



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**November 15, 2017**

11/13/2017  
Page No. 1

BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-DONAIS

BROKER #: BBJ  
BRANCH: TRUWEALTH FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^10/27/2017	MONETTE, RONALD	HPI REAL ESTATE FUND V LLC	82,000.00	0.00	0.00	0.00	100.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$82,000.00	\$0.00	\$0.00	\$0.00		\$0.00
		*** CLEARING TRANSACTIONS TOTAL ***	\$82,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
^11/10/2017	MONETTE, RONALD	HPI FUND V	82,000.00	4,920.00	0.00	4,920.00	100.0	0.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$82,000.00	\$4,920.00	\$0.00	\$4,920.00		\$0.00
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
^10/02/2017	LEE-NORBY-DONAIS, VARIOUS-BBJ	VOYA 529 AGE 5-8 OPTION CL A	50.00	2.13	0.00	2.13	100.0	0.00
		* 529 PLANS SUBTOTAL *	\$50.00	\$2.13	\$0.00	\$2.13		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^11/01/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	7.53	0.00	7.53	100.0	0.00
^11/01/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	12.95	0.00	12.95	100.0	0.00
^11/01/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	8.58	0.00	8.58	100.0	0.00
^11/01/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	6.65	0.00	6.65	100.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$1,020.00	\$35.71	\$0.00	\$35.71		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^09/30/2017	LEE-NORBY-DONAIS, VARIOUS-BBJ	VOYA TRAILS	0.00	0.80	0.00	0.80	100.0	0.00
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$0.80	\$0.00	\$0.80		\$0.00
		*** DIRECT TRANSACTIONS TOTAL ***	\$83,070.00	\$4,958.64	\$0.00	\$4,958.64		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
11/15/2017		*CONCIERGE SVC: 201122 202372 20278	0.00					0.00
11/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed