



COMMISSION STATEMENT July 15, 2017

KEITH LAYMAN
4321 Flagstaff

BROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/05/2017	ISLEY, TERRY	FIDELITY SELECT ENERGY PORTFOLIO	-5,000.00	0.00	0.00	0.00	90.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$-5,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
07/07/2016	BUNN, LINDA	FINANICAL PLANNING	0.00	750.00	0.00	750.00	90.0	675.00
07/07/2017	GUNSOLLEY, MARY	JEFFERSON NATIONAL	43,722.73	163.96	0.00	163.96	90.0	147.56
07/07/2017	DILLIE, MAXIE	JEFFERSON NATIONAL	49,175.26	184.41	0.00	184.41	90.0	165.97
07/07/2017	ORT, LEON	JEFFERSON NATIONAL	86,790.61	325.46	0.00	325.46	90.0	292.91
07/11/2017	SIEBER, JANE	JEFFERSON NATIONAL	0.00	983.92	0.00	983.92	90.0	885.53
07/11/2017	SIEBER, PAUL	JEFFERSON NATIONAL	0.00	1,185.97	0.00	1,185.97	90.0	1,067.37
07/06/2017	WILLING, PATRICIA	PERSHING	234,131.78	885.21	0.00	885.21	90.0	796.69
07/06/2017	JONES, TIM	PERSHING	62,686.96	237.01	0.00	237.01	90.0	213.31
07/06/2017	SIEBER, AARON	PERSHING	249,834.93	944.58	0.00	944.58	90.0	850.12
07/06/2017	JOUPPI, SHARON	PERSHING	247,828.88	937.00	0.00	937.00	90.0	843.30
07/06/2017	DAILEY, RITA	PERSHING	295,271.35	1,116.37	0.00	1,116.37	90.0	1,004.73
07/06/2017	GRIME, MARK	PERSHING	79,257.00	299.66	0.00	299.66	90.0	269.69
07/06/2017	KLITZMAN, RANDALL	PERSHING	61,299.60	231.76	0.00	231.76	90.0	208.58
07/06/2017	KLITZMAN, MARY	PERSHING	214,820.88	812.20	0.00	812.20	90.0	730.98
07/06/2017	FEDLER, CHARLES	PERSHING	181,893.46	687.71	0.00	687.71	90.0	618.94
07/06/2017	DODGE, CAROLYN	PERSHING	185,814.74	702.53	0.00	702.53	90.0	632.28
07/06/2017	TROUT, CHARLOTTE	PERSHING	23,812.19	90.03	0.00	90.03	90.0	81.03
07/06/2017	OAR, RITA	PERSHING	46,108.60	174.33	0.00	174.33	90.0	156.90
07/06/2017	SAHARIAN, CAROLYN	PERSHING	55,213.08	208.75	0.00	208.75	90.0	187.88
07/06/2017	SHERBURNE, STEVEN	PERSHING	228,176.68	862.70	0.00	862.70	90.0	776.43
07/06/2017	ZINN, ROBERT	PERSHING	162,453.83	511.84	0.00	511.84	90.0	460.66
07/06/2017	JAMSCO INC	PERSHING	111,199.66	420.43	0.00	420.43	90.0	378.39
07/06/2017	BERBER, CATHY	PERSHING	285,636.23	1,079.94	0.00	1,079.94	90.0	971.95

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/06/2017	PEPPLE, GLEN	PERSHING	43,207.53	163.36	0.00	163.36	90.0	147.02
07/06/2017	RICHEY, BECKY	PERSHING	17,473.06	66.06	0.00	66.06	90.0	59.45
07/06/2017	ASHBAUGH, JOHN	PERSHING	88,347.17	334.02	0.00	334.02	90.0	300.62
07/06/2017	ZEEDYK, JANET	PERSHING	77,491.39	292.98	0.00	292.98	90.0	263.68
07/06/2017	ASHBAUGH, ALAN	PERSHING	88,898.45	336.11	0.00	336.11	90.0	302.50
07/06/2017	WILCOX, TIMOTHY	PERSHING	71,460.49	270.18	0.00	270.18	90.0	243.16
07/06/2017	NICOLET, CHERYL	PERSHING	308,041.14	1,164.65	0.00	1,164.65	90.0	1,048.19
07/06/2017	LOVETT, DAVID	PERSHING	691.84	2.62	0.00	2.62	90.0	2.36
07/06/2017	RUSK, JULIA	PERSHING	70,015.52	264.72	0.00	264.72	90.0	238.25
07/06/2017	VAN AUKEN, KAREN	PERSHING	82,635.27	312.43	0.00	312.43	90.0	281.19
07/06/2017	GALENTINE, JOSHUA	PERSHING	63,068.12	238.45	0.00	238.45	90.0	214.61
07/06/2017	BRZYCKI, MARTIN	PERSHING	131,313.02	496.47	0.00	496.47	90.0	446.82
07/06/2017	BULLER, DOUG	PERSHING	11,506.68	43.50	0.00	43.50	90.0	39.15
07/06/2017	GUZIK, ROBERT	PERSHING	121,883.89	460.82	0.00	460.82	90.0	414.74
07/06/2017	AMBRIOLE, BETH	PERSHING	569.17	2.15	0.00	2.15	90.0	1.94
07/06/2017	KLOC, CLIFFORD	PERSHING	325,038.31	1,228.91	0.00	1,228.91	90.0	1,106.02
07/06/2017	DEGLER, DONNA	PERSHING	65,880.52	249.08	0.00	249.08	90.0	224.17
07/06/2017	HARDESTY, MARGARET	PERSHING	141,663.47	535.60	0.00	535.60	90.0	482.04
07/06/2017	HARDESTY, MARGARET	PERSHING	16,059.23	60.72	0.00	60.72	90.0	54.65
07/06/2017	HUESMAN, PATRICIA	PERSHING	285,953.89	1,081.14	0.00	1,081.14	90.0	973.03
07/06/2017	LIPSCOMB, SAMUEL	PERSHING	110,801.18	418.92	0.00	418.92	90.0	377.03
07/06/2017	DELANEY, DIANA	PERSHING	26,167.03	98.93	0.00	98.93	90.0	89.04
07/06/2017	DELANEY, MATTHEW	PERSHING	29,379.11	111.08	0.00	111.08	90.0	99.97
07/06/2017	KAUZLICK, MICHAEL	PERSHING	135,356.39	511.76	0.00	511.76	90.0	460.58
07/06/2017	ORT, LEON	PERSHING	95,877.16	362.49	0.00	362.49	90.0	326.24
07/06/2017	SIEBER, PAUL	PERSHING	83,473.77	315.60	0.00	315.60	90.0	284.04
07/06/2017	BUNN, LINDA	PERSHING	113,031.81	427.35	0.00	427.35	90.0	384.62
07/06/2017	HAHAJ, JUDITH	PERSHING	35,612.27	134.64	0.00	134.64	90.0	121.18
07/06/2017	MARCH, MELODY	PERSHING	140,653.95	531.79	0.00	531.79	90.0	478.61
07/06/2017	CLARK, KATHLEEN	PERSHING	25,217.09	95.34	0.00	95.34	90.0	85.81
07/06/2017	GRIME, LAURA	PERSHING	53,271.35	201.41	0.00	201.41	90.0	181.27
07/06/2017	REMENSCHNEIDER, DAVID	PERSHING	335,999.98	1,270.36	0.00	1,270.36	90.0	1,143.32
07/06/2017	VIELKIND, LAWRENCE	PERSHING	333,759.04	1,261.88	0.00	1,261.88	90.0	1,135.69

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
07/06/2017	NOLL, PRISCILLA	PERSHING	386,738.24	1,462.19	0.00	1,462.19	90.0	1,315.97
07/06/2017	KAUZLICK, SHAWN	PERSHING	53,068.68	200.64	0.00	200.64	90.0	180.58
07/06/2017	KLITZMAN, RANDALL	PERSHING	99,887.29	377.66	0.00	377.66	90.0	339.89
07/06/2017	LEWIS, FRANCES	PERSHING	12,915.26	48.83	0.00	48.83	90.0	43.95
07/06/2017	RICHEY, BECKY	PERSHING	439,201.87	1,660.54	0.00	1,660.54	90.0	1,494.49
07/06/2017	COMMUNITY GRACE	PERSHING	86,115.84	325.59	0.00	325.59	90.0	293.03
07/06/2017	BERNDT, TODD	PERSHING	228,852.12	288.42	0.00	288.42	90.0	259.58
07/06/2017	KAUFFMAN, JOHN	PERSHING	69,664.05	263.39	0.00	263.39	90.0	237.05
07/06/2017	ZAMZOW, RONALD	PERSHING	27,285.29	103.16	0.00	103.16	90.0	92.84
07/06/2017	ZAMZOW, CARRIE	PERSHING	30,366.88	114.81	0.00	114.81	90.0	103.33
07/06/2017	TUTTLE, THOMAS	PERSHING	101,111.91	382.29	0.00	382.29	90.0	344.06
07/06/2017	TILFORD, DARRELL	PERSHING	70,693.37	267.28	0.00	267.28	90.0	240.55
07/06/2017	TILFORD, DARRELL	PERSHING	167,788.44	634.38	0.00	634.38	90.0	570.94
07/06/2017	DRUHOT, SCOTT	PERSHING	97,424.65	368.35	0.00	368.35	90.0	331.52
07/06/2017	WEIDES, LISA	PERSHING	152,176.53	575.35	0.00	575.35	90.0	517.82
07/06/2017	IGNEY, SHELLEY	PERSHING	113,972.75	430.91	0.00	430.91	90.0	387.82
07/06/2017	BERNDT, BARBARA	PERSHING	41,831.19	158.16	0.00	158.16	90.0	142.34
07/06/2017	HOUSER, DANIEL	PERSHING	31,548.31	119.28	0.00	119.28	90.0	107.35
07/06/2017	ISLEY, TERRY	PERSHING	56,956.54	143.56	0.00	143.56	90.0	129.20
07/06/2017	AMBRIOLE, WESLEY	PERSHING	146,359.27	553.36	0.00	553.36	90.0	498.02
07/06/2017	REED, VICTORIA	PERSHING	218,858.81	827.47	0.00	827.47	90.0	744.72
07/06/2017	NOLL, PRISCILLA	PERSHING	15,392.04	58.19	0.00	58.19	90.0	52.37
07/06/2017	THORNTON, PAUL	PERSHING	409,657.86	1,032.56	0.00	1,032.56	90.0	929.30
07/06/2017	KING, PATRICIA	PERSHING	49,933.41	188.79	0.00	188.79	90.0	169.91
07/06/2017	KING, PATRICIA	PERSHING	88,446.50	334.40	0.00	334.40	90.0	300.96
07/06/2017	VIELKIND, LAWRENCE	PERSHING	57,442.06	217.18	0.00	217.18	90.0	195.46
07/06/2017	LIPSCOMB, JOAN	PERSHING	6,173.54	0.76	0.00	0.76	90.0	0.68
07/06/2017	LIPSCOMB, JOAN	PERSHING	6,175.20	23.35	0.00	23.35	90.0	21.02
07/06/2017	LALLO, GARY	PERSHING	344,018.18	867.11	0.00	867.11	90.0	780.40
07/06/2017	THORNTON, PAUL	PERSHING	300,819.79	758.23	0.00	758.23	90.0	682.41
* RIA SUBTOTAL *			\$10,345,802.61	\$39,927.48	\$0.00	\$39,927.48		\$35,934.75
*** BROKER TRANSACTIONS TOTAL ***			\$10,340,802.61	\$39,927.48	\$0.00	\$39,927.48		\$35,934.75

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
07/06/2017	HAMM, GAIL	PERSHING	244,596.38	323.68	0.00	323.68	90.0	291.31
07/06/2017	HAMM, GAIL	PERSHING	98,499.15	130.35	0.00	130.35	90.0	117.32
07/06/2017	HAMM, GAIL	PERSHING	116,840.36	154.61	0.00	154.61	90.0	139.15
07/06/2017	EISERLE, DAVID	PERSHING	18,497.18	24.47	0.00	24.47	90.0	22.02
07/06/2017	NOVELL, DEANA	PERSHING	102,387.16	135.49	0.00	135.49	90.0	121.94
07/06/2017	GROTE, DONALD	PERSHING	108,659.97	143.78	0.00	143.78	90.0	129.40
07/06/2017	GROTE, DONALD	PERSHING	29,310.21	38.78	0.00	38.78	90.0	34.90
07/06/2017	CHIDDISTER, LISA	PERSHING	152.37	0.21	0.00	0.21	90.0	0.19
07/06/2017	KAISER, ROBIN	PERSHING	46,003.60	60.87	0.00	60.87	90.0	54.78
07/06/2017	KAISER, ROBERT	PERSHING	15,329.95	20.28	0.00	20.28	90.0	18.25
07/06/2017	GAY, RICHARD	PERSHING	22,726.59	30.07	0.00	30.07	90.0	27.06
07/06/2017	SORG, RICHARD	PERSHING	77,956.38	68.77	0.00	68.77	90.0	61.89
07/06/2017	BURTNETTE, PATRICIA	PERSHING	149,674.01	198.06	0.00	198.06	90.0	178.25
07/06/2017	BAILEY, LAURIE	PERSHING	44,979.00	59.52	0.00	59.52	90.0	53.57
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,075,612.31	\$1,388.94	\$0.00	\$1,388.94		\$1,250.03
*** SPLIT TRANSACTIONS TOTAL ***			\$1,075,612.31	\$1,388.94	\$0.00	\$1,388.94		\$1,250.03

ADJUSTMENTS

07/15/2017	*PERSHING: PROSPECTUS	0.00						-7.37
07/15/2017	*PERSHING: PROSPECTUS	0.00						-5.44
07/15/2017	*PERSHING: PROSPECTUS	0.00						-0.54
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-13.35

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BROKER COMMISSION TOTALS

Broker Transactions	\$35,934.75
Split Transactions	\$1,250.03
Override Transactions	\$0.00
Adjustments	\$-13.35
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$37,171.43
Year-to-date Gross	\$210,149.97
Year-to-date Earnings	\$188,993.58