



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 30, 2018

05/02/2018
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TIMOTHY, S118 STAUFFER
333 Fiddlers Cove

BROKER #: S118
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
04/11/2018	BYERLEY, WAYNE & KATHLEE	GABELLI UTIL TR	6,024.05	78.00	22.50	55.50	90.0	49.95
04/24/2018	SHERRILL, TIMOTHY	NATIONAL GRID PLC SPON ADR NEW 2017	-22,821.83	44.00	22.61	21.39	90.0	19.25
* STOCKS SUBTOTAL *			\$-16,797.78	\$122.00	\$45.11	\$76.89		\$69.20
*** CLEARING TRANSACTIONS TOTAL ***			\$-16,797.78	\$122.00	\$45.11	\$76.89		\$69.20

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
04/06/2018	ZEHR, BERTHA	COTTONWOOD MULTIFAMILY REIT II	10,000.00	600.00	0.00	600.00	90.0	540.00
* REIT SUBTOTAL *			\$10,000.00	\$600.00	\$0.00	\$600.00		\$540.00

<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	SAUDERS, RITA	PROFUNDS MONEY MARKET-SVC	0.00	4.50	0.00	4.50	90.0	4.05
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$4.50	\$0.00	\$4.50		\$4.05

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	ALLES, JENIFER	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.35	0.00	0.35	90.0	0.32
/ /	SMITH, ERIC	INA 2019 ENROLLMENT PORT CLASS A	0.00	1.62	0.00	1.62	90.0	1.46
/ /	SMITH, LANCE	INA 2019 ENROLLMENT PORT CLASS A	0.00	0.82	0.00	0.82	90.0	0.74
/ /	ROPP, ROBIN	INA 2019 ENROLLMENT PORT CLASS A	0.00	2.34	0.00	2.34	90.0	2.11
/ /	SMITH, ERIC	INA 2022 ENROLLMENT PORT CLASS A	0.00	1.68	0.00	1.68	90.0	1.51
/ /	SMITH, LANCE	INA 2022 ENROLLMENT PORT CLASS A	0.00	0.87	0.00	0.87	90.0	0.78
/ /	ROPP, ROBIN	INA 2022 ENROLLMENT PORT CLASS A	0.00	2.42	0.00	2.42	90.0	2.18
/ /	SMITH, ERIC	INA COLLEGE PORT CLASS A	0.00	1.53	0.00	1.53	90.0	1.38
/ /	SMITH, LANCE	INA COLLEGE PORT CLASS A	0.00	0.76	0.00	0.76	90.0	0.68
/ /	ROPP, ROBIN	INA COLLEGE PORT CLASS A	0.00	2.26	0.00	2.26	90.0	2.03
/ /	SAUDERS, RITA	PROFUNDS MONEY MARKET-SVC	0.00	1.80	0.00	1.80	90.0	1.62

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	MILLER, SEAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.51	0.00	0.51	90.0	0.46
/ /	BRENN, BRIAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.07	0.00	0.07	90.0	0.06
/ /	MILLER, SEAN	RYDEX GUGG ENERGY C	0.00	1.18	0.00	1.18	90.0	1.06
/ /	KROUSE, ANDRE	RYDEX GUGG ENERGY C	0.00	0.88	0.00	0.88	90.0	0.79
/ /	BRENN, BRIAN	RYDEX GUGG ENERGY C	0.00	0.05	0.00	0.05	90.0	0.05
/ /	ALLES, JENIFER	RYDEX GUGG PRECIOUS METALS A	0.00	0.25	0.00	0.25	90.0	0.23
/ /	MILLER, SEAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.57	0.00	0.57	90.0	0.51
/ /	KROUSE, ANDRE	RYDEX GUGG PRECIOUS METALS C	0.00	0.77	0.00	0.77	90.0	0.69
/ /	BRENN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	0.00	0.06	90.0	0.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$20.79	\$0.00	\$20.79		\$18.71
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/05/2018	REICHARD, DEBRA	ING ESII	31,111.71	19.44	0.00	19.44	90.0	17.50
04/16/2018	REICHARD, THOMAS	ING ESII	60,440.46	37.78	0.00	37.78	90.0	34.00
04/02/2018	STAUFFER, ARLEN	PAC LIFE INNOVATIVE SELECT	0.00	39.05	0.00	39.05	90.0	35.15
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$91,552.17	\$96.27	\$0.00	\$96.27		\$86.65
*** DIRECT TRANSACTIONS TOTAL ***			\$101,552.17	\$721.56	\$0.00	\$721.56		\$649.41

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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BROKER COMMISSION TOTALS

Broker Transactions	\$718.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$718.61
Year-to-date Gross	\$55,100.40
Year-to-date Earnings	\$49,143.63