

**COMMISSION STATEMENT****January 12, 2018**

SYLVIA LUM  
209 Broadway Avenue  
Millbrae, CA 94030

BROKER #: 73881  
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>Company: Securities America</u></b>							

**BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

01/11/2018	LUM (73881), SYLVIA	SAI SECURITES INCOME	0.00	16.85	13.48	0.00	13.48
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$16.85	\$13.48	\$0.00	\$13.48

**PRODUCT CATEGORY: TRAILERS**

01/11/2018	LUM (73881), SYLVIA	SAI.TRAILS	0.00	1,046.98	837.58	0.00	837.58
* TRAILERS SUBTOTAL *			\$0.00	\$1,046.98	\$837.58	\$0.00	\$837.58
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$1,063.83	\$851.06	\$0.00	\$851.06

**ADJUSTMENTS**

14. SAI SIPC PE 1/5/18							-0.42
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$-0.42	\$0.00	\$-0.42

**BROKER COMMISSION TOTALS**

Broker Transactions	\$851.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-0.42
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$850.64
Year-to-date Earnings	\$970.83