

COMMISSION STATEMENT**January 18, 2018**

SYLVIA LUM
 209 Broadway Avenue
 Millbrae, CA 94030

BROKER #: 73881
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>Company: Securities America</u>							
<u>BROKER TRANSACTIONS</u>							
<u>PRODUCT CATEGORY: RIA</u>							
01/18/2018	LUM (73881), SYLVIA	SAI ADVISORY INCOME (CUE RIA)	0.00	483.23	386.58	0.00	386.58
		* RIA SUBTOTAL *	\$0.00	\$483.23	\$386.58	\$0.00	\$386.58
<u>PRODUCT CATEGORY: TRAILERS</u>							
01/18/2018	LUM (73881), SYLVIA	SAI.TRAILS	0.00	335.81	268.65	0.00	268.65
		* TRAILERS SUBTOTAL *	\$0.00	\$335.81	\$268.65	\$0.00	\$268.65
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$819.04	\$655.23	\$0.00	\$655.23

BROKER COMMISSION TOTALS

Broker Transactions	\$655.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$655.23
Year-to-date Earnings	\$1,626.06