

**COMMISSION STATEMENT****November 15, 2017**

1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOSK
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: 1JR
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^11/03/2017	PIZZANO, GERALD	GPB AUTOMOTIVE PORTFOLIO LP	35,000.00	0.00	0.00	0.00	50.0	0.00
^11/03/2017	PIZZANO, GERALD	GPB WASTE MANAGEMENT LP CLASS A	35,000.00	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$70,000.00	\$0.00	\$0.00	\$0.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$70,000.00	\$0.00	\$0.00	\$0.00		\$0.00

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^11/10/2017	PIZZANO, GERALD	GPB AUTOMOTIVE PORTFOLIO LP	35,000.00	2,450.00	0.00	2,450.00	100.0	0.00
^11/10/2017	PIZZANO, GERALD	GPB WASTE MANAGEMENT LP	35,000.00	2,450.00	0.00	2,450.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$70,000.00	\$4,900.00	\$0.00	\$4,900.00		\$0.00

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^10/31/2017	BELL	ASCENSUS 529 TRAILS	0.00	50.60	0.00	50.60	100.0	0.00
^10/31/2017	BELL	ASCENSUS 529 TRAILS	0.00	53.79	0.00	53.79	100.0	0.00
^10/11/2017	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.57	0.00	2.57	50.0	0.00
^10/11/2017	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	6.09	0.00	6.09	50.0	0.00
^10/11/2017	WYFFELS, ALAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	7.37	0.00	7.37	50.0	0.00
^10/11/2017	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.57	0.00	2.57	50.0	0.00
^10/24/2017	LAGALA, JASON	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.53	0.00	2.53	50.0	0.00
^10/24/2017	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.50	0.00	0.50	50.0	0.00
^10/24/2017	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.41	0.00	0.41	50.0	0.00
^10/24/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.32	0.00	2.32	50.0	0.00
^10/24/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.55	0.00	0.55	50.0	0.00
^10/24/2017	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.60	0.00	0.60	50.0	0.00
^10/24/2017	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.30	0.00	0.30	50.0	0.00
^10/16/2017	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.22	0.00	0.22	50.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$130.42	\$0.00	\$130.42	\$0.00
*** DIRECT TRANSACTIONS TOTAL ***	\$70,000.00	\$5,030.42	\$0.00	\$5,030.42	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.05
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.05
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed