

COMMISSION STATEMENT
January 12, 2018

SYLVIA LUM

, CA

BROKER #: 73880
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>Company: Securities America</u>							
<u>BROKER TRANSACTIONS</u>							
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>							
01/11/2018	LUM (73880), SYLVIA	SAI SECURITES INCOME	0.00	6.25	0.31	0.00	0.31
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$6.25	\$0.31	\$0.00	\$0.31
<u>PRODUCT CATEGORY: TRAILERS</u>							
01/11/2018	LUM (73880), SYLVIA	SAI.TRAILS	0.00	499.17	24.96	0.00	24.96
* TRAILERS SUBTOTAL *			\$0.00	\$499.17	\$24.96	\$0.00	\$24.96
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$505.42	\$25.27	\$0.00	\$25.27

BROKER COMMISSION TOTALS

Broker Transactions	\$25.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$0.88
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$26.15
Year-to-date Earnings	\$26.15