



Concorde Investment Services, LLC

03/06/2017
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COMMISSION STATEMENT

February 28, 2017

JASON KEMBLE
4106 E. Doe Creek Drive

Columbia, MO 65202-

BROKER #: K146
BRANCH: JEFFREY KAUFMAN

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------------|------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | |
| 02/10/2017 | DANIELS, CLINTON | AM FUNDS GROWTH FUND OF AMERICA A | 100.00 | 5.00 | 0.00 | 5.00 | 90.0 | 4.50 |
| 02/10/2017 | DANIELS, CLINTON | AM FUNDS SMALLCAP WORLD A | 100.00 | 5.00 | 0.00 | 5.00 | 90.0 | 4.50 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$200.00 | \$10.00 | \$0.00 | \$10.00 | | \$9.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$200.00 | \$10.00 | \$0.00 | \$10.00 | | \$9.00 |

SPLIT TRANSACTIONS

| | | | | | | | | |
|--|-----------------------|-----------------------------------|------------|---------|--------|---------|------|---------|
| <u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u> | | | | | | | | |
| 02/06/2017 | JOHNSON, KASEY | AM FUNDS GROWTH FUND OF AMERICA A | 250.00 | 5.63 | 0.00 | 5.63 | 90.0 | 5.07 |
| 02/06/2017 | PRICE, KATHRYN | AM FUNDS GROWTH FUND OF AMERICA A | 350.00 | 7.88 | 0.00 | 7.88 | 90.0 | 7.09 |
| 02/06/2017 | UNTIL AGE 21, MO/UTMA | AM FUNDS GROWTH FUND OF AMERICA A | 300.00 | 6.75 | 0.00 | 6.75 | 90.0 | 6.08 |
| 02/15/2017 | BROWN, CODY | AM FUNDS GROWTH FUND OF AMERICA A | 100.00 | 2.25 | 0.00 | 2.25 | 90.0 | 2.03 |
| 02/16/2017 | BARTLETT, STEVEN | AM FUNDS GROWTH FUND OF AMERICA A | 41.05 | 0.18 | 0.00 | 0.18 | 90.0 | 0.16 |
| 02/16/2017 | BARTLETT, STEVEN | AM FUNDS GROWTH FUND OF AMERICA A | 24.63 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 02/06/2017 | UNTIL AGE 21, MO/UTMA | AM FUNDS ICA A | 300.00 | 6.75 | 0.00 | 6.75 | 90.0 | 6.08 |
| 02/16/2017 | HAGUE, VICTORIA | AM FUNDS ICA A | 34.06 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 02/16/2017 | HAGUE, VICTORIA | AM FUNDS ICA A | 200.00 | 0.90 | 0.00 | 0.90 | 90.0 | 0.81 |
| 02/16/2017 | HAGUE, VICTORIA | AM FUNDS SMALLCAP WORLD A | 8.51 | 0.04 | 0.00 | 0.04 | 90.0 | 0.04 |
| 02/16/2017 | HAGUE, VICTORIA | AM FUNDS SMALLCAP WORLD A | 50.00 | 0.23 | 0.00 | 0.23 | 90.0 | 0.21 |
| 02/16/2017 | BARTLETT, STEVEN | AM FUNDS SMALLCAP WORLD A | 24.63 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 02/16/2017 | BARTLETT, STEVEN | AM FUNDS SMALLCAP WORLD A | 41.05 | 0.18 | 0.00 | 0.18 | 90.0 | 0.16 |
| * JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL * | | | \$1,723.93 | \$31.16 | \$0.00 | \$31.16 | | \$28.07 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$1,723.93 | \$31.16 | \$0.00 | \$31.16 | | \$28.07 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$9.00 |
| Split Transactions | \$28.07 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-212.19 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$-175.12 |
| Year-to-date Gross | \$5,246.53 |
| Year-to-date Earnings | \$4,721.98 |