

COMMISSION STATEMENT**January 25, 2018**

JODI GALLOVICH
3200 N Central Ave Ste 200
Phoenix, AZ 85012

BROKER #: 73712
BRANCH: 04-#70A11 HOME OFFIC

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: Securities America**BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

| | | | | | | | |
|---------------------------|-------------------------|----------------------|---------------|-----------------|---------------|-----------------|----------------|
| 01/25/2018 | GALLOVICH (73712), JODI | SAI PERSHING CHARGES | 0.00 | -50.00 | 0.00 | -50.00 | -18.15 |
| 01/25/2018 | GALLOVICH (73712), JODI | SAI SECURITES INCOME | 0.00 | 184.33 | 0.00 | 184.33 | 66.91 |
| * MUTUAL FUNDS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$134.33</u> | <u>\$0.00</u> | <u>\$134.33</u> | <u>\$48.76</u> |

PRODUCT CATEGORY: STOCKS

| | | | | | | | |
|-----------------------------------|-------------------------|-----------------------|---------------|-----------------|---------------|-----------------|----------------|
| 01/25/2018 | GALLOVICH (73712), JODI | SAI PERSHING CHARGES | 0.00 | -184.88 | 0.00 | -184.88 | -67.11 |
| 01/25/2018 | GALLOVICH (73712), JODI | SAI SECURITIES INCOME | 0.00 | 280.00 | 0.00 | 280.00 | 101.64 |
| * STOCKS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$95.12</u> | <u>\$0.00</u> | <u>\$95.12</u> | <u>\$34.53</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | <u>\$0.00</u> | <u>\$229.45</u> | <u>\$0.00</u> | <u>\$229.45</u> | <u>\$83.29</u> |

OVERRIDE TRANSACTIONS**BROKER: TRACY CUDA #73670**

| | | | | | | | |
|-------------------------------------|---------------------|-------------------------------|---------------|-------------------|---------------|-------------------|-----------------|
| 01/25/2018 | CUDA (73670), TRACY | SAI ADVISORY INCOME (CUE RIA) | 0.00 | 3,640.47 | 0.00 | 3,640.47 | 480.54 |
| 01/25/2018 | CUDA (73670), TRACY | SAI PERSHING CHARGES | 0.00 | -250.00 | 0.00 | -250.00 | -33.00 |
| 01/25/2018 | CUDA (73670), TRACY | SAI SECURITES INCOME | 0.00 | 1,006.55 | 0.00 | 1,006.55 | 132.86 |
| 01/25/2018 | CUDA (73670), TRACY | SAI.TRAILS | 0.00 | 2,066.70 | 0.00 | 2,066.70 | 272.80 |
| * TRACY CUDA SUBTOTAL * | | | <u>\$0.00</u> | <u>\$6,463.72</u> | <u>\$0.00</u> | <u>\$6,463.72</u> | <u>\$853.20</u> |
| *** OVERRIDE TRANSACTIONS TOTAL *** | | | <u>\$0.00</u> | <u>\$6,463.72</u> | <u>\$0.00</u> | <u>\$6,463.72</u> | <u>\$853.20</u> |

COMMISSION STATEMENT for JODI GALLOVICH

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: Securities America (continued)

ADJUSTMENTS

| | | | | | | | |
|---------------------------|--|--|--------|--------|--------|--------|----------|
| 15. SAI SIPC PE 1/12/18 | | | | | | | -45.41 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-45.41 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$83.29 |
| Split Transactions | \$0.00 |
| Override Transactions | \$853.20 |
| Adjustments | \$-45.41 |
| Prior Period Balance | \$0.00 |
| NASD Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$891.08 |
| Year-to-date Earnings | \$15,870.70 |