

Bill Payment Stub

Check Date:	4/25/2018
Check No.:	41471
Check Amount:	8,544.34

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: CHIP KLEINBROOK
2817 S. MILFORD RD., STE 101
HIGHLAND, MI 48357

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
4/15/2018	Bill	COMMISSIONS	8,679.34	8,679.34		8,679.34
4/5/2018	Credit	FINRA ASSESMENT	-135.00	-135.00		-135.00

COMMISSION STATEMENT

April 15, 2018

CHIP KLEINBROOK-D037

BROKER #: D037

BRANCH: DMN

, TX

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/02/2018 (None)	VARIOUS, KLEINBROOK	MF FIDELITY ADVISOR FDS	B	0.00	1.00	0.00	1.00	80.0	0.80
04/05/2018 00068622447	GLORIA D PARRISH	MF THE GROWTH FUND OF AMERICA-A	B	20.00	0.85	0.00	0.85	80.0	0.68
04/05/2018 00083533648	GLORIA D PARRISH	MF CAPITAL WORLD GROWTH AND INCOME B	B	40.00	1.70	0.00	1.70	80.0	1.36
* MUTUAL FUNDS SUBTOTAL *				\$60.00	\$3.55	\$0.00	\$3.55		\$2.84
<u>PRODUCT CATEGORY: FIXED ANNUITIES</u>									
04/01/2018 (None)	ROUSSEY, DAVID	FA ALLIANZ FIA	B	0.00	187.54	0.00	187.54	80.0	150.03
04/01/2018 (None)	VIRGIN, WILLIAM	FA ALLIANZ FIA	B	0.00	378.65	0.00	378.65	80.0	302.92
04/01/2018 (None)	ORLANDO, CHRISTOPHER	FA ALLIANZ FIA	B	0.00	663.96	0.00	663.96	80.0	531.17
04/01/2018 (None)	KLEINBROOK, CHARLES	FA ALLIANZ FIA	B	0.00	322.62	0.00	322.62	80.0	258.10
04/01/2018 (None)	MAIORANA, MARY	FA ALLIANZ FIA	B	0.00	509.71	0.00	509.71	80.0	407.77
04/01/2018 (None)	KLEINBROOK, ROBERT	FA ALLIANZ FIA	B	0.00	152.31	0.00	152.31	80.0	121.85
04/01/2018 (None)	KLIENBROOK, DEBRA	FA ALLIANZ FIA	B	0.00	135.58	0.00	135.58	80.0	108.46
04/01/2018 (None)	CHRISTOPHER, FRANK	FA ALLIANZ FIA	B	0.00	123.25	0.00	123.25	80.0	98.60
* FIXED ANNUITIES SUBTOTAL *				\$0.00	\$2,473.62	\$0.00	\$2,473.62		\$1,978.90
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/28/2018 (None)	CHHEN, JEAN	MFT BLACKROCK	B	0.00	24.84	0.00	24.84	80.0	19.87
02/28/2018 (None)	CHHEN, JEAN	MFT BLACKROCK	B	0.00	8.28	0.00	8.28	80.0	6.62
03/31/2018 (None)	BAGAZINSKI, GERALD	MFT PACIFIC LIFE 12B1	B	0.00	4.45	0.00	4.45	80.0	3.56
03/31/2018 (None)	BAGAZINSKI, GERALD	MFT PACIFIC LIFE 12B1	B	0.00	12.99	0.00	12.99	80.0	10.39
04/06/2018 (None)	VARIOUS, KLEINBROOK	MFT FIDELITY ADVISOR FDS	B	0.00	1,066.10	0.00	1,066.10	80.0	852.88
04/02/2018 00421275732	SIPPEL, JEAN	MFT PUTNAM 746745108	B	0.00	4.06	0.00	4.06	80.0	3.25
04/02/2018 00517197709	PLAN	MFT PUTNAM 746444850	B	0.00	387.45	0.00	387.45	80.0	309.96
04/02/2018 00550921152	TO STA TOD RULES,	MFT PUTNAM 746916303	B	0.00	1.04	0.00	1.04	80.0	0.83
04/02/2018 00550921152	TO STA TOD RULES,	MFT PUTNAM GROWTH OPPORTUNITIES	B	0.00	1.03	0.00	1.03	80.0	0.82
04/02/2018 00550921152	TO STA TOD RULES,	MFT PUTNAM RESEARCH FUND-C	B	0.00	1.56	0.00	1.56	80.0	1.25
04/02/2018 00551338615	CHHEN, ALEX	MFT PUTNAM ABSOLUTE RETURN 500-A	B	0.00	13.20	0.00	13.20	80.0	10.56
04/02/2018 00551406805	TO STA TOD RULES,	MFT PUTNAM 746745108	B	0.00	4.70	0.00	4.70	80.0	3.76
04/01/2018 03909882817	ABEN, JAMES	MFT AIM CHARTER FUND - CLASS C	B	0.00	16.76	0.00	16.76	80.0	13.41
04/01/2018 03909882817	ABEN, JAMES	MFT INVESCO AMERICAN FRANCHISE FUND B	B	0.00	2.58	0.00	2.58	80.0	2.06
04/01/2018 03909882817	ABEN, JAMES	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	9.03	0.00	9.03	80.0	7.22

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/01/2018	03911238057 LY, KENNY	MFT AIM CHARTER FUND - CLASS A	B	0.00	12.96	0.00	12.96	80.0	10.37
04/01/2018	03911238057 LY, KENNY	MFT AIM MODERATE ALLOCATION - CLASS B	B	0.00	9.61	0.00	9.61	80.0	7.69
04/01/2018	03911238057 LY, KENNY	MFT INVESCO AMERICAN FRANCHISE FUND B	B	0.00	9.96	0.00	9.96	80.0	7.97
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,590.60	\$0.00	\$1,590.60		\$1,272.47
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
03/28/2018	(None) BROWN, KEVIN	VAT SECURITY BENEFIT LIFE	B	0.00	17.07	0.00	17.07	80.0	13.66
03/28/2018	(None) BROWN, JULIE COTE	VAT SECURITY BENEFIT LIFE	B	0.00	17.45	0.00	17.45	80.0	13.96
03/28/2018	(None) KLEINBROOK, CHARLES	VAT SECURITY BENEFIT LIFE	B	0.00	17.75	0.00	17.75	80.0	14.20
03/31/2018	(None) VARIOUS, KLEINBROOK	VAT PRUDENTIAL LIFE	B	0.00	6,368.25	0.00	6,368.25	80.0	5,094.60
03/31/2018	(None) VARIOUS, KLEINBROOK	VAT PRUDENTIAL LIFE	B	0.00	360.89	0.00	360.89	80.0	288.71
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$6,781.41	\$0.00	\$6,781.41		\$5,425.13
*** DIRECT TRANSACTIONS TOTAL ***				\$60.00	\$10,849.18	\$0.00	\$10,849.18		\$8,679.34

BROKER COMMISSION TOTALS

Broker Transactions	\$8,679.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$8,679.34
Year-to-date Commissions	\$33,028.23