



All Companies
COMMISSION STATEMENT
February 01, 2018

01/30/2018
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RAY WALKER
P. O. Box 88

BROKER #: 2954
BRANCH: HOME OFFICE

Cranfills Gap, TX 76637-

COMMISSION STATEMENT for RAY WALKER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/31/2017	TRAILS	COLLEGEBOUND 529	B	243.91	243.91	0.00	243.91	85.0	207.32
		* MUTUAL FUNDS SUBTOTAL *		\$243.91	\$243.91	\$0.00	\$243.91		\$207.32
<u>PRODUCT CATEGORY: STOCKS</u>									
01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	534.56	534.56	0.00	534.56	85.0	454.38
		* STOCKS SUBTOTAL *		\$534.56	\$534.56	\$0.00	\$534.56		\$454.38
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	25597640 MACLEAN, JOHN R & CAROL	SIGNAL SECURITIES RIA	B	0.00	531.00	0.00	531.00	85.0	451.35
01/12/2018	26246524 JOSE, JOHN STUART	SIGNAL SECURITIES RIA	B	0.00	61.67	0.00	61.67	85.0	52.42
01/12/2018	26706109 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	134.33	0.00	134.33	85.0	114.18
01/12/2018	34585794 MACLEAN III, JOHN R.	SIGNAL SECURITIES RIA	B	0.00	76.33	0.00	76.33	85.0	64.88
01/12/2018	37021536 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	2,045.33	0.00	2,045.33	85.0	1,738.53
01/12/2018	40184316 MACLEAN III, JOHN R.	SIGNAL SECURITIES RIA	B	0.00	482.33	0.00	482.33	85.0	409.98
01/12/2018	44016824 MARTIN, AIMEE WILSON	SIGNAL SECURITIES RIA	B	0.00	192.00	0.00	192.00	85.0	163.20
01/12/2018	44459525 MILLIANS, DAN R & SHARON	SIGNAL SECURITIES RIA	B	0.00	347.33	0.00	347.33	85.0	295.23
01/12/2018	45082495 MACLEAN, JOHN R & CAROL	SIGNAL SECURITIES RIA	B	0.00	136.00	0.00	136.00	85.0	115.60
01/12/2018	45866447 MACLEAN, JOHN R & CAROL	SIGNAL SECURITIES RIA	B	0.00	226.33	0.00	226.33	85.0	192.38
01/12/2018	50342706 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	1,380.67	0.00	1,380.67	85.0	1,173.57
01/12/2018	51306592 MILLIANS, SHARON S.	SIGNAL SECURITIES RIA	B	0.00	644.67	0.00	644.67	85.0	547.97
01/12/2018	58909071 RICE, CHRISTEL E	SIGNAL SECURITIES RIA	B	0.00	73.00	0.00	73.00	85.0	62.05
01/12/2018	66032684 MILES O'LOUGHLIN, MARITAL TRUST	SIGNAL SECURITIES RIA	B	0.00	1,558.67	0.00	1,558.67	85.0	1,324.87
01/12/2018	70019589 CLANCY, LISE A	SIGNAL SECURITIES RIA	B	0.00	19.33	0.00	19.33	85.0	16.43
01/12/2018	75505044 KELTNER, DAVID E &	SIGNAL SECURITIES RIA	B	0.00	80.67	0.00	80.67	85.0	68.57

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
LARIS									
01/12/2018	78212467 ALVARADO, ANNA	SIGNAL SECURITIES RIA	B	0.00	539.33	0.00	539.33	85.0	458.43
KINGSLEY									
01/12/2018	79494777 MACLEAN, CAROL JEAN	SIGNAL SECURITIES RIA	B	0.00	80.67	0.00	80.67	85.0	68.57
01/12/2018	86198628 KELTNER, DAVID E &	SIGNAL SECURITIES RIA	B	0.00	27.33	0.00	27.33	85.0	23.23
LARIS									
01/12/2018	91894239 PRICE, MICHAEL D	SIGNAL SECURITIES RIA	B	0.00	77.67	0.00	77.67	85.0	66.02
01/12/2018	97572617 RALPH, JEAN M	SIGNAL SECURITIES RIA	B	0.00	216.67	0.00	216.67	85.0	184.17
01/12/2018	99078640 O'LOUGHLIN, LESLIE	SIGNAL SECURITIES RIA	B	0.00	995.00	0.00	995.00	85.0	845.75
WILSON									
* RIA SUBTOTAL *				<u>\$0.00</u>	<u>\$9,926.33</u>	<u>\$0.00</u>	<u>\$9,926.33</u>		<u>\$8,437.38</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$778.47</u>	<u>\$10,704.80</u>	<u>\$0.00</u>	<u>\$10,704.80</u>		<u>\$9,099.08</u>

ADJUSTMENTS

02/01/2018	CABLE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-35.00
02/01/2018	E & O INSURANCE: 2018 ANNUAL E&O IN	0.00	0.00	0.00	0.00	-2,280.00
02/01/2018	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-54.00
02/01/2018	HILLTOP: CUSTOMER WRITE OFF	0.00	0.00	0.00	0.00	-8.00
02/01/2018	MISC: LONG DISTANCE CHARGES	0.00	0.00	0.00	0.00	-25.00
02/01/2018	POSTAGE: FEDEX CHARGE	0.00	0.00	0.00	0.00	-60.13
02/01/2018	RENT: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-225.00
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-2,687.13</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$9,099.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,687.13
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-254.83

Check Amount	\$6,157.12
Year-to-date Earnings	\$14,307.24