



All Companies
COMMISSION STATEMENT
August 01, 2018

07/30/2018
Page No. 1

EDWARD F. TATE
4620 CUMMINGS DRIVE E

Fort Worth, TX 76180-

BROKER #: 9526
BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD F. TATE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	0.43	0.43	0.00	0.43	65.0	0.28
06/29/2018	MATHEW, JOHN	COLLEGEBOUND 529	B	100.00	3.50	0.00	3.50	65.0	2.28
06/30/2018	TRAILS	COLLEGEBOUND 529	B	13.24	13.24	0.00	13.24	65.0	8.61
07/16/2018	00074538041 HOLT, ROY	AMERICAN FUNDS INVESTMENT COMP OF A B		150.00	2.40	0.00	2.40	65.0	1.56
07/16/2018	00074538041 HOLT, ROY	AMERICAN FUNDS WA MUTUAL INVES B		150.00	2.40	0.00	2.40	65.0	1.56
07/16/2018	00074658668 JONES, REYNOLD C.	AMERICAN FUNDS CAPITAL INCOME BUILD B		50.00	1.38	0.00	1.38	65.0	0.90
07/16/2018	00074658668 JONES, REYNOLD C.	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	1.38	0.00	1.38	65.0	0.90
07/16/2018	00074658668 JONES, REYNOLD C.	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	1.38	0.00	1.38	65.0	0.90
07/16/2018	00074658668 JONES, REYNOLD C.	AMERICAN FUNDS WA MUTUAL INVES B		50.00	1.38	0.00	1.38	65.0	0.90
07/16/2018	00074976150 EVERETT JR, MICHAEL/AIDAN	WASHINGTON MUTUAL INVESTORS FUND-52 B		100.00	2.75	0.00	2.75	65.0	1.79
07/16/2018	00081207203 EVERETT, MORGAN	AMERICAN FUNDS INVESTMENT COMP OF A B		200.00	5.50	0.00	5.50	65.0	3.58
07/16/2018	00081329147 HAWKINS, KENZI	THE GROWTH FUND OF AMERICA-529A B		100.00	4.25	0.00	4.25	65.0	2.76
07/16/2018	00081802103 NICHOLS, ANGELA	AMERICAN FUNDS 2040 TARGET DATE-A B		75.00	3.75	0.00	3.75	65.0	2.44
07/16/2018	00081802103 NICHOLS, ANGELA	AMERICAN FUNDS CAPITAL WORLD GROWT B		175.00	8.75	0.00	8.75	65.0	5.69
07/16/2018	00084081417 EVERETT JR, MICHAEL/LUCAS	WASHINGTON MUTUAL INVESTORS FUND-52 B		100.00	2.75	0.00	2.75	65.0	1.79
07/16/2018	00087426832 EVERETT, LUCAS	WASHINGTON MUTUAL INVESTORS FUND-52 B		100.00	2.75	0.00	2.75	65.0	1.79
07/20/2018	00088327158 HARNELL, LILIYA A.	AMERICAN FUNDS INVESTMENT COMP OF A B		100.00	5.00	0.00	5.00	65.0	3.25
07/11/2018	04892926623 BALLARD, ALEXANDRIA	ALLIANCE PREMIER GROWTH FD CL A B		200.00	8.00	0.00	8.00	65.0	5.20
07/02/2018	07900035229 LUNDREN, TERRY/STEPHANIE	ALLIANCE PREMIER GROWTH FD CL B B		100.00	4.00	0.00	4.00	65.0	2.60
* MUTUAL FUNDS SUBTOTAL *				\$1,863.67	\$74.99	\$0.00	\$74.99		\$48.78
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/01/2018	TRAIL COMMISSIONS	WELLS FARGO FUNDS FEES		0.00	18.18	0.00	18.18	65.0	11.81
08/01/2018	TRAIL COMMISSIONS	ALLIANCE BERNSTEIN FUNDS FEES		0.00	210.32	0.00	210.32	65.0	136.71
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$228.50	\$0.00	\$228.50		\$148.52
*** BROKER TRANSACTIONS TOTAL ***				\$1,863.67	\$303.49	\$0.00	\$303.49		\$197.30

COMMISSION STATEMENT for EDWARD F. TATE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

08/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
08/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$197.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-62.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$7.80
Year-to-date Earnings	\$2,341.19