



Concorde Investment Services, LLC
COMMISSION STATEMENT
November 15, 2017

11/13/2017
Page No. 1

JBB JEN-BRENT-BRION TRU-DONALD-LEE-NORBY

BROKER #: JBB
BRANCH: TRUWEALTH FINANCIAL-

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^10/26/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	-1,000.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-1,000.00	\$0.00	\$0.00	\$0.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-1,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^10/19/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.60	0.00	1.60	100.0	0.00
^10/27/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.45	0.00	0.45	100.0	0.00
^10/20/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.75	0.00	0.75	100.0	0.00
^10/24/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	2.28	0.00	2.28	100.0	0.00
^10/30/2017	NEWBERRY TRUST, GLORIA	INVESCO GROWTH AND INCOME FUND CLAS	0.00	0.02	0.00	0.02	100.0	0.00
^10/17/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.12	0.00	0.12	100.0	0.00
^10/17/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	1.01	0.00	1.01	100.0	0.00
^10/17/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	4.98	0.00	4.98	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$11.21	\$0.00	\$11.21		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$11.21	\$0.00	\$11.21		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
11/15/2017		*CONCIERGE SVC: 201182 SULLIVAN	0.00					0.00
11/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					0.01
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.01

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.05
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.06
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed