



# COMMISSION STATEMENT

## May 21, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/11/2018	***-**-3083 - SWIRSKI, KATHY	AMERICAN 2040 TARGET DATE RETIREMNT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 04000939769	BUY 3 Units @15.3800						
05/07/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00083064667	BUY 1 Units @27.8900						
05/07/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @27.8900						
05/07/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @27.8900						
05/07/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @27.8900						
05/07/2018	***-**-065P - LEE, DANA	AMERICAN BALANCED FUND-A	150.01	6.38	0.00	6.38	80.0	5.10
	ACCOUNT #: 00064518010	BUY 5 Units @28.3300						
05/09/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	23.94	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000812765	BUY 1 Units @28.6800						
05/11/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.7100						
05/11/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.7100						
05/11/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.7100						
05/11/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.7100						
05/11/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.7100						
05/11/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.7100						
05/11/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.7100						
05/11/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.7100						
05/11/2018	***-**-0474 - PEPICE, VITO	AMERICAN BALANCED FUND-C	57.72	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 04000939776	BUY 2 Units @26.9700						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/07/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00087513340	BUY 2 Units @12.9600						
05/07/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @12.9600						
05/09/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 24 Units @15.4600						
05/11/2018	***-**-3991 - ROBERTS, STEPHEN	AMERICAN FUNDS 2030 TARGET DATE-A	250.00	12.50	0.00	12.50	80.0	10.00
	ACCOUNT #: 04000939783	BUY 16 Units @15.5800						
05/11/2018	***-**-4768 - MSADOQUIS,	AMERICAN FUNDS 2030 TARGET DATE-C	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 04000939762	BUY 2 Units @14.4300						
05/11/2018	***-**-0216 - STEINBACHER,	AMERICAN FUNDS 2030 TARGET DATE-C	37.13	0.37	0.00	0.37	80.0	0.30
	ACCOUNT #: 04000939792	BUY 3 Units @14.4300						
05/11/2018	***-**-7250 - RAJKOWSKI, DIANE	AMERICAN FUNDS 2030 TARGET DATE-C	48.80	0.49	0.00	0.49	80.0	0.39
	ACCOUNT #: 04000939806	BUY 3 Units @14.4300						
05/07/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @15.5500						
05/07/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.5500						
05/09/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 23 Units @16.0200						
05/09/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 18 Units @16.4600						
05/09/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.4600						
05/11/2018	***-**-3266 - DIDOMINZIO,	AMERICAN FUNDS 2040 TARGET DATE-A	50.57	2.53	0.00	2.53	80.0	2.02
	ACCOUNT #: 04000939801	BUY 3 Units @16.6000						
05/07/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 12 Units @15.9000						
05/07/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @15.9000						
05/07/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @15.9000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/07/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @15.9000	50.30	1.38	0.00	1.38	80.0	1.10
05/11/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.0200	75.00	1.20	0.00	1.20	80.0	0.96
05/11/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.0200	75.00	1.20	0.00	1.20	80.0	0.96
05/11/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000060177222593	FRANKLIN GROWTH FUND CLASS A BUY 1 Units @102.9900	75.00	2.10	0.00	2.10	80.0	1.68
05/11/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000090236508494	FRANKLIN INCOME FUND CLASS A BUY 31 Units @2.3900	75.00	2.10	0.00	2.10	80.0	1.68
05/11/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000000007745197	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 2 Units @33.3300	75.00	2.10	0.00	2.10	80.0	1.68
05/21/2018	***-**-187I - HENEBERY, KEVIN ACCOUNT #: 7200000440	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	32.73	0.00	32.73	80.0	26.18
05/21/2018	***-**-027I - ADAMAITIS, ACCOUNT #: 7200011626	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	11.92	0.00	11.92	80.0	9.54
05/21/2018	***-**-788I - LIPEIKA, RONALD ACCOUNT #: 7200016493	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	45.29	0.00	45.29	80.0	36.23
05/11/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000006984020841	TEMP GLOBAL BOND FND - CL A BUY 6 Units @12.2600	75.00	2.10	0.00	2.10	80.0	1.68
05/11/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5500	30.00	0.48	0.00	0.48	80.0	0.38
05/11/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5500	30.00	0.48	0.00	0.48	80.0	0.38
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$4,105.35</b>	<b>\$218.05</b>	<b>\$0.00</b>	<b>\$218.05</b>		<b>\$174.41</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
05/21/2018	***-**-7960 - CARVAJAL, JUDITH AF ACCOUNT #: LSR011337	MODERATE GROWTH AND INCOME-A BUY 1 Units @0.0000	0.00	1.79	0.00	1.79	80.0	1.43
05/21/2018	***-**-593X - WOODY, ROBERT ACCOUNT #: LSR010802	AMERICAN 2020 TARGET DATE RETIREMNT BUY 1 Units @0.0000	0.00	0.19	0.00	0.19	80.0	0.15
05/21/2018	***-**-404X - SCHROETER, LAURA ACCOUNT #: LSR010799	AMERICAN 2025 TARGET DATE RETIREMNT BUY 1 Units @0.0000	0.00	0.48	0.00	0.48	80.0	0.38



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/21/2018	***-**-325X - WARD, JAMES	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	1.45	0.00	1.45	80.0	1.16
	ACCOUNT #: LSR010789	BUY 1 Units @0.0000						
05/21/2018	***-**-007X - MONACO, CARMINE	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	26.67	0.00	26.67	80.0	21.34
	ACCOUNT #: LSR010800	BUY 1 Units @0.0000						
05/21/2018	***-**-032X - MONACO, SARAH	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	22.15	0.00	22.15	80.0	17.72
	ACCOUNT #: LSR010801	BUY 1 Units @0.0000						
05/21/2018	***-**-310X - HARDWICK, TODD	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	1.26	0.00	1.26	80.0	1.01
	ACCOUNT #: LSR011310	BUY 1 Units @0.0000						
05/21/2018	***-**-968X - GAW, LELAND	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	34.82	0.00	34.82	80.0	27.86
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
05/21/2018	***-**-552X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	22.63	0.00	22.63	80.0	18.10
	ACCOUNT #: LSR010780	BUY 1 Units @0.0000						
05/21/2018	***-**-558X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	2.51	0.00	2.51	80.0	2.01
	ACCOUNT #: LSR010788	BUY 1 Units @0.0000						
05/21/2018	***-**-990I - GAW, PATRICIA	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	16.29	0.00	16.29	80.0	13.03
	ACCOUNT #: LSR010840	BUY 1 Units @0.0000						
05/21/2018	***-**-90IN - GAW, PATRICIA	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	14.27	0.00	14.27	80.0	11.42
	ACCOUNT #: LSR011025	BUY 1 Units @0.0000						
05/21/2018	***-**-862I - CARBONE, WILLIAM	CAPITAL APPRECIATION A	0.00	4.07	0.00	4.07	80.0	3.26
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
05/21/2018	***-**-862I - CARBONE, WILLIAM	EQUITY INCOME FUND, INC. A	0.00	6.42	0.00	6.42	80.0	5.14
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
05/21/2018	***-**-862I - CARBONE, WILLIAM	GLOBAL FUND A	0.00	4.65	0.00	4.65	80.0	3.72
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
05/21/2018	***-**-862I - CARBONE, WILLIAM	INTERNATIONAL BOND A	0.00	2.83	0.00	2.83	80.0	2.26
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
05/21/2018	***-**-862I - CARBONE, WILLIAM	OPPENHEIMER CORE BOND FUND A	0.00	4.93	0.00	4.93	80.0	3.94
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
05/21/2018	***-**-862I - CARBONE, WILLIAM	OPPENHEIMER U.S. GOVT TR CLASS A	0.00	4.87	0.00	4.87	80.0	3.90
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$172.28</b>	<b>\$0.00</b>	<b>\$172.28</b>		<b>\$137.83</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$4,105.35</b>	<b>\$390.33</b>	<b>\$0.00</b>	<b>\$390.33</b>		<b>\$312.24</b>



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$312.24
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$312.24
						Year-to-date Earnings		\$34,834.92