

All Companies  
**STATEMENT**  
June 20, 2018

YUPENG FU

BROKER #: 615  
BRANCH: LP BRANCH

, CA

COMMISSION STATEMENT for YUPENG FU

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

05/02/2018 # HUANG, FENGYI	FIREEYE INC COM	12,989.90	300.16	80.0	19.95	260.08	19.95	240.13
05/07/2018 # YU, JIAN	EXXON MOBIL CORP	19,740.85	0.00	80.0	0.00	0.00	0.00	0.00
05/07/2018 # YU, JIAN	SELECT SECTOR SPDR TRUST SHS BEN IN	15,079.62	0.00	80.0	0.00	0.00	0.00	0.00
* STOCKS SUBTOTAL *		\$47,810.37	\$300.16		\$19.95	\$260.08	\$19.95	\$240.13

PRODUCT CATEGORY: OPTIONS

05/02/2018 # HUANG, FENGYI	PUT FEYE	06/01/18	18	595.00	35.00	80.0	19.95	47.95	33.60	14.35
05/25/2018 # HUANG, RICHARD	CALL TGT	01/18/19	80	5,875.00	293.75	80.0	19.95	254.95	68.70	186.25
05/25/2018 # HUANG, RICHARD	CALL VHC	12/22/12	32	9,875.00	372.80	80.0	19.95	318.19	68.70	249.49
* OPTIONS SUBTOTAL *				\$16,345.00	\$701.55		\$59.85	\$621.09	\$171.00	\$450.09
*** BROKER TRANSACTIONS TOTAL ***				\$64,155.37	\$1,001.71		\$79.80	\$881.17	\$190.95	\$690.22

ADJUSTMENTS

06/20/2018	CRD FEES: CRD FEES FBI FINGERPRINT FEE	-10.00
06/20/2018	CRD FEES: CRD FEES FINRA MEMBER HDOP FINGERPRINT	-30.00
*** ADJUSTMENTS TOTAL ***		\$-40.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$690.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-40.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$650.22</b>
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