

# Bill Payment Stub

Check Date:	4/6/2018
Check No.:	ACH
Check Amount:	15.49

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: SPENCER JOHNSON  
422 MAIN STREET  
CEDAR FALLS, IA 50613

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
1/31/2018	Bill	COMMISSIONS	6.93	6.93		6.93
2/28/2018	Bill	COMMISSIONS	2.28	2.28		2.28
3/31/2018	Bill	COMMISSIONS	6.28	6.28		6.28

# COMMISSION STATEMENT

March 31, 2018

SPENCER JOHNSON-383056

BROKER #: 383056

BRANCH: SAMPLE HOME OFFICE

, TX

## COMMISSION STATEMENT for SPENCER JOHNSON-383056

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
03/07/2018	431392912	KAVANAGH, KATHLEEN	MF	VANGUARD TOTAL STOCK MKT INDEX	S	3,662.45	0.00	5.00	-5.00	21.0	-1.05
					* MUTUAL FUNDS SUBTOTAL *	\$3,662.45	\$0.00	\$5.00	\$-5.00		\$-1.05
PRODUCT CATEGORY: STOCKS											
03/09/2018	368464222	KAVANAGH, KATHLEEN	S	ANHEUSER-BUSCH INBEV SPONS ADR	B	3,473.22	22.00	15.00	7.00	21.0	1.47
03/09/2018	368464222	KAVANAGH, KATHLEEN	S	ILLINOIS TOOL WORKS INC	B	4,269.68	22.00	15.00	7.00	21.0	1.47
					* STOCKS SUBTOTAL *	\$7,742.90	\$44.00	\$30.00	\$14.00		\$2.94
PRODUCT CATEGORY: MISCELLANEOUS											
03/08/2018	a03383056	JOHNSON-383056,	MI	MUTUAL FUND MISC FEE 431392912	S	0.00	10.00	0.00	10.00	21.0	2.10
					* MISCELLANEOUS SUBTOTAL *	\$0.00	\$10.00	\$0.00	\$10.00		\$2.10
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
03/31/2018				FEE COUNT - 1		0.00	0.44	0.00	0.44	21.0	0.09
03/31/2018				FEE COUNT - 2		0.00	0.61	0.00	0.61	21.0	0.13
03/31/2018				FEE COUNT - 3		0.00	1.48	0.00	1.48	21.0	0.31
03/31/2018				FEE COUNT - 4		0.00	2.04	0.00	2.04	21.0	0.43
03/31/2018				FEE COUNT - 5		0.00	0.29	0.00	0.29	21.0	0.06
03/31/2018				FEE COUNT - 6		0.00	0.68	0.00	0.68	21.0	0.14
03/31/2018				FEE COUNT - 7		0.00	0.34	0.00	0.34	21.0	0.07
03/31/2018				FEE COUNT - 8		0.00	0.63	0.00	0.63	21.0	0.13
03/31/2018				FEE COUNT - 9		0.00	1.12	0.00	1.12	21.0	0.24
03/31/2018				FEE COUNT - 10		0.00	2.12	0.00	2.12	21.0	0.45
03/31/2018				FEE COUNT - 11		0.00	0.35	0.00	0.35	21.0	0.07
03/31/2018				FEE COUNT - 12		0.00	0.82	0.00	0.82	21.0	0.17
					* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$10.92	\$0.00	\$10.92		\$2.29
					*** CLEARING TRANSACTIONS TOTAL ***	\$11,405.35	\$64.92	\$35.00	\$29.92		\$6.28

**BROKER COMMISSION TOTALS**

Broker Transactions	\$6.28
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$6.28</b>
<b>Year-to-date Commissions</b>	<b>\$128.18</b>