

All Companies
STATEMENT
June 20, 2018

BEN REYNOSO
222 N Garden St #300
SUITE 620
Visalia, CA 93291

BROKER #: 174
BRANCH:

COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
04/30/0201	#0049157621	BLCKRCK	0.00	6.91	94.0	0.00	6.50	0.00	6.50
04/30/0201	#0047818448 COUNSEL, TR	BLCKRCK	0.00	2.58	94.0	0.00	2.43	0.00	2.43
04/30/0201	#0048450324 TRUST COMPANY,	BLCKRCK	0.00	11.07	94.0	0.00	10.41	0.00	10.41
04/30/0201	#0001226331 YOUNG, MICH	BLCKRCK	0.00	1.65	94.0	0.00	1.55	0.00	1.55
04/30/0201	#0004950242 BLANKENSHIP,	BLCKRCK	0.00	1.50	94.0	0.00	1.41	0.00	1.41
04/30/0201	#0049157621	BLCKRCK	0.00	7.80	94.0	0.00	7.33	0.00	7.33
04/30/0201	#0047895412 TRUST COMPANY,	BLCKRCK	0.00	1.26	94.0	0.00	1.18	0.00	1.18
04/30/0201	#0049157621	BLCKRCK	0.00	20.72	94.0	0.00	19.48	0.00	19.48
04/30/0201	#0001226331 YOUNG, MICH	BLCKRCK	0.00	4.96	94.0	0.00	4.66	0.00	4.66
04/30/0201	#0004950242 BLANKENSHIP,	BLCKRCK	0.00	4.50	94.0	0.00	4.23	0.00	4.23
04/30/0201	#0049157621	BLCKRCK	0.00	23.39	94.0	0.00	21.99	0.00	21.99
04/30/0201	#074480003530 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	6,382.69	2.62	94.0	0.00	2.46	0.00	2.46
04/30/0201	#074480003828 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	19,050.83	7.83	94.0	0.00	7.36	0.00	7.36
04/30/0201	#074480019676 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	1,297.28	0.53	94.0	0.00	0.50	0.00	0.50
04/30/0201	#074480013753 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	39.98	0.02	94.0	0.00	0.02	0.00	0.02
04/30/0201	#070440060258 & TRUST,	COLUMBIA SMALLCAP INDEX FUND A	22,367.29	4.60	94.0	0.00	4.32	0.00	4.32
04/30/0201	#070440064322 & TRUST,	COLUMBIA SMALLCAP INDEX FUND A	49,987.64	10.27	94.0	0.00	9.65	0.00	9.65
04/30/0201	#055552551641 PARTNER, ME	FEDERATED	0.00	1.58	94.0	0.00	1.49	0.00	1.49
04/30/0201	#055552551641 PARTNER, ME	FEDERATED	0.00	4.74	94.0	0.00	4.46	0.00	4.46
04/30/0201	#055552551641 PARTNER, ME	FEDERATED GLOBAL ALLOCATION	0.00	11.51	94.0	0.00	10.82	0.00	10.82
04/30/0201	#055552551641 PARTNER, ME	FEDERATED GLOBAL ALLOCATION	0.00	34.52	94.0	0.00	32.45	0.00	32.45
04/30/0201	#055552551641 PARTNER, ME	FEDERATED GOV OBLIGATION	0.00	2.21	94.0	0.00	2.08	0.00	2.08
04/30/0201	#055552551641 PARTNER, ME	FEDERATED STRAT VAL	0.00	1.07	94.0	0.00	1.01	0.00	1.01
04/30/0201	#055552551641 PARTNER, ME	FEDERATED STRAT VAL	0.00	3.20	94.0	0.00	3.01	0.00	3.01
04/30/0201	#055552551641 PARTNER, ME	FEDERATED STRATEGIC INCOME	0.00	0.44	94.0	0.00	0.41	0.00	0.41
04/30/0201	#055552551641 PARTNER, ME	FEDERATED STRATEGIC INCOME	0.00	1.33	94.0	0.00	1.25	0.00	1.25
04/30/0201	#055552551641 PARTNER, ME	FEDERATED.	0.00	0.20	94.0	0.00	0.19	0.00	0.19
04/30/0201	#055552551641 PARTNER, ME	FEDERATED.	0.00	0.60	94.0	0.00	0.56	0.00	0.56

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/30/2021	#074190002397 & TRUST, ARTIS	JP MORGAN	0.00	3.97	94.0	0.00	3.73	0.00	3.73
04/30/2021	#074190005734 & TRUST, ARTIS	JP MORGAN	0.00	0.01	94.0	0.00	0.01	0.00	0.01
04/30/2021	#074190009086 & TRUST, ARTIS	JP MORGAN	0.00	0.23	94.0	0.00	0.22	0.00	0.22
05/02/2018	#00068787876 LAMBERT, CHLOE	AMERICAN BALANCED FUND-529A	150.00	6.38	94.0	0.00	6.00	0.00	6.00
05/02/2018	#00081603713 LAMBERT, CHLOE	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	94.0	0.00	2.00	0.00	2.00
05/07/2018	#0049157621	BLCKRCK	250.00	2.50	94.0	0.00	2.35	0.00	2.35
05/07/2018	#0049157621	BLCKRCK	250.00	2.50	94.0	0.00	2.35	0.00	2.35
05/10/2018	#00073613500 DIXON, TRACEY	AMERICAN MUTUAL FUND INC CLASS A	75.00	2.81	94.0	0.00	2.64	0.00	2.64
05/10/2018	#00073612948 DIXON, JEFF	GROWTH FUND AMERICA INC CLASS A	75.00	2.81	94.0	0.00	2.64	0.00	2.64
05/15/2018	#00085514756 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
05/15/2018	#00085514794 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
05/15/2018	#00085514806 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
05/15/2018	#00086006772 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
05/15/2018	#00085514756 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07
05/15/2018	#00085514794 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07
05/15/2018	#00085514806 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07
05/15/2018	#00086006772 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07
05/15/2018	#00085514756 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
05/15/2018	#00085514794 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
05/15/2018	#00085514806 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
05/15/2018	#00086006772 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
* MUTUAL FUNDS SUBTOTAL *			\$100,935.71	\$223.35		\$0.00	\$209.99	\$0.00	\$209.99
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/03/0018	#129617LB8 EVANS, PAM	TRANSAMERICA LIFE	46,473.55	38.73	94.0	0.00	36.41	0.00	36.41
04/07/0018	#104571LB8 GUTIERREZ, LOUIS	TRANSAMERICA LIFE	39,848.31	33.21	94.0	0.00	31.22	0.00	31.22
04/08/0018	#104570LB8 GUTIERREZ, LOUIS	TRANSAMERICA LIFE	50,429.80	42.02	94.0	0.00	39.50	0.00	39.50
05/07/0018	#803625000 BIG PINE PAI	TRANSAMERICA FIN LIFE	298,786.23	124.49	94.0	0.00	117.02	0.00	117.02
05/07/0018	#500002ABZ ENTERPRISE, RGK	TRANSAMERICA FIN LIFE	276,134.14	115.06	94.0	0.00	108.16	0.00	108.16
05/07/0018	#500002ARB ELLEN, MARY	TRANSAMERICA FIN LIFE	42,280.09	17.62	94.0	0.00	16.56	0.00	16.56
05/07/0018	#500002BBI PERFO, GILSON	TRANSAMERICA FIN LIFE	10,583.76	4.41	94.0	0.00	4.15	0.00	4.15
05/07/0018	#500002BLH SANC, ERNESTO	TRANSAMERICA FIN LIFE	11,754.84	4.90	94.0	0.00	4.61	0.00	4.61
05/07/0018	#500002BVT MACHANICS,	TRANSAMERICA FIN LIFE	8,821.68	3.68	94.0	0.00	3.46	0.00	3.46
05/07/0018	#500002E26 MENDOZA FAMILY	TRANSAMERICA FIN LIFE	19,648.33	8.19	94.0	0.00	7.70	0.00	7.70
05/07/0018	#500002M18 WESTERN SIER	TRANSAMERICA FIN LIFE	1,137.23	0.47	94.0	0.00	0.44	0.00	0.44
05/07/0018	#500002P58 JANICES INC	TRANSAMERICA FIN LIFE	28,316.07	11.80	94.0	0.00	11.09	0.00	11.09

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/07/0018	#500002P59 LOPEZ, MARIA	TRANSAMERICA FIN LIFE	13,846.14	5.77	94.0	0.00	5.42	0.00	5.42
05/07/0018	#500002R30 , ANTONIO&VE	TRANSAMERICA FIN LIFE	8,145.10	3.39	94.0	0.00	3.19	0.00	3.19
05/07/0018	#500002S00 HORIZON, MARK	TRANSAMERICA FIN LIFE	107,535.84	44.81	94.0	0.00	42.12	0.00	42.12
05/07/0018	#500002S08 TRANSWEST	TRANSAMERICA FIN LIFE	210,198.99	87.58	94.0	0.00	82.33	0.00	82.33
05/07/0018	#500002S10 HORIZON, TRAN	TRANSAMERICA FIN LIFE	196,582.30	81.91	94.0	0.00	77.00	0.00	77.00
05/07/0018	#500002S24 SUNRIVER, SAL	TRANSAMERICA FIN LIFE	526,743.09	219.48	94.0	0.00	206.31	0.00	206.31
05/07/0018	#500002U17 NORMA, ALICIA	TRANSAMERICA FIN LIFE	102.38	0.04	94.0	0.00	0.04	0.00	0.04
05/07/0018	#500002V01 CANNACANHELP	TRANSAMERICA FIN LIFE	13,882.10	5.78	94.0	0.00	5.43	0.00	5.43
05/07/0018	#500002W19 NETTO, A&A	TRANSAMERICA FIN LIFE	327,086.10	136.29	94.0	0.00	128.11	0.00	128.11
05/07/0018	#500002Z47 CARE, ORDUNO	TRANSAMERICA FIN LIFE	12,377.54	5.16	94.0	0.00	4.85	0.00	4.85
05/31/2018	#1011649730 REYNOSO,	ADVS II (08/11)	68,979.29	57.48	94.0	0.00	54.03	0.00	54.03
05/31/2018	#1015044205 COLLINS,	ELITE ACCESS	32,079.80	26.73	94.0	0.00	25.13	0.00	25.13
05/31/2018	#1015975837 DELGADO, MIGUEL	ELITE ACCESS	7,080.82	5.90	94.0	0.00	5.55	0.00	5.55
05/31/2018	#1014723311 SCHWAMB, SHANNON	ELITE ACCESS	466,562.58	388.80	94.0	0.00	365.47	0.00	365.47
05/31/2018	#1015151290 SALMON	ELITE ACCESS L	108,505.76	90.42	94.0	0.00	84.99	0.00	84.99
05/31/2018	#1015154353 SALMON	ELITE ACCESS L	33,372.48	27.81	94.0	0.00	26.14	0.00	26.14
05/31/2018	#1016329351 SCHMIDT, LARRY	ELITE ACCESS L	67,336.43	56.11	94.0	0.00	52.74	0.00	52.74
05/31/2018	#1011931452 JASSO, EUSEBIO	PERSP L 08/11	121,653.30	101.38	94.0	0.00	95.30	0.00	95.30
05/31/2018	#1009421862 PETERSON, CARA	PERSPECTIVE II	166,226.31	34.63	94.0	0.00	32.55	0.00	32.55
05/31/2018	#1008962452 BROWN, SUSAN	PERSPECTIVE L	35,900.55	29.92	94.0	0.00	28.12	0.00	28.12
05/31/2018	#1008329393 DOUGLASS, JOHN	PERSPECTIVE L	19,909.12	16.59	94.0	0.00	15.59	0.00	15.59
* VARIABLE ANNUITIES SUBTOTAL *			\$3,378,320.05	\$1,830.56		\$0.00	\$1,720.73	\$0.00	\$1,720.73
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	#0000000000076594 TRUST,	FRANKLIN 345713547	0.00	0.00	94.0	0.00	0.00	0.00	0.00
05/02/2018	#06500180544 KUNKLRANDG	TRANSAMERICA DYNAMIC ALLOCATION C	0.00	5.67	94.0	0.00	5.33	0.00	5.33
05/07/2018	#01487226001 TRUST,	FIDELITY ADV MID CAP-CL T	0.00	10.30	94.0	0.00	9.68	0.00	9.68
05/07/2018	#01464834835 TRUST,	FIDELITY ADV SMALL CAP-CL T	0.00	16.14	94.0	0.00	15.17	0.00	15.17
05/09/2018	# FUJIE OKINO TTEE	DAIN TRAILER	0.00	50.57	94.0	0.00	47.54	0.00	47.54
05/09/2018	# FUJIE OKINO TTEE	DAIN TRAILER	0.00	68.51	94.0	0.00	64.40	0.00	64.40
05/14/2018	#07205405014 TRUST,	HIGH YIELD FUND	0.00	32.91	94.0	0.00	30.94	0.00	30.94
05/14/2018	#07205406021 TRUST,	HIGH YIELD FUND	0.00	0.08	94.0	0.00	0.08	0.00	0.08
05/14/2018	#07205406754 TRUST,	HIGH YIELD FUND	0.00	0.73	94.0	0.00	0.69	0.00	0.69
05/14/2018	#07205603760 TRUST,	TOTAL RETURN FUND A	0.00	104.59	94.0	0.00	98.31	0.00	98.31
05/14/2018	#07205604627 TRUST,	TOTAL RETURN FUND A	0.00	0.02	94.0	0.00	0.02	0.00	0.02
05/14/2018	#07205605825 TRUST,	TOTAL RETURN FUND A	0.00	0.16	94.0	0.00	0.15	0.00	0.15

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		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$289.68		\$0.00	\$272.31	\$0.00	\$272.31
		*** BROKER TRANSACTIONS TOTAL ***	\$3,479,255.76	\$2,343.59		\$0.00	\$2,203.03	\$0.00	\$2,203.03

ADJUSTMENTS

06/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
06/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
	*** ADJUSTMENTS TOTAL ***	\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,203.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,634.03
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