

**COMMISSION STATEMENT****November 30, 2017**

HERBERT ALSTON  
4200 Park Blvd  
Suite 154  
Oakland, CA 94602

BROKER #: A123  
BRANCH: HERBERT ALSTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
10/30/2017	JOHNSON, DALE	STARBOARD LEMON GROVE DST	160,000.00	9,600.00	0.00	9,600.00	75.0	7,200.00
* 1031 EXCHANGES SUBTOTAL *			\$160,000.00	\$9,600.00	\$0.00	\$9,600.00		\$7,200.00
<b><u>PRODUCT CATEGORY: NON-TRADED BDC</u></b>								
11/15/2017	BULKIN, CARLETON	FS INVESTMENT CORP IV CLASS T	240,000.00	7,200.00	0.00	7,200.00	75.0	5,400.00
* NON-TRADED BDC SUBTOTAL *			\$240,000.00	\$7,200.00	\$0.00	\$7,200.00		\$5,400.00
*** DIRECT TRANSACTIONS TOTAL ***			\$400,000.00	\$16,800.00	\$0.00	\$16,800.00		\$12,600.00
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: A132 - HERB/FRED ALSTON/CHASALOW #10</u></b>								
11/06/2017	COHEN, LORI PIERCE-	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	0.67	0.00	0.67	75.0	0.50
11/06/2017	COHEN, LORI PIERCE-	AM FUNDS NEW PERSPECTIVE 529C	100.00	0.67	0.00	0.67	75.0	0.50
* A132 - HERB/FRED ALSTON/CHASALOW SUBTOTAL *			\$200.00	\$1.34	\$0.00	\$1.34		\$1.00
*** SPLIT TRANSACTIONS TOTAL ***			\$200.00	\$1.34	\$0.00	\$1.34		\$1.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$12,600.00
Split Transactions	\$1.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$42.89
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,643.89
Year-to-date Gross	\$96,658.49
Year-to-date Earnings	\$72,493.89