



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**September 30, 2017**

09/28/2017  
 Page No. 1

MATT BRANSTETTER  
 PO Box 250  
 111 S. Main Street  
 Noble, OK 73068

BROKER #: B116  
 BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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**BROKER TRANSACTIONS**

**PRODUCT CATEGORY: MUTUAL FUNDS**

09/01/2017	MITCHELL, DAVID	AM FUNDS AMCAP C	0.00	17.14	80.0	13.71	0.00	13.71
09/01/2017	EVERETT, BRIAN	AM FUNDS AMERICAN BALANCED C	0.00	0.85	80.0	0.68	0.00	0.68
09/01/2017	MITCHELL, DAVID	AM FUNDS AMERICAN BALANCED C	0.00	21.71	80.0	17.37	0.00	17.37
09/01/2017	EVERETT, BRIAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.25	80.0	3.40	0.00	3.40
09/01/2017	FOX, JERALDINE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.25	80.0	2.60	0.00	2.60
09/01/2017	FOX, JERALDINE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.00	80.0	4.80	0.00	4.80
09/01/2017	FOX, JERALDINE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.23	80.0	2.58	0.00	2.58
09/01/2017	BENNETT, BROOKLYNN	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.09	80.0	0.87	0.00	0.87
09/01/2017	LAWTON, KATHY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	0.34	80.0	0.27	0.00	0.27
09/01/2017	BENNETT, BRIAH	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	4.33	80.0	3.46	0.00	3.46
09/01/2017	DOBELBOWER, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.31	80.0	1.85	0.00	1.85
09/15/2017	DOBELBOWER, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	80.0	2.00	0.00	2.00
09/01/2017	MITCHELL, DAVID	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	18.34	80.0	14.67	0.00	14.67
09/01/2017	FOX, JERALDINE	AM FUNDS FUNDAMENTAL INVESTORS-F1	0.00	4.14	80.0	3.31	0.00	3.31
09/01/2017	NEWBERN, KAYLI	AM FUNDS GROWTH FUND OF AMERICA	0.00	45.11	80.0	36.09	0.00	36.09
09/01/2017	LAWTON, RONALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.45	80.0	3.56	0.00	3.56
09/01/2017	NEWBERN, KAYLI	AM FUNDS ICA 529A	0.00	2.88	80.0	2.30	0.00	2.30
09/01/2017	BENNETT, MARLA	AM FUNDS NEW ECONOMY A	0.00	7.08	80.0	5.66	0.00	5.66
09/01/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	0.00	12.98	80.0	10.38	0.00	10.38
09/01/2017	BENNETT, BROOKLYNN	AM FUNDS NEW ECONOMY A	0.00	0.73	80.0	0.58	0.00	0.58
09/01/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	0.00	3.74	80.0	2.99	0.00	2.99
09/01/2017	LAWTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	0.00	0.34	80.0	0.27	0.00	0.27
09/01/2017	SIMS, SAMANTHA	AM FUNDS NEW ECONOMY A	0.00	7.31	80.0	5.85	0.00	5.85
09/01/2017	BENNETT, BRIAH	AM FUNDS NEW ECONOMY C	0.00	2.88	80.0	2.30	0.00	2.30
09/01/2017	BENNETT, MARLA	AM FUNDS NEW PERSPECTIVE A	0.00	12.25	80.0	9.80	0.00	9.80
09/01/2017	BENNETT, BROOKLYNN	AM FUNDS NEW PERSPECTIVE A	0.00	1.09	80.0	0.87	0.00	0.87

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/01/2017	LAWTON, RONALD	AM FUNDS NEW PERSPECTIVE A	0.00	7.81	80.0	6.25	0.00	6.25
09/01/2017	BENNETT, BRIAH	AM FUNDS NEW PERSPECTIVE C	0.00	4.31	80.0	3.45	0.00	3.45
09/01/2017	MILLER, RILEY	AM FUNDS NEW PERSPECTIVE C	0.00	1.77	80.0	1.42	0.00	1.42
09/20/2017	MILLER, RILEY	AM FUNDS NEW PERSPECTIVE C	50.00	0.50	80.0	0.40	0.00	0.40
09/01/2017	BENNETT, BROOKLYNN	AM FUNDS NEW WORLD A	0.00	0.74	80.0	0.59	0.00	0.59
09/01/2017	MITCHELL, DAVID	AM FUNDS NEW WORLD C	0.00	13.12	80.0	10.50	0.00	10.50
09/01/2017	BENNETT, BRIAH	AM FUNDS NEW WORLD C	0.00	2.93	80.0	2.34	0.00	2.34
09/01/2017	BENNETT, MARLA	AM FUNDS SMALLCAP WORLD A	0.00	9.95	80.0	7.96	0.00	7.96
09/01/2017	LAWTON, RONALD	AM FUNDS SMALLCAP WORLD A	0.00	1.58	80.0	1.26	0.00	1.26
09/01/2017	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL	0.00	2.11	80.0	1.69	0.00	1.69
09/01/2017	FOX, JERALDINE	AM FUNDS WASHINGTON MUTUAL	0.00	22.63	80.0	18.10	0.00	18.10
09/01/2017	FOX, JERALDINE	AM FUNDS WASHINGTON MUTUAL	0.00	0.87	80.0	0.70	0.00	0.70
09/15/2017	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL	100.00	5.00	80.0	4.00	0.00	4.00
/ /	WHITETREE, THOMAS	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUFFMAN, KRISTOPHER	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.15	80.0	0.12	0.00	0.12
/ /	LIVELY, ELIZABETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HARRALD, DONALD	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	BACON-HILL, MICHELE	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	TREADWAY, TOMMY	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	DOWNING, JOHN	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.09	80.0	0.07	0.00	0.07
/ /	ACOSTA, JF	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	MELTON, JOY	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BENSON, KARLA	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DESHIELDS, JAMES	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	MCFERRON, LINDA	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	VOCI, ROBERTO	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.06	80.0	0.05	0.00	0.05
/ /	WILLIAMS, JACKIE	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.07	80.0	0.06	0.00	0.06

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	POTTER, G	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, RK	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON, RICHARD	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.31	80.0	0.25	0.00	0.25
/ /	HOLMAN, MEEGAN	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	ROLLINS, LISA	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.09	80.0	0.07	0.00	0.07
/ /	TREADWAY, PAMELA	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.29	80.0	0.23	0.00	0.23
/ /	TREADWAY, TOMMY	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.56	80.0	0.45	0.00	0.45
/ /	DOWNING, JOHN	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	1.26	80.0	1.01	0.00	1.01
/ /	ACOSTA, JF	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.10	80.0	0.08	0.00	0.08
/ /	MELTON, JOY	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.21	80.0	0.17	0.00	0.17
/ /	BENSON, KARLA	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.08	80.0	0.06	0.00	0.06
/ /	DESHIELDS, JAMES	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.07	80.0	0.06	0.00	0.06
/ /	MCFERRON, LINDA	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.35	80.0	0.28	0.00	0.28
/ /	VOCI, ROBERTO	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.83	80.0	0.66	0.00	0.66
/ /	WILLIAMS, JACKIE	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	1.04	80.0	0.83	0.00	0.83
/ /	WRIGHT, MARK	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.11	80.0	0.09	0.00	0.09
/ /	POTTER, RK	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.10	80.0	0.08	0.00	0.08
/ /	ARRINGTON, NANCY	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HOLMAN, KENLEE	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HOLMAN, MEEGAN	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.20	80.0	0.16	0.00	0.16
/ /	ROLLINS, LISA	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	TREADWAY, PAMELA	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.15	80.0	0.12	0.00	0.12
/ /	TREADWAY, TOMMY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.28	80.0	0.22	0.00	0.22
/ /	DOWNING, JOHN	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.63	80.0	0.50	0.00	0.50
/ /	ACOSTA, JF	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	MELTON, JOY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BENSON, KARLA	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	DESHIELDS, JAMES	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	MCFERRON, LINDA	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.17	80.0	0.14	0.00	0.14
/ /	VOCI, ROBERTO	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.41	80.0	0.33	0.00	0.33

