

Bill Payment Stub

Check Date:	4/6/2018
Check No.:	41455
Check Amount:	2,873.58

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: CHIP KLEINBROOK
2817 S. MILFORD RD., STE 101
HIGHLAND, MI 48357

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
3/31/2018	Bill	COMMISSIONS	2,993.58	2,993.58		2,993.58
4/1/2018	Credit	E&O	-120.00	-120.00		-120.00

COMMISSION STATEMENT

March 31, 2018

CHIP KLEINBROOK-D037

BROKER #: D037

BRANCH: DMN

, TX

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
03/08/2018	245875244	ELWELL, ROBERT	MF	GROWTH AND INCOME PORTFOLIO-A	S	-464.35	0.00	5.00	-5.00 80.0 -4.00
03/06/2018	461851078	LEWIS, JEAN	MF	PUTNAM TAX EXEMPT INCM C	B	-3,977.55	0.00	5.00	-5.00 80.0 -4.00
03/07/2018	646417736	KROLL, RICHARD	MF	NUVEEN MI MUNI BD C	S	13,002.45	130.00	2.50	127.50 80.0 102.00
				* MUTUAL FUNDS SUBTOTAL *		\$8,560.55	\$130.00	\$12.50	\$117.50 \$94.00
PRODUCT CATEGORY: STOCKS									
03/07/2018	368329987	LIM, ENG	S	WISDOMTREE INDIA EARNINGS FUND	S	-10,489.35	40.00	15.00	25.00 80.0 20.00
03/13/2018	368329987	LIM, ENG	S	INFRACAP MLP ETF	B	9,829.95	40.00	15.00	25.00 80.0 20.00
03/21/2018	368329987	LIM, ENG	S	INFRACAP MLP ETF	B	746.34	40.00	15.00	25.00 80.0 20.00
03/07/2018	461072097	LIM, ENG	S	INFRACAP MLP ETF	B	726.44	40.00	15.00	25.00 80.0 20.00
				* STOCKS SUBTOTAL *		\$813.38	\$160.00	\$60.00	\$100.00 \$80.00
PRODUCT CATEGORY: MISCELLANEOUS									
03/07/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 461851078	S	0.00	20.00	0.00	20.00 80.0 16.00
03/09/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 245875244	S	0.00	15.00	0.00	15.00 80.0 12.00
				* MISCELLANEOUS SUBTOTAL *		\$0.00	\$35.00	\$0.00	\$35.00 \$28.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
03/08/2018	mfc587889	KLEINBROOK 587889	MFT	FIDELITY ADVISOR MULTI-ASSET	B	0.00	5.73	0.00	5.73 80.0 4.58
03/21/2018	mfc587889	KLEINBROOK 587889	MFT	AB BALANCED WEALTH STRATEGY A	B	0.00	8.04	0.00	8.04 80.0 6.43
03/21/2018	mfc587889	KLEINBROOK 587889	MFT	AB HIGH INCOME FD C	B	0.00	18.20	0.00	18.20 80.0 14.56
03/21/2018	mfc587889	KLEINBROOK 587889	MFT	AB WEALTH PRES STRAT FD C	B	0.00	50.04	0.00	50.04 80.0 40.03
03/21/2018	mfc587889	KLEINBROOK 587889	MFT	AIG FOCUS DIVIDEND STRAT FD C	B	0.00	28.77	0.00	28.77 80.0 23.02
03/21/2018	mfc587889	KLEINBROOK 587889	MFT	AIG FOCUS DIVIDEND STRAT FD C	B	0.00	57.54	0.00	57.54 80.0 46.03
03/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.73	0.00	0.73 80.0 0.58
03/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.83	0.00	0.83 80.0 0.66
03/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.42	0.00	1.42 80.0 1.14
03/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	10.61	0.00	10.61 80.0 8.49
03/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.65	0.00	1.65 80.0 1.32
03/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	1.39	0.00	1.39 80.0 1.11
03/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	4.81	0.00	4.81 80.0 3.85
03/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	13.49	0.00	13.49 80.0 10.79

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
03/21/2018	mfc587889 KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	2.08	0.00	2.08	80.0	1.66
03/21/2018	mfc587889 KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	1.77	0.00	1.77	80.0	1.42
03/21/2018	mfc587889 KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	3.13	0.00	3.13	80.0	2.50
03/21/2018	mfc587889 KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	7.68	0.00	7.68	80.0	6.14
03/21/2018	mfc587889 KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	13.25	0.00	13.25	80.0	10.60
03/21/2018	mfc587889 KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	63.59	0.00	63.59	80.0	50.87
03/21/2018	mfc587889 KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	1.39	0.00	1.39	80.0	1.11
03/21/2018	mfc587889 KLEINBROOK 587889	MFT ALLIANZ NFJ SMALL CAP VALUE FD	B	0.00	2.19	0.00	2.19	80.0	1.75
03/21/2018	mfc587889 KLEINBROOK 587889	MFT AMCAP FUND-A	B	0.00	1.14	0.00	1.14	80.0	0.91
03/21/2018	mfc587889 KLEINBROOK 587889	MFT AMERICAN MUTUAL FUND-A	B	0.00	7.36	0.00	7.36	80.0	5.89
03/21/2018	mfc587889 KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	13.99	0.00	13.99	80.0	11.19
03/21/2018	mfc587889 KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	27.97	0.00	27.97	80.0	22.38
03/21/2018	mfc587889 KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	6.46	0.00	6.46	80.0	5.17
03/21/2018	mfc587889 KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER-FI	B	0.00	3.94	0.00	3.94	80.0	3.15
03/21/2018	mfc587889 KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	1.01	0.00	1.01	80.0	0.81
03/21/2018	mfc587889 KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	2.08	0.00	2.08	80.0	1.66
03/21/2018	mfc587889 KLEINBROOK 587889	MFT COLUMBIA THERMOSTAT FD A	B	0.00	6.28	0.00	6.28	80.0	5.02
03/21/2018	mfc587889 KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	3.99	0.00	3.99	80.0	3.19
03/21/2018	mfc587889 KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.24	0.00	5.24	80.0	4.19
03/21/2018	mfc587889 KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.26	0.00	5.26	80.0	4.21
03/21/2018	mfc587889 KLEINBROOK 587889	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	5.99	0.00	5.99	80.0	4.79
03/21/2018	mfc587889 KLEINBROOK 587889	MFT EMERALD BANKING & FINANCE FD CL	B	0.00	31.35	0.00	31.35	80.0	25.08
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	21.85	0.00	21.85	80.0	17.48
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	28.48	0.00	28.48	80.0	22.78
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	45.92	0.00	45.92	80.0	36.74
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	12.96	0.00	12.96	80.0	10.37
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	33.02	0.00	33.02	80.0	26.42
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	5.54	0.00	5.54	80.0	4.43
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	0.93	0.00	0.93	80.0	0.74
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	5.64	0.00	5.64	80.0	4.51
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INC	B	0.00	17.44	0.00	17.44	80.0	13.95
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADVISOR MUNI INCOME FD	B	0.00	59.91	0.00	59.91	80.0	47.93
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	3.19	0.00	3.19	80.0	2.55
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	8.19	0.00	8.19	80.0	6.55
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.13	0.00	1.13	80.0	0.90
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.15	0.00	11.15	80.0	8.92
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	18.58	0.00	18.58	80.0	14.86
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	3.91	0.00	3.91	80.0	3.13
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	19.84	0.00	19.84	80.0	15.87
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	21.68	0.00	21.68	80.0	17.34
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	26.72	0.00	26.72	80.0	21.38
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2030	B	0.00	22.14	0.00	22.14	80.0	17.71
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	67.62	0.00	67.62	80.0	54.10
03/21/2018	mfc587889 KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	3.50	0.00	3.50	80.0	2.80

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

				INVESTMENT		GROSS	CLEARING	NET	BROKER	
TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	28.89	0.00	28.89	80.0	23.11
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	56.77	0.00	56.77	80.0	45.42
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.02	0.00	2.02	80.0	1.62
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	14.57	0.00	14.57	80.0	11.66
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	16.05	0.00	16.05	80.0	12.84
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	11.04	0.00	11.04	80.0	8.83
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	16.10	0.00	16.10	80.0	12.88
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	22.11	0.00	22.11	80.0	17.69
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	26.64	0.00	26.64	80.0	21.31
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	4.88	0.00	4.88	80.0	3.90
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	15.29	0.00	15.29	80.0	12.23
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV GROWTH & INCOME CL	B	0.00	3.58	0.00	3.58	80.0	2.86
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	14.42	0.00	14.42	80.0	11.54
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	31.01	0.00	31.01	80.0	24.81
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	13.11	0.00	13.11	80.0	10.49
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	21.85	0.00	21.85	80.0	17.48
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	29.43	0.00	29.43	80.0	23.54
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	13.10	0.00	13.10	80.0	10.48
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	0.92	0.00	0.92	80.0	0.74
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.87	0.00	2.87	80.0	2.30
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	10.01	0.00	10.01	80.0	8.01
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	18.10	0.00	18.10	80.0	14.48
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	49.11	0.00	49.11	80.0	39.29
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR LTD TERM BOND	B	0.00	9.77	0.00	9.77	80.0	7.82
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 60%	B	0.00	51.68	0.00	51.68	80.0	41.34
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	5.15	0.00	5.15	80.0	4.12
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	21.86	0.00	21.86	80.0	17.49
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STK SEL ALL	B	0.00	36.49	0.00	36.49	80.0	29.19
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	5.71	0.00	5.71	80.0	4.57
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	9.59	0.00	9.59	80.0	7.67
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	13.72	0.00	13.72	80.0	10.98
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	58.82	0.00	58.82	80.0	47.06
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	10.79	0.00	10.79	80.0	8.63
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	16.51	0.00	16.51	80.0	13.21
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.47	0.00	1.47	80.0	1.18
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2025	B	0.00	51.64	0.00	51.64	80.0	41.31
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	21.04	0.00	21.04	80.0	16.83
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	38.37	0.00	38.37	80.0	30.70
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	9.80	0.00	9.80	80.0	7.84
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	6.55	0.00	6.55	80.0	5.24
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	2.77	0.00	2.77	80.0	2.22
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	5.80	0.00	5.80	80.0	4.64
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	5.80	0.00	5.80	80.0	4.64
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	8.52	0.00	8.52	80.0	6.82

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	12.50	0.00	12.50	80.0	10.00
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	19.64	0.00	19.64	80.0	15.71
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	23.98	0.00	23.98	80.0	19.18
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	33.76	0.00	33.76	80.0	27.01
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	72.89	0.00	72.89	80.0	58.31
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	74.76	0.00	74.76	80.0	59.81
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	76.12	0.00	76.12	80.0	60.90
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI INSD TAX FREE	B	0.00	0.89	0.00	0.89	80.0	0.71
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	6.85	0.00	6.85	80.0	5.48
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	39.00	0.00	39.00	80.0	31.20
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	77.53	0.00	77.53	80.0	62.02
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	5.81	0.00	5.81	80.0	4.65
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	5.98	0.00	5.98	80.0	4.78
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	50.16	0.00	50.16	80.0	40.13
03/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	3.54	0.00	3.54	80.0	2.83
03/21/2018	mfc587889	KLEINBROOK 587889	MFT GEORGE PUTNAM BALANCED FUND-A	B	0.00	0.58	0.00	0.58	80.0	0.46
03/21/2018	mfc587889	KLEINBROOK 587889	MFT GUGGENHEIM STYLEPLUS LARGE CORE	B	0.00	48.97	0.00	48.97	80.0	39.18
03/21/2018	mfc587889	KLEINBROOK 587889	MFT JOHN HANCOCK STRATEGIC GROWTH A	B	0.00	5.32	0.00	5.32	80.0	4.26
03/21/2018	mfc587889	KLEINBROOK 587889	MFT MAINSTAY TAX FREE BOND FD CL A	B	0.00	1.31	0.00	1.31	80.0	1.05
03/21/2018	mfc587889	KLEINBROOK 587889	MFT MERGER FD	B	0.00	0.72	0.00	0.72	80.0	0.58
03/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	11.34	0.00	11.34	80.0	9.07
03/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	27.28	0.00	27.28	80.0	21.82
03/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	4.16	0.00	4.16	80.0	3.33
03/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-C	B	0.00	17.43	0.00	17.43	80.0	13.94
03/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.03	0.00	0.03	80.0	0.02
03/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.05	0.00	0.05	80.0	0.04
03/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.12	0.00	0.12	80.0	0.10
03/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.67	0.00	0.67	80.0	0.54
03/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	17.32	0.00	17.32	80.0	13.86
03/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	68.56	0.00	68.56	80.0	54.85
03/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	167.16	0.00	167.16	80.0	133.73
03/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD FD CL A	B	0.00	0.05	0.00	0.05	80.0	0.04
03/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.03	0.00	0.03	80.0	0.02
03/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.05	0.00	0.05	80.0	0.04
03/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.05	0.00	0.05	80.0	0.04
03/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.05	0.00	0.05	80.0	0.04
03/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC CORE INCOME FD C	B	0.00	0.50	0.00	0.50	80.0	0.40
03/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC SHORT DURATION INC FD C	B	0.00	0.33	0.00	0.33	80.0	0.26
03/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC STRATEGIC INCOME FD C	B	0.00	0.82	0.00	0.82	80.0	0.66
03/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	0.28	0.00	0.28	80.0	0.22
03/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	4.41	0.00	4.41	80.0	3.53
03/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	15.62	0.00	15.62	80.0	12.50
03/21/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL QMA MIDCAP VALUE FD	B	0.00	14.41	0.00	14.41	80.0	11.53
03/21/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	44.84	0.00	44.84	80.0	35.87

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
03/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM 746444108	B	0.00	2.71	0.00	2.71	80.0	2.17
03/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	3.14	0.00	3.14	80.0	2.51
03/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	18.10	0.00	18.10	80.0	14.48
03/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM 746802206	B	0.00	5.37	0.00	5.37	80.0	4.30
03/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	2.88	0.00	2.88	80.0	2.30
03/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	9.87	0.00	9.87	80.0	7.90
03/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.24	0.00	0.24	80.0	0.19
03/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.40	0.00	1.40	80.0	1.12
03/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	2.27	0.00	2.27	80.0	1.82
03/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	6.63	0.00	6.63	80.0	5.30
03/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	8.84	0.00	8.84	80.0	7.07
03/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	8.85	0.00	8.85	80.0	7.08
03/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	19.79	0.00	19.79	80.0	15.83
03/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	34.04	0.00	34.04	80.0	27.23
03/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	56.31	0.00	56.31	80.0	45.05
03/21/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	61.64	0.00	61.64	80.0	49.31
03/21/2018	mfc587889 KLEINBROOK 587889	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	0.59	0.00	0.59	80.0	0.47
03/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMP GRWTH FND - CL C	B	0.00	16.95	0.00	16.95	80.0	13.56
03/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	3.48	0.00	3.48	80.0	2.78
03/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	8.98	0.00	8.98	80.0	7.18
03/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.07	0.00	7.07	80.0	5.66
03/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.08	0.00	7.08	80.0	5.66
03/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	28.08	0.00	28.08	80.0	22.46
03/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.16	0.00	4.16	80.0	3.33
03/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.56	0.00	4.56	80.0	3.65
03/21/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	10.36	0.00	10.36	80.0	8.29
03/21/2018	mfc587889 KLEINBROOK 587889	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.97	0.00	6.97	80.0	5.58
03/21/2018	mfc587889 KLEINBROOK 587889	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.27	0.00	6.27	80.0	5.02
03/21/2018	mfc587889 KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	B	0.00	0.79	0.00	0.79	80.0	0.63
03/21/2018	mfc587889 KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	B	0.00	1.18	0.00	1.18	80.0	0.94
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,977.92	\$0.00	\$2,977.92		\$2,382.32
*** CLEARING TRANSACTIONS TOTAL ***				\$9,373.93	\$3,302.92	\$72.50	\$3,230.42		\$2,584.32

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

03/14/2018	(None) VARIOUS, KLEINBROOK	MF FIDELITY ADVISOR FDS	B	0.00	13.51	0.00	13.51	80.0	10.81
03/15/2018	(None) JACOBFADI	MF PRUDENTIAL MUTUAL FUNDS	B	0.00	0.50	0.00	0.50	80.0	0.40
03/12/2018	000000000221299 FURMAN, VICKI	MF FRANKLIN MI TAX FR INCOME FUND	B	200.00	2.00	0.00	2.00	80.0	1.60
03/15/2018	00089905459 CHHEN, KIMBERLY	MF AMERICAN BALANCED FUND-C	B	450.00	4.50	0.00	4.50	80.0	3.60
* MUTUAL FUNDS SUBTOTAL *				\$650.00	\$20.51	\$0.00	\$20.51		\$16.41

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: FIXED ANNUITIES									
03/16/2018 (None)	PLAWECKI, JAE	FA MIDLAND NATL FIA	B	0.00	535.82	0.00	535.82	80.0	428.66
* FIXED ANNUITIES SUBTOTAL *				\$0.00	\$535.82	\$0.00	\$535.82		\$428.66
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
02/28/2018 (None)	VARIOUS, KLEINBROOK	MFT COLUMBIA THREADNEEDLE	B	0.00	23.99	0.00	23.99	80.0	19.19
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$23.99	\$0.00	\$23.99		\$19.19
*** DIRECT TRANSACTIONS TOTAL ***				\$650.00	\$580.32	\$0.00	\$580.32		\$464.26

ADJUSTMENTS

03/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
03/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-55.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,048.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,993.58
Year-to-date Commissions	\$24,348.89