOUNT #: 00007225296	BUY 0 Units @0.0000						
12/28/2017	***-**-90SI - PULTZ, JOSEPH	CALVERT AGGRESSIVE ALLOCATION FUND-	271.41	10.86	0.00	10.86	0.5	0.05
	ACCOUNT #: 00007225304	BUY 0 Units @0.0000						
12/28/2017	***-**-24SI - RINI, VICTOR	CALVERT AGGRESSIVE ALLOCATION FUND-	752.00	30.08	0.00	30.08	0.5	0.15
	ACCOUNT #: 00007227183	BUY 0 Units @0.0000						
12/28/2017	***-**-584I - MARTORELLA,	CALVERT AGGRESSIVE ALLOCATION FUND-	50.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 00007180767	BUY 0 Units @0.0000						
12/28/2017	***-**-989I - YANOWITZ, BARRY	CALVERT BALANCED PORTFOLIO - A	178.91	7.16	0.00	7.16	0.5	0.04
	ACCOUNT #: 00006121422	BUY 0 Units @0.0000						
12/28/2017	***-**-82SI - PERRY, ROBERT	CALVERT BALANCED PORTFOLIO - A	201.00	6.03	0.00	6.03	0.5	0.03
	ACCOUNT #: 00007203908	BUY 0 Units @0.0000						
12/28/2017	***-**-587I - GRESKO, PAUL	CALVERT CONSERVATIVE ALLOCATION FUN	53.98	2.16	0.00	2.16	0.5	0.01
	ACCOUNT #: 00007164897	BUY 0 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: MARC ASHER (continued) </u></b>								
12/28/2017	***-**-79SI - CREEGAN, THOMAS	CALVERT GLOBAL ALTERNATIVE ENERGY F	600.00	13.50	0.00	13.50	0.5	0.07
	ACCOUNT #: 00007203830	BUY 0 Units @0.0000						
12/28/2017	***-**-32SI - STEWART, VOLKER	CALVERT GLOBAL ALTERNATIVE ENERGY F	159.00	3.58	0.00	3.58	0.5	0.02
	ACCOUNT #: 00007203900	BUY 0 Units @0.0000						
12/28/2017	***-**-18SI - FRAZIER, STEPHEN	CALVERT GLOBAL WATER FUND CLASS A	120.00	2.70	0.00	2.70	0.5	0.01
	ACCOUNT #: 00007203904	BUY 0 Units @0.0000						
12/28/2017	***-**-18SI - FRAZIER, STEPHEN	CALVERT INCOME FUND - A	80.00	1.40	0.00	1.40	0.5	0.01
	ACCOUNT #: 00007203904	BUY 0 Units @0.0000						
12/28/2017	***-**-18SI - FRAZIER, STEPHEN	CALVERT INCOME FUND - A	120.00	2.70	0.00	2.70	0.5	0.01
	ACCOUNT #: 00007203904	BUY 0 Units @0.0000						
12/28/2017	***-**-32SI - STEWART, VOLKER	CALVERT MODERATE ALLOCATION FUND-A	1,272.00	28.62	0.00	28.62	0.5	0.14
	ACCOUNT #: 00007203900	BUY 0 Units @0.0000						
12/28/2017	***-**-18SI - FRAZIER, STEPHEN	CALVERT MODERATE ALLOCATION FUND-A	160.00	3.60	0.00	3.60	0.5	0.02
	ACCOUNT #: 00007203904	BUY 0 Units @0.0000						
12/28/2017	***-**-46IS - BAINBRIDGE, ERIC	CALVERT MODERATE ALLOCATION FUND-A	84.27	3.37	0.00	3.37	0.5	0.02
		BUY 0 Units @0.0000						
12/28/2017	***-**-82SI - PERRY, ROBERT	CALVERT SMALL CAP VALUE FUND	40.20	1.21	0.00	1.21	0.5	0.01
	ACCOUNT #: 00007203908	BUY 0 Units @0.0000						
12/28/2017	***-**-82SI - PERRY, ROBERT	CALVERT SOCIAL INVESTMENT FD EQUITY	40.20	1.21	0.00	1.21	0.5	0.01
	ACCOUNT #: 00007203908	BUY 0 Units @0.0000						
12/28/2017	***-**-32SI - STEWART, VOLKER	WORLD VALUES INTERNATIONAL EQUITY -	159.00	3.58	0.00	3.58	0.5	0.02
	ACCOUNT #: 00007203900	BUY 0 Units @0.0000						
12/28/2017	***-**-82SI - PERRY, ROBERT	WORLD VALUES INTERNATIONAL EQUITY -	80.40	2.41	0.00	2.41	0.5	0.01
	ACCOUNT #: 00007203908	BUY 0 Units @0.0000						
<b> * MARC ASHER SUBTOTAL * </b>			<b>\$6,027.87</b>	<b>\$173.89</b>	<b>\$0.00</b>	<b>\$173.89</b>		<b>\$0.89</b>
<b><u> BROKER: JESS LITTLE </u></b>								
12/26/2017	***-**-689K - JONES, RICHARD	FIDELITY CONTRA FUND	502.99	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: LSR008397	SELL 4 Units @122.6500						
12/26/2017	***-**-649K - JONES, PATSY	FIDELITY CONTRA FUND	502.99	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: LSR008400	SELL 4 Units @122.6500						
<b> * JESS LITTLE SUBTOTAL * </b>			<b>\$1,005.98</b>	<b>\$6.00</b>	<b>\$0.00</b>	<b>\$6.00</b>		<b>\$0.04</b>



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ANNE PLATSKY</u></b>								
12/26/2017	***-**-807I - WALSH III, FBO	CAPITAL GROWTH FUND A	0.00	13.12	0.00	13.12	0.5	0.07
	ACCOUNT #: LSR100781	BUY 1 Units @0.0000						
12/26/2017	***-**-150I - SPRING, HENRY	CAPITAL GROWTH FUND A	0.00	2.18	0.00	2.18	0.5	0.01
	ACCOUNT #: LSR101109	BUY 1 Units @0.0000						
12/26/2017	***-**-415K - SCHORR, FBO NINA	CAPITAL GROWTH FUND A	0.00	15.28	0.00	15.28	0.5	0.08
	ACCOUNT #: LSR101265	BUY 1 Units @0.0000						
12/26/2017	***-**-5380 - LEE, WAI	CAPITAL GROWTH FUND A	0.00	45.91	0.00	45.91	0.5	0.23
	ACCOUNT #: LSR101311	BUY 1 Units @0.0000						
12/26/2017	***-**-5380 - LEE, WAI	CAPITAL INCOME A	0.00	19.91	0.00	19.91	0.5	0.10
	ACCOUNT #: LSR101311	BUY 1 Units @0.0000						
12/29/2017	***-**-7254 - GREEN, MICHAEL	DELAWARE SMALL MID CAP GROWTH FUND	200.00	4.00	0.00	4.00	0.5	0.02
	ACCOUNT #: 5163225106	BUY 0 Units @0.0000						
12/26/2017	***-**-05IS - BRUNCKHORST,	FUNDAMENTAL INVESTORS-A	24,999.99	12.00	0.00	12.00	0.5	0.06
	ACCOUNT #: LSR101818	SELL 402 Units @62.1700						
12/26/2017	***-**-150I - SPRING, HENRY	GOLDMAN SACHS ASSET ALLOC GRWTH STR	0.00	7.59	0.00	7.59	0.5	0.04
	ACCOUNT #: LSR101109	BUY 1 Units @0.0000						
12/26/2017	***-**-415K - SCHORR, FBO NINA	GOLDMAN SACHS ASSET ALLOC GRWTH STR	0.00	6.60	0.00	6.60	0.5	0.03
	ACCOUNT #: LSR101265	BUY 1 Units @0.0000						
12/26/2017	***-**-807I - WALSH III, FBO	GOLDMAN SACHS GROWTH AND INCOME CLA	0.00	2.62	0.00	2.62	0.5	0.01
	ACCOUNT #: LSR100781	BUY 1 Units @0.0000						
12/26/2017	***-**-415K - SCHORR, FBO NINA	GOLDMAN SACHS GROWTH AND INCOME CLA	0.00	11.58	0.00	11.58	0.5	0.06
	ACCOUNT #: LSR101265	BUY 1 Units @0.0000						
12/26/2017	***-**-807I - WALSH III, FBO	GOLDMAN SACHS HIGH YIELD CLASS A	0.00	3.42	0.00	3.42	0.5	0.02
	ACCOUNT #: LSR100781	BUY 1 Units @0.0000						
12/26/2017	***-**-5380 - LEE, WAI	INTERNATIONAL BOND A	0.00	22.08	0.00	22.08	0.5	0.11
	ACCOUNT #: LSR101311	BUY 1 Units @0.0000						
12/26/2017	***-**-5380 - LEE, WAI	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	23.16	0.00	23.16	0.5	0.12
	ACCOUNT #: LSR101311	BUY 1 Units @0.0000						
12/26/2017	***-**-807I - WALSH III, FBO	STRUCTURED SMALL CAP VALUE FUND A	0.00	8.55	0.00	8.55	0.5	0.04
	ACCOUNT #: LSR100781	BUY 1 Units @0.0000						
12/26/2017	***-**-150I - SPRING, HENRY	STRUCTURED SMALL CAP VALUE FUND A	0.00	6.50	0.00	6.50	0.5	0.03
	ACCOUNT #: LSR101109	BUY 1 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ANNE PLATSKY (continued) </u></b>								
12/26/2017	***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	STRUCTURED U.S. EQUITY CLASS A BUY 1 Units @0.0000	0.00	8.57	0.00	8.57	0.5	0.04
12/26/2017	***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	STRUCTURED U.S. EQUITY CLASS A BUY 1 Units @0.0000	0.00	11.42	0.00	11.42	0.5	0.06
* ANNE PLATSKY SUBTOTAL *			<b>\$25,199.99</b>	<b>\$224.49</b>	<b>\$0.00</b>	<b>\$224.49</b>		<b>\$1.13</b>
<b><u> BROKER: JEFFRY PROUL </u></b>								
12/11/2017	***-**-0399 - MOORE, RACHEL ACCOUNT #: 03900186054	FIDELITY ADV FREEDOM 2050-CL A BUY 9 Units @13.2000	115.38	5.77	0.00	5.77	0.5	0.03
12/11/2017	***-**-0399 - MOORE, RACHEL ACCOUNT #: 03900186054	FIDELITY ADV FREEDOM 2050-CL A BUY 9 Units @13.2000	115.38	5.77	0.00	5.77	0.5	0.03
12/11/2017	***-**-2535 - ALCAZAR, MARIA ACCOUNT #: 03900186047	FIDELITY ADV FREEDOM 2050-CL A BUY 3 Units @13.2000	40.99	2.05	0.00	2.05	0.5	0.01
12/11/2017	***-**-2535 - ALCAZAR, MARIA ACCOUNT #: 03900186047	FIDELITY ADV FREEDOM 2050-CL A BUY 3 Units @13.2000	40.00	2.00	0.00	2.00	0.5	0.01
12/11/2017	***-**-9826 - NERSESIAN, POLET ACCOUNT #: 03900186038	FIDELITY ADV FREEDOM 2050-CL A BUY 4 Units @13.2000	57.69	2.88	0.00	2.88	0.5	0.01
12/11/2017	***-**-9826 - NERSESIAN, POLET ACCOUNT #: 03900186038	FIDELITY ADV FREEDOM 2050-CL A BUY 4 Units @13.2000	57.69	2.88	0.00	2.88	0.5	0.01
12/11/2017	***-**-2963 - LAPAGE, STEPHEN ACCOUNT #: 03900186027	FIDELITY ADV FREEDOM 2050-CL A BUY 8 Units @13.2000	100.00	5.00	0.00	5.00	0.5	0.03
12/11/2017	***-**-2963 - LAPAGE, STEPHEN ACCOUNT #: 03900186027	FIDELITY ADV FREEDOM 2050-CL A BUY 3 Units @13.2000	34.96	1.75	0.00	1.75	0.5	0.01
12/11/2017	***-**-6251 - NAKABA, RANDALL ACCOUNT #: 03900186024	FIDELITY ADV FREEDOM 2050-CL A BUY 3 Units @13.2000	37.50	1.88	0.00	1.88	0.5	0.01
12/11/2017	***-**-6251 - NAKABA, RANDALL ACCOUNT #: 03900186024	FIDELITY ADV FREEDOM 2050-CL A BUY 3 Units @13.2000	40.38	2.02	0.00	2.02	0.5	0.01
12/11/2017	***-**-0806 - ALEIXO, JEFFREY ACCOUNT #: 03900186019	FIDELITY ADV FREEDOM 2050-CL A BUY 15 Units @13.2000	201.92	10.10	0.00	10.10	0.5	0.05
12/11/2017	***-**-0806 - ALEIXO, JEFFREY ACCOUNT #: 03900186019	FIDELITY ADV FREEDOM 2050-CL A BUY 15 Units @13.2000	201.92	10.10	0.00	10.10	0.5	0.05
12/11/2017	***-**-4265 - ACCOUNT #: 03900186012	FIDELITY ADV FREEDOM 2050-CL A BUY 11 Units @13.2000	150.00	7.50	0.00	7.50	0.5	0.04



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: JEFFRY PROUL (continued) </u></b>								
12/11/2017	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	50.99	2.55	0.00	2.55	0.5	0.01
	ACCOUNT #: 03900186012	BUY 4 Units @13.2000						
12/11/2017	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	45.74	2.29	0.00	2.29	0.5	0.01
	ACCOUNT #: 03900186010	BUY 3 Units @13.2000						
12/11/2017	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	45.74	2.29	0.00	2.29	0.5	0.01
	ACCOUNT #: 03900186010	BUY 3 Units @13.2000						
12/11/2017	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	36.39	1.82	0.00	1.82	0.5	0.01
	ACCOUNT #: 03900185999	BUY 3 Units @13.2000						
12/11/2017	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	36.39	1.82	0.00	1.82	0.5	0.01
	ACCOUNT #: 03900185999	BUY 3 Units @13.2000						
12/11/2017	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	55.75	2.79	0.00	2.79	0.5	0.01
	ACCOUNT #: 03900185962	BUY 4 Units @13.2000						
12/11/2017	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	55.75	2.79	0.00	2.79	0.5	0.01
	ACCOUNT #: 03900185962	BUY 4 Units @13.2000						
12/15/2017	***-**-46IN - CALLES, DALE	GOLDMAN SACHS GROWTH AND INCOME CLA	100.00	5.00	0.00	5.00	0.5	0.03
	ACCOUNT #: 00519094815	BUY 3 Units @39.6600						
<b>* JEFFRY PROUL SUBTOTAL *</b>			<b>\$1,620.56</b>	<b>\$81.05</b>	<b>\$0.00</b>	<b>\$81.05</b>		<b>\$0.40</b>

 **BROKER: CRAIG ST. CYR** 

12/12/2017	***-**-2825 - SALCHUNAS,	FIDELITY ADV 01480463049	194.80	1.95	0.00	1.95	0.5	0.01
	ACCOUNT #: 01489870954	BUY 16 Units @12.2100						
12/12/2017	***-**-2825 - SALCHUNAS,	FIDELITY ADV 01480463049	116.88	1.17	0.00	1.17	0.5	0.01
	ACCOUNT #: 01489870954	BUY 10 Units @12.2100						
12/12/2017	***-**-7701 - RYGIEL, JENNIFER	FIDELITY ADV 01480463049	309.38	3.09	0.00	3.09	0.5	0.02
	ACCOUNT #: 01488858562	BUY 25 Units @12.2100						
12/12/2017	***-**-7701 - RYGIEL, JENNIFER	FIDELITY ADV 01480463049	185.62	1.86	0.00	1.86	0.5	0.01
	ACCOUNT #: 01488858562	BUY 15 Units @12.2100						
12/12/2017	***-**-5404 - MOOERS, KYLE	FIDELITY ADV 01480463049	200.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 01484248685	BUY 16 Units @12.2100						
12/12/2017	***-**-5404 - MOOERS, KYLE	FIDELITY ADV 01480463049	200.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 01484248685	BUY 16 Units @12.2100						
12/12/2017	***-**-1320 - BARNEA, ANTHONY	FIDELITY ADV 01480463072	652.34	6.52	0.00	6.52	0.5	0.03
	ACCOUNT #: 01484492994	BUY 41 Units @15.7400						



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<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: CRAIG ST. CYR (continued)</u></b>								
12/12/2017	***-**-1320 - BARNEA, ANTHONY	FIDELITY ADV 01480463072	244.62	2.45	0.00	2.45	0.5	0.01
	ACCOUNT #: 01484492994	BUY 16 Units @15.7400						
12/12/2017	***-**-1574 - KIM, BUNTHOL	FIDELITY ADV 01480463155	187.50	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 01480847183	BUY 12 Units @15.1600						
12/12/2017	***-**-1574 - KIM, BUNTHOL	FIDELITY ADV 01480463155	187.50	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 01480847183	BUY 12 Units @15.1600						
12/12/2017	***-**-8064 - STRAND, HOLLY	FIDELITY ADV 01480847183	112.50	1.13	0.00	1.13	0.5	0.01
	ACCOUNT #: 01488858539	BUY 8 Units @13.4300						
12/12/2017	***-**-8064 - STRAND, HOLLY	FIDELITY ADV 01480847183	112.50	1.13	0.00	1.13	0.5	0.01
	ACCOUNT #: 01488858539	BUY 8 Units @13.4300						
12/12/2017	***-**-3799 - RUDDY, LAUREN	FIDELITY ADV 01480847183	402.50	4.03	0.00	4.03	0.5	0.02
	ACCOUNT #: 01486935859	BUY 30 Units @13.4300						
12/12/2017	***-**-3799 - RUDDY, LAUREN	FIDELITY ADV 01480847183	201.25	2.01	0.00	2.01	0.5	0.01
	ACCOUNT #: 01486935859	BUY 15 Units @13.4300						
12/12/2017	***-**-0951 - DAVIS, ALEXANDER	FIDELITY ADV 01480847183	666.66	6.67	0.00	6.67	0.5	0.03
	ACCOUNT #: 01486701756	BUY 50 Units @13.4300						
12/12/2017	***-**-0951 - DAVIS, ALEXANDER	FIDELITY ADV 01480847183	200.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 01486701756	BUY 15 Units @13.4300						
12/12/2017	***-**-0790 - BURKE, KRISTEN	FIDELITY ADV 01480863024	125.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 01480463049	BUY 5 Units @22.7300						
12/12/2017	***-**-0790 - BURKE, KRISTEN	FIDELITY ADV 01480863024	125.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 01480463049	BUY 5 Units @22.7300						
12/12/2017	***-**-3541 - LAPORTE, DIANE	FIDELITY ADV BALANCED CL C	684.00	6.84	0.00	6.84	0.5	0.03
	ACCOUNT #: 01485938474	BUY 33 Units @20.6400						
12/12/2017	***-**-0270 - CARELLA, MICHAEL	FIDELITY ADV FREEDOM 2045	500.00	5.00	0.00	5.00	0.5	0.03
	ACCOUNT #: 01486701004	BUY 41 Units @12.2300						
12/12/2017	***-**-0270 - CARELLA, MICHAEL	FIDELITY ADV FREEDOM 2045	375.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 01486701004	BUY 31 Units @12.2300						
12/12/2017	***-**-9077 - ST JEAN, ERIC	FIDELITY ADV FREEDOM 2060 C	200.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 01489870921	BUY 17 Units @12.0100						
12/12/2017	***-**-9077 - ST JEAN, ERIC	FIDELITY ADV FREEDOM 2060 C	100.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: 01489870921	BUY 8 Units @12.0100						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: CRAIG ST. CYR (continued)</u></b>								
12/12/2017	***-**-5782 - SCHMID, ANDERW	FIDELITY ADV FREEDOM 2060 C	604.68	6.05	0.00	6.05	0.5	0.03
	ACCOUNT #: 01486935966	BUY 50 Units @12.0100						
12/12/2017	***-**-5782 - SCHMID, ANDERW	FIDELITY ADV FREEDOM 2060 C	120.94	1.21	0.00	1.21	0.5	0.01
	ACCOUNT #: 01486935966	BUY 10 Units @12.0100						
12/12/2017	***-**-3541 - LAPORTE, DIANE	FIDELITY ADV OVERSEAS-CL C	456.00	4.56	0.00	4.56	0.5	0.02
	ACCOUNT #: 01485938474	BUY 19 Units @23.7500						
12/12/2017	***-**-3036 - GRIFFIN,	FIDELITY ADVISOR FREEDOM 2040	1,041.68	20.83	0.00	20.83	0.5	0.10
	ACCOUNT #: 01481500708	BUY 63 Units @16.5500						
12/12/2017	***-**-3036 - GRIFFIN,	FIDELITY ADVISOR FREEDOM 2040	375.00	7.50	0.00	7.50	0.5	0.04
	ACCOUNT #: 01481500708	BUY 23 Units @16.5500						
<b>* CRAIG ST. CYR SUBTOTAL *</b>			<b>\$8,881.35</b>	<b>\$103.01</b>	<b>\$0.00</b>	<b>\$103.01</b>		<b>\$0.54</b>
<b><u>BROKER: BERT GOLDBERG</u></b>								
12/26/2017	***-**-568I - MARSHALL, BRUCE	BOND A	0.00	10.30	0.00	10.30	0.5	0.05
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
12/26/2017	***-**-184I - SPORRER, SHIRLEY	FIRST EAGLE GOLD FUND CLASS A	0.00	1.50	0.00	1.50	0.5	0.01
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
12/26/2017	***-**-00IR - SPORRER, JERALD	FIRST EAGLE GOLD FUND CLASS A	0.00	1.74	0.00	1.74	0.5	0.01
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
12/26/2017	***-**-24IO - DEWITT, TRACY	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	5.72	0.00	5.72	0.5	0.03
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
12/26/2017	***-**-0383 - MARSHALL,	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	7.46	0.00	7.46	0.5	0.04
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
12/26/2017	***-**-2425 - BRIAN N CALNAN,	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	3.79	0.00	3.79	0.5	0.02
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
12/26/2017	***-**-568J - MARSHALL, BRUCE	JOHN HANCOCK GLOBAL EQUITY FUND CLA	0.00	2.71	0.00	2.71	0.5	0.01
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
12/26/2017	***-**-5712 - RAMSEY, GUSSIE	JOHN HANCOCK TECHNICAL OPPS CL A	0.00	1.79	0.00	1.79	0.5	0.01
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
12/26/2017	***-**-24IO - DEWITT, TRACY	LIFESTYLE BALANCED PORTFOLIO A	0.00	22.75	0.00	22.75	0.5	0.11
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
12/26/2017	***-**-0724 - STROMMESEN,	LIFESTYLE BALANCED PORTFOLIO A	0.00	5.93	0.00	5.93	0.5	0.03
	ACCOUNT #: LSR105090	BUY 1 Units @0.0000						



