BROKER

Page No.

All Companies

R.F. Lafferty & Co., Inc.

**COMMISSION STATEMENT** 

June 30, 2018

CHARLES LAWRENCE

10729 NORTHRIDGE COURT

TRINITY, FL 34655

### BROKER #: A02 T02

GROSS

BRANCH: FULCRUM GROUP

CLEARING

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## COMMISSION STATEMENT for CHARLES LAWRENCE

INVESTMENT

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
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PRODUCT CAT	TEGORY: STOCKS								
05/30/2018 7	5501981 LAWRENCE, VICTOR	RIA GARTNER INC	S	13,239.70	0.00	0.00	0.00	60.0	0.00
06/14/2018 7	5501981 LAWRENCE, VICTOR	RIA COHERUS BIOSCIENCES INC COM	В	13,027.50	0.00	0.00	0.00	60.0	0.00
06/18/2018 7	5501981 LAWRENCE, VICTOR	RIA BLUEBIRD BIO INC COM	В	17,515.00	0.00	0.00	0.00	60.0	0.00
06/19/2018 7	5501981 LAWRENCE, VICTOR	RIA MARATHON OIL CORP	S	10,272.00	0.00	0.00	0.00	60.0	0.00
06/20/2018 7	5501981 LAWRENCE, VICTOR	RIA WALT DISNEY CO	S	10,720.00	0.00	0.00	0.00	60.0	0.00
06/21/2018 7	5501981 LAWRENCE, VICTOR	RIA OPKO HEALTH INC	В	11,215.00	0.00	0.00	0.00	60.0	0.00
06/25/2018 7	5501981 LAWRENCE, VICTOR	RIA BLUEBIRD BIO INC COM	В	8,178.75	0.00	0.00	0.00	60.0	0.00
05/30/2018 7	5501986 LAWRENCE, CHRIST	TOPHER GARTNER INC	S	6,617.50	0.00	0.00	0.00	60.0	0.00
05/31/2018 7	5501986 LAWRENCE, CHRIST	TOPHER OPKO HEALTH INC	В	5,121.76	0.00	0.00	0.00	60.0	0.00
06/11/2018 7	5501987 SCHWARTZ, MARIL	YN MAZOR ROBOTICS LTD SPONSORED ADS	S	7,637.50	55.00	0.00	55.00	60.0	33.00
06/11/2018 7	5501987 SCHWARTZ, MARIL	YN NEKTAR THERAPEUTICS COM	В	13,209.10	55.00	0.00	55.00	60.0	33.00
06/11/2018 7	5501987 SCHWARTZ, MARIL	YN PAYPAL HOLDINGS INC COM	S	18,922.50	55.00	0.00	55.00	60.0	33.00
05/30/2018 7	5501988 LAWRENCE, CHRIST	TOPHER OPKO HEALTH INC	S	5,181.60	0.00	0.00	0.00	60.0	0.00
06/11/2018 7	5501989 BINES, BURTON	NEKTAR THERAPEUTICS COM	В	19,401.98	0.00	0.00	0.00	60.0	0.00
06/12/2018 7	5501989 BINES, BURTON	NEKTAR THERAPEUTICS COM	В	20,103.60	0.00	0.00	0.00	60.0	0.00
06/15/2018 7	5501989 BINES, BURTON	UNIVERSAL DISPLAY CORPORATION	В	22,818.75	0.00	0.00	0.00	60.0	0.00
05/30/2018 7	5501990 LAWRENCE, JEFFRI	EY GARTNER INC	S	2,647.20	0.00	0.00	0.00	60.0	0.00
06/12/2018 7	5501990 LAWRENCE, JEFFRI	EY LENNAR CORP CL A	S	2,703.63	0.00	0.00	0.00	60.0	0.00
06/14/2018 7	5501990 LAWRENCE, JEFFRE	EY COHERUS BIOSCIENCES INC COM	В	4,635.00	0.00	0.00	0.00	60.0	0.00
06/12/2018 7	5501991 NSH 81 LLC	ATHENE HLDG LTD CL A ISIN# BMG068	4D S	10,245.38	0.00	0.00	0.00	60.0	0.00
06/12/2018 7	5501991 NSH 81 LLC	NEKTAR THERAPEUTICS COM	В	12,357.85	0.00	0.00	0.00	60.0	0.00
06/12/2018 7	5501991 NSH 81 LLC	NUANCE COMMUNICATIONS INC	S	10,487.25	0.00	0.00	0.00	60.0	0.00
06/12/2018 7	5501992 THE BARBARA & NI	EAL NEKTAR THERAPEUTICS COM	В	5,381.96	0.00	0.00	0.00	60.0	0.00
06/12/2018 7	5501992 THE BARBARA & NI	EAL NUANCE COMMUNICATIONS INC	S	4,195.02	0.00	0.00	0.00	60.0	0.00
05/30/2018 7	5501999 LAWRENCE, CHARLI	SAREPTA THERAPEUTICS INC COM	S	19,866.00	100.00	0.00	100.00	60.0	60.00
05/30/2018 7	5501999 LAWRENCE, CHARLI	ES TWILIO INC CL A	S	14,872.00	55.00	0.00	55.00	60.0	33.00
05/31/2018 7	5501999 LAWRENCE, CHARLI	ES COHERUS BIOSCIENCES INC COM	В	8,722.00	100.00	0.00	100.00	60.0	60.00
06/01/2018 7	5501999 LAWRENCE, CHARLI	ES NINTENDO CO LTD-ADR NEW	В	11,283.75	100.00	0.00	100.00	60.0	60.00
06/11/2018 7	5501999 LAWRENCE, CHARLI	ES ARRAY BIOPHARMA INC	В	10,126.80	100.00	0.00	100.00	60.0	60.00
06/13/2018 7	5501999 LAWRENCE, CHARLI	ES COHERUS BIOSCIENCES INC COM	В	9,002.00	100.00	0.00	100.00	60.0	60.00
06/13/2018 7	5501999 LAWRENCE, CHARLI	ES LOXO ONCOLOGY INC COM	S	16,276.50	55.00	0.00	55.00	60.0	33.00

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# COMMISSION STATEMENT for CHARLES LAWRENCE

INVESTMENT

TRADE DATE

CLIENT

	BROKER TR	ANSA	CTIONS					
PRODUCT CATEGORY: STOCKS								
06/13/2018 75501999 LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	В	10,516.00	100.00	0.00	100.00	60.0	60.00
06/18/2018 75501999 LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	S	11,332.01	55.00	0.00	55.00	60.0	33.0
06/19/2018 75501999 LAWRENCE, CHARLES	DROPBOX INC CL A COM	В	12,844.72	125.00	0.00	125.00	60.0	75.0
06/21/2018 75501999 LAWRENCE, CHARLES	LOXO ONCOLOGY INC COM	S	9,245.00	55.00	0.00	55.00	60.0	33.0
06/26/2018 75501999 LAWRENCE, CHARLES	ARRAY BIOPHARMA INC	S	11,114.54	55.00	0.00	55.00	60.0	33.0
06/27/2018 75501999 LAWRENCE, CHARLES	NEKTAR THERAPEUTICS COM	В	12,768.20	125.00	0.00	125.00	60.0	75.0
05/30/2018 75502001 LAWRENCE, CHARLES	ENPHASE ENERGY INC	S	6,983.20	55.00	0.00	55.00	60.0	33.0
05/30/2018 75502001 LAWRENCE, CHARLES	HERTZ GLOBAL HLDGS INC NEW COM	S	8,631.28	51.00	0.00	51.00	60.0	30.6
05/30/2018 75502001 LAWRENCE, CHARLES	NINTENDO CO LTD-ADR NEW	S	14,310.80	75.00	0.00	75.00	60.0	45.0
05/31/2018 75502001 LAWRENCE, CHARLES	MICRON TECHNOLOGY INC	В	12,528.58	125.00	0.00	125.00	60.0	75.0
05/31/2018 75502001 LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	В	20,223.48	150.00	0.00	150.00	60.0	90.0
05/31/2018 75502001 LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	S	21,406.00	100.00	0.00	100.00	60.0	60.0
06/01/2018 75502001 LAWRENCE, CHARLES	COHERUS BIOSCIENCES INC COM	В	9,019.00	100.00	0.00	100.00	60.0	60.0
06/01/2018 75502001 LAWRENCE, CHARLES	NINTENDO CO LTD-ADR NEW	В	13,636.20	150.00	0.00	150.00	60.0	90.0
06/08/2018 75502001 LAWRENCE, CHARLES	MATTEL INCORPORATED	S	9,408.00	55.00	0.00	55.00	60.0	33.0
06/11/2018 75502001 LAWRENCE, CHARLES	ARRAY BIOPHARMA INC	В	10,225.40	100.00	0.00	100.00	60.0	60.0
06/11/2018 75502001 LAWRENCE, CHARLES	ENPHASE ENERGY INC	В	7,095.60	75.00	0.00	75.00	60.0	45.0
06/11/2018 75502001 LAWRENCE, CHARLES	MATTEL INCORPORATED	S	9,744.00	55.00	0.00	55.00	60.0	33.0
06/11/2018 75502001 LAWRENCE, CHARLES	NINTENDO CO LTD-ADR NEW	В	13,035.00	125.00	0.00	125.00	60.0	75.0
06/11/2018 75502001 LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	S	21,242.00	100.00	0.00	100.00	60.0	60.0
06/12/2018 75502001 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	11,076.00	55.00	0.00	55.00	60.0	33.0
06/12/2018 75502001 LAWRENCE, CHARLES	ENPHASE ENERGY INC	В	6,600.80	65.00	0.00	65.00	60.0	39.0
06/12/2018 75502001 LAWRENCE, CHARLES	MATTEL INCORPORATED	S	9,868.88	55.00	0.00	55.00	60.0	33.0
06/12/2018 75502001 LAWRENCE, CHARLES	OPKO HEALTH INC	В	5,528.32	55.00	0.00	55.00	60.0	33.0
06/13/2018 75502001 LAWRENCE, CHARLES	ARRAY BIOPHARMA INC	В	10,579.20	100.00	0.00	100.00	60.0	60.0
06/13/2018 75502001 LAWRENCE, CHARLES	FREEPORT MCMORAN INC	S	10,001.60	55.00	0.00	55.00	60.0	33.0
06/13/2018 75502001 LAWRENCE, CHARLES	MICRON TECHNOLOGY INC	S	12,713.40	55.00	0.00	55.00	60.0	33.0
06/13/2018 75502001 LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	В	10,668.89	100.00	0.00	100.00	60.0	60.0
06/14/2018 75502001 LAWRENCE, CHARLES	ARCUS BIOSCIENCES INC COMMON STOCK	В	10,983.70	100.00	0.00	100.00	60.0	60.0
06/14/2018 75502001 LAWRENCE, CHARLES	REGENERON PHARMACEUTICALS INC	S	25,306.41	125.00	0.00	125.00	60.0	75.0
06/15/2018 75502001 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	В	13,785.00	125.00	0.00	125.00	60.0	75.0
06/18/2018 75502001 LAWRENCE, CHARLES	DROPBOX INC CL A COM	В	11,489.23	100.00	0.00	100.00	60.0	60.0
06/18/2018 75502001 LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	S	11,436.71	55.00	0.00	55.00	60.0	33.0
06/19/2018 75502001 LAWRENCE, CHARLES	COHERUS BIOSCIENCES INC COM	S	9,338.00	55.00	0.00	55.00	60.0	33.0
06/19/2018 75502001 LAWRENCE, CHARLES	COVIA HLDGS CORP COM	В	9,360.54	100.00	0.00	100.00	60.0	60.0
06/19/2018 75502001 LAWRENCE, CHARLES	DROPBOX INC CL A COM	В	11,094.85	125.00	0.00	125.00	60.0	75.0
06/19/2018 75502001 LAWRENCE, CHARLES	ENPHASE ENERGY INC	S	9,022.80	55.00	0.00	55.00	60.0	33.0
06/20/2018 75502001 LAWRENCE, CHARLES	ENPHASE ENERGY INC	S	9,412.80	55.00	0.00	55.00	60.0	33.0
06/20/2018 75502001 LAWRENCE, CHARLES	GRUBHUB INC COM	В	14,199.10	125.00	0.00	125.00	60.0	75.00
06/20/2018 75502001 LAWRENCE, CHARLES	LOXO ONCOLOGY INC COM	В	13,471.49	125.00	0.00	125.00	60.0	75.00

INVESTMENT

AMOUNT

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### COMMISSION STATEMENT for CHARLES LAWRENCE

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TRADE DATE	CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRAN	SACTIONS					
PRODUCT CAT	EGORY: STOCKS							
06/21/2018 75	502001 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM S	14,070.00	75.00	0.00	75.00	60.0	45.00
6/21/2018 75	502001 LAWRENCE, CHARLES	NEKTAR THERAPEUTICS COM B	15,006.78	150.00	0.00	150.00	60.0	90.00
06/22/2018 75	502001 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM B	13,751.25	125.00	0.00	125.00	60.0	75.00
6/22/2018 75	502001 LAWRENCE, CHARLES	COHERUS BIOSCIENCES INC COM S	9,681.75	55.00	0.00	55.00	60.0	33.00
6/22/2018 75	502001 LAWRENCE, CHARLES	OPKO HEALTH INC S	23,188.46	125.00	0.00	125.00	60.0	75.00
6/25/2018 75	502001 LAWRENCE, CHARLES	COHERUS BIOSCIENCES INC COM B	8,960.33	100.00	0.00	100.00	60.0	60.00
6/26/2018 75	502001 LAWRENCE, CHARLES	ARRAY BIOPHARMA INC S	11,021.72	55.00	0.00	55.00	60.0	33.00
6/26/2018 75	502001 LAWRENCE, CHARLES	GRUBHUB INC COM B	12,912.50	125.00	0.00	125.00	60.0	75.00
06/27/2018 75	502001 LAWRENCE, CHARLES	ARRAY BIOPHARMA INC S	10,817.00	55.00	0.00	55.00	60.0	33.00
6/08/2018 75	502003 LAWRENCE, SARAH	MATTEL INCORPORATED S	9,408.00	55.00	0.00	55.00	60.0	33.00
6/15/2018 75	502003 LAWRENCE, SARAH	ENPHASE ENERGY INC B	9,429.28	75.00	0.00	75.00	60.0	45.00
6/21/2018 75	502003 LAWRENCE, SARAH	ENPHASE ENERGY INC S	9,827.60	55.00	0.00	55.00	60.0	33.00
6/25/2018 75	502003 LAWRENCE, SARAH	COHERUS BIOSCIENCES INC COM B	9,128.00	100.00	0.00	100.00	60.0	60.00
6/25/2018 75	502003 LAWRENCE, SARAH	COHERUS BIOSCIENCES INC COM B	3,333.00	55.00	0.00	55.00	60.0	33.00
6/11/2018 75	502005 SHEMIN, VICKI	WAL-MART STORES INC S	16,821.36	55.00	0.00	55.00	60.0	33.00
6/12/2018 75	502005 SHEMIN, VICKI	CHERRY HILL MTG INVT CORP COM B	36,510.40	55.00	0.00	55.00	60.0	33.00
6/13/2018 75	502005 SHEMIN, VICKI	DIGIMARC CORPORATION S	5,790.00	55.00	0.00	55.00	60.0	33.00
6/13/2018 75	502005 SHEMIN, VICKI	NIKE INC CL B S	11,175.42	55.00	0.00	55.00	60.0	33.00
6/13/2018 75	502005 SHEMIN, VICKI	OPKO HEALTH INC B	8,832.00	55.00	0.00	55.00	60.0	33.00
6/13/2018 75	502005 SHEMIN, VICKI	SOUTHERN NATL BANCORP VA INC COM S	8,603.75	55.00	0.00	55.00	60.0	33.00
6/13/2018 75	502005 SHEMIN, VICKI	USA COMPRESSION PARTNERS LP COM UNI B	16,473.00	55.00	0.00	55.00	60.0	33.00
6/14/2018 75	502005 SHEMIN, VICKI	BOEING CO S	36,042.08	55.00	0.00	55.00	60.0	33.00
6/14/2018 75	502005 SHEMIN, VICKI	PROPETRO HLDG CORP COM B	29,395.40	55.00	0.00	55.00	60.0	33.00
6/14/2018 75	502005 SHEMIN, VICKI	QTS RLTY TR INC COM CL A S	20,127.35	55.00	0.00	55.00	60.0	33.00
6/18/2018 75	502005 SHEMIN, VICKI	PROPETRO HLDG CORP COM S	30,920.00	55.00	0.00	55.00	60.0	33.00
6/19/2018 75	502005 SHEMIN, VICKI	AMERICAN HOMES 4 RENT CL A B	42,753.00	55.00	0.00	55.00	60.0	33.00
6/19/2018 75	502005 SHEMIN, VICKI	CELGENE CORP B	15,783.54	55.00	0.00	55.00	60.0	33.00
6/20/2018 75	502005 SHEMIN, VICKI	AMERICAN HOMES 4 RENT CL A B	15,259.09	55.00	0.00	55.00	60.0	33.00
6/20/2018 75	502005 SHEMIN, VICKI	USA COMPRESSION PARTNERS LP COM UNI S	8,565.65	55.00	0.00	55.00	60.0	33.00
6/26/2018 75	502005 SHEMIN, VICKI	USA COMPRESSION PARTNERS LP COM UNI S	8,446.00	55.00	0.00	55.00	60.0	33.00
5/30/2018 75	502006 SHEMIN, VICKI	CARVANA CO CL A S	28,000.00	55.00	0.00	55.00	60.0	33.00
6/13/2018 75	502006 SHEMIN, VICKI	OPKO HEALTH INC B	17,224.80	55.00	0.00	55.00	60.0	33.00
6/13/2018 75	502006 SHEMIN, VICKI	SOUTHERN NATL BANCORP VA INC COM S	8,602.45	55.00	0.00	55.00	60.0	33.00
6/18/2018 75	502006 SHEMIN, VICKI	MACK CALI RLTY CORP COM B	20,213.80	55.00	0.00		60.0	33.00
	502008 DEC, JOHN	INTERNATIONAL BUSINESS MACHINES COR S	7,343.00	0.00	0.00		60.0	0.00
	502008 DEC, JOHN	NEKTAR THERAPEUTICS COM B	15,600.66	0.00	0.00	0.00	60.0	0.00
	502010 KEETER, LANCE	INTERNATIONAL BUSINESS MACHINES COR S	7,345.50	0.00	0.00		60.0	0.00
	502010 KEETER, LANCE	NEKTAR THERAPEUTICS COM B	7,816.95	0.00	0.00		60.0	0.00
		ACTIVISION BLIZZARD INC B	3,844.88	0.00	0.00		60.0	0.00
6/19/2018 75	502010 KEETER, LANCE	ACIIVISION BLIZZARD INC						

COMMISSION STATEMENT for CHARLES LAWRENCE

PRODUCT CATROONY: STOCKS	TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
### PRODUCT CATEGORY: STOCKS  06/11/2018 75502011 DEC, JANICE   SECTAR THERAPEUTICS COM   B   7,829.37   0.00   0.00   0.00   0.00   06.0   06/11/2018 75502012 DEC, JOHN   NEKTAR THERAPEUTICS COM   B   15,971.56   0.00   0.00   0.00   06.0   06/12/2018 75502012 DEC, JOHN   NEKTAR THERAPEUTICS COM   B   15,982.92   0.00   0.00   0.00   0.00   06.0   06/12/2018 75502012 DEC, JOHN   ACTIVISION BLIZZARD INC   B   22,773.94   0.00   0.00   0.00   0.00   06.0   06/12/2018 75502012 DEC, JOHN   ACTIVISION BLIZZARD INC   B   22,773.94   0.00   0.00   0.00   0.00   06/12/2018 75502012 DEC, JOHN   ACTIVISION BLIZZARD INC   B   22,773.94   0.00   0.00   0.00   0.00   06/12/2018 75502012 DEC, JOHN   NEKTAR THERAPEUTICS COM   B   3,137.25   0.00   0.00   0.00   0.00   06/12/2018 75502023 APPEL, CHARLES   BMC STK HIDSI INC COM   B   3,137.25   0.00   0.00   0.00   0.00   06/12/2018 75502032 APPEL, CHARLES   BMC STK HIDSI INC COM   B   2,067.90   0.00   0.00   0.00   06/12/2018 75502035 ROMANO, LISA   SECTAR THERAPEUTICS COM   B   2,067.90   0.00   0.00   0.00   06/02/2018 75502035 ROMANO, LISA   1071A HOLDINGS INC COMMON STOCK   B   3,864.71   0.00   0.00   0.00   0.00   06/02/2018 75502036 ROMANO, MARK   MEKTAR THERAPEUTICS COM   B   3,849.15   0.00   0.00   0.00   0.00   0.00   06/02/2018 75502036 ROMANO, MARK   IQVIA HOLDINGS INC COMMON STOCK   B   3,874.31   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00		RDO!	ZED TDANC	».CTT∩NG					
	בארונים כאיייניסטעי פייסטעכ	BROK	KEK IKAND	ACTIONS					
		MENTAD THEDADELITICS COM	Ð	7 020 27	0.00	0 00	0 00	60 0	0.00
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06/12/2018 75502023 APPEL, CHARLES NEKTAR THERAPEUTICS COM B 13,526.60 55.00 0.00 55.00 60.0 06/12/2018 75502035 ROMAND, LISA NEKTAR THERAPEUTICS COM B 2.067.90 0.00 0.00 0.00 0.00 60.0 06/12/2018 75502035 ROMAND, LISA 1QVIA HOLDINGS INC COMMON STOCK S 4.722.75 0.00 0.00 0.00 0.00 0.00 06/12/2018 75502036 ROMAND, MARK 1QVIA HOLDINGS INC COMMON STOCK S 7,346.50 0.00 0.00 0.00 0.00 0.00 06/12/2018 75502036 ROMAND, MARK 1QVIA HOLDINGS INC COMMON STOCK S 7,346.50 0.00 0.00 0.00 0.00 0.00 0.00 06/02/2018 75502036 ROMAND, MARK 1QVIA HOLDINGS INC COMMON STOCK S 7,346.50 0.00 0.00 0.00 0.00 0.00 0.00 0.00									33.00
06/11/2018 75502035 ROMANO, LISA 10VIA HOLDINGS INC COMMON STOCK \$ 4,722.75 0.00 0.00 0.00 0.00 06.00 06/12/2018 75502036 ROMANO, LISA 10VIA HOLDINGS INC COMMON STOCK \$ 4,722.75 0.00 0.00 0.00 0.00 06.00 06/11/2018 75502036 ROMANO, MARK 10VIA HOLDINGS INC COMMON STOCK \$ 7,346.50 0.00 0.00 0.00 0.00 06.00 06/10/2018 75502036 ROMANO, MARK 10VIA HOLDINGS INC COMMON STOCK \$ 7,346.50 0.00 0.00 0.00 0.00 06.00 06/06/2018 75502038 ROCKORD, ELIZABETH MICROSOFT CORP B 17,150.26 0.00 0.00 0.00 0.00 0.00 06.00 06/06/2018 75502043 MCCORD, ELIZABETH MICROSOFT CORP B 17,150.26 0.00 0.00 0.00 0.00 0.00 06.00 06/11/2018 75502043 MCCORD, ELIZABETH MICROSOFT CORP B 1,7150.26 0.00 0.00 0.00 0.00 06.00 06/11/2018 75502013 BEHAR, RAYMOND NEKTAR THERAPEUTICS COM B 3,874.31 0.00 0.00 0.00 0.00 06.00 06/11/2018 75502113 BEHAR, RAYMOND NEKTAR THERAPEUTICS COM B 5,190.64 0.00 0.00 0.00 0.00 0.00 06.00 06/11/2018 75502113 BEHAR, RAYMOND NEKTAR THERAPEUTICS COM B 5,190.64 0.00 0.00 0.00 0.00 06.00 06/11/2018 75502113 BEHAR, RAYMOND NEKTAR THERAPEUTICS COM B 5,190.64 0.00 0.00 0.00 0.00 06.00 06/11/2018 75502115 BEHAR, RAYMOND NEKTAR THERAPEUTICS COM B 5,190.64 0.00 0.00 0.00 0.00 06.00 06/11/2018 75502115 BEHAR, RAYMOND MICROSOFT CORP B 2,756.29 0.00 0.00 0.00 0.00 0.00 06/10/06/2018 75502115 BEHAR, RAYMOND MICROSOFT CORP B 2,756.29 0.00 0.00 0.00 0.00 0.00 06/10/06/2018 75502115 BEHAR, RAYMOND MICROSOFT CORP B 2,756.29 0.00 0.00 0.00 0.00 0.00 06/10/06/2018 75502115 BEHAR, RAYMOND MICROSOFT CORP B 3,756.29 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0									33.00
