

COMMISSION STATEMENT

December 31, 2017

STEPHEN SUSLOW

14 WEST 12TH STREET

APT 6B

NEW YORK, NY 10011

BROKER #: 61

BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

12/26/2017 75500864	WINICK, JESSE	GENERAL ELECTRIC CO	S	50,685.32	0.00	7.00	-7.00	85.0	-7.00
12/01/2017 75500881	SUSLOW, RONNIE	ALTABA INC COM	B	175,000.00	0.00	7.00	-7.00	85.0	-7.00
12/04/2017 75500881	SUSLOW, RONNIE	ALTABA INC COM	S	178,105.00	0.00	7.00	-7.00	85.0	-7.00
12/27/2017 75500881	SUSLOW, RONNIE	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		91,708.09	0.00	7.00	-7.00	85.0	-7.00
12/27/2017 75500881	SUSLOW, RONNIE	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		64,289.00	0.00	7.00	-7.00	85.0	-7.00
12/13/2017 75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		14,283.05	0.00	7.00	-7.00	85.0	-7.00
12/15/2017 75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		68,941.50	0.00	7.00	-7.00	85.0	-7.00
12/15/2017 75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		12,940.00	0.00	7.00	-7.00	85.0	-7.00
12/20/2017 75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		75,382.00	0.00	7.00	-7.00	85.0	-7.00
12/21/2017 75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		20,645.50	0.00	7.00	-7.00	85.0	-7.00
12/22/2017 75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		68,776.06	0.00	7.00	-7.00	85.0	-7.00
12/27/2017 75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		111,610.80	0.00	7.00	-7.00	85.0	-7.00
12/27/2017 75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		413.70	0.00	7.00	-7.00	85.0	-7.00
12/27/2017 75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		27,540.00	0.00	7.00	-7.00	85.0	-7.00
12/27/2017 75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I S		150,035.80	0.00	7.00	-7.00	85.0	-7.00
11/29/2017 75500933	LAWRENCE, IRA	PURE STORAGE INC CLASS A COM	B	35,277.00	10.00	7.00	3.00	85.0	1.50
11/30/2017 75500933	LAWRENCE, IRA	PURE STORAGE INC CLASS A COM	S	37,280.00	10.00	7.00	3.00	85.0	1.50
12/04/2017 75500933	LAWRENCE, IRA	DIREXION SHARES ETF TRUST DIREXION	B	27,710.00	10.00	7.00	3.00	85.0	1.50
12/04/2017 75500933	LAWRENCE, IRA	SYSCO CORP	S	58,340.00	10.00	7.00	3.00	85.0	1.50
12/12/2017 75500933	LAWRENCE, IRA	BALL CORP	B	39,110.00	10.00	7.00	3.00	85.0	1.50
12/14/2017 75500933	LAWRENCE, IRA	VISTA OUTDOOR INC COM	B	29,120.00	10.00	7.00	3.00	85.0	1.50
12/20/2017 75500933	LAWRENCE, IRA	DIREXION SHARES ETF TRUST DIREXION	S	28,390.00	10.00	7.00	3.00	85.0	1.50
12/21/2017 75500933	LAWRENCE, IRA	CSX CORPORATION COM	B	55,000.00	10.00	7.00	3.00	85.0	1.50
12/26/2017 75500933	LAWRENCE, IRA	BLUE CAPITAL REINSURANCE HOLDINGS L S		25,205.00	10.00	7.00	3.00	85.0	1.50
12/26/2017 75500933	LAWRENCE, IRA	CIVEO CORP CDA COM	S	4,762.60	10.00	7.00	3.00	85.0	1.50
12/26/2017 75500933	LAWRENCE, IRA	OASIS PETROLEUM INC	S	8,350.00	10.00	7.00	3.00	85.0	1.50
12/26/2017 75500933	LAWRENCE, IRA	VIVUS INC	S	1,028.20	10.00	7.00	3.00	85.0	1.50
12/27/2017 75500933	LAWRENCE, IRA	DIREXION SHARES ETF TRUST DAILY FIN S		9,386.40	0.00	7.00	-7.00	85.0	-7.00
12/27/2017 75500933	LAWRENCE, IRA	STONE ENERGY CORPORATION COMMON STO S		552.16	10.00	7.00	3.00	85.0	1.50
12/26/2017 75500934	LAWRENCE, IRA	CIVEO CORP CDA COM	S	4,641.16	10.00	7.00	3.00	85.0	1.50
12/26/2017 75500934	LAWRENCE, IRA	DENBURY RESOURCES INC NEW HOLDING C S		4,084.20	10.00	7.00	3.00	85.0	1.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/26/2017	75500934	LAWRENCE, IRA	GNC HLDGS INC COM CL A	S	3,711.40	10.00	7.00	3.00	85.0 1.50
12/01/2017	75500935	LAWRENCE, IRA	NUTANIX INC CL A	S	36,690.00	10.00	7.00	3.00	85.0 1.50
11/30/2017	75501098	WINICK FAMILY	BANK OF THE OZARKS INC	S	72,496.50	20.00	7.00	13.00	85.0 10.00
12/01/2017	75501098	WINICK FAMILY	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		13,938.30	0.00	7.00	-7.00	85.0 -7.00
12/01/2017	75501098	WINICK FAMILY	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		13,937.70	0.00	7.00	-7.00	85.0 -7.00
12/08/2017	75501098	WINICK FAMILY	LUMBER LIQUIDATORS HOLDINGS INC	B	305,000.00	20.00	7.00	13.00	85.0 10.00
12/20/2017	75501098	WINICK FAMILY	GENERAL ELECTRIC CO	S	34,822.00	20.00	7.00	13.00	85.0 10.00
12/04/2017	75501161	LAWRENCE, DAVID	DIREXION SHARES ETF TRUST DIREXION	B	27,310.00	10.00	7.00	3.00	85.0 1.50
12/20/2017	75501161	LAWRENCE, DAVID	DIREXION SHARES ETF TRUST DIREXION	S	28,880.00	10.00	7.00	3.00	85.0 1.50
12/21/2017	75501161	LAWRENCE, DAVID	CRITEO S A SPONSORED ADS	B	24,790.00	10.00	7.00	3.00	85.0 1.50
12/21/2017	75501161	LAWRENCE, DAVID	CRITEO S A SPONSORED ADS	S	25,830.00	10.00	7.00	3.00	85.0 1.50
11/30/2017	75501189	IRA S LAWRENCE TTEE	CVS HEALTH CORPORATION	S	38,095.00	10.00	7.00	3.00	85.0 1.50
11/30/2017	75501189	IRA S LAWRENCE TTEE	CVS HEALTH CORPORATION	S	37,645.00	10.00	7.00	3.00	85.0 1.50
12/04/2017	75501189	IRA S LAWRENCE TTEE	HERITAGE INSURANCE HOLDINGS INC	S	27,630.00	10.00	7.00	3.00	85.0 1.50
12/11/2017	75501189	IRA S LAWRENCE TTEE	HERITAGE INSURANCE HOLDINGS INC	S	25,755.00	10.00	7.00	3.00	85.0 1.50
12/21/2017	75501189	IRA S LAWRENCE TTEE	HAIN CELESTIAL GROUP INC	B	41,510.00	10.00	7.00	3.00	85.0 1.50
12/21/2017	75501189	IRA S LAWRENCE TTEE	HAIN CELESTIAL GROUP INC	S	-41,510.00	-10.00	-7.00	-3.00	85.0 -1.50 C
12/21/2017	75501189	IRA S LAWRENCE TTEE	HAIN CELESTIAL GROUP INC	B	41,510.00	10.00	7.00	3.00	85.0 1.50
12/06/2017	75501196	LAWRENCE, ADELLE	DIREXION SHARES ETF TRUST DIREXION	B	25,610.00	0.00	7.00	-7.00	85.0 -7.00
12/18/2017	75501196	LAWRENCE, ADELLE	DIREXION SHARES ETF TRUST DIREXION	S	27,890.00	10.00	7.00	3.00	85.0 1.50
12/18/2017	75501196	LAWRENCE, ADELLE	FORTERRA INC COM	B	22,420.00	10.00	7.00	3.00	85.0 1.50
12/01/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		19,513.62	0.00	7.00	-7.00	85.0 -7.00
12/04/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		6,940.00	0.00	7.00	-7.00	85.0 -7.00
12/04/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		11,088.00	0.00	7.00	-7.00	85.0 -7.00
12/04/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		5,544.00	0.00	7.00	-7.00	85.0 -7.00
12/05/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		6,930.00	0.00	7.00	-7.00	85.0 -7.00
12/05/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		374.22	0.00	7.00	-7.00	85.0 -7.00
12/06/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		12,537.00	0.00	7.00	-7.00	85.0 -7.00
12/07/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		8,364.00	0.00	7.00	-7.00	85.0 -7.00
12/07/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		5,576.00	0.00	7.00	-7.00	85.0 -7.00
12/08/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		6,955.00	0.00	7.00	-7.00	85.0 -7.00
12/08/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		6,955.00	0.00	7.00	-7.00	85.0 -7.00
12/08/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		958.41	0.00	7.00	-7.00	85.0 -7.00
12/11/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		32,032.96	0.00	7.00	-7.00	85.0 -7.00
12/12/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		13,840.00	0.00	7.00	-7.00	85.0 -7.00
12/13/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		27,691.04	0.00	7.00	-7.00	85.0 -7.00
12/13/2017	75502037	WINICK, BARBARA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		64,922.95	0.00	7.00	-7.00	85.0 -7.00
12/14/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		87,513.30	0.00	7.00	-7.00	85.0 -7.00
12/18/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		75,615.50	0.00	7.00	-7.00	85.0 -7.00
12/19/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		61,353.80	0.00	7.00	-7.00	85.0 -7.00

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BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
12/21/2017	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	46,429.70	0.00	7.00	-7.00	85.0	-7.00	
12/22/2017	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	21,920.00	0.00	7.00	-7.00	85.0	-7.00	
12/26/2017	75502037	WINICK, BARBARA		NUVEEN NEW YORK AMT FREE MUNICIPAL I B	63,892.00	0.00	7.00	-7.00	85.0	-7.00	
12/04/2017	75502141	BOSGANG, ALVIN		CITIGROUP INC COM	B	8,496,785.78	0.00	7.00	-7.00	85.0	-7.00
12/08/2017	75502141	BOSGANG, ALVIN		CITIGROUP INC COM	S	740,000.00	0.00	7.00	-7.00	85.0	-7.00
12/08/2017	75502141	BOSGANG, ALVIN		CITIGROUP INC COM	S	7,350,000.00	0.00	7.00	-7.00	85.0	-7.00
* STOCKS SUBTOTAL *				\$19,486,285.72	\$340.00	\$525.00	\$-185.00	\$-236.00			

PRODUCT CATEGORY: OPTIONS

12/11/2017	75500791	SUSLOVICH, ESTHER	PUT	C	12/15/17	73.50	S	280.00	0.00	7.00	-7.00	85.0	-7.00
12/28/2017	75500791	SUSLOVICH, ESTHER	PUT	WFC	01/05/18	60	S	312.00	0.00	7.00	-7.00	85.0	-7.00
12/08/2017	75500837	BELL, MARTIN	PUT	C	12/22/17	72.50	S	2,000.00	0.00	7.00	-7.00	85.0	-7.00
12/21/2017	75500837	BELL, MARTIN	PUT	C	12/29/17	73.50	S	827.33	0.00	7.00	-7.00	85.0	-7.00
12/11/2017	75500838	BELL, MARTIN	PUT	C	12/15/17	73.50	S	150.00	0.00	7.00	-7.00	85.0	-7.00
12/11/2017	75500859	LABELLA, CHARLES	PUT	C	12/22/17	72	S	440.00	0.00	7.00	-7.00	85.0	-7.00
11/30/2017	75500864	WINICK, JESSE	PUT	BAC	12/08/17	27.50	S	2,800.00	280.00	7.00	273.00	85.0	231.00
11/30/2017	75500864	WINICK, JESSE	PUT	C	12/08/17	72.50	S	1,330.00	133.00	7.00	126.00	85.0	106.05
12/08/2017	75500864	WINICK, JESSE	PUT	BAC	12/22/17	28	S	1,500.00	150.00	7.00	143.00	85.0	120.50
12/08/2017	75500864	WINICK, JESSE	PUT	C	12/15/17	74	S	1,866.67	186.00	7.00	179.00	85.0	151.10
12/08/2017	75500864	WINICK, JESSE	PUT	C	12/22/17	72.50	S	4,000.00	400.00	7.00	393.00	85.0	333.00
12/11/2017	75500864	WINICK, JESSE	PUT	AABA	12/22/17	68	S	2,920.00	292.00	7.00	285.00	85.0	241.20
12/11/2017	75500864	WINICK, JESSE	PUT	C	12/22/17	73.50	S	2,540.00	254.00	7.00	247.00	85.0	208.90
12/12/2017	75500864	WINICK, JESSE	PUT	BAC	12/29/17	27.50	S	900.00	90.00	7.00	83.00	85.0	69.50
12/12/2017	75500864	WINICK, JESSE	PUT	C	12/15/17	74	S	1,540.00	154.00	7.00	147.00	85.0	123.90
12/12/2017	75500864	WINICK, JESSE	PUT	C	12/29/17	73.50	S	3,061.00	300.00	7.00	293.00	85.0	248.00
12/18/2017	75500864	WINICK, JESSE	PUT	C	12/22/17	74.50	S	900.00	90.00	7.00	83.00	85.0	69.50
12/18/2017	75500864	WINICK, JESSE	PUT	WFC	12/29/17	58.50	S	1,580.00	158.00	7.00	151.00	85.0	127.30
12/21/2017	75500864	WINICK, JESSE	PUT	AABA	01/05/18	68	S	2,580.00	258.00	7.00	251.00	85.0	212.30
12/21/2017	75500864	WINICK, JESSE	PUT	AABA	12/29/17	69	S	1,500.00	150.00	7.00	143.00	85.0	120.50
12/21/2017	75500864	WINICK, JESSE	PUT	C	01/05/18	73.50	S	3,702.00	370.00	7.00	363.00	85.0	307.50
12/21/2017	75500864	WINICK, JESSE	PUT	WFC	01/05/18	60	S	3,174.55	317.00	7.00	310.00	85.0	262.45
12/22/2017	75500864	WINICK, JESSE	PUT	C	01/05/18	74.50	S	2,053.33	205.00	7.00	198.00	85.0	167.25
12/22/2017	75500864	WINICK, JESSE	PUT	C	12/29/17	72.50	S	1,710.00	142.00	7.00	135.00	85.0	113.70
12/22/2017	75500864	WINICK, JESSE	PUT	WFC	01/05/18	59	S	1,840.00	184.00	7.00	177.00	85.0	149.40
12/28/2017	75500864	WINICK, JESSE	CALL	SPY	01/20/17	222	S	2,072.00	207.00	7.00	200.00	85.0	168.95
12/28/2017	75500864	WINICK, JESSE	PUT	C	01/12/18	73.50	S	2,760.00	276.00	7.00	269.00	85.0	227.60
12/28/2017	75500864	WINICK, JESSE	PUT	WFC	01/12/18	59.50	S	5,535.00	553.00	7.00	546.00	85.0	463.05
11/30/2017	75500867	GREENSPAN, WILLIAM	PUT	C	12/08/17	74	S	900.00	90.00	7.00	83.00	85.0	69.50
12/08/2017	75500867	GREENSPAN, WILLIAM	PUT	C	12/15/17	74	S	1,866.67	186.00	7.00	179.00	85.0	151.10
12/08/2017	75500867	GREENSPAN, WILLIAM	PUT	C	12/22/17	72.50	S	2,000.00	200.00	7.00	193.00	85.0	163.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
12/11/2017	75500867 GREENSPAN, WILLIAM	PUT	AABA	12/22/17	67	S	2,000.00	200.00	7.00	193.00 85.0 163.00
12/11/2017	75500867 GREENSPAN, WILLIAM	PUT	C	12/22/17	72.50	S	1,160.00	116.00	7.00	109.00 85.0 91.60
12/21/2017	75500867 GREENSPAN, WILLIAM	PUT	C	01/05/18	74.50	S	1,780.00	178.00	7.00	171.00 85.0 144.30
12/28/2017	75500867 GREENSPAN, WILLIAM	PUT	WFC	01/12/18	59.50	S	2,767.50	276.00	7.00	269.00 85.0 227.60
12/08/2017	75500881 SUSLOW, RONNIE	PUT	AABA	12/15/17	68	S	3,057.69	0.00	7.00	-7.00 85.0 -7.00
12/08/2017	75500881 SUSLOW, RONNIE	PUT	C	12/15/17	74	S	6,946.67	0.00	7.00	-7.00 85.0 -7.00
12/11/2017	75500881 SUSLOW, RONNIE	PUT	AABA	12/22/17	68	S	2,920.00	0.00	7.00	-7.00 85.0 -7.00
12/11/2017	75500881 SUSLOW, RONNIE	PUT	C	12/15/17	74	S	1,400.00	0.00	7.00	-7.00 85.0 -7.00
12/12/2017	75500881 SUSLOW, RONNIE	PUT	C	12/22/17	73.50	S	840.00	0.00	7.00	-7.00 85.0 -7.00
12/20/2017	75500881 SUSLOW, RONNIE	PUT	AABA	12/29/17	68.50	S	8,672.56	0.00	7.00	-7.00 85.0 -7.00
12/21/2017	75500881 SUSLOW, RONNIE	PUT	C	01/05/18	73.50	S	3,702.00	0.00	7.00	-7.00 85.0 -7.00
12/21/2017	75500881 SUSLOW, RONNIE	PUT	WFC	01/05/18	59	S	840.00	0.00	7.00	-7.00 85.0 -7.00
12/22/2017	75500881 SUSLOW, RONNIE	PUT	AABA	01/05/18	68	S	1,840.00	0.00	7.00	-7.00 85.0 -7.00
12/22/2017	75500881 SUSLOW, RONNIE	PUT	C	01/05/18	74.50	S	2,053.33	0.00	7.00	-7.00 85.0 -7.00
12/28/2017	75500881 SUSLOW, RONNIE	CALL	SPY	01/20/17	222	S	4,144.00	0.00	7.00	-7.00 85.0 -7.00
12/28/2017	75500881 SUSLOW, RONNIE	PUT	WFC	01/12/18	59.50	S	5,535.00	0.00	7.00	-7.00 85.0 -7.00
12/01/2017	75500882 SUSLOW, RONNIE	PUT	AABA	12/01/17	70.50	B	2,900.00	0.00	7.00	-7.00 85.0 -7.00
12/01/2017	75500882 SUSLOW, RONNIE	PUT	C	12/08/17	72	S	2,040.00	0.00	7.00	-7.00 85.0 -7.00
12/08/2017	75500882 SUSLOW, RONNIE	PUT	C	12/15/17	74	S	3,473.33	0.00	7.00	-7.00 85.0 -7.00
12/11/2017	75500882 SUSLOW, RONNIE	PUT	AABA	12/15/17	69.50	S	1,960.00	0.00	7.00	-7.00 85.0 -7.00
12/12/2017	75500882 SUSLOW, RONNIE	PUT	AABA	12/15/17	69.50	B	4,560.00	0.00	7.00	-7.00 85.0 -7.00
12/12/2017	75500882 SUSLOW, RONNIE	PUT	C	12/15/17	74.50	S	1,880.88	0.00	7.00	-7.00 85.0 -7.00
12/20/2017	75500882 SUSLOW, RONNIE	PUT	AABA	12/22/17	69	S	1,300.00	0.00	7.00	-7.00 85.0 -7.00
12/20/2017	75500882 SUSLOW, RONNIE	PUT	AABA	12/22/17	68.50	S	560.00	0.00	7.00	-7.00 85.0 -7.00
12/20/2017	75500882 SUSLOW, RONNIE	PUT	AABA	12/29/17	67	S	280.00	0.00	7.00	-7.00 85.0 -7.00
12/20/2017	75500882 SUSLOW, RONNIE	PUT	AABA	12/29/17	67.50	S	400.00	0.00	7.00	-7.00 85.0 -7.00
12/22/2017	75500882 SUSLOW, RONNIE	PUT	AABA	12/29/17	69	S	360.00	0.00	7.00	-7.00 85.0 -7.00
12/22/2017	75500882 SUSLOW, RONNIE	PUT	WFC	12/29/17	58	S	1,100.00	0.00	7.00	-7.00 85.0 -7.00
11/30/2017	75500918 SUSLOW, ELISSA	PUT	BAC	12/08/17	27	S	2,200.00	0.00	7.00	-7.00 85.0 -7.00
11/30/2017	75500918 SUSLOW, ELISSA	PUT	BAC	12/08/17	27	B	2,700.00	0.00	7.00	-7.00 85.0 -7.00
11/30/2017	75500918 SUSLOW, ELISSA	PUT	C	12/08/17	72.50	S	1,330.00	0.00	7.00	-7.00 85.0 -7.00
12/04/2017	75500918 SUSLOW, ELISSA	PUT	BAC	12/08/17	27.50	S	800.00	0.00	7.00	-7.00 85.0 -7.00
12/04/2017	75500918 SUSLOW, ELISSA	PUT	C	12/15/17	74	S	1,240.00	0.00	7.00	-7.00 85.0 -7.00
12/05/2017	75500918 SUSLOW, ELISSA	CALL	SPY	01/20/17	222	S	1,200.00	0.00	7.00	-7.00 85.0 -7.00
12/05/2017	75500918 SUSLOW, ELISSA	PUT	BAC	12/15/17	27.50	S	280.00	0.00	7.00	-7.00 85.0 -7.00
12/08/2017	75500918 SUSLOW, ELISSA	PUT	AABA	12/15/17	68	S	3,669.23	0.00	7.00	-7.00 85.0 -7.00
12/08/2017	75500918 SUSLOW, ELISSA	PUT	C	12/15/17	74	S	6,946.67	0.00	7.00	-7.00 85.0 -7.00
12/08/2017	75500918 SUSLOW, ELISSA	PUT	C	12/22/17	72.50	S	4,000.00	0.00	7.00	-7.00 85.0 -7.00
12/11/2017	75500918 SUSLOW, ELISSA	PUT	BAC	12/22/17	28	S	520.00	0.00	7.00	-7.00 85.0 -7.00
12/11/2017	75500918 SUSLOW, ELISSA	PUT	C	12/15/17	74	S	1,400.00	0.00	7.00	-7.00 85.0 -7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
12/11/2017	75500918	SUSLOW, ELISSA	PUT C	12/22/17	73.50	S	2,540.00	0.00	7.00	-7.00	85.0	-7.00
12/12/2017	75500918	SUSLOW, ELISSA	PUT AABA	12/15/17	68	S	1,400.00	0.00	7.00	-7.00	85.0	-7.00
12/12/2017	75500918	SUSLOW, ELISSA	PUT BAC	12/22/17	28	S	323.00	0.00	7.00	-7.00	85.0	-7.00
12/12/2017	75500918	SUSLOW, ELISSA	PUT BAC	12/29/17	28	S	680.00	0.00	7.00	-7.00	85.0	-7.00
12/12/2017	75500918	SUSLOW, ELISSA	PUT C	12/22/17	74.50	S	3,400.00	0.00	7.00	-7.00	85.0	-7.00
12/12/2017	75500918	SUSLOW, ELISSA	PUT C	12/29/17	73.50	S	3,061.00	0.00	7.00	-7.00	85.0	-7.00
12/13/2017	75500918	SUSLOW, ELISSA	PUT BAC	12/22/17	27.50	S	720.00	0.00	7.00	-7.00	85.0	-7.00
12/18/2017	75500918	SUSLOW, ELISSA	PUT C	12/22/17	74.50	S	900.00	0.00	7.00	-7.00	85.0	-7.00
12/18/2017	75500918	SUSLOW, ELISSA	PUT WFC	12/22/17	59	S	1,160.00	0.00	7.00	-7.00	85.0	-7.00
12/18/2017	75500918	SUSLOW, ELISSA	PUT WFC	12/29/17	58	S	2,242.00	0.00	7.00	-7.00	85.0	-7.00
12/20/2017	75500918	SUSLOW, ELISSA	PUT WFC	12/22/17	59	S	900.00	0.00	7.00	-7.00	85.0	-7.00
12/20/2017	75500918	SUSLOW, ELISSA	PUT WFC	12/29/17	58.50	S	760.00	0.00	7.00	-7.00	85.0	-7.00
12/21/2017	75500918	SUSLOW, ELISSA	PUT AABA	12/29/17	69.50	S	3,700.00	0.00	7.00	-7.00	85.0	-7.00
12/21/2017	75500918	SUSLOW, ELISSA	PUT WFC	01/05/18	60	S	3,174.55	0.00	7.00	-7.00	85.0	-7.00
12/22/2017	75500918	SUSLOW, ELISSA	PUT AABA	01/05/18	68.50	S	2,340.00	0.00	7.00	-7.00	85.0	-7.00
12/22/2017	75500918	SUSLOW, ELISSA	PUT C	01/05/18	74.50	S	2,053.33	0.00	7.00	-7.00	85.0	-7.00
12/22/2017	75500918	SUSLOW, ELISSA	PUT C	12/29/17	72.50	S	1,710.00	0.00	7.00	-7.00	85.0	-7.00
12/22/2017	75500918	SUSLOW, ELISSA	PUT WFC	01/05/18	60	S	2,400.00	0.00	7.00	-7.00	85.0	-7.00
12/28/2017	75500918	SUSLOW, ELISSA	CALL SPY	01/20/17	222	S	4,144.00	0.00	7.00	-7.00	85.0	-7.00
12/28/2017	75500918	SUSLOW, ELISSA	PUT C	01/12/18	73.50	S	2,760.00	0.00	7.00	-7.00	85.0	-7.00
12/28/2017	75500918	SUSLOW, ELISSA	PUT WFC	01/12/18	59.50	S	5,535.00	0.00	7.00	-7.00	85.0	-7.00
12/11/2017	75500919	SUSLOW, ELISSA	PUT C	12/15/17	73.50	S	110.00	0.00	7.00	-7.00	85.0	-7.00
12/28/2017	75500919	SUSLOW, ELISSA	PUT WFC	01/05/18	60	S	182.00	0.00	7.00	-7.00	85.0	-7.00
12/08/2017	75500953	SUSLOW, STEPHEN	PUT C	12/15/17	74	S	3,473.33	0.00	7.00	-7.00	85.0	-7.00
12/11/2017	75500953	SUSLOW, STEPHEN	PUT C	12/15/17	74	S	1,400.00	0.00	7.00	-7.00	85.0	-7.00
12/12/2017	75500953	SUSLOW, STEPHEN	PUT C	12/22/17	74.50	S	1,400.00	0.00	7.00	-7.00	85.0	-7.00
12/14/2017	75500953	SUSLOW, STEPHEN	PUT C	12/15/17	74	B	6,820.00	0.00	7.00	-7.00	85.0	-7.00
12/26/2017	75500953	SUSLOW, STEPHEN	PUT WFC	12/29/17	60	S	2,921.77	0.00	7.00	-7.00	85.0	-7.00
12/01/2017	75500954	SUSLOW, STEPHEN	PUT C	12/08/17	74	S	1,570.00	0.00	7.00	-7.00	85.0	-7.00
12/01/2017	75500954	SUSLOW, STEPHEN	PUT C	12/08/17	74	S	580.00	0.00	7.00	-7.00	85.0	-7.00
12/04/2017	75500954	SUSLOW, STEPHEN	PUT C	12/08/17	74	S	150.00	0.00	7.00	-7.00	85.0	-7.00
12/04/2017	75500954	SUSLOW, STEPHEN	PUT C	12/08/17	74.50	S	800.00	0.00	7.00	-7.00	85.0	-7.00
12/04/2017	75500954	SUSLOW, STEPHEN	PUT C	12/08/17	74	S	120.00	0.00	7.00	-7.00	85.0	-7.00
12/08/2017	75500954	SUSLOW, STEPHEN	PUT AABA	11/17/17	67	S	1,860.00	0.00	7.00	-7.00	85.0	-7.00
12/08/2017	75500954	SUSLOW, STEPHEN	PUT AABA	12/15/17	68	S	2,360.00	0.00	7.00	-7.00	85.0	-7.00
12/08/2017	75500954	SUSLOW, STEPHEN	PUT AABA	12/15/17	68.50	S	2,900.00	0.00	7.00	-7.00	85.0	-7.00
12/08/2017	75500954	SUSLOW, STEPHEN	PUT C	12/15/17	73.50	S	2,600.00	0.00	7.00	-7.00	85.0	-7.00
12/11/2017	75500954	SUSLOW, STEPHEN	PUT AABA	12/15/17	68	S	680.00	0.00	7.00	-7.00	85.0	-7.00
12/12/2017	75500954	SUSLOW, STEPHEN	PUT AABA	11/17/17	67	B	1,160.00	0.00	7.00	-7.00	85.0	-7.00
12/12/2017	75500954	SUSLOW, STEPHEN	PUT C	12/15/17	74.50	S	4,514.12	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT			B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
12/12/2017	75500954	SUSLOW, STEPHEN	PUT C	12/15/17	73.50	B	400.00	0.00	7.00	-7.00	85.0	-7.00
12/18/2017	75500954	SUSLOW, STEPHEN	PUT AABA	12/22/17	67.50	S	1,140.00	0.00	7.00	-7.00	85.0	-7.00
12/18/2017	75500954	SUSLOW, STEPHEN	PUT AABA	12/22/17	68	S	2,320.00	0.00	7.00	-7.00	85.0	-7.00
12/20/2017	75500954	SUSLOW, STEPHEN	PUT AABA	12/29/17	68	S	2,600.00	0.00	7.00	-7.00	85.0	-7.00
12/20/2017	75500954	SUSLOW, STEPHEN	PUT AABA	12/29/17	68.50	S	8,005.44	0.00	7.00	-7.00	85.0	-7.00
12/22/2017	75500954	SUSLOW, STEPHEN	PUT AABA	12/29/17	69.50	S	1,200.00	0.00	7.00	-7.00	85.0	-7.00
12/22/2017	75500954	SUSLOW, STEPHEN	PUT WFC	12/29/17	60	S	1,700.00	0.00	7.00	-7.00	85.0	-7.00
12/08/2017	75500986	SUSLOVICH, JACOB	PUT C	12/22/17	72.50	S	2,000.00	0.00	7.00	-7.00	85.0	-7.00
12/26/2017	75500986	SUSLOVICH, JACOB	PUT WFC	12/29/17	60	S	1,047.23	0.00	7.00	-7.00	85.0	-7.00
11/30/2017	75501098	WINICK FAMILY	PUT C	12/08/17	74	S	900.00	90.00	7.00	83.00	85.0	69.50
12/08/2017	75501098	WINICK FAMILY	PUT C	12/15/17	74	S	1,866.67	186.00	7.00	179.00	85.0	151.10
12/08/2017	75501098	WINICK FAMILY	PUT C	12/22/17	72.50	S	2,000.00	200.00	7.00	193.00	85.0	163.00
12/11/2017	75501098	WINICK FAMILY	PUT AABA	12/22/17	67.50	S	2,520.00	252.00	7.00	245.00	85.0	207.20
12/11/2017	75501098	WINICK FAMILY	PUT C	12/22/17	74.50	S	2,400.00	240.00	7.00	233.00	85.0	197.00
12/12/2017	75501098	WINICK FAMILY	PUT C	12/15/17	74	S	1,100.00	110.00	7.00	103.00	85.0	86.50
12/12/2017	75501098	WINICK FAMILY	PUT C	12/29/17	72.50	S	2,080.00	208.00	7.00	201.00	85.0	169.80
12/18/2017	75501098	WINICK FAMILY	PUT WFC	12/29/17	58	S	1,200.00	120.00	7.00	113.00	85.0	95.00
12/21/2017	75501098	WINICK FAMILY	PUT AABA	01/05/18	68	S	2,580.00	258.00	7.00	251.00	85.0	212.30
12/21/2017	75501098	WINICK FAMILY	PUT AABA	12/29/17	68.50	S	1,100.00	110.00	7.00	103.00	85.0	86.50
12/21/2017	75501098	WINICK FAMILY	PUT C	01/05/18	74.50	S	1,780.00	178.00	7.00	171.00	85.0	144.30
12/21/2017	75501098	WINICK FAMILY	PUT WFC	01/05/18	60	S	1,560.00	156.00	7.00	149.00	85.0	125.60
12/22/2017	75501098	WINICK FAMILY	PUT C	01/05/18	74.50	S	1,840.00	184.00	7.00	177.00	85.0	149.40
12/28/2017	75501098	WINICK FAMILY	PUT WFC	01/12/18	59.50	S	2,767.50	276.00	7.00	269.00	85.0	227.60
12/11/2017	75501104	CHRISTOPHER C	PUT C	12/15/17	73.50	S	660.00	0.00	7.00	-7.00	85.0	-7.00
12/21/2017	75501104	CHRISTOPHER C	PUT C	12/29/17	72.50	S	500.00	0.00	7.00	-7.00	85.0	-7.00
12/08/2017	75501215	SISTERS TWO LLC	PUT BAC	12/22/17	27.50	S	800.00	80.00	7.00	73.00	85.0	61.00
12/08/2017	75501215	SISTERS TWO LLC	PUT C	12/22/17	72.50	S	2,000.00	200.00	7.00	193.00	85.0	163.00
12/08/2017	75501215	SISTERS TWO LLC	PUT C	06/15/18	72.50	S	1,200.00	120.00	7.00	113.00	85.0	95.00
12/21/2017	75501215	SISTERS TWO LLC	PUT C	12/29/17	73.50	S	827.33	82.00	7.00	75.00	85.0	62.70
12/08/2017	75502117	BLUMA ROTTERMANN	TTEE PUT C	12/22/17	72.50	S	2,000.00	200.00	7.00	193.00	85.0	163.00
12/21/2017	75502117	BLUMA ROTTERMANN	TTEE PUT C	12/29/17	73.50	S	827.33	82.00	7.00	75.00	85.0	62.70
11/30/2017	75502141	BOSGANG, ALVIN	PUT C	12/15/17	74	S	29,365.00	0.00	7.00	-7.00	85.0	-7.00
11/30/2017	75502141	BOSGANG, ALVIN	PUT C	12/15/17	74.50	S	44,820.00	0.00	7.00	-7.00	85.0	-7.00
11/30/2017	75502141	BOSGANG, ALVIN	PUT C	12/08/17	74	S	3,720.00	0.00	7.00	-7.00	85.0	-7.00
11/30/2017	75502141	BOSGANG, ALVIN	PUT C	12/15/17	72	S	2,340.00	0.00	7.00	-7.00	85.0	-7.00
11/30/2017	75502141	BOSGANG, ALVIN	PUT C	12/15/17	74	S	7,420.00	0.00	7.00	-7.00	85.0	-7.00
11/30/2017	75502141	BOSGANG, ALVIN	PUT C	12/15/17	73.50	S	23,700.00	0.00	7.00	-7.00	85.0	-7.00
11/30/2017	75502141	BOSGANG, ALVIN	PUT C	12/08/17	74.50	S	22,480.00	0.00	7.00	-7.00	85.0	-7.00
11/30/2017	75502141	BOSGANG, ALVIN	PUT C	12/08/17	74	S	9,040.00	0.00	7.00	-7.00	85.0	-7.00
11/30/2017	75502141	BOSGANG, ALVIN	PUT C	06/15/18	72.50	S	2,920.00	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
12/01/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/08/17	70.50	S	46,356.00	600.00	7.00	593.00	85.0	503.00
12/01/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/08/17	71	S	146,275.00	1,500.00	7.00	1,493.00	85.0	1,268.00
12/01/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/01/17	70.50	B	13,056.00	0.00	7.00	-7.00	85.0	-7.00
12/01/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/01/17	70	B	65,911.00	0.00	7.00	-7.00	85.0	-7.00
12/01/2017	75502141	BOSGANG, ALVIN	PUT	C	12/08/17	72.50	S	4,760.00	238.00	7.00	231.00	85.0	195.30
12/04/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	74	S	6,280.00	300.00	7.00	293.00	85.0	248.00
12/04/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	74.50	S	10,840.00	450.00	7.00	443.00	85.0	375.50
12/04/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	74	S	33,480.00	0.00	7.00	-7.00	85.0	-7.00
12/04/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	75	S	22,459.00	750.00	7.00	743.00	85.0	630.50
12/04/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	73.50	S	2,580.00	129.00	7.00	122.00	85.0	102.65
12/04/2017	75502141	BOSGANG, ALVIN	PUT	C	12/08/17	74	B	202,720.00	0.00	7.00	-7.00	85.0	-7.00
12/06/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	74	S	6,000.00	300.00	7.00	293.00	85.0	248.00
12/06/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	74.50	S	7,400.00	300.00	7.00	293.00	85.0	248.00
12/06/2017	75502141	BOSGANG, ALVIN	PUT	C	12/08/17	74	S	490.00	24.00	7.00	17.00	85.0	13.40
12/06/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	74	S	1,900.00	95.00	7.00	88.00	85.0	73.75
12/06/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	73.50	S	2,469.00	123.00	7.00	116.00	85.0	97.55
12/06/2017	75502141	BOSGANG, ALVIN	PUT	C	12/08/17	74.50	S	2,700.00	135.00	7.00	128.00	85.0	107.75
12/07/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	73.50	S	3,480.00	120.00	7.00	113.00	85.0	95.00
12/07/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	74	S	8,320.00	416.00	7.00	409.00	85.0	346.60
12/07/2017	75502141	BOSGANG, ALVIN	PUT	C	06/15/18	72.50	S	6,500.00	325.00	7.00	318.00	85.0	269.25
12/08/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/15/17	68	S	9,173.08	450.00	7.00	443.00	85.0	375.50
12/08/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/15/17	69.50	S	35,971.00	1,500.00	7.00	1,493.00	85.0	1,268.00
12/08/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	74.50	S	39,801.00	1,500.00	7.00	1,493.00	85.0	1,268.00
12/08/2017	75502141	BOSGANG, ALVIN	PUT	C	12/22/17	74.50	S	12,960.00	648.00	7.00	641.00	85.0	543.80
12/08/2017	75502141	BOSGANG, ALVIN	PUT	C	12/22/17	73.50	S	20,660.00	900.00	7.00	893.00	85.0	758.00
12/08/2017	75502141	BOSGANG, ALVIN	PUT	C	12/22/17	74.50	S	22,949.00	750.00	7.00	743.00	85.0	630.50
12/11/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/22/17	69	S	17,077.00	750.00	7.00	743.00	85.0	630.50
12/11/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/22/17	68.50	S	17,411.00	900.00	7.00	893.00	85.0	758.00
12/11/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	74.50	S	3,900.00	195.00	7.00	188.00	85.0	158.75
12/11/2017	75502141	BOSGANG, ALVIN	PUT	C	12/22/17	74.50	S	19,563.00	750.00	7.00	743.00	85.0	630.50
12/11/2017	75502141	BOSGANG, ALVIN	PUT	C	12/22/17	74.50	S	15,448.00	750.00	7.00	743.00	85.0	630.50
12/12/2017	75502141	BOSGANG, ALVIN	PUT	C	12/22/17	74.50	S	3,100.00	150.00	7.00	143.00	85.0	120.50
12/12/2017	75502141	BOSGANG, ALVIN	PUT	C	12/22/17	74.50	S	33,420.00	1,350.00	7.00	1,343.00	85.0	1,140.50
12/12/2017	75502141	BOSGANG, ALVIN	PUT	C	12/29/17	72.50	S	18,506.00	750.00	7.00	743.00	85.0	630.50
12/12/2017	75502141	BOSGANG, ALVIN	PUT	C	12/29/17	74.50	S	27,140.00	900.00	7.00	893.00	85.0	758.00
12/12/2017	75502141	BOSGANG, ALVIN	PUT	C	12/29/17	72.50	S	26,600.00	750.00	7.00	743.00	85.0	630.50
12/13/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	74	S	1,600.00	80.00	7.00	73.00	85.0	61.00
12/13/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	74.50	S	6,400.00	320.00	7.00	313.00	85.0	265.00
12/13/2017	75502141	BOSGANG, ALVIN	PUT	C	12/22/17	74.50	S	12,994.00	600.00	7.00	593.00	85.0	503.00
12/13/2017	75502141	BOSGANG, ALVIN	PUT	C	12/22/17	74.50	S	9,460.00	473.00	7.00	466.00	85.0	395.05

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
12/13/2017	75502141	BOSGANG, ALVIN	PUT	C	12/29/17	72.50	S	5,080.00	254.00	7.00	247.00	85.0	208.90
12/13/2017	75502141	BOSGANG, ALVIN	PUT	C	12/29/17	73.50	S	8,700.00	435.00	7.00	428.00	85.0	362.75
12/13/2017	75502141	BOSGANG, ALVIN	PUT	C	12/29/17	72.50	S	11,480.00	450.00	7.00	443.00	85.0	375.50
12/13/2017	75502141	BOSGANG, ALVIN	PUT	C	12/29/17	74.50	S	14,662.00	450.00	7.00	443.00	85.0	375.50
12/14/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	74	S	1,160.00	58.00	7.00	51.00	85.0	42.30
12/14/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	74.50	S	6,200.00	310.00	7.00	303.00	85.0	256.50
12/14/2017	75502141	BOSGANG, ALVIN	PUT	C	12/22/17	74.50	S	4,660.00	233.00	7.00	226.00	85.0	191.05
12/14/2017	75502141	BOSGANG, ALVIN	PUT	C	12/22/17	73.50	S	7,280.00	364.00	7.00	357.00	85.0	302.40
12/14/2017	75502141	BOSGANG, ALVIN	PUT	C	12/22/17	74.50	S	10,340.00	450.00	7.00	443.00	85.0	375.50
12/14/2017	75502141	BOSGANG, ALVIN	PUT	C	12/29/17	72.50	S	9,340.00	450.00	7.00	443.00	85.0	375.50
12/14/2017	75502141	BOSGANG, ALVIN	PUT	C	12/29/17	73.50	S	16,640.00	600.00	7.00	593.00	85.0	503.00
12/15/2017	75502141	BOSGANG, ALVIN	CALL	SPY	01/20/17	222	S	24,082.00	750.00	7.00	743.00	85.0	630.50
12/15/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/22/17	67.50	S	2,320.00	116.00	7.00	109.00	85.0	91.60
12/15/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/22/17	68	S	3,500.00	150.00	7.00	143.00	85.0	120.50
12/15/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	74	B	28,951.00	0.00	7.00	-7.00	85.0	-7.00
12/15/2017	75502141	BOSGANG, ALVIN	PUT	C	12/15/17	75	B	8,700.00	0.00	7.00	-7.00	85.0	-7.00
12/15/2017	75502141	BOSGANG, ALVIN	PUT	C	12/22/17	73.50	S	7,200.00	360.00	7.00	353.00	85.0	299.00
12/15/2017	75502141	BOSGANG, ALVIN	PUT	C	12/22/17	74.50	S	33,900.00	1,500.00	7.00	1,493.00	85.0	1,268.00
12/15/2017	75502141	BOSGANG, ALVIN	PUT	C	12/22/17	74.50	S	77,894.00	1,426.00	7.00	1,419.00	85.0	1,205.10
12/15/2017	75502141	BOSGANG, ALVIN	PUT	C	12/29/17	72.50	S	2,268.00	113.00	7.00	106.00	85.0	89.05
12/15/2017	75502141	BOSGANG, ALVIN	PUT	C	12/29/17	72.50	S	13,060.00	600.00	7.00	593.00	85.0	503.00
12/15/2017	75502141	BOSGANG, ALVIN	PUT	C	12/29/17	73.50	S	40,869.00	1,500.00	7.00	1,493.00	85.0	1,268.00
12/15/2017	75502141	BOSGANG, ALVIN	PUT	C	12/29/17	72.50	S	12,000.00	300.00	7.00	293.00	85.0	248.00
12/18/2017	75502141	BOSGANG, ALVIN	CALL	SPY	01/20/17	222	S	8,000.00	400.00	7.00	393.00	85.0	333.00
12/18/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/29/17	66.50	S	3,960.00	198.00	7.00	191.00	85.0	161.30
12/18/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/29/17	67	S	7,860.00	393.00	7.00	386.00	85.0	327.05
12/18/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/29/17	67.50	S	15,996.00	750.00	7.00	743.00	85.0	630.50
12/18/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/29/17	68	S	20,632.00	750.00	7.00	743.00	85.0	630.50
12/18/2017	75502141	BOSGANG, ALVIN	PUT	C	01/05/18	74.50	S	5,200.00	260.00	7.00	253.00	85.0	214.00
12/18/2017	75502141	BOSGANG, ALVIN	PUT	C	01/05/18	74.50	S	8,800.00	300.00	7.00	293.00	85.0	248.00
12/18/2017	75502141	BOSGANG, ALVIN	PUT	C	01/05/18	73.50	S	6,800.00	300.00	7.00	293.00	85.0	248.00
12/18/2017	75502141	BOSGANG, ALVIN	PUT	C	12/29/17	73.50	S	1,640.00	82.00	7.00	75.00	85.0	62.70
12/18/2017	75502141	BOSGANG, ALVIN	PUT	C	12/29/17	72.50	S	4,280.00	214.00	7.00	207.00	85.0	174.90
12/18/2017	75502141	BOSGANG, ALVIN	PUT	C	12/29/17	74.50	S	8,840.00	390.00	7.00	383.00	85.0	324.50
12/19/2017	75502141	BOSGANG, ALVIN	CALL	SPY	01/20/17	222	S	6,880.00	344.00	7.00	337.00	85.0	285.40
12/19/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/22/17	68.50	S	3,040.00	152.00	7.00	145.00	85.0	122.20
12/19/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/22/17	69	S	4,800.00	240.00	7.00	233.00	85.0	197.00
12/19/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/22/17	68	S	1,480.00	74.00	7.00	67.00	85.0	55.90
12/19/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/29/17	67	S	2,200.00	110.00	7.00	103.00	85.0	86.50
12/19/2017	75502141	BOSGANG, ALVIN	PUT	AABA	12/29/17	67.50	S	5,241.00	262.00	7.00	255.00	85.0	215.70

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
12/19/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/29/17	68	S	13,524.00	600.00	7.00	593.00	85.0	503.00
12/19/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/29/17	68.50	S	21,898.00	750.00	7.00	743.00	85.0	630.50
12/19/2017	75502141	BOSGANG, ALVIN	PUT C	12/22/17	74.50	S	2,505.00	125.00	7.00	118.00	85.0	99.25
12/19/2017	75502141	BOSGANG, ALVIN	PUT C	12/29/17	73.50	S	9,114.00	455.00	7.00	448.00	85.0	379.75
12/19/2017	75502141	BOSGANG, ALVIN	PUT C	12/29/17	72.50	S	17,065.00	750.00	7.00	743.00	85.0	630.50
12/20/2017	75502141	BOSGANG, ALVIN	CALL SPY	01/20/17	222	S	3,822.00	191.00	7.00	184.00	85.0	155.35
12/20/2017	75502141	BOSGANG, ALVIN	PUT AABA	01/05/18	67	S	4,960.00	240.00	7.00	233.00	85.0	197.00
12/20/2017	75502141	BOSGANG, ALVIN	PUT AABA	01/05/18	67.50	S	10,640.00	420.00	7.00	413.00	85.0	350.00
12/20/2017	75502141	BOSGANG, ALVIN	PUT C	01/05/18	74.50	S	6,340.00	300.00	7.00	293.00	85.0	248.00
12/20/2017	75502141	BOSGANG, ALVIN	PUT C	01/05/18	74.50	S	2,440.00	122.00	7.00	115.00	85.0	96.70
12/20/2017	75502141	BOSGANG, ALVIN	PUT C	01/05/18	73.50	S	8,440.00	300.00	7.00	293.00	85.0	248.00
12/20/2017	75502141	BOSGANG, ALVIN	PUT C	12/22/17	74.50	S	1,875.00	93.00	7.00	86.00	85.0	72.05
12/20/2017	75502141	BOSGANG, ALVIN	PUT C	12/29/17	72.50	S	27,534.00	1,376.00	7.00	1,369.00	85.0	1,162.60
12/20/2017	75502141	BOSGANG, ALVIN	PUT C	12/29/17	74.50	S	42,368.00	1,500.00	7.00	1,493.00	85.0	1,268.00
12/20/2017	75502141	BOSGANG, ALVIN	PUT WFC	12/29/17	58	S	1,560.00	78.00	7.00	71.00	85.0	59.30
12/21/2017	75502141	BOSGANG, ALVIN	PUT AABA	01/05/18	68.50	S	18,320.00	900.00	7.00	893.00	85.0	758.00
12/21/2017	75502141	BOSGANG, ALVIN	PUT AABA	01/05/18	69	S	21,860.00	900.00	7.00	893.00	85.0	758.00
12/21/2017	75502141	BOSGANG, ALVIN	PUT AABA	01/05/18	69.50	S	26,612.00	900.00	7.00	893.00	85.0	758.00
12/21/2017	75502141	BOSGANG, ALVIN	PUT C	01/05/18	74.50	S	12,920.00	450.00	7.00	443.00	85.0	375.50
12/21/2017	75502141	BOSGANG, ALVIN	PUT C	01/05/18	74.50	S	26,172.00	1,200.00	7.00	1,193.00	85.0	1,013.00
12/21/2017	75502141	BOSGANG, ALVIN	PUT C	12/29/17	72.50	S	5,113.00	255.00	7.00	248.00	85.0	209.75
12/21/2017	75502141	BOSGANG, ALVIN	PUT WFC	01/05/18	60	S	5,290.91	264.00	7.00	257.00	85.0	217.40
12/22/2017	75502141	BOSGANG, ALVIN	PUT AABA	01/05/18	69	S	3,080.00	150.00	7.00	143.00	85.0	120.50
12/22/2017	75502141	BOSGANG, ALVIN	PUT AABA	01/05/18	69.50	S	7,560.00	300.00	7.00	293.00	85.0	248.00
12/22/2017	75502141	BOSGANG, ALVIN	PUT C	01/05/18	74.50	S	37,820.00	1,050.00	7.00	1,043.00	85.0	885.50
12/22/2017	75502141	BOSGANG, ALVIN	PUT C	01/05/18	74.50	S	20,060.00	750.00	7.00	743.00	85.0	630.50
12/22/2017	75502141	BOSGANG, ALVIN	PUT C	01/05/18	73.50	S	17,834.00	891.00	7.00	884.00	85.0	750.35
12/22/2017	75502141	BOSGANG, ALVIN	PUT C	12/29/17	74.50	S	5,500.00	275.00	7.00	268.00	85.0	226.75
12/22/2017	75502141	BOSGANG, ALVIN	PUT WFC	01/05/18	60	S	8,756.00	437.00	7.00	430.00	85.0	364.45
12/26/2017	75502141	BOSGANG, ALVIN	PUT C	01/05/18	74.50	S	7,160.00	358.00	7.00	351.00	85.0	297.30
12/26/2017	75502141	BOSGANG, ALVIN	PUT C	12/29/17	72.50	S	2,460.00	123.00	7.00	116.00	85.0	97.55
12/26/2017	75502141	BOSGANG, ALVIN	PUT C	12/29/17	74.50	S	3,280.00	150.00	7.00	143.00	85.0	120.50
12/26/2017	75502141	BOSGANG, ALVIN	PUT WFC	01/05/18	60	S	12,860.00	600.00	7.00	593.00	85.0	503.00
12/26/2017	75502141	BOSGANG, ALVIN	PUT WFC	01/05/18	60	S	8,808.00	440.00	7.00	433.00	85.0	367.00
12/27/2017	75502141	BOSGANG, ALVIN	CALL SPY	01/20/17	222	S	16,000.00	750.00	7.00	743.00	85.0	630.50
12/27/2017	75502141	BOSGANG, ALVIN	PUT AABA	01/05/18	68.50	S	6,043.00	300.00	7.00	293.00	85.0	248.00
12/27/2017	75502141	BOSGANG, ALVIN	PUT C	01/05/18	74.50	S	6,000.00	300.00	7.00	293.00	85.0	248.00
12/27/2017	75502141	BOSGANG, ALVIN	PUT C	01/05/18	73.50	S	12,000.00	600.00	7.00	593.00	85.0	503.00
12/27/2017	75502141	BOSGANG, ALVIN	PUT C	12/29/17	72.50	S	4,500.00	225.00	7.00	218.00	85.0	184.25
12/27/2017	75502141	BOSGANG, ALVIN	PUT WFC	01/05/18	60	S	10,500.00	525.00	7.00	518.00	85.0	439.25

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: OPTIONS									
12/28/2017	75502141	BOSGANG, ALVIN	PUT C	01/12/18 73.50	S 33,200.00	1,200.00	7.00	1,193.00	85.0 1,013.00
12/28/2017	75502141	BOSGANG, ALVIN	PUT C	01/12/18 73	S 24,384.00	1,200.00	7.00	1,193.00	85.0 1,013.00
12/28/2017	75502141	BOSGANG, ALVIN	PUT WFC	01/12/18 59	S 29,000.00	1,200.00	7.00	1,193.00	85.0 1,013.00
12/28/2017	75502141	BOSGANG, ALVIN	PUT WFC	01/12/18 59.50	S 29,700.00	1,050.00	7.00	1,043.00	85.0 885.50
* OPTIONS SUBTOTAL *					\$2,450,552.00	\$71,129.00	\$1,925.00	\$69,204.00	\$58,534.65
*** BROKER TRANSACTIONS TOTAL ***					\$21,936,837.72	\$71,469.00	\$2,450.00	\$69,019.00	\$58,298.65
ADJUSTMENTS									
12/31/2017	OTHER: OTHER-HEALTH INSURANCE				0.00	0.00	0.00	0.00	-496.00
12/31/2017	OTHER: OTHER-CHASE				0.00	0.00	0.00	0.00	-1,068.27
12/31/2017	OTHER: OTHER-BEN BOSGANG				0.00	0.00	0.00	0.00	-2,000.00
12/31/2017	OTHER: OTHER-ELLISA				0.00	0.00	0.00	0.00	-3,924.94
12/31/2017	OTHER: OTHER-CAPITAL ONE				0.00	0.00	0.00	0.00	-4,376.83
12/31/2017	OTHER: OTHER-PAYROLL				0.00	0.00	0.00	0.00	-33,283.38
12/31/2017	OTHER: OTHER-REGIST FEES				0.00	0.00	0.00	0.00	-616.50
12/31/2017	OTHER: OTHER-WARD				0.00	0.00	0.00	0.00	307.72
12/31/2017	OTHER: OTHER-VICTOR				0.00	0.00	0.00	0.00	446.10
12/31/2017	OTHER: OTHER -TERRA				0.00	0.00	0.00	0.00	4,439.47
12/31/2017	OTHER: OTHER-WARD TICKETS				0.00	0.00	0.00	0.00	-119.00
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00	\$-40,691.63
BROKER COMMISSION TOTALS									
Broker Transactions									\$58,298.65
Split Transactions									\$0.00
Override Transactions									\$0.00
Adjustments									\$-40,691.63
Payroll Draw									\$0.00
Base Salary									\$0.00
Prior Period Balance									\$214,244.01
FINRA Assessment									\$-89.34
SIPC Assessment									\$-178.67
Check Amount									\$231,583.02
Year-to-date Earnings									\$154,901.72