



# COMMISSION STATEMENT

April 23, 2018

DAVID BRODER  
143 Longfellow Rd  
Shelton, CT 06484

BROKER #: 198  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
04/18/2018	***-**-5134 - KOONTZ, JOSEPH	CHET 529	50.00	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: 68455548301	BUY 0 Units @0.0000						
		<b>* 529 PLAN SUBTOTAL *</b>	<b>\$50.00</b>	<b>\$0.50</b>	<b>\$0.00</b>	<b>\$0.50</b>		<b>\$0.35</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/23/2018	***-**-752I - BRODER, RUTH	FIDELITY ADV BALANCED CL A	0.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: LSR010670	BUY 1 Units @0.0000						
04/23/2018	***-**-287O - AMANTE, JAMES	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.81	0.00	0.81	70.0	0.57
	ACCOUNT #: LSR010473	BUY 1 Units @0.0000						
04/23/2018	***-**-26IN - SANTOS, FATIMA	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: LSR011234	BUY 1 Units @0.0000						
04/23/2018	***-**-340J - PERRONE,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	12.36	0.00	12.36	70.0	8.65
	ACCOUNT #: LSR011761	BUY 1 Units @0.0000						
04/23/2018	***-**-287K - AMANTE, JAMES	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	2.55	0.00	2.55	70.0	1.79
	ACCOUNT #: LSR011868	BUY 1 Units @0.0000						
04/23/2018	***-**-84IR - FARQUHAR, TARA	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.37	0.00	0.37	70.0	0.26
	ACCOUNT #: LSR012312	BUY 1 Units @0.0000						
04/23/2018	***-**-732J - FARQUHAR,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	1.34	0.00	1.34	70.0	0.94
	ACCOUNT #: LSR012313	BUY 1 Units @0.0000						
04/23/2018	***-**-43IN - RISKALLA,	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	4.17	0.00	4.17	70.0	2.92
	ACCOUNT #: LSR010472	BUY 1 Units @0.0000						
04/23/2018	***-**-521I - SCHRYNEMAKERS,	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	7.88	0.00	7.88	70.0	5.52
	ACCOUNT #: LSR010663	BUY 1 Units @0.0000						
04/23/2018	***-**-809I - RICH, JOSEPH	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	4.18	0.00	4.18	70.0	2.93
	ACCOUNT #: LSR010668	BUY 1 Units @0.0000						
04/23/2018	***-**-069I - RICH, PATRICIA	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	3.87	0.00	3.87	70.0	2.71
	ACCOUNT #: LSR010684	BUY 1 Units @0.0000						
04/23/2018	***-**-76TR - STEELE	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	11.78	0.00	11.78	70.0	8.25
	ACCOUNT #: LSR011320	BUY 1 Units @0.0000						
04/23/2018	***-**-76TR - STEELE	FIDELITY ADV CONSUMER STAPLES-CL T	0.00	9.14	0.00	9.14	70.0	6.40
	ACCOUNT #: LSR011320	BUY 1 Units @0.0000						
04/23/2018	***-**-809I - RICH, JOSEPH	FIDELITY ADV HEALTH CARE CL T	0.00	12.67	0.00	12.67	70.0	8.87
	ACCOUNT #: LSR010668	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/23/2018	***-**-069I - RICH, PATRICIA	FIDELITY ADV HEALTH CARE CL T	0.00	6.12	0.00	6.12	70.0	4.28
	ACCOUNT #: LSR010684	BUY 1 Units @0.0000						
04/23/2018	***-**-226O - MAYERSON, MS	FIDELITY ADV HEALTH CARE CL T	0.00	3.06	0.00	3.06	70.0	2.14
	ACCOUNT #: LSR010890	BUY 1 Units @0.0000						
04/23/2018	***-**-84IR - FARQUHAR, TARA	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.75	0.00	0.75	70.0	0.53
	ACCOUNT #: LSR012312	BUY 1 Units @0.0000						
04/23/2018	***-**-732J - FARQUHAR,	FIDELITY ADV NEW INSIGHTS-CL A	0.00	2.55	0.00	2.55	70.0	1.79
	ACCOUNT #: LSR012313	BUY 1 Units @0.0000						
04/23/2018	***-**-43IN - RISKALLA,	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	18.45	0.00	18.45	70.0	12.92
	ACCOUNT #: LSR010472	BUY 1 Units @0.0000						
04/23/2018	***-**-521I - SCHRYNEMAKERS,	FIDELITY ADVISOR EQUITY INCOME CL T	0.00	14.89	0.00	14.89	70.0	10.42
	ACCOUNT #: LSR010663	BUY 1 Units @0.0000						
04/23/2018	***-**-43IN - RISKALLA,	FIDELITY ADVISOR REAL ESTATE INCOME	0.00	6.20	0.00	6.20	70.0	4.34
	ACCOUNT #: LSR010472	BUY 1 Units @0.0000						
04/23/2018	***-**-889I - BAILLARGEON,	JANUS HENDERSON GLBL EQUITY INC CL	0.00	4.32	0.00	4.32	70.0	3.02
	ACCOUNT #: LSR012333	BUY 1 Units @0.0000						
04/23/2018	***-**-809O - RICH, JOSEPH	JANUS HENDERSON GLBL EQUITY INC CL	0.00	2.92	0.00	2.92	70.0	2.04
	ACCOUNT #: LSR012491	BUY 1 Units @0.0000						
04/13/2018	***-**-57IR - SOCHA, CYNTHIA	JOHN HANCOCK TECHNICAL OPPS CL A	0.00	2.38	0.00	2.38	70.0	1.67
	ACCOUNT #: 00002019641	BUY 0 Units @0.0000						
04/23/2018	***-**-43IN - RISKALLA,	PIMCO COMMODITIES PLUS STRATEGY CL	0.00	2.82	0.00	2.82	70.0	1.97
	ACCOUNT #: LSR010472	BUY 1 Units @0.0000						
04/23/2018	***-**-57IO - SOCHA, CYNTHIA	PIMCO COMMODITIES PLUS STRATEGY CL	0.00	1.93	0.00	1.93	70.0	1.35
	ACCOUNT #: LSR010500	BUY 1 Units @0.0000						
04/23/2018	***-**-721I - GUARNA, CATERINA	PUTNAM DIVERSIFIED INCOME FUND CLAS	0.00	0.93	0.00	0.93	70.0	0.65
	ACCOUNT #: LSR012322	BUY 1 Units @0.0000						
04/23/2018	***-**-889I - BAILLARGEON,	PUTNAM DIVERSIFIED INCOME FUND CLAS	0.00	4.55	0.00	4.55	70.0	3.19
	ACCOUNT #: LSR012333	BUY 1 Units @0.0000						
04/23/2018	***-**-287O - AMANTE, JAMES	PUTNAM EQUITY INCOME FUND-A	0.00	3.05	0.00	3.05	70.0	2.14
	ACCOUNT #: LSR010473	BUY 1 Units @0.0000						
04/23/2018	***-**-340J - PERRONE,	PUTNAM EQUITY INCOME FUND-A	0.00	2.32	0.00	2.32	70.0	1.62
	ACCOUNT #: LSR011761	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/23/2018	***-**-54UT - BRODER, JOSHUA	PUTNAM EQUITY INCOME FUND-A	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR011808	BUY 1 Units @0.0000						
04/23/2018	***-**-99UT - BRODER, ZACHARY	PUTNAM EQUITY INCOME FUND-A	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR011809	BUY 1 Units @0.0000						
04/23/2018	***-**-287K - AMANTE, JAMES	PUTNAM EQUITY INCOME FUND-A	0.00	2.56	0.00	2.56	70.0	1.79
	ACCOUNT #: LSR011868	BUY 1 Units @0.0000						
04/23/2018	***-**-31IR - BRUNO, CAROL	PUTNAM EQUITY INCOME FUND-A	0.00	1.18	0.00	1.18	70.0	0.83
	ACCOUNT #: LSR012058	BUY 1 Units @0.0000						
04/23/2018	***-**-674O - RAMSEY, MARION	PUTNAM EQUITY INCOME FUND-A	0.00	2.07	0.00	2.07	70.0	1.45
	ACCOUNT #: LSR012274	BUY 1 Units @0.0000						
04/23/2018	***-**-674I - RAMSEY, MARION	PUTNAM EQUITY INCOME FUND-A	0.00	1.28	0.00	1.28	70.0	0.90
	ACCOUNT #: LSR012275	BUY 1 Units @0.0000						
04/23/2018	***-**-84IR - FARQUHAR, TARA	PUTNAM EQUITY INCOME FUND-A	0.00	0.70	0.00	0.70	70.0	0.49
	ACCOUNT #: LSR012312	BUY 1 Units @0.0000						
04/23/2018	***-**-732J - FARQUHAR,	PUTNAM EQUITY INCOME FUND-A	0.00	4.15	0.00	4.15	70.0	2.91
	ACCOUNT #: LSR012313	BUY 1 Units @0.0000						
04/23/2018	***-**-04UT - BRODER, HARRISON	PUTNAM EQUITY INCOME FUND-B	0.00	0.29	0.00	0.29	70.0	0.20
	ACCOUNT #: LSR011807	BUY 1 Units @0.0000						
04/23/2018	***-**-54UT - BRODER, JOSHUA	PUTNAM EQUITY INCOME FUND-B	0.00	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: LSR011808	BUY 1 Units @0.0000						
04/23/2018	***-**-99UT - BRODER, ZACHARY	PUTNAM EQUITY INCOME FUND-B	0.00	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: LSR011809	BUY 1 Units @0.0000						
04/23/2018	***-**-04UT - BRODER, HARRISON	PUTNAM EQUITY INCOME FUND-C	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR011807	BUY 1 Units @0.0000						
04/23/2018	***-**-43IN - RISKALLA,	PUTNAM HIGH YIELD ADVANTAGE CLASS B	0.00	7.43	0.00	7.43	70.0	5.20
	ACCOUNT #: LSR010472	BUY 1 Units @0.0000						
04/23/2018	***-**-069I - RICH, PATRICIA	PUTNAM HIGH YIELD ADVANTAGE CLASS B	0.00	4.13	0.00	4.13	70.0	2.89
	ACCOUNT #: LSR010684	BUY 1 Units @0.0000						
04/23/2018	***-**-76TR - STEELE	PUTNAM HIGH YIELD ADVANTAGE CLASS B	0.00	6.51	0.00	6.51	70.0	4.56
	ACCOUNT #: LSR011320	BUY 1 Units @0.0000						
04/23/2018	***-**-673I - CZUBIK,	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	3.35	0.00	3.35	70.0	2.35
	ACCOUNT #: LSR010662	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/23/2018	***-**-18IN - SANCHEZ, THERESA	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	2.48	0.00	2.48	70.0	1.74
	ACCOUNT #: LSR010749	BUY 1 Units @0.0000						
04/23/2018	***-**-26IN - SANTOS, FATIMA	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	0.25	0.00	0.25	70.0	0.18
	ACCOUNT #: LSR011234	BUY 1 Units @0.0000						
04/23/2018	***-**-674O - RAMSEY, MARION	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	2.04	0.00	2.04	70.0	1.43
	ACCOUNT #: LSR012274	BUY 1 Units @0.0000						
04/23/2018	***-**-674I - RAMSEY, MARION	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	2.94	0.00	2.94	70.0	2.06
	ACCOUNT #: LSR012275	BUY 1 Units @0.0000						
04/23/2018	***-**-84IR - FARQUHAR, TARA	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	0.33	0.00	0.33	70.0	0.23
	ACCOUNT #: LSR012312	BUY 1 Units @0.0000						
04/23/2018	***-**-732J - FARQUHAR,	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	2.44	0.00	2.44	70.0	1.71
	ACCOUNT #: LSR012313	BUY 1 Units @0.0000						
04/23/2018	***-**-226O - MAYERSON, MS	PUTNAM TAX-FREE HIGH YIELD FUND CLA	0.00	5.23	0.00	5.23	70.0	3.66
	ACCOUNT #: LSR010890	BUY 1 Units @0.0000						
04/23/2018	***-**-76TR - STEELE	PUTNAM TAX-FREE HIGH YIELD-B	0.00	5.61	0.00	5.61	70.0	3.93
	ACCOUNT #: LSR011320	BUY 1 Units @0.0000						
04/23/2018	***-**-52IN - BRODER, RUTH	RESOURCE REAL ESTATE TRAILS	0.00	3.19	0.00	3.19	70.0	2.23
		BUY 0 Units @0.0000						
04/23/2018	***-**-543I - RISKALLA,	RESOURCE REAL ESTATE TRAILS	0.00	2.93	0.00	2.93	70.0	2.05
	ACCOUNT #: 20033401	BUY 0 Units @0.0000						
04/23/2018	***-**-76TR - STEELE	RESOURCE REAL ESTATE TRAILS	0.00	2.07	0.00	2.07	70.0	1.45
	ACCOUNT #: 20033530	BUY 0 Units @0.0000						
04/23/2018	***-**-846I - BREEN, KENNETH	RESOURCE REAL ESTATE TRAILS	0.00	3.46	0.00	3.46	70.0	2.42
	ACCOUNT #: 020033838	BUY 0 Units @0.0000						
04/23/2018	***-**-809I - RICH, JOSEPH	RESOURCE REAL ESTATES-NFS	0.00	4.19	0.00	4.19	70.0	2.93
	ACCOUNT #: LSR010668	BUY 1 Units @0.0000						
04/23/2018	***-**-09IR - RICH, JOSEPH	RESOURCE REAL ESTATES-NFS	0.00	1.65	0.00	1.65	70.0	1.16
	ACCOUNT #: LSR010679	BUY 1 Units @0.0000						
04/23/2018	***-**-69IR - RICH, PATRICIA	RESOURCE REAL ESTATES-NFS	0.00	1.65	0.00	1.65	70.0	1.16
	ACCOUNT #: LSR010683	BUY 1 Units @0.0000						
04/23/2018	***-**-069I - RICH, PATRICIA	RESOURCE REAL ESTATES-NFS	0.00	4.00	0.00	4.00	70.0	2.80
	ACCOUNT #: LSR010684	BUY 1 Units @0.0000						



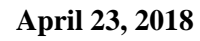
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<b>BROKER TRANSACTIONS (continued)</b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
04/23/2018	***-**-674I - RAMSEY, MARION	RESOURCE REAL ESTATES-NFS	0.00	2.95	0.00	2.95	70.0	2.07
	ACCOUNT #: LSR012275	BUY 1 Units @0.0000						
04/23/2018	***-**-84IR - FARQUHAR, TARA	RESOURCE REAL ESTATES-NFS	0.00	0.55	0.00	0.55	70.0	0.39
	ACCOUNT #: LSR012312	BUY 1 Units @0.0000						
04/23/2018	***-**-732J - FARQUHAR,	RESOURCE REAL ESTATES-NFS	0.00	1.98	0.00	1.98	70.0	1.39
	ACCOUNT #: LSR012313	BUY 1 Units @0.0000						
04/23/2018	***-**-721I - GUARNA, CATERINA	RESOURCE REAL ESTATES-NFS	0.00	0.88	0.00	0.88	70.0	0.62
	ACCOUNT #: LSR012322	BUY 1 Units @0.0000						
04/23/2018	***-**-889I - BAILLARGEON,	RESOURCE REAL ESTATES-NFS	0.00	8.66	0.00	8.66	70.0	6.06
	ACCOUNT #: LSR012333	BUY 1 Units @0.0000						
04/13/2018	***-**-118I - PIRULLI, DEBORAH	STRATEGIC INCOME A	0.00	5.15	0.00	5.15	70.0	3.61
	ACCOUNT #: 00002034826	BUY 0 Units @0.0000						
	<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$250.22</b>	<b>\$0.00</b>	<b>\$250.22</b>		<b>\$175.24</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
04/16/2018	***-**-18IN - SANCHEZ, THERESA	PACIFIC LIFE VA COMM	0.00	15.72	0.00	15.72	70.0	11.00
	ACCOUNT #: VM15002308	BUY 0 Units @0.0000						
	<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$15.72</b>	<b>\$0.00</b>	<b>\$15.72</b>		<b>\$11.00</b>
	<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$50.00</b>	<b>\$266.44</b>	<b>\$0.00</b>	<b>\$266.44</b>		<b>\$186.59</b>



**BROKER #:** 198  
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				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
<hr/>							
						BROKER COMMISSION TOTALS <hr/>	
						Broker Transactions	\$186.59
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$-3.99
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
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						Net Commission Earned	\$182.60
						Year-to-date Earnings	\$15,941.65