

**COMMISSION STATEMENT****September 29, 2017**

**CHARLES LAWRENCE**  
10729 NORTHRIDGE COURT

**BROKER #: 13**  
**BRANCH: HOME OFFICE**

TRINITY, FL 34655

**COMMISSION STATEMENT for CHARLES LAWRENCE**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**BROKER TRANSACTIONS****PRODUCT CATEGORY: STOCKS**

08/29/2017	75501981	LAWRENCE, VICTORIA	NINTENDO CO LTD-ADR NEW	B	8,388.00	0.00	0.00	0.00	60.0	0.00
08/29/2017	75501981	LAWRENCE, VICTORIA	WYNN RESORTS LTD	S	20,292.00	0.00	0.00	0.00	60.0	0.00
08/31/2017	75501981	LAWRENCE, VICTORIA	THE SCOTTS MIRACLE GRO CO HLDG CO	S	33,328.54	0.00	0.00	0.00	60.0	0.00
09/01/2017	75501981	LAWRENCE, VICTORIA	AKEBIA THERAPEUTICS INC COM	S	9,320.36	0.00	0.00	0.00	60.0	0.00
09/18/2017	75501981	LAWRENCE, VICTORIA	ALIGN TECHNOLOGY INC	S	9,328.50	0.00	0.00	0.00	60.0	0.00
09/18/2017	75501981	LAWRENCE, VICTORIA	PIONEER NATURAL RESOURCES COMPANY	B	13,677.90	0.00	0.00	0.00	60.0	0.00
09/20/2017	75501981	LAWRENCE, VICTORIA	GW PHARMACEUTICALS PLC ADS	B	11,024.24	0.00	0.00	0.00	60.0	0.00
09/06/2017	75501985	LAWRENCE, CHRISTOPHER	REGENERON PHARMACEUTICALS INC	S	10,050.00	0.00	0.00	0.00	60.0	0.00
08/29/2017	75501986	LAWRENCE, CHRISTOPHER	LVMH MOET HENNESSY LOUIS VUITTON SA	S	5,185.30	0.00	0.00	0.00	60.0	0.00
08/29/2017	75501986	LAWRENCE, CHRISTOPHER	NINTENDO CO LTD-ADR NEW	B	4,184.40	0.00	0.00	0.00	60.0	0.00
08/29/2017	75501986	LAWRENCE, CHRISTOPHER	PROSHARES TRUST ULTRA GOLD MINERS	S	5,895.24	0.00	0.00	0.00	60.0	0.00
08/31/2017	75501986	LAWRENCE, CHRISTOPHER	THE SCOTTS MIRACLE GRO CO HLDG CO	S	14,278.05	0.00	0.00	0.00	60.0	0.00
09/11/2017	75501987	SCHWARTZ, MARILYN	PALO ALTO NETWORKS INC COM	S	21,859.17	55.00	0.00	55.00	60.0	33.00
09/21/2017	75501987	SCHWARTZ, MARILYN	APPLE INC	B	26,771.48	55.00	0.00	55.00	60.0	33.00
09/18/2017	75501988	LAWRENCE, CHRISTOPHER	PALO ALTO NETWORKS INC COM	S	8,679.15	0.00	0.00	0.00	60.0	0.00
09/19/2017	75501988	LAWRENCE, CHRISTOPHER	REGENERON PHARMACEUTICALS INC	B	10,717.25	0.00	0.00	0.00	60.0	0.00
09/20/2017	75501988	LAWRENCE, CHRISTOPHER	MOLSON COORS BREWING CO CL B	S	8,374.68	0.00	0.00	0.00	60.0	0.00
08/30/2017	75501989	BINES, BURTON	NINTENDO CO LTD-ADR NEW	B	20,689.60	0.00	0.00	0.00	60.0	0.00
08/30/2017	75501989	BINES, BURTON	WYNN RESORTS LTD	S	13,566.59	0.00	0.00	0.00	60.0	0.00
08/31/2017	75501989	BINES, BURTON	MARATHON OIL CORP	S	11,253.00	0.00	0.00	0.00	60.0	0.00
08/31/2017	75501989	BINES, BURTON	REGENERON PHARMACEUTICALS INC	S	24,653.01	0.00	0.00	0.00	60.0	0.00
09/01/2017	75501989	BINES, BURTON	CELGENE CORP	B	20,704.89	0.00	0.00	0.00	60.0	0.00
09/01/2017	75501989	BINES, BURTON	GW PHARMACEUTICALS PLC ADS	B	10,494.12	0.00	0.00	0.00	60.0	0.00
09/07/2017	75501989	BINES, BURTON	PALO ALTO NETWORKS INC COM	B	21,562.50	0.00	0.00	0.00	60.0	0.00
09/11/2017	75501989	BINES, BURTON	PALO ALTO NETWORKS INC COM	S	50,981.42	0.00	0.00	0.00	60.0	0.00
09/15/2017	75501989	BINES, BURTON	REGENERON PHARMACEUTICALS INC	B	21,763.50	0.00	0.00	0.00	60.0	0.00
09/18/2017	75501990	LAWRENCE, JEFFREY	PALO ALTO NETWORKS INC COM	S	5,786.50	0.00	0.00	0.00	60.0	0.00
09/19/2017	75501990	LAWRENCE, JEFFREY	REGENERON PHARMACEUTICALS INC	B	8,586.60	0.00	0.00	0.00	60.0	0.00
09/20/2017	75501990	LAWRENCE, JEFFREY	MOLSON COORS BREWING CO CL B	S	6,333.94	0.00	0.00	0.00	60.0	0.00
08/30/2017	75501999	LAWRENCE, CHARLES	PROSHARES TRUST ULTRAPRO NASDAQ BIO	S	12,972.67	75.00	0.00	75.00	60.0	45.00
08/31/2017	75501999	LAWRENCE, CHARLES	AKEBIA THERAPEUTICS INC COM	S	9,408.00	55.00	0.00	55.00	60.0	33.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/31/2017	75501999	LAWRENCE, CHARLES							
		JUNO THERAPEUTICS INC COM	B	10,816.00	100.00	0.00	100.00	60.0	60.00
08/31/2017	75501999	LAWRENCE, CHARLES							
		SKYWORKS SOLUTIONS INC	S	13,151.16	55.00	0.00	55.00	60.0	33.00
09/01/2017	75501999	LAWRENCE, CHARLES							
		HERTZ GLOBAL HLDGS INC NEW COM	B	6,601.79	55.00	0.00	55.00	60.0	33.00
09/06/2017	75501999	LAWRENCE, CHARLES							
		HERTZ GLOBAL HLDGS INC NEW COM	B	6,940.90	75.00	0.00	75.00	60.0	45.00
09/07/2017	75501999	LAWRENCE, CHARLES							
		DIREXION SHARES ETF TRUST DIREXION	S	7,352.07	55.00	0.00	55.00	60.0	33.00
09/11/2017	75501999	LAWRENCE, CHARLES							
		JUNO THERAPEUTICS INC COM	B	10,897.90	100.00	0.00	100.00	60.0	60.00
09/18/2017	75501999	LAWRENCE, CHARLES							
		DIREXION SHARES ETF TRUST DIREXION	B	6,096.06	75.00	0.00	75.00	60.0	45.00
09/20/2017	75501999	LAWRENCE, CHARLES							
		HERTZ GLOBAL HLDGS INC NEW COM	S	7,426.25	55.00	0.00	55.00	60.0	33.00
09/21/2017	75501999	LAWRENCE, CHARLES							
		HERTZ GLOBAL HLDGS INC NEW COM	S	7,554.11	55.00	0.00	55.00	60.0	33.00
08/31/2017	75502000	LAWRENCE, WILLIAM							
		DIREXION SHARES ETF TRUST DIREXION	S	3,960.00	55.00	0.00	55.00	60.0	33.00
09/01/2017	75502000	LAWRENCE, WILLIAM							
		JUNO THERAPEUTICS INC COM	S	4,213.10	55.00	0.00	55.00	60.0	33.00
09/01/2017	75502000	LAWRENCE, WILLIAM							
		PROSHARES TRUST ULTRAPRO NASDAQ BIO S	S	4,900.00	55.00	0.00	55.00	60.0	33.00
09/06/2017	75502000	LAWRENCE, WILLIAM							
		ADVANCED MICRO DEVICES INC	B	7,195.44	213.75	0.00	213.75	60.0	128.25
09/11/2017	75502000	LAWRENCE, WILLIAM							
		FIREEYE INC COM	S	9,180.08	55.00	0.00	55.00	60.0	33.00
09/12/2017	75502000	LAWRENCE, WILLIAM							
		SNAP INC CLASS A COMMON STOCK	B	8,566.32	100.00	0.00	100.00	60.0	60.00
09/12/2017	75502000	LAWRENCE, WILLIAM							
		SQUARE INC CLASS A COM	S	10,215.98	55.00	0.00	55.00	60.0	33.00
09/15/2017	75502000	LAWRENCE, WILLIAM							
		DIREXION SHARES ETF TRUST DIREXION	B	9,694.54	100.00	0.00	100.00	60.0	60.00
09/20/2017	75502000	LAWRENCE, WILLIAM							
		PROSHARES TRUST ULTRAPRO NASDAQ BIO S	S	5,769.03	55.00	0.00	55.00	60.0	33.00
09/21/2017	75502000	LAWRENCE, WILLIAM							
		JUNO THERAPEUTICS INC COM	B	11,368.50	100.00	0.00	100.00	60.0	60.00
09/22/2017	75502000	LAWRENCE, WILLIAM							
		CONTINENTAL RESOURCES INC	B	10,383.23	100.00	0.00	100.00	60.0	60.00
09/22/2017	75502000	LAWRENCE, WILLIAM							
		JUNO THERAPEUTICS INC COM	S	10,186.00	55.00	0.00	55.00	60.0	33.00
09/25/2017	75502000	LAWRENCE, WILLIAM							
		JUNO THERAPEUTICS INC COM	B	9,844.73	100.00	0.00	100.00	60.0	60.00
08/29/2017	75502001	LAWRENCE, CHARLES							
		FAIRMOUNT SANTROL HLDGS INC COM	S	3,452.40	55.00	0.00	55.00	60.0	33.00
08/29/2017	75502001	LAWRENCE, CHARLES							
		PROSHARES ULTRASHORT QQQ	B	17,031.02	100.00	0.00	100.00	60.0	60.00
08/30/2017	75502001	LAWRENCE, CHARLES							
		BLUEBIRD BIO INC COM	S	16,065.00	100.00	0.00	100.00	60.0	60.00
08/30/2017	75502001	LAWRENCE, CHARLES							
		JUNO THERAPEUTICS INC COM	B	15,060.24	150.00	0.00	150.00	60.0	90.00
08/31/2017	75502001	LAWRENCE, CHARLES							
		JUNO THERAPEUTICS INC COM	B	15,336.00	150.00	0.00	150.00	60.0	90.00
08/31/2017	75502001	LAWRENCE, CHARLES							
		PROSHARES TRUST ULTRAPRO NASDAQ BIO S	S	13,755.75	75.00	0.00	75.00	60.0	45.00
08/31/2017	75502001	LAWRENCE, CHARLES							
		SKYWORKS SOLUTIONS INC	S	13,175.63	55.00	0.00	55.00	60.0	33.00
09/01/2017	75502001	LAWRENCE, CHARLES							
		HERTZ GLOBAL HLDGS INC NEW COM	B	6,554.15	55.00	0.00	55.00	60.0	33.00
09/01/2017	75502001	LAWRENCE, CHARLES							
		SNAP INC CLASS A COMMON STOCK	B	7,595.18	100.00	0.00	100.00	60.0	60.00
09/05/2017	75502001	LAWRENCE, CHARLES							
		BLUEBIRD BIO INC COM	B	15,531.25	150.00	0.00	150.00	60.0	90.00
09/06/2017	75502001	LAWRENCE, CHARLES							
		PROSHARES TRUST ULTRAPRO NASDAQ BIO S	S	14,677.31	100.00	0.00	100.00	60.0	60.00
09/06/2017	75502001	LAWRENCE, CHARLES							
		SQUARE INC CLASS A COM	S	19,575.00	100.00	0.00	100.00	60.0	60.00
09/07/2017	75502001	LAWRENCE, CHARLES							
		BLUEBIRD BIO INC COM	B	15,700.00	150.00	0.00	150.00	60.0	90.00
09/11/2017	75502001	LAWRENCE, CHARLES							
		ALIBABA GROUP HOLDING LTD SPONSORED S	S	12,922.50	55.00	0.00	55.00	60.0	33.00
09/11/2017	75502001	LAWRENCE, CHARLES							
		SAREPTA THERAPEUTICS INC COM	S	13,309.02	75.00	0.00	75.00	60.0	45.00
09/11/2017	75502001	LAWRENCE, CHARLES							
		SNAP INC CLASS A COMMON STOCK	S	8,139.08	55.00	0.00	55.00	60.0	33.00
09/14/2017	75502001	LAWRENCE, CHARLES							
		BLUEBIRD BIO INC COM	S	16,543.75	75.00	0.00	75.00	60.0	45.00
09/14/2017	75502001	LAWRENCE, CHARLES							
		FAIRMOUNT SANTROL HLDGS INC COM	B	4,227.61	55.00	0.00	55.00	60.0	33.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/14/2017	75502001	LAWRENCE, CHARLES	TEVA PHARMACEUTICAL INDUSTRIES LIM	B	10,577.84	100.00	0.00	100.00	60.00
09/14/2017	75502001	LAWRENCE, CHARLES	TEVA PHARMACEUTICAL INDUSTRIES LIM	B	5,819.13	55.00	0.00	55.00	60.00
09/15/2017	75502001	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	20,704.00	150.00	0.00	150.00	60.00
09/15/2017	75502001	LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	S	14,040.00	75.00	0.00	75.00	60.00
09/19/2017	75502001	LAWRENCE, CHARLES	HERTZ GLOBAL HLDGS INC NEW COM	S	7,095.86	55.00	0.00	55.00	60.00
09/19/2017	75502001	LAWRENCE, CHARLES	STRATASYS LTD	B	12,435.68	125.00	0.00	125.00	60.00
09/20/2017	75502001	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	16,600.00	75.00	0.00	75.00	60.00
09/20/2017	75502001	LAWRENCE, CHARLES	DIREXION SHS ETF TR DAILY FINL BULL	B	19,165.43	200.00	0.00	200.00	60.00
09/20/2017	75502001	LAWRENCE, CHARLES	FAIRMOUNT SANTROL HLDGS INC COM	S	4,636.80	55.00	0.00	55.00	60.00
09/21/2017	75502001	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	16,679.00	150.00	0.00	150.00	60.00
09/21/2017	75502001	LAWRENCE, CHARLES	NINTENDO CO LTD-ADR NEW	B	12,112.80	125.00	0.00	125.00	60.00
09/22/2017	75502001	LAWRENCE, CHARLES	BROWN FORMAN CORP CL B	S	8,138.01	55.00	0.00	55.00	60.00
09/22/2017	75502001	LAWRENCE, CHARLES	NINTENDO CO LTD-ADR NEW	B	11,869.00	125.00	0.00	125.00	60.00
09/25/2017	75502001	LAWRENCE, CHARLES	APHRIA INC COM ISIN#CA03765K1049	S	5,459.24	55.00	0.00	55.00	60.00
09/25/2017	75502001	LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	B	13,380.00	125.00	0.00	125.00	60.00
08/29/2017	75502002	LAWRENCE, WILLIAM	AVIANCA HOLDINGS SA SPONSORED ADR	S	9,639.00	100.00	0.00	100.00	60.00
08/31/2017	75502002	LAWRENCE, WILLIAM	SAREPTA THERAPEUTICS INC COM	S	12,853.75	75.00	0.00	75.00	60.00
09/01/2017	75502002	LAWRENCE, WILLIAM	JUNO THERAPEUTICS INC COM	S	6,324.00	75.00	0.00	75.00	60.00
09/05/2017	75502002	LAWRENCE, WILLIAM	DIREXION SHARES ETF TRUST DIREXION	S	6,784.00	55.00	0.00	55.00	60.00
09/06/2017	75502002	LAWRENCE, WILLIAM	ADVANCED MICRO DEVICES INC	B	9,772.84	100.00	0.00	100.00	60.00
09/08/2017	75502002	LAWRENCE, WILLIAM	SQUARE INC CLASS A COM	S	10,050.00	55.00	0.00	55.00	60.00
09/12/2017	75502002	LAWRENCE, WILLIAM	ADVANCED MICRO DEVICES INC	B	6,896.40	75.00	0.00	75.00	60.00
09/12/2017	75502002	LAWRENCE, WILLIAM	JUNO THERAPEUTICS INC COM	B	14,931.30	150.00	0.00	150.00	60.00
09/14/2017	75502002	LAWRENCE, WILLIAM	JUNO THERAPEUTICS INC COM	S	10,525.50	55.00	0.00	55.00	60.00
09/19/2017	75502002	LAWRENCE, WILLIAM	MOLSON COORS BREWING CO CL B	S	22,225.68	55.00	0.00	55.00	60.00
09/21/2017	75502002	LAWRENCE, WILLIAM	JUNO THERAPEUTICS INC COM	B	11,307.40	100.00	0.00	100.00	60.00
09/25/2017	75502002	LAWRENCE, WILLIAM	JUNO THERAPEUTICS INC COM	B	11,177.10	100.00	0.00	100.00	60.00
08/30/2017	75502003	LAWRENCE, SARAH	AKEBIA THERAPEUTICS INC COM	S	8,619.00	51.00	0.00	51.00	60.00
09/07/2017	75502003	LAWRENCE, SARAH	SNAP INC CLASS A COMMON STOCK	B	8,433.60	55.00	0.00	55.00	60.00
09/15/2017	75502003	LAWRENCE, SARAH	FAIRMOUNT SANTROL HLDGS INC COM	B	3,688.69	55.00	0.00	55.00	60.00
09/07/2017	75502005	SHEMIN, VICKI	WALT DISNEY CO	S	14,768.52	55.00	0.00	55.00	60.00
09/07/2017	75502006	SHEMIN, VICKI	WALT DISNEY CO	S	10,318.71	55.00	0.00	55.00	60.00
09/14/2017	75502006	SHEMIN, VICKI	FIRST DATA CORP NEW COM CL A	B	13,654.92	55.00	0.00	55.00	60.00
08/30/2017	75502008	DEC, JOHN	NEW YORK MORTGAGE TRUST INC 7.875%	S	12,515.75	0.00	0.00	0.00	60.00
08/30/2017	75502008	DEC, JOHN	NINTENDO CO LTD-ADR NEW	B	8,287.40	0.00	0.00	0.00	60.00
09/19/2017	75502008	DEC, JOHN	THE SCOTTS MIRACLE GRO CO HLDG CO	S	9,660.00	0.00	0.00	0.00	60.00
09/21/2017	75502008	DEC, JOHN	APPLE INC	B	15,300.99	0.00	0.00	0.00	60.00
08/30/2017	75502010	KEETER, LANCE	CONTINENTAL RESOURCES INC	S	1,821.06	0.00	0.00	0.00	60.00
08/30/2017	75502010	KEETER, LANCE	NINTENDO CO LTD-ADR NEW	B	2,817.92	0.00	0.00	0.00	60.00
09/01/2017	75502010	KEETER, LANCE	ADVANCED MICRO DEVICES INC	B	6,684.50	0.00	0.00	0.00	60.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/11/2017	75502010	KEETER, LANCE		PALO ALTO NETWORKS INC COM	S	7,286.13	0.00	0.00	0.00 60.0 0.00
09/19/2017	75502010	KEETER, LANCE		THE SCOTTS MIRACLE GRO CO HLDG CO	S	3,864.00	0.00	0.00	0.00 60.0 0.00
09/21/2017	75502010	KEETER, LANCE		APPLE INC	B	3,823.50	0.00	0.00	0.00 60.0 0.00
08/30/2017	75502011	DEC, JANICE		NINTENDO CO LTD-ADR NEW	B	4,143.70	0.00	0.00	0.00 60.0 0.00
09/19/2017	75502011	DEC, JANICE		THE SCOTTS MIRACLE GRO CO HLDG CO	S	4,830.00	0.00	0.00	0.00 60.0 0.00
09/21/2017	75502011	DEC, JANICE		APPLE INC	B	7,640.50	0.00	0.00	0.00 60.0 0.00
08/30/2017	75502012	DEC, JOHN		NINTENDO CO LTD-ADR NEW	B	20,693.60	0.00	0.00	0.00 60.0 0.00
08/31/2017	75502012	DEC, JOHN		MARATHON OIL CORP	S	8,417.25	0.00	0.00	0.00 60.0 0.00
09/01/2017	75502012	DEC, JOHN		BOSTON BEER CO INC-CL A	B	22,125.00	0.00	0.00	0.00 60.0 0.00
09/01/2017	75502012	DEC, JOHN		THE SCOTTS MIRACLE GRO CO HLDG CO	S	28,591.71	0.00	0.00	0.00 60.0 0.00
09/19/2017	75502012	DEC, JOHN		THE SCOTTS MIRACLE GRO CO HLDG CO	S	28,980.00	0.00	0.00	0.00 60.0 0.00
09/25/2017	75502012	DEC, JOHN		BROADCOM LIMITED SHS	B	29,075.00	0.00	0.00	0.00 60.0 0.00
09/26/2017	75502012	DEC, JOHN		BOSTON BEER CO INC-CL A	S	24,270.00	0.00	0.00	0.00 60.0 0.00
09/12/2017	75502013	DEC, JOHN		THE SCOTTS MIRACLE GRO CO HLDG CO	S	7,132.50	0.00	0.00	0.00 60.0 0.00
09/12/2017	75502014	DEC, JOHN		THE SCOTTS MIRACLE GRO CO HLDG CO	S	4,755.00	0.00	0.00	0.00 60.0 0.00
08/30/2017	75502021	ARCARO, DANIEL		CONTINENTAL RESOURCES INC	S	1,320.80	0.00	0.00	0.00 60.0 0.00
09/01/2017	75502021	ARCARO, DANIEL		MOLSON COORS BREWING CO CL B	S	4,500.00	0.00	0.00	0.00 60.0 0.00
09/21/2017	75502021	ARCARO, DANIEL		APPLE INC	B	2,293.95	0.00	0.00	0.00 60.0 0.00
09/20/2017	75502022	APPEL, CHARLES		WALT DISNEY CO	S	9,900.00	55.00	0.00	55.00 60.0 33.00
09/01/2017	75502023	APPEL, CHARLES		REGENERON PHARMACEUTICALS INC	S	7,551.60	55.00	0.00	55.00 60.0 33.00
09/19/2017	75502023	APPEL, CHARLES		FIREEYE INC COM	S	6,842.72	55.00	0.00	55.00 60.0 33.00
09/20/2017	75502023	APPEL, CHARLES		WALT DISNEY CO	S	7,425.00	55.00	0.00	55.00 60.0 33.00
08/30/2017	75502035	ROMANO, LISA		BB&T CORP	S	2,319.63	0.00	0.00	0.00 60.0 0.00
09/19/2017	75502035	ROMANO, LISA		THE SCOTTS MIRACLE GRO CO HLDG CO	S	2,898.00	0.00	0.00	0.00 60.0 0.00
09/21/2017	75502035	ROMANO, LISA		BROADCOM LIMITED SHS	B	3,582.41	0.00	0.00	0.00 60.0 0.00
08/30/2017	75502036	ROMANO, MARK		BB&T CORP	S	4,639.34	0.00	0.00	0.00 60.0 0.00
09/19/2017	75502036	ROMANO, MARK		THE SCOTTS MIRACLE GRO CO HLDG CO	S	4,830.00	0.00	0.00	0.00 60.0 0.00
09/21/2017	75502036	ROMANO, MARK		BROADCOM LIMITED SHS	B	5,968.44	0.00	0.00	0.00 60.0 0.00
08/30/2017	75502043	MCCORD, ELIZABETH		REGENERON PHARMACEUTICALS INC	S	12,802.31	0.00	0.00	0.00 60.0 0.00
08/31/2017	75502043	MCCORD, ELIZABETH		ALIGN TECHNOLOGY INC	S	7,046.01	0.00	0.00	0.00 60.0 0.00
09/01/2017	75502043	MCCORD, ELIZABETH		ALPHABET INC CLASS A COMMON STOCK	S	12,428.06	0.00	0.00	0.00 60.0 0.00
09/06/2017	75502043	MCCORD, ELIZABETH		MICROSOFT CORP	B	23,945.90	0.00	0.00	0.00 60.0 0.00
09/15/2017	75502073	HAND FAMILY LP		NUVEEN MUNICIPAL CREDIT INCOME FUND B	B	24,720.00	240.00	0.00	240.00 60.0 144.00
09/11/2017	75502104	LAWRENCE, CHARLES		SQUARE INC CLASS A COM	S	10,152.38	55.00	0.00	55.00 60.0 33.00
09/20/2017	75502104	LAWRENCE, CHARLES		DIREXION SHS ETF TR DAILY FINL BULL B	B	8,915.78	55.00	0.00	55.00 60.0 33.00
08/29/2017	75502114	BEHAR, RAYMOND		ALTRIA GROUP INC	S	2,360.97	0.00	0.00	0.00 60.0 0.00
09/01/2017	75502114	BEHAR, RAYMOND		BROADCOM LIMITED SHS	B	5,060.75	0.00	0.00	0.00 60.0 0.00
09/01/2017	75502114	BEHAR, RAYMOND		MICROSOFT CORP	B	888.54	0.00	0.00	0.00 60.0 0.00
09/14/2017	75502114	BEHAR, RAYMOND		ENBRIDGE INC	S	2,546.96	0.00	0.00	0.00 60.0 0.00
09/14/2017	75502114	BEHAR, RAYMOND		GARTNER INC	B	10,409.10	0.00	0.00	0.00 60.0 0.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
09/21/2017	75502114	BEHAR, RAYMOND		APPLE INC	B	7,650.50	0.00	0.00	0.00	60.0	0.00
08/30/2017	75502115	BEHAR, RAYMOND		REGENERON PHARMACEUTICALS INC	S	1,477.19	0.00	0.00	0.00	60.0	0.00
08/31/2017	75502115	BEHAR, RAYMOND		ALIGN TECHNOLOGY INC	S	880.75	0.00	0.00	0.00	60.0	0.00
09/01/2017	75502115	BEHAR, RAYMOND		ALPHABET INC CLASS A COMMON STOCK	S	956.00	0.00	0.00	0.00	60.0	0.00
09/06/2017	75502115	BEHAR, RAYMOND		MICROSOFT CORP	B	2,938.15	0.00	0.00	0.00	60.0	0.00
* STOCKS SUBTOTAL *						\$1,703,724.63	\$7,034.75	\$0.00	\$7,034.75		\$4,220.85
PRODUCT CATEGORY: OPTIONS											
09/15/2017	75502114	BEHAR, RAYMOND		CALL MSFT 10/20/17 75	S	143.00	0.00	0.00	0.00	60.0	0.00
08/31/2017	75502124	HAND, STEPHEN		CALL WMB 01/19/18 33	S	390.00	51.00	0.00	51.00	60.0	30.60
* OPTIONS SUBTOTAL *						\$533.00	\$51.00	\$0.00	\$51.00		\$30.60
PRODUCT CATEGORY: MISCELLANEOUS											
09/29/2017	VARIOUS, LAWRENCE			RBC ADVISORY INCOME	B	0.00	6,811.00	0.00	6,811.00	60.0	4,086.60
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$6,811.00	\$0.00	\$6,811.00		\$4,086.60
PRODUCT CATEGORY: RIA											
09/07/2017	75501985	LAWRENCE, CHRISTOPHER		FAS FEES	B	6.85	-4.45	0.00	-4.45	60.0	0.00
09/25/2017	75501990	LAWRENCE, JEFFREY		FAS FEES	B	2.47	-1.61	0.00	-1.61	60.0	0.00
09/07/2017	75502012	DEC, JOHN		FAS FEES	B	6.85	-6.10	0.00	-6.10	60.0	0.00
* RIA SUBTOTAL *						\$16.17	\$-12.16	\$0.00	\$-12.16		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
09/01/2017	00083587996	LAWRENCE, JACKSON		AMCAP FUND-529A	B	0.00	13.70	0.00	13.70	60.0	8.22
09/01/2017	00083587996	LAWRENCE, JACKSON		AMERICAN BALANCED FUND-529A	B	0.00	5.93	0.00	5.93	60.0	3.56
09/01/2017	00083587996	LAWRENCE, JACKSON		CAPITAL INCOME BUILDER-529A	B	0.00	2.32	0.00	2.32	60.0	1.39
09/01/2017	00083587996	LAWRENCE, JACKSON		CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	9.59	0.00	9.59	60.0	5.75
09/01/2017	00083587996	LAWRENCE, JACKSON		NEW WORLD FUND-529A	B	0.00	3.93	0.00	3.93	60.0	2.36
09/01/2017	00083587996	LAWRENCE, JACKSON		SMALLCAP WORLD FUND-529A	B	0.00	5.61	0.00	5.61	60.0	3.37
09/01/2017	00083587996	LAWRENCE, JACKSON		THE GROWTH FUND OF AMERICA-529A	B	0.00	12.79	0.00	12.79	60.0	7.67
09/12/2017	75502005	SHEMIN, VICKI		THE INCOME FUND OF AMERICA-A	B	0.00	2.40	0.00	2.40	60.0	1.44
09/12/2017	75502022	APPEL, CHARLES		MFS INTERNTL DIVERSIFICATION FUND-A	B	0.00	5.14	0.00	5.14	60.0	3.08
09/12/2017	75502023	APPEL, CHARLES		**CLEARBRIDGE AGGRESSIVE	B	0.00	9.58	0.00	9.58	60.0	5.75
09/12/2017	75502023	APPEL, CHARLES		JANUS INVT FD GLOBAL LIFE SCIENCES	B	0.00	10.48	0.00	10.48	60.0	6.29
09/12/2017	75502023	APPEL, CHARLES		JANUS INVT FD TRITON FD CL C	B	0.00	15.80	0.00	15.80	60.0	9.48
09/12/2017	75502023	APPEL, CHARLES		JOHN HANCOCK FDS III DISCIPLINED VA	B	0.00	10.27	0.00	10.27	60.0	6.16
09/12/2017	75502070	HAND, STEPHEN		LORD ABBETT SECS TR INTL DIVIDEND I	B	0.00	10.17	0.00	10.17	60.0	6.10
09/12/2017	75502070	HAND, STEPHEN		LORD ABBETT MULTI ASSET INCOME FUND	B	0.00	9.79	0.00	9.79	60.0	5.87
09/12/2017	75502070	HAND, STEPHEN		LORD ABBETT HIGH YIELD FUND CL-C	B	0.00	8.76	0.00	8.76	60.0	5.26
09/12/2017	75502070	HAND, STEPHEN		NUVEEN SYMPHONY CREDIT OPPORTUNITIE	B	0.00	11.01	0.00	11.01	60.0	6.61
09/12/2017	75502071	HAND, STEPHEN		LORD ABBETT MULTI ASSET INCOME FUND	B	0.00	2.45	0.00	2.45	60.0	1.47

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/12/2017	75502071	HAND, STEPHEN	NUVEEN SYMPHONY CREDIT OPPORTUNITIE B	0.00	2.75	0.00	2.75	60.0	1.65
09/12/2017	75502073	HAND FAMILY LP	**AB HIGH INCOME MUNICIPAL B	0.00	180.00	0.00	180.00	60.0	108.00
09/12/2017	75502073	HAND FAMILY LP	LORD ABBETT TAX-FREE INCOME TR HIGH B	0.00	129.07	0.00	129.07	60.0	77.44
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$461.54	\$0.00	\$461.54		\$276.92
*** BROKER TRANSACTIONS TOTAL ***				\$1,704,273.80	\$14,346.13	\$0.00	\$14,346.13		\$8,614.97

BROKER COMMISSION TOTALS

Broker Transactions	\$8,614.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-9.42
SIPC Assessment	\$-18.84

Check Amount	\$8,586.71
Year-to-date Earnings	\$33,340.30