



COMMISSION STATEMENT

March 26, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/15/2018	***-**-35IN - LIGHT AND POWER,	AMERICAN HIGH-INCOME TRUST-A	75.00	2.06	0.00	2.06	95.0	1.96
	ACCOUNT #: 00081655418	BUY 7 Units @10.6200						
03/15/2018	***-**-35IN - LIGHT AND POWER,	CAPITAL INCOME BUILDER-A	125.00	3.44	0.00	3.44	95.0	3.27
	ACCOUNT #: 00081655418	BUY 2 Units @63.7600						
03/15/2018	***-**-2256 - SPITZER, HERSHEL	CAPITAL INCOME BUILDER-A	87.50	2.41	0.00	2.41	95.0	2.29
	ACCOUNT #: 00083997024	BUY 1 Units @63.7600						
03/05/2018	***-**-575I - PALELLA, CHARLES	EQUITY INCOME FUND, INC. A	250.00	10.00	0.00	10.00	95.0	9.50
	ACCOUNT #: 08350895037	BUY 0 Units @0.0000						
03/15/2018	***-**-2256 - SPITZER, HERSHEL	EUROPACIFIC GROWTH FUND-A	87.50	2.41	0.00	2.41	95.0	2.29
	ACCOUNT #: 00083997024	BUY 1 Units @60.3200						
03/15/2018	***-**-40CL - LUPIANI, CLIENTS	FA 529 COLLEGE PORT-CL C	200.00	2.00	0.00	2.00	95.0	1.90
	ACCOUNT #: 00625374335	BUY 13 Units @15.3600						
03/15/2018	***-**-40CL - LUPIANI, CLIENTS	FA 529 PORT 2019-CL C	150.00	1.50	0.00	1.50	95.0	1.43
	ACCOUNT #: 00625374327	BUY 8 Units @18.2500						
03/15/2018	***-**-2256 - SPITZER, HERSHEL	FUNDAMENTAL INVESTORS-A	137.50	3.78	0.00	3.78	95.0	3.59
	ACCOUNT #: 00083997024	BUY 2 Units @66.3900						
03/15/2018	***-**-2256 - SPITZER, HERSHEL	NEW PERSPECTIVE FUND-A	137.50	3.78	0.00	3.78	95.0	3.59
	ACCOUNT #: 00083997024	BUY 3 Units @47.5000						
03/15/2018	***-**-35IN - LIGHT AND POWER,	THE INCOME FUND OF AMERICA-A	150.00	4.13	0.00	4.13	95.0	3.92
	ACCOUNT #: 00081655418	BUY 6 Units @23.8200						
* MUTUAL FUNDS SUBTOTAL *			\$1,400.00	\$35.51	\$0.00	\$35.51		\$33.74
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/26/2018	***-**-01IN - SAUTTER, HOWARD	CAPITAL GROWTH FUND A	0.00	1.75	0.00	1.75	95.0	1.66
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
03/26/2018	***-**-22IN - SAUTTER, TERRIE	CAPITAL GROWTH FUND A	0.00	2.07	0.00	2.07	95.0	1.97
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
03/26/2018	***-**-588X - RUSSELL, JUDY	GOLD & SPECIAL MINERALS A	0.00	1.15	0.00	1.15	95.0	1.09
	ACCOUNT #: LSR011098	BUY 1 Units @0.0000						
03/26/2018	***-**-853J - SAUTTER	GOLDMAN SACHS CORE PLUS FIXED INCOM	0.00	0.13	0.00	0.13	95.0	0.12
	ACCOUNT #: LSR006386	BUY 1 Units @0.0000						
03/26/2018	***-**-01IN - SAUTTER, HOWARD	GOLDMAN SACHS CORE PLUS FIXED INCOM	0.00	1.86	0.00	1.86	95.0	1.77
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/26/2018	***-**-22IN - SAUTTER, TERRIE	GOLDMAN SACHS CORE PLUS FIXED INCOM	0.00	4.13	0.00	4.13	95.0	3.92
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
03/26/2018	***-**-6468 - WARNER, JANET	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	0.31	0.00	0.31	95.0	0.29
	ACCOUNT #: LSR010223	BUY 1 Units @0.0000						
03/26/2018	***-**-68IN - WARNER, JANET	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	0.38	0.00	0.38	95.0	0.36
	ACCOUNT #: LSR010222	BUY 1 Units @0.0000						
03/26/2018	***-**-68IN - WARNER, JANET	PIMCO LOW DURATION CLASS A	0.00	0.63	0.00	0.63	95.0	0.60
	ACCOUNT #: LSR010222	BUY 1 Units @0.0000						
03/26/2018	***-**-6468 - WARNER, JANET	PIMCO LOW DURATION CLASS A	0.00	0.33	0.00	0.33	95.0	0.31
	ACCOUNT #: LSR010223	BUY 1 Units @0.0000						
03/26/2018	***-**-68IN - WARNER, JANET	PIMCO TOTAL RETURN CLASS A	0.00	0.68	0.00	0.68	95.0	0.65
	ACCOUNT #: LSR010222	BUY 1 Units @0.0000						
03/26/2018	***-**-6468 - WARNER, JANET	PIMCO TOTAL RETURN CLASS A	0.00	0.36	0.00	0.36	95.0	0.34
	ACCOUNT #: LSR010223	BUY 1 Units @0.0000						
03/26/2018	***-**-107J - SMITH, KRISTEN	PUTNAM EQUITY INCOME FUND-A	0.00	0.41	0.00	0.41	95.0	0.39
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
03/26/2018	***-**-107J - SMITH, KRISTEN	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	0.82	0.00	0.82	95.0	0.78
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
03/26/2018	***-**-107J - SMITH, KRISTEN	PUTNAM INVESTORS FUND-A	0.00	0.28	0.00	0.28	95.0	0.27
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
03/26/2018	***-**-107J - SMITH, KRISTEN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.55	0.00	0.55	95.0	0.52
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
03/26/2018	***-**-43IR - D'ANGELO, DONNA	ROCHESTER FUND MUNICIPALS A	0.00	1.41	0.00	1.41	95.0	1.34
	ACCOUNT #: LSR003301	BUY 1 Units @0.0000						
03/26/2018	***-**-30IN - HALL, CHEREEZE	ROCHESTER FUND MUNICIPALS C	0.00	0.83	0.00	0.83	95.0	0.79
	ACCOUNT #: LSR010611	BUY 1 Units @0.0000						
03/26/2018	***-**-31IN - SMITH, MS VIVICA	ROCHESTER FUND MUNICIPALS C	0.00	0.76	0.00	0.76	95.0	0.72
	ACCOUNT #: LSR011147	BUY 1 Units @0.0000						
03/26/2018	***-**-66IN - BENNETT, KALENA	ROCHESTER FUND MUNICIPALS C	0.00	0.83	0.00	0.83	95.0	0.79
	ACCOUNT #: LSR011317	BUY 1 Units @0.0000						
03/26/2018	***-**-01IN - SAUTTER, HOWARD	STRUCTURED U.S. EQUITY CLASS A	0.00	1.50	0.00	1.50	95.0	1.43
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/26/2018	***-**-22IN - SAUTTER, TERRIE	STRUCTURED U.S. EQUITY CLASS A	0.00	3.97	0.00	3.97	95.0	3.77
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
03/26/2018	***-**-1625 - SAFRANEK, EARL &	VICTORY TRAILS	0.00	0.59	0.00	0.59	95.0	0.56
		BUY 0 Units @0.0000						
03/26/2018	***-**-7637 - SAFRANEK,	VICTORY TRAILS	0.00	1.36	0.00	1.36	95.0	1.29
		BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$27.09	\$0.00	\$27.09		\$25.73
*** BROKER TRANSACTIONS TOTAL ***			\$1,400.00	\$62.60	\$0.00	\$62.60		\$59.47

VERRIDE TRANSACTIONS

BROKER: RICHARD BIRNS

03/05/2018	***-**-192I - BIRNS, DAVID	HARTFORD CAPITAL APPRECIATION FUND	43.20	0.86	0.00	0.86	20.0	0.17
	ACCOUNT #: 00000647924	BUY 2 Units @19.4600						
03/05/2018	***-**-192I - BIRNS, DAVID	THE HARTFORD CAPITAL APPRECIATION F	43.20	0.86	0.00	0.86	20.0	0.17
	ACCOUNT #: 00000647924	BUY 1 Units @39.7900						
03/05/2018	***-**-192I - BIRNS, DAVID	THE HARTFORD GROWTH OPPORTUNITIES F	43.20	0.86	0.00	0.86	20.0	0.17
	ACCOUNT #: 00000647924	BUY 1 Units @47.1100						
03/05/2018	***-**-192I - BIRNS, DAVID	THE HARTFORD INTL CAPITAL APP. FUND	43.20	0.86	0.00	0.86	20.0	0.17
	ACCOUNT #: 00000647924	BUY 3 Units @15.9700						
03/05/2018	***-**-192I - BIRNS, DAVID	THE HARTFORD SMALL CAP GROWTH FD-A	43.20	0.86	0.00	0.86	20.0	0.17
	ACCOUNT #: 00000647924	BUY 1 Units @58.7700						
* RICHARD BIRNS SUBTOTAL *			\$216.00	\$4.30	\$0.00	\$4.30		\$0.85

BROKER: FREDERIC SCARPULLA

03/12/2018	***-**-8208 - KARNS, SHANNON	AMCAP FUND-C	225.00	2.25	0.00	2.25	10.0	0.23
	ACCOUNT #: 04000591533	BUY 7 Units @30.2600						
03/13/2018	***-**-0006 - FORSYTH, KATHRYN	AMCAP FUND-C	57.69	0.58	0.00	0.58	10.0	0.06
	ACCOUNT #: 04000650661	BUY 2 Units @30.0800						
03/15/2018	***-**-195P - HECHT, ANDREW	AMERICAN BALANCED FUND-529A	200.00	10.00	0.00	10.00	10.0	1.00
	ACCOUNT #: 00068453142	BUY 7 Units @28.8100						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u>								
03/15/2018	***-**-7255 - LIPPA, HARITINI	AMERICAN BALANCED FUND-529C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 00087198121	BUY 2 Units @27.1600						
03/15/2018	***-**-7255 - LIPPA, HARITINI	AMERICAN BALANCED FUND-529C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 00087198137	BUY 2 Units @27.1600						
03/15/2018	***-**-535P - DELGAIZO,	AMERICAN BALANCED FUND-A	50.00	2.13	0.00	2.13	10.0	0.21
	ACCOUNT #: 00068454444	BUY 2 Units @28.6200						
03/15/2018	***-**-425P - DELGAIZO, LOUISA	AMERICAN BALANCED FUND-A	50.00	2.13	0.00	2.13	10.0	0.21
	ACCOUNT #: 00068454445	BUY 2 Units @28.6200						
03/13/2018	***-**-435P - BOSCO, SOFIA	AMERICAN BALANCED FUND-C	187.00	1.87	0.00	1.87	10.0	0.19
	ACCOUNT #: 00073003754	BUY 7 Units @27.1700						
03/13/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089045192	BUY 1 Units @27.1700						
03/13/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	10.0	0.04
	ACCOUNT #: 00089051066	BUY 2 Units @27.1700						
03/13/2018	***-**-1134 - BARTON, WILLIAM	AMERICAN BALANCED FUND-C	87.96	0.88	0.00	0.88	10.0	0.09
	ACCOUNT #: 00089244081	BUY 3 Units @27.1700						
03/13/2018	***-**-281S - FLUGEL, AMANDA	AMERICAN BALANCED FUND-C	40.00	0.40	0.00	0.40	10.0	0.04
	ACCOUNT #: 00089561651	BUY 1 Units @27.1700						
03/13/2018	***-**-312S - DISCH, JASMINE	AMERICAN BALANCED FUND-C	18.24	0.18	0.00	0.18	10.0	0.02
	ACCOUNT #: 00089583477	BUY 1 Units @27.1700						
03/13/2018	***-**-035S - CAPIZZI, TRACIE	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089679504	BUY 1 Units @27.1700						
03/15/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 00087429936	BUY 2 Units @27.0300						
03/12/2018	***-**-7063 - ZIOTO, JULIA	AMERICAN GLOBAL BALANCED CL C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 04000322984	BUY 3 Units @33.0100						
03/12/2018	***-**-6410 - LEAHY, JEFFRIE	AMERICAN MUTUAL FUND-A	2,400.00	24.00	0.00	24.00	10.0	2.40
	ACCOUNT #: 00073513664	BUY 58 Units @41.5000						
03/12/2018	***-**-482I - MARCONI, TODD	AMERICAN MUTUAL FUND-C	285.00	2.85	0.00	2.85	10.0	0.29
	ACCOUNT #: 00089570936	BUY 7 Units @40.8500						
03/14/2018	***-**-482I - MARCONI, TODD	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @40.4700						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u>								
03/15/2018	***-**-01UG - DIGIOVANNI, ACCOUNT #: 00087087389	AMERICAN MUTUAL FUND-C BUY 4 Units @40.3600	150.00	1.50	0.00	1.50	10.0	0.15
03/02/2018	***-**-7330 - JOHNSON, DONALD ACCOUNT #: 03220387068	CAPITAL APPRECIATION C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	10.0	0.05
03/02/2018	***-**-6603 - JOHNSON, TERRY ACCOUNT #: 03222192157	CAPITAL APPRECIATION C BUY 0 Units @0.0000	68.00	0.68	0.00	0.68	10.0	0.07
03/02/2018	***-**-6603 - JOHNSON, TERRY ACCOUNT #: 03222192157	CAPITAL APPRECIATION C BUY 0 Units @0.0000	544.00	5.44	0.00	5.44	10.0	0.54
03/02/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 03222200176	CAPITAL APPRECIATION C BUY 0 Units @0.0000	20.00	0.20	0.00	0.20	10.0	0.02
03/05/2018	***-**-772I - KERHAERT, ACCOUNT #: 03222178044	CAPITAL APPRECIATION C BUY 0 Units @0.0000	62.50	0.63	0.00	0.63	10.0	0.06
03/05/2018	***-**-9206 - SANDER, DAVID ACCOUNT #: 03222178055	CAPITAL APPRECIATION C BUY 0 Units @0.0000	80.00	0.80	0.00	0.80	10.0	0.08
03/05/2018	***-**-729I - GIAMBRONE, KELLY ACCOUNT #: 03222325444	CAPITAL APPRECIATION C BUY 0 Units @0.0000	61.61	0.62	0.00	0.62	10.0	0.06
03/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @52.3800	15.00	0.15	0.00	0.15	10.0	0.02
03/15/2018	***-**-425P - MEEKS, PERDITA ACCOUNT #: 00074210167	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @55.9600	12.50	0.63	0.00	0.63	10.0	0.06
03/15/2018	***-**-01UG - DIGIOVANNI, ACCOUNT #: 00087087389	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @52.2000	150.00	1.50	0.00	1.50	10.0	0.15
03/15/2018	***-**-385P - ARBORE, TAYLER ACCOUNT #: 00081282910	CAPITAL WORLD GROWTH AND INCOME-529 BUY 1 Units @55.3400	50.00	2.13	0.00	2.13	10.0	0.21
03/15/2018	***-**-385P - ARBORE, TAYLER ACCOUNT #: 00081283006	CAPITAL WORLD GROWTH AND INCOME-529 BUY 1 Units @55.3400	50.00	2.13	0.00	2.13	10.0	0.21
03/05/2018	***-**-729I - GIAMBRONE, KELLY ACCOUNT #: 08370596090	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	46.21	0.46	0.00	0.46	10.0	0.05
03/05/2018	***-**-6188 - ROBERTS, ACCOUNT #: 08370627385	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	10.0	0.05
