

**COMMISSION STATEMENT****June 30, 2018**

JEFF/JASON, K148 KAUFMAN/KEMBLE

BROKER #: K148

BRANCH: JEFFREY KAUFMAN

, MI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^06/11/2018 | LANGE, ARLEN | AM FUNDS AMERICAN BALANCED A | 800.00 | 40.00 | 0.00 | 40.00 | 100.0 | 0.00 |
| ^06/13/2018 | WAHLIG, KACEY | AM FUNDS AMERICAN BALANCED A | 20.51 | 0.21 | 0.00 | 0.21 | 100.0 | 0.00 |
| ^06/13/2018 | WAHLIG, KACEY | AM FUNDS AMERICAN BALANCED A | 20.51 | 0.21 | 0.00 | 0.21 | 100.0 | 0.00 |
| ^06/18/2018 | BAILEY, JASON | AM FUNDS AMERICAN BALANCED A | 250.00 | 6.88 | 0.00 | 6.88 | 100.0 | 0.00 |
| ^06/13/2018 | LUKIC, MIROSLAV | AM FUNDS EUROPACIFIC GROWTH FUND A | 28.27 | 0.28 | 0.00 | 0.28 | 100.0 | 0.00 |
| ^06/13/2018 | LUKIC, MIROSLAV | AM FUNDS EUROPACIFIC GROWTH FUND A | 28.27 | 0.28 | 0.00 | 0.28 | 100.0 | 0.00 |
| ^06/13/2018 | SKELTON, SARAH | AM FUNDS EUROPACIFIC GROWTH FUND A | 40.37 | 0.40 | 0.00 | 0.40 | 100.0 | 0.00 |
| ^06/13/2018 | SKELTON, SARAH | AM FUNDS EUROPACIFIC GROWTH FUND A | 40.37 | 0.40 | 0.00 | 0.40 | 100.0 | 0.00 |
| ^06/11/2018 | MORIARITY, COREY | AM FUNDS GROWTH FUND OF AMERICA A | 897.54 | 10.77 | 0.00 | 10.77 | 100.0 | 0.00 |
| ^06/11/2018 | WHEAT, BRYAN | AM FUNDS GROWTH FUND OF AMERICA A | 229.00 | 11.45 | 0.00 | 11.45 | 100.0 | 0.00 |
| ^06/13/2018 | CARSON, MATTHEW | AM FUNDS GROWTH FUND OF AMERICA A | 51.36 | 0.51 | 0.00 | 0.51 | 100.0 | 0.00 |
| ^06/13/2018 | CARSON, MATTHEW | AM FUNDS GROWTH FUND OF AMERICA A | 51.36 | 0.51 | 0.00 | 0.51 | 100.0 | 0.00 |
| ^06/13/2018 | EISELE, HAMILTON | AM FUNDS GROWTH FUND OF AMERICA A | 20.25 | 0.20 | 0.00 | 0.20 | 100.0 | 0.00 |
| ^06/13/2018 | EISELE, HAMILTON | AM FUNDS GROWTH FUND OF AMERICA A | 27.00 | 0.27 | 0.00 | 0.27 | 100.0 | 0.00 |
| ^06/13/2018 | DOOLEY, BRUCE | AM FUNDS GROWTH FUND OF AMERICA A | 46.69 | 0.47 | 0.00 | 0.47 | 100.0 | 0.00 |
| ^06/13/2018 | DOOLEY, BRUCE | AM FUNDS GROWTH FUND OF AMERICA A | 46.69 | 0.47 | 0.00 | 0.47 | 100.0 | 0.00 |
| ^06/13/2018 | BONHAM, NICOLE | AM FUNDS GROWTH FUND OF AMERICA A | 11.70 | 0.12 | 0.00 | 0.12 | 100.0 | 0.00 |
| ^06/13/2018 | BONHAM, NICOLE | AM FUNDS GROWTH FUND OF AMERICA A | 11.70 | 0.12 | 0.00 | 0.12 | 100.0 | 0.00 |
| ^06/13/2018 | LUKIC, MIROSLAV | AM FUNDS GROWTH FUND OF AMERICA A | 29.13 | 0.29 | 0.00 | 0.29 | 100.0 | 0.00 |
| ^06/13/2018 | LUKIC, MIROSLAV | AM FUNDS GROWTH FUND OF AMERICA A | 29.13 | 0.29 | 0.00 | 0.29 | 100.0 | 0.00 |
| ^06/13/2018 | BOEDECKER, SUZANNE | AM FUNDS GROWTH FUND OF AMERICA A | 51.56 | 0.52 | 0.00 | 0.52 | 100.0 | 0.00 |
| ^06/13/2018 | BOEDECKER, SUZANNE | AM FUNDS GROWTH FUND OF AMERICA A | 51.56 | 0.52 | 0.00 | 0.52 | 100.0 | 0.00 |
| ^06/13/2018 | WILBANKS, DAVID | AM FUNDS GROWTH FUND OF AMERICA A | 44.06 | 0.44 | 0.00 | 0.44 | 100.0 | 0.00 |
| ^06/13/2018 | WILBANKS, DAVID | AM FUNDS GROWTH FUND OF AMERICA A | 44.06 | 0.44 | 0.00 | 0.44 | 100.0 | 0.00 |
| ^06/13/2018 | YARICK, ABIGAIL | AM FUNDS GROWTH FUND OF AMERICA A | 2.69 | 0.03 | 0.00 | 0.03 | 100.0 | 0.00 |
| ^06/13/2018 | YARICK, ABIGAIL | AM FUNDS GROWTH FUND OF AMERICA A | 2.69 | 0.03 | 0.00 | 0.03 | 100.0 | 0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^06/13/2018 | CATALANO, SHELBY | AM FUNDS GROWTH FUND OF AMERICA A | 39.96 | 0.40 | 0.00 | 0.40 | 100.0 | 0.00 |
| ^06/13/2018 | CATALANO, SHELBY | AM FUNDS GROWTH FUND OF AMERICA A | 39.96 | 0.40 | 0.00 | 0.40 | 100.0 | 0.00 |
| ^06/13/2018 | SHIELDS, DANA | AM FUNDS GROWTH FUND OF AMERICA A | 32.06 | 0.32 | 0.00 | 0.32 | 100.0 | 0.00 |
| ^06/13/2018 | SHIELDS, DANA | AM FUNDS GROWTH FUND OF AMERICA A | 74.80 | 0.75 | 0.00 | 0.75 | 100.0 | 0.00 |
| ^06/13/2018 | PINTOR, SARAH | AM FUNDS GROWTH FUND OF AMERICA A | 7.76 | 0.08 | 0.00 | 0.08 | 100.0 | 0.00 |
| ^06/13/2018 | PINTOR, SARAH | AM FUNDS GROWTH FUND OF AMERICA A | 7.76 | 0.08 | 0.00 | 0.08 | 100.0 | 0.00 |
| ^06/13/2018 | PANHORST, ELIZABETH | AM FUNDS GROWTH FUND OF AMERICA A | 36.00 | 0.36 | 0.00 | 0.36 | 100.0 | 0.00 |
| ^06/13/2018 | PANHORST, ELIZABETH | AM FUNDS GROWTH FUND OF AMERICA A | 36.00 | 0.36 | 0.00 | 0.36 | 100.0 | 0.00 |
| ^06/13/2018 | ASBURY, CANAAN | AM FUNDS GROWTH FUND OF AMERICA A | 41.84 | 0.42 | 0.00 | 0.42 | 100.0 | 0.00 |
| ^06/13/2018 | ASBURY, CANAAN | AM FUNDS GROWTH FUND OF AMERICA A | 41.84 | 0.42 | 0.00 | 0.42 | 100.0 | 0.00 |
| ^06/13/2018 | DOWNES, JANICE | AM FUNDS GROWTH FUND OF AMERICA A | 46.88 | 0.47 | 0.00 | 0.47 | 100.0 | 0.00 |
| ^06/13/2018 | DOWNES, JANICE | AM FUNDS GROWTH FUND OF AMERICA A | 46.88 | 0.47 | 0.00 | 0.47 | 100.0 | 0.00 |
| ^06/13/2018 | DIXON, CAITLIN | AM FUNDS GROWTH FUND OF AMERICA A | 31.20 | 0.31 | 0.00 | 0.31 | 100.0 | 0.00 |
| ^06/13/2018 | DIXON, CAITLIN | AM FUNDS GROWTH FUND OF AMERICA A | 31.20 | 0.31 | 0.00 | 0.31 | 100.0 | 0.00 |
| ^06/13/2018 | JOHNSON, CHADRIC | AM FUNDS GROWTH FUND OF AMERICA A | 42.57 | 0.43 | 0.00 | 0.43 | 100.0 | 0.00 |
| ^06/13/2018 | JOHNSON, CHADRIC | AM FUNDS GROWTH FUND OF AMERICA A | 42.57 | 0.43 | 0.00 | 0.43 | 100.0 | 0.00 |
| ^06/14/2018 | GUTIERREZ, GRISELDA | AM FUNDS GROWTH FUND OF AMERICA A | 26.98 | 1.35 | 0.00 | 1.35 | 100.0 | 0.00 |
| ^06/14/2018 | GUTIERREZ, GRISELDA | AM FUNDS GROWTH FUND OF AMERICA A | 26.98 | 1.35 | 0.00 | 1.35 | 100.0 | 0.00 |
| ^06/15/2018 | THOMPSON, TERRY | AM FUNDS GROWTH FUND OF AMERICA A | 300.00 | 3.60 | 0.00 | 3.60 | 100.0 | 0.00 |
| ^06/15/2018 | MCNERNEY, DYLAN | AM FUNDS GROWTH FUND OF AMERICA A | 100.00 | 5.00 | 0.00 | 5.00 | 100.0 | 0.00 |
| ^06/15/2018 | MCNERNEY, DASAN | AM FUNDS GROWTH FUND OF AMERICA A | 100.00 | 5.00 | 0.00 | 5.00 | 100.0 | 0.00 |
| ^06/18/2018 | BAILEY, JASON | AM FUNDS GROWTH FUND OF AMERICA A | 250.00 | 6.88 | 0.00 | 6.88 | 100.0 | 0.00 |
| ^06/20/2018 | SUMNER, CALEB | AM FUNDS GROWTH FUND OF AMERICA A | 520.84 | 22.14 | 0.00 | 22.14 | 100.0 | 0.00 |
| ^06/20/2018 | SUMNER, CALEB | AM FUNDS GROWTH FUND OF AMERICA A | 89.91 | 3.82 | 0.00 | 3.82 | 100.0 | 0.00 |
| ^06/20/2018 | SUMNER, CASEY | AM FUNDS GROWTH FUND OF AMERICA A | 520.84 | 22.14 | 0.00 | 22.14 | 100.0 | 0.00 |
| ^06/20/2018 | SUMNER, CASEY | AM FUNDS GROWTH FUND OF AMERICA A | 19.36 | 0.82 | 0.00 | 0.82 | 100.0 | 0.00 |
| ^06/20/2018 | BAILEY, JUDITH | AM FUNDS GROWTH FUND OF AMERICA A | 60.00 | 3.00 | 0.00 | 3.00 | 100.0 | 0.00 |
| ^06/20/2018 | MCNERNEY, DAVID | AM FUNDS GROWTH FUND OF AMERICA A | 100.00 | 5.00 | 0.00 | 5.00 | 100.0 | 0.00 |
| ^06/21/2018 | GUIER, BETHANY | AM FUNDS GROWTH FUND OF AMERICA A | 50.00 | 2.50 | 0.00 | 2.50 | 100.0 | 0.00 |
| ^06/13/2018 | CULLEY, TRACY | AM FUNDS GROWTH PORT A | 48.61 | 0.49 | 0.00 | 0.49 | 100.0 | 0.00 |
| ^06/13/2018 | CULLEY, TRACY | AM FUNDS GROWTH PORT A | 162.03 | 1.62 | 0.00 | 1.62 | 100.0 | 0.00 |
| ^06/13/2018 | ZELLMER, RIANNON | AM FUNDS ICA A | 19.40 | 0.19 | 0.00 | 0.19 | 100.0 | 0.00 |
| ^06/13/2018 | ZELLMER, RIANNON | AM FUNDS ICA A | 19.40 | 0.19 | 0.00 | 0.19 | 100.0 | 0.00 |

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** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|----------------------|----------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^06/13/2018 | WAHLIG, KACEY | AM FUNDS ICA A | 20.51 | 0.21 | 0.00 | 0.21 | 100.0 | 0.00 |
| ^06/13/2018 | WAHLIG, KACEY | AM FUNDS ICA A | 20.51 | 0.21 | 0.00 | 0.21 | 100.0 | 0.00 |
| ^06/13/2018 | HELMANDOLLAR, OLIVIA | AM FUNDS ICA A | 24.01 | 0.24 | 0.00 | 0.24 | 100.0 | 0.00 |
| ^06/13/2018 | HELMANDOLLAR, OLIVIA | AM FUNDS ICA A | 24.01 | 0.24 | 0.00 | 0.24 | 100.0 | 0.00 |
| ^06/21/2018 | GUIER, BETHANY | AM FUNDS ICA A | 50.00 | 2.50 | 0.00 | 2.50 | 100.0 | 0.00 |
| ^06/13/2018 | POLSON, CHRISTOPHER | AM FUNDS NEW ECONOMY A | 42.97 | 0.43 | 0.00 | 0.43 | 100.0 | 0.00 |
| ^06/13/2018 | POLSON, CHRISTOPHER | AM FUNDS NEW ECONOMY A | 42.97 | 0.43 | 0.00 | 0.43 | 100.0 | 0.00 |
| ^06/20/2018 | SUMNER, CALEB | AM FUNDS NEW ECONOMY A | 520.83 | 22.14 | 0.00 | 22.14 | 100.0 | 0.00 |
| ^06/20/2018 | SUMNER, CALEB | AM FUNDS NEW ECONOMY A | 89.92 | 3.82 | 0.00 | 3.82 | 100.0 | 0.00 |
| ^06/20/2018 | SUMNER, CASEY | AM FUNDS NEW ECONOMY A | 520.83 | 22.14 | 0.00 | 22.14 | 100.0 | 0.00 |
| ^06/20/2018 | SUMNER, CASEY | AM FUNDS NEW ECONOMY A | 19.35 | 0.82 | 0.00 | 0.82 | 100.0 | 0.00 |
| ^06/13/2018 | MCNERNEY, DAVID | AM FUNDS NEW PERSPECTIVE A | 123.00 | 1.23 | 0.00 | 1.23 | 100.0 | 0.00 |
| ^06/13/2018 | MCNERNEY, DAVID | AM FUNDS NEW PERSPECTIVE A | 312.85 | 3.13 | 0.00 | 3.13 | 100.0 | 0.00 |
| ^06/13/2018 | EISELE, HAMILTON | AM FUNDS NEW WORLD A | 20.25 | 0.20 | 0.00 | 0.20 | 100.0 | 0.00 |
| ^06/13/2018 | EISELE, HAMILTON | AM FUNDS NEW WORLD A | 27.00 | 0.27 | 0.00 | 0.27 | 100.0 | 0.00 |
| ^06/13/2018 | STIDHAM, AUSTIN | AM FUNDS NEW WORLD A | 33.79 | 0.34 | 0.00 | 0.34 | 100.0 | 0.00 |
| ^06/13/2018 | STIDHAM, AUSTIN | AM FUNDS NEW WORLD A | 56.31 | 0.56 | 0.00 | 0.56 | 100.0 | 0.00 |
| ^06/11/2018 | LANGE, ARLEN | AM FUNDS SMALLCAP WORLD A | 200.00 | 10.00 | 0.00 | 10.00 | 100.0 | 0.00 |
| ^06/13/2018 | HELMANDOLLAR, OLIVIA | AM FUNDS SMALLCAP WORLD A | 24.01 | 0.24 | 0.00 | 0.24 | 100.0 | 0.00 |
| ^06/13/2018 | HELMANDOLLAR, OLIVIA | AM FUNDS SMALLCAP WORLD A | 24.01 | 0.24 | 0.00 | 0.24 | 100.0 | 0.00 |
| ^06/13/2018 | LUKIC, MIROSLAV | AM FUNDS SMALLCAP WORLD A | 28.27 | 0.28 | 0.00 | 0.28 | 100.0 | 0.00 |
| ^06/13/2018 | LUKIC, MIROSLAV | AM FUNDS SMALLCAP WORLD A | 28.27 | 0.28 | 0.00 | 0.28 | 100.0 | 0.00 |
| ^06/15/2018 | THOMPSON, TERRY | AM FUNDS SMALLCAP WORLD A | 300.00 | 3.60 | 0.00 | 3.60 | 100.0 | 0.00 |
| ^06/15/2018 | DOOLEY, BRUCE | AM FUNDS SMALLCAP WORLD A | 50.00 | 2.50 | 0.00 | 2.50 | 100.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$8,493.77 | \$243.26 | \$0.00 | \$243.26 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$8,493.77 | \$243.26 | \$0.00 | \$243.26 | | \$0.00 |

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** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|---------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |