



Concorde Investment Services, LLC

07/12/2017
Page No. 1

COMMISSION STATEMENT July 15, 2017

THOMAS HALSEY
7261 W. Central Ave.

Toledo, OH 43617

BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/27/2017	WRAY, KIM	AM FUNDS AMERICAN HIGH-INC MUNI BON	1,843.52	50.70	0.00	50.70	85.0	43.10
06/28/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	2.13
07/03/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	85.0	14.45
07/07/2017	FRANTZ, CASSANDRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	85.0	4.25
06/16/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	300.00	11.25	0.00	11.25	85.0	9.56
07/01/2017	DAY, JAMES	MFS MASS INVESTORS TRUST A	0.00	7.90	0.00	7.90	85.0	6.72
07/05/2017	FRANTZ, JUDITH	PIONEER CULLEN VALUE FUND A	0.00	16.34	0.00	16.34	85.0	13.89
07/05/2017	FRANTZ, JUDITH	PIONEER EMERGING MARKETS A	0.00	4.24	0.00	4.24	85.0	3.60
07/05/2017	FRANTZ, JUDITH	PIONEER EQUITY INCOME A	0.00	31.44	0.00	31.44	85.0	26.72
07/05/2017	FRANTZ, JUDITH	PIONEER FUND A	0.00	3.79	0.00	3.79	85.0	3.22
07/05/2017	FRANTZ, JUDITH	PIONEER GROWTH OPPORTUNITIES A	0.00	3.99	0.00	3.99	85.0	3.39
07/05/2017	FRANTZ, JUDITH	PIONEER VALUE A	0.00	31.35	0.00	31.35	85.0	26.65
* MUTUAL FUNDS SUBTOTAL *			\$2,693.52	\$185.50	\$0.00	\$185.50		\$157.68
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	DREEZE, MARTIN	HARTFORD LEADERS OUTLOOK (ILA)	926.40	2.32	0.00	2.32	85.0	1.97
06/30/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	179,492.27	149.58	0.00	149.58	85.0	127.14
06/30/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	18,360.19	15.30	0.00	15.30	85.0	13.01
06/30/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	52,193.93	43.49	0.00	43.49	85.0	36.97
06/30/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	41,271.61	34.39	0.00	34.39	85.0	29.23
06/28/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	4.68
07/01/2017	PRZYBYLSKI, DIANA	NATIONWIDE DESTINATION LS 96	95,252.95	238.13	0.00	238.13	85.0	202.41
06/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	135,821.28	113.18	0.00	113.18	85.0	96.20
06/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	105,725.58	88.10	0.00	88.10	85.0	74.89
06/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	86,982.75	72.49	0.00	72.49	85.0	61.62
06/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	230,523.64	192.10	0.00	192.10	85.0	163.29
06/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	99,353.79	82.79	0.00	82.79	85.0	70.37

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	139,033.02	115.86	0.00	115.86	85.0	98.48
06/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	148,621.63	123.85	0.00	123.85	85.0	105.27
06/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	188,738.63	157.28	0.00	157.28	85.0	133.69
06/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	233,890.52	194.91	0.00	194.91	85.0	165.67
06/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	132,079.95	110.07	0.00	110.07	85.0	93.56
06/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	178,784.51	148.99	0.00	148.99	85.0	126.64
06/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	108,695.56	90.58	0.00	90.58	85.0	76.99
06/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	94,880.59	79.07	0.00	79.07	85.0	67.21
06/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	88,170.32	73.48	0.00	73.48	85.0	62.46
06/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	133,436.70	111.20	0.00	111.20	85.0	94.52
06/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	66,111.99	55.09	0.00	55.09	85.0	46.83
06/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	29,631.53	24.69	0.00	24.69	85.0	20.99
06/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	213,882.41	178.24	0.00	178.24	85.0	151.50
06/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	73,198.70	61.00	0.00	61.00	85.0	51.85
06/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	73,198.70	61.00	0.00	61.00	85.0	51.85
06/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	73,198.70	61.00	0.00	61.00	85.0	51.85
06/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	192,642.75	160.54	0.00	160.54	85.0	136.46
06/22/2017	AUGUSTYNYIAK JR, ROGER	TRANSAMERICA LIBERTY	425,800.63	354.83	0.00	354.83	85.0	301.61
06/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	93,137.63	77.61	0.00	77.61	85.0	65.97
06/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	392,579.06	327.15	0.00	327.15	85.0	278.08
06/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	74,892.02	62.41	0.00	62.41	85.0	53.05
06/26/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	2,130.55	85.22	0.00	85.22	85.0	72.44
06/26/2017	ANNESSE, CAROL	TRANSAMERICA LIBERTY	126,427.44	105.36	0.00	105.36	85.0	89.56
06/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	121,748.18	101.46	0.00	101.46	85.0	86.24
06/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	28,263.87	23.55	0.00	23.55	85.0	20.02
06/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	65,813.99	54.84	0.00	54.84	85.0	46.61
06/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	36,917.64	30.76	0.00	30.76	85.0	26.15
06/30/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	47,498.85	39.58	0.00	39.58	85.0	33.64
* VARIABLE ANNUITIES SUBTOTAL *			\$4,629,410.46	\$4,106.99	\$0.00	\$4,106.99		\$3,490.97
*** BROKER TRANSACTIONS TOTAL ***			\$4,632,103.98	\$4,292.49	\$0.00	\$4,292.49		\$3,648.65

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
07/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,648.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,073.65
Year-to-date Gross	\$37,728.16
Year-to-date Earnings	\$32,230.58

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed