



# COMMISSION STATEMENT

June 04, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/30/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	AIM UTILITIES FUND - CLASS C SELL 22 Units @23.2800	512.81	0.00	0.00	0.00	85.0	0.00
05/25/2018	***-**-5144 - WINTER, LAURA ACCOUNT #: 00086895596	AMERICAN BALANCED FUND-A BUY 2 Units @28.8000	50.00	2.50	0.00	2.50	85.0	2.13
05/21/2018	***-**-153J - SHOCKEY, JEFFREY ACCOUNT #: 00075824133	AMERICAN FUNDS 2025 TARGET DATE-A BUY 121 Units @14.0900	1,700.00	46.75	0.00	46.75	85.0	39.74
05/21/2018	***-**-54IN - SCHMIDT, JENNY ACCOUNT #: 00082865142	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @52.1600	150.00	1.50	0.00	1.50	85.0	1.28
05/21/2018	***-**-18IN - KUNKEL, RYAN ACCOUNT #: 00087401941	GLOBAL GROWTH PORTFOLIO-A BUY 301 Units @18.2700	5,500.00	206.25	0.00	206.25	85.0	175.31
05/30/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	HARTFORD CORE EQUITY CLASS C SELL 19 Units @27.4800	512.83	0.00	0.00	0.00	85.0	0.00
05/21/2018	***-**-54IN - SCHMIDT, JENNY ACCOUNT #: 00082865142	INCOME FUND OF AMERICA CLASS C BUY 4 Units @22.7600	100.00	1.00	0.00	1.00	85.0	0.85
05/30/2018	***-**-036I - CROWL, LINDA ACCOUNT #: LSR010466	INVESCO MULTI ASSET INCOME FD CL C SELL 166 Units @10.5400	1,750.00	0.00	0.00	0.00	85.0	0.00
05/30/2018	***-**-036I - CROWL, LINDA ACCOUNT #: LSR010466	PIMCO INCOME FUND CL C SELL 145 Units @12.0600	1,750.00	0.00	0.00	0.00	85.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$12,025.64</b>	<b>\$258.00</b>	<b>\$0.00</b>	<b>\$258.00</b>		<b>\$219.31</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

05/31/2018	***-**-228I - BUCHANAN, ACCOUNT #: LSR010538	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	6.26	0.00	6.26	85.0	5.32
05/31/2018	***-**-4000 - ROMIG, MARK ACCOUNT #: LSR011567	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	9.04	0.00	9.04	85.0	7.68
05/31/2018	***-**-228I - BUCHANAN, ACCOUNT #: LSR010538	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	2.09	0.00	2.09	85.0	1.78
05/31/2018	***-**-4000 - ROMIG, MARK ACCOUNT #: LSR011567	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	3.01	0.00	3.01	85.0	2.56
05/31/2018	***-**-635I - TOBIN, MAUREEN ACCOUNT #: LSR011912	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	85.0	0.00
05/31/2018	***-**-830J - STRAUB, JANE ACCOUNT #: LSR011950	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	85.0	0.00



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/31/2018	***-**-881I - HOUSER, LORI	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
05/31/2018	***-**-65IR - NORNHOLD,	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR012005	BUY 1 Units @0.0000						
05/31/2018	***-**-13IR - LOYCH, ALICE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR012051	BUY 1 Units @0.0000						
05/31/2018	***-**-376X - WAGNER, LINDA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.10	0.00	0.10	85.0	0.09
	ACCOUNT #: LSR010451	BUY 1 Units @0.0000						
05/31/2018	***-**-036I - CROWL, LINDA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.54	0.00	1.54	85.0	1.31
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
05/31/2018	***-**-184I - BEAVERSON, ROYCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	85.0	0.02
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
05/31/2018	***-**-228I - BUCHANAN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	85.0	0.02
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
05/31/2018	***-**-949I - LICHT, FBO MS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.27	0.00	0.27	85.0	0.23
	ACCOUNT #: LSR010542	BUY 1 Units @0.0000						
05/31/2018	***-**-992I - SMITH, FBO MR	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.18	0.00	0.18	85.0	0.15
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
05/31/2018	***-**-276I - GASSWINT, SUSAN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	85.0	0.01
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
05/31/2018	***-**-276I - GASSWINT, SUSAN	FRANKLIN INCOME FUND CLASS C	0.00	29.33	0.00	29.33	85.0	24.93
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
05/31/2018	***-**-0550 - ILGENFRITZ, TIM	NUVEEN REAL ASSET INCOME FUND C SHR	0.00	19.21	0.00	19.21	85.0	16.33
	ACCOUNT #: LSR011557	BUY 1 Units @0.0000						
05/31/2018	***-**-162I - ANDERSON, THOMAS	PIMCO DIVERSIFIED INCOME FND CL A-N	0.00	6.47	0.00	6.47	85.0	5.50
	ACCOUNT #: LSR012358	BUY 1 Units @0.0000						
05/31/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	0.00	39.08	0.00	39.08	85.0	33.22
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
05/31/2018	***-**-831I - REED, JACK	PIMCO INCOME FUND CL C	0.00	9.05	0.00	9.05	85.0	7.69
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
05/31/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	0.00	15.03	0.00	15.03	85.0	12.78
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/31/2018	***-**-831I - REED, JACK	PIMCO INCOME FUND CL C	0.00	3.48	0.00	3.48	85.0	2.96
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
06/04/2018	***-**-30IN - STRAUB, JANE	SAMMONS MF TRAILS	0.00	13.40	0.00	13.40	85.0	11.39
	ACCOUNT #: 7200028004	BUY 0 Units @0.0000						
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$157.59</b>	<b>\$0.00</b>	<b>\$157.59</b>		<b>\$133.97</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
05/27/2018	***-**-99IR - VITALE, DAMIAN	ING ADVANTAGE SELECT	0.00	5.08	0.00	5.08	85.0	4.32
	ACCOUNT #: R073271-ZS	BUY 0 Units @0.0000						
05/27/2018	***-**-94IR - VITALE, LAURIE	ING ADVANTAGE SELECT	0.00	5.08	0.00	5.08	85.0	4.32
	ACCOUNT #: R073283-ZS	BUY 0 Units @0.0000						
		<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$10.16</b>	<b>\$0.00</b>	<b>\$10.16</b>		<b>\$8.64</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$12,025.64</b>	<b>\$425.75</b>	<b>\$0.00</b>	<b>\$425.75</b>		<b>\$361.92</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$361.92
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$11.70
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$373.62</b>
<b>Year-to-date Earnings</b>	<b>\$49,718.07</b>