

All Companies
STATEMENT
May 20, 2018

MALVIN JOHNSON - LPCM

BROKER #: 3036
BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/06/2018 # ROMAIN, PATRICK	IAFEES		0.00	15.53	90.0	0.00	13.98	0.00	13.98
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$15.53		\$0.00	\$13.98	\$0.00	\$13.98

PRODUCT CATEGORY: RIA

/ /	#514160 CARTER, JOANNE	LPCM AGGR 40/40/20	181,357.00	181.36	100.0	0.00	181.36	0.00	181.36
/ /	#514199 KEENE, JEANIVIC	LPCM AGGR 40/40/20	67,822.65	90.43	100.0	0.00	90.43	0.00	90.43
/ /	#514311 CARTER, IAN	LPCM AGGR 40/40/20	223,222.04	223.22	100.0	0.00	223.22	0.00	223.22
/ /	#528098 GAY-ROBBINS, SEILA	LPCM AGGR 40/40/20	95,070.49	126.76	100.0	0.00	126.76	0.00	126.76
/ /	#529749 EDWARDS, ELLEN	LPCM AGGR 40/40/20	72,823.31	84.96	100.0	0.00	84.96	0.00	84.96
/ /	#529755 EDWARDS & ASSOCIATES	LPCM AGGR 40/40/20	29,734.40	34.69	100.0	0.00	34.69	0.00	34.69
/ /	#531251 CARTER, JOANNE	LPCM AGGR 40/40/20	242,541.34	222.33	100.0	0.00	222.33	0.00	222.33
/ /	#514072 SIMMONS, SHARON	LPCM CONS 20/20/60	116,305.17	169.61	100.0	0.00	169.61	0.00	169.61
/ /	#514093 VENOSDEL, HELEN	LPCM CONS 20/20/60	139,775.35	163.07	100.0	0.00	163.07	0.00	163.07
/ /	#514108 PALMER TRUST,	LPCM CONS 20/20/60	36,446.15	48.60	100.0	0.00	48.60	0.00	48.60
/ /	#514122 WESTON, RAYMOND	LPCM CONS 20/20/60	29,837.26	39.78	100.0	0.00	39.78	0.00	39.78
/ /	#514151 GEORGE, CHERYL	LPCM CONS 20/20/60	65,013.08	75.85	100.0	0.00	75.85	0.00	75.85
/ /	#514200 WESTON, RAYMOND	LPCM CONS 20/20/60	61,123.73	89.14	100.0	0.00	89.14	0.00	89.14
/ /	#514203 WESTON, RAYMOND	LPCM CONS 20/20/60	62,891.28	91.72	100.0	0.00	91.72	0.00	91.72
/ /	#514285 PALMER TRUST,	LPCM CONS 20/20/60	63,293.69	84.39	100.0	0.00	84.39	0.00	84.39
/ /	#514295 POUNCEY, HARRY	LPCM CONS 20/20/60	22,421.61	33.63	100.0	0.00	33.63	0.00	33.63
/ /	#514331 ABLE, ERIC H WESTON	LPCM CONS 20/20/60	109,523.56	146.03	100.0	0.00	146.03	0.00	146.03
/ /	#529295 BJORNSEN, LONA	LPCM CONS 20/20/60	229,300.50	210.19	100.0	0.00	210.19	0.00	210.19
/ /	#513049 HASTINGS, JOANNE	LPCM MOD 30/30/40	174,495.44	174.50	100.0	0.00	174.50	0.00	174.50
/ /	#513051 HASTINGS, JOHN	LPCM MOD 30/30/40	133,837.33	133.84	100.0	0.00	133.84	0.00	133.84
/ /	#514069 HORNE, FRANCES	LPCM MOD 30/30/40	437,778.31	401.30	100.0	0.00	401.30	0.00	401.30
/ /	#514082 PORTER, FANNIE	LPCM MOD 30/30/40	151,374.99	138.76	100.0	0.00	138.76	0.00	138.76
/ /	#514087 WALKER, JERRY	LPCM MOD 30/30/40	251,242.81	251.24	100.0	0.00	251.24	0.00	251.24
/ /	#514132 RAMSEUR, PATRICIA	LPCM MOD 30/30/40	121,968.11	182.95	100.0	0.00	182.95	0.00	182.95

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
/ /	#514148 GEORGE, DAVID	LPCM MOD 30/30/40	129,611.66	151.21	100.0	0.00	151.21	0.00	151.21
/ /	#514155 LAMBERT, INEZ	LPCM MOD 30/30/40	35,322.67	41.21	100.0	0.00	41.21	0.00	41.21
/ /	#514169 WALKER, SUSAN	LPCM MOD 30/30/40	14,247.45	14.25	100.0	0.00	14.25	0.00	14.25
/ /	#514175 MONTGOMERY, PATRICE	LPCM MOD 30/30/40	160,863.31	187.68	100.0	0.00	187.68	0.00	187.68
/ /	#514198 LAMBERT, INEZ	LPCM MOD 30/30/40	182,093.85	212.44	100.0	0.00	212.44	0.00	212.44
/ /	#514222 GEORGE, DAVID	LPCM MOD 30/30/40	43,626.64	50.90	100.0	0.00	50.90	0.00	50.90
/ /	#514250 POUNCEY, SONJA	LPCM MOD 30/30/40	107,588.85	98.62	100.0	0.00	98.62	0.00	98.62
/ /	#514258 EDWARDS, ELLEN	LPCM MOD 30/30/40	48,811.46	56.95	100.0	0.00	56.95	0.00	56.95
/ /	#514260 WALKER, JERRY	LPCM MOD 30/30/40	154,183.77	154.18	100.0	0.00	154.18	0.00	154.18
/ /	#514274 WALKER, SUSAN	LPCM MOD 30/30/40	73,549.33	73.55	100.0	0.00	73.55	0.00	73.55
/ /	#514316 EDWARDS, ELLEN	LPCM MOD 30/30/40	81,293.50	94.84	100.0	0.00	94.84	0.00	94.84
/ /	#514318 HORNE, FRANCES	LPCM MOD 30/30/40	526,148.89	482.30	100.0	0.00	482.30	0.00	482.30
/ /	#552860 POUNCEY, SONJA	LPCM MOD 30/30/40	117,489.13	137.07	100.0	0.00	137.07	0.00	137.07
/ /	#568135 TCA JOHNSON VAR	LPCM ULTRA CONS 10/10/80	48,472.43	36.35	100.0	0.00	36.35	0.00	36.35
/ /	#514160 CARTER, JOANNE	VL AGGRESSIVE	205,840.75	205.84	90.0	0.00	185.26	0.00	185.26
/ /	#514199 KEENE, JEANIVIC	VL AGGRESSIVE	76,880.07	102.51	90.0	0.00	92.26	0.00	92.26
/ /	#514311 CARTER, IAN	VL AGGRESSIVE	253,312.52	253.31	90.0	0.00	227.98	0.00	227.98
/ /	#528098 GAY-ROBBINS, SEILA	VL AGGRESSIVE	107,794.79	143.73	90.0	0.00	129.36	0.00	129.36
/ /	#529749 EDWARDS, ELLEN	VL AGGRESSIVE	82,529.83	96.29	90.0	0.00	86.66	0.00	86.66
/ /	#529755 EDWARDS & ASSOCIATES	VL AGGRESSIVE	33,753.45	39.38	90.0	0.00	35.44	0.00	35.44
/ /	#531251 CARTER, JOANNE	VL AGGRESSIVE	274,878.89	251.97	90.0	0.00	226.77	0.00	226.77
/ /	#514108 PALMER TRUST,	VL CONSERV	38,806.19	51.74	90.0	0.00	46.57	0.00	46.57
/ /	#514122 WESTON, RAYMOND	VL CONSERV	31,810.23	42.42	90.0	0.00	38.18	0.00	38.18
/ /	#514151 GEORGE, CHERYL	VL CONSERV	69,126.71	80.65	90.0	0.00	72.59	0.00	72.59
/ /	#514200 WESTON, RAYMOND	VL CONSERV	64,964.09	94.74	90.0	0.00	85.27	0.00	85.27
/ /	#514203 WESTON, RAYMOND	VL CONSERV	67,007.93	97.72	90.0	0.00	87.95	0.00	87.95
/ /	#514285 PALMER TRUST,	VL CONSERV	67,299.13	89.73	90.0	0.00	80.76	0.00	80.76
/ /	#514331 ABLE, ERIC H WESTON	VL CONSERV	116,495.87	155.33	90.0	0.00	139.80	0.00	139.80
/ /	#529295 BJORNSEN, LONA	VL CONSERV	243,871.69	223.55	90.0	0.00	201.20	0.00	201.20
/ /	#514072 SIMMONS, SHARON	VL INCOME	129,619.22	189.03	90.0	0.00	170.13	0.00	170.13
/ /	#514093 VENOSDEL, HELEN	VL INCOME	155,881.38	181.86	90.0	0.00	163.67	0.00	163.67
/ /	#514295 POUNCEY, HARRY	VL INCOME	25,020.59	37.53	90.0	0.00	33.78	0.00	33.78
/ /	#513049 HASTINGS, JOANNE	VL MODERATE	197,263.11	197.26	90.0	0.00	177.53	0.00	177.53
/ /	#513051 HASTINGS, JOHN	VL MODERATE	151,406.37	151.40	90.0	0.00	136.26	0.00	136.26
/ /	#514069 HORNE, FRANCES	VL MODERATE	495,456.81	454.17	90.0	0.00	408.75	0.00	408.75
/ /	#514082 PORTER, FANNIE	VL MODERATE	171,122.34	156.86	90.0	0.00	141.17	0.00	141.17

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
/ /	#514087 WALKER, JERRY	VL MODERATE	284,321.64	284.32	90.0	0.00	255.89	0.00	255.89
/ /	#514132 RAMSEUR, PATRICIA	VL MODERATE	137,951.40	206.93	90.0	0.00	186.24	0.00	186.24
/ /	#514148 GEORGE, DAVID	VL MODERATE	140,991.01	164.49	90.0	0.00	148.04	0.00	148.04
/ /	#514155 LAMBERT, INEZ	VL MODERATE	39,935.11	46.59	90.0	0.00	41.93	0.00	41.93
/ /	#514169 WALKER, SUSAN	VL MODERATE	16,099.60	16.10	90.0	0.00	14.49	0.00	14.49
/ /	#514175 MONTGOMERY, PATRICE	VL MODERATE	181,819.46	212.12	90.0	0.00	190.91	0.00	190.91
/ /	#514198 LAMBERT, INEZ	VL MODERATE	206,040.68	240.38	90.0	0.00	216.34	0.00	216.34
/ /	#514222 GEORGE, DAVID	VL MODERATE	49,406.36	57.64	90.0	0.00	51.88	0.00	51.88
/ /	#514250 POUNCEY, SONJA	VL MODERATE	121,658.47	111.52	90.0	0.00	100.37	0.00	100.37
/ /	#514258 EDWARDS, ELLEN	VL MODERATE	55,243.79	64.45	90.0	0.00	58.01	0.00	58.01
/ /	#514260 WALKER, JERRY	VL MODERATE	154,686.30	154.69	90.0	0.00	139.22	0.00	139.22
/ /	#514274 WALKER, SUSAN	VL MODERATE	83,086.36	83.09	90.0	0.00	74.78	0.00	74.78
/ /	#514316 EDWARDS, ELLEN	VL MODERATE	91,953.87	107.28	90.0	0.00	96.55	0.00	96.55
/ /	#514318 HORNE, FRANCES	VL MODERATE	595,074.61	545.49	90.0	0.00	490.94	0.00	490.94
/ /	#552860 POUNCEY, SONJA	VL MODERATE	132,764.25	154.89	90.0	0.00	139.40	0.00	139.40
05/01/2018	#514160 CARTER, JOANNE	LPCM AGGR 40/40/20	181,357.00	-90.67	100.0	0.00	-90.67	0.00	-90.67
05/01/2018	#514199 KEENE, JEANIVIC	LPCM AGGR 40/40/20	67,822.65	-33.91	100.0	0.00	-33.91	0.00	-33.91
05/01/2018	#514311 CARTER, IAN	LPCM AGGR 40/40/20	223,222.04	-111.61	100.0	0.00	-111.61	0.00	-111.61
05/01/2018	#528098 GAY-ROBBINS, SEILA	LPCM AGGR 40/40/20	95,070.49	-47.53	100.0	0.00	-47.53	0.00	-47.53
05/01/2018	#529749 EDWARDS, ELLEN	LPCM AGGR 40/40/20	72,823.31	-36.41	100.0	0.00	-36.41	0.00	-36.41
05/01/2018	#529755 EDWARDS & ASSOCIATES	LPCM AGGR 40/40/20	29,734.40	-14.86	100.0	0.00	-14.86	0.00	-14.86
05/01/2018	#531251 CARTER, JOANNE	LPCM AGGR 40/40/20	242,541.34	-121.27	100.0	0.00	-121.27	0.00	-121.27
05/01/2018	#514072 SIMMONS, SHARON	LPCM CONS 20/20/60	116,305.17	-58.15	100.0	0.00	-58.15	0.00	-58.15
05/01/2018	#514093 VENOSDEL, HELEN	LPCM CONS 20/20/60	139,775.35	-69.88	100.0	0.00	-69.88	0.00	-69.88
05/01/2018	#514108 PALMER TRUST,	LPCM CONS 20/20/60	36,446.15	-18.22	100.0	0.00	-18.22	0.00	-18.22
05/01/2018	#514122 WESTON, RAYMOND	LPCM CONS 20/20/60	29,837.26	-14.91	100.0	0.00	-14.91	0.00	-14.91
05/01/2018	#514151 GEORGE, CHERYL	LPCM CONS 20/20/60	65,013.08	-32.50	100.0	0.00	-32.50	0.00	-32.50
05/01/2018	#514200 WESTON, RAYMOND	LPCM CONS 20/20/60	61,123.73	-30.56	100.0	0.00	-30.56	0.00	-30.56
05/01/2018	#514203 WESTON, RAYMOND	LPCM CONS 20/20/60	62,891.28	-31.44	100.0	0.00	-31.44	0.00	-31.44
05/01/2018	#514285 PALMER TRUST,	LPCM CONS 20/20/60	63,293.69	-31.64	100.0	0.00	-31.64	0.00	-31.64
05/01/2018	#514295 POUNCEY, HARRY	LPCM CONS 20/20/60	22,421.61	-11.21	100.0	0.00	-11.21	0.00	-11.21
05/01/2018	#514331 ABLE, ERIC H WESTON	LPCM CONS 20/20/60	109,523.56	-54.76	100.0	0.00	-54.76	0.00	-54.76
05/01/2018	#529295 BJORNSEN, LONA	LPCM CONS 20/20/60	229,300.50	-114.65	100.0	0.00	-114.65	0.00	-114.65
05/01/2018	#513049 HASTINGS, JOANNE	LPCM MOD 30/30/40	174,495.44	-87.24	100.0	0.00	-87.24	0.00	-87.24
05/01/2018	#513051 HASTINGS, JOHN	LPCM MOD 30/30/40	133,837.33	-66.91	100.0	0.00	-66.91	0.00	-66.91
05/01/2018	#514069 HORNE, FRANCES	LPCM MOD 30/30/40	437,778.31	-218.88	100.0	0.00	-218.88	0.00	-218.88

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
05/01/2018	#514082 PORTER, FANNIE	LPCM MOD 30/30/40	151,374.99	-75.68	100.0	0.00	-75.68	0.00	-75.68
05/01/2018	#514087 WALKER, JERRY	LPCM MOD 30/30/40	251,242.81	-125.62	100.0	0.00	-125.62	0.00	-125.62
05/01/2018	#514132 RAMSEUR, PATRICIA	LPCM MOD 30/30/40	121,968.11	-60.98	100.0	0.00	-60.98	0.00	-60.98
05/01/2018	#514148 GEORGE, DAVID	LPCM MOD 30/30/40	129,611.66	-64.80	100.0	0.00	-64.80	0.00	-64.80
05/01/2018	#514155 LAMBERT, INEZ	LPCM MOD 30/30/40	35,322.67	-17.66	100.0	0.00	-17.66	0.00	-17.66
05/01/2018	#514169 WALKER, SUSAN	LPCM MOD 30/30/40	14,247.45	-7.12	100.0	0.00	-7.12	0.00	-7.12
05/01/2018	#514175 MONTGOMERY, PATRICE	LPCM MOD 30/30/40	160,863.31	-80.43	100.0	0.00	-80.43	0.00	-80.43
05/01/2018	#514198 LAMBERT, INEZ	LPCM MOD 30/30/40	182,093.85	-91.04	100.0	0.00	-91.04	0.00	-91.04
05/01/2018	#514222 GEORGE, DAVID	LPCM MOD 30/30/40	43,626.64	-21.81	100.0	0.00	-21.81	0.00	-21.81
05/01/2018	#514250 POUNCEY, SONJA	LPCM MOD 30/30/40	107,588.85	-53.79	100.0	0.00	-53.79	0.00	-53.79
05/01/2018	#514258 EDWARDS, ELLEN	LPCM MOD 30/30/40	48,811.46	-24.40	100.0	0.00	-24.40	0.00	-24.40
05/01/2018	#514260 WALKER, JERRY	LPCM MOD 30/30/40	154,183.77	-77.09	100.0	0.00	-77.09	0.00	-77.09
05/01/2018	#514274 WALKER, SUSAN	LPCM MOD 30/30/40	73,549.33	-36.77	100.0	0.00	-36.77	0.00	-36.77
05/01/2018	#514316 EDWARDS, ELLEN	LPCM MOD 30/30/40	81,293.50	-40.64	100.0	0.00	-40.64	0.00	-40.64
05/01/2018	#514318 HORNE, FRANCES	LPCM MOD 30/30/40	526,148.89	-263.07	100.0	0.00	-263.07	0.00	-263.07
05/01/2018	#552860 POUNCEY, SONJA	LPCM MOD 30/30/40	117,489.13	-58.74	100.0	0.00	-58.74	0.00	-58.74
05/01/2018	#568135 TCA JOHNSON VAR	LPCM ULTRA CONS 10/10/80	48,472.43	-24.23	100.0	0.00	-24.23	0.00	-24.23
05/01/2018	#514311 CARTER, IAN	TCA PLATFORM FEE	100,004.99	-50.00	100.0	0.00	-50.00	0.00	-50.00
05/01/2018	#662456 ROMAIN, PATRICK	TCA PLATFORM FEE	99,339.75	-49.66	100.0	0.00	-49.66	0.00	-49.66
05/01/2018	#514093 VENOSDEL, HELEN	TCA PLATFORM FEE	451.57	-0.22	100.0	0.00	-0.22	0.00	-0.22
05/01/2018	#514160 CARTER, JOANNE	VL AGGRESSIVE	205,840.75	-60.03	100.0	0.00	-60.03	0.00	-60.03
05/01/2018	#514199 KEENE, JEANIVIC	VL AGGRESSIVE	76,880.07	-22.42	100.0	0.00	-22.42	0.00	-22.42
05/01/2018	#514311 CARTER, IAN	VL AGGRESSIVE	253,312.52	-73.88	100.0	0.00	-73.88	0.00	-73.88
05/01/2018	#528098 GAY-ROBBINS, SEILA	VL AGGRESSIVE	107,794.79	-31.44	100.0	0.00	-31.44	0.00	-31.44
05/01/2018	#529749 EDWARDS, ELLEN	VL AGGRESSIVE	82,529.83	-24.07	100.0	0.00	-24.07	0.00	-24.07
05/01/2018	#529755 EDWARDS & ASSOCIATES	VL AGGRESSIVE	33,753.45	-9.84	100.0	0.00	-9.84	0.00	-9.84
05/01/2018	#531251 CARTER, JOANNE	VL AGGRESSIVE	274,878.89	-80.17	100.0	0.00	-80.17	0.00	-80.17
05/01/2018	#514108 PALMER TRUST,	VL CONSERV	38,806.19	-11.31	100.0	0.00	-11.31	0.00	-11.31
05/01/2018	#514122 WESTON, RAYMOND	VL CONSERV	31,810.23	-9.27	100.0	0.00	-9.27	0.00	-9.27
05/01/2018	#514151 GEORGE, CHERYL	VL CONSERV	69,126.71	-20.16	100.0	0.00	-20.16	0.00	-20.16
05/01/2018	#514200 WESTON, RAYMOND	VL CONSERV	64,964.09	-18.94	100.0	0.00	-18.94	0.00	-18.94
05/01/2018	#514203 WESTON, RAYMOND	VL CONSERV	67,007.93	-19.54	100.0	0.00	-19.54	0.00	-19.54
05/01/2018	#514285 PALMER TRUST,	VL CONSERV	67,299.13	-19.62	100.0	0.00	-19.62	0.00	-19.62
05/01/2018	#514331 ABLE, ERIC H WESTON	VL CONSERV	116,495.87	-33.97	100.0	0.00	-33.97	0.00	-33.97
05/01/2018	#529295 BJORNSEN, LONA	VL CONSERV	243,871.69	-71.12	100.0	0.00	-71.12	0.00	-71.12
05/01/2018	#514072 SIMMONS, SHARON	VL INCOME	129,619.22	-37.80	100.0	0.00	-37.80	0.00	-37.80

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
05/01/2018	#514093	VENOSDEL, HELEN	VL INCOME	155,881.38	-45.46	100.0	0.00	-45.46	-45.46
05/01/2018	#514295	POUNCEY, HARRY	VL INCOME	25,020.59	-7.29	100.0	0.00	-7.29	-7.29
05/01/2018	#513049	HASTINGS, JOANNE	VL MODERATE	197,263.11	-57.53	100.0	0.00	-57.53	-57.53
05/01/2018	#513051	HASTINGS, JOHN	VL MODERATE	151,406.37	-44.16	100.0	0.00	-44.16	-44.16
05/01/2018	#514069	HORNE, FRANCES	VL MODERATE	495,456.81	-144.50	100.0	0.00	-144.50	-144.50
05/01/2018	#514082	PORTER, FANNIE	VL MODERATE	171,122.34	-49.91	100.0	0.00	-49.91	-49.91
05/01/2018	#514087	WALKER, JERRY	VL MODERATE	284,321.64	-82.92	100.0	0.00	-82.92	-82.92
05/01/2018	#514132	RAMSEUR, PATRICIA	VL MODERATE	137,951.40	-40.23	100.0	0.00	-40.23	-40.23
05/01/2018	#514148	GEORGE, DAVID	VL MODERATE	140,991.01	-41.12	100.0	0.00	-41.12	-41.12
05/01/2018	#514155	LAMBERT, INEZ	VL MODERATE	39,935.11	-11.64	100.0	0.00	-11.64	-11.64
05/01/2018	#514169	WALKER, SUSAN	VL MODERATE	16,099.60	-4.69	100.0	0.00	-4.69	-4.69
05/01/2018	#514175	MONTGOMERY, PATRICE	VL MODERATE	181,819.46	-53.03	100.0	0.00	-53.03	-53.03
05/01/2018	#514198	LAMBERT, INEZ	VL MODERATE	206,040.68	-60.09	100.0	0.00	-60.09	-60.09
05/01/2018	#514222	GEORGE, DAVID	VL MODERATE	49,406.36	-14.41	100.0	0.00	-14.41	-14.41
05/01/2018	#514250	POUNCEY, SONJA	VL MODERATE	121,658.47	-35.48	100.0	0.00	-35.48	-35.48
05/01/2018	#514258	EDWARDS, ELLEN	VL MODERATE	55,243.79	-16.11	100.0	0.00	-16.11	-16.11
05/01/2018	#514260	WALKER, JERRY	VL MODERATE	154,686.30	-45.11	100.0	0.00	-45.11	-45.11
05/01/2018	#514274	WALKER, SUSAN	VL MODERATE	83,086.36	-24.23	100.0	0.00	-24.23	-24.23
05/01/2018	#514316	EDWARDS, ELLEN	VL MODERATE	91,953.87	-26.81	100.0	0.00	-26.81	-26.81
05/01/2018	#514318	HORNE, FRANCES	VL MODERATE	595,074.61	-173.56	100.0	0.00	-173.56	-173.56
05/01/2018	#552860	POUNCEY, SONJA	VL MODERATE	132,764.25	-38.72	100.0	0.00	-38.72	-38.72
* RIA SUBTOTAL *				\$20,587,151.13	\$6,855.36	\$0.00	\$6,280.69	\$0.00	\$6,280.69
*** BROKER TRANSACTIONS TOTAL ***				\$20,587,151.13	\$6,870.89	\$0.00	\$6,294.67	\$0.00	\$6,294.67

ADJUSTMENTS

05/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
05/20/2018	OTHER: OTHER LPCM IA FEE	-22.89
*** ADJUSTMENTS TOTAL ***		\$-591.89

BROKER COMMISSION TOTALS

Broker Transactions	\$6,294.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-591.89
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,702.78
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