

# STATEMENT

## February 20, 2018

ERIK EXISTING DODDS-LPCM

BROKER #: 3055

BRANCH: LIBERTY PARTNERS CAP

, CA

### COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS</b>										
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>										
01/18/2018 #	MARIANO, DANIELLE	VIRTUS ASSET TR SEIX U S GVT SECS U S		35,580.78	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	VIRTUS ASSET TR SEIX U S GVT SECS U S		14,796.00	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	VIRTUS ASSET TR SEIX U S GVT SECS U S		35,501.08	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	CHU, WILLIAM	JANUS HENDERSON OVERSEAS FUND CLASS S		233.50	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	CHU, WILLIAM	JANUS INVESTMENT FUND HENDERSON MON S		284,486.86	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	*****WILSHIRE TARGET FUNDS INC	S	699.50	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	****WILSHIRE TARGET FUNDS INC	S	3,661.21	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	***WILSHIRE TARGET FUNDS INC	S	3,389.31	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	**FIDELITY US BOND INDEX FUND	S	2,487.54	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	DOULOS, MICHAEL	**MAIRS &PWR FDS TR BALANCED FD	S	24,586.76	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	**WILSHIRE TARGET FUNDS INC	S	618.60	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	FIDELITY CONCORD STR SPARTAN U S EQ S		3,190.98	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	SCHNECK, LINDA	NEUBERGER BERMAN GENESIS FUND TRUST S		2,360.04	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	SCHNECK, LINDA	PIMCO REALESTATE REAL RETURN STRATE S		1,095.59	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	SCHNECK, LINDA	PIMCO STOCKSPPLUS INTNL FUND U S DOL S		1,848.03	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	SCHNECK, LINDA	TRUST FOR ADVISOR SOLUTIONS HTTRS A S		896.71	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	ALTMAN, CONNIE	VIRTUS ASSET TR SEIX U S GVT SECS U S		21,800.23	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	DITTMANN, WALTER	VIRTUS ASSET TR SEIX U S GVT SECS U S		13,449.91	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	SCHNECK, LINDA	WESTERN ASSET CORE PLUS BOND FUND C S		1,044.58	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #		VIRTUS ASSET TR SEIX U S GVT SECS U S		35,425.60	0.00	0.00	0.00	0.00	89.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$487,152.81	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

### PRODUCT CATEGORY: STOCKS

01/02/2018 #	GAY, LEO	ISHARES CORE S&P 500 ETF	B	540.50	0.00	0.00	0.00	0.00	89.0	0.00
01/03/2018 #	PLOEN, ALLISON	FORUM ENERGY TECHNOLOGIES INC COM	B	544.50	0.00	0.00	0.00	0.00	89.0	0.00
01/03/2018 #	GAY, LEO	ISHARES TRUST BARCLAYS U S AGGR BON B		545.80	0.00	0.00	0.00	0.00	89.0	0.00
01/03/2018 #	CHU, WILLIAM	MICROSOFT CORP	S	2,761.28	0.00	0.00	0.00	0.00	89.0	0.00
01/03/2018 #	PLOEN, ALLISON	RPC INC	S	547.47	0.00	0.00	0.00	0.00	89.0	0.00
01/08/2018 #	PLOEN, ALLISON	ALIGN TECHNOLOGY INC	S	1,251.05	0.00	0.00	0.00	0.00	89.0	0.00
01/08/2018 #	PLOEN, ALLISON	ISHARES IBOX \$ HIGH YIELD	S	2,199.31	0.00	0.00	0.00	0.00	89.0	0.00
01/09/2018 #	PLOEN, ALLISON	DCT INDUSTRIAL TRUST INC	S	920.96	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
01/09/2018 #	PLOEN, ALLISON	EASTGROUP PROPERTIES INC	B	430.95	0.00	0.00	0.00	0.00	89.0	0.00
01/09/2018 #	GAY, LEO	ISHARES CORE S&P 500 ETF	S	554.28	0.00	0.00	0.00	0.00	89.0	0.00
01/09/2018 #	PLOEN, ALLISON	LIVE NATION ENTERTAINMENT INC	B	477.04	0.00	0.00	0.00	0.00	89.0	0.00
01/09/2018 #	PLOEN, ALLISON	STAMPS.COM INC	S	583.35	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	PLOEN, ALLISON	BOTTOMLINE TECHNOLOGIES (DE) INC	B	498.12	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	UDVARDY, IMRE	ISHARES IBOXX \$ HIGH YIELD	S	10,937.75	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	IDEKER, CHRIS	ISHARES IBOXX \$ HIGH YIELD	S	12,862.79	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	PLOEN, ALLISON	ISHARES IBOXX \$ HIGH YIELD	S	8,837.70	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	FRALEY, JACQUELINE	ISHARES IBOXX \$ HIGH YIELD	S	7,512.32	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	HOKAMA, WAYSON	ISHARES IBOXX \$ HIGH YIELD	S	18,637.93	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	UDVARDY, IMRE	SPDR SERIES TRUST	S	2,183.67	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	IDEKER, CHRIS	SPDR SERIES TRUST	S	2,570.65	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	PLOEN, ALLISON	SPDR SERIES TRUST	S	2,211.31	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	FRALEY, JACQUELINE	SPDR SERIES TRUST	S	1,545.60	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	HOKAMA, WAYSON	SPDR SERIES TRUST	S	3,731.59	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	UDVARDY, IMRE	SPDR SERIES TRUST BARCLAYS HIGH YIE S	S	8,838.26	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	IDEKER, CHRIS	SPDR SERIES TRUST BARCLAYS HIGH YIE S	S	10,274.48	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	PLOEN, ALLISON	SPDR SERIES TRUST BARCLAYS HIGH YIE S	S	8,911.92	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	FRALEY, JACQUELINE	SPDR SERIES TRUST BARCLAYS HIGH YIE S	S	6,065.57	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	HOKAMA, WAYSON	SPDR SERIES TRUST BARCLAYS HIGH YIE S	S	15,025.05	0.00	0.00	0.00	0.00	89.0	0.00
01/10/2018 #	MORRELL, LYNN	VANGUARD TAX-MANAGED FD MSCI EAFE E S	S	691.67	0.00	0.00	0.00	0.00	89.0	0.00
01/16/2018 #	MORRELL, BRIAN	APPLE INC	B	354.86	0.00	0.00	0.00	0.00	89.0	0.00
01/16/2018 #	PLOEN, ALLISON	CALLAWAY GOLF CO	B	900.26	0.00	0.00	0.00	0.00	89.0	0.00
01/16/2018 #	PLOEN, ALLISON	NAUTILUS INC	S	810.00	0.00	0.00	0.00	0.00	89.0	0.00
01/16/2018 #	NICHOLSON, JAMES	SECTOR SPDR TRUST SHS BEN INT TECHN B	B	538.72	0.00	0.00	0.00	0.00	89.0	0.00
01/16/2018 #	MORRELL, BRIAN	SPDR SER TR S&P REGL BKG ETF	B	501.04	0.00	0.00	0.00	0.00	89.0	0.00
01/16/2018 #	MORRELL, BRIAN	VANGUARD INDEX FUNDS	B	461.20	0.00	0.00	0.00	0.00	89.0	0.00
01/16/2018 #	NICHOLSON, JAMES	VANGUARD INTL EQUITY IND FD FTSE AL B	B	517.05	0.00	0.00	0.00	0.00	89.0	0.00
01/16/2018 #	MORRELL, BRIAN	VANGUARD SECTOR INDEX FDS	B	659.16	0.00	0.00	0.00	0.00	89.0	0.00
01/16/2018 #	MORRELL, BRIAN	VANGUARD SECTOR INDEX FDS VANGUARD B	B	520.91	0.00	0.00	0.00	0.00	89.0	0.00
01/16/2018 #	MORRELL, BRIAN	VANGUARD SECTOR INDEX FDS VANGUARD B	B	585.32	0.00	0.00	0.00	0.00	89.0	0.00
01/16/2018 #	MORRELL, BRIAN	VANGUARD TAX-MANAGED FD MSCI EAFE E B	B	374.96	0.00	0.00	0.00	0.00	89.0	0.00
01/17/2018 #	GAY, LEO	ISHARES CORE S&P 500 ETF	B	563.42	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	***LIVANOVA PLC	S	487.02	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	***ORBOTECH LTD-ORD	S	668.76	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	***WRIGHT MEDICAL GROUP N V	S	503.80	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	AMERICAN WOODMARK CORP	S	399.45	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	AMN HEALTHCARE SVCS INC	S	676.90	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	ANIKA THERAPEUTICS INC	S	348.18	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	ASPEN TECHNOLOGY INC	S	379.80	0.00	0.00	0.00	0.00	89.0	0.00

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
01/18/2018 #	MARIANO, DANIELLE	BARNES GROUP INC	S	540.48	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	BIG LOTS INC COM	S	356.46	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	BIOTELEMETRY INC COM	S	396.03	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	BLACKROCK INC	S	1,161.00	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	BLACKSTONE GROUP L P	S	1,363.05	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	CANTEL MEDICAL CORPORATION	S	895.20	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	COMFORT SYSTEMS USA INC	S	259.20	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	CONTROL4 CORPORATION	S	697.41	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	COOPER STANDARD HOLDINGS INC	S	398.31	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	DAVE & BUSTERS ENTERTAINMENT	S	230.06	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	DCT INDUSTRIAL TRUST INC	S	2,083.47	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	ENTEGRIS INC	S	1,217.70	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	ESTERLINE TECHNOLOGIES CORP	S	1,113.79	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	EURONET WORLDWIDE INC	S	475.10	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	FCB FINANCIAL HOLDINGS INC	S	776.30	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	FQF TR	S	1,212.26	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	FQF TR	S	259.28	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	G-III APPAREL GROUP LTD	S	233.06	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	GUGGENHEIM S&P GLOBAL WATER	S	143.80	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	HEALTH EQUITY INC	S	290.70	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	IBERIABANK CORP	S	658.42	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	ICU MEDICAL INC	S	463.00	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	IMPAX LABORATORIES INC	S	373.95	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	INDEXIQ ETF TR	S	602.28	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	INDEXIQ ETF TR	S	347.71	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	INSTALLED BUILDING PRODUCTS	S	371.00	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	INTEGRATED DEVICE TECHNOLOGY	S	717.48	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	INTER PARFUMS INC	S	423.45	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	ISHARES GOLD TRUST	S	127.60	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	ISHARES INC	S	1,464.87	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	ISHARES TR	S	283.74	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	ISHARES TR BARCLAYS TREAS INFLATION	S	226.85	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	ISHARES TR FTSE NAREIT RESIDENTIAL	S	347.76	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	ISHARES TRUST ISHARES EDGE MSCI MIN	S	1,643.84	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	ISHARES TRUST ISHARES EDGE MSCI MIN	S	593.59	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	ISHARES TRUST S&P GLOBAL	S	225.12	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	LCI INDUSTRIES	S	380.48	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	LIGAND PHARMACEUTICALS INC	S	604.29	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	LYDALL INC	S	545.60	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	MATADOR RESOURCES COMPANY	S	387.78	0.00	0.00	0.00	0.00	89.0	0.00

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
01/18/2018 #	MARIANO, DANIELLE	MONOLITHIC POWER SYS INC	S	599.91	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	NATUS MEDICAL INC DEL	S	1,061.90	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	NAUTILUS INC	S	1,081.36	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	PATRICK INDUSTRIES INC	S	679.00	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	POLYONE CORP	S	660.00	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	POST HOLDINGS INC	S	559.16	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	POWERSHARES EXCHANGE TRADED	S	719.95	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	POWERSHARES EXCHANGE-TRADED	S	421.20	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	POWERSHARES GLOBAL LISTED	S	353.16	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	PROOFPOINT INC	S	1,177.80	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	SAIA INC	S	700.20	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	SCHWAB STRATEGIC TR	S	258.40	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	SELECT SECTOR SPDR TRUST SHS BEN IN	S	1,226.24	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	SPDR INDEX SHARES FUNDS	S	147.40	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	SPDR INDEX SHS FDS	S	860.22	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	SPDR SERIES TRUST	S	238.16	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	STAMPS.COM INC	S	1,181.40	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	STEVEN MADDEN LTD	S	525.53	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	TIMKEN CO	S	431.40	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	TTM TECHNOLOGIES INC	S	349.85	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	U S CONCRETE INC	S	390.35	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	UNITED STS COMMODITY INDEX FD COMMO	S	513.48	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	VANGUARD INDEX FUNDS	S	304.02	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	VANGUARD INDEX FUNDS VANGUARD HIGH	S	621.41	0.00	0.00	0.00	0.00	89.0	0.00
01/18/2018 #	MARIANO, DANIELLE	VANGUARD SECTOR INDEX FDS VANGUARD	S	111.58	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	MARIANO, DANIELLE	ADVANCED ENERGY INDUSTRIES INC	B	385.95	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PLOEN, ALLISON	ADVANCED ENERGY INDUSTRIES INC	B	619.36	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	ALPHABET INC CLASS A COMMON STOCK	B	10,448.73	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	ALPHABET INC CLASS C CAPITAL STOCK	B	10,389.15	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	AMAZON.COM INC	B	15,752.40	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	APPLE INC	B	4,788.72	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	BARCLAYS PLC ADR	B	5,104.34	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	BLACKROCK INC	S	1,181.30	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	BLACKROCK INC	S	3,536.04	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	BLACKSTONE GROUP L P	S	1,645.47	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	BLACKSTONE GROUP L P	S	3,336.41	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	MARIANO, DANIELLE	BUILDERS FIRSTSOURCE INC	B	1,128.00	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PLOEN, ALLISON	BUILDERS FIRSTSOURCE INC	B	903.20	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	MARIANO, DANIELLE	CALLAWAY GOLF CO	B	1,403.58	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	CONSTELLATION BRANDS INC CL A	B	7,435.46	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
01/22/2018 #	MARIANO, DANIELLE	EASTGROUP PROPERTIES INC	B	1,293.60	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	EQUINIX INC COM PAR \$0.001 REIT	B	3,928.23	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PLOEN, ALLISON	ESTERLINE TECHNOLOGIES CORP	S	755.00	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	MARIANO, DANIELLE	EXTREME NETWORKS INC	B	1,410.90	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	FACEBOOK INC	B	30,942.75	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	FQF TR	S	1,657.26	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	FQF TR	S	3,818.86	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	FQF TR	S	368.80	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	FQF TR	S	792.92	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	GUGGENHEIM S&P GLOBAL WATER	S	217.56	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	GUGGENHEIM S&P GLOBAL WATER	S	507.50	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PLOEN, ALLISON	HOULIHAN LOKEY INC	B	915.84	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	INDEXIQ ETF TR	S	1,047.75	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	INDEXIQ ETF TR	S	869.40	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	INDEXIQ ETF TR	S	1,882.41	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	INDEXIQ ETF TR	S	476.55	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	ISHARES GOLD TRUST	S	192.00	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	ISHARES GOLD TRUST	S	396.65	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	MARIANO, DANIELLE	ISHARES IBOXX \$ HIGH YIELD	B	18,138.71	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	ISHARES INC	S	2,059.20	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	ISHARES INC	S	4,434.64	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	ISHARES NASDAQ BIOTECHNOLOGY INDEX	S	345.75	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	ISHARES NASDAQ BIOTECHNOLOGY INDEX	S	691.62	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	ISHARES TR	S	378.48	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	ISHARES TR	S	804.44	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	ISHARES TR BARCLAYS TREAS INFLATION	S	339.39	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	ISHARES TR BARCLAYS TREAS INFLATION	S	792.05	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	ISHARES TR FTSE NAREIT RESIDENTIAL	S	467.28	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	ISHARES TR FTSE NAREIT RESIDENTIAL	S	992.97	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	ISHARES TRUST DOW JONES US AEROSPAC	B	3,946.60	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	ISHARES TRUST ISHARES EDGE MSCI MIN	S	2,181.09	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	ISHARES TRUST ISHARES EDGE MSCI MIN	S	4,808.33	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	ISHARES TRUST ISHARES EDGE MSCI MIN	S	868.96	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	ISHARES TRUST ISHARES EDGE MSCI MIN	S	1,901.55	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	ISHARES TRUST MSCI EMERGING	B	5,855.46	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	ISHARES TRUST S&P GLOBAL	S	304.88	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	ISHARES TRUST S&P GLOBAL	S	608.40	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	JOHNSON & JOHNSON	B	3,976.02	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	JPMORGAN CHASE & CO	B	3,966.90	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	LIBERTY BROADBAND CORPORATION	B	1,777.48	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
01/22/2018 #	CHU, WILLIAM	LIBERTY BROADBAND CORPORATION	B	3,380.40	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	MARIANO, DANIELLE	LITTELFUSE INC	B	219.48	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	MASTERCARD INC	B	22,826.57	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	MARIANO, DANIELLE	META FINL GROUP INC	B	314.40	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PLOEN, ALLISON	META FINL GROUP INC	B	419.60	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PLOEN, ALLISON	NATUS MEDICAL INC DEL	S	542.45	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	NETFLIX COM INC	B	15,857.09	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	NVIDIA CORP	B	10,443.59	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	MARIANO, DANIELLE	ON ASSIGNMENT INC	S	203.88	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	PALO ALTO NETWORKS INC	B	9,285.41	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	POWERSHARES EXCHANGE TRADED	S	1,023.48	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	POWERSHARES EXCHANGE TRADED	S	2,217.81	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	POWERSHARES EXCHANGE-TRADED	S	561.00	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	POWERSHARES EXCHANGE-TRADED	S	1,235.52	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	POWERSHARES GLOBAL LISTED	S	450.16	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	POWERSHARES GLOBAL LISTED	S	979.03	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	PRICELINE COM INC COM NEW	B	23,079.72	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	PROSHARES ULTRA QQQ	B	152,398.68	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	SCHWAB STRATEGIC TR	S	360.78	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	SCHWAB STRATEGIC TR	S	721.70	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	SECTOR SPDR TRUST	B	11,655.13	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	SECTOR SPDR TRUST SHS BEN INT TECHN B	B	19,622.88	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	SELECT SECTOR SPDR FUND	B	11,776.89	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	SELECT SECTOR SPDR FUND SHS BEN INT B	B	13,740.75	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	SELECT SECTOR SPDR TRUST SHS BEN IN B	B	15,800.70	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	SELECT SECTOR SPDR TRUST SHS BEN IN S	S	2,956.78	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	SELECT SECTOR SPDR TRUST SHS BEN IN S	S	1,322.60	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	MARIANO, DANIELLE	SILICON MOTION TECHNOLOGY CORPORATI B	B	691.88	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	SPDR INDEX SHARES FUNDS	S	185.35	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	SPDR INDEX SHARES FUNDS	S	444.12	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	SPDR INDEX SHS FDS	S	1,204.50	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	SPDR INDEX SHS FDS	S	2,647.16	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	SPDR SER TR S&P BIOTECH ETF	B	8,176.07	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	SPDR SER TR S&P REGL BKG ETF	B	13,624.69	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	MARIANO, DANIELLE	SPDR SERIES TRUST	B	3,024.54	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	SPDR SERIES TRUST	S	356.88	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	SPDR SERIES TRUST	S	743.50	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	MARIANO, DANIELLE	SPDR SERIES TRUST BARCLAYS HIGH YIE B	B	15,111.45	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	TENCENT HOLDINGS LIMITED UNSPONSORE B	B	4,200.36	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	TESLA INC COMMON STOCK	B	28,534.39	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: STOCKS</u></b>										
01/22/2018 #	EDWARDS, HAROLD	UNITED STS COMMODITY INDEX FD COMMO S		729.98	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	UNITED STS COMMODITY INDEX FD COMMO S		1,586.93	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	VANGUARD INDEX FUNDS	B	9,771.71	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	VANGUARD INDEX FUNDS	S	462.30	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	VANGUARD INDEX FUNDS	S	1,077.65	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	VANGUARD INDEX FUNDS SMALL-CAP VALU B		3,847.48	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	VANGUARD INDEX FUNDS VANGUARD HIGH S		894.00	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	VANGUARD INDEX FUNDS VANGUARD HIGH S		2,412.72	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	VANGUARD SECTOR INDEX FDS	B	19,612.65	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	VANGUARD SECTOR INDEX FDS VANGUARD B		15,720.06	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	VANGUARD SECTOR INDEX FDS VANGUARD B		9,884.81	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	EDWARDS, HAROLD	VANGUARD SECTOR INDEX FDS VANGUARD S		221.74	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	PARKER-KELLY, MURIEL	VANGUARD SECTOR INDEX FDS VANGUARD S		445.56	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	CHU, WILLIAM	VANGUARD TAX-MANAGED FD MSCI EAFE E B		9,821.05	0.00	0.00	0.00	0.00	89.0	0.00
01/22/2018 #	MARIANO, DANIELLE	WERNER ENTERPRISES INC	B	1,573.20	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	***ALKERMES PLC	S	707.04	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	***AXALTA COATING SYSTEMS LTD	S	650.37	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	***CHINA LODGING GROUP LTD	S	1,096.55	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	***ICON PLC	S	1,033.11	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	***LIONS GATE ENTMT CORP	S	483.98	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	PLOEN, ALLISON	***NOVANTA INC	B	1,235.85	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	ACI WORLDWIDE INC	S	506.88	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	ADVANCED ENERGY INDUSTRIES INC	S	770.10	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	ALIGN TECHNOLOGY INC	S	2,742.60	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	ARISTA NETWORKS INC COM	S	1,911.91	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	AUTOLIV INC	S	821.52	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	BERRY GLOBAL GROUP INC	S	1,001.30	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	BLACKSTONE GROUP L P	S	1,157.31	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	BORG WARNER AUTOMOTIVE INC	S	951.15	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	BROADRIDGE FINANCIAL SOLUTIONS	S	1,257.75	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	CAMBREX CORP	S	333.60	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	CBRE GROUP INC	S	1,237.68	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	CHENIERE ENERGY INC	S	682.80	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	COPART INC	S	994.62	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	CSRA INC COM	S	1,166.87	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	DAVE & BUSTERS ENTERTAINMENT	S	294.47	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	DIAMONDBACK ENERGY INC COM	S	928.27	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	DOLBY LABORATORIES INC CL A	S	1,231.20	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	DOMINOS PIZZA INC	S	872.76	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	DUNKIN BRANDS GROUP INC	S	1,316.20	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: STOCKS</u></b>										
01/23/2018 #	MARIANO, RUSSELL	EAGLE MATERIALS INC	S	932.08	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	EMCOR GROUP INC	S	1,069.38	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	ENTEGRIS INC	S	1,149.23	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	EVERCORE INC	S	967.00	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	EDWARDS, HAROLD	FIRST TR EXCHANGE TRADED FD II	B	1,996.56	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	EDWARDS, HAROLD	FIRST TR EXCHANGE TRADED FD II	B	547.08	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	EDWARDS, HAROLD	FIRST TR EXCHANGE TRADED FD II	B	5,135.13	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	EDWARDS, HAROLD	FIRST TR EXCHANGE-TRADED FD II CONS B	B	1,440.32	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	FORTINET INC	S	947.31	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	FQF TR	S	1,016.80	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	FQF TR	S	222.00	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	GRACO INC	S	739.95	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	GRUBHUB INC	S	780.12	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	GUGGENHEIM S&P GLOBAL WATER	S	145.28	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	GUIDEWIRE SOFTWARE INC	S	696.96	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	HAWAIIAN HOLDINGS INC	S	487.50	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	HILTON GRAND VACATIONS INC	S	968.66	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	IAC / INTERACTIVECORP	S	1,293.39	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	ICU MEDICAL INC	S	940.00	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	INDEXIQ ETF TR	S	520.92	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	INDEXIQ ETF TR	S	285.21	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	IONIS PHARMACEUTICALS INC COM	S	635.52	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	ISHARES GOLD TRUST	S	115.61	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	PARKER-KELLY, MURIEL	ISHARES IBOXX \$ HIGH YIELD	B	15,268.48	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	ISHARES INC	S	1,226.45	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	ISHARES TR	S	236.90	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	ISHARES TR BARCLAYS TREAS INFLATION	S	226.70	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	ISHARES TR FTSE NAREIT RESIDENTIAL	S	296.30	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	ISHARES TRUST ISHARES EDGE MSCI MIN	S	1,435.07	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	ISHARES TRUST ISHARES EDGE MSCI MIN	S	545.15	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	EDWARDS, HAROLD	ISHARES TRUST ISHARES FLOATING RATE	B	1,070.37	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	EDWARDS, HAROLD	ISHARES TRUST ISHARES FLOATING RATE	B	509.75	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	EDWARDS, HAROLD	ISHARES TRUST RUSSELL 2000 INDEX FD	B	3,670.11	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	ISHARES TRUST S&P GLOBAL	S	152.02	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	LIBERTY MEDIA CORPORATION	S	745.60	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	LITHIA MOTORS INC-CL A	S	618.30	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	LIVE NATION ENTERTAINMENT INC	S	218.80	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	MEDIDATA SOLUTIONS INC	S	742.50	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	MERCADOLIBRE INC	S	1,414.56	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	MIDDLEBY CORP	S	817.38	0.00	0.00	0.00	0.00	89.0	0.00



COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: STOCKS</u></b>										
01/23/2018 #	MARIANO, RUSSELL	MOMENTA PHARMACEUTICALS INC	S	331.50	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	NAVIENT CORPORATION	S	509.20	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	NORDSON CORP	S	1,208.00	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	NUTANIX INC CL A	S	1,200.00	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	OLD DOMINION FREIGHT LINES INC	S	1,346.40	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	OWENS CORNING	S	668.50	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	POWER INTEGRATIONS INC	S	693.00	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	POWERSHARES EXCHANGE TRADED	S	600.04	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	POWERSHARES EXCHANGE-TRADED	S	337.92	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	POWERSHARES GLOBAL LISTED	S	305.67	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	PRESTIGE BRANDS HLDGS INC	S	361.60	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	PULTEGROUP INC	S	1,212.40	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	REINSURANCE GROUP OF AMERICA	S	1,141.09	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	RSP PERMIAN INC	S	464.20	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	SCHWAB STRATEGIC TR	S	206.56	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	EDWARDS, HAROLD	SECTOR SPDR - FINANCIAL	B	4,376.19	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	EDWARDS, HAROLD	SECTOR SPDR TRUST SBI CONSUMER	B	1,455.50	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	EDWARDS, HAROLD	SELECT SCTOR SPDR TR SBI UTILS SELE B		709.80	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	EDWARDS, HAROLD	SELECT SECTOR SPDR FUND	B	1,824.27	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	EDWARDS, HAROLD	SELECT SECTOR SPDR FUND SHS BEN INT B		4,005.00	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	SELECT SECTOR SPDR TRUST SHS BEN IN S		1,168.05	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	EDWARDS, HAROLD	SELECT SECTOR SPDR TRUST THE REAL E B		1,093.61	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	SPDR INDEX SHARES FUNDS	S	111.09	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	SPDR INDEX SHS FDS	S	728.85	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	EDWARDS, HAROLD	SPDR S&P 500 ETF TR	B	3,682.38	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	PARKER-KELLY, MURIEL	SPDR SERIES TRUST	B	2,528.62	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	SPDR SERIES TRUST	S	208.32	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	PARKER-KELLY, MURIEL	SPDR SERIES TRUST BARCLAYS HIGH YIE B		12,696.21	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	SPLUNK INC COM	S	1,016.22	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	EDWARDS, HAROLD	STANDARD & POORS MIDCAP 400 SPDR S& B		3,616.60	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	SUPERIOR ENERGY SERVICES INC	S	245.30	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	SUPERNUS PHARMACEUTICALS INC COM	S	852.15	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	TERADATA CORP	S	237.66	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	TESARO INC	S	292.08	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	TOTAL SYSTEM SERVICES INC	S	1,255.65	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	TRIMBLE INC	S	2,061.26	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	ULTIMATE SOFTWARE GROUP INC	S	457.66	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	UNITED RENTALS INC	S	1,298.64	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	UNITED STS COMMODITY INDEX FD COMMO S		429.50	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	VAIL RESORTS INC	S	701.19	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: STOCKS</u></b>										
01/23/2018 #	MARIANO, RUSSELL	VANGUARD INDEX FUNDS	S	309.18	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	VANGUARD INDEX FUNDS VANGUARD HIGH	S	537.48	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	VANGUARD SECTOR INDEX FDS VANGUARD	S	112.30	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	VEEVA SYSTEMS INC	S	532.53	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	WABTEC CORP	S	663.12	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	WAGEWORKS INC	S	302.50	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	WESTERN ALLIANCE	S	1,127.65	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	MARIANO, RUSSELL	WYNDHAM WORLDWIDE CORPORATION	S	1,147.95	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	3M COMPANY	B	990.80	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	B	3,250.64	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	B	5,727.18	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	B	3,841.35	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	B	4,992.42	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	B	6,104.61	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	B	4,069.08	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	B	6,609.92	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	B	6,806.16	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	B	3,329.05	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	B	3,210.88	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	AFLAC INC	B	706.24	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	AMERICAN TOWER CORPORATION NEW REIT	B	1,132.56	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	ANHEUSER-BUSCH INBEV SA SPONSORED A	B	1,025.73	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	APPLE INC	B	1,399.68	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	BANK NEW YORK MELLON CORP	B	1,329.40	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	BANK OF AMERICA CORP	B	1,697.06	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	BLACKROCK INC	B	589.48	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	COCA COLA COMPANY (THE)	B	1,384.90	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	COMCAST CORP NEW CL A	B	1,350.72	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	DOWDUPONT INC COMMON STOCK	B	1,528.00	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	ECOLAB INC	B	961.52	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	ENBRIDGE INC	B	1,538.16	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	EXXON MOBIL CORP	B	1,328.25	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	GENERAL MOTORS COMPANY	B	1,013.38	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	HEALTHCARE TRUST OF AMERICA INC CL	B	839.10	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	HOME DEPOT INC	B	1,650.56	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	INTEL CORP	B	318.50	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	INTERNATIONAL PAPER CO	B	1,342.74	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	JOHNSON & JOHNSON	B	1,705.56	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	KIMBERLY CLARK CORP	B	355.26	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	MASTERCARD INC	B	998.46	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
01/24/2018 #	MARIANO, RUSSELL	MCCORMICK & CO INC NON-VOTING	B	699.86	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	MCDONALDS CORP	B	881.60	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	MERCK & CO INC	B	1,654.29	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	METLIFE INC	B	1,023.53	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	MICROSOFT CORP	B	1,296.08	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	MONDELEZ INTERNATIONAL INC COM	B	1,159.08	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	NESTLE SA-SPONSORED ADR REPSTG REGD B	B	1,660.22	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	NEXTERA ENERGY INC	B	908.16	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	PENTAIR PLC SHS	B	660.96	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	PEPSICO INC	B	1,331.88	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	PFIZER INC	B	1,181.76	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	PHILLIPS 66 COM	B	950.49	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	PPG INDUSTRIES INC	B	1,537.64	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	PRAXAIR INC	B	638.20	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	PROCTER & GAMBLE CO	B	1,500.76	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	RAYTHEON CO COM	B	988.36	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	SCHLUMBERGER LTD	B	1,481.81	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	SYSCO CORP	B	1,336.23	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	TEXAS INSTRUMENTS INCORPORATED	B	1,121.30	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	THE TRAVELERS COMPANIES INC	B	886.71	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	TIME WARNER INC NEW	B	1,313.90	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	TRACTOR SUPPLY CO	B	1,203.15	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	UNION PACIFIC CORP	B	994.70	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	UNITED PARCEL SVC INC CL B	B	1,449.36	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	UNITEDHEALTH GROUP INC	B	738.93	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	US BANCORP DEL COM NEW	B	517.59	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	VERIZON COMMUNICATIONS	B	646.68	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	VISA INC	B	994.72	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	WALT DISNEY CO	B	1,331.76	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	WASTE MANAGEMENT INC DEL	B	974.60	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	WEC ENERGY GROUP INC	B	1,327.62	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	WEYERHAEUSER CO	B	1,534.63	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	WILLIAMS COMPANIES INC	B	1,368.17	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	MARIANO, RUSSELL	ZOETIS INC	B	857.43	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	MARIANO, DANIELLE	***NOVANTA INC	B	1,647.80	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	ALIBABA GROUP HOLDING LTD SPONSORED S	S	1,981.10	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	ALPHABET INC CLASS A COMMON STOCK	B	5,882.05	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	ALPHABET INC CLASS A COMMON STOCK	S	2,356.00	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	ALPHABET INC CLASS C CAPITAL STOCK	B	5,841.90	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	ALPHABET INC CLASS C CAPITAL STOCK	S	1,169.00	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
01/25/2018 #	CHU, WILLIAM	AMAZON.COM INC	S	1,369.95	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	AMAZON.COM INC	B	8,196.00	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	AMAZON.COM INC	S	2,739.90	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	AMERIPRISE FINL INC	S	1,391.76	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	AMPHENOL CORPORATION CLASS A COM	S	1,642.86	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	APPLE INC	B	2,596.05	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	BANK NEW YORK MELLON CORP	S	2,283.20	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	BANK OF AMERICA CORP	S	2,724.25	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	BARCLAYS PLC ADR	B	2,556.23	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	BIOGEN IDEC INC	S	705.22	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	BLACKROCK INC	S	8,052.66	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	BLACKROCK INC	S	2,300.76	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	BLACKROCK INC	S	575.19	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		BLACKROCK INC	S	575.19	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	BLACKSTONE GROUP L P	S	8,962.00	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	BLACKSTONE GROUP L P	S	2,285.85	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	BLACKSTONE GROUP L P	S	907.09	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		BLACKSTONE GROUP L P	S	834.52	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	BORG WARNER AUTOMOTIVE INC	S	1,234.86	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	MARIANO, DANIELLE	BUILDERS FIRSTSOURCE INC	B	516.35	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	CELANESE CORPORATION SERIES A	S	1,344.36	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	CELGENE CORP	S	1,147.96	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	COMCAST CORP NEW CL A	S	1,014.72	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	CONSTELLATION BRANDS INC CL A	B	3,765.84	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	COSTCO WHOLESALE CORP-NEW	S	1,973.00	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	DIAMONDBACK ENERGY INC COM	S	892.78	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	EDWARDS LIFESCIENCES CORP	S	1,392.05	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	ELECTRONIC ARTS INC	S	1,715.55	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	ELI LILLY & CO	S	1,102.66	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	EOG RES INC	S	1,053.81	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	EQUINIX INC COM PAR \$0.001 REIT	B	2,189.30	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	MARIANO, DANIELLE	EXTREME NETWORKS INC	B	653.28	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	FACEBOOK INC	B	16,113.82	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	FACEBOOK INC	S	2,631.30	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	FEDEX CORP	S	1,611.18	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	FOOT LOCKER INC	S	783.60	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DOULOS, MICHAEL	FQF TR	S	1,017.21	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	FQF TR	S	11,287.59	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	FQF TR	S	2,480.79	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	FQF TR	S	1,017.12	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: STOCKS</u></b>										
01/25/2018 #	DOULOS, MICHAEL	FQF TR	S	222.96	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	FQF TR	S	539.11	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	FQF TR	S	223.08	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		FQF TR	S	793.85	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		FQF TR	S	185.90	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	GILEAD SCIENCES INC	S	1,459.80	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DOULOS, MICHAEL	GUGGENHEIM S&P GLOBAL WATER	S	144.84	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	GUGGENHEIM S&P GLOBAL WATER	S	1,264.55	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	GUGGENHEIM S&P GLOBAL WATER	S	325.17	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	GUGGENHEIM S&P GLOBAL WATER	S	108.39	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		GUGGENHEIM S&P GLOBAL WATER	S	108.39	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	HALLIBURTON CO	S	1,160.88	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	HASBRO INC	S	1,146.48	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	HD SUPPLY HOLDINGS INC	S	1,026.74	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	INDEXIQ ETF TR	S	2,931.30	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	INDEXIQ ETF TR	S	700.96	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	INDEXIQ ETF TR	S	286.76	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DOULOS, MICHAEL	INDEXIQ ETF TR	S	495.21	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	INDEXIQ ETF TR	S	4,787.64	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	INDEXIQ ETF TR	S	1,247.69	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	INDEXIQ ETF TR	S	464.26	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DOULOS, MICHAEL	INDEXIQ ETF TR	S	286.74	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		INDEXIQ ETF TR	S	406.22	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES CORE S&P 500 ETF	S	3,711.76	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES CORE S&P MID CAP ETF	S	1,188.24	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DOULOS, MICHAEL	ISHARES GOLD TRUST	S	104.48	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	ISHARES GOLD TRUST	S	1,085.48	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	ISHARES GOLD TRUST	S	271.37	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	ISHARES GOLD TRUST	S	103.38	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES GOLD TRUST	S	90.46	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	ISHARES IBOXX \$ HIGH YIELD	S	5,438.02	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DOULOS, MICHAEL	ISHARES INC	S	1,172.16	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	ISHARES INC	S	11,493.88	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	ISHARES INC	S	2,922.17	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	ISHARES INC	S	1,103.93	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES INC	S	974.06	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	ISHARES NASDAQ BIOTECHNOLOGY INDEX	S	1,398.24	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	ISHARES NASDAQ BIOTECHNOLOGY INDEX	S	349.56	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	ISHARES TR	S	2,084.72	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	ISHARES TR	S	521.18	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
01/25/2018 #	DITTMANN, WALTER	ISHARES TR	S	189.52	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES TR	S	189.52	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	ISHARES TR BARCLAYS TREAS INFLATION S	S	2,041.92	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	ISHARES TR BARCLAYS TREAS INFLATION S	S	453.76	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	ISHARES TR BARCLAYS TREAS INFLATION S	S	226.88	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES TR BARCLAYS TREAS INFLATION S	S	113.44	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES TR CORE MSCI EAFE ETF	S	1,128.16	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	ISHARES TR FTSE NAREIT RESIDENTIAL S	S	705.60	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	ISHARES TR FTSE NAREIT RESIDENTIAL S	S	294.00	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES TR FTSE NAREIT RESIDENTIAL S	S	235.20	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES TR ISHARES EDGE MSCI USA MO S	S	447.88	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES TRUST BARCLAYS 20+YEAR	S	246.88	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES TRUST BARCLAYS 7-10 YEAR TR S	S	415.32	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	ISHARES TRUST DOW JONES US AEROSPAC B	B	1,998.80	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES TRUST ISHARES CURRENCY HEDG S	S	1,917.73	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DOULOS, MICHAEL	ISHARES TRUST ISHARES EDGE MSCI MIN S	S	1,295.23	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	ISHARES TRUST ISHARES EDGE MSCI MIN S	S	12,500.40	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	ISHARES TRUST ISHARES EDGE MSCI MIN S	S	3,181.92	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	ISHARES TRUST ISHARES EDGE MSCI MIN S	S	1,212.16	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DOULOS, MICHAEL	ISHARES TRUST ISHARES EDGE MSCI MIN S	S	492.75	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	ISHARES TRUST ISHARES EDGE MSCI MIN S	S	5,034.70	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	ISHARES TRUST ISHARES EDGE MSCI MIN S	S	1,258.68	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	ISHARES TRUST ISHARES EDGE MSCI MIN S	S	492.53	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES TRUST ISHARES EDGE MSCI MIN S	S	1,060.64	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES TRUST ISHARES EDGE MSCI MIN S	S	437.80	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES TRUST ISHARES EDGE MSCI MIN S	S	328.50	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	ISHARES TRUST MSCI EMERGING	B	3,043.80	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES TRUST S&P 500 GROWTH INDEX S	S	1,310.48	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES TRUST S&P 500 VALUE INDEX F S	S	1,801.20	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DOULOS, MICHAEL	ISHARES TRUST S&P GLOBAL	S	153.62	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	ISHARES TRUST S&P GLOBAL	S	1,606.71	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	ISHARES TRUST S&P GLOBAL	S	382.55	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	ISHARES TRUST S&P GLOBAL	S	153.02	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES TRUST S&P GLOBAL	S	153.02	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		ISHARES TRUST S&P SMALLCAP 600	S	715.50	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	JOHNSON & JOHNSON	B	2,009.70	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	JOHNSON CONTROLS INTERNATIONAL PLC	S	651.20	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	JPMORGAN CHASE & CO	B	2,074.32	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	LIBERTY BROADBAND CORPORATION	B	946.70	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	LIBERTY BROADBAND CORPORATION	B	1,716.30	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
01/25/2018 #	GALLO, SEBASTIANO	LOWES COMPANIES INC	S	1,488.90	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	MASTERCARD INC	B	11,947.16	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	MAXIM INTEGRATED PRODUCTS INC	S	1,400.00	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	MICROSOFT CORP	S	4,059.44	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	NETFLIX COM INC	S	1,884.19	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	NETFLIX COM INC	B	8,262.12	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	NVIDIA CORP	B	5,454.22	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	NXP SEMICONDUCTORS US LISTED	S	2,049.69	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	PALO ALTO NETWORKS INC	B	4,892.73	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	PAYPAL HOLDINGS INC COM	S	1,608.54	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DOULOS, MICHAEL	POWERSHARES EXCHANGE TRADED	S	597.80	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	POWERSHARES EXCHANGE TRADED	S	5,750.33	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	POWERSHARES EXCHANGE TRADED	S	1,448.23	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	POWERSHARES EXCHANGE TRADED	S	553.74	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		POWERSHARES EXCHANGE TRADED	S	511.14	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	POWERSHARES EXCHANGE-TRADED	S	3,272.33	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	POWERSHARES EXCHANGE-TRADED	S	825.20	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	POWERSHARES EXCHANGE-TRADED	S	313.01	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		POWERSHARES EXCHANGE-TRADED	S	284.55	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DOULOS, MICHAEL	POWERSHARES GLOBAL LISTED	S	269.00	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	POWERSHARES GLOBAL LISTED	S	656.11	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	POWERSHARES GLOBAL LISTED	S	241.02	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		POWERSHARES GLOBAL LISTED	S	227.63	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	PPG INDUSTRIES INC	S	1,079.82	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	PRICELINE COM INC COM NEW	B	11,797.26	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	PRICELINE COM INC COM NEW	S	1,950.57	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	PROLOGIS INC	S	1,212.96	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	PROSHARES ULTRA QQQ	S	1,537.38	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	PROSHARES ULTRA QQQ	B	79,075.22	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	RED HAT INC	S	2,479.88	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	REGENERON PHARMACEUTICALS INC	S	1,178.22	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	SCHWAB STRATEGIC TR	S	1,961.94	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	SCHWAB STRATEGIC TR	S	516.30	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	SCHWAB STRATEGIC TR	S	206.52	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		SCHWAB STRATEGIC TR	S	154.89	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	SECTOR SPDR TRUST	B	6,126.11	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	SECTOR SPDR TRUST SHS BEN INT TECHN B		10,184.00	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	SELECT SECTOR SPDR FUND	B	6,033.99	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	SELECT SECTOR SPDR FUND SHS BEN INT B		7,147.99	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	SELECT SECTOR SPDR TRUST SHS BEN IN B		8,122.55	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: STOCKS</u></b>										
01/25/2018 #	DOULOS, MICHAEL	SELECT SECTOR SPDR TRUST SHS BEN IN S		696.06	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	SELECT SECTOR SPDR TRUST SHS BEN IN S		1,154.40	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	SELECT SECTOR SPDR TRUST SHS BEN IN S		538.72	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		SELECT SECTOR SPDR TRUST SHS BEN IN S		1,077.44	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DOULOS, MICHAEL	SPDR INDEX SHARES FUNDS	S	112.80	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	SPDR INDEX SHARES FUNDS	S	1,154.44	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	SPDR INDEX SHARES FUNDS	S	335.16	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	SPDR INDEX SHARES FUNDS	S	111.72	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		SPDR INDEX SHARES FUNDS	S	111.72	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	SPDR INDEX SHS FDS	S	1,742.04	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	SPDR INDEX SHS FDS	S	677.46	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		SPDR INDEX SHS FDS	S	580.68	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	SPDR SER TR S&P BIOTECH ETF	B	4,110.80	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	SPDR SER TR S&P REGL BKG ETF	B	7,042.29	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	SPDR SERIES TRUST	S	1,389.01	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	SPDR SERIES TRUST	S	1,993.93	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	SPDR SERIES TRUST	S	505.92	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	SPDR SERIES TRUST	S	208.32	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		SPDR SERIES TRUST	S	178.56	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	SPDR SERIES TRUST BARCLAYS HIGH YIE S		4,021.27	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	STARBUCKS CORP	S	1,275.54	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	STRYKER CORP	S	1,812.03	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	TENCENT HOLDINGS LIMITED UNSPONSORE B		2,127.20	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	TESLA INC COMMON STOCK	B	14,577.78	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	THERMO FISHER SCIENTIFIC INC	S	1,745.04	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	TJX COMPANIES INC NEW	S	1,501.19	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	MARIANO, DANIELLE	TTM TECHNOLOGIES INC	S	284.16	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	UNITED CONTINENTAL HLDGS INC	S	1,322.20	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DOULOS, MICHAEL	UNITED STS COMMODITY INDEX FD COMMO S		435.40	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	UNITED STS COMMODITY INDEX FD COMMO S		4,196.22	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	UNITED STS COMMODITY INDEX FD COMMO S		1,081.50	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	UNITED STS COMMODITY INDEX FD COMMO S		432.60	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		UNITED STS COMMODITY INDEX FD COMMO S		346.08	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	UNITEDHEALTH GROUP INC	S	2,691.15	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	VANGUARD INDEX FUNDS	B	5,029.71	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DOULOS, MICHAEL	VANGUARD INDEX FUNDS	S	308.08	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	VANGUARD INDEX FUNDS	S	2,768.76	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	VANGUARD INDEX FUNDS	S	769.10	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	VANGUARD INDEX FUNDS	S	307.64	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		VANGUARD INDEX FUNDS	S	307.64	0.00	0.00	0.00	0.00	89.0	0.00



COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
01/25/2018 #	CHU, WILLIAM	VANGUARD INDEX FUNDS SMALL-CAP VALU B		2,062.95	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SONNIA	VANGUARD INDEX FUNDS VANGUARD EXTEN S		3,974.60	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, TERESE	VANGUARD INDEX FUNDS VANGUARD EXTEN S		4,909.80	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DOULOS, MICHAEL	VANGUARD INDEX FUNDS VANGUARD HIGH S		537.84	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	VANGUARD INDEX FUNDS VANGUARD HIGH S		5,186.95	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	VANGUARD INDEX FUNDS VANGUARD HIGH S		1,341.45	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	VANGUARD INDEX FUNDS VANGUARD HIGH S		447.15	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		VANGUARD INDEX FUNDS VANGUARD HIGH S		447.15	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	PICCIONE, CHRISTOPHER	VANGUARD INTERMEDIATE TERM BOND ETF S		2,318.68	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SONNIA	VANGUARD INTERMEDIATE TERM BOND ETF S		2,567.11	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, TERESE	VANGUARD INTERMEDIATE TERM BOND ETF S		1,324.96	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	PICCIONE, CHRISTOPHER	VANGUARD INTERNATIONAL EQUITY INDEX S		5,959.40	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SONNIA	VANGUARD INTERNATIONAL EQUITY INDEX S		2,929.20	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, TERESE	VANGUARD INTERNATIONAL EQUITY INDEX S		4,292.79	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	PICCIONE, CHRISTOPHER	VANGUARD LONG TERM BOND ETF	S	1,867.80	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SONNIA	VANGUARD LONG TERM BOND ETF	S	2,147.97	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, TERESE	VANGUARD LONG TERM BOND ETF	S	1,214.07	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	PICCIONE, CHRISTOPHER	VANGUARD RUSSELL 1000	S	20,292.99	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	PICCIONE, CHRISTOPHER	VANGUARD RUSSELL 1000	S	19,120.08	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	PICCIONE, CHRISTOPHER	VANGUARD RUSSELL 2000	S	3,179.75	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SONNIA	VANGUARD S&P 500 ETF	S	17,971.74	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, TERESE	VANGUARD S&P 500 ETF	S	21,618.18	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	PICCIONE, CHRISTOPHER	VANGUARD SCOTTSDALE FUNDS VANGUARD S		2,392.11	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SONNIA	VANGUARD SCOTTSDALE FUNDS VANGUARD S		2,548.12	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, TERESE	VANGUARD SCOTTSDALE FUNDS VANGUARD S		1,352.06	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	VANGUARD SECTOR INDEX FDS	B	10,163.14	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	VANGUARD SECTOR INDEX FDS VANGUARD B		8,141.08	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	VANGUARD SECTOR INDEX FDS VANGUARD B		5,122.78	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DOULOS, MICHAEL	VANGUARD SECTOR INDEX FDS VANGUARD S		111.85	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	VANGUARD SECTOR INDEX FDS VANGUARD S		1,121.70	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	ALTMAN, CONNIE	VANGUARD SECTOR INDEX FDS VANGUARD S		224.34	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, WALTER	VANGUARD SECTOR INDEX FDS VANGUARD S		112.17	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #		VANGUARD SECTOR INDEX FDS VANGUARD S		112.17	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	PICCIONE, CHRISTOPHER	VANGUARD SHORT TERM BOND ETF	S	4,256.55	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SONNIA	VANGUARD SHORT TERM BOND ETF	S	4,965.98	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, TERESE	VANGUARD SHORT TERM BOND ETF	S	2,758.88	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	CHU, WILLIAM	VANGUARD TAX-MANAGED FD MSCI EAFE E B		5,103.64	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	PICCIONE, CHRISTOPHER	VANGUARD TAX-MANAGED FD MSCI EAFE E S		21,939.70	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SONNIA	VANGUARD TAX-MANAGED FD MSCI EAFE E S		11,112.94	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	DITTMANN, TERESE	VANGUARD TAX-MANAGED FD MSCI EAFE E S		13,879.25	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: STOCKS</u></b>										
01/25/2018 #	PICCIONE, CHRISTOPHER	VANGUARD TOTAL INTERNATIONAL BOND E S		4,713.23	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SONNIA	VANGUARD TOTAL INTERNATIONAL BOND E S		2,167.00	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	VERISK ANALYTICS INC COM	S	1,184.52	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	VISA INC	S	3,251.56	0.00	0.00	0.00	0.00	89.0	0.00
01/25/2018 #	GALLO, SEBASTIANO	VMWARE INC CL A	S	2,204.80	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	B	204.72	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	APPLE INC	B	1,026.96	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	BARCLAYS PLC ADR	B	3,679.14	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MARIANO, RUSSELL	DAVE & BUSTERS ENTERTAINMENT	S	338.52	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	EQUINIX INC COM PAR \$0.001 REIT	B	3,060.05	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	PLOEN, ALLISON	EXTREME NETWORKS INC	B	481.95	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	PICCIONE, CHRISTOPHER	ISHARES CORE MSCI EMERGING MARKETS	B	16,621.44	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES CORE MSCI EMERGING MARKETS	S	2,936.56	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #		ISHARES CORE MSCI EMERGING MARKETS	B	3,249.30	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES CORE S&P 500 ETF	S	7,173.00	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES CORE S&P MID CAP ETF	S	1,387.40	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	PICCIONE, CHRISTOPHER	ISHARES INC	B	9,528.13	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES INC	S	326.60	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #		ISHARES INC	B	2,910.07	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	PICCIONE, CHRISTOPHER	ISHARES TR	B	6,357.63	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	DOULOS, MICHAEL	ISHARES TR	B	430.00	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #		ISHARES TR	B	1,945.25	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES TR CORE MSCI EAFE ETF	S	494.34	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES TR ISHARES EDGE MSCI USA MO S		3,385.20	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES TR JPMORGAN USD EMERGING MK S		1,043.01	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	PICCIONE, CHRISTOPHER	ISHARES TR MSCI CHINA IDX	B	3,359.40	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #		ISHARES TR MSCI CHINA IDX	B	992.55	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES TRUST BARCLAYS 20+YEAR	S	493.48	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES TRUST BARCLAYS 7-10 YEAR TR S		1,867.14	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	ISHARES TRUST DOW JONES US AEROSPAC B		3,035.40	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES TRUST IBOXX \$ INVESTOP INVE S		722.52	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES TRUST ISHARES CURRENCY HEDG S		2,690.17	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES TRUST ISHARES EDGE MSCI MIN S		610.32	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES TRUST ISHARES EDGE MSCI MIN S		1,978.56	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES TRUST ISHARES EDGE MSCI USA S		968.99	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES TRUST ISHARES INTERMEDIATE S		3,799.95	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES TRUST ISHARES MSCI EAFE VAL S		3,562.21	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	ISHARES TRUST MSCI EMERGING	B	4,411.50	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES TRUST S&P 500 VALUE INDEX F S		842.80	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	ISHARES TRUST S&P SMALLCAP 600	S	800.60	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
01/26/2018 #	WALDRIP, DEBRA	ISHARES U S ETF TR SHORT MATURITY B S		1,104.62	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	DOULOS, MICHAEL	ISHARES U S FINANCIAL SERVICES	B	986.02	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	JOHNSON & JOHNSON	B	1,442.90	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	PICCIONE, CHRISTOPHER	SECTOR SPDR - FINANCIAL	B	20,879.65	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #		SECTOR SPDR - FINANCIAL	B	6,449.89	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	SECTOR SPDR TRUST	B	8,889.71	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	DOULOS, MICHAEL	SECTOR SPDR TRUST SBI CONSUMER	B	583.80	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	PICCIONE, CHRISTOPHER	SECTOR SPDR TRUST SHS BEN INT TECHN B		6,047.06	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	SECTOR SPDR TRUST SHS BEN INT TECHN B		14,774.07	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	DOULOS, MICHAEL	SECTOR SPDR TRUST SHS BEN INT TECHN B		1,579.87	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #		SECTOR SPDR TRUST SHS BEN INT TECHN B		1,855.35	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	DOULOS, MICHAEL	SELECT SECTOR SPDR FUND	B	1,301.28	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	PICCIONE, CHRISTOPHER	SELECT SECTOR SPDR FUND	B	7,048.93	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	SELECT SECTOR SPDR FUND	B	8,892.49	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #		SELECT SECTOR SPDR FUND	B	2,168.90	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	DOULOS, MICHAEL	SELECT SECTOR SPDR FUND SHS BEN INT B		1,815.60	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	SELECT SECTOR SPDR FUND SHS BEN INT B		10,346.36	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	PICCIONE, CHRISTOPHER	SELECT SECTOR SPDR TRUST SHS BEN IN B		2,557.14	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	SELECT SECTOR SPDR TRUST SHS BEN IN B		11,778.33	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #		SELECT SECTOR SPDR TRUST SHS BEN IN B		774.89	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	PLOEN, ALLISON	SILICON MOTION TECHNOLOGY CORPORATI B		495.40	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	SPDR SER TR S&P BIOTECH ETF	B	5,891.37	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	SPDR SER TR S&P REGL BKG ETF	B	10,286.58	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	EDWARDS, HAROLD	STANDARD & POORS MIDCAP 400 SPDR S& B		721.36	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	PICCIONE, CHRISTOPHER	VANECK VECTORS ETF TR JR GOLD MINER B		2,274.35	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #		VANECK VECTORS ETF TR JR GOLD MINER B		699.80	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	VANGUARD INDEX FUNDS	B	7,388.63	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	VANGUARD INDEX FUNDS	S	1,463.15	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	VANGUARD INDEX FUNDS SMALL-CAP VALU B		2,891.91	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	VANGUARD INDEX FUNDS SMALL-CAP VALU S		2,752.80	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SONNIA	VANGUARD INDEX FUNDS VANGUARD TOTAL B		26,141.14	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	DITTMANN, TERESE	VANGUARD INDEX FUNDS VANGUARD TOTAL B		42,497.61	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	VANGUARD INDEX FUNDS VANGUARD TOTAL S		2,336.32	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	VANGUARD INTERNATIONAL EQUITY INDEX S		965.01	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	DOULOS, MICHAEL	VANGUARD INTERNATIONAL EQUITY INDEX B		233.13	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	DOULOS, MICHAEL	VANGUARD INTL EQUITY IND FD FTSE AL B		1,998.01	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	VANGUARD INTL EQUITY INDEX	S	2,660.70	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	VANGUARD SECTOR INDEX FDS	B	14,725.75	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	PICCIONE, CHRISTOPHER	VANGUARD SECTOR INDEX FDS VANGUARD	B	8,901.45	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	VANGUARD SECTOR INDEX FDS VANGUARD	B	11,749.91	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: STOCKS</u></b>										
01/26/2018 #	GALLO, SEBASTIANO	VANGUARD SECTOR INDEX FDS VANGUARD	B	7,353.92	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	PICCIONE, CHRISTOPHER	VANGUARD SECTOR INDEX FDS VANGUARD	B	3,606.75	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #		VANGUARD SECTOR INDEX FDS VANGUARD	B	2,670.44	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #		VANGUARD SECTOR INDEX FDS VANGUARD	B	1,154.16	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SONNIA	VANGUARD STAR FD	B	17,501.26	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	DITTMANN, TERESE	VANGUARD STAR FD	B	28,477.66	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SEBASTIANO	VANGUARD TAX-MANAGED FD MSCI EAFE E B	B	7,352.73	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	VANGUARD TAX-MANAGED FD MSCI EAFE E S	S	1,670.90	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	GALLO, SONNIA	VANGUARD TOTAL BOND MARKET ETF	B	7,591.91	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	DITTMANN, TERESE	VANGUARD TOTAL BOND MARKET ETF	B	12,276.28	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	VANGUARD TOTAL BOND MARKET ETF	S	3,876.48	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	DITTMANN, TERESE	VANGUARD TOTAL INTERNATIONAL BOND E B	B	1,951.92	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	WALDRIP, DEBRA	VANGUARD TOTAL INTERNATIONAL BOND E S	S	3,469.45	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	PLOEN, ALLISON	WERNER ENTERPRISES INC	B	463.65	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	ALTMAN, CONNIE	ISHARES IBOXX \$ HIGH YIELD	B	14,106.34	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	DITTMANN, WALTER	ISHARES IBOXX \$ HIGH YIELD	B	11,828.30	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	WALDRIP, DEBRA	ISHARES IBOXX \$ HIGH YIELD	B	22,692.80	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	DOULOS, MICHAEL	ISHARES TR	B	1,118.62	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	DOULOS, MICHAEL	ISHARES U S FINANCIAL SERVICES	B	2,831.75	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	DOULOS, MICHAEL	SECTOR SPDR TRUST SBI CONSUMER	B	1,581.32	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	DOULOS, MICHAEL	SECTOR SPDR TRUST SHS BEN INT TECHN B	B	4,334.24	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	DOULOS, MICHAEL	SELECT SECTOR SPDR FUND	B	3,591.64	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	DOULOS, MICHAEL	SELECT SECTOR SPDR FUND SHS BEN INT B	B	4,845.66	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	ALTMAN, CONNIE	SPDR SERIES TRUST	B	2,333.51	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	DITTMANN, WALTER	SPDR SERIES TRUST	B	1,972.37	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	WALDRIP, DEBRA	SPDR SERIES TRUST	B	3,778.07	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	ALTMAN, CONNIE	SPDR SERIES TRUST BARCLAYS HIGH YIE B	B	11,717.95	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	DITTMANN, WALTER	SPDR SERIES TRUST BARCLAYS HIGH YIE B	B	9,875.51	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	WALDRIP, DEBRA	SPDR SERIES TRUST BARCLAYS HIGH YIE B	B	18,608.69	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	CHU, WILLIAM	SPX FLOW INC	S	4,910.91	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	DOULOS, MICHAEL	VANGUARD INTERNATIONAL EQUITY INDEX B	B	617.98	0.00	0.00	0.00	0.00	89.0	0.00
01/29/2018 #	DOULOS, MICHAEL	VANGUARD INTL EQUITY IND FD FTSE AL B	B	5,125.78	0.00	0.00	0.00	0.00	89.0	0.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$2,414,141.55</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>										
01/26/2018 #	DITTMANN, WALTER	ORANGE S A SR UNSECURED	S	14,763.45	0.00	0.00	0.00	0.00	89.0	0.00
<b>* BONDS SUBTOTAL *</b>				<b>\$14,763.45</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: RIA</u></b>										
12/15/2017	#PUPLAMU PUPLAMPU, ADI	CREDIT CARD FEES	B	0.00	99.00	0.00	0.00	99.00	89.0	88.11
12/19/2017	#87706924 CHU, WILLIAM	ENVESTNET .	B	0.00	10.91	0.00	0.00	10.91	89.0	9.71
12/19/2017	#87706992 FRALEY, JACQUELINE	ENVESTNET .	B	0.00	5.55	0.00	0.00	5.55	89.0	4.94
12/19/2017	#87706919 GAY, LEO	ENVESTNET .	B	0.00	13.16	0.00	0.00	13.16	89.0	11.71
12/19/2017	#87706998 HOKAMA, WAYSON	ENVESTNET .	B	0.00	14.92	0.00	0.00	14.92	89.0	13.28
12/19/2017	#87706946 HOKAMA, WAYSON	ENVESTNET .	B	0.00	0.80	0.00	0.00	0.80	89.0	0.71
12/19/2017	#87706954 NICHOLSON, JAMES	ENVESTNET .	B	0.00	11.11	0.00	0.00	11.11	89.0	9.89
12/19/2017	#87706988 PLOEN, ALLISON	ENVESTNET .	B	0.00	81.13	0.00	0.00	81.13	89.0	72.21
12/20/2017	#87706987 IDEKER, CHRIS	ENVESTNET .	B	0.00	10.68	0.00	0.00	10.68	89.0	9.51
12/20/2017	#87706975 MORRELL, BRIAN	ENVESTNET .	B	0.00	10.53	0.00	0.00	10.53	89.0	9.37
12/20/2017	#87706993 MORRELL, LYNN	ENVESTNET .	B	0.00	11.49	0.00	0.00	11.49	89.0	10.23
12/20/2017	#87706949 UDVARDY, IMRE	ENVESTNET .	B	0.00	11.44	0.00	0.00	11.44	89.0	10.18
01/01/2018	#87706924 CHU, WILLIAM	ENVESTNET .	B	0.00	75.75	0.00	0.00	75.75	89.0	67.42
01/01/2018	#87706992 FRALEY, JACQUELINE	ENVESTNET .	B	0.00	38.81	0.00	0.00	38.81	89.0	34.54
01/01/2018	#87706919 GAY, LEO	ENVESTNET .	B	0.00	91.52	0.00	0.00	91.52	89.0	81.45
01/01/2018	#87706998 HOKAMA, WAYSON	ENVESTNET .	B	0.00	104.45	0.00	0.00	104.45	89.0	92.96
01/01/2018	#87706946 HOKAMA, WAYSON	ENVESTNET .	B	0.00	5.76	0.00	0.00	5.76	89.0	5.13
01/01/2018	#87706987 IDEKER, CHRIS	ENVESTNET .	B	0.00	81.07	0.00	0.00	81.07	89.0	72.15
01/01/2018	#87706975 MORRELL, BRIAN	ENVESTNET .	B	0.00	79.48	0.00	0.00	79.48	89.0	70.74
01/01/2018	#87706993 MORRELL, LYNN	ENVESTNET .	B	0.00	87.16	0.00	0.00	87.16	89.0	77.57
01/01/2018	#87706954 NICHOLSON, JAMES	ENVESTNET .	B	0.00	76.62	0.00	0.00	76.62	89.0	68.19
01/01/2018	#87706988 PLOEN, ALLISON	ENVESTNET .	B	0.00	560.35	0.00	0.00	560.35	89.0	498.71
01/01/2018	#87706949 UDVARDY, IMRE	ENVESTNET .	B	0.00	86.43	0.00	0.00	86.43	89.0	76.92
<b>* RIA SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$1,568.12</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$1,568.12</b>		<b>\$1,395.63</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>										
01/10/2018	# RYAN, ERICA	DAIN TRAILER	B	0.00	0.27	0.00	0.00	0.27	89.0	0.24
01/10/2018	# RYAN, ERICA	DAIN TRAILER	B	0.00	0.04	0.00	0.00	0.04	89.0	0.04
01/10/2018	# LIMB, JOHN	DAIN TRAILER	B	0.00	3.96	0.00	0.00	3.96	89.0	3.52
01/10/2018	# LIMB, JOHN	DAIN TRAILER	B	0.00	0.89	0.00	0.00	0.89	89.0	0.79
01/10/2018	# DAVENPORT, BLAKE	DAIN TRAILER	B	0.00	0.71	0.00	0.00	0.71	89.0	0.63
01/10/2018	# HARTMAN, MARILYN	DAIN TRAILER	B	0.00	0.73	0.00	0.00	0.73	89.0	0.65
01/10/2018	# SCHNECK, LINDA	DAIN TRAILER	B	0.00	0.03	0.00	0.00	0.03	89.0	0.03
01/10/2018	# SCHNECK, LINDA	DAIN TRAILER	B	0.00	0.06	0.00	0.00	0.06	89.0	0.05
01/10/2018	# SCHNECK, LINDA	DAIN TRAILER	B	0.00	0.03	0.00	0.00	0.03	89.0	0.03
01/10/2018	# SCHNECK, LINDA	DAIN TRAILER	B	0.00	0.05	0.00	0.00	0.05	89.0	0.04
01/10/2018	# SCHNECK, LINDA	DAIN TRAILER	B	0.00	0.03	0.00	0.00	0.03	89.0	0.03
01/10/2018	# MILLER, DANIEL	DAIN TRAILER	B	0.00	2.06	0.00	0.00	2.06	89.0	1.83
01/10/2018	# MILLER, DANIEL	DAIN TRAILER	B	0.00	1.61	0.00	0.00	1.61	89.0	1.43
01/10/2018	# MILLER, DANIEL	DAIN TRAILER	B	0.00	8.53	0.00	0.00	8.53	89.0	7.59

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>										
01/10/2018 #	MILLER, DANIEL	DAIN TRAILER	B	0.00	11.44	0.00	0.00	11.44	89.0	10.18
01/10/2018 #	MILLER, DANIEL	DAIN TRAILER	B	0.00	14.43	0.00	0.00	14.43	89.0	12.84
01/10/2018 #	MILLER, DANIEL	DAIN TRAILER	B	0.00	12.73	0.00	0.00	12.73	89.0	11.33
01/10/2018 #	MILLER, DANIEL	DAIN TRAILER	B	0.00	8.41	0.00	0.00	8.41	89.0	7.48
01/10/2018 #	MILLER, DANIEL	DAIN TRAILER	B	0.00	13.17	0.00	0.00	13.17	89.0	11.72
01/10/2018 #	HOKAMA, WAYSON	DAIN TRAILER	B	0.00	0.31	0.00	0.00	0.31	89.0	0.28
01/10/2018 #	FARLER, JUDITH	DAIN TRAILER	B	0.00	9.84	0.00	0.00	9.84	89.0	8.76
01/10/2018 #	CHU, WILLIAM	DAIN TRAILER	B	0.00	4.67	0.00	0.00	4.67	89.0	4.16
01/10/2018 #	MORRELL, BRIAN	DAIN TRAILER	B	0.00	0.74	0.00	0.00	0.74	89.0	0.66
01/10/2018 #	MORRELL, BRIAN	DAIN TRAILER	B	0.00	0.20	0.00	0.00	0.20	89.0	0.18
01/10/2018 #	MORRELL, BRIAN	DAIN TRAILER	B	0.00	0.57	0.00	0.00	0.57	89.0	0.51
01/10/2018 #	MORRELL, BRIAN	DAIN TRAILER	B	0.00	0.15	0.00	0.00	0.15	89.0	0.13
01/10/2018 #	MORRELL, BRIAN	DAIN TRAILER	B	0.00	0.86	0.00	0.00	0.86	89.0	0.77
01/10/2018 #	MORRELL, BRIAN	DAIN TRAILER	B	0.00	0.44	0.00	0.00	0.44	89.0	0.39
01/10/2018 #	MORRELL, BRIAN	DAIN TRAILER	B	0.00	0.14	0.00	0.00	0.14	89.0	0.12
01/10/2018 #	MORRELL, BRIAN	DAIN TRAILER	B	0.00	0.43	0.00	0.00	0.43	89.0	0.38
01/10/2018 #	MORRELL, BRIAN	DAIN TRAILER	B	0.00	0.68	0.00	0.00	0.68	89.0	0.61
01/10/2018 #	MORRELL, BRIAN	DAIN TRAILER	B	0.00	0.27	0.00	0.00	0.27	89.0	0.24
01/10/2018 #	MORRELL, BRIAN	DAIN TRAILER	B	0.00	0.05	0.00	0.00	0.05	89.0	0.04
01/10/2018 #	CHU, WILLIAM	DAIN TRAILER	B	0.00	40.50	0.00	0.00	40.50	89.0	36.05
01/10/2018 #	MILLER, PEGGY	DAIN TRAILER	B	0.00	1.15	0.00	0.00	1.15	89.0	1.02
01/10/2018 #	MILLER, PEGGY	DAIN TRAILER	B	0.00	1.17	0.00	0.00	1.17	89.0	1.04
01/10/2018 #	MILLER, DANIEL	DAIN TRAILER	B	0.00	6.91	0.00	0.00	6.91	89.0	6.15
01/10/2018 #	MILLER, DANIEL	DAIN TRAILER	B	0.00	5.58	0.00	0.00	5.58	89.0	4.97
01/17/2018 #	CHU, WILLIAM	DAIN TRAILER	B	0.00	31.34	0.00	0.00	31.34	89.0	27.89
01/19/2018 #	MORRELL, BRIAN	DAIN TRAILER	B	0.00	0.15	0.00	0.00	0.15	89.0	0.13
01/26/2018 #	SCHNECK, LINDA	DAIN TRAILER	S	3,263.31	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	SCHNECK, LINDA	DAIN TRAILER	S	2,427.77	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	SCHNECK, LINDA	DAIN TRAILER	S	3,313.65	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	SCHNECK, LINDA	DAIN TRAILER	S	1,041.21	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	SCHNECK, LINDA	DAIN TRAILER	S	2,065.91	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	SCHNECK, LINDA	DAIN TRAILER	S	4,556.64	0.00	0.00	0.00	0.00	89.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$16,668.49</b>	<b>\$185.33</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$185.33</b>		<b>\$164.93</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$2,932,726.30</b>	<b>\$1,753.45</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$1,753.45</b>		<b>\$1,560.56</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,560.56
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-685.75
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$874.81</b>
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