



Concorde Investment Services, LLC

09/13/2017  
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# **COMMISSION STATEMENT** **September 15, 2017**

**JACK MICHALETZ**  
150 St. Andrews Ct.  
Ste. 210  
Mankato, MN 56001

**BROKER #: M137**  
**BRANCH: DISCIPLINE ADVISORS,**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
08/25/2017	MURRY, CHARLES	BOURNE SENIORS HOUSING III	50,000.00	3,500.00	0.00	3,500.00	0.0	0.00
08/25/2017	MURRY, CHARLES	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	0.0	0.00
08/25/2017	MURRY, CHARLES	HPI FUND V	100,000.00	6,000.00	0.00	6,000.00	0.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$250,000.00	\$16,500.00	\$0.00	\$16,500.00		\$0.00
<b><u>PRODUCT CATEGORY: OIL &amp; GAS</u></b>								
08/25/2017	MURRY, CHARLES	WAVELAND RESOURCE PARTNERS IV	100,000.00	7,000.00	0.00	7,000.00	0.0	0.00
* OIL & GAS SUBTOTAL *			\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/31/2017	TRUJILLO, TYLER	AM FUNDS CAPITAL INCOME BUILDER A	-4,818.57	22.00	22.00	0.00	0.0	0.00
08/31/2017	TRUJILLO, TYLER	AM FUNDS CAPITAL WORLD GRTH & INC A	-5,384.07	22.00	22.00	0.00	0.0	0.00
08/30/2017	VAN TOL, KATHERINE	AM FUNDS FUNDAMENTAL INVESTORS C	-36,026.61	22.00	22.00	0.00	0.0	0.00
08/31/2017	TRUJILLO, TYLER	AM FUNDS GROWTH FUND OF AMERICA A	-12,045.33	22.00	22.00	0.00	0.0	0.00
09/05/2017	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	12.00	-9.72	0.0	0.00
09/05/2017	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	12.00	-9.73	0.0	0.00
08/24/2017	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	0.0	0.00
08/25/2017	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	0.0	0.00
08/31/2017	LORENTZ, ROBERT	TRANSAMERICA AA GROWTH A	25,000.00	1,187.50	22.00	1,165.50	0.0	0.00
08/21/2017	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	1,500.00	15.00	0.00	15.00	0.0	0.00
08/30/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	45.69	0.46	0.00	0.46	0.0	0.00
08/30/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	45.69	0.46	0.00	0.46	0.0	0.00
08/30/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.00
08/30/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.00
08/30/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	68.40	0.68	0.00	0.68	0.0	0.00
08/30/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	68.40	0.68	0.00	0.68	0.0	0.00
08/30/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	50.25	0.50	0.00	0.50	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/30/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	50.25	0.50	0.00	0.50	0.0	0.00
09/05/2017	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	12.00	-9.00	0.0	0.00
09/05/2017	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.79	0.00	2.79	0.0	0.00
09/05/2017	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	5.74	0.00	5.74	0.0	0.00
09/05/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	0.00	50.91	0.00	50.91	0.0	0.00
09/05/2017	IRELAND, DANIEL	TRANSAMERICA AA GROWTH C	0.00	44.30	0.00	44.30	0.0	0.00
09/05/2017	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	38.01	0.00	38.01	0.0	0.00
09/05/2017	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	38.01	0.00	38.01	0.0	0.00
09/05/2017	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.56	0.00	5.56	0.0	0.00
09/05/2017	FITTERER, PAMELA	TRANSAMERICA AA GROWTH C	0.00	17.06	0.00	17.06	0.0	0.00
09/05/2017	DORN, SANDRA	TRANSAMERICA AA GROWTH C	0.00	5.78	0.00	5.78	0.0	0.00
09/05/2017	FITTERER, EMILY	TRANSAMERICA AA GROWTH C	0.00	1.78	0.00	1.78	0.0	0.00
09/05/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	16.40	0.00	16.40	0.0	0.00
