



COMMISSION STATEMENT

January 29, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/22/2018	***-**-162I - ANDERSON, THOMAS	AMERICAN MONEY MARKET CLASS A	132,586.49	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012358	SELL 132586 Units @1.0000						
01/02/2018	***-**-36IR - HERDSON, JOHN	CAPITAL APPRECIATION C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 03222003518	BUY 0 Units @0.0000						
01/02/2018	***-**-36IR - HERDSON, JOHN	EQUITY INCOME FUND, INC. C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 08370183502	BUY 0 Units @0.0000						
01/24/2018	***-**-162I - ANDERSON, THOMAS	HARTFORD BALANCED INCOME FD CL C	39,600.00	404.00	18.00	386.00	85.0	328.10
	ACCOUNT #: LSR012358	BUY 2703 Units @14.6500						
01/24/2018	***-**-162I - ANDERSON, THOMAS	MFS GROWTH PORT CLASS C	26,399.98	272.00	18.00	254.00	85.0	215.90
	ACCOUNT #: LSR012358	BUY 336 Units @78.5300						
01/24/2018	***-**-162I - ANDERSON, THOMAS	MFS GLOBAL TOTAL RETURN FUND-C	33,000.00	338.00	18.00	320.00	85.0	272.00
	ACCOUNT #: LSR012358	BUY 1741 Units @18.9500						
01/24/2018	***-**-162I - ANDERSON, THOMAS	PIMCO DIVERSIFIED INCOME FND CL A-N	33,000.00	1,080.50	18.00	1,062.50	85.0	903.13
	ACCOUNT #: LSR012358	BUY 2910 Units @11.3400						
01/02/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	65.28	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$264,778.47	\$2,096.41	\$90.00	\$2,006.41		\$1,702.76
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/22/2018	***-**-184I - BEAVERSON, ROYCE	PERSPECTIVE II DTCC	90,288.11	1,805.76	0.00	1,805.76	85.0	1,534.90
	ACCOUNT #: 1020948236	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$90,288.11	\$1,805.76	\$0.00	\$1,805.76		\$1,534.90
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/29/2018	***-**-036I - CROWL, LINDA	BLACKROCK BALANCED CAP FD CL C	0.00	46.99	0.00	46.99	85.0	39.94
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
01/29/2018	***-**-992I - SMITH, FBO MR	BLACKROCK BALANCED CAP FD CL C	0.00	30.66	0.00	30.66	85.0	26.06
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
01/29/2018	***-**-036I - CROWL, LINDA	BLACKROCK US MORTGAGE PORT CL C	0.00	17.77	0.00	17.77	85.0	15.10
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
01/29/2018	***-**-992I - SMITH, FBO MR	BLACKROCK US MORTGAGE PORT CL C	0.00	9.58	0.00	9.58	85.0	8.14
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
01/29/2018	***-**-566I - DURKIN, FBO MRS ACCOUNT #: LSR010544	CAPITAL APPRECIATION A BUY 1 Units @0.0000	0.00	5.86	0.00	5.86	85.0	4.98
01/29/2018	***-**-228I - BUCHANAN, ACCOUNT #: LSR010538	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	6.85	0.00	6.85	85.0	5.82
01/29/2018	***-**-4000 - ROMIG, MARK ACCOUNT #: LSR011567	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	9.89	0.00	9.89	85.0	8.41
01/29/2018	***-**-228I - BUCHANAN, ACCOUNT #: LSR010538	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	2.28	0.00	2.28	85.0	1.94
01/29/2018	***-**-4000 - ROMIG, MARK ACCOUNT #: LSR011567	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	3.30	0.00	3.30	85.0	2.81
01/29/2018	***-**-635I - TOBIN, MAUREEN ACCOUNT #: LSR011912	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	85.0	0.00
01/29/2018	***-**-830J - STRAUB, JANE ACCOUNT #: LSR011950	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	85.0	0.00
01/29/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	85.0	0.00
01/29/2018	***-**-65IR - NORNHOLD, ACCOUNT #: LSR012005	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	85.0	0.00
01/29/2018	***-**-13IR - LOYCH, ALICE ACCOUNT #: LSR012051	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	85.0	0.00
01/29/2018	***-**-376X - WAGNER, LINDA ACCOUNT #: LSR010451	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.09	0.00	0.09	85.0	0.08
01/29/2018	***-**-036I - CROWL, LINDA ACCOUNT #: LSR010466	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	1.55	0.00	1.55	85.0	1.32
01/29/2018	***-**-184I - BEAVERSON, ROYCE ACCOUNT #: LSR010468	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.18	0.00	0.18	85.0	0.15
01/29/2018	***-**-228I - BUCHANAN, ACCOUNT #: LSR010538	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	85.0	0.02
01/29/2018	***-**-949I - LICHT, FBO MS ACCOUNT #: LSR010542	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.27	0.00	0.27	85.0	0.23
01/29/2018	***-**-992I - SMITH, FBO MR ACCOUNT #: LSR010578	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.17	0.00	0.17	85.0	0.14



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/29/2018	***-**-276I - GASSWINT, SUSAN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	85.0	0.01
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
01/29/2018	***-**-276I - GASSWINT, SUSAN	FRANKLIN INCOME FUND CLASS C	0.00	30.80	0.00	30.80	85.0	26.18
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
01/29/2018	***-**-566I - DURKIN, FBO MRS	GLOBAL FUND A	0.00	9.75	0.00	9.75	85.0	8.29
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
01/29/2018	***-**-566I - DURKIN, FBO MRS	MAIN STREET FUND A	0.00	11.72	0.00	11.72	85.0	9.96
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
01/29/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	0.00	44.56	0.00	44.56	85.0	37.88
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
01/29/2018	***-**-831I - REED, JACK	PIMCO INCOME FUND CL C	0.00	9.42	0.00	9.42	85.0	8.01
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
01/29/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	0.00	17.14	0.00	17.14	85.0	14.57
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
01/29/2018	***-**-831I - REED, JACK	PIMCO INCOME FUND CL C	0.00	3.62	0.00	3.62	85.0	3.08
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
01/29/2018	***-**-992I - SMITH, FBO MR	PUTNAM EQUITY INCOME FUND-C	0.00	25.66	0.00	25.66	85.0	21.81
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
01/29/2018	***-**-566I - DURKIN, FBO MRS	QUEST OPPORTUNITY VALUE A	0.00	6.95	0.00	6.95	85.0	5.91
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
01/29/2018	***-**-22IR - SHOCKEY, DEBORAH	SAMMONS MF TRAILS	0.00	5.20	0.00	5.20	85.0	4.42
	ACCOUNT #: 7200019359	BUY 0 Units @0.0000						
01/29/2018	***-**-53IR - SHOCKEY, JEFFREY	SAMMONS MF TRAILS	0.00	5.82	0.00	5.82	85.0	4.95
	ACCOUNT #: 7200019367	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$306.11	\$0.00	\$306.11		\$260.21
*** BROKER TRANSACTIONS TOTAL ***			\$355,066.58	\$4,208.28	\$90.00	\$4,118.28		\$3,497.87



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$3,497.87
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$3,497.87
						Year-to-date Earnings		\$17,588.52