



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**July 15, 2017**

07/12/2017  
 Page No. 1

G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRELLI/  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: G0B  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
^07/11/2017	JOHNSON FAMILY TRUST	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	100.0	0.00
^07/11/2017	JOHNSON FAMILY TRUST	GPB WASTE MANAGEMENT LP	130,000.00	9,100.00	0.00	9,100.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$230,000.00	\$16,100.00	\$0.00	\$16,100.00		\$0.00
<b><u>PRODUCT CATEGORY: REIT</u></b>								
^06/22/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	17.98	0.00	17.98	100.0	0.00
* REIT SUBTOTAL *			\$0.00	\$17.98	\$0.00	\$17.98		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^06/27/2017	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	67,000.00	0.00	22.00	-22.00	100.0	0.00
^06/27/2017	DOLCE, JENNIFER	CATALYST/MILLBURN HEDGE STRATEGY FU	135,000.00	0.00	22.00	-22.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$202,000.00	\$0.00	\$44.00	\$-44.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^06/08/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	5.43	0.00	5.43	100.0	0.00
^06/21/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	13.64	0.00	13.64	100.0	0.00
^06/21/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.55	0.00	5.55	100.0	0.00
^06/21/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.93	0.00	2.93	100.0	0.00
^06/21/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.58	0.00	9.58	100.0	0.00
^06/21/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.47	0.00	2.47	100.0	0.00
^06/21/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	11.21	0.00	11.21	100.0	0.00
^06/21/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.49	0.00	3.49	100.0	0.00
^06/21/2017	BENJAMIN, WAYNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.07	0.00	0.07	100.0	0.00
^06/21/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.43	0.00	8.43	100.0	0.00
^06/21/2017	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	8.97	0.00	8.97	100.0	0.00
^06/21/2017	STARK, LINDA	MAINSTAY MAP A	0.00	1.85	0.00	1.85	100.0	0.00
^06/21/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	1.76	0.00	1.76	100.0	0.00
^06/09/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	8.36	0.00	8.36	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^06/19/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	10.38	0.00	10.38	100.0	0.00
^06/14/2017	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.32	0.00	0.32	100.0	0.00
^06/14/2017	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.83	0.00	0.83	100.0	0.00
^06/20/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.81	0.00	0.81	100.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$96.08</b>	<b>\$0.00</b>	<b>\$96.08</b>		<b>\$0.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$432,000.00</b>	<b>\$16,214.06</b>	<b>\$44.00</b>	<b>\$16,170.06</b>		<b>\$0.00</b>

**ADJUSTMENTS**

07/15/2017	*MISC: REF/PUG&NISS	0.00						0.00
07/15/2017	*PERSHING: BOOKS AND RECORDS	0.00						0.01
07/15/2017	*PERSHING: MARK UPS	0.00						0.00
07/15/2017	*TECH: ADMIN FULMER	0.00						0.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.01</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.08
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.09</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed