

COMMISSION STATEMENT

December 31, 2017

VAN DREGGE OLMSTEAD
10 RICHARDS DRIVE

BROKER #: 68
BRANCH: HOME OFFICE

WILMINGTON, DE 19810

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
12/04/2017	75501463	ZWASKIS, CAROLYN	**VIRTUS GLOBAL OPPORTUNITIES	B	225.00	0.00	0.00	70.0	0.00
12/05/2017	75501498	LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES	S	6,500.00	0.00	0.00	70.0	0.00
12/05/2017	75501498	LESTARDO, AVON	**VIRTUS MULTI ASSET TREND	S	5,042.82	0.00	0.00	70.0	0.00
12/21/2017	75501910	RUTTER, DOUGLAS	**VIRTUS GLOBAL OPPORTUNITIES	B	2,500.00	25.00	25.00	70.0	17.50
12/21/2017	75501911	RUTTER, KENNETH	**VIRTUS GLOBAL OPPORTUNITIES	B	2,500.00	25.00	25.00	70.0	17.50
* MUTUAL FUNDS SUBTOTAL *					\$16,767.82	\$50.00	\$0.00	\$50.00	\$35.00

PRODUCT CATEGORY: STOCKS

11/30/2017	75501475	PETRONIS, GEORGE	BOEING CO	S	27,500.00	105.00	0.00	105.00	70.0	73.50
11/30/2017	75501475	PETRONIS, GEORGE	VISTA OUTDOOR INC COM	B	2,830.00	99.58	0.00	99.58	70.0	69.71
12/15/2017	75501726	PENN, SHELDON	ENTERPRISE PRODUCTS PARTNERS LP	B	2,800.00	79.07	0.00	79.07	70.0	55.35
12/04/2017	75501727	PENN, SHELDON	FACEBOOK INC CL A	S	9,431.71	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501727	PENN, SHELDON	AMAZON.COM INC	S	5,887.55	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501727	PENN, SHELDON	EPAM SYSTEMS INC	B	7,027.35	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501727	PENN, SHELDON	HOME DEPOT INC	B	7,539.76	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501727	PENN, SHELDON	MARRIOTT INTL INC NEW CL A	B	7,379.57	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501773	SMITH, GLENN	FACEBOOK INC CL A	S	6,002.00	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501773	SMITH, GLENN	AMAZON.COM INC	S	5,887.55	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501773	SMITH, GLENN	EPAM SYSTEMS INC	B	4,324.52	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501773	SMITH, GLENN	HOME DEPOT INC	B	4,712.35	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501773	SMITH, GLENN	MARRIOTT INTL INC NEW CL A	B	4,696.09	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501778	VORMWALD, G ROBERT	FACEBOOK INC CL A	S	16,291.13	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501778	VORMWALD, G ROBERT	AMAZON.COM INC	S	5,887.55	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501778	VORMWALD, G ROBERT	EPAM SYSTEMS INC	B	11,892.43	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501778	VORMWALD, G ROBERT	HOME DEPOT INC	B	12,252.11	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501778	VORMWALD, G ROBERT	MARRIOTT INTL INC NEW CL A	B	12,075.66	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501779	OLMSTEAD, VAN	FACEBOOK INC CL A	S	6,002.00	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501779	OLMSTEAD, VAN	AMAZON.COM INC	S	5,887.55	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501779	OLMSTEAD, VAN	EPAM SYSTEMS INC	B	4,324.52	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501779	OLMSTEAD, VAN	HOME DEPOT INC	B	4,712.35	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501779	OLMSTEAD, VAN	MARRIOTT INTL INC NEW CL A	B	4,696.09	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/05/2017	75501782 HALLAM, DONALD	SPDR S&P 500 ETF TRUST	S	6,321.84	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501783 HALLAM, DONALD	FACEBOOK INC CL A	S	24,865.41	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501783 HALLAM, DONALD	AMAZON.COM INC	S	17,662.64	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501783 HALLAM, DONALD	EPAM SYSTEMS INC	B	18,919.78	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501783 HALLAM, DONALD	HOME DEPOT INC	B	18,849.40	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501783 HALLAM, DONALD	MARRIOTT INTL INC NEW CL A	B	18,784.36	0.00	0.00	0.00	70.0	0.00
12/01/2017	75501787 PETRONIS, GEORGE	EMBRAER S A ADR EACH REP 4 COM STK	B	3,800.00	113.06	0.00	113.06	70.0	79.14
12/04/2017	75501790 SZANTO, PETER	FACEBOOK INC CL A	S	8,574.28	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501790 SZANTO, PETER	AMAZON.COM INC	S	5,887.55	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501790 SZANTO, PETER	EPAM SYSTEMS INC	B	6,486.78	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501790 SZANTO, PETER	HOME DEPOT INC	B	6,597.29	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501790 SZANTO, PETER	MARRIOTT INTL INC NEW CL A	B	6,037.83	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501791 SZANTO, PETER	ISHARES 7 TO 10 YEAR TREASURY BOND	B	3,175.35	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501791 SZANTO, PETER	ISHARES FLOATING RATE BOND ETF	B	3,156.41	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501791 SZANTO, PETER	ISHARES IBOXX \$ INVESTMENT GRADE CO B		3,143.40	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501791 SZANTO, PETER	ISHARES IBOXX \$ HIGH YIELD CORPORAT B		3,232.60	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501791 SZANTO, PETER	ISHARES MBS ETF	S	15,536.23	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501791 SZANTO, PETER	ISHARES TIPS BOND ETF	B	3,182.06	0.00	0.00	0.00	70.0	0.00
12/11/2017	75501791 SZANTO, PETER	ISHARES 7 TO 10 YEAR TREASURY BOND	S	3,070.96	0.00	0.00	0.00	70.0	0.00
12/11/2017	75501791 SZANTO, PETER	ISHARES FLOATING RATE BOND ETF	S	3,054.00	0.00	0.00	0.00	70.0	0.00
12/11/2017	75501791 SZANTO, PETER	ISHARES IBOXX \$ INVESTMENT GRADE CO S		3,147.82	0.00	0.00	0.00	70.0	0.00
12/11/2017	75501791 SZANTO, PETER	ISHARES IBOXX \$ HIGH YIELD CORPORAT S		3,056.55	0.00	0.00	0.00	70.0	0.00
12/11/2017	75501791 SZANTO, PETER	ISHARES MBS ETF	B	15,650.81	0.00	0.00	0.00	70.0	0.00
12/11/2017	75501791 SZANTO, PETER	ISHARES TIPS BOND ETF	S	3,068.15	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791 SZANTO, PETER	ISHARES 7 TO 10 YEAR TREASURY BOND	B	105.15	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791 SZANTO, PETER	ISHARES INC MSCI EUROZONE ETF	S	2,737.99	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791 SZANTO, PETER	ISHARES MBS ETF	B	106.34	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791 SZANTO, PETER	ISHARES MSCI SWITZERLAND CAPPED ETF	S	1,441.15	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791 SZANTO, PETER	ISHARES MSCI PACIFIC EX JAPAN ETF	S	1,135.92	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791 SZANTO, PETER	ISHARES MSCI CANADA ETF	S	1,025.24	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791 SZANTO, PETER	ISHARES MSCI EMERGING MARKETS ETF	S	2,553.93	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791 SZANTO, PETER	ISHARES TRUST ISHARES MSCI UNITED K B		9,448.22	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791 SZANTO, PETER	ISHARES U S REAL ESTATE ETF	B	1,204.46	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791 SZANTO, PETER	ISHARES U S HEALTHCARE ETF	S	1,223.81	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791 SZANTO, PETER	ISHARES U S FINANCIALS ETF	S	1,191.80	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791 SZANTO, PETER	ISHARES U S INDUSTRIALS ETF	S	1,323.81	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791 SZANTO, PETER	ISHARES U S TECHNOLOGY ETF	S	2,939.58	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791 SZANTO, PETER	SECTOR SPDR TRUST SBI CONSUMER STAP S		171.04	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791 SZANTO, PETER	SELECT SECTOR SPDR TRUST SHS BEN IN B		1,670.61	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791 SZANTO, PETER	SELECT SECTOR SPDR TRUST THE UTILIT S		209.12	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
12/26/2017	75501791	SZANTO, PETER	SELECT SECTOR SPDR FUND SHS BEN CON S	1,190.31	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791	SZANTO, PETER	SELECT SECTOR SPDR FUND SHS BEN INT S	1,085.94	0.00	0.00	0.00	70.0	0.00
