

All Companies
STATEMENT
April 20, 2018

DENNIS JORDAN

BROKER #: 272
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DENNIS JORDAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/09/2018	#00088285586	MCNEILANDR	AMCAP FUND INC CLASS A	391.69	10.77	85.0	0.00	9.15	9.15
03/09/2018	#00088285586	MCNEILANDR	AMERICAN BALANCED FUND INC CLASS A	300.00	8.25	85.0	0.00	7.01	7.01
03/09/2018	#00088285586	MCNEILANDR	FUNDAMENTAL INVESTORS INC CLASS A	400.00	11.00	85.0	0.00	9.35	9.35
03/09/2018	#00088285586	MCNEILANDR	NEW PERSPECTIVE FUND INC CL A	300.00	8.25	85.0	0.00	7.01	7.01
03/12/2018	#00089576353	MARSWENDY	AMERICAN BALANCED FUND INC CLASS A	50.00	2.50	85.0	0.00	2.13	2.13
03/12/2018	#00089576353	MARSWENDY	FUNDAMENTAL INVESTORS INC CLASS A	50.00	2.50	85.0	0.00	2.13	2.13
03/20/2018	#00089580827	AKINS-ATEW	NEW WORLD FUND INC NEW	75.00	3.75	85.0	0.00	3.19	3.19
			* MUTUAL FUNDS SUBTOTAL *	\$1,566.69	\$47.02	\$0.00	\$39.97	\$0.00	\$39.97
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
02/08/0018	#016097302	GRIFFITH-REECE,	NATIONWIDE DESTINATION LS	500.00	10.50	85.0	0.00	8.93	8.93
			* VARIABLE ANNUITIES SUBTOTAL *	\$500.00	\$10.50	\$0.00	\$8.93	\$0.00	\$8.93
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00088285586	MCNEILANDR	AMCAP FUND INC CLASS A	0.00	17.01	85.0	0.00	14.46	14.46
03/01/2018	#00088298368		AMCAP FUND INC CLASS A	0.00	26.83	85.0	0.00	22.81	22.81
03/01/2018	#00088531875		AMCAP FUND INC CLASS A	0.00	225.38	85.0	0.00	191.57	191.57
03/01/2018	#00087126553		AMERICAN BALANCED FUND INC CL C	0.00	5.47	85.0	0.00	4.65	4.65
03/01/2018	#00069047920	WHITEMANNO	AMERICAN BALANCED FUND INC CLASS A	0.00	23.55	85.0	0.00	20.02	20.02
03/01/2018	#00084745921		AMERICAN BALANCED FUND INC CLASS A	0.00	11.47	85.0	0.00	9.75	9.75
03/01/2018	#00088285586	MCNEILANDR	AMERICAN BALANCED FUND INC CLASS A	0.00	13.71	85.0	0.00	11.65	11.65
03/01/2018	#00088298368		AMERICAN BALANCED FUND INC CLASS A	0.00	25.70	85.0	0.00	21.85	21.85
03/01/2018	#00088531875		AMERICAN BALANCED FUND INC CLASS A	0.00	208.12	85.0	0.00	176.90	176.90
03/01/2018	#00089576353	MARSWENDY	AMERICAN BALANCED FUND INC CLASS A	0.00	1.60	85.0	0.00	1.36	1.36
03/01/2018	#00074222660		CAPITAL INCOME BUILDER FD CL A	0.00	1.32	85.0	0.00	1.12	1.12
03/01/2018	#00075012634		CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.97	85.0	0.00	2.52	2.52
03/01/2018	#00082834272	JOSEPNANCI	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.13	85.0	0.00	0.11	0.11
03/01/2018	#00073921242	REECE, CARLISLE	EURO PAC GROWTH FUND CL C	0.00	19.24	85.0	0.00	16.35	16.35
03/01/2018	#00073921301	GRIFFITH-REECE,	EURO PAC GROWTH FUND CL C	0.00	20.40	85.0	0.00	17.34	17.34

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00073921242	REECE, CARLISLE EURO PAC GROWTH FUND CL F1	0.00	0.70	85.0	0.00	0.60	0.00	0.60
03/01/2018	#00073921301	GRIFFITH-REECE, EURO PAC GROWTH FUND CL F1	0.00	0.77	85.0	0.00	0.65	0.00	0.65
03/01/2018	#00073159521	EURO PACIFIC GROWTH FUND CLASS A	0.00	11.27	85.0	0.00	9.58	0.00	9.58
03/01/2018	#00073921242	REECE, CARLISLE EURO PACIFIC GROWTH FUND CLASS A	0.00	4.51	85.0	0.00	3.83	0.00	3.83
03/01/2018	#00073921301	GRIFFITH-REECE, EURO PACIFIC GROWTH FUND CLASS A	0.00	4.69	85.0	0.00	3.99	0.00	3.99
03/01/2018	#00073921242	REECE, CARLISLE FUNDAMENTAL INVESTORS INC CLASS A	0.00	9.19	85.0	0.00	7.81	0.00	7.81
03/01/2018	#00073921301	GRIFFITH-REECE, FUNDAMENTAL INVESTORS INC CLASS A	0.00	9.58	85.0	0.00	8.14	0.00	8.14
03/01/2018	#00073921324	FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.09	85.0	0.00	1.78	0.00	1.78
03/01/2018	#00075012634	FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.88	85.0	0.00	2.45	0.00	2.45
03/01/2018	#00084745921	FUNDAMENTAL INVESTORS INC CLASS A	0.00	12.97	85.0	0.00	11.02	0.00	11.02
03/01/2018	#00088285586	MCNEILANDR FUNDAMENTAL INVESTORS INC CLASS A	0.00	17.70	85.0	0.00	15.05	0.00	15.05
03/01/2018	#00088298368	FUNDAMENTAL INVESTORS INC CLASS A	0.00	28.19	85.0	0.00	23.96	0.00	23.96
03/01/2018	#00088531875	FUNDAMENTAL INVESTORS INC CLASS A	0.00	236.00	85.0	0.00	200.60	0.00	200.60
03/01/2018	#00089576353	MARSWENDY FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.74	85.0	0.00	1.48	0.00	1.48
03/01/2018	#00089817761	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.14	85.0	0.00	0.97	0.00	0.97
03/01/2018	#00089952032	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.39	85.0	0.00	0.33	0.00	0.33
03/01/2018	#00073921242	REECE, CARLISLE FUNDAMENTAL INVS INC CL C	0.00	32.81	85.0	0.00	27.89	0.00	27.89
03/01/2018	#00073921301	GRIFFITH-REECE, FUNDAMENTAL INVS INC CL C	0.00	45.93	85.0	0.00	39.04	0.00	39.04
03/01/2018	#00073921324	FUNDAMENTAL INVS INC CL C	0.00	7.75	85.0	0.00	6.59	0.00	6.59
03/01/2018	#00073921301	GRIFFITH-REECE, FUNDAMENTAL INVS INC CL F1	0.00	1.80	85.0	0.00	1.53	0.00	1.53
03/01/2018	#00073921324	FUNDAMENTAL INVS INC CL F1	0.00	0.41	85.0	0.00	0.35	0.00	0.35
03/01/2018	#00073921301	GRIFFITH-REECE, GROWTH FUND AMER INC CL C	0.00	47.20	85.0	0.00	40.12	0.00	40.12
03/01/2018	#00073921301	GRIFFITH-REECE, GROWTH FUND AMER INC CL F1	0.00	1.87	85.0	0.00	1.59	0.00	1.59
03/01/2018	#00073921301	GRIFFITH-REECE, GROWTH FUND AMERICA INC CLASS A	0.00	9.35	85.0	0.00	7.95	0.00	7.95
03/01/2018	#00074222660	GROWTH FUND AMERICA INC CLASS A	0.00	2.58	85.0	0.00	2.19	0.00	2.19
03/01/2018	#00073921242	REECE, CARLISLE INCOME FUND AMER INC CL C	0.00	21.85	85.0	0.00	18.57	0.00	18.57
03/01/2018	#00073921301	GRIFFITH-REECE, INCOME FUND AMER INC CL C	0.00	47.08	85.0	0.00	40.02	0.00	40.02
03/01/2018	#00073921301	GRIFFITH-REECE, INCOME FUND AMER INC CL F1	0.00	1.84	85.0	0.00	1.56	0.00	1.56
03/01/2018	#00059389503	INCOME FUND OF AMERICA INC CLASS A	0.00	31.44	85.0	0.00	26.72	0.00	26.72
03/01/2018	#00073034236	INCOME FUND OF AMERICA INC CLASS A	0.00	4.38	85.0	0.00	3.72	0.00	3.72
03/01/2018	#00073159521	INCOME FUND OF AMERICA INC CLASS A	0.00	11.65	85.0	0.00	9.90	0.00	9.90
03/01/2018	#00073921242	REECE, CARLISLE INCOME FUND OF AMERICA INC CLASS A	0.00	9.16	85.0	0.00	7.79	0.00	7.79
03/01/2018	#00073921301	GRIFFITH-REECE, INCOME FUND OF AMERICA INC CLASS A	0.00	9.55	85.0	0.00	8.12	0.00	8.12
03/01/2018	#00073921242	REECE, CARLISLE INVESTMENT CO AMER CL C	0.00	40.93	85.0	0.00	34.79	0.00	34.79
03/01/2018	#00069047920	WHITEMANNO INVESTMENT CO AMER CLASS A	0.00	45.92	85.0	0.00	39.03	0.00	39.03
03/01/2018	#00073921242	REECE, CARLISLE INVESTMENT CO AMER CLASS A	0.00	7.79	85.0	0.00	6.62	0.00	6.62

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00059389503	NEW ECONOMY FUND SBI CLASS A	0.00	16.70	85.0	0.00	14.20	0.00	14.20
03/01/2018	#00073921242 REECE, CARLISLE	NEW ECONOMY FUND SBI CLASS A	0.00	10.05	85.0	0.00	8.54	0.00	8.54
03/01/2018	#00073921301 GRIFFITH-REECE,	NEW PERSPECTIVE FUND -F1	0.00	2.57	85.0	0.00	2.18	0.00	2.18
03/01/2018	#00073921324	NEW PERSPECTIVE FUND -F1	0.00	0.53	85.0	0.00	0.45	0.00	0.45
03/01/2018	#00059389503	NEW PERSPECTIVE FUND INC CL A	0.00	32.00	85.0	0.00	27.20	0.00	27.20
03/01/2018	#00073159521	NEW PERSPECTIVE FUND INC CL A	0.00	17.58	85.0	0.00	14.94	0.00	14.94
03/01/2018	#00073921242 REECE, CARLISLE	NEW PERSPECTIVE FUND INC CL A	0.00	14.36	85.0	0.00	12.21	0.00	12.21
03/01/2018	#00073921301 GRIFFITH-REECE,	NEW PERSPECTIVE FUND INC CL A	0.00	14.97	85.0	0.00	12.72	0.00	12.72
03/01/2018	#00073921324	NEW PERSPECTIVE FUND INC CL A	0.00	2.78	85.0	0.00	2.36	0.00	2.36
03/01/2018	#00084745921	NEW PERSPECTIVE FUND INC CL A	0.00	14.66	85.0	0.00	12.46	0.00	12.46
03/01/2018	#00088285586 MCNEILANDR	NEW PERSPECTIVE FUND INC CL A	0.00	14.13	85.0	0.00	12.01	0.00	12.01
03/01/2018	#00088531875	NEW PERSPECTIVE FUND INC CL A	0.00	228.72	85.0	0.00	194.41	0.00	194.41
03/01/2018	#00089817761	NEW PERSPECTIVE FUND INC CL A	0.00	1.14	85.0	0.00	0.97	0.00	0.97
03/01/2018	#00073921242 REECE, CARLISLE	NEW PERSPECTIVE FUND INC CL C	0.00	36.78	85.0	0.00	31.26	0.00	31.26
03/01/2018	#00073921301 GRIFFITH-REECE,	NEW PERSPECTIVE FUND INC CL C	0.00	67.62	85.0	0.00	57.48	0.00	57.48
03/01/2018	#00073921324	NEW PERSPECTIVE FUND INC CL C	0.00	10.32	85.0	0.00	8.77	0.00	8.77
03/01/2018	#00087126553	NEW PERSPECTIVE FUND INC CL C	0.00	10.84	85.0	0.00	9.21	0.00	9.21
03/01/2018	#00069261761 WHITEMANNO	NEW PERSPECTIVE FUND-529A	0.00	0.13	85.0	0.00	0.11	0.00	0.11
03/01/2018	#00069261763 WHITEMANNO	NEW PERSPECTIVE FUND-529A	0.00	0.17	85.0	0.00	0.14	0.00	0.14
03/01/2018	#00089580827 AKINS-ATEW	NEW WORLD FUND INC NEW	0.00	0.59	85.0	0.00	0.50	0.00	0.50
03/01/2018	#00089952032	NEW WORLD FUND INC NEW	0.00	0.40	85.0	0.00	0.34	0.00	0.34
03/01/2018	#00069261761 WHITEMANNO	THE GROWTH FUND OF AMERICA-529A	0.00	0.07	85.0	0.00	0.06	0.00	0.06
03/01/2018	#00069261763 WHITEMANNO	THE GROWTH FUND OF AMERICA-529A	0.00	0.15	85.0	0.00	0.13	0.00	0.13
03/01/2018	#00073921242 REECE, CARLISLE	THE INVESTMENT COMPANY OF AMERICA F	0.00	1.52	85.0	0.00	1.29	0.00	1.29
03/01/2018	#00069261761 WHITEMANNO	THE INVESTMENT COMPANY OF AMERICA-5	0.00	0.12	85.0	0.00	0.10	0.00	0.10
03/01/2018	#00069261763 WHITEMANNO	THE INVESTMENT COMPANY OF AMERICA-5	0.00	0.10	85.0	0.00	0.09	0.00	0.09
03/01/2018	#00073921242 REECE, CARLISLE	THE NEW ECONOMY FUND-C	0.00	23.33	85.0	0.00	19.83	0.00	19.83
03/01/2018	#00073921301 GRIFFITH-REECE,	WASHINGTON MUTUAL INVESTORS FUND-C	0.00	45.36	85.0	0.00	38.56	0.00	38.56
03/01/2018	#00073921301 GRIFFITH-REECE,	WASHINGTON MUTUAL INVESTORS FUND-F1	0.00	1.65	85.0	0.00	1.40	0.00	1.40
03/01/2018	#00073034236	WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.09	85.0	0.00	3.48	0.00	3.48
03/01/2018	#00073159521	WASHINGTON MUTUAL INVS FD CLASS A	0.00	9.93	85.0	0.00	8.44	0.00	8.44
03/01/2018	#00073921301 GRIFFITH-REECE,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	8.23	85.0	0.00	7.00	0.00	7.00
03/09/2018	# JORDAN, EDWINA	DAIN TRAILER	0.00	1.30	85.0	0.00	1.11	0.00	1.11
03/09/2018	# JORDAN, EDWINA	DAIN TRAILER	0.00	2.03	85.0	0.00	1.73	0.00	1.73
03/09/2018	# JORDAN, EDWINA	DAIN TRAILER	0.00	4.91	85.0	0.00	4.17	0.00	4.17
03/09/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	1.54	85.0	0.00	1.31	0.00	1.31

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
03/09/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	16.60	85.0	0.00	14.11	0.00	14.11
03/09/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	23.91	85.0	0.00	20.32	0.00	20.32
03/09/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	22.43	85.0	0.00	19.07	0.00	19.07
03/09/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	9.00	85.0	0.00	7.65	0.00	7.65
03/09/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	20.84	85.0	0.00	17.71	0.00	17.71
03/09/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	13.97	85.0	0.00	11.87	0.00	11.87
03/09/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	9.52	85.0	0.00	8.09	0.00	8.09
03/09/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	13.80	85.0	0.00	11.73	0.00	11.73
03/09/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	2.71	85.0	0.00	2.30	0.00	2.30
03/09/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	1.60	85.0	0.00	1.36	0.00	1.36
03/09/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	1.29	85.0	0.00	1.10	0.00	1.10
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,065.04		\$0.00	\$1,755.26	\$0.00	\$1,755.26
*** BROKER TRANSACTIONS TOTAL ***			\$2,066.69	\$2,122.56		\$0.00	\$1,804.16	\$0.00	\$1,804.16

ADJUSTMENTS

04/20/2018	ADMIN FEE: ADMIN FEE	-390.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-249.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
*** ADJUSTMENTS TOTAL ***		\$-854.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,804.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-854.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-373.17
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$576.99
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