



Concorde Investment Services, LLC

01/13/2017
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COMMISSION STATEMENT

January 17, 2017

TIMOTHY STAUFFER
333 Fiddlers Cove

BROKER #: S118
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: REIT								
12/21/2016	BLANCHARD, SUZETTE	LIGHTSTONE VALUE PLUS REIT III INC	23,000.00	1,610.00	0.00	1,610.00	90.0	1,449.00
12/27/2016	SPARKS, ALICE	LIGHTSTONE VALUE PLUS REIT III INC	20,000.00	1,400.00	0.00	1,400.00	90.0	1,260.00
* REIT SUBTOTAL *			\$43,000.00	\$3,010.00	\$0.00	\$3,010.00		\$2,709.00
PRODUCT CATEGORY: MUTUAL FUNDS								
01/03/2017	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
/ /	SMITH, ERIC	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.80	0.00	0.80	90.0	0.72
/ /	SMITH, LANCE	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.77	0.00	0.77	90.0	0.69
/ /	ROPP, ROBIN	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.77	0.00	0.77	90.0	0.69
/ /	SMITH, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.82	0.00	0.82	90.0	0.74
/ /	SMITH, LANCE	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.77	0.00	0.77	90.0	0.69
/ /	ROPP, ROBIN	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.77	0.00	0.77	90.0	0.69
/ /	SMITH, ERIC	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.73	0.00	0.73	90.0	0.66
/ /	SMITH, LANCE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.71	0.00	0.71	90.0	0.64
/ /	ROPP, ROBIN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.71	0.00	0.71	90.0	0.64
/ /	SMITH, ERIC	COLLEGECHOICE ADV 529 2016 ENROLLME	0.00	0.05	0.00	0.05	90.0	0.05
/ /	SMITH, LANCE	COLLEGECHOICE ADV 529 2016 ENROLLME	0.00	0.05	0.00	0.05	90.0	0.05
/ /	ROPP, ROBIN	COLLEGECHOICE ADV 529 2016 ENROLLME	0.00	0.05	0.00	0.05	90.0	0.05
/ /	ALLES, JENIFER	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.32	0.00	0.32	90.0	0.29
/ /	MILLER, SEAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.45	0.00	0.45	90.0	0.41
/ /	BRENN, BRIAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.06	0.00	0.06	90.0	0.05
/ /	MILLER, SEAN	RYDEX GUGG ENERGY C	0.00	1.39	0.00	1.39	90.0	1.25
/ /	KROUSE, ANDRE	RYDEX GUGG ENERGY C	0.00	1.02	0.00	1.02	90.0	0.92
/ /	BRENN, BRIAN	RYDEX GUGG ENERGY C	0.00	0.06	0.00	0.06	90.0	0.05
/ /	ALLES, JENIFER	RYDEX GUGG PRECIOUS METALS A	0.00	0.25	0.00	0.25	90.0	0.23
/ /	MILLER, SEAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.58	0.00	0.58	90.0	0.52
/ /	KROUSE, ANDRE	RYDEX GUGG PRECIOUS METALS C	0.00	0.79	0.00	0.79	90.0	0.71

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BRENN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.08	0.00	0.08	90.0	0.07
12/30/2016	WRIGHT, WAYNE	TRANSAMERICA AA MODERATE A	-886.89	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-671.89	\$14.15	\$0.00	\$14.15		\$12.75
<u>PRODUCT CATEGORY: STOCKS</u>								
01/06/2017	ZEHR, BERTHA	LIGHTSTONE VALUE PLUS REAL ESTATE I	11,600.00	0.00	0.00	0.00	90.0	0.00
01/06/2017	ZEHR, PAUL	LIGHTSTONE VALUE PLUS REAL ESTATE I	9,300.00	0.00	0.00	0.00	90.0	0.00
* STOCKS SUBTOTAL *			\$20,900.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	WILD, WILLIAM	JNL ELITE ACCESS	97,766.06	40.74	0.00	40.74	90.0	36.67
12/31/2016	NUTTLE, ALLEN	JNL ELITE ACCESS	27,295.25	5.69	0.00	5.69	90.0	5.12
12/31/2016	MOHR, AMY	JNL ELITE ACCESS	45,125.71	9.40	0.00	9.40	90.0	8.46
12/31/2016	LARKINS, TROY	JNL ELITE ACCESS	47,640.94	9.93	0.00	9.93	90.0	8.94
12/31/2016	ZIMMERMAN, CATHERINE	JNL PERSPECTIVE	23,894.14	4.98	0.00	4.98	90.0	4.48
12/31/2016	COLEMAN, CHERYL	JNL PERSPECTIVE	29,851.61	6.22	0.00	6.22	90.0	5.60
12/31/2016	FLETCHER, MONA	JNL PERSPECTIVE II	27,969.05	11.65	0.00	11.65	90.0	10.49
12/31/2016	LEDERMAN, JOANNE	JNL PERSPECTIVE II	323,832.78	134.93	0.00	134.93	90.0	121.44
12/31/2016	WILD, CHRISTOPHER	JNL PERSPECTIVE II	13,347.39	5.56	0.00	5.56	90.0	5.00
12/31/2016	MIDDLETON, LUCINDA	JNL PERSPECTIVE II 04/14	83,316.98	34.72	0.00	34.72	90.0	31.25
12/31/2016	MIDDLETON, JAMES	JNL PERSPECTIVE II 04/14	79,292.82	33.04	0.00	33.04	90.0	29.74
12/31/2016	BERKSHIRE, LAURA	JNL PERSPECTIVE II 04/14	139,781.92	58.24	0.00	58.24	90.0	52.42
12/31/2016	BYERLEY, WAYNE	JNL PERSPECTIVE II 05/05	210,815.20	87.84	0.00	87.84	90.0	79.06
12/31/2016	ZAHM SR, RICHARD	JNL PERSPECTIVE II 05/05	137,506.59	57.29	0.00	57.29	90.0	51.56
12/31/2016	CHRIST, LINDA	JNL PERSPECTIVE II 05/05	136,112.91	56.71	0.00	56.71	90.0	51.04
12/31/2016	PARKER, DIANA	JNL PERSPECTIVE II 05/05	80,764.19	33.65	0.00	33.65	90.0	30.29
12/31/2016	PARKER, MICHAEL	JNL PERSPECTIVE II 05/05	88,561.95	36.90	0.00	36.90	90.0	33.21
12/31/2016	RAMIREZ, JOAQUIN	JNL PERSPECTIVE II 05/05	44,750.29	18.65	0.00	18.65	90.0	16.79
12/31/2016	STUCKY, MIKE	JNL PERSPECTIVE II 05/05	76,066.13	31.69	0.00	31.69	90.0	28.52
12/31/2016	GRABER, AMOS	JNL PERSPECTIVE II 05/05	44,195.80	18.41	0.00	18.41	90.0	16.57
12/31/2016	SMITH, KAREN	JOHN HANCOCK VENTURE 2006	139,071.44	115.89	0.00	115.89	90.0	104.30
12/31/2016	BLANCHARD, SUZETTE	JOHN HANCOCK VENTURE III	61,899.78	51.58	0.00	51.58	90.0	46.42
12/31/2016	SITCLER, PATRICIA	JOHN HANCOCK VENTURE III	96,652.59	80.54	0.00	80.54	90.0	72.49
12/31/2016	SHERRILL, TIMOTHY	JOHN HANCOCK VENTURE III	191,909.06	159.92	0.00	159.92	90.0	143.93

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	SOBERALSKI, EDWARD	JOHN HANCOCK VENTURE III	182,192.81	151.83	0.00	151.83	90.0	136.65
12/31/2016	LEDERMAN, JOANNE	NATIONWIDE BOA ACHIEVER 45	274,211.95	342.76	0.00	342.76	90.0	308.48
12/31/2016	HOUSER, MICHAEL	NATIONWIDE BOA ACHIEVER 45	57,372.82	107.57	0.00	107.57	90.0	96.81
12/31/2016	KIRKLAND, CINDY	NATIONWIDE BOA SUCCESSOR 33	5,278.15	13.20	0.00	13.20	90.0	11.88
12/31/2016	GREEN, ALLLISON	NATIONWIDE BOA SUCCESSOR 33	12,780.60	31.95	0.00	31.95	90.0	28.76
12/31/2016	THIEME, HEIDI	NATIONWIDE DESTINATION C 01	14,822.46	46.32	0.00	46.32	90.0	41.69
12/31/2016	DAILEY, ANDREA	NATIONWIDE DESTINATION C 01	6,640.51	20.75	0.00	20.75	90.0	18.68
12/31/2016	HITT, ERIN	NATIONWIDE DESTINATION C 01	7,980.27	19.95	0.00	19.95	90.0	17.96
12/31/2016	JACOBS, AMY	NATIONWIDE DESTINATION C 01	9,122.45	22.81	0.00	22.81	90.0	20.53
12/31/2016	HILER, BRITNEY	NATIONWIDE DESTINATION C 01	1,549.02	4.84	0.00	4.84	90.0	4.36
12/31/2016	CRANE, AMY	NATIONWIDE DESTINATION C 01	7,300.27	18.25	0.00	18.25	90.0	16.43
12/31/2016	MATHEWSON, KAYLA	NATIONWIDE DESTINATION C 01	6,482.79	16.21	0.00	16.21	90.0	14.59
12/31/2016	WILLIAMS, ELIZA	NATIONWIDE DESTINATION C 01	9,674.01	24.19	0.00	24.19	90.0	21.77
12/31/2016	LEDERMAN, JOANNE	NATIONWIDE MARKETFLEX BB	80,706.02	100.88	0.00	100.88	90.0	90.79
12/31/2016	LEDERMAN, JOANNE	NATIONWIDE MARKETFLEX BB	8,574.37	10.72	0.00	10.72	90.0	9.65
12/31/2016	BOYLAN TRUST	NATIONWIDE MARKETFLEX BB	45,553.60	113.88	0.00	113.88	90.0	102.49
12/31/2016	RAMIREZ, HERLINDA GARCIA	NATIONWIDE MARKETFLEX BB	27,536.38	68.84	0.00	68.84	90.0	61.96
12/31/2016	DEHAVEN, ELLEN	NATIONWIDE MARKETFLEX BB	19,386.02	24.23	0.00	24.23	90.0	21.81
12/31/2016	NEELEY, JOHN	NATIONWIDE MARKETFLEX BB	23,997.73	30.00	0.00	30.00	90.0	27.00
12/31/2016	ZEHR, BERTHA	NW DESTINATION B2 05	98,685.60	123.36	0.00	123.36	90.0	111.02
12/31/2016	PARKER, MICHAEL	NW DESTINATION B2 05	118,797.53	148.50	0.00	148.50	90.0	133.65
12/31/2016	PARKER, DIANA	NW DESTINATION B2 05	78,687.64	98.36	0.00	98.36	90.0	88.52
12/31/2016	BURD, CHRISTINA	NWL SOLOIST 01	4,153.96	6.75	0.00	6.75	90.0	6.08
12/31/2016	SNYDER, PAIGE	NWL SOLOIST 01	6,308.21	10.25	0.00	10.25	90.0	9.23
12/31/2016	ROBROCK, KYLIA	NWL SOLOIST 01	1,812.69	2.95	0.00	2.95	90.0	2.66
12/31/2016	CIRCLE, MADISON	NWL SOLOIST 01	1,577.94	2.56	0.00	2.56	90.0	2.30
12/31/2016	RINEHOLD, BRANDI	NWL SOLOIST 01	4,098.05	6.66	0.00	6.66	90.0	5.99
12/31/2016	WILLS, LISA	NWL SOLOIST 01	2,236.23	3.63	0.00	3.63	90.0	3.27
12/31/2016	STAUFFER, ARLEN	PAC LIFE INNOVATIVE SELECT	0.00	36.19	0.00	36.19	90.0	32.57
12/31/2016	BROOKSHIRE, JEFFEREY	PRU AS LIFEVEST	22,195.78	13.87	0.00	13.87	90.0	12.48
12/31/2016	BROOKSHIRE, JEFFEREY	PRU AS LIFEVEST	22,195.78	41.62	0.00	41.62	90.0	37.46
12/29/2016	STAUFFER, PATTY	SBL ADVISORDESIGNS VA	19,748.30	16.46	0.00	16.46	90.0	14.81
* VARIABLE ANNUITIES SUBTOTAL *			\$3,429,080.52	\$2,784.51	\$0.00	\$2,784.51		\$2,506.12

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/24/2016	REICHARD, THOMAS	ING ESII	0.00	62.44	0.00	62.44	90.0	56.20
12/26/2016	ALLES, DEVON	ING ESII 2002 STD OPT C	0.00	20.21	0.00	20.21	90.0	18.19
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$82.65	\$0.00	\$82.65		\$74.39
*** BROKER TRANSACTIONS TOTAL ***			\$3,492,308.63	\$5,891.31	\$0.00	\$5,891.31		\$5,302.26

ADJUSTMENTS

01/17/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
01/17/2017	*TECHNOLOGY: ADMIN - KAREN STAUFFER	0.00						-100.00
01/17/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-675.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,302.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-675.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,627.26
Year-to-date Gross	\$5,891.31
Year-to-date Earnings	\$5,302.26

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