

All Companies
STATEMENT
March 20, 2018

JAMES FULTON
11276 Rt 322
SUITE 620
Shipperville, PA 16254

BROKER #: 183
BRANCH: LA BRANCH

COMMISSION STATEMENT for JAMES FULTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/01/0018	#66196689901	JOHNSON, PATTY HARTFORD SMART529 STATIC GROWTH A	0.00	0.95	93.0	0.00	0.88	0.00	0.88
01/01/0018	#66752257501	BELLIS, KATHRYN HARTFORD SMART529 STATIC GROWTH A	0.00	0.95	93.0	0.00	0.88	0.00	0.88
01/01/0018	#66752257502	BELLIS, KATHRYN HARTFORD SMART529 STATIC GROWTH A	0.00	0.95	93.0	0.00	0.88	0.00	0.88
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$2.85		\$0.00	\$2.64	\$0.00	\$2.64
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
/ /	#1019798662	TROESE, HENRY ELITE ACCESS	1,000.00	52.50	93.0	0.00	48.83	0.00	48.83
02/07/0018	#142014A85191424871	POWNEY, SECURITY BENEFIT SBGTRL	0.00	2.00	93.0	0.00	1.86	0.00	1.86
02/07/0018	#142014A80169683976	FRAZIER, SECURITY BENEFIT SBGTRL	0.00	1.07	93.0	0.00	1.00	0.00	1.00
02/07/0018	#142014A85191424871	POWNEY, SECURITY BENEFIT SBGTRL	0.00	0.80	93.0	0.00	0.74	0.00	0.74
01/30/0201	#169683976	FRAZIER, ROBERT SECURITY BENEFIT	0.00	1.09	93.0	0.00	1.01	0.00	1.01
01/30/0201	#191424871	POWNEY, JAMES SECURITY BENEFIT	0.00	0.81	93.0	0.00	0.75	0.00	0.75
01/05/2018	#191424871	POWNEY, JAMES SECURITY BENEFIT	40.00	2.00	93.0	0.00	1.86	0.00	1.86
02/20/2018	#1016965398	MCANINCH, DEBORA ELITE ACCESS	300.00	15.75	93.0	0.00	14.65	0.00	14.65
02/28/2018	#1012671012	CALDWELL, BRIAN ELITE ACCESS	26,077.79	8.69	93.0	0.00	8.08	0.00	8.08
02/28/2018	#1012667791	MAGNESS, DEAN ELITE ACCESS	43,865.19	14.62	93.0	0.00	13.60	0.00	13.60
02/28/2018	#1012690181	MARCONE, GAYLE ELITE ACCESS	33,510.22	11.17	93.0	0.00	10.39	0.00	10.39
02/28/2018	#1012670598	MCANINCH, DEBORA ELITE ACCESS	36,167.69	12.06	93.0	0.00	11.22	0.00	11.22
* VARIABLE ANNUITIES SUBTOTAL *			\$140,960.89	\$122.56		\$0.00	\$113.99	\$0.00	\$113.99
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	# BELLIS, KATHRYN	DAIN TRAILER	0.00	0.14	93.0	0.00	0.13	0.00	0.13
02/09/2018	# POWNEY, SUSAN	DAIN TRAILER	0.00	1.12	93.0	0.00	1.04	0.00	1.04
02/09/2018	# POWNEY, SUSAN	DAIN TRAILER	0.00	8.27	93.0	0.00	7.69	0.00	7.69
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$9.53		\$0.00	\$8.86	\$0.00	\$8.86
*** BROKER TRANSACTIONS TOTAL ***			\$140,960.89	\$134.94		\$0.00	\$125.49	\$0.00	\$125.49

COMMISSION STATEMENT for JAMES FULTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
ADJUSTMENTS									
03/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
03/20/2018		ADMIN FEE: ADMIN FEE							-320.00
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE							-3.71
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE							-35.89
03/20/2018		OTHER: DOUBLE CHARGE FOR PRIOR BALANCE.							473.20
*** ADJUSTMENTS TOTAL ***									\$-65.40

BROKER COMMISSION TOTALS

Broker Transactions	\$125.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-65.40
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-552.96
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-492.87
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