

COMMISSION STATEMENT

July 31, 2017

ROBERT HACKEL

206 VAN BUREN

PARAMUS, NJ 07652

BROKER #: 56

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ROBERT HACKEL

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|----------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 07/13/2017 | 75500234 MULLEN, GEORGE | FRANKLIN RESOURCES INC (DEL) | S | 4,629.30 | 35.00 | 0.00 | 35.00 | 80.0 | 28.00 |
| 06/30/2017 | 75500237 POMFRET SCHOOL | J P MORGAN CHASE & CO | S | 549.14 | 40.00 | 0.00 | 40.00 | 80.0 | 32.00 |
| 07/05/2017 | 75500237 POMFRET SCHOOL | WHITING PETROLEUM CORPORATION | S | 1,233.23 | 57.60 | 0.00 | 57.60 | 80.0 | 46.08 |
| 07/06/2017 | 75500263 THOMPSON, MARY | GENUINE PARTS CO | B | 8,682.00 | 35.00 | 0.00 | 35.00 | 80.0 | 28.00 |
| 07/26/2017 | 75500277 SWEET, ADELE HALL | AMAZON.COM INC | S | 105,018.16 | 42.00 | 0.00 | 42.00 | 80.0 | 33.60 |
| 07/20/2017 | 75500597 GOODFELLOW, JAMES | FRANKLIN RESOURCES INC (DEL) | S | 655,172.00 | 420.00 | 0.00 | 420.00 | 80.0 | 336.00 |
| 07/20/2017 | 75500651 MULLEN, REBECCA | FRANKLIN RESOURCES INC (DEL) | S | 3,495.00 | 35.00 | 0.00 | 35.00 | 80.0 | 28.00 |
| 07/14/2017 | 75501201 MARCIA DIAMOND | MYND ANALYTICS INC COMMON STOCK | B | 9,982.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/14/2017 | 75501201 MARCIA DIAMOND | MYND ANALYTICS INC COMMON STOCK | S | -9,982.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 06/28/2017 | 75501322 GILBERTSON, JAY | HANESBRANDS INC | S | 343.54 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 06/28/2017 | 75501322 GILBERTSON, JAY | KROGER CO (THE) | S | 280.58 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 06/28/2017 | 75501322 GILBERTSON, JAY | KROGER CO (THE) | S | 280.58 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 06/29/2017 | 75501322 GILBERTSON, JAY | ADOBE SYSTEMS INC | B | 2,396.78 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 06/29/2017 | 75501322 GILBERTSON, JAY | ALPHABET INC CLASS A COMMON STOCK | S | 938.51 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 06/29/2017 | 75501322 GILBERTSON, JAY | ALPHABET INC CLASS A COMMON STOCK | S | 1,877.02 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 06/30/2017 | 75501322 GILBERTSON, JAY | UNILEVER N V YORK SHS ADR | S | 939.15 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/05/2017 | 75501322 GILBERTSON, JAY | CISCO SYS INC | B | 1,122.77 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/05/2017 | 75501322 GILBERTSON, JAY | INTERPUBLIC GRP CO INC | B | 426.67 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/05/2017 | 75501322 GILBERTSON, JAY | LOWES COMPANIES INC | B | 464.86 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/05/2017 | 75501322 GILBERTSON, JAY | MONDELEZ INTERNATIONAL INC COM | B | 346.08 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/05/2017 | 75501322 GILBERTSON, JAY | ORACLE CORPORATION | B | 447.82 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/05/2017 | 75501322 GILBERTSON, JAY | PRAXAIR INC | B | 132.84 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/06/2017 | 75501322 GILBERTSON, JAY | KRAFT HEINZ COMPANY (THE) COMMON ST | S | 497.78 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/07/2017 | 75501322 GILBERTSON, JAY | DOLLAR TREE INC | S | 203.24 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/07/2017 | 75501322 GILBERTSON, JAY | FIDELITY NATIONAL INFORMATION SERVI S | S | 347.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/07/2017 | 75501322 GILBERTSON, JAY | O REILLY AUTOMOTIVE INC | B | 1,715.63 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/10/2017 | 75501322 GILBERTSON, JAY | AXALTA COATING SYSTEMS LTD SHS ISIN B | B | 812.86 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/10/2017 | 75501322 GILBERTSON, JAY | BURLINGTON STORES INC | S | 1,407.32 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/10/2017 | 75501322 GILBERTSON, JAY | CARTER'S INC | S | 172.84 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/10/2017 | 75501322 GILBERTSON, JAY | CARTER'S INC | S | 864.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/10/2017 | 75501322 GILBERTSON, JAY | COPART INC | B | 1,578.15 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

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| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|--------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 07/10/2017 | 75501322 GILBERTSON, JAY | CRANE CO | B | 1,075.36 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/10/2017 | 75501322 GILBERTSON, JAY | DOMINOS PIZZA INC | B | 1,486.17 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/10/2017 | 75501322 GILBERTSON, JAY | EVERCORE PARTNERS INC CL A | B | 216.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/10/2017 | 75501322 GILBERTSON, JAY | HD SUPPLY HOLDINGS INC COM | S | 1,152.92 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/10/2017 | 75501322 GILBERTSON, JAY | HILTON GRAND VACATIONS INC COMMON S B | B | 787.94 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/10/2017 | 75501322 GILBERTSON, JAY | MICHAELS COMPANIES INC (THE) COM | S | 268.88 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/10/2017 | 75501322 GILBERTSON, JAY | MICHAELS COMPANIES INC (THE) COM | S | 699.10 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/10/2017 | 75501322 GILBERTSON, JAY | SUPERIOR ENERGY SERVICES INC | S | 82.10 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/10/2017 | 75501322 GILBERTSON, JAY | ULTIMATE SOFTWARE GROUP INC | B | 1,082.49 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/10/2017 | 75501322 GILBERTSON, JAY | VAIL RESORTS INC | B | 1,220.16 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/10/2017 | 75501322 GILBERTSON, JAY | WILLIAMS SONOMA INC | S | 1,184.25 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/12/2017 | 75501322 GILBERTSON, JAY | ANALOG DEVICES INC | B | 321.64 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/12/2017 | 75501322 GILBERTSON, JAY | FASTENAL CO | S | 257.66 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/12/2017 | 75501322 GILBERTSON, JAY | FASTENAL CO | S | 429.43 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/12/2017 | 75501322 GILBERTSON, JAY | INTERCONTINENTAL EXCHANGE INC | B | 591.57 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/13/2017 | 75501322 GILBERTSON, JAY | BANKUNITED INC | S | 365.38 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/17/2017 | 75501322 GILBERTSON, JAY | CANADIAN IMPERIAL BANK OF COMMERCE | B | 680.07 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/17/2017 | 75501322 GILBERTSON, JAY | IMAX CORP | S | 536.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/17/2017 | 75501322 GILBERTSON, JAY | MC DONALDS CORP | S | 932.04 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/17/2017 | 75501322 GILBERTSON, JAY | MICROSOFT CORP | B | 3,877.23 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/17/2017 | 75501322 GILBERTSON, JAY | SANOFI SPONSORED ADR | S | 866.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/17/2017 | 75501322 GILBERTSON, JAY | UNITED PARCEL SERVICE INC CL B | B | 1,121.04 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/18/2017 | 75501322 GILBERTSON, JAY | VF CORPORATION | S | 2,781.68 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/19/2017 | 75501322 GILBERTSON, JAY | ESTEE LAUDER COMPANIES INC CL A | S | 775.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/19/2017 | 75501322 GILBERTSON, JAY | ULTA SALON COSMETICS & FRAGRANCE IN B | B | 514.86 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/20/2017 | 75501322 GILBERTSON, JAY | CANADIAN IMPERIAL BANK OF COMMERCE | B | 260.75 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/20/2017 | 75501322 GILBERTSON, JAY | IDEX CORP | S | 570.69 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/20/2017 | 75501322 GILBERTSON, JAY | MC DONALDS CORP | S | 154.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/20/2017 | 75501322 GILBERTSON, JAY | MC DONALDS CORP | S | 926.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/20/2017 | 75501322 GILBERTSON, JAY | PUBLIC SERVICE ENTERPRISE GROUP INC B | B | 578.36 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/20/2017 | 75501322 GILBERTSON, JAY | SANOFI SPONSORED ADR | S | 96.38 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/20/2017 | 75501322 GILBERTSON, JAY | SANOFI SPONSORED ADR | S | 963.79 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/20/2017 | 75501322 GILBERTSON, JAY | UNITED PARCEL SERVICE INC CL B | B | 676.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/21/2017 | 75501322 GILBERTSON, JAY | MICROSOFT CORP | S | 439.56 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/21/2017 | 75501322 GILBERTSON, JAY | PRICELINE GROUP INC (THE) | S | 1,996.97 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/24/2017 | 75501322 GILBERTSON, JAY | DANAHER CORPORATION | S | 166.36 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/24/2017 | 75501322 GILBERTSON, JAY | DANAHER CORPORATION | S | 499.08 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/24/2017 | 75501322 GILBERTSON, JAY | DANAHER CORPORATION | S | 582.26 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/26/2017 | 75501322 GILBERTSON, JAY | COMCAST CORP CL A | B | 2,085.55 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/26/2017 | 75501322 GILBERTSON, JAY | FACEBOOK INC CL A | S | 330.18 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

