



COMMISSION STATEMENT

April 16, 2018

ALFREDO BALLESTEROS
21830 Walnut Street
Wildmar, CA 92595

BROKER #: B10
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/02/2018	***-**-9808 - SJOERDSMA, GREGG	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919902	BUY 2 Units @28.0000						
04/02/2018	***-**-0385 - SJOERDSMA, ANN	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919970	BUY 2 Units @28.0000						
04/05/2018	***-**-8240 - BREWER, LUCINDA	EUROPACIFIC GROWTH FUND-A	62.50	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 00087834785	BUY 1 Units @59.8300						
04/05/2018	***-**-5049 - CRUZ, JOSE	EUROPACIFIC GROWTH FUND-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 0 Units @60.3100						
04/05/2018	***-**-5049 - CRUZ, JOSE	EUROPACIFIC GROWTH FUND-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @60.3100						
04/05/2018	***-**-8240 - BREWER, LUCINDA	SMALLCAP WORLD FUND-A	62.50	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 00087834785	BUY 1 Units @59.7800						
04/05/2018	***-**-5049 - CRUZ, JOSE	SMALLCAP WORLD FUND-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 0 Units @60.2500						
04/05/2018	***-**-5049 - CRUZ, JOSE	SMALLCAP WORLD FUND-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @60.2500						
04/02/2018	***-**-9808 - SJOERDSMA, GREGG	THE BOND FUND OF AMERICA-A	50.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00087919902	BUY 4 Units @13.1300						
04/02/2018	***-**-0385 - SJOERDSMA, ANN	THE BOND FUND OF AMERICA-A	50.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00087919970	BUY 4 Units @13.1300						
04/02/2018	***-**-9808 - SJOERDSMA, GREGG	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919902	BUY 1 Units @52.8700						
04/02/2018	***-**-0385 - SJOERDSMA, ANN	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919970	BUY 1 Units @52.8700						
04/05/2018	***-**-8240 - BREWER, LUCINDA	THE GROWTH FUND OF AMERICA-A	62.50	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 00087834785	BUY 1 Units @53.9200						
04/05/2018	***-**-5049 - CRUZ, JOSE	THE GROWTH FUND OF AMERICA-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 0 Units @54.3400						
04/05/2018	***-**-5049 - CRUZ, JOSE	THE GROWTH FUND OF AMERICA-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @54.3400						
04/02/2018	***-**-9808 - SJOERDSMA, GREGG	THE INCOME FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919902	BUY 2 Units @23.8400						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/02/2018	***-**-0385 - SJOERDSMA, ANN	THE INCOME FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919970	BUY 2 Units @23.8400						
04/05/2018	***-**-8240 - BREWER, LUCINDA	THE INVESTMENT COMPANY OF AMERICA-A	62.50	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 00087834785	BUY 1 Units @42.0500						
04/05/2018	***-**-5049 - CRUZ, JOSE	THE INVESTMENT COMPANY OF AMERICA-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 1 Units @42.3900						
04/05/2018	***-**-5049 - CRUZ, JOSE	THE INVESTMENT COMPANY OF AMERICA-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @42.3900						
* MUTUAL FUNDS SUBTOTAL *			\$900.00	\$41.16	\$0.00	\$41.16		\$32.92
PRODUCT CATEGORY: 529 PLAN								
04/05/2018	***-**-9952 - NGUYEN, VICKIE	AMERICAN BALANCED FUND-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 3 Units @28.4700						
04/05/2018	***-**-9952 - NGUYEN, VICKIE	AMERICAN BALANCED FUND-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 2 Units @28.4700						
04/05/2018	***-**-9952 - NGUYEN, VICKIE	NEW PERSPECTIVE FUND-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 2 Units @46.4400						
04/05/2018	***-**-9952 - NGUYEN, VICKIE	NEW PERSPECTIVE FUND-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @46.4400						
04/05/2018	***-**-9952 - NGUYEN, VICKIE	THE GROWTH FUND OF AMERICA-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 1 Units @53.7700						
04/05/2018	***-**-9952 - NGUYEN, VICKIE	THE GROWTH FUND OF AMERICA-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @53.7700						
04/05/2018	***-**-9952 - NGUYEN, VICKIE	THE INVESTMENT COMPANY OF AMERICA-5	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 2 Units @42.2800						
04/05/2018	***-**-9952 - NGUYEN, VICKIE	THE INVESTMENT COMPANY OF AMERICA-5	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @42.2800						
* 529 PLAN SUBTOTAL *			\$500.00	\$25.00	\$0.00	\$25.00		\$20.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
03/31/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 00100308650	C-STEELPATH MLP ALPHA FUND BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
03/31/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 00100308661	C-STEELPATH MLP ALPHA FUND BUY 0 Units @0.0000	0.00	2.31	0.00	2.31	80.0	1.85
03/31/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 00100308672	C-STEELPATH MLP ALPHA FUND BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
03/31/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 00100308683	C-STEELPATH MLP ALPHA FUND BUY 0 Units @0.0000	0.00	2.31	0.00	2.31	80.0	1.85
03/31/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 03222367013	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
03/31/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 03222367024	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	6.30	0.00	6.30	80.0	5.04
03/31/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 03222367035	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
03/31/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 03222367046	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	6.30	0.00	6.30	80.0	5.04
03/31/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 03020607739	CAPITAL INCOME FUND C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
03/31/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 03020607750	CAPITAL INCOME FUND C BUY 0 Units @0.0000	0.00	2.73	0.00	2.73	80.0	2.18
03/31/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 03020607761	CAPITAL INCOME FUND C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
03/31/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 03020607772	CAPITAL INCOME FUND C BUY 0 Units @0.0000	0.00	2.73	0.00	2.73	80.0	2.18
03/31/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 08370762762	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
03/31/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 08370762773	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	0.00	6.02	0.00	6.02	80.0	4.82
03/31/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 08370762784	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
03/31/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 08370762795	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	0.00	6.02	0.00	6.02	80.0	4.82



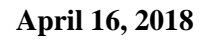
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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 08571297516	LIMITED-TERM GOVERNMENT FUND C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
03/31/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 08571297527	LIMITED-TERM GOVERNMENT FUND C BUY 0 Units @0.0000	0.00	2.37	0.00	2.37	80.0	1.90
03/31/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 08571297538	LIMITED-TERM GOVERNMENT FUND C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
03/31/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 08571297549	LIMITED-TERM GOVERNMENT FUND C BUY 0 Units @0.0000	0.00	2.37	0.00	2.37	80.0	1.90
03/31/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 08822120008	OPPENHEIMER INT'L BOND CLASS C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
03/31/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 08822120019	OPPENHEIMER INT'L BOND CLASS C BUY 0 Units @0.0000	0.00	2.87	0.00	2.87	80.0	2.30
03/31/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 08822120030	OPPENHEIMER INT'L BOND CLASS C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
03/31/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 08822120041	OPPENHEIMER INT'L BOND CLASS C BUY 0 Units @0.0000	0.00	2.87	0.00	2.87	80.0	2.30
03/31/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 08170466873	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
03/31/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 08170466884	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	0.00	6.94	0.00	6.94	80.0	5.55
03/31/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 08170466895	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
03/31/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 08170466906	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	0.00	6.94	0.00	6.94	80.0	5.55
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$59.08	\$0.00	\$59.08		\$47.28
*** BROKER TRANSACTIONS TOTAL ***			\$1,400.00	\$125.24	\$0.00	\$125.24		\$100.20



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			INVESTMENT	GROSS	CLEARING	NET	BROKER
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
<div>BROKER COMMISSION TOTALS</div>							
						Broker Transactions	\$100.20
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$100.20
						Year-to-date Earnings	\$10,564.11