

**COMMISSION STATEMENT****May 15, 2018****JEFF/DAN/JON PAU FT-G0C NY KIESNO/RAU**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

**BROKER #: G0C**

BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^04/19/2018	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.32	0.00	0.32	100.0	0.00
^04/05/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	8.90	0.00	8.90	100.0	0.00
^04/05/2018	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.43	0.00	1.43	100.0	0.00
^04/05/2018	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.76	0.00	4.76	100.0	0.00
^04/05/2018	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	6.58	0.00	6.58	100.0	0.00
^04/19/2018	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	3.11	0.00	3.11	100.0	0.00
^04/11/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.85	0.00	3.85	100.0	0.00
^04/11/2018	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.55	0.00	8.55	100.0	0.00
^04/11/2018	CAVEGN, JOSEPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.33	0.00	1.33	100.0	0.00
^04/11/2018	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.48	0.00	0.48	100.0	0.00
^04/11/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.51	0.00	5.51	100.0	0.00
^04/11/2018	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.15	0.00	3.15	100.0	0.00
^04/12/2018	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	9.95	0.00	9.95	100.0	0.00
^04/11/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	2.97	0.00	2.97	100.0	0.00
^04/11/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	3.20	0.00	3.20	100.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$64.09</b>	<b>\$0.00</b>	<b>\$64.09</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$64.09</b>	<b>\$0.00</b>	<b>\$64.09</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>