



COMMISSION STATEMENT

June 04, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/30/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	DEUTSCHE ENHANCED COMMODITY STRAT- SELL 91 Units @12.3400	1,118.90	19.99	18.00	1.99	0.0	0.00
05/30/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	DEUTSCHE GLOBAL REAL ESTATESECURITI SELL 177 Units @9.1900	1,623.51	19.99	18.00	1.99	0.0	0.00
05/25/2018	***-**-37IO - DAVIDSON, ACCOUNT #: LSR012268	EATON VANCE FLOATING RATE ADVANTAGE SELL 32 Units @10.9200	350.00	18.00	18.00	0.00	0.0	0.00
05/30/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	JANUS FLEXIBLE BOND CLASS I SELL 353 Units @10.0600	3,552.98	19.99	18.00	1.99	0.0	0.00
05/30/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	JOHN HANCOCK DISCIPL INED VALUE MID SELL 119 Units @23.4800	2,796.44	19.99	18.00	1.99	0.0	0.00
05/30/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	JPMORGAN HIGH YIELD BOND FUND CLASS SELL 289 Units @7.2000	2,077.75	19.99	18.00	1.99	0.0	0.00
05/29/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	LORD ABBETT ALPHA STRATEGY CL C BUY 338 Units @23.6900	7,999.99	19.99	6.00	13.99	0.0	0.00
05/25/2018	***-**-37IO - DAVIDSON, ACCOUNT #: LSR012268	LORD ABBETT SHORT DURATION INCOME C SELL 59 Units @4.2200	250.00	18.00	18.00	0.00	0.0	0.00
05/29/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	LORD ABBETT SHORT DURATION INCOME C SELL 1891 Units @4.2300	8,000.00	0.00	6.00	-6.00	100.0	-6.00
05/30/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	NUVEEN REAL ESTATE CLASS I SELL 52 Units @19.8600	1,026.70	19.99	18.00	1.99	0.0	0.00
05/30/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	PIMCO EMERGING MARKETS BOND INSTL-N SELL 152 Units @10.1300	1,538.16	19.99	18.00	1.99	0.0	0.00
05/29/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	THE GROWTH FUND OF AMERICA-C BUY 164 Units @48.8200	7,999.99	98.00	18.00	80.00	0.0	0.00
05/30/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	VIRRTUS VONTOBEL EMERG MKTS OPPS I- SELL 139 Units @11.4600	1,590.83	19.99	18.00	1.99	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$39,925.25	\$313.91	\$210.00	\$103.91		\$-6.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS								
05/25/2018	***-**-31IO - JOSEPH, ANN	EXXON MOBIL CORP UNSOLICITED ORDER	7,840.00	96.40	17.00	79.40	0.0	0.00
	ACCOUNT #: LSR012337	BUY 100 Units @78.4000						
05/29/2018	***-**-930I - SANGER, KEVIN	NORDIC AMERICAN TANKERS LIMITE COM	3,270.00	57.49	45.00	12.49	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1500 Units @2.1800						
05/23/2018	***-**-31IO - JOSEPH, ANN	REALTY INCOME CORP COMMON STOCK	5,300.00	71.00	17.00	54.00	0.0	0.00
	ACCOUNT #: LSR012337	BUY 100 Units @53.0000						
05/23/2018	***-**-513I - RHYMESTINE,	REALTY INCOME CORP COMMON STOCK	5,300.00	71.00	17.00	54.00	0.0	0.00
	ACCOUNT #: LSR012457	BUY 100 Units @53.0000						
* STOCKS SUBTOTAL *			\$21,710.00	\$295.89	\$96.00	\$199.89		\$0.00
PRODUCT CATEGORY: 529 PLAN								
05/31/2018	***-**-0767 - DOVI,	NYA JPM 529 AGGR PORTI C	25.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: 77579193101	BUY 0 Units @0.0000						
05/31/2018	***-**-9440 - MAYO, DEBORAH	NYA JPM 529 MOD GROWTH AGE A	50.00	2.38	0.00	2.38	0.0	0.00
	ACCOUNT #: 77002217601	BUY 0 Units @0.0000						
05/31/2018	***-**-473J - HUSTON,	NYA JPM 529 MOD GROWTH POR C	400.00	4.00	0.00	4.00	0.0	0.00
	ACCOUNT #: 77852732401	BUY 0 Units @0.0000						
05/21/2018	***-**-755P - SHEPPARD,	THE GROWTH FUND OF AMERICA-529C	50.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: 00084018452	BUY 1 Units @49.6900						
* 529 PLAN SUBTOTAL *			\$525.00	\$7.13	\$0.00	\$7.13		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/31/2018	***-**-12IN - GRUENEWALD,	FIDELITY CASH MM	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011615	BUY 1 Units @0.0000						
05/31/2018	***-**-287I - HINCKLEY,	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR010843	BUY 1 Units @0.0000						
05/31/2018	***-**-00IO - LEE, GARY	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
05/31/2018	***-**-1320 - EVANS, THOMAS	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011074	BUY 1 Units @0.0000						
05/31/2018	***-**-579I - RHYMESTINE,	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/31/2018	***-**-808J - GORMAN, RANDY	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
05/31/2018	***-**-326J - GRANDE, MR	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
05/31/2018	***-**-48IO - ANACKER, FBO MRS	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
05/31/2018	***-**-664J - PFAFF, GEORGE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
05/31/2018	***-**-64IO - PFAFF, GEORGE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
05/31/2018	***-**-633J -	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011732	BUY 1 Units @0.0000						
05/31/2018	***-**-31IO - JOSEPH, ANN	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR012337	BUY 1 Units @0.0000						
05/31/2018	***-**-52IN - ROBERTS, LILLIAN	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR012352	BUY 1 Units @0.0000						
05/31/2018	***-**-22IN - CLINTON, STEPHEN	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR012432	BUY 1 Units @0.0000						
05/31/2018	***-**-188I - BRADLEY, WAYNE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR012484	BUY 1 Units @0.0000						
05/31/2018	***-**-617I - ESCHE, BEVERLY	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR012614	BUY 1 Units @0.0000						
05/31/2018	***-**-851K - LALLIER, JAMES	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
05/31/2018	***-**-32IO - WITT, DEBORAH	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: LSR012285	BUY 1 Units @0.0000						
05/31/2018	***-**-44IO - MAYO, DEBORAH	FRANKLIN BIOTECH DISCOVERY FUND CL	0.00	7.23	0.00	7.23	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
05/31/2018	***-**-382I - SATTERLY, NEIL	FRANKLIN CONVERTIBLE SECURITIES CLA	0.00	9.09	0.00	9.09	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
05/31/2018	***-**-86IN - LEE, KEVIN	FRANKLIN GROWTH FUND CLASS A	0.00	2.06	0.00	2.06	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/31/2018	***-**-86IN - LEE, KEVIN	FRANKLIN INCOME FUND CLASS A	0.00	1.17	0.00	1.17	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
05/31/2018	***-**-61IN - EVANS, DOLORES	FRANKLIN INCOME FUND CLASS C	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						
05/31/2018	***-**-44IO - MAYO, DEBORAH	FRANKLIN INCOME FUND CLASS C	0.00	5.51	0.00	5.51	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
05/31/2018	***-**-09IO - BATES, FBO MRS	FRANKLIN INCOME FUND CLASS C	0.00	7.05	0.00	7.05	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
05/31/2018	***-**-11IO - LYNN G.	FRANKLIN INCOME FUND CLASS C	0.00	9.39	0.00	9.39	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
05/31/2018	***-**-376I - BERBEN, GAIL	FRANKLIN INCOME FUND CLASS C	0.00	8.61	0.00	8.61	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
05/31/2018	***-**-45IO - JOHNSON, GREGORY	FRANKLIN INCOME FUND CLASS C	0.00	7.09	0.00	7.09	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
05/31/2018	***-**-531J - WILLIAMSON,	FRANKLIN INCOME FUND CLASS C	0.00	1.67	0.00	1.67	0.0	0.00
	ACCOUNT #: LSR011716	BUY 1 Units @0.0000						
05/31/2018	***-**-00IN - FRANZ, JEAN	FRANKLIN INCOME FUND CLASS C	0.00	38.02	0.00	38.02	0.0	0.00
	ACCOUNT #: LSR011796	BUY 1 Units @0.0000						
05/31/2018	***-**-366O - GRUENEWALD,	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	0.16	0.00	0.16	0.0	0.00
	ACCOUNT #: LSR010987	BUY 1 Units @0.0000						
05/31/2018	***-**-065I - LAZIO, THOMAS	FRK GROWTH OPPORTUNITIES CL C	0.00	2.08	0.00	2.08	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
05/31/2018	***-**-45IO - JOHNSON, GREGORY	FRK GROWTH OPPORTUNITIES CL C	0.00	3.19	0.00	3.19	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
05/31/2018	***-**-065I - LAZIO, THOMAS	FRNKLN BALANCE SHEET INVTMNT FND -	0.00	7.88	0.00	7.88	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
05/31/2018	***-**-44IO - MAYO, DEBORAH	LORD ABBETT SHORT DURATION INCOME C	0.00	18.43	0.00	18.43	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
05/31/2018	***-**-579J - RHYMESTINE,	LORD ABBETT SHORT DURATION INCOME C	0.00	9.38	0.00	9.38	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
05/31/2018	***-**-09IO - BATES, FBO MRS	LORD ABBETT SHORT DURATION INCOME C	0.00	12.42	0.00	12.42	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						



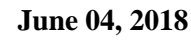
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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/31/2018	***-**-89IN - LEE, ROGER	LORD ABBETT SHORT DURATION INCOME C	0.00	23.57	0.00	23.57	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
05/31/2018	***-**-439J - MCCARTHY, JAMES	LORD ABBETT SHORT DURATION INCOME C	0.00	1.84	0.00	1.84	0.0	0.00
	ACCOUNT #: LSR011205	BUY 1 Units @0.0000						
05/31/2018	***-**-45IO - JOHNSON, GREGORY	LORD ABBETT SHORT DURATION INCOME C	0.00	12.37	0.00	12.37	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
05/31/2018	***-**-14IO - BUSHEY, MATTHEW	LORD ABBETT SHORT DURATION INCOME C	0.00	9.21	0.00	9.21	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
05/31/2018	***-**-531J - WILLIAMSON,	LORD ABBETT SHORT DURATION INCOME C	0.00	4.57	0.00	4.57	0.0	0.00
	ACCOUNT #: LSR011716	BUY 1 Units @0.0000						
05/31/2018	***-**-20IO - DEMETRI, AMELIA	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	1.50	0.00	1.50	0.0	0.00
	ACCOUNT #: LSR010995	BUY 1 Units @0.0000						
05/31/2018	***-**-86IN - LEE, KEVIN	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	3.84	0.00	3.84	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
05/31/2018	***-**-680I - HARRELL, DARYL	VOYA GLOBAL EQUITY DIVIDEND CLASS A	0.00	1.79	0.00	1.79	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
05/31/2018	***-**-702I - KOHEM, MICHAEL	VOYA GLOBAL REAL ESTATE CLASS A	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
05/31/2018	***-**-959I - FRANZ, PAUL	VOYA GLOBAL REAL ESTATE CLASS A	0.00	0.09	0.00	0.09	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
05/31/2018	***-**-30TR - AND SANDRA	VOYA GLOBAL REAL ESTATE CLASS A	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
05/31/2018	***-**-13IN - RHYMESTINE,	VOYA REAL ESTATE CLASS C	0.00	4.36	0.00	4.36	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$216.39	\$0.00	\$216.39		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$62,160.25	\$833.32	\$306.00	\$527.32		\$-6.00



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<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$-6.00
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$-269.94
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					Balance Forward			\$-275.94
					Year-to-date Earnings			\$0.00