

COMMISSION STATEMENT

June 09, 2017

JAY TOMLINSON
474 GREGORY AVENUEBROKER #: 36
BRANCH: HOME OFFICE

WEEHAWKEN, NJ 07086

COMMISSION STATEMENT for JAY TOMLINSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/08/2017	QGV001928	FEDERATED KAUFMANN NXP SEMICONDUCTORS N V	S	-643,431.10	240.76	10.00	230.76	70.0	161.53
05/09/2017	QGV001928	FEDERATED KAUFMANN NXP SEMICONDUCTORS N V	S	-1,719,261.80	642.80	10.00	632.80	70.0	442.96
05/11/2017	QGV001928	FEDERATED KAUFMANN NXP SEMICONDUCTORS N V	S	-1,341,999.00	503.00	10.00	493.00	70.0	345.10
05/08/2017	QGV001936	FEDERATED KAUFMANN NXP SEMICONDUCTORS N V	S	-15,714.30	5.88	10.00	-4.12	70.0	-2.88
05/09/2017	QGV001936	FEDERATED KAUFMANN NXP SEMICONDUCTORS N V	S	-41,938.43	15.68	10.00	5.68	70.0	3.98
05/11/2017	QGV001936	FEDERATED KAUFMANN NXP SEMICONDUCTORS N V	S	-32,762.92	12.28	10.00	2.28	70.0	1.60
05/08/2017	QGV001977	KAUFMANN LARGE CAP, NXP SEMICONDUCTORS N V	S	-1,305,676.60	488.56	10.00	478.56	70.0	334.99
05/09/2017	QGV001977	KAUFMANN LARGE CAP, NXP SEMICONDUCTORS N V	S	-3,489,234.90	1,304.56	10.00	1,294.56	70.0	906.19
05/11/2017	QGV001977	KAUFMANN LARGE CAP, NXP SEMICONDUCTORS N V	S	-2,723,377.50	1,020.76	10.00	1,010.76	70.0	707.53
04/27/2017	QGV004229	INTERACTIVE BROKERS OCEAN POWER TECHNOLOGIES INC COM PA S	S	-120,682.90	422.50	10.00	412.50	70.0	288.75
05/08/2017	QGV005820	FEDERATED INVESTORS NXP SEMICONDUCTORS N V	S	-36,987.40	13.84	10.00	3.84	70.0	2.69
05/09/2017	QGV005820	FEDERATED INVESTORS NXP SEMICONDUCTORS N V	S	-98,854.88	36.96	10.00	26.96	70.0	18.87
05/11/2017	QGV005820	FEDERATED INVESTORS NXP SEMICONDUCTORS N V	S	-77,158.27	28.92	10.00	18.92	70.0	13.24
04/28/2017	QGW001778	INTERACTIVE BROKERS ACTELION SHS SPONSORED ADR REP 1/4T B	B	261,183.00	78.00	10.00	68.00	70.0	47.60
04/28/2017	QGW001778	INTERACTIVE BROKERS BONANZA CREEK ENERGY INC COM	B	90,147.63	869.81	10.00	859.81	70.0	601.87
04/28/2017	QGW001778	INTERACTIVE BROKERS BONANZA CREEK ENERGY INC COM	S	-35,834.24	219.81	10.00	209.81	70.0	146.87
05/15/2017	QGW001778	INTERACTIVE BROKERS ACTELION LTD ALLSCHWIL NAMEN AKT IS S	S	-465,646.77	631.29	10.00	621.29	70.0	434.90
05/15/2017	QGW001778	INTERACTIVE BROKERS ACTELION LTD ALLSCHWIL NAMEN AKT IS S	S	-465,646.77	1,860.06	10.00	1,850.06	70.0	1,295.04
05/15/2017	QGW001778	INTERACTIVE BROKERS ACTELION LTD ALLSCHWIL NAMEN AKT IS S	S	465,646.77	-631.29	-10.00	-621.29	70.0	-434.90 C
05/16/2017	QGW001778	INTERACTIVE BROKERS ACTELION LTD ALLSCHWIL NAMEN AKT IS S	S	-277,044.70	585.41	10.00	575.41	70.0	402.79
05/16/2017	QGW001778	INTERACTIVE BROKERS ACTELION LTD ALLSCHWIL NAMEN AKT IS S	S	-277,044.70	1,108.18	10.00	1,098.18	70.0	768.73
05/16/2017	QGW001778	INTERACTIVE BROKERS ACTELION LTD ALLSCHWIL NAMEN AKT IS S	S	277,044.70	-585.41	-10.00	-575.41	70.0	-402.79 C
05/17/2017	QGW001778	INTERACTIVE BROKERS EAGLE ENERGY INC SHS LKD TO ISHS MS B	B	64,281.90	705.00	10.00	695.00	70.0	486.50
05/23/2017	QGW001778	INTERACTIVE BROKERS MEDICENNA THERAPEUTICS CORP REGISTE B	B	27,370.00	238.00	10.00	228.00	70.0	159.60
05/24/2017	QGW001778	INTERACTIVE BROKERS MEDICENNA THERAPEUTICS CORP REGISTE B	B	30,130.00	262.00	10.00	252.00	70.0	176.40
04/26/2017	QGW029704	ROJAS, RAFAEL CONATUS PHARMACEUTICALS INC COM	S	-12,592.05	250.00	10.00	240.00	70.0	168.00
04/28/2017	QGW029704	ROJAS, RAFAEL BONANZA CREEK ENERGY INC COM	B	2,500.00	100.00	10.00	90.00	70.0	63.00
05/01/2017	QGW029704	ROJAS, RAFAEL BONANZA CREEK ENERGY INC COM NEW IS B	B	13,382.04	50.00	10.00	40.00	70.0	28.00
05/02/2017	QGW029704	ROJAS, RAFAEL CONATUS PHARMACEUTICALS INC COM	B	16,360.00	50.00	10.00	40.00	70.0	28.00
05/04/2017	QGW029704	ROJAS, RAFAEL CONATUS PHARMACEUTICALS INC COM	B	24,623.70	75.00	10.00	65.00	70.0	45.50
05/04/2017	QGW029704	ROJAS, RAFAEL CONATUS PHARMACEUTICALS INC COM	S	-42,144.30	658.98	10.00	648.98	70.0	454.29

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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

05/17/2017 QGW029704 ROJAS, RAFAEL	CONATUS PHARMACEUTICALS INC COM	S	-10,504.80	25.00	10.00	15.00	70.0	10.50
* STOCKS SUBTOTAL *			-11,960,868.59	\$11,286.34	\$280.00	\$11,006.34		\$7,704.45
*** BROKER TRANSACTIONS TOTAL ***			-11,960,868.59	\$11,286.34	\$280.00	\$11,006.34		\$7,704.45

ADJUSTMENTS

06/09/2017	:	0.00	0.00	0.00	0.00	0.00
06/09/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
06/09/2017	OTHER: OTHER	0.00	0.00	0.00	0.00	-154.44
06/09/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-5.00
06/09/2017	OTHER: REAL TICK	0.00	0.00	0.00	0.00	-425.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-604.44

BROKER COMMISSION TOTALS

Broker Transactions	\$7,704.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-604.44
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-14.11
SIPC Assessment	\$-211.62

Check Amount	\$6,874.28
Year-to-date Earnings	\$25,366.98