

**COMMISSION STATEMENT****November 30, 2017**

B151-JASON/MARIA BAIRD/BONGIOVANNI

BROKER #: B151 Terminated 12/31/2016

BRANCH: SECURE ASSET FINANCI

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
11/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	0.00
11/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	0.00
* 529 PLANS SUBTOTAL *			\$600.00	\$6.00	\$0.00	\$6.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/15/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	6.00	0.00	6.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$600.00	\$6.00	\$0.00	\$6.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/07/2017	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	0.00
11/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	0.0	0.00
11/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	2.84	0.00	2.84	0.0	0.00
11/22/2017	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	2.88	0.00	2.88	0.0	0.00
11/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	2.10	0.00	2.10	0.0	0.00
11/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	0.0	0.00
11/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	4.92	0.00	4.92	0.0	0.00
11/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.89	0.00	0.89	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$1,317.78	\$16.64	\$0.00	\$16.64		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	2.07	0.00	2.07	0.0	0.00
10/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.04	0.00	0.04	0.0	0.00
10/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.03	0.00	0.03	0.0	0.00
10/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.15	0.00	7.15	0.0	0.00
10/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	31.91	0.00	31.91	0.0	0.00
10/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	10.64	0.00	10.64	0.0	0.00
10/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.30	0.00	8.30	0.0	0.00
10/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.38	0.00	2.38	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	24.91	0.00	24.91	0.0	0.00
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$87.43	\$0.00	\$87.43		\$0.00
		*** DIRECT TRANSACTIONS TOTAL ***	\$2,517.78	\$116.07	\$0.00	\$116.07		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$7,266.29
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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