09/05/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	50.06	0.00	50.06	0.0	0.00
09/05/2017	FITTERER, SAMUEL	TRANSAMERICA AA GROWTH C	0.00	1.54	0.00	1.54	0.0	0.00
09/05/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	43.19	0.00	43.19	0.0	0.00
09/05/2017	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	6.91	0.00	6.91	0.0	0.00
09/05/2017	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	7.88	0.00	7.88	0.0	0.00
09/05/2017	MCRAE, HEATHER	TRANSAMERICA AA GROWTH C	0.00	1.72	0.00	1.72	0.0	0.00
09/05/2017	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.62	0.00	2.62	0.0	0.00
09/05/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	0.00	7.55	0.00	7.55	0.0	0.00
09/05/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	17.83	0.00	17.83	0.0	0.00
09/05/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	0.00	17.25	0.00	17.25	0.0	0.00
09/05/2017	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	11.51	0.00	11.51	0.0	0.00
09/05/2017	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.16	0.00	2.16	0.0	0.00
09/05/2017	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.18	0.00	2.18	0.0	0.00
09/05/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.41	0.00	1.41	0.0	0.00
09/05/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.62	0.00	3.62	0.0	0.00
09/05/2017	MICHALETZ, ADISYN	TRANSAMERICA AA GROWTH C	0.00	1.12	0.00	1.12	0.0	0.00
09/05/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.10	0.00	1.10	0.0	0.00
09/05/2017	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.71	0.00	5.71	0.0	0.00
09/05/2017	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	20.91	0.00	20.91	0.0	0.00
09/05/2017	ROY TOD, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	9.46	0.00	9.46	0.0	0.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/05/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	4.94	0.00	4.94	0.0	0.00
09/05/2017	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	3.83	0.00	3.83	0.0	0.00
09/05/2017	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	8.02	0.00	8.02	0.0	0.00
09/05/2017	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	1.17	0.00	1.17	0.0	0.00
09/05/2017	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.42	0.00	8.42	0.0	0.00
09/05/2017	SIEBERG, KYLE	TRANSAMERICA AA GROWTH C	0.00	2.64	0.00	2.64	0.0	0.00
09/05/2017	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	13.18	0.00	13.18	0.0	0.00
09/05/2017	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	15.36	0.00	15.36	0.0	0.00
09/05/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	0.00	0.52	0.00	0.52	0.0	0.00
09/05/2017	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.73	0.00	4.73	0.0	0.00
09/05/2017	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.85	0.00	1.85	0.0	0.00
09/05/2017	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.78	0.00	2.78	0.0	0.00
09/05/2017	BOESCH, SKYE	TRANSAMERICA AA GROWTH C	0.00	1.78	0.00	1.78	0.0	0.00
09/05/2017	FLYGARE, MATTHEW	TRANSAMERICA AA GROWTH C	0.00	3.02	0.00	3.02	0.0	0.00
09/05/2017	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.93	0.00	0.93	0.0	0.00
09/05/2017	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.30	0.00	4.30	0.0	0.00
08/30/2017	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	-7,000.00	22.00	22.00	0.00	0.0	0.00
09/05/2017	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	0.00	6.60	0.00	6.60	0.0	0.00
09/05/2017	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.31	0.00	3.31	0.0	0.00
09/05/2017	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	5.09	0.00	5.09	0.0	0.00
09/05/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	45.16	0.00	45.16	0.0	0.00
09/05/2017	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	0.00	3.74	0.00	3.74	0.0	0.00
09/05/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	6.66	0.00	6.66	0.0	0.00
09/05/2017	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.30	0.00	1.30	0.0	0.00
09/05/2017	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.36	0.00	2.36	0.0	0.00
09/05/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	9.85	0.00	9.85	0.0	0.00
09/05/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	0.00	15.28	0.00	15.28	0.0	0.00
09/05/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	6.47	0.00	6.47	0.0	0.00
09/05/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	2.40	0.00	2.40	0.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$-37,409.64</b>	<b>\$1,961.41</b>	<b>\$168.00</b>	<b>\$1,793.41</b>		<b>\$0.00</b>

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/31/2017	FIRST CONGREGATIONAL	JNL PERSPECTIVE II 04/14	169,917.11	35.40	0.00	35.40	0.0	0.00
08/31/2017	ZOARS UNITED CHURCH OF CH	JNL PERSPECTIVE II 04/14	33,721.38	7.03	0.00	7.03	0.0	0.00
08/31/2017	OTTO, CURTIS	JNL PERSPECTIVE II 05/05	8,118.23	6.77	0.00	6.77	0.0	0.00
08/31/2017	PETERSON, IONE	JNL PERSPECTIVE L	126,127.51	136.64	0.00	136.64	0.0	0.00
08/31/2017	PANKRATZ, SUSAN	JNL PERSPECTIVE L	114,446.83	95.37	0.00	95.37	0.0	0.00
08/31/2017	PANKRATZ, EDWARD	JNL PERSPECTIVE L	205,868.19	171.56	0.00	171.56	0.0	0.00
08/31/2017	DAHLKE, RONALD	JNL PERSPECTIVE L	8,975.22	7.48	0.00	7.48	0.0	0.00
08/31/2017	HOL, PATRICIA	JNL PERSPECTIVE L	124,557.99	103.80	0.00	103.80	0.0	0.00
08/31/2017	HAGER, REBECCA	JNL PERSPECTIVE L	62,256.33	51.88	0.00	51.88	0.0	0.00
08/31/2017	MARSH, JAMES	JNL PERSPECTIVE L	38,021.60	31.68	0.00	31.68	0.0	0.00
08/31/2017	FEAR, WILLIAM	JNL PERSPECTIVE L	135,010.32	112.51	0.00	112.51	0.0	0.00
08/31/2017	PEMBLE, MICHELLE	JNL PERSPECTIVE L	294,042.90	245.04	0.00	245.04	0.0	0.00
08/31/2017	HART, JILLANE	JNL PERSPECTIVE L	94,893.10	79.08	0.00	79.08	0.0	0.00
08/31/2017	HART, KEVIN	JNL PERSPECTIVE L	280,523.46	233.76	0.00	233.76	0.0	0.00
08/31/2017	STEFFL, CHRISTINE	JNL PERSPECTIVE L	24,085.45	20.07	0.00	20.07	0.0	0.00
08/31/2017	BEVAN, HELEN	JNL PERSPECTIVE L	38,269.26	31.90	0.00	31.90	0.0	0.00
08/16/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	17,178.27	14.32	0.00	14.32	0.0	0.00
08/16/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	17,659.97	14.72	0.00	14.72	0.0	0.00
08/08/2017	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	84,094.08	70.08	0.00	70.08	0.0	0.00
08/13/2017	BECKWITH, ALTON	TRANSAMERICA LIBERTY	87,680.85	73.07	0.00	73.07	0.0	0.00
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$1,965,448.05</b>	<b>\$1,542.16</b>	<b>\$0.00</b>	<b>\$1,542.16</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
09/02/2017	LORENTZ, ANDREW	METLIFE LIFE INS	0.00	43.28	0.00	43.28	0.0	0.00
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$43.28</b>	<b>\$0.00</b>	<b>\$43.28</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/15/2017	PEMBLE, JOCELYN	AM FUNDS AMCAP 529A	0.00	0.34	0.00	0.34	0.0	0.00
08/15/2017	VAN TOL, KATHERINE	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	30.49	0.00	30.49	0.0	0.00
08/15/2017	PEMBLE, JOCELYN	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.25	0.00	0.25	0.0	0.00
08/09/2017	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	14.98	0.00	14.98	0.0	0.00
08/09/2017	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	44.93	0.00	44.93	0.0	0.00
08/17/2017	ATKINSON, VONDA	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	4.79	0.00	4.79	0.0	0.00
08/18/2017	GROMMERSCH, STACI	JH CLASSIC VALUE A	0.00	0.05	0.00	0.05	0.0	0.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/18/2017	GROMMERSCH, STACI	JH LARGE CAP EQUITY A	0.00	0.06	0.00	0.06	0.0	0.00
08/18/2017	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.04	0.00	0.04	0.0	0.00
08/18/2017	STEFFL, CHRISTINE	JH STRATEGIC GROWTH A	0.00	0.27	0.00	0.27	0.0	0.00
08/18/2017	STEFFL, JOSEPH	JH STRATEGIC GROWTH A	0.00	0.39	0.00	0.39	0.0	0.00
08/18/2017	ATKINSON, VONDA	JH STRATEGIC GROWTH A	0.00	2.61	0.00	2.61	0.0	0.00
08/18/2017	GROMMERSCH, STACI	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	0.04	0.00	0.04	0.0	0.00
08/17/2017	PAHL, ADAM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	11.64	0.00	11.64	0.0	0.00
08/17/2017	SWARD, KIM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	36.60	0.00	36.60	0.0	0.00
08/17/2017	SORUM, JEFFREY	MFS GROWTH ALLOCATION C	0.00	4.36	0.00	4.36	0.0	0.00
08/17/2017	WHITE, STACEY	MFS GROWTH ALLOCATION C	0.00	11.67	0.00	11.67	0.0	0.00
08/21/2017	SORENSEN, JOSHUA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.38	0.00	0.38	0.0	0.00
08/16/2017	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	0.00	2.51	0.00	2.51	0.0	0.00
08/16/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	0.00	2.47	0.00	2.47	0.0	0.00
08/16/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	0.00	4.64	0.00	4.64	0.0	0.00
08/16/2017	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	0.00	3.47	0.00	3.47	0.0	0.00
08/16/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	0.00	2.41	0.00	2.41	0.0	0.00
08/16/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	0.00	4.58	0.00	4.58	0.0	0.00
08/16/2017	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	0.00	3.45	0.00	3.45	0.0	0.00
08/16/2017	ATKINSON, VONDA	PUTNAM INTERNATIONAL GROWTH-A	0.00	9.48	0.00	9.48	0.0	0.00
08/16/2017	SCHULLER, ALEC	PUTNAM MULTI CAP GROWTH A	0.00	0.84	0.00	0.84	0.0	0.00
08/15/2017	ATKINSON, VONDA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	22.34	0.00	22.34	0.0	0.00
08/17/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	0.00	2.80	0.00	2.80	0.0	0.00
08/17/2017	GILBERTO, SARAH	TRANSAMERICA AA GROWTH A	0.00	3.80	0.00	3.80	0.0	0.00
08/17/2017	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH A	0.00	2.34	0.00	2.34	0.0	0.00
08/17/2017	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	0.00	3.32	0.00	3.32	0.0	0.00
08/17/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	18.28	0.00	18.28	0.0	0.00
08/17/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	15.18	0.00	15.18	0.0	0.00
08/17/2017	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	0.00	37.27	0.00	37.27	0.0	0.00
08/17/2017	STEFFL, CHRISTINE	TRANSAMERICA CAPITAL GROWTH A	0.00	0.63	0.00	0.63	0.0	0.00
08/17/2017	STEFFL, JOSEPH	TRANSAMERICA CAPITAL GROWTH A	0.00	0.60	0.00	0.60	0.0	0.00
08/17/2017	STEFFL, CHRISTINE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.42	0.00	0.42	0.0	0.00
08/17/2017	STEFFL, JOSEPH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.37	0.00	0.37	0.0	0.00
08/17/2017	SCHULLER, CORY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.41	0.00	1.41	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: PRIVATE PLACEMENT

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$306.50	\$0.00	\$306.50	\$0.00
*** BROKER TRANSACTIONS TOTAL ***	\$2,278,038.41	\$27,353.35	\$168.00	\$27,185.35	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$162,107.88
Year-to-date Earnings	\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed