



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 30, 2017

06/29/2017
 Page No. 1

101 CFGROUP/KOSANKE
 1120 E. Long Lake Road
 Suite 250
 Troy, MI 48085

BROKER #: 101
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
06/15/2017	KOPCZYK TRUST, LAWRENCE &	PATRBLUEROCK TOTAL INC & REAL ESTATE FU	0.00	31.37	0.00	31.37	95.0	29.80
06/15/2017	MODRYCKI, MARGUERITE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.07	0.00	0.07	95.0	0.07
06/15/2017	MODRYCKI, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.09	0.00	0.09	95.0	0.09
06/15/2017	MODRYCKI TRUST, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.51	0.00	9.51	95.0	9.03
06/15/2017	MERRITT REVOCABLE TRUST, KATHY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.49	0.00	5.49	95.0	5.22
06/15/2017	MCKENZIE TRUST, ROBERT &	DONNABLUEROCK TOTAL INC & REAL ESTATE FU	0.00	8.24	0.00	8.24	95.0	7.83
06/15/2017	ORTAGO, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	34.69	0.00	34.69	95.0	32.96
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$89.46	\$0.00	\$89.46		\$85.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/19/2017	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
06/19/2017	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
06/19/2017	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
06/19/2017	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
06/07/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	95.0	2.85
06/19/2017	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
06/19/2017	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
06/21/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	95.0	2.85
06/19/2017	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
06/19/2017	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
06/15/2017	STOLL, BENJAMIN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
^06/15/2017	VAN DAELE, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	5.00	0.00	5.00	95.0	0.00
06/23/2017	SILVERSTEIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
06/12/2017	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	600.00	12.00	0.00	12.00	95.0	11.40
06/12/2017	NANAS, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	4.75
06/20/2017	NAGEOTTE, NIKOLAUS	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	4.75
06/13/2017	RICHARDS, COLE	AM FUNDS EUROPACIFIC GROWTH FUND 52	100.00	5.00	0.00	5.00	95.0	4.75

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^06/15/2017	VAN DAELE, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND 52	100.00	5.00	0.00	5.00	95.0	0.00
06/05/2017	KEMP, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	95.0	2.02
06/12/2017	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	600.00	12.00	0.00	12.00	95.0	11.40
06/19/2017	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
06/19/2017	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
06/05/2017	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
06/19/2017	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
06/19/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	25.00	0.25	0.00	0.25	95.0	0.24
06/19/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	25.00	0.25	0.00	0.25	95.0	0.24
06/12/2017	GINGELL, PAUL	AM FUNDS ICA A	600.00	12.00	0.00	12.00	95.0	11.40
06/15/2017	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
06/19/2017	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
06/19/2017	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
06/12/2017	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	600.00	12.00	0.00	12.00	95.0	11.40
06/12/2017	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	4.75
06/19/2017	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
06/19/2017	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
06/12/2017	MOWINSKI, SERGIO	AM FUNDS NEW WORLD C	150.00	1.50	0.00	1.50	95.0	1.43
06/19/2017	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
06/19/2017	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
06/05/2017	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
06/12/2017	IVEZAJ, GYSTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.88	0.00	1.88	95.0	1.79
06/15/2017	KEMP, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
06/15/2017	BEHNKE, DANIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	95.0	2.38
06/15/2017	BEHNKE, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	95.0	4.75
06/15/2017	ULJAJ, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
06/19/2017	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
06/19/2017	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
06/19/2017	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
05/31/2017	GALLAS, JAMES L & ANN M	BLACKROCK 19452P473	0.00	7.50	0.00	7.50	95.0	7.13
05/31/2017	GALLAS, ANN	BLACKROCK 19452P473	0.00	3.65	0.00	3.65	95.0	3.47
05/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	7.63	0.00	7.63	95.0	7.25
05/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	2.54	0.00	2.54	95.0	2.41

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P610	0.00	2.07	0.00	2.07	95.0	1.97
05/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	2.12	0.00	2.12	95.0	2.01
05/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	6.31	0.00	6.31	95.0	5.99
05/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	6.36	0.00	6.36	95.0	6.04
05/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	2.10	0.00	2.10	95.0	2.00
05/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P636	0.00	6.44	0.00	6.44	95.0	6.12
05/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P636	0.00	6.08	0.00	6.08	95.0	5.78
05/31/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	0.00	0.76	0.00	0.76	95.0	0.72
05/31/2017	MODRYCKI, DONALD	BLK AGG 10-12 AGE BASED A	0.00	0.06	0.00	0.06	95.0	0.06
05/31/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	0.00	1.75	0.00	1.75	95.0	1.66
05/31/2017	MERRITT, GREGORY	BLK AGG 10-12 AGE BASED A	0.00	4.49	0.00	4.49	95.0	4.27
05/31/2017	YASCH, KEN	BLK AGG 13-16 AGE BASED A	0.00	0.59	0.00	0.59	95.0	0.56
05/31/2017	YASCH, SANDY	BLK AGG 6-9 AGE BASED A	0.00	0.61	0.00	0.61	95.0	0.58
05/31/2017	WILLIT	BLK AGG GROWTH A	0.00	4.12	0.00	4.12	95.0	3.91
05/31/2017	BAKER, JEAN-ANNE	BLK COLLEGE ADVANTAGE 529 PLAN	0.00	0.09	0.00	0.09	95.0	0.09
05/31/2017	BAKER, JEAN-ANNE	BLK COLLEGE ADVANTAGE 529 PLAN	0.00	0.03	0.00	0.03	95.0	0.03
05/31/2017	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.16	0.00	0.16	95.0	0.15
05/31/2017	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.49	0.00	0.49	95.0	0.47
05/31/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.12	0.00	0.12	95.0	0.11
05/31/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	1.10	0.00	1.10	95.0	1.05
05/31/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.37	0.00	0.37	95.0	0.35
05/31/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	0.00	0.06	0.00	0.06	95.0	0.06
05/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	2.54	0.00	2.54	95.0	2.41
05/31/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	0.00	0.17	0.00	0.17	95.0	0.16
05/31/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.36	0.00	0.36	95.0	0.34
05/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.85	0.00	0.85	95.0	0.81
05/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.77	0.00	0.77	95.0	0.73
05/31/2017	CFGROUP, VARIOUS	BLK MOD 0-5 AGE BASED C	0.00	1.11	0.00	1.11	95.0	1.05
05/31/2017	CFGROUP, VARIOUS	BLK MOD 0-5 AGE BASED C	0.00	3.34	0.00	3.34	95.0	3.17
05/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	1.83	0.00	1.83	95.0	1.74
05/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	0.61	0.00	0.61	95.0	0.58
05/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	1.08	0.00	1.08	95.0	1.03
05/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	3.25	0.00	3.25	95.0	3.09

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	2.31	0.00	2.31	95.0	2.19
05/31/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED A	0.00	0.98	0.00	0.98	95.0	0.93
05/31/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	0.00	3.26	0.00	3.26	95.0	3.10
05/31/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED A	0.00	3.26	0.00	3.26	95.0	3.10
05/31/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	0.00	3.26	0.00	3.26	95.0	3.10
05/31/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED C	0.00	0.65	0.00	0.65	95.0	0.62
05/31/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	0.00	7.36	0.00	7.36	95.0	6.99
05/31/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	0.00	2.45	0.00	2.45	95.0	2.33
05/31/2017	BARENTS, SUZANNE	BLK MOD 10-12 AGE BASED C	0.00	3.28	0.00	3.28	95.0	3.12
05/31/2017	BARENTS, SUZANNE	BLK MOD 10-12 AGE BASED C	0.00	1.09	0.00	1.09	95.0	1.04
05/31/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED C	0.00	1.96	0.00	1.96	95.0	1.86
05/31/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	0.00	7.36	0.00	7.36	95.0	6.99
05/31/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	0.00	2.45	0.00	2.45	95.0	2.33
05/31/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED C	0.00	0.81	0.00	0.81	95.0	0.77
05/31/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	0.00	0.13	0.00	0.13	95.0	0.12
05/31/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	0.00	0.40	0.00	0.40	95.0	0.38
05/31/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED C	0.00	2.44	0.00	2.44	95.0	2.32
06/02/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
06/02/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
05/31/2017	MODRYCKI, DONALD	BLK MOD 13-16 AGE BASED A	0.00	0.14	0.00	0.14	95.0	0.13
05/31/2017	HOOTNER-JONES, ANN	BLK MOD 13-16 AGE BASED A	0.00	5.00	0.00	5.00	95.0	4.75
05/31/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	0.00	2.36	0.00	2.36	95.0	2.24
05/31/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	0.00	4.22	0.00	4.22	95.0	4.01
05/31/2017	NIK, YASCH	BLK MOD 13-16 AGE BASED A	0.00	0.56	0.00	0.56	95.0	0.53
05/31/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED A	0.00	1.41	0.00	1.41	95.0	1.34
05/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED A	0.00	2.94	0.00	2.94	95.0	2.79
05/31/2017	MERRITT, GREGORY	BLK MOD 13-16 AGE BASED A	0.00	2.03	0.00	2.03	95.0	1.93
05/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	0.93	0.00	0.93	95.0	0.88
05/31/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED C	0.00	0.66	0.00	0.66	95.0	0.63
05/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	2.80	0.00	2.80	95.0	2.66
05/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	1.46	0.00	1.46	95.0	1.39
05/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	0.60	0.00	0.60	95.0	0.57
05/31/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED C	0.00	1.97	0.00	1.97	95.0	1.87

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	1.79	0.00	1.79	95.0	1.70
05/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	0.49	0.00	0.49	95.0	0.47
05/31/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	3.90	0.00	3.90	95.0	3.71
05/31/2017	MUENCH, DANIEL	BLK MOD 17+ AGE BASED A	0.00	2.38	0.00	2.38	95.0	2.26
05/31/2017	MODRYCKI, DONALD	BLK MOD 17+ AGE BASED A	0.00	0.07	0.00	0.07	95.0	0.07
05/31/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	2.21	0.00	2.21	95.0	2.10
05/31/2017	MERRITT, GREGORY	BLK MOD 17+ AGE BASED A	0.00	0.39	0.00	0.39	95.0	0.37
05/31/2017	MUENCH, DANIEL	BLK MOD 17+ AGE BASED A	0.00	0.88	0.00	0.88	95.0	0.84
05/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED A	0.00	0.37	0.00	0.37	95.0	0.35
05/31/2017	MERRITT, GREGORY	BLK MOD 6-9 AGE BASED A	0.00	4.08	0.00	4.08	95.0	3.88
05/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.26	0.00	0.26	95.0	0.25
05/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.09	0.00	0.09	95.0	0.09
05/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	2.46	0.00	2.46	95.0	2.34
05/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	0.82	0.00	0.82	95.0	0.78
05/31/2017	COOPER, LISA	BLK MOD 6-9 AGE BASED C	0.00	6.63	0.00	6.63	95.0	6.30
05/31/2017	COOPER, LISA	BLK MOD 6-9 AGE BASED C	0.00	2.21	0.00	2.21	95.0	2.10
05/31/2017	BARENTS, SUZANNE	BLK MOD 6-9 AGE BASED C	0.00	3.37	0.00	3.37	95.0	3.20
05/31/2017	BARENTS, SUZANNE	BLK MOD 6-9 AGE BASED C	0.00	1.12	0.00	1.12	95.0	1.06
05/31/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	3.24	0.00	3.24	95.0	3.08
05/31/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	1.08	0.00	1.08	95.0	1.03
05/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	0.81	0.00	0.81	95.0	0.77
05/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	2.44	0.00	2.44	95.0	2.32
05/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.06	0.00	0.06	95.0	0.06
05/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.19	0.00	0.19	95.0	0.18
06/05/2017	KOSUTH, SARA	COLUMBIA STRATEGIC INVESTOR A	100.00	5.00	0.00	5.00	95.0	4.75
06/01/2017	PARTALIS, CHRISTINE	DAVIS NEW YORK VENTURE A	100.00	4.00	0.00	4.00	95.0	3.80
06/15/2017	ROBINETTE, JANET	DAVIS NEW YORK VENTURE A	50.00	2.00	0.00	2.00	95.0	1.90
06/15/2017	MORICI, MICHELLE	DAVIS NEW YORK VENTURE B	50.00	2.00	0.00	2.00	95.0	1.90
06/15/2017	GARLAND, DAWN	DAVIS NEW YORK VENTURE B	30.00	1.20	0.00	1.20	95.0	1.14
06/09/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
06/23/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
06/05/2017	BERGLUND, KRIS	INVESCO AMERICAN FRANCHISE A	50.00	2.50	0.00	2.50	95.0	2.38
06/14/2017	LAI, MICHAEL	JH FINANCIAL INDUSTRIES A	0.00	1.17	0.00	1.17	95.0	1.11

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/14/2017	MALINAK, FRANCES	JH FINANCIAL INDUSTRIES A	0.00	6.99	0.00	6.99	95.0	6.64
06/14/2017	BOHACEK, STEVE	JH LARGE CAP EQUITY A	0.00	5.60	0.00	5.60	95.0	5.32
06/14/2017	RACK, ROBERT	JH LARGE CAP EQUITY A	0.00	5.61	0.00	5.61	95.0	5.33
06/14/2017	ENGLEBERT, JAMES	JH REGIONAL BANK A	0.00	4.66	0.00	4.66	95.0	4.43
06/14/2017	HELTSLEY, MARTHA	JH REGIONAL BANK A	0.00	1.80	0.00	1.80	95.0	1.71
06/14/2017	BOZELKA, KEVIN	JH REGIONAL BANK A	0.00	1.85	0.00	1.85	95.0	1.76
06/14/2017	JAHNKE, DENNIS	JH REGIONAL BANK A	0.00	4.37	0.00	4.37	95.0	4.15
06/14/2017	MIKLEVICH, MICHAEL	JH REGIONAL BANK A	0.00	6.51	0.00	6.51	95.0	6.18
06/14/2017	EIS (MINOR), MARGO	JH STRATEGIC GROWTH A	0.00	0.43	0.00	0.43	95.0	0.41
06/14/2017	MIKLEVICH, MICHAEL	JH STRATEGIC GROWTH A	0.00	0.49	0.00	0.49	95.0	0.47
06/14/2017	EIS (MINOR), AUSTIN	JH STRATEGIC GROWTH A	0.00	0.69	0.00	0.69	95.0	0.66
06/14/2017	EIS (MINOR), STEFANIE	JH STRATEGIC GROWTH A	0.00	0.69	0.00	0.69	95.0	0.66
06/14/2017	EIS, AUSTIN	JN DISCIPLINED VALUE INT'L A	0.00	1.61	0.00	1.61	95.0	1.53
06/14/2017	EIS, STEFANIE	JN DISCIPLINED VALUE INT'L A	0.00	1.61	0.00	1.61	95.0	1.53
06/12/2017	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	18.91	0.19	0.00	0.19	95.0	0.18
06/12/2017	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	11.34	0.11	0.00	0.11	95.0	0.10
06/12/2017	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	37.50	0.38	0.00	0.38	95.0	0.36
06/12/2017	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	37.50	0.38	0.00	0.38	95.0	0.36
06/12/2017	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
06/12/2017	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
06/12/2017	DINKA, JOHN	OPPENHEIMER CAPITAL APPRECIATION C	617.50	6.18	0.00	6.18	95.0	5.87
06/12/2017	DINKA, JOHN	OPPENHEIMER CAPITAL APPRECIATION C	150.00	1.50	0.00	1.50	95.0	1.43
06/12/2017	DINKA, JOHN	OPPENHEIMER CAPITAL APPRECIATION C	617.50	6.18	0.00	6.18	95.0	5.87
06/12/2017	DINKA, JOHN	OPPENHEIMER CAPITAL APPRECIATION C	150.00	1.50	0.00	1.50	95.0	1.43
06/12/2017	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	120.00	1.20	0.00	1.20	95.0	1.14
06/12/2017	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	47.27	0.47	0.00	0.47	95.0	0.45
06/12/2017	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	220.00	2.20	0.00	2.20	95.0	2.09
06/12/2017	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	64.53	0.65	0.00	0.65	95.0	0.62
06/12/2017	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	60.00	0.60	0.00	0.60	95.0	0.57
06/12/2017	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	23.63	0.24	0.00	0.24	95.0	0.23
06/12/2017	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	110.00	1.10	0.00	1.10	95.0	1.05
06/12/2017	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	32.27	0.32	0.00	0.32	95.0	0.30
06/12/2017	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	141.28	1.41	0.00	1.41	95.0	1.34

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/12/2017	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	24.93	0.25	0.00	0.25	95.0	0.24
06/12/2017	DAMORE, MONICA	OPPENHEIMER EQUITY INCOME C	141.28	1.41	0.00	1.41	95.0	1.34
06/12/2017	DAMORE, MONICA	OPPENHEIMER EQUITY INCOME C	24.93	0.25	0.00	0.25	95.0	0.24
06/12/2017	ELIAS, JANICE	OPPENHEIMER GLOBAL C	18.36	0.18	0.00	0.18	95.0	0.17
06/12/2017	ELIAS, JANICE	OPPENHEIMER GLOBAL C	11.02	0.11	0.00	0.11	95.0	0.10
06/12/2017	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	141.28	1.41	0.00	1.41	95.0	1.34
06/12/2017	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	24.93	0.25	0.00	0.25	95.0	0.24
06/12/2017	DINKA, CATHERINE	OPPENHEIMER GLOBAL OPPORTUNITIES C	154.38	1.54	0.00	1.54	95.0	1.46
06/12/2017	DINKA, CATHERINE	OPPENHEIMER GLOBAL OPPORTUNITIES C	37.50	0.38	0.00	0.38	95.0	0.36
06/12/2017	DINKA, JOHN	OPPENHEIMER GLOBAL OPPORTUNITIES C	308.75	3.09	0.00	3.09	95.0	2.94
06/12/2017	DINKA, JOHN	OPPENHEIMER GLOBAL OPPORTUNITIES C	75.00	0.75	0.00	0.75	95.0	0.71
06/12/2017	DINKA, JOHN	OPPENHEIMER GLOBAL OPPORTUNITIES C	308.75	3.09	0.00	3.09	95.0	2.94
06/12/2017	DINKA, JOHN	OPPENHEIMER GLOBAL OPPORTUNITIES C	75.00	0.75	0.00	0.75	95.0	0.71
06/12/2017	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	120.00	1.20	0.00	1.20	95.0	1.14
06/12/2017	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	47.27	0.47	0.00	0.47	95.0	0.45
06/12/2017	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	220.00	2.20	0.00	2.20	95.0	2.09
06/12/2017	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	64.53	0.65	0.00	0.65	95.0	0.62
06/12/2017	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	18.36	0.18	0.00	0.18	95.0	0.17
06/12/2017	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	11.02	0.11	0.00	0.11	95.0	0.10
06/12/2017	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	37.50	0.38	0.00	0.38	95.0	0.36
06/12/2017	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	37.50	0.38	0.00	0.38	95.0	0.36
06/12/2017	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	25.00	0.25	0.00	0.25	95.0	0.24
06/12/2017	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	25.00	0.25	0.00	0.25	95.0	0.24
06/12/2017	DINKA, CATHERINE	OPPENHEIMER MAIN ST SELECT C	463.13	4.63	0.00	4.63	95.0	4.40
06/12/2017	DINKA, CATHERINE	OPPENHEIMER MAIN ST SELECT C	112.50	1.13	0.00	1.13	95.0	1.07
06/12/2017	DAMORE, MONICA	OPPENHEIMER MAIN ST SMALL & MID CAP	141.28	1.41	0.00	1.41	95.0	1.34
06/12/2017	DAMORE, MONICA	OPPENHEIMER MAIN ST SMALL & MID CAP	24.93	0.25	0.00	0.25	95.0	0.24
06/12/2017	DINKA, CATHERINE	OPPENHEIMER MAIN ST SMALL & MID CAP	463.11	4.63	0.00	4.63	95.0	4.40
06/12/2017	DINKA, CATHERINE	OPPENHEIMER MAIN ST SMALL & MID CAP	112.50	1.13	0.00	1.13	95.0	1.07
06/12/2017	DINKA, CATHERINE	OPPENHEIMER MAIN STREET C	463.13	4.63	0.00	4.63	95.0	4.40
06/12/2017	DINKA, CATHERINE	OPPENHEIMER MAIN STREET C	112.50	1.13	0.00	1.13	95.0	1.07
06/15/2017	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	50.00	0.50	0.00	0.50	95.0	0.48
06/12/2017	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	150.00	1.50	0.00	1.50	95.0	1.43

