



COMMISSION STATEMENT

September 30, 2017

KEITH LAYMAN
4321 Flagstaff

BROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
09/21/2017	KAUFFMAN, JOHN	ANHEUSER BUSCH INBEV SA NV SPONSORE	-7,601.10	0.00	0.00	0.00	90.0	0.00
09/21/2017	KAUFFMAN, JOHN	BP PLC SPONS ADR	-7,281.30	0.00	0.00	0.00	90.0	0.00
09/21/2017	KAUFFMAN, JOHN	IRON MTN INC NEW COM	-8,529.05	0.00	0.00	0.00	90.0	0.00
09/18/2017	MARCH, MELODY	VANGUARD BD INDEX FD INC INTERMEDIA	-5,095.45	0.00	0.00	0.00	90.0	0.00
09/14/2017	MARCH, MELODY	VANGUARD SCOTTSDALE FDS VANGUARD SH	-10,010.20	0.00	0.00	0.00	90.0	0.00
09/18/2017	MARCH, MELODY	VANGUARD SCOTTSDALE FDS VANGUARD SH	-6,004.88	0.00	0.00	0.00	90.0	0.00
* STOCKS SUBTOTAL *			\$-44,521.98	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: RIA								
09/15/2017	MCFEETERS, RYAN	AMERICAN FUNDS	86.79	0.11	0.00	0.11	90.0	0.10
09/15/2017	MCFEETERS, RYAN	AMERICAN FUNDS	20.16	0.03	0.00	0.03	90.0	0.03
09/15/2017	MCFEETERS, RYAN	AMERICAN FUNDS	18.89	0.02	0.00	0.02	90.0	0.02
09/15/2017	MCFEETERS, RYAN	AMERICAN FUNDS	14.78	0.02	0.00	0.02	90.0	0.02
09/15/2017	MCFEETERS, RYAN	AMERICAN FUNDS	16.79	0.02	0.00	0.02	90.0	0.02
09/15/2017	MCFEETERS, RYAN	AMERICAN FUNDS	28.34	0.04	0.00	0.04	90.0	0.04
09/15/2017	MCFEETERS, RYAN	AMERICAN FUNDS	70.31	0.09	0.00	0.09	90.0	0.08
09/15/2017	MCFEETERS, RYAN	AMERICAN FUNDS	19.24	0.02	0.00	0.02	90.0	0.02
09/15/2017	MCFEETERS, RYAN	AMERICAN FUNDS	21.72	0.03	0.00	0.03	90.0	0.03
09/15/2017	MCFEETERS, RYAN	AMERICAN FUNDS	12.30	0.02	0.00	0.02	90.0	0.02
09/15/2017	MCFEETERS, VALERIE	AMERICAN FUNDS	452.43	0.57	0.00	0.57	90.0	0.51
09/15/2017	MCFEETERS, VALERIE	AMERICAN FUNDS	92.75	0.12	0.00	0.12	90.0	0.11
09/15/2017	MCFEETERS, VALERIE	AMERICAN FUNDS	67.78	0.09	0.00	0.09	90.0	0.08
09/15/2017	MCFEETERS, VALERIE	AMERICAN FUNDS	43.25	0.05	0.00	0.05	90.0	0.05
09/15/2017	MCFEETERS, VALERIE	AMERICAN FUNDS	224.30	0.28	0.00	0.28	90.0	0.25
09/15/2017	MCFEETERS, VALERIE	AMERICAN FUNDS	73.65	0.09	0.00	0.09	90.0	0.08
09/15/2017	MCFEETERS, VALERIE	AMERICAN FUNDS	90.25	0.11	0.00	0.11	90.0	0.10
09/15/2017	RAMUS, CHARLES	AMERICAN FUNDS	2,999.06	3.78	0.00	3.78	90.0	3.40

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
09/15/2017	RAMUS, CHARLES	AMERICAN FUNDS	2,824.34	3.56	0.00	3.56	90.0	3.20
09/15/2017	RAMUS, CHARLES	AMERICAN FUNDS	2,415.38	3.04	0.00	3.04	90.0	2.74
09/15/2017	RAMUS, CHARLES	AMERICAN FUNDS	2,208.22	2.78	0.00	2.78	90.0	2.50
09/15/2017	RAMUS, CHARLES	AMERICAN FUNDS	2,476.57	3.12	0.00	3.12	90.0	2.81
09/15/2017	RAMUS, CHARLES	AMERICAN FUNDS	2,046.35	2.58	0.00	2.58	90.0	2.32
09/15/2017	RAMUS, CHARLES	AMERICAN FUNDS	8,668.47	10.92	0.00	10.92	90.0	9.83
09/15/2017	RAMUS, DARLENE	AMERICAN FUNDS	561.49	0.71	0.00	0.71	90.0	0.64
09/15/2017	RAMUS, DARLENE	AMERICAN FUNDS	235.24	0.30	0.00	0.30	90.0	0.27
09/15/2017	RAMUS, DARLENE	AMERICAN FUNDS	203.44	0.26	0.00	0.26	90.0	0.23
09/01/2017	FISCHER, HELEN	CLS INVESTMENTS	40,376.86	10.93	0.00	10.93	90.0	9.84
09/11/2017	JOHNSON, JAMES	CLS INVESTMENTS	4,011.98	5.83	0.00	5.83	90.0	5.25
09/11/2017	JOHNSON, JAMES	CLS INVESTMENTS	12,053.56	16.84	0.00	16.84	90.0	15.16
09/11/2017	JOHNSON, JAMES	CLS INVESTMENTS	15.68	0.02	0.00	0.02	90.0	0.02
09/11/2017	JOHNSON, AMY	CLS INVESTMENTS	5,833.22	8.47	0.00	8.47	90.0	7.62
09/11/2017	JOHNSON, AMY	CLS INVESTMENTS	245.72	0.34	0.00	0.34	90.0	0.31
09/11/2017	PARROT, JUDITH	CLS INVESTMENTS	17,954.96	30.01	0.00	30.01	90.0	27.01
09/11/2017	WEIDES, MARK	CLS INVESTMENTS	27,411.70	37.17	0.00	37.17	90.0	33.45
09/11/2017	WEIDES, MARK	CLS INVESTMENTS	25,414.45	38.09	0.00	38.09	90.0	34.28
09/11/2017	WEIDES, LISA	CLS INVESTMENTS	15,720.51	21.32	0.00	21.32	90.0	19.19
09/11/2017	WEIDES, LISA	CLS INVESTMENTS	14,575.09	21.84	0.00	21.84	90.0	19.66
09/11/2017	WEIDES, LISA	CLS INVESTMENTS	11,180.82	15.93	0.00	15.93	90.0	14.34
09/11/2017	WEIDES, LISA	CLS INVESTMENTS	722.81	0.95	0.00	0.95	90.0	0.86
09/11/2017	WEIDES, MARK	CLS INVESTMENTS	9,626.75	13.71	0.00	13.71	90.0	12.34
09/18/2017	FISCHER, HELEN	CLS INVESTMENTS	49,999.99	89.82	0.00	89.82	90.0	80.84
09/18/2017	HOLLIS, TONYUA	CLS INVESTMENTS	24,585.51	36.37	0.00	36.37	90.0	32.73
09/18/2017	HOLLIS, TONYUA	CLS INVESTMENTS	17,772.63	24.35	0.00	24.35	90.0	21.92
09/18/2017	HOLLIS, TONYUA	CLS INVESTMENTS	2,496.85	3.56	0.00	3.56	90.0	3.20
09/18/2017	HOLLIS, TONYUA	CLS INVESTMENTS	114.14	0.14	0.00	0.14	90.0	0.13
09/18/2017	HOLLIS, TONYUA	CLS INVESTMENTS	103.04	0.09	0.00	0.09	90.0	0.08
* RIA SUBTOTAL *			\$306,208.56	\$408.54	\$0.00	\$408.54		\$367.73
*** BROKER TRANSACTIONS TOTAL ***			\$261,686.58	\$408.54	\$0.00	\$408.54		\$367.73

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
09/30/2017		*PERSHING: MF PROSPECTUS	0.00					-2.70
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-2.70

BROKER COMMISSION TOTALS

Broker Transactions	\$367.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2.70
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$365.03
Year-to-date Gross	\$263,209.91
Year-to-date Earnings	\$237,065.55

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed