



COMMISSION STATEMENT

February 05, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 01/25/2018 | ***-**-5144 - WINTER, LAURA | AMERICAN BALANCED FUND-A | 50.00 | 2.50 | 0.00 | 2.50 | 85.0 | 2.13 |
| | ACCOUNT #: 00086895596 | BUY 2 Units @29.8100 | | | | | | |
| 01/22/2018 | ***-**-153J - SHOCKEY, JEFFREY | AMERICAN FUNDS 2025 TARGET DATE-A | 1,700.00 | 46.75 | 0.00 | 46.75 | 85.0 | 39.74 |
| | ACCOUNT #: 00075824133 | BUY 118 Units @14.4000 | | | | | | |
| 01/16/2018 | ***-**-36IR - HERDSON, JOHN | CAPITAL APPRECIATION C | 63.36 | 0.63 | 0.00 | 0.63 | 85.0 | 0.54 |
| | ACCOUNT #: 03222003518 | BUY 0 Units @0.0000 | | | | | | |
| 01/29/2018 | ***-**-36IR - HERDSON, JOHN | CAPITAL APPRECIATION C | 63.36 | 0.63 | 0.00 | 0.63 | 85.0 | 0.54 |
| | ACCOUNT #: 03222003518 | BUY 0 Units @0.0000 | | | | | | |
| 01/16/2018 | ***-**-36IR - HERDSON, JOHN | EQUITY INCOME FUND, INC. C | 63.36 | 0.63 | 0.00 | 0.63 | 85.0 | 0.54 |
| | ACCOUNT #: 08370183502 | BUY 0 Units @0.0000 | | | | | | |
| 01/29/2018 | ***-**-36IR - HERDSON, JOHN | EQUITY INCOME FUND, INC. C | 63.36 | 0.63 | 0.00 | 0.63 | 85.0 | 0.54 |
| | ACCOUNT #: 08370183502 | BUY 0 Units @0.0000 | | | | | | |
| 01/30/2018 | ***-**-036I - CROWL, LINDA | INVESCO MULTI ASSET INCOME FD CL C | 1,750.00 | 0.00 | 0.00 | 0.00 | 85.0 | 0.00 |
| | ACCOUNT #: LSR010466 | SELL 162 Units @10.7800 | | | | | | |
| 01/30/2018 | ***-**-036I - CROWL, LINDA | PIMCO INCOME FUND CL C | 1,749.99 | 0.00 | 0.00 | 0.00 | 85.0 | 0.00 |
| | ACCOUNT #: LSR010466 | SELL 142 Units @12.3200 | | | | | | |
| 01/16/2018 | ***-**-36IR - HERDSON, JOHN | QUEST BALANCED FUND C | 65.28 | 0.65 | 0.00 | 0.65 | 85.0 | 0.55 |
| | ACCOUNT #: 02592150962 | BUY 0 Units @0.0000 | | | | | | |
| 01/29/2018 | ***-**-36IR - HERDSON, JOHN | QUEST BALANCED FUND C | 65.28 | 0.65 | 0.00 | 0.65 | 85.0 | 0.55 |
| | ACCOUNT #: 02592150962 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUNDS SUBTOTAL * | | | \$5,633.99 | \$53.07 | \$0.00 | \$53.07 | | \$45.13 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 02/05/2018 | ***-**-30IN - STRAUB, JANE | SAMMONS MF TRAILS | 0.00 | 13.80 | 0.00 | 13.80 | 85.0 | 11.73 |
| | ACCOUNT #: 7200028004 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$13.80 | \$0.00 | \$13.80 | | \$11.73 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 01/27/2018 | ***-**-99IR - VITALE, DAMIAN | ING ADVANTAGE SELECT | 0.00 | 5.41 | 0.00 | 5.41 | 85.0 | 4.60 |
| | ACCOUNT #: R073271-ZS | BUY 0 Units @0.0000 | | | | | | |
| 01/27/2018 | ***-**-94IR - VITALE, LAURIE | ING ADVANTAGE SELECT | 0.00 | 5.41 | 0.00 | 5.41 | 85.0 | 4.60 |
| | ACCOUNT #: R073283-ZS | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

February 05, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued) | | | | | | | | |
| 01/31/2018 | ***-**-597I - LEAR, DIANE ACCOUNT #: 1014504202 | JACKSON ELITE ACCESS BUY 0 Units @0.0000 | 0.00 | 19.44 | 0.00 | 19.44 | 85.0 | 16.52 |
| 01/31/2018 | ***-**-36IN - CROWL, LINDA ACCOUNT #: 1016264217 | JACKSON ELITE ACCESS BUY 0 Units @0.0000 | 0.00 | 215.74 | 0.00 | 215.74 | 85.0 | 183.38 |
| 01/31/2018 | ***-**-94IR - VITALE, LAURIE ACCOUNT #: 1016299231 | JACKSON ELITE ACCESS BUY 0 Units @0.0000 | 0.00 | 16.15 | 0.00 | 16.15 | 85.0 | 13.73 |
| 01/31/2018 | ***-**-20IN - GARMAN, KARL ACCOUNT #: 1017012386 | JACKSON ELITE ACCESS BUY 0 Units @0.0000 | 0.00 | 7.98 | 0.00 | 7.98 | 85.0 | 6.78 |
| 01/31/2018 | ***-**-36IR - HERDSON, JOHN ACCOUNT #: 1012521924 | JACKSON ELITE ACCESS E BUY 0 Units @0.0000 | 0.00 | 42.21 | 0.00 | 42.21 | 85.0 | 35.88 |
| 01/31/2018 | ***-**-632I - BURG, THERESA ACCOUNT #: 1015747249 | JACKSON ELITE ACCESS E BUY 0 Units @0.0000 | 0.00 | 71.65 | 0.00 | 71.65 | 85.0 | 60.90 |
| 01/31/2018 | ***-**-648I - KANE, VIRGINIA ACCOUNT #: 1015810986 | JACKSON ELITE ACCESS E BUY 0 Units @0.0000 | 0.00 | 20.96 | 0.00 | 20.96 | 85.0 | 17.82 |
| 01/31/2018 | ***-**-305I - WAGNER, KEITH ACCOUNT #: 1015946705 | JACKSON ELITE ACCESS E BUY 0 Units @0.0000 | 0.00 | 49.59 | 0.00 | 49.59 | 85.0 | 42.15 |
| 01/31/2018 | ***-**-228J - BUCHANAN, ACCOUNT #: 1006011280 | JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000 | 0.00 | 47.88 | 0.00 | 47.88 | 85.0 | 40.70 |
| 01/31/2018 | ***-**-85IN - GRAHAM, BARBARA ACCOUNT #: 1012657141 | JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000 | 0.00 | 57.63 | 0.00 | 57.63 | 85.0 | 48.99 |
| 01/31/2018 | ***-**-814I - MCKENNA, DONNA ACCOUNT #: 1013003528 | JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000 | 0.00 | 153.46 | 0.00 | 153.46 | 85.0 | 130.44 |
| 01/31/2018 | ***-**-400I - ROMIG, MARK ACCOUNT #: 1013168853 | JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000 | 0.00 | 62.78 | 0.00 | 62.78 | 85.0 | 53.36 |
| 01/31/2018 | ***-**-276I - GASSWINT, SUSAN ACCOUNT #: 1013258658 | JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000 | 0.00 | 82.91 | 0.00 | 82.91 | 85.0 | 70.47 |
| 01/31/2018 | ***-**-837I - KUNKEL, JANET ACCOUNT #: 1013306490 | JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000 | 0.00 | 42.32 | 0.00 | 42.32 | 85.0 | 35.97 |
| 01/31/2018 | ***-**-228J - BUCHANAN, ACCOUNT #: 1013442998 | JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000 | 0.00 | 67.78 | 0.00 | 67.78 | 85.0 | 57.61 |
| 01/31/2018 | ***-**-227I - ZELLERS, ACCOUNT #: 1013578113 | JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000 | 0.00 | 64.85 | 0.00 | 64.85 | 85.0 | 55.12 |



COMMISSION STATEMENT

February 05, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued) | | | | | | | | |
| 01/31/2018 | ***-**-364J - SHIFFLET, ACCOUNT #: 1013759851 | JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000 | 0.00 | 58.61 | 0.00 | 58.61 | 85.0 | 49.82 |
| 01/31/2018 | ***-**-697I - ENEDY, EMILY ACCOUNT #: 1014092982 | JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000 | 0.00 | 21.10 | 0.00 | 21.10 | 85.0 | 17.94 |
| 01/31/2018 | ***-**-53IN - SHOCKEY, JEFFREY ACCOUNT #: 1014308870 | JACKSON NATIONAL PERSPECTIVE II BUY 0 Units @0.0000 | 0.00 | 38.64 | 0.00 | 38.64 | 85.0 | 32.84 |
| 01/31/2018 | ***-**-113I - PIERMANI, JOHN ACCOUNT #: 1014761337 | JACKSON NATIONAL PERSPECTIVE II BUY 0 Units @0.0000 | 0.00 | 51.30 | 0.00 | 51.30 | 85.0 | 43.61 |
| 01/31/2018 | ***-**-54CL - GAROFALO, ACCOUNT #: 1018661027 | METLIFE VA TRAILS BUY 1 Units @0.0000 | 0.00 | 9.84 | 0.00 | 9.84 | 85.0 | 8.36 |
| 01/31/2018 | ***-**-33IN - KNOBL, LISA ACCOUNT #: 1018661027 | PERSPECTIVE II DTCC BUY 0 Units @0.0000 | 0.00 | 32.22 | 0.00 | 32.22 | 85.0 | 27.39 |
| 01/31/2018 | ***-**-136I - CONLON-SCOLTOCK, ACCOUNT #: 1018710060 | PERSPECTIVE II DTCC BUY 0 Units @0.0000 | 0.00 | 22.84 | 0.00 | 22.84 | 85.0 | 19.41 |
| 01/31/2018 | ***-**-36IN - CROWL, LINDA ACCOUNT #: 1018787969 | PERSPECTIVE II DTCC BUY 0 Units @0.0000 | 0.00 | 226.29 | 0.00 | 226.29 | 85.0 | 192.35 |
| 01/31/2018 | ***-**-52IN - KUNKEL, RAY ACCOUNT #: 1018799355 | PERSPECTIVE II DTCC BUY 0 Units @0.0000 | 0.00 | 116.04 | 0.00 | 116.04 | 85.0 | 98.63 |
| 01/31/2018 | ***-**-99IR - BUCHANAN, STEVEN ACCOUNT #: 1018892479 | PERSPECTIVE II DTCC BUY 0 Units @0.0000 | 0.00 | 41.98 | 0.00 | 41.98 | 85.0 | 35.68 |
| 01/31/2018 | ***-**-400I - ROMIG, MARK ACCOUNT #: 1019057603 | PERSPECTIVE II DTCC BUY 0 Units @0.0000 | 0.00 | 55.72 | 0.00 | 55.72 | 85.0 | 47.36 |
| 01/31/2018 | ***-**-949I - LICHT, FBO MS ACCOUNT #: 1019390361 | PERSPECTIVE II DTCC BUY 0 Units @0.0000 | 0.00 | 36.20 | 0.00 | 36.20 | 85.0 | 30.77 |
| 01/31/2018 | ***-**-962I - KLOKIS, ROBERT ACCOUNT #: 1019390434 | PERSPECTIVE II DTCC BUY 0 Units @0.0000 | 0.00 | 36.02 | 0.00 | 36.02 | 85.0 | 30.62 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$1,780.95 | \$0.00 | \$1,780.95 | | \$1,513.80 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$5,633.99 | \$1,847.82 | \$0.00 | \$1,847.82 | | \$1,570.66 |



BROKER #: A17
BRANCH #01001 - LifeMark Home Office

| | | | INVESTMENT | GROSS | CLEARING | NET | BROKER | |
|-------------------------------------|--------|------------|------------|------------|----------|-----------------------|--------|------------|
| DATE | CLIENT | INVESTMENT | AMOUNT | COMMISSION | CHARGE | COMMISSION | RATE | COMMISSION |
| <div>BROKER COMMISSION TOTALS</div> | | | | | | | | |
| | | | | | | Broker Transactions | | \$1,570.66 |
| | | | | | | Split Transactions | | \$0.00 |
| | | | | | | Override Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | | Prior Period Balance | | \$0.00 |
| | | | | | | FINRA Assessment | | \$0.00 |
| | | | | | | SIPC Assessment | | \$0.00 |
| | | | | | | Net Commission Earned | | \$1,570.66 |
| | | | | | | Year-to-date Earnings | | \$1,570.66 |