

## COMMISSION STATEMENT

May 31, 2018

WILLIAM BEHRENS  
350 Grovers Avenue

BROKER #: W02  
BRANCH: FULCRUM GROUP

Bridgeport, CT 06605-

## COMMISSION STATEMENT for WILLIAM BEHRENS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
05/01/2018	75501361 BEHRENS, MICHAEL	AB INCOME FUND ADVISOR CLASS	S	103.00	0.00	0.00	0.00	70.0	0.00
05/07/2018	75501361 BEHRENS, MICHAEL	AB INCOME FUND ADVISOR CLASS	S	125.00	0.00	0.00	0.00	70.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$228.00	\$0.00	\$0.00	\$0.00		\$0.00
<b>PRODUCT CATEGORY: STOCKS</b>									
04/27/2018	75501360 BEHRENS, MICHAEL	CIT GROUP INC COM	B	5,287.59	75.00	0.00	75.00	70.0	52.50
04/27/2018	75501360 BEHRENS, MICHAEL	FORTINET INC	S	27,631.50	150.00	0.00	150.00	70.0	105.00
04/27/2018	75501360 BEHRENS, MICHAEL	WHIRLPOOL CORP	B	15,616.01	75.00	0.00	75.00	70.0	52.50
05/01/2018	75501360 BEHRENS, MICHAEL	ABBVIE INC	B	10,146.26	100.00	0.00	100.00	70.0	70.00
05/02/2018	75501360 BEHRENS, MICHAEL	DATA I O CORP COM	B	6,581.98	75.00	0.00	75.00	70.0	52.50
05/07/2018	75501360 BEHRENS, MICHAEL	ON SEMICONDUCTOR CORP	S	34,504.50	200.00	0.00	200.00	70.0	140.00
05/11/2018	75501360 BEHRENS, MICHAEL	VEECO INSTRUMENTS INC-DEL	B	24,975.00	150.00	0.00	150.00	70.0	105.00
05/15/2018	75501360 BEHRENS, MICHAEL	IQVIA HOLDINGS INC COMMON STOCK	B	31,014.00	125.00	0.00	125.00	70.0	87.50
05/21/2018	75501360 BEHRENS, MICHAEL	WHITING PETROLEUM CORPORATION COMMO	S	26,359.92	200.00	0.00	200.00	70.0	140.00
05/22/2018	75501360 BEHRENS, MICHAEL	NOKIA 654902204	S	15,540.25	100.00	0.00	100.00	70.0	70.00
05/22/2018	75501360 BEHRENS, MICHAEL	WALT DISNEY CO	B	26,050.00	125.00	0.00	125.00	70.0	87.50
05/01/2018	75501361 BEHRENS, MICHAEL	ABBVIE INC	B	10,135.37	75.00	0.00	75.00	70.0	52.50
05/01/2018	75501363 KNIGHT, GARY	ABBVIE INC	B	6,101.25	25.00	0.00	25.00	70.0	0.00
04/27/2018	75501367 MICHAEL TEAHAN JR TR	APPLE INC	S	8,959.51	100.00	0.00	100.00	70.0	70.00
05/15/2018	75501376 BANDLER, LIONEL	DIEBOLD INCORPORATED	B	25,400.00	55.00	0.00	55.00	70.0	38.50
05/29/2018	75501378 BANDLER, LIONEL	3M COMPANY	B	196,750.00	55.00	0.00	55.00	70.0	38.50
05/29/2018	75501378 BANDLER, LIONEL	DOWDUPONT INC COMMON STOCK	B	127,500.00	63.75	0.00	63.75	70.0	44.63
04/27/2018	75501394 ALBERT E HAVERKAMP	WHIRLPOOL CORP	B	15,625.91	75.00	0.00	75.00	70.0	52.50
05/01/2018	75501394 ALBERT E HAVERKAMP	ABBVIE INC	B	10,168.04	100.00	0.00	100.00	70.0	70.00
05/07/2018	75501394 ALBERT E HAVERKAMP	CTS CORP W/RTS TO PUR NOTES UNDER C S	S	15,375.00	100.00	0.00	100.00	70.0	70.00
05/07/2018	75501394 ALBERT E HAVERKAMP	ON SEMICONDUCTOR CORP	S	23,023.00	125.00	0.00	125.00	70.0	87.50
05/16/2018	75501394 ALBERT E HAVERKAMP	TELENV INC	B	10,573.45	125.00	0.00	125.00	70.0	87.50
05/29/2018	75501394 ALBERT E HAVERKAMP	AIRCASLE LTD SHS ISIN#BMG0219K1045	B	10,853.40	75.00	0.00	75.00	70.0	52.50
05/01/2018	75502179 BATOW CREEK PARTNERS	ABBVIE INC	B	10,146.26	25.00	0.00	25.00	70.0	0.00
* STOCKS SUBTOTAL *				\$694,318.20	\$2,373.75	\$0.00	\$2,373.75		\$1,626.63

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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

05/15/2018	75501355	BEHRENS, WILLIAM	CALL NOK	05/18/18	6	S	625.00	25.00	0.00	25.00	70.0	0.00
05/02/2018	75501360	BEHRENS, MICHAEL	CALL WLL	06/01/18	45	S	1,420.00	100.00	0.00	100.00	70.0	70.00
05/14/2018	75501360	BEHRENS, MICHAEL	CALL WLL	06/01/18	45	B	3,726.00	60.00	0.00	60.00	70.0	42.00
05/24/2018	75501378	BANDLER, LIONEL	CALL AEM	06/15/18	45	S	690.00	55.00	0.00	55.00	70.0	38.50
05/29/2018	75501378	BANDLER, LIONEL	CALL MMM	07/06/18	200	S	3,440.00	55.00	0.00	55.00	70.0	38.50
* OPTIONS SUBTOTAL *							\$9,901.00	\$295.00	\$0.00	\$295.00		\$189.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/31/2018		FEE COUNT - 6					0.00	155.67	0.00	155.67	70.0	108.33
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *							\$0.00	\$155.67	\$0.00	\$155.67		\$108.33
*** BROKER TRANSACTIONS TOTAL ***							\$704,447.20	\$2,824.42	\$0.00	\$2,824.42		\$1,923.96

BROKER COMMISSION TOTALS

Broker Transactions	\$1,923.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.53
SIPC Assessment	\$-7.06

Check Amount	\$1,913.37
Year-to-date Earnings	\$6,871.99