

# STATEMENT

June 20, 2018

CYNTHIA HERRON

2321 Travis Lane

#608

Overland Park, KS 66209-

BROKER #: 227

BRANCH: LA BRANCH

## COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
04/03/0018	#04000748210	RALEIGH, GRAHAM 2055 TARGET DATE RETIREMENT FUND	11.67	0.44	92.0	0.00	0.40	0.00	0.40
04/03/0018	#04000748210	RALEIGH, GRAHAM 2055 TARGET DATE RETIREMENT FUND	12.00	0.45	92.0	0.00	0.41	0.00	0.41
04/03/0018	#04000652154	GRAHAM, TAMMY AMERICAN FDS PORTFOLIO SER GROWTH F	40.00	1.50	92.0	0.00	1.38	0.00	1.38
04/03/0018	#04000652154	GRAHAM, TAMMY AMERICAN FDS PORTFOLIO SER GROWTH F	13.44	0.50	92.0	0.00	0.46	0.00	0.46
04/03/0018	#04000652163	PRICE B J AMERICAN FDS PORTFOLIO SER GROWTH F	16.95	0.64	92.0	0.00	0.59	0.00	0.59
04/03/0018	#04000652163	PRICE B J AMERICAN FDS PORTFOLIO SER GROWTH F	10.17	0.38	92.0	0.00	0.35	0.00	0.35
04/03/0018	#04000652169	WATKINS, AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.00	0.52
04/03/0018	#04000652169	WATKINS, AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.00	0.52
04/03/0018	#04000672757	SHANNON, AMERICAN FDS PORTFOLIO SER GROWTH F	11.18	0.42	92.0	0.00	0.39	0.00	0.39
04/03/0018	#04000672757	SHANNON, AMERICAN FDS PORTFOLIO SER GROWTH F	11.18	0.42	92.0	0.00	0.39	0.00	0.39
04/03/0018	#04000748210	RALEIGH, GRAHAM AMERICAN FDS PORTFOLIO SER GROWTH F	17.50	0.66	92.0	0.00	0.61	0.00	0.61
04/03/0018	#04000748210	RALEIGH, GRAHAM AMERICAN FDS PORTFOLIO SER GROWTH F	18.00	0.68	92.0	0.00	0.63	0.00	0.63
04/03/0018	#04000652154	GRAHAM, TAMMY AMERICAN FUNDS 2030 TARGET DATE-A	60.00	2.25	92.0	0.00	2.07	0.00	2.07
04/03/0018	#04000652154	GRAHAM, TAMMY AMERICAN FUNDS 2030 TARGET DATE-A	20.16	0.76	92.0	0.00	0.70	0.00	0.70
04/03/0018	#04000652163	PRICE B J AMERICAN FUNDS 2030 TARGET DATE-A	15.26	0.57	92.0	0.00	0.52	0.00	0.52
04/03/0018	#04000652163	PRICE B J AMERICAN FUNDS 2030 TARGET DATE-A	25.43	0.95	92.0	0.00	0.87	0.00	0.87
04/03/0018	#04000652169	WATKINS, AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.00	0.77
04/03/0018	#04000652169	WATKINS, AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.00	0.77
04/03/0018	#04000672757	SHANNON, AMERICAN FUNDS 2030 TARGET DATE-A	16.76	0.63	92.0	0.00	0.58	0.00	0.58
04/03/0018	#04000672757	SHANNON, AMERICAN FUNDS 2030 TARGET DATE-A	16.77	0.63	92.0	0.00	0.58	0.00	0.58
04/03/0018	#04000652154	GRAHAM, TAMMY AMERICAN FUNDS 2045 TARGET DATE-A	30.00	1.13	92.0	0.00	1.04	0.00	1.04
04/03/0018	#04000652154	GRAHAM, TAMMY AMERICAN FUNDS 2045 TARGET DATE-A	10.08	0.38	92.0	0.00	0.35	0.00	0.35
04/03/0018	#04000652163	PRICE B J AMERICAN FUNDS 2045 TARGET DATE-A	12.71	0.48	92.0	0.00	0.44	0.00	0.44
04/03/0018	#04000652163	PRICE B J AMERICAN FUNDS 2045 TARGET DATE-A	7.63	0.29	92.0	0.00	0.27	0.00	0.27
04/03/0018	#04000652169	WATKINS, AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
04/03/0018	#04000652169	WATKINS, AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
04/03/0018	#04000672757	SHANNON, AMERICAN FUNDS 2045 TARGET DATE-A	8.39	0.31	92.0	0.00	0.29	0.00	0.29
04/03/0018	#04000672757	SHANNON, AMERICAN FUNDS 2045 TARGET DATE-A	8.38	0.31	92.0	0.00	0.29	0.00	0.29

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/03/0018	#04000748210	RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	11.67	0.44	92.0	0.00	0.40	0.40
04/03/0018	#04000748210	RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	12.00	0.45	92.0	0.00	0.41	0.41
04/03/0018	#04000748210	RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	17.50	0.66	92.0	0.00	0.61	0.61
04/03/0018	#04000748210	RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	18.00	0.68	92.0	0.00	0.63	0.63
04/03/0018	#04000652154	GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	70.00	2.63	92.0	0.00	2.42	2.42
04/03/0018	#04000652154	GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	23.52	0.88	92.0	0.00	0.81	0.81
04/03/0018	#04000652163	PRICE B J	GROWTH AND INCOME PORTFOLIO-A	29.67	1.11	92.0	0.00	1.02	1.02
04/03/0018	#04000652163	PRICE B J	GROWTH AND INCOME PORTFOLIO-A	17.80	0.67	92.0	0.00	0.62	0.62
04/03/0018	#04000652169	WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.90
04/03/0018	#04000652169	WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.90
04/03/0018	#04000672757	SHANNON,	GROWTH AND INCOME PORTFOLIO-A	19.57	0.73	92.0	0.00	0.67	0.67
04/03/0018	#04000672757	SHANNON,	GROWTH AND INCOME PORTFOLIO-A	19.57	0.73	92.0	0.00	0.67	0.67
05/01/2018	#00085613691	LISHER, GINA	AMERICAN BALANCED FUND-529A	75.00	3.75	92.0	0.00	3.45	3.45
05/01/2018	#00088727323	RUSSELL, JOHN	COLLEGE 2024 FUND 529A	100.00	3.50	92.0	0.00	3.22	3.22
05/02/2018	#00088576061	DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	107.16	2.14	92.0	0.00	1.97	1.97
05/02/2018	#00088576061	DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	32.15	0.64	92.0	0.00	0.59	0.59
05/02/2018	#04000834615	ERPELDING,	AMERICAN FDS PORTFOLIO SER GROWTH F	16.15	0.32	92.0	0.00	0.29	0.29
05/02/2018	#04000834615	ERPELDING,	AMERICAN FDS PORTFOLIO SER GROWTH F	21.54	0.43	92.0	0.00	0.40	0.40
05/02/2018	#00086985099	LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	94.97	1.90	92.0	0.00	1.75	1.75
05/02/2018	#00086985099	LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	395.70	7.91	92.0	0.00	7.28	7.28
05/02/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	589.28	11.79	92.0	0.00	10.85	10.85
05/02/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	88.39	1.77	92.0	0.00	1.63	1.63
05/02/2018	#00087708562	JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	1.41
05/02/2018	#00087708562	JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	1.41
05/02/2018	#04000101422	MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	1.09
05/02/2018	#04000101422	MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	1.09
05/02/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	1.06
05/02/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	1.06
05/02/2018	#04000790583		AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.80
05/02/2018	#04000790583		AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.80
05/02/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2030 TARGET DATE-A	24.23	0.48	92.0	0.00	0.44	0.44
05/02/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2030 TARGET DATE-A	32.30	0.65	92.0	0.00	0.60	0.60
05/02/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	298.07	5.96	92.0	0.00	5.48	5.48
05/02/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	63.26	1.27	92.0	0.00	1.17	1.17
05/02/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2045 TARGET DATE-A	12.11	0.24	92.0	0.00	0.22	0.22
05/02/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2045 TARGET DATE-A	16.15	0.32	92.0	0.00	0.29	0.29

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/02/2018	#00088576061	DAKEMICHEL	BOND FUND OF AMERICA INC CL A	21.43	0.43	92.0	0.00	0.40	0.40
05/02/2018	#00088576061	DAKEMICHEL	BOND FUND OF AMERICA INC CL A	6.43	0.13	92.0	0.00	0.12	0.12
05/02/2018	#00086986934	KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	298.08	5.96	92.0	0.00	5.48	5.48
05/02/2018	#00086986934	KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	63.27	1.27	92.0	0.00	1.17	1.17
05/02/2018	#04000834615	ERPELDING,	GROWTH AND INCOME PORTFOLIO-A	28.27	0.57	92.0	0.00	0.52	0.52
05/02/2018	#04000834615	ERPELDING,	GROWTH AND INCOME PORTFOLIO-A	37.69	0.75	92.0	0.00	0.69	0.69
05/02/2018	#04000101795	BEDDINGFIE	INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	1.63
05/02/2018	#04000101795	BEDDINGFIE	INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	1.63
05/02/2018	#00088576061	DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	25.72	0.51	92.0	0.00	0.47	0.47
05/02/2018	#00088576061	DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	85.73	1.71	92.0	0.00	1.57	1.57
05/04/2018	#04000672747	LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	7.42	0.28	92.0	0.00	0.26	0.26
05/04/2018	#04000672747	LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	60.00	2.25	92.0	0.00	2.07	2.07
05/04/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	9.62	0.36	92.0	0.00	0.33	0.33
05/04/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	12.00	0.45	92.0	0.00	0.41	0.41
05/04/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	13.44	0.50	92.0	0.00	0.46	0.46
05/04/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	40.00	1.50	92.0	0.00	1.38	1.38
05/04/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	10.68	0.40	92.0	0.00	0.37	0.37
05/04/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	17.80	0.67	92.0	0.00	0.62	0.62
05/04/2018	#04000652169	WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.52
05/04/2018	#04000652169	WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.52
05/04/2018	#04000672747	LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	11.13	0.42	92.0	0.00	0.39	0.39
05/04/2018	#04000672747	LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	90.00	3.38	92.0	0.00	3.11	3.11
05/04/2018	#04000672757	SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.22	0.38	92.0	0.00	0.35	0.35
05/04/2018	#04000672757	SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.22	0.38	92.0	0.00	0.35	0.35
05/04/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	14.43	0.54	92.0	0.00	0.50	0.50
05/04/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	18.00	0.68	92.0	0.00	0.63	0.63
05/04/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	20.16	0.76	92.0	0.00	0.70	0.70
05/04/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	60.00	2.25	92.0	0.00	2.07	2.07
05/04/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	16.02	0.60	92.0	0.00	0.55	0.55
05/04/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	26.70	1.00	92.0	0.00	0.92	0.92
05/04/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.77
05/04/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.77
05/04/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	15.34	0.58	92.0	0.00	0.53	0.53
05/04/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	15.34	0.58	92.0	0.00	0.53	0.53
05/04/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	10.08	0.38	92.0	0.00	0.35	0.35
05/04/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	30.00	1.13	92.0	0.00	1.04	1.04

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/04/2018	#04000652163 PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	8.01	0.30	92.0	0.00	0.28	0.00	0.28
05/04/2018	#04000652163 PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	13.35	0.50	92.0	0.00	0.46	0.00	0.46
05/04/2018	#04000652169 WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
05/04/2018	#04000652169 WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
05/04/2018	#04000672757 SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	7.67	0.29	92.0	0.00	0.27	0.00	0.27
05/04/2018	#04000672757 SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	7.67	0.29	92.0	0.00	0.27	0.00	0.27
05/04/2018	#04000672747 LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	7.42	0.28	92.0	0.00	0.26	0.00	0.26
05/04/2018	#04000672747 LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	60.00	2.25	92.0	0.00	2.07	0.00	2.07
05/04/2018	#04000748210 RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	9.62	0.36	92.0	0.00	0.33	0.00	0.33
05/04/2018	#04000748210 RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	12.00	0.45	92.0	0.00	0.41	0.00	0.41
05/04/2018	#04000672747 LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	11.13	0.42	92.0	0.00	0.39	0.00	0.39
05/04/2018	#04000672747 LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	90.00	3.38	92.0	0.00	3.11	0.00	3.11
05/04/2018	#04000748210 RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	14.43	0.54	92.0	0.00	0.50	0.00	0.50
05/04/2018	#04000748210 RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	18.00	0.68	92.0	0.00	0.63	0.00	0.63
05/04/2018	#04000652154 GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	23.52	0.88	92.0	0.00	0.81	0.00	0.81
05/04/2018	#04000652154 GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	70.00	2.63	92.0	0.00	2.42	0.00	2.42
05/04/2018	#04000652163 PRICE B J	GROWTH AND INCOME PORTFOLIO-A	18.69	0.70	92.0	0.00	0.64	0.00	0.64
05/04/2018	#04000652163 PRICE B J	GROWTH AND INCOME PORTFOLIO-A	31.15	1.17	92.0	0.00	1.08	0.00	1.08
05/04/2018	#04000652169 WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.00	0.90
05/04/2018	#04000652169 WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.00	0.90
05/04/2018	#04000672757 SHANNON,	GROWTH AND INCOME PORTFOLIO-A	17.89	0.67	92.0	0.00	0.62	0.00	0.62
05/04/2018	#04000672757 SHANNON,	GROWTH AND INCOME PORTFOLIO-A	17.89	0.67	92.0	0.00	0.62	0.00	0.62
05/04/2018	#005226561946 FREY, RUTH	JOHN HANCOCK FRE	0.00	30.78	92.0	0.00	28.32	0.00	28.32
05/04/2018	#005226562026 FREY, RUTH	JOHN HANCOCK FRE	0.00	25.99	92.0	0.00	23.91	0.00	23.91
05/07/2018	#00085515885 GUILTY, NIQUICHE	COLLEGE 2024 FUND-529C	50.00	0.50	92.0	0.00	0.46	0.00	0.46
05/07/2018	#00085515930 GUILTYORBIL	COLLEGE 2027 FUND-529C	50.00	0.50	92.0	0.00	0.46	0.00	0.46
05/07/2018	#00088467617 GUILTY, NIQUICHE	COLLEGE 2030 FUND-529A	50.00	1.75	92.0	0.00	1.61	0.00	1.61
05/07/2018	# HALL, ERIN	MFS EQUITY OPPORTUNITIES FD CL A	65.00	3.25	92.0	0.00	2.99	20.00	-17.01
05/07/2018	#00085978086 RARDIN, JOHN	THE BOND FUND OF AMERICA-529A	50.00	1.50	92.0	0.00	1.38	0.00	1.38
05/10/2018	# RUSSELL, JOHN	MFS EQUITY OPPORTUNITIES FD CL A	100.00	5.00	92.0	0.00	4.60	20.00	-15.40
05/11/2018	#00088576061 DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	107.16	2.14	92.0	0.00	1.97	0.00	1.97
05/11/2018	#00088576061 DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	32.15	0.64	92.0	0.00	0.59	0.00	0.59
05/11/2018	#04000834615 ERPELDING,	AMERICAN FDS PORTFOLIO SER GROWTH F	16.15	0.32	92.0	0.00	0.29	0.00	0.29
05/11/2018	#04000834615 ERPELDING,	AMERICAN FDS PORTFOLIO SER GROWTH F	21.54	0.43	92.0	0.00	0.40	0.00	0.40
05/11/2018	#00086985099 LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	395.70	7.91	92.0	0.00	7.28	0.00	7.28
05/11/2018	#00086985099 LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	94.97	1.90	92.0	0.00	1.75	0.00	1.75

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/11/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	589.28	11.79	92.0	0.00	10.85	10.85
05/11/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	88.39	1.77	92.0	0.00	1.63	1.63
05/11/2018	#00087708562	JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	1.41
05/11/2018	#00087708562	JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	1.41
05/11/2018	#04000101422	MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	1.09
05/11/2018	#04000101422	MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	1.09
05/11/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	1.06
05/11/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	1.06
05/11/2018	#04000790583		AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.80
05/11/2018	#04000790583		AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.80
05/11/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2030 TARGET DATE-A	24.23	0.48	92.0	0.00	0.44	0.44
05/11/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2030 TARGET DATE-A	32.30	0.65	92.0	0.00	0.60	0.60
05/11/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	298.07	5.96	92.0	0.00	5.48	5.48
05/11/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	63.26	1.27	92.0	0.00	1.17	1.17
05/11/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2045 TARGET DATE-A	16.15	0.32	92.0	0.00	0.29	0.29
05/11/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2045 TARGET DATE-A	12.11	0.24	92.0	0.00	0.22	0.22
05/11/2018	#00088576061	DAKEMICHEL	BOND FUND OF AMERICA INC CL A	21.43	0.43	92.0	0.00	0.40	0.40
05/11/2018	#00088576061	DAKEMICHEL	BOND FUND OF AMERICA INC CL A	6.43	0.13	92.0	0.00	0.12	0.12
05/11/2018	#00086986934	KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	298.08	5.96	92.0	0.00	5.48	5.48
05/11/2018	#00086986934	KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	63.27	1.27	92.0	0.00	1.17	1.17
05/11/2018	#04000834615	ERPELDING,	GROWTH AND INCOME PORTFOLIO-A	37.69	0.75	92.0	0.00	0.69	0.69
05/11/2018	#04000834615	ERPELDING,	GROWTH AND INCOME PORTFOLIO-A	28.27	0.57	92.0	0.00	0.52	0.52
05/11/2018	#04000101795	BEDDINGFIE	INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	1.63
05/11/2018	#04000101795	BEDDINGFIE	INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	1.63
05/11/2018	#00088576061	DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	85.73	1.71	92.0	0.00	1.57	1.57
05/11/2018	#00088576061	DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	25.72	0.51	92.0	0.00	0.47	0.47
05/15/2018	#	RUSSELL, JOHN	MFS EQUITY OPPORTUNITIES FD CL A	19,393.67	0.00	92.0	0.00	0.00	-20.00
05/21/2018	#04000672747	LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	60.00	2.25	92.0	0.00	2.07	2.07
05/21/2018	#04000672747	LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	10.06	0.38	92.0	0.00	0.35	0.35
05/21/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	12.00	0.45	92.0	0.00	0.41	0.41
05/21/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	9.98	0.37	92.0	0.00	0.34	0.34
05/21/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	40.00	1.50	92.0	0.00	1.38	1.38
05/21/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	13.44	0.50	92.0	0.00	0.46	0.46
05/21/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	18.20	0.68	92.0	0.00	0.63	0.63
05/21/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	10.92	0.41	92.0	0.00	0.38	0.38
05/21/2018	#04000652169	WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.52

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/21/2018	#04000652169	WATKINS, AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.00	0.52
05/21/2018	#04000672747	LISHER, GINA AMERICAN FDS PORTFOLIO SER GROWTH F	90.00	3.38	92.0	0.00	3.11	0.00	3.11
05/21/2018	#04000672747	LISHER, GINA AMERICAN FDS PORTFOLIO SER GROWTH F	15.09	0.57	92.0	0.00	0.52	0.00	0.52
05/21/2018	#04000672757	SHANNON, AMERICAN FDS PORTFOLIO SER GROWTH F	11.20	0.42	92.0	0.00	0.39	0.00	0.39
05/21/2018	#04000672757	SHANNON, AMERICAN FDS PORTFOLIO SER GROWTH F	11.20	0.42	92.0	0.00	0.39	0.00	0.39
05/21/2018	#04000748210	RALEIGH, GRAHAM AMERICAN FDS PORTFOLIO SER GROWTH F	18.00	0.68	92.0	0.00	0.63	0.00	0.63
05/21/2018	#04000748210	RALEIGH, GRAHAM AMERICAN FDS PORTFOLIO SER GROWTH F	14.96	0.56	92.0	0.00	0.52	0.00	0.52
05/21/2018	#04000652154	GRAHAM, TAMMY AMERICAN FUNDS 2030 TARGET DATE-A	60.00	2.25	92.0	0.00	2.07	0.00	2.07
05/21/2018	#04000652154	GRAHAM, TAMMY AMERICAN FUNDS 2030 TARGET DATE-A	20.16	0.76	92.0	0.00	0.70	0.00	0.70
05/21/2018	#04000652163	PRICE B J AMERICAN FUNDS 2030 TARGET DATE-A	27.30	1.02	92.0	0.00	0.94	0.00	0.94
05/21/2018	#04000652163	PRICE B J AMERICAN FUNDS 2030 TARGET DATE-A	16.38	0.61	92.0	0.00	0.56	0.00	0.56
05/21/2018	#04000652169	WATKINS, AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.00	0.77
05/21/2018	#04000652169	WATKINS, AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.00	0.77
05/21/2018	#04000672757	SHANNON, AMERICAN FUNDS 2030 TARGET DATE-A	16.80	0.63	92.0	0.00	0.58	0.00	0.58
05/21/2018	#04000672757	SHANNON, AMERICAN FUNDS 2030 TARGET DATE-A	16.80	0.63	92.0	0.00	0.58	0.00	0.58
05/21/2018	#04000652154	GRAHAM, TAMMY AMERICAN FUNDS 2045 TARGET DATE-A	30.00	1.13	92.0	0.00	1.04	0.00	1.04
05/21/2018	#04000652154	GRAHAM, TAMMY AMERICAN FUNDS 2045 TARGET DATE-A	10.08	0.38	92.0	0.00	0.35	0.00	0.35
05/21/2018	#04000652163	PRICE B J AMERICAN FUNDS 2045 TARGET DATE-A	13.65	0.51	92.0	0.00	0.47	0.00	0.47
05/21/2018	#04000652163	PRICE B J AMERICAN FUNDS 2045 TARGET DATE-A	8.19	0.31	92.0	0.00	0.29	0.00	0.29
05/21/2018	#04000652169	WATKINS, AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
05/21/2018	#04000652169	WATKINS, AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
05/21/2018	#04000672757	SHANNON, AMERICAN FUNDS 2045 TARGET DATE-A	8.40	0.32	92.0	0.00	0.29	0.00	0.29
05/21/2018	#04000672757	SHANNON, AMERICAN FUNDS 2045 TARGET DATE-A	8.40	0.32	92.0	0.00	0.29	0.00	0.29
05/21/2018	#04000672747	LISHER, GINA AMERICAN FUNDS TARGET DATE RETIREME	60.00	2.25	92.0	0.00	2.07	0.00	2.07
05/21/2018	#04000672747	LISHER, GINA AMERICAN FUNDS TARGET DATE RETIREME	10.06	0.38	92.0	0.00	0.35	0.00	0.35
05/21/2018	#04000748210	RALEIGH, GRAHAM AMERICAN FUNDS TARGET DATE RETIREME	12.00	0.45	92.0	0.00	0.41	0.00	0.41
05/21/2018	#04000748210	RALEIGH, GRAHAM AMERICAN FUNDS TARGET DATE RETIREME	9.98	0.37	92.0	0.00	0.34	0.00	0.34
05/21/2018	#04000672747	LISHER, GINA GLOBAL GROWTH PORTFOLIO-A	90.00	3.38	92.0	0.00	3.11	0.00	3.11
05/21/2018	#04000672747	LISHER, GINA GLOBAL GROWTH PORTFOLIO-A	15.09	0.57	92.0	0.00	0.52	0.00	0.52
05/21/2018	#04000748210	RALEIGH, GRAHAM GLOBAL GROWTH PORTFOLIO-A	18.00	0.68	92.0	0.00	0.63	0.00	0.63
05/21/2018	#04000748210	RALEIGH, GRAHAM GLOBAL GROWTH PORTFOLIO-A	14.96	0.56	92.0	0.00	0.52	0.00	0.52
05/21/2018	#04000652154	GRAHAM, TAMMY GROWTH AND INCOME PORTFOLIO-A	70.00	2.63	92.0	0.00	2.42	0.00	2.42
05/21/2018	#04000652154	GRAHAM, TAMMY GROWTH AND INCOME PORTFOLIO-A	23.52	0.88	92.0	0.00	0.81	0.00	0.81
05/21/2018	#04000652163	PRICE B J GROWTH AND INCOME PORTFOLIO-A	31.85	1.19	92.0	0.00	1.09	0.00	1.09
05/21/2018	#04000652163	PRICE B J GROWTH AND INCOME PORTFOLIO-A	19.11	0.72	92.0	0.00	0.66	0.00	0.66
05/21/2018	#04000652169	WATKINS, GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.00	0.90

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/21/2018	#04000652169	WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.90
05/21/2018	#04000672757	SHANNON,	GROWTH AND INCOME PORTFOLIO-A	19.60	0.74	92.0	0.00	0.68	0.68
05/21/2018	#04000672757	SHANNON,	GROWTH AND INCOME PORTFOLIO-A	19.60	0.74	92.0	0.00	0.68	0.68
05/23/2018	#04000515434	LEE, CHRISTINA	2055 TARGET DATE RETIREMENT FUND	17.50	0.88	92.0	0.00	0.81	0.81
05/23/2018	#04000515434	LEE, CHRISTINA	2055 TARGET DATE RETIREMENT FUND	500.00	25.00	92.0	0.00	23.00	23.00
05/23/2018	#04000515434	LEE, CHRISTINA	AMERICAN FUNDS 2040 TARGET DATE-A	17.50	0.88	92.0	0.00	0.81	0.81
05/23/2018	#04000515434	LEE, CHRISTINA	AMERICAN FUNDS 2040 TARGET DATE-A	500.00	25.00	92.0	0.00	23.00	23.00
05/25/2018	#00088576061	DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	32.15	0.64	92.0	0.00	0.59	0.59
05/25/2018	#00088576061	DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	107.16	2.14	92.0	0.00	1.97	1.97
05/25/2018	#04000834615	ERPELDING,	AMERICAN FDS PORTFOLIO SER GROWTH F	16.15	0.32	92.0	0.00	0.29	0.29
05/25/2018	#04000834615	ERPELDING,	AMERICAN FDS PORTFOLIO SER GROWTH F	21.54	0.43	92.0	0.00	0.40	0.40
05/25/2018	#00086985099	LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	94.97	1.90	92.0	0.00	1.75	1.75
05/25/2018	#00086985099	LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	395.70	7.91	92.0	0.00	7.28	7.28
05/25/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	88.39	1.77	92.0	0.00	1.63	1.63
05/25/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	589.28	11.79	92.0	0.00	10.85	10.85
05/25/2018	#00087708562	JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	1.41
05/25/2018	#00087708562	JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	1.41
05/25/2018	#04000101422	MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	1.09
05/25/2018	#04000101422	MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	1.09
05/25/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	1.06
05/25/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	1.06
05/25/2018	#04000790583		AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.80
05/25/2018	#04000790583		AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.80
05/25/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2030 TARGET DATE-A	24.23	0.48	92.0	0.00	0.44	0.44
05/25/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2030 TARGET DATE-A	32.30	0.65	92.0	0.00	0.60	0.60
05/25/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	63.26	1.27	92.0	0.00	1.17	1.17
05/25/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	298.07	5.96	92.0	0.00	5.48	5.48
05/25/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2045 TARGET DATE-A	16.15	0.32	92.0	0.00	0.29	0.29
05/25/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2045 TARGET DATE-A	12.11	0.24	92.0	0.00	0.22	0.22
05/25/2018	#00088576061	DAKEMICHEL	BOND FUND OF AMERICA INC CL A	6.43	0.13	92.0	0.00	0.12	0.12
05/25/2018	#00088576061	DAKEMICHEL	BOND FUND OF AMERICA INC CL A	21.43	0.43	92.0	0.00	0.40	0.40
05/25/2018	#00086986934	KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	63.27	1.27	92.0	0.00	1.17	1.17
05/25/2018	#00086986934	KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	298.08	5.96	92.0	0.00	5.48	5.48
05/25/2018	#04000834615	ERPELDING,	GROWTH AND INCOME PORTFOLIO-A	37.69	0.75	92.0	0.00	0.69	0.69
05/25/2018	#04000834615	ERPELDING,	GROWTH AND INCOME PORTFOLIO-A	28.27	0.57	92.0	0.00	0.52	0.52
05/25/2018	#04000101795	BEDDINGFIE	INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	1.63

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/25/2018	#04000101795	BEDDINGFIE	INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	1.63
05/25/2018	#00088576061	DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	25.72	0.51	92.0	0.00	0.47	0.47
05/25/2018	#00088576061	DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	85.73	1.71	92.0	0.00	1.57	1.57
05/29/2018	#04000917692	LISHER, RYAN	COLLEGE 2021 FUND-529A	2,882.30	100.88	92.0	0.00	92.81	92.81
05/29/2018	#04000917692	LISHER, RYAN	COLLEGE 2021 FUND-529A	6,325.00	221.38	92.0	0.00	203.67	203.67
05/29/2018	#	EUBANKS, WILLONE	JANUS INVT FD HENDERSON EURPEAN FCU	94.71	0.00	92.0	0.00	0.00	-20.00
06/01/2018	#04000672747	LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	11.00	0.41	92.0	0.00	0.38	0.38
06/01/2018	#04000672747	LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	60.00	2.25	92.0	0.00	2.07	2.07
06/01/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	12.00	0.45	92.0	0.00	0.41	0.41
06/01/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	9.98	0.37	92.0	0.00	0.34	0.34
06/01/2018	#00085613691	LISHER, GINA	AMERICAN BALANCED FUND-529A	75.00	3.75	92.0	0.00	3.45	3.45
06/01/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	40.00	1.50	92.0	0.00	1.38	1.38
06/01/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	13.44	0.50	92.0	0.00	0.46	0.46
06/01/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	11.94	0.45	92.0	0.00	0.41	0.41
06/01/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	19.91	0.75	92.0	0.00	0.69	0.69
06/01/2018	#04000652169	WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.52
06/01/2018	#04000652169	WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.52
06/01/2018	#04000672747	LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	16.51	0.62	92.0	0.00	0.57	0.57
06/01/2018	#04000672747	LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	90.00	3.38	92.0	0.00	3.11	3.11
06/01/2018	#04000672757	SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.91	0.41	92.0	0.00	0.38	0.38
06/01/2018	#04000672757	SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.91	0.41	92.0	0.00	0.38	0.38
06/01/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	18.00	0.68	92.0	0.00	0.63	0.63
06/01/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	14.96	0.56	92.0	0.00	0.52	0.52
06/01/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	20.16	0.76	92.0	0.00	0.70	0.70
06/01/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	60.00	2.25	92.0	0.00	2.07	2.07
06/01/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	17.92	0.67	92.0	0.00	0.62	0.62
06/01/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	29.86	1.12	92.0	0.00	1.03	1.03
06/01/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.77
06/01/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.77
06/01/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	16.36	0.61	92.0	0.00	0.56	0.56
06/01/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	16.36	0.61	92.0	0.00	0.56	0.56
06/01/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	10.08	0.38	92.0	0.00	0.35	0.35
06/01/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	30.00	1.13	92.0	0.00	1.04	1.04
06/01/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	8.96	0.34	92.0	0.00	0.31	0.31
06/01/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	14.93	0.56	92.0	0.00	0.52	0.52
06/01/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.39



COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
06/01/2018	#04000652169	WATKINS, AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
06/01/2018	#04000672757	SHANNON, AMERICAN FUNDS 2045 TARGET DATE-A	8.18	0.31	92.0	0.00	0.29	0.00	0.29
06/01/2018	#04000672757	SHANNON, AMERICAN FUNDS 2045 TARGET DATE-A	8.18	0.31	92.0	0.00	0.29	0.00	0.29
06/01/2018	#04000672747	LISHER, GINA AMERICAN FUNDS TARGET DATE RETIREME	11.00	0.41	92.0	0.00	0.38	0.00	0.38
06/01/2018	#04000672747	LISHER, GINA AMERICAN FUNDS TARGET DATE RETIREME	60.00	2.25	92.0	0.00	2.07	0.00	2.07
06/01/2018	#04000748210	RALEIGH, GRAHAM AMERICAN FUNDS TARGET DATE RETIREME	12.00	0.45	92.0	0.00	0.41	0.00	0.41
06/01/2018	#04000748210	RALEIGH, GRAHAM AMERICAN FUNDS TARGET DATE RETIREME	9.98	0.37	92.0	0.00	0.34	0.00	0.34
06/01/2018	#00088727323	RUSSELL, JOHN COLLEGE 2024 FUND 529A	100.00	3.50	92.0	0.00	3.22	0.00	3.22
06/01/2018	#04000672747	LISHER, GINA GLOBAL GROWTH PORTFOLIO-A	16.51	0.62	92.0	0.00	0.57	0.00	0.57
06/01/2018	#04000672747	LISHER, GINA GLOBAL GROWTH PORTFOLIO-A	90.00	3.38	92.0	0.00	3.11	0.00	3.11
06/01/2018	#04000748210	RALEIGH, GRAHAM GLOBAL GROWTH PORTFOLIO-A	18.00	0.68	92.0	0.00	0.63	0.00	0.63
06/01/2018	#04000748210	RALEIGH, GRAHAM GLOBAL GROWTH PORTFOLIO-A	14.96	0.56	92.0	0.00	0.52	0.00	0.52
06/01/2018	#04000652154	GRAHAM, TAMMY GROWTH AND INCOME PORTFOLIO-A	70.00	2.63	92.0	0.00	2.42	0.00	2.42
06/01/2018	#04000652154	GRAHAM, TAMMY GROWTH AND INCOME PORTFOLIO-A	23.52	0.88	92.0	0.00	0.81	0.00	0.81
06/01/2018	#04000652163	PRICE B J GROWTH AND INCOME PORTFOLIO-A	20.90	0.78	92.0	0.00	0.72	0.00	0.72
06/01/2018	#04000652163	PRICE B J GROWTH AND INCOME PORTFOLIO-A	34.83	1.31	92.0	0.00	1.21	0.00	1.21
06/01/2018	#04000652169	WATKINS, GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.00	0.90
06/01/2018	#04000652169	WATKINS, GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.00	0.90
06/01/2018	#04000672757	SHANNON, GROWTH AND INCOME PORTFOLIO-A	19.09	0.72	92.0	0.00	0.66	0.00	0.66
06/01/2018	#04000672757	SHANNON, GROWTH AND INCOME PORTFOLIO-A	19.09	0.72	92.0	0.00	0.66	0.00	0.66
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$43,645.87</b>	<b>\$794.92</b>		<b>\$0.00</b>	<b>\$731.46</b>	<b>\$80.00</b>	<b>\$651.46</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
05/03/0018	#E1707258	KIRSE, JENNIFER OHIO NATIONAL	0.00	30.44	92.0	0.00	28.00	0.00	28.00
05/03/0018	#E1873067	KIRSE, JOHN OHIO NATIONAL	0.00	65.27	92.0	0.00	60.05	0.00	60.05
05/06/0018	#E1849845	NYANG, LAMIN OHIO NATIONAL	39,434.63	24.65	92.0	0.00	22.68	0.00	22.68
05/06/0018	#E1849995	CARY, GAYLA OHIO NATIONAL	43,417.81	27.14	92.0	0.00	24.97	0.00	24.97
05/06/0018	#E1850235	GALVAN, DAMIAN OHIO NATIONAL	498,607.31	311.63	92.0	0.00	286.70	0.00	286.70
05/06/0018	#E1852641	HILTE, MONTY OHIO NATIONAL	48,569.28	30.36	92.0	0.00	27.93	0.00	27.93
05/06/0018	#E1852800	PAULSEN, LINDA OHIO NATIONAL	31,168.25	19.48	92.0	0.00	17.92	0.00	17.92
04/30/0201	#575320000	LANE HEALTH, JOHN HANCOCK ARA	461,273.65	95.98	92.0	0.00	88.30	0.00	88.30
04/30/0201	#1315500000	SOLUTIONS, JOHN HANCOCK ARA	55,817.85	34.76	92.0	0.00	31.98	0.00	31.98
04/30/0201	#1226040000	PRESTRESSED JOHN HANCOCK ARA	314,526.61	130.75	92.0	0.00	120.29	0.00	120.29
05/30/0201	#E1868410	CANTRELL, MARGIE OHIO NATIONAL	0.00	32.14	92.0	0.00	29.57	0.00	29.57
05/30/0201	#E1869430	WATSON, WILLIE OHIO NATIONAL	0.00	29.31	92.0	0.00	26.97	0.00	26.97
05/30/0201	#E1873046	KIRSE, JENNIFER OHIO NATIONAL	0.00	43.59	92.0	0.00	40.10	0.00	40.10

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
05/30/0201	#E1889379	MARCO, JUSTIN	OHIO NATIONAL	0.00	10.51	92.0	0.00	9.67	9.67
05/30/0201	#E1900790	BERG, ERIK	OHIO NATIONAL	0.00	4.36	92.0	0.00	4.01	4.01
05/02/2018	#E1849619	ARVIN, PEGGY	OHIO NATIONAL	0.00	29.70	92.0	0.00	27.32	27.32
05/02/2018	#E1854749	RUSSELL, VICTORIA	OHIO NATIONAL	0.00	35.07	92.0	0.00	32.26	32.26
05/02/2018	#E1867419	JONES, ERIC	OHIO NATIONAL	0.00	16.87	92.0	0.00	15.52	15.52
05/03/2018	#C1845720X	MATTHEWS, PAT	VOYA	0.00	46.32	92.0	0.00	42.61	42.61
05/09/2018	#E1849844	OLSEN, SALLY	OHIO NATIONAL	0.00	86.64	92.0	0.00	79.71	79.71
05/09/2018	#E1861772	RARDIN, CRISTY	OHIO NATIONAL	0.00	9.81	92.0	0.00	9.03	9.03
05/09/2018	#E1864288	CANTRELL, JAMES	OHIO NATIONAL	0.00	92.99	92.0	0.00	85.55	85.55
05/09/2018	#E1867808	RANSOM, REBA	OHIO NATIONAL	0.00	3.07	92.0	0.00	2.82	2.82
05/21/2018	#1013722249	OLIVER, HAROLD	PERSP II 09/12	150.00	11.25	92.0	0.00	10.35	10.35
05/31/2018	#1020069500	BOWEN, CHRISTINA	PERSP II 01/16	350,077.07	145.87	92.0	0.00	134.20	134.20
05/31/2018	#1016423285	DUDLEY, PAMELA	PERSP II 04/14	295,035.41	122.93	92.0	0.00	113.10	113.10
05/31/2018	#1018282220	GALVAS, MARIE	PERSP II 04/14	105,113.93	43.80	92.0	0.00	40.30	40.30
05/31/2018	#1018331701	STRACHAN, GRACE	PERSP II 04/14	32,867.93	13.69	92.0	0.00	12.59	12.59
05/31/2018	#1018865714	MOON, MELISSA	PERSP II 04/14	69,253.21	28.86	92.0	0.00	26.55	26.55
05/31/2018	#1016423315	MOOT, PEGGY	PERSP II 04/14	80,567.52	33.57	92.0	0.00	30.88	30.88
05/31/2018	#1017975869	RARDIN, JOHN	PERSP II 04/14	54,275.04	22.61	92.0	0.00	20.80	20.80
05/31/2018	#1017913227	STRACHAN, GRACE	PERSP II 04/14	133,438.60	55.60	92.0	0.00	51.15	51.15
05/31/2018	#1016035579	TAYLOR, R	PERSP II 04/14	46,043.71	19.18	92.0	0.00	17.65	17.65
05/31/2018	#1015862358	WHEATON, RICK	PERSP II 04/14	93,373.35	38.91	92.0	0.00	35.80	35.80
05/31/2018	#1018781774	WILKERSON, TERRY	PERSP II 04/14	40,804.25	17.00	92.0	0.00	15.64	15.64
05/31/2018	#1018743570	WILLIAMS,	PERSP II 04/14	6,914.39	2.88	92.0	0.00	2.65	2.65
05/31/2018	#1015043497	ALBIN, CHARLES	PERSP II 09/12	16,525.36	6.89	92.0	0.00	6.34	6.34
05/31/2018	#1014026084	KELLY, MARY	PERSP II 09/12	22,367.16	9.32	92.0	0.00	8.57	8.57
05/31/2018	#1014027650	KELLY, STEVEN	PERSP II 09/12	75,306.33	31.38	92.0	0.00	28.87	28.87
05/31/2018	#1013061951	WATSON, ROSEZENA	PERSP II 09/12	9,268.76	3.86	92.0	0.00	3.55	3.55
05/31/2018	#1014134537	WITHEROW,	PERSP II 09/12	38,571.78	16.07	92.0	0.00	14.78	14.78
05/31/2018	#1013027893	LEWANDOWSKI, KIM	PERSP L 08/11	60,507.28	50.42	92.0	0.00	46.39	46.39
05/31/2018	#1014818002	PENDER, PENNY	PERSP L 08/11	40,284.69	33.57	92.0	0.00	30.88	30.88
05/31/2018	#1014025991	STOUT, KYLE	PERSP L 08/11	66,020.44	55.02	92.0	0.00	50.62	50.62
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$3,129,581.60</b>	<b>\$1,973.52</b>	<b>\$0.00</b>	<b>\$1,815.62</b>	<b>\$0.00</b>	<b>\$1,815.62</b>

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/03/2018 #	RUSSELL, MEREDITH	DAIN TRAILER	145.00	5.80	92.0	0.00	5.34	0.00	5.34
05/07/2018 #	HALL, ERIN	DAIN TRAILER	65.00	3.25	92.0	0.00	2.99	0.00	2.99
05/07/2018 #	HALL, ERIN	DAIN TRAILER	65.00	2.60	92.0	0.00	2.39	0.00	2.39
05/09/2018 #	SMITH, MATT	DAIN TRAILER	0.00	0.78	92.0	0.00	0.72	0.00	0.72
05/09/2018 #	SMITH, MATT	DAIN TRAILER	0.00	1.02	92.0	0.00	0.94	0.00	0.94
05/09/2018 #	SMITH, MATT	DAIN TRAILER	0.00	0.63	92.0	0.00	0.58	0.00	0.58
05/09/2018 #	SMITH, MATT	DAIN TRAILER	0.00	0.34	92.0	0.00	0.31	0.00	0.31
05/09/2018 #	SMITH, MATT	DAIN TRAILER	0.00	0.34	92.0	0.00	0.31	0.00	0.31
05/09/2018 #	WILKERSON, BARBARA	DAIN TRAILER	0.00	6.65	92.0	0.00	6.12	0.00	6.12
05/09/2018 #	WILKERSON, BARBARA	DAIN TRAILER	0.00	4.48	92.0	0.00	4.12	0.00	4.12
05/09/2018 #	WILKERSON, BARBARA	DAIN TRAILER	0.00	6.00	92.0	0.00	5.52	0.00	5.52
05/09/2018 #	WILKERSON, BARBARA	DAIN TRAILER	0.00	0.87	92.0	0.00	0.80	0.00	0.80
05/09/2018 #	WILKERSON, BARBARA	DAIN TRAILER	0.00	0.87	92.0	0.00	0.80	0.00	0.80
05/09/2018 #	WILKERSON, BARBARA	DAIN TRAILER	0.00	1.36	92.0	0.00	1.25	0.00	1.25
05/09/2018 #	WILKERSON, BARBARA	DAIN TRAILER	0.00	0.85	92.0	0.00	0.78	0.00	0.78
05/09/2018 #	EUBANKS, WILLONE	DAIN TRAILER	0.00	0.30	92.0	0.00	0.28	0.00	0.28
05/09/2018 #	EUBANKS, WILLONE	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
05/09/2018 #	EUBANKS, WILLONE	DAIN TRAILER	0.00	0.05	92.0	0.00	0.05	0.00	0.05
05/09/2018 #	WHITAKER, KATHERINE	DAIN TRAILER	0.00	0.37	92.0	0.00	0.34	0.00	0.34
05/09/2018 #	WHITAKER, KATHERINE	DAIN TRAILER	0.00	1.18	92.0	0.00	1.09	0.00	1.09
05/09/2018 #	RARDIN, JOHN	DAIN TRAILER	0.00	0.96	92.0	0.00	0.88	0.00	0.88
05/09/2018 #	RARDIN, JOHN	DAIN TRAILER	0.00	0.12	92.0	0.00	0.11	0.00	0.11
05/09/2018 #	RARDIN, JOHN	DAIN TRAILER	0.00	0.12	92.0	0.00	0.11	0.00	0.11
05/09/2018 #	RARDIN, JOHN	DAIN TRAILER	0.00	1.53	92.0	0.00	1.41	0.00	1.41
05/09/2018 #	STOUT, KYLE	DAIN TRAILER	0.00	4.75	92.0	0.00	4.37	0.00	4.37
05/09/2018 #	STOUT, KYLE	DAIN TRAILER	0.00	7.15	92.0	0.00	6.58	0.00	6.58
05/09/2018 #	STOUT, KYLE	DAIN TRAILER	0.00	3.71	92.0	0.00	3.41	0.00	3.41
05/09/2018 #	GUITY, NIQUICHE	DAIN TRAILER	0.00	2.87	92.0	0.00	2.64	0.00	2.64
05/09/2018 #	GUITY, NIQUICHE	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
05/09/2018 #	GUITY, NIQUICHE	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
05/09/2018 #	GUITY, NIQUICHE	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
05/09/2018 #	GUITY, NIQUICHE	DAIN TRAILER	0.00	0.20	92.0	0.00	0.18	0.00	0.18
05/09/2018 #	PENDER, PENNY	DAIN TRAILER	0.00	0.01	92.0	0.00	0.01	0.00	0.01
05/09/2018 #	LISHER, GINA	DAIN TRAILER	0.00	0.32	92.0	0.00	0.29	0.00	0.29
05/09/2018 #	LISHER, GINA	DAIN TRAILER	0.00	1.40	92.0	0.00	1.29	0.00	1.29
05/09/2018 #	LISHER, GINA	DAIN TRAILER	0.00	1.28	92.0	0.00	1.18	0.00	1.18

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	# LISHER, GINA	DAIN TRAILER	0.00	1.38	92.0	0.00	1.27	0.00	1.27
05/09/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	5.93	92.0	0.00	5.46	0.00	5.46
05/09/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	5.48	92.0	0.00	5.04	0.00	5.04
05/09/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	6.21	92.0	0.00	5.71	0.00	5.71
05/09/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	5.36	92.0	0.00	4.93	0.00	4.93
05/09/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	1.53	92.0	0.00	1.41	0.00	1.41
05/09/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	1.53	92.0	0.00	1.41	0.00	1.41
05/09/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
05/09/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
05/09/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	0.56	92.0	0.00	0.52	0.00	0.52
05/09/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.35	92.0	0.00	0.32	0.00	0.32
05/09/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.09	92.0	0.00	0.08	0.00	0.08
05/09/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.09	92.0	0.00	0.08	0.00	0.08
05/09/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	1.11	92.0	0.00	1.02	0.00	1.02
05/09/2018	# MYERS, DAVE	DAIN TRAILER	0.00	0.96	92.0	0.00	0.88	0.00	0.88
05/09/2018	# MYERS, DAVE	DAIN TRAILER	0.00	4.61	92.0	0.00	4.24	0.00	4.24
05/09/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.41	92.0	0.00	0.38	0.00	0.38
05/09/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.53	92.0	0.00	0.49	0.00	0.49
05/09/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.48	92.0	0.00	0.44	0.00	0.44
05/09/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.40	92.0	0.00	0.37	0.00	0.37
05/09/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.39	92.0	0.00	0.36	0.00	0.36
05/09/2018	# NELSON, MIKE	DAIN TRAILER	0.00	1.93	92.0	0.00	1.78	0.00	1.78
05/09/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.26	92.0	0.00	0.24	0.00	0.24
05/09/2018	# HILTE, MONTY	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
05/09/2018	# HILTE, MONTY	DAIN TRAILER	0.00	0.05	92.0	0.00	0.05	0.00	0.05
05/09/2018	# HILTE, MONTY	DAIN TRAILER	0.00	0.84	92.0	0.00	0.77	0.00	0.77
05/09/2018	# PEKAREK, MARJAN	DAIN TRAILER	0.00	0.95	92.0	0.00	0.87	0.00	0.87
05/09/2018	# PEKAREK, MARJAN	DAIN TRAILER	0.00	1.24	92.0	0.00	1.14	0.00	1.14
05/09/2018	# PEKAREK, MARJAN	DAIN TRAILER	0.00	0.66	92.0	0.00	0.61	0.00	0.61
05/09/2018	# PEKAREK, MARJAN	DAIN TRAILER	0.00	1.20	92.0	0.00	1.10	0.00	1.10
05/09/2018	# SHANDY, RONNITA	DAIN TRAILER	0.00	0.31	92.0	0.00	0.29	0.00	0.29
05/09/2018	# SHANDY, RONNITA	DAIN TRAILER	0.00	0.20	92.0	0.00	0.18	0.00	0.18
05/09/2018	# SHANDY, RONNITA	DAIN TRAILER	0.00	0.53	92.0	0.00	0.49	0.00	0.49
05/09/2018	# RARDIN, JOHN	DAIN TRAILER	0.00	1.45	92.0	0.00	1.33	0.00	1.33
05/09/2018	# RARDIN, JOHN	DAIN TRAILER	0.00	0.15	92.0	0.00	0.14	0.00	0.14
05/09/2018	# HALL, ERIN	DAIN TRAILER	0.00	0.68	92.0	0.00	0.63	0.00	0.63

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018 #	HALL, ERIN	DAIN TRAILER	0.00	0.69	92.0	0.00	0.63	0.00	0.63
05/09/2018 #	HALL, ERIN	DAIN TRAILER	0.00	0.65	92.0	0.00	0.60	0.00	0.60
05/09/2018 #	RANSOM, HERMAN	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
05/09/2018 #	RANSOM, HERMAN	DAIN TRAILER	0.00	0.09	92.0	0.00	0.08	0.00	0.08
05/09/2018 #	RANSOM, HERMAN	DAIN TRAILER	0.00	0.22	92.0	0.00	0.20	0.00	0.20
05/09/2018 #	RANSOM, HERMAN	DAIN TRAILER	0.00	0.03	92.0	0.00	0.03	0.00	0.03
05/09/2018 #	RANSOM, HERMAN	DAIN TRAILER	0.00	0.03	92.0	0.00	0.03	0.00	0.03
05/09/2018 #	HARRIMAN, DARELL	DAIN TRAILER	0.00	1.14	92.0	0.00	1.05	0.00	1.05
05/09/2018 #	HARRIMAN, DARELL	DAIN TRAILER	0.00	1.41	92.0	0.00	1.30	0.00	1.30
05/09/2018 #	MARCUM, MICHAEL	DAIN TRAILER	0.00	6.50	92.0	0.00	5.98	0.00	5.98
05/09/2018 #	MARCUM, MICHAEL	DAIN TRAILER	0.00	1.53	92.0	0.00	1.41	0.00	1.41
05/09/2018 #	WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.62	92.0	0.00	1.49	0.00	1.49
05/09/2018 #	WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	3.48	92.0	0.00	3.20	0.00	3.20
05/09/2018 #	WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.26	92.0	0.00	1.16	0.00	1.16
05/09/2018 #	WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	2.21	92.0	0.00	2.03	0.00	2.03
05/09/2018 #	WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.45	92.0	0.00	1.33	0.00	1.33
05/09/2018 #	WATSON, ROSEZENA	DAIN TRAILER	0.00	0.05	92.0	0.00	0.05	0.00	0.05
05/09/2018 #	WATSON, ROSEZENA	DAIN TRAILER	0.00	0.03	92.0	0.00	0.03	0.00	0.03
05/09/2018 #	WATSON, ROSEZENA	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
05/09/2018 #	WATSON, ROSEZENA	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
05/09/2018 #	RUSSELL, JOHN	DAIN TRAILER	0.00	2.62	92.0	0.00	2.41	0.00	2.41
05/09/2018 #	RUSSELL, JOHN	DAIN TRAILER	0.00	3.27	92.0	0.00	3.01	0.00	3.01
05/09/2018 #	RUSSELL, JOHN	DAIN TRAILER	0.00	1.34	92.0	0.00	1.23	0.00	1.23
05/09/2018 #	RUSSELL, JOHN	DAIN TRAILER	0.00	3.96	92.0	0.00	3.64	0.00	3.64
05/09/2018 #	HARDY, JAMES WILLIAM	DAIN TRAILER	0.00	2.39	92.0	0.00	2.20	0.00	2.20
05/09/2018 #	HARDY, JAMES WILLIAM	DAIN TRAILER	0.00	1.32	92.0	0.00	1.21	0.00	1.21
05/09/2018 #	RUSSELL, MEREDITH	DAIN TRAILER	0.00	2.95	92.0	0.00	2.71	0.00	2.71
05/09/2018 #	RUSSELL, MEREDITH	DAIN TRAILER	0.00	2.15	92.0	0.00	1.98	0.00	1.98
05/09/2018 #	NELSON, MIKE	DAIN TRAILER	0.00	0.43	92.0	0.00	0.40	0.00	0.40
05/09/2018 #	NYANG, LAMIN	DAIN TRAILER	0.00	0.08	92.0	0.00	0.07	0.00	0.07
05/09/2018 #	LEWANDOWSKI, KIM	DAIN TRAILER	0.00	1.25	92.0	0.00	1.15	0.00	1.15
05/09/2018 #	LEWANDOWSKI, KIM	DAIN TRAILER	0.00	0.88	92.0	0.00	0.81	0.00	0.81
05/09/2018 #	LEWANDOWSKI, KIM	DAIN TRAILER	0.00	0.33	92.0	0.00	0.30	0.00	0.30
05/09/2018 #	LEWANDOWSKI, KIM	DAIN TRAILER	0.00	1.24	92.0	0.00	1.14	0.00	1.14
05/09/2018 #	NYANG, LAMIN	DAIN TRAILER	0.00	0.12	92.0	0.00	0.11	0.00	0.11
05/09/2018 #	NYANG, LAMIN	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
05/09/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	1.06	92.0	0.00	0.98	0.00	0.98
05/09/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	1.42	92.0	0.00	1.31	0.00	1.31
05/09/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	0.27	92.0	0.00	0.25	0.00	0.25
05/09/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	0.53	92.0	0.00	0.49	0.00	0.49
05/09/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.94	92.0	0.00	0.86	0.00	0.86
05/09/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.17	92.0	0.00	0.16	0.00	0.16
05/09/2018	# BERG, ERIK	DAIN TRAILER	0.00	1.01	92.0	0.00	0.93	0.00	0.93
05/09/2018	# BERG, ERIK	DAIN TRAILER	0.00	0.65	92.0	0.00	0.60	0.00	0.60
05/09/2018	# BERG, ERIK	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
05/09/2018	# BERG, ERIK	DAIN TRAILER	0.00	0.20	92.0	0.00	0.18	0.00	0.18
05/09/2018	# MOON, MELISSA	DAIN TRAILER	0.00	2.10	92.0	0.00	1.93	0.00	1.93
05/09/2018	# MOON, MELISSA	DAIN TRAILER	0.00	1.40	92.0	0.00	1.29	0.00	1.29
05/09/2018	# HARDY, PATRICIA	DAIN TRAILER	0.00	0.99	92.0	0.00	0.91	0.00	0.91
05/09/2018	# HARDY, PATRICIA	DAIN TRAILER	0.00	0.66	92.0	0.00	0.61	0.00	0.61
05/09/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	0.85	92.0	0.00	0.78	0.00	0.78
05/09/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	0.84	92.0	0.00	0.77	0.00	0.77
05/09/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	0.37	92.0	0.00	0.34	0.00	0.34
05/09/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	0.37	92.0	0.00	0.34	0.00	0.34
05/09/2018	# BAUM, HARRY	DAIN TRAILER	0.00	4.10	92.0	0.00	3.77	0.00	3.77
05/09/2018	# BAUM, HARRY	DAIN TRAILER	0.00	0.90	92.0	0.00	0.83	0.00	0.83
05/09/2018	# BAUM, HARRY	DAIN TRAILER	0.00	0.30	92.0	0.00	0.28	0.00	0.28
05/09/2018	# BAUM, HARRY	DAIN TRAILER	0.00	7.39	92.0	0.00	6.80	0.00	6.80
05/09/2018	# BAUM, HARRY	DAIN TRAILER	0.00	5.91	92.0	0.00	5.44	0.00	5.44
05/09/2018	# BAUM, HARRY	DAIN TRAILER	0.00	0.82	92.0	0.00	0.75	0.00	0.75
05/09/2018	# DAVIDSON, JANEL	DAIN TRAILER	0.00	0.37	92.0	0.00	0.34	0.00	0.34
05/09/2018	# DAVIDSON, JANEL	DAIN TRAILER	0.00	0.33	92.0	0.00	0.30	0.00	0.30
05/09/2018	# DAVIDSON, JANEL	DAIN TRAILER	0.00	0.74	92.0	0.00	0.68	0.00	0.68
05/09/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	0.15	92.0	0.00	0.14	0.00	0.14
05/09/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	2.61	92.0	0.00	2.40	0.00	2.40
05/09/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	0.10	92.0	0.00	0.09	0.00	0.09
05/09/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	2.88	92.0	0.00	2.65	0.00	2.65
05/09/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	1.31	92.0	0.00	1.21	0.00	1.21
05/09/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
05/09/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.20	92.0	0.00	0.18	0.00	0.18
05/09/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.26	92.0	0.00	0.24	0.00	0.24
05/09/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.43	92.0	0.00	0.40	0.00	0.40

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.04	92.0	0.00	0.04	0.00	0.04
05/09/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
05/09/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
05/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	1.72	92.0	0.00	1.58	0.00	1.58
05/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	0.47	92.0	0.00	0.43	0.00	0.43
05/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	2.07	92.0	0.00	1.90	0.00	1.90
05/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	1.19	92.0	0.00	1.09	0.00	1.09
05/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	0.24	92.0	0.00	0.22	0.00	0.22
05/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	1.22	92.0	0.00	1.12	0.00	1.12
05/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	0.17	92.0	0.00	0.16	0.00	0.16
05/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	0.65	92.0	0.00	0.60	0.00	0.60
05/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	0.64	92.0	0.00	0.59	0.00	0.59
05/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	3.65	92.0	0.00	3.36	0.00	3.36
05/09/2018	# RANSOM, REBA	DAIN TRAILER	0.00	0.32	92.0	0.00	0.29	0.00	0.29
05/09/2018	# RANSOM, REBA	DAIN TRAILER	0.00	0.51	92.0	0.00	0.47	0.00	0.47
05/09/2018	# RANSOM, REBA	DAIN TRAILER	0.00	0.08	92.0	0.00	0.07	0.00	0.07
05/09/2018	# RANSOM, REBA	DAIN TRAILER	0.00	0.08	92.0	0.00	0.07	0.00	0.07
05/09/2018	# RANSOM, HERMAN	DAIN TRAILER	0.00	0.09	92.0	0.00	0.08	0.00	0.08
05/09/2018	# ALBIN, JANETTE	DAIN TRAILER	0.00	2.32	92.0	0.00	2.13	0.00	2.13
05/09/2018	# ALBIN, JANETTE	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
05/09/2018	# ALBIN, JANETTE	DAIN TRAILER	0.00	0.38	92.0	0.00	0.35	0.00	0.35
05/09/2018	# ALBIN, JANETTE	DAIN TRAILER	0.00	0.14	92.0	0.00	0.13	0.00	0.13
05/09/2018	# ALBIN, JANETTE	DAIN TRAILER	0.00	0.28	92.0	0.00	0.26	0.00	0.26
05/09/2018	# OLSON, SALLY	DAIN TRAILER	0.00	2.84	92.0	0.00	2.61	0.00	2.61
05/09/2018	# OLSON, SALLY	DAIN TRAILER	0.00	1.36	92.0	0.00	1.25	0.00	1.25
05/09/2018	# OLSON, SALLY	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
05/09/2018	# OLSON, SALLY	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
05/09/2018	# OLSON, SALLY	DAIN TRAILER	0.00	1.06	92.0	0.00	0.98	0.00	0.98
05/09/2018	# ELL, MICHAEL	DAIN TRAILER	0.00	0.04	92.0	0.00	0.04	0.00	0.04
05/09/2018	# ELL, MICHAEL	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
05/09/2018	# WILLIAMS, DARRELL	DAIN TRAILER	0.00	0.33	92.0	0.00	0.30	0.00	0.30
05/09/2018	# GALVAS, MARIE	DAIN TRAILER	0.00	0.92	92.0	0.00	0.85	0.00	0.85
05/09/2018	# GALVAS, MARIE	DAIN TRAILER	0.00	0.44	92.0	0.00	0.40	0.00	0.40
05/09/2018	# BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.18	92.0	0.00	0.17	0.00	0.17
05/09/2018	# BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.22	92.0	0.00	0.20	0.00	0.20
05/09/2018	# BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018 #	BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
05/09/2018 #	WILLIAMS, KERSTIN	DAIN TRAILER	0.00	0.37	92.0	0.00	0.34	0.00	0.34
05/09/2018 #	WILLIAMS, KERSTIN	DAIN TRAILER	0.00	0.20	92.0	0.00	0.18	0.00	0.18
05/09/2018 #	WILLIAMS, DARRELL	DAIN TRAILER	0.00	0.29	92.0	0.00	0.27	0.00	0.27
05/09/2018 #	WILLIAMS, DARRELL	DAIN TRAILER	0.00	0.23	92.0	0.00	0.21	0.00	0.21
05/09/2018 #	BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.61	92.0	0.00	0.56	0.00	0.56
05/09/2018 #	BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.19	92.0	0.00	0.17	0.00	0.17
05/09/2018 #		DAIN TRAILER	0.00	1.42	92.0	0.00	1.31	0.00	1.31
05/09/2018 #		DAIN TRAILER	0.00	0.85	92.0	0.00	0.78	0.00	0.78
05/09/2018 #	WILKERSON, TERRY	DAIN TRAILER	0.00	0.91	92.0	0.00	0.84	0.00	0.84
05/09/2018 #	WILKERSON, TERRY	DAIN TRAILER	0.00	0.45	92.0	0.00	0.41	0.00	0.41
05/09/2018 #	WILKERSON, TERRY	DAIN TRAILER	0.00	0.44	92.0	0.00	0.40	0.00	0.40
05/10/2018 #	RUSSELL, JOHN	DAIN TRAILER	100.00	5.00	92.0	0.00	4.60	0.00	4.60
05/10/2018 #	RUSSELL, JOHN	DAIN TRAILER	115.00	4.60	92.0	0.00	4.23	0.00	4.23
05/10/2018 #	RANSOM, HERMAN	DAIN TRAILER	25.00	1.25	92.0	0.00	1.15	0.00	1.15
05/11/2018 #	WILKERSON, BARBARA	DAIN TRAILER	0.00	0.05	92.0	0.00	0.05	0.00	0.05
05/11/2018 #	WILKERSON, BARBARA	DAIN TRAILER	0.00	0.05	92.0	0.00	0.05	0.00	0.05
05/11/2018 #	HARRIMAN, DARELL	DAIN TRAILER	0.00	0.19	92.0	0.00	0.17	0.00	0.17
05/11/2018 #	RUSSELL, MEREDITH	DAIN TRAILER	0.00	2.11	92.0	0.00	1.94	0.00	1.94
05/11/2018 #	BERG, ERIK	DAIN TRAILER	0.00	0.90	92.0	0.00	0.83	0.00	0.83
05/11/2018 #	GALVAN, DAMIAN	DAIN TRAILER	0.00	2.54	92.0	0.00	2.34	0.00	2.34
05/11/2018 #	AREY, GARRISON	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
05/11/2018 #	ALBIN, JANETTE	DAIN TRAILER	0.00	0.61	92.0	0.00	0.56	0.00	0.56
05/11/2018 #	RUSSELL, JOHN	DAIN TRAILER	100.00	4.75	92.0	0.00	4.37	0.00	4.37
05/11/2018 #	RUSSELL, MEREDITH	DAIN TRAILER	100.00	5.00	92.0	0.00	4.60	0.00	4.60
05/15/2018 #	LISHER, GINA	DAIN TRAILER	200.00	10.00	92.0	0.00	9.20	0.00	9.20
05/15/2018 #	RUSSELL, JOHN	DAIN TRAILER	16,679.44	0.00	92.0	0.00	0.00	0.00	0.00
05/15/2018 #	RUSSELL, JOHN	DAIN TRAILER	17,406.00	826.79	92.0	0.00	760.65	0.00	760.65
05/15/2018 #	RUSSELL, JOHN	DAIN TRAILER	17,406.00	826.79	92.0	0.00	760.65	0.00	760.65
05/23/2018 #	MCNARY, JALEESA	DAIN TRAILER	500.00	0.00	92.0	0.00	0.00	0.00	0.00
05/23/2018 #	STOUT, KYLE	DAIN TRAILER	18,232.02	0.00	92.0	0.00	0.00	0.00	0.00
05/23/2018 #	STOUT, KYLE	DAIN TRAILER	23,244.91	0.00	92.0	0.00	0.00	0.00	0.00
05/23/2018 #	MCNARY, JALEESA	DAIN TRAILER	400.00	0.00	92.0	0.00	0.00	0.00	0.00
05/23/2018 #	STOUT, KYLE	DAIN TRAILER	19,875.00	944.06	92.0	0.00	868.54	0.00	868.54
05/23/2018 #	STOUT, KYLE	DAIN TRAILER	19,875.00	944.06	92.0	0.00	868.54	0.00	868.54
05/25/2018 #		DAIN TRAILER	5,000.00	237.50	92.0	0.00	218.50	0.00	218.50



COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
05/25/2018 #		DAIN TRAILER	5,000.00	200.00	92.0	0.00	184.00	0.00	184.00
05/25/2018 #		DAIN TRAILER	1,505.00	71.49	92.0	0.00	65.77	0.00	65.77
05/29/2018 #	EUBANKS, WILLONE	DAIN TRAILER	151.07	0.00	92.0	0.00	0.00	0.00	0.00
05/29/2018 #	EUBANKS, WILLONE	DAIN TRAILER	1,318.95	0.00	92.0	0.00	0.00	0.00	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$147,508.39	\$4,319.08		\$0.00	\$3,973.55	\$0.00	\$3,973.55
*** BROKER TRANSACTIONS TOTAL ***			\$3,320,735.86	\$7,087.52		\$0.00	\$6,520.63	\$80.00	\$6,440.63

ADJUSTMENTS

06/20/2018	ADMIN FEE: ADMIN FEE	-320.00
06/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$6,440.63
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,941.63
--------------	------------