

COMMISSION STATEMENT

November 30, 2017

DAVID REILLY
8 ACRON TERRACEBROKER #: 21
BRANCH: HOME OFFICE

NEW CITY, NY 10956

COMMISSION STATEMENT for DAVID REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

11/13/2017	QGW008013	MENDOZA, ALEJANDRINO CBS CORP CLASS B	B	5,499.75	40.36	0.00	40.36	45.0	18.16
11/28/2017	QGW008013	MENDOZA, ALEJANDRINO ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,800.20	76.59	0.00	76.59	45.0	34.47
11/13/2017	QGW008021	MENDOZA, ESTELA CBS CORP CLASS B	B	5,506.68	40.36	0.00	40.36	45.0	18.16
11/28/2017	QGW008021	MENDOZA, ESTELA ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,800.20	76.59	0.00	76.59	45.0	34.47
11/13/2017	QGW023848	BYRNE, GERARD CBS CORP CLASS B	B	5,498.44	40.36	0.00	40.36	45.0	18.16
11/06/2017	QGW030041	THOMAS, JACK MARATHON OIL CORP	S	-16,080.00	140.79	0.00	140.79	45.0	63.36
11/13/2017	QGW030041	THOMAS, JACK ACADIA PHARMACEUTICALS INC COM	B	5,424.00	51.43	0.00	51.43	45.0	23.14
				* STOCKS SUBTOTAL *	\$-7,751.53	\$466.48	\$0.00	\$466.48	\$209.92

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/16/2017	QGW008005	SCHULZ, CARL ROCH. LIMITED TERM NEW YORK MUNICIP	B	0.00	6.53	0.00	6.53	45.0	2.94
11/16/2017	QGW008005	SCHULZ, CARL ROCHESTER FUND MUNICIPALS A	B	0.00	35.02	0.00	35.02	45.0	15.76
11/16/2017	QGW008039	STEFANO, MARGARET ROCHESTER FUND MUNICIPALS A	B	0.00	1.63	0.00	1.63	45.0	0.73
11/16/2017	QGW008047	DOUGHERTY, JANE ROCHESTER NATIONAL MUNICIPALS A	B	0.00	3.32	0.00	3.32	45.0	1.49
11/17/2017	QGW008047	DOUGHERTY, JANE HIGH YIELD A	B	0.00	0.56	0.00	0.56	45.0	0.25
11/16/2017	QGW023798	OLSSON, RITA CAPITAL APPRECIATION A	B	0.00	0.26	0.00	0.26	45.0	0.12
11/20/2017	QGW023814	STEFANO, MARGARET EUROPACIFIC GROWTH FUND-A	B	0.00	0.28	0.00	0.28	45.0	0.13
11/20/2017	QGW023814	STEFANO, MARGARET THE GROWTH FUND OF AMERICA-A	B	0.00	1.22	0.00	1.22	45.0	0.55
11/14/2017	QGW023848	BYRNE, GERARD MFS INTERNTL DIVERSIFICATION FUND-A B	B	0.00	1.36	0.00	1.36	45.0	0.61
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$50.18	\$0.00	\$50.18	\$22.58
				*** BROKER TRANSACTIONS TOTAL ***	\$-7,751.53	\$516.66	\$0.00	\$516.66	\$232.50

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ADJUSTMENTS

11/30/2017		ADVANCE: ADVANCE		0.00	0.00	0.00	0.00		-125.00
11/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
11/30/2017		OTHER: OTHER-SACHEM		0.00	0.00	0.00	0.00		240.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$95.00

BROKER COMMISSION TOTALS

Broker Transactions	\$232.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$95.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-43.02
FINRA Assessment	\$-0.65
SIPC Assessment	\$-1.29

Check Amount	\$282.54
Year-to-date Earnings	\$776.90