

**COMMISSION STATEMENT****November 15, 2017**

WILLIAM CASILLAS, JR
 4120 Rio Bravo
 Ste. 307
 El Paso, TX 79902

BROKER #: C124
 BRANCH: WILLIAM CASILLAS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	AGUILAR, VERONICA	JH 529 PORT 2021-2024 A	0.00	0.55	0.00	0.55	82.0	0.45
/ /	MENDEZ, JESUS	JH 529 PORT 2021-2024 A	0.00	6.78	0.00	6.78	82.0	5.56
11/02/2017	MENDEZ, JESUS	JH 529 PORT 2021-2024 A	300.00	12.75	0.00	12.75	82.0	10.46
11/06/2017	AGUILAR, VERONICA	JH 529 PORT 2021-2024 A	50.00	2.13	0.00	2.13	82.0	1.75
/ /	MENDEZ, JESUS	JH 529 PORT 2021-2024 B	0.00	0.82	0.00	0.82	82.0	0.67
/ /	RODRIGUEZ, TRESHA	JH 529 PORT 2029-2032 A	0.00	0.56	0.00	0.56	82.0	0.46
/ /	RODRIGUEZ, TRESHA	JH 529 PORT 2029-2032 A	0.00	2.13	0.00	2.13	82.0	1.75
* MUTUAL FUNDS SUBTOTAL *			\$350.00	\$25.72	\$0.00	\$25.72		\$21.10
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/02/2017	SPINE & REHAB, 401K	GWL GRP ANNUITY 401K	320,929.05	136.28	0.00	136.28	82.0	111.75
10/31/2017	ZACOUR, PAUL	JNL PERSPECTIVE L	65,926.08	54.94	0.00	54.94	82.0	45.05
10/31/2017	CASTILLO, JOSE	JOHN HANCOCK VENTURE	690,997.49	431.87	0.00	431.87	82.0	354.13
10/31/2017	ALVARADO, JOSE	JOHN HANCOCK VENTURE	18,018.07	3.75	0.00	3.75	82.0	3.08
10/31/2017	CASTILLO, JOSE	JOHN HANCOCK VENTURE	76,437.77	47.77	0.00	47.77	82.0	39.17
* VARIABLE ANNUITIES SUBTOTAL *			\$1,172,308.46	\$674.61	\$0.00	\$674.61		\$553.18
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/27/2017	BOCK, JOHN	BLACKROCK EMERGING MARKETS DIVIDEND	0.00	98.45	0.00	98.45	82.0	80.73
10/23/2017	BOCK, JOHN	FRANKLIN INCOME C	0.00	79.87	0.00	79.87	82.0	65.49
10/20/2017	BOCK, JOHN	LORD ABBETT BOND DEBENTURE C	0.00	100.65	0.00	100.65	82.0	82.53
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$278.97	\$0.00	\$278.97		\$228.75
*** DIRECT TRANSACTIONS TOTAL ***			\$1,172,658.46	\$979.30	\$0.00	\$979.30		\$803.03

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
11/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*TECH: ADMIN TECH - AUDREY TERRAZAS	0.00					-100.00
11/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-675.00

BROKER COMMISSION TOTALS

Broker Transactions	\$803.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-675.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$128.03
Year-to-date Gross	\$35,822.58
Year-to-date Earnings	\$29,338.60

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