



COMMISSION STATEMENT

July 30, 2018

SAMUEL BARBER
327 Church St
Naugatuck, CT 06770

BROKER #: B06
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/20/2018	***-**-67IO - FERNANDEZ, ANA	BLACKROCK EQUITY DIVIDEND FD CL C	2,654.09	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011946	SELL 119 Units @22.2200						
07/20/2018	***-**-67IO - FERNANDEZ, ANA	JPMORGAN LARGE CAP GROWTH CLASS C	899.99	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011946	SELL 25 Units @35.6900						
* MUTUAL FUNDS SUBTOTAL *			\$3,554.08	\$0.00	\$36.00	\$-36.00		\$-36.00
<u>PRODUCT CATEGORY: STOCKS</u>								
07/20/2018	***-**-20IO - BARBER, SAMUEL	BLACKROCK INC	2,011.12	54.00	9.03	44.97	75.0	33.73
	ACCOUNT #: LSF000307	BUY 4 Units @502.7800						
07/20/2018	***-**-7340 - RODESCHINI,	GENERAL ELECTRIC CO UNSOLICITED ORD	1,986.00	38.00	18.00	20.00	75.0	15.00
	ACCOUNT #: LSR011934	SELL 150 Units @13.2400						
07/25/2018	***-**-0634 - OATES, JOHN	USANA HEALTH SCIENCES INC	20,916.00	38.00	18.20	19.80	75.0	14.85
	ACCOUNT #: LSR012707	SELL 160 Units @130.7250						
07/25/2018	***-**-0634 - OATES, JOHN	USANA HEALTH SCIENCES INC	13,025.60	0.00	17.00	-17.00	100.0	-17.00
	ACCOUNT #: LSR012707	SELL 100 Units @130.2560						
07/20/2018	***-**-79IO - BARBER,	VANGUARD INDEX FDS VANGUARD TOTAL S	725.48	38.00	15.10	22.90	75.0	17.18
	ACCOUNT #: LSR012068	BUY 5 Units @145.0960						
* STOCKS SUBTOTAL *			\$38,664.20	\$168.00	\$77.33	\$90.67		\$63.76
<u>PRODUCT CATEGORY: RIA</u>								
07/26/2018	***-**-68FB - NILAND, MARY	LIFEMARK INVESTMENT ADVISORY FEE	8.77	8.77	0.00	8.77	75.0	6.58
	ACCOUNT #: 101299826	BUY 0 Units @0.0000						
07/26/2018	***-**-20FB - CAREY, EDWARD	LIFEMARK INVESTMENT ADVISORY FEE	9.74	9.74	0.00	9.74	75.0	7.31
	ACCOUNT #: 101319871	BUY 0 Units @0.0000						
07/26/2018	***-**-04FB - WARD, DANIELLE	LIFEMARK INVESTMENT ADVISORY FEE	17.56	17.56	0.00	17.56	75.0	13.17
	ACCOUNT #: 101318537	BUY 0 Units @0.0000						
07/26/2018	***-**-29FB - MOORE, ERIN	LIFEMARK INVESTMENT ADVISORY FEE	22.85	22.85	0.00	22.85	75.0	17.14
	ACCOUNT #: 101309538	BUY 0 Units @0.0000						
07/26/2018	***-**-3472 - ONG-VALENCIA,	LIFEMARK INVESTMENT ADVISORY FEE	23.38	23.38	0.00	23.38	75.0	17.54
	ACCOUNT #: 101305718	BUY 0 Units @0.0000						
07/26/2018	***-**-20FB - BARBER, SAMUEL	LIFEMARK INVESTMENT ADVISORY FEE	24.36	24.36	0.00	24.36	75.0	18.27
	ACCOUNT #: 101325569	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
07/26/2018	***-**-68FB - NILAND, MARY	LIFEMARK INVESTMENT ADVISORY FEE	25.01	25.01	0.00	25.01	75.0	18.76
	ACCOUNT #: 101299838	BUY 0 Units @0.0000						
07/26/2018	***-**-63FB - BARBER,	LIFEMARK INVESTMENT ADVISORY FEE	49.30	49.30	0.00	49.30	75.0	36.98
	ACCOUNT #: 101137382	BUY 0 Units @0.0000						
07/26/2018	***-**-41FB - FURNARY, TIMOTHY	LIFEMARK INVESTMENT ADVISORY FEE	63.06	63.06	0.00	63.06	75.0	47.30
	ACCOUNT #: 101291375	BUY 0 Units @0.0000						
07/26/2018	***-**-76FB - MANDEL, MICHAEL	LIFEMARK INVESTMENT ADVISORY FEE	91.32	91.32	0.00	91.32	75.0	68.49
	ACCOUNT #: 101302798	BUY 0 Units @0.0000						
07/26/2018	***-**-65FB - OAKLEY, EDWARD	LIFEMARK INVESTMENT ADVISORY FEE	149.74	149.74	0.00	149.74	75.0	112.31
	ACCOUNT #: 100990833	BUY 0 Units @0.0000						
07/26/2018	***-**-05FB - SMITH, MELANIE	LIFEMARK INVESTMENT ADVISORY FEE	162.71	162.71	0.00	162.71	75.0	122.03
	ACCOUNT #: 101291348	BUY 0 Units @0.0000						
07/26/2018	***-**-74IO - BRENNAN, JOHN	LIFEMARK INVESTMENT ADVISORY FEE	225.04	225.04	0.00	225.04	75.0	168.78
	ACCOUNT #: 101091155	BUY 0 Units @0.0000						
07/26/2018	***-**-95FB - GUTHRIE, RYAN	LIFEMARK INVESTMENT ADVISORY FEE	252.97	252.97	0.00	252.97	75.0	189.73
	ACCOUNT #: 101293948	BUY 0 Units @0.0000						
07/26/2018	***-**-2696 - IGNACIO, CECILIA	LIFEMARK INVESTMENT ADVISORY FEE	269.64	269.64	0.00	269.64	75.0	202.23
	ACCOUNT #: 101299004	BUY 0 Units @0.0000						
07/26/2018	***-**-94FB - FINELLI, NANCY	LIFEMARK INVESTMENT ADVISORY FEE	295.37	295.37	0.00	295.37	75.0	221.53
	ACCOUNT #: 101065148	BUY 0 Units @0.0000						
07/26/2018	***-**-12FB - NORIEGA, CARLOS	LIFEMARK INVESTMENT ADVISORY FEE	296.54	296.54	0.00	296.54	75.0	222.41
	ACCOUNT #: 101300883	BUY 0 Units @0.0000						
07/26/2018	***-**-95FB - GUTHRIE, RYAN	LIFEMARK INVESTMENT ADVISORY FEE	1.44	1.44	0.00	1.44	75.0	1.08
	ACCOUNT #: 101359002	BUY 0 Units @0.0000						
07/26/2018	***-**-70FB - LINHART, GENA	LIFEMARK INVESTMENT ADVISORY FEE	2.71	2.71	0.00	2.71	75.0	2.03
	ACCOUNT #: 101367910	BUY 0 Units @0.0000						
07/26/2018	***-**-70FB - LINHART, GENA	LIFEMARK INVESTMENT ADVISORY FEE	9.46	9.46	0.00	9.46	75.0	7.10
	ACCOUNT #: 101362578	BUY 0 Units @0.0000						
07/26/2018	***-**-60FB - JULIANO, MEGAN	LIFEMARK INVESTMENT ADVISORY FEE	47.75	47.75	0.00	47.75	75.0	35.81
	ACCOUNT #: 101356994	BUY 0 Units @0.0000						
07/26/2018	***-**-49FB - LINHART, ZACHARY	LIFEMARK INVESTMENT ADVISORY FEE	47.80	47.80	0.00	47.80	75.0	35.85
	ACCOUNT #: 101353795	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: RIA (continued)								
07/26/2018	***-**-60FB - JULIANO, MEGAN	LIFEMARK INVESTMENT ADVISORY FEE	56.54	56.54	0.00	56.54	75.0	42.41
	ACCOUNT #: 101360802	BUY 0 Units @0.0000						
07/26/2018	***-**-70FB - LINHART, GENA	LIFEMARK INVESTMENT ADVISORY FEE	0.26	0.26	0.00	0.26	75.0	0.20
	ACCOUNT #: 101362832	BUY 0 Units @0.0000						
07/26/2018	***-**-34FB - RODESCHINI,	MORNINGSTAR ADVISOR FEE	0.00	1,209.92	0.00	1,209.92	75.0	907.44
		BUY 1 Units @0.0000						
* RIA SUBTOTAL *			\$2,153.32	\$3,363.24	\$0.00	\$3,363.24		\$2,522.48
PRODUCT CATEGORY: 529 PLAN								
07/10/2018	***-**-0853 - BOYER, THOMAS	NYA JPM 529 AGGR AGE-BASE A	50.00	2.38	0.00	2.38	75.0	1.79
	ACCOUNT #: 77467864401	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$50.00	\$2.38	\$0.00	\$2.38		\$1.79
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/31/2018	***-**-71IO - ALBERTO, MICHAEL	BLACKROCK 09251M108	0.00	0.42	0.00	0.42	75.0	0.32
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
07/31/2018	***-**-7340 - RODESCHINI,	BLACKROCK EQUITY DIVIDEND FD CL C	0.00	29.57	0.00	29.57	75.0	22.18
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
07/31/2018	***-**-67IO - FERNANDEZ, ANA	BLACKROCK EQUITY DIVIDEND FD CL C	0.00	2.15	0.00	2.15	75.0	1.61
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
07/31/2018	***-**-2440 - RICHTER, DIANA	BLACKROCK MULTI - ASSET INCOME FUND	0.00	26.54	0.00	26.54	75.0	19.91
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
07/31/2018	***-**-63IO - BARBER,	BLACKROCK STRATEGIC INC OPP PORT CL	0.00	0.14	0.00	0.14	75.0	0.11
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
07/31/2018	***-**-2440 - RICHTER, DIANA	LORD ABBETT SHORT DURATION INCOME C	0.00	11.12	0.00	11.12	75.0	8.34
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
07/31/2018	***-**-7340 - RODESCHINI,	OPPENHEIMER GLOBAL CLASS C	0.00	25.21	0.00	25.21	75.0	18.91
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$95.15	\$0.00	\$95.15		\$71.38
*** BROKER TRANSACTIONS TOTAL ***			\$44,421.60	\$3,628.77	\$113.33	\$3,515.44		\$2,623.41



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$2,623.41
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,623.41
						Year-to-date Earnings		\$35,847.06