



Concorde Investment Services, LLC

07/12/2017  
Page No. 1

# COMMISSION STATEMENT July 15, 2017

NATHANIEL KUHN  
612 S. Bristol Lane

BROKER #: K134  
BRANCH: KUHN FINANCIAL, INC

Arlington Heights, IL 60005-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
06/26/2017	LAMPI FAMILY TRUST	GPB AUTOMOTIVE PORTFOLIO LP	25,000.00	0.00	0.00	0.00	90.0	0.00
06/26/2017	LAMPI FAMILY TRUST	GPB WASTE MANAGEMENT LP	25,000.00	875.00	0.00	875.00	90.0	787.50
* PRIVATE PLACEMENT SUBTOTAL *			<b>\$50,000.00</b>	<b>\$875.00</b>	<b>\$0.00</b>	<b>\$875.00</b>		<b>\$787.50</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	KOVACH, ERIC	AM FUNDS AMCAP A	25.00	1.06	0.00	1.06	90.0	0.95
07/03/2017	RAPATA, DANIEL	AM FUNDS AMCAP A	50.00	1.38	0.00	1.38	90.0	1.24
07/03/2017	SANTOS, LOURDES	AM FUNDS AMERICAN BALANCED C	100.00	1.00	0.00	1.00	90.0	0.90
07/03/2017	FUSCO, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	150.00	5.63	0.00	5.63	90.0	5.07
07/03/2017	RAPATA, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	90.0	1.24
07/03/2017	RAPATA, DANIEL	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.38	0.00	1.38	90.0	1.24
07/03/2017	TUCCINI, MELISSA	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	2.25
07/03/2017	ROLLINGER, LAUREN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	2.25
07/03/2017	TOWNSEND, KATHERINE	AM FUNDS FUNDAMENTAL INVESTORS A	100.00	5.00	0.00	5.00	90.0	4.50
07/03/2017	WIRTZ, GRETCHEN	AM FUNDS GROWTH AND INCOME 529A	100.00	4.25	0.00	4.25	90.0	3.83
07/03/2017	ALICOT, IRENE	AM FUNDS GROWTH AND INCOME A	100.00	5.00	0.00	5.00	90.0	4.50
07/03/2017	FUSCO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
07/03/2017	LIEBERMAN, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
07/03/2017	KOVACH, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	25.00	1.06	0.00	1.06	90.0	0.95
07/03/2017	LIVERIS, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
07/03/2017	MANSKE, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
07/03/2017	ROLLINGER, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
07/03/2017	TOWNSEND, KATHERINE	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
07/03/2017	KOVACH, ERIC	AM FUNDS ICA A	25.00	1.06	0.00	1.06	90.0	0.95
07/03/2017	RAPATA, DANIEL	AM FUNDS ICA A	50.00	1.38	0.00	1.38	90.0	1.24
07/03/2017	MANSKE, DONNA	AM FUNDS ICA A	50.00	2.50	0.00	2.50	90.0	2.25
07/03/2017	LIEBERMAN, DAVID	AM FUNDS SMALLCAP WORLD A	50.00	1.88	0.00	1.88	90.0	1.69

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	KOVACH, ERIC	AM FUNDS SMALLCAP WORLD A	25.00	1.06	0.00	1.06	90.0	0.95
07/03/2017	RAPATA, DANIEL	AM FUNDS SMALLCAP WORLD A	50.00	1.38	0.00	1.38	90.0	1.24
07/03/2017	LIVERIS, NICHOLAS	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
/ /	VOLKOBBER, SUSAN	UB TRUST FUND 60 A	0.00	1.50	0.00	1.50	90.0	1.35
/ /	VOLKOBBER, SUSAN	UB TRUST FUND 60 A	0.00	20.20	0.00	20.20	90.0	18.18
06/20/2017	VOLKOBBER, SUSAN	UB TRUST FUND 60 A	50.00	1.50	0.00	1.50	90.0	1.35
/ /	REHMAN, AFSHAN	UB TRUST FUND 80 A	0.00	5.15	0.00	5.15	90.0	4.64
/ /	REHMAN, AFSHAN	UB TRUST FUND 80 A	0.00	1.10	0.00	1.10	90.0	0.99
/ /	REHMAN, AFSHAN	UB TRUST FUND 80 A	0.00	1.10	0.00	1.10	90.0	0.99
/ /	GERALDS, PAMELA	UB TRUST FUND 80 A	0.00	1.67	0.00	1.67	90.0	1.50
06/12/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	75.00	2.25	0.00	2.25	90.0	2.03
06/12/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
06/12/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
06/26/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	75.00	2.25	0.00	2.25	90.0	2.03
06/26/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
06/26/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
/ /	CROW, ELISSA	UB&T MODERATE AGE BASED 09-10-C	0.00	19.57	0.00	19.57	90.0	17.61
/ /	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 0-02-A	0.00	0.15	0.00	0.15	90.0	0.14
06/19/2017	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 0-02-A	100.00	3.00	0.00	3.00	90.0	2.70
/ /	SAAM, ROBERT	UBT AGGRESSIVE AGE BASED 06-08-A	0.00	21.11	0.00	21.11	90.0	19.00
/ /	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 06-08-A	0.00	1.71	0.00	1.71	90.0	1.54
/ /	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 06-08-A	0.00	4.89	0.00	4.89	90.0	4.40
06/05/2017	SAAM, ROBERT	UBT AGGRESSIVE AGE BASED 06-08-A	200.00	6.00	0.00	6.00	90.0	5.40
06/15/2017	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 06-08-A	100.00	3.00	0.00	3.00	90.0	2.70
/ /	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 09-10-A	0.00	1.33	0.00	1.33	90.0	1.20
/ /	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 09-10-A	0.00	3.91	0.00	3.91	90.0	3.52
/ /	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 09-10-A	0.00	8.30	0.00	8.30	90.0	7.47
06/15/2017	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 09-10-A	100.00	3.00	0.00	3.00	90.0	2.70
06/20/2017	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 09-10-A	25.00	0.75	0.00	0.75	90.0	0.68
06/20/2017	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 09-10-A	25.00	0.75	0.00	0.75	90.0	0.68
/ /	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 11-12-A	0.00	0.04	0.00	0.04	90.0	0.04
/ /	WIRTZ, GRETCHEN	UBT AGGRESSIVE AGE BASED 11-12-A	0.00	3.59	0.00	3.59	90.0	3.23
/ /	DEUTSCH, SCOTT	UBT MODERATE AGE BASED 0-02-A	0.00	5.37	0.00	5.37	90.0	4.83

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	BRANNAN, JESSE	UBT MODERATE AGE BASED 0-02-A	0.00	12.76	0.00	12.76	90.0	11.48
/ /	KUHN, NATHANIEL	UBT MODERATE AGE BASED 0-02-A	0.00	6.04	0.00	6.04	90.0	5.44
/ /	TUCKER, BRANDON	UBT MODERATE AGE BASED 0-02-A	0.00	5.40	0.00	5.40	90.0	4.86
/ /	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 0-02-A	0.00	0.54	0.00	0.54	90.0	0.49
/ /	BUERSTATTE, BRANDI	UBT MODERATE AGE BASED 0-02-A	0.00	0.64	0.00	0.64	90.0	0.58
/ /	ARIAS, MELISSA	UBT MODERATE AGE BASED 0-02-A	0.00	4.11	0.00	4.11	90.0	3.70
/ /	LAMPI, KEITH	UBT MODERATE AGE BASED 0-02-A	0.00	3.86	0.00	3.86	90.0	3.47
/ /	MARIQUINA, JACQUELINE	UBT MODERATE AGE BASED 0-02-A	0.00	0.40	0.00	0.40	90.0	0.36
/ /	MACK, ALEXANDER	UBT MODERATE AGE BASED 0-02-A	0.00	1.63	0.00	1.63	90.0	1.47
/ /	NORRIS, VALERIE	UBT MODERATE AGE BASED 0-02-A	0.00	1.41	0.00	1.41	90.0	1.27
/ /	BUERSTATTE, BRANDI	UBT MODERATE AGE BASED 0-02-A	0.00	0.24	0.00	0.24	90.0	0.22
/ /	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 0-02-A	0.00	0.05	0.00	0.05	90.0	0.05
/ /	WASPI, GRANT	UBT MODERATE AGE BASED 0-02-A	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2017	BRANNAN, JESSE	UBT MODERATE AGE BASED 0-02-A	250.00	7.50	0.00	7.50	90.0	6.75
06/15/2017	WASPI, GRANT	UBT MODERATE AGE BASED 0-02-A	50.00	1.50	0.00	1.50	90.0	1.35
06/19/2017	TUCKER, BRANDON	UBT MODERATE AGE BASED 0-02-A	250.00	7.50	0.00	7.50	90.0	6.75
06/19/2017	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 0-02-A	30.00	0.90	0.00	0.90	90.0	0.81
06/19/2017	ARIAS, MELISSA	UBT MODERATE AGE BASED 0-02-A	300.00	9.00	0.00	9.00	90.0	8.10
06/19/2017	LAMPI, KEITH	UBT MODERATE AGE BASED 0-02-A	250.00	7.50	0.00	7.50	90.0	6.75
06/19/2017	MARIQUINA, JACQUELINE	UBT MODERATE AGE BASED 0-02-A	10.00	0.30	0.00	0.30	90.0	0.27
06/19/2017	MACK, ALEXANDER	UBT MODERATE AGE BASED 0-02-A	250.00	7.50	0.00	7.50	90.0	6.75
06/19/2017	NORRIS, VALERIE	UBT MODERATE AGE BASED 0-02-A	150.00	4.50	0.00	4.50	90.0	4.05
06/19/2017	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 0-02-A	30.00	0.90	0.00	0.90	90.0	0.81
/ /	KLABACHA, JASON	UBT MODERATE AGE BASED 03-05-A	0.00	6.02	0.00	6.02	90.0	5.42
/ /	REIDY, TIFFANY	UBT MODERATE AGE BASED 03-05-A	0.00	10.05	0.00	10.05	90.0	9.05
/ /	WIRTZ, GRETCHEN	UBT MODERATE AGE BASED 03-05-A	0.00	1.15	0.00	1.15	90.0	1.04
/ /	SAAM, ROBERT	UBT MODERATE AGE BASED 03-05-A	0.00	3.05	0.00	3.05	90.0	2.75
/ /	LAMPI, KEITH	UBT MODERATE AGE BASED 03-05-A	0.00	9.07	0.00	9.07	90.0	8.16
/ /	CATANESE, ERIN	UBT MODERATE AGE BASED 03-05-A	0.00	18.94	0.00	18.94	90.0	17.05
/ /	BRANNAN, JESSE	UBT MODERATE AGE BASED 03-05-A	0.00	17.92	0.00	17.92	90.0	16.13
/ /	SISON, MARYBETH	UBT MODERATE AGE BASED 03-05-A	0.00	2.26	0.00	2.26	90.0	2.03
/ /	NORRIS, VALERIE	UBT MODERATE AGE BASED 03-05-A	0.00	25.63	0.00	25.63	90.0	23.07
/ /	CATANESE, JODY	UBT MODERATE AGE BASED 03-05-A	0.00	5.07	0.00	5.07	90.0	4.56

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	MARTIN, JOELLYN	UBT MODERATE AGE BASED 03-05-A	0.00	5.97	0.00	5.97	90.0	5.37
/ /	COLAN, MICHAEL	UBT MODERATE AGE BASED 03-05-A	0.00	1.63	0.00	1.63	90.0	1.47
/ /	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 03-05-A	0.00	1.14	0.00	1.14	90.0	1.03
/ /	KADLEC, SABA	UBT MODERATE AGE BASED 03-05-A	0.00	0.12	0.00	0.12	90.0	0.11
/ /	WHITE, AMANDA	UBT MODERATE AGE BASED 03-05-A	0.00	3.58	0.00	3.58	90.0	3.22
/ /	ARIAS, MELISSA	UBT MODERATE AGE BASED 03-05-A	0.00	7.40	0.00	7.40	90.0	6.66
/ /	SAYRE, ROSS	UBT MODERATE AGE BASED 03-05-A	0.00	2.70	0.00	2.70	90.0	2.43
/ /	WILSON, JOE	UBT MODERATE AGE BASED 03-05-A	0.00	0.92	0.00	0.92	90.0	0.83
/ /	KARKI, TRISHA	UBT MODERATE AGE BASED 03-05-A	0.00	2.68	0.00	2.68	90.0	2.41
/ /	MEYER, ELIZABETH	UBT MODERATE AGE BASED 03-05-A	0.00	0.71	0.00	0.71	90.0	0.64
/ /	HARRIS, KRISTOFER	UBT MODERATE AGE BASED 03-05-A	0.00	0.12	0.00	0.12	90.0	0.11
/ /	BATTAGLIA, JULIE	UBT MODERATE AGE BASED 03-05-A	0.00	0.16	0.00	0.16	90.0	0.14
/ /	GREINER, PAUL	UBT MODERATE AGE BASED 03-05-A	0.00	9.50	0.00	9.50	90.0	8.55
/ /	GREINER, PAUL	UBT MODERATE AGE BASED 03-05-A	0.00	9.50	0.00	9.50	90.0	8.55
/ /	CATANESE, JODY	UBT MODERATE AGE BASED 03-05-A	0.00	2.96	0.00	2.96	90.0	2.66
/ /	REIDY, TIFFANY	UBT MODERATE AGE BASED 03-05-A	0.00	7.24	0.00	7.24	90.0	6.52
/ /	DEUTSCH, SCOTT	UBT MODERATE AGE BASED 03-05-A	0.00	6.16	0.00	6.16	90.0	5.54
/ /	ARATA, MATHEW	UBT MODERATE AGE BASED 03-05-A	0.00	5.78	0.00	5.78	90.0	5.20
/ /	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 03-05-A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	SILKAITIS, MYIA	UBT MODERATE AGE BASED 03-05-A	0.00	0.72	0.00	0.72	90.0	0.65
/ /	MARIQUINA, JACQUELINE	UBT MODERATE AGE BASED 03-05-A	0.00	0.19	0.00	0.19	90.0	0.17
/ /	SAYRE, MICHAEL	UBT MODERATE AGE BASED 03-05-A	0.00	1.71	0.00	1.71	90.0	1.54
/ /	SAYRE, MICHAEL	UBT MODERATE AGE BASED 03-05-A	0.00	1.71	0.00	1.71	90.0	1.54
06/01/2017	KLABACHA, JASON	UBT MODERATE AGE BASED 03-05-A	300.00	9.00	0.00	9.00	90.0	8.10
06/01/2017	REIDY, TIFFANY	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
06/01/2017	LAMPI, KEITH	UBT MODERATE AGE BASED 03-05-A	250.00	7.50	0.00	7.50	90.0	6.75
06/01/2017	BRANNAN, JESSE	UBT MODERATE AGE BASED 03-05-A	250.00	7.50	0.00	7.50	90.0	6.75
06/01/2017	NORRIS, VALERIE	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
06/01/2017	CATANESE, JODY	UBT MODERATE AGE BASED 03-05-A	100.00	3.00	0.00	3.00	90.0	2.70
06/01/2017	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 03-05-A	30.00	0.90	0.00	0.90	90.0	0.81
06/01/2017	WHITE, AMANDA	UBT MODERATE AGE BASED 03-05-A	100.00	3.00	0.00	3.00	90.0	2.70
06/01/2017	REIDY, TIFFANY	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
06/07/2017	ARATA, MATHEW	UBT MODERATE AGE BASED 03-05-A	111.00	3.33	0.00	3.33	90.0	3.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/15/2017	ARIAS, MELISSA	UBT MODERATE AGE BASED 03-05-A	300.00	9.00	0.00	9.00	90.0	8.10
06/15/2017	GREINER, PAUL	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
06/15/2017	GREINER, PAUL	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
06/16/2017	CATANESE, ERIN	UBT MODERATE AGE BASED 03-05-A	500.00	15.00	0.00	15.00	90.0	13.50
06/16/2017	MARTIN, JOELLYN	UBT MODERATE AGE BASED 03-05-A	250.00	7.50	0.00	7.50	90.0	6.75
06/19/2017	WILSON, JOE	UBT MODERATE AGE BASED 03-05-A	30.00	0.90	0.00	0.90	90.0	0.81
06/19/2017	KARKI, TRISHA	UBT MODERATE AGE BASED 03-05-A	25.00	0.75	0.00	0.75	90.0	0.68
06/19/2017	MEYER, ELIZABETH	UBT MODERATE AGE BASED 03-05-A	25.00	0.75	0.00	0.75	90.0	0.68
06/19/2017	CATANESE, JODY	UBT MODERATE AGE BASED 03-05-A	100.00	3.00	0.00	3.00	90.0	2.70
06/19/2017	DEUTSCH, SCOTT	UBT MODERATE AGE BASED 03-05-A	500.00	15.00	0.00	15.00	90.0	13.50
06/19/2017	ARATA, MATHEW	UBT MODERATE AGE BASED 03-05-A	111.00	3.33	0.00	3.33	90.0	3.00
06/19/2017	SILKAITIS, MYIA	UBT MODERATE AGE BASED 03-05-A	50.00	1.50	0.00	1.50	90.0	1.35
06/19/2017	MARIQUINA, JACQUELINE	UBT MODERATE AGE BASED 03-05-A	25.00	0.75	0.00	0.75	90.0	0.68
06/19/2017	SAYRE, MICHAEL	UBT MODERATE AGE BASED 03-05-A	500.00	15.00	0.00	15.00	90.0	13.50
06/19/2017	SAYRE, MICHAEL	UBT MODERATE AGE BASED 03-05-A	500.00	15.00	0.00	15.00	90.0	13.50
06/30/2017	COLAN, MICHAEL	UBT MODERATE AGE BASED 03-05-A	75.00	2.25	0.00	2.25	90.0	2.03
/ /	LIEBERMAN, DAVID	UBT MODERATE AGE BASED 06-08-A	0.00	10.84	0.00	10.84	90.0	9.76
/ /	KLABACHA, JASON	UBT MODERATE AGE BASED 06-08-A	0.00	8.17	0.00	8.17	90.0	7.35
/ /	MUELLNER, MARK	UBT MODERATE AGE BASED 06-08-A	0.00	5.89	0.00	5.89	90.0	5.30
/ /	MARTIN, JOELLYN	UBT MODERATE AGE BASED 06-08-A	0.00	8.27	0.00	8.27	90.0	7.44
/ /	KUHN, NATHANIEL	UBT MODERATE AGE BASED 06-08-A	0.00	13.15	0.00	13.15	90.0	11.84
/ /	SISON, MARYBETH	UBT MODERATE AGE BASED 06-08-A	0.00	2.86	0.00	2.86	90.0	2.57
/ /	BUERSTATTE, BRANDI	UBT MODERATE AGE BASED 06-08-A	0.00	7.26	0.00	7.26	90.0	6.53
/ /	CATANESE, ERIN	UBT MODERATE AGE BASED 06-08-A	0.00	19.59	0.00	19.59	90.0	17.63
/ /	SILKAITIS, MYIA	UBT MODERATE AGE BASED 06-08-A	0.00	5.26	0.00	5.26	90.0	4.73
/ /	LIM, SUSIE	UBT MODERATE AGE BASED 06-08-A	0.00	8.25	0.00	8.25	90.0	7.43
/ /	KLABACHA, JASON	UBT MODERATE AGE BASED 06-08-A	0.00	1.35	0.00	1.35	90.0	1.22
/ /	REIDY, TIFFANY	UBT MODERATE AGE BASED 06-08-A	0.00	1.00	0.00	1.00	90.0	0.90
/ /	BATTAGLIA, MICHAEL	UBT MODERATE AGE BASED 06-08-A	0.00	2.57	0.00	2.57	90.0	2.31
/ /	WIRTZ, GRETCHEN	UBT MODERATE AGE BASED 06-08-A	0.00	0.25	0.00	0.25	90.0	0.23
/ /	SAAM, ROBERT	UBT MODERATE AGE BASED 06-08-A	0.00	6.53	0.00	6.53	90.0	5.88
/ /	KARKI, TRISHA	UBT MODERATE AGE BASED 06-08-A	0.00	2.81	0.00	2.81	90.0	2.53
/ /	COLAN, MICHAEL	UBT MODERATE AGE BASED 06-08-A	0.00	1.61	0.00	1.61	90.0	1.45

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	MEYER, ELIZABETH	UBT MODERATE AGE BASED 06-08-A	0.00	0.70	0.00	0.70	90.0	0.63
/ /	LAWLESS, JENNIFER	UBT MODERATE AGE BASED 06-08-A	0.00	2.38	0.00	2.38	90.0	2.14
/ /	CONTRERAS, ANDY	UBT MODERATE AGE BASED 06-08-A	0.00	0.48	0.00	0.48	90.0	0.43
/ /	CONTRERAS, ANDY	UBT MODERATE AGE BASED 06-08-A	0.00	0.48	0.00	0.48	90.0	0.43
/ /	HARRIS, KRISTOFER	UBT MODERATE AGE BASED 06-08-A	0.00	8.07	0.00	8.07	90.0	7.26
/ /	WILKINS, AARON	UBT MODERATE AGE BASED 06-08-A	0.00	9.44	0.00	9.44	90.0	8.50
/ /	LAMPI, KEITH	UBT MODERATE AGE BASED 06-08-A	0.00	12.99	0.00	12.99	90.0	11.69
/ /	BUERSTATTE, BRANDI	UBT MODERATE AGE BASED 06-08-A	0.00	9.17	0.00	9.17	90.0	8.25
06/01/2017	KLABACHA, JASON	UBT MODERATE AGE BASED 06-08-A	300.00	9.00	0.00	9.00	90.0	8.10
06/01/2017	MUELLNER, MARK	UBT MODERATE AGE BASED 06-08-A	100.00	3.00	0.00	3.00	90.0	2.70
06/01/2017	SILKAITIS, MYIA	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
06/01/2017	LIM, SUSIE	UBT MODERATE AGE BASED 06-08-A	100.00	3.00	0.00	3.00	90.0	2.70
06/01/2017	BATTAGLIA, MICHAEL	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
06/01/2017	SAAM, ROBERT	UBT MODERATE AGE BASED 06-08-A	200.00	6.00	0.00	6.00	90.0	5.40
06/05/2017	WILKINS, AARON	UBT MODERATE AGE BASED 06-08-A	150.00	4.50	0.00	4.50	90.0	4.05
06/15/2017	LIEBERMAN, DAVID	UBT MODERATE AGE BASED 06-08-A	100.00	3.00	0.00	3.00	90.0	2.70
06/15/2017	LAMPI, KEITH	UBT MODERATE AGE BASED 06-08-A	250.00	7.50	0.00	7.50	90.0	6.75
06/16/2017	MARTIN, JOELLYN	UBT MODERATE AGE BASED 06-08-A	250.00	7.50	0.00	7.50	90.0	6.75
06/16/2017	CATANESE, ERIN	UBT MODERATE AGE BASED 06-08-A	500.00	15.00	0.00	15.00	90.0	13.50
06/19/2017	MEYER, ELIZABETH	UBT MODERATE AGE BASED 06-08-A	25.00	0.75	0.00	0.75	90.0	0.68
06/19/2017	CONTRERAS, ANDY	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
06/19/2017	CONTRERAS, ANDY	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
06/21/2017	KARKI, TRISHA	UBT MODERATE AGE BASED 06-08-A	25.00	0.75	0.00	0.75	90.0	0.68
06/29/2017	KARKI, TRISHA	UBT MODERATE AGE BASED 06-08-A	250.00	7.50	0.00	7.50	90.0	6.75
06/30/2017	COLAN, MICHAEL	UBT MODERATE AGE BASED 06-08-A	75.00	2.25	0.00	2.25	90.0	2.03
/ /	CROW, ELISSA	UBT MODERATE AGE BASED 06-08-C	0.00	19.74	0.00	19.74	90.0	17.77
/ /	COLAN, MICHAEL	UBT MODERATE AGE BASED 09-10-A	0.00	3.08	0.00	3.08	90.0	2.77
/ /	MUELLNER, MARK	UBT MODERATE AGE BASED 09-10-A	0.00	5.74	0.00	5.74	90.0	5.17
/ /	HIRSTIUS, KERRIE	UBT MODERATE AGE BASED 09-10-A	0.00	2.14	0.00	2.14	90.0	1.93
/ /	HIRSTIUS, KERRIE	UBT MODERATE AGE BASED 09-10-A	0.00	32.28	0.00	32.28	90.0	29.05
/ /	HARRIS, KRISTOFER	UBT MODERATE AGE BASED 09-10-A	0.00	10.54	0.00	10.54	90.0	9.49
/ /	WELLINGTON, ERICA	UBT MODERATE AGE BASED 09-10-A	0.00	4.81	0.00	4.81	90.0	4.33
/ /	WILKINS, AARON	UBT MODERATE AGE BASED 09-10-A	0.00	13.49	0.00	13.49	90.0	12.14

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	STETTER, MARIA	UBT MODERATE AGE BASED 09-10-A	0.00	0.91	0.00	0.91	90.0	0.82
06/01/2017	MUELLNER, MARK	UBT MODERATE AGE BASED 09-10-A	100.00	3.00	0.00	3.00	90.0	2.70
06/05/2017	WILKINS, AARON	UBT MODERATE AGE BASED 09-10-A	150.00	4.50	0.00	4.50	90.0	4.05
06/19/2017	HIRSTIUS, KERRIE	UBT MODERATE AGE BASED 09-10-A	255.00	7.65	0.00	7.65	90.0	6.89
06/30/2017	COLAN, MICHAEL	UBT MODERATE AGE BASED 09-10-A	75.00	2.25	0.00	2.25	90.0	2.03
/ /	LIEBERMAN, DAVID	UBT MODERATE AGE BASED 11-12-A	0.00	8.18	0.00	8.18	90.0	7.36
/ /	BATTAGLIA, MICHAEL	UBT MODERATE AGE BASED 11-12-A	0.00	6.80	0.00	6.80	90.0	6.12
/ /	HINTCH, ELIZABETH	UBT MODERATE AGE BASED 11-12-A	0.00	32.90	0.00	32.90	90.0	29.61
/ /	WELLINGTON, ERICA	UBT MODERATE AGE BASED 11-12-A	0.00	1.72	0.00	1.72	90.0	1.55
/ /	STETTER, MARIA	UBT MODERATE AGE BASED 11-12-A	0.00	3.81	0.00	3.81	90.0	3.43
06/01/2017	BATTAGLIA, MICHAEL	UBT MODERATE AGE BASED 11-12-A	100.00	3.00	0.00	3.00	90.0	2.70
06/01/2017	HINTCH, ELIZABETH	UBT MODERATE AGE BASED 11-12-A	850.00	25.50	0.00	25.50	90.0	22.95
06/01/2017	STETTER, MARIA	UBT MODERATE AGE BASED 11-12-A	50.00	1.50	0.00	1.50	90.0	1.35
06/15/2017	LIEBERMAN, DAVID	UBT MODERATE AGE BASED 11-12-A	100.00	3.00	0.00	3.00	90.0	2.70
06/15/2017	WELLINGTON, ERICA	UBT MODERATE AGE BASED 11-12-A	250.00	7.50	0.00	7.50	90.0	6.75
/ /	CROW, ELISSA	UBT MODERATE AGE BASED 11-12-C	0.00	18.97	0.00	18.97	90.0	17.07
/ /	MUELLNER, MARK	UBT MODERATE AGE BASED 13-14-A	0.00	5.59	0.00	5.59	90.0	5.03
/ /	WELLINGTON, ERICA	UBT MODERATE AGE BASED 13-14-A	0.00	8.00	0.00	8.00	90.0	7.20
06/01/2017	MUELLNER, MARK	UBT MODERATE AGE BASED 13-14-A	100.00	3.00	0.00	3.00	90.0	2.70
06/15/2017	WELLINGTON, ERICA	UBT MODERATE AGE BASED 13-14-A	250.00	7.50	0.00	7.50	90.0	6.75
/ /	LOPEZ, JOSE	UBT MODERATE AGE BASED 15-16-A	0.00	4.73	0.00	4.73	90.0	4.26
/ /	CONDE, CATHERINE	UBT MODERATE AGE BASED 15-16-A	0.00	2.59	0.00	2.59	90.0	2.33
/ /	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 15-16-A	0.00	12.42	0.00	12.42	90.0	11.18
/ /	ROSALES-HARRIGAN, ESTELA	UBT MODERATE AGE BASED 15-16-A	0.00	9.67	0.00	9.67	90.0	8.70
06/05/2017	LOPEZ, JOSE	UBT MODERATE AGE BASED 15-16-A	50.00	1.50	0.00	1.50	90.0	1.35
06/05/2017	ROSALES-HARRIGAN, ESTELA	UBT MODERATE AGE BASED 15-16-A	150.00	4.50	0.00	4.50	90.0	4.05
06/20/2017	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 15-16-A	100.00	3.00	0.00	3.00	90.0	2.70
06/26/2017	ROSALES-HARRIGAN, ESTELA	UBT MODERATE AGE BASED 15-16-A	100.00	3.00	0.00	3.00	90.0	2.70
/ /	LOPEZ, JOSE	UBT MODERATE AGE BASED 17-18-A	0.00	4.44	0.00	4.44	90.0	4.00
/ /	CONDE, CATHERINE	UBT MODERATE AGE BASED 17-18-A	0.00	1.75	0.00	1.75	90.0	1.58
/ /	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 17-18-A	0.00	5.13	0.00	5.13	90.0	4.62
06/05/2017	LOPEZ, JOSE	UBT MODERATE AGE BASED 17-18-A	50.00	1.50	0.00	1.50	90.0	1.35
06/20/2017	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 17-18-A	100.00	3.00	0.00	3.00	90.0	2.70

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	LOPEZ, JOSE	UBT MODERATE AGE BASED 19+-A	0.00	3.98	0.00	3.98	90.0	3.58
06/05/2017	LOPEZ, JOSE	UBT MODERATE AGE BASED 19+-A	50.00	1.50	0.00	1.50	90.0	1.35
* MUTUAL FUNDS SUBTOTAL *			<b>\$14,157.00</b>	<b>\$1,122.23</b>	<b>\$0.00</b>	<b>\$1,122.23</b>		<b>\$1,010.11</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	KUHN, LINDA	HARTFORD DIRECTOR VII (HL)	18,332.11	11.46	0.00	11.46	90.0	10.31
07/03/2017	GALLESE, ALLISON	JNL ELITE ACCESS	500.00	26.25	0.00	26.25	90.0	23.63
07/01/2017	JOHNSON, LISA	NATIONWIDE BOA FUTURE II 32	49,674.98	31.05	0.00	31.05	90.0	27.95
07/01/2017	KUHN, THOMAS	NATIONWIDE BOA FUTURE II 32	435,257.19	272.04	0.00	272.04	90.0	244.84
06/30/2017	RITCHIE, KARREN	PRU AS ADVISOR PLAN III	71,107.24	44.44	0.00	44.44	90.0	40.00
06/30/2017	RITCHIE, KARREN	PRU AS ADVISOR PLAN III	71,107.24	44.44	0.00	44.44	90.0	40.00
06/30/2017	RITCHIE, KARREN	PRU AS ADVISOR PLAN III	97,612.63	61.01	0.00	61.01	90.0	54.91
06/30/2017	RITCHIE, KARREN	PRU AS ADVISOR PLAN III	97,612.63	61.01	0.00	61.01	90.0	54.91
06/29/2017	LOIKA, GREGORY	SBL ADVISOR PROGRAM	5,846.37	4.89	0.00	4.89	90.0	4.40
06/29/2017	LOIKA, JENNIFER	SBL ADVISOR PROGRAM	5,850.43	4.89	0.00	4.89	90.0	4.40
06/30/2017	EDUCATION, BOARD OF	VOYA RETIREMENT & ANNUITY	0.00	26.56	0.00	26.56	90.0	23.90
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$852,900.82</b>	<b>\$588.04</b>	<b>\$0.00</b>	<b>\$588.04</b>		<b>\$529.25</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$917,057.82</b>	<b>\$2,585.27</b>	<b>\$0.00</b>	<b>\$2,585.27</b>		<b>\$2,326.86</b>
<b><u>ADJUSTMENTS</u></b>								
07/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					975.00
07/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*TECH: ADMIN-K JALOWIECKI	0.00					-100.00
07/15/2017		*TECH: ADMIN-W CLARK	0.00					-100.00
07/15/2017		*TECH: ALBRIDGE FULL	0.00					-100.00
07/15/2017		*TECHNOLOGY: ADMIN - MATT KUHN	0.00					-100.00
07/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,326.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,326.86
Year-to-date Gross	\$411,428.98
Year-to-date Earnings	\$370,287.29