

**COMMISSION STATEMENT****February 15, 2018**JEFFREY, K119 KAUFMAN
801 Gray Oak DriveBROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/25/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	1,230.76	14.77	0.00	14.77	90.0	13.29
01/25/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	441.64	5.30	0.00	5.30	90.0	4.77
01/25/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	1,230.76	14.77	0.00	14.77	90.0	13.29
01/25/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	441.64	5.30	0.00	5.30	90.0	4.77
01/25/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.50	0.00	0.50	90.0	0.45
01/25/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.50	0.00	0.50	90.0	0.45
01/25/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.50	0.00	0.50	90.0	0.45
01/25/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.50	0.00	0.50	90.0	0.45
01/25/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	145.17	1.74	0.00	1.74	90.0	1.57
01/25/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	87.10	1.05	0.00	1.05	90.0	0.95
01/25/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	92.49	1.11	0.00	1.11	90.0	1.00
01/25/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	154.14	1.85	0.00	1.85	90.0	1.67
01/25/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.20	0.00	0.20	90.0	0.18
01/25/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.20	0.00	0.20	90.0	0.18
01/25/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.20	0.00	0.20	90.0	0.18
01/25/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.20	0.00	0.20	90.0	0.18
01/25/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	34.27	0.41	0.00	0.41	90.0	0.37
01/25/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	34.27	0.41	0.00	0.41	90.0	0.37
01/25/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	29.97	0.36	0.00	0.36	90.0	0.32
01/25/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	29.97	0.36	0.00	0.36	90.0	0.32
01/25/2018	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	45.96	0.55	0.00	0.55	90.0	0.50
01/25/2018	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	45.96	0.55	0.00	0.55	90.0	0.50
01/25/2018	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.98	0.66	0.00	0.66	90.0	0.59
01/25/2018	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.98	0.66	0.00	0.66	90.0	0.59
01/25/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.99	0.49	0.00	0.49	90.0	0.44
01/25/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	43.81	0.53	0.00	0.53	90.0	0.48

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/25/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.99	0.49	0.00	0.49	90.0	0.44
01/25/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	43.81	0.53	0.00	0.53	90.0	0.48
01/25/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	58.08	0.70	0.00	0.70	90.0	0.63
01/25/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	58.08	0.70	0.00	0.70	90.0	0.63
01/25/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	73.74	0.88	0.00	0.88	90.0	0.79
01/25/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	73.74	0.88	0.00	0.88	90.0	0.79
01/25/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	378.98	4.55	0.00	4.55	90.0	4.10
01/25/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	162.42	1.95	0.00	1.95	90.0	1.76
01/25/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	162.42	1.95	0.00	1.95	90.0	1.76
01/25/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	378.98	4.55	0.00	4.55	90.0	4.10
01/25/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN BALANCED A	929.40	11.15	0.00	11.15	90.0	10.04
01/25/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN BALANCED A	278.82	3.35	0.00	3.35	90.0	3.02
01/25/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN BALANCED A	929.40	11.15	0.00	11.15	90.0	10.04
01/25/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN BALANCED A	278.82	3.35	0.00	3.35	90.0	3.02
02/02/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN BALANCED A	7.52	0.08	0.00	0.08	90.0	0.07
02/02/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN BALANCED A	133.36	1.33	0.00	1.33	90.0	1.20
02/02/2018	MEDLEY, LISA	AM FUNDS AMERICAN BALANCED A	272.24	2.72	0.00	2.72	90.0	2.45
02/02/2018	MEDLEY, LISA	AM FUNDS AMERICAN BALANCED A	49.59	0.50	0.00	0.50	90.0	0.45
02/02/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN HIGH-INC MUNI BON	7.52	0.08	0.00	0.08	90.0	0.07
02/02/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN HIGH-INC MUNI BON	133.34	1.33	0.00	1.33	90.0	1.20
01/25/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	65.92	0.79	0.00	0.79	90.0	0.71
01/25/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	24.72	0.30	0.00	0.30	90.0	0.27
01/25/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	65.92	0.79	0.00	0.79	90.0	0.71
01/25/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	24.72	0.30	0.00	0.30	90.0	0.27
01/25/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	199.16	2.39	0.00	2.39	90.0	2.15
01/25/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	59.75	0.72	0.00	0.72	90.0	0.65
01/25/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	59.75	0.72	0.00	0.72	90.0	0.65
01/25/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	199.16	2.39	0.00	2.39	90.0	2.15
01/25/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	43.08	0.52	0.00	0.52	90.0	0.47
01/25/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	43.08	0.52	0.00	0.52	90.0	0.47
01/25/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	41.29	0.50	0.00	0.50	90.0	0.45
01/25/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	41.29	0.50	0.00	0.50	90.0	0.45
01/25/2018	KEATON, LINDSY	AM FUNDS BALANCED PORT A	36.00	0.43	0.00	0.43	90.0	0.39

