

**COMMISSION STATEMENT****April 15, 2018**

ROBERT, L117 LUCHSINGER
600 Johnson Ave.
Suite B5
Bohemia, NY 11716

BROKER #: L117
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
04/06/2018	LUCHSINGER, ROBERT	DOMINION RES INC VA COM	13,289.66	30.00	22.50	7.50	95.0	7.13
		* STOCKS SUBTOTAL *	\$13,289.66	\$30.00	\$22.50	\$7.50		\$7.13
		*** CLEARING TRANSACTIONS TOTAL ***	\$13,289.66	\$30.00	\$22.50	\$7.50		\$7.13

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/26/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	120.00	5.70	0.00	5.70	95.0	5.42
03/26/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	120.00	5.70	0.00	5.70	95.0	5.42
03/26/2018	KUDLEK, CHRISTOPHER	PACIFIC LIFE FUNDS	184.60	6.92	0.00	6.92	95.0	6.57
03/26/2018	KUDLEK, CHRISTOPHER	PACIFIC LIFE FUNDS	184.60	6.92	0.00	6.92	95.0	6.57
03/26/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	115.20	5.47	0.00	5.47	95.0	5.20
03/26/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	115.20	5.47	0.00	5.47	95.0	5.20
04/02/2018	KAMPMANN, MURIEL	AM FUNDS ICA C	250.00	2.50	0.00	2.50	95.0	2.38
03/26/2018	HANAK, EMMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	268.85	11.43	0.00	11.43	95.0	10.86
04/02/2018	KAMPMANN, MURIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	250.00	2.50	0.00	2.50	95.0	2.38
03/22/2018	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
03/22/2018	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
03/26/2018	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
03/26/2018	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
03/22/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
03/22/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
03/26/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
03/26/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
03/22/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
03/22/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/26/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
03/26/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
03/22/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
03/22/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
03/26/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
03/26/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
03/22/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
03/22/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
03/26/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
03/26/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
* MUTUAL FUNDS SUBTOTAL *			\$2,436.15	\$65.89	\$0.00	\$65.89		\$62.58
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/29/2018	HANSON, JOSEPH	ALLIANZ LIFE NY VISION	74,019.21	185.05	0.00	185.05	95.0	175.80
03/29/2018	GIOVANNIELLO, LARRY	ALLIANZ LIFE NY VISION	15,649.22	39.12	0.00	39.12	95.0	37.16
03/29/2018	PRISCO, CLARA	ALLIANZ LIFE NY VISION	79,695.11	199.24	0.00	199.24	95.0	189.28
03/29/2018	YBAY, ERLINDA	ALLIANZ LIFE NY VISION	243,416.74	608.54	0.00	608.54	95.0	578.11
03/29/2018	TUCKER, PATRICIA	ALLIANZ LIFE NY VISION	67,965.78	169.91	0.00	169.91	95.0	161.41
03/29/2018	GENNUSA, ANTHONY	ALLIANZ NY VISION	24,284.36	30.36	0.00	30.36	95.0	28.84
03/29/2018	NOONAN, WILLIAM	ALLIANZ NY VISION	22,592.25	28.24	0.00	28.24	95.0	26.83
03/29/2018	GRANT, JOHN	ALLIANZ NY VISION	33,531.91	41.91	0.00	41.91	95.0	39.81
03/29/2018	HANDLER, EMMA	ALLIANZ VISION	20,228.20	50.57	0.00	50.57	95.0	48.04
03/29/2018	PATTERSON, BARBARA	ALLIANZ VISION BASIC	135,703.92	169.63	0.00	169.63	95.0	161.15
03/29/2018	GUARINO, CARMINE	HARTFORD LEADERS	64,633.25	40.40	0.00	40.40	95.0	38.38
03/29/2018	LEAVY, THOMAS	HARTFORD LEADERS OUTLOOK	128,043.06	320.11	0.00	320.11	95.0	304.10
03/29/2018	SARACINO, CAROLINE	HARTFORD LEADERS OUTLOOK	109,958.81	274.90	0.00	274.90	95.0	261.16
03/29/2018	TOOLEY, PATRICIA	HARTFORD LEADERS OUTLOOK	148,221.63	370.55	0.00	370.55	95.0	352.02
03/29/2018	LUCHSINGER, JANE	HARTFORD LEADERS OUTLOOK	56,413.82	141.03	0.00	141.03	95.0	133.98
03/29/2018	ROARKE, MARY ELIZABETH	HARTFORD LEADERS OUTLOOK	22,685.71	56.71	0.00	56.71	95.0	53.87
03/29/2018	ROBERTO, RICHARD	HARTFORD LEADERS OUTLOOK (HL)	8,958.22	22.40	0.00	22.40	95.0	21.28
03/29/2018	ROBERTO, ROBERT	HARTFORD LEADERS OUTLOOK (HL)	8,852.64	22.13	0.00	22.13	95.0	21.02
03/29/2018	GRANT, JOHN	HARTFORD LEADERS OUTLOOK (HL)	76,973.38	192.43	0.00	192.43	95.0	182.81
03/29/2018	HANDLER, EMMA	HARTFORD LEADERS OUTLOOK (HL)	124,402.87	311.01	0.00	311.01	95.0	295.46
03/29/2018	FOURNIER, ELIZABETH	HARTFORD LEADERS OUTLOOK (HL)	64,632.66	161.58	0.00	161.58	95.0	153.50

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
03/29/2018	NOONAN, WILLIAM	HARTFORD LEADERS OUTLOOK (HL)	9,153.96	22.88	0.00	22.88	95.0	21.74
03/29/2018	LENTINI, ANNA	HARTFORD LEADERS OUTLOOK (HL)	43,025.59	107.56	0.00	107.56	95.0	102.18
03/29/2018	PATTERSON, BARBARA	HARTFORD LEADERS OUTLOOK 2	33,292.04	83.23	0.00	83.23	95.0	79.07
03/29/2018	FOURNIER, PETER	HARTFORD LEADERS OUTLOOK 2	43,758.41	109.40	0.00	109.40	95.0	103.93
03/29/2018	GRANT, JOHN	HARTFORD LEADERS OUTLOOK 2	86,072.82	215.18	0.00	215.18	95.0	204.42
03/29/2018	HANAK TRUST, MARGARET	HARTFORD LEADERS OUTLOOK 2	102,660.81	256.65	0.00	256.65	95.0	243.82
03/29/2018	SINGLETON, GWENDOLYN	HARTFORD LEADERS OUTLOOK 2	15,530.02	38.83	0.00	38.83	95.0	36.89
03/29/2018	MCKENNA, STEPHANIE	HARTFORD LEADERS OUTLOOK 2	107,074.10	267.69	0.00	267.69	95.0	254.31
03/29/2018	YBAY, ERLINDA	HARTFORD LEADERS OUTLOOK 4 - HL	17,384.94	43.46	0.00	43.46	95.0	41.29
03/29/2018	SALANITRO, ISABEL	HARTFORD LEADERS OUTLOOK 4 - HL	55,613.23	139.03	0.00	139.03	95.0	132.08
03/29/2018	MOLNAR, J S KIP	HARTFORD LEADERS PLUS	183,751.97	114.84	0.00	114.84	95.0	109.10
03/29/2018	LENTINI, ANNA	HARTFORD LEADERS PLUS 2	538,608.28	336.63	0.00	336.63	95.0	319.80
03/31/2018	MOLNAR, J S KIP	JNL 468511134	14,667.93	3.06	0.00	3.06	95.0	2.91
03/31/2018	MAZZA, JOSEPH	JNL 468511134	39,978.44	8.33	0.00	8.33	95.0	7.91
04/02/2018	MOLNAR, J S KIP	JNL 468511134	1,000.00	45.00	0.00	45.00	95.0	42.75
04/02/2018	MAZZA, JOSEPH	JNL 468511134	3,000.00	135.00	0.00	135.00	95.0	128.25
03/31/2018	FLANAGAN, BARBARA	JNL 468511142	5,663.05	4.72	0.00	4.72	95.0	4.48
03/31/2018	NEVIN, NANCY	JNL NY PERSPECTIVE L	227,868.35	189.89	0.00	189.89	95.0	180.40
03/31/2018	TETA, JODI	JNL NY PERSPECTIVE L	229,083.60	190.90	0.00	190.90	95.0	181.36
03/31/2018	LUCHSINGER, ELIZABETH	JNL NY PERSPECTIVE L	162,845.39	135.70	0.00	135.70	95.0	128.92
03/31/2018	MCKENNA, STEPHANIE	JNL NY PERSPECTIVE L	79,105.35	65.92	0.00	65.92	95.0	62.62
03/31/2018	LUCHSINGER, ROBERT	JNL PERSPECTIVE II 04/14	100,391.84	20.91	0.00	20.91	95.0	19.86
03/31/2018	STOCCO, ROBERT	JNL PERSPECTIVE II 04/14	43,470.37	9.06	0.00	9.06	95.0	8.61
03/31/2018	HANAK, SUSAN	JNL PERSPECTIVE II 04/14	436,950.60	91.03	0.00	91.03	95.0	86.48
03/31/2018	DEITSCH, PAUL	JNL PERSPECTIVE II 04/14	93,709.88	19.52	0.00	19.52	95.0	18.54
03/31/2018	GUARINO, CARMINE	JNL PERSPECTIVE II 04/14	199,198.34	41.50	0.00	41.50	95.0	39.43
03/31/2018	FLANAGAN, BARBARA	JNL PERSPECTIVE II 04/14	256,023.34	53.34	0.00	53.34	95.0	50.67
03/31/2018	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	31,390.91	6.54	0.00	6.54	95.0	6.21
04/02/2018	SMITH, GARY	JNL PERSPECTIVE II 04/14	1,000.00	70.00	0.00	70.00	95.0	66.50
03/31/2018	KAMPMANN, ROBERT	JNL PERSPECTIVE II 04/14	377,208.46	78.59	0.00	78.59	95.0	74.66
03/31/2018	KAMPMANN, ROBERT	JNL PERSPECTIVE II 04/14	248,420.31	51.75	0.00	51.75	95.0	49.16
03/28/2018	YBAY, ERLINDA	SBL SECURE DESIGNS VA	116,386.48	96.99	0.00	96.99	95.0	92.14
03/28/2018	KNAACK, JOHN	SBL SECURE DESIGNS VA	119,638.51	99.70	0.00	99.70	95.0	94.72

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
03/28/2018	ROBERTO, ROBERT	SBL SECURE DESIGNS VA	12,842.41	2.67	0.00	2.67	95.0	2.54
03/28/2018	ROBERTO, RICHARD	SBL SECURE DESIGNS VA	12,983.65	2.71	0.00	2.71	95.0	2.57
03/07/2018	SALANITRO, ISABEL	TRANSAMERICA LIBERTY	6,437.79	5.36	0.00	5.36	95.0	5.09
03/13/2018	PUMA, ANN	TRANSAMERICA LIBERTY	36,093.81	30.08	0.00	30.08	95.0	28.58
03/15/2018	MAZZA, JOSEPH	TRANSAMERICA LIBERTY	114,957.43	95.80	0.00	95.80	95.0	91.01
03/30/2018	LUCHSINGER, JANE	TRANSAMERICA LIBERTY	14,724.42	12.27	0.00	12.27	95.0	11.66
03/17/2018	KNAACK, PATRICIA	TRANSAMERICA LIFE 89353J666	779,680.77	162.43	0.00	162.43	95.0	154.31
* VARIABLE ANNUITIES SUBTOTAL *			\$6,531,510.35	\$6,899.97	\$0.00	\$6,899.97		\$6,554.98

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018	KUDLEK, CHRISTOPHER	PACIFIC LIFE FUNDS	128.67	0.08	0.00	0.08	95.0	0.08
03/31/2018	YBAY, ANTONIO	PACIFIC LIFE FUNDS	17,436.16	10.75	0.00	10.75	95.0	10.21
03/31/2018	YBAY, ANTONIO	PACIFIC LIFE FUNDS	53,821.31	33.18	0.00	33.18	95.0	31.52
03/31/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	16,124.80	9.94	0.00	9.94	95.0	9.44
03/31/2018	DORIO, JAMES	PACIFIC LIFE FUNDS	115.97	0.07	0.00	0.07	95.0	0.07
03/31/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	82.93	0.05	0.00	0.05	95.0	0.05
03/31/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	79.62	0.05	0.00	0.05	95.0	0.05
03/31/2018	KUDLEK, GREGORY	PACIFIC LIFE FUNDS	5,922.57	3.65	0.00	3.65	95.0	3.47
03/31/2018	YBAY, ANTONIO	PACIFIC LIFE FUNDS	5,105.81	3.15	0.00	3.15	95.0	2.99
03/31/2018	CASKIN, GAIL	PACIFIC LIFE FUNDS	18,346.31	11.31	0.00	11.31	95.0	10.74
03/31/2018	YBAY, ANTONIO	PACIFIC LIFE FUNDS	7,773.04	4.79	0.00	4.79	95.0	4.55
03/31/2018	HAZEN, JAMES	PACIFIC LIFE FUNDS	19,034.29	46.93	0.00	46.93	95.0	44.58
03/15/2018	MCKENNA, STEPHANIE	EATON VANCE FLOATING RATE FUND CLAS	0.00	0.01	0.00	0.01	95.0	0.01
/ /	DIBENEDETTO, SUSAN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	3.77	0.00	3.77	95.0	3.58
/ /	GRECK, BRIAN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	4.00	0.00	4.00	95.0	3.80
/ /	GRECK, BRIAN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	16.83	0.00	16.83	95.0	15.99
/ /	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	0.00	79.31	0.00	79.31	95.0	75.34
/ /	GRECK, BRIAN	OPPENHEIMER CAPITAL APPRECIATION B	0.00	0.41	0.00	0.41	95.0	0.39
/ /	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION B	0.00	1.79	0.00	1.79	95.0	1.70
/ /	ROBERTO, JOSEPH	OPPENHEIMER CAPITAL APPRECIATION C	0.00	13.28	0.00	13.28	95.0	12.62
/ /	STRYJEWSKI, THOMAS	OPPENHEIMER CAPITAL INCOME A	0.00	3.86	0.00	3.86	95.0	3.67
/ /	ROBERTO, JOSEPH	OPPENHEIMER DISCOVERY C	0.00	21.83	0.00	21.83	95.0	20.74
/ /	GRECK, BRIAN	OPPENHEIMER EMERG MKTS INNOV	0.00	8.12	0.00	8.12	95.0	7.71
/ /	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	16.36	0.00	16.36	95.0	15.54

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	GUARINO, JOAN	OPPENHEIMER GLOBAL A	0.00	10.66	0.00	10.66	95.0	10.13
/ /	DIBENEDETTO, SUSAN	OPPENHEIMER GLOBAL A	0.00	6.01	0.00	6.01	95.0	5.71
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL A	0.00	6.11	0.00	6.11	95.0	5.80
/ /	MCKENNA, STEPHANIE	OPPENHEIMER GLOBAL A	0.00	63.87	0.00	63.87	95.0	60.68
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	0.00	92.96	0.00	92.96	95.0	88.31
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	0.00	15.08	0.00	15.08	95.0	14.33
/ /	SMITH, DAVID	OPPENHEIMER GLOBAL A	0.00	53.50	0.00	53.50	95.0	50.83
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL ALLOCATION A	0.00	21.23	0.00	21.23	95.0	20.17
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	0.00	50.18	0.00	50.18	95.0	47.67
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION B	0.00	1.32	0.00	1.32	95.0	1.25
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL B	0.00	1.87	0.00	1.87	95.0	1.78
/ /	ROBERTO, JOSEPH	OPPENHEIMER GLOBAL C	0.00	45.82	0.00	45.82	95.0	43.53
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	2.51	0.00	2.51	95.0	2.38
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	11.92	0.00	11.92	95.0	11.32
/ /	GENNUSA, ANTHONY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.01	0.00	1.01	95.0	0.96
/ /	DIBENEDETTO, SUSAN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.91	0.00	0.91	95.0	0.86
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL STRATEGIC INC B	0.00	0.24	0.00	0.24	95.0	0.23
/ /	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	9.76	0.00	9.76	95.0	9.27
/ /	GRECK, BRIAN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	7.05	0.00	7.05	95.0	6.70
/ /	ALBANESE, MICHAEL	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	14.52	0.00	14.52	95.0	13.79
/ /	SALANITRO, ISABEL	OPPENHEIMER MAIN STREET A	0.00	5.51	0.00	5.51	95.0	5.23
/ /	SALANITRO, ALFRED	OPPENHEIMER MAIN STREET A	0.00	5.51	0.00	5.51	95.0	5.23
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET A	0.00	33.18	0.00	33.18	95.0	31.52
/ /	SMITH, LUCILLE	OPPENHEIMER MAIN STREET A	0.00	11.73	0.00	11.73	95.0	11.14
/ /	ALBANESE, MICHAEL	OPPENHEIMER MAIN STREET A	0.00	11.06	0.00	11.06	95.0	10.51
/ /	SMITH, DAVID	OPPENHEIMER MAIN STREET A	0.00	66.66	0.00	66.66	95.0	63.33
/ /	DIBENEDETTO, SUSAN	OPPENHEIMER MAIN STREET A	0.00	37.99	0.00	37.99	95.0	36.09
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET B	0.00	0.91	0.00	0.91	95.0	0.86
/ /	ROBERTO, JOSEPH	OPPENHEIMER MAIN STREET C	0.00	33.31	0.00	33.31	95.0	31.64
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	3.16	0.00	3.16	95.0	3.00
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	19.35	0.00	19.35	95.0	18.38
/ /	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	91.11	0.00	91.11	95.0	86.55
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET SMALLCAP B	0.00	0.45	0.00	0.45	95.0	0.43

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP B	0.00	1.72	0.00	1.72	95.0	1.63
/ /	FOURNIER, ELIZABETH	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	38.52	0.00	38.52	95.0	36.59
/ /	GUARINO, JOAN	OPPENHEIMER US GOVT TRUST A	0.00	3.48	0.00	3.48	95.0	3.31
/ /	ROBERTO, JOSEPH	OPPENHEIMER US GOVT TRUST C	0.00	156.88	0.00	156.88	95.0	149.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$143,971.48	\$1,230.58	\$0.00	\$1,230.58		\$1,169.02
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/02/2001	HANSON, JOSEPH	ING EMPIRE INNOVATIONS STD OPT A	109,330.09	273.33	0.00	273.33	95.0	259.66
12/31/2017	DEITSCH, PAUL	ING EMPIRE INNOVATIONS STD OPT A	146,883.69	367.21	0.00	367.21	95.0	348.85
01/02/2018	HANSON, JOSEPH	ING EMPIRE INNOVATIONS STD OPT A	115,097.65	287.74	0.00	287.74	95.0	273.35
03/31/2018	DEITSCH, PAUL	ING EMPIRE INNOVATIONS STD OPT A	142,430.59	356.08	0.00	356.08	95.0	338.28
03/31/2018	FRANCIONI, ANNA	LINCOLN BENEFIT TRAIL RENEWALS	78,346.30	48.97	0.00	48.97	95.0	46.52
03/31/2018	MCKENNA, STEPHANIE	LINCOLN BENEFIT TRAIL RENEWALS	95,193.56	59.50	0.00	59.50	95.0	56.53
03/31/2018	MAZZA, JOSEPH	LINCOLN BENEFIT TRAIL RENEWALS	619,930.26	1,549.83	0.00	1,549.83	95.0	1,472.34
03/31/2018	STOCCO, ROBERT	LINCOLN BENEFIT TRAIL RENEWALS	86,966.70	217.42	0.00	217.42	95.0	206.55
03/31/2018	MCKENNA, STEPHANIE	LINCOLN BENEFIT TRAIL RENEWALS	199,334.04	498.34	0.00	498.34	95.0	473.42
03/31/2018	FOURNIER TRUST	LINCOLN BENEFIT TRAIL RENEWALS	239,541.63	149.71	0.00	149.71	95.0	142.22
03/31/2018	DEITSCH, PAUL	LINCOLN BENEFIT TRAIL RENEWALS	318,648.15	796.62	0.00	796.62	95.0	756.79
03/31/2018	NOONAN, WILLIAM	LINCOLN BENEFIT TRAIL RENEWALS	139,279.88	348.20	0.00	348.20	95.0	330.79
03/19/2018	CONNOR, BURTON	PAC LIFE INNOVATIVE SELECT	0.00	85.66	0.00	85.66	95.0	81.38
03/19/2018	NOONAN, WILLIAM	PAC LIFE INNOVATIVE SELECT NY	0.00	112.73	0.00	112.73	95.0	107.09
03/19/2018	MINARDI, LOUIS	PAC LIFE INNOVATIVE SELECT NY	0.00	232.09	0.00	232.09	95.0	220.49
03/19/2018	HANSON, ALEXANDRA	PAC LIFE INNOVATIVE SELECT NY	0.00	152.74	0.00	152.74	95.0	145.10
03/20/2018	VIONE, LESLEY	PAC LIFE INNOVATIVE SELECT NY	0.00	245.35	0.00	245.35	95.0	233.08
03/21/2018	PEREIRA, LUIS	PAC LIFE INNOVATIVE SELECT NY	0.00	209.35	0.00	209.35	95.0	198.88
03/21/2018	DECASTRO, NEUSA	PAC LIFE INNOVATIVE SELECT NY	0.00	129.17	0.00	129.17	95.0	122.71
03/23/2018	MCKENNA, STEPHANIE	PAC LIFE INNOVATIVE SELECT NY	0.00	519.42	0.00	519.42	95.0	493.45
03/23/2018	SMITH, GARY	PAC LIFE INNOVATIVE SELECT NY	0.00	617.32	0.00	617.32	95.0	586.45
03/26/2018	HANAK, SUSAN	PAC LIFE INNOVATIVE SELECT NY	0.00	326.68	0.00	326.68	95.0	310.35
03/26/2018	FOURNIER, PETER	PAC LIFE INNOVATIVE SELECT NY	0.00	821.77	0.00	821.77	95.0	780.68
03/26/2018	GORMAN, LORAIN	PAC LIFE INNOVATIVE SELECT NY	0.00	48.49	0.00	48.49	95.0	46.07
03/26/2018	CORREIA, MARIA	PAC LIFE INNOVATIVE SELECT NY	0.00	345.43	0.00	345.43	95.0	328.16
03/26/2018	CORREIA, LUDOVINO	PAC LIFE INNOVATIVE SELECT NY	0.00	469.89	0.00	469.89	95.0	446.40
03/26/2018	GENNUSA, FRANCES	PAC LIFE INNOVATIVE SELECT NY	0.00	26.19	0.00	26.19	95.0	24.88

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
03/26/2018	LUCHSINGER, JANE	PAC LIFE INNOVATIVE SELECT NY	0.00	317.81	0.00	317.81	95.0	301.92
03/28/2018	PUMA, FRANK	PAC LIFE INNOVATIVE SELECT NY	0.00	167.49	0.00	167.49	95.0	159.12
03/29/2018	LUCHSINGER, ANDREW	PAC LIFE INNOVATIVE SELECT NY	0.00	188.48	0.00	188.48	95.0	179.06
03/26/2018	FLANAGAN, BARBARA	PACIFIC CHOICE	0.00	105.00	0.00	105.00	95.0	99.75
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$2,290,982.54	\$10,074.01	\$0.00	\$10,074.01		\$9,570.32
*** DIRECT TRANSACTIONS TOTAL ***			\$8,968,900.52	\$18,270.45	\$0.00	\$18,270.45		\$17,356.90

OVERRIDE TRANSACTIONS**BROKER: L149-ROBERT LUCHSINGER #388**

03/31/2018	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	118,681.21	98.90	0.00	98.90	0.0	39.56
03/31/2018	CAPASSO, DEBRA	PACIFIC LIFE FUNDS	1,344.08	0.83	0.00	0.83	0.0	0.33
03/31/2018	KNAACK, PATRICIA	PACIFIC LIFE FUNDS	44,077.50	27.17	0.00	27.17	0.0	10.87
03/31/2018	CAPASSO, MICHAEL	PACIFIC LIFE FUNDS	1,342.76	0.83	0.00	0.83	0.0	0.33
03/31/2018	ROARKE, MARY ELIZABETH	PACIFIC LIFE FUNDS	140,382.25	86.54	0.00	86.54	0.0	34.62
03/31/2018	CAPASSO, DEBRA	PACIFIC LIFE FUNDS	1,340.71	0.83	0.00	0.83	0.0	0.33
03/31/2018	CAPASSO, MICHAEL	PACIFIC LIFE FUNDS	1,340.71	0.83	0.00	0.83	0.0	0.33
03/31/2018	COSTELLO, KEVIN	PACIFIC LIFE FUNDS	16,049.87	9.89	0.00	9.89	0.0	3.96
03/31/2018	CIOS, ANNA	PACIFIC LIFE FUNDS	59.54	0.04	0.00	0.04	0.0	0.02
03/31/2018	TERMINE, MASON	PACIFIC LIFE FUNDS	59.54	0.04	0.00	0.04	0.0	0.02
03/31/2018	CIOS, ROCCO	PACIFIC LIFE FUNDS	59.54	0.04	0.00	0.04	0.0	0.02
03/31/2018	CAPASSO, CRISTINA	PACIFIC LIFE FUNDS	737.18	0.45	0.00	0.45	0.0	0.18
03/31/2018	TERMINE, MILO	PACIFIC LIFE FUNDS	59.54	0.04	0.00	0.04	0.0	0.02
03/31/2018	MALIA, EDWARD	PACIFIC LIFE FUNDS	8,868.43	5.47	0.00	5.47	0.0	2.19
03/31/2018	CAPASSO, DEBRA	PACIFIC LIFE FUNDS	31,439.86	19.38	0.00	19.38	0.0	7.75
03/31/2018	KNAACK, PATRICIA	PACIFIC LIFE FUNDS	1,886.85	1.16	0.00	1.16	0.0	0.46
03/31/2018	MALIA, EDWARD	PACIFIC LIFE FUNDS	11,669.71	7.19	0.00	7.19	0.0	2.88
03/31/2018	LOITSCH, DIANE	PACIFIC LIFE FUNDS	16,790.72	10.35	0.00	10.35	0.0	4.14
03/31/2018	CAPASSO, MICHAEL	PACIFIC LIFE FUNDS	28,470.16	17.55	0.00	17.55	0.0	7.02
03/31/2018	ROARKE, MARY ELIZABETH	PACIFIC LIFE FUNDS	132,495.03	81.68	0.00	81.68	0.0	32.67
03/31/2018	MALIA, EDWARD	PACIFIC LIFE FUNDS	15,714.48	9.69	0.00	9.69	0.0	3.88
03/31/2018	CAPASSO, DEBRA	PACIFIC LIFE FUNDS	27,164.44	16.75	0.00	16.75	0.0	6.70
03/31/2018	CASKIN, KENNETH	PACIFIC LIFE FUNDS	7,323.99	4.51	0.00	4.51	0.0	1.80

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
BROKER: L149-ROBERT LUCHSINGER #388								
03/31/2018	CAPASSO, MICHAEL	PACIFIC LIFE FUNDS	24,604.45	15.17	0.00	15.17	0.0	6.07
03/31/2018	COSTELLO, KEVIN	PACIFIC LIFE FUNDS	147.82	0.09	0.00	0.09	0.0	0.04
03/31/2018	CIOS, ANNA	PACIFIC LIFE FUNDS	2,189.23	1.35	0.00	1.35	0.0	0.54
03/31/2018	TERMINE, MASON	PACIFIC LIFE FUNDS	2,189.23	1.35	0.00	1.35	0.0	0.54
03/31/2018	CIOS, ROCCO	PACIFIC LIFE FUNDS	2,643.90	1.63	0.00	1.63	0.0	0.65
03/31/2018	CAPASSO, CRISTINA	PACIFIC LIFE FUNDS	16,138.21	9.95	0.00	9.95	0.0	3.98
03/31/2018	CAPASSO, LAURA	PACIFIC LIFE FUNDS	12,624.70	7.78	0.00	7.78	0.0	3.11
03/31/2018	CASKIN, MEGAN	PACIFIC LIFE FUNDS	2,452.63	1.51	0.00	1.51	0.0	0.60
03/31/2018	TERMINE, MILO	PACIFIC LIFE FUNDS	1,828.13	1.13	0.00	1.13	0.0	0.45
03/31/2018	SEAMAN, SUSAN	PACIFIC LIFE FUNDS	986.85	2.43	0.00	2.43	0.0	0.97
03/31/2018	SEAMAN, SUSAN	PACIFIC LIFE FUNDS	1,124.13	2.77	0.00	2.77	0.0	1.11
03/31/2018	TERMINE, ALLISON	PACIFIC LIFE FUNDS	3,145.79	7.76	0.00	7.76	0.0	3.10
03/31/2018	SEAMAN, SUSAN	PACIFIC LIFE FUNDS	2,189.24	5.40	0.00	5.40	0.0	2.16
03/31/2018	TERMINE, ALLISON	PACIFIC LIFE FUNDS	2,513.88	6.20	0.00	6.20	0.0	2.48
03/31/2018	CHIAPPERINO, LORRAINE	PACIFIC LIFE FUNDS	4,656.73	10.67	0.00	10.67	0.0	4.27
03/31/2018	SEAMAN, SUSAN	PACIFIC LIFE FUNDS	1,120.10	2.76	0.00	2.76	0.0	1.10
03/21/2018	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.14	0.00	4.14	0.0	1.66
03/21/2018	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.34	0.00	3.34	0.0	1.34
03/15/2018	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.55	0.00	2.55	0.0	1.02
03/24/2018	LOITSCH, ROBERT	ING EMPIRE INNOVATIONS STD OPT A	136,072.50	340.18	0.00	340.18	0.0	136.07
03/31/2018	KNAACK, JOHN	LINCOLN BENEFIT TRAIL RENEWALS	233,147.15	582.87	0.00	582.87	0.0	233.15
03/31/2018	STRYJEWSKI, DONALD	LINCOLN BENEFIT TRAIL RENEWALS	331,775.20	829.44	0.00	829.44	0.0	331.78
03/31/2018	CHIAPPERINO, LORRAINE	LINCOLN BENEFIT TRAIL RENEWALS	82,719.11	206.80	0.00	206.80	0.0	82.72
03/31/2018	PANDOLFO, CATHERINE	LINCOLN BENEFIT TRAIL RENEWALS	508,259.33	1,270.65	0.00	1,270.65	0.0	508.26
03/31/2018	GORMAN, ANN	LINCOLN BENEFIT TRAIL RENEWALS	409,536.40	1,023.84	0.00	1,023.84	0.0	409.54
03/20/2018	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	5.44	0.00	5.44	0.0	2.18
03/21/2018	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.98	0.00	0.98	0.0	0.39
03/21/2018	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.23	0.00	1.23	0.0	0.49
03/21/2018	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.58	0.00	0.58	0.0	0.23
03/21/2018	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.82	0.00	4.82	0.0	1.93
03/21/2018	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.54	0.00	4.54	0.0	1.82
03/21/2018	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	8.39	0.00	8.39	0.0	3.36
03/21/2018	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.69	0.00	1.69	0.0	0.68

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<u>VERRIDE TRANSACTIONS</u>								
BROKER: L149-ROBERT LUCHSINGER #388								
03/20/2018	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	4.43	0.00	4.43	0.0	1.77
03/16/2018	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.14	0.00	0.14	0.0	0.06
03/16/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.56	0.00	0.56	0.0	0.22
03/16/2018	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.83	0.00	4.83	0.0	1.93
03/16/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	2.01	0.00	2.01	0.0	0.80
03/16/2018	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.66	0.00	0.66	0.0	0.26
03/16/2018	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.01	0.00	1.01	0.0	0.40
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$2,389,422.81	\$4,783.23	\$0.00	\$4,783.23		\$1,913.31
*** OVERRIDE TRANSACTIONS TOTAL ***			\$2,389,422.81	\$4,783.23	\$0.00	\$4,783.23		\$1,913.31

ADJUSTMENTS

04/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
04/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
04/15/2018		*FINRA: MA INDIVIDUAL REG FEE	0.00					-75.00
04/15/2018		*PERSHING: BIOVERATIV INC MGR - PATTERSON	0.00					-10.00
04/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-572.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$17,364.03
Split Transactions	\$0.00
Override Transactions	\$1,913.31
Adjustments	\$-572.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$18,705.34
Year-to-date Gross	\$84,235.97
Year-to-date Earnings	\$83,356.75