



Concorde Investment Services, LLC

09/13/2017
Page No. 1

COMMISSION STATEMENT

September 15, 2017

K146-JASON KEMBLE
4106 E. Doe Creek Drive

Columbia, MO 65202-

BROKER #: K146
BRANCH: JEFFREY KAUFMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: JEFF/JASON KAUFMAN/KEMBLE #349								
08/29/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	39.62	0.18	0.00	0.18	90.0	0.16
08/29/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	39.62	0.18	0.00	0.18	90.0	0.16
09/07/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	55.91	0.25	0.00	0.25	90.0	0.23
09/07/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	55.91	0.25	0.00	0.25	90.0	0.23
08/28/2017	CARTER, STANLEY	AM FUNDS AMERICAN BALANCED A	50,000.00	843.75	0.00	843.75	90.0	759.38
08/29/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	22.03	0.10	0.00	0.10	90.0	0.09
08/29/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	22.03	0.10	0.00	0.10	90.0	0.09
09/07/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.09	0.09	0.00	0.09	90.0	0.08
09/07/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.09	0.09	0.00	0.09	90.0	0.08
08/28/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
08/29/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.08	0.00	0.08	90.0	0.07
08/29/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.10	0.00	0.10	90.0	0.09
08/29/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	45.43	0.20	0.00	0.20	90.0	0.18
08/29/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	45.43	0.20	0.00	0.20	90.0	0.18
08/29/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.05	0.00	0.05	90.0	0.05
08/29/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.05	0.00	0.05	90.0	0.05
08/29/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	48.99	0.22	0.00	0.22	90.0	0.20
08/29/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	48.99	0.22	0.00	0.22	90.0	0.20
08/29/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.14	0.00	0.14	90.0	0.13
08/29/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.14	0.00	0.14	90.0	0.13
09/05/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	80.29	0.36	0.00	0.36	90.0	0.32
09/05/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	48.18	0.22	0.00	0.22	90.0	0.20
09/05/2017	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	250.00	1.80	0.00	1.80	90.0	1.62
09/06/2017	WHITE, PAYTON	AM FUNDS GROWTH FUND OF AMERICA A	500.00	11.25	0.00	11.25	90.0	10.13
09/07/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.08	0.00	0.08	90.0	0.07
09/07/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.10	0.00	0.10	90.0	0.09

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
09/07/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	1.92	0.01	0.00	0.01	90.0	0.01
09/07/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
09/07/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.09	0.21	0.00	0.21	90.0	0.19
09/07/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.09	0.21	0.00	0.21	90.0	0.19
09/07/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.05	0.00	0.05	90.0	0.05
09/07/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.05	0.00	0.05	90.0	0.05
09/07/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.14	0.00	0.14	90.0	0.13
09/07/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.14	0.00	0.14	90.0	0.13
08/29/2017	ZELLMER, RIANNON	AM FUNDS ICA A	17.33	0.08	0.00	0.08	90.0	0.07
08/29/2017	ZELLMER, RIANNON	AM FUNDS ICA A	17.33	0.08	0.00	0.08	90.0	0.07
08/29/2017	WAHLIG, KACEY	AM FUNDS ICA A	22.04	0.10	0.00	0.10	90.0	0.09
08/29/2017	WAHLIG, KACEY	AM FUNDS ICA A	22.04	0.10	0.00	0.10	90.0	0.09
08/29/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.09	0.00	0.09	90.0	0.08
08/29/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.09	0.00	0.09	90.0	0.08
09/05/2017	HAGUE, VICTORIA	AM FUNDS ICA A	71.88	0.32	0.00	0.32	90.0	0.29
09/05/2017	HAGUE, VICTORIA	AM FUNDS ICA A	400.00	1.80	0.00	1.80	90.0	1.62
09/07/2017	ZELLMER, RIANNON	AM FUNDS ICA A	9.70	0.05	0.00	0.05	90.0	0.05
09/07/2017	ZELLMER, RIANNON	AM FUNDS ICA A	9.70	0.05	0.00	0.05	90.0	0.05
09/07/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.10	0.09	0.00	0.09	90.0	0.08
09/07/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.10	0.09	0.00	0.09	90.0	0.08
09/07/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.09	0.00	0.09	90.0	0.08
09/07/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.09	0.00	0.09	90.0	0.08
09/05/2017	THOMPSON, TERRY	AM FUNDS NEW ECONOMY A	250.00	1.80	0.00	1.80	90.0	1.62
09/06/2017	WHITE, PAYTON	AM FUNDS NEW ECONOMY A	500.00	11.25	0.00	11.25	90.0	10.13
08/29/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.55	0.00	0.55	90.0	0.50
08/29/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	1,000.00	4.50	0.00	4.50	90.0	4.05
09/01/2017	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	1.13	0.00	1.13	90.0	1.02
09/06/2017	WHITE, PAYTON	AM FUNDS NEW PERSPECTIVE A	500.00	11.25	0.00	11.25	90.0	10.13
09/07/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.55	0.00	0.55	90.0	0.50
09/07/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	1,000.00	4.50	0.00	4.50	90.0	4.05
08/29/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.08	0.00	0.08	90.0	0.07
08/29/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.10	0.00	0.10	90.0	0.09
09/05/2017	THOMPSON, TERRY	AM FUNDS NEW WORLD A	250.00	1.80	0.00	1.80	90.0	1.62

