

COMMISSION STATEMENT

September 29, 2017

STEPHEN SUSLOW

14 WEST 12TH STREET

APT 6B

NEW YORK, NY 10011

BROKER #: 61

BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
09/14/2017	75500663 BOSGANG, ALVIN	DELTA AIR LINES INC DEL COM	B	282,800.00	0.00	7.00	-7.00	85.0	-7.00
09/07/2017	75500859 LABELLA, CHARLES	BANK OF AMERICA CORP	S	3,248.96	0.00	7.00	-7.00	85.0	-7.00
09/13/2017	75500864 WINICK, JESSE	DELTA AIR LINES INC DEL COM	B	510,000.00	0.00	7.00	-7.00	85.0	-7.00
09/01/2017	75500881 SUSLOW, RONNIE	BANK OF AMERICA CORP	S	480,000.00	0.00	7.00	-7.00	85.0	-7.00
09/15/2017	75500918 SUSLOW, ELISSA	BANK OF AMERICA CORP	S	96,000.00	0.00	7.00	-7.00	85.0	-7.00
09/18/2017	75500918 SUSLOW, ELISSA	BANK OF AMERICA CORP	S	24,610.00	0.00	7.00	-7.00	85.0	-7.00
09/19/2017	75500918 SUSLOW, ELISSA	BANK OF AMERICA CORP	B	24,810.00	0.00	7.00	-7.00	85.0	-7.00
09/22/2017	75500918 SUSLOW, ELISSA	BANK OF AMERICA CORP	S	240,000.00	0.00	7.00	-7.00	85.0	-7.00
09/22/2017	75500918 SUSLOW, ELISSA	BANK OF AMERICA CORP	S	490,000.00	0.00	7.00	-7.00	85.0	-7.00
08/30/2017	75500933 LAWRENCE, IRA	TIM PARTICIPACOE S A SPONSORED ADR S	S	35,960.00	10.00	7.00	3.00	85.0	1.50
09/11/2017	75500933 LAWRENCE, IRA	DELTA AIR LINES INC DEL COM	S	48,590.00	10.00	7.00	3.00	85.0	1.50
09/08/2017	75500954 SUSLOW, STEPHEN	CITIGROUP INC COM	B	268,000.00	0.00	7.00	-7.00	85.0	-7.00
09/11/2017	75500954 SUSLOW, STEPHEN	CITIGROUP INC COM	S	268,012.60	0.00	7.00	-7.00	85.0	-7.00
09/11/2017	75500954 SUSLOW, STEPHEN	CITIGROUP INC COM	B	-268,013.00	0.00	-7.00	7.00	85.0	7.00 C
09/11/2017	75500954 SUSLOW, STEPHEN	CITIGROUP INC COM	S	268,012.60	0.00	7.00	-7.00	85.0	-7.00
09/05/2017	75501098 WINICK FAMILY	BANK OF THE OZARKS INC	B	63,582.00	20.00	7.00	13.00	85.0	10.00
09/13/2017	75501098 WINICK FAMILY	DELTA AIR LINES INC DEL COM	B	479,400.00	0.00	7.00	-7.00	85.0	-7.00
09/14/2017	75501098 WINICK FAMILY	DELTA AIR LINES INC DEL COM	B	5,100.00	0.00	7.00	-7.00	85.0	-7.00
09/15/2017	75501098 WINICK FAMILY	DELTA AIR LINES INC DEL COM	B	25,500.00	0.00	7.00	-7.00	85.0	-7.00
09/07/2017	75501104 CHRISTOPHER C	BANK OF AMERICA CORP	S	10,479.04	0.00	7.00	-7.00	85.0	-7.00
08/30/2017	75501189 IRA S LAWRENCE TTEE	LILLY ELI & CO	S	80,390.00	10.00	7.00	3.00	85.0	1.50
08/30/2017	75501189 IRA S LAWRENCE TTEE	NEWMONT MINING CORP (NEW)	S	38,023.00	10.00	7.00	3.00	85.0	1.50
09/01/2017	75501189 IRA S LAWRENCE TTEE	TITAN MACHY INC COM	B	12,630.00	10.00	7.00	3.00	85.0	1.50
09/20/2017	75501189 IRA S LAWRENCE TTEE	TITAN MACHY INC COM	S	15,220.00	10.00	7.00	3.00	85.0	1.50
08/30/2017	75501196 LAWRENCE, ADELLE	LILLY ELI & CO	S	80,142.00	10.00	7.00	3.00	85.0	1.50
09/01/2017	75501196 LAWRENCE, ADELLE	TITAN MACHY INC COM	B	12,310.00	10.00	7.00	3.00	85.0	1.50
09/27/2017	75501196 LAWRENCE, ADELLE	FRANKLIN RESOURCES INC (DEL)	S	39,899.70	10.00	7.00	3.00	85.0	1.50
09/01/2017	75502037 WINICK, BARBARA	BANK OF AMERICA CORP	S	480,000.00	0.00	7.00	-7.00	85.0	-7.00
* STOCKS SUBTOTAL *				\$4,114,706.90	\$110.00	\$182.00	\$-72.00		\$-88.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
08/31/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	60	S	8,050.00	300.00	7.00	293.00	85.0	248.00
08/31/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	61.50	S	9,900.00	300.00	7.00	293.00	85.0	248.00
08/31/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	60	S	17,750.00	450.00	7.00	443.00	85.0	375.50
08/31/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	62.50	S	15,230.00	300.00	7.00	293.00	85.0	248.00
09/01/2017	75500663	BOSGANG, ALVIN	CALL C	09/01/17	68.50	B	2,500.00	0.00	7.00	-7.00	85.0	-7.00
09/01/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	62.50	S	6,200.00	210.00	7.00	203.00	85.0	171.50
09/01/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	60.50	S	2,100.00	105.00	7.00	98.00	85.0	82.25
09/01/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	62	S	3,110.00	150.00	7.00	143.00	85.0	120.50
09/01/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	60	S	6,720.00	300.00	7.00	293.00	85.0	248.00
09/01/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	61.50	S	13,020.00	450.00	7.00	443.00	85.0	375.50
09/01/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	60	S	16,620.00	450.00	7.00	443.00	85.0	375.50
09/01/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	62.50	S	20,560.00	450.00	7.00	443.00	85.0	375.50
09/01/2017	75500663	BOSGANG, ALVIN	PUT C	09/01/17	66	B	62,834.00	0.00	7.00	-7.00	85.0	-7.00
09/01/2017	75500663	BOSGANG, ALVIN	PUT C	09/15/17	66.50	S	4,000.00	200.00	7.00	193.00	85.0	163.00
09/01/2017	75500663	BOSGANG, ALVIN	PUT C	09/15/17	67	S	5,200.00	260.00	7.00	253.00	85.0	214.00
09/01/2017	75500663	BOSGANG, ALVIN	PUT C	09/15/17	68	S	53,401.00	747.00	7.00	740.00	85.0	627.95
09/01/2017	75500663	BOSGANG, ALVIN	PUT C	01/19/18	67.50	S	7,040.00	300.00	7.00	293.00	85.0	248.00
09/01/2017	75500663	BOSGANG, ALVIN	PUT C	09/08/17	68	S	26,889.00	0.00	7.00	-7.00	85.0	-7.00
09/01/2017	75500663	BOSGANG, ALVIN	PUT C	09/08/17	67.50	S	5,200.00	260.00	7.00	253.00	85.0	214.00
09/05/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	60.50	S	610.00	30.00	7.00	23.00	85.0	18.50
09/05/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	60	S	2,120.00	105.00	7.00	98.00	85.0	82.25
09/05/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	61.50	S	7,400.00	300.00	7.00	293.00	85.0	248.00
09/05/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	60	S	9,650.00	300.00	7.00	293.00	85.0	248.00
09/05/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	62.50	S	12,570.00	300.00	7.00	293.00	85.0	248.00
09/06/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	60	S	14,340.00	645.00	7.00	638.00	85.0	541.25
09/06/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	61.50	S	4,570.00	150.00	7.00	143.00	85.0	120.50
09/06/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	60	S	5,960.00	150.00	7.00	143.00	85.0	120.50
09/06/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	62.50	S	37,386.00	750.00	7.00	743.00	85.0	630.50
09/07/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	62.50	S	11,340.00	300.00	7.00	293.00	85.0	248.00
09/08/2017	75500663	BOSGANG, ALVIN	CALL SPY	01/20/17	222	S	15,312.00	0.00	7.00	-7.00	85.0	-7.00
09/08/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	60	S	3,520.00	150.00	7.00	143.00	85.0	120.50
09/08/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/22/17	62.50	S	12,960.00	450.00	7.00	443.00	85.0	375.50
09/08/2017	75500663	BOSGANG, ALVIN	PUT C	09/22/17	67	S	29,419.40	0.00	7.00	-7.00	85.0	-7.00
09/08/2017	75500663	BOSGANG, ALVIN	PUT C	09/08/17	68	B	221,145.40	0.00	7.00	-7.00	85.0	-7.00
09/08/2017	75500663	BOSGANG, ALVIN	PUT C	09/08/17	67.50	B	53,140.00	0.00	7.00	-7.00	85.0	-7.00
09/11/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/29/17	61.50	S	30,507.00	1,350.00	7.00	1,343.00	85.0	1,140.50
09/11/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/29/17	63	S	29,707.00	1,050.00	7.00	1,043.00	85.0	885.50
09/12/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/29/17	63	S	30,887.00	1,500.00	7.00	1,493.00	85.0	1,268.00
09/12/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/29/17	63.50	S	41,303.00	1,500.00	7.00	1,493.00	85.0	1,268.00
09/12/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/06/17	62	S	3,600.00	150.00	7.00	143.00	85.0	120.50

