

**COMMISSION STATEMENT****December 15, 2017**

G0C-JEFF/DAN/JON PAU FT-NY KIESNO/RAUPP/C
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: G0C
 BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^11/29/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	-3,500.00	0.00	0.00	0.00	100.0	0.00
^11/29/2017	BRANDT, GEORGE	MAINSTAY LARGE CAP GROWTH	-2,600.00	0.00	22.00	-22.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-6,100.00	\$0.00	\$22.00	\$-22.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^12/07/2017	CAVEGN, JOSEPH	FS INVT CORP COM	-8,660.85	100.00	23.63	76.37	100.0	0.00
^12/07/2017	CAVEGN, JOSEPH	GLOBAL NET LEASE INC COM	-73,423.45	100.00	27.00	73.00	100.0	0.00
^12/06/2017	GALGANO, ROBERT	PREFERRED APT CMNTYS INC COM	-12,657.24	100.00	22.90	77.10	100.0	0.00
* STOCKS SUBTOTAL *			\$-94,741.54	\$300.00	\$73.53	\$226.47		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-100,841.54	\$300.00	\$95.53	\$204.47		\$0.00

DIRECT TRANSACTIONS**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

^11/20/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.31	0.00	0.31	100.0	0.00
^11/07/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	9.15	0.00	9.15	100.0	0.00
^11/07/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.47	0.00	1.47	100.0	0.00
^11/07/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.89	0.00	4.89	100.0	0.00
^11/07/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	6.76	0.00	6.76	100.0	0.00
^11/24/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	3.36	0.00	3.36	100.0	0.00
^11/20/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.06	0.00	4.06	100.0	0.00
^11/20/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.01	0.00	9.01	100.0	0.00
^11/20/2017	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.51	0.00	0.51	100.0	0.00
^11/20/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.48	0.00	5.48	100.0	0.00
^11/20/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.81	0.00	3.81	100.0	0.00
^11/10/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	9.79	0.00	9.79	100.0	0.00
^11/15/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	3.02	0.00	3.02	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^11/15/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	3.04	0.00	3.04	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$64.66	\$0.00	\$64.66		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$64.66	\$0.00	\$64.66		\$0.00

ADJUSTMENTS

12/15/2017		*PERSHING: MARKUP TICKET CHARGES 4	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.05
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.05
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed