

All Companies  
**STATEMENT**  
**July 20, 2018**

**NORM PIETTE**  
2911 Su Siempre Place  
SUITE 620  
Escondido, CA 92025

**BROKER #: 143**  
**BRANCH:**

COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
06/05/2018	#01440268678	AZCONA, VALERIE FA 529 PORT 2025-CL A	50.00	1.50	93.0	0.00	1.40	0.00	1.40
06/15/2018	#00086988893	S TRUST CO R/O AMCAP FUND-529A	50.00	1.38	93.0	0.00	1.28	0.00	1.28
06/15/2018	#00086988893	S TRUST CO R/O CAPITAL WORLD BOND FUND-529A	50.00	1.38	93.0	0.00	1.28	0.00	1.28
06/18/2018	#00088670069	AMCAP FUND INC CLASS A	300.00	6.00	93.0	0.00	5.58	0.00	5.58
06/18/2018	#01475349922	SMITH, JOSH FIDELITY ADV INDUSTRIALS-CL C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
06/18/2018	#01479116327	JACOBS, DUSTIN FIDELITY ADV NEW INSIGHTS-CL A	225.00	6.19	93.0	0.00	5.76	0.00	5.76
06/18/2018	#00067617189	MERRILL, TODD GROWTH FUND AMERICA INC CLASS A	450.00	9.00	93.0	0.00	8.37	0.00	8.37
06/18/2018	#00082724941	LAKE, JOSHUA GROWTH FUND AMERICA INC CLASS A	150.00	3.00	93.0	0.00	2.79	0.00	2.79
06/21/2018	#01425568712	MERRILL, TODD FIDELITY ADV NEW INSIGHTS-CL A	250.00	6.88	93.0	0.00	6.40	0.00	6.40
06/29/2018	#00088670069	AMCAP FUND INC CLASS A	100.00	2.00	93.0	0.00	1.86	0.00	1.86
06/29/2018	#00082724941	LAKE, JOSHUA GROWTH FUND AMERICA INC CLASS A	50.00	1.00	93.0	0.00	0.93	0.00	0.93
06/29/2018	#00067617189	MERRILL, TODD GROWTH FUND AMERICA INC CLASS A	150.00	3.00	93.0	0.00	2.79	0.00	2.79
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,925.00</b>	<b>\$42.33</b>		<b>\$0.00</b>	<b>\$39.37</b>	<b>\$0.00</b>	<b>\$39.37</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
06/30/2018	#1014333661	WESTFALL, TERRI PERSP II 09/12	60,136.86	25.06	93.0	0.00	23.31	0.00	23.31
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$60,136.86</b>	<b>\$25.06</b>		<b>\$0.00</b>	<b>\$23.31</b>	<b>\$0.00</b>	<b>\$23.31</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
06/01/2018	#00067170085	SULLIVAN, AMCAP FUND INC CLASS A	0.00	25.20	93.0	0.00	23.44	0.00	23.44
06/01/2018	#00067874643	SULLIVAN, AMCAP FUND INC CLASS A	0.00	40.85	93.0	0.00	37.99	0.00	37.99
06/01/2018	#00073844264	SKALKA, YVETTE AMCAP FUND INC CLASS A	0.00	3.63	93.0	0.00	3.38	0.00	3.38
06/01/2018	#00074041373	HOROWITZ, LEE AMCAP FUND INC CLASS A	0.00	16.21	93.0	0.00	15.08	0.00	15.08
06/01/2018	#00075396124	PIETTE, RAOUL AMCAP FUND INC CLASS A	0.00	7.25	93.0	0.00	6.74	0.00	6.74
06/01/2018	#00075396163	PIETTE, BARBARA AMCAP FUND INC CLASS A	0.00	7.18	93.0	0.00	6.68	0.00	6.68
06/01/2018	#00085515224	KANODE, TIMOTHY AMCAP FUND INC CLASS A	0.00	8.16	93.0	0.00	7.59	0.00	7.59
06/01/2018	#00086057920	GLASER, WILLIAM AMCAP FUND INC CLASS A	0.00	11.74	93.0	0.00	10.92	0.00	10.92
06/01/2018	#00087642427	WESTFALL, FRED AMCAP FUND INC CLASS A	0.00	13.21	93.0	0.00	12.29	0.00	12.29
06/01/2018	#00088043003	LOCATELLI, AMCAP FUND INC CLASS A	0.00	3.75	93.0	0.00	3.49	0.00	3.49

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00088307894	AZCONA, ALAIN	AMCAP FUND INC CLASS A	0.00	20.96	93.0	0.00	19.49	19.49
06/01/2018	#00088485639	SKALKA, YVETTE	AMCAP FUND INC CLASS A	0.00	3.33	93.0	0.00	3.10	3.10
06/01/2018	#00088670069		AMCAP FUND INC CLASS A	0.00	3.34	93.0	0.00	3.11	3.11
06/01/2018	#00089286851		AMCAP FUND INC CLASS A	0.00	8.01	93.0	0.00	7.45	7.45
06/01/2018	#00089286872		AMCAP FUND INC CLASS A	0.00	8.02	93.0	0.00	7.46	7.46
06/01/2018	#00089286881		AMCAP FUND INC CLASS A	0.00	7.70	93.0	0.00	7.16	7.16
06/01/2018	#04000165808	PIETTE, RAOUL	AMCAP FUND INC CLASS A	0.00	0.81	93.0	0.00	0.75	0.75
06/01/2018	#00086988893	S TRUST CO R/O	AMCAP FUND-529A	0.00	1.70	93.0	0.00	1.58	1.58
06/01/2018	#00087004800	HOROWITZ	AMCAP FUND-529A	0.00	1.83	93.0	0.00	1.70	1.70
06/01/2018	#00066735063	07/14/2008, UDT	AMERICAN BALANCED FUND INC CLASS A	0.00	11.58	93.0	0.00	10.77	10.77
06/01/2018	#00067617189	MERRILL, TODD	AMERICAN BALANCED FUND INC CLASS A	0.00	70.26	93.0	0.00	65.34	65.34
06/01/2018	#00067797110	WESTFALL, FRED	AMERICAN BALANCED FUND INC CLASS A	0.00	57.81	93.0	0.00	53.76	53.76
06/01/2018	#00068725121	ANDOW-HEGLE,	AMERICAN BALANCED FUND INC CLASS A	0.00	78.34	93.0	0.00	72.86	72.86
06/01/2018	#00073605349	KREIGER, ROBERT	AMERICAN BALANCED FUND INC CLASS A	0.00	10.06	93.0	0.00	9.36	9.36
06/01/2018	#00073679183	KREIGER, ROBERT	AMERICAN BALANCED FUND INC CLASS A	0.00	4.70	93.0	0.00	4.37	4.37
06/01/2018	#00074504463	HEGLE, RODNEY	AMERICAN BALANCED FUND INC CLASS A	0.00	25.10	93.0	0.00	23.34	23.34
06/01/2018	#00081138051	WESTFALL, FRED	AMERICAN BALANCED FUND INC CLASS A	0.00	42.71	93.0	0.00	39.72	39.72
06/01/2018	#00081138294	WESTFALL, TERRI	AMERICAN BALANCED FUND INC CLASS A	0.00	30.82	93.0	0.00	28.66	28.66
06/01/2018	#00081782394		AMERICAN BALANCED FUND INC CLASS A	0.00	7.39	93.0	0.00	6.87	6.87
06/01/2018	#00082214617	BOSSALLER, EARL	AMERICAN BALANCED FUND INC CLASS A	0.00	16.96	93.0	0.00	15.77	15.77
06/01/2018	#00083419629	REIDER, MICHAEL	AMERICAN BALANCED FUND INC CLASS A	0.00	15.70	93.0	0.00	14.60	14.60
06/01/2018	#00083419645	REIDER, MICHAEL	AMERICAN BALANCED FUND INC CLASS A	0.00	34.92	93.0	0.00	32.48	32.48
06/01/2018	#00089286851		AMERICAN BALANCED FUND INC CLASS A	0.00	44.16	93.0	0.00	41.07	41.07
06/01/2018	#00089286872		AMERICAN BALANCED FUND INC CLASS A	0.00	44.21	93.0	0.00	41.12	41.12
06/01/2018	#00089286881		AMERICAN BALANCED FUND INC CLASS A	0.00	42.35	93.0	0.00	39.39	39.39
06/01/2018	#00089577414	PIETTE, SHARON	AMERICAN BALANCED FUND INC CLASS A	0.00	3.71	93.0	0.00	3.45	3.45
06/01/2018	#00089578265		AMERICAN BALANCED FUND INC CLASS A	0.00	1.83	93.0	0.00	1.70	1.70
06/01/2018	#00089761196		AMERICAN BALANCED FUND INC CLASS A	0.00	12.41	93.0	0.00	11.54	11.54
06/01/2018	#04000031035		AMERICAN BALANCED FUND INC CLASS A	0.00	1.15	93.0	0.00	1.07	1.07
06/01/2018	#00073844264	SKALKA, YVETTE	AMERICAN FUNDS INCOME SERIES U S GO	0.00	8.12	93.0	0.00	7.55	7.55
06/01/2018	#00088485639	SKALKA, YVETTE	AMERICAN FUNDS INCOME SERIES U S GO	0.00	7.84	93.0	0.00	7.29	7.29
06/01/2018	#00067170085	SULLIVAN,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	8.69	93.0	0.00	8.08	8.08
06/01/2018	#00067797110	WESTFALL, FRED	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.04	93.0	0.00	1.90	1.90
06/01/2018	#00067874643	SULLIVAN,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	12.57	93.0	0.00	11.69	11.69
06/01/2018	#00081138051	WESTFALL, FRED	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.72	93.0	0.00	1.60	1.60
06/01/2018	#00081138294	WESTFALL, TERRI	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.60	93.0	0.00	1.49	1.49

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00081549795 NELSON, GERALD	AMERICAN HIGH INCOME TR SH BEN INT	0.00	17.94	93.0	0.00	16.68	0.00	16.68
06/01/2018	#00083721903 WRAY, PATRICKA	AMERICAN HIGH INCOME TR SH BEN INT	0.00	11.56	93.0	0.00	10.75	0.00	10.75
06/01/2018	#00088043003 LOCATELLI,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.96	93.0	0.00	1.82	0.00	1.82
06/01/2018	#00089286851	AMERICAN HIGH INCOME TR SH BEN INT	0.00	4.15	93.0	0.00	3.86	0.00	3.86
06/01/2018	#00089286872	AMERICAN HIGH INCOME TR SH BEN INT	0.00	4.15	93.0	0.00	3.86	0.00	3.86
06/01/2018	#00089286881	AMERICAN HIGH INCOME TR SH BEN INT	0.00	3.98	93.0	0.00	3.70	0.00	3.70
06/01/2018	#04000165808 PIETTE, RAOUL	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.67	93.0	0.00	0.62	0.00	0.62
06/01/2018	#00081549795 NELSON, GERALD	BOND FUND OF AMERICA INC CL A	0.00	20.44	93.0	0.00	19.01	0.00	19.01
06/01/2018	#00083721903 WRAY, PATRICKA	BOND FUND OF AMERICA INC CL A	0.00	13.09	93.0	0.00	12.17	0.00	12.17
06/01/2018	#00086057920 GLASER, WILLIAM	BOND FUND OF AMERICA INC CL A	0.00	9.05	93.0	0.00	8.42	0.00	8.42
06/01/2018	#00089286851	BOND FUND OF AMERICA INC CL A	0.00	3.07	93.0	0.00	2.86	0.00	2.86
06/01/2018	#00089286872	BOND FUND OF AMERICA INC CL A	0.00	3.07	93.0	0.00	2.86	0.00	2.86
06/01/2018	#00089286881	BOND FUND OF AMERICA INC CL A	0.00	2.94	93.0	0.00	2.73	0.00	2.73
06/01/2018	#00073679183 KREIGER, ROBERT	CAPITAL INCOME BUILDER FD CL A	0.00	15.14	93.0	0.00	14.08	0.00	14.08
06/01/2018	#00081549795 NELSON, GERALD	CAPITAL INCOME BUILDER FD CL A	0.00	21.01	93.0	0.00	19.54	0.00	19.54
06/01/2018	#00082214617 BOSSALLER, EARL	CAPITAL INCOME BUILDER FD CL A	0.00	33.16	93.0	0.00	30.84	0.00	30.84
06/01/2018	#00083721903 WRAY, PATRICKA	CAPITAL INCOME BUILDER FD CL A	0.00	18.88	93.0	0.00	17.56	0.00	17.56
06/01/2018	#00086988893 S TRUST CO R/O	CAPITAL WORLD BOND FUND-529A	0.00	1.48	93.0	0.00	1.38	0.00	1.38
06/01/2018	#00087004800 HOROWITZ	CAPITAL WORLD BOND FUND-529A	0.00	1.09	93.0	0.00	1.01	0.00	1.01
06/01/2018	#00067170085 SULLIVAN,	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	16.49	93.0	0.00	15.34	0.00	15.34
06/01/2018	#00067797110 WESTFALL, FRED	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	1.70	93.0	0.00	1.58	0.00	1.58
06/01/2018	#00067874643 SULLIVAN,	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	24.46	93.0	0.00	22.75	0.00	22.75
06/01/2018	#00073679183 KREIGER, ROBERT	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	9.89	93.0	0.00	9.20	0.00	9.20
06/01/2018	#00073844264 SKALKA, YVETTE	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	4.46	93.0	0.00	4.15	0.00	4.15
06/01/2018	#00074041373 HOROWITZ, LEE	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	16.56	93.0	0.00	15.40	0.00	15.40
06/01/2018	#00074504463 HEGLE, RODNEY	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	3.22	93.0	0.00	2.99	0.00	2.99
06/01/2018	#00074899870 KANODE, FRANCES	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	3.72	93.0	0.00	3.46	0.00	3.46
06/01/2018	#00075396124 PIETTE, RAOUL	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	5.08	93.0	0.00	4.72	0.00	4.72
06/01/2018	#00075396163 PIETTE, BARBARA	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	5.04	93.0	0.00	4.69	0.00	4.69
06/01/2018	#00081138051 WESTFALL, FRED	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	1.43	93.0	0.00	1.33	0.00	1.33
06/01/2018	#00081138294 WESTFALL, TERRI	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	2.10	93.0	0.00	1.95	0.00	1.95
06/01/2018	#00081549795 NELSON, GERALD	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	13.04	93.0	0.00	12.13	0.00	12.13
06/01/2018	#00081782394	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	3.30	93.0	0.00	3.07	0.00	3.07
06/01/2018	#00082839027 AZCONA, ALAIN	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	2.32	93.0	0.00	2.16	0.00	2.16
06/01/2018	#00082839237 AZCONA, VALERIE	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	2.94	93.0	0.00	2.73	0.00	2.73
06/01/2018	#00083280499 AZCONA, ALAIN	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	4.01	93.0	0.00	3.73	0.00	3.73

