

All Companies  
**STATEMENT**  
August 20, 2018

RYLAN-TERMINATED ROZELL  
5060 California Ave # 620  
SUITE 620  
Bakersfield, CA 93309

BROKER #: 052  
BRANCH:

COMMISSION STATEMENT for RYLAN-TERMINATED ROZELL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
07/02/2018	#00089371893	TRAMMEL, LAU	AMERICAN FUNDS TARGET DATE RETIREME	80.00	4.00	90.0	0.00	3.60	3.60
07/25/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	25.19	0.25	90.0	0.00	0.23	0.23
07/25/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	60.00	0.60	90.0	0.00	0.54	0.54
07/25/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	24.53	0.25	90.0	0.00	0.23	0.23
07/25/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	80.00	0.80	90.0	0.00	0.72	0.72
07/25/2018	#00085384248	MCGILL, JUSTIN	AMERICAN FUNDS 02630R559	150.00	7.50	90.0	0.00	6.75	6.75
07/25/2018	#00085384248	MCGILL, JUSTIN	AMERICAN MUTUAL FUND-529A	100.00	5.00	90.0	0.00	4.50	4.50
07/25/2018	#00085384248	MCGILL, JUSTIN	THE BOND FUND OF AMERICA-529A	100.00	3.00	90.0	0.00	2.70	2.70
07/25/2018	#00085384248	MCGILL, JUSTIN	THE INCOME FUND OF AMERICA-529A	100.00	5.00	90.0	0.00	4.50	4.50
07/25/2018	#00085384248	MCGILL, JUSTIN	THE NEW ECONOMY FUND-529A	150.00	7.50	90.0	0.00	6.75	6.75
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$869.72</b>	<b>\$33.90</b>		<b>\$0.00</b>	<b>\$30.52</b>	<b>\$0.00</b>	<b>\$30.52</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
07/31/2018	#1005787234	WARREN, MARLENE	L SERIES	92,389.63	76.99	90.0	0.00	69.29	69.29
07/31/2018	#1005787234	WARREN, MARLENE	L SERIES	121,735.89	131.88	90.0	0.00	118.69	118.69
07/31/2018	#009243506A	WATKINS, ERNEST	L SERIES	13,121.80	14.22	90.0	0.00	12.80	12.80
07/31/2018	#009220608A	O DONNELL, JOHN	PRSP II-1004RPL	98,879.45	82.40	90.0	0.00	74.16	74.16
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$326,126.77</b>	<b>\$305.49</b>		<b>\$0.00</b>	<b>\$274.94</b>	<b>\$0.00</b>	<b>\$274.94</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
06/30/2018	#01980151129	TRUST,	DIVERSIFIED FUND CLASS R	0.00	0.59	90.0	0.00	0.53	0.53
06/30/2018	#01980153263	VARIOUS HOUSE	DIVERSIFIED FUND CLASS R	0.00	1.32	90.0	0.00	1.19	1.19
06/30/2018	#01980166122	VARIOUS HOUSE	DIVERSIFIED FUND CLASS R	0.00	0.10	90.0	0.00	0.09	0.09
07/01/2018	#00090011355	TRUST,	LIFETIME 2030	0.00	31.10	90.0	0.00	27.99	27.99
07/01/2018	#00090006440	TRUST,	MFS 55275E333	0.00	0.46	90.0	0.00	0.41	0.41
07/01/2018	#00090002800	TRUST,	MFS 55279J569	0.00	0.30	90.0	0.00	0.27	0.27
07/16/2018	#00777115467	VARIOUS HOUSE	JH LARGE CAP EQUITY FD CL A	0.00	7.33	90.0	0.00	6.60	6.60
07/16/2018	#00777098436	VARIOUS HOUSE	JOHN HANCOCK GOVERNMENT INCOME FUND	0.00	2.56	90.0	0.00	2.30	2.30

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		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$43.76		\$0.00	\$39.38	\$0.00	\$39.38
		*** BROKER TRANSACTIONS TOTAL ***	\$326,996.49	\$383.15		\$0.00	\$344.84	\$0.00	\$344.84

BROKER COMMISSION TOTALS

Broker Transactions	\$344.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$344.84
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