

STATEMENT

March 20, 2018

STEVE DAVENPORT
204-B E Washington St
SUITE 620
Glasgow, KY 42141

BROKER #: 051
BRANCH:

COMMISSION STATEMENT for STEVE DAVENPORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/30/2018 #	BRUTON, WENDELL	BOEING CO	101,387.97	50.00	90.0	0.00	45.00	18.00	27.00
01/30/2018 #	BRUTON, WENDELL	UNITED STATES STL CORP NEW	19,423.50	50.00	90.0	0.00	45.00	18.00	27.00
01/31/2018 #	BRUTON, WENDELL	BOEING CO	107,474.46	50.00	90.0	0.00	45.00	18.00	27.00
01/31/2018 #	BRUTON, WENDELL	MICROSOFT CORP	47,161.98	50.00	90.0	0.00	45.00	18.00	27.00
01/31/2018 #	DAVENPORT, JAMES	SAGE THERAPEUTICS INC	57,705.99	50.00	90.0	0.00	45.00	18.00	27.00
02/01/2018 #	DAVENPORT, JAMES	RH	92,800.00	50.00	90.0	0.00	45.00	18.00	27.00
02/01/2018 #	DAVENPORT, JAMES	SAGE THERAPEUTICS INC	115,800.00	50.00	90.0	0.00	45.00	18.00	27.00
02/02/2018 #	UST, THE PERKINS FAM	APPLE INC	80,225.00	50.00	90.0	0.00	45.00	18.00	27.00
02/02/2018 #	BASIL, DANNY	CELGENE CORP	63,000.00	50.00	90.0	0.00	45.00	18.00	27.00
02/02/2018 #	DAVENPORT, JAMES	CELGENE CORP	120,000.00	50.00	90.0	0.00	45.00	18.00	27.00
02/02/2018 #	BRUTON, WENDELL	CREDIT SUISSE NASSAU BRH VELOCITYSH	35,415.66	50.00	90.0	0.00	45.00	18.00	27.00
02/02/2018 #	BASIL, MARLA	LOUISIANA PACIFIC CORP	14,335.03	50.00	90.0	0.00	45.00	18.00	27.00
02/05/2018 #	DAVENPORT, JAMES	CELGENE CORP	96,482.00	50.00	90.0	0.00	45.00	18.00	27.00
02/08/2018 #	UST, THE PERKINS FAM	DEERE & CO	68,000.00	420.00	90.0	0.00	378.00	18.00	360.00
02/08/2018 #	UST, THE PERKINS FAM	DEERE & CO	-68,000.00	-420.00	90.0	0.00	-378.00	18.00	-396.00
02/08/2018 #	UST, THE PERKINS FAM	DEERE & CO	68,000.00	50.00	90.0	0.00	45.00	18.00	27.00
02/09/2018 #	DAVENPORT, JAMES	CELGENE CORP	202,144.00	49.99	90.0	0.00	44.99	18.00	26.99
02/09/2018 #	UST, THE PERKINS FAM	DEERE & CO	17,000.00	20.00	90.0	0.00	18.00	18.00	0.00
02/09/2018 #	DAVENPORT, JAMES	RITE AID CORP	20,000.00	50.00	90.0	0.00	45.00	18.00	27.00
02/09/2018 #	UST, THE PERKINS FAM	UNITED STATES STL CORP NEW	70,000.00	50.00	90.0	0.00	45.00	18.00	27.00
02/16/2018 #	UST, THE PERKINS FAM	CATERPILLAR INC	31,355.84	50.00	90.0	0.00	45.00	18.00	27.00
02/20/2018 #	BRUTON, WENDELL	CRACKER BARREL OLD COUNTRY STORE IN	48,706.89	50.00	90.0	0.00	45.00	18.00	27.00
02/21/2018 #	CAUDEL, CHARLES	RITE AID CORP	4,300.00	75.00	90.0	0.00	67.50	18.00	49.50
02/26/2018 #	DAVENPORT, JAMES	CATERPILLAR INC	162,750.00	50.00	90.0	0.00	45.00	18.00	27.00
* STOCKS SUBTOTAL *			\$1,575,468.32	\$1,094.99		\$0.00	\$985.49	\$432.00	\$553.49

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
02/20/2018 #	CAUDEL, CHARLES	HERTZ CORP SR NT 6.25%22	24,437.50	500.00	90.0	0.00	450.00	25.00	425.00
02/20/2018 #	CAUDEL, CHARLES	LEUCADIA NATL CORP	23,320.00	330.00	90.0	0.00	297.00	25.00	272.00
* BONDS SUBTOTAL *			\$47,757.50	\$830.00		\$0.00	\$747.00	\$50.00	\$697.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/31/2018 #	UST, THE PERKINS FAM	CALL PYPL 02/02/18 90	1,140.00	75.00	90.0	0.00	67.50	36.75	30.75
02/01/2018 #	UST, THE PERKINS FAM	CALL FB 04/20/18 205	1,350.00	50.00	90.0	0.00	45.00	21.75	23.25
02/02/2018 #	DAVENPORT, JAMES	PUT X 02/09/18 35	1,350.00	75.00	90.0	0.00	67.50	36.75	30.75
02/02/2018 #	UST, THE PERKINS FAM	PUT X 02/09/18 35	1,700.00	75.00	90.0	0.00	67.50	43.00	24.50
02/05/2018 #	UST, THE PERKINS FAM	CALL AAPL 04/20/18 175	1,489.00	50.00	90.0	0.00	45.00	24.25	20.75
02/05/2018 #	DAVENPORT, JAMES	CALL CELG 02/09/18 99	1,100.00	0.75	90.0	0.00	0.67	45.50	-44.83
02/06/2018 #	DAVENPORT, JAMES	CALL X 02/09/18 36	825.00	60.00	90.0	0.00	54.00	36.75	17.25
02/07/2018 #	BASIL, DANNY	CALL CELG 02/09/18 99	300.00	40.00	90.0	0.00	36.00	25.50	10.50
02/08/2018 #	DAVENPORT, JAMES	CALL CELG 02/09/18 95	660.00	75.00	90.0	0.00	67.50	45.50	22.00
02/09/2018 #	DAVENPORT, JAMES	PUT X 02/09/18 35	1,350.00	60.00	90.0	0.00	54.00	36.75	17.25
02/12/2018 #	BASIL, DANNY	CALL CELG 01/18/19 95	7,320.00	50.00	90.0	0.00	45.00	25.50	19.50
02/12/2018 #	UST, THE PERKINS FAM	CALL X 03/16/18 40	1,560.00	75.00	90.0	0.00	67.50	43.00	24.50
02/12/2018 #	DAVENPORT, JAMES	PUT CELG 02/16/18 92	3,500.00	75.00	90.0	0.00	67.50	43.00	24.50
02/13/2018 #	DAVENPORT, JAMES	CALL CELG 02/16/18 94	1,000.00	75.00	90.0	0.00	67.50	43.00	24.50
02/14/2018 #	DAVENPORT, JAMES	CALL RH 02/16/18 95	1,345.00	50.00	90.0	0.00	45.00	24.25	20.75
02/15/2018 #	DAVENPORT, JAMES	CALL RH 02/16/18 93	750.00	50.00	90.0	0.00	45.00	24.25	20.75
02/16/2018 #	DAVENPORT, JAMES	CALL CELG 02/16/18 94	4,000.00	75.00	90.0	0.00	67.50	43.00	24.50
02/16/2018 #	DAVENPORT, JAMES	CALL RH 02/16/18 93	300.00	50.00	90.0	0.00	45.00	24.25	20.75
02/20/2018 #	DAVENPORT, JAMES	CALL X 02/23/18 45	2,400.00	75.00	90.0	0.00	67.50	43.00	24.50
02/20/2018 #	DAVENPORT, JAMES	PUT CELG 02/23/18 95	2,600.00	75.00	90.0	0.00	67.50	43.00	24.50
02/21/2018 #	DAVENPORT, JAMES	CALL CELG 02/23/18 96	700.00	75.00	90.0	0.00	67.50	43.00	24.50
02/23/2018 #	DAVENPORT, JAMES	PUT CELG 02/23/18 95	1,000.00	75.00	90.0	0.00	67.50	43.00	24.50
02/26/2018 #	DAVENPORT, JAMES	PUT CAT 03/02/18 160	950.00	50.00	90.0	0.00	45.00	30.50	14.50
02/27/2018 #	DAVENPORT, JAMES	PUT CAT 03/02/18 162.50	500.00	50.00	90.0	0.00	45.00	24.25	20.75
* OPTIONS SUBTOTAL *			\$39,189.00	\$1,460.75		\$0.00	\$1,314.67	\$849.50	\$465.17
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018 #	ANDERSON, JOHN	DAIN TRAILER	0.00	2.89	90.0	0.00	2.60	0.00	2.60
02/09/2018 #	ANDERSON, JOHN	DAIN TRAILER	0.00	3.78	90.0	0.00	3.40	0.00	3.40
02/09/2018 #	ANDERSON, JOHN	DAIN TRAILER	0.00	4.52	90.0	0.00	4.07	0.00	4.07
02/09/2018 #	ANDERSON, JOHN	DAIN TRAILER	0.00	5.89	90.0	0.00	5.30	0.00	5.30

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
02/09/2018 #	ANDERSON, JOHN	DAIN TRAILER	0.00	5.40	90.0	0.00	4.86	0.00	4.86
02/09/2018 #	ANDERSON, RUTH	DAIN TRAILER	0.00	7.16	90.0	0.00	6.44	0.00	6.44
02/09/2018 #	ANDERSON, RUTH	DAIN TRAILER	0.00	6.55	90.0	0.00	5.90	0.00	5.90
02/09/2018 #	ANDERSON, RUTH	DAIN TRAILER	0.00	9.18	90.0	0.00	8.26	0.00	8.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$45.37		\$0.00	\$40.83	\$0.00	\$40.83
*** BROKER TRANSACTIONS TOTAL ***			\$1,662,414.82	\$3,431.11		\$0.00	\$3,087.95	\$1,331.50	\$1,756.49

ADJUSTMENTS

03/20/2018	ADMIN FEE: ADMIN FEE	-289.00
03/20/2018	E & O INSURANCE: E & O INSURANCE	-249.00
03/20/2018	QUOTES: QUOTES	-132.00
*** ADJUSTMENTS TOTAL ***		\$-670.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,756.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-670.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,086.49
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