



COMMISSION STATEMENT

July 16, 2018

DAVID BLOCK
250 West 57th St Suite 614
New York, NY 10107

BROKER #: B19
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLAN</u>								
07/16/2018	***-**-81IN - BLOCK, DAVID	NEW YORK 529 TRAILS	0.00	0.26	0.00	0.26	85.0	0.22
	ACCOUNT #: 77118824301	BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$0.00	\$0.26	\$0.00	\$0.26		\$0.22
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/01/2018	***-**-6850 - BORIO, RENEE	AIM CHARTER FUND - CLASS A	0.00	0.66	0.00	0.66	85.0	0.56
	ACCOUNT #: 03810391528	BUY 0 Units @0.0000						
07/01/2018	***-**-81IN - BLOCK, DAVID	AIM CHARTER FUND - CLASS A	0.00	2.41	0.00	2.41	85.0	2.05
	ACCOUNT #: 03910195175	BUY 0 Units @0.0000						
07/01/2018	***-**-81IN - BLOCK, DAVID	AIM MID-CAP EQUITY FUND CLASS A	0.00	21.90	0.00	21.90	85.0	18.62
	ACCOUNT #: 05061216973	BUY 0 Units @0.0000						
07/01/2018	***-**-81IN - BLOCK, DAVID	AIM SMALL CAP GROWTH FUND - CLASS A	0.00	32.24	0.00	32.24	85.0	27.40
	ACCOUNT #: 05061216973	BUY 0 Units @0.0000						
07/16/2018	***-**-94IR - SUAREZ, CARLOS	CHET ADVISOR 529 TRAILS	0.00	3.57	0.00	3.57	85.0	3.03
		BUY 0 Units @0.0000						
07/01/2018	***-**-6850 - BORIO, RENEE	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	0.46	0.00	0.46	85.0	0.39
	ACCOUNT #: 03810391528	BUY 0 Units @0.0000						
07/01/2018	***-**-81IN - BLOCK, DAVID	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	1.46	0.00	1.46	85.0	1.24
	ACCOUNT #: 03910195175	BUY 0 Units @0.0000						
07/01/2018	***-**-81IN - BLOCK, DAVID	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	63.35	0.00	63.35	85.0	53.85
	ACCOUNT #: 05061216973	BUY 0 Units @0.0000						
07/01/2018	***-**-6850 - BORIO, RENEE	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	1.19	0.00	1.19	85.0	1.01
	ACCOUNT #: 03810391528	BUY 0 Units @0.0000						
07/01/2018	***-**-81IN - BLOCK, DAVID	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	30.40	0.00	30.40	85.0	25.84
	ACCOUNT #: 05061216973	BUY 0 Units @0.0000						
06/30/2018	***-**-0858 - CHEN, WEN-LUNG	MAIN STREET FUND A	0.00	22.59	0.00	22.59	85.0	19.20
	ACCOUNT #: 07005732103	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$180.23	\$0.00	\$180.23		\$153.19
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$180.49	\$0.00	\$180.49		\$153.41



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