Concorde 🙆

## COMMISSION STATEMENT April 15, 2018

K146-JASON KEMBLE

4106 E. Doe Creek Drive

Columbia, MO 65202-

BROKER #: K146

BRANCH: JEFFREY KAUFMAN

######################################	BROKER COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	GROSS COMMISSION	INVESTMENT AMOUNT	INVESTMENT	CLIENT	DATE
PRODUCT CHICATE   STATE   ST									
						ACTIONS	DIRECT TRANS		
03/26/2018   DANIELS, ANY   AM FUNDS GROWTH FUND OF AMERICA A 50.00   2.13   0.00   2.13   0.00   0.13   0.00   0.14   0.00							<u>s</u>	TEGORY: MUTUAL FUNI	PRODUCT CA
03/26/2018   DANIELS, AMY	3.83	90.0	4.25	0.00	4.25	100.00	AM FUNDS GROWTH FUND OF AMERICA A	DANIELS, CLINTON	03/26/2018
Name	1.92	90.0	2.13	0.00	2.13	50.00	AM FUNDS GROWTH FUND OF AMERICA A	DANIELS, AMY	03/26/2018
MUTUAL FUNDS SUBTOTAL * \$300.00 \$12.76 \$0.00 \$12.76	1.92	90.0	2.13	0.00	2.13	50.00	AM FUNDS NEW PERSPECTIVE A	DANIELS, AMY	03/26/2018
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS   PAC LIFE PACIFIC CHOICE   0.00   738.88   0.00   738.88   90.00   9738.88   973	3.83	90.0	4.25	0.00	4.25	100.00	AM FUNDS SMALLCAP WORLD A	DANIELS, CLINTON	03/26/2018
Name	\$11.50		\$12.76	\$0.00	\$12.76	\$300.00	* MUTUAL FUNDS SUBTOTAL *		
* Variable annuity 12b1 trails subtotal *   \$0.00   \$738.88   \$0.00   \$738.88     **** Direct transactions total ****   \$300.00   \$751.64   \$0.00   \$751.64     ***** Direct transactions total ****   \$300.00   \$751.64   \$0.00   \$751.64     ***** Direct transactions total ****   \$300.00   \$751.64   \$0.00   \$751.64     ***** Direct transactions total ****   \$300.00   \$751.64   \$0.00   \$751.64     ***** Direct transactions total ****   \$300.00   \$751.64   \$0.00   \$751.64     ***** Direct transactions total ****   \$300.00   \$751.64   \$0.00   \$751.64     ***** Direct transactions total ****   \$300.00   \$751.64   \$0.00   \$751.64     **** Direct transactions total ****   \$300.00   \$751.64   \$0.00   \$751.64     **** Direct transactions total ****   \$300.00   \$751.64   \$0.00   \$751.64     **** Direct transactions total ****   \$300.00   \$751.64   \$0.00   \$751.64     **** Direct transactions total ****   \$300.00   \$751.64   \$0.00   \$751.64     **** Direct transactions total ****   \$300.00   \$751.64   \$0.00   \$751.64     **** Direct transactions total ****   \$300.00   \$751.64   \$0.00   \$751.64     **** Direct transactions total ****   \$300.00   \$751.64   \$0.00   \$751.64     **** Direct transactions total ****   \$300.00   \$751.64   \$0.00							NUITY 12B1 TRAILS	TEGORY: VARIABLE AN	PRODUCT CA
*** DIRECT TRANSACTIONS TOTAL *** \$300.00 \$751.64 \$0.00 \$751.64  ***DIRECT TRANSACTIONS TOTAL *** \$300.00 \$751.64 \$0.00 \$751.64  ***DIRECT TRANSACTIONS  ***DIRECT TRANSACTIONS TOTAL *** \$300.00 \$751.64 \$0.00 \$751.64  ***DIRECT TRANSACTIONS TOTAL ****  ***DIRECT TRANSACTIONS TOTAL ****  ***DIRECT TRANSACTIONS TOTAL ****  ***DIRECT TRANSACTIONS TOTAL ****  ***POINT TRANSACTIONS TOTAL ****  ***DIRECT TRANSACTIONS TOTAL ****  ***POINT TRANSACTIONS TOTAL *****  ***POINT TRANSACTIONS TOTAL ****  ***POINT TRANSACTIONS TOTAL *****  ***POINT TRANSACTIONS TOTAL *****  ***POINT TRANSACTIONS  ***PO	664.99	90.0	738.88	0.00	738.88	0.00	PAC LIFE PACIFIC CHOICE	GROFF, CHRISTINE	03/28/2018
SPLIT TRANSACTIONS   SPROKER: JEFF   JASON   K148 KAUFMAN   KEMBLE   #349	\$664.99		\$738.88	\$0.00	\$738.88	\$0.00	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		
BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349  03/27/2018 MCMILLION, CORENA AM FUNDS AMERICAN BALANCED A 15,000.00 286.88 0.00 286.88 90.0  03/27/2018 KILBRETH, NANCY AM FUNDS AMERICAN BALANCED A 1,000.00 19.13 0.00 19.13 90.0  04/05/2018 WAHLIG, KACEY AM FUNDS AMERICAN BALANCED A 21.74 0.10 0.00 0.10 90.0  04/05/2018 WAHLIG, KACEY AM FUNDS AMERICAN BALANCED A 21.74 0.10 0.00 0.10 90.0  04/05/2018 LUKIC, MIROSLAV AM FUNDS EUROPACIFIC GROWTH FUND A 28.28 0.13 0.00 0.13 90.0  04/05/2018 LUKIC, MIROSLAV AM FUNDS EUROPACIFIC GROWTH FUND A 28.28 0.13 0.00 0.13 90.0  04/05/2018 SKELTON, SARAH AM FUNDS EUROPACIFIC GROWTH FUND A 34.49 0.15 0.00 0.15 90.0  04/05/2018 SKELTON, SARAH AM FUNDS EUROPACIFIC GROWTH FUND A 34.49 0.15 0.00 0.15 90.0  03/26/2018 WHEAT, BRYAN AM FUNDS GROWTH FUND OF AMERICA A 229.00 5.15 0.00 5.15 90.0  03/28/2018 BROWN, CODY AM FUNDS GROWTH FUND OF AMERICA A 100.00 2.25 0.00 2.25 90.0	\$676.49		\$751.64	\$0.00	\$751.64	\$300.00	*** DIRECT TRANSACTIONS TOTAL ***		
BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349  03/27/2018 MCMILLION, CORENA AM FUNDS AMERICAN BALANCED A 15,000.00 286.88 0.00 286.88 90.0  03/27/2018 KILBRETH, NANCY AM FUNDS AMERICAN BALANCED A 1,000.00 19.13 0.00 19.13 90.0  04/05/2018 WAHLIG, KACEY AM FUNDS AMERICAN BALANCED A 21.74 0.10 0.00 0.10 90.0  04/05/2018 WAHLIG, KACEY AM FUNDS AMERICAN BALANCED A 21.74 0.10 0.00 0.10 90.0  04/05/2018 LUKIC, MIROSLAV AM FUNDS EUROPACIFIC GROWTH FUND A 28.28 0.13 0.00 0.13 90.0  04/05/2018 LUKIC, MIROSLAV AM FUNDS EUROPACIFIC GROWTH FUND A 28.27 0.13 0.00 0.13 90.0  04/05/2018 SKELTON, SARAH AM FUNDS EUROPACIFIC GROWTH FUND A 34.49 0.15 0.00 0.15 90.0  04/05/2018 SKELTON, SARAH AM FUNDS EUROPACIFIC GROWTH FUND A 34.49 0.15 0.00 0.15 90.0  03/26/2018 WHEAT, BRYAN AM FUNDS GROWTH FUND OF AMERICA A 229.00 5.15 0.00 5.15 90.0  03/28/2018 BROWN, CODY AM FUNDS GROWTH FUND OF AMERICA A 100.00 2.25 0.00 2.25 90.0									
03/27/2018 MCMILLION, CORENA AM FUNDS AMERICAN BALANCED A 15,000.00 286.88 0.00 286.88 90.0 03/27/2018 KILBRETH, NANCY AM FUNDS AMERICAN BALANCED A 1,000.00 19.13 0.00 19.13 90.0 04/05/2018 WAHLIG, KACEY AM FUNDS AMERICAN BALANCED A 21.74 0.10 0.00 0.10 90.0 04/05/2018 WAHLIG, KACEY AM FUNDS AMERICAN BALANCED A 21.74 0.10 0.00 0.10 90.0 04/05/2018 LUKIC, MIROSLAV AM FUNDS EUROPACIFIC GROWTH FUND A 28.28 0.13 0.00 0.13 90.0 04/05/2018 LUKIC, MIROSLAV AM FUNDS EUROPACIFIC GROWTH FUND A 28.27 0.13 0.00 0.13 90.0 04/05/2018 SKELTON, SARAH AM FUNDS EUROPACIFIC GROWTH FUND A 34.49 0.15 0.00 0.15 90.0 04/05/2018 SKELTON, SARAH AM FUNDS EUROPACIFIC GROWTH FUND A 34.49 0.15 0.00 0.15 90.0 04/05/2018 SKELTON, SARAH AM FUNDS EUROPACIFIC GROWTH FUND A 34.49 0.15 0.00 0.15 90.0 03/26/2018 WHEAT, BRYAN AM FUNDS GROWTH FUND OF AMERICA A 229.00 5.15 0.00 5.15 90.0 03/28/2018 BROWN, CODY AM FUNDS GROWTH FUND OF AMERICA A 90.45 1.73 0.00 1.73 90.0						CTIONS	SPLIT TRANSA		
03/27/2018 MCMILLION, CORENA AM FUNDS AMERICAN BALANCED A 15,000.00 286.88 0.00 286.88 90.0 03/27/2018 KILBRETH, NANCY AM FUNDS AMERICAN BALANCED A 1,000.00 19.13 0.00 19.13 90.0 04/05/2018 WAHLIG, KACEY AM FUNDS AMERICAN BALANCED A 21.74 0.10 0.00 0.10 90.0 04/05/2018 WAHLIG, KACEY AM FUNDS AMERICAN BALANCED A 21.74 0.10 0.00 0.10 90.0 04/05/2018 LUKIC, MIROSLAV AM FUNDS EUROPACIFIC GROWTH FUND A 28.28 0.13 0.00 0.13 90.0 04/05/2018 LUKIC, MIROSLAV AM FUNDS EUROPACIFIC GROWTH FUND A 28.27 0.13 0.00 0.13 90.0 04/05/2018 SKELTON, SARAH AM FUNDS EUROPACIFIC GROWTH FUND A 34.49 0.15 0.00 0.15 90.0 04/05/2018 SKELTON, SARAH AM FUNDS EUROPACIFIC GROWTH FUND A 34.49 0.15 0.00 0.15 90.0 04/05/2018 SKELTON, SARAH AM FUNDS EUROPACIFIC GROWTH FUND A 34.49 0.15 0.00 0.15 90.0 03/26/2018 WHEAT, BRYAN AM FUNDS GROWTH FUND OF AMERICA A 229.00 5.15 0.00 5.15 90.0 03/28/2018 BROWN, CODY AM FUNDS GROWTH FUND OF AMERICA A 90.45 1.73 0.00 1.73 90.0							FMAN/KEMBLE #349	FF/JASON, K148 KAI	BROKER: JE
03/27/2018         KILBRETH, NANCY         AM FUNDS AMERICAN BALANCED A         1,000.00         19.13         0.00         19.13         90.0           04/05/2018         WAHLIG, KACEY         AM FUNDS AMERICAN BALANCED A         21.74         0.10         0.00         0.10         90.0           04/05/2018         WAHLIG, KACEY         AM FUNDS AMERICAN BALANCED A         21.74         0.10         0.00         0.10         90.0           04/05/2018         LUKIC, MIROSLAV         AM FUNDS EUROPACIFIC GROWTH FUND A         28.28         0.13         0.00         0.13         90.0           04/05/2018         SKELTON, SARAH         AM FUNDS EUROPACIFIC GROWTH FUND A         34.49         0.15         0.00         0.15         90.0           04/05/2018         SKELTON, SARAH         AM FUNDS EUROPACIFIC GROWTH FUND A         34.49         0.15         0.00         0.15         90.0           03/26/2018         WHEAT, BRYAN         AM FUNDS GROWTH FUND OF AMERICA A         229.00         5.15         0.00         5.15         90.0           03/28/2018         BROWN, CODY         AM FUNDS GROWTH FUND OF AMERICA A         100.00         2.25         0.00         1.73         90.0           04/02/2018         SUMDER, CALEB         AM FUNDS GROWTH FUND OF AMERICA A	258.19	90.0	286.88	0.00	286.88	15,000.00			
04/05/2018       WAHLIG, KACEY       AM FUNDS AMERICAN BALANCED A       21.74       0.10       0.00       0.10       90.0         04/05/2018       LUKIC, MIROSLAV       AM FUNDS EUROPACIFIC GROWTH FUND A       28.28       0.13       0.00       0.13       90.0         04/05/2018       LUKIC, MIROSLAV       AM FUNDS EUROPACIFIC GROWTH FUND A       28.27       0.13       0.00       0.13       90.0         04/05/2018       SKELTON, SARAH       AM FUNDS EUROPACIFIC GROWTH FUND A       34.49       0.15       0.00       0.15       90.0         03/26/2018       WHEAT, BRYAN       AM FUNDS GROWTH FUND OF AMERICA A       229.00       5.15       0.00       5.15       90.0         03/28/2018       BROWN, CODY       AM FUNDS GROWTH FUND OF AMERICA A       100.00       2.25       0.00       2.25       90.0         04/02/2018       SUMNER, CALEB       AM FUNDS GROWTH FUND OF AMERICA A       90.45       1.73       0.00       1.73       90.0	17.22	90.0	19.13	0.00	19.13	1,000.00	AM FUNDS AMERICAN BALANCED A	KILBRETH, NANCY	03/27/2018
04/05/2018       LUKIC, MIROSLAV       AM FUNDS EUROPACIFIC GROWTH FUND A       28.28       0.13       0.00       0.13       90.0         04/05/2018       LUKIC, MIROSLAV       AM FUNDS EUROPACIFIC GROWTH FUND A       28.27       0.13       0.00       0.13       90.0         04/05/2018       SKELTON, SARAH       AM FUNDS EUROPACIFIC GROWTH FUND A       34.49       0.15       0.00       0.15       90.0         03/26/2018       WHEAT, BRYAN       AM FUNDS GROWTH FUND OF AMERICA A       229.00       5.15       0.00       5.15       90.0         03/28/2018       BROWN, CODY       AM FUNDS GROWTH FUND OF AMERICA A       100.00       2.25       0.00       2.25       90.0         04/02/2018       SUMNER, CALEB       AM FUNDS GROWTH FUND OF AMERICA A       90.45       1.73       0.00       1.73       90.0	0.09	90.0	0.10	0.00	0.10	21.74	AM FUNDS AMERICAN BALANCED A	WAHLIG, KACEY	04/05/2018
04/05/2018       LUKIC, MIROSLAV       AM FUNDS EUROPACIFIC GROWTH FUND A       28.27       0.13       0.00       0.13       90.0         04/05/2018       SKELTON, SARAH       AM FUNDS EUROPACIFIC GROWTH FUND A       34.49       0.15       0.00       0.15       90.0         04/05/2018       SKELTON, SARAH       AM FUNDS EUROPACIFIC GROWTH FUND A       34.49       0.15       0.00       0.15       90.0         03/26/2018       WHEAT, BRYAN       AM FUNDS GROWTH FUND OF AMERICA A       229.00       5.15       0.00       5.15       90.0         03/28/2018       BROWN, CODY       AM FUNDS GROWTH FUND OF AMERICA A       100.00       2.25       0.00       2.25       90.0         04/02/2018       SUMNER, CALEB       AM FUNDS GROWTH FUND OF AMERICA A       90.45       1.73       0.00       1.73       90.0	0.09	90.0	0.10	0.00	0.10	21.74	AM FUNDS AMERICAN BALANCED A	WAHLIG, KACEY	04/05/2018
04/05/2018       SKELTON, SARAH       AM FUNDS EUROPACIFIC GROWTH FUND A       34.49       0.15       0.00       0.15       90.0         04/05/2018       SKELTON, SARAH       AM FUNDS EUROPACIFIC GROWTH FUND A       34.49       0.15       0.00       0.15       90.0         03/26/2018       WHEAT, BRYAN       AM FUNDS GROWTH FUND OF AMERICA A       229.00       5.15       0.00       5.15       90.0         03/28/2018       BROWN, CODY       AM FUNDS GROWTH FUND OF AMERICA A       100.00       2.25       0.00       2.25       90.0         04/02/2018       SUMNER, CALEB       AM FUNDS GROWTH FUND OF AMERICA A       90.45       1.73       0.00       1.73       90.0	0.12	90.0	0.13	0.00	0.13	28.28	AM FUNDS EUROPACIFIC GROWTH FUND A	LUKIC, MIROSLAV	04/05/2018
04/05/2018 SKELTON, SARAH AM FUNDS EUROPACIFIC GROWTH FUND A 34.49 0.15 0.00 0.15 90.0 03/26/2018 WHEAT, BRYAN AM FUNDS GROWTH FUND OF AMERICA A 229.00 5.15 0.00 5.15 90.0 03/28/2018 BROWN, CODY AM FUNDS GROWTH FUND OF AMERICA A 100.00 2.25 0.00 2.25 90.0 04/02/2018 SUMNER, CALEB AM FUNDS GROWTH FUND OF AMERICA A 90.45 1.73 0.00 1.73 90.0	0.12	90.0	0.13	0.00	0.13	28.27	AM FUNDS EUROPACIFIC GROWTH FUND A	LUKIC, MIROSLAV	04/05/2018
03/26/2018 WHEAT, BRYAN AM FUNDS GROWTH FUND OF AMERICA A 229.00 5.15 0.00 5.15 90.0 03/28/2018 BROWN, CODY AM FUNDS GROWTH FUND OF AMERICA A 100.00 2.25 0.00 2.25 90.0 04/02/2018 SUMNER, CALEB AM FUNDS GROWTH FUND OF AMERICA A 90.45 1.73 0.00 1.73 90.0	0.14	90.0	0.15	0.00	0.15	34.49	AM FUNDS EUROPACIFIC GROWTH FUND A	SKELTON, SARAH	04/05/2018
03/28/2018 BROWN, CODY AM FUNDS GROWTH FUND OF AMERICA A 100.00 2.25 0.00 2.25 90.0 04/02/2018 SUMNER, CALEB AM FUNDS GROWTH FUND OF AMERICA A 90.45 1.73 0.00 1.73 90.0	0.14	90.0	0.15	0.00	0.15	34.49	AM FUNDS EUROPACIFIC GROWTH FUND A	SKELTON, SARAH	04/05/2018
04/02/2018 SUMNER, CALEB AM FUNDS GROWTH FUND OF AMERICA A 90.45 1.73 0.00 1.73 90.0	4.64	90.0	5.15	0.00	5.15	229.00	AM FUNDS GROWTH FUND OF AMERICA A	WHEAT, BRYAN	03/26/2018
	2.03	90.0	2.25	0.00	2.25	100.00	AM FUNDS GROWTH FUND OF AMERICA A	BROWN, CODY	03/28/2018
	1.56	90.0	1.73	0.00	1.73	90.45	AM FUNDS GROWTH FUND OF AMERICA A	SUMNER, CALEB	04/02/2018
04/02/2018 SUMNER, CALEB AM FUNDS GROWTH FUND OF AMERICA A 520.84 9.96 0.00 9.96 90.0	8.96	90.0	9.96	0.00	9.96	520.84	AM FUNDS GROWTH FUND OF AMERICA A	SUMNER, CALEB	04/02/2018
04/02/2018 BARTLETT, STEVEN AM FUNDS GROWTH FUND OF AMERICA A 83.31 0.45 0.00 0.45 90.0	0.41	90.0	0.45	0.00	0.45	83.31	AM FUNDS GROWTH FUND OF AMERICA A	BARTLETT, STEVEN	04/02/2018

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

<sup>\*\* -</sup> Indicates adjustment is not included in Totals or Commissions

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		SPLIT TRANS	ACTIONS					
DDOVED . TE	FF/JASON, K148 KAUFMAN/KE	<del></del>						
BROKER: JE	BARTLETT, STEVEN	MBLE #349  AM FUNDS GROWTH FUND OF AMERICA A	49.99	0.27	0.00	0.27	90.0	0.24
04/02/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	520.84	9.96	0.00	9.96	90.0	8.96
04/02/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	19.36	0.37	0.00	0.37	90.0	0.33
04/02/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	117.24	0.63	0.00	0.63	90.0	0.57
04/02/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	117.24	0.63	0.00	0.63	90.0	0.57
04/02/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	89.53	0.48	0.00	0.48	90.0	0.43
04/02/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	89.53	0.48	0.00	0.48	90.0	0.43
04/03/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	24.77	0.56	0.00	0.56	90.0	0.50
04/03/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	24.77	0.56	0.00	0.56	90.0	0.50
04/05/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	53.31	0.24	0.00	0.24	90.0	0.22
04/05/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	53.31	0.24	0.00	0.24	90.0	0.22
04/05/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.08	0.00	0.08	90.0	0.07
04/05/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.00	0.11	0.00	0.11	90.0	0.10
04/05/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	7.65	0.04	0.00	0.04	90.0	0.04
04/05/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
04/05/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.21	0.00	0.21	90.0	0.19
04/05/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.21	0.00	0.21	90.0	0.19
04/05/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	12.13	0.05	0.00	0.05	90.0	0.05
04/05/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	12.13	0.05	0.00	0.05	90.0	0.05
04/05/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.13	0.00	0.13	90.0	0.12
04/05/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.13	0.00	0.13	90.0	0.12
04/05/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	20.77	0.09	0.00	0.09	90.0	0.08
04/05/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	20.77	0.09	0.00	0.09	90.0	0.08
04/05/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	44.27	0.20	0.00	0.20	90.0	0.18
04/05/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	44.27	0.20	0.00	0.20	90.0	0.18
04/05/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	38.93	0.18	0.00	0.18	90.0	0.16
04/05/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	38.93	0.18	0.00	0.18	90.0	0.16
04/05/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	29.38	0.13	0.00	0.13	90.0	0.12
04/05/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	29.38	0.13	0.00	0.13	90.0	0.12
04/05/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	30.54	0.14	0.00	0.14	90.0	0.13
04/05/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	30.54	0.14	0.00	0.14	90.0	0.13
04/05/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	39.68	0.18	0.00	0.18	90.0	0.16
04/05/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	39.68	0.18	0.00	0.18	90.0	0.16

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

TARTECHMENT

aboaa

OT EXPINO

MIRT

חמש∧חמ

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		SPLIT TRANS	SACTIONS					
BROKER: JE	FF/JASON, K148 KAUFMAN/							
04/05/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	31.33	0.14	0.00	0.14	90.0	0.13
04/05/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	73.11	0.33	0.00	0.33	90.0	0.30
04/05/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	11.41	0.05	0.00	0.05	90.0	0.05
04/05/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	11.41	0.05	0.00	0.05	90.0	0.05
04/05/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	33.48	0.15	0.00	0.15	90.0	0.14
04/05/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	33.48	0.15	0.00	0.15	90.0	0.14
04/05/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	46.78	0.21	0.00	0.21	90.0	0.19
04/05/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	46.78	0.21	0.00	0.21	90.0	0.19
04/05/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	44.49	0.20	0.00	0.20	90.0	0.18
04/05/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	44.49	0.20	0.00	0.20	90.0	0.18
04/05/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	37.82	0.17	0.00	0.17	90.0	0.15
04/05/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	37.82	0.17	0.00	0.17	90.0	0.15
04/05/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.14	0.00	0.14	90.0	0.13
04/05/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.14	0.00	0.14	90.0	0.13
04/05/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.17	0.00	0.17	90.0	0.15
04/05/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.17	0.00	0.17	90.0	0.15
04/05/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	29.68	0.14	0.00	0.14	90.0	0.13
04/05/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	29.68	0.14	0.00	0.14	90.0	0.13
04/05/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	11.40	0.05	0.00	0.05	90.0	0.05
04/05/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	11.40	0.05	0.00	0.05	90.0	0.05
04/05/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	44.67	0.20	0.00	0.20	90.0	0.18
04/05/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	148.89	0.67	0.00	0.67	90.0	0.60
04/02/2018	HAGUE, VICTORIA	AM FUNDS ICA A	67.61	0.36	0.00	0.36	90.0	0.32
04/02/2018	HAGUE, VICTORIA	AM FUNDS ICA A	1,033.33	5.58	0.00	5.58	90.0	5.02
04/05/2018	ZELLMER, RIANNON	AM FUNDS ICA A	14.11	0.06	0.00	0.06	90.0	0.05
04/05/2018	ZELLMER, RIANNON	AM FUNDS ICA A	14.11	0.06	0.00	0.06	90.0	0.05
04/05/2018	WAHLIG, KACEY	AM FUNDS ICA A	21.75	0.10	0.00	0.10	90.0	0.09
04/05/2018	WAHLIG, KACEY	AM FUNDS ICA A	21.75	0.10	0.00	0.10	90.0	0.09
04/02/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	90.45	1.73	0.00	1.73	90.0	1.56
04/02/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	520.83	9.96	0.00	9.96	90.0	8.96
04/02/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	520.83	9.96	0.00	9.96	90.0	8.96
04/02/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	19.35	0.37	0.00	0.37	90.0	0.33
04/05/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	60.00	1.35	0.00	1.35	90.0	1.22

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		SPLIT TRANS	ACTIONS					
DD∩VED. II	EFF/JASON, K148 KAUFMA							
04/05/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	44.27	0.20	0.00	0.20	90.0	0.18
04/05/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	44.27	0.20	0.00	0.20	90.0	0.18
04/02/2018	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	1.13	0.00	1.13	90.0	1.02
04/05/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.55	0.00	0.55	90.0	0.50
04/05/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	848.58	3.82	0.00	3.82	90.0	3.44
04/05/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	32.30	0.14	0.00	0.14	90.0	0.13
04/05/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	32.30	0.14	0.00	0.14	90.0	0.13
04/05/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.00	0.08	0.00	0.08	90.0	0.07
04/05/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.00	0.11	0.00	0.11	90.0	0.10
04/05/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	57.64	0.26	0.00	0.26	90.0	0.23
04/05/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	34.58	0.16	0.00	0.16	90.0	0.14
04/02/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	16.90	0.09	0.00	0.09	90.0	0.08
04/02/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	258.33	1.40	0.00	1.40	90.0	1.26
04/02/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	83.31	0.45	0.00	0.45	90.0	0.41
04/02/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	49.99	0.27	0.00	0.27	90.0	0.24
04/05/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.13	0.00	0.13	90.0	0.12
04/05/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.13	0.00	0.13	90.0	0.12
03/28/2018	CARSON, SEDEL	PAC LIFE VUL	55.00	0.25	0.00	0.25	90.0	0.23
03/28/2018	CARSON, MATTHEW	PAC LIFE VUL	7.57	0.04	0.00	0.04	90.0	0.04
03/28/2018	CARSON, MATTHEW	PAC LIFE VUL	142.43	0.64	0.00	0.64	90.0	0.58
	* JE	FF/JASON, K148 KAUFMAN/KEMBLE SUBTOTAL *	\$24,222.29	\$387.35	\$0.00	\$387.35		\$348.72
		*** SPLIT TRANSACTIONS TOTAL ***	\$24,222.29	\$387.35	\$0.00	\$387.35		\$348.72
		ADJUSTME	ents					
04/15/2018		*EO INS: CURRENT MONTH INS-KEMBLE	0.00					-300.00
04/15/2018		*MARKETING PRO: MARKETING PRO	0.00					-45.00
04/15/2018		*TECH: CURRENT MONTH TECH-KEMBLE	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-620.00

## BROKER COMMISSION TOTALS \$676.49 Broker Transactions \$348.72 Split Transactions \$0.00 Override Transactions Adjustments \$-620.00 \$0.00 Payroll Draw \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$405.21 Check Amount \$19,989.81 Year-to-date Gross \$18,031.40 Year-to-date Earnings