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<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: BERT GOLDBERG (continued)</u></b>								
12/26/2017	***-**-1788 - STROMMESEN, ACCOUNT #: LSR105104	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	3.82	0.00	3.82	0.5	0.02
12/26/2017	***-**-9761 - MCCARTHY, NANCY ACCOUNT #: LSR105490	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	3.11	0.00	3.11	0.5	0.02
12/26/2017	***-**-568J - MARSHALL, BRUCE ACCOUNT #: LSR105600	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	26.40	0.00	26.40	0.5	0.13
12/26/2017	***-**-0383 - MARSHALL, ACCOUNT #: LSR105619	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	27.29	0.00	27.29	0.5	0.14
12/26/2017	***-**-9483 - WYCKOFF, ACCOUNT #: LSR106887	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	2.48	0.00	2.48	0.5	0.01
12/26/2017	***-**-29IO - NORFLEET, ACCOUNT #: LSR010116	LIFESTYLE CONSERVATIVE PORTFOLIO A BUY 1 Units @0.0000	0.00	10.49	0.00	10.49	0.5	0.05
12/26/2017	***-**-5594 - BISHOP, SHANNON ACCOUNT #: LSR105392	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	1.24	0.00	1.24	0.5	0.01
12/26/2017	***-**-2425 - BRIAN N CALNAN, ACCOUNT #: LSR105945	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	7.13	0.00	7.13	0.5	0.04
12/26/2017	***-**-37TR - SCHROEDER TRUST, ACCOUNT #: LSR004375	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	9.56	0.00	9.56	0.5	0.05
12/26/2017	***-**-514I - BERGIN, JOSEPH ACCOUNT #: LSR004910	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	6.46	0.00	6.46	0.5	0.03
12/26/2017	***-**-703I - LEGROS, DAVID ACCOUNT #: LSR011660	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	7.24	0.00	7.24	0.5	0.04
12/26/2017	***-**-0737 - SCHROEDER, JACK ACCOUNT #: LSR105112	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	5.19	0.00	5.19	0.5	0.03
12/26/2017	***-**-568I - MARSHALL, BRUCE ACCOUNT #: LSR105627	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	44.15	0.00	44.15	0.5	0.22
12/26/2017	***-**-5712 - RAMSEY, GUSSIE ACCOUNT #: LSR105929	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	24.00	0.00	24.00	0.5	0.12
12/26/2017	***-**-0237 - RAMSEY, WILLIAM ACCOUNT #: LSR105937	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	3.98	0.00	3.98	0.5	0.02
12/26/2017	***-**-0737 - SCHROEDER, JACK ACCOUNT #: LSR105112	MFS DIVERSIFIED INCOME FD CL A BUY 1 Units @0.0000	0.00	11.64	0.00	11.64	0.5	0.06





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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: BERT GOLDBERG (continued)</u></b>								
12/26/2017	***-**-0737 - SCHROEDER, JACK	MFS EMERGING MARKETS DEBT FUND-A	0.00	3.20	0.00	3.20	0.5	0.02
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
12/26/2017	***-**-5712 - RAMSEY, GUSSIE	MFS EMERGING MARKETS DEBT FUND-A	0.00	1.30	0.00	1.30	0.5	0.01
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
12/26/2017	***-**-2425 - BRIAN N CALNAN,	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	6.51	0.00	6.51	0.5	0.03
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
		<b>* BERT GOLDBERG SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$268.88</b>	<b>\$0.00</b>	<b>\$268.88</b>		<b>\$1.37</b>
<b><u>BROKER: LINDA BLACK</u></b>								
12/26/2017	***-**-20IN - GAVIN, ANNE	BLACKROCK STRATEGIC MUNI OPPORTUNIT	0.00	3.55	0.00	3.55	0.5	0.02
	ACCOUNT #: LSR011851	BUY 1 Units @0.0000						
12/26/2017	***-**-99IR - TARKINGTON,	COHEN&STEERS GL	0.00	3.91	0.00	3.91	0.5	0.02
	ACCOUNT #: LSR108162	BUY 1 Units @0.0000						
12/27/2017	***-**-637I - LE, TRANG	DIGITAL RLTY TR INC COM UNSOLICITED	10,146.78	14.00	0.00	14.00	0.5	0.07
	ACCOUNT #: LSR012250	BUY 90 Units @112.7420						
12/26/2017	***-**-076I - CROWE, DEANNA	EQUITY INCOME FUND, INC. A	0.00	1.23	0.00	1.23	0.5	0.01
	ACCOUNT #: LSR007811	BUY 1 Units @0.0000						
12/26/2017	***-**-116I - GAVELEK, CYNTHIA	GOLDMAN SACHS SMALL/ MID CAP GRWTH	0.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: LSR010199	BUY 1 Units @0.0000						
12/26/2017	***-**-717X - VIRTIS, PATRICIA	HARTFORD BALANCED INCOME FD CL A	0.00	3.19	0.00	3.19	0.5	0.02
	ACCOUNT #: LSR010310	BUY 1 Units @0.0000						
12/26/2017	***-**-829X - PRESTIGE, BRENDA	HARTFORD BALANCED INCOME FD CL A	0.00	2.75	0.00	2.75	0.5	0.01
	ACCOUNT #: LSR010314	BUY 1 Units @0.0000						
12/26/2017	***-**-29IN - PRESTIDGE,	HARTFORD BALANCED INCOME FD CL A	0.00	1.17	0.00	1.17	0.5	0.01
	ACCOUNT #: LSR010317	BUY 1 Units @0.0000						
12/26/2017	***-**-20IN - GAVIN, ANNE	HARTFORD GROWTH OPPORTUNITY CLASS C	0.00	8.36	0.00	8.36	0.5	0.04
	ACCOUNT #: LSR011851	BUY 1 Units @0.0000						
12/27/2017	***-**-637K - LE, TRANG	ISHARES U S CONSUMER SERVICES ETF	9,893.84	21.90	0.00	21.90	0.5	0.11
	ACCOUNT #: LSR012253	BUY 55 Units @179.8880						
12/26/2017	***-**-31IN - CANTATORE,	JOHN HANCOCK CLASSIC VALUE FUND CLA	0.00	2.20	0.00	2.20	0.5	0.01
	ACCOUNT #: LSR006831	BUY 1 Units @0.0000						
12/26/2017	***-**-31IN - CANTATORE,	JOHN HANCOCK DISCIPL INED VALUE MID	0.00	4.36	0.00	4.36	0.5	0.02
	ACCOUNT #: LSR006831	BUY 1 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: LINDA BLACK (continued)</u></b>								
12/26/2017	***-**-31IN - CANTATORE, ACCOUNT #: LSR006831	JOHN HANCOCK ENDURING EQUITIES A BUY 1 Units @0.0000	0.00	1.82	0.00	1.82	0.5	0.01
12/26/2017	***-**-99IR - TARKINGTON, ACCOUNT #: LSR108162	JOHN HANCOCK FLOATING RATE INC C BUY 1 Units @0.0000	0.00	7.22	0.00	7.22	0.5	0.04
12/26/2017	***-**-31IN - CANTATORE, ACCOUNT #: LSR006831	JOHN HANCOCK US EQUITY CL A BUY 1 Units @0.0000	0.00	3.61	0.00	3.61	0.5	0.02
12/26/2017	***-**-31IN - CANTATORE, ACCOUNT #: LSR006831	LARGE CAP EQUITY A BUY 1 Units @0.0000	0.00	2.14	0.00	2.14	0.5	0.01
12/26/2017	***-**-62IN - DEHAVEN, JUSTIN ACCOUNT #: LSR010416	LEGG MASON VALUE TRUST CL C BUY 1 Units @0.0000	0.00	3.07	0.00	3.07	0.5	0.02
12/26/2017	***-**-385I - DEHAVEN, JOSEPH ACCOUNT #: LSR008524	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	2.55	0.00	2.55	0.5	0.01
12/26/2017	***-**-541I - ROTH, LENORA ACCOUNT #: LSR010077	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	5.12	0.00	5.12	0.5	0.03
12/27/2017	***-**-541I - ROTH, LENORA ACCOUNT #: LSR010077	LIFESTYLE BALANCED PORTFOLIO A BUY 9 Units @16.0900	147.00	3.25	0.00	3.25	0.5	0.02
12/26/2017	***-**-19IR - SCHUSTER, ACCOUNT #: LSR008362	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	1.21	0.00	1.21	0.5	0.01
12/26/2017	***-**-332I - GALLUCCI, NANCY ACCOUNT #: LSR011409	LORD ABBETT CONVERT FUND CL C BUY 1 Units @0.0000	0.00	6.57	0.00	6.57	0.5	0.03
12/26/2017	***-**-464I - GALLUCCI, ACCOUNT #: LSR011411	LORD ABBETT CONVERT FUND CL C BUY 1 Units @0.0000	0.00	11.39	0.00	11.39	0.5	0.06
12/26/2017	***-**-332I - GALLUCCI, NANCY ACCOUNT #: LSR011409	LORD ABBETT CORE FIXED INCOME CL C BUY 1 Units @0.0000	0.00	3.07	0.00	3.07	0.5	0.02
12/26/2017	***-**-464I - GALLUCCI, ACCOUNT #: LSR011411	LORD ABBETT CORE FIXED INCOME CL C BUY 1 Units @0.0000	0.00	3.09	0.00	3.09	0.5	0.02
12/26/2017	***-**-332I - GALLUCCI, NANCY ACCOUNT #: LSR011409	LORD ABBETT FLOATING RATE FD CL C BUY 1 Units @0.0000	0.00	5.43	0.00	5.43	0.5	0.03
12/26/2017	***-**-464I - GALLUCCI, ACCOUNT #: LSR011411	LORD ABBETT FLOATING RATE FD CL C BUY 1 Units @0.0000	0.00	9.60	0.00	9.60	0.5	0.05
12/26/2017	***-**-20IN - GAVIN, ANNE ACCOUNT #: LSR011851	LORD ABBETT FLOATING RATE FD CL C BUY 1 Units @0.0000	0.00	2.58	0.00	2.58	0.5	0.01



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<b><u>BROKER: LINDA BLACK (continued)</u></b>								
12/26/2017	***-**-332I - GALLUCCI, NANCY	LORD ABBETT HIGH YIELD CLASS C	0.00	2.76	0.00	2.76	0.5	0.01
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
12/26/2017	***-**-464I - GALLUCCI,	LORD ABBETT HIGH YIELD CLASS C	0.00	3.44	0.00	3.44	0.5	0.02
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						
12/26/2017	***-**-332I - GALLUCCI, NANCY	LORD ABBETT SHORT DURATION INCOME C	0.00	2.47	0.00	2.47	0.5	0.01
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
12/26/2017	***-**-464I - GALLUCCI,	LORD ABBETT SHORT DURATION INCOME C	0.00	3.09	0.00	3.09	0.5	0.02
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						
12/29/2017	***-**-1204 - DEHAVEN	MASS MUTUAL VA	0.00	4.05	0.00	4.05	0.5	0.02
	ACCOUNT #: 831107	BUY 1 Units @0.0000						
12/26/2017	***-**-291I - ARTHUR, KENNETH	MFS GROWTH PORT CLASS C	0.00	5.45	0.00	5.45	0.5	0.03
	ACCOUNT #: LSR011407	BUY 1 Units @0.0000						
12/26/2017	***-**-332I - GALLUCCI, NANCY	MFS GROWTH PORT CLASS C	0.00	5.36	0.00	5.36	0.5	0.03
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
12/26/2017	***-**-464I - GALLUCCI,	MFS GROWTH PORT CLASS C	0.00	10.72	0.00	10.72	0.5	0.05
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						
12/26/2017	***-**-332I - GALLUCCI, NANCY	MFS BLENDED RESEARCH SM CAP EQ FUND	0.00	6.17	0.00	6.17	0.5	0.03
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
12/26/2017	***-**-464I - GALLUCCI,	MFS BLENDED RESEARCH SM CAP EQ FUND	0.00	6.17	0.00	6.17	0.5	0.03
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						
12/26/2017	***-**-332I - GALLUCCI, NANCY	MFS LOW VOLATILITY GLOBAL EQ FD CL	0.00	4.50	0.00	4.50	0.5	0.02
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
12/26/2017	***-**-464I - GALLUCCI,	MFS LOW VOLATILITY GLOBAL EQ FD CL	0.00	7.20	0.00	7.20	0.5	0.04
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						
12/26/2017	***-**-291I - ARTHUR, KENNETH	MFS MID CAP VALUE CLASS C	0.00	4.89	0.00	4.89	0.5	0.02
	ACCOUNT #: LSR011407	BUY 1 Units @0.0000						
12/26/2017	***-**-332I - GALLUCCI, NANCY	MFS MID CAP VALUE CLASS C	0.00	4.82	0.00	4.82	0.5	0.02
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
12/26/2017	***-**-464I - GALLUCCI,	MFS MID CAP VALUE CLASS C	0.00	4.82	0.00	4.82	0.5	0.02
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						
12/26/2017	***-**-291I - ARTHUR, KENNETH	MFS NEW DISCOVERY CLASS C	0.00	5.60	0.00	5.60	0.5	0.03
	ACCOUNT #: LSR011407	BUY 1 Units @0.0000						



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<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: LINDA BLACK (continued)</u></b>								
12/26/2017	***-**-332I - GALLUCCI, NANCY ACCOUNT #: LSR011409	MFS NEW DISCOVERY CLASS C BUY 1 Units @0.0000	0.00	5.48	0.00	5.48	0.5	0.03
12/26/2017	***-**-464I - GALLUCCI, ACCOUNT #: LSR011411	MFS NEW DISCOVERY CLASS C BUY 1 Units @0.0000	0.00	10.96	0.00	10.96	0.5	0.05
12/26/2017	***-**-291I - ARTHUR, KENNETH ACCOUNT #: LSR011407	MFS VALUE FUND-C BUY 1 Units @0.0000	0.00	5.01	0.00	5.01	0.5	0.03
12/26/2017	***-**-332I - GALLUCCI, NANCY ACCOUNT #: LSR011409	MFS VALUE FUND-C BUY 1 Units @0.0000	0.00	12.93	0.00	12.93	0.5	0.06
12/26/2017	***-**-464I - GALLUCCI, ACCOUNT #: LSR011411	MFS VALUE FUND-C BUY 1 Units @0.0000	0.00	24.86	0.00	24.86	0.5	0.12
12/26/2017	***-**-62IN - DEHAVEN, JUSTIN ACCOUNT #: LSR010416	MILLER OPPORTUNITY-NFS BUY 1 Units @0.0000	0.00	2.58	0.00	2.58	0.5	0.01
12/26/2017	***-**-426I - CROWE, MICHAEL ACCOUNT #: LSR007820	MS INST GLO OPP FD A NFS BUY 1 Units @0.0000	0.00	6.42	0.00	6.42	0.5	0.03
12/26/2017	***-**-076I - CROWE, DEANNA ACCOUNT #: LSR007811	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 1 Units @0.0000	0.00	1.22	0.00	1.22	0.5	0.01
12/26/2017	***-**-076I - CROWE, DEANNA ACCOUNT #: LSR007811	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 1 Units @0.0000	0.00	9.77	0.00	9.77	0.5	0.05
12/26/2017	***-**-426I - CROWE, MICHAEL ACCOUNT #: LSR007820	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 1 Units @0.0000	0.00	3.64	0.00	3.64	0.5	0.02
12/26/2017	***-**-076I - CROWE, DEANNA ACCOUNT #: LSR007811	OPPENHEIMER MAIN STREET SMALL CAP F BUY 1 Units @0.0000	0.00	8.78	0.00	8.78	0.5	0.04
12/26/2017	***-**-426I - CROWE, MICHAEL ACCOUNT #: LSR007820	OPPENHEIMER MAIN STREET SMALL CAP F BUY 1 Units @0.0000	0.00	3.19	0.00	3.19	0.5	0.02
12/26/2017	***-**-4967 - SWEARINGEN, ACCOUNT #: LSR011637	PIONEER CULLEN VALUE FUND CLASS A BUY 1 Units @0.0000	0.00	2.22	0.00	2.22	0.5	0.01
12/26/2017	***-**-4967 - SWEARINGEN, ACCOUNT #: LSR011637	PIONEER FUNDAMENTAL GROWTH FD CL A BUY 1 Units @0.0000	0.00	2.51	0.00	2.51	0.5	0.01
12/26/2017	***-**-4967 - SWEARINGEN, ACCOUNT #: LSR011637	PIONEER GLOBAL EQUITY FUND A BUY 1 Units @0.0000	0.00	2.61	0.00	2.61	0.5	0.01
12/26/2017	***-**-33IR - BONNER, RACHEL ACCOUNT #: LSR011356	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 1 Units @0.0000	0.00	2.31	0.00	2.31	0.5	0.01



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: LINDA BLACK (continued) </u></b>								
12/26/2017	***-**-33IR - BONNER, RACHEL ACCOUNT #: LSR011356	PUTNAM SMALL CAP VALUE FUND-A BUY 1 Units @0.0000	0.00	1.71	0.00	1.71	0.5	0.01
12/26/2017	***-**-65IR - STEWART, ANNE ACCOUNT #: LSR011991	S A M STRAT GRO PORT (C) BUY 1 Units @0.0000	0.00	6.77	0.00	6.77	0.5	0.03
12/26/2017	***-**-30IR - STEWART, DAVID ACCOUNT #: LSR012057	S A M STRAT GRO PORT (C) BUY 1 Units @0.0000	0.00	6.89	0.00	6.89	0.5	0.03
12/26/2017	***-**-362K - SIKORA, AMY ACCOUNT #: LSR011287	THE HARTFORD DIVIDEND & GROWTH FUND BUY 1 Units @0.0000	0.00	2.01	0.00	2.01	0.5	0.01
12/26/2017	***-**-362K - SIKORA, AMY ACCOUNT #: LSR011287	TOLLKEEPER A BUY 1 Units @0.0000	0.00	1.67	0.00	1.67	0.5	0.01
12/26/2017	***-**-31IN - CANTATORE, ACCOUNT #: LSR006831	US GLOBAL LEADERS GROWTH A BUY 1 Units @0.0000	0.00	4.21	0.00	4.21	0.5	0.02
12/26/2017	***-**-362K - SIKORA, AMY ACCOUNT #: LSR011287	US GLOBAL LEADERS GROWTH A BUY 1 Units @0.0000	0.00	1.43	0.00	1.43	0.5	0.01
12/27/2017	***-**-637I - LE, TRANG ACCOUNT #: LSR012250	VANGUARD SECTOR INDEX FDS VANGUARD BUY 65 Units @155.0570	10,078.71	21.70	0.00	21.70	0.5	0.11
<b>* LINDA BLACK SUBTOTAL *</b>			<b>\$30,266.33</b>	<b>\$371.98</b>	<b>\$0.00</b>	<b>\$371.98</b>		<b>\$1.88</b>
<b><u> BROKER: SARA BERKOWICZ </u></b>								
12/26/2017	***-**-369I - BROWN, FBO ACCOUNT #: LSR010589	BLACKROCK GLOBAL ALLOCATION FUND IN BUY 1 Units @0.0000	0.00	5.14	0.00	5.14	0.5	0.03
12/26/2017	***-**-369I - BROWN, FBO ACCOUNT #: LSR010589	MFS EMERGING MARKETS DEBT FUND-A BUY 1 Units @0.0000	0.00	1.41	0.00	1.41	0.5	0.01
12/26/2017	***-**-1202 - MCCORMACK, JAMI ACCOUNT #: LSR105988	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 1 Units @0.0000	0.00	15.33	0.00	15.33	0.5	0.08
12/26/2017	***-**-00I2 - BEUTLICH, FBO ACCOUNT #: LSR003700	VICTORY DIVERSIFIED STOCK CLASS A BUY 1 Units @0.0000	0.00	6.33	0.00	6.33	0.5	0.03
<b>* SARA BERKOWICZ SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$28.21</b>	<b>\$0.00</b>	<b>\$28.21</b>		<b>\$0.15</b>



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: MICHAEL MINTER </u></b>								
12/28/2017	***-**-7150 - HELBER, DEBORAH	FIDELITY CONTRA FUND	1,199.99	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: LSR010334	SELL 10 Units @123.1900						
12/26/2017	***-**-84TR - LOSKORN TTEE,	GRIGGIN INSTL ACCESS CREDIT FND CL	0.00	5.93	0.00	5.93	0.5	0.03
	ACCOUNT #: LSR005975	BUY 1 Units @0.0000						
12/26/2017	***-**-30IO - LEADER, KEVIN	GRIGGIN INSTL ACCESS CREDIT FND CL	0.00	5.91	0.00	5.91	0.5	0.03
	ACCOUNT #: LSR011689	BUY 1 Units @0.0000						
12/26/2017	***-**-645I - MARTINO, DANIEL	GRIGGIN INSTL ACCESS CREDIT FND CL	0.00	6.97	0.00	6.97	0.5	0.03
	ACCOUNT #: LSR012016	BUY 1 Units @0.0000						
12/26/2017	***-**-62IO - ROBERSON, PAMELA	GRIGGIN INSTL ACCESS CREDIT FND CL	0.00	3.88	0.00	3.88	0.5	0.02
	ACCOUNT #: LSR012156	BUY 1 Units @0.0000						
12/26/2017	***-**-26IN - DIBENEDETTO,	LIFESTYLE BALANCED PORTFOLIO C	0.00	24.04	0.00	24.04	0.5	0.12
	ACCOUNT #: LSR004448	BUY 1 Units @0.0000						
12/28/2017	***-**-23IO - SANCHEZ, BARBARA	LIVEWELL MUTUAL FUND	0.00	4.37	0.00	4.37	0.5	0.02
	ACCOUNT #: 7200019918	BUY 0 Units @0.0000						
12/28/2017	***-**-981I - WARD, WILLIAM	LIVEWELL MUTUAL FUND	0.00	11.12	0.00	11.12	0.5	0.06
	ACCOUNT #: 7200023782	BUY 0 Units @0.0000						
12/18/2017	***-**-26IN - MINTER, MICHAEL	PACIFIC LIFE SE5-NYB DTCC	150.43	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VP71062870	BUY 0 Units @0.0000						
12/18/2017	***-**-26IN - MINTER, MICHAEL	PACIFIC LIFE SE5-NYB DTCC	156.57	3.13	0.00	3.13	0.5	0.02
	ACCOUNT #: VP71062870	BUY 0 Units @0.0000						
<b> * MICHAEL MINTER SUBTOTAL * </b>			<b>\$1,506.99</b>	<b>\$71.36</b>	<b>\$0.00</b>	<b>\$71.36</b>		<b>\$0.37</b>
<b><u> BROKER: JOSEPH FERRY </u></b>								
12/26/2017	***-**-862I - CARBONE, WILLIAM	CAPITAL APPRECIATION A	0.00	3.99	0.00	3.99	0.5	0.02
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
12/26/2017	***-**-862I - CARBONE, WILLIAM	EQUITY INCOME FUND, INC. A	0.00	6.37	0.00	6.37	0.5	0.03
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
12/26/2017	***-**-862I - CARBONE, WILLIAM	GLOBAL FUND A	0.00	4.53	0.00	4.53	0.5	0.02
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
12/26/2017	***-**-862I - CARBONE, WILLIAM	INTERNATIONAL BOND A	0.00	2.74	0.00	2.74	0.5	0.01
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
12/28/2017	***-**-078I - PELLETIER,	LIVEWELL MUTUAL FUND	458.33	4.58	0.00	4.58	0.5	0.02
	ACCOUNT #: 7200000132	BUY 0 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: JOSEPH FERRY (continued) </u></b>								
12/28/2017	***-**-90SI - MACARON, SHADY	LIVEWELL MUTUAL FUND	0.00	70.14	0.00	70.14	0.5	0.35
	ACCOUNT #: 7200000120	BUY 0 Units @0.0000						
12/28/2017	***-**-187I - HENEBERY, KEVIN	LIVEWELL MUTUAL FUND	0.00	31.98	0.00	31.98	0.5	0.16
	ACCOUNT #: 7200000440	BUY 0 Units @0.0000						
12/28/2017	***-**-027I - ADAMAITIS,	LIVEWELL MUTUAL FUND	0.00	9.26	0.00	9.26	0.5	0.05
	ACCOUNT #: 7200011626	BUY 0 Units @0.0000						
12/28/2017	***-**-788I - LIPEIKA, RONALD	LIVEWELL MUTUAL FUND	0.00	45.34	0.00	45.34	0.5	0.23
	ACCOUNT #: 7200016493	BUY 0 Units @0.0000						
12/28/2017	***-**-118I - MORRO, CHARLOTTE	LIVEWELL MUTUAL FUND	0.00	8.08	0.00	8.08	0.5	0.04
	ACCOUNT #: 7200021809	BUY 0 Units @0.0000						
12/26/2017	***-**-968X - GAW, LELAND	MASSACHUSETTS INVESTORS TRUST-A	0.00	6.31	0.00	6.31	0.5	0.03
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
12/26/2017	***-**-552I - FAMIGLIETTI,	MASSACHUSETTS INVESTORS TRUST-A	0.00	6.41	0.00	6.41	0.5	0.03
	ACCOUNT #: LSR011127	BUY 1 Units @0.0000						
12/26/2017	***-**-968X - GAW, LELAND	MFS LIMITED MATURITY FUND-A	0.00	1.65	0.00	1.65	0.5	0.01
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
12/26/2017	***-**-552I - FAMIGLIETTI,	MFS RESEARCH BOND FUND CLASS A	0.00	1.48	0.00	1.48	0.5	0.01
	ACCOUNT #: LSR011127	BUY 1 Units @0.0000						
12/26/2017	***-**-968X - GAW, LELAND	MFS STRATEGIC INCOME FUND-A	0.00	4.57	0.00	4.57	0.5	0.02
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
12/26/2017	***-**-552X - FAMIGLIETTI,	MFS STRATEGIC INCOME FUND-A	0.00	5.01	0.00	5.01	0.5	0.03
	ACCOUNT #: LSR010780	BUY 1 Units @0.0000						
12/26/2017	***-**-862I - CARBONE, WILLIAM	OPPENHEIMER CORE BOND FUND A	0.00	5.02	0.00	5.02	0.5	0.03
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
12/26/2017	***-**-862I - CARBONE, WILLIAM	OPPENHEIMER U.S. GOVT TR CLASS A	0.00	4.88	0.00	4.88	0.5	0.02
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
<b>* JOSEPH FERRY SUBTOTAL *</b>			<b>\$458.33</b>	<b>\$222.34</b>	<b>\$0.00</b>	<b>\$222.34</b>		<b>\$1.11</b>
<b><u> BROKER: SANDINO VERRELLI </u></b>								
12/28/2017	***-**-092K - LENNON, JENNY	LIVEWELL MUTUAL FUND	0.00	4.11	0.00	4.11	0.5	0.02
	ACCOUNT #: 7200007783	BUY 0 Units @0.0000						
<b>* SANDINO VERRELLI SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$4.11</b>	<b>\$0.00</b>	<b>\$4.11</b>		<b>\$0.02</b>



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV</u></b>								
12/15/2017	***-**-69IN - FOULK, FLOYD ACCOUNT #: 03310275876	ALLIANCEBERNSTEIN GROWTH A BUY 1 Units @77.1400	50.00	2.00	0.00	2.00	0.5	0.01
12/26/2017	***-**-6508 - WILLIAMS, DANA ACCOUNT #: LSR104264	CALAMOS GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	2.99	0.00	2.99	0.5	0.01
12/26/2017	***-**-4720 - ADAMS, FAITH ACCOUNT #: LSR003875	FEDERATED EQUITY INCOME FUND BUY 1 Units @0.0000	0.00	1.10	0.00	1.10	0.5	0.01
12/26/2017	***-**-8170 - YB & J ACCOUNT #: LSR011351	FIRST EAGLE GLOBAL FUND CLASS A BUY 1 Units @0.0000	0.00	1.92	0.00	1.92	0.5	0.01
12/11/2017	***-**-99IN - CASSIDY, GERALD ACCOUNT #: T02759899	GENWORTH 37250G301 BUY 0 Units @0.0000	0.00	15.15	0.00	15.15	0.5	0.08
12/12/2017	***-**-7433 - MISTRY, ACCOUNT #: T02751687	GENWORTH 37250G301 BUY 0 Units @0.0000	0.00	6.47	0.00	6.47	0.5	0.03
12/12/2017	***-**-7434 - MISTRY, SUZY ACCOUNT #: T02751688	GENWORTH 37250G301 BUY 0 Units @0.0000	0.00	6.94	0.00	6.94	0.5	0.03
12/13/2017	***-**-03CL - GEORGIEV, ACCOUNT #: T02748105	GENWORTH 37250G301 BUY 0 Units @0.0000	0.00	3.72	0.00	3.72	0.5	0.02
12/13/2017	***-**-03CL - GEORGIEV, ACCOUNT #: T02748106	GENWORTH 37250G301 BUY 0 Units @0.0000	0.00	5.61	0.00	5.61	0.5	0.03
12/13/2017	***-**-6891 - JANDALI, AMER ACCOUNT #: T02770188	GENWORTH 37250G301 BUY 0 Units @0.0000	0.00	117.65	0.00	117.65	0.5	0.59
12/14/2017	***-**-875I - CHLEBOWSKI, ACCOUNT #: T02765513	GENWORTH 37250G301 BUY 0 Units @0.0000	0.00	3.47	0.00	3.47	0.5	0.02
12/14/2017	***-**-875I - CHLEBOWSKI, ACCOUNT #: T02765514	GENWORTH 37250G301 BUY 0 Units @0.0000	0.00	5.44	0.00	5.44	0.5	0.03
12/15/2017	***-**-03CL - GEORGIEV, ACCOUNT #: T02737056	GENWORTH 37250G301 BUY 0 Units @0.0000	0.00	2.53	0.00	2.53	0.5	0.01
12/18/2017	***-**-1519 - WAHBAH, AMAL ACCOUNT #: T02762314	GENWORTH 37250G301 BUY 0 Units @0.0000	230.00	2.30	0.00	2.30	0.5	0.01
12/18/2017	***-**-6856 - FLOM, MEGAN ACCOUNT #: T02769407	GENWORTH 37250G301 BUY 0 Units @0.0000	208.34	2.09	0.00	2.09	0.5	0.01
12/19/2017	***-**-1519 - WAHBAH, AMAL ACCOUNT #: T02762314	GENWORTH 37250G301 BUY 0 Units @0.0000	0.00	3.60	0.00	3.60	0.5	0.02





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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
12/19/2017	***-**-9505 - NESSIM, SAMIA	GENWORTH 37250G301	100.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: T02776362	BUY 0 Units @0.0000						
12/20/2017	***-**-8359 - SOLIMAN, NERMINE	GENWORTH 37250G301	120.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: T02776351	BUY 0 Units @0.0000						
12/21/2017	***-**-5926 - BROWNE, GAY	GENWORTH 37250G301	0.00	31.22	0.00	31.22	0.5	0.16
	ACCOUNT #: T02762863	BUY 0 Units @0.0000						
12/21/2017	***-**-8359 - SOLIMAN, NERMINE	GENWORTH 37250G301	0.00	2.26	0.00	2.26	0.5	0.01
	ACCOUNT #: T02776351	BUY 0 Units @0.0000						
12/18/2017	***-**-65CL - LIFEMARK,	GENWORTH 37250G459	35.00	1.05	0.00	1.05	0.5	0.01
	ACCOUNT #: T02695941	BUY 0 Units @0.0000						
12/18/2017	***-**-3085 - MARANDOLA,	GENWORTH 37250G475	0.00	2.84	0.00	2.84	0.5	0.01
	ACCOUNT #: T02763092	BUY 0 Units @0.0000						
12/26/2017	***-**-468I - FERA, ANGELO	GLOBAL OPPORTUNITIES A	0.00	4.11	0.00	4.11	0.5	0.02
	ACCOUNT #: LSR009598	BUY 1 Units @0.0000						
12/26/2017	***-**-141I - FELICETTI, MARY	GLOBAL OPPORTUNITIES A	0.00	3.93	0.00	3.93	0.5	0.02
	ACCOUNT #: LSR009695	BUY 1 Units @0.0000						
12/26/2017	***-**-989I - MCCUNE, FRANK	GLOBAL OPPORTUNITIES A	0.00	3.51	0.00	3.51	0.5	0.02
	ACCOUNT #: LSR010237	BUY 1 Units @0.0000						
12/26/2017	***-**-16IR - MITCHELL, JAMES	GOLDMAN SACHS ASSET ALLOC GRWTH STR	0.00	7.29	0.00	7.29	0.5	0.04
	ACCOUNT #: LSR005487	BUY 1 Units @0.0000						
12/26/2017	***-**-85IR - MITCHELL, JANICE	GOLDMAN SACHS ASSET ALLOC GRWTH STR	0.00	6.62	0.00	6.62	0.5	0.03
	ACCOUNT #: LSR005495	BUY 1 Units @0.0000						
12/29/2017	***-**-43IN - RENFRO, CHARLES	GUARDIAN TRAILS	0.00	18.56	0.00	18.56	0.5	0.09
	ACCOUNT #: T210222522	BUY 1 Units @0.0000						
12/15/2017	***-**-524J - BOSSALINA,	HARTFORD CAPITAL APPRECIATION FUND	60.00	2.85	0.00	2.85	0.5	0.01
	ACCOUNT #: 00000143141	BUY 3 Units @19.9800						
12/26/2017	***-**-371I - MASELLI, PIER	HARTFORD CHECKS AND BALANCED FUND C	0.00	3.84	0.00	3.84	0.5	0.02
	ACCOUNT #: LSR009172	BUY 1 Units @0.0000						
12/26/2017	***-**-141I - FELICETTI, MARY	HARTFORD CHECKS AND BALANCED FUND C	0.00	1.69	0.00	1.69	0.5	0.01
	ACCOUNT #: LSR009695	BUY 1 Units @0.0000						
12/26/2017	***-**-521O - MCCUNE, ELAINE	HARTFORD CHECKS AND BALANCED FUND C	0.00	1.29	0.00	1.29	0.5	0.01
	ACCOUNT #: LSR009962	BUY 1 Units @0.0000						



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<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
12/26/2017	***-**-55IO - APPLEBY, SHARON	HIGH YIELD A	0.00	10.90	0.00	10.90	0.5	0.05
	ACCOUNT #: LSR012278	BUY 1 Units @0.0000						
12/21/2017	***-**-82CL - ROSENBERG, D,	INNOVATIVE-SELECT	0.00	151.76	0.00	151.76	0.5	0.76
	ACCOUNT #: VR05048820	BUY 0 Units @0.0000						
12/11/2017	***-**-97IN - ANDERSON, THOMAS	INVESCO AMERICAN FRANCHISE FUND -CL	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 04038905578	BUY 2 Units @22.0000						
12/15/2017	***-**-45IR - HAHN, SHAUN	IVK AMERICAN VALUE FUND - CLASS A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 03909298923	BUY 1 Units @38.8400						
12/26/2017	***-**-9944 - KOKIS, SAMUEL	JOHN HANCOCK CLASSIC VALUE FUND CLA	0.00	1.34	0.00	1.34	0.5	0.01
	ACCOUNT #: LSR104922	BUY 1 Units @0.0000						
12/26/2017	***-**-8658 - SOLOFF, MIRIAM	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	1.96	0.00	1.96	0.5	0.01
	ACCOUNT #: LSR105201	BUY 1 Units @0.0000						
12/26/2017	***-**-209I - FRIED	LIFESTYLE GROWTH PORTFOLIO A	0.00	1.21	0.00	1.21	0.5	0.01
	ACCOUNT #: LSR105805	BUY 1 Units @0.0000						
12/26/2017	***-**-9072 - FRIED, FRAIDEL	LIFESTYLE GROWTH PORTFOLIO A	0.00	1.24	0.00	1.24	0.5	0.01
	ACCOUNT #: LSR105813	BUY 1 Units @0.0000						
12/26/2017	***-**-468I - FERA, ANGELO	LIMITED TERM GOVERNMENT A	0.00	1.75	0.00	1.75	0.5	0.01
	ACCOUNT #: LSR009598	BUY 1 Units @0.0000						
12/15/2017	***-**-6384 - ROME, EDITH	LINCOLN 008148728	-69.00	-1.38	0.00	-1.38	0.5	-0.01
	ACCOUNT #: F1517576	BUY 0 Units @0.0000						
12/18/2017	***-**-62IR - TAMILIA, NANCY	LINCOLN 008148728	67.50	1.35	0.00	1.35	0.5	0.01
	ACCOUNT #: F1523445	BUY 0 Units @0.0000						
12/20/2017	***-**-0527 - LAVISADEH,	LINCOLN 008148728	60.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: F1519393	BUY 0 Units @0.0000						
12/20/2017	***-**-1850 - LEDERGERBER,	LINCOLN 008148728	360.20	7.20	0.00	7.20	0.5	0.04
	ACCOUNT #: F1522963	BUY 0 Units @0.0000						
12/20/2017	***-**-3787 - KURTZ, MICHAEL	LINCOLN 008148728	630.00	12.60	0.00	12.60	0.5	0.06
	ACCOUNT #: F1523994	BUY 0 Units @0.0000						
12/20/2017	***-**-5338 - HAEFLIGER,	LINCOLN 008148728	215.00	4.30	0.00	4.30	0.5	0.02
	ACCOUNT #: F1525199	BUY 0 Units @0.0000						
12/20/2017	***-**-6414 - FANOUS, REDA	LINCOLN 008148728	178.00	3.56	0.00	3.56	0.5	0.02
	ACCOUNT #: F1526468	BUY 0 Units @0.0000						



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<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
12/20/2017	***-**-6650 - LIRA, MIGUEL	LINCOLN 008148728	200.00	4.00	0.00	4.00	0.5	0.02
	ACCOUNT #: F1526823	BUY 0 Units @0.0000						
12/20/2017	***-**-4575 - POTROS, AZER	LINCOLN 008148728	59.00	1.18	0.00	1.18	0.5	0.01
	ACCOUNT #: F1527735	BUY 0 Units @0.0000						
12/20/2017	***-**-0480 - GOBRIAL, SUZAN	LINCOLN 008148728	60.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: F1527744	BUY 0 Units @0.0000						
12/26/2017	***-**-1290 - WEISS, KATHRYN	LINCOLN 008148728	58.60	1.17	0.00	1.17	0.5	0.01
	ACCOUNT #: F1521290	BUY 0 Units @0.0000						
12/27/2017	***-**-0564 - RUBOVITS, DAVID	LINCOLN 008148728	437.00	8.74	0.00	8.74	0.5	0.04
	ACCOUNT #: F1527021	BUY 0 Units @0.0000						
12/27/2017	***-**-0564 - RUBOVITS, DAVID	LINCOLN 008148728	1,560.00	31.20	0.00	31.20	0.5	0.16
	ACCOUNT #: F1527021	BUY 0 Units @0.0000						
12/27/2017	***-**-7117 - SALERNO, VINCENT	LINCOLN 008148728	420.00	8.40	0.00	8.40	0.5	0.04
	ACCOUNT #: F1527117	BUY 0 Units @0.0000						
12/27/2017	***-**-7065 - KHALIL, MARY	LINCOLN 534222120	130.00	3.90	0.00	3.90	0.5	0.02
	ACCOUNT #: 2307963231	BUY 0 Units @0.0000						
12/27/2017	***-**-2230 - WATSON, ROBERT	LINCOLN BENEFIT LIFE VL TRAILS	0.00	59.60	0.00	59.60	0.5	0.30
	ACCOUNT #: 02L1103303	BUY 1 Units @0.0000						
12/27/2017	***-**-0369 - WILLIAMS, DANNY	LINCOLN BENEFIT LIFE VL TRAILS	0.00	61.19	0.00	61.19	0.5	0.31
	ACCOUNT #: 02L1103699	BUY 1 Units @0.0000						
12/27/2017	***-**-0369 - WILLIAMS, DANNY	LINCOLN BENEFIT LIFE VL TRAILS	0.00	19.94	0.00	19.94	0.5	0.10
	ACCOUNT #: 02L1103699	BUY 1 Units @0.0000						
12/27/2017	***-**-0369 - WILLIAMS, DANNY	LINCOLN BENEFIT LIFE VL TRAILS	0.00	3.71	0.00	3.71	0.5	0.02
	ACCOUNT #: 02L1103699	BUY 1 Units @0.0000						
12/28/2017	***-**-4176 - BRISBANE, ARTHUR	LINCOLN BENEFIT LIFE VL TRAILS	0.00	1.89	0.00	1.89	0.5	0.01
	ACCOUNT #: 02LV611462	BUY 1 Units @0.0000						
12/28/2017	***-**-0871 - WASHINGTON,	LINCOLN BENEFIT LIFE VL TRAILS	0.00	1.67	0.00	1.67	0.5	0.01
	ACCOUNT #: 002LV701851	BUY 1 Units @0.0000						
12/28/2017	***-**-99IN - AMBROSENO, NANCY	LINCOLN BENEFIT LIFE VL TRAILS	0.00	1.75	0.00	1.75	0.5	0.01
	ACCOUNT #: 002LV611416	BUY 1 Units @0.0000						
12/26/2017	***-**-2449 - NOURI, SARAH	LINCOLN BENEFIT LIFE VUL	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 02LV609134	BUY 1 Units @50.0000						



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<b><u> BROKER: ALEX GEORGIEV (continued) </u></b>								
12/26/2017	***-**-7014 - BURKE, MARTIN T	LINCOLN BENEFIT LIFE VUL	107.50	4.03	0.00	4.03	0.5	0.02
	ACCOUNT #: 02LV609950	BUY 1 Units @107.5000						
12/26/2017	***-**-2449 - NOURI, SARAH	LINCOLN BENEFIT LIFE VUL	50.00	1.87	0.00	1.87	0.5	0.01
	ACCOUNT #: 02LV609134	BUY 1 Units @50.0000						
12/26/2017	***-**-2449 - NOURI, SARAH	LINCOLN BENEFIT LIFE VUL	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 02LV609134	BUY 1 Units @50.0000						
12/26/2017	***-**-2449 - NOURI, SARAH	LINCOLN BENEFIT LIFE VUL	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 02LV609134	BUY 1 Units @50.0000						
12/27/2017	***-**-3094 -	LINCOLN BENEFIT LIFE VUL	0.00	11.18	0.00	11.18	0.5	0.06
		BUY 1 Units @0.0000						
12/27/2017	***-**-75CL - PALABE, CLIENTS	LINCOLN BENEFIT LIFE VUL	0.00	6.59	0.00	6.59	0.5	0.03
		BUY 1 Units @0.0000						
12/27/2017	***-**-2230 - WATSON, ROBERT	LINCOLN BENEFIT LIFE VUL	0.00	16.97	0.00	16.97	0.5	0.08
	ACCOUNT #: 02L1103303	BUY 1 Units @0.0000						
12/28/2017	***-**-03CL - GEORGIEV,	LINCOLN BENEFIT LIFE VUL	0.00	30.38	0.00	30.38	0.5	0.15
		BUY 1 Units @0.0000						
12/28/2017	***-**-4444 - IMBAG, RHEA	LINCOLN BENEFIT LIFE VUL	72.62	2.36	0.00	2.36	0.5	0.01
	ACCOUNT #: 02LV612777	BUY 1 Units @72.6200						
12/28/2017	***-**-2779 - EL WARDANY, SAAD	LINCOLN BENEFIT LIFE VUL	150.00	4.88	0.00	4.88	0.5	0.02
	ACCOUNT #: 02LV609611	BUY 1 Units @150.0000						
12/28/2017	***-**-4176 - BRISBANE, ARTHUR	LINCOLN BENEFIT LIFE VUL	58.08	1.89	0.00	1.89	0.5	0.01
	ACCOUNT #: 02LV611462	BUY 1 Units @58.0800						
12/28/2017	***-**-4176 - BRISBANE, ARTHUR	LINCOLN BENEFIT LIFE VUL	0.00	6.36	0.00	6.36	0.5	0.03
	ACCOUNT #: 02LV611462	BUY 1 Units @0.0000						
12/26/2017	***-**-4726 - SOIFER, SEP	MAIN STREET FUND A	0.00	2.65	0.00	2.65	0.5	0.01
	ACCOUNT #: LSR105236	BUY 1 Units @0.0000						
12/14/2017	***-**-2444 - TARAN, STEPHEN	MASS INVESTORS GROWTH STOCK FUND-B	99.38	3.98	0.00	3.98	0.5	0.02
	ACCOUNT #: 08187067461	BUY 4 Units @25.7000						
12/14/2017	***-**-2444 - TARAN, STEPHEN	MASS INVESTORS GROWTH STOCK FUND-B	287.50	11.50	0.00	11.50	0.5	0.06
	ACCOUNT #: 08187067461	BUY 11 Units @25.7000						
12/27/2017	***-**-650I - CHMIELEWSKI,	MASS MUTUAL CUSIP 57629P105-NFS	150.00	8.25	0.00	8.25	0.5	0.04
	ACCOUNT #: ARN5466944EE	BUY 0 Units @0.0000						



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<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
12/27/2017	***-**-451I - MCLAUGHLIN, ACCOUNT #: ARN5466953EE	MASS MUTUAL CUSIP 57629P105-NFS BUY 0 Units @0.0000	500.00	27.50	0.00	27.50	0.5	0.14
12/29/2017	***-**-8166 - CASEY CR INC. ACCOUNT #: MM8037	MASS MUTUAL VA BUY 1 Units @0.0000	0.00	4.02	0.00	4.02	0.5	0.02
12/29/2017	***-**-8166 - CASEY CR INC. ACCOUNT #: MM8037	MASS MUTUAL VA BUY 1 Units @0.0000	0.00	10.08	0.00	10.08	0.5	0.05
12/29/2017	***-**-03CL - GEORGIEV,	MASS MUTUAL VA BUY 1 Units @0.0000	0.00	23.69	0.00	23.69	0.5	0.12
12/29/2017	***-**-03CL - GEORGIEV,	MASS MUTUAL VA BUY 1 Units @0.0000	0.00	7.28	0.00	7.28	0.5	0.04
12/18/2017	***-**-1789 - TUNZI, UMBERTO ACCOUNT #: 08184428526	MASSACHUSETTS INVESTORS TRUST-A BUY 6 Units @36.3400	200.00	10.00	0.00	10.00	0.5	0.05
12/14/2017	***-**-8490 - BEAYON, RANDALL ACCOUNT #: 08187065472	MFS CORE EQUITY FUND-B BUY 3 Units @27.2300	71.86	2.87	0.00	2.87	0.5	0.01
12/14/2017	***-**-8490 - BEAYON, RANDALL ACCOUNT #: 08187065472	MFS CORE EQUITY FUND-B BUY 3 Units @27.2300	71.86	2.87	0.00	2.87	0.5	0.01
12/14/2017	***-**-2444 - TARAN, STEPHEN ACCOUNT #: 08187067457	MFS CORE EQUITY FUND-B BUY 4 Units @27.2300	99.38	3.98	0.00	3.98	0.5	0.02
12/14/2017	***-**-2444 - TARAN, STEPHEN ACCOUNT #: 08187067457	MFS CORE EQUITY FUND-B BUY 11 Units @27.2300	287.50	11.50	0.00	11.50	0.5	0.06
12/18/2017	***-**-0138 - KILLARY, ROBERT ACCOUNT #: 08187260813	MFS CORE EQUITY FUND-B BUY 15 Units @27.6200	427.93	17.12	0.00	17.12	0.5	0.09
12/18/2017	***-**-0138 - KILLARY, ROBERT ACCOUNT #: 08187260813	MFS CORE EQUITY FUND-B BUY 4 Units @27.6200	106.99	4.28	0.00	4.28	0.5	0.02
12/26/2017	***-**-3125 - ROSENGARTEN, ACCOUNT #: LSR105147	MFS EMERGING GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	1.38	0.00	1.38	0.5	0.01
12/26/2017	***-**-8658 - SOLOFF, MIRIAM ACCOUNT #: LSR105201	MFS EMERGING GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	1.91	0.00	1.91	0.5	0.01
12/26/2017	***-**-60TR - BLANCHARD TRUST, ACCOUNT #: LSR010327	MS INST GLO OPP FD A NFS BUY 1 Units @0.0000	0.00	9.62	0.00	9.62	0.5	0.05
12/28/2017	***-**-4310 - YU, SU FAN ACCOUNT #: N100504310	NATIONWIDE BOA LIFE PLAN SERIES BUY 1 Units @156.0000	156.00	1.56	0.00	1.56	0.5	0.01



