



# COMMISSION STATEMENT

## January 29, 2018

BERT GOLDBERG  
1048 W. Myrna Lane  
Tempe, AZ 85284

BROKER #: 698  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/25/2018	***-**-0235 - RKS, PLUMBING & ACCOUNT #: 26983	JOHN HANCOCK VA TRAILS BUY 1 Units @0.0000	0.00	784.80	0.00	784.80	70.0	549.36
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$784.80</b>	<b>\$0.00</b>	<b>\$784.80</b>		<b>\$549.36</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/29/2018	***-**-10TR - SAUDER TRUST ACCOUNT #: LSR010138	DEUTSCHE COMMUNICATI FUND-A BUY 1 Units @0.0000	0.00	24.86	0.00	24.86	70.0	17.40
01/29/2018	***-**-37TR - SCHROEDER TRUST, ACCOUNT #: LSR004375	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.23	0.00	0.23	70.0	0.16
01/29/2018	***-**-514I - BERGIN, JOSEPH ACCOUNT #: LSR004910	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.05	0.00	0.05	70.0	0.04
01/29/2018	***-**-32IO - VASQUEZ, CHARLES ACCOUNT #: LSR006530	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	70.0	0.00
01/29/2018	***-**-24IO - DEWITT, TRACY ACCOUNT #: LSR008966	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	70.0	0.01
01/29/2018	***-**-29IO - NORFLEET, ACCOUNT #: LSR010116	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	70.0	0.01
01/29/2018	***-**-76IO - SMOAKE, DAVID ACCOUNT #: LSR011651	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.75	0.00	0.75	70.0	0.53
01/29/2018	***-**-703I - LEGROS, DAVID ACCOUNT #: LSR011660	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.05	0.00	0.05	70.0	0.04
01/29/2018	***-**-33IO - AUDY, JAMES ACCOUNT #: LSR012379	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	5.76	0.00	5.76	70.0	4.03
01/29/2018	***-**-3603 - BACA, RACHEL ACCOUNT #: LSR105074	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	70.0	0.01
01/29/2018	***-**-0724 - STROMMESEN, ACCOUNT #: LSR105090	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	70.0	0.01
01/29/2018	***-**-1788 - STROMMESEN, ACCOUNT #: LSR105104	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	70.0	0.05
01/29/2018	***-**-0737 - SCHROEDER, JACK ACCOUNT #: LSR105112	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.42	0.00	0.42	70.0	0.29
01/29/2018	***-**-1184 - SPORRER, SHIRLEY ACCOUNT #: LSR105244	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	70.0	0.01



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/29/2018	***-**-184I - SPORRER, SHIRLEY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
01/29/2018	***-**-0400 - SPORRER, JERALD	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
01/29/2018	***-**-00IR - SPORRER, JERALD	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
01/29/2018	***-**-5594 - BISHOP, SHANNON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	70.0	0.06
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
01/29/2018	***-**-0437 - ALLEN, WAYNE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
01/29/2018	***-**-9761 - MCCARTHY, NANCY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	70.0	0.06
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
01/29/2018	***-**-92IO - SAUDER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105538	BUY 1 Units @0.0000						
01/29/2018	***-**-0376 - ALLEN, MISTY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
01/29/2018	***-**-568J - MARSHALL, BRUCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.64	0.00	0.64	70.0	0.45
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
01/29/2018	***-**-0383 - MARSHALL,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.16	0.00	0.16	70.0	0.11
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
01/29/2018	***-**-568I - MARSHALL, BRUCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.08	0.00	1.08	70.0	0.76
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
01/29/2018	***-**-5712 - RAMSEY, GUSSIE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	2.92	0.00	2.92	70.0	2.04
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
01/29/2018	***-**-0237 - RAMSEY, WILLIAM	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR105937	BUY 1 Units @0.0000						
01/29/2018	***-**-2425 - BRIAN N CALNAN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.44	0.00	0.44	70.0	0.31
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
01/29/2018	***-**-388I - SHIPMAN, M	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR108812	BUY 1 Units @0.0000						
01/29/2018	***-**-10TR - SAUDER TRUST	FRANKLIN INCOME FUND CLASS A	0.00	3.62	0.00	3.62	70.0	2.53
	ACCOUNT #: LSR010138	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/29/2018	***-**-0724 - STROMMESEN, ACCOUNT #: LSR105090	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	4.53	0.00	4.53	70.0	3.17
01/29/2018	***-**-1788 - STROMMESEN, ACCOUNT #: LSR105104	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	2.93	0.00	2.93	70.0	2.05
01/29/2018	***-**-9761 - MCCARTHY, NANCY ACCOUNT #: LSR105490	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	2.61	0.00	2.61	70.0	1.83
01/29/2018	***-**-92IO - SAUDER ACCOUNT #: LSR105538	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	0.46	0.00	0.46	70.0	0.32
01/29/2018	***-**-568J - MARSHALL, BRUCE ACCOUNT #: LSR105600	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	7.25	0.00	7.25	70.0	5.08
01/29/2018	***-**-0383 - MARSHALL, ACCOUNT #: LSR105619	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	0.58	0.00	0.58	70.0	0.41
01/29/2018	***-**-5712 - RAMSEY, GUSSIE ACCOUNT #: LSR105929	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	13.54	0.00	13.54	70.0	9.48
01/29/2018	***-**-2425 - BRIAN N CALNAN, ACCOUNT #: LSR105945	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	0.90	0.00	0.90	70.0	0.63
01/29/2018	***-**-10TR - SAUDER TRUST ACCOUNT #: LSR010138	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	78.49	0.00	78.49	70.0	54.94
01/29/2018	***-**-92IO - SAUDER ACCOUNT #: LSR105538	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	44.81	0.00	44.81	70.0	31.37
01/29/2018	***-**-1184 - SPORRER, SHIRLEY ACCOUNT #: LSR105244	FRK GOLD FUND - CLASS A BUY 1 Units @0.0000	0.00	0.19	0.00	0.19	70.0	0.13
01/29/2018	***-**-184I - SPORRER, SHIRLEY ACCOUNT #: LSR105252	FRK GOLD FUND - CLASS A BUY 1 Units @0.0000	0.00	0.30	0.00	0.30	70.0	0.21
01/29/2018	***-**-0400 - SPORRER, JERALD ACCOUNT #: LSR105287	FRK GOLD FUND - CLASS A BUY 1 Units @0.0000	0.00	0.32	0.00	0.32	70.0	0.22
01/29/2018	***-**-00IR - SPORRER, JERALD ACCOUNT #: LSR105317	FRK GOLD FUND - CLASS A BUY 1 Units @0.0000	0.00	0.24	0.00	0.24	70.0	0.17
01/16/2018	***-**-579I - FREDERICK, ACCOUNT #: 00001676185	LIFESTYLE BALANCED PORTFOLIO A BUY 0 Units @0.0000	0.00	4.65	0.00	4.65	70.0	3.26
01/16/2018	***-**-492I - SELL, MICHAEL ACCOUNT #: 00001722478	LIFESTYLE BALANCED PORTFOLIO A BUY 0 Units @0.0000	0.00	2.02	0.00	2.02	70.0	1.41



