



All Companies
COMMISSION STATEMENT
March 01, 2018

02/27/2018
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BUDDY JOSEPH BUTLER
1013 PALO DURO TRAIL

BROKER #: 9558
BRANCH: HOME OFFICE

Keller, TX 76248-

COMMISSION STATEMENT for BUDDY JOSEPH BUTLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/15/2018	00089961777 CHURCHILL, TODD	COLLEGE 2033 FUND-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
				* MUTUAL FUNDS SUBTOTAL *	\$50.00	\$0.50	\$0.00		\$0.45

PRODUCT CATEGORY: VARIABLE ANNUITIES

02/06/2018	BARTUSH-SCHNITZIUS, FOOD CO.	-JOHN HANCOCK	B	316.77	1.58	0.00	1.58	90.0	1.42
02/15/2018	BARTUSH-SCHNITZIUS, FOOD CO.	-JOHN HANCOCK	B	5,441.94	27.20	0.00	27.20	90.0	24.48
02/07/2018	TRAILS	-PACIFIC LIFE INSURANCE	B	76.50	76.50	0.00	76.50	90.0	68.85
02/09/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	341.89	341.89	0.00	341.89	90.0	307.70
				* VARIABLE ANNUITIES SUBTOTAL *	\$6,177.10	\$447.17	\$0.00		\$402.45

PRODUCT CATEGORY: LIFE INSURANCE

02/12/2018	DANIEL, CHARLES	NATIONWIDE LIFE	B	10,000.00	100.00	0.00	100.00	90.0	90.00
				* LIFE INSURANCE SUBTOTAL *	\$10,000.00	\$100.00	\$0.00		\$90.00

PRODUCT CATEGORY: RIA

02/15/2018	NICHOLSON, LEONARD A	SIGNAL SECURITIES RIA	B	500,000.00	40.00	0.00	40.00	90.0	36.00
02/15/2018	EARP, ROBERT/SANDRA	SIGNAL SECURITIES RIA	B	0.00	60.00	0.00	60.00	90.0	54.00
02/15/2018	COST, LORENE	SIGNAL SECURITIES RIA	B	100,000.00	8.00	0.00	8.00	90.0	7.20
02/15/2018	BEHELER, PRISCILLA J	SIGNAL SECURITIES RIA	B	100,000.00	20.66	0.00	20.66	90.0	18.59
02/23/2018	HENDERSON, CHARLES A	SIGNAL SECURITIES RIA	B	500,000.00	304.63	0.00	304.63	90.0	274.17
01/12/2018	10903303 LYBYER, SHELLEY F	SIGNAL SECURITIES RIA	B	0.00	188.67	0.00	188.67	90.0	169.80
01/12/2018	10985026 SNELL, TERESA P	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
01/12/2018	11168594 ALWIN, JUDITH LOUISE	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	90.0	9.30
01/12/2018	11240553 HENDERSON, KELLIE D	SIGNAL SECURITIES RIA	B	0.00	311.67	0.00	311.67	90.0	280.50
01/12/2018	11575002 STRACK, NANCY	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
01/12/2018	12568962 SABLACK, GEORGE/ROSEMARY	SIGNAL SECURITIES RIA	B	0.00	168.33	0.00	168.33	90.0	151.50
01/12/2018	12646736 CHURCHILL, TODD E & JULIE	SIGNAL SECURITIES RIA	B	0.00	111.67	0.00	111.67	90.0	100.50
01/12/2018	15938838 GORDON, YANA DUKE	SIGNAL SECURITIES RIA	B	0.00	136.33	0.00	136.33	90.0	122.70
01/12/2018	17691322 CHURCHILL, JULIE F	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	90.0	17.70
01/12/2018	19311838 BUCKNER,	SIGNAL SECURITIES RIA	B	0.00	497.00	0.00	497.00	90.0	447.30

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
ALFRED/SHARON									
01/12/2018	21282922 KOZAK, RUTH S	SIGNAL SECURITIES RIA	B	0.00	1,001.67	0.00	1,001.67	90.0	901.50
01/12/2018	21697737 ROGERS REV. LVG. TRS,	SIGNAL SECURITIES RIA	B	0.00	1,299.00	0.00	1,299.00	90.0	1,169.10
BILL C									
01/12/2018	21839817 MCGINN FAM LIV TRUST,	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
WILLIAM/KATHY									
01/12/2018	21849623 HESSLING, ALAN J.	SIGNAL SECURITIES RIA	B	0.00	51.67	0.00	51.67	90.0	46.50
01/12/2018	21998355 PRAUL, KAREN S	SIGNAL SECURITIES RIA	B	0.00	442.67	0.00	442.67	90.0	398.40
01/12/2018	22402020 CHASE, ROBERT JAMES	SIGNAL SECURITIES RIA	B	0.00	292.00	0.00	292.00	90.0	262.80
01/12/2018	22431988 CHASE, SHEILA M	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
01/12/2018	24875646 HEINEMAN, MARTHA MOON	SIGNAL SECURITIES RIA	B	0.00	213.67	0.00	213.67	90.0	192.30
01/12/2018	25320334 MCGINN, TIMOTHY D	SIGNAL SECURITIES RIA	B	0.00	21.67	0.00	21.67	90.0	19.50
01/12/2018	25377313 MILLSAP, JO ANN	SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33	90.0	2.10
01/12/2018	25726497 BERGLUND, JAMES E.	SIGNAL SECURITIES RIA	B	0.00	50.00	0.00	50.00	90.0	45.00
01/12/2018	25780232 MERRELL, DENNIS/	SIGNAL SECURITIES RIA	B	0.00	779.00	0.00	779.00	90.0	701.10
DIANE									
01/12/2018	25953225 FOSLIN, MARK V	SIGNAL SECURITIES RIA	B	0.00	57.67	0.00	57.67	90.0	51.90
01/12/2018	26508300 MECASKEY, CHARLES &	SIGNAL SECURITIES RIA	B	0.00	186.67	0.00	186.67	90.0	168.00
IMOGE									
01/12/2018	29000999 HEINEMAN, BRYON	SIGNAL SECURITIES RIA	B	0.00	311.67	0.00	311.67	90.0	280.50
DONALD									
01/12/2018	30442895 ROGERS MARITAL TRUST,	SIGNAL SECURITIES RIA	B	0.00	7.67	0.00	7.67	90.0	6.90
BILL C.									
01/12/2018	31191575 HESSLING, CONNIE M	SIGNAL SECURITIES RIA	B	0.00	20.67	0.00	20.67	90.0	18.60
02/15/2018	31794327 FLEMING, MARGARET J	SIGNAL SECURITIES RIA	B	0.00	519.48	0.00	519.48	90.0	467.53
01/12/2018	32068400 MERRELL, DENNIS/	SIGNAL SECURITIES RIA	B	0.00	440.33	0.00	440.33	90.0	396.30
DIANE									
01/12/2018	32522388 DAHN, TRAVIS & DESIRA	SIGNAL SECURITIES RIA	B	0.00	64.00	0.00	64.00	90.0	57.60
01/12/2018	33247422 TAYLOR, LARRY MARCUS	SIGNAL SECURITIES RIA	B	0.00	121.33	0.00	121.33	90.0	109.20
01/12/2018	33352821 FLEMING, MARGARET J	SIGNAL SECURITIES RIA	B	0.00	169.33	0.00	169.33	90.0	152.40
01/12/2018	34554024 THOMAS, LISA CEA	SIGNAL SECURITIES RIA	B	0.00	14.33	0.00	14.33	90.0	12.90
01/12/2018	35202907 HORN, ALISA J	SIGNAL SECURITIES RIA	B	0.00	41.00	0.00	41.00	90.0	36.90
01/12/2018	36377350 KLINE, DIANE K.	SIGNAL SECURITIES RIA	B	0.00	101.67	0.00	101.67	90.0	91.50
01/12/2018	36445988 HENDERSON, CARSON P	SIGNAL SECURITIES RIA	B	0.00	2.67	0.00	2.67	90.0	2.40
01/12/2018	40233916 FABIAN, DEBRA A	SIGNAL SECURITIES RIA	B	0.00	42.33	0.00	42.33	90.0	38.10
01/12/2018	41000315 CHASE, SHEILA M	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
01/12/2018	41085541 HIGGINS, JOAN B	SIGNAL SECURITIES RIA	B	0.00	11.67	0.00	11.67	90.0	10.50
01/12/2018	41110684 STRACK, ADRIAN	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
01/12/2018	41140555 SNELL, TERESA P	SIGNAL SECURITIES RIA	B	0.00	4.00	0.00	4.00	90.0	3.60
01/12/2018	41395061 HEINEMAN, MARTHA MOON	SIGNAL SECURITIES RIA	B	0.00	280.67	0.00	280.67	90.0	252.60

