



COMMISSION STATEMENT

March 26, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/16/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	200.00	10.00	0.00	10.00	95.0	9.50
	ACCOUNT #: 00701328528	BUY 11 Units @18.5900						
03/15/2018	***-**-361R - LEONE-WHITTLE,	THE BOND FUND OF AMERICA-C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 00068471550	BUY 4 Units @12.6000						
03/26/2018	***-**-6713 - KREMER, JOHN	VIRTUS MF	25.00	1.25	0.00	1.25	95.0	1.19
		BUY 0 Units @0.0000						
03/26/2018	***-**-3782 - WEINERT-WILEY,	VIRTUS MF	50.00	2.50	0.00	2.50	95.0	2.38
		BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$325.00	\$14.25	\$0.00	\$14.25		\$13.55
*** BROKER TRANSACTIONS TOTAL ***			\$325.00	\$14.25	\$0.00	\$14.25		\$13.55

OVERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

03/12/2018	***-**-2146 - JONES, KELLIE	AMERICAN BALANCED FUND-A	100.00	5.00	0.00	5.00	20.0	1.00
	ACCOUNT #: 00087644930	BUY 3 Units @29.1500						
03/15/2018	***-**-8906 - ISBELL,	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00073594886	BUY 2 Units @28.1800						
03/15/2018	***-**-3143 - HUMPF, JOHN	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082277557	BUY 2 Units @28.1800						
03/15/2018	***-**-7598 - HUMPF, MINDI	AMERICAN BALANCED FUND-A	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00082278912	BUY 4 Units @28.1800						
03/15/2018	***-**-3143 - HUMPF, JOHN	AMERICAN HIGH-INCOME TRUST-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082277557	BUY 5 Units @10.6200						
03/15/2018	***-**-7598 - HUMPF, MINDI	AMERICAN HIGH-INCOME TRUST-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082278912	BUY 5 Units @10.6200						
03/05/2018	***-**-701N - KING, SUSAN	CAPITAL APPRECIATION A	60.00	1.80	0.00	1.80	20.0	0.36
	ACCOUNT #: 03210336612	BUY 0 Units @0.0000						
03/05/2018	***-**-481J - MERRILL, JASON	CAPITAL INCOME A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 03009145730	BUY 0 Units @0.0000						
03/13/2018	***-**-030J - MERRILL,	CAPITAL INCOME A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 03009145719	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
03/14/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME A	262.50	12.47	0.00	12.47	20.0	2.49
	ACCOUNT #: 03008792487	BUY 0 Units @0.0000						
03/15/2018	***-**-3143 - HUMPF, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082277557	BUY 1 Units @54.6500						
03/05/2018	***-**-33IN - KING, JOHN	EQUITY INCOME FUND, INC. A	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 08351548074	BUY 0 Units @0.0000						
03/14/2018	***-**-4932 - BAKER, STEVEN	EQUITY INCOME FUND, INC. A	262.50	12.47	0.00	12.47	20.0	2.49
	ACCOUNT #: 08352551208	BUY 0 Units @0.0000						
03/15/2018	***-**-7598 - HUMPF, MINDI	EUROPACIFIC GROWTH FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082278912	BUY 1 Units @60.3200						
03/26/2018	***-**-027I - DAVIS, KATHRYN	FRANKLIN INCOME FUND CLASS A	0.00	0.97	0.00	0.97	20.0	0.19
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
03/26/2018	***-**-027I - DAVIS, KATHRYN	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	1.58	0.00	1.58	20.0	0.32
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
03/26/2018	***-**-027I - DAVIS, KATHRYN	FRK STRAT INC FND - CL A	0.00	1.35	0.00	1.35	20.0	0.27
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
03/26/2018	***-**-027I - DAVIS, KATHRYN	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.85	0.00	0.85	20.0	0.17
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
03/05/2018	***-**-481J - MERRILL, JASON	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490435	BUY 0 Units @0.0000						
03/13/2018	***-**-030J - MERRILL,	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490490	BUY 0 Units @0.0000						
03/05/2018	***-**-33IN - KING, JOHN	OPPENHEIMER DEVELOPING MARKETS CLAS	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 07859653204	BUY 0 Units @0.0000						
03/05/2018	***-**-481J - MERRILL, JASON	OPPENHEIMER INTERNATIONAL DIVERSIFI	12.50	0.38	0.00	0.38	20.0	0.08
	ACCOUNT #: 01951261073	BUY 0 Units @0.0000						
03/13/2018	***-**-030J - MERRILL,	OPPENHEIMER INTERNATIONAL DIVERSIFI	12.50	0.38	0.00	0.38	20.0	0.08
	ACCOUNT #: 01951261139	BUY 0 Units @0.0000						
03/05/2018	***-**-33IN - KING, JOHN	OPPENHEIMER MAIN STREET SMALL CAP F	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 08476672325	BUY 0 Units @0.0000						
03/05/2018	***-**-33IN - KING, JOHN	OPPENHEIMER SMALL & MID CAP VALUE F	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 02514166107	BUY 0 Units @0.0000						



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OVERWRITE TRANSACTIONS (continued)								
<u>BROKER: RONALD DRAKE (continued)</u>								
03/05/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER STRATEGIC INCOME FD CL	60.00	1.65	0.00	1.65	20.0	0.33
	ACCOUNT #: 02305070453	BUY 0 Units @0.0000						
03/14/2018	***-**-4932 - BAKER, STEVEN	OPPENHEIMER STRATEGIC INCOME FD CL	262.50	10.50	0.00	10.50	20.0	2.10
	ACCOUNT #: 02306510122	BUY 0 Units @0.0000						
03/05/2018	***-**-70IN - KING, SUSAN	RISING DIVIDENDS FUND A	80.00	2.40	0.00	2.40	20.0	0.48
	ACCOUNT #: 02253478497	BUY 0 Units @0.0000						
03/05/2018	***-**-33IN - KING, JOHN	RISING DIVIDENDS FUND A	96.00	2.88	0.00	2.88	20.0	0.58
	ACCOUNT #: 02254507140	BUY 0 Units @0.0000						
03/14/2018	***-**-4932 - BAKER, STEVEN	RISING DIVIDENDS FUND A	262.50	12.47	0.00	12.47	20.0	2.49
	ACCOUNT #: 02254109468	BUY 0 Units @0.0000						
03/05/2018	***-**-481J - MERRILL, JASON	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782397	BUY 0 Units @0.0000						
03/13/2018	***-**-030J - MERRILL,	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782386	BUY 0 Units @0.0000						
03/26/2018	***-**-027I - DAVIS, KATHRYN	TEMP GLOBAL BOND FND - CL A	0.00	1.31	0.00	1.31	20.0	0.26
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
03/15/2018	***-**-3143 - HUMPF, JOHN	THE GROWTH FUND OF AMERICA-A	70.00	1.93	0.00	1.93	20.0	0.39
	ACCOUNT #: 00082277557	BUY 1 Units @55.2800						
03/15/2018	***-**-7598 - HUMPF, MINDI	THE GROWTH FUND OF AMERICA-A	133.00	3.66	0.00	3.66	20.0	0.73
	ACCOUNT #: 00082278912	BUY 2 Units @55.2800						
03/15/2018	***-**-9089 - REEDY, LISA	THE GROWTH FUND OF AMERICA-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00083100942	BUY 1 Units @55.2800						
03/12/2018	***-**-2146 - JONES, KELLIE	THE INCOME FUND OF AMERICA-A	100.00	5.00	0.00	5.00	20.0	1.00
	ACCOUNT #: 00087644930	BUY 4 Units @24.7900						
* RONALD DRAKE SUBTOTAL *			\$3,003.00	\$114.86	\$0.00	\$114.86		\$23.04
<u>BROKER: RONALD CIOTOLI</u>								
03/05/2018	***-**-3380 - DENTON, KELLI	CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	35.0	0.83
	ACCOUNT #: 03210124708	BUY 0 Units @0.0000						
03/05/2018	***-**-717I - EWING, JOSEPH	PORT SERIES: EQUITY INV FD A	300.00	14.25	0.00	14.25	35.0	4.99
	ACCOUNT #: 05550672298	BUY 0 Units @0.0000						
03/16/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	190.00	3.80	0.00	3.80	35.0	1.33
	ACCOUNT #: 00067346436	BUY 8 Units @23.6100						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD CIOTOLI (continued)</u>								
03/16/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE INCOME FUND OF AMERICA-A BUY 2 Units @23.6100	57.00	1.14	0.00	1.14	35.0	0.40
		* RONALD CIOTOLI SUBTOTAL *	<u>\$597.00</u>	<u>\$21.57</u>	<u>\$0.00</u>	<u>\$21.57</u>		<u>\$7.55</u>
		*** OVERRIDE TRANSACTIONS TOTAL ***	<u>\$3,600.00</u>	<u>\$136.43</u>	<u>\$0.00</u>	<u>\$136.43</u>		<u>\$30.59</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$13.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$3,070.24