

COMMISSION STATEMENT

March 31, 2018

ROBERT, L117 LUCHSINGER

600 Johnson Ave. Suite B5

Bohemia, NY 11716

BROKER #: L117

BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		CLEARING TRAN	SACTIONS					
PRODUCT CA	TEGORY: STOCKS							
03/13/2018	LUCHSINGER, ROBERT	DOMINION RES INC VA COM	14,580.60	30.00	22.50	7.50	95.0	7.13
03/21/2018	LUCHSINGER, ROBERT	DOMINION RES INC VA COM	13,682.60	30.00	22.50	7.50	95.0	7.13
03/14/2018	HANSON, ALEXANDRIA	TIER REIT INC COM NEW	-3,486.47	30.00	22.50	7.50	95.0	7.13
		* STOCKS SUBTOTAL *	\$24,776.73	\$90.00	\$67.50	\$22.50		\$21.39
		*** CLEARING TRANSACTIONS TOTAL ***	\$24,776.73	\$90.00	\$67.50	\$22.50		\$21.39
		DIRECT TRANS	SACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS							
03/05/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	30.00	1.43	0.00	1.43	95.0	1.36
03/05/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	30.00	1.43	0.00	1.43	95.0	1.36
03/05/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	60.00	2.85	0.00	2.85	95.0	2.71
03/05/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	60.00	2.85	0.00	2.85	95.0	2.71
03/05/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	30.00	1.43	0.00	1.43	95.0	1.36
03/05/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	30.00	1.43	0.00	1.43	95.0	1.36
03/05/2018	KUDLEK, CHRISTOPHER	PACIFIC LIFE FUNDS	46.15	1.73	0.00	1.73	95.0	1.64
03/05/2018	KUDLEK, CHRISTOPHER	PACIFIC LIFE FUNDS	46.15	1.73	0.00	1.73	95.0	1.64
03/05/2018	KUDLEK, CHRISTOPHER	PACIFIC LIFE FUNDS	46.11	1.73	0.00	1.73	95.0	1.64
03/05/2018	KUDLEK, CHRISTOPHER	PACIFIC LIFE FUNDS	46.15	1.73	0.00	1.73	95.0	1.64
03/05/2018	KUDLEK, CHRISTOPHER	PACIFIC LIFE FUNDS	92.30	3.46	0.00	3.46	95.0	3.29
03/05/2018	KUDLEK, CHRISTOPHER	PACIFIC LIFE FUNDS	92.30	3.46	0.00	3.46	95.0	3.29
03/05/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	28.80	1.37	0.00	1.37	95.0	1.30
03/05/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	28.80	1.37	0.00	1.37	95.0	1.30
03/05/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	28.80	1.37	0.00	1.37	95.0	1.30
03/05/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	28.80	1.37	0.00	1.37	95.0	1.30
03/05/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	57.60	2.74	0.00	2.74	95.0	2.60