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
09/06/2017	WHITE, PAYTON	AM FUNDS NEW WORLD A	500.00	11.25	0.00	11.25	90.0	10.13
09/07/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.08	0.00	0.08	90.0	0.07
09/07/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.10	0.00	0.10	90.0	0.09
08/29/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.09	0.00	0.09	90.0	0.08
08/29/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.09	0.00	0.09	90.0	0.08
08/29/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.14	0.00	0.14	90.0	0.13
08/29/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.14	0.00	0.14	90.0	0.13
09/05/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	100.00	0.45	0.00	0.45	90.0	0.41
09/05/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	17.97	0.08	0.00	0.08	90.0	0.07
09/05/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	80.29	0.36	0.00	0.36	90.0	0.32
09/05/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	48.17	0.22	0.00	0.22	90.0	0.20
09/05/2017	THOMPSON, TERRY	AM FUNDS SMALLCAP WORLD A	250.00	1.80	0.00	1.80	90.0	1.62
09/07/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.09	0.00	0.09	90.0	0.08
09/07/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.09	0.00	0.09	90.0	0.08
09/07/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.14	0.00	0.14	90.0	0.13
09/07/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.14	0.00	0.14	90.0	0.13
08/28/2017	CARSON, SEDEL	PAC LIFE VUL	55.00	12.38	0.00	12.38	90.0	11.14
08/28/2017	CARSON, MATTHEW	PAC LIFE VUL	7.57	1.71	0.00	1.71	90.0	1.54
08/28/2017	CARSON, MATTHEW	PAC LIFE VUL	142.43	8.01	0.00	8.01	90.0	7.21
08/28/2017	CARSON, SEDEL	PAC LIFE VUL	55.00	12.38	0.00	12.38	90.0	11.14
08/28/2017	CARSON, MATTHEW	PAC LIFE VUL	7.57	1.71	0.00	1.71	90.0	1.54
08/28/2017	CARSON, MATTHEW	PAC LIFE VUL	142.43	8.01	0.00	8.01	90.0	7.21
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$57,956.48	\$963.40	\$0.00	\$963.40		\$867.16
*** SPLIT TRANSACTIONS TOTAL ***			\$57,956.48	\$963.40	\$0.00	\$963.40		\$867.16

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
09/15/2017		*EO INS: CURRENT1/2 MONTH INS-KEMBL	0.00					-150.00
09/15/2017		*TECH: CURRENT 1/2 MONTH TECH-KEMBL	0.00					-137.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-287.50

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$867.16
Override Transactions	\$0.00
Adjustments	\$-287.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$579.66
Year-to-date Gross	\$40,674.00
Year-to-date Earnings	\$36,607.19

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