



Concorde Investment Services, LLC
COMMISSION STATEMENT
February 15, 2017

02/14/2017
 Page No. 1

MATT BRANSTETTER
 PO Box 250
 111 S. Main Street
 Noble, OK 73068

BROKER #: B116
 BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/25/2017	EVERETT, BRIAN	AM FUNDS AMERICAN BALANCED C	50.00	0.50	80.0	0.40	0.00	0.40
02/01/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	80.0	4.00	0.00	4.00
02/03/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	250.00	12.50	80.0	10.00	0.00	10.00
01/31/2017	MATHEWS, DALE	FRANKLIN INCOME C	0.00	171.86	80.0	137.49	0.00	137.49
/ /	MICHENER, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.95	80.0	0.76	0.00	0.76
/ /	WHITETREE, THOMAS	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	CASTLE, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	HUFFMAN, KRISTOPHER	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.39	80.0	0.31	0.00	0.31
/ /	LIVELY, ELIZABETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	LIVELY, DUSTIN	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HUNTER, TIMOTHY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	PUCKETT, CHERI	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	DOBELBOWER, JORGE LARRY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HARRALD, DONALD	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	SALLEE, MARY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.16	80.0	0.13	0.00	0.13
/ /	TREADWAY, PAMELA	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.07	80.0	0.06	0.00	0.06
/ /	TREADWAY, TOMMY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.13	80.0	0.10	0.00	0.10
/ /	DOWNING, JOHN	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.25	80.0	0.20	0.00	0.20
/ /	ACOSTA, JF	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	MELTON, JOY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	BENSON, KARLA	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	DESHIELDS, JAMES	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POORE, NANCY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MCFERRON, LINDA	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.08	80.0	0.06	0.00	0.06
/ /	VOCI, ROBERTO	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.17	80.0	0.14	0.00	0.14
/ /	WILLIAMS, JACKIE	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.21	80.0	0.17	0.00	0.17

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	POTTER, G	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, RK	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	RICK, JOSEPH	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON, RICHARD	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.07	80.0	0.06	0.00	0.06
/ /	ARRINGTON, NANCY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, KENLEE	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, MEEGAN	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	MICHENER, DAVID	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.16	80.0	0.13	0.00	0.13
/ /	WHITETREE, THOMAS	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	CASTLE, DAVID	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HUFFMAN, KRISTOPHER	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.07	80.0	0.06	0.00	0.06
/ /	LIVELY, ELIZABETH	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	LIVELY, DUSTIN	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HUNTER, TIMOTHY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	PUCKETT, CHERI	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HARRALD, DONALD	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
01/17/2017	TAYLOR, RANDALL	PAC LIFE PORT OPTIM MOD AGG	1,250.00	20.00	80.0	16.00	0.00	16.00
01/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 100-A	6.75	0.07	80.0	0.06	0.00	0.06
01/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 300-A	6.75	0.07	80.0	0.06	0.00	0.06
01/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 500-A	6.75	0.34	80.0	0.27	0.00	0.27
01/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 700-A	6.75	0.34	80.0	0.27	0.00	0.27
01/25/2017	MORIELS, FELICIA	PUTNAM AMERICAN GOVT INCOME-M	100.00	3.00	80.0	2.40	0.00	2.40
01/17/2017	TAYLOR, CATHERINE	PUTNAM GROWTH OPP C	146.98	1.47	80.0	1.18	0.00	1.18
01/17/2017	CAMPBELL, CHANDLER	PUTNAM GROWTH OPP C	25.00	0.25	80.0	0.20	0.00	0.20
01/17/2017	CAMPBELL, CHANDLER	PUTNAM GROWTH OPP C	-25.00	-0.25	80.0	-0.20	0.00	-0.20
01/17/2017	CASTLE, DAVID	PUTNAM INTL CAPITAL OPPS-B	100.00	4.00	80.0	3.20	0.00	3.20
01/20/2017	MYERS, AMANDA	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
01/20/2017	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
01/25/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
01/25/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
01/25/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
01/25/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/25/2017	DOCTORMAN, EDGE	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
01/25/2017	DOCTORMAN, AIMES	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
01/17/2017	DOWNING, JOHN	PUTNAM MULTI-CAP GROWTH M	75.00	2.25	80.0	1.80	0.00	1.80
01/09/2017	POORE, NANCY	PUTNAM SMALL CAP GROWTH-C	60.00	0.60	80.0	0.48	0.00	0.48
/ /	ROLLINS, LISA	RYDEX DOW 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	TREADWAY, PAMELA	RYDEX DOW 2X STRATEGY C	0.00	0.44	80.0	0.35	0.00	0.35
/ /	TREADWAY, TOMMY	RYDEX DOW 2X STRATEGY C	0.00	0.79	80.0	0.63	0.00	0.63
/ /	DOWNING, JOHN	RYDEX DOW 2X STRATEGY C	0.00	1.53	80.0	1.22	0.00	1.22
/ /	ACOSTA, JF	RYDEX DOW 2X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	MELTON, JOY	RYDEX DOW 2X STRATEGY C	0.00	0.30	80.0	0.24	0.00	0.24
/ /	BENSON, KARLA	RYDEX DOW 2X STRATEGY C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	DESHIELDS, JAMES	RYDEX DOW 2X STRATEGY C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	POORE, NANCY	RYDEX DOW 2X STRATEGY C	0.00	0.38	80.0	0.30	0.00	0.30
/ /	MCFERRON, LINDA	RYDEX DOW 2X STRATEGY C	0.00	0.48	80.0	0.38	0.00	0.38
/ /	VOCI, ROBERTO	RYDEX DOW 2X STRATEGY C	0.00	1.05	80.0	0.84	0.00	0.84
/ /	WILLIAMS, JACKIE	RYDEX DOW 2X STRATEGY C	0.00	1.33	80.0	1.06	0.00	1.06
/ /	WRIGHT, MARK	RYDEX DOW 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX DOW 2X STRATEGY C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	POTTER, RK	RYDEX DOW 2X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	RICK, JOSEPH	RYDEX DOW 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	BACON, RICHARD	RYDEX DOW 2X STRATEGY C	0.00	0.42	80.0	0.34	0.00	0.34
/ /	ARRINGTON, NANCY	RYDEX DOW 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX DOW 2X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HOLMAN, MEEGAN	RYDEX DOW 2X STRATEGY C	0.00	0.28	80.0	0.22	0.00	0.22
/ /	ROLLINS, LISA	RYDEX ENERGY SERVICES C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX ENERGY SERVICES C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	TREADWAY, TOMMY	RYDEX ENERGY SERVICES C	0.00	0.46	80.0	0.37	0.00	0.37
/ /	DOWNING, JOHN	RYDEX ENERGY SERVICES C	0.00	0.82	80.0	0.66	0.00	0.66
/ /	ACOSTA, JF	RYDEX ENERGY SERVICES C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	MELTON, JOY	RYDEX ENERGY SERVICES C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	BENSON, KARLA	RYDEX ENERGY SERVICES C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	DESHIELDS, JAMES	RYDEX ENERGY SERVICES C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	POORE, NANCY	RYDEX ENERGY SERVICES C	0.00	0.22	80.0	0.18	0.00	0.18

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	MCFERRON, LINDA	RYDEX ENERGY SERVICES C	0.00	0.28	80.0	0.22	0.00	0.22
/ /	VOCI, ROBERTO	RYDEX ENERGY SERVICES C	0.00	0.57	80.0	0.46	0.00	0.46
/ /	WILLIAMS, JACKIE	RYDEX ENERGY SERVICES C	0.00	1.46	80.0	1.17	0.00	1.17
/ /	WRIGHT, MARK	RYDEX ENERGY SERVICES C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX ENERGY SERVICES C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX ENERGY SERVICES C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	RICK, JOSEPH	RYDEX ENERGY SERVICES C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX ENERGY SERVICES C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	ARRINGTON, NANCY	RYDEX ENERGY SERVICES C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX ENERGY SERVICES C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, MEEGAN	RYDEX ENERGY SERVICES C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	MICHENER, DAVID	RYDEX EUROPE 1.25X DTGY - A	0.00	0.60	80.0	0.48	0.00	0.48
/ /	WHITETREE, THOMAS	RYDEX EUROPE 1.25X DTGY - A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX EUROPE 1.25X DTGY - A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HUFFMAN, KRISTOPHER	RYDEX EUROPE 1.25X DTGY - A	0.00	0.26	80.0	0.21	0.00	0.21
/ /	LIVELY, ELIZABETH	RYDEX EUROPE 1.25X DTGY - A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	LIVELY, DUSTIN	RYDEX EUROPE 1.25X DTGY - A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUNTER, TIMOTHY	RYDEX EUROPE 1.25X DTGY - A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	PUCKETT, CHERI	RYDEX EUROPE 1.25X DTGY - A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HARRALD, DONALD	RYDEX EUROPE 1.25X DTGY - A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX EUROPE 1.25X DTGY - A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	ROLLINS, LISA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.27	80.0	0.22	0.00	0.22
/ /	TREADWAY, TOMMY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.49	80.0	0.39	0.00	0.39
/ /	DOWNING, JOHN	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.87	80.0	0.70	0.00	0.70
/ /	ACOSTA, JF	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	MELTON, JOY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	BENSON, KARLA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	DESHIELDS, JAMES	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	POORE, NANCY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	MCFERRON, LINDA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.29	80.0	0.23	0.00	0.23
/ /	VOCI, ROBERTO	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.61	80.0	0.49	0.00	0.49
/ /	WILLIAMS, JACKIE	RYDEX EUROPE 1.25X STRATEGY C	0.00	1.56	80.0	1.25	0.00	1.25

