

All Companies
STATEMENT
July 20, 2018

RON BARTSCH
S12 W29085 Summitt Ave
SUITE 620
Waukesha, WI 53188

BROKER #: 037
BRANCH: LM BRANCH

COMMISSION STATEMENT for RON BARTSCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/04/2018	#02500080766 HERVE, BRAND	INVESTCO ASIA PACIFIC GROWTH	60.00	0.60	95.0	0.00	0.57	0.00	0.57
06/07/2018	#01001382598 VARIOUS BARTSCH	INVESCO CHARTER FUND CL A	70.00	70.00	95.0	0.00	66.50	0.00	66.50
06/07/2018	#01001382598 VARIOUS BARTSCH	INVESCO EMERGING MARKETS EQUITY	70.00	70.00	95.0	0.00	66.50	0.00	66.50
06/07/2018	#01001382598 VARIOUS BARTSCH	INVESCO GOLD & PRECIOUS METALS CL A	14.79	14.79	95.0	0.00	14.05	0.00	14.05
06/07/2018	#01001382598 VARIOUS BARTSCH	INVESCO VAN KAMPEN AMERICAN FRANCHI	200.00	200.00	95.0	0.00	190.00	0.00	190.00
06/08/2018	#01001229265 HERVEY UTMA/WI,	INVESCO ENERGY FUND CLASS C	60.00	0.60	95.0	0.00	0.57	0.00	0.57
06/18/2018	#01001358412 VARIOUS BARTSCH	INVESCO GLOBAL HEALTH CARE FD-CL A	541.50	27.08	95.0	0.00	25.73	0.00	25.73
06/18/2018	#01001358412 VARIOUS BARTSCH	INVESCO REAL ESTATE FUND CL A	542.00	27.10	95.0	0.00	25.75	0.00	25.75
06/18/2018	#01001358412 VARIOUS BARTSCH	INVESCO VAN KAMPEN AMERICAN FRANCHI	541.50	27.08	95.0	0.00	25.73	0.00	25.73
* MUTUAL FUNDS SUBTOTAL *			\$2,099.79	\$437.25		\$0.00	\$415.40	\$0.00	\$415.40
<u>PRODUCT CATEGORY: STOCKS</u>									
06/06/2018	# FEIDER, PETER	XPRESSPA GROUP INC COMMON STOCK	80.00	5.00	95.0	0.00	4.75	18.00	-13.25
06/06/2018	# BARTSCH, MICHAEL	XPRESSPA GROUP INC COMMON STOCK	40.00	5.00	95.0	0.00	4.75	18.00	-13.25
06/07/2018	# SHORT, EDDIE	XPRESSPA GROUP INC COMMON STOCK	80.55	5.00	95.0	0.00	4.75	18.00	-13.25
06/08/2018	# YOKES, DIANE	XPRESSPA GROUP INC COMMON STOCK	82.00	5.00	95.0	0.00	4.75	18.00	-13.25
06/19/2018	# ZELL, ANTIONETTE	XPRESSPA GROUP INC COMMON STOCK	0.50	0.00	95.0	0.00	0.00	18.00	-18.00
06/20/2018	# STIEHL, EDITH	AT&T INC	32,200.00	534.18	95.0	0.00	507.47	18.00	489.47
* STOCKS SUBTOTAL *			\$32,483.05	\$554.18		\$0.00	\$526.47	\$108.00	\$418.47
<u>PRODUCT CATEGORY: OPTIONS</u>									
06/19/2018	# PRICE, THOMAS	CALL AMZN 06/22/18 1740	1,050.00	50.00	95.0	0.00	47.50	21.00	26.50
06/27/2018	# REV TRUST, THOMAS & DORIS	PUT TREE 12/21/18 200	8,000.00	190.52	95.0	0.00	180.99	23.00	157.99
* OPTIONS SUBTOTAL *			\$9,050.00	\$240.52		\$0.00	\$228.49	\$44.00	\$184.49
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/09/0018	#DA712667 WALDERA, HELEN	ALLIANZ VA	0.00	77.22	95.0	0.00	73.36	0.00	73.36
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$77.22		\$0.00	\$73.36	\$0.00	\$73.36

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00074366739	GUEX, GREGORY	AMERICAN BALANCED FUND INC CLASS A	0.00	11.93	95.0	0.00	11.33	11.33
06/01/2018	#00074366799	GUEX, ANNETTE	AMERICAN BALANCED FUND INC CLASS A	0.00	10.13	95.0	0.00	9.62	9.62
06/01/2018	#00074366739	GUEX, GREGORY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	4.06	95.0	0.00	3.86	3.86
06/01/2018	#00074366799	GUEX, ANNETTE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.88	95.0	0.00	1.79	1.79
06/01/2018	#00073724677	BARTSCH, GINGER	EURO PAC GROWTH FUND CL F1	0.00	5.34	95.0	0.00	5.07	5.07
06/01/2018	#00073724677	BARTSCH, GINGER	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.49	95.0	0.00	1.42	1.42
06/01/2018	#00074366739	GUEX, GREGORY	INVESTMENT CO AMER CLASS A	0.00	1.98	95.0	0.00	1.88	1.88
06/01/2018	#00074366799	GUEX, ANNETTE	INVESTMENT CO AMER CLASS A	0.00	2.03	95.0	0.00	1.93	1.93
06/01/2018	#00073724677	BARTSCH, GINGER	NEW WORLD FUND INC NEW	0.00	0.38	95.0	0.00	0.36	0.36
06/11/2018	#	STIEHL, EDITH	DAIN TRAILER	0.00	9.96	95.0	0.00	9.46	9.46
06/11/2018	#	GUEX, GREGORY	DAIN TRAILER	0.00	5.97	95.0	0.00	5.67	5.67
06/11/2018	#	GUEX, GREGORY	DAIN TRAILER	0.00	12.12	95.0	0.00	11.51	11.51
06/11/2018	#	DAVIS, KAREN	DAIN TRAILER	0.00	3.89	95.0	0.00	3.70	3.70
06/11/2018	#	WALTER, EARL	DAIN TRAILER	0.00	12.99	95.0	0.00	12.34	12.34
06/11/2018	#	WIED, DENNIS	DAIN TRAILER	0.00	2.08	95.0	0.00	1.98	1.98
06/11/2018	#	WIED, DENNIS	DAIN TRAILER	0.00	5.25	95.0	0.00	4.99	4.99
06/11/2018	#	WIED, MICHAEL	DAIN TRAILER	0.00	2.08	95.0	0.00	1.98	1.98
06/11/2018	#	WIED, MICHAEL	DAIN TRAILER	0.00	5.25	95.0	0.00	4.99	4.99
06/11/2018	#	WIED, DEBRA	DAIN TRAILER	0.00	6.81	95.0	0.00	6.47	6.47
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$105.62	\$0.00	\$100.35	\$0.00	\$100.35	
*** BROKER TRANSACTIONS TOTAL ***			\$43,632.84	\$1,414.79	\$0.00	\$1,344.07	\$152.00	\$1,192.07	

ADJUSTMENTS

07/20/2018	ADMIN FEE: ADMIN FEE	-390.00
07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,192.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$623.07
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