All Companies

R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT May 31, 2018

BROKER #: R43

BRANCH: FULCRUM GROUP

JENNIFER HIEBER

171 EAST 84TH STREET APT 33C

NEW YORK, NY 10028-

COMMISSION STATEMENT for JENNIFER HIEBER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER	TRANS	ACTIONS					
PRODUCT CAT	EGORY: STOCKS								
04/27/2018 QG	W037236 JACOBSON, HARVEY	BLACKSTONE GROUP L P (THE) COM	MON UB	21,600.00	100.00	0.00	100.00	60.0	60.00
05/02/2018 QG	W037236 JACOBSON, HARVEY	FACEBOOK INC CL A	В	7,093.46	100.00	0.00	100.00	60.0	60.00
05/04/2018 QG	W037236 JACOBSON, HARVEY	APPLE INC	S	-14,641.82	100.00	0.00	100.00	60.0	60.00
05/23/2018 QG	W037236 JACOBSON, HARVEY	CVS HEALTH CORPORATION	S	-18,277.50	100.00	0.00	100.00	60.0	60.00
05/23/2018 QG	W037236 JACOBSON, HARVEY	CVS HEALTH CORPORATION	S	18,277.50	-100.00	0.00	-100.00	60.0	-60.00 C
05/23/2018 QG	W037236 JACOBSON, HARVEY	CVS HEALTH CORPORATION	S	-18,277.50	100.00	0.00	100.00	60.0	60.00
05/04/2018 QG	W037244 HEIBER, JEAN	SQUARE INC CLASS A COM	В	244,589.50	100.00	0.00	100.00	60.0	60.00
05/04/2018 QG	W037244 HEIBER, JEAN	SQUARE INC CLASS A COM	В	-244,589.50	-100.00	0.00	-100.00	60.0	-60.00 C
05/07/2018 QG	W037244 HEIBER, JEAN	WORLD WRESTLING ENTERTAINMENT	INC CS	-83,500.00	1,000.00	0.00	1,000.00	60.0	600.00
05/07/2018 QG	W037269 EINHORN, GERALD	WORLD WRESTLING ENTERTAINMENT	INC CS	-10,437.50	250.00	0.00	250.00	60.0	150.00
05/04/2018 QG	W037277 HEIBER, JEAN	RIOT BLOCKCHAIN INC COM	В	39,350.00	100.00	0.00	100.00	60.0	60.00
05/17/2018 QG	W037277 HEIBER, JEAN	RIOT BLOCKCHAIN INC COM	S	-129,750.00	1,000.00	0.00	1,000.00	60.0	600.00
04/27/2018 QG	W037285 HEIBER, JEAN	AMAZON.COM INC	В	79,283.40	100.00	0.00	100.00	60.0	60.00
04/27/2018 QG	W037285 HEIBER, JEAN	FACEBOOK INC CL A	В	174,339.90	100.00	0.00	100.00	60.0	60.00
05/01/2018 QG	W037285 HEIBER, JEAN	GRUBHUB INC COM	В	184,000.00	100.00	0.00	100.00	60.0	60.00
05/01/2018 QG	W037285 HEIBER, JEAN	TWILIO INC CL A	S	-212,750.00	1,000.00	0.00	1,000.00	60.0	600.00
05/02/2018 QG	W037285 HEIBER, JEAN	GNC HLDGS INC COM CL A	В	36,200.00	100.00	0.00	100.00	60.0	60.00
05/02/2018 QG	W037285 HEIBER, JEAN	GRUBHUB INC COM	S	-192,500.00	1,000.00	0.00	1,000.00	60.0	600.00
05/02/2018 QG	W037285 HEIBER, JEAN	GW PHARMACEUTICALS PLC ADS	S	-139,250.00	500.00	0.00	500.00	60.0	300.00
05/02/2018 QG	W037285 HEIBER, JEAN	SQUARE INC CLASS A COM	В	245,735.00	100.00	0.00	100.00	60.0	60.00
05/04/2018 QG	W037285 HEIBER, JEAN	FACEBOOK INC CL A	S	-176,540.00	100.00	0.00	100.00	60.0	60.00
05/04/2018 QG	W037285 HEIBER, JEAN	SQUARE INC CLASS A COM	В	244,589.50	100.00	0.00	100.00	60.0	60.00
05/07/2018 QG	W037285 HEIBER, JEAN	FACEBOOK INC CL A	S	-179,000.00	1,000.00	0.00	1,000.00	60.0	600.00
05/07/2018 QG	W037285 HEIBER, JEAN	SQUARE INC CLASS A COM	S	-510,000.00	1,000.00	0.00	1,000.00	60.0	600.00
05/09/2018 QG	W037285 HEIBER, JEAN	GNC HLDGS INC COM CL A	В	31,300.00	100.00	0.00	100.00	60.0	60.00
04/27/2018 QG	W037301 JACOBSON, HARVEY	CYPRESS SEMICONDUCTOR CORP	В	3,800.00	100.00	0.00	100.00	60.0	60.00
04/27/2018 QG	W037301 JACOBSON, HARVEY	KINDER MORGAN INC	В	14,000.00	100.00	0.00	100.00	60.0	60.00
04/30/2018 QG	W037301 JACOBSON, HARVEY	CYPRESS SEMICONDUCTOR CORP	В	4,511.04	100.00	0.00	100.00	60.0	60.00
05/02/2018 QG	W037301 JACOBSON, HARVEY	CITIGROUP INC COM	В	7,508.17	100.00	0.00	100.00	60.0	60.00
05/02/2018 QG	W037301 JACOBSON, HARVEY	CRONOS GROUP INC COM ISIN#CA22	717L1 B	3,647.94	100.00	0.00	100.00	60.0	60.00
05/02/2018 QG	W037301 JACOBSON, HARVEY	GENERAL ELECTRIC CO	В	7,123.30	100.00	0.00	100.00	60.0	60.00

INVESTMENT

GROSS

CLEARING

NET

Page No. 2

BROKER

PRODUCT CATEGORY: STOCKS	TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
PRODUCT CATEGORY: STOCKS											
STATE STAT				BROKER	TRANSA	CTIONS					
STATE STAT	ספרטנוכיד כישי	TECOPV. (STOCKS								
				CNC HIDGS INC COM CL A	R	3 556 00	100 00	0 00	100 00	60 O	60.00
05/11/2018 QGM37301 JACOBSON, HARVEY BUCKEYE PARTHERS LP URITS LTD PARTH 0.6,746.28 100.00 0.00 100.00 6.0 60.00 05/11/2018 QGM37301 JACOBSON, HARVEY MESTERN UN CO COM 8 6,745.68 100.00 0.00 100.00 60.0 60.00 05/11/2018 QGM37301 JACOBSON, HARVEY MESTERN UN CO COM 8 6,795.66 100.00 0.00 100.00 60.0 60.00 05/11/2018 QGM37301 JACOBSON, HARVEY MESTERN UN CO COM 8 6,795.68 100.00 0.00 100.00 60.0 60.00 05/11/2018 QGM37301 JACOBSON, HARVEY MEDITE FARTHERS LP URITS LTD FARTH 8 6,579.59 100.00 0.00 100.00 60.0 60.00 05/11/2018 QGM37343 TREET, HARVEY BUCKEYE PARTHERS LP URITS LTD FARTH 8 6,579.59 100.00 0.00 100.00 60.0 60.00 05/10/2018 QGM37343 FARTHERS, JACOBSON TARGET CORP CORPLIAN 8 6,579.59 100.00 0.00 100.00 60.0 60.00 05/10/2018 QGM37418 PARTHERS, JACOBSON TARGET CORP CORPLIAN 8 7.133.30 100.00 0.00 100.00 60.0 60.00 05/10/2018 QGM37418 PARTHERS, JACOBSON CORPLIAN ELECTRIC CORP 8 7.133.30 100.00 0.00 100.00 60.0 60.00 05/10/2018 QGM37418 PARTHERS, JACOBSON CENTRAL ELECTRIC CORP 8 7.133.30 100.00 0.00 100.00 60.0 60.00 05/10/2018 QGM37418 PARTHERS, JACOBSON CENTRAL ELECTRIC CORP 8 7.133.30 100.00 0.00 100.00 60.0 60.00 05/10/2018 QGM37418 PARTHERS, JACOBSON CENTRAL ELECTRIC CORP 8 8,797.50 100.00 0.00 100.00 60.0 60.00 05/10/2018 QGM37418 PARTHERS, JACOBSON CENTRAL ELECTRIC CORP 8 8,797.50 100.00 0.00 0.00 100.00 60.0 60.00 05/10/2018 QGM37418 PARTHERS, JACOBSON CENTRAL ELECTRIC CORP 8 8,797.50 100.00 0.00	~		•			•					
Seffect Seff	~		•								
0.5/21/2018 CON037340 JACOBSON, RARVEY BUCKEY PARTHENS LE UNITS LID PARTNER 6.617.95 100.00 0.00 100.00 60.00 0.500											
0.5/10/2018 \(\text{QPM037343} \) \(\text{TRUET, IMBRUEY} \) \(\text{ROSITIONS INTERTALLY BATTURE IN PARTURE IN C \) \(\text{S} \) \(\text{Constitution of the parture in C \) \(\text{S} \) \(\text{Constitution of the parture in C \) \(\text{S} \) \(\text{Constitution of the parture in C \) \(\text{S} \) \(\text{Constitution of the parture in C \) \(\text{S} \) \(\text{Constitution of the parture in C \) \(\text{S} \) \(\text{Constitution of the parture in C \) \(\text{S} \) \(\text{Constitution of the parture in C \) \(\text{S} \) \(\text{Constitution of the parture in C \) \(\text{S} \) \(\text{Constitution of the parture in C \) \(\text{S} \) \(\text{Constitution of the parture in C \) \(\text{S} \) \(Constitution of the parture in C \) \(\text{Constitution of the parture in C \) \(\											
0.000000000000000000000000000000000000					_						
04/30/2018 QON037418 PARTNERS, JACOBSON CONTINS INC S -10.678.00 100.00 0.00 100.00 6.0 6.00 05/01/2018 QON037418 PARTNERS, JACOBSON CONTINS INC S -10.678.00 100.00 0.00 100.00 6.0 6.00 05/02/2018 QON037418 PARTNERS, JACOBSON CONTINS INC S -10.678.00 100.00 0.00 0.00 100.00 6.0 6.0 0.00 05/02/2018 QON037418 PARTNERS, JACOBSON CORPORATION B -10.7133.00 100.00 0.00 0.00 0.00 0.00 0.00 0											
STATE STAT											
05/01/2018 QGW037418 PARTNERS, JACOBSON GENERAL ELECTRIC COM S											
05/02/2018 QGN037418 PARTNERS, JACOBSON TEVA PHARMACENTICAL INDUSTRIES LIMI S					-						
05/16/2018 QGW037418 PARTNERS, JACOBSON CHEVROR CORPORATION B 6,378.67 100.00 0.00 100.00 60.0 60.00 05/32/2018 QGW037418 PARTNERS, JACOBSON CHEVROR CORPORATION B 6,695.38 100.00 0.00 100.00 60.0 60.00 05/07/2018 QGW037418 BERLEY, KIMBERLEY WELLS PARGO & CO B 6,695.38 100.00 0.00 0.00 100.00 60.0 60.00 05/07/2018 QGW037434 BIERLEY, KIMBERLEY WELLS PARGO & CO B 7.522/2018 QGW037442 DWARD JOHNSON LIV, GERON CORP B 5,574.50 100.00 0.00 100.00 100.00 60.0 05/07/2018 QGW037445 BIERLEY, KIMBERLEY WELLS PARGO & CO B 7.522/2018 QGW037445 DWARD JOHNSON LIV, GERON CORP B 7.522/2018 QGW037445 DWARD JOHNSON LIV, GERON CORP B 7.522/2018 QGW037445 DWARD JOHNSON LIV, GERON CORP B 7.522/2018 QGW037445 GUGANTE, SANDEA WORLD WRESTLING ENTERTAINMENT INC C S 8.550.00 0.00 0.00 0.00 0.00 0.00 0.00											
05/23/2018 GW037418 PARTNERS, JACOBSON KELLOGG COMPANY B 6,878.67 100.00 0.00 100.00 60.0 60.00 65/24/2018 GW0374418 PARTNERS, JACOBSON KELLOGG COMPANY B 6,895.38 100.00 0.00 100.00 60.0 60.00 65/07/2018 GW037442 EMBERLEY, KIRBERLEY RUGG & CO B 6 5,274.50 100.00 0.00 100.00 60.0 60.00 65/07/2018 GW037445 EMBERLEY, KIRBERLEY RUGG & CO B 7.00 0.00 0.00 0.00 0.00 0.00 0.00 0.					_						
05/24/2018 QR0037418 PARTNERS, JACOBSON KELLOGG COMPANY B 6,895.38 100.00 0.00 100.00 60.0 60.00 65.00 65.07/2018 QR0037424 BIERLEY, KIMBERLEY WELLS PARGO & CO B 5,274.50 100.00 0.00 100.00 60.0 60.00 65.02 65.	~		,								
05/07/2018 QRW037448 BIERLEY, KIMBERLEY WELLS FARGO & CORP 05/07/2018 QRW037442 EDWARD JOHNSON LIV, GENON CORP 05/07/2018 QRW037445 BIERS, CHRISTINA WORLD WRESTLING ENTERTAINMENT INC CS 05/07/2018 QRW037467 GIGANTE, SANDRA WORLD WRESTLING ENTERTAINMENT INC CS 05/07/2018 QRW037483 HOLBROOK, WILLIAM MACYS INC 05/16/2018 QRW037483 HOLBROOK, WILLIAM MACYS INC 05/16/2018 QRW037493 HOLBROOK, WILLIAM MACYS INC 05/16/2018 QRW037493 HOLBROOK, WILLIAM MACYS INC 05/10/2018 QRW037491 SINCOFF, JAY GERON CORP 05/10/2018 QRW037491 GROON, JAVID MACYS INC 05/10/2018 QRW037590 GASTON, DAVID MACYS INC 05/09/2018 QRW037590 GASTON, DAVID MACYS INC 05/09/2018 QRW037590 GASTON, DAVID MACYS INC 05/20/2018 QRW037590 GASTON, DAVID MACYS	~		,								
