

COMMISSION STATEMENT

April 03, 2017

DANIEL SIEGEL
99 WINGED FOOT DRIVE

LIVINGSTON, NJ 07039

BROKER #:
BRANCH: HOME OFFICE

COMMISSION STATEMENT for DANIEL SIEGEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

03/01/2017	QGW001497	KLUGMAN, ROBERT	VIRTU FINL INC CL A	B	5,309.64	113.00	0.00	113.00	80.0	90.40
03/13/2017	QGW001497	KLUGMAN, ROBERT	SNAP INC CLASS A COMMON STOCK	B	2,915.50	82.00	0.00	82.00	80.0	65.60
03/17/2017	QGW001497	KLUGMAN, ROBERT	ABBVIE INC	B	13,121.88	166.00	0.00	166.00	80.0	132.80
03/01/2017	QGW009805	KELLY, ROBERT	VIRTU FINL INC CL A	B	5,309.64	113.00	0.00	113.00	80.0	90.40
03/17/2017	QGW009805	KELLY, ROBERT	ABBVIE INC	B	13,121.88	166.00	0.00	166.00	80.0	132.80
02/24/2017	QGW009847	LEICHER, MARVIN	CENTERPOINT ENERGY INC	S	-16,134.06	192.00	0.00	192.00	80.0	153.60
03/14/2017	QGW009847	LEICHER, MARVIN	MEDICAL PROPERTIES TRUST INC	B	12,107.90	269.00	0.00	269.00	80.0	215.20
03/17/2017	QGW009854	LEICHER, EVELYN	ABBVIE INC	B	6,566.99	116.00	0.00	116.00	80.0	92.80
03/01/2017	QGW009896	GREENBURG, JON	VIRTU FINL INC CL A	B	7,079.52	50.00	0.00	50.00	80.0	40.00
03/13/2017	QGW009896	GREENBURG, JON	MEDICAL PROPERTIES TRUST INC	B	4,971.64	50.00	0.00	50.00	80.0	40.00
03/17/2017	QGW009896	GREENBURG, JON	ABBVIE INC	B	19,682.82	50.00	0.00	50.00	80.0	40.00
03/13/2017	QGW020919	KELLY, ROBERT	MEDICAL PROPERTIES TRUST INC	B	4,971.64	118.00	0.00	118.00	80.0	94.40
03/21/2017	QGW020935	KANTOR, SUSAN	PFIZER INCORPORATED	S	-5,178.75	124.00	0.00	124.00	80.0	99.20
03/13/2017	QGW021271	KLUGMAN, ROBERT	MEDICAL PROPERTIES TRUST INC	B	4,971.64	118.00	0.00	118.00	80.0	94.40
03/13/2017	QGW022246	SUGARMAN, GAIL	MEDICAL PROPERTIES TRUST INC	B	4,971.64	118.00	0.00	118.00	80.0	94.40
03/21/2017	QGW022246	SUGARMAN, GAIL	PFIZER INCORPORATED	S	-6,905.00	124.00	0.00	124.00	80.0	99.20
03/01/2017	QGW023772	PATRICK E OBRIEN,	VIRTU FINL INC CL A	B	5,309.64	113.00	0.00	113.00	80.0	90.40
03/13/2017	QGW023772	PATRICK E OBRIEN,	MEDICAL PROPERTIES TRUST INC	B	4,971.64	118.00	0.00	118.00	80.0	94.40
03/21/2017	QGW023772	PATRICK E OBRIEN,	PFIZER INCORPORATED	S	-6,905.00	124.00	0.00	124.00	80.0	99.20
* STOCKS SUBTOTAL *					\$80,260.80	\$2,324.00	\$0.00	\$2,324.00		\$1,859.20

PRODUCT CATEGORY: OPTIONS

03/17/2017	QGW001497	KLUGMAN, ROBERT	CALL 100 ABBVIE INC COM EXP 08-18-1 S		-262.00	58.00	0.00	58.00	80.0	46.40
03/17/2017	QGW009805	KELLY, ROBERT	CALL 100 ABBVIE INC COM EXP 08-18-1 S		-262.00	58.00	0.00	58.00	80.0	46.40
03/17/2017	QGW009896	GREENBURG, JON	CALL 100 ABBVIE INC COM EXP 08-18-1 S		-393.00	50.00	0.00	50.00	80.0	40.00
* OPTIONS SUBTOTAL *					\$-917.00	\$166.00	\$0.00	\$166.00		\$132.80

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/14/2017	QGW009847	LEICHER, MARVIN	BOND A	B	0.00	5.10	0.00	5.10	80.0	4.08
03/22/2017	QGW009847	LEICHER, MARVIN	OPPENHEIMER ROCHESTER NEW JERSEY MU B		0.00	13.93	0.00	13.93	80.0	11.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$19.03	\$0.00	\$19.03		\$15.22

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ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$79,343.80	\$2,509.03	\$0.00	\$2,509.03	\$2,007.22
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ADJUSTMENTS

04/03/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,007.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.14
SIPC Assessment	\$-47.04

Check Amount	\$1,937.04
Year-to-date Earnings	\$4,683.73