



COMMISSION STATEMENT

July 23, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/16/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063412117	BUY 3 Units @34.5300						
07/16/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063451518	BUY 3 Units @34.5300						
07/10/2018	***-**-8208 - KARNS, SHANNON	AMCAP FUND-C	225.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 04000591533	BUY 7 Units @30.0600						
07/17/2018	***-**-0006 - FORSYTH, KATHRYN	AMCAP FUND-C	57.69	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 04000650661	BUY 2 Units @29.9200						
07/13/2018	***-**-9535 - MITCHELL,	AMERICAN BALANCED FUND-A	98.77	0.99	0.00	0.99	80.0	0.79
	ACCOUNT #: 00089874312	BUY 4 Units @27.6100						
07/13/2018	***-**-9535 - MITCHELL,	AMERICAN BALANCED FUND-A	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00089874312	BUY 5 Units @27.6100						
07/13/2018	***-**-1788 - SYKES, DANIEL	AMERICAN BALANCED FUND-A	103.92	1.04	0.00	1.04	80.0	0.83
	ACCOUNT #: 00087870442	BUY 4 Units @27.6100						
07/13/2018	***-**-1788 - SYKES, DANIEL	AMERICAN BALANCED FUND-A	277.08	2.77	0.00	2.77	80.0	2.22
	ACCOUNT #: 00087870442	BUY 10 Units @27.6100						
07/13/2018	***-**-3757 - MALONE, KENNETH	AMERICAN BALANCED FUND-A	40.37	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00083474112	BUY 1 Units @27.6100						
07/13/2018	***-**-3757 - MALONE, KENNETH	AMERICAN BALANCED FUND-A	53.82	0.54	0.00	0.54	80.0	0.43
	ACCOUNT #: 00083474112	BUY 2 Units @27.6100						
07/13/2018	***-**-3306 - MASTROSIMONE,	AMERICAN BALANCED FUND-A	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00083382475	BUY 2 Units @27.6100						
07/13/2018	***-**-3306 - MASTROSIMONE,	AMERICAN BALANCED FUND-A	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00083382475	BUY 2 Units @27.6100						
07/13/2018	***-**-275P - BERMUDEZ, CARLOS	AMERICAN BALANCED FUND-A	136.66	1.37	0.00	1.37	80.0	1.10
	ACCOUNT #: 00069016532	BUY 5 Units @27.6100						
07/13/2018	***-**-275P - BERMUDEZ, CARLOS	AMERICAN BALANCED FUND-A	125.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00069016532	BUY 5 Units @27.6100						
07/13/2018	***-**-305P - MASTROSIMONE,	AMERICAN BALANCED FUND-A	22.50	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 00067436327	BUY 1 Units @27.6100						
07/13/2018	***-**-305P - MASTROSIMONE,	AMERICAN BALANCED FUND-A	373.06	3.73	0.00	3.73	80.0	2.98
	ACCOUNT #: 00067436327	BUY 14 Units @27.6100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/13/2018	***-**-685P - MASTROSIMONE, ACCOUNT #: 00067400188	AMERICAN BALANCED FUND-A BUY 0 Units @27.6100	13.13	0.13	0.00	0.13	80.0	0.10
07/13/2018	***-**-685P - MASTROSIMONE, ACCOUNT #: 00067400188	AMERICAN BALANCED FUND-A BUY 14 Units @27.6100	373.06	3.73	0.00	3.73	80.0	2.98
07/13/2018	***-**-825P - MASTROSIMONE, ACCOUNT #: 00067351603	AMERICAN BALANCED FUND-A BUY 2 Units @27.6100	67.50	0.68	0.00	0.68	80.0	0.54
07/13/2018	***-**-825P - MASTROSIMONE, ACCOUNT #: 00067351603	AMERICAN BALANCED FUND-A BUY 14 Units @27.6100	374.25	3.74	0.00	3.74	80.0	2.99
07/16/2018	***-**-05IN - RANDALL, ADAM ACCOUNT #: 00085941585	AMERICAN BALANCED FUND-A BUY 1 Units @28.8600	25.00	0.94	0.00	0.94	80.0	0.75
07/16/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00068454444	AMERICAN BALANCED FUND-A BUY 2 Units @29.0100	50.00	2.13	0.00	2.13	80.0	1.70
07/16/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00068454445	AMERICAN BALANCED FUND-A BUY 2 Units @29.0100	50.00	2.13	0.00	2.13	80.0	1.70
07/12/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	AMERICAN BALANCED FUND-C BUY 1 Units @27.3800	16.00	0.16	0.00	0.16	80.0	0.13
07/12/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	AMERICAN BALANCED FUND-C BUY 0 Units @27.3800	4.94	0.05	0.00	0.05	80.0	0.04
07/12/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN BALANCED FUND-C BUY 0 Units @27.3800	1.94	0.02	0.00	0.02	80.0	0.02
07/12/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN BALANCED FUND-C BUY 0 Units @27.3800	6.00	0.06	0.00	0.06	80.0	0.05
07/12/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	AMERICAN BALANCED FUND-C BUY 1 Units @27.3800	28.05	0.28	0.00	0.28	80.0	0.22
07/12/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	AMERICAN BALANCED FUND-C BUY 0 Units @27.3800	3.63	0.04	0.00	0.04	80.0	0.03
07/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.4300	16.32	0.16	0.00	0.16	80.0	0.13
07/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @27.4300	40.00	0.40	0.00	0.40	80.0	0.32
07/13/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	AMERICAN BALANCED FUND-C BUY 1 Units @27.4300	18.51	0.19	0.00	0.19	80.0	0.15



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.4300	40.80	0.41	0.00	0.41	80.0	0.33
07/16/2018	***-**-80IR - ROBERTS, ACCOUNT #: LSR011795	AMERICAN BALANCED FUND-C BUY 3 Units @27.3800	90.00	0.90	3.00	-2.10	100.0	-2.10
07/16/2018	***-**-3834 - SWARTWOUT, ACCOUNT #: 00089957234	AMERICAN BALANCED FUND-C BUY 2 Units @27.3800	50.00	0.50	0.00	0.50	80.0	0.40
07/16/2018	***-**-3834 - SWARTWOUT, ACCOUNT #: 00089957234	AMERICAN BALANCED FUND-C BUY 2 Units @27.3800	50.00	0.50	0.00	0.50	80.0	0.40
07/16/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087429936	AMERICAN BALANCED FUND-C BUY 2 Units @27.3800	50.00	0.50	0.00	0.50	80.0	0.40
07/16/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @27.3800	61.20	0.61	0.00	0.61	80.0	0.49
07/16/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.3800	16.32	0.16	0.00	0.16	80.0	0.13
07/16/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.3800	16.32	0.16	0.00	0.16	80.0	0.13
07/16/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @27.3800	61.20	0.61	0.00	0.61	80.0	0.49
07/16/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	AMERICAN BALANCED FUND-C BUY 4 Units @27.3800	120.00	1.20	0.00	1.20	80.0	0.96
07/17/2018	***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754	AMERICAN BALANCED FUND-C BUY 7 Units @27.4000	187.00	1.87	0.00	1.87	80.0	1.50
07/17/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @27.4000	40.00	0.40	0.00	0.40	80.0	0.32
07/17/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.4000	16.32	0.16	0.00	0.16	80.0	0.13
07/17/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.4000	40.80	0.41	0.00	0.41	80.0	0.33
07/17/2018	***-**-1134 - BARTON, WILLIAM ACCOUNT #: 00089244081	AMERICAN BALANCED FUND-C BUY 3 Units @27.4000	87.96	0.88	0.00	0.88	80.0	0.70
07/17/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	AMERICAN BALANCED FUND-C BUY 0 Units @27.4000	9.81	0.10	0.00	0.10	80.0	0.08



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/17/2018	***-**-035S - CAPIZZI, TRACIE	AMERICAN BALANCED FUND-C	37.75	0.38	0.00	0.38	80.0	0.30
	ACCOUNT #: 00089679504	BUY 1 Units @27.4000						
07/19/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @27.4200						
07/19/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 1 Units @27.4200						
07/19/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	6.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00085904181	BUY 0 Units @27.4200						
07/19/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	1.63	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @27.4200						
07/19/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	16.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00085904210	BUY 1 Units @27.4200						
07/19/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	4.77	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00085904210	BUY 0 Units @27.4200						
07/19/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00089910177	BUY 4 Units @27.4200						
07/12/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	82.03	0.82	0.00	0.82	80.0	0.66
	ACCOUNT #: 04000820464	BUY 4 Units @19.2600						
07/12/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	13.67	0.14	0.00	0.14	80.0	0.11
	ACCOUNT #: 04000820464	BUY 1 Units @19.2600						
07/19/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	7.48	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 04000820464	BUY 0 Units @19.2400						
07/19/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	44.90	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 04000820464	BUY 2 Units @19.2400						
07/10/2018	***-**-7063 - ZIOTO, JULIA	AMERICAN GLOBAL BALANCED CL C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 04000322984	BUY 3 Units @32.4500						
07/12/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	105.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 00087236607	BUY 10 Units @10.1800						
07/12/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	4.55	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00087236607	BUY 0 Units @10.1800						
07/19/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	105.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 00087236607	BUY 10 Units @10.1800						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
07/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @10.1800	4.55	0.05	0.00	0.05	80.0	0.04
07/09/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	AMERICAN MUTUAL FUND-C BUY 0 Units @40.8100	5.00	0.05	0.00	0.05	80.0	0.04
07/12/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN MUTUAL FUND-C BUY 0 Units @40.9700	5.00	0.05	0.00	0.05	80.0	0.04
07/12/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN MUTUAL FUND-C BUY 0 Units @40.9700	1.62	0.02	0.00	0.02	80.0	0.02
07/16/2018	***-**-01UG - DIGIOVANNI, ACCOUNT #: 00087087389	AMERICAN MUTUAL FUND-C BUY 4 Units @40.9900	150.00	1.50	0.00	1.50	80.0	1.20
07/16/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	AMERICAN MUTUAL FUND-C BUY 0 Units @40.9900	5.00	0.05	0.00	0.05	80.0	0.04
07/19/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN MUTUAL FUND-C BUY 0 Units @40.9200	5.00	0.05	0.00	0.05	80.0	0.04
07/19/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN MUTUAL FUND-C BUY 0 Units @40.9200	1.36	0.01	0.00	0.01	80.0	0.01
07/02/2018	***-**-772I - KERHAERT, ACCOUNT #: 03222178044	CAPITAL APPRECIATION C BUY 0 Units @0.0000	62.50	0.63	0.00	0.63	80.0	0.50
07/02/2018	***-**-9206 - SANDER, DAVID ACCOUNT #: 03222178055	CAPITAL APPRECIATION C BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	80.0	0.80
07/03/2018	***-**-729I - GIAMBRONE, KELLY ACCOUNT #: 03222325444	CAPITAL APPRECIATION C BUY 0 Units @0.0000	308.06	3.08	0.00	3.08	80.0	2.46
07/06/2018	***-**-7330 - JOHNSON, DONALD ACCOUNT #: 03220387068	CAPITAL APPRECIATION C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	80.0	0.40
07/06/2018	***-**-6603 - JOHNSON, TERRY ACCOUNT #: 03222192157	CAPITAL APPRECIATION C BUY 0 Units @0.0000	68.00	0.68	0.00	0.68	80.0	0.54
07/06/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 03222200176	CAPITAL APPRECIATION C BUY 0 Units @0.0000	20.00	0.20	0.00	0.20	80.0	0.16
07/20/2018	***-**-0447 - LEVINE, JACQUE ACCOUNT #: 00084996765	CAPITAL INCOME BUILDER-A BUY 2 Units @63.4300	100.00	3.75	0.00	3.75	80.0	3.00
07/16/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	CAPITAL WORLD BOND FUND-A BUY 1 Units @19.8100	22.25	0.27	0.00	0.27	80.0	0.22



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/16/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	CAPITAL WORLD BOND FUND-A BUY 1 Units @19.8100	22.25	0.27	0.00	0.27	80.0	0.22
07/12/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @50.9300	3.60	0.04	0.00	0.04	80.0	0.03
07/12/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @50.9300	96.40	0.96	0.00	0.96	80.0	0.77
07/13/2018	***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.6400	22.02	0.22	0.00	0.22	80.0	0.18
07/13/2018	***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.6400	34.00	0.34	0.00	0.34	80.0	0.27
07/13/2018	***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.6400	45.00	0.45	0.00	0.45	80.0	0.36
07/13/2018	***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.6400	45.00	0.45	0.00	0.45	80.0	0.36
07/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.0800	30.00	0.30	0.00	0.30	80.0	0.24
07/16/2018	***-**-01UG - DIGIOVANNI, ACCOUNT #: 00087087389	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @50.9600	150.00	1.50	0.00	1.50	80.0	1.20
07/16/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @50.9600	40.00	0.40	0.00	0.40	80.0	0.32
07/17/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @50.9400	30.00	0.30	0.00	0.30	80.0	0.24
07/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @50.6200	96.40	0.96	0.00	0.96	80.0	0.77
07/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @50.6200	3.60	0.04	0.00	0.04	80.0	0.03
07/19/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @50.6200	40.00	0.40	0.00	0.40	80.0	0.32
07/06/2018	***-**-85IN - MAZZOLA, BARBARA ACCOUNT #: 00100051019	EMERGING MARKETS INNOVATORS FUND C BUY 0 Units @0.0000	7.50	0.08	0.00	0.08	80.0	0.06
07/03/2018	***-**-729I - GIAMBRONE, KELLY ACCOUNT #: 08370596090	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	231.05	2.31	0.00	2.31	80.0	1.85



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/03/2018	***-**-6188 - ROBERTS, ACCOUNT #: 08370627385	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	80.0	0.40
07/16/2018	***-**-43IN - BISHOP, EVELYN ACCOUNT #: 04000849605	EUROPACIFIC GROWTH FUND CLASS C BUY 1 Units @52.7800	50.00	0.50	0.00	0.50	80.0	0.40
07/13/2018	***-**-375P - MASTROSIMONE, ACCOUNT #: 00067351605	EUROPACIFIC GROWTH FUND-A BUY 1 Units @54.3000	58.66	0.59	0.00	0.59	80.0	0.47
07/13/2018	***-**-375P - MASTROSIMONE, ACCOUNT #: 00067351605	EUROPACIFIC GROWTH FUND-A BUY 2 Units @54.3000	99.00	0.99	0.00	0.99	80.0	0.79
07/13/2018	***-**-275P - HUGGINS, EDDISON ACCOUNT #: 00067335866	EUROPACIFIC GROWTH FUND-A BUY 1 Units @54.3000	34.68	0.35	0.00	0.35	80.0	0.28
07/13/2018	***-**-275P - HUGGINS, EDDISON ACCOUNT #: 00067335866	EUROPACIFIC GROWTH FUND-A BUY 1 Units @54.3000	51.00	0.51	0.00	0.51	80.0	0.41
07/13/2018	***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189	EUROPACIFIC GROWTH FUND-A BUY 1 Units @54.3000	46.62	0.47	0.00	0.47	80.0	0.38
07/13/2018	***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189	EUROPACIFIC GROWTH FUND-A BUY 1 Units @54.3000	72.00	0.72	0.00	0.72	80.0	0.58
07/16/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	EUROPACIFIC GROWTH FUND-A BUY 1 Units @55.0500	66.75	0.80	0.00	0.80	80.0	0.64
07/16/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	EUROPACIFIC GROWTH FUND-A BUY 1 Units @55.0500	66.75	0.80	0.00	0.80	80.0	0.64
07/13/2018	***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189	FUNDAMENTAL INVESTORS-A BUY 0 Units @64.0100	14.25	0.14	0.00	0.14	80.0	0.11
07/13/2018	***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189	FUNDAMENTAL INVESTORS-A BUY 0 Units @64.0100	22.00	0.22	0.00	0.22	80.0	0.18
07/16/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	FUNDAMENTAL INVESTORS-A BUY 2 Units @64.8400	133.50	1.60	0.00	1.60	80.0	1.28
07/16/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	FUNDAMENTAL INVESTORS-A BUY 2 Units @64.8400	133.50	1.60	0.00	1.60	80.0	1.28
07/09/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	FUNDAMENTAL INVESTORS-C BUY 0 Units @63.7000	5.00	0.05	0.00	0.05	80.0	0.04
07/10/2018	***-**-617I - ROWE, KEVIN ACCOUNT #: 04000591484	FUNDAMENTAL INVESTORS-C BUY 4 Units @63.8800	225.00	2.25	0.00	2.25	80.0	1.80



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/12/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00085904203	BUY 0 Units @63.5700						
07/12/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	2.21	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @63.5700						
07/12/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	9.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00085902226	BUY 0 Units @63.5700						
07/12/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	2.40	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @63.5700						
07/13/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @63.6500						
07/13/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	8.16	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @63.6500						
07/16/2018	***-**-845P - MARTHALLER,	FUNDAMENTAL INVESTORS-C	60.00	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 00087023930	BUY 1 Units @63.5100						
07/16/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	30.60	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00089051066	BUY 0 Units @63.5100						
07/16/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	8.16	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @63.5100						
07/16/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	8.16	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @63.5100						
07/16/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	30.60	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00089051066	BUY 0 Units @63.5100						
07/16/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @63.5100						
07/17/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	8.16	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @63.7400						
07/17/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @63.7400						
07/19/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	5.67	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00085902226	BUY 0 Units @63.6500						
07/19/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	9.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00085902226	BUY 0 Units @63.6500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/19/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00085904203	BUY 0 Units @63.6500						
07/19/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	2.26	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @63.6500						
07/02/2018	***-**-9206 - SANDER, DAVID	GLOBAL OPPORTUNITIES FUND C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 02171629936	BUY 0 Units @0.0000						
07/03/2018	***-**-2620 - CUSIMANO, MEGAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02171800557	BUY 0 Units @0.0000						
07/03/2018	***-**-729I - GIAMBRONE, KELLY	GLOBAL OPPORTUNITIES FUND C	385.08	3.85	0.00	3.85	80.0	3.08
	ACCOUNT #: 02171875445	BUY 0 Units @0.0000						
07/06/2018	***-**-85IN - MAZZOLA, BARBARA	GLOBAL OPPORTUNITIES FUND C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 02171893331	BUY 0 Units @0.0000						
07/06/2018	***-**-7330 - JOHNSON, DONALD	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02171798599	BUY 0 Units @0.0000						
07/09/2018	***-**-90FB - DIODATO, CAROL	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02171777391	BUY 0 Units @0.0000						
07/09/2018	***-**-9530 - MILAM, IAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02171852708	BUY 0 Units @0.0000						
07/12/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00087236607	BUY 2 Units @22.7300						
07/12/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	1.95	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087236607	BUY 0 Units @22.7300						
07/19/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00087236607	BUY 2 Units @22.7100						
07/19/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	1.95	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087236607	BUY 0 Units @22.7100						
07/09/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089563161	BUY 0 Units @40.8800						
07/12/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @40.8900						
07/12/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	1.23	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904210	BUY 0 Units @40.8900						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/12/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00085902249	BUY 1 Units @40.8900						
07/12/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	3.74	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @40.8900						
07/13/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	17.97	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00089583477	BUY 0 Units @40.9900						
07/16/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089563161	BUY 0 Units @40.8500						
07/17/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	9.52	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089583477	BUY 0 Units @40.8900						
07/19/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	3.74	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @40.7100						
07/19/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00085902249	BUY 1 Units @40.7100						
07/19/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @40.7100						
07/19/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	1.19	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904210	BUY 0 Units @40.7100						
07/02/2018	***-**-9206 - SANDER, DAVID	MAIN STREET FUND C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 07024170947	BUY 0 Units @0.0000						
07/03/2018	***-**-4980 - MOYER, COLLEEN	MAIN STREET FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 07024431823	BUY 0 Units @0.0000						
07/03/2018	***-**-729I - GIAMBRONE, KELLY	MAIN STREET FUND C	385.08	3.85	0.00	3.85	80.0	3.08
	ACCOUNT #: 07024326366	BUY 0 Units @0.0000						
07/03/2018	***-**-2620 - CUSIMANO, MEGAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024397338	BUY 0 Units @0.0000						
07/06/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	66.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						
07/06/2018	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
07/09/2018	***-**-90FB - DIODATO, CAROL	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024358024	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/09/2018	***-**-9530 - MILAM, IAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024469113	BUY 0 Units @0.0000						
07/13/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-A	66.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 00089254350	BUY 1 Units @46.2500						
07/13/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-A	19.38	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 00089254350	BUY 0 Units @46.2500						
07/13/2018	***-**-1788 - SYKES, DANIEL	NEW PERSPECTIVE FUND-A	51.95	0.52	0.00	0.52	80.0	0.42
	ACCOUNT #: 00087870442	BUY 1 Units @46.2500						
07/13/2018	***-**-1788 - SYKES, DANIEL	NEW PERSPECTIVE FUND-A	138.54	1.39	0.00	1.39	80.0	1.11
	ACCOUNT #: 00087870442	BUY 3 Units @46.2500						
07/13/2018	***-**-815P - WEBER, ANTHONY	NEW PERSPECTIVE FUND-A	54.50	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00067400187	BUY 1 Units @46.2500						
07/13/2018	***-**-815P - WEBER, ANTHONY	NEW PERSPECTIVE FUND-A	136.50	1.37	0.00	1.37	80.0	1.10
	ACCOUNT #: 00067400187	BUY 3 Units @46.2500						
07/13/2018	***-**-825P - MASTROSIMONE,	NEW PERSPECTIVE FUND-A	67.50	0.68	0.00	0.68	80.0	0.54
	ACCOUNT #: 00067351603	BUY 1 Units @46.2500						
07/13/2018	***-**-825P - MASTROSIMONE,	NEW PERSPECTIVE FUND-A	374.25	3.74	0.00	3.74	80.0	2.99
	ACCOUNT #: 00067351603	BUY 8 Units @46.2500						
07/16/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 0 Units @46.8600						
07/16/2018	***-**-535P - DELGAIZO,	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063451518	BUY 0 Units @46.8600						
07/12/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @44.1600						
07/12/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	2.57	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @44.1600						
07/12/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @44.1600						
07/12/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @44.1600						
07/12/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @44.1600						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/12/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @44.1600						
07/13/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @44.2500						
07/13/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	8.15	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @44.2500						
07/16/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	30.60	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00089051066	BUY 1 Units @44.1700						
07/16/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	8.15	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @44.1700						
07/16/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	8.15	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @44.1700						
07/16/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	30.60	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00089051066	BUY 1 Units @44.1700						
07/17/2018	***-**-05IN - RANDALL, ADAM	NEW PERSPECTIVE FUND-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 00085941585	BUY 9 Units @44.3800						
07/17/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	8.15	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @44.3800						
07/17/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @44.3800						
07/19/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	6.62	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 00085902226	BUY 0 Units @44.2300						
07/19/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @44.2300						
07/19/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @44.2300						
07/19/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @44.2300						
07/19/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @44.2300						
07/19/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	2.63	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @44.2300						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/12/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	NEW WORLD FUND-C BUY 0 Units @63.4200	1.80	0.02	0.00	0.02	80.0	0.02
07/12/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW WORLD FUND-C BUY 0 Units @63.4200	21.00	0.21	0.00	0.21	80.0	0.17
07/12/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW WORLD FUND-C BUY 0 Units @63.4200	2.58	0.03	0.00	0.03	80.0	0.02
07/12/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @63.4200	10.50	0.11	0.00	0.11	80.0	0.09
07/12/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @63.4200	2.80	0.03	0.00	0.03	80.0	0.02
07/12/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	NEW WORLD FUND-C BUY 1 Units @63.4200	48.20	0.48	0.00	0.48	80.0	0.38
07/19/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @63.2200	6.62	0.07	0.00	0.07	80.0	0.06
07/19/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @63.2200	10.50	0.11	0.00	0.11	80.0	0.09
07/19/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW WORLD FUND-C BUY 0 Units @63.2200	21.00	0.21	0.00	0.21	80.0	0.17
07/19/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW WORLD FUND-C BUY 0 Units @63.2200	2.63	0.03	0.00	0.03	80.0	0.02
07/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	NEW WORLD FUND-C BUY 1 Units @63.2200	48.20	0.48	0.00	0.48	80.0	0.38
07/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	NEW WORLD FUND-C BUY 0 Units @63.2200	1.80	0.02	0.00	0.02	80.0	0.02
07/23/2018	***-**-335P - JULIAN, GIOVANA ACCOUNT #: 77613466001	NYA JPM 529 EQUITY INCOME PORTF C BUY 0 Units @0.0000	138.52	1.38	0.00	1.38	80.0	1.10
07/23/2018	***-**-6352 - GINERVA, ENZA ACCOUNT #: 77766297801	NYA JPM 529 EQUITY INCOME PORTF C BUY 0 Units @0.0000	20.00	0.25	0.00	0.25	80.0	0.20
07/23/2018	***-**-2942 - WARNEY, KENNETH ACCOUNT #: 77932712101	NYA JPM 529 EQUITY INCOME PORTF C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	80.0	0.20
07/23/2018	***-**-503J - OBI, JEAN	NYA JPM 529 MOD AGE-BASED A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	80.0	1.90



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/23/2018	***-**-015P - FANTAUZZO, ACCOUNT #: 77529133201	NYA JPM 529 REALTY INCOME PORTF C BUY 0 Units @0.0000	165.00	1.65	0.00	1.65	80.0	1.32
07/02/2018	***-**-7072 - SMITH, DEBBIE ACCOUNT #: 06670037664	OPP PORT SERIES: MOD INV FD C BUY 0 Units @0.0000	500.00	5.00	0.00	5.00	80.0	4.00
07/02/2018	***-**-4143 - STONE, GEORGE ACCOUNT #: 06670037675	OPP PORT SERIES: MOD INV FD C BUY 0 Units @0.0000	225.00	2.25	0.00	2.25	80.0	1.80
07/02/2018	***-**-3526 - STONE, LINDA ACCOUNT #: 06670037686	OPP PORT SERIES: MOD INV FD C BUY 0 Units @0.0000	225.00	2.25	0.00	2.25	80.0	1.80
07/06/2018	***-**-3407 - SUTTELL, PAULA ACCOUNT #: 05470454740	OPP PORT SERIES: MOD INV FD C BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	80.0	0.80
07/06/2018	***-**-56SI - ODELL, JULIE ACCOUNT #: 05470419034	OPP PORT SERIES: MOD INV FD C BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	80.0	0.80
07/06/2018	***-**-6603 - JOHNSON, TERRY ACCOUNT #: 03322638581	OPPENHEIMER GLOBAL CLASS C BUY 0 Units @0.0000	66.00	0.66	0.00	0.66	80.0	0.53
07/06/2018	***-**-85IN - MAZZOLA, BARBARA ACCOUNT #: 01971104114	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	7.50	0.08	0.00	0.08	80.0	0.06
07/03/2018	***-**-729I - GIAMBRONE, KELLY ACCOUNT #: 08491596925	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	231.04	2.31	0.00	2.31	80.0	1.85
07/03/2018	***-**-541P - SCHILLACI, AMY ACCOUNT #: 02271188506	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	80.0	0.40
07/03/2018	***-**-4980 - MOYER, COLLEEN ACCOUNT #: 02271217095	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	80.0	0.40
07/03/2018	***-**-1314 - BENEDETTO, ACCOUNT #: 02270934318	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	125.00	1.25	0.00	1.25	80.0	1.00
07/03/2018	***-**-655P - DAVIS, BERTHA ACCOUNT #: 02271237808	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	80.0	0.20
07/06/2018	***-**-6836 - SMITH, ADRIENNE ACCOUNT #: 02271182830	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	80.0	0.20
07/02/2018	***-**-772I - KERHAERT, ACCOUNT #: 02592262304	QUEST BALANCED FUND C BUY 0 Units @0.0000	62.50	0.63	0.00	0.63	80.0	0.50
07/03/2018	***-**-1314 - BENEDETTO, ACCOUNT #: 02592292741	QUEST BALANCED FUND C BUY 0 Units @0.0000	250.00	2.50	0.00	2.50	80.0	2.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/03/2018	***-**-2620 - CUSIMANO, MEGAN	REAL ESTATE FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 05920400103	BUY 0 Units @0.0000						
07/06/2018	***-**-7330 - JOHNSON, DONALD	REAL ESTATE FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 05920398266	BUY 0 Units @0.0000						
07/09/2018	***-**-9530 - MILAM, IAN	REAL ESTATE FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 05920419496	BUY 0 Units @0.0000						
07/13/2018	***-**-685P - MASTROSIMONE,	SHORT-TERM BOND FUND OF AMERICA - A	373.06	3.73	0.00	3.73	80.0	2.98
	ACCOUNT #: 00067400188	BUY 38 Units @9.8000						
07/13/2018	***-**-685P - MASTROSIMONE,	SHORT-TERM BOND FUND OF AMERICA - A	13.13	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 00067400188	BUY 1 Units @9.8000						
07/13/2018	***-**-305P - MASTROSIMONE,	SHORT-TERM BOND FUND OF AMERICA - A	22.50	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 00067436327	BUY 2 Units @9.8000						
07/13/2018	***-**-305P - MASTROSIMONE,	SHORT-TERM BOND FUND OF AMERICA - A	373.06	3.73	0.00	3.73	80.0	2.98
	ACCOUNT #: 00067436327	BUY 38 Units @9.8000						
07/03/2018	***-**-4980 - MOYER, COLLEEN	SMALL- & MID- CAP VALUE FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02531288969	BUY 0 Units @0.0000						
07/06/2018	***-**-1834 - BUTLER, KIM	SMALL- & MID- CAP VALUE FUND C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 02531162106	BUY 0 Units @0.0000						
07/12/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @52.1400						
07/12/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @52.1400						
07/13/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	8.16	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @52.2600						
07/13/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @52.2600						
07/16/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	30.60	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00089051066	BUY 1 Units @52.1700						
07/16/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	8.16	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @52.1700						
07/16/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	8.16	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @52.1700						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/16/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 1 Units @52.1700	30.60	0.31	0.00	0.31	80.0	0.25
07/16/2018	***-**-43IN - BISHOP, EVELYN ACCOUNT #: 04000849605	SMALLCAP WORLD FUND-C BUY 1 Units @52.1700	50.00	0.50	0.00	0.50	80.0	0.40
07/17/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @52.3400	8.16	0.08	0.00	0.08	80.0	0.06
07/17/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @52.3400	20.40	0.20	0.00	0.20	80.0	0.16
07/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 1 Units @52.3600	48.20	0.48	0.00	0.48	80.0	0.38
07/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 0 Units @52.3600	1.80	0.02	0.00	0.02	80.0	0.02
07/02/2018	***-**-9206 - SANDER, DAVID ACCOUNT #: 02322653794	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	80.0	0.80
07/03/2018	***-**-1314 - BENEDETTO, ACCOUNT #: 02322751089	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	125.00	1.25	0.00	1.25	80.0	1.00
07/06/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 01920863291	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	10.00	0.10	0.00	0.10	80.0	0.08
07/13/2018	***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475	THE BOND FUND OF AMERICA-A BUY 5 Units @12.5900	60.00	0.60	0.00	0.60	80.0	0.48
07/13/2018	***-**-3306 - MASTROSIMONE, ACCOUNT #: 00083382475	THE BOND FUND OF AMERICA-A BUY 5 Units @12.5900	60.00	0.60	0.00	0.60	80.0	0.48
07/13/2018	***-**-815P - WEBER, ANTHONY ACCOUNT #: 00067400187	THE BOND FUND OF AMERICA-A BUY 3 Units @12.5900	37.63	0.38	0.00	0.38	80.0	0.30
07/13/2018	***-**-815P - WEBER, ANTHONY ACCOUNT #: 00067400187	THE BOND FUND OF AMERICA-A BUY 7 Units @12.5900	94.25	0.94	0.00	0.94	80.0	0.75
07/13/2018	***-**-3757 - MALONE, KENNETH ACCOUNT #: 00083474112	THE BOND FUND OF AMERICA-A BUY 4 Units @12.5900	53.82	0.54	0.00	0.54	80.0	0.43
07/13/2018	***-**-3757 - MALONE, KENNETH ACCOUNT #: 00083474112	THE BOND FUND OF AMERICA-A BUY 6 Units @12.5900	71.76	0.72	0.00	0.72	80.0	0.58
07/16/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE BOND FUND OF AMERICA-A BUY 3 Units @12.7600	44.50	0.53	0.00	0.53	80.0	0.42



