

COMMISSION STATEMENT

August 31, 2018

ERIC BELZ

1802 LITTLE JOHN STREET

MONTGOMERY, TX 77316

BROKER #: C40 C41

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/08/2018 (None)	VARIOUS, BELZ ERIC	ADVISOR GUIDED TRAILS	B	0.00	14.05	0.00	14.05	90.0	12.65
08/22/2018 (None)	VARIOUS, BELZ ERIC	OPPENHEIMER 68380L100	B	0.00	8.00	0.00	8.00	90.0	7.20
08/15/2018 00551427251	PLAN	PUTNAM 746933100	B	100.00	5.00	0.00	5.00	90.0	4.50
08/15/2018 00551427251	PLAN	PUTNAM 74676P102	B	100.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *				<u>\$200.00</u>	<u>\$32.05</u>	<u>\$0.00</u>	<u>\$32.05</u>		<u>\$28.85</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$200.00</u>	<u>\$32.05</u>	<u>\$0.00</u>	<u>\$32.05</u>		<u>\$28.85</u>

SPLIT TRANSACTIONS**BROKER: RICHARD BELZ #77**

08/17/2018 (None)	VARIOUS, BELZ RICHARD	BALANCED A	B	0.00	0.07	0.00	0.07		0.06
08/09/2018 000000000591610	VARIOUS, BELZ	309 - FRANKLIN INCOME FUND - CLASS	B	0.00	64.34	0.00	64.34		57.91
07/24/2018 000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	5.44	0.15	0.00	0.15		0.14
08/09/2018 000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	5.44	0.15	0.00	0.15		0.14
08/21/2018 000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	5.44	0.15	0.00	0.15		0.14
07/24/2018 000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	18.13	0.51	0.00	0.51		0.46
08/09/2018 000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	18.13	0.51	0.00	0.51		0.46
08/21/2018 000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	18.13	0.51	0.00	0.51		0.46
07/24/2018 000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	7.25	0.20	0.00	0.20		0.18
08/09/2018 000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	7.25	0.20	0.00	0.20		0.18
08/21/2018 000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	7.25	0.20	0.00	0.20		0.18
07/24/2018 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	5.44	0.15	0.00	0.15		0.14
08/09/2018 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	5.44	0.15	0.00	0.15		0.14
08/21/2018 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	5.44	0.15	0.00	0.15		0.14
08/06/2018 00056956375	FERRADO, MICHAEL	AMCAP FUND-A	B	15.00	0.64	0.00	0.64		0.58
08/21/2018 00064802351	SCHENK, ERIC	THE GROWTH FUND OF AMERICA-A	B	1.25	0.05	0.00	0.05		0.05
08/21/2018 00064818808	SCHENK, ERIC	AMCAP FUND-A	B	1.25	0.05	0.00	0.05		0.05
08/15/2018 00084040075	WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.50	0.02	0.00	0.02		0.02
08/15/2018 00084040075	WILLIAMS, ALYSSA	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.50	0.02	0.00	0.02		0.02
08/15/2018 00088094822	WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C	B	0.75	0.01	0.00	0.01		0.01

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<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
08/13/2018	00487862253	PERUGINI, MILDRED	PUTNAM 746745108	B	5.00	0.14	0.00	0.14	0.13
08/13/2018	00487862253	PERUGINI, MILDRED	PUTNAM 746802800	B	7.50	0.21	0.00	0.21	0.19
08/13/2018	00487862253	PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS B	B	7.50	0.21	0.00	0.21	0.19
08/16/2018	00493247534	PLAN	PUTNAM 74680E101	B	7.50	0.28	0.00	0.28	0.25
08/16/2018	00550827572	PLAN	PUTNAM 74680E101	B	7.50	0.28	0.00	0.28	0.25
08/22/2018	01000144893	VARIOUS, BELZ	OPPENHEIMER 683793400	B	0.00	113.71	0.00	113.71	102.34
08/08/2018	2158104580	VARIOUS, BELZ	OPPENHEIMER 68380L100	B	0.00	132.86	0.00	132.86	119.57
08/06/2018	QGW040081	CAUSIN, LAURENCE	JANUS HENDERSON MID CAP VALUE FUND	S	-648.05	2.50	0.00	2.50	2.25
08/20/2018	QGW034027	HALL, JONATHAN	GWG HLDGS INC COM	S	-873.75	8.25	0.60	7.65	6.83
08/17/2018	QGW035172	O'NEILL, RORY	VUZIX CORP COM NEW	B	1,125.00	12.38	0.60	11.78	10.54
08/17/2018	QGW035198	WULFF, HANS	COHERUS BIOSCIENCES INC COM	S	-3,500.00	21.70	0.60	21.10	18.93
08/17/2018	QGW035198	WULFF, HANS	VUZIX CORP COM NEW	B	937.50	12.09	0.60	11.49	10.28
08/09/2018	QGW035388	FAY, WALTER	SACHEM CAPITAL CORP COMMON SHARES	B	407.00	4.95	0.60	4.35	3.86
08/17/2018	QGW035511	LYDEN, MARY	VUZIX CORP COM NEW	B	1,125.00	12.38	0.60	11.78	10.54
08/17/2018	QGW035552	KMIECIAK, ZBIGNIEW	COHERUS BIOSCIENCES INC COM	S	-875.00	11.29	0.60	10.69	9.56
08/17/2018	QGW035560	AMENITA, FREDERICK	VUZIX CORP COM NEW	B	1,125.00	12.38	0.60	11.78	10.54
08/17/2018	QGW035594	DUSATKO, ANNE	VUZIX CORP COM NEW	B	1,125.00	12.38	0.60	11.78	10.54
08/21/2018	QGW035602	MURPHY, CHRISTIAN	WALT DISNEY CO	B	561.86	4.75	0.60	4.15	3.68
08/24/2018	QGW035677	KOLLAR, MAURA	OPKO HEALTH INC	B	600.00	7.74	0.60	7.14	6.37
08/17/2018	QGW035727	HERDTER, CYNTHIA	NABORS INDUSTRIES LTD	S	-325.79	4.40	0.60	3.80	3.36
08/17/2018	QGW035776	ZIMMERMAN, FRANCINE	BANK OF AMERICA CORP	S	-2,250.00	19.80	0.60	19.20	17.22
08/17/2018	QGW035776	ZIMMERMAN, FRANCINE	VUZIX CORP COM NEW	B	937.50	12.09	0.60	11.49	10.28
08/17/2018	QGW035792	NOLTE, DONNA	VUZIX CORP COM NEW	B	487.50	7.07	0.60	6.47	5.76
08/13/2018	QGW035867	ROGERS, MICHELE	SACHEM CAPITAL CORP COMMON SHARES	B	703.80	17.25	0.60	16.65	14.93
08/17/2018	QGW035917	BOVE, DOMINICK	VUZIX CORP COM NEW	B	1,125.00	12.38	0.60	11.78	10.54
08/17/2018	QGW035982	PANASUK, JOHN	BANK OF AMERICA CORP	S	-1,500.00	16.50	0.60	15.90	14.25
08/17/2018	QGW035982	PANASUK, JOHN	VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08	8.11
08/21/2018	QGW035982	PANASUK, JOHN	NABORS INDUSTRIES LTD	S	-779.25	7.75	0.60	7.15	6.38
07/30/2018	QGW036030	BALLO, CATHERINE	HEICO CORPORATION	B	800.00	10.32	0.60	9.72	8.69
08/10/2018	QGW036030	BALLO, CATHERINE	MEDALLION FINL CORP COM	B	942.00	7.40	0.60	6.80	6.06
08/17/2018	QGW036030	BALLO, CATHERINE	HEICO CORPORATION	B	2,000.00	17.60	0.60	17.00	15.24
08/17/2018	QGW036030	BALLO, CATHERINE	VUZIX CORP COM NEW	B	1,125.00	12.38	0.60	11.78	10.54
08/20/2018	QGW036030	BALLO, CATHERINE	GWG HLDGS INC COM	S	-911.25	9.40	0.60	8.80	7.86
08/20/2018	QGW036030	BALLO, CATHERINE	NABORS INDUSTRIES LTD	S	-598.57	5.75	0.60	5.15	4.58
08/17/2018	QGW036048	FITZPATRICK, BARRY	VUZIX CORP COM NEW	B	937.50	12.09	0.60	11.49	10.28
08/01/2018	QGW036063	PICCININNI,	GWG HLDGS INC COM	S	-111.00	1.75	0.60	1.15	0.98
08/20/2018	QGW036071	HENNEMANN, DENNIS	GWG HLDGS INC COM	S	-635.25	7.75	0.60	7.15	6.38
08/03/2018	QGW036089	HENNEMANN, PATRICIA	YANDEX N V CLASS A	B	1,800.00	15.84	0.60	15.24	13.66
08/20/2018	QGW036089	HENNEMANN, PATRICIA	GWG HLDGS INC COM	S	-297.50	3.25	0.60	2.65	2.33
08/17/2018	QGW036105	ARDOLINO, SUSAN	VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08	8.11

