

STATEMENT
February 20, 2018

BRUCE COHEN
8 Kings HWY West Suite 6D
SUITE 6B
Haddonfield, NJ 08033

BROKER #: 077
BRANCH:

COMMISSION STATEMENT for BRUCE COHEN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/01/0017	#2000311057 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	5.59	92.0	0.00	5.14	0.00	5.14
11/01/0017	#2000311059 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	6.68	92.0	0.00	6.15	0.00	6.15
11/01/0017	#2000311108 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	6.67	92.0	0.00	6.14	0.00	6.14
12/01/0017	#2000311057 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	5.39	92.0	0.00	4.96	0.00	4.96
12/01/0017	#2000311059 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	6.48	92.0	0.00	5.96	0.00	5.96
12/01/0017	#2000311108 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	6.47	92.0	0.00	5.95	0.00	5.95
01/02/2018	#2000311057 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	5.73	92.0	0.00	5.27	0.00	5.27
01/02/2018	#2000311059 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	6.90	92.0	0.00	6.35	0.00	6.35
01/02/2018	#2000311108 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	6.89	92.0	0.00	6.34	0.00	6.34
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$56.80		\$0.00	\$52.26	\$0.00	\$52.26
<u>PRODUCT CATEGORY: STOCKS</u>									
01/04/2018	# CORTE, DENNIS	HOME DEPOT INC	1,898.53	59.74	92.0	0.00	54.96	19.95	35.01
01/17/2018	# OSINSKI, SIGMUND	CAMPBELL SOUP CO	6,837.21	50.00	92.0	0.00	46.00	19.95	26.05
01/17/2018	# OSINSKI, SIGMUND	KOHL'S CORP	6,869.86	50.00	92.0	0.00	46.00	19.95	26.05
01/23/2018	# WITT, STEPHEN	U S SILICA HOLDINGS INC	1,878.00	0.00	92.0	0.00	0.00	19.95	-19.95
* STOCKS SUBTOTAL *			\$17,483.60	\$159.74		\$0.00	\$146.96	\$79.80	\$67.16
<u>PRODUCT CATEGORY: BONDS</u>									
01/30/2018	# CORTE, DENNIS	FEDL HOME LOAN MTG CORP#T65327	49,356.92	1,203.83	92.0	0.00	1,107.52	20.00	1,087.52
01/30/2018	# YOUNG, LOIS	FEDL HOME LOAN MTG CORP#T65327	21,936.41	535.03	92.0	0.00	492.23	20.00	472.23
01/30/2018	# BUNN, JANICE	FEDL HOME LOAN MTG CORP#T65327	21,936.41	535.03	92.0	0.00	492.23	20.00	472.23
01/30/2018	# MCCULLOUGH, WILLIAM	FEDL HOME LOAN MTG CORP#T65327	41,130.77	1,003.19	92.0	0.00	922.93	20.00	902.93
01/30/2018	# RIVERA, JOSE	FEDL HOME LOAN MTG CORP#T65327	16,452.31	401.28	92.0	0.00	369.18	20.00	349.18
01/30/2018	# ELWELL, JAY	FEDL HOME LOAN MTG CORP#T65327	274,205.11	6,687.93	92.0	0.00	6,152.90	20.00	6,132.90
01/30/2018	# YOUNG, LOIS	FEDL HOME LOAN MTG CORP#T65327	24,678.46	601.91	92.0	0.00	553.76	20.00	533.76
* BONDS SUBTOTAL *			\$449,696.39	\$10,968.20		\$0.00	\$10,090.75	\$140.00	\$9,950.75

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/11/2018 #	OSINSKI, SIGMUND	CALL CMG 01/19/18 360	330.00	35.00	92.0	0.00	32.20	28.95	3.25
* OPTIONS SUBTOTAL *			\$330.00	\$35.00		\$0.00	\$32.20	\$28.95	\$3.25
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/29/2017 #	DAX17116 ELWELL, JAY	ALLIANZ VA	0.00	197.76	92.0	0.00	181.94	0.00	181.94
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$197.76		\$0.00	\$181.94	\$0.00	\$181.94
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
01/04/2018 #	CORTE, DENNIS	UIT FIRST TRUST DIVID INCOME PORTFO	59,726.91	20.00	92.0	0.00	18.40	18.00	0.40
01/04/2018 #	TAYLOR, VICTOR	UIT FIRST TRUST ELECTION SER 5 PORT	235,009.19	4,700.95	92.0	0.00	4,324.87	18.00	4,306.87
01/04/2018 #	CORTE, DENNIS	UIT FIRST TRUST HIGH DIVID SER 33 E	52,239.51	20.00	92.0	0.00	18.40	18.00	0.40
01/04/2018 #	TAYLOR, VICTOR	UIT FIRST TRUST TARGET HIGH SER 16	233,077.70	20.00	92.0	0.00	18.40	18.00	0.40
01/04/2018 #	TAYLOR, VICTOR	UIT FIRST TRUST UNIT 6350 S&P SER 2	227,644.53	20.00	92.0	0.00	18.40	18.00	0.40
01/04/2018 #	TAYLOR, VICTOR	UIT FT DIVID STRENGTH PORTFOLI SER	235,007.16	4,700.66	92.0	0.00	4,324.61	18.00	4,306.61
01/24/2018 #	WITT, STEPHEN	UIT FT S&P TARGET 24 1Q 18	5,003.95	62.56	92.0	0.00	57.56	18.00	39.56
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$1,047,708.95	\$9,544.17		\$0.00	\$8,780.64	\$126.00	\$8,654.64
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017 #	02900009559 OLSEN, WARREN	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	64.01	92.0	0.00	58.89	0.00	58.89
12/31/2017 #	02900009570 OLSEN, SHIRLEY	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	77.97	92.0	0.00	71.73	0.00	71.73
12/31/2017 #	05001735283 OLSEN, WARREN	OPPENHEIMER DISCOVERY FUND CL A	0.00	3.96	92.0	0.00	3.64	0.00	3.64
12/31/2017 #	08350257213 OLSEN, SHIRLEY	OPPENHEIMER EQUITY INCOME FUND INC-	0.00	76.13	92.0	0.00	70.04	0.00	70.04
12/31/2017 #	08350257213 OLSEN, SHIRLEY	OPPENHEIMER EQUITY INCOME FUND INC-	0.00	1.71	92.0	0.00	1.57	0.00	1.57
12/31/2017 #	03300126335 OLSEN, WARREN	OPPENHEIMER GLOBAL FUND CL A	0.00	1,608.95	92.0	0.00	1,480.23	0.00	1,480.23
12/31/2017 #	08253151335 OLSEN, WARREN	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	261.91	92.0	0.00	240.96	0.00	240.96
12/31/2017 #	07001345443 OLSEN, WARREN	OPPENHEIMER MAIN STREET FUND CL A	0.00	317.12	92.0	0.00	291.75	0.00	291.75
12/31/2017 #	02514678201 OLSEN, WARREN	SMALL- & MID- CAP VALUE FUND A	0.00	265.46	92.0	0.00	244.22	0.00	244.22
12/31/2017 #	02514678201 OLSEN, WARREN	SMALL- & MID- CAP VALUE FUND A	0.00	0.27	92.0	0.00	0.25	0.00	0.25
01/02/2018 #	00202912600 OLSEN, WARREN	DAVIS NEW YORK VENTURE FUND INC-CL	0.00	125.32	92.0	0.00	115.29	0.00	115.29
01/10/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	11.47	92.0	0.00	10.55	0.00	10.55
01/10/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	14.36	92.0	0.00	13.21	0.00	13.21
01/10/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	10.02	92.0	0.00	9.22	0.00	9.22
01/10/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	13.47	92.0	0.00	12.39	0.00	12.39
01/10/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	3.24	92.0	0.00	2.98	0.00	2.98
01/10/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	5.83	92.0	0.00	5.36	0.00	5.36
01/10/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	10.73	92.0	0.00	9.87	0.00	9.87
01/10/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	2.45	92.0	0.00	2.25	0.00	2.25

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	3.40	92.0	0.00	3.13	0.00	3.13
01/10/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	3.62	92.0	0.00	3.33	0.00	3.33
01/10/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	8.63	92.0	0.00	7.94	0.00	7.94
01/10/2018 #	GROSS, LORETTA	DAIN TRAILER	0.00	4.90	92.0	0.00	4.51	0.00	4.51
01/10/2018 #	LEONETTI, MARK	DAIN TRAILER	0.00	32.62	92.0	0.00	30.01	0.00	30.01
01/10/2018 #	LEONETTI, MARK	DAIN TRAILER	0.00	5.02	92.0	0.00	4.62	0.00	4.62
01/10/2018 #	LEONETTI, MARK	DAIN TRAILER	0.00	4.76	92.0	0.00	4.38	0.00	4.38
01/10/2018 #	WHITE, ANN	DAIN TRAILER	0.00	13.71	92.0	0.00	12.61	0.00	12.61
01/10/2018 #	WHITE, ANN	DAIN TRAILER	0.00	1.48	92.0	0.00	1.36	0.00	1.36
01/10/2018 #	WHITE, ANN	DAIN TRAILER	0.00	18.24	92.0	0.00	16.78	0.00	16.78
01/10/2018 #	OLSEN, WARREN	DAIN TRAILER	0.00	25.13	92.0	0.00	23.12	0.00	23.12
01/10/2018 #	OLSEN, WARREN	DAIN TRAILER	0.00	11.99	92.0	0.00	11.03	0.00	11.03
01/10/2018 #	MCCULLOUGH, WILLIAM	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
01/10/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	0.56	92.0	0.00	0.52	0.00	0.52
01/10/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	12.49	92.0	0.00	11.49	0.00	11.49
01/10/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	10.71	92.0	0.00	9.85	0.00	9.85
01/10/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	0.52	92.0	0.00	0.48	0.00	0.48
01/10/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	0.88	92.0	0.00	0.81	0.00	0.81
01/10/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	3.76	92.0	0.00	3.46	0.00	3.46
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,036.82		\$0.00	\$2,793.85	\$0.00	\$2,793.85
*** BROKER TRANSACTIONS TOTAL ***			\$1,515,218.94	\$23,998.49		\$0.00	\$22,078.60	\$374.75	\$21,703.85

ADJUSTMENTS

02/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
02/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
02/20/2018	BONDS & UIT'S: BONDS & UIT'S	473.20
*** ADJUSTMENTS TOTAL ***		\$-25.80

BROKER COMMISSION TOTALS

Broker Transactions	\$21,703.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.80
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$21,678.05
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