

All Companies
STATEMENT
June 20, 2018

RYLAN-TERMINATED ROZELL
5060 California Ave # 620
SUITE 620
Bakersfield, CA 93309

BROKER #: 052
BRANCH:

COMMISSION STATEMENT for RYLAN-TERMINATED ROZELL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/01/2018	#00089371893	TRAMMEL, LAU	AMERICAN FUNDS TARGET DATE RETIREME	80.00	4.00	90.0	0.00	3.60	3.60
05/29/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	80.00	0.80	90.0	0.00	0.72	0.72
05/29/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	29.64	0.30	90.0	0.00	0.27	0.27
05/29/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	20.57	0.21	90.0	0.00	0.19	0.19
05/29/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	60.00	0.60	90.0	0.00	0.54	0.54
06/01/2018	#00089371893	TRAMMEL, LAU	AMERICAN FUNDS TARGET DATE RETIREME	80.00	4.00	90.0	0.00	3.60	3.60
			* MUTUAL FUNDS SUBTOTAL *	\$350.21	\$9.91	\$0.00	\$8.92	\$0.00	\$8.92
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/31/2018	#1005787234	WARREN, MARLENE	L SERIES	91,444.83	76.20	90.0	0.00	68.58	68.58
05/31/2018	#1005787234	WARREN, MARLENE	L SERIES	120,491.00	130.53	90.0	0.00	117.48	117.48
05/31/2018	#009243506A	WATKINS, ERNEST	L SERIES	13,132.89	14.23	90.0	0.00	12.81	12.81
05/31/2018	#009220608A	O DONNELL, JOHN	PRSP II-1004RPL	97,710.52	81.43	90.0	0.00	73.29	73.29
			* VARIABLE ANNUITIES SUBTOTAL *	\$322,779.24	\$302.39	\$0.00	\$272.16	\$0.00	\$272.16
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/14/2018	#00777115467	VARIOUS HOUSE	JH LARGE CAP EQUITY FD CL A	0.00	7.08	90.0	0.00	6.37	6.37
05/14/2018	#00777098436	VARIOUS HOUSE	JOHN HANCOCK GOVERNMENT INCOME FUND	0.00	2.60	90.0	0.00	2.34	2.34
			* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$9.68	\$0.00	\$8.71	\$0.00	\$8.71
			*** BROKER TRANSACTIONS TOTAL ***	\$323,129.45	\$321.98	\$0.00	\$289.79	\$0.00	\$289.79

BROKER COMMISSION TOTALS

Broker Transactions	\$289.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$289.79
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