



COMMISSION STATEMENT

July 16, 2018

RICHARD PASCALE
321 Grover Avenue North
Massapequa Park, NY 11762

BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/05/2018	***-**-7975 - VAGLIO, JOSEPH	FA 529 COLLEGE PORT-CL C	250.00	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00701694614	BUY 16 Units @15.3000						
07/05/2018	***-**-971I - MEEHAN, JULIE	SAMMONS MF TRAILS	0.00	4.92	0.00	4.92	90.0	4.43
	ACCOUNT #: 7200009839	BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$250.00	\$6.17	\$0.00	\$6.17		\$5.56
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/08/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	91.00	0.91	0.00	0.91	90.0	0.82
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
07/08/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	9.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
07/11/2018	***-**-285P - MORMINO, STEVE	PACIFIC LIFE ABRB DTCC	181.67	1.82	0.00	1.82	90.0	1.64
	ACCOUNT #: VP71053490	BUY 0 Units @0.0000						
07/12/2018	***-**-1539 - SPADARO, VICTOR	PACIFIC LIFE ABRB DTCC	400.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: VP71039250	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$681.67	\$4.82	\$0.00	\$4.82		\$4.34
<u>PRODUCT CATEGORY: 529 PLAN</u>								
06/25/2018	***-**-5723 - PONTURO,	AGGRESSIVE PORTFOLIO A	25.00	0.60	0.00	0.60	90.0	0.54
	ACCOUNT #: 77161355101	BUY 0 Units @0.0000						
06/25/2018	***-**-5723 - PONTURO,	AGGRESSIVE PORTFOLIO A	25.00	0.60	0.00	0.60	90.0	0.54
	ACCOUNT #: 77161355102	BUY 0 Units @0.0000						
06/25/2018	***-**-5723 - PONTURO,	MODERATE PORTFOLIO A	50.00	1.19	0.00	1.19	90.0	1.07
	ACCOUNT #: 77161355101	BUY 0 Units @0.0000						
06/25/2018	***-**-5723 - PONTURO,	MODERATE PORTFOLIO A	50.00	1.19	0.00	1.19	90.0	1.07
	ACCOUNT #: 77161355102	BUY 0 Units @0.0000						
07/16/2018	***-**-5723 - PONTURO,	NEW YORK 529 TRAILS	0.00	3.76	0.00	3.76	90.0	3.38
	ACCOUNT #: 77161355101	BUY 0 Units @0.0000						
07/16/2018	***-**-545P - FELLIN, DIANE	NEW YORK 529 TRAILS	0.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 77169971001	BUY 0 Units @0.0000						
07/16/2018	***-**-465P - KALANTZOPOULOS,	NEW YORK 529 TRAILS	0.00	1.09	0.00	1.09	90.0	0.98
	ACCOUNT #: 77280070001	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: 529 PLAN (continued)								
07/16/2018	***-**-623I - LEIBOWITZ, IRWIN	NEW YORK 529 TRAILS	0.00	0.40	0.00	0.40	90.0	0.36
	ACCOUNT #: 77287712601	BUY 0 Units @0.0000						
07/16/2018	***-**-895P - POWER, KATHLEEN	NEW YORK 529 TRAILS	0.00	0.26	0.00	0.26	90.0	0.23
	ACCOUNT #: 77321173601	BUY 0 Units @0.0000						
07/16/2018	***-**-775P - RHODES, EDWARD	NEW YORK 529 TRAILS	0.00	0.29	0.00	0.29	90.0	0.26
	ACCOUNT #: 77426669701	BUY 0 Units @0.0000						
07/16/2018	***-**-515P - PASSARO, MARY	NEW YORK 529 TRAILS	0.00	0.51	0.00	0.51	90.0	0.46
	ACCOUNT #: 77507742202	BUY 0 Units @0.0000						
07/16/2018	***-**-815P - WILSON, JOHN	NEW YORK 529 TRAILS	0.00	1.07	0.00	1.07	90.0	0.96
		BUY 0 Units @0.0000						
