

August 20, 2018

ANNE PLATSKY

10310 Sugarberry Court #402 # 402 Raleigh, NC 27614 BROKER #: 911

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
								_
		BROKER TR	ANSACTIONS					
PRODUCT	CATEGORY: MUTUAL FUNDS							
08/10/201	8 ***-**-05IS - BRUNCKHORST, ACCOUNT #: LSR101818	AMERICAN BALANCED FUND-A SELL 902 Units @27.7100	24,999.99	30.00	18.00	12.00	60.0	7.20
08/15/201	8 ***-**-852I - BRYSON, LENNOX ACCOUNT #: 00066780144	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @53.1600	100.00	5.00	0.00	5.00	60.0	3.00
08/15/201	8 ***-**-852I - BRYSON, LENNOX ACCOUNT #: 00066780144	EUROPACIFIC GROWTH FUND-A BUY 2 Units @55.1900	100.00	5.00	0.00	5.00	60.0	3.00
08/15/201	8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	FUNDAMENTAL INVESTORS-A BUY 1 Units @67.1600	100.00	5.00	0.00	5.00	60.0	3.00
08/15/201	8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	NEW PERSPECTIVE FUND-A BUY 2 Units @48.1100	100.00	5.00	0.00	5.00	60.0	3.00
08/15/201	8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	THE GROWTH FUND OF AMERICA-A BUY 2 Units @57.8200	100.00	5.00	0.00	5.00	60.0	3.00
		* MUTUAL FUNDS SUBTOTAL *	\$25,499.99	\$55.00	\$18.00	\$37.00		\$22.20
PRODUCT	CATEGORY: MUTUAL FUND 12B1 T	TRAILS						
/ /	***-**-398I - PLATSKY, ANNE ACCOUNT #: LSR100536	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	9.48	0.00	9.48	60.0	5.69
/ /	***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100633	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	4.27	0.00	4.27	60.0	2.56
/ /	***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	38.82	0.00	38.82	60.0	23.29
/ /	***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	31.77	0.00	31.77	60.0	19.06
/ /	***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	22.69	0.00	22.69	60.0	13.61
/ /	***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	12.82	0.00	12.82	60.0	7.69
/ /	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	13.15	0.00	13.15	60.0	7.89
/ /	***-**-05IS - BRUNCKHORST, ACCOUNT #: LSR101818	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	6.80	0.00	6.80	60.0	4.08
/ /	***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	17.98	0.00	17.98	60.0	10.79



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PRODUCT (CATEGORY: MUTUAL FUND 12B1 I	BROKER TRANSACTIO	ONS (continu	ued)				
/ /	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	3.53	0.00	3.53	60.0	2.12
08/02/2018	3 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00003593290	CALVERT BALANCED PORTFOLIO - A BUY 0 Units @0.0000	0.00	4.29	0.00	4.29	60.0	2.57
08/02/2018	3 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00003593332	CALVERT BALANCED PORTFOLIO - A BUY 0 Units @0.0000	0.00	5.82	0.00	5.82	60.0	3.49
08/02/2018	3 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00003593290	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 0 Units @0.0000	0.00	8.65	0.00	8.65	60.0	5.19
08/02/2018	3 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00003593332	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 0 Units @0.0000	0.00	11.72	0.00	11.72	60.0	7.03
/ /	***-**-398I - PLATSKY, ANNE ACCOUNT #: LSR100536	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	7.65	0.00	7.65	60.0	4.59
/ /	***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.95	0.00	2.95	60.0	1.77
/ /	***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	A CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	33.27	0.00	33.27	60.0	19.96
/ /	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	29.32	0.00	29.32	60.0	17.59
/ /	***-**-05IS - BRUNCKHORST, ACCOUNT #: LSR101818	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	60.0	0.87
/ /	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	3.76	0.00	3.76	60.0	2.26
/ /	***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100633	CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	2.56	0.00	2.56	60.0	1.54
/ /	***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	7.17	0.00	7.17	60.0	4.30
/ /	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	3.63	0.00	3.63	60.0	2.18
/ /	***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	15.80	0.00	15.80	60.0	9.48
/ /	***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	73.57	0.00	73.57	60.0	44.14



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PRODUCT (CATEGORY: MUTUAL FUND 12B1 T	BROKER TRANSACTI	ONS (continu	ued)				
/ /	***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	28.39	0.00	28.39	60.0	17.03
08/20/2018	8 ***-**-7254 - GREEN, MICHAEL ACCOUNT #: 05163225106	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	103.20	0.00	103.20	60.0	61.92
08/20/2018	8 ***-**-119I - LEVIN MD, HENRY ACCOUNT #: 5014241449	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	5.53	0.00	5.53	60.0	3.32
08/20/2018	8 ***-**-931S - THE SEP ACCOUNT ACCOUNT #: 6000506880	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	14.22	0.00	14.22	60.0	8.53
/ /	***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	35.18	0.00	35.18	60.0	21.11
/ /	***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	23.81	0.00	23.81	60.0	14.29
/ /	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FIDELITY ADV EQUITY GROWTH CL T BUY 1 Units @0.0000	0.00	10.27	0.00	10.27	60.0	6.16
/ /	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	FIDELITY ADV EQUITY INCOME CL A BUY 1 Units @0.0000	0.00	12.00	0.00	12.00	60.0	7.20
/ /	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	FIDELITY ADV VALUE STRATEGIES-CL A BUY 1 Units @0.0000	0.00	15.38	0.00	15.38	60.0	9.23
/ /	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FIDELITY ADVISOR VALUE STRAT CL T BUY 1 Units @0.0000	0.00	11.56	0.00	11.56	60.0	6.94
/ /	***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	61.92	0.00	61.92	60.0	37.15
/ /	***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	67.19	0.00	67.19	60.0	40.31
/ /	***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	41.21	0.00	41.21	60.0	24.73
/ /	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	49.26	0.00	49.26	60.0	29.56
/ /	***-**-05IS - BRUNCKHORST, ACCOUNT #: LSR101818	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	4.53	0.00	4.53	60.0	2.72
/ /	***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	60.0	0.87



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PRODUCT	CATEGORY: MUTUAL FUND 12B1 1		TRANSACTIONS	(continu	ied)				
/ /	***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000		0.00	77.49	0.00	77.49	60.0	46.49
/ /	***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000		0.00	51.15	0.00	51.15	60.0	30.69
/ /	***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000		0.00	11.18	0.00	11.18	60.0	6.71
/ /	***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000		0.00	12.28	0.00	12.28	60.0	7.37
/ /	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000		0.00	49.10	0.00	49.10	60.0	29.46
/ /	***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000		0.00	36.97	0.00	36.97	60.0	22.18
/ /	***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000		0.00	30.60	0.00	30.60	60.0	18.36
/ /	***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000		0.00	6.44	0.00	6.44	60.0	3.86
/ /	***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000		0.00	11.82	0.00	11.82	60.0	7.09
/ /	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000		0.00	7.62	0.00	7.62	60.0	4.57
/ /	***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE GROWTH FUND OF AMERICA BUY 1 Units @0.0000	-A	0.00	51.51	0.00	51.51	60.0	30.91
/ /	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	THE GROWTH FUND OF AMERICA BUY 1 Units @0.0000	-A	0.00	121.50	0.00	121.50	60.0	72.90
/ /	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	THE GROWTH FUND OF AMERICA BUY 1 Units @0.0000	-A	0.00	4.42	0.00	4.42	60.0	2.65
/ /	***-**-8071 - WALSH III, FBO ACCOUNT #: LSR100781	THE INCOME FUND OF AMERICA BUY 1 Units @0.0000	-A	0.00	4.38	0.00	4.38	60.0	2.63
/ /	***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	THE INCOME FUND OF AMERICA BUY 1 Units @0.0000	-A	0.00	9.51	0.00	9.51	60.0	5.71
/ /	***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE INCOME FUND OF AMERICA BUY 1 Units @0.0000	-A	0.00	29.44	0.00	29.44	60.0	17.66



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PPODIICT	CATEGORY: MUTUAL FUND 12B1	BROKER TRANSACTIO	ONS (contin	ued)				
/ /	***-**-03IN - LEE, MICHELE	THE INCOME FUND OF AMERICA-A	0.00	8.70	0.00	8 70	60.0	5.22
/ /	ACCOUNT #: LSR101303	BUY 1 Units @0.0000	0.00	0.70	0.00	0.70	00.0	3.22
/ /	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	7.59	0.00	7.59	60.0	4.55
/ /	***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	32.33	0.00	32.33	60.0	19.40
/ /	***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	16.52	0.00	16.52	60.0	9.91
/ /	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	14.21	0.00	14.21	60.0	8.53
/ /	***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	23.06	0.00	23.06	60.0	13.84
/ /	***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	53.90	0.00	53.90	60.0	32.34
/ /	***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	17.24	0.00	17.24	60.0	10.34
/ /	***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	21.02	0.00	21.02	60.0	12.61
/ /	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	88.27	0.00	88.27	60.0	52.96
08/13/201	8 ***-**-4866 - KOVEL, ARTHUR ACCOUNT #: 08324760907	WELLS FARGO HIGH YLD INC FD CL A BUY 0 Units @0.0000	0.00	0.14	0.00	0.14	60.0	0.08
08/13/201	.8 ***-**-4866 - KOVEL, ARTHUR ACCOUNT #: 08324760907	WELLS FGO AVTG DIVERSFD CAPITAL BLD BUY 0 Units @0.0000	0.00	3.08	0.00	3.08	60.0	1.85
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,659.49	\$0.00	\$1,659.49		\$995.68
		*** BROKER TRANSACTIONS TOTAL ***	\$25,499.99	\$1,714.49	\$18.00	\$1,696.49		\$1,017.88



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						BROKER COMM	ISSION	TOTALS
					Broke	er Transactions	\$	\$1,017.88
					Spl	it Transactions	5	\$0.00
					Overrio	de Transactions	;	\$0.00
						Adjustments	\$	\$0.00
						Payroll Draw	7	\$0.00
						Base Salary	,	\$0.00
					Prior	Period Balance	:	\$0.00
					F	INRA Assessment	:	\$0.00
					5	SIPC Assessment	:	\$0.00
					Net Cor	mmission Earned	l	\$1,017.88
					Year-to	o-date Earnings	ł	\$13,972.97