



COMMISSION STATEMENT

January 29, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/08/2018	***-**-3221 - PITTM, BARB	ALLIANCEBERNSTEIN GROWTH AND INC A	100.00	4.00	0.00	4.00	90.0	3.60
	ACCOUNT #: 00940010050	BUY 16 Units @6.2100						
		* MUTUAL FUNDS SUBTOTAL *	\$100.00	\$4.00	\$0.00	\$4.00		\$3.60
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/29/2018	***-**-643J - CRAFT, LOIS	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR011738	BUY 1 Units @0.0000						
01/29/2018	***-**-351I - SOWERS, FBO	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: LSF000278	BUY 1 Units @0.0000						
01/29/2018	***-**-60IO - BLACK, JENNIFER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: LSH000019	BUY 1 Units @0.0000						
01/29/2018	***-**-60I2 - BLACK, JENNIFER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.18	0.00	0.18	90.0	0.16
	ACCOUNT #: LSM000043	BUY 1 Units @0.0000						
01/29/2018	***-**-935I - LOWE, JAMES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.19	0.00	1.19	90.0	1.07
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
01/29/2018	***-**-13IN - CHARD, DANIELLE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.08	0.00	0.08	90.0	0.07
	ACCOUNT #: LSR010090	BUY 1 Units @0.0000						
01/29/2018	***-**-13IO - CHARD, DANIELLE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.07	0.00	0.07	90.0	0.06
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
01/29/2018	***-**-521I - WILLIAMS, DOYLE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR010095	BUY 1 Units @0.0000						
01/29/2018	***-**-559I - HUBBARD, JAMES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: LSR010601	BUY 1 Units @0.0000						
01/29/2018	***-**-55IR - LANGDON, TAMMY	GRIFFIN-AMERICAN HEALTHCARE REIT IV	0.00	110.75	0.00	110.75	90.0	99.68
	ACCOUNT #: 091138685	BUY 0 Units @0.0000						
01/29/2018	***-**-99IR - LANGDON, WILLIAM	GRIFFIN-AMERICAN HEALTHCARE REIT IV	0.00	519.99	0.00	519.99	90.0	467.99
	ACCOUNT #: 091138868	BUY 0 Units @0.0000						
01/29/2018	***-**-152J - LORD, GEORGE	GRIFFIN-AMERICAN HEALTHCARE REIT IV	0.00	50.41	0.00	50.41	90.0	45.37
	ACCOUNT #: 091140054	BUY 0 Units @0.0000						
01/29/2018	***-**-657A - LIBERTY,	GRIFFIN-AMERICAN HEALTHCARE REIT IV	0.00	94.52	0.00	94.52	90.0	85.07
	ACCOUNT #: 091140682	BUY 0 Units @0.0000						
01/29/2018	***-**-643J - CRAFT, LOIS	GRIFFIN-AMERICAN HEALTHCARE REIT IV	0.00	65.53	0.00	65.53	90.0	58.98
	ACCOUNT #: 091140712	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
01/29/2018	***-**-002I - RIPLEY, TOM ACCOUNT #: 7200009079	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	46.54	0.00	46.54	90.0	41.89
01/29/2018	***-**-32RI - MITCHEN, DORIS ACCOUNT #: 7200011766	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	12.47	0.00	12.47	90.0	11.22
01/29/2017	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	5.32	0.00	5.32	90.0	4.79
01/29/2017	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	5.14	0.00	5.14	90.0	4.63
01/29/2017	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	5.99	0.00	5.99	90.0	5.39
01/29/2017	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	5.19	0.00	5.19	90.0	4.67
01/29/2017	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	9.56	0.00	9.56	90.0	8.60
01/29/2017	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	4.95	0.00	4.95	90.0	4.46
01/29/2018	***-**-559I - HUBBARD, JAMES ACCOUNT #: 7200005537	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	36.23	0.00	36.23	90.0	32.61
01/29/2018	***-**-854I - SQUIRE, CAROLYN ACCOUNT #: 7200007202	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	3.64	0.00	3.64	90.0	3.28
01/29/2018	***-**-7488 - SQUIRE, BARRY ACCOUNT #: 7200007204	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	6.92	0.00	6.92	90.0	6.23
01/29/2018	***-**-82RI - MORGAN, BOBETTE ACCOUNT #: 7200009544	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	56.27	0.00	56.27	90.0	50.64
01/29/2018	***-**-199I - MITCHEN, RICHARD ACCOUNT #: 7200011695	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	12.53	0.00	12.53	90.0	11.28
01/29/2018	***-**-282I - MILLS, BENNEY ACCOUNT #: 7200012025	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	7.31	0.00	7.31	90.0	6.58
01/29/2018	***-**-594I - REGULSKI, SUSAN ACCOUNT #: 7200012141	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	80.61	0.00	80.61	90.0	72.55
01/29/2018	***-**-721I - CRAFT, LOIS ACCOUNT #: 7200013710	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	17.40	0.00	17.40	90.0	15.66



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/29/2018	***-**-7933 - PIERSON, KAREN	SAMMONS MF TRAILS	0.00	55.81	0.00	55.81	90.0	50.23
	ACCOUNT #: 7200024944	BUY 0 Units @0.0000						
01/04/2018	***-**-2209 - LING, BENJAMIN	WELLS FGO AVTG ENTERPRISE FUND - A	0.00	9.45	0.00	9.45	90.0	8.51
	ACCOUNT #: 01006543750	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,224.18	\$0.00	\$1,224.18		\$1,101.80
*** BROKER TRANSACTIONS TOTAL ***			\$100.00	\$1,228.18	\$0.00	\$1,228.18		\$1,105.40

BROKER COMMISSION TOTALS

Broker Transactions	\$1,105.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,105.40
Year-to-date Earnings	\$7,456.73