

**COMMISSION STATEMENT****February 28, 2018**

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU

11617 Coldwater Road

Suite 103

Fort Wayne, IN 46845

BROKER #: L131

BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^02/15/2018	CLINE, DAVIS	AM FUNDS GROWTH FUND OF AMERICA A	670.00	33.50	22.00	11.50	90.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$670.00	\$33.50	\$22.00	\$11.50		\$0.00
		*** CLEARING TRANSACTIONS TOTAL ***	\$670.00	\$33.50	\$22.00	\$11.50		\$0.00
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
^02/14/2018	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	50.00	2.38	0.00	2.38	90.0	0.00
^02/09/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^02/09/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^02/09/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	1.19	0.00	1.19	90.0	0.00
		* 529 PLANS SUBTOTAL *	\$175.00	\$4.57	\$0.00	\$4.57		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^02/05/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	6.25	0.00	6.25	90.0	0.00
^02/15/2018	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	0.00
^02/15/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	10.00	0.00	10.00	90.0	0.00
^02/09/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^ / /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	1.63	0.00	1.63	90.0	0.00
^02/14/2018	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	50.00	1.63	0.00	1.63	90.0	0.00
^02/09/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.39	0.00	0.39	90.0	0.00
^02/09/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	1.83	0.00	1.83	90.0	0.00
^02/09/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^02/09/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$580.00	\$29.38	\$0.00	\$29.38		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^02/16/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	90.0	0.00
^02/16/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.47	0.00	0.47	90.0	0.00
^02/16/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.09	0.00	0.09	90.0	0.00
^02/16/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.09	0.00	0.09	90.0	0.00
^03/15/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.19	0.00	0.19	90.0	0.00
^03/15/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.46	0.00	0.46	90.0	0.00
^03/15/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.09	0.00	0.09	90.0	0.00
^03/15/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.09	0.00	0.09	90.0	0.00
^04/20/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	90.0	0.00
^04/20/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.58	0.00	0.58	90.0	0.00
^04/20/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.11	0.00	0.11	90.0	0.00
^04/20/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.11	0.00	0.11	90.0	0.00
^05/16/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	90.0	0.00
^05/16/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.48	0.00	0.48	90.0	0.00
^05/16/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.11	0.00	0.11	90.0	0.00
^05/16/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.11	0.00	0.11	90.0	0.00
^06/15/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.34	0.00	0.34	90.0	0.00
^06/15/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.00
^06/15/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.13	0.00	0.13	90.0	0.00
^06/15/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.13	0.00	0.13	90.0	0.00
^07/18/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.40	0.00	0.40	90.0	0.00
^07/18/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.49	0.00	0.49	90.0	0.00
^07/18/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.14	0.00	0.14	90.0	0.00
^07/18/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.13	0.00	0.13	90.0	0.00
^08/15/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.00
^08/15/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.51	0.00	0.51	90.0	0.00
^08/15/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.00
^08/15/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.00
^08/15/2016	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.62	0.00	0.62	90.0	0.00
^09/16/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.51	0.00	0.51	90.0	0.00
^09/16/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.52	0.00	0.52	90.0	0.00
^09/16/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.00
^09/16/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^09/16/2016	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	1.06	0.00	1.06	90.0	0.00
^10/17/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.56	0.00	0.56	90.0	0.00
^10/17/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.00
^10/17/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.16	0.00	0.16	90.0	0.00
^10/17/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.16	0.00	0.16	90.0	0.00
^10/17/2016	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	1.02	0.00	1.02	90.0	0.00
^11/18/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.60	0.00	0.60	90.0	0.00
^11/18/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.51	0.00	0.51	90.0	0.00
^11/18/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.17	0.00	0.17	90.0	0.00
^11/18/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.17	0.00	0.17	90.0	0.00
^11/18/2016	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	1.06	0.00	1.06	90.0	0.00
^12/15/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.58	0.00	0.58	90.0	0.00
^12/15/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.00
^12/15/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.17	0.00	0.17	90.0	0.00
^12/15/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.17	0.00	0.17	90.0	0.00
^12/15/2016	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	1.03	0.00	1.03	90.0	0.00
^12/15/2016	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.04	0.00	0.04	90.0	0.00
^02/16/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.43	0.00	0.43	90.0	0.00
^02/16/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.41	0.00	0.41	90.0	0.00
^03/15/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.40	0.00	0.40	90.0	0.00
^03/15/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.38	0.00	0.38	90.0	0.00
^04/20/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.45	0.00	0.45	90.0	0.00
^04/20/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.43	0.00	0.43	90.0	0.00
^05/16/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.44	0.00	0.44	90.0	0.00
^05/16/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.43	0.00	0.43	90.0	0.00
^06/15/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.47	0.00	0.47	90.0	0.00
^06/15/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.53	0.00	0.53	90.0	0.00
^07/18/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.46	0.00	0.46	90.0	0.00
^07/18/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.56	0.00	0.56	90.0	0.00
^08/15/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.49	0.00	0.49	90.0	0.00
^08/15/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.64	0.00	0.64	90.0	0.00
^09/16/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.49	0.00	0.49	90.0	0.00
^09/16/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.65	0.00	0.65	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^10/17/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.54	0.00	0.54	90.0	0.00
^10/17/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.63	0.00	0.63	90.0	0.00
^11/18/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.58	0.00	0.58	90.0	0.00
^11/18/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.66	0.00	0.66	90.0	0.00
^12/15/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.56	0.00	0.56	90.0	0.00
^12/15/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.64	0.00	0.64	90.0	0.00
^02/16/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.19	0.00	0.19	90.0	0.00
^03/15/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.18	0.00	0.18	90.0	0.00
^04/20/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.20	0.00	0.20	90.0	0.00
^05/16/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.20	0.00	0.20	90.0	0.00
^06/15/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.21	0.00	0.21	90.0	0.00
^07/18/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.20	0.00	0.20	90.0	0.00
^08/15/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.21	0.00	0.21	90.0	0.00
^09/16/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.22	0.00	0.22	90.0	0.00
^10/17/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.29	0.00	0.29	90.0	0.00
^11/18/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.32	0.00	0.32	90.0	0.00
^12/15/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.31	0.00	0.31	90.0	0.00
^02/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.18	0.00	0.18	90.0	0.00
^03/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.16	0.00	0.16	90.0	0.00
^04/20/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.18	0.00	0.18	90.0	0.00
^05/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.18	0.00	0.18	90.0	0.00
^06/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.19	0.00	0.19	90.0	0.00
^07/18/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.29	0.00	0.29	90.0	0.00
^08/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.44	0.00	0.44	90.0	0.00
^09/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.46	0.00	0.46	90.0	0.00
^10/17/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.45	0.00	0.45	90.0	0.00
^11/18/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.46	0.00	0.46	90.0	0.00
^12/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.43	0.00	0.43	90.0	0.00
^02/16/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.21	0.00	0.21	90.0	0.00
^03/15/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.19	0.00	0.19	90.0	0.00
^04/20/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.22	0.00	0.22	90.0	0.00
^05/16/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.22	0.00	0.22	90.0	0.00
^06/15/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.22	0.00	0.22	90.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^07/18/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.22	0.00	0.22	90.0	0.00
^08/15/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.23	0.00	0.23	90.0	0.00
^09/16/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.24	0.00	0.24	90.0	0.00
^10/17/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.23	0.00	0.23	90.0	0.00
^10/17/2016	FLECK, KATHIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.50	0.00	1.50	90.0	0.00
^11/18/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.24	0.00	0.24	90.0	0.00
^11/18/2016	FLECK, KATHIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.58	0.00	1.58	90.0	0.00
^12/15/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.23	0.00	0.23	90.0	0.00
^02/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.21	0.00	0.21	90.0	0.00
^03/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.19	0.00	0.19	90.0	0.00
^04/20/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.22	0.00	0.22	90.0	0.00
^05/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.21	0.00	0.21	90.0	0.00
^06/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.22	0.00	0.22	90.0	0.00
^07/18/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.31	0.00	0.31	90.0	0.00
^08/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.44	0.00	0.44	90.0	0.00
^09/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.44	0.00	0.44	90.0	0.00
^10/17/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.45	0.00	0.45	90.0	0.00
^11/18/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.47	0.00	0.47	90.0	0.00
^12/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.46	0.00	0.46	90.0	0.00
^02/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.50	0.00	0.50	90.0	0.00
^03/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.47	0.00	0.47	90.0	0.00
^04/20/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.51	0.00	0.51	90.0	0.00
^05/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.49	0.00	0.49	90.0	0.00
^06/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.51	0.00	0.51	90.0	0.00
^07/18/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.59	0.00	0.59	90.0	0.00
^08/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.73	0.00	0.73	90.0	0.00
^09/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.73	0.00	0.73	90.0	0.00
^10/17/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.70	0.00	0.70	90.0	0.00
^11/18/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.73	0.00	0.73	90.0	0.00
^12/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.70	0.00	0.70	90.0	0.00
^02/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.20	0.00	0.20	90.0	0.00
^03/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.19	0.00	0.19	90.0	0.00
^04/20/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.21	0.00	0.21	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^05/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.21	0.00	0.21	90.0	0.00
^06/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.22	0.00	0.22	90.0	0.00
^07/18/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.31	0.00	0.31	90.0	0.00
^08/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.44	0.00	0.44	90.0	0.00
^09/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.44	0.00	0.44	90.0	0.00
^10/17/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.42	0.00	0.42	90.0	0.00
^11/18/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.44	0.00	0.44	90.0	0.00
^12/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.43	0.00	0.43	90.0	0.00
^10/21/2016	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	2.02	0.00	2.02	90.0	0.00
^11/21/2016	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	2.58	0.00	2.58	90.0	0.00
^12/16/2016	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	2.51	0.00	2.51	90.0	0.00
^05/16/2016	SORG, DAWN	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	1.14	0.00	1.14	90.0	0.00
^06/15/2016	SORG, DAWN	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	2.21	0.00	2.21	90.0	0.00
^07/19/2016	SORG, DAWN	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	1.96	0.00	1.96	90.0	0.00
^10/19/2016	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	0.09	0.00	0.09	90.0	0.00
^11/18/2016	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	0.12	0.00	0.12	90.0	0.00
^12/16/2016	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	0.11	0.00	0.11	90.0	0.00
