

COMMISSION STATEMENT

April 03, 2017

WILLIAM BEHRENS

28 DELL STREET

SLEEPY HOLLOW, NY 10591

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for WILLIAM BEHRENS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
02/27/2017	75501364	GOBLECK, JASON	LRAD CORPORATION	S	1,492.06	74.60	0.00	74.60	80.0	59.68
03/24/2017	75501476	BEHRENS, KATHLEEN	WHITING PETROLEUM CORPORATION	S	415.25	50.00	0.00	50.00	80.0	0.00
03/03/2017	75501823	HOGAN, MARTIN	SNAP INC CLASS A COMMON STOCK	B	13,713.40	125.00	0.00	125.00	80.0	100.00
* STOCKS SUBTOTAL *					\$15,620.71	\$249.60	\$0.00	\$249.60		\$159.68
PRODUCT CATEGORY: RIA										
03/08/2017	75501413	SMITH, THOMAS	FAS FEES	B	0.11	-0.11	0.00	-0.11	80.0	0.00
* RIA SUBTOTAL *					\$0.11	\$-0.11	\$0.00	\$-0.11		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/10/2017	75501457	CANIZARES, JORGE	MFS VALUE FUND-A	B	0.00	0.01	0.00	0.01	80.0	0.00
03/10/2017	75501472	SKROCKI, RICHARD	LORD ABBETT NEW JERSEY TAX FREE INC	B	0.00	8.63	0.00	8.63	80.0	6.90
03/10/2017	75501489	CONLON, ITA	FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	14.62	0.00	14.62	80.0	11.70
03/10/2017	75501489	CONLON, ITA	ROCHESTER FUND MUNICIPALS A	B	0.00	13.23	0.00	13.23	80.0	10.58
03/10/2017	75501492	VECKER, LAWRENCE	**VOYA SER FD INC MIDCAP	B	0.00	2.63	0.00	2.63	80.0	2.10
03/10/2017	75501530	GREENE, HADLEY	LORD ABBETT SHORT DURATION INCOME F	B	0.00	19.20	0.00	19.20	80.0	15.36
03/10/2017	75501530	GREENE, HADLEY	LORD ABBETT SECS TR VALUE OPPTYS FD	B	0.00	5.35	0.00	5.35	80.0	4.28
03/28/2017	75501535	SCARPELLI, CONNIE	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.38	0.00	0.38	80.0	0.00
03/10/2017	75501909	DERBY, ROSEMARIE	PUTNAM NEW OPPORTUNITIES FUND CLASS	B	0.00	0.69	0.00	0.69	80.0	0.00
03/10/2017	75501931	MELANSON, MARK	BOND-DEBENTURE FUND CLASS A	B	0.00	3.02	0.00	3.02	80.0	2.42
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$67.76	\$0.00	\$67.76		\$53.34
*** BROKER TRANSACTIONS TOTAL ***					\$15,620.82	\$317.25	\$0.00	\$317.25		\$213.02

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ADJUSTMENTS

04/03/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$213.02
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.40
SIPC Assessment	\$-5.95

Check Amount	\$186.67
Year-to-date Earnings	\$1,705.47