

COMMISSION STATEMENT
April 13, 2018

TRACY CUDA
3200 N. Central Ave
Suite 200
Phoenix, AZ 85012

BROKER #: 73670
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: CUE Financial Group, Inc

ADJUSTMENTS

PRIOR PERIOD BALANCE(SEE BELOW)							0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$-5.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-5.00
Year-to-date Earnings	\$0.00

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities AmericaBROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

04/12/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-425.00	0.00	-425.00	-155.34
04/12/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	4,182.21	0.00	4,182.21	1,528.60
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$3,757.21</u>	<u>\$0.00</u>	<u>\$3,757.21</u>	<u>\$1,373.26</u>

PRODUCT CATEGORY: TRAILERS

04/12/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	61,002.27	0.00	61,002.27	22,296.33
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$61,002.27</u>	<u>\$0.00</u>	<u>\$61,002.27</u>	<u>\$22,296.33</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$64,759.48</u>	<u>\$0.00</u>	<u>\$64,759.48</u>	<u>\$23,669.59</u>

OVERRIDE TRANSACTIONSBROKER: JODI GALLOVICH #73712

04/12/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	6,916.14	0.00	6,916.14	930.22
04/12/2018	GALLOVICH (73712), JODI	SAI.TRAILS	0.00	4,391.96	0.00	4,391.96	590.72
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$11,308.10</u>	<u>\$0.00</u>	<u>\$11,308.10</u>	<u>\$1,520.94</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$11,308.10</u>	<u>\$0.00</u>	<u>\$11,308.10</u>	<u>\$1,520.94</u>

ADJUSTMENTS

2. MONTHLY SVC & TECHNOLOGY FEE							-227.50
9. MONTHLY ADMIN SVC FEE							-25.00
16. SAI SIPC PE 4/6/18							-7.26
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-259.76</u>

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$23,669.59
							Split Transactions \$0.00
							Override Transactions \$1,520.94
							Adjustments \$-259.76
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$24,930.77</u>
							<u>Year-to-date Earnings \$138,972.61</u>