



# COMMISSION STATEMENT

## January 15, 2018

DENNIS CONNOR  
9 Woodland Rd.  
Miller Place, NY 11764

BROKER #: A87  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/05/2018	***-**-6710 - EVA JAYNE	WELLS FARGO MUNI BOND FUND CL A	12,000.00	18.00	18.00	0.00	75.0	0.00
	ACCOUNT #: LSR011403	SELL 1163 Units @10.3200						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$12,000.00</b>	<b>\$18.00</b>	<b>\$18.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
01/15/2018	***-**-4566 - HEALY, KATHLEEN	NEW YORK 529 TRAILS	0.00	18.26	0.00	18.26	75.0	13.70
	ACCOUNT #: 77342237501	BUY 0 Units @0.0000						
01/15/2018	***-**-4535 - RATHGABER,	NEW YORK 529 TRAILS	0.00	0.29	0.00	0.29	75.0	0.22
	ACCOUNT #: 77524476201	BUY 0 Units @0.0000						
01/15/2018	***-**-1832 - WEISMAN, DORIS	NEW YORK 529 TRAILS	0.00	6.25	0.00	6.25	75.0	4.69
		BUY 0 Units @0.0000						
01/15/2018	***-**-7319 - ROTONDI, LAURA	NEW YORK 529 TRAILS	0.00	1.07	0.00	1.07	75.0	0.80
		BUY 0 Units @0.0000						
		<b>* 529 PLAN SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$25.87</b>	<b>\$0.00</b>	<b>\$25.87</b>		<b>\$19.41</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/31/2017	***-**-8645 - MOAN, THERESA	AMT-FREE NEW YORK MUNICIPALS A	0.00	55.08	0.00	55.08	75.0	41.31
	ACCOUNT #: 03600041272	BUY 0 Units @0.0000						
12/31/2017	***-**-8645 - MOAN, THERESA	CAPITAL APPRECIATION A	0.00	16.39	0.00	16.39	75.0	12.29
	ACCOUNT #: 03200722106	BUY 0 Units @0.0000						
12/31/2017	***-**-7998 - ROSENTHAL, BARRY	CAPITAL APPRECIATION A	0.00	50.50	0.00	50.50	75.0	37.88
	ACCOUNT #: 03201254231	BUY 0 Units @0.0000						
12/31/2017	***-**-4110 - KAVANAGH,	EQUITY INCOME FUND, INC. A	0.00	3.03	0.00	3.03	75.0	2.27
	ACCOUNT #: 08352452703	BUY 0 Units @0.0000						
12/31/2017	***-**-02IN - MANCHESTER,	EQUITY INCOME FUND, INC. A	0.00	6.11	0.00	6.11	75.0	4.58
	ACCOUNT #: 08352452714	BUY 0 Units @0.0000						
12/31/2017	***-**-52IN - METZLER, JANET	GLOBAL OPPORTUNITIES A	0.00	6.42	0.00	6.42	75.0	4.82
	ACCOUNT #: 02154900346	BUY 0 Units @0.0000						
12/31/2017	***-**-7193 - GALE, WALTER	GLOBAL OPPORTUNITIES A	0.00	5.08	0.00	5.08	75.0	3.81
	ACCOUNT #: 02155864958	BUY 0 Units @0.0000						
12/31/2017	***-**-0804 - GALE, MARY	GLOBAL OPPORTUNITIES A	0.00	12.14	0.00	12.14	75.0	9.11
	ACCOUNT #: 02155864980	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-0075 - MEHIT, LAURA ACCOUNT #: 02156080382	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	0.00	4.22	0.00	4.22	75.0	3.17
12/31/2017	***-**-4110 - KAVANAGH, ACCOUNT #: 04103770767	GOLD & SPECIAL MINERALS A BUY 0 Units @0.0000	0.00	0.56	0.00	0.56	75.0	0.42
12/31/2017	***-**-6502 - MANCHESTER, ACCOUNT #: 04103782086	GOLD & SPECIAL MINERALS A BUY 0 Units @0.0000	0.00	1.23	0.00	1.23	75.0	0.92
12/31/2017	***-**-5033 - KATZENBERGER, ACCOUNT #: 08800893992	INTERNATIONAL BOND A BUY 0 Units @0.0000	0.00	1.25	0.00	1.25	75.0	0.94
12/31/2017	***-**-7193 - GALE, WALTER ACCOUNT #: 08808004425	INTERNATIONAL BOND A BUY 0 Units @0.0000	0.00	1.31	0.00	1.31	75.0	0.98
12/31/2017	***-**-0804 - GALE, MARY ACCOUNT #: 08808004436	INTERNATIONAL BOND A BUY 0 Units @0.0000	0.00	2.07	0.00	2.07	75.0	1.55
12/31/2017	***-**-52IN - METZLER, JANET ACCOUNT #: 08251985687	INTERNATIONAL GROWTH FUND A BUY 0 Units @0.0000	0.00	4.17	0.00	4.17	75.0	3.13
12/31/2017	***-**-1550 - LIPKOWITZ, TOD ACCOUNT #: 08150348557	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	0.00	11.70	0.00	11.70	75.0	8.78
12/31/2017	***-**-7560 - ELLEN, BEVERLY ACCOUNT #: 08150349052	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	0.00	9.06	0.00	9.06	75.0	6.80
12/31/2017	***-**-7193 - GALE, WALTER ACCOUNT #: 08150363814	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	0.00	2.09	0.00	2.09	75.0	1.57
12/31/2017	***-**-9257 - JENNERICH, KAREN ACCOUNT #: 08150632357	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	0.00	4.21	0.00	4.21	75.0	3.16
12/31/2017	***-**-9257 - JENNERICH, KAREN ACCOUNT #: 08150699963	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	0.00	2.49	0.00	2.49	75.0	1.87
12/31/2017	***-**-5276 - JENNERICH, ACCOUNT #: 08150699974	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	0.00	4.11	0.00	4.11	75.0	3.08
12/31/2017	***-**-0804 - GALE, MARY ACCOUNT #: 08151112595	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	0.00	7.51	0.00	7.51	75.0	5.63
12/31/2017	***-**-02IN - MANCHESTER, ACCOUNT #: 08152119953	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	0.00	10.29	0.00	10.29	75.0	7.72
12/31/2017	***-**-4110 - KAVANAGH, ACCOUNT #: 08152121988	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	0.00	6.72	0.00	6.72	75.0	5.04



