



# COMMISSION STATEMENT

## March 19, 2018

RICHARD SOWERS  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/07/2018	***-**-3221 - PITTM, BARB	ALLIANCEBERNSTEIN GROWTH AND INC A	100.00	4.00	0.00	4.00	90.0	3.60
	ACCOUNT #: 00940010050	BUY 16 Units @6.1100						
03/06/2018	***-**-0658 - MCKILLOP, NORMA	AMCAP FUND-C	994.72	9.95	0.00	9.95	90.0	8.96
	ACCOUNT #: 04000640538	BUY 34 Units @29.6500						
03/06/2018	***-**-0658 - MCKILLOP, NORMA	FUNDAMENTAL INVESTORS-C	1,000.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: 04000640538	BUY 16 Units @63.1600						
02/07/2018	***-**-6702 - HOLLINGSHEAD,	LIVEWELL MUTUAL FUND	16,255.91	162.56	0.00	162.56	90.0	146.30
		BUY 0 Units @0.0000						
03/08/2018	***-**-547J - BEST III, JOHN	NEW PERSPECTIVE FUND-A	997.01	37.38	3.00	34.38	90.0	30.94
	ACCOUNT #: LSR010824	BUY 21 Units @47.5900						
03/05/2018	***-**-351C - SOWERS, CLIENTS	TEMPLETON GLBL OPPS TR FUND - CLASS	50.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: 000000000255036	BUY 2 Units @22.0700						
03/06/2018	***-**-0658 - MCKILLOP, NORMA	THE GROWTH FUND OF AMERICA-C	1,000.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: 04000640538	BUY 20 Units @48.8600						
03/08/2018	***-**-547J - BEST III, JOHN	THE INVESTMENT COMPANY OF AMERICA-A	996.99	37.38	3.00	34.38	90.0	30.94
	ACCOUNT #: LSR010824	BUY 23 Units @43.5100						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$21,394.63</b>	<b>\$271.77</b>	<b>\$6.00</b>	<b>\$265.77</b>		<b>\$239.19</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/12/2018	***-**-60I2 - BLACK, JENNIFER	ISHARES CORE S&P MID-CAP ETF AVERAG	2,153.69	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSM000043	SELL 11 Units @195.7900						
03/12/2018	***-**-60I2 - BLACK, JENNIFER	ISHARES CORE S&P SMALL-CAP ETF EXEC	2,080.39	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSM000043	SELL 26 Units @80.0150						
03/12/2018	***-**-60I2 - BLACK, JENNIFER	ISHARES INC CORE MSCI EMERGING MKTS	1,141.33	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSM000043	BUY 19 Units @60.0700						
03/12/2018	***-**-60I2 - BLACK, JENNIFER	ISHARES S&P 500 GROWTH ETF EXEC ON	1,490.85	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSM000043	BUY 9 Units @165.6500						
03/12/2018	***-**-60I2 - BLACK, JENNIFER	ISHARES TRUST CORE MSCI EAFE ETF	4,954.30	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSM000043	BUY 74 Units @66.9500						
03/12/2018	***-**-60I2 - BLACK, JENNIFER	VANGUARD BD INDEX FD INC SHORT TERM	1,644.15	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSM000043	SELL 21 Units @78.2930						
03/12/2018	***-**-60I2 - BLACK, JENNIFER	VANGUARD INDEX FDS VANGUARD REIT ET	1,665.47	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSM000043	SELL 22 Units @75.7030						