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<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
12/28/2017	***-**-4320 - YU, BO WEN ACCOUNT #: N100504320	NATIONWIDE BOA LIFE PLAN SERIES BUY 1 Units @238.0000	238.00	2.38	0.00	2.38	0.5	0.01
12/20/2017	***-**-72IN - EMMERT, EVA ACCOUNT #: 015278558	NATIONWIDE CUSIP 638660589-NFS BUY 0 Units @0.0000	120.00	6.30	0.00	6.30	0.5	0.03
12/28/2017	***-**-7488 - WITHROW, ALAN ACCOUNT #: N100508350	NATIONWIDE NEXT GENERATION II BUY 1 Units @2000.0000	2,000.00	40.00	0.00	40.00	0.5	0.20
12/28/2017	***-**-65CL - LIFEMARK, ACCOUNT #: N100973690	NATIONWIDE NEXT GENERATION II BUY 1 Units @550.0000	550.00	11.00	0.00	11.00	0.5	0.06
12/28/2017	***-**-505P - DULLEN, PAUL ACCOUNT #: 02000022039	OPP AGES 12-14 YEARS A BUY 0 Units @0.0000	25.00	1.00	0.00	1.00	0.5	0.01
12/28/2017	***-**-925P - DANTUONO, LINDA ACCOUNT #: 02000095991	OPP AGES 12-14 YEARS A BUY 0 Units @0.0000	50.00	2.00	0.00	2.00	0.5	0.01
12/28/2017	***-**-925P - DANTUONO, LINDA ACCOUNT #: 2000344921	OPP AGES 12-14 YEARS A BUY 0 Units @0.0000	50.00	2.00	0.00	2.00	0.5	0.01
12/28/2017	***-**-375P - JOHNSON, MARK ACCOUNT #: 02000103700	OPP AGES 12-14 YEARS A BUY 0 Units @0.0000	100.00	4.00	0.00	4.00	0.5	0.02
12/26/2017	***-**-371I - MASELLI, PIER ACCOUNT #: LSR009172	OPPENHEIMER STRATEGIC INCOME FD CL BUY 1 Units @0.0000	0.00	2.98	0.00	2.98	0.5	0.01
12/26/2017	***-**-468I - FERA, ANGELO ACCOUNT #: LSR009598	OPPENHEIMER STRATEGIC INCOME FD CL BUY 1 Units @0.0000	0.00	3.11	0.00	3.11	0.5	0.02
12/26/2017	***-**-989I - MCCUNE, FRANK ACCOUNT #: LSR010237	OPPENHEIMER STRATEGIC INCOME FD CL BUY 1 Units @0.0000	0.00	1.19	0.00	1.19	0.5	0.01
12/18/2017	***-**-65CL - LIFEMARK, ACCOUNT #: VP60939950	PACIFIC LIFE 694474727 BUY 0 Units @0.0000	13,195.00	131.95	0.00	131.95	0.5	0.66
12/19/2017	***-**-576I - ZUBER, WAYNE ACCOUNT #: VR08028914	PACIFIC LIFE INNOV-SELECT BUY 0 Units @0.0000	0.00	23.18	0.00	23.18	0.5	0.12
12/20/2017	***-**-04IN - GREENE, RICHARD ACCOUNT #: VR01053139	PACIFIC LIFE VA CUSIP 694474800 BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-78IN - GOSSELIN, ROLAND ACCOUNT #: VR01053140	PACIFIC LIFE VA CUSIP 694474800 BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-28IN - TORRES, RICHARD ACCOUNT #: VR01053214	PACIFIC LIFE VA CUSIP 694474800 BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02



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<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
12/20/2017	***-**-23IN - ROBINSON, SCOTT	PACIFIC LIFE VA CUSIP 694474800	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053218	BUY 0 Units @0.0000						
12/20/2017	***-**-16IN - ROBBINS, CHARLES	PACIFIC LIFE VA CUSIP 694474800	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053219	BUY 0 Units @0.0000						
12/20/2017	***-**-53IN - NOTTELL, JOHN	PACIFIC LIFE VA CUSIP 694474800	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053235	BUY 0 Units @0.0000						
12/20/2017	***-**-06IN - NERI, DAVID	PACIFIC LIFE VA CUSIP 694474800	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053237	BUY 0 Units @0.0000						
12/20/2017	***-**-84IN - MAYNARD,	PACIFIC LIFE VA CUSIP 694474800	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053244	BUY 0 Units @0.0000						
12/20/2017	***-**-78IN - O'NEILL, JOHN	PACIFIC LIFE VA CUSIP 694474883	75.37	4.15	0.00	4.15	0.5	0.02
	ACCOUNT #: VR01053248	BUY 0 Units @0.0000						
12/20/2017	***-**-66IN - MANZO, ANTHONY	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052726	BUY 0 Units @0.0000						
12/20/2017	***-**-47IN - LUSSIER, BRIAN	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052727	BUY 0 Units @0.0000						
12/20/2017	***-**-14IN - LUPINO, JOSEPH	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052728	BUY 0 Units @0.0000						
12/20/2017	***-**-32IN - LAPRADE, MARK	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052729	BUY 0 Units @0.0000						
12/20/2017	***-**-08IN - LANGLAIS, TODD	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052730	BUY 0 Units @0.0000						
12/20/2017	***-**-78IN - CARMODY, THOMAS	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052794	BUY 0 Units @0.0000						
12/20/2017	***-**-32IN - CARDON, SCOTT	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052795	BUY 0 Units @0.0000						
12/20/2017	***-**-81IN - CAMARA, CHARLES	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052796	BUY 0 Units @0.0000						
12/20/2017	***-**-82IN - BURGESS, STEVEN	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052797	BUY 0 Units @0.0000						
12/20/2017	***-**-49IN - BROUILLARD,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052798	BUY 0 Units @0.0000						



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<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
12/20/2017	***-**-48IN - BROCKWAY, DEAN	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052799	BUY 0 Units @0.0000						
12/20/2017	***-**-90IN - BOYER, JOHN	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052800	BUY 0 Units @0.0000						
12/20/2017	***-**-73IN - BOUCHARD, MARK	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052801	BUY 0 Units @0.0000						
12/20/2017	***-**-84IN - BERGANTINO,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052802	BUY 0 Units @0.0000						
12/20/2017	***-**-63IN - BOOTH, WILLIAM	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052804	BUY 0 Units @0.0000						
12/20/2017	***-**-31IN - ANSLEY, KEVIN	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052806	BUY 0 Units @0.0000						
12/20/2017	***-**-25IN - ADAMO, MICHAEL	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052807	BUY 0 Units @0.0000						
12/20/2017	***-**-11IN - KARBOWSKI,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053135	BUY 0 Units @0.0000						
12/20/2017	***-**-86IN - JUTRAS,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053136	BUY 0 Units @0.0000						
12/20/2017	***-**-17IN - IRELAND, JOHN	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053137	BUY 0 Units @0.0000						
12/20/2017	***-**-10IN - GIGUERE, RAYMOND	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053142	BUY 0 Units @0.0000						
12/20/2017	***-**-00IN - GAVLIK, JOSEPH	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053143	BUY 0 Units @0.0000						
12/20/2017	***-**-16IN - FONTAINE, ROLAND	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053145	BUY 0 Units @0.0000						
12/20/2017	***-**-58IN - FLANDERS, HARVEY	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053146	BUY 0 Units @0.0000						
12/20/2017	***-**-82IN - DIDINO, DAVID	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053150	BUY 0 Units @0.0000						
12/20/2017	***-**-14IN - DIBIASIO, ROBERT	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053151	BUY 0 Units @0.0000						





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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ALEX GEORGIEV (continued) </u></b>								
12/20/2017	***-**-71IN - DESORCY, PAUL	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053152	BUY 0 Units @0.0000						
12/20/2017	***-**-22IN - DELISLE, MICHAEL	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053153	BUY 0 Units @0.0000						
12/20/2017	***-**-27IN - DELBONIS,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053154	BUY 0 Units @0.0000						
12/20/2017	***-**-76IN - D'AGUANNO, JASON	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053155	BUY 0 Units @0.0000						
12/20/2017	***-**-11IN - D CROFT, STEPHEN	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053157	BUY 0 Units @0.0000						
12/20/2017	***-**-40IN - COUTU, CHRIS	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053159	BUY 0 Units @0.0000						
12/20/2017	***-**-69IN - COLEMAN, GREGORY	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053160	BUY 0 Units @0.0000						
12/20/2017	***-**-50IN - COLANTONIO,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053161	BUY 0 Units @0.0000						
12/20/2017	***-**-34IN - CHAMBERLAND,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053162	BUY 0 Units @0.0000						
12/20/2017	***-**-05IN - CASEY, PAUL	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053164	BUY 0 Units @0.0000						
12/20/2017	***-**-89IN - YATES,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053211	BUY 0 Units @0.0000						
12/20/2017	***-**-76IN - WELLER, SCOTT	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053212	BUY 0 Units @0.0000						
12/20/2017	***-**-45IN - SAFFORD, LINWOOD	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053217	BUY 0 Units @0.0000						
12/20/2017	***-**-79IN - RINFRETTE,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053221	BUY 0 Units @0.0000						
12/20/2017	***-**-79IN - RECORDS, DAVID	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053222	BUY 0 Units @0.0000						
12/20/2017	***-**-08IN - RAGOSTA, JAMES	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053223	BUY 0 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
12/20/2017	***-**-29IN - RIMOSHYTUS, ACCOUNT #: VR01053225	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-30IN - ROGERS, SCOTT ACCOUNT #: VR01053226	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-74IN - STERNBERG, ACCOUNT #: VR01053227	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-04IN - O'DONNELL, ACCOUNT #: VR01053233	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-05IN - NYZIO, ROBERT ACCOUNT #: VR01053234	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-64IN - NIQUETTE, ARMAND ACCOUNT #: VR01053236	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-89IN - MIZZONI, THOMAS ACCOUNT #: VR01053241	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-81IN - MARCINIAK, CRAIG ACCOUNT #: VR01053242	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-92IN - MEINERTZ, GARY ACCOUNT #: VR01053243	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-65IN - MORROCCO, ACCOUNT #: VR01053245	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-85IN - NIMIROSKI, BRIAN ACCOUNT #: VR01053246	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-60IN - O'ROURKE, ACCOUNT #: VR01053247	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-25IN - WOYCIECHOWSKI, ACCOUNT #: VR01054448	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-01IN - PEDRO, NELSON ACCOUNT #: VR01054449	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-44IN - CLEARY, BRANDON ACCOUNT #: VR02047687	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
12/20/2017	***-**-70IN - BRAZENOR, SCOTT ACCOUNT #: VR02047688	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
12/20/2017	***-**-68IN - CASEY, JOHN	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR02047689	BUY 0 Units @0.0000						
12/20/2017	***-**-93IN - LUSIGNAN, VICTOR	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR02047691	BUY 0 Units @0.0000						
12/20/2017	***-**-66IN - PACHECO, DAVID	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR02047692	BUY 0 Units @0.0000						
12/20/2017	***-**-79IN - DAVY, DAVID	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR02047693	BUY 0 Units @0.0000						
12/20/2017	***-**-92IN - WALSH, MARK	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR02047694	BUY 0 Units @0.0000						
12/20/2017	***-**-59IN - CARPENTER,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR02047700	BUY 0 Units @0.0000						
12/20/2017	***-**-60IN - RONCI, WILLIAM	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR02047701	BUY 0 Units @0.0000						
12/20/2017	***-**-42IN - LUX, ROBERT	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR02047702	BUY 0 Units @0.0000						
12/20/2017	***-**-57IN - LOWERY, MICHAEL	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR02047703	BUY 0 Units @0.0000						
12/26/2017	***-**-371I - MASELLI, PIER	PIONEER HIGH YIELD FUND A	0.00	3.23	0.00	3.23	0.5	0.02
	ACCOUNT #: LSR009172	BUY 1 Units @0.0000						
12/26/2017	***-**-468I - FERA, ANGELO	PIONEER HIGH YIELD FUND A	0.00	1.90	0.00	1.90	0.5	0.01
	ACCOUNT #: LSR009598	BUY 1 Units @0.0000						
12/26/2017	***-**-989I - MCCUNE, FRANK	PIONEER HIGH YIELD FUND A	0.00	1.51	0.00	1.51	0.5	0.01
	ACCOUNT #: LSR010237	BUY 1 Units @0.0000						
12/26/2017	***-**-817O - YB & J	PRINCIPAL INVESTORS WEST COAST EQTY	0.00	4.67	0.00	4.67	0.5	0.02
	ACCOUNT #: LSR011351	BUY 1 Units @0.0000						
12/28/2017	***-**-00IR - BARTON, MARVELLA	PRINCIPAL LARGE CAP GROWTH CL A	75.00	3.56	0.00	3.56	0.5	0.02
	ACCOUNT #: 00014203079	BUY 0 Units @0.0000						
12/29/2017	***-**-32IN - VESETH, DALE	PRINCIPAL VA TRAILS	0.00	449.37	0.00	449.37	0.5	2.25
	ACCOUNT #: 614809	BUY 1 Units @0.0000						
12/13/2017	***-**-0632 - ST CLAIR,	PUTNAM GLOBAL EQUITY FUND CLASS A	750.00	20.63	0.00	20.63	0.5	0.10
	ACCOUNT #: 00431747675	BUY 46 Units @16.1600						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
12/13/2017	***-**-0632 - ST CLAIR, ACCOUNT #: 00431747675	PUTNAM INTERNATIONAL EQUITY-A BUY 28 Units @26.7600	750.00	20.63	0.00	20.63	0.5	0.10
12/13/2017	***-**-0632 - ST CLAIR, ACCOUNT #: 00431747675	PUTNAM INTL CAPITAL OPPS-A BUY 17 Units @44.0800	750.00	20.63	0.00	20.63	0.5	0.10
12/13/2017	***-**-0632 - ST CLAIR, ACCOUNT #: 00431747675	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 8 Units @92.3100	750.00	20.63	0.00	20.63	0.5	0.10
12/26/2017	***-**-5771 - GOLDBERG, BEN ACCOUNT #: LSR108510	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 1 Units @0.0000	0.00	1.49	0.00	1.49	0.5	0.01
12/26/2017	***-**-8658 - SOLOFF, MIRIAM ACCOUNT #: LSR105201	PUTNAM RESEARCH FUND-A BUY 1 Units @0.0000	0.00	2.25	0.00	2.25	0.5	0.01
12/28/2017	***-**-571R - JONES, CAROLYN ACCOUNT #: 00009176166	SUNAMERICA FOCUSED ALPHA GROWTH CL BUY 0 Units @0.0000	30.00	1.50	0.00	1.50	0.5	0.01
12/26/2017	***-**-371I - MASELLI, PIER ACCOUNT #: LSR009172	VICTORY INTEGRITY MICRO CAP EQUITY BUY 1 Units @0.0000	0.00	6.46	0.00	6.46	0.5	0.03
12/26/2017	***-**-468I - FERA, ANGELO ACCOUNT #: LSR009598	VICTORY INTEGRITY MICRO CAP EQUITY BUY 1 Units @0.0000	0.00	3.75	0.00	3.75	0.5	0.02
12/26/2017	***-**-141I - FELICETTI, MARY ACCOUNT #: LSR009695	VICTORY INTEGRITY MICRO CAP EQUITY BUY 1 Units @0.0000	0.00	3.54	0.00	3.54	0.5	0.02
12/26/2017	***-**-521O - MCCUNE, ELAINE ACCOUNT #: LSR009962	VICTORY INTEGRITY MICRO CAP EQUITY BUY 1 Units @0.0000	0.00	2.19	0.00	2.19	0.5	0.01
12/28/2017	***-**-7139 - MAYER AND, JERRY ACCOUNT #: 0002695146	VICTORY TRAILS BUY 0 Units @0.0000	0.00	12.22	0.00	12.22	0.5	0.06
12/28/2017	***-**-4952 - KRET, JEFFRY ACCOUNT #: 0003046885	VICTORY TRAILS BUY 0 Units @0.0000	0.00	2.09	0.00	2.09	0.5	0.01
12/28/2017	***-**-2516 - ANDRADE, MARIA ACCOUNT #: 00000013443	VICTORY TRAILS BUY 0 Units @0.0000	0.00	4.33	0.00	4.33	0.5	0.02
12/28/2017	***-**-86UT - MELEGRITO, ACCOUNT #: 00000107332	VICTORY TRAILS BUY 0 Units @0.0000	0.00	3.71	0.00	3.71	0.5	0.02
12/28/2017	***-**-638J - BACKHAUS, LINDA ACCOUNT #: 00000013316	VICTORY TRAILS BUY 0 Units @0.0000	0.00	7.34	0.00	7.34	0.5	0.04
12/28/2017	***-**-1977 - MOCK, H. DAVID ACCOUNT #: 00000013443	VICTORY TRAILS BUY 0 Units @0.0000	0.00	13.32	0.00	13.32	0.5	0.07



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
12/28/2017	***-**-19IN - BURKE, MICHAEL	VICTORY TRAILS	0.00	1.15	0.00	1.15	0.5	0.01
	ACCOUNT #: 00000086646	BUY 0 Units @0.0000						
12/28/2017	***-**-2480 - OPEL, JEFFREY	VICTORY TRAILS	0.00	9.86	0.00	9.86	0.5	0.05
	ACCOUNT #: 00000105193	BUY 0 Units @0.0000						
12/28/2017	***-**-89IN - FRYE, NEVERTA	VICTORY TRAILS	0.00	28.62	0.00	28.62	0.5	0.14
	ACCOUNT #: 00000078335	BUY 0 Units @0.0000						
12/28/2017	***-**-3267 - WOOD, MARGARET	VICTORY TRAILS	0.00	17.69	0.00	17.69	0.5	0.09
	ACCOUNT #: 87501463	BUY 0 Units @0.0000						
12/26/2017	***-**-0048 - HARRISON, SARAH	VOYA GLOBAL REAL ESTATE CLASS A	0.00	2.33	0.00	2.33	0.5	0.01
	ACCOUNT #: LSR101702	BUY 1 Units @0.0000						
<b>* ALEX GEORGIEV SUBTOTAL *</b>			<b>\$33,477.36</b>	<b>\$2,079.55</b>	<b>\$0.00</b>	<b>\$2,079.55</b>		<b>\$10.84</b>
<b><u>BROKER: MICHAEL GAROFALO</u></b>								
12/26/2017	***-**-036I - CROWL, LINDA	BLACKROCK BALANCED CAP FD CL C	0.00	45.02	0.00	45.02	0.5	0.23
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
12/26/2017	***-**-992I - SMITH, FBO MR	BLACKROCK BALANCED CAP FD CL C	0.00	29.37	0.00	29.37	0.5	0.15
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
12/26/2017	***-**-036I - CROWL, LINDA	BLACKROCK US MORTGAGE PORT CL C	0.00	17.22	0.00	17.22	0.5	0.09
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
12/26/2017	***-**-992I - SMITH, FBO MR	BLACKROCK US MORTGAGE PORT CL C	0.00	9.28	0.00	9.28	0.5	0.05
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
12/26/2017	***-**-566I - DURKIN, FBO MRS	CAPITAL APPRECIATION A	0.00	5.53	0.00	5.53	0.5	0.03
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
12/26/2017	***-**-228I - BUCHANAN,	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	6.47	0.00	6.47	0.5	0.03
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
12/26/2017	***-**-4000 - ROMIG, MARK	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	9.34	0.00	9.34	0.5	0.05
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
12/26/2017	***-**-228I - BUCHANAN,	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	2.16	0.00	2.16	0.5	0.01
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
12/26/2017	***-**-4000 - ROMIG, MARK	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	3.11	0.00	3.11	0.5	0.02
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
12/26/2017	***-**-566I - DURKIN, FBO MRS	GLOBAL FUND A	0.00	9.27	0.00	9.27	0.5	0.05
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						



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<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: MICHAEL GAROFALO (continued)</u></b>								
12/26/2017	***-**-934I - BEAVERSON, MARY	HARTFORD BALANCED INCOME FD CL C	0.00	7.08	0.00	7.08	0.5	0.04
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
12/26/2017	***-**-184I - BEAVERSON, ROYCE	HARTFORD BALANCED INCOME FD CL C	0.00	9.23	0.00	9.23	0.5	0.05
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
12/26/2017	***-**-566I - DURKIN, FBO MRS	HARTFORD BALANCED INCOME FD CL C	0.00	36.92	0.00	36.92	0.5	0.18
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
12/26/2017	***-**-859X - FREY, RYAN	HARTFORD BALANCED INCOME FD CL C	0.00	67.22	0.00	67.22	0.5	0.34
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
12/26/2017	***-**-630X - WEAVER, NICOLE	HARTFORD BALANCED INCOME FD CL C	0.00	62.86	0.00	62.86	0.5	0.31
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
12/26/2017	***-**-260X - ILGENFRITZ, CORY	HARTFORD BALANCED INCOME FD CL C	0.00	22.94	0.00	22.94	0.5	0.11
	ACCOUNT #: LSR011554	BUY 1 Units @0.0000						
12/26/2017	***-**-181X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	22.76	0.00	22.76	0.5	0.11
	ACCOUNT #: LSR011556	BUY 1 Units @0.0000						
12/26/2017	***-**-055X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	18.20	0.00	18.20	0.5	0.09
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
12/26/2017	***-**-859X - FREY, RYAN	HARTFORD CORE EQUITY CLASS C	0.00	53.62	0.00	53.62	0.5	0.27
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
12/26/2017	***-**-630X - WEAVER, NICOLE	HARTFORD CORE EQUITY CLASS C	0.00	73.12	0.00	73.12	0.5	0.37
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
12/26/2017	***-**-2600 - ILGENFRITZ, TOD	HARTFORD CORE EQUITY CLASS C	0.00	19.84	0.00	19.84	0.5	0.10
	ACCOUNT #: LSR011549	BUY 1 Units @0.0000						
12/26/2017	***-**-373X - MANGANELLO, TIRA	HARTFORD CORE EQUITY CLASS C	0.00	19.41	0.00	19.41	0.5	0.10
	ACCOUNT #: LSR011551	BUY 1 Units @0.0000						
12/27/2017	***-**-99IR - VITALE, DAMIAN	ING ADVANTAGE SELECT	0.00	5.17	0.00	5.17	0.5	0.03
	ACCOUNT #: R073271-ZS	BUY 0 Units @0.0000						
12/27/2017	***-**-94IR - VITALE, LAURIE	ING ADVANTAGE SELECT	0.00	5.17	0.00	5.17	0.5	0.03
	ACCOUNT #: R073283-ZS	BUY 0 Units @0.0000						
12/26/2017	***-**-334I - MCKENNA, EDWARD	LEGG MASON VALUE TRUST CL C	0.00	6.29	0.00	6.29	0.5	0.03
	ACCOUNT #: LSR011377	BUY 1 Units @0.0000						
12/26/2017	***-**-566I - DURKIN, FBO MRS	MAIN STREET FUND A	0.00	11.05	0.00	11.05	0.5	0.06
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> BROKER: MICHAEL GAROFALO (continued) </b>								
12/26/2017	***-**-83IN - SCHWARTZ, EMILY	MASS INVESTORS GROWTH STOCK FUND-A	0.00	5.94	0.00	5.94	0.5	0.03
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
12/26/2017	***-**-83IN - SCHWARTZ, EMILY	MASSACHUSETTS INVESTORS TRUST-A	0.00	6.10	0.00	6.10	0.5	0.03
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
12/26/2017	***-**-859X - FREY, RYAN	MFS GROWTH PORT CLASS C	0.00	38.16	0.00	38.16	0.5	0.19
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
12/26/2017	***-**-630X - WEAVER, NICOLE	MFS GROWTH PORT CLASS C	0.00	53.76	0.00	53.76	0.5	0.27
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
12/26/2017	***-**-181X - ILGENFRITZ,	MFS GROWTH PORT CLASS C	0.00	20.59	0.00	20.59	0.5	0.10
	ACCOUNT #: LSR011555	BUY 1 Units @0.0000						
12/26/2017	***-**-859X - FREY, RYAN	MFS DIVERSIFIED INCOME FD CL C	0.00	64.75	0.00	64.75	0.5	0.32
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
12/26/2017	***-**-630X - WEAVER, NICOLE	MFS DIVERSIFIED INCOME FD CL C	0.00	47.48	0.00	47.48	0.5	0.24
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
12/21/2017	***-**-0550 - ILGENFRITZ, TIM	MFS GLOBAL TOTAL RETURN FUND-C	22,000.00	210.00	0.00	210.00	0.5	1.05
	ACCOUNT #: LSR011557	BUY 1209 Units @18.2000						
12/26/2017	***-**-859X - FREY, RYAN	MFS NEW DISCOVERY VALUE FUND CL C	0.00	18.35	0.00	18.35	0.5	0.09
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
12/26/2017	***-**-630X - WEAVER, NICOLE	MFS NEW DISCOVERY VALUE FUND CL C	0.00	36.69	0.00	36.69	0.5	0.18
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
12/26/2017	***-**-260X - ILGENFRITZ, CORY	MFS NEW DISCOVERY VALUE FUND CL C	0.00	10.27	0.00	10.27	0.5	0.05
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
12/26/2017	***-**-260X - ILGENFRITZ, CORY	PRINCIPAL SMALL-MID CAP DIV INCOME	0.00	9.54	0.00	9.54	0.5	0.05
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
12/26/2017	***-**-055X - ILGENFRITZ,	PRINCIPAL SMALL-MID CAP DIV INCOME	0.00	9.45	0.00	9.45	0.5	0.05
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
12/26/2017	***-**-992I - SMITH, FBO MR	PUTNAM EQUITY INCOME FUND-C	0.00	24.09	0.00	24.09	0.5	0.12
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
12/26/2017	***-**-566I - DURKIN, FBO MRS	QUEST OPPORTUNITY VALUE A	0.00	6.70	0.00	6.70	0.5	0.03
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
<b> * MICHAEL GAROFALO SUBTOTAL * </b>			<b> \$22,000.00 </b>	<b> \$1,139.52 </b>	<b> \$0.00 </b>	<b> \$1,139.52 </b>		<b> \$5.73 </b>



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ARCHIBALD MCMICHAEL</u></b>								
12/26/2017	***-**-52IN - GOODHART, ACCOUNT #: LSR010182	GOLDMAN SACHS DYNAMIC ALLOCATION A BUY 1 Units @0.0000	0.00	3.94	0.00	3.94	0.5	0.02
12/26/2017	***-**-35IN - SPASIC, ALEX ACCOUNT #: 1019791900	JACKSON ELITE ACCESS BUY 0 Units @0.0000	590.00	30.98	0.00	30.98	0.5	0.15
12/26/2017	***-**-978J - OVRUTSKY, VICTOR ACCOUNT #: LSR008869	OPPENHEIMERSTEELPATH MLP SELECT 40 BUY 1 Units @0.0000	0.00	4.95	0.00	4.95	0.5	0.02
* ARCHIBALD MCMICHAEL SUBTOTAL *			\$590.00	\$39.87	\$0.00	\$39.87		\$0.19
<b><u>BROKER: SAMUEL GALATIOTO</u></b>								
12/26/2017	***-**-97IO - WAY, FBO DREW K ACCOUNT #: LSR010105	BLACKROCK 09251M108 BUY 1 Units @0.0000	0.00	1.21	0.00	1.21	0.5	0.01
12/26/2017	***-**-91IO - BROWN, FBO ACCOUNT #: LSR010370	BLACKROCK HEALTH SCIENCES FUND CLAS BUY 1 Units @0.0000	0.00	2.94	0.00	2.94	0.5	0.01
12/26/2017	***-**-97IO - WAY, FBO DREW K ACCOUNT #: LSR010105	BLACKROCK MULTI ASSET INCOME PORT A BUY 1 Units @0.0000	0.00	2.03	0.00	2.03	0.5	0.01
12/26/2017	***-**-91IO - BROWN, FBO ACCOUNT #: LSR010370	BLACKROCK MULTI ASSET INCOME PORT A BUY 1 Units @0.0000	0.00	3.23	0.00	3.23	0.5	0.02
12/26/2017	***-**-31IR - PLATT, ROGER ACCOUNT #: LSR011466	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	2.81	0.00	2.81	0.5	0.01
12/26/2017	***-**-31IO - PLATT, ROGER ACCOUNT #: LSR011467	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	2.28	0.00	2.28	0.5	0.01
12/26/2017	***-**-31IR - PLATT, ROGER ACCOUNT #: LSR011466	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	2.28	0.00	2.28	0.5	0.01
* SAMUEL GALATIOTO SUBTOTAL *			\$0.00	\$16.78	\$0.00	\$16.78		\$0.08
<b><u>BROKER: LARRY SKARSET</u></b>								
12/26/2017	***-**-498I - SKARSET, LARRY ACCOUNT #: LSF000259	THE HARTFORD CAPITAL APPRECIATION F BUY 1 Units @0.0000	0.00	18.01	0.00	18.01	0.5	0.09
12/26/2017	***-**-498I - SKARSET, LARRY ACCOUNT #: LSF000259	THE HARTFORD MID CAP FUND-A BUY 1 Units @0.0000	0.00	8.71	0.00	8.71	0.5	0.04
12/26/2017	***-**-50TR - HULEGAARD TTEE, ACCOUNT #: LSR010112	THE HARTFORD MID CAP FUND-A BUY 1 Units @0.0000	0.00	3.60	0.00	3.60	0.5	0.02
* LARRY SKARSET SUBTOTAL *			\$0.00	\$30.32	\$0.00	\$30.32		\$0.15





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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ROBERT ADAMS </u></b>								
12/15/2017	***-**-05CL - ADAMS, CLIENTS	FA 529 PORT 2022-CL A	150.00	5.63	0.00	5.63	0.5	0.03
	ACCOUNT #: 01460802760	BUY 5 Units @28.0000						
12/27/2017	***-**-38IN - HARMON, DAVID	ING VA 380789701	0.00	14.61	0.00	14.61	0.5	0.07
	ACCOUNT #: I222571-0W	BUY 0 Units @0.0000						
		<b>* ROBERT ADAMS SUBTOTAL *</b>	<b>\$150.00</b>	<b>\$20.24</b>	<b>\$0.00</b>	<b>\$20.24</b>		<b>\$0.10</b>
<b><u> BROKER: ALPHONSO HOUSTON </u></b>								
12/27/2017	***-**-196I - GRANT, NURIA	3M CO COM	2,363.00	14.80	0.00	14.80	0.5	0.07
	ACCOUNT #: LSR010257	SELL 10 Units @236.3000						
12/27/2017	***-**-464O - GRANT P/ADM,	ABBVIE INC COM USD0.01	1,468.05	14.70	0.00	14.70	0.5	0.07
	ACCOUNT #: LSR010256	SELL 15 Units @97.8700						
12/27/2017	***-**-64IN - GRANT, KEVIN	ADOBE SYS INC	1,746.30	14.80	0.00	14.80	0.5	0.07
	ACCOUNT #: LSR010253	SELL 10 Units @174.6300						
12/27/2017	***-**-464O - GRANT P/ADM,	ADOBE SYS INC	2,620.95	14.70	0.00	14.70	0.5	0.07
	ACCOUNT #: LSR010256	SELL 15 Units @174.7300						
12/27/2017	***-**-64IN - GRANT, KEVIN	ALCOA CORP COM	1,187.03	14.54	0.00	14.54	0.5	0.07
	ACCOUNT #: LSR010253	SELL 23 Units @51.6100						
12/27/2017	***-**-464O - GRANT P/ADM,	ALIBABA GROUP HLDG LTD SPONSORED AD	2,596.95	14.70	0.00	14.70	0.5	0.07
	ACCOUNT #: LSR010256	SELL 15 Units @173.1300						
12/27/2017	***-**-64IN - GRANT, KEVIN	ALUMINUM CORP OF CHINA ADR EACH REP	1,795.00	7.87	0.00	7.87	0.5	0.04
	ACCOUNT #: LSR010253	SELL 100 Units @17.9500						
12/27/2017	***-**-64IN - GRANT, KEVIN	ALUMINUM CORP OF CHINA ADR EACH REP	1,795.00	7.87	0.00	7.87	0.5	0.04
	ACCOUNT #: LSR010253	SELL 100 Units @17.9500						
12/27/2017	***-**-464O - GRANT P/ADM,	AMAZON.COM INC UNSOLICITED ORDER	3,548.37	12.00	0.00	12.00	0.5	0.06
	ACCOUNT #: LSR010256	SELL 3 Units @1182.7900						
12/27/2017	***-**-196I - GRANT, NURIA	AMERICAN AIRLINES GROUP INC COM USD	835.52	14.68	0.00	14.68	0.5	0.07
	ACCOUNT #: LSR010257	SELL 16 Units @52.2200						
12/27/2017	***-**-464O - GRANT P/ADM,	BAIDU INC SPONS ADS REPR 0.10 ORD C	2,373.80	14.80	0.00	14.80	0.5	0.07
	ACCOUNT #: LSR010256	SELL 10 Units @237.3800						
12/27/2017	***-**-464O - GRANT P/ADM,	COCA COLA CO UNSOLICITED ORDER	1,007.93	14.56	0.00	14.56	0.5	0.07
	ACCOUNT #: LSR010256	SELL 22 Units @45.8150						
12/27/2017	***-**-464O - GRANT P/ADM,	EATON CORP PLC COM UNSOLICITED ORDE	852.61	14.78	0.00	14.78	0.5	0.07
	ACCOUNT #: LSR010256	SELL 11 Units @77.5100						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ALPHONSO HOUSTON (continued) </u></b>								
12/27/2017	***-**-64IN - GRANT, KEVIN ACCOUNT #: LSR010253	HARRIS CORP DEL SELL 6 Units @143.0300	858.18	14.88	0.00	14.88	0.5	0.07
12/27/2017	***-**-4640 - GRANT P/ADM, ACCOUNT #: LSR010256	HARRIS CORP DEL SELL 7 Units @142.9300	1,000.51	20.86	0.00	20.86	0.5	0.10
12/27/2017	***-**-4640 - GRANT P/ADM, ACCOUNT #: LSR010256	HARRIS CORP DEL SELL 2 Units @142.9400	285.88	5.96	0.00	5.96	0.5	0.03
12/27/2017	***-**-4640 - GRANT P/ADM, ACCOUNT #: LSR010256	HAWAIIAN HLDGS INC SELL 20 Units @40.2500	805.00	12.00	0.00	12.00	0.5	0.06
12/27/2017	***-**-196I - GRANT, NURIA ACCOUNT #: LSR010257	ISHARES TRUST MSCI GERMANY SMALL CA SELL 30 Units @63.8740	1,916.22	14.40	0.00	14.40	0.5	0.07
12/27/2017	***-**-4640 - GRANT P/ADM, ACCOUNT #: LSR010256	ISHARES U S HEALTHCARE PROVIDERS ET SELL 9 Units @157.1400	1,414.26	14.82	0.00	14.82	0.5	0.07
12/27/2017	***-**-64IN - GRANT, KEVIN ACCOUNT #: LSR010253	MASTERCARD INC CL A UNSOLICITED ORD SELL 10 Units @151.3800	1,513.80	14.80	0.00	14.80	0.5	0.07
12/27/2017	***-**-196I - GRANT, NURIA ACCOUNT #: LSR010258	MGM RESORTS INTERNATIONAL SELL 20 Units @33.4000	668.00	14.60	0.00	14.60	0.5	0.07
12/27/2017	***-**-4640 - GRANT P/ADM, ACCOUNT #: LSR010256	NICE LTD ADR-EACH CNV INTO 1 ORD IL SELL 13 Units @89.0200	1,157.26	14.74	0.00	14.74	0.5	0.07
12/27/2017	***-**-4640 - GRANT P/ADM, ACCOUNT #: LSR010256	NORTHSTAR REALTY EUROPE CORP COM UN SELL 21 Units @13.4700	282.87	5.21	0.00	5.21	0.5	0.03
12/27/2017	***-**-196I - GRANT, NURIA ACCOUNT #: LSR010257	NORTHSTAR REALTY EUROPE CORP COM UN SELL 33 Units @13.5100	445.83	6.00	0.00	6.00	0.5	0.03
12/27/2017	***-**-4640 - GRANT P/ADM, ACCOUNT #: LSR010256	S&P GLOBAL INC COM SELL 16 Units @169.4200	2,710.72	14.68	0.00	14.68	0.5	0.07
12/26/2017	***-**-8760 - LULAC NATL ACCOUNT #: LSR010379	STRATEGIC INCOME C BUY 1 Units @0.0000	0.00	493.66	0.00	493.66	0.5	2.47
12/27/2017	***-**-4640 - GRANT P/ADM, ACCOUNT #: LSR010256	STRYKER CORP UNSOLICITED ORDER SELL 14 Units @155.4200	2,175.88	14.72	0.00	14.72	0.5	0.07
12/27/2017	***-**-4640 - GRANT P/ADM, ACCOUNT #: LSR010256	SYNCHRONY FINANCIAL COM USD0.001 SELL 54 Units @38.5100	2,079.54	13.92	0.00	13.92	0.5	0.07
12/27/2017	***-**-4640 - GRANT P/ADM, ACCOUNT #: LSR010256	TESLA MOTORS INC COM USD0.001 UNSOL SELL 5 Units @312.8500	1,564.25	14.90	0.00	14.90	0.5	0.07



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALPHONSO HOUSTON (continued)</u></b>								
12/27/2017	***-**-196I - GRANT, NURIA	TEXTRON INC COM	798.56	12.72	0.00	12.72	0.5	0.06
	ACCOUNT #: LSR010257	SELL 14 Units @57.0400						
12/27/2017	***-**-196I - GRANT, NURIA	TEXTRON INC COM	57.04	1.98	0.00	1.98	0.5	0.01
	ACCOUNT #: LSR010257	SELL 1 Units @57.0400						
12/27/2017	***-**-4640 - GRANT P/ADM,	UNILEVER PLC ADS-EA REPR 1 ORD GPB0	997.38	14.64	0.00	14.64	0.5	0.07
	ACCOUNT #: LSR010256	SELL 18 Units @55.4100						
12/27/2017	***-**-4640 - GRANT P/ADM,	VISA INC COM CL A UNSOLICITED ORDER	1,936.64	14.66	0.00	14.66	0.5	0.07
	ACCOUNT #: LSR010256	SELL 17 Units @113.9200						
		<b>* ALPHONSO HOUSTON SUBTOTAL *</b>	<b>\$46,858.33</b>	<b>\$908.95</b>	<b>\$0.00</b>	<b>\$908.95</b>		<b>\$4.47</b>
<b><u>BROKER: JOSEPH STURGES</u></b>								
12/24/2017	***-**-76IN - DAHLKE, JEFF	ING VA 380789883	0.00	19.80	0.00	19.80	0.5	0.10
	ACCOUNT #: C125442-0X	BUY 0 Units @0.0000						
		<b>* JOSEPH STURGES SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$19.80</b>	<b>\$0.00</b>	<b>\$19.80</b>		<b>\$0.10</b>
<b><u>BROKER: JON HEDDLESON</u></b>								
12/28/2017	***-**-30IN - HOLLINGSWORTH,	METLIFE VA TRAILS	0.00	1.52	0.00	1.52	0.5	0.01
	ACCOUNT #: 230003394	BUY 1 Units @0.0000						
12/28/2017	***-**-737I - SULLIVAN, TERESA	METLIFE VA TRAILS	0.00	1.52	0.00	1.52	0.5	0.01
	ACCOUNT #: 230003447	BUY 1 Units @0.0000						
		<b>* JON HEDDLESON SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$3.04</b>	<b>\$0.00</b>	<b>\$3.04</b>		<b>\$0.02</b>
<b><u>BROKER: TIMOTHY STATES</u></b>								
12/22/2017	***-**-66IN - STATES, MR	CISCO SYSTEMS INC	2,700.95	1.01	0.00	1.01	0.5	0.01
	ACCOUNT #: LSF000281	SELL 70 Units @38.5850						
12/26/2017	***-**-437K - SIGUE, JOCELYN	GLOBAL OPPORTUNITIES A	0.00	2.05	0.00	2.05	0.5	0.01
	ACCOUNT #: LSR012251	BUY 1 Units @0.0000						
12/26/2017	***-**-826I - CLARK, FBO MS	GLOBAL OPPORTUNITIES A	0.00	7.73	0.00	7.73	0.5	0.04
	ACCOUNT #: LSR010588	BUY 1 Units @0.0000						
12/26/2017	***-**-537I - EDELIN, FBO MS	GLOBAL OPPORTUNITIES A	0.00	2.14	0.00	2.14	0.5	0.01
	ACCOUNT #: LSR010592	BUY 1 Units @0.0000						
12/26/2017	***-**-58IN - LUTZ, SAMUEL	GLOBAL OPPORTUNITIES A	0.00	5.89	0.00	5.89	0.5	0.03
	ACCOUNT #: LSR010594	BUY 1 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: TIMOTHY STATES (continued)</u> </b>								
12/26/2017	***-**-35IN - ANTOINE, MS	GLOBAL OPPORTUNITIES A	0.00	1.87	0.00	1.87	0.5	0.01
	ACCOUNT #: LSR010597	BUY 1 Units @0.0000						
12/26/2017	***-**-74IN - DAVIS, MISCHA	GLOBAL OPPORTUNITIES A	0.00	3.21	0.00	3.21	0.5	0.02
	ACCOUNT #: LSR010627	BUY 1 Units @0.0000						
12/26/2017	***-**-574I - DAVIS, MISCHA	GLOBAL OPPORTUNITIES A	0.00	7.28	0.00	7.28	0.5	0.04
	ACCOUNT #: LSR010630	BUY 1 Units @0.0000						
12/26/2017	***-**-736J - HIGHSMITH SR,	GLOBAL OPPORTUNITIES A	0.00	3.31	0.00	3.31	0.5	0.02
	ACCOUNT #: LSR010785	BUY 1 Units @0.0000						
12/26/2017	***-**-736X - HIGHSMITH SR,	GLOBAL OPPORTUNITIES A	0.00	2.14	0.00	2.14	0.5	0.01
	ACCOUNT #: LSR010791	BUY 1 Units @0.0000						
12/26/2017	***-**-423I - JONES, BRENDA	GLOBAL OPPORTUNITIES A	0.00	2.14	0.00	2.14	0.5	0.01
	ACCOUNT #: LSR010837	BUY 1 Units @0.0000						
12/26/2017	***-**-58TR - HOUSING	GLOBAL OPPORTUNITIES A	0.00	1.74	0.00	1.74	0.5	0.01
	ACCOUNT #: LSR010838	BUY 1 Units @0.0000						
12/26/2017	***-**-160O - BRANTLEY, JULIUS	MAIN STREET FUND A	0.00	3.58	0.00	3.58	0.5	0.02
	ACCOUNT #: LSR011043	BUY 1 Units @0.0000						
12/26/2017	***-**-09IO - BRITT, EDWINA	VICTORY S & P 500 INDEX CL A	0.00	1.89	0.00	1.89	0.5	0.01
	ACCOUNT #: LSR011800	BUY 1 Units @0.0000						
<b> * TIMOTHY STATES SUBTOTAL *</b>			<b>\$2,700.95</b>	<b>\$45.98</b>	<b>\$0.00</b>	<b>\$45.98</b>		<b>\$0.25</b>
<b> <u>BROKER: JUAN LEWIS</u> </b>								
12/26/2017	***-**-109I - CHASE, SHAWNA	CLEARBRIDGE AGGRESSIVE GROWTH A	0.00	1.97	0.00	1.97	0.5	0.01
	ACCOUNT #: LSR010851	BUY 1 Units @0.0000						
12/26/2017	***-**-109I - CHASE, SHAWNA	CLEARBRIDGE ALL CAP VALUE A	0.00	2.26	0.00	2.26	0.5	0.01
	ACCOUNT #: LSR010851	BUY 1 Units @0.0000						
12/26/2017	***-**-109I - CHASE, SHAWNA	CLEARBRIDGE GLBL GRW TRUST CL A	0.00	1.51	0.00	1.51	0.5	0.01
	ACCOUNT #: LSR010851	BUY 1 Units @0.0000						
12/26/2017	***-**-720I - LAZARUS, JORGE	PUTNAM TAX-FREE HIGH YIELD FUND CLA	0.00	2.20	0.00	2.20	0.5	0.01
	ACCOUNT #: LSR010717	BUY 1 Units @0.0000						
<b> * JUAN LEWIS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$7.94</b>	<b>\$0.00</b>	<b>\$7.94</b>		<b>\$0.04</b>