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/25/2018	KEATON, LINDSY	AM FUNDS BALANCED PORT A	36.00	0.43	0.00	0.43	90.0	0.39
01/25/2018	KEATON, LINDSY	AM FUNDS BALANCED PORT A	36.00	0.43	0.00	0.43	90.0	0.39
01/25/2018	KEATON, LINDSY	AM FUNDS BALANCED PORT A	36.00	0.43	0.00	0.43	90.0	0.39
01/25/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	553.00	6.64	0.00	6.64	90.0	5.98
01/25/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	553.00	6.64	0.00	6.64	90.0	5.98
01/25/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	173.66	2.08	0.00	2.08	90.0	1.87
01/25/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	173.66	2.08	0.00	2.08	90.0	1.87
01/25/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	41.80	0.50	0.00	0.50	90.0	0.45
01/25/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	20.90	0.25	0.00	0.25	90.0	0.23
01/25/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	41.80	0.50	0.00	0.50	90.0	0.45
01/25/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	20.90	0.25	0.00	0.25	90.0	0.23
01/25/2018	KILEY, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	199.16	2.39	0.00	2.39	90.0	2.15
01/25/2018	KILEY, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	59.75	0.72	0.00	0.72	90.0	0.65
01/25/2018	KILEY, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	199.16	2.39	0.00	2.39	90.0	2.15
01/25/2018	KILEY, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	59.75	0.72	0.00	0.72	90.0	0.65
01/22/2018	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	188.46	3.77	0.00	3.77	90.0	3.39
01/25/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	49.44	0.59	0.00	0.59	90.0	0.53
01/25/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	18.54	0.22	0.00	0.22	90.0	0.20
01/25/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	49.44	0.59	0.00	0.59	90.0	0.53
01/25/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	18.54	0.22	0.00	0.22	90.0	0.20
02/01/2018	REDDICK, RYAN	AM FUNDS EUROPACIFIC GROWTH FUND A	62.50	1.72	0.00	1.72	90.0	1.55
01/25/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	193.72	2.32	0.00	2.32	90.0	2.09
01/25/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	193.72	2.32	0.00	2.32	90.0	2.09
01/25/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	76.68	0.92	0.00	0.92	90.0	0.83
01/25/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	76.68	0.92	0.00	0.92	90.0	0.83
01/25/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	139.97	1.68	0.00	1.68	90.0	1.51
01/25/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	139.97	1.68	0.00	1.68	90.0	1.51
01/25/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	62.47	0.75	0.00	0.75	90.0	0.68
01/25/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	62.47	0.75	0.00	0.75	90.0	0.68
01/25/2018	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	180.00	2.16	0.00	2.16	90.0	1.94
01/25/2018	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	180.00	2.16	0.00	2.16	90.0	1.94
01/25/2018	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	77.71	0.93	0.00	0.93	90.0	0.84
01/25/2018	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	77.71	0.93	0.00	0.93	90.0	0.84

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/25/2018	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	947.16	11.37	0.00	11.37	90.0	10.23
01/25/2018	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	321.00	3.85	0.00	3.85	90.0	3.47
01/25/2018	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	321.00	3.85	0.00	3.85	90.0	3.47
01/25/2018	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	947.16	11.37	0.00	11.37	90.0	10.23
01/22/2018	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	376.92	7.54	0.00	7.54	90.0	6.79
01/22/2018	RHODES, JESSICA	AM FUNDS GROWTH FUND OF AMERICA A	5,545.80	277.29	0.00	277.29	90.0	249.56
01/25/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.52	0.00	0.52	90.0	0.47
01/25/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.52	0.00	0.52	90.0	0.47
01/25/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	47.52	0.57	0.00	0.57	90.0	0.51
01/25/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	47.52	0.57	0.00	0.57	90.0	0.51
01/25/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	41.43	0.50	0.00	0.50	90.0	0.45
01/25/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	41.43	0.50	0.00	0.50	90.0	0.45
01/25/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	51.06	0.61	0.00	0.61	90.0	0.55
01/25/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	51.06	0.61	0.00	0.61	90.0	0.55
01/25/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	58.01	0.70	0.00	0.70	90.0	0.63
01/25/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	58.01	0.70	0.00	0.70	90.0	0.63
01/25/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	65.09	0.78	0.00	0.78	90.0	0.70
01/25/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	65.09	0.78	0.00	0.78	90.0	0.70
01/25/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	51.15	0.61	0.00	0.61	90.0	0.55
01/25/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	51.15	0.61	0.00	0.61	90.0	0.55
01/25/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.76	0.37	0.00	0.37	90.0	0.33
01/25/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.76	0.37	0.00	0.37	90.0	0.33
01/25/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	47.31	0.57	0.00	0.57	90.0	0.51
01/25/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	47.31	0.57	0.00	0.57	90.0	0.51
01/25/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	47.46	0.57	0.00	0.57	90.0	0.51
01/25/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	47.46	0.57	0.00	0.57	90.0	0.51
01/25/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	46.15	0.55	0.00	0.55	90.0	0.50
01/25/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	46.15	0.55	0.00	0.55	90.0	0.50
01/25/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	45.55	0.55	0.00	0.55	90.0	0.50
01/25/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	45.55	0.55	0.00	0.55	90.0	0.50
01/25/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	49.44	0.59	0.00	0.59	90.0	0.53
01/25/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	18.54	0.22	0.00	0.22	90.0	0.20
01/25/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	49.44	0.59	0.00	0.59	90.0	0.53

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/25/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	18.54	0.22	0.00	0.22	90.0	0.20
01/25/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	55.80	0.67	0.00	0.67	90.0	0.60
01/25/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	15.22	0.18	0.00	0.18	90.0	0.16
01/25/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	15.22	0.18	0.00	0.18	90.0	0.16
01/25/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	55.80	0.67	0.00	0.67	90.0	0.60
01/25/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	83.60	1.00	0.00	1.00	90.0	0.90
01/25/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	41.80	0.50	0.00	0.50	90.0	0.45
01/25/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	83.60	1.00	0.00	1.00	90.0	0.90
01/25/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	41.80	0.50	0.00	0.50	90.0	0.45
01/31/2018	KAUFMAN, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.88	0.00	1.88	90.0	1.69
01/31/2018	KAUFMAN, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.88	0.00	1.88	90.0	1.69
01/31/2018	THOMAS, VIRGIL	AM FUNDS GROWTH FUND OF AMERICA A	31.64	1.58	0.00	1.58	90.0	1.42
01/31/2018	THOMAS, VIRGIL	AM FUNDS GROWTH FUND OF AMERICA A	23.73	1.19	0.00	1.19	90.0	1.07
02/01/2018	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	62.50	1.72	0.00	1.72	90.0	1.55
02/01/2018	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	54.70	2.05	0.00	2.05	90.0	1.85
02/01/2018	SUMMERS, AARON	AM FUNDS GROWTH FUND OF AMERICA A	37.59	1.41	0.00	1.41	90.0	1.27
02/01/2018	EICHHOLZ, JARED	AM FUNDS GROWTH FUND OF AMERICA A	121.57	4.56	0.00	4.56	90.0	4.10
02/01/2018	JOHNSON, MARK	AM FUNDS GROWTH FUND OF AMERICA A	135.00	5.06	0.00	5.06	90.0	4.55
02/02/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH FUND OF AMERICA A	7.52	0.08	0.00	0.08	90.0	0.07
02/02/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH FUND OF AMERICA A	133.36	1.33	0.00	1.33	90.0	1.20
02/02/2018	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	35.87	0.36	0.00	0.36	90.0	0.32
02/02/2018	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	90.00	0.90	0.00	0.90	90.0	0.81
02/02/2018	TURNER, JOSH	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.30	0.00	0.30	90.0	0.27
02/02/2018	TURNER, JOSH	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.30	0.00	0.30	90.0	0.27
02/02/2018	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.41	0.00	0.41	90.0	0.37
02/02/2018	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	260.25	2.60	0.00	2.60	90.0	2.34
02/02/2018	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	99.00	0.99	0.00	0.99	90.0	0.89
02/02/2018	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	2,083.17	20.83	0.00	20.83	90.0	18.75
02/02/2018	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	41.59	0.42	0.00	0.42	90.0	0.38
02/02/2018	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	41.59	0.42	0.00	0.42	90.0	0.38
02/02/2018	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	49.50	0.50	0.00	0.50	90.0	0.45
02/02/2018	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	260.25	2.60	0.00	2.60	90.0	2.34
02/02/2018	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.68	0.00	0.68	90.0	0.61