05/22/2018 QGW037495 HIBER, CHRISTINA WORLD WRESTLING ENTERTAINMENT INC C S	~		•								
05/07/2018 QGW037459 HIBER, CHRISTINA WORLD WRESTLING ENTERTAINMENT INC C S											
05/07/2018 QGW037467 GIGANTE, SANDRA WORLD WRESTLING ENTERTAINMENT INC C S					_						
05/14/2018 GW037483 HOLBROOK, WILLIAM MACYS INC S -33,241.50 100.00 0.00 100.00 60.0 60.00 60.00 65/10/2018 GW037483 HOLBROOK, WILLIAM MACYS INC S -33,241.50 100.00 0.00 100.00 60.0 60.00 65/10/2018 GW037483 HOLBROOK, WILLIAM CCCA COLA COMPANY (THE) B 42,229.90 100.00 0.00 100.00 60.0 60.00 65/10/2018 GW037491 SINCOFF, JAY GERON CORP B 2,220.00 100.00 0.00 100.00 60.0 60.00 65/09/2018 GW037509 GASTON, DAVID AUTODESK INC B 13,568.62 100.00 0.00 100.00 60.0 60.00 65/09/2018 GW037509 GASTON, DAVID AUTODESK INC S -6,815.82 100.00 0.00 100.00 60.0 60.00 65/22/2018 GW037509 GASTON, DAVID APACHE CORP COMMON B 4,132.32 100.00 0.00 100.00 60.0 60.00 65/22/2018 GW037509 GASTON, DAVID APACHE CORP COMMON B 4,132.32 100.00 0.00 100.00 60.0 60.00 65/24/2018 GW037509 GASTON, DAVID SUNTRUST BANKS INC B 6,913.61 100.00 0.00 100.00 60.0 60.00 65/24/2018 GW037509 GASTON, DAVID SUNTRUST BANKS INC B 6,913.61 100.00 0.00 100.00 60.0 60.00 65/24/2018 GW037509 GASTON, DAVID SUNTRUST BANKS INC B 6,913.61 100.00 0.00 100.00 60.0 60.00 65/24/2018 GW037509 GW											
05/16/2018 QGW037483 HOLBROOK, WILLIAM MACYS INC S -33,241.50 100.00 0.00 100.00 60.0 60.00 05/17/2018 QGW037493 HOLBROOK, WILLIAM COCA COLA COMPANY (THE) B 42,229.90 100.00 0.00 100.00 60.0 60.00 05/10/2018 QGW037491 SINCOFF, JAY GERON CORP B 2,220.00 100.00 0.00 100.00 60.0 60.00 05/09/2018 QGW037509 GASTON, DAVID AUTIDESK INC S -6,815.82 100.00 0.00 100.00 60.0 60.00 05/09/2018 QGW037509 GASTON, DAVID ACCENTURE PLC IRELAND SHS CL A S -7,802.51 100.00 0.00 100.00 60.0 60.00 05/22/2018 QGW037509 GASTON, DAVID ACCENTURE PLC IRELAND SHS CL A S -7,802.51 100.00 0.00 100.00 60.0 60.00 05/22/2018 QGW037509 GASTON, DAVID ACCENTURE PLC IRELAND SHS CL A S -7,802.51 100.00 0.00 100.00 60.0 60.00 05/22/2018 QGW037509 GASTON, DAVID ACCENTURE PLC IRELAND SHS CL A S -7,802.51 100.00 0.00 100.00 60.0 60.00 05/22/2018 QGW037509 GASTON, DAVID SINTRUST BANKS INC B 6,913.61 100.00 0.00 100.00 60.0 60.00 05/22/2018 QGW037509 GASTON, DAVID SINTRUST BANKS INC B 6,913.61 100.00 0.00 100.00 60.0 60.00 05/22/2018 QGW037517 JABLOW FAMILLY TRUST, WORLD WRESTLING ENTERTAINMENT INC C S -20,875.00 500.00 0.00 500.00 500.00 60.0 60.0											
05/17/2018 QGW037483 HOLBROOK, WILLIAM COCA COLA COMPANY (THE) B 42,229.90 100.00 0.00 100.00 60.0 60.00 60.00 65/10/2018 QGW037491 SINCOFF, JAY GERON CORP B 2,220.00 100.00 0.00 100.00 60.0 60.00 60.00 65/10/2018 QGW037509 GASTON, DAVID AUTODESK INC B 13,568.62 100.00 0.00 100.00 60.0 60.0 60.00 60											
05/10/2018 QGW037491 SINCOFF, JAY GERON CORP B 2,220.00 100.00 0.00 100.00 60.0 60.00 60.00 05/09/2018 QGW037509 GASTON, DAVID AUTODESK INC B 13,568.62 100.00 0.00 100.00 60.0 60.00 60.00 05/09/2018 QGW037509 GASTON, DAVID XILINX INC S -6,815.82 100.00 0.00 100.00 60.0 60.00 60.00 05/22/2018 QGW037509 GASTON, DAVID ACCENTURE PLC IRELAND SHS CL A S -7,802.51 100.00 0.00 100.00 60.0 60.00 60.00 05/22/2018 QGW037509 GASTON, DAVID APACHE CORP COMMON B 4,132.32 100.00 0.00 100.00 60.0 60.00 60.00 05/24/2018 QGW037509 GASTON, DAVID SUNTRUST BANKS INC B 6,913.61 100.00 0.00 100.00 60.0 60.00 05/24/2018 QGW037517 JABLOW FAMILY TRUST, WORLD WRESTLING ENTERTAINMENT INC C S -20,875.00 500.00 0.00 500.00 500.00 60.0 60.0					-						
05/09/2018 QGW037509 GASTON, DAVID AUTODESK INC B 13,568.62 100.00 0.00 100.00 60.0 60.00 05/09/2018 QGW037509 GASTON, DAVID XILINX INC S -6,815.82 100.00 0.00 100.00 60.0 60.00 05/22/2018 QGW037509 GASTON, DAVID ACCENTURE PLC IRELAND SHS CL A S -7,802.51 100.00 0.00 100.00 60.0 60.00 05/22/2018 QGW037509 GASTON, DAVID APACHE CORP COMMON B 4,132.32 100.00 0.00 100.00 60.0 60.00 05/24/2018 QGW037509 GASTON, DAVID APACHE CORP COMMON B 6,913.61 100.00 0.00 100.00 60.0 60.00 05/24/2018 QGW037517 JABLOW FAMILY TRUST, WORLD WRESTLING ENTERTAINMENT INC CS -20,875.00 500.00 0.00 100.00 60.0 60.00 05/01/2018 QGW037525 HOLBROOK, WILLIAM PFIZER INCORPORATED B 34,916.60 100.00 0.00 100.00 60.0 60.0 60.00 05/11/2018 QGW037525 HOLBROOK, WILLIAM GENERAL ELECTRIC CO B 14,565.00 100.00 0.00 100.00 60.0 60.0 60.00 05/11/2018 QGW037533 GASTON, DAVID ALES THE ALERIAN MLP S -3,097.50 100.00 0.00 100.00 60.0 60.0 60.00 05/09/2018 QGW037533 GASTON, DAVID ALES THE ALERIAN MLP S -3,097.50 100.00 0.00 100.00 60.0 60.0 60.0 05/09/2018 QGW037533 GASTON, DAVID ALES THE ALERIAN MLP S -3,097.50 100.00 0.00 100.00 60.0 60.0 60.0 05/09/2018 QGW037533 GASTON, DAVID ALES THE ALERIAN MLP S -3,097.50 100.00 0.00 100.00 60.0 60.0 60.00 05/05/25/2018 QGW037533 GASTON, DAVID VERIZON COMMUNICATIONS S -4,623.39 100.00 0.00 100.00 60.0 60.0 60.00 05/16/2018 QGW037541 2011 TRUST, SQUARE INC CLASS A COM S -38,885.00 100.00 0.00 0.00 100.00 60.0 60.0 60											
05/09/2018 QCW037509 GASTON, DAVID XILINX INC S -6,815.82 100.00 0.00 100.00 60.0 60.00 05/22/2018 QCW037509 GASTON, DAVID ACCENTURE PLC IRELAND SHS CL A S -7,802.51 100.00 0.00 100.00 60.0 60.00 60.00 05/24/2018 QCW037509 GASTON, DAVID APACHE CORP COMMON B 4,132.32 100.00 0.00 100.00 60.0 60.00 60.00 05/24/2018 QCW037509 GASTON, DAVID SUNTRUST BANKS INC B 6,913.61 100.00 0.00 100.00 60.0 60.00 05/07/2018 QCW037517 JABLOW FAMILY TRUST, WORLD WRESTLING ENTERTAINMENT INC CS -20,875.00 500.00 0.00 500.00 60.0 60.0 60.00 05/01/2018 QCW037525 HOLBROOK, WILLIAM PIZER INCORPORATED B 34,916.60 100.00 0.00 100.00 60.0 60.0 60.0											
05/22/2018 QGW037509 GASTON, DAVID ACCENTURE PLC IRELAND SHS CL A S -7,802.51 100.00 0.00 100.00 60.0 60.00 05/24/2018 QGW037509 GASTON, DAVID APACHE CORP COMMON B 4,132.32 100.00 0.00 100.00 60.0 60.00 05/24/2018 QGW037509 GASTON, DAVID SUNTRUST BANKS INC B 6,913.61 100.00 0.00 100.00 60.0 60.00 05/07/2018 QGW037517 JABLOW FAMILY TRUST, WORLD WRESTLING ENTERTAINMENT INC CS -20,875.00 500.00 0.00 500.00 60.0 500.00 05/01/2018 QGW037525 HOLBROOK, WILLIAM PFIZER INCORPORATED B 34,916.60 100.00 0.00 100.00 60.0 60.00 05/11/2018 QGW037525 HOLBROOK, WILLIAM GENERAL ELECTRIC CO B 14,565.00 100.00 0.00 100.00 60.0 60.00 05/14/2018 QGW037525 HOLBROOK, WILLIAM GENERAL MILLS INC B 42,659.50 100.00 0.00 100.00 60.0 60.00 05/09/2018 QGW037533 GASTON, DAVID ALPS ETF TR ALERIAN MLP S -3,097.50 100.00 0.00 100.00 60.0 60.00 05/09/2018 QGW037533 GASTON, DAVID VERIZON COMMUNICATIONS S -4,623.39 100.00 0.00 100.00 60.0 60.00 05/05/25/2018 QGW037533 GASTON, DAVID AUTODESK INC B 6,613.60 100.00 0.00 100.00 60.0 60.00 05/16/2018 QGW037541 2011 TRUST, SQUARE INC CLASS A COM S -38,885.00 100.00 0.00 100.00 60.0 60.0 60.00 05/07/2018 QGW037566 WAINE 1992 IRREV TR, WORLD WRESTLING ENTERTAINMENT INC CS -20,875.00 500.00 0.00 500.00 60.0 60.0 60.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC CS -4,175.00 100.00 0.00 100.00 60.0 60.0 60.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC CS -4,175.00 100.00 0.00 100.00 60.0 60.00 60.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC CS -4,175.00 100.00 0.00 100.00 60.0 60.00 60.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC CS -4,175.00 100.00 0.00 0.00 100.00 60.0 60.00 60.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC CS -4,175.00 100.00 0.00 0.00 0.00 0.00 0.00 60.0 60.											
05/24/2018 QGW037509 GASTON, DAVID APACHE CORP COMMON B 4,132.32 100.00 0.00 100.00 60.0 60.00 05/24/2018 QGW037509 GASTON, DAVID SUNTRUST BANKS INC B 6,913.61 100.00 0.00 100.00 60.0 60.00 05/07/2018 QGW037517 JABLOW FAMILY TRUST, WORLD WRESTLING ENTERTAINMENT INC C S -20,875.00 500.00 0.00 500.00 60.0 60.00 05/01/2018 QGW037525 HOLBROOK, WILLIAM PFIZER INCORPORATED B 34,916.60 100.00 0.00 100.00 60.0 60.00 60.00 05/11/2018 QGW037525 HOLBROOK, WILLIAM GENERAL ELECTRIC CO B 14,565.00 100.00 0.00 100.00 60.0 60.00 60.00 05/14/2018 QGW037525 HOLBROOK, WILLIAM GENERAL MILLS INC B 42,659.50 100.00 0.00 100.00 60.0 60.00 60.00 05/09/2018 QGW037533 GASTON, DAVID ALPS ETF TR ALERIAN MLP S -3,097.50 100.00 0.00 100.00 60.0 60.00 05/09/2018 QGW037533 GASTON, DAVID VERIZON COMMUNICATIONS S -4,623.39 100.00 0.00 100.00 60.0 60.00 05/25/2018 QGW037533 GASTON, DAVID AUTODESK INC B 6,613.60 100.00 0.00 100.00 60.0 60.00 05/16/2018 QGW037541 2011 TRUST, SQUARE INC CLASS A COM S -38,885.00 100.00 0.00 100.00 60.0 60.0 60.00 05/07/2018 QGW037566 WAINE 1992 IRREV TR, WORLD WRESTLING ENTERTAINMENT INC C S -20,875.00 500.00 0.00 100.00 60.0 60.0 60.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC C S -4,175.00 100.00 0.00 100.00 60.0 60.0 60.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC C S -4,175.00 100.00 0.00 100.00 60.0 60.00 60.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC C S -4,175.00 100.00 0.00 100.00 60.0 60.00 60.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC C S -4,175.00 100.00 0.00 100.00 60.0 60.00 6					-						
05/24/2018 QGW037519 GASTON, DAVID SUNTRUST BANKS INC B 6,913.61 100.00 0.00 100.00 60.0 60.00 05/07/2018 QGW037517 JABLOW FAMILY TRUST, WORLD WRESTLING ENTERTAINMENT INC C S -20,875.00 500.00 0.00 500.00 60.0 300.00 05/01/2018 QGW037525 HOLBROOK, WILLIAM PFIZER INCORPORATED B 34,916.60 100.00 0.00 100.00 60.0 60.00 60.00 05/11/2018 QGW037525 HOLBROOK, WILLIAM GENERAL ELECTRIC CO B 14,565.00 100.00 0.00 100.00 60.0 60.00 60.00 05/14/2018 QGW037525 HOLBROOK, WILLIAM GENERAL MILLS INC B 42,659.50 100.00 0.00 100.00 60.0 60.00 60.00 05/09/2018 QGW037533 GASTON, DAVID ALPS ETF TR ALERIAN MLP S -3,097.50 100.00 0.00 100.00 60.0 60.00 60.00 05/09/2018 QGW037533 GASTON, DAVID VERIZON COMMUNICATIONS S -4,623.39 100.00 0.00 100.00 60.0 60.00 05/25/2018 QGW037533 GASTON, DAVID AUTODESK INC B 6,613.60 100.00 0.00 100.00 60.0 60.00 05/16/2018 QGW037541 2011 TRUST, SQUARE INC CLASS A COM S -38,885.00 100.00 0.00 100.00 60.0 60.00 05/07/2018 QGW037566 WAINE 1992 IRREV TR, WORLD WRESTLING ENTERTAINMENT INC C S -20,875.00 500.00 0.00 100.00 60.0 60.00 60.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC C S -4,175.00 100.00 0.00 100.00 100.00 60.0 60.00 60.00											
05/07/2018 QGW037517 JABLOW FAMILY TRUST, WORLD WRESTLING ENTERTAINMENT INC C S -20,875.00 500.00 0.00 500.00 60.0 300.00 05/01/2018 QGW037525 HOLBROOK, WILLIAM PFIZER INCORPORATED B 34,916.60 100.00 0.00 100.00 60.0 60.00 05/11/2018 QGW037525 HOLBROOK, WILLIAM GENERAL ELECTRIC CO B 14,565.00 100.00 0.00 100.00 60.0 60.00 05/14/2018 QGW037525 HOLBROOK, WILLIAM GENERAL MILLS INC B 42,659.50 100.00 0.00 100.00 60.0 60.00 05/09/2018 QGW037533 GASTON, DAVID ALPS ETF TR ALERIAN MLP S -3,097.50 100.00 0.00 100.00 60.0 60.00 05/09/2018 QGW037533 GASTON, DAVID VERIZON COMMUNICATIONS S -4,623.39 100.00 0.00 100.00 60.0 60.00 05/25/2018 QGW037533 GASTON, DAVID AUTODESK INC B 6,613.60 100.00 0.00 100.00 60.0 60.00 05/16/2018 QGW037541 2011 TRUST, SQUARE INC CLASS A COM S -38,885.00 100.00 0.00 100.00 60.0 60.00 05/07/2018 QGW037566 WAINE 1992 IRREV TR, WORLD WRESTLING ENTERTAINMENT INC C S -20,875.00 500.00 0.00 100.00 60.0 60.00 60.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC C S -4,175.00 100.00 0.00 100.00 60.0 60.00 60.00						•					
05/01/2018 QGW037525 HOLBROOK, WILLIAM PFIZER INCORPORATED B 34,916.60 100.00 0.00 100.00 60.0 60.00 05/11/2018 QGW037525 HOLBROOK, WILLIAM GENERAL ELECTRIC CO B 14,565.00 100.00 0.00 100.00 60.0 60.00 05/14/2018 QGW037525 HOLBROOK, WILLIAM GENERAL MILLS INC B 42,659.50 100.00 0.00 100.00 60.0 60.00 05/09/2018 QGW037533 GASTON, DAVID ALPS ETF TR ALERIAN MLP S -3,097.50 100.00 0.00 100.00 60.0 60.00 05/09/2018 QGW037533 GASTON, DAVID VERIZON COMMUNICATIONS S -4,623.39 100.00 0.00 100.00 60.0 60.00 05/25/2018 QGW037533 GASTON, DAVID AUTODESK INC B 6,613.60 100.00 0.00 100.00 60.0 60.00 05/16/2018 QGW037541 2011 TRUST, SQUARE INC CLASS A COM S -38,885.00 100.00 0.00 100.00 60.0 60.00 05/07/2018 QGW037566 WAINE 1992 IRREV TR, WORLD WRESTLING ENTERTAINMENT INC CS -20,875.00 500.00 0.00 100.00 60.0 60.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC CS -4,175.00 100.00 0.00 100.00 60.0 60.00 60.00					_						
05/11/2018 QGW037525 HOLBROOK, WILLIAM GENERAL ELECTRIC CO B 14,565.00 100.00 0.00 100.00 60.0 60.00 6	~				INC CS	-20,875.00	500.00				
05/14/2018 QGW037525 HOLBROOK, WILLIAM GENERAL MILLS INC B 42,659.50 100.00 0.00 100.00 60.0 60.00 05/09/2018 QGW037533 GASTON, DAVID ALPS ETF TR ALERIAN MLP S -3,097.50 100.00 0.00 100.00 60.0 60.00 05/09/2018 QGW037533 GASTON, DAVID VERIZON COMMUNICATIONS S -4,623.39 100.00 0.00 100.00 60.0 60.00 05/25/2018 QGW037533 GASTON, DAVID AUTODESK INC B 6,613.60 100.00 0.00 100.00 60.0 60.00 05/16/2018 QGW037541 2011 TRUST, SQUARE INC CLASS A COM S -38,885.00 100.00 0.00 100.00 60.0 60.00 05/07/2018 QGW037566 WAINE 1992 IRREV TR, WORLD WRESTLING ENTERTAINMENT INC C S -20,875.00 500.00 0.00 100.00 60.0 60.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC C S -4,175.00 100.00 0.00 100.00 60.0 60.00 60.00	05/01/2018 Q	GW037525	HOLBROOK, WILLIAM	PFIZER INCORPORATED	В	34,916.60	100.00	0.00	100.00	60.0	60.00
05/09/2018 QGW037533 GASTON, DAVID ALPS ETF TR ALERIAN MLP S -3,097.50 100.00 0.00 100.00 60.0 60.00 05/09/2018 QGW037533 GASTON, DAVID VERIZON COMMUNICATIONS S -4,623.39 100.00 0.00 100.00 60.0 60.00 05/25/2018 QGW037533 GASTON, DAVID AUTODESK INC B 6,613.60 100.00 0.00 100.00 60.0 60.00 05/16/2018 QGW037541 2011 TRUST, SQUARE INC CLASS A COM S -38,885.00 100.00 0.00 100.00 60.0 60.00 05/07/2018 QGW037566 WAINE 1992 IRREV TR, WORLD WRESTLING ENTERTAINMENT INC CS -20,875.00 500.00 0.00 100.00 60.0 60.00 60.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC CS -4,175.00 100.00 0.00 100.00 60.0 60.00 60.00	~		,	GENERAL ELECTRIC CO	В						
05/09/2018 QGW037533 GASTON, DAVID VERIZON COMMUNICATIONS S -4,623.39 100.00 0.00 100.00 60.0 60.00 05/25/2018 QGW037533 GASTON, DAVID AUTODESK INC B 6,613.60 100.00 0.00 100.00 60.0 60.00 05/16/2018 QGW037541 2011 TRUST, SQUARE INC CLASS A COM S -38,885.00 100.00 0.00 100.00 60.0 60.00 05/07/2018 QGW037566 WAINE 1992 IRREV TR, WORLD WRESTLING ENTERTAINMENT INC CS -20,875.00 500.00 0.00 500.00 60.0 60.00 60.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC CS -4,175.00 100.00 0.00 100.00 60.0 60.00				GENERAL MILLS INC	В	42,659.50	100.00	0.00	100.00	60.0	
05/25/2018 QGW037533 GASTON, DAVID AUTODESK INC B 6,613.60 100.00 0.00 100.00 60.0 60.00 05/16/2018 QGW037541 2011 TRUST, SQUARE INC CLASS A COM S -38,885.00 100.00 0.00 100.00 60.0 60.00 60.00 05/07/2018 QGW037566 WAINE 1992 IRREV TR, WORLD WRESTLING ENTERTAINMENT INC CS -20,875.00 500.00 0.00 500.00 60.0 60.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC CS -4,175.00 100.00 0.00 100.00 60.0 60.00	05/09/2018 Q	GW037533	GASTON, DAVID	ALPS ETF TR ALERIAN MLP	S	-3,097.50	100.00	0.00	100.00	60.0	60.00
05/16/2018 QGW037541 2011 TRUST, SQUARE INC CLASS A COM S -38,885.00 100.00 0.00 100.00 60.0 60.00 05/07/2018 QGW037566 WAINE 1992 IRREV TR, WORLD WRESTLING ENTERTAINMENT INC C S -20,875.00 500.00 0.00 500.00 60.0 300.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC C S -4,175.00 100.00 0.00 100.00 60.0 60.00	05/09/2018 Q	GW037533	GASTON, DAVID	VERIZON COMMUNICATIONS	S	-4,623.39	100.00	0.00	100.00	60.0	
05/07/2018 QGW037566 WAINE 1992 IRREV TR, WORLD WRESTLING ENTERTAINMENT INC CS -20,875.00 500.00 0.00 500.00 60.0 300.00 05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC CS -4,175.00 100.00 0.00 100.00 60.0 60.00	05/25/2018 Q	GW037533	GASTON, DAVID	AUTODESK INC	В	6,613.60	100.00	0.00	100.00	60.0	
05/07/2018 QGW037616 PAYFER, KELLY WORLD WRESTLING ENTERTAINMENT INC C S -4,175.00 100.00 0.00 100.00 60.0 60.00	05/16/2018 Q	GW037541	2011 TRUST,	SQUARE INC CLASS A COM	S	-38,885.00	100.00	0.00	100.00	60.0	60.00
	05/07/2018 Q	GW037566	WAINE 1992 IRREV TR	, WORLD WRESTLING ENTERTAINMENT	INC CS	-20,875.00	500.00	0.00	500.00	60.0	300.00
05/22/2018 QGW037640 RESCH, ROBERT GERON CORP B 3,820.00 100.00 0.00 100.00 60.0 60.00	05/07/2018 Q	GW037616	PAYFER, KELLY	WORLD WRESTLING ENTERTAINMENT	INC CS	-4,175.00	100.00	0.00	100.00	60.0	60.00
	05/22/2018 Q	GW037640	RESCH, ROBERT	GERON CORP	В	3,820.00	100.00	0.00	100.00	60.0	60.00

INVESTMENT

GROSS

CLEARING

NET

Page No. 3

BROKER

TRADE DATE	CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	CLIMIT		12100111	COMMISSION	CIMINOL	COLLIEBTION	10112	COLLIEBTION
		BROKER TRANS	ACTIONS					
PRODUCT CAT	EGORY: STOCKS							
)5/07/2018 QG	GW037657 SWEZEY, SALLY	WORLD WRESTLING ENTERTAINMENT INC C S	-6,262.50	150.00	0.00	150.00	60.0	90.00
)5/23/2018 QG	W037657 SWEZEY, SALLY	GERON CORP B	8,207.00	100.00	0.00	100.00	60.0	60.00
)5/03/2018 QG	W037665 BAKAY, TRACEY	SQUARE INC CLASS A COM B	47,982.50	100.00	0.00	100.00	60.0	60.00
)5/03/2018 QG	W037665 BAKAY, TRACEY	SQUARE INC CLASS A COM S	-49,250.00	100.00	0.00	100.00	60.0	60.00
)5/22/2018 QG	W037665 BAKAY, TRACEY	GERON CORP B	1,910.00	70.00	0.00	70.00	60.0	42.00
)5/04/2018 QG	GW037707 SWEZEY, SALLY	ALIBABA GROUP HOLDING LTD SPONSORED B	36,663.52	100.00	0.00	100.00	60.0	60.00
)5/04/2018 QG	GW037707 SWEZEY, SALLY	ALIBABA GROUP HOLDING LTD SPONSORED S	-37,977.30	100.00	0.00	100.00	60.0	60.00
)5/21/2018 QG	GW037707 SWEZEY, SALLY	AT&T INC B	6,501.20	150.00	0.00	150.00	60.0	90.00
)5/07/2018 QG	W037756 JARET, FREDERICA	WELLS FARGO & CO B	21,138.00	250.00	0.00	250.00	60.0	150.00
)5/07/2018 QG	W037756 JARET, FREDERICA	WORLD WRESTLING ENTERTAINMENT INC C S	-20,875.00	500.00	0.00	500.00	60.0	300.00
)5/04/2018 QG	W037814 WEHSE, RITA	ALIBABA GROUP HOLDING LTD SPONSORED B	36,663.52	100.00	0.00	100.00	60.0	60.00
)5/04/2018 QG	W037814 WEHSE, RITA	ALIBABA GROUP HOLDING LTD SPONSORED S	-37,977.30	100.00	0.00	100.00	60.0	60.00
)5/22/2018 QG	W037814 WEHSE, RITA	GERON CORP B	3,820.00	100.00	0.00	100.00	60.0	60.00
)5/23/2018 QG	GW037822 LEWIS, PAUL	GERON CORP B	4,484.70	100.00	0.00	100.00	60.0	60.00
)5/03/2018 QG	W037830 EATON, MAURICE	SQUARE INC CLASS A COM B	47,982.50	100.00	0.00	100.00	60.0	60.00
)5/03/2018 QG	W037830 EATON, MAURICE	SQUARE INC CLASS A COM S	-49,250.00	100.00	0.00	100.00	60.0	60.00
05/22/2018 QG	W037830 EATON, MAURICE	GERON CORP B	1,910.00	70.00	0.00	70.00	60.0	42.00
05/03/2018 QG	GW037848 LYSTAD, WYNN	SQUARE INC CLASS A COM S	-49,250.00	100.00	0.00	100.00	60.0	60.00
05/03/2018 QG	GW037848 LYSTAD, WYNN	SQUARE INC CLASS A COM B	47,982.50	100.00	0.00	100.00	60.0	60.00
05/22/2018 QG	GW037848 LYSTAD, WYNN	GERON CORP B	1,910.00	70.00	0.00	70.00	60.0	42.00
)5/07/2018 QG	GW037855 EATON, GEORGANNE	WORLD WRESTLING ENTERTAINMENT INC C S	-20,875.00	500.00	0.00	500.00	60.0	300.00
)5/22/2018 QG	GW037855 EATON, GEORGANNE	GERON CORP B	4,584.00	100.00	0.00	100.00	60.0	60.00
05/22/2018 QG	W037863 EATON, MAURICE	GERON CORP B	3,056.00	100.00	0.00	100.00	60.0	60.00
)5/22/2018 QG	W037905 HAYDEN-FORTUNA, MAR	GERON CORP B	2,674.00	70.00	0.00	70.00	60.0	42.00
)5/22/2018 QG	W037962 FORTUNA, DANIEL	GERON CORP B	1,146.00	50.00	0.00	50.00	60.0	30.00
)5/07/2018 QG	GW037970 MENELL, AMY	WORLD WRESTLING ENTERTAINMENT INC C S	-6,262.50	150.00	0.00	150.00	60.0	90.00
)5/22/2018 QG	GW037988 MILAZZO, EVAN	GERON CORP B	3,820.00	100.00	0.00	100.00	60.0	60.00
)5/23/2018 QG	GW037996 CUNNINGHAM,	GENERAL ELECTRIC CO B	1,440.00	70.00	0.00	70.00	60.0	42.00
)5/23/2018 QG	W038028 SUSSER, ROBERT	GERON CORP B	2,242.35	75.00	0.00	75.00	60.0	45.00
)5/07/2018 QG	W038044 LING, JOANNE	WELLS FARGO & CO B	5,273.50	100.00	0.00	100.00	60.0	60.00
)5/17/2018 QG	W038085 PACA TRUST, BARBARA	USG CORP (NEW) COMMON STOCK S	-41,650.00	100.00	0.00	100.00	60.0	60.00
)5/17/2018 QG	W038085 PACA TRUST, BARBARA	WELLS FARGO & CO B	54,169.00	100.00	0.00	100.00	60.0	60.00
)5/07/2018 QG	W038127 PACA TRUST, BARBARA	WORLD WRESTLING ENTERTAINMENT INC C S	-4,175.00	100.00	0.00	100.00	60.0	60.00
)5/23/2018 QG	W038127 PACA TRUST, BARBARA	GERON CORP B	4,484.70	100.00	0.00	100.00	60.0	60.00
05/22/2018 QG	W038135 YOUNGKRANTZ, SHARON	GERON CORP B	3,820.00	100.00	0.00	100.00	60.0	60.00
)5/04/2018 QG	W038168 PACA TRUST, BARBARA	ALIBABA GROUP HOLDING LTD SPONSORED B	36,663.52	100.00	0.00	100.00	60.0	60.00
05/04/2018 QG	W038168 PACA TRUST, BARBARA	ALIBABA GROUP HOLDING LTD SPONSORED S	-37,977.30	100.00	0.00	100.00	60.0	60.00
05/07/2018 QG	GW038168 PACA TRUST, BARBARA	WORLD WRESTLING ENTERTAINMENT INC C S	-20,875.00	100.00	0.00	100.00	60.0	60.00
)5/09/2018 QG	W038168 PACA TRUST, BARBARA	WAL-MART STORES INC B	82,200.00	100.00	0.00	100.00	60.0	60.00
)5/16/2018 QG	W038168 PACA TRUST, BARBARA	KRAFT HEINZ COMPANY (THE) COMMON ST B	59,136.00	100.00	0.00	100.00	60.0	60.00

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			PDOWE	R TRANS	CTTONG					
			BROKE	K IKANSA	CITONS					
PRODUCT CAT	regory: stocks									
05/16/2018 QG	GW038168 PACA TRUST	BARBARA	TRANSOCEAN LTD US LISTED	В	27,270.40	100.00	0.00	100.00	60.0	60.00
05/23/2018 QG	GW038168 PACA TRUST	BARBARA	GERON CORP	В	17,938.80	100.00	0.00	100.00	60.0	60.00
05/23/2018 QG	GW038184 LOGAN, PHII	JIP	GERON CORP	В	8,969.40	100.00	0.00	100.00	60.0	60.00
05/07/2018 QG	GW038234 HALLORAN, C	JOHN	WORLD WRESTLING ENTERTAINMENT	INC CS	-4,175.00	100.00	0.00	100.00	60.0	60.00
05/23/2018 QG	GW038358 SMITH, GARI	ONER	GERON CORP	В	2,242.35	75.00	0.00	75.00	60.0	45.00
-	GW038952 KHRUSHCHEVA		GERON CORP	В	1,910.00	70.00	0.00	70.00	60.0	42.00
05/07/2018 QG	GW039521 FORTUNA, DA	MIEL	WELLS FARGO & CO	В	5,260.63	100.00	0.00	100.00	60.0	60.00
05/09/2018 QG	GW039547 GARRY, ALEX	KIS	WAL-MART STORES INC	В	8,220.00	100.00	0.00	100.00	60.0	60.00
-	GW039547 GARRY, ALEX		GERON CORP	В	2,220.00	100.00	0.00	100.00	60.0	60.00
-	GW039547 GARRY, ALEX		CORNING INC	В	2,775.00	100.00	0.00	100.00	60.0	60.00
-	GW039547 GARRY, ALEX		WELLS FARGO & CO	В	5,382.61	100.00	0.00	100.00	60.0	60.00
-	GW039547 GARRY, ALEX		GENERAL ELECTRIC CO	В	2,910.00	100.00	0.00	100.00	60.0	60.00
-			BP P L C SPONSORED ADR (FRM B		4,440.00	100.00	0.00	100.00	60.0	60.00
-			SQUARE INC CLASS A COM	В	47,982.50	100.00	0.00	100.00	60.0	60.00
-			SQUARE INC CLASS A COM	S	-49,250.00	100.00	0.00	100.00	60.0	60.00
			ALIBABA GROUP HOLDING LTD SPO		36,663.52	100.00	0.00	100.00	60.0	60.00
~		•	ALIBABA GROUP HOLDING LTD SPO		-37,977.30	100.00	0.00	100.00	60.0	60.00
~	GW039554 CURTIS-GARE	•		В	6,387.00	200.00	0.00	200.00	60.0	120.00
-			NEW YORK COMMUNITY BANCORP IN		5,878.30	200.00	0.00	200.00	60.0	120.00
-	GW039554 CURTIS-GARE			В	5,281.61	100.00	0.00	100.00	60.0	60.00
-			WAL-MART STORES INC	В	8,220.00	100.00	0.00	100.00	60.0	60.00
-	GW039554 CURTIS-GARE			В	3,700.00	135.00	0.00	135.00	60.0	81.00
-	GW039554 CURTIS-GARE			В	2,775.00	100.00	0.00	100.00	60.0	60.00
-			GENERAL ELECTRIC CO	В	2,910.00	100.00	0.00	100.00	60.0	60.00
	GW039620 GARRY, ALEX		SQUARE INC CLASS A COM	S	-49,250.00	100.00	0.00	100.00	60.0	60.00
-	GW039620 GARRY, ALEX		SQUARE INC CLASS A COM	В	47,982.50	100.00	0.00	100.00	60.0	60.00
	GW039620 GARRY, ALEX		ALIBABA GROUP HOLDING LTD SPO		-37,977.30	100.00	0.00	100.00	60.0	60.00
05/04/2018 QG	GW039620 GARRY, ALEX	KIS	ALIBABA GROUP HOLDING LTD SPO	NSORED B	36,663.52	100.00	0.00	100.00	60.0	60.00
			* STOCKS SUB	TOTAL *	\$-242,661.05	\$21,480.00	\$0.00	\$21,480.00		\$12,888.00
PRODUCT CAT	TEGORY: OPTIONS									
04/30/2018 QG	GW037236 JACOBSON, F	IARVEY	CALL 100 BLACKSTONE GROUP L P	COM US	-750.00	70.00	0.00	70.00	60.0	42.00
04/30/2018 QG	GW037236 JACOBSON, F	HARVEY	PUT 100 BLACKSTONE GROUP L P	COM UN S	-1,440.00	70.00	0.00	70.00	60.0	42.00
04/30/2018 QG	GW037236 JACOBSON, F	HARVEY	PUT 100 BLACKSTONE GROUP L P	COM UN S	-2,202.00	70.00	0.00	70.00	60.0	42.00
05/02/2018 QG	GW037236 JACOBSON, F	HARVEY	PUT 100 APPLE INC COM EXP 05-	18-18@ B	1,140.00	70.00	0.00	70.00	60.0	42.00
05/02/2018 QG	GW037236 JACOBSON, F	HARVEY	PUT 100 FACEBOOK INC CL A EXP	05-18 B	369.00	70.00	0.00	70.00	60.0	42.00
05/02/2018 QG	GW037236 JACOBSON, F	HARVEY	PUT 100 FACEBOOK INC CL A EXP	08-17 S	-2,226.00	70.00	0.00	70.00	60.0	42.00
05/04/2018 QG	GW037236 JACOBSON, F	HARVEY	CALL 100 APPLE INC COM EXP 05	-18-18 B	964.00	70.00	0.00	70.00	60.0	42.00
05/04/2018 QG	GW037236 JACOBSON, F	HARVEY	PUT 100 APPLE INC COM EXP 08-	17-18@ S	-1,906.00	70.00	0.00	70.00	60.0	42.00
05/30/2018 QG	GW037236 JACOBSON, F	IARVEY	CALL 100 ALTRIA GROUP INC COM	EXP 1 S	-784.00	70.00	0.00	70.00	60.0	42.00

Page No. 5

BROKER

GROSS CLEARING

NET

INVESTMENT

TRADE DATE CLIE	NT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
										_
			BRO	OKER TRANSA	CTIONS					
PRODUCT CATEGORY										
05/30/2018 QGW03723			PUT 100 ALTRIA GROUP INC		4,752.00	70.00	0.00	70.00	60.0	42.00
05/30/2018 QGW03723			PUT 100 ALTRIA GROUP INC		-2,520.00	70.00	0.00	70.00	60.0	42.00
05/30/2018 QGW03723			PUT 100 SQUARE INC CL A E		492.00	70.00	0.00	70.00	60.0	42.00
04/30/2018 QGW03730			CALL 100 CYPRESS SEMICOND		-931.00	70.00	0.00	70.00	60.0	42.00
04/30/2018 QGW03730	·		CALL 100 KINDER MORGAN IN		-510.02	70.00	0.00	70.00	60.0	42.00
04/30/2018 QGW03730 04/30/2018 QGW03730			PUT 100 CYPRESS SEMICONDUC PUT 100 CYPRESS SEMICONDUC		2,400.00	70.00	0.00	70.00	60.0	42.00
~					-1,323.00	70.00	0.00	70.00	60.0	42.00
04/30/2018 QGW03730			PUT 100 CYPRESS SEMICONDU		-1,589.00	70.00	0.00	70.00	60.0	42.00
04/30/2018 QGW03730			PUT 100 GILEAD SCIENCES II		-2,564.00	70.00	0.00	70.00	60.0	42.00
04/30/2018 QGW03730			PUT 100 KINDER MORGAN INC		-1,322.02	70.00	0.00	70.00	60.0	42.00
05/01/2018 QGW03730			PUT 100 CITIGROUP INC COM		3,672.99	70.00	0.00	70.00	60.0	42.00
05/01/2018 QGW03730			PUT 100 CITIGROUP INC COM		-1,362.99	70.00	0.00	70.00	60.0	42.00
05/02/2018 QGW03730			CALL 100 CITIGROUP INC CO		-1,108.00	70.00	0.00	70.00	60.0	42.00
05/02/2018 QGW03730			CALL 100 UNDER ARMOUR INC		-1,278.00	70.00	0.00	70.00	60.0	42.00
05/02/2018 QGW03730			PUT 100 CITIGROUP INC COM		-2,756.00	70.00	0.00	70.00	60.0	42.00
05/02/2018 QGW03730			PUT 100 GENERAL ELECTRIC		5,760.00	70.00	0.00	70.00	60.0	42.00
05/02/2018 QGW03730			PUT 100 GENERAL ELECTRIC		-2,260.00	70.00	0.00	70.00	60.0	42.00
05/02/2018 QGW03730			PUT 100 UNDER ARMOUR INC		-2,142.00	70.00	0.00	70.00	60.0	42.00
05/02/2018 QGW03730	·		PUT 100 UNDER ARMOUR INC		498.00	70.00	0.00	70.00	60.0	42.00
05/04/2018 QGW03730			PUT 100 GNC HLDGS INC COM		2,325.00	70.00	0.00	70.00	60.0	42.00
05/04/2018 QGW03730			PUT 100 RITE AID CORP EXP		2,100.00	70.00	0.00	70.00	60.0	42.00
05/04/2018 QGW03730			PUT 100 USG CORP (NEW) CO		354.00	70.00	0.00	70.00	60.0	42.00
05/10/2018 QGW03730			CALL 100 BUCKEYE PARTNERS		-440.00	70.00	0.00	70.00	60.0	42.00
05/10/2018 QGW03730			PUT 100 BUCKEYE PARTNERS		6,000.00	70.00	0.00	70.00	60.0	42.00
05/10/2018 QGW03730			PUT 100 BUCKEYE PARTNERS :		-2,892.00	70.00	0.00	70.00	60.0	42.00
05/11/2018 QGW03730			CALL 100 WESTERN UN CO CO		-791.00	70.00	0.00	70.00	60.0	42.00
05/11/2018 QGW03730)1 JACOBSON,	HARVEY	PUT 100 BUCKEYE PARTNERS :		5,210.00	70.00	0.00	70.00	60.0	42.00
05/11/2018 QGW03730			PUT 100 BUCKEYE PARTNERS :		-2,025.00	70.00	0.00	70.00	60.0	42.00
05/11/2018 QGW03730			PUT 100 WESTERN UN CO COM	EXP 05-18 B	805.00	70.00	0.00	70.00	60.0	42.00
05/11/2018 QGW03730)1 JACOBSON,	HARVEY	PUT 100 WESTERN UN CO COM		-959.00	70.00	0.00	70.00	60.0	42.00
05/15/2018 QGW03730)1 JACOBSON,	HARVEY	CALL 100 GENERAL MTRS CO	COM EXP 12 S	-852.00	70.00	0.00	70.00	60.0	42.00
05/15/2018 QGW03730			PUT 100 GENERAL MTRS CO CO		5,700.00	70.00	0.00	70.00		42.00
05/15/2018 QGW03730)1 JACOBSON,	HARVEY	PUT 100 GENERAL MTRS CO CO	OM EXP 12-S	-2,958.00	70.00	0.00	70.00	60.0	42.00
05/17/2018 QGW03730	01 JACOBSON,	HARVEY	PUT 100 SCHLUMBERGER LTD	COM ISIN# B	230.00	70.00	0.00	70.00	60.0	42.00
05/17/2018 QGW03730	01 JACOBSON,	HARVEY	PUT 100 SCHLUMBERGER LTD	COM ISIN# B	420.00	70.00	0.00	70.00	60.0	42.00
05/17/2018 QGW03730	01 JACOBSON,	HARVEY	PUT 100 SCHLUMBERGER LTD	COM ISIN# S	-2,012.00	70.00	0.00	70.00	60.0	42.00
05/21/2018 QGW03730	01 JACOBSON,	HARVEY	PUT 100 ALLY FINL INC COM	EXP 09-21 S	-1,470.00	70.00	0.00	70.00	60.0	42.00
05/21/2018 QGW03730	01 JACOBSON,	HARVEY	PUT 100 ALLY FINL INC COM	EXP 12-21 S	-2,160.00	70.00	0.00	70.00	60.0	42.00
05/23/2018 QGW03730	01 JACOBSON,	HARVEY	PUT 100 BB&T CORP COM EXP	06-15-18@ B	140.00	70.00	0.00	70.00	60.0	42.00
05/23/2018 QGW03730)1 JACOBSON,	HARVEY	PUT 100 INTEL CORP COM EX	P 10-19-18 B	890.00	70.00	0.00	70.00	60.0	42.00