06/25/2018	***-**-5723 - PONTURO,	NYA JPM 529 MOD GROWTH POR A	25.00	0.60	0.00	0.60	90.0	0.54
	ACCOUNT #: 77161355101	BUY 0 Units @0.0000						
06/25/2018	***-**-5723 - PONTURO,	NYA JPM 529 MOD GROWTH POR A	25.00	0.60	0.00	0.60	90.0	0.54
	ACCOUNT #: 77161355102	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$200.00	\$12.26	\$0.00	\$12.26		\$11.02
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/01/2018	***-**-728I - GOMES, FLORBELA	AIM MODERATE ALLOCATION - CLASS A	0.00	0.26	0.00	0.26	90.0	0.23
	ACCOUNT #: 02500057319	BUY 0 Units @0.0000						
06/30/2018	***-**-28RI - MORMINO, STEVEN	CAPITAL APPRECIATION A	0.00	4.81	0.00	4.81	90.0	4.33
	ACCOUNT #: 03203227719	BUY 0 Units @0.0000						
07/09/2018	***-**-5389 - POWER, KATHLEEN	FA 529 COLLEGE PORT-CL A	0.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 01467261812	BUY 0 Units @0.0000						
07/09/2018	***-**-5389 - POWER, KATHLEEN	FA 529 PORT 2019-CL A	0.00	2.42	0.00	2.42	90.0	2.18
	ACCOUNT #: 01467261812	BUY 0 Units @0.0000						
07/09/2018	***-**-5723 - PONTURO,	FA 529 PORT 2022-CL A	0.00	0.78	0.00	0.78	90.0	0.70
	ACCOUNT #: 00701164063	BUY 0 Units @0.0000						
07/09/2018	***-**-5723 - PONTURO,	FA 529 PORT 2022-CL A	0.00	0.78	0.00	0.78	90.0	0.70
	ACCOUNT #: 00701164071	BUY 0 Units @0.0000						
07/09/2018	***-**-513I - KESSEL, SHAI	FIDELITY 31618H580	0.00	1.39	0.00	1.39	90.0	1.25
	ACCOUNT #: 01429599580	BUY 0 Units @0.0000						
07/09/2018	***-**-513I - KESSEL, SHAI	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	2.34	0.00	2.34	90.0	2.11
	ACCOUNT #: 01429599580	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/09/2018	***-**-9173 - GORDON, DANIEL	FIDELITY ADV EQUITY INCOME CL A	0.00	0.18	0.00	0.18	90.0	0.16
	ACCOUNT #: 00535143713	BUY 0 Units @0.0000						
07/09/2018	***-**-885I - TOMASIEWICZ,	FIDELITY ADV EQUITY VALUE CL A	0.00	1.55	0.00	1.55	90.0	1.40
	ACCOUNT #: 00700995913	BUY 0 Units @0.0000						
07/09/2018	***-**-04IR - DEVECCHIS, ROSE	FIDELITY ADV FREEDOM 2035-CL A	0.00	0.52	0.00	0.52	90.0	0.47
	ACCOUNT #: 01438976696	BUY 0 Units @0.0000						
07/09/2018	***-**-513I - KESSEL, SHAI	FIDELITY ADV INTL REAL ESTATE-CL A	0.00	1.55	0.00	1.55	90.0	1.40
	ACCOUNT #: 01429599580	BUY 0 Units @0.0000						
07/09/2018	***-**-9173 - GORDON, DANIEL	FIDELITY ADV INVESTMENT GRADE BOND-	0.00	0.22	0.00	0.22	90.0	0.20
	ACCOUNT #: 00535143713	BUY 0 Units @0.0000						
07/09/2018	***-**-513I - KESSEL, SHAI	FIDELITY ADVISOR REAL ESTATE FUND C	0.00	1.48	0.00	1.48	90.0	1.33
	ACCOUNT #: 01429599580	BUY 0 Units @0.0000						
07/09/2018	***-**-9173 - GORDON, DANIEL	FIDELITY ADVISOR STK SELECTOR ALL C	0.00	0.12	0.00	0.12	90.0	0.11
	ACCOUNT #: 00535143713	BUY 0 Units @0.0000						
07/01/2018	***-**-728I - GOMES, FLORBELA	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	0.21	0.00	0.21	90.0	0.19
	ACCOUNT #: 02500057319	BUY 0 Units @0.0000						
07/01/2018	***-**-728I - GOMES, FLORBELA	INVESCO INCOME ALLOCATION FUND - CL	0.00	0.28	0.00	0.28	90.0	0.25
	ACCOUNT #: 02500057319	BUY 0 Units @0.0000						
06/30/2018	***-**-28RI - MORMINO, STEVEN	MAIN STREET FUND A	0.00	5.32	0.00	5.32	90.0	4.79
	ACCOUNT #: 07000187368	BUY 0 Units @0.0000						
07/16/2018	***-**-1729 - PRICE, LOUIS	NATIXIS FUNDS TRAILS	0.00	5.04	0.00	5.04	90.0	4.54
		BUY 0 Units @0.0000						
06/30/2018	***-**-28RI - MORMINO, STEVEN	OPPENHEIMER MAIN ST SMALL CAP FND A	0.00	0.33	0.00	0.33	90.0	0.30
	ACCOUNT #: 00100194206	BUY 0 Units @0.0000						
06/30/2018	***-**-28RI - MORMINO, STEVEN	PORT SERIES: EQUITY INV FD A	0.00	0.30	0.00	0.30	90.0	0.27
	ACCOUNT #: 05551084479	BUY 0 Units @0.0000						
06/30/2018	***-**-285P - MORMINO, STEVE	REAL ESTATE FUND A	0.00	2.88	0.00	2.88	90.0	2.59
	ACCOUNT #: 05901086316	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$32.82	\$0.00	\$32.82		\$29.55
*** BROKER TRANSACTIONS TOTAL ***			\$1,131.67	\$56.07	\$0.00	\$56.07		\$50.47



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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFFREY MORRISON</u>								
07/09/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	1.64	0.00	1.64	90.0	1.48
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
07/09/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	1.79	0.00	1.79	90.0	1.61
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
07/09/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 INTERMED BOND PORT-CL A	0.00	0.53	0.00	0.53	90.0	0.48
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
07/09/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 INTERMED BOND PORT-CL A	0.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
07/09/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 NEW INSIGHTS PORT-CL A	0.00	1.98	0.00	1.98	90.0	1.78
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
07/09/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.13	0.00	2.13	90.0	1.92
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
07/09/2018	***-**-915P - WOO, BENE	FA 529 PORT 2019-CL A	0.00	0.27	0.00	0.27	90.0	0.24
	ACCOUNT #: 01446854794	BUY 0 Units @0.0000						
06/26/2018	***-**-6154 - TURPAN, PETER	FA 529 PORT 2019-OLD CL A	500.00	5.00	0.00	5.00	90.0	4.50
	ACCOUNT #: 00702446014	BUY 24 Units @20.9400						
07/09/2018	***-**-6154 - TURPAN, PETER	FA 529 PORT 2019-OLD CL A	0.00	10.27	0.00	10.27	90.0	9.24
	ACCOUNT #: 00702446014	BUY 0 Units @0.0000						
06/26/2018	***-**-545P - TURPAN, PETER	FA 529 PORT 2022-CL A	500.00	5.00	0.00	5.00	90.0	4.50
	ACCOUNT #: 00704633189	BUY 18 Units @27.4600						
07/09/2018	***-**-9976 - GANZ, BARBARA	FA 529 PORT 2022-CL A	0.00	2.79	0.00	2.79	90.0	2.51
	ACCOUNT #: 00700773088	BUY 0 Units @0.0000						
07/09/2018	***-**-545P - TURPAN, PETER	FA 529 PORT 2022-CL A	0.00	5.49	0.00	5.49	90.0	4.94
	ACCOUNT #: 00704633189	BUY 0 Units @0.0000						
07/09/2018	***-**-645P - KUMAR, SURESH	FA 529 PORT 2028-CL A	0.00	0.69	0.00	0.69	90.0	0.62
	ACCOUNT #: 01453731182	BUY 0 Units @0.0000						
07/09/2018	***-**-645P - KUMAR, SURESH	FA 529 PORT 2028-CL C	0.00	2.45	0.00	2.45	90.0	2.21
	ACCOUNT #: 01453731182	BUY 0 Units @0.0000						
07/09/2018	***-**-965P - GUERREIRO, MARIA	FA 529 PORT 2034	0.00	0.30	0.00	0.30	90.0	0.27
	ACCOUNT #: 01489789329	BUY 0 Units @0.0000						
07/09/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.67	0.00	1.67	90.0	1.50
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
07/09/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.80	0.00	1.80	90.0	1.62
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
07/09/2018	***-**-157X - COHEN, LISA	FA SHORT TERM BOND A	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 03900230504	BUY 0 Units @0.0000						
07/09/2018	***-**-485X - MAYER, RUSSELL	FA SHORT TERM BOND A	0.00	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 03900230505	BUY 0 Units @0.0000						
07/09/2018	***-**-809I - YANKILEVICH,	FIDELITY 31618H580	0.00	1.89	0.00	1.89	90.0	1.70
	ACCOUNT #: 01429599556	BUY 0 Units @0.0000						
07/09/2018	***-**-485I - YANKILEVICH,	FIDELITY 31618H580	0.00	0.84	0.00	0.84	90.0	0.76
	ACCOUNT #: 01429599564	BUY 0 Units @0.0000						
07/09/2018	***-**-095P - DHANDAPANI,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	2.40	0.00	2.40	90.0	2.16
	ACCOUNT #: 00536397284	BUY 0 Units @0.0000						
07/09/2018	***-**-809I - YANKILEVICH,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	3.20	0.00	3.20	90.0	2.88
	ACCOUNT #: 01429599556	BUY 0 Units @0.0000						
07/09/2018	***-**-485I - YANKILEVICH,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	1.41	0.00	1.41	90.0	1.27
	ACCOUNT #: 01429599564	BUY 0 Units @0.0000						
07/09/2018	***-**-311I -	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.85	0.00	0.85	90.0	0.77
	ACCOUNT #: 01425465406	BUY 0 Units @0.0000						
07/09/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV DIVIDEND GROWTH FD CL	0.00	1.97	0.00	1.97	90.0	1.77
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
07/09/2018	***-**-727I - PELL, ANDREA	FIDELITY ADV EMERGING MKTS CL A	0.00	0.55	0.00	0.55	90.0	0.50
	ACCOUNT #: 01435251887	BUY 0 Units @0.0000						
07/09/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV EMERGING MKTS CL A	0.00	1.75	0.00	1.75	90.0	1.58
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
07/09/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV ENERGY-CL A	0.00	1.52	0.00	1.52	90.0	1.37
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
07/09/2018	***-**-157X - COHEN, LISA	FIDELITY ADV EQUITY INCOME CL A	0.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: 03900230504	BUY 0 Units @0.0000						
07/09/2018	***-**-485X - MAYER, RUSSELL	FIDELITY ADV EQUITY INCOME CL A	0.00	0.18	0.00	0.18	90.0	0.16
	ACCOUNT #: 03900230505	BUY 0 Units @0.0000						
07/09/2018	***-**-8240 - CHOWDHURY, NAHID	FIDELITY ADV FREEDOM 2025-CL A	0.00	8.74	0.00	8.74	90.0	7.87
	ACCOUNT #: 00525061339	BUY 0 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
07/09/2018	***-**-54IR - SAVARESE-DILL, ACCOUNT #: 00525061420	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @0.0000	0.00	8.77	0.00	8.77	90.0	7.89
07/09/2018	***-**-335I - BLASCO, LAURIE ACCOUNT #: 01443905136	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @0.0000	0.00	2.71	0.00	2.71	90.0	2.44
07/09/2018	***-**-879I - DELAURA, ACCOUNT #: 01488339027	FIDELITY ADV FREEDOM 2055 BUY 0 Units @0.0000	0.00	0.31	0.00	0.31	90.0	0.28
07/09/2018	***-**-809I - YANKILEVICH, ACCOUNT #: 01429599556	FIDELITY ADV INTL REAL ESTATE-CL A BUY 0 Units @0.0000	0.00	2.11	0.00	2.11	90.0	1.90
07/09/2018	***-**-485I - YANKILEVICH, ACCOUNT #: 01429599564	FIDELITY ADV INTL REAL ESTATE-CL A BUY 0 Units @0.0000	0.00	0.94	0.00	0.94	90.0	0.85
07/09/2018	***-**-727I - PELL, ANDREA ACCOUNT #: 01435251887	FIDELITY ADV INVESTMENT GRADE BOND- BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	90.0	0.32
07/09/2018	***-**-5870 - BRUNO, KIM ACCOUNT #: 00510642531	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	1.58	0.00	1.58	90.0	1.42
07/09/2018	***-**-727I - PELL, ANDREA ACCOUNT #: 01435251887	FIDELITY ADV MID CAP VALUE-CL A BUY 0 Units @0.0000	0.00	0.75	0.00	0.75	90.0	0.68
07/09/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV SMALL CAP VALUE-CL A BUY 0 Units @0.0000	0.00	2.08	0.00	2.08	90.0	1.87
07/09/2018	***-**-157X - COHEN, LISA ACCOUNT #: 03900230504	FIDELITY ADV SMALL CAP-CL A BUY 0 Units @0.0000	0.00	0.08	0.00	0.08	90.0	0.07
07/09/2018	***-**-485X - MAYER, RUSSELL ACCOUNT #: 03900230505	FIDELITY ADV SMALL CAP-CL A BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	90.0	0.15
07/09/2018	***-**-157X - COHEN, LISA ACCOUNT #: 03900230504	FIDELITY ADV STRATEGIC INCOME CL A BUY 0 Units @0.0000	0.00	0.13	0.00	0.13	90.0	0.12
07/09/2018	***-**-485X - MAYER, RUSSELL ACCOUNT #: 03900230505	FIDELITY ADV STRATEGIC INCOME CL A BUY 0 Units @0.0000	0.00	0.25	0.00	0.25	90.0	0.23
07/09/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV STRATEGIC REAL RETURN- BUY 0 Units @0.0000	0.00	1.43	0.00	1.43	90.0	1.29
07/09/2018	***-**-157X - COHEN, LISA ACCOUNT #: 03900230504	FIDELITY ADVISOR ASSET MANAGER 70% BUY 0 Units @0.0000	0.00	0.10	0.00	0.10	90.0	0.09
07/09/2018	***-**-485X - MAYER, RUSSELL ACCOUNT #: 03900230505	FIDELITY ADVISOR ASSET MANAGER 70% BUY 0 Units @0.0000	0.00	0.20	0.00	0.20	90.0	0.18



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July 16, 2018

RICHARD PASCALE
321 Grover Avenue North
Massapequa Park, NY 11762

BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
07/09/2018	***-**-157X - COHEN, LISA	FIDELITY ADVISOR DIVERSIFIED INTL F	0.00	0.08	0.00	0.08	90.0	0.07
	ACCOUNT #: 03900230504	BUY 0 Units @0.0000						
07/09/2018	***-**-485X - MAYER, RUSSELL	FIDELITY ADVISOR DIVERSIFIED INTL F	0.00	0.15	0.00	0.15	90.0	0.14
	ACCOUNT #: 03900230505	BUY 0 Units @0.0000						
07/09/2018	***-**-871I - MURPHY, DENISE	FIDELITY ADVISOR FREEDOM 2040	0.00	2.72	0.00	2.72	90.0	2.45
	ACCOUNT #: 01443905094	BUY 0 Units @0.0000						
07/09/2018	***-**-535I - RIOLO, DOROTHEA	FIDELITY ADVISOR FREEDOM 2040	0.00	1.21	0.00	1.21	90.0	1.09
	ACCOUNT #: 01443938483	BUY 0 Units @0.0000						
07/09/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADVISOR GOLD FUND CLASS A	0.00	0.79	0.00	0.79	90.0	0.71
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
07/09/2018	***-**-809I - YANKILEVICH,	FIDELITY ADVISOR REAL ESTATE FUND C	0.00	2.02	0.00	2.02	90.0	1.82
	ACCOUNT #: 01429599556	BUY 0 Units @0.0000						
07/09/2018	***-**-485I - YANKILEVICH,	FIDELITY ADVISOR REAL ESTATE FUND C	0.00	0.90	0.00	0.90	90.0	0.81
	ACCOUNT #: 01429599564	BUY 0 Units @0.0000						
07/09/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADVISOR REAL ESTATE FUND C	0.00	1.71	0.00	1.71	90.0	1.54
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
07/09/2018	***-**-727I - PELL, ANDREA	FIDELITY ADVISOR STK SELECTOR ALL C	0.00	1.04	0.00	1.04	90.0	0.94
	ACCOUNT #: 01435251887	BUY 0 Units @0.0000						
07/09/2018	***-**-157X - COHEN, LISA	FIDELITY DESTINY I - CLASS N	0.00	0.13	0.00	0.13	90.0	0.12
	ACCOUNT #: 03900230504	BUY 0 Units @0.0000						
07/09/2018	***-**-485X - MAYER, RUSSELL	FIDELITY DESTINY I - CLASS N	0.00	0.26	0.00	0.26	90.0	0.23
	ACCOUNT #: 03900230505	BUY 0 Units @0.0000						
07/01/2018	***-**-443P - SIEGEL, JILL	INVESCO STRUCTURED CORE FUND -CLASS	0.00	2.26	0.00	2.26	90.0	2.03
	ACCOUNT #: 05037382511	BUY 0 Units @0.0000						
07/10/2018	***-**-08TR - WEITMAN TTEE,	LINCOLN FIN VA 533786166	1,611.99	80.60	0.00	80.60	90.0	72.54
	ACCOUNT #: 4892820	BUY 0 Units @0.0000						
07/10/2018	***-**-08TR - WEITMAN TTEE,	LINCOLN FIN VA 533786166	1,611.99	80.60	0.00	80.60	90.0	72.54
	ACCOUNT #: 4892820	BUY 0 Units @0.0000						
07/16/2018	***-**-9385 - JOSEPH, BRIAN	NEW YORK 529 TRAILS	0.00	2.82	0.00	2.82	90.0	2.54
	ACCOUNT #: 77094508001	BUY 0 Units @0.0000						
07/16/2018	***-**-7673 - MORRISON,	NEW YORK 529 TRAILS	0.00	8.12	0.00	8.12	90.0	7.31
	ACCOUNT #: 77152277401	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
07/16/2018	***-**-446J - RUBIN	NEW YORK 529 TRAILS	0.00	3.72	0.00	3.72	90.0	3.35
	ACCOUNT #: 77334416801	BUY 0 Units @0.0000						
07/16/2018	***-**-7724 - NANDAA, NEIL	NEW YORK 529 TRAILS	0.00	2.48	0.00	2.48	90.0	2.23
	ACCOUNT #: 77348040901	BUY 0 Units @0.0000						
07/16/2018	***-**-18IN - WATSON	NEW YORK 529 TRAILS	0.00	0.49	0.00	0.49	90.0	0.44
	ACCOUNT #: 77398834701	BUY 0 Units @0.0000						
07/16/2018	***-**-165P - BRILL, AMY	NEW YORK 529 TRAILS	0.00	13.52	0.00	13.52	90.0	12.17
		BUY 0 Units @0.0000						
07/16/2018	***-**-405P - KITOGRAJ, JAY	NEW YORK 529 TRAILS	0.00	1.62	0.00	1.62	90.0	1.46
	ACCOUNT #: 77739941101	BUY 0 Units @0.0000						
06/30/2018	***-**-66IN - COHEN, ESTA	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	0.28	0.00	0.28	90.0	0.25
	ACCOUNT #: 02802133395	BUY 0 Units @0.0000						
07/12/2018	***-**-809I - YANKILEVICH,	PACIFIC LIFE EXCE 5A DTCC	350.00	3.50	0.00	3.50	90.0	3.15
	ACCOUNT #: VP65924490	BUY 0 Units @0.0000						
