

COMMISSION STATEMENT

June 09, 2017

HENRY HACKEL
179 EAST 70TH STREET
APT 6B
NEW YORK, NY 10021

BROKER #: 30
BRANCH: HOME OFFICE

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

05/01/2017	QGW022311 SWEENEY, PATRICIA	VANGUARD TARGET RETIREMENT 2030 FUN B		9,900.00	35.00	0.00	35.00	80.0	28.00
		* MUTUAL FUNDS SUBTOTAL *		\$9,900.00	\$35.00	\$0.00	\$35.00		\$28.00

PRODUCT CATEGORY: STOCKS

05/15/2017	75500526 GALLO, TERESA	UNITED STATES STL CORP NEW	B	20,487.00	20.00	0.00	20.00	80.0	16.00
05/10/2017	75500633 MKM SP1 LLC	METASTAT INC COM	S	75.90	30.00	0.00	30.00	80.0	24.00
05/10/2017	75500633 MKM SP1 LLC	ORGANIC PLANT HEALTH INC	S	100.00	20.00	0.00	20.00	80.0	16.00
05/10/2017	75500633 MKM SP1 LLC	QUANTUM MATERIALS CORP	S	3,180.00	95.00	0.00	95.00	80.0	76.00
05/10/2017	75500633 MKM SP1 LLC	TRUE DRINKS HOLDINGS INC	S	125.00	30.00	0.00	30.00	80.0	24.00
05/04/2017	75501455 HACKEL, HENRY	MPLX LP COM UNIT REPSTG LTD PARTNER B		399,939.60	0.00	0.00	0.00	80.0	0.00
05/04/2017	75501455 HACKEL, HENRY	VANECK VECTORS ETF TR OIL SVCS ETF B		532,796.00	0.00	0.00	0.00	80.0	0.00
05/08/2017	75501455 HACKEL, HENRY	LYONDELLBASELL INDUSTRIES N V ORD S B		404,149.00	0.00	0.00	0.00	80.0	0.00
05/08/2017	75501455 HACKEL, HENRY	VANECK VECTORS ETF TR OIL SVCS ETF S		330,000.00	0.00	0.00	0.00	80.0	0.00
05/10/2017	75501455 HACKEL, HENRY	VANECK VECTORS ETF TR OIL SVCS ETF S		222,640.00	0.00	0.00	0.00	80.0	0.00
05/12/2017	QGW001000 WILSON, STEPHEN	RELM WIRELESS CORP COM	S	-2,271.95	20.00	0.00	20.00	80.0	16.00
05/18/2017	QGW001018 GRAYMAN, EZRA	GASTAR EXPL INC NEW COM	B	10,200.00	10.00	0.00	10.00	80.0	8.00
05/01/2017	QGW001042 GRAYMAN, EZRA	TANGOE INC COMMON STOCK	S	-32,100.00	10.00	0.00	10.00	80.0	8.00
04/27/2017	QGW001091 HACKEL, DEBBIE	WEIGHT WATCHERS INTL INC NEW	S	-8,208.04	10.00	0.00	10.00	80.0	8.00
05/10/2017	QGW001091 HACKEL, DEBBIE	APPLE INC	S	-42,000.00	10.00	0.00	10.00	80.0	8.00
05/11/2017	QGW001091 HACKEL, DEBBIE	APPLE INC	B	46,036.80	10.00	0.00	10.00	80.0	8.00
05/19/2017	QGW001091 HACKEL, DEBBIE	NETFLIX COM INC	S	-70,000.00	10.00	0.00	10.00	80.0	8.00
05/19/2017	QGW001091 HACKEL, DEBBIE	NETFLIX COM INC	B	75,000.00	10.00	0.00	10.00	80.0	8.00
05/25/2017	QGW001091 HACKEL, DEBBIE	HERTZ GLOBAL HLDGS INC NEW COM	S	-9,740.00	10.00	0.00	10.00	80.0	8.00
04/26/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	S	-4,857.25	12.13	0.00	12.13	80.0	9.70
04/27/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	S	-1,456.50	10.64	0.00	10.64	80.0	8.51
04/28/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	B	9,378.75	14.25	0.00	14.25	80.0	11.40
05/03/2017	QGW001166 KOONDEL	DRYSHIPS INC COM PAR ISIN# MHY2109Q S		-382.20	14.25	0.00	14.25	80.0	11.40
05/04/2017	QGW001166 KOONDEL	ALLIQUA BIOMEDICAL INC COM NEW	B	737.23	27.42	0.00	27.42	80.0	21.94
05/04/2017	QGW001166 KOONDEL	DRYSHIPS INC COM PAR ISIN# MHY2109Q S		-556.01	15.95	0.00	15.95	80.0	12.76
05/05/2017	QGW001166 KOONDEL	ALPHABET INC CLASS C CAPITAL STOCK	S	-83,000.00	10.85	0.00	10.85	80.0	8.68
05/05/2017	QGW001166 KOONDEL	ALPHABET INC CLASS C CAPITAL STOCK	B	82,000.00	10.85	0.00	10.85	80.0	8.68

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/05/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	S	-11,557.02	15.10	0.00	15.10	80.0	12.08
05/05/2017	QGW001166 KOONDEL	DRYSHIPS INC COM PAR ISIN# MHY2109Q	S	-1,422.08	23.60	0.00	23.60	80.0	18.88
05/08/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	B	7,973.77	13.61	0.00	13.61	80.0	10.89
05/08/2017	QGW001166 KOONDEL	OPKO HEALTH INC	B	3,630.50	14.25	0.00	14.25	80.0	11.40
05/10/2017	QGW001166 KOONDEL	APPLE INC	S	-34,500.00	12.55	0.00	12.55	80.0	10.04
05/12/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	B	12,009.53	15.53	0.00	15.53	80.0	12.42
05/16/2017	QGW001166 KOONDEL	CATERPILLAR INC	S	-35,000.00	13.40	0.00	13.40	80.0	10.72
05/17/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	B	8,708.75	14.04	0.00	14.04	80.0	11.23
05/19/2017	QGW001166 KOONDEL	ALPHABET INC CLASS C CAPITAL STOCK	B	161,000.00	6.70	0.00	6.70	80.0	5.36
05/19/2017	QGW001166 KOONDEL	ALPHABET INC CLASS C CAPITAL STOCK	B	160,000.00	6.70	0.00	6.70	80.0	5.36
05/19/2017	QGW001166 KOONDEL	APPLE INC	B	33,000.00	12.55	0.00	12.55	80.0	10.04
05/19/2017	QGW001166 KOONDEL	BANK OF AMERICA CORP	B	80,000.00	44.00	0.00	44.00	80.0	35.20
05/19/2017	QGW001166 KOONDEL	CATERPILLAR INC	S	-38,000.00	13.40	0.00	13.40	80.0	10.72
05/24/2017	QGW001166 KOONDEL	COCRYSTAL PHARMA INC COM	B	920.35	43.58	0.00	43.58	80.0	34.86
05/25/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	S	-7,160.74	13.19	0.00	13.19	80.0	10.55
05/12/2017	QGW001729 WILSON, STEPHEN	ICAD INC COM NEW	B	3,757.50	20.00	0.00	20.00	80.0	16.00
05/12/2017	QGW001729 WILSON, STEPHEN	RELM WIRELESS CORP COM	S	-6,815.85	20.00	0.00	20.00	80.0	16.00
04/27/2017	QGW001737 HEATH, KIMBERLY	LOGMEIN INC	S	-22,655.00	20.00	0.00	20.00	80.0	16.00
05/10/2017	QGW001737 HEATH, KIMBERLY	HORIZON PHARMA PLC SHS	B	4,793.30	20.00	0.00	20.00	80.0	16.00
05/22/2017	QGW001737 HEATH, KIMBERLY	HUNTSMAN CORP	S	-70,275.00	20.00	0.00	20.00	80.0	16.00
05/22/2017	QGW001737 HEATH, KIMBERLY	ICAD INC COM NEW	B	4,600.00	20.00	0.00	20.00	80.0	16.00
05/24/2017	QGW001737 HEATH, KIMBERLY	ICAD INC COM NEW	B	2,335.00	20.00	0.00	20.00	80.0	16.00
05/03/2017	QGW001752 HEATH, KIMBERLY	ICAD INC COM NEW	B	10,060.00	20.00	0.00	20.00	80.0	16.00
05/03/2017	QGW001752 HEATH, KIMBERLY	ICAD INC COM NEW	B	10,000.00	20.00	0.00	20.00	80.0	16.00
05/12/2017	QGW001752 HEATH, KIMBERLY	RELM WIRELESS CORP COM	S	-66,213.71	20.00	0.00	20.00	80.0	16.00
04/27/2017	QGW001760 HEATH, KIMBERLY	LOGMEIN INC	S	-11,325.00	20.00	0.00	20.00	80.0	16.00
05/17/2017	QGW001760 HEATH, KIMBERLY	RELM WIRELESS CORP COM	S	-508.25	0.97	0.00	0.97	80.0	0.78
05/18/2017	QGW001760 HEATH, KIMBERLY	RELM WIRELESS CORP COM	S	-23.75	0.03	0.00	0.03	80.0	0.02
05/19/2017	QGW001760 HEATH, KIMBERLY	RELM WIRELESS CORP COM	S	-1,387.00	2.64	0.00	2.64	80.0	2.11
05/22/2017	QGW001760 HEATH, KIMBERLY	HUNTSMAN CORP	S	-84,330.00	20.00	0.00	20.00	80.0	16.00
05/22/2017	QGW001760 HEATH, KIMBERLY	ICAD INC COM NEW	B	4,597.70	20.00	0.00	20.00	80.0	16.00
05/22/2017	QGW001760 HEATH, KIMBERLY	RELM WIRELESS CORP COM	S	-945.25	1.78	0.00	1.78	80.0	1.42
05/24/2017	QGW001760 HEATH, KIMBERLY	RELM WIRELESS CORP COM	S	-123.50	0.23	0.00	0.23	80.0	0.18
05/25/2017	QGW001760 HEATH, KIMBERLY	RELM WIRELESS CORP COM	S	-4,222.75	8.04	0.00	8.04	80.0	6.43
04/26/2017	QGW001828 BRIMBERG BIOTECH	CONATUS PHARMACEUTICALS INC COM	S	-4,142.65	50.00	0.00	50.00	80.0	40.00
04/26/2017	QGW001828 BRIMBERG BIOTECH	EXELIXIS INC	S	-8,725.40	400.00	0.00	400.00	80.0	320.00
04/28/2017	QGW001828 BRIMBERG BIOTECH	BONANZA CREEK ENERGY INC COM	B	2,500.00	100.00	0.00	100.00	80.0	80.00
05/01/2017	QGW001828 BRIMBERG BIOTECH	BONANZA CREEK ENERGY INC COM NEW IS B	B	9,687.04	50.00	0.00	50.00	80.0	40.00
05/11/2017	QGW001828 BRIMBERG BIOTECH	CONATUS PHARMACEUTICALS INC COM	B	22,197.60	75.00	0.00	75.00	80.0	60.00
05/01/2017	QGW004905 CAPRARO, DAVID	VALEANT PHARMACEUTICALS INTERNATION B	B	634,418.40	660.00	0.00	660.00	80.0	528.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/03/2017	QGW004905	CAPRARO, DAVID		VALEANT PHARMACEUTICALS INTERNATION B	39,027.30	39.00	0.00	39.00	80.0 31.20
05/09/2017	QGW004905	CAPRARO, DAVID		VALEANT PHARMACEUTICALS INTERNATION S	-69,312.00	57.00	0.00	57.00	80.0 45.60
05/09/2017	QGW004905	CAPRARO, DAVID		VALEANT PHARMACEUTICALS INTERNATION S	-317,496.23	263.00	0.00	263.00	80.0 210.40
05/10/2017	QGW004905	CAPRARO, DAVID		VALEANT PHARMACEUTICALS INTERNATION B	63,213.00	75.00	0.00	75.00	80.0 60.00
05/10/2017	QGW004905	CAPRARO, DAVID		VALEANT PHARMACEUTICALS INTERNATION S	-471,210.96	380.11	0.00	380.11	80.0 304.09
05/10/2017	QGW004905	CAPRARO, DAVID		VALEANT PHARMACEUTICALS INTERNATION B	-63,213.00	-75.00	0.00	-75.00	80.0 -60.00 C
05/10/2017	QGW004905	CAPRARO, DAVID		VALEANT PHARMACEUTICALS INTERNATION B	63,213.00	75.00	0.00	75.00	80.0 60.00
05/11/2017	QGW004905	CAPRARO, DAVID		VALEANT PHARMACEUTICALS INTERNATION B	190,434.00	175.00	0.00	175.00	80.0 140.00
05/11/2017	QGW004905	CAPRARO, DAVID		VALEANT PHARMACEUTICALS INTERNATION S	-272,458.00	200.00	0.00	200.00	80.0 160.00
05/15/2017	QGW004905	CAPRARO, DAVID		VALEANT PHARMACEUTICALS INTERNATION B	142,400.00	100.00	0.00	100.00	80.0 80.00
05/16/2017	QGW004905	CAPRARO, DAVID		VALEANT PHARMACEUTICALS INTERNATION B	70,892.50	50.00	0.00	50.00	80.0 40.00
05/17/2017	QGW004905	CAPRARO, DAVID		VALEANT PHARMACEUTICALS INTERNATION S	-207,661.50	150.00	0.00	150.00	80.0 120.00
05/22/2017	QGW004905	CAPRARO, DAVID		FIRST MAJESTIC SILVER CORP B	63,489.30	70.00	0.00	70.00	80.0 56.00
05/22/2017	QGW004905	CAPRARO, DAVID		TAHOE RES INC COM ISIN#CA8738681037 B	48,199.50	50.00	0.00	50.00	80.0 40.00
05/04/2017	QGW005084	FRIED, ALLAN		MADALENA ENERGY INC COM ISIN#CA5562 B	32,460.00	324.60	0.00	324.60	80.0 259.68
05/15/2017	QGW005084	FRIED, ALLAN		CHIMERA INVESTMENT CORPORATION B	35,679.40	60.00	0.00	60.00	80.0 48.00
05/01/2017	QGW005894	JT/WROS, MINNA		WALT DISNEY CO S	-34,598.40	242.19	0.00	242.19	80.0 193.75
05/01/2017	QGW005936	RABBITO, RICHARD		REX ENERGY CORP B	1,158.03	35.00	0.00	35.00	80.0 28.00
05/02/2017	QGW005936	RABBITO, RICHARD		CUMULUS MEDIA INC CL A NEW B	1,195.80	35.00	0.00	35.00	80.0 28.00
05/02/2017	QGW005936	RABBITO, RICHARD		REX ENERGY CORP S	-1,366.74	35.00	0.00	35.00	80.0 28.00
05/19/2017	QGW005936	RABBITO, RICHARD		CUMULUS MEDIA INC CL A NEW S	-1,476.00	35.00	0.00	35.00	80.0 28.00
05/22/2017	QGW005936	RABBITO, RICHARD		CIBER INC COM B	700.00	35.00	0.00	35.00	80.0 28.00
05/22/2017	QGW005936	RABBITO, RICHARD		CUMULUS MEDIA INC CL A NEW B	629.20	35.00	0.00	35.00	80.0 28.00
05/22/2017	QGW005936	RABBITO, RICHARD		CUMULUS MEDIA INC CL A NEW B	-629.20	-35.00	0.00	-35.00	80.0 -28.00 C
05/22/2017	QGW005936	RABBITO, RICHARD		CUMULUS MEDIA INC CL A NEW B	489.52	35.00	0.00	35.00	80.0 28.00
05/19/2017	QGW007353	LOWISH, RICHARD		BIODELIVERY SCIENCES INTL INC COM B	7,259.89	75.00	0.00	75.00	80.0 60.00
05/12/2017	QGW007445	SMITH, GENE		SHOPIFY INC CL A ISIN#CA82509L1076 B	19,309.50	80.00	0.00	80.00	80.0 64.00
05/12/2017	QGW007445	SMITH, GENE		ZENDESK INC COM B	29,029.00	100.00	0.00	100.00	80.0 80.00
05/15/2017	QGW007445	SMITH, GENE		BAIDU INC SPONSORED ADR REPSTG ORD B	7,860.83	50.00	0.00	50.00	80.0 40.00
05/22/2017	QGW008203	MCGOWAN, DIANE		GENERAL ELECTRIC CO B	8,475.00	75.00	0.00	75.00	80.0 60.00
05/22/2017	QGW008203	MCGOWAN, DIANE		MARATHON OIL CORP B	7,305.00	75.00	0.00	75.00	80.0 60.00
05/22/2017	QGW008203	MCGOWAN, DIANE		UNITED STATES STL CORP NEW B	7,956.00	75.00	0.00	75.00	80.0 60.00
05/22/2017	QGW008203	MCGOWAN, DIANE		VERIZON COMMUNICATIONS B	6,802.50	75.00	0.00	75.00	80.0 60.00
05/22/2017	QGW008203	MCGOWAN, DIANE		VODAFONE GROUP PLC SPONSORED ADR NO B	14,780.00	75.00	0.00	75.00	80.0 60.00
04/26/2017	QGW009730	SHARTSIS, JAY		SIBANYE GOLD LTD SPONSORED ADR ISIN B	24,630.00	20.00	0.00	20.00	80.0 16.00
04/26/2017	QGW009730	SHARTSIS, JAY		SIBANYE GOLD LTD SPONSORED ADR ISIN B	-24,630.00	-20.00	0.00	-20.00	80.0 -16.00 C
04/26/2017	QGW009730	SHARTSIS, JAY		SIBANYE GOLD LTD SPONSORED ADR ISIN B	24,630.00	20.00	0.00	20.00	80.0 16.00
04/27/2017	QGW009730	SHARTSIS, JAY		WEIGHT WATCHERS INTL INC NEW S	-14,364.00	20.00	0.00	20.00	80.0 16.00
04/28/2017	QGW009730	SHARTSIS, JAY		RADIUS HEALTH INC B	15,578.88	20.00	0.00	20.00	80.0 16.00
05/02/2017	QGW009730	SHARTSIS, JAY		RADIUS HEALTH INC S	-13,580.00	20.00	0.00	20.00	80.0 16.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/08/2017	QGW009730	SHARTSIS, JAY		AMERICAN OUTDOOR BRANDS CORP COM	S	-10,576.65	20.00	0.00	20.00 80.0 16.00
05/10/2017	QGW009730	SHARTSIS, JAY		APPLE INC	S	-70,000.00	20.00	0.00	20.00 80.0 16.00
05/11/2017	QGW009730	SHARTSIS, JAY		APPLE INC	B	76,730.00	20.00	0.00	20.00 80.0 16.00
05/11/2017	QGW009730	SHARTSIS, JAY		ORBITAL ATK INC COM	B	39,004.52	20.00	0.00	20.00 80.0 16.00
05/12/2017	QGW009730	SHARTSIS, JAY		ORBITAL ATK INC COM	S	-19,502.40	20.00	0.00	20.00 80.0 16.00
05/12/2017	QGW009730	SHARTSIS, JAY		SIBANYE GOLD LTD SPONSORED ADR ISIN	S	-26,014.20	20.00	0.00	20.00 80.0 16.00
05/16/2017	QGW009730	SHARTSIS, JAY		3M COMPANY	S	-370,000.00	20.00	0.00	20.00 80.0 16.00
05/17/2017	QGW009730	SHARTSIS, JAY		3M COMPANY	B	195,409.10	10.00	0.00	10.00 80.0 8.00
05/17/2017	QGW009730	SHARTSIS, JAY		3M COMPANY	B	195,459.10	10.00	0.00	10.00 80.0 8.00
05/17/2017	QGW009730	SHARTSIS, JAY		TAILORED BRANDS INC COM SHS	S	-34,230.00	20.00	0.00	20.00 80.0 16.00
05/18/2017	QGW009730	SHARTSIS, JAY		DIREXION SHS ETF TR DIREXION DAILY	S	-5,430.12	10.00	0.00	10.00 80.0 8.00
05/18/2017	QGW009730	SHARTSIS, JAY		DIREXION SHS ETF TR DIREXION DAILY	B	4,479.82	6.66	0.00	6.66 80.0 5.33
05/18/2017	QGW009730	SHARTSIS, JAY		DIREXION SHS ETF TR DIREXION DAILY	S	-5,402.46	10.00	0.00	10.00 80.0 8.00
05/18/2017	QGW009730	SHARTSIS, JAY		DIREXION SHS ETF TR DIREXION DAILY	B	4,804.00	6.67	0.00	6.67 80.0 5.34
05/18/2017	QGW009730	SHARTSIS, JAY		DIREXION SHS ETF TR DIREXION DAILY	B	4,951.44	6.67	0.00	6.67 80.0 5.34
05/18/2017	QGW009730	SHARTSIS, JAY		TAILORED BRANDS INC COM SHS	B	33,229.50	20.00	0.00	20.00 80.0 16.00
05/19/2017	QGW009730	SHARTSIS, JAY		DIREXION SHS ETF TR DIREXION DAILY	S	-5,000.00	20.00	0.00	20.00 80.0 16.00
05/19/2017	QGW009730	SHARTSIS, JAY		ORBITAL ATK INC COM	B	97,110.00	20.00	0.00	20.00 80.0 16.00
05/19/2017	QGW009730	SHARTSIS, JAY		ORBITAL ATK INC COM	S	-95,000.00	20.00	0.00	20.00 80.0 16.00
05/25/2017	QGW009730	SHARTSIS, JAY		HERTZ GLOBAL HLDGS INC NEW COM	S	-9,720.00	20.00	0.00	20.00 80.0 16.00
05/22/2017	QGW012718	DEPALMA, RALPH		RITE AID CORPORATION	B	7,300.00	50.00	0.00	50.00 80.0 40.00
05/03/2017	QGW012809	GILPIN, DOUGLAS		HERTZ GLOBAL HLDGS INC NEW COM	S	-15,773.20	20.00	0.00	20.00 80.0 16.00
05/24/2017	QGW012809	GILPIN, DOUGLAS		PINNACLE FOODS INC	B	18,347.40	20.00	0.00	20.00 80.0 16.00
04/26/2017	QGW013104	GRASS, BRIAN		MERCER INTERNATIONAL INC	S	-6,250.00	20.00	0.00	20.00 80.0 16.00
04/26/2017	QGW013484	HACKEL, DEBBIE		COMMERCIAL METALS CO	S	-18,530.00	5.00	0.00	5.00 80.0 4.00
04/26/2017	QGW013484	HACKEL, DEBBIE		COMMERCIAL METALS CO	B	19,044.50	5.00	0.00	5.00 80.0 4.00
04/26/2017	QGW013484	HACKEL, DEBBIE		COMMERCIAL METALS CO	B	19,044.50	5.00	0.00	5.00 80.0 4.00
04/26/2017	QGW013484	HACKEL, DEBBIE		COMMERCIAL METALS CO	S	-18,530.00	5.00	0.00	5.00 80.0 4.00
04/26/2017	QGW013484	HACKEL, DEBBIE		COMMERCIAL METALS CO	B	-19,044.50	-5.00	0.00	-5.00 80.0 -4.00 C
04/26/2017	QGW013484	HACKEL, DEBBIE		COMMERCIAL METALS CO	S	18,530.00	-5.00	0.00	-5.00 80.0 -4.00 C
04/26/2017	QGW013484	HACKEL, DEBBIE		MARRIOTT INTL INC NEW CL A	S	-48,256.50	10.00	0.00	10.00 80.0 8.00
04/26/2017	QGW013484	HACKEL, DEBBIE		MARRIOTT INTL INC NEW CL A	B	47,720.00	10.00	0.00	10.00 80.0 8.00
04/26/2017	QGW013484	HACKEL, DEBBIE		ROGERS CORP	B	28,713.00	10.00	0.00	10.00 80.0 8.00
04/27/2017	QGW013484	HACKEL, DEBBIE		GOODYEAR TIRE & RUBBER CO	B	35,239.00	5.00	0.00	5.00 80.0 4.00
04/27/2017	QGW013484	HACKEL, DEBBIE		GOODYEAR TIRE & RUBBER CO	S	-35,160.00	5.00	0.00	5.00 80.0 4.00
04/27/2017	QGW013484	HACKEL, DEBBIE		GOODYEAR TIRE & RUBBER CO	B	35,239.00	5.00	0.00	5.00 80.0 4.00
04/27/2017	QGW013484	HACKEL, DEBBIE		GOODYEAR TIRE & RUBBER CO	S	-35,160.00	5.00	0.00	5.00 80.0 4.00
04/27/2017	QGW013484	HACKEL, DEBBIE		GOODYEAR TIRE & RUBBER CO	S	35,160.00	-5.00	0.00	-5.00 80.0 -4.00 C
04/27/2017	QGW013484	HACKEL, DEBBIE		GOODYEAR TIRE & RUBBER CO	B	-35,239.00	-5.00	0.00	-5.00 80.0 -4.00 C
04/27/2017	QGW013484	HACKEL, DEBBIE		ROGERS CORP	S	-28,599.99	5.01	0.00	5.01 80.0 4.01

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/27/2017	QGW013484 HACKEL, DEBBIE	ROGERS CORP	S	-9,542.50	10.00	0.00	10.00	80.0	8.00
04/27/2017	QGW013484 HACKEL, DEBBIE	ROGERS CORP	S	-9,515.00	10.00	0.00	10.00	80.0	8.00
04/27/2017	QGW013484 HACKEL, DEBBIE	ROGERS CORP	S	-9,542.50	10.00	0.00	10.00	80.0	8.00
04/27/2017	QGW013484 HACKEL, DEBBIE	ROGERS CORP	S	28,599.99	-5.01	0.00	-5.01	80.0	-4.01 C
04/28/2017	QGW013484 HACKEL, DEBBIE	RENT A CENTER INC COM	S	-21,501.40	10.00	0.00	10.00	80.0	8.00
04/28/2017	QGW013484 HACKEL, DEBBIE	RENT A CENTER INC COM	B	22,033.00	10.00	0.00	10.00	80.0	8.00
05/01/2017	QGW013484 HACKEL, DEBBIE	HARMONIC INC	S	-9,983.00	10.00	0.00	10.00	80.0	8.00
05/02/2017	QGW013484 HACKEL, DEBBIE	CRAY INC COM NEW	S	-16,600.20	6.67	0.00	6.67	80.0	5.34
05/02/2017	QGW013484 HACKEL, DEBBIE	CRAY INC COM NEW	S	-8,366.70	3.33	0.00	3.33	80.0	2.66
05/02/2017	QGW013484 HACKEL, DEBBIE	CRAY INC COM NEW	S	8,366.70	-3.33	0.00	-3.33	80.0	-2.66 C
05/02/2017	QGW013484 HACKEL, DEBBIE	HARMONIC INC	S	-10,200.00	5.00	0.00	5.00	80.0	4.00
05/02/2017	QGW013484 HACKEL, DEBBIE	HARMONIC INC	B	21,200.00	5.00	0.00	5.00	80.0	4.00
05/02/2017	QGW013484 HACKEL, DEBBIE	HARMONIC INC	S	-10,200.00	5.00	0.00	5.00	80.0	4.00
05/02/2017	QGW013484 HACKEL, DEBBIE	HARMONIC INC	B	21,200.00	5.00	0.00	5.00	80.0	4.00
05/02/2017	QGW013484 HACKEL, DEBBIE	HARMONIC INC	S	10,200.00	-5.00	0.00	-5.00	80.0	-4.00 C
05/02/2017	QGW013484 HACKEL, DEBBIE	HARMONIC INC	B	-21,200.00	-5.00	0.00	-5.00	80.0	-4.00 C
05/03/2017	QGW013484 HACKEL, DEBBIE	AMN HEALTHCARE SVCS INC	S	-20,275.00	10.00	0.00	10.00	80.0	8.00
05/03/2017	QGW013484 HACKEL, DEBBIE	CRAY INC COM NEW	B	16,399.70	5.00	0.00	5.00	80.0	4.00
05/03/2017	QGW013484 HACKEL, DEBBIE	CRAY INC COM NEW	B	16,399.70	5.00	0.00	5.00	80.0	4.00
05/03/2017	QGW013484 HACKEL, DEBBIE	CRAY INC COM NEW	B	-16,399.70	-5.00	0.00	-5.00	80.0	-4.00 C
05/03/2017	QGW013484 HACKEL, DEBBIE	HERTZ GLOBAL HLDGS INC NEW COM	S	-15,180.00	3.33	0.00	3.33	80.0	2.66
05/03/2017	QGW013484 HACKEL, DEBBIE	HERTZ GLOBAL HLDGS INC NEW COM	S	-30,703.00	6.67	0.00	6.67	80.0	5.34
05/03/2017	QGW013484 HACKEL, DEBBIE	HERTZ GLOBAL HLDGS INC NEW COM	S	15,180.00	-3.33	0.00	-3.33	80.0	-2.66 C
05/03/2017	QGW013484 HACKEL, DEBBIE	IMPERVA INC COM	S	-22,000.00	10.00	0.00	10.00	80.0	8.00
05/03/2017	QGW013484 HACKEL, DEBBIE	IMPERVA INC COM	B	22,100.00	10.00	0.00	10.00	80.0	8.00
05/04/2017	QGW013484 HACKEL, DEBBIE	AMN HEALTHCARE SVCS INC	B	19,775.00	5.00	0.00	5.00	80.0	4.00
05/04/2017	QGW013484 HACKEL, DEBBIE	AMN HEALTHCARE SVCS INC	B	19,775.00	5.00	0.00	5.00	80.0	4.00
05/04/2017	QGW013484 HACKEL, DEBBIE	AMN HEALTHCARE SVCS INC	B	-19,775.00	-5.00	0.00	-5.00	80.0	-4.00 C
05/04/2017	QGW013484 HACKEL, DEBBIE	DEVRY ED GROUP INC COM	B	38,247.50	10.00	0.00	10.00	80.0	8.00
05/04/2017	QGW013484 HACKEL, DEBBIE	DEVRY ED GROUP INC COM	S	-38,150.00	10.00	0.00	10.00	80.0	8.00
05/04/2017	QGW013484 HACKEL, DEBBIE	HERTZ GLOBAL HLDGS INC NEW COM	B	30,799.00	5.00	0.00	5.00	80.0	4.00
05/04/2017	QGW013484 HACKEL, DEBBIE	HERTZ GLOBAL HLDGS INC NEW COM	B	30,799.00	5.00	0.00	5.00	80.0	4.00
05/04/2017	QGW013484 HACKEL, DEBBIE	HERTZ GLOBAL HLDGS INC NEW COM	B	-30,799.00	-5.00	0.00	-5.00	80.0	-4.00 C
05/04/2017	QGW013484 HACKEL, DEBBIE	LUMENTUM HLDGS INC COM	S	-12,300.00	5.00	0.00	5.00	80.0	4.00
05/04/2017	QGW013484 HACKEL, DEBBIE	LUMENTUM HLDGS INC COM	B	13,455.00	5.00	0.00	5.00	80.0	4.00
05/04/2017	QGW013484 HACKEL, DEBBIE	LUMENTUM HLDGS INC COM	S	-12,300.00	5.00	0.00	5.00	80.0	4.00
05/04/2017	QGW013484 HACKEL, DEBBIE	LUMENTUM HLDGS INC COM	B	13,455.00	5.00	0.00	5.00	80.0	4.00
05/04/2017	QGW013484 HACKEL, DEBBIE	LUMENTUM HLDGS INC COM	S	12,300.00	-5.00	0.00	-5.00	80.0	-4.00 C
05/04/2017	QGW013484 HACKEL, DEBBIE	LUMENTUM HLDGS INC COM	B	-13,455.00	-5.00	0.00	-5.00	80.0	-4.00 C
05/05/2017	QGW013484 HACKEL, DEBBIE	OLD DOMINION FREIGHT LINES INC	S	-60,721.01	5.00	0.00	5.00	80.0	4.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
05/05/2017	QGW013484 HACKEL, DEBBIE	OLD DOMINION FREIGHT LINES INC	B	60,907.98	5.00	0.00	5.00	80.0	4.00
05/05/2017	QGW013484 HACKEL, DEBBIE	OLD DOMINION FREIGHT LINES INC	S	-60,721.01	5.00	0.00	5.00	80.0	4.00
05/05/2017	QGW013484 HACKEL, DEBBIE	OLD DOMINION FREIGHT LINES INC	B	60,907.98	5.00	0.00	5.00	80.0	4.00
05/05/2017	QGW013484 HACKEL, DEBBIE	OLD DOMINION FREIGHT LINES INC	S	60,721.01	-5.00	0.00	-5.00	80.0	-4.00 C
05/05/2017	QGW013484 HACKEL, DEBBIE	OLD DOMINION FREIGHT LINES INC	B	-60,907.98	-5.00	0.00	-5.00	80.0	-4.00 C
05/08/2017	QGW013484 HACKEL, DEBBIE	AMERICAN OUTDOOR BRANDS CORP COM	B	8,460.00	10.00	0.00	10.00	80.0	8.00
05/08/2017	QGW013484 HACKEL, DEBBIE	AMERICAN OUTDOOR BRANDS CORP COM	S	-8,584.00	10.00	0.00	10.00	80.0	8.00
05/09/2017	QGW013484 HACKEL, DEBBIE	ACACIA COMMUNICATIONS INC COM	S	-32,597.12	7.28	0.00	7.28	80.0	5.82
05/09/2017	QGW013484 HACKEL, DEBBIE	ACACIA COMMUNICATIONS INC COM	B	12,975.00	10.00	0.00	10.00	80.0	8.00
05/09/2017	QGW013484 HACKEL, DEBBIE	ACACIA COMMUNICATIONS INC COM	S	-12,007.08	2.72	0.00	2.72	80.0	2.18
05/09/2017	QGW013484 HACKEL, DEBBIE	ACACIA COMMUNICATIONS INC COM	S	12,007.08	-2.72	0.00	-2.72	80.0	-2.18 C
05/09/2017	QGW013484 HACKEL, DEBBIE	AMERICAN OUTDOOR BRANDS CORP COM	B	16,125.00	10.00	0.00	10.00	80.0	8.00
05/09/2017	QGW013484 HACKEL, DEBBIE	AMERICAN OUTDOOR BRANDS CORP COM	S	-16,500.60	10.00	0.00	10.00	80.0	8.00
05/09/2017	QGW013484 HACKEL, DEBBIE	STAPLES INC	B	38,740.00	10.00	0.00	10.00	80.0	8.00
05/09/2017	QGW013484 HACKEL, DEBBIE	STAPLES INC	S	-38,660.00	10.00	0.00	10.00	80.0	8.00
05/10/2017	QGW013484 HACKEL, DEBBIE	ACACIA COMMUNICATIONS INC COM	B	20,750.00	5.00	0.00	5.00	80.0	4.00
05/10/2017	QGW013484 HACKEL, DEBBIE	ACACIA COMMUNICATIONS INC COM	B	20,750.00	5.00	0.00	5.00	80.0	4.00
05/10/2017	QGW013484 HACKEL, DEBBIE	ACACIA COMMUNICATIONS INC COM	B	-20,750.00	-5.00	0.00	-5.00	80.0	-4.00 C
05/10/2017	QGW013484 HACKEL, DEBBIE	DECKERS OUTDOOR CORP	S	-30,212.00	10.00	0.00	10.00	80.0	8.00
05/10/2017	QGW013484 HACKEL, DEBBIE	DECKERS OUTDOOR CORP	B	30,260.00	10.00	0.00	10.00	80.0	8.00
05/10/2017	QGW013484 HACKEL, DEBBIE	MOVADO GROUP INC COM	S	-23,105.00	5.00	0.00	5.00	80.0	4.00
05/10/2017	QGW013484 HACKEL, DEBBIE	MOVADO GROUP INC COM	B	23,470.00	5.00	0.00	5.00	80.0	4.00
05/10/2017	QGW013484 HACKEL, DEBBIE	MOVADO GROUP INC COM	S	-23,105.00	5.00	0.00	5.00	80.0	4.00
05/10/2017	QGW013484 HACKEL, DEBBIE	MOVADO GROUP INC COM	B	23,470.00	5.00	0.00	5.00	80.0	4.00
05/10/2017	QGW013484 HACKEL, DEBBIE	MOVADO GROUP INC COM	B	-23,470.00	-5.00	0.00	-5.00	80.0	-4.00 C
05/10/2017	QGW013484 HACKEL, DEBBIE	MOVADO GROUP INC COM	S	23,105.00	-5.00	0.00	-5.00	80.0	-4.00 C
05/10/2017	QGW013484 HACKEL, DEBBIE	TAKE-TWO INTERACTIVE SOFTWARE INC	B	46,270.00	10.00	0.00	10.00	80.0	8.00
05/10/2017	QGW013484 HACKEL, DEBBIE	TAKE-TWO INTERACTIVE SOFTWARE INC	S	-47,320.00	10.00	0.00	10.00	80.0	8.00
05/11/2017	QGW013484 HACKEL, DEBBIE	AMERICAN OUTDOOR BRANDS CORP COM	S	-22,200.00	5.00	0.00	5.00	80.0	4.00
05/11/2017	QGW013484 HACKEL, DEBBIE	AMERICAN OUTDOOR BRANDS CORP COM	B	22,138.00	5.00	0.00	5.00	80.0	4.00
05/11/2017	QGW013484 HACKEL, DEBBIE	AMERICAN OUTDOOR BRANDS CORP COM	B	22,138.00	5.00	0.00	5.00	80.0	4.00
05/11/2017	QGW013484 HACKEL, DEBBIE	AMERICAN OUTDOOR BRANDS CORP COM	S	-22,200.00	5.00	0.00	5.00	80.0	4.00
05/11/2017	QGW013484 HACKEL, DEBBIE	AMERICAN OUTDOOR BRANDS CORP COM	S	22,200.00	-5.00	0.00	-5.00	80.0	-4.00 C
05/11/2017	QGW013484 HACKEL, DEBBIE	AMERICAN OUTDOOR BRANDS CORP COM	B	-22,138.00	-5.00	0.00	-5.00	80.0	-4.00 C
05/11/2017	QGW013484 HACKEL, DEBBIE	NORDSTROM INC	S	-49,048.70	5.00	0.00	5.00	80.0	4.00
05/11/2017	QGW013484 HACKEL, DEBBIE	NORDSTROM INC	B	48,510.00	5.00	0.00	5.00	80.0	4.00
05/11/2017	QGW013484 HACKEL, DEBBIE	NORDSTROM INC	S	-49,048.70	5.00	0.00	5.00	80.0	4.00
05/11/2017	QGW013484 HACKEL, DEBBIE	NORDSTROM INC	B	48,510.00	5.00	0.00	5.00	80.0	4.00
05/11/2017	QGW013484 HACKEL, DEBBIE	NORDSTROM INC	S	49,048.70	-5.00	0.00	-5.00	80.0	-4.00 C
05/11/2017	QGW013484 HACKEL, DEBBIE	NORDSTROM INC	B	-48,510.00	-5.00	0.00	-5.00	80.0	-4.00 C

