



COMMISSION STATEMENT

January 29, 2018

JOHN KELLY
2400 E. Arizona Biltmore Cir.
Suite 1100
Phoenix, AZ 85016

BROKER #: 279
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/22/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE 694474693-NFS	230.35	2.28	0.00	2.28	90.0	2.05
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
01/22/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE 694474693-NFS	230.35	2.28	0.00	2.28	90.0	2.05
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
01/22/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE 694474693-NFS	230.35	2.30	0.00	2.30	90.0	2.07
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
01/22/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE 694474693-NFS	230.35	2.30	0.00	2.30	90.0	2.07
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
01/22/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE 694474693-NFS	186.33	1.86	0.00	1.86	90.0	1.67
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
01/22/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE 694474693-NFS	186.33	1.84	0.00	1.84	90.0	1.66
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
01/22/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE 694474693-NFS	186.33	1.84	0.00	1.84	90.0	1.66
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
01/22/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE 694474693-NFS	186.33	1.86	0.00	1.86	90.0	1.67
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
01/22/2018	***-**-209I - SPARACO, MICHAEL	PACIFIC LIFE DTCC RENEWAL	1,510.67	30.21	0.00	30.21	90.0	27.19
	ACCOUNT #: VP60570220	BUY 0 Units @0.0000						
01/22/2018	***-**-8258 - IFG, KELLY,J	PACIFIC LIFE DTCC RENEWAL	412.00	8.24	0.00	8.24	90.0	7.42
	ACCOUNT #: VP60088260	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$3,589.39	\$55.01	\$0.00	\$55.01		\$49.51
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/08/2018	***-**-478K - F.I.R.M., INC	AMERICAN FUNDS 401K TRAILS	0.00	278.59	0.00	278.59	90.0	250.73
	ACCOUNT #: BRK131928	BUY 0 Units @0.0000						
01/08/2018	***-**-969K - MATSOCK &	AMERICAN FUNDS 401K TRAILS	0.00	987.83	0.00	987.83	90.0	889.05
	ACCOUNT #: IRK116663	BUY 0 Units @0.0000						
01/29/2018	***-**-0726 - DAHL, KEVIN	BLACKROCK GLBL SCI & TECH OPPORT CL	0.00	1.10	0.00	1.10	90.0	0.99
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
01/29/2018	***-**-970I - KLEVER, JAMES	DELAWARE INV MF TRAILS	0.00	3.22	0.00	3.22	90.0	2.90
	ACCOUNT #: 5014176478	BUY 0 Units @0.0000						
01/29/2018	***-**-970I - KLEVER, JAMES	DELAWARE INV MF TRAILS	0.00	4.52	0.00	4.52	90.0	4.07
	ACCOUNT #: 5014176478	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/29/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107425	FEDERATED KAUFMAN SMALL-CAP FUND CL BUY 1 Units @0.0000	0.00	2.03	0.00	2.03	90.0	1.83
01/29/2018	***-**-7034 - DAHL, ROXANNE ACCOUNT #: LSR108782	FEDERATED KAUFMAN SMALL-CAP FUND CL BUY 1 Units @0.0000	0.00	4.23	0.00	4.23	90.0	3.81
01/29/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107425	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.45	0.00	0.45	90.0	0.41
01/29/2018	***-**-7034 - DAHL, ROXANNE ACCOUNT #: LSR108782	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	1.23	0.00	1.23	90.0	1.11
01/29/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107409	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	113.22	0.00	113.22	90.0	101.90
01/29/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107425	GLOBAL FUND A BUY 1 Units @0.0000	0.00	1.30	0.00	1.30	90.0	1.17
01/29/2018	***-**-7034 - DAHL, ROXANNE ACCOUNT #: LSR108782	GLOBAL FUND A BUY 1 Units @0.0000	0.00	2.54	0.00	2.54	90.0	2.29
01/29/2018	***-**-83IN - BARNETT, ROBERT ACCOUNT #: LSR010053	INTERNATIONAL GROWTH FUND A BUY 1 Units @0.0000	0.00	3.44	0.00	3.44	90.0	3.10
01/29/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107425	OPPENHEIMER SENIOR FLOATING RATE CL BUY 1 Units @0.0000	0.00	1.25	0.00	1.25	90.0	1.13
01/29/2018	***-**-7034 - DAHL, ROXANNE ACCOUNT #: LSR108782	OPPENHEIMER SENIOR FLOATING RATE CL BUY 1 Units @0.0000	0.00	1.55	0.00	1.55	90.0	1.40
01/29/2018	***-**-85TR - KELLY TRUST, ACCOUNT #: LSR005290	OPPENHEIMER SMALL & MID CAP VALUE F BUY 1 Units @0.0000	0.00	16.11	0.00	16.11	90.0	14.50
01/29/2018	***-**-459K - QUAIL ACCOUNT #: BRK124361	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	192.46	0.00	192.46	90.0	173.21
01/29/2018	***-**-7530 - AJITH PURUSH MD ACCOUNT #: BRK126971	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	332.24	0.00	332.24	90.0	299.02
01/29/2018	***-**-6688 - KEYCOLOUR, INC ACCOUNT #: BRK135767	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	721.87	0.00	721.87	90.0	649.68
01/29/2018	***-**-1409 - NEVADA PAIN ACCOUNT #: BRK102728	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	643.91	0.00	643.91	90.0	579.52
01/29/2018	***-**-2181 - SEETHARAMAN ACCOUNT #: BRK105795	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	985.76	0.00	985.76	90.0	887.18



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/29/2018	***-**-4372 - DESERT SPINE, ACCOUNT #: BRK109823	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	577.84	0.00	577.84	90.0	520.06
01/29/2018	***-**-111K - ADVANCE ACCOUNT #: BRK111102	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	537.58	0.00	537.58	90.0	483.82
01/29/2018	***-**-0180 - CORINNE BROWN ACCOUNT #: BRK116700	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	420.91	0.00	420.91	90.0	378.82
01/29/2018	***-**-462K - TRIPLE B FUELS ACCOUNT #: BRK125576	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	205.88	0.00	205.88	90.0	185.29
01/29/2018	***-**-3561 - POLAR COOLING ACCOUNT #: BRK127542	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	670.81	0.00	670.81	90.0	603.73
01/29/2018	***-**-888K - ARIZONA MEDICAL, ACCOUNT #: BRK78436	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	59.22	0.00	59.22	90.0	53.30
01/29/2018	***-**-153I - PLAZA AUTO, ACCOUNT #: BRK83709	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	681.81	0.00	681.81	90.0	613.63
01/29/2018	***-**-8849 - SUGATA DAS MD ACCOUNT #: BRK92502	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	1,454.28	0.00	1,454.28	90.0	1,308.85
01/29/2018	***-**-514K - UP 2 PAR, PLLC ACCOUNT #: BRK93099	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	1,355.71	0.00	1,355.71	90.0	1,220.14
01/29/2018	***-**-7620 - WORLD STONE ACCOUNT #: BRK97978	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	1,266.91	0.00	1,266.91	90.0	1,140.22
01/29/2018	***-**-5770 - CENTRAL VALLEY, ACCOUNT #: BRK98088	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	1,318.17	0.00	1,318.17	90.0	1,186.35
01/29/2018	***-**-1723 - CENTRAL CA, ACCOUNT #: IRK109865	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	1,046.92	0.00	1,046.92	90.0	942.23
01/29/2018	***-**-3054 - SAPPHIRE SVS ACCOUNT #: IRK110271	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	362.96	0.00	362.96	90.0	326.66
01/29/2018	***-**-704K - MEDIT MARKETING, ACCOUNT #: BRK119254	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	3,539.57	0.00	3,539.57	90.0	3,185.61
01/29/2018	***-**-8018 - A.T. PANCRAZI, ACCOUNT #: BRK121891	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	657.37	0.00	657.37	90.0	591.63
01/29/2018	***-**-7900 - TEM CORPORATION, ACCOUNT #: BRK92025	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	1,374.56	0.00	1,374.56	90.0	1,237.10



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/29/2018	***-**-914I - MIKEL W. LO M.D.	QUALIFIED PLAN SUMMARY	0.00	2,090.70	0.00	2,090.70	90.0	1,881.63
	ACCOUNT #: BRK94257	BUY 0 Units @0.0000						
01/29/2018	***-**-416K - CENTRAL VALLEY,	QUALIFIED PLAN SUMMARY	0.00	233.69	0.00	233.69	90.0	210.32
	ACCOUNT #: IRK117237	BUY 0 Units @0.0000						
01/29/2018	***-**-6778 - MCENTEE &	QUALIFIED PLAN SUMMARY	0.00	335.00	0.00	335.00	90.0	301.50
	ACCOUNT #: BRK78577	BUY 0 Units @0.0000						
01/29/2018	***-**-98JK - VESTAR	QUALIFIED PLAN SUMMARY	0.00	845.96	0.00	845.96	90.0	761.38
	ACCOUNT #: 07738015232	BUY 0 Units @0.0000						
01/29/2018	***-**-042K - JCM FUTURE	QUALIFIED PLAN SUMMARY	0.00	1,347.42	0.00	1,347.42	90.0	1,212.68
	ACCOUNT #: 83512	BUY 0 Units @0.0000						
01/29/2018	***-**-409H - CERRETA, JEFFREY	VICTORY TRAILS	0.00	0.77	0.00	0.77	90.0	0.69
		BUY 0 Units @0.0000						
01/29/2018	***-**-761H - CERRETA,	VICTORY TRAILS	0.00	0.77	0.00	0.77	90.0	0.69
		BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$24,683.66	\$0.00	\$24,683.66		\$22,215.33
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
01/23/2018	***-**-2308 - KELLY, OWEN	PACIFIC LIFE 694474693-NFS	0.00	230.85	0.00	230.85	90.0	207.77
	ACCOUNT #: VP62517930	BUY 0 Units @0.0000						
01/20/2018	***-**-7034 - DAHL, ROXANNE	PACIFIC LIFE VA TRAILS DTCC	0.00	125.31	0.00	125.31	90.0	112.78
	ACCOUNT #: VP65775550	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$356.16	\$0.00	\$356.16		\$320.55
	*** BROKER TRANSACTIONS TOTAL ***		\$3,589.39	\$25,094.83	\$0.00	\$25,094.83		\$22,585.39



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				INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT			AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS									
						Broker Transactions			\$22,130.57
						Split Transactions			\$0.00
						Override Transactions			\$0.00
						Adjustments			\$0.00
						Payroll Draw			\$0.00
						Base Salary			\$0.00
						Prior Period Balance			\$0.00
						FINRA Assessment			\$0.00
						SIPC Assessment			\$0.00
						Net Commission Earned			\$22,585.39
						Year-to-date Earnings			\$38,537.59