



All Companies
COMMISSION STATEMENT
June 01, 2018

05/30/2018
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MICHAEL C. MOYNIHAN
700 Throckmorton

BROKER #: 9528
BRANCH: HOME OFFICE

Fort Worth, TX 76102

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/07/2018	HALL, ALISA LYNN	-JOHN HANCOCK FREEDOM 529	B	500.00	18.75	0.00	18.75	90.0	16.88
04/30/2018	TRAILS	-TEXAS 529 PLAN	B	5.42	5.42	0.00	5.42	90.0	4.88
04/30/2018	TRAILS	-TEXAS 529 PLAN	B	0.64	0.64	0.00	0.64	90.0	0.58
04/30/2018	TRAILS	-TEXAS 529 PLAN	B	12.19	12.19	0.00	12.19	90.0	10.97
05/01/2018	RICHWINE, RANDALL	-TEXAS 529 PLAN	B	400.00	16.00	0.00	16.00	90.0	14.40
05/01/2018	RICHWINE, RANDALL	-TEXAS 529 PLAN	B	400.00	16.00	0.00	16.00	90.0	14.40
05/10/2018	PARISH, RUSSELL	-TEXAS 529 PLAN	B	150.00	7.13	0.00	7.13	90.0	6.42
05/10/2018	PARISH, RUSSELL	-TEXAS 529 PLAN	B	150.00	7.13	0.00	7.13	90.0	6.42
05/15/2018	HUDGINS/HYDINGER, CHRISTY/ELLERY	-TEXAS 529 PLAN	B	50.00	2.38	0.00	2.38	90.0	2.14
05/15/2018	000000000705804 STRAWSER, ROBERT K.	FRK TEMP COREFOLIO ALLOC FND CL - A B		200.00	10.00	0.00	10.00	90.0	9.00
05/10/2018	000000000709446 WALL, MATTHEW/ADRIENN A.	FRK TEMP COREFOLIO ALLOC FND CL - A B		500.35	25.00	0.00	25.00	90.0	22.50
05/15/2018	000000000874040 STRAWSER, LAURA	FRK AGGRESSIVE GRWTH - CL A	B	150.00	7.50	0.00	7.50	90.0	6.75
05/15/2018	0000000001032921 BLADEN, WILLIAM T.	FRK AGGRESSIVE GRWTH - CL A	B	458.33	7.33	0.00	7.33	90.0	6.60
05/15/2018	0000000001281157 BLADEN, WILLIAM /KATHRI	FRK AGGRESSIVE GRWTH - CL A	B	100.00	1.60	0.00	1.60	90.0	1.44
05/15/2018	0000000001889841 BLADEN, WILLIAM T.	MUTUAL DISC FND - CL A	B	100.00	1.60	0.00	1.60	90.0	1.44
05/15/2018	0000000003044308 BLADEN, WILLIAM T.	FRANKLIN MUTUAL SHARES FD CL 1	B	100.00	1.60	0.00	1.60	90.0	1.44
05/15/2018	0000000004809378 BLADEN, KATHRINE	FRK TEMP FOUNDING FUNDS ALLOC FND C B		458.33	7.33	0.00	7.33	90.0	6.60
05/07/2018	0000000005773748 DUNKIN, PHILLIP/COLBY	GROWTH ASSET ALLOC 13-16 YRS - CLAS B		50.00	1.40	0.00	1.40	90.0	1.26
05/15/2018	0000000006891410 BLADEN, WILLIAM	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B		100.00	1.60	0.00	1.60	90.0	1.44
05/15/2018	0000000007430553 BLADEN,	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B		300.00	4.80	0.00	4.80	90.0	4.32

