



Concorde Investment Services, LLC

07/12/2017
Page No. 1

COMMISSION STATEMENT

July 15, 2017

JOSEPH WIEGAND
1220 N. 200 West
Suite B
Angola, IN 46703

BROKER #: W128
BRANCH: LIFETIME FINANCIAL S

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/05/2017	LEY, JEREMY	AM FUNDS BALANCED PORT A	50.00	2.50	0.00	2.50	90.0	2.25
/ /	EVANS, MARVIN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	7.55	0.00	7.55	90.0	6.80
/ /	EVANS, MARVIN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	3.58	0.00	3.58	90.0	3.22
/ /	EVANS, MARVIN	OPPENHEIMER CORE BOND A	0.00	2.78	0.00	2.78	90.0	2.50
/ /	EVANS, MARVIN	OPPENHEIMER CORE BOND A	0.00	1.30	0.00	1.30	90.0	1.17
/ /	CONSTELLATION TRUST	OPPENHEIMER EMERG MKTS INNOV	0.00	2.78	0.00	2.78	90.0	2.50
/ /	CONSTELLATION TR	OPPENHEIMER EMERG MKTS INNOV	0.00	1.27	0.00	1.27	90.0	1.14
/ /	CONSTELLATION TRUST	OPPENHEIMER GLBL HIGH YIELD	0.00	2.79	0.00	2.79	90.0	2.51
/ /	CONSTELLATION TR	OPPENHEIMER GLBL HIGH YIELD	0.00	1.31	0.00	1.31	90.0	1.18
/ /	EVANS, MARVIN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	6.05	0.00	6.05	90.0	5.45
/ /	EVANS, MARVIN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	2.85	0.00	2.85	90.0	2.57
/ /	EVANS, MARVIN	OPPENHEIMER MAIN ST SMALL CAP FUND	0.00	6.12	0.00	6.12	90.0	5.51
/ /	EVANS, MARVIN	OPPENHEIMER MAIN ST SMALL CAP FUND	0.00	2.93	0.00	2.93	90.0	2.64
/ /	EVANS, MARVIN	OPPENHEIMER MAIN STREET A	0.00	5.30	0.00	5.30	90.0	4.77
/ /	EVANS, MARVIN	OPPENHEIMER MAIN STREET A	0.00	2.53	0.00	2.53	90.0	2.28
/ /	CONSTELLATION TR	OPPENHEIMER VALUE A	0.00	4.53	0.00	4.53	90.0	4.08
/ /	CONSTELLATION TR	OPPENHEIMER VALUE A	0.00	9.62	0.00	9.62	90.0	8.66
* MUTUAL FUNDS SUBTOTAL *			\$50.00	\$65.79	\$0.00	\$65.79		\$59.23
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/19/2017	SARRAZIN, MARY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	34.42	0.00	34.42	90.0	30.98
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$34.42	\$0.00	\$34.42		\$30.98
*** BROKER TRANSACTIONS TOTAL ***			\$50.00	\$100.21	\$0.00	\$100.21		\$90.21

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
06/30/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	2.06	0.00	2.06	90.0	1.85
06/30/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.98	0.00	2.98	90.0	2.68
06/30/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	135.53	2.02	0.00	2.02	90.0	1.82
06/30/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	135.53	2.02	0.00	2.02	90.0	1.82
06/30/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	2.06	0.00	2.06	90.0	1.85
06/30/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	4.12	0.00	4.12	90.0	3.71
06/30/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	69.23	1.03	0.00	1.03	90.0	0.93
06/30/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	115.38	1.72	0.00	1.72	90.0	1.55
06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	90.0	0.21
06/20/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.08	0.00	0.08	90.0	0.07
06/20/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.08	0.00	0.08	90.0	0.07
06/20/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.40	0.00	0.40	90.0	0.36
06/20/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.06	0.00	0.06	90.0	0.05
06/28/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	1,000.00	17.50	7.70	9.80	90.0	8.82
06/28/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	75.00	1.31	7.70	-6.39	90.0	-5.75
06/28/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	170.00	2.98	7.70	-4.72	90.0	-4.25
06/28/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	200.00	3.50	7.70	-4.20	90.0	-3.78
06/30/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.21	0.00	0.21	90.0	0.19
06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.13	0.00	0.13	90.0	0.12
06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.18	0.00	0.18	90.0	0.16
07/03/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.88	0.00	0.88	90.0	0.79
06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.16	0.00	0.16	90.0	0.14
06/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.88	0.00	0.88	90.0	0.79
06/30/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.13	0.00	6.13	90.0	5.52
06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.18	0.00	0.18	90.0	0.16
06/28/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.75	7.70	-5.95	90.0	-5.36
06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.26	0.00	0.26	90.0	0.23
06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	90.0	0.15
06/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.66	0.00	1.66	90.0	1.49
06/30/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.11	0.00	0.11	90.0	0.10
06/30/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.18	0.00	0.18	90.0	0.16
06/30/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.18	0.00	0.18	90.0	0.16

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<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
06/30/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.14	0.00	0.14	90.0	0.13
06/30/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.25	0.00	0.25	90.0	0.23
06/30/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.09	0.00	0.09	90.0	0.08
06/30/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.42	0.00	0.42	90.0	0.38
06/30/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.25	0.00	0.25	90.0	0.23
06/30/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.42	0.00	0.42	90.0	0.38
06/30/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.42	0.00	0.42	90.0	0.38
06/30/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
06/30/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
06/30/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
06/15/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.02	0.00	1.02	90.0	0.92
06/16/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.25	0.00	4.25	90.0	3.83
06/19/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.18	0.00	0.18	90.0	0.16
06/19/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.63	0.00	0.63	90.0	0.57
06/20/2017	VAN CLEAVE, BLAKE	GRIFFIN INST'L ACCESS R E FUND C	50,000.00	175.00	0.00	175.00	90.0	157.50
06/30/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.11	0.00	0.11	90.0	0.10
06/14/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	0.12	0.00	0.12	90.0	0.11
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.49	0.00	0.49	90.0	0.44
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	0.77	0.00	0.77	90.0	0.69
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	0.98	0.00	0.98	90.0	0.88
/ /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	1.42	0.00	1.42	90.0	1.28
/ /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	1.44	0.00	1.44	90.0	1.30
/ /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	0.33	0.00	0.33	90.0	0.30
/ /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.13	0.00	0.13	90.0	0.12
/ /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.12	0.00	0.12	90.0	0.11
/ /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.13	0.00	0.13	90.0	0.12
/ /	SALGUERO, ANNA	OPPENHEIMER MAIN STREET A	0.00	0.17	0.00	0.17	90.0	0.15
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.95	0.00	0.95	90.0	0.86
/ /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.29	0.00	0.29	90.0	0.26
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.09	0.00	2.09	90.0	1.88
/ /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.65	0.00	2.65	90.0	2.39
/ /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.13	0.00	0.13	90.0	0.12
/ /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	0.21	0.00	0.21	90.0	0.19

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
/ /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	1.80	0.00	1.80	90.0	1.62
06/28/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.31	0.00	1.31	90.0	1.18
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$53,884.51	\$259.15	\$38.50	\$220.65		\$198.62
*** SPLIT TRANSACTIONS TOTAL ***			\$53,884.51	\$259.15	\$38.50	\$220.65		\$198.62

ADJUSTMENTS

07/15/2017	*EO INSURANCE: CURRENT MONTH INS	0.00						-300.00
07/15/2017	*PERSHING: PROSPECTUS	0.00						-5.41
07/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-580.41

BROKER COMMISSION TOTALS

Broker Transactions	\$90.21
Split Transactions	\$198.62
Override Transactions	\$0.00
Adjustments	\$-580.41
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-184.41
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-475.99
Year-to-date Gross	\$2,221.72
Year-to-date Earnings	\$1,854.46

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