# COMMISSION STATEMENT

## March 19, 2018

RICHARD SOWERS  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE (continued)</b>								
		* STOCKS SUBTOTAL *	\$15,130.18	\$0.00	\$0.00	\$0.00		\$0.00
<b>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</b>								
03/15/2018	***-**-3199 - MAY, DAVID	TRAIL COMM	0.00	160.80	0.00	160.80	90.0	144.72
	ACCOUNT #: 1910090	BUY 1 Units @0.0000						
		* VARIABLE UNIVERSAL LIFE SUBTOTAL *	\$0.00	\$160.80	\$0.00	\$160.80		\$144.72
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
03/19/2018	***-**-6540 - STOUT, LOUISE	CAPITAL INCOME BUILDER CLASS C	0.00	1.49	0.00	1.49	90.0	1.34
	ACCOUNT #: LSR011072	BUY 1 Units @0.0000						
03/19/2018	***-**-13IO - CHARD, DANIELLE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.67	0.00	2.67	90.0	2.40
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
03/16/2018	***-**-308I - KEENAN, PETER	COLUMBIA MF TRAILS	0.00	0.06	0.00	0.06	90.0	0.05
		BUY 0 Units @0.0000						
03/16/2018	***-**-237I - PIERSON, JEFFREY	COLUMBIA MF TRAILS	0.00	3.06	0.00	3.06	90.0	2.75
		BUY 0 Units @0.0000						
03/16/2018	***-**-237I - PIERSON, JEFFREY	COLUMBIA MF TRAILS	0.00	1.85	0.00	1.85	90.0	1.67
		BUY 0 Units @0.0000						
03/16/2018	***-**-237I - PIERSON, JEFFREY	COLUMBIA MF TRAILS	0.00	5.56	0.00	5.56	90.0	5.00
		BUY 0 Units @0.0000						
03/19/2018	***-**-13IO - CHARD, DANIELLE	FUNDAMENTAL INVESTORS-A	0.00	2.83	0.00	2.83	90.0	2.55
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
03/19/2018	***-**-10IR - JANNEY, DONNA	FUNDAMENTAL INVESTORS-A	0.00	0.82	0.00	0.82	90.0	0.74
	ACCOUNT #: LSR011203	BUY 1 Units @0.0000						
03/19/2018	***-**-08IR - JANNEY, DAVID	FUNDAMENTAL INVESTORS-A	0.00	0.82	0.00	0.82	90.0	0.74
	ACCOUNT #: LSR011204	BUY 1 Units @0.0000						
03/19/2018	***-**-935I - LOWE, JAMES	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	10.99	0.00	10.99	90.0	9.89
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
03/19/2018	***-**-935I - LOWE, JAMES	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	3.66	0.00	3.66	90.0	3.29
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
03/19/2018	***-**-13IO - CHARD, DANIELLE	NEW PERSPECTIVE FUND-A	0.00	2.90	0.00	2.90	90.0	2.61
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

March 19, 2018

RICHARD SOWERS  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/19/2018	***-**-13IR - CHARD, DANIELLE ACCOUNT #: LSR010093	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	1.35	0.00	1.35	90.0	1.22
03/19/2018	***-**-547J - BEST III, JOHN ACCOUNT #: LSR010824	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	6.47	0.00	6.47	90.0	5.82
03/19/2018	***-**-10IR - JANNEY, DONNA ACCOUNT #: LSR011203	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.79	0.00	0.79	90.0	0.71
03/19/2018	***-**-08IR - JANNEY, DAVID ACCOUNT #: LSR011204	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.79	0.00	0.79	90.0	0.71
03/16/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	5.08	0.00	5.08	90.0	4.57
03/16/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	4.58	0.00	4.58	90.0	4.12
03/16/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	5.63	0.00	5.63	90.0	5.07
03/16/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	8.69	0.00	8.69	90.0	7.82
03/16/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	4.43	0.00	4.43	90.0	3.99
03/16/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	4.70	0.00	4.70	90.0	4.23
03/19/2018	***-**-82RI - MORGAN, BOBETTE ACCOUNT #: 7200009544	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	57.17	0.00	57.17	90.0	51.45
03/19/2018	***-**-282I - MILLS, BENNEY ACCOUNT #: 7200012025	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	7.31	0.00	7.31	90.0	6.58
03/19/2018	***-**-594I - REGULSKI, SUSAN ACCOUNT #: 7200012141	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	80.63	0.00	80.63	90.0	72.57
03/19/2018	***-**-721I - CRAFT, LOIS ACCOUNT #: 7200013710	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	17.50	0.00	17.50	90.0	15.75
03/19/2018	***-**-7933 - PIERSON, KAREN ACCOUNT #: 7200024944	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	17.91	0.00	17.91	90.0	16.12
03/19/2018	***-**-13IO - CHARD, DANIELLE ACCOUNT #: LSR010092	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	3.39	0.00	3.39	90.0	3.05



# COMMISSION STATEMENT

March 19, 2018

RICHARD SOWERS  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/19/2018	***-**-547J - BEST III, JOHN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	6.37	0.00	6.37	90.0	5.73
	ACCOUNT #: LSR010824	BUY 1 Units @0.0000						
03/19/2018	***-**-6540 - STOUT, LOUISE	THE NEW ECONOMY FUND-C	0.00	6.61	0.00	6.61	90.0	5.95
	ACCOUNT #: LSR011072	BUY 1 Units @0.0000						
03/19/2018	***-**-13IO - CHARD, DANIELLE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.38	0.00	2.38	90.0	2.14
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
03/05/2018	***-**-2209 - LING, BENJAMIN	WELLS FGO AVTG ENTERPRISE FUND - A	0.00	8.80	0.00	8.80	90.0	7.92
	ACCOUNT #: 01006543750	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$287.29</b>	<b>\$0.00</b>	<b>\$287.29</b>		<b>\$258.55</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$36,524.81</b>	<b>\$719.86</b>	<b>\$6.00</b>	<b>\$713.86</b>		<b>\$642.46</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$642.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$5,984.52</b>