

All Companies
STATEMENT
May 20, 2018

MICHAEL-TERMINATED ROASA
17470 N Pacesetter Way
SUITE 620
Scottsdale, AZ 85255

BROKER #: 165
BRANCH:

COMMISSION STATEMENT for MICHAEL-TERMINATED ROASA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/01/0018	#008003935637	FIMBREZ, ALGER 015565831	0.00	0.88	92.0	0.00	0.81	0.00	0.81
03/01/0018	#008003935637	FIMBREZ, ALGER FUND-CAPITAL APPRECIATION FUN	0.00	7.98	92.0	0.00	7.34	0.00	7.34
03/01/0018	#008003935637	FIMBREZ, ALGER GROWTH OPPORTUNITIES FUND CL	0.00	0.97	92.0	0.00	0.89	0.00	0.89
03/01/0018	#008003935637	FIMBREZ, ALGER SPECTRA FUND CL A	0.00	5.87	92.0	0.00	5.40	0.00	5.40
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$15.70</u>		<u>\$0.00</u>	<u>\$14.44</u>	<u>\$0.00</u>	<u>\$14.44</u>
<u>PRODUCT CATEGORY: RIA</u>									
04/05/0018	#427766677	HUBER, DOUGLAS HANLON	0.00	449.27	92.0	0.00	413.33	0.00	413.33
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$449.27</u>		<u>\$0.00</u>	<u>\$413.33</u>	<u>\$0.00</u>	<u>\$413.33</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$464.97</u>		<u>\$0.00</u>	<u>\$427.77</u>	<u>\$0.00</u>	<u>\$427.77</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$427.77
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$427.77
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