

COMMISSION STATEMENT

May 31, 2018

KINGSBURY INC.

BROKER #: 86

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/30/2018	75597015	EDWARDS, PAMELA		GLOBAL OPPORTUNITIES A	B	37,500.00	1,781.26	0.00	1,781.26 100.0 1,781.26
05/23/2018	75597024	PRESKILL, RICHARD		FRK FL TF INC FND - CL A	S	5,000.00	0.00	0.00	0.00 100.0 0.00
05/11/2018	75597035	PERKINSON, DOLORES		FRANKLIN U.S. GOVERNMENT SECURITIES S	S	1,500.00	0.00	0.00	0.00 100.0 0.00
05/04/2018	75597074	WILKOS, STANLEY		GOLDMAN SACHS GROWTH & INCOME FUND S	S	2,914.00	0.00	0.00	0.00 100.0 0.00
05/07/2018	75597074	WILKOS, STANLEY		GOLDMAN SACHS GROWTH & INCOME FUND S	S	50.00	0.00	0.00	0.00 100.0 0.00
05/02/2018	75597075	VONEY, SUSAN		FRANKLIN TEMPLETON FUNDS CUST FDS U S	S	10,000.00	0.00	0.00	0.00 100.0 0.00
05/02/2018	75597075	VONEY, SUSAN		INVESTMENT COMPANY OF AMERICA CLASS S	S	2,000.00	0.00	0.00	0.00 100.0 0.00
05/22/2018	75597173	NELSON, CAROLE		MAINSTAY LARGE CAP GROWTH FUND CLAS S	S	800.00	0.00	0.00	0.00 100.0 0.00
				* MUTUAL FUNDS SUBTOTAL *		\$59,764.00	\$1,781.26	\$0.00	\$1,781.26
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
05/29/2018	75597008	VELLON, MICHAEL		UIT GUGGENHEIM DEFINED PORTFOL SER B	B	20,006.03	400.06	12.00	388.06 100.0 388.06
05/29/2018	75597046	MENZ, SUSAN		UIT GUGGENHEIM DEFINED PORTFOL SER B	B	8,000.45	159.98	12.00	147.98 100.0 147.98
05/29/2018	75597145	WEBSTER, JAMES		UIT GUGGENHEIM DEFINED PORTFOL SER B	B	9,001.73	180.00	12.00	168.00 100.0 168.00
05/29/2018	75597158	MILES, ALVERTA		UIT GUGGENHEIM DEFINED PORTFOL SER B	B	10,003.01	200.04	12.00	188.04 100.0 188.04
05/29/2018	75597167	SCHNEPF, CONSTANCE		UIT GUGGENHEIM DEFINED PORTFOL SER B	B	30,009.04	600.10	12.00	588.10 100.0 588.10
				* UNIT INVESTMENT TRUSTS SUBTOTAL *		\$77,020.26	\$1,540.18	\$60.00	\$1,480.18
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/31/2018				FEE COUNT - 295		0.00	2,558.90	0.00	2,558.90 100.0 2,558.90
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$2,558.90	\$0.00	\$2,558.90
				*** BROKER TRANSACTIONS TOTAL ***		\$136,784.26	\$5,880.34	\$60.00	\$5,820.34

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ADJUSTMENTS									
05/31/2018		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
05/31/2018		OTHER: MARGIN CREDIT		0.00	0.00	0.00	0.00		781.42
05/31/2018		OTHER: OTHER		0.00	0.00	0.00	0.00		-1,800.00
05/31/2018		OTHER: RBC - WEALTH STATIONS		0.00	0.00	0.00	0.00		-365.00
05/31/2018		OTHER: REVERSE- SIPC & FINRA CHARGE		0.00	0.00	0.00	0.00		26.62
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-1,366.96

BROKER COMMISSION TOTALS

Broker Transactions	\$5,820.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,366.96
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-7.35
SIPC Assessment	\$-14.70

Check Amount	\$4,431.33
Year-to-date Earnings	\$17,993.80