



Concorde Investment Services, LLC

04/27/2017  
Page No. 1

# COMMISSION STATEMENT

April 30, 2017

JOSEPH WIEGAND  
1220 N. 200 West  
Suite B  
Angola, IN 46703

BROKER #: W128  
BRANCH: LIFETIME FINANCIAL S

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
03/31/2017	BAALS, GREY	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	123.29	0.00	123.29	90.0	110.96
		* REIT SUBTOTAL *	\$0.00	\$123.29	\$0.00	\$123.29		\$110.96
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
04/10/2017	BAALS JT TEN, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.57	0.00	0.57	90.0	0.51
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$0.57	\$0.00	\$0.57		\$0.51
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/31/2017	WIEGAND, VARIOUS	PRUDENTIAL TRAILS	0.00	4.54	0.00	4.54	90.0	4.09
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$4.54	\$0.00	\$4.54		\$4.09
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$128.40	\$0.00	\$128.40		\$115.56

## SPLIT TRANSACTIONS

**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

04/17/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
04/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	6.56	0.00	6.56	90.0	5.90
04/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	6.56	0.00	6.56	90.0	5.90
04/17/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	175.00	3.06	0.00	3.06	90.0	2.75
04/17/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	200.00	3.33	0.00	3.33	90.0	3.00
/ /	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.33	0.00	0.33	90.0	0.30
/ /	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.39	0.00	0.39	90.0	0.35
/ /	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	0.72	0.00	0.72	90.0	0.65
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	7.26	0.00	7.26	90.0	6.53
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	5.24	0.00	5.24	90.0	4.72
04/21/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.18	0.00	0.18	90.0	0.16
04/21/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.18	0.00	0.18	90.0	0.16

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
/ /	CRAWFORD, JOHN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.66	0.00	1.66	90.0	1.49
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.09	0.00	1.09	90.0	0.98
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.08	0.00	1.08	90.0	0.97
/ /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	5.69	0.00	5.69	90.0	5.12
/ /	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.15	0.00	0.15	90.0	0.14
04/21/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.14	0.00	0.14	90.0	0.13
/ /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	1.52	0.00	1.52	90.0	1.37
/ /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.15	0.00	0.15	90.0	0.14
04/21/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.09	0.00	0.09	90.0	0.08
/ /	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.32	0.00	0.32	90.0	0.29
/ /	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	1.51	0.00	1.51	90.0	1.36
/ /	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.32	0.00	0.32	90.0	0.29
/ /	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.43	0.00	0.43	90.0	0.39
04/21/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.42	0.00	0.42	90.0	0.38
/ /	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.78	0.00	0.78	90.0	0.70
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.45	0.00	0.45	90.0	0.41
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.45	0.00	0.45	90.0	0.41
04/21/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
04/21/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
04/21/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
04/10/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.60	0.00	15.60	90.0	14.04
03/31/2017	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.89	0.00	45.89	90.0	41.30
/ /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	1.22	0.00	1.22	90.0	1.10
/ /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	0.67	0.00	0.67	90.0	0.60
/ /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.04	0.00	0.04	90.0	0.04
/ /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	0.71	0.00	0.71	90.0	0.64
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$1,455.00</b>	<b>\$117.43</b>	<b>\$0.00</b>	<b>\$117.43</b>		<b>\$105.72</b>
<b><u>BROKER: L135-KEITH/JOE LAYMAN/WIEGAND #267</u></b>								
/ /	MARKS, RICHARD	ASCENSUS 529 2031 ENROLLMENT PORT A	0.00	0.35	0.00	0.35	90.0	0.32
04/13/2017	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	1.19	0.00	1.19	90.0	1.07
<b>* L135-KEITH/JOE LAYMAN/WIEGAND SUBTOTAL *</b>			<b>\$50.00</b>	<b>\$1.54</b>	<b>\$0.00</b>	<b>\$1.54</b>		<b>\$1.39</b>

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BROKER TRANSACTIONS

*** SPLIT TRANSACTIONS TOTAL ***	\$1,505.00	\$118.97	\$0.00	\$118.97	\$107.11
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BROKER COMMISSION TOTALS

Broker Transactions	\$115.56
Split Transactions	\$107.11
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-425.23
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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Balance Forward	\$-202.56
Year-to-date Gross	\$1,131.35
Year-to-date Earnings	\$983.83

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