

**COMMISSION STATEMENT****December 31, 2017**

BARRY LE MAY
 2242 Camden Ave
 Suite 102
 San Jose, CA 95124

BROKER #: L122
BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
12/26/2017	HEWITSON, DAVID	HPI FUND V	600,000.00	24,000.00	0.00	24,000.00	90.0	21,600.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$600,000.00	\$24,000.00	\$0.00	\$24,000.00		\$21,600.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/17/2017	LEMAY, MONIQUE	ALTEGRIS WINTON FUTURES FUND LP A	0.00	16.28	0.00	16.28	90.0	14.65
		* MUTUAL FUNDS SUBTOTAL *	\$0.00	\$16.28	\$0.00	\$16.28		\$14.65
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/17/2017	VIZIR, VITALI	ALTEGRIS WINTON FUTURES FUND LP A	0.00	81.38	0.00	81.38	90.0	73.24
12/17/2017	BARDELL, PAULA	ALTEGRIS WINTON FUTURES FUND LP A	0.00	19.91	0.00	19.91	90.0	17.92
11/30/2017	MACKENZIE, MICHELLE	BLK GLOBAL ALLOCATION C	0.00	14.50	0.00	14.50	90.0	13.05
11/30/2017	MACKENZIE, MICHELLE	BLK GLOBAL ALLOCATION C	0.00	4.83	0.00	4.83	90.0	4.35
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$120.62	\$0.00	\$120.62		\$108.56
		*** DIRECT TRANSACTIONS TOTAL ***	\$600,000.00	\$24,136.90	\$0.00	\$24,136.90		\$21,723.21
<u>ADJUSTMENTS</u>								
12/31/2017		*CONCIERGE SVC: 203209 FELDSTEIN	0.00					-50.00
12/31/2017		*CONCIERGE SVC: 204410 DINAPOLI	0.00					-50.00
12/31/2017		*CONCIERGE SVC: 205037 HEWITSON TTE	0.00					-50.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-150.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$21,723.21
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-150.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$21,573.21
Year-to-date Gross	\$248,569.44
Year-to-date Earnings	\$223,054.72