

All Companies
STATEMENT
August 20, 2018

LPCM HOUSE

BROKER #: 3ZZH
BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for LPCM HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/23/2018	# MYERS, STANLEY	IAFEES	505.49	0.00	100.0	0.00	0.00	0.00	0.00
07/26/2018	# BROWN, STEVEN	IAFEES	674.19	0.00	100.0	0.00	0.00	0.00	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,179.68	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018	#87706989 ALI, ASAD	ENVESTNET FIRM FEE	233,326.95	29.40	100.0	0.00	29.40	0.00	29.40
07/01/2018	#87707079 ALTMAN, CONNIE	ENVESTNET FIRM FEE	58,567.49	7.38	100.0	0.00	7.38	0.00	7.38
07/01/2018	#87706544 ANDERSON, MARILYN	ENVESTNET FIRM FEE	185,710.70	46.81	100.0	0.00	46.81	0.00	46.81
07/01/2018	#87706542 ANDERSON, CHARLES	ENVESTNET FIRM FEE	52,504.99	13.23	100.0	0.00	13.23	0.00	13.23
07/01/2018	#87706521 ARVIN, RUTH	ENVESTNET FIRM FEE	118,345.39	0.00	100.0	0.00	0.00	0.00	0.00
07/01/2018	#87706520 ARVIN, RUTH	ENVESTNET FIRM FEE	148,028.88	37.31	100.0	0.00	37.31	0.00	37.31
07/01/2018	#87707131	ENVESTNET FIRM FEE	249,997.24	31.51	100.0	0.00	31.51	0.00	31.51
07/01/2018	#87706565	ENVESTNET FIRM FEE	126,891.49	31.98	100.0	0.00	31.98	0.00	31.98
07/01/2018	#87706564 BALLARD, RODNEY	ENVESTNET FIRM FEE	93,819.76	23.65	100.0	0.00	23.65	0.00	23.65
07/01/2018	#87707134	ENVESTNET FIRM FEE	39,912.17	5.03	100.0	0.00	5.03	0.00	5.03
07/01/2018	#87707133	ENVESTNET FIRM FEE	40,561.35	5.11	100.0	0.00	5.11	0.00	5.11
07/01/2018	#87706475 BATEMAN, WOODROW	ENVESTNET FIRM FEE	402,847.19	101.54	100.0	0.00	101.54	0.00	101.54
07/01/2018	#87706480 BOYKIN, THOMAS	ENVESTNET FIRM FEE	168,447.27	42.46	100.0	0.00	42.46	0.00	42.46
07/01/2018	#87706481 BOYKIN, THOMAS	ENVESTNET FIRM FEE	13,991.46	3.53	100.0	0.00	3.53	0.00	3.53
07/01/2018	#87706482 BOYKIN, NANCY	ENVESTNET FIRM FEE	11,845.59	2.99	100.0	0.00	2.99	0.00	2.99
07/01/2018	#87706862 BOYKIN, THOMAS	ENVESTNET FIRM FEE	74,507.48	18.78	100.0	0.00	18.78	0.00	18.78
07/01/2018	#87706501 BUTTS, PATRICIA	ENVESTNET FIRM FEE	340,722.81	85.88	100.0	0.00	85.88	0.00	85.88
07/01/2018	#87706589 WARD, NICOLE	ENVESTNET FIRM FEE	87,058.19	21.94	100.0	0.00	21.94	0.00	21.94
07/01/2018	#87706590 WARD, NICOLE	ENVESTNET FIRM FEE	15,206.98	3.83	100.0	0.00	3.83	0.00	3.83
07/01/2018	#87706720 CAGANAP, DANIEL	ENVESTNET FIRM FEE	188,551.06	47.52	100.0	0.00	47.52	0.00	47.52
07/01/2018	#87706608 CARRICO, GARRY	ENVESTNET FIRM FEE	145,053.50	36.56	100.0	0.00	36.56	0.00	36.56
07/01/2018	#87706610 CARRICO, GARRY	ENVESTNET FIRM FEE	129,723.38	32.70	100.0	0.00	32.70	0.00	32.70
07/01/2018	#87706609 CARRICO, GARRY	ENVESTNET FIRM FEE	64,186.34	16.18	100.0	0.00	16.18	0.00	16.18

COMMISSION STATEMENT for LPCM HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018	#87706611	CARRICO, SHEILA	ENVESTNET FIRM FEE	64,697.48	16.31	100.0	0.00	16.31	16.31
07/01/2018	#87706570	BEASLEY, MARK	ENVESTNET FIRM FEE	36,494.13	9.20	100.0	0.00	9.20	9.20
07/01/2018	#87706924	CHU, WILLIAM	ENVESTNET FIRM FEE	256,331.38	32.31	100.0	0.00	32.31	32.31
07/01/2018	#87706921	CHU, WILLIAM	ENVESTNET FIRM FEE	271,399.24	31.63	100.0	0.00	31.63	31.63
07/01/2018	#87706920	CHU, WILLIAM	ENVESTNET FIRM FEE	531,211.26	61.90	100.0	0.00	61.90	61.90
07/01/2018	#87707096		ENVESTNET FIRM FEE	87,997.84	11.09	100.0	0.00	11.09	11.09
07/01/2018	#87706667	COPPRIDGE,	ENVESTNET FIRM FEE	58,954.04	14.86	100.0	0.00	14.86	14.86
07/01/2018	#87706568	COX, MARY	ENVESTNET FIRM FEE	20,982.87	5.29	100.0	0.00	5.29	5.29
07/01/2018	#87706567	COX, MARY	ENVESTNET FIRM FEE	118,285.29	29.82	100.0	0.00	29.82	29.82
07/01/2018	#87707225		ENVESTNET FIRM FEE	37,741.38	4.76	100.0	0.00	4.76	4.76
07/01/2018	#87706478	DAMIAN, SHELEY	ENVESTNET FIRM FEE	3,792.73	0.96	100.0	0.00	0.96	0.96
07/01/2018	#87706479	DAMIAN, KEVIN	ENVESTNET FIRM FEE	531,778.82	134.04	100.0	0.00	134.04	134.04
07/01/2018	#87707008	DAVENPORT, BLAKE	ENVESTNET FIRM FEE	25,425.54	3.20	100.0	0.00	3.20	3.20
07/01/2018	#87707178		ENVESTNET FIRM FEE	353,492.45	89.10	100.0	0.00	89.10	89.10
07/01/2018	#87706522	DIGGS, JAMES	ENVESTNET FIRM FEE	37,868.63	9.55	100.0	0.00	9.55	9.55
07/01/2018	#87707081	DITTMANN, WALTER	ENVESTNET FIRM FEE	67,957.42	8.56	100.0	0.00	8.56	8.56
07/01/2018	#87707080	DITTMANN, TERESE	ENVESTNET FIRM FEE	121,891.21	15.36	100.0	0.00	15.36	15.36
07/01/2018	#87707012	DOULOS, MICHAEL	ENVESTNET FIRM FEE	31,746.25	4.00	100.0	0.00	4.00	4.00
07/01/2018	#87706552	DUEGAW, DAVID	ENVESTNET FIRM FEE	461,545.97	116.34	100.0	0.00	116.34	116.34
07/01/2018	#87706986	EDWARDS, HAROLD	ENVESTNET FIRM FEE	69,029.66	8.70	100.0	0.00	8.70	8.70
07/01/2018	#87706979	EILEFSON, KYLE	ENVESTNET FIRM FEE	38,771.03	9.77	100.0	0.00	9.77	9.77
07/01/2018	#87706992	FRALEY, JACQUELINE	ENVESTNET FIRM FEE	29,963.51	3.78	100.0	0.00	3.78	3.78
07/01/2018	#87707075	GALLO, SEBASTIANO	ENVESTNET FIRM FEE	238,491.62	30.06	100.0	0.00	30.06	30.06
07/01/2018	#87707076	GALLO, SONNIA	ENVESTNET FIRM FEE	52,739.56	6.65	100.0	0.00	6.65	6.65
07/01/2018	#87706919	GAY, LEO	ENVESTNET FIRM FEE	35,318.27	4.45	100.0	0.00	4.45	4.45
07/01/2018	#87706563	GAYLE, JOSEPH	ENVESTNET FIRM FEE	11,894.42	3.00	100.0	0.00	3.00	3.00
07/01/2018	#87705910	GIRARD, KENNETH	ENVESTNET FIRM FEE	171,431.18	43.21	100.0	0.00	43.21	43.21
07/01/2018	#87705910	GIRARD, KENNETH	ENVESTNET FIRM FEE	-15,000.00	-0.21	100.0	0.00	-0.21	-0.21
07/01/2018	#87707124		ENVESTNET FIRM FEE	24,150.53	3.04	100.0	0.00	3.04	3.04
07/01/2018	#87707064	GOLUBOFF, VICTORIA	ENVESTNET FIRM FEE	46,211.39	5.82	100.0	0.00	5.82	5.82
07/01/2018	#87706592	GORIUP,	ENVESTNET FIRM FEE	27,040.84	6.82	100.0	0.00	6.82	6.82
07/01/2018	#87706593	GORIUP, KATHERINE	ENVESTNET FIRM FEE	67,823.57	17.09	100.0	0.00	17.09	17.09
07/01/2018	#87706591	GORIUP,	ENVESTNET FIRM FEE	65,972.57	16.63	100.0	0.00	16.63	16.63
07/01/2018	#87706476	GRANT, EILEEN	ENVESTNET FIRM FEE	180,779.74	45.57	100.0	0.00	45.57	45.57
07/01/2018	#87706477	GRANT, EILEEN	ENVESTNET FIRM FEE	80,258.86	20.23	100.0	0.00	20.23	20.23
07/01/2018	#87706598	GREER, GLEN	ENVESTNET FIRM FEE	12,525.80	3.16	100.0	0.00	3.16	3.16

COMMISSION STATEMENT for LPCM HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
07/01/2018	#87706627	GREER, GWENDA	ENVESTNET FIRM FEE	33,632.01	8.48	100.0	0.00	8.48	0.00	8.48
07/01/2018	#87706626	GREER, GWENDA	ENVESTNET FIRM FEE	22,936.54	5.78	100.0	0.00	5.78	0.00	5.78
07/01/2018	#87706566	HARBISON, LAURA	ENVESTNET FIRM FEE	15,377.40	3.88	100.0	0.00	3.88	0.00	3.88
07/01/2018	#87706569	HILL, JAMES	ENVESTNET FIRM FEE	144,663.33	36.46	100.0	0.00	36.46	0.00	36.46
07/01/2018	#87706998	HOKAMA, WAYSON	ENVESTNET FIRM FEE	74,698.36	9.41	100.0	0.00	9.41	0.00	9.41
07/01/2018	#87706946	HOKAMA, WAYSON	ENVESTNET FIRM FEE	20,543.31	2.59	100.0	0.00	2.59	0.00	2.59
07/01/2018	#87706594	HOLLAND, JEFFREY	ENVESTNET FIRM FEE	110,249.24	27.79	100.0	0.00	27.79	0.00	27.79
07/01/2018	#87706595	HOLLAND, JEFFREY	ENVESTNET FIRM FEE	58,073.80	14.64	100.0	0.00	14.64	0.00	14.64
07/01/2018	#87706596	HOLLAND, BRENDA	ENVESTNET FIRM FEE	53,634.15	13.52	100.0	0.00	13.52	0.00	13.52
07/01/2018	#87707198		ENVESTNET FIRM FEE	1,078.25	0.14	100.0	0.00	0.14	0.00	0.14
07/01/2018	#87706597	HOPSON, ERNEST	ENVESTNET FIRM FEE	61.07	0.02	100.0	0.00	0.02	0.00	0.02
07/01/2018	#87705939	HOPSON, TUNYA	ENVESTNET FIRM FEE	54,989.53	13.86	100.0	0.00	13.86	0.00	13.86
07/01/2018	#87706662	HUFF, STEPHEN	ENVESTNET FIRM FEE	35,191.10	8.87	100.0	0.00	8.87	0.00	8.87
07/01/2018	#87706663	HUFF, SHERRI	ENVESTNET FIRM FEE	4,207.28	1.06	100.0	0.00	1.06	0.00	1.06
07/01/2018	#87706987	IDEKER, CHRIS	ENVESTNET FIRM FEE	50,821.85	6.40	100.0	0.00	6.40	0.00	6.40
07/01/2018	#87706559	JOYNER, CHRIS	ENVESTNET FIRM FEE	69,088.12	17.41	100.0	0.00	17.41	0.00	17.41
07/01/2018	#87706556	JOYNER, CHRIS	ENVESTNET FIRM FEE	64,963.50	16.37	100.0	0.00	16.37	0.00	16.37
07/01/2018	#87706560	JOYNER, BEVERLY	ENVESTNET FIRM FEE	69,693.68	17.57	100.0	0.00	17.57	0.00	17.57
07/01/2018	#87706561	JOYNER, BEVERLY	ENVESTNET FIRM FEE	101,564.92	25.60	100.0	0.00	25.60	0.00	25.60
07/01/2018	#87706666	KATEPALLI,	ENVESTNET FIRM FEE	15,531.90	3.91	100.0	0.00	3.91	0.00	3.91
07/01/2018	#87706709	KATEPALLI,	ENVESTNET FIRM FEE	76,408.55	19.26	100.0	0.00	19.26	0.00	19.26
07/01/2018	#87706821	KUCERA, MICHAEL	ENVESTNET FIRM FEE	38,884.43	9.80	100.0	0.00	9.80	0.00	9.80
07/01/2018	#87706857	KUCERA, PENNY	ENVESTNET FIRM FEE	44,302.02	11.17	100.0	0.00	11.17	0.00	11.17
07/01/2018	#87707206	LABRECQUE, LANCE	ENVESTNET FIRM FEE	89,144.22	22.47	100.0	0.00	22.47	0.00	22.47
07/01/2018	#87707211		ENVESTNET FIRM FEE	29,531.32	7.44	100.0	0.00	7.44	0.00	7.44
07/01/2018	#87706485	LANGFORD, MICHAEL	ENVESTNET FIRM FEE	187,177.86	47.18	100.0	0.00	47.18	0.00	47.18
07/01/2018	#87706484	LANGFORD, MICHAEL	ENVESTNET FIRM FEE	26,789.53	6.75	100.0	0.00	6.75	0.00	6.75
07/01/2018	#87706483	LANGFORD, MICHAEL	ENVESTNET FIRM FEE	117,645.90	29.65	100.0	0.00	29.65	0.00	29.65
07/01/2018	#87706548	LASSITER, JOYCE	ENVESTNET FIRM FEE	25,577.75	6.45	100.0	0.00	6.45	0.00	6.45
07/01/2018	#87706547	LASSITER, JOYCE	ENVESTNET FIRM FEE	56,856.36	14.33	100.0	0.00	14.33	0.00	14.33
07/01/2018	#87706769	LING, TOM	ENVESTNET FIRM FEE	38,652.31	9.74	100.0	0.00	9.74	0.00	9.74
07/01/2018	#87706768	LING, TOM	ENVESTNET FIRM FEE	35,169.64	8.86	100.0	0.00	8.86	0.00	8.86
07/01/2018	#87706767	LING, TOM	ENVESTNET FIRM FEE	35,321.42	8.90	100.0	0.00	8.90	0.00	8.90
07/01/2018	#87706770	LING, PENNY	ENVESTNET FIRM FEE	76,190.37	19.20	100.0	0.00	19.20	0.00	19.20
07/01/2018	#87705889	MCLAIN, DALE	ENVESTNET FIRM FEE	233,211.77	58.78	100.0	0.00	58.78	0.00	58.78
07/01/2018	#87705890	MCLAIN, TERRI	ENVESTNET FIRM FEE	12,915.58	3.26	100.0	0.00	3.26	0.00	3.26

COMMISSION STATEMENT for LPCM HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018	#87705914	MILLER, DAVID	ENVESTNET FIRM FEE	323,750.45	81.60	100.0	0.00	81.60	81.60
07/01/2018	#87705915	MILLER, DAVID	ENVESTNET FIRM FEE	312,890.69	78.87	100.0	0.00	78.87	78.87
07/01/2018	#87705949	MILLER, NANCY	ENVESTNET FIRM FEE	210,652.05	53.10	100.0	0.00	53.10	53.10
07/01/2018	#87705898	MONTGOMERY, BERT	ENVESTNET FIRM FEE	212,451.38	53.55	100.0	0.00	53.55	53.55
07/01/2018	#87705897	MUOLO, HELYN	ENVESTNET FIRM FEE	82,252.24	20.73	100.0	0.00	20.73	20.73
07/01/2018	#87705896	MUOLO, RICHARD	ENVESTNET FIRM FEE	555,510.65	140.02	100.0	0.00	140.02	140.02
07/01/2018	#87707156		ENVESTNET FIRM FEE	189,427.20	23.87	100.0	0.00	23.87	23.87
07/01/2018	#87706976	MARIANO, RUSSELL	ENVESTNET FIRM FEE	155,441.33	19.59	100.0	0.00	19.59	19.59
07/01/2018	#87706970	MARIANO, DANIELLE	ENVESTNET FIRM FEE	155,687.37	19.62	100.0	0.00	19.62	19.62
07/01/2018	#87706549	MATHIS, JOHN	ENVESTNET FIRM FEE	296,313.32	74.69	100.0	0.00	74.69	74.69
07/01/2018	#87706550	MATHIS, LENA	ENVESTNET FIRM FEE	95,831.58	24.15	100.0	0.00	24.15	24.15
07/01/2018	#87706692	MATHIS, KATHERINE	ENVESTNET FIRM FEE	396,432.83	87.74	100.0	0.00	87.74	87.74
07/01/2018	#87706691	MATHIS, VINCENT	ENVESTNET FIRM FEE	599,609.27	132.70	100.0	0.00	132.70	132.70
07/01/2018	#87706690	MATHIS, VINCENT	ENVESTNET FIRM FEE	1,566,737.31	346.74	100.0	0.00	346.74	346.74
07/01/2018	#87706534	MCGRAW, DALTON	ENVESTNET FIRM FEE	192,760.73	48.59	100.0	0.00	48.59	48.59
07/01/2018	#87706533	MCGRAW, DALTON	ENVESTNET FIRM FEE	42,222.04	10.64	100.0	0.00	10.64	10.64
07/01/2018	#87706531	MCNIEL, JOHN	ENVESTNET FIRM FEE	74,126.39	18.68	100.0	0.00	18.68	18.68
07/01/2018	#87706530	MCNIEL, NATALIE	ENVESTNET FIRM FEE	56,784.90	14.31	100.0	0.00	14.31	14.31
07/01/2018	#87706529	MCNIEL, NATALIE	ENVESTNET FIRM FEE	46,108.52	11.62	100.0	0.00	11.62	11.62
07/01/2018	#87706538	MCNIEL, NATALIE	ENVESTNET FIRM FEE	77,340.37	19.49	100.0	0.00	19.49	19.49
07/01/2018	#87706532	MCNIEL, JOHN	ENVESTNET FIRM FEE	7,775.88	1.96	100.0	0.00	1.96	1.96
07/01/2018	#87706926	MILLER, DANIEL	ENVESTNET FIRM FEE	215,498.84	27.16	100.0	0.00	27.16	27.16
07/01/2018	#87706546	MINTER, ARTHUR	ENVESTNET FIRM FEE	83,493.81	21.04	100.0	0.00	21.04	21.04
07/01/2018	#87706545	MINTER, ARTHUR	ENVESTNET FIRM FEE	102,873.79	25.93	100.0	0.00	25.93	25.93
07/01/2018	#87706524	MITCHELL, STEPHEN	ENVESTNET FIRM FEE	522,019.69	131.58	100.0	0.00	131.58	131.58
07/01/2018	#87706527	MITCHELL, AUDREY	ENVESTNET FIRM FEE	55,449.66	13.98	100.0	0.00	13.98	13.98
07/01/2018	#87706526	MITCHELL, STEPHEN	ENVESTNET FIRM FEE	76,620.01	19.31	100.0	0.00	19.31	19.31
07/01/2018	#87706525	MITCHELL, STEPHEN	ENVESTNET FIRM FEE	8,942.97	2.25	100.0	0.00	2.25	2.25
07/01/2018	#87706975	MORRELL, BRIAN	ENVESTNET FIRM FEE	113,352.49	14.29	100.0	0.00	14.29	14.29
07/01/2018	#87706993	MORRELL, LYNN	ENVESTNET FIRM FEE	32,303.12	4.07	100.0	0.00	4.07	4.07
07/01/2018	#87706536	MUELLER, BENJAMIN	ENVESTNET FIRM FEE	25,702.98	6.48	100.0	0.00	6.48	6.48
07/01/2018	#87706535	MUELLER, KARA	ENVESTNET FIRM FEE	26,368.26	6.65	100.0	0.00	6.65	6.65
07/01/2018	#87706537	MUELLER, BENJAMIN	ENVESTNET FIRM FEE	17,367.19	4.38	100.0	0.00	4.38	4.38
07/01/2018	#87705920	NAGANO, HEIDI	ENVESTNET FIRM FEE	238,486.45	60.11	100.0	0.00	60.11	60.11
07/01/2018	#87705943	NEIMAN, JOHN	ENVESTNET FIRM FEE	142,567.71	35.94	100.0	0.00	35.94	35.94
07/01/2018	#87706954	NICHOLSON, JAMES	ENVESTNET FIRM FEE	40,645.24	5.12	100.0	0.00	5.12	5.12

COMMISSION STATEMENT for LPCM HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018	#87706528	NUNNALLY, DAVID	ENVESTNET FIRM FEE	156,165.88	39.36	100.0	0.00	39.36	39.36
07/01/2018	#87707021	PARKER-KELLY,	ENVESTNET FIRM FEE	62,943.21	7.93	100.0	0.00	7.93	7.93
07/01/2018	#87706523	PETTWAY, TANA	ENVESTNET FIRM FEE	222,029.14	55.96	100.0	0.00	55.96	55.96
07/01/2018	#87707034	PICCIONE,	ENVESTNET FIRM FEE	81,002.51	10.21	100.0	0.00	10.21	10.21
07/01/2018	#87706988	PLOEN, ALLISON	ENVESTNET FIRM FEE	335,900.60	42.33	100.0	0.00	42.33	42.33
07/01/2018	#87706516	PORTER, JOAN	ENVESTNET FIRM FEE	462,229.50	113.90	100.0	0.00	113.90	113.90
07/01/2018	#87706726	PORTER, JOAN	ENVESTNET FIRM FEE	663,794.96	163.57	100.0	0.00	163.57	163.57
07/01/2018	#87701784	PRILL, DANNA	ENVESTNET FIRM FEE	173,020.62	0.00	100.0	0.00	0.00	0.00
07/01/2018	#87701783	PRILL, JOSEPH	ENVESTNET FIRM FEE	95,521.61	0.00	100.0	0.00	0.00	0.00
07/01/2018	#87706553	RAAB, JOHN	ENVESTNET FIRM FEE	43,075.45	10.86	100.0	0.00	10.86	10.86
07/01/2018	#87706554	RAAB, JOHN	ENVESTNET FIRM FEE	13,190.79	3.32	100.0	0.00	3.32	3.32
07/01/2018	#87706555	RAAB, JODY	ENVESTNET FIRM FEE	53,175.63	13.40	100.0	0.00	13.40	13.40
07/01/2018	#87707333		ENVESTNET FIRM FEE	115,456.64	14.55	100.0	0.00	14.55	14.55
07/01/2018	#87707333		ENVESTNET FIRM FEE	116,641.96	1.60	100.0	0.00	1.60	1.60
07/01/2018	#87706603	REUTTI, SUZANNE	ENVESTNET FIRM FEE	53,574.80	13.50	100.0	0.00	13.50	13.50
07/01/2018	#87706601	REUTTI, RICHARD	ENVESTNET FIRM FEE	12,916.72	3.26	100.0	0.00	3.26	3.26
07/01/2018	#87706600	REUTTI, RICHARD	ENVESTNET FIRM FEE	187,715.70	47.32	100.0	0.00	47.32	47.32
07/01/2018	#87706602	REUTTI, RICHARD	ENVESTNET FIRM FEE	12,916.72	3.26	100.0	0.00	3.26	3.26
07/01/2018	#87706628	TRUST OF DOROTHY	ENVESTNET FIRM FEE	13,707.62	3.46	100.0	0.00	3.46	3.46
07/01/2018	#87706931	SANDERS, LORI	ENVESTNET FIRM FEE	27,268.79	3.44	100.0	0.00	3.44	3.44
07/01/2018	#87706950	SANDERS, MATHEW	ENVESTNET FIRM FEE	26,523.27	3.34	100.0	0.00	3.34	3.34
07/01/2018	#87707103		ENVESTNET FIRM FEE	33,749.45	4.25	100.0	0.00	4.25	4.25
07/01/2018	#87707037	SCHNECK, LINDA	ENVESTNET FIRM FEE	35,084.76	4.42	100.0	0.00	4.42	4.42
07/01/2018	#87706499	SHEPHERD, LARRY	ENVESTNET FIRM FEE	82,488.09	20.79	100.0	0.00	20.79	20.79
07/01/2018	#87706786	SHONNARD,	ENVESTNET FIRM FEE	28,121.33	7.09	100.0	0.00	7.09	7.09
07/01/2018	#87706540	SIMPSON, JEANNET	ENVESTNET FIRM FEE	168,702.64	42.52	100.0	0.00	42.52	42.52
07/01/2018	#87706541	SIMPSON, JEANNET	ENVESTNET FIRM FEE	130,891.36	32.99	100.0	0.00	32.99	32.99
07/01/2018	#87706539	SIMPSON, JEANNET	ENVESTNET FIRM FEE	42,423.01	10.69	100.0	0.00	10.69	10.69
07/01/2018	#87706878	SIMPSON-NANCEKIVE,	ENVESTNET FIRM FEE	12,708.09	3.20	100.0	0.00	3.20	3.20
07/01/2018	#87706897		ENVESTNET FIRM FEE	17,826.76	4.49	100.0	0.00	4.49	4.49
07/01/2018	#87706146	SINGLETON, SEAN	ENVESTNET FIRM FEE	41,252.58	10.40	100.0	0.00	10.40	10.40
07/01/2018	#87705926	SMITH, RANDY	ENVESTNET FIRM FEE	48,964.42	12.34	100.0	0.00	12.34	12.34
07/01/2018	#87706551	STROTHER, SHARRON	ENVESTNET FIRM FEE	332,259.48	83.75	100.0	0.00	83.75	83.75
07/01/2018	#87706665	SULLIVAN, ISABELLE	ENVESTNET FIRM FEE	15,575.63	3.93	100.0	0.00	3.93	3.93
07/01/2018	#87706664	SULLIVAN, JOE	ENVESTNET FIRM FEE	-64,444.54	-1.94	100.0	0.00	-1.94	-1.94
07/01/2018	#87706504	SWEEN, KAREN	ENVESTNET FIRM FEE	332,165.74	83.73	100.0	0.00	83.73	83.73

COMMISSION STATEMENT for LPCM HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018	#87706503	SWEEN, KAREN	ENVESTNET FIRM FEE	18,032.06	4.54	100.0	0.00	4.54	4.54
07/01/2018	#87706502	SWEEN, KAREN	ENVESTNET FIRM FEE	29,122.23	7.34	100.0	0.00	7.34	7.34
07/01/2018	#87706228	TREVIZO, DIDIER	ENVESTNET FIRM FEE	396,903.26	100.04	100.0	0.00	100.04	100.04
07/01/2018	#87706192	TREVIZO, DIDIER	ENVESTNET FIRM FEE	95,403.28	24.05	100.0	0.00	24.05	24.05
07/01/2018	#87706193	TREVIZO, ERIC	ENVESTNET FIRM FEE	54,972.01	13.86	100.0	0.00	13.86	13.86
07/01/2018	#87706631	TUMLIN, THOMAS	ENVESTNET FIRM FEE	255,432.57	64.38	100.0	0.00	64.38	64.38
07/01/2018	#87706633	TUMLIN, JUDITH	ENVESTNET FIRM FEE	48,503.34	12.22	100.0	0.00	12.22	12.22
07/01/2018	#87706632	TUMLIN, THOMAS	ENVESTNET FIRM FEE	146,648.30	36.96	100.0	0.00	36.96	36.96
07/01/2018	#87706949	UDVARDY, IMRE	ENVESTNET FIRM FEE	43,350.63	5.46	100.0	0.00	5.46	5.46
07/01/2018	#87707224		ENVESTNET FIRM FEE	131,542.84	16.58	100.0	0.00	16.58	16.58
07/01/2018	#87707102		ENVESTNET FIRM FEE	49,630.86	6.26	100.0	0.00	6.26	6.26
07/01/2018	#87707089	WALDRIP, DEBRA	ENVESTNET FIRM FEE	108,154.06	13.63	100.0	0.00	13.63	13.63
07/01/2018	#87707179		ENVESTNET FIRM FEE	358,459.66	90.35	100.0	0.00	90.35	90.35
07/01/2018	#87706509	WARE, WILLIAM	ENVESTNET FIRM FEE	844,005.97	211.31	100.0	0.00	211.31	211.31
07/01/2018	#87706507	WARE, WILLIAM	ENVESTNET FIRM FEE	11,998.41	3.00	100.0	0.00	3.00	3.00
07/01/2018	#87706508	WARE, WILLIAM	ENVESTNET FIRM FEE	39,338.73	9.85	100.0	0.00	9.85	9.85
07/01/2018	#87706510	WARE, DIANE	ENVESTNET FIRM FEE	139,366.77	34.89	100.0	0.00	34.89	34.89
07/01/2018	#87706510	WARE, DIANE	ENVESTNET FIRM FEE	42,078.99	1.91	100.0	0.00	1.91	1.91
07/01/2018	#87706513	WINSTON, YOLANDA	ENVESTNET FIRM FEE	540,538.99	136.25	100.0	0.00	136.25	136.25
07/01/2018	#87706512	WINSTON, YOLANDA	ENVESTNET FIRM FEE	11,988.94	3.02	100.0	0.00	3.02	3.02
07/01/2018	#87706613	YORK, CHARLES	ENVESTNET FIRM FEE	52.92	0.01	100.0	0.00	0.01	0.01
07/01/2018	#87706612	YORK, CHARLES	ENVESTNET FIRM FEE	25,959.60	6.54	100.0	0.00	6.54	6.54
07/01/2018	#87706614	YORK, CHARLES	ENVESTNET FIRM FEE	198,230.02	49.96	100.0	0.00	49.96	49.96
07/01/2018	#87706615	YORK, JACQUELINE	ENVESTNET FIRM FEE	71,506.08	18.02	100.0	0.00	18.02	18.02
07/01/2018	#87707315	DUSHA, JARED	ENVESTNET FIRM FEE	40,682.21	10.25	100.0	0.00	10.25	10.25
07/01/2018	#87707315	DUSHA, JARED	ENVESTNET FIRM FEE	40,680.38	1.11	100.0	0.00	1.11	1.11
* RIA SUBTOTAL				\$24,652,505.25	\$5,407.93	\$0.00	\$5,407.93	\$0.00	\$5,407.93
*** BROKER TRANSACTIONS TOTAL ***				\$24,653,684.93	\$5,407.93	\$0.00	\$5,407.93	\$0.00	\$5,407.93

BROKER COMMISSION TOTALS

Broker Transactions	\$5,407.93
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-0.48
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,407.45
---------------------	-------------------