



COMMISSION STATEMENT

June 11, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/29/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063412117	BUY 3 Units @34.1700						
06/01/2018	***-**-539I - JALLOH, ABDULAI	AMCAP FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00084394270	BUY 2 Units @30.1000						
05/29/2018	***-**-395P - DIVASTA, ANTHONY	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00059597416	BUY 2 Units @28.2900						
05/31/2018	***-**-965P - MCGUIRE, SCOTT	AMERICAN BALANCED FUND-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 1 Units @27.1100						
05/31/2018	***-**-4263 - HARTER, IAN	AMERICAN BALANCED FUND-A	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089461113	BUY 0 Units @27.1100						
06/01/2018	***-**-965P - MCGUIRE, SCOTT	AMERICAN BALANCED FUND-A	28.50	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00073798048	BUY 1 Units @27.2400						
06/01/2018	***-**-4263 - HARTER, IAN	AMERICAN BALANCED FUND-A	18.91	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 00089461113	BUY 1 Units @27.2400						
06/01/2018	***-**-975P - COTTONE, MARIA	AMERICAN BALANCED FUND-A	250.00	9.38	0.00	9.38	80.0	7.50
	ACCOUNT #: 00086785798	BUY 9 Units @28.5200						
05/29/2018	***-**-312S - DISCH, JASMINE	AMERICAN BALANCED FUND-C	20.11	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089583477	BUY 1 Units @26.8200						
05/29/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00089051066	BUY 2 Units @26.8200						
05/29/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	14.00	0.14	0.00	0.14	80.0	0.11
	ACCOUNT #: 00089051066	BUY 1 Units @26.8200						
05/29/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089045192	BUY 1 Units @26.8200						
05/29/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087435959	BUY 2 Units @26.8200						
05/29/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	16.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00085904210	BUY 1 Units @26.8200						
05/29/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	3.93	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @26.8200						
05/29/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	1.21	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904181	BUY 0 Units @26.8200						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/29/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	6.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00085904181	BUY 0 Units @26.8200						
05/29/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 1 Units @26.8200						
05/29/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @26.8200						
05/29/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00089910177	BUY 4 Units @26.8200						
06/01/2018	***-**-984I - DELUCA, SHARI	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00064868315	BUY 2 Units @27.0300						
06/01/2018	***-**-255U - LIPPA, HARITINI	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000385806	BUY 2 Units @27.0300						
05/29/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	42.15	0.42	0.00	0.42	80.0	0.34
	ACCOUNT #: 04000820464	BUY 2 Units @18.8000						
05/29/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	7.03	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 04000820464	BUY 0 Units @18.8000						
05/29/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN GLOBAL BALANCED CL C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00088037501	BUY 3 Units @32.0500						
05/29/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN HIGH INCOME MUNI BOND CLAS	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087435959	BUY 3 Units @15.8000						
05/29/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	4.55	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00087236607	BUY 0 Units @10.1000						
05/29/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN HIGH-INCOME TRUST-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087435959	BUY 5 Units @10.1000						
05/29/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	105.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 00087236607	BUY 10 Units @10.1000						
06/04/2018	***-**-05IO - KIMBLE, LONNY	AMERICAN HIGH-INCOME TRUST-C	463.41	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR011472	SELL 46 Units @10.1400						
05/31/2018	***-**-255P - EVANS, JAMES	AMERICAN MUTUAL FUND-A	730.90	7.31	0.00	7.31	80.0	5.85
	ACCOUNT #: 00066759711	BUY 18 Units @40.5200						
05/31/2018	***-**-275P - LAMANNA, DANIEL	AMERICAN MUTUAL FUND-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758347	BUY 1 Units @40.5200						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/01/2018	***-**-255P - EVANS, JAMES	AMERICAN MUTUAL FUND-A	36.47	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00066759711	BUY 1 Units @40.7900						
06/01/2018	***-**-275P - LAMANNA, DANIEL	AMERICAN MUTUAL FUND-A	41.74	0.42	0.00	0.42	80.0	0.34
	ACCOUNT #: 00066758347	BUY 1 Units @40.7900						
05/29/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00085904181	BUY 0 Units @39.7200						
05/29/2018	***-**-482I - MARCONI, TODD	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @39.7200						
05/29/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	1.01	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904181	BUY 0 Units @39.7200						
06/05/2018	***-**-44IR - ROBERTSON,	AMERICAN MUTUAL FUND-C	147.00	1.47	3.00	-1.53	100.0	-1.53
	ACCOUNT #: LSR010558	BUY 4 Units @40.2400						
05/16/2018	***-**-729I - GIAMBRONE, KELLY	CAPITAL APPRECIATION C	61.61	0.62	0.00	0.62	80.0	0.50
	ACCOUNT #: 03222325444	BUY 0 Units @0.0000						
05/21/2018	***-**-772I - KERHAERT,	CAPITAL APPRECIATION C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 03222178044	BUY 0 Units @0.0000						
05/21/2018	***-**-9206 - SANDER, DAVID	CAPITAL APPRECIATION C	80.00	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 03222178055	BUY 0 Units @0.0000						
05/25/2018	***-**-7330 - JOHNSON, DONALD	CAPITAL APPRECIATION C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 03220387068	BUY 0 Units @0.0000						
05/25/2018	***-**-6603 - JOHNSON, TERRY	CAPITAL APPRECIATION C	68.00	0.68	0.00	0.68	80.0	0.54
	ACCOUNT #: 03222192157	BUY 0 Units @0.0000						
05/25/2018	***-**-1834 - BUTLER, KIM	CAPITAL APPRECIATION C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 03222200176	BUY 0 Units @0.0000						
05/29/2018	***-**-5838 - SPOLETA, BRENDA	CAPITAL INCOME BUILDER CLASS C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00088037501	BUY 2 Units @60.2200						
06/01/2018	***-**-975P - COTTONE, MARIA	CAPITAL INCOME BUILDER-A	250.00	9.38	0.00	9.38	80.0	7.50
	ACCOUNT #: 00086785798	BUY 4 Units @63.6100						
05/29/2018	***-**-425P - DELGAIZO, LOUISA	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 1 Units @19.9400						
05/29/2018	***-**-425P - MEEKS, PERDITA	CAPITAL WORLD GROWTH AND INCOME FUN	12.50	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 00074210167	BUY 0 Units @54.4900						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/29/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @50.7500						
05/29/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @50.7500						
05/29/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	96.40	0.96	0.00	0.96	80.0	0.77
	ACCOUNT #: 00087038420	BUY 2 Units @50.7500						
05/29/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	3.60	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00087038420	BUY 0 Units @50.7500						
05/31/2018	***-**-405P - FRISA, ALAN	CAPITAL WORLD GROWTH AND INCOME FUN	12.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00066758344	BUY 0 Units @51.6400						
06/01/2018	***-**-405P - FRISA, ALAN	CAPITAL WORLD GROWTH AND INCOME FUN	23.97	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 00066758344	BUY 0 Units @52.1400						
06/01/2018	***-**-048I - DEAN, MARY	CAPITAL WORLD GROWTH AND INCOME FUN	500.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00065085393	BUY 10 Units @51.5200						
05/25/2018	***-**-85IN - MAZZOLA, BARBARA	EMERGING MARKETS INNOVATORS FUND C	7.50	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00100051019	BUY 0 Units @0.0000						
05/16/2018	***-**-729I - GIAMBRONE, KELLY	EQUITY INCOME FUND, INC. C	46.21	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 08370596090	BUY 0 Units @0.0000						
05/16/2018	***-**-6188 - ROBERTS,	EQUITY INCOME FUND, INC. C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 08370627385	BUY 0 Units @0.0000						
05/29/2018	***-**-425P - DELGAIZO, LOUISA	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @56.8000						
05/31/2018	***-**-445P - BURNS, DANIEL	EUROPACIFIC GROWTH FUND-A	28.80	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00066758346	BUY 1 Units @56.4500						
06/01/2018	***-**-445P - BURNS, DANIEL	EUROPACIFIC GROWTH FUND-A	15.67	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00066758346	BUY 0 Units @56.8800						
05/29/2018	***-**-425P - DELGAIZO, LOUISA	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063412117	BUY 2 Units @63.3400						
05/31/2018	***-**-495P - HARTER, EDWARD	FUNDAMENTAL INVESTORS-A	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00066759710	BUY 0 Units @62.9100						
06/01/2018	***-**-495P - HARTER, EDWARD	FUNDAMENTAL INVESTORS-A	70.44	0.70	0.00	0.70	80.0	0.56
	ACCOUNT #: 00066759710	BUY 1 Units @63.5300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/29/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @61.9800						
05/29/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @61.9800						
05/29/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	7.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @61.9800						
05/29/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00085904203	BUY 0 Units @61.9800						
05/29/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	2.26	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @61.9800						
05/29/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	9.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00085902226	BUY 0 Units @61.9800						
05/29/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	2.40	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @61.9800						
05/16/2018	***-**-2620 - CUSIMANO, MEGAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02171800557	BUY 0 Units @0.0000						
05/16/2018	***-**-729I - GIAMBRONE, KELLY	GLOBAL OPPORTUNITIES FUND C	77.02	0.77	0.00	0.77	80.0	0.62
	ACCOUNT #: 02171875445	BUY 0 Units @0.0000						
05/21/2018	***-**-9206 - SANDER, DAVID	GLOBAL OPPORTUNITIES FUND C	80.00	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 02171629936	BUY 0 Units @0.0000						
05/25/2018	***-**-85IN - MAZZOLA, BARBARA	GLOBAL OPPORTUNITIES FUND C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 02171893331	BUY 0 Units @0.0000						
05/25/2018	***-**-7330 - JOHNSON, DONALD	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02171798599	BUY 0 Units @0.0000						
05/29/2018	***-**-90FB - DIODATO, CAROL	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02171777391	BUY 0 Units @0.0000						
05/29/2018	***-**-9530 - MILAM, IAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02171852708	BUY 0 Units @0.0000						
05/29/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00087236607	BUY 2 Units @22.4500						
05/29/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	1.95	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087236607	BUY 0 Units @22.4500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/04/2018	***-**-05IO - KIMBLE, LONNY	INCOME FUND OF AMERICA CLASS C	463.42	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR011472	SELL 20 Units @22.7700						
05/29/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	19.52	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089583477	BUY 0 Units @39.9900						
05/29/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089563161	BUY 0 Units @39.9900						
05/29/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @39.9900						
05/29/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	0.98	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904210	BUY 0 Units @39.9900						
05/29/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00085902249	BUY 1 Units @39.9900						
05/29/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	3.74	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @39.9900						
05/16/2018	***-**-729I - GIAMBRONE, KELLY	MAIN STREET FUND C	77.02	0.77	0.00	0.77	80.0	0.62
	ACCOUNT #: 07024326366	BUY 0 Units @0.0000						
05/16/2018	***-**-2620 - CUSIMANO, MEGAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024397338	BUY 0 Units @0.0000						
05/21/2018	***-**-9206 - SANDER, DAVID	MAIN STREET FUND C	80.00	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 07024170947	BUY 0 Units @0.0000						
05/25/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	66.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						
05/25/2018	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
05/29/2018	***-**-4980 - MOYER, COLLEEN	MAIN STREET FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 07024431823	BUY 0 Units @0.0000						
05/29/2018	***-**-90FB - DIODATO, CAROL	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024358024	BUY 0 Units @0.0000						
05/29/2018	***-**-9530 - MILAM, IAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024469113	BUY 0 Units @0.0000						
05/29/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 0 Units @45.3500						



COMMISSION STATEMENT

June 11, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/31/2018	***-**-445P - BURNS, DANIEL	NEW PERSPECTIVE FUND-A	28.80	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00066758346	BUY 1 Units @45.0100						
06/01/2018	***-**-445P - BURNS, DANIEL	NEW PERSPECTIVE FUND-A	15.67	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00066758346	BUY 0 Units @45.5800						
05/29/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @42.7900						
05/29/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	7.01	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @42.7900						
05/29/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @42.7900						
05/29/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	2.63	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @42.7900						
05/29/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @42.7900						
05/29/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @42.7900						
05/29/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @42.7900						
05/29/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @42.7900						
05/29/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @63.8100						
05/29/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @63.8100						
05/29/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @63.8100						
05/29/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	2.63	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @63.8100						
05/29/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @63.8100						
05/29/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @63.8100						



COMMISSION STATEMENT

June 11, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/21/2018	***-**-7072 - SMITH, DEBBIE	OPP PORT SERIES: MOD INV FD C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 06670037664	BUY 0 Units @0.0000						
05/21/2018	***-**-4143 - STONE, GEORGE	OPP PORT SERIES: MOD INV FD C	180.00	1.80	0.00	1.80	80.0	1.44
	ACCOUNT #: 06670037675	BUY 0 Units @0.0000						
05/21/2018	***-**-3526 - STONE, LINDA	OPP PORT SERIES: MOD INV FD C	180.00	1.80	0.00	1.80	80.0	1.44
	ACCOUNT #: 06670037686	BUY 0 Units @0.0000						
05/25/2018	***-**-3407 - SUTTELL, PAULA	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 05470454740	BUY 0 Units @0.0000						
05/25/2018	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						
05/25/2018	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	66.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
05/25/2018	***-**-85IN - MAZZOLA, BARBARA	OPPENHEIMER INTERNATIONAL DIVERSIFI	7.50	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 01971104114	BUY 0 Units @0.0000						
05/16/2018	***-**-729I - GIAMBRONE, KELLY	OPPENHEIMER MAIN STREET SMALL CAP F	46.20	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 08491596925	BUY 0 Units @0.0000						
05/16/2018	***-**-541P - SCHILLACI, AMY	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02271188506	BUY 0 Units @0.0000						
05/16/2018	***-**-1314 - BENEDETTO,	OPPENHEIMER RISING DIVIDENDS FUND C	125.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 02270934318	BUY 0 Units @0.0000						
05/16/2018	***-**-655P - DAVIS, BERTHA	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02271237808	BUY 0 Units @0.0000						
05/25/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02271182830	BUY 0 Units @0.0000						
05/29/2018	***-**-4980 - MOYER, COLLEEN	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02271217095	BUY 0 Units @0.0000						
05/16/2018	***-**-1314 - BENEDETTO,	QUEST BALANCED FUND C	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 02592292741	BUY 0 Units @0.0000						
05/21/2018	***-**-772I - KERHAERT,	QUEST BALANCED FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02592262304	BUY 0 Units @0.0000						
05/29/2018	***-**-8706 - BOSCO, REN	QUEST BALANCED FUND C	500.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 02590094105	BUY 0 Units @0.0000						



COMMISSION STATEMENT

June 11, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/16/2018	***-**-2620 - CUSIMANO, MEGAN	REAL ESTATE FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 05920400103	BUY 0 Units @0.0000						
05/25/2018	***-**-7330 - JOHNSON, DONALD	REAL ESTATE FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 05920398266	BUY 0 Units @0.0000						
05/29/2018	***-**-9530 - MILAM, IAN	REAL ESTATE FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 05920419496	BUY 0 Units @0.0000						
06/07/2018	***-**-20IN - GIANGRECO, TERRY	ROCH. LIMITED TERM NEW YORK MUNICI	10,003.00	50.01	3.00	47.01	80.0	37.61
	ACCOUNT #: LSR010603	BUY 3473 Units @2.8800						
05/25/2018	***-**-1834 - BUTLER, KIM	SMALL- & MID- CAP VALUE FUND C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 02531162106	BUY 0 Units @0.0000						
05/29/2018	***-**-4980 - MOYER, COLLEEN	SMALL- & MID- CAP VALUE FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02531288969	BUY 0 Units @0.0000						
05/31/2018	***-**-445P - BURNS, DANIEL	SMALLCAP WORLD FUND-A	41.60	0.42	0.00	0.42	80.0	0.34
	ACCOUNT #: 00066758346	BUY 1 Units @58.5100						
06/01/2018	***-**-445P - BURNS, DANIEL	SMALLCAP WORLD FUND-A	22.64	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 00066758346	BUY 0 Units @58.8900						
05/29/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @50.7500						
05/29/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	7.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @50.7500						
05/29/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @50.7500						
05/29/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @50.7500						
05/16/2018	***-**-1314 - BENEDETTO,	STRATEGIC INCOME FUND C	125.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 02322751089	BUY 0 Units @0.0000						
05/21/2018	***-**-9206 - SANDER, DAVID	STRATEGIC INCOME FUND C	80.00	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 02322653794	BUY 0 Units @0.0000						
05/25/2018	***-**-1834 - BUTLER, KIM	STRATEGIC INCOME FUND C	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 01920863291	BUY 0 Units @0.0000						
05/29/2018	***-**-425P - DELGAIZO, LOUISA	THE BOND FUND OF AMERICA-A	44.50	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 00063412117	BUY 3 Units @12.8200						



COMMISSION STATEMENT

June 11, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/31/2018	***-**-445P - BURNS, DANIEL	THE BOND FUND OF AMERICA-A	28.80	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00066758346	BUY 2 Units @12.5800						
06/01/2018	***-**-445P - BURNS, DANIEL	THE BOND FUND OF AMERICA-A	15.67	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00066758346	BUY 1 Units @12.5400						
05/29/2018	***-**-5838 - SPOLETA, BRENDA	THE BOND FUND OF AMERICA-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00088037501	BUY 8 Units @12.6300						
05/29/2018	***-**-3955 - EAST, MICHAEL	THE BOND FUND OF AMERICA-C	1.81	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @12.6300						
05/29/2018	***-**-3955 - EAST, MICHAEL	THE BOND FUND OF AMERICA-C	9.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00085904181	BUY 1 Units @12.6300						
05/29/2018	***-**-2163 - TRAPANI, JAMES	THE BOND FUND OF AMERICA-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 2 Units @12.6300						
05/29/2018	***-**-2163 - TRAPANI, JAMES	THE BOND FUND OF AMERICA-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @12.6300						
05/29/2018	***-**-425P - DELGAIZO, LOUISA	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @53.6000						
05/29/2018	***-**-995P - ARBORE, CARL	THE GROWTH FUND OF AMERICA-A	50.00	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 00081166789	BUY 1 Units @54.7200						
05/29/2018	***-**-425P - MEEKS, PERDITA	THE GROWTH FUND OF AMERICA-A	12.50	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 00074210167	BUY 0 Units @56.0200						
05/31/2018	***-**-4263 - HARTER, IAN	THE GROWTH FUND OF AMERICA-A	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089461113	BUY 0 Units @53.2200						
05/31/2018	***-**-9447 - ZAJONCZKOSKI,	THE GROWTH FUND OF AMERICA-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00087318332	BUY 0 Units @53.2200						
05/31/2018	***-**-4750 - OSCHMANN, MARK	THE GROWTH FUND OF AMERICA-A	63.28	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 00083414110	BUY 1 Units @53.2200						
05/31/2018	***-**-095P - RIVERA, MIGUEL	THE GROWTH FUND OF AMERICA-A	130.00	1.30	0.00	1.30	80.0	1.04
	ACCOUNT #: 00074922243	BUY 2 Units @53.2200						
05/31/2018	***-**-945P - VEGA, EDWARD	THE GROWTH FUND OF AMERICA-A	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00066758348	BUY 1 Units @53.2200						
05/31/2018	***-**-275P - LAMANNA, DANIEL	THE GROWTH FUND OF AMERICA-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758347	BUY 1 Units @53.2200						



COMMISSION STATEMENT

June 11, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/31/2018	***-**-445P - BURNS, DANIEL	THE GROWTH FUND OF AMERICA-A	105.60	1.06	0.00	1.06	80.0	0.85
	ACCOUNT #: 00066758346	BUY 2 Units @53.2200						
05/31/2018	***-**-405P - FRISA, ALAN	THE GROWTH FUND OF AMERICA-A	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00066758344	BUY 0 Units @53.2200						
05/31/2018	***-**-195P - OZMINKOWSKI,	THE GROWTH FUND OF AMERICA-A	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00066758342	BUY 5 Units @53.2200						
06/01/2018	***-**-4263 - HARTER, IAN	THE GROWTH FUND OF AMERICA-A	18.90	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 00089461113	BUY 0 Units @53.7600						
06/01/2018	***-**-9447 - ZAJONCZKOSKI,	THE GROWTH FUND OF AMERICA-A	58.36	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087318332	BUY 1 Units @53.7600						
06/01/2018	***-**-4750 - OSCHMANN, MARK	THE GROWTH FUND OF AMERICA-A	78.79	0.79	0.00	0.79	80.0	0.63
	ACCOUNT #: 00083414110	BUY 1 Units @53.7600						
06/01/2018	***-**-095P - RIVERA, MIGUEL	THE GROWTH FUND OF AMERICA-A	50.03	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00074922243	BUY 1 Units @53.7600						
06/01/2018	***-**-945P - VEGA, EDWARD	THE GROWTH FUND OF AMERICA-A	36.10	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00066758348	BUY 1 Units @53.7600						
06/01/2018	***-**-275P - LAMANNA, DANIEL	THE GROWTH FUND OF AMERICA-A	41.75	0.42	0.00	0.42	80.0	0.34
	ACCOUNT #: 00066758347	BUY 1 Units @53.7600						
06/01/2018	***-**-445P - BURNS, DANIEL	THE GROWTH FUND OF AMERICA-A	57.47	0.57	0.00	0.57	80.0	0.46
	ACCOUNT #: 00066758346	BUY 1 Units @53.7600						
06/01/2018	***-**-405P - FRISA, ALAN	THE GROWTH FUND OF AMERICA-A	35.95	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00066758344	BUY 1 Units @53.7600						
06/01/2018	***-**-195P - OZMINKOWSKI,	THE GROWTH FUND OF AMERICA-A	87.07	0.87	0.00	0.87	80.0	0.70
	ACCOUNT #: 00066758342	BUY 2 Units @53.7600						
06/01/2018	***-**-975P - COTTONE, MARIA	THE GROWTH FUND OF AMERICA-A	200.00	7.50	0.00	7.50	80.0	6.00
	ACCOUNT #: 00086606929	BUY 4 Units @56.2900						
06/01/2018	***-**-975P - COTTONE, MARIA	THE GROWTH FUND OF AMERICA-A	51.84	1.94	0.00	1.94	80.0	1.55
	ACCOUNT #: 00086606929	BUY 1 Units @56.2900						
06/01/2018	***-**-42IR - ADAMS, GRACE	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00073505114	BUY 1 Units @57.0400						
05/29/2018	***-**-482I - MARCONI, TODD	THE GROWTH FUND OF AMERICA-C	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089570936	BUY 0 Units @48.8200						



COMMISSION STATEMENT

June 11, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/29/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 0 Units @48.8200	15.00	0.15	0.00	0.15	80.0	0.12
05/29/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	THE GROWTH FUND OF AMERICA-C BUY 1 Units @48.8200	48.20	0.48	0.00	0.48	80.0	0.38
05/29/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	THE GROWTH FUND OF AMERICA-C BUY 0 Units @48.8200	1.80	0.02	0.00	0.02	80.0	0.02
05/29/2018	***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231	THE GROWTH FUND OF AMERICA-C BUY 0 Units @48.8200	2.50	0.03	0.00	0.03	80.0	0.02
05/29/2018	***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231	THE GROWTH FUND OF AMERICA-C BUY 0 Units @48.8200	2.50	0.03	0.00	0.03	80.0	0.02
05/29/2018	***-**-5945 - INFANTINO, ACCOUNT #: 04000722726	THE GROWTH FUND OF AMERICA-C BUY 8 Units @48.8200	400.00	4.00	0.00	4.00	80.0	3.20
05/29/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	THE GROWTH FUND OF AMERICA-C BUY 0 Units @48.8200	19.52	0.20	0.00	0.20	80.0	0.16
06/01/2018	***-**-984I - DELUCA, SHARI ACCOUNT #: 00064868315	THE GROWTH FUND OF AMERICA-C BUY 1 Units @49.7000	50.00	0.50	0.00	0.50	80.0	0.40
05/29/2018	***-**-395P - DIVASTA, ANTHONY ACCOUNT #: 00059597416	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @42.3600	50.00	1.88	0.00	1.88	80.0	1.50
05/31/2018	***-**-965P - MCGUIRE, SCOTT ACCOUNT #: 00073798048	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @40.6900	20.00	0.20	0.00	0.20	80.0	0.16
05/31/2018	***-**-945P - VEGA, EDWARD ACCOUNT #: 00066758348	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @40.6900	50.00	0.50	0.00	0.50	80.0	0.40
05/31/2018	***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @40.6900	41.60	0.42	0.00	0.42	80.0	0.34
05/31/2018	***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342	THE INVESTMENT COMPANY OF AMERICA-A BUY 6 Units @40.6900	250.00	2.50	0.00	2.50	80.0	2.00
06/01/2018	***-**-965P - MCGUIRE, SCOTT ACCOUNT #: 00073798048	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @41.0200	28.49	0.28	0.00	0.28	80.0	0.22
06/01/2018	***-**-945P - VEGA, EDWARD ACCOUNT #: 00066758348	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @41.0200	36.09	0.36	0.00	0.36	80.0	0.29
06/01/2018	***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @41.0200	22.64	0.23	0.00	0.23	80.0	0.18



COMMISSION STATEMENT

June 11, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/01/2018	***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342	THE INVESTMENT COMPANY OF AMERICA-A BUY 2 Units @41.0200	87.07	0.87	0.00	0.87	80.0	0.70
06/01/2018	***-**-545P - VISCA, ANGELO ACCOUNT #: 00063056262	THE INVESTMENT COMPANY OF AMERICA-A BUY 2 Units @42.5100	100.00	2.75	0.00	2.75	80.0	2.20
06/01/2018	***-**-9024 - HACKETT, ETHAN ACCOUNT #: 00088123299	THE NEW ECONOMY FUND-C BUY 1 Units @44.4000	25.00	0.25	0.00	0.25	80.0	0.20
05/30/2018	***-**-51IN - GUERRIERI, KIM ACCOUNT #: 00085399151	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 78 Units @12.8400	1,000.00	10.00	0.00	10.00	80.0	8.00
05/30/2018	***-**-278J - GUERRIERI, JOHN ACCOUNT #: 00084395514	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 156 Units @12.8400	2,000.00	20.00	0.00	20.00	80.0	16.00
05/30/2018	***-**-75UG - GUERRIERI, SOFIA ACCOUNT #: 00085399166	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 19 Units @12.8400	250.00	2.50	0.00	2.50	80.0	2.00
06/01/2018	***-**-44UG - GUERRIERI, ACCOUNT #: 00085399145	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 19 Units @12.8300	250.00	2.50	0.00	2.50	80.0	2.00
06/01/2018	***-**-935J - RUSH, DONNA ACCOUNT #: 00085296459	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 4 Units @12.8300	50.00	0.50	0.00	0.50	80.0	0.40
06/07/2018	***-**-20IN - GIANGRECO, TERRY ACCOUNT #: LSR010603	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 781 Units @12.8100	10,003.00	100.03	3.00	97.03	80.0	77.62
05/21/2018	***-**-9206 - SANDER, DAVID ACCOUNT #: 03770488829	VALUE FUND C BUY 0 Units @0.0000	80.00	0.80	0.00	0.80	80.0	0.64
05/31/2018	***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @45.9300	44.80	0.45	0.00	0.45	80.0	0.36
05/31/2018	***-**-415P - MALOVICS, LASZLO ACCOUNT #: 00066758345	WASHINGTON MUTUAL INVESTORS FUND-A BUY 11 Units @45.9300	500.00	5.00	0.00	5.00	80.0	4.00
06/01/2018	***-**-415P - MALOVICS, LASZLO ACCOUNT #: 00066758345	WASHINGTON MUTUAL INVESTORS FUND-A BUY 4 Units @46.3400	174.12	1.74	0.00	1.74	80.0	1.39
06/01/2018	***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @46.3400	24.38	0.24	0.00	0.24	80.0	0.19
06/01/2018	***-**-545P - VISCA, ANGELO ACCOUNT #: 00063056262	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @48.0200	50.00	1.38	0.00	1.38	80.0	1.10
05/29/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @45.0000	40.00	0.40	0.00	0.40	80.0	0.32



COMMISSION STATEMENT

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/29/2018	***-**-482I - MARCONI, TODD	WASHINGTON MUTUAL INVESTORS FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @45.0000						
06/01/2018	***-**-984I - DELUCA, SHARI	WASHINGTON MUTUAL INVESTORS FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00064868315	BUY 1 Units @45.6900						
* MUTUAL FUNDS SUBTOTAL *			\$36,488.10	\$336.52	\$9.00	\$327.52		\$261.69
PRODUCT CATEGORY: VARIABLE ANNUITIES								
05/24/2018	***-**-1764 - HUGHES, KATHLEEN	LINCOLN CHOICEPLUS ASSURANCE SERIES	124,592.20	7,787.01	0.00	7,787.01	80.0	6,229.61
		BUY 1 Units @124592.200						
05/24/2018	***-**-1764 - HUGHES, KATHLEEN	LINCOLN CHOICEPLUS ASSURANCE SERIES	182,249.55	11,390.60	0.00	11,390.60	80.0	9,112.48
		BUY 1 Units @182249.550						
* VARIABLE ANNUITIES SUBTOTAL *			\$306,841.75	\$19,177.61	\$0.00	\$19,177.61		\$15,342.09
PRODUCT CATEGORY: 529 PLAN								
06/01/2018	***-**-7255 - LIPPA, HARITINI	AMERICAN BALANCED FUND-529C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087198137	BUY 2 Units @27.1600						
06/01/2018	***-**-7255 - LIPPA, HARITINI	AMERICAN BALANCED FUND-529C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087198121	BUY 2 Units @27.1600						
06/11/2018	***-**-503J - OBI, JEAN	NEW YORK 529 TRAILS	0.00	2.36	0.00	2.36	80.0	1.89
		BUY 0 Units @0.0000						
06/11/2018	***-**-3758 - CONNORS, DAVID	NEW YORK 529 TRAILS	0.00	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: 77162702001	BUY 0 Units @0.0000						
06/11/2018	***-**-015P - FANTAUZZO,	NEW YORK 529 TRAILS	0.00	2.06	0.00	2.06	80.0	1.65
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						
06/11/2018	***-**-335P - JULIAN, GIOVANA	NEW YORK 529 TRAILS	0.00	6.79	0.00	6.79	80.0	5.43
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
06/11/2018	***-**-80IN - MOYER, COLLEEN	NEW YORK 529 TRAILS	0.00	13.62	0.00	13.62	80.0	10.90
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
06/11/2018	***-**-2942 - WARNEY, KENNETH	NEW YORK 529 TRAILS	0.00	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 77932712101	BUY 0 Units @0.0000						
06/11/2018	***-**-015P - FANTAUZZO,	NYA JPM 529 EQUITY INCOME PORTF C	138.52	1.39	0.00	1.39	80.0	1.11
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						



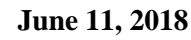
COMMISSION STATEMENT

June 11, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN (continued)</u>								
06/11/2018	***-**-6352 - GINERVA, ENZA	NYA JPM 529 EQUITY INCOME PORTF C	25.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 77766297801	BUY 0 Units @0.0000						
06/11/2018	***-**-2942 - WARNEY, KENNETH	NYA JPM 529 EQUITY INCOME PORTF C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 77932712101	BUY 0 Units @0.0000						
06/11/2018	***-**-80IN - MOYER, COLLEEN	NYA JPM 529 MOD AGE-BASED A	50.00	1.52	0.00	1.52	80.0	1.22
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
06/11/2018	***-**-015P - FANTAUZZO,	NYA JPM 529 REALTY INCOME PORTF C	165.00	1.65	0.00	1.65	80.0	1.32
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$503.52	\$31.57	\$0.00	\$31.57		\$25.27
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/11/2018	***-**-526I - CAVALLARO,	DREYFUS MF TRAILS	0.00	1.06	0.00	1.06	80.0	0.85
	ACCOUNT #: 3360045601	BUY 0 Units @0.0000						
06/11/2018	***-**-6793 - ESTEP, ARDEN	NYA JPM 529 AGGR AGE-BASE C	50.00	0.50	0.00	0.50	80.0	0.40
		BUY 0 Units @0.0000						
05/25/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						
06/11/2018	***-**-63IR - DIAMOND, ANN	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$75.00	\$2.64	\$0.00	\$2.64		\$2.11
		*** BROKER TRANSACTIONS TOTAL ***	\$343,908.37	\$19,548.34	\$9.00	\$19,539.34		\$15,631.16



BROKER #: 417
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER COMMISSION TOTALS									
Broker Transactions								\$15,631.16	
Split Transactions								\$0.00	
Override Transactions								\$0.00	
Adjustments								\$0.00	
Payroll Draw								\$0.00	
Base Salary								\$0.00	
Prior Period Balance								\$0.00	
FINRA Assessment								\$0.00	
SIPC Assessment								\$0.00	
Net Commission Earned								\$15,631.16	
Year-to-date Earnings								\$94,630.54	