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BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
09/12/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/06/17	62.50	S	4,400.00	150.00	7.00	143.00	85.0	120.50
09/12/2017	75500663	BOSGANG, ALVIN	PUT C	09/15/17	67	S	1,300.00	65.00	7.00	58.00	85.0	48.25
09/13/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/06/17	62	S	21,590.00	1,050.00	7.00	1,043.00	85.0	885.50
09/13/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/06/17	63.50	S	27,166.67	1,050.00	7.00	1,043.00	85.0	885.50
09/13/2017	75500663	BOSGANG, ALVIN	PUT C	09/15/17	68	S	1,920.00	96.00	7.00	89.00	85.0	74.60
09/13/2017	75500663	BOSGANG, ALVIN	PUT C	09/22/17	67.50	S	9,780.00	489.00	7.00	482.00	85.0	408.65
09/13/2017	75500663	BOSGANG, ALVIN	PUT C	09/22/17	67	S	13,900.00	695.00	7.00	688.00	85.0	583.75
09/14/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/29/17	63	S	6,700.00	335.00	7.00	328.00	85.0	277.75
09/14/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/29/17	63.50	S	16,700.00	835.00	7.00	828.00	85.0	702.75
09/14/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/06/17	62	S	17,463.00	873.00	7.00	866.00	85.0	735.05
09/14/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/06/17	63.50	S	22,133.00	900.00	7.00	893.00	85.0	758.00
09/14/2017	75500663	BOSGANG, ALVIN	PUT C	09/22/17	67	S	14,040.00	702.00	7.00	695.00	85.0	589.70
09/14/2017	75500663	BOSGANG, ALVIN	PUT C	09/22/17	68.50	S	21,160.00	1,050.00	7.00	1,043.00	85.0	885.50
09/15/2017	75500663	BOSGANG, ALVIN	CALL DAL	09/29/17	49	S	92,655.00	0.00	7.00	-7.00	85.0	-7.00
09/15/2017	75500663	BOSGANG, ALVIN	CALL DAL	09/22/17	49	S	3,960.00	198.00	7.00	191.00	85.0	161.30
09/15/2017	75500663	BOSGANG, ALVIN	CALL SPY	01/20/17	222	B	84,941.00	0.00	7.00	-7.00	85.0	-7.00
09/15/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/29/17	63.50	S	14,020.00	701.00	7.00	694.00	85.0	588.85
09/15/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/06/17	62.50	S	11,520.00	576.00	7.00	569.00	85.0	482.60
09/15/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/06/17	62	S	14,600.00	730.00	7.00	723.00	85.0	613.50
09/15/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/06/17	63.50	S	17,902.00	750.00	7.00	743.00	85.0	630.50
09/15/2017	75500663	BOSGANG, ALVIN	PUT C	09/22/17	68.50	S	42,011.00	0.00	7.00	-7.00	85.0	-7.00
09/15/2017	75500663	BOSGANG, ALVIN	PUT DAL	09/15/17	50	B	91,185.00	0.00	7.00	-7.00	85.0	-7.00
09/15/2017	75500663	BOSGANG, ALVIN	PUT DAL	09/15/17	50.50	B	224,283.00	0.00	7.00	-7.00	85.0	-7.00
09/18/2017	75500663	BOSGANG, ALVIN	CALL DAL	09/29/17	49.50	S	3,832.00	114.00	7.00	107.00	85.0	89.90
09/18/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/13/17	64	S	10,060.00	300.00	7.00	293.00	85.0	248.00
09/18/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/06/17	64	S	6,700.00	300.00	7.00	293.00	85.0	248.00
09/18/2017	75500663	BOSGANG, ALVIN	PUT C	10/13/17	69	S	81,040.00	0.00	7.00	-7.00	85.0	-7.00
09/18/2017	75500663	BOSGANG, ALVIN	PUT C	10/06/17	68	S	9,080.00	454.00	7.00	447.00	85.0	378.90
09/18/2017	75500663	BOSGANG, ALVIN	PUT C	10/06/17	68.50	S	10,200.00	450.00	7.00	443.00	85.0	375.50
09/18/2017	75500663	BOSGANG, ALVIN	PUT C	09/22/17	67	B	211,600.00	0.00	7.00	-7.00	85.0	-7.00
09/18/2017	75500663	BOSGANG, ALVIN	PUT C	09/22/17	69	S	10,300.00	515.00	7.00	508.00	85.0	430.75
09/19/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/13/17	63.50	S	14,800.00	600.00	7.00	593.00	85.0	503.00
09/19/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/13/17	64	S	18,000.00	600.00	7.00	593.00	85.0	503.00
09/19/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/06/17	64	S	12,630.00	631.00	7.00	624.00	85.0	529.35
09/19/2017	75500663	BOSGANG, ALVIN	PUT C	10/13/17	69	S	43,250.00	1,050.00	7.00	1,043.00	85.0	885.50
09/19/2017	75500663	BOSGANG, ALVIN	PUT C	10/13/17	69	S	36,080.00	1,050.00	7.00	1,043.00	85.0	885.50
09/19/2017	75500663	BOSGANG, ALVIN	PUT C	10/06/17	68.50	S	8,120.00	406.00	7.00	399.00	85.0	338.10
09/20/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/13/17	63	S	9,620.00	450.00	7.00	443.00	85.0	375.50
09/20/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/13/17	63.50	S	11,720.00	450.00	7.00	443.00	85.0	375.50
09/20/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/13/17	64	S	26,225.00	750.00	7.00	743.00	85.0	630.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
09/20/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/06/17	64	S	3,320.00	150.00	7.00	143.00	85.0	120.50
09/20/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/29/17	64	S	1,250.00	62.00	7.00	55.00	85.0	45.70
09/20/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/06/17	62	S	2,060.00	103.00	7.00	96.00	85.0	80.55
09/20/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/06/17	63.50	S	2,380.00	119.00	7.00	112.00	85.0	94.15
09/20/2017	75500663	BOSGANG, ALVIN	PUT C	10/13/17	69	S	25,870.00	750.00	7.00	743.00	85.0	630.50
09/20/2017	75500663	BOSGANG, ALVIN	PUT C	10/13/17	69	S	21,530.00	750.00	7.00	743.00	85.0	630.50
09/25/2017	75500663	BOSGANG, ALVIN	CALL DAL	09/29/17	49.50	S	760.00	38.00	7.00	31.00	85.0	25.30
09/25/2017	75500663	BOSGANG, ALVIN	CALL DAL	10/06/17	49.50	S	1,400.00	60.00	7.00	53.00	85.0	44.00
09/25/2017	75500663	BOSGANG, ALVIN	CALL DAL	10/06/17	49	S	1,900.00	95.00	7.00	88.00	85.0	73.75
09/25/2017	75500663	BOSGANG, ALVIN	PUT C	10/13/17	69	S	8,920.00	300.00	7.00	293.00	85.0	248.00
09/25/2017	75500663	BOSGANG, ALVIN	PUT C	10/13/17	69	S	12,220.00	450.00	7.00	443.00	85.0	375.50
09/25/2017	75500663	BOSGANG, ALVIN	PUT C	10/13/17	70	S	10,730.00	450.00	7.00	443.00	85.0	375.50
09/25/2017	75500663	BOSGANG, ALVIN	PUT C	10/13/17	67.50	S	8,780.00	439.00	7.00	432.00	85.0	366.15
09/25/2017	75500663	BOSGANG, ALVIN	PUT C	10/06/17	68.50	S	4,820.00	241.00	7.00	234.00	85.0	197.85
09/25/2017	75500663	BOSGANG, ALVIN	PUT C	10/06/17	69	S	5,820.00	291.00	7.00	284.00	85.0	240.35
09/25/2017	75500663	BOSGANG, ALVIN	PUT C	09/29/17	68	S	1,240.00	62.00	7.00	55.00	85.0	45.70
09/26/2017	75500663	BOSGANG, ALVIN	CALL DAL	09/29/17	49.50	S	880.00	44.00	7.00	37.00	85.0	30.40
09/26/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/06/17	62	S	3,000.00	150.00	7.00	143.00	85.0	120.50
09/26/2017	75500663	BOSGANG, ALVIN	PUT C	10/13/17	69	S	28,980.00	1,050.00	7.00	1,043.00	85.0	885.50
09/26/2017	75500663	BOSGANG, ALVIN	PUT C	10/13/17	70	S	23,060.00	1,050.00	7.00	1,043.00	85.0	885.50
09/26/2017	75500663	BOSGANG, ALVIN	PUT C	10/13/17	67.50	S	13,371.43	668.00	7.00	661.00	85.0	560.80
09/27/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/13/17	62.50	S	11,210.00	450.00	7.00	443.00	85.0	375.50
09/27/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/06/17	62	S	3,840.00	192.00	7.00	185.00	85.0	156.20
09/27/2017	75500663	BOSGANG, ALVIN	PUT C	10/20/17	69	S	12,300.00	450.00	7.00	443.00	85.0	375.50
09/27/2017	75500663	BOSGANG, ALVIN	PUT C	10/13/17	70	S	20,080.00	750.00	7.00	743.00	85.0	630.50
09/27/2017	75500663	BOSGANG, ALVIN	PUT C	10/13/17	70	S	18,600.00	750.00	7.00	743.00	85.0	630.50
09/27/2017	75500663	BOSGANG, ALVIN	PUT C	10/13/17	69	S	32,220.00	1,431.00	7.00	1,424.00	85.0	1,209.35
09/27/2017	75500663	BOSGANG, ALVIN	PUT C	10/13/17	69	B	-18,600.00	-750.00	-7.00	-743.00	85.0	-630.50 C
09/27/2017	75500663	BOSGANG, ALVIN	PUT C	10/20/17	68	S	5,800.00	290.00	7.00	283.00	85.0	239.50
09/27/2017	75500663	BOSGANG, ALVIN	PUT C	10/20/17	68.50	S	10,400.00	450.00	7.00	443.00	85.0	375.50
09/28/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/13/17	62	S	4,720.00	236.00	7.00	229.00	85.0	193.60
09/28/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/13/17	62.50	S	6,240.00	300.00	7.00	293.00	85.0	248.00
09/28/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/13/17	63	S	10,880.00	450.00	7.00	443.00	85.0	375.50
09/28/2017	75500663	BOSGANG, ALVIN	PUT C	10/20/17	69.50	S	39,000.00	1,500.00	7.00	1,493.00	85.0	1,268.00
09/28/2017	75500663	BOSGANG, ALVIN	PUT C	07/21/17	64.50	S	28,583.00	900.00	7.00	893.00	85.0	758.00
09/28/2017	75500791	SUSLOVICH, ESTHER	PUT C	10/13/17	69	S	960.00	0.00	7.00	-7.00	85.0	-7.00
09/13/2017	75500837	BELL, MARTIN	PUT AABA	10/06/17	62	S	901.07	0.00	7.00	-7.00	85.0	-7.00
09/13/2017	75500837	BELL, MARTIN	PUT C	09/22/17	67	S	702.75	0.00	7.00	-7.00	85.0	-7.00
09/18/2017	75500837	BELL, MARTIN	PUT C	09/29/17	68	S	1,018.75	0.00	7.00	-7.00	85.0	-7.00
09/20/2017	75500837	BELL, MARTIN	PUT C	10/06/17	68	S	1,150.00	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
09/28/2017	75500837 BELL, MARTIN	PUT	BAC	10/13/17	24	S	700.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2017	75500837 BELL, MARTIN	PUT	C	10/13/17	69	S	1,082.17	0.00	7.00	-7.00	85.0	-7.00
09/28/2017	75500838 BELL, MARTIN	PUT	C	10/13/17	69	S	427.83	0.00	7.00	-7.00	85.0	-7.00
09/13/2017	75500859 LABELLA, CHARLES	PUT	C	09/22/17	67	S	702.75	0.00	7.00	-7.00	85.0	-7.00
09/27/2017	75500859 LABELLA, CHARLES	PUT	C	10/06/17	69	S	576.00	0.00	7.00	-7.00	85.0	-7.00
09/06/2017	75500864 WINICK, JESSE	PUT	AABA	09/22/17	60	S	3,600.00	300.00	7.00	293.00	85.0	248.00
09/11/2017	75500864 WINICK, JESSE	PUT	AABA	09/29/17	62	S	2,645.00	240.00	7.00	233.00	85.0	197.00
09/12/2017	75500864 WINICK, JESSE	PUT	AABA	09/29/17	61.50	S	1,300.00	130.00	7.00	123.00	85.0	103.50
09/13/2017	75500864 WINICK, JESSE	PUT	AABA	10/06/17	62	S	1,802.14	180.00	7.00	173.00	85.0	146.00
09/13/2017	75500864 WINICK, JESSE	PUT	AABA	10/06/17	62.50	S	2,406.67	240.00	7.00	233.00	85.0	197.00
09/14/2017	75500864 WINICK, JESSE	CALL	DAL	09/22/17	49.50	S	4,480.00	0.00	7.00	-7.00	85.0	-7.00
09/15/2017	75500864 WINICK, JESSE	CALL	BAC	09/29/17	24.50	S	6,050.00	600.00	7.00	593.00	85.0	503.00
09/15/2017	75500864 WINICK, JESSE	PUT	AABA	09/29/17	61.50	S	1,100.00	110.00	7.00	103.00	85.0	86.50
09/18/2017	75500864 WINICK, JESSE	PUT	AABA	10/06/17	62	S	2,400.00	240.00	7.00	233.00	85.0	197.00
09/18/2017	75500864 WINICK, JESSE	PUT	C	09/29/17	68.50	S	2,646.67	264.00	7.00	257.00	85.0	217.40
09/19/2017	75500864 WINICK, JESSE	PUT	AABA	10/13/17	63	S	3,740.00	360.00	7.00	353.00	85.0	299.00
09/19/2017	75500864 WINICK, JESSE	PUT	AABA	10/06/17	63.50	S	2,000.00	200.00	7.00	193.00	85.0	163.00
09/19/2017	75500864 WINICK, JESSE	PUT	C	10/06/17	68	S	2,100.00	210.00	7.00	203.00	85.0	171.50
09/19/2017	75500864 WINICK, JESSE	PUT	C	09/29/17	68.50	S	1,500.00	150.00	7.00	143.00	85.0	120.50
09/20/2017	75500864 WINICK, JESSE	PUT	C	10/06/17	69	S	3,640.00	300.00	7.00	293.00	85.0	248.00
09/22/2017	75500864 WINICK, JESSE	CALL	DAL	10/06/17	49.50	S	5,988.57	480.00	7.00	473.00	85.0	401.00
09/22/2017	75500864 WINICK, JESSE	PUT	BAC	10/13/17	24.50	S	6,040.00	600.00	7.00	593.00	85.0	503.00
09/25/2017	75500864 WINICK, JESSE	PUT	AABA	09/29/17	62	B	1,090.00	0.00	7.00	-7.00	85.0	-7.00
09/26/2017	75500864 WINICK, JESSE	PUT	C	10/13/17	70	S	2,600.00	260.00	7.00	253.00	85.0	214.00
09/26/2017	75500864 WINICK, JESSE	PUT	C	10/06/17	68.50	S	1,700.00	170.00	7.00	163.00	85.0	137.50
09/27/2017	75500864 WINICK, JESSE	PUT	BAC	10/13/17	24.50	S	4,680.00	468.00	7.00	461.00	85.0	390.80
09/27/2017	75500864 WINICK, JESSE	PUT	C	10/13/17	69	S	4,408.00	440.00	7.00	433.00	85.0	367.00
09/27/2017	75500864 WINICK, JESSE	PUT	C	10/06/17	68.50	S	1,700.00	170.00	7.00	163.00	85.0	137.50
09/28/2017	75500864 WINICK, JESSE	PUT	C	10/20/17	69	S	7,720.00	600.00	7.00	593.00	85.0	503.00
09/28/2017	75500864 WINICK, JESSE	PUT	C	10/13/17	70	S	5,870.00	450.00	7.00	443.00	85.0	375.50
09/28/2017	75500864 WINICK, JESSE	PUT	C	10/20/17	68.50	S	3,133.33	300.00	7.00	293.00	85.0	248.00
09/12/2017	75500867 GREENSPAN, WILLIAM	PUT	AABA	09/29/17	62	S	1,894.00	189.00	7.00	182.00	85.0	153.65
09/13/2017	75500867 GREENSPAN, WILLIAM	PUT	AABA	10/06/17	62	S	1,802.14	180.00	7.00	173.00	85.0	146.00
09/18/2017	75500867 GREENSPAN, WILLIAM	PUT	C	09/29/17	68.50	S	2,646.67	264.00	7.00	257.00	85.0	217.40
09/19/2017	75500867 GREENSPAN, WILLIAM	PUT	AABA	10/13/17	62	S	2,130.00	213.00	7.00	206.00	85.0	174.05
09/19/2017	75500867 GREENSPAN, WILLIAM	PUT	AABA	10/06/17	62	S	1,500.00	150.00	7.00	143.00	85.0	120.50
09/20/2017	75500867 GREENSPAN, WILLIAM	PUT	C	10/06/17	68.50	S	2,860.00	286.00	7.00	279.00	85.0	236.10
09/25/2017	75500867 GREENSPAN, WILLIAM	PUT	AABA	09/29/17	62	B	1,100.00	0.00	7.00	-7.00	85.0	-7.00
09/27/2017	75500867 GREENSPAN, WILLIAM	PUT	C	10/13/17	70	S	1,960.00	196.00	7.00	189.00	85.0	159.60
09/28/2017	75500867 GREENSPAN, WILLIAM	PUT	C	10/20/17	68.50	S	3,133.33	300.00	7.00	293.00	85.0	248.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
09/13/2017	75500881	SUSLOW, RONNIE	PUT AABA	10/06/17	62.50	S	2,406.67	0.00	7.00	-7.00	85.0	-7.00
09/18/2017	75500881	SUSLOW, RONNIE	PUT C	09/29/17	68	S	3,393.33	0.00	7.00	-7.00	85.0	-7.00
09/19/2017	75500881	SUSLOW, RONNIE	PUT C	10/13/17	70	S	7,085.00	0.00	7.00	-7.00	85.0	-7.00
09/19/2017	75500881	SUSLOW, RONNIE	PUT C	10/13/17	67.50	S	3,285.00	0.00	7.00	-7.00	85.0	-7.00
09/20/2017	75500881	SUSLOW, RONNIE	PUT C	10/13/17	70	S	4,720.00	0.00	7.00	-7.00	85.0	-7.00
09/20/2017	75500881	SUSLOW, RONNIE	PUT C	10/13/17	67.50	S	3,920.00	0.00	7.00	-7.00	85.0	-7.00
09/20/2017	75500881	SUSLOW, RONNIE	PUT C	10/13/17	70	S	3,220.00	0.00	7.00	-7.00	85.0	-7.00
09/20/2017	75500881	SUSLOW, RONNIE	PUT C	10/06/17	69	S	3,640.00	0.00	7.00	-7.00	85.0	-7.00
09/26/2017	75500881	SUSLOW, RONNIE	PUT C	10/13/17	67.50	S	2,674.29	0.00	7.00	-7.00	85.0	-7.00
09/27/2017	75500881	SUSLOW, RONNIE	PUT C	10/13/17	69	S	2,204.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2017	75500881	SUSLOW, RONNIE	PUT C	09/29/17	68	B	100.00	0.00	7.00	-7.00	85.0	-7.00
08/31/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/22/17	60	S	250.00	0.00	7.00	-7.00	85.0	-7.00
08/31/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/22/17	60.50	S	940.00	0.00	7.00	-7.00	85.0	-7.00
09/01/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/22/17	60.50	S	300.00	0.00	7.00	-7.00	85.0	-7.00
09/11/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/22/17	60	S	900.00	0.00	7.00	-7.00	85.0	-7.00
09/11/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/22/17	62.50	S	780.00	0.00	7.00	-7.00	85.0	-7.00
09/12/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/22/17	62.50	S	150.00	0.00	7.00	-7.00	85.0	-7.00
09/12/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/22/17	60	S	210.00	0.00	7.00	-7.00	85.0	-7.00
09/19/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/22/17	60	B	10.00	0.00	7.00	-7.00	85.0	-7.00
09/19/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/22/17	60.50	B	40.00	0.00	7.00	-7.00	85.0	-7.00
09/19/2017	75500882	SUSLOW, RONNIE	PUT BAC	09/22/17	24.50	S	540.00	0.00	7.00	-7.00	85.0	-7.00
09/20/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/06/17	62	S	250.00	0.00	7.00	-7.00	85.0	-7.00
09/20/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/06/17	62.50	S	310.00	0.00	7.00	-7.00	85.0	-7.00
09/20/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/22/17	60	B	50.00	0.00	7.00	-7.00	85.0	-7.00
09/20/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/22/17	62.50	B	40.00	0.00	7.00	-7.00	85.0	-7.00
09/20/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/22/17	60	B	10.00	0.00	7.00	-7.00	85.0	-7.00
09/20/2017	75500882	SUSLOW, RONNIE	PUT C	09/29/17	68	S	110.00	0.00	7.00	-7.00	85.0	-7.00
09/20/2017	75500882	SUSLOW, RONNIE	PUT C	09/29/17	68.50	S	150.00	0.00	7.00	-7.00	85.0	-7.00
09/20/2017	75500882	SUSLOW, RONNIE	PUT C	09/29/17	68	S	220.00	0.00	7.00	-7.00	85.0	-7.00
09/27/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/06/17	62	S	1,440.00	0.00	7.00	-7.00	85.0	-7.00
09/27/2017	75500882	SUSLOW, RONNIE	PUT C	09/29/17	68	B	10.00	0.00	7.00	-7.00	85.0	-7.00
09/27/2017	75500882	SUSLOW, RONNIE	PUT C	09/29/17	68.50	B	10.00	0.00	7.00	-7.00	85.0	-7.00
09/27/2017	75500882	SUSLOW, RONNIE	PUT C	09/29/17	68	B	10.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2017	75500882	SUSLOW, RONNIE	CALL SPY	01/20/17	222	S	1,490.00	0.00	7.00	-7.00	85.0	-7.00
09/07/2017	75500918	SUSLOW, ELISSA	PUT AABA	09/22/17	60.50	S	580.00	0.00	7.00	-7.00	85.0	-7.00
09/07/2017	75500918	SUSLOW, ELISSA	PUT AABA	09/22/17	60	S	1,490.00	0.00	7.00	-7.00	85.0	-7.00
09/13/2017	75500918	SUSLOW, ELISSA	PUT AABA	10/06/17	63.50	S	5,433.33	0.00	7.00	-7.00	85.0	-7.00
09/14/2017	75500918	SUSLOW, ELISSA	CALL BAC	09/15/17	24	S	1,120.00	0.00	7.00	-7.00	85.0	-7.00
09/14/2017	75500918	SUSLOW, ELISSA	CALL DAL	09/15/17	49	S	1,683.00	0.00	7.00	-7.00	85.0	-7.00
09/14/2017	75500918	SUSLOW, ELISSA	CALL DAL	09/15/17	49	B	-1,683.00	0.00	-7.00	7.00	85.0	7.00 C

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
09/14/2017	75500918	SUSLOW, ELISSA	CALL DAL	09/15/17	49	S	1,632.00	0.00	7.00	-7.00	85.0	-7.00
09/14/2017	75500918	SUSLOW, ELISSA	PUT AABA	09/29/17	61.50	S	1,480.00	0.00	7.00	-7.00	85.0	-7.00
09/14/2017	75500918	SUSLOW, ELISSA	PUT C	09/22/17	67.50	S	1,400.00	0.00	7.00	-7.00	85.0	-7.00
09/15/2017	75500918	SUSLOW, ELISSA	PUT AABA	09/29/17	63	S	3,000.00	0.00	7.00	-7.00	85.0	-7.00
09/18/2017	75500918	SUSLOW, ELISSA	CALL BAC	09/22/17	24	S	6,700.00	0.00	7.00	-7.00	85.0	-7.00
09/18/2017	75500918	SUSLOW, ELISSA	CALL BAC	09/22/17	24.50	S	6,400.00	0.00	7.00	-7.00	85.0	-7.00
09/18/2017	75500918	SUSLOW, ELISSA	CALL DAL	09/22/17	49	S	700.00	0.00	7.00	-7.00	85.0	-7.00
09/18/2017	75500918	SUSLOW, ELISSA	PUT AABA	10/06/17	63.50	S	3,000.00	0.00	7.00	-7.00	85.0	-7.00
09/18/2017	75500918	SUSLOW, ELISSA	PUT C	09/29/17	68	S	3,393.33	0.00	7.00	-7.00	85.0	-7.00
09/19/2017	75500918	SUSLOW, ELISSA	PUT AABA	10/13/17	63	S	3,740.00	0.00	7.00	-7.00	85.0	-7.00
09/19/2017	75500918	SUSLOW, ELISSA	PUT AABA	10/06/17	63.50	S	2,000.00	0.00	7.00	-7.00	85.0	-7.00
09/19/2017	75500918	SUSLOW, ELISSA	PUT C	10/13/17	70	S	7,085.00	0.00	7.00	-7.00	85.0	-7.00
09/19/2017	75500918	SUSLOW, ELISSA	PUT C	10/13/17	67.50	S	3,285.00	0.00	7.00	-7.00	85.0	-7.00
09/19/2017	75500918	SUSLOW, ELISSA	PUT C	10/06/17	68	S	2,100.00	0.00	7.00	-7.00	85.0	-7.00
09/19/2017	75500918	SUSLOW, ELISSA	PUT C	09/29/17	68	S	4,000.00	0.00	7.00	-7.00	85.0	-7.00
09/22/2017	75500918	SUSLOW, ELISSA	CALL DAL	10/06/17	49.50	S	1,871.43	0.00	7.00	-7.00	85.0	-7.00
09/22/2017	75500918	SUSLOW, ELISSA	PUT BAC	10/13/17	24.50	S	2,240.00	0.00	7.00	-7.00	85.0	-7.00
09/22/2017	75500918	SUSLOW, ELISSA	PUT BAC	10/13/17	24	S	2,280.00	0.00	7.00	-7.00	85.0	-7.00
09/25/2017	75500918	SUSLOW, ELISSA	PUT BAC	10/13/17	23.50	S	3,400.00	0.00	7.00	-7.00	85.0	-7.00
09/25/2017	75500918	SUSLOW, ELISSA	PUT BAC	10/13/17	23.50	B	4,200.00	0.00	7.00	-7.00	85.0	-7.00
09/25/2017	75500918	SUSLOW, ELISSA	PUT BAC	10/06/17	24	S	2,800.00	0.00	7.00	-7.00	85.0	-7.00
09/25/2017	75500918	SUSLOW, ELISSA	PUT BAC	10/06/17	24	B	3,600.00	0.00	7.00	-7.00	85.0	-7.00
09/26/2017	75500918	SUSLOW, ELISSA	PUT C	10/13/17	67.50	S	2,674.29	0.00	7.00	-7.00	85.0	-7.00
09/26/2017	75500918	SUSLOW, ELISSA	PUT C	10/06/17	69	S	2,400.00	0.00	7.00	-7.00	85.0	-7.00
09/27/2017	75500918	SUSLOW, ELISSA	PUT AABA	10/06/17	62.50	S	1,600.00	0.00	7.00	-7.00	85.0	-7.00
09/27/2017	75500918	SUSLOW, ELISSA	PUT C	10/13/17	69	S	4,408.00	0.00	7.00	-7.00	85.0	-7.00
09/27/2017	75500918	SUSLOW, ELISSA	PUT C	10/06/17	68.50	S	1,700.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2017	75500918	SUSLOW, ELISSA	PUT C	10/20/17	69	S	4,480.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2017	75500918	SUSLOW, ELISSA	PUT C	10/20/17	69	B	4,620.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2017	75500918	SUSLOW, ELISSA	PUT C	10/13/17	70	S	5,870.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2017	75500918	SUSLOW, ELISSA	PUT C	10/20/17	68	S	7,900.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2017	75500918	SUSLOW, ELISSA	PUT C	09/29/17	68	B	300.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2017	75500919	SUSLOW, ELISSA	PUT C	10/13/17	69	S	320.00	0.00	7.00	-7.00	85.0	-7.00
09/13/2017	75500953	SUSLOW, STEPHEN	PUT AABA	10/06/17	62	S	3,604.29	0.00	7.00	-7.00	85.0	-7.00
09/28/2017	75500953	SUSLOW, STEPHEN	PUT C	10/13/17	70	S	4,780.00	0.00	7.00	-7.00	85.0	-7.00
09/01/2017	75500954	SUSLOW, STEPHEN	PUT C	09/08/17	67	S	360.00	0.00	7.00	-7.00	85.0	-7.00
09/11/2017	75500954	SUSLOW, STEPHEN	PUT AABA	09/29/17	61	S	1,390.00	0.00	7.00	-7.00	85.0	-7.00
09/11/2017	75500954	SUSLOW, STEPHEN	PUT AABA	09/29/17	61.50	S	260.00	0.00	7.00	-7.00	85.0	-7.00
09/13/2017	75500954	SUSLOW, STEPHEN	PUT C	09/15/17	68	S	1,280.00	0.00	7.00	-7.00	85.0	-7.00
09/14/2017	75500954	SUSLOW, STEPHEN	PUT C	09/15/17	68.50	S	930.00	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
09/18/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	09/29/17	63.50	S	2,340.00	0.00	7.00	-7.00	85.0	-7.00
09/18/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	09/29/17	64	S	1,600.00	0.00	7.00	-7.00	85.0	-7.00
09/18/2017	75500954	SUSLOW, STEPHEN	PUT	C	09/29/17	68	S	3,393.33	0.00	7.00	-7.00	85.0	-7.00
09/20/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	09/29/17	63.50	S	530.00	0.00	7.00	-7.00	85.0	-7.00
09/25/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	09/29/17	63.50	B	6,920.00	0.00	7.00	-7.00	85.0	-7.00
09/25/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	09/29/17	64	B	4,000.00	0.00	7.00	-7.00	85.0	-7.00
09/26/2017	75500954	SUSLOW, STEPHEN	CALL	BAC	09/29/17	24.50	S	35.00	0.00	7.00	-7.00	85.0	-7.00
09/26/2017	75500954	SUSLOW, STEPHEN	PUT	C	09/29/17	68	S	1,500.00	0.00	7.00	-7.00	85.0	-7.00
09/27/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	10/13/17	62	S	8,870.00	0.00	7.00	-7.00	85.0	-7.00
09/27/2017	75500954	SUSLOW, STEPHEN	PUT	C	09/29/17	68	B	300.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	09/29/17	62	B	20.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	09/29/17	61	B	50.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	09/29/17	61.50	B	10.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2017	75500954	SUSLOW, STEPHEN	PUT	C	10/13/17	70	S	9,560.00	0.00	7.00	-7.00	85.0	-7.00
09/28/2017	75500954	SUSLOW, STEPHEN	PUT	C	10/06/17	68.50	S	760.00	0.00	7.00	-7.00	85.0	-7.00
09/13/2017	75500986	SUSLOVICH, JACOB	PUT	AABA	10/06/17	62	S	1,802.14	0.00	7.00	-7.00	85.0	-7.00
09/18/2017	75500986	SUSLOVICH, JACOB	PUT	C	09/29/17	68	S	1,507.75	0.00	7.00	-7.00	85.0	-7.00
09/06/2017	75501098	WINICK FAMILY	PUT	AABA	09/22/17	60.50	S	2,700.00	270.00	7.00	263.00	85.0	222.50
09/11/2017	75501098	WINICK FAMILY	CALL	SPY	01/20/17	222	B	750.00	0.00	7.00	-7.00	85.0	-7.00
09/11/2017	75501098	WINICK FAMILY	PUT	AABA	09/29/17	62	S	2,645.00	240.00	7.00	233.00	85.0	197.00
09/12/2017	75501098	WINICK FAMILY	PUT	AABA	09/29/17	61.50	S	1,300.00	130.00	7.00	123.00	85.0	103.50
09/13/2017	75501098	WINICK FAMILY	PUT	AABA	10/06/17	62	S	1,802.14	180.00	7.00	173.00	85.0	146.00
09/13/2017	75501098	WINICK FAMILY	PUT	AABA	10/06/17	62.50	S	2,406.67	240.00	7.00	233.00	85.0	197.00
09/14/2017	75501098	WINICK FAMILY	CALL	DAL	09/22/17	49	S	4,180.00	0.00	7.00	-7.00	85.0	-7.00
09/15/2017	75501098	WINICK FAMILY	CALL	BAC	09/29/17	24.50	S	6,050.00	600.00	7.00	593.00	85.0	503.00
09/15/2017	75501098	WINICK FAMILY	PUT	AABA	09/29/17	61.50	S	1,100.00	110.00	7.00	103.00	85.0	86.50
09/18/2017	75501098	WINICK FAMILY	PUT	C	09/29/17	68.50	S	2,646.67	264.00	7.00	257.00	85.0	217.40
09/19/2017	75501098	WINICK FAMILY	PUT	AABA	10/13/17	62.50	S	2,630.00	263.00	7.00	256.00	85.0	216.55
09/19/2017	75501098	WINICK FAMILY	PUT	AABA	10/06/17	62	S	1,500.00	150.00	7.00	143.00	85.0	120.50
09/19/2017	75501098	WINICK FAMILY	PUT	C	10/13/17	70	S	3,370.00	300.00	7.00	293.00	85.0	248.00
09/19/2017	75501098	WINICK FAMILY	PUT	C	10/06/17	68	S	2,100.00	210.00	7.00	203.00	85.0	171.50
09/19/2017	75501098	WINICK FAMILY	PUT	C	09/29/17	68	S	1,100.00	110.00	7.00	103.00	85.0	86.50
09/20/2017	75501098	WINICK FAMILY	PUT	C	10/06/17	68.50	S	2,860.00	286.00	7.00	279.00	85.0	236.10
09/22/2017	75501098	WINICK FAMILY	CALL	DAL	10/06/17	49	S	5,810.00	300.00	7.00	293.00	85.0	248.00
09/25/2017	75501098	WINICK FAMILY	PUT	AABA	09/29/17	62	B	1,090.00	0.00	7.00	-7.00	85.0	-7.00
09/27/2017	75501098	WINICK FAMILY	PUT	C	10/13/17	70	S	1,960.00	196.00	7.00	189.00	85.0	159.60
09/28/2017	75501098	WINICK FAMILY	PUT	C	10/20/17	68.50	S	3,133.33	300.00	7.00	293.00	85.0	248.00
09/28/2017	75501098	WINICK FAMILY	PUT	C	10/20/17	69	S	3,860.00	300.00	7.00	293.00	85.0	248.00
09/27/2017	75501104	CHRISTOPHER C	PUT	C	10/06/17	69	S	704.00	0.00	7.00	-7.00	85.0	-7.00
09/13/2017	75501215	SISTERS TWO LLC	PUT	C	09/22/17	67	S	702.75	70.00	7.00	63.00	85.0	52.50

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: OPTIONS									
09/18/2017	75501215	SISTERS TWO LLC	PUT C	09/29/17 68	S	1,018.75	101.00	7.00	94.00 85.0 78.85
09/20/2017	75501215	SISTERS TWO LLC	PUT C	10/06/17 67.50	S	1,840.00	184.00	7.00	177.00 85.0 149.40
09/28/2017	75501215	SISTERS TWO LLC	PUT BAC	10/13/17 24.50	S	1,455.00	145.00	7.00	138.00 85.0 116.25
09/28/2017	75501215	SISTERS TWO LLC	PUT C	10/13/17 70	S	1,210.00	121.00	7.00	114.00 85.0 95.85
09/13/2017	75502117	BLUMA ROTTERMANN TTEE PUT AABA	10/06/17 62	S	901.07	90.00	7.00	83.00 85.0 69.50	
09/13/2017	75502117	BLUMA ROTTERMANN TTEE PUT C	09/22/17 67	S	702.75	70.00	7.00	63.00 85.0 52.50	
09/18/2017	75502117	BLUMA ROTTERMANN TTEE PUT C	09/29/17 68	S	1,018.75	101.00	7.00	94.00 85.0 78.85	
09/20/2017	75502117	BLUMA ROTTERMANN TTEE PUT C	10/06/17 68	S	1,150.00	115.00	7.00	108.00 85.0 90.75	
09/28/2017	75502117	BLUMA ROTTERMANN TTEE PUT BAC	10/13/17 24.50	S	1,455.00	145.00	7.00	138.00 85.0 116.25	
* OPTIONS SUBTOTAL *					\$2,926,534.80	\$63,329.00	\$1,995.00	\$61,334.00	\$51,834.65
*** BROKER TRANSACTIONS TOTAL ***					\$7,041,241.70	\$63,439.00	\$2,177.00	\$61,262.00	\$51,746.15

ADJUSTMENTS

09/29/2017	OTHER: OTHER-PAYROLL EXPENSES	0.00	0.00	0.00	0.00	-43,545.90
09/29/2017	OTHER: OTHER-HEALTH INSURANCE	0.00	0.00	0.00	0.00	-496.00
09/29/2017	OTHER: OTHER-CAPITAL ONE	0.00	0.00	0.00	0.00	-2,642.96
09/29/2017	OTHER: OTHER-CHASE	0.00	0.00	0.00	0.00	-1,009.05
09/29/2017	OTHER: OTHER--PERSHING TICKETS CHAR	0.00	0.00	0.00	0.00	-80.50
09/29/2017	OTHER: OTHER-BEN BOSGANG	0.00	0.00	0.00	0.00	-1,000.00
09/29/2017	OTHER: OTHER-MEDICAL EXPENSES	0.00	0.00	0.00	0.00	-600.46
09/29/2017	OTHER: OTHER-WARD	0.00	0.00	0.00	0.00	167.56
09/29/2017	OTHER: OTHER-VICTOR	0.00	0.00	0.00	0.00	225.87
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-48,981.44

BROKER COMMISSION TOTALS

Broker Transactions	\$51,746.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-48,981.44
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$198,414.50
FINRA Assessment	\$-79.30
SIPC Assessment	\$-158.60

Check Amount	\$200,941.31
Year-to-date Earnings	\$123,660.01