



All Companies  
**COMMISSION STATEMENT**  
**June 15, 2018**

**MICHAEL C. MOYNIHAN**  
700 Throckmorton

**BROKER #: 9528**  
BRANCH: HOME OFFICE

Fort Worth, TX 76102

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

**PRODUCT CATEGORY: MUTUAL FUNDS**

05/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	78.03	78.03	0.00	78.03	90.0	70.23
05/31/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	6.94	6.94	0.00	6.94	90.0	6.25
05/31/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	16.11	16.11	0.00	16.11	90.0	14.50
05/09/2018	MCEACHERN, JAMES M	FORESTERS FINANCIAL	B	100.00	3.00	0.00	3.00	90.0	2.70
05/09/2018	MCEACHERN, PAIGE A.	FORESTERS FINANCIAL	B	100.00	3.00	0.00	3.00	90.0	2.70
06/01/2018	000000000378962 DUNKIN, STEPHEN	FRANKLIN TEMPLETON CONSERVATIVE TARG B	B	450.00	16.88	0.00	16.88	90.0	15.19
06/01/2018	000000000378962 DUNKIN, STEPHEN	FRANKLIN TEMPLETON CONSERVATIVE TARG B	B	153.75	5.77	0.00	5.77	90.0	5.19
06/01/2018	000000000501561 CHASTEEN, GARRY	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	166.00	8.30	0.00	8.30	90.0	7.47
06/01/2018	000000000501561 CHASTEEN, GARRY	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	99.00	4.95	0.00	4.95	90.0	4.46
06/05/2018	000000000693996 OWENS, RYAN T.	FRK TEMP COREFOLIO ALLOC FND CL - A B	B	300.00	15.00	0.00	15.00	90.0	13.50
05/23/2018	000000000709446 WALL, MATTHEW/ADRIENN A.	FRK TEMP COREFOLIO ALLOC FND CL - A B	B	458.33	22.92	0.00	22.92	90.0	20.63
06/01/2018	000000000730349 HARRY, CECIL	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	114.00	5.70	0.00	5.70	90.0	5.13
06/01/2018	000000000730349 HARRY, CECIL	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	114.00	5.70	0.00	5.70	90.0	5.13
06/01/2018	0000000001322181 PENGELLY, AMBER	FRK AGGRESSIVE GRWTH - CL A	B	110.00	5.50	0.00	5.50	90.0	4.95
06/01/2018	0000000001322181 PENGELLY, AMBER	FRK AGGRESSIVE GRWTH - CL A	B	76.50	3.83	0.00	3.83	90.0	3.45
06/05/2018	0000000005773748 DUNKIN, PHILLIP/COLBY	GROWTH ASSET ALLOC 13-16 YRS - CLAS B	B	50.00	1.40	0.00	1.40	90.0	1.26
06/04/2018	0000000006174104 COTE, PATTY S.	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	250.00	12.50	0.00	12.50	90.0	11.25
06/01/2018	0000000007100441 DUNKIN, PHILLIP	MUTUAL DISC FND - CL A	B	700.00	19.60	0.00	19.60	90.0	17.64
06/01/2018	0000000007100441 DUNKIN, PHILLIP	MUTUAL DISC FND - CL A	B	72.00	2.02	0.00	2.02	90.0	1.82
06/01/2018	0000000007100466 THOMPSON, MICHAEL	MUTUAL DISC FND - CL A	B	134.40	5.04	0.00	5.04	90.0	4.54

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/01/2018	000000007100466 THOMPSON, MICHAEL	MUTUAL DISC FND - CL A	B	52.80	1.98	0.00	1.98	90.0	1.78
06/01/2018	000000007197306 DUNKIN, PHILLIP	FRANKLIN MUTUAL SHARES FD CL 1	B	525.00	14.70	0.00	14.70	90.0	13.23
06/01/2018	000000007197306 DUNKIN, PHILLIP	FRANKLIN MUTUAL SHARES FD CL 1	B	54.00	1.51	0.00	1.51	90.0	1.36
06/01/2018	000000007197320 THOMPSON, MICHAEL	FRANKLIN MUTUAL SHARES FD CL 1	B	100.80	3.78	0.00	3.78	90.0	3.40
06/01/2018	000000007197320 THOMPSON, MICHAEL	FRANKLIN MUTUAL SHARES FD CL 1	B	39.60	1.49	0.00	1.49	90.0	1.34
06/08/2018	000000007610491 QUIROZ, ORLANDO	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B		100.00	5.00	0.00	5.00	90.0	4.50
05/25/2018	00001664121 HUTCHISON, VIKKI	LIFESTYLE GROWTH PORTFOLIO A	B	223.08	6.36	0.00	6.36	90.0	5.72
06/01/2018	00001827877 LOTT, JEANNE	LIFESTYLE MODERATE PORTFOLIO C	B	100.00	1.00	0.00	1.00	90.0	0.90
06/01/2018	000019817816174 DUNKIN, PHILLIP	FRANKLIN SMALL CAP GROWTH FD CL I B	B	525.00	14.70	0.00	14.70	90.0	13.23
06/01/2018	000019817816174 DUNKIN, PHILLIP	FRANKLIN SMALL CAP GROWTH FD CL I B	B	54.00	1.51	0.00	1.51	90.0	1.36
06/01/2018	000019817816186 THOMPSON, MICHAEL	FRANKLIN SMALL CAP GROWTH FD CL I B	B	100.80	3.78	0.00	3.78	90.0	3.40
06/01/2018	000019817816186 THOMPSON, MICHAEL	FRANKLIN SMALL CAP GROWTH FD CL I B	B	39.60	1.49	0.00	1.49	90.0	1.34
05/21/2018	00031779996 DOYLE, BLAKE	GLOBAL OPPORTUNITIES (A)	B	140.00	4.20	0.00	4.20	90.0	3.78
05/21/2018	00031779996 DOYLE, BLAKE	PRINCIPAL INVESTORS LARGE CAP GROWTH B		100.00	3.00	0.00	3.00	90.0	2.70
05/21/2018	00031779996 DOYLE, BLAKE	SMALL-MIDCAP DIVIDEND INCOME (A)	B	140.00	4.20	0.00	4.20	90.0	3.78
05/21/2018	00031780010 DOYLE, BLAKE E.	EQUITY INCOME I (A)	B	110.00	3.30	0.00	3.30	90.0	2.97
05/21/2018	00031780010 DOYLE, BLAKE E.	GLOBAL OPPORTUNITIES (A)	B	110.00	3.30	0.00	3.30	90.0	2.97
06/01/2018	00068047824 DE SMIT, DIANA D	AMERICAN FUNDS GROWTH FUND OF AMERI B		541.66	10.83	0.00	10.83	90.0	9.75
05/21/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B		26.40	0.73	0.00	0.73	90.0	0.66
05/21/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B		26.40	0.73	0.00	0.73	90.0	0.66
06/06/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B		26.77	0.74	0.00	0.74	90.0	0.67
06/06/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B		26.77	0.74	0.00	0.74	90.0	0.67
06/04/2018	00073689293 DE SMIT, PAUL	AMERICAN FUNDS NEW PERSPECTIVE FD B		541.66	10.83	0.00	10.83	90.0	9.75
06/01/2018	00074961084 MONTGOMERY, JERMAINE	AMERICAN FUNDS CAPITAL WORLD GROWT B		100.00	2.00	0.00	2.00	90.0	1.80
06/01/2018	00074961084 MONTGOMERY, JERMAINE	AMERICAN FUNDS GROWTH FUND OF AMERI B		100.00	2.00	0.00	2.00	90.0	1.80
06/01/2018	00074961084 MONTGOMERY, JERMAINE	AMERICAN FUNDS INCOME FD OF AMERI B		100.00	2.00	0.00	2.00	90.0	1.80
06/04/2018	00075036376 GOLDEN, BARBARA	AMERICAN FUNDS CAPITAL INCOME BUILD B		150.00	5.63	0.00	5.63	90.0	5.07

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
06/04/2018	00075036376	GOLDEN, BARBARA	AMERICAN FUNDS CAPITAL WORLD GROWT B	150.00	5.63	0.00	5.63	90.0	5.07
05/30/2018	00075979142	LARA-MONTGOMERY, JENNIFER A	AMERICAN FUNDS CAPITAL WORLD GROWT B	100.00	2.00	0.00	2.00	90.0	1.80
06/01/2018	00084124051	PARISH/ALLEN, VICTORIA/JULIE	CAPITAL WORLD GROWTH AND INCOME-529 B	25.00	1.06	0.00	1.06	90.0	0.95
05/25/2018	00084917868	SASSMAN, STETSON	FUNDAMENTAL INVESTORS-529A B	100.00	4.25	0.00	4.25	90.0	3.83
05/25/2018	00086510197	SASSMAN, STETSON	FUNDAMENTAL INVESTORS-529A B	100.00	4.25	0.00	4.25	90.0	3.83
05/25/2018	00086510293	SASSMAN, STETSON	FUNDAMENTAL INVESTORS-529A B	100.00	4.25	0.00	4.25	90.0	3.83
05/29/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT B	74.40	2.79	0.00	2.79	90.0	2.51
05/29/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT B	148.81	5.58	0.00	5.58	90.0	5.02
06/08/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT B	148.81	5.58	0.00	5.58	90.0	5.02
06/08/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT B	74.40	2.79	0.00	2.79	90.0	2.51
05/29/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD B	74.41	2.79	0.00	2.79	90.0	2.51
05/29/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD B	148.80	5.58	0.00	5.58	90.0	5.02
06/08/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD B	148.80	5.58	0.00	5.58	90.0	5.02
06/08/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD B	74.41	2.79	0.00	2.79	90.0	2.51
06/01/2018	00087416414	WHITE, CINDY C.	GROWTH PORTFOLIO-A B	150.00	7.50	0.00	7.50	90.0	6.75
05/25/2018	00088005709	GOTTWALD, KATY WEBER	GROWTH PORTFOLIO-A B	100.00	4.25	0.00	4.25	90.0	3.83
05/21/2018	00088625904	SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A B	150.00	4.13	0.00	4.13	90.0	3.72
05/21/2018	00088625904	SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A B	46.06	1.27	0.00	1.27	90.0	1.14
06/06/2018	00088625904	SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A B	150.00	4.13	0.00	4.13	90.0	3.72
06/06/2018	00088625904	SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A B	61.42	1.69	0.00	1.69	90.0	1.52
05/21/2018	00088628251	GRINDELE, RUSSELL G.	AMERICAN FUNDS 2025 TARGET DATE-A B	26.52	0.73	0.00	0.73	90.0	0.66
05/21/2018	00088628251	GRINDELE, RUSSELL G.	AMERICAN FUNDS 2025 TARGET DATE-A B	26.52	0.73	0.00	0.73	90.0	0.66
06/06/2018	00088628251	GRINDELE, RUSSELL G.	AMERICAN FUNDS 2025 TARGET DATE-A B	26.52	0.73	0.00	0.73	90.0	0.66
06/06/2018	00088628251	GRINDELE, RUSSELL G.	AMERICAN FUNDS 2025 TARGET DATE-A B	26.52	0.73	0.00	0.73	90.0	0.66
05/21/2018	00089316769	JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A B	10.00	0.28	0.00	0.28	90.0	0.25
05/21/2018	00089316769	JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A B	10.00	0.28	0.00	0.28	90.0	0.25
06/06/2018	00089316769	JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A B	10.00	0.28	0.00	0.28	90.0	0.25
06/06/2018	00089316769	JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A B	10.00	0.28	0.00	0.28	90.0	0.25
05/21/2018	00089357575	CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A B	70.00	1.93	0.00	1.93	90.0	1.74
05/21/2018	00089357575	CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A B	28.80	0.79	0.00	0.79	90.0	0.71
06/06/2018	00089357575	CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A B	70.00	1.93	0.00	1.93	90.0	1.74
06/06/2018	00089357575	CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A B	28.80	0.79	0.00	0.79	90.0	0.71
05/25/2018	00089825255	SASSMAN,	COLLEGE 2033 FUND-529A B	100.00	3.50	0.00	3.50	90.0	3.15

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
	KATHLEEN/SARAH								
06/01/2018	04000020963 BURK, SHANNON	AMERICAN FUNDS 2050 TARGET DATE-A	B	458.33	19.48	0.00	19.48	90.0	17.53
	KELLEY M.								
06/04/2018	04000037092 KELLEY, JENNIFER	AMERICAN FUNDS 2040 TARGET DATE-A	B	458.33	17.19	0.00	17.19	90.0	15.47
	F.								
06/01/2018	04000470737 MINTER, ETHAN M.	AMERICAN FUNDS 2050 TARGET DATE-A	B	300.00	15.00	0.00	15.00	90.0	13.50
06/01/2018	04000476660 PARISH, WESLEY	COLLEGE 2033 FUND-529A	B	50.00	1.75	0.00	1.75	90.0	1.58
06/01/2018	04000795732 BETTIS, ZACHERY	GROWTH PORTFOLIO-529A	B	50.00	2.50	0.00	2.50	90.0	2.25
06/01/2018	04000795807 BETTIS, ZACHERY	GROWTH PORTFOLIO-529A	B	50.00	2.50	0.00	2.50	90.0	2.25
05/15/2018	04718377567 MIERS, JOSHUA	ALLIANCE TECHNOLOGY FD CL A	B	458.33	18.33	0.00	18.33	90.0	16.50
		<b>* MUTUAL FUNDS SUBTOTAL *</b>		<b>\$12,097.39</b>	<b>\$521.34</b>	<b>\$0.00</b>	<b>\$521.34</b>		<b>\$469.26</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
05/28/2018	TRAILS	-HARTFORD LIFE	B	3.71	3.71	0.00	3.71	90.0	3.34
06/01/2018	TRAILS	-JOHN HANCOCK	B	107.82	107.82	0.00	107.82	90.0	97.04
06/01/2018	HYDINGER, DANIEL	BRIGHTHOUSE FINANCIAL	B	200.00	4.00	0.00	4.00	90.0	3.60
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>		<b>\$311.53</b>	<b>\$115.53</b>	<b>\$0.00</b>	<b>\$115.53</b>		<b>\$103.98</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
06/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	1,504.89	0.00	1,504.89	90.0	1,354.47
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$1,504.89</b>	<b>\$0.00</b>	<b>\$1,504.89</b>		<b>\$1,354.47</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$12,408.92</b>	<b>\$2,141.76</b>	<b>\$0.00</b>	<b>\$2,141.76</b>		<b>\$1,927.71</b>
<b><u>SPLIT TRANSACTIONS</u></b>									
<b><u>BROKER: SNOW/MOYNIHAN #9543</u></b>									
06/12/2018	TRAILS	-AMERICAN FUNDS TRAILS	B	190.83	76.33	0.00	76.33	90.0	68.70
05/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	182.49	73.00	0.00	73.00	90.0	65.70
		<b>* SNOW/MOYNIHAN SUBTOTAL *</b>		<b>\$373.32</b>	<b>\$149.33</b>	<b>\$0.00</b>	<b>\$149.33</b>		<b>\$134.40</b>
<b><u>BROKER: SINGLETON/MOYNIHAN #9595</u></b>									
06/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	7.66	0.00	7.66	90.0	6.90
06/01/2018	15381199 WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	-46.40	0.00	-46.40	90.0	-41.76
		<b>* SINGLETON/MOYNIHAN SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$-38.74</b>	<b>\$0.00</b>	<b>\$-38.74</b>		<b>\$-34.86</b>
		<b>*** SPLIT TRANSACTIONS TOTAL ***</b>		<b>\$373.32</b>	<b>\$110.59</b>	<b>\$0.00</b>	<b>\$110.59</b>		<b>\$99.54</b>

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ADJUSTMENTS

06/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
06/15/2018		RENT: 1/2 MONTH		0.00	0.00	0.00	0.00		-125.00
06/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-252.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,931.16
Split Transactions	\$96.09
Override Transactions	\$0.00
Adjustments	\$-252.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,774.75
Year-to-date Earnings	\$139,937.32