



Concorde Investment Services, LLC

06/13/2017

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COMMISSION STATEMENT

June 15, 2017

MARK-S141 REG SMITH

BROKER #: S141

BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	BOWLAND, BOBBY	AM FUNDS AMERICAN BALANCED A	0.00	4.47	0.00	4.47	48.0	2.15
06/01/2017	BOWLAND, TERRI	AM FUNDS AMERICAN BALANCED A	0.00	6.88	0.00	6.88	48.0	3.30
06/01/2017	SCHONFELD, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	4.34	0.00	4.34	48.0	2.08
05/09/2017	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	3.73	0.00	3.73	48.0	1.79
05/09/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
05/09/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
06/01/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	1.20	0.00	1.20	48.0	0.58
06/01/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	3.89	0.00	3.89	48.0	1.87
05/09/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.97	0.00	5.97	48.0	2.87
06/01/2017	SCHONFELD, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.56	0.00	2.56	48.0	1.23
05/09/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.23	0.00	3.23	48.0	1.55
06/01/2017	SCHONFELD, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.96	0.00	2.96	48.0	1.42
05/09/2017	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.42	0.00	1.42	48.0	0.68
06/01/2017	BOWLAND, BOBBY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.40	0.00	3.40	48.0	1.63
06/01/2017	BOWLAND, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.22	0.00	5.22	48.0	2.51
06/01/2017	STEPHENS, BRAZOS	AM FUNDS GROWTH PORT 529A	0.00	7.83	0.00	7.83	48.0	3.76
05/09/2017	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	3.61	0.00	3.61	48.0	1.73
05/09/2017	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.14	0.00	0.14	48.0	0.07
05/09/2017	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.14	0.00	0.14	48.0	0.07
05/09/2017	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.38	0.00	2.38	48.0	1.14
05/09/2017	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.16	0.00	3.16	48.0	1.52
05/09/2017	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
05/09/2017	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
05/09/2017	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	48.0	0.09
05/09/2017	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	48.0	0.09
06/01/2017	BOWLAND, BOBBY	AM FUNDS NEW PERSPECTIVE A	0.00	3.23	0.00	3.23	48.0	1.55

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	BOWLAND, TERRI	AM FUNDS NEW PERSPECTIVE A	0.00	4.66	0.00	4.66	48.0	2.24
06/01/2017	WILLIAMS, JUANA	AMERICAN FUNDS US GOV'T SECURITIES	0.00	4.24	0.00	4.24	48.0	2.04
05/09/2017	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.93	0.00	0.93	48.0	0.45
05/09/2017	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.79	0.00	0.79	48.0	0.38
/ /	BONGIORNO, JANET	RYDEX BIOTECHNOLOGY A	0.00	0.04	0.00	0.04	48.0	0.02
/ /	BONGIORNO, JANET	RYDEX GUGG REAL ESTATE A	0.00	0.09	0.00	0.09	48.0	0.04
/ /	BONGIORNO, JANET	RYDEX GUGGENHEIM UTILITIES A	0.00	0.07	0.00	0.07	48.0	0.03
/ /	BONGIORNO, JANET	RYDEX HEALTH CARE-A	0.00	0.04	0.00	0.04	48.0	0.02
06/02/2017	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	11.04	0.00	11.04	48.0	5.30
06/02/2017	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.32	0.00	4.32	48.0	2.07
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$96.64	\$0.00	\$96.64		\$46.41
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2017	TO DST TOD RULES, SUBJECT	JNL ELITE ACCESS	73,303.05	15.27	0.00	15.27	48.0	7.33
05/31/2017	NOBLES, VERLIE	JNL PERSPECTIVE L	30,241.27	32.76	0.00	32.76	48.0	15.72
05/31/2017	GODWIN, SANDRA	JNL PERSPECTIVE L	145,437.88	157.56	0.00	157.56	48.0	75.63
05/31/2017	NOBLES, VERLIE	JOHN HANCOCK VENTURE III	28,496.87	23.75	0.00	23.75	48.0	11.40
* VARIABLE ANNUITIES SUBTOTAL *			\$277,479.07	\$229.34	\$0.00	\$229.34		\$110.08
*** BROKER TRANSACTIONS TOTAL ***			\$277,479.07	\$325.98	\$0.00	\$325.98		\$156.49
<u>ADJUSTMENTS</u>								
06/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*FINRA: MA IND REG FEE	0.00					-75.00
06/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-650.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$156.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-650.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-493.51
Year-to-date Gross	\$2,287.28
Year-to-date Earnings	\$1,097.86