



COMMISSION STATEMENT

May 28, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/15/2018	***-**-985P - PAPST, MEREDITH	AMCAP FUND-A	150.00	4.13	0.00	4.13	80.0	3.30
	ACCOUNT #: 00067807144	BUY 4 Units @34.8000						
05/18/2018	***-**-3083 - SWIRSKI, KATHY	AMERICAN 2040 TARGET DATE RETIREMNT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 04000939769	BUY 3 Units @15.3300						
05/14/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00083064667	BUY 1 Units @28.1900						
05/14/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	32.48	0.89	0.00	0.89	80.0	0.71
	ACCOUNT #: 00083064667	BUY 1 Units @28.1900						
05/14/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.1900						
05/14/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.1900						
05/14/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	27.95	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 04000627501	BUY 1 Units @28.4800						
05/14/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	27.95	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 04000627501	BUY 1 Units @28.4800						
05/14/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	24.34	0.91	0.00	0.91	80.0	0.73
	ACCOUNT #: 04000627501	BUY 1 Units @28.4800						
05/14/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	24.34	0.91	0.00	0.91	80.0	0.73
	ACCOUNT #: 04000627501	BUY 1 Units @28.4800						
05/14/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	20.78	0.78	0.00	0.78	80.0	0.62
	ACCOUNT #: 04000627501	BUY 1 Units @28.4800						
05/14/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	20.78	0.78	0.00	0.78	80.0	0.62
	ACCOUNT #: 04000627501	BUY 1 Units @28.4800						
05/16/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	24.89	1.24	0.00	1.24	80.0	0.99
	ACCOUNT #: 04000812765	BUY 1 Units @28.7700						
05/18/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.6600						
05/18/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.6600						
05/18/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.6600						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/18/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.6600						
05/18/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.6600						
05/18/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.6600						
05/18/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.6600						
05/18/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.6600						
05/18/2018	***-**-0474 - PEPICE, VITO	AMERICAN BALANCED FUND-C	57.72	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 04000939776	BUY 2 Units @26.9100						
05/14/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00087513340	BUY 2 Units @13.0800						
05/14/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.0800						
05/16/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 24 Units @15.5300						
05/18/2018	***-**-3991 - ROBERTS, STEPHEN	AMERICAN FUNDS 2030 TARGET DATE-A	250.00	12.50	0.00	12.50	80.0	10.00
	ACCOUNT #: 04000939783	BUY 16 Units @15.5200						
05/18/2018	***-**-4768 - MSADOQUIS,	AMERICAN FUNDS 2030 TARGET DATE-C	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 04000939762	BUY 2 Units @14.3800						
05/18/2018	***-**-0216 - STEINBACHER,	AMERICAN FUNDS 2030 TARGET DATE-C	37.13	0.37	0.00	0.37	80.0	0.30
	ACCOUNT #: 04000939792	BUY 3 Units @14.3800						
05/18/2018	***-**-7250 - RAJKOWSKI, DIANE	AMERICAN FUNDS 2030 TARGET DATE-C	48.80	0.49	0.00	0.49	80.0	0.39
	ACCOUNT #: 04000939806	BUY 3 Units @14.3800						
05/14/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @15.8000						
05/14/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.8000						
05/16/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 23 Units @16.1400						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/14/2018	***-**-2796 - ESTRELLA, JUDITH	AMERICAN FUNDS 2040 TARGET DATE-A	132.00	2.64	0.00	2.64	80.0	2.11
	ACCOUNT #: 00084671437	BUY 8 Units @16.0700						
05/14/2018	***-**-2796 - ESTRELLA, JUDITH	AMERICAN FUNDS 2040 TARGET DATE-A	264.00	5.28	0.00	5.28	80.0	4.22
	ACCOUNT #: 00084671437	BUY 16 Units @16.0700						
05/14/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	28.93	1.08	0.00	1.08	80.0	0.86
	ACCOUNT #: 04000627489	BUY 2 Units @16.4100						
05/14/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.4100						
05/14/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	30.65	1.15	0.00	1.15	80.0	0.92
	ACCOUNT #: 04000627489	BUY 2 Units @16.4100						
05/14/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.4100						
05/14/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	27.69	1.04	0.00	1.04	80.0	0.83
	ACCOUNT #: 04000627489	BUY 2 Units @16.4100						
05/14/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.4100						
05/16/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 18 Units @16.5700						
05/16/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.5700						
05/18/2018	***-**-3266 - DIDOMINZIO,	AMERICAN FUNDS 2040 TARGET DATE-A	50.57	2.53	0.00	2.53	80.0	2.02
	ACCOUNT #: 04000939801	BUY 3 Units @16.5500						
05/14/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 12 Units @16.1800						
05/14/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @16.1800						
05/14/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	50.30	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 04000563702	BUY 3 Units @16.1800						
05/14/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @16.1800						
05/18/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000827629	BUY 6 Units @12.9900						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/18/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @12.9900	75.00	1.20	0.00	1.20	80.0	0.96
05/14/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3300	25.00	0.25	0.00	0.25	80.0	0.20
05/14/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3300	25.00	0.25	0.00	0.25	80.0	0.20
05/14/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3300	24.26	0.24	0.00	0.24	80.0	0.19
05/14/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3300	25.00	0.25	0.00	0.25	80.0	0.20
05/14/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3300	25.00	0.25	0.00	0.25	80.0	0.20
05/14/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3300	25.00	0.25	0.00	0.25	80.0	0.20
05/14/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @15.3300	240.50	2.41	0.00	2.41	80.0	1.93
05/14/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 4 Units @15.3300	66.96	0.67	0.00	0.67	80.0	0.54
05/14/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 4 Units @15.3300	66.96	0.67	0.00	0.67	80.0	0.54
05/14/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @15.3300	240.50	2.41	0.00	2.41	80.0	1.93
05/14/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @15.3300	240.50	2.41	0.00	2.41	80.0	1.93
05/14/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 4 Units @15.3300	66.96	0.67	0.00	0.67	80.0	0.54
05/14/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @15.3300	45.00	0.45	0.00	0.45	80.0	0.36
05/14/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3300	37.22	0.37	0.00	0.37	80.0	0.30
05/14/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @15.3300	45.00	0.45	0.00	0.45	80.0	0.36



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/14/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3300	33.51	0.34	0.00	0.34	80.0	0.27
05/14/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @15.3300	45.00	0.45	0.00	0.45	80.0	0.36
05/14/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3300	34.87	0.35	0.00	0.35	80.0	0.28
05/15/2018	***-**-985P - PAPST, MEREDITH ACCOUNT #: 00067807144	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @54.3500	125.00	3.44	0.00	3.44	80.0	2.75
05/18/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5100	30.00	0.48	0.00	0.48	80.0	0.38
05/18/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5100	30.00	0.48	0.00	0.48	80.0	0.38
05/15/2018	***-**-985P - PAPST, MEREDITH ACCOUNT #: 00067807144	WASHINGTON MUTUAL INVESTORS FUND-A BUY 3 Units @47.8700	150.00	4.13	0.00	4.13	80.0	3.30
* MUTUAL FUNDS SUBTOTAL *			\$6,171.68	\$160.48	\$0.00	\$160.48		\$128.34
*** BROKER TRANSACTIONS TOTAL ***			\$6,171.68	\$160.48	\$0.00	\$160.48		\$128.34



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$128.34
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$128.34
						Year-to-date Earnings		\$34,963.26