

**COMMISSION STATEMENT****May 31, 2018**KEITH, L128 LAYMAN
4321 FlagstaffBROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
05/17/2018	AMBRIOLE, JANICE	ATLAS CAPITAL MANAGEMENT	18,477.51	45.56	0.00	45.56	80.0	36.45
05/17/2018	ASHBAUGH, SUSANNA	ATLAS CAPITAL MANAGEMENT	20,434.67	50.39	0.00	50.39	80.0	40.31
05/17/2018	BUSH, RANDALL	ATLAS CAPITAL MANAGEMENT	78,444.86	193.43	0.00	193.43	80.0	154.74
05/17/2018	BUSH, SANDRA	ATLAS CAPITAL MANAGEMENT	26,082.07	64.31	0.00	64.31	80.0	51.45
05/17/2018	BYRUM, GERALD	ATLAS CAPITAL MANAGEMENT	48,883.12	120.54	0.00	120.54	80.0	96.43
05/17/2018	BYRUM, GERALD	ATLAS CAPITAL MANAGEMENT	37,724.38	93.02	0.00	93.02	80.0	74.42
05/17/2018	COLE, TIMOTHY	ATLAS CAPITAL MANAGEMENT	36,860.68	90.89	0.00	90.89	80.0	72.71
05/17/2018	DIETSCH, DANIEL	ATLAS CAPITAL MANAGEMENT	12,242.66	30.19	0.00	30.19	80.0	24.15
05/17/2018	DIETSCH, RHONDA	ATLAS CAPITAL MANAGEMENT	12,027.43	29.66	0.00	29.66	80.0	23.73
05/17/2018	DODGE, BRUCE	ATLAS CAPITAL MANAGEMENT	89,528.97	24.27	0.00	24.27	80.0	19.42
05/17/2018	FEIPEL, ESTHER	ATLAS CAPITAL MANAGEMENT	17,122.07	42.22	0.00	42.22	80.0	33.78
05/17/2018	FORTE, ANTHONY	ATLAS CAPITAL MANAGEMENT	55,131.62	134.95	0.00	134.95	80.0	107.96
05/17/2018	GALENTINE, ASHLEY	ATLAS CAPITAL MANAGEMENT	40,432.86	99.70	0.00	99.70	80.0	79.76
05/17/2018	GALENTINE, JOSHUA	ATLAS CAPITAL MANAGEMENT	25,272.88	62.32	0.00	62.32	80.0	49.86
05/17/2018	GETTYS, JARRAD	ATLAS CAPITAL MANAGEMENT	34,851.54	46.15	0.00	46.15	80.0	36.92
05/17/2018	GREENWALT, PAUL	ATLAS CAPITAL MANAGEMENT	81,930.86	204.61	0.00	204.61	80.0	163.69
05/17/2018	GUNSOLLEY, MARY	ATLAS CAPITAL MANAGEMENT	76,169.87	140.86	0.00	140.86	80.0	112.69
05/17/2018	HELLER, MONICA	ATLAS CAPITAL MANAGEMENT	5,329.47	13.14	0.00	13.14	80.0	10.51
05/17/2018	HELLER, MONICA	ATLAS CAPITAL MANAGEMENT	1,238.85	3.06	0.00	3.06	80.0	2.45
05/17/2018	HOLLIS, JAMES	ATLAS CAPITAL MANAGEMENT	9,905.25	24.43	0.00	24.43	80.0	19.54
05/17/2018	JOHNSON, JAMES	ATLAS CAPITAL MANAGEMENT	63,920.00	157.61	0.00	157.61	80.0	126.09
05/17/2018	JORDAN, NEAL	ATLAS CAPITAL MANAGEMENT	197,960.24	488.12	0.00	488.12	80.0	390.50
05/17/2018	KEMERLY, JASON	ATLAS CAPITAL MANAGEMENT	37,467.98	91.78	0.00	91.78	80.0	73.42
05/17/2018	LALLO, CHRISTINA	ATLAS CAPITAL MANAGEMENT	288,178.50	698.81	0.00	698.81	80.0	559.05
05/17/2018	LIPSCOMB, JOAN	ATLAS CAPITAL MANAGEMENT	56,679.21	139.76	0.00	139.76	80.0	111.81
05/17/2018	LIPSCOMB, SAMUEL	ATLAS CAPITAL MANAGEMENT	57,511.11	141.81	0.00	141.81	80.0	113.45

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
05/17/2018	MIZNER, FREDRIC	ATLAS CAPITAL MANAGEMENT	17,374.18	42.84	0.00	42.84	80.0	34.27
05/17/2018	MURPHY, SUSAN	ATLAS CAPITAL MANAGEMENT	364,482.20	863.44	0.00	863.44	80.0	690.75
05/17/2018	MUSSEY, LORI	ATLAS CAPITAL MANAGEMENT	23,321.92	57.51	0.00	57.51	80.0	46.01
05/17/2018	NEWBAUER, CAMERON	ATLAS CAPITAL MANAGEMENT	0.00	203.60	0.00	203.60	80.0	162.88
05/17/2018	PLATT, GINA	ATLAS CAPITAL MANAGEMENT	0.00	27.45	0.00	27.45	80.0	21.96
05/17/2018	RAABE, MARIANNE	ATLAS CAPITAL MANAGEMENT	15,779.95	38.47	0.00	38.47	80.0	30.78
05/17/2018	RAMEY, JENNIFER	ATLAS CAPITAL MANAGEMENT	17,812.63	43.92	0.00	43.92	80.0	35.14
05/17/2018	REMENSCHNEIDER, DAVID	ATLAS CAPITAL MANAGEMENT	20,980.32	51.73	0.00	51.73	80.0	41.38
05/17/2018	REMENSCHNEIDER, DAVID	ATLAS CAPITAL MANAGEMENT	44,852.77	110.60	0.00	110.60	80.0	88.48
05/17/2018	ROBINSON, MINDY	ATLAS CAPITAL MANAGEMENT	51,320.72	126.55	0.00	126.55	80.0	101.24
05/17/2018	ROBINSON, MINDY	ATLAS CAPITAL MANAGEMENT	11,630.36	28.68	0.00	28.68	80.0	22.94
05/17/2018	ROGERS, BRADLEY	ATLAS CAPITAL MANAGEMENT	2,611.19	6.44	0.00	6.44	80.0	5.15
05/17/2018	ROGERS, JEAN	ATLAS CAPITAL MANAGEMENT	2,559.53	6.31	0.00	6.31	80.0	5.05
05/17/2018	ROSS, DEBRA	ATLAS CAPITAL MANAGEMENT	125,350.32	309.09	0.00	309.09	80.0	247.27
05/17/2018	ROTHGEB, KELLY	ATLAS CAPITAL MANAGEMENT	13,778.75	31.06	0.00	31.06	80.0	24.85
05/17/2018	SAINSBURY, PATRICIA	ATLAS CAPITAL MANAGEMENT	388,984.07	887.54	0.00	887.54	80.0	710.03
05/17/2018	SAMMETINGER, EVELYN	ATLAS CAPITAL MANAGEMENT	39,949.09	98.51	0.00	98.51	80.0	78.81
05/17/2018	SAMUDIO, LEE	ATLAS CAPITAL MANAGEMENT	245,237.24	604.70	0.00	604.70	80.0	483.76
05/17/2018	SCHENKE, JOSEPH	ATLAS CAPITAL MANAGEMENT	25,023.98	61.71	0.00	61.71	80.0	49.37
05/17/2018	SIEBER, AARON	ATLAS CAPITAL MANAGEMENT	60,772.61	149.85	0.00	149.85	80.0	119.88
05/17/2018	SIEBER, AARON	ATLAS CAPITAL MANAGEMENT	187,603.46	0.00	0.00	0.00	80.0	0.00
05/17/2018	SORG, MARK	ATLAS CAPITAL MANAGEMENT	45,828.04	98.04	0.00	98.04	80.0	78.43
05/17/2018	SORG, MARK	ATLAS CAPITAL MANAGEMENT	32,417.19	79.94	0.00	79.94	80.0	63.95
05/17/2018	THOMAS, THELMA	ATLAS CAPITAL MANAGEMENT	137,102.49	340.95	0.00	340.95	80.0	272.76
05/17/2018	TULLOCH, DAVID	ATLAS CAPITAL MANAGEMENT	31,420.56	77.48	0.00	77.48	80.0	61.98
05/17/2018	TUTTLE, THOMAS	ATLAS CAPITAL MANAGEMENT	197,813.11	502.16	0.00	502.16	80.0	401.73
05/17/2018	WARSTLER, MARK	ATLAS CAPITAL MANAGEMENT	19,243.34	47.45	0.00	47.45	80.0	37.96
05/17/2018	WILBANKS, GREGORY	ATLAS CAPITAL MANAGEMENT	58,475.83	144.19	0.00	144.19	80.0	115.35
05/17/2018	WILLING, LEONARD	ATLAS CAPITAL MANAGEMENT	151,797.46	374.30	0.00	374.30	80.0	299.44
05/17/2018	WINANS, BEVERLY	ATLAS CAPITAL MANAGEMENT	8,974.48	25.84	0.00	25.84	80.0	20.67
05/01/2018	COX, JAMES	CLS INVESTMENTS	43,898.51	108.49	0.00	108.49	80.0	86.79
05/01/2018	HEES, TAMERA	CLS INVESTMENTS	41,522.13	122.30	0.00	122.30	80.0	97.84
04/30/2018	HATTERY, KARI	JEFFERSON NATIONAL	17,275.97	64.78	0.00	64.78	80.0	51.82

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
04/30/2018	WITMER, NEIL	JEFFERSON NATIONAL	103,724.61	259.31	0.00	259.31	80.0	207.45
05/08/2018	LYTLE, KEAN	TD AMERITRADE	98,215.93	322.90	0.00	322.90	80.0	258.32
05/08/2018	HARMEYER, SHARYN	TD AMERITRADE	243,396.06	670.17	0.00	670.17	80.0	536.14
* RIA SUBTOTAL *			\$4,320,339.66	\$10,219.89	\$0.00	\$10,219.89		\$8,175.92
*** DIRECT TRANSACTIONS TOTAL ***			\$4,320,339.66	\$10,219.89	\$0.00	\$10,219.89		\$8,175.92

<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
05/17/2018	MOUNSEY, CHRISTINE	ATLAS CAPITAL MANAGEMENT	36,150.81	31.20	0.00	31.20	80.0	24.96
05/17/2018	WILLIAMS, RENETTA	ATLAS CAPITAL MANAGEMENT	11,173.30	9.64	0.00	9.64	80.0	7.71
05/25/2018	GROTE, DONALD	JEFFERSON NATIONAL	141,444.45	123.77	0.00	123.77	80.0	99.02
04/27/2018	FIRE POLICE CITY, DB PLAN	PRINCIPAL LIFE INS	0.00	677.35	0.00	677.35	80.0	541.88
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$188,768.56	\$841.96	\$0.00	\$841.96		\$673.57
*** SPLIT TRANSACTIONS TOTAL ***			\$188,768.56	\$841.96	\$0.00	\$841.96		\$673.57

<u>OVERRIDE TRANSACTIONS</u>								
BROKER: WILLIAM, L129 LAYMAN #207								
05/17/2018	BROWN, MATTHEW	ATLAS CAPITAL MANAGEMENT	60,795.96	110.11	0.00	110.11	45.0	49.55
05/17/2018	BRUNTON, WILLIAM	ATLAS CAPITAL MANAGEMENT	19,692.95	48.56	0.00	48.56	45.0	21.85
05/17/2018	LINN, ROSANNA	ATLAS CAPITAL MANAGEMENT	15,205.42	37.50	0.00	37.50	45.0	16.88
05/17/2018	REHM, TAMARA	ATLAS CAPITAL MANAGEMENT	5,438.30	13.41	0.00	13.41	45.0	6.03
* WILLIAM, L129 LAYMAN SUBTOTAL *			\$101,132.63	\$209.58	\$0.00	\$209.58		\$94.31
*** OVERRIDE TRANSACTIONS TOTAL ***			\$101,132.63	\$209.58	\$0.00	\$209.58		\$94.31

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<u>ADJUSTMENTS</u>								
05/31/2018		*FINRA: MI RA REG FEE	0.00					-65.00
05/31/2018		*FINRA: NV RA REG FEE	0.00					-110.00
05/31/2018		*MANAGED FEE EXPENSE: PERSHING ETF PROSPECTUS	0.00					-5.40
05/31/2018		*MANAGED FEE EXPENSE: PERSHING MF PROSPECTUS	0.00					-11.15
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-191.55

BROKER COMMISSION TOTALS

Broker Transactions	\$8,175.92
Split Transactions	\$673.57
Override Transactions	\$94.31
Adjustments	\$-191.55
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,752.25
Year-to-date Gross	\$186,478.75
Year-to-date Earnings	\$150,435.84

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