

**COMMISSION STATEMENT****July 31, 2018**KEITH, L128 LAYMAN
4321 FlagstaffBROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
07/17/2018	JORDAN, NEAL	CLS INVESTMENTS	18,634.29	46.97	0.00	46.97	80.0	37.58
07/17/2018	JORDAN, NEAL	CLS INVESTMENTS	6,112.44	13.62	0.00	13.62	80.0	10.90
07/17/2018	JORDAN, NEAL	CLS INVESTMENTS	20,713.78	54.00	0.00	54.00	80.0	43.20
07/17/2018	JORDAN, PAM	CLS INVESTMENTS	19,294.00	48.63	0.00	48.63	80.0	38.90
07/17/2018	AMBRIOLE, WESLEY	CLS INVESTMENTS	13,166.88	33.19	0.00	33.19	80.0	26.55
07/17/2018	AMBRIOLE, WESLEY	CLS INVESTMENTS	4,063.54	10.24	0.00	10.24	80.0	8.19
07/17/2018	AMBRIOLE, WESLEY	CLS INVESTMENTS	5,777.58	12.60	0.00	12.60	80.0	10.08
07/17/2018	AMBRIOLE, WESLEY	CLS INVESTMENTS	49,999.99	127.99	0.00	127.99	80.0	102.39
07/17/2018	ARCHBOLD, DANNY	CLS INVESTMENTS	7,359.89	18.55	0.00	18.55	80.0	14.84
07/17/2018	ARCHBOLD, PAMELA	CLS INVESTMENTS	13,519.78	34.07	0.00	34.07	80.0	27.26
07/17/2018	ARCHBOLD, PAMELA	CLS INVESTMENTS	10,287.66	25.93	0.00	25.93	80.0	20.74
07/17/2018	ARCHBOLD, DANNY	CLS INVESTMENTS	4,881.60	12.30	0.00	12.30	80.0	9.84
07/17/2018	ASHBAUGH, ALAN	CLS INVESTMENTS	18,382.24	9.86	0.00	9.86	80.0	7.89
07/17/2018	ASHBAUGH, ALAN	CLS INVESTMENTS	6,892.25	3.07	0.00	3.07	80.0	2.46
07/17/2018	ASHBAUGH, ALAN	CLS INVESTMENTS	40,904.62	8.56	0.00	8.56	80.0	6.85
07/17/2018	ASHBAUGH, ALAN	CLS INVESTMENTS	24,583.47	6.56	0.00	6.56	80.0	5.25
07/17/2018	ASHBAUGH, ALAN	CLS INVESTMENTS	41,379.06	98.58	0.00	98.58	80.0	78.86
07/17/2018	ASHBAUGH, ALAN	CLS INVESTMENTS	49,999.99	163.24	0.00	163.24	80.0	130.59
07/17/2018	BAILEY, KEVIN	CLS INVESTMENTS	59,553.94	139.83	0.00	139.83	80.0	111.86
07/17/2018	BAILEY, KEVIN	CLS INVESTMENTS	49,999.99	136.30	0.00	136.30	80.0	109.04
07/17/2018	BAILEY, BRUCE	CLS INVESTMENTS	57,600.87	140.88	0.00	140.88	80.0	112.70
07/17/2018	BAILEY, BRUCE	CLS INVESTMENTS	24,287.02	65.52	0.00	65.52	80.0	52.42
07/17/2018	BAILEY, LOIS	CLS INVESTMENTS	60,982.74	149.15	0.00	149.15	80.0	119.32
07/17/2018	BAILEY, LOIS	CLS INVESTMENTS	25,712.97	69.37	0.00	69.37	80.0	55.50
07/17/2018	BUNN, LINDA	CLS INVESTMENTS	14,828.96	37.38	0.00	37.38	80.0	29.90
07/17/2018	BYRUM, GERALD	CLS INVESTMENTS	97,865.52	239.04	0.00	239.04	80.0	191.23

