

**COMMISSION STATEMENT****January 15, 2018**

JBB JEN-BRENT-BRION TRU-DONALD-LEE-NORBY

BROKER #: JBB

BRANCH: TRUWEALTH FINANCIAL-

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^01/09/2018	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	-969.56	0.00	0.00	0.00	100.0	0.00
^01/09/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	-500.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-1,469.56	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^01/09/2018	SULLICAN, TAMARA	ISHARES TR S&P 500 INDEX FD	276.62	0.00	22.50	-22.50	100.0	0.00
* STOCKS SUBTOTAL *			\$276.62	\$0.00	\$22.50	\$-22.50		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-1,192.94	\$0.00	\$22.50	\$-22.50		\$0.00
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^12/15/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.62	0.00	1.62	100.0	0.00
^12/22/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.45	0.00	0.45	100.0	0.00
^12/15/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.77	0.00	0.77	100.0	0.00
^12/04/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	2.11	0.00	2.11	100.0	0.00
^12/27/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	1.87	0.00	1.87	100.0	0.00
^12/18/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.12	0.00	0.12	100.0	0.00
^12/18/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	1.01	0.00	1.01	100.0	0.00
^12/18/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	5.05	0.00	5.05	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$13.00	\$0.00	\$13.00		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$13.00	\$0.00	\$13.00		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
01/15/2018		*CONCIERGE SVC: 206458 ERNST	0.00					0.00
01/15/2018		*PERSHING: BOOKS AND RECORDS	0.00					0.01
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.01

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.06
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.07
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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