

## COMMISSION STATEMENT

August 31, 2018

LOUIS CIAVARELLA  
21 MILITIA HILL DRIVE

BROKER #: V22 A04  
BRANCH: FULCRUM GROUP

CHESTERBROOK, PA 19087

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

08/14/2018 75501737	HENDRIX, GREG	FIDELITY ADVISOR GROWTH OPPORTUNITI B		2,431.60	0.00	0.00	0.00	50.0	0.00
08/14/2018 75501737	HENDRIX, GREG	FIDELITY ADVISOR CHINA REGION FD IN S		2,431.60	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$4,863.20	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

08/14/2018 75501737	HENDRIX, GREG	ALIBABA GROUP HOLDING LTD SPONSORED S		7,749.56	0.00	0.00	0.00	50.0	0.00
08/14/2018 75501737	HENDRIX, GREG	BAIDU INC SPONSORED ADR REPSTG ORD S		4,318.00	0.00	0.00	0.00	50.0	0.00
08/14/2018 75501737	HENDRIX, GREG	SALESFORCE.COM INC	B	5,121.55	0.00	0.00	0.00	50.0	0.00
08/14/2018 75501737	HENDRIX, GREG	STARWOOD PROPERTY TRUST INC	B	4,981.02	0.00	0.00	0.00	50.0	0.00
08/13/2018 75501754	CIAVARELLA, JAMES	EXACT SCIENCES CORP	S	2,479.13	0.00	0.00	0.00	50.0	0.00
08/14/2018 75501754	CIAVARELLA, JAMES	ALIBABA GROUP HOLDING LTD SPONSORED S		5,250.60	0.00	0.00	0.00	50.0	0.00
08/17/2018 75501755	MANFREDI, MICHAEL	EXACT SCIENCES CORP	S	4,871.38	0.00	0.00	0.00	50.0	0.00
08/17/2018 75501755	MANFREDI, MICHAEL	NUTANIX INC CL A	S	2,647.73	0.00	0.00	0.00	50.0	0.00
08/17/2018 75501755	MANFREDI, MICHAEL	SALESFORCE.COM INC	B	10,119.90	0.00	0.00	0.00	50.0	0.00
08/17/2018 75501755	MANFREDI, MICHAEL	WALT DISNEY CO	S	3,368.10	0.00	0.00	0.00	50.0	0.00
08/17/2018 75501756	MANFREDI, MICHAEL	CYPRESS SEMICONDUCTOR CORP	B	2,387.99	92.70	0.00	92.70	50.0	46.35
08/17/2018 75501756	MANFREDI, MICHAEL	SALESFORCE.COM INC	B	2,890.40	57.23	0.00	57.23	50.0	28.62
08/17/2018 75501756	MANFREDI, MICHAEL	STARWOOD PROPERTY TRUST INC	B	2,420.76	87.13	0.00	87.13	50.0	43.57
08/09/2018 75502185	CARR, CHARLES	STARWOOD PROPERTY TRUST INC	B	32,665.50	0.00	0.00	0.00	50.0	0.00
08/09/2018 75502185	CARR, CHARLES	WHIRLPOOL CORP	S	12,977.17	0.00	0.00	0.00	50.0	0.00
08/03/2018 75502207	GEYER, GEORGE	AMAZON.COM INC	B	9,128.69	0.00	0.00	0.00	50.0	0.00
08/03/2018 75502207	GEYER, GEORGE	DOWDUPONT INC COMMON STOCK	B	6,671.66	0.00	0.00	0.00	50.0	0.00
08/03/2018 75502207	GEYER, GEORGE	SALESFORCE.COM INC	B	9,869.13	0.00	0.00	0.00	50.0	0.00
08/09/2018 75502207	GEYER, GEORGE	MICRON TECHNOLOGY INC	B	5,229.00	0.00	0.00	0.00	50.0	0.00
08/09/2018 75502207	GEYER, GEORGE	STARWOOD PROPERTY TRUST INC	B	5,441.65	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *				\$140,588.92	\$237.06	\$0.00	\$237.06		\$118.54

PRODUCT CATEGORY: VARIABLE ANNUITIES

08/31/2018 (None)	VARIOUS, CIAVARELLA	OHIO NATIONAL FINANCIAL	B	0.00	140.31	0.00	140.31	50.0	70.16
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$140.31	\$0.00	\$140.31		\$70.16

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: RIA											
07/01/2018	75501737	HENDRIX, GREG		FAS FEES PT. II/III	B	-378.70	125.55	0.00	125.55	50.0	62.78
07/01/2018	75501740	GIOMMI MCDOWELL,		FAS FEES PT. II/III	B	-1,909.36	632.99	0.00	632.99	50.0	316.50
08/07/2018	75501740	GIOMMI MCDOWELL,		FAS FEES PT. I/II	B	0.00	-518.85	0.00	-518.85	50.0	-259.43
08/07/2018	75501740	GIOMMI MCDOWELL,		FAS FEES PT. II/II	B	0.00	-622.62	0.00	-622.62	50.0	-311.31
07/01/2018	75501741	GIOMMI MCDOWELL,		FAS FEES PT. II/III	B	-681.12	225.81	0.00	225.81	50.0	112.91
08/18/2018	75501741	GIOMMI MCDOWELL,		FAS FEES PT. I/II	B	0.00	-103.65	0.00	-103.65	50.0	-51.83
08/18/2018	75501741	GIOMMI MCDOWELL,		FAS FEES PT. II/II	B	0.00	-222.10	0.00	-222.10	50.0	-111.05
07/01/2018	75501742	CIAVARELLA, LOUIS		FAS FEES PT. II/III	B	-114.47	37.95	0.00	37.95	50.0	18.98
07/01/2018	75501749	CIAVARELLA, MELISSA		FAS FEES PT. II/III	B	-272.32	90.28	0.00	90.28	50.0	45.14
07/01/2018	75501752	CIAVARELLA, JAMES		FAS FEES PT. II/III	B	-54.50	18.07	0.00	18.07	50.0	9.04
07/01/2018	75501754	CIAVARELLA, JAMES		FAS FEES PT. II/III	B	-132.88	44.05	0.00	44.05	50.0	22.03
07/01/2018	75501755	MANFREDI, MICHAEL		FAS FEES PT. II/III	B	-932.93	309.29	0.00	309.29	50.0	154.65
07/01/2018	75501757	BERGEN, MIMI		FAS FEES PT. II/III	B	-193.69	64.21	0.00	64.21	50.0	32.11
07/01/2018	75502185	CARR, CHARLES		FAS FEES PT. II/III	B	-1,983.86	657.69	0.00	657.69	50.0	328.85
08/03/2018	75502207	GEYER, GEORGE		FAS FEES PT. I/II	B	-80.82	39.73	0.00	39.73	50.0	19.87
08/03/2018	75502207	GEYER, GEORGE		FAS FEES PT. II/II	B	-80.82	41.09	0.00	41.09	50.0	20.55
				* RIA SUBTOTAL *		\$-6,815.47	\$819.49	\$0.00	\$819.49		\$409.79
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
08/31/2018		FEE COUNT - 144				0.00	482.77	0.00	482.77	50.0	225.67
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$482.77	\$0.00	\$482.77		\$225.67
				*** BROKER TRANSACTIONS TOTAL ***		\$138,636.65	\$1,679.63	\$0.00	\$1,679.63		\$824.16
ADJUSTMENTS											
08/31/2018		DEUNC:UNBUNDLED NEW ACCT FEE (LPCM)				0.00	0.00	0.00	0.00		-48.10
08/31/2018		DEUNC:UNBUNDLED NEW ACCT FEE (LPCM)				0.00	0.00	0.00	0.00		133.27
08/31/2018		OTHER: UNBUNDLED FEES 2/3				0.00	0.00	0.00	0.00		-156.52
08/31/2018		DEUNC:UNBUNDLED NEW ACCT FEE (LPCM)				0.00	0.00	0.00	0.00		37.31
				*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-34.04

**BROKER COMMISSION TOTALS**

Broker Transactions	\$824.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-34.04
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.10
SIPC Assessment	\$-4.20

Check Amount	\$783.82
Year-to-date Earnings	\$14,900.02