06/12/2018 75502035 ROMANO, LISA IQVIA HOLDINGS INC COMMON STOCK S 4,722.75 0.00 0.00 0.00 0.00 06.00 06/12/2018 75502036 ROMANO, MARK NEXTAR THERAPEUTICS COM B 3.891.56 0.00 0.00 0.00 0.00 06.00 06/12/2018 75502036 ROMANO, MARK IQVIA HOLDINGS INC COMMON STOCK S 16,885.26 0.00 0.00 0.00 0.00 06.00 06/12/2018 75502043 MCCORD, ELIZABETH ADOBE SYSTEMS INC S 16,885.26 0.00 0.00 0.00 0.00 06.00 06/06/2018 75502043 MCCORD, ELIZABETH MICROSOFT CORP B 17,150.26 0.00 0.00 0.00 0.00 0.00 06.00 06/11/2018 75502113 BEHAR, RAYMOND NEXTAR THERAPEUTICS COM B 3.874.31 0.00 0.00 0.00 0.00 0.00 06/11/2018 75502113 BEHAR, RAYMOND NEXTAR THERAPEUTICS COM B 5.190.64 0.00 0.00 0.00 0.00 06/11/2018 75502114 BEHAR, RAYMOND NEXTAR THERAPEUTICS COM B 5.190.64 0.00 0.00 0.00 0.00 06/11/2018 75502114 BEHAR, RAYMOND NEXTAR THERAPEUTICS COM B 5.190.64 0.00 0.00 0.00 0.00 06/12/2018 75502115 BEHAR, RAYMOND NEXTAR THERAPEUTICS COM B 5.190.64 0.00 0.00 0.00 0.00 06/12/2018 75502115 BEHAR, RAYMOND NEXTAR THERAPEUTICS COM B 7.805.00 0.00 0.00 0.00 0.00 06/12/2018 75502115 BEHAR, RAYMOND NEXTAR THERAPEUTICS COM B 2.755.29 0.00 0.00 0.00 0.00 06/12/2018 75502115 BEHAR, RAYMOND MICROSOFT CORP B 2.755C.29 0.00 0.00 0.00 0.00 06/12/2018 75502073 HAND FAMILY LP GENERAL ELECTRIC COMPANY 5.00% FIXE B 50,000.00 \$937.50 \$0.00 \$937.50 0.00 \$937.50 0.00 0.00 0.00 06/12/2018 75502073 HAND FAMILY LP GENERAL ELECTRIC COMPANY 5.00% FIXE B 50,000.00 \$937.50 \$0.00 \$937.50 0.00 0.00 0.00 0.00 0.00 0.00 0.00									0.00
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B		-							0.00
* STOCKS SUBTOTAL * \$1,612,091.62 \$6,706.00 \$0.00 \$6,706.00  PRODUCT CATEGORY: BONDS  06/22/2018 75502073 HAND FAMILY LP GENERAL ELECTRIC COMPANY 5.00% FIXE B 50,000.00 937.50 0.00 937.50 60.0  * BONDS SUBTOTAL * \$50,000.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$937.50 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00									0.00
PRODUCT CATEGORY: BONDS    * BONDS SUBTOTAL *   * \$50,000.00   937.50   \$0.00   \$937.50   \$0.00     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$937.50     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$937.50     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$937.50     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$937.50     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$937.50     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$937.50     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$937.50     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$937.50     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$937.50     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$937.50     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$937.50     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$937.50     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$443.56   \$0.00     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$443.56   \$0.00     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$143.56   \$0.00     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$1.25   \$0.00     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$1.25   \$0.00     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$1.25   \$0.00     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$1.25   \$0.00     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$1.25   \$0.00     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$1.25   \$0.00     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$1.25   \$0.00     * BONDS SUBTOTAL *   * \$50,000.00   \$937.50   \$0.00   \$1.25   \$0.00     * BONDS SUBTOTAL *   * \$50,000.00   \$0.00   \$0.00   \$0.00   \$0.00     * BONDS SUBTOTAL *   * \$0.00   \$0.00   \$0.00   \$0.00   \$0.00     * BONDS SUBTOTAL *   * \$0.00   \$0.00   \$0.00   \$0.00     * BONDS SUBTOTAL *   * \$0.00   \$0.00   \$0.00   \$0.00     * BONDS SUBTOTAL *   * \$0.00   \$0.00   \$0.00     * BONDS SUBTOTAL *   * \$0.00   \$0.00   \$0.00     * BONDS S	00,00,2010,0002110 221111, 111110								
PRODUCT CATEGORY: RIA		* STOCKS St	OBIOIAL *	\$1,612,091.62	\$6,706.00	\$0.00	\$6,706.00		\$4,023.60
* BONDS SUBTOTAL * \$50,000.00 \$937.50 \$0.00 \$937.50  **PRODUCT CATEGORY: RIA**  04/01/2018 75501981 LAWRENCE, VICTORIA FAS FEES PT. III/III B -1,529.49 443.56 0.00 443.56 60.0 04/01/2018 75501985 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -51.92 11.25 0.00 11.25 60.0 04/24/2018 75501985 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B 26.89 -7.85 0.00 -7.85 60.0 04/25/2018 75501985 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B 8.96 8.96 -5.83 0.00 -5.83 60.0 04/01/2018 75501986 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B 8.565.91 157.21 0.00 157.21 60.0 04/01/2018 75501986 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -389.45 97.37 0.00 97.37 60.0 04/01/2018 75501988 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -4,523.69 1,342.04 0.00 1,342.04 60.0 04/01/2018 75501990 LAWRENCE, JEFFREY FAS FEES PT. III/III B -4,523.69 1,342.04 0.00 36.13 60.0 04/01/2018 75501991 NSH 81 LLC FAS FEES PT. III/III B -4,196.74 1,245.04 0.00 1,245.04 60.0 04/01/2018 75501992 THE BARBARA & NEAL FAS FEES PT. III/IIII B -4,196.74 1,245.04 0.00 406.15 60.0 04/01/2018 75501992 THE BARBARA & NEAL FAS FEES PT. III/IIII B -1,400.47 406.15 0.00 406.15 60.0		LP GENERAL ELECTRIC COMPANY 5.	00% FIXE B	50,000.00	937.50	0.00	937.50	60.0	562.50
PRODUCT CATEGORY: RIA  04/01/2018 75501981 LAWRENCE, VICTORIA FAS FEES PT. III/III B -1,529.49 443.56 0.00 443.56 60.0  04/01/2018 75501985 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -51.92 11.25 0.00 11.25 60.0  04/24/2018 75501985 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B 26.89 -7.85 0.00 -7.85 60.0  04/25/2018 75501985 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B 8.96 -5.83 0.00 -7.85 60.0  04/01/2018 75501986 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -565.91 157.21 0.00 157.21 60.0  04/01/2018 75501986 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -389.45 97.37 0.00 97.37 60.0  04/01/2018 75501988 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -4,523.69 1,342.04 0.00 1,342.04 60.0  04/01/2018 75501990 LAWRENCE, JEFFREY FAS FEES PT. III/III B -166.75 36.13 0.00 36.13 60.0  04/01/2018 75501991 NSH 81 LLC FAS FEES PT. III/III B -4,196.74 1,245.04 0.00 1,245.04 60.0  04/01/2018 75501992 THE BARBARA & NEAL FAS FEES PT. III/III B -1,400.47 406.15 0.00 406.15 60.0		* BONDS SI	UBTOTAL *	\$50,000,00	\$937.50	\$0.00	\$937.50		\$562.50
04/01/2018 75501981 LAWRENCE, VICTORIA FAS FEES PT. III/III B -1,529.49 443.56 0.00 443.56 60.0 04/01/2018 75501985 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -51.92 11.25 0.00 11.25 60.0 04/24/2018 75501985 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B 26.89 -7.85 0.00 -7.85 60.0 04/25/2018 75501985 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B 8.96 -5.83 0.00 -5.83 60.0 04/01/2018 75501986 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -565.91 157.21 0.00 157.21 60.0 04/01/2018 75501988 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -389.45 97.37 0.00 97.37 60.0 04/01/2018 75501989 BINES, BURTON FAS FEES PT. III/III B -4,523.69 1,342.04 0.00 1,342.04 60.0 04/01/2018 75501990 LAWRENCE, JEFFREY FAS FEES PT. III/III B -166.75 36.13 0.00 36.13 60.0 04/01/2018 75501991 NSH 81 LLC FAS FEES PT. III/III B -4,196.74 1,245.04 0.00 1,245.04 60.0 04/01/2018 75501992 THE BARBARA & NEAL FAS FEES PT. III/III B -1,400.47 406.15 0.00 406.15 60.0	DDODICT CATECODY. DIA			400,00000	4	7	4		4-1-1-1
04/01/2018 75501985 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -51.92 11.25 0.00 11.25 60.0 04/24/2018 75501985 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B 26.89 -7.85 0.00 -7.85 60.0 04/25/2018 75501985 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B 8.96 -5.83 0.00 -5.83 60.0 04/01/2018 75501986 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -565.91 157.21 0.00 157.21 60.0 04/01/2018 75501988 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -389.45 97.37 0.00 97.37 60.0 04/01/2018 75501989 BINES, BURTON FAS FEES PT. III/III B -4,523.69 1,342.04 0.00 1,342.04 60.0 04/01/2018 75501990 LAWRENCE, JEFFREY FAS FEES PT. III/III B -166.75 36.13 0.00 36.13 60.0 04/01/2018 75501991 NSH 81 LLC FAS FEES PT. III/III B -4,196.74 1,245.04 0.00 1,245.04 60.0 04/01/2018 75501992 THE BARBARA & NEAL FAS FEES PT. III/III B -1,400.47 406.15 0.00 406.15 60.0		CTORIA FAS FEES PT. III/III	В	-1,529.49	443.56	0.00	443.56	60.0	266.14
04/24/2018 75501985 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B 26.89 -7.85 0.00 -7.85 60.0 04/25/2018 75501985 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B 8.96 -5.83 0.00 -5.83 60.0 04/01/2018 75501986 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -565.91 157.21 0.00 157.21 60.0 04/01/2018 75501988 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -389.45 97.37 0.00 97.37 60.0 04/01/2018 75501989 BINES, BURTON FAS FEES PT. III/III B -4,523.69 1,342.04 0.00 1,342.04 60.0 04/01/2018 75501990 LAWRENCE, JEFFREY FAS FEES PT. III/III B -166.75 36.13 0.00 36.13 60.0 04/01/2018 75501991 NSH 81 LLC FAS FEES PT. III/III B -4,196.74 1,245.04 0.00 1,245.04 60.0 04/01/2018 75501992 THE BARBARA & NEAL FAS FEES PT. III/III B -1,400.47 406.15 0.00 406.15 60.0									6.75
04/25/2018 75501985 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B 8.96 -5.83 0.00 -5.83 60.0 04/01/2018 75501986 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -565.91 157.21 0.00 157.21 60.0 04/01/2018 75501988 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -389.45 97.37 0.00 97.37 60.0 04/01/2018 75501989 BINES, BURTON FAS FEES PT. III/III B -4,523.69 1,342.04 0.00 1,342.04 60.0 04/01/2018 75501990 LAWRENCE, JEFFREY FAS FEES PT. III/III B -166.75 36.13 0.00 36.13 60.0 04/01/2018 75501991 NSH 81 LLC FAS FEES PT. III/III B -4,196.74 1,245.04 0.00 1,245.04 60.0 04/01/2018 75501992 THE BARBARA & NEAL FAS FEES PT. III/III B -1,400.47 406.15 0.00 406.15 60.0									-4.71
04/01/2018 75501986 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -565.91 157.21 0.00 157.21 60.0 04/01/2018 75501988 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -389.45 97.37 0.00 97.37 60.0 04/01/2018 75501989 BINES, BURTON FAS FEES PT. III/III B -4,523.69 1,342.04 0.00 1,342.04 60.0 04/01/2018 75501990 LAWRENCE, JEFFREY FAS FEES PT. III/III B -166.75 36.13 0.00 36.13 60.0 04/01/2018 75501991 NSH 81 LLC FAS FEES PT. III/III B -4,196.74 1,245.04 0.00 1,245.04 60.0 04/01/2018 75501992 THE BARBARA & NEAL FAS FEES PT. III/III B -1,400.47 406.15 0.00 406.15 60.0									-3.50
04/01/2018 75501988 LAWRENCE, CHRISTOPHER FAS FEES PT. III/III B -389.45 97.37 0.00 97.37 60.0 04/01/2018 75501989 BINES, BURTON FAS FEES PT. III/III B -4,523.69 1,342.04 0.00 1,342.04 60.0 04/01/2018 75501990 LAWRENCE, JEFFREY FAS FEES PT. III/III B -166.75 36.13 0.00 36.13 60.0 04/01/2018 75501991 NSH 81 LLC FAS FEES PT. III/III B -4,196.74 1,245.04 0.00 1,245.04 60.0 04/01/2018 75501992 THE BARBARA & NEAL FAS FEES PT. III/III B -1,400.47 406.15 0.00 406.15 60.0									94.33
04/01/2018 75501989 BINES, BURTON FAS FEES PT. III/III B -4,523.69 1,342.04 0.00 1,342.04 60.0 04/01/2018 75501990 LAWRENCE, JEFFREY FAS FEES PT. III/III B -166.75 36.13 0.00 36.13 60.0 04/01/2018 75501991 NSH 81 LLC FAS FEES PT. III/III B -4,196.74 1,245.04 0.00 1,245.04 60.0 04/01/2018 75501992 THE BARBARA & NEAL FAS FEES PT. III/III B -1,400.47 406.15 0.00 406.15 60.0									58.42
04/01/2018 75501990 LAWRENCE, JEFFREY FAS FEES PT. III/III B -166.75 36.13 0.00 36.13 60.0 04/01/2018 75501991 NSH 81 LLC FAS FEES PT. III/III B -4,196.74 1,245.04 0.00 1,245.04 60.0 04/01/2018 75501992 THE BARBARA & NEAL FAS FEES PT. III/III B -1,400.47 406.15 0.00 406.15 60.0									805.22
04/01/2018 75501991 NSH 81 LLC FAS FEES PT. III/III B -4,196.74 1,245.04 0.00 1,245.04 60.0 04/01/2018 75501992 THE BARBARA & NEAL FAS FEES PT. III/III B -1,400.47 406.15 0.00 406.15 60.0									21.68
04/01/2018 75501992 THE BARBARA & NEAL FAS FEES PT. III/III B -1,400.47 406.15 0.00 406.15 60.0									747.02
									243.69
U4/U1/ZU18 /33UZUU8 DEC. JUHN PAS PEES P1. 111/111 B =913.1/ 249.60 U.UU 249.60 NU U	04/01/2018 75502008 DEC, JOHN	FAS FEES PT. III/III	В	-913.17	249.60	0.00	249.60		149.76
04/01/2018 75502010 KEETER, LANCE FAS FEES PT. III/III B -818.02 235.84 0.00 235.84 60.0									141.50
04/01/2018 75502011 DEC, JANICE FAS FEES PT. III/III B -439.23 109.82 0.00 109.82 60.0									65.89

### COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE CLIENT	INVESTMENT B,	INVESTMENT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRA	NSACTIONS					
PRODUCT CATEGORY: RIA							
04/01/2018 75502012 DEC, JOHN	FAS FEES PT. III/III	-3,419.69	1,014.52	0.00	1,014.52	60.0	608.71
04/01/2018 75502013 DEC, JOHN	FAS FEES PT. III/III	-489.38	122.35	0.00	122.35	60.0	73.41
04/26/2018 75502013 DEC, JOHN	FAS FEES PT. III/III	18.08	-6.27	0.00	-6.27	60.0	-3.76
04/01/2018 75502014 DEC, JOHN	FAS FEES PT. III/III	-418.13	104.54	0.00	104.54	60.0	62.72
04/01/2018 75502021 ARCARO, DANIEL	FAS FEES PT. III/III	-408.35	110.37	0.00	110.37	60.0	66.22
04/01/2018 75502035 ROMANO, LISA	FAS FEES PT. III/III	-279.42	71.42	0.00	71.42	60.0	42.85
04/01/2018 75502036 ROMANO, MARK	FAS FEES PT. III/III	-461.10	128.09	0.00	128.09	60.0	76.85
04/01/2018 75502041 MCCORD, ELIZABETH	FAS FEES PT. III/III	-1,102.38	301.33	0.00	301.33	60.0	180.80
04/01/2018 75502043 MCCORD, ELIZABETH	FAS FEES PT. III/III	-2,392.43	411.33	0.00	411.33	60.0	246.80
04/01/2018 75502044 MCCORD, ELIZABETH	FAS FEES PT. III/III	-1,970.17	571.35	0.00	571.35	60.0	342.81
04/01/2018 75502060 MASSEY, GEORGE	FAS FEES PT. III/III	-351.92	93.86	0.00	93.86	60.0	56.32
04/01/2018 75502061 MASSEY, GEORGE	FAS FEES PT. III/III	-189.87	45.57	0.00	45.57	60.0	27.34
04/01/2018 75502113 BEHAR, RAYMOND	FAS FEES PT. III/III	-340.21	85.06	0.00	85.06	60.0	51.04
04/01/2018 75502114 BEHAR, RAYMOND	FAS FEES PT. III/III	-679.86	185.83	0.00	185.83	60.0	111.50
04/01/2018 75502115 BEHAR, RAYMOND	FAS FEES PT. III/III	-401.59	53.55	0.00	53.55	60.0	32.13
	* RIA SUBTOTAL *	\$-27,845.41	\$7,613.23	\$0.00	\$7,613.23		\$4,567.93
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS						
06/30/2018	FEE COUNT - 15	0.00	439.85	0.00	439.85	60.0	263.91
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$439.85	\$0.00	\$439.85		\$263.91
	*** BROKER TRANSACTIONS TOTAL ***	\$1,634,246.21	\$15,696.58	\$0.00	\$15,696.58		\$9,417.94
	ADJUST	MENTS					
06/30/2018	CANCELLATIONS: AMERICAN FDS -JUNE	0.00	0.00	0.00	0.00		60.95
06/30/2018	OTHER: 75501990 - MAY O/TRADING FEE	0.00	0.00	0.00	0.00		-228.00
06/30/2018	OTHER: O/TRADING 75501988 MAY	0.00	0.00	0.00	0.00		-120.00
06/30/2018	OTHER: TRADE OVER LINIT FEES	0.00	0.00	0.00	0.00		-936.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-1,223.05

# Year-to-date Earnings

# BROKER COMMISSION TOTALS

Broker Transactions	\$9,417.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,223.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-19.62
SIPC Assessment	\$-39.24
Check Amount	\$8,136.03

\$80,615.54