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	WILLIAMS, JACKIE	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.52	80.0	0.42	0.00	0.42
/ /	WRIGHT, MARK	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POTTER, RK	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	ARRINGTON, NANCY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.10	80.0	0.08	0.00	0.08
/ /	WHITETREE, THOMAS	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUFFMAN, KRISTOPHER	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.14	80.0	0.11	0.00	0.11
/ /	LIVELY, ELIZABETH	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HARRALD, DONALD	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.06	80.0	0.05	0.00	0.05
09/15/2017	TAYLOR, RANDALL	PAC LIFE PORT OPTIM MOD AGG	1,250.00	20.00	80.0	16.00	0.00	16.00
09/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 100-A	6.75	0.07	80.0	0.06	0.00	0.06
09/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 300-A	6.75	0.07	80.0	0.06	0.00	0.06
09/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 500-A	6.75	0.34	80.0	0.27	0.00	0.27
09/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 700-A	6.75	0.34	80.0	0.27	0.00	0.27
09/05/2017	MYERS, JON	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
09/06/2017	SNYDER, LESTER	PUTNAM SMALL CAP GROWTH-C	100.00	1.00	80.0	0.80	0.00	0.80
/ /	ROLLINS, LISA	RYDEX DOW 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX DOW 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	TREADWAY, PAMELA	RYDEX DOW 2X STRATEGY C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	TREADWAY, TOMMY	RYDEX DOW 2X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	DOWNING, JOHN	RYDEX DOW 2X STRATEGY C	0.00	0.67	80.0	0.54	0.00	0.54
/ /	ACOSTA, JF	RYDEX DOW 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	MELTON, JOY	RYDEX DOW 2X STRATEGY C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	BENSON, KARLA	RYDEX DOW 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	DESHIELDS, JAMES	RYDEX DOW 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	MCFERRON, LINDA	RYDEX DOW 2X STRATEGY C	0.00	0.18	80.0	0.14	0.00	0.14

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	VOCI, ROBERTO	RYDEX DOW 2X STRATEGY C	0.00	0.43	80.0	0.34	0.00	0.34
/ /	WILLIAMS, JACKIE	RYDEX DOW 2X STRATEGY C	0.00	0.54	80.0	0.43	0.00	0.43
/ /	WRIGHT, MARK	RYDEX DOW 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX DOW 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POTTER, RK	RYDEX DOW 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	ARRINGTON, NANCY	RYDEX DOW 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX DOW 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX DOW 2X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	CASTLE, DAVID	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HUFFMAN, KRISTOPHER	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.02	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.01	80.0	0.01	0.00	0.01
/ /	WHITETREE, THOMAS	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.29	80.0	0.23	0.00	0.23
/ /	LIVELY, ELIZABETH	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	LIVELY, DUSTIN	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HUNTER, TIMOTHY	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	PUCKETT, CHERI	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HARRALD, DONALD	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	BACON, RICHARD	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.28	80.0	0.22	0.00	0.22
/ /	BACON, RICHARD	RYDEX GUGG ELECTRONICS C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	WHITETREE, THOMAS	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.18	80.0	0.14	0.00	0.14
/ /	CASTLE, DAVID	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.33	80.0	0.26	0.00	0.26
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	1.20	80.0	0.96	0.00	0.96
/ /	LIVELY, ELIZABETH	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.28	80.0	0.22	0.00	0.22
/ /	LIVELY, DUSTIN	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.28	80.0	0.22	0.00	0.22
/ /	HUNTER, TIMOTHY	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.25	80.0	0.20	0.00	0.20
/ /	PUCKETT, CHERI	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.35	80.0	0.28	0.00	0.28
/ /	HARRALD, DONALD	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	SALLEE, MARY	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.46	80.0	0.37	0.00	0.37
/ /	ROLLINS, LISA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	BACON-HILL, MICHELE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.37	80.0	0.30	0.00	0.30
/ /	TREADWAY, PAMELA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	1.17	80.0	0.94	0.00	0.94
/ /	TREADWAY, TOMMY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	1.57	80.0	1.26	0.00	1.26
/ /	MORIELS, FELICIA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DOWNING, JOHN	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	5.27	80.0	4.22	0.00	4.22
/ /	ACOSTA, JF	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.41	80.0	0.33	0.00	0.33
/ /	MELTON, JOY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.86	80.0	0.69	0.00	0.69
/ /	BENSON, KARLA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.33	80.0	0.26	0.00	0.26
/ /	DESHIELDS, JAMES	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.37	80.0	0.30	0.00	0.30
/ /	MCFERRON, LINDA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	1.43	80.0	1.14	0.00	1.14
/ /	VOCI, ROBERTO	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	3.45	80.0	2.76	0.00	2.76
/ /	WILLIAMS, JACKIE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	4.30	80.0	3.44	0.00	3.44
/ /	WRIGHT, MARK	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	POTTER, G	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.43	80.0	0.34	0.00	0.34
/ /	POTTER, RK	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.42	80.0	0.34	0.00	0.34
/ /	ARRINGTON, NANCY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.29	80.0	0.23	0.00	0.23
/ /	HOLMAN, KENLEE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.25	80.0	0.20	0.00	0.20
/ /	HOLMAN, MEEGAN	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.82	80.0	0.66	0.00	0.66
/ /	ROLLINS, LISA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.08	80.0	0.06	0.00	0.06
/ /	TREADWAY, PAMELA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.25	80.0	0.20	0.00	0.20
/ /	TREADWAY, TOMMY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.49	80.0	0.39	0.00	0.39
/ /	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.11	80.0	0.89	0.00	0.89
/ /	ACOSTA, JF	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.09	80.0	0.07	0.00	0.07
/ /	MELTON, JOY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.18	80.0	0.14	0.00	0.14
/ /	BENSON, KARLA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.07	80.0	0.06	0.00	0.06
/ /	DESHIELDS, JAMES	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MCFERRON, LINDA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.30	80.0	0.24	0.00	0.24
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.72	80.0	0.58	0.00	0.58
/ /	WILLIAMS, JACKIE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.91	80.0	0.73	0.00	0.73
/ /	WRIGHT, MARK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.09	80.0	0.07	0.00	0.07
/ /	POTTER, RK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.09	80.0	0.07	0.00	0.07