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	41445572 DAHN JR, WILLIAM E.	SIGNAL SECURITIES RIA	B	0.00	47.67	0.00	47.67	90.0	42.90
02/15/2018	41445572 DAHN JR, WILLIAM E.	SIGNAL SECURITIES RIA	B	0.00	32.72	0.00	32.72	90.0	29.45
01/12/2018	41515337 BUCKNER, ALFRED/SHARON	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
01/12/2018	41598212 BARTUSH-SCHNITZIUS, FOOD CO.	SIGNAL SECURITIES RIA	B	0.00	657.00	0.00	657.00	90.0	591.30
01/12/2018	43144139 BARRAGAN, GUILLERMO	SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33	90.0	5.70
01/12/2018	43216280 HESSLING, ALAN J.	SIGNAL SECURITIES RIA	B	0.00	549.33	0.00	549.33	90.0	494.40
01/12/2018	43408918 HIGGINS TRUST, WILLIAM/ JOAN	SIGNAL SECURITIES RIA	B	0.00	203.00	0.00	203.00	90.0	182.70
01/12/2018	45551096 KEENEY, DANNY B	SIGNAL SECURITIES RIA	B	0.00	45.00	0.00	45.00	90.0	40.50
01/12/2018	46467197 FOSTER, KAREN S	SIGNAL SECURITIES RIA	B	0.00	581.33	0.00	581.33	90.0	523.20
02/15/2018	46801250 JACOBSON, JAMES A/ROSE A	SIGNAL SECURITIES RIA	B	0.00	76.67	0.00	76.67	90.0	69.00
01/12/2018	46801252 JACOBSON, JAMES A/ROSE A	SIGNAL SECURITIES RIA	B	0.00	351.33	0.00	351.33	90.0	316.20
01/12/2018	47058294 HENDERSON, KELLIE D	SIGNAL SECURITIES RIA	B	0.00	188.67	0.00	188.67	90.0	169.80
01/12/2018	47639185 RONCK, PHILIP S	SIGNAL SECURITIES RIA	B	0.00	101.00	0.00	101.00	90.0	90.90
01/12/2018	48834901 HARTMANN, GERALDINE VESEY	SIGNAL SECURITIES RIA	B	0.00	391.00	0.00	391.00	90.0	351.90
01/12/2018	49134591 LYBYER, SHELLEY F	SIGNAL SECURITIES RIA	B	0.00	24.67	0.00	24.67	90.0	22.20
01/12/2018	49767010 HUFF, ARLIE D	SIGNAL SECURITIES RIA	B	0.00	224.33	0.00	224.33	90.0	201.90
02/15/2018	49767010 HUFF, ARLIE D	SIGNAL SECURITIES RIA	B	0.00	262.57	0.00	262.57	90.0	236.31
01/12/2018	49858081 KLINE, DIANE K.	SIGNAL SECURITIES RIA	B	0.00	76.33	0.00	76.33	90.0	68.70
01/12/2018	51436088 BUCKNER, SHARON R	SIGNAL SECURITIES RIA	B	0.00	87.67	0.00	87.67	90.0	78.90
01/12/2018	52224402 STRACK, NANCY	SIGNAL SECURITIES RIA	B	0.00	10.67	0.00	10.67	90.0	9.60
01/12/2018	52891646 STONE, FRED STEPHEN	SIGNAL SECURITIES RIA	B	0.00	20.67	0.00	20.67	90.0	18.60
01/12/2018	53407653 STUCK, III, EDWARD O	SIGNAL SECURITIES RIA	B	0.00	739.00	0.00	739.00	90.0	665.10
01/12/2018	56380456 SOLDNER, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	105.33	0.00	105.33	90.0	94.80
01/12/2018	60298537 LAIRD, DUSTYN K	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	90.0	0.60
01/12/2018	61029197 ARMSTRONG REV. TRUST, MELBA	SIGNAL SECURITIES RIA	B	0.00	8.33	0.00	8.33	90.0	7.50
01/12/2018	61346794 SNELL, JR, RAYMOND F	SIGNAL SECURITIES RIA	B	0.00	112.67	0.00	112.67	90.0	101.40
01/12/2018	61517600 MCCOY, BRYAN/MARIA	SIGNAL SECURITIES RIA	B	0.00	31.33	0.00	31.33	90.0	28.20
01/12/2018	62583030 FOSLIN, MARK V	SIGNAL SECURITIES RIA	B	0.00	151.33	0.00	151.33	90.0	136.20
01/12/2018	62630420 CHASE, ROBERT JAMES	SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00	90.0	18.00
01/12/2018	63369933 MILLSAP, JO ANN	SIGNAL SECURITIES RIA	B	0.00	75.33	0.00	75.33	90.0	67.80
01/12/2018	67256991 NOWLIN, WILOYDEAN	SIGNAL SECURITIES RIA	B	0.00	60.33	0.00	60.33	90.0	54.30
01/12/2018	67256992 NOWLIN, WILOYDEAN	SIGNAL SECURITIES RIA	B	0.00	112.33	0.00	112.33	90.0	101.10
01/12/2018	67527515 MCGINN, KATHY J	SIGNAL SECURITIES RIA	B	0.00	631.33	0.00	631.33	90.0	568.20

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
01/12/2018	67528927 MERRELL, DENNIS/ DIANE	SIGNAL SECURITIES RIA	B	0.00	123.67	0.00	123.67	90.0	111.30
01/12/2018	69485810 CHURCHILL, TODD E & JULIE	SIGNAL SECURITIES RIA	B	0.00	7.67	0.00	7.67	90.0	6.90
01/12/2018	71472539 MCGINN, WILLIAM	SIGNAL SECURITIES RIA	B	0.00	597.67	0.00	597.67	90.0	537.90
01/12/2018	71533523 MCGINN, NICOLE D	SIGNAL SECURITIES RIA	B	0.00	72.00	0.00	72.00	90.0	64.80
01/12/2018	73284089 HUGHES, DENNIS W.	SIGNAL SECURITIES RIA	B	0.00	138.67	0.00	138.67	90.0	124.80
01/12/2018	73298505 PRAUL VA IRREVOCABLE TRST	SIGNAL SECURITIES RIA	B	0.00	472.33	0.00	472.33	90.0	425.10
01/12/2018	73402532 MERRELL, DENNIS/ DIANE	SIGNAL SECURITIES RIA	B	0.00	6.67	0.00	6.67	90.0	6.00
02/16/2018	73428063 SILVA, JR, JESSE	SIGNAL SECURITIES RIA	B	0.00	36.00	0.00	36.00	90.0	32.40
01/12/2018	77272659 SABLACK, ROSEMARY	SIGNAL SECURITIES RIA	B	0.00	78.33	0.00	78.33	90.0	70.50
01/12/2018	77877392 PRILL, KAREN A	SIGNAL SECURITIES RIA	B	0.00	236.00	0.00	236.00	90.0	212.40
01/12/2018	77929375 STRACK, ADRIAN	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	90.0	7.80
01/12/2018	78866913 LYBYER, SHELLEY F	SIGNAL SECURITIES RIA	B	0.00	102.00	0.00	102.00	90.0	91.80
01/12/2018	79117403 MOORE, DAVID W	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	90.0	9.90
01/12/2018	79226928 SABLACK, ROSEMARY	SIGNAL SECURITIES RIA	B	0.00	14.67	0.00	14.67	90.0	13.20
01/12/2018	80658877 ROGERS FAMILY TRST, BILL C.	SIGNAL SECURITIES RIA	B	0.00	66.33	0.00	66.33	90.0	59.70
01/12/2018	80748872 ALWIN, STEPHEN L	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	90.0	6.60
01/12/2018	81352244 SNELL, TERESA P	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	90.0	0.60
01/12/2018	81532821 RODAK, STEVEN ANDREW	SIGNAL SECURITIES RIA	B	0.00	80.67	0.00	80.67	90.0	72.60
01/12/2018	83089595 SNELL, ALENA PAGE	SIGNAL SECURITIES RIA	B	0.00	4.00	0.00	4.00	90.0	3.60
01/12/2018	84733773 FOSTER, KAREN S	SIGNAL SECURITIES RIA	B	0.00	100.33	0.00	100.33	90.0	90.30
01/12/2018	85428341 STRACK, ADRIAN	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
01/12/2018	85539161 STRACK, NANCY	SIGNAL SECURITIES RIA	B	0.00	67.00	0.00	67.00	90.0	60.30
01/12/2018	85657154 STRACK, NANCY	SIGNAL SECURITIES RIA	B	0.00	1.67	0.00	1.67	90.0	1.50
01/12/2018	88087946 DAHN JR, WILLIAM E.	SIGNAL SECURITIES RIA	B	0.00	228.67	0.00	228.67	90.0	205.80
01/12/2018	90821543 KOZAK, RUTH S	SIGNAL SECURITIES RIA	B	0.00	1,542.67	0.00	1,542.67	90.0	1,388.40
01/12/2018	92229524 DAHN, SHARON A.	SIGNAL SECURITIES RIA	B	0.00	32.67	0.00	32.67	90.0	29.40
01/12/2018	94351664 OSBORN, WILLIAM A	SIGNAL SECURITIES RIA	B	0.00	1,990.00	0.00	1,990.00	90.0	1,791.00
01/12/2018	95245970 FABIAN, RANDALL/DEBRA	SIGNAL SECURITIES RIA	B	0.00	421.33	0.00	421.33	90.0	379.20
01/12/2018	96553430 YAPELLI, FRANK A.	SIGNAL SECURITIES RIA	B	0.00	661.67	0.00	661.67	90.0	595.50
01/12/2018	96553451 YAPELLI, KAREN Y	SIGNAL SECURITIES RIA	B	0.00	354.67	0.00	354.67	90.0	319.20
* RIA SUBTOTAL *				<u>\$1,200,000.00</u>	<u>\$21,949.78</u>	<u>\$0.00</u>	<u>\$21,949.78</u>		<u>\$19,754.75</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$1,216,227.10</u>	<u>\$22,497.45</u>	<u>\$0.00</u>	<u>\$22,497.45</u>		<u>\$20,247.65</u>

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ADJUSTMENTS

03/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
03/01/2018		E & O INSURANCE: ZACH 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-200.00

BROKER COMMISSION TOTALS

Broker Transactions	\$20,247.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-200.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$20,047.65
Year-to-date Earnings	\$72,769.99