



# COMMISSION STATEMENT

March 05, 2018

**WILLIAM FRANCIS**  
2 Bobrick Road  
Poughkeepsie, NY 12601

**BROKER #: A88**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/27/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMCAP FUND-A SELL 5 Units @33.3700	163.78	0.00	0.00	0.00	70.0	0.00
02/22/2018	***-**-8325 - BUECHEL, ACCOUNT #: 00088244527	AMERICAN BALANCED FUND-A BUY 5 Units @27.6400	126.92	2.03	0.00	2.03	70.0	1.42
02/22/2018	***-**-8325 - BUECHEL, ACCOUNT #: 00088244527	AMERICAN BALANCED FUND-A BUY 5 Units @27.6400	126.92	2.03	0.00	2.03	70.0	1.42
02/27/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN BALANCED FUND-A SELL 7 Units @27.2900	194.25	0.00	0.00	0.00	70.0	0.00
02/27/2018	***-**-53IO - MOSHER, JEANINE ACCOUNT #: LSR011815	AMERICAN BALANCED FUND-A SELL 37 Units @27.2900	999.99	0.00	0.00	0.00	70.0	0.00
02/22/2018	***-**-7033 - TURNER, BRYAN ACCOUNT #: 00089463498	AMERICAN FUNDS 2045 TARGET DATE-A BUY 35 Units @16.0600	565.40	9.05	0.00	9.05	70.0	6.34
02/22/2018	***-**-7033 - TURNER, BRYAN ACCOUNT #: 00089463498	AMERICAN FUNDS 2045 TARGET DATE-A BUY 11 Units @16.0600	169.60	2.71	0.00	2.71	70.0	1.90
02/22/2018	***-**-4858 - GODOFSKY, ACCOUNT #: 04000349982	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 17 Units @19.0200	323.08	5.17	0.00	5.17	70.0	3.62
02/22/2018	***-**-4858 - GODOFSKY, ACCOUNT #: 04000349982	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 7 Units @19.0200	138.48	2.22	0.00	2.22	70.0	1.55
02/22/2018	***-**-976J - KILLIAN, JAMES ACCOUNT #: LSR011547	AMERICAN FUNDS TAX ADVANTGED INC PO SELL 274 Units @13.5900	3,725.01	0.00	18.00	-18.00	100.0	-18.00
02/27/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN HIGH-INCOME TRUST-A SELL 4 Units @10.2900	44.75	0.00	0.00	0.00	70.0	0.00
02/27/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN MUTUAL FUND-A SELL 5 Units @41.3700	202.42	0.00	0.00	0.00	70.0	0.00
02/26/2018	***-**-92IN - FITZGERALD, MARY ACCOUNT #: LSR011975	CALVERT CONSERVATIVE ALLOC CL C-NFS BUY 229 Units @17.0300	3,900.01	39.00	18.00	21.00	70.0	14.70
02/20/2018	***-**-5922 - HOTAILING, ACCOUNT #: 000090221306726	FRANKLIN INCOME FUND CLASS A BUY 47 Units @2.4100	112.50	3.15	0.00	3.15	70.0	2.21
02/20/2018	***-**-5922 - HOTAILING, ACCOUNT #: 000015812472167	FRANKLIN RISING DIVIDENDS FD CLASS BUY 2 Units @63.3000	112.50	3.15	0.00	3.15	70.0	2.21
02/20/2018	***-**-5922 - HOTAILING, ACCOUNT #: 000050118127094	FRK HIGH INC FND - CL A BUY 58 Units @1.9400	112.50	3.15	0.00	3.15	70.0	2.21



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
02/15/2018	***-**-4642 - COOKE, ROBERT	MAINSTAY TOTAL RETURN BOND FUND CL	200.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 00055761480	BUY 19 Units @10.3900						
02/22/2018	***-**-2633 - BUTLER, JAIME	NEW PERSPECTIVE FUND-A	33.12	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075179061	BUY 1 Units @45.7600						
02/22/2018	***-**-2633 - BUTLER, JAIME	NEW PERSPECTIVE FUND-A	24.84	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 1 Units @45.7600						
02/22/2018	***-**-9064 - GUERRERO, NIGEL	NEW PERSPECTIVE FUND-A	38.08	0.61	0.00	0.61	70.0	0.43
	ACCOUNT #: 00088394220	BUY 1 Units @45.7600						
02/22/2018	***-**-9064 - GUERRERO, NIGEL	NEW PERSPECTIVE FUND-A	38.08	0.61	0.00	0.61	70.0	0.43
	ACCOUNT #: 00088394220	BUY 1 Units @45.7600						
02/22/2018	***-**-5922 - HOTAILING,	PUTNAM CAP. OPPORTUNITIES-A	60.00	1.65	0.00	1.65	70.0	1.16
	ACCOUNT #: 00186955359	BUY 4 Units @14.2600						
02/22/2018	***-**-5922 - HOTAILING,	PUTNAM GROWTH OPPORTUNITIES FD CL A	160.00	4.40	0.00	4.40	70.0	3.08
	ACCOUNT #: 00186955359	BUY 5 Units @34.1000						
02/22/2018	***-**-5922 - HOTAILING,	PUTNAM HIGH YIELD ADVANTAGE-A	190.00	5.23	0.00	5.23	70.0	3.66
	ACCOUNT #: 00186955359	BUY 31 Units @6.0500						
02/22/2018	***-**-5922 - HOTAILING,	PUTNAM INTERNATIONAL EQUITY-A	80.00	2.20	0.00	2.20	70.0	1.54
	ACCOUNT #: 00186955359	BUY 3 Units @27.6300						
02/22/2018	***-**-5922 - HOTAILING,	PUTNAM MID-CAP VALUE FUND-A	60.00	1.65	0.00	1.65	70.0	1.16
	ACCOUNT #: 00186955359	BUY 3 Units @20.6900						
02/20/2018	***-**-5922 - HOTAILING,	TEMP GLOBAL BOND FND - CL A	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000006984984022	BUY 9 Units @12.3500						
02/22/2018	***-**-2633 - BUTLER, JAIME	THE BOND FUND OF AMERICA-A	33.12	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075179061	BUY 3 Units @12.8400						
02/22/2018	***-**-2633 - BUTLER, JAIME	THE BOND FUND OF AMERICA-A	24.84	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 2 Units @12.8400						
02/22/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	12.69	0.20	0.00	0.20	70.0	0.14
	ACCOUNT #: 00088394220	BUY 1 Units @12.8400						
02/22/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	12.69	0.20	0.00	0.20	70.0	0.14
	ACCOUNT #: 00088394220	BUY 1 Units @12.8400						
02/27/2018	***-**-47FB - TORRES, SHARI	THE BOND FUND OF AMERICA-A	120.55	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 10 Units @12.5800						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
02/22/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	50.77	0.81	0.00	0.81	70.0	0.57
	ACCOUNT #: 00088394220	BUY 1 Units @52.9900						
02/22/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	50.77	0.81	0.00	0.81	70.0	0.57
	ACCOUNT #: 00088394220	BUY 1 Units @52.9900						
02/27/2018	***-**-47FB - TORRES, SHARI	THE GROWTH FUND OF AMERICA-A	113.47	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 2 Units @52.6300						
02/22/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	33.12	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075179061	BUY 1 Units @23.6400						
02/22/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	24.84	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 1 Units @23.6400						
02/22/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	25.38	0.41	0.00	0.41	70.0	0.29
	ACCOUNT #: 00088394220	BUY 1 Units @23.6400						
02/22/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	25.38	0.41	0.00	0.41	70.0	0.29
	ACCOUNT #: 00088394220	BUY 1 Units @23.6400						
02/22/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	33.12	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075179061	BUY 1 Units @42.0800						
02/22/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	24.84	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 1 Units @42.0800						
02/27/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	160.23	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 3 Units @46.6200						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$12,760.54</b>	<b>\$99.72</b>	<b>\$36.00</b>	<b>\$63.72</b>		<b>\$39.25</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
02/28/2018	***-**-5233 - DUTCHESS,	MASS MUTUAL VA	0.00	47.38	0.00	47.38	70.0	33.17
	ACCOUNT #: 841406	BUY 1 Units @0.0000						
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$47.38</b>	<b>\$0.00</b>	<b>\$47.38</b>		<b>\$33.17</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
02/22/2018	***-**-976J - KILLIAN, JAMES	AMERICAN FUNDS T/E PRESERVATION POR	2,311.72	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011547	SELL 239 Units @9.6700						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$2,311.72</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
02/28/2018	***-**-7131 - PHILLIPS, DAVID	MASS MUTUAL VA DTCC TRAILS	0.00	60.57	0.00	60.57	70.0	42.40
	ACCOUNT #: EVN46024214	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	<u>\$0.00</u>	<u>\$60.57</u>	<u>\$0.00</u>	<u>\$60.57</u>		<u>\$42.40</u>
		*** BROKER TRANSACTIONS TOTAL ***	<u>\$15,072.26</u>	<u>\$207.67</u>	<u>\$36.00</u>	<u>\$171.67</u>		<u>\$114.82</u>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$114.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>