

## COMMISSION STATEMENT

August 31, 2018

BARRY FORST  
122 BROOKLYN AVEBROKER #: P71 P72  
BRANCH: HOME OFFICE

LAVALLETTE, NJ 07921

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

07/31/2018	QGV001100	AND EDWARD FRENCH	SQUARE INC CLASS A COM	S	-19,450.80	73.00	0.00	73.00	40.0	29.20
08/09/2018	QGV001100	AND EDWARD FRENCH	NEUROCRINE BIOSCIENCES INC COM	B	11,855.50	73.00	0.00	73.00	40.0	29.20
08/09/2018	QGV001100	AND EDWARD FRENCH	THE STARS GROUP INC REGISTERED SHS	S	-15,812.50	73.00	0.00	73.00	40.0	29.20
08/13/2018	QGV001100	AND EDWARD FRENCH	AXON ENTERPRISE INC COM STOCK	S	-24,948.48	73.00	0.00	73.00	40.0	29.20
08/13/2018	QGV001100	AND EDWARD FRENCH	IOVANCE BIOTHERAPEUTICS INC COM	B	15,893.90	73.00	0.00	73.00	40.0	29.20
08/14/2018	QGV001100	AND EDWARD FRENCH	IQIYI INC SPONS ADR ISIN#US46267X10	S	-40,365.15	73.00	0.00	73.00	40.0	29.20
08/14/2018	QGV001100	AND EDWARD FRENCH	RELIQ HEALTH TECHNOLOGIES INC COM I S	S	-3,432.30	120.00	0.00	120.00	40.0	48.00
08/20/2018	QGV001100	AND EDWARD FRENCH	NEON THERAPEUTICS INC COM	B	12,148.80	73.00	0.00	73.00	40.0	29.20
08/23/2018	QGV001100	AND EDWARD FRENCH	CANOPY GROWTH CORP COM ISIN# CA1380	B	8,263.54	73.00	0.00	73.00	40.0	29.20
08/29/2018	QGV002439	NA, CITIBANK	GRAFTECH INTL LTD COM	B	210,624.90	226.56	0.00	226.56	40.0	90.62
07/31/2018	QGW002180	FRENCH, JOHN	DUCOMMUN INC	S	-6,524.46	73.00	0.00	73.00	40.0	29.20
08/01/2018	QGW002180	FRENCH, JOHN	PUXIN LIMITED AMERICAN DEPOSITARY S S	S	-3,444.00	73.00	0.00	73.00	40.0	29.20
08/07/2018	QGW002180	FRENCH, JOHN	KRAKEN ROBOTICS INC COM ISIN#CA5007	B	6,900.00	73.00	0.00	73.00	40.0	29.20
08/29/2018	QGW002180	FRENCH, JOHN	ADMA BIOLOGICS INC COM	B	6,180.40	73.00	0.00	73.00	40.0	29.20
08/29/2018	QGW002180	FRENCH, JOHN	ORGANIGRAM HOLDINGS INC SHS ISIN#CA	B	5,060.00	73.00	0.00	73.00	40.0	29.20
08/21/2018	QGW002719	HINDMARCH, JAMES	SILVERCORP METALS INC COM ISIN#CA82	S	-5,204.60	90.00	0.00	90.00	40.0	36.00
07/31/2018	QGW002925	BATHRICK, CHARLES	ANADARKO PETROLEUM CORP	S	-14,632.04	75.00	0.00	75.00	40.0	30.00
07/31/2018	QGW002925	BATHRICK, CHARLES	BOSTON SCIENTIFIC CORP	S	-10,047.00	75.00	0.00	75.00	40.0	30.00
07/31/2018	QGW002925	BATHRICK, CHARLES	CARVANA CO CL A	S	-8,632.72	75.00	0.00	75.00	40.0	30.00
08/01/2018	QGW002925	BATHRICK, CHARLES	PAYPAL HOLDINGS INC COM	S	-25,038.03	75.00	0.00	75.00	40.0	30.00
08/02/2018	QGW002925	BATHRICK, CHARLES	VERIZON COMMUNICATIONS	B	10,295.70	75.00	0.00	75.00	40.0	30.00
08/07/2018	QGW002925	BATHRICK, CHARLES	APPLIED MATERIALS INC	B	9,855.34	75.00	0.00	75.00	40.0	30.00
08/09/2018	QGW002925	BATHRICK, CHARLES	APPLIED MATERIALS INC	B	9,853.62	75.00	0.00	75.00	40.0	30.00
08/09/2018	QGW002925	BATHRICK, CHARLES	GRAFTECH INTL LTD COM	B	7,908.00	75.00	0.00	75.00	40.0	30.00
08/10/2018	QGW002925	BATHRICK, CHARLES	SIMON PROPERTY GROUP INC	S	-34,872.76	75.00	0.00	75.00	40.0	30.00
08/15/2018	QGW002925	BATHRICK, CHARLES	KRAKEN ROBOTICS INC COM ISIN#CA5007	B	1,477.50	75.00	0.00	75.00	40.0	30.00
08/15/2018	QGW002925	BATHRICK, CHARLES	KRATOS DEFENSE & SEC SOLUTIONS	B	2,543.40	75.00	0.00	75.00	40.0	30.00
08/16/2018	QGW002925	BATHRICK, CHARLES	ALTABA INC COM	S	-13,834.00	75.00	0.00	75.00	40.0	30.00
08/17/2018	QGW002925	BATHRICK, CHARLES	CAMPBELL SOUP CO	B	8,417.14	75.00	0.00	75.00	40.0	30.00
08/17/2018	QGW002925	BATHRICK, CHARLES	INTEL CORP	B	9,467.32	75.00	0.00	75.00	40.0	30.00
08/20/2018	QGW002925	BATHRICK, CHARLES	AT&T INC	B	9,962.37	75.00	0.00	75.00	40.0	30.00

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/20/2018	QGW002925	BATHRICK, CHARLES		SEYCHELLE ENVIRONMENTAL COM	S	-1,208.00	75.00	0.00	75.00 40.0 30.00
08/21/2018	QGW002925	BATHRICK, CHARLES		T MOBILE US INC	B	13,291.98	75.00	0.00	75.00 40.0 30.00
08/28/2018	QGW002925	BATHRICK, CHARLES		CAMPBELL SOUP CO	S	-7,973.92	75.00	0.00	75.00 40.0 30.00
08/28/2018	QGW002925	BATHRICK, CHARLES		WAL-MART STORES INC	B	18,962.08	75.00	0.00	75.00 40.0 30.00
08/06/2018	QGW002974	CAIN, ANTHONY		ALIBABA GROUP HOLDING LTD SPONSORED S	S	-35,774.28	75.00	0.00	75.00 40.0 30.00
08/06/2018	QGW002974	CAIN, ANTHONY		PROSHARES TRUST PROSHARES ULTRASHOR S	S	-34,900.10	150.00	0.00	150.00 40.0 60.00
08/06/2018	QGW002974	CAIN, ANTHONY		PROSHARES TRUST PROSHARES ULTRASHOR B	B	35,126.80	150.00	0.00	150.00 40.0 60.00
08/07/2018	QGW002974	CAIN, ANTHONY		BITCOIN INVT TR SHS	B	10,230.00	150.00	0.00	150.00 40.0 60.00
08/14/2018	QGW002974	CAIN, ANTHONY		BITCOIN INVT TR SHS	S	-18,400.00	200.00	0.00	200.00 40.0 80.00
08/14/2018	QGW002974	CAIN, ANTHONY		HUT 8 MNG CORP NEW COM ISIN#CA44812 S	S	-210.60	7.50	0.00	7.50 40.0 3.00
08/14/2018	QGW002974	CAIN, ANTHONY		HUT 8 MNG CORP NEW COM ISIN#CA44812 S	S	-1,045.50	37.50	0.00	37.50 40.0 15.00
08/14/2018	QGW002974	CAIN, ANTHONY		HUT 8 MNG CORP NEW COM ISIN#CA44812 S	S	-207.60	7.50	0.00	7.50 40.0 3.00
08/14/2018	QGW002974	CAIN, ANTHONY		HUT 8 MNG CORP NEW COM ISIN#CA44812 S	S	-207.60	7.50	0.00	7.50 40.0 3.00
08/14/2018	QGW002974	CAIN, ANTHONY		HUT 8 MNG CORP NEW COM ISIN#CA44812 S	S	-2,491.20	90.00	0.00	90.00 40.0 36.00
08/14/2018	QGW002974	CAIN, ANTHONY		U S SILICA HOLDINGS INC	S	-23,816.40	150.00	0.00	150.00 40.0 60.00
08/16/2018	QGW002974	CAIN, ANTHONY		CAMPBELL SOUP CO	B	21,225.00	125.00	0.00	125.00 40.0 50.00
08/16/2018	QGW002974	CAIN, ANTHONY		PROCTER & GAMBLE CO	B	41,770.00	150.00	0.00	150.00 40.0 60.00
08/22/2018	QGW002974	CAIN, ANTHONY		PHILIP MORRIS INTERNATIONAL INC	B	8,245.93	75.00	0.00	75.00 40.0 30.00
08/23/2018	QGW002974	CAIN, ANTHONY		CAMPBELL SOUP CO	S	-20,377.20	125.00	0.00	125.00 40.0 50.00
08/24/2018	QGW002974	CAIN, ANTHONY		VALERO ENERGY CORP NEW	S	-121,352.51	250.00	0.00	250.00 40.0 100.00
08/27/2018	QGW002974	CAIN, ANTHONY		PHILIP MORRIS INTERNATIONAL INC	B	24,158.07	150.00	0.00	150.00 40.0 60.00
08/22/2018	QGW003568	SZTELLE, MATTHEW		TANZANIAN ROYALTY EXPLORATION CORPO B	B	2,109.00	75.00	0.00	75.00 40.0 30.00
07/31/2018	QGW004392	VALENTINE, OLIVIA		CONOCOPHILLIPS	S	-36,130.55	150.00	0.00	150.00 40.0 60.00
07/31/2018	QGW004392	VALENTINE, OLIVIA		MAGELLAN MIDSTREAM PARTNERS LP UNIT S	S	-35,860.30	200.00	0.00	200.00 40.0 80.00
08/03/2018	QGW004392	VALENTINE, OLIVIA		BOSTON SCIENTIFIC CORP	S	-16,730.50	150.00	0.00	150.00 40.0 60.00
08/03/2018	QGW004392	VALENTINE, OLIVIA		MEDTRONIC PLC COM	S	-45,182.50	200.00	0.00	200.00 40.0 80.00
08/09/2018	QGW004392	VALENTINE, OLIVIA		GRAFTECH INTL LTD COM	B	10,006.25	150.00	0.00	150.00 40.0 60.00
08/13/2018	QGW004392	VALENTINE, OLIVIA		STRYKER CORP	S	-41,498.75	125.00	0.00	125.00 40.0 50.00
08/15/2018	QGW004392	VALENTINE, OLIVIA		GRAFTECH INTL LTD COM	S	-9,418.20	150.00	0.00	150.00 40.0 60.00
08/15/2018	QGW004392	VALENTINE, OLIVIA		KRATOS DEFENSE & SEC SOLUTIONS	S	-6,351.80	150.00	0.00	150.00 40.0 60.00
08/15/2018	QGW004392	VALENTINE, OLIVIA		VERIZON COMMUNICATIONS	B	26,318.30	150.00	0.00	150.00 40.0 60.00
08/15/2018	QGW004392	VALENTINE, OLIVIA		WALT DISNEY CO	B	55,993.20	200.00	0.00	200.00 40.0 80.00
08/21/2018	QGW004392	VALENTINE, OLIVIA		VERIZON COMMUNICATIONS	S	-27,491.90	150.00	0.00	150.00 40.0 60.00
08/22/2018	QGW004392	VALENTINE, OLIVIA		KRAFT HEINZ COMPANY (THE) COMMON ST B	B	29,990.00	150.00	0.00	150.00 40.0 60.00
08/29/2018	QGW004392	VALENTINE, OLIVIA		OCCIDENTAL PETE CORP	S	-80,786.50	250.00	0.00	250.00 40.0 100.00
08/29/2018	QGW004392	VALENTINE, OLIVIA		WAL-MART STORES INC	B	47,929.95	150.00	0.00	150.00 40.0 60.00
07/30/2018	QGW021990	STEINMETZ, GEORGE		FACEBOOK INC CL A	B	20,100.00	60.00	0.00	60.00 40.0 24.00
08/01/2018	QGW021990	STEINMETZ, GEORGE		GENERAL MOTORS COMPANY	B	11,275.80	60.00	0.00	60.00 40.0 24.00
08/02/2018	QGW021990	STEINMETZ, GEORGE		SPOTIFY TECHNOLOGY SA REGISTERED SH B	B	17,997.42	60.00	0.00	60.00 40.0 24.00
08/08/2018	QGW021990	STEINMETZ, GEORGE		GRAFTECH INTL LTD COM	B	10,344.75	60.00	0.00	60.00 40.0 24.00

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/10/2018	QGW021990	STEINMETZ, GEORGE	BANK OF AMERICA CORP	S	-15,605.00	60.00	0.00	60.00	40.0	24.00
08/21/2018	QGW021990	STEINMETZ, GEORGE	FCB FINL HLDGS COM SHS A	B	15,982.50	60.00	0.00	60.00	40.0	24.00
08/21/2018	QGW021990	STEINMETZ, GEORGE	VERMILION ENERGY INC	B	18,692.88	60.00	0.00	60.00	40.0	24.00
08/23/2018	QGW021990	STEINMETZ, GEORGE	CVS HEALTH CORPORATION	B	14,744.34	60.00	0.00	60.00	40.0	24.00
08/29/2018	QGW021990	STEINMETZ, GEORGE	ACTIVISION BLIZZARD INC	B	14,948.32	60.00	0.00	60.00	40.0	24.00
08/29/2018	QGW021990	STEINMETZ, GEORGE	GRUBHUB INC COM	B	14,271.00	60.00	0.00	60.00	40.0	24.00
08/13/2018	QGW029290	BAUMHARDT, DANECA	FIRST MAJESTIC SILVER CORP	B	5,439.90	75.00	0.00	75.00	40.0	30.00
* STOCKS SUBTOTAL *					\$26,601.15	\$7,785.56	\$0.00	\$7,785.56		\$3,114.22
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
08/31/2018			FEE COUNT - 4		0.00	14.69	0.00	14.69	40.0	5.88
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$14.69	\$0.00	\$14.69		\$5.88
*** BROKER TRANSACTIONS TOTAL ***					\$26,601.15	\$7,800.25	\$0.00	\$7,800.25		\$3,120.11

**ADJUSTMENTS**

08/31/2018		FEE ACTIVITY: WA INDIVIDUAL REG. FE		0.00	0.00	0.00	0.00		-40.00
08/31/2018		COMMISSION INCOME: EAF SECONDARY		0.00	0.00	0.00	0.00		425.25
08/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
				<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$365.25</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,120.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$365.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-9.75
SIPC Assessment	\$-19.50

Check Amount	\$3,456.10
Year-to-date Earnings	\$46,098.72