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
05/11/2017	QGW013484 HACKEL, DEBBIE	THE TRADE DESK INC COM CL A	B	23,677.55	10.00	0.00	10.00	80.0	8.00
05/11/2017	QGW013484 HACKEL, DEBBIE	THE TRADE DESK INC COM CL A	S	-9,322.00	10.00	0.00	10.00	80.0	8.00
05/12/2017	QGW013484 HACKEL, DEBBIE	THE TRADE DESK INC COM CL A	S	-14,706.00	10.00	0.00	10.00	80.0	8.00
05/15/2017	QGW013484 HACKEL, DEBBIE	HERTZ GLOBAL HLDGS INC NEW COM	S	-10,700.00	10.00	0.00	10.00	80.0	8.00
05/15/2017	QGW013484 HACKEL, DEBBIE	HERTZ GLOBAL HLDGS INC NEW COM	B	11,432.50	10.00	0.00	10.00	80.0	8.00
05/16/2017	QGW013484 HACKEL, DEBBIE	GNC HLDGS INC COM CL A	B	14,360.00	10.00	0.00	10.00	80.0	8.00
05/16/2017	QGW013484 HACKEL, DEBBIE	HIBBETT SPORTS INC COM	B	23,550.00	10.00	0.00	10.00	80.0	8.00
05/16/2017	QGW013484 HACKEL, DEBBIE	HIBBETT SPORTS INC COM	S	-24,050.00	10.00	0.00	10.00	80.0	8.00
05/16/2017	QGW013484 HACKEL, DEBBIE	SONIC CORP COM	B	28,436.50	10.00	0.00	10.00	80.0	8.00
05/17/2017	QGW013484 HACKEL, DEBBIE	GNC HLDGS INC COM CL A	S	-14,340.00	10.00	0.00	10.00	80.0	8.00
05/17/2017	QGW013484 HACKEL, DEBBIE	SONIC CORP COM	S	-28,330.00	10.00	0.00	10.00	80.0	8.00
05/18/2017	QGW013484 HACKEL, DEBBIE	ADOBE SYSTEMS INC	B	6,730.00	10.00	0.00	10.00	80.0	8.00
05/18/2017	QGW013484 HACKEL, DEBBIE	CAVIUM INC	B	49,651.00	10.00	0.00	10.00	80.0	8.00
05/18/2017	QGW013484 HACKEL, DEBBIE	CAVIUM INC	S	-48,951.00	10.00	0.00	10.00	80.0	8.00
05/19/2017	QGW013484 HACKEL, DEBBIE	ADOBE SYSTEMS INC	B	40,500.00	10.00	0.00	10.00	80.0	8.00
05/19/2017	QGW013484 HACKEL, DEBBIE	ADOBE SYSTEMS INC	S	-47,397.49	10.00	0.00	10.00	80.0	8.00
05/19/2017	QGW013484 HACKEL, DEBBIE	FINISH LINE INC CL A	S	-26,500.00	10.00	0.00	10.00	80.0	8.00
05/19/2017	QGW013484 HACKEL, DEBBIE	FINISH LINE INC CL A	B	25,920.00	10.00	0.00	10.00	80.0	8.00
05/19/2017	QGW013484 HACKEL, DEBBIE	NIKE INC CL B	B	25,540.00	10.00	0.00	10.00	80.0	8.00
05/19/2017	QGW013484 HACKEL, DEBBIE	NIKE INC CL B	S	-25,385.00	10.00	0.00	10.00	80.0	8.00
05/23/2017	QGW013484 HACKEL, DEBBIE	CONTAINER STORE GROUP INC (THE)	S	-5,750.00	10.00	0.00	10.00	80.0	8.00
05/23/2017	QGW013484 HACKEL, DEBBIE	CONTAINER STORE GROUP INC (THE)	B	5,000.00	10.00	0.00	10.00	80.0	8.00
05/25/2017	QGW013484 HACKEL, DEBBIE	CONNS INC COM	S	-15,520.00	10.00	0.00	10.00	80.0	8.00
05/25/2017	QGW013484 HACKEL, DEBBIE	CONNS INC COM	B	15,550.00	10.00	0.00	10.00	80.0	8.00
05/02/2017	QGW013492 HACKEL, DEBBIE	COMMUNITY HEALTH SYSTEM INC	S	-31,046.40	20.00	0.00	20.00	80.0	16.00
05/02/2017	QGW013492 HACKEL, DEBBIE	HERTZ GLOBAL HLDGS INC NEW COM	B	32,331.60	20.00	0.00	20.00	80.0	16.00
05/04/2017	QGW013492 HACKEL, DEBBIE	MPLX LP COM UNIT REPSTG LTD PARTNER S	S	-16,775.15	2.50	0.00	2.50	80.0	2.00
05/04/2017	QGW013492 HACKEL, DEBBIE	MPLX LP COM UNIT REPSTG LTD PARTNER S	S	-33,631.00	5.00	0.00	5.00	80.0	4.00
05/04/2017	QGW013492 HACKEL, DEBBIE	MPLX LP COM UNIT REPSTG LTD PARTNER B	B	67,560.00	20.00	0.00	20.00	80.0	16.00
05/04/2017	QGW013492 HACKEL, DEBBIE	MPLX LP COM UNIT REPSTG LTD PARTNER S	S	-16,779.80	2.50	0.00	2.50	80.0	2.00
05/04/2017	QGW013492 HACKEL, DEBBIE	MPLX LP COM UNIT REPSTG LTD PARTNER S	S	-33,291.20	5.00	0.00	5.00	80.0	4.00
05/04/2017	QGW013492 HACKEL, DEBBIE	MPLX LP COM UNIT REPSTG LTD PARTNER S	S	-33,290.60	5.00	0.00	5.00	80.0	4.00
05/09/2017	QGW013492 HACKEL, DEBBIE	HERTZ GLOBAL HLDGS INC NEW COM	S	-24,701.20	20.00	0.00	20.00	80.0	16.00
05/09/2017	QGW013492 HACKEL, DEBBIE	MPLX LP COM UNIT REPSTG LTD PARTNER B	B	17,396.30	10.00	0.00	10.00	80.0	8.00
05/09/2017	QGW013492 HACKEL, DEBBIE	MPLX LP COM UNIT REPSTG LTD PARTNER B	B	17,402.50	10.00	0.00	10.00	80.0	8.00
05/12/2017	QGW013492 HACKEL, DEBBIE	CHEMOURS COMPANY (THE) COM	B	21,410.00	20.00	0.00	20.00	80.0	16.00
05/15/2017	QGW013492 HACKEL, DEBBIE	CHEMOURS COMPANY (THE) COM	S	-22,869.75	20.00	0.00	20.00	80.0	16.00
05/17/2017	QGW013492 HACKEL, DEBBIE	CARDINAL HEALTH INC	S	-35,941.45	20.00	0.00	20.00	80.0	16.00
05/17/2017	QGW013492 HACKEL, DEBBIE	HEWLETT PACKARD ENTERPRISE COMPANY	S	-37,747.40	20.00	0.00	20.00	80.0	16.00
05/18/2017	QGW013492 HACKEL, DEBBIE	COMMERCIAL METALS CO	B	34,560.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
05/22/2017	QGW013492	HACKEL, DEBBIE							
		CHEMOURS COMPANY (THE) COM	B	22,339.95	20.00	0.00	20.00	80.0	16.00
05/24/2017	QGW013492	HACKEL, DEBBIE							
		CHEMOURS COMPANY (THE) COM	S	-20,902.30	20.00	0.00	20.00	80.0	16.00
05/25/2017	QGW013492	HACKEL, DEBBIE							
		MACYS INC	B	23,338.50	20.00	0.00	20.00	80.0	16.00
05/12/2017	QGW014391	DARWISH, MENACHEM							
		OPKO HEALTH INC	B	35,500.00	35.00	0.00	35.00	80.0	28.00
05/24/2017	QGW014391	DARWISH, MENACHEM							
		INOVIO PHARMACEUTICALS INC COM	B	29,100.00	65.00	0.00	65.00	80.0	52.00
05/11/2017	QGW016073	WOLLOCH, HELENE							
		MAZOR ROBOTICS LTD SPONSORED ADS	B	8,579.60	15.00	0.00	15.00	80.0	12.00
05/02/2017	QGW020497	FATTORUSO, PHYLLIS							
		FIRST CHOICE HEALTHCARE SOLUTIONS I S	S	-3,120.00	20.00	0.00	20.00	80.0	16.00
04/26/2017	QGW020679	GRASS, ELAINE							
		ALCOA CORPORATION COMMON STOCK	S	-408.23	20.00	0.00	20.00	80.0	16.00
04/26/2017	QGW020679	GRASS, ELAINE							
		ARCONIC INC COM	S	-903.94	20.00	0.00	20.00	80.0	16.00
04/26/2017	QGW020679	GRASS, ELAINE							
		MERCER INTERNATIONAL INC	S	-6,550.00	20.00	0.00	20.00	80.0	16.00
04/26/2017	QGW020679	GRASS, ELAINE							
		POWERSHARES ETF POWERSHARES FINANCI S	S	-4,225.16	20.00	0.00	20.00	80.0	16.00
05/22/2017	QGW020679	GRASS, ELAINE							
		HUNTSMAN CORP	S	-2,942.86	20.00	0.00	20.00	80.0	16.00
05/15/2017	QGW020687	GRAUBERD, ALLAN							
		ROBO GLOBAL ROBOTICS AND AUTOMATION B	B	14,921.67	50.00	0.00	50.00	80.0	40.00
05/15/2017	QGW020687	GRAUBERD, ALLAN							
		TARGET CORP	S	-11,460.35	50.00	0.00	50.00	80.0	40.00
05/18/2017	QGW020687	GRAUBERD, ALLAN							
		INTEL CORP	S	-14,287.14	50.00	0.00	50.00	80.0	40.00
05/18/2017	QGW020687	GRAUBERD, ALLAN							
		ROBO GLOBAL ROBOTICS AND AUTOMATION B	B	4,979.90	50.00	0.00	50.00	80.0	40.00
05/18/2017	QGW020687	GRAUBERD, ALLAN							
		VANGUARD INTL EQUITY INDEX FD FTSE B	B	9,057.39	50.00	0.00	50.00	80.0	40.00
04/28/2017	QGW021156	SIEGEL, STUART							
		DIEBOLD INCORPORATED	S	-8,482.50	20.00	0.00	20.00	80.0	16.00
04/28/2017	QGW021156	SIEGEL, STUART							
		DYNEGY INC	S	-2,560.04	20.00	0.00	20.00	80.0	16.00
04/28/2017	QGW021156	SIEGEL, STUART							
		MOSAIC COMPANY	S	-2,699.00	20.00	0.00	20.00	80.0	16.00
05/01/2017	QGW021156	SIEGEL, STUART							
		ALPS ETF TR ALERIAN MLP	B	5,047.28	20.00	0.00	20.00	80.0	16.00
05/01/2017	QGW021156	SIEGEL, STUART							
		ROCHE HOLDING LTD SPONSORED ADR REP S	S	-4,914.00	20.00	0.00	20.00	80.0	16.00
05/25/2017	QGW021156	SIEGEL, STUART							
		APPLE INC	S	-12,301.71	20.00	0.00	20.00	80.0	16.00
05/25/2017	QGW021156	SIEGEL, STUART							
		NEW YORK COMMUNITY BANCORP INC	S	-5,200.44	20.00	0.00	20.00	80.0	16.00
05/19/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	825.00	40.00	0.00	40.00	80.0	32.00
05/23/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	825.00	40.00	0.00	40.00	80.0	32.00
05/18/2017	QGW022204	GRAUBERD, CAROLINE							
		FIESTA RESTAURANT GROUP INC	S	-4,817.80	50.00	0.00	50.00	80.0	40.00
05/18/2017	QGW022204	GRAUBERD, CAROLINE							
		PROCTER & GAMBLE CO	S	-12,061.00	50.00	0.00	50.00	80.0	40.00
05/18/2017	QGW022204	GRAUBERD, CAROLINE							
		VANGUARD INTL EQUITY INDEX FD FTSE B	B	27,175.72	34.00	0.00	34.00	80.0	27.20
05/18/2017	QGW022204	GRAUBERD, CAROLINE							
		VERIZON COMMUNICATIONS	S	-8,677.50	50.00	0.00	50.00	80.0	40.00
05/22/2017	QGW022204	GRAUBERD, CAROLINE							
		ABBVIE INC	S	-12,972.58	50.00	0.00	50.00	80.0	40.00
05/22/2017	QGW022204	GRAUBERD, CAROLINE							
		HUNTSMAN CORP	S	-4,732.10	50.00	0.00	50.00	80.0	40.00
05/11/2017	QGW024143	WOLLOCH, BETH							
		MAZOR ROBOTICS LTD SPONSORED ADS	B	8,562.00	10.00	0.00	10.00	80.0	8.00
05/17/2017	QGW024143	WOLLOCH, BETH							
		MAZOR ROBOTICS LTD SPONSORED ADS	S	-8,630.00	15.00	0.00	15.00	80.0	12.00
04/27/2017	QGW025645	COHEN, ANDREW							
		TRANS LUX CORPORATION	B	4,375.00	50.00	0.00	50.00	80.0	40.00
05/03/2017	QGW030124	CUSTOIAN, ANTONIO							
		APPLE INC	B	2,201.85	20.00	0.00	20.00	80.0	16.00
05/01/2017	QGW030298	CHERNOFF, GLENN							
		INTERNATIONAL BUSINESS MACHINES COR S	S	-31,796.72	20.00	0.00	20.00	80.0	16.00
05/05/2017	QGW030298	CHERNOFF, GLENN							
		COHERENT INC	S	-22,414.00	20.00	0.00	20.00	80.0	16.00
05/08/2017	QGW030298	CHERNOFF, GLENN							
		DELPHI AUTOMOTIVE PLC SHS ISIN#JE00 B	B	26,288.10	20.00	0.00	20.00	80.0	16.00
05/16/2017	QGW030298	CHERNOFF, GLENN							
		COHERENT INC	S	-25,960.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/17/2017	QGW030306 HERMAN, PAUL	ADIANT PLC ORDINARY SHARES	S	-208.50	15.00	0.00	15.00	80.0	12.00
05/17/2017	QGW030306 HERMAN, PAUL	BROADRIDGE FINANCIAL SOLUTIONS INC	S	-4,394.64	15.00	0.00	15.00	80.0	12.00
05/17/2017	QGW030306 HERMAN, PAUL	DUKE ENERGY CORPORATION HOLDING COM	S	-3,304.84	15.00	0.00	15.00	80.0	12.00
05/17/2017	QGW030306 HERMAN, PAUL	ENBRIDGE INC	S	-2,288.08	15.00	0.00	15.00	80.0	12.00
05/17/2017	QGW030306 HERMAN, PAUL	HALYARD HEALTH INC COM	S	-3,032.42	15.00	0.00	15.00	80.0	12.00
05/17/2017	QGW030306 HERMAN, PAUL	JOHNSON CONTROLS INTERNATIONAL PLC	S	-1,413.79	15.00	0.00	15.00	80.0	12.00
05/17/2017	QGW030306 HERMAN, PAUL	MEDTRONIC PLC COM	S	-2,993.47	15.00	0.00	15.00	80.0	12.00
05/17/2017	QGW030306 HERMAN, PAUL	ZIMMER BIOMET HLDGS INC COM	S	-1,655.08	15.00	0.00	15.00	80.0	12.00
05/05/2017	QGW030314 PERLA, DANIEL	ABERCROMBIE & FITCH CO-CL A	B	12,249.40	20.00	0.00	20.00	80.0	16.00
05/17/2017	QGW030702 RUDOLPH, MARTIN	TWITTER INC	S	-3,740.00	20.00	0.00	20.00	80.0	16.00
05/12/2017	QGW030728 RUDOLPH, MARTIN	SALESFORCE.COM INC	S	-51,000.00	20.00	0.00	20.00	80.0	16.00
05/19/2017	QGW030728 RUDOLPH, MARTIN	SALESFORCE.COM INC	B	54,000.00	20.00	0.00	20.00	80.0	16.00
04/27/2017	QGW030744 RUDOLPH, CAROL	STRATASYS LTD	S	-5,010.00	20.00	0.00	20.00	80.0	16.00
04/26/2017	QGW030751 RUDOLPH, CAROL	RMR GROUP INC (THE) CLASS A	S	-106.30	20.00	0.00	20.00	80.0	16.00
05/17/2017	QGW030751 RUDOLPH, CAROL	COLGATE PALMOLIVE COMPANY	S	-14,720.58	20.00	0.00	20.00	80.0	16.00
05/17/2017	QGW030751 RUDOLPH, CAROL	HELMERICH & PAYNE INC COM	B	5,745.84	20.00	0.00	20.00	80.0	16.00
05/17/2017	QGW030751 RUDOLPH, CAROL	TWITTER INC	S	-9,350.00	20.00	0.00	20.00	80.0	16.00
05/17/2017	QGW030751 RUDOLPH, CAROL	WALT DISNEY CO	B	21,247.42	20.00	0.00	20.00	80.0	16.00
04/27/2017	QGW030827 TO B CAPITAL SPECIAL LM FDG AMER INC COM		B	2,100.00	65.89	0.00	65.89	80.0	52.71
04/27/2017	QGW030827 TO B CAPITAL SPECIAL LM FDG AMER INC COM		B	2,099.95	65.89	0.00	65.89	80.0	52.71
04/28/2017	QGW030827 TO B CAPITAL SPECIAL LM FDG AMER INC COM		B	2,119.95	76.52	0.00	76.52	80.0	61.22
05/02/2017	QGW030827 TO B CAPITAL SPECIAL LM FDG AMER INC COM		B	444.05	15.85	0.00	15.85	80.0	12.68
05/02/2017	QGW030827 TO B CAPITAL SPECIAL LM FDG AMER INC COM		B	1,659.96	59.27	0.00	59.27	80.0	47.42
05/02/2017	QGW030827 TO B CAPITAL SPECIAL LM FDG AMER INC COM		B	844.00	29.65	0.00	29.65	80.0	23.72
05/15/2017	QGW030827 TO B CAPITAL SPECIAL LM FDG AMER INC COM		B	1,232.97	50.00	0.00	50.00	80.0	40.00
05/18/2017	QGW030827 TO B CAPITAL SPECIAL LM FDG AMER INC COM		S	-2,255.05	78.45	0.00	78.45	80.0	62.76
05/19/2017	QGW030827 TO B CAPITAL SPECIAL LM FDG AMER INC COM		S	-254.10	50.00	0.00	50.00	80.0	40.00
05/23/2017	QGW030827 TO B CAPITAL SPECIAL DATARAM CORP COM PAR \$ NEW 2017		B	2,340.00	79.66	0.00	79.66	80.0	63.73
05/24/2017	QGW030827 TO B CAPITAL SPECIAL DATARAM CORP COM PAR \$ NEW 2017		B	2,330.00	79.52	0.00	79.52	80.0	63.62
05/24/2017	QGW030827 TO B CAPITAL SPECIAL MARLIN GOLD MNG LTD COM NEW ISIN#CA		S	-2,800.50	191.43	0.00	191.43	80.0	153.14
05/02/2017	QGW030835 SEXER LEVY, RHONDA	CYCLACEL PHARMACEUTICALS INC COM PA	B	1,129.40	20.00	0.00	20.00	80.0	16.00
05/05/2017	QGW030835 SEXER LEVY, RHONDA	IMMUNOMEDICS INC COM	S	-1,300.00	20.00	0.00	20.00	80.0	16.00
05/05/2017	QGW030835 SEXER LEVY, RHONDA	PULMATRIX INC COM STK	B	359.68	20.00	0.00	20.00	80.0	16.00
05/09/2017	QGW030835 SEXER LEVY, RHONDA	PULMATRIX INC COM STK	B	1,015.56	20.00	0.00	20.00	80.0	16.00
04/26/2017	QGW031049 WINNICK, CHARLES	ELLIS PERRY INTERNATIONAL INC	S	-21,601.00	20.00	0.00	20.00	80.0	16.00
04/27/2017	QGW031049 WINNICK, CHARLES	ELLIS PERRY INTERNATIONAL INC	S	-64,125.90	20.00	0.00	20.00	80.0	16.00
04/27/2017	QGW031049 WINNICK, CHARLES	J ALEXANDER S HOLDINGS INC	S	-16,650.00	20.00	0.00	20.00	80.0	16.00
04/27/2017	QGW031049 WINNICK, CHARLES	KEY ENERGY SVCS INC COM	B	70,958.40	20.00	0.00	20.00	80.0	16.00
04/28/2017	QGW031049 WINNICK, CHARLES	FS BANCORP INC COM	S	-65,307.15	20.00	0.00	20.00	80.0	16.00
04/28/2017	QGW031049 WINNICK, CHARLES	PBF ENERGY INC CL A	S	-91,171.20	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/02/2017	QGW031049	WINNICK, CHARLES	DELMAR BANCORP COM NEW	B	9,243.15	20.00	0.00	20.00	16.00
05/02/2017	QGW031049	WINNICK, CHARLES	PACIFIC MERCANTILE BANCORP COM	S	-19,421.00	20.00	0.00	20.00	16.00
05/02/2017	QGW031049	WINNICK, CHARLES	SOTHERLY HOTELS INC	S	-31,666.00	20.00	0.00	20.00	16.00
05/02/2017	QGW031049	WINNICK, CHARLES	VERACYTE INC	S	-41,439.50	20.00	0.00	20.00	16.00
05/03/2017	QGW031049	WINNICK, CHARLES	KEY ENERGY SVCS INC COM	B	95,260.40	20.00	0.00	20.00	16.00
05/04/2017	QGW031049	WINNICK, CHARLES	KEY ENERGY SVCS INC COM	B	58,729.25	20.00	0.00	20.00	16.00
05/05/2017	QGW031049	WINNICK, CHARLES	BASIC ENERGY SVCS INC NEW COM NEW	B	83,202.90	20.00	0.00	20.00	16.00
05/05/2017	QGW031049	WINNICK, CHARLES	KEY ENERGY SVCS INC COM	B	82,603.50	20.00	0.00	20.00	16.00
05/05/2017	QGW031049	WINNICK, CHARLES	PROPETRO HLDG CORP COM	B	38,085.00	20.00	0.00	20.00	16.00
05/08/2017	QGW031049	WINNICK, CHARLES	XILINX INC	B	127,800.00	20.00	0.00	20.00	16.00
05/09/2017	QGW031049	WINNICK, CHARLES	PROPETRO HLDG CORP COM	B	43,059.80	20.00	0.00	20.00	16.00
05/09/2017	QGW031049	WINNICK, CHARLES	XILINX INC	S	-128,830.00	20.00	0.00	20.00	16.00
05/12/2017	QGW031049	WINNICK, CHARLES	BBX CAP CORP NEW CL A	S	-29,400.00	20.00	0.00	20.00	16.00
05/12/2017	QGW031049	WINNICK, CHARLES	GREEN BRICK PARTNERS INC COM	B	53,990.00	20.00	0.00	20.00	16.00
05/15/2017	QGW031049	WINNICK, CHARLES	HOUSTON WIRE & CABLE CO COM	S	-32,250.00	20.00	0.00	20.00	16.00
05/15/2017	QGW031049	WINNICK, CHARLES	PBF LOGISTICS LP UNIT LTD PARTNERSH S	S	-101,077.00	20.00	0.00	20.00	16.00
05/16/2017	QGW031049	WINNICK, CHARLES	INDEPENDENCE HOLDING CO NEW	B	71,860.00	20.00	0.00	20.00	16.00
05/17/2017	QGW031049	WINNICK, CHARLES	INDEPENDENCE HOLDING CO NEW	B	70,850.00	20.00	0.00	20.00	16.00
05/18/2017	QGW031049	WINNICK, CHARLES	KEY ENERGY SVCS INC COM	B	50,630.00	20.00	0.00	20.00	16.00
05/25/2017	QGW031049	WINNICK, CHARLES	CROCS INC	S	-42,214.90	20.00	0.00	20.00	16.00
05/25/2017	QGW031049	WINNICK, CHARLES	SPORTSMANS WHSE HLDGS INC COM	S	-39,155.20	20.00	0.00	20.00	16.00
05/03/2017	QGW031585	WARD, HEATHER	UNITED STATES STL CORP NEW	B	4,372.00	50.00	0.00	50.00	40.00
05/08/2017	QGW031585	WARD, HEATHER	AK STEEL HOLDING CORP	B	2,875.00	60.00	0.00	60.00	48.00
04/27/2017	QGW035024	PEDROZA, DAVID	TANGOE INC COMMON STOCK	B	3,052.50	10.00	0.00	10.00	8.00
05/01/2017	QGW035024	PEDROZA, DAVID	TANGOE INC COMMON STOCK	S	-3,525.50	10.00	0.00	10.00	8.00
05/09/2017	QGW035024	PEDROZA, DAVID	COMSCORE INC COM	B	3,857.70	10.00	0.00	10.00	8.00
05/22/2017	QGW036436	BELZ, KATHLEEN	ATLAS AIR WORLDWIDE HLDGS INC FORME B	B	47,550.00	20.00	0.00	20.00	16.00
05/19/2017	QGW036444	BELZ, RICHARD	TWITTER INC	S	-33,000.00	20.00	0.00	20.00	16.00
05/19/2017	QGW036451	BELZ, RICHARD	COHERUS BIOSCIENCES INC COM	S	-40,000.00	20.00	0.00	20.00	16.00
05/22/2017	QGW036451	BELZ, RICHARD	OLLIES BARGAIN OUTLET HLDGS INC COM B	B	41,000.00	20.00	0.00	20.00	16.00
05/10/2017	QGW036550	FATTORUSO, PHYLLIS	PROSPECT CAPITAL CORPORATION	S	-8,631.50	20.00	0.00	20.00	16.00
05/11/2017	QGW036550	FATTORUSO, PHYLLIS	PROSPECT CAPITAL CORPORATION	B	8,197.30	20.00	0.00	20.00	16.00
05/04/2017	QGW036691	ABRAMSON, WILLA	CENTURYTEL INC	B	7,239.00	30.00	0.00	30.00	24.00
05/04/2017	QGW036691	ABRAMSON, WILLA	LYONDELLBASELL INDUSTRIES N V ORD S B	B	12,100.74	30.00	0.00	30.00	24.00
05/04/2017	QGW036865	MURPHY, DENNIS	MARATHON OIL CORP	B	7,054.00	40.00	0.00	40.00	32.00
05/04/2017	QGW036865	MURPHY, DENNIS	UNITED STATES STL CORP NEW	B	10,683.40	50.00	0.00	50.00	40.00
04/27/2017	QGW036972	PEDROZA, DAVID	TANGOE INC COMMON STOCK	B	5,272.50	10.00	0.00	10.00	8.00
05/01/2017	QGW036972	PEDROZA, DAVID	TANGOE INC COMMON STOCK	S	-6,089.50	10.00	0.00	10.00	8.00
05/09/2017	QGW036972	PEDROZA, DAVID	COMSCORE INC COM	B	6,162.30	10.00	0.00	10.00	8.00
* STOCKS SUBTOTAL *				\$2,185,285.36	\$10,194.88	\$0.00	\$10,194.88		\$8,155.90

