

All Companies  
**STATEMENT**  
July 20, 2018

NICK CONSOLES  
1 Merrill Industrial Dr Suite  
SUITE 620  
Hampton, NH 03842

BROKER #: 155  
BRANCH:

COMMISSION STATEMENT for NICK CONSOLES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
06/07/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	18.06	0.18	75.0	0.00	0.14	0.14
06/07/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	10.84	0.11	75.0	0.00	0.08	0.08
06/11/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.15
06/11/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.15
06/11/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	12.60	0.13	75.0	0.00	0.10	0.10
06/11/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	150.00	1.50	75.0	0.00	1.13	1.13
06/18/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.15
06/18/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.15
06/18/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	150.00	1.50	75.0	0.00	1.13	1.13
06/18/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	13.86	0.14	75.0	0.00	0.11	0.11
06/20/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	22.31	0.22	75.0	0.00	0.17	0.17
06/20/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	37.19	0.37	75.0	0.00	0.28	0.28
06/25/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.15
06/25/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.15
06/25/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	14.49	0.14	75.0	0.00	0.11	0.11
06/25/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	150.00	1.50	75.0	0.00	1.13	1.13
06/28/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.15
06/28/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.15
06/28/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	13.86	0.14	75.0	0.00	0.11	0.11
06/28/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	150.00	1.50	75.0	0.00	1.13	1.13
* MUTUAL FUNDS SUBTOTAL *				<b>\$902.17</b>	<b>\$9.03</b>	<b>\$0.00</b>	<b>\$6.82</b>	<b>\$0.00</b>	<b>\$6.82</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

06/01/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	0.00	11.99	75.0	0.00	8.99	8.99
06/01/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	0.00	4.13	75.0	0.00	3.10	3.10
06/01/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	0.00	15.19	75.0	0.00	11.39	11.39
06/01/2018	#04000769139	COSTA, JANICE	2055 TARGET DATE RETIREMENT FUND	0.00	0.50	75.0	0.00	0.38	0.38
06/01/2018	#04000542764	PATENAUE, RYAN	AMERICAN FUNDS 02631C445	0.00	0.21	75.0	0.00	0.16	0.16

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/01/2018	#04000542767	PERRY, JORDAN	AMERICAN FUNDS 02631C445	0.00	0.09	75.0	0.00	0.07	0.07
06/01/2018	#04000542788		AMERICAN FUNDS 02631C445	0.00	0.08	75.0	0.00	0.06	0.06
06/01/2018	#04000542766	HUFF, KENNETH	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.07	75.0	0.00	0.05	0.05
06/01/2018	#04000542779	COTE, GREGORY	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	1.14	75.0	0.00	0.86	0.86
06/01/2018	#04000769148	LECLAIR,	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	0.44	75.0	0.00	0.33	0.33
06/01/2018	#04000542790		AMERICAN FUNDS 2040 TARGET DATE-A	0.00	0.25	75.0	0.00	0.19	0.19
06/01/2018	#04000542781		AMERICAN FUNDS 2045 TARGET DATE-A	0.00	0.24	75.0	0.00	0.18	0.18
06/01/2018	#04000544326		AMERICAN FUNDS 2045 TARGET DATE-A	0.00	0.53	75.0	0.00	0.40	0.40
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$34.86	\$0.00	\$26.16	\$0.00	\$26.16
*** BROKER TRANSACTIONS TOTAL ***				\$902.17	\$43.89	\$0.00	\$32.98	\$0.00	\$32.98

ADJUSTMENTS

07/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$32.98
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-2,642.23
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-3,108.25
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