

COMMISSION STATEMENT

November 30, 2017

JOHN HEIDENREICH
7 EAST 86TH ST
APT 15B
NEW YORK, NY 10028

BROKER #: R90 / /42
BRANCH: HOME OFFICE

COMMISSION STATEMENT for JOHN HEIDENREICH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
11/10/2017	75501290 PRESTON, MARGARITA	GOLDMAN SACHS HIGH YIELD FUND CL A	S	5,100.00	0.00	0.00	0.00	65.0	0.00
11/21/2017	75501291 PRESTON, MARGARITA	AMERICAN HIGH-INCOME TRUST-A	S	16,000.00	0.00	0.00	0.00	65.0	0.00
11/06/2017	75501312 HEIDENREICH, CHARLYN	MFS EMERGING GROWTH FUND CLASS A	S	2,074.30	0.00	0.00	0.00	65.0	0.00
11/07/2017	75501312 HEIDENREICH, CHARLYN	INTERMEDIATE BOND FUND OF AMERICA-A	S	150.00	0.00	0.00	0.00	65.0	0.00
11/10/2017	75501316 PIPER, CAROL	FEDERATED HIGH INCOME BOND FUND	S	5,600.00	0.00	0.00	0.00	65.0	0.00
11/02/2017	75501317 PIPER, CAROL	NEW PERSPECTIVE FUND-A	S	2,400.00	0.00	0.00	0.00	65.0	0.00
11/09/2017	75501325 ANN S TOTTEN	FRANKLIN INCOME FUND CLASS A	S	800.00	0.00	0.00	0.00	65.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$32,124.30	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

11/10/2017	75501290 PRESTON, MARGARITA	CBS CORP CLASS B	B	5,627.90	105.00	10.00	95.00	65.0	58.25
11/28/2017	75501290 PRESTON, MARGARITA	ENTERCOM COMMUNICATIONS CORP CLASS	S	6,772.10	206.78	10.00	196.78	65.0	124.41
11/10/2017	75501291 PRESTON, MARGARITA	CBS CORP CLASS B	B	5,627.90	105.00	10.00	95.00	65.0	58.25
11/16/2017	75501291 PRESTON, MARGARITA	MERIDIAN WASTE SOLUTIONS INC COMMON	S	12,750.00	500.00	10.00	490.00	65.0	315.00
11/24/2017	75501291 PRESTON, MARGARITA	ENTERCOM COMMUNICATIONS CORP CLASS	S	6,659.70	205.04	10.00	195.04	65.0	123.28
11/10/2017	75501292 JOE, HENRY	CBS CORP CLASS B	B	5,618.00	99.00	10.00	89.00	65.0	54.35
11/16/2017	75501292 JOE, HENRY	MERIDIAN WASTE SOLUTIONS INC COMMON	S	12,240.00	360.00	10.00	350.00	65.0	224.00
11/28/2017	75501292 JOE, HENRY	ENTERCOM COMMUNICATIONS CORP CLASS	S	6,800.20	207.22	10.00	197.22	65.0	124.69
11/10/2017	75501293 JOE, HENRY	APPLE INC	S	8,738.51	75.00	10.00	65.00	65.0	38.75
11/10/2017	75501293 JOE, HENRY	CBS CORP CLASS B	B	5,618.00	99.00	10.00	89.00	65.0	54.35
11/28/2017	75501293 JOE, HENRY	ENTERCOM COMMUNICATIONS CORP CLASS	S	6,800.20	207.22	10.00	197.22	65.0	124.69
11/21/2017	75501305 BUNIN, ARLENE	NEW AMERICA HIGH INCOME FUND INC (T	S	18,137.52	466.97	10.00	456.97	65.0	293.53
11/10/2017	75501307 TOTTEN, ANN	CBS CORP CLASS B	B	5,625.92	105.00	10.00	95.00	65.0	58.25
11/17/2017	75501307 TOTTEN, ANN	NUVEEN PREFERRED AND INCOME 2022 TE	S	17,539.80	172.50	10.00	162.50	65.0	102.13
11/22/2017	75501307 TOTTEN, ANN	HAIN CELESTIAL GROUP INC	B	8,216.00	182.35	10.00	172.35	65.0	108.53
11/28/2017	75501307 TOTTEN, ANN	ENTERCOM COMMUNICATIONS CORP CLASS	S	6,772.10	206.78	10.00	196.78	65.0	124.41
11/09/2017	75501308 ANN S TOTTEN	WINDSTREAM HOLDINGS INC COM	S	903.42	50.00	10.00	40.00	65.0	22.50
11/10/2017	75501308 ANN S TOTTEN	CBS CORP CLASS B	B	4,822.69	85.00	10.00	75.00	65.0	45.25
11/28/2017	75501308 ANN S TOTTEN	ENTERCOM COMMUNICATIONS CORP CLASS	S	5,820.15	179.58	10.00	169.58	65.0	106.73
11/22/2017	75501313 HEIDENREICH, ROBERT	TWITTER INC	S	22,120.00	453.33	10.00	443.33	65.0	284.66
11/10/2017	75501316 PIPER, CAROL	CBS CORP CLASS B	B	5,625.92	105.00	10.00	95.00	65.0	58.25

