



COMMISSION STATEMENT

August 06, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/06/2018	***-**-13CL - BERG, C, CLIENTS NEW YORK 529 TRAILS		0.00	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 77450911001	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$0.28	\$0.00	\$0.28		\$0.22
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$0.28	\$0.00	\$0.28		\$0.22

BROKER COMMISSION TOTALS

Broker Transactions	\$0.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$75.86
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$76.08
Year-to-date Earnings	\$4,378.40