

**COMMISSION STATEMENT****March 31, 2018**

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
 11617 Coldwater Road
 Suite 103
 Fort Wayne, IN 46845

BROKER #: L131
BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^03/21/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	52,728.18	40.45	0.00	40.45	90.0	0.00
^02/28/2018	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	52,585.64	40.33	0.00	40.33	90.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$105,313.82	\$80.78	\$0.00	\$80.78		\$0.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
^03/14/2018	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	50.00	2.38	0.00	2.38	90.0	0.00
^03/23/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^03/23/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^03/23/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	90.0	0.00
* 529 PLANS SUBTOTAL *			\$200.00	\$5.76	\$0.00	\$5.76		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^03/23/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	6.25	0.00	6.25	90.0	0.00
^03/19/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	25.00	0.00	25.00	90.0	0.00
^03/15/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	10.00	0.00	10.00	90.0	0.00
^03/19/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	25.00	0.00	25.00	90.0	0.00
^03/23/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^ / /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	1.63	0.00	1.63	90.0	0.00
^03/14/2018	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	50.00	1.63	0.00	1.63	90.0	0.00
^03/23/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.39	0.00	0.39	90.0	0.00
^03/23/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	1.83	0.00	1.83	90.0	0.00
^03/23/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^03/23/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,480.00	\$74.38	\$0.00	\$74.38		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$106,993.82	\$160.92	\$0.00	\$160.92		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10</u>								
03/14/2018	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	50.00	0.71	0.00	0.71	90.0	0.64
03/23/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
03/23/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
03/23/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.71	0.00	0.71	90.0	0.64
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$200.00	\$1.72	\$0.00	\$1.72		\$1.56
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
03/23/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	1.88	0.00	1.88	90.0	1.69
03/19/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	7.50	0.00	7.50	90.0	6.75
03/15/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.00	0.00	3.00	90.0	2.70
03/19/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	7.50	0.00	7.50	90.0	6.75
03/23/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
03/21/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	52,728.18	12.14	0.00	12.14	90.0	10.93
02/28/2018	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	52,585.64	12.10	0.00	12.10	90.0	10.89
/ /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	0.49	0.00	0.49	90.0	0.44
03/14/2018	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	50.00	0.49	0.00	0.49	90.0	0.44
03/23/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.12	0.00	0.12	90.0	0.11
03/23/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.55	0.00	0.55	90.0	0.50
03/23/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
03/23/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$106,793.82	\$46.57	\$0.00	\$46.57		\$41.91
*** SPLIT TRANSACTIONS TOTAL ***			\$106,993.82	\$48.29	\$0.00	\$48.29		\$43.47

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$43.47
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$97.32
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$140.79
Year-to-date Gross	\$448.80
Year-to-date Earnings	\$392.37