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00083419645	REIDER, MICHAEL	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	15.22	93.0	0.00	14.15	14.15
06/01/2018	#00083721903	WRAY, PATRICKA	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	11.59	93.0	0.00	10.78	10.78
06/01/2018	#00085515224	KANODE, TIMOTHY	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	6.16	93.0	0.00	5.73	5.73
06/01/2018	#00086057920	GLASER, WILLIAM	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	10.19	93.0	0.00	9.48	9.48
06/01/2018	#00087642427	WESTFALL, FRED	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	8.18	93.0	0.00	7.61	7.61
06/01/2018	#00088307894	AZCONA, ALAIN	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	3.75	93.0	0.00	3.49	3.49
06/01/2018	#00088485639	SKALKA, YVETTE	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	3.25	93.0	0.00	3.02	3.02
06/01/2018	#00089286851		CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	3.05	93.0	0.00	2.84	2.84
06/01/2018	#00089286872		CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	3.05	93.0	0.00	2.84	2.84
06/01/2018	#00089286881		CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	2.93	93.0	0.00	2.72	2.72
06/01/2018	#00089761196		CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	1.36	93.0	0.00	1.26	1.26
06/01/2018	#04000164504	PIETTE, SHARON	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	2.34	93.0	0.00	2.18	2.18
06/01/2018	#04000164518	PIETTE, SHARON	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.30	93.0	0.00	0.28	0.28
06/01/2018	#04000164647	PIETTE, RAOUL	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	2.37	93.0	0.00	2.20	2.20
06/01/2018	#04000165015	PIETTE, RAOUL	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.30	93.0	0.00	0.28	0.28
06/01/2018	#04000165808	PIETTE, RAOUL	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.66	93.0	0.00	0.61	0.61
06/01/2018	#00066735063	07/14/2008, UDT	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.14	93.0	0.00	1.99	1.99
06/01/2018	#00067170085	SULLIVAN,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	11.52	93.0	0.00	10.71	10.71
06/01/2018	#00067874643	SULLIVAN,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	16.60	93.0	0.00	15.44	15.44
06/01/2018	#00073679183	KREIGER, ROBERT	CAPITAL WORLD GROWTH & INCOME FUND	0.00	17.23	93.0	0.00	16.02	16.02
06/01/2018	#00074899870	KANODE, FRANCES	CAPITAL WORLD GROWTH & INCOME FUND	0.00	4.02	93.0	0.00	3.74	3.74
06/01/2018	#00075396124	PIETTE, RAOUL	CAPITAL WORLD GROWTH & INCOME FUND	0.00	5.31	93.0	0.00	4.94	4.94
06/01/2018	#00075396163	PIETTE, BARBARA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	5.26	93.0	0.00	4.89	4.89
06/01/2018	#00082214617	BOSSALLER, EARL	CAPITAL WORLD GROWTH & INCOME FUND	0.00	4.06	93.0	0.00	3.78	3.78
06/01/2018	#00082839027	AZCONA, ALAIN	CAPITAL WORLD GROWTH & INCOME FUND	0.00	3.99	93.0	0.00	3.71	3.71
06/01/2018	#00082839237	AZCONA, VALERIE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	3.72	93.0	0.00	3.46	3.46
06/01/2018	#00083280499	AZCONA, ALAIN	CAPITAL WORLD GROWTH & INCOME FUND	0.00	6.91	93.0	0.00	6.43	6.43
06/01/2018	#00085515224	KANODE, TIMOTHY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	7.41	93.0	0.00	6.89	6.89
06/01/2018	#00087964256	PIETTE, RAOUL	COLLEGE 2021 FUND-529A	0.00	3.37	93.0	0.00	3.13	3.13
06/01/2018	#00087964304	PIETTE, RAOUL	COLLEGE 2021 FUND-529A	0.00	3.37	93.0	0.00	3.13	3.13
06/01/2018	#00087964213	PIETTE, RAOUL	COLLEGE 2024 FUND 529A	0.00	3.56	93.0	0.00	3.31	3.31
06/01/2018	#00074041373	HOROWITZ, LEE	EURO PACIFIC GROWTH FUND CLASS A	0.00	6.86	93.0	0.00	6.38	6.38
06/01/2018	#00074504463	HEGLE, RODNEY	EURO PACIFIC GROWTH FUND CLASS A	0.00	3.79	93.0	0.00	3.52	3.52
06/01/2018	#00075396124	PIETTE, RAOUL	EURO PACIFIC GROWTH FUND CLASS A	0.00	3.06	93.0	0.00	2.85	2.85
06/01/2018	#00075396163	PIETTE, BARBARA	EURO PACIFIC GROWTH FUND CLASS A	0.00	3.03	93.0	0.00	2.82	2.82
06/01/2018	#00083419645	REIDER, MICHAEL	EURO PACIFIC GROWTH FUND CLASS A	0.00	14.30	93.0	0.00	13.30	13.30

COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00086057920	GLASER, WILLIAM	EURO PACIFIC GROWTH FUND CLASS A	0.00	11.21	93.0	0.00	10.43	10.43
06/01/2018	#00088043003	LOCATELLI,	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.81	93.0	0.00	1.68	1.68
06/01/2018	#00088670054	SALOMONE,	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.39	93.0	0.00	1.29	1.29
06/01/2018	#00089286851		EURO PACIFIC GROWTH FUND CLASS A	0.00	3.59	93.0	0.00	3.34	3.34
06/01/2018	#00089286872		EURO PACIFIC GROWTH FUND CLASS A	0.00	3.59	93.0	0.00	3.34	3.34
06/01/2018	#00089286881		EURO PACIFIC GROWTH FUND CLASS A	0.00	3.43	93.0	0.00	3.19	3.19
06/01/2018	#04000164504	PIETTE, SHARON	EURO PACIFIC GROWTH FUND CLASS A	0.00	4.52	93.0	0.00	4.20	4.20
06/01/2018	#04000164518	PIETTE, SHARON	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.57	93.0	0.00	0.53	0.53
06/01/2018	#04000165808	PIETTE, RAOUL	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.74	93.0	0.00	0.69	0.69
06/01/2018	#00086988893	S TRUST CO R/O	EUROPACIFIC GROWTH FUND-529A	0.00	1.41	93.0	0.00	1.31	1.31
06/01/2018	#00087004800	HOROWITZ	EUROPACIFIC GROWTH FUND-529A	0.00	1.66	93.0	0.00	1.54	1.54
06/01/2018	#00067170085	SULLIVAN,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	45.20	93.0	0.00	42.04	42.04
06/01/2018	#00067874643	SULLIVAN,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	67.00	93.0	0.00	62.31	62.31
06/01/2018	#00074041373	HOROWITZ, LEE	FUNDAMENTAL INVESTORS INC CLASS A	0.00	19.25	93.0	0.00	17.90	17.90
06/01/2018	#00074504463	HEGLE, RODNEY	FUNDAMENTAL INVESTORS INC CLASS A	0.00	5.32	93.0	0.00	4.95	4.95
06/01/2018	#00075396124	PIETTE, RAOUL	FUNDAMENTAL INVESTORS INC CLASS A	0.00	6.93	93.0	0.00	6.44	6.44
06/01/2018	#00075396163	PIETTE, BARBARA	FUNDAMENTAL INVESTORS INC CLASS A	0.00	6.87	93.0	0.00	6.39	6.39
06/01/2018	#00081782394		FUNDAMENTAL INVESTORS INC CLASS A	0.00	5.93	93.0	0.00	5.51	5.51
06/01/2018	#00082214617	BOSSALLER, EARL	FUNDAMENTAL INVESTORS INC CLASS A	0.00	14.35	93.0	0.00	13.35	13.35
06/01/2018	#00083419645	REIDER, MICHAEL	FUNDAMENTAL INVESTORS INC CLASS A	0.00	37.84	93.0	0.00	35.19	35.19
06/01/2018	#00085515224	KANODE, TIMOTHY	FUNDAMENTAL INVESTORS INC CLASS A	0.00	7.80	93.0	0.00	7.25	7.25
06/01/2018	#00087642427	WESTFALL, FRED	FUNDAMENTAL INVESTORS INC CLASS A	0.00	13.16	93.0	0.00	12.24	12.24
06/01/2018	#00088043003	LOCATELLI,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.45	93.0	0.00	4.14	4.14
06/01/2018	#00088307894	AZCONA, ALAIN	FUNDAMENTAL INVESTORS INC CLASS A	0.00	18.76	93.0	0.00	17.45	17.45
06/01/2018	#04000164504	PIETTE, SHARON	FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.19	93.0	0.00	2.97	2.97
06/01/2018	#04000164518	PIETTE, SHARON	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.41	93.0	0.00	0.38	0.38
06/01/2018	#04000164647	PIETTE, RAOUL	FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.23	93.0	0.00	3.00	3.00
06/01/2018	#04000165015	PIETTE, RAOUL	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.41	93.0	0.00	0.38	0.38
06/01/2018	#04000908502	LOCATELLI,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.02	93.0	0.00	0.95	0.95
06/01/2018	#00086988893	S TRUST CO R/O	FUNDAMENTAL INVESTORS-529A	0.00	1.34	93.0	0.00	1.25	1.25
06/01/2018	#00087004800	HOROWITZ	FUNDAMENTAL INVESTORS-529A	0.00	1.70	93.0	0.00	1.58	1.58
06/01/2018	#00067617189	MERRILL, TODD	GLOBAL BALANCED FUND-A	0.00	34.43	93.0	0.00	32.02	32.02
06/01/2018	#00068725121	ANDOW-HEGLE,	GLOBAL BALANCED FUND-A	0.00	53.53	93.0	0.00	49.78	49.78
06/01/2018	#00074504463	HEGLE, RODNEY	GLOBAL BALANCED FUND-A	0.00	18.12	93.0	0.00	16.85	16.85
06/01/2018	#00082214617	BOSSALLER, EARL	GLOBAL BALANCED FUND-A	0.00	17.02	93.0	0.00	15.83	15.83
06/01/2018	#00066735063	07/14/2008, UDT	GROWTH FUND AMERICA INC CLASS A	0.00	6.97	93.0	0.00	6.48	6.48

COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00067617189	MERRILL, TODD	GROWTH FUND AMERICA INC CLASS A	0.00	8.99	93.0	0.00	8.36	8.36
06/01/2018	#00073679183	KREIGER, ROBERT	GROWTH FUND AMERICA INC CLASS A	0.00	20.03	93.0	0.00	18.63	18.63
06/01/2018	#00074504463	HEGLE, RODNEY	GROWTH FUND AMERICA INC CLASS A	0.00	4.24	93.0	0.00	3.94	3.94
06/01/2018	#00074899870	KANODE, FRANCES	GROWTH FUND AMERICA INC CLASS A	0.00	5.60	93.0	0.00	5.21	5.21
06/01/2018	#00082214617	BOSSALLER, EARL	GROWTH FUND AMERICA INC CLASS A	0.00	6.96	93.0	0.00	6.47	6.47
06/01/2018	#00082724941	LAKE, JOSHUA	GROWTH FUND AMERICA INC CLASS A	0.00	0.77	93.0	0.00	0.72	0.72
06/01/2018	#00082839027	AZCONA, ALAIN	GROWTH FUND AMERICA INC CLASS A	0.00	5.55	93.0	0.00	5.16	5.16
06/01/2018	#00082839237	AZCONA, VALERIE	GROWTH FUND AMERICA INC CLASS A	0.00	5.17	93.0	0.00	4.81	4.81
06/01/2018	#00083280499	AZCONA, ALAIN	GROWTH FUND AMERICA INC CLASS A	0.00	9.67	93.0	0.00	8.99	8.99
06/01/2018	#00083406367	REIDER, MICHAEL	GROWTH FUND AMERICA INC CLASS A	0.00	29.35	93.0	0.00	27.30	27.30
06/01/2018	#00083419645	REIDER, MICHAEL	GROWTH FUND AMERICA INC CLASS A	0.00	9.46	93.0	0.00	8.80	8.80
06/01/2018	#00088670054	SALOMONE,	GROWTH FUND AMERICA INC CLASS A	0.00	3.21	93.0	0.00	2.99	2.99
06/01/2018	#04000164504	PIETTE, SHARON	GROWTH FUND AMERICA INC CLASS A	0.00	2.50	93.0	0.00	2.33	2.33
06/01/2018	#04000164518	PIETTE, SHARON	GROWTH FUND AMERICA INC CLASS A	0.00	0.31	93.0	0.00	0.29	0.29
06/01/2018	#04000164647	PIETTE, RAOUL	GROWTH FUND AMERICA INC CLASS A	0.00	2.52	93.0	0.00	2.34	2.34
06/01/2018	#04000165015	PIETTE, RAOUL	GROWTH FUND AMERICA INC CLASS A	0.00	0.31	93.0	0.00	0.29	0.29
06/01/2018	#00074899870	KANODE, FRANCES	INCOME FUND OF AMERICA INC CLASS A	0.00	5.31	93.0	0.00	4.94	4.94
06/01/2018	#00089761149		INCOME PORTFOLIO A	0.00	78.56	93.0	0.00	73.06	73.06
06/01/2018	#00088043003	LOCATELLI,	INFLATION LINKED BOND FUND-A	0.00	2.39	93.0	0.00	2.22	2.22
06/01/2018	#00073679183	KREIGER, ROBERT	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	8.76	93.0	0.00	8.15	8.15
06/01/2018	#00088307894	AZCONA, ALAIN	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	5.64	93.0	0.00	5.25	5.25
06/01/2018	#00089286851		INTERMEDIATE BOND FUND OF AMERICA-A	0.00	5.10	93.0	0.00	4.74	4.74
06/01/2018	#00089286872		INTERMEDIATE BOND FUND OF AMERICA-A	0.00	5.10	93.0	0.00	4.74	4.74
06/01/2018	#00089286881		INTERMEDIATE BOND FUND OF AMERICA-A	0.00	4.90	93.0	0.00	4.56	4.56
06/01/2018	#00089761196		INTERMEDIATE BOND FUND OF AMERICA-A	0.00	1.31	93.0	0.00	1.22	1.22
06/01/2018	#04000164647	PIETTE, RAOUL	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	2.15	93.0	0.00	2.00	2.00
06/01/2018	#04000165015	PIETTE, RAOUL	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.27	93.0	0.00	0.25	0.25
06/01/2018	#00074041373	HOROWITZ, LEE	INTERNATIONAL GROWTH AND INCOME-A	0.00	5.79	93.0	0.00	5.38	5.38
06/01/2018	#00073844264	SKALKA, YVETTE	INVESTMENT CO AMER CLASS A	0.00	3.44	93.0	0.00	3.20	3.20
06/01/2018	#00088485639	SKALKA, YVETTE	INVESTMENT CO AMER CLASS A	0.00	3.21	93.0	0.00	2.99	2.99
06/01/2018	#00073679183	KREIGER, ROBERT	NEW PERSPECTIVE FUND INC CL A	0.00	19.69	93.0	0.00	18.31	18.31
06/01/2018	#00086988893	S TRUST CO R/O	SHORT-TERM BOND FUND OF AMERICA-529	0.00	1.14	93.0	0.00	1.06	1.06
06/01/2018	#00087004800	HOROWITZ	SHORT-TERM BOND FUND OF AMERICA-529	0.00	1.04	93.0	0.00	0.97	0.97
06/01/2018	#00067170085	SULLIVAN,	SHORT-TERM BOND FUND OF AMERICA-A	0.00	14.26	93.0	0.00	13.26	13.26
06/01/2018	#00067617189	MERRILL, TODD	SHORT-TERM BOND FUND OF AMERICA-A	0.00	12.82	93.0	0.00	11.92	11.92
06/01/2018	#00067874643	SULLIVAN,	SHORT-TERM BOND FUND OF AMERICA-A	0.00	21.15	93.0	0.00	19.67	19.67

COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00068725121	ANDOW-HEGLE, SHORT-TERM BOND FUND OF AMERICA-A	0.00	4.45	93.0	0.00	4.14	0.00	4.14
06/01/2018	#00074041373	HOROWITZ, LEE SHORT-TERM BOND FUND OF AMERICA-A	0.00	7.72	93.0	0.00	7.18	0.00	7.18
06/01/2018	#00074504463	HEGLE, RODNEY SHORT-TERM BOND FUND OF AMERICA-A	0.00	5.29	93.0	0.00	4.92	0.00	4.92
06/01/2018	#00075396124	PIETTE, RAOUL SHORT-TERM BOND FUND OF AMERICA-A	0.00	2.64	93.0	0.00	2.46	0.00	2.46
06/01/2018	#00075396163	PIETTE, BARBARA SHORT-TERM BOND FUND OF AMERICA-A	0.00	2.62	93.0	0.00	2.44	0.00	2.44
06/01/2018	#00081782394	SHORT-TERM BOND FUND OF AMERICA-A	0.00	7.68	93.0	0.00	7.14	0.00	7.14
06/01/2018	#00083419645	REIDER, MICHAEL SHORT-TERM BOND FUND OF AMERICA-A	0.00	8.05	93.0	0.00	7.49	0.00	7.49
06/01/2018	#00087642427	WESTFALL, FRED SHORT-TERM BOND FUND OF AMERICA-A	0.00	7.85	93.0	0.00	7.30	0.00	7.30
06/01/2018	#00088043003	LOCATELLI, SHORT-TERM BOND FUND OF AMERICA-A	0.00	1.89	93.0	0.00	1.76	0.00	1.76
06/01/2018	#04000165808	PIETTE, RAOUL SHORT-TERM BOND FUND OF AMERICA-A	0.00	0.62	93.0	0.00	0.58	0.00	0.58
06/01/2018	#04000908502	LOCATELLI, SHORT-TERM BOND FUND OF AMERICA-A	0.00	0.69	93.0	0.00	0.64	0.00	0.64
06/01/2018	#00066735063	07/14/2008, UDT SMALLCAP WORLD FUND INC CLASS A	0.00	4.46	93.0	0.00	4.15	0.00	4.15
06/01/2018	#00067617189	MERRILL, TODD SMALLCAP WORLD FUND INC CLASS A	0.00	4.35	93.0	0.00	4.05	0.00	4.05
06/01/2018	#00074041373	HOROWITZ, LEE SMALLCAP WORLD FUND INC CLASS A	0.00	5.44	93.0	0.00	5.06	0.00	5.06
06/01/2018	#00074899870	KANODE, FRANCES SMALLCAP WORLD FUND INC CLASS A	0.00	4.14	93.0	0.00	3.85	0.00	3.85
06/01/2018	#00075396124	PIETTE, RAOUL SMALLCAP WORLD FUND INC CLASS A	0.00	2.03	93.0	0.00	1.89	0.00	1.89
06/01/2018	#00075396163	PIETTE, BARBARA SMALLCAP WORLD FUND INC CLASS A	0.00	2.01	93.0	0.00	1.87	0.00	1.87
06/01/2018	#00082214617	BOSSALLER, EARL SMALLCAP WORLD FUND INC CLASS A	0.00	0.32	93.0	0.00	0.30	0.00	0.30
06/01/2018	#00082839027	AZCONA, ALAIN SMALLCAP WORLD FUND INC CLASS A	0.00	4.28	93.0	0.00	3.98	0.00	3.98
06/01/2018	#00082839237	AZCONA, VALERIE SMALLCAP WORLD FUND INC CLASS A	0.00	4.17	93.0	0.00	3.88	0.00	3.88
06/01/2018	#00083280499	AZCONA, ALAIN SMALLCAP WORLD FUND INC CLASS A	0.00	7.41	93.0	0.00	6.89	0.00	6.89
06/01/2018	#00083419645	REIDER, MICHAEL SMALLCAP WORLD FUND INC CLASS A	0.00	5.09	93.0	0.00	4.73	0.00	4.73
06/01/2018	#00089286851	SMALLCAP WORLD FUND INC CLASS A	0.00	2.06	93.0	0.00	1.92	0.00	1.92
06/01/2018	#00089286872	SMALLCAP WORLD FUND INC CLASS A	0.00	2.06	93.0	0.00	1.92	0.00	1.92
06/01/2018	#00089286881	SMALLCAP WORLD FUND INC CLASS A	0.00	1.98	93.0	0.00	1.84	0.00	1.84
06/01/2018	#00082614122	KANODE, FRANCES WASHINGTON MUTUAL INVS FD CLASS A	0.00	3.05	93.0	0.00	2.84	0.00	2.84
06/01/2018	#00088089923	SALOMONE, WASHINGTON MUTUAL INVS FD CLASS A	0.00	11.40	93.0	0.00	10.60	0.00	10.60
06/07/2018	#01440268678	AZCONA, VALERIE FA 529 PORT 2025-CL A	0.00	1.90	93.0	0.00	1.77	0.00	1.77
06/07/2018	#01468237324	VARIOUS PIETTE FA EQUITY INCOME FUND	0.00	0.21	93.0	0.00	0.20	0.00	0.20
06/07/2018	#01430481000	VARIOUS PIETTE FA FOREIGN LARGE GROWTH	0.00	8.61	93.0	0.00	8.01	0.00	8.01
06/07/2018	#01436951972	VARIOUS PIETTE FA FOREIGN LARGE GROWTH	0.00	1.31	93.0	0.00	1.22	0.00	1.22
06/07/2018	#01436958837	VARIOUS PIETTE FA FOREIGN LARGE GROWTH	0.00	0.68	93.0	0.00	0.63	0.00	0.63
06/07/2018	#01468200199	VARIOUS PIETTE FA INTERNATIONAL GROWTH FUND - CLAS	0.00	1.56	93.0	0.00	1.45	0.00	1.45
06/07/2018	#01430481000	VARIOUS PIETTE FA INTERNATIONAL SMALL CAP FUND	0.00	5.78	93.0	0.00	5.38	0.00	5.38
06/07/2018	#01436951972	VARIOUS PIETTE FA INTERNATIONAL SMALL CAP FUND	0.00	1.60	93.0	0.00	1.49	0.00	1.49
06/07/2018	#01436958837	VARIOUS PIETTE FA INTERNATIONAL SMALL CAP FUND	0.00	0.80	93.0	0.00	0.74	0.00	0.74

COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/07/2018	#01447744085	VARIOUS PIETTE FA SHORT TERM BOND FUND	0.00	0.37	93.0	0.00	0.34	0.00	0.34
06/07/2018	#01471524056	VARIOUS PIETTE FA SHORT TERM BOND FUND	0.00	0.37	93.0	0.00	0.34	0.00	0.34
06/07/2018	#01447744085	VARIOUS PIETTE FID INSTITUTIONAL ASSET MANAGEMENT	0.00	3.98	93.0	0.00	3.70	0.00	3.70
06/07/2018	#00701925208	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 20 PERCE	0.00	7.18	93.0	0.00	6.68	0.00	6.68
06/07/2018	#01485567794	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 30 PERCE	0.00	0.91	93.0	0.00	0.85	0.00	0.85
06/07/2018	#01485567935	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 30 PERCE	0.00	0.88	93.0	0.00	0.82	0.00	0.82
06/07/2018	#01425568647	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	20.18	93.0	0.00	18.77	0.00	18.77
06/07/2018	#01425568712	MERRILL, TODD FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	9.22	93.0	0.00	8.57	0.00	8.57
06/07/2018	#01425568902	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	10.19	93.0	0.00	9.48	0.00	9.48
06/07/2018	#01425569215	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	5.29	93.0	0.00	4.92	0.00	4.92
06/07/2018	#01435869704	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	1.41	93.0	0.00	1.31	0.00	1.31
06/07/2018	#01435869704	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 85 PERCE	0.00	0.73	93.0	0.00	0.68	0.00	0.68
06/07/2018	#00701925208	VARIOUS PIETTE FIDELITY ADV CONSUMER STAPLES-CL A	0.00	11.05	93.0	0.00	10.28	0.00	10.28
06/07/2018	#01436951972	VARIOUS PIETTE FIDELITY ADV CONSUMER STAPLES-CL A	0.00	4.56	93.0	0.00	4.24	0.00	4.24
06/07/2018	#01436958837	VARIOUS PIETTE FIDELITY ADV CONSUMER STAPLES-CL A	0.00	2.29	93.0	0.00	2.13	0.00	2.13
06/07/2018	#01485567794	VARIOUS PIETTE FIDELITY ADV CONSUMER STAPLES-CL A	0.00	0.45	93.0	0.00	0.42	0.00	0.42
06/07/2018	#01485567935	VARIOUS PIETTE FIDELITY ADV CONSUMER STAPLES-CL A	0.00	0.43	93.0	0.00	0.40	0.00	0.40
06/07/2018	#01475316616	VARIOUS PIETTE FIDELITY ADV DIVERSIFIED STOCK CL A	0.00	1.20	93.0	0.00	1.12	0.00	1.12
06/07/2018	#01475316640	PIETTE, SHARON FIDELITY ADV DIVERSIFIED STOCK CL A	0.00	1.20	93.0	0.00	1.12	0.00	1.12
06/07/2018	#01485567976	VARIOUS PIETTE FIDELITY ADV DIVERSIFIED STOCK CL A	0.00	2.38	93.0	0.00	2.21	0.00	2.21
06/07/2018	#01456252806	VARIOUS PIETTE FIDELITY ADV EMERGING ASIA-CL A	0.00	0.05	93.0	0.00	0.05	0.00	0.05
06/07/2018	#01456252848	VARIOUS PIETTE FIDELITY ADV EMERGING ASIA-CL A	0.00	0.09	93.0	0.00	0.08	0.00	0.08
06/07/2018	#01450086176	VARIOUS PIETTE FIDELITY ADV EMERGING MKTS-CL T	0.00	3.48	93.0	0.00	3.24	0.00	3.24
06/07/2018	#01430481000	VARIOUS PIETTE FIDELITY ADV EQUITY INCOME-CL A	0.00	10.62	93.0	0.00	9.88	0.00	9.88
06/07/2018	#01430481000	VARIOUS PIETTE FIDELITY ADV FLOATING RATE HIGH INC	0.00	5.32	93.0	0.00	4.95	0.00	4.95
06/07/2018	#01485567794	VARIOUS PIETTE FIDELITY ADV FLOATING RATE HIGH INC	0.00	1.29	93.0	0.00	1.20	0.00	1.20
06/07/2018	#01485567935	VARIOUS PIETTE FIDELITY ADV FLOATING RATE HIGH INC	0.00	1.25	93.0	0.00	1.16	0.00	1.16
06/07/2018	#00701925208	VARIOUS PIETTE FIDELITY ADV HEALTH CARE-CL A	0.00	17.95	93.0	0.00	16.69	0.00	16.69
06/07/2018	#01436951972	VARIOUS PIETTE FIDELITY ADV HEALTH CARE-CL A	0.00	3.10	93.0	0.00	2.88	0.00	2.88
06/07/2018	#01436958837	VARIOUS PIETTE FIDELITY ADV HEALTH CARE-CL A	0.00	1.56	93.0	0.00	1.45	0.00	1.45
06/07/2018	#01475349922	SMITH, JOSH FIDELITY ADV INDUSTRIALS-CL C	0.00	4.01	93.0	0.00	3.73	0.00	3.73
06/07/2018	#01436951972	VARIOUS PIETTE FIDELITY ADV INFLATION PROTECTED BD	0.00	1.74	93.0	0.00	1.62	0.00	1.62
06/07/2018	#01436958837	VARIOUS PIETTE FIDELITY ADV INFLATION PROTECTED BD	0.00	0.87	93.0	0.00	0.81	0.00	0.81
06/07/2018	#01485567794	VARIOUS PIETTE FIDELITY ADV INFLATION PROTECTED BD	0.00	4.70	93.0	0.00	4.37	0.00	4.37
06/07/2018	#01485567935	VARIOUS PIETTE FIDELITY ADV INFLATION PROTECTED BD	0.00	4.52	93.0	0.00	4.20	0.00	4.20
06/07/2018	#01442009617	VARIOUS PIETTE FIDELITY ADV INTL DISCOVERY-CL A	0.00	0.59	93.0	0.00	0.55	0.00	0.55



COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/07/2018	#01455708758	SKALKA, VINCENT	FIDELITY ADV INTL DISCOVERY-CL A	0.00	3.60	93.0	0.00	3.35	3.35
06/07/2018	#01456252806	VARIOUS PIETTE	FIDELITY ADV INTL DISCOVERY-CL A	0.00	0.05	93.0	0.00	0.05	0.05
06/07/2018	#01456252848	VARIOUS PIETTE	FIDELITY ADV INTL DISCOVERY-CL A	0.00	0.09	93.0	0.00	0.08	0.08
06/07/2018	#01475316616	VARIOUS PIETTE	FIDELITY ADV INTL DISCOVERY-CL A	0.00	1.53	93.0	0.00	1.42	1.42
06/07/2018	#01475316640	PIETTE, SHARON	FIDELITY ADV INTL DISCOVERY-CL A	0.00	1.52	93.0	0.00	1.41	1.41
06/07/2018	#01442009617	VARIOUS PIETTE	FIDELITY ADV INTL DISCOVERY-CL T	0.00	1.23	93.0	0.00	1.14	1.14
06/07/2018	#01471524056	VARIOUS PIETTE	FIDELITY ADV INTL DISCOVERY-CL T	0.00	1.81	93.0	0.00	1.68	1.68
06/07/2018	#01450086176	VARIOUS PIETTE	FIDELITY ADV MATERIALS-CL T	0.00	2.23	93.0	0.00	2.07	2.07
06/07/2018	#01468200199	VARIOUS PIETTE	FIDELITY ADV MID CAP II-CL C	0.00	3.91	93.0	0.00	3.64	3.64
06/07/2018	#00701925208	VARIOUS PIETTE	FIDELITY ADV MID CAP-CL A	0.00	16.08	93.0	0.00	14.95	14.95
06/07/2018	#01468200199	VARIOUS PIETTE	FIDELITY ADV NEW INSIGHTS CL C	0.00	4.29	93.0	0.00	3.99	3.99
06/07/2018	#01475366827	VARIOUS PIETTE	FIDELITY ADV NEW INSIGHTS CL C	0.00	3.67	93.0	0.00	3.41	3.41
06/07/2018	#01475366850	DELONCANTHF	FIDELITY ADV NEW INSIGHTS CL C	0.00	3.26	93.0	0.00	3.03	3.03
06/07/2018	#01442009617	VARIOUS PIETTE	FIDELITY ADV NEW INSIGHTS FD CL T	0.00	1.52	93.0	0.00	1.41	1.41
06/07/2018	#01447744085	VARIOUS PIETTE	FIDELITY ADV NEW INSIGHTS FD CL T	0.00	1.79	93.0	0.00	1.66	1.66
06/07/2018	#01450086176	VARIOUS PIETTE	FIDELITY ADV NEW INSIGHTS FD CL T	0.00	9.68	93.0	0.00	9.00	9.00
06/07/2018	#01471524056	VARIOUS PIETTE	FIDELITY ADV NEW INSIGHTS FD CL T	0.00	4.30	93.0	0.00	4.00	4.00
06/07/2018	#00701925208	VARIOUS PIETTE	FIDELITY ADV NEW INSIGHTS-CL A	0.00	3.01	93.0	0.00	2.80	2.80
06/07/2018	#01425568712	MERRILL, TODD	FIDELITY ADV NEW INSIGHTS-CL A	0.00	5.18	93.0	0.00	4.82	4.82
06/07/2018	#01430481000	VARIOUS PIETTE	FIDELITY ADV NEW INSIGHTS-CL A	0.00	8.60	93.0	0.00	8.00	8.00
06/07/2018	#01442009617	VARIOUS PIETTE	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.95	93.0	0.00	0.88	0.88
06/07/2018	#01455086924	JACOBS, DUSTIN	FIDELITY ADV NEW INSIGHTS-CL A	0.00	11.02	93.0	0.00	10.25	10.25
06/07/2018	#01455708758	SKALKA, VINCENT	FIDELITY ADV NEW INSIGHTS-CL A	0.00	18.75	93.0	0.00	17.44	17.44
06/07/2018	#01456252806	VARIOUS PIETTE	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.35	93.0	0.00	0.33	0.33
06/07/2018	#01456252848	VARIOUS PIETTE	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.61	93.0	0.00	0.57	0.57
06/07/2018	#01479116327	JACOBS, DUSTIN	FIDELITY ADV NEW INSIGHTS-CL A	0.00	1.58	93.0	0.00	1.47	1.47
06/07/2018	#01485567794	VARIOUS PIETTE	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.97	93.0	0.00	0.90	0.90
06/07/2018	#01485567935	VARIOUS PIETTE	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.94	93.0	0.00	0.87	0.87
06/07/2018	#01485567976	VARIOUS PIETTE	FIDELITY ADV NEW INSIGHTS-CL A	0.00	2.37	93.0	0.00	2.20	2.20
06/07/2018	#01450086176	VARIOUS PIETTE	FIDELITY ADV SMALL CAP-CL T	0.00	4.79	93.0	0.00	4.45	4.45
06/07/2018	#01430481000	VARIOUS PIETTE	FIDELITY ADV TOTAL BOND-CL A	0.00	17.69	93.0	0.00	16.45	16.45
06/07/2018	#01436951972	VARIOUS PIETTE	FIDELITY ADV TOTAL BOND-CL A	0.00	2.70	93.0	0.00	2.51	2.51
06/07/2018	#01436958837	VARIOUS PIETTE	FIDELITY ADV TOTAL BOND-CL A	0.00	1.20	93.0	0.00	1.12	1.12
06/07/2018	#01455708758	SKALKA, VINCENT	FIDELITY ADV TOTAL BOND-CL A	0.00	8.06	93.0	0.00	7.50	7.50
06/07/2018	#01456252806	VARIOUS PIETTE	FIDELITY ADV TOTAL BOND-CL A	0.00	0.14	93.0	0.00	0.13	0.13
06/07/2018	#01456252848	VARIOUS PIETTE	FIDELITY ADV TOTAL BOND-CL A	0.00	0.24	93.0	0.00	0.22	0.22

COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/07/2018	#01485567976	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	1.02	93.0	0.00	0.95	0.00	0.95
06/07/2018	#01455086924	JACOBS, DUSTIN FIDELITY ADVISOR EMERGING MARKET FU	0.00	1.48	93.0	0.00	1.38	0.00	1.38
06/07/2018	#01468200199	VARIOUS PIETTE FIDELITY ADVISOR EMERGING MARKETS F	0.00	1.62	93.0	0.00	1.51	0.00	1.51
06/07/2018	#01436951972	VARIOUS PIETTE FIDELITY ADVISOR SER 1	0.00	3.10	93.0	0.00	2.88	0.00	2.88
06/07/2018	#01436958837	VARIOUS PIETTE FIDELITY ADVISOR SER 1	0.00	1.56	93.0	0.00	1.45	0.00	1.45
06/07/2018	#01455708758	SKALKA, VINCENT FIDELITY ADVISOR SER 1	0.00	5.39	93.0	0.00	5.01	0.00	5.01
06/07/2018	#01456252806	VARIOUS PIETTE FIDELITY ADVISOR SER 1	0.00	0.11	93.0	0.00	0.10	0.00	0.10
06/07/2018	#01456252848	VARIOUS PIETTE FIDELITY ADVISOR SER 1	0.00	0.19	93.0	0.00	0.18	0.00	0.18
06/07/2018	#01430481000	VARIOUS PIETTE FIDELITY ADVISOR SER II MID CAP II	0.00	12.64	93.0	0.00	11.76	0.00	11.76
06/07/2018	#01455086924	JACOBS, DUSTIN FIDELITY ADVISOR SER II MID CAP II	0.00	3.17	93.0	0.00	2.95	0.00	2.95
06/07/2018	#01475316616	VARIOUS PIETTE FIDELITY ADVISOR SER II MID CAP II	0.00	2.28	93.0	0.00	2.12	0.00	2.12
06/07/2018	#01475316640	PIETTE, SHARON FIDELITY ADVISOR SER II MID CAP II	0.00	2.28	93.0	0.00	2.12	0.00	2.12
06/07/2018	#01485567976	VARIOUS PIETTE FIDELITY ADVISOR SER II MID CAP II	0.00	2.85	93.0	0.00	2.65	0.00	2.65
06/07/2018	#01430481000	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	6.07	93.0	0.00	5.65	0.00	5.65
06/07/2018	#01436951972	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	2.13	93.0	0.00	1.98	0.00	1.98
06/07/2018	#01436958837	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	1.06	93.0	0.00	0.99	0.00	0.99
06/07/2018	#01442009617	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.42	93.0	0.00	0.39	0.00	0.39
06/07/2018	#01455086924	JACOBS, DUSTIN FIDELITY ADVISOR STRATEGIC INCOME F	0.00	3.98	93.0	0.00	3.70	0.00	3.70
06/07/2018	#01455708758	SKALKA, VINCENT FIDELITY ADVISOR STRATEGIC INCOME F	0.00	12.16	93.0	0.00	11.31	0.00	11.31
06/07/2018	#01456252806	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.26	93.0	0.00	0.24	0.00	0.24
06/07/2018	#01456252848	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.46	93.0	0.00	0.43	0.00	0.43
06/07/2018	#01485567794	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	4.01	93.0	0.00	3.73	0.00	3.73
06/07/2018	#01485567935	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	3.86	93.0	0.00	3.59	0.00	3.59
06/07/2018	#01442009617	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.44	93.0	0.00	0.41	0.00	0.41
06/07/2018	#01450086176	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	1.79	93.0	0.00	1.66	0.00	1.66
06/07/2018	#01485567885	FIDELITY ADVISORS BALANCED	0.00	14.87	93.0	0.00	13.83	0.00	13.83
06/07/2018	#01430481000	VARIOUS PIETTE FIDELITY HEREFORD STR TR GOVT MONEY	0.00	10.89	93.0	0.00	10.13	0.00	10.13
06/07/2018	#01485567794	VARIOUS PIETTE FIDELITY HEREFORD STR TR GOVT MONEY	0.00	1.95	93.0	0.00	1.81	0.00	1.81
06/07/2018	#01485567935	VARIOUS PIETTE FIDELITY HEREFORD STR TR GOVT MONEY	0.00	1.88	93.0	0.00	1.75	0.00	1.75
06/07/2018	#01485567976	VARIOUS PIETTE FIDELITY HEREFORD STR TR GOVT MONEY	0.00	6.86	93.0	0.00	6.38	0.00	6.38
06/07/2018	#01475316616	VARIOUS PIETTE FIDELITY INVT TR ADVISOR EMRGING MK	0.00	1.51	93.0	0.00	1.40	0.00	1.40
06/07/2018	#01475316640	PIETTE, SHARON FIDELITY INVT TR ADVISOR EMRGING MK	0.00	1.51	93.0	0.00	1.40	0.00	1.40
06/07/2018	#01485567794	VARIOUS PIETTE FIDELITY INVT TR ADVISOR GBL COMMOD	0.00	0.23	93.0	0.00	0.21	0.00	0.21
06/07/2018	#01485567935	VARIOUS PIETTE FIDELITY INVT TR ADVISOR GBL COMMOD	0.00	0.22	93.0	0.00	0.20	0.00	0.20
06/07/2018	#01468598287	VARIOUS PIETTE FIDELITY LEVERAGED COMPANY STOCK FU	0.00	0.28	93.0	0.00	0.26	0.00	0.26
06/07/2018	#01468237324	VARIOUS PIETTE FIDELITY SMALL CAP BLEND	0.00	0.14	93.0	0.00	0.13	0.00	0.13

COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/07/2018	#01436951972	VARIOUS PIETTE	TREASURY FUND - DAILY MONEY CLASS	0.00	3.19	93.0	0.00	2.97	0.00	2.97
06/07/2018	#01436958837	VARIOUS PIETTE	TREASURY FUND - DAILY MONEY CLASS	0.00	1.74	93.0	0.00	1.62	0.00	1.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,598.17		\$0.00	\$2,416.35	\$0.00	\$2,416.35
*** BROKER TRANSACTIONS TOTAL ***				\$62,061.86	\$2,665.56		\$0.00	\$2,479.03	\$0.00	\$2,479.03

ADJUSTMENTS

07/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		<hr/> \$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,479.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,910.03
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