



Concorde Investment Services, LLC

09/13/2017  
Page No. 1

# **COMMISSION STATEMENT** **September 15, 2017**

THOMAS JAHNCKE  
2050 Main Street  
Suite 650  
Irvine, CA 92614

BROKER #: J124 Terminated 08/05/2016  
BRANCH: THOMAS JAHNCKE

| DATE   | CLIENT                | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|-----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                |                       |                                     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b> |                       |                                     |                      |                     |                    |                   |      |                      |
| 08/08/2017                                       | BRINSER, LISA         | ALLIANCEBERNSTEIN GROWTH A          | 0.00                 | 1.71                | 0.00               | 1.71              | 0.0  | 0.00                 |
| 08/08/2017                                       | BRINSER, LISA         | ALLIANCEBERNSTEIN GROWTH AND INC A  | 0.00                 | 1.30                | 0.00               | 1.30              | 0.0  | 0.00                 |
| 08/10/2017                                       | JAHNCKE, JUDY         | ALLIANZGI NFJ MID- CAP VALUE FUND C | 0.00                 | 1.38                | 0.00               | 1.38              | 0.0  | 0.00                 |
| 08/15/2017                                       | LOTT FAMILY TRUST     | AM FUNDS CAPITAL INCOME BUILDER A   | 0.00                 | 1.24                | 0.00               | 1.24              | 0.0  | 0.00                 |
| 08/15/2017                                       | LOTT FAMILY TRUST     | AM FUNDS CAPITAL WORLD BOND A       | 0.00                 | 2.02                | 0.00               | 2.02              | 0.0  | 0.00                 |
| 08/15/2017                                       | RUMBLE TRUST, JUDITH  | AM FUNDS EUROPACIFIC GROWTH FUND C  | 0.00                 | 17.58               | 0.00               | 17.58             | 0.0  | 0.00                 |
| 08/15/2017                                       | RUMBLE TRUST, JUDITH  | AM FUNDS INCOME FUND OF AMERICA A   | 0.00                 | 8.01                | 0.00               | 8.01              | 0.0  | 0.00                 |
| 08/15/2017                                       | LOTT FAMILY TRUST     | AM FUNDS INCOME FUND OF AMERICA A   | 0.00                 | 1.35                | 0.00               | 1.35              | 0.0  | 0.00                 |
| 08/18/2017                                       | BRINSER, LISA         | CALAMOS GLOBAL GROWTH & INCOME C    | 0.00                 | 5.27                | 0.00               | 5.27              | 0.0  | 0.00                 |
| 08/18/2017                                       | JAHNCKE, JUDY         | CALAMOS GROWTH A                    | 0.00                 | 3.90                | 0.00               | 3.90              | 0.0  | 0.00                 |
| 08/18/2017                                       | RUMBLE TRUST, JUDITH  | CALAMOS GROWTH C                    | 0.00                 | 40.15               | 0.00               | 40.15             | 0.0  | 0.00                 |
| 08/17/2017                                       | JAHNCKE, JUDY         | DREYFUS RESEARCH GROWTH FUND CLASS  | 0.00                 | 0.66                | 0.00               | 0.66              | 0.0  | 0.00                 |
| 08/14/2017                                       | JAHNCKE, JUDY         | EAGLE CAPITAL APPRECIATION FUND CLA | 0.00                 | 2.10                | 0.00               | 2.10              | 0.0  | 0.00                 |
| 08/17/2017                                       | JAHNCKE TRUST, JUDY   | EATON VANCE FLOATING-RATE ADVANTAGE | 0.00                 | 6.58                | 0.00               | 6.58              | 0.0  | 0.00                 |
| 08/17/2017                                       | STOCKER TRUST, MARTHA | EATON VANCE FLOATING-RATE ADVANTAGE | 0.00                 | 1.26                | 0.00               | 1.26              | 0.0  | 0.00                 |
| 08/17/2017                                       | RUMBLE TRUST, JUDITH  | FEDERATED EQUITY INCOME FUND CLASS  | 0.00                 | 20.22               | 0.00               | 20.22             | 0.0  | 0.00                 |
| 08/14/2017                                       | RUMBLE TRUST, JUDITH  | FORWARD REAL ESTATE LONG/SHORT FUND | 0.00                 | 1.71                | 0.00               | 1.71              | 0.0  | 0.00                 |
| 08/14/2017                                       | JAHNCKE TRUST, JUDY   | FORWARD SELECT INCOME FUND CLASS C  | 0.00                 | 11.96               | 0.00               | 11.96             | 0.0  | 0.00                 |
| 08/14/2017                                       | RUMBLE TRUST, JUDITH  | FORWARD SELECT INCOME FUND CLASS C  | 0.00                 | 23.69               | 0.00               | 23.69             | 0.0  | 0.00                 |
| 08/14/2017                                       | STOCKER TRUST, MARTHA | FORWARD SELECT INCOME FUND CLASS C  | 0.00                 | 2.30                | 0.00               | 2.30              | 0.0  | 0.00                 |
| 08/16/2017                                       | RUMBLE TRUST, JUDITH  | GRIFFIN INST'L ACCESS R E FUND C    | 0.00                 | 1.13                | 0.00               | 1.13              | 0.0  | 0.00                 |
| 08/16/2017                                       | RUMBLE TRUST, JUDITH  | GRIFFIN INST'L ACCESS R E FUND C    | 0.00                 | 3.39                | 0.00               | 3.39              | 0.0  | 0.00                 |
| 08/17/2017                                       | JAHNCKE TRUST, JUDY   | MAINSTAY HIGH YIELD CORP BOND A     | 0.00                 | 0.06                | 0.00               | 0.06              | 0.0  | 0.00                 |
| 08/17/2017                                       | STOCKER TRUST, MARTHA | MAINSTAY HIGH YIELD CORP BOND A     | 0.00                 | 0.01                | 0.00               | 0.01              | 0.0  | 0.00                 |
| 08/16/2017                                       | JAHNCKE, JUDY         | PUTNAM INVESTORS A                  | 0.00                 | 0.54                | 0.00               | 0.54              | 0.0  | 0.00                 |
| 08/14/2017                                       | JAHNCKE, JUDY         | RESOURCE REAL ESTATE DIVERSIFIED IN | 0.00                 | 0.23                | 0.00               | 0.23              | 0.0  | 0.00                 |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE   | CLIENT                | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|-----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                |                       |                                     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b> |                       |                                     |                      |                     |                    |                   |      |                      |
| 08/14/2017                                       | JAHNCKE, JUDY         | RESOURCE REAL ESTATE DIVERSIFIED IN | 0.00                 | 0.68                | 0.00               | 0.68              | 0.0  | 0.00                 |
| 08/17/2017                                       | RUMBLE TRUST, JUDITH  | SIERRA CORE RETIREMENT FUND CLASS C | 0.00                 | 9.08                | 0.00               | 9.08              | 0.0  | 0.00                 |
| 08/14/2017                                       | BRINSER, LISA         | TEMPLETON FOREIGN FUND C            | 0.00                 | 3.35                | 0.00               | 3.35              | 0.0  | 0.00                 |
| 08/16/2017                                       | JAHNCKE TRUST, JUDY   | THORNBURG INT'L VALUE A             | 0.00                 | 9.14                | 0.00               | 9.14              | 0.0  | 0.00                 |
| 08/16/2017                                       | STOCKER TRUST, MARTHA | THORNBURG INT'L VALUE A             | 0.00                 | 1.72                | 0.00               | 1.72              | 0.0  | 0.00                 |
| 08/16/2017                                       | BRINSER, LISA         | THORNBURG INVESTMENT INCOME BUILDER | 0.00                 | 2.19                | 0.00               | 2.19              | 0.0  | 0.00                 |
| 08/16/2017                                       | BRINSER, LISA         | THORNBURG INVESTMENT INCOME BUILDER | 0.00                 | 4.75                | 0.00               | 4.75              | 0.0  | 0.00                 |
| 08/16/2017                                       | JAHNCKE, JUDY         | THORNBURG INVESTMENT INCOME BUILDER | 0.00                 | 1.39                | 0.00               | 1.39              | 0.0  | 0.00                 |
| 08/16/2017                                       | JAHNCKE, JUDY         | THORNBURG INVT TR INTL VALUE FD CL  | 0.00                 | 0.51                | 0.00               | 0.51              | 0.0  | 0.00                 |
| 08/16/2017                                       | JAHNCKE, JUDY         | THORNBURG VALUE CL A                | 0.00                 | 2.08                | 0.00               | 2.08              | 0.0  | 0.00                 |
| 08/16/2017                                       | BRINSER, LISA         | THORNBURG VALUE CLASS C             | 0.00                 | 2.47                | 0.00               | 2.47              | 0.0  | 0.00                 |
| 08/16/2017                                       | BRINSER, LISA         | THORNBURG VALUE CLASS C             | 0.00                 | 7.69                | 0.00               | 7.69              | 0.0  | 0.00                 |
| 08/16/2017                                       | JAHNCKE TRUST, JUDY   | THORNBURG VALUE CLASS C             | 0.00                 | 2.06                | 0.00               | 2.06              | 0.0  | 0.00                 |
| 08/16/2017                                       | JAHNCKE, JUDY         | THORNBURG VALUE CLASS C             | 0.00                 | 28.87               | 0.00               | 28.87             | 0.0  | 0.00                 |
| 08/16/2017                                       | RUMBLE TRUST, JUDITH  | THORNBURG VALUE CLASS C             | 0.00                 | 34.02               | 0.00               | 34.02             | 0.0  | 0.00                 |
| <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>      |                       |                                     | <b>\$0.00</b>        | <b>\$269.75</b>     | <b>\$0.00</b>      | <b>\$269.75</b>   |      | <b>\$0.00</b>        |
| <b>*** BROKER TRANSACTIONS TOTAL ***</b>         |                       |                                     | <b>\$0.00</b>        | <b>\$269.75</b>     | <b>\$0.00</b>      | <b>\$269.75</b>   |      | <b>\$0.00</b>        |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.00 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |                   |
|------------------------------|-------------------|
| <b>Balance Forward</b>       | <b>\$0.00</b>     |
| <b>Year-to-date Gross</b>    | <b>\$1,795.61</b> |
| <b>Year-to-date Earnings</b> | <b>\$0.00</b>     |