



Concorde Investment Services, LLC

09/13/2017  
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# **COMMISSION STATEMENT** **September 15, 2017**

JOHN ROSS  
7261 W. Central Ave.  
  
Toledo, OH 43617

BROKER #: R119  
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/01/2017	KRAUS, JENNIFER	AM FUNDS AMERICAN HIGH-INCOME TRUST	150.00	4.50	0.00	4.50	92.0	4.14
09/01/2017	HUNTER, DEBORAH	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.13	0.00	2.13	92.0	1.96
09/01/2017	HUNTER, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	92.0	1.96
09/06/2017	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	-12,969.28	0.00	0.00	0.00	92.0	0.00
09/05/2017	HAUSER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	200.00	3.20	0.00	3.20	92.0	2.94
09/05/2017	HAUSER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	20.00	0.32	0.00	0.32	92.0	0.29
09/05/2017	TARRIS, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	200.00	3.20	0.00	3.20	92.0	2.94
09/05/2017	MCFARLAND, BRANDON	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	92.0	1.47
08/28/2017	HILKENS, CHRISTOPHER	AM FUNDS SHORT TERM BND FUND OF AM	65.00	1.30	0.00	1.30	92.0	1.20
/ /	WAGONER JR, JOHN	DELAWARE SMID CAP GROWTH A	0.00	1.96	0.00	1.96	92.0	1.80
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	1.39	0.00	1.39	92.0	1.28
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	0.94	0.00	0.94	92.0	0.86
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.33	0.00	1.33	92.0	1.22
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.10	0.00	1.10	92.0	1.01
08/28/2017	PLAN	PUTNAM MULTI CAP GROWTH A	25.00	1.25	0.00	1.25	92.0	1.15
08/28/2017	PLAN	PUTNAM MULTI CAP GROWTH A	25.00	1.25	0.00	1.25	92.0	1.15
* MUTUAL FUNDS SUBTOTAL *			<b>\$-12,084.28</b>	<b>\$27.60</b>	<b>\$0.00</b>	<b>\$27.60</b>		<b>\$25.37</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/31/2017	IRWIN, JOHN	JNL ELITE ACCESS	145,253.92	30.26	0.00	30.26	92.0	27.84
08/31/2017	DEMKO, VERNA	JNL PERSPECTIVE	51,411.39	10.71	0.00	10.71	92.0	9.85
08/31/2017	LONG, PAUL	JNL PERSPECTIVE II 05/05	3,414.25	1.42	0.00	1.42	92.0	1.31
08/31/2017	LONG, LORI	JNL PERSPECTIVE L	14,741.51	12.28	0.00	12.28	92.0	11.30
08/31/2017	BENSCH, ARLYN	JNL PERSPECTIVE L	32,332.14	26.94	0.00	26.94	92.0	24.78
08/31/2017	BENSCH, CANDACE	JNL PERSPECTIVE L	27,489.80	22.91	0.00	22.91	92.0	21.08
08/31/2017	KRALL, JERRY	JOHN HANCOCK VENTURE III	42,521.59	35.43	0.00	35.43	92.0	32.60
08/31/2017	KEEFE, GERALD	JOHN HANCOCK VENTURE III	14,562.20	12.14	0.00	12.14	92.0	11.17

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
08/31/2017	HEINAMAN, ROBERT	JOHN HANCOCK VENTURE III	112,733.26	93.94	0.00	93.94	92.0	86.42
08/31/2017	KRAUS, JUDITH	JOHN HANCOCK VENTURE III	40,166.51	33.47	0.00	33.47	92.0	30.79
08/31/2017	GARDNER, WM	JOHN HANCOCK VENTURE III	126,642.48	105.54	0.00	105.54	92.0	97.10
08/31/2017	SILLIMAN, GRACE	JOHN HANCOCK VENTURE III	65,587.87	54.66	0.00	54.66	92.0	50.29
08/31/2017	CURSON, BEVERLY	JOHN HANCOCK VENTURE III	62,656.84	52.21	0.00	52.21	92.0	48.03
08/31/2017	JANICKI, KATHERINE	JOHN HANCOCK VENTURE III	97,148.15	80.96	0.00	80.96	92.0	74.48
08/31/2017	MOORE, GARY	JOHN HANCOCK VENTURE III	85,934.84	71.61	0.00	71.61	92.0	65.88
08/31/2017	IRWIN, JOHN	JOHN HANCOCK VENTURE III	72,843.84	60.70	0.00	60.70	92.0	55.84
08/31/2017	WAGONER JR, JOHN	JOHN HANCOCK VENTURE III	57,343.90	47.79	0.00	47.79	92.0	43.97
08/31/2017	WOODRUFF, STEPHANIE	JOHN HANCOCK VENTURE III	56,984.51	47.49	0.00	47.49	92.0	43.69
09/07/2017	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
09/08/2017	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
09/08/2017	SHOEMAKER, DENNIS	MML ARTISTRY	25.00	1.38	0.00	1.38	92.0	1.27
08/14/2017	CUMMINGS, BARBARA	TRANSAMERICA LIBERTY	148,322.30	123.60	0.00	123.60	92.0	113.71
08/20/2017	DOUGHERTY, RICO	TRANSAMERICA LIBERTY	104,514.87	87.10	0.00	87.10	92.0	80.13
08/21/2017	DEMKO, VERNA	TRANSAMERICA LIBERTY	81,294.41	67.75	0.00	67.75	92.0	62.33
08/22/2017	HOLLOWAY, LINDA	TRANSAMERICA LIBERTY	195,451.24	162.88	0.00	162.88	92.0	149.85
08/27/2017	ROSS, SUSAN	TRANSAMERICA LIBERTY	4,396.50	3.66	0.00	3.66	92.0	3.37
08/29/2017	DULINSKY, SHERRY	TRANSAMERICA LIBERTY	27,254.28	22.71	0.00	22.71	92.0	20.89
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$1,671,217.60</b>	<b>\$1,279.99</b>	<b>\$0.00</b>	<b>\$1,279.99</b>		<b>\$1,177.58</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
08/15/2017	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.73	0.00	2.73	92.0	2.51
08/16/2017	MOORE, LINDA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.97	0.00	4.97	92.0	4.57
08/15/2017	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.73	0.00	4.73	92.0	4.35
08/14/2017	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.15	0.00	0.15	92.0	0.14
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$12.58</b>	<b>\$0.00</b>	<b>\$12.58</b>		<b>\$11.57</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,659,133.32</b>	<b>\$1,320.17</b>	<b>\$0.00</b>	<b>\$1,320.17</b>		<b>\$1,214.52</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: THOMAS HALSEY #82 </u></b>								
09/06/2017	PERRY, CAROL	AM FUNDS AMERICAN BALANCED A	20,000.00	550.00	0.00	550.00	85.0	38.50
09/06/2017	PERRY, CAROL	AM FUNDS AMERICAN HIGH-INC MUNI BON	10,000.00	275.00	0.00	275.00	85.0	19.25
09/06/2017	PERRY, CAROL	AM FUNDS AMERICAN HIGH-INCOME TRUST	10,000.00	275.00	0.00	275.00	85.0	19.25
09/06/2017	PERRY, CAROL	AM FUNDS CAPITAL INCOME BUILDER A	10,000.00	275.00	0.00	275.00	85.0	19.25
09/06/2017	PERRY, CAROL	AM FUNDS CAPITAL WORLD GRTH & INC A	20,000.00	550.00	0.00	550.00	85.0	38.50
09/06/2017	PERRY, CAROL	AM FUNDS FUNDAMENTAL INVESTORS A	15,000.00	412.50	0.00	412.50	85.0	28.88
08/28/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	0.18
09/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	85.0	1.19
09/06/2017	PERRY, CAROL	AM FUNDS WASHINGTON MUTUAL INVESTOR	4,986.67	137.13	0.00	137.13	85.0	9.60
/ /	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	11.25	0.00	11.25	85.0	0.79
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.43	0.00	7.43	85.0	0.52
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	3.47	0.00	3.47	85.0	0.24
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	4.85	0.00	4.85	85.0	0.34
/ /	KRAL, ADAM	FIDELITY ADV HEALTH CARE T	0.00	1.20	0.00	1.20	85.0	0.08
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	6.37	0.00	6.37	85.0	0.45
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	4.30	0.00	4.30	85.0	0.30
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	4.28	0.00	4.28	85.0	0.30
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	6.41	0.00	6.41	85.0	0.45
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	6.76	0.00	6.76	85.0	0.47
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	8.96	0.00	8.96	85.0	0.63
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	3.39	0.00	3.39	85.0	0.24
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.19	0.00	5.19	85.0	0.36
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	6.17	0.00	6.17	85.0	0.43
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	6.07	0.00	6.07	85.0	0.42
/ /	KRAL, ADAM	FIDELITY MIDCAP T	0.00	0.97	0.00	0.97	85.0	0.07
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	14.19	0.00	14.19	85.0	0.99
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.56	0.00	6.56	85.0	0.46
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	6.65	0.00	6.65	85.0	0.47
08/31/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	181,940.66	151.62	0.00	151.62	85.0	10.61
08/31/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	18,694.51	15.58	0.00	15.58	85.0	1.09
08/31/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	53,144.32	44.29	0.00	44.29	85.0	3.10
08/31/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	42,023.12	35.02	0.00	35.02	85.0	2.45
09/07/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: THOMAS HALSEY #82 </u></b>								
08/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	137,951.09	114.96	0.00	114.96	85.0	8.05
08/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	106,695.43	88.91	0.00	88.91	85.0	6.22
08/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	87,636.79	73.03	0.00	73.03	85.0	5.11
08/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	232,886.11	194.07	0.00	194.07	85.0	13.58
08/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	99,798.90	83.17	0.00	83.17	85.0	5.82
08/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	140,503.71	117.09	0.00	117.09	85.0	8.20
08/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	150,193.62	125.16	0.00	125.16	85.0	8.76
08/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	190,063.71	158.39	0.00	158.39	85.0	11.09
08/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	237,290.51	197.74	0.00	197.74	85.0	13.84
08/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	132,846.84	110.71	0.00	110.71	85.0	7.75
08/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	178,609.84	148.84	0.00	148.84	85.0	10.42
08/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	109,076.35	90.90	0.00	90.90	85.0	6.36
08/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	93,597.61	78.00	0.00	78.00	85.0	5.46
08/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	88,620.55	73.85	0.00	73.85	85.0	5.17
08/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	134,539.12	112.12	0.00	112.12	85.0	7.85
08/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	66,686.32	55.57	0.00	55.57	85.0	3.89
08/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	29,873.53	24.89	0.00	24.89	85.0	1.74
08/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	217,647.40	181.37	0.00	181.37	85.0	12.70
08/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	71,476.97	59.56	0.00	59.56	85.0	4.17
08/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	71,476.97	59.56	0.00	59.56	85.0	4.17
08/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	71,476.97	59.56	0.00	59.56	85.0	4.17
08/20/2017	RICHARDS, STEPHEN	TRANSAMERICA LIBERTY	107,388.01	89.49	0.00	89.49	85.0	6.26
08/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	197,698.59	164.75	0.00	164.75	85.0	11.53
08/22/2017	AUGUSTYNYIAK JR, ROGER	TRANSAMERICA LIBERTY	427,685.73	356.40	0.00	356.40	85.0	24.95
08/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	93,945.23	78.29	0.00	78.29	85.0	5.48
08/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	403,169.68	335.97	0.00	335.97	85.0	23.52
08/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	75,171.79	62.64	0.00	62.64	85.0	4.38
08/26/2017	ANNESSE, CAROL	TRANSAMERICA LIBERTY	118,469.61	98.72	0.00	98.72	85.0	6.91
08/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	122,966.91	102.47	0.00	102.47	85.0	7.17
08/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	28,592.92	23.83	0.00	23.83	85.0	1.67
08/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	66,582.78	55.49	0.00	55.49	85.0	3.88
08/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	36,938.43	30.78	0.00	30.78	85.0	2.15
08/30/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	47,886.07	39.91	0.00	39.91	85.0	2.79

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ROBERT THOMPSON #83</u></b>								
		* THOMAS HALSEY SUBTOTAL *	\$4,761,733.37	\$6,504.05	\$0.00	\$6,504.05		\$455.26
<b><u>BROKER: ROBERT THOMPSON #83</u></b>								
08/31/2017	RICHARDS, MILDRED	JNL PERSPECTIVE	38,731.44	8.07	0.00	8.07	90.0	0.16
08/31/2017	FLECK, GERALD	JNL PERSPECTIVE II 05/05	2,157.55	1.35	0.00	1.35	90.0	0.03
08/31/2017	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	40,750.63	33.96	0.00	33.96	90.0	0.68
08/31/2017	SMITH, FRANK	JOHN HANCOCK VENTURE III	103,716.68	86.43	0.00	86.43	90.0	1.73
08/31/2017	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	26,465.58	22.05	0.00	22.05	90.0	0.44
08/31/2017	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	37,768.37	31.47	0.00	31.47	90.0	0.63
08/31/2017	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	131,146.84	109.29	0.00	109.29	90.0	2.19
08/31/2017	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	136,964.76	114.14	0.00	114.14	90.0	2.28
08/31/2017	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	175,101.60	145.92	0.00	145.92	90.0	2.92
08/31/2017	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	25,443.39	21.20	0.00	21.20	90.0	0.42
08/31/2017	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	45,760.96	38.13	0.00	38.13	90.0	0.76
08/31/2017	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	18,617.85	15.51	0.00	15.51	90.0	0.31
08/31/2017	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	24,506.55	20.42	0.00	20.42	90.0	0.41
08/31/2017	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	168,584.89	140.49	0.00	140.49	90.0	2.81
08/31/2017	ELWING, LOUISE	JOHN HANCOCK VENTURE III	159,758.45	133.13	0.00	133.13	90.0	2.66
08/31/2017	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	154,473.75	128.73	0.00	128.73	90.0	2.57
08/31/2017	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	222,102.55	185.09	0.00	185.09	90.0	3.70
08/31/2017	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	42,619.26	35.52	0.00	35.52	90.0	0.71
08/31/2017	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	119,999.21	100.00	0.00	100.00	90.0	2.00
08/31/2017	RICE, PAMELA	JOHN HANCOCK VENTURE III	112,091.47	93.41	0.00	93.41	90.0	1.87
08/31/2017	RINGGER, LINDA	JOHN HANCOCK VENTURE III	121,852.63	101.54	0.00	101.54	90.0	2.03
08/31/2017	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	24,213.37	20.18	0.00	20.18	90.0	0.40
08/31/2017	WODA, HELENE	JOHN HANCOCK VENTURE III	28,541.60	23.78	0.00	23.78	90.0	0.48
08/29/2017	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	57,135.41	59.52	0.00	59.52	90.0	1.19
08/02/2017	RINGGER, MARK	TRANSAMERICA LIBERTY	135,145.30	112.62	0.00	112.62	90.0	2.25
08/04/2017	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	4,617.02	3.85	0.00	3.85	90.0	0.08
08/05/2017	MOORE, EILEEN	TRANSAMERICA LIBERTY	257,611.19	214.68	0.00	214.68	90.0	4.29
08/05/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	24,175.63	20.15	0.00	20.15	90.0	0.40
08/07/2017	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	6,058.84	5.05	0.00	5.05	90.0	0.10
08/07/2017	FRAZIER, JULIE	TRANSAMERICA LIBERTY	55,267.15	46.06	0.00	46.06	90.0	0.92
08/08/2017	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	94,141.68	78.45	0.00	78.45	90.0	1.57

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ROBERT THOMPSON #83</u></b>								
08/09/2017	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	48,108.80	40.09	0.00	40.09	90.0	0.80
08/12/2017	LABERDEE, LARRY	TRANSAMERICA LIBERTY	32,032.34	26.69	0.00	26.69	90.0	0.53
08/13/2017	WODA, HELENE	TRANSAMERICA LIBERTY	39,029.44	32.52	0.00	32.52	90.0	0.65
08/13/2017	FURNEY, DANNY	TRANSAMERICA LIBERTY	255,667.54	213.06	0.00	213.06	90.0	4.26
08/13/2017	MERZ, EDWIN	TRANSAMERICA LIBERTY	157,526.24	131.27	0.00	131.27	90.0	2.63
08/13/2017	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	78,560.83	65.47	0.00	65.47	90.0	1.31
08/15/2017	RICE, CHERYL	TRANSAMERICA LIBERTY	2,571.55	2.14	0.00	2.14	90.0	0.04
08/15/2017	JACOB, MARILYN	TRANSAMERICA LIBERTY	235,790.34	196.49	0.00	196.49	90.0	3.93
08/16/2017	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	114,564.89	95.47	0.00	95.47	90.0	1.91
08/16/2017	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	2,615.40	2.18	0.00	2.18	90.0	0.04
08/16/2017	RICE, SUSAN	TRANSAMERICA LIBERTY	121,831.25	101.53	0.00	101.53	90.0	2.03
08/17/2017	OSTER, JENNIFER	TRANSAMERICA LIBERTY	26,926.94	22.44	0.00	22.44	90.0	0.45
08/21/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	100,602.76	83.84	0.00	83.84	90.0	1.68
08/22/2017	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	81,895.73	68.25	0.00	68.25	90.0	1.37
08/22/2017	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	39,984.94	33.32	0.00	33.32	90.0	0.67
08/22/2017	JEFFERS, DAVID	TRANSAMERICA LIBERTY	111,736.17	93.11	0.00	93.11	90.0	1.86
08/23/2017	SEITZINGER, MARK	TRANSAMERICA LIBERTY	70,500.44	58.75	0.00	58.75	90.0	1.18
08/23/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	99,475.95	82.90	0.00	82.90	90.0	1.66
08/24/2017	HALL, WAYNE	TRANSAMERICA LIBERTY	173,079.33	144.23	0.00	144.23	90.0	2.88
08/24/2017	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	215,405.06	179.50	0.00	179.50	90.0	3.59
08/26/2017	WENZ, JAMES	TRANSAMERICA LIBERTY	26,456.74	22.05	0.00	22.05	90.0	0.44
08/26/2017	FISH, SANDRA	TRANSAMERICA LIBERTY	77,236.56	64.36	0.00	64.36	90.0	1.29
08/26/2017	ZAWODNI, CAROL	TRANSAMERICA LIBERTY	150,151.98	125.13	0.00	125.13	90.0	2.50
08/26/2017	RICE, PAMELA	TRANSAMERICA LIBERTY	60,649.84	50.54	0.00	50.54	90.0	1.01
08/27/2017	DAVIS, HOWARD	TRANSAMERICA LIBERTY	31,301.19	26.08	0.00	26.08	90.0	0.52
08/28/2017	COOPER, MARK	TRANSAMERICA LIBERTY	113,810.74	94.84	0.00	94.84	90.0	1.90
08/29/2017	SHAFFER, EMILY	TRANSAMERICA LIBERTY	168,196.76	140.16	0.00	140.16	90.0	2.80
* ROBERT THOMPSON SUBTOTAL *			<b>\$5,231,231.35</b>	<b>\$4,346.60</b>	<b>\$0.00</b>	<b>\$4,346.60</b>		<b>\$86.92</b>
*** OVERRIDE TRANSACTIONS TOTAL ***			<b>\$9,992,964.72</b>	<b>\$10,850.65</b>	<b>\$0.00</b>	<b>\$10,850.65</b>		<b>\$542.18</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
09/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
09/15/2017		*TECHNOLOGY: ADMIN - DEB RYAN	0.00					-100.00
09/15/2017		*TECHNOLOGY: ADMIN - J BOYERS	0.00					-100.00
09/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-775.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,214.52
Split Transactions	\$0.00
Override Transactions	\$542.18
Adjustments	\$-775.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$981.70
Year-to-date Gross	\$78,908.49
Year-to-date Earnings	\$76,896.05

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