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	WRIGHT, MARK	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	POTTER, RK	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	RICK, JOSEPH	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.25	80.0	0.20	0.00	0.20
/ /	ARRINGTON, NANCY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, MEEGAN	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	MICHENER, DAVID	RYDEX GUGG BANKING A	0.00	1.41	80.0	1.13	0.00	1.13
/ /	WHITETREE, THOMAS	RYDEX GUGG BANKING A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	CASTLE, DAVID	RYDEX GUGG BANKING A	0.00	0.15	80.0	0.12	0.00	0.12
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG BANKING A	0.00	0.59	80.0	0.47	0.00	0.47
/ /	LIVELY, ELIZABETH	RYDEX GUGG BANKING A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	LIVELY, DUSTIN	RYDEX GUGG BANKING A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	HUNTER, TIMOTHY	RYDEX GUGG BANKING A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	PUCKETT, CHERI	RYDEX GUGG BANKING A	0.00	0.15	80.0	0.12	0.00	0.12
/ /	DOBELBOWER, JORGE LARRY	RYDEX GUGG BANKING A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HARRALD, DONALD	RYDEX GUGG BANKING A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	SALLEE, MARY	RYDEX GUGG BANKING A	0.00	0.22	80.0	0.18	0.00	0.18
/ /	ROLLINS, LISA	RYDEX GUGG BANKING C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	TREADWAY, PAMELA	RYDEX GUGG BANKING C	0.00	0.67	80.0	0.54	0.00	0.54
/ /	TREADWAY, TOMMY	RYDEX GUGG BANKING C	0.00	1.14	80.0	0.91	0.00	0.91
/ /	DOWNING, JOHN	RYDEX GUGG BANKING C	0.00	2.23	80.0	1.78	0.00	1.78
/ /	ACOSTA, JF	RYDEX GUGG BANKING C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	MELTON, JOY	RYDEX GUGG BANKING C	0.00	0.43	80.0	0.34	0.00	0.34
/ /	BENSON, KARLA	RYDEX GUGG BANKING C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	DESHIELDS, JAMES	RYDEX GUGG BANKING C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	POORE, NANCY	RYDEX GUGG BANKING C	0.00	0.60	80.0	0.48	0.00	0.48
/ /	MCFERRON, LINDA	RYDEX GUGG BANKING C	0.00	0.68	80.0	0.54	0.00	0.54
/ /	VOCI, ROBERTO	RYDEX GUGG BANKING C	0.00	1.52	80.0	1.22	0.00	1.22
/ /	WILLIAMS, JACKIE	RYDEX GUGG BANKING C	0.00	1.98	80.0	1.58	0.00	1.58
/ /	WRIGHT, MARK	RYDEX GUGG BANKING C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX GUGG BANKING C	0.00	0.21	80.0	0.17	0.00	0.17

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	POTTER, RK	RYDEX GUGG BANKING C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	RICK, JOSEPH	RYDEX GUGG BANKING C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	BACON, RICHARD	RYDEX GUGG BANKING C	0.00	0.59	80.0	0.47	0.00	0.47
/ /	ARRINGTON, NANCY	RYDEX GUGG BANKING C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HOLMAN, KENLEE	RYDEX GUGG BANKING C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HOLMAN, MEEGAN	RYDEX GUGG BANKING C	0.00	0.39	80.0	0.31	0.00	0.31
/ /	MCKIDDY, PAULA	RYDEX GUGG BANKING C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	ROLLINS, LISA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	TREADWAY, TOMMY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.37	80.0	0.30	0.00	0.30
/ /	DOWNING, JOHN	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.70	80.0	0.56	0.00	0.56
/ /	ACOSTA, JF	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MELTON, JOY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	BENSON, KARLA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	DESHIELDS, JAMES	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POORE, NANCY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	MCFERRON, LINDA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.22	80.0	0.18	0.00	0.18
/ /	VOCI, ROBERTO	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.48	80.0	0.38	0.00	0.38
/ /	WILLIAMS, JACKIE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.60	80.0	0.48	0.00	0.48
/ /	WRIGHT, MARK	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	RICK, JOSEPH	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	ARRINGTON, NANCY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	MCKIDDY, PAULA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	PUCKETT, CHERI	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	2.00	80.0	1.60	0.00	1.60
/ /	MICHENER, DAVID	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.62	80.0	0.50	0.00	0.50
/ /	WHITETREE, THOMAS	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.26	80.0	0.21	0.00	0.21

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	LIVELY, ELIZABETH	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	LIVELY, DUSTIN	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUNTER, TIMOTHY	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	PUCKETT, CHERI	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HARRALD, DONALD	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	ROLLINS, LISA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	TREADWAY, PAMELA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.29	80.0	0.23	0.00	0.23
/ /	TREADWAY, TOMMY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.51	80.0	0.41	0.00	0.41
/ /	DOWNING, JOHN	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	1.00	80.0	0.80	0.00	0.80
/ /	ACOSTA, JF	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	MELTON, JOY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	BENSON, KARLA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	DESHIELDS, JAMES	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	POORE, NANCY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.25	80.0	0.20	0.00	0.20
/ /	MCFERRON, LINDA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.31	80.0	0.25	0.00	0.25
/ /	VOCI, ROBERTO	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.68	80.0	0.54	0.00	0.54
/ /	WILLIAMS, JACKIE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.85	80.0	0.68	0.00	0.68
/ /	WRIGHT, MARK	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	POTTER, RK	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	RICK, JOSEPH	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.27	80.0	0.22	0.00	0.22
/ /	ARRINGTON, NANCY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, MEEGAN	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	MICHENER, DAVID	RYDEX GUGG ENERGY A	0.00	0.56	80.0	0.45	0.00	0.45
/ /	WHITETREE, THOMAS	RYDEX GUGG ENERGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX GUGG ENERGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG ENERGY A	0.00	0.24	80.0	0.19	0.00	0.19
/ /	LIVELY, ELIZABETH	RYDEX GUGG ENERGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	LIVELY, DUSTIN	RYDEX GUGG ENERGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUNTER, TIMOTHY	RYDEX GUGG ENERGY A	0.00	0.05	80.0	0.04	0.00	0.04

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	PUCKETT, CHERI	RYDEX GUGG ENERGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HARRALD, DONALD	RYDEX GUGG ENERGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG ENERGY A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	ROLLINS, LISA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.03	80.0	0.02	0.00	0.02
/ /	TREADWAY, PAMELA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.37	80.0	0.30	0.00	0.30
/ /	TREADWAY, TOMMY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.83	80.0	0.66	0.00	0.66
/ /	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.71	80.0	1.37	0.00	1.37
/ /	ACOSTA, JF	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.15	80.0	0.12	0.00	0.12
/ /	MELTON, JOY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.30	80.0	0.24	0.00	0.24
/ /	BENSON, KARLA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.12	80.0	0.10	0.00	0.10
/ /	DESHIELDS, JAMES	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.09	80.0	0.07	0.00	0.07
/ /	POORE, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.26	80.0	0.21	0.00	0.21
/ /	MCFERRON, LINDA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.53	80.0	0.42	0.00	0.42
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.13	80.0	0.90	0.00	0.90
/ /	WILLIAMS, JACKIE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.31	80.0	1.05	0.00	1.05
/ /	WRIGHT, MARK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.16	80.0	0.13	0.00	0.13
/ /	POTTER, RK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.15	80.0	0.12	0.00	0.12
/ /	BACON, RICHARD	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.46	80.0	0.37	0.00	0.37
/ /	ARRINGTON, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, KENLEE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HOLMAN, MEEGAN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.30	80.0	0.24	0.00	0.24
/ /	MICHENER, DAVID	RYDEX GUGG PRECIOUS METALS A	0.00	0.37	80.0	0.30	0.00	0.30
/ /	WHITETREE, THOMAS	RYDEX GUGG PRECIOUS METALS A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG PRECIOUS METALS A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG PRECIOUS METALS A	0.00	0.15	80.0	0.12	0.00	0.12
/ /	LIVELY, ELIZABETH	RYDEX GUGG PRECIOUS METALS A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	LIVELY, DUSTIN	RYDEX GUGG PRECIOUS METALS A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUNTER, TIMOTHY	RYDEX GUGG PRECIOUS METALS A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX GUGG PRECIOUS METALS A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HARRALD, DONALD	RYDEX GUGG PRECIOUS METALS A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG PRECIOUS METALS A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	ROLLINS, LISA	RYDEX GUGG PRECIOUS METALS C	0.00	0.01	80.0	0.01	0.00	0.01

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	TREADWAY, PAMELA	RYDEX GUGG PRECIOUS METALS C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	TREADWAY, TOMMY	RYDEX GUGG PRECIOUS METALS C	0.00	0.31	80.0	0.25	0.00	0.25
/ /	DOWNING, JOHN	RYDEX GUGG PRECIOUS METALS C	0.00	0.61	80.0	0.49	0.00	0.49
/ /	ACOSTA, JF	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MELTON, JOY	RYDEX GUGG PRECIOUS METALS C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	BENSON, KARLA	RYDEX GUGG PRECIOUS METALS C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	DESHIELDS, JAMES	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	POORE, NANCY	RYDEX GUGG PRECIOUS METALS C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	MCFERRON, LINDA	RYDEX GUGG PRECIOUS METALS C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	VOCI, ROBERTO	RYDEX GUGG PRECIOUS METALS C	0.00	0.41	80.0	0.33	0.00	0.33
/ /	WILLIAMS, JACKIE	RYDEX GUGG PRECIOUS METALS C	0.00	0.52	80.0	0.42	0.00	0.42
/ /	WRIGHT, MARK	RYDEX GUGG PRECIOUS METALS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	POTTER, RK	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	RICK, JOSEPH	RYDEX GUGG PRECIOUS METALS C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX GUGG PRECIOUS METALS C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	ARRINGTON, NANCY	RYDEX GUGG PRECIOUS METALS C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX GUGG PRECIOUS METALS C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	MICHENER, DAVID	RYDEX GUGG REAL ESTATE A	0.00	0.31	80.0	0.25	0.00	0.25
/ /	WHITETREE, THOMAS	RYDEX GUGG REAL ESTATE A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG REAL ESTATE A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG REAL ESTATE A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	LIVELY, ELIZABETH	RYDEX GUGG REAL ESTATE A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	RYDEX GUGG REAL ESTATE A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	RYDEX GUGG REAL ESTATE A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX GUGG REAL ESTATE A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HARRALD, DONALD	RYDEX GUGG REAL ESTATE A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX GUGG REAL ESTATE A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	ROLLINS, LISA	RYDEX GUGG REAL ESTATE C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX GUGG REAL ESTATE C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	TREADWAY, TOMMY	RYDEX GUGG REAL ESTATE C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	DOWNING, JOHN	RYDEX GUGG REAL ESTATE C	0.00	0.49	80.0	0.39	0.00	0.39

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	ACOSTA, JF	RYDEX GUGG REAL ESTATE C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	MELTON, JOY	RYDEX GUGG REAL ESTATE C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BENSON, KARLA	RYDEX GUGG REAL ESTATE C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	DESHIELDS, JAMES	RYDEX GUGG REAL ESTATE C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POORE, NANCY	RYDEX GUGG REAL ESTATE C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	MCFERRON, LINDA	RYDEX GUGG REAL ESTATE C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	VOCI, ROBERTO	RYDEX GUGG REAL ESTATE C	0.00	0.34	80.0	0.27	0.00	0.27
/ /	WILLIAMS, JACKIE	RYDEX GUGG REAL ESTATE C	0.00	0.42	80.0	0.34	0.00	0.34
/ /	WRIGHT, MARK	RYDEX GUGG REAL ESTATE C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG REAL ESTATE C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POTTER, RK	RYDEX GUGG REAL ESTATE C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	RICK, JOSEPH	RYDEX GUGG REAL ESTATE C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX GUGG REAL ESTATE C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	ARRINGTON, NANCY	RYDEX GUGG REAL ESTATE C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX GUGG REAL ESTATE C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX GUGG REAL ESTATE C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	MICHENER, DAVID	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.45	80.0	0.36	0.00	0.36
/ /	WHITETREE, THOMAS	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	LIVELY, ELIZABETH	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	LIVELY, DUSTIN	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUNTER, TIMOTHY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	PUCKETT, CHERI	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	DOBELBOWER, JORGE LARRY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HARRALD, DONALD	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	ROLLINS, LISA	RYDEX GUGG UTILITIES C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX GUGG UTILITIES C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	TREADWAY, TOMMY	RYDEX GUGG UTILITIES C	0.00	0.37	80.0	0.30	0.00	0.30
/ /	DOWNING, JOHN	RYDEX GUGG UTILITIES C	0.00	0.71	80.0	0.57	0.00	0.57
/ /	ACOSTA, JF	RYDEX GUGG UTILITIES C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MELTON, JOY	RYDEX GUGG UTILITIES C	0.00	0.14	80.0	0.11	0.00	0.11

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BENSON, KARLA	RYDEX GUGG UTILITIES C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	DESHIELDS, JAMES	RYDEX GUGG UTILITIES C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POORE, NANCY	RYDEX GUGG UTILITIES C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	MCFERRON, LINDA	RYDEX GUGG UTILITIES C	0.00	0.22	80.0	0.18	0.00	0.18
/ /	VOCI, ROBERTO	RYDEX GUGG UTILITIES C	0.00	0.48	80.0	0.38	0.00	0.38
/ /	WILLIAMS, JACKIE	RYDEX GUGG UTILITIES C	0.00	0.61	80.0	0.49	0.00	0.49
/ /	WRIGHT, MARK	RYDEX GUGG UTILITIES C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG UTILITIES C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX GUGG UTILITIES C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	RICK, JOSEPH	RYDEX GUGG UTILITIES C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX GUGG UTILITIES C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	ARRINGTON, NANCY	RYDEX GUGG UTILITIES C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX GUGG UTILITIES C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX GUGG UTILITIES C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	MICHENER, DAVID	RYDEX GUGG VELOCITY 100 A	0.00	0.85	80.0	0.68	0.00	0.68
/ /	WHITETREE, THOMAS	RYDEX GUGG VELOCITY 100 A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	CASTLE, DAVID	RYDEX GUGG VELOCITY 100 A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG VELOCITY 100 A	0.00	0.46	80.0	0.37	0.00	0.37
/ /	LIVELY, ELIZABETH	RYDEX GUGG VELOCITY 100 A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	LIVELY, DUSTIN	RYDEX GUGG VELOCITY 100 A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	HUNTER, TIMOTHY	RYDEX GUGG VELOCITY 100 A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	PUCKETT, CHERI	RYDEX GUGG VELOCITY 100 A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	DOBELBOWER, JORGE LARRY	RYDEX GUGG VELOCITY 100 A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HARRALD, DONALD	RYDEX GUGG VELOCITY 100 A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	SALLEE, MARY	RYDEX GUGG VELOCITY 100 A	0.00	0.17	80.0	0.14	0.00	0.14
/ /	KINNEY, ERICK	RYDEX GUGGENHEIM NASDAQ-100-A	0.00	0.22	80.0	0.18	0.00	0.18
/ /	MICHENER, DAVID	RYDEX GUGGENHEIM UTILITIES A	0.00	0.45	80.0	0.36	0.00	0.36
/ /	WHITETREE, THOMAS	RYDEX GUGGENHEIM UTILITIES A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGGENHEIM UTILITIES A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGGENHEIM UTILITIES A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	LIVELY, ELIZABETH	RYDEX GUGGENHEIM UTILITIES A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	LIVELY, DUSTIN	RYDEX GUGGENHEIM UTILITIES A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUNTER, TIMOTHY	RYDEX GUGGENHEIM UTILITIES A	0.00	0.04	80.0	0.03	0.00	0.03

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	PUCKETT, CHERI	RYDEX GUGGENHEIM UTILITIES A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HARRALD, DONALD	RYDEX GUGGENHEIM UTILITIES A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGGENHEIM UTILITIES A	0.00	0.07	80.0	0.06	0.00	0.06
* MUTUAL FUNDS SUBTOTAL *			\$2,433.98	\$299.63		\$239.80	\$0.00	\$239.80
<u>PRODUCT CATEGORY: STOCKS</u>								
02/03/2017	ACOSTA, ANNA	DIREXION SHS ETF TR DAILY	6,774.78	49.00	80.0	39.20	22.50	16.70
01/30/2017	CANFIELD, OWEN	DIREXION SHS ETF TR DIREXION DAILY	-90.10	29.00	80.0	23.20	22.50	0.70
01/30/2017	ACOSTA, ANNA	DIREXION SHS ETF TR DIREXION DAILY	-225.15	49.00	80.0	39.20	22.50	16.70
01/30/2017	TREADWAY, TOMMY	DIREXION SHS ETF TR DIREXION DAILY	-90.00	29.00	80.0	23.20	22.50	0.70
01/30/2017	CANFIELD, OWEN	DIREXION SHS ETF TR DIREXION DAILY	-90.10	22.00	80.0	17.60	22.50	-4.90
01/30/2017	ACOSTA, ANNA	DIREXION SHS ETF TR DIREXION DAILY	-225.15	22.00	80.0	17.60	22.50	-4.90
01/30/2017	TREADWAY, TOMMY	DIREXION SHS ETF TR DIREXION DAILY	-90.00	22.00	80.0	17.60	22.50	-4.90
* STOCKS SUBTOTAL *			\$5,964.28	\$222.00		\$177.60	\$157.50	\$20.10
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2017	BRANSTETTER, ERIK	JNL ELITE ACCESS	5,505.96	1.15	80.0	0.92	0.00	0.92
01/31/2017	BRANSTETTER, ERIK	JNL ELITE ACCESS	2,001.84	0.42	80.0	0.34	0.00	0.34
01/31/2017	CASTLE, DAVID	JNL ELITE ACCESS	2,513.29	0.52	80.0	0.42	0.00	0.42
01/31/2017	FIRST TRUST OF ONAGA	JNL ELITE ACCESS	2,686.88	0.56	80.0	0.45	0.00	0.45
01/31/2017	PYLE JR, JUSTIN	JNL ELITE ACCESS	26,584.01	5.54	80.0	4.43	0.00	4.43
01/31/2017	ALEXANDER, SUSAN	JNL PERSPECTIVE II 04/14	8,695.93	1.81	80.0	1.45	0.00	1.45
01/31/2017	RAY, RANDY	JNL PERSPECTIVE II 04/14	25,162.66	10.48	80.0	8.38	0.00	8.38
01/31/2017	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	52,145.02	10.86	80.0	8.69	0.00	8.69
01/31/2017	BRANSTETTER, TRACY	JNL PERSPECTIVE II 05/05	1,323.29	0.28	80.0	0.22	0.00	0.22
01/31/2017	HIPP, RONALD	JNL PERSPECTIVE II 05/05	9,318.96	1.94	80.0	1.55	0.00	1.55
01/31/2017	HOBBS, DONALD	JNL PERSPECTIVE II 05/05	7,698.83	1.60	80.0	1.28	0.00	1.28
01/31/2017	MCKIBBEN, SUSAN	JNL PERSPECTIVE II 05/05	79,925.87	16.65	80.0	13.32	0.00	13.32
01/31/2017	MANSFIELD, CORDELIA	JNL PERSPECTIVE L	61,021.16	66.11	80.0	52.89	0.00	52.89
01/31/2017	MCFERRON, LINDA	JNL PERSPECTIVE L	15,674.87	16.98	80.0	13.58	0.00	13.58
01/31/2017	WOODS III, JOHN	JNL PERSPECTIVE L	29,561.39	24.63	80.0	19.70	0.00	19.70
01/31/2017	WOODS III, JOHN	JNL PERSPECTIVE L	10,557.64	11.44	80.0	9.15	0.00	9.15
01/31/2017	DOWDY, LINDA	JNL PERSPECTIVE L	33,995.64	28.33	80.0	22.66	0.00	22.66
01/31/2017	DOWDY, LINDA	JNL PERSPECTIVE L	12,743.42	13.81	80.0	11.05	0.00	11.05

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2017	RICK, JOSEPH	JNL PERSPECTIVE L	8,984.77	7.49	80.0	5.99	0.00	5.99
01/31/2017	RICK, JOSEPH	JNL PERSPECTIVE L	11,668.54	12.64	80.0	10.11	0.00	10.11
01/31/2017	WILLIAMS, HARRY	JNL PERSPECTIVE L	6,705.94	5.59	80.0	4.47	0.00	4.47
01/31/2017	WILLIAMS, HARRY	JNL PERSPECTIVE L	11,176.56	12.11	80.0	9.69	0.00	9.69
01/31/2017	BRISCOE, TOMMIE	JNL PERSPECTIVE L	10,679.15	8.90	80.0	7.12	0.00	7.12
01/31/2017	BRISCOE, TOMMIE	JNL PERSPECTIVE L	9,763.45	10.58	80.0	8.46	0.00	8.46
01/31/2017	WILSON, TIM	JNL PERSPECTIVE L	22,857.77	19.05	80.0	15.24	0.00	15.24
01/31/2017	WILSON, TIM	JNL PERSPECTIVE L	9,392.49	10.18	80.0	8.14	0.00	8.14
01/31/2017	HERNDON, RONALD	JNL PERSPECTIVE L	15,887.37	13.24	80.0	10.59	0.00	10.59
01/31/2017	HERNDON, RONALD	JNL PERSPECTIVE L	9,366.75	10.15	80.0	8.12	0.00	8.12
01/31/2017	CANFIELD, OWEN	JNL PERSPECTIVE L	41,201.46	34.33	80.0	27.46	0.00	27.46
01/31/2017	CANFIELD, OWEN	JNL PERSPECTIVE L	9,998.08	10.83	80.0	8.66	0.00	8.66
01/31/2017	MOORE, TONI	JNL PERSPECTIVE L	211,867.22	176.56	80.0	141.25	0.00	141.25
01/31/2017	MICHENER, DAVID	JNL PERSPECTIVE L	256,225.65	213.52	80.0	170.82	0.00	170.82
01/31/2017	LEMMOND, MARY	JNL PERSPECTIVE L	19,255.60	16.05	80.0	12.84	0.00	12.84
01/31/2017	BRANSTETTER, LINDA	JNL PERSPECTIVE L	2,333.01	1.94	80.0	1.55	0.00	1.55
01/31/2017	BRANSTETTER, TODD	JNL PERSPECTIVE L	12,358.04	10.30	80.0	8.24	0.00	8.24
01/31/2017	MCFERRON, LARRY	JNL PERSPECTIVE L	18,963.40	15.80	80.0	12.64	0.00	12.64
01/31/2017	MANSFIELD, PHILLIP	JNL PERSPECTIVE L	48,113.95	40.09	80.0	32.07	0.00	32.07
01/31/2017	POORE, NANCY	JNL PERSPECTIVE L	45,931.77	38.28	80.0	30.62	0.00	30.62
01/31/2017	HUFFMAN, KRISTOPHER	JNL PERSPECTIVE L	54,769.62	45.64	80.0	36.51	0.00	36.51
01/31/2017	CASTLE, DAVID	JNL PERSPECTIVE L	110,386.26	91.99	80.0	73.59	0.00	73.59
01/31/2017	FARIS, THERESA ANN	JNL PERSPECTIVE L	32,954.76	27.46	80.0	21.97	0.00	21.97
01/31/2017	DOBELBOWER, JORGE LARRY	JNL PERSPECTIVE L	92,059.13	76.72	80.0	61.38	0.00	61.38
01/31/2017	MCKIDDY, BOBBY	JNL PERSPECTIVE L	19,359.17	16.13	80.0	12.90	0.00	12.90
01/31/2017	TREADWAY, PAMELA	JNL PERSPECTIVE L	39,201.68	32.67	80.0	26.14	0.00	26.14
01/31/2017	ACOSTA, ANNA	JNL PERSPECTIVE L	6,378.54	5.32	80.0	4.26	0.00	4.26
01/31/2017	STANFORD, DAVID	JOHN HANCOCK VENTURE	9,115.02	1.90	80.0	1.52	0.00	1.52
01/23/2017	MADEWELL, DOUGLAS	PAC LIFE INNOVATIVE SELECT	0.00	5.13	80.0	4.10	0.00	4.10
01/27/2017	SMIT, CONRAD	PAC LIFE INNOVATIVE SELECT	0.00	67.27	80.0	53.82	0.00	53.82
01/23/2017	HOWARD, JOSEPH	PAC LIFE PACIFIC CHOICE	0.00	3.41	80.0	2.73	0.00	2.73
01/24/2017	JENNINGS, CAROL	PAC LIFE PACIFIC CHOICE	0.00	9.37	80.0	7.50	0.00	7.50
01/24/2017	GARRISON, JENNIFER	PAC LIFE PACIFIC CHOICE	0.00	6.57	80.0	5.26	0.00	5.26

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/24/2017	KING, AMY	PAC LIFE PACIFIC CHOICE	0.00	16.08	80.0	12.86	0.00	12.86
01/23/2017	COLWELL, JEREMY	PAC LIFE VALUE	0.00	12.69	80.0	10.15	0.00	10.15
01/23/2017	PROCTOR, CHAD	PAC LIFE VALUE	0.00	8.29	80.0	6.63	0.00	6.63
01/23/2017	CRETSINGER, DON	PAC LIFE VALUE	0.00	13.60	80.0	10.88	0.00	10.88
01/23/2017	WHITE, JOANNE	PAC LIFE VALUE	0.00	10.40	80.0	8.32	0.00	8.32
01/23/2017	FISHER, SARA	PAC LIFE VALUE	0.00	8.88	80.0	7.10	0.00	7.10
01/25/2017	MCFERRON, LINDA	PAC LIFE VALUE	0.00	27.69	80.0	22.15	0.00	22.15
01/27/2017	SELENSKY, FRED	PAC LIFE VALUE	0.00	34.75	80.0	27.80	0.00	27.80
* VARIABLE ANNUITIES SUBTOTAL *			\$1,534,071.81	\$1,402.70		\$1,122.13	\$0.00	\$1,122.13
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/30/2017	FRANKS, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	55.86	80.0	44.69	0.00	44.69
01/30/2017	DUREN, DENNIS	PAC LIFE INNOVATIVE SELECT	0.00	21.07	80.0	16.86	0.00	16.86
02/03/2017	STINSON, BRADLEY	PAC LIFE INNOVATIVE SELECT	0.00	5.00	80.0	4.00	0.00	4.00
01/30/2017	PROCTOR, CHAD	PAC LIFE VALUE	0.00	74.77	80.0	59.82	0.00	59.82
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$156.70		\$125.37	\$0.00	\$125.37
*** BROKER TRANSACTIONS TOTAL ***			\$1,542,470.07	\$2,081.03		\$1,664.90	\$157.50	\$1,507.40
<u>ADJUSTMENTS</u>								
02/15/2017		*E & O INSURANCE: CURRENT MONTH	0.00					-300.00
02/15/2017		*MARKETING LIBRARY: MARKETING	0.00					-20.00
02/15/2017		*PERSHING: ETF PROSPECTUS	0.00					-4.62
02/15/2017		*PERSHING: MF PROSPECTUS	0.00					-6.43
02/15/2017		*REGISTRATION FEE: GA REG FEE	0.00					-50.00
02/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-656.05	\$0.00	\$-656.05

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BROKER COMMISSION TOTALS

Broker Transactions	\$1,507.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-656.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$851.35
Year-to-date Gross	\$5,209.21
Year-to-date Earnings	\$3,920.07