

All Companies
STATEMENT
June 20, 2018

KRISTIN FRENCH
9431 Coppertop Loop NE Suite 2
SUITE 620
Bainbridge Island, WA 98110

BROKER #: 125
BRANCH:

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/02/2018	#00085024996	DOWNS, CAPITAL INCOME BUILDER FD CL A	50.00	2.13	90.0	0.00	1.92	0.00	1.92
05/02/2018	#00085024996	DOWNS, CAPITAL WORLD GROWTH & INCOME FUND	50.00	2.13	90.0	0.00	1.92	0.00	1.92
05/22/2018	#	TAYLOR AND ALASKA IN FIDELITY INVT TR ADVISOR CHINA REGI	2,437.70	121.89	90.0	0.00	109.70	20.00	89.70
05/22/2018	#	TAYLOR AND ALASKA IN MFS SER TR I TECHNOLOGY FD-CL A	1,698.60	84.93	90.0	0.00	76.44	20.00	56.44
* MUTUAL FUNDS SUBTOTAL *			\$4,236.30	\$211.08		\$0.00	\$189.98	\$40.00	\$149.98
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/07/0018	#503503505001460	HOLMQUIST, DELAWARE SLFMFX11	0.00	250.90	90.0	0.00	225.81	0.00	225.81
04/30/0201	#E0737433	KIRKREIT, JUDY PRUDETIAL TRAILS	0.00	32.95	90.0	0.00	29.66	0.00	29.66
05/02/2018	#1201643602	KOUWE, KATHY PII NY 01/16	100,000.00	7,000.00	90.0	0.00	6,300.00	0.00	6,300.00
05/09/2018	#1015492461	PERSP II 09/12	25,000.00	1,875.00	90.0	0.00	1,687.50	0.00	1,687.50
06/01/2018	#1014754748	WHITSETT, JAMES PERSP II 09/12	6,500.00	487.50	90.0	0.00	438.75	0.00	438.75
06/01/2018	#1014754837	WINIFRED PERSP II 09/12	10,000.00	750.00	90.0	0.00	675.00	0.00	675.00
06/01/2018	#1009215065	WHITSETT, JAMES PERSPECTIVE II	24,000.00	1,800.00	90.0	0.00	1,620.00	0.00	1,620.00
* VARIABLE ANNUITIES SUBTOTAL *			\$165,500.00	\$12,196.35		\$0.00	\$10,976.72	\$0.00	\$10,976.72
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	#	TAYLOR AND ALASKA IN DAIN TRAILER	0.00	4.58	90.0	0.00	4.12	0.00	4.12
05/09/2018	#	ARCANDIER INC DAIN TRAILER	0.00	27.01	90.0	0.00	24.31	0.00	24.31
05/09/2018	#	ARCANDIER INC DAIN TRAILER	0.00	22.00	90.0	0.00	19.80	0.00	19.80
05/09/2018	#	ARCANDIER INC DAIN TRAILER	0.00	9.29	90.0	0.00	8.36	0.00	8.36
05/09/2018	#	ARCANDIER INC DAIN TRAILER	0.00	10.61	90.0	0.00	9.55	0.00	9.55
05/09/2018	#	ARCANDIER INC DAIN TRAILER	0.00	7.61	90.0	0.00	6.85	0.00	6.85
05/09/2018	#	S INC, SAGE MEDICAL SO DAIN TRAILER	0.00	9.12	90.0	0.00	8.21	0.00	8.21
05/09/2018	#	BRESKE, THOMAS DAIN TRAILER	0.00	3.14	90.0	0.00	2.83	0.00	2.83
05/09/2018	#	BRESKE, THOMAS DAIN TRAILER	0.00	3.41	90.0	0.00	3.07	0.00	3.07
05/09/2018	#	S INC, SAGE MEDICAL SO DAIN TRAILER	0.00	8.02	90.0	0.00	7.22	0.00	7.22
05/09/2018	#	S INC, SAGE MEDICAL SO DAIN TRAILER	0.00	2.57	90.0	0.00	2.31	0.00	2.31
05/09/2018	#	S INC, SAGE MEDICAL SO DAIN TRAILER	0.00	10.25	90.0	0.00	9.23	0.00	9.23

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	3.79	90.0	0.00	3.41	0.00	3.41
05/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	21.15	90.0	0.00	19.04	0.00	19.04
05/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	22.29	90.0	0.00	20.06	0.00	20.06
05/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	18.82	90.0	0.00	16.94	0.00	16.94
05/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	1.95	90.0	0.00	1.76	0.00	1.76
05/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	14.62	90.0	0.00	13.16	0.00	13.16
05/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	7.97	90.0	0.00	7.17	0.00	7.17
05/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	10.25	90.0	0.00	9.23	0.00	9.23
05/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	15.14	90.0	0.00	13.63	0.00	13.63
05/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	8.31	90.0	0.00	7.48	0.00	7.48
05/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	5.09	90.0	0.00	4.58	0.00	4.58
05/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	4.85	90.0	0.00	4.37	0.00	4.37
05/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	10.10	90.0	0.00	9.09	0.00	9.09
05/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	5.96	90.0	0.00	5.36	0.00	5.36
05/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	2.64	90.0	0.00	2.38	0.00	2.38
05/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	16.39	90.0	0.00	14.75	0.00	14.75
05/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	4.23	90.0	0.00	3.81	0.00	3.81
05/09/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	5.24	90.0	0.00	4.72	0.00	4.72
05/09/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	33.18	90.0	0.00	29.86	0.00	29.86
05/09/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	18.25	90.0	0.00	16.43	0.00	16.43
05/09/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	38.25	90.0	0.00	34.43	0.00	34.43
05/09/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	40.42	90.0	0.00	36.38	0.00	36.38
05/09/2018	# JADILE LLC	DAIN TRAILER	0.00	18.77	90.0	0.00	16.89	0.00	16.89
05/09/2018	# JADILE LLC	DAIN TRAILER	0.00	7.88	90.0	0.00	7.09	0.00	7.09
05/09/2018	# JADILE LLC	DAIN TRAILER	0.00	13.39	90.0	0.00	12.05	0.00	12.05
05/09/2018	# JADILE LLC	DAIN TRAILER	0.00	13.98	90.0	0.00	12.58	0.00	12.58
05/09/2018	# JADILE LLC	DAIN TRAILER	0.00	7.57	90.0	0.00	6.81	0.00	6.81
05/09/2018	# JADILE LLC	DAIN TRAILER	0.00	7.69	90.0	0.00	6.92	0.00	6.92
05/09/2018	# JADILE LLC	DAIN TRAILER	0.00	9.97	90.0	0.00	8.97	0.00	8.97
05/09/2018	# JADILE LLC	DAIN TRAILER	0.00	5.85	90.0	0.00	5.27	0.00	5.27
05/09/2018	# JADILE LLC	DAIN TRAILER	0.00	15.44	90.0	0.00	13.90	0.00	13.90
05/09/2018	# JADILE LLC	DAIN TRAILER	0.00	3.78	90.0	0.00	3.40	0.00	3.40
05/09/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	0.81	90.0	0.00	0.73	0.00	0.73
05/09/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	1.23	90.0	0.00	1.11	0.00	1.11
05/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.80	90.0	0.00	1.62	0.00	1.62
05/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.85	90.0	0.00	2.57	0.00	2.57

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.47	90.0	0.00	1.32	0.00	1.32
05/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.67	90.0	0.00	2.40	0.00	2.40
05/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	5.08	90.0	0.00	4.57	0.00	4.57
05/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.62	90.0	0.00	1.46	0.00	1.46
05/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.52	90.0	0.00	2.27	0.00	2.27
05/09/2018	# DAHLEM, DARCY	DAIN TRAILER	0.00	2.36	90.0	0.00	2.12	0.00	2.12
05/09/2018	# DAHLEM, DARCY	DAIN TRAILER	0.00	7.86	90.0	0.00	7.07	0.00	7.07
05/09/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	5.95	90.0	0.00	5.36	0.00	5.36
05/09/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	1.86	90.0	0.00	1.67	0.00	1.67
05/09/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	1.69	90.0	0.00	1.52	0.00	1.52
05/09/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	2.46	90.0	0.00	2.21	0.00	2.21
05/09/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	2.35	90.0	0.00	2.12	0.00	2.12
05/09/2018	# INC, STARGAZER MANAG	DAIN TRAILER	0.00	5.21	90.0	0.00	4.69	0.00	4.69
05/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.44	90.0	0.00	4.00	0.00	4.00
05/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	5.16	90.0	0.00	4.64	0.00	4.64
05/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.80	90.0	0.00	4.32	0.00	4.32
05/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.19	90.0	0.00	1.97	0.00	1.97
05/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	3.28	90.0	0.00	2.95	0.00	2.95
05/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	7.00	90.0	0.00	6.30	0.00	6.30
05/09/2018	# VELOSYS LLC	DAIN TRAILER	0.00	1.51	90.0	0.00	1.36	0.00	1.36
05/09/2018	# VELOSYS LLC	DAIN TRAILER	0.00	17.20	90.0	0.00	15.48	0.00	15.48
05/09/2018	# VELOSYS LLC	DAIN TRAILER	0.00	3.92	90.0	0.00	3.53	0.00	3.53
05/09/2018	# VELOSYS LLC	DAIN TRAILER	0.00	12.09	90.0	0.00	10.88	0.00	10.88
05/09/2018	# VELOSYS LLC	DAIN TRAILER	0.00	32.58	90.0	0.00	29.32	0.00	29.32
05/09/2018	# VELOSYS LLC	DAIN TRAILER	0.00	9.54	90.0	0.00	8.59	0.00	8.59
05/09/2018	# VELOSYS LLC	DAIN TRAILER	0.00	6.60	90.0	0.00	5.94	0.00	5.94
05/09/2018	# VELOSYS LLC	DAIN TRAILER	0.00	9.13	90.0	0.00	8.22	0.00	8.22
05/09/2018	# VELOSYS LLC	DAIN TRAILER	0.00	11.91	90.0	0.00	10.72	0.00	10.72
05/09/2018	# VELOSYS LLC	DAIN TRAILER	0.00	51.49	90.0	0.00	46.34	0.00	46.34
05/09/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	9.87	90.0	0.00	8.88	0.00	8.88
05/09/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	50.60	90.0	0.00	45.54	0.00	45.54
05/09/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	45.69	90.0	0.00	41.12	0.00	41.12
05/09/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	28.41	90.0	0.00	25.57	0.00	25.57
05/09/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	14.82	90.0	0.00	13.34	0.00	13.34
05/09/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	35.22	90.0	0.00	31.70	0.00	31.70
05/09/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	21.90	90.0	0.00	19.71	0.00	19.71

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	3.03	90.0	0.00	2.73	0.00	2.73
05/09/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	3.31	90.0	0.00	2.98	0.00	2.98
05/09/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	10.76	90.0	0.00	9.68	0.00	9.68
05/09/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	5.69	90.0	0.00	5.12	0.00	5.12
05/09/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	9.40	90.0	0.00	8.46	0.00	8.46
05/09/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	23.76	90.0	0.00	21.38	0.00	21.38
05/09/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	10.61	90.0	0.00	9.55	0.00	9.55
05/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.51	90.0	0.00	3.16	0.00	3.16
05/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	4.05	90.0	0.00	3.65	0.00	3.65
05/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.87	90.0	0.00	3.48	0.00	3.48
05/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.21	90.0	0.00	1.99	0.00	1.99
05/09/2018	# HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	3.95	90.0	0.00	3.56	0.00	3.56
05/09/2018	# HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	2.51	90.0	0.00	2.26	0.00	2.26
05/09/2018	# HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	4.06	90.0	0.00	3.65	0.00	3.65
05/09/2018	# WALLS, ALICE	DAIN TRAILER	0.00	0.11	90.0	0.00	0.10	0.00	0.10
05/09/2018	# WALLS, ALICE	DAIN TRAILER	0.00	0.10	90.0	0.00	0.09	0.00	0.09
05/09/2018	# WALLS, ALICE	DAIN TRAILER	0.00	0.44	90.0	0.00	0.40	0.00	0.40
05/09/2018	# WALLS, ALICE	DAIN TRAILER	0.00	1.15	90.0	0.00	1.04	0.00	1.04
05/09/2018	# WALLS, ALICE	DAIN TRAILER	0.00	1.20	90.0	0.00	1.08	0.00	1.08
05/09/2018	# WALLS, ALICE	DAIN TRAILER	0.00	6.63	90.0	0.00	5.97	0.00	5.97
05/09/2018	# WALLS, ALICE	DAIN TRAILER	0.00	0.20	90.0	0.00	0.18	0.00	0.18
05/09/2018	# WALLS, ALICE	DAIN TRAILER	0.00	2.10	90.0	0.00	1.89	0.00	1.89
05/09/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	2.50	90.0	0.00	2.25	0.00	2.25
05/09/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	6.81	90.0	0.00	6.13	0.00	6.13
05/09/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	2.12	90.0	0.00	1.91	0.00	1.91
05/09/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	2.16	90.0	0.00	1.94	0.00	1.94
05/09/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	1.92	90.0	0.00	1.73	0.00	1.73
05/09/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	3.73	90.0	0.00	3.36	0.00	3.36
05/09/2018	# HOLMQUIST, ANN	DAIN TRAILER	0.00	2.86	90.0	0.00	2.57	0.00	2.57
05/09/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	6.48	90.0	0.00	5.83	0.00	5.83
05/09/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	14.62	90.0	0.00	13.16	0.00	13.16
05/09/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	2.82	90.0	0.00	2.54	0.00	2.54
05/09/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	3.20	90.0	0.00	2.88	0.00	2.88
05/09/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	3.10	90.0	0.00	2.79	0.00	2.79
05/09/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	6.78	90.0	0.00	6.10	0.00	6.10
05/09/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	5.75	90.0	0.00	5.18	0.00	5.18

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<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	# WALPOLE, WILLIAM	DAIN TRAILER	0.00	1.83	90.0	0.00	1.65	0.00	1.65
05/09/2018	# SORENSON, LORI	DAIN TRAILER	0.00	0.20	90.0	0.00	0.18	0.00	0.18
05/09/2018	# SORENSON, LORI	DAIN TRAILER	0.00	2.62	90.0	0.00	2.36	0.00	2.36
05/09/2018	# SORENSON, LORI	DAIN TRAILER	0.00	5.46	90.0	0.00	4.91	0.00	4.91
05/09/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	2.63	90.0	0.00	2.37	0.00	2.37
05/09/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	2.67	90.0	0.00	2.40	0.00	2.40
05/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.77	90.0	0.00	3.39	0.00	3.39
05/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.71	90.0	0.00	1.54	0.00	1.54
05/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.88	90.0	0.00	1.69	0.00	1.69
05/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.73	90.0	0.00	2.46	0.00	2.46
05/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	5.55	90.0	0.00	5.00	0.00	5.00
05/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.01	90.0	0.00	1.81	0.00	1.81
05/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	0.81	90.0	0.00	0.73	0.00	0.73
05/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.04	90.0	0.00	0.94	0.00	0.94
05/09/2018	# SARDINIA, SANDHYA	DAIN TRAILER	0.00	0.65	90.0	0.00	0.59	0.00	0.59
05/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	12.22	90.0	0.00	11.00	0.00	11.00
05/09/2018	# REBECCA LALIBERTE TTEE	DAIN TRAILER	0.00	10.32	90.0	0.00	9.29	0.00	9.29
05/09/2018	# JOHNSON, MARGARET	DAIN TRAILER	0.00	66.53	90.0	0.00	59.88	0.00	59.88
05/09/2018	# JOHNSON, MARGARET	DAIN TRAILER	0.00	79.19	90.0	0.00	71.27	0.00	71.27
05/09/2018	# JOHNSON, MARGARET	DAIN TRAILER	0.00	20.18	90.0	0.00	18.16	0.00	18.16
05/09/2018	# JOHNSON, ROGER	DAIN TRAILER	0.00	37.31	90.0	0.00	33.58	0.00	33.58
05/09/2018	# EUGENE PFLUM TTEE	DAIN TRAILER	0.00	2.64	90.0	0.00	2.38	0.00	2.38
05/09/2018	# EUGENE PFLUM TTEE	DAIN TRAILER	0.00	3.86	90.0	0.00	3.47	0.00	3.47
05/09/2018	# EUGENE PFLUM TTEE	DAIN TRAILER	0.00	5.75	90.0	0.00	5.18	0.00	5.18
05/09/2018	# EUGENE PFLUM TTEE	DAIN TRAILER	0.00	16.13	90.0	0.00	14.52	0.00	14.52
05/09/2018	# EUGENE PFLUM TTEE	DAIN TRAILER	0.00	2.74	90.0	0.00	2.47	0.00	2.47
05/09/2018	# EUGENE PFLUM TTEE	DAIN TRAILER	0.00	20.78	90.0	0.00	18.70	0.00	18.70
05/09/2018	# EUGENE PFLUM TTEE	DAIN TRAILER	0.00	23.62	90.0	0.00	21.26	0.00	21.26
05/09/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	1.12	90.0	0.00	1.01	0.00	1.01
05/09/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	3.67	90.0	0.00	3.30	0.00	3.30
05/09/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	3.98	90.0	0.00	3.58	0.00	3.58
05/09/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	1.37	90.0	0.00	1.23	0.00	1.23
05/09/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.50	90.0	0.00	2.25	0.00	2.25
05/09/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.76	90.0	0.00	2.48	0.00	2.48
05/09/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	5.89	90.0	0.00	5.30	0.00	5.30
05/09/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	4.07	90.0	0.00	3.66	0.00	3.66

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.70	90.0	0.00	2.43	0.00	2.43
05/09/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.26	90.0	0.00	2.03	0.00	2.03
05/09/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.47	90.0	0.00	2.22	0.00	2.22
05/09/2018	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	3.05	90.0	0.00	2.75	0.00	2.75
05/09/2018	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	4.26	90.0	0.00	3.83	0.00	3.83
05/09/2018	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	5.60	90.0	0.00	5.04	0.00	5.04
05/09/2018	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	2.02	90.0	0.00	1.82	0.00	1.82
05/09/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	1.12	90.0	0.00	1.01	0.00	1.01
05/09/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	3.67	90.0	0.00	3.30	0.00	3.30
05/09/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	2.65	90.0	0.00	2.39	0.00	2.39
05/09/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	1.31	90.0	0.00	1.18	0.00	1.18
05/09/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	2.82	90.0	0.00	2.54	0.00	2.54
05/09/2018	# BRADEN, RANDALL	DAIN TRAILER	0.00	4.76	90.0	0.00	4.28	0.00	4.28
05/09/2018	# BRADEN, RANDALL	DAIN TRAILER	0.00	4.82	90.0	0.00	4.34	0.00	4.34
05/09/2018	# BRADEN, RANDALL	DAIN TRAILER	0.00	3.49	90.0	0.00	3.14	0.00	3.14
05/09/2018	# BRADEN, RANDALL	DAIN TRAILER	0.00	1.69	90.0	0.00	1.52	0.00	1.52
05/09/2018	# ANN CURRY TTEE	DAIN TRAILER	0.00	0.71	90.0	0.00	0.64	0.00	0.64
05/09/2018	# ANN CURRY TTEE	DAIN TRAILER	0.00	1.34	90.0	0.00	1.21	0.00	1.21
05/09/2018	# ANN CURRY TTEE	DAIN TRAILER	0.00	0.48	90.0	0.00	0.43	0.00	0.43
05/09/2018	# TODD ALTOM TTEE	DAIN TRAILER	0.00	2.15	90.0	0.00	1.94	0.00	1.94
05/09/2018	# TODD ALTOM TTEE	DAIN TRAILER	0.00	1.14	90.0	0.00	1.03	0.00	1.03
05/09/2018	# TODD ALTOM TTEE	DAIN TRAILER	0.00	3.68	90.0	0.00	3.31	0.00	3.31
05/09/2018	# TODD ALTOM TTEE	DAIN TRAILER	0.00	3.77	90.0	0.00	3.39	0.00	3.39
05/09/2018	# JOHNSON, JACK	DAIN TRAILER	0.00	2.77	90.0	0.00	2.49	0.00	2.49
05/09/2018	# JOHNSON, JACK	DAIN TRAILER	0.00	2.04	90.0	0.00	1.84	0.00	1.84
05/09/2018	# JOHNSON, JACK	DAIN TRAILER	0.00	1.12	90.0	0.00	1.01	0.00	1.01
05/09/2018	# JOHNSON, JACK	DAIN TRAILER	0.00	1.46	90.0	0.00	1.31	0.00	1.31
05/09/2018	# JOHNSON, JACK	DAIN TRAILER	0.00	0.86	90.0	0.00	0.77	0.00	0.77
05/09/2018	# JOHNSON, JACK	DAIN TRAILER	0.00	2.30	90.0	0.00	2.07	0.00	2.07
05/09/2018	# JOHNSON, JACK	DAIN TRAILER	0.00	0.56	90.0	0.00	0.50	0.00	0.50
05/14/2018	# EUGENE PFLUM TTEE	DAIN TRAILER	120,789.60	0.00	90.0	0.00	0.00	0.00	0.00
05/22/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	1,985.50	99.28	90.0	0.00	89.35	0.00	89.35
05/22/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	5,270.00	210.80	90.0	0.00	189.72	0.00	189.72
05/22/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	6,256.71	312.84	90.0	0.00	281.56	0.00	281.56
05/22/2018	# DR JOHN MCLAIRN JR TTEE	DAIN TRAILER	2,112.95	105.65	90.0	0.00	95.09	0.00	95.09
05/22/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	3,540.00	115.05	90.0	0.00	103.55	0.00	103.55

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/22/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	5,200.61	169.02	90.0	0.00	152.12	0.00	152.12
05/22/2018	# DR JOHN MCLAIRN JR TTEE	DAIN TRAILER	1,756.30	57.08	90.0	0.00	51.37	0.00	51.37
05/22/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	2,186.90	76.54	90.0	0.00	68.89	0.00	68.89
05/22/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	2,604.90	97.68	90.0	0.00	87.91	0.00	87.91
05/22/2018	# COATES DESIGN INC	DAIN TRAILER	3,227.75	0.00	90.0	0.00	0.00	0.00	0.00
05/22/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	3,363.00	168.15	90.0	0.00	151.34	0.00	151.34
05/22/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	15,990.00	479.70	90.0	0.00	431.73	0.00	431.73
05/22/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	5,848.47	277.80	90.0	0.00	250.02	0.00	250.02
05/22/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	3,112.50	147.84	90.0	0.00	133.06	0.00	133.06
05/22/2018	# DR JOHN MCLAIRN JR TTEE	DAIN TRAILER	1,975.08	93.82	90.0	0.00	84.44	0.00	84.44
05/22/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	8,660.00	238.15	90.0	0.00	214.34	0.00	214.34
05/22/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	6,354.33	317.72	90.0	0.00	285.95	0.00	285.95
05/22/2018	# DR JOHN MCLAIRN JR TTEE	DAIN TRAILER	2,145.92	107.30	90.0	0.00	96.57	0.00	96.57
05/22/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	20,390.00	458.78	90.0	0.00	412.90	0.00	412.90
05/22/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	14,412.63	576.51	90.0	0.00	518.86	0.00	518.86
05/22/2018	# DR JOHN MCLAIRN JR TTEE	DAIN TRAILER	4,867.29	194.69	90.0	0.00	175.22	0.00	175.22
05/22/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	18,540.00	417.15	90.0	0.00	375.44	0.00	375.44
05/22/2018	# COATES DESIGN INC	DAIN TRAILER	9,129.73	365.19	90.0	0.00	328.67	0.00	328.67
05/22/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	2,517.50	75.53	90.0	0.00	67.98	0.00	67.98
05/22/2018	# COATES DESIGN INC	DAIN TRAILER	2,989.34	119.57	90.0	0.00	107.61	0.00	107.61
05/22/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	3,649.90	109.50	90.0	0.00	98.55	0.00	98.55
05/22/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	9,709.00	388.36	90.0	0.00	349.52	0.00	349.52
05/22/2018	# DR JOHN MCLAIRN JR TTEE	DAIN TRAILER	3,278.82	131.15	90.0	0.00	118.04	0.00	118.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$291,864.73	\$7,483.57		\$0.00	\$6,735.33	\$0.00	\$6,735.33
*** BROKER TRANSACTIONS TOTAL ***			\$461,601.03	\$19,891.00		\$0.00	\$17,902.03	\$40.00	\$17,862.03

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

06/20/2018		BETA BACK OFFICE: ADMIN FEE							-320.00
06/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
06/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-35.00
		DEBIT BALANCE							
06/20/2018		ADVANCE: ADVANCE							-7,343.50
*** ADJUSTMENTS TOTAL ***									<u>\$-7,877.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$17,862.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-7,877.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<u>\$9,984.53</u>
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