



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2017

08/14/2017
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DOUGLAS SNIDER
1622 170th Pl SE

BROKER #: S123
BRANCH: DOUGLAS SNIDER

Bothell, WA 98012

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/27/2017	WHITTALL, JULIE	IVY GLOBAL RISK-MANAGED REAL ESTATE	25,000.00	1,250.00	22.00	1,228.00	80.0	982.40
* MUTUAL FUNDS SUBTOTAL *			\$25,000.00	\$1,250.00	\$22.00	\$1,228.00		\$982.40
<u>PRODUCT CATEGORY: STOCKS</u>								
07/31/2017	POOLE, BETTY	BOEING CO COM	-24,359.01	22.00	22.50	-0.50	80.0	-0.40
* STOCKS SUBTOTAL *			\$-24,359.01	\$22.00	\$22.50	\$-0.50		\$-0.40
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/18/2017	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.77	0.00	2.77	80.0	2.22
07/18/2017	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.07	0.00	15.07	80.0	12.06
07/14/2017	NELSON, JAMES	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	6.32	0.00	6.32	80.0	5.06
07/14/2017	JENSEN, LINDA	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	19.71	0.00	19.71	80.0	15.77
07/14/2017	LIKKEL, MARLENE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	24.62	0.00	24.62	80.0	19.70
07/14/2017	CARLSON, JANET	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	18.40	0.00	18.40	80.0	14.72
07/14/2017	BELLAMY, GARLAND	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	14.17	0.00	14.17	80.0	11.34
07/14/2017	BAILEY, CHERYLNN	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	9.78	0.00	9.78	80.0	7.82
07/14/2017	BAILEY, CHERYL	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	25.62	0.00	25.62	80.0	20.50
07/14/2017	SARVIS, GAIL	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	18.05	0.00	18.05	80.0	14.44
07/14/2017	BOYS, CHARLENE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	36.28	0.00	36.28	80.0	29.02
07/21/2017	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.72	0.00	1.72	80.0	1.38
07/21/2017	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.68	0.00	3.68	80.0	2.94
07/18/2017	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	8.63	0.00	8.63	80.0	6.90
07/18/2017	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	23.44	0.00	23.44	80.0	18.75
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$228.26	\$0.00	\$228.26		\$182.62
*** BROKER TRANSACTIONS TOTAL ***			\$640.99	\$1,500.26	\$44.50	\$1,455.76		\$1,164.62

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
08/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.50
08/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-576.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,164.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-576.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$588.12
Year-to-date Gross	\$9,393.74
Year-to-date Earnings	\$7,426.61

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