

**COMMISSION STATEMENT****April 30, 2018**

ROY, P123 PFLEGER II
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: P123
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254</u>								
03/31/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	222,338.39	32.35	0.00	32.35	75.0	24.26
04/18/2018	CLARKE, SAMIRA	JPMORGAN LARGE-CAP GROWTH FUND CLAS	10,000.00	35.00	7.70	27.30	75.0	20.48
04/10/2018	ABELLA, CARMELA	TRANSAMERICA B SHARE NY	140,000.00	3,430.00	0.00	3,430.00	75.0	2,572.50
* DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF SUBTOTAL *			\$372,338.39	\$3,497.35	\$7.70	\$3,489.65		\$2,617.24
*** SPLIT TRANSACTIONS TOTAL ***			\$372,338.39	\$3,497.35	\$7.70	\$3,489.65		\$2,617.24
<u>ADJUSTMENTS</u>								
04/30/2018		*PERSHING: PERSHING MARKUP	0.00					10.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$10.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$2,617.24
Override Transactions	\$0.00
Adjustments	\$10.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-270.91
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,356.83
Year-to-date Gross	\$89,108.32
Year-to-date Earnings	\$66,796.51