



Concorde Investment Services, LLC
COMMISSION STATEMENT
November 15, 2017

11/13/2017
Page No. 1

JOSEPH MICHALETZ
41474 Judson Bottom Road

North Mankato, MI 56003-

BROKER #: M138
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/26/2017	SCHLOMANN, DAVID	MFS GROWTH ALLOCATION C	-8,000.00	22.00	22.00	0.00	93.0	0.00
10/30/2017	POCKEY, MAURICE	RESOURCE ROYALTY INCOME & GROWTH FU	40,000.00	0.00	0.00	0.00	93.0	0.00
11/01/2017	COHEN, KATHRYN	RESOURCE ROYALTY INCOME & GROWTH FU	50,000.00	0.00	0.00	0.00	93.0	0.00
11/10/2017	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	200.00	2.00	12.00	-10.00	93.0	-9.30
* MUTUAL FUNDS SUBTOTAL *			\$82,200.00	\$24.00	\$34.00	\$-10.00		\$-9.30
*** CLEARING TRANSACTIONS TOTAL ***			\$82,200.00	\$24.00	\$34.00	\$-10.00		\$-9.30

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
11/01/2017	GALLER TRUST, GORDON	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
11/02/2017	EDWARDS PROFIT SHARE, RHONDA	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
11/09/2017	MEAD, SHARON	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
11/01/2017	DAVIS, MARK	HPI FUND V	500,000.00	30,000.00	0.00	30,000.00	93.0	27,900.00
11/01/2017	WINGERT, CHARLES	HPI FUND V	130,000.00	7,800.00	0.00	7,800.00	93.0	7,254.00
11/01/2017	GALLER TRUST, MARY	HPI FUND V	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
11/03/2017	HINTON, MARK	HPI FUND V	200,000.00	12,000.00	0.00	12,000.00	93.0	11,160.00
11/10/2017	STEVENS LIV TRUST, GEORGE	HPI FUND V	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
11/10/2017	MILLER, MILLER, BRADS	HPI FUND V	357,142.86	14,285.71	0.00	14,285.71	93.0	13,285.71
11/10/2017	CHAPMAN, FRANCIS	HPI FUND V	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
* PRIVATE PLACEMENT SUBTOTAL *			\$1,587,142.86	\$89,585.71	\$0.00	\$89,585.71		\$83,314.71
<u>PRODUCT CATEGORY: OIL & GAS</u>								
11/01/2017	WINGERT, CHARLES	WAVELAND RESOURCE PARTNERS IV	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
* OIL & GAS SUBTOTAL *			\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$6,510.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
10/13/2017	GILOW, HENRY	COTTONWOOD MULTIFAMILY REIT II	30,000.00	1,800.00	0.00	1,800.00	93.0	1,674.00
* REIT SUBTOTAL *			\$30,000.00	\$1,800.00	\$0.00	\$1,800.00		\$1,674.00
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
11/03/2017	SCHREDER SR, LAWRENCE	EXCHANGERIGHT NET LEASE PF 17 DST	500,000.00	35,000.00	0.00	35,000.00	93.0	32,550.00
10/25/2017	HANSON TRUST, DAVID	RRTY CANADIAN RIVER ROYALTIES LLC	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
11/01/2017	SOUTH CENTRAL FINANCIAL S	RRTY CANADIAN RIVER ROYALTIES LLC	200,000.00	12,000.00	0.00	12,000.00	93.0	11,160.00
11/01/2017	SOUTH CENTRAL HOLD'G	RRTY CANADIAN RIVER ROYALTIES LLC	200,000.00	12,000.00	0.00	12,000.00	93.0	11,160.00
11/03/2017	KOERWITZ SURV TRUST, SHARON	RRTY CANADIAN RIVER ROYALTIES LLC	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
11/03/2017	MILLER TRUST, SCOTT & DEBORAH	RRTY CANADIAN RIVER ROYALTIES LLC	500,000.00	20,000.00	0.00	20,000.00	93.0	18,600.00
11/10/2017	BRIELMAIER, MARK	RRTY CANADIAN RIVER ROYALTIES LLC	150,000.00	9,000.00	0.00	9,000.00	93.0	8,370.00
11/10/2017	GREENSLADE, RONALD	RRTY CANADIAN RIVER ROYALTIES LLC	150,000.00	9,000.00	0.00	9,000.00	93.0	8,370.00
* 1031 EXCHANGES SUBTOTAL *			\$1,850,000.00	\$106,000.00	\$0.00	\$106,000.00		\$98,580.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/18/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	25.00	0.25	0.00	0.25	93.0	0.23
/ /	WHITNEY, STANLEY	HARTFORD GROWTH OPP A	0.00	35.59	0.00	35.59	93.0	33.10
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	1.00	0.00	1.00	93.0	0.93
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	0.42	0.00	0.42	93.0	0.39
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	3.53	0.00	3.53	93.0	3.28
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	7.03	0.00	7.03	93.0	6.54
11/01/2017	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
11/01/2017	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
11/01/2017	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
10/23/2017	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	1,166.66	11.67	0.00	11.67	93.0	10.85
10/23/2017	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	231.00	2.31	0.00	2.31	93.0	2.15
11/02/2017	KIECKER, MARK	TRANSAMERICA AA GROWTH C	0.00	35.90	0.00	35.90	93.0	33.39
11/02/2017	KIECKER, MARK	TRANSAMERICA AA GROWTH C	0.00	35.51	0.00	35.51	93.0	33.02
11/02/2017	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	0.00	38.54	0.00	38.54	93.0	35.84
11/02/2017	THORP, MEGAN	TRANSAMERICA AA GROWTH C	0.00	1.87	0.00	1.87	93.0	1.74
11/02/2017	MICHALETZ, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	1.45	0.00	1.45	93.0	1.35
11/02/2017	FITTERER, JAMES	TRANSAMERICA AA GROWTH C	0.00	41.47	0.00	41.47	93.0	38.57
11/02/2017	ROBERTSON, KATELYN	TRANSAMERICA AA GROWTH C	0.00	4.46	0.00	4.46	93.0	4.15
11/02/2017	SCHOEN, JUDITH	TRANSAMERICA AA GROWTH C	0.00	19.03	0.00	19.03	93.0	17.70

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/02/2017	JONES, ERIN	TRANSAMERICA AA GROWTH C	0.00	4.95	0.00	4.95	93.0	4.60
11/02/2017	JONES, KEITH	TRANSAMERICA AA GROWTH C	0.00	4.95	0.00	4.95	93.0	4.60
11/02/2017	LELAND, CRAIG	TRANSAMERICA AA GROWTH C	0.00	30.69	0.00	30.69	93.0	28.54
11/02/2017	SEVERSON, STEVEN	TRANSAMERICA AA GROWTH C	0.00	2.61	0.00	2.61	93.0	2.43
11/02/2017	FREDERICK, THOMAS	TRANSAMERICA AA GROWTH C	0.00	4.96	0.00	4.96	93.0	4.61
11/02/2017	RENDL, MARK	TRANSAMERICA AA GROWTH C	0.00	3.87	0.00	3.87	93.0	3.60
11/02/2017	GROMMERSCH, IZABEL	TRANSAMERICA AA GROWTH C	0.00	4.47	0.00	4.47	93.0	4.16
11/02/2017	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	0.00	16.89	0.00	16.89	93.0	15.71
11/02/2017	HASKIN-BEEBE, JEAN	TRANSAMERICA AA GROWTH C	0.00	4.06	0.00	4.06	93.0	3.78
11/02/2017	KIECKER, DENISE	TRANSAMERICA AA GROWTH C	0.00	17.82	0.00	17.82	93.0	16.57
11/02/2017	MARK T KIECKER, SIM	TRANSAMERICA AA GROWTH C	0.00	18.46	0.00	18.46	93.0	17.17
11/02/2017	SHORE, HELEN	TRANSAMERICA AA GROWTH C	0.00	60.18	0.00	60.18	93.0	55.97
11/02/2017	DOBIE, SHERYL	TRANSAMERICA AA GROWTH C	0.00	6.26	0.00	6.26	93.0	5.82
11/02/2017	JONES, EVAN	TRANSAMERICA AA GROWTH C	0.00	1.47	0.00	1.47	93.0	1.37
11/02/2017	GROMMERSCH, JOHN	TRANSAMERICA AA GROWTH C	0.00	4.47	0.00	4.47	93.0	4.16
11/02/2017	WETZELL, PAMELA	TRANSAMERICA AA GROWTH C	0.00	4.03	0.00	4.03	93.0	3.75
11/02/2017	WETZELL, THOMAS	TRANSAMERICA AA GROWTH C	0.00	12.39	0.00	12.39	93.0	11.52
11/02/2017	JONES, WYATT	TRANSAMERICA AA GROWTH C	0.00	1.58	0.00	1.58	93.0	1.47
11/02/2017	THOMPSON, JO ANN	TRANSAMERICA AA GROWTH C	0.00	10.85	0.00	10.85	93.0	10.09
11/02/2017	JONES, DYLAN	TRANSAMERICA AA GROWTH C	0.00	1.30	0.00	1.30	93.0	1.21
11/02/2017	OTTO, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	12.24	0.00	12.24	93.0	11.38
11/02/2017	CHRISTMAN, PATRICK	TRANSAMERICA AA GROWTH C	0.00	9.85	0.00	9.85	93.0	9.16
11/02/2017	CHRISTMAN, LISA	TRANSAMERICA AA GROWTH C	0.00	8.85	0.00	8.85	93.0	8.23
11/02/2017	THORP, CALEB	TRANSAMERICA AA GROWTH C	0.00	6.68	0.00	6.68	93.0	6.21
11/02/2017	WETZELL, ELI	TRANSAMERICA AA GROWTH C	0.00	5.71	0.00	5.71	93.0	5.31
11/02/2017	WETZELL, BECKETT	TRANSAMERICA AA GROWTH C	0.00	6.15	0.00	6.15	93.0	5.72
11/02/2017	TOMM, JODI	TRANSAMERICA AA GROWTH C	0.00	59.80	0.00	59.80	93.0	55.61
11/02/2017	JONES, WILL	TRANSAMERICA AA GROWTH C	0.00	1.33	0.00	1.33	93.0	1.24
11/02/2017	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	13.96	0.00	13.96	93.0	12.98
11/02/2017	SANDBO, KAID	TRANSAMERICA AA GROWTH C	0.00	4.53	0.00	4.53	93.0	4.21
11/02/2017	SANDBO, ALYIAH	TRANSAMERICA AA GROWTH C	0.00	4.27	0.00	4.27	93.0	3.97
11/02/2017	WETZELL, PEYTON	TRANSAMERICA AA GROWTH C	0.00	3.04	0.00	3.04	93.0	2.83
11/02/2017	THORP, REED	TRANSAMERICA AA GROWTH C	0.00	3.28	0.00	3.28	93.0	3.05

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/02/2017	SOLOMON, ARIANNA	TRANSAMERICA AA GROWTH C	0.00	3.01	0.00	3.01	93.0	2.80
11/02/2017	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	24.13	0.00	24.13	93.0	22.44
11/02/2017	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	6.52	0.00	6.52	93.0	6.06
11/02/2017	GRUBISH, GERTRUDE	TRANSAMERICA AA GROWTH C	0.00	6.52	0.00	6.52	93.0	6.06
11/02/2017	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.12	0.00	1.12	93.0	1.04
11/02/2017	WETZELL, BRADY	TRANSAMERICA AA GROWTH C	0.00	1.07	0.00	1.07	93.0	1.00
11/02/2017	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.19	0.00	1.19	93.0	1.11
11/02/2017	THORP, QUINN	TRANSAMERICA AA GROWTH C	0.00	1.08	0.00	1.08	93.0	1.00
11/02/2017	WETZELL, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	1.05	0.00	1.05	93.0	0.98
11/02/2017	KIECKER, BENJAMIN	TRANSAMERICA AA GROWTH C	0.00	2.49	0.00	2.49	93.0	2.32
11/02/2017	KIECKER, DANIEL	TRANSAMERICA AA GROWTH C	0.00	2.88	0.00	2.88	93.0	2.68
11/02/2017	STEVENS, GAVIN	TRANSAMERICA AA GROWTH C	0.00	9.80	0.00	9.80	93.0	9.11
11/02/2017	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	0.00	3.78	0.00	3.78	93.0	3.52
11/02/2017	VOIGHT, CODY	TRANSAMERICA AA GROWTH C	0.00	4.41	0.00	4.41	93.0	4.10
11/02/2017	VOIGHT, NICKOLAS	TRANSAMERICA AA GROWTH C	0.00	0.15	0.00	0.15	93.0	0.14
11/02/2017	CONRAD, MAREIDITH	TRANSAMERICA AA MOD GROWTH C	0.00	6.55	0.00	6.55	93.0	6.09
11/02/2017	CONRAD, DELBERT	TRANSAMERICA AA MOD GROWTH C	0.00	42.80	0.00	42.80	93.0	39.80
11/02/2017	LOE, GLORIA	TRANSAMERICA AA MOD GROWTH C	0.00	8.15	0.00	8.15	93.0	7.58
11/02/2017	GARTNER, TIMOTHY	TRANSAMERICA AA MOD GROWTH C	0.00	25.92	0.00	25.92	93.0	24.11
11/02/2017	GRAFF, GARY	TRANSAMERICA AA MOD GROWTH C	0.00	22.11	0.00	22.11	93.0	20.56
* MUTUAL FUNDS SUBTOTAL *			\$1,722.66	\$773.71	\$0.00	\$773.71		\$719.55
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/31/2017	ANDERSEN, WAYNE	JNL PERSPECTIVE ADV II 5/05	57,229.95	47.69	0.00	47.69	93.0	44.35
10/31/2017	HAAK, HELEN	JNL PERSPECTIVE ADV II 5/05	67,593.39	70.41	0.00	70.41	93.0	65.48
10/31/2017	GARTNER TRUST	JNL PERSPECTIVE ADV II 5/05	161,350.35	168.07	0.00	168.07	93.0	156.31
10/31/2017	AMERICAN ENDOWMENT FUND	JNL PERSPECTIVE II 04/14	68,987.98	57.49	0.00	57.49	93.0	53.47
10/31/2017	ROSE, DENNIS	JNL PERSPECTIVE II 05/05	81,890.62	68.24	0.00	68.24	93.0	63.46
10/31/2017	ROSE, CHERYL	JNL PERSPECTIVE II 05/05	136,854.91	114.05	0.00	114.05	93.0	106.07
10/31/2017	LUTZ, TRACY	JNL PERSPECTIVE II 05/05	8,626.77	7.19	0.00	7.19	93.0	6.69
10/31/2017	THOMPSON, JO ANN	JNL PERSPECTIVE L	198,513.81	165.43	0.00	165.43	93.0	153.85
10/31/2017	VANDERHOOF, DONNA	JNL PERSPECTIVE L	331,593.61	276.33	0.00	276.33	93.0	256.99
10/31/2017	GREEN, STEVEN	JNL PERSPECTIVE L	85,665.01	71.39	0.00	71.39	93.0	66.39
10/31/2017	GREEN, STEVEN	JNL PERSPECTIVE L	70,229.58	58.52	0.00	58.52	93.0	54.42

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/31/2017	WOLFF, JEAN	JNL PERSPECTIVE L	85,770.56	71.48	0.00	71.48	93.0	66.48
10/31/2017	WOLFF, JEAN	JNL PERSPECTIVE L	430,679.68	358.90	0.00	358.90	93.0	333.78
10/31/2017	CURTIS, JEFFREY	JNL PERSPECTIVE L	140,316.90	116.93	0.00	116.93	93.0	108.74
10/31/2017	FUENTEZ, IVAN	JNL PERSPECTIVE L	242,818.74	202.35	0.00	202.35	93.0	188.19
10/31/2017	ROSE, ERIC	JNL PERSPECTIVE L	46,220.17	38.52	0.00	38.52	93.0	35.82
10/31/2017	ANDERSEN, WAYNE	JNL PERSPECTIVE L	151,888.00	126.57	0.00	126.57	93.0	117.71
10/31/2017	MAINE, VINCENT	JNL PERSPECTIVE L	138,902.87	57.88	0.00	57.88	93.0	53.83
10/31/2017	CALABRESE, CAROL	JNL PERSPECTIVE L	263,242.33	219.37	0.00	219.37	93.0	204.01
10/31/2017	SCHRIOCK, LINDA	JNL PERSPECTIVE L	58,369.59	48.64	0.00	48.64	93.0	45.24
10/31/2017	SCHRIOCK, STANLEY	JNL PERSPECTIVE L	25,082.74	20.90	0.00	20.90	93.0	19.44
10/31/2017	MAINE, VINCENT	JNL PERSPECTIVE L	167,668.13	69.86	0.00	69.86	93.0	64.97
10/31/2017	LUTZ, TRACY	JNL PERSPECTIVE L	23,750.31	19.79	0.00	19.79	93.0	18.40
10/31/2017	CHESNEY, TERRANCE	JNL PERSPECTIVE L	178,574.20	148.81	0.00	148.81	93.0	138.39
10/31/2017	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	108,482.87	90.40	0.00	90.40	93.0	84.07
10/31/2017	CHESNEY, TERRANCE	JNL PERSPECTIVE L	156,402.21	130.34	0.00	130.34	93.0	121.22
10/31/2017	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	160,460.64	133.72	0.00	133.72	93.0	124.36
10/31/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	88,629.12	73.86	0.00	73.86	93.0	68.69
10/31/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	192,090.24	160.08	0.00	160.08	93.0	148.87
10/31/2017	KOCON, RAYMOND	JNL PERSPECTIVE L	428,909.07	357.42	0.00	357.42	93.0	332.40
10/31/2017	HOGSTAD, MARY	JNL PERSPECTIVE L	151,540.36	126.28	0.00	126.28	93.0	117.44
10/31/2017	ROSE, DENNIS	JNL PERSPECTIVE L	167,568.41	139.64	0.00	139.64	93.0	129.87
10/31/2017	GARTNER TRUST, ORVAL	JNL PERSPECTIVE L	462,978.08	385.82	0.00	385.82	93.0	358.81
10/31/2017	LEASE, NATHELLE	JNL PERSPECTIVE L	287,041.89	239.20	0.00	239.20	93.0	222.46
10/31/2017	STRADTMAN, CHARLES	JNL PERSPECTIVE L	207,007.10	172.51	0.00	172.51	93.0	160.43
10/31/2017	VETTER, JOANN	JNL PERSPECTIVE L	681,152.24	567.63	0.00	567.63	93.0	527.90
10/31/2017	STRADTMAN, CHARLES	JNL PERSPECTIVE L	466,357.33	388.63	0.00	388.63	93.0	361.43
10/31/2017	VETTER, RICHARD	JNL PERSPECTIVE L	126,604.02	105.50	0.00	105.50	93.0	98.12
10/31/2017	VETTER, JOANN	JNL PERSPECTIVE L	116,596.53	97.16	0.00	97.16	93.0	90.36
10/31/2017	FLOR, PATRICIA	JNL PERSPECTIVE L	1,795,950.80	1,496.63	0.00	1,496.63	93.0	1,391.87
10/31/2017	KRAHMER, LE ANN	JNL PERSPECTIVE L	338,698.21	282.25	0.00	282.25	93.0	262.49
10/31/2017	ANDERSEN REV LIV TR, CRYSTAL	JNL PERSPECTIVE L	129,620.64	108.02	0.00	108.02	93.0	100.46
10/31/2017	KOPISCHKE, BEVERLY	JNL PERSPECTIVE L	159,278.99	132.73	0.00	132.73	93.0	123.44
10/31/2017	SHORE, JERRY	JNL PERSPECTIVE L	1,128,387.84	940.32	0.00	940.32	93.0	874.50

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/31/2017	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	1,132,901.53	944.08	0.00	944.08	93.0	877.99
10/31/2017	SHORE, JERRY	JNL PERSPECTIVE L	317,011.93	264.18	0.00	264.18	93.0	245.69
10/31/2017	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	269,662.95	224.72	0.00	224.72	93.0	208.99
10/31/2017	LILLEVOLD, KATHRYN	JNL PERSPECTIVE L	1,012,604.50	843.84	0.00	843.84	93.0	784.77
10/31/2017	JOHNSON, ELOIS	JNL PERSPECTIVE L	478,379.57	398.65	0.00	398.65	93.0	370.74
10/31/2017	BERKNESS, RONALD	JNL PERSPECTIVE L	371,961.27	309.97	0.00	309.97	93.0	288.27
10/31/2017	WOLFF, ANITA	JNL PERSPECTIVE L	601,937.51	501.61	0.00	501.61	93.0	466.50
10/31/2017	NAWROCKI, DONNA	JNL PERSPECTIVE L	815,692.51	679.74	0.00	679.74	93.0	632.16
10/31/2017	BERKNESS, CAROLE	JNL PERSPECTIVE L	156,075.20	130.06	0.00	130.06	93.0	120.96
10/31/2017	WOLFF, WALTER	JNL PERSPECTIVE L	852,967.07	710.81	0.00	710.81	93.0	661.05
10/31/2017	LARSON, LOIS	JNL PERSPECTIVE L	57,245.63	47.70	0.00	47.70	93.0	44.36
10/31/2017	LARSON, DEAN	JNL PERSPECTIVE L	118,641.00	98.87	0.00	98.87	93.0	91.95
10/31/2017	LEINENWEBER, LE ROY	JNL PERSPECTIVE L	314,884.50	262.40	0.00	262.40	93.0	244.03
10/31/2017	DALLMANN, HOWARD	JNL PERSPECTIVE L	199,544.26	166.29	0.00	166.29	93.0	154.65
10/31/2017	DALLMANN, MARGARET	JNL PERSPECTIVE L	23,961.09	19.97	0.00	19.97	93.0	18.57
10/31/2017	CLOW, SANDRA	JNL PERSPECTIVE L	740,280.25	616.90	0.00	616.90	93.0	573.72
10/31/2017	LARSON, DEAN L & LOIS N	JNL PERSPECTIVE L	557,164.68	464.30	0.00	464.30	93.0	431.80
10/31/2017	LOE, TIMOTHY	JNL PERSPECTIVE L	358,568.08	298.81	0.00	298.81	93.0	277.89
10/31/2017	NAWROCKI, DONNA	JNL PERSPECTIVE L	440,941.14	367.45	0.00	367.45	93.0	341.73
10/31/2017	DOBIE, SHERYL	JNL PERSPECTIVE L	931,857.12	776.55	0.00	776.55	93.0	722.19
10/31/2017	MICHALETZ, GERALD	JNL PERSPECTIVE L	153,680.46	128.07	0.00	128.07	93.0	119.11
10/31/2017	BANWART, ROGER	JNL PERSPECTIVE L	160,970.59	134.14	0.00	134.14	93.0	124.75
10/31/2017	FITTERER, DELORIS	JNL PERSPECTIVE L	108,426.27	90.36	0.00	90.36	93.0	84.03
10/31/2017	MICHALETZ, FAYE	JNL PERSPECTIVE L	68,390.30	56.99	0.00	56.99	93.0	53.00
10/31/2017	FUNFSINN TRUST, SUSAN	JNL PERSPECTIVE L	323,149.50	269.29	0.00	269.29	93.0	250.44
10/31/2017	FITTERER, DELORIS	JNL PERSPECTIVE L	246,367.67	205.31	0.00	205.31	93.0	190.94
10/31/2017	POST, TERRY	JNL PERSPECTIVE L	203,532.94	169.61	0.00	169.61	93.0	157.74
10/31/2017	HUISMAN, GERALDINE	JNL PERSPECTIVE L	169,461.30	141.22	0.00	141.22	93.0	131.33
10/31/2017	STEVENS, GEORGE	JNL PERSPECTIVE L	301,546.26	251.29	0.00	251.29	93.0	233.70
10/31/2017	BUCK, NORMAN	JNL PERSPECTIVE L	233,665.54	194.72	0.00	194.72	93.0	181.09
10/31/2017	PANOS, GERALDINE	JNL PERSPECTIVE L	118,662.79	98.89	0.00	98.89	93.0	91.97
10/31/2017	SHIRK, PAMELA	JNL PERSPECTIVE L	206,470.94	172.06	0.00	172.06	93.0	160.02
10/31/2017	PAHL, JAMES	JNL PERSPECTIVE L	151,008.38	125.84	0.00	125.84	93.0	117.03

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
10/31/2017	ENGELBRECHT, ALICE	JNL PERSPECTIVE L	259,451.90	216.21	0.00	216.21	93.0	201.08
10/31/2017	UTZKA, LANA	JNL PERSPECTIVE L	8,389.92	6.99	0.00	6.99	93.0	6.50
10/31/2017	SHAW, CHARLES	JNL PERSPECTIVE L	137,993.35	114.99	0.00	114.99	93.0	106.94
10/31/2017	WARD, JACQUELINE	JNL PERSPECTIVE L	38,776.17	32.31	0.00	32.31	93.0	30.05
10/31/2017	KRONBACK, MAYDELLE	JNL PERSPECTIVE L	177,703.43	148.09	0.00	148.09	93.0	137.72
10/31/2017	KENDALL, ARCHIE	JNL PERSPECTIVE L	486,020.97	405.02	0.00	405.02	93.0	376.67
10/31/2017	MINNEHAN, MICHAEL	JNL PERSPECTIVE L	143,066.97	119.22	0.00	119.22	93.0	110.87
10/31/2017	CLOW CHARTIBLE TRUST, SANDRA	JNL PERSPECTIVE L	54,850.54	45.71	0.00	45.71	93.0	42.51
10/31/2017	ESLINGER, DOUGLAS	JNL PERSPECTIVE L	45,294.39	37.75	0.00	37.75	93.0	35.11
10/31/2017	TRINITY EVANGELICAL	JNL PERSPECTIVE L	432,670.37	360.56	0.00	360.56	93.0	335.32
10/31/2017	ARNOLD HOLDINGS LP	JNL PERSPECTIVE L	723,087.76	602.57	0.00	602.57	93.0	560.39
10/31/2017	ARNOLD, EVERETT	JNL PERSPECTIVE L	731,628.93	609.69	0.00	609.69	93.0	567.01
10/31/2017	SCHMIDT, LORI	JNL PERSPECTIVE L	126,279.92	105.23	0.00	105.23	93.0	97.86
10/31/2017	SCHMIDT, HAROLD	JNL PERSPECTIVE L	516,570.48	430.48	0.00	430.48	93.0	400.35
10/31/2017	ZIEGLER, DARRELL	JNL PERSPECTIVE L	43,721.69	36.43	0.00	36.43	93.0	33.88
10/31/2017	MOLL TURKEYS INC	JNL PERSPECTIVE L	533,299.66	444.42	0.00	444.42	93.0	413.31
10/31/2017	KRONBACK, JEFFERY	JNL PERSPECTIVE L	25,119.50	20.93	0.00	20.93	93.0	19.46
10/31/2017	KRONBACK, JEFFERY	JNL PERSPECTIVE L	110,724.35	92.27	0.00	92.27	93.0	85.81
10/31/2017	KRONBACK, DEBRA	JNL PERSPECTIVE L	29,685.97	24.74	0.00	24.74	93.0	23.01
10/31/2017	KRONBACK, DEBRA	JNL PERSPECTIVE L	32,662.67	27.22	0.00	27.22	93.0	25.31
10/31/2017	FITZSIMMONS, GARY	JNL PERSPECTIVE L	355,555.99	296.30	0.00	296.30	93.0	275.56
10/31/2017	REED, MARY	JNL PERSPECTIVE L	277,197.04	231.00	0.00	231.00	93.0	214.83
10/31/2017	FITZSIMMONS, KEVIN	JNL PERSPECTIVE L	138,558.91	115.47	0.00	115.47	93.0	107.39
10/31/2017	DIETRICH, SHARON	JNL PERSPECTIVE L	212,525.15	177.10	0.00	177.10	93.0	164.70
10/31/2017	KUEHLWEIN, RICHARD	JNL PERSPECTIVE L	55,632.19	46.36	0.00	46.36	93.0	43.11
10/31/2017	JERROW, ROBERT	JNL PERSPECTIVE L	338,619.50	282.18	0.00	282.18	93.0	262.43
10/31/2017	KASTER, JANICE	JNL PERSPECTIVE L	165,750.25	138.13	0.00	138.13	93.0	128.46
10/31/2017	FEAR, DUANE	JNL PERSPECTIVE L	77,697.16	64.75	0.00	64.75	93.0	60.22
10/31/2017	FEAR, LINDA	JNL PERSPECTIVE L	44,178.62	36.82	0.00	36.82	93.0	34.24
10/31/2017	ESLINGER, PATRICIA	JNL PERSPECTIVE L	24,585.56	20.49	0.00	20.49	93.0	19.06
10/31/2017	OLSON, MARK	JNL PERSPECTIVE L	358,081.67	298.40	0.00	298.40	93.0	277.51
10/31/2017	CHAPMAN, FRANCIS	JNL PERSPECTIVE L	317,581.64	264.65	0.00	264.65	93.0	246.12
10/31/2017	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	36,301.71	30.25	0.00	30.25	93.0	28.13

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/31/2017	ZIEGLER, DIANNE	JNL PERSPECTIVE L	15,432.24	12.86	0.00	12.86	93.0	11.96
10/31/2017	GRUBISH, MAHLON	JNL PERSPECTIVE L	387,492.25	322.91	0.00	322.91	93.0	300.31
10/31/2017	ROSS TRUST, MARY JO	JNL PERSPECTIVE L	1,008,541.76	840.45	0.00	840.45	93.0	781.62
10/31/2017	ANDERSON, DONALD	JNL PERSPECTIVE L	160,817.77	134.01	0.00	134.01	93.0	124.63
10/31/2017	ROY, JAMES	JNL PERSPECTIVE L	212,048.45	176.71	0.00	176.71	93.0	164.34
10/31/2017	OLSON, BRENDA	JNL PERSPECTIVE L	54,974.92	45.81	0.00	45.81	93.0	42.60
10/31/2017	OLSON, BRYCE	JNL PERSPECTIVE L	445,350.35	371.13	0.00	371.13	93.0	345.15
10/31/2017	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	266,150.27	221.79	0.00	221.79	93.0	206.26
10/31/2017	GOFF, WESLEY	JNL PERSPECTIVE L	33,651.87	28.04	0.00	28.04	93.0	26.08
10/31/2017	CONRAD, RONALD	JNL PERSPECTIVE L	133,992.03	111.66	0.00	111.66	93.0	103.84
10/31/2017	CONRAD, DELBERT L & ELL	JNL PERSPECTIVE L	463,884.25	386.57	0.00	386.57	93.0	359.51
10/31/2017	GRAFF, BRENT	JNL PERSPECTIVE L	61,606.08	51.34	0.00	51.34	93.0	47.75
10/31/2017	FOSTER, RODNEY	JNL PERSPECTIVE L	195,601.54	163.00	0.00	163.00	93.0	151.59
10/31/2017	SKELLY, SCOTT	JNL PERSPECTIVE L	243,626.27	203.02	0.00	203.02	93.0	188.81
10/31/2017	SKELLY, BRENDA	JNL PERSPECTIVE L	27,130.52	22.61	0.00	22.61	93.0	21.03
10/31/2017	KRAFT, JUDITH	JNL PERSPECTIVE L	164,340.24	136.95	0.00	136.95	93.0	127.36
10/31/2017	SEVERSON, STANLEY	JNL PERSPECTIVE L	288,408.50	240.34	0.00	240.34	93.0	223.52
10/31/2017	KRAFT, JUDITH	JNL PERSPECTIVE L	105,322.35	87.77	0.00	87.77	93.0	81.63
10/31/2017	GUNDERSON, HOLLY	JNL PERSPECTIVE L	40,220.77	33.52	0.00	33.52	93.0	31.17
10/31/2017	SEVERSON, JOYCE	JNL PERSPECTIVE L	120,813.97	100.68	0.00	100.68	93.0	93.63
10/31/2017	GUNDERSON, BRIAN	JNL PERSPECTIVE L	99,223.93	82.69	0.00	82.69	93.0	76.90
10/31/2017	SIEBERG, JOSEPH	JNL PERSPECTIVE L	71,963.63	59.97	0.00	59.97	93.0	55.77
10/31/2017	SIEBERG, DIANE	JNL PERSPECTIVE L	25,428.71	21.19	0.00	21.19	93.0	19.71
10/31/2017	CONRAD, DELBERT	JNL PERSPECTIVE L	113,058.22	94.22	0.00	94.22	93.0	87.62
10/31/2017	NELSON, BRENDA	JNL PERSPECTIVE L	246,801.39	205.67	0.00	205.67	93.0	191.27
10/31/2017	DORN, SANDRA	JNL PERSPECTIVE L	509,530.80	424.61	0.00	424.61	93.0	394.89
10/31/2017	HAGEN, LINDA	JNL PERSPECTIVE L	1,777,731.93	1,481.44	0.00	1,481.44	93.0	1,377.74
10/31/2017	HUGGINS, JEANNE	JNL PERSPECTIVE L	196,174.32	163.48	0.00	163.48	93.0	152.04
10/31/2017	THOMPSON, MAX	JNL PERSPECTIVE L	151,189.66	125.99	0.00	125.99	93.0	117.17
10/31/2017	THOMPSON, JO ANN	JNL PERSPECTIVE L	178,587.97	148.82	0.00	148.82	93.0	138.40
10/31/2017	THOMPSON, MAX	JNL PERSPECTIVE L	492,290.49	410.24	0.00	410.24	93.0	381.52
10/31/2017	HUGGINS, TONY	JNL PERSPECTIVE L	305,491.19	254.58	0.00	254.58	93.0	236.76
10/31/2017	HOWELL, NICOLE	JNL PERSPECTIVE L	206,438.68	172.03	0.00	172.03	93.0	159.99

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/31/2017	STIERLEN, ROGER	JNL PERSPECTIVE L	45,326.99	37.77	0.00	37.77	93.0	35.13
10/31/2017	WOLFF, LINDA	JNL PERSPECTIVE L	731,689.56	609.74	0.00	609.74	93.0	567.06
10/31/2017	OTTO, JANET	JNL PERSPECTIVE L	25,259.14	21.05	0.00	21.05	93.0	19.58
10/31/2017	OTTO, JAMES	JNL PERSPECTIVE L	606,511.09	505.43	0.00	505.43	93.0	470.05
10/31/2017	BUCK, DELORES	JNL PERSPECTIVE L	258,813.08	215.68	0.00	215.68	93.0	200.58
10/31/2017	BRIELMAIER, MICHELE	JNL PERSPECTIVE L	237,441.84	197.87	0.00	197.87	93.0	184.02
10/31/2017	KLEMENT, GARY	JNL PERSPECTIVE L	707,879.29	589.90	0.00	589.90	93.0	548.61
10/31/2017	KLEMENT, GARY	JNL PERSPECTIVE L	703,857.82	586.55	0.00	586.55	93.0	545.49
10/31/2017	CLOW, SANDRA	JNL PERSPECTIVE L	476,352.83	396.96	0.00	396.96	93.0	369.17
10/31/2017	FAMILY CHARITABLE REMAIND,	JNL PERSPECTIVE L	746,299.89	621.92	0.00	621.92	93.0	578.39
10/31/2017	DAWSON, DEANNA	JNL PERSPECTIVE L	153,909.54	128.26	0.00	128.26	93.0	119.28
10/31/2017	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	33,617.34	28.01	0.00	28.01	93.0	26.05
10/31/2017	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	65,137.99	54.28	0.00	54.28	93.0	50.48
10/31/2017	DAWSON, DEANNA	JNL PERSPECTIVE L	37,240.05	31.03	0.00	31.03	93.0	28.86
10/31/2017	GARTNER, MARIE	JNL PERSPECTIVE L	168,758.00	140.63	0.00	140.63	93.0	130.79
10/31/2017	GARTNER, LEONARD	JNL PERSPECTIVE L	1,159,078.72	965.90	0.00	965.90	93.0	898.29
10/31/2017	GARTNER, LEONARD	JNL PERSPECTIVE L	211,535.53	176.28	0.00	176.28	93.0	163.94
10/31/2017	FITTERER, RUTH	JNL PERSPECTIVE L	207,489.67	172.91	0.00	172.91	93.0	160.81
10/31/2017	MICHELS, DAVID	JNL PERSPECTIVE L	135,196.03	112.66	0.00	112.66	93.0	104.77
10/31/2017	MICHELS, BEVERLEY	JNL PERSPECTIVE L	319,890.66	266.58	0.00	266.58	93.0	247.92
10/31/2017	HANSON, JOY	JNL PERSPECTIVE L	208,777.57	173.98	0.00	173.98	93.0	161.80
10/31/2017	SCHOEN, RICHARD	JNL PERSPECTIVE L	543,108.73	452.59	0.00	452.59	93.0	420.91
10/31/2017	SCHOEN, RICHARD	JNL PERSPECTIVE L	607,283.96	506.07	0.00	506.07	93.0	470.65
10/31/2017	ROBINSON, MARILYN	JNL PERSPECTIVE L	138,396.71	115.33	0.00	115.33	93.0	107.26
10/31/2017	FOSTER, RICHARD	JNL PERSPECTIVE L	1,077,220.04	897.68	0.00	897.68	93.0	834.84
10/31/2017	STAFFEN, ROBERT	JNL PERSPECTIVE L	117,059.19	97.55	0.00	97.55	93.0	90.72
10/31/2017	TURNER, MYRNA	JNL PERSPECTIVE L	180,726.50	150.61	0.00	150.61	93.0	140.07
10/31/2017	TURNER, WENDELL &	JNL PERSPECTIVE L	165,977.74	138.31	0.00	138.31	93.0	128.63
10/31/2017	BENZ, FORREST	JNL PERSPECTIVE L	30,618.97	25.52	0.00	25.52	93.0	23.73
10/31/2017	BENZ, FORREST	JNL PERSPECTIVE L	265,839.57	221.53	0.00	221.53	93.0	206.02
10/31/2017	WEIBLE, DEAN	JNL PERSPECTIVE L	48,815.05	40.68	0.00	40.68	93.0	37.83
10/31/2017	SOUKUP, LAVERN	JNL PERSPECTIVE L	256,587.47	213.82	0.00	213.82	93.0	198.85
10/31/2017	JOHNSON, HERMIT	JNL PERSPECTIVE L	120,809.90	100.67	0.00	100.67	93.0	93.62

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/31/2017	BOUDREAU, WILLIAM	JNL PERSPECTIVE L	410,221.19	341.85	0.00	341.85	93.0	317.92
10/31/2017	SHEARER, KAREN	JNL PERSPECTIVE L	120,972.78	100.81	0.00	100.81	93.0	93.75
10/31/2017	SHEARER, DONALD	JNL PERSPECTIVE L	327,065.38	272.55	0.00	272.55	93.0	253.47
10/31/2017	JOHNSON, JESSE	JNL PERSPECTIVE L	113,045.28	94.20	0.00	94.20	93.0	87.61
10/31/2017	JOHNSON, HERMIT	JNL PERSPECTIVE L	8,038.08	6.70	0.00	6.70	93.0	6.23
10/31/2017	JOHNSON, KAREN	JNL PERSPECTIVE L	8,034.90	6.70	0.00	6.70	93.0	6.23
10/31/2017	SNYDER, CYNTHIA	JNL PERSPECTIVE L	29,187.59	24.32	0.00	24.32	93.0	22.62
10/31/2017	STEVENS, SANDRA	JNL PERSPECTIVE L	87,726.89	73.11	0.00	73.11	93.0	67.99
10/31/2017	STEVENS, SANDRA	JNL PERSPECTIVE L	24,245.37	20.20	0.00	20.20	93.0	18.79
10/31/2017	STEVENS, GREGORY	JNL PERSPECTIVE L	82,443.93	68.70	0.00	68.70	93.0	63.89
10/31/2017	LOE, TIMOTHY	JNL PERSPECTIVE L	119,249.45	64.59	0.00	64.59	93.0	60.07
10/31/2017	WOLFF, LINDA	JNL PERSPECTIVE L	124,755.44	67.58	0.00	67.58	93.0	62.85
10/31/2017	WOLFF, LINDA	JNL PERSPECTIVE L	136,177.67	113.48	0.00	113.48	93.0	105.54
10/31/2017	LABRIER, JOHN	JNL PERSPECTIVE L	75,298.25	62.75	0.00	62.75	93.0	58.36
10/31/2017	LABRIER, PAULA	JNL PERSPECTIVE L	15,632.44	13.03	0.00	13.03	93.0	12.12
10/31/2017	WETZELL, PAMELA	JNL PERSPECTIVE L	87,814.61	73.18	0.00	73.18	93.0	68.06
10/31/2017	JOHNSON, SHERI	JNL PERSPECTIVE L	158,766.20	132.31	0.00	132.31	93.0	123.05
10/31/2017	REITER, KAREN	JNL PERSPECTIVE L	11,332.87	6.14	0.00	6.14	93.0	5.71
10/31/2017	MULFORD, PATRICIA	JOHN HANCOCK VANTAGE	260,265.37	216.89	0.00	216.89	93.0	201.71
10/31/2017	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	40,697.92	33.91	0.00	33.91	93.0	31.54
10/31/2017	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	34,666.83	28.89	0.00	28.89	93.0	26.87
10/31/2017	BOESCH, RODNEY	JOHN HANCOCK VENTURE	153,253.63	31.93	0.00	31.93	93.0	29.69
* VARIABLE ANNUITIES SUBTOTAL *			\$51,857,171.70	\$42,964.04	\$0.00	\$42,964.04		\$39,956.59

PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE

10/30/2017	BOHLENDER, RODNEY	LINCOLN LIFE	80,844.00	29,031.72	0.00	29,031.72	93.0	26,999.50
11/01/2017	FOELS, BRUCE	TRANSAMERICA LIFE	0.00	1.04	0.00	1.04	93.0	0.97
11/02/2017	KIRCHNER, PHILLIP	TRANSAMERICA LIFE	0.00	28.94	0.00	28.94	93.0	26.91
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$80,844.00	\$29,061.70	\$0.00	\$29,061.70		\$27,027.38

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/19/2017	FITZSIMMONS, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	0.85	0.00	0.85	93.0	0.79
10/19/2017	TURPEN, ERIC	AM FUNDS AMERICAN MUTUAL A	0.00	0.24	0.00	0.24	93.0	0.22
10/19/2017	TURPEN, RACHEL	AM FUNDS AMERICAN MUTUAL A	0.00	0.65	0.00	0.65	93.0	0.60

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/19/2017	FITZSIMMONS, CHRISTINE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.67	0.00	0.67	93.0	0.62
10/19/2017	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.12	0.00	0.12	93.0	0.11
10/19/2017	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.79	0.00	0.79	93.0	0.73
10/19/2017	FITZSIMMONS, CHRISTINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.00	0.00	1.00	93.0	0.93
10/19/2017	LABRIER, PAULA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.68	0.00	1.68	93.0	1.56
10/19/2017	TURPEN, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.11	0.00	0.11	93.0	0.10
10/19/2017	TURPEN, RACHEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.69	0.00	0.69	93.0	0.64
10/19/2017	LOWE, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.53	0.00	1.53	93.0	1.42
10/19/2017	FITZSIMMONS, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.89	0.00	0.89	93.0	0.83
10/19/2017	FITZSIMMONS, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.54	0.00	0.54	93.0	0.50
10/19/2017	LOWE, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.45	0.00	1.45	93.0	1.35
10/19/2017	TURPEN, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.67	0.00	0.67	93.0	0.62
10/19/2017	TURPEN, RACHEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.75	0.00	1.75	93.0	1.63
10/19/2017	TURPEN, ERIC	AM FUNDS NEW ECONOMY A	0.00	0.13	0.00	0.13	93.0	0.12
10/19/2017	TURPEN, RACHEL	AM FUNDS NEW ECONOMY A	0.00	0.35	0.00	0.35	93.0	0.33
10/19/2017	FITZSIMMONS, CHRISTINE	AM FUNDS NEW WORLD A	0.00	0.33	0.00	0.33	93.0	0.31
10/19/2017	TURPEN, ERIC	AM FUNDS NEW WORLD A	0.00	0.11	0.00	0.11	93.0	0.10
10/19/2017	TURPEN, RACHEL	AM FUNDS NEW WORLD A	0.00	0.67	0.00	0.67	93.0	0.62
10/19/2017	TURPEN, ERIC	AM FUNDS SMALLCAP WORLD A	0.00	0.08	0.00	0.08	93.0	0.07
10/19/2017	TURPEN, RACHEL	AM FUNDS SMALLCAP WORLD A	0.00	0.49	0.00	0.49	93.0	0.46
10/19/2017	TURPEN, ERIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.51	0.00	0.51	93.0	0.47
10/19/2017	TURPEN, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.33	0.00	1.33	93.0	1.24
10/30/2017	MICHALETZ TRUST, GERALD & FAYE	AMERICA FIRST INCOME TRENDS FUND CLA	0.00	11.33	0.00	11.33	93.0	10.54
10/13/2017	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.05	0.00	4.05	93.0	3.77
10/13/2017	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.14	0.00	12.14	93.0	11.29
10/13/2017	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	20.66	0.00	20.66	93.0	19.21
10/13/2017	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	61.97	0.00	61.97	93.0	57.63
10/13/2017	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	41.05	0.00	41.05	93.0	38.18
10/13/2017	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	123.16	0.00	123.16	93.0	114.54
10/13/2017	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.08	0.00	2.08	93.0	1.93
10/13/2017	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.23	0.00	6.23	93.0	5.79
10/13/2017	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	30.97	0.00	30.97	93.0	28.80
10/13/2017	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	92.92	0.00	92.92	93.0	86.42

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/16/2017	REILLY, KAREN	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	21.17	0.00	21.17	93.0	19.69
10/16/2017	VAN SISTINE, JEANNE	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	18.29	0.00	18.29	93.0	17.01
10/16/2017	REINKE, RONALD	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	2.73	0.00	2.73	93.0	2.54
10/16/2017	REILLY, KAREN	EATON VANCE TAX MANAGED VALUE FD CL	0.00	8.44	0.00	8.44	93.0	7.85
10/16/2017	VAN SISTINE, JEANNE	EATON VANCE TAX MANAGED VALUE FD CL	0.00	16.00	0.00	16.00	93.0	14.88
10/30/2017	REILLY, KAREN	INVESCO MIDCAP GROWTH A	0.00	24.66	0.00	24.66	93.0	22.93
10/30/2017	VAN SISTINE, JEANNE	INVESCO MIDCAP GROWTH A	0.00	23.59	0.00	23.59	93.0	21.94
10/30/2017	PLOTZ, ROSANN	INVESCO MIDCAP GROWTH A	0.00	1.77	0.00	1.77	93.0	1.65
10/30/2017	REINKE, RONALD	INVESCO MIDCAP GROWTH A	0.00	10.14	0.00	10.14	93.0	9.43
10/13/2017	TURNER, WANDA	JH HIGH YIELD A	0.00	1.37	0.00	1.37	93.0	1.27
10/13/2017	REINKE, RONALD	JH LARGE CAP EQUITY A	0.00	3.85	0.00	3.85	93.0	3.58
10/13/2017	REILLY, KAREN	JH NEW OPPORTUNITIES A	0.00	8.80	0.00	8.80	93.0	8.18
10/13/2017	VAN SISTINE, JEANNE	JH NEW OPPORTUNITIES A	0.00	8.20	0.00	8.20	93.0	7.63
11/11/2017	BOUDREAU, WILLIAM	JOHN HANCOCK FREEDOM 529	0.00	13.33	0.00	13.33	93.0	12.40
10/26/2017	PLOTZ, ROSANN	JP MORGAN GROWTH AND INCOME FUND CL	0.00	0.45	0.00	0.45	93.0	0.42
10/26/2017	REILLY, KAREN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	7.69	0.00	7.69	93.0	7.15
10/26/2017	POLTZ, ALAN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	0.72	0.00	0.72	93.0	0.67
10/26/2017	REINKE, RONALD	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	3.98	0.00	3.98	93.0	3.70
10/16/2017	SCHLOMANN, DAVID	MFS GROWTH ALLOCATION C	0.00	13.05	0.00	13.05	93.0	12.14
10/16/2017	SCHLOMANN, DAVID	MFS MODERATE ALLOCATION C	0.00	4.59	0.00	4.59	93.0	4.27
10/16/2017	SCHLOMANN, ANN	MFS MODERATE ALLOCATION C	0.00	7.96	0.00	7.96	93.0	7.40
10/16/2017	POLTZ, ALAN	MFS RESEARCH A	0.00	0.48	0.00	0.48	93.0	0.45
10/18/2017	REINKE, RONALD	PUTNAM HIGH YIELD ADVANTAGE A	0.00	3.51	0.00	3.51	93.0	3.26
10/13/2017	OTTO, CHRISTOPHER	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.66	0.00	0.66	93.0	0.61
10/13/2017	REILLY, KAREN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.17	0.00	4.17	93.0	3.88
10/16/2017	SWANSON, GLENN	TRANSAMERICA AA CONSERVATIVE C	0.00	25.42	0.00	25.42	93.0	23.64
10/16/2017	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH A	0.00	2.31	0.00	2.31	93.0	2.15
10/16/2017	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	0.00	1.25	0.00	1.25	93.0	1.16
10/16/2017	BOUDREAU, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	11.92	0.00	11.92	93.0	11.09
10/16/2017	BOUDREAU, DIANE	TRANSAMERICA AA GROWTH C	0.00	24.33	0.00	24.33	93.0	22.63
10/16/2017	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	3.20	0.00	3.20	93.0	2.98
10/16/2017	GRUBISH, JAMES	TRANSAMERICA AA GROWTH C	0.00	30.05	0.00	30.05	93.0	27.95
10/16/2017	LILLEVOLD, KATHRYN	TRANSAMERICA AA GROWTH C	0.00	14.88	0.00	14.88	93.0	13.84

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/16/2017	FITTERER TRUST, RUTH	TRANSAMERICA AA GROWTH C	0.00	71.12	0.00	71.12	93.0	66.14
10/16/2017	VETTER, BRIAN	TRANSAMERICA AA MOD GROWTH C	0.00	4.86	0.00	4.86	93.0	4.52
10/16/2017	SWANSON, GLENN	TRANSAMERICA AA MOD GROWTH C	0.00	32.69	0.00	32.69	93.0	30.40
10/16/2017	FITTERER TRUST, RUTH	TRANSAMERICA AA MOD GROWTH C	0.00	65.01	0.00	65.01	93.0	60.46
10/16/2017	VETTER, BRIAN	TRANSAMERICA AA MODERATE C	0.00	1.95	0.00	1.95	93.0	1.81
10/16/2017	OTTO, CHRISTOPHER	TRANSAMERICA CAPITAL GROWTH A	0.00	0.72	0.00	0.72	93.0	0.67
10/16/2017	OTTO, CHRISTOPHER	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.73	0.00	0.73	93.0	0.68
10/16/2017	OTTO, CHRISTOPHER	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	0.90	0.00	0.90	93.0	0.84
10/16/2017	OTTO, CHRISTOPHER	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	1.18	0.00	1.18	93.0	1.10
10/19/2017	FITZSIMMONS, KEVIN	WILLISTON BASIN/MID- NORTH AMERICA	0.00	2.50	0.00	2.50	93.0	2.33
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$928.81	\$0.00	\$928.81		\$863.79
*** DIRECT TRANSACTIONS TOTAL ***			\$55,506,881.22	\$278,113.97	\$0.00	\$278,113.97		\$258,646.02

SPLIT TRANSACTIONS**BROKER: 46G-JOE/JACK MICHALETZ #290**

10/13/2017	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	8.25	0.00	8.25	93.0	7.67
10/13/2017	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	24.74	0.00	24.74	93.0	23.01
11/06/2017	REINHART, RANDALL	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
10/24/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	1.32	0.00	1.32	93.0	1.23
10/24/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	1.06	0.00	1.06	93.0	0.99
10/24/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	1.06	0.00	1.06	93.0	0.99
10/24/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.79	0.00	0.79	93.0	0.73
* 46G-JOE/JACK MICHALETZ SUBTOTAL *			\$50,422.40	\$3,537.22	\$0.00	\$3,537.22		\$3,289.62
*** SPLIT TRANSACTIONS TOTAL ***			\$50,422.40	\$3,537.22	\$0.00	\$3,537.22		\$3,289.62

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK MICHALETZ #287 </u>								
10/19/2017	PEMBLE, JOCELYN	AM FUNDS AMCAP 529A	0.00	0.34	0.00	0.34	0.0	0.32
10/19/2017	PEMBLE, JOCELYN	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.25	0.00	0.25	0.0	0.23
10/13/2017	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	14.61	0.00	14.61	0.0	13.59
10/13/2017	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	43.84	0.00	43.84	0.0	40.77
10/10/2017	GOFF, WESLEY	COTTONWOOD MULTIFAMILY REIT II	30,000.00	1,800.00	0.00	1,800.00	0.0	1,674.00
10/16/2017	ATKINSON, VONDA	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	4.74	0.00	4.74	0.0	4.41
11/06/2017	BAARTS, HAROLD	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	0.0	3,255.00
10/30/2017	ATKINSON, VONDA	INVESCO INTERNATIONAL GROWTH A	0.00	40.53	0.00	40.53	0.0	37.69
10/30/2017	ATKINSON, VONDA	INVESCO MIDCAP GROWTH A	0.00	17.63	0.00	17.63	0.0	16.40
10/13/2017	GROMMERSCH, STACI	JH CLASSIC VALUE A	0.00	0.05	0.00	0.05	0.0	0.05
10/13/2017	GROMMERSCH, STACI	JH LARGE CAP EQUITY A	0.00	0.06	0.00	0.06	0.0	0.06
10/13/2017	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.05	0.00	0.05	0.0	0.05
10/13/2017	STEFFL, CHRISTINE	JH STRATEGIC GROWTH A	0.00	0.27	0.00	0.27	0.0	0.25
10/13/2017	STEFFL, JOSEPH	JH STRATEGIC GROWTH A	0.00	0.39	0.00	0.39	0.0	0.36
10/13/2017	ATKINSON, VONDA	JH STRATEGIC GROWTH A	0.00	2.62	0.00	2.62	0.0	2.44
10/27/2017	JEWISON, KIM	JNL PERSPECTIVE II 04/14	65.16	1.30	0.00	1.30	0.0	1.21
10/31/2017	FIRST CONGREGATIONAL	JNL PERSPECTIVE II 04/14	175,529.66	36.56	0.00	36.56	0.0	34.00
10/31/2017	STEFFL, JAMES	JNL PERSPECTIVE II 04/14	159,671.84	33.26	0.00	33.26	0.0	30.93
10/31/2017	ZOARS UNITED CHURCH OF CH	JNL PERSPECTIVE II 04/14	35,010.99	7.29	0.00	7.29	0.0	6.78
10/31/2017	COLBY, TODD	JNL PERSPECTIVE II 04/14	203,342.21	42.36	0.00	42.36	0.0	39.39
10/31/2017	OTTO, CURTIS	JNL PERSPECTIVE II 05/05	8,389.33	6.99	0.00	6.99	0.0	6.50
10/31/2017	PETERSON, IONE	JNL PERSPECTIVE L	130,402.32	141.26	0.00	141.26	0.0	131.37
10/31/2017	PANKRATZ, SUSAN	JNL PERSPECTIVE L	117,167.29	97.64	0.00	97.64	0.0	90.81
10/31/2017	PANKRATZ, EDWARD	JNL PERSPECTIVE L	210,348.46	175.29	0.00	175.29	0.0	163.02
10/31/2017	DAHLKE, RONALD	JNL PERSPECTIVE L	9,244.85	7.70	0.00	7.70	0.0	7.16
10/31/2017	HOL, PATRICIA	JNL PERSPECTIVE L	128,938.79	107.44	0.00	107.44	0.0	99.92
10/31/2017	HAGER, REBECCA	JNL PERSPECTIVE L	64,390.10	53.66	0.00	53.66	0.0	49.90
10/31/2017	MARSH, JAMES	JNL PERSPECTIVE L	39,302.18	32.75	0.00	32.75	0.0	30.46
10/31/2017	FEAR, WILLIAM	JNL PERSPECTIVE L	139,686.60	116.41	0.00	116.41	0.0	108.26
10/31/2017	PEMBLE, MICHELLE	JNL PERSPECTIVE L	304,239.44	253.53	0.00	253.53	0.0	235.78
10/31/2017	HART, JILLANE	JNL PERSPECTIVE L	98,456.29	82.04	0.00	82.04	0.0	76.30
10/31/2017	HART, KEVIN	JNL PERSPECTIVE L	291,056.97	242.54	0.00	242.54	0.0	225.56
10/31/2017	STEFFL, CHRISTINE	JNL PERSPECTIVE L	24,989.85	20.82	0.00	20.82	0.0	19.36

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
10/31/2017	BEVAN, HELEN	JNL PERSPECTIVE L	38,834.76	32.36	0.00	32.36	0.0	30.09
10/13/2017	GROMMERSCH, STACI	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	0.04	0.00	0.04	0.0	0.04
10/23/2017	PROKOP, MATTHEW	LINCOLN LIFE	1,200.00	45.00	0.00	45.00	0.0	41.85
11/05/2017	LORENTZ, ANDREW	METLIFE LIFE INS	0.00	4.00	0.00	4.00	0.0	3.72
10/16/2017	PAHL, ADAM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	11.47	0.00	11.47	0.0	10.67
10/16/2017	SWARD, KIM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	36.06	0.00	36.06	0.0	33.54
10/16/2017	SORUM, JEFFREY	MFS GROWTH ALLOCATION C	0.00	4.29	0.00	4.29	0.0	3.99
10/16/2017	WHITE, STACEY	MFS GROWTH ALLOCATION C	0.00	11.48	0.00	11.48	0.0	10.68
10/19/2017	SORENSEN, JOSHUA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.38	0.00	0.38	0.0	0.35
11/02/2017	HAGER, PETER	POWERSHARES QQQ TR UNIT SER 1	5,004.33	50.00	22.50	27.50	0.0	25.58
10/18/2017	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	0.00	2.51	0.00	2.51	0.0	2.33
10/18/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	0.00	2.25	0.00	2.25	0.0	2.09
10/18/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	0.00	5.39	0.00	5.39	0.0	5.01
10/18/2017	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	0.00	3.55	0.00	3.55	0.0	3.30
11/06/2017	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	12.00	-9.72	0.0	-9.04
10/18/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	0.00	2.19	0.00	2.19	0.0	2.04
10/18/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	0.00	5.44	0.00	5.44	0.0	5.06
10/18/2017	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	0.00	3.56	0.00	3.56	0.0	3.31
11/06/2017	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	12.00	-9.73	0.0	-9.05
10/18/2017	ATKINSON, VONDA	PUTNAM INTERNATIONAL GROWTH-A	0.00	9.65	0.00	9.65	0.0	8.97
10/18/2017	SCHULLER, ALEC	PUTNAM MULTI CAP GROWTH A	0.00	0.83	0.00	0.83	0.0	0.77
10/13/2017	ATKINSON, VONDA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	22.74	0.00	22.74	0.0	21.15
10/16/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	0.00	2.77	0.00	2.77	0.0	2.58
10/16/2017	GILBERTO, SARAH	TRANSAMERICA AA GROWTH A	0.00	3.75	0.00	3.75	0.0	3.49
10/16/2017	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH A	0.00	2.31	0.00	2.31	0.0	2.15
10/16/2017	LORENTZ, ROBERT	TRANSAMERICA AA GROWTH A	0.00	4.90	0.00	4.90	0.0	4.56
11/03/2017	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	0.0	4.42
10/16/2017	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	0.00	3.79	0.00	3.79	0.0	3.52
10/16/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	18.03	0.00	18.03	0.0	16.77
10/16/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	14.97	0.00	14.97	0.0	13.92
10/31/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
10/31/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
10/31/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
10/31/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
10/31/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	94.68	0.95	0.00	0.95	0.0	0.88
10/31/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	94.68	0.95	0.00	0.95	0.0	0.88
10/31/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	50.65	0.51	0.00	0.51	0.0	0.47
10/31/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	50.65	0.51	0.00	0.51	0.0	0.47
11/02/2017	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.90	0.00	2.90	0.0	2.70
11/02/2017	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	5.96	0.00	5.96	0.0	5.54
11/02/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	0.00	25.55	0.00	25.55	0.0	23.76
11/02/2017	IRELAND, DANIEL	TRANSAMERICA AA GROWTH C	0.00	22.23	0.00	22.23	0.0	20.67
11/02/2017	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	39.93	0.00	39.93	0.0	37.13
11/02/2017	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	39.93	0.00	39.93	0.0	37.13
11/02/2017	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.77	0.00	5.77	0.0	5.37
11/02/2017	FITTERER, PAMELA	TRANSAMERICA AA GROWTH C	0.00	17.72	0.00	17.72	0.0	16.48
11/02/2017	DORN, SANDRA	TRANSAMERICA AA GROWTH C	0.00	6.01	0.00	6.01	0.0	5.59
11/02/2017	FITTERER, EMILY	TRANSAMERICA AA GROWTH C	0.00	1.85	0.00	1.85	0.0	1.72
11/02/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	17.04	0.00	17.04	0.0	15.85
11/02/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	52.35	0.00	52.35	0.0	48.69
11/02/2017	FITTERER, SAMUEL	TRANSAMERICA AA GROWTH C	0.00	1.60	0.00	1.60	0.0	1.49
11/02/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	45.21	0.00	45.21	0.0	42.05
11/02/2017	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	7.17	0.00	7.17	0.0	6.67
11/02/2017	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	8.19	0.00	8.19	0.0	7.62
11/02/2017	MCRAE, HEATHER	TRANSAMERICA AA GROWTH C	0.00	1.79	0.00	1.79	0.0	1.66
11/02/2017	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.72	0.00	2.72	0.0	2.53
11/02/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	0.00	3.79	0.00	3.79	0.0	3.52
11/02/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	18.95	0.00	18.95	0.0	17.62
11/02/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	0.00	18.22	0.00	18.22	0.0	16.94
11/02/2017	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	11.96	0.00	11.96	0.0	11.12
11/02/2017	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.24	0.00	2.24	0.0	2.08
11/02/2017	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.27	0.00	2.27	0.0	2.11
11/02/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.47	0.00	1.47	0.0	1.37
11/02/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.76	0.00	3.76	0.0	3.50
11/02/2017	MICHALETZ, ADISYN	TRANSAMERICA AA GROWTH C	0.00	1.17	0.00	1.17	0.0	1.09
11/02/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.14	0.00	1.14	0.0	1.06

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK MICHALETZ #287 </u>								
11/02/2017	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.93	0.00	5.93	0.0	5.51
11/02/2017	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	21.71	0.00	21.71	0.0	20.19
11/02/2017	ROY TOD, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	9.83	0.00	9.83	0.0	9.14
11/02/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	5.22	0.00	5.22	0.0	4.85
11/02/2017	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	3.98	0.00	3.98	0.0	3.70
11/02/2017	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	8.33	0.00	8.33	0.0	7.75
11/02/2017	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	2.19	0.00	2.19	0.0	2.04
11/02/2017	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.74	0.00	8.74	0.0	8.13
11/02/2017	SIEBERG, KYLE	TRANSAMERICA AA GROWTH C	0.00	3.40	0.00	3.40	0.0	3.16
11/02/2017	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	13.69	0.00	13.69	0.0	12.73
11/02/2017	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	16.05	0.00	16.05	0.0	14.93
11/02/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	0.00	0.65	0.00	0.65	0.0	0.60
11/02/2017	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.91	0.00	4.91	0.0	4.57
11/02/2017	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.92	0.00	1.92	0.0	1.79
11/02/2017	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.89	0.00	2.89	0.0	2.69
11/02/2017	BOESCH, SKYE	TRANSAMERICA AA GROWTH C	0.00	1.85	0.00	1.85	0.0	1.72
11/02/2017	FLYGARE, MATTHEW	TRANSAMERICA AA GROWTH C	0.00	3.13	0.00	3.13	0.0	2.91
11/02/2017	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.97	0.00	0.97	0.0	0.90
11/02/2017	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.46	0.00	4.46	0.0	4.15
11/02/2017	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH C	0.00	9.89	0.00	9.89	0.0	9.20
11/02/2017	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH C	0.00	16.76	0.00	16.76	0.0	15.59
11/03/2017	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	0.0	2.33
11/03/2017	ROSE, ERIC	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	0.0	2.33
11/03/2017	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.47
11/03/2017	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.47
11/06/2017	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	12.00	-9.00	0.0	-8.37
10/16/2017	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	0.00	30.87	0.00	30.87	0.0	28.71
10/23/2017	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	1,000.00	10.00	0.00	10.00	0.0	9.30
11/02/2017	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	0.00	6.78	0.00	6.78	0.0	6.31
11/02/2017	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.40	0.00	3.40	0.0	3.16
11/02/2017	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	5.23	0.00	5.23	0.0	4.86
11/02/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	47.95	0.00	47.95	0.0	44.59
11/02/2017	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	0.00	4.28	0.00	4.28	0.0	3.98

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
11/02/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	7.06	0.00	7.06	0.0	6.57
11/02/2017	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.34	0.00	1.34	0.0	1.25
11/02/2017	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.43	0.00	2.43	0.0	2.26
11/02/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	10.30	0.00	10.30	0.0	9.58
11/02/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	6.83	0.00	6.83	0.0	6.35
11/02/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	3.08	0.00	3.08	0.0	2.86
10/16/2017	STEFFL, CHRISTINE	TRANSAMERICA CAPITAL GROWTH A	0.00	0.63	0.00	0.63	0.0	0.59
10/16/2017	STEFFL, JOSEPH	TRANSAMERICA CAPITAL GROWTH A	0.00	0.59	0.00	0.59	0.0	0.55
10/16/2017	STEFFL, CHRISTINE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.42	0.00	0.42	0.0	0.39
10/16/2017	STEFFL, JOSEPH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.37	0.00	0.37	0.0	0.34
10/16/2017	SCHULLER, CORY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.38	0.00	1.38	0.0	1.28
10/25/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.33
10/25/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.33
* JACK MICHALETZ SUBTOTAL *			\$2,268,279.60	\$7,874.12	\$58.50	\$7,815.62		\$7,268.54
<u>BROKER: JANE MICHALETZ #347</u>								
10/31/2017	BURNHAM, MOLLY	JNL PERSPECTIVE II 05/05	75,561.54	31.48	0.00	31.48	0.0	29.28
* JANE MICHALETZ SUBTOTAL *			\$75,561.54	\$31.48	\$0.00	\$31.48		\$29.28
*** OVERRIDE TRANSACTIONS TOTAL ***			\$2,343,841.14	\$7,905.60	\$58.50	\$7,847.10		\$7,297.82
<u> ADJUSTMENTS </u>								
11/15/2017		*AI INSIGHT: AI INSIGHT - JACK	0.00					-7.00
11/15/2017		*AI INSIGHT: CURRENT MONTH - JANE	0.00					-7.00
11/15/2017		*AI INSIGHT: CURRENT MONTH - JOE	0.00					-7.00
11/15/2017		*ALBRIDGE: LIGHT USER - JACK	0.00					-76.00
11/15/2017		*ALBRIDGE: LIGHT USER - JANE	0.00					-76.00
11/15/2017		*ALBRIDGE: LIGHT USER - JOE	0.00					-76.00
11/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					2,362.55
11/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*EO INS: CURRENT MONTH INS-JACK	0.00					-300.00
11/15/2017		*EO INS: CURRENT MONTH INS-JANE	0.00					-300.00
11/15/2017		*GLOBAL RELAY: WEBSITE - JOE	0.00					-15.00

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<u>ADJUSTMENTS</u>								
11/15/2017		*LASER APP: CURRENT MONTH - JANE	0.00					-18.00
11/15/2017		*LASER APP: LASER APP - JACK	0.00					-18.00
11/15/2017		*LASER APP: LASER APP - JOE	0.00					-18.00
11/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-1.50
11/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-3.00
11/15/2017		*PERSHING: ETF PROSPECTUS	0.00					-1.35
11/15/2017		*PERSHING: MF PROSPECTUS	0.00					-8.70
11/15/2017		*TECHNOLOGY: ADMIN - BRIDGET	0.00					-130.00
11/15/2017		*TECHNOLOGY: ADMIN - CHRISTINE	0.00					-135.00
11/15/2017		*TECHNOLOGY: ADMIN - KIMBERLY	0.00					-130.00
11/15/2017		*TECHNOLOGY: ADMIN - NICHOLE	0.00					-135.00
11/15/2017		*TECHNOLOGY: BASIC - JANE	0.00					-180.00
11/15/2017		*TECHNOLOGY: BASIC PACKAGE - JACK	0.00					-180.00
11/15/2017		*TECHNOLOGY: BASIC PACKAGE - JOE	0.00					-180.00
11/15/2017		*TECHNOLOGY: LIMITED ADMIN - KENNET	0.00					-60.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$258,636.72
Split Transactions	\$3,289.62
Override Transactions	\$7,297.82
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$269,224.16
Year-to-date Gross	\$5,663,568.13
Year-to-date Earnings	\$5,457,097.66