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/02/2018	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.68	0.00	0.68	90.0	0.61
02/02/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH PORT A	7.52	0.08	0.00	0.08	90.0	0.07
02/02/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH PORT A	133.36	1.33	0.00	1.33	90.0	1.20
01/25/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	244.80	2.94	0.00	2.94	90.0	2.65
01/25/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	61.20	0.73	0.00	0.73	90.0	0.66
01/25/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	424.80	5.10	0.00	5.10	90.0	4.59
01/25/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	106.20	1.27	0.00	1.27	90.0	1.14
02/01/2018	WINFREY, LARRY	AM FUNDS ICA A	200.00	7.50	0.00	7.50	90.0	6.75
02/01/2018	BAUER, MATTHEW	AM FUNDS ICA A	53.09	1.99	0.00	1.99	90.0	1.79
02/01/2018	SUMMERS, AARON	AM FUNDS ICA A	37.58	1.41	0.00	1.41	90.0	1.27
02/01/2018	EICHHOLZ, JARED	AM FUNDS ICA A	121.58	4.56	0.00	4.56	90.0	4.10
02/02/2018	EULTGEN, VICKI	AM FUNDS ICA A	35.87	0.36	0.00	0.36	90.0	0.32
02/02/2018	EULTGEN, VICKI	AM FUNDS ICA A	90.00	0.90	0.00	0.90	90.0	0.81
02/02/2018	MURPHY, MELISSA	AM FUNDS ICA A	33.00	0.33	0.00	0.33	90.0	0.30
02/02/2018	MURPHY, MELISSA	AM FUNDS ICA A	208.20	2.08	0.00	2.08	90.0	1.87
02/02/2018	RIDGWAY, BENJAMIN	AM FUNDS ICA A	71.70	0.72	0.00	0.72	90.0	0.65
02/02/2018	RIDGWAY, BENJAMIN	AM FUNDS ICA A	71.70	0.72	0.00	0.72	90.0	0.65
02/02/2018	SUTTON, CHRISTOPHER	AM FUNDS ICA A	112.00	1.12	0.00	1.12	90.0	1.01
02/02/2018	SUTTON, CHRISTOPHER	AM FUNDS ICA A	80.40	0.80	0.00	0.80	90.0	0.72
02/02/2018	MEDLEY, LISA	AM FUNDS ICA A	272.24	2.72	0.00	2.72	90.0	2.45
02/02/2018	MEDLEY, LISA	AM FUNDS ICA A	49.59	0.50	0.00	0.50	90.0	0.45
01/25/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.46	0.00	0.46	90.0	0.41
01/25/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.46	0.00	0.46	90.0	0.41
01/25/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.46	0.00	0.46	90.0	0.41
01/25/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.46	0.00	0.46	90.0	0.41
02/02/2018	HOLTKAMP, MARIGENE	AM FUNDS INTL GROWTH AND INCOME A	7.52	0.08	0.00	0.08	90.0	0.07
02/02/2018	HOLTKAMP, MARIGENE	AM FUNDS INTL GROWTH AND INCOME A	133.36	1.33	0.00	1.33	90.0	1.20
02/02/2018	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	33.00	0.33	0.00	0.33	90.0	0.30
02/02/2018	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	208.20	2.08	0.00	2.08	90.0	1.87
01/22/2018	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	188.46	3.77	0.00	3.77	90.0	3.39
01/25/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	53.08	0.64	0.00	0.64	90.0	0.58
01/25/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	31.85	0.38	0.00	0.38	90.0	0.34
01/25/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	53.08	0.64	0.00	0.64	90.0	0.58

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/25/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	31.85	0.38	0.00	0.38	90.0	0.34
01/25/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	54.16	0.65	0.00	0.65	90.0	0.59
01/25/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.77	0.18	0.00	0.18	90.0	0.16
01/25/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	54.16	0.65	0.00	0.65	90.0	0.59
01/25/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.77	0.18	0.00	0.18	90.0	0.16
02/01/2018	REDDICK, RYAN	AM FUNDS NEW ECONOMY A	62.50	1.72	0.00	1.72	90.0	1.55
02/01/2018	BAUER, MATTHEW	AM FUNDS NEW ECONOMY A	53.09	1.99	0.00	1.99	90.0	1.79
02/02/2018	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	49.11	0.49	0.00	0.49	90.0	0.44
02/02/2018	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	49.11	0.49	0.00	0.49	90.0	0.44
02/02/2018	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	48.00	0.48	0.00	0.48	90.0	0.43
02/02/2018	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	34.46	0.34	0.00	0.34	90.0	0.31
01/25/2018	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	33.60	0.40	0.00	0.40	90.0	0.36
01/25/2018	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	16.80	0.20	0.00	0.20	90.0	0.18
01/25/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	49.66	0.60	0.00	0.60	90.0	0.54
01/25/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	49.66	0.60	0.00	0.60	90.0	0.54
01/25/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.86	0.36	0.00	0.36	90.0	0.32
01/25/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.86	0.36	0.00	0.36	90.0	0.32
01/25/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	54.16	0.65	0.00	0.65	90.0	0.59
01/25/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.77	0.18	0.00	0.18	90.0	0.16
01/25/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	54.16	0.65	0.00	0.65	90.0	0.59
01/25/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.77	0.18	0.00	0.18	90.0	0.16
01/25/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	83.60	1.00	0.00	1.00	90.0	0.90
01/25/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	41.79	0.50	0.00	0.50	90.0	0.45
01/25/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	41.79	0.50	0.00	0.50	90.0	0.45
01/25/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	83.60	1.00	0.00	1.00	90.0	0.90
02/02/2018	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	23.90	0.24	0.00	0.24	90.0	0.22
02/02/2018	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	60.00	0.60	0.00	0.60	90.0	0.54
02/02/2018	TURNER, JOSH	AM FUNDS NEW PERSPECTIVE A	30.00	0.30	0.00	0.30	90.0	0.27
02/02/2018	TURNER, JOSH	AM FUNDS NEW PERSPECTIVE A	30.00	0.30	0.00	0.30	90.0	0.27
02/02/2018	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	33.00	0.33	0.00	0.33	90.0	0.30
02/02/2018	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	208.20	2.08	0.00	2.08	90.0	1.87
02/02/2018	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	49.11	0.49	0.00	0.49	90.0	0.44
02/02/2018	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	49.11	0.49	0.00	0.49	90.0	0.44

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/02/2018	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	41.59	0.42	0.00	0.42	90.0	0.38
02/02/2018	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	41.59	0.42	0.00	0.42	90.0	0.38
02/02/2018	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	49.50	0.50	0.00	0.50	90.0	0.45
02/02/2018	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	260.25	2.60	0.00	2.60	90.0	2.34
01/22/2018	CROWLEY, MICHA	AM FUNDS NEW WORLD A	188.47	3.77	0.00	3.77	90.0	3.39
01/25/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	49.66	0.60	0.00	0.60	90.0	0.54
01/25/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	49.66	0.60	0.00	0.60	90.0	0.54
01/25/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.86	0.36	0.00	0.36	90.0	0.32
01/25/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.86	0.36	0.00	0.36	90.0	0.32
02/02/2018	HOLTKAMP, MARIGENE	AM FUNDS NEW WORLD A	37.60	0.38	0.00	0.38	90.0	0.34
02/02/2018	HOLTKAMP, MARIGENE	AM FUNDS NEW WORLD A	666.78	6.67	0.00	6.67	90.0	6.00
02/02/2018	EULTGEN, VICKI	AM FUNDS NEW WORLD A	11.96	0.12	0.00	0.12	90.0	0.11
02/02/2018	EULTGEN, VICKI	AM FUNDS NEW WORLD A	30.00	0.30	0.00	0.30	90.0	0.27
02/02/2018	TURNER, JOSH	AM FUNDS NEW WORLD A	30.00	0.30	0.00	0.30	90.0	0.27
02/02/2018	TURNER, JOSH	AM FUNDS NEW WORLD A	30.00	0.30	0.00	0.30	90.0	0.27
02/02/2018	MURPHY, MELISSA	AM FUNDS NEW WORLD A	8.25	0.08	0.00	0.08	90.0	0.07
02/02/2018	MURPHY, MELISSA	AM FUNDS NEW WORLD A	52.05	0.52	0.00	0.52	90.0	0.47
02/02/2018	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	99.00	0.99	0.00	0.99	90.0	0.89
02/02/2018	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	2,083.16	20.83	0.00	20.83	90.0	18.75
02/02/2018	VICTOR, THOMAS	AM FUNDS NEW WORLD A	41.59	0.42	0.00	0.42	90.0	0.38
02/02/2018	VICTOR, THOMAS	AM FUNDS NEW WORLD A	41.59	0.42	0.00	0.42	90.0	0.38
02/02/2018	TURNER, COREY	AM FUNDS NEW WORLD A	49.50	0.50	0.00	0.50	90.0	0.45
02/02/2018	TURNER, COREY	AM FUNDS NEW WORLD A	260.25	2.60	0.00	2.60	90.0	2.34
02/02/2018	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.68	0.00	0.68	90.0	0.61
02/02/2018	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.68	0.00	0.68	90.0	0.61
01/25/2018	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	33.60	0.40	0.00	0.40	90.0	0.36
01/25/2018	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	16.80	0.20	0.00	0.20	90.0	0.18
01/25/2018	SEYER, MARY	AM FUNDS SMALLCAP WORLD A	223.76	2.69	0.00	2.69	90.0	2.42
01/25/2018	SEYER, MARY	AM FUNDS SMALLCAP WORLD A	67.13	0.81	0.00	0.81	90.0	0.73
01/25/2018	SEYER, MARY	AM FUNDS SMALLCAP WORLD A	72.94	0.88	0.00	0.88	90.0	0.79
01/25/2018	SEYER, MARY	AM FUNDS SMALLCAP WORLD A	243.13	2.92	0.00	2.92	90.0	2.63
01/25/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	232.20	2.79	0.00	2.79	90.0	2.51
01/25/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	232.20	2.79	0.00	2.79	90.0	2.51

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/25/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	77.18	0.93	0.00	0.93	90.0	0.84
01/25/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	77.18	0.93	0.00	0.93	90.0	0.84
02/01/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	62.50	1.72	0.00	1.72	90.0	1.55
02/02/2018	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	11.96	0.12	0.00	0.12	90.0	0.11
02/02/2018	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	30.00	0.30	0.00	0.30	90.0	0.27
02/02/2018	TURNER, JOSH	AM FUNDS SMALLCAP WORLD A	30.00	0.30	0.00	0.30	90.0	0.27
02/02/2018	TURNER, JOSH	AM FUNDS SMALLCAP WORLD A	30.00	0.30	0.00	0.30	90.0	0.27
02/02/2018	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	16.50	0.17	0.00	0.17	90.0	0.15
02/02/2018	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	104.10	1.04	0.00	1.04	90.0	0.94
02/02/2018	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	41.59	0.42	0.00	0.42	90.0	0.38
02/02/2018	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	41.59	0.42	0.00	0.42	90.0	0.38
02/02/2018	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	49.50	0.50	0.00	0.50	90.0	0.45
02/02/2018	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	260.25	2.60	0.00	2.60	90.0	2.34
01/25/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	69.65	0.84	0.00	0.84	90.0	0.76
01/25/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.83	0.42	0.00	0.42	90.0	0.38
01/25/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	69.65	0.84	0.00	0.84	90.0	0.76
01/25/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.83	0.42	0.00	0.42	90.0	0.38
02/02/2018	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	135.28	1.35	0.00	1.35	90.0	1.22
02/02/2018	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	225.47	2.25	0.00	2.25	90.0	2.03
* MUTUAL FUNDS SUBTOTAL *			\$39,561.52	\$700.54	\$0.00	\$700.54		\$630.60
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2018	PAGE, BRIAN	JNL ELITE ACCESS W/ LIQUIDITY	12,934.58	10.78	0.00	10.78	90.0	9.70
01/31/2018	ANDING, MARY DIANE	JNL PERSPECTIVE II 04/14	103,780.10	43.24	0.00	43.24	90.0	38.92
01/31/2018	STEWART, SHEILA	JNL PERSPECTIVE II 04/14	264,256.34	110.11	0.00	110.11	90.0	99.10
01/31/2018	DEAN GUIER INC	JNL PERSPECTIVE II 04/14	204,915.33	85.38	0.00	85.38	90.0	76.84
01/31/2018	GUIER, CARROL	JNL PERSPECTIVE II 04/14	26,774.74	11.16	0.00	11.16	90.0	10.04
01/31/2018	CALLIS, CHARLES	JNL PERSPECTIVE II 05/05	30,740.31	12.81	0.00	12.81	90.0	11.53
01/31/2018	OWEN, ERNEST	JNL PERSPECTIVE II 05/05	151,693.26	63.21	0.00	63.21	90.0	56.89
01/31/2018	OSSMAN, ED	JNL PERSPECTIVE II 05/05	61,947.33	25.81	0.00	25.81	90.0	23.23
01/31/2018	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	29,951.46	12.48	0.00	12.48	90.0	11.23
01/31/2018	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	166,190.94	69.25	0.00	69.25	90.0	62.33
01/31/2018	MARK, RICHARD GEORGE	JNL PERSPECTIVE II 05/05	100,779.31	41.99	0.00	41.99	90.0	37.79
01/31/2018	LANGE, ARLEN	JNL PERSPECTIVE II 05/05	128,403.96	53.50	0.00	53.50	90.0	48.15

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2018	MCBRIDE, KENNETH	JNL PERSPECTIVE II 05/05	297,372.59	123.91	0.00	123.91	90.0	111.52
01/31/2018	ANDING, MARY DIANE	JNL PERSPECTIVE II 05/05	229,917.98	95.80	0.00	95.80	90.0	86.22
01/30/2018	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	108,529.45	90.45	0.00	90.45	90.0	81.41
01/30/2018	DOWNS, JAMES	SBL ADVISORDESIGNS VA	39,831.27	33.19	0.00	33.19	90.0	29.87
01/30/2018	BENNETT, MILDRED	SBL ADVISORDESIGNS VA	110,347.58	91.96	0.00	91.96	90.0	82.76
01/30/2018	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	94,550.12	78.80	0.00	78.80	90.0	70.92
01/30/2018	SCOFIELD, ROBERT	SBL ADVISORDESIGNS VA	10,817.13	9.00	0.00	9.00	90.0	8.10
01/30/2018	ANDERSON, CHERRI	SBL ADVISORDESIGNS VA	29,113.63	24.25	0.00	24.25	90.0	21.83
01/30/2018	LEE, HOWARD	SBL SECUREDESIGNS VA	272,549.25	56.77	0.00	56.77	90.0	51.09
* VARIABLE ANNUITIES SUBTOTAL *			\$2,475,396.66	\$1,143.85	\$0.00	\$1,143.85		\$1,029.47
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
01/22/2018	KILEY, CHRISTINE	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
01/22/2018	ELLIOTT, MITCHELL	PAC LIFE VUL	100.00	3.00	0.00	3.00	90.0	2.70
01/22/2018	GAMM, SKYLAR	PAC LIFE VUL	7.37	0.15	0.00	0.15	90.0	0.14
01/22/2018	GAMM, SKYLAR	PAC LIFE VUL	417.63	87.70	0.00	87.70	90.0	78.93
01/23/2018	JONES, KAREN	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
01/24/2018	KING, CALEB	PAC LIFE VUL	50.00	0.60	0.00	0.60	90.0	0.54
01/25/2018	JONES, KENNETH	PAC LIFE VUL	60.00	1.20	0.00	1.20	90.0	1.08
01/25/2018	ADAMS, GREGORY	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
01/25/2018	ADAMS, ASHLEY	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
01/25/2018	GRIFFIN, AMANDA	PAC LIFE VUL	68.07	1.36	0.00	1.36	90.0	1.22
01/25/2018	GRIFFIN, AMANDA	PAC LIFE VUL	37.85	0.76	0.00	0.76	90.0	0.68
01/25/2018	ADAMS, JAXON	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
01/29/2018	CARSON, SEDEL	PAC LIFE VUL	42.00	21.00	0.00	21.00	90.0	18.90
01/29/2018	CARSON, SEDEL	PAC LIFE VUL	13.00	0.13	0.00	0.13	90.0	0.12
01/29/2018	CARSON, MATTHEW	PAC LIFE VUL	7.57	3.79	0.00	3.79	90.0	3.41
01/29/2018	CARSON, MATTHEW	PAC LIFE VUL	142.43	17.80	0.00	17.80	90.0	16.02
02/01/2018	REDDICK, RYAN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
02/02/2018	KAUFMAN, JEFFREY	PAC LIFE VUL	200.00	4.00	0.00	4.00	90.0	3.60
02/02/2018	KAUFMAN, KIMBERLY	PAC LIFE VUL	75.00	1.50	0.00	1.50	90.0	1.35
02/02/2018	KAUFMAN, COOPER	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
02/02/2018	KAUFMAN, ELLIE	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
02/02/2018	STALEY, MICHAELA	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
02/02/2018	ROBINSON, PHYLILS	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
02/02/2018	REDDICK, CRYSTAL	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
02/02/2018	STALEY, JOSELYN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
02/02/2018	MCNERNEY, DAVID	PAC LIFE VUL	1,000.00	20.00	0.00	20.00	90.0	18.00
02/02/2018	MCNERNEY, SUSAN	PAC LIFE VUL	200.07	6.00	0.00	6.00	90.0	5.40
02/02/2018	MCNERNEY, SUSAN	PAC LIFE VUL	799.93	24.00	0.00	24.00	90.0	21.60
02/02/2018	MCNERNEY, DASAN	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
02/02/2018	MCNERNEY, ALYSSA	PAC LIFE VUL	150.00	4.50	0.00	4.50	90.0	4.05
02/02/2018	MCNERNEY, DYLAN	PAC LIFE VUL	150.00	4.50	0.00	4.50	90.0	4.05
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$4,820.92	\$232.99	\$0.00	\$232.99		\$209.69
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	KAUFMAN, JEFFREY	FIDELITY ADV CANADA	0.00	0.96	0.00	0.96	90.0	0.86
/ /	KAUFMAN, JEFFREY	FIDELITY ADV EMERGING EMEA	0.00	1.08	0.00	1.08	90.0	0.97
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL CAP APP T	0.00	2.48	0.00	2.48	90.0	2.23
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL COMMODITY STK	0.00	1.01	0.00	1.01	90.0	0.91
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GOLD	0.00	0.85	0.00	0.85	90.0	0.77
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV GOLD C	0.00	0.70	0.00	0.70	90.0	0.63
/ /	GRIFFITH, CHERYL	FIDELITY ADV GOVERNMENT INC	0.00	0.11	0.00	0.11	90.0	0.10
/ /	HALL, ELLEN	FIDELITY ADV GROWTH OPP	0.00	11.81	0.00	11.81	90.0	10.63
/ /	ELLIOTT, TIMOTHY	FIDELITY ADV GROWTH OPP	0.00	1.42	0.00	1.42	90.0	1.28
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GROWTH OPP	0.00	9.13	0.00	9.13	90.0	8.22
/ /	GRIFFITH, CHERYL	FIDELITY ADV INTL SM CAP CL T	0.00	5.27	0.00	5.27	90.0	4.74
/ /	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	0.00	7.47	0.00	7.47	90.0	6.72
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV LEVERAGED CO STOCK C	0.00	9.20	0.00	9.20	90.0	8.28
/ /	HALL, ELLEN	FIDELITY ADV LEVERAGED CO STOCK-CL	0.00	3.13	0.00	3.13	90.0	2.82
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV MATERIALS C	0.00	5.30	0.00	5.30	90.0	4.77
/ /	GRIFFITH, CHERYL	FIDELITY ADV OVERSEAS	0.00	1.35	0.00	1.35	90.0	1.22
/ /	GRIFFITH, CHERYL	FIDELITY ADV SMALL CAP	0.00	1.38	0.00	1.38	90.0	1.24
/ /	KAUFMAN, JEFFREY	FIDELITY ADV SMALL CAP GROWTH C	0.00	0.77	0.00	0.77	90.0	0.69
/ /	GRIFFITH, CHERYL	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	12.30	0.00	12.30	90.0	11.07
/ /	KAUFMAN, JEFFREY	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	2.63	0.00	2.63	90.0	2.37
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV UTILITIES C	0.00	9.75	0.00	9.75	90.0	8.78
/ /	GRIFFITH, CHERYL	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	1.53	0.00	1.53	90.0	1.38

