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COMMISSION STATEMENT July 31, 2018

CALDWELL DPM

BROKER #: D113 BRANCH: DWA

, TX

COMMISSION STATEMENT for CALDWELL DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CAT	TEGORY: MUTUAL FU	ND 12B1 TRAILS							
07/23/2018 mi	fc704574 DPM CALDWE	LL 704574 MFT FRANKLIN TN MUNI BOND FD CL A	В	0.00	0.77	0.00	0.77	0.0	0.00
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL	*	\$0.00	\$0.77	\$0.00	\$0.77		\$0.00
		*** CLEARING TRANSACTIONS TOTAL *	**	\$0.00	\$0.77	\$0.00	\$0.77		\$0.00
						<u> </u>	BROKER COMMI	SSION	TOTALS
		Broker Transactions				\$0.00			
						Split Transactions			\$0.00
						Override Transactions			\$0.00
						Adjustments			\$0.00
						Payroll Draw		\$0.00	
						Base Salary \$0			
					Prior Period Balance				
					FINRA Assessment			\$0.00	
						S	IPC Assessment		\$0.00
						N	et Commissions		\$0.00
						Year-to-da	te Commissions		\$0.00