



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**January 17, 2017**

01/13/2017  
Page No. 1

DAMIAN DERING  
165 Culver Blvd  
Suite A  
Playa Del Rey, CA 90293

BROKER #: D127  
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: OIL &amp; GAS</u></b>								
12/22/2016	AMIN FOUNDATION	US ENERGY 2016 DRILLING FUND	15,000.00	1,050.00	0.00	1,050.00	92.0	966.00
		* OIL & GAS SUBTOTAL *	\$15,000.00	\$1,050.00	\$0.00	\$1,050.00		\$966.00
<b><u>PRODUCT CATEGORY: REIT</u></b>								
12/23/2016	SECRIST HOLDINGS LLC	COTTONWOOD MULTIFAMILY REIT I-REG A	100,000.00	6,000.00	0.00	6,000.00	92.0	5,520.00
		* REIT SUBTOTAL *	\$100,000.00	\$6,000.00	\$0.00	\$6,000.00		\$5,520.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/05/2017	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	500.00	18.75	0.00	18.75	92.0	17.25
/ /	MARTINEZ, ELSA	ASCENSUS NEA AGE-BASED GROWTH 15-18	0.00	30.87	0.00	30.87	92.0	28.40
/ /	MARTINEZ, ELSA	ASCENSUS NEA AGE-BASED GROWTH 6-10	0.00	9.69	0.00	9.69	92.0	8.91
/ /	MARTINEZ, ELSA	ASCENSUS NEA AGE-BASED GROWTH 6-10	0.00	30.98	0.00	30.98	92.0	28.50
/ /	ANDREWS, LISA	OPPENHEIMER GLOBAL A	0.00	7.28	0.00	7.28	92.0	6.70
/ /	MCDERMOTT, MICHAEL	OPPENHEIMER GLOBAL A	0.00	14.24	0.00	14.24	92.0	13.10
/ /	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	160.17	0.00	160.17	92.0	147.36
12/19/2016	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	500.00	5.00	0.00	5.00	92.0	4.60
		* MUTUAL FUNDS SUBTOTAL *	\$1,000.00	\$276.98	\$0.00	\$276.98		\$254.82
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/31/2016	LAXER, JACK	JNL PERSPECTIVE L	51,451.34	42.88	0.00	42.88	92.0	39.45
12/31/2016	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	57,401.11	47.83	0.00	47.83	92.0	44.00
12/31/2016	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	72,589.07	60.49	0.00	60.49	92.0	55.65
12/31/2016	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	72,542.49	60.45	0.00	60.45	92.0	55.61
12/11/2016	BAUTISTA, MARYANN	TRANSAMERICA LIBERTY	183,720.32	153.10	0.00	153.10	92.0	140.85
		* VARIABLE ANNUITIES SUBTOTAL *	\$437,704.33	\$364.75	\$0.00	\$364.75		\$335.56

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/31/2016	PUGH, VERA	LFG TRAILS	0.00	299.04	0.00	299.04	92.0	275.12
12/31/2016	LAXER, JACK	LFG TRAILS	0.00	152.11	0.00	152.11	92.0	139.94
12/31/2016	ENCINA, OPHELIA	LFG TRAILS	0.00	401.15	0.00	401.15	92.0	369.06
12/31/2016	BAUTISTA, FEDERICO	LFG TRAILS	0.00	471.78	0.00	471.78	92.0	434.04
12/31/2016	SUTZ, JUNE	LFG TRAILS	0.00	337.84	0.00	337.84	92.0	310.81
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,661.92</b>	<b>\$0.00</b>	<b>\$1,661.92</b>		<b>\$1,528.97</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$553,704.33</b>	<b>\$9,353.65</b>	<b>\$0.00</b>	<b>\$9,353.65</b>		<b>\$8,605.35</b>

**ADJUSTMENTS**

01/17/2017	*CREDIT CARD PYT: CREDIT CARD PYT	0.00						675.00
01/17/2017	*EO INSURANCE: CURRENT MONTH INS	0.00						-300.00
01/17/2017	*TECHNOLOGY: ADMIN - CHRYSANTHA COS	0.00						-100.00
01/17/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$8,605.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,605.35
Year-to-date Gross	\$9,353.65
Year-to-date Earnings	\$8,605.35