

All Companies  
**STATEMENT**  
**July 20, 2018**

NICK DREW - LPCM  
12721 Sawmill Rd  
Suite 105  
The Woodlands, TX 77380

BROKER #: 3169  
BRANCH: LIBERTY PARTNERS CAP

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
06/01/2018 #	KARBER, JEANNIE	IAFEES	254.79	-215.72	95.0	0.00	-204.93	0.00	-204.93
06/04/2018 #		IAFEES	-199.83	153.21	95.0	0.00	145.55	0.00	145.55
06/14/2018 #		IAFEES	-11.10	8.51	95.0	0.00	8.08	0.00	8.08
06/14/2018 #		IAFEES	-42.18	29.53	95.0	0.00	28.05	0.00	28.05
06/19/2018 #		IAFEES	-24.84	17.38	95.0	0.00	16.51	0.00	16.51
06/28/2018 #	SHOAFF, JOHN	IAFEES	0.82	-0.57	95.0	0.00	-0.54	0.00	-0.54
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$-22.34</b>	<b>\$-7.66</b>		<b>\$0.00</b>	<b>\$-7.28</b>	<b>\$0.00</b>	<b>\$-7.28</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
06/05/0018 #514177	BOLIVAR, ANDRES	TCA FEES	0.00	-9.79	95.0	0.00	-9.30	0.00	-9.30
06/05/2018 #514266	MANGIAMELI, ROSWITHA	TCA FEES	0.00	-13.06	95.0	0.00	-12.41	0.00	-12.41
06/06/2018 #514270	MANGIAMELI, PAUL	TCA FEES	0.00	-25.29	95.0	0.00	-24.03	0.00	-24.03
06/06/2018 #514156	MANGIAMELI, PAUL	TCA FEES	0.00	-72.09	95.0	0.00	-68.49	0.00	-68.49
07/01/2018 #514166	BROWN, CHRISTIAN	DO NOT SELL SECURITIES	56,376.44	-16.44	95.0	0.00	-16.44	0.00	-16.44
07/01/2018 #514101	BROWN, DAVID	DO NOT SELL SECURITIES	20,700.24	-6.03	95.0	0.00	-6.03	0.00	-6.03
07/01/2018 #514067	BROWN, KAREN	DO NOT SELL SECURITIES	70,827.20	-20.65	95.0	0.00	-20.65	0.00	-20.65
07/01/2018 #514308	TCA DREW VAR	DO NOT SELL SECURITIES	5,659.83	-1.65	95.0	0.00	-1.65	0.00	-1.65
07/01/2018 #514103	TCA DREW VAR	DO NOT SELL SECURITIES	11,911.70	-3.47	95.0	0.00	-3.47	0.00	-3.47
07/01/2018 #514100	JACKSON, JOHN	DO NOT SELL SECURITIES	15,758.57	-4.59	95.0	0.00	-4.59	0.00	-4.59
07/01/2018 #514278	KRISHNASWAMY,	DO NOT SELL SECURITIES	25,917.00	-7.55	95.0	0.00	-7.55	0.00	-7.55
07/01/2018 #514116	MANGIAMELI, ROSWITHA	DO NOT SELL SECURITIES	1,000.26	-0.29	95.0	0.00	-0.29	0.00	-0.29
07/01/2018 #514241	MONTEGUT, MAX	DO NOT SELL SECURITIES	18,979.50	-5.53	95.0	0.00	-5.53	0.00	-5.53
07/01/2018 #514134	MONTEGUT, TONI	DO NOT SELL SECURITIES	11,628.49	-3.39	95.0	0.00	-3.39	0.00	-3.39
07/01/2018 #514074	PALMER, BRIAN	DO NOT SELL SECURITIES	9,537.74	-2.78	95.0	0.00	-2.78	0.00	-2.78