^10/21/2016	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.36	0.00	0.36	90.0	0.00
^11/30/2016	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.48	0.00	0.48	90.0	0.00
^12/21/2016	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.46	0.00	0.46	90.0	0.00
^05/13/2016	SORG, RICHARD	FRANKLIN BALANCED A	0.00	1.61	0.00	1.61	90.0	0.00
^06/14/2016	SORG, RICHARD	FRANKLIN BALANCED A	0.00	4.47	0.00	4.47	90.0	0.00
^07/14/2016	SORG, RICHARD	FRANKLIN BALANCED A	0.00	0.73	0.00	0.73	90.0	0.00
^02/23/2016	WALLS, THOMAS	GABELLI VALUE 25 FUND CLASS C	0.00	2.61	0.00	2.61	90.0	0.00
^03/21/2016	WALLS, THOMAS	GABELLI VALUE 25 FUND CLASS C	0.00	2.44	0.00	2.44	90.0	0.00
^04/28/2016	WALLS, THOMAS	GABELLI VALUE 25 FUND CLASS C	0.00	3.02	0.00	3.02	90.0	0.00
^05/24/2016	WALLS, THOMAS	GABELLI VALUE 25 FUND CLASS C	0.00	2.99	0.00	2.99	90.0	0.00
^06/22/2016	WALLS, THOMAS	GABELLI VALUE 25 FUND CLASS C	0.00	3.11	0.00	3.11	90.0	0.00
^07/22/2016	WALLS, THOMAS	GABELLI VALUE 25 FUND CLASS C	0.00	3.06	0.00	3.06	90.0	0.00
^08/19/2016	WALLS, THOMAS	GABELLI VALUE 25 FUND CLASS C	0.00	2.62	0.00	2.62	90.0	0.00
^10/17/2016	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	1.24	0.00	1.24	90.0	0.00
^11/21/2016	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	1.59	0.00	1.59	90.0	0.00
^12/15/2016	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	1.61	0.00	1.61	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^10/17/2016	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.08	0.00	0.08	90.0	0.00
^11/18/2016	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.11	0.00	0.11	90.0	0.00
^12/15/2016	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.18	0.00	0.18	90.0	0.00
^04/15/2016	WALLS, THOMAS	IVY HIGH INCOME FUND CLASS C	0.00	7.11	0.00	7.11	90.0	0.00
^07/13/2016	WALLS, THOMAS	IVY HIGH INCOME FUND CLASS C	0.00	7.61	0.00	7.61	90.0	0.00
^10/14/2016	WALLS, THOMAS	IVY HIGH INCOME FUND CLASS C	0.00	2.16	0.00	2.16	90.0	0.00
^05/16/2016	SORG, DAWN	JP MORGAN INCOME BUILDER FUND CLASS	0.00	1.75	0.00	1.75	90.0	0.00
^06/20/2016	SORG, DAWN	JP MORGAN INCOME BUILDER FUND CLASS	0.00	3.40	0.00	3.40	90.0	0.00
^07/25/2016	SORG, DAWN	JP MORGAN INCOME BUILDER FUND CLASS	0.00	2.97	0.00	2.97	90.0	0.00
^02/14/2018	SITES, TEGAN	LORD ABBETT SHORT DURATION INCOME A	0.00	0.40	0.00	0.40	90.0	0.00
^02/22/2016	WALLS, THOMAS	OPPENHEIMER INTL DIVERSIFIED C	0.00	1.72	0.00	1.72	90.0	0.00
^03/17/2016	WALLS, THOMAS	OPPENHEIMER INTL DIVERSIFIED C	0.00	1.58	0.00	1.58	90.0	0.00
^04/21/2016	WALLS, THOMAS	OPPENHEIMER INTL DIVERSIFIED C	0.00	1.80	0.00	1.80	90.0	0.00
^05/19/2016	WALLS, THOMAS	OPPENHEIMER INTL DIVERSIFIED C	0.00	1.78	0.00	1.78	90.0	0.00
^06/30/2016	WALLS, THOMAS	OPPENHEIMER INTL DIVERSIFIED C	0.00	1.83	0.00	1.83	90.0	0.00
^07/18/2016	WALLS, THOMAS	OPPENHEIMER INTL DIVERSIFIED C	0.00	1.76	0.00	1.76	90.0	0.00
^08/24/2016	WALLS, THOMAS	OPPENHEIMER INTL DIVERSIFIED C	0.00	1.48	0.00	1.48	90.0	0.00
^02/22/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	0.17	0.00	0.17	90.0	0.00
^03/11/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	0.17	0.00	0.17	90.0	0.00
^04/20/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	0.19	0.00	0.19	90.0	0.00
^05/18/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	0.40	0.00	0.40	90.0	0.00
^06/16/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	3.60	0.00	3.60	90.0	0.00
^07/15/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	3.48	0.00	3.48	90.0	0.00
^08/12/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	3.63	0.00	3.63	90.0	0.00
^09/15/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	3.64	0.00	3.64	90.0	0.00
^10/21/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	3.53	0.00	3.53	90.0	0.00
^11/18/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	3.66	0.00	3.66	90.0	0.00
^12/14/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	3.52	0.00	3.52	90.0	0.00
^02/22/2016	WALLS, THOMAS	PIMCO REAL RETURN C	0.00	3.05	0.00	3.05	90.0	0.00
^03/11/2016	WALLS, THOMAS	PIMCO REAL RETURN C	0.00	2.87	0.00	2.87	90.0	0.00
^04/20/2016	WALLS, THOMAS	PIMCO REAL RETURN C	0.00	3.11	0.00	3.11	90.0	0.00
^05/18/2016	WALLS, THOMAS	PIMCO REAL RETURN C	0.00	3.06	0.00	3.06	90.0	0.00
^06/16/2016	WALLS, THOMAS	PIMCO REAL RETURN C	0.00	3.17	0.00	3.17	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^07/15/2016	WALLS, THOMAS	PIMCO REAL RETURN C	0.00	3.09	0.00	3.09	90.0	0.00
^08/12/2016	WALLS, THOMAS	PIMCO REAL RETURN C	0.00	2.61	0.00	2.61	90.0	0.00
^05/13/2016	SORG, DAWN	TEMPLETON GLOBAL BOND A	0.00	1.41	0.00	1.41	90.0	0.00
^06/14/2016	SORG, DAWN	TEMPLETON GLOBAL BOND A	0.00	2.69	0.00	2.69	90.0	0.00
^07/14/2016	SORG, DAWN	TEMPLETON GLOBAL BOND A	0.00	2.32	0.00	2.32	90.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$190.38	\$0.00	\$190.38		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$755.00	\$224.33	\$0.00	\$224.33		\$0.00

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10**

02/14/2018	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	50.00	0.71	0.00	0.71	90.0	0.64
02/09/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
02/09/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
02/09/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.36	0.00	0.36	90.0	0.32
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$175.00	\$1.37	\$0.00	\$1.37		\$1.24

BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209

02/16/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.06	0.00	0.06	90.0	0.05
02/16/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.14	0.00	0.14	90.0	0.13
02/16/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.03	0.00	0.03	90.0	0.03
02/16/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.03	0.00	0.03	90.0	0.03
03/15/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.06	0.00	0.06	90.0	0.05
03/15/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.14	0.00	0.14	90.0	0.13
03/15/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.03	0.00	0.03	90.0	0.03
03/15/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.03	0.00	0.03	90.0	0.03
04/20/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.06
04/20/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.17	0.00	0.17	90.0	0.15
04/20/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.03	0.00	0.03	90.0	0.03
04/20/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.03	0.00	0.03	90.0	0.03
05/16/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.06
05/16/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.14	0.00	0.14	90.0	0.13
05/16/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.03	0.00	0.03	90.0	0.03
05/16/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.03	0.00	0.03	90.0	0.03

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
06/15/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.10	0.00	0.10	90.0	0.09
06/15/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.14
06/15/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.04	0.00	0.04	90.0	0.04
06/15/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.04	0.00	0.04	90.0	0.04
07/18/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.12	0.00	0.12	90.0	0.11
07/18/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.14
07/18/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.04	0.00	0.04	90.0	0.04
07/18/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.04	0.00	0.04	90.0	0.04
08/15/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.14
08/15/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.14
08/15/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.05
08/15/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.05
08/15/2016	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.19	0.00	0.19	90.0	0.17
09/16/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.14
09/16/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.16	0.00	0.16	90.0	0.14
09/16/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.05
09/16/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.05
09/16/2016	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.32	0.00	0.32	90.0	0.29
10/17/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.17	0.00	0.17	90.0	0.15
10/17/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.14
10/17/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.05
10/17/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.05
10/17/2016	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.31	0.00	0.31	90.0	0.28
11/18/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.18	0.00	0.18	90.0	0.16
11/18/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.14
11/18/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.05
11/18/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.05
11/18/2016	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.32	0.00	0.32	90.0	0.29
12/15/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.17	0.00	0.17	90.0	0.15
12/15/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.14
12/15/2016	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.05
12/15/2016	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.05
12/15/2016	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.31	0.00	0.31	90.0	0.28

