



COMMISSION STATEMENT

February 19, 2018

RICHARD PASCALE
321 Grover Avenue North
Massapequa Park, NY 11762

BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/19/2018	***-**-451I - LUCCHI, PAUL	LIVEWELL MUTUAL FUND	0.00	2.60	0.00	2.60	90.0	2.34
	ACCOUNT #: 7200003233	BUY 0 Units @0.0000						
02/19/2018	***-**-451I - LUCCHI, PAUL	SAMMONS MF TRAILS	0.00	5.11	0.00	5.11	90.0	4.60
	ACCOUNT #: 7200003233	BUY 0 Units @0.0000						
02/19/2018	***-**-78IN - KOLLQAKU, BUJARE	SAMMONS MF TRAILS	0.00	4.57	0.00	4.57	90.0	4.11
	ACCOUNT #: 7200013535	BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$12.28	\$0.00	\$12.28		\$11.05
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/14/2018	***-**-5723 - PONTURO,	NEW YORK 529 TRAILS	0.00	3.87	0.00	3.87	90.0	3.48
	ACCOUNT #: 77161355101	BUY 0 Units @0.0000						
02/14/2018	***-**-545P - FELLIN, DIANE	NEW YORK 529 TRAILS	0.00	0.30	0.00	0.30	90.0	0.27
	ACCOUNT #: 77169971001	BUY 0 Units @0.0000						
02/14/2018	***-**-465P - KALANTZOPOULOS,	NEW YORK 529 TRAILS	0.00	2.19	0.00	2.19	90.0	1.97
	ACCOUNT #: 77280070001	BUY 0 Units @0.0000						
02/14/2018	***-**-623I - LEIBOWITZ, IRWIN	NEW YORK 529 TRAILS	0.00	0.42	0.00	0.42	90.0	0.38
	ACCOUNT #: 77287712601	BUY 0 Units @0.0000						
02/14/2018	***-**-895P - POWER, KATHLEEN	NEW YORK 529 TRAILS	0.00	0.28	0.00	0.28	90.0	0.25
	ACCOUNT #: 77321173601	BUY 0 Units @0.0000						
02/14/2018	***-**-775P - RHODES, EDWARD	NEW YORK 529 TRAILS	0.00	0.31	0.00	0.31	90.0	0.28
	ACCOUNT #: 77426669701	BUY 0 Units @0.0000						
02/14/2018	***-**-515P - PASSARO, MARY	NEW YORK 529 TRAILS	0.00	0.54	0.00	0.54	90.0	0.49
	ACCOUNT #: 77507742202	BUY 0 Units @0.0000						
02/14/2018	***-**-815P - WILSON, JOHN	NEW YORK 529 TRAILS	0.00	1.06	0.00	1.06	90.0	0.95
		BUY 0 Units @0.0000						
02/09/2018	***-**-971I - MEEHAN, JULIE	SUNAMERICA FOCUSED ALPHA GROWTH CL	0.00	0.65	0.00	0.65	90.0	0.59
	ACCOUNT #: 00009175998	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$9.62	\$0.00	\$9.62		\$8.66
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$21.90	\$0.00	\$21.90		\$19.71



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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFFREY MORRISON</u>								
02/09/2018	***-**-36IN - KOUNOUKLOS ACCOUNT #: LSR010363	AGNC INVT CORP COM UNSOLICITED ORDE SELL 35 Units @18.6340	652.19	20.30	12.00	8.30	90.0	7.47
02/09/2018	***-**-017J - MORRISON, ACCOUNT #: LSF000303	AMAZON.COM INC UNSOLICITED ORDER BUY 50 Units @1344.6340	67,231.70	27.00	4.50	22.50	90.0	20.25
02/14/2018	***-**-9385 - JOSEPH, BRIAN ACCOUNT #: 77094508001	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	2.86	0.00	2.86	90.0	2.57
02/14/2018	***-**-7673 - MORRISON, ACCOUNT #: 77152277401	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	8.60	0.00	8.60	90.0	7.74
02/14/2018	***-**-446J - RUBIN ACCOUNT #: 77334416801	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	3.95	0.00	3.95	90.0	3.56
02/14/2018	***-**-7724 - NANDAA, NEIL ACCOUNT #: 77348040901	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	2.03	0.00	2.03	90.0	1.83
02/14/2018	***-**-18IN - WATSON ACCOUNT #: 77398834701	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.52	0.00	0.52	90.0	0.47
02/14/2018	***-**-165P - BRILL, AMY	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	14.28	0.00	14.28	90.0	12.85
02/14/2018	***-**-405P - KITOGRAD, JAY ACCOUNT #: 77739941101	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	1.70	0.00	1.70	90.0	1.53
02/09/2018	***-**-36IN - KOUNOUKLOS ACCOUNT #: LSR010363	VIAVI SOLUTIONS INC COM SELL 1 Units @9.8540	9.85	2.42	7.51	-5.09	100.0	-5.09
02/09/2018	***-**-017J - MORRISON, ACCOUNT #: LSF000303	WALMART STORES INC UNSOLICITED ORDE BUY 200 Units @99.4100	19,882.00	54.50	5.50	49.00	90.0	44.10
02/09/2018	***-**-36IR - KOUNOUKLOS, ACCOUNT #: LSR006009	WALMART STORES INC UNSOLICITED ORDE BUY 50 Units @99.9400	4,997.00	27.00	8.00	19.00	90.0	17.10
* JEFFREY MORRISON SUBTOTAL *			\$92,772.74	\$165.16	\$37.51	\$127.65		\$114.38
*** SPLIT TRANSACTIONS TOTAL ***			\$92,772.74	\$165.16	\$37.51	\$127.65		\$114.38



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				GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$19.71
						Split Transactions		\$114.38
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$134.09
						Year-to-date Earnings		\$0.00