

COMMISSION STATEMENT

November 30, 2017

ABRAHAM HELLER
30 WOODSHIRE TERRACEBROKER #: 1
BRANCH: HOME OFFICE

TOWACO, NJ 07082

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

11/13/2017	75500548	MARK WACHTEL TTEE	ON SEMICONDUCTOR CORP	S	6,399.03	28.00	7.00	21.00	80.0	15.40
11/01/2017	75500550	KOKULAK, JOHN	PICO HOLDINGS INC-NEW	S	38,000.00	25.00	7.00	18.00	80.0	13.00
11/13/2017	75500551	JOSEPH, ALAN	ON SEMICONDUCTOR CORP	S	4,266.02	28.00	7.00	21.00	80.0	15.40
10/30/2017	75500554	JOSEPH, BRUCE	SONY CORPORATION ADR	B	30,902.96	30.00	7.00	23.00	80.0	17.00
10/31/2017	75500554	JOSEPH, BRUCE	ARISTA NETWORKS INC COM	B	30,084.00	30.00	7.00	23.00	80.0	17.00
10/31/2017	75500554	JOSEPH, BRUCE	VISTRA ENERGY CORP COM	S	38,100.00	32.00	7.00	25.00	80.0	18.60
11/01/2017	75500554	JOSEPH, BRUCE	VISTRA ENERGY CORP COM	S	38,640.00	32.00	7.00	25.00	80.0	18.60
11/06/2017	75500554	JOSEPH, BRUCE	AUTOHOME INC SPONSORED ADR REPST CL B		30,132.00	30.00	7.00	23.00	80.0	17.00
11/06/2017	75500554	JOSEPH, BRUCE	CHECK POINT SOFTWARE TECHNOLOGIES L S		31,704.72	30.00	7.00	23.00	80.0	17.00
11/06/2017	75500554	JOSEPH, BRUCE	GARTNER INC	S	47,460.00	30.00	7.00	23.00	80.0	17.00
11/08/2017	75500554	JOSEPH, BRUCE	IHS MARKIT LTD SHS	B	30,698.50	30.00	7.00	23.00	80.0	17.00
11/13/2017	75500554	JOSEPH, BRUCE	ON SEMICONDUCTOR CORP	S	42,660.20	32.00	7.00	25.00	80.0	18.60
11/14/2017	75500554	JOSEPH, BRUCE	CANTEL MEDICAL CORPORATION	B	30,198.99	30.00	7.00	23.00	80.0	17.00
11/15/2017	75500554	JOSEPH, BRUCE	AUTOHOME INC SPONSORED ADR REPST CL S		29,350.80	30.00	7.00	23.00	80.0	17.00
11/15/2017	75500554	JOSEPH, BRUCE	FERRARI N V COM	S	32,457.99	30.00	7.00	23.00	80.0	17.00
11/16/2017	75500554	JOSEPH, BRUCE	SQUARE INC CLASS A COM	B	29,013.32	30.00	7.00	23.00	80.0	17.00
11/17/2017	75500554	JOSEPH, BRUCE	SQUARE INC CLASS A COM	B	26,244.00	36.00	7.00	29.00	80.0	21.80
11/21/2017	75500554	JOSEPH, BRUCE	VERINT SYS INC COM	B	29,890.00	30.00	7.00	23.00	80.0	17.00
11/22/2017	75500554	JOSEPH, BRUCE	CRISPR THERAPEUTICS AG NAMEN-AKT IS B		19,749.00	30.00	7.00	23.00	80.0	17.00
10/30/2017	QGW001463	MERKIN VENTURE	AMAZON.COM INC	S	-12,281.88	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW001463	MERKIN VENTURE	ALLERGAN PLC	B	35,373.05	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW001463	MERKIN VENTURE	AMAZON.COM INC	S	-13,247.95	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW001463	MERKIN VENTURE	U S SILICA HOLDINGS INC	S	-24,310.48	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW001463	MERKIN VENTURE	ALLERGAN PLC	S	-90,844.05	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW001463	MERKIN VENTURE	FACEBOOK INC CL A	B	69,805.40	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW001463	MERKIN VENTURE	PIONEER NATURAL RESOURCES COMPANY	B	21,894.68	32.00	7.00	25.00	80.0	18.60
11/03/2017	QGW001463	MERKIN VENTURE	PIONEER NATURAL RESOURCES COMPANY	S	-22,209.78	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW001463	MERKIN VENTURE	AMAZON.COM INC	S	-16,796.86	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW001463	MERKIN VENTURE	TEVA PHARMACEUTICAL INDUSTRIES LIMIS		-25,052.67	34.00	7.00	27.00	80.0	20.20
11/07/2017	QGW001463	MERKIN VENTURE	TWILIO INC CL A	B	39,905.04	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW001463	MERKIN VENTURE	ROKU INC CLASS A COMMON STOCK	S	-15,158.00	32.00	7.00	25.00	80.0	18.60

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/08/2017	QGW001463	MERKIN VENTURE							
		TWILIO INC CL A	S	-41,671.28	32.00	7.00	25.00	80.0	18.60
11/14/2017	QGW001463	MERKIN VENTURE							
		OVERSTOCK COM INC DEL COM	B	27,748.42	32.00	7.00	25.00	80.0	18.60
11/16/2017	QGW001463	MERKIN VENTURE							
		OVERSTOCK COM INC DEL COM	S	-28,010.21	32.00	7.00	25.00	80.0	18.60
11/17/2017	QGW001463	MERKIN VENTURE							
		STITCH FIX INC CLASS A COMMON STOCK B		24,131.40	32.00	7.00	25.00	80.0	18.60
11/20/2017	QGW001463	MERKIN VENTURE							
		FACEBOOK INC CL A	B	21,891.68	32.00	7.00	25.00	80.0	18.60
11/20/2017	QGW001463	MERKIN VENTURE							
		STITCH FIX INC CLASS A COMMON STOCK B		20,593.44	32.00	7.00	25.00	80.0	18.60
11/21/2017	QGW001463	MERKIN VENTURE							
		STITCH FIX INC CLASS A COMMON STOCK S		-45,678.77	34.00	7.00	27.00	80.0	20.20
10/31/2017	QGW001471	MERKIN, JONATHAN							
		ALLERGAN PLC	B	9,776.47	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW001471	MERKIN, JONATHAN							
		ALLERGAN PLC	S	-28,582.20	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW001471	MERKIN, JONATHAN							
		IMPINJ INC COM	B	5,078.53	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW001471	MERKIN, JONATHAN							
		IMPINJ INC COM	S	-5,416.15	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW001471	MERKIN, JONATHAN							
		HELIOS & MATHESON ANALYTICS INC COM B		6,057.72	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW001471	MERKIN, JONATHAN							
		HELIOS & MATHESON ANALYTICS INC COM S		-6,615.15	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW001471	MERKIN, JONATHAN							
		OVERSTOCK COM INC DEL COM	B	10,122.94	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW001471	MERKIN, JONATHAN							
		OVERSTOCK COM INC DEL COM	S	-10,708.40	32.00	7.00	25.00	80.0	18.60
11/13/2017	QGW001471	MERKIN, JONATHAN							
		HELIOS & MATHESON ANALYTICS INC COM B		7,888.98	32.00	7.00	25.00	80.0	18.60
11/13/2017	QGW001471	MERKIN, JONATHAN							
		HELIOS & MATHESON ANALYTICS INC COM S		-9,498.72	32.00	7.00	25.00	80.0	18.60
11/16/2017	QGW001471	MERKIN, JONATHAN							
		SPLUNK INC COM	B	12,137.18	32.00	7.00	25.00	80.0	18.60
11/16/2017	QGW001471	MERKIN, JONATHAN							
		SPLUNK INC COM	S	-12,877.17	32.00	7.00	25.00	80.0	18.60
11/20/2017	QGW001471	MERKIN, JONATHAN							
		PALO ALTO NETWORKS INC COM	S	-15,308.85	32.00	7.00	25.00	80.0	18.60
11/20/2017	QGW001471	MERKIN, JONATHAN							
		PALO ALTO NETWORKS INC COM	B	14,887.28	32.00	7.00	25.00	80.0	18.60
11/24/2017	QGW001471	MERKIN, JONATHAN							
		MARATHON PATENT GROUP INC COM PAR \$ S		-3,403.46	32.00	7.00	25.00	80.0	18.60
11/24/2017	QGW001471	MERKIN, JONATHAN							
		MARATHON PATENT GROUP INC COM PAR \$ B		2,872.07	32.00	7.00	25.00	80.0	18.60
11/24/2017	QGW001471	MERKIN, JONATHAN							
		RIOT BLOCKCHAIN INC COM	S	-13,457.47	32.00	7.00	25.00	80.0	18.60
11/24/2017	QGW001471	MERKIN, JONATHAN							
		RIOT BLOCKCHAIN INC COM	B	10,290.52	32.00	7.00	25.00	80.0	18.60
11/24/2017	QGW001471	MERKIN, JONATHAN							
		RIOT BLOCKCHAIN INC COM	B	13,248.96	32.00	7.00	25.00	80.0	18.60
11/24/2017	QGW001471	MERKIN, JONATHAN							
		RIOT BLOCKCHAIN INC COM	S	-10,736.54	32.00	7.00	25.00	80.0	18.60
11/28/2017	QGW001471	MERKIN, JONATHAN							
		RYB EDUCATION INC SHS AMERICAN DEPO B		10,524.72	32.00	7.00	25.00	80.0	18.60
11/28/2017	QGW001471	MERKIN, JONATHAN							
		RYB EDUCATION INC SHS AMERICAN DEPO S		-10,949.52	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019598	MERKIN, SOLOMON							
		AMAZON.COM INC	S	-11,039.96	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019598	MERKIN, SOLOMON							
		U S SILICA HOLDINGS INC	S	-25,222.12	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019598	MERKIN, SOLOMON							
		APPLE INC	B	66,722.72	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019598	MERKIN, SOLOMON							
		APPLE INC	S	-67,146.64	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019598	MERKIN, SOLOMON							
		FUNKO INC CL A	B	3,569.71	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019598	MERKIN, SOLOMON							
		PIONEER NATURAL RESOURCES COMPANY	B	54,882.65	32.00	7.00	25.00	80.0	18.60
11/03/2017	QGW019598	MERKIN, SOLOMON							
		DIANA CONTAINERSHIPS INC SHS PAR \$0 B		3,313.14	32.00	7.00	25.00	80.0	18.60
11/03/2017	QGW019598	MERKIN, SOLOMON							
		PIONEER NATURAL RESOURCES COMPANY	S	-55,672.52	32.00	7.00	25.00	80.0	18.60
11/03/2017	QGW019598	MERKIN, SOLOMON							
		U S SILICA HOLDINGS INC	B	15,183.40	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019598	MERKIN, SOLOMON							
		DIANA CONTAINERSHIPS INC SHS PAR \$0 S		-3,656.93	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019598	MERKIN, SOLOMON							
		FUNKO INC CL A	S	-3,496.80	32.00	7.00	25.00	80.0	18.60

