

All Companies  
**STATEMENT**  
**July 20, 2018**

JAMES FULTON  
11276 Rt 322  
SUITE 620  
Shipperville, PA 16254

BROKER #: 183  
BRANCH: LA BRANCH

COMMISSION STATEMENT for JAMES FULTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
05/01/0018	#66196689901	JOHNSON, PATTY HARTFORD SMART529	STATIC GROWTH A	4,361.11	0.93	93.0	0.00	0.86	0.86
05/01/0018	#66752257501	BELLIS, KATHRYN HARTFORD SMART529	STATIC GROWTH A	4,361.11	0.93	93.0	0.00	0.86	0.86
05/01/0018	#66752257502	BELLIS, KATHRYN HARTFORD SMART529	STATIC GROWTH A	4,361.11	0.93	93.0	0.00	0.86	0.86
* MUTUAL FUNDS SUBTOTAL *			<b>\$13,083.33</b>	<b>\$2.79</b>		<b>\$0.00</b>	<b>\$2.58</b>	<b>\$0.00</b>	<b>\$2.58</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
06/01/2018	# LAZICH, NATLIJA	CISCO SYSTEMS INC		3,452.40	85.05	85.0	0.00	72.29	52.34
* STOCKS SUBTOTAL *			<b>\$3,452.40</b>	<b>\$85.05</b>		<b>\$0.00</b>	<b>\$72.29</b>	<b>\$19.95</b>	<b>\$52.34</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
06/09/0018	#142014A85191424871	POWNEY, SECURITY BENEFIT		0.00	2.00	93.0	0.00	1.86	1.86
06/09/0018	#142014A80169683976	FRAZIER, SECURITY BENEFIT		0.00	1.05	93.0	0.00	0.98	0.98
06/09/0018	#142014A85191424871	POWNEY, SECURITY BENEFIT		0.00	0.82	93.0	0.00	0.76	0.76
06/15/2018	#1010686900	HOOK, LINDA PERSPECTIVE II		50.00	3.75	93.0	0.00	3.49	3.49
06/20/2018	#1016965398	MCANINCH, DEBORA ELITE ACCESS		300.00	15.75	93.0	0.00	14.65	14.65
06/30/2018	#1013353863	BELLIS, KATHRYN ELITE ACCESS		3,381.68	1.13	93.0	0.00	1.05	1.05
06/30/2018	#1012671012	CALDWELL, BRIAN ELITE ACCESS		25,756.42	8.59	93.0	0.00	7.99	7.99
06/30/2018	#1012670598	MCANINCH, DEBORA ELITE ACCESS		35,447.76	11.82	93.0	0.00	10.99	10.99
06/30/2018	#1013102925	MCGROARTY, ELITE ACCESS		41,625.22	13.88	93.0	0.00	12.91	12.91
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$106,561.08</b>	<b>\$58.79</b>		<b>\$0.00</b>	<b>\$54.68</b>	<b>\$0.00</b>	<b>\$54.68</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
06/11/2018	# POWNEY, SUSAN	DAIN TRAILER		0.00	0.24	93.0	0.00	0.22	0.22
06/11/2018	# POWNEY, SUSAN	DAIN TRAILER		0.00	1.74	93.0	0.00	1.62	1.62
06/11/2018	# BELLIS, KATHRYN	DAIN TRAILER		0.00	0.13	93.0	0.00	0.12	0.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<b>\$0.00</b>	<b>\$2.11</b>		<b>\$0.00</b>	<b>\$1.96</b>	<b>\$0.00</b>	<b>\$1.96</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$123,096.81</b>	<b>\$148.74</b>		<b>\$0.00</b>	<b>\$131.51</b>	<b>\$19.95</b>	<b>\$111.56</b>

COMMISSION STATEMENT for JAMES FULTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	------	---------	-------------------	--------------------	----------------------

ADJUSTMENTS

07/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
07/20/2018		ADMIN FEE: ADMIN FEE							-390.00
*** ADJUSTMENTS TOTAL ***									<u>\$-569.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$111.56
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-924.85
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-1,382.29</u>
-----------------	--------------------