03/15/2018	***-**-43IN - BISHOP, EVELYN ACCOUNT #: 04000849605	EUROPACIFIC GROWTH FUND CLASS C BUY 1 Units @56.8600	50.00	0.50	0.00	0.50	10.0	0.05



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: FREDERIC SCARPULLA (continued) </u>								
03/26/2018	***-**-63IR - DIAMOND, ANN	FEDERATED STRATEGIC INCOME FUND CLA	0.00	0.58	0.00	0.58	10.0	0.06
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
03/26/2018	***-**-721I - TABER, FBO LISA	FRANKLIN INCOME FUND CLASS A	0.00	1.08	0.00	1.08	10.0	0.11
	ACCOUNT #: LSR010434	BUY 1 Units @0.0000						
03/26/2018	***-**-721I - TABER, FBO LISA	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	2.23	0.00	2.23	10.0	0.22
	ACCOUNT #: LSR010434	BUY 1 Units @0.0000						
03/12/2018	***-**-617I - ROWE, KEVIN	FUNDAMENTAL INVESTORS-C	225.00	2.25	0.00	2.25	10.0	0.23
	ACCOUNT #: 04000591484	BUY 3 Units @64.4800						
03/12/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	285.00	2.85	0.00	2.85	10.0	0.29
	ACCOUNT #: 00089570936	BUY 4 Units @64.4800						
03/13/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @63.9800						
03/14/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @63.6700						
03/15/2018	***-**-845P - MARTHALLER,	FUNDAMENTAL INVESTORS-C	60.00	0.60	0.00	0.60	10.0	0.06
	ACCOUNT #: 00087023930	BUY 1 Units @63.6300						
03/26/2018	***-**-92IR - CHEN, CYNTHIA	GLOBAL OPPORTUNITIES A	0.00	1.72	0.00	1.72	10.0	0.17
	ACCOUNT #: LSR011412	BUY 1 Units @0.0000						
03/02/2018	***-**-7330 - JOHNSON, DONALD	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02171798599	BUY 0 Units @0.0000						
03/05/2018	***-**-9206 - SANDER, DAVID	GLOBAL OPPORTUNITIES FUND C	80.00	0.80	0.00	0.80	10.0	0.08
	ACCOUNT #: 02171629936	BUY 0 Units @0.0000						
03/05/2018	***-**-90FB - DIODATO, CAROL	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02171777391	BUY 0 Units @0.0000						
03/05/2018	***-**-2620 - CUSIMANO, MEGAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 02171800557	BUY 0 Units @0.0000						
03/05/2018	***-**-9530 - MILAM, IAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 02171852708	BUY 0 Units @0.0000						
03/05/2018	***-**-729I - GIAMBRONE, KELLY	GLOBAL OPPORTUNITIES FUND C	77.02	0.77	0.00	0.77	10.0	0.08
	ACCOUNT #: 02171875445	BUY 0 Units @0.0000						
03/12/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: 00089563161	BUY 0 Units @41.5900						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
03/12/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	570.00	5.70	0.00	5.70	10.0	0.57
	ACCOUNT #: 00089563161	BUY 14 Units @41.5900						
03/13/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	17.71	0.18	0.00	0.18	10.0	0.02
	ACCOUNT #: 00089583477	BUY 0 Units @41.3900						
03/02/2018	***-**-05IN - DEBREE, ROBERT	LINCOLN CHOICEPLUS ASSURANCE B	124,786.43	7,799.15	0.00	7,799.15	10.0	779.92
		BUY 1 Units @126000.000						
03/05/2018	***-**-2801 - DEBREE, DAWN	LINCOLN CHOICEPLUS ASSURANCE SERIES	37,209.69	2,325.61	0.00	2,325.61	10.0	232.56
		BUY 1 Units @37481.0000						
03/26/2018	***-**-63IR - DIAMOND, ANN	LORD ABBETT SHORT DURATION INCOME C	0.00	0.24	0.00	0.24	10.0	0.02
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
03/02/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	66.00	0.66	0.00	0.66	10.0	0.07
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						
03/02/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	528.00	5.28	0.00	5.28	10.0	0.53
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						
03/02/2018	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
03/05/2018	***-**-4980 - MOYER, COLLEEN	MAIN STREET FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 07024431823	BUY 0 Units @0.0000						
03/05/2018	***-**-9206 - SANDER, DAVID	MAIN STREET FUND C	80.00	0.80	0.00	0.80	10.0	0.08
	ACCOUNT #: 07024170947	BUY 0 Units @0.0000						
03/05/2018	***-**-729I - GIAMBRONE, KELLY	MAIN STREET FUND C	77.02	0.77	0.00	0.77	10.0	0.08
	ACCOUNT #: 07024326366	BUY 0 Units @0.0000						
03/05/2018	***-**-90FB - DIODATO, CAROL	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024358024	BUY 0 Units @0.0000						
03/05/2018	***-**-2620 - CUSIMANO, MEGAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024397338	BUY 0 Units @0.0000						
03/05/2018	***-**-9530 - MILAM, IAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024469113	BUY 0 Units @0.0000						
03/26/2018	***-**-63IR - DIAMOND, ANN	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.65	0.00	0.65	10.0	0.07
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
03/13/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @44.0600						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
03/02/2018	***-**-3407 - SUTTELL, PAULA	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 05470454740	BUY 0 Units @0.0000						
03/02/2018	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						
03/05/2018	***-**-7072 - SMITH, DEBBIE	OPP PORT SERIES: MOD INV FD C	400.00	4.00	0.00	4.00	10.0	0.40
	ACCOUNT #: 06670037664	BUY 0 Units @0.0000						
03/05/2018	***-**-4143 - STONE, GEORGE	OPP PORT SERIES: MOD INV FD C	225.00	2.25	0.00	2.25	10.0	0.23
	ACCOUNT #: 06670037675	BUY 0 Units @0.0000						
03/05/2018	***-**-3526 - STONE, LINDA	OPP PORT SERIES: MOD INV FD C	180.00	1.80	0.00	1.80	10.0	0.18
	ACCOUNT #: 06670037686	BUY 0 Units @0.0000						
03/02/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						
03/02/2018	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	66.00	0.66	0.00	0.66	10.0	0.07
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
03/02/2018	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	528.00	5.28	0.00	5.28	10.0	0.53
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
03/05/2018	***-**-729I - GIAMBRONE, KELLY	OPPENHEIMER MAIN STREET SMALL CAP F	46.20	0.46	0.00	0.46	10.0	0.05
	ACCOUNT #: 08491596925	BUY 0 Units @0.0000						
03/02/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 02271182830	BUY 0 Units @0.0000						
03/05/2018	***-**-541P - SCHILLACI, AMY	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02271188506	BUY 0 Units @0.0000						
03/05/2018	***-**-4980 - MOYER, COLLEEN	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02271217095	BUY 0 Units @0.0000						
03/05/2018	***-**-1314 - BENEDETTO,	OPPENHEIMER RISING DIVIDENDS FUND C	125.00	1.25	0.00	1.25	10.0	0.13
	ACCOUNT #: 02270934318	BUY 0 Units @0.0000						
03/05/2018	***-**-655P - DAVIS, BERTHA	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 02271237808	BUY 0 Units @0.0000						
03/26/2018	***-**-92IR - CHEN, CYNTHIA	PIMCO TOTAL RETURN CLASS A	0.00	0.22	0.00	0.22	10.0	0.02
	ACCOUNT #: LSR011412	BUY 1 Units @0.0000						
03/05/2018	***-**-8706 - BOSCO, REN	QUEST BALANCED FUND C	500.00	5.00	0.00	5.00	10.0	0.50
	ACCOUNT #: 02590094105	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued)								
 BROKER: FREDERIC SCARPULLA (continued)								
03/05/2018	***-**-772I - KERHAERT, ACCOUNT #: 02592262304	QUEST BALANCED FUND C BUY 0 Units @0.0000	62.50	0.63	0.00	0.63	10.0	0.06
03/05/2018	***-**-1314 - BENEDETTO, ACCOUNT #: 02592292741	QUEST BALANCED FUND C BUY 0 Units @0.0000	250.00	2.50	0.00	2.50	10.0	0.25
03/02/2018	***-**-7330 - JOHNSON, DONALD ACCOUNT #: 05920398266	REAL ESTATE FUND C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	10.0	0.05
03/05/2018	***-**-2620 - CUSIMANO, MEGAN ACCOUNT #: 05920400103	REAL ESTATE FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
03/05/2018	***-**-9530 - MILAM, IAN ACCOUNT #: 05920419496	REAL ESTATE FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
03/26/2018	***-**-20IN - GIANGRECO, TERRY ACCOUNT #: LSR010603	ROCH. LIMITED TERM NEW YORK MUNICIP BUY 1 Units @0.0000	0.00	102.42	0.00	102.42	10.0	10.24
03/26/2018	***-**-92IR - CHEN, CYNTHIA ACCOUNT #: LSR011412	SELECT VALUE FUND A BUY 1 Units @0.0000	0.00	1.10	0.00	1.10	10.0	0.11
03/02/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 02531162106	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	20.00	0.20	0.00	0.20	10.0	0.02
03/05/2018	***-**-4980 - MOYER, COLLEEN ACCOUNT #: 02531288969	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
03/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @51.1600	20.40	0.20	0.00	0.20	10.0	0.02
03/15/2018	***-**-43IN - BISHOP, EVELYN ACCOUNT #: 04000849605	SMALLCAP WORLD FUND-C BUY 1 Units @50.9200	50.00	0.50	0.00	0.50	10.0	0.05
03/02/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 01920863291	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	10.00	0.10	0.00	0.10	10.0	0.01
03/05/2018	***-**-9206 - SANDER, DAVID ACCOUNT #: 02322653794	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	80.00	0.80	0.00	0.80	10.0	0.08
03/05/2018	***-**-1314 - BENEDETTO, ACCOUNT #: 02322751089	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	125.00	1.25	0.00	1.25	10.0	0.13
03/15/2018	***-**-415P - SCHILLACI, AMY ACCOUNT #: 00081268733	THE GROWTH FUND OF AMERICA-529C BUY 2 Units @49.5600	75.00	0.75	0.00	0.75	10.0	0.08
03/12/2018	***-**-00IN - DIVASTA, JANINE ACCOUNT #: 00063669925	THE GROWTH FUND OF AMERICA-A BUY 4 Units @56.4600	250.00	9.38	0.00	9.38	10.0	0.94



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: FREDERIC SCARPULLA (continued) </u>								
03/15/2018	***-**-425P - MEEKS, PERDITA	THE GROWTH FUND OF AMERICA-A	12.50	0.63	0.00	0.63	10.0	0.06
	ACCOUNT #: 00074210167	BUY 0 Units @56.6000						
03/12/2018	***-**-6171 - ROWE, KEVIN	THE GROWTH FUND OF AMERICA-C	225.00	2.25	0.00	2.25	10.0	0.23
	ACCOUNT #: 04000591484	BUY 5 Units @49.9400						
03/12/2018	***-**-1307 - BONACCI,	THE GROWTH FUND OF AMERICA-C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 00087952601	BUY 2 Units @49.9400						
03/12/2018	***-**-482I - MARCONI, TODD	THE GROWTH FUND OF AMERICA-C	570.00	5.70	0.00	5.70	10.0	0.57
	ACCOUNT #: 00089570936	BUY 11 Units @49.9400						
03/12/2018	***-**-50IN - BONACCI,	THE GROWTH FUND OF AMERICA-C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 00089947853	BUY 2 Units @49.9400						
03/12/2018	***-**-8208 - KARNS, SHANNON	THE GROWTH FUND OF AMERICA-C	225.00	2.25	0.00	2.25	10.0	0.23
	ACCOUNT #: 04000591533	BUY 5 Units @49.9400						
03/13/2018	***-**-435P - BOSCO, SOFIA	THE GROWTH FUND OF AMERICA-C	176.00	1.76	0.00	1.76	10.0	0.18
	ACCOUNT #: 00073003754	BUY 4 Units @49.5600						
03/13/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 00089045192	BUY 0 Units @49.5600						
03/13/2018	***-**-1134 - BARTON, WILLIAM	THE GROWTH FUND OF AMERICA-C	69.61	0.70	0.00	0.70	10.0	0.07
	ACCOUNT #: 00089319958	BUY 1 Units @49.5600						
03/13/2018	***-**-312S - DISCH, JASMINE	THE GROWTH FUND OF AMERICA-C	17.70	0.18	0.00	0.18	10.0	0.02
	ACCOUNT #: 00089583477	BUY 0 Units @49.5600						
03/13/2018	***-**-0006 - FORSYTH, KATHRYN	THE GROWTH FUND OF AMERICA-C	86.54	0.87	0.00	0.87	10.0	0.09
	ACCOUNT #: 04000650661	BUY 2 Units @49.5600						
03/14/2018	***-**-482I - MARCONI, TODD	THE GROWTH FUND OF AMERICA-C	10.00	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @49.4800						
03/15/2018	***-**-3431 - SPENCER,	THE GROWTH FUND OF AMERICA-C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 04000347049	BUY 2 Units @49.4000						
03/15/2018	***-**-0578 - DIODATO, ZACHARY	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000618224	BUY 1 Units @49.4000						
