



All Companies
COMMISSION STATEMENT
March 15, 2018

03/14/2018
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JEFFREY ROTHSCHILD
609 Gunnison Dr.

BROKER #: 9100
BRANCH: HOME OFFICE

ARLINGTON, TX 76006-

COMMISSION STATEMENT for JEFFREY ROTHSCHILD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/01/2018	00075227122	GAYLORD, CYNTHIA	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	70.0	1.32
02/22/2018	00083784453	ROTHSCHILD, PAUL J	AMERICAN FUNDS CAPITAL WORLD GROWT	B	125.00	6.25	0.00	6.25	70.0	4.38
02/22/2018	00089965164	YETTER, CHRISTINA	THE NEW ECONOMY FUND-529A	B	100.00	4.25	0.00	4.25	70.0	2.98
	B.									
03/02/2018	00089965164	YETTER, CHRISTINA	THE NEW ECONOMY FUND-529A	B	100.00	4.25	0.00	4.25	70.0	2.98
	B.									
02/22/2018	00089965211	TRIPPLE, JAMES M.	THE NEW ECONOMY FUND-529A	B	100.00	4.25	0.00	4.25	70.0	2.98
03/02/2018	00089965211	TRIPPLE, JAMES M.	THE NEW ECONOMY FUND-529A	B	100.00	4.25	0.00	4.25	70.0	2.98
03/05/2018	04000442065	MANNARI, ALEXANDER	AMERICAN FUNDS 2055 TARGET DATE-A	B	112.16	4.77	0.00	4.77	70.0	3.34
	B.									
03/05/2018	04000442065	MANNARI, ALEXANDER	GROWTH AND INCOME PORTFOLIO-A	B	74.78	3.18	0.00	3.18	70.0	2.23
	B.									
03/05/2018	04000442491	MURPHY, SAVANNAH	GROWTH AND INCOME PORTFOLIO-A	B	22.40	0.95	0.00	0.95	70.0	0.67
	E.									
03/05/2018	04000442491	MURPHY, SAVANNAH	GROWTH PORTFOLIO-A	B	22.41	0.95	0.00	0.95	70.0	0.67
	E.									
03/05/2018	04000443214	CASTILLO, MAYRA	AMERICAN FUNDS 2040 TARGET DATE-A	B	474.02	20.15	0.00	20.15	70.0	14.11
03/05/2018	04000461585	DARLING, AMANDA M.	AMERICAN FUNDS 2050 TARGET DATE-A	B	40.08	1.70	0.00	1.70	70.0	1.19
03/05/2018	04000475370	PERRY, JORDAN W.	AMERICAN FUNDS 2055 TARGET DATE-A	B	155.59	6.61	0.00	6.61	70.0	4.63
03/05/2018	04000475395	GRAY, MICHAEL W.	AMERICAN FUNDS 2030 TARGET DATE-A	B	900.00	38.25	0.00	38.25	70.0	26.78
03/05/2018	04000475406	MINTON, SESSILY A.	AMERICAN FUNDS 2045 TARGET DATE-A	B	900.00	38.25	0.00	38.25	70.0	26.78
03/05/2018	04000587935	DELANO, JEFFREY	AMERICAN FUNDS 2030 TARGET DATE-A	B	900.00	38.25	0.00	38.25	70.0	26.78
02/26/2018	7500540727	HESS, MICHAEL & LORA	OPPENHEIMER GLOBAL FD CL A	B	100.00	4.75	0.00	4.75	70.0	3.33
* MUTUAL FUNDS SUBTOTAL *					\$4,276.44	\$182.94	\$0.00	\$182.94		\$128.13

PRODUCT CATEGORY: VARIABLE ANNUITIES

02/28/2018	TRAILS	-JACKSON NATIONAL	B	20.36	20.36	0.00	20.36	70.0	14.25
02/28/2018	TRAILS	-JACKSON NATIONAL	B	9.42	9.42	0.00	9.42	70.0	6.59
03/02/2018	TRAILS	-JOHN HANCOCK	B	109.16	109.16	0.00	109.16	70.0	76.41
02/15/2018	GOETZ, CAROLYN S	CANADA LIFE VA	B	171.52	2.58	0.00	2.58	70.0	1.81

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
		* VARIABLE ANNUITIES SUBTOTAL *		\$310.46	\$141.52	\$0.00	\$141.52		\$99.06
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
03/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	1,226.30	0.00	1,226.30	70.0	858.50
03/15/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	177.48	0.00	177.48	70.0	124.25
03/15/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	6.76	0.00	6.76	70.0	4.73
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$1,410.54	\$0.00	\$1,410.54		\$987.48
		*** BROKER TRANSACTIONS TOTAL ***		\$4,586.90	\$1,735.00	\$0.00	\$1,735.00		\$1,214.67

SPLIT TRANSACTIONS**BROKER: ROTHSCHILD/POOL #9629**

02/28/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	105.14	63.08	0.00	63.08	70.0	44.16
02/26/2018	0000000000003549 CAMAGANACAN, SIXTO/ROSALINA	FRK INTL SMALL CAP GROWTH FUND - CL B		50.00	0.30	0.00	0.30	70.0	0.21
02/26/2018	0000000000817890 TEN, JT	FRANKLIN VALUE FD CL II	B	100.00	0.60	0.00	0.60	70.0	0.42
02/26/2018	0000000001223650 TEN, JT	FRANKLIN 102 AGE HIGH INCOME FD	C B	50.00	0.30	0.00	0.30	70.0	0.21
02/26/2018	0000000003564376 TEN, JT	TEMP GLOBAL BOND FND - CL C	B	50.00	0.30	0.00	0.30	70.0	0.21
02/20/2018	00061397698 RIVARD, JOHN M	AMERICAN FUNDS INVESTMENT COMP OF A B		65.00	1.07	0.00	1.07	70.0	0.75
03/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	2,692.73	0.00	2,692.73	70.0	1,885.17
02/20/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS BD FD OF AMER FD	B	62.50	1.03	0.00	1.03	70.0	0.72
02/20/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS INCOME FD OF AMERI B		62.50	1.03	0.00	1.03	70.0	0.72
02/20/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS NEW PERSPECTIVE FD	B	62.50	1.03	0.00	1.03	70.0	0.72
02/20/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS WA MUTUAL INVES B		62.50	1.03	0.00	1.03	70.0	0.72
03/01/2018	00064082782 KRAATZ, MATTHEW	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	1.28	0.00	1.28	70.0	0.90
03/07/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS CAPITAL INCOME BUILD B		104.00	2.65	0.00	2.65	70.0	1.86
03/07/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS INVESTMENT COMP OF A B		208.00	5.30	0.00	5.30	70.0	3.71
03/07/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS NEW PERSPECTIVE FD	B	105.00	2.68	0.00	2.68	70.0	1.88
03/02/2018	00081247942 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	250.00	2.40	0.00	2.40	70.0	1.68
02/20/2018	00087441316 DAMRON/HUPP, MARTHA/ABIGAIL	COLLEGE 2018 FUND-529A	B	50.00	1.05	0.00	1.05	70.0	0.74
03/07/2018	04000826735 WELBORN, THOMAS A.	AMERICAN FUNDS 2045 TARGET DATE-A	B	417.00	10.63	0.00	10.63	70.0	7.44
		* ROTHSCHILD/POOL SUBTOTAL *		\$1,854.14	\$2,788.49	\$0.00	\$2,788.49		\$1,952.22
		*** SPLIT TRANSACTIONS TOTAL ***		\$1,854.14	\$2,788.49	\$0.00	\$2,788.49		\$1,952.22

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ADJUSTMENTS

03/15/2018		POSTAGE: POSTAGE		0.00	0.00	0.00	0.00		-0.47
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-0.47

BROKER COMMISSION TOTALS

Broker Transactions	\$3,095.19
Split Transactions	\$71.70
Override Transactions	\$0.00
Adjustments	\$-0.47
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$3,166.42
Year-to-date Earnings	\$14,264.42