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
WILLIAM/BRYNJA									
05/15/2018	000013912664577 STRAWSER, LAURA	FRANKLIN SPECIAL EQUITY INCOME FD C B		150.00	7.50	0.00	7.50	90.0	6.75
05/15/2018	000015815261389 BLADEN, WILLIAM T.	FRANKLIN RISING DIVIDEND FD CL I B		100.00	1.60	0.00	1.60	90.0	1.44
05/07/2018	00001611968 BELCHER, LORENA	LIFESTYLE AGGRESSIVE PORTFOLIO A B		300.00	12.75	0.00	12.75	90.0	11.48
05/11/2018	00001664121 HUTCHISON, VIKKI	LIFESTYLE GROWTH PORTFOLIO A B		223.08	6.36	0.00	6.36	90.0	5.72
05/07/2018	00001664145 KANESHIRO, JOAN A	LIFESTYLE GROWTH PORTFOLIO A B		200.00	8.50	0.00	8.50	90.0	7.65
05/03/2018	00001731052 MITCHELL, NATALIE	LIFESTYLE BALANCED PORTFOLIO A B		230.00	8.63	0.00	8.63	90.0	7.77
05/17/2018	00001731052 MITCHELL, NATALIE	LIFESTYLE BALANCED PORTFOLIO A B		230.00	8.63	0.00	8.63	90.0	7.77
05/15/2018	00001827274 MARTIN, BECK/HEATHER	LIFESTYLE MODERATE PORTFOLIO A B		100.00	3.75	0.00	3.75	90.0	3.38
05/01/2018	00001827877 LOTT, JEANNE	LIFESTYLE MODERATE PORTFOLIO C B		100.00	1.00	0.00	1.00	90.0	0.90
05/15/2018	00002042873 MARTIN, BECK	US GLOBAL LEADERS GROWTH A B		200.00	7.50	0.00	7.50	90.0	6.75
05/15/2018	00002108774 KIZA, EILEEN	LIFESTYLE BALANCED PORTFOLIO C B		50.00	0.50	0.00	0.50	90.0	0.45
05/15/2018	00002109067 KIZA, EILEEN	LIFESTYLE GROWTH PORTFOLIO A B		200.00	7.50	0.00	7.50	90.0	6.75
05/17/2018	00002119967 MATHIS, JANET	LIFESTYLE GROWTH PORTFOLIO A B		149.99	6.37	0.00	6.37	90.0	5.73
05/14/2018	00002172270 HALL, HARRISON	JOHN HANCOCK GROWTH & INCOME FD CL B		506.32	14.43	0.00	14.43	90.0	12.99
05/14/2018	00002172270 HALL, HARRISON	JOHN HANCOCK GROWTH & INCOME FD CL B		165.00	4.70	0.00	4.70	90.0	4.23
05/14/2018	00002172271 HALL, HARRISON	CLASSIC VALUE A B		506.32	14.43	0.00	14.43	90.0	12.99
05/14/2018	00002172271 HALL, HARRISON	CLASSIC VALUE A B		165.00	4.70	0.00	4.70	90.0	4.23
05/14/2018	00002172273 HALL, HARRISON	NEW OPPORTUNITIES A B		165.00	4.70	0.00	4.70	90.0	4.23
05/14/2018	00002172273 HALL, HARRISON	NEW OPPORTUNITIES A B		506.32	14.43	0.00	14.43	90.0	12.99
05/14/2018	00002172274 HALL, HARRISON	GLOBAL SHAREHOLDER YIELD A B		82.50	2.35	0.00	2.35	90.0	2.12
05/14/2018	00002172274 HALL, HARRISON	GLOBAL SHAREHOLDER YIELD A B		253.16	7.22	0.00	7.22	90.0	6.50
05/14/2018	00002172275 HALL, HARRISON	JOHN HANCOCK HIGH YLD BD FD CL A B		253.16	7.59	0.00	7.59	90.0	6.83
05/14/2018	00002172275 HALL, HARRISON	JOHN HANCOCK HIGH YLD BD FD CL A B		82.50	2.48	0.00	2.48	90.0	2.23
05/14/2018	00002172276 HALL, HARRISON	JOHN HANCOCK SOVEREIGN BD FD CL A B		253.16	7.59	0.00	7.59	90.0	6.83
05/14/2018	00002172276 HALL, HARRISON	JOHN HANCOCK SOVEREIGN BD FD CL A B		82.50	2.48	0.00	2.48	90.0	2.23
05/14/2018	00002172277 HALL, HARRISON	FLOATING RATE INCOME A B		82.50	1.24	0.00	1.24	90.0	1.12
05/14/2018	00002172277 HALL, HARRISON	FLOATING RATE INCOME A B		253.16	3.80	0.00	3.80	90.0	3.42
05/14/2018	00002172323 WIGGINS, ASHLEY	LIFESTYLE GROWTH PORTFOLIO A B		266.19	7.59	0.00	7.59	90.0	6.83
05/14/2018	00002172323 WIGGINS, ASHLEY	LIFESTYLE GROWTH PORTFOLIO A B		266.19	7.59	0.00	7.59	90.0	6.83
05/14/2018	00002174074 TERRY, BRANDI	LIFESTYLE GROWTH PORTFOLIO A B		208.33	5.94	0.00	5.94	90.0	5.35
05/14/2018	00002174074 TERRY, BRANDI	LIFESTYLE GROWTH PORTFOLIO A B		208.32	5.94	0.00	5.94	90.0	5.35
05/14/2018	00002177456 KORKUTOVIC, SEVLIJA	LIFESTYLE MODERATE PORTFOLIO A B		235.72	6.72	0.00	6.72	90.0	6.05
05/14/2018	00002177456 KORKUTOVIC, SEVLIJA	LIFESTYLE MODERATE PORTFOLIO A B		235.72	6.72	0.00	6.72	90.0	6.05
05/14/2018	00002393597 CUTSHALL, ALISON	LIFESTYLE GROWTH PORTFOLIO A B		174.68	4.98	0.00	4.98	90.0	4.48

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
N.										
05/14/2018	00002393597	CUTSHALL, ALISON	LIFESTYLE GROWTH PORTFOLIO A	B	174.68	4.98	0.00	4.98	90.0	4.48
N.										
05/14/2018	00002393605	EUBANKS, STEPHANIE	LIFESTYLE GROWTH PORTFOLIO A	B	213.37	6.08	0.00	6.08	90.0	5.47
A.										
05/14/2018	00002393605	EUBANKS, STEPHANIE	LIFESTYLE GROWTH PORTFOLIO A	B	213.37	6.08	0.00	6.08	90.0	5.47
A.										
05/01/2018	00052796859	LOTT, BRIAN K	PORTFOLIO 2025-2028 A	B	100.00	4.25	0.00	4.25	90.0	3.83
05/15/2018	00052962457	BELCHER, JAMES	PORTFOLIO 2033-2036 A	B	50.00	2.13	0.00	2.13	90.0	1.92
W/JAMES C										
05/07/2018	00073345834	VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B		26.44	0.73	0.00	0.73	90.0	0.66
05/07/2018	00073345834	VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B		26.44	0.73	0.00	0.73	90.0	0.66
05/16/2018	00075397174	MCCARTHY, CHRIS/ANNALIE	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
05/15/2018	00075979142	LARA-MONTGOMERY, JENNIFER A	AMERICAN FUNDS CAPITAL WORLD GROWT B		100.00	2.00	0.00	2.00	90.0	1.80
05/16/2018	00081508155	MCCARTHY, CHRIS/OLIVIA	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
05/15/2018	00082630504	THURMAN, MEGAN	AMERICAN FUNDS CAPITAL INCOME BUILD B		50.00	2.50	0.00	2.50	90.0	2.25
05/15/2018	00082697643	MCEACHERN, KYLIE	CAPITAL WORLD GROWTH AND INCOME-529 B		100.00	4.25	0.00	4.25	90.0	3.83
05/15/2018	00082910467	BANKS, DENNIS	NEW WORLD FUND-A	B	100.00	5.00	0.00	5.00	90.0	4.50
05/15/2018	00083124311	MONTGOMERY, ZANDER	CAPITAL WORLD GROWTH AND INCOME-529 B		75.00	1.50	0.00	1.50	90.0	1.35
05/15/2018	00084124051	PARISH/ALLEN, VICTORIA/JULIE	CAPITAL INCOME BUILDER-529A	B	25.00	1.06	0.00	1.06	90.0	0.95
05/15/2018	00084124070	PARISH/ALLEN, VICTORIA/JULIE	CAPITAL INCOME BUILDER-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
05/15/2018	00085130702	LOPEZ, PAUL	FUNDAMENTAL INVESTORS-529A	B	25.00	1.25	0.00	1.25	90.0	1.13
05/15/2018	00085752451	MONTGOMERY, ZANDER	CAPITAL WORLD GROWTH AND INCOME-529 B		75.00	1.50	0.00	1.50	90.0	1.35
05/11/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT B		148.81	5.58	0.00	5.58	90.0	5.02
05/11/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT B		74.40	2.79	0.00	2.79	90.0	2.51
05/11/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD	B	148.80	5.58	0.00	5.58	90.0	5.02
05/11/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD	B	74.41	2.79	0.00	2.79	90.0	2.51
05/15/2018	00087076225	PARISH/ALLEN, VICTORIA/JULIE	CAPITAL WORLD GROWTH AND INCOME-529 B		50.00	2.13	0.00	2.13	90.0	1.92
05/15/2018	00087416414	WHITE, CINDY C.	GROWTH PORTFOLIO-A	B	150.00	7.50	0.00	7.50	90.0	6.75
05/15/2018	00088409989	PARISH/ALLEN, VICTORIA/JULIE	COLLEGE 2030 FUND-529A	B	50.00	1.75	0.00	1.75	90.0	1.58
05/15/2018	00088439412	RICHARDSON, CLAY & BRIANA	COLLEGE 2030 FUND-529A	B	500.00	17.50	0.00	17.50	90.0	15.75
05/15/2018	00088439441	RICHARDSON, CLAY &	COLLEGE 2030 FUND-529A	B	500.00	17.50	0.00	17.50	90.0	15.75

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
BRIANA									
05/07/2018	00088625904 SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A	B	59.37	1.63	0.00	1.63	90.0	1.47
05/07/2018	00088625904 SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A	B	150.00	4.13	0.00	4.13	90.0	3.72
05/07/2018	00088628251 GRINDELE, RUSSELL	AMERICAN FUNDS 2025 TARGET DATE-A	B	26.40	0.73	0.00	0.73	90.0	0.66
G.									
05/07/2018	00088628251 GRINDELE, RUSSELL	AMERICAN FUNDS 2025 TARGET DATE-A	B	26.40	0.73	0.00	0.73	90.0	0.66
G.									
05/16/2018	00089201070 PARISH,	COLLEGE 2033 FUND-529A	B	50.00	1.75	0.00	1.75	90.0	1.58
SAMMY/CALEB									
05/07/2018	00089316769 JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A	B	10.00	0.28	0.00	0.28	90.0	0.25
05/07/2018	00089316769 JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A	B	10.00	0.28	0.00	0.28	90.0	0.25
05/07/2018	00089357575 CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A	B	28.80	0.79	0.00	0.79	90.0	0.71
05/07/2018	00089357575 CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A	B	70.00	1.93	0.00	1.93	90.0	1.74
05/15/2018	00207266994 INGRAM, MEGAN	PORTFOLIO 2029-2032 A	B	50.00	2.13	0.00	2.13	90.0	1.92
L/MONDRAE									
05/04/2018	01471247542 OWENS, CATHERINE J.	FIDELITY ADV ASSET MANAGER 85%-CL A	B	458.33	22.92	0.00	22.92	90.0	20.63
05/15/2018	02000061966 MARTIN,	AGE BASED PORTFOLIO 30 A	B	100.00	3.00	0.00	3.00	90.0	2.70
BECK/REBECCA									
05/15/2018	04000405006 HILLMAN, DUSTIN	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	90.0	3.15
05/14/2018	04000527061 DE SMIT, PAUL	COLLEGE 2033 FUND-529A	B	250.00	5.00	0.00	5.00	90.0	4.50
05/15/2018	04000928379 UNRUH, TIFFANY L.	AMERICAN FUNDS 2040 TARGET DATE-A	B	100.00	5.00	0.00	5.00	90.0	4.50
05/07/2018	07000073711 MINTER, MERIDITH	PRINCIPAL INVESTORS SAM STRAT GRO (B	229.16	9.17	0.00	9.17	90.0	8.25
05/07/2018	07000073711 MINTER, MERIDITH	PRINCIPAL INVESTORS WEST COAST EQTY	B	229.17	9.17	0.00	9.17	90.0	8.25
05/15/2018	07004631807 INGRAM, MEGAN	PORTFOLIO 2033-2036 A	B	50.00	2.13	0.00	2.13	90.0	1.92
/MORGHAN									
05/07/2018	08900188177 MINTER, MERIDITH	PRINCIPAL INVESTORS SAM CONSERV GRO	B	1,000.00	10.00	0.00	10.00	90.0	9.00
05/01/2018	5551032020 WHITT, CHAD M.	PORTFOLIO SERIES: EQUITY INVESTOR F	B	200.00	9.50	0.00	9.50	90.0	8.55
* MUTUAL FUNDS SUBTOTAL *				\$17,768.43	\$580.93	\$0.00	\$580.93		\$522.93
<u>PRODUCT CATEGORY: STOCKS</u>									
05/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	126.18	126.18	0.00	126.18	90.0	113.56
* STOCKS SUBTOTAL *				\$126.18	\$126.18	\$0.00	\$126.18		\$113.56
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/15/2018	RICHARDSON, BRIANA	-JACKSON NATIONAL	B	5,000.00	62.50	0.00	62.50	90.0	56.25
05/04/2018	TRAILS	-JOHN HANCOCK	B	107.64	107.64	0.00	107.64	90.0	96.88
05/01/2018	TRAILS	GENWORTH LIFE ANNUITY	B	28.14	28.47	0.00	28.47	90.0	25.62
* VARIABLE ANNUITIES SUBTOTAL *				\$5,135.78	\$198.61	\$0.00	\$198.61		\$178.75

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018 11567839	BARTON, LISA D	SIGNAL SECURITIES RIA	B	0.00	7.67	0.00	7.67	90.0	6.90
04/13/2018 11750216	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	95.33	0.00	95.33	90.0	85.80
04/13/2018 11754268	KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	21.33	0.00	21.33	90.0	19.20
04/13/2018 13004139	SUTTON, TERRE I	SIGNAL SECURITIES RIA	B	0.00	34.00	0.00	34.00	90.0	30.60
04/13/2018 14849603	SCASTA, BRANDI	SIGNAL SECURITIES RIA	B	0.00	16.67	0.00	16.67	90.0	15.00
04/13/2018 15090246	RICHWINE, RANDALL & KIMBE	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	90.0	9.00
04/13/2018 15327103	PARISH, VICTORIA J	SIGNAL SECURITIES RIA	B	0.00	42.33	0.00	42.33	90.0	38.10
04/13/2018 15535924	HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	779.00	0.00	779.00	90.0	701.10
04/13/2018 15961193	RICE, JULIE D	SIGNAL SECURITIES RIA	B	0.00	142.67	0.00	142.67	90.0	128.40
04/13/2018 16538757	HANKINS, GARY & DEBRA	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	90.0	9.30
04/13/2018 16731246	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	90.0	0.60
04/13/2018 16737554	BROWN, WILMA JO	SIGNAL SECURITIES RIA	B	0.00	220.00	0.00	220.00	90.0	198.00
04/13/2018 16830093	HALL, MICHAEL & AIMEE	SIGNAL SECURITIES RIA	B	0.00	1,065.67	0.00	1,065.67	90.0	959.10
04/13/2018 19861374	GOLDENBERG, KRISTY	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
04/13/2018 20873922	WEST, MARSHA R	SIGNAL SECURITIES RIA	B	0.00	36.33	0.00	36.33	90.0	32.70
04/13/2018 20966370	MCCARTHY, CHRIS/CANDICE	SIGNAL SECURITIES RIA	B	0.00	4.00	0.00	4.00	90.0	3.60
04/13/2018 21210794	KIGER, MICHAEL K	SIGNAL SECURITIES RIA	B	0.00	189.00	0.00	189.00	90.0	170.10
04/13/2018 21603998	PALO, REBECCA K.	SIGNAL SECURITIES RIA	B	0.00	71.67	0.00	71.67	90.0	64.50
04/13/2018 22006691	MCCOY, RAYMOND/LINDA	SIGNAL SECURITIES RIA	B	0.00	580.00	0.00	580.00	90.0	522.00
04/13/2018 22739240	COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	27.33	0.00	27.33	90.0	24.60
04/13/2018 23822702	STRAWSER, ROBERT KEVIN	SIGNAL SECURITIES RIA	B	0.00	310.00	0.00	310.00	90.0	279.00
04/13/2018 25342680	VISTINE, TIM A	SIGNAL SECURITIES RIA	B	0.00	16.33	0.00	16.33	90.0	14.70
04/13/2018 26871153	VISTINE, CHARLES DAVID	SIGNAL SECURITIES RIA	B	0.00	174.33	0.00	174.33	90.0	156.90
04/13/2018 27056511	SUTTON, TERRE I	SIGNAL SECURITIES RIA	B	0.00	147.67	0.00	147.67	90.0	132.90
04/13/2018 27336748	SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67	90.0	20.40
04/13/2018 27636763	MCCRANIE, JACK & PERRI	SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00	90.0	18.00
04/13/2018 29148734	POWELL, LAMONT	SIGNAL SECURITIES RIA	B	0.00	173.33	0.00	173.33	90.0	156.00
04/13/2018 29861027	ZIMMERMAN, BROCK S	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	90.0	12.00
04/13/2018 30118591	PARISH, RUSSELL & KELLY	SIGNAL SECURITIES RIA	B	0.00	188.00	0.00	188.00	90.0	169.20
04/13/2018 30212369	COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	71.67	0.00	71.67	90.0	64.50
04/13/2018 30854428	HARTMAN, MATTIE E	SIGNAL SECURITIES RIA	B	0.00	40.67	0.00	40.67	90.0	36.60
04/13/2018 30933785	JAYMES, SHELEEN A	SIGNAL SECURITIES RIA	B	0.00	72.67	0.00	72.67	90.0	65.40
04/13/2018 31462737	BOBER, KATHERINE K	SIGNAL SECURITIES RIA	B	0.00	23.67	0.00	23.67	90.0	21.30

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	31660678 SPENCER, KELLI/ABBY	SIGNAL SECURITIES RIA	B	0.00	9.67	0.00	9.67	90.0	8.70
04/13/2018	31805488 ZIMMERMAN, MARY ALYCE	SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67	90.0	20.40
04/13/2018	31811649 WILLIAMS, BRENDA ANN	SIGNAL SECURITIES RIA	B	0.00	25.33	0.00	25.33	90.0	22.80
04/13/2018	32562790 SPENCER, KELLI S	SIGNAL SECURITIES RIA	B	0.00	216.00	0.00	216.00	90.0	194.40
04/13/2018	33609517 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	69.67	0.00	69.67	90.0	62.70
04/13/2018	33996338 CAMPBELL, IAN & MARY	SIGNAL SECURITIES RIA	B	0.00	153.00	0.00	153.00	90.0	137.70
04/13/2018	34850080 RICE, BRIAN & JULIE	SIGNAL SECURITIES RIA	B	0.00	220.00	0.00	220.00	90.0	198.00
04/13/2018	36650710 GOLDENBERG, ADAM M	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
04/13/2018	36816725 PHILP, JAMES & BETH	SIGNAL SECURITIES RIA	B	0.00	642.67	0.00	642.67	90.0	578.40
04/13/2018	37455579 HILLMAN, DUSTIN & CHELSY	SIGNAL SECURITIES RIA	B	0.00	28.00	0.00	28.00	90.0	25.20
04/13/2018	37648579 LUBWAMA, ADRIAN & ROSETT	SIGNAL SECURITIES RIA	B	0.00	8.33	0.00	8.33	90.0	7.50
04/13/2018	38767645 LOONEY, RANDY L	SIGNAL SECURITIES RIA	B	0.00	168.67	0.00	168.67	90.0	151.80
04/13/2018	38839950 CAMPBELL, MARY G	SIGNAL SECURITIES RIA	B	0.00	171.33	0.00	171.33	90.0	154.20
04/13/2018	39267061 KASPER, ANTHONY T	SIGNAL SECURITIES RIA	B	0.00	44.00	0.00	44.00	90.0	39.60
04/13/2018	39273336 PARISH, SAM D	SIGNAL SECURITIES RIA	B	0.00	136.00	0.00	136.00	90.0	122.40
04/13/2018	39438762 LUCUS, THOMAS BRENT	SIGNAL SECURITIES RIA	B	0.00	119.33	0.00	119.33	90.0	107.40
04/13/2018	39508930 LIPP, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
04/13/2018	39898429 BELCHER, TAMMY JEAN	SIGNAL SECURITIES RIA	B	0.00	50.67	0.00	50.67	90.0	45.60
04/13/2018	40149138 SAUNDERS, MARTHA	SIGNAL SECURITIES RIA	B	0.00	45.67	0.00	45.67	90.0	41.10
04/13/2018	40488610 SHISLER, LOREN W/JOYCE	SIGNAL SECURITIES RIA	B	0.00	171.33	0.00	171.33	90.0	154.20
04/13/2018	41387564 HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	165.67	0.00	165.67	90.0	149.10
04/13/2018	41427542 MEADE, ROBERT & GAIL	SIGNAL SECURITIES RIA	B	0.00	262.67	0.00	262.67	90.0	236.40
04/13/2018	41566521 MCCARTHY, CANDICE M	SIGNAL SECURITIES RIA	B	0.00	42.00	0.00	42.00	90.0	37.80
04/13/2018	41607521 MCCARTHY, CHRIS/CANDICE	SIGNAL SECURITIES RIA	B	0.00	39.67	0.00	39.67	90.0	35.70
04/13/2018	41778487 VISTINE, TIM A	SIGNAL SECURITIES RIA	B	0.00	114.00	0.00	114.00	90.0	102.60
04/13/2018	43046430 BETTIS, ZACHERY & ASHLE	SIGNAL SECURITIES RIA	B	0.00	5.00	0.00	5.00	90.0	4.50
04/13/2018	44608676 MACNAUGHTON, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	51.33	0.00	51.33	90.0	46.20
04/13/2018	44819267 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	122.00	0.00	122.00	90.0	109.80
04/13/2018	45214078 SAUNDERS, MARTHA	SIGNAL SECURITIES RIA	B	0.00	15.33	0.00	15.33	90.0	13.80
04/13/2018	45397194 CAMPBELL, IAN & MARY	SIGNAL SECURITIES RIA	B	0.00	272.00	0.00	272.00	90.0	244.80
04/13/2018	45838820 COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	43.00	0.00	43.00	90.0	38.70
04/13/2018	46403906 ROYAL, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	55.67	0.00	55.67	90.0	50.10
04/13/2018	46599623 RICHWINE, RANDALL & KIMBE	SIGNAL SECURITIES RIA	B	0.00	41.00	0.00	41.00	90.0	36.90

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	48515094 SHISLER, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	6.67	0.00	6.67	90.0	6.00
04/13/2018	49164045 BETTIS, ZACHERY & ASHLE	SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33	90.0	5.70
04/13/2018	49201215 RICHARDSON, CLAY & BRIANA	SIGNAL SECURITIES RIA	B	0.00	432.67	0.00	432.67	90.0	389.40
04/13/2018	49301407 HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	758.33	0.00	758.33	90.0	682.50
04/13/2018	49711323 RUSSELL, JAMES E	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
04/13/2018	50668393 MILLER FAMILY TRUST, LARRY/ANDREA	SIGNAL SECURITIES RIA	B	0.00	60.67	0.00	60.67	90.0	54.60
04/13/2018	50743604 RICE, BRIAN & JULIE	SIGNAL SECURITIES RIA	B	0.00	104.33	0.00	104.33	90.0	93.90
04/13/2018	51491652 MOYNIHAN, LINDA A.	SIGNAL SECURITIES RIA	B	0.00	14.67	0.00	14.67	90.0	13.20
04/13/2018	52388846 MOYNIHAN, LINDA A.	SIGNAL SECURITIES RIA	B	0.00	8.33	0.00	8.33	90.0	7.50
04/13/2018	53144778 LUBWAMA, ROSETTE	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
04/13/2018	53175378 WILLIAMS III, THEODORE/BRENDA	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
04/13/2018	53186569 RANEY, JASON	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	90.0	0.60
04/13/2018	54673339 ZIMMERMAN, NOAH B	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
04/13/2018	54771115 HYDINGER, DANIEL/MICHELLE	SIGNAL SECURITIES RIA	B	0.00	336.67	0.00	336.67	90.0	303.00
04/13/2018	54860066 BURKETT, MICHAEL R	SIGNAL SECURITIES RIA	B	0.00	357.67	0.00	357.67	90.0	321.90
04/13/2018	55421837 SPENCER, KELLI/JEFFREY	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	90.0	9.30
04/13/2018	55632540 DELGADO, EDUARDO/ P GAIL	SIGNAL SECURITIES RIA	B	0.00	364.00	0.00	364.00	90.0	327.60
04/13/2018	55689243 PARISH, KELLY PAIGE	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80
04/13/2018	56480647 KEITH, CHARLOTTE MEEK	SIGNAL SECURITIES RIA	B	0.00	227.00	0.00	227.00	90.0	204.30
04/13/2018	56483663 SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	90.0	17.70
04/13/2018	58128434 LIPP, CHRISTOPHER S	SIGNAL SECURITIES RIA	B	0.00	29.33	0.00	29.33	90.0	26.40
04/13/2018	58720438 LIPP, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
04/13/2018	58847212 HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	75.67	0.00	75.67	90.0	68.10
04/13/2018	59247893 PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	207.33	0.00	207.33	90.0	186.60
04/13/2018	59303330 GETTO, MARY VIRGINIA	SIGNAL SECURITIES RIA	B	0.00	101.33	0.00	101.33	90.0	91.20
04/13/2018	59483304 KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	320.33	0.00	320.33	90.0	288.30
04/13/2018	60172642 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	83.00	0.00	83.00	90.0	74.70
04/13/2018	60176438 HUTCHISON, ROBERT & VIKKI	SIGNAL SECURITIES RIA	B	0.00	207.67	0.00	207.67	90.0	186.90
04/13/2018	60650863 BREAUX, SANDRA K	SIGNAL SECURITIES RIA	B	0.00	182.33	0.00	182.33	90.0	164.10
04/13/2018	62006841 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	64.00	0.00	64.00	90.0	57.60

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
04/13/2018	63523092 BREAUX, SANDRA K	SIGNAL SECURITIES RIA	B	0.00	76.33	0.00	76.33	90.0	68.70
04/13/2018	63534306 WATKINS, RICHARD/ ASHLEY	SIGNAL SECURITIES RIA	B	0.00	57.67	0.00	57.67	90.0	51.90
04/13/2018	65038561 PALO, REBECCA K.	SIGNAL SECURITIES RIA	B	0.00	28.00	0.00	28.00	90.0	25.20
04/13/2018	65071642 LUBWAMA, ADRIAN & ROSETT	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80
04/13/2018	65960021 REEVES/HARRIS, TYLER/COURTNEY	SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33	90.0	3.00
04/13/2018	66465361 MUFUNBIRO, LISA M	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
04/13/2018	66475080 PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	90.67	0.00	90.67	90.0	81.60
04/13/2018	66477226 SCASTA, STEPHEN	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
04/13/2018	67017804 COTE, PATTY	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
04/13/2018	67138671 BOBER, THOMAS & KATHY	SIGNAL SECURITIES RIA	B	0.00	53.33	0.00	53.33	90.0	48.00
04/13/2018	67763155 COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	90.67	0.00	90.67	90.0	81.60
04/13/2018	69525005 GOLDEN, DARRYL LYNN	SIGNAL SECURITIES RIA	B	0.00	218.33	0.00	218.33	90.0	196.50
04/13/2018	71272747 PARISH, WESLEY & LESLIE	SIGNAL SECURITIES RIA	B	0.00	333.67	0.00	333.67	90.0	300.30
04/13/2018	71349299 WEST TEST. TRUST, DAVID S	SIGNAL SECURITIES RIA	B	0.00	441.67	0.00	441.67	90.0	397.50
04/13/2018	71376962 SCOTT, TIMOTHY L	SIGNAL SECURITIES RIA	B	0.00	194.00	0.00	194.00	90.0	174.60
04/13/2018	71717760 ZIMMERMAN, W B &MARY ALYCE	SIGNAL SECURITIES RIA	B	0.00	262.33	0.00	262.33	90.0	236.10
04/13/2018	72586770 PARISH, SAM D	SIGNAL SECURITIES RIA	B	0.00	382.33	0.00	382.33	90.0	344.10
04/13/2018	72722158 PHILP, REBECCA ELIZABE	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	90.0	14.40
04/13/2018	73441084 COX, SHARON	SIGNAL SECURITIES RIA	B	0.00	118.33	0.00	118.33	90.0	106.50
04/13/2018	74258191 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
04/13/2018	74717597 HUBBARD, MARK R	SIGNAL SECURITIES RIA	B	0.00	35.67	0.00	35.67	90.0	32.10
04/13/2018	74742534 SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
04/13/2018	75508848 GETTO, MARY VIRGINIA	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	90.0	14.40
04/13/2018	75899513 BRYAN, ROBERT/MELISSA	SIGNAL SECURITIES RIA	B	0.00	23.67	0.00	23.67	90.0	21.30
04/13/2018	76384301 RANEY, JASON	SIGNAL SECURITIES RIA	B	0.00	39.67	0.00	39.67	90.0	35.70
04/13/2018	77083119 WEST, MARSHA R	SIGNAL SECURITIES RIA	B	0.00	62.67	0.00	62.67	90.0	56.40
04/13/2018	78079060 LEON, ASHLEY MICHELLE	SIGNAL SECURITIES RIA	B	0.00	38.33	0.00	38.33	90.0	34.50
04/13/2018	78489883 SHISLER, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	87.00	0.00	87.00	90.0	78.30
04/13/2018	80005105 SMITH, AVONNE M	SIGNAL SECURITIES RIA	B	0.00	91.00	0.00	91.00	90.0	81.90
04/13/2018	80455638 RUSSELL, JAMES E	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
04/13/2018	81582307 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	236.67	0.00	236.67	90.0	213.00
04/13/2018	82214691 HARRIS, GARY D	SIGNAL SECURITIES RIA	B	0.00	151.33	0.00	151.33	90.0	136.20
04/13/2018	82904951 HUBBARD, MARK R	SIGNAL SECURITIES RIA	B	0.00	46.33	0.00	46.33	90.0	41.70

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
04/13/2018 83237717	MOYNIHAN, P. JOHN	SIGNAL SECURITIES RIA	B	0.00	72.00	0.00	72.00	90.0	64.80
04/13/2018 83318344	KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	29.00	0.00	29.00	90.0	26.10
04/13/2018 83532025	LANCE, CHERYL L	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
04/13/2018 83978523	ADKINS, PEGGY R	SIGNAL SECURITIES RIA	B	0.00	63.33	0.00	63.33	90.0	57.00
04/13/2018 84984988	HALL, ALISA LYNN	SIGNAL SECURITIES RIA	B	0.00	85.00	0.00	85.00	90.0	76.50
04/13/2018 85627507	RUSSELL, CHRISTINE C	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
04/13/2018 85704471	FOWLKES, STEVEN & ELLEN	SIGNAL SECURITIES RIA	B	0.00	1,887.00	0.00	1,887.00	90.0	1,698.30
04/13/2018 86788791	ZIMMERMAN, ZACHARY J	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
04/13/2018 88805216	WILLIAMS, BRENDA ANN	SIGNAL SECURITIES RIA	B	0.00	34.00	0.00	34.00	90.0	30.60
04/13/2018 89271206	TESTA, JOSEPH A	SIGNAL SECURITIES RIA	B	0.00	281.00	0.00	281.00	90.0	252.90
04/13/2018 90142952	HARTMAN, CRAIG T	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	90.0	17.70
04/13/2018 90584473	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	50.33	0.00	50.33	90.0	45.30
04/13/2018 91672459	TOWNSEND, MARILYN & LAWSO	SIGNAL SECURITIES RIA	B	0.00	49.00	0.00	49.00	90.0	44.10
04/13/2018 91748202	HARRIS, COURTNEY A	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
04/13/2018 91781366	LIPP, CHRISTOPHER S	SIGNAL SECURITIES RIA	B	0.00	39.67	0.00	39.67	90.0	35.70
04/13/2018 92167936	REEVES/HARRIS, TYLER/COURTNEY	SIGNAL SECURITIES RIA	B	0.00	1.00	0.00	1.00	90.0	0.90
04/13/2018 92531509	BUELL, JEFFREY & CHARL F.	SIGNAL SECURITIES RIA	B	0.00	238.67	0.00	238.67	90.0	214.80
04/13/2018 92627603	BARTON, LISA D	SIGNAL SECURITIES RIA	B	0.00	93.33	0.00	93.33	90.0	84.00
04/13/2018 95074654	DOYLE, TERESA M	SIGNAL SECURITIES RIA	B	0.00	39.67	0.00	39.67	90.0	35.70
04/13/2018 95140665	WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	90.0	9.00
04/13/2018 95683095	LANCE, CHERYL L	SIGNAL SECURITIES RIA	B	0.00	52.00	0.00	52.00	90.0	46.80
04/13/2018 95788083	LUCUS, LADONNA	SIGNAL SECURITIES RIA	B	0.00	131.00	0.00	131.00	90.0	117.90
04/13/2018 96521624	MOYNIHAN, P. JOHN	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
04/13/2018 97505602	SPENCER, KELLI S	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	90.0	17.70
04/13/2018 97611628	MILLER FAMILY TRUST, LARRY/ANDREA	SIGNAL SECURITIES RIA	B	0.00	307.33	0.00	307.33	90.0	276.60
04/13/2018 97754476	WILLIAMS III, THEODORE/BRENDA	SIGNAL SECURITIES RIA	B	0.00	33.67	0.00	33.67	90.0	30.30
04/13/2018 98213803	SASSMAN, KATHLEEN/JAMES	SIGNAL SECURITIES RIA	B	0.00	437.00	0.00	437.00	90.0	393.30
04/13/2018 99473573	MACNAUGHTON, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67	90.0	20.40
* RIA SUBTOTAL *				\$0.00	\$19,542.37	\$0.00	\$19,542.37		\$17,588.10

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	611.13	0.00	611.13	90.0	550.04
06/01/2018	TRAIL COMMISSIONS	PRINCIPAL MUTUAL FUNDS FEES		0.00	11.01	0.00	11.01	90.0	9.91
06/01/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	20.65	0.00	20.65	90.0	18.58
06/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	2.88	0.00	2.88	90.0	2.59
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$645.67	\$0.00	\$645.67		\$581.12
*** BROKER TRANSACTIONS TOTAL ***				\$23,030.39	\$21,093.76	\$0.00	\$21,093.76		\$18,984.46

SPLIT TRANSACTIONS**BROKER: SNOW/MOYNIHAN #9543**

04/13/2018	47895792 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	168.27	0.00	168.27	90.0	151.44
04/13/2018	75756775 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	104.67	0.00	104.67	90.0	94.20
04/13/2018	83385351 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	14.67	0.00	14.67	90.0	13.20
04/13/2018	91616746 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	6.80	0.00	6.80	90.0	6.12
* SNOW/MOYNIHAN SUBTOTAL *				\$0.00	\$294.41	\$0.00	\$294.41		\$264.96

BROKER: SINGLETON/MOYNIHAN #9595

04/13/2018	15381199 WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	80.00	0.00	80.00	90.0	72.00
04/13/2018	17599493 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	62.66	0.00	62.66	90.0	56.39
04/13/2018	31646344 DAVIS, RUFUS L	SIGNAL SECURITIES RIA	B	0.00	104.16	0.00	104.16	90.0	93.74
04/13/2018	41564753 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	12.50	0.00	12.50	90.0	11.25
04/13/2018	41989330 YOUNGBERG, THOMAS N	SIGNAL SECURITIES RIA	B	0.00	53.66	0.00	53.66	90.0	48.29
04/13/2018	42529214 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	79.50	0.00	79.50	90.0	71.55
04/13/2018	45771580 WARREN, THOMAS & SUSAN	SIGNAL SECURITIES RIA	B	0.00	125.00	0.00	125.00	90.0	112.50
04/13/2018	51406766 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	93.50	0.00	93.50	90.0	84.15
04/13/2018	62437500 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	241.83	0.00	241.83	90.0	217.65
04/13/2018	63181693 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	88.33	0.00	88.33	90.0	79.50
04/13/2018	67846422 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	0.83	0.00	0.83	90.0	0.75
04/13/2018	68333401 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	63.66	0.00	63.66	90.0	57.29
04/13/2018	69683604 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	63.50	0.00	63.50	90.0	57.15
04/13/2018	82910782 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	57.50	0.00	57.50	90.0	51.75
04/13/2018	86316364 WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	61.66	0.00	61.66	90.0	55.49
* SINGLETON/MOYNIHAN SUBTOTAL *				\$0.00	\$1,188.29	\$0.00	\$1,188.29		\$1,069.45

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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Override Transactions

*** SPLIT TRANSACTIONS TOTAL ***				\$0.00	\$1,482.70	\$0.00	\$1,482.70		\$1,334.41
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Override Transactions

BROKER: THOMPSON/SIGNAL #9622

04/13/2018	35296442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA		B	0.00	5,145.67	0.00	5,145.67		283.01
	JERRY F								

* THOMPSON/SIGNAL SUBTOTAL *				\$0.00	\$5,145.67	\$0.00	\$5,145.67		\$283.01
*** OVERRIDE TRANSACTIONS TOTAL ***				\$0.00	\$5,145.67	\$0.00	\$5,145.67		\$283.01

Adjustments

06/01/2018	CONTINUING ED: REG ELEMENT			0.00	0.00	0.00	0.00		-55.00
06/01/2018	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
06/01/2018	RENT: 1/2 MONTH			0.00	0.00	0.00	0.00		-125.00
06/01/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
	*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-307.50

BROKER COMMISSION TOTALS

Broker Transactions	\$18,984.46
Split Transactions	\$1,334.41
Override Transactions	\$283.01
Adjustments	\$-307.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$20,294.38
Year-to-date Earnings	\$138,162.57