

COMMISSION STATEMENT

July 31, 2018

ANDREW LINDNER
37 GOLDENEYE LANE

BROKER #: I60 I61 I62

BRANCH: HOME OFFICE

PORT MONMOUTH, NJ 07758

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
06/28/2018	QGN005274	OLSTEIN ALL CAP	BORG WARNER AUTOMOTIVE INC	B	435,999.00	300.00	6.00	294.00	50.0	147.00
07/18/2018	QGN005274	OLSTEIN ALL CAP	SPIRIT AIRLS INC COM	S	-921,888.69	669.00	6.00	663.00	50.0	331.50
07/19/2018	QGN005274	OLSTEIN ALL CAP	SNAP-ON INC	S	-171,500.00	30.00	6.00	24.00	50.0	12.00
07/20/2018	QGN005274	OLSTEIN ALL CAP	OMNICOM GROUP INC	B	205,949.10	90.00	6.00	84.00	50.0	42.00
07/20/2018	QGN005274	OLSTEIN ALL CAP	STARBUCKS CORP	B	254,584.00	150.00	6.00	144.00	50.0	72.00
07/23/2018	QGN005274	OLSTEIN ALL CAP	EBAY INC	B	202,305.00	180.00	6.00	174.00	50.0	87.00
07/24/2018	QGN005274	OLSTEIN ALL CAP	MEDTRONIC PLC COM	S	-178,000.00	60.00	6.00	54.00	50.0	27.00
07/25/2018	QGN005274	OLSTEIN ALL CAP	BECTON DICKINSON & CO	S	-500,000.00	60.00	6.00	54.00	50.0	27.00
07/25/2018	QGN005274	OLSTEIN ALL CAP	JETBLUE AIRWAYS CORP	B	87,610.00	884.85	6.00	878.85	50.0	439.43
07/25/2018	QGN005274	OLSTEIN ALL CAP	JETBLUE AIRWAYS CORP	B	-87,610.00	-884.85	-6.00	-878.85	50.0	-439.43
07/25/2018	QGN005274	OLSTEIN ALL CAP	JETBLUE AIRWAYS CORP	B	87,610.00	150.00	6.00	144.00	50.0	72.00
07/25/2018	QGN005274	OLSTEIN ALL CAP	THERMO FISHER SCIENTIFIC INC	S	-227,500.00	30.00	6.00	24.00	50.0	12.00
07/26/2018	QGN005274	OLSTEIN ALL CAP	BECTON DICKINSON & CO	S	-503,500.00	60.00	6.00	54.00	50.0	27.00
07/26/2018	QGN005274	OLSTEIN ALL CAP	INVESCO LTD ORD SHS ISIN#BMG491BT11	B	76,500.00	90.00	6.00	84.00	50.0	42.00
07/26/2018	QGN005274	OLSTEIN ALL CAP	UNIVERSAL HEALTH SVCS INC CL B	S	-218,809.08	54.00	6.00	48.00	50.0	24.00
07/27/2018	QGN005274	OLSTEIN ALL CAP	BECTON DICKINSON & CO	S	-504,000.00	60.00	6.00	54.00	50.0	27.00
07/18/2018	QGN005282	OPPORTUNITIES,	SPIRIT AIRLS INC COM	S	-70,278.51	51.00	6.00	45.00	50.0	22.50
07/18/2018	QGN005308	DIOCESE OF ARLINGTON	SPIRIT AIRLS INC COM	S	-20,670.15	15.00	6.00	9.00	50.0	4.50
* STOCKS SUBTOTAL *					\$-2,053,199.33	\$2,049.00	\$96.00	\$1,953.00		\$976.50

