



# COMMISSION STATEMENT

February 05, 2018

**TIMOTHY STATES**

1629 K ST NW STE 300  
SUITE 300  
WASHINGTON, DC 20001

**BROKER #: A70**

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/31/2018	***-**-100I - BRITT, FBO RUTH	AIM DIVERSIFIED DIVIDEND FUND - CL	18,127.56	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR010568	SELL 873 Units @20.7700						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$18,127.56</b>	<b>\$0.00</b>	<b>\$18.00</b>	<b>\$-18.00</b>		<b>\$-18.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/30/2018	***-**-702I - GRAY, LEON	ALLERGAN PLC. COM USD0.0001	7,006.14	35.00	15.76	19.24	75.0	14.43
	ACCOUNT #: LSR010621	SELL 38 Units @184.3720						
01/26/2018	***-**-066I - STATES, FBO MR	APPLE INC UNSOLICITED ORDER	16,584.77	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000282	SELL 97 Units @170.9770						
01/26/2018	***-**-407I - LEWIS, FBO	APPLE INC UNSOLICITED ORDER	8,525.90	135.00	18.00	117.00	75.0	87.75
	ACCOUNT #: LSR010564	SELL 50 Units @170.5180						
01/29/2018	***-**-407I - LEWIS, FBO	APPLE INC UNSOLICITED ORDER	3,700.07	22.00	18.00	4.00	75.0	3.00
	ACCOUNT #: LSR010564	SELL 22 Units @168.1850						
01/29/2018	***-**-407I - LEWIS, FBO	APPLE INC UNSOLICITED ORDER	5,045.55	30.00	0.00	30.00	75.0	22.50
	ACCOUNT #: LSR010564	SELL 30 Units @168.1850						
01/29/2018	***-**-837I - LEWIS, FBO V	APPLE INC UNSOLICITED ORDER	8,749.52	140.00	18.00	122.00	75.0	91.50
	ACCOUNT #: LSR010565	SELL 52 Units @168.2600						
01/29/2018	***-**-00TR - BRITT, MRS RUTH	APPLE INC UNSOLICITED ORDER	11,825.38	150.00	18.00	132.00	75.0	99.00
	ACCOUNT #: LSR010582	SELL 70 Units @168.9340						
01/29/2018	***-**-826I - CLARK, FBO MS	APPLE INC UNSOLICITED ORDER	16,865.00	150.00	18.00	132.00	75.0	99.00
	ACCOUNT #: LSR010588	SELL 100 Units @168.6500						
01/29/2018	***-**-735I - ANTOINE, FBO MS	APPLE INC UNSOLICITED ORDER	8,397.20	140.00	18.00	122.00	75.0	91.50
	ACCOUNT #: LSR010596	SELL 50 Units @167.9440						
01/29/2018	***-**-067X - HIGHSMITH JR,	APPLE INC UNSOLICITED ORDER	15,353.88	160.00	18.00	142.00	75.0	106.50
	ACCOUNT #: LSR010776	SELL 91 Units @168.7240						
01/29/2018	***-**-736J - HIGHSMITH SR,	APPLE INC UNSOLICITED ORDER	8,392.50	140.00	18.00	122.00	75.0	91.50
	ACCOUNT #: LSR010785	SELL 50 Units @167.8500						
01/29/2018	***-**-97IO - STATES, MARY	APPLE INC UNSOLICITED ORDER	8,439.50	140.00	18.00	122.00	75.0	91.50
	ACCOUNT #: LSR011661	SELL 50 Units @168.7900						
01/30/2018	***-**-935I - KERR, FBO GAIL S	APPLE INC UNSOLICITED ORDER	12,925.54	140.00	18.00	122.00	75.0	91.50
	ACCOUNT #: LSR010579	SELL 78 Units @165.7120						
01/31/2018	***-**-407I - LEWIS, FBO	APPLE INC UNSOLICITED ORDER	16,712.50	100.00	18.00	82.00	75.0	61.50
	ACCOUNT #: LSR010564	SELL 100 Units @167.1250						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
01/31/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	APPLE INC UNSOLICITED ORDER SELL 100 Units @166.9790	16,697.90	100.00	18.00	82.00	75.0	61.50
01/31/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	APPLE INC UNSOLICITED ORDER SELL 77 Units @166.8540	12,847.76	100.00	18.00	82.00	75.0	61.50
01/31/2018	***-**-58IN - LUTZ, SAMUEL ACCOUNT #: LSR010594	APPLE INC UNSOLICITED ORDER SELL 100 Units @166.7400	16,674.00	150.00	18.00	132.00	75.0	99.00
01/31/2018	***-**-735I - ANTOINE, FBO MS ACCOUNT #: LSR010596	APPLE INC UNSOLICITED ORDER SELL 68 Units @166.8300	11,344.44	135.00	18.00	117.00	75.0	87.75
01/31/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	APPLE INC UNSOLICITED ORDER SELL 100 Units @166.7170	16,671.70	150.00	18.00	132.00	75.0	99.00
01/31/2018	***-**-819I - BOYKIN, ACCOUNT #: LSR010634	APPLE INC UNSOLICITED ORDER SELL 76 Units @166.8470	12,680.37	140.00	18.00	122.00	75.0	91.50
01/31/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	APPLE INC UNSOLICITED ORDER SELL 76 Units @167.0300	12,694.28	50.00	18.00	32.00	75.0	24.00
01/29/2018	***-**-00TR - BRITT, MRS RUTH ACCOUNT #: LSR010582	BANK OF AMERICA CORP UNSOLICITED OR BUY 200 Units @32.3350	6,467.00	135.00	19.00	116.00	75.0	87.00
01/29/2018	***-**-067X - HIGHSMITH JR, ACCOUNT #: LSR010776	BANK OF AMERICA CORP UNSOLICITED OR BUY 210 Units @32.3250	6,788.25	135.00	19.20	115.80	75.0	86.85
02/01/2018	***-**-100I - BRITT, FBO RUTH ACCOUNT #: LSR010568	BANK OF AMERICA CORP UNSOLICITED OR BUY 150 Units @31.9760	4,796.40	135.00	18.00	117.00	75.0	87.75
01/30/2018	***-**-702I - GRAY, LEON ACCOUNT #: LSR010621	CANOPY GROWTH CORPORATION COM NPV I BUY 100 Units @25.1040	2,510.40	35.00	18.00	17.00	75.0	12.75
01/29/2018	***-**-233J - SCALES, DARYL ACCOUNT #: LSR011378	CARDINAL HEALTH INC BUY 100 Units @75.1300	7,513.00	50.00	17.00	33.00	75.0	24.75
01/29/2018	***-**-889I - DAVIS, CONCHITA ACCOUNT #: LSR010649	CISCO SYSTEMS INC BUY 58 Units @42.7660	2,480.43	50.00	18.00	32.00	75.0	24.00
01/29/2018	***-**-233J - SCALES, DARYL ACCOUNT #: LSR011378	CISCO SYSTEMS INC BUY 100 Units @42.7900	4,279.00	100.00	18.00	82.00	75.0	61.50
02/01/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	CISCO SYSTEMS INC SELL 111 Units @41.5400	4,610.94	10.00	8.99	1.01	75.0	0.76
01/30/2018	***-**-702I - GRAY, LEON ACCOUNT #: LSR010621	DOLLAR TREE INC COM BUY 37 Units @115.3240	4,266.99	35.00	15.74	19.26	75.0	14.45



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS (continued)</u></b>								
01/31/2018	***-**-407I - LEWIS, FBO ACCOUNT #: LSR010564	FACEBOOK INC COM USD0.000006 CL A BUY 85 Units @188.0600	15,985.10	150.00	16.70	133.30	75.0	99.98
01/31/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	FACEBOOK INC COM USD0.000006 CL A BUY 85 Units @188.0300	15,982.55	150.00	16.70	133.30	75.0	99.98
01/31/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	FACEBOOK INC COM USD0.000006 CL A BUY 65 Units @187.9670	12,217.86	135.00	16.30	118.70	75.0	89.03
01/31/2018	***-**-58IN - LUTZ, SAMUEL ACCOUNT #: LSR010594	FACEBOOK INC COM USD0.000006 CL A BUY 90 Units @187.9460	16,915.14	150.00	16.80	133.20	75.0	99.90
01/31/2018	***-**-735I - ANTOINE, FBO MS ACCOUNT #: LSR010596	FACEBOOK INC COM USD0.000006 CL A BUY 55 Units @187.6560	10,321.08	135.00	16.10	118.90	75.0	89.18
01/31/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	FACEBOOK INC COM USD0.000006 CL A BUY 45 Units @187.9600	8,458.20	135.00	15.90	119.10	75.0	89.33
01/31/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	FACEBOOK INC COM USD0.000006 CL A BUY 60 Units @187.9390	11,276.34	135.00	16.20	118.80	75.0	89.10
01/29/2018	***-**-233J - SCALES, DARYL ACCOUNT #: LSR011378	MCKESSON CORP COM BUY 100 Units @176.4300	17,643.00	100.00	17.00	83.00	75.0	62.25
01/26/2018	***-**-066I - STATES, FBO MR ACCOUNT #: LSF000282	MICROSOFT CORP UNSOLICITED ORDER EX BUY 100 Units @92.8100	9,281.00	10.00	8.99	1.01	75.0	0.76
01/29/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	MICROSOFT CORP UNSOLICITED ORDER EX BUY 100 Units @94.2600	9,426.00	150.00	18.00	132.00	75.0	99.00
01/29/2018	***-**-09IO - BRITT, EDWINA ACCOUNT #: LSR011800	MICROSOFT CORP UNSOLICITED ORDER EX BUY 55 Units @94.3260	5,187.93	135.00	18.00	117.00	75.0	87.75
01/31/2018	***-**-819I - BOYKIN, ACCOUNT #: LSR010634	MICROSOFT CORP UNSOLICITED ORDER EX BUY 49 Units @93.8900	4,600.61	50.00	18.00	32.00	75.0	24.00
01/26/2018	***-**-066I - STATES, FBO MR ACCOUNT #: LSF000282	NEKTAR THERAPEUTICS BUY 90 Units @79.7870	7,180.83	10.00	9.89	0.11	75.0	0.08
01/26/2018	***-**-407I - LEWIS, FBO ACCOUNT #: LSR010564	NEKTAR THERAPEUTICS BUY 100 Units @80.0050	8,000.50	135.00	17.00	118.00	75.0	88.50
01/29/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	NEKTAR THERAPEUTICS BUY 48 Units @80.3190	3,855.31	10.00	9.47	0.53	75.0	0.40
01/29/2018	***-**-407I - LEWIS, FBO ACCOUNT #: LSR010564	NEKTAR THERAPEUTICS BUY 100 Units @80.0550	8,005.50	140.00	17.00	123.00	75.0	92.25



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
01/29/2018	***-**-837I - LEWIS, FBO V	NEKTAR THERAPEUTICS	7,988.20	140.00	17.00	123.00	75.0	92.25
	ACCOUNT #: LSR010565	BUY 100 Units @79.8820						
01/29/2018	***-**-00TR - BRITT, MRS RUTH	NEKTAR THERAPEUTICS	5,715.92	135.00	16.40	118.60	75.0	88.95
	ACCOUNT #: LSR010582	BUY 70 Units @81.6560						
01/29/2018	***-**-826I - CLARK, FBO MS	NEKTAR THERAPEUTICS	7,990.70	135.00	17.00	118.00	75.0	88.50
	ACCOUNT #: LSR010588	BUY 100 Units @79.9070						
01/29/2018	***-**-735I - ANTOINE, FBO MS	NEKTAR THERAPEUTICS	8,011.00	140.00	17.00	123.00	75.0	92.25
	ACCOUNT #: LSR010596	BUY 100 Units @80.1100						
01/29/2018	***-**-067X - HIGHSMITH JR,	NEKTAR THERAPEUTICS	8,159.00	140.00	17.00	123.00	75.0	92.25
	ACCOUNT #: LSR010776	BUY 100 Units @81.5900						
01/29/2018	***-**-736J - HIGHSMITH SR,	NEKTAR THERAPEUTICS	8,028.50	140.00	17.00	123.00	75.0	92.25
	ACCOUNT #: LSR010785	BUY 100 Units @80.2850						
01/29/2018	***-**-233J - SCALES, DARYL	NEKTAR THERAPEUTICS	8,028.00	135.00	17.00	118.00	75.0	88.50
	ACCOUNT #: LSR011378	BUY 100 Units @80.2800						
01/29/2018	***-**-97IO - STATES, MARY	NEKTAR THERAPEUTICS	8,114.50	140.00	17.00	123.00	75.0	92.25
	ACCOUNT #: LSR011661	BUY 100 Units @81.1450						
01/30/2018	***-**-935I - KERR, FBO GAIL S	NEKTAR THERAPEUTICS	12,307.50	140.00	18.00	122.00	75.0	91.50
	ACCOUNT #: LSR010579	BUY 150 Units @82.0500						
01/31/2018	***-**-74IN - DAVIS, MISCHA	NEKTAR THERAPEUTICS	8,360.00	135.00	17.00	118.00	75.0	88.50
	ACCOUNT #: LSR010627	BUY 100 Units @83.6000						
01/31/2018	***-**-819I - BOYKIN,	NEKTAR THERAPEUTICS	910.14	14.85	15.22	-0.37	100.0	-0.37
	ACCOUNT #: LSR010634	BUY 11 Units @82.7400						
01/31/2018	***-**-819I - BOYKIN,	NEKTAR THERAPEUTICS	7,363.86	120.15	1.78	118.37	75.0	88.78
	ACCOUNT #: LSR010634	BUY 89 Units @82.7400						
02/01/2018	***-**-66IN - STATES, MR	NEKTAR THERAPEUTICS	4,404.19	10.00	9.51	0.49	75.0	0.37
	ACCOUNT #: LSF000281	BUY 52 Units @84.6960						
02/01/2018	***-**-100I - BRITT, FBO RUTH	NEKTAR THERAPEUTICS	6,120.58	67.20	16.44	50.76	75.0	38.07
	ACCOUNT #: LSR010568	BUY 72 Units @85.0080						
02/01/2018	***-**-100I - BRITT, FBO RUTH	NEKTAR THERAPEUTICS	6,630.62	72.80	1.56	71.24	75.0	53.43
	ACCOUNT #: LSR010568	BUY 78 Units @85.0080						
01/29/2018	***-**-233J - SCALES, DARYL	SPLUNK INC COM USD0.001	9,263.00	50.00	17.00	33.00	75.0	24.75
	ACCOUNT #: LSR011378	BUY 100 Units @92.6300						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS (continued)</u></b>								
01/26/2018	***-**-09IO - BRITT, EDWINA ACCOUNT #: LSR011800	STARBUCKS CORP UNSOLICITED ORDER SELL 45 Units @57.7800	2,600.10	60.75	18.00	42.75	75.0	32.06
01/26/2018	***-**-09IO - BRITT, EDWINA ACCOUNT #: LSR011800	STARBUCKS CORP UNSOLICITED ORDER SELL 55 Units @57.7800	3,177.90	74.25	0.00	74.25	75.0	55.69
01/29/2018	***-**-889I - DAVIS, CONCHITA ACCOUNT #: LSR010649	STARBUCKS CORP UNSOLICITED ORDER SELL 45 Units @57.8830	2,604.74	50.00	18.00	32.00	75.0	24.00
01/29/2018	***-**-233J - SCALES, DARYL ACCOUNT #: LSR011378	VEEVA SYS INC CL A COM BUY 100 Units @60.8700	6,087.00	50.00	17.00	33.00	75.0	24.75
01/29/2018	***-**-233J - SCALES, DARYL ACCOUNT #: LSR011378	WORKDAY INC CL A ISIN #US98138H1014 BUY 100 Units @117.4350	11,743.50	50.00	17.00	33.00	75.0	24.75
<b>* STOCKS SUBTOTAL *</b>			<b>\$599,792.21</b>	<b>\$6,732.00</b>	<b>\$1,050.64</b>	<b>\$5,681.36</b>		<b>\$4,260.97</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>								
02/05/2018	***-**-27FB - LYNCH, JAIR ACCOUNT #: 925355417	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	310.12	310.12	0.00	310.12	75.0	232.59
<b>* RIA SUBTOTAL *</b>			<b>\$310.12</b>	<b>\$310.12</b>	<b>\$0.00</b>	<b>\$310.12</b>		<b>\$232.59</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$618,229.89</b>	<b>\$7,042.12</b>	<b>\$1,068.64</b>	<b>\$5,973.48</b>		<b>\$4,475.56</b>



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$4,475.56
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$4,475.56
						Year-to-date Earnings		\$4,475.56