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|--------------------------|-----------|----------------------|-----|---------------------------------------|---------------------|--------------------|-------------------|------|----------------------|------|--------|
| BROKER TRANSACTIONS | | | | | | | | | | | |
| PRODUCT CATEGORY: STOCKS | | | | | | | | | | | |
| 06/29/2017 | 75501761 | WRIGHTON, BRYAN | | ADOBE SYSTEMS INC | B | 1,973.82 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 06/29/2017 | 75501761 | WRIGHTON, BRYAN | | ALPHABET INC CLASS A COMMON STOCK | S | 2,815.53 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 06/30/2017 | 75501761 | WRIGHTON, BRYAN | | UNILEVER N V YORK SHS ADR | S | 1,049.64 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/07/2017 | 75501761 | WRIGHTON, BRYAN | | ESCO TECHNOLOGIES INC | B | 119.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/07/2017 | 75501761 | WRIGHTON, BRYAN | | O REILLY AUTOMOTIVE INC | B | 1,200.94 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/10/2017 | 75501761 | WRIGHTON, BRYAN | | ROGERS CORP | B | 223.56 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/17/2017 | 75501761 | WRIGHTON, BRYAN | | ALIGN TECHNOLOGY INC | S | 312.38 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/17/2017 | 75501761 | WRIGHTON, BRYAN | | ALIGN TECHNOLOGY INC | S | 468.57 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/17/2017 | 75501761 | WRIGHTON, BRYAN | | CANADIAN IMPERIAL BANK OF COMMERCE | B | 340.03 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/17/2017 | 75501761 | WRIGHTON, BRYAN | | FOX FACTORY HOLDING CORP COM | S | 587.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/17/2017 | 75501761 | WRIGHTON, BRYAN | | MC DONALDS CORP | S | 776.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/17/2017 | 75501761 | WRIGHTON, BRYAN | | MICROSOFT CORP | B | 3,291.99 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/17/2017 | 75501761 | WRIGHTON, BRYAN | | SANOFI SPONSORED ADR | S | 48.13 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/17/2017 | 75501761 | WRIGHTON, BRYAN | | SANOFI SPONSORED ADR | S | 673.79 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/17/2017 | 75501761 | WRIGHTON, BRYAN | | UNITED PARCEL SERVICE INC CL B | B | 672.62 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/17/2017 | 75501761 | WRIGHTON, BRYAN | | VERIZON COMMUNICATIONS | B | 436.09 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/20/2017 | 75501761 | WRIGHTON, BRYAN | | MC DONALDS CORP | S | 308.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/20/2017 | 75501761 | WRIGHTON, BRYAN | | MC DONALDS CORP | S | 617.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/20/2017 | 75501761 | WRIGHTON, BRYAN | | SANOFI SPONSORED ADR | S | 337.32 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/20/2017 | 75501761 | WRIGHTON, BRYAN | | SANOFI SPONSORED ADR | S | 578.27 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/20/2017 | 75501761 | WRIGHTON, BRYAN | | UNITED PARCEL SERVICE INC CL B | B | 563.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/18/2017 | QGN005464 | RF LAFFERTY & CO | | JOHNSON & JOHNSON | S | -328,850.25 | 255.00 | 0.00 | 255.00 | 80.0 | 204.00 |
| 07/25/2017 | QGN005464 | RF LAFFERTY & CO | | CELGENE CORP | S | -272,260.20 | 210.00 | 0.00 | 210.00 | 80.0 | 168.00 |
| 07/25/2017 | QGN005464 | RF LAFFERTY & CO | | CIT GROUP INC COM | S | -137,629.52 | 282.00 | 0.00 | 282.00 | 80.0 | 225.60 |
| 07/25/2017 | QGN005464 | RF LAFFERTY & CO | | DELTA AIR LINES INC DEL COM | S | -138,930.12 | 273.00 | 0.00 | 273.00 | 80.0 | 218.40 |
| 06/28/2017 | QGN005480 | MOZAIC LLC | | WALT DISNEY CO | S | -250,081.42 | 50.00 | 0.00 | 50.00 | 80.0 | 40.00 |
| 07/11/2017 | QGN005514 | JONATHAN T BARKER | | ALUMINUM CORP CHINA LTD SPONSORED A S | S | -982.51 | 40.00 | 0.00 | 40.00 | 80.0 | 32.00 |
| 07/11/2017 | QGN005514 | JONATHAN T BARKER | | COMPANIA DE MINAS BUENAVENTURA S A S | S | -580.10 | 40.00 | 0.00 | 40.00 | 80.0 | 32.00 |
| 07/18/2017 | QGN005514 | JONATHAN T BARKER | | ALUMINUM CORP CHINA LTD SPONSORED A B | S | 1,127.25 | 20.00 | 0.00 | 20.00 | 80.0 | 16.00 |
| 07/18/2017 | QGN005514 | JONATHAN T BARKER | | RICHMONT MINES INC COM ISIN#CA76547 S | S | -1,009.38 | 45.00 | 0.00 | 45.00 | 80.0 | 36.00 |
| 07/06/2017 | QGN005555 | HENRY L THOMPSON | | GENUINE PARTS CO | B | 86,832.10 | 50.00 | 0.00 | 50.00 | 80.0 | 40.00 |
| 07/06/2017 | QGN005555 | HENRY L THOMPSON | | J P MORGAN CHASE & CO | S | -94,323.00 | 50.00 | 0.00 | 50.00 | 80.0 | 40.00 |
| 07/19/2017 | QGN005555 | HENRY L THOMPSON | | ECOLAB INC | S | -106,043.92 | 40.00 | 0.00 | 40.00 | 80.0 | 32.00 |
| 07/19/2017 | QGN005555 | HENRY L THOMPSON | | GENUINE PARTS CO | B | 123,841.05 | 75.00 | 0.00 | 75.00 | 80.0 | 60.00 |
| 07/19/2017 | QGN005555 | HENRY L THOMPSON | | JOHNSON & JOHNSON | S | -134,760.10 | 50.00 | 0.00 | 50.00 | 80.0 | 40.00 |
| 07/19/2017 | QGN005555 | HENRY L THOMPSON | | MCCORMICK & CO INC NON-VOTING | B | 91,927.00 | 50.00 | 0.00 | 50.00 | 80.0 | 40.00 |
| 07/26/2017 | QGN005712 | DRURY W COOPER TRUST | | FREEMPORT MCMORAN INC | B | 15,059.90 | 120.00 | 0.00 | 120.00 | 80.0 | 96.00 |
| 07/10/2017 | QGN005811 | MARIAN RUSSEL | | LARKIN CDK GLOBAL INC COM | S | -30,073.75 | 87.96 | 0.00 | 87.96 | 80.0 | 70.37 |
| 07/25/2017 | QGN005811 | MARIAN RUSSEL | | LARKIN CDK GLOBAL INC COM | S | -66,115.60 | 120.00 | 0.00 | 120.00 | 80.0 | 96.00 |
| 07/19/2017 | QGN005902 | WINSTON HAGEN | | GENERAL ELECTRIC CO | S | -22,646.40 | 126.00 | 0.00 | 126.00 | 80.0 | 100.80 |

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|---|-----------|-----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------------|----------------------|------------|
| BROKER TRANSACTIONS | | | | | | | | | | |
| PRODUCT CATEGORY: STOCKS | | | | | | | | | | |
| 07/26/2017 | QGN005910 | WYNANT D VANDERPOEL | EXELIXIS INC | B | 279,620.00 | 600.00 | 0.00 | 600.00 | 80.0 | 480.00 |
| 07/19/2017 | QGN005936 | MICHAEL D EISNER | MICROSOFT CORP | B | 4,006,295.48 | 1,626.90 | 0.00 | 1,626.90 | 80.0 | 1,301.52 |
| 07/06/2017 | QGN006157 | GAIL DOHERTY | FRANKLIN RESOURCES INC (DEL) | S | -11,560.96 | 60.72 | 0.00 | 60.72 | 80.0 | 48.58 |
| 07/12/2017 | QGN006173 | MOZAIC LLC | AMAZON.COM INC | B | 170,678.30 | 20.00 | 0.00 | 20.00 | 80.0 | 16.00 |
| 07/12/2017 | QGN006199 | MOZAIC LLC | AMAZON.COM INC | B | 341,356.60 | 20.00 | 0.00 | 20.00 | 80.0 | 16.00 |
| 07/14/2017 | QGW031544 | HEALY, TIMOTHY | SPDR INDEX SHS FDS S&P EMERGING EUR | S | -30,520.50 | 120.00 | 0.00 | 120.00 | 80.0 | 96.00 |
| 05/12/2017 | QGW031551 | HEALY, TIMOTHY | AVIS BUDGET GROUP INC COM | B | 15,180.60 | 72.00 | 0.00 | 72.00 | 80.0 | 57.60 |
| 07/13/2017 | QGW031551 | HEALY, TIMOTHY | AVIS BUDGET GROUP INC COM | B | 15,585.70 | 90.00 | 0.00 | 90.00 | 80.0 | 72.00 |
| 07/20/2017 | QGW031551 | HEALY, TIMOTHY | SEARS HOLDINGS CORP | S | -5,072.50 | 90.00 | 0.00 | 90.00 | 80.0 | 72.00 |
| 07/24/2017 | QGW031551 | HEALY, TIMOTHY | SEARS HOLDINGS CORP | B | 4,150.00 | 90.00 | 0.00 | 90.00 | 80.0 | 72.00 |
| 07/13/2017 | QGW031569 | HEALY, TIMOTHY | RAMACO RES INC COM | B | 18,751.50 | 300.00 | 0.00 | 300.00 | 80.0 | 240.00 |
| * STOCKS SUBTOTAL * | | | | | \$4,386,370.88 | \$5,738.18 | \$0.00 | \$5,738.18 | | \$4,590.55 |
| PRODUCT CATEGORY: BONDS | | | | | | | | | | |
| 07/14/2017 | 75500278 | ADELE HALL SWEET | UNITED STATES TREASURY BILL REISSUE | B | 1,198,344.00 | 1,200.00 | 0.00 | 1,200.00 | 80.0 | 960.00 |
| 07/14/2017 | 75500278 | ADELE HALL SWEET | UNITED STATES TREASURY BILL | B | 1,195,680.00 | 1,800.00 | 0.00 | 1,800.00 | 80.0 | 1,440.00 |
| 07/14/2017 | 75500278 | ADELE HALL SWEET | UNITED STATES TREASURY BILL | B | 1,189,704.00 | 2,520.00 | 0.00 | 2,520.00 | 80.0 | 2,016.00 |
| 07/14/2017 | 75500278 | ADELE HALL SWEET | UNITED STATES TREASURY BILL | B | 1,192,128.00 | 2,400.00 | 0.00 | 2,400.00 | 80.0 | 1,920.00 |
| 07/14/2017 | 75500279 | ADELE HALL SWEET 1932 | UNITED STATES TREASURY BILL REISSUE | B | 199,724.00 | 200.00 | 0.00 | 200.00 | 80.0 | 160.00 |
| 07/14/2017 | 75500279 | ADELE HALL SWEET 1932 | UNITED STATES TREASURY BILL | B | 199,280.00 | 300.00 | 0.00 | 300.00 | 80.0 | 240.00 |
| 07/14/2017 | 75500279 | ADELE HALL SWEET 1932 | UNITED STATES TREASURY BILL | B | 198,284.00 | 420.00 | 0.00 | 420.00 | 80.0 | 336.00 |
| 07/14/2017 | 75500279 | ADELE HALL SWEET 1932 | UNITED STATES TREASURY BILL | B | 198,688.00 | 400.00 | 0.00 | 400.00 | 80.0 | 320.00 |
| 07/06/2017 | QGN005266 | YALINEWITZ, BOAZ | BECTON DICKINSON & CO FXD RT SR NTS | B | 402,360.00 | 960.00 | 0.00 | 960.00 | 80.0 | 768.00 |
| * BONDS SUBTOTAL * | | | | | \$5,974,192.00 | \$10,200.00 | \$0.00 | \$10,200.00 | | \$8,160.00 |
| PRODUCT CATEGORY: OPTIONS | | | | | | | | | | |
| 07/10/2017 | QGW031551 | HEALY, TIMOTHY | PUT 100 FASTENAL CO EXP 08-18-17@40 | B | 1,060.00 | 80.00 | 0.00 | 80.00 | 80.0 | 64.00 |
| 07/24/2017 | QGW031551 | HEALY, TIMOTHY | PUT 100 CATERPILLAR INC COM EXP 08- | B | 730.00 | 40.00 | 0.00 | 40.00 | 80.0 | 32.00 |
| 07/26/2017 | QGW031551 | HEALY, TIMOTHY | CALL 100 CBOE VOLATILITY INDEX - VX | B | 1,500.00 | 40.00 | 0.00 | 40.00 | 80.0 | 32.00 |
| * OPTIONS SUBTOTAL * | | | | | \$3,290.00 | \$160.00 | \$0.00 | \$160.00 | | \$128.00 |
| PRODUCT CATEGORY: RIA | | | | | | | | | | |
| 07/18/2017 | 75501322 | GILBERTSON, JAY | FAS FEES | B | -3,867.58 | 2,344.72 | 0.00 | 2,344.72 | 80.0 | 1,875.78 |
| 07/18/2017 | 75501761 | WRIGHTON, BRYAN | FAS FEES | B | -2,574.00 | 1,591.20 | 0.00 | 1,591.20 | 80.0 | 1,272.96 |
| * RIA SUBTOTAL * | | | | | \$-6,441.58 | \$3,935.92 | \$0.00 | \$3,935.92 | | \$3,148.74 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | | | |
| 07/12/2017 | 75500245 | BLUMENTHAL, MARK | **DEUTSCHE SCIENCE AND | B | 0.00 | 4.13 | 0.00 | 4.13 | 80.0 | 3.30 |
| 07/12/2017 | 75500245 | BLUMENTHAL, MARK | MASS INVESTORS GROWTH STOCK FUND-A | B | 0.00 | 1.17 | 0.00 | 1.17 | 80.0 | 0.94 |
| 07/12/2017 | 75500245 | BLUMENTHAL, MARK | PUTNAM 746802800 | B | 0.00 | 3.29 | 0.00 | 3.29 | 80.0 | 2.63 |
| 07/12/2017 | 75500245 | BLUMENTHAL, MARK | PUTNAM GLOBAL EQUITY FUND CLASS A | B | 0.00 | 0.65 | 0.00 | 0.65 | 80.0 | 0.52 |

COMMISSION STATEMENT for ROBERT HACKEL

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | |
|--------------------------------------|---------------------------------------|-----------------|-------------|--------|-------------|------|-------------|
| 07/12/2017 75500245 BLUMENTHAL, MARK | PUTNAM NEW OPPORTUNITIES FUND CLASS B | 0.00 | 1.16 | 0.00 | 1.16 | 80.0 | 0.93 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | \$0.00 | \$10.40 | \$0.00 | \$10.40 | | \$8.32 |
| *** BROKER TRANSACTIONS TOTAL *** | | \$10,357,411.30 | \$20,044.50 | \$0.00 | \$20,044.50 | | \$16,035.61 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$16,035.61 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$-25.06 |
| SIPC Assessment | \$-50.11 |

| | |
|-----------------------|-------------|
| Check Amount | \$15,960.44 |
| Year-to-date Earnings | \$20,644.72 |