

**COMMISSION STATEMENT****July 27, 2018**

**MICHAEL MELBY**  
 3200 N. Central Ave. #200  
 Phoenix, AZ 85012

**BROKER #: 73860**  
**BRANCH: 04-#70A11 HOME OFFIC**

| DATE | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | BROKER<br>COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

**Company: Securities America****BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

|                           |                        |            |               |                 |               |                 |                |
|---------------------------|------------------------|------------|---------------|-----------------|---------------|-----------------|----------------|
| 07/25/2018                | MELBY (73860), MICHAEL | SAI.TRAILS | 0.00          | 125.39          | 0.00          | 125.39          | 37.62          |
| * MUTUAL FUNDS SUBTOTAL * |                        |            | <u>\$0.00</u> | <u>\$125.39</u> | <u>\$0.00</u> | <u>\$125.39</u> | <u>\$37.62</u> |

**PRODUCT CATEGORY: RIA**

|                  |                        |           |               |                   |               |                   |                   |
|------------------|------------------------|-----------|---------------|-------------------|---------------|-------------------|-------------------|
| 07/25/2018       | MELBY (73860), MICHAEL | FEE BASED | 0.00          | 7,273.82          | 0.00          | 7,273.82          | 2,182.15          |
| 07/25/2018       | MELBY (73860), MICHAEL | FEE BASED | 0.00          | -291.18           | 0.00          | -291.18           | -87.35            |
| * RIA SUBTOTAL * |                        |           | <u>\$0.00</u> | <u>\$6,982.64</u> | <u>\$0.00</u> | <u>\$6,982.64</u> | <u>\$2,094.80</u> |

**PRODUCT CATEGORY: TRAILERS**

|                                   |                        |            |               |                   |               |                   |                   |
|-----------------------------------|------------------------|------------|---------------|-------------------|---------------|-------------------|-------------------|
| 07/25/2018                        | MELBY (73860), MICHAEL | SAI.TRAILS | 0.00          | 125.39            | 0.00          | 125.39            | 37.62             |
| * TRAILERS SUBTOTAL *             |                        |            | <u>\$0.00</u> | <u>\$125.39</u>   | <u>\$0.00</u> | <u>\$125.39</u>   | <u>\$37.62</u>    |
| *** BROKER TRANSACTIONS TOTAL *** |                        |            | <u>\$0.00</u> | <u>\$7,233.42</u> | <u>\$0.00</u> | <u>\$7,233.42</u> | <u>\$2,170.04</u> |

**ADJUSTMENTS**

|                           |  |  |               |               |               |               |                |
|---------------------------|--|--|---------------|---------------|---------------|---------------|----------------|
| 29. SIPC SAI STMT 7/20/18 |  |  |               |               |               |               | -0.01          |
| *** ADJUSTMENTS TOTAL *** |  |  | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$-0.01</u> |

COMMISSION STATEMENT for MICHAEL MELBY

| DATE | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | BROKER<br>COMMISSION                    |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|---|
|      |        |            |                      |                     |                    |                   | <u>BROKER COMMISSION TOTALS</u>         |
|      |        |            |                      |                     |                    |                   | Broker Transactions \$2,170.04          |
|      |        |            |                      |                     |                    |                   | Split Transactions \$0.00               |
|      |        |            |                      |                     |                    |                   | Override Transactions \$0.00            |
|      |        |            |                      |                     |                    |                   | Adjustments \$-0.01                     |
|      |        |            |                      |                     |                    |                   | Prior Period Balance \$0.00             |
|      |        |            |                      |                     |                    |                   | NASD Assessment \$0.00                  |
|      |        |            |                      |                     |                    |                   | SIPC Assessment \$0.00                  |
|      |        |            |                      |                     |                    |                   | <u>Check Amount \$2,170.03</u>          |
|      |        |            |                      |                     |                    |                   | <u>Year-to-date Earnings \$8,734.56</u> |