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
11/27/2017	75501316	PIPER, CAROL		ENTERCOM COMMUNICATIONS CORP CLASS S	6,715.90	205.91	10.00	195.91	65.0	123.84
11/22/2017	75502119	COHEN, MICHAEL		MFS HIGH INCOME MUNICIPAL TRUST S	30,666.00	827.89	10.00	817.89	65.0	528.13
11/22/2017	75502119	COHEN, MICHAEL		MFS HIGH YIELD MUNICIPAL TRUST S	28,563.32	842.22	10.00	832.22	65.0	537.44
11/16/2017	QGW036543	MAT9 LLC		MERIDIAN WASTE SOLUTIONS INC COMMON S	-8,457.40	320.58	10.00	310.58	65.0	198.38
11/06/2017	QGW036766	STOIC LLC		MATINAS BIOPHARMA HLDGS INC COM S	-5,290.80	242.50	10.00	232.50	65.0	147.63
11/15/2017	QGW036766	STOIC LLC		MATINAS BIOPHARMA HLDGS INC COM S	-3,416.00	203.22	10.00	193.22	65.0	122.09
11/15/2017	QGW036766	STOIC LLC		MATINAS BIOPHARMA HLDGS INC COM S	3,416.00	-203.22	-10.00	-193.22	65.0	-122.09 C
11/15/2017	QGW036766	STOIC LLC		MATINAS BIOPHARMA HLDGS INC COM S	-3,416.00	140.00	10.00	130.00	65.0	81.00
11/17/2017	QGW036766	STOIC LLC		MATINAS BIOPHARMA HLDGS INC COM S	-720.00	33.00	10.00	23.00	65.0	11.45
11/20/2017	QGW036766	STOIC LLC		MATINAS BIOPHARMA HLDGS INC COM S	-4,200.00	192.50	10.00	182.50	65.0	115.13
11/21/2017	QGW036766	STOIC LLC		MATINAS BIOPHARMA HLDGS INC COM S	-3,720.00	170.50	10.00	160.50	65.0	100.83
11/22/2017	QGW036766	STOIC LLC		MATINAS BIOPHARMA HLDGS INC COM S	-6,250.00	275.00	10.00	265.00	65.0	168.75
11/22/2017	QGW036766	STOIC LLC		MATINAS BIOPHARMA HLDGS INC COM S	-6,250.00	300.00	10.00	290.00	65.0	185.00
11/27/2017	QGW036766	STOIC LLC		MATINAS BIOPHARMA HLDGS INC COM S	-13,900.00	650.00	10.00	640.00	65.0	412.50
11/27/2017	QGW036766	STOIC LLC		STMICROELECTRONICS NV SHS N Y REGIS B	24,000.00	355.21	10.00	345.21	65.0	220.89
11/24/2017	QGW036873	PASTORE, RALPH		MATINAS BIOPHARMA HLDGS INC COM S	-6,650.00	300.00	10.00	290.00	65.0	185.00
11/24/2017	QGW036873	PASTORE, RALPH		MATINAS BIOPHARMA HLDGS INC COM S	-6,600.50	300.00	10.00	290.00	65.0	185.00
11/27/2017	QGW036873	PASTORE, RALPH		MATINAS BIOPHARMA HLDGS INC COM S	-14,000.00	600.00	10.00	590.00	65.0	380.00
* STOCKS SUBTOTAL *					\$189,326.55	\$9,931.08	\$370.00	\$9,561.08		\$6,085.23
PRODUCT CATEGORY: OPTIONS										
11/08/2017	75501291	PRESTON, MARGARITA		CALL PFE 11/17/17 34 B	4,050.00	202.50	10.00	192.50	65.0	121.63
11/08/2017	75501291	PRESTON, MARGARITA		CALL PFE 11/17/17 34 S	-4,050.00	-202.50	-10.00	-192.50	65.0	-121.63 C
11/08/2017	75501291	PRESTON, MARGARITA		CALL PFE 11/17/17 34 B	4,050.00	175.00	10.00	165.00	65.0	103.75
11/09/2017	75501291	PRESTON, MARGARITA		CALL PFE 12/15/17 34 S	4,050.00	175.00	10.00	165.00	65.0	103.75
11/17/2017	75501291	PRESTON, MARGARITA		CALL PFE 12/15/17 35 S	2,100.00	100.00	10.00	90.00	65.0	55.00
11/17/2017	QGW030355	GOULD, PETER		CALL 100 COTY INC COM CL A EXP 11-1 B	2,100.00	109.23	10.00	99.23	65.0	61.00
* OPTIONS SUBTOTAL *					\$12,300.00	\$559.23	\$40.00	\$519.23		\$323.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
11/09/2017	75501290	PRESTON, MARGARITA		FRANKLIN INCOME FUND CLASS A B	0.00	2.11	0.00	2.11	65.0	1.37
11/09/2017	75501290	PRESTON, MARGARITA		GOLDMAN SACHS HIGH YIELD FUND CL A B	0.00	6.95	0.00	6.95	65.0	4.52
11/09/2017	75501290	PRESTON, MARGARITA		THE INCOME FUND OF AMERICA-A B	0.00	8.26	0.00	8.26	65.0	5.37
11/09/2017	75501290	PRESTON, MARGARITA		WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	8.84	0.00	8.84	65.0	5.75
11/09/2017	75501291	PRESTON, MARGARITA		AMERICAN HIGH-INCOME TRUST-A B	0.00	8.77	0.00	8.77	65.0	5.70
11/09/2017	75501291	PRESTON, MARGARITA		NUVEEN FLAGSHIP MUN TR HIGH YIELD M B	0.00	8.08	0.00	8.08	65.0	5.25
11/09/2017	75501291	PRESTON, MARGARITA		ROCHESTER NATIONAL MUNICIPALS A B	0.00	19.52	0.00	19.52	65.0	12.69
11/09/2017	75501293	JOE, HENRY		FRK NATURAL RES FND - CL A B	0.00	0.82	0.00	0.82	65.0	0.53
11/09/2017	75501293	JOE, HENRY		INTERMEDIATE BOND FUND OF AMERICA-A B	0.00	3.97	0.00	3.97	65.0	2.58
11/09/2017	75501305	BUNIN, ARLENE		FRANKLIN INCOME FUND CLASS A B	0.00	5.78	0.00	5.78	65.0	3.76

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/09/2017	75501305	BUNIN, ARLENE	FRK AGE HIGH INC FND - CL A	B	0.00	11.72	0.00	11.72	65.0 7.62
11/09/2017	75501305	BUNIN, ARLENE	GOLDMAN SACHS HIGH YIELD FUND CL A B		0.00	34.94	0.00	34.94	65.0 22.71
11/09/2017	75501307	TOTTEN, ANN	FRANKLIN INCOME FUND CLASS A	B	0.00	18.09	0.00	18.09	65.0 11.76
11/09/2017	75501307	TOTTEN, ANN	THE BOND FUND OF AMERICA-A	B	0.00	10.03	0.00	10.03	65.0 6.52
11/09/2017	75501307	TOTTEN, ANN	THE INCOME FUND OF AMERICA-A	B	0.00	14.30	0.00	14.30	65.0 9.30
11/09/2017	75501308	ANN S TOTTEN	FRANKLIN INCOME FUND CLASS A	B	0.00	4.96	0.00	4.96	65.0 3.22
11/09/2017	75501308	ANN S TOTTEN	MUTUAL DISC FND - CL A	B	0.00	3.95	0.00	3.95	65.0 2.57
11/09/2017	75501309	TOTTEN, ANN	FRANKLIN INCOME FUND CLASS A	B	0.00	6.76	0.00	6.76	65.0 4.39
11/09/2017	75501309	TOTTEN, ANN	THE GROWTH FUND OF AMERICA-A	B	0.00	8.77	0.00	8.77	65.0 5.70
11/09/2017	75501309	TOTTEN, ANN	THE NEW ECONOMY FUND-A	B	0.00	8.49	0.00	8.49	65.0 5.52
11/09/2017	75501310	MARGARITA F PRESTON	FRANKLIN INCOME FUND CLASS A	B	0.00	3.36	0.00	3.36	65.0 2.18
11/09/2017	75501310	MARGARITA F PRESTON	MAINSTAY HIGH-YIELD CORPORATE BOND B		0.00	23.07	0.00	23.07	65.0 15.00
11/09/2017	75501310	MARGARITA F PRESTON	MAINSTAY UNCONSTRAINED BOND FUND CL B		0.00	16.81	0.00	16.81	65.0 10.93
11/09/2017	75501310	MARGARITA F PRESTON	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	13.28	0.00	13.28	65.0 8.63
11/09/2017	75501310	MARGARITA F PRESTON	THE INCOME FUND OF AMERICA-A	B	0.00	7.51	0.00	7.51	65.0 4.88
11/10/2017	75501311	HEIDENREICH, CHARLYN	DELAWARE SELECT GROWTH FUND A CLASS B		0.00	2.21	0.00	2.21	65.0 1.44
11/09/2017	75501312	HEIDENREICH, CHARLYN	FRANKLIN U.S. GOVERNMENT SECURITIES B		0.00	0.78	0.00	0.78	65.0 0.51
11/09/2017	75501312	HEIDENREICH, CHARLYN	INTERMEDIATE BOND FUND OF AMERICA-A B		0.00	2.90	0.00	2.90	65.0 1.89
11/09/2017	75501312	HEIDENREICH, CHARLYN	MFS EMERGING GROWTH FUND CLASS A	B	0.00	0.43	0.00	0.43	65.0 0.28
11/09/2017	75501312	HEIDENREICH, CHARLYN	THE INVESTMENT COMPANY OF AMERICA-A B		0.00	3.10	0.00	3.10	65.0 2.02
11/10/2017	75501312	HEIDENREICH, CHARLYN	DELAWARE INVESTMENTS DIVERSIFIED IN B		0.00	1.49	0.00	1.49	65.0 0.97
11/09/2017	75501313	HEIDENREICH, ROBERT	FRANKLIN INCOME FUND CLASS A	B	0.00	11.01	0.00	11.01	65.0 7.16
11/09/2017	75501313	HEIDENREICH, ROBERT	FRANKLIN/TEMPLETON FUNDS 353612690 B		0.00	13.82	0.00	13.82	65.0 8.98
11/09/2017	75501313	HEIDENREICH, ROBERT	GOLDMAN SACHS TR SHORT DURATION INC B		0.00	13.51	0.00	13.51	65.0 8.78
11/09/2017	75501313	HEIDENREICH, ROBERT	THE BOND FUND OF AMERICA-A	B	0.00	9.69	0.00	9.69	65.0 6.30
11/09/2017	75501314	COHEN, GLADYS	EATON VANCE NATIONAL MUNICIPAL FUND B		0.00	95.95	0.00	95.95	65.0 62.37
11/09/2017	75501314	COHEN, GLADYS	FRANKLIN NEW YORK TAX-FREE INCOME F B		0.00	38.99	0.00	38.99	65.0 25.34
11/09/2017	75501314	COHEN, GLADYS	GOLDMAN SACHS DYNAMIC MUNICIPAL INC B		0.00	91.18	0.00	91.18	65.0 59.27
11/09/2017	75501314	COHEN, GLADYS	GOLDMAN SACHS HIGH YIELD MUNICIPAL B		0.00	54.45	0.00	54.45	65.0 35.39
11/09/2017	75501314	COHEN, GLADYS	ROCH. LIMITED TERM NEW YORK MUNICI B		0.00	35.52	0.00	35.52	65.0 23.09
11/09/2017	75501314	COHEN, GLADYS	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	44.03	0.00	44.03	65.0 28.62
11/09/2017	75501316	PIPER, CAROL	AMERICAN HIGH-INCOME TRUST-A	B	0.00	6.35	0.00	6.35	65.0 4.13
11/09/2017	75501316	PIPER, CAROL	FEDERATED HIGH INCOME BOND FUND	B	0.00	6.75	0.00	6.75	65.0 4.39
11/09/2017	75501316	PIPER, CAROL	FRANKLIN INCOME FUND CLASS A	B	0.00	8.01	0.00	8.01	65.0 5.21
11/09/2017	75501316	PIPER, CAROL	FRK AGE HIGH INC FND - CL A	B	0.00	2.19	0.00	2.19	65.0 1.42
11/09/2017	75501316	PIPER, CAROL	GOLDMAN SACHS HIGH YIELD FUND CL A B		0.00	6.81	0.00	6.81	65.0 4.43
11/09/2017	75501317	PIPER, CAROL	FRANKLIN INCOME FUND CLASS A	B	0.00	1.22	0.00	1.22	65.0 0.79
11/09/2017	75501317	PIPER, CAROL	NEW PERSPECTIVE FUND-A	B	0.00	3.87	0.00	3.87	65.0 2.52
11/09/2017	75501324	HEIDENREICH, CHARLYN	FRANKLIN BIOTECHNOLOGY DISCOVERY FU B		0.00	3.75	0.00	3.75	65.0 2.44
11/09/2017	75501325	ANN S TOTTEN	FRANKLIN INCOME FUND CLASS A	B	0.00	2.53	0.00	2.53	65.0 1.64

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
11/09/2017	75501867	PAGAN, VIONA LYNN	**JPMORGAN TR I	B	0.00	6.01	0.00	6.01	65.0	3.91
11/09/2017	75501867	PAGAN, VIONA LYNN	**JPMORGAN TR II	B	0.00	24.38	0.00	24.38	65.0	15.85
11/09/2017	75501867	PAGAN, VIONA LYNN	DYNAMIC SMALL CAP FUND - A	B	0.00	20.74	0.00	20.74	65.0	13.48
11/09/2017	75501867	PAGAN, VIONA LYNN	FIDELITY ADV TECHNOLOGY CL A	B	0.00	12.67	0.00	12.67	65.0	8.24
11/09/2017	75501867	PAGAN, VIONA LYNN	GROWTH AND INCOME FUND - A	B	0.00	18.60	0.00	18.60	65.0	12.09
11/09/2017	75501867	PAGAN, VIONA LYNN	SMALL CAP EQUITY FUND - A	B	0.00	4.24	0.00	4.24	65.0	2.76
11/09/2017	75501867	PAGAN, VIONA LYNN	U.S. EQUITY FUND - A	B	0.00	24.37	0.00	24.37	65.0	15.84
11/09/2017	75502119	COHEN, MICHAEL	ALLIANCEBERNSTEIN MUNI INCOME-NY A	B	0.00	40.04	0.00	40.04	65.0	26.03
11/09/2017	75502119	COHEN, MICHAEL	EATON VANCE NATIONAL MUNICIPAL FUND B	B	0.00	16.34	0.00	16.34	65.0	10.62
11/09/2017	75502119	COHEN, MICHAEL	EHV 27826L322	B	0.00	24.78	0.00	24.78	65.0	16.11
11/09/2017	75502119	COHEN, MICHAEL	GOLDMAN SACHS HIGH YIELD MUNICIPAL	B	0.00	32.43	0.00	32.43	65.0	21.08
11/09/2017	75502119	COHEN, MICHAEL	NUVEEN NEW YORK MUNI BOND A	B	0.00	59.13	0.00	59.13	65.0	38.43
11/09/2017	75502119	COHEN, MICHAEL	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	11.34	0.00	11.34	65.0	7.37
11/09/2017	75502119	COHEN, MICHAEL	ROCHESTER FUND MUNICIPALS A	B	0.00	30.09	0.00	30.09	65.0	19.56
11/09/2017	75502119	COHEN, MICHAEL	THE BOND FUND OF AMERICA-A	B	0.00	16.03	0.00	16.03	65.0	10.42
11/13/2017	QGW030595	COHEN, MICHAEL	FRANKLIN FEDERAL TAX FREE INCOME FU B	B	0.00	4.11	0.00	4.11	65.0	2.67
11/13/2017	QGW030595	COHEN, MICHAEL	FRANKLIN INCOME FUND CLASS A	B	0.00	11.32	0.00	11.32	65.0	7.36
11/22/2017	QGW030595	COHEN, MICHAEL	GOLDMAN SACHS HIGH YIELD MUNICIPAL	B	0.00	17.85	0.00	17.85	65.0	11.60
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,094.15	\$0.00	\$1,094.15	\$711.25	
*** BROKER TRANSACTIONS TOTAL ***					\$233,750.85	\$11,584.46	\$410.00	\$11,174.46	\$7,119.98	

SPLIT TRANSACTIONS**BROKER: DAVID REILLY #21**

11/13/2017	QGW008013	MENDOZA, ALEJANDRINO	CBS CORP CLASS B	B	2,749.88	40.37	0.00	40.37		26.24
11/28/2017	QGW008013	MENDOZA, ALEJANDRINO	ENTERCOM COMMUNICATIONS CORP CLASS	S	-3,400.10	76.60	0.00	76.60		49.79
11/13/2017	QGW008021	MENDOZA, ESTELA	CBS CORP CLASS B	B	2,753.34	40.37	0.00	40.37		26.24
11/28/2017	QGW008021	MENDOZA, ESTELA	ENTERCOM COMMUNICATIONS CORP CLASS	S	-3,400.10	76.60	0.00	76.60		49.79
11/13/2017	QGW023848	BYRNE, GERARD	CBS CORP CLASS B	B	2,749.22	40.37	0.00	40.37		26.24
11/06/2017	QGW030041	THOMAS, JACK	MARATHON OIL CORP	S	-8,040.00	140.80	0.00	140.80		91.52
11/13/2017	QGW030041	THOMAS, JACK	ACADIA PHARMACEUTICALS INC COM	B	2,712.00	51.43	0.00	51.43		33.43
11/16/2017	QGW008005	SCHULZ, CARL	ROCH. LIMITED TERM NEW YORK MUNICIP	B	0.00	6.53	0.00	6.53		4.24
11/16/2017	QGW008005	SCHULZ, CARL	ROCHESTER FUND MUNICIPALS A	B	0.00	35.02	0.00	35.02		22.76
11/16/2017	QGW008039	STEFANO, MARGARET	ROCHESTER FUND MUNICIPALS A	B	0.00	1.63	0.00	1.63		1.06
11/16/2017	QGW008047	DOUGHERTY, JANE	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	3.32	0.00	3.32		2.16
11/17/2017	QGW008047	DOUGHERTY, JANE	HIGH YIELD A	B	0.00	0.57	0.00	0.57		0.37
11/16/2017	QGW023798	OLSSON, RITA	CAPITAL APPRECIATION A	B	0.00	0.27	0.00	0.27		0.18
11/20/2017	QGW023814	STEFANO, MARGARET	EUROPACIFIC GROWTH FUND-A	B	0.00	0.28	0.00	0.28		0.18
11/20/2017	QGW023814	STEFANO, MARGARET	THE GROWTH FUND OF AMERICA-A	B	0.00	1.22	0.00	1.22		0.79

COMMISSION STATEMENT for JOHN HEIDENREICH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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SPLIT TRANSACTIONSBROKER: DAVID REILLY #21

11/14/2017 QGW023848 BYRNE, GERARD	MFS INTERNTL DIVERSIFICATION FUND-A B	0.00	1.37	0.00	1.37	0.89
	* DAVID REILLY SUBTOTAL *	\$-3,875.76	\$516.75	\$0.00	\$516.75	\$335.88
	*** SPLIT TRANSACTIONS TOTAL ***	\$-3,875.76	\$516.75	\$0.00	\$516.75	\$335.88

ADJUSTMENTS

11/30/2017	:	0.00	0.00	0.00	0.00	0.00
11/30/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
11/30/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-12.00
11/30/2017	OTHER: OTHER-TICKET CHARGES ON REIL	0.00	0.00	0.00	0.00	-35.00
11/30/2017	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00	-54.44
11/30/2017	OTHER: QUODD	0.00	0.00	0.00	0.00	-170.35
11/30/2017	OTHER: WEALTH MANAGEMENT STATION	0.00	0.00	0.00	0.00	-25.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-316.79

BROKER COMMISSION TOTALS

Broker Transactions	\$7,119.98
Split Transactions	\$335.88
Override Transactions	\$0.00
Adjustments	\$-316.79
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-15.13
SIPC Assessment	\$-30.25

Check Amount	\$7,093.69
Year-to-date Earnings	\$53,462.73