



Concorde Investment Services, LLC

02/27/2018

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COMMISSION STATEMENT**February 28, 2018**

101 CFGROUP/KOSANKE
 1120 E. Long Lake Road
 Suite 250
 Troy, MI 48085

BROKER #: 101
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
02/12/2018	MERREN, DANELL	AM FUNDS AMERICAN MUTUAL 529C	1,000.00	10.00	0.00	10.00	95.0	9.50
02/12/2018	BOWEN, ED	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
02/15/2018	STOLL, BENJAMIN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
02/15/2018	VAN DAELE, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	5.00	0.00	5.00	95.0	4.75
02/15/2018	VAN DAELE, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND 52	100.00	5.00	0.00	5.00	95.0	4.75
02/12/2018	BOWEN, ED	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	95.0	2.02
02/02/2018	NIZIO, MARGARET	BLACKROCK 67776G493	50.00	0.50	0.00	0.50	95.0	0.48
02/02/2018	NIZIO, EDMUND	BLACKROCK 67776G493	50.00	0.50	0.00	0.50	95.0	0.48
02/01/2018	KUCHAREK, PAUL	BLACKROCK 67776G469	50.00	1.75	0.00	1.75	95.0	1.66
* 529 PLANS SUBTOTAL *			\$1,500.00	\$29.14	\$0.00	\$29.14		\$27.68
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/15/2018	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
02/15/2018	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
02/15/2018	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
02/15/2018	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
02/15/2018	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
02/15/2018	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
02/15/2018	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
02/15/2018	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
02/07/2018	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	2.55	0.00	2.55	95.0	2.42
02/15/2018	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
02/15/2018	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
02/15/2018	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
02/15/2018	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
02/15/2018	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
02/15/2018	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
02/15/2018	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/15/2018	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
02/15/2018	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
02/12/2018	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	28.00	1.40	0.00	1.40	95.0	1.33
02/12/2018	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	28.00	1.40	0.00	1.40	95.0	1.33
02/08/2018	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	2,000.00	40.00	0.00	40.00	95.0	38.00
02/08/2018	ALVEY, SHERRIE	AM FUNDS CAPITAL WORLD GRTH & INC A	610.25	12.21	0.00	12.21	95.0	11.60
02/08/2018	GINGELL, PAUL	AM FUNDS EUROPACIFIC GROWTH FUND A	2,610.00	52.20	0.00	52.20	95.0	49.59
02/05/2018	KEMP, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	95.0	2.02
02/08/2018	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	1,000.00	20.00	0.00	20.00	95.0	19.00
02/08/2018	ALVEY, SHERRIE	AM FUNDS GROWTH FUND OF AMERICA A	610.25	12.21	0.00	12.21	95.0	11.60
02/15/2018	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
02/15/2018	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
02/15/2018	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
02/15/2018	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
02/09/2018	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
02/15/2018	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	35.00	0.35	0.00	0.35	95.0	0.33
02/15/2018	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	60.00	0.60	0.00	0.60	95.0	0.57
02/15/2018	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	35.00	0.35	0.00	0.35	95.0	0.33
02/15/2018	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	35.00	0.35	0.00	0.35	95.0	0.33
02/08/2018	GINGELL, PAUL	AM FUNDS ICA A	1,000.00	20.00	0.00	20.00	95.0	19.00
02/08/2018	ALVEY, SHERRIE	AM FUNDS ICA A	610.25	12.21	0.00	12.21	95.0	11.60
02/15/2018	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
02/15/2018	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
02/15/2018	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
02/15/2018	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
02/15/2018	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
02/08/2018	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	2,000.00	40.00	0.00	40.00	95.0	38.00
02/12/2018	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	4.75
02/15/2018	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
02/15/2018	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
02/15/2018	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
02/15/2018	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
02/12/2018	BUTT, DENNIS	AM FUNDS NEW WORLD A	84.00	4.20	0.00	4.20	95.0	3.99

