

# COMMISSION STATEMENT

January 15, 2018

ERIC FOREMAN-D023

BROKER #: D023

BRANCH: DME

, TX

## COMMISSION STATEMENT for ERIC FOREMAN-D023

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
01/02/2018 (None)	LANE-ANTOD	MF FIDELITY ADVISOR FDS	B	0.00	5.00	0.00	5.00	70.0	3.50
12/26/2017 00068637021	MCQUIDDY, LINDA	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
12/26/2017 00068637022	MCQUIDDY, LESLIE	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
01/02/2018 00074185860	JOHNSON, JESSICA	MF AMERICAN BALANCED FUND-A	B	50.00	2.13	0.00	2.13	70.0	1.49
01/02/2018 00074185860	JOHNSON, JESSICA	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	70.0	1.49
12/26/2017 00074205491	WALKER, SIDNEY	MF THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	70.0	1.32
12/26/2017 00074205491	WALKER, SIDNEY	MF THE INVESTMENT COMPANY OF	B	50.00	1.88	0.00	1.88	70.0	1.32
12/28/2017 00074518547	BUCHANAN, ERNEST	MF WASHINGTON MUTUAL INVESTORS	B	50.00	2.50	0.00	2.50	70.0	1.75
12/26/2017 00075947998	ROUSE, MIRIAM	MF FUNDAMENTAL INVESTORS-A	B	25.00	1.25	0.00	1.25	70.0	0.88
12/26/2017 00075947998	ROUSE, MIRIAM	MF NEW WORLD FUND-A	B	25.00	1.25	0.00	1.25	70.0	0.88
12/26/2017 00085343084	JOHNSON, SKYE	MF AMERICAN MUTUAL FUND-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
12/26/2017 00085343084	JOHNSON, SKYE	MF THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
12/26/2017 00087031501	HIBBLER, ALANA	MF CAPITAL WORLD GROWTH AND INCOME	B	83.33	3.54	0.00	3.54	70.0	2.48
12/26/2017 00087031501	HIBBLER, ALANA	MF NEW WORLD FUND-A	B	83.33	3.54	0.00	3.54	70.0	2.48
12/26/2017 00087031501	HIBBLER, ALANA	MF THE GROWTH FUND OF AMERICA-A	B	83.33	3.54	0.00	3.54	70.0	2.48
12/26/2017 00087031501	HIBBLER, ALANA	MF THE INCOME FUND OF AMERICA-A	B	83.35	3.54	0.00	3.54	70.0	2.48
12/26/2017 00087031501	HIBBLER, ALANA	MF THE INVESTMENT COMPANY OF	B	83.33	3.54	0.00	3.54	70.0	2.48
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$916.67</b>	<b>\$45.72</b>	<b>\$0.00</b>	<b>\$45.72</b>		<b>\$32.03</b>

## **PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

01/08/2018 (None)	VARIOUS, FOREMAN	MFT FIDELITY ADVISOR FDS	B	0.00	48.64	0.00	48.64	70.0	34.05
01/01/2018 00060505043	CRATE, ERNESTINE	MFT MFS GLOBAL GROWTH FUND-A	B	0.00	1.61	0.00	1.61	70.0	1.13
01/01/2018 00060506848	CRATE, ERNESTINE	MFT MFS MID CAP GROWTH FUND-A	B	0.00	1.01	0.00	1.01	70.0	0.71
01/01/2018 00060506956	CRATE, ERNESTINE	MFT MFS MID CAP GROWTH FUND-A	B	0.00	3.18	0.00	3.18	70.0	2.23
01/01/2018 00060507859	CRATE, ERNESTINE	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	1.14	0.00	1.14	70.0	0.80
01/01/2018 00060507868	CRATE, ERNESTINE	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	6.66	0.00	6.66	70.0	4.66
01/01/2018 00060508053	CRATE, ERNESTINE	MFT MFS TECHNOLOGY FUND-A	B	0.00	1.96	0.00	1.96	70.0	1.37
01/01/2018 00060508102	CRATE, ERNESTINE	MFT MFS TECHNOLOGY FUND-A	B	0.00	5.57	0.00	5.57	70.0	3.90
01/01/2018 00671919163	MORRIS, MATTIE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	24.49	0.00	24.49	70.0	17.14
01/01/2018 00671925648	CRYSTAL R	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.77	0.00	0.77	70.0	0.54
01/01/2018 00671925649	PHILIP D WILLIAMS	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	29.48	0.00	29.48	70.0	20.64

COMMISSION STATEMENT for ERIC FOREMAN-D023

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/01/2018 00671955622	COLEMAN, MICHAEL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.41	0.00	0.41	70.0	0.29
01/01/2018 00672272920	TONYA D JOHNSON	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	1.16	0.00	1.16	70.0	0.81
01/01/2018 01000058862	WHITAKER, STEPHEN	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	6.37	0.00	6.37	70.0	4.46
01/01/2018 03911296204	WHITAKER, STEPHEN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	5.62	0.00	5.62	70.0	3.93
01/01/2018 03912716713	WILLIAMS, PHILIP	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	43.32	0.00	43.32	70.0	30.32
01/01/2018 03912716721	WILLIAMS, TRACY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	37.35	0.00	37.35	70.0	26.15
01/01/2018 04040708101	DALY, SHEA	MFT AIM CHARTER FUND - CLASS A	B	0.00	3.91	0.00	3.91	70.0	2.74
01/01/2018 04040823181	GRAHAM, CALVIN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.26	0.00	0.26	70.0	0.18
01/01/2018 04040823181	GRAHAM, CALVIN	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	0.46	0.00	0.46	70.0	0.32
01/01/2018 05002104213	TURNER, TONEY	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	5.23	0.00	5.23	70.0	3.66
01/01/2018 05002104213	TURNER, TONEY	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	7.55	0.00	7.55	70.0	5.29
01/01/2018 05025747998	TURNER, TONEY	MFT AIM CHARTER FUND - CLASS A	B	0.00	8.41	0.00	8.41	70.0	5.89
01/01/2018 05025747998	TURNER, TONEY	MFT AIM INTERNATIONAL GROWTH FUND -	B	0.00	3.26	0.00	3.26	70.0	2.28
01/01/2018 05025747998	TURNER, TONEY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	7.48	0.00	7.48	70.0	5.24
01/01/2018 05025747998	TURNER, TONEY	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	6.69	0.00	6.69	70.0	4.68
01/01/2018 050547111600	GRAHAM IV/AUTH	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.24	0.00	2.24	70.0	1.57
01/01/2018 050547111600	GRAHAM IV/AUTH	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	4.78	0.00	4.78	70.0	3.35
01/01/2018 06900173968	HIBBLER, EDDIE	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	0.21	0.00	0.21	70.0	0.15
01/01/2018 06900177268	HIBBLER, EDDIE	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	0.62	0.00	0.62	70.0	0.43
01/01/2018 06900189701	MATTHEWS,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.73	0.00	1.73	70.0	1.21
01/01/2018 06900191584	MATTHEWS,	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.53	0.00	0.53	70.0	0.37
01/01/2018 06900201994	CRATE, CHRISTINE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.81	0.00	0.81	70.0	0.57
01/01/2018 06900209024	CRATE, CHRISTINE	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	1.05	0.00	1.05	70.0	0.74
01/01/2018 06900212966	CRATE, CHRISTINE	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.98	0.00	0.98	70.0	0.69
01/01/2018 06900215249	CRATE, CHRISTINE	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.33	0.00	0.33	70.0	0.23
01/01/2018 06900216648	CRATE, CHRISTINE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.47	0.00	0.47	70.0	0.33
01/01/2018 06900217966	CRATE, CHRISTINE	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	0.17	0.00	0.17	70.0	0.12
01/01/2018 06900218177	CRATE, CHRISTINE	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	0.52	0.00	0.52	70.0	0.36
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$276.43	\$0.00	\$276.43		\$193.53
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
12/31/2017 00510042259	CLARK, HERSHEL	VAT HARTFORD LIFE	B	0.00	7.44	0.00	7.44	70.0	5.21
12/31/2017 00510042259	FOREMAN, GEORGIA	VAT HARTFORD LIFE	B	0.00	5.13	0.00	5.13	70.0	3.59
12/31/2017 00510042259	MANIS, GILES	VAT HARTFORD LIFE	B	0.00	323.74	0.00	323.74	70.0	226.62
12/31/2017 00510042259	JONES, GAYLA	VAT HARTFORD LIFE	B	0.00	168.34	0.00	168.34	70.0	117.84
12/31/2017 00510042259	JOHNSON, GEORGE	VAT HARTFORD LIFE	B	0.00	17.09	0.00	17.09	70.0	11.96
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$521.74	\$0.00	\$521.74		\$365.22
*** DIRECT TRANSACTIONS TOTAL ***				\$916.67	\$843.89	\$0.00	\$843.89		\$590.78

**BROKER COMMISSION TOTALS**

Broker Transactions	\$590.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$590.78</b>
<b>Year-to-date Commissions</b>	<b>\$603.93</b>