R.F. Lafferty & Co., Inc.

## **COMMISSION STATEMENT**

**September 29, 2017** 

CLOSED Z-ACCOUNT

BROKER #: 75

BRANCH: HOME OFFICE

, NY

## COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER	TRANS	ACTIONS					
PRODUCT CA	TEGORY: STOCKS								
09/11/2007 7	5599821 RF LAFFERTY & CO	EQUIFAX INC	В	22,540.00	0.00	0.00	0.00	80.0	0.00
09/11/2007 7	5599821 RF LAFFERTY & CO	EQUIFAX INC	S	-22,540.00	0.00	0.00	0.00	80.0	0.00 C
08/10/2017 7	5599821 RF LAFFERTY & CO	TECHNICAL COMMUNICATIONS CORP	S	-39,227.50	0.00	0.00	0.00	80.0	0.00 C
08/10/2017 7	5599821 RF LAFFERTY & CO	TECHNICAL COMMUNICATIONS CORP	В	38,999.32	0.00	0.00	0.00	80.0	0.00
08/31/2017 7	5599821 RF LAFFERTY & CO	HORMEL FOODS CORP	S	6,163.50	0.00	0.00	0.00	80.0	0.00
08/31/2017 7	5599821 RF LAFFERTY & CO	HORMEL FOODS CORP	В	9,235.02	0.00	0.00	0.00	80.0	0.00
08/31/2017 7	5599821 RF LAFFERTY & CO	HORMEL FOODS CORP	В	6,163.50	0.00	0.00	0.00	80.0	0.00
08/31/2017 7	5599821 RF LAFFERTY & CO	HORMEL FOODS CORP	S	9,235.02	0.00	0.00	0.00	80.0	0.00
09/05/2017 7	5599821 RF LAFFERTY & CO	GARTNER INC	S	18,115.50	0.00	0.00	0.00	80.0	0.00
09/05/2017 7	5599821 RF LAFFERTY & CO	GARTNER INC	В	18,115.49	0.00	0.00	0.00	80.0	0.00
09/05/2017 7	5599821 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	В	18,185.00	0.00	0.00	0.00	80.0	0.00
09/05/2017 7	5599821 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	18,185.00	0.00	0.00	0.00	80.0	0.00
09/06/2017 7	5599821 RF LAFFERTY & CO	VERISK ANALYTICS INC COM	S	32,383.96	0.00	0.00	0.00	80.0	0.00
09/06/2017 7	5599821 RF LAFFERTY & CO	VERISK ANALYTICS INC COM	В	32,383.96	0.00	0.00	0.00	80.0	0.00
09/07/2017 7	5599821 RF LAFFERTY & CO	INC RESEARCH HOLDINGS INC CLASS	АСВ	43,280.00	0.00	0.00	0.00	80.0	0.00
09/07/2017 7	5599821 RF LAFFERTY & CO	INC RESEARCH HOLDINGS INC CLASS	ACS	108.20	0.00	0.00	0.00	80.0	0.00
09/07/2017 7	5599821 RF LAFFERTY & CO	INC RESEARCH HOLDINGS INC CLASS	ACS	15,093.90	0.00	0.00	0.00	80.0	0.00
09/07/2017 7	5599821 RF LAFFERTY & CO	INC RESEARCH HOLDINGS INC CLASS	ACS	28,077.90	0.00	0.00	0.00	80.0	0.00
09/07/2017 7	5599821 RF LAFFERTY & CO	PENTAIR PLC SHS	В	9,222.00	0.00	0.00	0.00	80.0	0.00
09/07/2017 7	5599821 RF LAFFERTY & CO	PENTAIR PLC SHS	S	6,824.28	0.00	0.00	0.00	80.0	0.00
09/07/2017 7	5599821 RF LAFFERTY & CO	PENTAIR PLC SHS	S	2,397.72	0.00	0.00	0.00	80.0	0.00
09/07/2017 7	5599821 RF LAFFERTY & CO	S&P GLOBAL INC COM	S	30,388.00	0.00	0.00	0.00	80.0	0.00
09/07/2017 7	5599821 RF LAFFERTY & CO	S&P GLOBAL INC COM	В	15,194.00	0.00	0.00	0.00	80.0	0.00
09/07/2017 7	5599821 RF LAFFERTY & CO	S&P GLOBAL INC COM	В	3,190.74	0.00	0.00	0.00	80.0	0.00
09/07/2017 7	5599821 RF LAFFERTY & CO	S&P GLOBAL INC COM	В	12,003.26	0.00	0.00	0.00	80.0	0.00
09/07/2017 7	5599821 RF LAFFERTY & CO	WALT DISNEY CO	В	26,585.57	0.00	0.00	0.00	80.0	0.00
09/07/2017 7	5599821 RF LAFFERTY & CO	WALT DISNEY CO	В	21,751.83	0.00	0.00	0.00	80.0	0.00
09/07/2017 7	5599821 RF LAFFERTY & CO	WALT DISNEY CO	В	24,168.70	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	WALT DISNEY CO	S	72,506.10	0.00	0.00	0.00	80.0	0.00
09/08/2017 7	5599821 RF LAFFERTY & CO	EQUIFAX INC	S	49,296.00	0.00	0.00	0.00	80.0	0.00
09/08/2017 7	5599821 RF LAFFERTY & CO	EQUIFAX INC	В	49,296.00	0.00	0.00	0.00	80.0	0.00

