

**COMMISSION STATEMENT****December 15, 2017**

HERBERT ALSTON  
4200 Park Blvd  
Suite 154  
Oakland, CA 94602

BROKER #: A123  
BRANCH: HERBERT ALSTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
10/30/2017	JOHNSON, DALE	INLAND NATIONAL MF PF II DST	160,000.00	8,000.00	0.00	8,000.00	75.0	6,000.00
		* 1031 EXCHANGES SUBTOTAL *	\$160,000.00	\$8,000.00	\$0.00	\$8,000.00		\$6,000.00
		*** DIRECT TRANSACTIONS TOTAL ***	\$160,000.00	\$8,000.00	\$0.00	\$8,000.00		\$6,000.00
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: A132 - HERB/FRED ALSTON/CHASALOW #413</u></b>								
11/13/2017	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	5.15	0.00	5.15	75.0	3.86
11/13/2017	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	0.76	0.00	0.76	75.0	0.57
11/13/2017	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	3.15	0.00	3.15	75.0	2.36
11/16/2017	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.45	0.00	9.45	75.0	7.09
11/16/2017	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.68	0.00	4.68	75.0	3.51
11/16/2017	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.68	0.00	4.68	75.0	3.51
11/16/2017	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.65	0.00	2.65	75.0	1.99
11/16/2017	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.77	0.00	3.77	75.0	2.83
11/16/2017	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.26	0.00	1.26	75.0	0.95
11/16/2017	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.89	0.00	1.89	75.0	1.42
11/20/2017	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	0.80	0.00	0.80	75.0	0.60
11/17/2017	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	1.83	0.00	1.83	75.0	1.37
11/17/2017	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	7.10	0.00	7.10	75.0	5.33
11/22/2017	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	1.41	0.00	1.41	75.0	1.06
		* A132 - HERB/FRED ALSTON/CHASALOW SUBTOTAL *	\$0.00	\$48.58	\$0.00	\$48.58		\$36.45
		*** SPLIT TRANSACTIONS TOTAL ***	\$0.00	\$48.58	\$0.00	\$48.58		\$36.45

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
12/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
12/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					505.00
12/15/2017		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
12/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
12/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$6,000.00
Split Transactions	\$36.45
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,036.45
Year-to-date Gross	\$104,707.07
Year-to-date Earnings	\$78,530.34

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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