

**COMMISSION STATEMENT****February 28, 2018**

JAY MCCLELLAN
 8801 N. Main Street
 Ste. 201
 Dayton, OH 45415

BROKER #: M124 Terminated 11/14/2016
BRANCH: ASSET MANAGEMENT SYS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/12/2018	CRABTREE, GARY	MET LIFE 10922P124	9,285.29	1.93	0.00	1.93	0.0	0.00
02/12/2018	CRABTREE, GARY	MET LIFE 10922P124	8,628.87	1.79	0.00	1.79	0.0	0.00
02/12/2018	CRABTREE, GARY	MET LIFE 10922P124	10,930.67	2.27	0.00	2.27	0.0	0.00
02/12/2018	CRABTREE, GARY	MET LIFE 10922P124	6,972.92	1.45	0.00	1.45	0.0	0.00
02/12/2018	CRABTREE, GARY	MET LIFE 10922P124	36,526.96	7.60	0.00	7.60	0.0	0.00
02/12/2018	CRABTREE, GARY	MET LIFE 10922P124	8,558.59	1.78	0.00	1.78	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$80,903.30	\$16.82	\$0.00	\$16.82		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/12/2016	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.25	0.00	0.25	0.0	0.00
02/12/2016	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	1.75	0.00	1.75	0.0	0.00
02/12/2016	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.18	0.00	0.18	0.0	0.00
03/14/2016	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.46	0.00	0.46	0.0	0.00
04/15/2016	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.52	0.00	0.52	0.0	0.00
05/24/2016	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.52	0.00	0.52	0.0	0.00
06/14/2016	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.54	0.00	0.54	0.0	0.00
07/20/2016	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.52	0.00	0.52	0.0	0.00
08/17/2016	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.55	0.00	0.55	0.0	0.00
09/16/2016	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.57	0.00	0.57	0.0	0.00
10/14/2016	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.55	0.00	0.55	0.0	0.00
11/15/2016	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.56	0.00	0.56	0.0	0.00
12/15/2016	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.54	0.00	0.54	0.0	0.00
02/05/2018	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	3.49	0.00	3.49	0.0	0.00
02/16/2016	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.16	0.00	0.16	0.0	0.00
03/14/2016	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.42	0.00	0.42	0.0	0.00
04/20/2016	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.48	0.00	0.48	0.0	0.00
05/16/2016	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.48	0.00	0.48	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/16/2016	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.51	0.00	0.51	0.0	0.00
07/15/2016	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.49	0.00	0.49	0.0	0.00
08/15/2016	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.52	0.00	0.52	0.0	0.00
09/16/2016	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.54	0.00	0.54	0.0	0.00
10/17/2016	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.52	0.00	0.52	0.0	0.00
11/11/2016	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.54	0.00	0.54	0.0	0.00
12/16/2016	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.53	0.00	0.53	0.0	0.00
02/16/2016	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE C	0.00	0.62	0.00	0.62	0.0	0.00
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	3.02	0.00	3.02	0.0	0.00
/ /	THOMAS, CECILIA	GABELLI GAMCO GLOBAL GWTH FD-CL AAA	0.00	0.00	0.00	0.00	0.0	0.00
/ /	THOMAS, CECILIA	GABELLI GAMCO GLOBAL TELECOM FUND C	0.00	0.00	0.00	0.00	0.0	0.00
04/22/2016	MAPLE TRUST, DENNIS & JOANN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.99	0.00	8.99	0.0	0.00
04/22/2016	KULBACK, SONDR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.91	0.00	8.91	0.0	0.00
04/22/2016	KAMMER, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.06	0.00	6.06	0.0	0.00
04/22/2016	HAMANN, JOHN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.29	0.00	9.29	0.0	0.00
08/01/2016	MAPLE TRUST, DENNIS & JOANN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.09	0.00	9.09	0.0	0.00
08/01/2016	KULBACK, SONDR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.01	0.00	9.01	0.0	0.00
08/01/2016	KAMMER, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.21	0.00	6.21	0.0	0.00
08/01/2016	HAMANN, JOHN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.52	0.00	9.52	0.0	0.00
10/17/2016	MAPLE TRUST, DENNIS & JOANN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.27	0.00	9.27	0.0	0.00
