

**COMMISSION STATEMENT****August 15, 2018**

101 CFGROUP/KOSANKE
1120 E. Long Lake Road
Suite 250
Troy, MI 48085

BROKER #: 101
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
07/09/2018	O'NEAL, ALBERT	FORD MOTOR CO DEL COM PAR	13,522.35	270.00	14.00	256.00	95.0	243.20
		* STOCKS SUBTOTAL *	\$13,522.35	\$270.00	\$14.00	\$256.00		\$243.20
		*** CLEARING TRANSACTIONS TOTAL ***	\$13,522.35	\$270.00	\$14.00	\$256.00		\$243.20
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
08/08/2018	BROOKS MGMT LLC	INLAND HEALTHCARE HOSPITALITY DST	1,119,000.00	55,950.00	0.00	55,950.00	95.0	53,152.50
08/08/2018	BROOKS MGMT LLC	INLAND ZERO COUPON CHICAGOLAND DST	116,927.53	5,846.38	0.00	5,846.38	95.0	5,554.06
		* 1031 EXCHANGES SUBTOTAL *	\$1,235,927.53	\$61,796.38	\$0.00	\$61,796.38		\$58,706.56
<u>PRODUCT CATEGORY: 529 PLANS</u>								
07/31/2018	VARTABEDIAN, LISA	AM FUNDS CAPITAL INCOME BUILDER 529	6,500.00	65.00	0.00	65.00	95.0	61.75
07/31/2018	VARTABEDIAN, LISA	AM FUNDS CAPITAL INCOME BUILDER 529	6,500.00	65.00	0.00	65.00	95.0	61.75
07/23/2018	SILVERSTEIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	1.88	0.00	1.88	95.0	1.79
08/01/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
08/01/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
08/01/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
07/31/2018	VARTABEDIAN, LISA	AM FUNDS NEW WORLD 529C	6,500.00	65.00	0.00	65.00	95.0	61.75
07/31/2018	VARTABEDIAN, LISA	AM FUNDS NEW WORLD 529C	6,500.00	65.00	0.00	65.00	95.0	61.75
07/30/2018	MOELLER, RICHARD	BLACK ROCK 67776G527	50.00	0.50	0.00	0.50	95.0	0.48
07/30/2018	CFGROUP, VARIOUS	BLACK ROCK 67776G527	50.00	0.50	0.00	0.50	95.0	0.48
/ /	WETZEL, DIANNE	BLACK ROCK 67776G543	0.00	0.50	0.00	0.50	95.0	0.48
07/30/2018	WETZEL, DIANNE	BLACK ROCK 67776G543	50.00	0.50	0.00	0.50	95.0	0.48
/ /	MOELLER, RICHARD	BLACKROCK 67776G493	0.00	0.50	0.00	0.50	95.0	0.48
07/30/2018	MOELLER, RICHARD	BLACKROCK 67776G477	50.00	0.50	0.00	0.50	95.0	0.48

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
* 529 PLANS SUBTOTAL *			\$26,400.00	\$270.52	\$0.00	\$270.52		\$257.04
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/20/2018	BRADEN, TERRI	ALLIANCEBERNSTEIN GROWTH B	50.00	2.00	0.00	2.00	95.0	1.90
07/25/2018	SILVERSTEIN, MICHAEL	AM FUNDS AMCAP A	50.00	1.88	0.00	1.88	95.0	1.79
07/25/2018	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	2.55	0.00	2.55	95.0	2.42
07/23/2018	MI/TOD	AM FUNDS AMERICAN MUTUAL C	2,752.23	27.52	0.00	27.52	95.0	26.14
07/24/2018	MI/TOD	AM FUNDS AMERICAN MUTUAL C	100.00	1.00	0.00	1.00	95.0	0.95
08/01/2018	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	95.0	3.03
08/03/2018	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	240.00	4.80	0.00	4.80	95.0	4.56
08/03/2018	ALVEY, SHERRIE	AM FUNDS CAPITAL WORLD GRTH & INC A	62.50	1.25	0.00	1.25	95.0	1.19
08/03/2018	GINGELL, PAUL	AM FUNDS EUROPACIFIC GROWTH FUND A	200.00	4.00	0.00	4.00	95.0	3.80
08/01/2018	BAILEY, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	95.0	2.38
08/03/2018	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	200.00	4.00	0.00	4.00	95.0	3.80
08/03/2018	ALVEY, SHERRIE	AM FUNDS GROWTH FUND OF AMERICA A	62.50	1.25	0.00	1.25	95.0	1.19
08/03/2018	KEMP, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	95.0	2.02
07/27/2018	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
07/31/2018	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
08/01/2018	BAILEY, STEVEN	AM FUNDS ICA A	50.00	2.50	0.00	2.50	95.0	2.38
08/02/2018	MCLAUGHLIN, TRACY	AM FUNDS ICA A	150.00	6.38	0.00	6.38	95.0	6.06
08/03/2018	GINGELL, PAUL	AM FUNDS ICA A	200.00	4.00	0.00	4.00	95.0	3.80
08/03/2018	ALVEY, SHERRIE	AM FUNDS ICA A	62.50	1.25	0.00	1.25	95.0	1.19
08/03/2018	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	200.00	4.00	0.00	4.00	95.0	3.80
08/03/2018	ALVEY, SHERRIE	AM FUNDS SMALLCAP WORLD A	62.50	1.25	0.00	1.25	95.0	1.19
07/27/2018	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
07/23/2018	BAKEWELL, RALPH	DWS HIGH INCOME A	100.00	4.00	0.00	4.00	95.0	3.80
07/25/2018	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
/ /	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	0.00	3.75	0.00	3.75	95.0	3.56
07/17/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	100.00	3.75	0.00	3.75	95.0	3.56
07/17/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	100.00	3.75	0.00	3.75	95.0	3.56
07/25/2018	DAIZA, PHILLIP	INVESCO CHARTER FUND C	50.00	0.50	0.00	0.50	95.0	0.48
07/17/2018	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	62.50	2.97	0.00	2.97	95.0	2.82
07/17/2018	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	33.77	1.60	0.00	1.60	95.0	1.52
/ /	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	0.00	0.40	0.00	0.40	95.0	0.38

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/16/2018	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
07/16/2018	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
07/16/2018	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	255.00	2.55	0.00	2.55	95.0	2.42
07/16/2018	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	45.90	0.46	0.00	0.46	95.0	0.44
07/16/2018	DINKA, JOHN	OPPENHEIMER CAPITAL APPRECIATION C	150.00	1.50	0.00	1.50	95.0	1.43
07/16/2018	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	120.00	1.20	0.00	1.20	95.0	1.14
07/16/2018	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	41.21	0.41	0.00	0.41	95.0	0.39
07/16/2018	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	84.02	0.84	0.00	0.84	95.0	0.80
07/16/2018	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	50.41	0.50	0.00	0.50	95.0	0.48
07/17/2018	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	40.38	0.40	0.00	0.40	95.0	0.38
07/23/2018	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	24.05	0.24	0.00	0.24	95.0	0.23
07/23/2018	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	14.43	0.14	0.00	0.14	95.0	0.13
/ /	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	0.00	2.97	0.00	2.97	95.0	2.82
07/17/2018	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	33.77	1.60	0.00	1.60	95.0	1.52
/ /	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	0.00	0.60	0.00	0.60	95.0	0.57
07/16/2018	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	20.61	0.21	0.00	0.21	95.0	0.20
/ /	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	0.00	2.00	0.00	2.00	95.0	1.90
07/23/2018	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	35.24	0.35	0.00	0.35	95.0	0.33
/ /	DITRI, ANN	OPPENHEIMER GLOBAL C	0.00	2.48	0.00	2.48	95.0	2.36
07/16/2018	DITRI, ANN	OPPENHEIMER GLOBAL C	44.55	0.45	0.00	0.45	95.0	0.43
07/23/2018	ELIAS, JANICE	OPPENHEIMER GLOBAL C	23.33	0.23	0.00	0.23	95.0	0.22
07/23/2018	ELIAS, JANICE	OPPENHEIMER GLOBAL C	14.00	0.14	0.00	0.14	95.0	0.13
/ /	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	0.40	0.00	0.40	95.0	0.38
07/16/2018	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	37.50	0.38	0.00	0.38	95.0	0.36
07/16/2018	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	32.22	0.32	0.00	0.32	95.0	0.30
07/16/2018	DINKA, JOHN	OPPENHEIMER GLOBAL OPPORTUNITIES C	75.00	0.75	0.00	0.75	95.0	0.71
07/16/2018	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	120.00	1.20	0.00	1.20	95.0	1.14
07/16/2018	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	41.22	0.41	0.00	0.41	95.0	0.39
07/17/2018	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	40.38	0.40	0.00	0.40	95.0	0.38
07/23/2018	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	199.67	2.00	0.00	2.00	95.0	1.90
07/23/2018	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	35.24	0.35	0.00	0.35	95.0	0.33
07/17/2018	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	40.38	0.40	0.00	0.40	95.0	0.38
07/17/2018	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	40.38	0.40	0.00	0.40	95.0	0.38

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BAKER, STEVEN	OPPENHEIMER INTL BOND C	0.00	0.84	0.00	0.84	95.0	0.80
07/16/2018	BAKER, STEVEN	OPPENHEIMER INTL BOND C	50.42	0.50	0.00	0.50	95.0	0.48
07/16/2018	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	200.00	0.84	0.00	0.84	95.0	0.80
07/16/2018	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	50.41	0.50	0.00	0.50	95.0	0.48
/ /	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	0.00	2.97	0.00	2.97	95.0	2.82
07/17/2018	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	33.76	1.60	0.00	1.60	95.0	1.52
/ /	DAMORE, MONICA	OPPENHEIMER INTL SMALL CO C	0.00	2.06	0.00	2.06	95.0	1.96
07/23/2018	DAMORE, MONICA	OPPENHEIMER INTL SMALL CO C	36.30	0.36	0.00	0.36	95.0	0.34
/ /	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	0.00	0.25	0.00	0.25	95.0	0.24
07/16/2018	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	25.00	0.25	0.00	0.25	95.0	0.24
07/23/2018	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	23.33	0.23	0.00	0.23	95.0	0.22
07/23/2018	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	14.00	0.14	0.00	0.14	95.0	0.13
/ /	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	0.40	0.00	0.40	95.0	0.38
07/17/2018	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	40.38	0.40	0.00	0.40	95.0	0.38
07/16/2018	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	37.50	0.38	0.00	0.38	95.0	0.36
07/16/2018	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	32.22	0.32	0.00	0.32	95.0	0.30
07/23/2018	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	1,400.00	0.50	0.00	0.50	95.0	0.48
07/16/2018	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	111.12	1.11	0.00	1.11	95.0	1.05
07/23/2018	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	1,300.00	1.50	0.00	1.50	95.0	1.43
/ /	RENTROP, GARRETT	OPPENHEIMER QUEST BALANCED C	0.00	0.40	0.00	0.40	95.0	0.38
07/17/2018	RENTROP, GARRETT	OPPENHEIMER QUEST BALANCED C	40.38	0.40	0.00	0.40	95.0	0.38
/ /	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	0.00	0.75	0.00	0.75	95.0	0.71
07/16/2018	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	64.42	0.64	0.00	0.64	95.0	0.61
07/16/2018	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	600.00	6.00	0.00	6.00	95.0	5.70
07/16/2018	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	88.13	0.88	0.00	0.88	95.0	0.84
07/16/2018	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	84.02	0.84	0.00	0.84	95.0	0.80
07/16/2018	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	50.41	0.50	0.00	0.50	95.0	0.48
/ /	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	0.00	1.00	0.00	1.00	95.0	0.95
07/23/2018	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	92.61	0.93	0.00	0.93	95.0	0.88
/ /	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	0.00	0.80	0.00	0.80	95.0	0.76
07/16/2018	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	80.00	0.80	0.00	0.80	95.0	0.76
/ /	DITRI, ANN	OPPENHEIMER VALUE C	0.00	2.48	0.00	2.48	95.0	2.36
07/16/2018	DITRI, ANN	OPPENHEIMER VALUE C	44.55	0.45	0.00	0.45	95.0	0.43

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/16/2018	DINKA, JOHN	OPPENHEIMER VALUE C	150.00	1.50	0.00	1.50	95.0	1.43
* MUTUAL FUNDS SUBTOTAL *			\$11,856.35	\$170.23	\$0.00	\$170.23		\$161.77
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/03/2018	ZERILLI, SALVATORE	ALGER CAPITAL APPRECIATION A	0.00	7.84	0.00	7.84	95.0	7.45
07/03/2018	COOPER, IAN	ALGER CAPITAL APPRECIATION A	0.00	32.00	0.00	32.00	95.0	30.40
07/03/2018	RICHARDS, JAKOB	ALGER CAPITAL APPRECIATION C	0.00	3.30	0.00	3.30	95.0	3.14
07/03/2018	RICHARDS, JAKOB	ALGER CAPITAL APPRECIATION C	0.00	1.19	0.00	1.19	95.0	1.13
07/03/2018	RICHARDS, JAKOB	ALGER HEALTH SCIENCES C	0.00	3.68	0.00	3.68	95.0	3.50
07/03/2018	RICHARDS, JAKOB	ALGER HEALTH SCIENCES C	0.00	1.27	0.00	1.27	95.0	1.21
07/03/2018	ZERILLI, SALVATORE	ALGER LARGECAP GROWTH A	0.00	3.28	0.00	3.28	95.0	3.12
07/03/2018	SEKULA, MICHAEL	ALGER LARGECAP GROWTH A	0.00	1.12	0.00	1.12	95.0	1.06
07/03/2018	SEKULA, MICHAEL	ALGER LARGECAP GROWTH A	0.00	1.12	0.00	1.12	95.0	1.06
07/03/2018	SEKULA, KRISTINA	ALGER LARGECAP GROWTH A	0.00	1.12	0.00	1.12	95.0	1.06
07/03/2018	SEGARRA, AMY	ALGER LARGECAP GROWTH A	0.00	0.03	0.00	0.03	95.0	0.03
07/03/2018	NEUER-MIHALAK, LORIE	ALGER LARGECAP GROWTH A	0.00	4.20	0.00	4.20	95.0	3.99
07/03/2018	BRUGLIO, JULIA	ALGER LARGECAP GROWTH A	0.00	0.04	0.00	0.04	95.0	0.04
07/03/2018	RICHARDS, JAKOB	ALGER LARGECAP GROWTH C	0.00	2.92	0.00	2.92	95.0	2.77
07/03/2018	RICHARDS, JAKOB	ALGER LARGECAP GROWTH C	0.00	1.15	0.00	1.15	95.0	1.09
07/03/2018	NEUER-MIHALAK, LORIE	ALGER MIDCAP GROWTH A	0.00	6.43	0.00	6.43	95.0	6.11
07/03/2018	MUNTEANU, RADU	ALGER MIDCAP GROWTH A	0.00	24.18	0.00	24.18	95.0	22.97
07/03/2018	MORSE, TRACY	ALGER MIDCAP GROWTH A	0.00	0.14	0.00	0.14	95.0	0.13
07/03/2018	NEUER-MIHALAK, LORIE	ALGER MIDCAP GROWTH B	0.00	1.03	0.00	1.03	95.0	0.98
07/03/2018	RICHARDS, JAKOB	ALGER MIDCAP GROWTH C	0.00	3.71	0.00	3.71	95.0	3.52
07/03/2018	RICHARDS, JAKOB	ALGER MIDCAP GROWTH C	0.00	1.25	0.00	1.25	95.0	1.19
07/03/2018	HUANG, CONNIE LING	ALGER SMALLCAP GROWTH A	0.00	0.47	0.00	0.47	95.0	0.45
/ /	BLEIL, STEPHEN	FIDELITY ADV GOLD	0.00	0.07	0.00	0.07	95.0	0.07
/ /	ROCCA, JACQUELYN	FIDELITY ADV GROWTH OPP	0.00	0.70	0.00	0.70	95.0	0.67
/ /	ROCCA, ALEX	FIDELITY ADV GROWTH OPP	0.00	0.86	0.00	0.86	95.0	0.82
/ /	ROCCA, AARON	FIDELITY ADV GROWTH OPP	0.00	0.86	0.00	0.86	95.0	0.82
/ /	MCQUEENEY, JULIE	FIDELITY ADV GROWTH OPP	0.00	1.39	0.00	1.39	95.0	1.32
/ /	FELIS-ROSS, CAROL	FIDELITY ADV GROWTH OPP	0.00	0.11	0.00	0.11	95.0	0.10
/ /	SCALISE, CYNTHIA	FIDELITY ADV GROWTH OPP	0.00	1.63	0.00	1.63	95.0	1.55
/ /	DUDZIK, ELZBIETA	FIDELITY ADV GROWTH OPP	0.00	31.37	0.00	31.37	95.0	29.80

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	VIVIANO, JASON	FIDELITY ADV GROWTH OPP A	0.00	2.32	0.00	2.32	95.0	2.20
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV HEALTH CARE T	0.00	15.47	0.00	15.47	95.0	14.70
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV LARGE CAP	0.00	10.48	0.00	10.48	95.0	9.96
/ /	HERWARTH, CHARLES	FIDELITY ADV LARGE CAP A	0.00	2.09	0.00	2.09	95.0	1.99
/ /	FELIS-ROSS, CAROL	FIDELITY ADV MORTGAGE SECURITIES T	0.00	0.10	0.00	0.10	95.0	0.10
/ /	SCALISE, CYNTHIA	FIDELITY ADV MORTGAGE SECURITIES T	0.00	1.63	0.00	1.63	95.0	1.55
/ /	HERWARTH, CHARLES	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	2.40	0.00	2.40	95.0	2.28
/ /	DUDZIK, ELZBIETA	FIDELITY BALANCED T	0.00	21.87	0.00	21.87	95.0	20.78
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MARKETS	0.00	0.09	0.00	0.09	95.0	0.09
/ /	ROCCA, ALEX	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	ROCCA, AARON	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MARKETS	0.00	3.28	0.00	3.28	95.0	3.12
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MKTS T	0.00	0.28	0.00	0.28	95.0	0.27
/ /	ROCCA, ALEX	FIDELITY EMERGING MKTS T	0.00	0.18	0.00	0.18	95.0	0.17
/ /	ROCCA, AARON	FIDELITY EMERGING MKTS T	0.00	0.18	0.00	0.18	95.0	0.17
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MKTS T	0.00	7.18	0.00	7.18	95.0	6.82
/ /	HERWARTH, CHARLES	FIDELITY EQUITY GROWTH A	0.00	2.23	0.00	2.23	95.0	2.12
/ /	BESS, JAMES	FIDELITY EQUITY GROWTH A	0.00	4.49	0.00	4.49	95.0	4.27
/ /	ZOBOSKI, JAYNE	FIDELITY EQUITY INCOME A	0.00	3.78	0.00	3.78	95.0	3.59
/ /	FRINTNER, SEAN EDWARD	FIDELITY EQUITY INCOME A	0.00	1.32	0.00	1.32	95.0	1.25
/ /	ZERILLI, ROSA	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.45	0.00	3.45	95.0	3.28
/ /	HERWARTH, CHARLES	FIDELITY TECHNOLOGY A	0.00	1.82	0.00	1.82	95.0	1.73
/ /	ROHLOFF, SHARON	FIDELITY TECHNOLOGY A	0.00	0.76	0.00	0.76	95.0	0.72
/ /	BEARD, LATOYA	FIDELITY TECHNOLOGY A	0.00	0.03	0.00	0.03	95.0	0.03
07/16/2018	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	92.19	0.00	92.19	95.0	87.58
07/16/2018	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	276.56	0.00	276.56	95.0	262.73
/ /	ROCCA, HELEN	HARTFORD CAPITAL APPRECIATION A	0.00	0.72	0.00	0.72	95.0	0.68
/ /	HARTMAN, GREGORY	HARTFORD CAPITAL APPRECIATION A	0.00	2.30	0.00	2.30	95.0	2.19
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD CAPITAL APPRECIATION C	0.00	6.03	0.00	6.03	95.0	5.73
/ /	COLE, JAMES WILLIAM	HARTFORD DIVIDEND & GROWTH C	0.00	14.23	0.00	14.23	95.0	13.52
/ /	HARTMAN, GREGORY	HARTFORD HEALTHCARE A	0.00	0.91	0.00	0.91	95.0	0.86
/ /	RUFFINO, ERNESTINE	HARTFORD HEALTHCARE A	0.00	19.51	0.00	19.51	95.0	18.53
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD HEALTHCARE C	0.00	5.57	0.00	5.57	95.0	5.29

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP A	0.00	2.50	0.00	2.50	95.0	2.38
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD MIDCAP C	0.00	5.54	0.00	5.54	95.0	5.26
08/01/2018	HARTMAN, GREGORY	HARTFORD MIDCAP VALUE A	0.00	0.01	0.00	0.01	95.0	0.01
/ /	HARTMAN, GREGORY	HARTFORD SCHRDRS US SM MD CAP OPP-A	0.00	1.41	0.00	1.41	95.0	1.34
/ /	HARTMAN, GREGORY	HARTFORD SMALLCAP GROWTH A	0.00	4.76	0.00	4.76	95.0	4.52
/ /	HARTMAN, GREGORY	HARTFUND EQUITY INCIME FUND A	0.00	2.04	0.00	2.04	95.0	1.94
07/06/2018	ERKFITZ, ELAINE	MAINSTAY HIGH YIELD CORP BOND A	0.00	23.40	0.00	23.40	95.0	22.23
/ /	WAGNER, SHARON	RYDEX GUGG S&P 500 H	0.00	0.33	0.00	0.33	95.0	0.31
08/02/2018	PETERSON, ALEXA	TRANSAMERICA AA CONSERVATIVE C	0.00	34.35	0.00	34.35	95.0	32.63
08/02/2018	PETERSON, TREY	TRANSAMERICA AA CONSERVATIVE C	0.00	34.35	0.00	34.35	95.0	32.63
08/02/2018	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	5.16	0.00	5.16	95.0	4.90
08/02/2018	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	6.82	0.00	6.82	95.0	6.48
08/02/2018	HESTER, RICHARD	TRANSAMERICA AA GROWTH C	0.00	0.81	0.00	0.81	95.0	0.77
08/02/2018	SULLIVAN, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	21.83	0.00	21.83	95.0	20.74
08/02/2018	MAYNARD, TRAVIS	TRANSAMERICA AA GROWTH C	0.00	2.25	0.00	2.25	95.0	2.14
08/02/2018	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	10.43	0.00	10.43	95.0	9.91
08/02/2018	COOPER, LISA	TRANSAMERICA AA GROWTH C	0.00	0.48	0.00	0.48	95.0	0.46
08/02/2018	WEBSTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	1.04	0.00	1.04	95.0	0.99
08/02/2018	JOUPPI, JEFFREY	TRANSAMERICA AA GROWTH C	0.00	90.37	0.00	90.37	95.0	85.85
08/02/2018	COMAN, RONALD	TRANSAMERICA AA GROWTH C	0.00	21.42	0.00	21.42	95.0	20.35
08/02/2018	PALAZZOLO, SALATORE	TRANSAMERICA AA GROWTH C	0.00	12.69	0.00	12.69	95.0	12.06
08/02/2018	BARTOI, MIKAELA	TRANSAMERICA AA GROWTH C	0.00	4.27	0.00	4.27	95.0	4.06
08/02/2018	ROWAN, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	29.04	0.00	29.04	95.0	27.59
08/02/2018	KUCHAREK, NORMA	TRANSAMERICA AA GROWTH C	0.00	9.53	0.00	9.53	95.0	9.05
08/02/2018	OLSEN, MARTHA	TRANSAMERICA AA GROWTH C	0.00	10.90	0.00	10.90	95.0	10.36
08/02/2018	HESSLER, GARY	TRANSAMERICA AA GROWTH C	0.00	41.06	0.00	41.06	95.0	39.01
08/02/2018	BLANKENSHIP, JANET	TRANSAMERICA AA GROWTH C	0.00	12.39	0.00	12.39	95.0	11.77
08/02/2018	RONDO, DENISE	TRANSAMERICA AA GROWTH C	0.00	12.89	0.00	12.89	95.0	12.25
08/02/2018	PALAZZOLO, ANNA	TRANSAMERICA AA GROWTH C	0.00	21.12	0.00	21.12	95.0	20.06
08/02/2018	LINDOW, RONALD	TRANSAMERICA AA GROWTH C	0.00	1.58	0.00	1.58	95.0	1.50
08/02/2018	WILMAN, ANN	TRANSAMERICA AA GROWTH C	0.00	61.11	0.00	61.11	95.0	58.05
08/02/2018	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	39.50	0.00	39.50	95.0	37.53
08/02/2018	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	0.00	49.13	0.00	49.13	95.0	46.67

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/02/2018	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	23.47	0.00	23.47	95.0	22.30
08/02/2018	RIOS-DORIA, H. WALTER	TRANSAMERICA AA GROWTH C	0.00	51.15	0.00	51.15	95.0	48.59
08/02/2018	WETZEL, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	13.97	0.00	13.97	95.0	13.27
08/02/2018	KEELER, DAVID	TRANSAMERICA AA GROWTH C	0.00	51.59	0.00	51.59	95.0	49.01
08/02/2018	HILDEBRAND, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	28.83	0.00	28.83	95.0	27.39
08/02/2018	DEHEM, GARY	TRANSAMERICA AA GROWTH C	0.00	40.59	0.00	40.59	95.0	38.56
08/02/2018	LINDOW, RICHARD	TRANSAMERICA AA GROWTH C	0.00	24.87	0.00	24.87	95.0	23.63
08/02/2018	MULDOON, SHEILA	TRANSAMERICA AA GROWTH C	0.00	25.46	0.00	25.46	95.0	24.19
08/02/2018	KUCHAREK, SARAH	TRANSAMERICA AA GROWTH C	0.00	5.14	0.00	5.14	95.0	4.88
08/02/2018	HECKER, GENE	TRANSAMERICA AA GROWTH C	0.00	6.17	0.00	6.17	95.0	5.86
08/02/2018	WATERS, SALLY	TRANSAMERICA AA GROWTH C	0.00	13.97	0.00	13.97	95.0	13.27
08/02/2018	WATERS, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	5.41	0.00	5.41	95.0	5.14
08/02/2018	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	0.00	14.84	0.00	14.84	95.0	14.10
08/02/2018	FARRAR, CHARLES	TRANSAMERICA AA GROWTH C	0.00	3.86	0.00	3.86	95.0	3.67
08/02/2018	BUSZEK, PAUL	TRANSAMERICA AA GROWTH C	0.00	1.29	0.00	1.29	95.0	1.23
08/02/2018	HOOTNER-JONES, ANN	TRANSAMERICA AA GROWTH C	0.00	8.13	0.00	8.13	95.0	7.72
08/02/2018	PALAZZOLO, SALVATORE	TRANSAMERICA AA GROWTH C	0.00	14.91	0.00	14.91	95.0	14.16
08/02/2018	BASTIN, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	4.79	0.00	4.79	95.0	4.55
08/02/2018	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	4.85	0.00	4.85	95.0	4.61
08/02/2018	ROWAN, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	10.55	0.00	10.55	95.0	10.02
08/02/2018	KOLLINGER, ROBERT	TRANSAMERICA AA MOD GROWTH C	0.00	0.96	0.00	0.96	95.0	0.91
08/02/2018	BELLINGER, VICKIE	TRANSAMERICA AA MOD GROWTH C	0.00	33.51	0.00	33.51	95.0	31.83
08/02/2018	BELLINGER, GEORGE	TRANSAMERICA AA MOD GROWTH C	0.00	27.09	0.00	27.09	95.0	25.74
08/02/2018	POLENYCHKO, SHIRLEY	TRANSAMERICA AA MOD GROWTH C	0.00	9.55	0.00	9.55	95.0	9.07
08/02/2018	AUGUSTYN, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.53	0.00	11.53	95.0	10.95
08/02/2018	BLANKENSHIP, JANET	TRANSAMERICA AA MOD GROWTH C	0.00	214.97	0.00	214.97	95.0	204.22
08/02/2018	KOLLINGER, CINDY	TRANSAMERICA AA MOD GROWTH C	0.00	9.82	0.00	9.82	95.0	9.33
08/02/2018	KEELER, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	22.53	0.00	22.53	95.0	21.40
08/02/2018	TYSZKIEWICZ, KURT	TRANSAMERICA AA MOD GROWTH C	0.00	24.54	0.00	24.54	95.0	23.31
08/02/2018	KUCHAREK, MARCIA	TRANSAMERICA AA MOD GROWTH C	0.00	12.15	0.00	12.15	95.0	11.54
08/02/2018	LEHMAN, JEFFREY	TRANSAMERICA AA MOD GROWTH C	0.00	0.10	0.00	0.10	95.0	0.10
08/02/2018	HENRY, JACQUELINE	TRANSAMERICA AA MOD GROWTH C	0.00	21.43	0.00	21.43	95.0	20.36
08/02/2018	O'CONNOR, FRANCIS	TRANSAMERICA AA MOD GROWTH C	0.00	59.90	0.00	59.90	95.0	56.91

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/02/2018	BAKER, JEAN-ANNE	TRANSAMERICA AA MOD GROWTH C	0.00	39.53	0.00	39.53	95.0	37.55
08/02/2018	KOSANKE, BARBARA	TRANSAMERICA AA MOD GROWTH C	0.00	33.30	0.00	33.30	95.0	31.64
08/02/2018	DEW, DESIREE	TRANSAMERICA AA MOD GROWTH C	0.00	45.91	0.00	45.91	95.0	43.61
08/02/2018	CYRULEWSKI TRUST, LOIS	TRANSAMERICA AA MOD GROWTH C	0.00	11.71	0.00	11.71	95.0	11.12
08/02/2018	NIZIO, EDMUND	TRANSAMERICA AA MOD GROWTH C	0.00	3.71	0.00	3.71	95.0	3.52
08/02/2018	PALAZZOLO, SALATORE	TRANSAMERICA AA MOD GROWTH C	0.00	10.06	0.00	10.06	95.0	9.56
08/02/2018	KOSANKE, CHARLES W	TRANSAMERICA AA MOD GROWTH C	0.00	16.46	0.00	16.46	95.0	15.64
08/02/2018	MERRITT, MARY LOU	TRANSAMERICA AA MOD GROWTH C	0.00	117.92	0.00	117.92	95.0	112.02
08/02/2018	GROBAR TRUST, MARVIN & MARY	TRANSAMERICA AA MOD GROWTH C	0.00	55.36	0.00	55.36	95.0	52.59
08/02/2018	OLSEN, MARTHA	TRANSAMERICA AA MOD GROWTH C	0.00	10.19	0.00	10.19	95.0	9.68
08/02/2018	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	10.44	0.00	10.44	95.0	9.92
08/02/2018	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	5.27	0.00	5.27	95.0	5.01
08/02/2018	CARLSON, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	2.80	0.00	2.80	95.0	2.66
08/02/2018	RIOS-DORIA, H. WALTER	TRANSAMERICA AA MOD GROWTH C	0.00	46.09	0.00	46.09	95.0	43.79
08/02/2018	RYAN, VICKI	TRANSAMERICA AA MOD GROWTH C	0.00	3.62	0.00	3.62	95.0	3.44
08/02/2018	BARTOI, MIKAELA	TRANSAMERICA AA MOD GROWTH C	0.00	157.54	0.00	157.54	95.0	149.66
08/02/2018	BUTLER, SANDRA	TRANSAMERICA AA MOD GROWTH C	0.00	56.20	0.00	56.20	95.0	53.39
08/02/2018	KANAKRI, JOSEPH	TRANSAMERICA AA MOD GROWTH C	0.00	2.18	0.00	2.18	95.0	2.07
08/02/2018	KANAKRI, MARIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.42	0.00	3.42	95.0	3.25
08/02/2018	BUSZEK, PAUL	TRANSAMERICA AA MOD GROWTH C	0.00	13.43	0.00	13.43	95.0	12.76
08/02/2018	LAWRENCE, PEGGY	TRANSAMERICA AA MOD GROWTH C	0.00	13.62	0.00	13.62	95.0	12.94
08/02/2018	BERDESKI, AUDREY	TRANSAMERICA AA MOD GROWTH C	0.00	11.71	0.00	11.71	95.0	11.12
08/02/2018	KAWALA, CHRISTINA	TRANSAMERICA AA MOD GROWTH C	0.00	116.71	0.00	116.71	95.0	110.87
08/02/2018	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	27.57	0.00	27.57	95.0	26.19
08/02/2018	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	0.79	0.00	0.79	95.0	0.75
08/02/2018	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	88.17	0.00	88.17	95.0	83.76
08/02/2018	BARTOI, JOHN	TRANSAMERICA AA MODERATE C	0.00	2.62	0.00	2.62	95.0	2.49
08/02/2018	MANOLATOS, STEVE	TRANSAMERICA AA MODERATE C	0.00	7.95	0.00	7.95	95.0	7.55
08/02/2018	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	9.86	0.00	9.86	95.0	9.37
08/02/2018	PALAZZOLO, SALATORE	TRANSAMERICA MULTI MGR INTL C	0.00	0.35	0.00	0.35	95.0	0.33
08/02/2018	BARTOI, JOHN	TRANSAMERICA MULTI MGR INTL C	0.00	13.75	0.00	13.75	95.0	13.06
08/02/2018	BARTOI, MIKAELA	TRANSAMERICA MULTI MGR INTL C	0.00	9.64	0.00	9.64	95.0	9.16
08/02/2018	FARRAR, CHARLES	TRANSAMERICA MULTI MGR INTL C	0.00	37.11	0.00	37.11	95.0	35.25

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/02/2018	WILMAN, ANN	TRANSAMERICA MULTI MGR INTL C	0.00	26.81	0.00	26.81	95.0	25.47
08/02/2018	KUCHAREK, MARK	TRANSAMERICA MULTI MGR INTL C	0.00	11.25	0.00	11.25	95.0	10.69
08/02/2018	DUMOND, PHYLLIS	TRANSAMERICA MULTI MGR INTL C	0.00	5.43	0.00	5.43	95.0	5.16
08/02/2018	KEELER, DAVID	TRANSAMERICA MULTI MGR INTL C	0.00	15.05	0.00	15.05	95.0	14.30
08/02/2018	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR INTL C	0.00	13.04	0.00	13.04	95.0	12.39
08/02/2018	DEHEM, GARY	TRANSAMERICA MULTI MGR INTL C	0.00	15.84	0.00	15.84	95.0	15.05
08/02/2018	WAGNER, MALLORY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	3.16	0.00	3.16	95.0	3.00
08/02/2018	EVANS, SANDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.60	0.00	6.60	95.0	6.27
08/02/2018	EVANS, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.92	0.00	7.92	95.0	7.52
08/02/2018	GRECH, ROSALIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	32.92	0.00	32.92	95.0	31.27
08/02/2018	CANNON TRUST, HENRY & BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	117.67	0.00	117.67	95.0	111.79
08/02/2018	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	46.56	0.00	46.56	95.0	44.23
08/02/2018	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.33	0.00	7.33	95.0	6.96
08/02/2018	DOUTSAS, MADELYN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.97	0.00	2.97	95.0	2.82
08/02/2018	HOOTNER-JONES, ANN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.88	0.00	2.88	95.0	2.74
08/02/2018	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	18.34	0.00	18.34	95.0	17.42
08/02/2018	RICHARDSON, SHANE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.28	0.00	1.28	95.0	1.22
08/02/2018	MAGGARD, LORETTA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	12.64	0.00	12.64	95.0	12.01
08/02/2018	KAWALA, CHRISTINA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	18.09	0.00	18.09	95.0	17.19
08/02/2018	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	42.81	0.00	42.81	95.0	40.67
08/02/2018	BELLINGER, VICKIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	50.02	0.00	50.02	95.0	47.52
08/02/2018	OLSEN, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	11.17	0.00	11.17	95.0	10.61
08/02/2018	MOORE, SUSAN ANN PRIES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	106.04	0.00	106.04	95.0	100.74
08/02/2018	YOUNG, RICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	142.02	0.00	142.02	95.0	134.92
08/02/2018	DINKA, CATHERINE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	80.59	0.00	80.59	95.0	76.56
08/02/2018	PARKE, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	16.95	0.00	16.95	95.0	16.10
08/02/2018	SMITH, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	98.87	0.00	98.87	95.0	93.93
08/02/2018	FARRAR, KAREN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	46.07	0.00	46.07	95.0	43.77
08/02/2018	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	21.98	0.00	21.98	95.0	20.88
08/02/2018	ROLLINGS, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	30.88	0.00	30.88	95.0	29.34
08/02/2018	DOUTSAS, ALEXANDER	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.26	0.00	6.26	95.0	5.95
08/02/2018	GRAMMATICO, DENNIS	TRANSAMERICA SHORT-TERM BOND C	0.00	9.81	0.00	9.81	95.0	9.32
08/02/2018	GRAMMATICO, SHARON	TRANSAMERICA SHORT-TERM BOND C	0.00	9.82	0.00	9.82	95.0	9.33

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/02/2018	SARKISIAN, NORAY	TRANSAMERICA SHORT-TERM BOND C	0.00	37.88	0.00	37.88	95.0	35.99
08/02/2018	MERRITT, MARY LOU	TRANSAMERICA SHORT-TERM BOND C	0.00	22.69	0.00	22.69	95.0	21.56
08/02/2018	O'CONNOR, FRANCIS	TRANSAMERICA SHORT-TERM BOND C	0.00	59.66	0.00	59.66	95.0	56.68
08/02/2018	EVANS, SANDRA	TRANSAMERICA TACTICAL INCOME C	0.00	4.77	0.00	4.77	95.0	4.53
08/02/2018	EVANS, ROBERT	TRANSAMERICA TACTICAL INCOME C	0.00	5.72	0.00	5.72	95.0	5.43
08/02/2018	PIZZO, ROSOLINO	TRANSAMERICA WMC DIV EQUITY C	0.00	2.00	0.00	2.00	95.0	1.90
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$4,175.28	\$0.00	\$4,175.28		\$3,966.58
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	BERTRAND, C JERRY	JNL ELITE ACCESS	0.00	30.38	0.00	30.38	95.0	28.86
07/31/2018	MELTZER, CLARENCE	JNL PERSPECTIVE ADVANTAGE	0.00	21.63	0.00	21.63	95.0	20.55
07/31/2018	COVYEAU, FREDERICK J & B	JNL PERSPECTIVE II	0.00	45.48	0.00	45.48	95.0	43.21
07/31/2018	JEANNETTE, JUSTIN	JNL PERSPECTIVE II 05/05	0.00	0.44	0.00	0.44	95.0	0.42
07/31/2018	MAGGARD, LORETTA	JNL PERSPECTIVE II 05/05	0.00	19.47	0.00	19.47	95.0	18.50
07/31/2018	RANG, MARILYN	JNL PERSPECTIVE II 05/05	0.00	30.51	0.00	30.51	95.0	28.98
07/31/2018	MCDONALD, GLENN	JNL PERSPECTIVE II 05/05	0.00	74.46	0.00	74.46	95.0	70.74
07/31/2018	KEELER, SUSAN	JNL PERSPECTIVE II 05/05	0.00	53.86	0.00	53.86	95.0	51.17
07/31/2018	PIROZZO, PETER	JNL PERSPECTIVE L	0.00	65.39	0.00	65.39	95.0	62.12
07/31/2018	LEGGE, GERALD	JNL PERSPECTIVE L	0.00	332.44	0.00	332.44	95.0	315.82
07/31/2018	DIEM, LINDA	JOHN HANCOCK VENTURE	0.00	0.15	0.00	0.15	95.0	0.14
07/31/2018	TYMCZY, MARY JANE	JOHN HANCOCK VENTURE	0.00	1.71	0.00	1.71	95.0	1.62
07/31/2018	DANIEL, SABRINA	JOHN HANCOCK VENTURE	0.00	0.07	0.00	0.07	95.0	0.07
07/31/2018	WEHLER, MARY ANN	PRU AS ADVISOR PLAN - 1993	0.00	8.64	0.00	8.64	95.0	8.21
07/31/2018	HILDEBRAND, CHRISTINE	PRU AS ADVISOR PLAN - 1993	0.00	4.19	0.00	4.19	95.0	3.98
07/31/2018	O'CONNOR, FRANCIS	PRU AS ADVISOR PLAN - 1993	0.00	2.80	0.00	2.80	95.0	2.66
07/31/2018	MIKLEVICH, MICHAEL	PRU AS ADVISOR PLAN - 1993	0.00	17.84	0.00	17.84	95.0	16.95
07/31/2018	HATHAWAY, DEBORAH	PRU AS ADVISOR PLAN II - 1996	0.00	0.72	0.00	0.72	95.0	0.68
07/31/2018	CAVERLY, KEVIN	PRU AS ADVISOR PLAN II - 1996	0.00	1.01	0.00	1.01	95.0	0.96
07/31/2018	AVOURIS, TIMOTHY	PRU AS ADVISOR PLAN II - 1996	0.00	13.95	0.00	13.95	95.0	13.25
07/31/2018	POLENYCHKO, SHIRLEY	PRU AS APEX II	0.00	13.19	0.00	13.19	95.0	12.53
07/31/2018	POLENYCHKO, SHIRLEY	PRU AS APEX II	0.00	3.30	0.00	3.30	95.0	3.14
07/31/2018	HAZARD, JOHN	PRU AS APEX II	0.00	77.12	0.00	77.12	95.0	73.26
07/31/2018	HAZARD, JOHN	PRU AS APEX II	0.00	19.28	0.00	19.28	95.0	18.32
07/31/2018	SOBIESKI, JOYCE	PRU AS LIFEVEST II	0.00	3.98	0.00	3.98	95.0	3.78

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	SOBIESKI, JOYCE	PRU AS LIFEVEST II	0.00	11.93	0.00	11.93	95.0	11.33
07/31/2018	YANIS, ROSANN	PRU AS LIFEVEST II	0.00	9.29	0.00	9.29	95.0	8.83
07/31/2018	YANIS, ROSANN	PRU AS LIFEVEST II	0.00	27.88	0.00	27.88	95.0	26.49
07/31/2018	HOVIS, BARBARA	PRU AS LIFEVEST II	0.00	2.19	0.00	2.19	95.0	2.08
07/31/2018	HOVIS, BARBARA	PRU AS LIFEVEST II	0.00	6.56	0.00	6.56	95.0	6.23
07/31/2018	WETZEL, WILLIAM	PRU AS LIFEVEST II	0.00	6.81	0.00	6.81	95.0	6.47
07/31/2018	WETZEL, WILLIAM	PRU AS LIFEVEST II	0.00	20.44	0.00	20.44	95.0	19.42
07/31/2018	BLANKENSHIP, JANET	PRU AS LIFEVEST II	0.00	51.17	0.00	51.17	95.0	48.61
07/31/2018	BLANKENSHIP, JANET	PRU AS LIFEVEST II	0.00	153.52	0.00	153.52	95.0	145.84
07/31/2018	LOURIA, PHYLLIS	PRU AS LIFEVEST II	0.00	8.05	0.00	8.05	95.0	7.65
07/31/2018	LOURIA, PHYLLIS	PRU AS LIFEVEST II	0.00	24.15	0.00	24.15	95.0	22.94
07/31/2018	MERRITT, MARY LOU	PRU AS LIFEVEST II	0.00	23.32	0.00	23.32	95.0	22.15
07/31/2018	MERRITT, MARY LOU	PRU AS LIFEVEST II	0.00	69.96	0.00	69.96	95.0	66.46
07/31/2018	SARKISIAN, NORAY	PRU AS LIFEVEST II	0.00	14.22	0.00	14.22	95.0	13.51
07/31/2018	SARKISIAN, NORAY	PRU AS LIFEVEST II	0.00	42.65	0.00	42.65	95.0	40.52
07/31/2018	JEFFRIES, BARBARA	PRU AS XTRA CREDIT SIX	0.00	38.80	0.00	38.80	95.0	36.86
07/31/2018	JEFFRIES, BARBARA	PRU AS XTRA CREDIT SIX	0.00	38.80	0.00	38.80	95.0	36.86
07/31/2018	KOZIKOWSKI, TERRY	PRU AS XTRA CREDIT SIX	0.00	25.27	0.00	25.27	95.0	24.01
07/31/2018	KOZIKOWSKI, TERRY	PRU AS XTRA CREDIT SIX	0.00	25.27	0.00	25.27	95.0	24.01
07/31/2018	MCDONALD, VICKI	PRU AS XTRA CREDIT SIX	0.00	5.61	0.00	5.61	95.0	5.33
07/31/2018	MCDONALD, VICKI	PRU AS XTRA CREDIT SIX	0.00	5.61	0.00	5.61	95.0	5.33
07/31/2018	MCDONALD, CRAIG	PRU AS XTRA CREDIT SIX	0.00	4.62	0.00	4.62	95.0	4.39
07/31/2018	MCDONALD, CRAIG	PRU AS XTRA CREDIT SIX	0.00	4.62	0.00	4.62	95.0	4.39
07/31/2018	O'CONNOR, FRANCIS	PRU AS XTRA CREDIT SIX	0.00	6.45	0.00	6.45	95.0	6.13
07/31/2018	O'CONNOR, FRANCIS	PRU AS XTRA CREDIT SIX	0.00	6.45	0.00	6.45	95.0	6.13
07/31/2018	VOGEL, KEITH	PRU AS XTRA CREDIT SIX	0.00	3.92	0.00	3.92	95.0	3.72
07/31/2018	VOGEL, KEITH	PRU AS XTRA CREDIT SIX	0.00	3.92	0.00	3.92	95.0	3.72
07/31/2018	BELLINGER, VICKIE	PRU AS XTRA CREDIT SIX	0.00	79.91	0.00	79.91	95.0	75.91
07/31/2018	BELLINGER, VICKIE	PRU AS XTRA CREDIT SIX	0.00	79.91	0.00	79.91	95.0	75.91
07/31/2018	DALTON, GRACE	PRU AS XTRA CREDIT SIX	0.00	17.58	0.00	17.58	95.0	16.70
07/31/2018	DALTON, GRACE	PRU AS XTRA CREDIT SIX	0.00	17.58	0.00	17.58	95.0	16.70
07/31/2018	HOOTNER-JONES, ANN	PRU AS XTRA CREDIT SIX	0.00	33.36	0.00	33.36	95.0	31.69
07/31/2018	HOOTNER-JONES, ANN	PRU AS XTRA CREDIT SIX	0.00	33.36	0.00	33.36	95.0	31.69

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	BUSZEK, PAUL	PRU AS XTRA CREDIT SIX	0.00	6.46	0.00	6.46	95.0	6.14
07/31/2018	BUSZEK, PAUL	PRU AS XTRA CREDIT SIX	0.00	6.46	0.00	6.46	95.0	6.14
07/31/2018	RIOS-DORIA, H	PRU AS XTRA CREDIT SIX	0.00	11.76	0.00	11.76	95.0	11.17
07/31/2018	RIOS-DORIA, H	PRU AS XTRA CREDIT SIX	0.00	11.76	0.00	11.76	95.0	11.17
07/31/2018	TAMM, JAMES	PRU AS XTRA CREDIT SIX	0.00	1.96	0.00	1.96	95.0	1.86
07/31/2018	TAMM, JAMES	PRU AS XTRA CREDIT SIX	0.00	1.96	0.00	1.96	95.0	1.86
07/31/2018	BAKER, GENE	PRU AS XTRA CREDIT SIX	0.00	44.87	0.00	44.87	95.0	42.63
07/31/2018	BAKER, GENE	PRU AS XTRA CREDIT SIX	0.00	44.87	0.00	44.87	95.0	42.63
07/31/2018	KAWALA, CHRISTINA	PRU AS XTRA CREDIT SIX	0.00	26.74	0.00	26.74	95.0	25.40
07/31/2018	KAWALA, CHRISTINA	PRU AS XTRA CREDIT SIX	0.00	26.74	0.00	26.74	95.0	25.40
07/31/2018	CHEUNG, EDWARD	PRU AS XTRA CREDIT SIX	0.00	69.18	0.00	69.18	95.0	65.72
07/31/2018	CHEUNG, EDWARD	PRU AS XTRA CREDIT SIX	0.00	69.18	0.00	69.18	95.0	65.72
07/31/2018	THOMSON, DOUGLAS	PRU AS XTRA CREDIT SIX	0.00	13.25	0.00	13.25	95.0	12.59
07/31/2018	THOMSON, DOUGLAS	PRU AS XTRA CREDIT SIX	0.00	13.25	0.00	13.25	95.0	12.59
07/31/2018	AMMAN, KATHLEEN	PRU AS XTRA CREDIT SIX	0.00	17.56	0.00	17.56	95.0	16.68
07/31/2018	AMMAN, KATHLEEN	PRU AS XTRA CREDIT SIX	0.00	17.56	0.00	17.56	95.0	16.68
07/31/2018	SIMONY, JOAN	PRU AS XTRA CREDIT SIX	0.00	30.74	0.00	30.74	95.0	29.20
07/31/2018	SIMONY, JOAN	PRU AS XTRA CREDIT SIX	0.00	30.74	0.00	30.74	95.0	29.20
07/31/2018	KEELER, SUSAN	PRU PREMIER RETIREMENT B	0.00	27.88	0.00	27.88	95.0	26.49
07/31/2018	MURPHY, PHILIP	PRU PREMIER RETIREMENT B	0.00	20.22	0.00	20.22	95.0	19.21
07/31/2018	HANSEN, SUSAN	PRU PREMIER RETIREMENT B	0.00	51.54	0.00	51.54	95.0	48.96
07/31/2018	GRAMMATICO, DENNIS	PRU PREMIER RETIREMENT B	0.00	142.80	0.00	142.80	95.0	135.66
07/31/2018	POLANCE, BARBARA	PRU PREMIER RETIREMENT B	0.00	59.18	0.00	59.18	95.0	56.22
07/31/2018	GEISLER, JUDY	PRU PREMIER RETIREMENT B	0.00	1.98	0.00	1.98	95.0	1.88
07/31/2018	WILLS, KELLEY	PRU PREMIER RETIREMENT B	0.00	33.16	0.00	33.16	95.0	31.50
07/31/2018	PARKE, WALTER	PRU PREMIER RETIREMENT B	0.00	22.77	0.00	22.77	95.0	21.63
07/31/2018	ANDERSON, JUDITH	PRU PREMIER RETIREMENT B	0.00	36.25	0.00	36.25	95.0	34.44
07/31/2018	ELLIOT, JAMES	PRU PREMIER RETIREMENT C	0.00	195.44	0.00	195.44	95.0	185.67
07/31/2018	SPEARY, LISA	PRU PREMIER RETIREMENT L	0.00	86.11	0.00	86.11	95.0	81.80
07/31/2018	RAONA, GARY	PRU PREMIER RETIREMENT L	0.00	8.96	0.00	8.96	95.0	8.51
07/31/2018	WAGNER, SHARON	PRU PREMIER RETIREMENT L	0.00	321.56	0.00	321.56	95.0	305.48
07/31/2018	WAGNER II, HARRY	PRU PREMIER RETIREMENT L	0.00	319.36	0.00	319.36	95.0	303.39
07/31/2018	NICOLOSI, ROSE	PRU PREMIER RETIREMENT L	0.00	407.73	0.00	407.73	95.0	387.34

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	MULRATH, JOHN	PRU PREMIER RETIREMENT L	0.00	136.34	0.00	136.34	95.0	129.52
07/31/2018	HENRY, JACQUELINE	PRU PREMIER RETIREMENT X	0.00	14.45	0.00	14.45	95.0	13.73
07/31/2018	OLSON, MELINDA	PRU PREMIER RETIREMENT X	0.00	29.36	0.00	29.36	95.0	27.89
07/31/2018	DUNK, KATHLEEN	PRU PREMIER RETIREMENT X	0.00	8.86	0.00	8.86	95.0	8.42
07/31/2018	VOGEL, KEITH	PRU PREMIER RETIREMENT X	0.00	23.98	0.00	23.98	95.0	22.78
07/31/2018	TYNAN, SHELLEY	PRU PREMIER RETIREMENT X	0.00	18.97	0.00	18.97	95.0	18.02
07/31/2018	JOHNSON, RODNEY	PRU PREMIER RETIREMENT X	0.00	43.83	0.00	43.83	95.0	41.64
07/31/2018	DEMAN, KENNETH	PRU PREMIER RETIREMENT X	0.00	19.67	0.00	19.67	95.0	18.69
07/31/2018	KNIGHT, SHARON	PRU PREMIER RETIREMENT X	0.00	21.39	0.00	21.39	95.0	20.32
07/31/2018	FEWLESS, SCOTT	PRU PREMIER RETIREMENT X	0.00	4.39	0.00	4.39	95.0	4.17
07/31/2018	NACHAZEL, THOMAS	PRU XTRA CREDIT EIGHT	0.00	10.32	0.00	10.32	95.0	9.80
07/31/2018	NACHAZEL, THOMAS	PRU XTRA CREDIT EIGHT	0.00	20.65	0.00	20.65	95.0	19.62
07/31/2018	RYAN, JACK	PRU XTRA CREDIT EIGHT	0.00	12.02	0.00	12.02	95.0	11.42
07/31/2018	RYAN, JACK	PRU XTRA CREDIT EIGHT	0.00	24.05	0.00	24.05	95.0	22.85
07/31/2018	RANG, JAMES	PRU XTRA CREDIT EIGHT	0.00	20.55	0.00	20.55	95.0	19.52
07/31/2018	RANG, JAMES	PRU XTRA CREDIT EIGHT	0.00	41.10	0.00	41.10	95.0	39.05
07/31/2018	EMBACH, KEVIN	RETIRE READY CHOICE	87,435.84	72.86	0.00	72.86	95.0	69.22
07/31/2018	EMBACH, JAMES	RETIRE READY CHOICE	87,435.85	72.86	0.00	72.86	95.0	69.22
07/31/2018	ZACK, MAUREEN	RETIRE READY CHOICE	87,435.85	72.86	0.00	72.86	95.0	69.22
07/31/2018	HARTOUNIAN, HAGOB	RETIRE READY CHOICE	12,516.63	10.43	0.00	10.43	95.0	9.91
07/31/2018	MCCLAIN, DOROTHY	RETIRE READY CHOICE	106,448.39	88.71	0.00	88.71	95.0	84.27
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$381,272.56	\$4,692.82	\$0.00	\$4,692.82		\$4,458.17
*** DIRECT TRANSACTIONS TOTAL ***			\$1,655,456.44	\$71,105.23	\$0.00	\$71,105.23		\$67,550.12

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK, 103 KOSANKE #3</u>								
07/11/2018	MARCOLA, THADDEUS	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	0.44	0.00	0.44	95.0	0.42
07/11/2018	CAUL, MARTINA	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	95.0	0.21
07/11/2018	GOOSSENS, LORI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.68	0.00	2.68	95.0	2.55
07/11/2018	CAUL, MARTINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.19	0.00	0.19	95.0	0.18
07/11/2018	CAUL, MARTINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.54	0.00	0.54	95.0	0.51
07/11/2018	SCULLY, DOROTHY	AM FUNDS ICA A	0.00	13.13	0.00	13.13	95.0	12.47
07/11/2018	DENNIS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	7.30	0.00	7.30	95.0	6.94
07/11/2018	MCCALL, JEFFREY	AM FUNDS SMALLCAP WORLD A	0.00	1.38	0.00	1.38	95.0	1.31
07/11/2018	DENNIS, STEVEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.68	0.00	5.68	95.0	5.40
07/11/2018	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.70	0.00	1.70	95.0	1.62
07/11/2018	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.70	0.00	1.70	95.0	1.62
07/11/2018	NICOLOSI, ROSE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.79	0.00	2.79	95.0	2.65
07/11/2018	DENNIS, STEVEN	DAVIS NEW YORK VENTURE A	0.00	17.89	0.00	17.89	95.0	17.00
07/11/2018	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	11.12	0.00	11.12	95.0	10.56
07/11/2018	HENKEL, JAMES	DWS DEUTSCHE HIGH INCOME FUND A	0.00	19.22	0.00	19.22	95.0	18.26
07/11/2018	HENKEL, JAMES	DWS DEUTSCHE HIGH INCOME FUND A	4,000.00	0.00	0.00	0.00	95.0	0.00
07/11/2018	ADAMS, ERIK	FEDERATED HIGH INCOME BOND FUND INC	0.00	0.72	0.00	0.72	95.0	0.68
07/11/2018	ADAMS, ERIK	FEDERATED MARKET OPPORTUNITY A	0.00	2.24	0.00	2.24	95.0	2.13
07/11/2018	GARCIA-SANTOS, VICKY	FIRST EAGLE GLOBAL FUND CL A	0.00	7.35	0.00	7.35	95.0	6.98
07/31/2018	WILLIAMSON, CHLOE	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	0.46	0.00	0.46	95.0	0.44
07/31/2018	TAYLOR, DAVID	FRANKLIN BALANCE SHEET INVST A	0.00	0.22	0.00	0.22	95.0	0.21
07/31/2018	EILLISON, BARBARA	FRANKLIN CA TF INCOME A	0.00	23.97	0.00	23.97	95.0	22.77
07/31/2018	CASTELLI, FRED	FRANKLIN CA TF INCOME A	0.00	2.02	0.00	2.02	95.0	1.92
07/31/2018	PRICE, PATRICIA	FRANKLIN CONV SEC A	0.00	1.23	0.00	1.23	95.0	1.17
07/31/2018	OSBORN, RICHARD	FRANKLIN DYNATECH A	0.00	7.41	0.00	7.41	95.0	7.04
07/31/2018	WATSON, ROBERT	FRANKLIN DYNATECH C	0.00	11.94	0.00	11.94	95.0	11.34
07/31/2018	SCHONER, ALAN	FRANKLIN EQUITY INCOME A	0.00	19.72	0.00	19.72	95.0	18.73
07/31/2018	PRICE, PATRICIA	FRANKLIN EQUITY INCOME A	0.00	5.29	0.00	5.29	95.0	5.03
07/31/2018	KRAUSE, BARBARA	FRANKLIN FED TAX FREE INCOME A	0.00	35.40	0.00	35.40	95.0	33.63
07/31/2018	KRAUSE, MICHAEL	FRANKLIN FED TAX FREE INCOME A	0.00	1.08	0.00	1.08	95.0	1.03
07/31/2018	HENKIN TRUST, RAYMOND & EDEN	FRANKLIN FED TAX FREE INCOME A	0.00	8.88	0.00	8.88	95.0	8.44
07/31/2018	02/09/90, DTD	FRANKLIN FED TAX FREE INCOME A	0.00	0.10	0.00	0.10	95.0	0.10
07/31/2018	WILLIAMSON, CHLOE	FRANKLIN FOUNDING FUNDS 529 PORT C	0.00	2.21	0.00	2.21	95.0	2.10

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<u>SPLIT TRANSACTIONS</u>								
BROKER: MARK, 103 KOSANKE #3								
07/31/2018	ROBINSON, BRUCE	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.24	0.00	2.24	95.0	2.13
07/31/2018	LOFTUS, SUSAN	FRANKLIN GOLD & PRECIOUS METALS A	0.00	0.78	0.00	0.78	95.0	0.74
07/31/2018	MICHON, BARBARA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	0.98	0.00	0.98	95.0	0.93
07/31/2018	AKERS, JAMES	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.52	0.00	1.52	95.0	1.44
07/31/2018	PATRICK, BEVERLY	FRANKLIN GROWTH A	0.00	1.52	0.00	1.52	95.0	1.44
07/31/2018	GREGORY J ELBERT, C/O	FRANKLIN GROWTH A	0.00	0.40	0.00	0.40	95.0	0.38
07/31/2018	SAAR, ALEXIS	FRANKLIN GROWTH A	0.00	0.30	0.00	0.30	95.0	0.29
07/31/2018	FORD, JOHN	FRANKLIN GROWTH A	0.00	0.24	0.00	0.24	95.0	0.23
07/31/2018	DAVIS, JAMES	FRANKLIN GROWTH A	0.00	1.49	0.00	1.49	95.0	1.42
07/31/2018	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	0.00	140.05	0.00	140.05	95.0	133.05
07/31/2018	WILEY, MATTALYN	FRANKLIN GROWTH OPP A	0.00	0.69	0.00	0.69	95.0	0.66
07/31/2018	OSBORN, RICHARD	FRANKLIN GROWTH OPP A	0.00	3.92	0.00	3.92	95.0	3.72
07/31/2018	FORD, JOHN	FRANKLIN GROWTH OPP A	0.00	0.66	0.00	0.66	95.0	0.63
07/31/2018	HENKIN TRUST, RAYMOND & EDEN	FRANKLIN HIGH INCOME A	0.00	12.63	0.00	12.63	95.0	12.00
07/31/2018	CELANI, JOSEPH	FRANKLIN HIGH INCOME A	0.00	4.62	0.00	4.62	95.0	4.39
07/31/2018	CELANI, MAURIZIO	FRANKLIN HIGH INCOME A	0.00	13.93	0.00	13.93	95.0	13.23
07/31/2018	PRICE, PATRICIA	FRANKLIN HIGH INCOME A	0.00	0.59	0.00	0.59	95.0	0.56
07/31/2018	02/09/90, DTD	FRANKLIN HIGH INCOME A	0.00	0.14	0.00	0.14	95.0	0.13
07/31/2018	MANNING, JOHN	FRANKLIN HIGH INCOME C	0.00	45.64	0.00	45.64	95.0	43.36
07/31/2018	SCHARL, MICHAEL	FRANKLIN HIGH YIELD TAX FREE A	0.00	0.57	0.00	0.57	95.0	0.54
07/31/2018	RUTKOWSKI, DONALD	FRANKLIN HIGH YIELD TAX FREE A	0.00	11.61	0.00	11.61	95.0	11.03
07/31/2018	DYER, TRACY	FRANKLIN HIGH YIELD TAX FREE A	0.00	0.36	0.00	0.36	95.0	0.34
07/31/2018	CHADICK TRUST	FRANKLIN INCOME A	0.00	4.42	0.00	4.42	95.0	4.20
07/31/2018	LAZARUS, CONSTANTINE	FRANKLIN INCOME A	0.00	60.74	0.00	60.74	95.0	57.70
07/31/2018	LAZARUS, HELEN	FRANKLIN INCOME A	0.00	28.95	0.00	28.95	95.0	27.50
07/31/2018	SMYKOWSKI, JAMES	FRANKLIN INCOME A	0.00	21.16	0.00	21.16	95.0	20.10
07/31/2018	WILSON, JANET	FRANKLIN INCOME A	0.00	0.73	0.00	0.73	95.0	0.69
07/31/2018	HATFIELD MCDUFFIE, JOYCE	FRANKLIN INCOME A	0.00	3.14	0.00	3.14	95.0	2.98
07/31/2018	MATSURA, MATTHEW	FRANKLIN INCOME A	0.00	7.64	0.00	7.64	95.0	7.26
07/31/2018	ALLEN, LAUREN	FRANKLIN INCOME A	0.00	7.19	0.00	7.19	95.0	6.83
07/31/2018	GOUDREAU, RACHEL	FRANKLIN INCOME A	0.00	1.89	0.00	1.89	95.0	1.80
07/31/2018	MATYASIC, JULIE	FRANKLIN INCOME A	0.00	3.08	0.00	3.08	95.0	2.93
07/31/2018	RICHARDSON, BLAKE	FRANKLIN INCOME A	0.00	3.15	0.00	3.15	95.0	2.99

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK, 103 KOSANKE #3</u>								
07/31/2018	NELLES, TROY	FRANKLIN INCOME A	0.00	0.49	0.00	0.49	95.0	0.47
07/31/2018	CHANDLER, JOHN	FRANKLIN INCOME A	0.00	7.54	0.00	7.54	95.0	7.16
07/31/2018	PRICE, PATRICIA	FRANKLIN INCOME A	0.00	3.70	0.00	3.70	95.0	3.52
07/31/2018	PAUL, DARLEEN	FRANKLIN INCOME A	0.00	1.08	0.00	1.08	95.0	1.03
07/31/2018	DAVIS, JAMES	FRANKLIN INCOME A	0.00	16.62	0.00	16.62	95.0	15.79
07/31/2018	DAVIS, RITA	FRANKLIN INCOME A	0.00	19.00	0.00	19.00	95.0	18.05
07/31/2018	DENNIS L FUSINSKI, BENE	FRANKLIN INCOME A	0.00	11.26	0.00	11.26	95.0	10.70
07/31/2018	MANOLATOS, STEVE	FRANKLIN INCOME A	0.00	27.55	0.00	27.55	95.0	26.17
07/31/2018	DAVIS, JAMES	FRANKLIN INT'L SMALL CAP GROWTH	0.00	20.55	0.00	20.55	95.0	19.52
07/31/2018	STROUSE, MARILYN	FRANKLIN MI TAX FR INCOME A	0.00	21.26	0.00	21.26	95.0	20.20
07/31/2018	BELSER, JOHN	FRANKLIN MI TAX FR INCOME A	0.00	0.92	0.00	0.92	95.0	0.87
07/31/2018	BROWN, KAY	FRANKLIN MI TAX FR INCOME A	0.00	3.09	0.00	3.09	95.0	2.94
07/31/2018	LAGA, LAURA	FRANKLIN MI TAX FR INCOME A	0.00	1.57	0.00	1.57	95.0	1.49
07/31/2018	WORTHY, MILDRED	FRANKLIN MI TAX FR INCOME A	0.00	6.05	0.00	6.05	95.0	5.75
07/31/2018	SMITH, JILL	FRANKLIN MI TAX FR INCOME A	0.00	2.52	0.00	2.52	95.0	2.39
07/31/2018	SZOCINSKI, CASIMER	FRANKLIN MI TAX FR INCOME A	0.00	5.44	0.00	5.44	95.0	5.17
07/31/2018	JENDRUSINA, ROGER	FRANKLIN MI TAX FR INCOME A	0.00	11.20	0.00	11.20	95.0	10.64
07/31/2018	SCHNEIDER, REBECCA	FRANKLIN MN TAX FREE INCOME A	0.00	1.01	0.00	1.01	95.0	0.96
07/31/2018	ULISSE, DONALD	FRANKLIN MUTUAL BEACON C	0.00	876.28	0.00	876.28	95.0	832.47
07/31/2018	PRICE, PATRICIA	FRANKLIN MUTUAL SHARES A	0.00	7.74	0.00	7.74	95.0	7.35
07/31/2018	LOFTUS, SUSAN	FRANKLIN NATURAL RESOURCES A	0.00	0.45	0.00	0.45	95.0	0.43
07/31/2018	CLARK, EDWARD	FRANKLIN OH TAX FR INCOME FUND	0.00	3.80	0.00	3.80	95.0	3.61
07/31/2018	SCHRITZ, BRYAN	FRANKLIN OH TAX FR INCOME FUND	0.00	13.51	0.00	13.51	95.0	12.83
07/31/2018	WARNER, KRISTA	FRANKLIN OH TAX FR INCOME FUND	0.00	1.37	0.00	1.37	95.0	1.30
07/31/2018	PRICE, PATRICIA	FRANKLIN RISING DIVIDENDS A	0.00	7.13	0.00	7.13	95.0	6.77
07/31/2018	RUSHLOW, JEAN	FRANKLIN RISING DIVIDENDS A	0.00	14.37	0.00	14.37	95.0	13.65
07/31/2018	RUSHLOW, JEAN	FRANKLIN RISING DIVIDENDS A	0.00	8.91	0.00	8.91	95.0	8.46
07/31/2018	RUSHLOW, LAWRENCE	FRANKLIN RISING DIVIDENDS A	0.00	15.03	0.00	15.03	95.0	14.28
07/31/2018	RUSHLOW, LAWRENCE	FRANKLIN RISING DIVIDENDS A	0.00	8.91	0.00	8.91	95.0	8.46
07/31/2018	MANOLATOS, STEVE	FRANKLIN RISING DIVIDENDS A	0.00	92.90	0.00	92.90	95.0	88.26
07/31/2018	SALLEY, PAMELA	FRANKLIN SMALL CAP GROWTH A	0.00	0.79	0.00	0.79	95.0	0.75
07/31/2018	MATYASIC, JULIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	5.52	0.00	5.52	95.0	5.24
07/31/2018	SWARTZ, ERIC	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	12.38	0.00	12.38	95.0	11.76

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK, 103 KOSANKE #3</u>								
07/31/2018	ELBERT, NATHANIAL	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	0.27	0.00	0.27	95.0	0.26
07/31/2018	WULFF, STEVEN	FRANKLIN STRATEGIC INCOME C	0.00	10.44	0.00	10.44	95.0	9.92
07/31/2018	BELSER, HELEN	FRANKLIN TN MUNI BOND A	0.00	7.27	0.00	7.27	95.0	6.91
07/31/2018	GOTT, RICHARD	FRANKLIN US GOVT SEC FUND A	0.00	5.01	0.00	5.01	95.0	4.76
07/31/2018	GIRTY, MABLE	FRANKLIN US GOVT SEC FUND A	0.00	4.78	0.00	4.78	95.0	4.54
07/31/2018	SCHORNAK-YEE, DEBRA	FRANKLIN US GOVT SEC FUND A	0.00	0.75	0.00	0.75	95.0	0.71
07/31/2018	MICHAELS, RONALD	FRANKLIN US GOVT SEC FUND A	0.00	10.14	0.00	10.14	95.0	9.63
07/31/2018	MICHAELS, RENEE	FRANKLIN US GOVT SEC FUND A	0.00	4.08	0.00	4.08	95.0	3.88
07/31/2018	WORTHY, MILDRED	FRANKLIN US GOVT SEC FUND A	0.00	4.21	0.00	4.21	95.0	4.00
07/31/2018	MICHAELS, RICK	FRANKLIN US GOVT SEC FUND A	0.00	18.35	0.00	18.35	95.0	17.43
07/31/2018	MEHLER, BENE	FRANKLIN US GOVT SEC FUND A	0.00	0.38	0.00	0.38	95.0	0.36
07/31/2018	LORI RUTH THOMASSY, BENE	FRANKLIN US GOVT SEC FUND A	0.00	0.35	0.00	0.35	95.0	0.33
07/31/2018	WULFF, STEVEN	FRANKLIN US GOVT SEC FUND C	0.00	10.44	0.00	10.44	95.0	9.92
07/31/2018	BARTOLONE, DANA	FRANKLIN US GOVT SEC FUND C	0.00	34.34	0.00	34.34	95.0	32.62
07/31/2018	MCKENZIE TRUST, WALTER &	FRANKLIN UTILITIES A	0.00	3.99	0.00	3.99	95.0	3.79
07/31/2018	MAY, PEGGY	FRANKLIN UTILITIES A	0.00	1.13	0.00	1.13	95.0	1.07
07/31/2018	BAEKELANDT, KARL	FRANKLIN UTILITIES A	0.00	22.99	0.00	22.99	95.0	21.84
07/31/2018	WATTS, MARIA	FRANKLIN UTILITIES A	0.00	0.25	0.00	0.25	95.0	0.24
07/31/2018	SEVESTIAN JR, JOHN	FRANKLIN UTILITIES A	0.00	0.95	0.00	0.95	95.0	0.90
07/31/2018	MACCAGNONE, GARY	FT FOUNDING FDS ALLOC C	0.00	2.18	0.00	2.18	95.0	2.07
07/31/2018	DUDA, ANNA	FT FOUNDING FUNDS ALLOC A	0.00	3.56	0.00	3.56	95.0	3.38
07/31/2018	KRASS, DELORES	JNL PERSPECTIVE REWARDS	0.00	2.90	0.00	2.90	95.0	2.76
07/11/2018	ADAMS, TONJA	PUTNAM INTERNATIONAL VALUE-A	0.00	0.27	0.00	0.27	95.0	0.26
07/31/2018	CEBALT, THOMAS	TEMPLETON DEVELOPING MKTS A	0.00	1.74	0.00	1.74	95.0	1.65
07/31/2018	ELBERT, NATHANIAL	TEMPLETON DEVELOPING MKTS A	0.00	0.23	0.00	0.23	95.0	0.22
07/31/2018	WILEY, MATTALYN	TEMPLETON DEVELOPING MKTS A	0.00	0.64	0.00	0.64	95.0	0.61
07/31/2018	LOFTUS, SUSAN	TEMPLETON DEVELOPING MKTS A	0.00	0.77	0.00	0.77	95.0	0.73
07/31/2018	HENDERSON JT TEN, CAROLYN	TEMPLETON DEVELOPING MKTS A	0.00	2.34	0.00	2.34	95.0	2.22
07/31/2018	ROCCA, JOHN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	2.81	0.00	2.81	95.0	2.67
07/31/2018	BICKEL, PATRICIA	TEMPLETON FOREIGN FUND A	0.00	6.65	0.00	6.65	95.0	6.32
07/31/2018	BONHAM, JAMES	TEMPLETON FOREIGN FUND A	0.00	9.76	0.00	9.76	95.0	9.27
07/31/2018	ARONICA, JAMES	TEMPLETON FOREIGN FUND A	0.00	12.85	0.00	12.85	95.0	12.21
07/31/2018	TAYLOR, GARY	TEMPLETON FOREIGN FUND A	0.00	472.04	0.00	472.04	95.0	448.44

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK, 103 KOSANKE #3</u>								
07/31/2018	KROZAL, CHRISTIAN	TEMPLETON FOREIGN FUND A	0.00	4.29	0.00	4.29	95.0	4.08
07/31/2018	TRUSCELLO, DAVID	TEMPLETON FOREIGN FUND A	0.00	7.34	0.00	7.34	95.0	6.97
07/31/2018	HOVIS, RYAN	TEMPLETON FOREIGN FUND A	0.00	0.60	0.00	0.60	95.0	0.57
07/31/2018	KOLLINGER, KELLY	TEMPLETON FOREIGN FUND A	0.00	0.60	0.00	0.60	95.0	0.57
07/31/2018	KOLLINGER, ROBERT	TEMPLETON FOREIGN FUND A	0.00	0.60	0.00	0.60	95.0	0.57
07/31/2018	KOLLINGER, CODY	TEMPLETON FOREIGN FUND A	0.00	0.60	0.00	0.60	95.0	0.57
07/31/2018	HOVIS, FARREN	TEMPLETON FOREIGN FUND A	0.00	0.60	0.00	0.60	95.0	0.57
07/31/2018	HOVIS, BARBARA	TEMPLETON FOREIGN FUND A	0.00	0.39	0.00	0.39	95.0	0.37
07/31/2018	KOLLINGER, KAILYN	TEMPLETON FOREIGN FUND A	0.00	0.63	0.00	0.63	95.0	0.60
07/31/2018	MATYASIC, JULIE	TEMPLETON FOREIGN FUND A	0.00	3.86	0.00	3.86	95.0	3.67
07/31/2018	FORD, JOHN	TEMPLETON FOREIGN SMCO A	0.00	0.09	0.00	0.09	95.0	0.09
07/31/2018	ROCCA, JOHN	TEMPLETON FRONTIER MARKETS A	0.00	1.87	0.00	1.87	95.0	1.78
07/31/2018	MANOLATOS, STEVE	TEMPLETON GLOBAL BOND A	0.00	65.98	0.00	65.98	95.0	62.68
07/31/2018	FORD, JOHN	TEMPLETON GLOBAL SMALL CO A	0.00	0.17	0.00	0.17	95.0	0.16
07/31/2018	BERRY, CHARLOTTE	TEMPLETON GLOBAL SMALL CO A	0.00	2.65	0.00	2.65	95.0	2.52
07/31/2018	MARCUS, PAMELA	TEMPLETON GROWTH A	0.00	126.65	0.00	126.65	95.0	120.32
07/31/2018	KEELER, DAVID	TEMPLETON GROWTH A	0.00	39.79	0.00	39.79	95.0	37.80
07/31/2018	BITTIKER, STUART	TEMPLETON GROWTH A	0.00	3.67	0.00	3.67	95.0	3.49
07/31/2018	PAUL, DARLEEN	TEMPLETON GROWTH A	0.00	1.52	0.00	1.52	95.0	1.44
07/31/2018	RUBIN, BRITTANY	TEMPLETON GROWTH A	0.00	8.24	0.00	8.24	95.0	7.83
07/31/2018	KILDAL, KATIE	TEMPLETON GROWTH A	0.00	5.03	0.00	5.03	95.0	4.78
07/31/2018	CHESNEY TRUST, CHRISTINE	TEMPLETON GROWTH C	0.00	13.90	0.00	13.90	95.0	13.21
07/31/2018	WALSH, MICHAEL	TEMPLETON WORLD A	0.00	0.84	0.00	0.84	95.0	0.80
07/31/2018	O'CONNOR, FRANK	TEMPLETON WORLD A	0.00	31.83	0.00	31.83	95.0	30.24
07/31/2018	RIGGENBACH, DARWYN	TEMPLETON WORLD A	0.00	137.31	0.00	137.31	95.0	130.44
07/31/2018	RICHARD, GLENN	TEMPLETON WORLD A	0.00	56.70	0.00	56.70	95.0	53.87
07/31/2018	ROBSON, ANNETTE	TEMPLETON WORLD A	0.00	230.53	0.00	230.53	95.0	219.00
07/31/2018	O'LEARY, JUDITH	TEMPLETON WORLD A	0.00	85.35	0.00	85.35	95.0	81.08
07/31/2018	JENDRUSINA, ROGER	TEMPLETON WORLD A	0.00	134.13	0.00	134.13	95.0	127.42
07/31/2018	MURRAY, ANN	TEMPLETON WORLD A	0.00	30.77	0.00	30.77	95.0	29.23
07/31/2018	KAMINSKI, MARGUERITE	TEMPLETON WORLD A	0.00	1.70	0.00	1.70	95.0	1.62
07/31/2018	BACHTELL, JAMES	TEMPLETON WORLD A	0.00	32.78	0.00	32.78	95.0	31.14
07/31/2018	SCHWARZ, JAMES	TEMPLETON WORLD A	0.00	7.77	0.00	7.77	95.0	7.38

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK, 103 KOSANKE #3</u>								
07/31/2018	GREENE, KARLI	TEMPLETON WORLD A	0.00	8.94	0.00	8.94	95.0	8.49
07/31/2018	GREENE, CHRISTOPHER	TEMPLETON WORLD A	0.00	0.40	0.00	0.40	95.0	0.38
07/31/2018	WAMPLER TRUST	TEMPLETON WORLD A	0.00	19.66	0.00	19.66	95.0	18.68
07/31/2018	RICHARD, DANIEL	TEMPLETON WORLD A	0.00	1.91	0.00	1.91	95.0	1.81
07/12/2018	HOVIS, BARBARA	TIER REIT INC	5,879.20	120.00	14.00	106.00	95.0	100.70
08/02/2018	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	29.95	0.00	29.95	95.0	28.45
07/24/2018	HOSKIN, JOHN	VICTORY CAPITAL MANAGEMENT	0.00	0.74	0.00	0.74	95.0	0.70
07/24/2018	MARCOLA, ERIKA	VICTORY CAPITAL MANAGEMENT	0.00	1.19	0.00	1.19	95.0	1.13
* MARK, 103 KOSANKE SUBTOTAL *			\$9,879.20	\$3,713.56	\$14.00	\$3,699.56		\$3,514.62
<u>BROKER: J117-PETE/JOAN JOHNSON/FLAM #19</u>								
07/03/2018	BACK, JULIE	ALGER LARGECAP GROWTH A	0.00	4.56	0.00	4.56	95.0	4.33
07/03/2018	FEINBERG, MARK	ALGER LARGECAP GROWTH A	0.00	8.97	0.00	8.97	95.0	8.52
07/03/2018	WILSON, EMILEE	ALGER LARGECAP GROWTH A	0.00	0.02	0.00	0.02	95.0	0.02
07/03/2018	DRABCZYK, FRANK	ALGER LARGECAP GROWTH A	0.00	0.61	0.00	0.61	95.0	0.58
07/03/2018	FEINBERG, MARK	ALGER LARGECAP GROWTH B	0.00	1.38	0.00	1.38	95.0	1.31
07/03/2018	BACK, JULIE	ALGER MIDCAP GROWTH A	0.00	2.13	0.00	2.13	95.0	2.02
07/03/2018	BACK, JULIE	ALGER SMALLCAP GROWTH A	0.00	2.10	0.00	2.10	95.0	2.00
/ /	PRICOPIO, VANESSA	JH 529 COLLEGE PORT A	0.00	1.42	0.00	1.42	95.0	1.35
* J117-PETE/JOAN JOHNSON/FLAM SUBTOTAL *			\$0.00	\$21.19	\$0.00	\$21.19		\$20.13
<u>BROKER: GROUP, B119 FLAM/BUSSELL #32</u>								
07/31/2018	M, OSIP	DELAWARE VALUE A	0.00	1.37	0.00	1.37	95.0	1.30
* GROUP, B119 FLAM/BUSSELL SUBTOTAL *			\$0.00	\$1.37	\$0.00	\$1.37		\$1.30
<u>BROKER: MEGHA, R118 GROUP #41</u>								
07/03/2018	VARTANIAN, NICHOLAS	ALGER CAPITAL APPRECIATION A	0.00	2.42	0.00	2.42	95.0	2.30
07/03/2018	MEHLBERG, GREYSON	ALGER CAPITAL APPRECIATION A	0.00	2.74	0.00	2.74	95.0	2.60
07/03/2018	MATHEWS, DOUG	ALGER MIDCAP GROWTH A	0.00	1.17	0.00	1.17	95.0	1.11
* MEGHA, R118 GROUP SUBTOTAL *			\$0.00	\$6.33	\$0.00	\$6.33		\$6.01
<u>BROKER: PETER JOHNSON #63</u>								
07/31/2018	ROSS, DAVID	DELAWARE HIGH YIELD OPP A	0.00	25.40	0.00	25.40	95.0	24.13
07/31/2018	KAPELN, J	DELAWARE TAX FREE USA A	0.00	9.38	0.00	9.38	95.0	8.91
07/31/2018	PAPPAS, LARRY	DELAWARE VALUE A	0.00	35.68	0.00	35.68	95.0	33.90

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: PETER JOHNSON #63</u>								
07/31/2018	PAPPAS, JANE	DELAWARE VALUE A	0.00	7.40	0.00	7.40	95.0	7.03
* PETER JOHNSON SUBTOTAL *			\$0.00	\$77.86	\$0.00	\$77.86		\$73.97
*** SPLIT TRANSACTIONS TOTAL ***			\$9,879.20	\$3,820.31	\$14.00	\$3,806.31		\$3,616.03

<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: THOMAS SCHNEIDER #30</u>								
07/30/2018	SCHECK, VICTORIA	SBL ADVISOR PROGRAM	0.00	3.12	0.00	3.12	0.0	2.96
07/30/2018	KELLER, MICHELLE	SBL ADVISOR PROGRAM	0.00	6.22	0.00	6.22	0.0	5.91
07/30/2018	YOUNG, MARCIA	SBL ADVISOR PROGRAM	0.00	5.44	0.00	5.44	0.0	5.17
07/30/2018	FULTON, JOHN	SBL ADVISORDESIGNS VA	0.00	1.72	0.00	1.72	0.0	1.63
07/30/2018	BABIN, WILLIAM	SBL ADVISORDESIGNS VA	0.00	27.71	0.00	27.71	0.0	26.32
07/30/2018	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	0.00	1.62	0.00	1.62	0.0	1.54
07/30/2018	HAWK, DUANE	SBL ADVISORDESIGNS VA	0.00	0.75	0.00	0.75	0.0	0.71
07/30/2018	LITTRELL, REX	SBL ADVISORDESIGNS VA	0.00	1.39	0.00	1.39	0.0	1.32
07/30/2018	BAKER, MARILYN	SBL ADVISORDESIGNS VA	0.00	1.47	0.00	1.47	0.0	1.40
07/30/2018	MCCRANN, JAMES	SBL ADVISORDESIGNS VA	0.00	1.34	0.00	1.34	0.0	1.27
07/30/2018	HARTONG, DEAN	SBL ADVISORDESIGNS VA	0.00	2.27	0.00	2.27	0.0	2.16
07/30/2018	GREGORY, CAROL	SBL ADVISORDESIGNS VA	0.00	2.91	0.00	2.91	0.0	2.76
07/30/2018	HAWK, DUANE	SBL ADVISORDESIGNS VA	0.00	0.68	0.00	0.68	0.0	0.65
07/30/2018	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	0.00	1.57	0.00	1.57	0.0	1.49
07/30/2018	BAKER, MARILYN	SBL ADVISORDESIGNS VA	0.00	1.38	0.00	1.38	0.0	1.31
07/30/2018	GREGORY, RICHARD	SBL ADVISORDESIGNS VA	0.00	1.90	0.00	1.90	0.0	1.81
07/30/2018	HAWK, GLENN	SBL ADVISORDESIGNS VA	0.00	1.20	0.00	1.20	0.0	1.14
07/30/2018	DEFRANCESCO, STEVEN	SBL ADVISORDESIGNS VA	0.00	1.75	0.00	1.75	0.0	1.66
07/30/2018	DEFRANCESCO, MARY	SBL ADVISORDESIGNS VA	0.00	1.57	0.00	1.57	0.0	1.49
07/30/2018	KUBAN, JOANNE	SBL ADVISORDESIGNS VA	0.00	4.20	0.00	4.20	0.0	3.99
* THOMAS SCHNEIDER SUBTOTAL *			\$0.00	\$70.21	\$0.00	\$70.21		\$66.69
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$70.21	\$0.00	\$70.21		\$66.69

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
08/15/2018		*EO INS: MONTHY - HEATHER	0.00					-300.00
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-3.99
08/15/2018		*TECHNOLOGY: MONTHLY - HEATHER	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-578.99

BROKER COMMISSION TOTALS

Broker Transactions	\$67,793.32
Split Transactions	\$3,616.03
Override Transactions	\$66.69
Adjustments	\$-578.99
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$70,897.05
Year-to-date Gross	\$416,550.84
Year-to-date Earnings	\$406,625.26

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