

COMMISSION STATEMENT
July 06, 2018

MARLI SMYTHE
3200 N. CENTRAL AVE STE 200
PHOENIX, AZ 85012

BROKER #: 73690
BRANCH: 04-#70A11 HOME OFFIC

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: CUE Financial Group, Inc

ADJUSTMENTS

| | | | | | | | |
|---------------------------------|--|--|--------|--------|--------|--------|--------|
| PRIOR PERIOD BALANCE(SEE BELOW) | | | | | | | 0.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Prior Period Balance | \$-5.00 |
| NASD Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|---------|
| Balance Forward | \$-5.00 |
| Year-to-date Earnings | \$0.00 |

COMMISSION STATEMENT for MARLI SMYTHE

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: Securities America

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | |
|-----------------------------------|-----------------------|----------------------|--------|---------|--------|---------|--------|
| 07/03/2018 | SMYTHE (73690), MARLI | SAI SECURITES INCOME | 0.00 | 13.52 | 0.00 | 13.52 | 4.06 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$0.00 | \$13.52 | \$0.00 | \$13.52 | \$4.06 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$0.00 | \$13.52 | \$0.00 | \$13.52 | \$4.06 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$4.06 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Prior Period Balance | \$0.87 |
| NASD Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|------------|
| Check Amount | \$4.93 |
| Year-to-date Earnings | \$1,881.29 |