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
01/16/2018	***-**-63IN - SYPHERS, ZACKARY	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.24	0.00	2.24	70.0	1.57
	ACCOUNT #: 00001827112	BUY 0 Units @0.0000						
01/16/2018	***-**-280I - CURD, NANCY	LIFESTYLE BALANCED PORTFOLIO A	0.00	1.17	0.00	1.17	70.0	0.82
	ACCOUNT #: 00001860711	BUY 0 Units @0.0000						
01/16/2018	***-**-837I - CURTIN, PAUL	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.43	0.00	2.43	70.0	1.70
	ACCOUNT #: 00002116172	BUY 0 Units @0.0000						
01/16/2018	***-**-180I - GOODMILLER,	LIFESTYLE BALANCED PORTFOLIO A	0.00	6.19	0.00	6.19	70.0	4.33
	ACCOUNT #: 00002330736	BUY 0 Units @0.0000						
01/16/2018	***-**-36IN - PISARIK, MICHAEL	LIFESTYLE MODERATE PORTFOLIO A	0.00	5.07	0.00	5.07	70.0	3.55
	ACCOUNT #: 00001840495	BUY 0 Units @0.0000						
01/16/2018	***-**-118I - ANDERSSOHN,	LIFESTYLE MODERATE PORTFOLIO A	0.00	1.33	0.00	1.33	70.0	0.93
	ACCOUNT #: 00002038061	BUY 0 Units @0.0000						
01/16/2018	***-**-9040 - WATSON, MARY	LIFESTYLE MODERATE PORTFOLIO A	0.00	9.63	0.00	9.63	70.0	6.74
	ACCOUNT #: 00002400791	BUY 0 Units @0.0000						
01/16/2018	***-**-4036 - PISARIK, MICHAEL	LIFESTYLE MODERATE PORTFOLIO A	0.00	2.39	0.00	2.39	70.0	1.67
	ACCOUNT #: 00002493848	BUY 0 Units @0.0000						
01/29/2018	***-**-514I - BERGIN, JOSEPH	PUTNAM ABSOLUTE RETURN 300 FUND CLA	0.00	0.36	0.00	0.36	70.0	0.25
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$236.14</b>	<b>\$0.00</b>	<b>\$236.14</b>		<b>\$165.31</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$1,020.94</b>	<b>\$0.00</b>	<b>\$1,020.94</b>		<b>\$714.67</b>



**BROKER #:** 698  
**BRANCH** #01001 - LifeMark Home Office

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
Broker Transactions\$714.67								
Split Transactions\$0.00								
Override Transactions\$0.00								
Adjustments\$0.00								
Payroll Draw\$0.00								
Base Salary\$0.00								
Prior Period Balance\$0.00								
FINRA Assessment\$0.00								
SIPC Assessment\$0.00								
Net Commission Earned\$714.67								
Year-to-date Earnings\$2,855.96								