



Concorde Investment Services, LLC
COMMISSION STATEMENT
 January 17, 2017

01/13/2017
 Page No. 1

MARIA BONGIOVANNI
 2565 W. Maple Road

 Troy, MI 48084

BROKER #: B148 Terminated 12/31/2016
 BRANCH: SECURE ASSET FINANCI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/27/2016	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	90.0	1.35	0.00	1.35
12/27/2016	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	90.0	1.35	0.00	1.35
* MUTUAL FUNDS SUBTOTAL *			\$300.00	\$3.00		\$2.70	\$0.00	\$2.70
*** BROKER TRANSACTIONS TOTAL ***			\$300.00	\$3.00		\$2.70	\$0.00	\$2.70

SPLIT TRANSACTIONS

BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240

12/30/2016	POLL, KELLY	ALLIANZ VISION BASIC	73,735.06	23.04	90.0	20.74	0.00	20.74
01/03/2017	CROCKETT, DAVID	AM FUNDS AMERICAN BALANCED 529C	100.00	0.25	90.0	0.23	0.00	0.23
01/03/2017	CROCKETT, DAVID	AM FUNDS AMERICAN BALANCED 529C	100.00	0.25	90.0	0.23	0.00	0.23
12/28/2016	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.13	90.0	0.12	0.00	0.12
12/27/2016	KOCHANOWSKI, DONALD	FRANKLIN GROWTH OPPORTUNITIES FUND	200.00	0.50	90.0	0.45	0.00	0.45
/ /	CHISHOLM, DANIEL	JH 529 PORT 2029-2032 A	0.00	0.66	90.0	0.59	0.00	0.59
12/31/2016	REYNOLDS, BARBARA	NW DESTINATION B2 05	47,960.94	14.99	90.0	13.49	0.00	13.49
01/02/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	350.00	0.44	90.0	0.40	0.00	0.40
01/03/2017	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	0.31	90.0	0.28	0.00	0.28
/ /	CROCKETT, DAVID	OPPENHEIMER DEVELOPING MARKETS C	0.00	1.76	90.0	1.58	0.00	1.58
/ /	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	0.00	16.77	90.0	15.09	0.00	15.09
12/19/2016	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.13	90.0	0.12	0.00	0.12
/ /	CROCKETT, DAVID	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	3.43	90.0	3.09	0.00	3.09
/ /	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY	0.00	15.28	90.0	13.75	0.00	13.75
12/19/2016	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY	50.00	0.13	90.0	0.12	0.00	0.12
12/19/2016	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	0.38	90.0	0.34	0.00	0.34
12/31/2016	DAILEY, SUZANNE	PRU AS APEX II	17,934.67	2.80	90.0	2.52	0.00	2.52
12/31/2016	DAILEY, SUZANNE	PRU AS APEX II	17,934.67	11.21	90.0	10.09	0.00	10.09

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
12/31/2016	BONGIOVANNI, CLARICE	PRU AS APEX II	90,586.34	14.16	90.0	12.74	0.00	12.74
12/31/2016	BONGIOVANNI, CLARICE	PRU AS APEX II	90,586.34	56.62	90.0	50.96	0.00	50.96
12/31/2016	BOWLBY, TAMIE	PRU AS XTRA CREDIT SIX	49,165.99	7.68	90.0	6.91	0.00	6.91
12/31/2016	BOWLBY, TAMIE	PRU AS XTRA CREDIT SIX	49,165.99	7.68	90.0	6.91	0.00	6.91
12/31/2016	PIERCE, KATHLEEN	PRU DEFINED INCOME	159,568.62	99.73	90.0	89.76	0.00	89.76
12/23/2016	SHAYER, BARBARA	PRU PREMIER INVT A	70,450.93	924.67	90.0	832.20	0.00	832.20
12/31/2016	FOWLER, SHARON	PRU PREMIER INVT A	90,550.53	16.98	90.0	15.28	0.00	15.28
12/31/2016	WOOD, JOHN	PRU PREMIER INVT A	101,872.93	19.10	90.0	17.19	0.00	17.19
12/31/2016	WHITE, LAURA	PRU PREMIER INVT A	91,212.83	57.01	90.0	51.31	0.00	51.31
12/31/2016	TAMER, FIDAA	PRU PREMIER INVT A	12,075.60	2.27	90.0	2.04	0.00	2.04
12/31/2016	WILLER, KAREN	PRU PREMIER INVT A	29,157.94	5.47	90.0	4.92	0.00	4.92
12/31/2016	KIMMEL, JOSEPH	PRU PREMIER INVT A	54,814.60	10.28	90.0	9.25	0.00	9.25
12/31/2016	TURNER, CHRISTOPHER	PRU PREMIER INVT A	101,373.13	19.01	90.0	17.11	0.00	17.11
12/31/2016	LORENCZ, MARY	PRU PREMIER INVT A	20,428.53	3.83	90.0	3.45	0.00	3.45
12/31/2016	DINSMOORE, JERRY	PRU PREMIER INVT A	195,554.92	36.67	90.0	33.00	0.00	33.00
12/31/2016	YOUNG, MICHAEL	PRU PREMIER INVT A	45,692.55	8.57	90.0	7.71	0.00	7.71
12/31/2016	WHALEN, KIMBERLY	PRU PREMIER INVT A	69,857.08	13.10	90.0	11.79	0.00	11.79
12/31/2016	KMIOTEK, DIANE	PRU PREMIER INVT A	47,450.95	8.90	90.0	8.01	0.00	8.01
12/31/2016	LEWIS, JOANN	PRU PREMIER INVT VA C	11,194.29	7.00	90.0	6.30	0.00	6.30
12/31/2016	BAIRD, JASON	PRU PREMIER INVT VA C	18,042.62	11.28	90.0	10.15	0.00	10.15
12/31/2016	BEECH, KENNETH	PRU PREMIER INVT VA C	36,495.55	22.81	90.0	20.53	0.00	20.53
12/31/2016	BLACKWELL, RENAE	PRU PREMIER INVT VA C	79,779.26	49.86	90.0	44.87	0.00	44.87
12/31/2016	RICCI, SARAH	PRU PREMIER INVT VA C	34,696.08	21.69	90.0	19.52	0.00	19.52
12/31/2016	PIERCE, GARY	PRU PREMIER INVT VA C	103,998.23	65.00	90.0	58.50	0.00	58.50
12/31/2016	HARDIGAN, WILLIAM	PRU PREMIER INVT VA C	20,322.05	12.70	90.0	11.43	0.00	11.43
12/31/2016	ISOTALO, SUSAN	PRU PREMIER INVT VA C	27,249.28	17.03	90.0	15.33	0.00	15.33
12/27/2016	THOMAS, JILLIAN	PRU PREMIER RETIREMENT B	150.00	1.31	90.0	1.18	0.00	1.18
12/31/2016	SNOW, CONLEY	PRU PREMIER RETIREMENT B	79,641.83	12.45	90.0	11.21	0.00	11.21
12/31/2016	ANNONI, LINDA	PRU PREMIER RETIREMENT B	41,612.78	6.50	90.0	5.85	0.00	5.85
12/31/2016	WELCH, PATRICK	PRU PREMIER RETIREMENT B	177,898.75	27.80	90.0	25.02	0.00	25.02
12/31/2016	RUSSELL, LAWANDA	PRU PREMIER RETIREMENT B	58,065.24	9.07	90.0	8.16	0.00	8.16
12/31/2016	BENKERT, RICHARD	PRU PREMIER RETIREMENT B	285,278.59	44.58	90.0	40.12	0.00	40.12
12/31/2016	COOPER, FRANK	PRU PREMIER RETIREMENT B	64,808.58	10.13	90.0	9.12	0.00	9.12

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
12/31/2016	THURM, ANITA	PRU PREMIER RETIREMENT B	98,687.85	15.42	90.0	13.88	0.00	13.88
12/31/2016	ALBERT, ALLISON	PRU PREMIER RETIREMENT B	5,224.69	0.82	90.0	0.74	0.00	0.74
12/31/2016	BLACKWELL, KING	PRU PREMIER RETIREMENT L	120,751.02	75.47	90.0	67.92	0.00	67.92
12/31/2016	KOCHANOWSKI, DONALD	PRU PREMIER RETIREMENT L	216,097.95	135.06	90.0	121.55	0.00	121.55
12/31/2016	KING, HARRY	PRU PREMIER RETIREMENT L	140,951.68	88.10	90.0	79.29	0.00	79.29
12/31/2016	ALLIS, WAYNE	PRU PREMIER RETIREMENT L	168,798.88	105.50	90.0	94.95	0.00	94.95
12/31/2016	RUNION, TIMOTHY	PRU PREMIER RETIREMENT L	154,348.63	96.47	90.0	86.82	0.00	86.82
12/31/2016	VEITH, RICKY	PRU PREMIER RETIREMENT L	52,978.43	33.11	90.0	29.80	0.00	29.80
12/31/2016	SUGGATE, FRANK	PRU PREMIER RETIREMENT L	35,452.74	22.16	90.0	19.94	0.00	19.94
12/31/2016	BONGIOVANNI, JOSEPH	PRU PREMIER RETIREMENT L	11,275.97	7.05	90.0	6.35	0.00	6.35
12/31/2016	GABRIEL, WILLIAM	PRU PREMIER RETIREMENT L	85,413.80	53.38	90.0	48.04	0.00	48.04
12/31/2016	KMIOTEK, DIANE	PRU PREMIER RETIREMENT L	14,876.23	9.30	90.0	8.37	0.00	8.37
12/31/2016	THOMPSON, MARGARET	PRU PREMIER RETIREMENT L	123,050.88	76.91	90.0	69.22	0.00	69.22
12/31/2016	POTTER, NEIL	PRU PREMIER RETIREMENT L	158,160.74	98.85	90.0	88.97	0.00	88.97
12/31/2016	CARTER TRUST, ROXINE	PRU PREMIER RETIREMENT L	154,347.90	96.47	90.0	86.82	0.00	86.82
01/04/2017	BONGIOVANNI TOD, CLARICE	TRANSAMERICA AA CONSERVATIVE A	0.00	0.82	90.0	0.74	0.00	0.74
01/04/2017	BAIRD, ALEX	TRANSAMERICA AA GROWTH A	0.00	2.93	90.0	2.64	0.00	2.64
01/04/2017	COCHRILL, NATHAN	TRANSAMERICA AA GROWTH A	0.00	0.76	90.0	0.68	0.00	0.68
01/04/2017	PUVALOWSKI, WILLIAM	TRANSAMERICA AA GROWTH A	0.00	0.53	90.0	0.48	0.00	0.48
12/19/2016	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	0.30	90.0	0.27	0.00	0.27
01/03/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	0.30	90.0	0.27	0.00	0.27
01/04/2017	BAIRD, NATHAN	TRANSAMERICA AA MOD GROWTH A	0.00	4.14	90.0	3.73	0.00	3.73
01/04/2017	COCHRILL, MELANIE	TRANSAMERICA AA MOD GROWTH A	0.00	2.13	90.0	1.92	0.00	1.92
01/04/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	0.00	2.04	90.0	1.84	0.00	1.84
01/04/2017	COCHRILL, MELANIE	TRANSAMERICA AA MOD GROWTH A	0.00	0.62	90.0	0.56	0.00	0.56
01/04/2017	BONGIOVANNI, CLARICE	TRANSAMERICA AA MOD GROWTH A	0.00	1.08	90.0	0.97	0.00	0.97
01/04/2017	CROCKETT, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	0.92	90.0	0.83	0.00	0.83
12/01/2016	THOMPSON, MARGARET	TRANSAMERICA LIBERTY	17,786.38	3.71	90.0	3.34	0.00	3.34
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$4,125,795.04	\$2,657.75		\$2,391.99	\$0.00	\$2,391.99
*** SPLIT TRANSACTIONS TOTAL ***			\$4,125,795.04	\$2,657.75		\$2,391.99	\$0.00	\$2,391.99

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$2.70
Split Transactions	\$2,391.99
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$30.59
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,425.28
Year-to-date Gross	\$2,660.37
Year-to-date Earnings	\$2,394.69