PRODUCT CATEGORY: BONDS

07/16/2018	QGN001067	ASSOCIATION,	FEDERAL HOME LN MTG CORP REFERENCE	B	24,668.75	50.00	5.00	45.00	50.0	22.50
07/16/2018	QGN001067	ASSOCIATION,	UNITED STATES TREAS BILLS 0.000% 03 B		73,841.12	0.00	5.00	-5.00	50.0	-2.50
07/16/2018	QGN001067	ASSOCIATION,	UNITED STATES TREAS NTS 2.750% 06/3 B		49,789.06	50.00	5.00	45.00	50.0	22.50
07/16/2018	QGN001067	ASSOCIATION,	UNITED STATES TREAS NTS 2.375% 04/3 B		34,868.75	50.00	5.00	45.00	50.0	22.50
07/16/2018	QGN001067	ASSOCIATION,	UNITED STATES TREAS NTS 2.625% 05/1 B		49,937.50	50.00	5.00	45.00	50.0	22.50
07/16/2018	QGN001067	ASSOCIATION,	UNITED STATES TREAS NTS 2.500% 05/3 B		64,888.28	50.00	5.00	45.00	50.0	22.50
06/28/2018	QGN001083	TEAMSTERS LOCAL 237	ABBOTT LABS FXD RT SR NT 2.950% 03/ S		-47,250.00	50.00	5.00	45.00	50.0	22.50
06/28/2018	QGN001083	TEAMSTERS LOCAL 237	CONAGRA BRANDS INC SR NT 3.200% 01/ S		-47,960.00	50.00	5.00	45.00	50.0	22.50
06/28/2018	QGN001083	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 2.750% 02/1 B		99,218.75	50.00	5.00	45.00	50.0	22.50
06/29/2018	QGN001109	LOCAL 282 WELFARE	KELLOGG CO FXD RT SR DEB 4.500% 04/ S		-23,750.00	50.00	5.00	45.00	50.0	22.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
06/29/2018	QGN001109	LOCAL 282 WELFARE	STRYKER CORP FXD RT SR NT 4.625% 03 S	-25,425.00	50.00	5.00	45.00	50.0	22.50
06/29/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 2.750% 02/1 S	-200,593.75	62.50	5.00	57.50	50.0	28.75
07/30/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS BDS 2.750% 08/1 S	-70,664.06	50.00	5.00	45.00	50.0	22.50
07/30/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 1.375% 01/3 S	-392,875.00	125.00	5.00	120.00	50.0	60.00
07/30/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 2.375% 05/1 S	-119,355.47	50.00	5.00	45.00	50.0	22.50
07/16/2018	QGN001166	MANHASSET EDUCATION	UNITED STATES TREAS NTS 2.750% 06/3 B	9,957.81	50.00	5.00	45.00	50.0	22.50
07/16/2018	QGN001166	MANHASSET EDUCATION	UNITED STATES TREAS NTS 2.625% 05/1 B	14,981.25	50.00	5.00	45.00	50.0	22.50
07/16/2018	QGN001166	MANHASSET EDUCATION	UNITED STATES TREAS NTS 2.500% 05/3 B	14,974.22	50.00	5.00	45.00	50.0	22.50
07/02/2018	QGN001307	CLERKS, NY	UNITED STATES TREAS NTS 1.250% 04/3 S	-29,737.50	50.00	5.00	45.00	50.0	22.50
07/20/2018	QGN001380	TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 2.375% 05/1 S	-24,011.72	50.00	5.00	45.00	50.0	22.50
07/20/2018	QGN001463	COUNCIL, TEAMSTER	UNITED STATES TREAS BDS 3.000% 05/1 S	-24,929.69	50.00	5.00	45.00	50.0	22.50
07/02/2018	QGN001927	SERVICES EMPLOYEES	UNITED STATES TREASURY NOTE INVESTM B	34,825.00	50.00	5.00	45.00	50.0	22.50
07/19/2018	QGN001927	SERVICES EMPLOYEES	UNITED STATES TREAS NTS 2.125% 06/3 B	48,921.88	50.00	5.00	45.00	50.0	22.50
07/10/2018	QGN002032	COLLEGE, SUFFOLK	WELLS FARGO & CO NEW MEDIUM TERM SR S	-14,744.25	50.00	5.00	45.00	50.0	22.50
07/10/2018	QGN002032	COLLEGE, SUFFOLK	WELLS FARGO & CO NEW MEDIUM TERM SR S	14,744.25	-50.00	-5.00	-45.00	50.0	-22.50 C
07/10/2018	QGN002032	COLLEGE, SUFFOLK	WELLS FARGO & CO NEW MEDIUM TERM SR B	14,744.25	50.00	5.00	45.00	50.0	22.50
07/11/2018	QGN002032	COLLEGE, SUFFOLK	UNITED STATES TREAS NTS 1.000% 11/3 S	-44,071.88	50.00	5.00	45.00	50.0	22.50
07/30/2018	QGN002032	COLLEGE, SUFFOLK	FEDERAL NATL MTG ASSN WITH 10-DAY C S	-19,848.00	50.00	5.00	45.00	50.0	22.50
07/30/2018	QGN002032	COLLEGE, SUFFOLK	UNITED STATES TREAS NTS 1.250% 10/3 S	-29,939.06	50.00	5.00	45.00	50.0	22.50
07/20/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.250% 10/3 B	48,375.00	50.00	5.00	45.00	50.0	22.50
07/20/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.250% 03/3 B	49,734.38	50.00	5.00	45.00	50.0	22.50