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/06/2017	QGW019598 MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM B		9,109.36	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019598 MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM B		16,180.08	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019598 MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM S		-9,947.60	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019598 MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM S		-7,178.24	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019598 MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM B		18,562.96	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019598 MERKIN, SOLOMON	SKYWORKS SOLUTIONS INC	S	-25,910.90	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019598 MERKIN, SOLOMON	SKYWORKS SOLUTIONS INC	B	25,302.80	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019598 MERKIN, SOLOMON	TWILIO INC CL A	B	28,817.14	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019598 MERKIN, SOLOMON	U S SILICA HOLDINGS INC	S	-39,745.75	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW019598 MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM B		8,882.33	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW019598 MERKIN, SOLOMON	TWILIO INC CL A	B	30,893.14	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW019598 MERKIN, SOLOMON	TWILIO INC CL A	S	-25,067.16	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW019598 MERKIN, SOLOMON	DYNAVAX TECHNOLOGIES CORP	B	24,210.80	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW019598 MERKIN, SOLOMON	OVERSTOCK COM INC DEL COM	B	29,604.81	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW019598 MERKIN, SOLOMON	OVERSTOCK COM INC DEL COM	S	-31,317.01	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW019598 MERKIN, SOLOMON	THE TRADE DESK INC COM CL A	B	14,821.03	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW019598 MERKIN, SOLOMON	THE TRADE DESK INC COM CL A	B	5,250.00	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW019598 MERKIN, SOLOMON	U S SILICA HOLDINGS INC	S	-32,260.59	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019598 MERKIN, SOLOMON	DYNAVAX TECHNOLOGIES CORP	S	-34,282.48	34.00	7.00	27.00	80.0	20.20
11/10/2017	QGW019598 MERKIN, SOLOMON	DYNAVAX TECHNOLOGIES CORP	B	44,238.48	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019598 MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM B		3,841.40	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019598 MERKIN, SOLOMON	TWILIO INC CL A	B	17,354.13	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019598 MERKIN, SOLOMON	U S SILICA HOLDINGS INC	S	-18,442.93	32.00	7.00	25.00	80.0	18.60
11/13/2017	QGW019598 MERKIN, SOLOMON	AMAZON.COM INC	S	-13,631.48	32.00	7.00	25.00	80.0	18.60
11/13/2017	QGW019598 MERKIN, SOLOMON	THE TRADE DESK INC COM CL A	B	31,992.14	32.00	7.00	25.00	80.0	18.60
11/13/2017	QGW019598 MERKIN, SOLOMON	TWILIO INC CL A	S	-24,385.22	32.00	7.00	25.00	80.0	18.60
11/14/2017	QGW019598 MERKIN, SOLOMON	NEWLINK GENETICS CORP	B	14,733.36	32.00	7.00	25.00	80.0	18.60
11/20/2017	QGW019598 MERKIN, SOLOMON	DYNAVAX TECHNOLOGIES CORP	S	-17,800.00	32.00	7.00	25.00	80.0	18.60
11/21/2017	QGW019598 MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM B		17,374.70	32.00	7.00	25.00	80.0	18.60
11/21/2017	QGW019598 MERKIN, SOLOMON	THE TRADE DESK INC COM CL A	S	-53,099.91	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019598 MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM B		18,479.14	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019598 MERKIN, SOLOMON	OVERSTOCK COM INC DEL COM	B	45,738.75	34.00	7.00	27.00	80.0	20.20
11/22/2017	QGW019598 MERKIN, SOLOMON	OVERSTOCK COM INC DEL COM	S	-47,817.80	34.00	7.00	27.00	80.0	20.20
11/24/2017	QGW019598 MERKIN, SOLOMON	MARATHON PATENT GROUP INC COM PAR \$ S		-6,864.12	32.00	7.00	25.00	80.0	18.60
11/24/2017	QGW019598 MERKIN, SOLOMON	MARATHON PATENT GROUP INC COM PAR \$ B		5,792.40	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019598 MERKIN, SOLOMON	PARETEUM CORPORATION COMMON STOCK	B	3,022.89	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019598 MERKIN, SOLOMON	U S SILICA HOLDINGS INC	B	33,053.21	32.00	7.00	25.00	80.0	18.60
11/28/2017	QGW019598 MERKIN, SOLOMON	DYNAVAX TECHNOLOGIES CORP	S	-16,409.77	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019606 MERKIN FAMILY TRUST, AMAZON.COM INC		S	-16,748.01	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019606 MERKIN FAMILY TRUST, GAIN CAP HLDGS INC COM		B	11,584.59	32.00	7.00	25.00	80.0	18.60

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/30/2017	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM B		5,946.72	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	S	-22,774.70	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	12,434.05	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-41,921.15	34.00	7.00	27.00	80.0	20.20
10/31/2017	QGW019606	MERKIN FAMILY TRUST, AMAZON.COM INC	S	-14,351.95	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019606	MERKIN FAMILY TRUST, FAIRMOUNT SANTROL HLDGS INC COM	S	-85,630.27	196.00	7.00	189.00	80.0	149.80
10/31/2017	QGW019606	MERKIN FAMILY TRUST, HI-CRUSH PARTNERS LP COM UNIT PARTN B		10,220.50	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-60,776.20	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED S		-168,447.33	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED B		167,278.68	34.00	7.00	27.00	80.0	20.20
11/01/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-145,641.76	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	106,407.40	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019606	MERKIN FAMILY TRUST, APPLE INC	B	84,904.66	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019606	MERKIN FAMILY TRUST, FACEBOOK INC CL A	B	73,453.72	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019606	MERKIN FAMILY TRUST, GAIN CAP HLDGS INC COM	B	9,634.52	34.00	7.00	27.00	80.0	20.20
11/01/2017	QGW019606	MERKIN FAMILY TRUST, HI-CRUSH PARTNERS LP COM UNIT PARTN S		-10,722.40	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019606	MERKIN FAMILY TRUST, ACACIA COMMUNICATIONS INC COM	B	40,670.56	36.00	7.00	29.00	80.0	21.80
11/02/2017	QGW019606	MERKIN FAMILY TRUST, ACACIA COMMUNICATIONS INC COM	S	-25,528.47	34.00	7.00	27.00	80.0	20.20
11/02/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED B		16,500.00	45.00	7.00	38.00	80.0	29.00
11/02/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED S		-18,950.89	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED B		46,784.85	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED S		-47,391.75	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	51,009.68	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019606	MERKIN FAMILY TRUST, APPLE INC	B	66,296.80	34.00	7.00	27.00	80.0	20.20
11/02/2017	QGW019606	MERKIN FAMILY TRUST, APPLE INC	S	-152,422.87	34.00	7.00	27.00	80.0	20.20
11/02/2017	QGW019606	MERKIN FAMILY TRUST, FACEBOOK INC CL A	S	-37,575.30	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019606	MERKIN FAMILY TRUST, FACEBOOK INC CL A	B	37,587.52	34.00	7.00	27.00	80.0	20.20
11/02/2017	QGW019606	MERKIN FAMILY TRUST, FUNKO INC CL A	B	3,838.40	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019606	MERKIN FAMILY TRUST, IMPINJ INC COM	S	-8,774.16	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019606	MERKIN FAMILY TRUST, IMPINJ INC COM	B	8,227.21	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019606	MERKIN FAMILY TRUST, NEWLINK GENETICS CORP	B	22,511.72	34.00	7.00	27.00	80.0	20.20
11/03/2017	QGW019606	MERKIN FAMILY TRUST, ACACIA COMMUNICATIONS INC COM	S	-13,580.00	32.00	7.00	25.00	80.0	18.60
11/03/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED B		60,261.32	32.00	7.00	25.00	80.0	18.60
11/03/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED B		54,000.00	50.00	7.00	43.00	80.0	33.00
11/03/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	153,000.00	55.00	7.00	48.00	80.0	37.00
11/03/2017	QGW019606	MERKIN FAMILY TRUST, AMAZON.COM INC	S	-13,345.73	32.00	7.00	25.00	80.0	18.60
11/03/2017	QGW019606	MERKIN FAMILY TRUST, DIANA CONTAINERSHIPS INC SHS PAR \$0 B		7,195.97	32.00	7.00	25.00	80.0	18.60
11/03/2017	QGW019606	MERKIN FAMILY TRUST, FACEBOOK INC CL A	S	-71,537.36	32.00	7.00	25.00	80.0	18.60
11/03/2017	QGW019606	MERKIN FAMILY TRUST, GAIN CAP HLDGS INC COM	B	5,559.68	32.00	7.00	25.00	80.0	18.60
11/03/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	16,185.50	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/06/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED S		-117,108.92	36.00	7.00	29.00	80.0	21.80
11/06/2017	QGW019606	MERKIN FAMILY TRUST, AMAZON.COM INC	S	-27,000.48	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019606	MERKIN FAMILY TRUST, DIANA CONTAINERSHIPS INC SHS PAR \$0 B		4,281.76	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019606	MERKIN FAMILY TRUST, DIANA CONTAINERSHIPS INC SHS PAR \$0 S		-7,942.66	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019606	MERKIN FAMILY TRUST, DYNVAX TECHNOLOGIES CORP	B	11,567.34	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019606	MERKIN FAMILY TRUST, TIVITY HEALTH INC COM	B	49,142.70	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-50,207.82	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-46,885.71	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019606	MERKIN FAMILY TRUST, DIANA CONTAINERSHIPS INC SHS PAR \$0 S		-4,170.88	34.00	7.00	27.00	80.0	20.20
11/07/2017	QGW019606	MERKIN FAMILY TRUST, DYNVAX TECHNOLOGIES CORP	B	13,708.68	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019606	MERKIN FAMILY TRUST, TWILIO INC CL A	B	15,962.02	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-167,731.20	54.00	7.00	47.00	80.0	36.20
11/08/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED B		83,900.52	36.00	7.00	29.00	80.0	21.80
11/08/2017	QGW019606	MERKIN FAMILY TRUST, DYNVAX TECHNOLOGIES CORP	B	12,553.55	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW019606	MERKIN FAMILY TRUST, THE TRADE DESK INC COM CL A	B	45,522.08	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-192,777.09	40.00	7.00	33.00	80.0	25.00
11/09/2017	QGW019606	MERKIN FAMILY TRUST, DYNVAX TECHNOLOGIES CORP	S	-34,242.75	34.00	7.00	27.00	80.0	20.20
11/09/2017	QGW019606	MERKIN FAMILY TRUST, FUNKO INC CL A	S	-3,989.00	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW019606	MERKIN FAMILY TRUST, NVIDIA CORP	B	166,497.85	36.00	7.00	29.00	80.0	21.80
11/09/2017	QGW019606	MERKIN FAMILY TRUST, NVIDIA CORP	S	-169,334.83	36.00	7.00	29.00	80.0	21.80
11/09/2017	QGW019606	MERKIN FAMILY TRUST, THE TRADE DESK INC COM CL A	S	-30,610.00	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED S		-83,856.02	34.00	7.00	27.00	80.0	20.20
11/10/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED B		234,000.00	60.00	7.00	53.00	80.0	41.00
11/10/2017	QGW019606	MERKIN FAMILY TRUST, CARTESIAN INC COM	B	18,225.90	165.00	7.00	158.00	80.0	125.00
11/10/2017	QGW019606	MERKIN FAMILY TRUST, THE TRADE DESK INC COM CL A	B	33,930.24	32.00	7.00	25.00	80.0	18.60
11/13/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED S		-242,791.12	36.00	7.00	29.00	80.0	21.80
11/13/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED B		57,450.53	32.00	7.00	25.00	80.0	18.60
11/13/2017	QGW019606	MERKIN FAMILY TRUST, CARTESIAN INC COM	B	5,137.71	50.00	7.00	43.00	80.0	33.00
11/13/2017	QGW019606	MERKIN FAMILY TRUST, DYNVAX TECHNOLOGIES CORP	B	37,874.22	36.00	7.00	29.00	80.0	21.80
11/13/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	B	18,914.40	32.00	7.00	25.00	80.0	18.60
11/13/2017	QGW019606	MERKIN FAMILY TRUST, THE TRADE DESK INC COM CL A	B	48,399.60	32.00	7.00	25.00	80.0	18.60
11/14/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED B		113,961.19	40.00	7.00	33.00	80.0	25.00
11/14/2017	QGW019606	MERKIN FAMILY TRUST, DYNVAX TECHNOLOGIES CORP	B	16,171.97	33.00	7.00	26.00	80.0	19.40
11/14/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	B	26,477.50	32.00	7.00	25.00	80.0	18.60
11/14/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	61,992.23	34.00	7.00	27.00	80.0	20.20
11/15/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED B		28,065.40	32.00	7.00	25.00	80.0	18.60
11/15/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-57,278.10	32.00	7.00	25.00	80.0	18.60
11/15/2017	QGW019606	MERKIN FAMILY TRUST, DYNVAX TECHNOLOGIES CORP	S	-12,425.00	32.00	7.00	25.00	80.0	18.60
11/15/2017	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM B		5,966.20	32.00	7.00	25.00	80.0	18.60
11/15/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	S	-32,660.76	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
11/15/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	B	61,566.39	36.00	7.00	29.00	80.0	21.80
11/15/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	13,883.41	32.00	7.00	25.00	80.0	18.60
11/16/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED S	S	-202,854.40	40.00	7.00	33.00	80.0	25.00
11/16/2017	QGW019606	MERKIN FAMILY TRUST, AMAZON.COM INC	S	-24,986.94	32.00	7.00	25.00	80.0	18.60
11/16/2017	QGW019606	MERKIN FAMILY TRUST, DYNAX TECHNOLOGIES CORP	S	-16,181.25	32.00	7.00	25.00	80.0	18.60
11/16/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	S	-74,733.67	34.00	7.00	27.00	80.0	20.20
11/16/2017	QGW019606	MERKIN FAMILY TRUST, PROSHARES TRUST II PROSHARES ULTRA	B	6,567.78	32.00	7.00	25.00	80.0	18.60
11/16/2017	QGW019606	MERKIN FAMILY TRUST, SPLUNK INC COM	B	20,459.81	32.00	7.00	25.00	80.0	18.60
11/16/2017	QGW019606	MERKIN FAMILY TRUST, SPLUNK INC COM	S	-21,707.22	32.00	7.00	25.00	80.0	18.60
11/16/2017	QGW019606	MERKIN FAMILY TRUST, THE TRADE DESK INC COM CL A	B	38,685.50	34.00	7.00	27.00	80.0	20.20
11/16/2017	QGW019606	MERKIN FAMILY TRUST, TIVITY HEALTH INC COM	S	-17,375.00	32.00	7.00	25.00	80.0	18.60
11/17/2017	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM B	B	23,881.86	32.00	7.00	25.00	80.0	18.60
11/17/2017	QGW019606	MERKIN FAMILY TRUST, STITCH FIX INC CLASS A COMMON STOCK B	B	24,131.40	32.00	7.00	25.00	80.0	18.60
11/17/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-9,300.00	45.00	7.00	38.00	80.0	29.00
11/20/2017	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM B	B	27,288.66	34.00	7.00	27.00	80.0	20.20
11/20/2017	QGW019606	MERKIN FAMILY TRUST, PALO ALTO NETWORKS INC COM	B	118,811.93	32.00	7.00	25.00	80.0	18.60
11/20/2017	QGW019606	MERKIN FAMILY TRUST, PALO ALTO NETWORKS INC COM	S	-122,176.42	34.00	7.00	27.00	80.0	20.20
11/20/2017	QGW019606	MERKIN FAMILY TRUST, STITCH FIX INC CLASS A COMMON STOCK S	S	-21,971.10	34.00	7.00	27.00	80.0	20.20
11/20/2017	QGW019606	MERKIN FAMILY TRUST, THE TRADE DESK INC COM CL A	S	-75,117.81	34.00	7.00	27.00	80.0	20.20
11/20/2017	QGW019606	MERKIN FAMILY TRUST, TIVITY HEALTH INC COM	S	-34,850.00	32.00	7.00	25.00	80.0	18.60
11/20/2017	QGW019606	MERKIN FAMILY TRUST, TWILIO INC CL A	S	-18,238.15	32.00	7.00	25.00	80.0	18.60
11/21/2017	QGW019606	MERKIN FAMILY TRUST, NEWLINK GENETICS CORP	B	20,580.40	34.00	7.00	27.00	80.0	20.20
11/21/2017	QGW019606	MERKIN FAMILY TRUST, THE TRADE DESK INC COM CL A	S	-62,105.16	33.00	7.00	26.00	80.0	19.40
11/21/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	93,962.75	36.00	7.00	29.00	80.0	21.80
11/22/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	B	27,150.56	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019606	MERKIN FAMILY TRUST, ROKU INC CLASS A COMMON STOCK	B	18,527.01	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	32,956.62	32.00	7.00	25.00	80.0	18.60
11/24/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-52,200.00	32.00	7.00	25.00	80.0	18.60
11/24/2017	QGW019606	MERKIN FAMILY TRUST, DYNAX TECHNOLOGIES CORP	S	-26,040.00	32.00	7.00	25.00	80.0	18.60
11/24/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	S	-28,148.00	34.00	7.00	27.00	80.0	20.20
11/24/2017	QGW019606	MERKIN FAMILY TRUST, RIOT BLOCKCHAIN INC COM	B	9,664.14	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019606	MERKIN FAMILY TRUST, AMAZON.COM INC	S	-32,710.77	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019606	MERKIN FAMILY TRUST, FUNKO INC CL A	B	34,372.00	36.00	7.00	29.00	80.0	21.80
11/27/2017	QGW019606	MERKIN FAMILY TRUST, MARATHON PATENT GROUP INC COM PAR \$ B	B	4,899.00	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019606	MERKIN FAMILY TRUST, PARETEUM CORPORATION COMMON STOCK	B	4,205.76	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019606	MERKIN FAMILY TRUST, PROSHARES TRUST II PROSHARES ULTRA	B	4,532.29	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019606	MERKIN FAMILY TRUST, RIOT BLOCKCHAIN INC COM	B	15,693.08	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019606	MERKIN FAMILY TRUST, ROKU INC CLASS A COMMON STOCK	S	-19,372.39	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019606	MERKIN FAMILY TRUST, RYB EDUCATION INC SHS AMERICAN DEPO B	B	14,371.20	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019606	MERKIN FAMILY TRUST, SQUARE INC CLASS A COM	B	33,969.21	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/28/2017	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM S		-29,996.50	34.00	7.00	27.00	80.0	20.20
11/28/2017	QGW019606	MERKIN FAMILY TRUST, MARATHON PATENT GROUP INC COM PAR \$ B		2,109.00	32.00	7.00	25.00	80.0	18.60
11/28/2017	QGW019606	MERKIN FAMILY TRUST, PROSHARES TRUST II PROSHARES ULTRA B		3,115.86	32.00	7.00	25.00	80.0	18.60
11/28/2017	QGW019606	MERKIN FAMILY TRUST, RIOT BLOCKCHAIN INC COM	S	-13,328.00	34.00	7.00	27.00	80.0	20.20
11/28/2017	QGW019606	MERKIN FAMILY TRUST, RIOT BLOCKCHAIN INC COM	B	7,094.11	32.00	7.00	25.00	80.0	18.60
11/28/2017	QGW019606	MERKIN FAMILY TRUST, RYB EDUCATION INC SHS AMERICAN DEPO S		-16,465.44	32.00	7.00	25.00	80.0	18.60
11/28/2017	QGW019606	MERKIN FAMILY TRUST, SQUARE INC CLASS A COM	B	51,741.54	34.00	7.00	27.00	80.0	20.20
11/28/2017	QGW019606	MERKIN FAMILY TRUST, SQUARE INC CLASS A COM	B	62,782.54	36.00	7.00	29.00	80.0	21.80
11/28/2017	QGW019606	MERKIN FAMILY TRUST, SQUARE INC CLASS A COM	S	-87,696.79	36.00	7.00	29.00	80.0	21.80
10/30/2017	QGW019622	MERKIN, SHARON ALLERGAN PLC	B	101,450.55	40.00	7.00	33.00	80.0	25.00
10/30/2017	QGW019622	MERKIN, SHARON AMAZON.COM INC	S	-27,913.36	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019622	MERKIN, SHARON BITCOIN INVT TR SHS	S	-14,360.10	34.00	7.00	27.00	80.0	20.20
10/30/2017	QGW019622	MERKIN, SHARON FAIRMOUNT SANTROL HLDGS INC COM	B	6,801.47	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019622	MERKIN, SHARON HELIOS & MATHESON ANALYTICS INC COM B		13,278.16	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019622	MERKIN, SHARON MERCK & CO INC	B	39,347.21	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019622	MERKIN, SHARON OVERSTOCK COM INC DEL COM	S	-17,263.22	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019622	MERKIN, SHARON OVERSTOCK COM INC DEL COM	B	31,888.58	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019622	MERKIN, SHARON REAL GOODS SOLAR INC CL A 2017	B	7,938.72	40.00	7.00	33.00	80.0	25.00
10/30/2017	QGW019622	MERKIN, SHARON ROKU INC CLASS A COMMON STOCK	S	-12,075.12	34.00	7.00	27.00	80.0	20.20
10/30/2017	QGW019622	MERKIN, SHARON TESLA MOTORS INC	S	-3,185.30	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019622	MERKIN, SHARON U S SILICA HOLDINGS INC	B	14,424.65	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019622	MERKIN, SHARON ALIBABA GROUP HOLDING LTD SPONSORED B		84,578.59	34.00	7.00	27.00	80.0	20.20
10/31/2017	QGW019622	MERKIN, SHARON ALLERGAN PLC	B	49,771.12	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019622	MERKIN, SHARON AMAZON.COM INC	S	-29,807.89	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019622	MERKIN, SHARON BITCOIN INVT TR SHS	S	-12,227.56	38.00	7.00	31.00	80.0	23.40
10/31/2017	QGW019622	MERKIN, SHARON DIGATRADE FINL CORP CL A ISIN#CA253 B		4,006.22	45.00	7.00	38.00	80.0	29.00
10/31/2017	QGW019622	MERKIN, SHARON FAIRMOUNT SANTROL HLDGS INC COM	S	-21,580.69	50.00	7.00	43.00	80.0	33.00
10/31/2017	QGW019622	MERKIN, SHARON HI-CRUSH PARTNERS LP COM UNIT PARTN B		17,323.75	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019622	MERKIN, SHARON MERCK & CO INC	S	-40,034.02	34.00	7.00	27.00	80.0	20.20
10/31/2017	QGW019622	MERKIN, SHARON NEW YORK TIMES CO CL A	B	46,545.24	34.00	7.00	27.00	80.0	20.20
10/31/2017	QGW019622	MERKIN, SHARON OVERSTOCK COM INC DEL COM	S	-46,470.96	40.00	7.00	33.00	80.0	25.00
10/31/2017	QGW019622	MERKIN, SHARON TESLA MOTORS INC	S	-4,943.81	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019622	MERKIN, SHARON U S SILICA HOLDINGS INC	S	-48,620.96	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019622	MERKIN, SHARON ALIBABA GROUP HOLDING LTD SPONSORED S		-85,720.97	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019622	MERKIN, SHARON ALLERGAN PLC	S	-177,136.79	40.00	7.00	33.00	80.0	25.00
11/01/2017	QGW019622	MERKIN, SHARON FACEBOOK INC CL A	B	86,859.02	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019622	MERKIN, SHARON HELIOS & MATHESON ANALYTICS INC COM B		14,310.07	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019622	MERKIN, SHARON HI-CRUSH PARTNERS LP COM UNIT PARTN S		-18,174.47	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019622	MERKIN, SHARON NEW YORK TIMES CO CL A	S	-11,400.06	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019622	MERKIN, SHARON NEW YORK TIMES CO CL A	B	56,723.75	40.00	7.00	33.00	80.0	25.00

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/01/2017	QGW019622	MERKIN, SHARON							
		PIONEER NATURAL RESOURCES COMPANY	B	80,173.08	34.00	7.00	27.00	80.0	20.20
11/01/2017	QGW019622	MERKIN, SHARON							
		REAL GOODS SOLAR INC CL A 2017	B	5,800.45	36.00	7.00	29.00	80.0	21.80
11/01/2017	QGW019622	MERKIN, SHARON							
		U S SILICA HOLDINGS INC	S	-44,191.00	34.00	7.00	27.00	80.0	20.20
11/01/2017	QGW019622	MERKIN, SHARON							
		U S SILICA HOLDINGS INC	B	88,312.48	36.00	7.00	29.00	80.0	21.80
11/02/2017	QGW019622	MERKIN, SHARON							
		ALIBABA GROUP HOLDING LTD SPONSORED S	S	-151,607.12	34.00	7.00	27.00	80.0	20.20
11/02/2017	QGW019622	MERKIN, SHARON							
		ALIBABA GROUP HOLDING LTD SPONSORED B	B	128,000.00	50.00	7.00	43.00	80.0	33.00
11/02/2017	QGW019622	MERKIN, SHARON							
		AMAZON.COM INC	S	-12,053.47	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019622	MERKIN, SHARON							
		APPLE INC	S	-37,659.00	34.00	7.00	27.00	80.0	20.20
11/02/2017	QGW019622	MERKIN, SHARON							
		APPLE INC	B	24,387.55	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019622	MERKIN, SHARON							
		APPLE INC	B	12,145.68	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019622	MERKIN, SHARON							
		FUNKO INC CL A	B	3,838.40	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019622	MERKIN, SHARON							
		TESLA MOTORS INC	S	-5,906.60	32.00	7.00	25.00	80.0	18.60
11/03/2017	QGW019622	MERKIN, SHARON							
		ALIBABA GROUP HOLDING LTD SPONSORED B	B	66,000.00	50.00	7.00	43.00	80.0	33.00
11/03/2017	QGW019622	MERKIN, SHARON							
		ALLERGAN PLC	S	-88,170.19	36.00	7.00	29.00	80.0	21.80
11/03/2017	QGW019622	MERKIN, SHARON							
		DIANA CONTAINERSHIPS INC SHS PAR \$0 B	B	5,996.64	32.00	7.00	25.00	80.0	18.60
11/03/2017	QGW019622	MERKIN, SHARON							
		FACEBOOK INC CL A	S	-84,195.09	34.00	7.00	27.00	80.0	20.20
11/03/2017	QGW019622	MERKIN, SHARON							
		FUNKO INC CL A	S	-3,775.00	32.00	7.00	25.00	80.0	18.60
11/03/2017	QGW019622	MERKIN, SHARON							
		PIONEER NATURAL RESOURCES COMPANY	S	-78,182.96	36.00	7.00	29.00	80.0	21.80
11/06/2017	QGW019622	MERKIN, SHARON							
		ALIBABA GROUP HOLDING LTD SPONSORED S	S	-42,178.30	34.00	7.00	27.00	80.0	20.20
11/06/2017	QGW019622	MERKIN, SHARON							
		ALLERGAN PLC	S	-48,263.56	34.00	7.00	27.00	80.0	20.20
11/06/2017	QGW019622	MERKIN, SHARON							
		DIANA CONTAINERSHIPS INC SHS PAR \$0 B	B	4,306.23	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019622	MERKIN, SHARON							
		DIANA CONTAINERSHIPS INC SHS PAR \$0 S	S	-6,618.88	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019622	MERKIN, SHARON							
		DYNAVAX TECHNOLOGIES CORP	B	6,926.55	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019622	MERKIN, SHARON							
		NEW YORK TIMES CO CL A	B	7,777.80	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019622	MERKIN, SHARON							
		SKYWORKS SOLUTIONS INC	B	37,601.42	34.00	7.00	27.00	80.0	20.20
11/06/2017	QGW019622	MERKIN, SHARON							
		TIVITY HEALTH INC COM	B	36,037.98	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019622	MERKIN, SHARON							
		U S SILICA HOLDINGS INC	S	-94,672.20	34.00	7.00	27.00	80.0	20.20
11/07/2017	QGW019622	MERKIN, SHARON							
		ALIBABA GROUP HOLDING LTD SPONSORED S	S	-33,195.40	33.00	7.00	26.00	80.0	19.40
11/07/2017	QGW019622	MERKIN, SHARON							
		AMAZON.COM INC	S	-26,874.98	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019622	MERKIN, SHARON							
		DIANA CONTAINERSHIPS INC SHS PAR \$0 S	S	-4,194.71	34.00	7.00	27.00	80.0	20.20
11/07/2017	QGW019622	MERKIN, SHARON							
		DYNAVAX TECHNOLOGIES CORP	B	17,717.20	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019622	MERKIN, SHARON							
		REAL GOODS SOLAR INC CL A 2017	B	7,871.47	45.00	7.00	38.00	80.0	29.00
11/07/2017	QGW019622	MERKIN, SHARON							
		TIVITY HEALTH INC COM	B	44,461.16	34.00	7.00	27.00	80.0	20.20
11/07/2017	QGW019622	MERKIN, SHARON							
		TWILIO INC CL A	B	13,966.76	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019622	MERKIN, SHARON							
		U S SILICA HOLDINGS INC	S	-80,999.95	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW019622	MERKIN, SHARON							
		AMAZON.COM INC	S	-12,474.44	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW019622	MERKIN, SHARON							
		DIGATRADE FINL CORP CL A ISIN#CA253 B	B	2,610.00	36.00	7.00	29.00	80.0	21.80
11/08/2017	QGW019622	MERKIN, SHARON							
		DYNAVAX TECHNOLOGIES CORP	B	14,894.04	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW019622	MERKIN, SHARON							
		REAL GOODS SOLAR INC CL A 2017	B	3,918.00	34.00	7.00	27.00	80.0	20.20
11/08/2017	QGW019622	MERKIN, SHARON							
		TESLA MOTORS INC	S	-3,322.00	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/08/2017	QGW019622 MERKIN, SHARON	TIVITY HEALTH INC COM	S	-16,500.00	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW019622 MERKIN, SHARON	TWILIO INC CL A	S	-26,788.68	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW019622 MERKIN, SHARON	ALIBABA GROUP HOLDING LTD SPONSORED S	S	-76,915.86	34.00	7.00	27.00	80.0	20.20
11/09/2017	QGW019622 MERKIN, SHARON	ALIBABA GROUP HOLDING LTD SPONSORED B	B	108,614.56	34.00	7.00	27.00	80.0	20.20
11/09/2017	QGW019622 MERKIN, SHARON	DYNAVAX TECHNOLOGIES CORP	B	22,156.31	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW019622 MERKIN, SHARON	NVIDIA CORP	S	-41,700.00	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW019622 MERKIN, SHARON	OVERSTOCK COM INC DEL COM	B	63,230.07	36.00	7.00	29.00	80.0	21.80
11/09/2017	QGW019622 MERKIN, SHARON	OVERSTOCK COM INC DEL COM	S	-51,314.56	36.00	7.00	29.00	80.0	21.80
11/09/2017	QGW019622 MERKIN, SHARON	SOGOU INC ADR REPSTG CL A SHS ISIN# B	B	22,254.29	34.00	7.00	27.00	80.0	20.20
11/09/2017	QGW019622 MERKIN, SHARON	THE TRADE DESK INC COM CL A	B	39,149.88	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019622 MERKIN, SHARON	ALIBABA GROUP HOLDING LTD SPONSORED S	S	-33,452.41	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019622 MERKIN, SHARON	ALIBABA GROUP HOLDING LTD SPONSORED B	B	216,000.00	60.00	7.00	53.00	80.0	41.00
11/10/2017	QGW019622 MERKIN, SHARON	CARTESIAN INC COM	B	16,632.69	145.00	7.00	138.00	80.0	109.00
11/10/2017	QGW019622 MERKIN, SHARON	DYNAVAX TECHNOLOGIES CORP	B	20,108.40	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019622 MERKIN, SHARON	NEW YORK TIMES CO CL A	B	6,848.20	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019622 MERKIN, SHARON	NVIDIA CORP	B	37,000.00	50.00	7.00	43.00	80.0	33.00
11/10/2017	QGW019622 MERKIN, SHARON	OVERSTOCK COM INC DEL COM	B	34,110.19	34.00	7.00	27.00	80.0	20.20
11/10/2017	QGW019622 MERKIN, SHARON	OVERSTOCK COM INC DEL COM	S	-14,239.01	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019622 MERKIN, SHARON	REAL GOODS SOLAR INC CL A 2017	B	4,599.00	34.00	7.00	27.00	80.0	20.20
11/10/2017	QGW019622 MERKIN, SHARON	SKYWORKS SOLUTIONS INC	B	40,800.00	50.00	7.00	43.00	80.0	33.00
11/10/2017	QGW019622 MERKIN, SHARON	SKYWORKS SOLUTIONS INC	B	54,000.00	50.00	7.00	43.00	80.0	33.00
11/10/2017	QGW019622 MERKIN, SHARON	SOGOU INC ADR REPSTG CL A SHS ISIN# B	B	7,665.53	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019622 MERKIN, SHARON	SOGOU INC ADR REPSTG CL A SHS ISIN# S	S	-14,138.05	34.00	7.00	27.00	80.0	20.20
11/10/2017	QGW019622 MERKIN, SHARON	THE TRADE DESK INC COM CL A	S	-14,886.20	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019622 MERKIN, SHARON	THE TRADE DESK INC COM CL A	B	20,136.64	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019622 MERKIN, SHARON	TIVITY HEALTH INC COM	S	-34,218.80	34.00	7.00	27.00	80.0	20.20
11/10/2017	QGW019622 MERKIN, SHARON	TIVITY HEALTH INC COM	B	25,635.10	32.00	7.00	25.00	80.0	18.60
11/13/2017	QGW019622 MERKIN, SHARON	ALIBABA GROUP HOLDING LTD SPONSORED S	S	-56,331.00	32.00	7.00	25.00	80.0	18.60
11/13/2017	QGW019622 MERKIN, SHARON	CARTESIAN INC COM	B	5,137.71	50.00	7.00	43.00	80.0	33.00
11/13/2017	QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM S	S	-43,042.72	34.00	7.00	27.00	80.0	20.20
11/13/2017	QGW019622 MERKIN, SHARON	OVERSTOCK COM INC DEL COM	S	-35,015.53	34.00	7.00	27.00	80.0	20.20
11/13/2017	QGW019622 MERKIN, SHARON	SKYWORKS SOLUTIONS INC	S	-71,754.93	36.00	7.00	29.00	80.0	21.80
11/13/2017	QGW019622 MERKIN, SHARON	TESLA MOTORS INC	S	-2,203.39	32.00	7.00	25.00	80.0	18.60
11/13/2017	QGW019622 MERKIN, SHARON	TIVITY HEALTH INC COM	S	-30,674.97	34.00	7.00	27.00	80.0	20.20
11/13/2017	QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC	S	-30,325.70	34.00	7.00	27.00	80.0	20.20
11/14/2017	QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM B	B	41,468.18	40.00	7.00	33.00	80.0	25.00
11/14/2017	QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM S	S	-3,404.25	32.00	7.00	25.00	80.0	18.60
11/14/2017	QGW019622 MERKIN, SHARON	OVERSTOCK COM INC DEL COM	B	26,477.50	32.00	7.00	25.00	80.0	18.60
11/14/2017	QGW019622 MERKIN, SHARON	SKYWORKS SOLUTIONS INC	S	-64,461.03	32.00	7.00	25.00	80.0	18.60
11/14/2017	QGW019622 MERKIN, SHARON	TIVITY HEALTH INC COM	S	-28,162.60	33.00	7.00	26.00	80.0	19.40

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/15/2017	QGW019622 MERKIN, SHARON	ALIBABA GROUP HOLDING LTD SPONSORED S		-162,982.53	40.00	7.00	33.00	80.0	25.00
11/15/2017	QGW019622 MERKIN, SHARON	NEW YORK TIMES CO CL A	S	-27,498.37	34.00	7.00	27.00	80.0	20.20
11/15/2017	QGW019622 MERKIN, SHARON	THE TRADE DESK INC COM CL A	S	-39,030.11	34.00	7.00	27.00	80.0	20.20
11/16/2017	QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B		2,577.60	32.00	7.00	25.00	80.0	18.60
11/16/2017	QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM B		11,664.38	32.00	7.00	25.00	80.0	18.60
11/16/2017	QGW019622 MERKIN, SHARON	NEW YORK TIMES CO CL A	S	-11,444.49	33.00	7.00	26.00	80.0	19.40
11/16/2017	QGW019622 MERKIN, SHARON	OVERSTOCK COM INC DEL COM	S	-4,172.15	32.00	7.00	25.00	80.0	18.60
11/16/2017	QGW019622 MERKIN, SHARON	OVERSTOCK COM INC DEL COM	S	-22,512.00	32.00	7.00	25.00	80.0	18.60
11/16/2017	QGW019622 MERKIN, SHARON	TESLA MOTORS INC	S	-5,999.82	32.00	7.00	25.00	80.0	18.60
11/17/2017	QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM B		43,173.10	36.00	7.00	29.00	80.0	21.80
11/17/2017	QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM S		-13,150.00	32.00	7.00	25.00	80.0	18.60
11/17/2017	QGW019622 MERKIN, SHARON	STITCH FIX INC CLASS A COMMON STOCK B		29,295.52	32.00	7.00	25.00	80.0	18.60
11/17/2017	QGW019622 MERKIN, SHARON	TESLA MOTORS INC	S	-4,776.78	32.00	7.00	25.00	80.0	18.60
11/20/2017	QGW019622 MERKIN, SHARON	ALLERGAN PLC	B	47,026.37	34.00	7.00	27.00	80.0	20.20
11/20/2017	QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B		2,849.00	36.00	7.00	29.00	80.0	21.80
11/20/2017	QGW019622 MERKIN, SHARON	NEW YORK TIMES CO CL A	S	-30,133.18	32.00	7.00	25.00	80.0	18.60
11/20/2017	QGW019622 MERKIN, SHARON	PALO ALTO NETWORKS INC COM	B	50,101.42	32.00	7.00	25.00	80.0	18.60
11/20/2017	QGW019622 MERKIN, SHARON	REAL GOODS SOLAR INC CL A 2017	B	6,480.00	34.00	7.00	27.00	80.0	20.20
11/20/2017	QGW019622 MERKIN, SHARON	SOGOU INC ADR REPSTG CL A SHS ISIN# S		-16,584.00	33.00	7.00	26.00	80.0	19.40
11/20/2017	QGW019622 MERKIN, SHARON	STITCH FIX INC CLASS A COMMON STOCK B		29,066.17	32.00	7.00	25.00	80.0	18.60
11/20/2017	QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC	B	47,947.76	34.00	7.00	27.00	80.0	20.20
11/21/2017	QGW019622 MERKIN, SHARON	DYNAVAX TECHNOLOGIES CORP	S	-45,755.00	34.00	7.00	27.00	80.0	20.20
11/21/2017	QGW019622 MERKIN, SHARON	NEW YORK TIMES CO CL A	S	-12,622.40	32.00	7.00	25.00	80.0	18.60
11/21/2017	QGW019622 MERKIN, SHARON	PALO ALTO NETWORKS INC COM	S	-54,161.49	32.00	7.00	25.00	80.0	18.60
11/21/2017	QGW019622 MERKIN, SHARON	STITCH FIX INC CLASS A COMMON STOCK S		-59,807.69	36.00	7.00	29.00	80.0	21.80
11/22/2017	QGW019622 MERKIN, SHARON	ALLERGAN PLC	S	-47,852.71	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019622 MERKIN, SHARON	AMAZON.COM INC	S	-9,226.40	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019622 MERKIN, SHARON	NEW YORK TIMES CO CL A	S	-29,130.88	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019622 MERKIN, SHARON	NEW YORK TIMES CO CL A	B	8,212.50	28.00	7.00	21.00	80.0	15.40
11/22/2017	QGW019622 MERKIN, SHARON	RIOT BLOCKCHAIN INC COM	S	-3,640.00	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019622 MERKIN, SHARON	RIOT BLOCKCHAIN INC COM	B	8,994.71	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019622 MERKIN, SHARON	RIOT BLOCKCHAIN INC COM	S	-7,369.57	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019622 MERKIN, SHARON	ROKU INC CLASS A COMMON STOCK	B	20,299.15	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019622 MERKIN, SHARON	SCHLUMBERGER LTD	B	254,940.46	45.00	7.00	38.00	80.0	29.00
11/22/2017	QGW019622 MERKIN, SHARON	SCHLUMBERGER LTD	S	-143,155.68	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019622 MERKIN, SHARON	TWITTER INC	B	40,207.68	34.00	7.00	27.00	80.0	20.20
11/24/2017	QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 S		-20,040.80	185.00	7.00	178.00	80.0	141.00
11/24/2017	QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B		2,594.40	36.00	7.00	29.00	80.0	21.80
11/24/2017	QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM B		4,128.00	32.00	7.00	25.00	80.0	18.60
11/24/2017	QGW019622 MERKIN, SHARON	MARATHON PATENT GROUP INC COM PAR \$ B		1,930.80	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/24/2017	QGW019622	MERKIN, SHARON	MARATHON PATENT GROUP INC COM PAR \$ S	-1,300.00	32.00	7.00	25.00	80.0	18.60
11/24/2017	QGW019622	MERKIN, SHARON	RIOT BLOCKCHAIN INC COM B	11,185.35	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019622	MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 S	-36,013.60	320.00	7.00	313.00	80.0	249.00
11/27/2017	QGW019622	MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM S	-39,256.96	33.00	7.00	26.00	80.0	19.40
11/27/2017	QGW019622	MERKIN, SHARON	MARATHON PATENT GROUP INC COM PAR \$ S	-1,554.00	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019622	MERKIN, SHARON	PARETEUM CORPORATION COMMON STOCK B	4,489.65	34.00	7.00	27.00	80.0	20.20
11/27/2017	QGW019622	MERKIN, SHARON	RIOT BLOCKCHAIN INC COM B	15,693.08	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019622	MERKIN, SHARON	RIOT BLOCKCHAIN INC COM B	2,449.60	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019622	MERKIN, SHARON	ROKU INC CLASS A COMMON STOCK S	-21,225.41	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019622	MERKIN, SHARON	TESLA MOTORS INC S	-7,552.58	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019622	MERKIN, SHARON	TWITTER INC B	30,492.00	33.00	7.00	26.00	80.0	19.40
11/28/2017	QGW019622	MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B	14,172.43	125.00	7.00	118.00	80.0	93.00
11/28/2017	QGW019622	MERKIN, SHARON	DYNAVAX TECHNOLOGIES CORP S	-26,320.00	32.00	7.00	25.00	80.0	18.60
11/28/2017	QGW019622	MERKIN, SHARON	FUNKO INC CL A B	39,464.78	45.00	7.00	38.00	80.0	29.00
11/28/2017	QGW019622	MERKIN, SHARON	NEWLINK GENETICS CORP B	6,952.00	32.00	7.00	25.00	80.0	18.60
11/28/2017	QGW019622	MERKIN, SHARON	PARETEUM CORPORATION COMMON STOCK B	5,569.09	42.00	7.00	35.00	80.0	26.60
11/28/2017	QGW019622	MERKIN, SHARON	TWITTER INC B	13,043.94	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019630	MERKIN, JAMES	TESLA MOTORS INC S	-2,657.97	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW019655	MERKIN, DAPHNE	APPLE INC S	-34,000.00	50.00	7.00	43.00	80.0	33.00
11/17/2017	QGW019655	MERKIN, DAPHNE	SCHLUMBERGER LTD B	12,354.36	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019663	MERKIN, DAVID	U S SILICA HOLDINGS INC S	-12,155.24	32.00	7.00	25.00	80.0	18.60
11/17/2017	QGW019663	MERKIN, DAVID	SCHLUMBERGER LTD B	8,030.33	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019671	GERBER, DEBRA	ALLERGAN PLC S	-5,028.80	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019671	GERBER, DEBRA	ALLERGAN PLC S	-18,569.32	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019697	KIFFEL, ELI	ALLERGAN PLC B	7,287.91	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019697	KIFFEL, ELI	ALLERGAN PLC B	14,949.80	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019697	KIFFEL, ELI	ALLERGAN PLC S	-26,397.57	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019713	MERKIN TRUST, SAMUEL	ALLERGAN PLC S	-8,043.75	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019713	MERKIN TRUST, SAMUEL	ALLERGAN PLC B	13,331.55	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019713	MERKIN TRUST, SAMUEL	FAIRMOUNT SANTROL HLDGS INC COM S	-11,442.70	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019713	MERKIN TRUST, SAMUEL	U S SILICA HOLDINGS INC S	-8,204.79	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019713	MERKIN TRUST, SAMUEL	ALLERGAN PLC S	-28,218.09	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019713	MERKIN TRUST, SAMUEL	ALLERGAN PLC B	13,191.00	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019713	MERKIN TRUST, SAMUEL	U S SILICA HOLDINGS INC S	-6,595.50	32.00	7.00	25.00	80.0	18.60
11/24/2017	QGW019713	MERKIN TRUST, SAMUEL	RIOT BLOCKCHAIN INC COM S	-9,336.12	32.00	7.00	25.00	80.0	18.60
11/24/2017	QGW019713	MERKIN TRUST, SAMUEL	RIOT BLOCKCHAIN INC COM B	8,948.28	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019713	MERKIN TRUST, SAMUEL	RYB EDUCATION INC SHS AMERICAN DEPO B	13,526.89	32.00	7.00	25.00	80.0	18.60
11/28/2017	QGW019713	MERKIN TRUST, SAMUEL	NAVIOS MARITIME MIDSTREAM PARTNERS B	5,064.00	32.00	7.00	25.00	80.0	18.60
11/28/2017	QGW019713	MERKIN TRUST, SAMUEL	RYB EDUCATION INC SHS AMERICAN DEPO S	-15,498.10	32.00	7.00	25.00	80.0	18.60
11/13/2017	QGW019796	MERKIN TRUST, JENNY	NAVIOS MARITIME MIDSTREAM PARTNERS B	5,616.73	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/13/2017	QGW019804 MERKIN TRUST, Yael	NAVIOS MARITIME MIDSTREAM PARTNERS	B	6,419.12	32.00	7.00	25.00	80.0	18.60
11/15/2017	QGW019804 MERKIN TRUST, Yael	SCHLUMBERGER LTD	B	12,324.46	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019812 GERBER TRUST,	TEVA PHARMACEUTICAL INDUSTRIES LIM	S	-10,467.13	32.00	7.00	25.00	80.0	18.60
11/13/2017	QGW019812 GERBER TRUST,	NAVIOS MARITIME MIDSTREAM PARTNERS	B	6,419.12	32.00	7.00	25.00	80.0	18.60
11/15/2017	QGW019812 GERBER TRUST,	SCHLUMBERGER LTD	B	16,638.02	32.00	7.00	25.00	80.0	18.60
11/17/2017	QGW019812 GERBER TRUST,	SCHLUMBERGER LTD	B	6,794.90	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019887 LIEBERMAN, ROBERT	APPLE INC	S	-333,640.20	80.00	7.00	73.00	80.0	57.00
11/01/2017	QGW019887 LIEBERMAN, ROBERT	APPLE INC	B	333,642.80	80.00	7.00	73.00	80.0	57.00
11/01/2017	QGW019887 LIEBERMAN, ROBERT	FACEBOOK INC CL A	S	-366,372.60	80.00	7.00	73.00	80.0	57.00
11/01/2017	QGW019887 LIEBERMAN, ROBERT	FACEBOOK INC CL A	B	362,541.00	80.00	7.00	73.00	80.0	57.00
11/10/2017	QGW019887 LIEBERMAN, ROBERT	APPLE INC	S	-350,140.00	75.00	7.00	68.00	80.0	53.00
11/13/2017	QGW019887 LIEBERMAN, ROBERT	GENERAL ELECTRIC CO	S	-150,880.00	160.00	7.00	153.00	80.0	121.00
11/13/2017	QGW019887 LIEBERMAN, ROBERT	GENERAL ELECTRIC CO	B	167,398.40	160.00	7.00	153.00	80.0	121.00
11/13/2017	QGW019887 LIEBERMAN, ROBERT	HOME DEPOT INC	B	166,361.00	55.00	7.00	48.00	80.0	37.00
11/14/2017	QGW019887 LIEBERMAN, ROBERT	HOME DEPOT INC	S	-165,580.00	55.00	7.00	48.00	80.0	37.00
11/21/2017	QGW019887 LIEBERMAN, ROBERT	SALESFORCE.COM INC	B	217,391.20	75.00	7.00	68.00	80.0	53.00
11/21/2017	QGW019887 LIEBERMAN, ROBERT	SALESFORCE.COM INC	S	-219,015.00	80.00	7.00	73.00	80.0	57.00
11/22/2017	QGW019887 LIEBERMAN, ROBERT	APPLE INC	B	346,700.00	75.00	7.00	68.00	80.0	53.00
11/01/2017	QGW028763 MERKIN, SOLOMON	FAIRMOUNT SANTROL HLDGS INC COM	S	-8,720.20	32.00	7.00	25.00	80.0	18.60
11/20/2017	QGW028763 MERKIN, SOLOMON	PALO ALTO NETWORKS INC COM	B	14,314.69	32.00	7.00	25.00	80.0	18.60
11/20/2017	QGW028763 MERKIN, SOLOMON	PALO ALTO NETWORKS INC COM	S	-15,100.00	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW028763 MERKIN, SOLOMON	RIOT BLOCKCHAIN INC COM	B	14,507.60	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW028763 MERKIN, SOLOMON	RIOT BLOCKCHAIN INC COM	S	-10,598.15	32.00	7.00	25.00	80.0	18.60
11/24/2017	QGW028763 MERKIN, SOLOMON	RIOT BLOCKCHAIN INC COM	S	-7,202.52	32.00	7.00	25.00	80.0	18.60
11/24/2017	QGW028763 MERKIN, SOLOMON	RIOT BLOCKCHAIN INC COM	S	-894.51	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW028763 MERKIN, SOLOMON	FUNKO INC CL A	B	19,274.10	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW028771 MERKIN, SOLOMON	FAIRMOUNT SANTROL HLDGS INC COM	S	-13,409.98	34.00	7.00	27.00	80.0	20.20
10/31/2017	QGW028771 MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM	B	7,593.33	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW028771 MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM	B	5,826.08	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW028771 MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM	S	-20,227.71	32.00	7.00	25.00	80.0	18.60
11/28/2017	QGW028771 MERKIN, SOLOMON	FUNKO INC CL A	B	15,989.47	32.00	7.00	25.00	80.0	18.60
* STOCKS SUBTOTAL *				\$-102,784.06	\$17,049.00	\$3,234.00	\$13,815.00		\$10,405.20
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/31/2017	QGW001463 MERKIN VENTURE	CALL 100 ALLERGAN PLC EXP 11-03-17	S	-635.73	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW001463 MERKIN VENTURE	PUT 100 TESLA INC EXP 11-10-17 @340	S	-12,120.00	34.00	7.00	27.00	80.0	20.20
11/22/2017	QGW001463 MERKIN VENTURE	CALL 100 TIME WARNER INC NEW COM NE	B	5,740.00	34.00	7.00	27.00	80.0	20.20
10/31/2017	QGW001471 MERKIN, JONATHAN	CALL 100 ALLERGAN PLC EXP 11-03-17	S	-369.09	30.00	7.00	23.00	80.0	17.00
11/01/2017	QGW001471 MERKIN, JONATHAN	PUT 100 TESLA INC EXP 11-03-17 @340	B	2,120.00	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW001471 MERKIN, JONATHAN	PUT 100 TESLA INC EXP 11-03-17 @340	S	-4,200.00	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
11/01/2017	QGW019598 MERKIN, SOLOMON	CALL 100 ALIBABA GROUP HLDG LTD SPO S		-6,290.00	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019598 MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 12-01- B		3,456.48	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019598 MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 11-10- B		1,742.00	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019598 MERKIN, SOLOMON	CALL 100 U S SILICA HLDGS INC COM E S		-830.00	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW019598 MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 11-10- B		3,905.98	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW019598 MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 11-10- S		-2,220.00	34.00	7.00	27.00	80.0	20.20
11/09/2017	QGW019598 MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 11-10- S		-3,970.08	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019598 MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 11-10- S		-1,863.00	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019598 MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 12-22- B		2,050.00	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019598 MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 12-29- B		2,125.00	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ALLERGAN PLC EXP 11-03-17 S		-1,107.27	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ALLERGAN PLC EXP 11-03-17 S		-423.82	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ALIBABA GROUP HLDG LTD SPO S		-12,095.00	36.00	7.00	29.00	80.0	21.80
11/01/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 APPLE INC COM EXP 11-03-17 B		6,540.00	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ALIBABA GROUP HLDG LTD SPO B		15,393.63	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019606 MERKIN FAMILY TRUST,	PUT 100 TESLA INC EXP 11-03-17 @340 B		2,120.00	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 APPLE INC COM EXP 11-03-17 S		-7,100.00	34.00	7.00	27.00	80.0	20.20
11/02/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ALIBABA GROUP HLDG LTD SPO S		-14,145.00	34.00	7.00	27.00	80.0	20.20
11/02/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ALIBABA GROUP HLDG LTD SPO S		-8,226.96	34.00	7.00	27.00	80.0	20.20
11/02/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ALIBABA GROUP HLDG LTD SPO B		8,985.00	36.00	7.00	29.00	80.0	21.80
11/02/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 TWITTER INC COM EXP 12-01- B		3,456.48	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019606 MERKIN FAMILY TRUST,	PUT 100 TESLA INC EXP 11-03-17 @340 S		-28,689.99	40.00	7.00	33.00	80.0	25.00
11/03/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ALLERGAN PLC EXP 11-03-17 B		4,914.99	36.00	7.00	29.00	80.0	21.80
11/03/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ACTIVISION BLIZZARD INC CO B		5,379.99	34.00	7.00	27.00	80.0	20.20
11/06/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ACTIVISION BLIZZARD INC CO B		7,824.96	35.00	7.00	28.00	80.0	21.00
11/06/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 SKYWORKS SOLUTIONS INC COM B		4,238.58	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 TWITTER INC COM EXP 12-01- B		4,250.00	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 TWITTER INC COM EXP 12-01- B		1,590.00	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 U S SILICA HLDGS INC COM E B		1,080.00	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 U S SILICA HLDGS INC COM E B		345.00	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ALIBABA GROUP HLDG LTD SPO B		6,585.84	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ACTIVISION BLIZZARD INC CO S		-15,440.00	36.00	7.00	29.00	80.0	21.80
11/08/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 SKYWORKS SOLUTIONS INC COM S		-3,202.50	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 U S SILICA HLDGS INC COM E B		720.00	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ALIBABA GROUP HLDG LTD SPO B		7,876.44	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 ALIBABA GROUP HLDG LTD SPO S		-5,730.03	32.00	7.00	25.00	80.0	18.60
11/15/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 U S SILICA HLDGS INC COM E B		2,035.00	32.00	7.00	25.00	80.0	18.60
11/15/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 U S SILICA HLDGS INC COM E B		-2,035.00	-32.00	-7.00	-25.00	80.0	-18.60 C
11/15/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 U S SILICA HLDGS INC COM E B		2,035.00	32.00	7.00	25.00	80.0	18.60
11/16/2017	QGW019606 MERKIN FAMILY TRUST,	CALL 100 CYBERARK SOFTWARE LTD SHS B		3,367.00	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
11/17/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 CYBERARK SOFTWARE LTD SHS B		3,640.00	33.00	7.00	26.00	80.0	19.40
11/22/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 TWITTER INC COM EXP 12-01- S		-3,090.00	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 TWITTER INC COM EXP 12-01- B		2,660.00	33.00	7.00	26.00	80.0	19.40
11/24/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 TWITTER INC COM EXP 12-01- S		-5,940.00	33.00	7.00	26.00	80.0	19.40
11/24/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 TWITTER INC COM EXP 12-01- B		1,341.00	32.00	7.00	25.00	80.0	18.60
11/27/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 TWITTER INC COM EXP 12-01- B		1,539.01	33.00	7.00	26.00	80.0	19.40
11/28/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 FIREEYE INC COM EXP 01-19- B		2,751.96	34.00	7.00	27.00	80.0	20.20
11/28/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 FIREEYE INC COM EXP 12-29- B		1,680.00	32.00	7.00	25.00	80.0	18.60
11/28/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 FIREEYE INC COM EXP 01-05- B		1,420.00	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019622	MERKIN, SHARON CALL 100 ALLERGAN PLC EXP 11-03-17 S		-1,845.45	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019622	MERKIN, SHARON CALL 100 ALLERGAN PLC EXP 11-03-17 S		-847.64	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019622	MERKIN, SHARON CALL 100 RED HAT INC COM EXP 12-08- B		3,060.00	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO B		8,796.36	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW019622	MERKIN, SHARON CALL 100 TWITTER INC COM EXP 01-19- B		1,485.00	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO S		-8,103.00	32.00	7.00	25.00	80.0	18.60
11/02/2017	QGW019622	MERKIN, SHARON CALL 100 TWITTER INC COM EXP 01-19- B		2,402.00	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019622	MERKIN, SHARON CALL 100 RED HAT INC COM EXP 12-08- B		4,659.99	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019622	MERKIN, SHARON CALL 100 SKYWORKS SOLUTIONS INC COM B		5,651.44	32.00	7.00	25.00	80.0	18.60
11/06/2017	QGW019622	MERKIN, SHARON CALL 100 TWITTER INC COM EXP 12-15- B		3,012.00	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019622	MERKIN, SHARON CALL 100 TWITTER INC COM EXP 12-01- B		2,800.00	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO B		5,854.08	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW019622	MERKIN, SHARON CALL 100 RED HAT INC COM EXP 12-08- S		-5,490.00	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW019622	MERKIN, SHARON CALL 100 RED HAT INC COM EXP 12-08- S		-13,900.00	34.00	7.00	27.00	80.0	20.20
11/09/2017	QGW019622	MERKIN, SHARON CALL 100 NVIDIA CORP COM EXP 11-10- S		-6,495.00	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW019622	MERKIN, SHARON CALL 100 NVIDIA CORP COM EXP 11-10- B		10,240.00	32.00	7.00	25.00	80.0	18.60
11/09/2017	QGW019622	MERKIN, SHARON CALL 100 SKYWORKS SOLUTIONS INC COM B		1,350.00	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO B		2,423.52	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019622	MERKIN, SHARON CALL 100 DYNAVAX TECHNOLOGIES CORP S		-860.00	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019622	MERKIN, SHARON CALL 100 TWITTER INC COM EXP 12-01- S		-3,450.00	32.00	7.00	25.00	80.0	18.60
11/10/2017	QGW019622	MERKIN, SHARON CALL 100 U S SILICA HLDGS INC COM E B		1,590.03	34.00	7.00	27.00	80.0	20.20
11/14/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO B		4,490.00	32.00	7.00	25.00	80.0	18.60
11/14/2017	QGW019622	MERKIN, SHARON CALL 100 OVERSTOCK COM INC DEL COM B		2,140.00	32.00	7.00	25.00	80.0	18.60
11/14/2017	QGW019622	MERKIN, SHARON CALL 100 U S SILICA HLDGS INC COM E B		6,747.95	34.00	7.00	27.00	80.0	20.20
11/15/2017	QGW019622	MERKIN, SHARON CALL 100 OVERSTOCK COM INC DEL COM B		2,200.00	32.00	7.00	25.00	80.0	18.60
11/15/2017	QGW019622	MERKIN, SHARON CALL 100 SCHLUMBERGER LTD COM ISIN# B		4,746.98	32.00	7.00	25.00	80.0	18.60
11/16/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO S		-5,320.00	32.00	7.00	25.00	80.0	18.60
11/16/2017	QGW019622	MERKIN, SHARON CALL 100 OVERSTOCK COM INC DEL COM S		-5,900.00	33.00	7.00	26.00	80.0	19.40
11/16/2017	QGW019622	MERKIN, SHARON CALL 100 RED HAT INC COM EXP 12-08- S		-2,010.00	32.00	7.00	25.00	80.0	18.60
11/17/2017	QGW019622	MERKIN, SHARON CALL 100 SCHLUMBERGER LTD COM ISIN# B		6,174.99	34.00	7.00	27.00	80.0	20.20
11/17/2017	QGW019622	MERKIN, SHARON CALL 100 U S SILICA HLDGS INC COM E B		1,800.00	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: OPTIONS									
11/20/2017	QGW019622	MERKIN, SHARON	CALL 100 ALLERGAN PLC EXP 11-24-17 B	1,650.00	32.00	7.00	25.00	80.0	18.60
11/20/2017	QGW019622	MERKIN, SHARON	CALL 100 FACEBOOK INC CL A EXP 01-1 B	2,850.00	32.00	7.00	25.00	80.0	18.60
11/20/2017	QGW019622	MERKIN, SHARON	CALL 100 U S SILICA HLDGS INC COM E B	2,440.00	32.00	7.00	25.00	80.0	18.60
11/21/2017	QGW019622	MERKIN, SHARON	CALL 100 FACEBOOK INC CL A EXP 02-1 S	-3,784.96	34.00	7.00	27.00	80.0	20.20
11/21/2017	QGW019622	MERKIN, SHARON	CALL 100 SCHLUMBERGER LTD COM ISIN# B	3,417.00	32.00	7.00	25.00	80.0	18.60
11/21/2017	QGW019622	MERKIN, SHARON	CALL 100 TWITTER INC COM EXP 12-15- S	-5,760.00	33.00	7.00	26.00	80.0	19.40
11/22/2017	QGW019622	MERKIN, SHARON	CALL 100 ALLERGAN PLC EXP 11-24-17 S	-2,675.01	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019622	MERKIN, SHARON	CALL 100 CME GROUP INC COM EXP 12-0 B	2,550.00	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019622	MERKIN, SHARON	CALL 100 FACEBOOK INC CL A EXP 01-1 B	1,740.00	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019622	MERKIN, SHARON	CALL 100 SCHLUMBERGER LTD COM ISIN# B	5,700.00	32.00	7.00	25.00	80.0	18.60
11/28/2017	QGW019622	MERKIN, SHARON	APTIV PLC SHS COM EX DISTRIBUTION B	0.00	34.00	7.00	27.00	80.0	20.20
11/28/2017	QGW019622	MERKIN, SHARON	CALL 100 CME GROUP INC COM EXP 12-0 S	-3,720.00	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019655	MERKIN, DAPHNE	CALL 100 U S SILICA HLDGS INC COM E S	-240.00	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019663	MERKIN, DAVID	CALL 100 U S SILICA HLDGS INC COM E S	-240.00	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW019671	GERBER, DEBRA	CALL 100 U S SILICA HLDGS INC COM E S	-240.00	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019697	KIFFEL, ELI	CALL 100 ALLERGAN PLC EXP 11-03-17 S	-423.82	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW019713	MERKIN TRUST, SAMUEL	CALL 100 ALLERGAN PLC EXP 11-03-17 S	-738.18	32.00	7.00	25.00	80.0	18.60
11/22/2017	QGW019887	LIEBERMAN, ROBERT	CALL 100 APPLE INC COM EXP 01-19-18 S	-5,355.00	65.00	7.00	58.00	80.0	45.00
11/07/2017	QGW028763	MERKIN, SOLOMON	CALL 100 SKYWORKS SOLUTIONS INC COM B	3,180.00	32.00	7.00	25.00	80.0	18.60
11/07/2017	QGW028763	MERKIN, SOLOMON	CALL 100 SKYWORKS SOLUTIONS INC COM B	2,450.00	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW028763	MERKIN, SOLOMON	CALL 100 SKYWORKS SOLUTIONS INC COM S	-5,337.50	32.00	7.00	25.00	80.0	18.60
11/08/2017	QGW028763	MERKIN, SOLOMON	CALL 100 SKYWORKS SOLUTIONS INC COM S	-3,980.00	32.00	7.00	25.00	80.0	18.60
11/13/2017	QGW028763	MERKIN, SOLOMON	CALL 100 THE TRADE DESK INC COM CL S	-10,680.00	34.00	7.00	27.00	80.0	20.20
11/13/2017	QGW028763	MERKIN, SOLOMON	CALL 100 THE TRADE DESK INC COM CL B	8,964.96	32.00	7.00	25.00	80.0	18.60
11/15/2017	QGW028763	MERKIN, SOLOMON	CALL 100 OVERSTOCK COM INC DEL COM B	4,400.00	32.00	7.00	25.00	80.0	18.60
11/15/2017	QGW028763	MERKIN, SOLOMON	CALL 100 OVERSTOCK COM INC DEL COM S	-5,674.00	32.00	7.00	25.00	80.0	18.60
10/31/2017	QGW028771	MERKIN, SOLOMON	CALL 100 ALIBABA GROUP HLDG LTD SPO B	3,945.00	32.00	7.00	25.00	80.0	18.60
11/01/2017	QGW028771	MERKIN, SOLOMON	CALL 100 ALIBABA GROUP HLDG LTD SPO S	-4,695.00	32.00	7.00	25.00	80.0	18.60
* OPTIONS SUBTOTAL *				\$2,332.61	\$3,678.00	\$784.00	\$2,894.00		\$2,158.40
*** BROKER TRANSACTIONS TOTAL ***				\$-100,451.45	\$20,727.00	\$4,018.00	\$16,709.00		\$12,563.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
11/30/2017		ADVANCE: ADVANCE		0.00	0.00	0.00	0.00		-5,000.00
11/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
11/30/2017		OTHER: OTHER		0.00	0.00	0.00	0.00		240.00
11/30/2017		OTHER: OTHER		0.00	0.00	0.00	0.00		-8.00
11/30/2017		OTHER: OTHER-REPAYMENT OF LOAN		0.00	0.00	0.00	0.00		-1,000.00
11/30/2017		OTHER: OTHER-QGV896756		0.00	0.00	0.00	0.00		-39.15
11/30/2017		OTHER: OTHER-PERSHING		0.00	0.00	0.00	0.00		95.58
11/30/2017		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-500.00
11/30/2017		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-54.44
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-6,286.01</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$12,563.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-6,286.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-25.91
SIPC Assessment	\$-51.82

Check Amount	\$6,199.86
Year-to-date Earnings	\$41,840.57