



# COMMISSION STATEMENT

August 06, 2018

FREDERIC SCARPULLA  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 417  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/02/2018	***-**-05IO - KIMBLE, LONNY	AMERICAN HIGH-INCOME TRUST-C	463.41	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR011472	SELL 45 Units @10.2200						
07/20/2018	***-**-7330 - JOHNSON, DONALD	CAPITAL APPRECIATION C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 03220387068	BUY 0 Units @0.0000						
07/20/2018	***-**-6603 - JOHNSON, TERRY	CAPITAL APPRECIATION C	68.00	0.68	0.00	0.68	80.0	0.54
	ACCOUNT #: 03222192157	BUY 0 Units @0.0000						
07/20/2018	***-**-1834 - BUTLER, KIM	CAPITAL APPRECIATION C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 03222200176	BUY 0 Units @0.0000						
07/20/2018	***-**-85IN - MAZZOLA, BARBARA	EMERGING MARKETS INNOVATORS FUND C	7.50	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00100051019	BUY 0 Units @0.0000						
07/20/2018	***-**-85IN - MAZZOLA, BARBARA	GLOBAL OPPORTUNITIES FUND C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 02171893331	BUY 0 Units @0.0000						
07/20/2018	***-**-7330 - JOHNSON, DONALD	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02171798599	BUY 0 Units @0.0000						
08/02/2018	***-**-05IO - KIMBLE, LONNY	INCOME FUND OF AMERICA CLASS C	463.41	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR011472	SELL 20 Units @22.8200						
07/20/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	66.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						
07/20/2018	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
07/20/2018	***-**-3407 - TUTTELL, PAULA	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 05470454740	BUY 0 Units @0.0000						
07/20/2018	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						
07/20/2018	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	66.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
07/20/2018	***-**-85IN - MAZZOLA, BARBARA	OPPENHEIMER INTERNATIONAL DIVERSIFI	7.50	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 01971104114	BUY 0 Units @0.0000						
07/20/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02271182830	BUY 0 Units @0.0000						
07/20/2018	***-**-7330 - JOHNSON, DONALD	REAL ESTATE FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 05920398266	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/20/2018	***-**-1834 - BUTLER, KIM	SMALL- & MID- CAP VALUE FUND C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 02531162106	BUY 0 Units @0.0000						
07/20/2018	***-**-1834 - BUTLER, KIM	STRATEGIC INCOME FUND C	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 01920863291	BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$1,631.82	\$7.06	\$0.00	\$7.06		\$5.64
<b>PRODUCT CATEGORY: 529 PLAN</b>								
08/06/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	138.52	1.39	0.00	1.39	80.0	1.11
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$138.52	\$1.39	\$0.00	\$1.39		\$1.11
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
08/06/2018	***-**-503J - OBI, JEAN	NEW YORK 529 TRAILS	0.00	2.40	0.00	2.40	80.0	1.92
		BUY 0 Units @0.0000						
08/06/2018	***-**-3758 - CONNORS, DAVID	NEW YORK 529 TRAILS	0.00	0.37	0.00	0.37	80.0	0.30
	ACCOUNT #: 77162702001	BUY 0 Units @0.0000						
08/06/2018	***-**-015P - FANTAUZZO,	NEW YORK 529 TRAILS	0.00	2.40	0.00	2.40	80.0	1.92
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						
08/06/2018	***-**-335P - JULIAN, GIOVANA	NEW YORK 529 TRAILS	0.00	7.95	0.00	7.95	80.0	6.36
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
08/06/2018	***-**-80IN - MOYER, COLLEEN	NEW YORK 529 TRAILS	0.00	13.77	0.00	13.77	80.0	11.02
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
08/06/2018	***-**-2942 - WARNEY, KENNETH	NEW YORK 529 TRAILS	0.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 77932712101	BUY 0 Units @0.0000						
07/20/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$25.00	\$27.59	\$0.00	\$27.59		\$22.08
*** BROKER TRANSACTIONS TOTAL ***			\$1,795.34	\$36.04	\$0.00	\$36.04		\$28.83



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						<b><u>BROKER COMMISSION TOTALS</u></b>		
						Broker Transactions		\$28.83
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD						<b>Balance Forward</b>		<b>\$28.83</b>
						<b>Year-to-date Earnings</b>		<b>\$142,967.90</b>