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/12/2018	BUTT, DENNIS	AM FUNDS NEW WORLD A	84.00	4.20	0.00	4.20	95.0	3.99
02/08/2018	ALVEY, SHERRIE	AM FUNDS SMALLCAP WORLD A	610.25	12.21	0.00	12.21	95.0	11.60
02/15/2018	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
02/15/2018	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
02/15/2018	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
02/15/2018	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
02/09/2018	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
02/12/2018	IVEZAJ, GYSTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.88	0.00	1.88	95.0	1.79
02/15/2018	KEMP, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
02/15/2018	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
02/15/2018	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
02/15/2018	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
02/15/2018	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
02/15/2018	BEHNKE, DANIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
02/15/2018	BEHNKE, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	95.0	4.75
02/15/2018	ULJAJ, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
01/16/2018	ROBINETTE, JANET	DAVIS NEW YORK VENTURE A	50.00	2.00	0.00	2.00	95.0	1.90
01/17/2018	CUDNIK, LEONARD	DAVIS NEW YORK VENTURE A	200.00	8.00	0.00	8.00	95.0	7.60
01/16/2018	GARLAND, DAWN	DAVIS NEW YORK VENTURE B	30.00	1.20	0.00	1.20	95.0	1.14
01/16/2018	MORICI, MICHELLE	DAVIS NEW YORK VENTURE B	50.00	2.00	0.00	2.00	95.0	1.90
01/29/2018	PISTOLESI, MORGAN	DAVIS NEW YORK VENTURE B	100.00	4.00	0.00	4.00	95.0	3.80
01/29/2018	PISTOLESI, VAUGHN	DAVIS NEW YORK VENTURE B	200.00	8.00	0.00	8.00	95.0	7.60
01/29/2018	ITTER, WILLIAM	DAVIS NEW YORK VENTURE B	25.00	1.00	0.00	1.00	95.0	0.95
01/29/2018	CFGROUP, VARIOUS	DWS DEUTSCHE HIGH INCOME FUND A	200.00	8.00	0.00	8.00	95.0	7.60
01/10/2018	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
02/09/2018	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
02/01/2018	KUCHAREK, PAUL	GLOBAL ALLOCATION FUND	50.00	1.88	0.00	1.88	95.0	1.79
02/05/2018	BERGLUND, KRIS	INVESCO AMERICAN FRANCHISE A	50.00	2.50	0.00	2.50	95.0	2.38
02/01/2018	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	153.00	1.53	0.00	1.53	95.0	1.45
02/01/2018	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	45.90	0.46	0.00	0.46	95.0	0.44
02/01/2018	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	41.50	0.42	0.00	0.42	95.0	0.40
02/01/2018	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	24.86	0.25	0.00	0.25	95.0	0.24
02/01/2018	DITRI, ANN	OPPENHEIMER GLOBAL C	148.50	1.49	0.00	1.49	95.0	1.42

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/01/2018	DITRI, ANN	OPPENHEIMER GLOBAL C	44.55	0.45	0.00	0.45	95.0	0.43
02/14/2018	TRBOVICH, NICK	OPPENHEIMER GLOBAL OPPORTUNITIES A	550.00	26.13	0.00	26.13	95.0	24.82
02/14/2018	TRBOVICH, NICK	OPPENHEIMER GLOBAL OPPORTUNITIES A	82.50	3.92	0.00	3.92	95.0	3.72
02/14/2018	TRBOVICH, MARY	OPPENHEIMER GLOBAL OPPORTUNITIES A	150.00	7.13	0.00	7.13	95.0	6.77
02/14/2018	TRBOVICH, MARY	OPPENHEIMER GLOBAL OPPORTUNITIES A	22.50	1.07	0.00	1.07	95.0	1.02
02/01/2018	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	22.50	0.23	0.00	0.23	95.0	0.22
02/01/2018	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	18.80	0.19	0.00	0.19	95.0	0.18
02/01/2018	BAKER, STEVEN	OPPENHEIMER INTL BOND C	41.49	0.41	0.00	0.41	95.0	0.39
02/01/2018	BAKER, STEVEN	OPPENHEIMER INTL BOND C	24.85	0.25	0.00	0.25	95.0	0.24
02/01/2018	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	41.50	0.42	0.00	0.42	95.0	0.40
02/01/2018	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	24.86	0.25	0.00	0.25	95.0	0.24
02/14/2018	TRBOVICH, NICK	OPPENHEIMER MAIN STREET A	550.00	26.13	0.00	26.13	95.0	24.82
02/14/2018	TRBOVICH, NICK	OPPENHEIMER MAIN STREET A	82.50	3.92	0.00	3.92	95.0	3.72
02/14/2018	TRBOVICH, MARY	OPPENHEIMER MAIN STREET A	150.00	7.13	0.00	7.13	95.0	6.77
02/14/2018	TRBOVICH, MARY	OPPENHEIMER MAIN STREET A	22.50	1.07	0.00	1.07	95.0	1.02
02/01/2018	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	22.50	0.23	0.00	0.23	95.0	0.22
02/01/2018	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	18.80	0.19	0.00	0.19	95.0	0.18
02/15/2018	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	50.00	0.50	0.00	0.50	95.0	0.48
02/01/2018	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	45.00	0.45	0.00	0.45	95.0	0.43
02/01/2018	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	37.59	0.38	0.00	0.38	95.0	0.36
02/01/2018	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	41.50	0.42	0.00	0.42	95.0	0.40
02/01/2018	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	24.86	0.25	0.00	0.25	95.0	0.24
02/14/2018	TRBOVICH, NICK	OPPENHEIMER REAL ESTATE A	550.00	26.13	0.00	26.13	95.0	24.82
02/14/2018	TRBOVICH, NICK	OPPENHEIMER REAL ESTATE A	82.50	3.92	0.00	3.92	95.0	3.72
02/14/2018	TRBOVICH, MARY	OPPENHEIMER REAL ESTATE A	150.00	7.13	0.00	7.13	95.0	6.77
02/14/2018	TRBOVICH, MARY	OPPENHEIMER REAL ESTATE A	22.50	1.07	0.00	1.07	95.0	1.02
02/14/2018	TRBOVICH, NICK	OPPENHEIMER VALUE A	550.00	26.13	0.00	26.13	95.0	24.82
02/14/2018	TRBOVICH, NICK	OPPENHEIMER VALUE A	82.50	3.92	0.00	3.92	95.0	3.72
02/14/2018	TRBOVICH, MARY	OPPENHEIMER VALUE A	150.00	7.13	0.00	7.13	95.0	6.77
02/14/2018	TRBOVICH, MARY	OPPENHEIMER VALUE A	22.50	1.07	0.00	1.07	95.0	1.02
02/01/2018	DITRI, ANN	OPPENHEIMER VALUE C	148.50	1.49	0.00	1.49	95.0	1.42
02/01/2018	DITRI, ANN	OPPENHEIMER VALUE C	44.55	0.45	0.00	0.45	95.0	0.43
02/09/2018	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	128.38	1.28	0.00	1.28	95.0	1.22

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/15/2018	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
02/15/2018	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	300.00	3.00	0.00	3.00	95.0	2.85
02/16/2018	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	450.00	4.50	0.00	4.50	95.0	4.28
* MUTUAL FUNDS SUBTOTAL *			\$19,643.99	\$518.55	\$0.00	\$518.55		\$492.67
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/04/2018	SCHROETER, RICHARD	TRANSAMERICA EXTRA	39,646.12	33.04	0.00	33.04	95.0	31.39
01/14/2018	GRUSH, ERNEST	TRANSAMERICA LANDMARK	158,306.73	131.92	0.00	131.92	95.0	125.32
01/30/2018	MADSEN, JOYCE	TRANSAMERICA LANDMARK	5,060.66	4.22	0.00	4.22	95.0	4.01
* VARIABLE ANNUITIES SUBTOTAL *			\$203,013.51	\$169.18	\$0.00	\$169.18		\$160.72
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
02/06/2018	DINKA, JOHN	PAC LIFE VUL	2,500.00	50.00	0.00	50.00	95.0	47.50
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$2,500.00	\$50.00	\$0.00	\$50.00		\$47.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/05/2018	MERRITT, KATHY	COLUMBIA ACORN A	0.00	10.66	0.00	10.66	95.0	10.13
02/05/2018	EQUITY TRUST CO	COLUMBIA ACORN A	0.00	5.31	0.00	5.31	95.0	5.04
02/05/2018	SMARK, CHARLES	COLUMBIA ACORN A	0.00	2.95	0.00	2.95	95.0	2.80
02/05/2018	MOORE, DONYELLE	COLUMBIA EQUITY VALUE A	0.00	1.09	0.00	1.09	95.0	1.04
02/05/2018	MUNTEANU, RADU	COLUMBIA GREATER CHINA A	0.00	10.15	0.00	10.15	95.0	9.64
02/05/2018	KLEMPNER TRUST, VLADIMIR	COLUMBIA INCOME BUILDER FUND A	0.00	9.66	0.00	9.66	95.0	9.18
02/05/2018	SMYTH, DAVID	COLUMBIA LARGE CAP GROWTH A	0.00	3.76	0.00	3.76	95.0	3.57
02/05/2018	MOORE, DONYELLE	COLUMBIA MIDCAP VALUE A	0.00	9.69	0.00	9.69	95.0	9.21
02/05/2018	KULA, THOMAS	COLUMBIA SELIGMAN COMM AND INFO A	0.00	3.26	0.00	3.26	95.0	3.10
02/05/2018	HOSKIN, JOHN	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.60	0.00	6.60	95.0	6.27
02/05/2018	SWIDER, ROB	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.81	0.00	6.81	95.0	6.47
02/05/2018	VANMEER, MICHAEL	COLUMBIA SELIGMAN COMM AND INFO A	0.00	4.05	0.00	4.05	95.0	3.85
02/05/2018	SCULLY, DOROTHY	COLUMBIA SELIGMAN COMM AND INFO C	0.00	49.11	0.00	49.11	95.0	46.65
02/05/2018	FALKIEWICZ, DAVID	COLUMBIA SMALL CAP VALUE I A	0.00	6.66	0.00	6.66	95.0	6.33
02/05/2018	MOORE, DONYELLE	COLUMBIA SMALL CAP VALUE I A	0.00	5.54	0.00	5.54	95.0	5.26
02/05/2018	KUCHAREK, EMMA	COLUMBIA STRATEGIC INVESTOR A	0.00	0.21	0.00	0.21	95.0	0.20
02/05/2018	KUCHAREK, PETER	COLUMBIA STRATEGIC INVESTOR A	0.00	0.21	0.00	0.21	95.0	0.20
02/05/2018	KUCHAREK, GILLIAN	COLUMBIA STRATEGIC INVESTOR A	0.00	0.21	0.00	0.21	95.0	0.20
02/05/2018	KUCHAREK, SARAH	COLUMBIA STRATEGIC INVESTOR A	0.00	0.21	0.00	0.21	95.0	0.20

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
02/05/2018	KINGSLEY, BRIAN GENE	COLUMBIA STRATEGIC INVESTOR A	0.00	3.05	0.00	3.05	95.0	2.90
02/05/2018	KUCHAREK, MIRIAM	COLUMBIA STRATEGIC INVESTOR A	0.00	0.22	0.00	0.22	95.0	0.21
01/31/2018	FLAM, VARIOUS	DREYFUS	353,663.23	75.10	0.00	75.10	95.0	71.35
01/31/2018	JOHNSON, VARIOUS	DREYFUS	30,039.33	6.38	0.00	6.38	95.0	6.06
01/31/2018	CFGROUP, VARIOUS	DREYFUS	69,319.14	14.71	0.00	14.71	95.0	13.97
02/01/2018	HOFER, KATHLEEN	FEDERATED BOND A	0.00	1.68	0.00	1.68	95.0	1.60
02/01/2018	ELLIOT, PATRICIA	FEDERATED EQUITY INCOME A	0.00	11.90	0.00	11.90	95.0	11.31
02/01/2018	KING, VICTORIA	FEDERATED KAUFMANN FUND A	0.00	10.63	0.00	10.63	95.0	10.10
02/01/2018	ELLIOT, JAMES	FEDERATED KAUFMANN FUND-C	0.00	11.35	0.00	11.35	95.0	10.78
02/01/2018	ELLIOT, JAMES	FEDERATED KAUFMANN FUND-C	0.00	34.05	0.00	34.05	95.0	32.35
02/01/2018	FINCH, BEVERLY	FEDERATED SVCS CORP	0.00	0.20	0.00	0.20	95.0	0.19
02/01/2018	HEGEL, SUSAN	FEDERATED US GOV SEC A	0.00	2.45	0.00	2.45	95.0	2.33
10/17/2016	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	312.54	0.00	312.54	95.0	296.91
10/17/2016	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	104.18	0.00	104.18	95.0	98.97
10/17/2016	KOSANKE TRUST, MARK	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.09	0.00	11.09	95.0	10.54
10/17/2016	KOSANKE TRUST, MARK	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.70	0.00	3.70	95.0	3.52
02/14/2018	LAI, MICHAEL	JH FINANCIAL INDUSTRIES A	0.00	1.34	0.00	1.34	95.0	1.27
02/14/2018	MALINAK, FRANCES	JH FINANCIAL INDUSTRIES A	0.00	8.07	0.00	8.07	95.0	7.67
02/14/2018	BOHACEK, STEVE	JH LARGE CAP EQUITY A	0.00	6.58	0.00	6.58	95.0	6.25
02/14/2018	RACK, ROBERT	JH LARGE CAP EQUITY A	0.00	6.54	0.00	6.54	95.0	6.21
02/14/2018	ENGLEBERT, JAMES	JH REGIONAL BANK A	0.00	5.45	0.00	5.45	95.0	5.18
02/14/2018	HELTSLEY, MARTHA	JH REGIONAL BANK A	0.00	2.10	0.00	2.10	95.0	2.00
02/14/2018	BOZELKA, KEVIN	JH REGIONAL BANK A	0.00	2.17	0.00	2.17	95.0	2.06
02/14/2018	JAHNKE, DENNIS	JH REGIONAL BANK A	0.00	5.10	0.00	5.10	95.0	4.85
02/14/2018	EIS (MINOR), MARGO	JH STRATEGIC GROWTH A	0.00	0.52	0.00	0.52	95.0	0.49
02/14/2018	EIS (MINOR), AUSTIN	JH STRATEGIC GROWTH A	0.00	0.18	0.00	0.18	95.0	0.17
02/14/2018	EIS (MINOR), STEFANIE	JH STRATEGIC GROWTH A	0.00	0.18	0.00	0.18	95.0	0.17
02/14/2018	EIS, AUSTIN	JN DISCIPLINED VALUE INT'L A	0.00	0.41	0.00	0.41	95.0	0.39
02/14/2018	EIS, STEFANIE	JN DISCIPLINED VALUE INT'L A	0.00	0.41	0.00	0.41	95.0	0.39
02/14/2018	MANZO, JOHN	LORD ABBETT AFFILIATED A	0.00	232.84	0.00	232.84	95.0	221.20
02/14/2018	FREAR, BARBARA	LORD ABBETT AFFILIATED A	0.00	17.19	0.00	17.19	95.0	16.33
02/14/2018	MINOLETTI, JANE	LORD ABBETT AFFILIATED A	0.00	60.75	0.00	60.75	95.0	57.71
02/10/2016	BOGLE, DAVID	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.35	0.00	5.35	95.0	5.08

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/07/2016	BOGLE, DAVID	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.65	0.00	5.65	95.0	5.37
04/13/2016	BOGLE, DAVID	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.79	0.00	5.79	95.0	5.50
05/09/2016	BOGLE, DAVID	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.46	0.00	5.46	95.0	5.19
06/09/2016	BOGLE, DAVID	MULTI STRATEGY GROWTH & INCOME FUND	0.00	6.05	0.00	6.05	95.0	5.75
07/13/2016	BOGLE, DAVID	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.75	0.00	5.75	95.0	5.46
08/11/2016	BOGLE, DAVID	MULTI STRATEGY GROWTH & INCOME FUND	0.00	6.04	0.00	6.04	95.0	5.74
09/22/2016	BOGLE, DAVID	MULTI STRATEGY GROWTH & INCOME FUND	0.00	6.09	0.00	6.09	95.0	5.79
10/14/2016	BOGLE, DAVID	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.91	0.00	5.91	95.0	5.61
11/14/2016	BOGLE, DAVID	MULTI STRATEGY GROWTH & INCOME FUND	0.00	6.09	0.00	6.09	95.0	5.79
12/12/2016	BOGLE, DAVID	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.86	0.00	5.86	95.0	5.57
/ /	WAGNER, SHARON	RYDEXGUGGENHEIM INVERSE NASDAQ 100	0.00	0.25	0.00	0.25	95.0	0.24
02/09/2018	BUSSELL REV LV TRUST, SHARON	WELLS FARGO 100% TREASURY MMKT-A	0.00	7.40	0.00	7.40	95.0	7.03
02/09/2018	THOMAS, HENRY	WELLS FARGO INCOME PLUS A	0.00	3.31	0.00	3.31	95.0	3.14
02/09/2018	THOMAS, SYBIL	WELLS FARGO INCOME PLUS A	0.00	2.65	0.00	2.65	95.0	2.52
02/09/2018	TOTTEFF, JESSIE	WELLS FARGO INCOME PLUS A	0.00	3.43	0.00	3.43	95.0	3.26
02/09/2018	SPEAROT, DOUGLAS	WELLS FARGO INCOME PLUS A	0.00	0.92	0.00	0.92	95.0	0.87
02/09/2018	GUTMAN, KENNETH	WELLS FARGO INTL BOND A	0.00	2.21	0.00	2.21	95.0	2.10
02/09/2018	GUTMAN, MATHEW	WELLS FARGO INTL BOND A	0.00	2.21	0.00	2.21	95.0	2.10
02/09/2018	JAGGER, ALBERT	WELLS FARGO INTL BOND A	0.00	27.54	0.00	27.54	95.0	26.16
02/09/2018	BUSSELL REV LIV TRST, GEROGE	&WELLS FARGO MONEY MKT A	0.00	27.64	0.00	27.64	95.0	26.26
02/09/2018	STEBBINS, DAVID	WELLS FARGO OPP A	0.00	0.71	0.00	0.71	95.0	0.67
02/09/2018	BASILE, NINO	WELLS FARGO OPP A	0.00	1.05	0.00	1.05	95.0	1.00
02/09/2018	DUDLEY, CHERYL	WELLS FARGO OPP A	0.00	1.29	0.00	1.29	95.0	1.23
02/09/2018	BASILE, ANNA	WELLS FARGO OPP A	0.00	0.91	0.00	0.91	95.0	0.86
02/09/2018	CODDING, CRAIG	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	3.88	0.00	3.88	95.0	3.69
02/09/2018	KISH, VIVIAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.99	0.00	0.99	95.0	0.94
02/09/2018	ROEHRIG, RITA	WELLS FARGO STRATEGIC MUNI A	0.00	2.74	0.00	2.74	95.0	2.60
02/09/2018	JAGGER, ALBERT	WELLS FARGO STRATEGIC MUNI A	0.00	25.34	0.00	25.34	95.0	24.07
02/09/2018	PER, MARIANNE	WELLS FARGO STRATEGIC MUNI A	0.00	0.18	0.00	0.18	95.0	0.17
02/09/2018	TSEREGOUNIS, SPYROS	WELLS FARGO STRATEGIC MUNI A	0.00	5.30	0.00	5.30	95.0	5.04
02/09/2018	ALEXOPOULOS, PAUL	WELLS FARGO STRATEGIC MUNI A	0.00	5.81	0.00	5.81	95.0	5.52
02/09/2018	REBNER, WALDEMAR	WELLS FARGO STRATEGIC MUNI A	0.00	9.40	0.00	9.40	95.0	8.93
02/09/2018	SPEAROT, REBECCA	WELLS FARGO STRATEGIC MUNI A	0.00	1.68	0.00	1.68	95.0	1.60

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/09/2018	CLEMAK, CHARLES	WELLS FARGO STRATEGIC MUNI A	0.00	28.43	0.00	28.43	95.0	27.01
02/09/2018	MUGLIA, DOMINIC	WELLS FARGO STRATEGIC MUNI A	0.00	37.70	0.00	37.70	95.0	35.82
02/09/2018	MCLUCAS, ROBERT	WELLS FARGO STRATEGIC MUNI A	0.00	55.34	0.00	55.34	95.0	52.57
02/09/2018	KATEHI, PISTI	WELLS FARGO TOTAL RETURN BOND A	0.00	1.16	0.00	1.16	95.0	1.10
02/09/2018	TSEREGOUNIS, SPYROS	WELLS FARGO TOTAL RETURN BOND A	0.00	1.09	0.00	1.09	95.0	1.04
02/09/2018	SNYDER, SHIRLEY	WELLS FARGO TOTAL RETURN BOND A	0.00	0.59	0.00	0.59	95.0	0.56
02/09/2018	BAYS, MARVIN	WELLS FARGO UTILITY AND TELECOM A	0.00	11.30	0.00	11.30	95.0	10.74
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$453,021.70	\$1,425.69	\$0.00	\$1,425.69		\$1,354.46
*** DIRECT TRANSACTIONS TOTAL ***			\$679,679.20	\$2,192.56	\$0.00	\$2,192.56		\$2,083.03

SPLIT TRANSACTIONS**BROKER: MARK, 103 KOSANKE #3**

02/28/2018	STEFFES, MARTIN	JNL REWARD 10 YR TERM	32.61	0.16	0.00	0.16	95.0	0.15
02/09/2018	RIMKUS, MARILYN	WELLS FARGO ENTERPRISE A	0.00	4.86	0.00	4.86	95.0	4.62
02/09/2018	ALEXANDER, JEAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.91	0.00	0.91	95.0	0.86
02/09/2018	EMMER, ILENE	WELLS FARGO TOTAL RETURN BOND A	0.00	0.17	0.00	0.17	95.0	0.16
02/09/2018	DANIELS, KATHLEEN	WELLS FARGO TOTAL RETURN BOND A	0.00	3.02	0.00	3.02	95.0	2.87
02/09/2018	SMOLBOSKI, BARBARA	WELLS FARGO TOTAL RETURN BOND A	0.00	0.22	0.00	0.22	95.0	0.21
* MARK, 103 KOSANKE SUBTOTAL *			\$32.61	\$9.34	\$0.00	\$9.34		\$8.87
*** SPLIT TRANSACTIONS TOTAL ***			\$32.61	\$9.34	\$0.00	\$9.34		\$8.87

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BROKER COMMISSION TOTALS

Broker Transactions	\$2,083.03
Split Transactions	\$8.87
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,091.90
Year-to-date Gross	\$66,409.08
Year-to-date Earnings	\$68,404.58