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
12/15/2016	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.01	0.00	0.01	90.0	0.01
02/05/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	1.88	0.00	1.88	90.0	1.69
02/15/2018	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.50	0.00	1.50	90.0	1.35
02/16/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.13	0.00	0.13	90.0	0.12
02/16/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.12	0.00	0.12	90.0	0.11
03/15/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.12	0.00	0.12	90.0	0.11
03/15/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.11	0.00	0.11	90.0	0.10
04/20/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.14	0.00	0.14	90.0	0.13
04/20/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.13	0.00	0.13	90.0	0.12
05/16/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.13	0.00	0.13	90.0	0.12
05/16/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.13	0.00	0.13	90.0	0.12
06/15/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.14	0.00	0.14	90.0	0.13
06/15/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.16	0.00	0.16	90.0	0.14
07/18/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.14	0.00	0.14	90.0	0.13
07/18/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.17	0.00	0.17	90.0	0.15
08/15/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.15	0.00	0.15	90.0	0.14
08/15/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.19	0.00	0.19	90.0	0.17
09/16/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.15	0.00	0.15	90.0	0.14
09/16/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.20	0.00	0.20	90.0	0.18
10/17/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.16	0.00	0.16	90.0	0.14
10/17/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.19	0.00	0.19	90.0	0.17
11/18/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.17	0.00	0.17	90.0	0.15
11/18/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.20	0.00	0.20	90.0	0.18
12/15/2016	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.17	0.00	0.17	90.0	0.15
12/15/2016	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.19	0.00	0.19	90.0	0.17
02/16/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.06	0.00	0.06	90.0	0.05
03/15/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.05	0.00	0.05	90.0	0.05
04/20/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.06	0.00	0.06	90.0	0.05
05/16/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.06	0.00	0.06	90.0	0.05
06/15/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.06	0.00	0.06	90.0	0.05
07/18/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.06	0.00	0.06	90.0	0.05
08/15/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.06	0.00	0.06	90.0	0.05
09/16/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.07	0.00	0.07	90.0	0.06

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
10/17/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.09	0.00	0.09	90.0	0.08
11/18/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.10	0.00	0.10	90.0	0.09
12/15/2016	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.09	0.00	0.09	90.0	0.08
02/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.05	0.00	0.05	90.0	0.05
03/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.05	0.00	0.05	90.0	0.05
04/20/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.05	0.00	0.05	90.0	0.05
05/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.05	0.00	0.05	90.0	0.05
06/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.06	0.00	0.06	90.0	0.05
07/18/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.09	0.00	0.09	90.0	0.08
08/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.13	0.00	0.13	90.0	0.12
09/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.14	0.00	0.14	90.0	0.13
10/17/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.14	0.00	0.14	90.0	0.13
11/18/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.14	0.00	0.14	90.0	0.13
12/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.13	0.00	0.13	90.0	0.12
02/16/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.06	0.00	0.06	90.0	0.05
03/15/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.06	0.00	0.06	90.0	0.05
04/20/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
05/16/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
06/15/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
07/18/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
08/15/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
09/16/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
10/17/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
10/17/2016	FLECK, KATHIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.45	0.00	0.45	90.0	0.41
11/18/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
11/18/2016	FLECK, KATHIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.47	0.00	0.47	90.0	0.42
12/15/2016	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
02/15/2018	CLINE, DAVIS	AM FUNDS GROWTH FUND OF AMERICA A	670.00	10.05	6.60	3.45	90.0	3.11
02/15/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.00	0.00	3.00	90.0	2.70
02/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.06	0.00	0.06	90.0	0.05
03/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.06	0.00	0.06	90.0	0.05
04/20/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
05/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.06	0.00	0.06	90.0	0.05

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
06/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
07/18/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.09	0.00	0.09	90.0	0.08
08/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.13	0.00	0.13	90.0	0.12
09/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.13	0.00	0.13	90.0	0.12
10/17/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.14	0.00	0.14	90.0	0.13
11/18/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.14	0.00	0.14	90.0	0.13
12/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.14	0.00	0.14	90.0	0.13
02/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.15	0.00	0.15	90.0	0.14
03/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.14	0.00	0.14	90.0	0.13
04/20/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.15	0.00	0.15	90.0	0.14
05/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.15	0.00	0.15	90.0	0.14
06/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.15	0.00	0.15	90.0	0.14
07/18/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.18	0.00	0.18	90.0	0.16
08/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.22	0.00	0.22	90.0	0.20
09/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.22	0.00	0.22	90.0	0.20
10/17/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.21	0.00	0.21	90.0	0.19
11/18/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.22	0.00	0.22	90.0	0.20
12/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.21	0.00	0.21	90.0	0.19
02/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.06	0.00	0.06	90.0	0.05
03/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.06	0.00	0.06	90.0	0.05
04/20/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.06	0.00	0.06	90.0	0.05
05/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.06	0.00	0.06	90.0	0.05
06/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.07	0.00	0.07	90.0	0.06
07/18/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.09	0.00	0.09	90.0	0.08
08/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.13	0.00	0.13	90.0	0.12
09/16/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.13	0.00	0.13	90.0	0.12
10/17/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.13	0.00	0.13	90.0	0.12
11/18/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.13	0.00	0.13	90.0	0.12
12/15/2016	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.13	0.00	0.13	90.0	0.12
02/09/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
10/21/2016	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	0.61	0.00	0.61	90.0	0.55
11/21/2016	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	0.77	0.00	0.77	90.0	0.69
12/16/2016	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	0.75	0.00	0.75	90.0	0.68

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
05/16/2016	SORG, DAWN	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	0.34	0.00	0.34	90.0	0.31
06/15/2016	SORG, DAWN	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	0.66	0.00	0.66	90.0	0.59
07/19/2016	SORG, DAWN	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	0.59	0.00	0.59	90.0	0.53
10/19/2016	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	0.03	0.00	0.03	90.0	0.03
11/18/2016	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	0.04	0.00	0.04	90.0	0.04
12/16/2016	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	0.03	0.00	0.03	90.0	0.03
10/21/2016	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.11	0.00	0.11	90.0	0.10
11/30/2016	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.14	0.00	0.14	90.0	0.13
12/21/2016	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.14	0.00	0.14	90.0	0.13
05/13/2016	SORG, RICHARD	FRANKLIN BALANCED A	0.00	0.48	0.00	0.48	90.0	0.43
06/14/2016	SORG, RICHARD	FRANKLIN BALANCED A	0.00	1.34	0.00	1.34	90.0	1.21
07/14/2016	SORG, RICHARD	FRANKLIN BALANCED A	0.00	0.22	0.00	0.22	90.0	0.20
02/23/2016	WALLS, THOMAS	GABELLI VALUE 25 FUND CLASS C	0.00	0.78	0.00	0.78	90.0	0.70
03/21/2016	WALLS, THOMAS	GABELLI VALUE 25 FUND CLASS C	0.00	0.73	0.00	0.73	90.0	0.66
04/28/2016	WALLS, THOMAS	GABELLI VALUE 25 FUND CLASS C	0.00	0.91	0.00	0.91	90.0	0.82
05/24/2016	WALLS, THOMAS	GABELLI VALUE 25 FUND CLASS C	0.00	0.90	0.00	0.90	90.0	0.81
06/22/2016	WALLS, THOMAS	GABELLI VALUE 25 FUND CLASS C	0.00	0.93	0.00	0.93	90.0	0.84
07/22/2016	WALLS, THOMAS	GABELLI VALUE 25 FUND CLASS C	0.00	0.92	0.00	0.92	90.0	0.83
08/19/2016	WALLS, THOMAS	GABELLI VALUE 25 FUND CLASS C	0.00	0.79	0.00	0.79	90.0	0.71
10/17/2016	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.37	0.00	0.37	90.0	0.33
11/21/2016	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.48	0.00	0.48	90.0	0.43
12/15/2016	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.48	0.00	0.48	90.0	0.43
/ /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	0.49	0.00	0.49	90.0	0.44
02/14/2018	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	50.00	0.49	0.00	0.49	90.0	0.44
02/09/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.12	0.00	0.12	90.0	0.11
02/09/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.55	0.00	0.55	90.0	0.50
02/09/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
02/09/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
10/17/2016	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.02	0.00	0.02	90.0	0.02
11/18/2016	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.03	0.00	0.03	90.0	0.03
12/15/2016	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.05	0.00	0.05	90.0	0.05
04/15/2016	WALLS, THOMAS	IVY HIGH INCOME FUND CLASS C	0.00	2.13	0.00	2.13	90.0	1.92
07/13/2016	WALLS, THOMAS	IVY HIGH INCOME FUND CLASS C	0.00	2.28	0.00	2.28	90.0	2.05

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
10/14/2016	WALLS, THOMAS	IVY HIGH INCOME FUND CLASS C	0.00	0.65	0.00	0.65	90.0	0.59
05/16/2016	SORG, DAWN	JP MORGAN INCOME BUILDER FUND CLASS	0.00	0.53	0.00	0.53	90.0	0.48
06/20/2016	SORG, DAWN	JP MORGAN INCOME BUILDER FUND CLASS	0.00	1.02	0.00	1.02	90.0	0.92
07/25/2016	SORG, DAWN	JP MORGAN INCOME BUILDER FUND CLASS	0.00	0.89	0.00	0.89	90.0	0.80
02/14/2018	SITES, TEGAN	LORD ABBETT SHORT DURATION INCOME A	0.00	0.12	0.00	0.12	90.0	0.11
02/22/2016	WALLS, THOMAS	OPPENHEIMER INTL DIVERSIFIED C	0.00	0.52	0.00	0.52	90.0	0.47
03/17/2016	WALLS, THOMAS	OPPENHEIMER INTL DIVERSIFIED C	0.00	0.47	0.00	0.47	90.0	0.42
04/21/2016	WALLS, THOMAS	OPPENHEIMER INTL DIVERSIFIED C	0.00	0.54	0.00	0.54	90.0	0.49
05/19/2016	WALLS, THOMAS	OPPENHEIMER INTL DIVERSIFIED C	0.00	0.53	0.00	0.53	90.0	0.48
06/30/2016	WALLS, THOMAS	OPPENHEIMER INTL DIVERSIFIED C	0.00	0.55	0.00	0.55	90.0	0.50
07/18/2016	WALLS, THOMAS	OPPENHEIMER INTL DIVERSIFIED C	0.00	0.53	0.00	0.53	90.0	0.48
08/24/2016	WALLS, THOMAS	OPPENHEIMER INTL DIVERSIFIED C	0.00	0.44	0.00	0.44	90.0	0.40
02/22/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	0.05	0.00	0.05	90.0	0.05
03/11/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	0.05	0.00	0.05	90.0	0.05
04/20/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	0.06	0.00	0.06	90.0	0.05
05/18/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	0.12	0.00	0.12	90.0	0.11
06/16/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.08	0.00	1.08	90.0	0.97
07/15/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.04	0.00	1.04	90.0	0.94
08/12/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.09	0.00	1.09	90.0	0.98
09/15/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.09	0.00	1.09	90.0	0.98
10/21/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.06	0.00	1.06	90.0	0.95
11/18/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.10	0.00	1.10	90.0	0.99
12/14/2016	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.06	0.00	1.06	90.0	0.95
02/22/2016	WALLS, THOMAS	PIMCO REAL RETURN C	0.00	0.92	0.00	0.92	90.0	0.83
03/11/2016	WALLS, THOMAS	PIMCO REAL RETURN C	0.00	0.86	0.00	0.86	90.0	0.77
04/20/2016	WALLS, THOMAS	PIMCO REAL RETURN C	0.00	0.93	0.00	0.93	90.0	0.84
05/18/2016	WALLS, THOMAS	PIMCO REAL RETURN C	0.00	0.92	0.00	0.92	90.0	0.83
06/16/2016	WALLS, THOMAS	PIMCO REAL RETURN C	0.00	0.95	0.00	0.95	90.0	0.86
07/15/2016	WALLS, THOMAS	PIMCO REAL RETURN C	0.00	0.93	0.00	0.93	90.0	0.84
08/12/2016	WALLS, THOMAS	PIMCO REAL RETURN C	0.00	0.78	0.00	0.78	90.0	0.70
05/13/2016	SORG, DAWN	TEMPLETON GLOBAL BOND A	0.00	0.42	0.00	0.42	90.0	0.38
06/14/2016	SORG, DAWN	TEMPLETON GLOBAL BOND A	0.00	0.81	0.00	0.81	90.0	0.73
07/14/2016	SORG, DAWN	TEMPLETON GLOBAL BOND A	0.00	0.70	0.00	0.70	90.0	0.63

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
		* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/	SUBTOTAL *	\$1,250.00	\$76.04	\$6.60	\$69.44	\$62.71
		*** SPLIT TRANSACTIONS TOTAL ***		\$1,425.00	\$77.41	\$6.60	\$70.81	\$63.95

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$63.95
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$46.51
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$110.46
Year-to-date Gross	\$291.80
Year-to-date Earnings	\$250.98

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed