



All Companies
COMMISSION STATEMENT
August 01, 2018

07/30/2018
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CHRISTOPHER SNOW
6336 WARWICK HILLS DR

FORT WORTH, TX 76132-

BROKER #: 4854
BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	15.01	15.01	0.00	15.01	70.0	10.51
07/16/2018	TRAILS	-JOHN HANCOCK FUNDS	B	181.46	181.46	0.00	181.46	70.0	127.02
06/30/2018	TRAILS	-VIRTUS FUNDS	B	5.58	5.58	0.00	5.58	70.0	3.91
06/30/2018	TRAILS	COLLEGEBOUND 529	B	28.80	28.80	0.00	28.80	70.0	20.16
06/30/2018	TRAILS	PIMCO - GENERAL	B	61.79	61.79	0.00	61.79	70.0	43.25
07/20/2018	000001970770529 GARDNER, JR, JOHN HAROLD	TEMPLETON GROWTH FD CL 1	B	50.00	2.50	0.00	2.50	70.0	1.75
07/20/2018	00087127617 BRENNAN, JEAN S	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
07/16/2018	00089517055 MCKIMMEY, KENDALL W.	AMCAP FUND-529A	B	115.00	5.75	0.00	5.75	70.0	4.03
07/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS AMCAP FD	B	15.18	0.15	0.00	0.15	70.0	0.11
07/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS BALANCED FD	B	15.18	0.15	0.00	0.15	70.0	0.11
07/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS BD FD OF AMER FD	B	15.18	0.15	0.00	0.15	70.0	0.11
07/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS CAPITAL WORLD GROWT	B	15.14	0.15	0.00	0.15	70.0	0.11
07/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS EURO PACIFIC GROWT	B	15.18	0.15	0.00	0.15	70.0	0.11
07/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS GROWTH FUND OF AMERI	B	15.18	0.15	0.00	0.15	70.0	0.11
07/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INCOME FD OF AMERI	B	15.18	0.15	0.00	0.15	70.0	0.11
07/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INTERM BD FD OF AMER	B	7.59	0.08	0.00	0.08	70.0	0.06
07/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INVESTMENT COMP OF A	B	15.18	0.15	0.00	0.15	70.0	0.11
07/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS NEW PERSPECTIVE FD	B	15.18	0.15	0.00	0.15	70.0	0.11
07/09/2018	02000171308 CENTURY	AMERICAN FUNDS WA MUTUAL	INVES B	7.59	0.08	0.00	0.08	70.0	0.06

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
ENGINEERING									
07/09/2018	02000171317	ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS BD FD OF AMER FD B	30.00	0.30	0.00	0.30	70.0	0.21
07/09/2018	02000171317	ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INCOME FD OF AMERI B	30.00	0.30	0.00	0.30	70.0	0.21
07/09/2018	02000171317	ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INTERM BD FD OF AMER B	30.00	0.30	0.00	0.30	70.0	0.21
07/09/2018	02000171317	ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS WA MUTUAL INVES B	15.00	0.15	0.00	0.15	70.0	0.11
07/09/2018	02001077857	ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS AMCAP FD B	2.00	0.02	0.00	0.02	70.0	0.01
07/09/2018	02001077857	ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS BD FD OF AMER FD B	3.00	0.03	0.00	0.03	70.0	0.02
07/09/2018	02001077857	ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS EURO PACIFIC GROWT B	2.00	0.02	0.00	0.02	70.0	0.01
07/09/2018	02001077857	ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS GROWTH FUND OF AMERI B	2.00	0.02	0.00	0.02	70.0	0.01
07/09/2018	02001077857	ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INTERM BD FD OF AMER B	3.00	0.03	0.00	0.03	70.0	0.02
07/09/2018	02001077857	ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INVESTMENT COMP OF A B	3.00	0.03	0.00	0.03	70.0	0.02
07/09/2018	02001077857	ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS WA MUTUAL INVES B	3.00	0.03	0.00	0.03	70.0	0.02
07/16/2018	04000205238	MCKIMMEY, KENDALL W.	CAPITAL INCOME BUILDER-529A B	115.00	5.75	0.00	5.75	70.0	4.03
* MUTUAL FUNDS SUBTOTAL *				\$897.40	\$311.88	\$0.00	\$311.88		\$218.37
<u>PRODUCT CATEGORY: STOCKS</u>									
07/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	778.59	778.59	0.00	778.59	70.0	545.01
* STOCKS SUBTOTAL *				\$778.59	\$778.59	\$0.00	\$778.59		\$545.01
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/06/2018	TRAILS	-PACIFIC LIFE INSURANCE	B	212.39	212.39	0.00	212.39	70.0	148.67
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	42.98	42.98	0.00	42.98	70.0	30.09
07/06/2018	TRAILS	JOHN HANCOCK	B	44.46	44.46	0.00	44.46	70.0	31.12
06/15/2018	COMP USA	METROPOLITAN LIFE AND AFFILIATED CO	B	5,449.46	544.95	0.00	544.95	70.0	381.47
07/05/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	373.39	373.39	0.00	373.39	70.0	261.37
07/13/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	267.67	267.67	0.00	267.67	70.0	187.37
* VARIABLE ANNUITIES SUBTOTAL *				\$6,390.35	\$1,485.84	\$0.00	\$1,485.84		\$1,040.09

