

**COMMISSION STATEMENT****October 15, 2017**JENNIFER DONAIS
78955 Via TriesteBROKER #: D134
BRANCH: TRUWEALTH FINANCIAL

LaQuinta, CA 92253-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
09/12/2017	SINNESS, STEVEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.48	0.00	8.48	90.0	7.63
09/12/2017	SINNESS, STEVEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.46	0.00	1.46	90.0	1.31
09/12/2017	SINNESS, STEVEN	AM FUNDS ICA A	0.00	9.65	0.00	9.65	90.0	8.69
09/12/2017	SINNESS, STEVEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.54	0.00	12.54	90.0	11.29
09/12/2017	SINNESS, STEVEN	AM FUNDS NEW WORLD A	0.00	3.61	0.00	3.61	90.0	3.25
09/12/2017	SINNESS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	1.71	0.00	1.71	90.0	1.54
09/25/2017	SINNESS, ELAINE	BLACKRCOK MUN BD FD INC	9,986.42	0.00	0.00	0.00	90.0	0.00
09/19/2017	KALIL, DANIEL	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	1.52	0.00	1.52	90.0	1.37
09/19/2017	MORRIS, SANDRA PACKER	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	0.09	0.00	0.09	90.0	0.08
09/12/2017	SINNESS, STEVEN	FIRST EAGLE GLOBAL FUND CL A	0.00	1.47	0.00	1.47	90.0	1.32
09/07/2017	LARAMIE, JAMES	ISHARES CORE S&P SMALL CAP ETF	7,210.90	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	ISHARES S&P 500 VALUE ETF	10,774.73	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	7,199.08	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	3,609.48	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	12,607.77	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	ISHARES TR CORE S&P MID-CAP ETF	8,897.07	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	ISHARES TR CORE TOTAL U S BD MKT ET	42,661.11	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	ISHARES TR IBOX USD INVT GRADE COR	7,170.26	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	5,313.94	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	ISHARES TR RUSSELL 2000 INDEX FD	6,942.01	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	ISHARES TR S&P 500 INDEX FD	20,856.35	0.00	17.16	-17.16	90.0	-15.44
09/12/2017	DONAI, RUTH	METROPOLITAN WEST TOTAL RETURN BOND	0.00	1.44	0.00	1.44	90.0	1.30
09/07/2017	LARAMIE, JAMES	POWERSHARES DB COMMODITY INDEX TRAC	6,761.69	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	POWERSHARES EXCHANGE-TRADED FD TR I	9,148.95	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	7,248.46	0.00	17.16	-17.16	90.0	-15.44
10/10/2017	KALIL, DANIEL	RBC	66,584.10	130.91	0.00	130.91	90.0	117.82

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333								
10/10/2017	KALIL, DANIEL	RBC	126,714.86	249.12	0.00	249.12	90.0	224.21
10/10/2017	KALIL, DANIEL	RBC	68,938.32	135.53	0.00	135.53	90.0	121.98
10/10/2017	KALIL, DANIEL	RBC	106,563.13	209.51	0.00	209.51	90.0	188.56
10/10/2017	KALIL, DANIEL	RBC	375,086.56	737.43	0.00	737.43	90.0	663.69
10/10/2017	KALIL, DANIEL	RBC	106,168.09	208.73	0.00	208.73	90.0	187.86
10/10/2017	SCHWAN, BRIAN	RBC	201,100.26	395.37	0.00	395.37	90.0	355.83
10/10/2017	SCHWAN, BRIAN	RBC	36,529.75	71.81	0.00	71.81	90.0	64.63
10/10/2017	DONAI, RUTH	RBC	65,676.41	129.12	0.00	129.12	90.0	116.21
10/10/2017	DONAI, RUTH	RBC	168,118.83	330.53	0.00	330.53	90.0	297.48
10/10/2017	DONAI, DONALD	RBC	97,434.11	191.56	0.00	191.56	90.0	172.40
10/10/2017	DONAI, DONALD	RBC	76,741.21	150.88	0.00	150.88	90.0	135.79
10/10/2017	DONAI, DONALD	RBC	119,700.29	235.33	0.00	235.33	90.0	211.80
10/10/2017	HATLESTAD, PATRICK	RBC	21,228.49	41.74	0.00	41.74	90.0	37.57
10/10/2017	HATLESTAD, PATRICK	RBC	187,281.52	368.20	0.00	368.20	90.0	331.38
10/10/2017	HATLESTAD, DURELLE	RBC	8,927.32	17.55	0.00	17.55	90.0	15.80
10/10/2017	HATLESTAD, DURELLE	RBC	143,847.86	282.81	0.00	282.81	90.0	254.53
10/10/2017	SINNESS, STEVEN	RBC	487,277.55	958.00	0.00	958.00	90.0	862.20
10/10/2017	SINNESS, STEVEN	RBC	80,352.42	157.97	0.00	157.97	90.0	142.17
10/10/2017	SINNESS, STEVEN	RBC	5,062.10	9.95	0.00	9.95	90.0	8.96
10/10/2017	SINNESS, ELAINE	RBC	76,222.11	149.85	0.00	149.85	90.0	134.87
10/10/2017	SINNESS, ELAINE	RBC	10,193.59	20.04	0.00	20.04	90.0	18.04
10/10/2017	SINNESS, ELAINE	RBC	114,015.17	224.16	0.00	224.16	90.0	201.74
10/10/2017	POWELL, WILLIAM	RBC	151,945.80	298.73	0.00	298.73	90.0	268.86
10/10/2017	POWELL, JANICE	RBC	87,042.42	171.12	0.00	171.12	90.0	154.01
10/10/2017	LARAMIE, JAMES	RBC	18,370.49	36.11	0.00	36.11	90.0	32.50
10/10/2017	MORRIS, PATRICK	RBC	5,681.59	11.17	0.00	11.17	90.0	10.05
10/10/2017	MORRIS, SANDRA PACKER	RBC	22,197.41	43.64	0.00	43.64	90.0	39.28
10/10/2017	MORRIS, PATRICK	RBC	37,793.73	74.30	0.00	74.30	90.0	66.87
10/10/2017	VESTAL, KATHY	RBC	153,115.11	301.03	0.00	301.03	90.0	270.93
10/10/2017	VESTAL, KATHY	RBC	245,293.85	482.25	0.00	482.25	90.0	434.03
10/10/2017	LARAMIE, ELAINE	RBC	10,173.32	20.00	0.00	20.00	90.0	18.00
10/10/2017	ALEXANDER, MICHELLE	RBC	222,731.81	437.90	0.00	437.90	90.0	394.11
09/12/2017	MORRIS, SANDRA PACKER	RIVERNORTH CORE OPPORTUNITY FUND CL	0.00	0.05	0.00	0.05	90.0	0.05

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
09/12/2017	HATLESTAD, PATRICK	RYDEX S&P 500 PURE GROWTH FUND	0.00	1.29	0.00	1.29	90.0	1.16
09/12/2017	DONAI, DONALD	RYDEX S&P 500 PURE GROWTH FUND	0.00	4.03	0.00	4.03	90.0	3.63
09/12/2017	DONAI, DONALD	RYDEX S&P 500 PURE GROWTH FUND	0.00	1.19	0.00	1.19	90.0	1.07
09/12/2017	VESTAL, KATHY	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.82	0.00	0.82	90.0	0.74
09/07/2017	LARAMIE, JAMES	SPDR S&P MIDCAP 400 ETF TR UNIT SER	11,555.56	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	SPDR SER TR BARCLAYS HIGH YIELD BD	5,039.48	0.00	0.00	0.00	90.0	0.00
09/12/2017	MORRIS, PATRICK	T ROWE PRICE GROWTH STOCK FUND ADVI	0.00	2.79	0.00	2.79	90.0	2.51
09/12/2017	MORRIS, SANDRA PACKER	T ROWE PRICE GROWTH STOCK FUND ADVI	0.00	0.48	0.00	0.48	90.0	0.43
09/12/2017	MORRIS, PATRICK	T ROWE PRICE INT STOCK - ADVISOR CL	0.00	1.60	0.00	1.60	90.0	1.44
09/12/2017	MORRIS, SANDRA PACKER	T ROWE PRICE INT STOCK - ADVISOR CL	0.00	0.41	0.00	0.41	90.0	0.37
09/12/2017	MORRIS, SANDRA PACKER	TCW DIVIDEND FOCUSED FUND CLASS N	0.00	0.09	0.00	0.09	90.0	0.08
09/07/2017	LARAMIE, JAMES	VANGUARD CHARLOTTE FDS TOTAL INTL B	5,104.78	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	VANGUARD INDEX FDS VANGUARD GROWTH	8,963.07	0.00	17.16	-17.16	90.0	-15.44
09/26/2017	SINNESS, ELAINE	VANGUARD INDEX FDS VANGUARD GROWTH	9,863.99	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	VANGUARD INDEX FDS VANGUARD REIT ET	4,656.86	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FD INC F	57,285.22	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	10,822.00	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	7,221.75	0.00	17.16	-17.16	90.0	-15.44
09/07/2017	LARAMIE, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	28,935.10	0.00	17.16	-17.16	90.0	-15.44
09/12/2017	KALIL, DANIEL	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.40	0.00	0.40	90.0	0.36
09/12/2017	DONAI, RUTH	WESTERN ASSET CORE PLUS BOND FUND C	0.00	1.06	0.00	1.06	90.0	0.95
09/12/2017	HATLESTAD, PATRICK	WESTERN ASSET CORE PLUS BOND FUND C	0.00	2.55	0.00	2.55	90.0	2.30
* JBB JEN-BRENT-BRION TRU-DONAI, S- SUBTOTAL *			\$4,019,945.61	\$7,341.08	\$394.68	\$6,946.40		\$6,251.91

BROKER: BJB JEN-BRENT-BRION TRU-DONAI, S- #334

09/07/2017	HUGGINS, JAMES	***TECK RESOURCES LIMITED	486.00	33.50	14.74	18.76	90.0	16.88
09/07/2017	HUGGINS, JAMES	BRIGHTHOUSE FINL INC COM	106.06	33.50	14.74	18.76	90.0	16.88
09/07/2017	HUGGINS, JAMES	CBS CORP CL B COM	1,461.42	34.15	14.74	19.41	90.0	17.47
08/22/2017	LARAMIE, JAMES	CIRRUS LOGIC INC	-63,258.90	-514.15	-14.74	-499.41	90.0	-449.47
08/22/2017	LARAMIE, JAMES	CIRRUS LOGIC INC	63,258.94	18.09	14.74	3.35	90.0	3.02
08/22/2017	LARAMIE, JAMES	CLAYMORE EXCHANGE TRADED FD TR	-6,194.77	-117.83	-14.74	-103.09	90.0	-92.78
08/22/2017	LARAMIE, JAMES	CLAYMORE EXCHANGE TRADED FD TR	6,194.77	18.09	14.74	3.35	90.0	3.02
08/22/2017	LARAMIE, JAMES	CLAYMORE EXCHANGE-TRADED FD TR GUGG	-43,548.60	-423.13	-14.74	-408.39	90.0	-367.55
08/22/2017	LARAMIE, JAMES	CLAYMORE EXCHANGE-TRADED FD TR GUGG	43,548.59	18.09	14.74	3.35	90.0	3.02

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<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u>								
09/07/2017	HUGGINS, JAMES	CONSOL ENERGY INC	796.46	33.50	14.74	18.76	90.0	16.88
09/07/2017	HUGGINS, JAMES	DIAMONDBACK ENERGY INC COM	908.03	33.50	14.74	18.76	90.0	16.88
08/22/2017	LARAMIE, JAMES	GUGGENHEIM BULLETSHARES 2017	-35,464.10	-401.03	-14.74	-386.29	90.0	-347.66
08/22/2017	LARAMIE, JAMES	GUGGENHEIM BULLETSHARES 2017	35,464.11	18.09	14.74	3.35	90.0	3.02
08/22/2017	LARAMIE, JAMES	GUGGENHEIM BULLETSHARES 2018	-50,565.10	-499.36	-14.74	-484.62	90.0	-436.16
08/22/2017	LARAMIE, JAMES	GUGGENHEIM BULLETSHARES 2018	50,565.10	18.09	14.74	3.35	90.0	3.02
08/22/2017	LARAMIE, JAMES	GUGGENHEIM S&P 500 EQUAL	-24,105.90	-140.70	-14.74	-125.96	90.0	-113.36
08/22/2017	LARAMIE, JAMES	GUGGENHEIM S&P 500 EQUAL	24,105.88	18.09	14.74	3.35	90.0	3.02
09/07/2017	HUGGINS, JAMES	INTERCONTINENTALEXCHANGE GROUP INC	1,090.89	33.50	14.74	18.76	90.0	16.88
08/22/2017	LARAMIE, JAMES	ISHARES CORE S&P SMALL CAP ETF	-11,236.30	-136.78	-14.74	-122.04	90.0	-109.84
08/22/2017	LARAMIE, JAMES	ISHARES CORE S&P SMALL CAP ETF	11,236.31	18.09	14.74	3.35	90.0	3.02
08/22/2017	HUGGINS, JAMES	ISHARES CORE S&P SMALL CAP ETF	-3,316.81	-55.71	-14.74	-40.97	90.0	-36.87
08/22/2017	HUGGINS, JAMES	ISHARES CORE S&P SMALL CAP ETF	3,316.81	18.09	14.74	3.35	90.0	3.02
09/07/2017	HUGGINS, JAMES	ISHARES CORE S&P SMALL CAP ETF	15,102.60	173.66	14.74	158.92	90.0	143.03
10/10/2017	KINCAID INDUSTRIES	ISHARES CORE S&P SMALL CAP ETF	8,918.65	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	ISHARES GLOBAL TELECOM ETF	-3,305.09	-55.61	-14.74	-40.87	90.0	-36.78
08/22/2017	LARAMIE, JAMES	ISHARES GLOBAL TELECOM ETF	3,305.09	18.09	14.74	3.35	90.0	3.02
08/22/2017	LARAMIE, JAMES	ISHARES S&P 500 VALUE ETF	-11,206.00	-93.19	-14.74	-78.45	90.0	-70.61
08/22/2017	LARAMIE, JAMES	ISHARES S&P 500 VALUE ETF	11,206.00	18.09	14.74	3.35	90.0	3.02
08/22/2017	HUGGINS, JAMES	ISHARES S&P 500 VALUE ETF	-3,247.25	-55.08	-14.74	-40.34	90.0	-36.31
08/22/2017	HUGGINS, JAMES	ISHARES S&P 500 VALUE ETF	3,247.25	18.09	14.74	3.35	90.0	3.02
09/07/2017	HUGGINS, JAMES	ISHARES S&P 500 VALUE ETF	13,775.39	126.28	14.74	111.54	90.0	100.39
10/10/2017	KINCAID INDUSTRIES	ISHARES S&P 500 VALUE ETF	13,415.60	0.00	0.00	0.00	90.0	0.00
09/21/2017	HUGGINS, JAMES	ISHARES S&P SMALL CAP 600	3,282.56	0.00	14.74	-14.74	90.0	-13.27
09/07/2017	HUGGINS, JAMES	ISHARES TR	32,181.68	351.88	14.74	337.14	90.0	303.43
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	-14,978.60	-140.70	-14.74	-125.96	90.0	-113.36
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	14,978.63	18.09	14.74	3.35	90.0	3.02
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	-4,400.24	-65.80	-14.74	-51.06	90.0	-45.95
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	4,400.24	18.09	14.74	3.35	90.0	3.02
09/07/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	20,076.03	194.72	14.74	179.98	90.0	161.98
10/10/2017	KINCAID INDUSTRIES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	8,942.86	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	-7,461.72	-70.35	-14.74	-55.61	90.0	-50.05
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	7,461.72	18.09	14.74	3.35	90.0	3.02

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<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u>								
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	-2,150.50	-43.41	-14.74	-28.67	90.0	-25.80
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	2,150.50	18.09	14.74	3.35	90.0	3.02
09/07/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	9,954.55	70.35	14.74	55.61	90.0	50.05
10/10/2017	KINCAID INDUSTRIES	ISHARES TR BARCLAYS 20+ YR TREAS BD	4,365.78	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	-56,206.40	-381.06	-14.74	-366.32	90.0	-329.69
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	56,206.44	18.09	14.74	3.35	90.0	3.02
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	-16,531.10	-140.70	-14.74	-125.96	90.0	-113.36
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	16,531.08	18.09	14.74	3.35	90.0	3.02
09/07/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	75,462.28	492.45	14.74	477.71	90.0	429.94
10/10/2017	KINCAID INDUSTRIES	ISHARES TR BARCLAYS INTER CR BD FD	15,631.35	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	ISHARES TR CORE S&P MID-CAP ETF	-18,700.70	-107.71	-14.74	-92.97	90.0	-83.67
08/22/2017	LARAMIE, JAMES	ISHARES TR CORE S&P MID-CAP ETF	18,700.73	18.09	14.74	3.35	90.0	3.02
08/22/2017	HUGGINS, JAMES	ISHARES TR CORE S&P MID-CAP ETF	-5,440.56	-70.35	-14.74	-55.61	90.0	-50.05
08/22/2017	HUGGINS, JAMES	ISHARES TR CORE S&P MID-CAP ETF	5,440.56	18.09	14.74	3.35	90.0	3.02
09/07/2017	HUGGINS, JAMES	ISHARES TR CORE S&P MID-CAP ETF	25,095.81	140.70	14.74	125.96	90.0	113.36
10/10/2017	KINCAID INDUSTRIES	ISHARES TR CORE S&P MID-CAP ETF	11,065.38	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	ISHARES TR DOW JONES US HEALTH CARE	-10,873.50	-70.35	-14.74	-55.61	90.0	-50.05
08/22/2017	LARAMIE, JAMES	ISHARES TR DOW JONES US HEALTH CARE	10,873.51	18.09	14.74	3.35	90.0	3.02
08/22/2017	LARAMIE, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	-18,706.80	-140.70	-14.74	-125.96	90.0	-113.36
08/22/2017	LARAMIE, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	18,706.75	18.09	14.74	3.35	90.0	3.02
08/22/2017	HUGGINS, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	-5,431.95	-70.35	-14.74	-55.61	90.0	-50.05
08/22/2017	HUGGINS, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	5,431.95	18.09	14.74	3.35	90.0	3.02
09/07/2017	HUGGINS, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	25,065.69	163.46	14.74	148.72	90.0	133.85
10/10/2017	KINCAID INDUSTRIES	ISHARES TR IBOXX USD INVT GRADE COR	8,858.40	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	-11,220.30	-70.35	-14.74	-55.61	90.0	-50.05
08/22/2017	LARAMIE, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	11,220.31	18.09	14.74	3.35	90.0	3.02
08/22/2017	HUGGINS, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	-3,294.00	-55.51	-14.74	-40.77	90.0	-36.69
08/22/2017	HUGGINS, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	3,294.00	18.09	14.74	3.35	90.0	3.02
09/07/2017	HUGGINS, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	14,987.94	119.40	14.74	104.66	90.0	94.19
10/10/2017	KINCAID INDUSTRIES	ISHARES TR RUSSELL 1000 GROWTH INDE	6,724.45	0.00	0.00	0.00	90.0	0.00
09/07/2017	HUGGINS, JAMES	ISHARES TR RUSSELL MIDCAP GROWTH IN	3,300.08	55.56	14.74	40.82	90.0	36.74
09/21/2017	HUGGINS, JAMES	ISHARES TR RUSSELL MIDCAP GROWTH IN	112.29	0.00	14.74	-14.74	90.0	-13.27
08/22/2017	LARAMIE, JAMES	ISHARES TR S&P 500 GROWTH ETF	-7,439.60	-70.35	-14.74	-55.61	90.0	-50.05

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u>								
08/22/2017	LARAMIE, JAMES	ISHARES TR S&P 500 GROWTH ETF	7,439.60	18.09	14.74	3.35	90.0	3.02
08/22/2017	HUGGINS, JAMES	ISHARES TR S&P 500 GROWTH ETF	-2,106.30	-42.82	-14.74	-28.08	90.0	-25.27
08/22/2017	HUGGINS, JAMES	ISHARES TR S&P 500 GROWTH ETF	2,106.30	18.09	14.74	3.35	90.0	3.02
09/07/2017	HUGGINS, JAMES	ISHARES TR S&P 500 GROWTH ETF	9,973.59	70.35	14.74	55.61	90.0	50.05
08/22/2017	LARAMIE, JAMES	ISHARES TR S&P 500 INDEX FD	-116,166.00	-351.75	-14.74	-337.01	90.0	-303.31
08/22/2017	LARAMIE, JAMES	ISHARES TR S&P 500 INDEX FD	116,165.98	18.09	14.74	3.35	90.0	3.02
08/22/2017	HUGGINS, JAMES	ISHARES TR S&P 500 INDEX FD	-34,043.80	-140.70	-14.74	-125.96	90.0	-113.36
08/22/2017	HUGGINS, JAMES	ISHARES TR S&P 500 INDEX FD	34,043.83	18.09	14.74	3.35	90.0	3.02
09/07/2017	HUGGINS, JAMES	ISHARES TR S&P 500 INDEX FD	155,962.86	492.45	14.74	477.71	90.0	429.94
10/10/2017	KINCAID INDUSTRIES	ISHARES TR S&P 500 INDEX FD	67,087.27	0.00	0.00	0.00	90.0	0.00
09/07/2017	HUGGINS, JAMES	ISHARES TRUST	2,300.46	45.43	14.74	30.69	90.0	27.62
09/07/2017	HUGGINS, JAMES	JETBLUE AIRWAYS CORP COM	3,010.16	71.54	14.74	56.80	90.0	51.12
09/07/2017	HUGGINS, JAMES	LEAR CORPORATION	1,515.13	34.86	14.74	20.12	90.0	18.11
09/07/2017	HUGGINS, JAMES	LOCKHEED MARTIN CORP COM	3,015.50	52.91	14.74	38.17	90.0	34.35
09/07/2017	HUGGINS, JAMES	MAGELLAN MIDSTREAM PARTNERS LP UNIT	2,189.45	43.94	14.74	29.20	90.0	26.28
09/07/2017	HUGGINS, JAMES	MARTIN MARIETTA MATERIALS INC	1,450.70	34.00	14.74	19.26	90.0	17.33
09/07/2017	HUGGINS, JAMES	METLIFE INC COM	1,168.88	33.50	14.74	18.76	90.0	16.88
09/07/2017	HUGGINS, JAMES	MGM RESORTS INTL COM	2,148.46	43.39	14.74	28.65	90.0	25.79
09/07/2017	HUGGINS, JAMES	MONSANTO CO NEW COM	2,349.25	46.09	14.74	31.35	90.0	28.22
09/07/2017	HUGGINS, JAMES	OWENS ILLINOIS INC	1,000.55	33.50	14.74	18.76	90.0	16.88
10/10/2017	KINCAID INDUSTRIES	PERSHING	229,945.77	194.16	0.00	194.16	90.0	174.74
10/10/2017	KINCAID INDUSTRIES	PERSHING	63,374.78	53.51	0.00	53.51	90.0	48.16
08/22/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	-15,000.60	-217.63	-14.74	-202.89	90.0	-182.60
08/22/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	15,000.61	18.09	14.74	3.35	90.0	3.02
08/22/2017	HUGGINS, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	-4,406.91	-88.42	-14.74	-73.68	90.0	-66.31
08/22/2017	HUGGINS, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	4,406.91	18.09	14.74	3.35	90.0	3.02
08/22/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	-5,885.25	-113.64	-14.74	-98.90	90.0	-89.01
08/22/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	5,885.25	18.09	14.74	3.35	90.0	3.02
09/07/2017	HUGGINS, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	20,111.48	277.48	14.74	262.74	90.0	236.47
10/10/2017	KINCAID INDUSTRIES	POWERSHARES GLOBAL EXCHANGE TRADED	8,950.72	0.00	0.00	0.00	90.0	0.00
10/10/2017	PATOROS, LORI	RBC	101,162.48	170.83	0.00	170.83	90.0	153.75
10/10/2017	PATOROS, JOHN	RBC	163,261.07	275.71	0.00	275.71	90.0	248.14
10/10/2017	LARAMIE, JAMES	RBC	392,785.65	663.32	0.00	663.32	90.0	596.99

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u>								
10/10/2017	HUGGINS, JAMES	RBC	689,328.51	1,164.12	0.00	1,164.12	90.0	1,047.71
10/10/2017	HUGGINS, JAMES	RBC	46,392.32	78.34	0.00	78.34	90.0	70.51
10/10/2017	HUGGINS, JAMES	RBC	115,227.65	194.60	0.00	194.60	90.0	175.14
09/12/2017	PATOROS, JOHN	RYDEX S&P 500 PURE GROWTH FUND	0.00	1.29	0.00	1.29	90.0	1.16
09/07/2017	HUGGINS, JAMES	SCHWAB CHARLES CORP NEW COM	1,985.89	41.20	14.74	26.46	90.0	23.81
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR	-20,356.30	-259.82	-14.74	-245.08	90.0	-220.57
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR	20,356.35	18.09	14.74	3.35	90.0	3.02
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR EMERGING MKTS E	-7,225.16	-126.26	-14.74	-111.52	90.0	-100.37
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR EMERGING MKTS E	7,225.16	18.09	14.74	3.35	90.0	3.02
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR INTERMEDIATE-TE	-43,566.00	-404.43	-14.74	-389.69	90.0	-350.72
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR INTERMEDIATE-TE	43,565.96	18.09	14.74	3.35	90.0	3.02
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR INTL EQUITY ETF	-22,227.30	-287.90	-14.74	-273.16	90.0	-245.84
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR INTL EQUITY ETF	22,227.29	18.09	14.74	3.35	90.0	3.02
09/07/2017	HUGGINS, JAMES	SCHWAB STRATEGIC TR US LARGE-CAP GR	3,533.23	57.73	14.74	42.99	90.0	38.69
09/07/2017	HUGGINS, JAMES	SELECT SECTOR SPDR TR CONSUMER DISC	1,797.45	38.67	14.74	23.93	90.0	21.54
09/07/2017	HUGGINS, JAMES	SELECT SECTOR SPDR TR FINANCIAL	2,381.50	48.60	14.74	33.86	90.0	30.47
09/07/2017	HUGGINS, JAMES	SELECT SECTOR SPDR TR MATLS	2,686.18	49.85	14.74	35.11	90.0	31.60
09/07/2017	HUGGINS, JAMES	SELECT SECTOR SPDR TRUST	1,832.83	39.14	14.74	24.40	90.0	21.96
09/07/2017	HUGGINS, JAMES	SPDR S&P 500 ETF TR TR UNIT	1,726.62	37.71	14.74	22.97	90.0	20.67
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	-9,120.81	-70.35	0.00	-70.35	90.0	-63.32
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	9,120.81	18.09	0.00	18.09	90.0	16.28
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	-9,647.58	-70.35	0.00	-70.35	90.0	-63.32
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	9,647.58	18.09	0.00	18.09	90.0	16.28
09/07/2017	HUGGINS, JAMES	STEEL DYNAMICS INC COM	2,788.18	50.80	14.74	36.06	90.0	32.45
09/07/2017	HUGGINS, JAMES	U S CONCRETE INC COM NEW	2,323.50	45.75	14.74	31.01	90.0	27.91
09/07/2017	HUGGINS, JAMES	UNITED PARCEL SVC INC CL B	1,599.26	36.00	14.74	21.26	90.0	19.13
09/07/2017	HUGGINS, JAMES	UNITED RENTALS INC COM	1,827.38	39.07	14.74	24.33	90.0	21.90
09/07/2017	HUGGINS, JAMES	USG CORP (NEW) COMMON STOCK	1,127.79	33.50	14.74	18.76	90.0	16.88
10/10/2017	KINCAID INDUSTRIES	VANGUARD INDEX FDS VANGUARD GROWTH	11,159.19	0.00	0.00	0.00	90.0	0.00
09/07/2017	HUGGINS, JAMES	VANGUARD INDEX FUNDS SMALLCAP GROWT	3,076.29	53.48	14.74	38.74	90.0	34.87
08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	-22,454.10	-290.99	-14.74	-276.25	90.0	-248.63
08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	22,454.14	18.09	14.74	3.35	90.0	3.02
08/22/2017	HUGGINS, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	-6,620.97	-112.42	-14.74	-97.68	90.0	-87.91

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u>								
08/22/2017	HUGGINS, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	6,620.97	18.09	14.74	3.35	90.0	3.02
09/07/2017	HUGGINS, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	30,186.59	334.75	14.74	320.01	90.0	288.01
10/10/2017	KINCAID INDUSTRIES	VANGUARD INTL EQUITY INDEX FDS MSCI	13,411.06	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	-18,710.60	-194.57	-14.74	-179.83	90.0	-161.85
08/22/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	18,710.57	18.09	14.74	3.35	90.0	3.02
08/22/2017	HUGGINS, JAMES	VANGUARD SCOTTSDALE FUNDS	-5,457.89	-70.35	-14.74	-55.61	90.0	-50.05
08/22/2017	HUGGINS, JAMES	VANGUARD SCOTTSDALE FUNDS	5,457.89	18.09	14.74	3.35	90.0	3.02
09/07/2017	HUGGINS, JAMES	VANGUARD SCOTTSDALE FUNDS	25,088.93	241.61	14.74	226.87	90.0	204.18
10/10/2017	KINCAID INDUSTRIES	VANGUARD SCOTTSDALE FUNDS	8,919.47	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	VANGUARD SPECIALIZED PORTFOLIOS DIV	-10,667.80	-103.55	-14.74	-88.81	90.0	-79.93
08/22/2017	LARAMIE, JAMES	VANGUARD SPECIALIZED PORTFOLIOS DIV	10,667.79	18.09	14.74	3.35	90.0	3.02
08/22/2017	LARAMIE, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	-45,000.70	-423.66	-14.74	-408.92	90.0	-368.03
08/22/2017	LARAMIE, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	45,000.73	18.09	14.74	3.35	90.0	3.02
08/22/2017	HUGGINS, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	-13,246.10	-191.76	-14.74	-177.02	90.0	-159.32
08/22/2017	HUGGINS, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	13,246.09	18.09	14.74	3.35	90.0	3.02
09/07/2017	HUGGINS, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	60,383.83	509.77	14.74	495.03	90.0	445.53
10/10/2017	KINCAID INDUSTRIES	VANGUARD TAX-MANAGED INTL FD FTSE D	35,836.57	0.00	0.00	0.00	90.0	0.00
09/07/2017	HUGGINS, JAMES	VULCAN MATLS CO COM	2,611.68	49.15	14.74	34.41	90.0	30.97
* BJB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$2,621,164.46	\$1,116.54	\$707.52	\$409.02		\$368.31
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
09/26/2017	HEEN, JEREMY	AM FUNDS AMCAP A	-765.04	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, KRISTA	AM FUNDS AMCAP A	-4,525.71	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC A	-1,754.84	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, KRISTA	AM FUNDS CAPITAL WORLD GRTH & INC A	-8,963.92	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, JEREMY	AM FUNDS ICA A	-2,026.28	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, KRISTA	AM FUNDS ICA A	-10,503.32	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, JEREMY	AM FUNDS INCOME FUND OF AMERICA A	-1,980.09	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, KRISTA	AM FUNDS INCOME FUND OF AMERICA A	-11,163.83	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, JEREMY	AM FUNDS NEW WORLD A	-577.91	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, KRISTA	AM FUNDS NEW WORLD A	-3,303.83	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	CATALYST HEDGED FUTURES STRATEGY FU	1,674.00	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	ISHARES TR CORE TOTAL U S BD MKT ET	327.93	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	ISHARES TR CORE TOTAL U S BD MKT ET	765.17	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
10/06/2017	RASMUSSEN, CLINTON	ISHARES TR CORE TOTAL U S BD MKT ET	765.17	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	ISHARES TR CORE TOTAL U S BD MKT ET	109.31	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	ISHARES TR CORE TOTAL U S BD MKT ET	218.62	0.00	0.00	0.00	90.0	0.00
10/06/2017	RETZLAFF, SANDRA	ISHARES TR CORE TOTAL U S BD MKT ET	109.31	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	ISHARES TR CORE TOTAL U S BD MKT ET	1,202.41	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, JEREMY	ISHARES TR RUSSELL 2000 INDEX FD	295.10	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	ISHARES TR RUSSELL 2000 INDEX FD	6,197.10	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	ISHARES TR RUSSELL 2000 INDEX FD	1,035.02	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	ISHARES TR RUSSELL 2000 INDEX FD	-599.20	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	ISHARES TR RUSSELL 2000 INDEX FD	-1,947.40	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	ISHARES TR RUSSELL 2000 INDEX FD	-299.60	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	ISHARES TR RUSSELL 2000 INDEX FD	-149.80	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	ISHARES TR RUSSELL 2000 INDEX FD	-2,396.80	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, JEREMY	ISHARES TR S&P 500 INDEX FD	1,259.04	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	ISHARES TR S&P 500 INDEX FD	19,640.99	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	ISHARES TR S&P 500 INDEX FD	3,023.88	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	ISHARES TR S&P 500 INDEX FD	-1,278.80	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	ISHARES TR S&P 500 INDEX FD	-4,347.92	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	ISHARES TR S&P 500 INDEX FD	-2,046.08	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	ISHARES TR S&P 500 INDEX FD	-511.52	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	ISHARES TR S&P 500 INDEX FD	-511.52	0.00	0.00	0.00	90.0	0.00
10/06/2017	RETZLAFF, SANDRA	ISHARES TR S&P 500 INDEX FD	-511.52	0.00	0.00	0.00	90.0	0.00
10/06/2017	SKAARE, LARRY	ISHARES TR S&P 500 INDEX FD	-255.76	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	ISHARES TR S&P 500 INDEX FD	-4,859.44	0.00	0.00	0.00	90.0	0.00
10/10/2017	RASMUSSEN, CLINTON	JEFFERSON NATIONAL	364,756.52	227.97	0.00	227.97	90.0	205.17
09/21/2017	RUFFIE, TWYLA	OPPENHEIMER MAIN ST SELECT C	0.00	0.04	0.00	0.04	90.0	0.04
10/02/2017	HEEN, JEREMY	PERSHING	0.00	0.23	0.00	0.23	90.0	0.21
10/02/2017	HEEN, KRISTA	PERSHING	0.00	3.27	0.00	3.27	90.0	2.94
10/10/2017	KUHN, KENNETH	PERSHING	62,821.80	39.59	0.00	39.59	90.0	35.63
10/10/2017	RASMUSSEN, CLINTON	PERSHING	103,454.80	65.19	0.00	65.19	90.0	58.67
10/10/2017	RASMUSSEN, CLINTON	PERSHING	93,467.47	58.90	0.00	58.90	90.0	53.01
10/10/2017	RASMUSSEN, PAMELA	PERSHING	27,862.14	17.56	0.00	17.56	90.0	15.80
10/10/2017	RASMUSSEN, PAMELA	PERSHING	19,809.03	12.48	0.00	12.48	90.0	11.23

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
10/10/2017	FREIH, DIANE	PERSHING	62,602.99	39.45	0.00	39.45	90.0	35.51
10/10/2017	KRINGEN, MARK	PERSHING	394,067.63	168.86	0.00	168.86	90.0	151.97
10/10/2017	KEMPER, JEROME	PERSHING	235,270.77	148.25	0.00	148.25	90.0	133.43
10/10/2017	LEE, DONALD	PERSHING	136,674.07	86.12	0.00	86.12	90.0	77.51
10/10/2017	LEE, CONNIE	PERSHING	53,513.06	33.72	0.00	33.72	90.0	30.35
10/10/2017	LEE, CONNIE	PERSHING	15,445.08	9.73	0.00	9.73	90.0	8.76
10/10/2017	MONETTE, PEGGY	PERSHING	223,434.25	140.79	0.00	140.79	90.0	126.71
10/10/2017	MONETTE, PEGGY	PERSHING	40,525.25	25.54	0.00	25.54	90.0	22.99
10/10/2017	RETZLAFF, SANDRA	PERSHING	135,811.65	85.58	0.00	85.58	90.0	77.02
10/10/2017	RETZLAFF, SANDRA	PERSHING	26,555.98	16.73	0.00	16.73	90.0	15.06
10/10/2017	SKAARE, LARRY	PERSHING	32,889.32	24.04	0.00	24.04	90.0	21.64
10/10/2017	SKAARE, LARRY	PERSHING	208,550.36	152.44	0.00	152.44	90.0	137.20
10/10/2017	SKAARE, LARRY	PERSHING	19,011.17	13.90	0.00	13.90	90.0	12.51
10/10/2017	RUFFIE, DAVID	PERSHING	265,282.44	193.91	0.00	193.91	90.0	174.52
10/10/2017	RUFFIE, TWYLA	PERSHING	8,898.32	6.50	0.00	6.50	90.0	5.85
10/10/2017	HEEN, JEREMY	PERSHING	22,784.83	16.65	0.00	16.65	90.0	14.99
10/10/2017	HEEN, KRISTA	PERSHING	103,288.21	75.50	0.00	75.50	90.0	67.95
09/27/2017	HEEN, JEREMY	POWERSHARES DB COMMODITY INDEX TRAC	232.77	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	POWERSHARES DB COMMODITY INDEX TRAC	3,569.12	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	POWERSHARES DB COMMODITY INDEX TRAC	556.79	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	POWERSHARES DB COMMODITY INDEX TRAC	-91.22	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	POWERSHARES DB COMMODITY INDEX TRAC	-15.20	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	POWERSHARES DB COMMODITY INDEX TRAC	15.21	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	POWERSHARES DB COMMODITY INDEX TRAC	-106.42	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, JEREMY	POWERSHARES EXCHANGE-TRADED FD TR I	161.75	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	POWERSHARES EXCHANGE-TRADED FD TR I	2,541.72	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	POWERSHARES EXCHANGE-TRADED FD TR I	393.15	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	POWERSHARES EXCHANGE-TRADED FD TR I	115.83	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	POWERSHARES EXCHANGE-TRADED FD TR I	347.50	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	POWERSHARES EXCHANGE-TRADED FD TR I	185.33	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	POWERSHARES EXCHANGE-TRADED FD TR I	46.33	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	POWERSHARES EXCHANGE-TRADED FD TR I	23.17	0.00	0.00	0.00	90.0	0.00
10/06/2017	RETZLAFF, SANDRA	POWERSHARES EXCHANGE-TRADED FD TR I	23.17	0.00	0.00	0.00	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
10/06/2017	SKAARE, LARRY	POWERSHARES EXCHANGE-TRADED FD TR I	46.33	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	POWERSHARES EXCHANGE-TRADED FD TR I	486.50	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, TWYLA	POWERSHARES EXCHANGE-TRADED FD TR I	23.17	0.00	0.00	0.00	90.0	0.00
10/11/2017	DEAVER, ROSS	SEI	34,134.04	23.04	0.00	23.04	90.0	20.74
10/11/2017	ERICKSTAD, SAMUEL	SEI	64,747.79	43.71	0.00	43.71	90.0	39.34
10/11/2017	HARSHBARGER, RORY	SEI	85,240.40	57.54	0.00	57.54	90.0	51.79
10/11/2017	HEEN, JEREMY	SEI	235,374.37	158.88	0.00	158.88	90.0	142.99
10/11/2017	JORGENSEN, BLAINE	SEI	436,321.18	218.16	0.00	218.16	90.0	196.34
10/11/2017	JORGENSEN, BLAINE	SEI	2,686,201.45	402.93	0.00	402.93	90.0	362.64
10/11/2017	KASMER, JOHN	SEI	260,637.60	15.72	0.00	15.72	90.0	14.15
10/11/2017	KASMER, TRACEY	SEI	57,498.04	3.47	0.00	3.47	90.0	3.12
10/11/2017	MARISTUEN, JON	SEI	3,571.22	2.23	0.00	2.23	90.0	2.01
10/11/2017	PACHECO, MICHAEL	SEI	7,581.57	5.12	0.00	5.12	90.0	4.61
10/11/2017	RETZLAFF, SANDRA	SEI	17,329.03	2.60	0.00	2.60	90.0	2.34
10/11/2017	SKAARE, LARRY	SEI	20,409.21	3.06	0.00	3.06	90.0	2.75
10/11/2017	SMITH, AUSTIN	SEI	21,004.44	14.18	0.00	14.18	90.0	12.76
10/11/2017	HAUGEN, PATRICK	SEI	179,201.13	120.96	0.00	120.96	90.0	108.86
10/11/2017	HAUGEN, PATRICK	SEI	108,983.18	73.56	0.00	73.56	90.0	66.20
10/11/2017	LYNDE, STEVEN	SEI	51,159.21	31.97	0.00	31.97	90.0	28.77
10/11/2017	LYNDE, LANA	SEI	22,687.65	14.18	0.00	14.18	90.0	12.76
10/11/2017	FREIH, DIANE	SEI	151,312.86	102.14	0.00	102.14	90.0	91.93
10/11/2017	GRANT, GREGORY	SEI	76,132.03	57.10	0.00	57.10	90.0	51.39
10/11/2017	GRANT, KATHLEEN	SEI	52,848.44	39.64	0.00	39.64	90.0	35.68
10/11/2017	JOHNSON, SHARI	SEI	16,157.80	10.91	0.00	10.91	90.0	9.82
10/11/2017	JOHNSON, BRAD	SEI	16,991.47	11.47	0.00	11.47	90.0	10.32
10/11/2017	JOHNSON, BRAD	SEI	2,309.01	1.56	0.00	1.56	90.0	1.40
10/11/2017	JOHNSON, BRAD	SEI	27,739.75	18.72	0.00	18.72	90.0	16.85
10/11/2017	JOHNSON, SHARI	SEI	18,267.58	12.33	0.00	12.33	90.0	11.10
10/11/2017	KRINGEN, MARK	SEI	1,242,836.41	186.43	0.00	186.43	90.0	167.79
10/11/2017	MARISTUEN, JON	SEI	189,919.08	128.20	0.00	128.20	90.0	115.38
09/27/2017	HEEN, JEREMY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	325.14	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	SPDR S&P MIDCAP 400 ETF TR UNIT SER	8,128.53	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	1,302.00	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
10/06/2017	FREIH, DIANE	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-330.14	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-1,320.56	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-660.28	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-1,320.56	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, JEREMY	SPDR SER TR BARCLAYS HIGH YIELD BD	484.85	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	SPDR SER TR BARCLAYS HIGH YIELD BD	7,123.63	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	SPDR SER TR BARCLAYS HIGH YIELD BD	1,081.31	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	SPDR SER TR BARCLAYS HIGH YIELD BD	37.17	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	SPDR SER TR BARCLAYS HIGH YIELD BD	111.51	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	SPDR SER TR BARCLAYS HIGH YIELD BD	74.34	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	SPDR SER TR BARCLAYS HIGH YIELD BD	37.17	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	SPDR SER TR BARCLAYS HIGH YIELD BD	148.68	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	VANGUARD CHARLOTTE FDS TOTAL INTL B	54.47	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	VANGUARD CHARLOTTE FDS TOTAL INTL B	108.93	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	VANGUARD CHARLOTTE FDS TOTAL INTL B	108.93	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	VANGUARD CHARLOTTE FDS TOTAL INTL B	163.40	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, JEREMY	VANGUARD INDEX FDS VANGUARD REIT ET	164.60	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	VANGUARD INDEX FDS VANGUARD REIT ET	2,551.30	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	VANGUARD INDEX FDS VANGUARD REIT ET	330.44	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	VANGUARD INDEX FDS VANGUARD REIT ET	83.10	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	VANGUARD INDEX FDS VANGUARD REIT ET	83.10	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, JEREMY	VANGUARD INTL EQUITY INDEX FD INC F	3,451.77	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	VANGUARD INTL EQUITY INDEX FD INC F	50,103.04	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	VANGUARD INTL EQUITY INDEX FD INC F	7,597.51	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	VANGUARD INTL EQUITY INDEX FD INC F	1,636.07	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	VANGUARD INTL EQUITY INDEX FD INC F	4,644.33	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	VANGUARD INTL EQUITY INDEX FD INC F	1,899.95	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	VANGUARD INTL EQUITY INDEX FD INC F	316.66	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	VANGUARD INTL EQUITY INDEX FD INC F	474.99	0.00	0.00	0.00	90.0	0.00
10/06/2017	RETZLAFF, SANDRA	VANGUARD INTL EQUITY INDEX FD INC F	369.44	0.00	0.00	0.00	90.0	0.00
10/06/2017	SKAARE, LARRY	VANGUARD INTL EQUITY INDEX FD INC F	369.44	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	VANGUARD INTL EQUITY INDEX FD INC F	6,544.29	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, TWYLA	VANGUARD INTL EQUITY INDEX FD INC F	263.88	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L139-BRE/BRI/JEN/TY TRU-LEE/NOR/DON #358</u>								
		* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *	\$8,819,813.43	\$3,422.75	\$0.00	\$3,422.75		\$3,080.50
<u>BROKER: L139-BRE/BRI/JEN/TY TRU-LEE/NOR/DON #358</u>								
10/11/2017	SORENSEN, CURTIS	SEI	806,717.74	102.86	0.00	102.86	90.0	92.57
		* L139-BRE/BRI/JEN/TY TRU-LEE/NOR/DON SUBTOTAL *	\$806,717.74	\$102.86	\$0.00	\$102.86		\$92.57
		*** SPLIT TRANSACTIONS TOTAL ***	\$16,267,641.24	\$11,983.23	\$1,102.20	\$10,881.03		\$9,793.29

ADJUSTMENTS

10/15/2017		*MISC: BBJ 10/15 CANCEL/REBILL	0.00					-0.04
10/15/2017		*MISC: BJB 10/015 CANCEL/REBILL	0.00					-4,119.00
10/15/2017		*MISC: BJB 9/15 CANCEL/REBILLS DONE	0.00					6,239.29
10/15/2017		*MISC: JBB 10/15 CANCEL/REBILL	0.00					-52.87
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$2,067.38

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$9,793.29
Override Transactions	\$0.00
Adjustments	\$2,067.38
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$11,860.67
Year-to-date Gross	\$67,806.42
Year-to-date Earnings	\$54,345.36

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