



Concorde Investment Services, LLC

02/14/2017
Page No. 1

COMMISSION STATEMENT

February 15, 2017

DELMAR (BO)-M143 MCKINNEY
5 Red Bud Trail

BROKER #: M143
BRANCH: EAGLE WEALTH MANAGEM

Round Rock, TX 78655-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2017	MILLER, SHARON	ACADIAN EMERGING MARKETS FUND INSTI	-1,292.01	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	ACADIAN EMERGING MARKETS FUND INSTI	-957.54	0.00	0.00	0.00	90.0	0.00
01/31/2017	ENOCHS, LELAND	AM FUNDS EUROPACIFIC GROWTH FUND A	105.00	2.10	0.00	2.10	90.0	1.89
01/31/2017	ENOCHS, LELAND	AM FUNDS EUROPACIFIC GROWTH FUND A	270.82	5.42	0.00	5.42	90.0	4.88
01/31/2017	ENOCHS, DONNA	AM FUNDS EUROPACIFIC GROWTH FUND A	8.25	0.17	0.00	0.17	90.0	0.15
01/31/2017	ENOCHS, DONNA	AM FUNDS EUROPACIFIC GROWTH FUND A	253.96	5.08	0.00	5.08	90.0	4.57
01/31/2017	ENOCHS, LELAND	AM FUNDS FUNDAMENTAL INVESTORS A	105.00	2.10	0.00	2.10	90.0	1.89
01/31/2017	ENOCHS, LELAND	AM FUNDS FUNDAMENTAL INVESTORS A	270.84	5.42	0.00	5.42	90.0	4.88
01/31/2017	ENOCHS, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	8.25	0.17	0.00	0.17	90.0	0.15
01/31/2017	ENOCHS, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	253.96	5.08	0.00	5.08	90.0	4.57
01/30/2017	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
01/30/2017	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
01/31/2017	ENOCHS, LELAND	AM FUNDS GROWTH FUND OF AMERICA A	105.00	2.10	0.00	2.10	90.0	1.89
01/31/2017	ENOCHS, LELAND	AM FUNDS GROWTH FUND OF AMERICA A	270.84	5.42	0.00	5.42	90.0	4.88
01/31/2017	BRUECKNER, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA A	325.00	5.20	0.00	5.20	90.0	4.68
01/31/2017	ENOCHS, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	8.25	0.17	0.00	0.17	90.0	0.15
01/31/2017	ENOCHS, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	253.96	5.08	0.00	5.08	90.0	4.57
02/02/2017	LAWHON, JACKIE	AM FUNDS GROWTH FUND OF AMERICA A	-150.00	0.00	12.00	-12.00	90.0	-10.80
01/31/2017	ENOCHS, LELAND	AM FUNDS WASHINGTON MUTUAL INVESTOR	105.00	2.10	0.00	2.10	90.0	1.89
01/31/2017	ENOCHS, LELAND	AM FUNDS WASHINGTON MUTUAL INVESTOR	270.84	5.42	0.00	5.42	90.0	4.88
01/31/2017	ENOCHS, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	8.25	0.17	0.00	0.17	90.0	0.15
01/31/2017	ENOCHS, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	253.97	5.08	0.00	5.08	90.0	4.57
02/02/2017	LAWHON, JACKIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	-350.00	0.00	12.00	-12.00	90.0	-10.80
02/09/2017	MILLER, SHARON	AMERICAN CENTURY SMALL COMPANY FUND	-380.16	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	AMERICAN CENTURY SMALL COMPANY FUND	-556.37	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	AMG MANAGERS SKYLINE SPECIAL EQUITI	-708.75	0.00	0.00	0.00	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2017	MILLER, MICHAEL	AMG MANAGERS SKYLINE SPECIAL EQUITI	-1,058.59	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	BAIRD CORE PLUS BOND FUND INVESTOR	-4,040.02	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	BAIRD CORE PLUS BOND FUND INVESTOR	-2,037.21	0.00	0.00	0.00	90.0	0.00
02/02/2017	EWING JR, JACK	BLK GLOBAL ALLOCATION C	-6,000.00	22.00	22.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	BLK TOTAL RETURN A	-3,981.97	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	BLK TOTAL RETURN A	-2,007.94	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	BROWN ADVISORY GROWTH EQUITY FUND I	-923.55	0.00	22.00	-22.00	90.0	-19.80
02/09/2017	MILLER, SHARON	CLEARBRIDGE SMALL CAP GROWTH FUND C	-343.25	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	CLEARBRIDGE SMALL CAP GROWTH FUND C	-522.71	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	COHEN & STEERS REALTY SHARES FUND	-2,830.51	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	COHEN & STEERS REALTY SHARES FUND	-2,219.24	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	CREDIT SUISSE COMMODITY RETURN STRA	-2,803.06	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	CREDIT SUISSE COMMODITY RETURN STRA	-3,009.75	0.00	0.00	0.00	90.0	0.00
02/01/2017	LESCHBER, PAMELA	FEDERATED EQUITY INCOME A	0.00	4.99	0.00	4.99	90.0	4.49
02/01/2017	LESCHBER, PAMELA	FEDERATED MARKET OPPORTUNITY A	0.00	2.24	0.00	2.24	90.0	2.02
02/09/2017	MILLER, SHARON	FMI LARGE CAP FUND	-1,269.16	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	FMI LARGE CAP FUND	-1,420.20	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	GLENMEDE LARGE CAP CORE PORTFOLIO	-722.50	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	GLENMEDE LARGE CAP CORE PORTFOLIO	-1,088.33	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	HARBOR INTERNATIONAL FUND INVESTOR	-1,268.65	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	HARBOR INTERNATIONAL FUND INVESTOR	-1,277.94	0.00	0.00	0.00	90.0	0.00
/ /	MICHNA JT TEN WROS, JIMMY	HARTFORD CAPITAL APPRECIATION A	0.00	2.65	0.00	2.65	90.0	2.39
/ /	EWING, JOHN	HARTFORD GROWTH ALLOCATION A	0.00	0.55	0.00	0.55	90.0	0.50
/ /	SMITH, MARLO	HARTFORD GROWTH ALLOCATION A	0.00	1.29	0.00	1.29	90.0	1.16
/ /	MICHNA JT TEN WROS, JIMMY	HARTFORD MIDCAP A	0.00	0.40	0.00	0.40	90.0	0.36
02/09/2017	MILLER, SHARON	INTERNATIONAL GROWTH AND INCOME FUN	-1,213.39	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	INTERNATIONAL GROWTH AND INCOME FUN	-1,781.21	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	IVY INTERNATIONAL CORE EQUITY FUND	-1,364.87	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	IVY INTERNATIONAL CORE EQUITY FUND	-931.19	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	JH DISCIPLINED VALUE A	-2,055.93	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	JH DISCIPLINED VALUE A	-2,102.96	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	JP MORGAN VALUE ADVANTAGE FUND CLAS	-714.92	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	JP MORGAN VALUE ADVANTAGE FUND CLAS	-1,076.90	0.00	0.00	0.00	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2017	MILLER, SHARON	METROPOLITAN WEST HIGH YIELD BOND F	-654.83	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	METROPOLITAN WEST HIGH YIELD BOND F	-506.29	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	METROPOLITAN WEST TOTAL RETURN BOND	-6,546.86	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	METROPOLITAN WEST TOTAL RETURN BOND	-3,961.56	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	NORTHERN SMALL CAP VALUE FUND	-1,550.96	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	NORTHERN SMALL CAP VALUE FUND	-1,157.62	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	OPPENHEIMER DEVELOPING MARKETS A	-1,288.87	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	OPPENHEIMER DEVELOPING MARKETS A	-1,618.63	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	OPPENHEIMER INTL SMALL CO A	-798.25	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	OPPENHEIMER INTL SMALL CO A	-1,085.66	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	PIMCO FOREIGN BOND FUND CLASS D (UN	-635.78	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	PIMCO INCOME FUND CLASS D	-1,969.46	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	PIMCO INCOME FUND CLASS D	-1,491.25	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	PIMCO REAL RETURN D	-1,348.56	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	PIMCO REAL RETURN D	-1,046.07	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	TEMPLETON FOREIGN FUND A	-1,419.27	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	TEMPLETON FOREIGN FUND A	-1,445.42	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	THE OAKMARK FUND CLASS I	-2,923.59	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	THE OAKMARK FUND CLASS I	-2,792.51	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	THE OAKMARK INTERNATIONAL FUND CLAS	-1,324.69	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	THE OAKMARK INTERNATIONAL FUND CLAS	-1,412.54	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	THE OAKMARK INTERNATIONAL SMALL-CAP	-1,372.24	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	THE OAKMARK INTERNATIONAL SMALL-CAP	-1,371.29	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	TIAA CREF SOCIAL CHOICE EQUITY FUND	-1,313.10	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	TIAA CREF SOCIAL CHOICE EQUITY FUND	-1,011.44	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	VICTORY RS SMALL CAP GROWTH FUND CL	-697.81	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	VICTORY RS SMALL CAP GROWTH FUND CL	-507.01	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	WELLS FARGO ADVANTAGE GROWTH FUND A	-1,065.70	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	WELLS FARGO ADVANTAGE GROWTH FUND A	-786.92	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, SHARON	WESTERN ASSET CORE PLUS BOND FUND C	-5,987.25	0.00	0.00	0.00	90.0	0.00
02/09/2017	MILLER, MICHAEL	WESTERN ASSET CORE PLUS BOND FUND C	-4,025.49	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-106,500.51	\$95.90	\$68.00	\$27.90		\$25.12

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
01/31/2017	HERNANDEZ, JOHN	APPLE INC COM	-6,063.01	95.00	22.50	72.50	90.0	65.25
01/31/2017	NAIVAR TRUST, GLENN	RMR GROUP INC CL A	-236.50	22.00	22.50	-0.50	90.0	-0.45
01/31/2017	NAIVAR TRUST, GLENN	SELECT INCOME REIT COM SH BEN INT	-8,188.11	125.00	22.50	102.50	90.0	92.25
* STOCKS SUBTOTAL *			\$-14,487.62	\$242.00	\$67.50	\$174.50		\$157.05
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/03/2017	KELLEY, DOLORES	AM GEN POLIRIS II FLEXIBLE	2,452.05	2.45	0.00	2.45	90.0	2.21
01/31/2017	MASON, JOHN	JNL PERSPECTIVE ADV II 5/05	428,452.78	357.04	0.00	357.04	90.0	321.34
01/31/2017	MASON, JOHN	JNL PERSPECTIVE L	425,902.82	354.92	0.00	354.92	90.0	319.43
01/31/2017	PEMBERTON, PATRICIA	JOHN HANCOCK VENTURE	17,576.33	3.66	0.00	3.66	90.0	3.29
* VARIABLE ANNUITIES SUBTOTAL *			\$874,383.98	\$718.07	\$0.00	\$718.07		\$646.27
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
01/31/2017	NAIVAR TRUST, GLENN	FT UNIT 5436 DIVID & INCOME PLUS CL	-31,357.42	0.00	44.00	-44.00	90.0	-39.60
02/10/2017	MILLER, SHARON	FT UNIT 6379 MLP CLOSED END ENERGY	24,498.83	649.11	0.00	649.11	90.0	584.20
02/10/2017	MILLER, MICHAEL	FT UNIT 6379 MLP CLOSED-END FD & EN	24,791.91	656.88	0.00	656.88	90.0	591.19
02/10/2017	MILLER, SHARON	FT UNIT 6398 U S EQUITY CLOSED END	24,498.92	649.27	0.00	649.27	90.0	584.34
02/10/2017	MILLER, MICHAEL	FT UNIT 6398 U S EQUITY CLOSED-END	24,799.08	657.22	0.00	657.22	90.0	591.50
02/10/2017	MILLER, SHARON	FT UNIT 6445 SABRIENT BAKERS DOZEN	24,491.93	428.61	0.00	428.61	90.0	385.75
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$91,723.25	\$3,041.09	\$44.00	\$2,997.09		\$2,697.38
*** BROKER TRANSACTIONS TOTAL ***			\$845,119.10	\$4,097.06	\$179.50	\$3,917.56		\$3,525.82
<u>ADJUSTMENTS</u>								
02/15/2017		*EO INS: CURRENT MONTH EO INS	0.00					-300.00
02/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$3,525.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,950.82
Year-to-date Gross	\$34,658.13
Year-to-date Earnings	\$30,971.47