



All Companies
COMMISSION STATEMENT
June 01, 2018

05/30/2018
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JIM CHEN
6300 I-40 West
Ste. 102
Amarillo, TX 79106

BROKER #: 9651
BRANCH: HOME OFFICE

COMMISSION STATEMENT for JIM CHEN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS									
PRODUCT CATEGORY: VARIABLE ANNUITIES									
04/30/2018	TRAILS	-JACKSON NATIONAL	201.00	201.00	75.0	150.75	0.00	150.75	
* VARIABLE ANNUITIES SUBTOTAL *			\$201.00	\$201.00		\$150.75	\$0.00	\$150.75	
PRODUCT CATEGORY: RIA									
04/13/2018	15240871	BURNAM, DENNIS D	SIGNAL SECURITIES RIA	0.00	74.67	75.0	56.00	0.00	56.00
04/13/2018	17058809	BOLTON, WILMA	SIGNAL SECURITIES RIA	0.00	113.67	75.0	85.25	0.00	85.25
04/13/2018	18127812	TIFFEE, GAE L	SIGNAL SECURITIES RIA	0.00	9.00	75.0	6.75	0.00	6.75
04/13/2018	19687002	CARVER, DON	SIGNAL SECURITIES RIA	0.00	116.67	75.0	87.50	0.00	87.50
04/13/2018	20208443	SNYDER, DAVID J	SIGNAL SECURITIES RIA	0.00	52.00	75.0	39.00	0.00	39.00
04/13/2018	21372067	LAI, LISA	SIGNAL SECURITIES RIA	0.00	6.33	75.0	4.75	0.00	4.75
04/13/2018	28820037	GILBERT, PAUL	SIGNAL SECURITIES RIA	0.00	41.33	75.0	31.00	0.00	31.00
04/13/2018	29488506	LAI, LISA	SIGNAL SECURITIES RIA	0.00	101.67	75.0	76.25	0.00	76.25
04/13/2018	33210997	DAVISON, GERALD & CHRIST	SIGNAL SECURITIES RIA	0.00	5.67	75.0	4.25	0.00	4.25
04/13/2018	39815176	CARVER, CINDY L L.	SIGNAL SECURITIES RIA	0.00	94.67	75.0	71.00	0.00	71.00
04/13/2018	49788125	WATSON, KIMBRA R	SIGNAL SECURITIES RIA	0.00	23.00	75.0	17.25	0.00	17.25
04/13/2018	53898308	MEREDITH, JOHN C	SIGNAL SECURITIES RIA	0.00	55.00	75.0	41.25	0.00	41.25
04/13/2018	55407278	SHIEH, RICHARD	SIGNAL SECURITIES RIA	0.00	73.33	75.0	55.00	0.00	55.00
04/13/2018	75737800	TIFFEE, GAE L	SIGNAL SECURITIES RIA	0.00	28.33	75.0	21.25	0.00	21.25
04/13/2018	77812556	DAVISON, CHRISTINA K	SIGNAL SECURITIES RIA	0.00	6.33	75.0	4.75	0.00	4.75
04/13/2018	87329027	SOUTHWEST CHAPTER NATL AM	SIGNAL SECURITIES RIA	0.00	13.33	75.0	10.00	0.00	10.00
04/13/2018	87410823	DAVISON, GERALD & CHRIST	SIGNAL SECURITIES RIA	0.00	51.33	75.0	38.50	0.00	38.50
* RIA SUBTOTAL *			\$0.00	\$866.33		\$649.75	\$0.00	\$649.75	
*** BROKER TRANSACTIONS TOTAL ***			\$201.00	\$1,067.33		\$800.50	\$0.00	\$800.50	

COMMISSION STATEMENT for JIM CHEN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
06/01/2018		E & O INSURANCE: 1/2 MONTHLY	0.00	0.00		-100.00	0.00	-100.00
06/01/2018		TECH: 1/2 MONTHLY TECH SERVICES	0.00	0.00		-27.50	0.00	-27.50
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-127.50</u>	<u>\$0.00</u>	<u>\$-127.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$800.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-127.50

Check Amount	\$545.50
Year-to-date Earnings	\$2,951.98