

## COMMISSION STATEMENT

November 30, 2017

EDWARD BYRNE

421 HANOVER CENTER ROAD

ETNA, NH 03750

BROKER #: 23

BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD BYRNE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/06/2017	QGW031809	SABER, MARK	ENERGIOUS CORP COM	B	10,877.55	150.00	17.00	133.00	75.0 95.50
11/06/2017	QGW031809	SABER, MARK	NEONODE INC COM NEW	S	-5,350.00	75.00	17.00	58.00	75.0 39.25
11/28/2017	QGW031809	SABER, MARK	NEONODE INC COM NEW	S	-4,200.00	100.00	17.00	83.00	75.0 58.00
11/28/2017	QGW031809	SABER, MARK	VUZIX CORP COM NEW	B	9,187.50	150.00	17.00	133.00	75.0 95.50
11/17/2017	QGW031833	CASSADY REVOCABLE	REGULUS THERAPEUTICS INC COM	B	4,649.50	130.00	17.00	113.00	75.0 80.50
11/06/2017	QGW031874	LABOMBARD, JOYCE	ENERGIOUS CORP COM	B	1,816.25	50.00	17.00	33.00	75.0 20.50
11/01/2017	QGW031882	MICKES, THOMAS	ENERGIOUS CORP COM	S	-4,838.00	30.00	17.00	13.00	75.0 5.50
11/01/2017	QGW031882	MICKES, THOMAS	NEONODE INC COM NEW	S	-9,606.70	100.00	17.00	83.00	75.0 58.00
11/01/2017	QGW031882	MICKES, THOMAS	REGULUS THERAPEUTICS INC COM	S	-5,050.00	50.00	17.00	33.00	75.0 20.50
11/06/2017	QGW031882	MICKES, THOMAS	ENERGIOUS CORP COM	B	10,877.55	150.00	17.00	133.00	75.0 95.50
11/06/2017	QGW031882	MICKES, THOMAS	ENERGIOUS CORP COM	B	-10,877.55	-150.00	-17.00	-133.00	75.0 -95.50 C
11/06/2017	QGW031882	MICKES, THOMAS	NEONODE INC COM NEW	S	-5,350.00	75.00	17.00	58.00	75.0 39.25
11/06/2017	QGW031882	MICKES, THOMAS	NEONODE INC COM NEW	S	-10,750.00	150.00	17.00	133.00	75.0 95.50
11/06/2017	QGW031882	MICKES, THOMAS	NEONODE INC COM NEW	S	10,750.00	-150.00	-17.00	-133.00	75.0 -95.50 C
11/06/2017	QGW031882	MICKES, THOMAS	NEONODE INC COM NEW	S	-10,750.00	150.00	17.00	133.00	75.0 95.50
11/06/2017	QGW031882	MICKES, THOMAS	NEONODE INC COM NEW	S	5,350.00	-75.00	-17.00	-58.00	75.0 -39.25 C
11/07/2017	QGW031882	MICKES, THOMAS	NEONODE INC COM NEW	S	-8,132.25	100.00	17.00	83.00	75.0 58.00
11/10/2017	QGW031882	MICKES, THOMAS	ENERGIOUS CORP COM	B	18,700.00	350.00	17.00	333.00	75.0 245.50
11/16/2017	QGW031882	MICKES, THOMAS	REGULUS THERAPEUTICS INC COM	B	9,449.00	200.00	17.00	183.00	75.0 133.00
11/17/2017	QGW031882	MICKES, THOMAS	VUZIX CORP COM NEW	B	32,605.00	400.00	17.00	383.00	75.0 283.00
11/22/2017	QGW031882	MICKES, THOMAS	VUZIX CORP COM NEW	B	24,718.68	360.00	17.00	343.00	75.0 253.00
11/28/2017	QGW031890	MICKES, THOMAS	NEONODE INC COM NEW	S	-3,654.00	75.00	17.00	58.00	75.0 39.25
11/13/2017	QGW031957	COLE, PHILLIP	ENERGIOUS CORP COM	B	8,737.40	125.00	17.00	108.00	75.0 76.75
11/17/2017	QGW031957	COLE, PHILLIP	REGULUS THERAPEUTICS INC COM	B	9,400.00	200.00	17.00	183.00	75.0 133.00
11/22/2017	QGW031957	COLE, PHILLIP	VUZIX CORP COM NEW	B	8,625.00	125.00	17.00	108.00	75.0 76.75
* STOCKS SUBTOTAL *					\$87,184.93	\$2,920.00	\$323.00	\$2,597.00	\$1,867.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
11/27/2017	QGW031809	SABER, MARK	CALL 100 ENERGIOUS CORP COM EXP 05-1 B		1,500.00	100.65	25.00	75.65	75.0 50.49
* OPTIONS SUBTOTAL *					\$1,500.00	\$100.65	\$25.00	\$75.65	\$50.49
*** BROKER TRANSACTIONS TOTAL ***					\$88,684.93	\$3,020.65	\$348.00	\$2,672.65	\$1,917.49

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

11/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
11/30/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-28.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,917.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-28.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.78
SIPC Assessment	\$-7.55

Check Amount	\$1,878.16
Year-to-date Earnings	\$8,580.82