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/17/2018	BYRUM, GERALD	CLS INVESTMENTS	25,429.58	71.73	0.00	71.73	80.0	57.38
07/17/2018	BYRUM, GERALD	CLS INVESTMENTS	94,559.02	230.96	0.00	230.96	80.0	184.77
07/17/2018	BYRUM, GERALD	CLS INVESTMENTS	24,570.41	69.31	0.00	69.31	80.0	55.45
07/17/2018	CONTI, JAMES	CLS INVESTMENTS	40,498.91	102.08	0.00	102.08	80.0	81.66
07/17/2018	COX, JAMES	CLS INVESTMENTS	43,361.22	120.23	0.00	120.23	80.0	96.18
07/17/2018	DAVID, SCOTT	CLS INVESTMENTS	24,647.01	67.20	0.00	67.20	80.0	53.76
07/17/2018	DAVID, SCOTT	CLS INVESTMENTS	30,279.41	71.10	0.00	71.10	80.0	56.88
07/17/2018	DAVID, SCOTT	CLS INVESTMENTS	25,352.98	69.12	0.00	69.12	80.0	55.30
07/17/2018	DAVID, SCOTT	CLS INVESTMENTS	29,436.25	69.12	0.00	69.12	80.0	55.30
07/17/2018	EAGLESON, ROSS	CLS INVESTMENTS	20,611.40	46.44	0.00	46.44	80.0	37.15
07/17/2018	EAGLESON, ROSS	CLS INVESTMENTS	49,999.99	131.55	0.00	131.55	80.0	105.24
07/17/2018	EAGLESON, ROSS	CLS INVESTMENTS	29,750.71	71.05	0.00	71.05	80.0	56.84
07/17/2018	EAGLESON, ROSS	CLS INVESTMENTS	15,987.12	44.23	0.00	44.23	80.0	35.38
07/17/2018	EAGLESON, MARTHA	CLS INVESTMENTS	26,539.61	63.38	0.00	63.38	80.0	50.70
07/17/2018	EAGLESON, MARTHA	CLS INVESTMENTS	14,261.57	39.46	0.00	39.46	80.0	31.57
07/17/2018	EAGLESON, MARTHA	CLS INVESTMENTS	36,755.52	87.78	0.00	87.78	80.0	70.22
07/17/2018	EAGLESON, MARTHA	CLS INVESTMENTS	19,751.29	54.64	0.00	54.64	80.0	43.71
07/17/2018	EAGLESON, SAMUEL	CLS INVESTMENTS	28,621.52	65.26	0.00	65.26	80.0	52.21
07/17/2018	EAGLESON, SAMUEL	CLS INVESTMENTS	49,999.99	132.90	0.00	132.90	80.0	106.32
07/17/2018	FISCHER, HELEN	CLS INVESTMENTS	2,001.97	4.32	0.00	4.32	80.0	3.46
07/17/2018	FISCHER, HELEN	CLS INVESTMENTS	49,999.99	126.75	0.00	126.75	80.0	101.40
07/17/2018	FORTE, ANDREW	CLS INVESTMENTS	1,405.25	3.54	0.00	3.54	80.0	2.83
07/17/2018	GALENTINE, ASHLEY	CLS INVESTMENTS	52,397.82	125.62	0.00	125.62	80.0	100.50
07/17/2018	GALENTINE, ASHLEY	CLS INVESTMENTS	49,999.99	132.48	0.00	132.48	80.0	105.98
07/17/2018	GALENTINE, STEPHANIE	CLS INVESTMENTS	25,262.00	63.68	0.00	63.68	80.0	50.94
07/17/2018	GEVERINK, ANN	CLS INVESTMENTS	10,277.96	22.86	0.00	22.86	80.0	18.29
07/17/2018	GEVERINK, ANN	CLS INVESTMENTS	37,109.38	96.58	0.00	96.58	80.0	77.26
07/17/2018	GEVERINK, RAYMOND	CLS INVESTMENTS	3,570.23	7.94	0.00	7.94	80.0	6.35
07/17/2018	GEVERINK, RAYMOND	CLS INVESTMENTS	12,890.61	33.55	0.00	33.55	80.0	26.84
07/17/2018	GOULD, NORMAN	CLS INVESTMENTS	11,368.46	26.31	0.00	26.31	80.0	21.05
07/17/2018	GOULD, NORMAN	CLS INVESTMENTS	49,999.99	128.36	0.00	128.36	80.0	102.69
07/17/2018	GREENWALT, ROBIN	CLS INVESTMENTS	47,761.51	120.39	0.00	120.39	80.0	96.31
07/17/2018	HAHAJ, JUDITH	CLS INVESTMENTS	22,454.69	9.03	0.00	9.03	80.0	7.22

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/17/2018	HAHAJ, JUDITH	CLS INVESTMENTS	26,808.51	84.47	0.00	84.47	80.0	67.58
07/17/2018	HEES, TAMERA	CLS INVESTMENTS	41,991.40	132.30	0.00	132.30	80.0	105.84
07/17/2018	HOLLIS, JAMES	CLS INVESTMENTS	33,502.15	79.03	0.00	79.03	80.0	63.22
07/17/2018	HOLLIS, JAMES	CLS INVESTMENTS	25,060.89	68.59	0.00	68.59	80.0	54.87
07/17/2018	HOLLIS, JAMES	CLS INVESTMENTS	33,339.35	78.64	0.00	78.64	80.0	62.91
07/17/2018	HOLLIS, JAMES	CLS INVESTMENTS	24,939.10	68.26	0.00	68.26	80.0	54.61
07/17/2018	HOLLIS, TONYUA	CLS INVESTMENTS	44,135.33	111.25	0.00	111.25	80.0	89.00
07/17/2018	HOUSER, TRACY	CLS INVESTMENTS	36,559.34	86.82	0.00	86.82	80.0	69.46
07/17/2018	HOUSER, TRACY	CLS INVESTMENTS	49,999.99	131.35	0.00	131.35	80.0	105.08
07/17/2018	ISLEY, CURTIS	CLS INVESTMENTS	34,028.96	85.77	0.00	85.77	80.0	68.62
07/17/2018	JOHNSON, AMY	CLS INVESTMENTS	6,322.48	15.94	0.00	15.94	80.0	12.75
07/17/2018	JOHNSON, JAMES	CLS INVESTMENTS	16,866.64	42.51	0.00	42.51	80.0	34.01
07/17/2018	KAUFFMAN, KATIE	CLS INVESTMENTS	36,731.63	92.58	0.00	92.58	80.0	74.06
07/17/2018	KAUFFMAN, KATIE	CLS INVESTMENTS	10,706.19	26.99	0.00	26.99	80.0	21.59
07/17/2018	LESHER, DAVID	CLS INVESTMENTS	52,507.51	125.94	0.00	125.94	80.0	100.75
07/17/2018	LESHER, DAVID	CLS INVESTMENTS	24,974.89	69.34	0.00	69.34	80.0	55.47
07/17/2018	LESHER, DAVID	CLS INVESTMENTS	52,402.14	125.69	0.00	125.69	80.0	100.55
07/17/2018	LESHER, DAVID	CLS INVESTMENTS	25,025.10	69.48	0.00	69.48	80.0	55.58
07/17/2018	LIPSCOMB, SAMUEL	CLS INVESTMENTS	86.08	0.02	0.00	0.02	80.0	0.02
07/17/2018	LIPSCOMB, SAMUEL	CLS INVESTMENTS	35,265.32	85.22	0.00	85.22	80.0	68.18
07/17/2018	LIPSCOMB, SAMUEL	CLS INVESTMENTS	24,790.81	81.78	0.00	81.78	80.0	65.42
07/17/2018	LIPSCOMB, SAMUEL	CLS INVESTMENTS	68,514.41	161.77	0.00	161.77	80.0	129.42
07/17/2018	LIPSCOMB, SAMUEL	CLS INVESTMENTS	49,999.99	136.96	0.00	136.96	80.0	109.57
07/17/2018	LIPSCOMB, JOAN	CLS INVESTMENTS	21,110.26	5.20	0.00	5.20	80.0	4.16
07/17/2018	LIPSCOMB, JOAN	CLS INVESTMENTS	35,860.48	86.66	0.00	86.66	80.0	69.33
07/17/2018	LIPSCOMB, JOAN	CLS INVESTMENTS	25,209.18	83.16	0.00	83.16	80.0	66.53
07/17/2018	LIPSCOMB, SAMUEL	CLS INVESTMENTS	8,865.85	4.85	0.00	4.85	80.0	3.88
07/17/2018	LOWDEN, JAMES	CLS INVESTMENTS	49,999.99	37.73	0.00	37.73	80.0	30.18
07/17/2018	LOWDEN, JAMES	CLS INVESTMENTS	49,867.02	157.11	0.00	157.11	80.0	125.69
07/17/2018	MAIERS, GREGORY	CLS INVESTMENTS	2,385.77	5.44	0.00	5.44	80.0	4.35
07/17/2018	MAIERS, GREGORY	CLS INVESTMENTS	49,999.99	126.61	0.00	126.61	80.0	101.29
07/17/2018	MARCH, MELODY	CLS INVESTMENTS	40,000.00	23.95	0.00	23.95	80.0	19.16
07/17/2018	MARCH, MELODY	CLS INVESTMENTS	39,901.63	125.72	0.00	125.72	80.0	100.58

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/17/2018	MARTIN, REBECCA	CLS INVESTMENTS	28,021.11	70.63	0.00	70.63	80.0	56.50
07/17/2018	MURPHY, SUSAN	CLS INVESTMENTS	45,812.05	115.48	0.00	115.48	80.0	92.38
07/17/2018	OAR, RITA	CLS INVESTMENTS	41,481.19	130.69	0.00	130.69	80.0	104.55
07/17/2018	PARROT, CHARLES	CLS INVESTMENTS	37,764.74	95.19	0.00	95.19	80.0	76.15
07/17/2018	PARROT, JUDITH	CLS INVESTMENTS	17,280.34	43.56	0.00	43.56	80.0	34.85
07/17/2018	PEE, MICHAEL	CLS INVESTMENTS	26,166.60	61.62	0.00	61.62	80.0	49.30
07/17/2018	PEE, MICHAEL	CLS INVESTMENTS	49,999.99	130.35	0.00	130.35	80.0	104.28
07/17/2018	PEPPLE, GLEN	CLS INVESTMENTS	165,156.44	401.77	0.00	401.77	80.0	321.42
07/17/2018	PEPPLE, GLEN	CLS INVESTMENTS	49,999.99	140.54	0.00	140.54	80.0	112.43
07/17/2018	RAMEY, TRAVIS	CLS INVESTMENTS	654.71	1.65	0.00	1.65	80.0	1.32
07/17/2018	RAMEY, JENNIFER	CLS INVESTMENTS	653.42	1.65	0.00	1.65	80.0	1.32
07/17/2018	RAMEY, TRAVIS	CLS INVESTMENTS	42,907.11	108.15	0.00	108.15	80.0	86.52
07/17/2018	REESE, BARRY	CLS INVESTMENTS	33,745.02	85.06	0.00	85.06	80.0	68.05
07/17/2018	REMENSCHNEIDER, MARY	CLS INVESTMENTS	5,387.40	12.23	0.00	12.23	80.0	9.78
07/17/2018	REMENSCHNEIDER, MARY	CLS INVESTMENTS	10,560.43	27.96	0.00	27.96	80.0	22.37
07/17/2018	REMENSCHNEIDER, MARY	CLS INVESTMENTS	20,120.09	45.67	0.00	45.67	80.0	36.54
07/17/2018	REMENSCHNEIDER, MARY	CLS INVESTMENTS	39,439.56	104.44	0.00	104.44	80.0	83.55
07/17/2018	RUSK, RANDALL	CLS INVESTMENTS	26,820.08	67.60	0.00	67.60	80.0	54.08
07/17/2018	SCHISLER, SHARON	CLS INVESTMENTS	14,998.63	37.81	0.00	37.81	80.0	30.25
07/17/2018	SENGER, LYNDIA	CLS INVESTMENTS	718.14	1.54	0.00	1.54	80.0	1.23
07/17/2018	SENGER, LYNDIA	CLS INVESTMENTS	49,999.99	126.29	0.00	126.29	80.0	101.03
07/17/2018	SHEARIN, DAVID	CLS INVESTMENTS	5,396.56	12.38	0.00	12.38	80.0	9.90
07/17/2018	SHEARIN, DAVID	CLS INVESTMENTS	49,999.99	127.26	0.00	127.26	80.0	101.81
07/17/2018	SHERBURNE, SUSAN	CLS INVESTMENTS	48,537.20	122.34	0.00	122.34	80.0	97.87
07/17/2018	SIEBER, AARON	CLS INVESTMENTS	108,293.35	264.34	0.00	264.34	80.0	211.47
07/17/2018	SIEBER, AARON	CLS INVESTMENTS	49,999.99	134.65	0.00	134.65	80.0	107.72
07/17/2018	SLIGER, RUSTY	CLS INVESTMENTS	12,681.22	31.96	0.00	31.96	80.0	25.57
07/17/2018	SORG, BECKY	CLS INVESTMENTS	14,942.39	34.32	0.00	34.32	80.0	27.46
07/17/2018	SORG, BECKY	CLS INVESTMENTS	21,513.42	57.56	0.00	57.56	80.0	46.05
07/17/2018	SORG, BECKY	CLS INVESTMENTS	19,785.67	45.45	0.00	45.45	80.0	36.36
07/17/2018	SORG, BECKY	CLS INVESTMENTS	28,486.57	76.22	0.00	76.22	80.0	60.98
07/17/2018	TRACEY, JAMES	CLS INVESTMENTS	28,248.20	64.38	0.00	64.38	80.0	51.50
07/17/2018	TRACEY, JAMES	CLS INVESTMENTS	49,999.99	132.85	0.00	132.85	80.0	106.28

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/17/2018	TRESSLER, KENT	CLS INVESTMENTS	11,618.21	25.72	0.00	25.72	80.0	20.58
07/17/2018	TRESSLER, KENT	CLS INVESTMENTS	49,999.99	129.59	0.00	129.59	80.0	103.67
07/17/2018	TULLOCH, CAROLYN	CLS INVESTMENTS	32,617.57	82.21	0.00	82.21	80.0	65.77
07/17/2018	VAN AUKEN, KAREN	CLS INVESTMENTS	32,824.35	77.74	0.00	77.74	80.0	62.19
07/17/2018	VAN AUKEN, KAREN	CLS INVESTMENTS	49,999.99	131.03	0.00	131.03	80.0	104.82
07/17/2018	WEAVER, JEFFREY	CLS INVESTMENTS	16,385.51	41.30	0.00	41.30	80.0	33.04
07/17/2018	WEIDES, MARK	CLS INVESTMENTS	28,431.57	68.28	0.00	68.28	80.0	54.62
07/17/2018	WEIDES, MARK	CLS INVESTMENTS	25,530.88	67.75	0.00	67.75	80.0	54.20
07/17/2018	WEIDES, LISA	CLS INVESTMENTS	15,631.25	37.54	0.00	37.54	80.0	30.03
07/17/2018	WEIDES, LISA	CLS INVESTMENTS	14,036.49	37.24	0.00	37.24	80.0	29.79
07/17/2018	WEIDES, LISA	CLS INVESTMENTS	12,143.94	30.61	0.00	30.61	80.0	24.49
07/17/2018	WEIDES, MARK	CLS INVESTMENTS	9,906.60	24.97	0.00	24.97	80.0	19.98
07/17/2018	WILLING, AARON	CLS INVESTMENTS	39,345.49	99.17	0.00	99.17	80.0	79.34
07/25/2018	HUESMAN, PATRICIA	ITS MANAGEMENT	279,537.71	-327.40	0.00	-327.40	80.0	-261.92
07/25/2018	RICHARDSON, JARRED	ITS MANAGEMENT	67,734.32	-73.77	0.00	-73.77	80.0	-59.02
07/25/2018	ALLAN, BETTY	ITS MANAGEMENT	42,168.89	79.72	0.00	79.72	80.0	63.78
07/25/2018	BLAIR, BRIAN	ITS MANAGEMENT	39,155.43	98.70	0.00	98.70	80.0	78.96
07/25/2018	BRUNTON, RYAN	ITS MANAGEMENT	57,840.99	109.35	0.00	109.35	80.0	87.48
07/25/2018	CLARK, KATHLEEN	ITS MANAGEMENT	9,168.82	17.34	0.00	17.34	80.0	13.87
07/25/2018	SMITH, DAWN	ITS MANAGEMENT	38,807.34	97.82	0.00	97.82	80.0	78.26
07/25/2018	DISLER, SHARON	ITS MANAGEMENT	31,803.05	60.12	0.00	60.12	80.0	48.10
07/25/2018	ELLIOTT, RANDALL	ITS MANAGEMENT	73,124.97	92.16	0.00	92.16	80.0	73.73
07/25/2018	JACKSON, NICK	ITS MANAGEMENT	41,699.89	105.11	0.00	105.11	80.0	84.09
07/25/2018	JAMSCO INC	ITS MANAGEMENT	229,156.33	288.80	0.00	288.80	80.0	231.04
07/25/2018	LAYMAN, ROBERT	ITS MANAGEMENT	3,101.01	3.87	0.00	3.87	80.0	3.10
07/25/2018	MCBRIDE, KEITH	ITS MANAGEMENT	58,538.30	147.55	0.00	147.55	80.0	118.04
07/25/2018	MYERS, HELEN	ITS MANAGEMENT	11,866.25	14.96	0.00	14.96	80.0	11.97
07/25/2018	OAR, WARREN	ITS MANAGEMENT	198,512.98	625.45	0.00	625.45	80.0	500.36
07/25/2018	RICHARDSON, AMY	ITS MANAGEMENT	68,569.03	129.63	0.00	129.63	80.0	103.70
07/25/2018	ROBINSON, DAVID	ITS MANAGEMENT	29,010.93	36.20	0.00	36.20	80.0	28.96
07/25/2018	SMITH, BRENT	ITS MANAGEMENT	24,178.81	45.71	0.00	45.71	80.0	36.57
07/25/2018	SMITH, NICOLE	ITS MANAGEMENT	43,154.80	108.78	0.00	108.78	80.0	87.02
07/25/2018	STENDAHL, RANDALL	ITS MANAGEMENT	31,687.00	59.90	0.00	59.90	80.0	47.92

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/25/2018	WILLIAMS, BARBARA	ITS MANAGEMENT	32,764.52	41.29	0.00	41.29	80.0	33.03
07/12/2018	BERNDT, JAMIE	TD AMERITRADE	36,103.55	45.50	0.00	45.50	80.0	36.40
07/12/2018	BERNDT, TODD	TD AMERITRADE	244,860.81	308.59	0.00	308.59	80.0	246.87
07/12/2018	REMENSCHNEIDER, DAVID	TD AMERITRADE	348,684.30	878.88	0.00	878.88	80.0	703.10
07/12/2018	GALENTINE, JOSHUA	TD AMERITRADE	64,181.54	242.66	0.00	242.66	80.0	194.13
07/12/2018	WITMER, NEIL	TD AMERITRADE	49,723.03	187.99	0.00	187.99	80.0	150.39
07/12/2018	LYTLE, KEAN	TD AMERITRADE	111,421.02	421.26	0.00	421.26	80.0	337.01
07/12/2018	HARMEYER, SHARYN	TD AMERITRADE	235,493.36	890.36	0.00	890.36	80.0	712.29
07/12/2018	HUESMAN, PATRICIA	TD AMERITRADE	277,824.76	1,050.41	0.00	1,050.41	80.0	840.33
07/12/2018	KAUZLICK, MICHAEL	TD AMERITRADE	147,836.98	558.95	0.00	558.95	80.0	447.16
07/12/2018	DAILEY, RITA	TD AMERITRADE	310,584.61	1,174.27	0.00	1,174.27	80.0	939.42
07/17/2018	ARCHBOLD, DANNY	TD AMERITRADE	0.00	965.73	0.00	965.73	80.0	772.58
07/17/2018	BAILEY, BRUCE	TD AMERITRADE	0.00	742.09	0.00	742.09	80.0	593.67
07/17/2018	BERCOT, THERESA	TD AMERITRADE	0.00	416.61	0.00	416.61	80.0	333.29
07/17/2018	BERGER, LARRY	TD AMERITRADE	0.00	529.84	0.00	529.84	80.0	423.87
07/17/2018	BOYD, LARRY	TD AMERITRADE	0.00	164.34	0.00	164.34	80.0	131.47
07/17/2018	BOYD, BONNE	TD AMERITRADE	0.00	127.24	0.00	127.24	80.0	101.79
07/17/2018	BOYD, BONNE	TD AMERITRADE	0.00	114.25	0.00	114.25	80.0	91.40
07/17/2018	BOYD, LARRY	TD AMERITRADE	0.00	124.93	0.00	124.93	80.0	99.94
07/17/2018	BRUNTON, WILLIAM	TD AMERITRADE	0.00	352.89	0.00	352.89	80.0	282.31
07/17/2018	BUSH, RANDALL	TD AMERITRADE	0.00	410.85	0.00	410.85	80.0	328.68
07/17/2018	BUSH, SANDRA	TD AMERITRADE	0.00	535.40	0.00	535.40	80.0	428.32
07/17/2018	BYRUM JR, GERALD	TD AMERITRADE	0.00	132.15	0.00	132.15	80.0	105.72
07/17/2018	CALKINS, BARBARA	TD AMERITRADE	0.00	49.71	0.00	49.71	80.0	39.77
07/17/2018	CLARK, PATRICIA	TD AMERITRADE	0.00	133.39	0.00	133.39	80.0	106.71
07/17/2018	CLARK, PATRICIA	TD AMERITRADE	0.00	123.41	0.00	123.41	80.0	98.73
07/17/2018	COLCORD, ALNETTA	TD AMERITRADE	0.00	886.30	0.00	886.30	80.0	709.04
07/17/2018	DAILEY, RITA	TD AMERITRADE	0.00	513.34	0.00	513.34	80.0	410.67
07/17/2018	DELAGARZA, MARK	TD AMERITRADE	0.00	266.56	0.00	266.56	80.0	213.25
07/17/2018	DERBYSHIRE, KAREN	TD AMERITRADE	0.00	521.35	0.00	521.35	80.0	417.08
07/17/2018	DERBYSHIRE, JAMES	TD AMERITRADE	0.00	247.37	0.00	247.37	80.0	197.90
07/17/2018	DIETSCH, RHONDA	TD AMERITRADE	0.00	169.57	0.00	169.57	80.0	135.66
07/17/2018	DIETSCH, DANIEL	TD AMERITRADE	0.00	185.91	0.00	185.91	80.0	148.73

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
07/17/2018	DILLIE, MAXIE	TD AMERITRADE	0.00	74.57	0.00	74.57	80.0	59.66
07/17/2018	DODGE, CAROLYN	TD AMERITRADE	0.00	557.66	0.00	557.66	80.0	446.13
07/17/2018	DURKES, DEBRA	TD AMERITRADE	0.00	102.41	0.00	102.41	80.0	81.93
07/17/2018	FLORES, PATRICIA	TD AMERITRADE	0.00	377.50	0.00	377.50	80.0	302.00
07/17/2018	GEVERINK, ANN	TD AMERITRADE	0.00	138.10	0.00	138.10	80.0	110.48
07/17/2018	GREATHEAD, PHILIP	TD AMERITRADE	0.00	143.07	0.00	143.07	80.0	114.46
07/17/2018	GRIDER, SHARON	TD AMERITRADE	0.00	89.46	0.00	89.46	80.0	71.57
07/17/2018	GRIDER, SHARON	TD AMERITRADE	0.00	186.02	0.00	186.02	80.0	148.82
07/17/2018	GUNSOLLEY, MARY	TD AMERITRADE	0.00	339.24	0.00	339.24	80.0	271.39
07/17/2018	HARMEYER, SHARYN	TD AMERITRADE	0.00	702.00	0.00	702.00	80.0	561.60
07/17/2018	HINCKS, ELIZABETH A LIF	TD AMERITRADE	0.00	288.29	0.00	288.29	80.0	230.63
07/17/2018	HINCKS, ELIZABETH A LIF	TD AMERITRADE	0.00	169.25	0.00	169.25	80.0	135.40
07/17/2018	HODAPP, CYNTHIA	TD AMERITRADE	0.00	246.37	0.00	246.37	80.0	197.10
07/17/2018	IGNEY, SHELLEY	TD AMERITRADE	0.00	222.84	0.00	222.84	80.0	178.27
07/17/2018	IGNEY, SHELLEY	TD AMERITRADE	0.00	114.40	0.00	114.40	80.0	91.52
07/17/2018	ISLEY, MICHAEL	TD AMERITRADE	0.00	650.49	0.00	650.49	80.0	520.39
07/17/2018	JORDAN, NEAL	TD AMERITRADE	0.00	519.33	0.00	519.33	80.0	415.46
07/17/2018	JORDAN, PAM	TD AMERITRADE	0.00	547.72	0.00	547.72	80.0	438.18
07/17/2018	KANOST, RUTH	TD AMERITRADE	0.00	206.47	0.00	206.47	80.0	165.18
07/17/2018	KEMP, DAVID	TD AMERITRADE	0.00	201.33	0.00	201.33	80.0	161.06
07/17/2018	KLITZMAN, RANDALL	TD AMERITRADE	0.00	217.24	0.00	217.24	80.0	173.79
07/17/2018	KLITZMAN, MARY	TD AMERITRADE	0.00	234.54	0.00	234.54	80.0	187.63
07/17/2018	LIPSCOMB, JOAN	TD AMERITRADE	0.00	596.41	0.00	596.41	80.0	477.13
07/17/2018	LOVETT, DAVID	TD AMERITRADE	0.00	130.68	0.00	130.68	80.0	104.54
07/17/2018	LOWDEN, JAMES	TD AMERITRADE	0.00	758.08	0.00	758.08	80.0	606.46
07/17/2018	LOWDEN TRUST, KATHERINE	TD AMERITRADE	0.00	365.15	0.00	365.15	80.0	292.12
07/17/2018	MAIERS, NANCY	TD AMERITRADE	0.00	176.67	0.00	176.67	80.0	141.34
07/17/2018	MAIERS, GREGORY	TD AMERITRADE	0.00	140.13	0.00	140.13	80.0	112.10
07/17/2018	MARTIN, NINA	TD AMERITRADE	0.00	174.90	0.00	174.90	80.0	139.92
07/17/2018	MAYER, PEGGY	TD AMERITRADE	0.00	207.31	0.00	207.31	80.0	165.85
07/17/2018	MILLER, REBECCA	TD AMERITRADE	0.00	138.55	0.00	138.55	80.0	110.84
07/17/2018	MILLER, THOMAS	TD AMERITRADE	0.00	214.39	0.00	214.39	80.0	171.51
07/17/2018	MILLS, ROBERT	TD AMERITRADE	0.00	146.70	0.00	146.70	80.0	117.36

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/17/2018	MULDOON, DANIEL	TD AMERITRADE	0.00	280.65	0.00	280.65	80.0	224.52
07/17/2018	MURPHY, WILLIAM	TD AMERITRADE	0.00	1,342.84	0.00	1,342.84	80.0	1,074.27
07/17/2018	MURPHY, SUSAN	TD AMERITRADE	0.00	728.64	0.00	728.64	80.0	582.91
07/17/2018	PEE, MICHAEL	TD AMERITRADE	0.00	757.98	0.00	757.98	80.0	606.38
07/17/2018	PEE, MICHAEL	TD AMERITRADE	0.00	170.58	0.00	170.58	80.0	136.46
07/17/2018	PEPPLE, GLEN	TD AMERITRADE	0.00	345.39	0.00	345.39	80.0	276.31
07/17/2018	PEPPLE, NICOLE	TD AMERITRADE	0.00	120.21	0.00	120.21	80.0	96.17
07/17/2018	PIER, SPENCER	TD AMERITRADE	0.00	52.66	0.00	52.66	80.0	42.13
07/17/2018	RAABE, RICHARD	TD AMERITRADE	0.00	137.35	0.00	137.35	80.0	109.88
07/17/2018	REINHART, RUSSELL	TD AMERITRADE	0.00	297.10	0.00	297.10	80.0	237.68
07/17/2018	REINHART, ROCHELLE	TD AMERITRADE	0.00	0.52	0.00	0.52	80.0	0.42
07/17/2018	REMENSCHNEIDER, MARY	TD AMERITRADE	0.00	658.55	0.00	658.55	80.0	526.84
07/17/2018	ROTHGEB, CRAIG	TD AMERITRADE	0.00	205.41	0.00	205.41	80.0	164.33
07/17/2018	RUSK, RANDALL	TD AMERITRADE	0.00	460.83	0.00	460.83	80.0	368.66
07/17/2018	SAMMETINGER, EVELYN	TD AMERITRADE	0.00	187.29	0.00	187.29	80.0	149.83
07/17/2018	SAMMETINGER, EVELYN	TD AMERITRADE	0.00	145.30	0.00	145.30	80.0	116.24
07/17/2018	SAUTER, DOROTHY	TD AMERITRADE	0.00	32.79	0.00	32.79	80.0	26.23
07/17/2018	SAUTER, DOROTHY	TD AMERITRADE	0.00	215.95	0.00	215.95	80.0	172.76
07/17/2018	SAUTER, JOHN	TD AMERITRADE	0.00	35.87	0.00	35.87	80.0	28.70
07/17/2018	SENGER, SALLY	TD AMERITRADE	0.00	338.34	0.00	338.34	80.0	270.67
07/17/2018	SENGER, SALLY	TD AMERITRADE	0.00	56.38	0.00	56.38	80.0	45.10
07/17/2018	SENGER, LYNDA	TD AMERITRADE	0.00	351.50	0.00	351.50	80.0	281.20
07/17/2018	SHANK, DEAN	TD AMERITRADE	0.00	386.76	0.00	386.76	80.0	309.41
07/17/2018	SIEBER, AARON	TD AMERITRADE	0.00	359.05	0.00	359.05	80.0	287.24
07/17/2018	SIMPSON, DAYNA	TD AMERITRADE	0.00	129.60	0.00	129.60	80.0	103.68
07/17/2018	SIMPSON, DAYNA	TD AMERITRADE	0.00	136.67	0.00	136.67	80.0	109.34
07/17/2018	SORG, BECKY	TD AMERITRADE	0.00	441.24	0.00	441.24	80.0	352.99
07/17/2018	STANSBERRY, NANCY	TD AMERITRADE	0.00	639.60	0.00	639.60	80.0	511.68
07/17/2018	STOPPENHAGEN, DENNIS	TD AMERITRADE	0.00	671.79	0.00	671.79	80.0	537.43
07/17/2018	SULLIVAN, DIAN	TD AMERITRADE	0.00	307.00	0.00	307.00	80.0	245.60
07/17/2018	THOMAS, THELMA	TD AMERITRADE	0.00	228.08	0.00	228.08	80.0	182.46
07/17/2018	TULLOCH, CAROLYN	TD AMERITRADE	0.00	125.43	0.00	125.43	80.0	100.34
07/17/2018	TULLOCH, DAVID	TD AMERITRADE	0.00	521.76	0.00	521.76	80.0	417.41

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
07/17/2018	TYGER, CATHIE	TD AMERITRADE	0.00	510.83	0.00	510.83	80.0	408.66
07/17/2018	WALLACE, FREDERICK	TD AMERITRADE	0.00	171.28	0.00	171.28	80.0	137.02
07/17/2018	WEBER, KAY	TD AMERITRADE	0.00	332.32	0.00	332.32	80.0	265.86
07/17/2018	WEIMER, THOMAS	TD AMERITRADE	0.00	700.63	0.00	700.63	80.0	560.50
07/17/2018	WILLING, PATRICIA	TD AMERITRADE	0.00	233.15	0.00	233.15	80.0	186.52
07/17/2018	WILLING, LEONARD	TD AMERITRADE	0.00	111.01	0.00	111.01	80.0	88.81
* RIA SUBTOTAL *			\$7,553,795.56	\$48,137.24	\$0.00	\$48,137.24		\$38,509.76
*** DIRECT TRANSACTIONS TOTAL ***			\$7,553,795.56	\$48,137.24	\$0.00	\$48,137.24		\$38,509.76

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

07/17/2018	BURLESON, CATHERINE	CLS INVESTMENTS	71,808.75	63.35	0.00	63.35	80.0	50.68
07/17/2018	SCHENKEL, ROBERT	CLS INVESTMENTS	42,684.83	37.65	0.00	37.65	80.0	30.12
07/17/2018	COSTANZA, JOSEPH	CLS INVESTMENTS	6,760.87	5.97	0.00	5.97	80.0	4.78
07/17/2018	HAMMAN, RONALD	CLS INVESTMENTS	39,674.43	35.00	0.00	35.00	80.0	28.00
07/17/2018	ADAMS, TERRY	CLS INVESTMENTS	11,282.78	12.44	0.00	12.44	80.0	9.95
07/25/2018	BECKER, PATRICIA	ITS MANAGEMENT	33,640.53	29.68	0.00	29.68	80.0	23.74
07/25/2018	GATER, CAREY	ITS MANAGEMENT	43,399.30	28.71	0.00	28.71	80.0	22.97
07/17/2018	FIRE POLICE CITY, DB PLAN	PRINCIPAL LIFE INS	0.00	757.80	0.00	757.80	80.0	606.24
07/17/2018	FIRE POLICE CITY, 401K	PRINCIPAL LIFE INS	0.00	747.21	0.00	747.21	80.0	597.77
07/17/2018	VAN CLEAVE, BLAKE	TD AMERITRADE	0.00	131.84	0.00	131.84	80.0	105.47
07/17/2018	EISERLE, DAVID	TD AMERITRADE	0.00	238.60	0.00	238.60	80.0	190.88
07/17/2018	FOLTZ, SCOTT	TD AMERITRADE	0.00	25.17	0.00	25.17	80.0	20.14
07/17/2018	FOLTZ, KATHRYN	TD AMERITRADE	0.00	42.33	0.00	42.33	80.0	33.86
07/17/2018	JACOBS, BARRY	TD AMERITRADE	0.00	80.77	0.00	80.77	80.0	64.62
07/17/2018	KLINE, THOMAS	TD AMERITRADE	0.00	36.49	0.00	36.49	80.0	29.19
07/17/2018	MOUNSEY, CHRISTINE	TD AMERITRADE	0.00	122.14	0.00	122.14	80.0	97.71
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$249,251.49	\$2,395.15	\$0.00	\$2,395.15		\$1,916.12
*** SPLIT TRANSACTIONS TOTAL ***			\$249,251.49	\$2,395.15	\$0.00	\$2,395.15		\$1,916.12

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: WILLIAM, L129 LAYMAN #207 </u>								
07/17/2018	BROWN, MATTHEW	CLS INVESTMENTS	175,017.49	426.43	0.00	426.43	45.0	191.89
07/17/2018	BROWN, MATTHEW	CLS INVESTMENTS	49,999.99	140.74	0.00	140.74	45.0	63.33
07/17/2018	BRUNTON, EMILY	CLS INVESTMENTS	49,502.10	118.51	0.00	118.51	45.0	53.33
07/17/2018	BRUNTON, EMILY	CLS INVESTMENTS	49,999.99	132.30	0.00	132.30	45.0	59.54
07/17/2018	COLE, TIMOTHY	CLS INVESTMENTS	3,259.66	7.44	0.00	7.44	45.0	3.35
07/17/2018	COLE, TIMOTHY	CLS INVESTMENTS	49,999.99	126.80	0.00	126.80	45.0	57.06
07/17/2018	HUNNICUTT, MICHELLE	CLS INVESTMENTS	13,829.75	34.86	0.00	34.86	45.0	15.69
07/17/2018	HUNNICUTT, MICHELLE	CLS INVESTMENTS	11,839.71	29.84	0.00	29.84	45.0	13.43
07/17/2018	REHM, TAMARA	CLS INVESTMENTS	15,644.66	39.43	0.00	39.43	45.0	17.74
07/17/2018	ZIMMERMAN, PHYLLIS	CLS INVESTMENTS	18,798.05	43.94	0.00	43.94	45.0	19.77
07/17/2018	ZIMMERMAN, PHYLLIS	CLS INVESTMENTS	49,999.99	129.47	0.00	129.47	45.0	58.26
 * WILLIAM, L129 LAYMAN SUBTOTAL * 			\$487,891.38	\$1,229.76	\$0.00	\$1,229.76		\$553.39
 *** OVERRIDE TRANSACTIONS TOTAL *** 			\$487,891.38	\$1,229.76	\$0.00	\$1,229.76		\$553.39

 ADJUSTMENTS

07/31/2018	*MANAGED FEE EXPENSE: TDAMERITRADE	0.00						-26.61
	ACCT MIN. FEE							
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$-26.61

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BROKER COMMISSION TOTALS

Broker Transactions	\$38,509.76
Split Transactions	\$1,916.12
Override Transactions	\$553.39
Adjustments	\$-26.61
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$40,952.66
Year-to-date Gross	\$270,347.61
Year-to-date Earnings	\$218,145.87