

COMMISSION STATEMENT June 30, 2018

JOY LAWRENCE-D098

BROKER #: D098

BRANCH: DMA

, TX

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
06/07/2018	184047863	CHHEN, KUONG	MF	FIDELITY ADVISOR MID CAP STOCK	S	102.45	2.75	2.50	0.25 50.0 0.13
06/07/2018	184047863	CHHEN, KUONG	MF	FIDELITY ADVISOR LARGE CAP	S	102.45	2.75	2.50	0.25 50.0 0.13
				* MUTUAL FUNDS SUBTOTAL *		\$204.90	\$5.50	\$5.00	\$0.50 \$0.26
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/21/2018	mfc946954	LAWRENCE JOY	946954	MFT AB HIGH INCOME A	B	0.00	5.06	0.00	5.06 50.0 2.53
06/21/2018	mfc946954	LAWRENCE JOY	946954	MFT ALLIANCE BERNSTEIN SMALL/MID	B	0.00	2.66	0.00	2.66 50.0 1.33
06/21/2018	mfc946954	LAWRENCE JOY	946954	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.99	0.00	0.99 50.0 0.50
06/21/2018	mfc946954	LAWRENCE JOY	946954	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	12.99	0.00	12.99 50.0 6.50
06/21/2018	mfc946954	LAWRENCE JOY	946954	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	4.14	0.00	4.14 50.0 2.07
06/21/2018	mfc946954	LAWRENCE JOY	946954	MFT ALLIANCEBERNSTEIN GLOBAL	B	0.00	25.28	0.00	25.28 50.0 12.64
06/21/2018	mfc946954	LAWRENCE JOY	946954	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	22.26	0.00	22.26 50.0 11.13
06/21/2018	mfc946954	LAWRENCE JOY	946954	MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	2.11	0.00	2.11 50.0 1.06
06/21/2018	mfc946954	LAWRENCE JOY	946954	MFT EATON VANCE GOVT OBLIGATIONS	B	0.00	6.42	0.00	6.42 50.0 3.21
06/21/2018	mfc946954	LAWRENCE JOY	946954	MFT EMPIRIC 2500 FD A	B	0.00	7.36	0.00	7.36 50.0 3.68
06/21/2018	mfc946954	LAWRENCE JOY	946954	MFT FIDELITY ADVISOR LARGE CAP	B	0.00	8.60	0.00	8.60 50.0 4.30
06/21/2018	mfc946954	LAWRENCE JOY	946954	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	8.43	0.00	8.43 50.0 4.22
06/21/2018	mfc946954	LAWRENCE JOY	946954	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	21.87	0.00	21.87 50.0 10.94
06/21/2018	mfc946954	LAWRENCE JOY	946954	MFT FIDELITY ADVISOR FUNDS	B	0.00	19.42	0.00	19.42 50.0 9.71
06/21/2018	mfc946954	LAWRENCE JOY	946954	MFT MFS MID CAP GROWTH FUND-C	B	0.00	17.65	0.00	17.65 50.0 8.83
06/21/2018	mfc946954	LAWRENCE JOY	946954	MFT PUTNAM 746802800	B	0.00	14.00	0.00	14.00 50.0 7.00
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$179.24	\$0.00	\$179.24 \$89.65
				*** CLEARING TRANSACTIONS TOTAL ***		\$204.90	\$184.74	\$5.00	\$179.74 \$89.91

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/15/2018	00551135830	CHHEN, KUONG	MF	PUTNAM ABSOLUTE RETURN 700-A	B	540.00	10.80	0.00	10.80	50.0	5.40
06/15/2018	00551137086	CHHEN, CHAN	MF	PUTNAM ABSOLUTE RETURN 700-A	B	540.00	10.80	0.00	10.80	50.0	5.40
* MUTUAL FUNDS SUBTOTAL *						\$1,080.00	\$21.60	\$0.00	\$21.60		\$10.80
*** DIRECT TRANSACTIONS TOTAL ***						\$1,080.00	\$21.60	\$0.00	\$21.60		\$10.80

ADJUSTMENTS TRANSACTIONS

BROKER: #0

06/30/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		9.75
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$9.75
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$9.75

BROKER COMMISSION TOTALS

Broker Transactions	\$100.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$9.75
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$110.46
Year-to-date Commissions	\$10,036.13