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	BACON, RICHARD	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.25	80.0	1.00	0.00	1.00
/ /	ARRINGTON, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HOLMAN, KENLEE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, MEEGAN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.17	80.0	0.14	0.00	0.14
09/15/2017	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	300.00	3.00	80.0	2.40	0.00	2.40
09/20/2017	ARRINGTON, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	100.00	1.00	80.0	0.80	0.00	0.80
/ /	CASTLE, DAVID	RYDEX GUGG PRECIOUS METALS A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG PRECIOUS METALS A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX GUGG PRECIOUS METALS A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX GUGG PRECIOUS METALS A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG PRECIOUS METALS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX GUGG PRECIOUS METALS C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	TREADWAY, TOMMY	RYDEX GUGG PRECIOUS METALS C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	DOWNING, JOHN	RYDEX GUGG PRECIOUS METALS C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	ACOSTA, JF	RYDEX GUGG PRECIOUS METALS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	MELTON, JOY	RYDEX GUGG PRECIOUS METALS C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BENSON, KARLA	RYDEX GUGG PRECIOUS METALS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DESHIELDS, JAMES	RYDEX GUGG PRECIOUS METALS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	MCFERRON, LINDA	RYDEX GUGG PRECIOUS METALS C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	VOCI, ROBERTO	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	WILLIAMS, JACKIE	RYDEX GUGG PRECIOUS METALS C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POTTER, G	RYDEX GUGG PRECIOUS METALS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, RK	RYDEX GUGG PRECIOUS METALS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, MEEGAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	ROLLINS, LISA	RYDEX GUGG UTILITIES C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG UTILITIES C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	TREADWAY, PAMELA	RYDEX GUGG UTILITIES C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	TREADWAY, TOMMY	RYDEX GUGG UTILITIES C	0.00	0.34	80.0	0.27	0.00	0.27
/ /	DOWNING, JOHN	RYDEX GUGG UTILITIES C	0.00	0.77	80.0	0.62	0.00	0.62
/ /	ACOSTA, JF	RYDEX GUGG UTILITIES C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MELTON, JOY	RYDEX GUGG UTILITIES C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	BENSON, KARLA	RYDEX GUGG UTILITIES C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	DESHIELDS, JAMES	RYDEX GUGG UTILITIES C	0.00	0.04	80.0	0.03	0.00	0.03

