

**COMMISSION STATEMENT****October 15, 2017**

THOMAS JAHNCKE
2050 Main Street
Suite 650
Irvine, CA 92614

BROKER #: J124 Terminated 08/05/2016
BRANCH: THOMAS JAHNCKE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
09/11/2017	BRINSER, LISA	ALLIANCEBERNSTEIN GROWTH A	0.00	1.73	0.00	1.73	0.0	0.00
09/11/2017	BRINSER, LISA	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	1.30	0.00	1.30	0.0	0.00
09/15/2017	JAHNCKE, JUDY	ALLIANZGI NFJ MID- CAP VALUE FUND C	0.00	1.37	0.00	1.37	0.0	0.00
09/18/2017	LOTT FAMILY TRUST	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.25	0.00	1.25	0.0	0.00
09/18/2017	LOTT FAMILY TRUST	AM FUNDS CAPITAL WORLD BOND A	0.00	2.06	0.00	2.06	0.0	0.00
09/18/2017	RUMBLE TRUST, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.23	0.00	5.23	0.0	0.00
09/18/2017	RUMBLE TRUST, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	3.17	0.00	3.17	0.0	0.00
09/18/2017	RUMBLE TRUST, JUDITH	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.04	0.00	8.04	0.0	0.00
09/18/2017	LOTT FAMILY TRUST	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.35	0.00	1.35	0.0	0.00
09/20/2017	BRINSER, LISA	CALAMOS GLOBAL GROWTH & INCOME C	0.00	5.32	0.00	5.32	0.0	0.00
09/20/2017	JAHNCKE, JUDY	CALAMOS GROWTH A	0.00	3.87	0.00	3.87	0.0	0.00
09/20/2017	RUMBLE TRUST, JUDITH	CALAMOS GROWTH C	0.00	39.85	0.00	39.85	0.0	0.00
09/13/2017	JAHNCKE, JUDY	DREYFUS RESEARCH GROWTH FUND CLASS	0.00	0.66	0.00	0.66	0.0	0.00
09/22/2017	JAHNCKE, JUDY	EAGLE CAPITAL APPRECIATION FUND CLA	0.00	2.11	0.00	2.11	0.0	0.00
09/18/2017	JAHNCKE TRUST, JUDY	EATON VANCE FLOATING-RATE ADVANTAGE	0.00	7.84	0.00	7.84	0.0	0.00
09/20/2017	RUMBLE TRUST, JUDITH	FEDERATED EQUITY INCOME FUND CLASS	0.00	20.15	0.00	20.15	0.0	0.00
09/14/2017	RUMBLE TRUST, JUDITH	FORWARD REAL ESTATE LONG/SHORT FUND	0.00	1.67	0.00	1.67	0.0	0.00
09/14/2017	JAHNCKE TRUST, JUDY	FORWARD SELECT INCOME FUND CLASS C	0.00	14.11	0.00	14.11	0.0	0.00
09/14/2017	RUMBLE TRUST, JUDITH	FORWARD SELECT INCOME FUND CLASS C	0.00	23.44	0.00	23.44	0.0	0.00
09/20/2017	RUMBLE TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.13	0.00	1.13	0.0	0.00
09/20/2017	RUMBLE TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.40	0.00	3.40	0.0	0.00
09/19/2017	JAHNCKE TRUST, JUDY	MAINSTAY HIGH YIELD CORP BOND A	0.00	0.07	0.00	0.07	0.0	0.00
09/19/2017	JAHNCKE, JUDY	PUTNAM INVESTORS A	0.00	0.55	0.00	0.55	0.0	0.00
09/18/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	0.22	0.00	0.22	0.0	0.00
09/18/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	0.67	0.00	0.67	0.0	0.00
09/29/2017	RUMBLE TRUST, JUDITH	SIERRA CORE RETIREMENT FUND CLASS C	0.00	9.14	0.00	9.14	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/14/2017	BRINSER, LISA	TEMPLETON FOREIGN FUND C	0.00	3.33	0.00	3.33	0.0	0.00
09/26/2017	JAHNCKE TRUST, JUDY	THORNBURG INT'L VALUE A	0.00	10.83	0.00	10.83	0.0	0.00
09/26/2017	BRINSER, LISA	THORNBURG INVESTMENT INCOME BUILDER	0.00	2.21	0.00	2.21	0.0	0.00
09/26/2017	BRINSER, LISA	THORNBURG INVESTMENT INCOME BUILDER	0.00	4.79	0.00	4.79	0.0	0.00
09/26/2017	JAHNCKE, JUDY	THORNBURG INVESTMENT INCOME BUILDER	0.00	1.40	0.00	1.40	0.0	0.00
09/26/2017	JAHNCKE, JUDY	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.51	0.00	0.51	0.0	0.00
09/26/2017	JAHNCKE, JUDY	THORNBURG VALUE CL A	0.00	2.08	0.00	2.08	0.0	0.00
09/26/2017	BRINSER, LISA	THORNBURG VALUE CLASS C	0.00	2.47	0.00	2.47	0.0	0.00
09/26/2017	BRINSER, LISA	THORNBURG VALUE CLASS C	0.00	7.71	0.00	7.71	0.0	0.00
09/26/2017	JAHNCKE TRUST, JUDY	THORNBURG VALUE CLASS C	0.00	2.07	0.00	2.07	0.0	0.00
09/26/2017	JAHNCKE, JUDY	THORNBURG VALUE CLASS C	0.00	28.94	0.00	28.94	0.0	0.00
09/26/2017	RUMBLE TRUST, JUDITH	THORNBURG VALUE CLASS C	0.00	34.11	0.00	34.11	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$260.15	\$0.00	\$260.15		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$260.15	\$0.00	\$260.15		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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Balance Forward	\$0.00
Year-to-date Gross	\$2,146.29
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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