



COMMISSION STATEMENT

January 08, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/26/2017	***-**-87IN - HALL, J	AMCAP FUND-A	119.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00084955124	BUY 4 Units @32.6800						
12/20/2017	***-**-351C - SOWERS, CLIENTS	CAPITAL WORLD GROWTH AND INCOME FUN	200.00	8.50	0.00	8.50	90.0	7.65
	ACCOUNT #: 00087567436	BUY 4 Units @53.4700						
12/26/2017	***-**-87IN - HALL, J	CAPITAL WORLD GROWTH AND INCOME FUN	119.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00084955124	BUY 2 Units @52.6700						
12/26/2017	***-**-87IN - HALL, J	FUNDAMENTAL INVESTORS-A	119.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00084955124	BUY 2 Units @64.4200						
01/05/2018	***-**-657A - LIBERTY,	RESOURCE CREDIT INCOME FUND C	37,496.02	374.96	0.00	374.96	90.0	337.46
		BUY 0 Units @0.0000						
12/20/2017	***-**-351C - SOWERS, CLIENTS	THE GROWTH FUND OF AMERICA-A	200.00	8.50	0.00	8.50	90.0	7.65
	ACCOUNT #: 00087567436	BUY 4 Units @52.0700						
12/26/2017	***-**-105P - RICHCREEK,	THE GROWTH FUND OF AMERICA-A	200.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: 00075150658	BUY 4 Units @52.5700						
12/26/2017	***-**-87IN - HALL, J	WASHINGTON MUTUAL INVESTORS FUND-A	119.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00084955124	BUY 3 Units @47.3200						
* MUTUAL FUNDS SUBTOTAL *			\$38,572.02	\$415.04	\$0.00	\$415.04		\$373.52
<u>PRODUCT CATEGORY: STOCKS</u>								
01/02/2018	***-**-351I - SOWERS, FBO	AGRITEK HLDGS INC COM	780.00	8.99	8.99	0.00	90.0	0.00
	ACCOUNT #: LSF000278	BUY 20000 Units @0.0390						
01/02/2018	***-**-351I - SOWERS, FBO	HIGHCOM GLOBAL SEC INC COM	760.00	8.99	8.99	0.00	90.0	0.00
	ACCOUNT #: LSF000278	SELL 40000 Units @0.0190						
01/02/2018	***-**-351I - SOWERS, FBO	UBIQUITECH SOFTWARE CORP COM	690.00	8.99	8.99	0.00	90.0	0.00
	ACCOUNT #: LSF000278	BUY 30000 Units @0.0230						
* STOCKS SUBTOTAL *			\$2,230.00	\$26.97	\$26.97	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/02/2018	***-**-32RI - MITCHEN, DORIS	JACKSON ELITE ACCESS	30,040.05	1,126.50	0.00	1,126.50	90.0	1,013.85
	ACCOUNT #: 1015309900	BUY 0 Units @0.0000						
12/28/2017	***-**-8880 - SULLIVAN, YVONNE	SBL 814121364	100.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: 8030806	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$30,140.05	\$1,128.00	\$0.00	\$1,128.00		\$1,015.20



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/04/2018	***-**-27FB - GRAY, PEGGY	LIFEMARK INVESTMENT ADVISORY FEE	77.26	77.26	0.00	77.26	90.0	69.53
	ACCOUNT #: 925330602	BUY 0 Units @0.0000						
01/04/2018	***-**-27FB - GRAY, PEGGY	LIFEMARK INVESTMENT ADVISORY FEE	31.60	31.60	0.00	31.60	90.0	28.44
	ACCOUNT #: 925330644	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			\$108.86	\$108.86	\$0.00	\$108.86		\$97.97
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
01/08/2017	***-**-11IN - ROSEN, HOMER	LINCOLN BENEFIT LIFE VL TRAILS	0.00	16.73	0.00	16.73	90.0	15.06
		BUY 1 Units @0.0000						
01/01/2018	***-**-7919 - HEMMINGWAY,	LINCOLN BENEFIT LIFE VL TRAILS	0.00	0.75	0.00	0.75	90.0	0.68
	ACCOUNT #: 002LV619419	BUY 1 Units @0.0000						
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$17.48	\$0.00	\$17.48		\$15.74
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/02/2018	***-**-152J - LORD, GEORGE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR011717	BUY 1 Units @0.0000						
01/02/2018	***-**-643J - CRAFT, LOIS	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR011738	BUY 1 Units @0.0000						
01/02/2018	***-**-351I - SOWERS, FBO	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.15	0.00	0.15	90.0	0.14
	ACCOUNT #: LSF000278	BUY 1 Units @0.0000						
01/02/2018	***-**-60IO - BLACK, JENNIFER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: LSH000019	BUY 1 Units @0.0000						
01/02/2018	***-**-60I2 - BLACK, JENNIFER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: LSM000043	BUY 1 Units @0.0000						
01/02/2018	***-**-935I - LOWE, JAMES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.49	0.00	1.49	90.0	1.34
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
01/02/2018	***-**-13IN - CHARD, DANIELLE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: LSR010090	BUY 1 Units @0.0000						
01/02/2018	***-**-13IO - CHARD, DANIELLE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
01/02/2018	***-**-521I - WILLIAMS, DOYLE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR010095	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/02/2018	***-**-559I - HUBBARD, JAMES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.07	0.00	0.07	90.0	0.06
	ACCOUNT #: LSR010601	BUY 1 Units @0.0000						
01/03/2018	***-**-48IN - BEST, JOHN &	PIONEER EQUITY INCOME FUND A	0.00	99.98	0.00	99.98	90.0	89.98
	ACCOUNT #: 06205487975	BUY 0 Units @0.0000						
01/08/2018	***-**-776I - RIPLEY, KIM	SAMMONS MF TRAILS	0.00	98.31	0.00	98.31	90.0	88.48
	ACCOUNT #: 7200008739	BUY 0 Units @0.0000						
01/08/2018	***-**-776I - RIPLEY, KIM	SAMMONS MF TRAILS	0.00	10.70	0.00	10.70	90.0	9.63
	ACCOUNT #: 7200008740	BUY 0 Units @0.0000						
01/08/2018	***-**-002I - RIPLEY, TOM	SAMMONS MF TRAILS	0.00	44.86	0.00	44.86	90.0	40.37
	ACCOUNT #: 7200009079	BUY 0 Units @0.0000						
01/08/2018	***-**-93IN - WARD, WILLIAM	SAMMONS MF TRAILS	0.00	20.56	0.00	20.56	90.0	18.50
	ACCOUNT #: 7200013199	BUY 0 Units @0.0000						
01/08/2018	***-**-47IO - ZINGARO, EILEEN	SAMMONS MF TRAILS	0.00	68.68	0.00	68.68	90.0	61.81
	ACCOUNT #: 7200015372	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$345.28	\$0.00	\$345.28		\$310.74
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
12/29/2017	***-**-240P - CAVIN, EMMA	ALLIANZ VA TRAILS 018817403	0.00	0.53	0.00	0.53	90.0	0.48
	ACCOUNT #: DA460485	BUY 0 Units @0.0000						
12/29/2017	***-**-935O - LOWE, JAMES	GENWORTH 37250G277	0.00	198.48	0.00	198.48	90.0	178.63
	ACCOUNT #: T06438138	BUY 0 Units @0.0000						
12/29/2017	***-**-395I - PIERSON, GEORGE	GENWORTH 37250G277	0.00	56.85	0.00	56.85	90.0	51.17
	ACCOUNT #: T06441035	BUY 0 Units @0.0000						
12/29/2017	***-**-43FB - TORONTALI,	GENWORTH 37250G277	0.00	2.69	0.00	2.69	90.0	2.42
	ACCOUNT #: T06441263	BUY 0 Units @0.0000						
12/29/2017	***-**-935O - LOWE, JAMES	GENWORTH 37250G277	0.00	84.56	0.00	84.56	90.0	76.10
	ACCOUNT #: T06441278	BUY 0 Units @0.0000						
12/29/2017	***-**-7249 - LERNER, INGER	HARTFORD VA TRAILS 416594851	0.00	25.86	0.00	25.86	90.0	23.27
	ACCOUNT #: 710409829	BUY 0 Units @0.0000						
12/29/2017	***-**-67IN - ROSEN, DAVID	HARTFORD VA TRAILS 416594877	0.00	130.20	0.00	130.20	90.0	117.18
	ACCOUNT #: 710369542	BUY 0 Units @0.0000						
12/29/2017	***-**-67IN - ROSEN, DAVID	HARTFORD VA TRAILS 416594877	0.00	39.44	0.00	39.44	90.0	35.50
	ACCOUNT #: 710437311	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
12/29/2017	***-**-2508 - LOCASIO, STEVEN	HARTFORD VA TRAILS 416594877	0.00	7.57	0.00	7.57	90.0	6.81
	ACCOUNT #: 712696512	BUY 0 Units @0.0000						
12/29/2017	***-**-2602 - LOCASIO, PETER	HARTFORD VA TRAILS 416594877	0.00	7.57	0.00	7.57	90.0	6.81
	ACCOUNT #: 712696524	BUY 0 Units @0.0000						
01/03/2018	***-**-454I - STRONACH,	ING ADVANTAGE SELECT	0.00	9.45	0.00	9.45	90.0	8.51
	ACCOUNT #: R078802-ZS	BUY 0 Units @0.0000						
12/31/2017	***-**-32RI - MITCHEN, DORIS	JACKSON ELITE ACCESS	0.00	21.00	0.00	21.00	90.0	18.90
	ACCOUNT #: 1015309900	BUY 0 Units @0.0000						
12/31/2017	***-**-94NQ - REGULSKI, SUSAN	JACKSON ELITE ACCESS	0.00	6.32	0.00	6.32	90.0	5.69
	ACCOUNT #: 1015441751	BUY 0 Units @0.0000						
12/31/2017	***-**-36IN - CLAWSON,	JACKSON ELITE ACCESS	0.00	5.33	0.00	5.33	90.0	4.80
	ACCOUNT #: 1016486066	BUY 0 Units @0.0000						
12/31/2017	***-**-559I - HUBBARD, JAMES	JACKSON NATIONAL PERSPECTIVE II	0.00	31.78	0.00	31.78	90.0	28.60
	ACCOUNT #: 1013454350	BUY 0 Units @0.0000						
12/31/2017	***-**-21IN - KELLER, TERESA	JOHN HANCOCK 41014C168	0.00	6.73	0.00	6.73	90.0	6.06
	ACCOUNT #: 2110977	BUY 0 Units @0.0000						
12/31/2017	***-**-404I - MCCONNELL, JOHN	LINCOLN FIN VA TRAILS	0.00	18.75	0.00	18.75	90.0	16.88
	ACCOUNT #: 959165562	BUY 0 Units @0.0000						
12/31/2017	***-**-356I - WENNER, WARREN	LINCOLN FIN VA TRAILS	0.00	28.18	0.00	28.18	90.0	25.36
	ACCOUNT #: 959165593	BUY 0 Units @0.0000						
12/30/2017	***-**-99FB - MAY, DAVID	NATIONWIDE CUSIP 63866W167-NFS	0.00	190.41	0.00	190.41	90.0	171.37
	ACCOUNT #: 016226206	BUY 0 Units @0.0000						
12/31/2017	***-**-99FB - MAY, DAVID	PERSPECTIVE II DTCC	0.00	40.80	0.00	40.80	90.0	36.72
	ACCOUNT #: 1019236311	BUY 0 Units @0.0000						
12/31/2017	***-**-6444 - DAVILA, MARITZA	PRUDENTIAL AS XTRA CREDIT	0.00	124.33	0.00	124.33	90.0	111.90
	ACCOUNT #: 000151168	BUY 0 Units @0.0000						
12/31/2017	***-**-2307 - BARTEL, BRADLEY	PRUDENTIAL VA TRAILS	0.00	83.33	0.00	83.33	90.0	75.00
	ACCOUNT #: 000087520	BUY 0 Units @0.0000						
12/31/2017	***-**-6873 - WALKER, JOHN	PRUDENTIAL VA TRAILS	0.00	32.27	0.00	32.27	90.0	29.04
	ACCOUNT #: 000110302	BUY 0 Units @0.0000						
01/08/2018	***-**-9657 - LIBERTY	QUALIFIED PLAN SUMMARY	0.00	160.10	0.00	160.10	90.0	144.09
	ACCOUNT #: 015948883	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,312.53	\$0.00	\$1,312.53		\$1,181.29



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*** BROKER TRANSACTIONS TOTAL ***			\$71,050.93	\$3,354.16	\$26.97	\$3,327.19		\$2,994.46

BROKER COMMISSION TOTALS

Broker Transactions	\$2,994.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$2,994.46
Year-to-date Earnings	\$4,156.09