

COMMISSION STATEMENT

August 31, 2017

EDWARD BYRNE

421 HANOVER CENTER ROAD

ETNA, NH 03750

BROKER #: 23

BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD BYRNE

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | |
|-----------------------------------|-----------|-------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------------|----------------------|------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | | |
| PRODUCT CATEGORY: STOCKS | | | | | | | | | | |
| 08/15/2017 | QGN005720 | MARIO CAMARA | CREDIT SUISSE AG NASSAU BRANCH VELO S | -17,901.00 | 100.00 | 17.00 | 83.00 | 75.0 | 58.00 | |
| 08/15/2017 | QGN005720 | MARIO CAMARA | ENERGOUS CORP COM | B | 20,106.00 | 275.00 | 17.00 | 258.00 | 75.0 | 189.25 |
| 08/18/2017 | QGN005720 | MARIO CAMARA | ENERGOUS CORP COM | B | 20,500.80 | 240.00 | 17.00 | 223.00 | 75.0 | 163.00 |
| 08/18/2017 | QGN005720 | MARIO CAMARA | LTC PPTYS INC COM | S | -23,601.00 | 75.00 | 17.00 | 58.00 | 75.0 | 39.25 |
| 08/24/2017 | QGN005720 | MARIO CAMARA | REGULUS THERAPEUTICS INC COM | B | 5,200.00 | 170.00 | 17.00 | 153.00 | 75.0 | 110.50 |
| 08/17/2017 | QGW031833 | CASSADY REVOCABLE | ENERGOUS CORP COM | B | 2,277.04 | 80.00 | 17.00 | 63.00 | 75.0 | 43.00 |
| 08/08/2017 | QGW031882 | MICKES, THOMAS | VUZIX CORP COM NEW | S | -30,971.25 | 350.00 | 17.00 | 333.00 | 75.0 | 245.50 |
| 08/17/2017 | QGW031882 | MICKES, THOMAS | ENERGOUS CORP COM | B | 5,574.40 | 126.89 | 17.00 | 109.89 | 75.0 | 78.17 |
| 08/08/2017 | QGW031957 | COLE, PHILLIP | VUZIX CORP COM NEW | S | -3,106.25 | 50.00 | 17.00 | 33.00 | 75.0 | 20.50 |
| 08/17/2017 | QGW031957 | COLE, PHILLIP | ENERGOUS CORP COM | B | 2,855.00 | 100.00 | 17.00 | 83.00 | 75.0 | 58.00 |
| 08/24/2017 | QGW031957 | COLE, PHILLIP | NEONODE INC COM NEW | S | -4,365.20 | 80.00 | 17.00 | 63.00 | 75.0 | 43.00 |
| 08/25/2017 | QGW031957 | COLE, PHILLIP | VUZIX CORP COM NEW | B | 18,594.60 | 240.00 | 17.00 | 223.00 | 75.0 | 163.00 |
| 08/17/2017 | QGW033680 | CRONIN, MICHAEL | ENERGOUS CORP COM | B | 2,139.48 | 60.00 | 17.00 | 43.00 | 75.0 | 28.00 |
| 08/17/2017 | QGW033680 | CRONIN, MICHAEL | VUZIX CORP COM NEW | B | 7,535.04 | 150.00 | 17.00 | 133.00 | 75.0 | 95.50 |
| * STOCKS SUBTOTAL * | | | | | \$4,837.66 | \$2,096.89 | \$238.00 | \$1,858.89 | | \$1,334.67 |
| *** BROKER TRANSACTIONS TOTAL *** | | | | | \$4,837.66 | \$2,096.89 | \$238.00 | \$1,858.89 | | \$1,334.67 |

ADJUSTMENTS

| | | | | | | | | | |
|------------|--|----------------------------------|--|----------------------------------|---------------|---------------|---------------|---------------|--------------------|
| 08/31/2017 | | OTHER: COMPLIANCE AND TECHNOLOGY | | 0.00 | 0.00 | 0.00 | 0.00 | | -20.00 |
| 08/31/2017 | | OTHER: OTHER-360 OFFICE | | 0.00 | 0.00 | 0.00 | 0.00 | | -8.00 |
| 08/31/2017 | | OTHER: OTHER-ERROR | | 0.00 | 0.00 | 0.00 | 0.00 | | -1,000.00 |
| | | | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-1,028.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$1,334.67 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-1,028.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$-2.62 |
| SIPC Assessment | \$-5.24 |

| | |
|------------------------------|-------------------|
| Check Amount | \$298.81 |
| Year-to-date Earnings | \$3,494.85 |