INVESTMENT

TRADE DATE

CLIENT

	BROKER TRANSA	CTIONS					
PRODUCT CATEGORY: OPTIONS							
05/24/2018 QGW037301 JACOBSON, HARVEY	PUT 100 TEVA PHARMACEUTICAL INDUSTR B	2,391.97	70.00	0.00	70.00	60.0	42.00
05/24/2018 OGW037301 JACOBSON, HARVEY	PUT 100 TEVA PHARMACEUTICAL INDUSTR S	-2,566.97	70.00	0.00		60.0	42.00
05/30/2018 QGW037301 JACOBSON, HARVEY	CALL 100 TWITTER INC COM EXP 06-15-B	756.00	70.00	0.00	70.00	60.0	42.00
05/30/2018 QGW037301 JACOBSON, HARVEY	PUT 100 NEWELL BRANDS INC COM EXP 0 B	4,902.00	70.00	0.00	70.00	60.0	42.00
05/30/2018 QGW037301 JACOBSON, HARVEY	PUT 100 NEWELL BRANDS INC COM EXP 1 S	-1,854.00	70.00	0.00	70.00	60.0	42.00
05/30/2018 QGW037301 JACOBSON, HARVEY	PUT 100 TWITTER INC COM EXP 12-21-1 S	-2,856.00	70.00	0.00	70.00	60.0	42.00
05/10/2018 QGW037343 JT TRUST, HARVEY	CALL 100 BUCKEYE PARTNERS L P UNIT S	-440.00	70.00	0.00	70.00	60.0	42.00
05/10/2018 QGW037343 JT TRUST, HARVEY	PUT 100 BUCKEYE PARTNERS L P UNIT L B	5,972.00	70.00	0.00	70.00	60.0	42.00
05/10/2018 QGW037343 JT TRUST, HARVEY	PUT 100 BUCKEYE PARTNERS L P UNIT LS	-2,880.00	70.00	0.00	70.00	60.0	42.00
04/30/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 TARGET CORP COM EXP 07-20-1 B	440.00	70.00	0.00	70.00	60.0	42.00
04/30/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 TARGET CORP COM EXP 07-20-1 S	-1,892.00	70.00	0.00	70.00	60.0	42.00
05/01/2018 QGW037418 PARTNERS, JACOBSON	CALL 100 CORNING INC COM EXP 11-16-S	-455.00	70.00	0.00	70.00	60.0	42.00
05/01/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 CORNING INC COM EXP 11-16-1 S	-1,625.00	70.00	0.00	70.00	60.0	42.00
05/01/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 WALGREENS BOOTS ALLIANCE EX S	-2,916.00	70.00	0.00	70.00	60.0	42.00
05/02/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 GENERAL ELECTRIC CO COM EXP B	8,625.00	70.00	0.00	70.00	60.0	42.00
05/02/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 GENERAL ELECTRIC CO COM EXP S	-3,375.00	70.00	0.00	70.00	60.0	42.00
05/11/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 CHEVRON CORP NEW COM EXP 09 B	495.00	70.00	0.00	70.00	60.0	42.00
05/11/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 GENERAL MILLS INC COM EXP 0 S	-1,725.00	70.00	0.00	70.00	60.0	42.00
05/16/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 TEVA PHARMACEUTICAL INDUSTR S	-1,860.00	70.00	0.00	70.00	60.0	42.00
05/21/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 LEGG MASON INC EXP 11-16-18 S	-1,690.00	70.00	0.00	70.00	60.0	42.00
05/21/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 ORACLE CORP COM EXP 12-21-1 S	-1,750.00	70.00	0.00	70.00	60.0	42.00
05/23/2018 QGW037418 PARTNERS, JACOBSON	CALL 100 CHEVRON CORP NEW COM EXP 1 S	-1,256.00	70.00	0.00	70.00	60.0	42.00
05/23/2018 QGW037418 PARTNERS, JACOBSON	CALL 100 CHEVRON CORP NEW COM EXP 1 S	1,256.00	-70.00	0.00	-70.00	60.0	-42.00 C
05/23/2018 QGW037418 PARTNERS, JACOBSON	CALL 100 CHEVRON CORP NEW COM EXP 1 S	-1,256.00	70.00	0.00	70.00	60.0	42.00
05/23/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 CHEVRON CORP NEW COM EXP 06 B	2,500.00	70.00	0.00	70.00	60.0	42.00
05/23/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 CHEVRON CORP NEW COM EXP 12 S	-1,754.00	70.00	0.00	70.00	60.0	42.00
05/24/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 KELLOGG CO COM EXP 06-15-18 B	3,128.00	70.00	0.00	70.00	60.0	42.00
05/24/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 KELLOGG CO COM EXP 12-21-18 S	-2,196.00	70.00	0.00	70.00	60.0	42.00
05/29/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 BANK OF AMERICA CORPORATION S	-1,440.00	70.00	0.00	70.00	60.0	42.00
05/30/2018 QGW037418 PARTNERS, JACOBSON	CALL 100 PFIZER INC COM EXP 12-21-1 S	-625.00	70.00	0.00	70.00	60.0	42.00
05/30/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 PFIZER INC COM EXP 06-15-18 B	1,122.00	70.00	0.00	70.00	60.0	42.00
05/30/2018 QGW037418 PARTNERS, JACOBSON	PUT 100 PFIZER INC COM EXP 12-21-18 S	-1,125.00	70.00	0.00	70.00	60.0	42.00
05/01/2018 QGW038390 LEE, DAVID	CALL 100 APPLE INC COM EXP 05-04-18 S	-4,960.00	100.00	0.00	100.00	60.0	60.00
05/02/2018 QGW038390 LEE, DAVID	CALL 100 APPLE INC COM EXP 05-04-18 S	-2,050.00	70.00	0.00	70.00	60.0	42.00
05/02/2018 QGW038390 LEE, DAVID	PUT 100 SNAP INC CL A EXP 07-20-18@ S	-4,750.00	100.00	0.00	100.00	60.0	60.00
05/03/2018 QGW038390 LEE, DAVID	CALL 100 ALIBABA GROUP HLDG LTD SPO B	2,850.00	100.00	0.00	100.00	60.0	60.00
05/03/2018 QGW038390 LEE, DAVID	CALL 100 ALIBABA GROUP HLDG LTD SPO S	-2,650.00	100.00	0.00	100.00	60.0	60.00
05/04/2018 QGW038390 LEE, DAVID	CALL 100 ALIBABA GROUP HLDG LTD SPO S	-5,000.00	70.00	0.00	70.00	60.0	42.00
05/14/2018 QGW038390 LEE, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT B	2,030.00	100.00	0.00	100.00	60.0	60.00
05/23/2018 QGW038390 LEE, DAVID	CALL 100 TORONTO DOMINION BK ONT COB	1,900.00	100.00	0.00	100.00	60.0	60.00

INVESTMENT

AMOUNT

B/S

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

Page No.

RATE

BROKER

COMMISSION

6

06/13/2018		COMMISSION STATEMENT for JENNIFER HIEBER						
TRADE DATE	CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: OPTIONS							
05/25/2018 Q	QGW038390 LEE, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-1,790.00	70.00	0.00	70.00	60.0	42.00
05/29/2018 Q	QGW038390 LEE, DAVID	CALL 100 SPDR DOW JONES INDL AVERAG B	3,000.00	100.00	0.00	100.00	60.0	60.00
05/29/2018 Q	QGW038390 LEE, DAVID	CALL 100 SPDR S&P 500 ETF TR TR UNI B	3,080.00	100.00	0.00	100.00	60.0	60.00
		* OPTIONS SUBTOTAL *	\$-17,600.04	\$6,540.00	\$0.00	\$6,540.00		\$3,924.00
PRODUCT CA	TEGORY: MUTUAL FUND	12B1 TRAILS						
05/31/2018		FEE COUNT - 6	0.00	42.41	0.00	42.41	60.0	25.45
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$42.41	\$0.00	\$42.41		\$25.45
		*** BROKER TRANSACTIONS TOTAL ***	\$-260,261.09	\$28,062.41	\$0.00	\$28,062.41		\$16,837.45
		ADJUSTME	<u>ints</u>					
05/31/2018		COMMISSION INCOME: QGW037558 SPLIT	0.00	0.00	0.00	0.00		60.00
05/31/2018		OTHER: ICON PARKING	0.00	0.00	0.00	0.00		-578.10
05/31/2018		OTHER: OTHER-THOMAS ROSS	0.00	0.00	0.00	0.00		-2,500.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-3,018.10
					<u> </u>	BROKER COMMI	ssion	TOTALS
					Broke	r Transactions		\$16,837.45
					Spli	t Transactions		\$0.00
					Overrid	e Transactions		\$0.00
						Adjustments		\$-3,018.10
						Payroll Draw		\$0.00
						Base Salary		\$0.00
					Prior	Period Balance		\$0.00
					FI	NRA Assessment		\$-35.08
					S	IPC Assessment		\$-70.16

Check Amount

Year-to-date Earnings

\$13,714.11

\$41,304.63