



Concorde Investment Services, LLC

# COMMISSION STATEMENT

July 31, 2018

07/27/2018  
Page No. 1

DAMIAN, D127 DERING  
165 Culver Blvd  
Suite A  
Playa Del Rey, CA 90293

BROKER #: D127  
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
07/06/2018	AMIN FOUNDATION	TRILINC GLOBAL IMPACT FUND C	0.00	20.24	0.00	20.24	92.0	18.62
		* PRIVATE PLACEMENT SUBTOTAL *	\$0.00	\$20.24	\$0.00	\$20.24		\$18.62
<b><u>PRODUCT CATEGORY: REIT</u></b>								
07/10/2018	SCHACHTER CRD EQU TR	CARTER VALIDUS MC REIT II CL T2	250,000.00	7,500.00	0.00	7,500.00	92.0	6,900.00
07/13/2018	SCHACHTER CRD EQU TR	COTTONWOOD MULTIFAMILY REIT II	250,000.00	15,000.00	0.00	15,000.00	92.0	13,800.00
06/30/2018	ENCINA, OPHELIA	GRIFFIN CAPITAL ESSENTIAL ASSET II	22,975.82	59.78	0.00	59.78	92.0	55.00
07/10/2018	SCHACHTER CRD EQU TR	MOODY NATIONAL REIT II	250,000.00	15,000.00	0.00	15,000.00	92.0	13,800.00
		* REIT SUBTOTAL *	\$772,975.82	\$37,559.78	\$0.00	\$37,559.78		\$34,555.00
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
/ /	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	9,062.97	2.00	0.00	2.00	92.0	1.84
07/13/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
07/13/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	200.00	6.38	0.00	6.38	92.0	5.87
/ /	MARTINEZ, ELSA	NEA AGE-BASED GROWTH 6-10 A	0.00	15.00	0.00	15.00	92.0	13.80
		* 529 PLANS SUBTOTAL *	\$9,412.97	\$29.76	\$0.00	\$29.76		\$27.38
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	ANDREWS, LISA	OPPENHEIMER GLOBAL A	0.00	47.50	0.00	47.50	92.0	43.70
07/06/2018	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	500.00	5.00	0.00	5.00	92.0	4.60
07/10/2018	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	100.00	5.00	0.00	5.00	92.0	4.60
		* MUTUAL FUNDS SUBTOTAL *	\$600.00	\$57.50	\$0.00	\$57.50		\$52.90
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/01/2018	CROCKETT, PATRICIA	MFS LIMITED MATURITY 529A	0.00	9.38	0.00	9.38	92.0	8.63
07/01/2018	CROCKETT, PATRICIA	MFS LIMITED MATURITY 529A	0.00	16.61	0.00	16.61	92.0	15.28
/ /	MITSCH, JAY	OPPENHEIMER CAPITAL APPRECIATION A	0.00	5.84	0.00	5.84	92.0	5.37
/ /	MITSCH, JAY	OPPENHEIMER CAPITAL APPRECIATION C	0.00	5.86	0.00	5.86	92.0	5.39

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	ANDREWS, LISA	OPPENHEIMER GLOBAL A	0.00	22.21	0.00	22.21	92.0	20.43
/ /	MITSCH, JAY	OPPENHEIMER INTL GROWTH C	0.00	5.43	0.00	5.43	92.0	5.00
/ /	MITSCH, JAY	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	5.68	0.00	5.68	92.0	5.23
/ /	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	208.58	0.00	208.58	92.0	191.89
/ /	PON, AGNES	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	0.52	0.00	0.52	92.0	0.48
/ /	PON, AGNES	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	24.16	0.00	24.16	92.0	22.23
/ /	MITSCH, JAY	OPPENHEIMER RISING DIVIDENDS C	0.00	5.71	0.00	5.71	92.0	5.25
/ /	MITSCH, JAY	OPPENHEIMER SMALL & MIDCAP GROWTH C	0.00	6.44	0.00	6.44	92.0	5.92
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$316.42</b>	<b>\$0.00</b>	<b>\$316.42</b>		<b>\$291.10</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$782,988.79</b>	<b>\$37,983.70</b>	<b>\$0.00</b>	<b>\$37,983.70</b>		<b>\$34,945.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$34,945.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$34,945.00
Year-to-date Gross	\$242,537.71
Year-to-date Earnings	\$222,963.37

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed