



All Companies

01/30/2018
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COMMISSION STATEMENT

February 01, 2018

JAMES ROBERT PALMERSHEIM
601 S Main St. 202

BROKER #: 9530
BRANCH: HOME OFFICE

Grapevine, TX 76051

COMMISSION STATEMENT for JAMES ROBERT PALMERSHEIM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
01/12/2018 10848249	FANDLER, DEBORAH	SIGNAL SECURITIES RIA	B	0.00	29.33	0.00	29.33	90.0	26.40
01/12/2018 10918728	REL FORD, ROBERTA LYNN	SIGNAL SECURITIES RIA	B	0.00	197.33	0.00	197.33	90.0	177.60
01/12/2018 52045658	PERKINS- 401K, JOSEPH	SIGNAL SECURITIES RIA	B	0.00	484.00	0.00	484.00	90.0	435.60
RICHARD									
01/12/2018 52089632	PERKINS, J. RICHARD	SIGNAL SECURITIES RIA	B	0.00	183.00	0.00	183.00	90.0	164.70
01/12/2018 52130632	PERKINS, SHIRLEY A	SIGNAL SECURITIES RIA	B	0.00	11.67	0.00	11.67	90.0	10.50
01/12/2018 52147632	PERKINS, SHIRLEY A	SIGNAL SECURITIES RIA	B	0.00	28.67	0.00	28.67	90.0	25.80
01/12/2018 61056175	REL FORD, ROBERTA LYNN	SIGNAL SECURITIES RIA	B	0.00	11.33	0.00	11.33	90.0	10.20
01/12/2018 61400902	PERKINS, J. RICHARD	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	90.0	9.30
01/12/2018 80512276	PERKINS- 401K, JOSEPH	SIGNAL SECURITIES RIA	B	0.00	230.33	0.00	230.33	90.0	207.30
RICHARD									
01/12/2018 81083146	FANDLER, FRED	SIGNAL SECURITIES RIA	B	0.00	586.00	0.00	586.00	90.0	527.40
				* RIA SUBTOTAL *	\$0.00	\$1,771.99	\$0.00	\$1,771.99	\$1,594.80
				*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$1,771.99	\$0.00	\$1,771.99	\$1,594.80

SPLIT TRANSACTIONS

BROKER: KELLERMAN PALMERSHEIM/ #9534

01/22/2018	BUSH, ABE A. (JR.)	SIGNAL SECURITIES RIA	B	0.00	92.30	0.00	92.30	90.0	83.07
01/24/2018	HORN, JEFF/OPTIMIZER	SIGNAL SECURITIES RIA	B	0.00	528.90	0.00	528.90	90.0	476.01
01/24/2018	COLE, JAMES JEFFRY	SIGNAL SECURITIES RIA	B	0.00	413.23	0.00	413.23	90.0	371.91
02/01/2018	TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES		0.00	47.88	0.00	47.88	90.0	43.10
01/16/2018 00083950080	CUNNINGHAM, MARY	AMERICAN FUNDS EURO PACIFIC	GROWT B	175.00	3.76	0.00	3.76	90.0	3.38
01/12/2018 10556019	GILLILAND, JULIE	SIGNAL SECURITIES RIA	B	0.00	30.96	0.00	30.96	90.0	27.86
DEANN									
01/12/2018 10882572	BRUCE, JUDITH IRENE	SIGNAL SECURITIES RIA	B	0.00	95.75	0.00	95.75	90.0	86.18
01/12/2018 20872135	SLAUGHTER, JUSTINE	SIGNAL SECURITIES RIA	B	0.00	77.26	0.00	77.26	90.0	69.53
MARIE									
01/12/2018 22163519	ABBOTT, JAMES ADDISON	SIGNAL SECURITIES RIA	B	0.00	15.19	0.00	15.19	90.0	13.67
01/12/2018 30561785	THOMAS, LARRY D	SIGNAL SECURITIES RIA	B	0.00	107.21	0.00	107.21	90.0	96.49

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: KELLERMAN PALMERSHEIM/ #9534</u>									
01/12/2018	31528580 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	1,995.20	0.00	1,995.20	90.0	1,795.68
01/12/2018	31671856 THOMAS, POLLY S.	SIGNAL SECURITIES RIA	B	0.00	13.47	0.00	13.47	90.0	12.12
01/12/2018	41540562 LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	9.60	0.00	9.60	90.0	8.64
01/12/2018	51260395 SUTHERLAND, MIKE DEAN	SIGNAL SECURITIES RIA	B	0.00	302.86	0.00	302.86	90.0	272.57
01/12/2018	51489395 FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	147.78	0.00	147.78	90.0	133.00
01/12/2018	52007712 CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	2.87	0.00	2.87	90.0	2.58
01/12/2018	71014745 ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	17.77	0.00	17.77	90.0	15.99
01/12/2018	79512456 BRUCE, GRANVILLE WAYNE	SIGNAL SECURITIES RIA	B	0.00	60.34	0.00	60.34	90.0	54.31
01/12/2018	82007581 KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	160.53	0.00	160.53	90.0	144.48
01/12/2018	89721188 HARRIS, SHARON K	SIGNAL SECURITIES RIA	B	0.00	0.57	0.00	0.57	90.0	0.51
01/12/2018	90768067 ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	115.24	0.00	115.24	90.0	103.72
01/12/2018	91789542 FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	32.68	0.00	32.68	90.0	29.41
01/12/2018	91886872 ABBOTT, NANCYE/JAMES	SIGNAL SECURITIES RIA	B	0.00	289.96	0.00	289.96	90.0	260.96
01/12/2018	95761721 KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	4.30	0.00	4.30	90.0	3.87
01/12/2018	99442454 CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	18.49	0.00	18.49	90.0	16.64
* KELLERMAN PALMERSHEIM/ SUBTOTAL *				\$175.00	\$4,584.10	\$0.00	\$4,584.10		\$4,125.68
<u>BROKER: PALMERSHEIM/SNOW #9613</u>									
01/12/2018	15447982 PEARSON, DIANNE H	SIGNAL SECURITIES RIA	B	0.00	168.00	0.00	168.00	90.0	151.20
01/12/2018	16695746 QUANBECK, REA DENISE	SIGNAL SECURITIES RIA	B	0.00	45.00	0.00	45.00	90.0	40.50
01/12/2018	18091956 BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	35.60	0.00	35.60	90.0	32.04
01/12/2018	20770029 BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	281.00	0.00	281.00	90.0	252.90
01/12/2018	27933838 RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	29.00	0.00	29.00	90.0	26.10
01/12/2018	29192217 JOHNSON, TONYA WAYNETTE	SIGNAL SECURITIES RIA	B	0.00	93.00	0.00	93.00	90.0	83.70
01/12/2018	29329286 QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	B	0.00	360.20	0.00	360.20	90.0	324.18
01/12/2018	31207916 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	115.00	0.00	115.00	90.0	103.50
01/12/2018	31528580 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	326.80	0.00	326.80	90.0	294.12
01/12/2018	33117987 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	19.40	0.00	19.40	90.0	17.46
01/12/2018	35080832 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	32.80	0.00	32.80	90.0	29.52
01/12/2018	35770153 RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	7.40	0.00	7.40	90.0	6.66
01/12/2018	39222483 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	157.20	0.00	157.20	90.0	141.48
01/12/2018	39816703 WARREN, GLORIA CLARK	SIGNAL SECURITIES RIA	B	0.00	109.60	0.00	109.60	90.0	98.64
01/22/2018	401K OPTIMIZER PUKIS, BARBARA ANN	SIGNAL SECURITIES RIA	B	0.00	601.80	0.00	601.80	90.0	541.62
01/12/2018	41540562 LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	223.00	0.00	223.00	90.0	200.70

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: PALMERSHEIM/SNOW #9613</u>									
01/12/2018	42458316 WARREN, WILLIAM W	SIGNAL SECURITIES RIA	B	0.00	63.20	0.00	63.20	90.0	56.88
01/12/2018	49635286 BERNARD, DONNA GAY	SIGNAL SECURITIES RIA	B	0.00	27.00	0.00	27.00	90.0	24.30
	PANCA								
01/25/2018	52160912 ELLIOTT, PAMELA	SIGNAL SECURITIES RIA	B	0.00	-12.33	0.00	-12.33	90.0	-11.10
01/12/2018	56512595 BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	B	0.00	10.60	0.00	10.60	90.0	9.54
	JEAN								
01/12/2018	58196619 STELLY, TIMOTHY &	SIGNAL SECURITIES RIA	B	0.00	1.60	0.00	1.60	90.0	1.44
	HALEY								
01/12/2018	59968411 KINUNEN, LEZLIE ANNE	SIGNAL SECURITIES RIA	B	0.00	135.60	0.00	135.60	90.0	122.04
01/12/2018	62652031 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	154.80	0.00	154.80	90.0	139.32
01/12/2018	64024640 QUANBECK, GORDON	SIGNAL SECURITIES RIA	B	0.00	39.00	0.00	39.00	90.0	35.10
	(HAL)								
01/12/2018	67104851 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	189.40	0.00	189.40	90.0	170.46
01/12/2018	68428952 HORN, JEFFREY	SIGNAL SECURITIES RIA	B	0.00	352.60	0.00	352.60	90.0	317.34
01/12/2018	68892175 LAWRENCE, JERROLD B	SIGNAL SECURITIES RIA	B	0.00	271.20	0.00	271.20	90.0	244.08
01/12/2018	70196330 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	48.00	0.00	48.00	90.0	43.20
01/12/2018	72490138 PUKIS, BARBARA ANN	SIGNAL SECURITIES RIA	B	0.00	128.80	0.00	128.80	90.0	115.92
01/12/2018	72540730 BLALOCK III, WILLIAM	SIGNAL SECURITIES RIA	B	0.00	238.00	0.00	238.00	90.0	214.20
	J								
01/12/2018	73959390 VOIRIN FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	32.20	0.00	32.20	90.0	28.98
01/12/2018	74609933 REESE, PEGGY M	SIGNAL SECURITIES RIA	B	0.00	13.40	0.00	13.40	90.0	12.06
01/12/2018	74970598 MCKEEL, GWEN F	SIGNAL SECURITIES RIA	B	0.00	27.00	0.00	27.00	90.0	24.30
01/12/2018	75970772 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	31.40	0.00	31.40	90.0	28.26
01/12/2018	81184800 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	293.00	0.00	293.00	90.0	263.70
01/12/2018	81184802 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	46.40	0.00	46.40	90.0	41.76
01/12/2018	81944763 RENDER,	SIGNAL SECURITIES RIA	B	0.00	234.20	0.00	234.20	90.0	210.78
	SYLVIA/RICHARD								
01/12/2018	85616643 STELLY, TIMOTHY &	SIGNAL SECURITIES RIA	B	0.00	30.00	0.00	30.00	90.0	27.00
	HALEY								
01/12/2018	87845699 KELLERMAN,	SIGNAL SECURITIES RIA	B	0.00	413.40	0.00	413.40	90.0	372.06
	NANCY/MICHAEL								
01/12/2018	87940275 HART, CHARLOTT/ROBERT	SIGNAL SECURITIES RIA	B	0.00	120.20	0.00	120.20	90.0	108.18
01/12/2018	91118996 BRENNAN, JEAN S	SIGNAL SECURITIES RIA	B	0.00	11.40	0.00	11.40	90.0	10.26
01/12/2018	96915588 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	13.40	0.00	13.40	90.0	12.06
01/12/2018	97397744 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	109.80	0.00	109.80	90.0	98.82
* PALMERSHEIM/SNOW SUBTOTAL *				\$0.00	\$5,628.07	\$0.00	\$5,628.07		\$5,065.26

COMMISSION STATEMENT for JAMES ROBERT PALMERSHEIM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
01/12/2018 11999298	BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	323.64	0.00	323.64	90.0	291.28
01/12/2018 11999298	BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	378.24	0.00	378.24	90.0	340.42
01/12/2018 13081653	FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	25.92	0.00	25.92	90.0	23.33
01/12/2018 17017159	RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	1.68	0.00	1.68	90.0	1.51
01/12/2018 24551097	HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	97.68	0.00	97.68	90.0	87.91
01/12/2018 24551097	HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	477.72	0.00	477.72	90.0	429.95
10/13/2017 27613913	RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	106.56	0.00	106.56	90.0	95.90
10/13/2017 27613913	RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	106.56	0.00	106.56	90.0	95.90
10/13/2017 27613913	RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	106.56	0.00	106.56	90.0	95.90
01/12/2018 27613913	RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	109.68	0.00	109.68	90.0	98.71
01/29/2018 27613913	RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	660.24	0.00	660.24	90.0	594.22
01/12/2018 29396124	COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	24.84	0.00	24.84	90.0	22.36
01/12/2018 32611628	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	29.16	0.00	29.16	90.0	26.24
01/12/2018 34872059	HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	503.64	0.00	503.64	90.0	453.28
01/12/2018 34872059	HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	314.88	0.00	314.88	90.0	283.39
01/12/2018 37351723	CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	280.56	0.00	280.56	90.0	252.50
01/12/2018 39099528	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	35.52	0.00	35.52	90.0	31.97
01/24/2018 401K OPTIMIZER	BROWN, THEODORE A	SIGNAL SECURITIES RIA	B	0.00	225.00	0.00	225.00	90.0	202.50
01/24/2018 401K OPTIMIZER	CHENOWETH, JUDSON GARY	SIGNAL SECURITIES RIA	B	0.00	309.96	0.00	309.96	90.0	278.96
01/12/2018 52065317	EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	B	0.00	425.52	0.00	425.52	90.0	382.97
01/12/2018 52065317	EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	B	0.00	40.20	0.00	40.20	90.0	36.18
01/12/2018 58050029	RAINES, JOEY SHANKLAND	SIGNAL SECURITIES RIA	B	0.00	20.88	0.00	20.88	90.0	18.79
01/12/2018 68493114	CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	372.60	0.00	372.60	90.0	335.34
01/12/2018 68493114	CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	181.92	0.00	181.92	90.0	163.73
01/12/2018 84865050	SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	B	0.00	341.28	0.00	341.28	90.0	307.15
01/12/2018 84865050	SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	B	0.00	84.72	0.00	84.72	90.0	76.25

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
01/12/2018	86608828 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	25.20	0.00	25.20	90.0	22.68
01/12/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	430.20	0.00	430.20	90.0	387.18
01/12/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	1.44	0.00	1.44	90.0	1.30
01/12/2018	90329067 RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	142.32	0.00	142.32	90.0	128.09
01/12/2018	92709834 RAY, CHARLES A	SIGNAL SECURITIES RIA	B	0.00	12.60	0.00	12.60	90.0	11.34
01/12/2018	94409837 RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	8.76	0.00	8.76	90.0	7.88
01/12/2018	98008502 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	3.24	0.00	3.24	90.0	2.92
01/12/2018	98257329 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	328.92	0.00	328.92	90.0	296.03
* ERIC CHARLES GUTH SUBTOTAL *				<u>\$0.00</u>	<u>\$6,537.84</u>	<u>\$0.00</u>	<u>\$6,537.84</u>		<u>\$5,884.06</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$175.00</u>	<u>\$16,750.01</u>	<u>\$0.00</u>	<u>\$16,750.01</u>		<u>\$15,075.00</u>

ADJUSTMENTS

02/01/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
02/01/2018	MISC: SNOW-WESTWOOD CENTER RENT JAN	0.00	0.00	0.00	0.00	600.00
02/01/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$472.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,631.52
Split Transactions	\$15,038.28
Override Transactions	\$0.00
Adjustments	\$472.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-80.15

Check Amount	\$17,062.15
Year-to-date Earnings	\$25,531.64