

# STATEMENT

June 20, 2018

**VASTU SHAH**

1725 Burgoyne Ct  
SUITE 620  
Chesterbrook, PA 19087

**BROKER #: 216**

BRANCH: LA BRANCH

## COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
04/30/2018	#03900189779 SAINI, VIPUL	FIDELITY ADV EUROPE FUND CLASS C	48.08	0.48	93.0	0.00	0.45	0.00	0.45
04/30/2018	#03900189779 SAINI, VIPUL	FIDELITY ADV FREEDOM 2020-CL C	96.16	0.96	93.0	0.00	0.89	0.00	0.89
04/30/2018	#03900189779 SAINI, VIPUL	FIDELITY ADV FREEDOM 2025 CL C	96.15	0.96	93.0	0.00	0.89	0.00	0.89
04/30/2018	#01484642077 MENGEWENDE	FIDELITY ADV GLOBAL CAP APP-CL C	11.83	0.12	93.0	0.00	0.11	0.00	0.11
04/30/2018	#01484642127	FIDELITY ADV GLOBAL CAP APP-CL C	25.11	0.25	93.0	0.00	0.23	0.00	0.23
04/30/2018	#03900189779 SAINI, VIPUL	FIDELITY ADV INT'L CAPITAL APP CL C	96.15	0.96	93.0	0.00	0.89	0.00	0.89
04/30/2018	#01484641962 FILOPDIANL	FIDELITY ADVISOR FOCUS FUNDS HEALTH	67.73	0.68	93.0	0.00	0.63	0.00	0.63
04/30/2018	#01484642051 HERSHLORR	FIDELITY ADVISOR FOCUS FUNDS HEALTH	88.71	0.89	93.0	0.00	0.83	0.00	0.83
04/30/2018	#01484642077 MENGEWENDE	FIDELITY ADVISOR FOCUS FUNDS HEALTH	23.66	0.24	93.0	0.00	0.22	0.00	0.22
04/30/2018	#03900189779 SAINI, VIPUL	FIDELITY ADVISOR FOCUS FUNDS HEALTH	96.15	0.96	93.0	0.00	0.89	0.00	0.89
04/30/2018	#01484641962 FILOPDIANL	FIDELITY ADVISOR SER VII BIOTECHNOL	67.73	0.68	93.0	0.00	0.63	0.00	0.63
04/30/2018	#01484642051 HERSHLORR	FIDELITY ADVISOR SER VII BIOTECHNOL	88.71	0.89	93.0	0.00	0.83	0.00	0.83
04/30/2018	#01484642077 MENGEWENDE	FIDELITY ADVISOR SER VII BIOTECHNOL	17.75	0.18	93.0	0.00	0.17	0.00	0.17
04/30/2018	#01484642051 HERSHLORR	FIDELITY ADVISOR SER VIII GLOBAL EQ	88.72	0.89	93.0	0.00	0.83	0.00	0.83
04/30/2018	#01484642077 MENGEWENDE	FIDELITY ADVISOR SER VIII GLOBAL EQ	11.84	0.12	93.0	0.00	0.11	0.00	0.11
04/30/2018	#01484642077 MENGEWENDE	FIDELITY MID CAP VALUE	23.66	0.24	93.0	0.00	0.22	0.00	0.22
04/30/2018	#01484642127	FIDELITY MID CAP VALUE	15.06	0.15	93.0	0.00	0.14	0.00	0.14
04/30/2018	#01484642077 MENGEWENDE	FIDELITY SMALL CAP BLEND	17.75	0.18	93.0	0.00	0.17	0.00	0.17
04/30/2018	#01484642127	FIDELITY SMALL CAP BLEND	10.04	0.10	93.0	0.00	0.09	0.00	0.09
04/30/2018	#01484641962 FILOPDIANL	FIDELITY VALUE FUND	67.73	0.68	93.0	0.00	0.63	0.00	0.63
04/30/2018	#01484642051 HERSHLORR	FIDELITY VALUE FUND	88.71	0.89	93.0	0.00	0.83	0.00	0.83
04/30/2018	#01484642077 MENGEWENDE	FIDELITY VALUE FUND	11.83	0.12	93.0	0.00	0.11	0.00	0.11
04/30/2018	#01484642127	FIDELITY VALUE FUND	31.39	0.31	93.0	0.00	0.29	0.00	0.29
04/30/2018	#01484641962 FILOPDIANL	FIDLEITY INTERMEDIATE	67.71	0.68	93.0	0.00	0.63	0.00	0.63
04/30/2018	#01484642051 HERSHLORR	FIDLEITY INTERMEDIATE	88.71	0.89	93.0	0.00	0.83	0.00	0.83
04/30/2018	#01484642127	FIDLEITY INTERMEDIATE	43.94	0.44	93.0	0.00	0.41	0.00	0.41
04/30/2018	#03900189779 SAINI, VIPUL	FIDLEITY INTERMEDIATE	48.08	0.48	93.0	0.00	0.45	0.00	0.45
05/02/2018	#000000000356752	FRANKLIN STRATEGIC SER NAT RES FD C	322.83	3.23	93.0	0.00	3.00	0.00	3.00

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/02/2018	#000000000869786	ELANCHENNY, FRKLN GOLD & PRECIOUS METALS FUND C	500.00	5.00	93.0	0.00	4.65	0.00	4.65
05/02/2018	#000000000645010	MUTUAL FINANCIAL SERVICES FUND-CLAS	322.83	3.23	93.0	0.00	3.00	0.00	3.00
05/14/2018	#03900189779	SAINI, VIPUL FIDELITY ADV EUROPE FUND CLASS C	48.08	0.48	93.0	0.00	0.45	0.00	0.45
05/14/2018	#03900189779	SAINI, VIPUL FIDELITY ADV FREEDOM 2020-CL C	96.16	0.96	93.0	0.00	0.89	0.00	0.89
05/14/2018	#03900189779	SAINI, VIPUL FIDELITY ADV FREEDOM 2025 CL C	96.15	0.96	93.0	0.00	0.89	0.00	0.89
05/14/2018	#01484642077	MENGWEWENDE FIDELITY ADV GLOBAL CAP APP-CL C	5.47	0.05	93.0	0.00	0.05	0.00	0.05
05/14/2018	#01484642127	FIDELITY ADV GLOBAL CAP APP-CL C	23.74	0.24	93.0	0.00	0.22	0.00	0.22
05/14/2018	#03900189779	SAINI, VIPUL FIDELITY ADV INT'L CAPITAL APP CL C	96.15	0.96	93.0	0.00	0.89	0.00	0.89
05/14/2018	#01484641962	FILOPDIANL FIDELITY ADVISOR FOCUS FUNDS HEALTH	75.60	0.76	93.0	0.00	0.71	0.00	0.71
05/14/2018	#01484642051	HERSHLORR FIDELITY ADVISOR FOCUS FUNDS HEALTH	104.92	1.05	93.0	0.00	0.98	0.00	0.98
05/14/2018	#01484642077	MENGWEWENDE FIDELITY ADVISOR FOCUS FUNDS HEALTH	10.93	0.11	93.0	0.00	0.10	0.00	0.10
05/14/2018	#03900189779	SAINI, VIPUL FIDELITY ADVISOR FOCUS FUNDS HEALTH	96.15	0.96	93.0	0.00	0.89	0.00	0.89
05/14/2018	#01484641962	FILOPDIANL FIDELITY ADVISOR SER VII BIOTECHNOL	75.60	0.76	93.0	0.00	0.71	0.00	0.71
05/14/2018	#01484642051	HERSHLORR FIDELITY ADVISOR SER VII BIOTECHNOL	104.92	1.05	93.0	0.00	0.98	0.00	0.98
05/14/2018	#01484642077	MENGWEWENDE FIDELITY ADVISOR SER VII BIOTECHNOL	8.20	0.08	93.0	0.00	0.07	0.00	0.07
05/14/2018	#01484642051	HERSHLORR FIDELITY ADVISOR SER VIII GLOBAL EQ	104.91	1.05	93.0	0.00	0.98	0.00	0.98
05/14/2018	#01484642077	MENGWEWENDE FIDELITY ADVISOR SER VIII GLOBAL EQ	5.45	0.05	93.0	0.00	0.05	0.00	0.05
05/14/2018	#01484642077	MENGWEWENDE FIDELITY MID CAP VALUE	10.93	0.11	93.0	0.00	0.10	0.00	0.10
05/14/2018	#01484642127	FIDELITY MID CAP VALUE	14.24	0.14	93.0	0.00	0.13	0.00	0.13
05/14/2018	#01484642077	MENGWEWENDE FIDELITY SMALL CAP BLEND	8.20	0.08	93.0	0.00	0.07	0.00	0.07
05/14/2018	#01484642127	FIDELITY SMALL CAP BLEND	9.49	0.09	93.0	0.00	0.08	0.00	0.08
05/14/2018	#01484641962	FILOPDIANL FIDELITY VALUE FUND	75.60	0.76	93.0	0.00	0.71	0.00	0.71
05/14/2018	#01484642051	HERSHLORR FIDELITY VALUE FUND	104.92	1.05	93.0	0.00	0.98	0.00	0.98
05/14/2018	#01484642077	MENGWEWENDE FIDELITY VALUE FUND	5.47	0.05	93.0	0.00	0.05	0.00	0.05
05/14/2018	#01484642127	FIDELITY VALUE FUND	29.67	0.30	93.0	0.00	0.28	0.00	0.28
05/14/2018	#01484641962	FILOPDIANL FIDLEITY INTERMEDIATE	75.60	0.76	93.0	0.00	0.71	0.00	0.71
05/14/2018	#01484642051	HERSHLORR FIDLEITY INTERMEDIATE	104.92	1.05	93.0	0.00	0.98	0.00	0.98
05/14/2018	#01484642127	FIDLEITY INTERMEDIATE	41.54	0.42	93.0	0.00	0.39	0.00	0.39
05/14/2018	#03900189779	SAINI, VIPUL FIDLEITY INTERMEDIATE	48.08	0.48	93.0	0.00	0.45	0.00	0.45
05/18/2018	#000000000356752	FRANKLIN STRATEGIC SER NAT RES FD C	322.83	3.23	93.0	0.00	3.00	0.00	3.00
05/18/2018	#000000000869786	ELANCHENNY, FRKLN GOLD & PRECIOUS METALS FUND C	500.00	5.00	93.0	0.00	4.65	0.00	4.65
05/18/2018	#000000000645010	MUTUAL FINANCIAL SERVICES FUND-CLAS	322.83	3.23	93.0	0.00	3.00	0.00	3.00
* MUTUAL FUNDS SUBTOTAL *			\$5,211.50	\$52.15		\$0.00	\$48.49	\$0.00	\$48.49

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
05/01/2018 #	BHARAT C SHAH TTEE	MICRON TECHNOLOGY INC	46,610.00	313.64	85.0	0.00	266.59	19.95	246.64
05/02/2018 #	PATEL, PRAVIN	FACEBOOK INC	53,250.00	75.00	85.0	0.00	63.75	19.95	43.80
05/04/2018 #	SHAH, BHARAT	***ASTRAZENECA PLC	42,877.10	308.04	85.0	0.00	261.83	19.95	241.88
05/04/2018 #	SHAH, BHARAT	COMCAST CORP NEW CL A	31,918.00	239.56	85.0	0.00	203.63	19.95	183.68
05/04/2018 #	BHATT, BHARAT	DELL TECHNOLOGIES INC CLASS V COMMO	806.85	10.00	85.0	0.00	8.50	19.95	-11.45
05/04/2018 #	SHAH, BHARAT	KRAFT HEINZ COMPANY (THE) COMMON ST	22,911.00	201.43	85.0	0.00	171.22	19.95	151.27
05/04/2018 #	BHARAT C SHAH TTEE	PRUDENTIAL FINANCIAL INC	49,940.65	262.50	85.0	0.00	223.13	19.95	203.18
05/17/2018 #	BHARAT C SHAH TTEE	***BP P L C SPONSORED ADR	37,829.60	165.35	85.0	0.00	140.55	19.95	120.60
05/17/2018 #	BHARAT C SHAH TTEE	ALLERGAN PLC	61,452.80	105.00	85.0	0.00	89.25	19.95	69.30
05/17/2018 #	BHARAT C SHAH TTEE	CONOCOPHILLIPS	35,388.50	151.90	85.0	0.00	129.12	19.95	109.17
05/17/2018 #	BHARAT C SHAH TTEE	HP INC COM	22,321.40	227.64	85.0	0.00	193.49	19.95	173.54
05/24/2018 #	PATEL, PRAVIN	JD.COM INC SPONSORED ADR REPSTG COM	18,417.50	20.00	85.0	0.00	17.00	19.95	-2.95
<b>* STOCKS SUBTOTAL *</b>			<b>\$423,723.40</b>	<b>\$2,080.06</b>		<b>\$0.00</b>	<b>\$1,768.06</b>	<b>\$239.40</b>	<b>\$1,528.66</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
04/30/201 #	1326880000 COMPREHENSIVE	JOHN HANCOCK ARA	1,544,807.76	642.19	93.0	0.00	597.24	0.00	597.24
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$1,544,807.76</b>	<b>\$642.19</b>		<b>\$0.00</b>	<b>\$597.24</b>	<b>\$0.00</b>	<b>\$597.24</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/30/2018 #	000000002480150	**MUTUAL GLOBAL DISCOVERY FUND	0.00	5.93	93.0	0.00	5.51	0.00	5.51
04/30/2018 #	000000002483865	**MUTUAL GLOBAL DISCOVERY FUND	0.00	13.74	93.0	0.00	12.78	0.00	12.78
04/30/2018 #	000000002528279	**MUTUAL GLOBAL DISCOVERY FUND	0.00	16.29	93.0	0.00	15.15	0.00	15.15
04/30/2018 #	000000002528293	**MUTUAL GLOBAL DISCOVERY FUND	0.00	7.31	93.0	0.00	6.80	0.00	6.80
04/30/2018 #	000000004217081	**MUTUAL GLOBAL DISCOVERY FUND	0.00	19.11	93.0	0.00	17.77	0.00	17.77
04/30/2018 #	000000004238806	**MUTUAL GLOBAL DISCOVERY FUND	0.00	15.77	93.0	0.00	14.67	0.00	14.67
04/30/2018 #	000000004238844	**MUTUAL GLOBAL DISCOVERY FUND	0.00	15.77	93.0	0.00	14.67	0.00	14.67
04/30/2018 #	000000004301875 SUZUKI,	**MUTUAL GLOBAL DISCOVERY FUND	0.00	63.31	93.0	0.00	58.88	0.00	58.88
04/30/2018 #	000000004340636	**MUTUAL GLOBAL DISCOVERY FUND	0.00	84.26	93.0	0.00	78.36	0.00	78.36
04/30/2018 #	000000004357531 VORA,	**MUTUAL GLOBAL DISCOVERY FUND	0.00	13.40	93.0	0.00	12.46	0.00	12.46
04/30/2018 #	000000004357570	**MUTUAL GLOBAL DISCOVERY FUND	0.00	3.62	93.0	0.00	3.37	0.00	3.37
04/30/2018 #	000000000377655	FRANKLIN 34572P604	0.00	19.14	93.0	0.00	17.80	0.00	17.80
04/30/2018 #	000000000380523	FRANKLIN 34572P604	0.00	209.88	93.0	0.00	195.19	0.00	195.19
04/30/2018 #	000000000435343	FRANKLIN 34572P604	0.00	4.52	93.0	0.00	4.20	0.00	4.20
04/30/2018 #	0000000000000479	FRANKLIN 35241W856	0.00	11.53	93.0	0.00	10.72	0.00	10.72
04/30/2018 #	0000000000569564	FRANKLIN 353496706	0.00	10.42	93.0	0.00	9.69	0.00	9.69
04/30/2018 #	0000000000569588	FRANKLIN 353496706	0.00	10.42	93.0	0.00	9.69	0.00	9.69

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	#000000000570301	FRANKLIN 353496706	0.00	2.79	93.0	0.00	2.59	0.00	2.59
04/30/2018	#000000000618557	FRANKLIN 353496706	0.00	12.69	93.0	0.00	11.80	0.00	11.80
04/30/2018	#000000000622264	FRANKLIN 353496706	0.00	3.10	93.0	0.00	2.88	0.00	2.88
04/30/2018	#000000000724575	FRANKLIN 353496706	0.00	40.31	93.0	0.00	37.49	0.00	37.49
04/30/2018	#000000000724599	FRANKLIN 353496706	0.00	32.92	93.0	0.00	30.62	0.00	30.62
04/30/2018	#000000000248308	FRANKLIN 353612880	0.00	12.08	93.0	0.00	11.23	0.00	11.23
04/30/2018	#000000000248310	FRANKLIN 353612880	0.00	0.07	93.0	0.00	0.07	0.00	0.07
04/30/2018	#000000000311237	FRANKLIN 353612880	0.00	1.92	93.0	0.00	1.79	0.00	1.79
04/30/2018	#000000000313383	FRANKLIN 353612880	0.00	3.79	93.0	0.00	3.52	0.00	3.52
04/30/2018	#000000000313395	FRANKLIN 353612880	0.00	3.79	93.0	0.00	3.52	0.00	3.52
04/30/2018	#000000000388684	FRANKLIN 353612880	0.00	1.96	93.0	0.00	1.82	0.00	1.82
04/30/2018	#000000000408245	FRANKLIN 353612880	0.00	105.07	93.0	0.00	97.72	0.00	97.72
04/30/2018	#000000000410985	FRANKLIN 353612880	0.00	42.17	93.0	0.00	39.22	0.00	39.22
04/30/2018	#000000000424729	FRANKLIN 353612880	0.00	13.20	93.0	0.00	12.28	0.00	12.28
04/30/2018	#000000000424731	FRANKLIN 353612880	0.00	7.10	93.0	0.00	6.60	0.00	6.60
04/30/2018	#000000000436485	FRANKLIN 353612880	0.00	1.87	93.0	0.00	1.74	0.00	1.74
04/30/2018	#000000000012031	FRANKLIN 354713240	0.00	39.57	93.0	0.00	36.80	0.00	36.80
04/30/2018	#000000000012738	FRANKLIN 354713240	0.00	1.77	93.0	0.00	1.65	0.00	1.65
04/30/2018	#000000000014807	FRANKLIN 354713240	0.00	161.30	93.0	0.00	150.01	0.00	150.01
04/30/2018	#000000000014819	FRANKLIN 354713240	0.00	92.19	93.0	0.00	85.74	0.00	85.74
04/30/2018	#000000000021232	FRANKLIN 354713240	0.00	118.52	93.0	0.00	110.22	0.00	110.22
04/30/2018	#000000000021244	FRANKLIN 354713240	0.00	7.23	93.0	0.00	6.72	0.00	6.72
04/30/2018	#000000000021257	FRANKLIN 354713240	0.00	7.23	93.0	0.00	6.72	0.00	6.72
04/30/2018	#000000000028668	FRANKLIN 354713240	0.00	19.48	93.0	0.00	18.12	0.00	18.12
04/30/2018	#000000003284128	FRANKLIN 35472P828	0.00	9.38	93.0	0.00	8.72	0.00	8.72
04/30/2018	#000000003284130	FRANKLIN 35472P828	0.00	9.38	93.0	0.00	8.72	0.00	8.72
04/30/2018	#000000000749061	FRANKLIN 628380883	0.00	4.04	93.0	0.00	3.76	0.00	3.76
04/30/2018	#000000000749073	FRANKLIN 628380883	0.00	0.12	93.0	0.00	0.11	0.00	0.11
04/30/2018	#000000001125879	FRANKLIN 628380883	0.00	2.08	93.0	0.00	1.93	0.00	1.93
04/30/2018	#000000003383585	FRANKLIN BIOTECHNOLOGY DISCOVERY FU	0.00	152.11	93.0	0.00	141.46	0.00	141.46
04/30/2018	#000050117772912 SHAVAR	FRANKLIN HIGH INCOME FUND A	0.00	0.60	93.0	0.00	0.56	0.00	0.56
04/30/2018	#000050117772924 SHAVAR	FRANKLIN HIGH INCOME FUND A	0.00	0.60	93.0	0.00	0.56	0.00	0.56
04/30/2018	#000000001259009	FRANKLIN HIGH INCOME FUND C	0.00	0.95	93.0	0.00	0.88	0.00	0.88
04/30/2018	#000000001260734	FRANKLIN HIGH INCOME FUND C	0.00	1.13	93.0	0.00	1.05	0.00	1.05
04/30/2018	#000000001260746	FRANKLIN HIGH INCOME FUND C	0.00	1.13	93.0	0.00	1.05	0.00	1.05
04/30/2018	#000000003423807	FRANKLIN INCOME FDS SER CL C	0.00	7.76	93.0	0.00	7.22	0.00	7.22

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	#000000003423833	FRANKLIN INCOME FDS SER CL C	0.00	0.09	93.0	0.00	0.08	0.00	0.08
04/30/2018	#000000003804628	FRANKLIN INCOME FDS SER CL C	0.00	9.06	93.0	0.00	8.43	0.00	8.43
04/30/2018	#000000003804630	FRANKLIN INCOME FDS SER CL C	0.00	5.47	93.0	0.00	5.09	0.00	5.09
04/30/2018	#000000003818806	FRANKLIN INCOME FDS SER CL C	0.00	10.96	93.0	0.00	10.19	0.00	10.19
04/30/2018	#000000003818818	FRANKLIN INCOME FDS SER CL C	0.00	4.03	93.0	0.00	3.75	0.00	3.75
04/30/2018	#000000010548396 SHAVAR	FRANKLIN INCOME FDS SER CL C	0.00	90.70	93.0	0.00	84.35	0.00	84.35
04/30/2018	#000000011726496 SHAVAR	FRANKLIN INCOME FDS SER CL C	0.00	15.10	93.0	0.00	14.04	0.00	14.04
04/30/2018	#000000011726609 SHAVAR	FRANKLIN INCOME FDS SER CL C	0.00	13.13	93.0	0.00	12.21	0.00	12.21
04/30/2018	#000000012217992 SHAVAR	FRANKLIN INCOME FDS SER CL C	0.00	1.00	93.0	0.00	0.93	0.00	0.93
04/30/2018	#000000012746792 SHAVAR	FRANKLIN INCOME FDS SER CL C	0.00	8.50	93.0	0.00	7.91	0.00	7.91
04/30/2018	#000090239276683 PATEL,	FRANKLIN INCOME SERIES CL A	0.00	32.26	93.0	0.00	30.00	0.00	30.00
04/30/2018	#000000000641631	FRANKLIN MUTUAL EUROPEAN FUND CLASS	0.00	3.70	93.0	0.00	3.44	0.00	3.44
04/30/2018	#000000000652519	FRANKLIN MUTUAL EUROPEAN FUND CLASS	0.00	175.14	93.0	0.00	162.88	0.00	162.88
04/30/2018	#000000000658714	FRANKLIN MUTUAL EUROPEAN FUND CLASS	0.00	72.44	93.0	0.00	67.37	0.00	67.37
04/30/2018	#000000000662623	FRANKLIN MUTUAL EUROPEAN FUND CLASS	0.00	11.56	93.0	0.00	10.75	0.00	10.75
04/30/2018	#000000000662647	FRANKLIN MUTUAL EUROPEAN FUND CLASS	0.00	3.25	93.0	0.00	3.02	0.00	3.02
04/30/2018	#000000000664995	FRANKLIN MUTUAL EUROPEAN FUND CLASS	0.00	4.90	93.0	0.00	4.56	0.00	4.56
04/30/2018	#000000000665772	FRANKLIN MUTUAL EUROPEAN FUND CLASS	0.00	1.73	93.0	0.00	1.61	0.00	1.61
04/30/2018	#000000000700462	FRANKLIN MUTUAL EUROPEAN FUND CLASS	0.00	4.19	93.0	0.00	3.90	0.00	3.90
04/30/2018	#000019413005974 SHAVAR	FRANKLIN STRATEGIC INCOME FUND - CL	0.00	1.27	93.0	0.00	1.18	0.00	1.18
04/30/2018	#000019413005986 SHAVAR	FRANKLIN STRATEGIC INCOME FUND - CL	0.00	1.27	93.0	0.00	1.18	0.00	1.18
04/30/2018	#000000000153306	FRANKLIN STRATEGIC SER NAT RES FD C	0.00	7.48	93.0	0.00	6.96	0.00	6.96
04/30/2018	#000000000153344	FRANKLIN STRATEGIC SER NAT RES FD C	0.00	7.48	93.0	0.00	6.96	0.00	6.96
04/30/2018	#000000000322711	FRANKLIN STRATEGIC SER NAT RES FD C	0.00	28.38	93.0	0.00	26.39	0.00	26.39
04/30/2018	#000000000322723	FRANKLIN STRATEGIC SER NAT RES FD C	0.00	2.28	93.0	0.00	2.12	0.00	2.12
04/30/2018	#000000000323562	FRANKLIN STRATEGIC SER NAT RES FD C	0.00	0.96	93.0	0.00	0.89	0.00	0.89
04/30/2018	#000000000324107	FRANKLIN STRATEGIC SER NAT RES FD C	0.00	1.14	93.0	0.00	1.06	0.00	1.06
04/30/2018	#000000000324119	FRANKLIN STRATEGIC SER NAT RES FD C	0.00	1.14	93.0	0.00	1.06	0.00	1.06
04/30/2018	#000000000356749	FRANKLIN STRATEGIC SER NAT RES FD C	0.00	73.28	93.0	0.00	68.15	0.00	68.15
04/30/2018	#000000000356752	FRANKLIN STRATEGIC SER NAT RES FD C	0.00	41.84	93.0	0.00	38.91	0.00	38.91
04/30/2018	#000000000356764	FRANKLIN STRATEGIC SER NAT RES FD C	0.00	8.23	93.0	0.00	7.65	0.00	7.65
04/30/2018	#000000000356790	FRANKLIN STRATEGIC SER NAT RES FD C	0.00	32.54	93.0	0.00	30.26	0.00	30.26
04/30/2018	#000000000285041 ELANCHENNY,	FRANKLIN TEMPLETON CONSERVATIVE ALL	0.00	8.84	93.0	0.00	8.22	0.00	8.22
04/30/2018	#000000000299331	FRANKLIN TEMPLETON CONSERVATIVE ALL	0.00	8.60	93.0	0.00	8.00	0.00	8.00
04/30/2018	#000000000299356 PATEL,	FRANKLIN TEMPLETON CONSERVATIVE ALL	0.00	8.57	93.0	0.00	7.97	0.00	7.97
04/30/2018	#000000000240960	FRANKLIN TEMPLETON FUND STRATEGIC S	0.00	2.08	93.0	0.00	1.93	0.00	1.93

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	#000000000240972	FRANKLIN TEMPLETON FUND STRATEGIC S	0.00	0.03	93.0	0.00	0.03	0.00	0.03
04/30/2018	#000000000261040	FRANKLIN TEMPLETON FUND STRATEGIC S	0.00	5.73	93.0	0.00	5.33	0.00	5.33
04/30/2018	#000000000261053	FRANKLIN TEMPLETON FUND STRATEGIC S	0.00	3.93	93.0	0.00	3.65	0.00	3.65
04/30/2018	#000000000261812	FRANKLIN TEMPLETON FUND STRATEGIC S	0.00	2.19	93.0	0.00	2.04	0.00	2.04
04/30/2018	#000000001225630	FRANKLIN TEMPLETON FUND STRATEGIC S	0.00	7.43	93.0	0.00	6.91	0.00	6.91
04/30/2018	#000000001225667	FRANKLIN TEMPLETON FUND STRATEGIC S	0.00	7.80	93.0	0.00	7.25	0.00	7.25
04/30/2018	#000000001450055	FRANKLIN TEMPLETON FUND STRATEGIC S	0.00	0.02	93.0	0.00	0.02	0.00	0.02
04/30/2018	#000000001450067	FRANKLIN TEMPLETON FUND STRATEGIC S	0.00	27.15	93.0	0.00	25.25	0.00	25.25
04/30/2018	#000000001450079	FRANKLIN TEMPLETON FUND STRATEGIC S	0.00	72.67	93.0	0.00	67.58	0.00	67.58
04/30/2018	#000000001450081	FRANKLIN TEMPLETON FUND STRATEGIC S	0.00	72.72	93.0	0.00	67.63	0.00	67.63
04/30/2018	#000000001450093	FRANKLIN TEMPLETON FUND STRATEGIC S	0.00	0.16	93.0	0.00	0.15	0.00	0.15
04/30/2018	#000000000442107	FRANKLIN TEMPLETON FUNDS CUST FDS I	0.00	49.56	93.0	0.00	46.09	0.00	46.09
04/30/2018	#000000000442119	FRANKLIN TEMPLETON FUNDS CUST FDS I	0.00	0.16	93.0	0.00	0.15	0.00	0.15
04/30/2018	#000000000449645	FRANKLIN TEMPLETON FUNDS CUST FDS I	0.00	16.17	93.0	0.00	15.04	0.00	15.04
04/30/2018	#000000000450039	FRANKLIN TEMPLETON FUNDS CUST FDS I	0.00	5.98	93.0	0.00	5.56	0.00	5.56
04/30/2018	#000000000450458	FRANKLIN TEMPLETON FUNDS CUST FDS I	0.00	43.01	93.0	0.00	40.00	0.00	40.00
04/30/2018	#000000000450460	FRANKLIN TEMPLETON FUNDS CUST FDS I	0.00	21.66	93.0	0.00	20.14	0.00	20.14
04/30/2018	#000000000456816	FRANKLIN TEMPLETON FUNDS CUST FDS I	0.00	50.07	93.0	0.00	46.57	0.00	46.57
04/30/2018	#000000000468429	FRANKLIN TEMPLETON FUNDS CUST FDS I	0.00	175.96	93.0	0.00	163.64	0.00	163.64
04/30/2018	#000000000572026	FRANKLIN TEMPLETON FUNDS CUST FDS I	0.00	67.02	93.0	0.00	62.33	0.00	62.33
04/30/2018	#000000000572038	FRANKLIN TEMPLETON FUNDS CUST FDS I	0.00	68.03	93.0	0.00	63.27	0.00	63.27
04/30/2018	#000000000587396	FRANKLIN TEMPLETON FUNDS CUST FDS I	0.00	96.82	93.0	0.00	90.04	0.00	90.04
04/30/2018	#000000000587408	FRANKLIN TEMPLETON FUNDS CUST FDS I	0.00	167.62	93.0	0.00	155.89	0.00	155.89
04/30/2018	#000000000664348	FRANKLIN TEMPLETON FUNDS CUST FDS I	0.00	266.12	93.0	0.00	247.49	0.00	247.49
04/30/2018	#000000000664603	FRANKLIN TEMPLETON FUNDS CUST FDS I	0.00	37.57	93.0	0.00	34.94	0.00	34.94
04/30/2018	#000080192953834 PATEL,	FRANKLIN TEMPLETON FUNDS DYNATECH S	0.00	3.98	93.0	0.00	3.70	0.00	3.70
04/30/2018	#000080192953846 PATEL,	FRANKLIN TEMPLETON FUNDS DYNATECH S	0.00	3.98	93.0	0.00	3.70	0.00	3.70
04/30/2018	#000080193229158 PATEL,	FRANKLIN TEMPLETON FUNDS DYNATECH S	0.00	40.24	93.0	0.00	37.42	0.00	37.42
04/30/2018	#000000000542542 SUZUKI,	FRANKLIN TEMPLETON FUNDS EQUITY INC	0.00	0.10	93.0	0.00	0.09	0.00	0.09
04/30/2018	#000000001078928	FRANKLIN TEMPLETON FUNDS MUTUAL FIN	0.00	1.03	93.0	0.00	0.96	0.00	0.96
04/30/2018	#000000001078930	FRANKLIN TEMPLETON FUNDS MUTUAL FIN	0.00	1.03	93.0	0.00	0.96	0.00	0.96
04/30/2018	#000000001162864	FRANKLIN TEMPLETON FUNDS MUTUAL FIN	0.00	27.76	93.0	0.00	25.82	0.00	25.82
04/30/2018	#000000001162876	FRANKLIN TEMPLETON FUNDS MUTUAL FIN	0.00	49.41	93.0	0.00	45.95	0.00	45.95
04/30/2018	#000020312401098 SHAVAR	FRANKLIN TEMPLETON FUNDS NATURAL RE	0.00	98.75	93.0	0.00	91.84	0.00	91.84
04/30/2018	#000020312425861 SHAVAR	FRANKLIN TEMPLETON FUNDS NATURAL RE	0.00	16.07	93.0	0.00	14.95	0.00	14.95
04/30/2018	#000020312445293 SHAVAR	FRANKLIN TEMPLETON FUNDS NATURAL RE	0.00	2.56	93.0	0.00	2.38	0.00	2.38

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	#000011516899544	SHAVAR FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	2.61	93.0	0.00	2.43	0.00	2.43
04/30/2018	#000070187608279	SHAVAR FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	3.35	93.0	0.00	3.12	0.00	3.12
04/30/2018	#000070187608281	SHAVAR FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	3.36	93.0	0.00	3.12	0.00	3.12
04/30/2018	#000070187928341	SHAVAR FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	0.97	93.0	0.00	0.90	0.00	0.90
04/30/2018	#0000000001587714	FRANKLIN US GOVT SEC FUND - CLASS C	0.00	3.28	93.0	0.00	3.05	0.00	3.05
04/30/2018	#0000000001587740	FRANKLIN US GOVT SEC FUND - CLASS C	0.00	3.26	93.0	0.00	3.03	0.00	3.03
04/30/2018	#0000000001590189	FRANKLIN US GOVT SEC FUND - CLASS C	0.00	0.71	93.0	0.00	0.66	0.00	0.66
04/30/2018	#000000000799458	FRKLN GOLD & PRECIOUS METALS FUND C	0.00	0.00	93.0	0.00	0.00	0.00	0.00
04/30/2018	#000000000824627	FRKLN GOLD & PRECIOUS METALS FUND C	0.00	11.61	93.0	0.00	10.80	0.00	10.80
04/30/2018	#000000000829871	FRKLN GOLD & PRECIOUS METALS FUND C	0.00	0.63	93.0	0.00	0.59	0.00	0.59
04/30/2018	#000000000869786	ELANCHENNY, FRKLN GOLD & PRECIOUS METALS FUND C	0.00	0.00	93.0	0.00	0.00	0.00	0.00
04/30/2018	#000000000364986	FT MODERATE ALLOC FUND - CLASS C	0.00	9.96	93.0	0.00	9.26	0.00	9.26
04/30/2018	#000000000390169	FT MODERATE ALLOC FUND - CLASS C	0.00	13.63	93.0	0.00	12.68	0.00	12.68
04/30/2018	#000000000390195	FT MODERATE ALLOC FUND - CLASS C	0.00	9.59	93.0	0.00	8.92	0.00	8.92
04/30/2018	#000000000575605	MUTUAL FINANCIAL SERVICES FUND-CLAS	0.00	22.92	93.0	0.00	21.32	0.00	21.32
04/30/2018	#000000000575617	MUTUAL FINANCIAL SERVICES FUND-CLAS	0.00	18.93	93.0	0.00	17.60	0.00	17.60
04/30/2018	#000000000642330	VORA, MUTUAL FINANCIAL SERVICES FUND-CLAS	0.00	132.45	93.0	0.00	123.18	0.00	123.18
04/30/2018	#000000000643027	MUTUAL FINANCIAL SERVICES FUND-CLAS	0.00	419.61	93.0	0.00	390.24	0.00	390.24
04/30/2018	#000000000645010	MUTUAL FINANCIAL SERVICES FUND-CLAS	0.00	41.03	93.0	0.00	38.16	0.00	38.16
04/30/2018	#000000000652558	MUTUAL FINANCIAL SERVICES FUND-CLAS	0.00	4.50	93.0	0.00	4.19	0.00	4.19
04/30/2018	#000000000652560	RICHMAN, MUTUAL FINANCIAL SERVICES FUND-CLAS	0.00	4.50	93.0	0.00	4.19	0.00	4.19
04/30/2018	#000000000657852	SHARMA, MUTUAL FINANCIAL SERVICES FUND-CLAS	0.00	56.31	93.0	0.00	52.37	0.00	52.37
04/30/2018	#000000000658500	MUTUAL FINANCIAL SERVICES FUND-CLAS	0.00	4.25	93.0	0.00	3.95	0.00	3.95
04/30/2018	#000000000678452	ELANCHENNY, MUTUAL FINANCIAL SERVICES FUND-CLAS	0.00	176.38	93.0	0.00	164.03	0.00	164.03
04/30/2018	#0000000008170110	MUTUAL GLOBAL DISCOVERY FUND CL A	0.00	49.90	93.0	0.00	46.41	0.00	46.41
04/30/2018	#000000000018224	TEM INTERNATIONAL BOND FUND - CLASS	0.00	1.32	93.0	0.00	1.23	0.00	1.23
04/30/2018	#000000000018248	TEM INTERNATIONAL BOND FUND - CLASS	0.00	1.55	93.0	0.00	1.44	0.00	1.44
04/30/2018	#000000000018251	TEM INTERNATIONAL BOND FUND - CLASS	0.00	1.55	93.0	0.00	1.44	0.00	1.44
04/30/2018	#0000000001646393	TEMPLETON DEV MRKTS TR FUND CLASS C	0.00	36.01	93.0	0.00	33.49	0.00	33.49
04/30/2018	#0000000001651569	TEMPLETON DEV MRKTS TR FUND CLASS C	0.00	179.09	93.0	0.00	166.55	0.00	166.55
04/30/2018	#0000000001654680	TEMPLETON DEV MRKTS TR FUND CLASS C	0.00	5.53	93.0	0.00	5.14	0.00	5.14
04/30/2018	#0000000003201389	TEMPLETON FUNDS INC FOREIGN FUND CL	0.00	188.19	93.0	0.00	175.02	0.00	175.02
04/30/2018	#0000000003204385	TEMPLETON FUNDS INC FOREIGN FUND CL	0.00	6.80	93.0	0.00	6.32	0.00	6.32
04/30/2018	#000002974334528	SHAVAR TEMPLETON FUNDS INC WORLD FUND CL A	0.00	19.75	93.0	0.00	18.37	0.00	18.37
04/30/2018	#000002974334579	SHAVAR TEMPLETON FUNDS INC WORLD FUND CL A	0.00	12.40	93.0	0.00	11.53	0.00	11.53
04/30/2018	#000006985875264	SHAVAR TEMPLETON GLOBAL BOND FD CL A	0.00	270.54	93.0	0.00	251.60	0.00	251.60

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	#000000000276129	TEMPLETON GLOBAL BOND FUND CL C	0.00	3.52	93.0	0.00	3.27	0.00	3.27
04/30/2018	#000000000276143	TEMPLETON GLOBAL BOND FUND CL C	0.00	2.80	93.0	0.00	2.60	0.00	2.60
04/30/2018	#000000000277121	TEMPLETON GLOBAL BOND FUND CL C	0.00	14.52	93.0	0.00	13.50	0.00	13.50
04/30/2018	#000000000277133	TEMPLETON GLOBAL BOND FUND CL C	0.00	5.93	93.0	0.00	5.51	0.00	5.51
04/30/2018	#000000000425951	TEMPLETON GLOBAL BOND FUND CL C	0.00	8.90	93.0	0.00	8.28	0.00	8.28
04/30/2018	#000000000425963	TEMPLETON GLOBAL BOND FUND CL C	0.00	3.71	93.0	0.00	3.45	0.00	3.45
04/30/2018	#0000000002010318	TEMPLETON GLOBAL BOND FUND CL C	0.00	15.46	93.0	0.00	14.38	0.00	14.38
04/30/2018	#0000000002010371	TEMPLETON GLOBAL BOND FUND CL C	0.00	15.63	93.0	0.00	14.54	0.00	14.54
04/30/2018	#0000000003907490	TEMPLETON GLOBAL BOND FUND CL C	0.00	47.82	93.0	0.00	44.47	0.00	44.47
04/30/2018	#000001981060880 SHAVAR	TEMPLETON GROWTH FUND INC CL A	0.00	9.73	93.0	0.00	9.05	0.00	9.05
04/30/2018	#000001981061072 SHAVAR	TEMPLETON GROWTH FUND INC CL A	0.00	11.16	93.0	0.00	10.38	0.00	10.38
04/30/2018	#0000000002593960	TEMPLETON GROWTH FUND INC CL C	0.00	7.37	93.0	0.00	6.85	0.00	6.85
04/30/2018	#0000000002593972	TEMPLETON GROWTH FUND INC CL C	0.00	0.12	93.0	0.00	0.11	0.00	0.11
04/30/2018	#0000000002726293 RICHMAN,	TEMPLETON GROWTH FUND INC CL C	0.00	3.41	93.0	0.00	3.17	0.00	3.17
04/30/2018	#0000000002726317	TEMPLETON GROWTH FUND INC CL C	0.00	3.29	93.0	0.00	3.06	0.00	3.06
04/30/2018	#0000000002732028	TEMPLETON GROWTH FUND INC CL C	0.00	20.47	93.0	0.00	19.04	0.00	19.04
04/30/2018	#0000000002732042 PATEL,	TEMPLETON GROWTH FUND INC CL C	0.00	18.52	93.0	0.00	17.22	0.00	17.22
04/30/2018	#0000000003305669	TEMPLETON GROWTH FUND INC CL C	0.00	1.39	93.0	0.00	1.29	0.00	1.29
04/30/2018	#0000000003952696	TEMPLETON GROWTH FUND INC CL C	0.00	6.44	93.0	0.00	5.99	0.00	5.99
04/30/2018	#0000000003952708 RICHMAN,	TEMPLETON GROWTH FUND INC CL C	0.00	6.66	93.0	0.00	6.19	0.00	6.19
04/30/2018	#000000000071567	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	4.54	93.0	0.00	4.22	0.00	4.22
04/30/2018	#000000000074613 VORA,	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	48.68	93.0	0.00	45.27	0.00	45.27
04/30/2018	#0000000000118719	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	1.84	93.0	0.00	1.71	0.00	1.71
04/30/2018	#0000000000124507	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	4.61	93.0	0.00	4.29	0.00	4.29
04/30/2018	#0000000000124519	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	4.61	93.0	0.00	4.29	0.00	4.29
04/30/2018	#0000000000168649	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	1.41	93.0	0.00	1.31	0.00	1.31
04/30/2018	#0000000000200725	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	0.00	93.0	0.00	0.00	0.00	0.00
05/07/2018	#03900189779 SAINI, VIPUL	FIDELITY ADV FREEDOM 2020-CL C	0.00	0.07	93.0	0.00	0.07	0.00	0.07
05/07/2018	#03900189786 SHAH-SAINI,	FIDELITY ADV FREEDOM 2020-CL C	0.00	0.07	93.0	0.00	0.07	0.00	0.07
05/07/2018	#03900189779 SAINI, VIPUL	FIDELITY ADV FREEDOM 2025 CL C	0.00	0.06	93.0	0.00	0.06	0.00	0.06
05/07/2018	#03900189786 SHAH-SAINI,	FIDELITY ADV FREEDOM 2025 CL C	0.00	0.06	93.0	0.00	0.06	0.00	0.06
05/07/2018	#01484642077 MENGEWENDE	FIDELITY ADV GLOBAL CAP APP-CL C	0.00	0.41	93.0	0.00	0.38	0.00	0.38
05/07/2018	#01484642127	FIDELITY ADV GLOBAL CAP APP-CL C	0.00	1.05	93.0	0.00	0.98	0.00	0.98
05/07/2018	#01489645067 REDDY, PANNALA	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	6.77	93.0	0.00	6.30	0.00	6.30
05/07/2018	#01484641962 FILOPDIANL	FIDELITY ADVISOR FOCUS FUNDS HEALTH	0.00	1.23	93.0	0.00	1.14	0.00	1.14
05/07/2018	#01484642051 HERSHLORR	FIDELITY ADVISOR FOCUS FUNDS HEALTH	0.00	3.09	93.0	0.00	2.87	0.00	2.87



COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/07/2018	#01484642077	MENGWENDE	FIDELITY ADVISOR FOCUS FUNDS HEALTH	0.00	0.75	93.0	0.00	0.70	0.70
05/07/2018	#01484641962	FILOPDIANL	FIDELITY ADVISOR SER VII BIOTECHNOL	0.00	1.17	93.0	0.00	1.09	1.09
05/07/2018	#01484642051	HERSHLORR	FIDELITY ADVISOR SER VII BIOTECHNOL	0.00	2.94	93.0	0.00	2.73	2.73
05/07/2018	#01484642077	MENGWENDE	FIDELITY ADVISOR SER VII BIOTECHNOL	0.00	0.48	93.0	0.00	0.45	0.45
05/07/2018	#01484642051	HERSHLORR	FIDELITY ADVISOR SER VIII GLOBAL EQ	0.00	2.98	93.0	0.00	2.77	2.77
05/07/2018	#01484642077	MENGWENDE	FIDELITY ADVISOR SER VIII GLOBAL EQ	0.00	0.36	93.0	0.00	0.33	0.33
05/07/2018	#01484642077	MENGWENDE	FIDELITY MID CAP VALUE	0.00	0.75	93.0	0.00	0.70	0.70
05/07/2018	#01484642127		FIDELITY MID CAP VALUE	0.00	0.57	93.0	0.00	0.53	0.53
05/07/2018	#01484642077	MENGWENDE	FIDELITY SMALL CAP BLEND	0.00	0.58	93.0	0.00	0.54	0.54
05/07/2018	#01484642127		FIDELITY SMALL CAP BLEND	0.00	0.39	93.0	0.00	0.36	0.36
05/07/2018	#01484641962	FILOPDIANL	FIDELITY VALUE FUND	0.00	1.15	93.0	0.00	1.07	1.07
05/07/2018	#01484642051	HERSHLORR	FIDELITY VALUE FUND	0.00	2.96	93.0	0.00	2.75	2.75
05/07/2018	#01484642077	MENGWENDE	FIDELITY VALUE FUND	0.00	0.36	93.0	0.00	0.33	0.33
05/07/2018	#01484642127		FIDELITY VALUE FUND	0.00	1.13	93.0	0.00	1.05	1.05
05/07/2018	#01484641962	FILOPDIANL	FIDLEITY INTERMEDIATE	0.00	0.98	93.0	0.00	0.91	0.91
05/07/2018	#01484642051	HERSHLORR	FIDLEITY INTERMEDIATE	0.00	2.49	93.0	0.00	2.32	2.32
05/07/2018	#01484642127		FIDLEITY INTERMEDIATE	0.00	1.32	93.0	0.00	1.23	1.23
05/07/2018	#01489645067	REDDY, PANNALA	FIDLEITY INTERMEDIATE	0.00	6.54	93.0	0.00	6.08	6.08
05/07/2018	#03900189779	SAINI, VIPUL	FIDLEITY INTERMEDIATE	0.00	0.01	93.0	0.00	0.01	0.01
05/07/2018	#03900189786	SHAH-SAINI,	FIDLEITY INTERMEDIATE	0.00	0.01	93.0	0.00	0.01	0.01
05/09/2018	#	SHARMA, MADHU	DAIN TRAILER	0.00	6.70	93.0	0.00	6.23	6.23
05/09/2018	#	SHARMA, MADHU	DAIN TRAILER	0.00	14.12	93.0	0.00	13.13	13.13
05/09/2018	#	SHARMA, MADHU	DAIN TRAILER	0.00	3.55	93.0	0.00	3.30	3.30
05/09/2018	#	SHARMA, MADHU	DAIN TRAILER	0.00	2.58	93.0	0.00	2.40	2.40
05/09/2018	#	SHARMA, MADHU	DAIN TRAILER	0.00	2.14	93.0	0.00	1.99	1.99
05/09/2018	#	SHARMA, MADHU	DAIN TRAILER	0.00	17.24	93.0	0.00	16.03	16.03
05/09/2018	#	SHARMA, MADHU	DAIN TRAILER	0.00	42.74	93.0	0.00	39.75	39.75
05/09/2018	#	SHARMA, MADHU	DAIN TRAILER	0.00	9.20	93.0	0.00	8.56	8.56
05/09/2018	#	SHARMA, MADHU	DAIN TRAILER	0.00	1.89	93.0	0.00	1.76	1.76
05/09/2018	#	SHARMA, MADHU	DAIN TRAILER	0.00	1.39	93.0	0.00	1.29	1.29
05/09/2018	#	SHARMA, MADHU	DAIN TRAILER	0.00	4.46	93.0	0.00	4.15	4.15
05/09/2018	#	SHARMA, RAJ	DAIN TRAILER	0.00	16.53	93.0	0.00	15.37	15.37
05/09/2018	#	SHARMA, RAJ	DAIN TRAILER	0.00	0.86	93.0	0.00	0.80	0.80
05/09/2018	#	SHARMA, RAJ	DAIN TRAILER	0.00	2.18	93.0	0.00	2.03	2.03
05/09/2018	#	SHARMA, RAJ	DAIN TRAILER	0.00	1.39	93.0	0.00	1.29	1.29
05/09/2018	#	SHARMA, RAJ	DAIN TRAILER	0.00	5.35	93.0	0.00	4.98	4.98

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	# SHARMA, RAJ	DAIN TRAILER	0.00	16.93	93.0	0.00	15.74	0.00	15.74
05/09/2018	# SHARMA, RAJ	DAIN TRAILER	0.00	11.45	93.0	0.00	10.65	0.00	10.65
05/09/2018	# DESAI, KIRIT	DAIN TRAILER	0.00	37.00	93.0	0.00	34.41	0.00	34.41
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	6.95	93.0	0.00	6.46	0.00	6.46
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	7.77	93.0	0.00	7.23	0.00	7.23
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	8.55	93.0	0.00	7.95	0.00	7.95
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	26.02	93.0	0.00	24.20	0.00	24.20
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	13.92	93.0	0.00	12.95	0.00	12.95
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	24.67	93.0	0.00	22.94	0.00	22.94
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	13.07	93.0	0.00	12.16	0.00	12.16
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	26.19	93.0	0.00	24.36	0.00	24.36
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	275.66	93.0	0.00	256.36	0.00	256.36
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	68.13	93.0	0.00	63.36	0.00	63.36
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	8.97	93.0	0.00	8.34	0.00	8.34
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	15.16	93.0	0.00	14.10	0.00	14.10
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	6.99	93.0	0.00	6.50	0.00	6.50
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	6.56	93.0	0.00	6.10	0.00	6.10
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	20.40	93.0	0.00	18.97	0.00	18.97
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	8.98	93.0	0.00	8.35	0.00	8.35
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	3.58	93.0	0.00	3.33	0.00	3.33
05/09/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	4.35	93.0	0.00	4.05	0.00	4.05
05/09/2018	# ELANCHENNY, VYTHILINGAM	DAIN TRAILER	0.00	7.13	93.0	0.00	6.63	0.00	6.63
05/09/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	3.86	93.0	0.00	3.59	0.00	3.59
05/09/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	11.65	93.0	0.00	10.83	0.00	10.83
05/09/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	52.82	93.0	0.00	49.12	0.00	49.12
05/09/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	3.09	93.0	0.00	2.87	0.00	2.87
05/09/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	45.89	93.0	0.00	42.68	0.00	42.68
05/09/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	50.58	93.0	0.00	47.04	0.00	47.04
05/09/2018	# SHARMA, RAJ	DAIN TRAILER	0.00	31.88	93.0	0.00	29.65	0.00	29.65
05/09/2018	# SHARMA, RAJ	DAIN TRAILER	0.00	31.74	93.0	0.00	29.52	0.00	29.52
05/09/2018	# SHARMA, RAJ	DAIN TRAILER	0.00	27.89	93.0	0.00	25.94	0.00	25.94
05/09/2018	# SHAH, BHARAT	DAIN TRAILER	0.00	0.01	93.0	0.00	0.01	0.00	0.01
05/09/2018	# SHAH, BHARAT	DAIN TRAILER	0.00	35.90	93.0	0.00	33.39	0.00	33.39
05/09/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	3.47	93.0	0.00	3.23	0.00	3.23
05/09/2018	# VORA, SHAILA	DAIN TRAILER	0.00	9.61	93.0	0.00	8.94	0.00	8.94
05/09/2018	# VORA, SHAILA	DAIN TRAILER	0.00	9.97	93.0	0.00	9.27	0.00	9.27

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	# VORA, SHAILA	DAIN TRAILER	0.00	9.52	93.0	0.00	8.85	0.00	8.85
05/09/2018	# VORA, SHAILA	DAIN TRAILER	0.00	10.28	93.0	0.00	9.56	0.00	9.56
05/09/2018	# VORA, SHAILA	DAIN TRAILER	0.00	9.99	93.0	0.00	9.29	0.00	9.29
05/09/2018	# VORA, SHAILA	DAIN TRAILER	0.00	14.28	93.0	0.00	13.28	0.00	13.28
05/09/2018	# VORA, SHAILA	DAIN TRAILER	0.00	15.00	93.0	0.00	13.95	0.00	13.95
05/09/2018	# WYNN, MARY	DAIN TRAILER	0.00	0.21	93.0	0.00	0.20	0.00	0.20
05/09/2018	# VORA, VASANT	DAIN TRAILER	0.00	4.63	93.0	0.00	4.31	0.00	4.31
05/09/2018	# VORA, VASANT	DAIN TRAILER	0.00	0.63	93.0	0.00	0.59	0.00	0.59
05/09/2018	# VORA, VASANT	DAIN TRAILER	0.00	3.07	93.0	0.00	2.86	0.00	2.86
05/09/2018	# VORA, VASANT	DAIN TRAILER	0.00	4.50	93.0	0.00	4.19	0.00	4.19
05/09/2018	# VORA, VASANT	DAIN TRAILER	0.00	3.68	93.0	0.00	3.42	0.00	3.42
05/09/2018	# VORA, VASANT	DAIN TRAILER	0.00	1.35	93.0	0.00	1.26	0.00	1.26
05/09/2018	# VORA, VASANT	DAIN TRAILER	0.00	1.23	93.0	0.00	1.14	0.00	1.14
05/09/2018	# VORA, VASANT	DAIN TRAILER	0.00	3.70	93.0	0.00	3.44	0.00	3.44
05/09/2018	# VORA, VASANT	DAIN TRAILER	0.00	8.06	93.0	0.00	7.50	0.00	7.50
05/09/2018	# VORA, VASANT	DAIN TRAILER	0.00	15.45	93.0	0.00	14.37	0.00	14.37
05/09/2018	# VORA, VASANT	DAIN TRAILER	0.00	0.26	93.0	0.00	0.24	0.00	0.24
05/09/2018	# VORA, VASANT	DAIN TRAILER	0.00	0.45	93.0	0.00	0.42	0.00	0.42
05/09/2018	# SHAH, BHARAT	DAIN TRAILER	0.00	8.65	93.0	0.00	8.04	0.00	8.04
05/09/2018	# SHAH, BHARAT	DAIN TRAILER	0.00	2.50	93.0	0.00	2.33	0.00	2.33
05/09/2018	# SHAH, BHARAT	DAIN TRAILER	0.00	23.89	93.0	0.00	22.22	0.00	22.22
05/09/2018	# SHAH, BHARAT	DAIN TRAILER	0.00	6.93	93.0	0.00	6.44	0.00	6.44
05/09/2018	# SHAH, BHARAT	DAIN TRAILER	0.00	7.01	93.0	0.00	6.52	0.00	6.52
05/09/2018	# SHAH, BHARAT	DAIN TRAILER	0.00	3.74	93.0	0.00	3.48	0.00	3.48
05/09/2018	# SHAH, BHARAT	DAIN TRAILER	0.00	8.44	93.0	0.00	7.85	0.00	7.85
05/09/2018	# SHAH, BHARAT	DAIN TRAILER	0.00	6.40	93.0	0.00	5.95	0.00	5.95
05/09/2018	# SHAH, BHARAT	DAIN TRAILER	0.00	3.86	93.0	0.00	3.59	0.00	3.59
05/09/2018	# SHAH, BHARAT	DAIN TRAILER	0.00	6.05	93.0	0.00	5.63	0.00	5.63
05/09/2018	# SHAH, BHARAT	DAIN TRAILER	0.00	4.03	93.0	0.00	3.75	0.00	3.75
05/09/2018	# SHAH, BHARAT	DAIN TRAILER	0.00	12.36	93.0	0.00	11.49	0.00	11.49
05/09/2018	# SHAH, BHARAT	DAIN TRAILER	0.00	3.89	93.0	0.00	3.62	0.00	3.62
05/09/2018	# SHAH, BHARAT	DAIN TRAILER	0.00	21.11	93.0	0.00	19.63	0.00	19.63
05/09/2018	# PEER, MEETA	DAIN TRAILER	0.00	6.73	93.0	0.00	6.26	0.00	6.26
05/09/2018	# PEER, MEETA	DAIN TRAILER	0.00	4.37	93.0	0.00	4.06	0.00	4.06
05/09/2018	# PEER, MEETA	DAIN TRAILER	0.00	5.34	93.0	0.00	4.97	0.00	4.97
05/09/2018	# VORA, SHAILA	DAIN TRAILER	0.00	1.02	93.0	0.00	0.95	0.00	0.95

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018 #	VORA, SHAILA	DAIN TRAILER	0.00	0.32	93.0	0.00	0.30	0.00	0.30
05/09/2018 #	VORA, SHAILA	DAIN TRAILER	0.00	0.13	93.0	0.00	0.12	0.00	0.12
05/09/2018 #	VORA, SHAILA	DAIN TRAILER	0.00	0.53	93.0	0.00	0.49	0.00	0.49
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	9.61	93.0	0.00	8.94	0.00	8.94
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	9.97	93.0	0.00	9.27	0.00	9.27
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	9.52	93.0	0.00	8.85	0.00	8.85
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	10.28	93.0	0.00	9.56	0.00	9.56
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	9.69	93.0	0.00	9.01	0.00	9.01
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	9.99	93.0	0.00	9.29	0.00	9.29
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	25.00	93.0	0.00	23.25	0.00	23.25
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	22.27	93.0	0.00	20.71	0.00	20.71
05/09/2018 #	GOSRANI, KANTILAL	DAIN TRAILER	0.00	8.98	93.0	0.00	8.35	0.00	8.35
05/09/2018 #	GOSRANI, KANTILAL	DAIN TRAILER	0.00	7.99	93.0	0.00	7.43	0.00	7.43
05/09/2018 #	SHARMA, STEVEN	DAIN TRAILER	0.00	1.79	93.0	0.00	1.66	0.00	1.66
05/09/2018 #	SHARMA, STEVEN	DAIN TRAILER	0.00	1.24	93.0	0.00	1.15	0.00	1.15
05/09/2018 #	SHARMA, STEVEN	DAIN TRAILER	0.00	1.45	93.0	0.00	1.35	0.00	1.35
05/09/2018 #	SHARMA, STEVEN	DAIN TRAILER	0.00	0.59	93.0	0.00	0.55	0.00	0.55
05/09/2018 #	PATEL, URMILA	DAIN TRAILER	0.00	51.37	93.0	0.00	47.77	0.00	47.77
05/09/2018 #	BHARAT C SHAH TTEE	DAIN TRAILER	0.00	3.76	93.0	0.00	3.50	0.00	3.50
05/09/2018 #	BHARAT C SHAH TTEE	DAIN TRAILER	0.00	36.13	93.0	0.00	33.60	0.00	33.60
05/09/2018 #	PATEL, PRAVIN	DAIN TRAILER	0.00	36.22	93.0	0.00	33.68	0.00	33.68
05/09/2018 #	PATEL, PRAVIN	DAIN TRAILER	0.00	44.43	93.0	0.00	41.32	0.00	41.32
05/09/2018 #	PATEL, PRAVIN	DAIN TRAILER	0.00	87.31	93.0	0.00	81.20	0.00	81.20
05/09/2018 #	PATEL, PRAVIN	DAIN TRAILER	0.00	80.23	93.0	0.00	74.61	0.00	74.61
05/09/2018 #	PATEL, PRAVIN	DAIN TRAILER	0.00	68.62	93.0	0.00	63.82	0.00	63.82
05/09/2018 #	BHATT, VARSHA	DAIN TRAILER	0.00	3.32	93.0	0.00	3.09	0.00	3.09
05/09/2018 #	BHATT, VARSHA	DAIN TRAILER	0.00	3.17	93.0	0.00	2.95	0.00	2.95
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	0.03	93.0	0.00	0.03	0.00	0.03
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	1.83	93.0	0.00	1.70	0.00	1.70
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	0.78	93.0	0.00	0.73	0.00	0.73
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	1.67	93.0	0.00	1.55	0.00	1.55
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	7.81	93.0	0.00	7.26	0.00	7.26
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	19.65	93.0	0.00	18.27	0.00	18.27
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	1.92	93.0	0.00	1.79	0.00	1.79
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	3.05	93.0	0.00	2.84	0.00	2.84
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	2.57	93.0	0.00	2.39	0.00	2.39

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	10.27	93.0	0.00	9.55	0.00	9.55
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	9.49	93.0	0.00	8.83	0.00	8.83
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	3.50	93.0	0.00	3.26	0.00	3.26
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	0.51	93.0	0.00	0.47	0.00	0.47
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	3.47	93.0	0.00	3.23	0.00	3.23
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	8.78	93.0	0.00	8.17	0.00	8.17
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	14.48	93.0	0.00	13.47	0.00	13.47
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	6.15	93.0	0.00	5.72	0.00	5.72
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	19.07	93.0	0.00	17.74	0.00	17.74
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	2.94	93.0	0.00	2.73	0.00	2.73
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	2.19	93.0	0.00	2.04	0.00	2.04
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	2.08	93.0	0.00	1.93	0.00	1.93
05/09/2018 #	VORA, VASANT	DAIN TRAILER	0.00	7.42	93.0	0.00	6.90	0.00	6.90
05/09/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	7.93	93.0	0.00	7.37	0.00	7.37
05/09/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	0.57	93.0	0.00	0.53	0.00	0.53
05/09/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	0.89	93.0	0.00	0.83	0.00	0.83
05/09/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	27.56	93.0	0.00	25.63	0.00	25.63
05/09/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	31.25	93.0	0.00	29.06	0.00	29.06
05/09/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	57.23	93.0	0.00	53.22	0.00	53.22
05/09/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	1.35	93.0	0.00	1.26	0.00	1.26
05/09/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	47.47	93.0	0.00	44.15	0.00	44.15
05/09/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	19.05	93.0	0.00	17.72	0.00	17.72
05/09/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	10.09	93.0	0.00	9.38	0.00	9.38
05/09/2018 #	VENKATESWARA CHODAPANEEDI	DAIN TRAILER	0.00	48.84	93.0	0.00	45.42	0.00	45.42
05/09/2018 #	ROBERT PAPA TTEE	DAIN TRAILER	0.00	3.76	93.0	0.00	3.50	0.00	3.50
05/09/2018 #	ROBERT PAPA TTEE	DAIN TRAILER	0.00	2.93	93.0	0.00	2.72	0.00	2.72
05/09/2018 #	ROBERT PAPA TTEE	DAIN TRAILER	0.00	1.71	93.0	0.00	1.59	0.00	1.59
05/09/2018 #	ROBERT PAPA TTEE	DAIN TRAILER	0.00	2.30	93.0	0.00	2.14	0.00	2.14
05/09/2018 #	PATEL, GRISHMA	DAIN TRAILER	0.00	0.48	93.0	0.00	0.45	0.00	0.45
05/09/2018 #	PATEL, GRISHMA	DAIN TRAILER	0.00	0.89	93.0	0.00	0.83	0.00	0.83
05/09/2018 #	PATEL, GRISHMA	DAIN TRAILER	0.00	5.06	93.0	0.00	4.71	0.00	4.71
05/09/2018 #	PATEL, GRISHMA	DAIN TRAILER	0.00	2.63	93.0	0.00	2.45	0.00	2.45
05/14/2018 #00777302175	VARIOUS SHAH	JOHN HANCOCK FDS III STRATEGIC GROW	0.00	2.92	93.0	0.00	2.72	0.00	2.72
05/14/2018 #00777302124	VARIOUS SHAH	JOHN HANCOCK HIGH YIELD FUND CL A	0.00	2.03	93.0	0.00	1.89	0.00	1.89
05/14/2018 #00777185294	VARIOUS SHAH	JOHN HANCOCK REGIONAL BANK FUND-CL	0.00	17.50	93.0	0.00	16.28	0.00	16.28
05/18/2018 #	VORA, VASANT	DAIN TRAILER	0.00	16.82	93.0	0.00	15.64	0.00	15.64

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
05/24/2018 #	SHARMA, MADHU	DAIN TRAILER	0.00	2.64	93.0	0.00	2.46	0.00	2.46
05/24/2018 #	AMBANI, NARENDRA	DAIN TRAILER	0.00	5.66	93.0	0.00	5.26	0.00	5.26
05/24/2018 #	PEER, MEETA	DAIN TRAILER	0.00	7.03	93.0	0.00	6.54	0.00	6.54
05/24/2018 #	GOSRANI, VENA	DAIN TRAILER	0.00	1.33	93.0	0.00	1.24	0.00	1.24
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$8,001.85		\$0.00	\$7,441.76	\$0.00	\$7,441.76
*** BROKER TRANSACTIONS TOTAL ***			\$1,973,742.66	\$10,776.25		\$0.00	\$9,855.55	\$239.40	\$9,616.15

ADJUSTMENTS

06/20/2018	ADMIN FEE: ADMIN FEE	-390.00
06/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
06/20/2018	MISCELLANEOUS: MISCELLANEOUS RBC	-77.52
	MISC WRITE OFF	
*** ADJUSTMENTS TOTAL ***		\$-646.52

BROKER COMMISSION TOTALS

Broker Transactions	\$9,616.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-646.52
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,969.63
--------------	------------