



# COMMISSION STATEMENT

## May 14, 2018

DAVID BRODER  
143 Longfellow Rd  
Shelton, CT 06484

BROKER #: 198  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/07/2018	***-**-031X - CAROL A BRUNO, ACCOUNT #: LSR011974	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 246 Units @27.1500	6,679.20	351.96	18.00	333.96	70.0	233.77
05/07/2018	***-**-031X - CAROL A BRUNO, ACCOUNT #: LSR011974	FIDELITY ADV FINANCIAL SERVICES CL BUY 292 Units @22.9000	6,679.20	351.96	18.00	333.96	70.0	233.77
05/08/2018	***-**-7140 - MAYERSON, STEVEN ACCOUNT #: LSR012678	FIDELITY ADV HEALTH CARE CL T SELL 84 Units @42.9100	3,606.20	18.00	18.00	0.00	70.0	0.00
05/07/2018	***-**-031X - CAROL A BRUNO, ACCOUNT #: LSR011974	FIDELITY ADV INDUSTRIALS CL A BUY 155 Units @42.9900	6,679.18	351.96	18.00	333.96	70.0	233.77
05/08/2018	***-**-7140 - MAYERSON, STEVEN ACCOUNT #: LSR012678	PACIFIC FUNDS FLOAT RATE INCOME CL SELL 668 Units @10.1400	6,775.44	18.00	18.00	0.00	70.0	0.00
05/01/2018	***-**-31RI - BRUNO, CAROL ACCOUNT #: 00551361268	PUTNAM EQUITY INCOME FUND-A BUY 4 Units @25.6600	100.00	5.00	0.00	5.00	70.0	3.50
05/08/2018	***-**-7140 - MAYERSON, STEVEN ACCOUNT #: LSR012678	PUTNAM TAX-FREE HIGH YIELD FUND CLA SELL 997 Units @12.4500	12,417.48	18.00	18.00	0.00	70.0	0.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$42,936.70</b>	<b>\$1,114.88</b>	<b>\$108.00</b>	<b>\$1,006.88</b>		<b>\$704.81</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
05/14/2018	***-**-76IN - FENGLER, KERI ACCOUNT #: 46762776	SECURITY BENEFIT VUL TRAILS BUY 1 Units @0.0000	0.00	10.75	0.00	10.75	70.0	7.53
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			<b>\$0.00</b>	<b>\$10.75</b>	<b>\$0.00</b>	<b>\$10.75</b>		<b>\$7.53</b>
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
05/14/2018	***-**-5134 - KOONTZ, JOSEPH ACCOUNT #: 68455548301	CHET 529 BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	70.0	0.35
* 529 PLAN SUBTOTAL *			<b>\$50.00</b>	<b>\$0.50</b>	<b>\$0.00</b>	<b>\$0.50</b>		<b>\$0.35</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/07/2018	***-**-7210 - GUARNA, CATERINA ACCOUNT #: 01488781368	FA 529 EQUITY INCOME PORT BUY 0 Units @0.0000	0.00	0.38	0.00	0.38	70.0	0.27
05/07/2018	***-**-7210 - GUARNA, CATERINA ACCOUNT #: 01488781475	FA 529 EQUITY INCOME PORT BUY 0 Units @0.0000	0.00	0.75	0.00	0.75	70.0	0.53
05/07/2018	***-**-7210 - GUARNA, CATERINA ACCOUNT #: 01488781509	FA 529 EQUITY INCOME PORT BUY 0 Units @0.0000	0.00	0.56	0.00	0.56	70.0	0.39



# COMMISSION STATEMENT

## May 14, 2018

DAVID BRODER  
143 Longfellow Rd  
Shelton, CT 06484

BROKER #: 198  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/07/2018	***-**-7210 - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	0.55	0.00	0.55	70.0	0.39
	ACCOUNT #: 01488781533	BUY 0 Units @0.0000						
05/07/2018	***-**-49IN - TALLO, ROSE	FA 529 MID CAP PORT - CL A	0.00	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
05/07/2018	***-**-49IN - TALLO, ROSE	FA 529 NEW INSIGHTS PORT-CL A	0.00	0.94	0.00	0.94	70.0	0.66
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
05/07/2018	***-**-49IN - TALLO, ROSE	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.57	0.00	1.57	70.0	1.10
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
05/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV ASSET MANAGER 60% CL A	0.00	0.29	0.00	0.29	70.0	0.20
	ACCOUNT #: 01445267972	BUY 0 Units @0.0000						
05/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV ASSET MANAGER 60% CL A	0.00	0.17	0.00	0.17	70.0	0.12
	ACCOUNT #: 01445268004	BUY 0 Units @0.0000						
05/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV MF A1	0.00	1.91	0.00	1.91	70.0	1.34
	ACCOUNT #: 01445267972	BUY 0 Units @0.0000						
05/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV MF A1	0.00	1.13	0.00	1.13	70.0	0.79
	ACCOUNT #: 01445268004	BUY 0 Units @0.0000						
05/07/2018	***-**-472I - PARADIS, URSULA	FIDELITY ADV STRATEGIC INCOME CL A	0.00	2.86	0.00	2.86	70.0	2.00
	ACCOUNT #: 01455315984	BUY 0 Units @0.0000						
05/07/2018	***-**-1111 - ROMANOVSKY,	FIDELITY ADV TOTAL BOND-CL T	0.00	2.78	0.00	2.78	70.0	1.95
	ACCOUNT #: 01456178423	BUY 0 Units @0.0000						
05/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV TRAILS 31580T877	0.00	0.07	0.00	0.07	70.0	0.05
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$14.36</b>	<b>\$0.00</b>	<b>\$14.36</b>		<b>\$10.07</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$42,986.70</b>	<b>\$1,140.49</b>	<b>\$108.00</b>	<b>\$1,032.49</b>		<b>\$722.76</b>



**BROKER #:** 198  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$722.76
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$722.76
						Year-to-date Earnings		\$17,496.63