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	MCFERRON, LINDA	RYDEX GUGG UTILITIES C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	VOCI, ROBERTO	RYDEX GUGG UTILITIES C	0.00	0.50	80.0	0.40	0.00	0.40
/ /	WILLIAMS, JACKIE	RYDEX GUGG UTILITIES C	0.00	0.63	80.0	0.50	0.00	0.50
/ /	WRIGHT, MARK	RYDEX GUGG UTILITIES C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG UTILITIES C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	POTTER, RK	RYDEX GUGG UTILITIES C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	ARRINGTON, NANCY	RYDEX GUGG UTILITIES C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX GUGG UTILITIES C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX GUGG UTILITIES C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	WHITETREE, THOMAS	RYDEX GUGG VELOCITY 100 A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX GUGG VELOCITY 100 A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG VELOCITY 100 A	0.00	0.25	80.0	0.20	0.00	0.20
/ /	LIVELY, ELIZABETH	RYDEX GUGG VELOCITY 100 A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	LIVELY, DUSTIN	RYDEX GUGG VELOCITY 100 A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUNTER, TIMOTHY	RYDEX GUGG VELOCITY 100 A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	PUCKETT, CHERI	RYDEX GUGG VELOCITY 100 A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HARRALD, DONALD	RYDEX GUGG VELOCITY 100 A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG VELOCITY 100 A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	KINNEY, ERICK	RYDEX GUGGENHEIM NASDAQ-100-A	0.00	0.26	80.0	0.21	0.00	0.21
/ /	WHITETREE, THOMAS	RYDEX GUGGENHEIM UTILITIES A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGGENHEIM UTILITIES A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGGENHEIM UTILITIES A	0.00	0.17	80.0	0.14	0.00	0.14
/ /	LIVELY, ELIZABETH	RYDEX GUGGENHEIM UTILITIES A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	LIVELY, DUSTIN	RYDEX GUGGENHEIM UTILITIES A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUNTER, TIMOTHY	RYDEX GUGGENHEIM UTILITIES A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	PUCKETT, CHERI	RYDEX GUGGENHEIM UTILITIES A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HARRALD, DONALD	RYDEX GUGGENHEIM UTILITIES A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGGENHEIM UTILITIES A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	ROLLINS, LISA	RYDEX INVERSE DYNAMIC DOW C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX INVERSE DYNAMIC DOW C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	TREADWAY, PAMELA	RYDEX INVERSE DYNAMIC DOW C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	TREADWAY, TOMMY	RYDEX INVERSE DYNAMIC DOW C	0.00	0.44	80.0	0.35	0.00	0.35
/ /	DOWNING, JOHN	RYDEX INVERSE DYNAMIC DOW C	0.00	1.03	80.0	0.82	0.00	0.82

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	ACOSTA, JF	RYDEX INVERSE DYNAMIC DOW C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	MELTON, JOY	RYDEX INVERSE DYNAMIC DOW C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	BENSON, KARLA	RYDEX INVERSE DYNAMIC DOW C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	DESHIELDS, JAMES	RYDEX INVERSE DYNAMIC DOW C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MCFERRON, LINDA	RYDEX INVERSE DYNAMIC DOW C	0.00	0.28	80.0	0.22	0.00	0.22
/ /	VOCI, ROBERTO	RYDEX INVERSE DYNAMIC DOW C	0.00	0.67	80.0	0.54	0.00	0.54
/ /	WILLIAMS, JACKIE	RYDEX INVERSE DYNAMIC DOW C	0.00	0.79	80.0	0.63	0.00	0.63
/ /	WRIGHT, MARK	RYDEX INVERSE DYNAMIC DOW C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX INVERSE DYNAMIC DOW C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX INVERSE DYNAMIC DOW C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	ARRINGTON, NANCY	RYDEX INVERSE DYNAMIC DOW C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, KENLEE	RYDEX INVERSE DYNAMIC DOW C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, MEEGAN	RYDEX INVERSE DYNAMIC DOW C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	WHITETREE, THOMAS	RYDEX INVERSE RUSSELL 2000 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX INVERSE RUSSELL 2000 2X	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUFFMAN, KRISTOPHER	RYDEX INVERSE RUSSELL 2000 2X	0.00	0.22	80.0	0.18	0.00	0.18
/ /	LIVELY, ELIZABETH	RYDEX INVERSE RUSSELL 2000 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	LIVELY, DUSTIN	RYDEX INVERSE RUSSELL 2000 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUNTER, TIMOTHY	RYDEX INVERSE RUSSELL 2000 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	PUCKETT, CHERI	RYDEX INVERSE RUSSELL 2000 2X	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HARRALD, DONALD	RYDEX INVERSE RUSSELL 2000 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX INVERSE RUSSELL 2000 2X	0.00	0.09	80.0	0.07	0.00	0.07
/ /	BACON, RICHARD	RYDEX JAPAN 2X STRATEGY C	0.00	0.27	80.0	0.22	0.00	0.22
/ /	ROLLINS, LISA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	BACON-HILL, MICHELE	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.18	80.0	0.14	0.00	0.14
/ /	TREADWAY, PAMELA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.44	80.0	0.35	0.00	0.35
/ /	TREADWAY, TOMMY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.93	80.0	0.74	0.00	0.74
/ /	DOWNING, JOHN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	2.58	80.0	2.06	0.00	2.06
/ /	ACOSTA, JF	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.20	80.0	0.16	0.00	0.16
/ /	MELTON, JOY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.43	80.0	0.34	0.00	0.34
/ /	BENSON, KARLA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.16	80.0	0.13	0.00	0.13
/ /	DESHIELDS, JAMES	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.18	80.0	0.14	0.00	0.14
/ /	MCFERRON, LINDA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.70	80.0	0.56	0.00	0.56