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DAVID GRIFFITH</u></b>								
12/12/2017	***-**-6762 - MISHLANIE, ACCOUNT #: 00551362260	37252M108 BUY 5 Units @21.1500	115.00	5.75	0.00	5.75	0.5	0.03
12/26/2017	***-**-656I - SMOLA, STANLEY ACCOUNT #: LSR010868	CAPITAL APPRECIATION C BUY 1 Units @0.0000	0.00	9.44	0.00	9.44	0.5	0.05
12/26/2017	***-**-077I - GAUTHIER, LOUIS ACCOUNT #: LSR010901	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	1.51	0.00	1.51	0.5	0.01
12/26/2017	***-**-41IN - HULL, ELMINA ACCOUNT #: LSR010999	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	3.66	0.00	3.66	0.5	0.02
12/26/2017	***-**-369I - DAVIS, BRUCE ACCOUNT #: LSR011029	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	1.89	0.00	1.89	0.5	0.01
12/26/2017	***-**-718J - LOWE, GREGORY ACCOUNT #: LSR011914	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	1.16	0.00	1.16	0.5	0.01
12/26/2017	***-**-61IN - GORCZYNSKI, ACCOUNT #: LSR010844	GLOBAL OPPORTUNITIES FUND C BUY 1 Units @0.0000	0.00	48.46	0.00	48.46	0.5	0.24
12/26/2017	***-**-24TR - SCOTELLARO, L ACCOUNT #: LSR010973	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	1.10	0.00	1.10	0.5	0.01
12/26/2017	***-**-369I - DAVIS, BRUCE ACCOUNT #: LSR011029	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	2.05	0.00	2.05	0.5	0.01
12/26/2017	***-**-319I - HILLEBRAND, JOAN ACCOUNT #: LSR011002	INTERNATIONAL DIVERSIFIED FUND B BUY 1 Units @0.0000	0.00	3.95	0.00	3.95	0.5	0.02
12/26/2017	***-**-077I - GAUTHIER, LOUIS ACCOUNT #: LSR010901	INTERNATIONAL GROWTH FUND A BUY 1 Units @0.0000	0.00	2.01	0.00	2.01	0.5	0.01
12/26/2017	***-**-656I - SMOLA, STANLEY ACCOUNT #: LSR010868	INTERNATIONAL GROWTH FUND C BUY 1 Units @0.0000	0.00	9.50	0.00	9.50	0.5	0.05
12/29/2017	***-**-077O - GAUTHIER, LOUIS ACCOUNT #: 828693	MASS MUTUAL VA BUY 1 Units @0.0000	0.00	4.31	0.00	4.31	0.5	0.02
12/26/2017	***-**-355I - CRIMMINS, KAREN ACCOUNT #: LSR011077	MFS GLOBAL ALTERNATIVE STRAT B BUY 1 Units @0.0000	0.00	1.57	0.00	1.57	0.5	0.01
12/26/2017	***-**-94IO - PERRITANO, ACCOUNT #: LSR011099	MFS GLOBAL MULTI ASSET FUND CLASS B BUY 1 Units @0.0000	0.00	2.19	0.00	2.19	0.5	0.01
12/26/2017	***-**-355I - CRIMMINS, KAREN ACCOUNT #: LSR011077	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.55	0.00	2.55	0.5	0.01



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<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: DAVID GRIFFITH (continued)</u> </b>								
12/26/2017	***-**-73IN - SCOTELLARO IV, ACCOUNT #: LSR011086	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.47	0.00	2.47	0.5	0.01
12/26/2017	***-**-94IO - PERRITANO, ACCOUNT #: LSR011099	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.40	0.00	2.40	0.5	0.01
12/26/2017	***-**-187I - WASZKIEWICZ, FBO ACCOUNT #: LSR011144	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.10	0.00	2.10	0.5	0.01
12/26/2017	***-**-43IR - HARDY, FBO MR ACCOUNT #: LSR011175	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.54	0.00	1.54	0.5	0.01
12/26/2017	***-**-59IR - HARDY, FBO MRS ACCOUNT #: LSR011177	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.30	0.00	1.30	0.5	0.01
12/26/2017	***-**-387I - KINNEY, DOUGLAS ACCOUNT #: LSR011322	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.24	0.00	1.24	0.5	0.01
12/26/2017	***-**-1300 - MUMIN, ACCOUNT #: LSR011333	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.67	0.00	1.67	0.5	0.01
12/26/2017	***-**-3017 - MUMIN, MESSIAH ACCOUNT #: LSR011334	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.67	0.00	1.67	0.5	0.01
12/26/2017	***-**-0642 - MUMIN, MARY JO ACCOUNT #: LSR011335	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.67	0.00	1.67	0.5	0.01
12/26/2017	***-**-41TR - DAMSKY, BARRY ACCOUNT #: LSR011340	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.42	0.00	2.42	0.5	0.01
12/26/2017	***-**-499X - LARA M TUR, SPS ACCOUNT #: LSR011400	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	0.5	0.01
12/26/2017	***-**-28IO - CROWE, EMILY ACCOUNT #: LSR011427	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.51	0.00	2.51	0.5	0.01
12/26/2017	***-**-13IR - MURRAY, JOHN ACCOUNT #: LSR011459	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.24	0.00	1.24	0.5	0.01
12/26/2017	***-**-901O - DRUMM, LOIS ACCOUNT #: LSR011609	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	3.97	0.00	3.97	0.5	0.02
12/26/2017	***-**-29IO - LORUSSO, VINCENT ACCOUNT #: LSR011681	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	3.59	0.00	3.59	0.5	0.02
12/26/2017	***-**-13IR - E, KAREN ACCOUNT #: LSR011953	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.02	0.00	2.02	0.5	0.01



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DAVID GRIFFITH (continued)</u></b>								
12/26/2017	***-**-29IO - LORUSSO, VINCENT	MFS TECHNOLOGY FUND-B	0.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: LSR011681	BUY 1 Units @0.0000						
12/26/2017	***-**-13IR - E, KAREN	MFS TECHNOLOGY FUND-B	0.00	1.49	0.00	1.49	0.5	0.01
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
12/26/2017	***-**-621I - DANIELS SR, JOHN	MFS UTILITIES FUND-B	0.00	3.61	0.00	3.61	0.5	0.02
	ACCOUNT #: LSR010950	BUY 1 Units @0.0000						
12/26/2017	***-**-698J - FEISTHAMEL, MR	MFS UTILITIES FUND-B	0.00	1.76	0.00	1.76	0.5	0.01
	ACCOUNT #: LSR011137	BUY 1 Units @0.0000						
12/26/2017	***-**-614I - MARIOTTI, CINDY	MFS UTILITIES FUND-B	0.00	2.70	0.00	2.70	0.5	0.01
	ACCOUNT #: LSR011535	BUY 1 Units @0.0000						
12/26/2017	***-**-13IR - E, KAREN	MFS UTILITIES FUND-B	0.00	1.36	0.00	1.36	0.5	0.01
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
12/27/2017	***-**-53TR - SABANOSH, ALAN R	NORDIC AMERICAN TANKERS LIMITE COM	1,004.00	26.99	0.00	26.99	0.5	0.13
	ACCOUNT #: LSR010917	SELL 400 Units @2.5100						
12/26/2017	***-**-077I - GAUTHIER, LOUIS	OPP PORT SERIES: ACTIVE ALLOC A	0.00	2.34	0.00	2.34	0.5	0.01
	ACCOUNT #: LSR010901	BUY 1 Units @0.0000						
12/26/2017	***-**-61IN - GORCZYNSKI,	OPPENHEIMER GLBL REAL ESTATE FUND C	0.00	13.34	0.00	13.34	0.5	0.07
	ACCOUNT #: LSR010844	BUY 1 Units @0.0000						
12/26/2017	***-**-656I - SMOLA, STANLEY	OPPENHEIMER GLBL REAL ESTATE FUND C	0.00	3.34	0.00	3.34	0.5	0.02
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
12/26/2017	***-**-61IN - GORCZYNSKI,	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	39.88	0.00	39.88	0.5	0.20
	ACCOUNT #: LSR010844	BUY 1 Units @0.0000						
12/26/2017	***-**-621I - DANIELS SR, JOHN	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	3.97	0.00	3.97	0.5	0.02
	ACCOUNT #: LSR010950	BUY 1 Units @0.0000						
12/26/2017	***-**-244I - BURTON, RICHARD	PL PORTFOLIO OPTIMIZATION MODERATE	0.00	1.98	0.00	1.98	0.5	0.01
	ACCOUNT #: LSR011997	BUY 1 Units @0.0000						
12/26/2017	***-**-05IN - HULL, JEFFERY	PRINCIPAL INVESTORS HIGH YIELD CL	0.00	6.02	0.00	6.02	0.5	0.03
	ACCOUNT #: LSR011187	BUY 1 Units @0.0000						
12/26/2017	***-**-355I - CRIMMINS, KAREN	PUTNAM 1	0.00	1.68	0.00	1.68	0.5	0.01
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
12/26/2017	***-**-187I - WASZKIEWICZ, FBO	PUTNAM 1	0.00	1.54	0.00	1.54	0.5	0.01
	ACCOUNT #: LSR011144	BUY 1 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: DAVID GRIFFITH (continued)</u></b>								
12/26/2017	***-**-231J - MISHLANIE JR, ACCOUNT #: LSR010927	PUTNAM CAPITAL SPECTRUM FD CL B BUY 1 Units @0.0000	0.00	2.45	0.00	2.45	0.5	0.01
12/26/2017	***-**-91IR - DAMSKY, MELISSA ACCOUNT #: LSR010928	PUTNAM CAPITAL SPECTRUM FD CL B BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	0.5	0.01
12/26/2017	***-**-869I - CASEY, JOHN ACCOUNT #: LSR011323	PUTNAM CAPITAL SPECTRUM FD CL B BUY 1 Units @0.0000	0.00	1.20	0.00	1.20	0.5	0.01
12/26/2017	***-**-973I - CHAMBERS, ROGER ACCOUNT #: LSR011018	PUTNAM CAPITAL SPECTRUM FUND CL C BUY 1 Units @0.0000	0.00	12.12	0.00	12.12	0.5	0.06
12/26/2017	***-**-21IN - DANIELS, JOHN ACCOUNT #: LSR010967	PUTNAM DIVERSIFIED INCOME-B BUY 1 Units @0.0000	0.00	2.52	0.00	2.52	0.5	0.01
12/26/2017	***-**-41IN - HULL, ELMINA ACCOUNT #: LSR010999	PUTNAM DIVERSIFIED INCOME-B BUY 1 Units @0.0000	0.00	5.85	0.00	5.85	0.5	0.03
12/26/2017	***-**-21IN - DANIELS, JOHN ACCOUNT #: LSR010967	PUTNAM EQUITY INCOME FUND-B BUY 1 Units @0.0000	0.00	4.40	0.00	4.40	0.5	0.02
12/26/2017	***-**-355I - CRIMMINS, KAREN ACCOUNT #: LSR011077	PUTNAM EQUITY INCOME FUND-B BUY 1 Units @0.0000	0.00	1.05	0.00	1.05	0.5	0.01
12/26/2017	***-**-187I - WASZKIEWICZ, FBO ACCOUNT #: LSR011144	PUTNAM EQUITY INCOME FUND-B BUY 1 Units @0.0000	0.00	2.04	0.00	2.04	0.5	0.01
12/26/2017	***-**-753I - SABANOSH, ALAN ACCOUNT #: LSR010909	PUTNAM EUROPE EQUITY CLASS C BUY 1 Units @0.0000	0.00	16.09	0.00	16.09	0.5	0.08
12/26/2017	***-**-53TR - SABANOSH, ALAN R ACCOUNT #: LSR010917	PUTNAM EUROPE EQUITY CLASS C BUY 1 Units @0.0000	0.00	11.19	0.00	11.19	0.5	0.06
12/26/2017	***-**-467J - PICKARD, BRIAN ACCOUNT #: LSR010943	PUTNAM EUROPE EQUITY CLASS C BUY 1 Units @0.0000	0.00	8.63	0.00	8.63	0.5	0.04
12/26/2017	***-**-231J - MISHLANIE JR, ACCOUNT #: LSR010927	PUTNAM EUROPE EQUITY FUND-B BUY 1 Units @0.0000	0.00	1.29	0.00	1.29	0.5	0.01
12/26/2017	***-**-21IN - DANIELS, JOHN ACCOUNT #: LSR010967	PUTNAM EUROPE EQUITY FUND-B BUY 1 Units @0.0000	0.00	1.31	0.00	1.31	0.5	0.01
12/26/2017	***-**-29IO - LORUSSO, VINCENT ACCOUNT #: LSR011681	PUTNAM EUROPE EQUITY FUND-B BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	0.5	0.01
12/26/2017	***-**-355I - CRIMMINS, KAREN ACCOUNT #: LSR011077	PUTNAM FLOATING RATE INCOME CL B BUY 1 Units @0.0000	0.00	1.04	0.00	1.04	0.5	0.01





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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: DAVID GRIFFITH (continued)</u></b>								
12/26/2017	***-**-21IN - DANIELS, JOHN ACCOUNT #: LSR010967	PUTNAM GLOBAL NAT RESOURCES-B BUY 1 Units @0.0000	0.00	1.47	0.00	1.47	0.5	0.01
12/26/2017	***-**-34IN - SHEFFER, EUGENE ACCOUNT #: LSR011000	PUTNAM GLOBAL NAT RESOURCES-B BUY 1 Units @0.0000	0.00	1.56	0.00	1.56	0.5	0.01
12/26/2017	***-**-187I - WASZKIEWICZ, FBO ACCOUNT #: LSR011144	PUTNAM GLOBAL NAT RESOURCES-B BUY 1 Units @0.0000	0.00	1.09	0.00	1.09	0.5	0.01
12/26/2017	***-**-41TR - DAMSKY, BARRY ACCOUNT #: LSR011340	PUTNAM GLOBAL NAT RESOURCES-B BUY 1 Units @0.0000	0.00	2.26	0.00	2.26	0.5	0.01
12/26/2017	***-**-753I - SABANOSH, ALAN ACCOUNT #: LSR010909	PUTNAM GLOBAL UTILITIES CL C BUY 1 Units @0.0000	0.00	5.46	0.00	5.46	0.5	0.03
12/26/2017	***-**-369I - DAVIS, BRUCE ACCOUNT #: LSR011029	PUTNAM INTERNATIONAL EQUITY-A BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	0.5	0.01
12/26/2017	***-**-61IN - GORCZYNSKI, ACCOUNT #: LSR010844	PUTNAM MID-CAP VALUE FUND-C BUY 1 Units @0.0000	0.00	50.23	0.00	50.23	0.5	0.25
12/26/2017	***-**-231J - MISHLANIE JR, ACCOUNT #: LSR010927	PUTNAM UTILITIES GR & INC-B BUY 1 Units @0.0000	0.00	3.45	0.00	3.45	0.5	0.02
12/26/2017	***-**-369J - DAVIS, BRUCE ACCOUNT #: LSR011027	PUTNAM UTILITIES GR & INC-B BUY 1 Units @0.0000	0.00	2.79	0.00	2.79	0.5	0.01
12/26/2017	***-**-369I - DAVIS, BRUCE ACCOUNT #: LSR011029	PUTNAM UTILITIES GR & INC-B BUY 1 Units @0.0000	0.00	1.57	0.00	1.57	0.5	0.01
12/26/2017	***-**-29IO - LORUSSO, VINCENT ACCOUNT #: LSR011681	PUTNAM UTILITIES GR & INC-B BUY 1 Units @0.0000	0.00	1.89	0.00	1.89	0.5	0.01
12/26/2017	***-**-76IR - BOSHART-LOWE, ACCOUNT #: LSR011841	PUTNAM UTILITIES GR & INC-B BUY 1 Units @0.0000	0.00	1.22	0.00	1.22	0.5	0.01
12/26/2017	***-**-231J - MISHLANIE JR, ACCOUNT #: LSR010927	QUEST BALANCED B BUY 1 Units @0.0000	0.00	3.18	0.00	3.18	0.5	0.02
12/26/2017	***-**-29IN - THIBAUT, ACCOUNT #: LSR011094	QUEST BALANCED FUND C BUY 1 Units @0.0000	0.00	9.85	0.00	9.85	0.5	0.05
12/26/2017	***-**-24TR - SCOTELLARO, L ACCOUNT #: LSR010973	QUEST INTERNATIONAL VALUE FUND, INC BUY 1 Units @0.0000	0.00	4.89	0.00	4.89	0.5	0.02
12/26/2017	***-**-61IN - GORCZYNSKI, ACCOUNT #: LSR010844	QUEST INTERNATIONAL VALUE FUND, INC BUY 1 Units @0.0000	0.00	40.78	0.00	40.78	0.5	0.20



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DAVID GRIFFITH (continued)</u></b>								
12/26/2017	***-**-077I - GAUTHIER, LOUIS	RISING DIVIDENDS FUND A	0.00	2.01	0.00	2.01	0.5	0.01
	ACCOUNT #: LSR010901	BUY 1 Units @0.0000						
12/26/2017	***-**-474J - HAGAN JR,	RISING DIVIDENDS FUND A	0.00	1.54	0.00	1.54	0.5	0.01
	ACCOUNT #: LSR010993	BUY 1 Units @0.0000						
12/26/2017	***-**-01IN - HAGAN III,	RISING DIVIDENDS FUND A	0.00	1.94	0.00	1.94	0.5	0.01
	ACCOUNT #: LSR011014	BUY 1 Units @0.0000						
12/26/2017	***-**-369I - DAVIS, BRUCE	RISING DIVIDENDS FUND A	0.00	2.31	0.00	2.31	0.5	0.01
	ACCOUNT #: LSR011029	BUY 1 Units @0.0000						
12/26/2017	***-**-05IN - HULL, JEFFERY	SENIOR FLOATING RATE FUND C	0.00	8.20	0.00	8.20	0.5	0.04
	ACCOUNT #: LSR011187	BUY 1 Units @0.0000						
12/26/2017	***-**-21IN - DANIELS, JOHN	SMALL- & MID-CAP GROWTH FUND A	0.00	2.34	0.00	2.34	0.5	0.01
	ACCOUNT #: LSR010967	BUY 1 Units @0.0000						
12/26/2017	***-**-656I - SMOLA, STANLEY	VOYA GLOBAL REAL ESTATE CLASS C	0.00	6.25	0.00	6.25	0.5	0.03
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
	<b>* DAVID GRIFFITH SUBTOTAL *</b>		<b>\$1,119.00</b>	<b>\$478.61</b>	<b>\$0.00</b>	<b>\$478.61</b>		<b>\$2.47</b>
<b><u>BROKER: KENT MCNARY</u></b>								
12/26/2017	***-**-286I - MCNARY, FBO	MAIN STREET FUND A	0.00	1.57	0.00	1.57	0.5	0.01
	ACCOUNT #: LSR011118	BUY 1 Units @0.0000						
12/26/2017	***-**-235O - ESTRIDGE,	PRINCIPAL INVESTORS SAM BALANCED (A	0.00	4.67	0.00	4.67	0.5	0.02
	ACCOUNT #: LSR011119	BUY 1 Units @0.0000						
12/26/2017	***-**-235I - ESTRIDGE, FBO	PRINCIPAL INVESTORS SAM BALANCED (A	0.00	6.82	0.00	6.82	0.5	0.03
	ACCOUNT #: LSR011120	BUY 1 Units @0.0000						
	<b>* KENT MCNARY SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$13.06</b>	<b>\$0.00</b>	<b>\$13.06</b>		<b>\$0.06</b>
<b><u>BROKER: SETH ROSENBERG</u></b>								
12/28/2017	***-**-245O - CLARK DDS 401K	BLACKROCK BASIC VALUE FD-INSTL	20,000.00	17.00	0.00	17.00	0.5	0.09
	ACCOUNT #: LSR011668	BUY 758 Units @26.3900						
12/26/2017	***-**-4263 - SELARU, DR	BLACKROCK MID CAP VALUE OPPORTIES C	0.00	4.97	0.00	4.97	0.5	0.02
	ACCOUNT #: LSR011307	BUY 1 Units @0.0000						
12/26/2017	***-**-7857 - SELARU, FLORIN	BLACKROCK S&P 500 INDEX INV A	0.00	1.29	0.00	1.29	0.5	0.01
	ACCOUNT #: LSR011306	BUY 1 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: SETH ROSENBERG (continued)</u></b>								
12/26/2017	***-**-4263 - SELARU, DR	BLACKROCK S&P 500 INDEX INV A	0.00	10.69	0.00	10.69	0.5	0.05
	ACCOUNT #: LSR011307	BUY 1 Units @0.0000						
12/26/2017	***-**-4263 - SELARU, DR	BLACKROCK VALUE OPPORT FD CL A	0.00	6.83	0.00	6.83	0.5	0.03
	ACCOUNT #: LSR011307	BUY 1 Units @0.0000						
12/28/2017	***-**-325K - LANE, CYNTHIA	ISHARES S&P 500 INDEX INSTITUTIONA	5,499.94	17.00	0.00	17.00	0.5	0.09
	ACCOUNT #: LSR011290	BUY 17 Units @319.9500						
12/28/2017	***-**-404K - CALHOUN, KEVEN	ISHARES S&P 500 INDEX INSTITUTIONA	4,500.10	17.00	0.00	17.00	0.5	0.09
	ACCOUNT #: LSR011291	BUY 14 Units @319.9500						
12/28/2017	***-**-2450 - CLARK DDS 401K	ISHARES S&P 500 INDEX INSTITUTIONA	20,000.07	17.00	0.00	17.00	0.5	0.09
	ACCOUNT #: LSR011668	BUY 63 Units @319.9500						
<b>* SETH ROSENBERG SUBTOTAL *</b>			<b>\$50,000.11</b>	<b>\$91.78</b>	<b>\$0.00</b>	<b>\$91.78</b>		<b>\$0.47</b>
<b><u>BROKER: PAUL AGLIATA</u></b>								
12/26/2017	***-**-641O - RUIZ, HENRY	COHEN & STEERS REAL ESTATE SEC A	0.00	5.09	0.00	5.09	0.5	0.03
	ACCOUNT #: LSR011230	BUY 1 Units @0.0000						
12/26/2017	***-**-232X - STEVENS, NSPS	COHEN & STEERS REAL ESTATE SEC A	0.00	10.37	0.00	10.37	0.5	0.05
	ACCOUNT #: LSR011243	BUY 1 Units @0.0000						
12/26/2017	***-**-802X - SWAIN, TRACEY	COHEN & STEERS REAL ESTATE SEC A	0.00	10.37	0.00	10.37	0.5	0.05
	ACCOUNT #: LSR011245	BUY 1 Units @0.0000						
12/26/2017	***-**-021N - SWAIN, TRACEY	COHEN & STEERS REAL ESTATE SEC A	0.00	4.15	0.00	4.15	0.5	0.02
	ACCOUNT #: LSR011246	BUY 1 Units @0.0000						
12/26/2017	***-**-321R - STEVENS, KEVIN	COHEN & STEERS REAL ESTATE SEC A	0.00	1.58	0.00	1.58	0.5	0.01
	ACCOUNT #: LSR011312	BUY 1 Units @0.0000						
12/26/2017	***-**-632J - STEVENS, KEVIN	COHEN & STEERS REAL ESTATE SEC A	0.00	7.98	0.00	7.98	0.5	0.04
	ACCOUNT #: LSR011316	BUY 1 Units @0.0000						
12/26/2017	***-**-321R - STEVENS,	COHEN & STEERS REAL ESTATE SEC A	0.00	1.50	0.00	1.50	0.5	0.01
	ACCOUNT #: LSR011487	BUY 1 Units @0.0000						
12/26/2017	***-**-853I - MCGILL, YVONNE	COHEN & STEERS REAL ESTATE SEC A	0.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: LSR011719	BUY 1 Units @0.0000						
12/26/2017	***-**-853O - MCGILL, TOD	COHEN & STEERS REAL ESTATE SEC A	0.00	5.98	0.00	5.98	0.5	0.03
	ACCOUNT #: LSR011720	BUY 1 Units @0.0000						
12/26/2017	***-**-632J - STEVENS, KEVIN	FIRST EAGLE GOLD FUND CLASS A	0.00	6.63	0.00	6.63	0.5	0.03
	ACCOUNT #: LSR011316	BUY 1 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: PAUL AGLIATA (continued)</u></b>								
12/26/2017	***-**-853I - MCGILL, YVONNE	FIRST EAGLE GOLD FUND CLASS A	0.00	1.03	0.00	1.03	0.5	0.01
	ACCOUNT #: LSR011719	BUY 1 Units @0.0000						
12/26/2017	***-**-853O - MCGILL, TOD	FIRST EAGLE GOLD FUND CLASS A	0.00	3.59	0.00	3.59	0.5	0.02
	ACCOUNT #: LSR011720	BUY 1 Units @0.0000						
12/26/2017	***-**-802X - SWAIN, TRACEY	MORGAN STANLEY GROWTH PORT A-NFS	0.00	7.20	0.00	7.20	0.5	0.04
	ACCOUNT #: LSR011245	BUY 1 Units @0.0000						
12/26/2017	***-**-238X - WRIGHT, DEBORAH	MORGAN STANLEY GROWTH PORT A-NFS	0.00	8.23	0.00	8.23	0.5	0.04
	ACCOUNT #: LSR011278	BUY 1 Units @0.0000						
12/26/2017	***-**-853O - MCGILL, TOD	MORGAN STANLEY GROWTH PORT A-NFS	0.00	8.23	0.00	8.23	0.5	0.04
	ACCOUNT #: LSR011720	BUY 1 Units @0.0000						
<b>* PAUL AGLIATA SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$82.99</b>	<b>\$0.00</b>	<b>\$82.99</b>		<b>\$0.43</b>
<b><u>BROKER: DENNIS CONNOR</u></b>								
12/26/2017	***-**-1832 - WEISMAN, DORIS	BLACKROCK 09251M108	0.00	5.81	0.00	5.81	0.5	0.03
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
12/26/2017	***-**-101I - LEMOINE, STEVEN	BLACKROCK 09251M108	0.00	2.35	0.00	2.35	0.5	0.01
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
12/26/2017	***-**-359O - HEALY, THOMAS	BLACKROCK 09251M108	0.00	1.64	0.00	1.64	0.5	0.01
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
12/26/2017	***-**-101I - LEMOINE, STEVEN	BLACKROCK FLOATING RATE INC PORT IN	0.00	1.19	0.00	1.19	0.5	0.01
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
12/26/2017	***-**-1832 - WEISMAN, DORIS	BLACKROCK GLBL SCI & TECH OPPORT CL	0.00	5.26	0.00	5.26	0.5	0.03
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
12/26/2017	***-**-1832 - WEISMAN, DORIS	BLACKROCK GLOBAL ALLOCATION FUND IN	0.00	3.03	0.00	3.03	0.5	0.02
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
12/26/2017	***-**-101I - LEMOINE, STEVEN	BLACKROCK GLOBAL DIVIDEND PORT CL A	0.00	2.03	0.00	2.03	0.5	0.01
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
12/26/2017	***-**-101I - LEMOINE, STEVEN	BLACKROCK GLOBAL SMALL CAP FD CL A	0.00	6.87	0.00	6.87	0.5	0.03
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
12/26/2017	***-**-101I - LEMOINE, STEVEN	BLACKROCK GNMA PORT CLASS A	0.00	1.51	0.00	1.51	0.5	0.01
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
12/26/2017	***-**-101I - LEMOINE, STEVEN	BLACKROCK HEALTH SCIENCES FUND CLAS	0.00	11.11	0.00	11.11	0.5	0.06
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DENNIS CONNOR (continued) </u></b>								
12/26/2017	***-**-033I - KATZENBERGER, ACCOUNT #: LSR011507	BLACKROCK HEALTH SCIENCES FUND CLAS BUY 1 Units @0.0000	0.00	2.64	0.00	2.64	0.5	0.01
12/26/2017	***-**-359O - HEALY, THOMAS ACCOUNT #: LSR011524	BLACKROCK HEALTH SCIENCES FUND CLAS BUY 1 Units @0.0000	0.00	2.04	0.00	2.04	0.5	0.01
12/26/2017	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	BLACKROCK HEALTH SCIENCES FUND CLAS BUY 1 Units @0.0000	0.00	1.12	0.00	1.12	0.5	0.01
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK HIGH YIELD BOND FUND CLAS BUY 1 Units @0.0000	0.00	3.09	0.00	3.09	0.5	0.02
12/26/2017	***-**-359O - HEALY, THOMAS ACCOUNT #: LSR011524	BLACKROCK HIGH YIELD BOND FUND CLAS BUY 1 Units @0.0000	0.00	1.32	0.00	1.32	0.5	0.01
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK INVESTMENT GRADE BOND POR BUY 1 Units @0.0000	0.00	1.58	0.00	1.58	0.5	0.01
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK MULTI ASSET INCOME PORT A BUY 1 Units @0.0000	0.00	3.03	0.00	3.03	0.5	0.02
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK STRATEGIC INC OPP PORT CL BUY 1 Units @0.0000	0.00	1.14	0.00	1.14	0.5	0.01
12/26/2017	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	BLACKROCK US OPPORT CL A BUY 1 Units @0.0000	0.00	6.93	0.00	6.93	0.5	0.03
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	CALAMOS GROWTH AND INCOME CLASS A BUY 1 Units @0.0000	0.00	7.17	0.00	7.17	0.5	0.04
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	CALAMOS GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	7.70	0.00	7.70	0.5	0.04
12/26/2017	***-**-033I - KATZENBERGER, ACCOUNT #: LSR011507	CALAMOS GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	4.25	0.00	4.25	0.5	0.02
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	CALAMOS HIGH INCOME CLASS A BUY 1 Units @0.0000	0.00	4.79	0.00	4.79	0.5	0.02
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	FEDERATED KAUFMANN FUND BUY 1 Units @0.0000	0.00	11.26	0.00	11.26	0.5	0.06
12/26/2017	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	2.72	0.00	2.72	0.5	0.01
12/26/2017	***-**-181O - STEVEN A ACCOUNT #: LSR011388	INTERNATIONAL GROWTH FUND A BUY 1 Units @0.0000	0.00	2.21	0.00	2.21	0.5	0.01



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DENNIS CONNOR (continued) </u></b>								
12/26/2017	***-**-1810 - STEVEN A ACCOUNT #: LSR011388	INTERNATIONAL SMALL COMPANY A BUY 1 Units @0.0000	0.00	2.87	0.00	2.87	0.5	0.01
12/26/2017	***-**-572I - MELIN, LUCILLE ACCOUNT #: LSR011508	INTERNATIONAL SMALL COMPANY A BUY 1 Units @0.0000	0.00	2.04	0.00	2.04	0.5	0.01
12/26/2017	***-**-255I - GRAHAM, DEBORAH ACCOUNT #: LSR011397	MFS CONSERVATIVE ALLOCATION FUND-B BUY 1 Units @0.0000	0.00	2.14	0.00	2.14	0.5	0.01
12/26/2017	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	MFS INTERNATIONAL NEW DISCOVERY FUN BUY 1 Units @0.0000	0.00	5.94	0.00	5.94	0.5	0.03
12/26/2017	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	MFS INTERNATIONAL VALUE FUND-A BUY 1 Units @0.0000	0.00	7.41	0.00	7.41	0.5	0.04
12/26/2017	***-**-255I - GRAHAM, DEBORAH ACCOUNT #: LSR011397	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	2.53	0.00	2.53	0.5	0.01
12/15/2017	***-**-8973 - MIRABELL, ACCOUNT #: 00500433776	MIDCAP GROWTH - CLASS A BUY 2 Units @14.3000	30.00	1.50	0.00	1.50	0.5	0.01
12/26/2017	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 1 Units @0.0000	0.00	4.68	0.00	4.68	0.5	0.02
12/26/2017	***-**-255I - GRAHAM, DEBORAH ACCOUNT #: LSR011397	OPPENHEIMER DISCOVERY CLASS A BUY 1 Units @0.0000	0.00	3.07	0.00	3.07	0.5	0.02
12/26/2017	***-**-4250 - KEKKONEN, ACCOUNT #: LSR011491	OPPENHEIMER ROCHEST NATIONAL MUNI C BUY 1 Units @0.0000	0.00	66.97	0.00	66.97	0.5	0.33
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PIONEER HIGH YIELD FUND A BUY 1 Units @0.0000	0.00	10.99	0.00	10.99	0.5	0.05
12/26/2017	***-**-1810 - STEVEN A ACCOUNT #: LSR011388	PRINCIPAL INVESTOR HIGH YIELD II CL BUY 1 Units @0.0000	0.00	2.30	0.00	2.30	0.5	0.01
12/26/2017	***-**-233I - COWAN, VERONICA ACCOUNT #: LSR011384	PRINCIPAL INVESTORS WEST COAST EQTY BUY 1 Units @0.0000	0.00	1.74	0.00	1.74	0.5	0.01
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PRINCIPAL INVESTORS WEST COAST EQTY BUY 1 Units @0.0000	0.00	1.85	0.00	1.85	0.5	0.01
12/26/2017	***-**-572I - MELIN, LUCILLE ACCOUNT #: LSR011508	PUTNAM CAPITAL SPECTRUM FUND CL A BUY 1 Units @0.0000	0.00	1.49	0.00	1.49	0.5	0.01
12/28/2017	***-**-2635 - HALE, JONATHAN ACCOUNT #: 6801083160	SALIENT-FORWARD FUNDS TRAILS BUY 0 Units @0.0000	0.00	1.43	0.00	1.43	0.5	0.01



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DENNIS CONNOR (continued)</u></b>								
12/28/2017	***-**-3590 - HEALY, THOMAS	VICTORY TRAILS	0.00	13.20	0.00	13.20	0.5	0.07
	ACCOUNT #: 5009484840	BUY 0 Units @0.0000						
12/26/2017	***-**-101I - LEMOINE, STEVEN	VOYA HIGH YIELD BOND CLASS A	0.00	2.15	0.00	2.15	0.5	0.01
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
12/26/2017	***-**-101I - LEMOINE, STEVEN	VOYA LARGE CAP GROWTH CLASS A	0.00	3.25	0.00	3.25	0.5	0.02
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
12/26/2017	***-**-101I - LEMOINE, STEVEN	VOYA LARGE CAP VALUE CLASS A	0.00	2.76	0.00	2.76	0.5	0.01
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
12/26/2017	***-**-3590 - HEALY, THOMAS	VOYA LARGE CAP VALUE CLASS A	0.00	1.32	0.00	1.32	0.5	0.01
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
12/26/2017	***-**-101I - LEMOINE, STEVEN	VOYA MIDCAP OPPORTUNITIES CL A	0.00	2.88	0.00	2.88	0.5	0.01
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
12/26/2017	***-**-3590 - HEALY, THOMAS	VOYA MIDCAP OPPORTUNITIES CL A	0.00	1.39	0.00	1.39	0.5	0.01
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
12/26/2017	***-**-3590 - HEALY, THOMAS	VOYA SMALL CAP OPPORTUNITIES CL A	0.00	1.43	0.00	1.43	0.5	0.01
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
12/26/2017	***-**-3590 - HEALY, THOMAS	VOYA SMALL COMPANY CLASS A	0.00	1.42	0.00	1.42	0.5	0.01
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
12/26/2017	***-**-70IN - CUTILLO, JAMES	VOYA SMALL COMPANY CLASS A	0.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: LSR011647	BUY 1 Units @0.0000						
<b>* DENNIS CONNOR SUBTOTAL *</b>			<b>\$30.00</b>	<b>\$254.67</b>	<b>\$0.00</b>	<b>\$254.67</b>		<b>\$1.31</b>
<b><u>BROKER: WILLIAM FRANCIS</u></b>								
12/15/2017	***-**-0485 - WHALEN, STEPHEN	ALLIANCE BERNSTEIN LARGE-CAP GROWTH	40.00	1.60	0.00	1.60	0.5	0.01
	ACCOUNT #: 04752066331	BUY 1 Units @35.9700						
12/21/2017	***-**-976J - KILLIAN, JAMES	AMERICAN FUNDS T/E PRESERVATION POR	62.50	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: LSR011547	BUY 6 Units @9.9700						
12/21/2017	***-**-976J - KILLIAN, JAMES	AMERICAN FUNDS TAX ADVANTGED INC PO	187.50	5.63	0.00	5.63	0.5	0.03
	ACCOUNT #: LSR011547	BUY 13 Units @14.3900						
12/15/2017	***-**-3281 - DEWITT JR, LEE	BALANCED STRATEGY FUND CLASS A	100.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 02400113961	BUY 8 Units @12.4600						
12/26/2017	***-**-637I - BRUSIE, DOROTHY	EQUITY INCOME FUND, INC. A	0.00	2.58	0.00	2.58	0.5	0.01
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: WILLIAM FRANCIS (continued)</u> </b>								
12/28/2017	***-**-ANFP - KLEBAN, RICHARD	FINANCIAL PLAN BUY 0 Units @0.0000	0.00	250.00	0.00	250.00	0.5	1.25
12/22/2017	***-**-92IN - FITZGERALD, MARY ACCOUNT #: 881059102	LINCOLN NATIONAL LIFE CUSIP 53378A1 BUY 0 Units @0.0000	24,599.41	1,537.46	0.00	1,537.46	0.5	7.69
12/26/2017	***-**-17IO - DEBONIS, BRENDA ACCOUNT #: LSR012240	MAINSTAY CONSERVATIV ALLOCATION CL BUY 1 Units @0.0000	0.00	22.19	0.00	22.19	0.5	0.11
12/26/2017	***-**-17IO - DEBONIS, BRENDA ACCOUNT #: LSR012240	MAINSTAY MODERATE ALLOCATION CL A BUY 1 Units @0.0000	0.00	12.98	0.00	12.98	0.5	0.06
12/15/2017	***-**-4642 - COOKE, ROBERT ACCOUNT #: 00055761480	MAINSTAY TOTAL RETURN BOND FUND CL BUY 19 Units @10.6800	200.00	2.00	0.00	2.00	0.5	0.01
12/26/2017	***-**-548I - MOLONEY, JAMES ACCOUNT #: LSR011545	MAINSTAY UNCONSTRND BOND OPPORTUNIT BUY 1 Units @0.0000	0.00	7.07	0.00	7.07	0.5	0.04
12/26/2017	***-**-548I - MOLONEY, JAMES ACCOUNT #: LSR011545	MAINSTAY US EQUITY OPPORTUNITIES CL BUY 1 Units @0.0000	0.00	3.85	0.00	3.85	0.5	0.02
12/29/2017	***-**-5233 - DUTCHESS, ACCOUNT #: 841406	MASS MUTUAL VA BUY 1 Units @0.0000	0.00	47.84	0.00	47.84	0.5	0.24
12/26/2017	***-**-091I - GLASSFORD, ACCOUNT #: LSR011526	OPP PORT SERIES: MOD INV FD A BUY 1 Units @0.0000	0.00	1.82	0.00	1.82	0.5	0.01
12/26/2017	***-**-637I - BRUSIE, DOROTHY ACCOUNT #: LSR011447	OPPENHEIMER GLOBAL HIGH YIELD CL A BUY 1 Units @0.0000	0.00	2.07	0.00	2.07	0.5	0.01
12/26/2017	***-**-637I - BRUSIE, DOROTHY ACCOUNT #: LSR011447	OPPENHEIMER SENIOR FLOATING RATE PL BUY 1 Units @0.0000	0.00	2.10	0.00	2.10	0.5	0.01
12/26/2017	***-**-445J - NORTH, CHARLES ACCOUNT #: LSR011778	ROCHESTER FUND MUNICIPALS A BUY 1 Units @0.0000	0.00	17.53	0.00	17.53	0.5	0.09
<b> * WILLIAM FRANCIS SUBTOTAL * </b>			<b> \$25,189.41 </b>	<b> \$1,919.97 </b>	<b> \$0.00 </b>	<b> \$1,919.97 </b>		<b> \$9.61 </b>
<b> <u>BROKER: DEVIN BORN</u> </b>								
12/26/2017	***-**-17IO - KILLIAN, FRANCES ACCOUNT #: LSM000170	BLACKROCK HEALTH SCIENCES FUND CLAS BUY 1 Units @0.0000	0.00	6.03	0.00	6.03	0.5	0.03
12/26/2017	***-**-14IN - WHITE, DEBORAH ACCOUNT #: LSM000141	BOND A BUY 1 Units @0.0000	0.00	22.29	0.00	22.29	0.5	0.11
12/26/2017	***-**-087J - BORN, LAUREN ACCOUNT #: LSM000172	BOND A BUY 1 Units @0.0000	0.00	14.28	0.00	14.28	0.5	0.07





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<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: DEVIN BORN (continued)</u></b>								
12/26/2017	***-**-72IO - BUSHBY, JANET	DREYFUS GLOBAL-NFS	0.00	2.94	0.00	2.94	0.5	0.01
	ACCOUNT #: LSR011729	BUY 1 Units @0.0000						
12/26/2017	***-**-05IR - BORN, SHAWN	EQUITY INCOME FUND, INC. A	0.00	2.92	0.00	2.92	0.5	0.01
	ACCOUNT #: LSM000165	BUY 1 Units @0.0000						
12/11/2017	***-**-03IN - COLLINS, CHRISTY	FA 529 PORT 2019-OLD CL A	200.00	6.00	0.00	6.00	0.5	0.03
	ACCOUNT #: 00700912066	BUY 9 Units @21.1900						
12/11/2017	***-**-4593 - TOWER, GERTRUDE	FA 529 PORT 2022-CL A	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 01471499655	BUY 2 Units @27.9600						
12/11/2017	***-**-4593 - TOWER, GERTRUDE	FA 529 PORT 2022-CL A	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 01471499630	BUY 2 Units @27.9600						
12/26/2017	***-**-514J - WESSNER, LINDA	FEDERATED BOND CLASS A-NFS	0.00	1.27	0.00	1.27	0.5	0.01
	ACCOUNT #: LSR011799	BUY 1 Units @0.0000						
12/26/2017	***-**-514J - WESSNER, LINDA	FEDERATED CAPITAL INCOME FUND	0.00	4.44	0.00	4.44	0.5	0.02
	ACCOUNT #: LSR011799	BUY 1 Units @0.0000						
12/26/2017	***-**-514J - WESSNER, LINDA	FEDERATED KAUFMANN FUND	0.00	3.35	0.00	3.35	0.5	0.02
	ACCOUNT #: LSR011799	BUY 1 Units @0.0000						
12/11/2017	***-**-4593 - TOWER, GERTRUDE	FIDELITY ADV MF 040813	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 01471499648	BUY 2 Units @20.8100						
12/15/2017	***-**-4794 - BARRETT, JAMES	FIDELITY ADV TOTAL BOND-CL A	300.00	11.25	0.00	11.25	0.5	0.06
	ACCOUNT #: 00700238868	BUY 27 Units @11.1300						
12/26/2017	***-**-087J - BORN, LAUREN	FINANCIAL INDUSTRIES A	0.00	1.53	0.00	1.53	0.5	0.01
	ACCOUNT #: LSM000172	BUY 1 Units @0.0000						
12/26/2017	***-**-087J - BORN, LAUREN	GREATER CHINA OPP A	0.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: LSM000172	BUY 1 Units @0.0000						
12/11/2017	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD A	100.00	3.50	0.00	3.50	0.5	0.02
	ACCOUNT #: 00777296924	BUY 27 Units @3.6500						
12/11/2017	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD A	100.00	3.50	0.00	3.50	0.5	0.02
	ACCOUNT #: 00777296925	BUY 27 Units @3.6500						
12/26/2017	***-**-57IN - NAUGLE, DENNIS	HIGH YIELD A	0.00	10.67	0.00	10.67	0.5	0.05
	ACCOUNT #: LSM000136	BUY 1 Units @0.0000						
12/26/2017	***-**-014I - WHITE, DEBORAH	HIGH YIELD A	0.00	20.08	0.00	20.08	0.5	0.10
	ACCOUNT #: LSM000140	BUY 1 Units @0.0000						



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<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: DEVIN BORN (continued)</u></b>								
12/26/2017	***-**-068I - SHOLLENBERGER, ACCOUNT #: LSM000143	HIGH YIELD A BUY 1 Units @0.0000	0.00	16.04	0.00	16.04	0.5	0.08
12/26/2017	***-**-28IO - KAUFFMAN, ACCOUNT #: LSM000146	HIGH YIELD A BUY 1 Units @0.0000	0.00	20.64	0.00	20.64	0.5	0.10
12/26/2017	***-**-86IN - PATTON, JULIE ACCOUNT #: LSM000147	HIGH YIELD A BUY 1 Units @0.0000	0.00	14.86	0.00	14.86	0.5	0.07
12/26/2017	***-**-4593 - TOWER, GERTRUDE ACCOUNT #: LSM000148	HIGH YIELD A BUY 1 Units @0.0000	0.00	4.40	0.00	4.40	0.5	0.02
12/26/2017	***-**-393I - HIMMELBERGER, ACCOUNT #: LSM000154	HIGH YIELD A BUY 1 Units @0.0000	0.00	18.66	0.00	18.66	0.5	0.09
12/26/2017	***-**-715I - HIMMELBERGER, ACCOUNT #: LSM000155	HIGH YIELD A BUY 1 Units @0.0000	0.00	11.76	0.00	11.76	0.5	0.06
12/26/2017	***-**-55IO - HARTMAN, RICHARD ACCOUNT #: LSM000169	HIGH YIELD A BUY 1 Units @0.0000	0.00	11.99	0.00	11.99	0.5	0.06
12/26/2017	***-**-529J - KILLIAN, FRANCES ACCOUNT #: LSM000171	HIGH YIELD A BUY 1 Units @0.0000	0.00	7.07	0.00	7.07	0.5	0.04
12/26/2017	***-**-087J - BORN, LAUREN ACCOUNT #: LSM000172	HIGH YIELD A BUY 1 Units @0.0000	0.00	17.11	0.00	17.11	0.5	0.09
12/26/2017	***-**-25IO - SMITH, CAROL ACCOUNT #: LSM000178	HIGH YIELD A BUY 1 Units @0.0000	0.00	8.46	0.00	8.46	0.5	0.04
12/26/2017	***-**-915I - FIDLER, JOYCE ACCOUNT #: LSM000191	HIGH YIELD A BUY 1 Units @0.0000	0.00	8.15	0.00	8.15	0.5	0.04
12/26/2017	***-**-596I - EDRIS, TERRY ACCOUNT #: LSR011700	HIGH YIELD A BUY 1 Units @0.0000	0.00	15.33	0.00	15.33	0.5	0.08
12/26/2017	***-**-56IO - TOWER, PAUL ACCOUNT #: LSM000144	HIGH YIELD B BUY 1 Units @0.0000	0.00	1.36	0.00	1.36	0.5	0.01
12/26/2017	***-**-28IO - KAUFFMAN, ACCOUNT #: LSM000146	HIGH YIELD B BUY 1 Units @0.0000	0.00	1.32	0.00	1.32	0.5	0.01
12/26/2017	***-**-4593 - TOWER, GERTRUDE ACCOUNT #: LSM000148	HIGH YIELD B BUY 1 Units @0.0000	0.00	3.10	0.00	3.10	0.5	0.02
12/26/2017	***-**-68IN - ESHBACH, ERIC ACCOUNT #: C255635-OW	ING VA 380789701 BUY 0 Units @0.0000	0.00	70.33	0.00	70.33	0.5	0.35