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/13/2018 10790419	AL-JAZRAWI, SAMIR & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	3,429.00	0.00	3,429.00	70.0	2,400.30
07/19/2018 11124600	GOODMAN, PAUL R	SIGNAL SECURITIES RIA	B	0.00	147.30	0.00	147.30	70.0	103.11
07/13/2018 12390799	SINGLETON, MARION B & STEV	SIGNAL SECURITIES RIA	B	0.00	40.33	0.00	40.33	70.0	28.23
07/13/2018 18300233	MOORE, RUSSELL ALLAN	SIGNAL SECURITIES RIA	B	0.00	135.00	0.00	135.00	70.0	94.50
07/13/2018 18987768	TREADWELL, TONEY ELLEN	SIGNAL SECURITIES RIA	B	0.00	30.67	0.00	30.67	70.0	21.47
07/13/2018 22527639	ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	70.0	7.00
07/13/2018 22730240	AL-JAZRAWI, SAMIR & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	446.00	0.00	446.00	70.0	312.20
07/13/2018 27525617	NYUL, PAUL RYAN	SIGNAL SECURITIES RIA	B	0.00	360.00	0.00	360.00	70.0	252.00
07/13/2018 27562425	MCKINNEY, HAROLD LOUIS	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	70.0	9.57
07/13/2018 28409072	RITTBY, MAGNUS LENNART	SIGNAL SECURITIES RIA	B	0.00	696.33	0.00	696.33	70.0	487.43
07/13/2018 28442209	GUTH, ERIC C.	SIGNAL SECURITIES RIA	B	0.00	493.67	0.00	493.67	70.0	345.57
07/13/2018 29294822	UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	23.67	0.00	23.67	70.0	16.57
07/13/2018 30917351	SCHEIDEMAN, SUMMER SHARIE	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	70.0	1.40
07/13/2018 31528384	SAAD LIVING TRUST, SHOUKRY & AWATE	SIGNAL SECURITIES RIA	B	0.00	1,632.00	0.00	1,632.00	70.0	1,142.40
07/13/2018 32062105	TRIMARCO, JOHN R.	SIGNAL SECURITIES RIA	B	0.00	204.67	0.00	204.67	70.0	143.27
07/13/2018 37406476	WALES, CHRIS & ANDREA	SIGNAL SECURITIES RIA	B	0.00	20.33	0.00	20.33	70.0	14.23
07/13/2018 39194204	THORSON, CRAIG ADAM	SIGNAL SECURITIES RIA	B	0.00	427.67	0.00	427.67	70.0	299.37
07/13/2018 39923272	MOORE, RUSSELL ALLAN	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	70.0	5.13
07/13/2018 40772483	MCKINNEY, JUDITH ANN	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	70.0	6.07
07/13/2018 41231228	HAGAN, SHERYL	SIGNAL SECURITIES RIA	B	0.00	1,364.67	0.00	1,364.67	70.0	955.27
07/13/2018 44998184	FENLEY, REBECCA K	SIGNAL SECURITIES RIA	B	0.00	213.67	0.00	213.67	70.0	149.57
07/13/2018 45505681	D'ONOFRIO, JUN & BROOKE	SIGNAL SECURITIES RIA	B	0.00	161.00	0.00	161.00	70.0	112.70
07/13/2018 45603849	HOWARD REV. TRUST, CAROLYN COX	SIGNAL SECURITIES RIA	B	0.00	4.33	0.00	4.33	70.0	3.03
07/13/2018 50429988	SINGLETON, MARION B & STEV	SIGNAL SECURITIES RIA	B	0.00	2,028.67	0.00	2,028.67	70.0	1,420.07
07/13/2018 50676897	MERLO, CHRIS J.	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	70.0	1.40
07/13/2018 50869265	MERLO, CHRIS J.	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	70.0	3.97
07/13/2018 52520997	ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	10.67	0.00	10.67	70.0	7.47
07/13/2018 55290712	PROVOST, MORGAN	SIGNAL SECURITIES RIA	B	0.00	427.00	0.00	427.00	70.0	298.90

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/13/2018	56345160 MCKIMMEY, DREW & KENDALL	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	70.0	9.10
07/13/2018	57264459 KELLERMAN, MICHAEL E	SIGNAL SECURITIES RIA	B	0.00	11.67	0.00	11.67	70.0	8.17
07/13/2018	60684203 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33	70.0	2.33
07/13/2018	61324514 MORGAN, HELGA I	SIGNAL SECURITIES RIA	B	0.00	35.67	0.00	35.67	70.0	24.97
07/13/2018	62479593 MCKIMMEY, DREW & KENDALL	SIGNAL SECURITIES RIA	B	0.00	17.67	0.00	17.67	70.0	12.37
07/13/2018	70855134 AL-JAZRAWI, SAMIR & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	422.00	0.00	422.00	70.0	295.40
07/13/2018	71324279 TREADWELL, TONEY ELLEN	SIGNAL SECURITIES RIA	B	0.00	555.33	0.00	555.33	70.0	388.73
07/13/2018	72500561 GUTH, ERIC C.	SIGNAL SECURITIES RIA	B	0.00	0.33	0.00	0.33	70.0	0.23
07/13/2018	73189180 WIMBERLY, MELISSA BROWNIN	SIGNAL SECURITIES RIA	B	0.00	89.67	0.00	89.67	70.0	62.77
07/13/2018	74389400 ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES RIA	B	0.00	9.33	0.00	9.33	70.0	6.53
07/13/2018	74395342 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	9.67	0.00	9.67	70.0	6.77
07/13/2018	76521383 HENDERSON, CLIFFORD & KATH	SIGNAL SECURITIES RIA	B	0.00	113.33	0.00	113.33	70.0	79.33
07/13/2018	77103397 UHR, MARGARET K	SIGNAL SECURITIES RIA	B	0.00	130.33	0.00	130.33	70.0	91.23
07/13/2018	77901571 MCKIMMEY, DREW & KENDALL	SIGNAL SECURITIES RIA	B	0.00	49.67	0.00	49.67	70.0	34.77
07/13/2018	78113997 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	63.67	0.00	63.67	70.0	44.57
07/13/2018	78797347 WALES, CHRIS & ANDREA	SIGNAL SECURITIES RIA	B	0.00	72.33	0.00	72.33	70.0	50.63
07/13/2018	78947702 SIMONE, GARY & PENELOPE	SIGNAL SECURITIES RIA	B	0.00	810.33	0.00	810.33	70.0	567.23
07/13/2018	80337453 TREADWELL, TONEY ELLEN	SIGNAL SECURITIES RIA	B	0.00	110.00	0.00	110.00	70.0	77.00
07/13/2018	82350222 ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES RIA	B	0.00	241.67	0.00	241.67	70.0	169.17
07/13/2018	82374695 KELLERMAN, MICHAEL E	SIGNAL SECURITIES RIA	B	0.00	185.00	0.00	185.00	70.0	129.50
07/13/2018	83130926 ZEIGLER, KATHY JO	SIGNAL SECURITIES RIA	B	0.00	76.33	0.00	76.33	70.0	53.43
07/13/2018	87845699 KELLERMAN, NANCY/MICHAEL	SIGNAL SECURITIES RIA	B	0.00	632.33	0.00	632.33	70.0	442.63
07/13/2018	92270080 PROVOST, MORGAN	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	70.0	18.20
07/13/2018	92989374 SCHEIDEMAN, JOHN PARKER	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	70.0	0.47
07/13/2018	93302124 SCHEIDEMAN, JOHN PARKER	SIGNAL SECURITIES RIA	B	0.00	64.33	0.00	64.33	70.0	45.03
07/13/2018	95235681 STARE INVESTMENTS LP	SIGNAL SECURITIES RIA	B	0.00	489.00	0.00	489.00	70.0	342.30

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: RIA									
07/13/2018	97573277 GARZA, RICARDO C	SIGNAL SECURITIES RIA	B	0.00	436.00	0.00	436.00	70.0	305.20
07/13/2018	98761332 LOPEZ, VICTOR	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	70.0	6.30
07/13/2018	99462551 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	222.33	0.00	222.33	70.0	155.63
* RIA SUBTOTAL *				\$0.00	\$17,245.98	\$0.00	\$17,245.98		\$12,072.19
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
08/01/2018	TRAIL COMMISSIONS	FIRST EAGLE FEES		0.00	42.38	0.00	42.38	70.0	29.66
08/01/2018	TRAIL COMMISSIONS	ALLIANZ GLOBAL INVESTORS FEES		0.00	3.07	0.00	3.07	70.0	2.15
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$45.45	\$0.00	\$45.45		\$31.81
*** BROKER TRANSACTIONS TOTAL ***				\$8,066.34	\$19,867.74	\$0.00	\$19,867.74		\$13,907.47
SPLIT TRANSACTIONS									
BROKER: SNOW/MOYNIHAN #9543									
06/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	178.78	107.27	0.00	107.27	70.0	75.09
07/13/2018	47895792 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	254.80	0.00	254.80	70.0	178.36
07/13/2018	75756775 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	158.00	0.00	158.00	70.0	110.60
07/13/2018	83385351 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	24.80	0.00	24.80	70.0	17.36
07/13/2018	91616746 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	12.20	0.00	12.20	70.0	8.54
* SNOW/MOYNIHAN SUBTOTAL *				\$178.78	\$557.07	\$0.00	\$557.07		\$389.95
BROKER: SINGLETON/SNOW #9575									
07/13/2018	57689062 SINGLETON, STEVEN B	SIGNAL SECURITIES RIA	B	0.00	115.84	0.00	115.84	70.0	81.09
07/13/2018	97231190 CHRISTOFORETTI, II, ERIN/JOHN	SIGNAL SECURITIES RIA	B	0.00	167.50	0.00	167.50	70.0	117.25
* SINGLETON/SNOW SUBTOTAL *				\$0.00	\$283.34	\$0.00	\$283.34		\$198.34
BROKER: PALMERSHEIM/SNOW #9613									
07/25/2018	BUSH, ABE A. (JR.)	SIGNAL SECURITIES RIA	B	0.00	75.73	0.00	75.73	70.0	53.01
08/01/2018	TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES		0.00	45.17	0.00	45.17	70.0	31.60
07/16/2018	00083950080 CUNNINGHAM, MARY	AMERICAN FUNDS EURO PACIFIC	GROWT B	175.00	3.50	0.00	3.50	70.0	2.45
07/13/2018	10556019 GILLILAND, JULIE DEANN	SIGNAL SECURITIES RIA	B	0.00	29.20	0.00	29.20	70.0	20.44
07/13/2018	10882572 BRUCE, JUDITH IRENE	SIGNAL SECURITIES RIA	B	0.00	90.13	0.00	90.13	70.0	63.09
07/13/2018	15447982 PEARSON, DIANNE H	SIGNAL SECURITIES RIA	B	0.00	112.27	0.00	112.27	70.0	78.59
07/13/2018	16695746 QUANBECK, REA DENISE	SIGNAL SECURITIES RIA	B	0.00	28.80	0.00	28.80	70.0	20.16
07/13/2018	18091956 BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	14.27	0.00	14.27	70.0	9.99

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<u>SPLIT TRANSACTIONS</u>									
BROKER: PALMERSHEIM/SNOW #9613									
07/13/2018	20770029 BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	186.93	0.00	186.93	70.0	130.85
07/13/2018	20872135 SLAUGHTER, JUSTINE MARIE	SIGNAL SECURITIES RIA	B	0.00	55.47	0.00	55.47	70.0	38.83
07/13/2018	22163519 ABBOTT, JAMES ADDISON	SIGNAL SECURITIES RIA	B	0.00	14.13	0.00	14.13	70.0	9.89
07/13/2018	27933838 RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	19.47	0.00	19.47	70.0	13.63
07/13/2018	29192217 JOHNSON, TONYA WAYNETTE	SIGNAL SECURITIES RIA	B	0.00	54.40	0.00	54.40	70.0	38.08
07/13/2018	29329286 QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	B	0.00	239.47	0.00	239.47	70.0	167.63
07/13/2018	30561785 THOMAS, LARRY D	SIGNAL SECURITIES RIA	B	0.00	81.07	0.00	81.07	70.0	56.75
07/13/2018	31207916 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	63.87	0.00	63.87	70.0	44.71
07/13/2018	31528580 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	1,949.60	0.00	1,949.60	70.0	1,364.72
07/13/2018	31528580 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	217.73	0.00	217.73	70.0	152.41
07/13/2018	31671856 THOMAS, POLLY S.	SIGNAL SECURITIES RIA	B	0.00	12.40	0.00	12.40	70.0	8.68
07/13/2018	33117987 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	12.80	0.00	12.80	70.0	8.96
07/13/2018	35080832 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	22.00	0.00	22.00	70.0	15.40
07/13/2018	35770153 RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	4.93	0.00	4.93	70.0	3.45
07/13/2018	39222483 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	104.53	0.00	104.53	70.0	73.17
07/13/2018	39816703 WARREN, GLORIA CLARK	SIGNAL SECURITIES RIA	B	0.00	73.20	0.00	73.20	70.0	51.24
07/20/2018	401K OPTIMIZER PUKIS, BARBARA ANN	SIGNAL SECURITIES RIA	B	0.00	415.20	0.00	415.20	70.0	290.64
07/13/2018	41540562 LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	161.20	0.00	161.20	70.0	112.84
07/13/2018	42458316 WARREN, WILLIAM& GLORIA	SIGNAL SECURITIES RIA	B	0.00	42.27	0.00	42.27	70.0	29.59
07/13/2018	49635286 BERNARD, DONNA GAY PANCA	SIGNAL SECURITIES RIA	B	0.00	16.93	0.00	16.93	70.0	11.85
07/13/2018	51260395 SUTHERLAND, MIKE DEAN	SIGNAL SECURITIES RIA	B	0.00	277.73	0.00	277.73	70.0	194.41
07/13/2018	51489395 FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	134.27	0.00	134.27	70.0	93.99
07/13/2018	52054063 SWAIN, CYNTHIA CHRISTI	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	70.0	11.20
07/13/2018	56512595 BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	7.07	0.00	7.07	70.0	4.95
07/13/2018	58196619 STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	1.07	0.00	1.07	70.0	0.75
07/13/2018	59968411 KINUNEN, LEZLIE ANNE	SIGNAL SECURITIES RIA	B	0.00	90.80	0.00	90.80	70.0	63.56
07/13/2018	62652031 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	106.53	0.00	106.53	70.0	74.57
07/13/2018	64024640 QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	B	0.00	26.13	0.00	26.13	70.0	18.29
07/13/2018	64679619 WARREN, WILLIAM&	SIGNAL SECURITIES RIA	B	0.00	76.40	0.00	76.40	70.0	53.48

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: PALMERSHEIM/SNOW #9613									
GLORIA									
07/13/2018	67104851 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	113.47	0.00	113.47	70.0	79.43
07/13/2018	68428952 HORN, JEFFREY	SIGNAL SECURITIES RIA	B	0.00	237.20	0.00	237.20	70.0	166.04
07/23/2018	68428952 HORN, JEFFREY	SIGNAL SECURITIES RIA	B	0.00	546.00	0.00	546.00	70.0	382.20
07/13/2018	68892175 LAWRENCE, JERROLD B	SIGNAL SECURITIES RIA	B	0.00	180.93	0.00	180.93	70.0	126.65
07/13/2018	70196330 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	31.87	0.00	31.87	70.0	22.31
07/13/2018	71014745 ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	15.73	0.00	15.73	70.0	11.01
07/13/2018	72540730 BLALOCK III, WILLIAM	SIGNAL SECURITIES RIA	B	0.00	164.13	0.00	164.13	70.0	114.89
J									
07/13/2018	73959390 VOIRIN FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	12.67	0.00	12.67	70.0	8.87
07/13/2018	74609933 REESE, PEGGY M	SIGNAL SECURITIES RIA	B	0.00	9.07	0.00	9.07	70.0	6.35
07/13/2018	74970598 MCKEEL, GWEN F	SIGNAL SECURITIES RIA	B	0.00	17.73	0.00	17.73	70.0	12.41
07/13/2018	75970772 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	20.93	0.00	20.93	70.0	14.65
07/13/2018	79512456 BRUCE, GRANVILLE	SIGNAL SECURITIES RIA	B	0.00	55.73	0.00	55.73	70.0	39.01
WAYNE									
07/13/2018	81184800 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	194.27	0.00	194.27	70.0	135.99
07/13/2018	81184802 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	30.80	0.00	30.80	70.0	21.56
07/13/2018	81944763 RENDER,	SIGNAL SECURITIES RIA	B	0.00	147.20	0.00	147.20	70.0	103.04
SYLVIA/RICHARD									
07/13/2018	82007581 KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	149.47	0.00	149.47	70.0	104.63
07/13/2018	85616643 STELLY, TIMOTHY &	SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00	70.0	14.00
HALEY									
07/13/2018	87845699 KELLERMAN,	SIGNAL SECURITIES RIA	B	0.00	312.80	0.00	312.80	70.0	218.96
NANCY/MICHAEL									
07/13/2018	87940275 HART, CHARLOTT/ROBERT	SIGNAL SECURITIES RIA	B	0.00	77.20	0.00	77.20	70.0	54.04
07/13/2018	90768067 ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	108.93	0.00	108.93	70.0	76.25
07/13/2018	91118996 BRENNAN, JEAN S	SIGNAL SECURITIES RIA	B	0.00	7.60	0.00	7.60	70.0	5.32
07/13/2018	91789542 FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	30.40	0.00	30.40	70.0	21.28
07/13/2018	91886872 ABBOTT, NANCYE/JAMES	SIGNAL SECURITIES RIA	B	0.00	272.80	0.00	272.80	70.0	190.96
07/13/2018	95761721 KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	4.00	0.00	4.00	70.0	2.80
07/13/2018	96915588 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	8.80	0.00	8.80	70.0	6.16
07/13/2018	97397744 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	74.40	0.00	74.40	70.0	52.08
* PALMERSHEIM/SNOW SUBTOTAL *				\$175.00	\$7,726.80	\$0.00	\$7,726.80		\$5,408.74
BROKER: ERIC CHARLES GUTH #9617									
07/13/2018	11999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	261.84	0.00	261.84	70.0	183.29
07/13/2018	11999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	255.92	0.00	255.92	70.0	179.14
07/13/2018	13081653 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	15.60	0.00	15.60	70.0	10.92
07/13/2018	17017159 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	1.12	0.00	1.12	70.0	0.78
07/13/2018	24551097 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	353.04	0.00	353.04	70.0	247.13

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
07/13/2018	24551097 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	63.60	0.00	63.60	70.0	44.52
07/13/2018	27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	479.04	0.00	479.04	70.0	335.33
07/13/2018	27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	73.36	0.00	73.36	70.0	51.35
07/13/2018	29396124 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	16.80	0.00	16.80	70.0	11.76
07/13/2018	32611628 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	19.44	0.00	19.44	70.0	13.61
07/13/2018	34872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	372.96	0.00	372.96	70.0	261.07
07/13/2018	34872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	211.60	0.00	211.60	70.0	148.12
07/13/2018	37351723 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	191.20	0.00	191.20	70.0	133.84
07/13/2018	39099528 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	24.40	0.00	24.40	70.0	17.08
07/13/2018	52065317 EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	B	0.00	304.80	0.00	304.80	70.0	213.36
07/13/2018	52065317 EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	B	0.00	26.72	0.00	26.72	70.0	18.70
07/13/2018	58050029 RAINES, JOEY SHANKLAND	SIGNAL SECURITIES RIA	B	0.00	14.08	0.00	14.08	70.0	9.86
07/13/2018	68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	285.60	0.00	285.60	70.0	199.92
07/13/2018	68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	122.16	0.00	122.16	70.0	85.51
07/13/2018	84865050 SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	B	0.00	247.92	0.00	247.92	70.0	173.54
07/13/2018	84865050 SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	B	0.00	56.80	0.00	56.80	70.0	39.76
07/13/2018	86608828 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	16.96	0.00	16.96	70.0	11.87
07/13/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	316.56	0.00	316.56	70.0	221.59
07/13/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	0.64	0.00	0.64	70.0	0.45
07/13/2018	90329067 RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	95.68	0.00	95.68	70.0	66.98
07/13/2018	94409837 RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	5.92	0.00	5.92	70.0	4.14
07/13/2018	98008502 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	10.24	0.00	10.24	70.0	7.17
07/13/2018	98257329 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	220.40	0.00	220.40	70.0	154.28
07/13/2018	98569607 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	34.72	0.00	34.72	70.0	24.30
* ERIC CHARLES GUTH SUBTOTAL *				\$0.00	\$4,099.12	\$0.00	\$4,099.12		\$2,869.37
*** SPLIT TRANSACTIONS TOTAL ***				\$353.78	\$12,666.33	\$0.00	\$12,666.33		\$8,866.40

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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Check Amount	\$23,916.09
Year-to-date Earnings	\$160,852.93