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/12/2017	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	150.00	1.50	0.00	1.50	95.0	1.43
06/12/2017	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	100.00	1.00	0.00	1.00	95.0	0.95
06/12/2017	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	100.00	1.00	0.00	1.00	95.0	0.95
06/12/2017	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	900.00	9.00	0.00	9.00	95.0	8.55
06/12/2017	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	600.00	6.00	0.00	6.00	95.0	5.70
06/12/2017	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	125.55	1.26	0.00	1.26	95.0	1.20
06/12/2017	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	86.95	0.87	0.00	0.87	95.0	0.83
06/12/2017	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
06/12/2017	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	37.04	0.37	0.00	0.37	95.0	0.35
06/12/2017	DINKA, JOHN	OPPENHEIMER VALUE C	617.50	6.18	0.00	6.18	95.0	5.87
06/12/2017	DINKA, JOHN	OPPENHEIMER VALUE C	150.00	1.50	0.00	1.50	95.0	1.43
06/12/2017	DINKA, JOHN	OPPENHEIMER VALUE C	617.50	6.18	0.00	6.18	95.0	5.87
06/12/2017	DINKA, JOHN	OPPENHEIMER VALUE C	150.00	1.50	0.00	1.50	95.0	1.43
06/15/2017	OBIE, MARCIA	THORNBURG INCOME BUILDER CL A	0.00	8.41	0.00	8.41	95.0	7.99
06/15/2017	OBIE, BRADFORD	THORNBURG INCOME BUILDER CL A	0.00	7.97	0.00	7.97	95.0	7.57
06/15/2017	OBIE, MARCIA	THORNBURG VALUE CL A	0.00	11.26	0.00	11.26	95.0	10.70
06/15/2017	OBIE, BRADFORD	THORNBURG VALUE CL A	0.00	14.42	0.00	14.42	95.0	13.70
06/15/2017	OBIE, BRADFORD	THORNBURG VALUE CL A	0.00	6.08	0.00	6.08	95.0	5.78
06/07/2017	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	128.38	1.28	0.00	1.28	95.0	1.22
06/15/2017	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
06/15/2017	KUCHAREK, PAUL	TRANSAMERICA AA MOD GROWTH A	250.00	10.00	0.00	10.00	95.0	9.50
06/15/2017	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	300.00	3.00	0.00	3.00	95.0	2.85
06/16/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	400.00	4.00	0.00	4.00	95.0	3.80
* MUTUAL FUNDS SUBTOTAL *			\$16,767.52	\$565.51	\$0.00	\$565.51		\$527.90
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/08/2017	KLEIN, ANNA	PRU DEFINED INCOME	41,987.03	1,049.68	0.00	1,049.68	95.0	997.20
06/09/2017	KLEIN, ANNA	PRU DEFINED INCOME	11,639.52	290.99	0.00	290.99	95.0	276.44
* VARIABLE ANNUITIES SUBTOTAL *			\$53,626.55	\$1,340.67	\$0.00	\$1,340.67		\$1,273.64
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
06/06/2017	DINKA, JOHN	PAC LIFE VUL	2,500.00	50.00	0.00	50.00	95.0	47.50
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$2,500.00	\$50.00	\$0.00	\$50.00		\$47.50

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/05/2017	BUSSELL REV LV TRUST, SHARON	WELLS FARGO 100% TREASURY MMKT-A	0.00	7.38	0.00	7.38	95.0	7.01
06/05/2017	DAMIANO, MAURICE	WELLS FARGO HIGH YIELD BOND A	0.00	60.02	0.00	60.02	95.0	57.02
06/05/2017	WERNER, DOROTHY	WELLS FARGO INCOME PLUS A	0.00	10.43	0.00	10.43	95.0	9.91
06/05/2017	THOMAS, HENRY	WELLS FARGO INCOME PLUS A	0.00	3.40	0.00	3.40	95.0	3.23
06/05/2017	THOMAS, SYBIL	WELLS FARGO INCOME PLUS A	0.00	2.68	0.00	2.68	95.0	2.55
06/05/2017	TOTTEFF, JESSIE	WELLS FARGO INCOME PLUS A	0.00	3.36	0.00	3.36	95.0	3.19
06/05/2017	SPEAROT, ALAN	WELLS FARGO INCOME PLUS A	0.00	0.90	0.00	0.90	95.0	0.86
06/05/2017	SPEAROT, DOUGLAS	WELLS FARGO INCOME PLUS A	0.00	0.90	0.00	0.90	95.0	0.86
06/05/2017	DAMIANO, MAURICE	WELLS FARGO INTL BOND A	0.00	17.65	0.00	17.65	95.0	16.77
06/05/2017	GUTMAN, KENNETH	WELLS FARGO INTL BOND A	0.00	2.09	0.00	2.09	95.0	1.99
06/05/2017	GUTMAN, MATHEW	WELLS FARGO INTL BOND A	0.00	2.09	0.00	2.09	95.0	1.99
06/05/2017	JAGGER, ALBERT	WELLS FARGO INTL BOND A	0.00	27.33	0.00	27.33	95.0	25.96
06/05/2017	BUSSELL REV LIV TRST, GEROGE &	WELLS FARGO MONEY MKT A	0.00	29.41	0.00	29.41	95.0	27.94
06/05/2017	STEBBINS, DAVID	WELLS FARGO OPP A	0.00	0.61	0.00	0.61	95.0	0.58
06/05/2017	BASILE, NINO	WELLS FARGO OPP A	0.00	0.90	0.00	0.90	95.0	0.86
06/05/2017	DUDLEY, CHERYL	WELLS FARGO OPP A	0.00	1.12	0.00	1.12	95.0	1.06
06/05/2017	BASILE, ANNA	WELLS FARGO OPP A	0.00	0.78	0.00	0.78	95.0	0.74
06/05/2017	CODDING, CRAIG	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	3.18	0.00	3.18	95.0	3.02
06/05/2017	KISH, VIVIAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.98	0.00	0.98	95.0	0.93
06/05/2017	ROEHRIG, RITA	WELLS FARGO STRATEGIC MUNI A	0.00	2.70	0.00	2.70	95.0	2.57
06/05/2017	JAGGER, ALBERT	WELLS FARGO STRATEGIC MUNI A	0.00	25.00	0.00	25.00	95.0	23.75
06/05/2017	PER, MARIANNE	WELLS FARGO STRATEGIC MUNI A	0.00	0.18	0.00	0.18	95.0	0.17
06/05/2017	TSEREGOUNIS, SPYROS	WELLS FARGO STRATEGIC MUNI A	0.00	5.23	0.00	5.23	95.0	4.97
06/05/2017	ALEXOPOULOS, PAUL	WELLS FARGO STRATEGIC MUNI A	0.00	5.74	0.00	5.74	95.0	5.45
06/05/2017	REBNER, WALDEMAR	WELLS FARGO STRATEGIC MUNI A	0.00	9.28	0.00	9.28	95.0	8.82
06/05/2017	DAMIANO, MAURICE	WELLS FARGO STRATEGIC MUNI A	0.00	12.13	0.00	12.13	95.0	11.52
06/05/2017	SPEAROT, REBECCA	WELLS FARGO STRATEGIC MUNI A	0.00	1.65	0.00	1.65	95.0	1.57
06/05/2017	CLEMAK, CHARLES	WELLS FARGO STRATEGIC MUNI A	0.00	28.06	0.00	28.06	95.0	26.66
06/05/2017	MUGLIA, DOMINIC	WELLS FARGO STRATEGIC MUNI A	0.00	37.21	0.00	37.21	95.0	35.35
06/05/2017	MCLUCAS, ROBERT	WELLS FARGO STRATEGIC MUNI A	0.00	54.61	0.00	54.61	95.0	51.88
06/05/2017	KATEHI, PISTI	WELLS FARGO TOTAL RETURN BOND A	0.00	1.15	0.00	1.15	95.0	1.09
06/05/2017	TSEREGOUNIS, SPYROS	WELLS FARGO TOTAL RETURN BOND A	0.00	1.09	0.00	1.09	95.0	1.04
06/05/2017	SNYDER, SHIRLEY	WELLS FARGO TOTAL RETURN BOND A	0.00	0.59	0.00	0.59	95.0	0.56

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/05/2017	BAYS, MARVIN	WELLS FARGO UTILITY AND TELECOM A	0.00	11.06	0.00	11.06	95.0	10.51
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$370.89	\$0.00	\$370.89		\$352.38
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/22/2017	GREGORY, RICHARD	ING PREMIUM PLUS	0.00	13.89	0.00	13.89	95.0	13.20
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$13.89	\$0.00	\$13.89		\$13.20
		*** BROKER TRANSACTIONS TOTAL ***	\$72,894.07	\$2,430.42	\$0.00	\$2,430.42		\$2,299.62

SPLIT TRANSACTIONS**BROKER: MARK KOSANKE #3**

06/28/2017	STEFFES, MARTIN	JNL REWARD 10 YR TERM	32.61	0.16	0.00	0.16	95.0	0.15
06/12/2017	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	120.00	1.20	0.00	1.20	95.0	1.14
06/12/2017	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	120.00	1.20	0.00	1.20	95.0	1.14
06/12/2017	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	80.00	0.80	0.00	0.80	95.0	0.76
06/12/2017	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	80.00	0.80	0.00	0.80	95.0	0.76
06/05/2017	RIMKUS, MARILYN	WELLS FARGO ENTERPRISE A	0.00	4.15	0.00	4.15	95.0	3.94
06/05/2017	ALEXANDER, JEAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.90	0.00	0.90	95.0	0.86
06/05/2017	EMMER, ILENE	WELLS FARGO TOTAL RETURN BOND A	0.00	0.17	0.00	0.17	95.0	0.16
06/05/2017	DANIELS, KATHLEEN	WELLS FARGO TOTAL RETURN BOND A	0.00	3.00	0.00	3.00	95.0	2.85
06/05/2017	SMOLBOSKI, BARBARA	WELLS FARGO TOTAL RETURN BOND A	0.00	0.23	0.00	0.23	95.0	0.22
		* MARK KOSANKE SUBTOTAL *	\$432.61	\$12.61	\$0.00	\$12.61		\$11.98

BROKER: 101 CFGROUP/KOSANKE #9

06/15/2017	VAN DAELE, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	5.00	0.00	5.00	95.0	4.75
06/15/2017	VAN DAELE, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND 52	100.00	5.00	0.00	5.00	95.0	4.75
		* 101 CFGROUP/KOSANKE SUBTOTAL *	\$200.00	\$10.00	\$0.00	\$10.00		\$9.50

BROKER: PETER JOHNSON #63

06/20/2017	NICOLOSI, ROSE	JNL GENERATIONS	15,000.00	450.00	0.00	450.00	95.0	427.50
		* PETER JOHNSON SUBTOTAL *	\$15,000.00	\$450.00	\$0.00	\$450.00		\$427.50
		*** SPLIT TRANSACTIONS TOTAL ***	\$15,632.61	\$472.61	\$0.00	\$472.61		\$448.98

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: 101 CFGROUP/KOSANKE #9</u>								
06/15/2017	STOLL, BENJAMIN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.13
06/20/2017	NAGEOTTE, NIKOLAUS	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	5.00
* 101 CFGROUP/KOSANKE SUBTOTAL *			\$150.00	\$7.13	\$0.00	\$7.13		\$7.13
<u>BROKER: THOMAS SCHNEIDER #30</u>								
/ /	D&D TRAILER HAULING INC	PROFUNDS RISING RATES SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GREENE, DAVID	PROFUNDS RISING RATES SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.09	0.00	0.09	0.0	0.09
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.09	0.00	0.09	0.0	0.09
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.16	0.00	0.16	0.0	0.16
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.16	0.00	0.16	0.0	0.16
* THOMAS SCHNEIDER SUBTOTAL *			\$0.00	\$0.68	\$0.00	\$0.68		\$0.68
*** OVERRIDE TRANSACTIONS TOTAL ***			\$150.00	\$7.81	\$0.00	\$7.81		\$7.81
<u> ADJUSTMENTS </u>								
06/30/2017		*RBC: 7A5003941 DB BAL W/O	0.00					-100.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-100.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$2,299.62
Split Transactions	\$448.98
Override Transactions	\$7.81
Adjustments	\$-100.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,656.41
Year-to-date Gross	\$192,169.22
Year-to-date Earnings	\$185,370.17