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	1.92	0.00	1.92	90.0	1.73
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR MATERIALS FUND-CLA	0.00	1.26	0.00	1.26	90.0	1.13
/ /	WILMSMEYER, CHRISTA	FIDELITY ADVISORS 31618H424	0.00	6.94	0.00	6.94	90.0	6.25
/ /	HALL, ELLEN	FIDELITY ADVISORS 31618H440	0.00	1.46	0.00	1.46	90.0	1.31
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	0.60	0.00	0.60	90.0	0.54
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	15.91	0.00	15.91	90.0	14.32
/ /	HALL, ELLEN	FIDELITY COMM EQUIPMENT T	0.00	1.88	0.00	1.88	90.0	1.69
/ /	KAUFMAN, JEFFREY	FIDELITY ENERGY T	0.00	0.88	0.00	0.88	90.0	0.79
/ /	GRIFFITH, CHERYL	FIDELITY EQUITY VALUE	0.00	11.01	0.00	11.01	90.0	9.91
/ /	KAUFMAN, JEFFREY	FIDELITY FINANCIAL SERVICES T	0.00	1.05	0.00	1.05	90.0	0.95
/ /	KAUFMAN, JEFFREY	FIDELITY MIDCAP C	0.00	0.57	0.00	0.57	90.0	0.51
/ /	GRIFFITH, CHERYL	FIDELITY MIDCAP T	0.00	9.98	0.00	9.98	90.0	8.98
/ /	KAUFMAN, JEFFREY	FIDLEITY ADV INTL REAL ESTATE	0.00	1.09	0.00	1.09	90.0	0.98
/ /	GRIFFITH, CHERYL	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	1.66	0.00	1.66	90.0	1.49
/ /	KAUFMAN, JEFFREY	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	2.53	0.00	2.53	90.0	2.28
/ /	MCS DESIGN TEAM	HARTFORD BALANCED C	0.00	17.84	0.00	17.84	90.0	16.06
/ /	MCS DESIGN TEAM	HARTFORD DIVIDEND & GROWTH C	0.00	20.24	0.00	20.24	90.0	18.22
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$186.45	\$0.00	\$186.45		\$167.82
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/28/2018	MCBRIDE, VIRGINIA CAROLY		11,696.07	36.55	0.00	36.55	90.0	32.90
01/16/2018	YOUNG, MARY ELIZABETH	PAC LIFE PORTFOLIOS	0.00	19.90	0.00	19.90	90.0	17.91
01/25/2018	SWAIN, DOROLENE	PAC LIFE VALUE	0.00	35.90	0.00	35.90	90.0	32.31
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$11,696.07	\$92.35	\$0.00	\$92.35		\$83.12
*** DIRECT TRANSACTIONS TOTAL ***			\$2,531,475.17	\$2,356.18	\$0.00	\$2,356.18		\$2,120.70

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
01/25/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	18.89	0.13	0.00	0.13	90.0	0.12
01/25/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	18.89	0.13	0.00	0.13	90.0	0.12
01/25/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.37	0.14	0.00	0.14	90.0	0.13
01/25/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.37	0.14	0.00	0.14	90.0	0.13
01/25/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	131.24	0.86	0.00	0.86	90.0	0.77
01/25/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	131.24	0.86	0.00	0.86	90.0	0.77
01/25/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	47.34	0.31	0.00	0.31	90.0	0.28
01/25/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	47.34	0.31	0.00	0.31	90.0	0.28
01/25/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.19	0.00	0.19	90.0	0.17
01/25/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.19	0.00	0.19	90.0	0.17
01/25/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	43.12	0.29	0.00	0.29	90.0	0.26
01/25/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	43.13	0.29	0.00	0.29	90.0	0.26
01/22/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.37	0.00	1.37	90.0	1.23
01/25/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	31.10	0.20	0.00	0.20	90.0	0.18
01/25/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	31.10	0.20	0.00	0.20	90.0	0.18
01/25/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	32.48	0.21	0.00	0.21	90.0	0.19
01/25/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	32.48	0.21	0.00	0.21	90.0	0.19
01/25/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.24	0.00	0.24	90.0	0.22
01/25/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.24	0.00	0.24	90.0	0.22
01/25/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.24	0.00	0.24	90.0	0.22
01/25/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.24	0.00	0.24	90.0	0.22
01/25/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.20	0.00	0.20	90.0	0.18
01/25/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.20	0.00	0.20	90.0	0.18
01/25/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.20	0.00	0.20	90.0	0.18
01/25/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.20	0.00	0.20	90.0	0.18
01/25/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	33.98	0.23	0.00	0.23	90.0	0.21
01/25/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	33.98	0.23	0.00	0.23	90.0	0.21
01/25/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	34.43	0.23	0.00	0.23	90.0	0.21
01/25/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	34.43	0.23	0.00	0.23	90.0	0.21
01/25/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	10.40	0.07	0.00	0.07	90.0	0.06
01/25/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	10.40	0.07	0.00	0.07	90.0	0.06
01/25/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	13.20	0.09	0.00	0.09	90.0	0.08
01/25/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	13.20	0.09	0.00	0.09	90.0	0.08

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
01/25/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	31.59	0.21	0.00	0.21	90.0	0.19
01/25/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	31.59	0.21	0.00	0.21	90.0	0.19
01/25/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	41.93	0.27	0.00	0.27	90.0	0.24
01/25/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	41.93	0.27	0.00	0.27	90.0	0.24
01/25/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	7.51	0.05	0.00	0.05	90.0	0.05
01/25/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	7.51	0.05	0.00	0.05	90.0	0.05
01/25/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	4.92	0.03	0.00	0.03	90.0	0.03
01/25/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	52.38	0.35	0.00	0.35	90.0	0.32
01/25/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	22.45	0.15	0.00	0.15	90.0	0.14
01/25/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	46.94	0.31	0.00	0.31	90.0	0.28
01/25/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	20.12	0.13	0.00	0.13	90.0	0.12
01/25/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	33.98	0.23	0.00	0.23	90.0	0.21
01/25/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	33.98	0.23	0.00	0.23	90.0	0.21
01/25/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	34.43	0.23	0.00	0.23	90.0	0.21
01/25/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	34.43	0.23	0.00	0.23	90.0	0.21
01/25/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	32.93	0.22	0.00	0.22	90.0	0.20
01/25/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	32.93	0.22	0.00	0.22	90.0	0.20
01/25/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	30.53	0.20	0.00	0.20	90.0	0.18
01/25/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	30.53	0.20	0.00	0.20	90.0	0.18
01/25/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.32	0.00	0.32	90.0	0.29
01/25/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.32	0.00	0.32	90.0	0.29
01/25/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.32	0.00	0.32	90.0	0.29
01/25/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.32	0.00	0.32	90.0	0.29
01/25/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	38.93	0.26	0.00	0.26	90.0	0.23
01/25/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	38.93	0.26	0.00	0.26	90.0	0.23
01/25/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	40.43	0.27	0.00	0.27	90.0	0.24
01/25/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	40.43	0.27	0.00	0.27	90.0	0.24
01/25/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	126.32	0.84	0.00	0.84	90.0	0.76
01/25/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	126.32	0.84	0.00	0.84	90.0	0.76
01/25/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	49.43	0.32	0.00	0.32	90.0	0.29
01/25/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	49.43	0.32	0.00	0.32	90.0	0.29
01/25/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.65	0.01	0.00	0.01	90.0	0.01
01/25/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.19	0.00	0.19	90.0	0.17

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
01/25/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.19	0.00	0.19	90.0	0.17
01/25/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	44.43	0.29	0.00	0.29	90.0	0.26
01/25/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	44.43	0.29	0.00	0.29	90.0	0.26
01/25/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.08	0.00	0.08	90.0	0.07
01/25/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.08	0.00	0.08	90.0	0.07
01/25/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.08	0.00	0.08	90.0	0.07
01/25/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.08	0.00	0.08	90.0	0.07
01/25/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	50.11	0.33	0.00	0.33	90.0	0.30
01/25/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	50.11	0.33	0.00	0.33	90.0	0.30
01/25/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	54.19	0.36	0.00	0.36	90.0	0.32
01/25/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	54.19	0.36	0.00	0.36	90.0	0.32
01/25/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.00	0.16	0.00	0.16	90.0	0.14
01/25/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.12	0.00	0.12	90.0	0.11
01/25/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.00	0.16	0.00	0.16	90.0	0.14
01/25/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.12	0.00	0.12	90.0	0.11
01/25/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	6.30	0.00	6.30	90.0	5.67
01/29/2018	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
01/31/2018	HEASTON, JENNALEA	AM FUNDS GROWTH FUND OF AMERICA A	17.24	0.47	0.00	0.47	90.0	0.42
01/31/2018	HEASTON, JENNALEA	AM FUNDS GROWTH FUND OF AMERICA A	17.24	0.47	0.00	0.47	90.0	0.42
01/31/2018	BACON, KAYLEE	AM FUNDS GROWTH FUND OF AMERICA A	6.79	0.19	0.00	0.19	90.0	0.17
01/31/2018	BACON, KAYLEE	AM FUNDS GROWTH FUND OF AMERICA A	6.79	0.19	0.00	0.19	90.0	0.17
01/31/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	23.63	0.65	0.00	0.65	90.0	0.59
01/31/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	23.63	0.65	0.00	0.65	90.0	0.59
02/02/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	63.28	0.35	0.00	0.35	90.0	0.32
02/02/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	105.46	0.58	0.00	0.58	90.0	0.52
02/02/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	44.45	0.24	0.00	0.24	90.0	0.22
02/02/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	44.45	0.24	0.00	0.24	90.0	0.22
01/25/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	132.60	0.87	0.00	0.87	90.0	0.78
01/25/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	39.78	0.26	0.00	0.26	90.0	0.23
01/25/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	159.76	1.06	0.00	1.06	90.0	0.95
01/25/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	47.93	0.32	0.00	0.32	90.0	0.29
01/22/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	1.37	0.00	1.37	90.0	1.23
01/25/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.13	0.00	0.13	90.0	0.12

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
01/25/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.13	0.00	0.13	90.0	0.12
01/25/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.13	0.00	0.13	90.0	0.12
01/25/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.13	0.00	0.13	90.0	0.12
01/25/2018	WAHLIG, KACEY	AM FUNDS ICA A	18.90	0.13	0.00	0.13	90.0	0.12
01/25/2018	WAHLIG, KACEY	AM FUNDS ICA A	18.90	0.13	0.00	0.13	90.0	0.12
01/25/2018	WAHLIG, KACEY	AM FUNDS ICA A	21.37	0.14	0.00	0.14	90.0	0.13
01/25/2018	WAHLIG, KACEY	AM FUNDS ICA A	21.37	0.14	0.00	0.14	90.0	0.13
01/25/2018	ZELLMER, RIANNON	AM FUNDS ICA A	16.34	0.11	0.00	0.11	90.0	0.10
01/25/2018	ZELLMER, RIANNON	AM FUNDS ICA A	16.34	0.11	0.00	0.11	90.0	0.10
01/25/2018	ZELLMER, RIANNON	AM FUNDS ICA A	16.47	0.11	0.00	0.11	90.0	0.10
01/25/2018	ZELLMER, RIANNON	AM FUNDS ICA A	16.47	0.11	0.00	0.11	90.0	0.10
02/02/2018	HAGUE, VICTORIA	AM FUNDS ICA A	65.65	0.36	0.00	0.36	90.0	0.32
02/02/2018	HAGUE, VICTORIA	AM FUNDS ICA A	716.66	3.94	0.00	3.94	90.0	3.55
01/25/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	126.32	0.84	0.00	0.84	90.0	0.76
01/25/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	126.32	0.84	0.00	0.84	90.0	0.76
01/25/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	49.43	0.32	0.00	0.32	90.0	0.29
01/25/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	49.43	0.32	0.00	0.32	90.0	0.29
01/25/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	125.57	0.83	0.00	0.83	90.0	0.75
01/25/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	125.57	0.83	0.00	0.83	90.0	0.75
01/25/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	848.58	5.60	0.00	5.60	90.0	5.04
01/25/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.81	0.00	0.81	90.0	0.73
01/25/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	848.58	5.60	0.00	5.60	90.0	5.04
01/25/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.81	0.00	0.81	90.0	0.73
02/01/2018	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	1.37	0.00	1.37	90.0	1.23
01/25/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	59.88	0.40	0.00	0.40	90.0	0.36
01/25/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	35.93	0.24	0.00	0.24	90.0	0.22
01/25/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	34.43	0.23	0.00	0.23	90.0	0.21
01/25/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	57.38	0.38	0.00	0.38	90.0	0.34
01/25/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.00	0.16	0.00	0.16	90.0	0.14
01/25/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.00	0.12	0.00	0.12	90.0	0.11
01/25/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.00	0.16	0.00	0.16	90.0	0.14
01/25/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.00	0.12	0.00	0.12	90.0	0.11
01/25/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.19	0.00	0.19	90.0	0.17

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349								
01/25/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.19	0.00	0.19	90.0	0.17
01/25/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	43.13	0.29	0.00	0.29	90.0	0.26
01/25/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	43.12	0.29	0.00	0.29	90.0	0.26
01/25/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.13	0.00	0.13	90.0	0.12
01/25/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.13	0.00	0.13	90.0	0.12
01/25/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.13	0.00	0.13	90.0	0.12
01/25/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.13	0.00	0.13	90.0	0.12
02/02/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	16.41	0.09	0.00	0.09	90.0	0.08
02/02/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	179.17	0.98	0.00	0.98	90.0	0.88
02/02/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	63.28	0.35	0.00	0.35	90.0	0.32
02/02/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	105.47	0.58	0.00	0.58	90.0	0.52
01/29/2018	CARSON, MATTHEW	PAC LIFE VUL	7.57	2.08	0.00	2.08	90.0	1.87
01/29/2018	CARSON, MATTHEW	PAC LIFE VUL	142.43	9.79	0.00	9.79	90.0	8.81
02/02/2018	CARSON, SEDEL	PAC LIFE VUL	42.00	11.55	0.00	11.55	90.0	10.40
02/02/2018	CARSON, SEDEL	PAC LIFE VUL	13.00	0.07	0.00	0.07	90.0	0.06
* JEFF/JASON, K148 KAUFMAN/KEMBLE SUBTOTAL *			\$8,750.51	\$90.42	\$0.00	\$90.42		\$81.49
*** SPLIT TRANSACTIONS TOTAL ***			\$8,750.51	\$90.42	\$0.00	\$90.42		\$81.49

ADJUSTMENTS

02/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
02/15/2018	*TECHNOLOGY: ADMIN - KIM KAUFMAN	0.00						-100.00
02/15/2018	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-675.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$2,120.70
Split Transactions	\$81.49
Override Transactions	\$0.00
Adjustments	\$-675.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,527.19
Year-to-date Gross	\$53,109.82
Year-to-date Earnings	\$47,880.17