12/26/2017	75501791	SZANTO, PETER	SPDR GOLD TR GOLD SHS	730.80	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501805	BARBARAS, GLEN	FACEBOOK INC CL A	6,002.00	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501805	BARBARAS, GLEN	AMAZON.COM INC	5,887.55	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501805	BARBARAS, GLEN	EPAM SYSTEMS INC	4,865.09	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501805	BARBARAS, GLEN	HOME DEPOT INC	4,712.35	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501805	BARBARAS, GLEN	MARRIOTT INTL INC NEW CL A	4,696.09	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501808	WRIGHT, PAMELA	FACEBOOK INC CL A	4,287.14	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501808	WRIGHT, PAMELA	EPAM SYSTEMS INC	3,243.39	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501808	WRIGHT, PAMELA	HOME DEPOT INC	2,827.41	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501808	WRIGHT, PAMELA	MARRIOTT INTL INC NEW CL A	3,354.35	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501817	GELLIS, HOWARD	FACEBOOK INC CL A	33,439.69	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501817	GELLIS, HOWARD	AMAZON.COM INC	23,550.19	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501817	GELLIS, HOWARD	EPAM SYSTEMS INC	24,865.99	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501817	GELLIS, HOWARD	HOME DEPOT INC	25,446.69	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501817	GELLIS, HOWARD	MARRIOTT INTL INC NEW CL A	24,822.19	0.00	0.00	0.00	70.0	0.00
12/05/2017	75501818	GELLIS, HOWARD	FIDELITY COVINGTON TR MSCI MATERIAL S	27,076.36	0.00	0.00	0.00	70.0	0.00
12/05/2017	75501818	GELLIS, HOWARD	FIDELITY COVINGTON TRUST FIDELITY M S	27,287.79	0.00	0.00	0.00	70.0	0.00
12/05/2017	75501818	GELLIS, HOWARD	FIDELITY COVINGTON TR MSCI INFORMAT S	24,725.31	0.00	0.00	0.00	70.0	0.00
12/05/2017	75501818	GELLIS, HOWARD	FIDELITY COVINGTON TR MSCI UTILITIE S	27,958.20	0.00	0.00	0.00	70.0	0.00
12/05/2017	75501818	GELLIS, HOWARD	FIDELITY COVINGTON TR MSCI TELECOMM B	74,285.81	0.00	0.00	0.00	70.0	0.00
12/05/2017	75501818	GELLIS, HOWARD	FIDELITY MSCI INDUSTRIALS INDEX ETF S	28,692.59	0.00	0.00	0.00	70.0	0.00
12/05/2017	75501818	GELLIS, HOWARD	FIDELITY MSCI ENERGY INDEX ETF	75,337.96	0.00	0.00	0.00	70.0	0.00
12/05/2017	75501818	GELLIS, HOWARD	FIDELITY MSCI HEALTH CARE INDEX ETF S	27,836.06	0.00	0.00	0.00	70.0	0.00
12/05/2017	75501818	GELLIS, HOWARD	FIDELITY MSCI CONSUMER DISCRETIONAR S	32,752.58	0.00	0.00	0.00	70.0	0.00
12/05/2017	75501818	GELLIS, HOWARD	FIDELITY MSCI CONSUMER STAPLES INDE B	76,139.00	0.00	0.00	0.00	70.0	0.00
12/05/2017	75501818	GELLIS, HOWARD	FIDELITY MSCI FINANCIALS INDEX ETF	30,252.90	0.00	0.00	0.00	70.0	0.00
12/05/2017	75501820	KLAHN, ROBERT	SPDR S&P 500 ETF TRUST	8,950.50	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501822	KLAHN, ROBERT	FACEBOOK INC CL A	6,859.42	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501822	KLAHN, ROBERT	AMAZON.COM INC	5,887.55	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501822	KLAHN, ROBERT	EPAM SYSTEMS INC	5,405.65	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501822	KLAHN, ROBERT	HOME DEPOT INC	4,712.35	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501822	KLAHN, ROBERT	MARRIOTT INTL INC NEW CL A	5,366.96	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501858	REMINGTON, THOMAS	FACEBOOK INC CL A	15,433.70	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501858	REMINGTON, THOMAS	AMAZON.COM INC	11,775.10	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501858	REMINGTON, THOMAS	EPAM SYSTEMS INC	11,351.87	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501858	REMINGTON, THOMAS	HOME DEPOT INC	11,309.64	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501858	REMINGTON, THOMAS	MARRIOTT INTL INC NEW CL A	11,404.79	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501861	BENJAMIN, WAYNE	FACEBOOK INC CL A	7,716.85	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/21/2017	75501861	BENJAMIN, WAYNE							
		AMAZON.COM INC	S	5,887.55	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501861	BENJAMIN, WAYNE							
		EPAM SYSTEMS INC	B	5,405.65	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501861	BENJAMIN, WAYNE							
		HOME DEPOT INC	B	5,654.82	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501861	BENJAMIN, WAYNE							
		MARRIOTT INTL INC NEW CL A	B	5,366.96	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501870	ESKENAZI, PHOEBE							
		FACEBOOK INC CL A	S	4,287.14	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501870	ESKENAZI, PHOEBE							
		EPAM SYSTEMS INC	B	3,243.39	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501870	ESKENAZI, PHOEBE							
		HOME DEPOT INC	B	2,827.41	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501870	ESKENAZI, PHOEBE							
		MARRIOTT INTL INC NEW CL A	B	3,354.35	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501884	MARTIN, KATHY							
		FACEBOOK INC CL A	S	11,146.56	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501884	MARTIN, KATHY							
		AMAZON.COM INC	S	5,887.55	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501884	MARTIN, KATHY							
		EPAM SYSTEMS INC	B	8,108.48	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501884	MARTIN, KATHY							
		HOME DEPOT INC	B	8,482.23	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501884	MARTIN, KATHY							
		MARRIOTT INTL INC NEW CL A	B	8,050.44	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501888	KAYLOR, KEVIN							
		FACEBOOK INC CL A	S	2,572.28	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501888	KAYLOR, KEVIN							
		EAGLE MATERIALS INC	S	553.00	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501888	KAYLOR, KEVIN							
		EPAM SYSTEMS INC	B	1,621.70	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501888	KAYLOR, KEVIN							
		HOME DEPOT INC	B	1,884.94	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501888	KAYLOR, KEVIN							
		J P MORGAN CHASE & CO	S	540.08	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501888	KAYLOR, KEVIN							
		LOCKHEED MARTIN CORP	S	1,588.95	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501888	KAYLOR, KEVIN							
		MARRIOTT INTL INC NEW CL A	B	2,012.61	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501888	KAYLOR, KEVIN							
		PARKER HANNIFIN CORP	S	996.70	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501888	KAYLOR, KEVIN							
		SERVICENOW INC COM	S	645.71	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501888	KAYLOR, KEVIN							
		THOR INDS INC	B	763.19	0.00	0.00	0.00	70.0	0.00
12/12/2017	75501889	KAYLOR, KEVIN							
		SPDR S&P 500 ETF TRUST	S	6,136.17	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501890	KAYLOR, KEVIN							
		FACEBOOK INC CL A	S	13,718.85	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501890	KAYLOR, KEVIN							
		AMAZON.COM INC	S	11,775.10	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501890	KAYLOR, KEVIN							
		EPAM SYSTEMS INC	B	10,270.74	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501890	KAYLOR, KEVIN							
		HOME DEPOT INC	B	10,367.17	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501890	KAYLOR, KEVIN							
		MARRIOTT INTL INC NEW CL A	B	10,733.92	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501914	NATASHA RAJ AHUJA							
		FACEBOOK INC CL A	S	6,859.42	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501914	NATASHA RAJ AHUJA							
		AMAZON.COM INC	S	5,887.55	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501914	NATASHA RAJ AHUJA							
		EPAM SYSTEMS INC	B	5,405.65	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501914	NATASHA RAJ AHUJA							
		HOME DEPOT INC	B	4,712.35	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501914	NATASHA RAJ AHUJA							
		MARRIOTT INTL INC NEW CL A	B	5,366.96	0.00	0.00	0.00	70.0	0.00
12/05/2017	75501925	HOWELL, WILLIAM							
		ISHARES S&P500 GROWTH INDEX FD	S	2,419.04	0.00	0.00	0.00	70.0	0.00
12/05/2017	75501925	HOWELL, WILLIAM							
		SPDR S&P 500 ETF TRUST	S	2,643.13	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501946	ANYA P AHUJA 1999							
		FACEBOOK INC CL A	S	6,859.42	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501946	ANYA P AHUJA 1999							
		AMAZON.COM INC	S	5,887.55	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501946	ANYA P AHUJA 1999							
		EPAM SYSTEMS INC	B	5,405.65	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501946	ANYA P AHUJA 1999							
		HOME DEPOT INC	B	4,712.35	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/21/2017	75501946 ANYA P AHUJA 1999	MARRIOTT INTL INC NEW CL A	B	5,366.96	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501953 MCCRAY, ROBIN	FACEBOOK INC CL A	S	3,429.71	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501953 MCCRAY, ROBIN	BECTON DICKINSON & CO	S	1,093.33	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501953 MCCRAY, ROBIN	CELANESE CORPORATION SERIES A	S	534.26	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501953 MCCRAY, ROBIN	CHARLES RIV LABORATORIES INTL INC C S	S	542.35	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501953 MCCRAY, ROBIN	CUMMINS INC	S	870.10	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501953 MCCRAY, ROBIN	EPAM SYSTEMS INC	B	2,162.26	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501953 MCCRAY, ROBIN	HEICO CORPORATION	S	474.61	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501953 MCCRAY, ROBIN	HOME DEPOT INC	B	2,827.41	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501953 MCCRAY, ROBIN	ILLINOIS TOOL WORKS INC	S	842.95	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501953 MCCRAY, ROBIN	J P MORGAN CHASE & CO	S	540.08	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501953 MCCRAY, ROBIN	LEAR CORPORATION	S	904.41	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501953 MCCRAY, ROBIN	LOCKHEED MARTIN CORP	S	1,588.95	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501953 MCCRAY, ROBIN	MARRIOTT INTL INC NEW CL A	B	2,683.48	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501953 MCCRAY, ROBIN	NORTHROP GRUMMAN CORP	S	1,523.61	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501953 MCCRAY, ROBIN	PARKER HANNIFIN CORP	S	996.70	0.00	0.00	0.00	70.0	0.00
12/04/2017	75501960 BLUE PELICAN LLC	FACEBOOK INC CL A	S	25,722.84	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501960 BLUE PELICAN LLC	AMAZON.COM INC	S	17,662.64	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501960 BLUE PELICAN LLC	EPAM SYSTEMS INC	B	19,460.34	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501960 BLUE PELICAN LLC	HOME DEPOT INC	B	18,849.40	0.00	0.00	0.00	70.0	0.00
12/21/2017	75501960 BLUE PELICAN LLC	MARRIOTT INTL INC NEW CL A	B	19,455.23	0.00	0.00	0.00	70.0	0.00
* STOCKS SUBTOTAL *				\$1,484,889.95	\$396.71	\$0.00	\$396.71		\$277.70
<u>PRODUCT CATEGORY: OPTIONS</u>									
12/04/2017	75501726 PENN, SHELDON	CALL CMI 03/16/18 160	B	3,570.00	83.56	0.00	83.56	70.0	58.49
12/04/2017	75501726 PENN, SHELDON	CALL EBAY 02/16/18 34	S	705.00	37.50	0.00	37.50	70.0	26.25
12/11/2017	75501726 PENN, SHELDON	CALL ALNY 12/15/17 135	B	380.00	37.50	0.00	37.50	70.0	26.25
12/11/2017	75501726 PENN, SHELDON	CALL NOW 02/16/18 120	S	2,490.00	72.26	0.00	72.26	70.0	50.58
12/11/2017	75501726 PENN, SHELDON	PUT NCR 04/20/18 34	B	1,170.00	43.88	0.00	43.88	70.0	30.72
12/18/2017	75501726 PENN, SHELDON	CALL AXP 01/19/18 87.50	S	3,780.00	85.75	0.00	85.75	70.0	60.03
12/18/2017	75501726 PENN, SHELDON	CALL ESRX 05/18/18 67.50	B	2,385.00	70.67	0.00	70.67	70.0	49.47
12/26/2017	75501726 PENN, SHELDON	CALL DECK 03/16/18 75	B	2,520.00	72.62	0.00	72.62	70.0	50.83
* OPTIONS SUBTOTAL *				\$17,000.00	\$503.74	\$0.00	\$503.74		\$352.62
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/31/2017	(None) VARIOUS, OLMSTEAD	JOHN HANCOCK	B	0.00	100.00	0.00	100.00	70.0	70.00
12/31/2017	(None) VARIOUS, OLMSTEAD	JOHN HANCOCK	B	0.00	292.73	0.00	292.73	70.0	204.91
12/31/2017	(None) VARIOUS, OLMSTEAD	NATIONWIDE	B	0.00	35.74	0.00	35.74	70.0	25.02
12/31/2017	(None) VARIOUS, OLMSTEAD	NATIONWIDE	B	0.00	36.34	0.00	36.34	70.0	25.44
12/31/2017	(None) VARIOUS, OLMSTEAD	RBC	B	0.00	20,098.33	0.00	20,098.33	70.0	14,068.83

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$20,563.14	\$0.00	\$20,563.14		\$14,394.20	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/11/2017	75501393	BORISH, MURIEL	**VIRTUS MULTI SECTOR SHORT	B	0.00	18.15	0.00	18.15	70.0	12.71
12/11/2017	75501461	OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	2.36	0.00	2.36	70.0	1.65
12/11/2017	75501462	OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	0.81	0.00	0.81	70.0	0.00
12/11/2017	75501463	ZWASKIS, CAROLYN	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	0.90	0.00	0.90	70.0	0.00
12/11/2017	75501474	PETRONIS, GEORGE	**VIRTUS MULTI SECTOR SHORT	B	0.00	16.88	0.00	16.88	70.0	11.82
12/11/2017	75501498	LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.06	0.00	9.06	70.0	6.34
12/11/2017	75501498	LESTARDO, AVON	**VIRTUS MULTI ASSET TREND	B	0.00	1.03	0.00	1.03	70.0	0.72
12/11/2017	75501498	LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	2.74	0.00	2.74	70.0	1.92
12/11/2017	75501498	LESTARDO, AVON	FRANKLIN INCOME FUND CLASS A	B	0.00	0.05	0.00	0.05	70.0	0.00
12/11/2017	75501499	LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	0.48	0.00	0.48	70.0	0.00
12/11/2017	75501499	LESTARDO, AVON	**VIRTUS MULTI SECTOR SHORT	B	0.00	1.99	0.00	1.99	70.0	1.39
12/11/2017	75501499	LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	2.07	0.00	2.07	70.0	1.45
12/11/2017	75501499	LESTARDO, AVON	FEDERATED WORLD INVT SER INC INTL S B	B	0.00	11.34	0.00	11.34	70.0	7.94
12/11/2017	75501499	LESTARDO, AVON	FRANKLIN INCOME FUND CLASS A	B	0.00	1.69	0.00	1.69	70.0	1.18
12/11/2017	75501502	MATHEUS, JEANMARIE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.61	0.00	3.61	70.0	2.53
12/11/2017	75501610	VORMWALD, G ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	4.34	0.00	4.34	70.0	3.04
12/11/2017	75501610	VORMWALD, G ROBERT	FRK UTIL FND - CL A	B	0.00	2.60	0.00	2.60	70.0	1.82
12/11/2017	75501611	VORMWALD, G ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	1.45	0.00	1.45	70.0	1.02
12/11/2017	75501612	VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	3.47	0.00	3.47	70.0	2.43
12/11/2017	75501613	VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	1.16	0.00	1.16	70.0	0.81
12/11/2017	75501614	VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	8.67	0.00	8.67	70.0	6.07
12/11/2017	75501614	VORMWALD, KATHLEEN	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	12.65	0.00	12.65	70.0	8.86
12/11/2017	75501726	PENN, SHELDON	**VIRTUS MULTI SECTOR SHORT	B	0.00	18.50	0.00	18.50	70.0	12.95
12/11/2017	75501726	PENN, SHELDON	JANUS GLOBAL UNCONSTRAINED BOND FUN B	B	0.00	4.21	0.00	4.21	70.0	2.95
12/11/2017	75501729	PENN, ELAYNE	**VIRTUS EQUITY TREND FUND	B	0.00	4.26	0.00	4.26	70.0	2.98
12/11/2017	75501729	PENN, ELAYNE	**VIRTUS MULTI SECTOR SHORT	B	0.00	6.38	0.00	6.38	70.0	4.47
12/11/2017	75501729	PENN, ELAYNE	GOLDMAN SACHS TR RISING DIVIDEND GR B	B	0.00	1.79	0.00	1.79	70.0	1.25
12/11/2017	75501729	PENN, ELAYNE	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	23.95	0.00	23.95	70.0	16.77
12/11/2017	75501730	PENN, ELAYNE	FRANKLIN INCOME FUND CLASS C	B	0.00	1.33	0.00	1.33	70.0	0.93
12/11/2017	75501735	GARNETT, ALFRED	DAVIS NEW YORK VENTURE CLASS A	B	0.00	3.23	0.00	3.23	70.0	2.26
12/11/2017	75501775	SMITH, GLENN	**VIRTUS EQUITY TREND FUND	B	0.00	5.67	0.00	5.67	70.0	3.97
12/11/2017	75501787	PETRONIS, GEORGE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	20.71	0.00	20.71	70.0	14.50
12/11/2017	75501792	WITMAN, RUSSELL	**VIRTUS MULTI SECTOR SHORT	B	0.00	1.77	0.00	1.77	70.0	1.24
12/11/2017	75501806	BARBARAS, GLEN	**VIRTUS MULTI ASSET TREND	B	0.00	47.71	0.00	47.71	70.0	33.40
12/11/2017	75501806	BARBARAS, GLEN	BLACKROCK GLOBAL ALLOCATION FUND IN B	B	0.00	29.29	0.00	29.29	70.0	20.50
12/11/2017	75501809	WRIGHT, PAMELA	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	26.09	0.00	26.09	70.0	18.26
12/11/2017	75501819	KLAHN, ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	20.48	0.00	20.48	70.0	14.34

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/11/2017	75501819	KLAHN, ROBERT	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	55.20	0.00	55.20	70.0	38.64
12/11/2017	75501819	KLAHN, ROBERT	**VIRTUS MULTI SECTOR SHORT	B	0.00	23.07	0.00	23.07	70.0	16.15
12/11/2017	75501819	KLAHN, ROBERT	FEDERATED GLOBAL ALLOCATION FUND CL B	B	0.00	4.23	0.00	4.23	70.0	2.96
12/11/2017	75501819	KLAHN, ROBERT	JANUS GLOBAL UNCONSTRAINED BOND FUN B	B	0.00	63.22	0.00	63.22	70.0	44.25
12/11/2017	75501831	COLACINO, JOSEPH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.94	0.00	9.94	70.0	6.96
12/11/2017	75501863	BENJAMIN, WAYNE	**VIRTUS EQUITY TREND FUND	B	0.00	10.08	0.00	10.08	70.0	7.06
12/11/2017	75501863	BENJAMIN, WAYNE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	28.74	0.00	28.74	70.0	20.12
12/11/2017	75501863	BENJAMIN, WAYNE	RYDEX SER FDS S&P 500 2X STRATEGY C B	B	0.00	38.37	0.00	38.37	70.0	26.86
12/11/2017	75501864	BENJAMIN, WAYNE	**VIRTUS EQUITY TREND FUND	B	0.00	2.27	0.00	2.27	70.0	1.59
12/11/2017	75501864	BENJAMIN, WAYNE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	7.08	0.00	7.08	70.0	4.96
12/11/2017	75501864	BENJAMIN, WAYNE	RYDEX SECTOR ROTATION FUND CLASS C B	B	0.00	3.48	0.00	3.48	70.0	2.44
12/11/2017	75501864	BENJAMIN, WAYNE	RYDEX SER FDS S&P 500 2X STRATEGY C B	B	0.00	21.74	0.00	21.74	70.0	15.22
12/11/2017	75501864	BENJAMIN, WAYNE	RYDEX SER FDS NOVA FD CL C	B	0.00	5.57	0.00	5.57	70.0	3.90
12/11/2017	75501865	BENJAMIN, WAYNE	AMCAP FUND-A	B	0.00	6.20	0.00	6.20	70.0	4.34
12/11/2017	75501871	ESKENAZI, PHOEBE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	4.03	0.00	4.03	70.0	2.82
12/11/2017	75501871	ESKENAZI, PHOEBE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	3.17	0.00	3.17	70.0	2.22
12/11/2017	75501871	ESKENAZI, PHOEBE	LORD ABBETT MID CAP STOCK FUND INC B	B	0.00	65.32	0.00	65.32	70.0	45.72
12/08/2017	75501872	ESKENAZI, PHOEBE	DELAWARE GROUP HIGH YIELD OPPORTUNI B	B	0.00	0.81	0.00	0.81	70.0	0.00
12/11/2017	75501872	ESKENAZI, PHOEBE	**VIRTUS EQUITY TREND FUND	B	0.00	3.32	0.00	3.32	70.0	2.32
12/11/2017	75501872	ESKENAZI, PHOEBE	AMCAP FUND-A	B	0.00	3.46	0.00	3.46	70.0	2.42
12/11/2017	75501872	ESKENAZI, PHOEBE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	1.07	0.00	1.07	70.0	0.75
12/11/2017	75501872	ESKENAZI, PHOEBE	FUNDAMENTAL INVESTORS-A	B	0.00	3.26	0.00	3.26	70.0	2.28
12/11/2017	75501880	WINDETT, ROSE	**VIRTUS EQUITY TREND FUND	B	0.00	0.70	0.00	0.70	70.0	0.00
12/11/2017	75501881	MARTIN, KATHY	JANUS INVT FD BALANCED FD CL C	B	0.00	42.48	0.00	42.48	70.0	29.74
12/11/2017	75501882	MARTIN, KATHY	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	6.88	0.00	6.88	70.0	4.82
12/11/2017	75501886	MARTIN, KATHY	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.85	0.00	9.85	70.0	6.90
12/11/2017	75501886	MARTIN, KATHY	**VIRTUS MULTI ASSET TREND	B	0.00	10.33	0.00	10.33	70.0	7.23
12/11/2017	75501891	KAYLOR, KEVIN	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	11.92	0.00	11.92	70.0	8.34
12/11/2017	75501891	KAYLOR, KEVIN	LORD ABBETT MID CAP STOCK FUND INC B	B	0.00	10.80	0.00	10.80	70.0	7.56
12/11/2017	75501892	KAYLOR, KRISTENE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	4.62	0.00	4.62	70.0	3.23
12/11/2017	75501893	KLAHN, ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	2.35	0.00	2.35	70.0	1.65
12/11/2017	75501910	RUTTER, DOUGLAS	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	5.10	0.00	5.10	70.0	3.57
12/11/2017	75501911	RUTTER, KENNETH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	5.11	0.00	5.11	70.0	3.58
12/11/2017	75501915	SPARRE, GERI	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.50	0.00	9.50	70.0	6.65
12/11/2017	75501927	VASSALOTTI, MARYANN	JANUS INVT FD BALANCED FD CL C	B	0.00	18.80	0.00	18.80	70.0	13.16
12/11/2017	75501928	GELLIS, HOWARD	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	10.38	0.00	10.38	70.0	7.27
12/11/2017	75501935	STAUFFER, EDWARD	RYDEX SERIES FDS EUROPE 1.25X STRAT B	B	0.00	0.60	0.00	0.60	70.0	0.00
12/22/2017	75501935	STAUFFER, EDWARD	**KINETICS PARADIGM FUND	B	0.00	1.22	0.00	1.22	70.0	0.85
12/11/2017	75501938	ROSENBERG, DAVID	**VIRTUS EQUITY TREND FUND	B	0.00	2.79	0.00	2.79	70.0	1.95
12/11/2017	75501938	ROSENBERG, DAVID	**VIRTUS MULTI ASSET TREND	B	0.00	10.12	0.00	10.12	70.0	7.08

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/11/2017	75501938	ROSENBERG, DAVID	AMERICAN MUTUAL FUND-A	B	0.00	39.19	0.00	39.19	70.0	27.43
12/11/2017	75501939	ROSENBERG, ELAINE	**VIRTUS MULTI ASSET TREND	B	0.00	1.49	0.00	1.49	70.0	1.04
12/11/2017	75501940	ROSENBERG, ELAINE	**VIRTUS EQUITY TREND FUND	B	0.00	6.99	0.00	6.99	70.0	4.89
12/11/2017	75501940	ROSENBERG, ELAINE	**VIRTUS MULTI ASSET TREND	B	0.00	4.62	0.00	4.62	70.0	3.23
12/11/2017	75501941	GIBBS, REBECCA	**VIRTUS EQUITY TREND FUND	B	0.00	2.46	0.00	2.46	70.0	1.72
12/11/2017	75501943	GIBBS, REBECCA	**VIRTUS EQUITY TREND FUND	B	0.00	4.34	0.00	4.34	70.0	3.04
12/11/2017	75501943	GIBBS, REBECCA	TEMPLETON GROWTH FUND CLASS A	B	0.00	2.27	0.00	2.27	70.0	1.59
12/11/2017	75501955	PENN, EVA	**GUGGENHEIM FDS TR	B	0.00	0.91	0.00	0.91	70.0	0.00
12/11/2017	75501955	PENN, EVA	**VIRTUS MULTI SECTOR SHORT	B	0.00	4.75	0.00	4.75	70.0	3.33
12/11/2017	75501955	PENN, EVA	FRANKLIN INCOME FUND CLASS A	B	0.00	2.39	0.00	2.39	70.0	1.67
12/11/2017	75501955	PENN, EVA	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	24.67	0.00	24.67	70.0	17.27
12/11/2017	75501955	PENN, EVA	LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	17.31	0.00	17.31	70.0	12.12
12/11/2017	75501955	PENN, EVA	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	2.35	0.00	2.35	70.0	1.65
12/11/2017	75501956	PENN, EVA	**VIRTUS EQUITY TREND FUND	B	0.00	5.68	0.00	5.68	70.0	3.98
12/11/2017	75501956	PENN, EVA	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	25.37	0.00	25.37	70.0	17.76
12/11/2017	75501956	PENN, EVA	LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	9.95	0.00	9.95	70.0	6.97
12/11/2017	75501956	PENN, EVA	RYDEX SECTOR ROTATION FUND CLASS C	B	0.00	8.34	0.00	8.34	70.0	5.84
12/11/2017	75501957	PENN, EVA	**VIRTUS MULTI ASSET TREND	B	0.00	1.20	0.00	1.20	70.0	0.84
12/11/2017	75501967	BENJAMIN, WAYNE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	6.66	0.00	6.66	70.0	4.66
12/11/2017	75501997	LESTARDO, EUGENE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.61	0.00	3.61	70.0	2.53
12/11/2017	75501997	LESTARDO, EUGENE	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	3.37	0.00	3.37	70.0	2.36
12/11/2017	75502039	SMITH, DAYNE	**VIRTUS MULTI ASSET TREND	B	0.00	57.34	0.00	57.34	70.0	40.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					<u>\$0.00</u>	<u>\$1,085.31</u>	<u>\$0.00</u>	<u>\$1,085.31</u>		<u>\$756.09</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$1,518,657.77</u>	<u>\$22,598.90</u>	<u>\$0.00</u>	<u>\$22,598.90</u>		<u>\$15,815.61</u>

ADJUSTMENTS

12/31/2017		OTHER: OTHER-ADVISORY EXPENSE		0.00	0.00	0.00	0.00		-5,700.90
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-5,700.90</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$15,815.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-5,700.90
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-28.25
SIPC Assessment	\$-56.50

Check Amount	\$10,029.96
Year-to-date Earnings	\$91,413.87