

COMMISSION STATEMENT

June 15, 2018

MARK ARCHAMBO-D003

BROKER #: D003

BRANCH: DMB

, TX

COMMISSION STATEMENT for MARK ARCHAMBO-D003

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
06/04/2018	00082424968	CASSELBERRY,	MF	THE GROWTH FUND OF AMERICA-C	B	2,282.00	22.82	0.00	22.82	90.0	20.54
06/01/2018	00523766434	THOMAS, CLAYTON	MF	FIDELITY ADVISOR FUNDS	B	131.52	1.32	0.00	1.32	90.0	1.19
06/01/2018	00523766434	THOMAS, CLAYTON	MF	FIDELITY ADVISOR FUNDS	B	131.52	1.32	0.00	1.32	90.0	1.19
* MUTUAL FUNDS SUBTOTAL *						\$2,545.04	\$25.46	\$0.00	\$25.46		\$22.92
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
06/01/2018	00056226111	SADDORIS, MATHIS	MFT	AMCAP FUND-A	B	0.00	66.11	0.00	66.11	90.0	59.50
06/01/2018	00056309362	SADDORIS, LINDA	MFT	AMCAP FUND-A	B	0.00	16.99	0.00	16.99	90.0	15.29
06/01/2018	00062212909	BEVERLY M	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	38.42	0.00	38.42	90.0	34.58
06/01/2018	00062212909	BEVERLY M	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	65.24	0.00	65.24	90.0	58.72
06/01/2018	00062212909	BEVERLY M	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	25.89	0.00	25.89	90.0	23.30
06/01/2018	00062212909	BEVERLY M	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	45.26	0.00	45.26	90.0	40.73
06/01/2018	00066837124	MYERS, BEVERLY	MFT	AMERICAN BALANCED FUND-C	B	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2018	00066837124	MYERS, BEVERLY	MFT	AMERICAN BALANCED FUND-F1	B	0.00	38.04	0.00	38.04	90.0	34.24
06/01/2018	00067268814	BARNHART, KONLYN	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.82	0.00	1.82	90.0	1.64
06/01/2018	00073519425	WALL, BARBARA	MFT	AMERICAN BALANCED FUND-C	B	0.00	76.36	0.00	76.36	90.0	68.72
06/01/2018	00073519425	WALL, BARBARA	MFT	AMERICAN BALANCED FUND-F1	B	0.00	18.77	0.00	18.77	90.0	16.89
06/01/2018	00074255829	GREER, TRAVIS	MFT	AMERICAN BALANCED FUND-529A	B	0.00	7.56	0.00	7.56	90.0	6.80
06/01/2018	00074721058	COURTAD, GRETCHEN	MFT	AMERICAN BALANCED FUND-C	B	0.00	60.69	0.00	60.69	90.0	54.62
06/01/2018	00074721058	COURTAD, GRETCHEN	MFT	AMERICAN BALANCED FUND-F1	B	0.00	5.03	0.00	5.03	90.0	4.53
06/01/2018	00082424968	CASSELBERRY,	MFT	GROWTH FUND OF AMERICA CLASS F	B	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2018	00082424968	CASSELBERRY,	MFT	THE GROWTH FUND OF AMERICA-C	B	0.00	135.47	0.00	135.47	90.0	121.92
06/01/2018	00082506141	THOMAS, CLAYTON	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	23.05	0.00	23.05	90.0	20.75
06/01/2018	00082506141	THOMAS, CLAYTON	MFT	CAPITAL WORLD GROWTH AND	B	0.00	0.56	0.00	0.56	90.0	0.50
06/01/2018	00082506141	THOMAS, CLAYTON	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	6.35	0.00	6.35	90.0	5.72
06/01/2018	00087229076	TRAYLOR, KAY	MFT	AMERICAN BALANCED FUND-529A	B	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2018	00089342791	SWYGARD, BRADLEY	MFT	AMERICAN BALANCED FUND-C	B	0.00	18.64	0.00	18.64	90.0	16.78
06/07/2018	00523766434	THOMAS, CLAYTON	MFT	FIDELITY ADVISOR FUNDS	B	0.00	111.48	0.00	111.48	90.0	100.33
06/07/2018	00524268448	THOMAS, CLAYTON	MFT	FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	6.76	0.00	6.76	90.0	6.08
06/07/2018	00689589232	TRISTA R	MFT	FA 529 PORT 2019 CL A	B	0.00	2.78	0.00	2.78	90.0	2.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$772.61	\$0.00	\$772.61		\$695.35

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** DIRECT TRANSACTIONS TOTAL ***				\$2,545.04	\$798.07	\$0.00	\$798.07		\$718.27

LINKED DIRE TRANSACTIONSBROKER: MARK ARCHAMBO-D167 #5

06/01/2018	00523766483	THOMAS, SUSAN	MF FIDELITY ADVISOR FUNDS	B	20.40	0.20	0.00	0.20	0.18
06/01/2018	00523766483	THOMAS, SUSAN	MF FIDELITY ADVISOR FUNDS	B	68.00	0.68	0.00	0.68	0.61
06/01/2018	00523766483	THOMAS, SUSAN	MF FIDELITY ADV LARGE CAP CL C	B	20.40	0.20	0.00	0.20	0.18
06/01/2018	00523766483	THOMAS, SUSAN	MF FIDELITY ADV LARGE CAP CL C	B	68.00	0.68	0.00	0.68	0.61
06/01/2018	00523766483	THOMAS, SUSAN	MF FIDELITY ADV STRATEGIC INCOME	B	40.80	0.41	0.00	0.41	0.37
06/01/2018	00523766483	THOMAS, SUSAN	MF FIDELITY ADV STRATEGIC INCOME	B	136.00	1.36	0.00	1.36	1.22
06/01/2018	00523766483	THOMAS, SUSAN	MF FIDELITY ADV STRATEGIC DIV &	B	20.40	0.20	0.00	0.20	0.18
06/01/2018	00523766483	THOMAS, SUSAN	MF FIDELITY ADV STRATEGIC DIV &	B	68.00	0.68	0.00	0.68	0.61
06/01/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADVISOR FUNDS	B	16.25	0.16	0.00	0.16	0.14
06/01/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADVISOR FUNDS	B	83.30	0.83	0.00	0.83	0.75
06/01/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADV STRATEGIC INCOME	B	24.38	0.24	0.00	0.24	0.22
06/01/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADV STRATEGIC INCOME	B	124.95	1.25	0.00	1.25	1.13
06/01/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADVISOR FUNDS	B	24.38	0.24	0.00	0.24	0.22
06/01/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADVISOR FUNDS	B	124.95	1.25	0.00	1.25	1.13
06/01/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADV INFLATION PROTECT	B	24.38	0.24	0.00	0.24	0.22
06/01/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADV INFLATION PROTECT	B	124.95	1.25	0.00	1.25	1.13
06/01/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADVISOR FUNDS	B	56.88	0.57	0.00	0.57	0.51
06/01/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADVISOR FUNDS	B	291.55	2.92	0.00	2.92	2.63
06/01/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADVISOR GOVT INCOME FD	B	16.23	0.16	0.00	0.16	0.14
06/01/2018	00523766814	THOMAS, JEFFREY	MF FIDELITY ADVISOR GOVT INCOME FD	B	83.30	0.83	0.00	0.83	0.75
06/01/2018	00059234370	LOMAX, VICKI	MFT AMERICAN BALANCED FUND-A	B	0.00	14.06	0.00	14.06	12.65
06/01/2018	00059234370	LOMAX, VICKI	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	10.33	0.00	10.33	9.30
06/01/2018	00059234370	LOMAX, VICKI	MFT NEW PERSPECTIVE FUND-A	B	0.00	14.11	0.00	14.11	12.70
06/01/2018	00059234370	LOMAX, VICKI	MFT THE BOND FUND OF AMERICA-A	B	0.00	5.81	0.00	5.81	5.23
06/01/2018	00059234370	LOMAX, VICKI	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	17.47	0.00	17.47	15.72
06/01/2018	00067644058	TATE/AUTH PER,	MFT AMERICAN BALANCED FUND-C	B	0.00	28.82	0.00	28.82	25.94
06/01/2018	00067644058	TATE/AUTH PER,	MFT AMERICAN BALANCED FUND-F1	B	0.00	17.51	0.00	17.51	15.76
06/01/2018	00082603862	THOMAS, JEFFREY	MFT AMERICAN BALANCED FUND-F1	B	0.00	1.84	0.00	1.84	1.66
06/01/2018	00082603862	THOMAS, JEFFREY	MFT CAPITAL WORLD GROWTH AND	B	0.00	1.59	0.00	1.59	1.43
06/01/2018	00082603862	THOMAS, JEFFREY	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	2.32	0.00	2.32	2.09
06/01/2018	00082603862	THOMAS, JEFFREY	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	4.12	0.00	4.12	3.71
06/01/2018	00082603862	THOMAS, JEFFREY	MFT THE BOND FUND OF AMERICA-F1	B	0.00	4.89	0.00	4.89	4.40
06/01/2018	00084561000	TATE, BARRY	MFT AMERICAN BALANCED FUND-C	B	0.00	31.26	0.00	31.26	28.13
06/07/2018	00523766483	THOMAS, SUSAN	MFT FIDELITY ADVISOR FUNDS	B	0.00	53.29	0.00	53.29	47.96
06/07/2018	00523766483	THOMAS, SUSAN	MFT FIDELITY ADV LARGE CAP CL C	B	0.00	63.81	0.00	63.81	57.43
06/07/2018	00523766483	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	82.55	0.00	82.55	74.30
06/07/2018	00523766483	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	50.84	0.00	50.84	45.76
06/07/2018	00523766483	THOMAS, SUSAN	MFT TREASURY FUND - ADVISOR C CLASS	B	0.00	0.24	0.00	0.24	0.22
06/07/2018	00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	28.71	0.00	28.71	25.84

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06/07/2018	00523766814	THOMAS, JEFFREY	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	32.83	0.00	32.83	29.55
06/07/2018	00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	45.01	0.00	45.01	40.51
06/07/2018	00523766814	THOMAS, JEFFREY	MFT FIDELITY ADV INFLATION PROTECT	B	0.00	26.37	0.00	26.37	23.73
06/07/2018	00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	120.40	0.00	120.40	108.36
06/07/2018	00523766814	THOMAS, JEFFREY	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	17.65	0.00	17.65	15.89
06/07/2018	00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR LEVERAGED CO	B	0.00	1.30	0.00	1.30	1.17
06/07/2018	00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	1.96	0.00	1.96	1.76
06/07/2018	00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	2.73	0.00	2.73	2.46
06/07/2018	00524268182	THOMAS, JEFFREY	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.63	0.00	3.63	3.27
06/07/2018	00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV INFLATION PROTECT	B	0.00	0.54	0.00	0.54	0.49
06/07/2018	00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	6.96	0.00	6.96	6.26
06/07/2018	00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV INTL DISCOVERY CL	B	0.00	0.38	0.00	0.38	0.34
06/07/2018	00524268182	THOMAS, JEFFREY	MFT FIDELITY ADV GOVERNMENT INCOME	B	0.00	0.56	0.00	0.56	0.50
06/07/2018	00524268299	THOMAS, SUSAN	MFT FIDELITY ADVISOR FUNDS	B	0.00	17.83	0.00	17.83	16.05
06/07/2018	00524268299	THOMAS, SUSAN	MFT FIDELITY ADV LARGE CAP CL C	B	0.00	20.12	0.00	20.12	18.11
06/07/2018	00524268299	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	30.91	0.00	30.91	27.82
06/07/2018	00524268299	THOMAS, SUSAN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	14.55	0.00	14.55	13.10
06/07/2018	00524268299	THOMAS, SUSAN	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.12	0.00	0.12	0.11
* MARK ARCHAMBO-D167 SUBTOTAL *				\$1,437.50	\$791.77	\$0.00	\$791.77		\$712.64
*** LINKED DIRE TRANSACTIONS TOTAL ***				\$1,437.50	\$791.77	\$0.00	\$791.77		\$712.64

BROKER COMMISSION TOTALS

Broker Transactions	\$1,430.91
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,430.91
Year-to-date Commissions	\$56,325.82