

STATEMENT

February 20, 2018

NICK DREW - LPCM
12721 Sawmill Rd
Suite 105
The Woodlands, TX 77380

BROKER #: 3169
BRANCH: LIBERTY PARTNERS CAP

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/04/2018	# WHITE, JESSE	IAFEES	71.42	-54.76	95.0	0.00	-52.02	0.00	-52.02
01/05/2018	# SHOAFF, JOHN	IAFEES	20.11	-13.94	95.0	0.00	-13.24	0.00	-13.24
01/16/2018	# FARMER, IAN	IAFEES	-121.85	85.30	95.0	0.00	81.04	0.00	81.04
01/17/2018	# DEFREESE, KEVIN	IAFEES	-710.27	544.54	95.0	0.00	517.31	0.00	517.31
01/17/2018	# KARBER, GREG	IAFEES	131.89	-101.12	95.0	0.00	-96.06	0.00	-96.06
01/17/2018	# SHOAFF, JOHN	IAFEES	-1,467.98	1,017.80	95.0	0.00	966.91	0.00	966.91
01/17/2018	# SHOAFF, JOHN	IAFEES	-4,217.56	3,149.11	95.0	0.00	2,991.65	0.00	2,991.65
01/17/2018	# BUSKING, RYAN	IAFEES	-725.24	556.02	95.0	0.00	528.22	0.00	528.22
01/17/2018	# POUPARD, GREGORY	IAFEES	677.46	-573.59	95.0	0.00	-544.91	0.00	-544.91
01/17/2018	# SMITH, SHARON	IAFEES	-772.54	592.28	95.0	0.00	562.67	0.00	562.67
01/17/2018	# RIEGLER, SALLEE	IAFEES	-42.19	-33.76	95.0	0.00	-32.07	0.00	-32.07
01/17/2018	# KNIGHT, MARISA	IAFEES	-331.34	231.94	95.0	0.00	220.34	0.00	220.34
01/17/2018	# KARBER, GREG	IAFEES	591.90	-481.41	95.0	0.00	-457.34	0.00	-457.34
01/17/2018	# KARBER, GREG	IAFEES	-1,764.41	1,435.05	95.0	0.00	1,363.30	0.00	1,363.30
01/17/2018	# HARDY, LYNN	IAFEES	-164.63	115.24	95.0	0.00	109.48	0.00	109.48
01/17/2018	# KARBER, JEANNIE	IAFEES	-498.03	381.83	95.0	0.00	362.74	0.00	362.74
01/17/2018	# WOLFE, JENNIFER	IAFEES	-58.23	23.30	95.0	0.00	22.14	0.00	22.14
01/17/2018	# WHITE, JESSE	IAFEES	558.92	-428.51	95.0	0.00	-407.08	0.00	-407.08
01/17/2018	# SCHEER, DENNIS	IAFEES	-1,465.26	1,128.25	95.0	0.00	1,071.84	0.00	1,071.84
01/17/2018	# KNIGHT, MARISA	IAFEES	-232.79	162.95	95.0	0.00	154.80	0.00	154.80
01/17/2018	# THROWER, NANCY	IAFEES	-494.22	378.90	95.0	0.00	359.96	0.00	359.96
01/17/2018	# SPARKS, TERRY	IAFEES	-1,248.87	1,015.75	95.0	0.00	964.96	0.00	964.96
01/17/2018	# WHITE, JESSE	IAFEES	-859.42	658.89	95.0	0.00	625.95	0.00	625.95
01/17/2018	# BUSKING, RYAN	IAFEES	-423.80	324.92	95.0	0.00	308.67	0.00	308.67
01/17/2018	# KARBER, GREG	IAFEES	-189.51	132.66	95.0	0.00	126.03	0.00	126.03
01/17/2018	# KARBER, JEANNIE	IAFEES	-2,972.84	2,517.00	95.0	0.00	2,391.15	0.00	2,391.15
01/17/2018	# LYNCH, DEBORAH	IAFEES	-390.68	299.52	95.0	0.00	284.54	0.00	284.54
01/17/2018	# POUPARD, GREGORY	IAFEES	-1,595.19	1,297.42	95.0	0.00	1,232.55	0.00	1,232.55

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/17/2018	# KARBER, JEANNIE	IAFEES	996.94	-844.08	95.0	0.00	-801.88	0.00	-801.88
01/26/2018	# KARBER, GREG	IAFEES	271.30	-220.65	95.0	0.00	-209.62	0.00	-209.62
* MUTUAL FUNDS SUBTOTAL *			\$-17,426.91	\$13,296.85		\$0.00	\$12,632.03	\$0.00	\$12,632.03
<u>PRODUCT CATEGORY: RIA</u>									