07/01/2018 #514173	PIPIRINGOS, PANDORA	DO NOT SELL SECURITIES	1,206.75	-0.35	95.0	0.00	-0.35	0.00	-0.35
07/01/2018 #514104	REDDICK, MICHELLE	DO NOT SELL SECURITIES	20,467.60	-5.96	95.0	0.00	-5.96	0.00	-5.96
07/01/2018 #514097	SMITH, SHARON	DO NOT SELL SECURITIES	187.46	-0.05	95.0	0.00	-0.05	0.00	-0.05
07/01/2018 #514073	MORVANT, GEORGE	TCA ADVISOR MODEL	402.72	4.17	95.0	0.00	3.96	0.00	3.96

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018	#514095 LAZRINE, SANDRA	TCA ADVISOR MODEL	63,333.62	79.17	95.0	0.00	75.21	0.00	75.21
07/01/2018	#514126 LAZRINE, DONALD	TCA ADVISOR MODEL	55,199.32	69.00	95.0	0.00	65.55	0.00	65.55
07/01/2018	#514202 BRAKEFIELD, JONMARC	TCA ADVISOR MODEL	16,716.64	20.90	95.0	0.00	19.86	0.00	19.86
07/01/2018	#514211 GRANT, BARBARA	TCA ADVISOR MODEL	4,420.36	5.53	95.0	0.00	5.25	0.00	5.25
07/01/2018	#514275 BOLIVAR, ANDRES	TCA ADVISOR MODEL	8,924.70	9.30	95.0	0.00	8.84	0.00	8.84
07/01/2018	#540040 ANDERSON, JOHNNY	TCA ADVISOR MODEL	250,053.94	312.57	95.0	0.00	296.94	0.00	296.94
07/01/2018	#542443 ES FAMILY TRUST	TCA ADVISOR MODEL	114,272.26	142.84	95.0	0.00	135.70	0.00	135.70
07/01/2018	#547013 SAILORS, JAMES	TCA ADVISOR MODEL	10,400.21	13.00	95.0	0.00	12.35	0.00	12.35
07/01/2018	#593725 TCA DREW VAR	TCA ADVISOR MODEL	62,034.19	38.77	95.0	0.00	36.83	0.00	36.83
07/01/2018	#615636 TCA DREW VAR	TCA ADVISOR MODEL	93,543.07	116.93	95.0	0.00	111.08	0.00	111.08
07/01/2018	#514073 MORVANT, GEORGE	TCA ADVISOR MODEL	402.72	-0.11	95.0	0.00	-0.11	0.00	-0.11
07/01/2018	#514095 LAZRINE, SANDRA	TCA ADVISOR MODEL	63,333.62	-18.47	95.0	0.00	-18.47	0.00	-18.47
07/01/2018	#514126 LAZRINE, DONALD	TCA ADVISOR MODEL	55,199.32	-16.09	95.0	0.00	-16.09	0.00	-16.09
07/01/2018	#514202 BRAKEFIELD, JONMARC	TCA ADVISOR MODEL	16,716.64	-4.87	95.0	0.00	-4.87	0.00	-4.87
07/01/2018	#514211 GRANT, BARBARA	TCA ADVISOR MODEL	4,420.36	-1.28	95.0	0.00	-1.28	0.00	-1.28
07/01/2018	#514275 BOLIVAR, ANDRES	TCA ADVISOR MODEL	8,924.70	-2.60	95.0	0.00	-2.60	0.00	-2.60
07/01/2018	#540040 ANDERSON, JOHNNY	TCA ADVISOR MODEL	250,053.94	-72.93	95.0	0.00	-72.93	0.00	-72.93
07/01/2018	#542443 ES FAMILY TRUST	TCA ADVISOR MODEL	114,272.26	-33.32	95.0	0.00	-33.32	0.00	-33.32
07/01/2018	#547013 SAILORS, JAMES	TCA ADVISOR MODEL	10,400.21	-3.03	95.0	0.00	-3.03	0.00	-3.03
07/01/2018	#593725 TCA DREW VAR	TCA ADVISOR MODEL	62,034.19	-18.09	95.0	0.00	-18.09	0.00	-18.09
07/01/2018	#615636 TCA DREW VAR	TCA ADVISOR MODEL	93,543.07	-27.28	95.0	0.00	-27.28	0.00	-27.28
07/01/2018	#514244 TCA DREW VAR	TCA NULL MODEL	15,496.17	-4.51	95.0	0.00	-4.51	0.00	-4.51
07/01/2018	#514157 BEDORE, ROBERT	TCA NULL MODEL	464.22	-0.13	95.0	0.00	-0.13	0.00	-0.13
07/01/2018	#514269 TCA DREW VAR	TCA NULL MODEL	6,891.30	-2.00	95.0	0.00	-2.00	0.00	-2.00
07/01/2018	#547523 TCA DREW VAR	TCA NULL MODEL	4,135.18	-1.20	95.0	0.00	-1.20	0.00	-1.20
07/01/2018	#514253 TCA DREW VAR	TCA NULL MODEL	5,139.56	-1.49	95.0	0.00	-1.49	0.00	-1.49
07/01/2018	#659085	TCA NULL MODEL	12,497.41	-3.64	95.0	0.00	-3.64	0.00	-3.64
07/01/2018	#514079 TCA DREW VAR	TCA NULL MODEL	5,899.03	-1.72	95.0	0.00	-1.72	0.00	-1.72
07/01/2018	#514219 TCA DREW VAR	TCA NULL MODEL	1,176.33	-0.34	95.0	0.00	-0.34	0.00	-0.34
07/01/2018	#514225 LAZRINE, DONALD	TCA NULL MODEL	2,336.52	-0.68	95.0	0.00	-0.68	0.00	-0.68
07/01/2018	#514218 TCA DREW VAR	TCA NULL MODEL	2,279.94	-0.66	95.0	0.00	-0.66	0.00	-0.66
07/01/2018	#514228 TCA DREW VAR	TCA NULL MODEL	25,169.88	-7.34	95.0	0.00	-7.34	0.00	-7.34
07/01/2018	#514243 TCA DREW VAR	TCA NULL MODEL	11,360.82	-3.31	95.0	0.00	-3.31	0.00	-3.31
07/01/2018	#514254 VINEYARD, MARK	TCA NULL MODEL	6,746.91	-1.96	95.0	0.00	-1.96	0.00	-1.96
07/01/2018	#514264 TCA DREW VAR	TCA NULL MODEL	8,862.70	-2.58	95.0	0.00	-2.58	0.00	-2.58
07/01/2018	#514149 VINEYARD, MARK	TCA PLANNING FEES	0.00	1,000.00	95.0	0.00	950.00	0.00	950.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018	#514278 KRISHNASWAMY,	TCA PLANNING FEES	0.00	6,000.00	95.0	0.00	5,700.00	0.00	5,700.00
07/01/2018	#514103 TCA DREW VAR	TCA PLANNING FEES	0.00	3,000.00	95.0	0.00	2,850.00	0.00	2,850.00
07/01/2018	#514101 BROWN, DAVID	TCA PLANNING FEES	0.00	6,000.00	95.0	0.00	5,700.00	0.00	5,700.00
07/01/2018	#514067 BROWN, KAREN	WOODLANDS AGGRESSIVE	36,580.44	45.73	95.0	0.00	43.44	0.00	43.44
07/01/2018	#514071 HOPPERS, KIMBERLY	WOODLANDS AGGRESSIVE	173,868.83	217.34	95.0	0.00	206.47	0.00	206.47
07/01/2018	#514074 PALMER, BRIAN	WOODLANDS AGGRESSIVE	38,612.37	51.48	95.0	0.00	48.91	0.00	48.91
07/01/2018	#514090 MORGAN, TIFFANY	WOODLANDS AGGRESSIVE	17,859.83	22.33	95.0	0.00	21.21	0.00	21.21
07/01/2018	#514102 HAVARD, NICK	WOODLANDS AGGRESSIVE	79,640.17	99.55	95.0	0.00	94.57	0.00	94.57
07/01/2018	#514104 REDDICK, MICHELLE	WOODLANDS AGGRESSIVE	84,516.35	105.65	95.0	0.00	100.37	0.00	100.37
07/01/2018	#514110 KANNAPPAN, JOTHI	WOODLANDS AGGRESSIVE	28,551.10	35.69	95.0	0.00	33.91	0.00	33.91
07/01/2018	#514119 MURILLO, VICTOR	WOODLANDS AGGRESSIVE	16,114.69	20.14	95.0	0.00	19.13	0.00	19.13
07/01/2018	#514134 MONTEGUT, TONI	WOODLANDS AGGRESSIVE	128,741.22	160.93	95.0	0.00	152.88	0.00	152.88
07/01/2018	#514139 JACKSON, JENNIFER	WOODLANDS AGGRESSIVE	23,604.77	29.51	95.0	0.00	28.03	0.00	28.03
07/01/2018	#514145 DURCHHOLZ, BRADLEY	WOODLANDS AGGRESSIVE	83,895.17	104.87	95.0	0.00	99.63	0.00	99.63
07/01/2018	#514149 VINEYARD, MARK	WOODLANDS AGGRESSIVE	23,548.13	29.44	95.0	0.00	27.97	0.00	27.97
07/01/2018	#514161 RAYBURN, CHRISTOPHER	WOODLANDS AGGRESSIVE	49,715.34	62.14	95.0	0.00	59.03	0.00	59.03
07/01/2018	#514166 BROWN, CHRISTIAN	WOODLANDS AGGRESSIVE	225,802.13	282.25	95.0	0.00	268.14	0.00	268.14
07/01/2018	#514170 MC ELHOE, GEORGE	WOODLANDS AGGRESSIVE	1,917.35	4.17	95.0	0.00	3.96	0.00	3.96
07/01/2018	#514220 KRISHNASWAMY,	WOODLANDS AGGRESSIVE	8,296.07	10.37	95.0	0.00	9.85	0.00	9.85
07/01/2018	#514237 DURCHHOLZ, BRADLEY	WOODLANDS AGGRESSIVE	150,875.70	188.60	95.0	0.00	179.17	0.00	179.17
07/01/2018	#514240 BROWN, CHRISTIAN	WOODLANDS AGGRESSIVE	15,711.97	19.64	95.0	0.00	18.66	0.00	18.66
07/01/2018	#514241 MONTEGUT, MAX	WOODLANDS AGGRESSIVE	91,260.49	114.08	95.0	0.00	108.38	0.00	108.38
07/01/2018	#514251 JACKSON, CLIFF	WOODLANDS AGGRESSIVE	42,620.98	53.28	95.0	0.00	50.62	0.00	50.62
07/01/2018	#514256 JACKSON, JENNIFER	WOODLANDS AGGRESSIVE	35,857.30	44.82	95.0	0.00	42.58	0.00	42.58
07/01/2018	#514278 KRISHNASWAMY,	WOODLANDS AGGRESSIVE	61,610.29	77.01	95.0	0.00	73.16	0.00	73.16
07/01/2018	#514305 RAYBURN, CHRISTINE	WOODLANDS AGGRESSIVE	277,907.79	347.39	95.0	0.00	330.02	0.00	330.02
07/01/2018	#545632 MARTIN, BRANDON	WOODLANDS AGGRESSIVE	12,578.55	15.72	95.0	0.00	14.93	0.00	14.93
07/01/2018	#514067 BROWN, KAREN	WOODLANDS AGGRESSIVE	36,580.44	-10.66	95.0	0.00	-10.66	0.00	-10.66
07/01/2018	#514071 HOPPERS, KIMBERLY	WOODLANDS AGGRESSIVE	173,868.83	-50.71	95.0	0.00	-50.71	0.00	-50.71
07/01/2018	#514074 PALMER, BRIAN	WOODLANDS AGGRESSIVE	38,612.37	-11.26	95.0	0.00	-11.26	0.00	-11.26
07/01/2018	#514090 MORGAN, TIFFANY	WOODLANDS AGGRESSIVE	17,859.83	-5.20	95.0	0.00	-5.20	0.00	-5.20
07/01/2018	#514102 HAVARD, NICK	WOODLANDS AGGRESSIVE	79,640.17	-23.22	95.0	0.00	-23.22	0.00	-23.22
07/01/2018	#514104 REDDICK, MICHELLE	WOODLANDS AGGRESSIVE	84,516.35	-24.65	95.0	0.00	-24.65	0.00	-24.65
07/01/2018	#514110 KANNAPPAN, JOTHI	WOODLANDS AGGRESSIVE	28,551.10	-8.32	95.0	0.00	-8.32	0.00	-8.32
07/01/2018	#514119 MURILLO, VICTOR	WOODLANDS AGGRESSIVE	16,114.69	-4.70	95.0	0.00	-4.70	0.00	-4.70
07/01/2018	#514134 MONTEGUT, TONI	WOODLANDS AGGRESSIVE	128,741.22	-37.54	95.0	0.00	-37.54	0.00	-37.54

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018	#514139 JACKSON, JENNIFER	WOODLANDS AGGRESSIVE	23,604.77	-6.88	95.0	0.00	-6.88	0.00	-6.88
07/01/2018	#514145 DURCHHOLZ, BRADLEY	WOODLANDS AGGRESSIVE	83,895.17	-24.46	95.0	0.00	-24.46	0.00	-24.46
07/01/2018	#514149 VINEYARD, MARK	WOODLANDS AGGRESSIVE	23,548.13	-6.86	95.0	0.00	-6.86	0.00	-6.86
07/01/2018	#514161 RAYBURN, CHRISTOPHER	WOODLANDS AGGRESSIVE	49,715.34	-14.50	95.0	0.00	-14.50	0.00	-14.50
07/01/2018	#514166 BROWN, CHRISTIAN	WOODLANDS AGGRESSIVE	225,802.13	-65.85	95.0	0.00	-65.85	0.00	-65.85
07/01/2018	#514170 MC ELHOE, GEORGE	WOODLANDS AGGRESSIVE	1,917.35	-0.55	95.0	0.00	-0.55	0.00	-0.55
07/01/2018	#514220 KRISHNASWAMY,	WOODLANDS AGGRESSIVE	8,296.07	-2.41	95.0	0.00	-2.41	0.00	-2.41
07/01/2018	#514237 DURCHHOLZ, BRADLEY	WOODLANDS AGGRESSIVE	150,875.70	-44.00	95.0	0.00	-44.00	0.00	-44.00
07/01/2018	#514240 BROWN, CHRISTIAN	WOODLANDS AGGRESSIVE	15,711.97	-4.58	95.0	0.00	-4.58	0.00	-4.58
07/01/2018	#514241 MONTEGUT, MAX	WOODLANDS AGGRESSIVE	91,260.49	-26.61	95.0	0.00	-26.61	0.00	-26.61
07/01/2018	#514251 JACKSON, CLIFF	WOODLANDS AGGRESSIVE	42,620.98	-12.43	95.0	0.00	-12.43	0.00	-12.43
07/01/2018	#514256 JACKSON, JENNIFER	WOODLANDS AGGRESSIVE	35,857.30	-10.45	95.0	0.00	-10.45	0.00	-10.45
07/01/2018	#514278 KRISHNASWAMY,	WOODLANDS AGGRESSIVE	61,610.29	-17.96	95.0	0.00	-17.96	0.00	-17.96
07/01/2018	#514305 RAYBURN, CHRISTINE	WOODLANDS AGGRESSIVE	277,907.79	-81.05	95.0	0.00	-81.05	0.00	-81.05
07/01/2018	#545632 MARTIN, BRANDON	WOODLANDS AGGRESSIVE	12,578.55	-3.66	95.0	0.00	-3.66	0.00	-3.66
07/01/2018	#514111 O'DONNELL, KATHY	WOODLANDS CONSERVATIVE	47,033.83	58.79	95.0	0.00	55.85	0.00	55.85
07/01/2018	#514127 BRAKEFIELD, JONMARC	WOODLANDS CONSERVATIVE	65,956.20	82.45	95.0	0.00	78.33	0.00	78.33
07/01/2018	#514167 O'DONNELL, JAMES	WOODLANDS CONSERVATIVE	226,142.28	282.68	95.0	0.00	268.55	0.00	268.55
07/01/2018	#514202 BRAKEFIELD, JONMARC	WOODLANDS CONSERVATIVE	25,164.39	31.45	95.0	0.00	29.88	0.00	29.88
07/01/2018	#514111 O'DONNELL, KATHY	WOODLANDS CONSERVATIVE	47,033.83	-13.71	95.0	0.00	-13.71	0.00	-13.71
07/01/2018	#514127 BRAKEFIELD, JONMARC	WOODLANDS CONSERVATIVE	65,956.20	-19.23	95.0	0.00	-19.23	0.00	-19.23
07/01/2018	#514167 O'DONNELL, JAMES	WOODLANDS CONSERVATIVE	226,142.28	-65.95	95.0	0.00	-65.95	0.00	-65.95
07/01/2018	#514202 BRAKEFIELD, JONMARC	WOODLANDS CONSERVATIVE	25,164.39	-7.33	95.0	0.00	-7.33	0.00	-7.33
07/01/2018	#514064 BRAKEFIELD, JONMARC	WOODLANDS MODERATE	13,890.92	17.36	95.0	0.00	16.49	0.00	16.49
07/01/2018	#514116 MANGIAMELI, ROSWITHA	WOODLANDS MODERATE	318,043.68	397.56	95.0	0.00	377.68	0.00	377.68
07/01/2018	#514140 HOUSER, JAQUELINE	WOODLANDS MODERATE	160,163.83	200.21	95.0	0.00	190.20	0.00	190.20
07/01/2018	#514294 FIRST J TRUST	WOODLANDS MODERATE	93,627.71	117.04	95.0	0.00	111.19	0.00	111.19
07/01/2018	#514314 SMITH, KAREN	WOODLANDS MODERATE	4,141.25	5.18	95.0	0.00	4.92	0.00	4.92
07/01/2018	#514064 BRAKEFIELD, JONMARC	WOODLANDS MODERATE	13,890.92	-4.05	95.0	0.00	-4.05	0.00	-4.05
07/01/2018	#514116 MANGIAMELI, ROSWITHA	WOODLANDS MODERATE	318,043.68	-92.76	95.0	0.00	-92.76	0.00	-92.76
07/01/2018	#514140 HOUSER, JAQUELINE	WOODLANDS MODERATE	160,163.83	-46.71	95.0	0.00	-46.71	0.00	-46.71
07/01/2018	#514294 FIRST J TRUST	WOODLANDS MODERATE	93,627.71	-27.30	95.0	0.00	-27.30	0.00	-27.30
07/01/2018	#514314 SMITH, KAREN	WOODLANDS MODERATE	4,141.25	-1.20	95.0	0.00	-1.20	0.00	-1.20
* RIA SUBTOTAL *			\$7,064,919.05	\$18,941.69		\$0.00	\$17,940.34	\$0.00	\$17,940.34
*** BROKER TRANSACTIONS TOTAL ***			\$7,064,896.71	\$18,934.03		\$0.00	\$17,933.06	\$0.00	\$17,933.06

**BROKER COMMISSION TOTALS**

Broker Transactions	\$17,933.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$17,933.06</b>
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