



COMMISSION STATEMENT

April 30, 2017

DAMIAN DERING
165 Culver Blvd
Suite A
Playa Del Rey, CA 90293

BROKER #: D127
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
04/13/2017	AMIN, CHIRAG	ASSETMARK	2,462.48	0.64	0.00	0.64	90.0	0.58
04/13/2017	AVONDO, ROSE	ASSETMARK	1,170.00	1.20	0.00	1.20	90.0	1.08
04/13/2017	AVONDO, ROSE	ASSETMARK	-1,170.00	-1.16	0.00	-1.16	90.0	-1.04
04/13/2017	CHUCK, LAURENCE	ASSETMARK	487.50	0.50	0.00	0.50	90.0	0.45
04/13/2017	CHUCK, LAURENCE	ASSETMARK	-487.50	-0.48	0.00	-0.48	90.0	-0.43
04/13/2017	JOHNSON, MORGAN	ASSETMARK	1,000.00	0.11	0.00	0.11	90.0	0.10
04/13/2017	JOHNSON, MORGAN	ASSETMARK	5,500.00	0.61	0.00	0.61	90.0	0.55
04/13/2017	JOHNSON, MORGAN	ASSETMARK	1,000.00	0.11	0.00	0.11	90.0	0.10
04/13/2017	MITSCH, JAY	ASSETMARK	10,000.00	9.25	0.00	9.25	90.0	8.33
04/13/2017	MITSCH, JAY	ASSETMARK	2,500.00	2.31	0.00	2.31	90.0	2.08
04/13/2017	MITSCH, JAY	ASSETMARK	5,500.00	5.08	0.00	5.08	90.0	4.57
04/13/2017	PEARSON, ROBERT	ASSETMARK	1,000.00	0.54	0.00	0.54	90.0	0.49
04/13/2017	RTJ MANAGEMENT	ASSETMARK	25,000.00	20.55	0.00	20.55	90.0	18.50
04/13/2017	SECRIST HOLDINGS LLC	ASSETMARK	3,951.66	2.06	0.00	2.06	90.0	1.85
04/19/2017	AGUILUZ, LUCILLE	ASSETMARK	69,341.47	233.37	0.00	233.37	90.0	210.03
04/19/2017	AMIN, CHIRAG	ASSETMARK	122,287.58	222.19	0.00	222.19	90.0	199.97
04/19/2017	AMIN, CHIRAG	ASSETMARK	2,609,043.20	4,204.73	0.00	4,204.73	90.0	3,784.26
04/19/2017	ARMAGANIAN, ANDREA	ASSETMARK	274,358.75	683.98	0.00	683.98	90.0	615.58
04/19/2017	ARMAGANIAN, ANDREA	ASSETMARK	43,136.28	134.42	0.00	134.42	90.0	120.98
04/19/2017	AVONDO, ROSE	ASSETMARK	90,249.86	281.24	0.00	281.24	90.0	253.12
04/19/2017	AVONDO, ROSE	ASSETMARK	216,949.59	676.07	0.00	676.07	90.0	608.46
04/19/2017	BAGAMASPAD, FELICITAS	ASSETMARK	97,804.87	304.78	0.00	304.78	90.0	274.30
04/19/2017	BAUTISTA, FEDERICO	ASSETMARK	154,014.99	479.95	0.00	479.95	90.0	431.96
04/19/2017	BAUTISTA, FEDERICO	ASSETMARK	69,256.07	215.82	0.00	215.82	90.0	194.24
04/19/2017	BEELEER, FRANCES	ASSETMARK	9,622.18	29.99	0.00	29.99	90.0	26.99
04/19/2017	BOLENE, JAMES	ASSETMARK	71,863.89	223.95	0.00	223.95	90.0	201.56

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/19/2017	CASANOVA, JESSE	ASSETMARK	393,112.47	980.03	0.00	980.03	90.0	882.03
04/19/2017	CHUCK, LAURENCE	ASSETMARK	23,706.13	73.87	0.00	73.87	90.0	66.48
04/19/2017	CHUCK, LAURENCE	ASSETMARK	44,829.29	139.70	0.00	139.70	90.0	125.73
04/19/2017	CHUCK, LAURENCE	ASSETMARK	316,290.50	985.64	0.00	985.64	90.0	887.08
04/19/2017	COHEN, JANET	ASSETMARK	6,720.69	21.81	0.00	21.81	90.0	19.63
04/19/2017	COHEN, JANET	ASSETMARK	83,563.63	104.16	0.00	104.16	90.0	93.74
04/19/2017	COHEN, JANET	ASSETMARK	107,370.37	133.84	0.00	133.84	90.0	120.46
04/19/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	14,469.14	48.70	0.00	48.70	90.0	43.83
04/19/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	11,627.66	36.33	0.00	36.33	90.0	32.70
04/19/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	265,999.07	831.13	0.00	831.13	90.0	748.02
04/19/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	6,887.28	21.52	0.00	21.52	90.0	19.37
04/19/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	5,827.67	18.21	0.00	18.21	90.0	16.39
04/19/2017	DERING, WILLIAM	ASSETMARK	3,582.18	12.06	0.00	12.06	90.0	10.85
04/19/2017	DERING, WILLIAM	ASSETMARK	50,250.19	169.12	0.00	169.12	90.0	152.21
04/19/2017	DERING, WILLIAM	ASSETMARK	71,719.48	241.38	0.00	241.38	90.0	217.24
04/19/2017	ENCINA, ANTONIO	ASSETMARK	7,740.06	24.12	0.00	24.12	90.0	21.71
04/19/2017	ENCINA, ANTONIO	ASSETMARK	40,219.71	125.33	0.00	125.33	90.0	112.80
04/19/2017	ENCINA, ANTONIO	ASSETMARK	204,267.92	636.55	0.00	636.55	90.0	572.90
04/19/2017	ERICKSON, KAY	ASSETMARK	53,172.81	165.70	0.00	165.70	90.0	149.13
04/19/2017	ERICKSON, KAY	ASSETMARK	124,049.51	309.26	0.00	309.26	90.0	278.33
04/19/2017	ESC INSURANCE CO	ASSETMARK	203,652.09	658.65	0.00	658.65	90.0	592.79
04/19/2017	FARFAN, FRANK	ASSETMARK	19,295.95	64.94	0.00	64.94	90.0	58.45
04/19/2017	FARFAN, FRANK	ASSETMARK	7,500.28	25.24	0.00	25.24	90.0	22.72
04/19/2017	FARFAN, FRANK	ASSETMARK	57,860.80	194.73	0.00	194.73	90.0	175.26
04/19/2017	FARFAN, FRANK	ASSETMARK	40,686.27	136.93	0.00	136.93	90.0	123.24
04/19/2017	GIBSON, PATRICK	ASSETMARK	153,686.16	383.14	0.00	383.14	90.0	344.83
04/19/2017	GIBSON, PATRICK	ASSETMARK	292,947.29	730.32	0.00	730.32	90.0	657.29
04/19/2017	GIBSON, PATRICK	ASSETMARK	141,307.98	352.28	0.00	352.28	90.0	317.05
04/19/2017	GIBSON, PATRICK	ASSETMARK	76,280.63	190.17	0.00	190.17	90.0	171.15
04/19/2017	GREEN, ROY	ASSETMARK	273,215.26	681.13	0.00	681.13	90.0	613.02
04/19/2017	HAVENS, JACK	ASSETMARK	14,512.03	48.84	0.00	48.84	90.0	43.96
04/19/2017	HAVENS, JACK	ASSETMARK	69,666.51	217.10	0.00	217.10	90.0	195.39
04/19/2017	HAVENS, JACK	ASSETMARK	16,752.63	52.21	0.00	52.21	90.0	46.99

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/19/2017	HOLLINGSWORTH, MELISSA	ASSETMARK	25,740.18	80.21	0.00	80.21	90.0	72.19
04/19/2017	HOLLINGSWORTH, MELISSA	ASSETMARK	17,421.92	54.29	0.00	54.29	90.0	48.86
04/19/2017	JOHNSON, MORGAN	ASSETMARK	999.63	3.36	0.00	3.36	90.0	3.02
04/19/2017	JOHNSON, MORGAN	ASSETMARK	5,495.23	18.49	0.00	18.49	90.0	16.64
04/19/2017	JOHNSON, MORGAN	ASSETMARK	999.14	3.36	0.00	3.36	90.0	3.02
04/19/2017	KUSUNOKI, MIKE	ASSETMARK	12,109.70	37.74	0.00	37.74	90.0	33.97
04/19/2017	KUSUNOKI, MIKE	ASSETMARK	63,829.54	198.91	0.00	198.91	90.0	179.02
04/19/2017	KUSUNOKI, MIKE	ASSETMARK	8,813.56	27.47	0.00	27.47	90.0	24.72
04/19/2017	KUSUNOKI, MIKE	ASSETMARK	48,439.29	150.95	0.00	150.95	90.0	135.86
04/19/2017	LICHTENSTEIN, LOUISE	ASSETMARK	250,849.23	793.96	0.00	793.96	90.0	714.56
04/19/2017	MCDERMOTT, MICHAEL	ASSETMARK	128,702.90	320.86	0.00	320.86	90.0	288.77
04/19/2017	MCDERMOTT, MICHAEL	ASSETMARK	160,353.12	399.76	0.00	399.76	90.0	359.78
04/19/2017	MITSCH, JAY	ASSETMARK	10,082.95	33.93	0.00	33.93	90.0	30.54
04/19/2017	MITSCH, JAY	ASSETMARK	2,781.92	9.36	0.00	9.36	90.0	8.42
04/19/2017	MITSCH, JAY	ASSETMARK	5,692.18	19.16	0.00	19.16	90.0	17.24
04/19/2017	MOORE, JODIE	ASSETMARK	8,863.31	27.62	0.00	27.62	90.0	24.86
04/19/2017	MOORE, JODIE	ASSETMARK	16,128.80	50.26	0.00	50.26	90.0	45.23
04/19/2017	MOORE, JODIE	ASSETMARK	30,856.89	96.16	0.00	96.16	90.0	86.54
04/19/2017	O'CONNELL, MAUREEN	ASSETMARK	52,111.80	152.22	0.00	152.22	90.0	137.00
04/19/2017	O'CONNELL, MAUREEN	ASSETMARK	216,363.82	632.01	0.00	632.01	90.0	568.81
04/19/2017	O'CONNELL, MAUREEN	ASSETMARK	198,252.91	579.11	0.00	579.11	90.0	521.20
04/19/2017	O'CONNELL, MAUREEN	ASSETMARK	119,654.89	349.52	0.00	349.52	90.0	314.57
04/19/2017	PON, AGNES	ASSETMARK	23,873.15	74.39	0.00	74.39	90.0	66.95
04/19/2017	PRIETO, DANA	ASSETMARK	121,240.71	408.04	0.00	408.04	90.0	367.24
04/19/2017	ROBELO, FRANSISCO	ASSETMARK	113,801.12	354.63	0.00	354.63	90.0	319.17
04/19/2017	PEARSON, ROBERT	ASSETMARK	141,567.47	441.16	0.00	441.16	90.0	397.04
04/19/2017	PEARSON, ROBERT	ASSETMARK	157,409.26	490.53	0.00	490.53	90.0	441.48
04/19/2017	PEARSON, ROBERT	ASSETMARK	115,055.60	358.54	0.00	358.54	90.0	322.69
04/19/2017	PEARSON, ROBERT	ASSETMARK	11,574.01	35.22	0.00	35.22	90.0	31.70
04/19/2017	RTJ MANAGEMENT	ASSETMARK	63,850.17	159.18	0.00	159.18	90.0	143.26
04/19/2017	RTJ MANAGEMENT	ASSETMARK	551,141.78	1,374.00	0.00	1,374.00	90.0	1,236.60
04/19/2017	RTJ MANAGEMENT	ASSETMARK	298,930.04	745.23	0.00	745.23	90.0	670.71
04/19/2017	RUSSELL, RONALD	ASSETMARK	25,370.48	63.25	0.00	63.25	90.0	56.93

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/19/2017	RUSSELL, RONALD	ASSETMARK	227,972.38	568.34	0.00	568.34	90.0	511.51
04/19/2017	RUSSELL, RYAN	ASSETMARK	26,906.93	83.85	0.00	83.85	90.0	75.47
04/19/2017	RUSSELL, RYAN	ASSETMARK	2,029.50	6.32	0.00	6.32	90.0	5.69
04/19/2017	SECRIST-ROUSE TRUST, RUTH	ASSETMARK	113,533.03	184.42	0.00	184.42	90.0	165.98
04/19/2017	SCANE, DONALD	ASSETMARK	34,143.69	103.35	0.00	103.35	90.0	93.02
04/19/2017	SCANE, DONALD	ASSETMARK	109,142.75	340.12	0.00	340.12	90.0	306.11
04/19/2017	SCANE, DONALD	ASSETMARK	324,128.51	1,010.07	0.00	1,010.07	90.0	909.06
04/19/2017	SECRIST HOLDINGS LLC	ASSETMARK	1,487,747.36	3,708.95	0.00	3,708.95	90.0	3,338.06
04/19/2017	SECRIST HOLDINGS LLC	ASSETMARK	948,612.28	2,364.89	0.00	2,364.89	90.0	2,128.40
04/19/2017	SECRIST-ROUSE TRUST, RUTH	ASSETMARK	89,050.27	277.50	0.00	277.50	90.0	249.75
04/19/2017	SIMMONS, SANDRA	ASSETMARK	115,205.65	287.21	0.00	287.21	90.0	258.49
04/19/2017	SIMMONS, SANDRA	ASSETMARK	105,822.19	263.81	0.00	263.81	90.0	237.43
04/19/2017	SIMMONS, SANDRA	ASSETMARK	25,450.66	63.45	0.00	63.45	90.0	57.11
04/19/2017	SIMMONS, SANDRA	ASSETMARK	178,334.94	444.59	0.00	444.59	90.0	400.13
04/19/2017	LILLARD FAMILY TRUST	ASSETMARK	477,027.83	1,486.54	0.00	1,486.54	90.0	1,337.89
04/19/2017	VENUS, FELY	ASSETMARK	31,434.19	105.79	0.00	105.79	90.0	95.21
04/20/2017	SCANE, DARYL	PACIFIC FINANCIAL	101,116.53	74.60	0.00	74.60	90.0	67.14
* RIA SUBTOTAL *			\$14,494,627.90	\$36,462.63	\$0.00	\$36,462.63		\$32,816.47
*** BROKER TRANSACTIONS TOTAL ***			\$14,494,627.90	\$36,462.63	\$0.00	\$36,462.63		\$32,816.47

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BROKER COMMISSION TOTALS

Broker Transactions	\$32,816.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$32,816.47
Year-to-date Gross	\$72,523.38
Year-to-date Earnings	\$65,271.17