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SPLIT TRANSACTIONS									
BROKER: RICHARD BELZ #77									
08/13/2018	QGW036162	BENZA, GREGORY	MEDALLION FINL CORP COM	B	622.00	9.45	0.60	8.85	7.91
08/22/2018	QGW036162	BENZA, GREGORY	SACHEM CAPITAL CORP COMMON SHARES	B	1,353.60	32.00	0.60	31.40	28.20
08/20/2018	QGW036220	KOLLAR, RUTH	GWG HLDGS INC COM	S	-881.25	5.75	0.60	5.15	4.58
08/13/2018	QGW036279	MIKO, ARTHUR	SACHEM CAPITAL CORP COMMON SHARES	B	714.00	17.50	0.60	16.90	15.15
07/30/2018	QGW036311	FAST, KENNETH	SACHEM CAPITAL CORP COMMON SHARES	B	1,117.80	27.00	0.60	26.40	23.70
08/01/2018	QGW036386	FRANCK, RACHEL	GWG HLDGS INC COM	S	-187.50	4.10	0.60	3.50	3.09
07/30/2018	QGW037004	STAAB, MARY	ALCOA CORPORATION COMMON STOCK	S	-471.75	4.95	0.60	4.35	3.86
07/30/2018	QGW037004	STAAB, MARY	ARCONIC INC COM	S	-695.74	4.95	0.60	4.35	3.86
08/07/2018	QGW037004	STAAB, MARY	GWG HLDGS INC COM	S	-847.00	6.00	0.60	5.40	4.80
08/17/2018	QGW037012	STAAB, MARY	VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08	8.11
08/17/2018	QGW037087	01/19/2016, U/A	ENERGOUS CORP COM	B	750.00	9.68	0.60	9.08	8.11
08/13/2018	QGW037103	BURNS, KATHLEEN	SACHEM CAPITAL CORP COMMON SHARES	B	183.80	3.60	0.60	3.00	2.64
08/15/2018	QGW037103	BURNS, KATHLEEN	SACHEM CAPITAL CORP COMMON SHARES	B	88.74	1.74	0.60	1.14	0.97
08/17/2018	QGW037103	BURNS, KATHLEEN	VUZIX CORP COM NEW	B	2,437.50	21.45	0.60	20.85	18.71
08/28/2018	QGW037103	BURNS, KATHLEEN	SACHEM CAPITAL CORP COMMON SHARES	S	-11.74	0.09	0.60	-0.51	-0.52
08/17/2018	QGW038002	WICKERS, SCOTT	VUZIX CORP COM NEW	B	750.00	9.68	0.60	9.08	8.11
08/17/2018	QGW039125	WHITE, KERRY	VUZIX CORP COM NEW	B	1,125.00	12.38	0.60	11.78	10.54
07/30/2018	QGW039257	EVANGELISTA, DAVID	SACHEM CAPITAL CORP COMMON SHARES	B	988.80	24.00	0.60	23.40	21.00
08/03/2018	QGW039497	CAUSIN, KERRY	IQIYI INC SPONS ADR ISIN#US46267X10	B	442.50	6.42	0.60	5.82	5.18
08/10/2018	QGW039497	CAUSIN, KERRY	YANDEX N V CLASS A	B	887.50	11.45	0.60	10.85	9.71
08/17/2018	QGW039570	KMIECIAK, STEFANIA	MEDALLION FINL CORP COM	B	595.00	5.75	0.60	5.15	4.58
08/07/2018	QGW039612	DUSATKO, FRANK	VERIZON COMMUNICATIONS	B	2,624.16	22.25	0.60	21.65	19.43
08/24/2018	QGW039745	LEWIS, THOMAS	BUCKEYE PARTNERS LP UNITS LTD PARTN	S	-1,847.00	3.50	0.60	2.90	2.55
08/21/2018	QGW039869	OHARA, JOHN	BUCKEYE PARTNERS LP UNITS LTD PARTN	S	-1,867.50	11.25	0.60	10.65	9.53
08/20/2018	QGW039901	BELZ, OLGA	HEICO CORPORATION	B	237.50	0.60	0.60	0.00	-0.06
08/06/2018	QGW039943	NESTOR, MARYLOU	SACHEM CAPITAL CORP COMMON SHARES	B	307.50	9.25	0.60	8.65	7.73
08/24/2018	QGW040081	CAUSIN, LAURENCE	JD.COM INC SPONSORED ADR REPSTG COM	B	1,380.00	15.18	0.60	14.58	13.06
08/03/2018	QGW040099	CAUSIN, KERRY	NEW RESIDENTIAL INVESTMENT CORP	B	639.00	7.95	0.60	7.35	6.56
08/21/2018	(None)	VARIOUS, BELZ RICHARD	GWG BONDS	B	0.00	50.00	0.60	49.40	44.40
08/28/2018	QGW035198	WULFF, HANS	BARCLAYS BANK PLC FR 8%083030	B	2,000.00	35.00	0.60	34.40	30.90
08/28/2018	QGW039612	DUSATKO, FRANK	BARCLAYS BANK PLC FR 8%083030	B	2,500.00	43.75	0.60	43.15	38.78
08/23/2018	QGW035040	THUILOT, CHARLES	CALL 100 VUZIX CORP COM NEW EXP 10-	S	-35.00	3.55	1.04	2.51	2.16
08/23/2018	QGW035172	O'NEILL, RORY	CALL 100 VUZIX CORP COM NEW EXP 10-	S	-35.00	3.55	1.04	2.51	2.16
08/23/2018	QGW035172	O'NEILL, RORY	CALL 100 VUZIX CORP COM NEW EXP 10-	S	35.00	-3.55	-1.04	-2.51	-2.16 C
08/23/2018	QGW035198	WULFF, HANS	CALL 100 VUZIX CORP COM NEW EXP 10-	S	-25.00	2.45	0.91	1.54	1.30
08/27/2018	QGW035198	WULFF, HANS	CALL 100 OPKO HEALTH INC COM EXP 10	S	-37.50	3.50	1.23	2.27	1.92
08/23/2018	QGW035511	LYDEN, MARY	CALL 100 VUZIX CORP COM NEW EXP 01-	S	-90.00	4.95	0.98	3.97	3.48
08/03/2018	QGW035529	AMENITA, FREDERICK	PUT 100 VUZIX CORP COM NEW EXP 09-2	S	-120.00	4.95	0.79	4.16	3.67
08/21/2018	QGW035552	KMIECIAK, ZBIGNIEW	CALL 100 PAYPAL HLDGS INC COM EXP 1	S	-19.80	1.90	0.64	1.26	1.07
08/22/2018	QGW035560	AMENITA, FREDERICK	CALL 100 VUZIX CORP COM NEW EXP 01-	S	-82.50	4.95	0.98	3.97	3.48

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<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
08/22/2018	QGW035560	AMENITA, FREDERICK	CALL 100	VUZIX CORP COM NEW EXP 01- S	82.50	-4.95	-0.98	-3.97	-3.48 C
08/22/2018	QGW035560	AMENITA, FREDERICK	CALL 100	VUZIX CORP COM NEW EXP 01- S	-82.50	4.95	0.98	3.97	3.48
08/23/2018	QGW035594	DUSATKO, ANNE	CALL 100	NABORS INDS LTD SHS ISIN#B S	-40.50	4.05	1.16	2.89	2.49
08/21/2018	QGW035693	MASSA, WILLIAM	CALL 100	PAYPAL HLDGS INC COM EXP 1 S	-19.80	1.90	0.64	1.26	1.07
08/21/2018	QGW035701	SZARKA, MICHAEL	CALL 100	PAYPAL HLDGS INC COM EXP 1 S	-20.25	2.00	0.64	1.36	1.16
08/17/2018	QGW035727	HERDTER, CYNTHIA	CALL 100	ENERGOUS CORP COM EXP 11-1 S	-47.95	4.75	0.73	4.02	3.55
08/21/2018	QGW035768	MASSA, PATRICIA	CALL 100	PAYPAL HLDGS INC COM EXP 1 S	-20.25	2.00	0.64	1.36	1.16
08/23/2018	QGW035768	MASSA, PATRICIA	CALL 100	NABORS INDS LTD SHS ISIN#B S	-18.00	1.65	0.85	0.80	0.64
08/23/2018	QGW035768	MASSA, PATRICIA	CALL 100	VUZIX CORP COM NEW EXP 10- S	-62.50	4.95	1.23	3.72	3.23
08/21/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100	VUZIX CORP COM NEW EXP 01- S	-65.00	4.95	0.91	4.04	3.55
08/23/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-11.00	1.25	0.85	0.40	0.28
08/21/2018	QGW035792	NOLTE, DONNA	CALL 100	VUZIX CORP COM NEW EXP 01- S	-32.50	3.25	0.76	2.49	2.17
08/29/2018	QGW035792	NOLTE, DONNA	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-20.35	1.95	0.74	1.21	1.02
08/21/2018	QGW035834	CHAUDHRY, JAHANZEB	CALL 100	PAYPAL HLDGS INC COM EXP 1 S	-21.00	2.20	0.64	1.56	1.34
08/22/2018	QGW035917	BOVE, DOMINICK	CALL 100	VUZIX CORP COM NEW EXP 10- S	-30.00	3.10	0.98	2.12	1.81
08/22/2018	QGW035982	PANASUK, JOHN	CALL 100	VUZIX CORP COM NEW EXP 01- S	-55.00	4.95	0.85	4.10	3.61
08/17/2018	QGW035990	HERDTER, RICHARD	CALL 100	ENERGOUS CORP COM EXP 11-1 S	-96.00	4.95	0.85	4.10	3.61
08/23/2018	QGW036006	WALLNER, ERIC	CALL 100	VUZIX CORP COM NEW EXP 01- S	-60.00	4.95	0.85	4.10	3.61
08/01/2018	QGW036030	BALLO, CATHERINE	PUT 100	TRANSOCEAN LTD REG SHS ISIN S	-62.50	4.95	0.91	4.04	3.55
08/14/2018	QGW036030	BALLO, CATHERINE	CALL 100	HEICO CORP NEW COM EXP 09- S	-14.50	1.65	0.63	1.02	0.86
08/22/2018	QGW036030	BALLO, CATHERINE	CALL 100	HEICO CORP NEW COM EXP 09- S	-10.00	1.45	0.66	0.79	0.65
08/22/2018	QGW036030	BALLO, CATHERINE	CALL 100	VUZIX CORP COM NEW EXP 01- S	-82.50	4.95	0.98	3.97	3.48
08/23/2018	QGW036030	BALLO, CATHERINE	CALL 100	ENERGOUS CORP COM EXP 10-1 S	-156.25	4.95	0.91	4.04	3.55
08/22/2018	QGW036048	FITZPATRICK, BARRY	CALL 100	VUZIX CORP COM NEW EXP 01- S	-68.75	4.95	0.91	4.04	3.55
08/03/2018	QGW036055	MATACOTTA, CORINNA	PUT 100	IQIYI INC SPONS ADR ISIN#US S	-37.50	3.80	0.73	3.07	2.69
08/23/2018	QGW036071	HENNEMANN, DENNIS	CALL 100	PAYPAL HLDGS INC COM EXP 1 S	-24.00	2.65	0.64	2.01	1.75
08/15/2018	QGW036089	HENNEMANN, PATRICIA	PUT 100	VUZIX CORP COM NEW EXP 01-1 S	-75.00	4.95	0.85	4.10	3.61
08/23/2018	QGW036105	ARDOLINO, SUSAN	CALL 100	VUZIX CORP COM NEW EXP 01- S	-65.00	4.95	0.85	4.10	3.61
08/23/2018	QGW037012	STAAB, MARY	CALL 100	VUZIX CORP COM NEW EXP 01- S	-65.00	4.95	0.85	4.10	3.61
08/16/2018	QGW037087	01/19/2016, U/A	PUT 100	COHERUS BIOSCIENCES INC COM S	-55.00	4.95	0.73	4.22	3.73
08/28/2018	QGW037087	01/19/2016, U/A	CALL 100	OPKO HEALTH INC COM EXP 01 S	-75.00	4.95	0.98	3.97	3.48
08/01/2018	QGW037103	BURNS, KATHLEEN	PUT 100	TRANSOCEAN LTD REG SHS ISIN S	-50.00	4.95	0.85	4.10	3.61
08/21/2018	QGW037103	BURNS, KATHLEEN	CALL 100	VUZIX CORP COM NEW EXP 01- S	-162.50	4.95	1.41	3.54	3.05
08/24/2018	QGW038002	WICKERS, SCOTT	CALL 100	VUZIX CORP COM NEW EXP 10- S	-6.00	0.61	0.65	-0.04	-0.10
08/28/2018	QGW038556	LAPINTA, LEONARD	CALL 100	OPKO HEALTH INC COM EXP 09 S	-22.50	1.75	0.98	0.77	0.60
08/15/2018	QGW038978	KMIECIAK, INES	PUT 100	TRANSOCEAN LTD REG SHS ISIN S	-33.00	3.25	0.85	2.40	2.08
08/23/2018	QGW039091	CITARELLA, FRANK	CALL 100	PAYPAL HLDGS INC COM EXP 1 S	-23.70	2.95	0.64	2.31	2.02
08/23/2018	QGW039125	WHITE, KERRY	CALL 100	VUZIX CORP COM NEW EXP 10- S	-37.50	3.40	0.98	2.42	2.08
08/23/2018	QGW039125	WHITE, KERRY	PUT 100	HEICO CORP NEW COM EXP 09-2 S	-97.50	4.95	0.73	4.22	3.73
07/31/2018	QGW039257	EVANGELISTA, DAVID	PUT 100	VUZIX CORP COM NEW EXP 09-2 S	-25.00	2.40	0.85	1.55	1.31

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
08/03/2018	QGW039471	MARTINEZ, MARY		PUT 100 COHERUS BIOSCIENCES INC COM S	-34.50	4.90	0.68	4.22	3.73
08/15/2018	QGW039562	BENZA, GREGORY		PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-75.00	4.95	0.85	4.10	3.61
08/08/2018	QGW039570	KMIECIAK, STEFANIA		PUT 100 ENERGOUS CORP COM EXP 09-21 S	-30.00	2.95	0.73	2.22	1.93
08/07/2018	QGW039612	DUSATKO, FRANK		PUT 100 ZILLOW GROUP INC CL C CAP S S	-67.50	4.95	0.73	4.22	3.73
08/15/2018	QGW039612	DUSATKO, FRANK		PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-33.00	3.25	0.85	2.40	2.08
08/15/2018	QGW039612	DUSATKO, FRANK		PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-112.50	4.95	0.98	3.97	3.48
08/16/2018	QGW039612	DUSATKO, FRANK		PUT 100 COHERUS BIOSCIENCES INC COM S	-82.50	4.95	0.79	4.16	3.67
08/27/2018	QGW039612	DUSATKO, FRANK		PUT 100 TESLA INC EXP 09-07-18 @280 S	-29.00	2.95	0.63	2.32	2.03
08/21/2018	QGW039745	LEWIS, THOMAS		CALL 100 PAYPAL HLDGS INC COM EXP 0 S	-36.80	3.55	0.70	2.85	2.50
08/24/2018	QGW039745	LEWIS, THOMAS		PUT 100 NATIONAL OILWELL VARCO INC S	-23.00	1.75	0.73	1.02	0.85
08/24/2018	QGW039745	LEWIS, THOMAS		PUT 100 VUZIX CORP COM NEW EXP 10-1 S	-40.00	3.40	1.23	2.17	1.83
08/24/2018	QGW039869	OHARA, JOHN		CALL 100 PAYPAL HLDGS INC COM EXP 1 S	-20.40	2.60	0.64	1.96	1.70
08/24/2018	QGW039869	OHARA, JOHN		PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-36.00	3.70	0.85	2.85	2.48
08/10/2018	QGW040081	CAUSIN, LAURENCE		PUT 100 JD COM INC SPONS ADR REPSTG S	-31.60	3.00	0.70	2.30	2.00
08/20/2018	QGW031783	JACKSON, FRANK		FRANKLIN GROWTH FUND CLASS A B	0.00	0.23	0.00	0.23	0.21
08/15/2018	QGW034035	FAMILY 2012 IRREV		FEDERATED KAUFMANN FUND B	0.00	1.08	0.00	1.08	0.97
08/15/2018	QGW034035	FAMILY 2012 IRREV		FEDERATED MDT LARGE CAP VALUE FUND B	0.00	1.32	0.00	1.32	1.19
08/20/2018	QGW034035	FAMILY 2012 IRREV		PUTNAM 746745108 B	0.00	0.84	0.00	0.84	0.76
08/20/2018	QGW034035	FAMILY 2012 IRREV		PUTNAM 746745207 B	0.00	0.33	0.00	0.33	0.30
08/20/2018	QGW034035	FAMILY 2012 IRREV		PUTNAM 746802511 B	0.00	0.56	0.00	0.56	0.50
08/21/2018	QGW035503	SIMPSON, DAVID		GLOBAL OPPORTUNITIES A B	0.00	0.06	0.00	0.06	0.05
08/10/2018	QGW036774	STOCKWELL, GLENN		ALLIANCEBERNSTEIN GROWTH AND INC A B	0.00	0.22	0.00	0.22	0.20
08/10/2018	QGW036774	STOCKWELL, GLENN		ALLIANCEBERNSTEIN LARGE-CAP GROWTH B	0.00	0.67	0.00	0.67	0.60
08/16/2018	QGW036774	STOCKWELL, GLENN		DREYFUS RESH GROWTH FD INC SHS CL A B	0.00	0.56	0.00	0.56	0.50
08/16/2018	QGW037129	GILMARTIN, MARGARET		THE HARTFORD CAPITAL APPRECIATION F B	0.00	0.30	0.00	0.30	0.27
08/20/2018	QGW038002	WICKERS, SCOTT		FIDELITY ADVISOR BALANCED CLASS A B	0.00	0.15	0.00	0.15	0.14
08/20/2018	QGW038002	WICKERS, SCOTT		FIDELITY ADVISOR EQUITY INCOME CL A B	0.00	0.15	0.00	0.15	0.14
08/24/2018	QGW038002	WICKERS, SCOTT		BLACKROCK ADVANTAGE SMALL CAP GROWT B	0.00	0.09	0.00	0.09	0.08
08/24/2018	QGW038002	WICKERS, SCOTT		BLACKROCK HIGH YIELD BOND FUND CLAS B	0.00	0.09	0.00	0.09	0.08
08/24/2018	QGW038002	WICKERS, SCOTT		BLACKROCK BASIC VALUE FUND INC CLAS B	0.00	0.18	0.00	0.18	0.16
08/24/2018	QGW038002	WICKERS, SCOTT		BLACKROCK EMERGING MARKETS FUND, IN B	0.00	0.17	0.00	0.17	0.15
08/24/2018	QGW038002	WICKERS, SCOTT		BLACKROCK CAP APPRECIATION FD INC C B	0.00	0.23	0.00	0.23	0.21
08/24/2018	QGW038002	WICKERS, SCOTT		BLACKROCK GLOBAL ALLOCATION FUND IN B	0.00	0.15	0.00	0.15	0.14
08/24/2018	QGW038002	WICKERS, SCOTT		BLACKROCK VALUE OPPORTUNITIES FUND B	0.00	0.08	0.00	0.08	0.07
08/24/2018	QGW038002	WICKERS, SCOTT		BLACKROCK STRATEGIC INCOME OPPORTUN B	0.00	0.07	0.00	0.07	0.06
08/21/2018	QGW038556	LAPINTA, LEONARD		GLOBAL FUND A B	0.00	0.29	0.00	0.29	0.26
08/21/2018	QGW038556	LAPINTA, LEONARD		OPPENHEIMER DEVELOPING MARKETS CLAS B	0.00	0.34	0.00	0.34	0.31
08/21/2018	QGW038556	LAPINTA, LEONARD		QUEST VALUE A B	0.00	0.41	0.00	0.41	0.37
08/21/2018	QGW039935	MARCOLINI, COREY		ROCHESTER FUND MUNICIPALS A B	0.00	0.54	0.00	0.54	0.49
08/21/2018	QGW039968	MAGGIOTTO, DONNA		ROCHESTER FUND MUNICIPALS A B	0.00	0.54	0.00	0.54	0.49

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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SPLIT TRANSACTIONS

BROKER: RICHARD BELZ #77

08/16/2018 QGW040081 CAUSIN, LAURENCE	PIMCO LOW DURATION FUND-CL A	B	0.00	0.19	0.00	0.19	0.17
* RICHARD BELZ SUBTOTAL *			\$18,976.80	\$1,309.29	\$87.35	\$1,221.94	\$1,091.38
*** SPLIT TRANSACTIONS TOTAL ***			\$18,976.80	\$1,309.29	\$87.35	\$1,221.94	\$1,091.38

ADJUSTMENTS

08/31/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-20.00
08/31/2018	OTHER: OTHER-TELEPHONE	0.00	0.00	0.00	0.00	-3.25
08/31/2018	OTHER: TECHNOLOGY	0.00	0.00	0.00	0.00	-150.00
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-173.25</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$28.85
Split Transactions	\$1,091.38
Override Transactions	\$0.00
Adjustments	\$-173.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.68
SIPC Assessment	\$-3.35

Check Amount	\$941.95
Year-to-date Earnings	\$11,511.82