



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 15, 2017

07/12/2017
 Page No. 1

RICHARD CODY
 1010 Highway 71

BROKER #: C135 Terminated 07/29/2016
 BRANCH: JILL CODY

Spring Lake, NJ 07762

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/10/2017	BERNARDSVILLE FIRE	FIDELITY ADV STRATEGIC INCOME C	-117.05	22.00	22.00	0.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	LORD ABBETT MULTI-ASSET INCOME FUND	-67.71	22.00	22.00	0.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	LORD ABBETT SHORT DURATION INCOME F	-55.11	22.00	22.00	0.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-239.87	\$66.00	\$66.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
07/10/2017	BERNARDSVILLE FIRE	WISDOMTREE TR INTL LARGE CAP DIVID F	-4,710.15	0.00	22.50	-22.50	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	WISDOMTREE TR MIDCAP DIVID FD	-9,735.04	0.00	22.50	-22.50	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	WISDOMTREE TR MIDCAP DIVID FD	-9,732.50	0.00	22.50	-22.50	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	WISDOMTREE TR SMALLCAP DIVID FD	-7,994.56	0.00	22.50	-22.50	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	WISDOMTREE TR SMALLCAP DIVID FD	-7,998.06	0.00	22.50	-22.50	0.0	0.00
* STOCKS SUBTOTAL *			\$-40,170.31	\$0.00	\$112.50	\$-112.50		\$0.00
<u>PRODUCT CATEGORY: BONDS</u>								
07/10/2017	BERNARDSVILLE FIRE	AT&T INC FIXED RT GLOBAL NT 3.000%	-15,073.05	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	BANK AMER CORP FXD RT SUB NT SER L	-20,230.40	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	BED BATH & BEYOND INC FXD RT SR NT	-28,018.50	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	CITIGROUP INC NT 4.3% 30/360 4.300%	-15,385.65	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	GENERAL ELEC CAP CORP INTERNOTES FI	-10,100.00	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	GENERAL ELEC CAP CORP INTERNOTES FI	-15,525.00	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	HSBC USA INC MEDIUM TERM NTS BUFFER	-38,272.50	28.00	27.00	1.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	HSBC USA INC MEDIUM TERM NTS BUFFER	-16,404.00	28.00	27.00	1.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	JPMORGAN CHASE & CO FIXED RATE SUB	-15,472.80	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MED TERM SR NTS; LEV	-27,000.00	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MED TERM SR NTS; LEV	-18,000.00	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MEDIUM TERM SR NTS A	-15,000.00	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MEDIUM TERM SR NTS F	-18,537.50	0.00	27.00	-27.00	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: BONDS</u>								
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MEDIUM TERM SR NTS F	-18,537.50	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MEDIUM TERM SR NTS F	-14,000.00	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	TEVA PHARMACEUTICAL FIN CO SR NT IS	-14,944.80	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	VERIZON MD INC DEB SER B 5.125% 06/	-22,681.78	0.00	27.00	-27.00	0.0	0.00
* BONDS SUBTOTAL *			\$-323,183.48	\$56.00	\$459.00	\$-403.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/21/2017	TRAMONTANO, PAUL	AMERICAN CENTURY DISCIPLINED GROWTH	0.00	0.06	0.00	0.06	0.0	0.00
06/16/2017	BERNARDSVILLE FIRE	FIDELITY ADV STRATEGIC INCOME C	0.00	0.10	0.00	0.10	0.0	0.00
06/21/2017	BERNARDSVILLE FIRE	LORD ABBETT MULTI-ASSET INCOME FUND	0.00	0.05	0.00	0.05	0.0	0.00
06/21/2017	BERNARDSVILLE FIRE	LORD ABBETT SHORT DURATION INCOME F	0.00	0.03	0.00	0.03	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.24	\$0.00	\$0.24		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$-363,593.66	\$122.24	\$637.50	\$-515.26		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$145.52
Year-to-date Earnings	\$0.00

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