02/01/2018	#514166 BROWN, CHRISTIAN	DO NOT SELL SECURITIES	63,502.26	-18.52	95.0	0.00	-18.52	0.00	-18.52
02/01/2018	#514101 BROWN, DAVID	DO NOT SELL SECURITIES	29,138.44	-8.50	95.0	0.00	-8.50	0.00	-8.50
02/01/2018	#514067 BROWN, KAREN	DO NOT SELL SECURITIES	78,386.88	-22.86	95.0	0.00	-22.86	0.00	-22.86
02/01/2018	#514308 TCA DREW VAR	DO NOT SELL SECURITIES	5,748.85	-1.68	95.0	0.00	-1.68	0.00	-1.68
02/01/2018	#514303 GREATHOUSE,	DO NOT SELL SECURITIES	6,240.00	-1.82	95.0	0.00	-1.82	0.00	-1.82
02/01/2018	#514103 TCA DREW VAR	DO NOT SELL SECURITIES	17,494.35	-5.10	95.0	0.00	-5.10	0.00	-5.10
02/01/2018	#514100 JACKSON, JOHN	DO NOT SELL SECURITIES	14,670.00	-4.28	95.0	0.00	-4.28	0.00	-4.28
02/01/2018	#514278 KRISHNASWAMY,	DO NOT SELL SECURITIES	25,917.00	-7.56	95.0	0.00	-7.56	0.00	-7.56
02/01/2018	#514116 MANGIAMELI, ROSWITHA	DO NOT SELL SECURITIES	1,000.16	-0.29	95.0	0.00	-0.29	0.00	-0.29
02/01/2018	#514241 MONTEGUT, MAX	DO NOT SELL SECURITIES	18,977.92	-5.54	95.0	0.00	-5.54	0.00	-5.54
02/01/2018	#514134 MONTEGUT, TONI	DO NOT SELL SECURITIES	10,248.43	-2.99	95.0	0.00	-2.99	0.00	-2.99
02/01/2018	#514074 PALMER, BRIAN	DO NOT SELL SECURITIES	9,218.09	-2.69	95.0	0.00	-2.69	0.00	-2.69
02/01/2018	#514173 PIPIRINGOS, PANDORA	DO NOT SELL SECURITIES	960.76	-0.28	95.0	0.00	-0.28	0.00	-0.28
02/01/2018	#514104 REDDICK, MICHELLE	DO NOT SELL SECURITIES	21,170.63	-6.17	95.0	0.00	-6.17	0.00	-6.17
02/01/2018	#514154 SHOAFF, JOHN	DO NOT SELL SECURITIES	500.00	-0.15	95.0	0.00	-0.15	0.00	-0.15
02/01/2018	#514073 MORVANT, GEORGE	TCA LPCM FEES	6,436.93	8.05	95.0	0.00	8.05	0.00	8.05
02/01/2018	#514095 LAZRINE, SANDRA	TCA LPCM FEES	64,108.72	80.14	95.0	0.00	80.14	0.00	80.14
02/01/2018	#514126 LAZRINE, DONALD	TCA LPCM FEES	62,756.73	78.45	95.0	0.00	78.45	0.00	78.45
02/01/2018	#514136 CONNER, ANNETTE	TCA LPCM FEES	15,548.70	19.44	95.0	0.00	19.44	0.00	19.44
02/01/2018	#514177 BOLIVAR, ANDRES	TCA LPCM FEES	23,009.60	28.76	95.0	0.00	28.76	0.00	28.76
02/01/2018	#514202 BRAKEFIELD, JONMARC	TCA LPCM FEES	17,996.98	22.50	95.0	0.00	22.50	0.00	22.50
02/01/2018	#514211 GRANT, BARBARA	TCA LPCM FEES	4,368.95	5.46	95.0	0.00	5.46	0.00	5.46
02/01/2018	#514224 HOUGEN, JOHN	TCA LPCM FEES	33,728.17	42.16	95.0	0.00	42.16	0.00	42.16
02/01/2018	#514275 BOLIVAR, ANDRES	TCA LPCM FEES	8,985.14	9.36	95.0	0.00	9.36	0.00	9.36
02/01/2018	#539323 GODEJOHN-BAIRD,	TCA LPCM FEES	13,552.56	16.94	95.0	0.00	16.94	0.00	16.94
02/01/2018	#540040 ANDERSON, JOHNNY	TCA LPCM FEES	253,047.25	316.31	95.0	0.00	316.31	0.00	316.31
02/01/2018	#542443 ES FAMILY TRUST	TCA LPCM FEES	124,439.45	155.55	95.0	0.00	155.55	0.00	155.55
02/01/2018	#547013 SAILORS, JAMES	TCA LPCM FEES	12,138.76	15.17	95.0	0.00	15.17	0.00	15.17
02/01/2018	#593725 TCA DREW VAR	TCA LPCM FEES	46,249.28	28.91	95.0	0.00	28.91	0.00	28.91
02/01/2018	#615636 TCA DREW VAR	TCA LPCM FEES	100,157.51	125.20	95.0	0.00	125.20	0.00	125.20
02/01/2018	#514111 O'DONNELL, KATHY	TCA LPCM FEES	46,525.93	58.16	95.0	0.00	58.16	0.00	58.16

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
02/01/2018	#514127 BRAKEFIELD, JONMARC	TCA LPCM FEES	68,016.64	85.02	95.0	0.00	85.02	0.00	85.02
02/01/2018	#514167 O'DONNELL, JAMES	TCA LPCM FEES	249,401.72	311.75	95.0	0.00	311.75	0.00	311.75
02/01/2018	#514202 BRAKEFIELD, JONMARC	TCA LPCM FEES	25,954.51	32.44	95.0	0.00	32.44	0.00	32.44
02/01/2018	#514064 BRAKEFIELD, JONMARC	TCA LPCM FEES	14,340.69	17.93	95.0	0.00	17.93	0.00	17.93
02/01/2018	#514068 STOVALL, BLAKE	TCA LPCM FEES	72,942.47	91.18	95.0	0.00	91.18	0.00	91.18
02/01/2018	#514075 BROWN, KAREN	TCA LPCM FEES	655,782.39	819.73	95.0	0.00	819.73	0.00	819.73
02/01/2018	#514100 JACKSON, JOHN	TCA LPCM FEES	5,965.11	7.46	95.0	0.00	7.46	0.00	7.46
02/01/2018	#514101 BROWN, DAVID	TCA LPCM FEES	223,775.44	279.72	95.0	0.00	279.72	0.00	279.72
02/01/2018	#514116 MANGIAMELI, ROSWITHA	TCA LPCM FEES	328,488.29	410.61	95.0	0.00	410.61	0.00	410.61
02/01/2018	#514140 HOUSER, JAQUELINE	TCA LPCM FEES	175,655.76	219.57	95.0	0.00	219.57	0.00	219.57
02/01/2018	#514294 FIRST J TRUST	TCA LPCM FEES	101,748.07	127.19	95.0	0.00	127.19	0.00	127.19
02/01/2018	#514314 SMITH, KAREN	TCA LPCM FEES	4,278.87	5.35	95.0	0.00	5.35	0.00	5.35
02/01/2018	#514067 BROWN, KAREN	TCA LPCM FEES	37,948.19	47.44	95.0	0.00	47.44	0.00	47.44
02/01/2018	#514071 HOPPERS, KIMBERLY	TCA LPCM FEES	191,491.87	239.37	95.0	0.00	239.37	0.00	239.37
02/01/2018	#514074 PALMER, BRIAN	TCA LPCM FEES	40,201.06	53.60	95.0	0.00	53.60	0.00	53.60
02/01/2018	#514086 KOLB, JOEL	TCA LPCM FEES	181,124.10	226.41	95.0	0.00	226.41	0.00	226.41
02/01/2018	#514090 MORGAN, TIFFANY	TCA LPCM FEES	22,574.89	28.22	95.0	0.00	28.22	0.00	28.22
02/01/2018	#514102 HAVARD, NICK	TCA LPCM FEES	82,865.42	103.58	95.0	0.00	103.58	0.00	103.58
02/01/2018	#514104 REDDICK, MICHELLE	TCA LPCM FEES	88,025.50	110.03	95.0	0.00	110.03	0.00	110.03
02/01/2018	#514106 HOUGEN, JOHN	TCA LPCM FEES	4,993.59	6.24	95.0	0.00	6.24	0.00	6.24
02/01/2018	#514110 KANNAPPAN, JOTHI	TCA LPCM FEES	43,544.13	54.43	95.0	0.00	54.43	0.00	54.43
02/01/2018	#514112 ADDICKS, BRIANNE	TCA LPCM FEES	55,369.07	69.21	95.0	0.00	69.21	0.00	69.21
02/01/2018	#514119 MURILLO, VICTOR	TCA LPCM FEES	16,781.30	20.98	95.0	0.00	20.98	0.00	20.98
02/01/2018	#514123 LAMB, DONNA	TCA LPCM FEES	5,507.94	6.89	95.0	0.00	6.89	0.00	6.89
02/01/2018	#514134 MONTEGUT, TONI	TCA LPCM FEES	133,949.05	167.44	95.0	0.00	167.44	0.00	167.44
02/01/2018	#514139 JACKSON, JENNIFER	TCA LPCM FEES	24,565.14	30.71	95.0	0.00	30.71	0.00	30.71
02/01/2018	#514145 DURCHHOLZ, BRADLEY	TCA LPCM FEES	87,297.92	109.12	95.0	0.00	109.12	0.00	109.12
02/01/2018	#514149 VINEYARD, MARK	TCA LPCM FEES	24,507.14	30.63	95.0	0.00	30.63	0.00	30.63
02/01/2018	#514156 MANGIAMELI, PAUL	TCA LPCM FEES	59,277.99	74.10	95.0	0.00	74.10	0.00	74.10
02/01/2018	#514158 MONTEGUT, MAX	TCA LPCM FEES	42,867.36	53.58	95.0	0.00	53.58	0.00	53.58
02/01/2018	#514161 RAYBURN, CHRISTOPHER	TCA LPCM FEES	51,726.57	64.66	95.0	0.00	64.66	0.00	64.66
02/01/2018	#514163 SCHLANSER, GLORIA	TCA LPCM FEES	258,766.99	323.46	95.0	0.00	323.46	0.00	323.46
02/01/2018	#514166 BROWN, CHRISTIAN	TCA LPCM FEES	245,582.41	306.98	95.0	0.00	306.98	0.00	306.98
02/01/2018	#514170 MC ELHOE, GEORGE	TCA LPCM FEES	4,095.97	5.12	95.0	0.00	5.12	0.00	5.12
02/01/2018	#514178 BYERS, MANDY	TCA LPCM FEES	188,285.16	235.36	95.0	0.00	235.36	0.00	235.36
02/01/2018	#514192 ATKINSON, JEFFRY	TCA LPCM FEES	31,050.46	32.34	95.0	0.00	32.34	0.00	32.34

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
02/01/2018	#514209	SCHLANSE, GLORIA	TCA LPCM FEES	253,480.39	316.85	95.0	0.00	316.85	316.85
02/01/2018	#514220	KRISHNASWAMY,	TCA LPCM FEES	8,638.83	10.80	95.0	0.00	10.80	10.80
02/01/2018	#514237	DURCHHOLZ, BRADLEY	TCA LPCM FEES	146,381.81	182.98	95.0	0.00	182.98	182.98
02/01/2018	#514240	BROWN, CHRISTIAN	TCA LPCM FEES	16,348.05	20.44	95.0	0.00	20.44	20.44
02/01/2018	#514241	MONTEGUT, MAX	TCA LPCM FEES	94,963.22	118.70	95.0	0.00	118.70	118.70
02/01/2018	#514248	STOVALL, BLAKE	TCA LPCM FEES	14,787.51	18.48	95.0	0.00	18.48	18.48
02/01/2018	#514251	JACKSON, CLIFF	TCA LPCM FEES	44,352.02	55.44	95.0	0.00	55.44	55.44
02/01/2018	#514256	JACKSON, JENNIFER	TCA LPCM FEES	37,317.58	46.65	95.0	0.00	46.65	46.65
02/01/2018	#514259	STOVALL, JANET	TCA LPCM FEES	49,237.65	61.55	95.0	0.00	61.55	61.55
02/01/2018	#514266	MANGIAMELI, ROSWITHA	TCA LPCM FEES	12,417.28	15.52	95.0	0.00	15.52	15.52
02/01/2018	#514270	MANGIAMELI, PAUL	TCA LPCM FEES	20,799.55	26.00	95.0	0.00	26.00	26.00
02/01/2018	#514278	KRISHNASWAMY,	TCA LPCM FEES	67,666.00	84.58	95.0	0.00	84.58	84.58
02/01/2018	#514300	ATKINSON, JEFFRY	TCA LPCM FEES	10,941.40	13.68	95.0	0.00	13.68	13.68
02/01/2018	#514303	GREATHOUSE,	TCA LPCM FEES	310,120.69	387.65	95.0	0.00	387.65	387.65
02/01/2018	#514305	RAYBURN, CHRISTINE	TCA LPCM FEES	289,095.44	361.37	95.0	0.00	361.37	361.37
02/01/2018	#514315	SMITH, PERRY	TCA LPCM FEES	374,031.92	467.54	95.0	0.00	467.54	467.54
02/01/2018	#514320	KOLB, TRACEY	TCA LPCM FEES	172,965.71	216.21	95.0	0.00	216.21	216.21
02/01/2018	#516183	GREATHOUSE, JEFFREY	TCA LPCM FEES	23,535.36	29.42	95.0	0.00	29.42	29.42
02/01/2018	#520084	KIRBY, TRAVIS	TCA LPCM FEES	52,856.06	66.07	95.0	0.00	66.07	66.07
02/01/2018	#539661	ARORA, MICHAEL	TCA LPCM FEES	164,194.79	205.24	95.0	0.00	205.24	205.24
02/01/2018	#540054	ARORA, TERRI	TCA LPCM FEES	99,567.76	124.46	95.0	0.00	124.46	124.46
02/01/2018	#545632	MARTIN, BRANDON	TCA LPCM FEES	13,093.36	16.37	95.0	0.00	16.37	16.37
02/01/2018	#547695	SLAVIN, WYATT	TCA LPCM FEES	183,479.89	229.35	95.0	0.00	229.35	229.35
02/01/2018	#575400	TCA DREW VAR	TCA LPCM FEES	358,163.67	447.71	95.0	0.00	447.71	447.71
02/01/2018	#585567	TCA DREW VAR	TCA LPCM FEES	62,204.37	77.76	95.0	0.00	77.76	77.76
02/01/2018	#586712	TCA DREW VAR	TCA LPCM FEES	117,150.16	146.44	95.0	0.00	146.44	146.44
02/01/2018	#586714	TCA DREW VAR	TCA LPCM FEES	123,780.97	154.73	95.0	0.00	154.73	154.73
02/01/2018	#539323	GODEJOHN-BAIRD,	TCA LPCM FEES	47,044.18	58.81	95.0	0.00	58.81	58.81
02/01/2018	#514071	HOPPERS, KIMBERLY	TCA PLANNING FEES	0.00	5,000.00	95.0	0.00	4,750.00	4,750.00
02/01/2018	#514140	HOUSER, JAQUELINE	TCA PLANNING FEES	0.00	5,000.00	95.0	0.00	4,750.00	4,750.00
02/01/2018	#514090	MORGAN, TIFFANY	TCA PLANNING FEES	0.00	3,000.00	95.0	0.00	2,850.00	2,850.00
02/01/2018	#514100	JACKSON, JOHN	TCA PLANNING FEES	0.00	3,000.00	95.0	0.00	2,850.00	2,850.00
02/01/2018	#514244	TCA DREW VAR	TCA PLATFORM FEE	15,079.81	-4.40	95.0	0.00	-4.40	-4.40
02/01/2018	#514262	TCA DREW VAR	TCA PLATFORM FEE	3,789.77	-1.11	95.0	0.00	-1.11	-1.11
02/01/2018	#540052	TCA DREW VAR	TCA PLATFORM FEE	3,162.67	-0.92	95.0	0.00	-0.92	-0.92
02/01/2018	#514269	TCA DREW VAR	TCA PLATFORM FEE	6,609.07	-1.93	95.0	0.00	-1.93	-1.93

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
02/01/2018	#547523 TCA DREW VAR	TCA PLATFORM FEE	4,299.37	-1.25	95.0	0.00	-1.25	0.00	-1.25
02/01/2018	#514253 TCA DREW VAR	TCA PLATFORM FEE	4,939.97	-1.44	95.0	0.00	-1.44	0.00	-1.44
02/01/2018	#514079 TCA DREW VAR	TCA PLATFORM FEE	6,236.91	-1.82	95.0	0.00	-1.82	0.00	-1.82
02/01/2018	#514219 TCA DREW VAR	TCA PLATFORM FEE	1,190.74	-0.35	95.0	0.00	-0.35	0.00	-0.35
02/01/2018	#514265 TCA DREW VAR	TCA PLATFORM FEE	5,051.19	-1.47	95.0	0.00	-1.47	0.00	-1.47
02/01/2018	#514321 TCA DREW VAR	TCA PLATFORM FEE	7,763.53	-2.26	95.0	0.00	-2.26	0.00	-2.26
02/01/2018	#514267 TCA DREW VAR	TCA PLATFORM FEE	5,047.57	-1.47	95.0	0.00	-1.47	0.00	-1.47
02/01/2018	#514268 TCA DREW VAR	TCA PLATFORM FEE	3,824.61	-1.12	95.0	0.00	-1.12	0.00	-1.12
02/01/2018	#514225 LAZRINE, DONALD	TCA PLATFORM FEE	2,343.29	-0.68	95.0	0.00	-0.68	0.00	-0.68
02/01/2018	#514235 TCA DREW VAR	TCA PLATFORM FEE	197.38	-0.06	95.0	0.00	-0.06	0.00	-0.06
02/01/2018	#514218 TCA DREW VAR	TCA PLATFORM FEE	2,294.24	-0.67	95.0	0.00	-0.67	0.00	-0.67
02/01/2018	#514228 TCA DREW VAR	TCA PLATFORM FEE	23,038.71	-6.72	95.0	0.00	-6.72	0.00	-6.72
02/01/2018	#514245 PIPIRINGOS, PANDORA	TCA PLATFORM FEE	50.00	-0.01	95.0	0.00	-0.01	0.00	-0.01
02/01/2018	#514243 TCA DREW VAR	TCA PLATFORM FEE	13,877.25	-4.05	95.0	0.00	-4.05	0.00	-4.05
02/01/2018	#514254 VINEYARD, MARK	TCA PLATFORM FEE	6,209.33	-1.81	95.0	0.00	-1.81	0.00	-1.81
02/01/2018	#514264 TCA DREW VAR	TCA PLATFORM FEE	9,016.38	-2.63	95.0	0.00	-2.63	0.00	-2.63
02/01/2018	#514073 MORVANT, GEORGE	TCA PLATFORM FEES.	6,436.93	-3.22	95.0	0.00	-3.22	0.00	-3.22
02/01/2018	#514095 LAZRINE, SANDRA	TCA PLATFORM FEES.	64,108.72	-32.05	95.0	0.00	-32.05	0.00	-32.05
02/01/2018	#514126 LAZRINE, DONALD	TCA PLATFORM FEES.	62,756.73	-31.38	95.0	0.00	-31.38	0.00	-31.38
02/01/2018	#514136 CONNER, ANNETTE	TCA PLATFORM FEES.	15,548.70	-7.77	95.0	0.00	-7.77	0.00	-7.77
02/01/2018	#514177 BOLIVAR, ANDRES	TCA PLATFORM FEES.	23,009.60	-11.50	95.0	0.00	-11.50	0.00	-11.50
02/01/2018	#514202 BRAKEFIELD, JONMARC	TCA PLATFORM FEES.	17,996.98	-9.00	95.0	0.00	-9.00	0.00	-9.00
02/01/2018	#514211 GRANT, BARBARA	TCA PLATFORM FEES.	4,368.95	-2.18	95.0	0.00	-2.18	0.00	-2.18
02/01/2018	#514224 HOUGEN, JOHN	TCA PLATFORM FEES.	33,728.17	-16.86	95.0	0.00	-16.86	0.00	-16.86
02/01/2018	#514275 BOLIVAR, ANDRES	TCA PLATFORM FEES.	8,985.14	-4.49	95.0	0.00	-4.49	0.00	-4.49
02/01/2018	#539323 GODEJOHN-BAIRD,	TCA PLATFORM FEES.	13,552.56	-6.78	95.0	0.00	-6.78	0.00	-6.78
02/01/2018	#540040 ANDERSON, JOHNNY	TCA PLATFORM FEES.	253,047.25	-126.52	95.0	0.00	-126.52	0.00	-126.52
02/01/2018	#542443 ES FAMILY TRUST	TCA PLATFORM FEES.	124,439.45	-62.22	95.0	0.00	-62.22	0.00	-62.22
02/01/2018	#547013 SAILORS, JAMES	TCA PLATFORM FEES.	12,138.76	-6.07	95.0	0.00	-6.07	0.00	-6.07
02/01/2018	#593725 TCA DREW VAR	TCA PLATFORM FEES.	46,249.28	-23.12	95.0	0.00	-23.12	0.00	-23.12
02/01/2018	#615636 TCA DREW VAR	TCA PLATFORM FEES.	100,157.51	-50.08	95.0	0.00	-50.08	0.00	-50.08
02/01/2018	#514067 BROWN, KAREN	WOODLANDS AGGRESSIVE	37,948.19	-11.07	95.0	0.00	-11.07	0.00	-11.07
02/01/2018	#514071 HOPPERS, KIMBERLY	WOODLANDS AGGRESSIVE	191,491.87	-55.85	95.0	0.00	-55.85	0.00	-55.85
02/01/2018	#514074 PALMER, BRIAN	WOODLANDS AGGRESSIVE	40,201.06	-11.73	95.0	0.00	-11.73	0.00	-11.73
02/01/2018	#514086 KOLB, JOEL	WOODLANDS AGGRESSIVE	181,124.10	-52.83	95.0	0.00	-52.83	0.00	-52.83
02/01/2018	#514090 MORGAN, TIFFANY	WOODLANDS AGGRESSIVE	22,574.89	-6.58	95.0	0.00	-6.58	0.00	-6.58

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
02/01/2018	#514102	HAVARD, NICK	WOODLANDS AGGRESSIVE	82,865.42	-24.17	95.0	0.00	-24.17	-24.17
02/01/2018	#514104	REDDICK, MICHELLE	WOODLANDS AGGRESSIVE	88,025.50	-25.67	95.0	0.00	-25.67	-25.67
02/01/2018	#514106	HOUGEN, JOHN	WOODLANDS AGGRESSIVE	4,993.59	-1.46	95.0	0.00	-1.46	-1.46
02/01/2018	#514110	KANNAPPAN, JOTHI	WOODLANDS AGGRESSIVE	43,544.13	-12.70	95.0	0.00	-12.70	-12.70
02/01/2018	#514112	ADDICKS, BRIANNE	WOODLANDS AGGRESSIVE	55,369.07	-16.15	95.0	0.00	-16.15	-16.15
02/01/2018	#514119	MURILLO, VICTOR	WOODLANDS AGGRESSIVE	16,781.30	-4.89	95.0	0.00	-4.89	-4.89
02/01/2018	#514123	LAMB, DONNA	WOODLANDS AGGRESSIVE	5,507.94	-1.61	95.0	0.00	-1.61	-1.61
02/01/2018	#514134	MONTEGUT, TONI	WOODLANDS AGGRESSIVE	133,949.05	-39.07	95.0	0.00	-39.07	-39.07
02/01/2018	#514139	JACKSON, JENNIFER	WOODLANDS AGGRESSIVE	24,565.14	-7.16	95.0	0.00	-7.16	-7.16
02/01/2018	#514145	DURCHHOLZ, BRADLEY	WOODLANDS AGGRESSIVE	87,297.92	-25.46	95.0	0.00	-25.46	-25.46
02/01/2018	#514149	VINEYARD, MARK	WOODLANDS AGGRESSIVE	24,507.14	-7.15	95.0	0.00	-7.15	-7.15
02/01/2018	#514156	MANGIAMELI, PAUL	WOODLANDS AGGRESSIVE	59,277.99	-17.29	95.0	0.00	-17.29	-17.29
02/01/2018	#514158	MONTEGUT, MAX	WOODLANDS AGGRESSIVE	42,867.36	-12.50	95.0	0.00	-12.50	-12.50
02/01/2018	#514161	RAYBURN, CHRISTOPHER	WOODLANDS AGGRESSIVE	51,726.57	-15.09	95.0	0.00	-15.09	-15.09
02/01/2018	#514163	SCHLANSER, GLORIA	WOODLANDS AGGRESSIVE	258,766.99	-75.47	95.0	0.00	-75.47	-75.47
02/01/2018	#514166	BROWN, CHRISTIAN	WOODLANDS AGGRESSIVE	245,582.41	-71.63	95.0	0.00	-71.63	-71.63
02/01/2018	#514170	MC ELHOE, GEORGE	WOODLANDS AGGRESSIVE	4,095.97	-1.19	95.0	0.00	-1.19	-1.19
02/01/2018	#514178	BYERS, MANDY	WOODLANDS AGGRESSIVE	188,285.16	-54.92	95.0	0.00	-54.92	-54.92
02/01/2018	#514192	ATKINSON, JEFFRY	WOODLANDS AGGRESSIVE	31,050.46	-9.06	95.0	0.00	-9.06	-9.06
02/01/2018	#514209	SCHLANSER, GLORIA	WOODLANDS AGGRESSIVE	253,480.39	-73.93	95.0	0.00	-73.93	-73.93
02/01/2018	#514220	KRISHNASWAMY,	WOODLANDS AGGRESSIVE	8,638.83	-2.52	95.0	0.00	-2.52	-2.52
02/01/2018	#514237	DURCHHOLZ, BRADLEY	WOODLANDS AGGRESSIVE	146,381.81	-42.69	95.0	0.00	-42.69	-42.69
02/01/2018	#514240	BROWN, CHRISTIAN	WOODLANDS AGGRESSIVE	16,348.05	-4.77	95.0	0.00	-4.77	-4.77
02/01/2018	#514241	MONTEGUT, MAX	WOODLANDS AGGRESSIVE	94,963.22	-27.70	95.0	0.00	-27.70	-27.70
02/01/2018	#514248	STOVALL, BLAKE	WOODLANDS AGGRESSIVE	14,787.51	-4.31	95.0	0.00	-4.31	-4.31
02/01/2018	#514251	JACKSON, CLIFF	WOODLANDS AGGRESSIVE	44,352.02	-12.94	95.0	0.00	-12.94	-12.94
02/01/2018	#514256	JACKSON, JENNIFER	WOODLANDS AGGRESSIVE	37,317.58	-10.88	95.0	0.00	-10.88	-10.88
02/01/2018	#514259	STOVALL, JANET	WOODLANDS AGGRESSIVE	49,237.65	-14.36	95.0	0.00	-14.36	-14.36
02/01/2018	#514266	MANGIAMELI, ROSWITHA	WOODLANDS AGGRESSIVE	12,417.28	-3.62	95.0	0.00	-3.62	-3.62
02/01/2018	#514270	MANGIAMELI, PAUL	WOODLANDS AGGRESSIVE	20,799.55	-6.07	95.0	0.00	-6.07	-6.07
02/01/2018	#514278	KRISHNASWAMY,	WOODLANDS AGGRESSIVE	67,666.00	-19.74	95.0	0.00	-19.74	-19.74
02/01/2018	#514300	ATKINSON, JEFFRY	WOODLANDS AGGRESSIVE	10,941.40	-3.19	95.0	0.00	-3.19	-3.19
02/01/2018	#514303	GREATHOUSE,	WOODLANDS AGGRESSIVE	310,120.69	-90.45	95.0	0.00	-90.45	-90.45
02/01/2018	#514305	RAYBURN, CHRISTINE	WOODLANDS AGGRESSIVE	289,095.44	-84.32	95.0	0.00	-84.32	-84.32
02/01/2018	#514315	SMITH, PERRY	WOODLANDS AGGRESSIVE	374,031.92	-109.09	95.0	0.00	-109.09	-109.09
02/01/2018	#514320	KOLB, TRACEY	WOODLANDS AGGRESSIVE	172,965.71	-50.45	95.0	0.00	-50.45	-50.45

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
02/01/2018	#516183 GREATHOUSE, JEFFREY	WOODLANDS AGGRESSIVE	23,535.36	-6.86	95.0	0.00	-6.86	0.00	-6.86
02/01/2018	#520084 KIRBY, TRAVIS	WOODLANDS AGGRESSIVE	52,856.06	-15.42	95.0	0.00	-15.42	0.00	-15.42
02/01/2018	#539661 ARORA, MICHAEL	WOODLANDS AGGRESSIVE	164,194.79	-47.89	95.0	0.00	-47.89	0.00	-47.89
02/01/2018	#540054 ARORA, TERRI	WOODLANDS AGGRESSIVE	99,567.76	-29.04	95.0	0.00	-29.04	0.00	-29.04
02/01/2018	#545632 MARTIN, BRANDON	WOODLANDS AGGRESSIVE	13,093.36	-3.82	95.0	0.00	-3.82	0.00	-3.82
02/01/2018	#547695 SLAVIN, WYATT	WOODLANDS AGGRESSIVE	183,479.89	-53.51	95.0	0.00	-53.51	0.00	-53.51
02/01/2018	#575400 TCA DREW VAR	WOODLANDS AGGRESSIVE	358,163.67	-104.46	95.0	0.00	-104.46	0.00	-104.46
02/01/2018	#585567 TCA DREW VAR	WOODLANDS AGGRESSIVE	62,204.37	-18.14	95.0	0.00	-18.14	0.00	-18.14
02/01/2018	#586712 TCA DREW VAR	WOODLANDS AGGRESSIVE	117,150.16	-34.17	95.0	0.00	-34.17	0.00	-34.17
02/01/2018	#586714 TCA DREW VAR	WOODLANDS AGGRESSIVE	123,780.97	-36.10	95.0	0.00	-36.10	0.00	-36.10
02/01/2018	#514111 O'DONNELL, KATHY	WOODLANDS CONSERVATIVE	46,525.93	-13.57	95.0	0.00	-13.57	0.00	-13.57
02/01/2018	#514127 BRAKEFIELD, JONMARC	WOODLANDS CONSERVATIVE	68,016.64	-19.84	95.0	0.00	-19.84	0.00	-19.84
02/01/2018	#514167 O'DONNELL, JAMES	WOODLANDS CONSERVATIVE	249,401.72	-72.74	95.0	0.00	-72.74	0.00	-72.74
02/01/2018	#514202 BRAKEFIELD, JONMARC	WOODLANDS CONSERVATIVE	25,954.51	-7.57	95.0	0.00	-7.57	0.00	-7.57
02/01/2018	#514064 BRAKEFIELD, JONMARC	WOODLANDS MODERATE	14,340.69	-4.18	95.0	0.00	-4.18	0.00	-4.18
02/01/2018	#514068 STOVALL, BLAKE	WOODLANDS MODERATE	72,942.47	-21.27	95.0	0.00	-21.27	0.00	-21.27
02/01/2018	#514075 BROWN, KAREN	WOODLANDS MODERATE	655,782.39	-191.27	95.0	0.00	-191.27	0.00	-191.27
02/01/2018	#514100 JACKSON, JOHN	WOODLANDS MODERATE	5,965.11	-1.74	95.0	0.00	-1.74	0.00	-1.74
02/01/2018	#514101 BROWN, DAVID	WOODLANDS MODERATE	223,775.44	-65.27	95.0	0.00	-65.27	0.00	-65.27
02/01/2018	#514116 MANGIAMELI, ROSWITHA	WOODLANDS MODERATE	328,488.29	-95.81	95.0	0.00	-95.81	0.00	-95.81
02/01/2018	#514140 HOUSER, JAQUELINE	WOODLANDS MODERATE	175,655.76	-51.23	95.0	0.00	-51.23	0.00	-51.23
02/01/2018	#514294 FIRST J TRUST	WOODLANDS MODERATE	101,748.07	-29.68	95.0	0.00	-29.68	0.00	-29.68
02/01/2018	#514314 SMITH, KAREN	WOODLANDS MODERATE	4,278.87	-1.25	95.0	0.00	-1.25	0.00	-1.25
02/01/2018	#539323 GODEJOHN-BAIRD,	WOODSLAND NO OIL	47,044.18	-13.72	95.0	0.00	-13.72	0.00	-13.72
* RIA SUBTOTAL			*\$16,128,026.48	\$23,201.09		\$0.00	\$22,401.09	\$0.00	\$22,401.09
*** BROKER TRANSACTIONS TOTAL ***			\$16,110,599.57	\$36,497.94		\$0.00	\$35,033.12	\$0.00	\$35,033.12

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	------	---------	-------------------	--------------------	----------------------

ADJUSTMENTS

02/20/2018		BETA BACK OFFICE: ADMIN FEE							-320.00
02/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$35,033.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$34,534.12
--------------	-------------