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DIRECT TRANS	ACTIONS					
PRODUCT CA	ATEGORY: MUTUAL FUNDS							
03/05/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	57.60	2.74	0.00	2.74	95.0	2.60
03/09/2018	HAZEN, JAMES	PACIFIC LIFE FUNDS	131.73	1.32	0.00	1.32	95.0	1.25
03/09/2018	HAZEN, JAMES	PACIFIC LIFE FUNDS	133.04	1.33	0.00	1.33	95.0	1.26
03/09/2018	PANDOLFO, CATHERINE	AM FUNDS ICA A	40,156.65	1,706.66	0.00	1,706.66	95.0	1,621.33
03/15/2018	GREVE, DONNA	COLUMBIA ACORN A	20,000.00	600.00	0.00	600.00	95.0	570.00
03/15/2018	GREVE, DONNA	COLUMBIA DISCIPLINED GROWTH FUND-A	20,000.00	600.00	0.00	600.00	95.0	570.00
03/15/2018	GREVE, DONNA	COLUMBIA LARGECAP GROWTH A	20,000.00	600.00	0.00	600.00	95.0	570.00
03/15/2018	GREVE UTMA NY, PATRICK	COLUMBIA LARGECAP GROWTH FUND-V	10,000.00	500.00	0.00	500.00	95.0	475.00
03/15/2018	GREVE UTMA NY, GEORGE	COLUMBIA LARGECAP GROWTH FUND-V	10,000.00	500.00	0.00	500.00	95.0	475.00
03/15/2018	GREVE, DONNA	COLUMBIA SELIGMAN COMM AND INFO A	20,000.00	600.00	0.00	600.00	95.0	570.00
03/15/2018	GREVE, DONNA	COLUMBIA SELIGMAN GLOBAL TECH A	20,000.00	600.00	0.00	600.00	95.0	570.00
03/08/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
03/08/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
03/12/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
03/12/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
03/08/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
03/08/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
03/12/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
03/12/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
03/08/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
03/08/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
03/12/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
03/12/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
03/08/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
03/08/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
03/12/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
03/12/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
03/12/2018	ALBANESE, MICAHEL	OPPENHEIMER MAIN ST SMALL & MID CAP	100.00	1.00	0.00	1.00	95.0	0.95
03/08/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
03/08/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
03/12/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
03/12/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
03/05/2018	CASKIN, KENNETH	SUNAMERICA FOCUSED MULTI ASSET A	10,000.00	500.00	0.00	500.00	95.0	475.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings ** - Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

04/03/2018 COMMISSION STATEMENT for ROBERT, L117 LUCHSINGER

Page No. 1

			INVESTMENT	GROSS	CLEARING	NET		BROKER	
DATE	CLIENT	INVESTMENT	AMOIINT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION	

DIRECT TRANSACTIONS

		DIRECT TRANSA	ACTIONS					
PRODUCT CA	TEGORY: VARIABLE ANNUIT	<u>IES</u>						
		* MUTUAL FUNDS SUBTOTAL *	\$172,188.68	\$6,259.81	\$0.00	\$6,259.81		\$5,946.77
PRODUCT CA	TEGORY: VARIABLE ANNUIT	IES						
03/12/2018	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	1,500.00	82.50	0.00	82.50	95.0	78.38
03/26/2018	FLANAGAN, BARBARA	JNL PERSPECTIVE II 04/14	4,000.00	220.00	0.00	220.00	95.0	209.00
		* VARIABLE ANNUITIES SUBTOTAL *	\$5,500.00	\$302.50	\$0.00	\$302.50		\$287.38
PRODUCT CA	TEGORY: MUTUAL FUND 12B1	1 TRAILS						
03/09/2018	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.38	0.00	2.38	95.0	2.26
03/09/2018	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.63	0.00	2.63	95.0	2.50
03/09/2018	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	1.33	0.00	1.33	95.0	1.26
03/09/2018	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	2.07	0.00	2.07	95.0	1.97
03/09/2018	CHAPMAN, CLARENCE	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	0.25	0.00	0.25	95.0	0.24
03/09/2018	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	1.29	0.00	1.29	95.0	1.23
03/09/2018	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	1.58	0.00	1.58	95.0	1.50
03/09/2018	ROBERTO, JOSEPH	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	6.64	0.00	6.64	95.0	6.31
03/09/2018	BREITFELLER, CYNTHIA	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	0.20	0.00	0.20	95.0	0.19
03/09/2018	CASKIN, KENNETH	SUNAMERICA FOCUSED MULTI ASSET A	0.00	5.45	0.00	5.45	95.0	5.18
03/09/2018	BREITFELLER, CYNTHIA	SUNAMERICA SENIOR FLOATING RATE A	0.00	0.73	0.00	0.73	95.0	0.69
03/09/2018	TIERNAN, JOAN	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.54	0.00	1.54	95.0	1.46
03/09/2018	TIERNAN, JOAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.31	0.00	1.31	95.0	1.24
03/09/2018	SEAMAN, PATRICIA	SUNAMERICA US GOVT SECURITIES CL A	0.00	5.72	0.00	5.72	95.0	5.43
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$33.12	\$0.00	\$33.12		\$31.46
PRODUCT CA	TEGORY: VARIABLE ANNUITY	Y 12B1 TRAILS						
03/11/2018	MINARDI, LOUIS	ING EMPIRE INNOVATIONS STD OPT A	77,536.34	193.84	0.00	193.84	95.0	184.15
03/21/2018	LUCHSINGER, JANE	ING EMPIRE INNOVATIONS STD OPT A	9,651.67	24.13	0.00	24.13	95.0	22.92
03/05/2018	GALLAS, MATTHEW	PAC LIFE INNOVATIVE SELECT	0.00	431.06	0.00	431.06	95.0	409.51
03/07/2018	GARDNER, MARILYN	PAC LIFE INNOVATIVE SELECT	0.00	469.65	0.00	469.65	95.0	446.17
03/05/2018	CORREIA, MARIA	PAC LIFE INNOVATIVE SELECT NY	0.00	68.10	0.00	68.10	95.0	64.70
03/05/2018	CORREIA, MARIA	PAC LIFE INNOVATIVE SELECT NY	0.00	68.10	0.00	68.10	95.0	64.70
03/05/2018	CORREIA, LUDOVINO	PAC LIFE INNOVATIVE SELECT NY	0.00	85.22	0.00	85.22	95.0	80.96
03/05/2018	CORREIA, LUDOVINO	PAC LIFE INNOVATIVE SELECT NY	0.00	85.22	0.00	85.22	95.0	80.96
03/12/2018	BREITFELLER, CYNTHIA	PAC LIFE INNOVATIVE SELECT NY	0.00	235.51	0.00	235.51	95.0	223.73
02/14/0010	MINIADOI DONNIA	DAG TIDE TABLOTABLISE OFF DOE NO	0.00	205 66	0 00	205 66	05 0	105 20

0.00

205.66

0.00

205.66 95.0

195.38

NOTES: \star - Indicates adjustment is not included in 1099 Earnings

03/14/2018

MINARDI, DONNA

PAC LIFE INNOVATIVE SELECT NY

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DIRECT TRANS	ACTIONS					
PRODUCT CA	TEGORY: VARIABLE ANNU	ITY 12B1 TRAILS						
03/14/2018	KNAACK, PATRICIA	PAC LIFE INNOVATIVE SELECT NY	0.00	865.03	0.00	865.03	95.0	821.78
03/15/2018	KNAACK, PATRICIA	PAC LIFE INNOVATIVE SELECT NY	0.00	374.42	0.00	374.42	95.0	355.70
03/15/2018	SALANITRO, ISABEL	PAC LIFE INNOVATIVE SELECT NY	0.00	431.92	0.00	431.92	95.0	410.32
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$87,188.01	\$3,537.86	\$0.00	\$3,537.86		\$3,360.98
		*** DIRECT TRANSACTIONS TOTAL ***	\$264,876.69	\$10,133.29	\$0.00	\$10,133.29		\$9,626.59
		OVERRIDE TRAN	SACTIONS					
BROKER: L1	49-ROBERT LUCHSINGER	#388						
03/09/2018	CAPASSO, CRISTINA	PACIFIC LIFE FUNDS	1,000.00	47.50	0.00	47.50	0.0	19.00
03/14/2018	ROARKE, MARY ELIZABETH	ING EMPIRE INNOVATIONS STD OPT B	61,965.06	139.42	0.00	139.42	0.0	55.77
03/09/2018	WESTRENEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	1.44	0.00	1.44	0.0	0.58
03/09/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	11.33	0.00	11.33	0.0	4.53
03/09/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.64	0.00	3.64	0.0	1.46
03/09/2018	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.37	0.00	1.37	0.0	0.55
		* L149-ROBERT LUCHSINGER SUBTOTAL *	\$62,965.06	\$204.70	\$0.00	\$204.70		\$81.89
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$62,965.06	\$204.70	\$0.00	\$204.70		\$81.89

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS \$9,647.98 Broker Transactions Split Transactions \$0.00 \$81.89 Override Transactions Adjustments \$0.00 Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$9,729.87 Check Amount \$65,935.52 Year-to-date Gross \$64,079.41 Year-to-date Earnings