



All Companies

01/30/2018

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**COMMISSION STATEMENT**  
**February 01, 2018****RICHARD CARWILE**  
5620 SW Green Oaks Blvd.  
Suite A  
Arlington, TX 76017**BROKER #: 9649**  
BRANCH: HOME OFFICECOMMISSION STATEMENT for RICHARD CARWILE

| DATE   | CLIENT                               | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | RATE | NET<br>COMMISSION | CLEARING<br>CHARGE | BROKER<br>COMMISSION |
|--|--------------------------------------|-------------------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                  |                                      |                                     |                      |                     |      |                   |                    |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>       |                                      |                                     |                      |                     |      |                   |                    |                      |
| 01/12/2018   | 00089696978 ABRAHAMSON,<br>THOMAS A. | AMERICAN FUNDS BALANCED FD          | 33.53                | 1.68                | 80.0 | 1.34              | 0.00               | 1.34                 |
| 01/12/2018   | 00089696978 ABRAHAMSON,<br>THOMAS A. | AMERICAN FUNDS BALANCED FD          | 33.53                | 1.68                | 80.0 | 1.34              | 0.00               | 1.34                 |
| 01/12/2018   | 00089696978 ABRAHAMSON,<br>THOMAS A. | AMERICAN FUNDS GROWTH FUND OF AMERI | 33.53                | 1.68                | 80.0 | 1.34              | 0.00               | 1.34                 |
| 01/12/2018   | 00089696978 ABRAHAMSON,<br>THOMAS A. | AMERICAN FUNDS GROWTH FUND OF AMERI | 33.53                | 1.68                | 80.0 | 1.34              | 0.00               | 1.34                 |
| 01/12/2018   | 00089697313 GRAEF, WESLEY S.         | AMERICAN FUNDS 2035 TARGET DATE-A   | 68.75                | 3.44                | 80.0 | 2.75              | 0.00               | 2.75                 |
| 01/12/2018   | 00089697313 GRAEF, WESLEY S.         | AMERICAN FUNDS 2035 TARGET DATE-A   | 68.75                | 3.44                | 80.0 | 2.75              | 0.00               | 2.75                 |
| 01/12/2018   | 04000641700 JIANPANAH, AMIR          | AMERICAN FUNDS 2025 TARGET DATE-A   | 43.21                | 2.16                | 80.0 | 1.73              | 0.00               | 1.73                 |
| 01/12/2018   | 04000641700 JIANPANAH, AMIR          | AMERICAN FUNDS 2025 TARGET DATE-A   | 43.21                | 2.16                | 80.0 | 1.73              | 0.00               | 1.73                 |
| * MUTUAL FUNDS SUBTOTAL *                          |                                      |                                     | <u>\$358.04</u>      | <u>\$17.92</u>      |      | <u>\$14.32</u>    | <u>\$0.00</u>      | <u>\$14.32</u>       |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b> |                                      |                                     |                      |                     |      |                   |                    |                      |
| 01/05/2018   | TRAILS                               | -JOHN HANCOCK                       | 148.00               | 148.00              | 80.0 | 118.40            | 0.00               | 118.40               |
| 01/05/2018   | TRAILS                               | TRANSAMERICA LIFE INSURANCE         | 314.20               | 314.20              | 80.0 | 251.36            | 0.00               | 251.36               |
| * VARIABLE ANNUITIES SUBTOTAL *                    |                                      |                                     | <u>\$462.20</u>      | <u>\$462.20</u>     |      | <u>\$369.76</u>   | <u>\$0.00</u>      | <u>\$369.76</u>      |
| *** BROKER TRANSACTIONS TOTAL ***                  |                                      |                                     | <u>\$820.24</u>      | <u>\$480.12</u>     |      | <u>\$384.08</u>   | <u>\$0.00</u>      | <u>\$384.08</u>      |

COMMISSION STATEMENT for RICHARD CARWILE

| DATE                      | CLIENT | INVESTMENT                      | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | RATE | NET<br>COMMISSION | CLEARING<br>CHARGE | BROKER<br>COMMISSION |
|---------------------------|--------|---------------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| ADJUSTMENTS               |        |                                 |                      |                     |      |                   |                    |                      |
| 02/01/2018                |        | E & O INSURANCE: 1/2 MONTHLY    | 0.00                 | 0.00                |      | -100.00           | 0.00               | -100.00              |
| 02/01/2018                |        | TECH: 1/2 MONTHLY TECH SERVICES | 0.00                 | 0.00                |      | -27.50            | 0.00               | -27.50               |
| *** ADJUSTMENTS TOTAL *** |        |                                 | \$0.00               | \$0.00              |      | \$-127.50         | \$0.00             | \$-127.50            |

BROKER COMMISSION TOTALS

|                       |           |
|-----------------------|-----------|
| Broker Transactions   | \$384.08  |
| Split Transactions    | \$0.00    |
| Override Transactions | \$0.00    |
| Adjustments           | \$-127.50 |
| Payroll Draw          | \$0.00    |
| Base Salary           | \$0.00    |
| Prior Period Balance  | \$-113.96 |

|                       |            |
|-----------------------|------------|
| Check Amount          | \$142.62   |
| Year-to-date Earnings | \$1,032.04 |