

COMMISSION STATEMENT

April 03, 2017

MICHAEL BAROLOTTA
57 EISENHOWER DRIVE

BROKER #:
BRANCH: HOME OFFICE

EAST QUOGUE, NY 11942

COMMISSION STATEMENT for MICHAEL BAROLOTTA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

03/01/2017	75501430	D'AGOSTINO, NICHOLAS	AMERICAN FUNDS 2025 TARGET DATE-A	S	4,000.00	0.00	0.00	0.00	80.0	0.00
03/01/2017	75502093	FIDER, LOURDES	FIDELITY REAL ESTATE INVESTMENT POR	S	19,024.72	0.00	0.00	0.00	80.0	0.00
				* MUTUAL FUNDS SUBTOTAL *	\$23,024.72	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

03/14/2017	75502078	BARTOLOTTA, MICHAEL	FEDERAL NATL MTG ASSN PFD SER S DIV	S	6,084.00	25.00	10.00	15.00	80.0	0.00
03/14/2017	75502078	BARTOLOTTA, MICHAEL	FREDDIE MAC 8.375% NON-CUMULATIVE P	S	6,059.80	25.00	10.00	15.00	80.0	0.00
03/27/2017	75502093	FIDER, LOURDES	VANGUARD FTSE DEVELOPED MARKETS ETF	B	9,069.06	0.00	10.00	-10.00	80.0	0.00
03/27/2017	75502093	FIDER, LOURDES	VANGUARD FTSE EMERGING MARKETS ETF	B	2,363.50	0.00	10.00	-10.00	80.0	0.00
03/27/2017	75502093	FIDER, LOURDES	VANGUARD INTERMEDIATE TERM BOND ETF	B	1,003.88	0.00	10.00	-10.00	80.0	0.00
03/27/2017	75502093	FIDER, LOURDES	VANGUARD INDEX FUNDS VANGUARD EXTEN	B	2,925.39	0.00	10.00	-10.00	80.0	0.00
03/27/2017	75502093	FIDER, LOURDES	VANGUARD LONG TERM BOND ETF	B	904.24	0.00	10.00	-10.00	80.0	0.00
03/27/2017	75502093	FIDER, LOURDES	VANGUARD SHORT TERM BOND ETF	B	1,992.93	0.00	10.00	-10.00	80.0	0.00
03/27/2017	75502093	FIDER, LOURDES	VANGUARD SCOTTSDALE FUNDS VANGUARD	B	1,049.20	0.00	10.00	-10.00	80.0	0.00
03/27/2017	75502093	FIDER, LOURDES	VANGUARD S&P 500 ETF	B	13,485.70	0.00	10.00	-10.00	80.0	0.00
03/27/2017	75502093	FIDER, LOURDES	VANGUARD TOTAL INTERNATIONAL BOND E	B	2,107.13	0.00	10.00	-10.00	80.0	0.00
				* STOCKS SUBTOTAL *	\$47,044.83	\$50.00	\$110.00	\$-60.00		\$0.00

PRODUCT CATEGORY: RIA

03/24/2017	75502093	FIDER, LOURDES	FAS FEES	B	-3.89	1.94	0.00	1.94	80.0	0.00
03/29/2017	75502101	CORSO, JOSEPH	FAS FEES	B	-1.85	0.92	0.00	0.92	80.0	0.00
				* RIA SUBTOTAL *	\$-5.74	\$2.86	\$0.00	\$2.86		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/10/2017	75501422	FROUXIDES, MENELAOS	AMERICAN BALANCED FUND INC CL F1	B	0.00	4.31	0.00	4.31	80.0	3.45
03/10/2017	75501422	FROUXIDES, MENELAOS	BLACKROCK EQUITY DIVIDEND FUND SVC	B	0.00	2.33	0.00	2.33	80.0	1.86
03/10/2017	75501422	FROUXIDES, MENELAOS	BOND FUND AMER INC CL F1	B	0.00	0.78	0.00	0.78	80.0	0.00
03/10/2017	75501422	FROUXIDES, MENELAOS	LORD ABBETT SHORT DURATION INCOME F	B	0.00	0.54	0.00	0.54	80.0	0.00
03/10/2017	75501422	FROUXIDES, MENELAOS	LORD ABBETT HIGH YIELD FUND CL-A	B	0.00	0.34	0.00	0.34	80.0	0.00
03/10/2017	75501422	FROUXIDES, MENELAOS	OPPENHEIMER SENIOR FLOATING RATE FU	B	0.00	0.98	0.00	0.98	80.0	0.00
03/08/2017	75501426	FROUXIDES, NATIA	**ABERDEEN GLOBAL HIGH INCOME	B	0.00	0.53	0.00	0.53	80.0	0.00
03/10/2017	75501426	FROUXIDES, NATIA	INCOME FUND AMER INC CL F1	B	0.00	2.55	0.00	2.55	80.0	2.04

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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/10/2017	75501426	FROUXIDES, NATIA		LORD ABBETT SHORT DURATION INCOME F B	0.00	0.45	0.00	0.45	80.0	0.00
03/10/2017	75501426	FROUXIDES, NATIA		OPPENHEIMER STRATEGIC INCOME FD CL B	0.00	0.87	0.00	0.87	80.0	0.00
03/17/2017	75501426	FROUXIDES, NATIA		VAN ECK GLOBAL HARD ASSETS FD CLASS B	0.00	2.14	0.00	2.14	80.0	1.71
03/08/2017	75501427	FROUXIDES, MENELAOS		**ABERDEEN TOTAL RETURNBOND B	0.00	4.49	0.00	4.49	80.0	3.59
03/08/2017	75501427	FROUXIDES, MENELAOS		**ABERDEEN GLOBAL HIGH INCOME B	0.00	3.16	0.00	3.16	80.0	2.53
03/10/2017	75501427	FROUXIDES, MENELAOS		BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	2.52	0.00	2.52	80.0	2.02
03/10/2017	75501427	FROUXIDES, MENELAOS		CAPITAL INCOME BUILDER FD CL F-1 B	0.00	5.78	0.00	5.78	80.0	4.62
03/10/2017	75501427	FROUXIDES, MENELAOS		CAPITAL WORLD BOND FUND CL F1 B	0.00	2.12	0.00	2.12	80.0	1.70
03/10/2017	75501427	FROUXIDES, MENELAOS		FIDELITY ADVISOR ENERGY CL A B	0.00	1.72	0.00	1.72	80.0	1.38
03/10/2017	75501427	FROUXIDES, MENELAOS		OPPENHEIMER STRATEGIC INCOME FD CL B	0.00	3.86	0.00	3.86	80.0	3.09
03/10/2017	75501427	FROUXIDES, MENELAOS		OPPENHEIMER STEELPATH MLP ALPHA FUN B	0.00	0.84	0.00	0.84	80.0	0.00
03/17/2017	75501427	FROUXIDES, MENELAOS		VAN ECK GLOBAL HARD ASSETS FD CLASS B	0.00	7.08	0.00	7.08	80.0	5.66
03/10/2017	75501428	FROUXIDES, NATIA		BLACKROCK NAT RES TR CL A B	0.00	1.38	0.00	1.38	80.0	1.10
03/10/2017	75501428	FROUXIDES, NATIA		DREYFUS INVT FDS STANDISH GLOBAL FI B	0.00	3.38	0.00	3.38	80.0	2.70
03/10/2017	75501428	FROUXIDES, NATIA		DREYFUS NY TAX EXEMPT BOND FUND INC B	0.00	2.35	0.00	2.35	80.0	1.88
03/10/2017	75501428	FROUXIDES, NATIA		INCOME FUND AMER INC CL F1 B	0.00	10.63	0.00	10.63	80.0	8.50
03/10/2017	75501428	FROUXIDES, NATIA		LOOMIS SAYLES BOND FUND RETAIL CLAS B	0.00	5.56	0.00	5.56	80.0	4.45
03/10/2017	75501428	FROUXIDES, NATIA		OPPENHEIMER SENIOR FLOATING RATE FU B	0.00	4.73	0.00	4.73	80.0	3.78
03/10/2017	75501428	FROUXIDES, NATIA		OPPENHEIMER STEELPATH MLP INCOME FU B	0.00	1.09	0.00	1.09	80.0	0.87
03/10/2017	75501428	FROUXIDES, NATIA		US GOVT FUND CLASS A B	0.00	1.34	0.00	1.34	80.0	1.07
03/10/2017	75501428	FROUXIDES, NATIA		WESTERN ASSET SHORT DURATION HIGH I B	0.00	1.23	0.00	1.23	80.0	0.98
03/10/2017	75501430	D'AGOSTINO, NICHOLAS		AMERICAN FUNDS 2025 TARGET DATE-A B	0.00	2.88	0.00	2.88	80.0	2.30
03/10/2017	75501565	GIANNIZZERO, THOMAS		PUTNAM 746444108 B	0.00	7.85	0.00	7.85	80.0	6.28
03/10/2017	75501566	GIANNIZZERO, LAURE		MFS TOTAL RETURN FUND-A B	0.00	6.90	0.00	6.90	80.0	5.52
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$96.71	\$0.00	\$96.71		\$73.08
				*** BROKER TRANSACTIONS TOTAL ***	\$70,063.81	\$149.57	\$110.00	\$39.57		\$73.08

ADJUSTMENTS

04/03/2017		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00
04/03/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-220.00

BROKER COMMISSION TOTALS

Broker Transactions	\$73.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-220.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-147.10
FINRA Assessment	\$-0.19
SIPC Assessment	\$-2.80

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-297.01
Year-to-date Earnings	\$18,166.90