07/07/2018	***-**-8240 - CHOWDHURY, NAHID	PACIFIC LIFE EXEC II DTCC	350.00	1.75	0.00	1.75	90.0	1.58
	ACCOUNT #: VP65523640	BUY 0 Units @0.0000						
07/07/2018	***-**-8240 - CHOWDHURY, NAHID	PACIFIC LIFE EXEC II DTCC	350.00	1.75	0.00	1.75	90.0	1.58
	ACCOUNT #: VP65523640	BUY 0 Units @0.0000						
07/08/2018	***-**-7993 - SCHNEIDER, MARK	PACIFIC LIFE SE5-NYB DTCC	0.00	38.44	0.00	38.44	90.0	34.60
	ACCOUNT #: VP71063420	BUY 0 Units @0.0000						
07/08/2018	***-**-7993 - SCHNEIDER, MARK	PACIFIC LIFE SE5-NYB DTCC	0.00	38.44	0.00	38.44	90.0	34.60
	ACCOUNT #: VP71063420	BUY 0 Units @0.0000						
07/09/2018	***-**-7993 - SCHNEIDER, MARK	PACIFIC LIFE SE5-NYB DTCC	3,400.00	17.00	0.00	17.00	90.0	15.30
	ACCOUNT #: VP71063420	BUY 0 Units @0.0000						
07/09/2018	***-**-7993 - SCHNEIDER, MARK	PACIFIC LIFE SE5-NYB DTCC	3,400.00	17.00	0.00	17.00	90.0	15.30
	ACCOUNT #: VP71063420	BUY 0 Units @0.0000						
07/01/2018	***-**-485I - YANKILEVICH,	PACIFIC LIFE VA RENEWAL DTCC	250.00	2.50	0.00	2.50	90.0	2.25
	ACCOUNT #: VP65871480	BUY 0 Units @0.0000						
* JEFFREY MORRISON SUBTOTAL *			\$12,323.98	\$419.79	\$0.00	\$419.79		\$377.88
*** SPLIT TRANSACTIONS TOTAL ***			\$12,323.98	\$419.79	\$0.00	\$419.79		\$377.88



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ALAN FISHMAN</u>								
07/01/2018	***-**-305P - BLUMEN, ROBERT	AIM SMALL CAP GROWTH FUND - CLASS A	0.00	120.06	0.00	120.06	7.5	9.00
	ACCOUNT #: 05056135477	BUY 0 Units @0.0000						
07/01/2018	***-**-19IN - BLUMEN, IAN	AIM SMALL CAP GROWTH FUND - CLASS A	0.00	8.23	0.00	8.23	7.5	0.62
	ACCOUNT #: 05066338392	BUY 0 Units @0.0000						
06/30/2018	***-**-427I - FISHMAN, ALAN	INTERNATIONAL SMALL COMPANY A	0.00	64.31	0.00	64.31	7.5	4.82
	ACCOUNT #: 08150696707	BUY 0 Units @0.0000						
07/01/2018	***-**-305P - BLUMEN, ROBERT	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	77.92	0.00	77.92	7.5	5.84
	ACCOUNT #: 05056135477	BUY 0 Units @0.0000						
07/01/2018	***-**-305P - BLUMEN, ROBERT	INVESCO VAN KAMPEN MID CAP GROWTH A	0.00	91.08	0.00	91.08	7.5	6.83
	ACCOUNT #: 05056135477	BUY 0 Units @0.0000						
06/30/2018	***-**-427I - FISHMAN, ALAN	MAIN STREET FUND A	0.00	25.58	0.00	25.58	7.5	1.92
	ACCOUNT #: 07004434301	BUY 0 Units @0.0000						
06/30/2018	***-**-427I - FISHMAN, ALAN	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	49.59	0.00	49.59	7.5	3.72
	ACCOUNT #: 07852994145	BUY 0 Units @0.0000						
06/30/2018	***-**-427I - FISHMAN, ALAN	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	24.51	0.00	24.51	7.5	1.84
	ACCOUNT #: 02512697684	BUY 0 Units @0.0000						
* ALAN FISHMAN SUBTOTAL *			\$0.00	\$461.28	\$0.00	\$461.28		\$34.59
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$461.28	\$0.00	\$461.28		\$34.59



BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$50.47
						Split Transactions		\$377.88
						Override Transactions		\$34.59
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$462.94
						Year-to-date Earnings		\$35,552.37