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
05/24/2017	QGW008203	MCGOWAN, DIANE		QUALCOMM INC FXD RT SR NT 3.250% 05 B	9,992.70	50.00	0.00	50.00	40.00
05/24/2017	QGW036790	MCGOWAN, WILLIAM		HERTZ CORP SR NT 7.375%21 B	9,475.00	125.00	0.00	125.00	100.00
05/24/2017	QGW036808	MCGOWAN, DIANE		HERTZ CORP SR NT 7.375%21 B	9,475.00	125.00	0.00	125.00	100.00
				* BONDS SUBTOTAL *	\$28,942.70	\$300.00	\$0.00	\$300.00	\$240.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
05/15/2017	75500426	MIGLIORATO, DOMENICK	PUT	AMZN 07/21/17 900 S	3,291.00	40.00	0.00	40.00	32.00
05/15/2017	75500426	MIGLIORATO, DOMENICK	PUT	SPY 07/21/17 237 B	7,925.00	100.00	0.00	100.00	80.00
05/18/2017	75500426	MIGLIORATO, DOMENICK	PUT	AAPL 07/21/17 145 B	7,170.00	120.00	0.00	120.00	96.00
05/05/2017	QGW001091	HACKEL, DEBBIE	PUT	100 INTERNATIONAL BUSINESS MACH B	180.00	10.00	0.00	10.00	8.00
05/05/2017	QGW001091	HACKEL, DEBBIE	PUT	100 INTERNATIONAL BUSINESS MACH S	-1,455.00	10.00	0.00	10.00	8.00
05/08/2017	QGW001091	HACKEL, DEBBIE	PUT	100 INTERNATIONAL BUSINESS MACH S	-152.00	10.00	0.00	10.00	8.00
05/08/2017	QGW001091	HACKEL, DEBBIE	PUT	100 INTERNATIONAL BUSINESS MACH B	1,272.00	10.00	0.00	10.00	8.00
05/11/2017	QGW001091	HACKEL, DEBBIE	CALL	100 APPLE INC COM EXP 05-19-17 S	-1,155.00	10.00	0.00	10.00	8.00
05/19/2017	QGW001091	HACKEL, DEBBIE	CALL	100 CHIPOTLE MEXICAN GRILL INC B	4.00	10.00	0.00	10.00	8.00
05/19/2017	QGW001091	HACKEL, DEBBIE	PUT	100 INTERNATIONAL BUSINESS MACH B	735.00	10.00	0.00	10.00	8.00
04/28/2017	QGW001166	KOONDEL	CALL	100 ALPHABET INC CL C EXP 06-1 S	-16,242.00	11.70	0.00	11.70	9.36
04/28/2017	QGW001166	KOONDEL	CALL	100 ALPHABET INC CL C EXP 06-1 B	25,032.00	13.40	0.00	13.40	10.72
04/28/2017	QGW001166	KOONDEL	CALL	100 ALPHABET INC CL C EXP 06-1 S	-20,349.00	12.55	0.00	12.55	10.04
04/28/2017	QGW001166	KOONDEL	CALL	100 ALPHABET INC CL C EXP 06-1 B	14,981.01	12.55	0.00	12.55	10.04
04/28/2017	QGW001166	KOONDEL	PUT	100 ALPHABET INC CL C EXP 06-16 S	-2,660.00	11.70	0.00	11.70	9.36
04/28/2017	QGW001166	KOONDEL	PUT	100 OCCIDENTAL PETE CORP COM EX B	5.00	13.40	0.00	13.40	10.72
04/28/2017	QGW001166	KOONDEL	PUT	100 OCCIDENTAL PETE CORP COM EX S	-401.00	13.40	0.00	13.40	10.72
05/01/2017	QGW001166	KOONDEL	CALL	100 ALPHABET INC CL C EXP 01-1 B	5,778.00	11.70	0.00	11.70	9.36
05/01/2017	QGW001166	KOONDEL	CALL	100 ALPHABET INC CL C EXP 01-1 S	-6,777.99	12.55	0.00	12.55	10.04
05/01/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 06-16-17 S	-29,331.00	25.30	0.00	25.30	20.24
05/01/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 06-16-17 S	-13,501.95	19.35	0.00	19.35	15.48
05/01/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 06-16-17 B	13,458.06	25.30	0.00	25.30	20.24
05/01/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 06-16-17 B	5,137.99	19.35	0.00	19.35	15.48
05/01/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 11-17-17 B	6,737.94	25.30	0.00	25.30	20.24
05/01/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 11-17-17 S	-2,315.97	17.65	0.00	17.65	14.12
05/01/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 11-17-17 S	-1,568.97	17.65	0.00	17.65	14.12
05/01/2017	QGW001166	KOONDEL	CALL	100 AMAZON COM INC EXP 07-21-1 S	-19,689.00	12.55	0.00	12.55	10.04
05/01/2017	QGW001166	KOONDEL	CALL	100 AMAZON COM INC EXP 07-21-1 B	20,654.00	13.40	0.00	13.40	10.72
05/01/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 05-05-17 S	-8,205.00	22.75	0.00	22.75	18.20
05/01/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 05-05-17 B	3,439.05	22.75	0.00	22.75	18.20
05/01/2017	QGW001166	KOONDEL	CALL	100 CME GROUP INC COM EXP 01-1 S	-2,674.98	15.10	0.00	15.10	12.08
05/01/2017	QGW001166	KOONDEL	CALL	100 CME GROUP INC COM EXP 06-1 B	1,950.00	15.10	0.00	15.10	12.08
05/01/2017	QGW001166	KOONDEL	PUT	100 ALPHABET INC CL C EXP 06-16 S	-1,800.00	11.70	0.00	11.70	9.36
05/01/2017	QGW001166	KOONDEL	PUT	100 ALPHABET INC CL C EXP 06-16 S	-2,860.00	11.70	0.00	11.70	9.36

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
05/01/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 05-05 S		-1,020.00	11.70	0.00	11.70	80.0	9.36
05/02/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 S		-13,219.00	18.50	0.00	18.50	80.0	14.80
05/02/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 B		5,327.00	18.50	0.00	18.50	80.0	14.80
05/02/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 S		-37,594.00	14.25	0.00	14.25	80.0	11.40
05/02/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 B		28,333.00	14.25	0.00	14.25	80.0	11.40
05/02/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-19-17 S		-15,174.00	25.30	0.00	25.30	80.0	20.24
05/02/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-19-17 B		3,835.08	25.30	0.00	25.30	80.0	20.24
05/03/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 S		-7,485.00	15.10	0.00	15.10	80.0	12.08
05/03/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 B		2,529.00	15.10	0.00	15.10	80.0	12.08
05/03/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 S		-24,318.00	12.55	0.00	12.55	80.0	10.04
05/03/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 B		18,708.00	12.55	0.00	12.55	80.0	10.04
05/03/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-19-17 S		-13,674.00	30.40	0.00	30.40	80.0	24.32
05/03/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-19-17 B		771.12	30.40	0.00	30.40	80.0	24.32
05/03/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 12-15-17 B		4,882.05	22.75	0.00	22.75	80.0	18.20
05/03/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 12-15-17 S		-2,235.00	22.75	0.00	22.75	80.0	18.20
05/03/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-12-17 S		-6,603.00	22.75	0.00	22.75	80.0	18.20
05/03/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-12-17 S		-2,654.00	16.83	0.00	16.83	80.0	13.46
05/03/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-12-17 S		-527.00	3.37	0.00	3.37	80.0	2.70
05/03/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-12-17 B		1,443.00	22.75	0.00	22.75	80.0	18.20
05/03/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-12-17 B		82.00	3.36	0.00	3.36	80.0	2.69
05/03/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-12-17 B		417.00	16.84	0.00	16.84	80.0	13.47
05/03/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-12-17 S		527.00	-3.37	0.00	-3.37	80.0	-2.70 C
05/03/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-12-17 S		-527.00	3.37	0.00	3.37	80.0	2.70
05/03/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-12-17 B		-82.00	-3.36	0.00	-3.36	80.0	-2.69 C
05/03/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-12-17 B		82.00	3.36	0.00	3.36	80.0	2.69
05/04/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 S		-1,169.00	10.85	0.00	10.85	80.0	8.68
05/04/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 B		341.00	10.85	0.00	10.85	80.0	8.68
05/04/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 S		-48,655.02	15.10	0.00	15.10	80.0	12.08
05/04/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 B		43,036.98	15.10	0.00	15.10	80.0	12.08
05/04/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 05- S		-3,995.00	18.50	0.00	18.50	80.0	14.80
05/04/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 05- B		468.00	20.20	0.00	20.20	80.0	16.16
05/04/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 09-15 S		-1,870.00	10.85	0.00	10.85	80.0	8.68
05/04/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 09-15 S		-2,450.00	10.85	0.00	10.85	80.0	8.68
05/04/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 05-19 S		-1,200.00	12.55	0.00	12.55	80.0	10.04
05/05/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 S		-24,089.94	25.30	0.00	25.30	80.0	20.24
05/05/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 B		8,206.92	25.30	0.00	25.30	80.0	20.24
05/05/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 B		13,414.00	14.25	0.00	14.25	80.0	11.40
05/05/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 S		-7,877.00	13.40	0.00	13.40	80.0	10.72
05/05/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 S		-1,345.00	10.85	0.00	10.85	80.0	8.68
05/08/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 S		-9,247.02	15.10	0.00	15.10	80.0	12.08

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
05/08/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 B		3,685.98	15.10	0.00	15.10	80.0	12.08
05/08/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 11-17-17 B		1,956.00	13.40	0.00	13.40	80.0	10.72
05/08/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 S		-14,391.04	16.80	0.00	16.80	80.0	13.44
05/08/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 B		7,479.04	16.80	0.00	16.80	80.0	13.44
05/08/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 11-17-17 S		-921.00	13.40	0.00	13.40	80.0	10.72
05/08/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 10-20-17 S		-7,320.00	6.75	0.00	6.75	80.0	5.40
05/08/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 10-20-17 S		-22,608.00	20.25	0.00	20.25	80.0	16.20
05/08/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 10-20-17 B		12,173.00	27.00	0.00	27.00	80.0	21.60
05/08/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-26-17 S		-5,610.99	19.35	0.00	19.35	80.0	15.48
05/08/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-26-17 B		1,287.99	19.35	0.00	19.35	80.0	15.48
05/08/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		8,986.88	38.90	0.00	38.90	80.0	31.12
05/08/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-4,923.88	38.90	0.00	38.90	80.0	31.12
05/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 11-17-17 B		12,375.00	12.55	0.00	12.55	80.0	10.04
05/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 10-20-17 S		-4,472.01	12.55	0.00	12.55	80.0	10.04
05/16/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-31,289.00	11.70	0.00	11.70	80.0	9.36
05/16/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 S		-39,162.00	13.40	0.00	13.40	80.0	10.72
05/16/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 B		31,321.00	13.40	0.00	13.40	80.0	10.72
05/16/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-19-17 B		13,470.00	12.55	0.00	12.55	80.0	10.04
05/16/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 05-1 B		28,335.00	11.70	0.00	11.70	80.0	9.36
05/16/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-02-17 S		-553.04	16.80	0.00	16.80	80.0	13.44
05/16/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-09-17 S		-312.00	12.55	0.00	12.55	80.0	10.04
05/16/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 S		39,162.00	-13.40	0.00	-13.40	80.0	-10.72 C
05/16/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 S		-39,162.00	13.40	0.00	13.40	80.0	10.72
05/16/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 B		-31,321.00	-13.40	0.00	-13.40	80.0	-10.72 C
05/16/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 B		31,321.00	13.40	0.00	13.40	80.0	10.72
05/16/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 06-02-17@ S		-208.00	16.80	0.00	16.80	80.0	13.44
05/16/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 06-09-17@ S		-92.01	12.55	0.00	12.55	80.0	10.04
05/17/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 11-17-17 B		23,169.03	41.45	0.00	41.45	80.0	33.16
05/17/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 11-17-17 S		-12,387.97	41.45	0.00	41.45	80.0	33.16
05/17/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 B		6,520.00	11.70	0.00	11.70	80.0	9.36
05/18/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-29,028.00	11.70	0.00	11.70	80.0	9.36
05/18/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 05-1 B		24,448.00	11.70	0.00	11.70	80.0	9.36
05/18/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-02 S		-930.00	12.55	0.00	12.55	80.0	10.04
05/19/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-28,726.00	11.70	0.00	11.70	80.0	9.36
05/19/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 S		-424.00	14.25	0.00	14.25	80.0	11.40
05/19/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-09-17 S		-211.00	13.40	0.00	13.40	80.0	10.72
05/19/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-0 B		24,264.00	11.70	0.00	11.70	80.0	9.36
05/19/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 06-16-17@ S		-372.00	14.25	0.00	14.25	80.0	11.40
05/19/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 06-09-17@ S		-231.00	13.40	0.00	13.40	80.0	10.72
05/23/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		11,406.00	35.50	0.00	35.50	80.0	28.40

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
05/23/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-6,894.00	35.50	0.00	35.50	80.0	28.40
05/23/2017	QGW001166 KOONDEL	CALL 100 QUALCOMM INC EXP 05-26-17@ S		-170.00	14.25	0.00	14.25	80.0	11.40
05/23/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-17-17@ S		-189.00	12.55	0.00	12.55	80.0	10.04
05/23/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-17-17@ S		-386.00	13.40	0.00	13.40	80.0	10.72
05/23/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-17-17@ S		-431.01	12.55	0.00	12.55	80.0	10.04
05/24/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 06-09-17@ S		-128.00	13.40	0.00	13.40	80.0	10.72
05/30/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-02 S		-420.00	11.70	0.00	11.70	80.0	9.36
05/30/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-02 S		420.00	-11.70	0.00	-11.70	80.0	-9.36 C
05/30/2017	QGW001166 KOONDEL	PUT 100 OCCIDENTAL PETE CORP COM EX S		-216.00	13.40	0.00	13.40	80.0	10.72
05/30/2017	QGW001190 ASSOCIATES, BKI	PUT 100 ALPHABET INC CL C EXP 06-02 S		-420.00	11.70	0.00	11.70	80.0	9.36
05/17/2017	QGW004863 CARROLL, DAVID	PUT 100 AT&T INC COM EXP 01-19-18@4 S		-8,240.00	50.00	0.00	50.00	80.0	40.00
05/17/2017	QGW004863 CARROLL, DAVID	PUT 100 AT&T INC COM EXP 06-16-17@4 B		4,720.00	50.00	0.00	50.00	80.0	40.00
05/22/2017	QGW004863 CARROLL, DAVID	CALL 100 AT&T INC COM EXP 01-19-18@ S		-1,300.00	50.00	0.00	50.00	80.0	40.00
05/26/2017	QGW004863 CARROLL, DAVID	CALL 100 SPDR S&P 500 ETF TR TR UNI B		1,988.00	50.00	0.00	50.00	80.0	40.00
05/30/2017	QGW004863 CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT S		-3,353.00	50.00	0.00	50.00	80.0	40.00
05/03/2017	QGW004905 CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT S		-490.00	70.00	0.00	70.00	80.0	56.00
05/03/2017	QGW004905 CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT B		490.00	70.00	0.00	70.00	80.0	56.00
05/05/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN S		-5,082.00	154.00	0.00	154.00	80.0	123.20
05/05/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN S		-4,976.64	144.00	0.00	144.00	80.0	115.20
05/05/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN S		-3,795.00	115.00	0.00	115.00	80.0	92.00
05/05/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN S		-3,822.00	98.00	0.00	98.00	80.0	78.40
05/05/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN S		-4,432.00	100.00	0.00	100.00	80.0	80.00
05/05/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN S		-4,209.70	89.00	0.00	89.00	80.0	71.20
05/09/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN B		4,080.00	24.00	0.00	24.00	80.0	19.20
05/09/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN B		34,020.00	189.00	0.00	189.00	80.0	151.20
05/09/2017	QGW004905 CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT B		4,410.00	210.00	0.00	210.00	80.0	168.00
05/09/2017	QGW004905 CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT B		9,917.60	490.00	0.00	490.00	80.0	392.00
05/10/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN B		5,966.00	38.00	0.00	38.00	80.0	30.40
05/10/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN B		9,780.00	60.00	0.00	60.00	80.0	48.00
05/10/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN B		7,900.00	50.00	0.00	50.00	80.0	40.00
05/10/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN B		11,250.00	75.00	0.00	75.00	80.0	60.00
05/10/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN B		1,640.00	10.00	0.00	10.00	80.0	8.00
05/10/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN B		10,140.00	65.00	0.00	65.00	80.0	52.00
05/10/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN B		15,194.60	82.00	0.00	82.00	80.0	65.60
05/10/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN B		21,390.37	107.00	0.00	107.00	80.0	85.60
05/10/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN S		-2,500.00	100.00	0.00	100.00	80.0	80.00
05/10/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN S		-1,200.00	100.00	0.00	100.00	80.0	80.00
05/10/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN S		-1,177.00	100.00	0.00	100.00	80.0	80.00
05/11/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN B		2,130.00	100.00	0.00	100.00	80.0	80.00
05/11/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN B		3,000.00	200.00	0.00	200.00	80.0	160.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
05/11/2017	QGW004905	CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT S	-2,500.00	100.00	0.00	100.00	80.0	80.00
05/11/2017	QGW004905	CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT B	6,700.00	100.00	0.00	100.00	80.0	80.00
05/12/2017	QGW004905	CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT S	-1,000.00	100.00	0.00	100.00	80.0	80.00
05/12/2017	QGW004905	CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT S	-800.00	100.00	0.00	100.00	80.0	80.00
05/15/2017	QGW004905	CAPRARO, DAVID	CALL 100 EXACT SCIENCES CORP COM EX S	-3,500.00	100.00	0.00	100.00	80.0	80.00
05/15/2017	QGW004905	CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN S	-620.00	20.00	0.00	20.00	80.0	16.00
05/15/2017	QGW004905	CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN S	-2,400.00	80.00	0.00	80.00	80.0	64.00
05/16/2017	QGW004905	CAPRARO, DAVID	CALL 100 EXACT SCIENCES CORP COM EX B	3,500.00	100.00	0.00	100.00	80.0	80.00
05/16/2017	QGW004905	CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT S	-2,400.00	100.00	0.00	100.00	80.0	80.00
05/16/2017	QGW004905	CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT S	-550.00	50.00	0.00	50.00	80.0	40.00
05/17/2017	QGW004905	CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN B	1,496.00	100.00	0.00	100.00	80.0	80.00
05/17/2017	QGW004905	CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT B	2,520.00	60.00	0.00	60.00	80.0	48.00
05/17/2017	QGW004905	CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT B	1,675.20	40.00	0.00	40.00	80.0	32.00
05/17/2017	QGW004905	CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT B	600.00	100.00	0.00	100.00	80.0	80.00
05/17/2017	QGW004905	CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT B	1,200.00	200.00	0.00	200.00	80.0	160.00
05/17/2017	QGW004905	CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT B	812.00	50.00	0.00	50.00	80.0	40.00
05/22/2017	QGW004905	CAPRARO, DAVID	PUT 100 FIRST MAJESTIC SILVER CORP S	-1,590.00	100.00	0.00	100.00	80.0	80.00
05/26/2017	QGW004905	CAPRARO, DAVID	PUT 100 FIRST MAJESTIC SILVER CORP B	3,100.00	100.00	0.00	100.00	80.0	80.00
05/01/2017	QGW005894	JT/WROS, MINNA	CALL 100 DISNEY WALT CO DISNEY COM S	-862.00	50.00	0.00	50.00	80.0	40.00
05/19/2017	QGW005936	RABBITO, RICHARD	PUT 100 VALE S A ADR ISIN#US91912E1 B	280.00	35.00	0.00	35.00	80.0	28.00
05/05/2017	QGW006371	TRAN, SCOTT	CALL 100 S & P 100 INDEX OPTIONS OE S	-3,450.00	50.00	0.00	50.00	80.0	40.00
05/05/2017	QGW006371	TRAN, SCOTT	CALL 100 S & P 100 INDEX OPTIONS OE B	2,450.00	50.00	0.00	50.00	80.0	40.00
04/28/2017	QGW006504	COLE, ALAN	CALL 100 CHIPOTLE MEXICAN GRILL INC S	-4,885.00	35.00	0.00	35.00	80.0	28.00
04/28/2017	QGW006504	COLE, ALAN	PUT 100 CHIPOTLE MEXICAN GRILL INC S	-5,115.00	35.00	0.00	35.00	80.0	28.00
05/05/2017	QGW006504	COLE, ALAN	CALL 100 CHIPOTLE MEXICAN GRILL INC B	2,890.00	35.00	0.00	35.00	80.0	28.00
05/05/2017	QGW006504	COLE, ALAN	PUT 100 CHIPOTLE MEXICAN GRILL INC B	6,525.00	35.00	0.00	35.00	80.0	28.00
05/05/2017	QGW006504	COLE, ALAN	PUT 100 CHIPOTLE MEXICAN GRILL INC B	-6,525.00	-35.00	0.00	-35.00	80.0	-28.00 C
05/05/2017	QGW006504	COLE, ALAN	PUT 100 CHIPOTLE MEXICAN GRILL INC B	6,525.00	35.00	0.00	35.00	80.0	28.00
04/28/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET S	-910.00	20.00	0.00	20.00	80.0	16.00
04/28/2017	QGW009730	SHARTSIS, JAY	CALL 100 WESTERN DIGITAL CORP COM E S	-1,044.00	20.00	0.00	20.00	80.0	16.00
05/02/2017	QGW009730	SHARTSIS, JAY	CALL 100 APPLE INC COM EXP 05-19-17 B	3,830.00	20.00	0.00	20.00	80.0	16.00
05/02/2017	QGW009730	SHARTSIS, JAY	CALL 100 APPLE INC COM EXP 05-19-17 S	-855.00	20.00	0.00	20.00	80.0	16.00
05/04/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET S	-2,020.00	20.00	0.00	20.00	80.0	16.00
05/05/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B	4,695.00	20.00	0.00	20.00	80.0	16.00
05/05/2017	QGW009730	SHARTSIS, JAY	PUT 100 INTERNATIONAL BUSINESS MACH S	-1,650.00	20.00	0.00	20.00	80.0	16.00
05/08/2017	QGW009730	SHARTSIS, JAY	PUT 100 INTERNATIONAL BUSINESS MACH B	1,420.00	20.00	0.00	20.00	80.0	16.00
05/09/2017	QGW009730	SHARTSIS, JAY	CALL 100 3M CO COM EXP 05-19-17@195 S	-1,525.00	20.00	0.00	20.00	80.0	16.00
05/10/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B	2,340.00	20.00	0.00	20.00	80.0	16.00
05/10/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET S	-1,660.00	20.00	0.00	20.00	80.0	16.00
05/11/2017	QGW009730	SHARTSIS, JAY	CALL 100 APPLE INC COM EXP 05-19-17 S	-1,925.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
05/11/2017	QGW009730	SHARTSIS, JAY	CALL 100 PROSHARES TR II ULTA BLOOM S	-1,200.00	20.00	0.00	20.00	80.0	16.00
05/15/2017	QGW009730	SHARTSIS, JAY	PUT 100 NEWMONT MNG CORP COM EXP 06 B	1,140.00	20.00	0.00	20.00	80.0	16.00
05/17/2017	QGW009730	SHARTSIS, JAY	CALL 100 3M CO COM EXP 05-19-17@195 S	-1,560.00	20.00	0.00	20.00	80.0	16.00
05/17/2017	QGW009730	SHARTSIS, JAY	CALL 100 3M CO COM EXP 05-26-17@195 S	-4,375.00	20.00	0.00	20.00	80.0	16.00
05/18/2017	QGW009730	SHARTSIS, JAY	CALL 100 3M CO COM EXP 05-26-17@195 B	1,780.00	20.00	0.00	20.00	80.0	16.00
05/18/2017	QGW009730	SHARTSIS, JAY	CALL 100 3M CO COM EXP 05-26-17@195 S	-1,500.00	20.00	0.00	20.00	80.0	16.00
05/18/2017	QGW009730	SHARTSIS, JAY	PUT 100 DIREXION SHS ETF TR DAILY G B	500.00	20.00	0.00	20.00	80.0	16.00
05/18/2017	QGW009730	SHARTSIS, JAY	PUT 100 DIREXION SHS ETF TR DAILY G S	-4,150.00	20.00	0.00	20.00	80.0	16.00
05/19/2017	QGW009730	SHARTSIS, JAY	CALL 100 3M CO COM EXP 05-26-17@195 B	1,530.00	20.00	0.00	20.00	80.0	16.00
05/19/2017	QGW009730	SHARTSIS, JAY	CALL 100 3M CO COM EXP 05-26-17@195 B	-1,530.00	-20.00	0.00	-20.00	80.0	-16.00 C
05/19/2017	QGW009730	SHARTSIS, JAY	CALL 100 3M CO COM EXP 05-26-17@195 B	1,530.00	20.00	0.00	20.00	80.0	16.00
05/19/2017	QGW009730	SHARTSIS, JAY	CALL 100 DIREXION SHS ETF TR DAILY S	-1,500.00	20.00	0.00	20.00	80.0	16.00
05/19/2017	QGW009730	SHARTSIS, JAY	CALL 100 PROSHARES TR II ULTA BLOOM B	555.00	20.00	0.00	20.00	80.0	16.00
05/24/2017	QGW009730	SHARTSIS, JAY	CALL 100 PUMA BIOTECHNOLOGY INC COM S	-1,660.00	20.00	0.00	20.00	80.0	16.00
05/25/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET S	-1,200.00	20.00	0.00	20.00	80.0	16.00
05/05/2017	QGW013492	HACKEL, DEBBIE	CALL 100 PAYCOM SOFTWARE INC COM EX S	-7,650.00	20.00	0.00	20.00	80.0	16.00
05/18/2017	QGW013492	HACKEL, DEBBIE	CALL 100 EXACT SCIENCES CORP COM EX S	-8,400.00	20.00	0.00	20.00	80.0	16.00
05/15/2017	QGW029019	SHARTSIS, JAY	CALL 100 DIREXION SHS ETF TR DAILY S	-297.00	20.00	0.00	20.00	80.0	16.00
05/18/2017	QGW029019	SHARTSIS, JAY	CALL 100 STAMPS.COM INC COM NEW EXP B	1,200.00	20.00	0.00	20.00	80.0	16.00
05/25/2017	QGW029019	SHARTSIS, JAY	CALL 100 STAMPS.COM INC COM NEW EXP S	-700.00	20.00	0.00	20.00	80.0	16.00
04/28/2017	QGW030629	BEGLEY, MICHAEL	CALL 100 MICROSOFT CORP COM EXP 01- B	2,460.00	20.00	0.00	20.00	80.0	16.00
05/05/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 BARRICK GOLD CORP COM ISIN S	-1,545.00	20.00	0.00	20.00	80.0	16.00
05/09/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 APPLE INC COM EXP 10-20-17 B	526.00	20.00	0.00	20.00	80.0	16.00
05/15/2017	QGW030728	RUDOLPH, MARTIN	PUT 100 SALESFORCE.COM INC COM STOC S	-1,620.00	20.00	0.00	20.00	80.0	16.00
05/17/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 VANECK VECTORS ETF TR GOLD S	-325.00	20.00	0.00	20.00	80.0	16.00
05/17/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 VANECK VECTORS ETF TR GOLD S	-550.00	20.00	0.00	20.00	80.0	16.00
05/19/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 VANECK VECTORS ETF TR GOLD S	-750.00	20.00	0.00	20.00	80.0	16.00
05/02/2017	QGW031049	WINNICK, CHARLES	CALL 100 INDEPENDENT BK CORP MASS E S	-3,400.00	20.00	0.00	20.00	80.0	16.00
05/03/2017	QGW036410	BELZ, KATHLEEN	PUT 100 SPRINT CORP COM SER 1 EXP 0 S	-420.00	20.00	0.00	20.00	80.0	16.00
05/03/2017	QGW036436	BELZ, KATHLEEN	PUT 100 TWITTER INC COM EXP 05-05-1 S	-450.00	20.00	0.00	20.00	80.0	16.00
05/03/2017	QGW036436	BELZ, KATHLEEN	PUT 100 TWITTER INC COM EXP 05-19-1 S	-500.00	20.00	0.00	20.00	80.0	16.00
05/05/2017	QGW036436	BELZ, KATHLEEN	PUT 100 TWITTER INC COM EXP 06-16-1 S	-174.00	20.00	0.00	20.00	80.0	16.00
05/08/2017	QGW036436	BELZ, KATHLEEN	PUT 100 TWITTER INC COM EXP 06-16-1 S	-696.00	20.00	0.00	20.00	80.0	16.00
05/09/2017	QGW036436	BELZ, KATHLEEN	PUT 100 HANESBRANDS INC COM EXP 06- S	-1,040.00	20.00	0.00	20.00	80.0	16.00
05/09/2017	QGW036436	BELZ, KATHLEEN	PUT 100 HANESBRANDS INC COM EXP 06- S	-1,000.00	20.00	0.00	20.00	80.0	16.00
05/09/2017	QGW036436	BELZ, KATHLEEN	PUT 100 HANESBRANDS INC COM EXP 06- S	1,040.00	-20.00	0.00	-20.00	80.0	-16.00 C
05/10/2017	QGW036436	BELZ, KATHLEEN	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-420.00	20.00	0.00	20.00	80.0	16.00
05/10/2017	QGW036436	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-2,100.00	20.00	0.00	20.00	80.0	16.00
05/11/2017	QGW036436	BELZ, KATHLEEN	PUT 100 BANK AMER CORP COM EXP 06-0 S	-700.00	20.00	0.00	20.00	80.0	16.00
05/03/2017	QGW036444	BELZ, RICHARD	PUT 100 SPRINT CORP COM SER 1 EXP 0 S	-850.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
05/01/2017	QGW036451	BELZ, RICHARD	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-750.00	20.00	0.00	20.00	80.0	16.00
05/03/2017	QGW036451	BELZ, RICHARD	PUT 100 TWITTER INC COM EXP 05-05-1 S	-520.00	20.00	0.00	20.00	80.0	16.00
05/08/2017	QGW036451	BELZ, RICHARD	CALL 100 BANK AMER CORP COM EXP 06- S	-780.00	20.00	0.00	20.00	80.0	16.00
05/08/2017	QGW036451	BELZ, RICHARD	PUT 100 COHERUS BIOSCIENCES INC COM S	-2,900.00	20.00	0.00	20.00	80.0	16.00
05/08/2017	QGW036451	BELZ, RICHARD	PUT 100 TWITTER INC COM EXP 05-12-1 S	-1,170.00	20.00	0.00	20.00	80.0	16.00
05/08/2017	QGW036451	BELZ, RICHARD	PUT 100 TWITTER INC COM EXP 05-12-1 S	1,170.00	-20.00	0.00	-20.00	80.0	-16.00 C
05/08/2017	QGW036451	BELZ, RICHARD	PUT 100 TWITTER INC COM EXP 05-12-1 S	-1,170.00	20.00	0.00	20.00	80.0	16.00
05/10/2017	QGW036451	BELZ, RICHARD	PUT 100 NABORS INDS LTD SHS ISIN#BM S	-1,100.00	20.00	0.00	20.00	80.0	16.00
05/15/2017	QGW036451	BELZ, RICHARD	PUT 100 PENTAIR PLC SHS ISIN#IE00BL S	-1,950.00	20.00	0.00	20.00	80.0	16.00
05/16/2017	QGW036451	BELZ, RICHARD	PUT 100 NORWEGIAN CRUISE LINE HLDGS S	-750.00	20.00	0.00	20.00	80.0	16.00
05/16/2017	QGW036451	BELZ, RICHARD	PUT 100 YAHOO INC COM EXP 06-23-17@ S	-1,065.00	20.00	0.00	20.00	80.0	16.00
* OPTIONS SUBTOTAL *				\$-52,900.24	\$8,241.30	\$0.00	\$8,241.30		\$6,593.04
<u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u>									
04/28/2017	QGW008203	MCGOWAN, DIANE	BANK AMER NA CHARLOTTE NC CD C C/D S	-29,200.00	75.00	0.00	75.00	80.0	60.00
04/28/2017	QGW008203	MCGOWAN, DIANE	CITIBANK N A PRIN PROTECTED MKT LKD S	-14,250.00	75.00	0.00	75.00	80.0	60.00
04/28/2017	QGW036568	KRELL, FRIDA	BANK AMER NA CHARLOTTE NC CD C C/D B	29,200.00	50.00	0.00	50.00	80.0	40.00
* CERTIFICATES OF DEPOSIT SUBTOTAL *				\$-14,250.00	\$200.00	\$0.00	\$200.00		\$160.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2017	75502067	SCRUGGS, SUSAN	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	0.03	0.00	0.03	80.0	0.02
05/09/2017	75502067	SCRUGGS, SUSAN	THE BOND FUND OF AMERICA-A B	0.00	1.34	0.00	1.34	80.0	1.07
05/09/2017	75502067	SCRUGGS, SUSAN	THE GROWTH FUND OF AMERICA-A B	0.00	3.36	0.00	3.36	80.0	2.69
04/18/2017	QGW005472	KRELL, MOSHE	EUROPACIFIC GROWTH FUND CLASS C B	0.00	17.06	0.00	17.06	80.0	13.65
04/18/2017	QGW005472	KRELL, MOSHE	EUROPACIFIC GROWTH FUND CLASS C B	0.00	17.06	0.00	17.06	80.0	13.65
04/18/2017	QGW005472	KRELL, MOSHE	PRINCIPAL PREFERRED SECURITIES FUND B	0.00	18.99	0.00	18.99	80.0	15.19
04/18/2017	QGW005472	KRELL, MOSHE	PRINCIPAL GLOBAL DIVERSIFIED INCOME B	0.00	41.15	0.00	41.15	80.0	32.92
04/18/2017	QGW005472	KRELL, MOSHE	PRINCIPAL PREFERRED SECURITIES FUND B	0.00	18.99	0.00	18.99	80.0	15.19
04/18/2017	QGW005472	KRELL, MOSHE	PRINCIPAL GLOBAL DIVERSIFIED INCOME B	0.00	41.15	0.00	41.15	80.0	32.92
04/20/2017	QGW005472	KRELL, MOSHE	COHEN & STEERS GLOBAL REALTY SHARES B	0.00	32.48	0.00	32.48	80.0	25.98
04/20/2017	QGW005472	KRELL, MOSHE	COHEN & STEERS GLOBAL REALTY SHARES B	0.00	32.48	0.00	32.48	80.0	25.98
04/20/2017	QGW005472	KRELL, MOSHE	OPPENHEIMERFUNDS 683940886 B	0.00	14.74	0.00	14.74	80.0	11.79
04/20/2017	QGW005472	KRELL, MOSHE	OPPENHEIMER ROCHESTER LIMITED TERM B	0.00	37.83	0.00	37.83	80.0	30.26
04/20/2017	QGW005472	KRELL, MOSHE	OPPENHEIMERFUNDS 683940886 B	0.00	14.74	0.00	14.74	80.0	11.79
04/20/2017	QGW005472	KRELL, MOSHE	OPPENHEIMER ROCHESTER LIMITED TERM B	0.00	37.83	0.00	37.83	80.0	30.26
04/21/2017	QGW005472	KRELL, MOSHE	HENDERSON GLOBAL EQUITY INCOME FUND B	0.00	27.25	0.00	27.25	80.0	21.80
04/21/2017	QGW005472	KRELL, MOSHE	HENDERSON GLOBAL EQUITY INCOME FUND B	0.00	27.25	0.00	27.25	80.0	21.80
04/28/2017	QGW005472	KRELL, MOSHE	MAINSTAY CUSHING MLP PREMIER FUND C B	0.00	27.43	0.00	27.43	80.0	21.94
04/28/2017	QGW005472	KRELL, MOSHE	MAINSTAY CUSHING MLP PREMIER FUND C B	0.00	27.43	0.00	27.43	80.0	21.94
05/10/2017	QGW005472	KRELL, MOSHE	MAINSTAY CUSHING MLP PREMIER FUND C B	0.00	26.20	0.00	26.20	80.0	20.96

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
05/10/2017	QGW005472	KRELL, MOSHE		MAINSTAY CUSHING MLP PREMIER FUND C B	0.00	26.20	0.00	26.20	80.0	20.96
05/10/2017	QGW005472	KRELL, MOSHE		MAINSTAY CUSHING MLP PREMIER FUND C B	0.00	26.20	0.00	26.20	80.0	20.96
05/15/2017	QGW005472	KRELL, MOSHE		EUROPACIFIC GROWTH FUND CLASS C B	0.00	16.85	0.00	16.85	80.0	13.48
05/19/2017	QGW005472	KRELL, MOSHE		COHEN & STEERS GLOBAL REALTY SHARES B	0.00	32.15	0.00	32.15	80.0	25.72
05/19/2017	QGW005472	KRELL, MOSHE		HENDERSON GLOBAL EQUITY INCOME FUND B	0.00	26.53	0.00	26.53	80.0	21.22
05/22/2017	QGW005472	KRELL, MOSHE		OPPENHEIMERFUNDS 683940886 B	0.00	14.67	0.00	14.67	80.0	11.74
05/22/2017	QGW005472	KRELL, MOSHE		OPPENHEIMER ROCHESTER LIMITED TERM B	0.00	36.28	0.00	36.28	80.0	29.02
04/13/2017	QGW006884	VOGEL, NEALA		COLUMBIA SELIGMAN COMMUNICATIONS AN B	0.00	5.43	0.00	5.43	80.0	4.34
04/13/2017	QGW006884	VOGEL, NEALA		COLUMBIA SELIGMAN COMMUNICATIONS AN B	0.00	5.43	0.00	5.43	80.0	4.34
04/17/2017	QGW006884	VOGEL, NEALA		DAVIS NEW YORK VENTURE CLASS A B	0.00	3.72	0.00	3.72	80.0	2.98
04/17/2017	QGW006884	VOGEL, NEALA		DAVIS NEW YORK VENTURE CLASS A B	0.00	3.72	0.00	3.72	80.0	2.98
04/18/2017	QGW006884	VOGEL, NEALA		MFS RESEARCH FUND-A B	0.00	3.24	0.00	3.24	80.0	2.59
04/18/2017	QGW006884	VOGEL, NEALA		MFS RESEARCH FUND-A B	0.00	3.24	0.00	3.24	80.0	2.59
04/20/2017	QGW006884	VOGEL, NEALA		TEMPLETON GROWTH FUND CLASS A B	0.00	3.06	0.00	3.06	80.0	2.45
04/20/2017	QGW006884	VOGEL, NEALA		TEMPLETON GROWTH FUND CLASS A B	0.00	3.06	0.00	3.06	80.0	2.45
04/21/2017	QGW006884	VOGEL, NEALA		PUTNAM 746809102 B	0.00	2.46	0.00	2.46	80.0	1.97
04/21/2017	QGW006884	VOGEL, NEALA		PUTNAM 746809102 B	0.00	2.46	0.00	2.46	80.0	1.97
05/11/2017	QGW006884	VOGEL, NEALA		TEMPLETON GROWTH FUND CLASS A B	0.00	2.96	0.00	2.96	80.0	2.37
05/11/2017	QGW006884	VOGEL, NEALA		TEMPLETON GROWTH FUND CLASS A B	0.00	2.96	0.00	2.96	80.0	2.37
05/11/2017	QGW006884	VOGEL, NEALA		TEMPLETON GROWTH FUND CLASS A B	0.00	2.96	0.00	2.96	80.0	2.37
05/12/2017	QGW006884	VOGEL, NEALA		MFS RESEARCH FUND-A B	0.00	3.14	0.00	3.14	80.0	2.51
05/12/2017	QGW006884	VOGEL, NEALA		MFS RESEARCH FUND-A B	0.00	3.14	0.00	3.14	80.0	2.51
05/12/2017	QGW006884	VOGEL, NEALA		MFS RESEARCH FUND-A B	0.00	3.14	0.00	3.14	80.0	2.51
05/15/2017	QGW006884	VOGEL, NEALA		DAVIS NEW YORK VENTURE CLASS A B	0.00	3.59	0.00	3.59	80.0	2.87
05/22/2017	QGW006884	VOGEL, NEALA		COLUMBIA SELIGMAN COMMUNICATIONS AN B	0.00	5.37	0.00	5.37	80.0	4.30
05/25/2017	QGW006884	VOGEL, NEALA		PUTNAM 746809102 B	0.00	2.37	0.00	2.37	80.0	1.90
04/13/2017	QGW007122	CUSTODIAN, DIANNE		INVESCO GROWTH AND INCOME FUND CL A B	0.00	7.04	0.00	7.04	80.0	5.63
04/13/2017	QGW007122	CUSTODIAN, DIANNE		INVESCO GROWTH AND INCOME FUND CL A B	0.00	7.04	0.00	7.04	80.0	5.63
04/13/2017	QGW007130	CUSTODIAN, DIANNE		INVESCO GROWTH AND INCOME FUND CL A B	0.00	7.04	0.00	7.04	80.0	5.63
04/13/2017	QGW007130	CUSTODIAN, DIANNE		INVESCO GROWTH AND INCOME FUND CL A B	0.00	7.04	0.00	7.04	80.0	5.63
04/18/2017	QGW007411	OPPELT, MARION		WELLS FARGO OPPORTUNITY FD ADMIN B	0.00	1.81	0.00	1.81	80.0	1.45
04/18/2017	QGW007411	OPPELT, MARION		WELLS FARGO OPPORTUNITY FD ADMIN B	0.00	1.81	0.00	1.81	80.0	1.45
05/16/2017	QGW007411	OPPELT, MARION		WELLS FARGO OPPORTUNITY FD ADMIN B	0.00	1.75	0.00	1.75	80.0	1.40
04/20/2017	QGW008203	MCGOWAN, DIANE		FRK FL TF INC FND - CL A B	0.00	1.15	0.00	1.15	80.0	0.92
04/20/2017	QGW008203	MCGOWAN, DIANE		FRK FL TF INC FND - CL A B	0.00	1.15	0.00	1.15	80.0	0.92
04/20/2017	QGW008203	MCGOWAN, DIANE		ROCHESTER NATIONAL MUNICIPALS A B	0.00	1.54	0.00	1.54	80.0	1.23
04/20/2017	QGW008203	MCGOWAN, DIANE		ROCHESTER NATIONAL MUNICIPALS A B	0.00	1.54	0.00	1.54	80.0	1.23
05/11/2017	QGW008203	MCGOWAN, DIANE		FRK FL TF INC FND - CL A B	0.00	1.12	0.00	1.12	80.0	0.90
05/11/2017	QGW008203	MCGOWAN, DIANE		FRK FL TF INC FND - CL A B	0.00	1.12	0.00	1.12	80.0	0.90
05/11/2017	QGW008203	MCGOWAN, DIANE		FRK FL TF INC FND - CL A B	0.00	1.12	0.00	1.12	80.0	0.90

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
05/22/2017	QGW008203	MCGOWAN, DIANE	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	2.55	0.00	2.55	80.0	2.04
04/13/2017	QGW022154	MANOS, WAYNE	RMB MENDON FINANCIAL LNG/SHRT FUND	B	0.00	10.22	0.00	10.22	80.0	8.18
04/13/2017	QGW022154	MANOS, WAYNE	RMB MENDON FINANCIAL SERVICES FUND	B	0.00	18.44	0.00	18.44	80.0	14.75
04/13/2017	QGW022154	MANOS, WAYNE	RMB MENDON FINANCIAL LNG/SHRT FUND	B	0.00	10.22	0.00	10.22	80.0	8.18
04/13/2017	QGW022154	MANOS, WAYNE	RMB MENDON FINANCIAL SERVICES FUND	B	0.00	18.44	0.00	18.44	80.0	14.75
04/17/2017	QGW022154	MANOS, WAYNE	FINANCIAL INDUSTRIES A	B	0.00	5.89	0.00	5.89	80.0	4.71
04/17/2017	QGW022154	MANOS, WAYNE	FINANCIAL INDUSTRIES A	B	0.00	5.89	0.00	5.89	80.0	4.71
04/19/2017	QGW022154	MANOS, WAYNE	GOLDMAN SACHS CAPITAL GROWTH FUND C	B	0.00	7.36	0.00	7.36	80.0	5.89
04/19/2017	QGW022154	MANOS, WAYNE	GOLDMAN SACHS CAPITAL GROWTH FUND C	B	0.00	7.36	0.00	7.36	80.0	5.89
04/21/2017	QGW022154	MANOS, WAYNE	HENDERSON EUROPEAN FOCUS FUND CLASS	B	0.00	9.78	0.00	9.78	80.0	7.82
04/21/2017	QGW022154	MANOS, WAYNE	HENDERSON EUROPEAN FOCUS FUND CLASS	B	0.00	9.78	0.00	9.78	80.0	7.82
04/21/2017	QGW022154	MANOS, WAYNE	PUTNAM 746802800	B	0.00	0.59	0.00	0.59	80.0	0.47
04/21/2017	QGW022154	MANOS, WAYNE	PUTNAM 746802800	B	0.00	0.59	0.00	0.59	80.0	0.47
05/17/2017	QGW022154	MANOS, WAYNE	GOLDMAN SACHS CAPITAL GROWTH FUND C	B	0.00	7.20	0.00	7.20	80.0	5.76
05/19/2017	QGW022154	MANOS, WAYNE	FINANCIAL INDUSTRIES A	B	0.00	5.58	0.00	5.58	80.0	4.46
05/19/2017	QGW022154	MANOS, WAYNE	HENDERSON EUROPEAN FOCUS FUND CLASS	B	0.00	9.55	0.00	9.55	80.0	7.64
05/25/2017	QGW022154	MANOS, WAYNE	PUTNAM 746802800	B	0.00	0.57	0.00	0.57	80.0	0.46
04/13/2017	QGW023731	REILLY, DAVID	AIM SMALL CAP GROWTH FUND - CLASS A	B	0.00	1.16	0.00	1.16	80.0	0.93
04/13/2017	QGW023731	REILLY, DAVID	AIM SMALL CAP GROWTH FUND - CLASS A	B	0.00	1.16	0.00	1.16	80.0	0.93
04/17/2017	QGW023731	REILLY, DAVID	DELAWARE REAL ESTATE INVESTMENT TRU	B	0.00	0.27	0.00	0.27	80.0	0.22
04/17/2017	QGW023731	REILLY, DAVID	DELAWARE REAL ESTATE INVESTMENT TRU	B	0.00	0.27	0.00	0.27	80.0	0.22
04/18/2017	QGW023731	REILLY, DAVID	ALLIANCEBERNSTEIN GROWTH AND INC A	B	0.00	0.72	0.00	0.72	80.0	0.58
04/18/2017	QGW023731	REILLY, DAVID	ALLIANCEBERNSTEIN GROWTH AND INC A	B	0.00	0.72	0.00	0.72	80.0	0.58
04/18/2017	QGW023731	REILLY, DAVID	MFS INTERNATIONAL NEW DISCOVERY FUN	B	0.00	0.23	0.00	0.23	80.0	0.18
04/18/2017	QGW023731	REILLY, DAVID	MFS INTERNATIONAL NEW DISCOVERY FUN	B	0.00	0.23	0.00	0.23	80.0	0.18
04/18/2017	QGW023731	REILLY, DAVID	MFS TOTAL RETURN FUND-A	B	0.00	0.66	0.00	0.66	80.0	0.53
04/18/2017	QGW023731	REILLY, DAVID	MFS TOTAL RETURN FUND-A	B	0.00	0.66	0.00	0.66	80.0	0.53
04/18/2017	QGW023731	REILLY, DAVID	THE GROWTH FUND OF AMERICA-A	B	0.00	0.93	0.00	0.93	80.0	0.74
04/18/2017	QGW023731	REILLY, DAVID	THE GROWTH FUND OF AMERICA-A	B	0.00	0.93	0.00	0.93	80.0	0.74
04/18/2017	QGW023731	REILLY, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.06	0.00	1.06	80.0	0.85
04/18/2017	QGW023731	REILLY, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.06	0.00	1.06	80.0	0.85
04/18/2017	QGW023731	REILLY, DAVID	WELLS FARGO ADVANTAGE SMALL-CAP VAL	B	0.00	0.51	0.00	0.51	80.0	0.41
04/18/2017	QGW023731	REILLY, DAVID	WELLS FARGO ADVANTAGE SMALL-CAP VAL	B	0.00	0.51	0.00	0.51	80.0	0.41
04/20/2017	QGW023731	REILLY, DAVID	CAPITAL INCOME A	B	0.00	1.88	0.00	1.88	80.0	1.50
04/20/2017	QGW023731	REILLY, DAVID	CAPITAL INCOME A	B	0.00	1.88	0.00	1.88	80.0	1.50
04/20/2017	QGW023731	REILLY, DAVID	GLOBAL FUND A	B	0.00	0.42	0.00	0.42	80.0	0.34
04/20/2017	QGW023731	REILLY, DAVID	GLOBAL FUND A	B	0.00	0.42	0.00	0.42	80.0	0.34
04/20/2017	QGW023731	REILLY, DAVID	TEMP FRGN FND - CL A	B	0.00	0.30	0.00	0.30	80.0	0.24
04/20/2017	QGW023731	REILLY, DAVID	TEMP FRGN FND - CL A	B	0.00	0.30	0.00	0.30	80.0	0.24
04/21/2017	QGW023731	REILLY, DAVID	FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	0.58	0.00	0.58	80.0	0.46

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/21/2017	QGW023731	REILLY, DAVID	FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	0.58	0.00	0.58	80.0	0.46
05/09/2017	QGW023731	REILLY, DAVID	ALLIANCEBERNSTEIN GROWTH AND INC A	B	0.00	0.69	0.00	0.69	80.0	0.55
05/09/2017	QGW023731	REILLY, DAVID	ALLIANCEBERNSTEIN GROWTH AND INC A	B	0.00	0.69	0.00	0.69	80.0	0.55
05/09/2017	QGW023731	REILLY, DAVID	ALLIANCEBERNSTEIN GROWTH AND INC A	B	0.00	0.69	0.00	0.69	80.0	0.55
05/11/2017	QGW023731	REILLY, DAVID	TEMP FRGN FND - CL A	B	0.00	0.29	0.00	0.29	80.0	0.23
05/11/2017	QGW023731	REILLY, DAVID	TEMP FRGN FND - CL A	B	0.00	0.29	0.00	0.29	80.0	0.23
05/11/2017	QGW023731	REILLY, DAVID	TEMP FRGN FND - CL A	B	0.00	0.29	0.00	0.29	80.0	0.23
05/12/2017	QGW023731	REILLY, DAVID	DELAWARE REAL ESTATE INVESTMENT TRU B	B	0.00	0.27	0.00	0.27	80.0	0.22
05/12/2017	QGW023731	REILLY, DAVID	DELAWARE REAL ESTATE INVESTMENT TRU B	B	0.00	0.27	0.00	0.27	80.0	0.22
05/12/2017	QGW023731	REILLY, DAVID	DELAWARE REAL ESTATE INVESTMENT TRU B	B	0.00	0.27	0.00	0.27	80.0	0.22
05/12/2017	QGW023731	REILLY, DAVID	MFS INTERNATIONAL NEW DISCOVERY FUN B	B	0.00	0.23	0.00	0.23	80.0	0.18
05/12/2017	QGW023731	REILLY, DAVID	MFS INTERNATIONAL NEW DISCOVERY FUN B	B	0.00	0.23	0.00	0.23	80.0	0.18
05/12/2017	QGW023731	REILLY, DAVID	MFS INTERNATIONAL NEW DISCOVERY FUN B	B	0.00	0.23	0.00	0.23	80.0	0.18
05/12/2017	QGW023731	REILLY, DAVID	MFS TOTAL RETURN FUND-A	B	0.00	0.64	0.00	0.64	80.0	0.51
05/12/2017	QGW023731	REILLY, DAVID	MFS TOTAL RETURN FUND-A	B	0.00	0.64	0.00	0.64	80.0	0.51
05/12/2017	QGW023731	REILLY, DAVID	MFS TOTAL RETURN FUND-A	B	0.00	0.64	0.00	0.64	80.0	0.51
05/15/2017	QGW023731	REILLY, DAVID	THE GROWTH FUND OF AMERICA-A	B	0.00	0.91	0.00	0.91	80.0	0.73
05/15/2017	QGW023731	REILLY, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.03	0.00	1.03	80.0	0.82
05/16/2017	QGW023731	REILLY, DAVID	WELLS FARGO ADVANTAGE SMALL-CAP VAL B	B	0.00	0.49	0.00	0.49	80.0	0.39
05/22/2017	QGW023731	REILLY, DAVID	CAPITAL INCOME A	B	0.00	1.83	0.00	1.83	80.0	1.46
05/22/2017	QGW023731	REILLY, DAVID	GLOBAL FUND A	B	0.00	0.41	0.00	0.41	80.0	0.33
05/24/2017	QGW023731	REILLY, DAVID	FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	0.56	0.00	0.56	80.0	0.45
04/18/2017	QGW028250	SAG LLC	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	22.25	0.00	22.25	80.0	17.80
04/18/2017	QGW028250	SAG LLC	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	22.25	0.00	22.25	80.0	17.80
05/15/2017	QGW028250	SAG LLC	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	21.68	0.00	21.68	80.0	17.34
04/20/2017	QGW030553	HEIDENREICH, CLAIRE	FRANKLIN INCOME FUND CLASS A	B	0.00	0.16	0.00	0.16	80.0	0.13
04/20/2017	QGW030553	HEIDENREICH, CLAIRE	FRANKLIN INCOME FUND CLASS A	B	0.00	0.16	0.00	0.16	80.0	0.13
05/11/2017	QGW030553	HEIDENREICH, CLAIRE	FRANKLIN INCOME FUND CLASS A	B	0.00	0.07	0.00	0.07	80.0	0.06
05/11/2017	QGW030553	HEIDENREICH, CLAIRE	FRANKLIN INCOME FUND CLASS A	B	0.00	0.07	0.00	0.07	80.0	0.06
05/11/2017	QGW030553	HEIDENREICH, CLAIRE	FRANKLIN INCOME FUND CLASS A	B	0.00	0.07	0.00	0.07	80.0	0.06
05/11/2017	QGW031999	HEIDENREICH, CLAIRE	FRANKLIN INCOME FUND CLASS A	B	0.00	0.33	0.00	0.33	80.0	0.26
05/11/2017	QGW031999	HEIDENREICH, CLAIRE	FRANKLIN INCOME FUND CLASS A	B	0.00	0.33	0.00	0.33	80.0	0.26
05/11/2017	QGW031999	HEIDENREICH, CLAIRE	FRANKLIN INCOME FUND CLASS A	B	0.00	0.33	0.00	0.33	80.0	0.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$978.13	\$0.00	\$978.13		\$782.46
*** BROKER TRANSACTIONS TOTAL ***					\$2,156,977.82	\$19,949.31	\$0.00	\$19,949.31		\$15,959.40

BROKER COMMISSION TOTALS

Broker Transactions	\$15,959.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-24.94
SIPC Assessment	\$-374.05

Check Amount	\$15,560.41
Year-to-date Earnings	\$78,780.40