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/16/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE BOND FUND OF AMERICA-A BUY 3 Units @12.7600	44.50	0.53	0.00	0.53	80.0	0.42
07/12/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5700	2.92	0.03	0.00	0.03	80.0	0.02
07/12/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 1 Units @12.5700	9.00	0.09	0.00	0.09	80.0	0.07
07/12/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 2 Units @12.5700	28.05	0.28	0.00	0.28	80.0	0.22
07/12/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5700	3.63	0.04	0.00	0.04	80.0	0.03
07/19/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5800	3.63	0.04	0.00	0.04	80.0	0.03
07/19/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 2 Units @12.5800	28.05	0.28	0.00	0.28	80.0	0.22
07/19/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 1 Units @12.5800	9.00	0.09	0.00	0.09	80.0	0.07
07/19/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5800	2.45	0.02	0.00	0.02	80.0	0.02
07/13/2018	***-**-9535 - MITCHELL, ACCOUNT #: 00089874312	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6100	65.85	0.66	0.00	0.66	80.0	0.53
07/13/2018	***-**-9535 - MITCHELL, ACCOUNT #: 00089874312	THE GROWTH FUND OF AMERICA-A BUY 2 Units @55.6100	100.00	1.00	0.00	1.00	80.0	0.80
07/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089254350	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6100	66.00	0.66	0.00	0.66	80.0	0.53
07/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089254350	THE GROWTH FUND OF AMERICA-A BUY 0 Units @55.6100	19.38	0.19	0.00	0.19	80.0	0.15
07/13/2018	***-**-1788 - SYKES, DANIEL ACCOUNT #: 00087870442	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6100	51.95	0.52	0.00	0.52	80.0	0.42
07/13/2018	***-**-1788 - SYKES, DANIEL ACCOUNT #: 00087870442	THE GROWTH FUND OF AMERICA-A BUY 2 Units @55.6100	138.54	1.39	0.00	1.39	80.0	1.11
07/13/2018	***-**-8919 - MASTROSIMONE, ACCOUNT #: 00086553837	THE GROWTH FUND OF AMERICA-A BUY 2 Units @55.6100	100.00	1.00	0.00	1.00	80.0	0.80



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/13/2018	***-**-8919 - MASTROSIMONE, ACCOUNT #: 00086553837	THE GROWTH FUND OF AMERICA-A BUY 2 Units @55.6100	100.00	1.00	0.00	1.00	80.0	0.80
07/13/2018	***-**-3757 - MALONE, KENNETH ACCOUNT #: 00083474112	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6100	40.36	0.40	0.00	0.40	80.0	0.32
07/13/2018	***-**-3757 - MALONE, KENNETH ACCOUNT #: 00083474112	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6100	53.82	0.54	0.00	0.54	80.0	0.43
07/13/2018	***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6100	46.63	0.47	0.00	0.47	80.0	0.38
07/13/2018	***-**-705P - SNYDER, STEVEN ACCOUNT #: 00067400189	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6100	72.00	0.72	0.00	0.72	80.0	0.58
07/13/2018	***-**-815P - WEBER, ANTHONY ACCOUNT #: 00067400187	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6100	37.64	0.38	0.00	0.38	80.0	0.30
07/13/2018	***-**-815P - WEBER, ANTHONY ACCOUNT #: 00067400187	THE GROWTH FUND OF AMERICA-A BUY 2 Units @55.6100	94.25	0.94	0.00	0.94	80.0	0.75
07/13/2018	***-**-375P - MASTROSIMONE, ACCOUNT #: 00067351605	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6100	58.66	0.59	0.00	0.59	80.0	0.47
07/13/2018	***-**-375P - MASTROSIMONE, ACCOUNT #: 00067351605	THE GROWTH FUND OF AMERICA-A BUY 2 Units @55.6100	99.00	0.99	0.00	0.99	80.0	0.79
07/13/2018	***-**-825P - MASTROSIMONE, ACCOUNT #: 00067351603	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6100	67.50	0.68	0.00	0.68	80.0	0.54
07/13/2018	***-**-825P - MASTROSIMONE, ACCOUNT #: 00067351603	THE GROWTH FUND OF AMERICA-A BUY 7 Units @55.6100	374.25	3.74	0.00	3.74	80.0	2.99
07/13/2018	***-**-275P - HUGGINS, EDDISON ACCOUNT #: 00067335866	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6100	49.50	0.50	0.00	0.50	80.0	0.40
07/13/2018	***-**-275P - HUGGINS, EDDISON ACCOUNT #: 00067335866	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.6100	33.66	0.34	0.00	0.34	80.0	0.27
07/13/2018	***-**-975P - COTTONE, MARIA ACCOUNT #: 00086606929	THE GROWTH FUND OF AMERICA-A BUY 3 Units @58.2300	200.00	7.50	0.00	7.50	80.0	6.00
07/13/2018	***-**-975P - COTTONE, MARIA ACCOUNT #: 00086606929	THE GROWTH FUND OF AMERICA-A BUY 1 Units @58.2300	51.84	1.94	0.00	1.94	80.0	1.55
07/16/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.3200	66.75	0.80	0.00	0.80	80.0	0.64



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/16/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.3200	66.75	0.80	0.00	0.80	80.0	0.64
07/09/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.2200	10.00	0.10	0.00	0.10	80.0	0.08
07/10/2018	***-**-6171 - ROWE, KEVIN ACCOUNT #: 04000591484	THE GROWTH FUND OF AMERICA-C BUY 4 Units @51.3100	225.00	2.25	0.00	2.25	80.0	1.80
07/10/2018	***-**-1307 - BONACCI, ACCOUNT #: 00087952601	THE GROWTH FUND OF AMERICA-C BUY 2 Units @51.3100	100.00	1.00	0.00	1.00	80.0	0.80
07/10/2018	***-**-8208 - KARNS, SHANNON ACCOUNT #: 04000591533	THE GROWTH FUND OF AMERICA-C BUY 4 Units @51.3100	225.00	2.25	0.00	2.25	80.0	1.80
07/10/2018	***-**-50IN - BONACCI, ACCOUNT #: 00089947853	THE GROWTH FUND OF AMERICA-C BUY 2 Units @51.3100	100.00	1.00	0.00	1.00	80.0	0.80
07/12/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	THE GROWTH FUND OF AMERICA-C BUY 1 Units @51.3700	48.20	0.48	0.00	0.48	80.0	0.38
07/12/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.3700	1.80	0.02	0.00	0.02	80.0	0.02
07/12/2018	***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.3700	2.50	0.03	0.00	0.03	80.0	0.02
07/12/2018	***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.3700	2.50	0.03	0.00	0.03	80.0	0.02
07/13/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.3600	17.97	0.18	0.00	0.18	80.0	0.14
07/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 1 Units @51.3600	30.00	0.30	0.00	0.30	80.0	0.24
07/13/2018	***-**-05IN - RANDALL, ADAM ACCOUNT #: 00085941585	THE GROWTH FUND OF AMERICA-C BUY 1 Units @51.3600	50.00	0.50	0.00	0.50	80.0	0.40
07/16/2018	***-**-3431 - SPENCER, ACCOUNT #: 04000347049	THE GROWTH FUND OF AMERICA-C BUY 2 Units @51.2400	100.00	1.00	0.00	1.00	80.0	0.80
07/16/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.2400	10.00	0.10	0.00	0.10	80.0	0.08
07/16/2018	***-**-0578 - DIODATO, ZACHARY ACCOUNT #: 04000618224	THE GROWTH FUND OF AMERICA-C BUY 1 Units @51.2400	50.00	0.50	0.00	0.50	80.0	0.40



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/16/2018	***-**-4232 - DIODATO, MELISSA	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000618245	BUY 1 Units @51.2400						
07/17/2018	***-**-435P - BOSCO, SOFIA	THE GROWTH FUND OF AMERICA-C	176.00	1.76	0.00	1.76	80.0	1.41
	ACCOUNT #: 00073003754	BUY 3 Units @51.3500						
07/17/2018	***-**-05IN - RANDALL, ADAM	THE GROWTH FUND OF AMERICA-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 00085941585	BUY 8 Units @51.3500						
07/17/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089045192	BUY 1 Units @51.3500						
07/17/2018	***-**-1134 - BARTON, WILLIAM	THE GROWTH FUND OF AMERICA-C	61.56	0.62	0.00	0.62	80.0	0.50
	ACCOUNT #: 00089319958	BUY 1 Units @51.3500						
07/17/2018	***-**-312S - DISCH, JASMINE	THE GROWTH FUND OF AMERICA-C	9.52	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089583477	BUY 0 Units @51.3500						
07/17/2018	***-**-0006 - FORSYTH, KATHRYN	THE GROWTH FUND OF AMERICA-C	86.54	0.87	0.00	0.87	80.0	0.70
	ACCOUNT #: 04000650661	BUY 2 Units @51.3500						
07/19/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @51.1500						
07/19/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @51.1500						
07/19/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @51.1500						
07/19/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @51.1500						
07/13/2018	***-**-305P - MASTROSIMONE,	THE INCOME FUND OF AMERICA-A	22.50	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 00067436327	BUY 1 Units @23.0600						
07/13/2018	***-**-305P - MASTROSIMONE,	THE INCOME FUND OF AMERICA-A	373.06	3.73	0.00	3.73	80.0	2.98
	ACCOUNT #: 00067436327	BUY 16 Units @23.0600						
07/13/2018	***-**-685P - MASTROSIMONE,	THE INCOME FUND OF AMERICA-A	13.13	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 00067400188	BUY 1 Units @23.0600						
07/13/2018	***-**-685P - MASTROSIMONE,	THE INCOME FUND OF AMERICA-A	373.06	3.73	0.00	3.73	80.0	2.98
	ACCOUNT #: 00067400188	BUY 16 Units @23.0600						
07/20/2018	***-**-8776 - LEVINE, TODD	THE INCOME FUND OF AMERICA-A	100.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 00067996697	BUY 4 Units @24.1400						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089254350	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @41.4300	19.97	0.20	0.00	0.20	80.0	0.16
07/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089254350	THE INVESTMENT COMPANY OF AMERICA-A BUY 2 Units @41.4300	68.00	0.68	0.00	0.68	80.0	0.54
07/13/2018	***-**-1788 - SYKES, DANIEL ACCOUNT #: 00087870442	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @41.4300	51.95	0.52	0.00	0.52	80.0	0.42
07/13/2018	***-**-1788 - SYKES, DANIEL ACCOUNT #: 00087870442	THE INVESTMENT COMPANY OF AMERICA-A BUY 3 Units @41.4300	138.54	1.39	0.00	1.39	80.0	1.11
07/13/2018	***-**-275P - HUGGINS, EDDISON ACCOUNT #: 00067335866	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @41.4300	49.50	0.50	0.00	0.50	80.0	0.40
07/13/2018	***-**-275P - HUGGINS, EDDISON ACCOUNT #: 00067335866	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @41.4300	33.66	0.34	0.00	0.34	80.0	0.27
07/17/2018	***-**-9024 - HACKETT, ETHAN ACCOUNT #: 00088123299	THE NEW ECONOMY FUND-C BUY 1 Units @44.5200	25.00	0.25	0.00	0.25	80.0	0.20
07/16/2018	***-**-8932 - RUSH, MICHELLE ACCOUNT #: 04000574835	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 4 Units @12.8500	50.00	0.50	0.00	0.50	80.0	0.40
07/18/2018	***-**-279J - HERRMANN, KEVIN ACCOUNT #: 00086046167	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 10 Units @12.8400	125.00	1.25	0.00	1.25	80.0	1.00
07/09/2018	***-**-63IN - DIAMOND, ANN ACCOUNT #: 00085468057	U.S. GOVERNMENT SECURITIES FUND-C BUY 4 Units @13.3400	50.00	0.50	0.00	0.50	80.0	0.40
07/02/2018	***-**-9206 - SANDER, DAVID ACCOUNT #: 03770488829	VALUE FUND C BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	80.0	0.80
07/13/2018	***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @45.5400	22.50	0.23	0.00	0.23	80.0	0.18
07/13/2018	***-**-305P - MASTROSIMONE, ACCOUNT #: 00067436327	WASHINGTON MUTUAL INVESTORS FUND-A BUY 8 Units @45.5400	373.07	3.73	0.00	3.73	80.0	2.98
07/13/2018	***-**-685P - MASTROSIMONE, ACCOUNT #: 00067400188	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @45.5400	13.11	0.13	0.00	0.13	80.0	0.10
07/13/2018	***-**-685P - MASTROSIMONE, ACCOUNT #: 00067400188	WASHINGTON MUTUAL INVESTORS FUND-A BUY 8 Units @45.5400	373.07	3.73	0.00	3.73	80.0	2.98
07/13/2018	***-**-375P - MASTROSIMONE, ACCOUNT #: 00067351605	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @45.5400	60.44	0.60	0.00	0.60	80.0	0.48



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/13/2018	***-**-375P - MASTROSIMONE, ACCOUNT #: 00067351605	WASHINGTON MUTUAL INVESTORS FUND-A BUY 2 Units @45.5400	102.00	1.02	0.00	1.02	80.0	0.82
07/13/2018	***-**-825P - MASTROSIMONE, ACCOUNT #: 00067351603	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @45.5400	67.50	0.68	0.00	0.68	80.0	0.54
07/13/2018	***-**-825P - MASTROSIMONE, ACCOUNT #: 00067351603	WASHINGTON MUTUAL INVESTORS FUND-A BUY 8 Units @45.5400	374.25	3.74	0.00	3.74	80.0	2.99
07/09/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @44.7300	5.00	0.05	0.00	0.05	80.0	0.04
07/12/2018	***-**-305P - MESH, RONALD ACCOUNT #: 00074720484	WASHINGTON MUTUAL INVESTORS FUND-C BUY 9 Units @44.8400	400.00	4.00	0.00	4.00	80.0	3.20
07/16/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @44.9100	5.00	0.05	0.00	0.05	80.0	0.04
07/16/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @44.9100	40.00	0.40	0.00	0.40	80.0	0.32
07/16/2018	***-**-436I - RAGO, LISA ACCOUNT #: 04000456708	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @44.9100	50.00	0.50	0.00	0.50	80.0	0.40
07/17/2018	***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754	WASHINGTON MUTUAL INVESTORS FUND-C BUY 4 Units @44.9900	187.00	1.87	0.00	1.87	80.0	1.50
07/19/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @44.9800	40.00	0.40	0.00	0.40	80.0	0.32
* MUTUAL FUNDS SUBTOTAL *			\$23,277.03	\$253.05	\$3.00	\$250.05		\$199.47
PRODUCT CATEGORY: 529 PLAN								
07/16/2018	***-**-195P - HECHT, ANDREW ACCOUNT #: 00068453142	AMERICAN BALANCED FUND-529A BUY 7 Units @29.2000	200.00	10.00	0.00	10.00	80.0	8.00
07/16/2018	***-**-7255 - LIPPA, HARITINI ACCOUNT #: 00087198121	AMERICAN BALANCED FUND-529C BUY 2 Units @27.5100	50.00	0.50	0.00	0.50	80.0	0.40
07/16/2018	***-**-7255 - LIPPA, HARITINI ACCOUNT #: 00087198137	AMERICAN BALANCED FUND-529C BUY 2 Units @27.5100	50.00	0.50	0.00	0.50	80.0	0.40
07/16/2018	***-**-385P - ARBORE, TAYLER ACCOUNT #: 00081282910	CAPITAL WORLD GROWTH AND INCOME-529 BUY 1 Units @54.0400	50.00	2.13	0.00	2.13	80.0	1.70
07/16/2018	***-**-385P - ARBORE, TAYLER ACCOUNT #: 00081283006	CAPITAL WORLD GROWTH AND INCOME-529 BUY 1 Units @54.0400	50.00	2.13	0.00	2.13	80.0	1.70



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: 529 PLAN (continued)								
07/16/2018	***-**-415P - SCHILLACI, AMY	THE GROWTH FUND OF AMERICA-529C	75.00	0.75	0.00	0.75	80.0	0.60
	ACCOUNT #: 00081268733	BUY 1 Units @51.4000						
		* 529 PLAN SUBTOTAL *	\$475.00	\$16.01	\$0.00	\$16.01		\$12.80
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/23/2018	***-**-14IN - BENEDETTO,	AMERICAN BALANCED FUND-C	0.00	26.54	0.00	26.54	80.0	21.23
	ACCOUNT #: LSR010421	BUY 1 Units @0.0000						
07/23/2018	***-**-19IN - LIPPA, MARISSA	AMERICAN BALANCED FUND-C	0.00	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: LSR011011	BUY 1 Units @0.0000						
07/23/2018	***-**-61IO - BONACCI, FBO	AMERICAN BALANCED FUND-C	0.00	5.91	0.00	5.91	80.0	4.73
	ACCOUNT #: LSR011143	BUY 1 Units @0.0000						
07/23/2018	***-**-58IR - HINDERLAND, GARY	AMERICAN BALANCED FUND-C	0.00	1.65	0.00	1.65	80.0	1.32
	ACCOUNT #: LSR011249	BUY 1 Units @0.0000						
07/23/2018	***-**-283I - RICCI, GABRIELLE	AMERICAN BALANCED FUND-C	0.00	4.67	0.00	4.67	80.0	3.74
	ACCOUNT #: LSR011473	BUY 1 Units @0.0000						
07/23/2018	***-**-95IO - KELLY, COURTNEY	AMERICAN BALANCED FUND-C	0.00	2.98	0.00	2.98	80.0	2.38
	ACCOUNT #: LSR011685	BUY 1 Units @0.0000						
07/23/2018	***-**-18IO - TAYLOR, GREGORY	AMERICAN BALANCED FUND-C	0.00	22.77	0.00	22.77	80.0	18.22
	ACCOUNT #: LSR011690	BUY 1 Units @0.0000						
07/23/2018	***-**-80IN - MOYER, COLLEEN	AMERICAN BALANCED FUND-C	0.00	12.73	0.00	12.73	80.0	10.18
	ACCOUNT #: LSR011724	BUY 1 Units @0.0000						
07/23/2018	***-**-80IR - MOYER, COLLEEN	AMERICAN BALANCED FUND-C	0.00	14.62	0.00	14.62	80.0	11.70
	ACCOUNT #: LSR011726	BUY 1 Units @0.0000						
07/23/2018	***-**-92IR - CHEN, CYNTHIA	AMERICAN BALANCED FUND-C	0.00	1.52	0.00	1.52	80.0	1.22
	ACCOUNT #: LSR011784	BUY 1 Units @0.0000						
07/23/2018	***-**-80IR - ROBERTS,	AMERICAN BALANCED FUND-C	0.00	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: LSR011795	BUY 1 Units @0.0000						
07/23/2018	***-**-11IN - RUSSELL, ALAN	AMERICAN FUND TAX - EXEMPT FUND OF	0.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: LSR011417	BUY 1 Units @0.0000						
07/23/2018	***-**-61IN - OLIVERI, WILLIAM	AMERICAN HIGH INCOME MUNI BOND CLAS	0.00	102.66	0.00	102.66	80.0	82.13
	ACCOUNT #: LSR011543	BUY 1 Units @0.0000						
07/23/2018	***-**-306I - SINCLAIR,	AMERICAN HIGH-INCOME TRUST-C	0.00	5.03	0.00	5.03	80.0	4.02
	ACCOUNT #: LSR010992	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-05IO - KIMBLE, LONNY ACCOUNT #: LSR011472	AMERICAN HIGH-INCOME TRUST-C BUY 1 Units @0.0000	0.00	53.82	0.00	53.82	80.0	43.06
07/23/2018	***-**-18IO - TAYLOR, GREGORY ACCOUNT #: LSR011690	AMERICAN HIGH-INCOME TRUST-C BUY 1 Units @0.0000	0.00	21.07	0.00	21.07	80.0	16.86
07/23/2018	***-**-80IN - MOYER, COLLEEN ACCOUNT #: LSR011724	AMERICAN HIGH-INCOME TRUST-C BUY 1 Units @0.0000	0.00	11.75	0.00	11.75	80.0	9.40
07/23/2018	***-**-14IN - BENEDETTO, ACCOUNT #: LSR010421	AMERICAN MUTUAL FUND-C BUY 1 Units @0.0000	0.00	27.75	0.00	27.75	80.0	22.20
07/23/2018	***-**-44IR - ROBERTSON, ACCOUNT #: LSR010558	AMERICAN MUTUAL FUND-C BUY 1 Units @0.0000	0.00	1.74	0.00	1.74	80.0	1.39
07/23/2018	***-**-61IO - BONACCI, FBO ACCOUNT #: LSR011143	AMERICAN MUTUAL FUND-C BUY 1 Units @0.0000	0.00	7.33	0.00	7.33	80.0	5.86
07/23/2018	***-**-14IN - BENEDETTO, ACCOUNT #: LSR010421	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	90.99	0.00	90.99	80.0	72.79
07/23/2018	***-**-306I - SINCLAIR, ACCOUNT #: LSR010992	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	5.11	0.00	5.11	80.0	4.09
07/23/2018	***-**-18IO - TAYLOR, GREGORY ACCOUNT #: LSR011690	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	6.46	0.00	6.46	80.0	5.17
07/23/2018	***-**-92IR - CHEN, CYNTHIA ACCOUNT #: LSR011784	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.62	0.00	1.62	80.0	1.30
07/23/2018	***-**-540J - ARBORE, MARIA ACCOUNT #: 03020030772	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	2.19	0.00	2.19	80.0	1.75
07/23/2018	***-**-07IN - SCARPULLA, ACCOUNT #: 07600010039	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	15.26	0.00	15.26	80.0	12.21
07/23/2018	***-**-264I - SIDOTI, LAURA ACCOUNT #: 0104134960	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	10.37	0.00	10.37	80.0	8.30
07/17/2018	***-**-721I - TABER, FBO LISA ACCOUNT #: LSR010434	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	1.16	0.00	1.16	80.0	0.93
07/17/2018	***-**-721I - TABER, FBO LISA ACCOUNT #: LSR010434	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	2.43	0.00	2.43	80.0	1.94
07/23/2018	***-**-18IO - TAYLOR, GREGORY ACCOUNT #: LSR011690	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	24.83	0.00	24.83	80.0	19.86



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-80IN - MOYER, COLLEEN	FUNDAMENTAL INVESTORS-C	0.00	13.87	0.00	13.87	80.0	11.10
	ACCOUNT #: LSR011724	BUY 1 Units @0.0000						
07/23/2018	***-**-80IR - MOYER, COLLEEN	FUNDAMENTAL INVESTORS-C	0.00	7.89	0.00	7.89	80.0	6.31
	ACCOUNT #: LSR011726	BUY 1 Units @0.0000						
07/23/2018	***-**-14IN - BENEDETTO,	INCOME FUND OF AMERICA CLASS C	0.00	97.15	0.00	97.15	80.0	77.72
	ACCOUNT #: LSR010421	BUY 1 Units @0.0000						
07/23/2018	***-**-306I - SINCLAIR,	INCOME FUND OF AMERICA CLASS C	0.00	5.32	0.00	5.32	80.0	4.26
	ACCOUNT #: LSR010992	BUY 1 Units @0.0000						
07/23/2018	***-**-05IO - KIMBLE, LONNY	INCOME FUND OF AMERICA CLASS C	0.00	54.31	0.00	54.31	80.0	43.45
	ACCOUNT #: LSR011472	BUY 1 Units @0.0000						
07/23/2018	***-**-70UT - GALLETTS CUST,	INVESTMENT COMPANY OF AMERICA CLASS	0.00	6.91	0.00	6.91	80.0	5.53
	ACCOUNT #: LSR011191	BUY 1 Units @0.0000						
07/17/2018	***-**-63IR - DIAMOND, ANN	IVY SCIENCE AND TECHNOLOGY FUND CLA	0.00	2.45	0.00	2.45	80.0	1.96
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
07/17/2018	***-**-63IR - DIAMOND, ANN	IVY SMALL CAP GROWTH CL A	0.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
07/17/2018	***-**-63IR - DIAMOND, ANN	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.69	0.00	0.69	80.0	0.55
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
07/23/2018	***-**-92IR - CRISPINO, FBO	NEW PERSPECTIVE FUND-C	0.00	12.33	0.00	12.33	80.0	9.86
	ACCOUNT #: LSR011073	BUY 1 Units @0.0000						
07/23/2018	***-**-61IO - BONACCI, FBO	NEW PERSPECTIVE FUND-C	0.00	5.50	0.00	5.50	80.0	4.40
	ACCOUNT #: LSR011143	BUY 1 Units @0.0000						
07/23/2018	***-**-825P - CRISPINO, DIANA	NEW PERSPECTIVE FUND-C	0.00	5.90	0.00	5.90	80.0	4.72
	ACCOUNT #: LSR011759	BUY 1 Units @0.0000						
07/06/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						
07/23/2018	***-**-92IR - CHEN, CYNTHIA	PIMCO TOTAL RETURN CLASS A	0.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: LSR011412	BUY 1 Units @0.0000						
07/23/2018	***-**-63IR - DIAMOND, ANN	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
07/23/2018	***-**-61IO - BONACCI, FBO	THE BOND FUND OF AMERICA-C	0.00	5.82	0.00	5.82	80.0	4.66
	ACCOUNT #: LSR011143	BUY 1 Units @0.0000						



COMMISSION STATEMENT

July 23, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-497J - WARNEY, KENNETH	THE GROWTH FUND OF AMERICA-C	0.00	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: LSR011248	BUY 1 Units @0.0000						
07/23/2018	***-**-283I - RICCI, GABRIELLE	THE GROWTH FUND OF AMERICA-C	0.00	6.87	0.00	6.87	80.0	5.50
	ACCOUNT #: LSR011473	BUY 1 Units @0.0000						
07/23/2018	***-**-20IN - GIANGRECO, TERRY	THE TAX-EXEMPT BOND FUND OF AMERICA	0.00	359.43	0.00	359.43	80.0	287.54
	ACCOUNT #: LSR010603	BUY 1 Units @0.0000						
07/23/2018	***-**-61IN - OLIVERI, WILLIAM	THE TAX-EXEMPT BOND FUND OF AMERICA	0.00	148.95	0.00	148.95	80.0	119.16
	ACCOUNT #: LSR011543	BUY 1 Units @0.0000						
07/23/2018	***-**-80IR - MOYER, COLLEEN	WASHINGTON MUTUAL INVESTORS FUND-C	0.00	7.73	0.00	7.73	80.0	6.18
	ACCOUNT #: LSR011726	BUY 1 Units @0.0000						
07/23/2018	***-**-92IR - CHEN, CYNTHIA	WASHINGTON MUTUAL INVESTORS FUND-C	0.00	1.58	0.00	1.58	80.0	1.26
	ACCOUNT #: LSR011784	BUY 1 Units @0.0000						
07/05/2018	***-**-27IN - TINKLEPAUGH,	WELLS FGO AVTG DIVERSFD CAPITAL BLD	0.00	1.09	0.00	1.09	80.0	0.87
	ACCOUNT #: 01005412974	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$25.00	\$1,233.06	\$0.00	\$1,233.06		\$986.46
	*** BROKER TRANSACTIONS TOTAL ***		\$23,777.03	\$1,502.12	\$3.00	\$1,499.12		\$1,198.73



BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$1,198.73
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,198.73
						Year-to-date Earnings		\$140,979.53