



All Companies

06/28/2018

Page No. 1

COMMISSION STATEMENT

July 02, 2018

RAYMOND C. ASHLEY
4911 Fall Creek Hwy

BROKER #: 8975
BRANCH: HOME OFFICE

Granbury, TX 76049

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/18/2018	00088819064 BARNARD, NONA L.	AMERICAN FUNDS WA MUTUAL	INVES B	250.00	9.38	0.00	9.38	90.0	8.44
06/11/2018	00207272799 ASHLEY/BRUMWELL, SUSAN/SAVANNAH	T ROWE PRICE NEW HORIZONS 529 C2	B	125.00	1.25	0.00	1.25	90.0	1.13
06/11/2018	00207272803 ASHLEY/BRUMWELL, SUSAN/SAVANNAH	EQUITY PORTFOLIO C2	B	125.00	1.25	0.00	1.25	90.0	1.13
06/11/2018	04000907578 MCKIE, TAMMY/TANNER	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
06/01/2018	07150213641 BLAKE/MANSFIELD, FRANCES/MORGAN	FIXED INCOME PORTFOLIO C2	B	200.00	2.00	0.00	2.00	90.0	1.80
06/06/2018	07150213641 BLAKE/MANSFIELD, FRANCES/MORGAN	FIXED INCOME PORTFOLIO C2	B	200.00	2.00	0.00	2.00	90.0	1.80
06/11/2018	07150513926 BRUMWELL, SAMANTHA COLLEGE PORTFOLIO C2		B	250.00	2.50	0.00	2.50	90.0	2.25
06/11/2018	07150513930 BRUMWELL, SAMANTHA PORTFOLIO 2021-2024 C2		B	250.00	2.50	0.00	2.50	90.0	2.25
* MUTUAL FUNDS SUBTOTAL *				\$1,500.00	\$25.13	\$0.00	\$25.13		\$22.63
<u>PRODUCT CATEGORY: STOCKS</u>									
06/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	269.49	269.49	0.00	269.49	90.0	242.54
* STOCKS SUBTOTAL *				\$269.49	\$269.49	\$0.00	\$269.49		\$242.54
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/15/2018	CORBET, JEFFERY L	-JOHN HANCOCK	B	300.00	18.00	0.00	18.00	90.0	16.20
* VARIABLE ANNUITIES SUBTOTAL *				\$300.00	\$18.00	\$0.00	\$18.00		\$16.20
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	17946488 MABRY, CHRISTOPHER D.	SIGNAL SECURITIES RIA	B	0.00	873.34	0.00	873.34	90.0	786.01
04/13/2018	30932836 BARNETT, RHANDY L	SIGNAL SECURITIES RIA	B	0.00	150.34	0.00	150.34	90.0	135.31
04/13/2018	77430114 WATSON, RICHARD S	SIGNAL SECURITIES RIA	B	0.00	81.66	0.00	81.66	90.0	73.49
04/13/2018	81766746 KELLY, JOHN	SIGNAL SECURITIES RIA	B	0.00	243.34	0.00	243.34	90.0	219.01
* RIA SUBTOTAL *				\$0.00	\$1,348.68	\$0.00	\$1,348.68		\$1,213.82

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/02/2018 TRAIL COMMISSIONS	JOHN HANCOCK FEES			0.00	7.98	0.00	7.98	90.0	7.19
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$7.98	\$0.00	\$7.98		\$7.19
	*** BROKER TRANSACTIONS TOTAL ***			\$2,069.49	\$1,669.28	\$0.00	\$1,669.28		\$1,502.38

SPLIT TRANSACTIONSBROKER: JOINT ASHLEY/ASHLEY #8030

06/15/2018 TRICO ELECTRI C, GBM MGMT GROUP	-NATIONWIDE QUALIFIED PLAN	B	11,388.97	172.16	0.00	172.16	90.0	154.94
04/13/2018 30367258 BURLESON, JAMES/MARTHA	SIGNAL SECURITIES RIA	B	0.00	151.67	0.00	151.67	90.0	136.50
	* JOINT ASHLEY/ASHLEY SUBTOTAL *		\$11,388.97	\$323.83	\$0.00	\$323.83		\$291.44
	*** SPLIT TRANSACTIONS TOTAL ***		\$11,388.97	\$323.83	\$0.00	\$323.83		\$291.44

ADJUSTMENTS

07/02/2018	HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-20.00
07/02/2018	HILLTOP: FIXED INCOME TICKET CHARGE		0.00	0.00	0.00	0.00		-8.75
07/02/2018	TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
	*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-56.25

BROKER COMMISSION TOTALS

Broker Transactions	\$1,502.38
Split Transactions	\$291.44
Override Transactions	\$0.00
Adjustments	\$-56.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,737.57
Year-to-date Earnings	\$44,740.18