



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 15, 2018

01/12/2018
Page No. 1

FRED CHASALOW
2523 Hastings Drive

Belmont, CA 94002-

BROKER #: C121 Terminated 12/31/2015
BRANCH: FRED CHASALOW

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^12/28/2017	PALMER, AUDREY	VEREIT INC COM	-3,066.00	22.00	22.60	-0.60	72.0	0.00
* STOCKS SUBTOTAL *			\$-3,066.00	\$22.00	\$22.60	\$-0.60		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-3,066.00	\$22.00	\$22.60	\$-0.60		\$0.00
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
^12/29/2017	CHASALOW, FRED	ALLIANZ ALTERITY OPTIONS	101,286.69	253.22	0.00	253.22	72.0	0.00
^12/29/2017	MCKEE, MARY	ALLIANZ ALTERITY OPTIONS	271,846.71	679.62	0.00	679.62	72.0	0.00
^12/29/2017	CHASALOW, SANDRA	ALLIANZ HIGH FIVE ENHANCED	100,347.63	125.43	0.00	125.43	72.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$473,481.03	\$1,058.27	\$0.00	\$1,058.27		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^12/21/2017	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.85	0.00	6.85	72.0	0.00
^12/21/2017	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.84	0.00	1.84	72.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$8.69	\$0.00	\$8.69		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$473,481.03	\$1,066.96	\$0.00	\$1,066.96		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: A132 - HERB/FRED ALSTON/CHASALOW #413								
12/29/2017	CHASALOW, FRED	ALLIANZ ALTERITY OPTIONS	101,286.69	84.32	0.00	84.32	72.0	60.71
12/29/2017	CHASALOW, SANDRA	ALLIANZ ALTERITY OPTIONS	228,282.93	190.05	0.00	190.05	72.0	136.84
12/29/2017	MCKEE, MARY	ALLIANZ ALTERITY OPTIONS	271,846.71	226.31	0.00	226.31	72.0	162.94
12/29/2017	CHASALOW, SANDRA	ALLIANZ ALTERITY OPTIONS	228,282.93	190.05	0.00	190.05	72.0	136.84
01/09/2018	CHASALOW, VARIOUS	ALLIANZ FUNDS	0.00	3,612.36	0.00	3,612.36	72.0	2,600.90
12/29/2017	CHASALOW, SANDRA	ALLIANZ HIGH FIVE ENHANCED	100,347.63	41.77	0.00	41.77	72.0	30.07
12/11/2017	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	2.54	0.00	2.54	72.0	1.83
12/11/2017	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	0.38	0.00	0.38	72.0	0.27
12/11/2017	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	1.67	0.00	1.67	72.0	1.20
12/21/2017	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.60	0.00	4.60	72.0	3.31
12/21/2017	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.28	0.00	2.28	72.0	1.64
12/21/2017	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.28	0.00	2.28	72.0	1.64
12/21/2017	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.29	0.00	1.29	72.0	0.93
12/21/2017	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.83	0.00	1.83	72.0	1.32
12/21/2017	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.61	0.00	0.61	72.0	0.44
12/21/2017	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.92	0.00	0.92	72.0	0.66
12/21/2017	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.29	0.00	1.29	72.0	0.93
12/21/2017	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	0.39	0.00	0.39	72.0	0.28
12/15/2017	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	0.91	0.00	0.91	72.0	0.66
12/15/2017	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	3.59	0.00	3.59	72.0	2.58
12/21/2017	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	0.68	0.00	0.68	72.0	0.49
12/28/2017	PALMER, AUDREY	VEREIT INC COM	-3,066.00	7.33	7.53	-0.20	72.0	-0.14
* A132 - HERB/FRED ALSTON/CHASALOW SUBTOTAL *			\$926,980.89	\$4,377.45	\$7.53	\$4,369.92		\$3,146.34
*** SPLIT TRANSACTIONS TOTAL ***			\$926,980.89	\$4,377.45	\$7.53	\$4,369.92		\$3,146.34

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$3,146.34
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$38.52
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,184.86
Year-to-date Gross	\$4,377.45
Year-to-date Earnings	\$3,146.34