

**COMMISSION STATEMENT****July 31, 2017**

DAVID ZUPEK
6226 Bankers Rd.
Ste. 4
Racine, WI 53403

BROKER #: Z116
BRANCH: TADA WEALTH ADVISORS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: NON-TRADED BDC</u>								
07/12/2017	RUGH, BARBARA	FS INVESTMENT CORP IV CLASS T	0.00	25.00	0.00	25.00	90.0	22.50
* NON-TRADED BDC SUBTOTAL *			\$0.00	\$25.00	\$0.00	\$25.00		\$22.50
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/10/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	90.0	2.25
07/21/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
07/10/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	90.0	0.15
07/10/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	90.0	0.15
07/10/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	90.0	0.15
* MUTUAL FUNDS SUBTOTAL *			\$225.00	\$5.51	\$0.00	\$5.51		\$4.95
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/11/2017	EYNARD, STEPHEN	JNL PERSPECTIVE II 04/14	48.50	0.97	0.00	0.97	90.0	0.87
07/17/2017	MARTIN, CHARMAINE	JNL PERSPECTIVE II 05/05	50.00	3.75	0.00	3.75	90.0	3.38
07/19/2017	ROGERS, WILMA	RELIASTAR LIFE PROTECTOR ELITE	1,750.00	17.50	0.00	17.50	90.0	15.75
07/17/2017	HUDEC, JOANNE	SECURITY LIFE OF DENVER VUL-DB	300.00	7.50	0.00	7.50	90.0	6.75
* VARIABLE ANNUITIES SUBTOTAL *			\$2,148.50	\$29.72	\$0.00	\$29.72		\$26.75
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/16/2017	BRUNNER, KENNETH	ING GOLDEN SELECT DVA PLUS	0.00	141.15	0.00	141.15	90.0	127.04
07/07/2017	FALBO, LEWIS	ING LANDMARK	0.00	430.71	0.00	430.71	90.0	387.64
07/07/2017	FALBO, LEWIS	ING LANDMARK	0.00	386.74	0.00	386.74	90.0	348.07
07/07/2017	FALBO, LEWIS	ING LANDMARK	0.00	463.01	0.00	463.01	90.0	416.71
07/10/2017	HARTMAN, EDWARD	ING LANDMARK	0.00	208.77	0.00	208.77	90.0	187.89
07/18/2017	BOLAND, TERRENCE	ING PREMIUM PLUS	0.00	115.50	0.00	115.50	90.0	103.95
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,745.88	\$0.00	\$1,745.88		\$1,571.30
*** BROKER TRANSACTIONS TOTAL ***			\$2,373.50	\$1,806.11	\$0.00	\$1,806.11		\$1,625.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
07/12/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	17.83	0.06	0.00	0.06	90.0	0.05
07/12/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	97.50	0.34	0.00	0.34	90.0	0.31
07/10/2017	DABROWSKI, PAUL	MFS BLENDED RESEARCH CORE FD B	114.50	1.60	0.00	1.60	90.0	1.44
07/10/2017	GUTT, MARTIN	MFS BLENDED RESEARCH CORE FD B	100.00	1.40	0.00	1.40	90.0	1.26
07/17/2017	SIENKIEWICZ, TOMASZ	MFS BLENDED RESEARCH CORE FD B	25.00	0.35	0.00	0.35	90.0	0.32
07/10/2017	DABROWSKI, PAUL	MFS BOND FUND B	114.50	1.60	0.00	1.60	90.0	1.44
07/10/2017	DABROWSKI, AGNIESZKA	MFS DIVERSIFIED INCOME FUND C	135.00	0.47	0.00	0.47	90.0	0.42
07/12/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	313.40	4.39	0.00	4.39	90.0	3.95
07/12/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	47.01	0.66	0.00	0.66	90.0	0.59
07/05/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION 529C	200.00	0.70	0.00	0.70	90.0	0.63
07/10/2017	DABROWSKI, PAUL	MFS GROWTH ALLOCATION B	114.50	1.60	0.00	1.60	90.0	1.44
07/12/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	38.43	0.54	0.00	0.54	90.0	0.49
07/12/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	64.07	0.90	0.00	0.90	90.0	0.81
07/12/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	313.40	4.39	0.00	4.39	90.0	3.95
07/12/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	47.01	0.66	0.00	0.66	90.0	0.59
07/17/2017	PROTTO, CAMILLA	MFS GROWTH B	75.00	1.05	0.00	1.05	90.0	0.95
07/12/2017	WIKAR, HALINA	MFS GROWTH C	97.50	0.34	0.00	0.34	90.0	0.31
07/12/2017	WIKAR, HALINA	MFS GROWTH C	17.83	0.06	0.00	0.06	90.0	0.05
07/12/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	38.44	0.54	0.00	0.54	90.0	0.49
07/12/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	64.05	0.90	0.00	0.90	90.0	0.81
07/12/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	106.95	0.37	0.00	0.37	90.0	0.33
07/12/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	585.00	2.05	0.00	2.05	90.0	1.85
07/10/2017	DABROWSKI, AGNIESZKA	MFS MODERATE ALLOCATION C	135.00	0.47	0.00	0.47	90.0	0.42
07/12/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	195.00	0.68	0.00	0.68	90.0	0.61
07/12/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	35.66	0.13	0.00	0.13	90.0	0.12
07/10/2017	DABROWSKI, PAUL	MFS UTILITIES B	114.50	1.60	0.00	1.60	90.0	1.44
07/10/2017	GUTT, MARTIN	MFS UTILITIES B	100.00	1.40	0.00	1.40	90.0	1.26
07/12/2017	WIKAR, SYLVIA	MFS UTILITIES B	38.44	0.54	0.00	0.54	90.0	0.49
07/12/2017	WIKAR, SYLVIA	MFS UTILITIES B	64.07	0.90	0.00	0.90	90.0	0.81
07/17/2017	SIENKIEWICZ, TOMASZ	MFS UTILITIES B	25.00	0.35	0.00	0.35	90.0	0.32
07/10/2017	DABROWSKI, AGNIESZKA	MFS UTILITIES C	270.00	0.95	0.00	0.95	90.0	0.86
07/12/2017	WIKAR, SYLVIA	MFS VALUE B	38.44	0.54	0.00	0.54	90.0	0.49
07/12/2017	WIKAR, SYLVIA	MFS VALUE B	64.07	0.90	0.00	0.90	90.0	0.81

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
07/12/2017	SZAJEWSKI, REGINA	MFS VALUE B	322.90	4.52	0.00	4.52	90.0	4.07
07/12/2017	SZAJEWSKI, REGINA	MFS VALUE B	48.44	0.68	0.00	0.68	90.0	0.61
07/17/2017	PROTTO, CAMILLA	MFS VALUE B	75.00	1.05	0.00	1.05	90.0	0.95
* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *			\$4,253.44	\$39.68	\$0.00	\$39.68		\$35.74
*** SPLIT TRANSACTIONS TOTAL ***			\$4,253.44	\$39.68	\$0.00	\$39.68		\$35.74

VERRIDE TRANSACTIONS

<u>BROKER: MARK HUBER #89</u>								
07/14/2017	COTE JT TEN, CAROL	BLUEROCK TOTAL INC & RE FUND	0.00	13.53	0.00	13.53	0.0	12.18
* MARK HUBER SUBTOTAL *			\$0.00	\$13.53	\$0.00	\$13.53		\$12.18
<u>BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90</u>								
/ /	HALL, MICHAEL	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	18.47	0.00	18.47	0.0	16.62
/ /	HALL, MICHAEL	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	20.86	0.00	20.86	0.0	18.77
07/14/2017	CIPPARRONE, NANCY	BLUEROCK TOTAL INC & RE FUND	0.00	4.20	0.00	4.20	0.0	3.78
07/14/2017	NARDINI TRUST, RONALD	BLUEROCK TOTAL INC & RE FUND	0.00	5.11	0.00	5.11	0.0	4.60
07/14/2017	NARDINI TRUST, BARBARA	BLUEROCK TOTAL INC & RE FUND	0.00	5.11	0.00	5.11	0.0	4.60
07/14/2017	NARDINI, BARBARA	BLUEROCK TOTAL INC & RE FUND	0.00	18.35	0.00	18.35	0.0	16.52
07/14/2017	NARDINI, RONALD	BLUEROCK TOTAL INC & RE FUND	0.00	19.60	0.00	19.60	0.0	17.64
06/30/2017	GRANZYK, KATHLEEN	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	74.79	0.00	74.79	0.0	67.31
07/12/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	1,650.00	28.88	0.00	28.88	0.0	25.99
07/12/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	356.55	6.24	0.00	6.24	0.0	5.62
* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *			\$2,006.55	\$201.61	\$0.00	\$201.61		\$181.45

<u>BROKER: HALINA WIKAR #171</u>								
07/05/2017	RACZKA, PHILLIP	MFS AGGRESS GROWTH ALLOCATION 529A	50.00	2.50	0.00	2.50	55.0	0.88
07/11/2017	RACZKA, PHILLIP	MFS AGGRESS GROWTH ALLOCATION 529A	50.00	2.50	0.00	2.50	55.0	0.88
07/12/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	181.51	1.82	0.00	1.82	55.0	0.64
07/12/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	54.45	0.54	0.00	0.54	55.0	0.19
07/12/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	70.43	0.70	0.00	0.70	55.0	0.25
07/12/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	42.26	0.42	0.00	0.42	55.0	0.15
07/12/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	70.43	0.70	0.00	0.70	55.0	0.25
07/12/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	42.26	0.42	0.00	0.42	55.0	0.15

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: HALINA WIKAR #171 </u>								
07/12/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	181.51	1.82	0.00	1.82	55.0	0.64
07/12/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	54.45	0.54	0.00	0.54	55.0	0.19
07/12/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	195.00	1.95	0.00	1.95	55.0	0.68
07/12/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	35.66	0.36	0.00	0.36	55.0	0.13
07/12/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	136.13	1.36	0.00	1.36	55.0	0.48
07/12/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	40.84	0.41	0.00	0.41	55.0	0.14
07/12/2017	THOMAS, SYLVIA	MFS INTERNATIONAL NEW DISCOVERY C	33.81	0.34	0.00	0.34	55.0	0.12
07/12/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	181.51	1.82	0.00	1.82	55.0	0.64
07/12/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	54.45	0.54	0.00	0.54	55.0	0.19
07/12/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	70.43	0.70	0.00	0.70	55.0	0.25
07/12/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	8.45	0.08	0.00	0.08	55.0	0.03
07/05/2017	RACZKA, PHILLIP	MFS RESEARCH INTERNATIONAL FUND 529	50.00	2.50	0.00	2.50	55.0	0.88
07/11/2017	RACZKA, PHILLIP	MFS RESEARCH INTERNATIONAL FUND 529	50.00	2.50	0.00	2.50	55.0	0.88
07/17/2017	GRESZTA, LIDIA	MFS UTILITIES B	300.00	12.00	0.00	12.00	55.0	4.20
07/12/2017	WIKAR, HALINA	MFS UTILITIES C	71.31	0.71	0.00	0.71	55.0	0.25
07/12/2017	WIKAR, HALINA	MFS UTILITIES C	390.00	3.90	0.00	3.90	55.0	1.37
07/12/2017	WAL, SEBASTIAN	MFS UTILITIES C	226.87	2.27	0.00	2.27	55.0	0.79
07/12/2017	WAL, SEBASTIAN	MFS UTILITIES C	68.07	0.68	0.00	0.68	55.0	0.24
07/12/2017	THOMAS, SYLVIA	MFS UTILITIES C	70.43	0.70	0.00	0.70	55.0	0.25
07/12/2017	THOMAS, SYLVIA	MFS UTILITIES C	42.26	0.42	0.00	0.42	55.0	0.15
07/17/2017	GRESZTA, LIDIA	MFS VALUE B	700.00	28.00	0.00	28.00	55.0	9.80
07/12/2017	WIKAR, HALINA	MFS VALUE C	71.31	0.71	0.00	0.71	55.0	0.25
07/12/2017	WIKAR, HALINA	MFS VALUE C	390.00	3.90	0.00	3.90	55.0	1.37
* HALINA WIKAR SUBTOTAL *			\$3,983.83	\$77.81	\$0.00	\$77.81		\$27.31
<u> BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269 </u>								
07/10/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
07/10/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
06/23/2017	WILLIAMS, MARCIA	BOURNE SENIORS HOUSING III	25,000.00	1,750.00	0.00	1,750.00	0.0	1,575.00
07/12/2017	SKOWRON, ALEKSANDER	FS INVESTMENT CORP IV CLASS T	0.00	14.86	0.00	14.86	0.0	13.37
07/12/2017	GIBSON, PAMELA	FS INVESTMENT CORP IV CLASS T	0.00	27.85	0.00	27.85	0.0	25.07
06/30/2017	PARAGON, CARRIE	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	17.45	0.00	17.45	0.0	15.71
06/30/2017	RACK TRUST, JOAN	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	37.40	0.00	37.40	0.0	33.66
06/30/2017	GIBSON, PAMELA	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	124.66	0.00	124.66	0.0	112.19

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u>								
06/23/2017	WILLIAMS, MARCIA	HPI FUND V	100,000.00	6,000.00	0.00	6,000.00	0.0	5,400.00
07/06/2017	O'HARA, THOMAS	TRILINC GLOBAL IMPACT FUND C	0.00	24.19	0.00	24.19	0.0	21.77
* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *			\$125,075.00	\$7,997.91	\$0.00	\$7,997.91		\$7,198.12
<u>BROKER: MARK HUBER #395</u>								
07/11/2017	MARTIN, CHARMAINE	JNL PERSPECTIVE II 04/14	75,000.00	1,500.00	0.00	1,500.00	0.0	1,350.00
* MARK HUBER SUBTOTAL *			\$75,000.00	\$1,500.00	\$0.00	\$1,500.00		\$1,350.00
<u>BROKER: R150-RICHARD RODRIGUEZ, JR. #398</u>								
07/21/2017	MORISON, JANICE	CARTER VALIDUS MC REIT II CLASS A	65,000.00	4,550.00	0.00	4,550.00	0.0	4,095.00
* R150-RICHARD RODRIGUEZ, JR. SUBTOTAL *			\$65,000.00	\$4,550.00	\$0.00	\$4,550.00		\$4,095.00
*** OVERRIDE TRANSACTIONS TOTAL ***			\$271,065.38	\$14,340.86	\$0.00	\$14,340.86		\$12,864.06

BROKER COMMISSION TOTALS

Broker Transactions	\$1,625.50
Split Transactions	\$35.74
Override Transactions	\$12,864.06
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14,525.30
Year-to-date Gross	\$124,790.33
Year-to-date Earnings	\$195,325.67

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