



COMMISSION STATEMENT

May 14, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/04/2018	***-**-0237 - RAMSEY, WILLIAM	LIFESTYLE MODERATE PORTFOLIO A	518.00	18.00	18.00	0.00	70.0	0.00
	ACCOUNT #: LSR105937	SELL 38 Units @13.6600						
		* MUTUAL FUNDS SUBTOTAL *	\$518.00	\$18.00	\$18.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
05/10/2018	***-**-60IN - HUNGERFORD,	NATIONWIDE YOURLIFE PROTECTION VUL	150.00	1.50	0.00	1.50	70.0	1.05
	ACCOUNT #: N550070100	BUY 1 Units @150.0000						
05/10/2018	***-**-60IN - HUNGERFORD,	NATIONWIDE YOURLIFE PROTECTION VUL	150.00	1.50	0.00	1.50	70.0	1.05
	ACCOUNT #: N550070100	BUY 1 Units @150.0000						
		* LIFE INSURANCE SUBTOTAL *	\$300.00	\$3.00	\$0.00	\$3.00		\$2.10
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/07/2018	***-**-61UT - FREELAND,	FEDERATED KAUFMANN FUND	0.00	3.47	0.00	3.47	70.0	2.43
	ACCOUNT #: 00009937552	BUY 0 Units @0.0000						
05/14/2018	***-**-5594 - BISHOP, SHANNON	MASS INVESTORS GROWTH STOCK FUND-A	0.00	1.02	0.00	1.02	70.0	0.71
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
05/14/2018	***-**-0737 - SCHROEDER, JACK	MFS DIVERSIFIED INCOME FD CL A	0.00	11.43	0.00	11.43	70.0	8.00
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
05/14/2018	***-**-514I - BERGIN, JOSEPH	MFS EMERGING MARKETS DEBT FUND-A	0.00	0.78	0.00	0.78	70.0	0.55
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
05/14/2018	***-**-0737 - SCHROEDER, JACK	MFS EMERGING MARKETS DEBT FUND-A	0.00	3.18	0.00	3.18	70.0	2.23
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
05/14/2018	***-**-5712 - RAMSEY, GUSSIE	MFS EMERGING MARKETS DEBT FUND-A	0.00	1.29	0.00	1.29	70.0	0.90
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
05/14/2018	***-**-184I - SPORRER, SHIRLEY	NATIONWIDE INVESTOR DESTIN CONSERV	0.00	3.33	0.00	3.33	70.0	2.33
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
05/14/2018	***-**-0400 - SPORRER, JERALD	NATIONWIDE INVESTOR DESTIN CONSERV	0.00	0.97	0.00	0.97	70.0	0.68
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$25.47	\$0.00	\$25.47		\$17.83



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
05/14/2018	***-**-0235 - RKS, PLUMBING & JOHN HANCOCK VA TRAILS		0.00	897.49	0.00	897.49	70.0	628.24
	ACCOUNT #: 26983	BUY 1 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$897.49	\$0.00	\$897.49		\$628.24
		*** BROKER TRANSACTIONS TOTAL ***	\$818.00	\$943.96	\$18.00	\$925.96		\$648.17

BROKER COMMISSION TOTALS

Broker Transactions	\$648.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$648.17
Year-to-date Earnings	\$30,339.14