



COMMISSION STATEMENT

July 16, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/06/2018	***-**-336I - REEKIE, JANICE	AMERICAN FUNDS 2030 TARGET DATE-C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 04000004425	BUY 3 Units @14.4500						
06/28/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	100.00	3.00	0.00	3.00	95.0	2.85
	ACCOUNT #: 00701328528	BUY 6 Units @18.1000						
07/05/2018	***-**-71IN - O'NEILL, RAYMOND	FRK STRAT INC FND - CL A	50.00	2.00	0.00	2.00	95.0	1.90
	ACCOUNT #: 000019400013468	BUY 5 Units @9.9600						
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$5.50	\$0.00	\$5.50		\$5.23
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
07/16/2018	***-**-36IR - LEONE-WHITTLE,	BRIGHTHOUSE LIFE RENEWALS	27.94	0.56	0.00	0.56	95.0	0.53
		BUY 1 Units @27.9400						
07/16/2018	***-**-36IR - LEONE-WHITTLE,	BRIGHTHOUSE LIFE RENEWALS	27.94	0.56	0.00	0.56	95.0	0.53
		BUY 1 Units @27.9400						
07/16/2018	***-**-36IR - LEONE-WHITTLE,	BRIGHTHOUSE LIFE RENEWALS	27.94	0.56	0.00	0.56	95.0	0.53
		BUY 1 Units @27.9400						
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$83.82	\$1.68	\$0.00	\$1.68		\$1.59
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/01/2018	***-**-47IN - CUTTITA, DIMITRI	AIM CASH RESERVE SHARES	0.00	13.22	0.00	13.22	95.0	12.56
	ACCOUNT #: 03809665049	BUY 0 Units @0.0000						
07/01/2018	***-**-647I - WOLFE, MARY	AIM CHARTER FUND - CLASS A	0.00	5.82	0.00	5.82	95.0	5.53
	ACCOUNT #: 05010859469	BUY 0 Units @0.0000						
07/01/2018	***-**-304I - COLE, REBECCA	AIM CHARTER FUND - CLASS A	0.00	0.71	0.00	0.71	95.0	0.67
	ACCOUNT #: 05037871018	BUY 0 Units @0.0000						
07/01/2018	***-**-41UG - GALIANO,	AIM GLOBAL AGGRESSIVE GROWTH FUND C	0.00	0.09	0.00	0.09	95.0	0.09
	ACCOUNT #: 03909166716	BUY 0 Units @0.0000						
07/01/2018	***-**-38IR - MALONE, KELLEY	AIM GROWTH ALLOCATION FUND - CLASS	0.00	28.71	0.00	28.71	95.0	27.27
	ACCOUNT #: 05033603886	BUY 0 Units @0.0000						
07/01/2018	***-**-47IR - MALONE, MICHAEL	AIM INTERNATIONAL GROWTH FUND - CL	0.00	0.24	0.00	0.24	95.0	0.23
	ACCOUNT #: 03910978265	BUY 0 Units @0.0000						
07/09/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	0.00	0.96	0.00	0.96	95.0	0.91
	ACCOUNT #: 00701328528	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/09/2018	***-**-8653 - WARNER, ROBERT	FIDELITY ADV GROWTH & INCOME CL A	0.00	1.64	0.00	1.64	95.0	1.56
	ACCOUNT #: 01469251977	BUY 0 Units @0.0000						
07/09/2018	***-**-01RI - TOTMAN, ANDREW	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.22	0.00	1.22	95.0	1.16
	ACCOUNT #: 00536998180	BUY 0 Units @0.0000						
07/01/2018	***-**-47IR - MALONE, MICHAEL	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	0.03	0.00	0.03	95.0	0.03
	ACCOUNT #: 03910978265	BUY 0 Units @0.0000						
06/30/2018	***-**-001I - HUBBARD, ARLENE	OPPENHEIMER CORE BOND FUND A	0.00	8.20	0.00	8.20	95.0	7.79
	ACCOUNT #: 02850962500	BUY 0 Units @0.0000						
07/16/2018	***-**-3543 - AGLE, PATRICIA	PRUDENTIAL MF TRAILS	0.00	13.07	0.00	13.07	95.0	12.42
		BUY 0 Units @0.0000						
07/02/2018	***-**-59IN - JOHNSON, WILLIAM	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	4.10	0.00	4.10	95.0	3.90
	ACCOUNT #: 00020405739	BUY 0 Units @0.0000						
06/30/2018	***-**-19IN - MELECA, FRANK	ROCHESTER FUND MUNICIPALS A	0.00	3.97	0.00	3.97	95.0	3.77
	ACCOUNT #: 00019757000	BUY 0 Units @0.0000						
07/16/2018	***-**-6713 - KREMER, JOHN	VIRTUS MF TRAILS	0.00	6.26	0.00	6.26	95.0	5.95
		BUY 0 Units @0.0000						
07/16/2018	***-**-3782 - WEINERT-WILEY,	VIRTUS MF TRAILS	0.00	0.14	0.00	0.14	95.0	0.13
		BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$88.38	\$0.00	\$88.38		\$83.97
*** BROKER TRANSACTIONS TOTAL ***			\$283.82	\$95.56	\$0.00	\$95.56		\$90.79

OVERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

07/02/2018	***-**-3246 - SGROI, TERESA	AMCAP FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089976714	BUY 1 Units @35.3400						
07/02/2018	***-**-9408 - SNIZEK,	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00085099445	BUY 2 Units @28.1200						
07/05/2018	***-**-0911 - SPAZIANI,	AMERICAN BALANCED FUND-A	135.00	5.06	0.00	5.06	20.0	1.01
	ACCOUNT #: 00082570536	BUY 5 Units @28.5300						
07/02/2018	***-**-9408 - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00085099445	BUY 5 Units @10.4800						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
07/05/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	AMERICAN HIGH-INCOME TRUST-A BUY 8 Units @10.5100	80.00	2.40	0.00	2.40	20.0	0.48
07/02/2018	***-**-6469 - BENNETT, DAVID ACCOUNT #: 00088370668	AMERICAN MUTUAL FUND-A BUY 1 Units @43.1400	50.00	2.50	0.00	2.50	20.0	0.50
07/02/2018	***-**-9408 - SNIZEK, ACCOUNT #: 00085099445	AMERICAN MUTUAL FUND-A BUY 1 Units @42.1300	50.00	1.38	0.00	1.38	20.0	0.28
07/02/2018	***-**-2119 - BENNETT, COLLEEN ACCOUNT #: 00088366890	AMERICAN MUTUAL FUND-A BUY 1 Units @43.1400	50.00	2.50	0.00	2.50	20.0	0.50
06/30/2018	***-**-5453 - O'HARA, DARLENE ACCOUNT #: 03209265036	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	3.69	0.00	3.69	20.0	0.74
06/30/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 03210336612	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	10.20	0.00	10.20	20.0	2.04
06/30/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 03008792487	CAPITAL INCOME A BUY 0 Units @0.0000	0.00	6.60	0.00	6.60	20.0	1.32
06/30/2018	***-**-453B - OHARA, DARLENE ACCOUNT #: 03008795809	CAPITAL INCOME A BUY 0 Units @0.0000	0.00	2.29	0.00	2.29	20.0	0.46
06/30/2018	***-**-030J - MERRILL, ACCOUNT #: 03009145719	CAPITAL INCOME A BUY 0 Units @0.0000	0.00	1.50	0.00	1.50	20.0	0.30
06/30/2018	***-**-481J - MERRILL, JASON ACCOUNT #: 03009145730	CAPITAL INCOME A BUY 0 Units @0.0000	0.00	1.44	0.00	1.44	20.0	0.29
07/02/2018	***-**-3246 - SGROI, TERESA ACCOUNT #: 00089976714	CAPITAL INCOME BUILDER-A BUY 1 Units @63.2900	50.00	2.50	0.00	2.50	20.0	0.50
06/30/2018	***-**-5453 - O'HARA, DARLENE ACCOUNT #: 02401404062	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	5.63	0.00	5.63	20.0	1.13
06/30/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 08351548041	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	2.83	0.00	2.83	20.0	0.57
06/30/2018	***-**-33IN - KING, JOHN ACCOUNT #: 08351548074	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	4.39	0.00	4.39	20.0	0.88
06/30/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 08352551208	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	6.94	0.00	6.94	20.0	1.39
06/30/2018	***-**-453B - OHARA, DARLENE ACCOUNT #: 08352560668	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	2.38	0.00	2.38	20.0	0.48



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
07/05/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	FUNDAMENTAL INVESTORS-A BUY 2 Units @65.8300	135.00	5.06	0.00	5.06	20.0	1.01
06/30/2018	***-**-030J - MERRILL, ACCOUNT #: 01980118393	INTERNATIONAL DIVERSIFIED FUND N BUY 0 Units @0.0000	0.00	1.26	0.00	1.26	20.0	0.25
06/30/2018	***-**-030J - MERRILL, ACCOUNT #: 01980118393	INTERNATIONAL DIVERSIFIED FUND N BUY 0 Units @0.0000	0.00	0.04	0.00	0.04	20.0	0.01
06/30/2018	***-**-33IN - KING, JOHN ACCOUNT #: 04206247879	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	2.95	0.00	2.95	20.0	0.59
07/01/2018	***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00060558718	MASSACHUSETTS INVESTORS TRUST-A BUY 0 Units @0.0000	0.00	4.99	0.00	4.99	20.0	1.00
06/30/2018	***-**-481J - MERRILL, JASON ACCOUNT #: 05450490435	OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000	0.00	40.87	0.00	40.87	20.0	8.17
06/30/2018	***-**-030J - MERRILL, ACCOUNT #: 05450490490	OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000	0.00	20.48	0.00	20.48	20.0	4.10
06/30/2018	***-**-5453 - O'HARA, DARLENE ACCOUNT #: 02851702085	OPPENHEIMER CORE BOND FUND A BUY 0 Units @0.0000	0.00	0.66	0.00	0.66	20.0	0.13
06/30/2018	***-**-5453 - O'HARA, DARLENE ACCOUNT #: 02851702096	OPPENHEIMER CORE BOND FUND A BUY 0 Units @0.0000	0.00	1.39	0.00	1.39	20.0	0.28
06/30/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 02852063622	OPPENHEIMER CORE BOND FUND A BUY 0 Units @0.0000	0.00	0.77	0.00	0.77	20.0	0.15
06/30/2018	***-**-33IN - KING, JOHN ACCOUNT #: 07859653204	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	0.00	7.02	0.00	7.02	20.0	1.40
06/30/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 07859845440	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	0.00	0.66	0.00	0.66	20.0	0.13
06/30/2018	***-**-5453 - O'HARA, DARLENE ACCOUNT #: 07453729187	OPPENHEIMER DISCOVERY CLASS A BUY 0 Units @0.0000	0.00	7.56	0.00	7.56	20.0	1.51
06/30/2018	***-**-481J - MERRILL, JASON ACCOUNT #: 01951261073	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	3.83	0.00	3.83	20.0	0.77
06/30/2018	***-**-030J - MERRILL, ACCOUNT #: 01951261139	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	1.41	0.00	1.41	20.0	0.28
06/30/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 01951897060	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	2.91	0.00	2.91	20.0	0.58



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
06/30/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 07315104495	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	2.66	0.00	2.66	20.0	0.53
06/30/2018	***-**-5453 - O'HARA, DARLENE ACCOUNT #: 08475741681	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	8.68	0.00	8.68	20.0	1.74
06/30/2018	***-**-33IN - KING, JOHN ACCOUNT #: 08476672325	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	8.11	0.00	8.11	20.0	1.62
06/30/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 08476711969	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	5.72	0.00	5.72	20.0	1.14
06/30/2018	***-**-33IN - KING, JOHN ACCOUNT #: 02514166107	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	7.40	0.00	7.40	20.0	1.48
06/30/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 02305070453	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	4.83	0.00	4.83	20.0	0.97
06/30/2018	***-**-33IN - KING, JOHN ACCOUNT #: 02305070475	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	20.0	0.05
06/30/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 02306510122	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	6.48	0.00	6.48	20.0	1.30
06/30/2018	***-**-453B - OHARA, DARLENE ACCOUNT #: 02306518823	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	2.24	0.00	2.24	20.0	0.45
06/30/2018	***-**-030J - MERRILL, ACCOUNT #: 05480060203	PORTFOLIO SERIES: MODERATE INVESTOR BUY 0 Units @0.0000	0.00	10.39	0.00	10.39	20.0	2.08
06/30/2018	***-**-030J - MERRILL, ACCOUNT #: 05480060203	PORTFOLIO SERIES: MODERATE INVESTOR BUY 0 Units @0.0000	0.00	1.18	0.00	1.18	20.0	0.24
06/30/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 02253478497	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	5.95	0.00	5.95	20.0	1.19
06/30/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 02254109468	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	7.20	0.00	7.20	20.0	1.44
06/30/2018	***-**-453B - OHARA, DARLENE ACCOUNT #: 02254115661	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	2.45	0.00	2.45	20.0	0.49
06/30/2018	***-**-33IN - KING, JOHN ACCOUNT #: 02254507140	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	3.48	0.00	3.48	20.0	0.70
06/30/2018	***-**-030J - MERRILL, ACCOUNT #: 06000782386	SELECT VALUE FUND A BUY 0 Units @0.0000	0.00	1.58	0.00	1.58	20.0	0.32



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
06/30/2018	***-**-481J - MERRILL, JASON	SELECT VALUE FUND A	0.00	1.51	0.00	1.51	20.0	0.30
	ACCOUNT #: 06000782397	BUY 0 Units @0.0000						
07/02/2018	***-**-9408 - SNIZEK,	THE GROWTH FUND OF AMERICA-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00085099445	BUY 1 Units @56.0400						
07/05/2018	***-**-0911 - SPAZIANI,	THE GROWTH FUND OF AMERICA-A	55.00	2.06	0.00	2.06	20.0	0.41
	ACCOUNT #: 00082570536	BUY 1 Units @56.8100						
07/02/2018	***-**-6790 - DRAKE, ROBERT	THE INCOME FUND OF AMERICA-A	50.00	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 00086643595	BUY 2 Units @23.7100						
07/05/2018	***-**-0911 - SPAZIANI,	THE INCOME FUND OF AMERICA-A	135.00	5.06	0.00	5.06	20.0	1.01
	ACCOUNT #: 00082570536	BUY 6 Units @23.8600						
06/30/2018	***-**-5453 - O'HARA, DARLENE	VALUE FUND A	0.00	6.19	0.00	6.19	20.0	1.24
	ACCOUNT #: 03751903044	BUY 0 Units @0.0000						
* RONALD DRAKE SUBTOTAL *			\$990.00	\$268.02	\$0.00	\$268.02		\$53.65
<u> BROKER: RONALD CIOTOLI </u>								
06/30/2018	***-**-2131 - CASTELLUCCI,	CAPITAL APPRECIATION A	0.00	22.33	0.00	22.33	35.0	7.82
	ACCOUNT #: 02704872989	BUY 0 Units @0.0000						
06/30/2018	***-**-2131 - CASTELLUCCI,	CAPITAL APPRECIATION A	0.00	0.07	0.00	0.07	35.0	0.02
	ACCOUNT #: 03203076865	BUY 0 Units @0.0000						
06/30/2018	***-**-3380 - DENTON, KELLI	CAPITAL APPRECIATION A	0.00	7.86	0.00	7.86	35.0	2.75
	ACCOUNT #: 03210124708	BUY 0 Units @0.0000						
06/30/2018	***-**-850I - BERG, SCOTT	CAPITAL APPRECIATION A	0.00	11.39	0.00	11.39	35.0	3.99
	ACCOUNT #: 03210694585	BUY 0 Units @0.0000						
06/30/2018	***-**-258I - JOHNSON,	CAPITAL INCOME A	0.00	28.06	0.00	28.06	35.0	9.82
	ACCOUNT #: 03009150416	BUY 0 Units @0.0000						
06/30/2018	***-**-793I - CIOTOLI, LOUIS	DISCOVERY FUND C	0.00	82.05	0.00	82.05	35.0	28.72
	ACCOUNT #: 07470601535	BUY 0 Units @0.0000						
06/30/2018	***-**-832I - FLETCHER, JAMES	EQUITY INCOME FUND, INC. A	0.00	17.93	0.00	17.93	35.0	6.28
	ACCOUNT #: 08352369477	BUY 0 Units @0.0000						
06/30/2018	***-**-753I - BRYAN, DEBRA	EQUITY INCOME FUND, INC. A	0.00	4.93	0.00	4.93	35.0	1.73
	ACCOUNT #: 08353019005	BUY 0 Units @0.0000						
06/30/2018	***-**-793I - CIOTOLI, LOUIS	EQUITY INCOME FUND, INC. C	0.00	224.87	0.00	224.87	35.0	78.70
	ACCOUNT #: 08370088704	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD CIOTOLI (continued)</u>								
06/30/2018	***-**-832I - FLETCHER, JAMES	GLOBAL FUND A	0.00	10.94	0.00	10.94	35.0	3.83
	ACCOUNT #: 03307051794	BUY 0 Units @0.0000						
06/30/2018	***-**-753I - BRYAN, DEBRA	GLOBAL FUND A	0.00	2.77	0.00	2.77	35.0	0.97
	ACCOUNT #: 03307545892	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	GLOBAL FUND N	0.00	5.47	0.00	5.47	35.0	1.91
	ACCOUNT #: 03330205810	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	GLOBAL FUND N	0.00	0.64	0.00	0.64	35.0	0.22
	ACCOUNT #: 03330205810	BUY 0 Units @0.0000						
06/30/2018	***-**-832I - FLETCHER, JAMES	INTERNATIONAL BOND A	0.00	4.90	0.00	4.90	35.0	1.72
	ACCOUNT #: 08809946992	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	INTERNATIONAL BOND FUND N	0.00	12.24	0.00	12.24	35.0	4.28
	ACCOUNT #: 08790164604	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	INTERNATIONAL BOND FUND N	0.00	3.59	0.00	3.59	35.0	1.26
	ACCOUNT #: 08790164604	BUY 0 Units @0.0000						
06/30/2018	***-**-850I - BERG, SCOTT	INTERNATIONAL GROWTH FUND A	0.00	9.75	0.00	9.75	35.0	3.41
	ACCOUNT #: 08254805537	BUY 0 Units @0.0000						
06/30/2018	***-**-258I - JOHNSON,	MAIN STREET FUND A	0.00	29.91	0.00	29.91	35.0	10.47
	ACCOUNT #: 07006148794	BUY 0 Units @0.0000						
07/16/2018	***-**-295P - MILLER, PAUL	NEW YORK 529 TRAILS	0.00	5.71	0.00	5.71	35.0	2.00
	ACCOUNT #: 77043335701	BUY 0 Units @0.0000						
07/16/2018	***-**-354I - MASTRONARDI,	NEW YORK 529 TRAILS	0.00	3.14	0.00	3.14	35.0	1.10
	ACCOUNT #: 77407712301	BUY 0 Units @0.0000						
06/30/2018	***-**-354I - MASTRONARDI,	OPP PORT SERIES: ACTIVE ALLOC A	0.00	17.79	0.00	17.79	35.0	6.23
	ACCOUNT #: 05502014892	BUY 0 Units @0.0000						
06/30/2018	***-**-258I - JOHNSON,	OPPENHEIMER CORE BOND FUND A	0.00	26.68	0.00	26.68	35.0	9.34
	ACCOUNT #: 02852454661	BUY 0 Units @0.0000						
06/30/2018	***-**-258I - JOHNSON,	OPPENHEIMER DISCOVERY CLASS A	0.00	2.68	0.00	2.68	35.0	0.94
	ACCOUNT #: 05001906223	BUY 0 Units @0.0000						
06/30/2018	***-**-850I - BERG, SCOTT	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	12.47	0.00	12.47	35.0	4.36
	ACCOUNT #: 08476862416	BUY 0 Units @0.0000						
06/30/2018	***-**-753I - BRYAN, DEBRA	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	2.59	0.00	2.59	35.0	0.91
	ACCOUNT #: 08477449156	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD CIOTOLI (continued)</u>								
06/30/2018	***-**-832I - FLETCHER, JAMES	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	5.71	0.00	5.71	35.0	2.00
	ACCOUNT #: 02912380983	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	OPPENHEIMER SENIOR FLOATING RATE FU	0.00	21.16	0.00	21.16	35.0	7.41
	ACCOUNT #: 00100013223	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	OPPENHEIMER SENIOR FLOATING RATE FU	0.00	18.17	0.00	18.17	35.0	6.36
	ACCOUNT #: 00100013223	BUY 0 Units @0.0000						
06/30/2018	***-**-832I - FLETCHER, JAMES	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	39.94	0.00	39.94	35.0	13.98
	ACCOUNT #: 02306352283	BUY 0 Units @0.0000						
06/30/2018	***-**-753I - BRYAN, DEBRA	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	9.33	0.00	9.33	35.0	3.27
	ACCOUNT #: 02306960198	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	PORT SERIES: EQUITY INV FD A	0.00	23.30	0.00	23.30	35.0	8.16
	ACCOUNT #: 05550672298	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	PORT SERIES: EQUITY INV FD B	0.00	0.25	0.00	0.25	35.0	0.09
	ACCOUNT #: 05560094699	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	QUEST CAPITAL VALUE FUND, INC. N	0.00	9.46	0.00	9.46	35.0	3.31
	ACCOUNT #: 08380094006	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	QUEST CAPITAL VALUE FUND, INC. N	0.00	4.52	0.00	4.52	35.0	1.58
	ACCOUNT #: 08380094006	BUY 0 Units @0.0000						
06/30/2018	***-**-850I - BERG, SCOTT	RISING DIVIDENDS FUND A	0.00	11.27	0.00	11.27	35.0	3.94
	ACCOUNT #: 02253463944	BUY 0 Units @0.0000						
06/30/2018	***-**-832I - FLETCHER, JAMES	RISING DIVIDENDS FUND A	0.00	19.44	0.00	19.44	35.0	6.80
	ACCOUNT #: 02253984684	BUY 0 Units @0.0000						
06/30/2018	***-**-354I - MASTRONARDI,	RISING DIVIDENDS FUND A	0.00	7.76	0.00	7.76	35.0	2.72
	ACCOUNT #: 02254007586	BUY 0 Units @0.0000						
06/30/2018	***-**-753I - BRYAN, DEBRA	RISING DIVIDENDS FUND A	0.00	5.25	0.00	5.25	35.0	1.84
	ACCOUNT #: 02254472149	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	RISING DIVIDENDS FUND, INC. N	0.00	8.43	0.00	8.43	35.0	2.95
	ACCOUNT #: 02350125422	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	RISING DIVIDENDS FUND, INC. N	0.00	6.42	0.00	6.42	35.0	2.25
	ACCOUNT #: 02350125422	BUY 0 Units @0.0000						
06/30/2018	***-**-354I - MASTRONARDI,	ROCHESTER FUND MUNICIPALS A	0.00	12.11	0.00	12.11	35.0	4.24
	ACCOUNT #: 03652709938	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD CIOTOLI (continued)</u>								
06/30/2018	***-**-258I - JOHNSON, ACCOUNT #: 06000784399	SELECT VALUE FUND A BUY 0 Units @0.0000	0.00	30.30	0.00	30.30	35.0	10.61
06/30/2018	***-**-793I - CIOTOLI, LOUIS ACCOUNT #: 02530273031	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	0.00	98.36	0.00	98.36	35.0	34.43
06/30/2018	***-**-717I - EWING, JOSEPH ACCOUNT #: 02330203984	STRATEGIC INCOME FUND N BUY 0 Units @0.0000	0.00	27.96	0.00	27.96	35.0	9.79
06/30/2018	***-**-717I - EWING, JOSEPH ACCOUNT #: 02330203984	STRATEGIC INCOME FUND N BUY 0 Units @0.0000	0.00	9.22	0.00	9.22	35.0	3.23
07/06/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE INCOME FUND OF AMERICA-A BUY 2 Units @23.4800	57.00	1.14	0.00	1.14	35.0	0.40
07/06/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE INCOME FUND OF AMERICA-A BUY 8 Units @23.4800	190.00	3.80	0.00	3.80	35.0	1.33
* RONALD CIOTOLI SUBTOTAL *			\$247.00	\$924.06	\$0.00	\$924.06		\$323.47
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,237.00	\$1,192.08	\$0.00	\$1,192.08		\$377.12

BROKER COMMISSION TOTALS

Broker Transactions	\$90.79
Split Transactions	\$0.00
Override Transactions	\$377.12
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$467.91
Year-to-date Earnings	\$16,510.15