

**COMMISSION STATEMENT**

February 28, 2018

CYNTHIA MICHAEL-D181

BROKER #: D181

BRANCH: DMR

, TX

**COMMISSION STATEMENT for CYNTHIA MICHAEL-D181**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
02/01/2018 368461115	CRIST MARITAL	MF CAPITAL INCOME BUILDER-A	B	-2,396.55	0.00	5.00	-5.00	80.0	-4.00
02/01/2018 368461115	CRIST MARITAL	MF THE INCOME FUND OF AMERICA-A	B	-7,181.55	0.00	5.00	-5.00	80.0	-4.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$-9,578.10</b>	<b>\$0.00</b>	<b>\$10.00</b>	<b>\$-10.00</b>		<b>\$-8.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
02/12/2018 368440829	CRIST MARITAL	S CATERPILLAR INC	B	24,717.25	250.00	15.00	235.00	80.0	188.00
02/12/2018 368440829	CRIST MARITAL	S CATERPILLAR INC	B	-24,717.25	-250.00	-15.00	-235.00	80.0	-188.00
02/12/2018 368440829	CRIST MARITAL	S CATERPILLAR INC	B	24,467.25	250.00	15.00	235.00	80.0	188.00
02/12/2018 368440829	CRIST MARITAL	S MERCK & CO INC NEW	S	-24,582.93	250.00	15.00	235.00	80.0	188.00
02/21/2018 368440829	CRIST MARITAL	S AT&T INC	B	387.05	15.00	15.00	0.00	80.0	0.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$271.37</b>	<b>\$515.00</b>	<b>\$45.00</b>	<b>\$470.00</b>		<b>\$376.00</b>
<b><u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u></b>									
02/26/2018 646510880	RICHTER, KATHLEEN	CD GOLDMAN SACHS BANK USA NEW YORK	B	100,002.45	42.50	20.00	22.50	80.0	18.00
02/26/2018 646524322	RICHTER, GLENN	CD FIRST FINL BK CINCINNATI OH	B	100,002.45	21.25	20.00	1.25	80.0	1.00
02/06/2018 799164709	WEYRICK, FRANCES	CD UMPQUA BK ROSEBURG ORE	B	72,002.45	61.20	20.00	41.20	80.0	32.96
<b>* CERTIFICATES OF DEPOSIT SUBTOTAL *</b>				<b>\$272,007.35</b>	<b>\$124.95</b>	<b>\$60.00</b>	<b>\$64.95</b>		<b>\$51.96</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
02/22/2018 mfc495845	MICHAEL CYNTHIA	MFT AMCAP FUND-A	B	0.00	3.90	0.00	3.90	80.0	3.12
02/22/2018 mfc495845	MICHAEL CYNTHIA	MFT AMERICAN BALANCED FUND-F1	B	0.00	26.50	0.00	26.50	80.0	21.20
02/22/2018 mfc495845	MICHAEL CYNTHIA	MFT AMERICAN FDS ST TAX EXEMPT BOND	B	0.00	0.01	0.00	0.01	80.0	0.01
02/22/2018 mfc495845	MICHAEL CYNTHIA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	43.40	0.00	43.40	80.0	34.72
02/22/2018 mfc495845	MICHAEL CYNTHIA	MFT AMERICAN HIGH-INCOME MUNI BOND	B	0.00	0.10	0.00	0.10	80.0	0.08
02/22/2018 mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	8.01	0.00	8.01	80.0	6.41
02/22/2018 mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	16.63	0.00	16.63	80.0	13.30
02/22/2018 mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	36.66	0.00	36.66	80.0	29.33
02/22/2018 mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK MULTI-ASSET INCOME FD	B	0.00	5.51	0.00	5.51	80.0	4.41
02/22/2018 mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK GLOBAL ALLOCATION FD	B	0.00	14.90	0.00	14.90	80.0	11.92
02/22/2018 mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK GLOBAL ALLOCATION	B	0.00	4.60	0.00	4.60	80.0	3.68
02/22/2018 mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK GLOBAL ALLOCATION	B	0.00	15.90	0.00	15.90	80.0	12.72
02/22/2018 mfc495845	MICHAEL CYNTHIA	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.25	0.00	4.25	80.0	3.40

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02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.24	0.00	5.24	80.0	4.19
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.67	0.00	5.67	80.0	4.54
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT COLUMBIA TAX-EXEMPT FUND-A	B	0.00	0.55	0.00	0.55	80.0	0.44
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT DEUTSCHE FLOATING RATE FD C	B	0.00	19.80	0.00	19.80	80.0	15.84
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT DEUTSCHE FLOATING RATE FD C	B	0.00	21.77	0.00	21.77	80.0	17.42
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	8.76	0.00	8.76	80.0	7.01
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	10.72	0.00	10.72	80.0	8.58
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	18.33	0.00	18.33	80.0	14.66
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	20.10	0.00	20.10	80.0	16.08
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	24.03	0.00	24.03	80.0	19.22
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	54.88	0.00	54.88	80.0	43.90
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	72.85	0.00	72.85	80.0	58.28
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	8.14	0.00	8.14	80.0	6.51
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	17.58	0.00	17.58	80.0	14.06
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	4.19	0.00	4.19	80.0	3.35
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	4.43	0.00	4.43	80.0	3.54
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT LOOMIS SAYLES GROWTH FD CL C	B	0.00	16.92	0.00	16.92	80.0	13.54
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	24.55	0.00	24.55	80.0	19.64
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	32.47	0.00	32.47	80.0	25.98
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	50.57	0.00	50.57	80.0	40.46
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT MULTI-ASSET INCOME	B	0.00	4.17	0.00	4.17	80.0	3.34
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT SHORT DURATION	B	0.00	20.04	0.00	20.04	80.0	16.03
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT INTERMEDIATE TAX	B	0.00	31.99	0.00	31.99	80.0	25.59
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT SHT DURATION TAX	B	0.00	32.12	0.00	32.12	80.0	25.70
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT MAINSTAY HIGH YIELD MUNI BD C	B	0.00	46.39	0.00	46.39	80.0	37.11
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT MFS GROWTH ALLOCATION FUND-C	B	0.00	9.41	0.00	9.41	80.0	7.53
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT MID-CAP VALUE FUND CLASS A	B	0.00	5.15	0.00	5.15	80.0	4.12
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT MULTI-ASSET BALANCED	B	0.00	112.25	0.00	112.25	80.0	89.80
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.73	0.00	3.73	80.0	2.98
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT NUVEEN SHORT DUR HIGH YLD MUNI	B	0.00	14.94	0.00	14.94	80.0	11.95
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	0.08	0.00	0.08	80.0	0.06
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	9.14	0.00	9.14	80.0	7.31
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	12.98	0.00	12.98	80.0	10.38
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.91	0.00	15.91	80.0	12.73
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	10.85	0.00	10.85	80.0	8.68
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	97.12	0.00	97.12	80.0	77.70
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.93	0.00	3.93	80.0	3.14
02/22/2018	mfc495845 MICHAEL CYNTHIA	MFT WELLS FARGO ASSET ALLOCATION FD	B	0.00	7.75	0.00	7.75	80.0	6.20
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$1,039.87</b>	<b>\$0.00</b>	<b>\$1,039.87</b>		<b>\$831.89</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$262,700.62</b>	<b>\$1,679.82</b>	<b>\$115.00</b>	<b>\$1,564.82</b>		<b>\$1,251.85</b>

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DIRECT TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

02/23/2018	FX16001643	PETTEY		VAT CUSIP 694475286	B	0.00	91.98	0.00	91.98	80.0	73.58
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *						\$0.00	\$91.98	\$0.00	\$91.98		\$73.58
*** DIRECT TRANSACTIONS TOTAL ***						\$0.00	\$91.98	\$0.00	\$91.98		\$73.58

ADJUSTMENTS

02/28/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
02/28/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
02/28/2018	:	EXTENDED SETTLEMENT	0.00	0.00	0.00	0.00	-57.38
02/28/2018	:	QUODD CHARGES	0.00	0.00	0.00	0.00	-130.37
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-242.75

BROKER COMMISSION TOTALS

Broker Transactions	\$1,325.43
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-242.75
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,082.68
Year-to-date Commissions	\$4,453.63