



Concorde Investment Services, LLC

03/06/2017
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COMMISSION STATEMENT

February 28, 2017

ALAN LICHTENSTEIN
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/15/2017	BALDWIN, PATRICE	MAINSTAY CUSHING MLP PREMIER FUND C	20,000.00	950.00	22.00	928.00	75.0	696.00
		* MUTUAL FUNDS SUBTOTAL *	\$20,000.00	\$950.00	\$22.00	\$928.00		\$696.00
		*** BROKER TRANSACTIONS TOTAL ***	\$20,000.00	\$950.00	\$22.00	\$928.00		\$696.00
<u>ADJUSTMENTS</u>								
02/28/2017		*PERSHING: MARK UPS	0.00					30.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$30.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$696.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$30.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$726.00
Year-to-date Gross	\$10,475.00
Year-to-date Earnings	\$13,723.50