



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2018

08/14/2018
Page No. 1

DOUGLAS, S123 SNIDER
615 Park Ave

BROKER #: S123
BRANCH: DOUGLAS SNIDER

Mukilteo, WA 98275-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/10/2018	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	-500.00	22.00	22.00	0.00	80.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$-500.00	\$22.00	\$22.00	\$0.00		\$0.00
		*** CLEARING TRANSACTIONS TOTAL ***	\$-500.00	\$22.00	\$22.00	\$0.00		\$0.00

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/17/2018	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.94	0.00	2.94	80.0	2.35
07/17/2018	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.46	0.00	15.46	80.0	12.37
07/11/2018	SKALLEY, MICHAEL	CATALYST DYNAMIC ALPHA FUND CLASS A	0.00	4.10	0.00	4.10	80.0	3.28
07/13/2018	JENSEN, LINDA	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	19.76	0.00	19.76	80.0	15.81
07/13/2018	LIKKEL, MARLENE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	24.67	0.00	24.67	80.0	19.74
07/13/2018	CARLSON, JANET	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	0.49	0.00	0.49	80.0	0.39
07/13/2018	BELLAMY, GARLAND	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	14.37	0.00	14.37	80.0	11.50
07/13/2018	BAILEY, CHERYLNN	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	9.91	0.00	9.91	80.0	7.93
07/13/2018	BAILEY, CHERYL	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	25.98	0.00	25.98	80.0	20.78
07/13/2018	SARVIS, GAIL	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	18.30	0.00	18.30	80.0	14.64
07/13/2018	BOYS, CHARLENE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	9.58	0.00	9.58	80.0	7.66
07/13/2018	WHITTALL, JULIE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	14.70	0.00	14.70	80.0	11.76
07/13/2018	NELSON, JAMES	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	0.14	0.00	0.14	80.0	0.11
07/19/2018	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.81	0.00	1.81	80.0	1.45
07/19/2018	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.87	0.00	3.87	80.0	3.10
07/25/2018	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	9.22	0.00	9.22	80.0	7.38
07/25/2018	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	25.06	0.00	25.06	80.0	20.05
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$200.36	\$0.00	\$200.36		\$160.30

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	ROSE TRUST, HEATHER	RETIRE READY CHOICE	190,103.49	158.42	0.00	158.42	80.0	126.74
07/31/2018	MANLY, NORMAN	RETIRE READY CHOICE	204,381.69	85.16	0.00	85.16	80.0	68.13
07/31/2018	BELLAMY, GARLAND	RETIRE READY CHOICE	80,412.66	33.51	0.00	33.51	80.0	26.81
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$474,897.84	\$277.09	\$0.00	\$277.09		\$221.68
*** DIRECT TRANSACTIONS TOTAL ***			\$474,897.84	\$477.45	\$0.00	\$477.45		\$381.98
<u>ADJUSTMENTS</u>								
08/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
08/15/2018		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
08/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
08/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-1.55
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					1.98
08/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-591.57

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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BROKER COMMISSION TOTALS

Broker Transactions	\$381.98
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-591.57
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-209.59
Year-to-date Gross	\$39,931.97
Year-to-date Earnings	\$31,767.27