

**COMMISSION STATEMENT****November 15, 2017**

101 CFGROUP/KOSANKE
1120 E. Long Lake Road
Suite 250
Troy, MI 48085

BROKER #: 101
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/20/2017	BRADEN, TERRI	ALLIANCEBERNSTEIN GROWTH B	50.00	2.00	0.00	2.00	95.0	1.90
10/25/2017	SILVERSTEIN, MICHAEL	AM FUNDS AMCAP A	50.00	2.13	0.00	2.13	95.0	2.02
10/27/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	95.0	2.85
10/26/2017	CHALKEY, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	53.77	2.02	0.00	2.02	95.0	1.92
10/26/2017	CHALKEY, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	64.51	2.42	0.00	2.42	95.0	2.30
10/26/2017	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	28.00	1.40	0.00	1.40	95.0	1.33
10/26/2017	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	28.00	1.40	0.00	1.40	95.0	1.33
10/23/2017	SILVERSTEIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
11/01/2017	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	95.0	3.03
11/02/2017	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	400.00	8.00	0.00	8.00	95.0	7.60
11/02/2017	ALVEY, SHERRIE	AM FUNDS CAPITAL WORLD GRTH & INC A	125.00	2.50	0.00	2.50	95.0	2.38
11/02/2017	GINGELL, PAUL	AM FUNDS EUROPACIFIC GROWTH FUND A	395.00	7.90	0.00	7.90	95.0	7.51
11/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
11/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
11/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
11/01/2017	BAILEY, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	95.0	2.38
11/02/2017	ALVEY, SHERRIE	AM FUNDS GROWTH FUND OF AMERICA A	125.00	2.50	0.00	2.50	95.0	2.38
11/03/2017	KEMP, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	95.0	2.02
10/23/2017	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
10/31/2017	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
11/01/2017	BAILEY, STEVEN	AM FUNDS ICA A	50.00	2.50	0.00	2.50	95.0	2.38
11/02/2017	ALVEY, SHERRIE	AM FUNDS ICA A	125.00	2.50	0.00	2.50	95.0	2.38
11/02/2017	MCLAUGHLIN, TRACY	AM FUNDS ICA A	150.00	7.50	0.00	7.50	95.0	7.13
11/02/2017	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	400.00	8.00	0.00	8.00	95.0	7.60
10/26/2017	CHALKEY, MICHAEL	AM FUNDS NEW WORLD A	161.30	6.05	0.00	6.05	95.0	5.75
10/26/2017	CHALKEY, MICHAEL	AM FUNDS NEW WORLD A	64.52	2.42	0.00	2.42	95.0	2.30

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/26/2017	BUTT, DENNIS	AM FUNDS NEW WORLD A	84.00	4.20	0.00	4.20	95.0	3.99
10/26/2017	BUTT, DENNIS	AM FUNDS NEW WORLD A	84.00	4.20	0.00	4.20	95.0	3.99
11/02/2017	ALVEY, SHERRIE	AM FUNDS SMALLCAP WORLD A	125.00	2.50	0.00	2.50	95.0	2.38
10/23/2017	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
10/25/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
10/30/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
10/30/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
10/30/2017	MOELLER, RICHARD	BLK MOD 13-16 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
10/30/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
10/30/2017	CFGROUP, VARIOUS	BLK MOD 6-9 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
11/03/2017	MERRITT, KATHY	COLUMBIA ACORN A	0.00	9.88	0.00	9.88	95.0	9.39
11/03/2017	EQUITY TRUST CO	COLUMBIA ACORN A	0.00	6.04	0.00	6.04	95.0	5.74
11/03/2017	SMARK, CHARLES	COLUMBIA ACORN A	0.00	7.05	0.00	7.05	95.0	6.70
11/03/2017	MOORE, DONYELLE	COLUMBIA EQUITY VALUE A	0.00	1.01	0.00	1.01	95.0	0.96
11/03/2017	MUNTEANU, RADU	COLUMBIA GREATER CHINA A	0.00	8.70	0.00	8.70	95.0	8.27
11/03/2017	KLEMPNER TRUST, VLADIMIR	COLUMBIA INCOME BUILDER FUND A	0.00	9.47	0.00	9.47	95.0	9.00
11/03/2017	SMYTH, DAVID	COLUMBIA LARGE CAP GROWTH A	0.00	3.47	0.00	3.47	95.0	3.30
11/03/2017	MOORE, DONYELLE	COLUMBIA MIDCAP VALUE A	0.00	9.11	0.00	9.11	95.0	8.65
11/03/2017	KULA, THOMAS	COLUMBIA SELIGMAN COMM AND INFO A	0.00	3.07	0.00	3.07	95.0	2.92
11/03/2017	HOSKIN, JOHN	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.20	0.00	6.20	95.0	5.89
11/03/2017	SWIDER, ROB	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.40	0.00	6.40	95.0	6.08
11/03/2017	VANMEER, MICHAEL	COLUMBIA SELIGMAN COMM AND INFO A	0.00	3.81	0.00	3.81	95.0	3.62
11/03/2017	SCULLY, DOROTHY	COLUMBIA SELIGMAN COMM AND INFO C	0.00	48.24	0.00	48.24	95.0	45.83
11/03/2017	FALKIEWICZ, DAVID	COLUMBIA SMALL CAP VALUE I A	0.00	6.36	0.00	6.36	95.0	6.04
11/03/2017	MOORE, DONYELLE	COLUMBIA SMALL CAP VALUE I A	0.00	5.28	0.00	5.28	95.0	5.02
11/03/2017	KUCHAREK, EMMA	COLUMBIA STRATEGIC INVESTOR A	0.00	0.20	0.00	0.20	95.0	0.19
11/03/2017	KUCHAREK, PETER	COLUMBIA STRATEGIC INVESTOR A	0.00	0.20	0.00	0.20	95.0	0.19
11/03/2017	KUCHAREK, GILLIAN	COLUMBIA STRATEGIC INVESTOR A	0.00	0.20	0.00	0.20	95.0	0.19
11/03/2017	KUCHAREK, SARAH	COLUMBIA STRATEGIC INVESTOR A	0.00	0.20	0.00	0.20	95.0	0.19
11/03/2017	KINGSLEY, BRIAN GENE	COLUMBIA STRATEGIC INVESTOR A	0.00	2.84	0.00	2.84	95.0	2.70
11/03/2017	KUCHAREK, MIRIAM	COLUMBIA STRATEGIC INVESTOR A	0.00	0.21	0.00	0.21	95.0	0.20
10/16/2017	CUDNIK, LEONARD	DAVIS NEW YORK VENTURE A	200.00	8.00	0.00	8.00	95.0	7.60
10/16/2017	ROBINETTE, JANET	DAVIS NEW YORK VENTURE A	50.00	2.00	0.00	2.00	95.0	1.90

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/16/2017	MORICI, MICHELLE	DAVIS NEW YORK VENTURE B	50.00	2.00	0.00	2.00	95.0	1.90
10/16/2017	GARLAND, DAWN	DAVIS NEW YORK VENTURE B	30.00	1.20	0.00	1.20	95.0	1.14
10/30/2017	PISTOLESI, VAUGHN	DAVIS NEW YORK VENTURE B	200.00	8.00	0.00	8.00	95.0	7.60
10/30/2017	RITTER, WILLIAM	DAVIS NEW YORK VENTURE B	25.00	1.00	0.00	1.00	95.0	0.95
10/30/2017	PISTOLESI, MORGAN	DAVIS NEW YORK VENTURE B	100.00	4.00	0.00	4.00	95.0	3.80
10/27/2017	BAKEWELL, RALPH	DWS DEUTSCHE HIGH INCOME FUND A	200.00	8.00	0.00	8.00	95.0	7.60
/ /	BLEIL, STEPHEN	FIDELITY ADV GOLD	0.00	0.08	0.00	0.08	95.0	0.08
/ /	ROCCA, JACQUELYN	FIDELITY ADV GROWTH OPP	0.00	0.55	0.00	0.55	95.0	0.52
/ /	ROCCA, ALEX	FIDELITY ADV GROWTH OPP	0.00	0.68	0.00	0.68	95.0	0.65
/ /	ROCCA, AARON	FIDELITY ADV GROWTH OPP	0.00	0.68	0.00	0.68	95.0	0.65
/ /	MCQUEENEY, JULIE	FIDELITY ADV GROWTH OPP	0.00	1.09	0.00	1.09	95.0	1.04
/ /	FELIS-ROSS, CAROL	FIDELITY ADV GROWTH OPP	0.00	0.11	0.00	0.11	95.0	0.10
/ /	SCALISE, CYNTHIA	FIDELITY ADV GROWTH OPP	0.00	1.28	0.00	1.28	95.0	1.22
/ /	DUDZIK, ELZBIETA	FIDELITY ADV GROWTH OPP	0.00	26.59	0.00	26.59	95.0	25.26
/ /	KULBACK, WILLIAM	FIDELITY ADV GROWTH OPP A	0.00	4.10	0.00	4.10	95.0	3.90
/ /	VIVIANO, JASON	FIDELITY ADV GROWTH OPP A	0.00	1.83	0.00	1.83	95.0	1.74
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV HEALTH CARE T	0.00	13.35	0.00	13.35	95.0	12.68
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV LARGE CAP	0.00	9.64	0.00	9.64	95.0	9.16
/ /	KULBACK, WILLIAM	FIDELITY ADV LARGE CAP A	0.00	5.43	0.00	5.43	95.0	5.16
/ /	HERWARTH, CHARLES	FIDELITY ADV LARGE CAP A	0.00	1.92	0.00	1.92	95.0	1.82
/ /	FELIS-ROSS, CAROL	FIDELITY ADV MORTGAGE SECURITIES T	0.00	0.13	0.00	0.13	95.0	0.12
/ /	SCALISE, CYNTHIA	FIDELITY ADV MORTGAGE SECURITIES T	0.00	1.65	0.00	1.65	95.0	1.57
/ /	KULBACK, WILLIAM	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	4.24	0.00	4.24	95.0	4.03
/ /	HERWARTH, CHARLES	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	2.15	0.00	2.15	95.0	2.04
/ /	DUDZIK, ELZBIETA	FIDELITY BALANCED T	0.00	21.11	0.00	21.11	95.0	20.05
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MARKETS	0.00	0.10	0.00	0.10	95.0	0.10
/ /	ROCCA, ALEX	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	ROCCA, AARON	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MARKETS	0.00	3.58	0.00	3.58	95.0	3.40
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MKTS T	0.00	0.29	0.00	0.29	95.0	0.28
/ /	ROCCA, ALEX	FIDELITY EMERGING MKTS T	0.00	0.18	0.00	0.18	95.0	0.17
/ /	ROCCA, AARON	FIDELITY EMERGING MKTS T	0.00	0.18	0.00	0.18	95.0	0.17
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MKTS T	0.00	7.22	0.00	7.22	95.0	6.86

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	KULBACK, WILLIAM	FIDELITY EQUITY GROWTH A	0.00	5.34	0.00	5.34	95.0	5.07
/ /	HERWARTH, CHARLES	FIDELITY EQUITY GROWTH A	0.00	1.92	0.00	1.92	95.0	1.82
/ /	BESS, JAMES	FIDELITY EQUITY GROWTH A	0.00	2.91	0.00	2.91	95.0	2.76
/ /	ZOBOSKI, JAYNE	FIDELITY EQUITY INCOME A	0.00	3.63	0.00	3.63	95.0	3.45
/ /	FRINTNER, SEAN EDWARD	FIDELITY EQUITY INCOME A	0.00	1.27	0.00	1.27	95.0	1.21
/ /	ZERILLI, ROSA	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	1.55	0.00	1.55	95.0	1.47
/ /	ZERILLI, ROSA	FIDELITY GROWTH & INCOME A	0.00	0.85	0.00	0.85	95.0	0.81
/ /	HERWARTH, CHARLES	FIDELITY TECHNOLOGY A	0.00	1.55	0.00	1.55	95.0	1.47
/ /	ZERILLI, ROSA	FIDELITY TECHNOLOGY A	0.00	1.01	0.00	1.01	95.0	0.96
/ /	ROHLOFF, SHARON	FIDELITY TECHNOLOGY A	0.00	0.65	0.00	0.65	95.0	0.62
/ /	BEARD, LATOYA	FIDELITY TECHNOLOGY A	0.00	0.03	0.00	0.03	95.0	0.03
10/31/2017	WILLIAMSON, CHLOE	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	0.45	0.00	0.45	95.0	0.43
10/31/2017	TAYLOR, DAVID	FRANKLIN BALANCE SHEET INVST A	0.00	0.21	0.00	0.21	95.0	0.20
10/31/2017	EILLISON, BARBARA	FRANKLIN CA TF INCOME A	0.00	24.59	0.00	24.59	95.0	23.36
10/31/2017	CASTELLI, FRED	FRANKLIN CA TF INCOME A	0.00	2.02	0.00	2.02	95.0	1.92
10/31/2017	PRICE, PATRICIA	FRANKLIN CONV SEC A	0.00	1.09	0.00	1.09	95.0	1.04
10/31/2017	OSBORN, RICHARD	FRANKLIN DYNATECH A	0.00	6.07	0.00	6.07	95.0	5.77
10/31/2017	WATSON, ROBERT	FRANKLIN DYNATECH C	0.00	9.82	0.00	9.82	95.0	9.33
10/31/2017	SCHONER, ALAN	FRANKLIN EQUITY INCOME A	0.00	18.34	0.00	18.34	95.0	17.42
10/31/2017	PRICE, PATRICIA	FRANKLIN EQUITY INCOME A	0.00	4.92	0.00	4.92	95.0	4.67
10/31/2017	KRAUSE, BARBARA	FRANKLIN FED TAX FREE INCOME A	0.00	36.34	0.00	36.34	95.0	34.52
10/31/2017	KRAUSE, MICHAEL	FRANKLIN FED TAX FREE INCOME A	0.00	1.08	0.00	1.08	95.0	1.03
10/31/2017	HENKIN TRUST, RAYMOND & EDEN	FRANKLIN FED TAX FREE INCOME A	0.00	9.02	0.00	9.02	95.0	8.57
10/31/2017	WILLIAMSON, CHLOE	FRANKLIN FOUNDING FUNDS 529 PORT C	0.00	2.17	0.00	2.17	95.0	2.06
10/31/2017	ROBINSON, BRUCE	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.53	0.00	2.53	95.0	2.40
10/31/2017	MILLARD, PATRICIA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	0.65	0.00	0.65	95.0	0.62
10/31/2017	LOFTUS, SUSAN	FRANKLIN GOLD & PRECIOUS METALS A	0.00	0.89	0.00	0.89	95.0	0.85
10/31/2017	MICHON, BARBARA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.12	0.00	1.12	95.0	1.06
10/31/2017	RYAN, ANDREW	FRANKLIN GOLD & PRECIOUS METALS A	0.00	8.05	0.00	8.05	95.0	7.65
10/31/2017	AKERS, JAMES	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.72	0.00	1.72	95.0	1.63
10/31/2017	PATRICK, BEVERLY	FRANKLIN GROWTH A	0.00	1.34	0.00	1.34	95.0	1.27
10/31/2017	GREGORY J ELBERT, C/O	FRANKLIN GROWTH A	0.00	0.36	0.00	0.36	95.0	0.34
10/31/2017	SAAR, ALEXIS	FRANKLIN GROWTH A	0.00	0.26	0.00	0.26	95.0	0.25

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/31/2017	FORD, JOHN	FRANKLIN GROWTH A	0.00	0.21	0.00	0.21	95.0	0.20
10/31/2017	OBIE, THOMAS	FRANKLIN GROWTH A	0.00	9.30	0.00	9.30	95.0	8.84
10/31/2017	DAVIS, JAMES	FRANKLIN GROWTH A	0.00	0.58	0.00	0.58	95.0	0.55
10/25/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
10/31/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	0.00	114.96	0.00	114.96	95.0	109.21
10/31/2017	WILEY, MATTALYN	FRANKLIN GROWTH OPP A	0.00	0.58	0.00	0.58	95.0	0.55
10/31/2017	OSBORN, RICHARD	FRANKLIN GROWTH OPP A	0.00	3.27	0.00	3.27	95.0	3.11
10/31/2017	FORD, JOHN	FRANKLIN GROWTH OPP A	0.00	0.56	0.00	0.56	95.0	0.53
10/31/2017	HENKIN TRUST, RAYMOND & EDEN	FRANKLIN HIGH INCOME A	0.00	13.16	0.00	13.16	95.0	12.50
10/31/2017	CELANI, JOSEPH	FRANKLIN HIGH INCOME A	0.00	4.60	0.00	4.60	95.0	4.37
10/31/2017	CELANI, MAURIZIO	FRANKLIN HIGH INCOME A	0.00	13.83	0.00	13.83	95.0	13.14
10/31/2017	PRICE, PATRICIA	FRANKLIN HIGH INCOME A	0.00	0.59	0.00	0.59	95.0	0.56
10/31/2017	MANNING, JOHN	FRANKLIN HIGH INCOME C	0.00	45.64	0.00	45.64	95.0	43.36
10/31/2017	OBIE, THOMAS	FRANKLIN HIGH YIELD TAX FREE A	0.00	2.63	0.00	2.63	95.0	2.50
10/31/2017	SCHARL, MICHAEL	FRANKLIN HIGH YIELD TAX FREE A	0.00	0.57	0.00	0.57	95.0	0.54
10/31/2017	RUTKOWSKI, DONALD	FRANKLIN HIGH YIELD TAX FREE A	0.00	11.55	0.00	11.55	95.0	10.97
10/31/2017	FISK, RALPH	FRANKLIN HIGH YIELD TAX FREE A	0.00	14.14	0.00	14.14	95.0	13.43
10/31/2017	DYER, TRACY	FRANKLIN HIGH YIELD TAX FREE A	0.00	0.36	0.00	0.36	95.0	0.34
10/31/2017	CHADICK TRUST	FRANKLIN INCOME A	0.00	4.45	0.00	4.45	95.0	4.23
10/31/2017	LAZARUS, CONSTANTINE	FRANKLIN INCOME A	0.00	61.87	0.00	61.87	95.0	58.78
10/31/2017	LAZARUS, HELEN	FRANKLIN INCOME A	0.00	28.42	0.00	28.42	95.0	27.00
10/31/2017	SMYKOWSKI, JAMES	FRANKLIN INCOME A	0.00	23.81	0.00	23.81	95.0	22.62
10/31/2017	WILSON, JANET	FRANKLIN INCOME A	0.00	0.72	0.00	0.72	95.0	0.68
10/31/2017	HATFIELD MCDUFFIE, JOYCE	FRANKLIN INCOME A	0.00	3.21	0.00	3.21	95.0	3.05
10/31/2017	MATSURA, MATTHEW	FRANKLIN INCOME A	0.00	7.55	0.00	7.55	95.0	7.17
10/31/2017	ALLEN, LAUREN	FRANKLIN INCOME A	0.00	7.08	0.00	7.08	95.0	6.73
10/31/2017	MATHEY, ERIN	FRANKLIN INCOME A	0.00	1.92	0.00	1.92	95.0	1.82
10/31/2017	GOUDREAU, RACHEL	FRANKLIN INCOME A	0.00	1.86	0.00	1.86	95.0	1.77
10/31/2017	DAVIS, JAMES	FRANKLIN INCOME A	0.00	0.34	0.00	0.34	95.0	0.32
10/31/2017	MATYASIC, JULIE	FRANKLIN INCOME A	0.00	3.03	0.00	3.03	95.0	2.88
10/31/2017	RICHARDSON, BLAKE	FRANKLIN INCOME A	0.00	3.10	0.00	3.10	95.0	2.95
10/31/2017	NELLES, TROY	FRANKLIN INCOME A	0.00	0.48	0.00	0.48	95.0	0.46
10/31/2017	CHANDLER, JOHN	FRANKLIN INCOME A	0.00	7.41	0.00	7.41	95.0	7.04

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/31/2017	PRICE, PATRICIA	FRANKLIN INCOME A	0.00	3.64	0.00	3.64	95.0	3.46
10/31/2017	PAUL, DARLEEN	FRANKLIN INCOME A	0.00	1.07	0.00	1.07	95.0	1.02
10/31/2017	DAVIS, JAMES	FRANKLIN INCOME A	0.00	17.49	0.00	17.49	95.0	16.62
10/31/2017	DAVIS, RITA	FRANKLIN INCOME A	0.00	19.52	0.00	19.52	95.0	18.54
10/31/2017	DENNIS L FUSINSKI, BENE	FRANKLIN INCOME A	0.00	11.49	0.00	11.49	95.0	10.92
10/31/2017	MANOLATOS, STEVE	FRANKLIN INCOME A	0.00	27.10	0.00	27.10	95.0	25.75
10/31/2017	WALKER, SUE	FRANKLIN INCOME A	0.00	45.17	0.00	45.17	95.0	42.91
10/31/2017	DAVIS, JAMES	FRANKLIN INT'L SMALL CAP GROWTH	0.00	23.74	0.00	23.74	95.0	22.55
10/31/2017	MALLIA, JOSEPH	FRANKLIN MI TAX FR INCOME A	0.00	2.20	0.00	2.20	95.0	2.09
10/31/2017	STROUSE, MARILYN	FRANKLIN MI TAX FR INCOME A	0.00	21.15	0.00	21.15	95.0	20.09
10/31/2017	BELSER, JOHN	FRANKLIN MI TAX FR INCOME A	0.00	3.38	0.00	3.38	95.0	3.21
10/31/2017	BROWN, KAY	FRANKLIN MI TAX FR INCOME A	0.00	5.45	0.00	5.45	95.0	5.18
10/31/2017	LAGA, LAURA	FRANKLIN MI TAX FR INCOME A	0.00	1.56	0.00	1.56	95.0	1.48
10/31/2017	WORTHY, MILDRED	FRANKLIN MI TAX FR INCOME A	0.00	6.01	0.00	6.01	95.0	5.71
10/31/2017	SMITH, JILL	FRANKLIN MI TAX FR INCOME A	0.00	2.52	0.00	2.52	95.0	2.39
10/31/2017	SZOCINSKI, CASIMER	FRANKLIN MI TAX FR INCOME A	0.00	5.42	0.00	5.42	95.0	5.15
10/31/2017	JENDRUSINA, ROGER	FRANKLIN MI TAX FR INCOME A	0.00	11.23	0.00	11.23	95.0	10.67
10/31/2017	SCHNEIDER, REBECCA	FRANKLIN MN TAX FREE INCOME A	0.00	0.56	0.00	0.56	95.0	0.53
10/31/2017	ULISSE, DONALD	FRANKLIN MUTUAL BEACON C	0.00	885.29	0.00	885.29	95.0	841.03
10/31/2017	PRICE, PATRICIA	FRANKLIN MUTUAL SHARES A	0.00	7.52	0.00	7.52	95.0	7.14
10/31/2017	LOFTUS, SUSAN	FRANKLIN NATURAL RESOURCES A	0.00	0.38	0.00	0.38	95.0	0.36
10/31/2017	CLARK, EDWARD	FRANKLIN OH TAX FR INCOME FUND	0.00	3.88	0.00	3.88	95.0	3.69
10/31/2017	SCHRITZ, BRYAN	FRANKLIN OH TAX FR INCOME FUND	0.00	13.49	0.00	13.49	95.0	12.82
10/31/2017	WALKER TRUST, SUE	FRANKLIN OH TAX FR INCOME FUND	0.00	9.90	0.00	9.90	95.0	9.41
10/31/2017	WARNER, KRISTA	FRANKLIN OH TAX FR INCOME FUND	0.00	1.37	0.00	1.37	95.0	1.30
10/31/2017	PRICE, PATRICIA	FRANKLIN RISING DIVIDENDS A	0.00	6.50	0.00	6.50	95.0	6.18
10/31/2017	RUSHLOW, JEAN	FRANKLIN RISING DIVIDENDS A	0.00	13.62	0.00	13.62	95.0	12.94
10/31/2017	RUSHLOW, JEAN	FRANKLIN RISING DIVIDENDS A	0.00	8.14	0.00	8.14	95.0	7.73
10/31/2017	RUSHLOW, LAWRENCE	FRANKLIN RISING DIVIDENDS A	0.00	14.20	0.00	14.20	95.0	13.49
10/31/2017	RUSHLOW, LAWRENCE	FRANKLIN RISING DIVIDENDS A	0.00	8.14	0.00	8.14	95.0	7.73
10/31/2017	MANOLATOS, STEVE	FRANKLIN RISING DIVIDENDS A	0.00	84.78	0.00	84.78	95.0	80.54
10/31/2017	SALLEY, PAMELA	FRANKLIN SMALL CAP GROWTH A	0.00	0.64	0.00	0.64	95.0	0.61
10/31/2017	OBIE, THOMAS	FRANKLIN SMALL CAP VALUE A	0.00	5.56	0.00	5.56	95.0	5.28

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/31/2017	OBIE, MARCIA	FRANKLIN SMALL CAP VALUE A	0.00	10.32	0.00	10.32	95.0	9.80
10/31/2017	DAIZA, PHILLIP	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	0.91	0.00	0.91	95.0	0.86
10/31/2017	MATYASIC, JULIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	4.85	0.00	4.85	95.0	4.61
10/31/2017	SWARTZ, ERIC	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	10.86	0.00	10.86	95.0	10.32
10/31/2017	ELBERT, NATHANIAL	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	0.24	0.00	0.24	95.0	0.23
10/31/2017	OBIE, THOMAS	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	6.57	0.00	6.57	95.0	6.24
10/31/2017	OBIE, MARCIA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	9.73	0.00	9.73	95.0	9.24
10/31/2017	WULFF, STEVEN	FRANKLIN STRATEGIC INCOME C	0.00	10.56	0.00	10.56	95.0	10.03
10/31/2017	BELSER, HELEN	FRANKLIN TN MUNI BOND A	0.00	7.28	0.00	7.28	95.0	6.92
10/31/2017	GOTT, RICHARD	FRANKLIN US GOVT SEC FUND A	0.00	5.07	0.00	5.07	95.0	4.82
10/31/2017	GIRTY, MABLE	FRANKLIN US GOVT SEC FUND A	0.00	5.19	0.00	5.19	95.0	4.93
10/31/2017	SCHORNAK-YEE, DEBRA	FRANKLIN US GOVT SEC FUND A	0.00	0.76	0.00	0.76	95.0	0.72
10/31/2017	MICHAELS, RONALD	FRANKLIN US GOVT SEC FUND A	0.00	10.80	0.00	10.80	95.0	10.26
10/31/2017	MICHAELS, RENEE	FRANKLIN US GOVT SEC FUND A	0.00	2.33	0.00	2.33	95.0	2.21
10/31/2017	WORTHY, MILDRED	FRANKLIN US GOVT SEC FUND A	0.00	4.60	0.00	4.60	95.0	4.37
10/31/2017	MICHAELS, RICK	FRANKLIN US GOVT SEC FUND A	0.00	18.64	0.00	18.64	95.0	17.71
10/31/2017	MEHLER, BENE	FRANKLIN US GOVT SEC FUND A	0.00	0.42	0.00	0.42	95.0	0.40
10/31/2017	LORI RUTH THOMASSY, BENE	FRANKLIN US GOVT SEC FUND A	0.00	0.50	0.00	0.50	95.0	0.48
10/31/2017	OBIE, THOMAS	FRANKLIN US GOVT SEC FUND A	0.00	32.05	0.00	32.05	95.0	30.45
10/31/2017	OBIE, MARCIA	FRANKLIN US GOVT SEC FUND A	0.00	10.03	0.00	10.03	95.0	9.53
10/31/2017	OBIE, BRADFORD	FRANKLIN US GOVT SEC FUND A	0.00	6.68	0.00	6.68	95.0	6.35
10/31/2017	WULFF, STEVEN	FRANKLIN US GOVT SEC FUND C	0.00	10.64	0.00	10.64	95.0	10.11
10/31/2017	BARTOLONE, DANA	FRANKLIN US GOVT SEC FUND C	0.00	35.03	0.00	35.03	95.0	33.28
10/31/2017	MCKENZIE TRUST, WALTER &	FRANKLIN UTILITIES A	0.00	4.32	0.00	4.32	95.0	4.10
10/31/2017	MAY, PEGGY	FRANKLIN UTILITIES A	0.00	1.17	0.00	1.17	95.0	1.11
10/31/2017	BAEKELANDT, KARL	FRANKLIN UTILITIES A	0.00	23.92	0.00	23.92	95.0	22.72
10/31/2017	WATTS, MARIA	FRANKLIN UTILITIES A	0.00	0.26	0.00	0.26	95.0	0.25
10/31/2017	SEVESTIAN JR, JOHN	FRANKLIN UTILITIES A	0.00	0.99	0.00	0.99	95.0	0.94
10/31/2017	MACCAGNONE, GARY	FT FOUNDING FDS ALLOC C	0.00	2.18	0.00	2.18	95.0	2.07
10/31/2017	DUDA, ANNA	FT FOUNDING FUNDS ALLOC A	0.00	3.48	0.00	3.48	95.0	3.31
/ /	WAGNER, SHARON	GUGGENHEIM NASDAQ 100-H	0.00	0.18	0.00	0.18	95.0	0.17
/ /	OVERMYER, SUSAN	HARTFORD CAPITAL APP II A	0.00	8.43	0.00	8.43	95.0	8.01
/ /	ROCCA, HELEN	HARTFORD CAPITAL APPRECIATION A	0.00	0.66	0.00	0.66	95.0	0.63

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	HARTMAN, GREGORY	HARTFORD CAPITAL APPRECIATION A	0.00	0.89	0.00	0.89	95.0	0.85
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD CAPITAL APPRECIATION C	0.00	5.48	0.00	5.48	95.0	5.21
/ /	OVERMYER, SUSAN	HARTFORD DIVIDEND & GROWTH A	0.00	23.89	0.00	23.89	95.0	22.70
/ /	COLE, JAMES WILLIAM	HARTFORD DIVIDEND & GROWTH C	0.00	13.49	0.00	13.49	95.0	12.82
/ /	HARTMAN, GREGORY	HARTFORD HEALTHCARE A	0.00	0.84	0.00	0.84	95.0	0.80
/ /	OVERMYER, SUSAN	HARTFORD HEALTHCARE A	0.00	41.70	0.00	41.70	95.0	39.62
/ /	RUFFINO, ERNESTINE	HARTFORD HEALTHCARE A	0.00	20.21	0.00	20.21	95.0	19.20
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD HEALTHCARE C	0.00	5.17	0.00	5.17	95.0	4.91
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP A	0.00	2.15	0.00	2.15	95.0	2.04
/ /	OVERMYER, SUSAN	HARTFORD MIDCAP A	0.00	26.94	0.00	26.94	95.0	25.59
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD MIDCAP C	0.00	4.80	0.00	4.80	95.0	4.56
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP VALUE A	0.00	1.21	0.00	1.21	95.0	1.15
/ /	HARTMAN, GREGORY	HARTFORD SCHRDRS US SM MD CAP OPP-A	0.00	1.33	0.00	1.33	95.0	1.26
/ /	HARTMAN, GREGORY	HARTFORD SMALLCAP GROWTH A	0.00	4.17	0.00	4.17	95.0	3.96
/ /	HARTMAN, GREGORY	HARTFUND EQUITY INCIME FUND A	0.00	1.97	0.00	1.97	95.0	1.87
10/25/2017	DAIZA, PHILLIP	INVESCO CHARTER FUND C	50.00	0.50	0.00	0.50	95.0	0.48
10/16/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	62.50	2.97	0.00	2.97	95.0	2.82
10/16/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	33.77	1.60	0.00	1.60	95.0	1.52
10/16/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	37.50	1.13	0.00	1.13	95.0	1.07
10/16/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	32.81	0.98	0.00	0.98	95.0	0.93
10/16/2017	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	40.38	0.40	0.00	0.40	95.0	0.38
10/16/2017	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	40.38	0.40	0.00	0.40	95.0	0.38
10/19/2017	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	204.00	2.04	0.00	2.04	95.0	1.94
10/19/2017	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	36.72	0.37	0.00	0.37	95.0	0.35
10/19/2017	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	56.31	0.56	0.00	0.56	95.0	0.53
10/19/2017	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	33.79	0.34	0.00	0.34	95.0	0.32
10/23/2017	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
10/23/2017	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
10/23/2017	DINKA, JOHN	OPPENHEIMER CAPITAL APPRECIATION C	150.00	1.50	0.00	1.50	95.0	1.43
10/23/2017	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	200.00	2.00	0.00	2.00	95.0	1.90
10/23/2017	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	48.15	0.48	0.00	0.48	95.0	0.46
10/30/2017	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	23.09	0.23	0.00	0.23	95.0	0.22
10/30/2017	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	13.86	0.14	0.00	0.14	95.0	0.13

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/16/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	62.50	2.97	0.00	2.97	95.0	2.82
10/16/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	33.77	1.60	0.00	1.60	95.0	1.52
10/16/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	37.50	1.13	0.00	1.13	95.0	1.07
10/16/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	32.81	0.98	0.00	0.98	95.0	0.93
10/23/2017	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	100.00	1.00	0.00	1.00	95.0	0.95
10/23/2017	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	24.07	0.24	0.00	0.24	95.0	0.23
10/30/2017	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	180.26	1.80	0.00	1.80	95.0	1.71
10/30/2017	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	31.81	0.32	0.00	0.32	95.0	0.30
10/19/2017	DITRI, ANN	OPPENHEIMER GLOBAL C	198.00	1.98	0.00	1.98	95.0	1.88
10/19/2017	DITRI, ANN	OPPENHEIMER GLOBAL C	35.64	0.36	0.00	0.36	95.0	0.34
10/30/2017	ELIAS, JANICE	OPPENHEIMER GLOBAL C	22.42	0.22	0.00	0.22	95.0	0.21
10/30/2017	ELIAS, JANICE	OPPENHEIMER GLOBAL C	13.45	0.13	0.00	0.13	95.0	0.12
10/31/2017	TRBOVICH, NICK	OPPENHEIMER GLOBAL OPPORTUNITIES A	510.00	24.23	0.00	24.23	95.0	23.02
10/31/2017	TRBOVICH, MARY	OPPENHEIMER GLOBAL OPPORTUNITIES A	410.00	19.48	0.00	19.48	95.0	18.51
10/16/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	40.38	0.40	0.00	0.40	95.0	0.38
10/16/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	40.38	0.40	0.00	0.40	95.0	0.38
10/19/2017	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	30.00	0.30	0.00	0.30	95.0	0.29
10/19/2017	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	22.85	0.23	0.00	0.23	95.0	0.22
10/23/2017	DINKA, CATHERINE	OPPENHEIMER GLOBAL OPPORTUNITIES C	37.50	0.38	0.00	0.38	95.0	0.36
10/23/2017	DINKA, JOHN	OPPENHEIMER GLOBAL OPPORTUNITIES C	75.00	0.75	0.00	0.75	95.0	0.71
10/23/2017	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	200.00	2.00	0.00	2.00	95.0	1.90
10/23/2017	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	48.15	0.48	0.00	0.48	95.0	0.46
10/30/2017	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	180.26	1.80	0.00	1.80	95.0	1.71
10/30/2017	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	31.81	0.32	0.00	0.32	95.0	0.30
10/16/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	40.38	0.40	0.00	0.40	95.0	0.38
10/16/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	40.38	0.40	0.00	0.40	95.0	0.38
10/19/2017	BAKER, STEVEN	OPPENHEIMER INTL BOND C	56.30	0.56	0.00	0.56	95.0	0.53
10/19/2017	BAKER, STEVEN	OPPENHEIMER INTL BOND C	33.78	0.34	0.00	0.34	95.0	0.32
10/19/2017	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	56.31	0.56	0.00	0.56	95.0	0.53
10/19/2017	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	33.79	0.34	0.00	0.34	95.0	0.32
10/16/2017	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	62.50	2.97	0.00	2.97	95.0	2.82
10/16/2017	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	33.76	1.60	0.00	1.60	95.0	1.52
10/16/2017	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	37.50	1.13	0.00	1.13	95.0	1.07

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/16/2017	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	32.79	0.98	0.00	0.98	95.0	0.93
10/30/2017	DAMORE, MONICA	OPPENHEIMER INTL SMALL CO C	185.72	1.86	0.00	1.86	95.0	1.77
10/30/2017	DAMORE, MONICA	OPPENHEIMER INTL SMALL CO C	32.77	0.33	0.00	0.33	95.0	0.31
10/23/2017	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	25.00	0.25	0.00	0.25	95.0	0.24
10/23/2017	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	25.00	0.25	0.00	0.25	95.0	0.24
10/23/2017	DINKA, CATHERINE	OPPENHEIMER MAIN ST SELECT C	112.50	1.13	0.00	1.13	95.0	1.07
10/30/2017	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	22.42	0.22	0.00	0.22	95.0	0.21
10/30/2017	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	13.45	0.13	0.00	0.13	95.0	0.12
10/16/2017	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	40.38	0.40	0.00	0.40	95.0	0.38
10/16/2017	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	40.38	0.40	0.00	0.40	95.0	0.38
10/23/2017	DINKA, CATHERINE	OPPENHEIMER MAIN ST SMALL & MID CAP	112.50	1.13	0.00	1.13	95.0	1.07
10/31/2017	TRBOVICH, NICK	OPPENHEIMER MAIN STREET A	510.00	24.23	0.00	24.23	95.0	23.02
10/31/2017	TRBOVICH, MARY	OPPENHEIMER MAIN STREET A	410.00	19.48	0.00	19.48	95.0	18.51
10/16/2017	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	50.00	0.50	0.00	0.50	95.0	0.48
10/19/2017	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	30.00	0.30	0.00	0.30	95.0	0.29
10/19/2017	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	22.85	0.23	0.00	0.23	95.0	0.22
10/23/2017	DINKA, CATHERINE	OPPENHEIMER MAIN STREET C	112.50	1.13	0.00	1.13	95.0	1.07
10/23/2017	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	150.00	1.50	0.00	1.50	95.0	1.43
10/23/2017	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	150.00	1.50	0.00	1.50	95.0	1.43
10/16/2017	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	37.50	1.13	0.00	1.13	95.0	1.07
10/16/2017	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	32.81	0.98	0.00	0.98	95.0	0.93
10/19/2017	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	60.00	0.60	0.00	0.60	95.0	0.57
10/19/2017	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	45.70	0.46	0.00	0.46	95.0	0.44
10/19/2017	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	56.31	0.56	0.00	0.56	95.0	0.53
10/19/2017	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	33.79	0.34	0.00	0.34	95.0	0.32
10/23/2017	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	600.00	6.00	0.00	6.00	95.0	5.70
10/23/2017	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	81.03	0.81	0.00	0.81	95.0	0.77
10/23/2017	TORRICE, PAULA	OPPENHEIMER QUEST OPP VALUE C	25.00	0.25	0.00	0.25	95.0	0.24
10/23/2017	TORRICE, PAULA	OPPENHEIMER QUEST OPP VALUE C	25.00	0.25	0.00	0.25	95.0	0.24
10/31/2017	TRBOVICH, NICK	OPPENHEIMER REAL ESTATE A	510.00	24.23	0.00	24.23	95.0	23.02
10/31/2017	TRBOVICH, MARY	OPPENHEIMER REAL ESTATE A	410.00	19.48	0.00	19.48	95.0	18.51
10/30/2017	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
10/30/2017	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	60.85	0.61	0.00	0.61	95.0	0.58

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/23/2017	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	80.00	0.80	0.00	0.80	95.0	0.76
10/23/2017	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	80.00	0.80	0.00	0.80	95.0	0.76
10/31/2017	TRBOVICH, NICK	OPPENHEIMER VALUE A	510.00	24.23	0.00	24.23	95.0	23.02
10/31/2017	TRBOVICH, MARY	OPPENHEIMER VALUE A	410.00	19.48	0.00	19.48	95.0	18.51
10/19/2017	DITRI, ANN	OPPENHEIMER VALUE C	198.00	1.98	0.00	1.98	95.0	1.88
10/19/2017	DITRI, ANN	OPPENHEIMER VALUE C	35.64	0.36	0.00	0.36	95.0	0.34
10/23/2017	DINKA, JOHN	OPPENHEIMER VALUE C	150.00	1.50	0.00	1.50	95.0	1.43
10/16/2017	RENTROP, GARRETT	OPPENHEIMIER QUEST BALANCED C	40.38	0.40	0.00	0.40	95.0	0.38
10/16/2017	RENTROP, GARRETT	OPPENHEIMIER QUEST BALANCED C	40.38	0.40	0.00	0.40	95.0	0.38
11/01/2017	WONCH, LIZ	PUTNAM INVESTORS A	50.00	2.50	0.00	2.50	95.0	2.38
/ /	WAGNER, SHARON	RYDEX GUGG S&P 500 H	0.00	0.31	0.00	0.31	95.0	0.29
/ /	WAGNER, SHARON	RYDEXGUGGENHEIM INVERSE NASDAQ 100	0.00	0.06	0.00	0.06	95.0	0.06
10/31/2017	CEBALT, THOMAS	TEMPLETON DEVELOPING MKTS A	0.00	1.75	0.00	1.75	95.0	1.66
10/31/2017	ELBERT, NATHANIAL	TEMPLETON DEVELOPING MKTS A	0.00	0.23	0.00	0.23	95.0	0.22
10/31/2017	WILEY, MATTALYN	TEMPLETON DEVELOPING MKTS A	0.00	0.65	0.00	0.65	95.0	0.62
10/31/2017	RYAN, ANDREW	TEMPLETON DEVELOPING MKTS A	0.00	17.32	0.00	17.32	95.0	16.45
10/31/2017	LOFTUS, SUSAN	TEMPLETON DEVELOPING MKTS A	0.00	0.77	0.00	0.77	95.0	0.73
10/31/2017	HENDERSON JT TEN, CAROLYN	TEMPLETON DEVELOPING MKTS A	0.00	2.33	0.00	2.33	95.0	2.21
10/31/2017	LEWIS, CHRISTIAN	TEMPLETON DEVELOPING MKTS A	0.00	0.00	0.00	0.00	95.0	0.00
10/31/2017	ROCCA, JOHN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	2.78	0.00	2.78	95.0	2.64
10/31/2017	BICKEL, PATRICIA	TEMPLETON FOREIGN FUND A	0.00	7.69	0.00	7.69	95.0	7.31
10/31/2017	BONHAM, JAMES	TEMPLETON FOREIGN FUND A	0.00	9.62	0.00	9.62	95.0	9.14
10/31/2017	ARONICA, JAMES	TEMPLETON FOREIGN FUND A	0.00	12.71	0.00	12.71	95.0	12.07
10/31/2017	TAYLOR, GARY	TEMPLETON FOREIGN FUND A	0.00	465.31	0.00	465.31	95.0	442.04
10/31/2017	KROZAL, CHRISTIAN	TEMPLETON FOREIGN FUND A	0.00	4.23	0.00	4.23	95.0	4.02
10/31/2017	KELLEY, DAVID	TEMPLETON FOREIGN FUND A	0.00	0.97	0.00	0.97	95.0	0.92
10/31/2017	TRUSCELLO, DAVID	TEMPLETON FOREIGN FUND A	0.00	7.24	0.00	7.24	95.0	6.88
10/31/2017	HOVIS, RYAN	TEMPLETON FOREIGN FUND A	0.00	0.59	0.00	0.59	95.0	0.56
10/31/2017	KOLLINGER, KELLY	TEMPLETON FOREIGN FUND A	0.00	0.59	0.00	0.59	95.0	0.56
10/31/2017	KOLLINGER, ROBERT	TEMPLETON FOREIGN FUND A	0.00	0.59	0.00	0.59	95.0	0.56
10/31/2017	KOLLINGER, CODY	TEMPLETON FOREIGN FUND A	0.00	0.59	0.00	0.59	95.0	0.56
10/31/2017	HOVIS, FARREN	TEMPLETON FOREIGN FUND A	0.00	0.59	0.00	0.59	95.0	0.56
10/31/2017	HOVIS, BARBARA	TEMPLETON FOREIGN FUND A	0.00	0.56	0.00	0.56	95.0	0.53

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/31/2017	KOLLINGER, KAILYN	TEMPLETON FOREIGN FUND A	0.00	0.62	0.00	0.62	95.0	0.59
10/31/2017	MATYASIC, JULIE	TEMPLETON FOREIGN FUND A	0.00	3.81	0.00	3.81	95.0	3.62
10/31/2017	FORD, JOHN	TEMPLETON FOREIGN SMCO A	0.00	0.24	0.00	0.24	95.0	0.23
10/31/2017	ROCCA, JOHN	TEMPLETON FRONTIER MARKETS A	0.00	2.00	0.00	2.00	95.0	1.90
10/31/2017	MANOLATOS, STEVE	TEMPLETON GLOBAL BOND A	0.00	66.53	0.00	66.53	95.0	63.20
10/31/2017	BERRY, CHARLOTTE	TEMPLETON GLOBAL SMALL CO A	0.00	2.67	0.00	2.67	95.0	2.54
10/31/2017	MARCUS, PAMELA	TEMPLETON GROWTH A	0.00	122.06	0.00	122.06	95.0	115.96
10/31/2017	KEELER, DAVID	TEMPLETON GROWTH A	0.00	39.12	0.00	39.12	95.0	37.16
10/31/2017	BITTIKER, STUART	TEMPLETON GROWTH A	0.00	3.55	0.00	3.55	95.0	3.37
10/31/2017	PAUL, DARLEEN	TEMPLETON GROWTH A	0.00	1.46	0.00	1.46	95.0	1.39
10/31/2017	RUBIN, BRITTANY	TEMPLETON GROWTH A	0.00	7.96	0.00	7.96	95.0	7.56
10/31/2017	KILDAL, KATIE	TEMPLETON GROWTH A	0.00	4.86	0.00	4.86	95.0	4.62
10/31/2017	CHESNEY TRUST, CHRISTINE	TEMPLETON GROWTH C	0.00	13.50	0.00	13.50	95.0	12.83
10/31/2017	WALSH, MICHAEL	TEMPLETON WORLD A	0.00	0.81	0.00	0.81	95.0	0.77
10/31/2017	O'CONNOR, FRANK	TEMPLETON WORLD A	0.00	32.09	0.00	32.09	95.0	30.49
10/31/2017	RIGGENBACH, DARWYN	TEMPLETON WORLD A	0.00	138.82	0.00	138.82	95.0	131.88
10/31/2017	RICHARD, GLENN	TEMPLETON WORLD A	0.00	53.97	0.00	53.97	95.0	51.27
10/31/2017	ROBSON, ANNETTE	TEMPLETON WORLD A	0.00	219.06	0.00	219.06	95.0	208.11
10/31/2017	O'LEARY, JUDITH	TEMPLETON WORLD A	0.00	88.47	0.00	88.47	95.0	84.05
10/31/2017	JENDRUSINA, ROGER	TEMPLETON WORLD A	0.00	128.83	0.00	128.83	95.0	122.39
10/31/2017	MURRAY, ANN	TEMPLETON WORLD A	0.00	29.28	0.00	29.28	95.0	27.82
10/31/2017	KAMINSKI, MARGUERITE	TEMPLETON WORLD A	0.00	1.73	0.00	1.73	95.0	1.64
10/31/2017	FLORIE, TERRENCE	TEMPLETON WORLD A	0.00	1.15	0.00	1.15	95.0	1.09
10/31/2017	FLORIE, CHRISTINE	TEMPLETON WORLD A	0.00	19.61	0.00	19.61	95.0	18.63
10/31/2017	BACHTELL, JAMES	TEMPLETON WORLD A	0.00	31.19	0.00	31.19	95.0	29.63
10/31/2017	SCHWARZ, JAMES	TEMPLETON WORLD A	0.00	7.42	0.00	7.42	95.0	7.05
10/31/2017	GREENE, KARLI	TEMPLETON WORLD A	0.00	8.50	0.00	8.50	95.0	8.08
10/31/2017	GREENE, CHRISTOPHER	TEMPLETON WORLD A	0.00	0.38	0.00	0.38	95.0	0.36
10/31/2017	WAMPLER TRUST	TEMPLETON WORLD A	0.00	19.82	0.00	19.82	95.0	18.83
10/31/2017	RICHARD, DANIEL	TEMPLETON WORLD A	0.00	3.35	0.00	3.35	95.0	3.18
11/02/2017	PETERSON, ALEXA	TRANSAMERICA AA CONSERVATIVE C	0.00	34.76	0.00	34.76	95.0	33.02
11/02/2017	PETERSON, TREY	TRANSAMERICA AA CONSERVATIVE C	0.00	34.76	0.00	34.76	95.0	33.02
11/02/2017	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	5.09	0.00	5.09	95.0	4.84

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/02/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	6.15	0.00	6.15	95.0	5.84
11/02/2017	HESTER, RICHARD	TRANSAMERICA AA GROWTH C	0.00	1.50	0.00	1.50	95.0	1.43
11/02/2017	SULLIVAN, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	21.53	0.00	21.53	95.0	20.45
11/02/2017	MAYNARD, TRAVIS	TRANSAMERICA AA GROWTH C	0.00	1.70	0.00	1.70	95.0	1.62
11/02/2017	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	10.28	0.00	10.28	95.0	9.77
11/02/2017	COOPER, LISA	TRANSAMERICA AA GROWTH C	0.00	0.49	0.00	0.49	95.0	0.47
11/02/2017	WEBSTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	1.04	0.00	1.04	95.0	0.99
11/02/2017	JOUPPI, JEFFREY	TRANSAMERICA AA GROWTH C	0.00	89.11	0.00	89.11	95.0	84.65
11/02/2017	COMAN, RONALD	TRANSAMERICA AA GROWTH C	0.00	21.13	0.00	21.13	95.0	20.07
11/02/2017	PALAZZOLO, SALATORE	TRANSAMERICA AA GROWTH C	0.00	12.52	0.00	12.52	95.0	11.89
11/02/2017	BARTOI, MIKAELA	TRANSAMERICA AA GROWTH C	0.00	4.22	0.00	4.22	95.0	4.01
11/02/2017	ROWAN, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	28.65	0.00	28.65	95.0	27.22
11/02/2017	KUCHAREK, NORMA	TRANSAMERICA AA GROWTH C	0.00	9.15	0.00	9.15	95.0	8.69
11/02/2017	OLSEN, MARTHA	TRANSAMERICA AA GROWTH C	0.00	10.76	0.00	10.76	95.0	10.22
11/02/2017	HESSLER, GARY	TRANSAMERICA AA GROWTH C	0.00	41.16	0.00	41.16	95.0	39.10
11/02/2017	BLANKENSHIP, JANET	TRANSAMERICA AA GROWTH C	0.00	12.23	0.00	12.23	95.0	11.62
11/02/2017	RONDO, DENISE	TRANSAMERICA AA GROWTH C	0.00	12.73	0.00	12.73	95.0	12.09
11/02/2017	PALAZZOLO, ANNA	TRANSAMERICA AA GROWTH C	0.00	19.65	0.00	19.65	95.0	18.67
11/02/2017	LINDOW, RONALD	TRANSAMERICA AA GROWTH C	0.00	1.57	0.00	1.57	95.0	1.49
11/02/2017	WILMAN, ANN	TRANSAMERICA AA GROWTH C	0.00	60.26	0.00	60.26	95.0	57.25
11/02/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	38.95	0.00	38.95	95.0	37.00
11/02/2017	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	0.00	42.24	0.00	42.24	95.0	40.13
11/02/2017	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	23.14	0.00	23.14	95.0	21.98
11/02/2017	RIOS-DORIA, H. WALTER	TRANSAMERICA AA GROWTH C	0.00	50.43	0.00	50.43	95.0	47.91
11/02/2017	WETZEL, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	13.79	0.00	13.79	95.0	13.10
11/02/2017	KEELER, DAVID	TRANSAMERICA AA GROWTH C	0.00	50.87	0.00	50.87	95.0	48.33
11/02/2017	HILDEBRAND, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	28.42	0.00	28.42	95.0	27.00
11/02/2017	DEHEM, GARY	TRANSAMERICA AA GROWTH C	0.00	40.02	0.00	40.02	95.0	38.02
11/02/2017	LINDOW, RICHARD	TRANSAMERICA AA GROWTH C	0.00	24.52	0.00	24.52	95.0	23.29
11/02/2017	MULDOON, SHEILA	TRANSAMERICA AA GROWTH C	0.00	25.12	0.00	25.12	95.0	23.86
11/02/2017	KUCHAREK, SARAH	TRANSAMERICA AA GROWTH C	0.00	5.07	0.00	5.07	95.0	4.82
11/02/2017	HECKER, GENE	TRANSAMERICA AA GROWTH C	0.00	8.12	0.00	8.12	95.0	7.71
11/02/2017	WATERS, SALLY	TRANSAMERICA AA GROWTH C	0.00	13.78	0.00	13.78	95.0	13.09

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/02/2017	WATERS, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	5.35	0.00	5.35	95.0	5.08
11/02/2017	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	0.00	13.86	0.00	13.86	95.0	13.17
11/02/2017	FARRAR, CHARLES	TRANSAMERICA AA GROWTH C	0.00	9.28	0.00	9.28	95.0	8.82
11/02/2017	BUSZEK, PAUL	TRANSAMERICA AA GROWTH C	0.00	1.12	0.00	1.12	95.0	1.06
11/02/2017	HOOTNER-JONES, ANN	TRANSAMERICA AA GROWTH C	0.00	8.54	0.00	8.54	95.0	8.11
11/02/2017	PALAZZOLO, SALVATORE	TRANSAMERICA AA GROWTH C	0.00	14.72	0.00	14.72	95.0	13.98
11/02/2017	BASTIN, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	3.86	0.00	3.86	95.0	3.67
11/02/2017	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	3.91	0.00	3.91	95.0	3.71
11/02/2017	KOLLINGER, ROBERT	TRANSAMERICA AA MOD GROWTH C	0.00	0.96	0.00	0.96	95.0	0.91
11/02/2017	HOVIS, MARJORIE	TRANSAMERICA AA MOD GROWTH C	0.00	3.73	0.00	3.73	95.0	3.54
11/02/2017	BELLINGER, VICKIE	TRANSAMERICA AA MOD GROWTH C	0.00	35.38	0.00	35.38	95.0	33.61
11/02/2017	BELLINGER, GEORGE	TRANSAMERICA AA MOD GROWTH C	0.00	28.53	0.00	28.53	95.0	27.10
11/02/2017	POLENYCHKO, SHIRLEY	TRANSAMERICA AA MOD GROWTH C	0.00	9.56	0.00	9.56	95.0	9.08
11/02/2017	AUGUSTYN, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.73	0.00	11.73	95.0	11.14
11/02/2017	BLANKENSHIP, JANET	TRANSAMERICA AA MOD GROWTH C	0.00	215.22	0.00	215.22	95.0	204.46
11/02/2017	KOLLINGER, CINDY	TRANSAMERICA AA MOD GROWTH C	0.00	9.84	0.00	9.84	95.0	9.35
11/02/2017	KEELER, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	22.57	0.00	22.57	95.0	21.44
11/02/2017	TYSZKIEWICZ, KURT	TRANSAMERICA AA MOD GROWTH C	0.00	24.58	0.00	24.58	95.0	23.35
11/02/2017	KUCHAREK, MARCIA	TRANSAMERICA AA MOD GROWTH C	0.00	12.14	0.00	12.14	95.0	11.53
11/02/2017	MCGUIRE, MARY	TRANSAMERICA AA MOD GROWTH C	0.00	16.48	0.00	16.48	95.0	15.66
11/02/2017	LEHMAN, JEFFREY	TRANSAMERICA AA MOD GROWTH C	0.00	0.12	0.00	0.12	95.0	0.11
11/02/2017	HENRY, JACQUELINE	TRANSAMERICA AA MOD GROWTH C	0.00	30.83	0.00	30.83	95.0	29.29
11/02/2017	O'CONNOR, FRANCIS	TRANSAMERICA AA MOD GROWTH C	0.00	56.64	0.00	56.64	95.0	53.81
11/02/2017	BAKER, JEAN-ANNE	TRANSAMERICA AA MOD GROWTH C	0.00	39.58	0.00	39.58	95.0	37.60
11/02/2017	KOSANKE, BARBARA	TRANSAMERICA AA MOD GROWTH C	0.00	33.35	0.00	33.35	95.0	31.68
11/02/2017	DEW, DESIREE	TRANSAMERICA AA MOD GROWTH C	0.00	45.96	0.00	45.96	95.0	43.66
11/02/2017	CYRULEWSKI TRUST, LOIS	TRANSAMERICA AA MOD GROWTH C	0.00	12.23	0.00	12.23	95.0	11.62
11/02/2017	NIZIO, EDMUND	TRANSAMERICA AA MOD GROWTH C	0.00	3.72	0.00	3.72	95.0	3.53
11/02/2017	PALAZZOLO, SALATORE	TRANSAMERICA AA MOD GROWTH C	0.00	10.07	0.00	10.07	95.0	9.57
11/02/2017	KOSANKE, CHARLES W	TRANSAMERICA AA MOD GROWTH C	0.00	17.17	0.00	17.17	95.0	16.31
11/02/2017	MERRITT, MARY LOU	TRANSAMERICA AA MOD GROWTH C	0.00	118.06	0.00	118.06	95.0	112.16
11/02/2017	GROBAR TRUST, MARVIN & MARY	TRANSAMERICA AA MOD GROWTH C	0.00	60.97	0.00	60.97	95.0	57.92
11/02/2017	OLSEN, MARTHA	TRANSAMERICA AA MOD GROWTH C	0.00	10.20	0.00	10.20	95.0	9.69

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/02/2017	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	10.45	0.00	10.45	95.0	9.93
11/02/2017	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	5.28	0.00	5.28	95.0	5.02
11/02/2017	CARLSON, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	2.82	0.00	2.82	95.0	2.68
11/02/2017	RIOS-DORIA, H. WALTER	TRANSAMERICA AA MOD GROWTH C	0.00	46.14	0.00	46.14	95.0	43.83
11/02/2017	RYAN, VICKI	TRANSAMERICA AA MOD GROWTH C	0.00	3.63	0.00	3.63	95.0	3.45
11/02/2017	RYAN, KAREN	TRANSAMERICA AA MOD GROWTH C	0.00	2.38	0.00	2.38	95.0	2.26
11/02/2017	BARTOI, MIKAELA	TRANSAMERICA AA MOD GROWTH C	0.00	157.73	0.00	157.73	95.0	149.84
11/02/2017	BUTLER, SANDRA	TRANSAMERICA AA MOD GROWTH C	0.00	56.27	0.00	56.27	95.0	53.46
11/02/2017	KANAKRI, JOSEPH	TRANSAMERICA AA MOD GROWTH C	0.00	2.19	0.00	2.19	95.0	2.08
11/02/2017	KANAKRI, MARIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.44	0.00	3.44	95.0	3.27
11/02/2017	BUSZEK, PAUL	TRANSAMERICA AA MOD GROWTH C	0.00	27.10	0.00	27.10	95.0	25.75
11/02/2017	LAWRENCE, PEGGY	TRANSAMERICA AA MOD GROWTH C	0.00	13.64	0.00	13.64	95.0	12.96
11/02/2017	BERDESKI, AUDREY	TRANSAMERICA AA MOD GROWTH C	0.00	5.72	0.00	5.72	95.0	5.43
11/02/2017	KAWALA, CHRISTINA	TRANSAMERICA AA MOD GROWTH C	0.00	116.85	0.00	116.85	95.0	111.01
11/02/2017	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	20.32	0.00	20.32	95.0	19.30
11/02/2017	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	0.79	0.00	0.79	95.0	0.75
11/02/2017	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	88.85	0.00	88.85	95.0	84.41
11/02/2017	BARTOI, JOHN	TRANSAMERICA AA MODERATE C	0.00	3.12	0.00	3.12	95.0	2.96
11/02/2017	MANOLATOS, STEVE	TRANSAMERICA AA MODERATE C	0.00	8.02	0.00	8.02	95.0	7.62
11/02/2017	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	9.90	0.00	9.90	95.0	9.41
11/02/2017	PALAZZOLO, SALATORE	TRANSAMERICA MULTI MGR INTL C	0.00	0.34	0.00	0.34	95.0	0.32
11/02/2017	BARTOI, JOHN	TRANSAMERICA MULTI MGR INTL C	0.00	13.06	0.00	13.06	95.0	12.41
11/02/2017	BARTOI, MIKAELA	TRANSAMERICA MULTI MGR INTL C	0.00	9.16	0.00	9.16	95.0	8.70
11/02/2017	FARRAR, CHARLES	TRANSAMERICA MULTI MGR INTL C	0.00	35.23	0.00	35.23	95.0	33.47
11/02/2017	WILMAN, ANN	TRANSAMERICA MULTI MGR INTL C	0.00	25.44	0.00	25.44	95.0	24.17
11/02/2017	KUCHAREK, MARK	TRANSAMERICA MULTI MGR INTL C	0.00	10.68	0.00	10.68	95.0	10.15
11/02/2017	DUMOND, PHYLLIS	TRANSAMERICA MULTI MGR INTL C	0.00	5.15	0.00	5.15	95.0	4.89
11/02/2017	KEELER, DAVID	TRANSAMERICA MULTI MGR INTL C	0.00	14.28	0.00	14.28	95.0	13.57
11/02/2017	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR INTL C	0.00	12.37	0.00	12.37	95.0	11.75
11/02/2017	DEHEM, GARY	TRANSAMERICA MULTI MGR INTL C	0.00	15.03	0.00	15.03	95.0	14.28
11/02/2017	ALLEN, BRADLEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	32.06	0.00	32.06	95.0	30.46
11/02/2017	WAGNER, MALLORY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	3.08	0.00	3.08	95.0	2.93
11/02/2017	EVANS, SANDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.46	0.00	6.46	95.0	6.14

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/02/2017	EVANS, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.74	0.00	7.74	95.0	7.35
11/02/2017	GRECH, ROSALIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	24.04	0.00	24.04	95.0	22.84
11/02/2017	CANNON TRUST, HENRY & BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	114.92	0.00	114.92	95.0	109.17
11/02/2017	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	45.04	0.00	45.04	95.0	42.79
11/02/2017	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.17	0.00	7.17	95.0	6.81
11/02/2017	DOUTSAS, MADELYN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	3.04	0.00	3.04	95.0	2.89
11/02/2017	HOOTNER-JONES, ANN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.82	0.00	2.82	95.0	2.68
11/02/2017	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	14.13	0.00	14.13	95.0	13.42
11/02/2017	RICHARDSON, SHANE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.25	0.00	1.25	95.0	1.19
11/02/2017	MAGGARD, LORETTA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	13.09	0.00	13.09	95.0	12.44
11/02/2017	KAWALA, CHRISTINA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	17.71	0.00	17.71	95.0	16.82
11/02/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	38.57	0.00	38.57	95.0	36.64
11/02/2017	BELLINGER, VICKIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	45.61	0.00	45.61	95.0	43.33
11/02/2017	OLSEN, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	10.92	0.00	10.92	95.0	10.37
11/02/2017	MOORE, SUSAN ANN PRIES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	103.56	0.00	103.56	95.0	98.38
11/02/2017	YOUNG, RICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	138.99	0.00	138.99	95.0	132.04
11/02/2017	DINKA, CATHERINE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	80.43	0.00	80.43	95.0	76.41
11/02/2017	PARKE, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.87	0.00	1.87	95.0	1.78
11/02/2017	SMITH, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	104.45	0.00	104.45	95.0	99.23
11/02/2017	FARRAR, KAREN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	45.00	0.00	45.00	95.0	42.75
11/02/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	21.47	0.00	21.47	95.0	20.40
11/02/2017	ROLLINGS, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	30.16	0.00	30.16	95.0	28.65
11/02/2017	ALLEN, BRADLEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	30.16	0.00	30.16	95.0	28.65
11/02/2017	DOUTSAS, ALEXANDER	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.42	0.00	6.42	95.0	6.10
11/02/2017	GRAMMATICO, DENNIS	TRANSAMERICA SHORT-TERM BOND C	0.00	7.33	0.00	7.33	95.0	6.96
11/02/2017	GRAMMATICO, SHARON	TRANSAMERICA SHORT-TERM BOND C	0.00	7.34	0.00	7.34	95.0	6.97
11/02/2017	SARKISIAN, NORAY	TRANSAMERICA SHORT-TERM BOND C	0.00	28.54	0.00	28.54	95.0	27.11
11/02/2017	MERRITT, MARY LOU	TRANSAMERICA SHORT-TERM BOND C	0.00	22.80	0.00	22.80	95.0	21.66
11/02/2017	O'CONNOR, FRANCIS	TRANSAMERICA SHORT-TERM BOND C	0.00	62.84	0.00	62.84	95.0	59.70
11/02/2017	RYAN, JACK	TRANSAMERICA SHORT-TERM BOND C	0.00	8.77	0.00	8.77	95.0	8.33
11/02/2017	RYAN, KAREN	TRANSAMERICA SHORT-TERM BOND C	0.00	8.41	0.00	8.41	95.0	7.99
11/02/2017	EVANS, SANDRA	TRANSAMERICA TACTICAL INCOME C	0.00	4.84	0.00	4.84	95.0	4.60
11/02/2017	EVANS, ROBERT	TRANSAMERICA TACTICAL INCOME C	0.00	5.80	0.00	5.80	95.0	5.51

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/02/2017	KAWALA, CHRISTINA	TRANSAMERICA TACTICAL INCOME C	0.00	3.23	0.00	3.23	95.0	3.07
11/02/2017	PIZZO, ROSOLINO	TRANSAMERICA WMC DIV EQUITY C	0.00	1.97	0.00	1.97	95.0	1.87
* MUTUAL FUNDS SUBTOTAL *			\$14,185.27	\$7,983.44	\$0.00	\$7,983.44		\$7,584.46
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/31/2017	BERTRAND, C JERRY	JNL ELITE ACCESS	58,161.44	31.50	0.00	31.50	95.0	29.93
10/31/2017	MELTZER, CLARENCE	JNL PERSPECTIVE ADVANTAGE	24,638.09	20.53	0.00	20.53	95.0	19.50
10/31/2017	COVYEAU, FREDERICK J & B	JNL PERSPECTIVE II	99,493.45	41.46	0.00	41.46	95.0	39.39
10/31/2017	JEANNETTE, JUSTIN	JNL PERSPECTIVE II 05/05	499.59	0.42	0.00	0.42	95.0	0.40
10/31/2017	KOSCIELSKI, RONALD	JNL PERSPECTIVE II 05/05	28,730.37	11.97	0.00	11.97	95.0	11.37
10/31/2017	CROSS, LINDA	JNL PERSPECTIVE II 05/05	6,222.97	2.59	0.00	2.59	95.0	2.46
10/31/2017	MAGGARD, LORETTA	JNL PERSPECTIVE II 05/05	46,374.14	19.32	0.00	19.32	95.0	18.35
10/31/2017	RANG, MARILYN	JNL PERSPECTIVE II 05/05	74,222.82	30.93	0.00	30.93	95.0	29.38
10/31/2017	MCDONALD, GLENN	JNL PERSPECTIVE II 05/05	177,495.07	73.96	0.00	73.96	95.0	70.26
10/31/2017	KEELER, SUSAN	JNL PERSPECTIVE II 05/05	129,754.35	54.06	0.00	54.06	95.0	51.36
10/31/2017	PIROZZO, PETER	JNL PERSPECTIVE L	54,302.85	58.83	0.00	58.83	95.0	55.89
10/31/2017	LEGGE, GERALD	JNL PERSPECTIVE L	305,920.05	331.41	0.00	331.41	95.0	314.84
10/31/2017	DIEM, LINDA	JOHN HANCOCK VENTURE	668.29	0.14	0.00	0.14	95.0	0.13
10/31/2017	SCERBAK, EILEEN	JOHN HANCOCK VENTURE	48,963.44	10.20	0.00	10.20	95.0	9.69
10/31/2017	TYMCZY, MARY JANE	JOHN HANCOCK VENTURE	7,627.75	1.59	0.00	1.59	95.0	1.51
10/31/2017	DANIEL, SABRINA	JOHN HANCOCK VENTURE	323.54	0.07	0.00	0.07	95.0	0.07
* VARIABLE ANNUITIES SUBTOTAL *			\$1,063,398.21	\$688.98	\$0.00	\$688.98		\$654.53
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/30/2017	LUMETTA, SEBASTIANO	ASCENSUS 529 TRAILS	0.00	9.29	0.00	9.29	95.0	8.83
09/30/2017	LUMETTA, SEBASTIANO	ASCENSUS 529 TRAILS	0.00	9.26	0.00	9.26	95.0	8.80
09/30/2017	LUMETTA, SEBASTIANO	ASCENSUS 529 TRAILS	0.00	9.22	0.00	9.22	95.0	8.76
09/30/2017	DINKA, JOHN	ASCENSUS 529 TRAILS	0.00	133.31	0.00	133.31	95.0	126.64
09/30/2017	DINKA, JOHN	ASCENSUS 529 TRAILS	0.00	127.03	0.00	127.03	95.0	120.68
09/30/2017	DINKA, JOHN	ASCENSUS 529 TRAILS	0.00	86.33	0.00	86.33	95.0	82.01
10/31/2017	CFGROUP, VARIOUS	DREYFUS	0.00	81.79	0.00	81.79	95.0	77.70
10/31/2017	CFGROUP, VARIOUS	DREYFUS	0.00	14.67	0.00	14.67	95.0	13.94
10/18/2017	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	88.47	0.00	88.47	95.0	84.05
10/18/2017	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	265.40	0.00	265.40	95.0	252.13

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
10/18/2017	FARRAR TRUST, CHARLES & KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	43.20	0.00	43.20	95.0	41.04
10/18/2017	FARRAR TRUST, CHARLES & KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	129.61	0.00	129.61	95.0	123.13
10/18/2017	ANDERSON, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.80	0.00	10.80	95.0	10.26
10/18/2017	ANDERSON, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.40	0.00	32.40	95.0	30.78
09/30/2017	CFGROUP, VARIOUS	VOYA TRAILS	0.00	3.50	0.00	3.50	95.0	3.33
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,044.28	\$0.00	\$1,044.28		\$992.08
*** DIRECT TRANSACTIONS TOTAL ***			\$1,077,583.48	\$9,716.70	\$0.00	\$9,716.70		\$9,231.07

SPLIT TRANSACTIONS**BROKER: MARK KOSANKE #3**

10/10/2017	MARCOLA, THADDEUS	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	0.37	0.00	0.37	95.0	0.35
10/10/2017	CAUL, MARTINA	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	95.0	0.20
10/10/2017	GOOSSENS, LORI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.47	0.00	2.47	95.0	2.35
10/10/2017	CAUL, MARTINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.18	0.00	0.18	95.0	0.17
10/10/2017	CAUL, MARTINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.45	0.00	0.45	95.0	0.43
10/10/2017	SCULLY, DOROTHY	AM FUNDS ICA A	0.00	11.89	0.00	11.89	95.0	11.30
10/10/2017	DENNIS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	6.43	0.00	6.43	95.0	6.11
10/10/2017	MCCALL, JEFFREY	AM FUNDS SMALLCAP WORLD A	0.00	1.22	0.00	1.22	95.0	1.16
10/10/2017	DENNIS, STEVEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.12	0.00	5.12	95.0	4.86
10/10/2017	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.53	0.00	1.53	95.0	1.45
10/10/2017	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.53	0.00	1.53	95.0	1.45
10/10/2017	NICOLOSI, ROSE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.42	0.00	2.42	95.0	2.30
10/10/2017	DENNIS, STEVEN	DAVIS NEW YORK VENTURE A	0.00	15.74	0.00	15.74	95.0	14.95
10/10/2017	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	9.78	0.00	9.78	95.0	9.29
10/10/2017	HENKEL, JAMES	DREYFUS HIGH YIELD A	0.00	0.59	0.00	0.59	95.0	0.56
10/27/2017	HENKEL, JAMES	DREYFUS HIGH YIELD A	2,895.27	0.00	0.00	0.00	95.0	0.00
10/10/2017	HENKEL, JAMES	DWS DEUTSCHE HIGH INCOME FUND A	0.00	24.14	0.00	24.14	95.0	22.93
10/10/2017	HENKEL, JAMES	EATON VANCE HIGH INCOME OPPORTUNITI	0.00	0.83	0.00	0.83	95.0	0.79
10/27/2017	HENKEL, JAMES	EATON VANCE HIGH INCOME OPPORTUNITI	4,046.52	0.00	15.00	-15.00	95.0	-14.25
10/10/2017	ADAMS, ERIK	FEDERATED HIGH INCOME BOND FUND INC	0.00	0.74	0.00	0.74	95.0	0.70
10/10/2017	ADAMS, ERIK	FEDERATED MARKET OPPORTUNITY A	0.00	2.26	0.00	2.26	95.0	2.15
10/10/2017	GARCIA-SANTOS, VICKY	FIRST EAGLE GLOBAL FUND CL A	0.00	7.08	0.00	7.08	95.0	6.73

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK KOSANKE #3</u>								
10/31/2017	KRASS, DELORES	JNL PERSPECTIVE REWARDS	15,490.36	3.22	0.00	3.22	95.0	3.06
10/10/2017	BROUSE, SARAH	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	0.74	0.00	0.74	95.0	0.70
10/10/2017	ADAMS, TONJA	PUTNAM INTERNATIONAL VALUE-A	0.00	0.27	0.00	0.27	95.0	0.26
10/13/2017	KUCHAREK, MARK	RETAIL PPTYS AMER INC CL A	2,371.60	237.00	14.00	223.00	95.0	211.85
10/13/2017	KUCHAREK, MARK	RETAIL PPTYS AMER INC CL A	-2,371.60	-237.00	-14.00	-223.00	95.0	-211.85
10/13/2017	KUCHAREK, MARK	RETAIL PPTYS AMER INC CL A	2,371.60	19.00	14.00	5.00	95.0	4.75
10/20/2017	WINKWORTH IRREV TRST, ED &	RETAIL PPTYS AMER INC CL A	30,729.84	920.00	14.00	906.00	95.0	860.70
11/02/2017	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	30.19	0.00	30.19	95.0	28.68
10/25/2017	HOSKIN, JOHN	VICTORY CAPITAL MANAGEMENT	0.00	0.66	0.00	0.66	95.0	0.63
10/25/2017	MARCOLA, ERIKA	VICTORY CAPITAL MANAGEMENT	0.00	1.06	0.00	1.06	95.0	1.01
10/03/2017	PATTERSON, STEVEN	XENIA HOTELS & RESORTS INC COM	8,208.42	150.00	14.00	136.00	95.0	129.20
10/20/2017	WINKWORTH, DOROTHY	XENIA HOTELS & RESORTS INC COM	27,491.25	820.00	14.00	806.00	95.0	765.70
* MARK KOSANKE SUBTOTAL *			\$91,233.26	\$2,040.12	\$71.00	\$1,969.12		\$1,870.67
<u>BROKER: J117-PETE/JOAN JOHNSON/FLAM #19</u>								
/ /	PRICOPIO, VANESSA	JH 529 COLLEGE PORT A	0.00	2.61	0.00	2.61	95.0	2.48
* J117-PETE/JOAN JOHNSON/FLAM SUBTOTAL *			\$0.00	\$2.61	\$0.00	\$2.61		\$2.48
<u>BROKER: GROUP FLAM/BUSSELL #32</u>								
10/31/2017	M, OSIP	DELAWARE VALUE A	0.00	1.38	0.00	1.38	95.0	1.31
* GROUP FLAM/BUSSELL SUBTOTAL *			\$0.00	\$1.38	\$0.00	\$1.38		\$1.31
<u>BROKER: PETER JOHNSON #63</u>								
10/31/2017	ROSS, DAVID	DELAWARE HIGH YIELD OPP A	0.00	26.89	0.00	26.89	95.0	25.55
10/31/2017	KAPELN, J	DELAWARE TAX FREE USA A	0.00	9.21	0.00	9.21	95.0	8.75
10/31/2017	PAPPAS, JANE	DELAWARE VALUE A	0.00	6.77	0.00	6.77	95.0	6.43
10/31/2017	PAPPAS, LARRY	DELAWARE VALUE A	0.00	34.41	0.00	34.41	95.0	32.69
* PETER JOHNSON SUBTOTAL *			\$0.00	\$77.28	\$0.00	\$77.28		\$73.42
*** SPLIT TRANSACTIONS TOTAL ***			\$91,233.26	\$2,121.39	\$71.00	\$2,050.39		\$1,947.88

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: FRANK DUNN #7</u>								
10/24/2017	MCNICOL, JAMES	HPI FUND V	25,000.00	1,500.00	0.00	1,500.00	90.0	75.00
* FRANK DUNN SUBTOTAL *			\$25,000.00	\$1,500.00	\$0.00	\$1,500.00		\$75.00
<u>BROKER: ALEX KOWALSKI #17</u>								
11/01/2017	MEDALLION PROPERTIES	EXCHANGERIGHT NET LEASE PF 17 DST	70,564.90	4,939.54	0.00	4,939.54	85.0	246.98
* ALEX KOWALSKI SUBTOTAL *			\$70,564.90	\$4,939.54	\$0.00	\$4,939.54		\$246.98
<u>BROKER: THOMAS SCHNEIDER #30</u>								
10/30/2017	SCHECK, VICTORIA	SBL ADVISOR PROGRAM	14,420.20	3.00	0.00	3.00	0.0	2.85
10/30/2017	KELLER, MICHELLE	SBL ADVISOR PROGRAM	28,272.91	5.89	0.00	5.89	0.0	5.60
10/30/2017	YOUNG, MARCIA	SBL ADVISOR PROGRAM	24,778.91	5.16	0.00	5.16	0.0	4.90
10/30/2017	FULTON, JOHN	SBL ADVISORDESIGNS VA	8,511.31	1.78	0.00	1.78	0.0	1.69
10/30/2017	DEFRANCESCO, MARY	SBL ADVISORDESIGNS VA	8,080.75	1.68	0.00	1.68	0.0	1.60
10/30/2017	MCCRANN, JAMES	SBL ADVISORDESIGNS VA	6,207.88	1.30	0.00	1.30	0.0	1.24
10/30/2017	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,900.17	1.64	0.00	1.64	0.0	1.56
10/30/2017	HARTONG, DEAN	SBL ADVISORDESIGNS VA	13,046.61	2.72	0.00	2.72	0.0	2.58
10/30/2017	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,704.28	0.77	0.00	0.77	0.0	0.73
10/30/2017	BABIN, WILLIAM	SBL ADVISORDESIGNS VA	135,063.82	28.14	0.00	28.14	0.0	26.73
10/30/2017	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,455.00	1.35	0.00	1.35	0.0	1.28
10/30/2017	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,955.40	1.45	0.00	1.45	0.0	1.38
10/30/2017	DEFRANCESCO, STEVEN	SBL ADVISORDESIGNS VA	8,023.73	1.68	0.00	1.68	0.0	1.60
10/30/2017	KUBAN, JOANNE	SBL ADVISORDESIGNS VA	21,576.07	4.49	0.00	4.49	0.0	4.27
10/30/2017	GREGORY, CAROL	SBL ADVISORDESIGNS VA	27,705.86	5.77	0.00	5.77	0.0	5.48
10/30/2017	HAWK, GLENN	SBL ADVISORDESIGNS VA	5,867.53	1.22	0.00	1.22	0.0	1.16
10/30/2017	GREGORY, RICHARD	SBL ADVISORDESIGNS VA	8,526.20	1.78	0.00	1.78	0.0	1.69
10/30/2017	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,337.07	0.69	0.00	0.69	0.0	0.66
10/30/2017	LITTRELL, REX	SBL ADVISORDESIGNS VA	6,717.57	1.40	0.00	1.40	0.0	1.33
10/30/2017	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,680.95	1.60	0.00	1.60	0.0	1.52
* THOMAS SCHNEIDER SUBTOTAL *			\$352,832.22	\$73.51	\$0.00	\$73.51		\$69.85
*** OVERRIDE TRANSACTIONS TOTAL ***			\$448,397.12	\$6,513.05	\$0.00	\$6,513.05		\$391.83

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$9,231.07
Split Transactions	\$1,947.88
Override Transactions	\$391.83
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$11,570.78
Year-to-date Gross	\$394,884.77
Year-to-date Earnings	\$381,063.45