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	VOCI, ROBERTO	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	1.70	80.0	1.36	0.00	1.36
/ /	WILLIAMS, JACKIE	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	1.81	80.0	1.45	0.00	1.45
/ /	WRIGHT, MARK	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.21	80.0	0.17	0.00	0.17
/ /	POTTER, RK	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.21	80.0	0.17	0.00	0.17
/ /	BACON, RICHARD	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.32	80.0	0.26	0.00	0.26
/ /	ARRINGTON, NANCY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HOLMAN, KENLEE	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HOLMAN, MEEGAN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.40	80.0	0.32	0.00	0.32
/ /	WHITETREE, THOMAS	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.08	80.0	0.06	0.00	0.06
/ /	CASTLE, DAVID	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.16	80.0	0.13	0.00	0.13
/ /	HUFFMAN, KRISTOPHER	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.53	80.0	0.42	0.00	0.42
/ /	LIVELY, ELIZABETH	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.14	80.0	0.11	0.00	0.11
/ /	LIVELY, DUSTIN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.14	80.0	0.11	0.00	0.11
/ /	HUNTER, TIMOTHY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.12	80.0	0.10	0.00	0.10
/ /	PUCKETT, CHERI	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.18	80.0	0.14	0.00	0.14
/ /	HARRALD, DONALD	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	SALLEE, MARY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.23	80.0	0.18	0.00	0.18
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$2,077.00</b>	<b>\$358.44</b>		<b>\$286.79</b>	<b>\$0.00</b>	<b>\$286.79</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/05/2017	STINSON, BRADLEY	PAC LIFE INNOVATIVE SELECT	0.00	5.00	80.0	4.00	0.00	4.00
09/05/2017	HOLLAND, CANDACE	PAC LIFE VALUE	0.00	8.24	80.0	6.59	0.00	6.59
09/05/2017	BROMLOW, NITA	PAC LIFE VALUE	0.00	7.37	80.0	5.90	0.00	5.90
09/05/2017	JOHNSON, KATHRYN	PAC LIFE VALUE	0.00	7.00	80.0	5.60	0.00	5.60
09/05/2017	DALE RARICK, KIMBERLY	PAC LIFE VALUE	0.00	5.29	80.0	4.23	0.00	4.23
09/07/2017	COLWELL, JEREMY	PAC LIFE VALUE	0.00	152.61	80.0	122.09	0.00	122.09
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$185.51</b>		<b>\$148.41</b>	<b>\$0.00</b>	<b>\$148.41</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
09/13/2017	STANFORD, DAVID	ING VOYA MARATHON PLUS	0.00	1.09	80.0	0.87	0.00	0.87
09/11/2017	ARRINGTON, NANCY	PAC LIFE INNOVATIVE SELECT	0.00	32.04	80.0	25.63	0.00	25.63
09/18/2017	STEAD, ROBIN	PAC LIFE INNOVATIVE SELECT	0.00	48.03	80.0	38.42	0.00	38.42
09/18/2017	HOLMAN, MEEGAN	PAC LIFE INNOVATIVE SELECT	0.00	3.06	80.0	2.45	0.00	2.45

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
09/18/2017	HOLMAN, TIMOTHY	PAC LIFE INNOVATIVE SELECT	0.00	3.74	80.0	2.99	0.00	2.99
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$87.96		\$70.36	\$0.00	\$70.36
		*** BROKER TRANSACTIONS TOTAL ***	\$2,077.00	\$631.91		\$505.56	\$0.00	\$505.56

**BROKER COMMISSION TOTALS**

Broker Transactions	\$505.56
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$505.56
Year-to-date Gross	\$50,495.50
Year-to-date Earnings	\$39,541.78

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed