



Concorde Investment Services, LLC
COMMISSION STATEMENT
 April 15, 2017

04/12/2017
 Page No. 1

BRION NORBY
 4905 Prairie Lane

BROKER #: N121
 BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
04/03/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	180.00	3.38	0.00	3.38	90.0	3.04
04/03/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	225.00	4.22	0.00	4.22	90.0	3.80
04/03/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	280.00	5.25	0.00	5.25	90.0	4.73
04/03/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	160.00	3.00	0.00	3.00	90.0	2.70
04/06/2017	HELLER, GREG	HPI REAL ESTATE FUND V LLC	100,000.00	0.00	0.00	0.00	90.0	0.00
03/24/2017	BARTINGALE, JAMES	LIGHTSTONE VALUE PLUS REIT III INC	54,000.00	1,890.00	0.00	1,890.00	90.0	1,701.00
04/06/2017	HELLER, GREG	SEALY STRATEGIC EQUITY PARTNERS LP	100,000.00	0.00	0.00	0.00	90.0	0.00
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$254,845.00	\$1,905.85	\$0.00	\$1,905.85		\$1,715.27
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333</u>								
04/07/2017	EDISS, ALEXANDER	AM FUNDS GROWTH FUND OF AMERICA C	3,895.00	4.28	0.00	4.28	90.0	3.85
* JBB JEN-BRENT-BRION TRU-DONAI-LEE- SUBTOTAL *			\$3,895.00	\$4.28	\$0.00	\$4.28		\$3.85
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
04/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	111.00	1.22	0.00	1.22	90.0	1.10
04/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	58.00	0.64	0.00	0.64	90.0	0.58
04/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	73.00	0.80	0.00	0.80	90.0	0.72
04/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	74.00	0.82	0.00	0.82	90.0	0.74
04/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	60.00	0.66	0.00	0.66	90.0	0.59
04/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.55	0.00	0.55	90.0	0.50
04/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.55	0.00	0.55	90.0	0.50
04/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	111.00	1.22	0.00	1.22	90.0	1.10
04/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	65.00	0.72	0.00	0.72	90.0	0.65
04/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	57.00	0.63	0.00	0.63	90.0	0.57
04/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	72.00	0.79	0.00	0.79	90.0	0.71
04/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	74.00	0.82	0.00	0.82	90.0	0.74
04/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	65.00	0.72	0.00	0.72	90.0	0.65

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
04/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.55	0.00	0.55	90.0	0.50
04/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.55	0.00	0.55	90.0	0.50
03/03/2017	RASMUSSEN, CLINTON	HPI FUND V	98,000.00	2,352.00	0.00	2,352.00	90.0	2,116.80
03/15/2017	KUHN, KRISTINE	HPI FUND V	74,000.00	1,776.00	0.00	1,776.00	90.0	1,598.40
04/11/2017	JORGENSEN, BLAINE	HPI REAL ESTATE FUND V LLC	100,000.00	0.00	0.00	0.00	90.0	0.00
03/23/2017	JORGENSEN, BLAINE	LIGHTSTONE VALUE PLUS REIT III INC	100,000.00	2,800.00	0.00	2,800.00	90.0	2,520.00
03/28/2017	KUHN, KENNETH	LIGHTSTONE VALUE PLUS REIT III INC	87,000.00	2,436.00	0.00	2,436.00	90.0	2,192.40
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$460,020.00	\$9,375.24	\$0.00	\$9,375.24		\$8,437.75
<u>BROKER: TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L #336</u>								
03/20/2017	HENNEN, STUART	HPI FUND V	75,000.00	540.00	0.00	540.00	90.0	486.00
* TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L SUBTOTAL *			\$75,000.00	\$540.00	\$0.00	\$540.00		\$486.00
*** SPLIT TRANSACTIONS TOTAL ***			\$793,760.00	\$11,825.37	\$0.00	\$11,825.37		\$10,642.87

ADJUSTMENTS

04/15/2017		*CONCIERGE SVC: 188921 188924 18892	0.00					-24.00
04/15/2017		*CONCIERGE SVC: 189216 189221	0.00					-40.00
04/15/2017		*EO INS: CURRENT MONTH E&O	0.00					-300.00
04/15/2017		*FINRA: MN TRANSFER FEE	0.00					-25.00
04/15/2017		*FINRA: VT IND. REG. FEE	0.00					-90.00
04/15/2017		*MISC: LOAN PAYMENT	0.00					-3,418.38
04/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-1.50
04/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-1.80
04/15/2017		*PERSHING: MF PROSPECTUS	0.00					-0.78
04/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-4,176.46

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$10,642.87
Override Transactions	\$0.00
Adjustments	\$-4,176.46
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,466.41
Year-to-date Gross	\$19,402.43
Year-to-date Earnings	\$17,456.25