



Concorde Investment Services, LLC
COMMISSION STATEMENT
October 15, 2017

10/11/2017
Page No. 1

JOHN KLETT
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: K142
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/15/2017	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	7.41	0.00	7.41	75.0	5.56
09/20/2017	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	4.04	0.00	4.04	75.0	3.03
09/20/2017	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.19	0.00	6.19	75.0	4.64
09/19/2017	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.15	0.00	5.15	75.0	3.86
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$22.79	\$0.00	\$22.79		\$17.09
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$22.79	\$0.00	\$22.79		\$17.09
<u>ADJUSTMENTS</u>								
10/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-300.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$17.09
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-300.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-347.13
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-630.04
Year-to-date Gross	\$169.76
Year-to-date Earnings	\$93.59