



All Companies
COMMISSION STATEMENT
March 15, 2018

JOHN HOWARD RUSSELL
4618 118TH STREET

BROKER #: 9632
BRANCH: HOME OFFICE

Lubbock, TX 79424-

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/05/2018	00064112804	FORD, LAVOID	AMERICAN FUNDS CAPITAL WORLD	GROWT B	333.33	9.17	0.00	9.17	85.0	7.79
03/07/2018	00067852430	SADLER, PAULA/TAYLOR	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75	85.0	3.19
03/07/2018	00067852431	SADLER, PAULA/HAYDEN	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75	85.0	3.19
03/07/2018	00067852432	SADLER, PAULA/MICHAEL	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75	85.0	3.19
03/07/2018	00068646098	SADLER, PAULA/NATALIE	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75	85.0	3.19
02/20/2018	00073404499	SULLIVAN/POTTS, SHERRY/MEGAN	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	85.0	0.85
02/22/2018	00083499515	SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN	B	200.00	2.00	0.00	2.00	85.0	1.70
03/08/2018	00083499515	SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN	B	200.00	2.00	0.00	2.00	85.0	1.70
03/01/2018	00083626829	SEYMORE, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	B	150.00	1.50	0.00	1.50	85.0	1.28
03/05/2018	00084635934	GIBSON/KIDD, ANTHONY/RYLAN	FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	85.0	1.70
02/20/2018	00085797272	RUSSELL/PEEPLES, DAVID/HAVEN	AMERICAN BALANCED FUND-529A	B	50.00	1.88	0.00	1.88	85.0	1.60
02/20/2018	00085797295	RUSSELL/PEEPLES, DAVID/KATE	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	85.0	1.60
02/20/2018	00085797300	RUSSELL/PEEPLES, DAVID/ELLE	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	85.0	1.60
02/20/2018	00087000587	MORGAN, CHERIE/CHASE	CAPITAL INCOME BUILDER-529C	B	100.00	1.00	0.00	1.00	85.0	0.85
02/20/2018	00087000599	MORGAN, CHERIE/PIERCE	CAPITAL INCOME BUILDER-529C	B	100.00	1.00	0.00	1.00	85.0	0.85
03/05/2018	00087214046	WATSON, CROSBY/BETSY	CAPITAL WORLD GROWTH AND INCOME FUN	B	25.00	0.25	0.00	0.25	85.0	0.21
03/06/2018	00087621936	MORRIS, JACKSON	FUNDAMENTAL INVESTORS-C	B	25.00	0.25	0.00	0.25	85.0	0.21
02/23/2018	00088263914	GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14	85.0	0.12
02/23/2018	00088263914	GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14	85.0	0.12

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/06/2018	00088263914	GREESON, JOHN D.		CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14 85.0 0.12
03/06/2018	00088263914	GREESON, JOHN D.		CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14 85.0 0.12
03/09/2018	00088263914	GREESON, JOHN D.		CAPITAL INCOME BUILDER-C	B	17.03	0.17	0.00	0.17 85.0 0.14
03/09/2018	00088263914	GREESON, JOHN D.		CAPITAL INCOME BUILDER-C	B	17.03	0.17	0.00	0.17 85.0 0.14
02/23/2018	00088263914	GREESON, JOHN D.		EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28 85.0 0.24
02/23/2018	00088263914	GREESON, JOHN D.		EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28 85.0 0.24
03/06/2018	00088263914	GREESON, JOHN D.		EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28 85.0 0.24
03/06/2018	00088263914	GREESON, JOHN D.		EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28 85.0 0.24
03/09/2018	00088263914	GREESON, JOHN D.		EUROPACIFIC GROWTH FUND-C	B	34.58	0.35	0.00	0.35 85.0 0.30
03/09/2018	00088263914	GREESON, JOHN D.		EUROPACIFIC GROWTH FUND-C	B	34.58	0.35	0.00	0.35 85.0 0.30
02/23/2018	00088263934	DUNN, JOSEPH C.		FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38 85.0 0.32
02/23/2018	00088263934	DUNN, JOSEPH C.		FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38 85.0 0.32
03/06/2018	00088263934	DUNN, JOSEPH C.		FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38 85.0 0.32
03/06/2018	00088263934	DUNN, JOSEPH C.		FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38 85.0 0.32
03/09/2018	00088263934	DUNN, JOSEPH C.		FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38 85.0 0.32
03/09/2018	00088263934	DUNN, JOSEPH C.		FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38 85.0 0.32
02/23/2018	00088263934	DUNN, JOSEPH C.		NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38 85.0 0.32
02/23/2018	00088263934	DUNN, JOSEPH C.		NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38 85.0 0.32
03/06/2018	00088263934	DUNN, JOSEPH C.		NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38 85.0 0.32
03/06/2018	00088263934	DUNN, JOSEPH C.		NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38 85.0 0.32
03/09/2018	00088263934	DUNN, JOSEPH C.		NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38 85.0 0.32
03/09/2018	00088263934	DUNN, JOSEPH C.		NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38 85.0 0.32
02/23/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	18.08	0.18	0.00	0.18 85.0 0.15
02/23/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	18.08	0.18	0.00	0.18 85.0 0.15
03/06/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
03/06/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
03/09/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
03/09/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
02/23/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	18.07	0.18	0.00	0.18 85.0 0.15
02/23/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	18.07	0.18	0.00	0.18 85.0 0.15
03/06/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
03/06/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
03/09/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
03/09/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
02/23/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
02/23/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
03/06/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
03/06/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
03/09/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
03/09/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
02/23/2018	00088263961	GENTRY, ANGIE L.	NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
02/23/2018	00088263961	GENTRY, ANGIE L.	NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
03/06/2018	00088263961	GENTRY, ANGIE L.	NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
03/06/2018	00088263961	GENTRY, ANGIE L.	NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
03/09/2018	00088263961	GENTRY, ANGIE L.	NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
03/09/2018	00088263961	GENTRY, ANGIE L.	NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
02/23/2018	00088263979	MESEY, BRIAN K.	FUNDAMENTAL INVESTORS-C	B	17.34	0.17	0.00	0.17	85.0	0.14
02/23/2018	00088263979	MESEY, BRIAN K.	FUNDAMENTAL INVESTORS-C	B	17.34	0.17	0.00	0.17	85.0	0.14
03/06/2018	00088263979	MESEY, BRIAN K.	FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13	85.0	0.11
03/06/2018	00088263979	MESEY, BRIAN K.	FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13	85.0	0.11
03/09/2018	00088263979	MESEY, BRIAN K.	FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13	85.0	0.11
03/09/2018	00088263979	MESEY, BRIAN K.	FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13	85.0	0.11
02/23/2018	00088263979	MESEY, BRIAN K.	THE GROWTH FUND OF AMERICA-C	B	26.01	0.26	0.00	0.26	85.0	0.22
02/23/2018	00088263979	MESEY, BRIAN K.	THE GROWTH FUND OF AMERICA-C	B	26.01	0.26	0.00	0.26	85.0	0.22
03/06/2018	00088263979	MESEY, BRIAN K.	THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19	85.0	0.16
03/06/2018	00088263979	MESEY, BRIAN K.	THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19	85.0	0.16
03/09/2018	00088263979	MESEY, BRIAN K.	THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19	85.0	0.16
03/09/2018	00088263979	MESEY, BRIAN K.	THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19	85.0	0.16
02/23/2018	00088270587	MILLER, CLIFFORD C.	NEW PERSPECTIVE FUND-C	B	27.30	0.27	0.00	0.27	85.0	0.23
02/23/2018	00088270587	MILLER, CLIFFORD C.	NEW PERSPECTIVE FUND-C	B	27.30	0.27	0.00	0.27	85.0	0.23
03/06/2018	00088270587	MILLER, CLIFFORD C.	NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22	85.0	0.19
03/06/2018	00088270587	MILLER, CLIFFORD C.	NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22	85.0	0.19
03/09/2018	00088270587	MILLER, CLIFFORD C.	NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22	85.0	0.19
03/09/2018	00088270587	MILLER, CLIFFORD C.	NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22	85.0	0.19
02/23/2018	00088270587	MILLER, CLIFFORD C.	THE GROWTH FUND OF AMERICA-C	B	27.30	0.27	0.00	0.27	85.0	0.23
02/23/2018	00088270587	MILLER, CLIFFORD C.	THE GROWTH FUND OF AMERICA-C	B	27.30	0.27	0.00	0.27	85.0	0.23
03/06/2018	00088270587	MILLER, CLIFFORD C.	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
03/06/2018	00088270587	MILLER, CLIFFORD C.	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
03/09/2018	00088270587	MILLER, CLIFFORD C.	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/09/2018	00088270587 MILLER, CLIFFORD C.	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
02/23/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	15.00	0.15	0.00	0.15	85.0	0.13
02/23/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	4.36	0.04	0.00	0.04	85.0	0.03
03/06/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	15.00	0.15	0.00	0.15	85.0	0.13
03/06/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	4.36	0.04	0.00	0.04	85.0	0.03
03/09/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	15.00	0.15	0.00	0.15	85.0	0.13
03/09/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	4.36	0.04	0.00	0.04	85.0	0.03
02/23/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	15.00	0.15	0.00	0.15	85.0	0.13
02/23/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	4.35	0.04	0.00	0.04	85.0	0.03
03/06/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	15.00	0.15	0.00	0.15	85.0	0.13
03/06/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	4.35	0.04	0.00	0.04	85.0	0.03
03/09/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	15.00	0.15	0.00	0.15	85.0	0.13
03/09/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	4.35	0.04	0.00	0.04	85.0	0.03
02/23/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26	85.0	1.07
02/23/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26	85.0	1.07
03/06/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	90.00	0.90	0.00	0.90	85.0	0.77
03/06/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	90.00	0.90	0.00	0.90	85.0	0.77
03/09/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26	85.0	1.07
03/09/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26	85.0	1.07
02/23/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	39.92	0.40	0.00	0.40	85.0	0.34
02/23/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	39.92	0.40	0.00	0.40	85.0	0.34
03/06/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	12.02	0.12	0.00	0.12	85.0	0.10
03/06/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	12.02	0.12	0.00	0.12	85.0	0.10
03/09/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
03/09/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
02/23/2018	00088495479 SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	79.83	0.80	0.00	0.80	85.0	0.68
02/23/2018	00088495479 SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	79.84	0.80	0.00	0.80	85.0	0.68
03/06/2018	00088495479 SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	24.03	0.24	0.00	0.24	85.0	0.20
03/06/2018	00088495479 SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	24.02	0.24	0.00	0.24	85.0	0.20
03/09/2018	00088495479 SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	13.87	0.14	0.00	0.14	85.0	0.12
03/09/2018	00088495479 SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	13.88	0.14	0.00	0.14	85.0	0.12
02/23/2018	00088495479 SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	39.92	0.40	0.00	0.40	85.0	0.34
02/23/2018	00088495479 SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	39.91	0.40	0.00	0.40	85.0	0.34
03/06/2018	00088495479 SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	12.02	0.12	0.00	0.12	85.0	0.10
03/06/2018	00088495479 SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	12.01	0.12	0.00	0.12	85.0	0.10
03/09/2018	00088495479 SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.94	0.07	0.00	0.07	85.0	0.06
03/09/2018	00088495479 SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.93	0.07	0.00	0.07	85.0	0.06
02/23/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	72.66	0.73	0.00	0.73	85.0	0.62
02/23/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	72.66	0.73	0.00	0.73	85.0	0.62

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/06/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
03/06/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
03/09/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
03/09/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
02/23/2018	00089144953	RODRIGUEZ, SMALLCAP WORLD FUND-C	B	30.00	0.30	0.00	0.30	85.0	0.26
	JOHNATAN								
02/23/2018	00089144953	RODRIGUEZ, SMALLCAP WORLD FUND-C	B	30.00	0.30	0.00	0.30	85.0	0.26
	JOHNATAN								
03/06/2018	00089144953	RODRIGUEZ, SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
	JOHNATAN								
03/06/2018	00089144953	RODRIGUEZ, SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
	JOHNATAN								
03/09/2018	00089144953	RODRIGUEZ, SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
	JOHNATAN								
03/09/2018	00089144953	RODRIGUEZ, SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
	JOHNATAN								
03/01/2018	00089514707	HAMILTON, JAMES THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
02/23/2018	00089680678	CHAMPION, AUBREY THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
	J.								
02/23/2018	00089680678	CHAMPION, AUBREY THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
	J.								
03/06/2018	00089680678	CHAMPION, AUBREY THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
	J.								
03/06/2018	00089680678	CHAMPION, AUBREY THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
	J.								
03/09/2018	00089680678	CHAMPION, AUBREY THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
	J.								
03/09/2018	00089680678	CHAMPION, AUBREY THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
	J.								
03/01/2018	00089985511	YOUNG, VICTORIA FUNDAMENTAL INVESTORS-C	B	100.00	1.00	0.00	1.00	85.0	0.85
	JOBE								
02/23/2018	04000175390	MCADAMS, NICHOLAS THE GROWTH FUND OF AMERICA-C	B	43.65	0.44	0.00	0.44	85.0	0.37
	W.								
02/23/2018	04000175390	MCADAMS, NICHOLAS THE GROWTH FUND OF AMERICA-C	B	43.65	0.44	0.00	0.44	85.0	0.37
	W.								
03/06/2018	04000175390	MCADAMS, NICHOLAS THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
	W.								
03/06/2018	04000175390	MCADAMS, NICHOLAS THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
	W.								
03/09/2018	04000175390	MCADAMS, NICHOLAS THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
W.									
03/09/2018	04000175390	MCADAMS, NICHOLAS	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0 0.28
W.									
03/05/2018	04000234902	OTT, BRIAN L.	FUNDAMENTAL INVESTORS-529A	B	250.00	12.50	0.00	12.50	85.0 10.63
02/20/2018	04000273061	TORREZ, HEATHER	FUNDAMENTAL INVESTORS-529C	B	375.00	3.75	0.00	3.75	85.0 3.19
02/23/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.00	0.18	0.00	0.18	85.0 0.15
02/23/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.00	0.18	0.00	0.18	85.0 0.15
03/06/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.23	0.18	0.00	0.18	85.0 0.15
03/06/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.23	0.18	0.00	0.18	85.0 0.15
03/09/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.45	0.18	0.00	0.18	85.0 0.15
03/09/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.45	0.18	0.00	0.18	85.0 0.15
02/23/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0 0.20
02/23/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0 0.20
03/06/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0 0.20
03/06/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0 0.20
03/09/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0 0.20
03/09/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0 0.20
02/23/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.42	0.51	0.00	0.51	85.0 0.43
02/23/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.42	0.51	0.00	0.51	85.0 0.43
03/06/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.66	0.49	0.00	0.49	85.0 0.42
03/06/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.66	0.49	0.00	0.49	85.0 0.42
03/09/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.04	0.50	0.00	0.50	85.0 0.43
03/09/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.04	0.50	0.00	0.50	85.0 0.43
* MUTUAL FUNDS SUBTOTAL *					<u>\$6,769.78</u>	<u>\$102.89</u>	<u>\$0.00</u>	<u>\$102.89</u>	<u>\$87.46</u>
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
03/02/2018	TRAILS	-JOHN HANCOCK		B	15.38	15.38	0.00	15.38	85.0 13.07
* VARIABLE ANNUITIES SUBTOTAL *					<u>\$15.38</u>	<u>\$15.38</u>	<u>\$0.00</u>	<u>\$15.38</u>	<u>\$13.07</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES			0.00	3,461.88	0.00	3,461.88	85.0 2,942.67
03/15/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES			0.00	2.20	0.00	2.20	85.0 1.87
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					<u>\$0.00</u>	<u>\$3,464.08</u>	<u>\$0.00</u>	<u>\$3,464.08</u>	<u>\$2,944.54</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$6,785.16</u>	<u>\$3,582.35</u>	<u>\$0.00</u>	<u>\$3,582.35</u>	<u>\$3,045.07</u>

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

03/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
03/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$3,045.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-33.26

Check Amount	\$2,884.31
Year-to-date Earnings	\$13,702.52