

COMMISSION STATEMENT

July 31, 2018

 DALE HESTER-D346
 951 West Dallas Street

 BROKER #: D346
 BRANCH: DMN

Canton, TX 75103

COMMISSION STATEMENT for DALE HESTER-D346

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/23/2018 mfc303097	HESTER DALE,	MFT BOND-DEBENTURE FUND CLASS A	B	0.00	10.36	0.00	10.36	90.0	9.32
07/23/2018 mfc303097	HESTER DALE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.68	0.00	1.68	90.0	1.51
07/23/2018 mfc303097	HESTER DALE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.17	0.00	2.17	90.0	1.95
07/23/2018 mfc303097	HESTER DALE,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.94	0.00	1.94	90.0	1.75
07/23/2018 mfc303097	HESTER DALE,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.51	0.00	2.51	90.0	2.26
07/23/2018 mfc303097	HESTER DALE,	MFT INTREPID ENDURANCE FD INV	B	0.00	1.32	0.00	1.32	90.0	1.19
07/23/2018 mfc303097	HESTER DALE,	MFT LORD ABBETT MULTI-ASSET BAL	B	0.00	1.54	0.00	1.54	90.0	1.39
07/23/2018 mfc303097	HESTER DALE,	MFT LORD ABBETT AFFILIATED FUND	B	0.00	1.65	0.00	1.65	90.0	1.49
07/23/2018 mfc303097	HESTER DALE,	MFT LORD ABBETT AFFILIATED FUND	B	0.00	2.12	0.00	2.12	90.0	1.91
07/23/2018 mfc303097	HESTER DALE,	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.75	0.00	1.75	90.0	1.58
07/23/2018 mfc303097	HESTER DALE,	MFT NEW WORLD FUND-A	B	0.00	1.58	0.00	1.58	90.0	1.42
07/23/2018 mfc303097	HESTER DALE,	MFT PIMCO INVT GRD CORP BD FD CL A	B	0.00	2.29	0.00	2.29	90.0	2.06
07/23/2018 mfc303097	HESTER DALE,	MFT PUTNAM ASSET ALLOCATION	B	0.00	10.73	0.00	10.73	90.0	9.66
07/23/2018 mfc303097	HESTER DALE,	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	2.08	0.00	2.08	90.0	1.87
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$43.72	\$0.00	\$43.72		\$39.36
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$43.72	\$0.00	\$43.72		\$39.36

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/16/2018 (None) ARMSTRONG	MF	HARTFORD SMART	B	500.00	12.50	0.00	12.50	90.0	11.25
07/16/2018 (None) ARMSTRONG	MF	HARTFORD SMART	B	500.00	12.50	0.00	12.50	90.0	11.25
07/16/2018 (None) HAILEY	MF	HARTFORD SMART	B	50.00	1.25	0.00	1.25	90.0	1.13
07/09/2018 00777116731 HILLIARD, KEITH	MF	INVESCO MODERATE ALLOCATION FD	B	500.00	5.00	0.00	5.00	90.0	4.50
07/09/2018 00777116731 HILLIARD, KEITH	MF	INVESCO MODERATE ALLOCATION FD	B	120.00	1.20	0.00	1.20	90.0	1.08
07/09/2018 00777116733 HILLIARD, SHARON	MF	INVESCO MODERATE ALLOCATION FD	B	500.00	5.00	0.00	5.00	90.0	4.50
07/09/2018 00777116733 HILLIARD, SHARON	MF	INVESCO MODERATE ALLOCATION FD	B	62.40	0.62	0.00	0.62	90.0	0.56
07/09/2018 00777116734 RUST, MELISSA	MF	INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00	90.0	0.90
07/09/2018 00777116734 RUST, MELISSA	MF	INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00	90.0	0.90
07/09/2018 00777116736 HILLIARD, KEITH	MF	INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00	90.0	0.90

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				INVESTMENT	GROSS	CLEARING	NET	BROKER			
TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION	
07/09/2018	00777116736	HILLIARD, KEITH	MF	INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00	90.0	0.90
07/09/2018	00777153252	TERRY, SHANE	MF	INVESCO BALANCED-RISK	B	400.00	4.00	0.00	4.00	90.0	3.60
07/09/2018	00777153252	TERRY, SHANE	MF	INVESCO BALANCED-RISK	B	240.00	2.40	0.00	2.40	90.0	2.16
07/09/2018	00777153254	FISHER, JENNIFER	MF	INVESCO BALANCED-RISK	B	90.05	0.90	0.00	0.90	90.0	0.81
07/09/2018	00777153254	FISHER, JENNIFER	MF	INVESCO BALANCED-RISK	B	90.05	0.90	0.00	0.90	90.0	0.81
* MUTUAL FUNDS SUBTOTAL *						\$3,452.50	\$50.27	\$0.00	\$50.27	\$45.25	

PRODUCT CATEGORY: VARIABLE ANNUITIES

07/15/2018	(None)	CLEMENTS, KEITH	VA	AMERICAN GENERAL	B	150.00	9.00	0.00	9.00	90.0	8.10
07/17/2018	(None)	CLEMENTS, JUDY	VA	AMERICAN GENERAL	B	150.00	9.00	0.00	9.00	90.0	8.10
				* VARIABLE ANNUITIES SUBTOTAL *		\$300.00	\$18.00	\$0.00	\$18.00		\$16.20

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018	(None)	VARIOUS, HESTER DALE	MFT	AIG	B	0.00	105.97	0.00	105.97	90.0	95.37
06/30/2018	(None)	GORDON-C-&	MFT	EATON VANCE	B	0.00	9.83	0.00	9.83	90.0	8.85
06/30/2018	(None)	VARIOUS, HESTER DALE	MFT	HARTFORD MUTUAL FUIND	B	0.00	63.05	0.00	63.05	90.0	56.75
06/30/2018	(None)	ROSSON	MFT	HARTFORD SMART	B	0.00	2.20	0.00	2.20	90.0	1.98
06/30/2018	(None)	BRATCHER, BEN	MFT	HARTFORD SMART	B	0.00	39.06	0.00	39.06	90.0	35.15
06/30/2018	(None)	ARMSTRONG	MFT	HARTFORD SMART	B	0.00	9.09	0.00	9.09	90.0	8.18
06/30/2018	(None)	HAILEY	MFT	HARTFORD SMART	B	0.00	1.78	0.00	1.78	90.0	1.60
06/30/2018	(None)	HARRECONNM	MFT	JOHN HANCOCK MUTUAL FUND	B	0.00	10.84	0.00	10.84	90.0	9.76
07/09/2018	04718378555	OXFORD, KELLY	MFT	ALLIANCE BERNSTEIN SMALL/MID	B	0.00	4.13	0.00	4.13	90.0	3.72
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$245.95	\$0.00	\$245.95		\$221.36

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

07/08/2018	(None)	BARTON, FREDDIE	VAT	AMERICAN GENERAL	B	0.00	77.21	0.00	77.21	90.0	69.49
07/08/2018	(None)	REID, STEVEN	VAT	AMERICAN GENERAL	B	0.00	34.89	0.00	34.89	90.0	31.40
07/12/2018	(None)	HILLIARD, SHARO	VAT	AMERICAN GENERAL	B	0.00	80.87	0.00	80.87	90.0	72.78
07/12/2018	(None)	HILLIARD, KEITH	VAT	AMERICAN GENERAL	B	0.00	182.95	0.00	182.95	90.0	164.66
07/15/2018	(None)	CLEMENTS, JUDY	VAT	AMERICAN GENERAL	B	0.00	8.12	0.00	8.12	90.0	7.31
07/16/2018	(None)	BUCHANAN, NANCY	VAT	AMERICAN GENERAL	B	0.00	1,095.22	0.00	1,095.22	90.0	985.70
07/16/2018	(None)	MITCHELL, CAROL	VAT	AMERICAN GENERAL	B	0.00	121.95	0.00	121.95	90.0	109.76
07/16/2018	(None)	WADE, MELVIN	VAT	AMERICAN GENERAL	B	0.00	169.73	0.00	169.73	90.0	152.76
07/17/2018	(None)	ROBERSON, MART	VAT	AMERICAN GENERAL	B	0.00	293.43	0.00	293.43	90.0	264.09
07/17/2018	(None)	CLEMENTS, KEITH	VAT	AMERICAN GENERAL	B	0.00	12.66	0.00	12.66	90.0	11.39
07/18/2018	(None)	NICKLAS, EDWAR	VAT	AMERICAN GENERAL	B	0.00	2.45	0.00	2.45	90.0	2.21
07/18/2018	(None)	NICKLAS, SHEILA	VAT	AMERICAN GENERAL	B	0.00	2.45	0.00	2.45	90.0	2.21
07/18/2018	(None)	JOHNSON, PAULA	VAT	AMERICAN GENERAL	B	0.00	2.58	0.00	2.58	90.0	2.32
07/20/2018	(None)	PRATUMRAT, PAIROJ	VAT	AMERICAN GENERAL	B	0.00	77.66	0.00	77.66	90.0	69.89
07/20/2018	(None)	BAKER, STEPHEN	VAT	AMERICAN GENERAL	B	0.00	789.35	0.00	789.35	90.0	710.42
07/21/2018	(None)	MANGUM, DARLENE	VAT	AMERICAN GENERAL	B	0.00	575.53	0.00	575.53	90.0	517.98
07/21/2018	(None)	MITCHELL, LARRY	VAT	AMERICAN GENERAL	B	0.00	453.53	0.00	453.53	90.0	408.18
07/21/2018	(None)	MANGUM, DARLENE	VAT	AMERICAN GENERAL	B	0.00	85.25	0.00	85.25	90.0	76.73
07/21/2018	(None)	YOUNG, DAVID	VAT	AMERICAN GENERAL	B	0.00	201.12	0.00	201.12	90.0	181.01
07/21/2018	(None)	YOUNG, DAVID	VAT	AMERICAN GENERAL	B	0.00	317.54	0.00	317.54	90.0	285.79

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07/22/2018 (None)	WOODRUM, JIM	VAT AMERICAN GENERAL	B	0.00	818.56	0.00	818.56	90.0	736.70
07/22/2018 (None)	KNIGHT, SCOTT	VAT AMERICAN GENERAL	B	0.00	2.13	0.00	2.13	90.0	1.92
07/23/2018 (None)	PRATUMRAT, MATANATIP	VAT AMERICAN GENERAL	B	0.00	7.62	0.00	7.62	90.0	6.86
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$5,412.80	\$0.00	\$5,412.80		\$4,871.56
*** DIRECT TRANSACTIONS TOTAL ***				\$3,752.50	\$5,727.02	\$0.00	\$5,727.02		\$5,154.37

ADJUSTMENTS TRANSACTIONS

BROKER: #0

07/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
07/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
07/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
07/31/2018	: E-MAIL ACCOUNT-DONNA HESTER	0.00	0.00	0.00	0.00	-25.00
07/31/2018	: E-MAIL ACCOUNT-LAUREN JASON	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-235.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-235.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,193.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-235.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$4,958.73
Year-to-date Commissions	\$118,593.91