03/15/2018	***-**-4232 - DIODATO, MELISSA	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000618245	BUY 1 Units @49.4000						
03/15/2018	***-**-8932 - RUSH, MICHELLE	THE TAX-EXEMPT BOND FUND OF AMERICA	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000574835	BUY 4 Units @12.7800						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u> 								
03/05/2018	***-**-9206 - SANDER, DAVID	VALUE FUND C	80.00	0.80	0.00	0.80	10.0	0.08
	ACCOUNT #: 03770488829	BUY 0 Units @0.0000						
03/12/2018	***-**-00IN - DIVASTA, JANINE	WASHINGTON MUTUAL INVESTORS FUND-A	250.00	9.38	0.00	9.38	10.0	0.94
	ACCOUNT #: 00063669925	BUY 5 Units @49.0300						
03/12/2018	***-**-305P - MESH, RONALD	WASHINGTON MUTUAL INVESTORS FUND-C	400.00	4.00	0.00	4.00	10.0	0.40
	ACCOUNT #: 00074720484	BUY 9 Units @46.1600						
03/12/2018	***-**-482I - MARCONI, TODD	WASHINGTON MUTUAL INVESTORS FUND-C	285.00	2.85	0.00	2.85	10.0	0.29
	ACCOUNT #: 00089570936	BUY 6 Units @46.1600						
03/13/2018	***-**-435P - BOSCO, SOFIA	WASHINGTON MUTUAL INVESTORS FUND-C	187.00	1.87	0.00	1.87	10.0	0.19
	ACCOUNT #: 00073003754	BUY 4 Units @45.8900						
03/14/2018	***-**-482I - MARCONI, TODD	WASHINGTON MUTUAL INVESTORS FUND-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @45.5600						
03/15/2018	***-**-436I - RAGO, LISA	WASHINGTON MUTUAL INVESTORS FUND-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000456708	BUY 1 Units @45.5100						
	 * FREDERIC SCARPULLA SUBTOTAL * 		 \$176,516.63 	 \$10,409.51 	 \$0.00 	 \$10,409.51 		 \$1,041.10
 <u>BROKER: WILLIAM AGNELLO</u> 								
03/26/2018	***-**-963I - GAMMARIELLO,	FEDERATED REAL RETRN BOND FUND CL A	0.00	0.99	0.00	0.99	25.0	0.25
	ACCOUNT #: LSR007102	BUY 1 Units @0.0000						
03/26/2018	***-**-963I - GAMMARIELLO,	FEDERATED TOTAL RETURN BOND CL A	0.00	1.84	0.00	1.84	25.0	0.46
	ACCOUNT #: LSR007102	BUY 1 Units @0.0000						
03/26/2018	***-**-87IN - IRWIN, IRENE	MAIN STREET FUND A	0.00	0.07	0.00	0.07	25.0	0.02
	ACCOUNT #: LSR010100	BUY 1 Units @0.0000						
03/26/2018	***-**-59T2 - ETHEL CUP IRREV	MAINSTAY COMMON STOCK FUND CLASS A	0.00	6.64	0.00	6.64	25.0	1.66
	ACCOUNT #: LSR006912	BUY 1 Units @0.0000						
03/26/2018	***-**-28IN - CHIAVETTA,	MAINSTAY FLOATING RATE FUND CL A	0.00	1.83	0.00	1.83	25.0	0.46
	ACCOUNT #: LSR008842	BUY 1 Units @0.0000						
03/26/2018	***-**-87IN - IRWIN, IRENE	OPPENHEIMER DISCOVERY CLASS A	0.00	0.18	0.00	0.18	25.0	0.05
	ACCOUNT #: LSR010100	BUY 1 Units @0.0000						
03/26/2018	***-**-87IN - IRWIN, IRENE	PIMCO EMERG MKTS BOND NFS	0.00	0.39	0.00	0.39	25.0	0.10
	ACCOUNT #: LSR010100	BUY 1 Units @0.0000						
03/26/2018	***-**-36IO - IRWIN, CHARLES	PIMCO FLOATING INCOME FD CL A	0.00	1.80	0.00	1.80	25.0	0.45
	ACCOUNT #: LSR007200	BUY 1 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: WILLIAM AGNELLO (continued)</u>								
03/26/2018	***--28IN - CUP, ANDREW	PIMCO GLOBAL BOND	0.00	1.48	0.00	1.48	25.0	0.37
	ACCOUNT #: LSR007137	BUY 1 Units @0.0000						
03/26/2018	***--28IN - CUP, ANDREW	PIMCO GLOBAL BOND	0.00	0.57	0.00	0.57	25.0	0.14
	ACCOUNT #: LSR007137	BUY 1 Units @0.0000						
03/26/2018	***--002I - NFS/FMTC IRA	PIMCO HIGH YIELD CLASS A	0.00	2.68	0.00	2.68	25.0	0.67
	ACCOUNT #: LSR007005	BUY 1 Units @0.0000						
03/26/2018	***--963I - GAMMARELLO,	PIMCO HIGH YIELD CLASS A	0.00	2.96	0.00	2.96	25.0	0.74
	ACCOUNT #: LSR007102	BUY 1 Units @0.0000						
03/26/2018	***--36IO - IRWIN, CHARLES	PIMCO HIGH YIELD CLASS A	0.00	6.49	0.00	6.49	25.0	1.62
	ACCOUNT #: LSR007200	BUY 1 Units @0.0000						
03/26/2018	***--90IR - NFS/FMTC ROTH	PIMCO HIGH YIELD CLASS C	0.00	2.88	0.00	2.88	25.0	0.72
	ACCOUNT #: LSR007110	BUY 1 Units @0.0000						
03/26/2018	***--28IR - CUP, ANDREW	PIMCO HIGH YIELD CLASS C	0.00	2.94	0.00	2.94	25.0	0.74
	ACCOUNT #: LSR007129	BUY 1 Units @0.0000						
03/26/2018	***--90IR - NFS/FMTC ROTH	PIMCO HIGH YIELD CLASS C	0.00	1.11	0.00	1.11	25.0	0.28
	ACCOUNT #: LSR007110	BUY 1 Units @0.0000						
03/26/2018	***--28IR - CUP, ANDREW	PIMCO HIGH YIELD CLASS C	0.00	1.13	0.00	1.13	25.0	0.28
	ACCOUNT #: LSR007129	BUY 1 Units @0.0000						
03/26/2018	***--43IN - CUP, NICHOLAS	PIMCO REAL RETURN FUND CLASS A	0.00	1.75	0.00	1.75	25.0	0.44
	ACCOUNT #: LSR006890	BUY 1 Units @0.0000						
03/26/2018	***--28IN - CUP, ANDREW	PIMCO REAL RETURN FUND CLASS A	0.00	1.52	0.00	1.52	25.0	0.38
	ACCOUNT #: LSR007137	BUY 1 Units @0.0000						
03/26/2018	***--39IN - IRWIN, DIANE	PIMPCO GLOBAL BOND CL A	0.00	1.10	0.00	1.10	25.0	0.28
	ACCOUNT #: LSR007234	BUY 1 Units @0.0000						
03/26/2018	***--439I - IRWIN, DIANE	PIMPCO GLOBAL BOND CL A	0.00	1.01	0.00	1.01	25.0	0.25
	ACCOUNT #: LSR007250	BUY 1 Units @0.0000						
03/26/2018	***--93UT - CUP CUST, MARCIE	PORT SERIES: EQUITY INV FD A	0.00	0.34	0.00	0.34	25.0	0.09
	ACCOUNT #: LSR011103	BUY 1 Units @0.0000						
03/21/2018	***--657I - RIEL, KEVIN	SABRA HEALTH CARE REIT INC COM USD0	3,478.00	26.00	0.00	26.00	25.0	6.50
	ACCOUNT #: LSR007366	SELL 200 Units @17.3900						
03/21/2018	***--25IN - DIPALO, JOSEPH	SABRA HEALTH CARE REIT INC COM USD0	3,895.36	26.00	0.00	26.00	25.0	6.50
	ACCOUNT #: LSR010260	SELL 224 Units @17.3900						



COMMISSION STATEMENT

March 26, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: JAMES LOMBARDO (continued)</u>								
		* WILLIAM AGNELLO SUBTOTAL *	\$7,373.36	\$93.70	\$0.00	\$93.70		\$23.45
<u>BROKER: JAMES LOMBARDO</u>								
03/12/2018	***-**-2885 - BISHOP, DAVID	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00068915116	BUY 2 Units @28.7600						
03/15/2018	***-**-8238 - ASMUS, MICHAEL	AMERICAN BALANCED FUND-A	75.00	2.81	0.00	2.81	15.0	0.42
	ACCOUNT #: 00074487927	BUY 3 Units @28.4700						
03/13/2018	***-**-8806 - MABRY, REBECCA	CAPITAL INCOME BUILDER-A	75.00	2.81	0.00	2.81	15.0	0.42
	ACCOUNT #: 00082729374	BUY 1 Units @65.2300						
03/13/2018	***-**-8806 - MABRY, REBECCA	EUROPACIFIC GROWTH FUND-A	75.00	2.81	0.00	2.81	15.0	0.42
	ACCOUNT #: 00082729374	BUY 1 Units @60.7200						
03/12/2018	***-**-2885 - BISHOP, DAVID	FUNDAMENTAL INVESTORS-A	75.00	2.81	0.00	2.81	15.0	0.42
	ACCOUNT #: 00068915116	BUY 1 Units @67.9800						
03/15/2018	***-**-6195 - BACKUS, THOMAS	INVESCO AMERICAN FRANCHISE FUND -CL	50.00	2.50	0.00	2.50	15.0	0.38
	ACCOUNT #: 03908954377	BUY 2 Units @22.9600						
03/12/2018	***-**-2885 - BISHOP, DAVID	NEW PERSPECTIVE FUND-A	50.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00068915116	BUY 1 Units @48.4300						
03/15/2018	***-**-4379 - MATICIC, JOSEPH	PUTNAM EQUITY INCOME FUND-A	50.00	2.50	0.00	2.50	15.0	0.38
	ACCOUNT #: 00461190200	BUY 2 Units @26.1300						
03/12/2018	***-**-2885 - BISHOP, DAVID	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00068915116	BUY 1 Units @56.4600						
03/12/2018	***-**-98CL - ACOSTA, CLIENTS	THE GROWTH FUND OF AMERICA-A	50.00	2.13	0.00	2.13	15.0	0.32
	ACCOUNT #: 00083299252	BUY 1 Units @56.7600						
03/15/2018	***-**-8238 - ASMUS, MICHAEL	THE INVESTMENT COMPANY OF AMERICA-A	140.00	5.25	0.00	5.25	15.0	0.79
	ACCOUNT #: 00074487927	BUY 3 Units @43.4900						
03/12/2018	***-**-98CL - ACOSTA, CLIENTS	WASHINGTON MUTUAL INVESTORS FUND-A	50.00	2.13	0.00	2.13	15.0	0.32
	ACCOUNT #: 00083299252	BUY 1 Units @49.2800						
		* JAMES LOMBARDO SUBTOTAL *	\$790.00	\$31.39	\$0.00	\$31.39		\$4.71
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$184,895.99	\$10,538.90	\$0.00	\$10,538.90		\$1,070.11



BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$59.47
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					<div>Balance Forward</div>			\$0.00
					<div>Year-to-date Earnings</div>			\$3,070.24