07/10/2018	QGN002826	ASSOCIATION, ROSLYN	WELLS FARGO & CO NEW MEDIUM TERM SR S	-14,744.25	50.00	5.00	45.00	50.0	22.50
07/10/2018	QGN002826	ASSOCIATION, ROSLYN	WELLS FARGO & CO NEW MEDIUM TERM SR S	14,744.25	-50.00	-5.00	-45.00	50.0	-22.50 C
07/10/2018	QGN002826	ASSOCIATION, ROSLYN	WELLS FARGO & CO NEW MEDIUM TERM SR B	14,744.25	50.00	5.00	45.00	50.0	22.50
07/23/2018	QGN002826	ASSOCIATION, ROSLYN	MCDONALDS CORP MEDIUM TERM NTS FXD B	24,750.00	50.00	5.00	45.00	50.0	22.50
07/10/2018	QGN002842	CLEMENT SL. MANG,,	PITNEY BOWES INC FXD RT 4.625% 03/1 S	-22,143.75	50.00	5.00	45.00	50.0	22.50
07/10/2018	QGN002842	CLEMENT SL. MANG,,	WELLS FARGO & CO NEW MEDIUM TERM SR S	-49,147.50	50.00	5.00	45.00	50.0	22.50
07/10/2018	QGN002842	CLEMENT SL. MANG,,	WELLS FARGO & CO NEW MEDIUM TERM SR B	49,147.50	50.00	5.00	45.00	50.0	22.50
07/10/2018	QGN002842	CLEMENT SL. MANG,,	WELLS FARGO & CO NEW MEDIUM TERM SR S	49,147.50	-50.00	-5.00	-45.00	50.0	-22.50 C
07/10/2018	QGN003220	OTTAVINO, GERALD	WELLS FARGO & CO NEW MEDIUM TERM SR S	-9,829.50	50.00	5.00	45.00	50.0	22.50
07/10/2018	QGN003220	OTTAVINO, GERALD	WELLS FARGO & CO NEW MEDIUM TERM SR B	9,829.50	50.00	5.00	45.00	50.0	22.50
07/10/2018	QGN003220	OTTAVINO, GERALD	WELLS FARGO & CO NEW MEDIUM TERM SR S	9,829.50	-50.00	-5.00	-45.00	50.0	-22.50 C
07/10/2018	QGN004087	JOHN F OTTAVINO, MR	PITNEY BOWES INC FXD RT 4.625% 03/1 S	-4,428.75	50.00	5.00	45.00	50.0	22.50
07/10/2018	QGN004087	JOHN F OTTAVINO, MR	WELLS FARGO & CO NEW MEDIUM TERM SR S	-4,914.75	50.00	5.00	45.00	50.0	22.50
07/10/2018	QGN004087	JOHN F OTTAVINO, MR	WELLS FARGO & CO NEW MEDIUM TERM SR S	4,914.75	-50.00	-5.00	-45.00	50.0	-22.50 C
07/10/2018	QGN004087	JOHN F OTTAVINO, MR	WELLS FARGO & CO NEW MEDIUM TERM SR B	4,914.75	50.00	5.00	45.00	50.0	22.50
06/29/2018	QGN005373	HATCHER, CHARLES	GNMA 30 YEAR TBA SETT JULY 3.500% 0 B	-25,117.19	-50.00	-5.00	-45.00	50.0	-22.50 C
06/29/2018	QGN005373	HATCHER, CHARLES	GNMA 30 YEAR TBA SETT JULY 3.500% 0 B	25,117.19	50.00	5.00	45.00	50.0	22.50
06/29/2018	QGN005373	HATCHER, CHARLES	GNMA GTD MTG PASS THRU CTFS POOL # B	25,117.85	50.00	5.00	45.00	50.0	22.50
07/20/2018	QGN006363	STACEY BRAUN & ASSOC	UNITED STATES TREAS BILLS 0.000% 01 B	495,083.75	50.00	5.00	45.00	50.0	22.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
07/20/2018	QGN006363	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.250% 02/2 B	497,656.25	156.25	5.00	151.25	50.0	75.63
07/20/2018	QGN006363	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.250% 02/2 B	-497,656.25	-156.25	-5.00	-151.25	50.0	-75.63 C
07/16/2018	QGN006405	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.000% 01/1 S	-9,840.63	50.00	5.00	45.00	50.0	22.50
07/20/2018	QGN006405	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.375% 05/1 S	-9,601.56	50.00	5.00	45.00	50.0	22.50
07/03/2018	QGN006520	STACEY BRAUN	UNITED STATES TREASURY NOTE B	249,609.38	78.13	5.00	73.13	50.0	36.57
07/03/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 08/1 B	243,984.38	78.13	5.00	73.13	50.0	36.57
07/03/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.125% 08/3 B	495,468.75	156.25	5.00	151.25	50.0	75.63
07/03/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.500% 05/1 B	246,210.94	78.13	5.00	73.13	50.0	36.57
07/03/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.125% 06/3 B	244,453.13	78.13	5.00	73.13	50.0	36.57
07/03/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.500% 06/3 B	249,765.63	78.13	5.00	73.13	50.0	36.57
07/03/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 06/3 B	199,406.25	78.13	5.00	73.13	50.0	36.57
07/03/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 B	237,539.06	78.13	5.00	73.13	50.0	36.57
07/03/2018	QGN006520	STACEY BRAUN	UNITED STATES TREASURY NOTE B	249,257.81	78.13	5.00	73.13	50.0	36.57
07/03/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 06/3 B	-199,406.25	-78.13	-5.00	-73.13	50.0	-36.57 C
07/03/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 06/3 B	199,406.25	62.50	5.00	57.50	50.0	28.75
07/05/2018	QGN006520	STACEY BRAUN	PEPSICO INC FXD RT SR NT 2.250% 05/ B	291,000.00	93.75	5.00	88.75	50.0	44.38
07/05/2018	QGN006520	STACEY BRAUN	UNITED STATES TREASURY NOTE B	495,546.88	156.25	5.00	151.25	50.0	75.63
07/05/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 B	240,820.31	78.13	5.00	73.13	50.0	36.57
07/05/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 03/3 B	497,734.38	156.25	5.00	151.25	50.0	75.63
07/20/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS BILLS 0.000% 02 B	493,461.11	50.00	5.00	45.00	50.0	22.50
07/20/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS BILLS 0.000% 06 B	489,486.65	50.00	5.00	45.00	50.0	22.50
07/20/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 02/2 B	497,656.25	156.25	5.00	151.25	50.0	75.63
07/09/2018	QGN006561	STACEY BRAUN	GNMA 30 YEAR TBA SETT JULY 3.000% 0 B	-24,500.00	-50.00	-5.00	-45.00	50.0	-22.50 C
07/09/2018	QGN006561	STACEY BRAUN	GNMA 30 YEAR TBA SETT JULY 3.000% 0 B	24,500.00	50.00	5.00	45.00	50.0	22.50
07/09/2018	QGN006561	STACEY BRAUN	GNMA GTD MTG PASS THRU CTFs POOL # B	24,500.63	50.00	5.00	45.00	50.0	22.50
07/10/2018	QGN006561	STACEY BRAUN	WELLS FARGO & CO NEW MEDIUM TERM SR S	-24,573.75	50.00	5.00	45.00	50.0	22.50
07/10/2018	QGN006561	STACEY BRAUN	WELLS FARGO & CO NEW MEDIUM TERM SR B	24,573.75	50.00	5.00	45.00	50.0	22.50
07/10/2018	QGN006561	STACEY BRAUN	WELLS FARGO & CO NEW MEDIUM TERM SR S	24,573.75	-50.00	-5.00	-45.00	50.0	-22.50 C
07/23/2018	QGN006561	STACEY BRAUN	MCDONALDS CORP MEDIUM TERM NTS FXD B	24,750.00	50.00	5.00	45.00	50.0	22.50
07/20/2018	QGN026205	DEFINED CONTRIBUTION	UNITED STATES TREAS BDS 2.750% 08/1 S	-47,734.38	50.00	5.00	45.00	50.0	22.50
06/29/2018	QGN026270	BROTHERHOOD OF	CATERPILLAR INC SR NT 4.300% 05/15/ S	-51,687.50	50.00	5.00	45.00	50.0	22.50
07/02/2018	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.500% 02/1 S	-45,515.63	50.00	5.00	45.00	50.0	22.50
07/20/2018	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS BDS 3.000% 05/1 S	-99,718.75	50.00	5.00	45.00	50.0	22.50
07/18/2018	QGN026346	FEDERATION OF	UNITED STATES TREAS NTS 1.375% 12/3 S	-184,335.16	50.00	5.00	45.00	50.0	22.50
* BONDS SUBTOTAL *				<u>\$5,177,121.65</u>	<u>\$3,943.79</u>	<u>\$320.00</u>	<u>\$3,623.79</u>		<u>\$1,811.96</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$3,123,922.32</u>	<u>\$5,992.79</u>	<u>\$416.00</u>	<u>\$5,576.79</u>		<u>\$2,788.46</u>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
07/31/2018		MISCELLANEOUS: STREETACCOUNTS		0.00	0.00	0.00	0.00		-4,115.45
07/31/2018		OTHER: BLOOMBERG		0.00	0.00	0.00	0.00		-996.21
07/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
07/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-15.00
07/31/2018		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-212.50
07/31/2018		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-54.33
07/31/2018		OTHER: EZ CASTLE		0.00	0.00	0.00	0.00		-55.56
07/31/2018		OTHER: PIVOT		0.00	0.00	0.00	0.00		-68.05
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-5,537.10

BROKER COMMISSION TOTALS

Broker Transactions	\$2,788.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-5,537.10
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-5,818.33
FINRA Assessment	\$-7.49
SIPC Assessment	\$-14.98

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-8,589.44
Year-to-date Earnings	\$21,599.82