



COMMISSION STATEMENT

April 09, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/27/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063412117	BUY 3 Units @32.8300						
03/27/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063451518	BUY 3 Units @32.8300						
03/26/2018	***-**-0006 - FORSYTH, KATHRYN	AMCAP FUND-C	57.69	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 04000650661	BUY 2 Units @29.1600						
03/28/2018	***-**-965P - MCGUIRE, SCOTT	AMERICAN BALANCED FUND-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 1 Units @26.5100						
03/28/2018	***-**-4263 - HARTER, IAN	AMERICAN BALANCED FUND-A	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089461113	BUY 0 Units @26.5100						
03/26/2018	***-**-435P - BOSCO, SOFIA	AMERICAN BALANCED FUND-C	187.00	1.87	0.00	1.87	80.0	1.50
	ACCOUNT #: 00073003754	BUY 7 Units @26.5800						
03/26/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @26.5800						
03/26/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 1 Units @26.5800						
03/26/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @26.5800						
03/26/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 1 Units @26.5800						
03/26/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	6.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00085904181	BUY 0 Units @26.5800						
03/26/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @26.5800						
03/26/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	6.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00085904181	BUY 0 Units @26.5800						
03/26/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	1.79	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @26.5800						
03/26/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	3.65	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @26.5800						
03/26/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	16.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00085904210	BUY 1 Units @26.5800						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/26/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	4.74	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00085904210	BUY 0 Units @26.5800						
03/26/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	16.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00085904210	BUY 1 Units @26.5800						
03/26/2018	***-**-398I - GUERRIERI, PAUL	AMERICAN BALANCED FUND-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00086040659	BUY 4 Units @26.5800						
03/26/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087435959	BUY 2 Units @26.5800						
03/26/2018	***-**-1134 - BARTON, WILLIAM	AMERICAN BALANCED FUND-C	87.96	0.88	0.00	0.88	80.0	0.70
	ACCOUNT #: 00089244081	BUY 3 Units @26.5800						
03/26/2018	***-**-281S - FLUGEL, AMANDA	AMERICAN BALANCED FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089561651	BUY 2 Units @26.5800						
03/26/2018	***-**-035S - CAPIZZI, TRACIE	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089679504	BUY 1 Units @26.5800						
03/27/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089045192	BUY 1 Units @26.3900						
03/27/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00089051066	BUY 0 Units @26.3900						
03/27/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00089051066	BUY 2 Units @26.3900						
03/27/2018	***-**-312S - DISCH, JASMINE	AMERICAN BALANCED FUND-C	19.38	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 00089583477	BUY 1 Units @26.3900						
03/29/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00089051066	BUY 0 Units @26.5500						
03/29/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00089051066	BUY 2 Units @26.5500						
03/29/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00089910177	BUY 5 Units @26.5500						
03/29/2018	***-**-8208 - KARNS, SHANNON	AMERICAN BALANCED FUND-C	10,000.00	100.00	0.00	100.00	80.0	80.00
	ACCOUNT #: 04000886858	BUY 377 Units @26.5500						
04/02/2018	***-**-58IR - HINDERLAND, GARY	AMERICAN BALANCED FUND-C	300.01	3.00	3.00	0.00	80.0	0.00
	ACCOUNT #: LSR011249	BUY 11 Units @26.2200						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/26/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	7.25	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 04000820464	BUY 0 Units @18.7100						
03/26/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	43.49	0.43	0.00	0.43	80.0	0.34
	ACCOUNT #: 04000820464	BUY 2 Units @18.7100						
03/26/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	7.91	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04000820464	BUY 0 Units @18.7100						
03/26/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	47.46	0.47	0.00	0.47	80.0	0.38
	ACCOUNT #: 04000820464	BUY 3 Units @18.7100						
03/26/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN GLOBAL BALANCED CL C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00088037501	BUY 3 Units @32.3500						
03/26/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN HIGH INCOME MUNI BOND CLAS	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087435959	BUY 3 Units @15.7000						
03/26/2018	***-**-975P - COTTONE, MARIA	AMERICAN HIGH-INCOME TRUST-A	1,000.00	30.00	0.00	30.00	80.0	24.00
	ACCOUNT #: 00086785798	BUY 94 Units @10.5900						
03/26/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	4.55	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00087236607	BUY 0 Units @10.1900						
03/26/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	105.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 00087236607	BUY 10 Units @10.1900						
03/26/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	4.55	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00087236607	BUY 0 Units @10.1900						
03/26/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	105.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 00087236607	BUY 10 Units @10.1900						
03/26/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN HIGH-INCOME TRUST-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087435959	BUY 5 Units @10.1900						
04/04/2018	***-**-05IO - KIMBLE, LONNY	AMERICAN HIGH-INCOME TRUST-C	463.41	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR011472	SELL 46 Units @10.1800						
03/28/2018	***-**-275P - LAMANNA, DANIEL	AMERICAN MUTUAL FUND-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758347	BUY 1 Units @39.3100						
03/26/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00085904181	BUY 0 Units @39.1500						
03/26/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	1.66	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @39.1500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/26/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00085904181	BUY 0 Units @39.1500						
03/26/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	1.49	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904181	BUY 0 Units @39.1500						
03/26/2018	***-**-482I - MARCONI, TODD	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @39.1500						
03/19/2018	***-**-7330 - JOHNSON, DONALD	CAPITAL APPRECIATION C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 03220387068	BUY 0 Units @0.0000						
03/19/2018	***-**-6603 - JOHNSON, TERRY	CAPITAL APPRECIATION C	68.00	0.68	0.00	0.68	80.0	0.54
	ACCOUNT #: 03222192157	BUY 0 Units @0.0000						
03/19/2018	***-**-1834 - BUTLER, KIM	CAPITAL APPRECIATION C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 03222200176	BUY 0 Units @0.0000						
03/20/2018	***-**-729I - GIAMBRONE, KELLY	CAPITAL APPRECIATION C	61.61	0.62	0.00	0.62	80.0	0.50
	ACCOUNT #: 03222325444	BUY 0 Units @0.0000						
03/26/2018	***-**-5838 - SPOLETA, BRENDA	CAPITAL INCOME BUILDER CLASS C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00088037501	BUY 2 Units @60.3400						
03/27/2018	***-**-425P - DELGAIZO, LOUISA	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 1 Units @20.6300						
03/27/2018	***-**-535P - DELGAIZO,	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063451518	BUY 1 Units @20.6300						
03/26/2018	***-**-398I - GUERRIERI, PAUL	CAPITAL WORLD BOND FUND-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00086040659	BUY 5 Units @19.9600						
03/26/2018	***-**-425P - MEEKS, PERDITA	CAPITAL WORLD GROWTH AND INCOME FUN	12.50	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 00074210167	BUY 0 Units @54.4800						
03/26/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	3.60	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00087038420	BUY 0 Units @50.8100						
03/26/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	96.40	0.96	0.00	0.96	80.0	0.77
	ACCOUNT #: 00087038420	BUY 2 Units @50.8100						
03/26/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	96.40	0.96	0.00	0.96	80.0	0.77
	ACCOUNT #: 00087038420	BUY 2 Units @50.8100						
03/26/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	3.60	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00087038420	BUY 0 Units @50.8100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/27/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @50.0900	15.00	0.15	0.00	0.15	80.0	0.12
03/29/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @50.5500	40.00	0.40	0.00	0.40	80.0	0.32
03/19/2018	***-**-85IN - MAZZOLA, BARBARA ACCOUNT #: 00100051019	EMERGING MARKETS INNOVATORS FUND C BUY 0 Units @0.0000	7.50	0.08	0.00	0.08	80.0	0.06
03/20/2018	***-**-6188 - ROBERTS, ACCOUNT #: 08370627385	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	80.0	0.40
03/20/2018	***-**-729I - GIAMBRONE, KELLY ACCOUNT #: 08370596090	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	46.21	0.46	0.00	0.46	80.0	0.37
03/27/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	EUROPACIFIC GROWTH FUND-A BUY 1 Units @57.0600	66.75	0.80	0.00	0.80	80.0	0.64
03/27/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	EUROPACIFIC GROWTH FUND-A BUY 1 Units @57.0600	66.75	0.80	0.00	0.80	80.0	0.64
03/28/2018	***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346	EUROPACIFIC GROWTH FUND-A BUY 0 Units @56.1000	19.80	0.20	0.00	0.20	80.0	0.16
03/27/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	FUNDAMENTAL INVESTORS-A BUY 2 Units @62.1100	133.50	1.60	0.00	1.60	80.0	1.28
03/27/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	FUNDAMENTAL INVESTORS-A BUY 2 Units @62.1100	133.50	1.60	0.00	1.60	80.0	1.28
03/28/2018	***-**-495P - HARTER, EDWARD ACCOUNT #: 00066759710	FUNDAMENTAL INVESTORS-A BUY 0 Units @60.9000	25.00	0.25	0.00	0.25	80.0	0.20
03/26/2018	***-**-615P - LE, TU ACCOUNT #: 00075107985	FUNDAMENTAL INVESTORS-C BUY 1 Units @61.8400	50.00	0.50	0.00	0.50	80.0	0.40
03/26/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	FUNDAMENTAL INVESTORS-C BUY 0 Units @61.8400	4.89	0.05	0.00	0.05	80.0	0.04
03/26/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	FUNDAMENTAL INVESTORS-C BUY 0 Units @61.8400	9.00	0.09	0.00	0.09	80.0	0.07
03/26/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	FUNDAMENTAL INVESTORS-C BUY 0 Units @61.8400	2.40	0.02	0.00	0.02	80.0	0.02
03/26/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	FUNDAMENTAL INVESTORS-C BUY 0 Units @61.8400	9.00	0.09	0.00	0.09	80.0	0.07



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/26/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	2.25	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @61.8400						
03/26/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00085904203	BUY 0 Units @61.8400						
03/26/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00085904203	BUY 0 Units @61.8400						
03/26/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	2.29	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @61.8400						
03/26/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @61.8400						
03/27/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @60.8700						
03/27/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @60.8700						
03/29/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @61.4100						
03/29/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @61.4100						
04/02/2018	***-**-92IR - CHEN, CYNTHIA	GLOBAL OPPORTUNITIES A	300.04	14.25	3.00	11.25	80.0	9.00
	ACCOUNT #: LSR011412	BUY 4 Units @77.7900						
03/19/2018	***-**-7330 - JOHNSON, DONALD	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02171798599	BUY 0 Units @0.0000						
03/19/2018	***-**-85IN - MAZZOLA, BARBARA	GLOBAL OPPORTUNITIES FUND C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 02171893331	BUY 0 Units @0.0000						
03/20/2018	***-**-2620 - CUSIMANO, MEGAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02171800557	BUY 0 Units @0.0000						
03/20/2018	***-**-9530 - MILAM, IAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02171852708	BUY 0 Units @0.0000						
03/20/2018	***-**-90FB - DIODATO, CAROL	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02171777391	BUY 0 Units @0.0000						
03/20/2018	***-**-729I - GIAMBRONE, KELLY	GLOBAL OPPORTUNITIES FUND C	77.02	0.77	0.00	0.77	80.0	0.62
	ACCOUNT #: 02171875445	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	INCOME FUND OF AMERICA CLASS C BUY 0 Units @22.3900	1.95	0.02	0.00	0.02	80.0	0.02
03/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	INCOME FUND OF AMERICA CLASS C BUY 2 Units @22.3900	45.00	0.45	0.00	0.45	80.0	0.36
03/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	INCOME FUND OF AMERICA CLASS C BUY 0 Units @22.3900	1.95	0.02	0.00	0.02	80.0	0.02
03/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	INCOME FUND OF AMERICA CLASS C BUY 2 Units @22.3900	45.00	0.45	0.00	0.45	80.0	0.36
04/04/2018	***-**-05IO - KIMBLE, LONNY ACCOUNT #: LSR011472	INCOME FUND OF AMERICA CLASS C SELL 21 Units @22.4600	463.42	0.00	0.00	0.00	80.0	0.00
03/26/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @39.4900	3.74	0.04	0.00	0.04	80.0	0.03
03/26/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	INVESTMENT COMPANY OF AMERICA CLASS BUY 1 Units @39.4900	28.90	0.29	0.00	0.29	80.0	0.23
03/26/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @39.4900	3.74	0.04	0.00	0.04	80.0	0.03
03/26/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	INVESTMENT COMPANY OF AMERICA CLASS BUY 1 Units @39.4900	28.90	0.29	0.00	0.29	80.0	0.23
03/26/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @39.4900	0.91	0.01	0.00	0.01	80.0	0.01
03/26/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @39.4900	4.00	0.04	0.00	0.04	80.0	0.03
03/26/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @39.4900	1.18	0.01	0.00	0.01	80.0	0.01
03/26/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @39.4900	4.00	0.04	0.00	0.04	80.0	0.03
03/26/2018	***-**-564I - CARNEY, BARBARA ACCOUNT #: 00089563161	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @39.4900	10.00	0.10	0.00	0.10	80.0	0.08
03/27/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @38.9400	18.82	0.19	0.00	0.19	80.0	0.15
03/19/2018	***-**-6603 - JOHNSON, TERRY ACCOUNT #: 07024184114	MAIN STREET FUND C BUY 0 Units @0.0000	66.00	0.66	0.00	0.66	80.0	0.53



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/19/2018	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
03/20/2018	***-**-4980 - MOYER, COLLEEN	MAIN STREET FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 07024431823	BUY 0 Units @0.0000						
03/20/2018	***-**-2620 - CUSIMANO, MEGAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024397338	BUY 0 Units @0.0000						
03/20/2018	***-**-9530 - MILAM, IAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024469113	BUY 0 Units @0.0000						
03/20/2018	***-**-729I - GIAMBRONE, KELLY	MAIN STREET FUND C	77.02	0.77	0.00	0.77	80.0	0.62
	ACCOUNT #: 07024326366	BUY 0 Units @0.0000						
03/20/2018	***-**-90FB - DIODATO, CAROL	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024358024	BUY 0 Units @0.0000						
03/27/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 1 Units @44.4500						
03/27/2018	***-**-535P - DELGAIZO,	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063451518	BUY 1 Units @44.4500						
03/28/2018	***-**-445P - BURNS, DANIEL	NEW PERSPECTIVE FUND-A	19.80	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00066758346	BUY 0 Units @43.4800						
03/26/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	5.70	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00085902226	BUY 0 Units @42.7700						
03/26/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @42.7700						
03/26/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @42.7700						
03/26/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @42.7700						
03/26/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @42.7700						
03/26/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @42.7700						
03/26/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @42.7700						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/26/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @42.7700						
03/26/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	2.62	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @42.7700						
03/26/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @42.7700						
03/26/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	2.66	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @42.7700						
03/26/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @42.7700						
03/27/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @41.9900						
03/27/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @41.9900						
03/29/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @42.2700						
03/29/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @42.2700						
03/26/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	5.71	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00085902226	BUY 0 Units @65.7500						
03/26/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @65.7500						
03/26/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @65.7500						
03/26/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @65.7500						
03/26/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	2.63	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @65.7500						
03/26/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @65.7500						
03/26/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @65.7500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/26/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	2.67	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @65.7500						
03/26/2018	***-**-398I - GUERRIERI, PAUL	NEW WORLD FUND-C	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00086040659	BUY 2 Units @65.7500						
03/26/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @65.7500						
03/26/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @65.7500						
03/26/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @65.7500						
03/26/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @65.7500						
03/19/2018	***-**-3407 - SUTTELL, PAULA	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 05470454740	BUY 0 Units @0.0000						
03/19/2018	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						
03/19/2018	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	66.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
03/19/2018	***-**-85IN - MAZZOLA, BARBARA	OPPENHEIMER INTERNATIONAL DIVERSIFI	7.50	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 01971104114	BUY 0 Units @0.0000						
03/20/2018	***-**-729I - GIAMBRONE, KELLY	OPPENHEIMER MAIN STREET SMALL CAP F	46.20	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 08491596925	BUY 0 Units @0.0000						
03/19/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02271182830	BUY 0 Units @0.0000						
03/20/2018	***-**-4980 - MOYER, COLLEEN	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02271217095	BUY 0 Units @0.0000						
03/20/2018	***-**-1314 - BENEDETTO,	OPPENHEIMER RISING DIVIDENDS FUND C	125.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 02270934318	BUY 0 Units @0.0000						
03/20/2018	***-**-541P - SCHILLACI, AMY	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02271188506	BUY 0 Units @0.0000						
03/20/2018	***-**-655P - DAVIS, BERTHA	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02271237808	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/20/2018	***-**-1314 - BENEDETTO, ACCOUNT #: 02592292741	QUEST BALANCED FUND C BUY 0 Units @0.0000	250.00	2.50	0.00	2.50	80.0	2.00
03/21/2018	***-**-8706 - BOSCO, REN ACCOUNT #: 02590094105	QUEST BALANCED FUND C BUY 0 Units @0.0000	500.00	5.00	0.00	5.00	80.0	4.00
03/19/2018	***-**-7330 - JOHNSON, DONALD ACCOUNT #: 05920398266	REAL ESTATE FUND C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	80.0	0.40