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-0075 - MEHIT, LAURA ACCOUNT #: 08152121999	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	0.00	2.02	0.00	2.02	75.0	1.52
12/31/2017	***-**-3590 - HEALY, THOMAS ACCOUNT #: 07004927719	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	39.33	0.00	39.33	75.0	29.50
12/31/2017	***-**-5033 - KATZENBERGER, ACCOUNT #: 07003577024	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	1.47	0.00	1.47	75.0	1.10
12/31/2017	***-**-4572 - MELIN, LUCILLE ACCOUNT #: 07003577145	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	48.44	0.00	48.44	75.0	36.33
12/31/2017	***-**-9220 - ACCOUNT #: 07004400088	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	6.19	0.00	6.19	75.0	4.64
12/31/2017	***-**-1550 - LIPKOWITZ, TOD ACCOUNT #: 07004528480	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	5.05	0.00	5.05	75.0	3.79
12/31/2017	***-**-0075 - MEHIT, LAURA ACCOUNT #: 07005702293	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	5.25	0.00	5.25	75.0	3.94
12/31/2017	***-**-7193 - GALE, WALTER ACCOUNT #: 07857610757	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	0.00	1.16	0.00	1.16	75.0	0.87
12/31/2017	***-**-0804 - GALE, MARY ACCOUNT #: 07857610768	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	0.00	2.16	0.00	2.16	75.0	1.62
12/31/2017	***-**-7560 - ELLEN, BEVERLY ACCOUNT #: 07857616037	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	0.00	6.41	0.00	6.41	75.0	4.81
12/31/2017	***-**-0075 - MEHIT, LAURA ACCOUNT #: 07859297629	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	0.00	0.98	0.00	0.98	75.0	0.74
12/31/2017	***-**-1550 - LIPKOWITZ, TOD ACCOUNT #: 07315064598	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	2.54	0.00	2.54	75.0	1.91
12/31/2017	***-**-7193 - GALE, WALTER ACCOUNT #: 08476397380	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	1.19	0.00	1.19	75.0	0.89
12/31/2017	***-**-0804 - GALE, MARY ACCOUNT #: 08476397391	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	3.92	0.00	3.92	75.0	2.94
12/31/2017	***-**-02IN - MANCHESTER, ACCOUNT #: 08477443689	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	8.88	0.00	8.88	75.0	6.66
12/31/2017	***-**-4110 - KAVANAGH, ACCOUNT #: 08477444261	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	1.38	0.00	1.38	75.0	1.04



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-9220 - ACCOUNT #: 02510540958	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	75.0	1.14
12/31/2017	***-**-1550 - LIPKOWITZ, TOD ACCOUNT #: 02511054064	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	3.54	0.00	3.54	75.0	2.66
12/31/2017	***-**-7560 - ELLEN, BEVERLY ACCOUNT #: 02511054977	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	3.58	0.00	3.58	75.0	2.69
12/31/2017	***-**-4572 - MELIN, LUCILLE ACCOUNT #: 02511098152	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	11.33	0.00	11.33	75.0	8.50
12/31/2017	***-**-5033 - KATZENBERGER, ACCOUNT #: 02511098163	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	3.37	0.00	3.37	75.0	2.53
12/31/2017	***-**-9257 - JENNERICH, KAREN ACCOUNT #: 02511573297	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	1.53	0.00	1.53	75.0	1.15
12/31/2017	***-**-9257 - JENNERICH, KAREN ACCOUNT #: 02511674101	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	1.01	0.00	1.01	75.0	0.76
12/31/2017	***-**-5276 - JENNERICH, ACCOUNT #: 02511674145	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	1.58	0.00	1.58	75.0	1.19
12/31/2017	***-**-6502 - MANCHESTER, ACCOUNT #: 02514873451	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	2.89	0.00	2.89	75.0	2.17
12/31/2017	***-**-4110 - KAVANAGH, ACCOUNT #: 02514874144	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	3.25	0.00	3.25	75.0	2.44
12/31/2017	***-**-7193 - GALE, WALTER ACCOUNT #: 02304402181	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	75.0	0.13
12/31/2017	***-**-0804 - GALE, MARY ACCOUNT #: 02304402203	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	1.06	0.00	1.06	75.0	0.80
12/31/2017	***-**-7193 - GALE, WALTER ACCOUNT #: 02201824790	OPPENHEIMER U.S. GOVT TR CLASS A BUY 0 Units @0.0000	0.00	4.64	0.00	4.64	75.0	3.48
12/31/2017	***-**-0804 - GALE, MARY ACCOUNT #: 02201824801	OPPENHEIMER U.S. GOVT TR CLASS A BUY 0 Units @0.0000	0.00	6.16	0.00	6.16	75.0	4.62
12/31/2017	***-**-4572 - MELIN, LUCILLE ACCOUNT #: 02201845250	OPPENHEIMER U.S. GOVT TR CLASS A BUY 0 Units @0.0000	0.00	0.48	0.00	0.48	75.0	0.36
12/31/2017	***-**-6710 - EVA JAYNE ACCOUNT #: 05570161667	PORTFOLIO SERIES: EQUITY INVESTOR F BUY 0 Units @0.0000	0.00	59.96	0.00	59.96	75.0	44.97



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-3590 - HEALY, THOMAS	QUEST BALANCED A	0.00	16.72	0.00	16.72	75.0	12.54
	ACCOUNT #: 02572447632	BUY 0 Units @0.0000						
12/31/2017	***-**-0075 - MEHIT, LAURA	REAL ESTATE FUND A	0.00	2.76	0.00	2.76	75.0	2.07
	ACCOUNT #: 05900733095	BUY 0 Units @0.0000						
12/31/2017	***-**-2773 - DASARO, ANN	ROCHESTER FUND MUNICIPALS C	0.00	61.02	0.00	61.02	75.0	45.77
	ACCOUNT #: 03670255244	BUY 0 Units @0.0000						
12/31/2017	***-**-52IN - METZLER, JANET	SELECT VALUE FUND A	0.00	4.41	0.00	4.41	75.0	3.31
	ACCOUNT #: 06000341495	BUY 0 Units @0.0000						
12/31/2017	***-**-52IN - METZLER, JANET	SMALL- & MID-CAP GROWTH FUND A	0.00	5.20	0.00	5.20	75.0	3.90
	ACCOUNT #: 07210302126	BUY 0 Units @0.0000						
12/31/2017	***-**-5033 - KATZENBERGER,	SMALL- & MID-CAP GROWTH FUND A	0.00	2.10	0.00	2.10	75.0	1.58
	ACCOUNT #: 07210486640	BUY 0 Units @0.0000						
12/31/2017	***-**-4572 - MELIN, LUCILLE	SMALL- & MID-CAP GROWTH FUND A	0.00	2.01	0.00	2.01	75.0	1.51
	ACCOUNT #: 07210486651	BUY 0 Units @0.0000						
12/31/2017	***-**-4110 - KAVANAGH,	SMALL- & MID-CAP GROWTH FUND A	0.00	3.40	0.00	3.40	75.0	2.55
	ACCOUNT #: 07210729069	BUY 0 Units @0.0000						
12/31/2017	***-**-02IN - MANCHESTER,	SMALL- & MID-CAP GROWTH FUND A	0.00	6.82	0.00	6.82	75.0	5.12
	ACCOUNT #: 07210729080	BUY 0 Units @0.0000						
12/31/2017	***-**-0075 - MEHIT, LAURA	SMALL- & MID-CAP GROWTH FUND A	0.00	3.07	0.00	3.07	75.0	2.30
	ACCOUNT #: 07210834460	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$577.69</b>	<b>\$0.00</b>	<b>\$577.69</b>		<b>\$433.37</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$12,000.00</b>	<b>\$621.56</b>	<b>\$18.00</b>	<b>\$603.56</b>		<b>\$452.78</b>



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$452.78
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$452.78
						Year-to-date Earnings		\$1,186.48