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DEVIN BORN (continued) </u></b>								
12/26/2017	***-**-514J - GEHRINGER, ACCOUNT #: LSR011750	JOHN HANCOCK ALTER ASSET ALLOC FUND BUY 1 Units @0.0000	0.00	2.01	0.00	2.01	0.5	0.01
12/26/2017	***-**-38IO - SCHWEMMER, ACCOUNT #: LSR011612	JOHN HANCOCK BOND FUND CLASS B BUY 1 Units @0.0000	0.00	6.46	0.00	6.46	0.5	0.03
12/26/2017	***-**-33IO - GARGIULO, PAUL ACCOUNT #: LSR011694	JOHN HANCOCK BOND FUND CLASS B BUY 1 Units @0.0000	0.00	10.41	0.00	10.41	0.5	0.05
12/26/2017	***-**-35IO - CAMERON, NANCY ACCOUNT #: LSR011782	JOHN HANCOCK BOND FUND CLASS B BUY 1 Units @0.0000	0.00	6.90	0.00	6.90	0.5	0.03
12/26/2017	***-**-710J - MOYER, EVELYN ACCOUNT #: LSR011892	JOHN HANCOCK BOND FUND CLASS B BUY 1 Units @0.0000	0.00	10.01	0.00	10.01	0.5	0.05
12/12/2017	***-**-0738 - WESSNER, TODD ACCOUNT #: 00002492630	JOHN HANCOCK DISCIPLINED VALUE A BUY 5 Units @24.4500	112.80	3.21	0.00	3.21	0.5	0.02
12/26/2017	***-**-01IO - RATH, ROBERT ACCOUNT #: LSM000189	JOHN HANCOCK LIFESTYLE AGGR PORTFOL BUY 1 Units @0.0000	0.00	10.45	0.00	10.45	0.5	0.05
12/26/2017	***-**-10IN - YAKAITIS, JOSEPH ACCOUNT #: LSM000175	JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 1 Units @0.0000	0.00	3.13	0.00	3.13	0.5	0.02
12/26/2017	***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 1 Units @0.0000	0.00	2.07	0.00	2.07	0.5	0.01
12/26/2017	***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	LARGE CAP EQUITY A BUY 1 Units @0.0000	0.00	4.86	0.00	4.86	0.5	0.02
12/26/2017	***-**-514J - GEHRINGER, ACCOUNT #: LSR011750	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	9.14	0.00	9.14	0.5	0.05
12/26/2017	***-**-225I - GANGEWERE, MARK ACCOUNT #: LSR011891	OPPENHEIMER SMALL & MID CAP VALUE F BUY 1 Units @0.0000	0.00	2.46	0.00	2.46	0.5	0.01
12/18/2017	***-**-2999 - GODFREY, MICHAEL ACCOUNT #: VR06088505	PACIFIC LIFE PORTFOLIOS TRAILS BUY 0 Units @0.0000	0.00	17.40	0.00	17.40	0.5	0.09
12/26/2017	***-**-87IR - BORN, LAUREN ACCOUNT #: LSM000173	PRINCIPAL INVESTOR HIGH YIELD II CL BUY 1 Units @0.0000	0.00	3.54	0.00	3.54	0.5	0.02
12/26/2017	***-**-72IO - BUSHBY, JANET ACCOUNT #: LSR011729	PUTNAM ABSOLUTE RETURN 500 FUND CLA BUY 1 Units @0.0000	0.00	2.59	0.00	2.59	0.5	0.01
12/26/2017	***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	PUTNAM CAPITAL SPECTRUM FD CL B BUY 1 Units @0.0000	0.00	3.57	0.00	3.57	0.5	0.02



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DEVIN BORN (continued) </u></b>								
12/26/2017	***-**-87IR - BORN, LAUREN ACCOUNT #: LSM000173	PUTNAM GROWTH OPPORTUNITIES FUND CL BUY 1 Units @0.0000	0.00	1.75	0.00	1.75	0.5	0.01
12/26/2017	***-**-33IO - GARGIULO, PAUL ACCOUNT #: LSR011694	PUTNAM GROWTH OPPORTUNITIES FUND CL BUY 1 Units @0.0000	0.00	1.71	0.00	1.71	0.5	0.01
12/26/2017	***-**-4593 - TOWER, GERTRUDE ACCOUNT #: LSM000148	PUTNAM HIGH YIELD ADVANTAGE CLASS B BUY 1 Units @0.0000	0.00	6.61	0.00	6.61	0.5	0.03
12/26/2017	***-**-38IO - SCHWEMMER, MARY ACCOUNT #: LSR011798	PUTNAM HIGH YIELD ADVANTAGE-A BUY 1 Units @0.0000	0.00	5.29	0.00	5.29	0.5	0.03
12/26/2017	***-**-34IO - KAUFFMAN, GARY ACCOUNT #: LSM000145	PUTNAM INCOME FUND B BUY 1 Units @0.0000	0.00	4.02	0.00	4.02	0.5	0.02
12/26/2017	***-**-33IO - GARGIULO, PAUL ACCOUNT #: LSR011694	PUTNAM INCOME FUND B BUY 1 Units @0.0000	0.00	5.08	0.00	5.08	0.5	0.03
12/26/2017	***-**-17IO - KILLIAN, FRANCES ACCOUNT #: LSM000170	THE HARTFORD CAPITAL APPRECIATION F BUY 1 Units @0.0000	0.00	31.31	0.00	31.31	0.5	0.16
12/26/2017	***-**-57IN - NAUGLE, DENNIS ACCOUNT #: LSM000136	THE HARTFORD HIGH YIELD FUND-A BUY 1 Units @0.0000	0.00	6.53	0.00	6.53	0.5	0.03
12/26/2017	***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	THE HARTFORD HIGH YIELD FUND-A BUY 1 Units @0.0000	0.00	8.24	0.00	8.24	0.5	0.04
12/26/2017	***-**-01IO - RATH, ROBERT ACCOUNT #: LSM000189	THE HARTFORD MID CAP FUND-A BUY 1 Units @0.0000	0.00	15.29	0.00	15.29	0.5	0.08
12/26/2017	***-**-40IO - BERSTLER, DAVID ACCOUNT #: LSR011823	US GLOBAL LEADERS GROWTH C BUY 1 Units @0.0000	0.00	16.98	0.00	16.98	0.5	0.08
12/22/2017	***-**-68IN - DODDS, JOHN ACCOUNT #: LSM000177	VANGUARD INDEX FDS FORMERLY VANGUAR SELL 5 Units @247.1100	1,235.55	6.67	0.00	6.67	0.5	0.03
12/22/2017	***-**-68IN - DODDS, JOHN ACCOUNT #: LSM000177	VANGUARD INDEX FDS FORMERLY VANGUAR SELL 25 Units @247.1100	6,177.75	33.33	0.00	33.33	0.5	0.17
<b>* DEVIN BORN SUBTOTAL *</b>			<b>\$8,376.10</b>	<b>\$593.29</b>	<b>\$0.00</b>	<b>\$593.29</b>		<b>\$2.98</b>
<b><u> BROKER: GRADY GORMAN </u></b>								
12/26/2017	***-**-463I - MACKEY, LAURA ACCOUNT #: LSR011920	HCM DIVIDEND SECTOR PLUS FND CL A-N BUY 1 Units @0.0000	0.00	1.90	0.00	1.90	0.5	0.01
12/26/2017	***-**-463I - MACKEY, LAURA ACCOUNT #: LSR011920	HCM TACTICAL GROWTH FND CL A-NFS BUY 1 Units @0.0000	0.00	2.01	0.00	2.01	0.5	0.01



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* GRADY GORMAN SUBTOTAL *			\$0.00	\$3.91	\$0.00	\$3.91		\$0.02
<b><u>BROKER: ROBERT PEDERSEN</u></b>								
12/26/2017	***-**-903I - DONNELLY, VERNON	GRIGGIN INSTL ACCESS CREDIT FND CL	0.00	6.70	0.00	6.70	0.5	0.03
	ACCOUNT #: LSR011877	BUY 1 Units @0.0000						
12/24/2017	***-**-9917 - NEUFELD, BETTY	PRINCIPAL 74254L168	6,921.41	1.73	0.00	1.73	0.5	0.01
	ACCOUNT #: 5494130	BUY 0 Units @0.0000						
12/25/2017	***-**-2783 - PLAUGHER, TERRY	PRINCIPAL 74254L168	102,772.35	25.69	0.00	25.69	0.5	0.13
	ACCOUNT #: 5496831	BUY 0 Units @0.0000						
12/26/2017	***-**-17IN - NEUFELD, BETTY	PRINCIPAL CALIFORNIA MUNI CL A	0.00	8.26	0.00	8.26	0.5	0.04
	ACCOUNT #: LSR011755	BUY 1 Units @0.0000						
12/26/2017	***-**-1485 - MORENO,	PRINCIPAL CUSIP	56.00	1.68	0.00	1.68	0.5	0.01
	ACCOUNT #: 5124137	BUY 0 Units @0.0000						
12/26/2017	***-**-1485 - MORENO,	PRINCIPAL CUSIP	94.00	70.50	0.00	70.50	0.5	0.35
	ACCOUNT #: 5124137	BUY 0 Units @0.0000						
12/26/2017	***-**-83IO - PLAUGHER, TERRY	PRINCIPAL INVESTORS SAM CONSERV GRO	0.00	7.08	0.00	7.08	0.5	0.04
	ACCOUNT #: LSR011976	BUY 1 Units @0.0000						
* ROBERT PEDERSEN SUBTOTAL *			\$109,843.76	\$121.64	\$0.00	\$121.64		\$0.61
<b><u>BROKER: JOHN TRUMAN</u></b>								
12/22/2017	***-**-523X - FROST, JULIE	AMERICAN BALANCED FUND-A	385.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: LSR012151	BUY 13 Units @28.7700						
12/22/2017	***-**-1710 - BALLARD, SCOTT	FRK CA TF INC FND - CL A	45,000.00	1,782.00	0.00	1,782.00	0.5	8.91
	ACCOUNT #: LSR012284	BUY 5792 Units @7.7700						
12/22/2017	***-**-171I - BALLARD, SCOTT	FUNDAMENTAL INVESTORS-A	3,700.02	185.00	0.00	185.00	0.5	0.93
	ACCOUNT #: LSR012282	BUY 56 Units @66.0200						
12/22/2017	***-**-171I - BALLARD, SCOTT	PIMCO INV GRADE CORP	7,500.00	243.75	0.00	243.75	0.5	1.22
	ACCOUNT #: LSR012282	BUY 689 Units @10.8900						
12/22/2017	***-**-171I - BALLARD, SCOTT	THE INCOME FUND OF AMERICA-A	3,700.01	185.00	0.00	185.00	0.5	0.93
	ACCOUNT #: LSR012282	BUY 150 Units @24.7000						
* JOHN TRUMAN SUBTOTAL *			\$60,285.03	\$2,397.00	\$0.00	\$2,397.00		\$12.00
<b><u>BROKER: ALEXANDER HACKLEY</u></b>								
12/26/2017	***-**-679I - VIGIL, ELLI	BLACKROCK EVENT DRIVEN EQUITY FUND	0.00	5.31	0.00	5.31	0.5	0.03
	ACCOUNT #: LSR011852	BUY 1 Units @0.0000						
12/26/2017	***-**-848I - SCHNEIDER,	HARTFORD BALANCED INCOME FD CL A	0.00	5.66	0.00	5.66	0.5	0.03
	ACCOUNT #: LSR011876	BUY 1 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ALEXANDER HACKLEY (continued) </u></b>								
12/26/2017	***-**-978I - LITTON, KENT	HARTFORD BALANCED INCOME FD CL A	0.00	9.70	0.00	9.70	0.5	0.05
	ACCOUNT #: LSR011882	BUY 1 Units @0.0000						
12/26/2017	***-**-679I - BURGDORF, SANDRA	HARTFORD BALANCED INCOME FD CL A	0.00	4.08	0.00	4.08	0.5	0.02
	ACCOUNT #: LSR011921	BUY 1 Units @0.0000						
12/26/2017	***-**-502I - GRANDSTAFF,	HARTFORD BALANCED INCOME FD CL A	0.00	1.84	0.00	1.84	0.5	0.01
	ACCOUNT #: LSR011929	BUY 1 Units @0.0000						
12/26/2017	***-**-266I - RONS, JANICE	HARTFORD BALANCED INCOME FD CL A	0.00	5.33	0.00	5.33	0.5	0.03
	ACCOUNT #: LSR012038	BUY 1 Units @0.0000						
12/26/2017	***-**-632J - HIGGINSON,	HARTFORD BALANCED INCOME FD CL A	0.00	2.17	0.00	2.17	0.5	0.01
	ACCOUNT #: LSR012042	BUY 1 Units @0.0000						
12/26/2017	***-**-502J - GRANDSTAFF,	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	7.42	0.00	7.42	0.5	0.04
	ACCOUNT #: LSR011931	BUY 1 Units @0.0000						
12/26/2017	***-**-471O - DAVIES, ROBERT	LORD ABBETT SHORT DURATION INCOME C	0.00	1.07	0.00	1.07	0.5	0.01
	ACCOUNT #: LSR012157	BUY 1 Units @0.0000						
12/26/2017	***-**-770O - WEBER, F DIANNE	MFS VALUE FUND-A	0.00	2.47	0.00	2.47	0.5	0.01
	ACCOUNT #: LSR011972	BUY 1 Units @0.0000						
12/26/2017	***-**-934I - HARVEY, CHERYL	PUTNAM EQUITY INCOME FUND-A	0.00	1.74	0.00	1.74	0.5	0.01
	ACCOUNT #: LSR011885	BUY 1 Units @0.0000						
<b> * ALEXANDER HACKLEY SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$46.79</b>	<b>\$0.00</b>	<b>\$46.79</b>		<b>\$0.25</b>
<b><u> BROKER: SAMUEL BARBER </u></b>								
12/26/2017	***-**-734O - RODESCHINI,	BLACKROCK EQUITY DIVIDEND FD CL C	0.00	28.60	0.00	28.60	0.5	0.14
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
12/26/2017	***-**-67IO - FERNANDEZ, ANA	BLACKROCK EQUITY DIVIDEND FD CL C	0.00	2.18	0.00	2.18	0.5	0.01
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
12/26/2017	***-**-244O - RICHTER, DIANA	BLACKROCK MULTI - ASSET INCOME FUND	0.00	26.60	0.00	26.60	0.5	0.13
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
12/26/2017	***-**-734O - RODESCHINI,	CLEARBRIDGE AGGRESSIVE GROWTH C	0.00	8.16	0.00	8.16	0.5	0.04
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
12/26/2017	***-**-244O - RICHTER, DIANA	LORD ABBETT SHORT DURATION INCOME C	0.00	11.33	0.00	11.33	0.5	0.06
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
12/26/2017	***-**-734O - RODESCHINI,	OPPENHEIMER GLOBAL CLASS C	0.00	23.86	0.00	23.86	0.5	0.12
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

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BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: SAMUEL BARBER (continued)</u></b>								
12/26/2017	***-**-2440 - RICHTER, DIANA	PRINCIPAL EQUITY INC CL C	0.00	23.88	0.00	23.88	0.5	0.12
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
12/26/2017	***-**-7340 - RODESCHINI,	PRINCIPAL GLOBAL DIVERSIFIED INCOME	0.00	8.08	0.00	8.08	0.5	0.04
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
12/26/2017	***-**-2440 - RICHTER, DIANA	PRINCIPAL GLOBAL DIVERSIFIED INCOME	0.00	45.84	0.00	45.84	0.5	0.23
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
12/26/2017	***-**-7110 - ALBERTO, MICHAEL	PRINCIPAL INVESTORS SAM CONSERV GRO	0.00	19.68	0.00	19.68	0.5	0.10
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
12/26/2017	***-**-171R - REAGAN, MATTHEW	PRINCIPAL INVESTORS SAM STRATEGIC G	0.00	2.18	0.00	2.18	0.5	0.01
	ACCOUNT #: LSR011947	BUY 1 Units @0.0000						
12/26/2017	***-**-2440 - RICHTER, DIANA	WESTERN ASSET MANAGED MUNI CL C	0.00	14.24	0.00	14.24	0.5	0.07
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
		<b>* SAMUEL BARBER SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$214.63</b>	<b>\$0.00</b>	<b>\$214.63</b>		<b>\$1.07</b>
<b><u>BROKER: GARRETT MORETZ</u></b>								
12/26/2017	***-**-101R - CLINGON, BILLY	MFS AGGRESSIVE GROWTH ALLOC CL C	0.00	4.08	0.00	4.08	0.5	0.02
	ACCOUNT #: LSR012010	BUY 1 Units @0.0000						
		<b>* GARRETT MORETZ SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$4.08</b>	<b>\$0.00</b>	<b>\$4.08</b>		<b>\$0.02</b>
<b><u>BROKER: STANLEY (DARRELL) KEATHLEY</u></b>								
12/26/2017	***-**-820J - PFAFF, ROBERT	FIRST EAGLE GLOBAL FUND CLASS A	0.00	5.87	0.00	5.87	0.5	0.03
	ACCOUNT #: LSR012004	BUY 1 Units @0.0000						
12/26/2017	***-**-291R - CLARK, RONALD	FIRST EAGLE GLOBAL FUND CLASS A	0.00	1.60	0.00	1.60	0.5	0.01
	ACCOUNT #: LSR012121	BUY 1 Units @0.0000						
12/26/2017	***-**-294I - HAWN, SARAH	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	2.22	0.00	2.22	0.5	0.01
	ACCOUNT #: LSR012002	BUY 1 Units @0.0000						
12/26/2017	***-**-814I - SELVIDGE, SHELIA	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	4.11	0.00	4.11	0.5	0.02
	ACCOUNT #: LSR012009	BUY 1 Units @0.0000						
12/26/2017	***-**-926I - VONSTEMPA, JOYCE	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	3.05	0.00	3.05	0.5	0.02
	ACCOUNT #: LSR012180	BUY 1 Units @0.0000						
12/26/2017	***-**-445I - BISHOP, BEVERLY	VICTORY CEMP US 500 ENH VOL WTD IND	0.00	10.08	0.00	10.08	0.5	0.05
	ACCOUNT #: LSR012046	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: STANLEY (DARRELL) KEATHLEY (continued)</u> </b>								
12/26/2017	***-**-20IR - PFAFF, SHEILA	VICTORY CEMP US 500 ENH VOL WTD IND	0.00	8.61	0.00	8.61	0.5	0.04
	ACCOUNT #: LSR011999	BUY 1 Units @0.0000						
		<b> * STANLEY (DARRELL) KEATHLEY SUBTOTAL * </b>	<b> \$0.00 </b>	<b> \$35.54 </b>	<b> \$0.00 </b>	<b> \$35.54 </b>		<b> \$0.18 </b>
<b> <u>BROKER: ALFREDO BALLESTEROS</u> </b>								
12/20/2017	***-**-51VL - BALLESTEROS, VL	PACIFIC LIFE DTCC RENEWAL	157.00	1.57	0.00	1.57	0.5	0.01
	ACCOUNT #: VP60123270	BUY 0 Units @0.0000						
		<b> * ALFREDO BALLESTEROS SUBTOTAL * </b>	<b> \$157.00 </b>	<b> \$1.57 </b>	<b> \$0.00 </b>	<b> \$1.57 </b>		<b> \$0.01 </b>
<b> <u>BROKER: NEIL GLICKMAN</u> </b>								
12/26/2017	***-**-520J - TOVELLO, THOMAS	AMERICAN FUNDS BLNCD PORTFOLIO CL A	186.25	3.73	0.00	3.73	0.5	0.02
	ACCOUNT #: LSR012091	BUY 12 Units @15.5300						
12/26/2017	***-**-520I - TOVELLO, THOMAS	AMERICAN FUNDS BLNCD PORTFOLIO CL A	216.50	4.33	0.00	4.33	0.5	0.02
	ACCOUNT #: LSR012092	BUY 14 Units @15.5300						
12/26/2017	***-**-493I - TOVELLO, DONNA	AMERICAN FUNDS BLNCD PORTFOLIO CL A	115.00	2.30	0.00	2.30	0.5	0.01
	ACCOUNT #: LSR012093	BUY 7 Units @15.5300						
12/26/2017	***-**-520J - TOVELLO, THOMAS	AMERICAN FUNDS GRWTH & INCOME PORT	186.24	3.73	0.00	3.73	0.5	0.02
	ACCOUNT #: LSR012091	BUY 12 Units @15.8600						
12/26/2017	***-**-520I - TOVELLO, THOMAS	AMERICAN FUNDS GRWTH & INCOME PORT	216.50	4.33	0.00	4.33	0.5	0.02
	ACCOUNT #: LSR012092	BUY 14 Units @15.8600						
12/26/2017	***-**-493I - TOVELLO, DONNA	AMERICAN FUNDS GRWTH & INCOME PORT	115.00	2.30	0.00	2.30	0.5	0.01
	ACCOUNT #: LSR012093	BUY 7 Units @15.8600						
12/26/2017	***-**-9810 - STARR, SHELDON	BMO HIGH YIELD BOND-NFS	0.00	1.64	0.00	1.64	0.5	0.01
	ACCOUNT #: LSR012105	BUY 1 Units @0.0000						
12/22/2017	***-**-30TR - KNIGHT TRUST,	SEMPRA ENERGY	47,243.78	179.46	0.00	179.46	0.5	0.90
	ACCOUNT #: LSR012108	SELL 449 Units @105.2200						
		<b> * NEIL GLICKMAN SUBTOTAL * </b>	<b> \$48,279.27 </b>	<b> \$201.82 </b>	<b> \$0.00 </b>	<b> \$201.82 </b>		<b> \$1.01 </b>
<b> <u>BROKER: MICHAEL PINEDA</u> </b>								
12/24/2017	***-**-8557 - PANUSKA,	AMERICAN GENERAL CUSIP 001399864-NF	0.00	80.14	0.00	80.14	0.5	0.40
	ACCOUNT #: P55D5560121	BUY 0 Units @0.0000						
12/26/2017	***-**-4660 - DEFFENBACHER,	CALL (INTC) INTEL CORP FEB 16 18 \$4	740.00	23.75	0.00	23.75	0.5	0.12
	ACCOUNT #: LSR012234	BUY 10 Units @74.0000						





# COMMISSION STATEMENT

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BROKER #: 981A

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<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> BROKER: MICHAEL PINEDA (continued) </b>								
12/26/2017	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	ISHARES INC MSCI JAPN SMCETF BUY 50 Units @79.4200	3,971.00	38.00	0.00	38.00	0.5	0.19
		<b> * MICHAEL PINEDA SUBTOTAL * </b>	<b> \$4,711.00 </b>	<b> \$141.89 </b>	<b> \$0.00 </b>	<b> \$141.89 </b>		<b> \$0.71 </b>
<b> BROKER: JOSHUA WEAVER </b>								
12/28/2017	***-**-9557 - HORSLEY, THOMAS ACCOUNT #: 7200044854	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	0.5	0.01
12/28/2017	***-**-2428 - KEARNS, KEVIN ACCOUNT #: 7200046534	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	16,837.94	168.38	0.00	168.38	0.5	0.84
12/28/2017	***-**-2428 - KEARNS, KEVIN ACCOUNT #: 7200046534	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	500.00	5.00	0.00	5.00	0.5	0.03
12/28/2017	***-**-3803 - MACK, LISA	MIDLAND LIVEWELL VA BUY 1 Units @100000.000	100,000.00	4,000.00	0.00	4,000.00	0.5	20.00
		<b> * JOSHUA WEAVER SUBTOTAL * </b>	<b> \$117,437.94 </b>	<b> \$4,174.38 </b>	<b> \$0.00 </b>	<b> \$4,174.38 </b>		<b> \$20.88 </b>
		<b> *** OVERRIDE TRANSACTIONS TOTAL *** </b>	<b> \$606,197.66 </b>	<b> \$16,647.59 </b>	<b> \$0.00 </b>	<b> \$16,647.59 </b>		<b> \$83.98 </b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$83.98
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$83.98</b>
<b>Year-to-date Earnings</b>	<b>\$83.98</b>