03/20/2018	***-**-2620 - CUSIMANO, MEGAN ACCOUNT #: 05920400103	REAL ESTATE FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	80.0	0.20
03/20/2018	***-**-9530 - MILAM, IAN ACCOUNT #: 05920419496	REAL ESTATE FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	80.0	0.20
04/02/2018	***-**-92IR - CHEN, CYNTHIA ACCOUNT #: LSR011412	SELECT VALUE FUND A BUY 12 Units @24.3900	300.00	14.25	3.00	11.25	80.0	9.00
03/19/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 02531162106	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	20.00	0.20	0.00	0.20	80.0	0.16
03/20/2018	***-**-4980 - MOYER, COLLEEN ACCOUNT #: 02531288969	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	80.0	0.20
03/28/2018	***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346	SMALLCAP WORLD FUND-A BUY 1 Units @55.9100	28.60	0.29	0.00	0.29	80.0	0.23
03/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 0 Units @50.0000	1.80	0.02	0.00	0.02	80.0	0.02
03/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 1 Units @50.0000	48.20	0.48	0.00	0.48	80.0	0.38
03/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 1 Units @50.0000	48.20	0.48	0.00	0.48	80.0	0.38
03/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 0 Units @50.0000	1.80	0.02	0.00	0.02	80.0	0.02
03/27/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.3700	2.00	0.02	0.00	0.02	80.0	0.02
03/27/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.3700	20.40	0.20	0.00	0.20	80.0	0.16
03/29/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.6400	2.00	0.02	0.00	0.02	80.0	0.02



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/29/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.6400	20.40	0.20	0.00	0.20	80.0	0.16
03/19/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 01920863291	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	10.00	0.10	0.00	0.10	80.0	0.08
03/20/2018	***-**-1314 - BENEDETTO, ACCOUNT #: 02322751089	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	125.00	1.25	0.00	1.25	80.0	1.00
03/27/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE BOND FUND OF AMERICA-A BUY 3 Units @12.8100	44.50	0.53	0.00	0.53	80.0	0.42
03/27/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE BOND FUND OF AMERICA-A BUY 3 Units @12.8100	44.50	0.53	0.00	0.53	80.0	0.42
03/28/2018	***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346	THE BOND FUND OF AMERICA-A BUY 2 Units @12.6100	19.80	0.20	0.00	0.20	80.0	0.16
03/26/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5700	3.63	0.04	0.00	0.04	80.0	0.03
03/26/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 2 Units @12.5700	28.05	0.28	0.00	0.28	80.0	0.22
03/26/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5700	3.63	0.04	0.00	0.04	80.0	0.03
03/26/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 2 Units @12.5700	28.05	0.28	0.00	0.28	80.0	0.22
03/26/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 1 Units @12.5700	9.00	0.09	0.00	0.09	80.0	0.07
03/26/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5700	3.00	0.03	0.00	0.03	80.0	0.02
03/26/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 1 Units @12.5700	9.00	0.09	0.00	0.09	80.0	0.07
03/26/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5700	2.68	0.03	0.00	0.03	80.0	0.02
03/26/2018	***-**-5838 - SPOLETA, BRENDA ACCOUNT #: 00088037501	THE BOND FUND OF AMERICA-C BUY 8 Units @12.5700	100.00	1.00	0.00	1.00	80.0	0.80
03/26/2018	***-**-425P - MEEKS, PERDITA ACCOUNT #: 00074210167	THE GROWTH FUND OF AMERICA-A BUY 0 Units @55.0100	12.50	0.63	0.00	0.63	80.0	0.50



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/27/2018	***-**-425P - DELGAIZO, LOUISA	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @51.5100						
03/27/2018	***-**-535P - DELGAIZO,	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063451518	BUY 1 Units @51.5100						
03/28/2018	***-**-195P - OZMINKOWSKI,	THE GROWTH FUND OF AMERICA-A	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00066758342	BUY 5 Units @50.2200						
03/28/2018	***-**-405P - FRISA, ALAN	THE GROWTH FUND OF AMERICA-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758344	BUY 1 Units @50.2200						
03/28/2018	***-**-445P - BURNS, DANIEL	THE GROWTH FUND OF AMERICA-A	72.60	0.73	0.00	0.73	80.0	0.58
	ACCOUNT #: 00066758346	BUY 1 Units @50.2200						
03/28/2018	***-**-275P - LAMANNA, DANIEL	THE GROWTH FUND OF AMERICA-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758347	BUY 1 Units @50.2200						
03/28/2018	***-**-945P - VEGA, EDWARD	THE GROWTH FUND OF AMERICA-A	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00066758348	BUY 1 Units @50.2200						
03/28/2018	***-**-095P - RIVERA, MIGUEL	THE GROWTH FUND OF AMERICA-A	130.00	1.30	0.00	1.30	80.0	1.04
	ACCOUNT #: 00074922243	BUY 3 Units @50.2200						
03/28/2018	***-**-4750 - OSCHMANN, MARK	THE GROWTH FUND OF AMERICA-A	75.75	0.76	0.00	0.76	80.0	0.61
	ACCOUNT #: 00083414110	BUY 2 Units @50.2200						
03/28/2018	***-**-9447 - ZAJONCZKOSKI,	THE GROWTH FUND OF AMERICA-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00087318332	BUY 0 Units @50.2200						
03/28/2018	***-**-4263 - HARTER, IAN	THE GROWTH FUND OF AMERICA-A	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089461113	BUY 0 Units @50.2200						
03/28/2018	***-**-995P - ARBORE, CARL	THE GROWTH FUND OF AMERICA-A	50.00	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 00081166789	BUY 1 Units @52.0400						
03/26/2018	***-**-435P - BOSCO, SOFIA	THE GROWTH FUND OF AMERICA-C	176.00	1.76	0.00	1.76	80.0	1.41
	ACCOUNT #: 00073003754	BUY 4 Units @48.0000						
03/26/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @48.0000						
03/26/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @48.0000						
03/26/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @48.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/26/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @48.0000						
03/26/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @48.0000						
03/26/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @48.0000						
03/26/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @48.0000						
03/26/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @48.0000						
03/26/2018	***-**-482I - MARCONI, TODD	THE GROWTH FUND OF AMERICA-C	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089570936	BUY 0 Units @48.0000						
03/26/2018	***-**-7186 - VELLA, DOMENICO	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000248474	BUY 1 Units @48.0000						
03/26/2018	***-**-2678 - VELLA, VINCENZO	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000248491	BUY 1 Units @48.0000						
03/26/2018	***-**-0006 - FORSYTH, KATHRYN	THE GROWTH FUND OF AMERICA-C	86.54	0.87	0.00	0.87	80.0	0.70
	ACCOUNT #: 04000650661	BUY 2 Units @48.0000						
03/27/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @46.9700						
03/27/2018	***-**-312S - DISCH, JASMINE	THE GROWTH FUND OF AMERICA-C	18.81	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 00089583477	BUY 0 Units @46.9700						
03/28/2018	***-**-5945 - INFANTINO,	THE GROWTH FUND OF AMERICA-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 04000722726	BUY 9 Units @46.4900						
03/28/2018	***-**-195P - OZMINKOWSKI,	THE INVESTMENT COMPANY OF AMERICA-A	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00066758342	BUY 6 Units @39.2800						
03/28/2018	***-**-445P - BURNS, DANIEL	THE INVESTMENT COMPANY OF AMERICA-A	28.60	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00066758346	BUY 1 Units @39.2800						
03/28/2018	***-**-945P - VEGA, EDWARD	THE INVESTMENT COMPANY OF AMERICA-A	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00066758348	BUY 1 Units @39.2800						
03/28/2018	***-**-965P - MCGUIRE, SCOTT	THE INVESTMENT COMPANY OF AMERICA-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 1 Units @39.2800						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/29/2018	***-**-278J - GUERRIERI, JOHN	THE TAX-EXEMPT BOND FUND OF AMERICA	2,000.00	20.00	0.00	20.00	80.0	16.00
	ACCOUNT #: 00084395514	BUY 156 Units @12.8100						
03/29/2018	***-**-51IN - GUERRIERI, KIM	THE TAX-EXEMPT BOND FUND OF AMERICA	1,000.00	10.00	0.00	10.00	80.0	8.00
	ACCOUNT #: 00085399151	BUY 78 Units @12.8100						
03/29/2018	***-**-75UG - GUERRIERI, SOFIA	THE TAX-EXEMPT BOND FUND OF AMERICA	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00085399166	BUY 20 Units @12.8100						
03/28/2018	***-**-415P - MALOVICS, LASZLO	WASHINGTON MUTUAL INVESTORS FUND-A	500.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00066758345	BUY 11 Units @44.2800						
03/28/2018	***-**-445P - BURNS, DANIEL	WASHINGTON MUTUAL INVESTORS FUND-A	30.80	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00066758346	BUY 1 Units @44.2800						
03/26/2018	***-**-435P - BOSCO, SOFIA	WASHINGTON MUTUAL INVESTORS FUND-C	187.00	1.87	0.00	1.87	80.0	1.50
	ACCOUNT #: 00073003754	BUY 4 Units @44.3800						
03/26/2018	***-**-398I - GUERRIERI, PAUL	WASHINGTON MUTUAL INVESTORS FUND-C	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00086040659	BUY 3 Units @44.3800						
03/26/2018	***-**-482I - MARCONI, TODD	WASHINGTON MUTUAL INVESTORS FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @44.3800						
03/29/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @44.2500						
* MUTUAL FUNDS SUBTOTAL *			\$25,662.52	\$293.65	\$9.00	\$284.65		\$227.70
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/09/2018	***-**-503J - OBI, JEAN	NEW YORK 529 TRAILS	0.00	2.34	0.00	2.34	80.0	1.87
		BUY 0 Units @0.0000						
04/09/2018	***-**-3758 - CONNORS, DAVID	NEW YORK 529 TRAILS	0.00	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 77162702001	BUY 0 Units @0.0000						
04/09/2018	***-**-015P - FANTAUZZO,	NEW YORK 529 TRAILS	0.00	1.74	0.00	1.74	80.0	1.39
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						
04/09/2018	***-**-335P - JULIAN, GIOVANA	NEW YORK 529 TRAILS	0.00	5.71	0.00	5.71	80.0	4.57
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
04/09/2018	***-**-80IN - MOYER, COLLEEN	NEW YORK 529 TRAILS	0.00	13.59	0.00	13.59	80.0	10.87
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
04/09/2018	***-**-2942 - WARNEY, KENNETH	NEW YORK 529 TRAILS	0.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 77932712101	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						
04/09/2018	***-**-631R - DIAMOND, ANN	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	0.86	0.00	0.86	80.0	0.69
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$25.00	\$25.13	\$0.00	\$25.13		\$20.10
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
03/31/2018	***-**-56IN - MALIN, RUTH	533787818	0.00	50.34	0.00	50.34	80.0	40.27
	ACCOUNT #: 921063935	BUY 0 Units @0.0000						
03/31/2018	***-**-7336 - DELONG, SHIRLEY	533787818	0.00	95.57	0.00	95.57	80.0	76.46
	ACCOUNT #: 921065495	BUY 0 Units @0.0000						
03/31/2018	***-**-0846 - MORROW, DONNA	533787818	0.00	85.12	0.00	85.12	80.0	68.10
	ACCOUNT #: 921069429	BUY 0 Units @0.0000						
03/31/2018	***-**-97IN - WAGNER, PAUL	533787818	0.00	64.22	0.00	64.22	80.0	51.38
	ACCOUNT #: 921070651	BUY 0 Units @0.0000						
03/31/2018	***-**-68IN - TASCHETTO,	533787818	0.00	69.23	0.00	69.23	80.0	55.38
	ACCOUNT #: 921073961	BUY 0 Units @0.0000						
03/31/2018	***-**-68IN - TASCHETTO,	533787818	0.00	240.29	0.00	240.29	80.0	192.23
	ACCOUNT #: 921074365	BUY 0 Units @0.0000						
03/31/2018	***-**-3939 - ARBORE, MARIA	533787818	0.00	1,987.05	0.00	1,987.05	80.0	1,589.64
	ACCOUNT #: 921078066	BUY 0 Units @0.0000						
03/31/2018	***-**-44IN - GIULIANO, GEORGE	AS ADVISORS PLAN III	0.00	8.56	0.00	8.56	80.0	6.85
	ACCOUNT #: E0582476	BUY 0 Units @0.0000						
03/31/2018	***-**-44IN - GIULIANO, GEORGE	AS ADVISORS PLAN III	0.00	8.56	0.00	8.56	80.0	6.85
	ACCOUNT #: E0582476	BUY 0 Units @0.0000						
03/31/2018	***-**-04IN - KUBIAK, ANN	CHOICEPLUS ASSURANCE BONUS	0.00	43.52	0.00	43.52	80.0	34.82
	ACCOUNT #: 921065887	BUY 0 Units @0.0000						
03/31/2018	***-**-5242 - RUES TRUST,	CHOICEPLUS ASSURANCE BONUS	0.00	135.71	0.00	135.71	80.0	108.57
	ACCOUNT #: 921067171	BUY 0 Units @0.0000						
03/31/2018	***-**-306I - SINCLAIR,	CHOICEPLUS ASSURANCE BONUS	0.00	175.34	0.00	175.34	80.0	140.27
	ACCOUNT #: 921071862	BUY 0 Units @0.0000						
03/31/2018	***-**-22IN - WAGNER, LAUREL	CHOICEPLUS ASSURANCE BONUS	0.00	82.01	0.00	82.01	80.0	65.61
	ACCOUNT #: 921071872	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
03/31/2018	***-**-2163 - TRAPANI, JAMES	CHOICEPLUS ASSURANCE BONUS	0.00	65.82	0.00	65.82	80.0	52.66
	ACCOUNT #: 921075689	BUY 0 Units @0.0000						
03/31/2018	***-**-0242 - COZZO, JOSEPHINE	CHOICEPLUS ASSURANCE BONUS	0.00	727.09	0.00	727.09	80.0	581.67
	ACCOUNT #: 921077310	BUY 0 Units @0.0000						
03/31/2018	***-**-04IN - DIGIOVANNI,	CHOICEPLUS ASSURANCE BONUS	0.00	133.79	0.00	133.79	80.0	107.03
	ACCOUNT #: 921077311	BUY 0 Units @0.0000						
03/31/2018	***-**-58IO - WATT, JAMES	CHOICEPLUS ASSURANCE BONUS	0.00	119.38	0.00	119.38	80.0	95.50
	ACCOUNT #: 921078514	BUY 0 Units @0.0000						
03/31/2018	***-**-18IO - TAYLOR, GREGORY	CHOICEPLUS ASSURANCE BONUS	0.00	473.19	0.00	473.19	80.0	378.55
	ACCOUNT #: 921078308	BUY 0 Units @0.0000						
03/31/2018	***-**-7981 - D'ANGELO,	LINCOLN FIN VA TRAILS DTCC 53378542	0.00	46.53	0.00	46.53	80.0	37.22
	ACCOUNT #: 921039927	BUY 0 Units @0.0000						
03/31/2018	***-**-606A - REUS, MARTHA	LINCOLN FIN VA TRAILS DTCC 53378542	0.00	248.30	0.00	248.30	80.0	198.64
	ACCOUNT #: 921040463	BUY 0 Units @0.0000						
03/31/2018	***-**-42IN - SANFILIPPO,	LINCOLN FIN VA TRAILS DTCC 53378542	0.00	558.20	0.00	558.20	80.0	446.56
	ACCOUNT #: 921055159	BUY 0 Units @0.0000						
03/31/2018	***-**-88VA - PRZYLKUE, LAUREN	LINCOLN FIN VA TRAILS DTCC 53378542	0.00	16.60	0.00	16.60	80.0	13.28
	ACCOUNT #: 921056190	BUY 0 Units @0.0000						
03/31/2018	***-**-0504 - DIGIOVANNI,	LINCOLN FIN VA TRAILS DTCC 53378542	0.00	56.06	0.00	56.06	80.0	44.85
	ACCOUNT #: 921056263	BUY 0 Units @0.0000						
03/31/2018	***-**-966I - RAGO, RICHARD	LINCOLN FIN VA TRAILS DTCC 53378542	0.00	403.95	0.00	403.95	80.0	323.16
	ACCOUNT #: 921056587	BUY 0 Units @0.0000						
03/31/2018	***-**-4856 - MALIN, RUTH	LINCOLN FIN VA TRAILS DTCC 53378542	0.00	29.97	0.00	29.97	80.0	23.98
	ACCOUNT #: 921056600	BUY 0 Units @0.0000						
03/31/2018	***-**-4856 - MALIN, RUTH	LINCOLN FIN VA TRAILS DTCC 53378545	0.00	29.99	0.00	29.99	80.0	23.99
	ACCOUNT #: 921056599	BUY 0 Units @0.0000						
03/31/2018	***-**-05IO - KIMBLE, LONNY	PRUDENTIAL VA 74430T119	0.00	1,359.96	0.00	1,359.96	80.0	1,087.97
	ACCOUNT #: E1832087	BUY 0 Units @0.0000						
03/31/2018	***-**-20VA -	PRUDENTIAL VA TRAILS 74429P110	0.00	168.57	0.00	168.57	80.0	134.86
	ACCOUNT #: E1490657	BUY 0 Units @0.0000						
03/31/2018	***-**-54VA - FANTAUZZO, EVA	PRUDENTIAL VA TRAILS 74429P110	0.00	2.40	0.00	2.40	80.0	1.92
	ACCOUNT #: E1438479	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
03/31/2018	***-**-503J - OBI, JEAN	PRUDENTIAL VA TRAILS 74429P110	0.00	76.33	0.00	76.33	80.0	61.06
	ACCOUNT #: E1441415	BUY 0 Units @0.0000						
03/31/2018	***-**-928I - SPALLINA,	PRUDENTIAL VA TRAILS 74429P110	0.00	41.83	0.00	41.83	80.0	33.46
	ACCOUNT #: E1289944	BUY 0 Units @0.0000						
03/31/2018	***-**-9360 - SPALLINA, DIANE	PRUDENTIAL VA TRAILS 74429P110	0.00	38.24	0.00	38.24	80.0	30.59
	ACCOUNT #: E1289869	BUY 0 Units @0.0000						
03/31/2018	***-**-214I - RUSH, ROBERT	PRUDENTIAL VA TRAILS 74429P110	0.00	47.76	0.00	47.76	80.0	38.21
	ACCOUNT #: E1071856	BUY 0 Units @0.0000						
03/31/2018	***-**-7633 - FREDERICO, LEE	PRUDENTIAL VA TRAILS 74429P110	0.00	50.96	0.00	50.96	80.0	40.77
	ACCOUNT #: E1387199	BUY 0 Units @0.0000						
03/31/2018	***-**-339I - SANFILIPPO,	PRUDENTIAL VA TRAILS 74429P110	0.00	229.51	0.00	229.51	80.0	183.61
	ACCOUNT #: E1313282	BUY 0 Units @0.0000						
03/31/2018	***-**-7470 - SANFILIPPO, JOHN	PRUDENTIAL VA TRAILS 74429P110	0.00	177.97	0.00	177.97	80.0	142.38
	ACCOUNT #: E1313272	BUY 0 Units @0.0000						
03/31/2018	***-**-0242 - COZZO, JOSEPHINE	PRUDENTIAL VA TRAILS 74429P128	0.00	48.19	0.00	48.19	80.0	38.55
	ACCOUNT #: E1120418	BUY 0 Units @0.0000						
03/31/2018	***-**-054P - FANTAUZZO, EVA	PRUDENTIAL VA TRAILS 74430A227	0.00	7.05	0.00	7.05	80.0	5.64
	ACCOUNT #: 000619108	BUY 0 Units @0.0000						
03/31/2018	***-**-054P - FANTAUZZO, EVA	PRUDENTIAL VA TRAILS 74430A227	0.00	7.05	0.00	7.05	80.0	5.64
	ACCOUNT #: 000619108	BUY 0 Units @0.0000						
03/31/2018	***-**-1926 - VERCRUYSSSE,	PRUDENTIAL VA TRAILS 74430A227	0.00	22.41	0.00	22.41	80.0	17.93
	ACCOUNT #: 000618899	BUY 0 Units @0.0000						
03/31/2018	***-**-1926 - VERCRUYSSSE,	PRUDENTIAL VA TRAILS 74430A227	0.00	22.41	0.00	22.41	80.0	17.93
	ACCOUNT #: 000618899	BUY 0 Units @0.0000						
03/31/2018	***-**-598P - OLIVERI, ANNA	PRUDENTIAL VA TRAILS 74430A227	0.00	5.07	0.00	5.07	80.0	4.06
	ACCOUNT #: 000640985	BUY 0 Units @0.0000						
03/31/2018	***-**-598P - OLIVERI, ANNA	PRUDENTIAL VA TRAILS 74430A227	0.00	5.07	0.00	5.07	80.0	4.06
	ACCOUNT #: 000640985	BUY 0 Units @0.0000						
03/31/2018	***-**-5564 - BAUSCH, LEE	PRUDENTIAL VA TRAILS 74430A227	0.00	30.39	0.00	30.39	80.0	24.31
	ACCOUNT #: E0397149	BUY 0 Units @0.0000						
03/31/2018	***-**-5564 - BAUSCH, LEE	PRUDENTIAL VA TRAILS 74430A227	0.00	30.39	0.00	30.39	80.0	24.31
	ACCOUNT #: E0397149	BUY 0 Units @0.0000						



COMMISSION STATEMENT

April 09, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
03/31/2018	***-**-274I - ROBERTS, ADELLA	PRUDENTIAL VA TRAILS 74430A227	0.00	18.24	0.00	18.24	80.0	14.59
	ACCOUNT #: E0728348	BUY 0 Units @0.0000						
03/31/2018	***-**-307I - SCARPULLA, MARY	PRUDENTIAL VA TRAILS 74430A227	0.00	85.01	0.00	85.01	80.0	68.01
	ACCOUNT #: E0559154	BUY 0 Units @0.0000						
03/31/2018	***-**-307I - SCARPULLA, MARY	PRUDENTIAL VA TRAILS 74430A227	0.00	85.01	0.00	85.01	80.0	68.01
	ACCOUNT #: E0559154	BUY 0 Units @0.0000						
03/31/2018	***-**-0242 - COZZO, JOSEPHINE	PRUDENTIAL VA TRAILS 74430A235	0.00	243.10	0.00	243.10	80.0	194.48
	ACCOUNT #: E0939612	BUY 0 Units @0.0000						
03/31/2018	***-**-0242 - COZZO, JOSEPHINE	PRUDENTIAL VA TRAILS 74430A235	0.00	60.77	0.00	60.77	80.0	48.62
	ACCOUNT #: E0939612	BUY 0 Units @0.0000						
03/31/2018	***-**-0008 - COZZO, LUIGI	PRUDENTIAL VA TRAILS 74430A235	0.00	290.47	0.00	290.47	80.0	232.38
	ACCOUNT #: E0977978	BUY 0 Units @0.0000						
03/31/2018	***-**-0008 - COZZO, LUIGI	PRUDENTIAL VA TRAILS 74430A235	0.00	72.62	0.00	72.62	80.0	58.10
	ACCOUNT #: E0977978	BUY 0 Units @0.0000						
03/31/2018	***-**-7981 - D'ANGELO,	PRUDENTIAL VA TRAILS 74430A235	0.00	702.90	0.00	702.90	80.0	562.32
	ACCOUNT #: E0731699	BUY 0 Units @0.0000						
03/31/2018	***-**-7981 - D'ANGELO,	PRUDENTIAL VA TRAILS 74430A235	0.00	175.73	0.00	175.73	80.0	140.58
	ACCOUNT #: E0731699	BUY 0 Units @0.0000						
03/31/2018	***-**-291A - HERTZEL,	PRUDENTIAL VA TRAILS 74430A235	0.00	135.31	0.00	135.31	80.0	108.25
	ACCOUNT #: E0781856	BUY 0 Units @0.0000						
03/31/2018	***-**-291A - HERTZEL,	PRUDENTIAL VA TRAILS 74430A235	0.00	45.10	0.00	45.10	80.0	36.08
	ACCOUNT #: E0781856	BUY 0 Units @0.0000						
04/09/2018	***-**-1314 - BENEDETTO,	QUALIFIED PLAN SUMMARY	0.00	95.59	0.00	95.59	80.0	76.47
	ACCOUNT #: 921065489	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$10,335.80	\$0.00	\$10,335.80		\$8,268.67
*** BROKER TRANSACTIONS TOTAL ***			\$25,687.52	\$10,654.58	\$9.00	\$10,645.58		\$8,516.47



COMMISSION STATEMENT

April 09, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: WILLIAM ROBERTS</u>								
03/31/2018	***-**-904! - PRZYLUKE, ACCOUNT #: E1331165	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	98.79	0.00	98.79	80.0	79.03
03/31/2018	***-**-904R - PRZYLUKE, ACCOUNT #: E1434564	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	54.86	0.00	54.86	80.0	43.89
03/31/2018	***-**-729I - PRZYLUKE, PHILIP ACCOUNT #: E1094963	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	4.62	0.00	4.62	80.0	3.70
03/31/2018	***-**-904I - PRZYLUKE, ACCOUNT #: E1091145	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	37.26	0.00	37.26	80.0	29.81
03/31/2018	***-**-47IN - SIDARE, ANDREA ACCOUNT #: E1190180	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	44.22	0.00	44.22	80.0	35.38
* WILLIAM ROBERTS SUBTOTAL *			\$0.00	\$239.75	\$0.00	\$239.75		\$191.81
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$239.75	\$0.00	\$239.75		\$191.81

BROKER COMMISSION TOTALS

Broker Transactions	\$8,516.47
Split Transactions	\$191.81
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$53.37
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$8,761.65
Year-to-date Earnings	\$67,114.04