

## COMMISSION STATEMENT

December 31, 2017

BILLY BEHRENS  
183 GRIFFEN AVENUE

BROKER #: 72  
BRANCH: HOME OFFICE

SCARSDALE, NY 10583

COMMISSION STATEMENT for BILLY BEHRENS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/20/2017	75501373	BANDLER, BABETTE	GENERAL ELECTRIC CO	B	35,180.00	55.00	0.00	55.00	50.0 27.50
12/18/2017	75501377	STEINBERG, SHOSHANA	COHEN & STEERS QUALITY INCOME RLTY	B	12,680.00	55.00	0.00	55.00	50.0 27.50
12/18/2017	75501378	BANDLER, LIONEL	COHEN & STEERS QUALITY INCOME RLTY	B	25,360.00	55.00	0.00	55.00	50.0 27.50
12/20/2017	75501379	BANDLER, JAMES	COHEN & STEERS QUALITY INCOME RLTY	B	18,750.00	55.00	0.00	55.00	50.0 27.50
12/11/2017	75501438	NOVEMBER, WILLIAM	L&L ENERGY INC	S	6.20	0.00	0.00	0.00	50.0 0.00
12/18/2017	75501468	HAUSHALTER, HARRY	CREDIT SUISSE AG NASSAU BRH VELOCIT S		10.87	0.00	0.00	0.00	50.0 0.00
12/18/2017	75501468	HAUSHALTER, HARRY	HOUSTON AMERICAN ENERGY CORP	S	4,509.40	200.00	0.00	200.00	50.0 100.00
11/29/2017	75501469	SKROCKI, RICHARD	BLACKROCK MUNIHOLDINGS NEW JERSEY Q B		148,632.44	350.00	0.00	350.00	50.0 175.00
11/29/2017	75501469	SKROCKI, RICHARD	NUVEEN NEW JERSEY DIVID ADVANTAGE M B		99,197.07	350.00	0.00	350.00	50.0 175.00
12/01/2017	75501469	SKROCKI, RICHARD	BLACKROCK MUNIHOLDINGS NEW JERSEY Q B		2,987.23	115.00	0.00	115.00	50.0 57.50
12/07/2017	75501472	SKROCKI, RICHARD	MFS INTERMEDIATE INCOME TRUST SH BE B		2,477.10	120.00	0.00	120.00	50.0 60.00
12/07/2017	75501472	SKROCKI, RICHARD	MFS INTERMEDIATE INCOME TRUST SH BE S		-2,477.10	-120.00	0.00	-120.00	50.0 -60.00 C
12/07/2017	75501472	SKROCKI, RICHARD	MFS INTERMEDIATE INCOME TRUST SH BE B		2,477.10	110.00	0.00	110.00	50.0 55.00
* STOCKS SUBTOTAL *				\$349,790.31	\$1,345.00	\$0.00	\$1,345.00		\$672.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/11/2017	75501457	CANIZARES, JORGE	MFS VALUE FUND-A	B	0.00	0.01	0.00	0.01	50.0 0.00
12/11/2017	75501472	SKROCKI, RICHARD	LORD ABBETT NEW JERSEY TAX FREE INC B		0.00	2.58	0.00	2.58	50.0 1.29
12/11/2017	75501489	CONLON, ITA	FRANKLIN NEW YORK TAX-FREE INCOME F B		0.00	15.89	0.00	15.89	50.0 7.95
12/11/2017	75501489	CONLON, ITA	ROCHESTER FUND MUNICIPALS A	B	0.00	24.21	0.00	24.21	50.0 12.11
12/11/2017	75501492	VECKER, LAWRENCE	**VOYA SER FD INC MIDCAP	B	0.00	3.16	0.00	3.16	50.0 1.58
12/11/2017	75501530	GREENE, HADLEY	LORD ABBETT SHORT DURATION INCOME F B		0.00	20.86	0.00	20.86	50.0 10.43
12/11/2017	75501530	GREENE, HADLEY	LORD ABBETT SECS TR VALUE OPPTYS FD B		0.00	5.91	0.00	5.91	50.0 2.96
12/11/2017	75501909	DERBY, ROSEMARIE	PUTNAM NEW OPPORTUNITIES FUND CLASS B		0.00	0.88	0.00	0.88	50.0 0.00
12/11/2017	75501931	MELANSON, MARK	BOND-DEBENTURE FUND CLASS A	B	0.00	3.43	0.00	3.43	50.0 1.72
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$76.93	\$0.00	\$76.93		\$38.04
*** BROKER TRANSACTIONS TOTAL ***				\$349,790.31	\$1,421.93	\$0.00	\$1,421.93		\$710.54

**BROKER COMMISSION TOTALS**

Broker Transactions	\$710.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.78
SIPC Assessment	\$-3.55

<b>Check Amount</b>	<b>\$705.21</b>
<b>Year-to-date Earnings</b>	<b>\$6,467.74</b>