10/17/2016	KULBACK, SONDR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.18	0.00	9.18	0.0	0.00
10/17/2016	KAMMER, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.41	0.00	6.41	0.0	0.00
10/17/2016	HAMANN, JOHN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.82	0.00	9.82	0.0	0.00
10/17/2016	LUCKEY, CHARISSE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.19	0.00	9.19	0.0	0.00
12/23/2016	ABNEY, DAVID	HIGHLAND GLOBAL ALLOCATION FUND CLA	0.00	13.32	0.00	13.32	0.0	0.00
03/14/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.59	0.00	0.59	0.0	0.00
04/15/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.52	0.00	1.52	0.0	0.00
05/17/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.03	0.00	0.03	0.0	0.00
06/16/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.04	0.00	0.04	0.0	0.00
07/20/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.06	0.00	0.06	0.0	0.00
08/16/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.09	0.00	0.09	0.0	0.00
09/15/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.11	0.00	0.11	0.0	0.00
10/20/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.13	0.00	0.13	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/14/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.15	0.00	0.15	0.0	0.00
12/19/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.17	0.00	0.17	0.0	0.00
03/14/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.09	0.00	0.09	0.0	0.00
04/15/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.69	0.00	0.69	0.0	0.00
05/17/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.66	0.00	0.66	0.0	0.00
06/16/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.67	0.00	0.67	0.0	0.00
07/20/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.63	0.00	0.63	0.0	0.00
08/16/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.64	0.00	0.64	0.0	0.00
09/15/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.64	0.00	0.64	0.0	0.00
10/20/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.59	0.00	0.59	0.0	0.00
11/14/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.58	0.00	0.58	0.0	0.00
12/19/2016	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.53	0.00	0.53	0.0	0.00
03/14/2016	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.15	0.00	0.15	0.0	0.00
04/15/2016	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.75	0.00	0.75	0.0	0.00
05/17/2016	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.53	0.00	0.53	0.0	0.00
06/16/2016	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.55	0.00	0.55	0.0	0.00
07/20/2016	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.54	0.00	0.54	0.0	0.00
08/16/2016	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.57	0.00	0.57	0.0	0.00
09/15/2016	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.58	0.00	0.58	0.0	0.00
10/20/2016	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.56	0.00	0.56	0.0	0.00
11/14/2016	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.57	0.00	0.57	0.0	0.00
12/19/2016	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.55	0.00	0.55	0.0	0.00
04/13/2016	PETKERVICH TRUST, MARY	PIIONEER BOND FUND A	0.00	1.99	0.00	1.99	0.0	0.00
05/10/2016	PETKERVICH TRUST, MARY	PIIONEER BOND FUND A	0.00	4.30	0.00	4.30	0.0	0.00
06/16/2016	PETKERVICH TRUST, MARY	PIIONEER BOND FUND A	0.00	4.47	0.00	4.47	0.0	0.00
07/19/2016	PETKERVICH TRUST, MARY	PIIONEER BOND FUND A	0.00	4.36	0.00	4.36	0.0	0.00
08/09/2016	PETKERVICH TRUST, MARY	PIIONEER BOND FUND A	0.00	4.56	0.00	4.56	0.0	0.00
09/14/2016	PETKERVICH TRUST, MARY	PIIONEER BOND FUND A	0.00	4.58	0.00	4.58	0.0	0.00
10/17/2016	PETKERVICH TRUST, MARY	PIIONEER BOND FUND A	0.00	4.44	0.00	4.44	0.0	0.00
11/18/2016	PETKERVICH TRUST, MARY	PIIONEER BOND FUND A	0.00	4.59	0.00	4.59	0.0	0.00
12/16/2016	PETKERVICH TRUST, MARY	PIIONEER BOND FUND A	0.00	4.39	0.00	4.39	0.0	0.00
02/17/2016	PETKERVICH TRUST, MARY	PIIONEER GLOBAL HIGH YIELD A	0.00	0.69	0.00	0.69	0.0	0.00
03/11/2016	PETKERVICH TRUST, MARY	PIIONEER GLOBAL HIGH YIELD A	0.00	0.63	0.00	0.63	0.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/13/2016	PETKERVICH TRUST, MARY	PIONEER GLOBAL HIGH YIELD A	0.00	0.70	0.00	0.70	0.0	0.00
05/10/2016	PETKERVICH TRUST, MARY	PIONEER GLOBAL HIGH YIELD A	0.00	0.70	0.00	0.70	0.0	0.00
06/16/2016	PETKERVICH TRUST, MARY	PIONEER GLOBAL HIGH YIELD A	0.00	0.73	0.00	0.73	0.0	0.00
07/19/2016	PETKERVICH TRUST, MARY	PIONEER GLOBAL HIGH YIELD A	0.00	0.71	0.00	0.71	0.0	0.00
08/09/2016	PETKERVICH TRUST, MARY	PIONEER GLOBAL HIGH YIELD A	0.00	0.75	0.00	0.75	0.0	0.00
09/14/2016	PETKERVICH TRUST, MARY	PIONEER GLOBAL HIGH YIELD A	0.00	0.77	0.00	0.77	0.0	0.00
10/17/2016	PETKERVICH TRUST, MARY	PIONEER GLOBAL HIGH YIELD A	0.00	0.75	0.00	0.75	0.0	0.00
11/18/2016	PETKERVICH TRUST, MARY	PIONEER GLOBAL HIGH YIELD A	0.00	0.78	0.00	0.78	0.0	0.00
12/16/2016	PETKERVICH TRUST, MARY	PIONEER GLOBAL HIGH YIELD A	0.00	0.75	0.00	0.75	0.0	0.00
02/17/2016	PETKERVICH TRUST, MARY	PIONEER GOVERNMENT INCOME A	0.00	4.37	0.00	4.37	0.0	0.00
03/11/2016	PETKERVICH TRUST, MARY	PIONEER GOVERNMENT INCOME A	0.00	4.12	0.00	4.12	0.0	0.00
04/13/2016	PETKERVICH TRUST, MARY	PIONEER GOVERNMENT INCOME A	0.00	2.41	0.00	2.41	0.0	0.00
02/17/2016	PETKERVICH, MARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.42	0.00	4.42	0.0	0.00
03/11/2016	PETKERVICH, MARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.07	0.00	4.07	0.0	0.00
04/13/2016	PETKERVICH, MARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.63	0.00	4.63	0.0	0.00
05/10/2016	PETKERVICH, MARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.62	0.00	4.62	0.0	0.00
06/16/2016	PETKERVICH, MARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.78	0.00	4.78	0.0	0.00
07/19/2016	PETKERVICH, MARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.63	0.00	4.63	0.0	0.00
08/09/2016	PETKERVICH, MARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.88	0.00	4.88	0.0	0.00
09/14/2016	PETKERVICH, MARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.96	0.00	4.96	0.0	0.00
10/17/2016	PETKERVICH, MARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.79	0.00	4.79	0.0	0.00
11/18/2016	PETKERVICH, MARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.89	0.00	4.89	0.0	0.00
12/16/2016	PETKERVICH, MARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.63	0.00	4.63	0.0	0.00
02/12/2016	UPADHYAYA, SAVITA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.42	0.00	1.42	0.0	0.00
02/12/2016	TONKLI, MARJAN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.83	0.00	2.83	0.0	0.00
02/12/2016	KELLEY, TRINETTE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.92	0.00	1.92	0.0	0.00
02/12/2016	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.90	0.00	1.90	0.0	0.00
02/12/2016	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.51	0.00	2.51	0.0	0.00
02/12/2016	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.85	0.00	2.85	0.0	0.00
03/14/2016	UPADHYAYA, SAVITA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.29	0.00	1.29	0.0	0.00
03/14/2016	TONKLI, MARJAN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.58	0.00	2.58	0.0	0.00
03/14/2016	KELLEY, TRINETTE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.74	0.00	1.74	0.0	0.00
03/14/2016	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.73	0.00	1.73	0.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/14/2016	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.28	0.00	2.28	0.0	0.00
03/14/2016	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.59	0.00	2.59	0.0	0.00
04/18/2016	UPADHYAYA, SAVITA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.44	0.00	1.44	0.0	0.00
04/18/2016	TONKLI, MARJAN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.87	0.00	2.87	0.0	0.00
04/18/2016	KELLEY, TRINETTE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.94	0.00	1.94	0.0	0.00
04/18/2016	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.93	0.00	1.93	0.0	0.00
04/18/2016	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.55	0.00	2.55	0.0	0.00
04/18/2016	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.89	0.00	2.89	0.0	0.00
05/16/2016	UPADHYAYA, SAVITA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.43	0.00	1.43	0.0	0.00
05/16/2016	TONKLI, MARJAN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.85	0.00	2.85	0.0	0.00
05/16/2016	KELLEY, TRINETTE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.93	0.00	1.93	0.0	0.00
05/16/2016	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.91	0.00	1.91	0.0	0.00
05/16/2016	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.53	0.00	2.53	0.0	0.00
05/16/2016	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.87	0.00	2.87	0.0	0.00
06/16/2016	UPADHYAYA, SAVITA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.49	0.00	1.49	0.0	0.00
06/16/2016	TONKLI, MARJAN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.98	0.00	2.98	0.0	0.00
06/16/2016	KELLEY, TRINETTE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.02	0.00	2.02	0.0	0.00
06/16/2016	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.00	0.00	2.00	0.0	0.00
06/16/2016	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.65	0.00	2.65	0.0	0.00
06/16/2016	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.00	0.00	3.00	0.0	0.00
07/18/2016	UPADHYAYA, SAVITA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.46	0.00	1.46	0.0	0.00
07/18/2016	TONKLI, MARJAN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.92	0.00	2.92	0.0	0.00
07/18/2016	KELLEY, TRINETTE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.98	0.00	1.98	0.0	0.00
07/18/2016	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.96	0.00	1.96	0.0	0.00
07/18/2016	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.59	0.00	2.59	0.0	0.00
07/18/2016	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.94	0.00	2.94	0.0	0.00
08/15/2016	UPADHYAYA, SAVITA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.58	0.00	1.58	0.0	0.00
08/15/2016	TONKLI, MARJAN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.15	0.00	3.15	0.0	0.00
08/15/2016	KELLEY, TRINETTE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.13	0.00	2.13	0.0	0.00
08/15/2016	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.11	0.00	2.11	0.0	0.00
08/15/2016	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.79	0.00	2.79	0.0	0.00
08/15/2016	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.17	0.00	3.17	0.0	0.00
09/15/2016	UPADHYAYA, SAVITA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.61	0.00	1.61	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
09/15/2016	TONKLI, MARJAN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.21	0.00	3.21	0.0	0.00
09/15/2016	KELLEY, TRINETTE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.18	0.00	2.18	0.0	0.00
09/15/2016	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.16	0.00	2.16	0.0	0.00
09/15/2016	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.85	0.00	2.85	0.0	0.00
09/15/2016	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.24	0.00	3.24	0.0	0.00
10/17/2016	UPADHYAYA, SAVITA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.56	0.00	1.56	0.0	0.00
10/17/2016	TONKLI, MARJAN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.12	0.00	3.12	0.0	0.00
10/17/2016	KELLEY, TRINETTE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.11	0.00	2.11	0.0	0.00
10/17/2016	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.10	0.00	2.10	0.0	0.00
10/17/2016	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.77	0.00	2.77	0.0	0.00
10/17/2016	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.14	0.00	3.14	0.0	0.00
11/17/2016	UPADHYAYA, SAVITA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.61	0.00	1.61	0.0	0.00
11/17/2016	TONKLI, MARJAN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.21	0.00	3.21	0.0	0.00
11/17/2016	KELLEY, TRINETTE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.17	0.00	2.17	0.0	0.00
11/17/2016	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.15	0.00	2.15	0.0	0.00
11/17/2016	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.85	0.00	2.85	0.0	0.00
11/17/2016	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.23	0.00	3.23	0.0	0.00
12/14/2016	UPADHYAYA, SAVITA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.54	0.00	1.54	0.0	0.00
12/14/2016	TONKLI, MARJAN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.07	0.00	3.07	0.0	0.00
12/14/2016	KELLEY, TRINETTE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.08	0.00	2.08	0.0	0.00
12/14/2016	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.06	0.00	2.06	0.0	0.00
12/14/2016	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.72	0.00	2.72	0.0	0.00
12/14/2016	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.09	0.00	3.09	0.0	0.00
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.55	0.00	1.55	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$422.98	\$0.00	\$422.98		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$80,903.30	\$439.80	\$0.00	\$439.80		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$1,629.37
Year-to-date Earnings	\$0.00