INVESTMENT

TRADE DATE

CLIENT

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TRADE DATE	CLIENI	INVESIMENI	Б/ 5	AMOUNT	COMMISSION	CHARGE	COMMISSION	KAIL	COMMISSION
		BRO	OKER TRANSA	CTIONS					
PRODUCT CAT	EGORY: STOCKS								
09/08/2017 75	599821 RF LAFFERTY & CO	NVIDIA CORP	S	4,907.70	0.00	0.00	0.00	80.0	0.00
09/08/2017 75	599821 RF LAFFERTY & CO	NVIDIA CORP	В	4,907.70	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	BAOZUN INC AMERICAN DEPOS	ITARY SHAR S	12,785.48	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	BAOZUN INC AMERICAN DEPOS	ITARY SHAR S	12,785.48	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	BAOZUN INC AMERICAN DEPOS	ITARY SHAR S	12,785.48	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	BAOZUN INC AMERICAN DEPOS	ITARY SHAR B	38,356.44	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	BLACKSTONE GROUP L P (THE	) COMMON US	3,709.68	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	BLACKSTONE GROUP L P (THE	) COMMON US	3,225.81	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	BLACKSTONE GROUP L P (THE	) COMMON US	3,225.81	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	BLACKSTONE GROUP L P (THE	) COMMON UB	10,161.32	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	CITIGROUP INC COM	В	268,012.60	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	CITIGROUP INC COM	S	66,990.00	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	CITIGROUP INC COM	S	67,050.10	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	CITIGROUP INC COM	S	66,970.00	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	CITIGROUP INC COM	S	67,002.51	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	ENBRIDGE ENERGY PARTNERS	L P S	2,272.49	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	ENBRIDGE ENERGY PARTNERS	L P S	7,574.95	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	ENBRIDGE ENERGY PARTNERS	L P S	7,574.95	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	ENBRIDGE ENERGY PARTNERS	L P S	7,574.95	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	ENBRIDGE ENERGY PARTNERS	L P B	24,997.34	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	EQUIFAX INC	S	22,540.00	0.00	0.00	0.00	80.0	0.00
09/11/2017 75	599821 RF LAFFERTY & CO	EQUIFAX INC	В	22,540.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	KLA-TENCOR CORP COM	S	29,205.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	KLA-TENCOR CORP COM	В	97.32	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	KLA-TENCOR CORP COM	В	22,578.24	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	KLA-TENCOR CORP COM	В	6,520.44	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	MOMO INC ADR	В	367,353.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	MOMO INC ADR	S	91,882.50	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	MOMO INC ADR	S	91,882.50	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	599821 RF LAFFERTY & CO	MOMO INC ADR	S	5,289.12	0.00	0.00	0.00	80.0	0.00
			D	0,20,.22			0.00		0.00

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INVESTMENT

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
TRADE DATE	CHIENI	INVESTRENT	Б/ Б	AMOUNI	COMMISSION	CHARGE	COMMISSION	KAIE	COMMISSION
		PROVE	R TRANS	N CTITONS					
		DROKE	K IKANS	ACTIONS					
PRODUCT CAT	regory: stocks								
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	5,729.88	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	11,019.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	12,635.12	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	18,365.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,670.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,670.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	40,359.11	0.00	0.00	0.00	80.0	0.00
09/14/2017 75	5599821 RF LAFFERTY & CO	MOMO INC ADR	S	7,344.00	0.00	0.00	0.00	80.0	0.00
09/15/2017 75	5599821 RF LAFFERTY & CO	STRYKER CORP	В	72,150.00	0.00	0.00	0.00	80.0	0.00
09/15/2017 75	5599821 RF LAFFERTY & CO	STRYKER CORP	S	28,860.00	0.00	0.00	0.00	80.0	0.00
09/15/2017 75	5599821 RF LAFFERTY & CO	STRYKER CORP	S	31,024.50	0.00	0.00	0.00	80.0	0.00
09/15/2017 75	5599821 RF LAFFERTY & CO	STRYKER CORP	S	5,050.50	0.00	0.00	0.00	80.0	0.00
09/15/2017 75	5599821 RF LAFFERTY & CO	STRYKER CORP	S	7,215.00	0.00	0.00	0.00	80.0	0.00
09/18/2017 75	5599821 RF LAFFERTY & CO	ALLERGAN PLC	S	1,992.26	0.00	0.00	0.00	80.0	0.00
09/18/2017 75	5599821 RF LAFFERTY & CO	ALLERGAN PLC	S	1,328.18	0.00	0.00	0.00	80.0	0.00
09/18/2017 75	5599821 RF LAFFERTY & CO	ALLERGAN PLC	S	1,106.81	0.00	0.00	0.00	80.0	0.00
09/18/2017 75	5599821 RF LAFFERTY & CO	ALLERGAN PLC	В	4,427.25	0.00	0.00	0.00	80.0	0.00
09/18/2017 75	5599821 RF LAFFERTY & CO	KLA-TENCOR CORP COM	S	20,102.00	0.00	0.00	0.00	80.0	0.00
09/18/2017 75	5599821 RF LAFFERTY & CO	KLA-TENCOR CORP COM	В	20,101.98	0.00	0.00	0.00	80.0	0.00
09/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	50,210.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	7,650.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-7,650.00	0.00	0.00	0.00	80.0	0.00 0
09/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	7,650.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	502.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-502.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	502.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	502.00	0.00	0.00	0.00		0.00
09/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-502.00	0.00	0.00	0.00	80.0	0.00 C
	5599821 RF LAFFERTY & CO 5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM BIOLIFE SOLUTIONS INC COM	B S	-502.00 502.00	0.00	0.00	0.00		0.00 C

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		1217 110 1111111	_,_	11100111	201111201014	CILLINGE	231111201011		331111331014
		BROKER	TRANSA	ACTIONS					
PRODUCT CAT	regory: stocks								
	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-503.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	503.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	818.26	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-818.26	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	818.26	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,189.74	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-1,189.74	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,189.74	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,509.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-1,509.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,509.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,506.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-1,506.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,506.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	2,515.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-2,515.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	2,515.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,004.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-1,004.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,004.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	920.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-920.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	920.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,580.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-1,580.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,580.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	12,500.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-12,500.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	12,500.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	2,500.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-2,500.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	2,500.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	2,500.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-2,500.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	2,500.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	501.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-501.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	501.00	0.00	0.00	0.00	80.0	0.00
9/20/2017 75	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	501.00	0.00	0.00	0.00	80.0	0.00
19/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-501.00	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKER '	rans.	ACTIONS					
PRODUCT CA	TEGORY: STOCKS								
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	501.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	501.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-501.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	501.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	3,507.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-3,507.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	3,507.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	501.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-501.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	501.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	65.13	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-65.13	0.00	0.00	0.00	80.0	0.00 C
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	65.13	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,000.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-1,000.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,000.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	2,935.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-2,935.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	2,935.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	3,000.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	В	-3,000.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017 7	5599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	3,000.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	В	41,702.90	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	3,502.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	5,899.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	1,339.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	4,466.22	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	609.03	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	207.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	207.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	207.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	414.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	414.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	1,035.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	1,138.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	1,552.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	3,280.16	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	1,845.09	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	203.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	203.50	0.00	0.00	0.00	80.0	0.00

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COMMISSION STATEMENT for CLOSED Z-ACCOUNT

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
TRADE DATE	CHIENI	INVESTMENT	2,5	AMOUNI	COMMISSION	CHARGE	COMMISSION	KAIL	COMMISSION
		DDOVED	mp and:	ACTITONS					
		BROKER	IRANSA	ACTIONS					
PRODUCT CA	TEGORY: STOCKS								
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	203.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	203.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	203.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	203.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	203.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	203.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	203.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	203.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	203.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	203.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	203.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	203.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	2,226.84	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	210.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	210.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	210.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	210.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	210.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	210.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	210.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	210.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	422.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	633.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	6,510.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	105.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	105.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO DELMAR PHARMACEUTICALS INC COM	S	1,055.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO WORKHORSE GROUP INC COM NEW	S	155,948.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &	CO WORKHORSE GROUP INC COM NEW	В	-155,948.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017 7	5599821 RF LAFFERTY &	CO WORKHORSE GROUP INC COM NEW	S	55,948.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY &		В	278.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY &		В	278.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY &		В	279.00	0.00	0.00		80.0	0.00
	5599821 RF LAFFERTY &		В	2,794.00	0.00	0.00		80.0	0.00
	5599821 RF LAFFERTY &		В	3,348.00	0.00	0.00		80.0	0.00
	5599821 RF LAFFERTY &		В	556.00	0.00	0.00		80.0	0.00
	5599821 RF LAFFERTY &		В	837.00	0.00	0.00		80.0	0.00
	5599821 RF LAFFERTY &		В	1,112.00	0.00	0.00		80.0	0.00
	5599821 RF LAFFERTY &		В	1,390.00	0.00	0.00		80.0	0.00
U9/ZU/ZUI/ 7	5599821 RF LAFFERTY &	CO WORKHORSE GROUP INC COM NEW	В	1,400.00	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKER	R TRANS	ACTIONS					
PRODUCT CAT	regory: stocks								
09/20/2017 7		WORKHORSE GROUP INC COM NEW	В	1,680.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO		В	14,000.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	WORKHORSE GROUP INC COM NEW	В	440.82	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	WORKHORSE GROUP INC COM NEW	В	6,439.77	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	WORKHORSE GROUP INC COM NEW	В	6,440.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	WORKHORSE GROUP INC COM NEW	В	675.18	0.00	0.00	0.00	80.0	0.00
09/20/2017 7	5599821 RF LAFFERTY & CO	WORKHORSE GROUP INC COM NEW	В	13,999.50	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	В	448,896.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	В	83,366.40	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	В	83,366.40	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	В	83,366.40	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	В	40,144.13	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	В	26,720.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,562.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	42.70	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	44,963.10	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	38,430.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	14,945.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	53,375.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	42,700.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	64,050.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	106,750.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	64,200.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	8,560.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	23,540.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	29,960.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	47,080.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	4,280.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	64,200.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 7	5599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	134,820.00	0.00	0.00	0.00	80.0	0.00

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RODUCT CATEGORY: STOCKS  ***P424/2017/75599921 RF LATEFERTY & CO	TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
### RODUCT CATEGORY: STOCKS  9/22/2017 75599821 FL LAFFERTY & CO		021241	1117 110 11111111		11100111	COMMISSION	CILLICI	COLLIEBTON	14112	
VAZIZIZITY 75599821 RF LAFFERTY & CO			BROKER TE	RANS	ACTIONS					
9/22/2017 75599821 RF LAFFERTY & CC REST BUY CO INC (MR) S 5,385.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	PRODUCT CA	TEGORY: STOCKS								
9/22/2017 75599821 RF LAPPERTY & CO	09/22/2017 7	5599821 RF LAFFERTY & CO	BEST BUY CO INC (MN)	В	32,310.00	0.00	0.00	0.00	80.0	0.00
9/22/2017 75599821 RF LAFFERTY & CO	09/22/2017 7	5599821 RF LAFFERTY & CO	BEST BUY CO INC (MN)	S	5,385.00	0.00	0.00	0.00	80.0	0.00
9/22/2017 75599821 RP LAPPERTY & CO	09/22/2017 7	5599821 RF LAFFERTY & CO	BEST BUY CO INC (MN)	S	5,385.00	0.00	0.00	0.00	80.0	0.00
9/22/2017 75599921 RF LAPPERTY & CO	09/22/2017 7	5599821 RF LAFFERTY & CO	BEST BUY CO INC (MN)	S	5,385.00	0.00	0.00	0.00	80.0	0.00
9/22/2017 75599821 RF LAFFERTY & CO CARNIVAL CORP COMMON PAIRED STOCK B 32,050.55 0.00 0.00 0.00 0.00 80.0 0.00 9/22/2017 75599821 RF LAFFERTY & CO CARNIVAL CORP COMMON PAIRED STOCK B 32,050.55 0.00 0.00 0.00 0.00 80.0 0.00 9/22/2017 75599821 RF LAFFERTY & CO CARNIVAL CORP COMMON PAIRED STOCK S 6.410.50 0.00 0.00 0.00 80.0 0.00 9/22/2017 75599821 RF LAFFERTY & CO CARNIVAL CORP COMMON PAIRED STOCK S 12,820.02 0.00 0.00 0.00 0.00 80.0 0.00 9/22/2017 75599821 RF LAFFERTY & CO CARNIVAL CORP COMMON PAIRED STOCK S 12,820.02 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.606.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.606.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.606.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.607.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.607.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.607.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.607.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 8.9,519.94 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 8.9,519.94 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3.7,530.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3.7,530.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3.7,530.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3.7,530.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1.000 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1.000 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017	09/22/2017 7	5599821 RF LAFFERTY & CO	BEST BUY CO INC (MN)	S	5,385.00	0.00	0.00	0.00	80.0	0.00
9/22/2017 75599821 RF LAFFERTY & CO CARNIVAL CORP COMMON PAIRED STOCK S 6,410.50 0.00 0.00 0.00 0.00 80.0 0.00 9/22/2017 75599821 RF LAFFERTY & CO CARNIVAL CORP COMMON PAIRED STOCK S 12,820.02 0.00 0.00 0.00 0.00 80.0 0.00 9/22/2017 75599821 RF LAFFERTY & CO CARNIVAL CORP COMMON PAIRED STOCK S 12,820.02 0.00 0.00 0.00 0.00 80.0 0.00 9/22/2017 75599821 RF LAFFERTY & CO CARNIVAL CORP COMMON PAIRED STOCK S 12,820.02 0.00 0.00 0.00 0.00 80.0 0.00 9/22/2017 75599821 RF LAFFERTY & CO PINNACLE MEST CAP CORP COM S 8,606.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE MEST CAP CORP COM S 8,606.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE MEST CAP CORP COM S 8,607.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE MEST CAP CORP COM S 8,607.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE MEST CAP CORP COM S 8,607.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE MEST CAP CORP COM S 8,607.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 8,507.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 0.00 0.00 0.00	09/22/2017 7	5599821 RF LAFFERTY & CO	BEST BUY CO INC (MN)	S	5,385.00	0.00	0.00	0.00	80.0	0.00
9/22/2017 75599821 RF LAFFERTY & CO CARNIVAL CORP COMMON PAIRED STOCK S 6,410.50 0.00 0.00 0.00 0.00 80.0 0.0 0.00 9/22/2017 75599821 RF LAFFERTY & CO CARNIVAL CORP COMMON PAIRED STOCK S 12,820.02 0.00 0.00 0.00 0.00 80.0 0.0 9/22/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.00.0 0.00 0.00 0.00 0.00 0.00 80.0 0.0	09/22/2017 7	5599821 RF LAFFERTY & CO	BEST BUY CO INC (MN)	S	5,385.00	0.00	0.00	0.00	80.0	0.00
9/22/2017 75599821 RF LAFFERTY & CC CARNIVAL CORP COMMON PAIRED STOCK S 12,820.02 0.00 0.00 0.00 80.0 0.00 0.00 80.0 0.00 9/22/2017 75599821 RF LAFFERTY & CC CARNIVAL CORP COMMON PAIRED STOCK S 12,820.02 0.00 0.00 0.00 0.00 80.0 0.00 0.0	09/22/2017 7	5599821 RF LAFFERTY & CO	CARNIVAL CORP COMMON PAIRED STOCK	В	32,050.55	0.00	0.00	0.00	80.0	0.00
9/22/2017 75599821 RF LAFFERTY & CO CARNIVAL CORP COMMON PAIRED STOCK S 12.820.02 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM B 34.426.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.606 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.607.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.607.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.607.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.507.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.519.94 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3.730.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3.730.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3.730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3.730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3.730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3.730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3.730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1.707.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1.707.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1.716.26 0.00 0.00 0.00 0.00 80.0 0.00 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1.716.26 0.00 0.00 0.00 0.00 0.00 80.0 0.00 0.0	09/22/2017 7	5599821 RF LAFFERTY & CO	CARNIVAL CORP COMMON PAIRED STOCK	S	6,410.50	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.60.60 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.60.60 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8.600.00 0.00 0.00 0.00 0.00 0.00 0.00	09/22/2017 7	5599821 RF LAFFERTY & CO	CARNIVAL CORP COMMON PAIRED STOCK	S	12,820.02	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO PINNACLE NEST CAP CORP COM S 8.66.06 0.00 0.00 0.00 0.00 80.0 0.00 0.0	09/22/2017 7	5599821 RF LAFFERTY & CO	CARNIVAL CORP COMMON PAIRED STOCK	S	12,820.02	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8,600.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	09/25/2017 7	5599821 RF LAFFERTY & CO	PINNACLE WEST CAP CORP COM	В	34,426.00	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8,607.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8,607.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8,519.94 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR B 29,843.36 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,7	09/25/2017 7	5599821 RF LAFFERTY & CO	PINNACLE WEST CAP CORP COM	S	86.06	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8,519.94 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO PINNACLE WEST CAP CORP COM S 8,519.94 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR B 29,843.36 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.05 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.05 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.05 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,01	09/25/2017 7	5599821 RF LAFFERTY & CO	PINNACLE WEST CAP CORP COM	S	8,606.00	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR B 29,848.36 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.	09/25/2017 7	5599821 RF LAFFERTY & CO	PINNACLE WEST CAP CORP COM	S	8,607.00	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR B 29,843.36 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.44 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.44 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.44 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.44 0.00 0.00 0.00 0.00 0.00 80	09/25/2017 7	5599821 RF LAFFERTY & CO	PINNACLE WEST CAP CORP COM	S	8,607.00	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.0	09/25/2017 7	5599821 RF LAFFERTY & CO	PINNACLE WEST CAP CORP COM	S	8,519.94	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,707.10 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD S 80,778.60 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD S 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD S 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD S 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD S 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD S 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD S 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 7559	09/25/2017 7	5599821 RF LAFFERTY & CO	SONY CORPORATION ADR	В	29,843.36	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 80.0 0.0 9/26/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.0 9/26/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.0 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 0.00 80.0 0.0 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 0.00 80.0 0.0 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.0 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.0 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.0 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.0 9/	09/25/2017 7	5599821 RF LAFFERTY & CO	SONY CORPORATION ADR	S	3,730.00	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 3,730.50 0.00 0.00 0.00 0.00 80.0 0.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.0 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.74 0.00 0.00 0.00 0.00 80.0 0.0 9/26/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.74 0.00 0.00 0.00 0.00 80.0 0.0 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B	09/25/2017 7	5599821 RF LAFFERTY & CO	SONY CORPORATION ADR	S	3,730.10	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 8.0778.60 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00	09/25/2017 7	5599821 RF LAFFERTY & CO	SONY CORPORATION ADR	S	3,730.50	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD S 80,778.60 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00	09/25/2017 7	5599821 RF LAFFERTY & CO	SONY CORPORATION ADR	S	3,730.50	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,007.10 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.74 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD S 80,778.60 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC N	09/25/2017 7	5599821 RF LAFFERTY & CO	SONY CORPORATION ADR	S	3,730.50	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD S 80,778.60 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO	09/25/2017 7	5599821 RF LAFFERTY & CO	SONY CORPORATION ADR	S	1,007.10	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.03 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.74 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD S 80,778.60 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00	09/25/2017 7	5599821 RF LAFFERTY & CO	SONY CORPORATION ADR	S	1,007.10	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 1,716.26 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.74 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD S 80,778.60 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	09/25/2017 7	5599821 RF LAFFERTY & CO	SONY CORPORATION ADR	S	1,716.03	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.47 0.00 0.00 0.00 80.0 0.00 9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.74 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD S 80,778.60 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.0	09/25/2017 7	5599821 RF LAFFERTY & CO	SONY CORPORATION ADR	S	1,716.03	0.00	0.00	0.00	80.0	0.00
9/25/2017 75599821 RF LAFFERTY & CO SONY CORPORATION ADR S 2,014.74 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD S 80,778.60 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	09/25/2017 7	5599821 RF LAFFERTY & CO	SONY CORPORATION ADR	S	1,716.26	0.00	0.00	0.00	80.0	0.00
9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD S 80,778.60 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	09/25/2017 7	5599821 RF LAFFERTY & CO	SONY CORPORATION ADR	S	2,014.47	0.00	0.00	0.00	80.0	0.00
9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00	09/25/2017 7	5599821 RF LAFFERTY & CO	SONY CORPORATION ADR	S	2,014.74	0.00	0.00	0.00	80.0	0.00
9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 576.50 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00	09/26/2017 7	5599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	S	80,778.60	0.00	0.00	0.00	80.0	0.00
9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00	09/26/2017 7	5599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	В	576.50	0.00	0.00	0.00	80.0	0.00
9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00	09/26/2017 7	5599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	В	576.50	0.00	0.00	0.00	80.0	0.00
9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00	09/26/2017 7	5599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	В	577.00	0.00	0.00	0.00	80.0	0.00
9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00	09/26/2017 7	5599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	В	577.00	0.00	0.00	0.00	80.0	0.00
9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00 0.00 0.00	09/26/2017 7	5599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	В	577.00	0.00	0.00	0.00	80.0	0.00
9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.00	09/26/2017 7	5599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	В	577.00	0.00	0.00	0.00	80.0	0.00
	09/26/2017 7	5599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	В	577.00	0.00	0.00	0.00	80.0	0.00
9/26/2017 75599821 RF LAFFERTY & CO ENSCO PLC NEW CLASS A ORD B 577.00 0.00 0.00 0.00 80.0 0.0	09/26/2017 7	5599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	В	577.00	0.00	0.00	0.00	80.0	0.00
	09/26/2017 7	5599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	В	577.00	0.00	0.00	0.00	80.0	0.00

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INVESTMENT

GROSS

CLEARING

NET

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BRO	KER TRANSA	CTIONS					
PRODUCT CAT	EGORY: STOCKS								
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	6,347.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	8,078.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	957.82	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	10,963.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	1,154.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	1,154.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	1,154.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	1,154.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	1,350.18	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	15,002.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	1,731.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	1,731.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	2,308.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	2,308.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	2,308.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	2,885.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	2,885.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	3,462.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO ENSCO PLC NEW CLASS A ORD	В	3,462.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO MACQUARIE INFRASTRUCTURE C	ORPORATIO B	7,345.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO MACQUARIE INFRASTRUCTURE C	ORPORATIO B	7,345.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO MACQUARIE INFRASTRUCTURE C	ORPORATIO S	7,345.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO MACQUARIE INFRASTRUCTURE C	ORPORATIO S	7,345.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO SEADRILL LIMITED SHS	S	12,252.80	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO SEADRILL LIMITED SHS	В	38.28	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO SEADRILL LIMITED SHS	В	38.28	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO SEADRILL LIMITED SHS	В	38.28	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO SEADRILL LIMITED SHS	В	382.10	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO SEADRILL LIMITED SHS	В	382.10	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO SEADRILL LIMITED SHS	В	382.80	0.00	0.00	0.00	80.0	0.00
09/26/2017 75	599821 RF LAFFERTY 8	& CO SEADRILL LIMITED SHS	В	76.56	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER T	RANS	ACTIONS					
PRODUCT CA	TEGORY: STOCKS								
09/26/2017 7	5599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	В	10,187.80	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	В	114.84	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	В	152.84	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	В	229.68	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	В	229.68	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	S	15,700.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	S	15,700.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	S	39,250.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	S	15,700.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	S	15,700.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	S	15,670.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	В	23,550.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	В	39,250.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	В	39,250.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	В	7,835.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	В	7,835.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SPECTRUM BRANDS HOLDINGS INC	В	10,325.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SPECTRUM BRANDS HOLDINGS INC	В	10,325.00	0.00	0.00	0.00	80.0	0.00
09/26/2017 7	5599821 RF LAFFERTY & CO	SPECTRUM BRANDS HOLDINGS INC	S	20,650.00	0.00	0.00	0.00	80.0	0.00
08/01/2017 7	5599822 RF LAFFERTY & CO	VIEWRAY INC COM	S	2,430.00	0.00	0.00	0.00	80.0	0.00
08/31/2017 7	5599822 RF LAFFERTY & CO	HORMEL FOODS CORP	В	6,163.50	0.00	0.00	0.00	80.0	0.00
	5599822 RF LAFFERTY & CO	HORMEL FOODS CORP	S	9,235.02	0.00	0.00	0.00	80.0	0.00
08/31/2017 7	5599822 RF LAFFERTY & CO	HORMEL FOODS CORP	В	3,081.66	0.00	0.00	0.00	80.0	0.00
	5599822 RF LAFFERTY & CO	VIEWRAY INC COM	В	3,049.95	0.00	0.00	0.00	80.0	0.00
09/14/2017 7	5599822 RF LAFFERTY & CO	VIEWRAY INC COM	S	-3,049.95	0.00	0.00	0.00	80.0	0.00 C
	5599822 RF LAFFERTY & CO	VIEWRAY INC COM	В	3,049.95	0.00	0.00	0.00	80.0	0.00
		* STOCKS SUBTOTA	L *	\$4,902,579.67	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CA	TEGORY: BONDS								
	5599820 RF LAFFERTY & CO	CONSOL ENERGY INC SR GLBL NT 22	В	5,020.45	0.00	0.00	0.00	80.0	0.00
	5599820 RF LAFFERTY & CO	CONSOL ENERGY INC SR GLBL NT 22	S	-5,020.45	0.00	0.00		80.0	0.00 C
	5599820 RF LAFFERTY & CO	CONSOL ENERGY INC SR GLBL NT 22	S	5,020.45	0.00	0.00		80.0	0.00
	5599820 RF LAFFERTY & CO		В	5,020.45	0.00	0.00		80.0	0.00
	5599826 RF LAFFERTY & CO	MASTR ADJUSTABLE RATE MORTGAGES T		-13,950.30	0.00	0.00		80.0	0.00 C
	5599826 RF LAFFERTY & CO	MASTR ADJUSTABLE RATE MORTGAGES T		-13,874.80	0.00	0.00		80.0	0.00 C
	5599826 RF LAFFERTY & CO	MASTR ADJUSTABLE RATE MORTGAGES T		13,950.25	0.00	0.00		80.0	0.00
	5599826 RF LAFFERTY & CO	MASTR ADJUSTABLE RATE MORTGAGES T		13,874.84	0.00	0.00		80.0	0.00
	5599826 RF LAFFERTY & CO	GENWORTH FINL INC SR NT	В	18,501.25	0.00	0.00		80.0	0.00
	5599826 RF LAFFERTY & CO	GENWORTH FINE INC SR NT	S	18,572.50	0.00	0.00	0.00		0.00
	5599826 RF LAFFERTY & CO	MORGAN STANLEY TGT CPN 12% LNKD T		45,125.00	0.00	0.00		80.0	0.00
30/31/201//	5555020 Kr HAFFEKII & CO	MONORM DIRMONT TOT CEN 12% DINKD I	ں ن	13,123.00	0.00	0.00	0.00	00.0	0.00

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COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER 1	'RANS	ACTIONS					
PRODUCT CATEGORY: BONDS								
08/31/2017 75599826 RF LAFFERTY & CO	MORGAN STANLEY TGT CPN 12% LNKD T	0 S	45,750.00	0.00	0.00	0.00	80.0	0.00
09/06/2017 75599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21	В	24,937.50	0.00	0.00	0.00	80.0	0.00
09/06/2017 75599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21	S	25,062.50	0.00	0.00	0.00	80.0	0.00
09/08/2017 75599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21	В	24,937.50	0.00	0.00	0.00	80.0	0.00
09/11/2017 75599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21	S	24,975.00	0.00	0.00	0.00	80.0	0.00
09/12/2017 75599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM	40 S	28,614.30	0.00	0.00	0.00	80.0	0.00
09/12/2017 75599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM	40 B	28,500.00	0.00	0.00	0.00	80.0	0.00
09/12/2017 75599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21	S	9,990.00	0.00	0.00	0.00	80.0	0.00
09/12/2017 75599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21	В	9,950.00	0.00	0.00	0.00	80.0	0.00
09/12/2017 75599826 RF LAFFERTY & CO	HORNBECK OFFSHORE SVCS INC NEW GT	D B	9,200.00	0.00	0.00	0.00	80.0	0.00
09/13/2017 75599826 RF LAFFERTY & CO	CONSOL ENERGY INC SR GLBL NT 22	S	5,020.45	0.00	0.00	0.00	80.0	0.00
09/13/2017 75599826 RF LAFFERTY & CO	CONSOL ENERGY INC SR GLBL NT 22	В	-5,020.45	0.00	0.00	0.00	80.0	0.00 C
09/13/2017 75599826 RF LAFFERTY & CO	CONSOL ENERGY INC SR GLBL NT 22	S	5,020.45	0.00	0.00	0.00	80.0	0.00
09/13/2017 75599826 RF LAFFERTY & CO	INTL BANK RECON & DEVELOP	S	13,410.00	0.00	0.00	0.00	80.0	0.00
09/13/2017 75599826 RF LAFFERTY & CO	INTL BANK RECON & DEVELOP	В	13,200.00	0.00	0.00	0.00	80.0	0.00
09/14/2017 75599826 RF LAFFERTY & CO	GLOBAL MARINE INC NTS	S	6,685.00	0.00	0.00	0.00	80.0	0.00
09/19/2017 75599826 RF LAFFERTY & CO	ENSCO PLC	В	24,015.00	0.00	0.00	0.00	80.0	0.00
09/19/2017 75599826 RF LAFFERTY & CO	ENSCO PLC	S	24,300.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 75599826 RF LAFFERTY & CO	BANK AMER CORP TGT CPN 14 LINKED	TO B	11,362.50	0.00	0.00	0.00	80.0	0.00
09/20/2017 75599826 RF LAFFERTY & CO	SLM CORP EDNOTES	В	15,500.00	0.00	0.00	0.00	80.0	0.00
09/20/2017 75599826 RF LAFFERTY & CO	SLM CORP EDNOTES	S	15,550.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 75599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM	40 S	19,000.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 75599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM	40 B	18,900.00	0.00	0.00	0.00	80.0	0.00
09/21/2017 75599826 RF LAFFERTY & CO	SOCIETE GENERALE REV CONV LKD TO	MS S	3,000.00	0.00	0.00	0.00	80.0	0.00
	* BONDS SUBTOTA	L *	\$494,099.39	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: OPTIONS								
09/20/2017 75500685 GLOVISTA F/A/O	CALL SPY 01/20/17 222	В	2,040.00	40.00	0.00	40.00	80.0	32.00
09/20/2017 75500685 GLOVISTA F/A/O	CALL SPY 01/20/17 222	S	-2,040.00	-40.00	0.00	-40.00	80.0	-32.00 C
	* OPTIONS SUBTOTA	L *	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: CERTIFICATES OF	DEPOSIT							
09/27/2017 75599826 RF LAFFERTY & CO	JPMORGAN CHASE BK NA COLUMBUS OH	0 S	7,625.00	0.00	0.00	0.00	80.0	0.00
09/27/2017 75599826 RF LAFFERTY & CO	JPMORGAN CHASE BK NA COLUMBUS OH	Ю В	7,575.00	0.00	0.00	0.00	80.0	0.00
	* CERTIFICATES OF DEPOSIT SUBTOTA	L *	\$15,200.00	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: UNIT INVESTMENT	TRUSTS							
09/06/2017 75599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SE	R S	5,004.47	0.00	0.00	0.00	80.0	0.00
09/06/2017 75599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SE	R B	-5,004.47	0.00	0.00	0.00	80.0	0.00 C
09/06/2017 75599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SE	R S	5,004.47	0.00	0.00	0.00	80.0	0.00
09/06/2017 75599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SE	R B	4,904.36	0.00	0.00	0.00	80.0	0.00

## 10/23/2017 Page No. 12 COMMISSION STATEMENT for CLOSED Z-ACCOUNT

INVESTMENT GROSS CLEARING NET BROKER
TRADE DATE CLIENT INVESTMENT B/S AMOUNT COMMISSION CHARGE COMMISSION RATE COMMISSION

## BROKER TRANSACTIONS

PRODUCT CA	ATEGORY:	MUTUAL	FUND	12B1	TRAILS
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	* UNIT INVESTMENT TRUSTS SUBTOTAL *	\$9,908.83	\$0.00	\$0.00	\$0.00	_	\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS						
09/12/2017 75502067 SCRUGGS, SUSAN	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	0.02	0.00	0.02	80.0	0.02
09/12/2017 75502067 SCRUGGS, SUSAN	THE BOND FUND OF AMERICA-A B	0.00	1.04	0.00	1.04	80.0	0.83
09/12/2017 75502067 SCRUGGS, SUSAN	THE GROWTH FUND OF AMERICA-A B	0.00	2.73	0.00	2.73	80.0	2.18
09/12/2017 75502109 BARBARA L FEGLEY P	ERS MAINSTAY S&P 500 INDEX FUND CLASS A B	0.00	104.67	0.00	104.67	80.0	83.74
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$108.46	\$0.00	\$108.46		\$86.77
	*** BROKER TRANSACTIONS TOTAL ***	\$5,421,787.89	\$108.46	\$0.00	\$108.46		\$86.77

BROKER C	COMMISSION	TOTAT.C

Check Amount	\$86.36
SIPC Assessment	\$-0.27
FINRA Assessment	\$-0.14
Prior Period Balance	\$0.00
Base Salary	\$0.00
Payroll Draw	\$0.00
Adjustments	\$0.00
Override Transactions	\$0.00
Split Transactions	\$0.00
Broker Transactions	\$86.77

\$143.29

Year-to-date Earnings