

COMMISSION STATEMENT

June 30, 2018

BARRY DAVIS-D018

BROKER #: D018

BRANCH: DME

, TX

COMMISSION STATEMENT for BARRY DAVIS-D018

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
06/11/2018	00067723138	WILLIAMS, EDWARD	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25 70.0 0.88
06/18/2018	00067723138	WILLIAMS, EDWARD	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25 70.0 0.88
06/18/2018	00074587618	HAY, JOY	MF	THE GROWTH FUND OF AMERICA-529A	B	100.00	5.00	0.00	5.00 70.0 3.50
06/18/2018	00074587647	HAY, JOY	MF	THE GROWTH FUND OF AMERICA-529A	B	100.00	5.00	0.00	5.00 70.0 3.50
06/15/2018	00085425741	CLOUD, ANTRE	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	4.25	0.00	4.25 70.0 2.98
06/15/2018	00085425741	CLOUD, ANTRE	MF	THE INCOME FUND OF AMERICA-A	B	100.00	4.25	0.00	4.25 70.0 2.98
06/15/2018	00085425741	CLOUD, ANTRE	MF	THE INVESTMENT COMPANY OF	B	100.00	4.25	0.00	4.25 70.0 2.98
06/20/2018	00085746820	DAVIS, MICHAEL	MF	AMERICAN HIGH-INCOME TRUST-A	B	50.00	1.50	0.00	1.50 70.0 1.05
06/20/2018	00085746820	DAVIS, MICHAEL	MF	NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50 70.0 1.75
06/11/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46 70.0 0.32
06/11/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44 70.0 1.01
06/11/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20 70.0 0.14
06/11/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
06/18/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46 70.0 0.32
06/18/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44 70.0 1.01
06/18/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20 70.0 0.14
06/18/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
06/11/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34 70.0 0.24
06/11/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	15.00	0.41	0.00	0.41 70.0 0.29
06/11/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84 70.0 0.59
06/11/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	37.50	1.03	0.00	1.03 70.0 0.72
06/11/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50 70.0 0.35
06/11/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
06/18/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34 70.0 0.24
06/18/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	15.00	0.41	0.00	0.41 70.0 0.29
06/18/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84 70.0 0.59
06/18/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	37.50	1.03	0.00	1.03 70.0 0.72
06/18/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50 70.0 0.35
06/18/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
06/11/2018	00086607305	MINSHAW, RICHIE	MF	AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17 70.0 0.12
06/11/2018	00086607305	MINSHAW, RICHIE	MF	AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17 70.0 0.12

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/11/2018 00086607305	MINSHEW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
06/11/2018 00086607305	MINSHEW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
06/11/2018 00086607305	MINSHEW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
06/11/2018 00086607305	MINSHEW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
06/11/2018 00086607305	MINSHEW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
06/11/2018 00086607305	MINSHEW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
06/18/2018 00086607305	MINSHEW, RICKEY	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
06/18/2018 00086607305	MINSHEW, RICKEY	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
06/18/2018 00086607305	MINSHEW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
06/18/2018 00086607305	MINSHEW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
06/18/2018 00086607305	MINSHEW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
06/18/2018 00086607305	MINSHEW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
06/18/2018 00086607305	MINSHEW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
06/18/2018 00086607305	MINSHEW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
06/11/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	17.94	0.49	0.00	0.49	70.0	0.34
06/11/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	17.94	0.49	0.00	0.49	70.0	0.34
06/11/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	17.94	0.49	0.00	0.49	70.0	0.34
06/11/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	17.94	0.49	0.00	0.49	70.0	0.34
06/18/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	16.91	0.47	0.00	0.47	70.0	0.33
06/18/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	16.91	0.47	0.00	0.47	70.0	0.33
06/18/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	16.90	0.46	0.00	0.46	70.0	0.32
06/18/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	16.90	0.46	0.00	0.46	70.0	0.32
* MUTUAL FUNDS SUBTOTAL *				\$1,339.36	\$48.23	\$0.00	\$48.23		\$33.80

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

06/15/2018 (None) ELLIOTT, MARGARETT	VAT AMERICAN GENERAL	B	0.00	203.75	0.00	203.75	70.0	142.63
06/22/2018 (None) TRUSLEY, GILBER	VAT AMERICAN GENERAL	B	0.00	227.91	0.00	227.91	70.0	159.54
06/12/2018 VR07080983 ADCOCK	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	150.22	0.00	150.22	70.0	105.15
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$581.88	\$0.00	\$581.88	\$407.32
*** DIRECT TRANSACTIONS TOTAL ***				\$1,339.36	\$630.11	\$0.00	\$630.11	\$441.12

LINKED CLEA TRANSACTIONS

06/21/2018 mfc000309 DAVIS 000309	MFT AMERICAN BALANCED FUND-A	B	0.00	9.66	0.00	9.66	6.76
* BRYANT DAVIS-D084 SUBTOTAL *			\$0.00	\$9.66	\$0.00	\$9.66	\$6.76
*** LINKED CLEA TRANSACTIONS TOTAL ***			\$0.00	\$9.66	\$0.00	\$9.66	\$6.76

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ADJUSTMENTS TRANSACTIONS

BROKER: #0

06/30/2018	:	E&O		0.00	0.00	0.00	0.00		-120.00
06/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-145.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-145.00

BROKER COMMISSION TOTALS

Broker Transactions	\$447.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-145.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$302.88
Year-to-date Commissions	\$44,196.45