

All Companies
STATEMENT
March 20, 2018

NORM PIETTE
2911 Su Siempre Place
SUITE 620
Escondido, CA 92025

BROKER #: 143
BRANCH:

COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/05/2018	#01440268678	AZCONA, VALERIE	FA 529 PORT 2025-CL A	50.00	2.50	93.0	0.00	2.33	0.00	2.33
02/09/2018	#00088670069		AMCAP FUND INC CLASS A	100.00	2.00	93.0	0.00	1.86	0.00	1.86
02/09/2018	#00067617189	MERRILL, TODD	GROWTH FUND AMERICA INC CLASS A	150.00	3.00	93.0	0.00	2.79	0.00	2.79
02/09/2018	#00082724941	LAKE, JOSHUA	GROWTH FUND AMERICA INC CLASS A	50.00	1.00	93.0	0.00	0.93	0.00	0.93
02/15/2018	#00086988893	S TRUST CO R/O	AMCAP FUND-529A	50.00	1.38	93.0	0.00	1.28	0.00	1.28
02/15/2018	#00086988893	S TRUST CO R/O	CAPITAL WORLD BOND FUND-529A	50.00	1.38	93.0	0.00	1.28	0.00	1.28
02/16/2018	#00088670069		AMCAP FUND INC CLASS A	100.00	2.00	93.0	0.00	1.86	0.00	1.86
02/16/2018	#01479116327	JACOBS, DUSTIN	FIDELITY ADV NEW INSIGHTS-CL A	225.00	8.44	93.0	0.00	7.85	0.00	7.85
02/16/2018	#00067617189	MERRILL, TODD	GROWTH FUND AMERICA INC CLASS A	150.00	3.00	93.0	0.00	2.79	0.00	2.79
02/16/2018	#00082724941	LAKE, JOSHUA	GROWTH FUND AMERICA INC CLASS A	50.00	1.00	93.0	0.00	0.93	0.00	0.93
02/20/2018	#01475349922	SMITH, JOSH	FIDELITY ADV INDUSTRIALS-CL C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
* MUTUAL FUNDS SUBTOTAL *				\$1,075.00	\$26.70		\$0.00	\$24.83	\$0.00	\$24.83

PRODUCT CATEGORY: VARIABLE ANNUITIES

02/28/2018	#1014333661	WESTFALL, TERRI	PERSP II 09/12	60,532.09	25.22	93.0	0.00	23.45	0.00	23.45
* VARIABLE ANNUITIES SUBTOTAL *				\$60,532.09	\$25.22		\$0.00	\$23.45	\$0.00	\$23.45
*** BROKER TRANSACTIONS TOTAL ***				\$61,607.09	\$51.92		\$0.00	\$48.28	\$0.00	\$48.28

ADJUSTMENTS

03/20/2018		BETA BACK OFFICE: ADMIN FEE								-320.00
03/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
*** ADJUSTMENTS TOTAL ***										\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$48.28
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-450.72
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