



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JOHN AMBRUSCO </u></b>								
01/19/2018	***-**-56IN - GUERRERA-SAPONE,	DREYFUS MF TRAILS	0.00	17.04	0.00	17.04	0.5	0.09
	ACCOUNT #: 0013963731	BUY 0 Units @0.0000						
01/08/2018	***-**-615P - AMBRUSCO, JOHN	FA 529 PORT 2025-CL A	0.00	1.12	0.00	1.12	0.5	0.01
	ACCOUNT #: 00625334479	BUY 0 Units @0.0000						
01/02/2018	***-**-185P - SOKOLOWSKA,	FIDELITY ADV MF 040813	175.00	8.75	0.00	8.75	0.5	0.04
	ACCOUNT #: 01487146209	BUY 8 Units @21.4400						
01/02/2018	***-**-185P - SOKOLOWSKA,	FIDELITY ADV MF 040813	175.00	8.75	0.00	8.75	0.5	0.04
	ACCOUNT #: 01469206344	BUY 8 Units @21.4400						
01/02/2018	***-**-185P - SOKOLOWSKA,	FIDELITY ADV MF 040813	175.00	8.75	0.00	8.75	0.5	0.04
	ACCOUNT #: 01468375868	BUY 8 Units @21.4400						
01/08/2018	***-**-185P - SOKOLOWSKA,	FIDELITY ADV MF 040813	0.00	3.58	0.00	3.58	0.5	0.02
	ACCOUNT #: 01468375868	BUY 0 Units @0.0000						
01/08/2018	***-**-185P - SOKOLOWSKA,	FIDELITY ADV MF 040813	0.00	3.49	0.00	3.49	0.5	0.02
	ACCOUNT #: 01469206344	BUY 0 Units @0.0000						
01/22/2018	***-**-61CL - AMBRUSCO,	PRUDENTIAL MF TRAILS	0.00	989.03	0.00	989.03	0.5	4.95
		BUY 0 Units @0.0000						
<b> * JOHN AMBRUSCO SUBTOTAL *</b>			<b>\$525.00</b>	<b>\$1,040.51</b>	<b>\$0.00</b>	<b>\$1,040.51</b>		<b>\$5.21</b>
<b><u> BROKER: MARC ASHER </u></b>								
01/01/2018	***-**-864O - PASATIEMPO, ANA	AIM GROWTH ALLOCATION FUND - CLASS	0.00	7.24	0.00	7.24	0.5	0.04
	ACCOUNT #: 00777106643	BUY 0 Units @0.0000						
01/16/2018	***-**-525P - GARDNER, DANIEL	AMCAP FUND-529A	600.00	12.00	0.00	12.00	0.5	0.06
	ACCOUNT #: 00086081038	BUY 18 Units @33.4100						
01/16/2018	***-**-185P - BROZIC, MICHAEL	AMCAP FUND-529A	125.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00086510064	BUY 4 Units @33.4100						
01/16/2018	***-**-6438 - IM, KATE	AMERICAN FUNDS 02629M587	100.00	1.60	0.00	1.60	0.5	0.01
	ACCOUNT #: 00089219765	BUY 8 Units @12.3000						
01/16/2018	***-**-735P - STEVENS, SCOTT	AMERICAN FUNDS 02629M587	200.00	5.50	0.00	5.50	0.5	0.03
	ACCOUNT #: 00089508283	BUY 16 Units @12.4900						
01/16/2018	***-**-8981 - HELTMAN, JOHN	AMERICAN FUNDS 02629M587	50.00	1.75	0.00	1.75	0.5	0.01
	ACCOUNT #: 04000050648	BUY 4 Units @12.5800						
01/16/2018	***-**-549I - BOXMAN, KENNETH	AMERICAN FUNDS 02629M645	50.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: 00068811528	BUY 5 Units @9.9900						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: MARC ASHER (continued)</u></b>								
01/16/2018	***-**-205P - BISCOTTI, ACCOUNT #: 00064937834	AMERICAN FUNDS 2030 TARGET DATE-A BUY 2 Units @15.5100	30.00	1.13	0.00	1.13	0.5	0.01
01/16/2018	***-**-60RI - DUGGAN, TERESA ACCOUNT #: 00073561351	AMERICAN FUNDS 2030 TARGET DATE-A BUY 10 Units @15.5100	150.00	5.63	0.00	5.63	0.5	0.03
01/16/2018	***-**-13IR - CULBERT, ACCOUNT #: 00086860608	AMERICAN FUNDS 2030 TARGET DATE-A BUY 16 Units @15.5100	250.00	9.38	0.00	9.38	0.5	0.05
01/08/2018	***-**-345P - ACCOUNT #: 00067128072	AMERICAN FUNDS 2035 TARGET DATE-A BUY 652 Units @15.4800	10,100.00	161.60	0.00	161.60	0.5	0.81
01/16/2018	***-**-745P - MILETO, LEO ACCOUNT #: 00082235651	AMERICAN FUNDS 2035 TARGET DATE-A BUY 3 Units @15.8800	50.00	1.38	0.00	1.38	0.5	0.01
01/16/2018	***-**-07IR - ROVIRA, IRENE ACCOUNT #: 00085130072	AMERICAN FUNDS 2035 TARGET DATE-A BUY 16 Units @16.0400	250.00	9.38	0.00	9.38	0.5	0.05
01/16/2018	***-**-32SI - FERRARA, FABIO ACCOUNT #: 00082423834	AMERICAN FUNDS 2035 TARGET DATE-A BUY 28 Units @16.1300	450.00	19.13	0.00	19.13	0.5	0.10
01/16/2018	***-**-265P - MILETO, LINDA ACCOUNT #: 00082235774	AMERICAN FUNDS 2040 TARGET DATE-A BUY 6 Units @16.2800	100.00	2.75	0.00	2.75	0.5	0.01
01/16/2018	***-**-765P - PETERSON, AMY ACCOUNT #: 00073810635	AMERICAN FUNDS 2040 TARGET DATE-A BUY 6 Units @16.5400	100.00	4.25	0.00	4.25	0.5	0.02
01/16/2018	***-**-525P - FERRARA, ACCOUNT #: 00082423808	AMERICAN FUNDS 2040 TARGET DATE-A BUY 27 Units @16.5400	450.00	19.13	0.00	19.13	0.5	0.10
01/16/2018	***-**-504I - MARSHALL, ACCOUNT #: 00086714414	AMERICAN FUNDS 2045 TARGET DATE-A BUY 12 Units @16.7200	200.00	7.50	0.00	7.50	0.5	0.04
01/12/2018	***-**-025P - MAASS, JEFF ACCOUNT #: 00083404336	AMERICAN MUTUAL FUND-529A BUY 19 Units @43.0200	833.00	16.66	0.00	16.66	0.5	0.08
01/16/2018	***-**-265P - MILETO, LINDA ACCOUNT #: 00082236188	AMERICAN MUTUAL FUND-529A BUY 1 Units @43.3800	50.00	1.38	0.00	1.38	0.5	0.01
01/16/2018	***-**-785P - TRAUT, ASHLEY ACCOUNT #: 00084318664	AMERICAN MUTUAL FUND-529A BUY 2 Units @43.3800	100.00	2.75	0.00	2.75	0.5	0.01
01/16/2018	***-**-445P - PRAGER, TIFFANY ACCOUNT #: 00073887960	AMERICAN MUTUAL FUND-529A BUY 5 Units @43.8300	200.00	7.50	0.00	7.50	0.5	0.04
01/16/2018	***-**-245P - GALFORD, DAVID ACCOUNT #: 00084737271	AMERICAN MUTUAL FUND-529A BUY 1 Units @44.4100	50.00	2.50	0.00	2.50	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: MARC ASHER (continued) </u></b>								
01/16/2018	***-**-452J - GARDNER, DANIEL	AMERICAN MUTUAL FUND-A	1,500.00	30.00	0.00	30.00	0.5	0.15
	ACCOUNT #: 0008443333	BUY 35 Units @43.0400						
01/10/2018	***-**-32SI - STEWART, VOLKER	CALVERT 131620304	0.00	2.53	0.00	2.53	0.5	0.01
	ACCOUNT #: 00007203900	BUY 0 Units @0.0000						
01/10/2018	***-**-001I - SURGES, AMANI	CALVERT AGGRESSIVE ALLOCATION FUND-	0.00	8.59	0.00	8.59	0.5	0.04
	ACCOUNT #: 00006092007	BUY 0 Units @0.0000						
01/10/2018	***-**-977I - BROWN, ERIC	CALVERT AGGRESSIVE ALLOCATION FUND-	0.00	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: 00006121814	BUY 0 Units @0.0000						
01/10/2018	***-**-029I - BRENNEMAN, EMILY	CALVERT AGGRESSIVE ALLOCATION FUND-	0.00	5.92	0.00	5.92	0.5	0.03
	ACCOUNT #: 00007164450	BUY 0 Units @0.0000						
01/10/2018	***-**-826I - NEWMAN, DAVID	CALVERT AGGRESSIVE ALLOCATION FUND-	0.00	2.27	0.00	2.27	0.5	0.01
	ACCOUNT #: 00007164902	BUY 0 Units @0.0000						
01/10/2018	***-**-11IR - MARTIN, ABIGAIL	CALVERT AGGRESSIVE ALLOCATION FUND-	0.00	2.45	0.00	2.45	0.5	0.01
	ACCOUNT #: 00007180380	BUY 0 Units @0.0000						
01/10/2018	***-**-91IR - MARTIN, BRENDAN	CALVERT AGGRESSIVE ALLOCATION FUND-	0.00	1.39	0.00	1.39	0.5	0.01
	ACCOUNT #: 00007180384	BUY 0 Units @0.0000						
01/10/2018	***-**-584I - MARTORELLA,	CALVERT AGGRESSIVE ALLOCATION FUND-	0.00	4.84	0.00	4.84	0.5	0.02
	ACCOUNT #: 00007180767	BUY 0 Units @0.0000						
01/10/2018	***-**-01IR - SURGES, AMANI	CALVERT AGGRESSIVE ALLOCATION FUND-	0.00	5.51	0.00	5.51	0.5	0.03
	ACCOUNT #: 00007180771	BUY 0 Units @0.0000						
01/10/2018	***-**-79SI - CREEGAN, THOMAS	CALVERT AGGRESSIVE ALLOCATION FUND-	0.00	16.14	0.00	16.14	0.5	0.08
	ACCOUNT #: 00007203830	BUY 0 Units @0.0000						
01/10/2018	***-**-18SI - FRAZIER, STEPHEN	CALVERT AGGRESSIVE ALLOCATION FUND-	0.00	16.50	0.00	16.50	0.5	0.08
	ACCOUNT #: 00007203904	BUY 0 Units @0.0000						
01/10/2018	***-**-82SI - PERRY, ROBERT	CALVERT AGGRESSIVE ALLOCATION FUND-	0.00	1.32	0.00	1.32	0.5	0.01
	ACCOUNT #: 00007203908	BUY 0 Units @0.0000						
01/10/2018	***-**-317I - CARSON, JEAN	CALVERT AGGRESSIVE ALLOCATION FUND-	0.00	1.10	0.00	1.10	0.5	0.01
	ACCOUNT #: 00007203940	BUY 0 Units @0.0000						
01/10/2018	***-**-27SI - CAMPBELL, JOHN	CALVERT AGGRESSIVE ALLOCATION FUND-	0.00	1.47	0.00	1.47	0.5	0.01
	ACCOUNT #: 00007204023	BUY 0 Units @0.0000						
01/10/2018	***-**-46IS - BAINBRIDGE, ERIC	CALVERT AGGRESSIVE ALLOCATION FUND-	0.00	2.07	0.00	2.07	0.5	0.01
	ACCOUNT #: 00007225284	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: MARC ASHER (continued) </u></b>								
01/10/2018	***-**-47SI - NIEVES, TAMARA ACCOUNT #: 00007225292	CALVERT AGGRESSIVE ALLOCATION FUND- BUY 0 Units @0.0000	0.00	3.48	0.00	3.48	0.5	0.02
01/10/2018	***-**-67SI - VIRKUS, AMANDA ACCOUNT #: 00007225296	CALVERT AGGRESSIVE ALLOCATION FUND- BUY 0 Units @0.0000	0.00	3.75	0.00	3.75	0.5	0.02
01/10/2018	***-**-90SI - PULTZ, JOSEPH ACCOUNT #: 00007225304	CALVERT AGGRESSIVE ALLOCATION FUND- BUY 0 Units @0.0000	0.00	3.91	0.00	3.91	0.5	0.02
01/10/2018	***-**-24SI - RINI, VICTOR ACCOUNT #: 00007227183	CALVERT AGGRESSIVE ALLOCATION FUND- BUY 0 Units @0.0000	0.00	5.59	0.00	5.59	0.5	0.03
01/10/2018	***-**-989I - YANOWITZ, BARRY ACCOUNT #: 00006121422	CALVERT BALANCED PORTFOLIO - A BUY 0 Units @0.0000	0.00	1.18	0.00	1.18	0.5	0.01
01/10/2018	***-**-028I - JEFF, STUART ACCOUNT #: 00006860773	CALVERT BALANCED PORTFOLIO - A BUY 0 Units @0.0000	0.00	15.60	0.00	15.60	0.5	0.08
01/10/2018	***-**-626I - TAYLOR, JAMES ACCOUNT #: 00007164449	CALVERT BALANCED PORTFOLIO - A BUY 0 Units @0.0000	0.00	4.26	0.00	4.26	0.5	0.02
01/10/2018	***-**-042I - HIRSCHMAN, JOEL ACCOUNT #: 00007168599	CALVERT BALANCED PORTFOLIO - A BUY 0 Units @0.0000	0.00	1.28	0.00	1.28	0.5	0.01
01/10/2018	***-**-82SI - PERRY, ROBERT ACCOUNT #: 00007203908	CALVERT BALANCED PORTFOLIO - A BUY 0 Units @0.0000	0.00	5.85	0.00	5.85	0.5	0.03
01/10/2018	***-**-73IS - LICHTFUSS, ACCOUNT #: 00007176105	CALVERT BALANCED PORTFOLIO C BUY 0 Units @0.0000	0.00	3.65	0.00	3.65	0.5	0.02
01/10/2018	***-**-18SI - FRAZIER, STEPHEN ACCOUNT #: 00007203904	CALVERT CAPITAL ACCUMULATION - A BUY 0 Units @0.0000	0.00	5.93	0.00	5.93	0.5	0.03
01/10/2018	***-**-091I - STENTZ, DANIEL ACCOUNT #: 00007164422	CALVERT CONSERVATIVE ALLOCATION FUN BUY 0 Units @0.0000	0.00	4.49	0.00	4.49	0.5	0.02
01/10/2018	***-**-587I - GRESKO, PAUL ACCOUNT #: 00007164897	CALVERT CONSERVATIVE ALLOCATION FUN BUY 0 Units @0.0000	0.00	1.94	0.00	1.94	0.5	0.01
01/10/2018	***-**-86CL - ASHER, CLIENTS ACCOUNT #: 00007223946	CALVERT CONSERVATIVE ALLOCATION FUN BUY 0 Units @0.0000	0.00	2.67	0.00	2.67	0.5	0.01
01/10/2018	***-**-79SI - CREEGAN, THOMAS ACCOUNT #: 00007203830	CALVERT GLOBAL ALTERNATIVE ENERGY F BUY 0 Units @0.0000	0.00	11.67	0.00	11.67	0.5	0.06
01/10/2018	***-**-18SI - FRAZIER, STEPHEN ACCOUNT #: 00007203904	CALVERT GLOBAL WATER FUND CLASS A BUY 0 Units @0.0000	0.00	3.57	0.00	3.57	0.5	0.02



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: MARC ASHER (continued) </u></b>								
01/10/2018	***-**-18SI - FRAZIER, STEPHEN	CALVERT INCOME FUND - A	0.00	2.86	0.00	2.86	0.5	0.01
	ACCOUNT #: 00007203904	BUY 0 Units @0.0000						
01/10/2018	***-**-626I - TAYLOR, JAMES	CALVERT LONG-TERM INCOME FUND	0.00	1.02	0.00	1.02	0.5	0.01
	ACCOUNT #: 00007164449	BUY 0 Units @0.0000						
01/10/2018	***-**-311I - SILVER, RICHARD	CALVERT MODERATE ALLOCATION FUND-A	0.00	8.43	0.00	8.43	0.5	0.04
	ACCOUNT #: 00007164426	BUY 0 Units @0.0000						
01/10/2018	***-**-222I - SCHULTE, WILLIAM	CALVERT MODERATE ALLOCATION FUND-A	0.00	1.04	0.00	1.04	0.5	0.01
	ACCOUNT #: 00007164430	BUY 0 Units @0.0000						
01/10/2018	***-**-454I - LYLES, ROBYNE	CALVERT MODERATE ALLOCATION FUND-A	0.00	31.59	0.00	31.59	0.5	0.16
	ACCOUNT #: 00007164434	BUY 0 Units @0.0000						
01/10/2018	***-**-700I - THISTEL, MARK	CALVERT MODERATE ALLOCATION FUND-A	0.00	31.90	0.00	31.90	0.5	0.16
	ACCOUNT #: 00007164445	BUY 0 Units @0.0000						
01/10/2018	***-**-626I - TAYLOR, JAMES	CALVERT MODERATE ALLOCATION FUND-A	0.00	4.35	0.00	4.35	0.5	0.02
	ACCOUNT #: 00007164449	BUY 0 Units @0.0000						
01/10/2018	***-**-170I - CARLSON, JAMES	CALVERT MODERATE ALLOCATION FUND-A	0.00	3.02	0.00	3.02	0.5	0.02
	ACCOUNT #: 00007164548	BUY 0 Units @0.0000						
01/10/2018	***-**-826I - NEWMAN, DAVID	CALVERT MODERATE ALLOCATION FUND-A	0.00	1.99	0.00	1.99	0.5	0.01
	ACCOUNT #: 00007164902	BUY 0 Units @0.0000						
01/10/2018	***-**-32SI - STEWART, VOLKER	CALVERT MODERATE ALLOCATION FUND-A	0.00	44.80	0.00	44.80	0.5	0.22
	ACCOUNT #: 00007203900	BUY 0 Units @0.0000						
01/10/2018	***-**-18SI - FRAZIER, STEPHEN	CALVERT MODERATE ALLOCATION FUND-A	0.00	7.46	0.00	7.46	0.5	0.04
	ACCOUNT #: 00007203904	BUY 0 Units @0.0000						
01/10/2018	***-**-6653 - RIGGIERI,	CALVERT MODERATE ALLOCATION FUND-A	0.00	20.75	0.00	20.75	0.5	0.10
	ACCOUNT #: 00007223239	BUY 0 Units @0.0000						
01/10/2018	***-**-2454 - KOVACIC,	CALVERT MODERATE ALLOCATION FUND-A	0.00	21.82	0.00	21.82	0.5	0.11
	ACCOUNT #: 00007223247	BUY 0 Units @0.0000						
01/10/2018	***-**-46IS - BAINBRIDGE, ERIC	CALVERT MODERATE ALLOCATION FUND-A	0.00	1.96	0.00	1.96	0.5	0.01
	ACCOUNT #: 00007225284	BUY 0 Units @0.0000						
01/10/2018	***-**-82SI - PERRY, ROBERT	CALVERT SMALL CAP VALUE FUND	0.00	1.51	0.00	1.51	0.5	0.01
	ACCOUNT #: 00007203908	BUY 0 Units @0.0000						
01/10/2018	***-**-378I - SCREPKA, RICARDO	CALVERT SOCIAL INVESTMENT FD EQUITY	0.00	1.48	0.00	1.48	0.5	0.01
	ACCOUNT #: 00007164901	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: MARC ASHER (continued)</u></b>								
01/10/2018	***-**-82SI - PERRY, ROBERT	CALVERT SOCIAL INVESTMENT FD EQUITY	0.00	1.45	0.00	1.45	0.5	0.01
	ACCOUNT #: 00007203908	BUY 0 Units @0.0000						
01/10/2018	***-**-626I - TAYLOR, JAMES	CALVERT SOCIAL INVESTMENT FUND BOND	0.00	1.01	0.00	1.01	0.5	0.01
	ACCOUNT #: 00007164449	BUY 0 Units @0.0000						
01/16/2018	***-**-04IN - MURPHY, PAUL	CAPITAL INCOME BUILDER-A	200.00	3.20	0.00	3.20	0.5	0.02
	ACCOUNT #: 00075938729	BUY 3 Units @65.2300						
01/16/2018	***-**-452J - GARDNER, DANIEL	CAPITAL INCOME BUILDER-A	1,500.00	30.00	0.00	30.00	0.5	0.15
	ACCOUNT #: 00084433333	BUY 23 Units @65.5700						
01/16/2018	***-**-655P - DUDAS, DANIELLE	CAPITAL WORLD GROWTH AND INCOME FUN	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00075306339	BUY 1 Units @55.8900						
01/16/2018	***-**-625P - OSBORNE, ASA J	CAPITAL WORLD GROWTH AND INCOME FUN	120.00	5.10	0.00	5.10	0.5	0.03
	ACCOUNT #: 00081116910	BUY 2 Units @55.8900						
01/12/2018	***-**-025P - MAASS, JEFF	CAPITAL WORLD GROWTH AND INCOME-529	833.00	16.66	0.00	16.66	0.5	0.08
	ACCOUNT #: 00083404336	BUY 15 Units @54.3000						
01/12/2018	***-**-2802 - MAASS, TY	CAPITAL WORLD GROWTH AND INCOME-529	416.66	8.33	0.00	8.33	0.5	0.04
	ACCOUNT #: 00085284547	BUY 8 Units @54.3000						
01/16/2018	***-**-385P - LITMAN, WENDY	CAPITAL WORLD GROWTH AND INCOME-529	50.00	1.38	0.00	1.38	0.5	0.01
	ACCOUNT #: 00074632301	BUY 1 Units @54.8400						
01/16/2018	***-**-745P - MILETO, LEO	CAPITAL WORLD GROWTH AND INCOME-529	50.00	1.38	0.00	1.38	0.5	0.01
	ACCOUNT #: 00081348773	BUY 1 Units @54.8400						
01/16/2018	***-**-785P - TRAUT, ASHLEY	CAPITAL WORLD GROWTH AND INCOME-529	100.00	2.75	0.00	2.75	0.5	0.01
	ACCOUNT #: 00084318664	BUY 2 Units @54.8400						
01/16/2018	***-**-425P - LEWIS, SALLY	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00074632483	BUY 1 Units @55.7100						
01/16/2018	***-**-245P - GALFORD, DAVID	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00084737271	BUY 1 Units @56.1500						
01/16/2018	***-**-105P - REDDIAR,	COLLEGE 2018 FUND-529A	250.00	5.00	0.00	5.00	0.5	0.03
	ACCOUNT #: 00068500024	BUY 23 Units @10.8100						
01/16/2018	***-**-205P - VAN DYK,	COLLEGE 2018 FUND-529A	500.00	17.50	0.00	17.50	0.5	0.09
	ACCOUNT #: 00084319083	BUY 45 Units @11.0100						
01/16/2018	***-**-43FB - FISHER, BETH	COLLEGE 2021 FUND-529A	1,000.00	16.00	0.00	16.00	0.5	0.08
	ACCOUNT #: 00087645860	BUY 88 Units @11.3600						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: MARC ASHER (continued)</u></b>								
01/16/2018	***-**-965P - ROZENCWAIG, ACCOUNT #: 00074006097	COLLEGE 2021 FUND-529A BUY 22 Units @11.5300	250.00	6.88	0.00	6.88	0.5	0.03
01/16/2018	***-**-549I - BOXMAN, KENNETH ACCOUNT #: 00084815726	COLLEGE 2021 FUND-529A BUY 4 Units @11.6200	50.00	1.75	0.00	1.75	0.5	0.01
01/08/2018	***-**-515P - FELICE, ACCOUNT #: 00081839848	COLLEGE 2024 FUND-529A BUY 24 Units @12.4100	300.00	8.25	0.00	8.25	0.5	0.04
01/10/2018	***-**-845P - BLUM, ANDREW ACCOUNT #: 00082640817	COLLEGE 2024 FUND-529A BUY 8 Units @12.2700	100.00	2.00	0.00	2.00	0.5	0.01
01/12/2018	***-**-025P - MAASS, JEFF ACCOUNT #: 00083404336	COLLEGE 2024 FUND-529A BUY 68 Units @12.3200	834.00	16.68	0.00	16.68	0.5	0.08
01/16/2018	***-**-43FB - FISHER, BETH ACCOUNT #: 00087645856	COLLEGE 2024 FUND-529A BUY 82 Units @12.2600	1,000.00	16.00	0.00	16.00	0.5	0.08
01/16/2018	***-**-238I - STELLER, KEITH ACCOUNT #: 00081574508	COLLEGE 2024 FUND-529A BUY 8 Units @12.3200	100.00	2.00	0.00	2.00	0.5	0.01
01/16/2018	***-**-095P - BECKER, STEPHEN ACCOUNT #: 00083497419	COLLEGE 2024 FUND-529A BUY 16 Units @12.4500	200.00	5.50	0.00	5.50	0.5	0.03
01/16/2018	***-**-915P - ANAND, POOJA ACCOUNT #: 00087471634	COLLEGE 2024 FUND-529A BUY 20 Units @12.5400	250.00	8.75	0.00	8.75	0.5	0.04
01/10/2018	***-**-5184 - BLUM, ANDREW ACCOUNT #: 00084715208	COLLEGE 2027 FUND-529A BUY 8 Units @13.1000	100.00	2.00	0.00	2.00	0.5	0.01
01/10/2018	***-**-4651 - FELICE, ACCOUNT #: 00084886630	COLLEGE 2027 FUND-529A BUY 23 Units @13.2300	300.00	8.25	0.00	8.25	0.5	0.04
01/12/2018	***-**-2802 - MAASS, TY ACCOUNT #: 00085284547	COLLEGE 2027 FUND-529A BUY 32 Units @13.1900	416.70	8.33	0.00	8.33	0.5	0.04
01/16/2018	***-**-555P - FUCHS, JEFF ACCOUNT #: 00085687170	COLLEGE 2027 FUND-529A BUY 31 Units @13.0500	400.00	4.80	0.00	4.80	0.5	0.02
01/16/2018	***-**-3204 - MURPHY, PAUL ACCOUNT #: 00084140220	COLLEGE 2027 FUND-529A BUY 23 Units @13.1100	300.00	4.80	0.00	4.80	0.5	0.02
01/16/2018	***-**-385P - STELLER, KEITH ACCOUNT #: 00084096050	COLLEGE 2027 FUND-529A BUY 8 Units @13.1800	100.00	2.00	0.00	2.00	0.5	0.01
01/16/2018	***-**-7623 - BESTIMT, JASON ACCOUNT #: 00084698857	COLLEGE 2027 FUND-529A BUY 15 Units @13.3200	200.00	5.50	0.00	5.50	0.5	0.03



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: MARC ASHER (continued)</u></b>								
01/16/2018	***-**-095P - BECKER, STEPHEN	COLLEGE 2027 FUND-529A	200.00	5.50	0.00	5.50	0.5	0.03
	ACCOUNT #: 00085704555	BUY 15 Units @13.3200						
01/16/2018	***-**-715P - WIEZOREK, STEVEN	COLLEGE 2027 FUND-529A	200.00	7.00	0.00	7.00	0.5	0.04
	ACCOUNT #: 00085664947	BUY 15 Units @13.4200						
01/16/2018	***-**-145P - ZUKERBERG,	COLLEGE 2027 FUND-529A	50.00	1.75	0.00	1.75	0.5	0.01
	ACCOUNT #: 00089641045	BUY 4 Units @13.4200						
01/10/2018	***-**-5184 - BLUM, ANDREW	COLLEGE 2030 FUND-529A	100.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 00086418332	BUY 7 Units @14.1600						
01/16/2018	***-**-3204 - MURPHY, PAUL	COLLEGE 2030 FUND-529A	300.00	4.80	0.00	4.80	0.5	0.02
	ACCOUNT #: 00086597475	BUY 21 Units @14.2100						
01/16/2018	***-**-875P - IM, RICHARD	COLLEGE 2030 FUND-529A	100.00	1.60	0.00	1.60	0.5	0.01
	ACCOUNT #: 00087215160	BUY 7 Units @14.2100						
01/16/2018	***-**-185P - BROZIC, MICHAEL	COLLEGE 2030 FUND-529A	500.00	10.00	0.00	10.00	0.5	0.05
	ACCOUNT #: 00087251237	BUY 35 Units @14.2900						
01/16/2018	***-**-735P - STEVENS, SCOTT	COLLEGE 2030 FUND-529A	200.00	5.50	0.00	5.50	0.5	0.03
	ACCOUNT #: 00086510410	BUY 14 Units @14.4400						
01/16/2018	***-**-235P - BESTIMT, JASON	COLLEGE 2030 FUND-529A	200.00	5.50	0.00	5.50	0.5	0.03
	ACCOUNT #: 00087180468	BUY 14 Units @14.4400						
01/16/2018	***-**-457I - CRAIG, EDWARD	COLLEGE 2030 FUND-529A	50.00	1.38	0.00	1.38	0.5	0.01
	ACCOUNT #: 00087736955	BUY 3 Units @14.4400						
01/16/2018	***-**-045P - MARSHALL,	COLLEGE 2030 FUND-529A	200.00	7.00	0.00	7.00	0.5	0.04
	ACCOUNT #: 00087648239	BUY 14 Units @14.5500						
01/16/2018	***-**-715P - WIEZOREK, STEVEN	COLLEGE 2030 FUND-529A	200.00	7.00	0.00	7.00	0.5	0.04
	ACCOUNT #: 00087693003	BUY 14 Units @14.5500						
01/16/2018	***-**-145P - ZUKERBERG,	COLLEGE 2030 FUND-529A	50.00	1.75	0.00	1.75	0.5	0.01
	ACCOUNT #: 00089641028	BUY 3 Units @14.5500						
01/16/2018	***-**-1014 -	COLLEGE 2030 FUND-529A	250.00	8.75	0.00	8.75	0.5	0.04
	ACCOUNT #: 04000140755	BUY 17 Units @14.5500						
01/12/2018	***-**-2802 - MAASS, TY	EUROPACIFIC GROWTH FUND-529A	416.66	8.33	0.00	8.33	0.5	0.04
	ACCOUNT #: 00085284547	BUY 7 Units @59.3300						
01/16/2018	***-**-525P - GARDNER, DANIEL	EUROPACIFIC GROWTH FUND-529A	300.00	6.00	0.00	6.00	0.5	0.03
	ACCOUNT #: 00084433353	BUY 5 Units @59.3500						





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: MARC ASHER (continued)</u></b>								
01/16/2018	***-**-525P - GARDNER, DANIEL ACCOUNT #: 00086081038	EUROPACIFIC GROWTH FUND-529A BUY 10 Units @59.3500	600.00	12.00	0.00	12.00	0.5	0.06
01/16/2018	***-**-185P - BROZIC, MICHAEL ACCOUNT #: 00086510064	EUROPACIFIC GROWTH FUND-529A BUY 2 Units @59.3500	125.00	2.50	0.00	2.50	0.5	0.01
01/16/2018	***-**-04IN - MURPHY, PAUL ACCOUNT #: 00075938729	EUROPACIFIC GROWTH FUND-A BUY 3 Units @59.7400	200.00	3.20	0.00	3.20	0.5	0.02
01/16/2018	***-**-525P - GARDNER, DANIEL ACCOUNT #: 00086081038	FUNDAMENTAL INVESTORS-529A BUY 9 Units @66.3800	600.00	12.00	0.00	12.00	0.5	0.06
01/16/2018	***-**-185P - BROZIC, MICHAEL ACCOUNT #: 00086510064	FUNDAMENTAL INVESTORS-529A BUY 2 Units @66.3800	125.00	2.50	0.00	2.50	0.5	0.01
01/16/2018	***-**-452J - GARDNER, DANIEL ACCOUNT #: 00084433333	INTERNATIONAL GROWTH AND INCOME-A BUY 40 Units @37.3600	1,500.00	30.00	0.00	30.00	0.5	0.15
01/01/2018	***-**-032I - GOVERNS, ACCOUNT #: 00092145620	INVESCO BALANCED-RISK RTRMNT 2040 - BUY 0 Units @0.0000	0.00	3.59	0.00	3.59	0.5	0.02
01/01/2018	***-**-99SK - FARRELL, ACCOUNT #: 00777135820	INVESCO BALANCED-RISK RTRMNT 2040 - BUY 0 Units @0.0000	0.00	22.00	0.00	22.00	0.5	0.11
01/01/2018	***-**-0402 - HOUGH, AMY ACCOUNT #: 00777140359	INVESCO BALANCED-RISK RTRMNT 2040 - BUY 0 Units @0.0000	0.00	5.66	0.00	5.66	0.5	0.03
01/16/2018	***-**-525P - GARDNER, DANIEL ACCOUNT #: 00084433353	NEW WORLD FUND-529A BUY 4 Units @70.6200	300.00	6.00	0.00	6.00	0.5	0.03
01/16/2018	***-**-04IN - MURPHY, PAUL ACCOUNT #: 00075938729	NEW WORLD FUND-A BUY 3 Units @70.8800	200.00	3.20	0.00	3.20	0.5	0.02
01/16/2018	***-**-452J - GARDNER, DANIEL ACCOUNT #: 00084433333	NEW WORLD FUND-A BUY 21 Units @71.2400	1,500.00	30.00	0.00	30.00	0.5	0.15
01/22/2018	***-**-882J - MARKS, VINCENT ACCOUNT #: 09602690539	NUVEEN MF TRAILS BUY 0 Units @0.0000	0.00	169.02	0.00	169.02	0.5	0.85
01/12/2018	***-**-2802 - MAASS, TY ACCOUNT #: 00085284547	SMALLCAP WORLD FUND-529A BUY 7 Units @58.6800	416.66	8.33	0.00	8.33	0.5	0.04
01/16/2018	***-**-525P - GARDNER, DANIEL ACCOUNT #: 00084433353	SMALLCAP WORLD FUND-529A BUY 5 Units @58.3500	300.00	6.00	0.00	6.00	0.5	0.03
01/16/2018	***-**-525P - GARDNER, DANIEL ACCOUNT #: 00086081038	SMALLCAP WORLD FUND-529A BUY 10 Units @58.3500	600.00	12.00	0.00	12.00	0.5	0.06



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> BROKER: MARC ASHER (continued) </b>								
01/16/2018	***-**-185P - BROZIC, MICHAEL	SMALLCAP WORLD FUND-529A	125.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00086510064	BUY 2 Units @58.3500						
01/16/2018	***-**-452J - GARDNER, DANIEL	SMALLCAP WORLD FUND-A	1,500.00	30.00	0.00	30.00	0.5	0.15
	ACCOUNT #: 00084433333	BUY 25 Units @59.0200						
01/12/2018	***-**-2802 - MAASS, TY	THE GROWTH FUND OF AMERICA-529A	416.66	8.33	0.00	8.33	0.5	0.04
	ACCOUNT #: 00085284547	BUY 8 Units @52.8600						
01/16/2018	***-**-525P - GARDNER, DANIEL	THE GROWTH FUND OF AMERICA-529A	300.00	6.00	0.00	6.00	0.5	0.03
	ACCOUNT #: 00084433353	BUY 6 Units @52.7200						
01/16/2018	***-**-425P - LEWIS, SALLY	THE GROWTH FUND OF AMERICA-529A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00082721773	BUY 1 Units @54.1100						
01/16/2018	***-**-04IN - MURPHY, PAUL	THE GROWTH FUND OF AMERICA-A	200.00	3.20	0.00	3.20	0.5	0.02
	ACCOUNT #: 00075938729	BUY 4 Units @53.0000						
01/16/2018	***-**-805P - DUDAS, DEAN	THE GROWTH FUND OF AMERICA-A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 07366655519	BUY 1 Units @54.6700						
01/12/2018	***-**-2802 - MAASS, TY	THE INCOME FUND OF AMERICA-529A	416.66	8.33	0.00	8.33	0.5	0.04
	ACCOUNT #: 00085284547	BUY 17 Units @24.4100						
01/16/2018	***-**-08IN - SHAAB, KERRY	THE INCOME FUND OF AMERICA-A	50.00	1.38	0.00	1.38	0.5	0.01
	ACCOUNT #: 00082254619	BUY 2 Units @24.6900						
01/10/2018	***-**-32SI - STEWART, VOLKER	WORLD VALUES INTERNATIONAL EQUITY -	0.00	4.53	0.00	4.53	0.5	0.02
	ACCOUNT #: 00007203900	BUY 0 Units @0.0000						
01/10/2018	***-**-82SI - PERRY, ROBERT	WORLD VALUES INTERNATIONAL EQUITY -	0.00	1.98	0.00	1.98	0.5	0.01
	ACCOUNT #: 00007203908	BUY 0 Units @0.0000						
<b> * MARC ASHER SUBTOTAL * </b>			<b> \$38,950.00 </b>	<b> \$1,375.92 </b>	<b> \$0.00 </b>	<b> \$1,375.92 </b>		<b> \$6.97 </b>

## BROKER: EDWARD FATLA

01/22/2018	***-**-848I - RUSSO, JOHANNA	AMERICAN BALANCED FUND-A	0.00	2.56	0.00	2.56	0.5	0.01
	ACCOUNT #: LSR011325	BUY 1 Units @0.0000						
01/22/2018	***-**-559I - RUSSO, JOSEPH	AMERICAN BALANCED FUND-A	0.00	3.29	0.00	3.29	0.5	0.02
	ACCOUNT #: LSR011326	BUY 1 Units @0.0000						
01/08/2018	***-**-8658 - WADE, CHAD	AMERICAN FUNDS 2035 TARGET DATE-A	52.25	1.05	0.00	1.05	0.5	0.01
	ACCOUNT #: 00089799621	BUY 3 Units @15.5600						
01/08/2018	***-**-8658 - WADE, CHAD	AMERICAN FUNDS 2035 TARGET DATE-A	52.25	1.05	0.00	1.05	0.5	0.01
	ACCOUNT #: 00089799621	BUY 3 Units @15.5600						



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: EDWARD FATLA (continued)</u></b>								
01/19/2018	***-**-8658 - WADE, CHAD ACCOUNT #: 00089799621	AMERICAN FUNDS 2035 TARGET DATE-A BUY 4 Units @15.8600	60.85	1.22	0.00	1.22	0.5	0.01
01/19/2018	***-**-8658 - WADE, CHAD ACCOUNT #: 00089799621	AMERICAN FUNDS 2035 TARGET DATE-A BUY 4 Units @15.8600	60.85	1.22	0.00	1.22	0.5	0.01
01/18/2018	***-**-831K - LACOMBE, RAYMOND ACCOUNT #: LSR007501	BERKSHIRE HATHAWAY INC DEL CL B NEW BUY 100 Units @213.5600	21,356.00	36.00	0.00	36.00	0.5	0.18
01/18/2018	***-**-9556 - LICARI, JANIS ACCOUNT #: LSR103152	BERKSHIRE HATHAWAY INC DEL CL B NEW BUY 100 Units @213.5900	21,359.00	36.00	0.00	36.00	0.5	0.18
01/22/2018	***-**-27IN - SLUSI, VICTORIA ACCOUNT #: 5000214590	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	30.00	0.00	30.00	0.5	0.15
01/22/2018	***-**-27IN - SLUSI, VICTORIA	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	90.00	0.00	90.00	0.5	0.45
01/22/2018	***-**-7353 - PASSERO, MICHAEL	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	6.00	0.00	6.00	0.5	0.03
01/22/2018	***-**-215P - FASANO, GREGORY	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	300.00	0.00	300.00	0.5	1.50
01/22/2018	***-**-27IN - SLUSI, VICTORIA	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	0.5	0.01
01/22/2018	***-**-005P - FASANO, GREGORY	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	1.13	0.00	1.13	0.5	0.01
01/22/2018	***-**-485P - WEGNER, JASON	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	2.18	0.00	2.18	0.5	0.01
01/22/2018	***-**-7353 - PASSERO, MICHAEL	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	1.80	0.00	1.80	0.5	0.01
01/22/2018	***-**-7353 - PASSERO, MICHAEL	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	1.76	0.00	1.76	0.5	0.01
01/22/2018	***-**-27IN - SLUSI, VICTORIA	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	2.10	0.00	2.10	0.5	0.01
01/22/2018	***-**-485P - WEGNER, JASON	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	1.04	0.00	1.04	0.5	0.01
01/22/2018	***-**-27SI - SLUSI, VICTORIA	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	1,000.00	30.00	0.00	30.00	0.5	0.15



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: EDWARD FATLA (continued)</u></b>								
01/22/2018	***-**-27SI - SLUSI, VICTORIA	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	10,000.00	300.00	0.00	300.00	0.5	1.50
01/22/2018	***-**-485P - WEGNER, JASON ACCOUNT #: 5000225941	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	4.09	0.00	4.09	0.5	0.02
01/22/2018	***-**-27SI - SLUSI, VICTORIA	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	1.16	0.00	1.16	0.5	0.01
01/22/2018	***-**-485P - WEGNER, JASON	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	6.02	0.00	6.02	0.5	0.03
01/22/2018	***-**-27SI - SLUSI, VICTORIA	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	1.39	0.00	1.39	0.5	0.01
01/22/2018	***-**-27SI - SLUSI, VICTORIA	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	3.21	0.00	3.21	0.5	0.02
01/22/2018	***-**-27SI - SLUSI, VICTORIA	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	5.44	0.00	5.44	0.5	0.03
01/22/2018	***-**-485P - WEGNER, JASON	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	3.17	0.00	3.17	0.5	0.02
01/22/2018	***-**-485P - WEGNER, JASON ACCOUNT #: 5000225941	BRIGHT DIRECTION TRAILS BUY 0 Units @0.0000	0.00	2.10	0.00	2.10	0.5	0.01
01/18/2018	***-**-831K - LACOMBE, RAYMOND ACCOUNT #: LSR007501	CHIMERA INVT CORP COM NEW ISIN #US1 SELL 100 Units @17.8950	1,789.50	30.00	0.00	30.00	0.5	0.15
01/16/2018	***-**-848I - RUSSO, JOHANNA ACCOUNT #: LSR011325	FUNDAMENTAL INVESTORS-A BUY 2 Units @67.8700	125.02	1.69	0.00	1.69	0.5	0.01
01/22/2018	***-**-848I - RUSSO, JOHANNA ACCOUNT #: LSR011325	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	4.82	0.00	4.82	0.5	0.02
01/22/2018	***-**-559I - RUSSO, JOSEPH ACCOUNT #: LSR011326	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	6.18	0.00	6.18	0.5	0.03
01/18/2018	***-**-831K - LACOMBE, RAYMOND ACCOUNT #: LSR007501	PG & E CORP SELL 200 Units @44.3350	8,867.00	86.65	0.00	86.65	0.5	0.43
01/22/2018	***-**-56TR - LICARI, JANIS	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	92.60	0.00	92.60	0.5	0.46
01/22/2018	***-**-848I - RUSSO, JOHANNA ACCOUNT #: LSR011325	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	3.83	0.00	3.83	0.5	0.02



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: EDWARD FATLA (continued)</u> </b>								
01/22/2018	***-**-559I - RUSSO, JOSEPH	SMALLCAP WORLD FUND-A	0.00	4.78	0.00	4.78	0.5	0.02
	ACCOUNT #: LSR011326	BUY 1 Units @0.0000						
01/16/2018	***-**-848I - RUSSO, JOHANNA	THE GROWTH FUND OF AMERICA-A	150.01	2.62	0.00	2.62	0.5	0.01
	ACCOUNT #: LSR011325	BUY 3 Units @54.3900						
01/16/2018	***-**-559I - RUSSO, JOSEPH	THE GROWTH FUND OF AMERICA-A	150.00	1.12	0.00	1.12	0.5	0.01
	ACCOUNT #: LSR011326	BUY 3 Units @53.8200						
01/22/2018	***-**-848I - RUSSO, JOHANNA	THE GROWTH FUND OF AMERICA-A	0.00	5.75	0.00	5.75	0.5	0.03
	ACCOUNT #: LSR011325	BUY 1 Units @0.0000						
01/22/2018	***-**-559I - RUSSO, JOSEPH	THE GROWTH FUND OF AMERICA-A	0.00	7.67	0.00	7.67	0.5	0.04
	ACCOUNT #: LSR011326	BUY 1 Units @0.0000						
01/22/2018	***-**-848I - RUSSO, JOHANNA	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	1.49	0.00	1.49	0.5	0.01
	ACCOUNT #: LSR011325	BUY 1 Units @0.0000						
01/22/2018	***-**-559I - RUSSO, JOSEPH	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	2.10	0.00	2.10	0.5	0.01
	ACCOUNT #: LSR011326	BUY 1 Units @0.0000						
* EDWARD FATLA SUBTOTAL *			\$65,022.73	\$1,127.80	\$0.00	\$1,127.80		\$5.68
<b> <u>BROKER: STEVEN JOEL</u> </b>								
01/10/2018	***-**-3975 - LACEK, JULIA	AMERICAN FUNDS 2040 TARGET DATE-A	2,000.00	40.00	0.00	40.00	0.5	0.20
		BUY 0 Units @0.0000						
01/10/2018	***-**-3462 - LACEK, MADELYN	AMERICAN FUNDS 2040 TARGET DATE-A	2,000.00	40.00	0.00	40.00	0.5	0.20
		BUY 0 Units @0.0000						
01/10/2018	***-**-2154 - LACEK, WILLIAM	AMERICAN FUNDS 2040 TARGET DATE-A	2,000.00	40.00	0.00	40.00	0.5	0.20
		BUY 0 Units @0.0000						
01/19/2018	***-**-0821 - PIZANO,	AMERICAN FUNDS 2040 TARGET DATE-A	39.43	1.68	0.00	1.68	0.5	0.01
	ACCOUNT #: 04000084453	BUY 2 Units @16.7100						
01/19/2018	***-**-0821 - PIZANO,	AMERICAN FUNDS 2040 TARGET DATE-A	105.15	4.47	0.00	4.47	0.5	0.02
	ACCOUNT #: 04000084453	BUY 6 Units @16.7100						
01/19/2018	***-**-03IN - SINGER, CRAIG	AMERICAN FUNDS 2050 TARGET DATE-A	240.00	10.20	0.00	10.20	0.5	0.05
	ACCOUNT #: 04000083887	BUY 14 Units @16.6300						
01/19/2018	***-**-03IN - SINGER, CRAIG	AMERICAN FUNDS 2050 TARGET DATE-A	800.00	34.00	0.00	34.00	0.5	0.17
	ACCOUNT #: 04000083887	BUY 48 Units @16.6300						
01/19/2018	***-**-2300 - GOMEZ, LAURA	AMERICAN FUNDS 2050 TARGET DATE-A	37.50	1.59	0.00	1.59	0.5	0.01
	ACCOUNT #: 04000084436	BUY 2 Units @16.6300						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: STEVEN JOEL (continued) </u></b>								
01/19/2018	***-**-2300 - GOMEZ, LAURA ACCOUNT #: 04000084436	AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @16.6300	37.50	1.59	0.00	1.59	0.5	0.01
01/19/2018	***-**-0477 - ELIAS, ADI ACCOUNT #: 04000084445	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.6300	45.00	1.91	0.00	1.91	0.5	0.01
01/19/2018	***-**-0477 - ELIAS, ADI ACCOUNT #: 04000084445	AMERICAN FUNDS 2050 TARGET DATE-A BUY 11 Units @16.6300	180.00	7.65	0.00	7.65	0.5	0.04
01/12/2018	***-**-275P - LACEK, MADELYN ACCOUNT #: 00068731922	CAPITAL WORLD GROWTH AND INCOME-529 BUY 37 Units @54.3000	2,000.00	40.00	0.00	40.00	0.5	0.20
01/12/2018	***-**-275P - LACEK, MADELYN ACCOUNT #: 00068731923	CAPITAL WORLD GROWTH AND INCOME-529 BUY 37 Units @54.3000	2,000.00	40.00	0.00	40.00	0.5	0.20
01/12/2018	***-**-275P - LACEK, MADELYN ACCOUNT #: 00075954551	CAPITAL WORLD GROWTH AND INCOME-529 BUY 37 Units @54.3000	2,000.00	40.00	0.00	40.00	0.5	0.20
01/10/2018	***-**-275P - LACEK, MADELYN	EUROPACIFIC GROWTH FUND-529A BUY 0 Units @0.0000	2,000.00	40.00	0.00	40.00	0.5	0.20
01/10/2018	***-**-275P - LACEK, MADELYN	EUROPACIFIC GROWTH FUND-529A BUY 0 Units @0.0000	2,000.00	40.00	0.00	40.00	0.5	0.20
01/10/2018	***-**-275P - LACEK, MADELYN	EUROPACIFIC GROWTH FUND-529A BUY 0 Units @0.0000	2,000.00	40.00	0.00	40.00	0.5	0.20
01/12/2018	***-**-275P - LACEK, MADELYN ACCOUNT #: 00068731922	THE GROWTH FUND OF AMERICA-529A BUY 38 Units @52.8600	2,000.00	40.00	0.00	40.00	0.5	0.20
01/12/2018	***-**-275P - LACEK, MADELYN ACCOUNT #: 00068731923	THE GROWTH FUND OF AMERICA-529A BUY 38 Units @52.8600	2,000.00	40.00	0.00	40.00	0.5	0.20
01/12/2018	***-**-275P - LACEK, MADELYN ACCOUNT #: 00075954551	THE GROWTH FUND OF AMERICA-529A BUY 38 Units @52.8600	2,000.00	40.00	0.00	40.00	0.5	0.20
01/12/2018	***-**-275P - LACEK, MADELYN ACCOUNT #: 00068731922	THE INCOME FUND OF AMERICA-529A BUY 82 Units @24.4100	2,000.00	40.00	0.00	40.00	0.5	0.20
01/12/2018	***-**-275P - LACEK, MADELYN ACCOUNT #: 00068731923	THE INCOME FUND OF AMERICA-529A BUY 82 Units @24.4100	2,000.00	40.00	0.00	40.00	0.5	0.20
01/12/2018	***-**-275P - LACEK, MADELYN ACCOUNT #: 00075954551	THE INCOME FUND OF AMERICA-529A BUY 82 Units @24.4100	2,000.00	40.00	0.00	40.00	0.5	0.20
01/12/2018	***-**-275P - LACEK, MADELYN ACCOUNT #: 00068731922	WASHINGTON MUTUAL INVESTORS FUND-52 BUY 41 Units @48.5500	2,000.00	40.00	0.00	40.00	0.5	0.20



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: STEVEN JOEL (continued) </u></b>								
01/12/2018	***-**-275P - LACEK, MADELYN	WASHINGTON MUTUAL INVESTORS FUND-52	2,000.00	40.00	0.00	40.00	0.5	0.20
	ACCOUNT #: 00068731923	BUY 41 Units @48.5500						
01/12/2018	***-**-275P - LACEK, MADELYN	WASHINGTON MUTUAL INVESTORS FUND-52	2,000.00	40.00	0.00	40.00	0.5	0.20
	ACCOUNT #: 00075954551	BUY 41 Units @48.5500						
* STEVEN JOEL SUBTOTAL *			<b>\$37,484.58</b>	<b>\$783.09</b>	<b>\$0.00</b>	<b>\$783.09</b>		<b>\$3.92</b>
<b><u> BROKER: JESS LITTLE </u></b>								
01/22/2018	***-**-689J - JONES, RICHARD	AMERICAN BALANCED FUND-A	0.00	14.91	0.00	14.91	0.5	0.07
	ACCOUNT #: LSR008389	BUY 1 Units @0.0000						
01/22/2018	***-**-689J - JONES, RICHARD	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	3.92	0.00	3.92	0.5	0.02
	ACCOUNT #: LSR008389	BUY 1 Units @0.0000						
01/22/2018	***-**-689J - JONES, RICHARD	CAPITAL INCOME BUILDER-A	0.00	12.46	0.00	12.46	0.5	0.06
	ACCOUNT #: LSR008389	BUY 1 Units @0.0000						
01/22/2018	***-**-689J - JONES, RICHARD	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	19.98	0.00	19.98	0.5	0.10
	ACCOUNT #: LSR008389	BUY 1 Units @0.0000						
01/22/2018	***-**-689J - JONES, RICHARD	FUNDAMENTAL INVESTORS-A	0.00	17.46	0.00	17.46	0.5	0.09
	ACCOUNT #: LSR008389	BUY 1 Units @0.0000						
01/22/2018	***-**-689K - JONES, RICHARD	FUNDAMENTAL INVESTORS-A	0.00	3.59	0.00	3.59	0.5	0.02
	ACCOUNT #: LSR008397	BUY 1 Units @0.0000						
01/22/2018	***-**-649K - JONES, PATSY	FUNDAMENTAL INVESTORS-A	0.00	3.52	0.00	3.52	0.5	0.02
	ACCOUNT #: LSR008400	BUY 1 Units @0.0000						
01/22/2018	***-**-689J - JONES, RICHARD	TAX-EXEMPT BOND FUND OF AMERICA	0.00	9.11	0.00	9.11	0.5	0.05
	ACCOUNT #: LSR008389	BUY 1 Units @0.0000						
01/22/2018	***-**-689K - JONES, RICHARD	THE GROWTH FUND OF AMERICA-A	0.00	1.87	0.00	1.87	0.5	0.01
	ACCOUNT #: LSR008397	BUY 1 Units @0.0000						
01/22/2018	***-**-649K - JONES, PATSY	THE GROWTH FUND OF AMERICA-A	0.00	2.30	0.00	2.30	0.5	0.01
	ACCOUNT #: LSR008400	BUY 1 Units @0.0000						
01/10/2018	***-**-489P - LANGENDORF, EDIE	THE INVESTMENT COMPANY OF AMERICA-A	200.00	4.00	0.00	4.00	0.5	0.02
	ACCOUNT #: 00062016311	BUY 5 Units @42.4300						
01/16/2018	***-**-689J - JONES, RICHARD	VAN KAMPEN1	0.00	36.28	0.00	36.28	0.5	0.18
	ACCOUNT #: LSR008389	BUY 1 Units @0.0000						
* JESS LITTLE SUBTOTAL *			<b>\$200.00</b>	<b>\$129.40</b>	<b>\$0.00</b>	<b>\$129.40</b>		<b>\$0.65</b>



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ANNE PLATSKY</u></b>								
01/01/2018	***-**-127I - COHEN, MYRA ACCOUNT #: 00670316920	AIM TECHNOLOGY FUND - CLASS A BUY 0 Units @0.0000	0.00	2.95	0.00	2.95	0.5	0.01
01/01/2018	***-**-817I - COHEN, EDMUND ACCOUNT #: 00670316921	AIM TECHNOLOGY FUND - CLASS A BUY 0 Units @0.0000	0.00	2.95	0.00	2.95	0.5	0.01
01/10/2018	***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	AMCAP FUND-A BUY 6 Units @32.9900	200.00	2.40	0.00	2.40	0.5	0.01
01/22/2018	***-**-398I - PLATSKY, ANNE ACCOUNT #: LSR100536	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	9.21	0.00	9.21	0.5	0.05
01/22/2018	***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100633	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	4.15	0.00	4.15	0.5	0.02
01/22/2018	***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	37.71	0.00	37.71	0.5	0.19
01/22/2018	***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	27.90	0.00	27.90	0.5	0.14
01/22/2018	***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	22.05	0.00	22.05	0.5	0.11
01/22/2018	***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	12.46	0.00	12.46	0.5	0.06
01/22/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	12.77	0.00	12.77	0.5	0.06
01/22/2018	***-**-05IS - BRUNCKHORST, ACCOUNT #: LSR101818	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	6.61	0.00	6.61	0.5	0.03
01/22/2018	***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	17.74	0.00	17.74	0.5	0.09
01/22/2018	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	3.48	0.00	3.48	0.5	0.02
01/10/2018	***-**-537I - HORN, ELIZABETH ACCOUNT #: 00003593290	CALVERT BALANCED PORTFOLIO - A BUY 0 Units @0.0000	0.00	4.22	0.00	4.22	0.5	0.02
01/10/2018	***-**-546I - ZUKOR, RONALD ACCOUNT #: 0000359332	CALVERT BALANCED PORTFOLIO - A BUY 0 Units @0.0000	0.00	5.88	0.00	5.88	0.5	0.03
01/10/2018	***-**-537I - HORN, ELIZABETH ACCOUNT #: 00003593290	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 0 Units @0.0000	0.00	8.07	0.00	8.07	0.5	0.04





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ANNE PLATSKY (continued)</u></b>								
01/10/2018	***-**-546I - ZUKOR, RONALD	CALVERT SOCIAL INVESTMENT FD EQUITY	0.00	11.22	0.00	11.22	0.5	0.06
	ACCOUNT #: 00003593332	BUY 0 Units @0.0000						
01/22/2018	***-**-398I - PLATSKY, ANNE	CAPITAL INCOME BUILDER-A	0.00	8.39	0.00	8.39	0.5	0.04
	ACCOUNT #: LSR100536	BUY 1 Units @0.0000						
01/22/2018	***-**-807I - WALSH III, FBO	CAPITAL INCOME BUILDER-A	0.00	2.99	0.00	2.99	0.5	0.01
	ACCOUNT #: LSR100781	BUY 1 Units @0.0000						
01/22/2018	***-**-415K - SCHORR, FBO NINA	CAPITAL INCOME BUILDER-A	0.00	33.71	0.00	33.71	0.5	0.17
	ACCOUNT #: LSR101265	BUY 1 Units @0.0000						
01/22/2018	***-**-5380 - LEE, WAI	CAPITAL INCOME BUILDER-A	0.00	29.70	0.00	29.70	0.5	0.15
	ACCOUNT #: LSR101311	BUY 1 Units @0.0000						
01/22/2018	***-**-05IS - BRUNCKHORST,	CAPITAL INCOME BUILDER-A	0.00	6.86	0.00	6.86	0.5	0.03
	ACCOUNT #: LSR101818	BUY 1 Units @0.0000						
01/22/2018	***-**-8459 - SCLAFANI,	CAPITAL INCOME BUILDER-A	0.00	3.81	0.00	3.81	0.5	0.02
	ACCOUNT #: LSR102040	BUY 1 Units @0.0000						
01/22/2018	***-**-1833 - ARONOW, DOREEN	CAPITAL WORLD BOND FUND-A	0.00	2.59	0.00	2.59	0.5	0.01
	ACCOUNT #: LSR100633	BUY 1 Units @0.0000						
01/22/2018	***-**-415K - SCHORR, FBO NINA	CAPITAL WORLD BOND FUND-A	0.00	7.25	0.00	7.25	0.5	0.04
	ACCOUNT #: LSR101265	BUY 1 Units @0.0000						
01/22/2018	***-**-8459 - SCLAFANI,	CAPITAL WORLD BOND FUND-A	0.00	3.67	0.00	3.67	0.5	0.02
	ACCOUNT #: LSR102040	BUY 1 Units @0.0000						
01/16/2018	***-**-852I - BRYSON, LENNOX	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	5.00	0.00	5.00	0.5	0.03
	ACCOUNT #: 00066780144	BUY 2 Units @56.3400						
01/22/2018	***-**-807I - WALSH III, FBO	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	15.38	0.00	15.38	0.5	0.08
	ACCOUNT #: LSR100781	BUY 1 Units @0.0000						
01/22/2018	***-**-8943 - KOULOS, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	71.58	0.00	71.58	0.5	0.36
	ACCOUNT #: LSR100811	BUY 1 Units @0.0000						
01/22/2018	***-**-03IN - LEE, MICHELE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	27.62	0.00	27.62	0.5	0.14
	ACCOUNT #: LSR101303	BUY 1 Units @0.0000						
01/16/2018	***-**-150I - SPRING, HENRY	DELAWARE GROWTH OPPORTUNITIES FD CL	0.00	6.13	0.00	6.13	0.5	0.03
	ACCOUNT #: LSR101109	BUY 1 Units @0.0000						
01/16/2018	***-**-8459 - SCLAFANI,	DELAWARE GROWTH OPPORTUNITIES FD CL	0.00	19.20	0.00	19.20	0.5	0.10
	ACCOUNT #: LSR102040	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ANNE PLATSKY (continued) </u></b>								
01/16/2018	***-**-852I - BRYSON, LENNOX ACCOUNT #: 00066780144	EUROPACIFIC GROWTH FUND-A BUY 2 Units @62.1200	100.00	5.00	0.00	5.00	0.5	0.03
01/22/2018	***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	35.12	0.00	35.12	0.5	0.18
01/22/2018	***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	23.77	0.00	23.77	0.5	0.12
01/22/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FIDELITY ADV EQUITY GROWTH CL T BUY 1 Units @0.0000	0.00	9.06	0.00	9.06	0.5	0.05
01/22/2018	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	FIDELITY ADV EQUITY INCOME CL A BUY 1 Units @0.0000	0.00	11.91	0.00	11.91	0.5	0.06
01/08/2018	***-**-003I - LEE, MICHELE ACCOUNT #: 00006827196	FIDELITY ADV GROWTH OPPORT CL T BUY 0 Units @0.0000	0.00	7.16	0.00	7.16	0.5	0.04
01/22/2018	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	FIDELITY ADV VALUE STRATEGIES-CL A BUY 1 Units @0.0000	0.00	15.17	0.00	15.17	0.5	0.08
01/22/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FIDELITY ADVISOR VALUE STRAT CL T BUY 1 Units @0.0000	0.00	11.41	0.00	11.41	0.5	0.06
01/16/2018	***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	FUNDAMENTAL INVESTORS-A BUY 1 Units @68.7700	100.00	5.00	0.00	5.00	0.5	0.03
01/22/2018	***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	55.99	0.00	55.99	0.5	0.28
01/22/2018	***-**-150I - SPRING, HENRY ACCOUNT #: LSR101109	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	29.05	0.00	29.05	0.5	0.15
01/22/2018	***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	63.96	0.00	63.96	0.5	0.32
01/22/2018	***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	39.23	0.00	39.23	0.5	0.20
01/22/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	46.89	0.00	46.89	0.5	0.23
01/22/2018	***-**-05IS - BRUNCKHORST, ACCOUNT #: LSR101818	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	12.62	0.00	12.62	0.5	0.06
01/22/2018	***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	1.40	0.00	1.40	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ANNE PLATSKY (continued)</u></b>								
01/01/2018	***-**-200I - ZUPRUK, NANCY	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	14.06	0.00	14.06	0.5	0.07
	ACCOUNT #: 00670321861	BUY 0 Units @0.0000						
01/16/2018	***-**-852P - BRYSON, LENNOX	NEW PERSPECTIVE FUND-A	100.00	5.00	0.00	5.00	0.5	0.03
	ACCOUNT #: 00066794512	BUY 2 Units @47.8700						
01/22/2018	***-**-8943 - KOULOS, JOHN	NEW PERSPECTIVE FUND-A	0.00	72.23	0.00	72.23	0.5	0.36
	ACCOUNT #: LSR100811	BUY 1 Units @0.0000						
01/22/2018	***-**-72IN - ZUCKERMAN, MARC	NEW PERSPECTIVE FUND-A	0.00	47.68	0.00	47.68	0.5	0.24
	ACCOUNT #: LSR100820	BUY 1 Units @0.0000						
01/22/2018	***-**-1833 - ARONOW, DOREEN	NEW PERSPECTIVE FUND-A	0.00	10.42	0.00	10.42	0.5	0.05
	ACCOUNT #: LSR100870	BUY 1 Units @0.0000						
01/22/2018	***-**-150I - SPRING, HENRY	NEW PERSPECTIVE FUND-A	0.00	10.17	0.00	10.17	0.5	0.05
	ACCOUNT #: LSR101109	BUY 1 Units @0.0000						
01/22/2018	***-**-415K - SCHORR, FBO NINA	NEW PERSPECTIVE FUND-A	0.00	11.45	0.00	11.45	0.5	0.06
	ACCOUNT #: LSR101265	BUY 1 Units @0.0000						
01/22/2018	***-**-5380 - LEE, WAI	NEW PERSPECTIVE FUND-A	0.00	45.76	0.00	45.76	0.5	0.23
	ACCOUNT #: LSR101311	BUY 1 Units @0.0000						
01/22/2018	***-**-72IN - ZUCKERMAN, MARC	SMALLCAP WORLD FUND-A	0.00	34.35	0.00	34.35	0.5	0.17
	ACCOUNT #: LSR100820	BUY 1 Units @0.0000						
01/22/2018	***-**-03IN - LEE, MICHELE	SMALLCAP WORLD FUND-A	0.00	28.43	0.00	28.43	0.5	0.14
	ACCOUNT #: LSR101303	BUY 1 Units @0.0000						
01/22/2018	***-**-72IN - ZUCKERMAN, MARC	THE BOND FUND OF AMERICA-A	0.00	6.61	0.00	6.61	0.5	0.03
	ACCOUNT #: LSR100820	BUY 1 Units @0.0000						
01/22/2018	***-**-6415 - SCHORR, NINA	THE BOND FUND OF AMERICA-A	0.00	11.99	0.00	11.99	0.5	0.06
	ACCOUNT #: LSR101257	BUY 1 Units @0.0000						
01/22/2018	***-**-5380 - LEE, WAI	THE BOND FUND OF AMERICA-A	0.00	7.73	0.00	7.73	0.5	0.04
	ACCOUNT #: LSR101311	BUY 1 Units @0.0000						
01/16/2018	***-**-852P - BRYSON, LENNOX	THE GROWTH FUND OF AMERICA-A	100.00	5.00	0.00	5.00	0.5	0.03
	ACCOUNT #: 00066794512	BUY 2 Units @55.1100						
01/22/2018	***-**-6415 - SCHORR, NINA	THE GROWTH FUND OF AMERICA-A	0.00	45.90	0.00	45.90	0.5	0.23
	ACCOUNT #: LSR101257	BUY 1 Units @0.0000						
01/22/2018	***-**-5380 - LEE, WAI	THE GROWTH FUND OF AMERICA-A	0.00	108.26	0.00	108.26	0.5	0.54
	ACCOUNT #: LSR101311	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ANNE PLATSKY (continued)</u></b>								
01/22/2018	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	3.94	0.00	3.94	0.5	0.02
01/22/2018	***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.57	0.00	1.57	0.5	0.01
01/22/2018	***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	9.44	0.00	9.44	0.5	0.05
01/22/2018	***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	29.24	0.00	29.24	0.5	0.15
01/22/2018	***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	8.64	0.00	8.64	0.5	0.04
01/22/2018	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	7.54	0.00	7.54	0.5	0.04
01/10/2018	***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	THE INVESTMENT COMPANY OF AMERICA-A BUY 10 Units @42.0000	400.00	4.80	0.00	4.80	0.5	0.02
01/22/2018	***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	30.80	0.00	30.80	0.5	0.15
01/22/2018	***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	15.74	0.00	15.74	0.5	0.08
01/22/2018	***-**-150I - SPRING, HENRY ACCOUNT #: LSR101109	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	8.15	0.00	8.15	0.5	0.04
01/22/2018	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	13.54	0.00	13.54	0.5	0.07
01/22/2018	***-**-150I - SPRING, HENRY ACCOUNT #: LSR101109	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	8.05	0.00	8.05	0.5	0.04
01/22/2018	***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	20.84	0.00	20.84	0.5	0.10
01/22/2018	***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	51.67	0.00	51.67	0.5	0.26
01/22/2018	***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	16.52	0.00	16.52	0.5	0.08
01/22/2018	***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	20.15	0.00	20.15	0.5	0.10



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ANNE PLATSKY (continued) </u></b>								
01/22/2018	***-**-5380 - LEE, WAI	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	84.62	0.00	84.62	0.5	0.42
	ACCOUNT #: LSR101311	BUY 1 Units @0.0000						
		<b>* ANNE PLATSKY SUBTOTAL *</b>	<b>\$1,100.00</b>	<b>\$1,608.68</b>	<b>\$0.00</b>	<b>\$1,608.68</b>		<b>\$8.08</b>
<b><u> BROKER: JEFFRY PROUL </u></b>								
01/01/2018	***-**-390I - REPELLA, CRAIG	AIM ASIA PACIFIC GROWTH FUND - CL A	0.00	56.41	0.00	56.41	0.5	0.28
	ACCOUNT #: 07000318605	BUY 0 Units @0.0000						
01/01/2018	***-**-90IN - REPELLA, CRAIG	AIM EUROPEAN GROWTH FUND - CLASS A	0.00	3.92	0.00	3.92	0.5	0.02
	ACCOUNT #: 07000279823	BUY 0 Units @0.0000						
01/01/2018	***-**-390I - REPELLA, CRAIG	AIM EUROPEAN GROWTH FUND - CLASS A	0.00	69.26	0.00	69.26	0.5	0.35
	ACCOUNT #: 07000318605	BUY 0 Units @0.0000						
01/01/2018	***-**-90IN - REPELLA, CRAIG	AIM GLOBAL HEALTH CARE FUND CLASS A	0.00	64.13	0.00	64.13	0.5	0.32
	ACCOUNT #: 07000279823	BUY 0 Units @0.0000						
01/16/2018	***-**-1522 - PROUL, JEFFRY	AIM GLOBAL HEALTH CARE FUND CLASS A	0.00	4.57	0.00	4.57	0.5	0.02
	ACCOUNT #: LSR101168	BUY 1 Units @0.0000						
01/10/2018	***-**-22CL - PROUL, CLIENTS	AMERICAN FUNDS 2030 TARGET DATE-A	125.00	4.69	0.00	4.69	0.5	0.02
	ACCOUNT #: 00088976486	BUY 8 Units @15.3600						
01/10/2018	***-**-22CL - PROUL, CLIENTS	AMERICAN FUNDS 2030 TARGET DATE-A	125.00	4.69	0.00	4.69	0.5	0.02
	ACCOUNT #: 00088976486	BUY 8 Units @15.3600						
01/10/2018	***-**-22CL - PROUL, CLIENTS	AMERICAN FUNDS 2030 TARGET DATE-A	125.00	4.69	0.00	4.69	0.5	0.02
	ACCOUNT #: 00088976498	BUY 8 Units @15.3600						
01/10/2018	***-**-22CL - PROUL, CLIENTS	AMERICAN FUNDS 2030 TARGET DATE-A	125.00	4.69	0.00	4.69	0.5	0.02
	ACCOUNT #: 00088976498	BUY 8 Units @15.3600						
01/16/2018	***-**-22CL - PROUL, CLIENTS	AMERICAN FUNDS 2055 TARGET DATE-A	200.00	5.50	0.00	5.50	0.5	0.03
	ACCOUNT #: 00089402273	BUY 10 Units @20.1800						
01/16/2018	***-**-22CL - PROUL, CLIENTS	AMERICAN FUNDS 2055 TARGET DATE-A	52.80	1.45	0.00	1.45	0.5	0.01
	ACCOUNT #: 00089402273	BUY 3 Units @20.1800						
01/16/2018	***-**-4496 - HARRY, KIRK	EUROPACIFIC GROWTH FUND-A	479.17	4.79	0.00	4.79	0.5	0.02
	ACCOUNT #: 00058823513	BUY 8 Units @58.5500						
01/16/2018	***-**-3875 - HARRY, JOHN	EUROPACIFIC GROWTH FUND-A	563.35	5.63	0.00	5.63	0.5	0.03
	ACCOUNT #: 00058823514	BUY 10 Units @58.5500						
01/16/2018	***-**-9346 - KEY-BELL INC	EUROPACIFIC GROWTH FUND-A	150.00	1.50	0.00	1.50	0.5	0.01
	ACCOUNT #: 00058823515	BUY 3 Units @58.5500						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: JEFFRY PROUL (continued) </u></b>								
01/16/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058823519	EUROPACIFIC GROWTH FUND-A BUY 9 Units @58.5500	521.26	5.21	0.00	5.21	0.5	0.03
01/16/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058836715	EUROPACIFIC GROWTH FUND-A BUY 11 Units @58.5500	647.21	6.47	0.00	6.47	0.5	0.03
01/22/2018	***-**-2742 - CLASEN, SHIRLEY ACCOUNT #: LSR102512	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	5.85	0.00	5.85	0.5	0.03
01/22/2018	***-**-5390 - REPELLA, CRAIG ACCOUNT #: LSR102520	FIDELITY ADVISOR BALANCED CLASS T BUY 1 Units @0.0000	0.00	40.21	0.00	40.21	0.5	0.20
01/01/2018	***-**-905P - REPELLA, CRAIG ACCOUNT #: 00005461876	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	111.43	0.00	111.43	0.5	0.56
01/01/2018	***-**-742I - CLASEN, SHIRLEY ACCOUNT #: 05002335932	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	3.38	0.00	3.38	0.5	0.02
01/16/2018	***-**-1522 - PROUL, JEFFRY ACCOUNT #: LSR101168	INVESCO AMERICAN FRANCHISE FUND -CL BUY 1 Units @0.0000	0.00	2.38	0.00	2.38	0.5	0.01
01/16/2018	***-**-4496 - HARRY, KIRK ACCOUNT #: 00058823513	THE GROWTH FUND OF AMERICA-A BUY 9 Units @51.9400	479.17	4.79	0.00	4.79	0.5	0.02
01/16/2018	***-**-3875 - HARRY, JOHN ACCOUNT #: 00058823514	THE GROWTH FUND OF AMERICA-A BUY 11 Units @51.9400	563.35	5.63	0.00	5.63	0.5	0.03
01/16/2018	***-**-9346 - KEY-BELL INC ACCOUNT #: 00058823515	THE GROWTH FUND OF AMERICA-A BUY 3 Units @51.9400	150.00	1.50	0.00	1.50	0.5	0.01
01/16/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058823519	THE GROWTH FUND OF AMERICA-A BUY 10 Units @51.9400	521.26	5.21	0.00	5.21	0.5	0.03
01/16/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058836715	THE GROWTH FUND OF AMERICA-A BUY 12 Units @51.9400	647.22	6.47	0.00	6.47	0.5	0.03
01/16/2018	***-**-645P - CALLES, LORIANN ACCOUNT #: 00081807366	THE GROWTH FUND OF AMERICA-A BUY 3 Units @53.8200	150.00	4.13	0.00	4.13	0.5	0.02
01/16/2018	***-**-645P - CALLES, LORIANN ACCOUNT #: 00081807366	THE GROWTH FUND OF AMERICA-A BUY 2 Units @53.8200	90.00	2.48	0.00	2.48	0.5	0.01
<b>* JEFFRY PROUL SUBTOTAL *</b>			<b>\$5,714.79</b>	<b>\$441.06</b>	<b>\$0.00</b>	<b>\$441.06</b>		<b>\$2.20</b>



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RANDOLPH SANDUSKY</u></b>								
01/15/2018	***-**-920I - POLING, RONALD	JOHN HANCOCK VENTURE 2006	100.00	5.50	0.00	5.50	0.5	0.03
	ACCOUNT #: 2765836	BUY 0 Units @0.0000						
		<b>* RANDOLPH SANDUSKY SUBTOTAL *</b>	<b>\$100.00</b>	<b>\$5.50</b>	<b>\$0.00</b>	<b>\$5.50</b>		<b>\$0.03</b>
<b><u>BROKER: CRAIG ST. CYR</u></b>								
01/01/2018	***-**-240I - WOOD, REBECCA	AIM CHARTER FUND - CLASS A	0.00	3.48	0.00	3.48	0.5	0.02
	ACCOUNT #: 00003538402	BUY 0 Units @0.0000						
01/01/2018	***-**-64IR - ST CYR, CRAIG	AIM INTERNATIONAL GROWTH FUND - CL	0.00	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: 05016574062	BUY 0 Units @0.0000						
01/08/2018	***-**-5404 - MOOERS, KYLE	FIDELITY ADV 01480463049	0.00	4.22	0.00	4.22	0.5	0.02
	ACCOUNT #: 01484248685	BUY 0 Units @0.0000						
01/08/2018	***-**-1536 - PETERS, KRISTEN	FIDELITY ADV 01480463072	0.00	6.32	0.00	6.32	0.5	0.03
	ACCOUNT #: 01480417607	BUY 0 Units @0.0000						
01/08/2018	***-**-1320 - BARNEA, ANTHONY	FIDELITY ADV 01480463072	0.00	13.11	0.00	13.11	0.5	0.07
	ACCOUNT #: 01484492994	BUY 0 Units @0.0000						
01/08/2018	***-**-1574 - KIM, BUNTHOL	FIDELITY ADV 01480463155	0.00	9.50	0.00	9.50	0.5	0.05
	ACCOUNT #: 01480847183	BUY 0 Units @0.0000						
01/08/2018	***-**-8308 - SMITH, KRISTYN	FIDELITY ADV 01480847183	0.00	10.53	0.00	10.53	0.5	0.05
	ACCOUNT #: 01480463155	BUY 0 Units @0.0000						
01/08/2018	***-**-0951 - DAVIS, ALEXANDER	FIDELITY ADV 01480847183	0.00	4.77	0.00	4.77	0.5	0.02
	ACCOUNT #: 01486701756	BUY 0 Units @0.0000						
01/08/2018	***-**-3799 - RUDDY, LAUREN	FIDELITY ADV 01480847183	0.00	3.26	0.00	3.26	0.5	0.02
	ACCOUNT #: 01486935859	BUY 0 Units @0.0000						
01/08/2018	***-**-4896 - FAVINI,	FIDELITY ADV 01480847183	0.00	1.62	0.00	1.62	0.5	0.01
	ACCOUNT #: 01486935875	BUY 0 Units @0.0000						
01/08/2018	***-**-0790 - BURKE, KRISTEN	FIDELITY ADV 01480863024	0.00	5.44	0.00	5.44	0.5	0.03
	ACCOUNT #: 01480463049	BUY 0 Units @0.0000						
01/08/2018	***-**-3541 - LAPORTE, DIANE	FIDELITY ADV BALANCED CL C	0.00	8.21	0.00	8.21	0.5	0.04
	ACCOUNT #: 01485938474	BUY 0 Units @0.0000						
01/08/2018	***-**-5392 - FLAVIN, BRENDAN	FIDELITY ADV DIVERSIFIED INTL FD CL	0.00	3.64	0.00	3.64	0.5	0.02
	ACCOUNT #: 01481079893	BUY 0 Units @0.0000						
01/08/2018	***-**-1761 - POTTER, ALLISON	FIDELITY ADV DIVIDEND GROWTH FD CL	0.00	4.44	0.00	4.44	0.5	0.02
	ACCOUNT #: 01480452315	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: CRAIG ST. CYR (continued)</u></b>								
01/08/2018	***-**-5392 - FLAVIN, BRENDAN	FIDELITY ADV DIVIDEND GROWTH FD CL	0.00	3.96	0.00	3.96	0.5	0.02
	ACCOUNT #: 01481079893	BUY 0 Units @0.0000						
01/08/2018	***-**-4278 - ROWE, CHERYL	FIDELITY ADV DIVIDEND GROWTH FD CL	0.00	18.34	0.00	18.34	0.5	0.09
	ACCOUNT #: 00006778755	BUY 0 Units @0.0000						
01/08/2018	***-**-1761 - POTTER, ALLISON	FIDELITY ADV EMERGING MKTS-CL C	0.00	1.86	0.00	1.86	0.5	0.01
	ACCOUNT #: 01480452315	BUY 0 Units @0.0000						
01/08/2018	***-**-5392 - FLAVIN, BRENDAN	FIDELITY ADV EMERGING MKTS-CL C	0.00	2.67	0.00	2.67	0.5	0.01
	ACCOUNT #: 01481079893	BUY 0 Units @0.0000						
01/08/2018	***-**-5392 - FLAVIN, BRENDAN	FIDELITY ADV EMERGING MRKTS INC-CL	0.00	2.37	0.00	2.37	0.5	0.01
	ACCOUNT #: 01481079893	BUY 0 Units @0.0000						
01/08/2018	***-**-3539 - ELICK, ROBERT	FIDELITY ADV EQUITY GROWTH CL T	0.00	10.80	0.00	10.80	0.5	0.05
	ACCOUNT #: 00006778771	BUY 0 Units @0.0000						
01/08/2018	***-**-188I - GALLAGHER, JOAN	FIDELITY ADV FREEDOM 2020-CL T	0.00	16.39	0.00	16.39	0.5	0.08
	ACCOUNT #: 01423617297	BUY 0 Units @0.0000						
01/08/2018	***-**-188I - GALLAGHER, JOAN	FIDELITY ADV FREEDOM 2020-CL T	0.00	25.29	0.00	25.29	0.5	0.13
	ACCOUNT #: 01423617396	BUY 0 Units @0.0000						
01/08/2018	***-**-3539 - ELICK, ROBERT	FIDELITY ADV FREEDOM 2025-CL T	0.00	3.55	0.00	3.55	0.5	0.02
	ACCOUNT #: 01485722571	BUY 0 Units @0.0000						
01/08/2018	***-**-49IR - ROWE, THOMAS	FIDELITY ADV FREEDOM 2035-CL T	0.00	3.31	0.00	3.31	0.5	0.02
	ACCOUNT #: 00704648971	BUY 0 Units @0.0000						
01/08/2018	***-**-949I - ROWE, THOMAS	FIDELITY ADV FREEDOM 2035-CL T	0.00	10.53	0.00	10.53	0.5	0.05
	ACCOUNT #: 00704648989	BUY 0 Units @0.0000						
01/08/2018	***-**-0270 - CARELLA, MICHAEL	FIDELITY ADV FREEDOM 2045	0.00	11.34	0.00	11.34	0.5	0.06
	ACCOUNT #: 01486701004	BUY 0 Units @0.0000						
01/08/2018	***-**-6937 - SCHWAB,	FIDELITY ADV FREEDOM 2060 C	0.00	5.37	0.00	5.37	0.5	0.03
	ACCOUNT #: 01484248586	BUY 0 Units @0.0000						
01/08/2018	***-**-7242 - JOHNSON,	FIDELITY ADV FREEDOM 2060 C	0.00	1.77	0.00	1.77	0.5	0.01
	ACCOUNT #: 01486703117	BUY 0 Units @0.0000						
01/08/2018	***-**-0327 - MCGURN, CONNOR	FIDELITY ADV FREEDOM 2060 C	0.00	1.50	0.00	1.50	0.5	0.01
	ACCOUNT #: 01486703158	BUY 0 Units @0.0000						
01/08/2018	***-**-5782 - SCHMID, ANDERW	FIDELITY ADV FREEDOM 2060 C	0.00	1.49	0.00	1.49	0.5	0.01
	ACCOUNT #: 01486935966	BUY 0 Units @0.0000						





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: CRAIG ST. CYR (continued) </u></b>								
01/08/2018	***-**-4278 - ROWE, CHERYL ACCOUNT #: 00006778755	FIDELITY ADV GROWTH OPPORT CL T BUY 0 Units @0.0000	0.00	27.31	0.00	27.31	0.5	0.14
01/08/2018	***-**-49IR - ROWE, THOMAS ACCOUNT #: 00006778767	FIDELITY ADV GROWTH OPPORT CL T BUY 0 Units @0.0000	0.00	10.49	0.00	10.49	0.5	0.05
01/08/2018	***-**-3539 - ELICK, ROBERT ACCOUNT #: 00006778771	FIDELITY ADV GROWTH OPPORT CL T BUY 0 Units @0.0000	0.00	12.00	0.00	12.00	0.5	0.06
01/08/2018	***-**-64IR - ST CYR, CRAIG ACCOUNT #: 00655155893	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	2.16	0.00	2.16	0.5	0.01
01/08/2018	***-**-49IR - ROWE, THOMAS ACCOUNT #: 00006778767	FIDELITY ADV INTL CAPITAL APP CL T BUY 0 Units @0.0000	0.00	4.78	0.00	4.78	0.5	0.02
01/08/2018	***-**-0107 - LOISELLE, PETER ACCOUNT #: 00655796084	FIDELITY ADV INTL CAPITAL APP CL T BUY 0 Units @0.0000	0.00	3.81	0.00	3.81	0.5	0.02
01/08/2018	***-**-4561 - SHAHIAN, LAUREN ACCOUNT #: 01480863024	FIDELITY ADV LARGE CAP CL T BUY 0 Units @0.0000	0.00	1.61	0.00	1.61	0.5	0.01
01/08/2018	***-**-1761 - POTTER, ALLISON ACCOUNT #: 01480452315	FIDELITY ADV LEVERAGED CO STOCK CL BUY 0 Units @0.0000	0.00	2.75	0.00	2.75	0.5	0.01
01/08/2018	***-**-5392 - FLAVIN, BRENDAN ACCOUNT #: 01481079893	FIDELITY ADV LEVERAGED CO STOCK CL BUY 0 Units @0.0000	0.00	3.94	0.00	3.94	0.5	0.02
01/08/2018	***-**-49IR - ROWE, THOMAS ACCOUNT #: 00704648971	FIDELITY ADV MATERIALS-CL T BUY 0 Units @0.0000	0.00	2.79	0.00	2.79	0.5	0.01
01/08/2018	***-**-949I - ROWE, THOMAS ACCOUNT #: 00704648989	FIDELITY ADV MATERIALS-CL T BUY 0 Units @0.0000	0.00	5.43	0.00	5.43	0.5	0.03
01/08/2018	***-**-4561 - SHAHIAN, LAUREN ACCOUNT #: 01480863024	FIDELITY ADV MF TRAILS 315793794 BUY 0 Units @0.0000	0.00	2.35	0.00	2.35	0.5	0.01
01/08/2018	***-**-4278 - ROWE, CHERYL ACCOUNT #: 00006778755	FIDELITY ADV OVERSEAS CL T BUY 0 Units @0.0000	0.00	13.44	0.00	13.44	0.5	0.07
01/08/2018	***-**-3539 - ELICK, ROBERT ACCOUNT #: 00006778771	FIDELITY ADV OVERSEAS CL T BUY 0 Units @0.0000	0.00	7.99	0.00	7.99	0.5	0.04
01/08/2018	***-**-3541 - LAPORTE, DIANE ACCOUNT #: 01485938474	FIDELITY ADV OVERSEAS-CL C BUY 0 Units @0.0000	0.00	5.61	0.00	5.61	0.5	0.03
01/08/2018	***-**-949I - ROWE, THOMAS ACCOUNT #: 00704648989	FIDELITY ADV REAL ESTATE - CL T BUY 0 Units @0.0000	0.00	3.26	0.00	3.26	0.5	0.02



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: CRAIG ST. CYR (continued) </u></b>								
01/08/2018	***-**-1761 - POTTER, ALLISON	FIDELITY ADV REAL ESTATE-CL C	0.00	1.59	0.00	1.59	0.5	0.01
	ACCOUNT #: 01480452315	BUY 0 Units @0.0000						
01/08/2018	***-**-1761 - POTTER, ALLISON	FIDELITY ADV SMALL CAP CL C	0.00	1.71	0.00	1.71	0.5	0.01
	ACCOUNT #: 01480452315	BUY 0 Units @0.0000						
01/08/2018	***-**-5392 - FLAVIN, BRENDAN	FIDELITY ADV SMALL CAP CL C	0.00	2.52	0.00	2.52	0.5	0.01
	ACCOUNT #: 01481079893	BUY 0 Units @0.0000						
01/08/2018	***-**-4278 - ROWE, CHERYL	FIDELITY ADV SMALL CAP CL T	0.00	21.45	0.00	21.45	0.5	0.11
	ACCOUNT #: 00006778755	BUY 0 Units @0.0000						
01/08/2018	***-**-3539 - ELICK, ROBERT	FIDELITY ADV SMALL CAP CL T	0.00	12.03	0.00	12.03	0.5	0.06
	ACCOUNT #: 00006778771	BUY 0 Units @0.0000						
01/08/2018	***-**-7241 - CREPEAU, SHEILA	FIDELITY ADV SMALL CAP CL T	0.00	1.79	0.00	1.79	0.5	0.01
	ACCOUNT #: 00501473037	BUY 0 Units @0.0000						
01/08/2018	***-**-9365 - CREPEAU, GARY	FIDELITY ADV SMALL CAP CL T	0.00	1.79	0.00	1.79	0.5	0.01
	ACCOUNT #: 00501473268	BUY 0 Units @0.0000						
01/08/2018	***-**-0107 - LOISELLE, PETER	FIDELITY ADV SMALL CAP CL T	0.00	6.27	0.00	6.27	0.5	0.03
	ACCOUNT #: 00655796084	BUY 0 Units @0.0000						
01/08/2018	***-**-4803 - BREEN, MICHAELA	FIDELITY ADV SMALL CAP CL T	0.00	20.77	0.00	20.77	0.5	0.10
	ACCOUNT #: 00689460350	BUY 0 Units @0.0000						
01/08/2018	***-**-7241 - CREPEAU, SHEILA	FIDELITY ADV SMALL CAP CL T	0.00	13.39	0.00	13.39	0.5	0.07
	ACCOUNT #: 00700424963	BUY 0 Units @0.0000						
01/08/2018	***-**-9365 - CREPEAU, GARY	FIDELITY ADV SMALL CAP CL T	0.00	13.39	0.00	13.39	0.5	0.07
	ACCOUNT #: 00700424971	BUY 0 Units @0.0000						
01/08/2018	***-**-949I - ROWE, THOMAS	FIDELITY ADV SMALL CAP CL T	0.00	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: 00704648989	BUY 0 Units @0.0000						
01/08/2018	***-**-88IR - GALLAGHER, JOAN	FIDELITY ADV SMALL CAP CL T	0.00	11.87	0.00	11.87	0.5	0.06
	ACCOUNT #: 01440924288	BUY 0 Units @0.0000						
01/08/2018	***-**-64IR - ST CYR, CRAIG	FIDELITY ADV SMALL CAP-CL A	0.00	9.21	0.00	9.21	0.5	0.05
	ACCOUNT #: 00655155893	BUY 0 Units @0.0000						
01/08/2018	***-**-49IR - ROWE, THOMAS	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	6.26	0.00	6.26	0.5	0.03
	ACCOUNT #: 00006778767	BUY 0 Units @0.0000						
01/08/2018	***-**-0107 - LOISELLE, PETER	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	10.34	0.00	10.34	0.5	0.05
	ACCOUNT #: 00655796084	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: CRAIG ST. CYR (continued) </u></b>								
01/08/2018	***-**-8635 - BREEN, MARK ACCOUNT #: 00689460343	FIDELITY ADV STOCK SELECTOR ALL CAP BUY 0 Units @0.0000	0.00	19.23	0.00	19.23	0.5	0.10
01/08/2018	***-**-6716 - WATKINS, ACCOUNT #: 00700352818	FIDELITY ADV STOCK SELECTOR ALL CAP BUY 0 Units @0.0000	0.00	11.06	0.00	11.06	0.5	0.06
01/08/2018	***-**-3539 - ELICK, ROBERT ACCOUNT #: 01485722571	FIDELITY ADV STRATEGIC DIV & INCOME BUY 0 Units @0.0000	0.00	3.61	0.00	3.61	0.5	0.02
01/08/2018	***-**-5392 - FLAVIN, BRENDAN ACCOUNT #: 01481079893	FIDELITY ADV STRATEGIC INCOME CL C BUY 0 Units @0.0000	0.00	2.22	0.00	2.22	0.5	0.01
01/08/2018	***-**-3539 - ELICK, ROBERT ACCOUNT #: 00006778771	FIDELITY ADV UTILITIES CL T BUY 0 Units @0.0000	0.00	9.32	0.00	9.32	0.5	0.05
01/08/2018	***-**-6716 - WATKINS, ACCOUNT #: 00618521322	FIDELITY ADV UTILITIES CL T BUY 0 Units @0.0000	0.00	3.12	0.00	3.12	0.5	0.02
01/08/2018	***-**-949I - ROWE, THOMAS ACCOUNT #: 00704648989	FIDELITY ADV UTILITIES CL T BUY 0 Units @0.0000	0.00	3.65	0.00	3.65	0.5	0.02
01/08/2018	***-**-3539 - ELICK, ROBERT ACCOUNT #: 01485722571	FIDELITY ADVISOR BALANCED CLASS T BUY 0 Units @0.0000	0.00	3.55	0.00	3.55	0.5	0.02
01/08/2018	***-**-5392 - FLAVIN, BRENDAN ACCOUNT #: 01481079893	FIDELITY ADVISOR FL RATE HIGH INC C BUY 0 Units @0.0000	0.00	2.15	0.00	2.15	0.5	0.01
01/08/2018	***-**-3036 - GRIFFIN, ACCOUNT #: 01481500708	FIDELITY ADVISOR FREEDOM 2040 BUY 0 Units @0.0000	0.00	10.46	0.00	10.46	0.5	0.05
01/08/2018	***-**-4278 - ROWE, CHERYL ACCOUNT #: 00006778755	FIDELITY ADVISOR HIGH INCOME ADVANT BUY 0 Units @0.0000	0.00	7.04	0.00	7.04	0.5	0.04
01/08/2018	***-**-6716 - WATKINS, ACCOUNT #: 00618521322	FIDELITY ADVISOR HIGH INCOME ADVANT BUY 0 Units @0.0000	0.00	4.63	0.00	4.63	0.5	0.02
01/08/2018	***-**-0107 - LOISELLE, PETER ACCOUNT #: 00655796084	FIDELITY ADVISOR HIGH INCOME ADVANT BUY 0 Units @0.0000	0.00	3.41	0.00	3.41	0.5	0.02
01/08/2018	***-**-64IR - ST CYR, CRAIG ACCOUNT #: 00655155893	FIDELITY ADVISOR MID CAP STOCK CL A BUY 0 Units @0.0000	0.00	6.01	0.00	6.01	0.5	0.03
01/08/2018	***-**-4278 - ROWE, CHERYL ACCOUNT #: 00006778755	FIDELITY ADVISOR MID CAP STOCK CL T BUY 0 Units @0.0000	0.00	24.61	0.00	24.61	0.5	0.12
01/08/2018	***-**-6716 - WATKINS, ACCOUNT #: 00618521322	FIDELITY ADVISOR MID CAP STOCK CL T BUY 0 Units @0.0000	0.00	2.49	0.00	2.49	0.5	0.01



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: CRAIG ST. CYR (continued) </u></b>								
01/08/2018	***-**-0107 - LOISELLE, PETER	FIDELITY ADVISOR MID CAP STOCK CL T	0.00	7.98	0.00	7.98	0.5	0.04
	ACCOUNT #: 00655796084	BUY 0 Units @0.0000						
01/08/2018	***-**-64IR - ST CYR, CRAIG	FIDELITY ADVISOR STK SELECTOR ALL C	0.00	7.22	0.00	7.22	0.5	0.04
	ACCOUNT #: 00655155893	BUY 0 Units @0.0000						
01/08/2018	***-**-49IR - ROWE, THOMAS	FIDELITY ADVISOR STRATEGIC INCOME C	0.00	2.32	0.00	2.32	0.5	0.01
	ACCOUNT #: 00006778767	BUY 0 Units @0.0000						
01/08/2018	***-**-49IR - ROWE, THOMAS	FIDELITY ADVISOR VALUE STRAT CL T	0.00	5.45	0.00	5.45	0.5	0.03
	ACCOUNT #: 00006778767	BUY 0 Units @0.0000						
01/08/2018	***-**-1761 - POTTER, ALLISON	FIDELITY ADVISOR WORLDWIDE	0.00	2.71	0.00	2.71	0.5	0.01
	ACCOUNT #: 01480452315	BUY 0 Units @0.0000						
01/01/2018	***-**-9365 - CREPEAU, GARY	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	18.54	0.00	18.54	0.5	0.09
	ACCOUNT #: 04026190985	BUY 0 Units @0.0000						
01/01/2018	***-**-188I - GALLAGHER, JOAN	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	13.20	0.00	13.20	0.5	0.07
	ACCOUNT #: 05002696929	BUY 0 Units @0.0000						
01/22/2018	***-**-9431 - FISHBEIN,	JOHN HANCOCK VA TRAILS	0.00	284.71	0.00	284.71	0.5	1.42
	ACCOUNT #: 26702	BUY 1 Units @0.0000						
01/22/2018	***-**-3717 - DOVETAILED	JOHN HANCOCK VA TRAILS	0.00	111.71	0.00	111.71	0.5	0.56
	ACCOUNT #: 23717	BUY 1 Units @0.0000						
01/22/2018	***-**-3717 - DOVETAILED	JOHN HANCOCK VA TRAILS	0.00	784.80	0.00	784.80	0.5	3.92
	ACCOUNT #: 23717	BUY 1 Units @0.0000						
01/01/2018	***-**-188I - GALLAGHER, JOAN	MASS INVESTORS GROWTH STOCK FUND-A	0.00	3.05	0.00	3.05	0.5	0.02
	ACCOUNT #: 00060371587	BUY 0 Units @0.0000						
01/01/2018	***-**-64IR - ST CYR, CRAIG	MASS INVESTORS GROWTH STOCK FUND-A	0.00	1.59	0.00	1.59	0.5	0.01
	ACCOUNT #: 08187564856	BUY 0 Units @0.0000						
01/01/2018	***-**-188I - GALLAGHER, JOAN	MASSACHUSETTS INVESTORS TRUST-A	0.00	7.23	0.00	7.23	0.5	0.04
	ACCOUNT #: 00060371009	BUY 0 Units @0.0000						
01/01/2018	***-**-73IR - HAYWARD, DENNIS	MFS BOND FUND-A	0.00	4.11	0.00	4.11	0.5	0.02
	ACCOUNT #: 00060407646	BUY 0 Units @0.0000						
01/01/2018	***-**-64IR - ST CYR, CRAIG	MFS EMERGING GROWTH FUND CLASS A	0.00	19.29	0.00	19.29	0.5	0.10
	ACCOUNT #: 08187564865	BUY 0 Units @0.0000						
01/01/2018	***-**-64IR - ST CYR, CRAIG	MFS NEW DISCOVERY FUND-A	0.00	17.58	0.00	17.58	0.5	0.09
	ACCOUNT #: 08188093677	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: CRAIG ST. CYR (continued)</u></b>								
01/01/2018	***-**-64IR - ST CYR, CRAIG	MFS RESEARCH FUND-A	0.00	6.70	0.00	6.70	0.5	0.03
	ACCOUNT #: 08187564861	BUY 0 Units @0.0000						
		<b>* CRAIG ST. CYR SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$1,863.95</b>	<b>\$0.00</b>	<b>\$1,863.95</b>		<b>\$9.38</b>
<b><u>BROKER: SHERIL DAMELIO</u></b>								
01/16/2018	***-**-2002 - BOLOGNESI,	AMERICAN FUNDS 2025 TARGET DATE-A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 04000137916	BUY 3 Units @14.5900						
01/11/2018	***-**-92CL - DAMELIO, CLIENTS	AMERICAN FUNDS BLNCD PORTFOLIO CL A	86.54	2.38	0.00	2.38	0.5	0.01
	ACCOUNT #: 00087160948	BUY 6 Units @15.6500						
01/11/2018	***-**-92CL - DAMELIO, CLIENTS	AMERICAN FUNDS BLNCD PORTFOLIO CL A	485.75	13.36	0.00	13.36	0.5	0.07
	ACCOUNT #: 00087160948	BUY 31 Units @15.6500						
01/11/2018	***-**-92CL - DAMELIO, CLIENTS	AMERICAN FUNDS BLNCD PORTFOLIO CL A	86.54	2.38	0.00	2.38	0.5	0.01
	ACCOUNT #: 00087160948	BUY 6 Units @15.6500						
01/11/2018	***-**-92CL - DAMELIO, CLIENTS	AMERICAN FUNDS BLNCD PORTFOLIO CL A	485.75	13.36	0.00	13.36	0.5	0.07
	ACCOUNT #: 00087160948	BUY 31 Units @15.6500						
		<b>* SHERIL DAMELIO SUBTOTAL *</b>	<b>\$1,194.58</b>	<b>\$33.98</b>	<b>\$0.00</b>	<b>\$33.98</b>		<b>\$0.17</b>
<b><u>BROKER: BERT GOLDBERG</u></b>								
01/16/2018	***-**-805P - IPPOLITO, NICOLE	AMCAP FUND-A	48.94	1.35	0.00	1.35	0.5	0.01
	ACCOUNT #: 00063922927	BUY 1 Units @34.1100						
01/16/2018	***-**-515P - BAUMANN, ROBERT	AMCAP FUND-A	169.00	4.65	0.00	4.65	0.5	0.02
	ACCOUNT #: 00063922931	BUY 5 Units @34.1100						
01/16/2018	***-**-195P - BAUMANN, TERESA	AMCAP FUND-A	168.16	4.62	0.00	4.62	0.5	0.02
	ACCOUNT #: 00063922933	BUY 5 Units @34.1100						
01/22/2018	***-**-1184 - SPORRER, SHIRLEY	AMCAP FUND-A	0.00	2.06	0.00	2.06	0.5	0.01
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
01/22/2018	***-**-0400 - SPORRER, JERALD	AMCAP FUND-A	0.00	4.12	0.00	4.12	0.5	0.02
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
01/22/2018	***-**-00IR - SPORRER, JERALD	AMCAP FUND-A	0.00	3.98	0.00	3.98	0.5	0.02
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
01/22/2018	***-**-0437 - ALLEN, WAYNE	AMCAP FUND-A	0.00	2.37	0.00	2.37	0.5	0.01
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: BERT GOLDBERG (continued) </u></b>								
01/22/2018	***-**-0376 - ALLEN, MISTY	AMCAP FUND-A	0.00	4.37	0.00	4.37	0.5	0.02
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
01/22/2018	***-**-37TR - SCHROEDER TRUST,	AMERICAN BALANCED FUND-A	0.00	11.79	0.00	11.79	0.5	0.06
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
01/22/2018	***-**-579I - FREDERICK,	AMERICAN BALANCED FUND-A	0.00	20.28	0.00	20.28	0.5	0.10
	ACCOUNT #: LSR011570	BUY 1 Units @0.0000						
01/22/2018	***-**-0737 - SCHROEDER, JACK	AMERICAN BALANCED FUND-A	0.00	13.10	0.00	13.10	0.5	0.07
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
01/22/2018	***-**-37TR - SCHROEDER TRUST,	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	11.28	0.00	11.28	0.5	0.06
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
01/16/2018	***-**-515P - BAUMANN, ROBERT	AMERICAN MUTUAL FUND-A	169.00	4.65	0.00	4.65	0.5	0.02
	ACCOUNT #: 00063922931	BUY 4 Units @43.4800						
01/16/2018	***-**-195P - BAUMANN, TERESA	AMERICAN MUTUAL FUND-A	168.16	4.62	0.00	4.62	0.5	0.02
	ACCOUNT #: 00063922933	BUY 4 Units @43.4800						
01/16/2018	***-**-568I - MARSHALL, BRUCE	BOND A	0.00	10.65	0.00	10.65	0.5	0.05
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
01/16/2018	***-**-515P - BAUMANN, ROBERT	CAPITAL INCOME BUILDER-A	156.02	4.29	0.00	4.29	0.5	0.02
	ACCOUNT #: 00063922931	BUY 2 Units @66.2500						
01/22/2018	***-**-5594 - BISHOP, SHANNON	EUROPACIFIC GROWTH FUND-A	0.00	1.03	0.00	1.03	0.5	0.01
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
01/16/2018	***-**-184I - SPORRER, SHIRLEY	FIRST EAGLE GOLD FUND CLASS A	0.00	1.52	0.00	1.52	0.5	0.01
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
01/16/2018	***-**-00IR - SPORRER, JERALD	FIRST EAGLE GOLD FUND CLASS A	0.00	1.77	0.00	1.77	0.5	0.01
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
01/16/2018	***-**-805P - IPPOLITO, NICOLE	FUNDAMENTAL INVESTORS-A	48.93	1.35	0.00	1.35	0.5	0.01
	ACCOUNT #: 00063922927	BUY 1 Units @67.1700						
01/16/2018	***-**-515P - BAUMANN, ROBERT	FUNDAMENTAL INVESTORS-A	156.00	4.29	0.00	4.29	0.5	0.02
	ACCOUNT #: 00063922931	BUY 2 Units @67.1700						
01/16/2018	***-**-195P - BAUMANN, TERESA	FUNDAMENTAL INVESTORS-A	155.22	4.27	0.00	4.27	0.5	0.02
	ACCOUNT #: 00063922933	BUY 2 Units @67.1700						
01/16/2018	***-**-514I - BERGIN, JOSEPH	IVY ASSET STRATEGY FUND CLASS A	0.00	2.12	0.00	2.12	0.5	0.01
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: BERT GOLDBERG (continued)</u></b>								
01/16/2018	***-**-568J - MARSHALL, BRUCE ACCOUNT #: LSR105600	IVY ASSET STRATEGY FUND CLASS A BUY 1 Units @0.0000	0.00	21.56	0.00	21.56	0.5	0.11
01/16/2018	***-**-0383 - MARSHALL, ACCOUNT #: LSR105619	IVY ASSET STRATEGY FUND CLASS A BUY 1 Units @0.0000	0.00	2.06	0.00	2.06	0.5	0.01
01/16/2018	***-**-568I - MARSHALL, BRUCE ACCOUNT #: LSR105627	IVY ASSET STRATEGY FUND CLASS A BUY 1 Units @0.0000	0.00	3.60	0.00	3.60	0.5	0.02
01/16/2018	***-**-5712 - RAMSEY, GUSSIE ACCOUNT #: LSR105929	IVY ASSET STRATEGY FUND CLASS A BUY 1 Units @0.0000	0.00	14.14	0.00	14.14	0.5	0.07
01/16/2018	***-**-2425 - BRIAN N CALNAN, ACCOUNT #: LSR105945	IVY ASSET STRATEGY FUND CLASS A BUY 1 Units @0.0000	0.00	8.04	0.00	8.04	0.5	0.04
01/16/2018	***-**-24IO - DEWITT, TRACY ACCOUNT #: LSR008966	JOHN HANCOCK ALTER ASSET ALLOC FUND BUY 1 Units @0.0000	0.00	5.93	0.00	5.93	0.5	0.03
01/16/2018	***-**-0383 - MARSHALL, ACCOUNT #: LSR105619	JOHN HANCOCK ALTER ASSET ALLOC FUND BUY 1 Units @0.0000	0.00	7.74	0.00	7.74	0.5	0.04
01/16/2018	***-**-2425 - BRIAN N CALNAN, ACCOUNT #: LSR105945	JOHN HANCOCK ALTER ASSET ALLOC FUND BUY 1 Units @0.0000	0.00	3.93	0.00	3.93	0.5	0.02
01/16/2018	***-**-568J - MARSHALL, BRUCE ACCOUNT #: LSR105600	JOHN HANCOCK GLOBAL EQUITY FUND CLA BUY 1 Units @0.0000	0.00	2.87	0.00	2.87	0.5	0.01
01/16/2018	***-**-5712 - RAMSEY, GUSSIE ACCOUNT #: LSR105929	JOHN HANCOCK TECHNICAL OPPS CL A BUY 1 Units @0.0000	0.00	1.85	0.00	1.85	0.5	0.01
01/16/2018	***-**-24IO - DEWITT, TRACY ACCOUNT #: LSR008966	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	23.75	0.00	23.75	0.5	0.12
01/16/2018	***-**-0724 - STROMMESEN, ACCOUNT #: LSR105090	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	6.19	0.00	6.19	0.5	0.03
01/16/2018	***-**-1788 - STROMMESEN, ACCOUNT #: LSR105104	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	3.98	0.00	3.98	0.5	0.02
01/16/2018	***-**-9761 - MCCARTHY, NANCY ACCOUNT #: LSR105490	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	3.25	0.00	3.25	0.5	0.02
01/16/2018	***-**-568J - MARSHALL, BRUCE ACCOUNT #: LSR105600	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	27.55	0.00	27.55	0.5	0.14
01/16/2018	***-**-0383 - MARSHALL, ACCOUNT #: LSR105619	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	28.49	0.00	28.49	0.5	0.14



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: BERT GOLDBERG (continued)</u></b>								
01/16/2018	***-**-9483 - WYCKOFF, ACCOUNT #: LSR106887	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	2.59	0.00	2.59	0.5	0.01
01/16/2018	***-**-2910 - NORFLEET, ACCOUNT #: LSR010116	LIFESTYLE CONSERVATIVE PORTFOLIO A BUY 1 Units @0.0000	0.00	10.88	0.00	10.88	0.5	0.05
01/16/2018	***-**-7610 - SMOAKE, DAVID ACCOUNT #: LSR011651	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	6.95	0.00	6.95	0.5	0.03
01/16/2018	***-**-5594 - BISHOP, SHANNON ACCOUNT #: LSR105392	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	1.30	0.00	1.30	0.5	0.01
01/16/2018	***-**-2425 - BRIAN N CALNAN, ACCOUNT #: LSR105945	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	7.46	0.00	7.46	0.5	0.04
01/16/2018	***-**-37TR - SCHROEDER TRUST, ACCOUNT #: LSR004375	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	9.95	0.00	9.95	0.5	0.05
01/16/2018	***-**-514I - BERGIN, JOSEPH ACCOUNT #: LSR004910	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	6.72	0.00	6.72	0.5	0.03
01/16/2018	***-**-703I - LEGROS, DAVID ACCOUNT #: LSR011660	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	7.54	0.00	7.54	0.5	0.04
01/16/2018	***-**-0737 - SCHROEDER, JACK ACCOUNT #: LSR105112	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	5.40	0.00	5.40	0.5	0.03
01/16/2018	***-**-568I - MARSHALL, BRUCE ACCOUNT #: LSR105627	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	45.95	0.00	45.95	0.5	0.23
01/16/2018	***-**-5712 - RAMSEY, GUSSIE ACCOUNT #: LSR105929	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	24.98	0.00	24.98	0.5	0.12
01/16/2018	***-**-0237 - RAMSEY, WILLIAM ACCOUNT #: LSR105937	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	4.14	0.00	4.14	0.5	0.02
01/01/2018	***-**-440I - CLERKIN, PAUL ACCOUNT #: 05311969078	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	16.17	0.00	16.17	0.5	0.08
01/01/2018	***-**-294I - CLERKIN, NANCY ACCOUNT #: 08191268727	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	8.06	0.00	8.06	0.5	0.04
01/22/2018	***-**-5594 - BISHOP, SHANNON ACCOUNT #: LSR105392	MASS INVESTORS GROWTH STOCK FUND-A BUY 1 Units @0.0000	0.00	1.03	0.00	1.03	0.5	0.01
01/22/2018	***-**-0737 - SCHROEDER, JACK ACCOUNT #: LSR105112	MFS DIVERSIFIED INCOME FD CL A BUY 1 Units @0.0000	0.00	12.09	0.00	12.09	0.5	0.06





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: BERT GOLDBERG (continued)</u></b>								
01/01/2018	***-**-440I - CLERKIN, PAUL ACCOUNT #: 06000600286	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	18.89	0.00	18.89	0.5	0.09
01/01/2018	***-**-294I - CLERKIN, NANCY ACCOUNT #: 08191268727	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	6.83	0.00	6.83	0.5	0.03
01/22/2018	***-**-0737 - SCHROEDER, JACK ACCOUNT #: LSR105112	MFS EMERGING MARKETS DEBT FUND-A BUY 1 Units @0.0000	0.00	3.33	0.00	3.33	0.5	0.02
01/22/2018	***-**-5712 - RAMSEY, GUSSIE ACCOUNT #: LSR105929	MFS EMERGING MARKETS DEBT FUND-A BUY 1 Units @0.0000	0.00	1.35	0.00	1.35	0.5	0.01
01/01/2018	***-**-440I - CLERKIN, PAUL ACCOUNT #: 03551291542	MFS HIGH INCOME FUND-A BUY 0 Units @0.0000	0.00	6.21	0.00	6.21	0.5	0.03
01/01/2018	***-**-837I - CURTIN, PAUL ACCOUNT #: 08191264373	MFS MUNICIPAL INCOME FUND-A BUY 0 Units @0.0000	0.00	3.36	0.00	3.36	0.5	0.02
01/22/2018	***-**-184I - SPORRER, SHIRLEY ACCOUNT #: LSR105252	NATIONWIDE INVESTOR DESTIN CONSERV BUY 1 Units @0.0000	0.00	3.46	0.00	3.46	0.5	0.02
01/22/2018	***-**-0400 - SPORRER, JERALD ACCOUNT #: LSR105287	NATIONWIDE INVESTOR DESTIN CONSERV BUY 1 Units @0.0000	0.00	1.01	0.00	1.01	0.5	0.01
01/22/2018	***-**-0437 - ALLEN, WAYNE ACCOUNT #: LSR105414	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	2.42	0.00	2.42	0.5	0.01
01/22/2018	***-**-0376 - ALLEN, MISTY ACCOUNT #: LSR105570	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	4.45	0.00	4.45	0.5	0.02
01/16/2018	***-**-66IN - ANDERSSOHN, MARY ACCOUNT #: VR11014010	PACIFIC LIFE VOYAGES BUY 0 Units @0.0000	250.00	17.50	0.00	17.50	0.5	0.09
01/05/2018	***-**-94IR - HALL-SPLENDORIO, ACCOUNT #: 06202691947	PIONEER FUND A BUY 2 Units @31.3500	50.00	2.50	0.00	2.50	0.5	0.01
01/22/2018	***-**-2425 - BRIAN N CALNAN, ACCOUNT #: LSR105945	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	6.83	0.00	6.83	0.5	0.03
01/02/2018	***-**-383I - PLAN ACCOUNT #: 00550577593	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 0 Units @0.0000	0.00	12.94	0.00	12.94	0.5	0.06
01/16/2018	***-**-805P - IPPOLITO, NICOLE ACCOUNT #: 00063922927	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.8200	48.93	1.35	0.00	1.35	0.5	0.01
01/16/2018	***-**-515P - BAUMANN, ROBERT ACCOUNT #: 00063922931	THE GROWTH FUND OF AMERICA-A BUY 3 Units @53.8200	156.00	4.29	0.00	4.29	0.5	0.02



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: BERT GOLDBERG (continued)</u></b>								
01/16/2018	***-**-195P - BAUMANN, TERESA	THE GROWTH FUND OF AMERICA-A	155.22	4.27	0.00	4.27	0.5	0.02
	ACCOUNT #: 00063922933	BUY 3 Units @53.8200						
01/22/2018	***-**-0437 - ALLEN, WAYNE	THE GROWTH FUND OF AMERICA-A	0.00	2.49	0.00	2.49	0.5	0.01
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
01/22/2018	***-**-0376 - ALLEN, MISTY	THE GROWTH FUND OF AMERICA-A	0.00	4.59	0.00	4.59	0.5	0.02
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
01/16/2018	***-**-195P - BAUMANN, TERESA	THE INCOME FUND OF AMERICA-A	155.22	4.27	0.00	4.27	0.5	0.02
	ACCOUNT #: 00063922933	BUY 6 Units @24.6900						
01/22/2018	***-**-184I - SPORRER, SHIRLEY	THE INCOME FUND OF AMERICA-A	0.00	5.05	0.00	5.05	0.5	0.03
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
01/22/2018	***-**-0400 - SPORRER, JERALD	THE INCOME FUND OF AMERICA-A	0.00	1.08	0.00	1.08	0.5	0.01
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
01/22/2018	***-**-00IR - SPORRER, JERALD	THE INCOME FUND OF AMERICA-A	0.00	7.73	0.00	7.73	0.5	0.04
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
01/22/2018	***-**-0437 - ALLEN, WAYNE	THE INCOME FUND OF AMERICA-A	0.00	1.86	0.00	1.86	0.5	0.01
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
01/22/2018	***-**-0376 - ALLEN, MISTY	THE INCOME FUND OF AMERICA-A	0.00	3.42	0.00	3.42	0.5	0.02
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
01/16/2018	***-**-515P - BAUMANN, ROBERT	THE INVESTMENT COMPANY OF AMERICA-A	169.00	4.65	0.00	4.65	0.5	0.02
	ACCOUNT #: 00063922931	BUY 4 Units @43.3500						
01/16/2018	***-**-195P - BAUMANN, TERESA	THE INVESTMENT COMPANY OF AMERICA-A	168.14	4.62	0.00	4.62	0.5	0.02
	ACCOUNT #: 00063922933	BUY 4 Units @43.3500						
01/22/2018	***-**-184I - SPORRER, SHIRLEY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	3.12	0.00	3.12	0.5	0.02
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
01/22/2018	***-**-0400 - SPORRER, JERALD	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.49	0.00	2.49	0.5	0.01
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
01/22/2018	***-**-00IR - SPORRER, JERALD	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.80	0.00	1.80	0.5	0.01
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
01/22/2018	***-**-0437 - ALLEN, WAYNE	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.16	0.00	2.16	0.5	0.01
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
01/22/2018	***-**-0376 - ALLEN, MISTY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	3.98	0.00	3.98	0.5	0.02
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: BERT GOLDBERG (continued) </u></b>								
01/16/2018	***-**-805P - IPPOLITO, NICOLE	THE NEW ECONOMY FUND-A	48.93	1.35	0.00	1.35	0.5	0.01
	ACCOUNT #: 00063922927	BUY 1 Units @48.4000						
01/16/2018	***-**-515P - BAUMANN, ROBERT	THE NEW ECONOMY FUND-A	156.00	4.29	0.00	4.29	0.5	0.02
	ACCOUNT #: 00063922931	BUY 3 Units @48.4000						
01/16/2018	***-**-195P - BAUMANN, TERESA	THE NEW ECONOMY FUND-A	155.22	4.27	0.00	4.27	0.5	0.02
	ACCOUNT #: 00063922933	BUY 3 Units @48.4000						
01/16/2018	***-**-515P - BAUMANN, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	169.00	4.65	0.00	4.65	0.5	0.02
	ACCOUNT #: 00063922931	BUY 3 Units @49.0200						
01/16/2018	***-**-195P - BAUMANN, TERESA	WASHINGTON MUTUAL INVESTORS FUND-A	168.16	4.62	0.00	4.62	0.5	0.02
	ACCOUNT #: 00063922933	BUY 3 Units @49.0200						
		<b>* BERT GOLDBERG SUBTOTAL *</b>	<b>\$3,089.25</b>	<b>\$634.15</b>	<b>\$0.00</b>	<b>\$634.15</b>		<b>\$3.16</b>
<b><u> BROKER: LINDA BLACK </u></b>								
01/22/2018	***-**-231S - CANTATORE,	1919 FINANCIAL NFS	0.00	1.19	0.00	1.19	0.5	0.01
	ACCOUNT #: LSR006823	BUY 1 Units @0.0000						
01/22/2018	***-**-634S - SIKORA, MICHAEL	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	1.24	0.00	1.24	0.5	0.01
	ACCOUNT #: LSR011336	BUY 1 Units @0.0000						
01/22/2018	***-**-111R - HODGE, MERRY	ALLIANCEBERNSTEIN WEALTH APPREC STR	0.00	2.18	0.00	2.18	0.5	0.01
	ACCOUNT #: LSR011774	BUY 1 Units @0.0000						
01/22/2018	***-**-728I - SCHUSTER, SUSAN	AMCAP FUND-A	0.00	3.54	0.00	3.54	0.5	0.02
	ACCOUNT #: LSR008133	BUY 1 Units @0.0000						
01/22/2018	***-**-116I - GAVELEK, CYNTHIA	AMCAP FUND-A	0.00	1.45	0.00	1.45	0.5	0.01
	ACCOUNT #: LSR010199	BUY 1 Units @0.0000						
01/22/2018	***-**-80TR - DEHAVEN TRUST,	AMCAP FUND-A	0.00	1.27	0.00	1.27	0.5	0.01
	ACCOUNT #: LSR010490	BUY 1 Units @0.0000						
01/22/2018	***-**-499I - BLACKWELL,	AMCAP FUND-A	0.00	2.55	0.00	2.55	0.5	0.01
	ACCOUNT #: LSR011765	BUY 1 Units @0.0000						
01/22/2018	***-**-829I - PRESTIDGE,	AMERICAN BALANCED FUND-A	0.00	10.79	0.00	10.79	0.5	0.05
	ACCOUNT #: LSR005118	BUY 1 Units @0.0000						
01/22/2018	***-**-728I - SCHUSTER, SUSAN	AMERICAN BALANCED FUND-A	0.00	2.27	0.00	2.27	0.5	0.01
	ACCOUNT #: LSR008133	BUY 1 Units @0.0000						
01/16/2018	***-**-285P - SCHUSTER, SUSAN	AMERICAN FUNDS 02630R732	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 00085847526	BUY 3 Units @19.7000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: LINDA BLACK (continued) </u></b>								
01/16/2018	***-**-285P - SCHUSTER, SUSAN	AMERICAN FUNDS 02630R732	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 00085847539	BUY 3 Units @19.7000						
01/22/2018	***-**-465I - STEWART, ANNE	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	4.79	0.00	4.79	0.5	0.02
	ACCOUNT #: LSR011992	BUY 1 Units @0.0000						
01/22/2018	***-**-430I - STEWART, DAVID	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	2.89	0.00	2.89	0.5	0.01
	ACCOUNT #: LSR012056	BUY 1 Units @0.0000						
01/22/2018	***-**-829I - PRESTIDGE,	AMERICAN HIGH-INCOME TRUST-A	0.00	4.95	0.00	4.95	0.5	0.02
	ACCOUNT #: LSR005118	BUY 1 Units @0.0000						
01/22/2018	***-**-231S - CANTATORE,	AMERICAN HIGH-INCOME TRUST-A	0.00	3.05	0.00	3.05	0.5	0.02
	ACCOUNT #: LSR006823	BUY 1 Units @0.0000						
01/22/2018	***-**-650J - LONGERBEAM,	AMERICAN INTERNATL GROWTH & INCOME	0.00	2.26	0.00	2.26	0.5	0.01
	ACCOUNT #: LSR011736	BUY 1 Units @0.0000						
01/22/2018	***-**-275I - TARKINGTON,	AMERICAN MUTUAL FUND-A	0.00	1.61	0.00	1.61	0.5	0.01
	ACCOUNT #: LSR004359	BUY 1 Units @0.0000						
01/22/2018	***-**-829I - PRESTIDGE,	AMERICAN MUTUAL FUND-A	0.00	13.03	0.00	13.03	0.5	0.07
	ACCOUNT #: LSR005118	BUY 1 Units @0.0000						
01/22/2018	***-**-499I - BLACKWELL,	AMERICAN MUTUAL FUND-A	0.00	2.46	0.00	2.46	0.5	0.01
	ACCOUNT #: LSR011765	BUY 1 Units @0.0000						
01/16/2018	***-**-231S - CANTATORE,	CALVERT GLOBAL WATER FUND CLASS A	0.00	2.03	0.00	2.03	0.5	0.01
	ACCOUNT #: LSR006823	BUY 1 Units @0.0000						
01/16/2018	***-**-37RI - BERRY, BRENDA	CALVERT MODERATE ALLOCATION FUND-A	0.00	2.87	0.00	2.87	0.5	0.01
	ACCOUNT #: LSR003379	BUY 1 Units @0.0000						
01/16/2018	***-**-231S - CANTATORE,	CALVERT SOCIAL INDEX A	0.00	1.67	0.00	1.67	0.5	0.01
	ACCOUNT #: LSR006823	BUY 1 Units @0.0000						
01/16/2018	***-**-371I - DEAHL, JOHN	CALVERT SOCIAL INVESTMENT FD EQUITY	0.00	2.10	0.00	2.10	0.5	0.01
	ACCOUNT #: LSR010086	BUY 1 Units @0.0000						
01/16/2018	***-**-116I - GAVELEK, CYNTHIA	CALVERT SOCIAL INVESTMENT FD EQUITY	0.00	1.10	0.00	1.10	0.5	0.01
	ACCOUNT #: LSR010199	BUY 1 Units @0.0000						
01/16/2018	***-**-465I - STEWART, ANNE	CALVERT SOCIAL INVESTMENT FD EQUITY	0.00	7.04	0.00	7.04	0.5	0.04
	ACCOUNT #: LSR011992	BUY 1 Units @0.0000						
01/16/2018	***-**-430I - STEWART, DAVID	CALVERT SOCIAL INVESTMENT FD EQUITY	0.00	7.99	0.00	7.99	0.5	0.04
	ACCOUNT #: LSR012056	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: LINDA BLACK (continued)</u></b>								
01/16/2018	***-**-99IR - TARKINGTON, ACCOUNT #: LSR108162	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 1 Units @0.0000	0.00	1.91	0.00	1.91	0.5	0.01
01/22/2018	***-**-829I - PRESTIDGE, ACCOUNT #: LSR005118	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	12.03	0.00	12.03	0.5	0.06
01/22/2018	***-**-728I - SCHUSTER, SUSAN ACCOUNT #: LSR008133	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.30	0.00	2.30	0.5	0.01
01/22/2018	***-**-35IR - LONGERBEAM, ACCOUNT #: LSR011735	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.69	0.00	2.69	0.5	0.01
01/22/2018	***-**-829I - PRESTIDGE, ACCOUNT #: LSR005118	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	18.92	0.00	18.92	0.5	0.09
01/22/2018	***-**-728I - SCHUSTER, SUSAN ACCOUNT #: LSR008133	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	2.37	0.00	2.37	0.5	0.01
01/22/2018	***-**-977I - TURRELL, DAVID ACCOUNT #: LSR010951	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	7.25	0.00	7.25	0.5	0.04
01/22/2018	***-**-435I - LONGERBEAM, ACCOUNT #: LSR011766	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	3.78	0.00	3.78	0.5	0.02
01/16/2018	***-**-18IN - ANSARI, OFELIA ACCOUNT #: LSR011581	COLUMBIA DIVIDEND INCOME FUND-A BUY 1 Units @0.0000	0.00	1.24	0.00	1.24	0.5	0.01
01/16/2018	***-**-20IN - GAVIN, ANNE ACCOUNT #: LSR011851	DELAWARE VALUE FD CL C BUY 1 Units @0.0000	0.00	9.05	0.00	9.05	0.5	0.05
01/22/2018	***-**-6345 - SIKORA, MICHAEL ACCOUNT #: LSR011336	EATON VANCE BALANCED CLASS A BUY 1 Units @0.0000	0.00	1.09	0.00	1.09	0.5	0.01
01/22/2018	***-**-6345 - SIKORA, MICHAEL ACCOUNT #: LSR011336	EATON VANCE WORLDWIDE HEALTH SCIENC BUY 1 Units @0.0000	0.00	1.34	0.00	1.34	0.5	0.01
01/22/2018	***-**-231S - CANTATORE, ACCOUNT #: LSR006823	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	1.33	0.00	1.33	0.5	0.01
01/22/2018	***-**-116I - GAVELEK, CYNTHIA ACCOUNT #: LSR010199	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	1.25	0.00	1.25	0.5	0.01
01/22/2018	***-**-80TR - DEHAVEN TRUST, ACCOUNT #: LSR010490	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	0.5	0.01
01/22/2018	***-**-291I - ARTHUR, KENNETH ACCOUNT #: LSR011407	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	1.25	0.00	1.25	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: LINDA BLACK (continued)</u></b>								
01/22/2018	***-**-20IN - GAVIN, ANNE ACCOUNT #: LSR011851	EV TABS INTERMEDIATE TERM MUNI BOND BUY 1 Units @0.0000	0.00	2.51	0.00	2.51	0.5	0.01
01/22/2018	***-**-829I - PRESTIDGE, ACCOUNT #: LSR005118	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	12.59	0.00	12.59	0.5	0.06
01/22/2018	***-**-231S - CANTATORE, ACCOUNT #: LSR006823	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	7.10	0.00	7.10	0.5	0.04
01/18/2018	***-**-16FB - GAVELEK, CYNTHIA ACCOUNT #: 3182665	GPS ACCUMULATION, PROFILE 4, MODERA BUY 0 Units @224.4867	22,448.67	55.34	0.00	55.34	0.5	0.28
01/18/2018	***-**-89FB - CONNORS, KAREN ACCOUNT #: 3283191	GPS ACCUMULATION, PROFILE 5, GROWTH BUY 0 Units @703.4670	70,346.70	173.40	0.00	173.40	0.5	0.87
01/18/2018	***-**-17FB - VIRTIS, PATRICIA ACCOUNT #: 3134480	GPS FOCUSED CORE MARKETS, P2 BUY 0 Units @447.3583	44,735.83	110.27	0.00	110.27	0.5	0.55
01/18/2018	***-**-65FB - BOUCHARD, DEBRA ACCOUNT #: 3206709	GPS SELECT DISTRIBUTION, PROFILE 3, BUY 0 Units @1557.3955	155,739.55	383.90	0.00	383.90	0.5	1.92
01/18/2018	***-**-11FB - BOUCHARD, STEVEN ACCOUNT #: 3169216	GPS SELECT DISTRIBUTION, PROFILE 3, BUY 0 Units @2236.4536	223,645.36	551.29	0.00	551.29	0.5	2.76
01/22/2018	***-**-17IO - PLUNKETT, SUSAN ACCOUNT #: LSR011064	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	6.53	0.00	6.53	0.5	0.03
01/22/2018	***-**-17IO - PLUNKETT, SUSAN ACCOUNT #: LSR011064	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	2.18	0.00	2.18	0.5	0.01
01/22/2018	***-**-717X - VIRTIS, PATRICIA ACCOUNT #: LSR010310	HARTFORD BALANCED INCOME FD CL A BUY 1 Units @0.0000	0.00	3.35	0.00	3.35	0.5	0.02
01/22/2018	***-**-829X - PRESTIGE, BRENDA ACCOUNT #: LSR010314	HARTFORD BALANCED INCOME FD CL A BUY 1 Units @0.0000	0.00	2.89	0.00	2.89	0.5	0.01
01/22/2018	***-**-29IN - PRESTIDGE, ACCOUNT #: LSR010317	HARTFORD BALANCED INCOME FD CL A BUY 1 Units @0.0000	0.00	1.23	0.00	1.23	0.5	0.01
01/22/2018	***-**-20IN - GAVIN, ANNE ACCOUNT #: LSR011851	HARTFORD GROWTH OPPORTUNITY CLASS C BUY 1 Units @0.0000	0.00	8.62	0.00	8.62	0.5	0.04
01/22/2018	***-**-5440 - RICHARDS, LUCY ACCOUNT #: LSR010419	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	24.00	0.00	24.00	0.5	0.12
01/22/2018	***-**-332I - GALLUCCI, NANCY ACCOUNT #: LSR011409	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	5.75	0.00	5.75	0.5	0.03



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: LINDA BLACK (continued)</u></b>								
01/22/2018	***-**-464I - GALLUCCI, ACCOUNT #: LSR011411	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	14.37	0.00	14.37	0.5	0.07
01/16/2018	***-**-20IN - GAVIN, ANNE ACCOUNT #: LSR011851	INVESCO EQUALLY WEIGHTED S&P 500 C BUY 1 Units @0.0000	0.00	10.54	0.00	10.54	0.5	0.05
01/01/2018	***-**-354I - CALLAGY, LINDA ACCOUNT #: 01001263728	INVESCO SUMMIT FUND - CLASS A BUY 0 Units @0.0000	0.00	3.34	0.00	3.34	0.5	0.02
01/02/2018	***-**-354I - CALLAGY, LINDA ACCOUNT #: 01001263728	INVESCO SUMMIT FUND - CLASS A BUY 5 Units @21.1000	100.00	5.00	0.00	5.00	0.5	0.03
01/01/2018	***-**-354I - CALLAGY, LINDA ACCOUNT #: 01001263728	INVESCO SUMMIT FUND - CLASS P BUY 0 Units @0.0000	0.00	3.12	0.00	3.12	0.5	0.02
01/16/2018	***-**-426I - CROWE, MICHAEL ACCOUNT #: LSR007820	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	5.28	0.00	5.28	0.5	0.03
01/16/2018	***-**-31IN - CANTATORE, ACCOUNT #: LSR006831	JOHN HANCOCK CLASSIC VALUE FUND CLA BUY 1 Units @0.0000	0.00	2.38	0.00	2.38	0.5	0.01
01/16/2018	***-**-31IN - CANTATORE, ACCOUNT #: LSR006831	JOHN HANCOCK DISCIPL INED VALUE MID BUY 1 Units @0.0000	0.00	4.61	0.00	4.61	0.5	0.02
01/16/2018	***-**-31IN - CANTATORE, ACCOUNT #: LSR006831	JOHN HANCOCK ENDURING EQUITIES A BUY 1 Units @0.0000	0.00	1.87	0.00	1.87	0.5	0.01
01/16/2018	***-**-99IR - TARKINGTON, ACCOUNT #: LSR108162	JOHN HANCOCK FLOATING RATE INC C BUY 1 Units @0.0000	0.00	7.48	0.00	7.48	0.5	0.04
01/16/2018	***-**-31IN - CANTATORE, ACCOUNT #: LSR006831	JOHN HANCOCK US EQUITY CL A BUY 1 Units @0.0000	0.00	3.76	0.00	3.76	0.5	0.02
01/16/2018	***-**-31IN - CANTATORE, ACCOUNT #: LSR006831	LARGE CAP EQUITY A BUY 1 Units @0.0000	0.00	2.27	0.00	2.27	0.5	0.01
01/22/2018	***-**-62IN - DEHAVEN, JUSTIN ACCOUNT #: LSR010416	LEGG MASON VALUE TRUST CL C BUY 1 Units @0.0000	0.00	3.28	0.00	3.28	0.5	0.02
01/16/2018	***-**-385I - DEHAVEN, JOSEPH ACCOUNT #: LSR008524	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	2.66	0.00	2.66	0.5	0.01
01/16/2018	***-**-541I - ROTH, LENORA ACCOUNT #: LSR010077	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	5.42	0.00	5.42	0.5	0.03
01/16/2018	***-**-19IR - SCHUSTER, ACCOUNT #: LSR008362	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	1.26	0.00	1.26	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: LINDA BLACK (continued) </u></b>								
01/19/2018	***-**-334I - ESKEW, CAROLYN	LIVEWELL MUTUAL FUND	0.00	27.91	0.00	27.91	0.5	0.14
	ACCOUNT #: 7200023141	BUY 0 Units @0.0000						
01/22/2018	***-**-291I - ARTHUR, KENNETH	MFS GROWTH PORT CLASS C	0.00	5.68	0.00	5.68	0.5	0.03
	ACCOUNT #: LSR011407	BUY 1 Units @0.0000						
01/22/2018	***-**-332I - GALLUCCI, NANCY	MFS GROWTH PORT CLASS C	0.00	5.58	0.00	5.58	0.5	0.03
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
01/22/2018	***-**-464I - GALLUCCI,	MFS GROWTH PORT CLASS C	0.00	11.17	0.00	11.17	0.5	0.06
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						
01/22/2018	***-**-332I - GALLUCCI, NANCY	MFS BLENDED RESEARCH SM CAP EQ FUND	0.00	6.55	0.00	6.55	0.5	0.03
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
01/22/2018	***-**-464I - GALLUCCI,	MFS BLENDED RESEARCH SM CAP EQ FUND	0.00	6.55	0.00	6.55	0.5	0.03
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						
01/22/2018	***-**-332I - GALLUCCI, NANCY	MFS LOW VOLATILITY GLOBAL EQ FD CL	0.00	4.73	0.00	4.73	0.5	0.02
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
01/22/2018	***-**-464I - GALLUCCI,	MFS LOW VOLATILITY GLOBAL EQ FD CL	0.00	7.56	0.00	7.56	0.5	0.04
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						
01/22/2018	***-**-291I - ARTHUR, KENNETH	MFS MID CAP VALUE CLASS C	0.00	5.22	0.00	5.22	0.5	0.03
	ACCOUNT #: LSR011407	BUY 1 Units @0.0000						
01/22/2018	***-**-332I - GALLUCCI, NANCY	MFS MID CAP VALUE CLASS C	0.00	5.14	0.00	5.14	0.5	0.03
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
01/22/2018	***-**-464I - GALLUCCI,	MFS MID CAP VALUE CLASS C	0.00	5.14	0.00	5.14	0.5	0.03
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						
01/22/2018	***-**-291I - ARTHUR, KENNETH	MFS NEW DISCOVERY CLASS C	0.00	5.93	0.00	5.93	0.5	0.03
	ACCOUNT #: LSR011407	BUY 1 Units @0.0000						
01/22/2018	***-**-332I - GALLUCCI, NANCY	MFS NEW DISCOVERY CLASS C	0.00	5.80	0.00	5.80	0.5	0.03
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
01/22/2018	***-**-464I - GALLUCCI,	MFS NEW DISCOVERY CLASS C	0.00	11.60	0.00	11.60	0.5	0.06
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						
01/22/2018	***-**-291I - ARTHUR, KENNETH	MFS VALUE FUND-C	0.00	5.36	0.00	5.36	0.5	0.03
	ACCOUNT #: LSR011407	BUY 1 Units @0.0000						
01/22/2018	***-**-332I - GALLUCCI, NANCY	MFS VALUE FUND-C	0.00	13.83	0.00	13.83	0.5	0.07
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: LINDA BLACK (continued)</u></b>								
01/22/2018	***-**-464I - GALLUCCI, ACCOUNT #: LSR011411	MFS VALUE FUND-C BUY 1 Units @0.0000	0.00	26.59	0.00	26.59	0.5	0.13
01/22/2018	***-**-62IN - DEHAVEN, JUSTIN ACCOUNT #: LSR010416	MILLER OPPORTUNITY-NFS BUY 1 Units @0.0000	0.00	2.84	0.00	2.84	0.5	0.01
01/08/2018	***-**-337J - SHELL, DAVID	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	236.57	0.00	236.57	0.5	1.18
01/18/2018	***-**-99FB - TARKINGTON,	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	-84.84	0.00	-84.84	0.5	-0.42
01/18/2018	***-**-33FB - BONNER, RACHEL	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	330.38	0.00	330.38	0.5	1.65
01/18/2018	***-**-99FB - TARKINGTON,	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	296.51	0.00	296.51	0.5	1.48
01/18/2018	***-**-25IN - MONTGOMERY,	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	258.20	0.00	258.20	0.5	1.29
01/18/2018	***-**-31FB - CANTATORE,	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	218.18	0.00	218.18	0.5	1.09
01/18/2018	***-**-03FB - THOMAS, DYAN	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	290.90	0.00	290.90	0.5	1.45
01/18/2018	***-**-71IN - DEAHL, JOHN	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	253.39	0.00	253.39	0.5	1.27
01/18/2018	***-**-35FB - LONGERBEAM,	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	942.42	0.00	942.42	0.5	4.71
01/18/2018	***-**-31FB - CANTATORE,	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	271.70	0.00	271.70	0.5	1.36
01/16/2018	***-**-20IN - GAVIN, ANNE ACCOUNT #: LSR011851	NATIXIS OAKMARK INTERNATIONAL CL C BUY 1 Units @0.0000	0.00	17.86	0.00	17.86	0.5	0.09
01/22/2018	***-**-544I - RICHARDS, FBO ACCOUNT #: LSR010418	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	34.75	0.00	34.75	0.5	0.17
01/22/2018	***-**-544O - RICHARDS, LUCY ACCOUNT #: LSR010419	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	1.23	0.00	1.23	0.5	0.01
01/22/2018	***-**-231S - CANTATORE, ACCOUNT #: LSR006823	NEW WORLD FUND-A BUY 1 Units @0.0000	0.00	2.98	0.00	2.98	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: LINDA BLACK (continued)</u></b>								
01/16/2018	***-**-99IR - TARKINGTON, ACCOUNT #: LSR108162	NUVEEN GLOBAL INFRASTRUCTURE CL A BUY 1 Units @0.0000	0.00	4.04	0.00	4.04	0.5	0.02
01/16/2018	***-**-291I - ARTHUR, KENNETH ACCOUNT #: LSR011407	NUVEEN LARGE - CAP VALUE FUND CLASS BUY 1 Units @0.0000	0.00	5.68	0.00	5.68	0.5	0.03
01/16/2018	***-**-332I - GALLUCCI, NANCY ACCOUNT #: LSR011409	NUVEEN LARGE - CAP VALUE FUND CLASS BUY 1 Units @0.0000	0.00	13.26	0.00	13.26	0.5	0.07
01/16/2018	***-**-464I - GALLUCCI, ACCOUNT #: LSR011411	NUVEEN LARGE - CAP VALUE FUND CLASS BUY 1 Units @0.0000	0.00	27.63	0.00	27.63	0.5	0.14
01/16/2018	***-**-291I - ARTHUR, KENNETH ACCOUNT #: LSR011407	NUVEEN LARGE CAP CORE FUND CL C BUY 1 Units @0.0000	0.00	5.66	0.00	5.66	0.5	0.03
01/16/2018	***-**-332I - GALLUCCI, NANCY ACCOUNT #: LSR011409	NUVEEN LARGE CAP CORE FUND CL C BUY 1 Units @0.0000	0.00	5.46	0.00	5.46	0.5	0.03
01/16/2018	***-**-464I - GALLUCCI, ACCOUNT #: LSR011411	NUVEEN LARGE CAP CORE FUND CL C BUY 1 Units @0.0000	0.00	10.91	0.00	10.91	0.5	0.05
01/16/2018	***-**-291I - ARTHUR, KENNETH ACCOUNT #: LSR011407	NUVEEN LARGE CAP GROWTH FD CL C BUY 1 Units @0.0000	0.00	8.00	0.00	8.00	0.5	0.04
01/16/2018	***-**-332I - GALLUCCI, NANCY ACCOUNT #: LSR011409	NUVEEN LARGE CAP GROWTH FD CL C BUY 1 Units @0.0000	0.00	5.56	0.00	5.56	0.5	0.03
01/16/2018	***-**-464I - GALLUCCI, ACCOUNT #: LSR011411	NUVEEN LARGE CAP GROWTH FD CL C BUY 1 Units @0.0000	0.00	11.12	0.00	11.12	0.5	0.06
01/19/2018	***-**-79IN - JAUMANN, ERWIN ACCOUNT #: VR04030934	PACIFIC LIFE VALUE BUY 0 Units @0.0000	0.00	9.34	0.00	9.34	0.5	0.05
01/16/2018	***-**-4967 - SWEARINGEN, ACCOUNT #: LSR011637	PIONEER CULLEN VALUE FUND CLASS A BUY 1 Units @0.0000	0.00	2.37	0.00	2.37	0.5	0.01
01/16/2018	***-**-4967 - SWEARINGEN, ACCOUNT #: LSR011637	PIONEER FUNDAMENTAL GROWTH FD CL A BUY 1 Units @0.0000	0.00	2.64	0.00	2.64	0.5	0.01
01/16/2018	***-**-4967 - SWEARINGEN, ACCOUNT #: LSR011637	PIONEER GLOBAL EQUITY FUND A BUY 1 Units @0.0000	0.00	3.40	0.00	3.40	0.5	0.02
01/22/2018	***-**-18IN - ANSARI, OFELIA ACCOUNT #: 020031687	RESOURCE REAL ESTATE TRAILS BUY 0 Units @0.0000	0.00	4.48	0.00	4.48	0.5	0.02
01/22/2018	***-**-25FB - MONTGOMERY, ACCOUNT #: LSR011514	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	9.65	0.00	9.65	0.5	0.05



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: LINDA BLACK (continued)</u></b>								
01/22/2018	***-**-25FB - MONTGOMERY, ACCOUNT #: LSR011514	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	3.22	0.00	3.22	0.5	0.02
01/22/2018	***-**-65IR - STEWART, ANNE ACCOUNT #: LSR011991	S A M STRAT GRO PORT (C) BUY 1 Units @0.0000	0.00	7.08	0.00	7.08	0.5	0.04
01/22/2018	***-**-30IR - STEWART, DAVID ACCOUNT #: LSR012057	S A M STRAT GRO PORT (C) BUY 1 Units @0.0000	0.00	7.21	0.00	7.21	0.5	0.04
01/22/2018	***-**-80TR - DEHAVEN TRUST, ACCOUNT #: LSR010490	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	1.25	0.00	1.25	0.5	0.01
01/22/2018	***-**-499I - BLACKWELL, ACCOUNT #: LSR011765	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	1.34	0.00	1.34	0.5	0.01
01/18/2018	***-**-36FB - HALLORAN, ACCOUNT #: 0194934	SSGA, PROFILE 4, MODERATE GROWTH BUY 0 Units @3146.8265	314,682.65	775.69	0.00	775.69	0.5	3.88
01/18/2018	***-**-36FB - HALLORAN, ACCOUNT #: 3301244	SAVOS GMS HIGH DIVIDEND, PROFILE 3, BUY 0 Units @1271.2667	127,126.67	313.37	0.00	313.37	0.5	1.57
01/18/2018	***-**-36FB - HALLORAN, ACCOUNT #: 0194935	SAVOS PRESERVATION STRATEGY BUY 0 Units @564.6143	56,461.43	139.18	0.00	139.18	0.5	0.70
01/22/2018	***-**-829I - PRESTIDGE, ACCOUNT #: LSR005118	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	3.82	0.00	3.82	0.5	0.02
01/16/2018	***-**-29IR - PHILLIPS, ACCOUNT #: LSR010507	THE GROWTH FUND OF AMERICA-A BUY 2 Units @55.1100	96.99	1.85	0.00	1.85	0.5	0.01
01/22/2018	***-**-231S - CANTATORE, ACCOUNT #: LSR006823	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	7.22	0.00	7.22	0.5	0.04
01/22/2018	***-**-29IR - PHILLIPS, ACCOUNT #: LSR010507	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.99	0.00	1.99	0.5	0.01
01/22/2018	***-**-977I - TURRELL, DAVID ACCOUNT #: LSR010951	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	6.84	0.00	6.84	0.5	0.03
01/22/2018	***-**-291I - ARTHUR, KENNETH ACCOUNT #: LSR011407	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	7.83	0.00	7.83	0.5	0.04
01/22/2018	***-**-499I - BLACKWELL, ACCOUNT #: LSR011765	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.97	0.00	2.97	0.5	0.01
01/22/2018	***-**-362K - SIKORA, AMY ACCOUNT #: LSR011287	THE HARTFORD DIVIDEND & GROWTH FUND BUY 1 Units @0.0000	0.00	2.14	0.00	2.14	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: LINDA BLACK (continued)</u></b>								
01/22/2018	***-**-829I - PRESTIDGE, ACCOUNT #: LSR005118	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	9.41	0.00	9.41	0.5	0.05
01/22/2018	***-**-977I - TURRELL, DAVID ACCOUNT #: LSR010951	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	6.45	0.00	6.45	0.5	0.03
01/22/2018	***-**-717X - VIRTIS, PATRICIA ACCOUNT #: LSR010310	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	3.01	0.00	3.01	0.5	0.02
01/22/2018	***-**-829X - PRESTIGE, BRENDA ACCOUNT #: LSR010314	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	3.01	0.00	3.01	0.5	0.02
01/22/2018	***-**-977I - TURRELL, DAVID ACCOUNT #: LSR010951	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	8.81	0.00	8.81	0.5	0.04
01/22/2018	***-**-291I - ARTHUR, KENNETH ACCOUNT #: LSR011407	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	7.04	0.00	7.04	0.5	0.04
01/22/2018	***-**-499I - BLACKWELL, ACCOUNT #: LSR011765	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	3.64	0.00	3.64	0.5	0.02
01/22/2018	***-**-231S - CANTATORE, ACCOUNT #: LSR006823	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	5.51	0.00	5.51	0.5	0.03
01/22/2018	***-**-728I - SCHUSTER, SUSAN ACCOUNT #: LSR008133	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	1.22	0.00	1.22	0.5	0.01
01/22/2018	***-**-634S - SIKORA, MICHAEL ACCOUNT #: LSR011336	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	1.26	0.00	1.26	0.5	0.01
01/16/2018	***-**-20IN - GAVIN, ANNE ACCOUNT #: LSR011851	THORNBURG INTL GROWTH CL C BUY 1 Units @0.0000	0.00	6.11	0.00	6.11	0.5	0.03
01/22/2018	***-**-231S - CANTATORE, ACCOUNT #: LSR006823	U.S. GOVERNMENT SECURITIES FUND CLA BUY 1 Units @0.0000	0.00	1.09	0.00	1.09	0.5	0.01
01/16/2018	***-**-31IN - CANTATORE, ACCOUNT #: LSR006831	US GLOBAL LEADERS GROWTH A BUY 1 Units @0.0000	0.00	4.45	0.00	4.45	0.5	0.02
01/16/2018	***-**-362K - SIKORA, AMY ACCOUNT #: LSR011287	US GLOBAL LEADERS GROWTH A BUY 1 Units @0.0000	0.00	1.51	0.00	1.51	0.5	0.01
01/22/2018	***-**-99IR - TARKINGTON, ACCOUNT #: LSR108162	VIRTUS GLOBAL INFRASTRUCTURE CL A BUY 1 Units @0.0000	0.00	3.79	0.00	3.79	0.5	0.02
01/22/2018	***-**-499I - BLACKWELL, ACCOUNT #: LSR011765	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	2.80	0.00	2.80	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: LINDA BLACK (continued)</u></b>								
01/16/2018	***-**-275I - TARKINGTON, ACCOUNT #: LSR004359	WELLS FARGO COMMON STOCK FD CLASS A BUY 1 Units @0.0000	0.00	3.50	0.00	3.50	0.5	0.02
		<b>* LINDA BLACK SUBTOTAL *</b>	<b>\$1,015,483.85</b>	<b>\$6,304.69</b>	<b>\$0.00</b>	<b>\$6,304.69</b>		<b>\$31.65</b>
<b><u>BROKER: SARA BERKOWICZ</u></b>								
01/22/2018	***-**-981O - STARR, SHELDON ACCOUNT #: LSR012105	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	10.03	0.00	10.03	0.5	0.05
01/22/2018	***-**-981O - STARR, SHELDON ACCOUNT #: LSR012105	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	10.16	0.00	10.16	0.5	0.05
01/18/2018	***-**-49FB - BEEM, TERESA	ASSETMARK QRTL PLATFORM MAINTENANC BUY 1 Units @0.0000	0.00	-125.00	0.00	-125.00	0.5	-0.63
01/16/2018	***-**-1202 - MCCORMACK, JAMI ACCOUNT #: LSR105988	DAVIS FINANCIAL CLASS A BUY 1 Units @0.0000	0.00	25.75	0.00	25.75	0.5	0.13
01/18/2018	***-**-49FB - BEEM, TERESA ACCOUNT #: 3137498	GPS ACCUMULATION, PROFILE 3, MODERA BUY 0 Units @3356.8748	335,687.48	1,209.52	0.00	1,209.52	0.5	6.05
01/16/2018	***-**-1202 - MCCORMACK, JAMI ACCOUNT #: LSR105988	INVESCO VAN KAMPEN MID CAP GROWTH A BUY 1 Units @0.0000	0.00	1.25	0.00	1.25	0.5	0.01
01/16/2018	***-**-369I - BROWN, FBO ACCOUNT #: LSR010589	IVY ASSET STRATEGY FUND CLASS A BUY 1 Units @0.0000	0.00	4.85	0.00	4.85	0.5	0.02
01/22/2018	***-**-00I2 - BEUTLICH, FBO ACCOUNT #: LSR003700	LISTED PRIVATE EQUITY CLASS A BUY 1 Units @0.0000	0.00	7.25	0.00	7.25	0.5	0.04
		<b>* SARA BERKOWICZ SUBTOTAL *</b>	<b>\$335,687.48</b>	<b>\$1,143.81</b>	<b>\$0.00</b>	<b>\$1,143.81</b>		<b>\$5.72</b>
<b><u>BROKER: MICHAEL MINTER</u></b>								
01/22/2018	***-**-005J - ANDREWS, BEVERLY ACCOUNT #: LSR004430	AMERICAN HIGH INCOME CLASS F1 BUY 1 Units @0.0000	0.00	1.55	0.00	1.55	0.5	0.01
01/22/2018	***-**-005J - ANDREWS, BEVERLY ACCOUNT #: LSR004430	AMERICAN HIGH-INCOME MUNI CLASS F1 BUY 1 Units @0.0000	0.00	1.24	0.00	1.24	0.5	0.01
01/22/2018	***-**-26IO - MINTER, MICHAEL ACCOUNT #: LSF000283	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	6.12	0.00	6.12	0.5	0.03
01/22/2018	***-**-30IN - GEORGE, PAMELA ACCOUNT #: LSR004340	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	1.35	0.00	1.35	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: MICHAEL MINTER (continued) </u></b>								
01/22/2018	***-**-477J - MARKS, WILLIAM	GRIFFIN INST ACCESS REALESTATE FD C	0.00	5.07	0.00	5.07	0.5	0.03
	ACCOUNT #: LSR004642	BUY 1 Units @0.0000						
01/22/2018	***-**-84TR - LOSKORN TTEE,	GRIFFIN INST ACCESS REALESTATE FD C	0.00	8.09	0.00	8.09	0.5	0.04
	ACCOUNT #: LSR005975	BUY 1 Units @0.0000						
01/22/2018	***-**-642O - DUSCHEK, RUTH	GRIFFIN INST ACCESS REALESTATE FD C	0.00	21.75	0.00	21.75	0.5	0.11
	ACCOUNT #: LSR011633	BUY 1 Units @0.0000						
01/22/2018	***-**-30IO - LEADER, KEVIN	GRIFFIN INST ACCESS REALESTATE FD C	0.00	10.28	0.00	10.28	0.5	0.05
	ACCOUNT #: LSR011689	BUY 1 Units @0.0000						
01/22/2018	***-**-33TR - FUNNEL TRUST,	GRIFFIN INST ACCESS REALESTATE FD C	0.00	26.55	0.00	26.55	0.5	0.13
	ACCOUNT #: LSR011863	BUY 1 Units @0.0000						
01/22/2018	***-**-548O - FULFORD, ALICE	GRIFFIN INST ACCESS REALESTATE FD C	0.00	14.64	0.00	14.64	0.5	0.07
	ACCOUNT #: LSR011889	BUY 1 Units @0.0000						
01/22/2018	***-**-645O - MARTINO, DANIEL	GRIFFIN INST ACCESS REALESTATE FD C	0.00	9.56	0.00	9.56	0.5	0.05
	ACCOUNT #: LSR012017	BUY 1 Units @0.0000						
01/22/2018	***-**-62IO - ROBERSON, PAMELA	GRIFFIN INST ACCESS REALESTATE FD C	0.00	4.87	0.00	4.87	0.5	0.02
	ACCOUNT #: LSR012156	BUY 1 Units @0.0000						
01/22/2018	***-**-477J - MARKS, WILLIAM	GRIGGIN INSTL ACCESS CREDIT FND CL	0.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: LSR004642	BUY 1 Units @0.0000						
01/22/2018	***-**-84TR - LOSKORN TTEE,	GRIGGIN INSTL ACCESS CREDIT FND CL	0.00	6.13	0.00	6.13	0.5	0.03
	ACCOUNT #: LSR005975	BUY 1 Units @0.0000						
01/22/2018	***-**-30IO - LEADER, KEVIN	GRIGGIN INSTL ACCESS CREDIT FND CL	0.00	6.11	0.00	6.11	0.5	0.03
	ACCOUNT #: LSR011689	BUY 1 Units @0.0000						
01/22/2018	***-**-645I - MARTINO, DANIEL	GRIGGIN INSTL ACCESS CREDIT FND CL	0.00	7.80	0.00	7.80	0.5	0.04
	ACCOUNT #: LSR012016	BUY 1 Units @0.0000						
01/22/2018	***-**-62IO - ROBERSON, PAMELA	GRIGGIN INSTL ACCESS CREDIT FND CL	0.00	4.01	0.00	4.01	0.5	0.02
	ACCOUNT #: LSR012156	BUY 1 Units @0.0000						
01/22/2018	***-**-005J - ANDREWS, BEVERLY	GROWTH FUND OF AMERICA CLASS F	0.00	1.17	0.00	1.17	0.5	0.01
	ACCOUNT #: LSR004430	BUY 1 Units @0.0000						
01/17/2018	***-**-012O - GOLEMBIEWSKI,	JACKSON NATIONAL PERSPECTIVE II NY	200,000.00	10,000.00	0.00	10,000.00	0.5	50.00
	ACCOUNT #: 1201682543	BUY 0 Units @0.0000						
01/16/2018	***-**-39IN - ZEITLER JR, JOHN	LIFESTYLE AGGRESSIVE PORTFOLIO A	0.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: LSR004367	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: MICHAEL MINTER (continued) </u></b>								
01/16/2018	***-**-39IN - ZEITLER JR, JOHN	LIFESTYLE AGGRESSIVE PORTFOLIO A	200.00	5.50	0.00	5.50	0.5	0.03
	ACCOUNT #: LSR004367	BUY 11 Units @18.0800						
01/16/2018	***-**-26IN - DIBENEDETTO,	LIFESTYLE BALANCED PORTFOLIO C	0.00	25.09	0.00	25.09	0.5	0.13
	ACCOUNT #: LSR004448	BUY 1 Units @0.0000						
01/19/2018	***-**-15TI - HELBER, DEBORAH	LIVEWELL MUTUAL FUND	0.00	29.52	0.00	29.52	0.5	0.15
	ACCOUNT #: 7200020728	BUY 0 Units @0.0000						
01/19/2018	***-**-15TI - HELBER, DEBORAH	LIVEWELL MUTUAL FUND	0.00	53.90	0.00	53.90	0.5	0.27
	ACCOUNT #: 7200020728	BUY 0 Units @0.0000						
01/19/2018	***-**-15TI - HELBER, DEBORAH	LIVEWELL MUTUAL FUND	0.00	-29.52	0.00	-29.52	0.5	-0.15
	ACCOUNT #: 7200020728	BUY 0 Units @0.0000						
01/18/2018	***-**-26IN - MINTER, MICHAEL	PACIFIC LIFE SE5-NYB DTCC	150.43	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VP71062870	BUY 0 Units @0.0000						
01/18/2018	***-**-26IN - MINTER, MICHAEL	PACIFIC LIFE SE5-NYB DTCC	156.57	3.13	0.00	3.13	0.5	0.02
	ACCOUNT #: VP71062870	BUY 0 Units @0.0000						
01/19/2018	***-**-26IN - MINTER, MICHAEL	PACIFIC LIFE SE5-NYB DTCC	0.00	12.52	0.00	12.52	0.5	0.06
	ACCOUNT #: VP71062870	BUY 0 Units @0.0000						
01/22/2018	***-**-477J - MARKS, WILLIAM	THE GROWTH FUND OF AMERICA-A	0.00	10.78	0.00	10.78	0.5	0.05
	ACCOUNT #: LSR004642	BUY 1 Units @0.0000						
01/22/2018	***-**-78IN - ZEITLER, LAURA	THE INCOME FUND OF AMERICA-A	0.00	3.37	0.00	3.37	0.5	0.02
	ACCOUNT #: LSR005932	BUY 1 Units @0.0000						
01/22/2018	***-**-005J - ANDREWS, BEVERLY	THE INCOME FUND OF AMERICA-F1	0.00	2.23	0.00	2.23	0.5	0.01
	ACCOUNT #: LSR004430	BUY 1 Units @0.0000						
01/22/2018	***-**-78IN - ZEITLER, LAURA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	4.12	0.00	4.12	0.5	0.02
	ACCOUNT #: LSR005932	BUY 1 Units @0.0000						
<b> * MICHAEL MINTER SUBTOTAL * </b>			<b>\$200,507.00</b>	<b>\$10,263.00</b>	<b>\$0.00</b>	<b>\$10,263.00</b>		<b>\$51.34</b>
<b><u> BROKER: JOSEPH FERRY </u></b>								
01/16/2018	***-**-90IN - GAW, PATRICIA	AIM CHARTER FUND - CLASS A	0.00	3.17	0.00	3.17	0.5	0.02
	ACCOUNT #: LSR011025	BUY 1 Units @0.0000						
01/16/2018	***-**-985P - PAPST, MEREDITH	AMCAP FUND-A	150.00	4.13	0.00	4.13	0.5	0.02
	ACCOUNT #: 00067807144	BUY 4 Units @34.1100						
01/22/2018	***-**-968X - GAW, LELAND	AMCAP FUND-A	0.00	6.54	0.00	6.54	0.5	0.03
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: JOSEPH FERRY (continued) </u></b>								
01/22/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	AMCAP FUND-A BUY 1 Units @0.0000	0.00	2.99	0.00	2.99	0.5	0.01
01/22/2018	***-**-325X - WARD, JAMES ACCOUNT #: LSR010789	AMERICAN 2035 TARGET DATE RETIREMNT BUY 1 Units @0.0000	0.00	1.49	0.00	1.49	0.5	0.01
01/22/2018	***-**-007X - MONACO, CARMINE ACCOUNT #: LSR010800	AMERICAN 2035 TARGET DATE RETIREMNT BUY 1 Units @0.0000	0.00	22.15	0.00	22.15	0.5	0.11
01/22/2018	***-**-032X - MONACO, SARAH ACCOUNT #: LSR010801	AMERICAN 2035 TARGET DATE RETIREMNT BUY 1 Units @0.0000	0.00	18.40	0.00	18.40	0.5	0.09
01/08/2018	***-**-7973 - ROOSA, JOHN ACCOUNT #: 04000563712	AMERICAN BALANCED FUND-A BUY 2 Units @28.5400	46.39	1.28	0.00	1.28	0.5	0.01
01/08/2018	***-**-7973 - ROOSA, JOHN ACCOUNT #: 04000563712	AMERICAN BALANCED FUND-A BUY 5 Units @28.5400	154.64	4.25	0.00	4.25	0.5	0.02
01/09/2018	***-**-7973 - ROOSA, JOHN ACCOUNT #: 04000563712	AMERICAN BALANCED FUND-A BUY 5 Units @28.5200	154.64	4.25	0.00	4.25	0.5	0.02
01/09/2018	***-**-7973 - ROOSA, JOHN ACCOUNT #: 04000563712	AMERICAN BALANCED FUND-A BUY 2 Units @28.5200	46.39	1.28	0.00	1.28	0.5	0.01
01/12/2018	***-**-228I - FAULKNER, ACCOUNT #: 00081805988	AMERICAN BALANCED FUND-A BUY 11 Units @28.3100	298.08	4.77	0.00	4.77	0.5	0.02
01/12/2018	***-**-228I - FAULKNER, ACCOUNT #: 00081805988	AMERICAN BALANCED FUND-A BUY 11 Units @28.3100	298.08	4.77	0.00	4.77	0.5	0.02
01/12/2018	***-**-689I - FAULKNER, SANDRA ACCOUNT #: 00081806027	AMERICAN BALANCED FUND-A BUY 11 Units @28.3100	298.08	4.77	0.00	4.77	0.5	0.02
01/16/2018	***-**-0514 - SMITLEY, DONALD ACCOUNT #: 00089895362	AMERICAN BALANCED FUND-A BUY 5 Units @28.4200	129.81	2.60	0.00	2.60	0.5	0.01
01/16/2018	***-**-0514 - SMITLEY, DONALD ACCOUNT #: 00089895362	AMERICAN BALANCED FUND-A BUY 3 Units @28.4200	77.89	1.56	0.00	1.56	0.5	0.01
01/16/2018	***-**-7973 - ROOSA, JOHN ACCOUNT #: 04000563712	AMERICAN BALANCED FUND-A BUY 5 Units @28.7200	154.64	4.25	0.00	4.25	0.5	0.02
01/16/2018	***-**-7973 - ROOSA, JOHN ACCOUNT #: 04000563712	AMERICAN BALANCED FUND-A BUY 2 Units @28.7200	46.39	1.28	0.00	1.28	0.5	0.01
01/19/2018	***-**-228I - FAULKNER, ACCOUNT #: 00081805988	AMERICAN BALANCED FUND-A BUY 10 Units @28.4900	298.08	4.77	0.00	4.77	0.5	0.02





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JOSEPH FERRY (continued)</u></b>								
01/19/2018	***-**-228I - FAULKNER, ACCOUNT #: 00081805988	AMERICAN BALANCED FUND-A BUY 10 Units @28.4900	298.08	4.77	0.00	4.77	0.5	0.02
01/19/2018	***-**-689I - FAULKNER, SANDRA ACCOUNT #: 00081806027	AMERICAN BALANCED FUND-A BUY 10 Units @28.4900	298.08	4.77	0.00	4.77	0.5	0.02
01/16/2018	***-**-089I - RAFAEL, MANUEL ACCOUNT #: 00084671422	AMERICAN FUNDS 2015 TARGET DATE-A BUY 22 Units @12.0700	270.00	5.40	0.00	5.40	0.5	0.03
01/16/2018	***-**-089I - RAFAEL, MANUEL ACCOUNT #: 00084671422	AMERICAN FUNDS 2015 TARGET DATE-A BUY 11 Units @12.0700	135.00	2.70	0.00	2.70	0.5	0.01
01/16/2018	***-**-852I - ECKER, DAVID ACCOUNT #: 00084671526	AMERICAN FUNDS 2015 TARGET DATE-A BUY 96 Units @12.0700	1,153.84	23.08	0.00	23.08	0.5	0.12
01/16/2018	***-**-852I - ECKER, DAVID ACCOUNT #: 00084671526	AMERICAN FUNDS 2015 TARGET DATE-A BUY 29 Units @12.0700	346.16	6.92	0.00	6.92	0.5	0.03
01/16/2018	***-**-640I - SILVA, VICTOR ACCOUNT #: 00087410616	AMERICAN FUNDS 2020 TARGET DATE-A BUY 6 Units @13.1200	81.00	1.62	0.00	1.62	0.5	0.01
01/16/2018	***-**-3575 - LUCARELLI, DONNA ACCOUNT #: 00085997645	AMERICAN FUNDS 2025 TARGET DATE-A BUY 8 Units @14.1000	110.11	2.20	0.00	2.20	0.5	0.01
01/16/2018	***-**-3575 - LUCARELLI, DONNA ACCOUNT #: 00085997645	AMERICAN FUNDS 2025 TARGET DATE-A BUY 8 Units @14.1000	110.11	2.20	0.00	2.20	0.5	0.01
01/16/2018	***-**-323I - CAIZA, HILDA ACCOUNT #: 00085997891	AMERICAN FUNDS 2025 TARGET DATE-A BUY 10 Units @14.1000	142.83	2.86	0.00	2.86	0.5	0.01
01/16/2018	***-**-323I - CAIZA, HILDA ACCOUNT #: 00085997891	AMERICAN FUNDS 2025 TARGET DATE-A BUY 8 Units @14.1000	107.12	2.14	0.00	2.14	0.5	0.01
01/22/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	31.13	0.00	31.13	0.5	0.16
01/22/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	20.20	0.00	20.20	0.5	0.10
01/22/2018	***-**-558X - FAMIGLIETTI, ACCOUNT #: LSR010788	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	2.20	0.00	2.20	0.5	0.01
01/22/2018	***-**-990I - GAW, PATRICIA ACCOUNT #: LSR010840	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	16.71	0.00	16.71	0.5	0.08
01/22/2018	***-**-90IN - GAW, PATRICIA ACCOUNT #: LSR011025	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	14.64	0.00	14.64	0.5	0.07



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: JOSEPH FERRY (continued) </u></b>								
01/08/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	72.12	1.98	0.00	1.98	0.5	0.01
	ACCOUNT #: 04000563724	BUY 5 Units @15.7200						
01/08/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	43.27	1.19	0.00	1.19	0.5	0.01
	ACCOUNT #: 04000563724	BUY 3 Units @15.7200						
01/09/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	43.27	1.19	0.00	1.19	0.5	0.01
	ACCOUNT #: 04000563724	BUY 3 Units @15.7400						
01/09/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	72.12	1.98	0.00	1.98	0.5	0.01
	ACCOUNT #: 04000563724	BUY 5 Units @15.7400						
01/16/2018	***-**-111I - OJA, MARISSA	AMERICAN FUNDS 2035 TARGET DATE-A	89.33	1.79	0.00	1.79	0.5	0.01
	ACCOUNT #: 00087410497	BUY 6 Units @15.7100						
01/16/2018	***-**-111I - OJA, MARISSA	AMERICAN FUNDS 2035 TARGET DATE-A	89.33	1.79	0.00	1.79	0.5	0.01
	ACCOUNT #: 00087410497	BUY 6 Units @15.7100						
01/16/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	72.12	1.98	0.00	1.98	0.5	0.01
	ACCOUNT #: 04000563724	BUY 5 Units @15.8800						
01/16/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	43.27	1.19	0.00	1.19	0.5	0.01
	ACCOUNT #: 04000563724	BUY 3 Units @15.8800						
01/16/2018	***-**-2796 - ESTRELLA, JUDITH	AMERICAN FUNDS 2040 TARGET DATE-A	330.00	6.60	0.00	6.60	0.5	0.03
	ACCOUNT #: 00084671437	BUY 20 Units @16.1100						
01/16/2018	***-**-2796 - ESTRELLA, JUDITH	AMERICAN FUNDS 2040 TARGET DATE-A	165.00	3.30	0.00	3.30	0.5	0.02
	ACCOUNT #: 00084671437	BUY 10 Units @16.1100						
01/16/2018	***-**-6980 - ANDRADE,	AMERICAN FUNDS 2045 TARGET DATE-A	173.10	3.46	0.00	3.46	0.5	0.02
	ACCOUNT #: 00084670745	BUY 11 Units @16.3800						
01/16/2018	***-**-6980 - ANDRADE,	AMERICAN FUNDS 2045 TARGET DATE-A	173.10	3.46	0.00	3.46	0.5	0.02
	ACCOUNT #: 00084670745	BUY 11 Units @16.3800						
01/16/2018	***-**-6931 - MILANO, ANTHONY	AMERICAN FUNDS 2045 TARGET DATE-A	109.18	2.18	0.00	2.18	0.5	0.01
	ACCOUNT #: 00084671439	BUY 7 Units @16.3800						
01/16/2018	***-**-6931 - MILANO, ANTHONY	AMERICAN FUNDS 2045 TARGET DATE-A	109.18	2.18	0.00	2.18	0.5	0.01
	ACCOUNT #: 00084671439	BUY 7 Units @16.3800						
01/08/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	0.5	0.03
	ACCOUNT #: 00081526588	BUY 12 Units @16.0400						
01/08/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	44.09	1.21	0.00	1.21	0.5	0.01
	ACCOUNT #: 00081526588	BUY 3 Units @16.0400						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JOSEPH FERRY (continued)</u></b>								
01/08/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.0400	48.83	1.34	0.00	1.34	0.5	0.01
01/08/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @16.0400	100.00	2.75	0.00	2.75	0.5	0.01
01/09/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.0500	44.09	1.21	0.00	1.21	0.5	0.01
01/09/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 12 Units @16.0500	187.00	5.14	0.00	5.14	0.5	0.03
01/09/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @16.0500	100.00	2.75	0.00	2.75	0.5	0.01
01/09/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.0500	48.83	1.34	0.00	1.34	0.5	0.01
01/16/2018	***-**-6979 - ECKER, ASHLEY ACCOUNT #: 00084671531	AMERICAN FUNDS 2050 TARGET DATE-A BUY 15 Units @16.0400	238.44	4.77	0.00	4.77	0.5	0.02
01/16/2018	***-**-6979 - ECKER, ASHLEY ACCOUNT #: 00084671531	AMERICAN FUNDS 2050 TARGET DATE-A BUY 15 Units @16.0400	238.44	4.77	0.00	4.77	0.5	0.02
01/16/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 12 Units @16.2100	187.00	5.14	0.00	5.14	0.5	0.03
01/16/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.2100	44.09	1.21	0.00	1.21	0.5	0.01
01/16/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.2100	48.83	1.34	0.00	1.34	0.5	0.01
01/16/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @16.2100	100.00	2.75	0.00	2.75	0.5	0.01
01/16/2018	***-**-838I - TYSZKA, SEAN ACCOUNT #: 04000234338	AMERICAN FUNDS 2060 TARGET DATE-A BUY 5 Units @13.1400	69.56	1.39	0.00	1.39	0.5	0.01
01/16/2018	***-**-838I - TYSZKA, SEAN ACCOUNT #: 04000234338	AMERICAN FUNDS 2060 TARGET DATE-A BUY 5 Units @13.1400	69.56	1.39	0.00	1.39	0.5	0.01
01/16/2018	***-**-1540 - FROSCENO, KAYLA ACCOUNT #: 04000234351	AMERICAN FUNDS 2060 TARGET DATE-A BUY 5 Units @13.1400	72.13	1.44	0.00	1.44	0.5	0.01
01/16/2018	***-**-1540 - FROSCENO, KAYLA ACCOUNT #: 04000234351	AMERICAN FUNDS 2060 TARGET DATE-A BUY 4 Units @13.1400	54.10	1.08	0.00	1.08	0.5	0.01



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JOSEPH FERRY (continued)</u></b>								
01/22/2018	***-**-7960 - CARVAJAL, JUDITH	AMERICAN FUNDS BLNCD PORTFOLIO CL A	0.00	1.55	0.00	1.55	0.5	0.01
	ACCOUNT #: LSR011337	BUY 1 Units @0.0000						
01/18/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	240.50	2.41	0.00	2.41	0.5	0.01
	ACCOUNT #: 00084434107	BUY 15 Units @15.5800						
01/18/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	240.50	2.41	0.00	2.41	0.5	0.01
	ACCOUNT #: 00084434107	BUY 15 Units @15.5800						
01/22/2018	***-**-968I - GAW, LELAND	AMERICAN FUNDS INCOME PORT CL A	0.00	41.91	0.00	41.91	0.5	0.21
	ACCOUNT #: LSR011682	BUY 1 Units @0.0000						
01/16/2018	***-**-88SI - CROSSMAN, ROBERT	AMERICAN HIGH-INCOME TRUST-A	87.50	1.75	0.00	1.75	0.5	0.01
	ACCOUNT #: 00086854812	BUY 8 Units @10.7100						
01/16/2018	***-**-88SI - CROSSMAN, ROBERT	AMERICAN MUTUAL FUND-A	175.00	3.50	0.00	3.50	0.5	0.02
	ACCOUNT #: 00086854812	BUY 4 Units @43.0400						
01/16/2018	***-**-88SI - CROSSMAN, ROBERT	AMERICAN MUTUAL FUND-A	75.00	1.50	0.00	1.50	0.5	0.01
	ACCOUNT #: 00086854812	BUY 2 Units @43.0400						
01/22/2018	***-**-968X - GAW, LELAND	AMERICAN MUTUAL FUND-A	0.00	1.24	0.00	1.24	0.5	0.01
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
01/22/2018	***-**-552X - FAMIGLIETTI,	AMERICAN MUTUAL FUND-A	0.00	1.07	0.00	1.07	0.5	0.01
	ACCOUNT #: LSR010780	BUY 1 Units @0.0000						
01/16/2018	***-**-88SI - CROSSMAN, ROBERT	CAPITAL INCOME BUILDER-A	87.50	1.75	0.00	1.75	0.5	0.01
	ACCOUNT #: 00086854812	BUY 1 Units @65.5700						
01/22/2018	***-**-968X - GAW, LELAND	CAPITAL INCOME BUILDER-A	0.00	1.01	0.00	1.01	0.5	0.01
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
01/16/2018	***-**-985P - PAPST, MEREDITH	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	3.44	0.00	3.44	0.5	0.02
	ACCOUNT #: 00067807144	BUY 2 Units @55.0300						
01/22/2018	***-**-968X - GAW, LELAND	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.19	0.00	5.19	0.5	0.03
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
01/22/2018	***-**-552X - FAMIGLIETTI,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.41	0.00	2.41	0.5	0.01
	ACCOUNT #: LSR010780	BUY 1 Units @0.0000						
01/22/2018	***-**-6967 - MEDER, BARBARA	EATON VANCE MF TRAILS	0.00	5.54	0.00	5.54	0.5	0.03
		BUY 0 Units @0.0000						
01/08/2018	***-**-657Q - EDWARD SEGAL	FAC02	0.00	11.30	0.00	11.30	0.5	0.06
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: JOSEPH FERRY (continued)</u></b>								
01/08/2018	***-**-657Q - EDWARD SEGAL	FMR1197	0.00	11.89	0.00	11.89	0.5	0.06
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
01/08/2018	***-**-657Q - EDWARD SEGAL	FMR1305	0.00	6.16	0.00	6.16	0.5	0.03
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
01/08/2018	***-**-657Q - EDWARD SEGAL	FMR1608	0.00	2.80	0.00	2.80	0.5	0.01
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
01/08/2018	***-**-657Q - EDWARD SEGAL	FMR168	0.00	37.33	0.00	37.33	0.5	0.19
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
01/08/2018	***-**-657Q - EDWARD SEGAL	FMR170	0.00	35.84	0.00	35.84	0.5	0.18
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
01/08/2018	***-**-657Q - EDWARD SEGAL	FMR174	0.00	3.95	0.00	3.95	0.5	0.02
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
01/08/2018	***-**-657Q - EDWARD SEGAL	FMR175	0.00	10.50	0.00	10.50	0.5	0.05
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
01/08/2018	***-**-657Q - EDWARD SEGAL	FMR2337	0.00	4.13	0.00	4.13	0.5	0.02
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
01/08/2018	***-**-657Q - EDWARD SEGAL	FMR2444	0.00	5.63	0.00	5.63	0.5	0.03
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
01/08/2018	***-**-657Q - EDWARD SEGAL	FMR274	0.00	21.65	0.00	21.65	0.5	0.11
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
01/08/2018	***-**-657Q - EDWARD SEGAL	FMR280	0.00	19.86	0.00	19.86	0.5	0.10
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
01/08/2018	***-**-657Q - EDWARD SEGAL	FMR286	0.00	54.71	0.00	54.71	0.5	0.27
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
01/08/2018	***-**-657Q - EDWARD SEGAL	FMR287	0.00	16.05	0.00	16.05	0.5	0.08
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
01/08/2018	***-**-657Q - EDWARD SEGAL	FMR292	0.00	1.59	0.00	1.59	0.5	0.01
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
01/08/2018	***-**-657Q - EDWARD SEGAL	FMR299	0.00	32.28	0.00	32.28	0.5	0.16
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
01/08/2018	***-**-657Q - EDWARD SEGAL	FMR531	0.00	52.34	0.00	52.34	0.5	0.26
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: JOSEPH FERRY (continued)</u></b>								
01/08/2018	***-**-657Q - EDWARD SEGAL ACCOUNT #: 00670223965	FMR720 BUY 0 Units @0.0000	0.00	11.20	0.00	11.20	0.5	0.06
01/08/2018	***-**-657Q - EDWARD SEGAL ACCOUNT #: 00670223965	FMRR1192 BUY 0 Units @0.0000	0.00	4.31	0.00	4.31	0.5	0.02
01/16/2018	***-**-90IN - GAW, PATRICIA ACCOUNT #: LSR011025	INVESCO AMERICAN FRANCHISE FUND -CL BUY 1 Units @0.0000	0.00	8.21	0.00	8.21	0.5	0.04
01/16/2018	***-**-90IN - GAW, PATRICIA ACCOUNT #: LSR011025	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 1 Units @0.0000	0.00	3.32	0.00	3.32	0.5	0.02
01/16/2018	***-**-552I - FAMIGLIETTI, ACCOUNT #: LSR011127	IVY BOND FUND CLASS A BUY 1 Units @0.0000	0.00	7.74	0.00	7.74	0.5	0.04
01/16/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	IVY DIVIDEND OPPORTUNITIES CL A BUY 1 Units @0.0000	0.00	20.00	0.00	20.00	0.5	0.10
01/16/2018	***-**-552I - FAMIGLIETTI, ACCOUNT #: LSR011127	IVY DIVIDEND OPPORTUNITIES CL A BUY 1 Units @0.0000	0.00	16.43	0.00	16.43	0.5	0.08
01/19/2018	***-**-963I - MORRO, JAMES ACCOUNT #: 7200018502	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	64.43	0.00	64.43	0.5	0.32
01/19/2018	***-**-176I - RIOS, CARLOS ACCOUNT #: 7200020442	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	14.09	0.00	14.09	0.5	0.07
01/19/2018	***-**-358I - KALLIPOLITES, ACCOUNT #: 7200022756	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	46.56	0.00	46.56	0.5	0.23
01/22/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	MASSACHUSETTS INVESTORS TRUST-A BUY 1 Units @0.0000	0.00	6.70	0.00	6.70	0.5	0.03
01/22/2018	***-**-552I - FAMIGLIETTI, ACCOUNT #: LSR011127	MASSACHUSETTS INVESTORS TRUST-A BUY 1 Units @0.0000	0.00	6.65	0.00	6.65	0.5	0.03
01/22/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	MFS LIMITED MATURITY FUND-A BUY 1 Units @0.0000	0.00	1.70	0.00	1.70	0.5	0.01
01/22/2018	***-**-552I - FAMIGLIETTI, ACCOUNT #: LSR011127	MFS RESEARCH BOND FUND CLASS A BUY 1 Units @0.0000	0.00	1.53	0.00	1.53	0.5	0.01
01/22/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	MFS STRATEGIC INCOME FUND-A BUY 1 Units @0.0000	0.00	4.73	0.00	4.73	0.5	0.02
01/22/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	MFS STRATEGIC INCOME FUND-A BUY 1 Units @0.0000	0.00	5.19	0.00	5.19	0.5	0.03



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: JOSEPH FERRY (continued) </u></b>								
01/22/2018	***-**-968X - GAW, LELAND	NEW PERSPECTIVE FUND-A	0.00	1.22	0.00	1.22	0.5	0.01
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
01/22/2018	***-**-552X - FAMIGLIETTI,	NEW PERSPECTIVE FUND-A	0.00	1.05	0.00	1.05	0.5	0.01
	ACCOUNT #: LSR010780	BUY 1 Units @0.0000						
01/02/2018	***-**-24IN - INS, CHITTENDEN	PUTNAM EQUITY INCOME FUND-A	0.00	17.01	0.00	17.01	0.5	0.09
	ACCOUNT #: 00312906459	BUY 0 Units @0.0000						
01/22/2018	***-**-968X - GAW, LELAND	THE INCOME FUND OF AMERICA-A	0.00	1.08	0.00	1.08	0.5	0.01
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
01/16/2018	***-**-985P - PAPST, MEREDITH	WASHINGTON MUTUAL INVESTORS FUND-A	150.00	4.13	0.00	4.13	0.5	0.02
	ACCOUNT #: 00067807144	BUY 3 Units @49.0200						
01/22/2018	***-**-968X - GAW, LELAND	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	6.47	0.00	6.47	0.5	0.03
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
01/22/2018	***-**-552X - FAMIGLIETTI,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.95	0.00	2.95	0.5	0.01
	ACCOUNT #: LSR010780	BUY 1 Units @0.0000						
* JOSEPH FERRY SUBTOTAL *			<b>\$9,852.82</b>	<b>\$984.06</b>	<b>\$0.00</b>	<b>\$984.06</b>		<b>\$4.97</b>
<b><u> BROKER: SANDINO VERRELLI </u></b>								
01/19/2018	***-**-925P - LENNON, JENNY	CHET 529	50.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 68507712801	BUY 0 Units @0.0000						
01/18/2018	***-**-37FB - CAPONI, DEBRA	GPS ACCUMULATION, PROFILE 3, MODERA	39,564.61	97.53	0.00	97.53	0.5	0.49
	ACCOUNT #: 0174999	BUY 0 Units @395.6461						
01/18/2018	***-**-01FB - KOPCHIK, STEPHEN	GPS ACCUMULATION, PROFILE 4, MODERA	89,499.50	220.62	0.00	220.62	0.5	1.10
	ACCOUNT #: 0170675	BUY 0 Units @894.9950						
01/18/2018	***-**-72FB - COLON, SAMUAL	GPS ACCUMULATION, PROFILE 5, GROWTH	32,227.72	79.44	0.00	79.44	0.5	0.40
	ACCOUNT #: 0175490	BUY 0 Units @322.2772						
01/01/2018	***-**-53CL - VERRELLI,	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	2.45	0.00	2.45	0.5	0.01
	ACCOUNT #: 07122869085	BUY 0 Units @0.0000						
01/19/2018	***-**-49I2 - MATTHEWS, BRIAN	LIVEWELL MUTUAL FUND	0.00	5.77	0.00	5.77	0.5	0.03
	ACCOUNT #: 7200000831	BUY 0 Units @0.0000						
01/19/2018	***-**-882I - BURLAKOFF, HARRY	LIVEWELL MUTUAL FUND	0.00	5.12	0.00	5.12	0.5	0.03
	ACCOUNT #: 7200017972	BUY 0 Units @0.0000						
01/01/2018	***-**-53CL - VERRELLI,	MFS MF TRAILS 552746802	0.00	95.73	0.00	95.73	0.5	0.48
	ACCOUNT #: 00090001228	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: SANDINO VERRELLI (continued)</u></b>								
01/02/2018	***-**-6320 - BELL, ASHLEY	PUTNAM ASSET ALLOC CONSERV-A	0.00	9.00	0.00	9.00	0.5	0.05
	ACCOUNT #: 00551118025	BUY 0 Units @0.0000						
		<b>* SANDINO VERRELLI SUBTOTAL *</b>	<b>\$161,341.83</b>	<b>\$516.91</b>	<b>\$0.00</b>	<b>\$516.91</b>		<b>\$2.60</b>
<b><u>BROKER: ROGER LUNDY</u></b>								
01/08/2018	***-**-37IN - BROOKSHIRE,	ALLIANCEBERNSTEIN BALANCED SHARES A	0.00	76.03	0.00	76.03	0.5	0.38
	ACCOUNT #: 04765880016	BUY 0 Units @0.0000						
01/18/2018	***-**-7751 - CENTER SCHOOL,	NATIONWIDE GVA TRAILS	0.00	446.35	0.00	446.35	0.5	2.23
	ACCOUNT #: 58880531	BUY 1 Units @0.0000						
01/18/2018	***-**-7751 - CENTER SCHOOL,	NATIONWIDE GVA TRAILS	0.00	8.50	0.00	8.50	0.5	0.04
	ACCOUNT #: 58880531	BUY 1 Units @0.0000						
01/22/2018	***-**-402J - REIDER, EMANUEL	NUVEEN MF TRAILS	0.00	65.91	0.00	65.91	0.5	0.33
		BUY 0 Units @0.0000						
01/22/2018	***-**-488J - PATTON, FRED	NUVEEN MF TRAILS	0.00	242.78	0.00	242.78	0.5	1.21
	ACCOUNT #: 4327974	BUY 0 Units @0.0000						
01/16/2018	***-**-5260 - MASON, YVETTE	NUVEEN PENNSYLVANIA MUNI BD A	0.00	7.75	0.00	7.75	0.5	0.04
	ACCOUNT #: LSR010962	BUY 1 Units @0.0000						
01/16/2018	***-**-639J - DAVIS, JAMES	NUVEEN PENNSYLVANIA MUNI BD A	0.00	12.77	0.00	12.77	0.5	0.06
	ACCOUNT #: LSR011706	BUY 1 Units @0.0000						
		<b>* ROGER LUNDY SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$860.09</b>	<b>\$0.00</b>	<b>\$860.09</b>		<b>\$4.29</b>
<b><u>BROKER: LAMONT PARKER</u></b>								
01/18/2018	***-**-33FB - PANASIEWICZ,	ASSETMARK QRTLY PLATFORM MAINTENANC	0.00	-34.34	0.00	-34.34	0.5	-0.17
		BUY 1 Units @0.0000						
01/16/2018	***-**-381I - DUNN, ELSIE	DAVIS NEW YORK VENTURE CLASS A	0.00	2.57	0.00	2.57	0.5	0.01
	ACCOUNT #: LSR010517	BUY 1 Units @0.0000						
01/18/2018	***-**-33FB - PANASIEWICZ,	GPS ACCUMULATION, PROFILE 3, MODERA	9,287.06	34.34	0.00	34.34	0.5	0.17
	ACCOUNT #: 3396815	BUY 0 Units @92.8706						
		<b>* LAMONT PARKER SUBTOTAL *</b>	<b>\$9,287.06</b>	<b>\$2.57</b>	<b>\$0.00</b>	<b>\$2.57</b>		<b>\$0.01</b>





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV</u></b>								
01/02/2018	***-**-98IR - PERRY, ABBY	37252M108	0.00	2.35	0.00	2.35	0.5	0.01
	ACCOUNT #: 00248942140	BUY 0 Units @0.0000						
01/02/2018	***-**-785I - ENIS, JOHN B	37252M108	0.00	4.27	0.00	4.27	0.5	0.02
	ACCOUNT #: 00385420398	BUY 0 Units @0.0000						
01/02/2018	***-**-71IN - PIERPOINT, NANCY	37252M108	0.00	21.52	0.00	21.52	0.5	0.11
	ACCOUNT #: 00482509641	BUY 0 Units @0.0000						
01/02/2018	***-**-680I - ENIS, LINDA	37252M108	0.00	18.48	0.00	18.48	0.5	0.09
	ACCOUNT #: 00550915196	BUY 0 Units @0.0000						
01/02/2018	***-**-785I - ENIS, JOHN B	37252M108	0.00	8.08	0.00	8.08	0.5	0.04
	ACCOUNT #: 00550947194	BUY 0 Units @0.0000						
01/01/2018	***-**-45IR - HAHN, SHAUN	AIM CASH RESERVE SHARES	0.00	1.82	0.00	1.82	0.5	0.01
	ACCOUNT #: 03909298923	BUY 0 Units @0.0000						
01/01/2018	***-**-269I - EMOND, BRUCE	AIM CASH RESERVE SHARES	0.00	21.40	0.00	21.40	0.5	0.11
	ACCOUNT #: 03913073221	BUY 0 Units @0.0000						
01/01/2018	***-**-657P - NEWELL, JODI	AIM CHARTER FUND - CLASS A	0.00	10.91	0.00	10.91	0.5	0.05
	ACCOUNT #: 03910228489	BUY 0 Units @0.0000						
01/01/2018	***-**-37UG - KALU, MICHAEL	AIM CHARTER FUND - CLASS A	0.00	3.56	0.00	3.56	0.5	0.02
	ACCOUNT #: 00115767116	BUY 0 Units @0.0000						
01/01/2018	***-**-515P - DODD UGMA/IL,	AIM CHARTER FUND - CLASS A	0.00	5.88	0.00	5.88	0.5	0.03
	ACCOUNT #: 01001225717	BUY 0 Units @0.0000						
01/01/2018	***-**-22IR - KRAMER, TED	AIM CHARTER FUND - CLASS A	0.00	8.22	0.00	8.22	0.5	0.04
	ACCOUNT #: 03909720611	BUY 0 Units @0.0000						
01/01/2018	***-**-22UG - STRIEBE UTMT,	AIM CHARTER FUND - CLASS A	0.00	2.01	0.00	2.01	0.5	0.01
	ACCOUNT #: 03909931689	BUY 0 Units @0.0000						
01/01/2018	***-**-335I - BRUGGER, JUDITH	AIM CHARTER FUND - CLASS A	0.00	2.05	0.00	2.05	0.5	0.01
	ACCOUNT #: 03910018369	BUY 0 Units @0.0000						
01/01/2018	***-**-68UG - KALU, VICTORIA	AIM CHARTER FUND - CLASS A	0.00	4.69	0.00	4.69	0.5	0.02
	ACCOUNT #: 03910075484	BUY 0 Units @0.0000						
01/01/2018	***-**-355I - WILLIAMS,	AIM CHARTER FUND - CLASS A	0.00	2.14	0.00	2.14	0.5	0.01
	ACCOUNT #: 03912814344	BUY 0 Units @0.0000						
01/01/2018	***-**-269I - EMOND, BRUCE	AIM CHARTER FUND - CLASS A	0.00	7.13	0.00	7.13	0.5	0.04
	ACCOUNT #: 03913073221	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/01/2018	***-**-71IN - GLEASON, JAMES	AIM CHARTER FUND - CLASS A	0.00	3.20	0.00	3.20	0.5	0.02
	ACCOUNT #: 04028287524	BUY 0 Units @0.0000						
01/01/2018	***-**-28IN - PALMER, GUNDA	AIM CHARTER FUND - CLASS A	0.00	6.15	0.00	6.15	0.5	0.03
	ACCOUNT #: 04029020890	BUY 0 Units @0.0000						
01/01/2018	***-**-43IN - MILLING, SANDRA	AIM CHARTER FUND - CLASS A	0.00	7.34	0.00	7.34	0.5	0.04
	ACCOUNT #: 04029112309	BUY 0 Units @0.0000						
01/01/2018	***-**-455P - KALU, JACOB	AIM CHARTER FUND - CLASS A	0.00	2.53	0.00	2.53	0.5	0.01
	ACCOUNT #: 05000738996	BUY 0 Units @0.0000						
01/01/2018	***-**-540I - LOHR, JEANINE	AIM CHARTER FUND - CLASS A	0.00	34.09	0.00	34.09	0.5	0.17
	ACCOUNT #: 05018549716	BUY 0 Units @0.0000						
01/01/2018	***-**-404I - ROEHRICH, DULCE	AIM CHARTER FUND - CLASS A	0.00	3.60	0.00	3.60	0.5	0.02
	ACCOUNT #: 05024554932	BUY 0 Units @0.0000						
01/01/2018	***-**-460I - COHEN, NORMAN	AIM CHARTER FUND - CLASS A	0.00	43.14	0.00	43.14	0.5	0.22
	ACCOUNT #: 05025653493	BUY 0 Units @0.0000						
01/01/2018	***-**-435P - CARLESSO,	AIM CHARTER FUND - CLASS A	0.00	1.19	0.00	1.19	0.5	0.01
	ACCOUNT #: 05026544014	BUY 0 Units @0.0000						
01/01/2018	***-**-2230 - BABYLON, JOHN	AIM CHARTER FUND - CLASS A	0.00	1.76	0.00	1.76	0.5	0.01
	ACCOUNT #: 05032117722	BUY 0 Units @0.0000						
01/01/2018	***-**-94IN - BOWERS, DEBORAH	AIM CHARTER FUND - CLASS A	0.00	8.77	0.00	8.77	0.5	0.04
	ACCOUNT #: 03809277852	BUY 0 Units @0.0000						
01/01/2018	***-**-10UG - APOSTOLOS,	AIM CHARTER FUND - CLASS A	0.00	12.82	0.00	12.82	0.5	0.06
	ACCOUNT #: 03909785796	BUY 0 Units @0.0000						
01/01/2018	***-**-21UG - APOSTOLOS,	AIM CHARTER FUND - CLASS A	0.00	16.03	0.00	16.03	0.5	0.08
	ACCOUNT #: 04028912279	BUY 0 Units @0.0000						
01/01/2018	***-**-68UG - APOSTOLOS,	AIM CHARTER FUND - CLASS A	0.00	16.00	0.00	16.00	0.5	0.08
	ACCOUNT #: 04028912287	BUY 0 Units @0.0000						
01/01/2018	***-**-71IN - WOODS, ROBERT	AIM CHARTER FUND - CLASS A	0.00	9.97	0.00	9.97	0.5	0.05
	ACCOUNT #: 05002565298	BUY 0 Units @0.0000						
01/01/2018	***-**-156P - RUDOLPH, RACHAEL	AIM CHARTER FUND - CLASS C	0.00	2.67	0.00	2.67	0.5	0.01
	ACCOUNT #: 03910026990	BUY 0 Units @0.0000						
01/01/2018	***-**-265I - SHEKMAN, MICHAEL	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	1.33	0.00	1.33	0.5	0.01
	ACCOUNT #: 03910734502	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ALEX GEORGIEV (continued) </u></b>								
01/01/2018	***-**-264I - SHEKMAN, TANYA ACCOUNT #: 04040466536	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	1.33	0.00	1.33	0.5	0.01
01/01/2018	***-**-28RI - FULHORST, MARY ACCOUNT #: 03809041431	AIM EUROPEAN GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	2.48	0.00	2.48	0.5	0.01
01/01/2018	***-**-872I - NEWGAARD, DAVID ACCOUNT #: 03870048117	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	3.34	0.00	3.34	0.5	0.02
01/01/2018	***-**-72IR - NEWGAARD, DAVID ACCOUNT #: 03870048125	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	5.56	0.00	5.56	0.5	0.03
01/01/2018	***-**-37IR - NEWGAARD, JERI ACCOUNT #: 03870048133	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	6.50	0.00	6.50	0.5	0.03
01/01/2018	***-**-43IN - MILLING, SANDRA ACCOUNT #: 05002810298	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	3.15	0.00	3.15	0.5	0.02
01/01/2018	***-**-404I - ROEHRICH, DULCE ACCOUNT #: 05024554932	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	1.21	0.00	1.21	0.5	0.01
01/01/2018	***-**-626I - ESKIN, RICHARD ACCOUNT #: 04040005714	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	2.48	0.00	2.48	0.5	0.01
01/01/2018	***-**-71IN - WOODS, ROBERT ACCOUNT #: 05002565298	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	9.30	0.00	9.30	0.5	0.05
01/01/2018	***-**-423I - RHODIN, VICTORIA ACCOUNT #: 07003538308	AIM GLOBAL HEALTH CARE FUND CLASS A BUY 0 Units @0.0000	0.00	1.88	0.00	1.88	0.5	0.01
01/01/2018	***-**-155I - KALU, UKEGBU ACCOUNT #: 07003619389	AIM GLOBAL HEALTH CARE FUND CLASS A BUY 0 Units @0.0000	0.00	5.16	0.00	5.16	0.5	0.03
01/16/2018	***-**-22SI - PROUL, JEFFRY ACCOUNT #: LSR000175	AIM GLOBAL HEALTH CARE FUND CLASS A BUY 1 Units @0.0000	0.00	1.90	0.00	1.90	0.5	0.01
01/01/2018	***-**-632I - ANGELL, MICHAEL ACCOUNT #: 03811200116	AIM GLOBAL VALUE FUND - CLASS A BUY 0 Units @0.0000	0.00	6.26	0.00	6.26	0.5	0.03
01/16/2018	***-**-60TR - BLANCHARD TRUST, ACCOUNT #: LSR010327	AIM GLOBAL VALUE FUND - CLASS A BUY 1 Units @0.0000	0.00	5.39	0.00	5.39	0.5	0.03
01/01/2018	***-**-632I - ANGELL, MICHAEL ACCOUNT #: 03811200116	AIM GLOBAL VALUE FUND - CLASS B BUY 0 Units @0.0000	0.00	1.32	0.00	1.32	0.5	0.01
01/01/2018	***-**-28IN - PALMER, GUNDA ACCOUNT #: 04029020890	AIM HIGH YIELD FUND - CLASS A BUY 0 Units @0.0000	0.00	2.31	0.00	2.31	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/16/2018	***-**-126I - NYBERG-PENNELL, ACCOUNT #: LSR011843	AIM HIGH YIELD FUND - CLASS A BUY 1 Units @0.0000	0.00	5.35	0.00	5.35	0.5	0.03
01/01/2018	***-**-71IN - KAPLANE, STELLA ACCOUNT #: 00670420691	AIM INTERMEDIATE GOVERNMENT FD - CL BUY 0 Units @0.0000	0.00	24.18	0.00	24.18	0.5	0.12
01/01/2018	***-**-392J - DUNCAN, JEFFREY ACCOUNT #: 00670500928	AIM INTERMEDIATE GOVERNMENT FD - CL BUY 0 Units @0.0000	0.00	1.76	0.00	1.76	0.5	0.01
01/01/2018	***-**-240J - SGRITTA, ALFONSO ACCOUNT #: 00670509825	AIM INTERMEDIATE GOVERNMENT FD - CL BUY 0 Units @0.0000	0.00	5.04	0.00	5.04	0.5	0.03
01/01/2018	***-**-953J - ACCOUNT #: 00670610500	AIM INTERMEDIATE GOVERNMENT FD - CL BUY 0 Units @0.0000	0.00	16.04	0.00	16.04	0.5	0.08
01/01/2018	***-**-953J - ACCOUNT #: 00670610501	AIM INTERMEDIATE GOVERNMENT FD - CL BUY 0 Units @0.0000	0.00	10.57	0.00	10.57	0.5	0.05
01/01/2018	***-**-47IN - LITTLEJOHN, ACCOUNT #: 00670647814	AIM INTERMEDIATE GOVERNMENT FD - CL BUY 0 Units @0.0000	0.00	17.71	0.00	17.71	0.5	0.09
01/01/2018	***-**-600I - STENSON, WILLIAM ACCOUNT #: 04400086248	AIM INTERMEDIATE GOVERNMENT FD - CL BUY 0 Units @0.0000	0.00	4.84	0.00	4.84	0.5	0.02
01/01/2018	***-**-269I - EMOND, BRUCE ACCOUNT #: 03913073221	AIM INTERNATIONAL GROWTH FUND - CL BUY 0 Units @0.0000	0.00	16.85	0.00	16.85	0.5	0.08
01/01/2018	***-**-28IN - PALMER, GUNDA ACCOUNT #: 04029020890	AIM INTERNATIONAL GROWTH FUND - CL BUY 0 Units @0.0000	0.00	2.24	0.00	2.24	0.5	0.01
01/16/2018	***-**-60TR - BLANCHARD TRUST, ACCOUNT #: LSR010327	AIM INTERNATIONAL GROWTH FUND - CL BUY 1 Units @0.0000	0.00	5.01	0.00	5.01	0.5	0.03
01/01/2018	***-**-269I - EMOND, BRUCE ACCOUNT #: 03913073221	AIM INTL CORE EQUITY FUND - CL A BUY 0 Units @0.0000	0.00	16.68	0.00	16.68	0.5	0.08
01/01/2018	***-**-995P - VALLIE, PAULA ACCOUNT #: 03808986321	AIM MID-CAP EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	6.96	0.00	6.96	0.5	0.03
01/01/2018	***-**-525P - PEARSON, M ACCOUNT #: 05072148074	AIM MID-CAP EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	26.20	0.00	26.20	0.5	0.13
01/01/2018	***-**-155I - KALU, UKEGBU ACCOUNT #: 07003158586	AIM MID-CAP EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	3.08	0.00	3.08	0.5	0.02
01/01/2018	***-**-582I - LA VAN, PATRICK ACCOUNT #: 07003199770	AIM MID-CAP EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	26.56	0.00	26.56	0.5	0.13



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/01/2018	***-**-305P - GADWAY, MARCIA	AIM MID-CAP EQUITY FUND CLASS A	0.00	5.45	0.00	5.45	0.5	0.03
	ACCOUNT #: 07003546863	BUY 0 Units @0.0000						
01/01/2018	***-**-451I - ROY, DEBORAH	AIM MODERATE ALLOCATION - CLASS A	0.00	5.98	0.00	5.98	0.5	0.03
	ACCOUNT #: 07003604126	BUY 0 Units @0.0000						
01/01/2018	***-**-28RI - FULHORST, MARY	AIM REAL ESTATE FUND - CLASS A	0.00	4.91	0.00	4.91	0.5	0.02
	ACCOUNT #: 03809041431	BUY 0 Units @0.0000						
01/16/2018	***-**-371I - MASELLI, PIER	AIM REAL ESTATE FUND - CLASS A	0.00	2.56	0.00	2.56	0.5	0.01
	ACCOUNT #: LSR009172	BUY 1 Units @0.0000						
01/16/2018	***-**-468I - FERA, ANGELO	AIM REAL ESTATE FUND - CLASS A	0.00	1.21	0.00	1.21	0.5	0.01
	ACCOUNT #: LSR009598	BUY 1 Units @0.0000						
01/16/2018	***-**-141I - FELICETTI, MARY	AIM REAL ESTATE FUND - CLASS A	0.00	2.49	0.00	2.49	0.5	0.01
	ACCOUNT #: LSR009695	BUY 1 Units @0.0000						
01/16/2018	***-**-521O - MCCUNE, ELAINE	AIM REAL ESTATE FUND - CLASS A	0.00	1.23	0.00	1.23	0.5	0.01
	ACCOUNT #: LSR009962	BUY 1 Units @0.0000						
01/16/2018	***-**-989I - MCCUNE, FRANK	AIM REAL ESTATE FUND - CLASS A	0.00	1.68	0.00	1.68	0.5	0.01
	ACCOUNT #: LSR010237	BUY 1 Units @0.0000						
01/16/2018	***-**-960I - METCHIS, STACY	AIM REAL ESTATE FUND - CLASS A	0.00	1.08	0.00	1.08	0.5	0.01
	ACCOUNT #: LSR012191	BUY 1 Units @0.0000						
01/01/2018	***-**-590I - LOEWE, VICTORIA	AIM SMALL CAP GROWTH FUND - CLASS A	0.00	1.60	0.00	1.60	0.5	0.01
	ACCOUNT #: 05057016999	BUY 0 Units @0.0000						
01/08/2018	***-**-413J - OBERT, DAVID	ALLIANCE BERNSTEIN GLOBAL TECHNOLOG	0.00	1.40	0.00	1.40	0.5	0.01
	ACCOUNT #: 04700110048	BUY 0 Units @0.0000						
01/08/2018	***-**-755P - OBERT SEP, DAVID	ALLIANCE BERNSTEIN GLOBAL TECHNOLOG	0.00	14.58	0.00	14.58	0.5	0.07
	ACCOUNT #: 04700126480	BUY 0 Units @0.0000						
01/08/2018	***-**-70UG - NAPOLITANO,	ALLIANCE BERNSTEIN GLOBAL TECHNOLOG	0.00	3.27	0.00	3.27	0.5	0.02
	ACCOUNT #: 04700173033	BUY 0 Units @0.0000						
01/08/2018	***-**-75UG - NAPOLITANO, RYAN	ALLIANCE BERNSTEIN GLOBAL TECHNOLOG	0.00	2.01	0.00	2.01	0.5	0.01
	ACCOUNT #: 04700173034	BUY 0 Units @0.0000						
01/08/2018	***-**-898I - VALDES, ANGELINA	ALLIANCE BERNSTEIN GLOBAL TECHNOLOG	0.00	1.13	0.00	1.13	0.5	0.01
	ACCOUNT #: 04700469419	BUY 0 Units @0.0000						
01/08/2018	***-**-99RI - BOUDREAU, DEIDRE	ALLIANCE BERNSTEIN GLOBAL TECHNOLOG	0.00	1.54	0.00	1.54	0.5	0.01
	ACCOUNT #: 04700472041	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/08/2018	***-**-19RI - BOLAKOWSKI, ACCOUNT #: 04718380545	ALLIANCE BERNSTEIN GLOBAL TECHNOLOG BUY 0 Units @0.0000	0.00	1.37	0.00	1.37	0.5	0.01
01/22/2018	***-**-8658 - SOLOFF, MIRIAM ACCOUNT #: LSR105201	ALLIANCE BERNSTEIN GLOBAL TECHNOLOG BUY 1 Units @0.0000	0.00	2.45	0.00	2.45	0.5	0.01
01/02/2018	***-**-755P - OBERT SEP, DAVID ACCOUNT #: 04700126480	ALLIANCE BERNSTEIN LARGE-CAP GROWTH BUY 104 Units @36.0500	3,750.00	150.00	0.00	150.00	0.5	0.75
01/08/2018	***-**-755P - OBERT SEP, DAVID ACCOUNT #: 04700126480	ALLIANCE BERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000	0.00	19.04	0.00	19.04	0.5	0.10
01/08/2018	***-**-954I - BRIONES, LIONEL ACCOUNT #: 04700358880	ALLIANCEBERNSTEIN EMERG MKT DEBT A BUY 0 Units @0.0000	0.00	2.89	0.00	2.89	0.5	0.01
01/08/2018	***-**-5024 - MONT, ANTHONY ACCOUNT #: 04892914981	ALLIANCEBERNSTEIN EMERG MKT DEBT A BUY 0 Units @0.0000	0.00	6.69	0.00	6.69	0.5	0.03
01/08/2018	***-**-3283 - WEELE, PAUL A ACCOUNT #: 04752064711	ALLIANCEBERNSTEIN FOCUSED GRTH & IN BUY 0 Units @0.0000	0.00	9.05	0.00	9.05	0.5	0.05
01/08/2018	***-**-3283 - WEELE, PAUL A ACCOUNT #: 04752064711	ALLIANCEBERNSTEIN FOCUSED GRTH & IN BUY 0 Units @0.0000	0.00	2.11	0.00	2.11	0.5	0.01
01/22/2018	***-**-37IN - STENDERA, BERTHA ACCOUNT #: LSR009261	ALLIANCEBERNSTEIN GLO GOVT INC TRST BUY 1 Units @0.0000	0.00	1.16	0.00	1.16	0.5	0.01
01/22/2018	***-**-259J - WACHS, MARJORIE ACCOUNT #: LSR009407	ALLIANCEBERNSTEIN GLO GOVT INC TRST BUY 1 Units @0.0000	0.00	5.51	0.00	5.51	0.5	0.03
01/02/2018	***-**-755P - OBERT SEP, DAVID ACCOUNT #: 04700126480	ALLIANCEBERNSTEIN GLOBAL TECHNOLOGY BUY 39 Units @97.3600	-3,750.00	-150.00	0.00	-150.00	0.5	-0.75
01/02/2018	***-**-755P - OBERT SEP, DAVID ACCOUNT #: 04700126480	ALLIANCEBERNSTEIN GLOBAL TECHNOLOGY BUY 39 Units @97.3600	3,750.00	150.00	0.00	150.00	0.5	0.75
01/02/2018	***-**-755P - OBERT SEP, DAVID ACCOUNT #: 04700126480	ALLIANCEBERNSTEIN GLOBAL TECHNOLOGY BUY 39 Units @97.3600	3,750.00	150.00	0.00	150.00	0.5	0.75
01/08/2018	***-**-755P - OBERT SEP, DAVID ACCOUNT #: 04700126480	ALLIANCEBERNSTEIN GLOBAL TECHNOLOGY BUY 0 Units @0.0000	0.00	12.32	0.00	12.32	0.5	0.06
01/02/2018	***-**-69IN - FOULK, FLOYD ACCOUNT #: 03310275876	ALLIANCEBERNSTEIN GROWTH A BUY 1 Units @77.2400	50.00	2.00	0.00	2.00	0.5	0.01
01/08/2018	***-**-69IN - FOULK, FLOYD ACCOUNT #: 03310275876	ALLIANCEBERNSTEIN GROWTH A BUY 0 Units @0.0000	0.00	5.08	0.00	5.08	0.5	0.03



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/08/2018	***-**-70UG - NAPOLITANO, ACCOUNT #: 04700173033	ALLIANCEBERNSTEIN GROWTH A BUY 0 Units @0.0000	0.00	5.91	0.00	5.91	0.5	0.03
01/08/2018	***-**-3016 - SAFRANEK, ACCOUNT #: 04700248904	ALLIANCEBERNSTEIN GROWTH A BUY 0 Units @0.0000	0.00	2.58	0.00	2.58	0.5	0.01
01/08/2018	***-**-99RI - BOUDREAU, DEIDRE ACCOUNT #: 04700472041	ALLIANCEBERNSTEIN GROWTH A BUY 0 Units @0.0000	0.00	1.90	0.00	1.90	0.5	0.01
01/08/2018	***-**-30UG - BONSIGNORE, ACCOUNT #: 04703706130	ALLIANCEBERNSTEIN GROWTH A BUY 0 Units @0.0000	0.00	1.84	0.00	1.84	0.5	0.01
01/08/2018	***-**-8318 - GOLDBOR, DALIA ACCOUNT #: 04700432883	ALLIANCEBERNSTEIN GROWTH A BUY 0 Units @0.0000	0.00	2.10	0.00	2.10	0.5	0.01
01/08/2018	***-**-954I - BRIONES, LIONEL ACCOUNT #: 04700358880	ALLIANCEBERNSTEIN GROWTH AND INC A BUY 0 Units @0.0000	0.00	7.44	0.00	7.44	0.5	0.04
01/08/2018	***-**-996I - ABOBON, ACCOUNT #: 04700430369	ALLIANCEBERNSTEIN GROWTH AND INC A BUY 0 Units @0.0000	0.00	2.61	0.00	2.61	0.5	0.01
01/08/2018	***-**-6108 - FERNANDEZ, SUSAN ACCOUNT #: 04752064689	ALLIANCEBERNSTEIN GROWTH AND INC A BUY 0 Units @0.0000	0.00	5.52	0.00	5.52	0.5	0.03
01/08/2018	***-**-3283 - WEELE, PAUL A ACCOUNT #: 04752064711	ALLIANCEBERNSTEIN GROWTH AND INC A BUY 0 Units @0.0000	0.00	6.94	0.00	6.94	0.5	0.03
01/22/2018	***-**-017J - TAZUMA, MIYOKO ACCOUNT #: LSR009466	ALLIANCEBERNSTEIN GROWTH AND INC A BUY 1 Units @0.0000	0.00	8.27	0.00	8.27	0.5	0.04
01/22/2018	***-**-63UT - DIMALANTA, ACCOUNT #: LSR010272	ALLIANCEBERNSTEIN GROWTH AND INC A BUY 1 Units @0.0000	0.00	5.57	0.00	5.57	0.5	0.03
01/08/2018	***-**-3283 - WEELE, PAUL A ACCOUNT #: 04752064711	ALLIANCEBERNSTEIN GROWTH AND INC B BUY 0 Units @0.0000	0.00	2.66	0.00	2.66	0.5	0.01
01/08/2018	***-**-396J - CARSON, DIANE & ACCOUNT #: 04700138282	ALLIANCEBERNSTEIN INTL VALUE A BUY 0 Units @0.0000	0.00	1.39	0.00	1.39	0.5	0.01
01/08/2018	***-**-755P - OBERT SEP, DAVID ACCOUNT #: 04700126480	ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000	0.00	20.27	0.00	20.27	0.5	0.10
01/08/2018	***-**-70UG - NAPOLITANO, ACCOUNT #: 04700173033	ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000	0.00	7.42	0.00	7.42	0.5	0.04
01/08/2018	***-**-75UG - NAPOLITANO, RYAN ACCOUNT #: 04700173034	ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000	0.00	1.16	0.00	1.16	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/08/2018	***-**-68UG - BOSKO, CARSON ACCOUNT #: 04700258892	ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000	0.00	2.12	0.00	2.12	0.5	0.01
01/08/2018	***-**-73IN - DIMASCIO, RONALD ACCOUNT #: 04700265662	ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000	0.00	1.82	0.00	1.82	0.5	0.01
01/08/2018	***-**-5323 - PIERCE, SHARON ACCOUNT #: 04700306063	ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000	0.00	3.15	0.00	3.15	0.5	0.02
01/08/2018	***-**-1106 - SCHERER, KRISTI ACCOUNT #: 04700310859	ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000	0.00	3.24	0.00	3.24	0.5	0.02
01/08/2018	***-**-7532 - MELTZER, ACCOUNT #: 04700439673	ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000	0.00	4.23	0.00	4.23	0.5	0.02
01/08/2018	***-**-99RI - BOUDREAU, DEIDRE ACCOUNT #: 04700472041	ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000	0.00	2.65	0.00	2.65	0.5	0.01
01/08/2018	***-**-19RI - BOLAKOWSKI, ACCOUNT #: 04718380545	ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000	0.00	2.25	0.00	2.25	0.5	0.01
01/08/2018	***-**-8318 - GOLDBOR, DALIA ACCOUNT #: 04700432883	ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000	0.00	2.95	0.00	2.95	0.5	0.01
01/22/2018	***-**-4726 - SOIFER, SEP ACCOUNT #: LSR105236	ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 1 Units @0.0000	0.00	2.34	0.00	2.34	0.5	0.01
01/08/2018	***-**-80UG - FOULK, ANDREW A ACCOUNT #: 04700127240	ALLIANCEBERNSTEIN SMALL CAP GROWTH BUY 0 Units @0.0000	0.00	2.50	0.00	2.50	0.5	0.01
01/08/2018	***-**-69UG - FOULK, NICHOLAS ACCOUNT #: 04700127241	ALLIANCEBERNSTEIN SMALL CAP GROWTH BUY 0 Units @0.0000	0.00	2.19	0.00	2.19	0.5	0.01
01/08/2018	***-**-09UG - FOULK, EDWARD W ACCOUNT #: 04700127242	ALLIANCEBERNSTEIN SMALL CAP GROWTH BUY 0 Units @0.0000	0.00	1.18	0.00	1.18	0.5	0.01
01/08/2018	***-**-68UG - BOSKO, CARSON ACCOUNT #: 04700258892	ALLIANCEBERNSTEIN SMALL CAP GROWTH BUY 0 Units @0.0000	0.00	2.31	0.00	2.31	0.5	0.01
01/08/2018	***-**-025P - PROKUDA, BRIAN ACCOUNT #: 00068542684	AMCAP FUND-A BUY 45 Units @33.1800	1,500.00	24.00	0.00	24.00	0.5	0.12
01/08/2018	***-**-025P - PROKUDA, BRIAN ACCOUNT #: 00068542684	AMCAP FUND-A BUY 6 Units @33.1800	210.00	3.36	0.00	3.36	0.5	0.02
01/22/2018	***-**-371I - MASELLI, PIER ACCOUNT #: LSR009172	AMCAP FUND-A BUY 1 Units @0.0000	0.00	10.51	0.00	10.51	0.5	0.05





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/22/2018	***-**-468I - FERA, ANGELO	AMCAP FUND-A	0.00	7.46	0.00	7.46	0.5	0.04
	ACCOUNT #: LSR009598	BUY 1 Units @0.0000						
01/22/2018	***-**-141I - FELICETTI, MARY	AMCAP FUND-A	0.00	3.38	0.00	3.38	0.5	0.02
	ACCOUNT #: LSR009695	BUY 1 Units @0.0000						
01/22/2018	***-**-521O - MCCUNE, ELAINE	AMCAP FUND-A	0.00	4.38	0.00	4.38	0.5	0.02
	ACCOUNT #: LSR009962	BUY 1 Units @0.0000						
01/22/2018	***-**-989I - MCCUNE, FRANK	AMCAP FUND-A	0.00	5.10	0.00	5.10	0.5	0.03
	ACCOUNT #: LSR010237	BUY 1 Units @0.0000						
01/16/2018	***-**-041R - WITTIG, DAWN	AMERICAN BALANCED FND F2-NFS	100.00	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: LSR012167	BUY 4 Units @27.7000						
01/16/2018	***-**-092O - NOONAN, SAMANTHA	AMERICAN BALANCED FUND-529A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00083202391	BUY 2 Units @29.3500						
01/16/2018	***-**-765P - BOWLER,	AMERICAN BALANCED FUND-529A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00087610452	BUY 2 Units @29.3500						
01/16/2018	***-**-345P - MACADIE, SEAN	AMERICAN BALANCED FUND-529A	100.00	5.00	0.00	5.00	0.5	0.03
	ACCOUNT #: 00088120469	BUY 3 Units @29.3500						
01/16/2018	***-**-733I - ANDERSEN,	AMERICAN BALANCED FUND-529A	25.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: 00085128729	BUY 1 Units @29.1200						
01/11/2018	***-**-537I - DIVALERIO, KATHY	AMERICAN BALANCED FUND-A	113.90	3.13	0.00	3.13	0.5	0.02
	ACCOUNT #: 00083765927	BUY 4 Units @28.6200						
01/16/2018	***-**-951N - LARSON, JOEL	AMERICAN BALANCED FUND-A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00086113566	BUY 2 Units @29.1700						
01/22/2018	***-**-571I - BEDNARZ, BARBARA	AMERICAN BALANCED FUND-A	0.00	1.11	0.00	1.11	0.5	0.01
	ACCOUNT #: LSR012062	BUY 1 Units @0.0000						
01/22/2018	***-**-960I - METCHIS, STACY	AMERICAN BALANCED FUND-A	0.00	1.33	0.00	1.33	0.5	0.01
	ACCOUNT #: LSR012191	BUY 1 Units @0.0000						
01/22/2018	***-**-302O - KELLY, TRICIA	AMERICAN BALANCED FUND-A	0.00	1.12	0.00	1.12	0.5	0.01
	ACCOUNT #: LSR012209	BUY 1 Units @0.0000						
01/16/2018	***-**-041R - WITTIG, DAWN	AMERICAN CAPITAL INCOME BUILDER CL	99.99	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: LSR012167	BUY 2 Units @63.8900						
01/11/2018	***-**-335J - HARWOOD, ARLENE	AMERICAN FUNDAMENTAL INV. CL F2-NFS	29.98	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: LSR012061	SELL 0 Units @64.4800						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/16/2018	***-**-04IR - WITTIG, DAWN	AMERICAN FUNDAMENTAL INV. CL F2-NFS	100.00	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: LSR012167	BUY 2 Units @64.8100						
01/22/2018	***-**-869I - MLYNARCZYK,	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	16.45	0.00	16.45	0.5	0.08
	ACCOUNT #: LSR012111	BUY 1 Units @0.0000						
01/22/2018	***-**-232I - KUMOR, JOHN	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	4.09	0.00	4.09	0.5	0.02
	ACCOUNT #: LSR012115	BUY 1 Units @0.0000						
01/22/2018	***-**-69TR - RECOVERABLE,	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	16.43	0.00	16.43	0.5	0.08
	ACCOUNT #: LSR012155	BUY 1 Units @0.0000						
01/22/2018	***-**-164I - LABUTTE, CHRIS	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	13.06	0.00	13.06	0.5	0.07
	ACCOUNT #: LSR010708	BUY 1 Units @0.0000						
01/22/2018	***-**-196I - KOZIARA, CECELIA	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	7.69	0.00	7.69	0.5	0.04
	ACCOUNT #: LSR012205	BUY 1 Units @0.0000						
01/22/2018	***-**-887I - KOZIARA, EVA	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	1.53	0.00	1.53	0.5	0.01
	ACCOUNT #: LSR012207	BUY 1 Units @0.0000						
01/22/2018	***-**-86IN - WITTIG, BLAIR	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	4.86	0.00	4.86	0.5	0.02
	ACCOUNT #: LSR012216	BUY 1 Units @0.0000						
01/22/2018	***-**-186X - WITTIG, BLAIR	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	11.13	0.00	11.13	0.5	0.06
	ACCOUNT #: LSR012217	BUY 1 Units @0.0000						
01/22/2018	***-**-398K - DREW, JASON	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	1.26	0.00	1.26	0.5	0.01
	ACCOUNT #: LSR011442	BUY 1 Units @0.0000						
01/22/2018	***-**-869I - MLYNARCZYK,	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	15.95	0.00	15.95	0.5	0.08
	ACCOUNT #: LSR012111	BUY 1 Units @0.0000						
01/22/2018	***-**-232I - KUMOR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	4.19	0.00	4.19	0.5	0.02
	ACCOUNT #: LSR012115	BUY 1 Units @0.0000						
01/22/2018	***-**-792J - CHRISOS, GREGORY	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	2.90	0.00	2.90	0.5	0.01
	ACCOUNT #: LSR012124	BUY 1 Units @0.0000						
01/22/2018	***-**-69TR - RECOVERABLE,	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	13.55	0.00	13.55	0.5	0.07
	ACCOUNT #: LSR012155	BUY 1 Units @0.0000						
01/22/2018	***-**-196I - KOZIARA, CECELIA	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	7.76	0.00	7.76	0.5	0.04
	ACCOUNT #: LSR012205	BUY 1 Units @0.0000						
01/22/2018	***-**-887I - KOZIARA, EVA	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	1.54	0.00	1.54	0.5	0.01
	ACCOUNT #: LSR012207	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/22/2018	***-**-86IN - WITTIG, BLAIR ACCOUNT #: LSR012216	AMERICAN FUNDS 2040 TARGET DATE-A BUY 1 Units @0.0000	0.00	5.82	0.00	5.82	0.5	0.03
01/22/2018	***-**-186X - WITTIG, BLAIR ACCOUNT #: LSR012217	AMERICAN FUNDS 2040 TARGET DATE-A BUY 1 Units @0.0000	0.00	11.21	0.00	11.21	0.5	0.06
01/22/2018	***-**-0048 - HARRISON, SARAH ACCOUNT #: LSR101702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 1 Units @0.0000	0.00	1.51	0.00	1.51	0.5	0.01
01/16/2018	***-**-030I - KNIGHT, EILEEN ACCOUNT #: LSR012085	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 38 Units @15.5700	592.00	11.84	0.00	11.84	0.5	0.06
01/16/2018	***-**-642I - BELT, JAMES ACCOUNT #: LSR012097	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 10 Units @15.5700	156.00	3.12	0.00	3.12	0.5	0.02
01/16/2018	***-**-960I - BELT, CYNTHIA ACCOUNT #: LSR012113	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 15 Units @15.5700	227.00	4.54	0.00	4.54	0.5	0.02
01/17/2018	***-**-410I - MIKA, CHESTER ACCOUNT #: LSR012202	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 8 Units @16.0500	135.41	5.74	0.00	5.74	0.5	0.03
01/17/2018	***-**-365I - MIKA, MONIKA ACCOUNT #: LSR012203	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 8 Units @16.1800	135.41	6.77	0.00	6.77	0.5	0.03
01/22/2018	***-**-335I - HARWOOD, RICHARD ACCOUNT #: LSR012060	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	1.51	0.00	1.51	0.5	0.01
01/22/2018	***-**-097I - FIASCONARO, ACCOUNT #: LSR012064	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	3.00	0.00	3.00	0.5	0.02
01/22/2018	***-**-64IR - SHAPIRO, JAY ACCOUNT #: LSR012067	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	2.15	0.00	2.15	0.5	0.01
01/22/2018	***-**-528I - BARTZIS, REGINA ACCOUNT #: LSR012071	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	9.65	0.00	9.65	0.5	0.05
01/22/2018	***-**-030I - KNIGHT, EILEEN ACCOUNT #: LSR012085	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	44.17	0.00	44.17	0.5	0.22
01/22/2018	***-**-194O - SCHUELE, CHARLES ACCOUNT #: LSR012088	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	2.70	0.00	2.70	0.5	0.01
01/22/2018	***-**-520J - TOVELLO, THOMAS ACCOUNT #: LSR012091	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	7.11	0.00	7.11	0.5	0.04
01/22/2018	***-**-520I - TOVELLO, THOMAS ACCOUNT #: LSR012092	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	13.34	0.00	13.34	0.5	0.07



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/22/2018	***-***-493I - TOVELLO, DONNA ACCOUNT #: LSR012093	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	1.11	0.00	1.11	0.5	0.01
01/22/2018	***-***-642J - BELT, JAMES ACCOUNT #: LSR012095	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	5.47	0.00	5.47	0.5	0.03
01/22/2018	***-***-359I - TOVELLO, PAMELA ACCOUNT #: LSR012096	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	10.60	0.00	10.60	0.5	0.05
01/22/2018	***-***-642I - BELT, JAMES ACCOUNT #: LSR012097	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	16.53	0.00	16.53	0.5	0.08
01/22/2018	***-***-612I - SHAPIRO, HOWARD ACCOUNT #: LSR012104	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	2.15	0.00	2.15	0.5	0.01
01/22/2018	***-***-30TR - KNIGHT TRUST, ACCOUNT #: LSR012108	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	27.19	0.00	27.19	0.5	0.14
01/22/2018	***-***-493X - TOVELLO, NSPS ACCOUNT #: LSR012110	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	2.46	0.00	2.46	0.5	0.01
01/22/2018	***-***-960I - BELT, CYNTHIA ACCOUNT #: LSR012113	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	7.16	0.00	7.16	0.5	0.04
01/22/2018	***-***-835I - FREEMAN, FLOYD ACCOUNT #: LSR012117	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	39.62	0.00	39.62	0.5	0.20
01/22/2018	***-***-214I - BRENNAN, RICHARD ACCOUNT #: LSR012119	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	3.31	0.00	3.31	0.5	0.02
01/22/2018	***-***-266I - GLICKMAN, PAMELA ACCOUNT #: LSR012139	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	5.11	0.00	5.11	0.5	0.03
01/22/2018	***-***-370I - LOBRACO, ANTHONY ACCOUNT #: LSR012142	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	10.90	0.00	10.90	0.5	0.05
01/22/2018	***-***-186I - LOBRACO, ACCOUNT #: LSR012143	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	2.70	0.00	2.70	0.5	0.01
01/22/2018	***-***-423O - CHALKER, RALPH ACCOUNT #: LSR012164	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	4.64	0.00	4.64	0.5	0.02
01/22/2018	***-***-504J - BOWMAN, LINDA ACCOUNT #: LSR012171	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	20.00	0.00	20.00	0.5	0.10
01/22/2018	***-***-733I - ANDERSEN, ACCOUNT #: LSR012172	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	2.54	0.00	2.54	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/22/2018	***-**-59IN - BIALEK, DANITA ACCOUNT #: LSR012175	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	7.86	0.00	7.86	0.5	0.04
01/22/2018	***-**-214X - BRENNAN, RICHARD ACCOUNT #: LSR012196	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	2.76	0.00	2.76	0.5	0.01
01/22/2018	***-**-410I - MIKA, CHESTER ACCOUNT #: LSR012202	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	3.15	0.00	3.15	0.5	0.02
01/22/2018	***-**-365I - MIKA, MONIKA ACCOUNT #: LSR012203	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	1.51	0.00	1.51	0.5	0.01
01/22/2018	***-**-316I - KOZIARA, TERESA ACCOUNT #: LSR012206	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	1.85	0.00	1.85	0.5	0.01
01/22/2018	***-**-302X - KELLY, TRICIA ACCOUNT #: LSR012212	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	4.53	0.00	4.53	0.5	0.02
01/22/2018	***-**-621I - NEMAN, MELVYN ACCOUNT #: LSR012218	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	3.00	0.00	3.00	0.5	0.02
01/22/2018	***-**-499J - LEINWEBER, ACCOUNT #: LSR012221	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	1.15	0.00	1.15	0.5	0.01
01/22/2018	***-**-845O - LAMPERT, ELLEN ACCOUNT #: LSR012229	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	1.87	0.00	1.87	0.5	0.01
01/22/2018	***-**-845I - LAMPERT, ELLEN ACCOUNT #: LSR012230	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	6.59	0.00	6.59	0.5	0.03
01/11/2018	***-**-335I - HARWOOD, RICHARD ACCOUNT #: LSR012060	AMERICAN FUNDS GROWTH PORT CL F2-NF SELL 33 Units @18.8000	612.00	3.00	0.00	3.00	0.5	0.02
01/11/2018	***-**-335J - HARWOOD, ARLENE ACCOUNT #: LSR012061	AMERICAN FUNDS GROWTH PORT CL F2-NF SELL 2 Units @18.8000	30.00	3.00	0.00	3.00	0.5	0.02
01/16/2018	***-**-642I - BELT, JAMES ACCOUNT #: LSR012097	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 8 Units @19.3200	156.01	3.12	0.00	3.12	0.5	0.02
01/16/2018	***-**-960I - BELT, CYNTHIA ACCOUNT #: LSR012113	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 12 Units @19.3200	226.99	4.54	0.00	4.54	0.5	0.02
01/22/2018	***-**-194O - SCHUELE, CHARLES ACCOUNT #: LSR012088	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	3.91	0.00	3.91	0.5	0.02
01/22/2018	***-**-642J - BELT, JAMES ACCOUNT #: LSR012095	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	1.29	0.00	1.29	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/22/2018	***-**-642I - BELT, JAMES ACCOUNT #: LSR012097	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	4.37	0.00	4.37	0.5	0.02
01/22/2018	***-**-960I - BELT, CYNTHIA ACCOUNT #: LSR012113	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	1.80	0.00	1.80	0.5	0.01
01/22/2018	***-**-266I - GLICKMAN, PAMELA ACCOUNT #: LSR012139	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	1.28	0.00	1.28	0.5	0.01
01/22/2018	***-**-370I - LOBRACO, ANTHONY ACCOUNT #: LSR012142	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	1.11	0.00	1.11	0.5	0.01
01/22/2018	***-**-504J - BOWMAN, LINDA ACCOUNT #: LSR012171	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	18.22	0.00	18.22	0.5	0.09
01/22/2018	***-**-59IN - BIALEK, DANITA ACCOUNT #: LSR012175	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	3.56	0.00	3.56	0.5	0.02
01/22/2018	***-**-302X - KELLY, TRICIA ACCOUNT #: LSR012212	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	4.82	0.00	4.82	0.5	0.02
01/11/2018	***-**-335J - HARWOOD, ARLENE ACCOUNT #: LSR012061	AMERICAN FUNDS GRWTH & INC. PORT F SELL 2 Units @15.5300	30.00	3.00	0.00	3.00	0.5	0.02
01/16/2018	***-**-030I - KNIGHT, EILEEN ACCOUNT #: LSR012085	AMERICAN FUNDS GRWTH & INCOME PORT BUY 37 Units @16.0000	592.00	11.84	0.00	11.84	0.5	0.06
01/16/2018	***-**-642I - BELT, JAMES ACCOUNT #: LSR012097	AMERICAN FUNDS GRWTH & INCOME PORT BUY 10 Units @16.0000	156.00	3.12	0.00	3.12	0.5	0.02
01/16/2018	***-**-960I - BELT, CYNTHIA ACCOUNT #: LSR012113	AMERICAN FUNDS GRWTH & INCOME PORT BUY 14 Units @16.0000	227.01	4.54	0.00	4.54	0.5	0.02
01/17/2018	***-**-410I - MIKA, CHESTER ACCOUNT #: LSR012202	AMERICAN FUNDS GRWTH & INCOME PORT BUY 8 Units @16.5200	135.41	5.75	0.00	5.75	0.5	0.03
01/17/2018	***-**-365I - MIKA, MONIKA ACCOUNT #: LSR012203	AMERICAN FUNDS GRWTH & INCOME PORT BUY 8 Units @16.6500	135.41	6.76	0.00	6.76	0.5	0.03
01/22/2018	***-**-335I - HARWOOD, RICHARD ACCOUNT #: LSR012060	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	1.56	0.00	1.56	0.5	0.01
01/22/2018	***-**-097I - FIASCONARO, ACCOUNT #: LSR012064	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	3.12	0.00	3.12	0.5	0.02
01/22/2018	***-**-64IR - SHAPIRO, JAY ACCOUNT #: LSR012067	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	2.19	0.00	2.19	0.5	0.01



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/22/2018	***-**-528I - BARTZIS, REGINA ACCOUNT #: LSR012071	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	9.80	0.00	9.80	0.5	0.05
01/22/2018	***-**-030I - KNIGHT, EILEEN ACCOUNT #: LSR012085	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	47.92	0.00	47.92	0.5	0.24
01/22/2018	***-**-1940 - SCHUELE, CHARLES ACCOUNT #: LSR012088	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	2.96	0.00	2.96	0.5	0.01
01/22/2018	***-**-520J - TOVELLO, THOMAS ACCOUNT #: LSR012091	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	7.82	0.00	7.82	0.5	0.04
01/22/2018	***-**-520I - TOVELLO, THOMAS ACCOUNT #: LSR012092	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	14.77	0.00	14.77	0.5	0.07
01/22/2018	***-**-493I - TOVELLO, DONNA ACCOUNT #: LSR012093	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	1.14	0.00	1.14	0.5	0.01
01/22/2018	***-**-642J - BELT, JAMES ACCOUNT #: LSR012095	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	5.66	0.00	5.66	0.5	0.03
01/22/2018	***-**-359I - TOVELLO, PAMELA ACCOUNT #: LSR012096	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	10.72	0.00	10.72	0.5	0.05
01/22/2018	***-**-642I - BELT, JAMES ACCOUNT #: LSR012097	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	17.12	0.00	17.12	0.5	0.09
01/22/2018	***-**-612I - SHAPIRO, HOWARD ACCOUNT #: LSR012104	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	2.19	0.00	2.19	0.5	0.01
01/22/2018	***-**-30TR - KNIGHT TRUST, ACCOUNT #: LSR012108	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	27.62	0.00	27.62	0.5	0.14
01/22/2018	***-**-493X - TOVELLO, NSPS ACCOUNT #: LSR012110	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	2.49	0.00	2.49	0.5	0.01
01/22/2018	***-**-960I - BELT, CYNTHIA ACCOUNT #: LSR012113	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	7.42	0.00	7.42	0.5	0.04
01/22/2018	***-**-835I - FREEMAN, FLOYD ACCOUNT #: LSR012117	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	40.77	0.00	40.77	0.5	0.20
01/22/2018	***-**-214I - BRENNAN, RICHARD ACCOUNT #: LSR012119	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	3.36	0.00	3.36	0.5	0.02
01/22/2018	***-**-266I - GLICKMAN, PAMELA ACCOUNT #: LSR012139	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	5.15	0.00	5.15	0.5	0.03



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ALEX GEORGIEV (continued) </u></b>								
01/22/2018	***-**-370I - LOBRACO, ANTHONY	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	10.26	0.00	10.26	0.5	0.05
	ACCOUNT #: LSR012142	BUY 1 Units @0.0000						
01/22/2018	***-**-186I - LOBRACO,	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	2.79	0.00	2.79	0.5	0.01
	ACCOUNT #: LSR012143	BUY 1 Units @0.0000						
01/22/2018	***-**-423O - CHALKER, RALPH	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	4.73	0.00	4.73	0.5	0.02
	ACCOUNT #: LSR012164	BUY 1 Units @0.0000						
01/22/2018	***-**-504J - BOWMAN, LINDA	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	20.70	0.00	20.70	0.5	0.10
	ACCOUNT #: LSR012171	BUY 1 Units @0.0000						
01/22/2018	***-**-733I - ANDERSEN,	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	2.35	0.00	2.35	0.5	0.01
	ACCOUNT #: LSR012172	BUY 1 Units @0.0000						
01/22/2018	***-**-59IN - BIALEK, DANITA	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	8.02	0.00	8.02	0.5	0.04
	ACCOUNT #: LSR012175	BUY 1 Units @0.0000						
01/22/2018	***-**-214X - BRENNAN, RICHARD	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	2.80	0.00	2.80	0.5	0.01
	ACCOUNT #: LSR012196	BUY 1 Units @0.0000						
01/22/2018	***-**-121I - STEINBERG,	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	1.22	0.00	1.22	0.5	0.01
	ACCOUNT #: LSR012200	BUY 1 Units @0.0000						
01/22/2018	***-**-410I - MIKA, CHESTER	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	3.18	0.00	3.18	0.5	0.02
	ACCOUNT #: LSR012202	BUY 1 Units @0.0000						
01/22/2018	***-**-365I - MIKA, MONIKA	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	1.55	0.00	1.55	0.5	0.01
	ACCOUNT #: LSR012203	BUY 1 Units @0.0000						
01/22/2018	***-**-316I - KOZIARA, TERESA	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	1.89	0.00	1.89	0.5	0.01
	ACCOUNT #: LSR012206	BUY 1 Units @0.0000						
01/22/2018	***-**-302X - KELLY, TRICIA	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	4.53	0.00	4.53	0.5	0.02
	ACCOUNT #: LSR012212	BUY 1 Units @0.0000						
01/22/2018	***-**-302I - KELLY, TRICIA	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	3.57	0.00	3.57	0.5	0.02
	ACCOUNT #: LSR012213	BUY 1 Units @0.0000						
01/22/2018	***-**-621I - NEMAN, MELVYN	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	3.07	0.00	3.07	0.5	0.02
	ACCOUNT #: LSR012218	BUY 1 Units @0.0000						
01/22/2018	***-**-499J - LEINWEBER,	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	1.16	0.00	1.16	0.5	0.01
	ACCOUNT #: LSR012221	BUY 1 Units @0.0000						
01/22/2018	***-**-845O - LAMPERT, ELLEN	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	1.87	0.00	1.87	0.5	0.01
	ACCOUNT #: LSR012229	BUY 1 Units @0.0000						





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/22/2018	***-**-845I - LAMPERT, ELLEN ACCOUNT #: LSR012230	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	6.67	0.00	6.67	0.5	0.03
01/22/2018	***-**-93IR - TOVELLO, DONNA ACCOUNT #: LSR012239	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	1.03	0.00	1.03	0.5	0.01
01/22/2018	***-**-4300 - GRYNSPAN, ELLEN ACCOUNT #: LSR012109	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	14.10	0.00	14.10	0.5	0.07
01/16/2018	***-**-04IR - WITTIG, DAWN ACCOUNT #: LSR012167	AMERICAN INCOME FND OF AMERICA CL F BUY 4 Units @23.8100	100.00	3.00	0.00	3.00	0.5	0.02
01/16/2018	***-**-04IR - WITTIG, DAWN ACCOUNT #: LSR012167	AMERICAN INVESTMENT CO OF AMERICA F BUY 2 Units @41.8200	99.99	3.00	0.00	3.00	0.5	0.02
01/22/2018	***-**-316I - MCCUNE, MICHAEL ACCOUNT #: LSR009995	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	2.33	0.00	2.33	0.5	0.01
01/22/2018	***-**-09TR - SMALL, RUTHE J & ACCOUNT #: LSR011114	AMERICAN MUTUAL FUND-F1 BUY 1 Units @0.0000	0.00	2.97	0.00	2.97	0.5	0.01
01/22/2018	***-**-95IN - CHAMBERLAIN, ACCOUNT #: LSR011114	ASPIRE 12B1 FEE BUY 1 Units @0.0000	0.00	2.60	0.00	2.60	0.5	0.01
01/04/2018	***-**-3614 - MALVETO, JOHN ACCOUNT #: 00100379627	CAPITAL APPRECIATION - CLASS A BUY 0 Units @0.0000	0.00	2.83	0.00	2.83	0.5	0.01
01/04/2018	***-**-92IR - WU, CHIA ACCOUNT #: 00500468475	CAPITAL APPRECIATION - CLASS A BUY 0 Units @0.0000	0.00	15.26	0.00	15.26	0.5	0.08
01/04/2018	***-**-323I - TAYLOR, PEGGY ACCOUNT #: 00600154983	CAPITAL APPRECIATION - CLASS A BUY 0 Units @0.0000	0.00	14.42	0.00	14.42	0.5	0.07
01/04/2018	***-**-3738 - SIEGEL, ELLEN ACCOUNT #: 00600274245	CAPITAL APPRECIATION - CLASS A BUY 0 Units @0.0000	0.00	5.50	0.00	5.50	0.5	0.03
01/04/2018	***-**-53IN - WANG, MICHEL ACCOUNT #: 00500757687	CAPITAL APPRECIATION - CLASS A BUY 0 Units @0.0000	0.00	1.55	0.00	1.55	0.5	0.01
01/04/2018	***-**-038I - ZUKERGOOD, EVE ACCOUNT #: 00600128979	CAPITAL APPRECIATION - CLASS A BUY 0 Units @0.0000	0.00	13.85	0.00	13.85	0.5	0.07
01/04/2018	***-**-533I - PANUTHOS, THOMAS ACCOUNT #: 00600128987	CAPITAL APPRECIATION - CLASS A BUY 0 Units @0.0000	0.00	14.77	0.00	14.77	0.5	0.07
01/16/2018	***-**-765P - BOWLER, ACCOUNT #: 00087610452	CAPITAL INCOME BUILDER-529A BUY 1 Units @67.8100	50.00	2.50	0.00	2.50	0.5	0.01



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/16/2018	***-**-3422 - GLICKMAN, NEIL	CAPITAL INCOME BUILDER-529A	66.66	1.83	0.00	1.83	0.5	0.01
	ACCOUNT #: 00068663396	BUY 1 Units @66.2300						
01/16/2018	***-**-733I - ANDERSEN,	CAPITAL INCOME BUILDER-529A	25.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: 00085128729	BUY 0 Units @67.2700						
01/10/2018	***-**-16IN - MIDDLETON, BETTY	CAPITAL INCOME BUILDER-A	50.00	1.38	0.00	1.38	0.5	0.01
	ACCOUNT #: 00060743431	BUY 1 Units @65.6100						
01/11/2018	***-**-537I - DIVALERIO, KATHY	CAPITAL INCOME BUILDER-A	91.12	2.51	0.00	2.51	0.5	0.01
	ACCOUNT #: 00083765927	BUY 1 Units @65.8400						
01/16/2018	***-**-76IR - BOWLER,	CAPITAL INCOME BUILDER-A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00085699343	BUY 1 Units @67.8300						
01/22/2018	***-**-60TR - BLANCHARD TRUST,	CAPITAL INCOME BUILDER-A	0.00	5.39	0.00	5.39	0.5	0.03
	ACCOUNT #: LSR010327	BUY 1 Units @0.0000						
01/22/2018	***-**-67IR - HELLER, BRUCE	CAPITAL INCOME BUILDER-A	0.00	1.65	0.00	1.65	0.5	0.01
	ACCOUNT #: LSR012140	BUY 1 Units @0.0000						
01/22/2018	***-**-967I - HELLER, BRUCE	CAPITAL INCOME BUILDER-A	0.00	1.16	0.00	1.16	0.5	0.01
	ACCOUNT #: LSR012141	BUY 1 Units @0.0000						
01/22/2018	***-**-960I - METCHIS, STACY	CAPITAL INCOME BUILDER-A	0.00	1.31	0.00	1.31	0.5	0.01
	ACCOUNT #: LSR012191	BUY 1 Units @0.0000						
01/16/2018	***-**-34IR - HARDY, VICTOR	CAPITAL WORLD GROWTH AND INCOME FUN	75.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 00084794059	BUY 1 Units @56.3400						
01/22/2018	***-**-73IR - BUSCHBAUM,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	7.51	0.00	7.51	0.5	0.04
	ACCOUNT #: LSR010395	BUY 1 Units @0.0000						
01/22/2018	***-**-9944 - KOKIS, SAMUEL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.14	0.00	1.14	0.5	0.01
	ACCOUNT #: LSR104922	BUY 1 Units @0.0000						
01/22/2018	***-**-453X - BRUNING, ELDA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.42	0.00	1.42	0.5	0.01
	ACCOUNT #: LSR010008	BUY 1 Units @0.0000						
01/19/2018	***-**-7580 - MAGGIACOMO,	COLLEGE BOUND MF	600.00	2.40	0.00	2.40	0.5	0.01
	ACCOUNT #: 81400070701	BUY 0 Units @0.0000						
01/22/2018	***-**-875P - PESCE, JOHN	COLLEGEBOUND 529 TRAILS	0.00	59.83	0.00	59.83	0.5	0.30
	ACCOUNT #: 81022207701	BUY 0 Units @0.0000						
01/22/2018	***-**-875P - PESCE, JOHN	COLLEGEBOUND 529 TRAILS	0.00	66.15	0.00	66.15	0.5	0.33
	ACCOUNT #: 81022207701	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/22/2018	***-**-7701 - WHITE, DAVID	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	2.11	0.00	2.11	0.5	0.01
01/22/2018	***-**-7701 - WHITE, DAVID ACCOUNT #: 81191133701	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	1.05	0.00	1.05	0.5	0.01
01/22/2018	***-**-7701 - WHITE, DAVID ACCOUNT #: 81191133701	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	3.01	0.00	3.01	0.5	0.02
01/22/2018	***-**-897J - MICHAS, JULIE ACCOUNT #: 81201941301	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	40.34	0.00	40.34	0.5	0.20
01/22/2018	***-**-380I - MICHAS, JOHN ACCOUNT #: 81276578401	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	48.46	0.00	48.46	0.5	0.24
01/22/2018	***-**-545P - PESCE, GEORGE	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	149.12	0.00	149.12	0.5	0.75
01/22/2018	***-**-895P - OBERT UGMA, ACCOUNT #: 81777722402	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	19.28	0.00	19.28	0.5	0.10
01/22/2018	***-**-795P - BATES, KATHERINE	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	21.11	0.00	21.11	0.5	0.11
01/22/2018	***-**-0038 - HANRAHAN, EDWARD	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	1.31	0.00	1.31	0.5	0.01
01/22/2018	***-**-9434 - DELSESTO, OLIVER	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	14.01	0.00	14.01	0.5	0.07
01/22/2018	***-**-62IE - IACIOFANO, ACCOUNT #: 81854456701	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	2.27	0.00	2.27	0.5	0.01
01/22/2018	***-**-62IE - IACIOFANO, ACCOUNT #: 81854456701	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	3.56	0.00	3.56	0.5	0.02
01/22/2018	***-**-2228 - MILLER, ANDREW ACCOUNT #: 81933375701	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	11.65	0.00	11.65	0.5	0.06
01/22/2018	***-**-435P - HUDSON, GARY	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	9.57	0.00	9.57	0.5	0.05
01/22/2018	***-**-7580 - MAGGIACOMO, ACCOUNT #: 81400070701	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	189.01	0.00	189.01	0.5	0.95
01/22/2018	***-**-865P - BESSETTE, RYLIE	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	17.65	0.00	17.65	0.5	0.09



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/22/2018	***-**-585P - LEVY, BRIAN ACCOUNT #: 81547722402	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	8.30	0.00	8.30	0.5	0.04
01/22/2018	***-**-145P - HEIGLE, WALTER ACCOUNT #: 81584030001	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	5.30	0.00	5.30	0.5	0.03
01/22/2018	***-**-1035 - JOYCE, MICHAEL ACCOUNT #: 81615548001	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	34.71	0.00	34.71	0.5	0.17
01/22/2018	***-**-1035 - JOYCE, MICHAEL ACCOUNT #: 81615548001	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	1.00	0.00	1.00	0.5	0.01
01/22/2018	***-**-005P - TILLMAN, ACCOUNT #: 81732247701	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	3.75	0.00	3.75	0.5	0.02
01/22/2018	***-**-895P - OBERT UGMA,	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	3.73	0.00	3.73	0.5	0.02
01/22/2018	***-**-5566 - & TRUST, PLAN &	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	37.04	0.00	37.04	0.5	0.19
01/22/2018	***-**-25IN - BRUSEWITZ, BRUCE ACCOUNT #: 08695709799	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	3.84	0.00	3.84	0.5	0.02
01/22/2018	***-**-70IN - DORIS PO-YEE LAM ACCOUNT #: 08695525452	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	28.42	0.00	28.42	0.5	0.14
01/22/2018	***-**-877I - ROBINSON, ELLEN ACCOUNT #: 08695748774	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	10.00	0.00	10.00	0.5	0.05
01/22/2018	***-**-323I - TAYLOR, PEGGY ACCOUNT #: 08697170937	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	2.91	0.00	2.91	0.5	0.01
01/16/2018	***-**-126I - NYBERG-PENNELL, ACCOUNT #: LSR011843	COLUMBIA HIGH YIELD BOND CL A BUY 1 Units @0.0000	0.00	2.48	0.00	2.48	0.5	0.01
01/16/2018	***-**-55IO - APPLEBY, SHARON ACCOUNT #: LSR012278	COLUMBIA INCOME OPPT FD CL A BUY 1 Units @0.0000	0.00	8.95	0.00	8.95	0.5	0.04
01/16/2018	***-**-8658 - SOLOFF, MIRIAM ACCOUNT #: LSR105201	COLUMBIA SELIGMAN COM AND INFO CL A BUY 1 Units @0.0000	0.00	7.34	0.00	7.34	0.5	0.04
01/22/2018	***-**-8153 - NATIVIDAD, ACCOUNT #: LSR106771	EATON VANCE GREATER INDIA FUND CLAS BUY 1 Units @0.0000	0.00	1.66	0.00	1.66	0.5	0.01
01/22/2018	***-**-126I - NYBERG-PENNELL, ACCOUNT #: LSR011843	EATON VANCE HIGH INCOME FUND CLASS BUY 1 Units @0.0000	0.00	3.36	0.00	3.36	0.5	0.02



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/22/2018	***-**-2786 - PRASIL, MARILYN	EATON VANCE MF TRAILS	0.00	3.21	0.00	3.21	0.5	0.02
	ACCOUNT #: 0000509034	BUY 0 Units @0.0000						
01/22/2018	***-**-104I - DVORACHEK,	EATON VANCE MF TRAILS	0.00	4.00	0.00	4.00	0.5	0.02
		BUY 0 Units @0.0000						
01/22/2018	***-**-8831 - VOTA, BARBARA M	EATON VANCE MF TRAILS	0.00	2.37	0.00	2.37	0.5	0.01
		BUY 0 Units @0.0000						
01/22/2018	***-**-0940 - BONNEY LLC,	EATON VANCE NATIONAL MUNICIPAL FUND	0.00	35.85	0.00	35.85	0.5	0.18
	ACCOUNT #: LSR009423	BUY 1 Units @0.0000						
01/04/2018	***-**-3738 - SIEGEL, ELLEN	EMERGING MARKETS - CLASS A	0.00	2.66	0.00	2.66	0.5	0.01
	ACCOUNT #: 00600274245	BUY 0 Units @0.0000						
01/10/2018	***-**-43SI - KASA, MATTHEW	EUROPACIFIC GROWTH FUND-A	260.00	2.60	0.00	2.60	0.5	0.01
	ACCOUNT #: 00064989865	BUY 4 Units @57.8300						
01/10/2018	***-**-70IN - PESCHE, SUSAN	EUROPACIFIC GROWTH FUND-A	75.00	1.50	0.00	1.50	0.5	0.01
	ACCOUNT #: 00061196180	BUY 1 Units @59.3100						
01/08/2018	***-**-2667 - BETTINI, ROBERT	FIDELITY ADV BALANCED CL A	0.00	5.83	0.00	5.83	0.5	0.03
	ACCOUNT #: 00655086841	BUY 0 Units @0.0000						
01/08/2018	***-**-514I - MIKUS, BETTY	FIDELITY ADV DIVIDEND GROWTH FD CL	0.00	1.92	0.00	1.92	0.5	0.01
	ACCOUNT #: 00065290959	BUY 0 Units @0.0000						
01/08/2018	***-**-020I - MIKUS, JERRY	FIDELITY ADV DIVIDEND GROWTH FD CL	0.00	1.91	0.00	1.91	0.5	0.01
	ACCOUNT #: 00065296808	BUY 0 Units @0.0000						
01/08/2018	***-**-958J - HOULE, MARTIN	FIDELITY ADV DIVIDEND GROWTH FD CL	0.00	8.31	0.00	8.31	0.5	0.04
	ACCOUNT #: 00065345647	BUY 0 Units @0.0000						
01/08/2018	***-**-7814 - LYNCH, JOHN	FIDELITY ADV DIVIDEND GROWTH FD CL	0.00	1.94	0.00	1.94	0.5	0.01
	ACCOUNT #: 00618825269	BUY 0 Units @0.0000						
01/08/2018	***-**-2667 - BETTINI, ROBERT	FIDELITY ADV EQUITY GROWTH CL A	0.00	6.36	0.00	6.36	0.5	0.03
	ACCOUNT #: 00655086841	BUY 0 Units @0.0000						
01/08/2018	***-**-566T - JURAK, DANIEL	FIDELITY ADV EQUITY GROWTH CL A	0.00	8.05	0.00	8.05	0.5	0.04
	ACCOUNT #: 00656153608	BUY 0 Units @0.0000						
01/08/2018	***-**-5980 - BAIS, RAM	FIDELITY ADV EQUITY GROWTH CL A	0.00	4.51	0.00	4.51	0.5	0.02
	ACCOUNT #: 00689986586	BUY 0 Units @0.0000						
01/08/2018	***-**-91IN - MONT-LABUTTE,	FIDELITY ADV EQUITY GROWTH CL A	0.00	3.32	0.00	3.32	0.5	0.02
	ACCOUNT #: 00503941965	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/08/2018	***-**-1140 - HARDER, KATHLEEN	FIDELITY ADV EQUITY GROWTH CL T	0.00	32.05	0.00	32.05	0.5	0.16
	ACCOUNT #: 00006621181	BUY 0 Units @0.0000						
01/08/2018	***-**-4741 - STEINER, GARY	FIDELITY ADV EQUITY GROWTH CL T	0.00	7.97	0.00	7.97	0.5	0.04
	ACCOUNT #: 00531302438	BUY 0 Units @0.0000						
01/22/2018	***-**-95TR - DIMALANTA TTEE	FIDELITY ADV EQUITY GROWTH CL T	0.00	5.29	0.00	5.29	0.5	0.03
	ACCOUNT #: LSR010129	BUY 1 Units @0.0000						
01/08/2018	***-**-4413 - COREY, PEGGY	FIDELITY ADV EQUITY INCOME CL A	0.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: 00501474076	BUY 0 Units @0.0000						
01/08/2018	***-**-2412 - COREY, MARSHALL	FIDELITY ADV EQUITY INCOME CL A	0.00	8.48	0.00	8.48	0.5	0.04
	ACCOUNT #: 00501474225	BUY 0 Units @0.0000						
01/08/2018	***-**-2667 - BETTINI, ROBERT	FIDELITY ADV EQUITY INCOME CL A	0.00	7.32	0.00	7.32	0.5	0.04
	ACCOUNT #: 00655086841	BUY 0 Units @0.0000						
01/08/2018	***-**-429I - ZIER, RUSSELL	FIDELITY ADV FREEDOM 2015-CL T	0.00	5.70	0.00	5.70	0.5	0.03
	ACCOUNT #: 01449917788	BUY 0 Units @0.0000						
01/08/2018	***-**-4741 - STEINER, GARY	FIDELITY ADV GROWTH & INCOME CL T	0.00	7.05	0.00	7.05	0.5	0.04
	ACCOUNT #: 00531302438	BUY 0 Units @0.0000						
01/08/2018	***-**-776J - HALE, MICHAEL	FIDELITY ADV GROWTH OPPORT CL A	0.00	5.53	0.00	5.53	0.5	0.03
	ACCOUNT #: 00063408405	BUY 0 Units @0.0000						
01/22/2018	***-**-4726 - SOIFER, SEP	FIDELITY ADV GROWTH OPPORT CL A	0.00	2.32	0.00	2.32	0.5	0.01
	ACCOUNT #: LSR105236	BUY 1 Units @0.0000						
01/08/2018	***-**-9005 - SCHECHTER,	FIDELITY ADV GROWTH OPPORT CL T	0.00	2.23	0.00	2.23	0.5	0.01
	ACCOUNT #: 00006744283	BUY 0 Units @0.0000						
01/08/2018	***-**-115P - SCHECHTER, MAYA	FIDELITY ADV GROWTH OPPORT CL T	0.00	2.24	0.00	2.24	0.5	0.01
	ACCOUNT #: 00006744363	BUY 0 Units @0.0000						
01/08/2018	***-**-958J - HOULE, MARTIN	FIDELITY ADV GROWTH OPPORT CL T	0.00	6.73	0.00	6.73	0.5	0.03
	ACCOUNT #: 00065345647	BUY 0 Units @0.0000						
01/08/2018	***-**-4219 - WARGEL	FIDELITY ADV GROWTH OPPORT CL T	0.00	1.96	0.00	1.96	0.5	0.01
	ACCOUNT #: 00655314359	BUY 0 Units @0.0000						
01/22/2018	***-**-95TR - DIMALANTA TTEE	FIDELITY ADV GROWTH OPPORT CL T	0.00	2.70	0.00	2.70	0.5	0.01
	ACCOUNT #: LSR010129	BUY 1 Units @0.0000						
01/22/2018	***-**-817O - YB & J	FIDELITY ADV GROWTH OPPORT CL T	0.00	12.50	0.00	12.50	0.5	0.06
	ACCOUNT #: LSR011351	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ALEX GEORGIEV (continued) </u></b>								
01/08/2018	***-**-566T - JURAK, DANIEL ACCOUNT #: 00656153608	FIDELITY ADV HEALTH CARE-CL A BUY 0 Units @0.0000	0.00	14.87	0.00	14.87	0.5	0.07
01/22/2018	***-**-26IN - NYBERG-PENNELL, ACCOUNT #: LSR011842	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 1 Units @0.0000	0.00	10.57	0.00	10.57	0.5	0.05
01/22/2018	***-**-126I - NYBERG-PENNELL, ACCOUNT #: LSR011843	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 1 Units @0.0000	0.00	25.67	0.00	25.67	0.5	0.13
01/08/2018	***-**-564I - PALERMO, RONALD ACCOUNT #: 00655381416	FIDELITY ADV LEVERAGED CO STOCK CL BUY 0 Units @0.0000	0.00	1.40	0.00	1.40	0.5	0.01
01/22/2018	***-**-55IO - APPLEBY, SHARON ACCOUNT #: LSR012278	FIDELITY ADV MID CAP II-CL A BUY 1 Units @0.0000	0.00	3.91	0.00	3.91	0.5	0.02
01/22/2018	***-**-316I - MCCUNE, MICHAEL ACCOUNT #: LSR009995	FIDELITY ADV NEW INSIGHTS-CL A BUY 1 Units @0.0000	0.00	2.51	0.00	2.51	0.5	0.01
01/08/2018	***-**-429I - ZIER, RUSSELL ACCOUNT #: 01449917788	FIDELITY ADV OVERSEAS CL T BUY 0 Units @0.0000	0.00	1.85	0.00	1.85	0.5	0.01
01/08/2018	***-**-429I - ZIER, RUSSELL ACCOUNT #: 01449917788	FIDELITY ADV SMALL CAP CL T BUY 0 Units @0.0000	0.00	2.64	0.00	2.64	0.5	0.01
01/22/2018	***-**-8658 - SOLOFF, MIRIAM ACCOUNT #: LSR105201	FIDELITY ADV SMALL CAP-CL A BUY 1 Units @0.0000	0.00	3.63	0.00	3.63	0.5	0.02
01/08/2018	***-**-7846 - BIELAS ACCOUNT #: 00498533249	FIDELITY ADV TECHNOLOGY CL A BUY 0 Units @0.0000	0.00	1.73	0.00	1.73	0.5	0.01
01/08/2018	***-**-45IN - MANJULA, FNU ACCOUNT #: 00655901528	FIDELITY ADV TECHNOLOGY CL A BUY 0 Units @0.0000	0.00	4.27	0.00	4.27	0.5	0.02
01/08/2018	***-**-2471 - MUSCA, BRENDA ACCOUNT #: 00689535201	FIDELITY ADV TECHNOLOGY CL A BUY 0 Units @0.0000	0.00	2.08	0.00	2.08	0.5	0.01
01/22/2018	***-**-8658 - SOLOFF, MIRIAM ACCOUNT #: LSR105201	FIDELITY ADV TECHNOLOGY CL A BUY 1 Units @0.0000	0.00	4.94	0.00	4.94	0.5	0.02
01/22/2018	***-**-4726 - SOIFER, SEP ACCOUNT #: LSR105236	FIDELITY ADV TECHNOLOGY CL A BUY 1 Units @0.0000	0.00	3.52	0.00	3.52	0.5	0.02
01/08/2018	***-**-682I - HALE, MICHAEL ACCOUNT #: 00655724136	FIDELITY ADV TECHNOLOGY CLASS C BUY 0 Units @0.0000	0.00	12.73	0.00	12.73	0.5	0.06
01/08/2018	***-**-564I - PALERMO, RONALD ACCOUNT #: 00655381416	FIDELITY ADV TECHNOLOGY CLASS C BUY 0 Units @0.0000	0.00	1.14	0.00	1.14	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ALEX GEORGIEV (continued) </u></b>								
01/08/2018	***-**-65CL - WOLFOLK, CLIENTS	FIDELITY ADV UTILITIES CL A	0.00	6.82	0.00	6.82	0.5	0.03
	ACCOUNT #: 01473078036	BUY 0 Units @0.0000						
01/08/2018	***-**-682I - HALE, MICHAEL	FIDELITY ADV UTILITIES CL C	0.00	11.22	0.00	11.22	0.5	0.06
	ACCOUNT #: 00655724136	BUY 0 Units @0.0000						
01/08/2018	***-**-91IN - MONT-LABUTTE,	FIDELITY ADV VALUE STRATEGIES-CL A	0.00	4.02	0.00	4.02	0.5	0.02
	ACCOUNT #: 00503941965	BUY 0 Units @0.0000						
01/08/2018	***-**-4741 - STEINER, GARY	FIDELITY ADVISOR BALANCED CLASS T	0.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 00531302438	BUY 0 Units @0.0000						
01/08/2018	***-**-7846 - BIELAS	FIDELITY ADVISOR GROWTH AND INCOME	0.00	1.80	0.00	1.80	0.5	0.01
	ACCOUNT #: 00498533249	BUY 0 Units @0.0000						
01/08/2018	***-**-429I - ZIER, RUSSELL	FIDELITY ADVISOR HIGH INCOME ADVANT	0.00	1.26	0.00	1.26	0.5	0.01
	ACCOUNT #: 01449917788	BUY 0 Units @0.0000						
01/08/2018	***-**-2667 - BETTINI, ROBERT	FIDELITY ADVISOR INTERNAT'L CAPITAL	0.00	4.36	0.00	4.36	0.5	0.02
	ACCOUNT #: 00655086841	BUY 0 Units @0.0000						
01/22/2018	***-**-5332 - HABERMAN,	FIDELITY ADVISOR LATIN AMERICA CL A	0.00	1.37	0.00	1.37	0.5	0.01
	ACCOUNT #: LSR102318	BUY 1 Units @0.0000						
01/08/2018	***-**-7846 - BIELAS	FIDELITY ADVISOR MID CAP STOCK CL A	0.00	1.14	0.00	1.14	0.5	0.01
	ACCOUNT #: 00498533249	BUY 0 Units @0.0000						
01/08/2018	***-**-4413 - COREY, PEGGY	FIDELITY ADVISOR MID CAP STOCK CL A	0.00	6.13	0.00	6.13	0.5	0.03
	ACCOUNT #: 00501474076	BUY 0 Units @0.0000						
01/08/2018	***-**-2412 - COREY, MARSHALL	FIDELITY ADVISOR MID CAP STOCK CL A	0.00	3.09	0.00	3.09	0.5	0.02
	ACCOUNT #: 00501474225	BUY 0 Units @0.0000						
01/08/2018	***-**-2667 - BETTINI, ROBERT	FIDELITY ADVISOR MID CAP STOCK CL A	0.00	5.30	0.00	5.30	0.5	0.03
	ACCOUNT #: 00655086841	BUY 0 Units @0.0000						
01/08/2018	***-**-91IN - MONT-LABUTTE,	FIDELITY ADVISOR MID CAP STOCK CL A	0.00	3.87	0.00	3.87	0.5	0.02
	ACCOUNT #: 00503941965	BUY 0 Units @0.0000						
01/08/2018	***-**-4741 - STEINER, GARY	FIDELITY ADVISOR MID CAP STOCK CL T	0.00	2.75	0.00	2.75	0.5	0.01
	ACCOUNT #: 00531302438	BUY 0 Units @0.0000						
01/08/2018	***-**-429I - ZIER, RUSSELL	FIDELITY ADVISOR MID CAP STOCK CL T	0.00	2.80	0.00	2.80	0.5	0.01
	ACCOUNT #: 01449917788	BUY 0 Units @0.0000						
01/08/2018	***-**-65CL - WOLFOLK, CLIENTS	FIDELITY ADVISOR SMALL-CAP GROWTH F	0.00	1.55	0.00	1.55	0.5	0.01
	ACCOUNT #: 01473078036	BUY 0 Units @0.0000						





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/08/2018	***-**-429I - ZIER, RUSSELL	FIDELITY ADVISOR VALUE STRAT CL T	0.00	2.88	0.00	2.88	0.5	0.01
	ACCOUNT #: 01449917788	BUY 0 Units @0.0000						
01/16/2018	***-**-817O - YB & J	FIRST EAGLE GLOBAL FUND CLASS A	0.00	1.96	0.00	1.96	0.5	0.01
	ACCOUNT #: LSR011351	BUY 1 Units @0.0000						
01/16/2018	***-**-733I - ANDERSEN,	FUNDAMENTAL INVESTORS-529A	25.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: 00085128729	BUY 0 Units @68.1300						
01/11/2018	***-**-537I - DIVALERIO, KATHY	FUNDAMENTAL INVESTORS-A	91.13	2.51	0.00	2.51	0.5	0.01
	ACCOUNT #: 00083765927	BUY 1 Units @66.8300						
01/22/2018	***-**-779I - BEDNARZ,	FUNDAMENTAL INVESTORS-A	0.00	1.31	0.00	1.31	0.5	0.01
	ACCOUNT #: LSR012063	BUY 1 Units @0.0000						
01/22/2018	***-**-67IR - HELLER, BRUCE	FUNDAMENTAL INVESTORS-A	0.00	2.27	0.00	2.27	0.5	0.01
	ACCOUNT #: LSR012140	BUY 1 Units @0.0000						
01/22/2018	***-**-967I - HELLER, BRUCE	FUNDAMENTAL INVESTORS-A	0.00	1.44	0.00	1.44	0.5	0.01
	ACCOUNT #: LSR012141	BUY 1 Units @0.0000						
01/22/2018	***-**-360I - METCHIS, HOWARD	FUNDAMENTAL INVESTORS-A	0.00	2.22	0.00	2.22	0.5	0.01
	ACCOUNT #: LSR012192	BUY 1 Units @0.0000						
01/22/2018	***-**-302O - KELLY, TRICIA	FUNDAMENTAL INVESTORS-A	0.00	1.33	0.00	1.33	0.5	0.01
	ACCOUNT #: LSR012209	BUY 1 Units @0.0000						
12/26/2017	***-**-8198 - GOLDSTICKER,	GENWORTH 37250G301	0.00	3.23	0.00	3.23	0.5	0.02
	ACCOUNT #: T02764847	BUY 0 Units @0.0000						
12/28/2017	***-**-9137 - NICOLAS, EDWARD	GENWORTH 37250G301	0.00	2.35	0.00	2.35	0.5	0.01
	ACCOUNT #: T02757909	BUY 0 Units @0.0000						
01/02/2018	***-**-03CL - GEORGIEV,	GENWORTH 37250G301	0.00	22.92	0.00	22.92	0.5	0.11
	ACCOUNT #: T02761495	BUY 0 Units @0.0000						
01/03/2018	***-**-9308 - RASCOE, THOMAS	GENWORTH 37250G301	0.00	9.54	0.00	9.54	0.5	0.05
	ACCOUNT #: T02759125	BUY 0 Units @0.0000						
01/08/2018	***-**-18IN - GREANEY, STEPHEN	GENWORTH 37250G301	0.00	2.11	0.00	2.11	0.5	0.01
	ACCOUNT #: T02740155	BUY 0 Units @0.0000						
01/09/2018	***-**-7433 - MISTRY,	GENWORTH 37250G301	304.00	3.04	0.00	3.04	0.5	0.02
	ACCOUNT #: T02751687	BUY 0 Units @0.0000						
01/09/2018	***-**-7434 - MISTRY, SUZY	GENWORTH 37250G301	260.00	2.60	0.00	2.60	0.5	0.01
	ACCOUNT #: T02751688	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/10/2018	***-**-7433 - MISTRY, ACCOUNT #: T02751687	GENWORTH 37250G301 BUY 0 Units @0.0000	0.00	6.70	0.00	6.70	0.5	0.03
01/10/2018	***-**-7434 - MISTRY, SUZY ACCOUNT #: T02751688	GENWORTH 37250G301 BUY 0 Units @0.0000	0.00	7.20	0.00	7.20	0.5	0.04
01/11/2018	***-**-99IN - CASSIDY, GERALD ACCOUNT #: T02759899	GENWORTH 37250G301 BUY 0 Units @0.0000	0.00	14.26	0.00	14.26	0.5	0.07
01/12/2018	***-**-03CL - GEORGIEV, ACCOUNT #: T02748105	GENWORTH 37250G301 BUY 0 Units @0.0000	105.00	1.05	0.00	1.05	0.5	0.01
01/12/2018	***-**-03CL - GEORGIEV, ACCOUNT #: T02748106	GENWORTH 37250G301 BUY 0 Units @0.0000	145.00	1.45	0.00	1.45	0.5	0.01
01/12/2018	***-**-6891 - JANDALI, AMER ACCOUNT #: T02770188	GENWORTH 37250G301 BUY 0 Units @0.0000	1,951.00	19.51	0.00	19.51	0.5	0.10
01/16/2018	***-**-1519 - WAHBAH, AMAL ACCOUNT #: T02762314	GENWORTH 37250G301 BUY 0 Units @0.0000	230.00	2.30	0.00	2.30	0.5	0.01
01/17/2018	***-**-6856 - FLOM, MEGAN ACCOUNT #: T02769407	GENWORTH 37250G301 BUY 0 Units @0.0000	208.34	2.09	0.00	2.09	0.5	0.01
01/19/2018	***-**-9505 - NESSIM, SAMIA ACCOUNT #: T02776362	GENWORTH 37250G301 BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	0.5	0.01
01/09/2018	***-**-1549 - CAHILL, HAROLD ACCOUNT #: T02692477	GENWORTH 37250G459 BUY 0 Units @0.0000	450.00	13.50	0.00	13.50	0.5	0.07
01/09/2018	***-**-1549 - CAHILL, HAROLD ACCOUNT #: T02692477	GENWORTH 37250G459 BUY 0 Units @0.0000	13.50	8.78	0.00	8.78	0.5	0.04
01/16/2018	***-**-65CL - LIFEMARK, ACCOUNT #: T02695941	GENWORTH 37250G459 BUY 0 Units @0.0000	35.00	1.05	0.00	1.05	0.5	0.01
12/27/2017	***-**-7799 - SAVICKAS, LORI ACCOUNT #: T02762584	GENWORTH 37250G475 BUY 0 Units @0.0000	0.00	19.05	0.00	19.05	0.5	0.10
01/16/2018	***-**-3085 - MARANDOLA, ACCOUNT #: T02763092	GENWORTH 37250G475 BUY 0 Units @0.0000	0.00	2.94	0.00	2.94	0.5	0.01
01/22/2018	***-**-642I - BELT, JAMES ACCOUNT #: 00091150350	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	47.92	0.00	47.92	0.5	0.24
01/22/2018	***-**-642I - BELT, JAMES ACCOUNT #: 00091150392	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	23.96	0.00	23.96	0.5	0.12



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/22/2018	***-**-8350 - FREEMAN, FLOYD	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	59.90	0.00	59.90	0.5	0.30
	ACCOUNT #: 00091150459	BUY 0 Units @0.0000						
01/22/2018	***-**-278I - BOIN, ROBERT	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	26.35	0.00	26.35	0.5	0.13
	ACCOUNT #: 00091150462	BUY 0 Units @0.0000						
01/22/2018	***-**-869I - MLYNARCZYK,	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	23.96	0.00	23.96	0.5	0.12
	ACCOUNT #: 00091150466	BUY 0 Units @0.0000						
01/22/2018	***-**-60IR - BELT, CYNTHIA	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	16.77	0.00	16.77	0.5	0.08
	ACCOUNT #: 00091150483	BUY 0 Units @0.0000						
01/22/2018	***-**-824I - CHRISOS, LORRIE	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	9.34	0.00	9.34	0.5	0.05
	ACCOUNT #: 00091150510	BUY 0 Units @0.0000						
01/22/2018	***-**-370I - LOBRACO, ANTHONY	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	19.17	0.00	19.17	0.5	0.10
	ACCOUNT #: 00091150763	BUY 0 Units @0.0000						
01/16/2018	***-**-8658 - SOLOFF, MIRIAM	GUGGENHEIM STYLPLUS MIDCAP GRWTH ST	0.00	2.70	0.00	2.70	0.5	0.01
	ACCOUNT #: LSR105201	BUY 1 Units @0.0000						
01/22/2018	***-**-371I - MASELLI, PIER	HARTFORD CHECKS AND BALANCED FUND C	0.00	4.04	0.00	4.04	0.5	0.02
	ACCOUNT #: LSR009172	BUY 1 Units @0.0000						
01/22/2018	***-**-141I - FELICETTI, MARY	HARTFORD CHECKS AND BALANCED FUND C	0.00	1.78	0.00	1.78	0.5	0.01
	ACCOUNT #: LSR009695	BUY 1 Units @0.0000						
01/22/2018	***-**-5210 - MCCUNE, ELAINE	HARTFORD CHECKS AND BALANCED FUND C	0.00	1.28	0.00	1.28	0.5	0.01
	ACCOUNT #: LSR009962	BUY 1 Units @0.0000						
01/16/2018	***-**-55IO - APPLEBY, SHARON	HIGH YIELD A	0.00	11.30	0.00	11.30	0.5	0.06
	ACCOUNT #: LSR012278	BUY 1 Units @0.0000						
01/16/2018	***-**-80CL - DAVIS, CLIENTS	ING ADVANTAGE SELECT	0.00	2.26	0.00	2.26	0.5	0.01
	ACCOUNT #: R065707-ZS	BUY 0 Units @0.0000						
01/16/2018	***-**-58IN - LOUNSBURY,	ING VA 008148108	0.00	2.47	0.00	2.47	0.5	0.01
	ACCOUNT #: C034577-MN	BUY 0 Units @0.0000						
01/10/2018	***-**-16IN - MIDDLETON, BETTY	INTERMEDIATE BOND FUND OF AMERICA-A	50.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: 00060743431	BUY 4 Units @13.6300						
01/01/2018	***-**-590I - LOEWE, VICTORIA	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	1.40	0.00	1.40	0.5	0.01
	ACCOUNT #: 05057016999	BUY 0 Units @0.0000						
01/01/2018	***-**-09IE - OSBOURNE, ISAAK	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	3.52	0.00	3.52	0.5	0.02
	ACCOUNT #: 02500053663	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/01/2018	***-**-28IE - ACCOUNT #: 03811036064	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	5.27	0.00	5.27	0.5	0.03
01/01/2018	***-**-872I - NEWGAARD, DAVID ACCOUNT #: 03870048117	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	5.45	0.00	5.45	0.5	0.03
01/01/2018	***-**-72IR - NEWGAARD, DAVID ACCOUNT #: 03870048125	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	9.25	0.00	9.25	0.5	0.05
01/01/2018	***-**-37IR - NEWGAARD, JERI ACCOUNT #: 03870048133	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	10.84	0.00	10.84	0.5	0.05
01/01/2018	***-**-898I - PERRY, ABBY ACCOUNT #: 05040255670	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	1.49	0.00	1.49	0.5	0.01
01/01/2018	***-**-86IN - KUNZ, JAMES ACCOUNT #: 00115773658	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	6.70	0.00	6.70	0.5	0.03
01/01/2018	***-**-22IN - RODNEY, ACCOUNT #: 00670547075	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	31.19	0.00	31.19	0.5	0.16
01/01/2018	***-**-905J - MAZZA/VIGLIONE, ACCOUNT #: 00670605502	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	13.01	0.00	13.01	0.5	0.07
01/01/2018	***-**-48IN - VALOVGIN, ACCOUNT #: 00670606882	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	20.76	0.00	20.76	0.5	0.10
01/01/2018	***-**-010I - JANE MC ACCOUNT #: 00670746245	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	11.19	0.00	11.19	0.5	0.06
01/01/2018	***-**-668E - MONTION, ALMA ACCOUNT #: 01001287986	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	8.96	0.00	8.96	0.5	0.04
01/01/2018	***-**-473I - ENRIQUEZ, MARY ACCOUNT #: 03808512580	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	1.92	0.00	1.92	0.5	0.01
01/01/2018	***-**-28RI - FULHORST, MARY ACCOUNT #: 03809041431	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	6.56	0.00	6.56	0.5	0.03
01/01/2018	***-**-79IN - WAHAB, MAGGY ACCOUNT #: 03809157799	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	2.92	0.00	2.92	0.5	0.01
01/01/2018	***-**-70IR - GOGOLEWSKI, ACCOUNT #: 03810608020	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	2.26	0.00	2.26	0.5	0.01
01/01/2018	***-**-285I - PLATT, CHARLES ACCOUNT #: 03909198396	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	17.60	0.00	17.60	0.5	0.09



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/01/2018	***-**-22UG - STRIEBE UTMT, ACCOUNT #: 03909931689	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	2.13	0.00	2.13	0.5	0.01
01/01/2018	***-**-741I - GILMUND, MARIE ACCOUNT #: 03911939423	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	1.72	0.00	1.72	0.5	0.01
01/01/2018	***-**-269I - EMOND, BRUCE ACCOUNT #: 03913073221	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	2.53	0.00	2.53	0.5	0.01
01/01/2018	***-**-074I - AKOUR, HASSAN ACCOUNT #: 04029075001	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	2.15	0.00	2.15	0.5	0.01
01/01/2018	***-**-82IR - GOGOLEWSKI, ACCOUNT #: 04039727849	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	5.20	0.00	5.20	0.5	0.03
01/01/2018	***-**-275I - WAYMAN, JAMES ACCOUNT #: 04039945649	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	6.16	0.00	6.16	0.5	0.03
01/01/2018	***-**-43IR - RAMACHANDRAN, ACCOUNT #: 04040140412	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	4.89	0.00	4.89	0.5	0.02
01/01/2018	***-**-196I - NOVAK, DIANE ACCOUNT #: 04400052756	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	3.85	0.00	3.85	0.5	0.02
01/01/2018	***-**-162I - CALLAHAN, ACCOUNT #: 04400055758	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	11.11	0.00	11.11	0.5	0.06
01/01/2018	***-**-603P - HILLIKER, JOANNE ACCOUNT #: 05002543766	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	9.31	0.00	9.31	0.5	0.05
01/01/2018	***-**-191I - LA VAN, HELEN ACCOUNT #: 05004067210	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	12.84	0.00	12.84	0.5	0.06
01/01/2018	***-**-35IN - FETTERS, ACCOUNT #: 05013661987	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	1.72	0.00	1.72	0.5	0.01
01/01/2018	***-**-575P - PACKAGE SEP ACCOUNT #: 05014479132	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	13.20	0.00	13.20	0.5	0.07
01/01/2018	***-**-526I - PARKER, WILLIAM ACCOUNT #: 05017880377	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	1.89	0.00	1.89	0.5	0.01
01/01/2018	***-**-499I - PARKER, MARY ACCOUNT #: 05017880393	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	2.06	0.00	2.06	0.5	0.01
01/01/2018	***-**-540I - LOHR, JEANINE ACCOUNT #: 05018549716	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	42.26	0.00	42.26	0.5	0.21



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ALEX GEORGIEV (continued) </u></b>								
01/01/2018	***-**-740I - PETRICK, MARILYN	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	1.86	0.00	1.86	0.5	0.01
	ACCOUNT #: 05018880350	BUY 0 Units @0.0000						
01/01/2018	***-**-24IR - RAMACHANDRAN,	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	2.57	0.00	2.57	0.5	0.01
	ACCOUNT #: 05024528225	BUY 0 Units @0.0000						
01/01/2018	***-**-2230 - BABYLON, JOHN	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	2.93	0.00	2.93	0.5	0.01
	ACCOUNT #: 05032117722	BUY 0 Units @0.0000						
01/01/2018	***-**-89SI - LOZOWICKI,	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: 05034180595	BUY 0 Units @0.0000						
01/01/2018	***-**-62IN - TANSKI, RONALD &	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	4.45	0.00	4.45	0.5	0.02
	ACCOUNT #: 07002170749	BUY 0 Units @0.0000						
01/01/2018	***-**-738I - KAY, LAWRENCE	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	39.86	0.00	39.86	0.5	0.20
	ACCOUNT #: 00670276513	BUY 0 Units @0.0000						
01/01/2018	***-**-400P - GROSS, LISA	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	4.88	0.00	4.88	0.5	0.02
	ACCOUNT #: 03808964385	BUY 0 Units @0.0000						
01/01/2018	***-**-94IN - BOWERS, DEBORAH	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	4.27	0.00	4.27	0.5	0.02
	ACCOUNT #: 03809277852	BUY 0 Units @0.0000						
01/01/2018	***-**-006P - MEADOWS, APRIL	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	8.57	0.00	8.57	0.5	0.04
	ACCOUNT #: 04038554103	BUY 0 Units @0.0000						
01/16/2018	***-**-458I - KLAG, SCOTT	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	1.40	0.00	1.40	0.5	0.01
	ACCOUNT #: LSR010282	BUY 1 Units @0.0000						
01/16/2018	***-**-22SI - PROUL, JEFFRY	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	1.78	0.00	1.78	0.5	0.01
	ACCOUNT #: LSR000175	BUY 1 Units @0.0000						
01/11/2018	***-**-335I - HARWOOD, RICHARD	INVESCO COMSTOCK FUND CL Y-NFS	593.99	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: LSR012060	SELL 21 Units @27.9800						
01/11/2018	***-**-915I - HARWOOD, ARLENE	INVESCO COMSTOCK FUND CL Y-NFS	255.01	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: LSR012080	SELL 9 Units @27.9800						
01/01/2018	***-**-86IN - KIZER, THOMAS	INVESCO CONVERTIBLE SECURITIES FUND	0.00	14.80	0.00	14.80	0.5	0.07
	ACCOUNT #: 01001326016	BUY 0 Units @0.0000						
01/11/2018	***-**-335I - HARWOOD, RICHARD	INVESCO EQUITY AND INCOME FND CL Y-	594.00	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: LSR012060	SELL 53 Units @11.2400						
01/11/2018	***-**-915I - HARWOOD, ARLENE	INVESCO EQUITY AND INCOME FND CL Y-	247.50	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: LSR012080	SELL 22 Units @11.2400						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ALEX GEORGIEV (continued) </u></b>								
01/11/2018	***-**-915I - HARWOOD, ARLENE	INVESCO GROWTH AND INCOME FUND-NFS	247.49	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: LSR012080	SELL 9 Units @28.0000						
01/01/2018	***-**-813I - GILMUND, MATTHEW	INVESCO STRUCTURED CORE FUND -CLASS	0.00	1.53	0.00	1.53	0.5	0.01
	ACCOUNT #: 04040537880	BUY 0 Units @0.0000						
01/01/2018	***-**-575P - PACKAGE SEP	INVESCO STRUCTURED CORE FUND -CLASS	0.00	2.20	0.00	2.20	0.5	0.01
	ACCOUNT #: 05014479132	BUY 0 Units @0.0000						
01/01/2018	***-**-62IN - TANSKI, RONALD &	INVESCO STRUCTURED CORE FUND -CLASS	0.00	3.38	0.00	3.38	0.5	0.02
	ACCOUNT #: 07002170749	BUY 0 Units @0.0000						
01/01/2018	***-**-400P - GROSS, LISA	INVESCO STRUCTURED CORE FUND -CLASS	0.00	4.06	0.00	4.06	0.5	0.02
	ACCOUNT #: 03808964385	BUY 0 Units @0.0000						
01/01/2018	***-**-649I - HALL, DENICE	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	126.66	0.00	126.66	0.5	0.63
	ACCOUNT #: 04400015966	BUY 0 Units @0.0000						
01/01/2018	***-**-9772 - BUSKARD, MAUREEN	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	19.03	0.00	19.03	0.5	0.10
	ACCOUNT #: 05010322112	BUY 0 Units @0.0000						
01/16/2018	***-**-60TR - BLANCHARD TRUST,	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	4.33	0.00	4.33	0.5	0.02
	ACCOUNT #: LSR010327	BUY 1 Units @0.0000						
01/01/2018	***-**-190I - MYHRE, BRETT	INVESCO VAN KAMPEN MID CAP GROWTH A	0.00	6.16	0.00	6.16	0.5	0.03
	ACCOUNT #: 00777090554	BUY 0 Units @0.0000						
01/01/2018	***-**-04IN - LAMP, JOHN	INVESCO VAN KAMPEN MID CAP GROWTH A	0.00	1.05	0.00	1.05	0.5	0.01
	ACCOUNT #: 03908955333	BUY 0 Units @0.0000						
01/01/2018	***-**-24IR - RAMACHANDRAN,	INVESCO VAN KAMPEN MID CAP GROWTH A	0.00	8.24	0.00	8.24	0.5	0.04
	ACCOUNT #: 05024528100	BUY 0 Units @0.0000						
01/01/2018	***-**-24IR - RAMACHANDRAN,	INVESCO VAN KAMPEN MID CAP GROWTH A	0.00	5.95	0.00	5.95	0.5	0.03
	ACCOUNT #: 05024528225	BUY 0 Units @0.0000						
01/01/2018	***-**-404I - ROEHRICH, DULCE	INVESCO VAN KAMPEN MID CAP GROWTH A	0.00	3.02	0.00	3.02	0.5	0.02
	ACCOUNT #: 05024554932	BUY 0 Units @0.0000						
01/01/2018	***-**-4943 - RAMACHANDRAN,	INVESCO VAN KAMPEN MID CAP GROWTH A	0.00	4.09	0.00	4.09	0.5	0.02
	ACCOUNT #: 05055123672	BUY 0 Units @0.0000						
01/01/2018	***-**-256P - LAI, ANITA	INVESCO VAN KAMPEN MID CAP GROWTH A	0.00	16.61	0.00	16.61	0.5	0.08
	ACCOUNT #: 03910074685	BUY 0 Units @0.0000						
01/01/2018	***-**-590I - LOEWE, VICTORIA	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	1.99	0.00	1.99	0.5	0.01
	ACCOUNT #: 05057016999	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ALEX GEORGIEV (continued) </u></b>								
01/01/2018	***-**-03CL - GEORGIEV, ACCOUNT #: 01001386661	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	6.95	0.00	6.95	0.5	0.03
01/01/2018	***-**-632I - ANGELL, MICHAEL ACCOUNT #: 03811200116	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	21.70	0.00	21.70	0.5	0.11
01/01/2018	***-**-265I - SHEKMAN, MICHAEL ACCOUNT #: 03910734502	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	3.88	0.00	3.88	0.5	0.02
01/01/2018	***-**-264I - SHEKMAN, TANYA ACCOUNT #: 04040466536	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	3.88	0.00	3.88	0.5	0.02
01/01/2018	***-**-06UG - APOSTOLOS, ACCOUNT #: 03810838635	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	4.93	0.00	4.93	0.5	0.02
01/01/2018	***-**-632I - ANGELL, MICHAEL ACCOUNT #: 03811200116	IVK AMERICAN VALUE FUND - CLASS A BUY 0 Units @0.0000	0.00	10.00	0.00	10.00	0.5	0.05
01/01/2018	***-**-45IR - HAHN, SHAUN ACCOUNT #: 03909298923	IVK AMERICAN VALUE FUND - CLASS A BUY 0 Units @0.0000	0.00	5.62	0.00	5.62	0.5	0.03
01/01/2018	***-**-625P - FOURACRE, RHONDA ACCOUNT #: 05067327493	IVK AMERICAN VALUE FUND - CLASS A BUY 0 Units @0.0000	0.00	7.71	0.00	7.71	0.5	0.04
01/01/2018	***-**-632I - ANGELL, MICHAEL ACCOUNT #: 03811200116	IVK AMERICAN VALUE FUND - CLASS B BUY 0 Units @0.0000	0.00	2.11	0.00	2.11	0.5	0.01
01/01/2018	***-**-905J - MAZZA/VIGLIONE, ACCOUNT #: 00670605502	IVK GROWTH AND INCOME FUND - CLASS BUY 0 Units @0.0000	0.00	34.03	0.00	34.03	0.5	0.17
01/16/2018	***-**-07SI - BODDIE, FBO ACCOUNT #: LSR007161	IVK GROWTH AND INCOME FUND - CLASS BUY 1 Units @0.0000	0.00	3.73	0.00	3.73	0.5	0.02
01/16/2018	***-**-60TR - BLANCHARD TRUST, ACCOUNT #: LSR010327	IVK GROWTH AND INCOME FUND - CLASS BUY 1 Units @0.0000	0.00	7.03	0.00	7.03	0.5	0.04
01/16/2018	***-**-034I - YEARY, LYNETTE ACCOUNT #: LSR012190	IVK GROWTH AND INCOME FUND - CLASS BUY 1 Units @0.0000	0.00	1.04	0.00	1.04	0.5	0.01
01/01/2018	***-**-87TR - MARTIN, HELEN ACCOUNT #: 00671249463	IVK SENIOR LOAN FUND - CLASS IB BUY 0 Units @0.0000	0.00	16.97	0.00	16.97	0.5	0.08
01/01/2018	***-**-632I - ANGELL, MICHAEL ACCOUNT #: 03811200116	IVK VALUE OPPORTUNITIES FUND -CLASS BUY 0 Units @0.0000	0.00	2.20	0.00	2.20	0.5	0.01
01/01/2018	***-**-879P - KING H LU, CHEN ACCOUNT #: 03810505994	IVK VALUE OPPORTUNITIES FUND -CLASS BUY 0 Units @0.0000	0.00	8.78	0.00	8.78	0.5	0.04





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/16/2018	***-**-871X - FORD, KYLE ACCOUNT #: LSR010041	IVY ASSET STRATEGY FUND CLASS A BUY 1 Units @0.0000	0.00	5.02	0.00	5.02	0.5	0.03
01/16/2018	***-**-785I - MCGUIRE, JOSEPH ACCOUNT #: 009190580A	JACKSON PERSPECTIVE II BUY 0 Units @0.0000	50.00	3.50	0.00	3.50	0.5	0.02
01/16/2018	***-**-9944 - KOKIS, SAMUEL ACCOUNT #: LSR104922	JOHN HANCOCK CLASSIC VALUE FUND CLA BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	0.5	0.01
01/16/2018	***-**-8658 - SOLOFF, MIRIAM ACCOUNT #: LSR105201	JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 1 Units @0.0000	0.00	2.10	0.00	2.10	0.5	0.01
01/22/2018	***-**-25CL - CARRIGAN, ACCOUNT #: 35355	JOHN HANCOCK VA TRAILS BUY 1 Units @0.0000	0.00	307.38	0.00	307.38	0.5	1.54
01/15/2018	***-**-1428 - PEWANICK, ACCOUNT #: 2766748	JOHN HANCOCK VENTURE 2006 BUY 0 Units @0.0000	50.00	3.75	0.00	3.75	0.5	0.02
01/05/2018	***-**-21IR - RAMOS, VALENTE ACCOUNT #: 00000500391	LARGE CAP GROWTH FUND - A BUY 0 Units @0.0000	0.00	2.10	0.00	2.10	0.5	0.01
01/05/2018	***-**-21IN - RAMOS, VALENTE ACCOUNT #: 00000500395	LARGE CAP GROWTH FUND - A BUY 0 Units @0.0000	0.00	10.79	0.00	10.79	0.5	0.05
01/04/2018	***-**-3738 - SIEGEL, ELLEN ACCOUNT #: 00600274245	LARGCAP GROWTH - CLASS A BUY 0 Units @0.0000	0.00	2.59	0.00	2.59	0.5	0.01
01/16/2018	***-**-7888 - LEBOVITZ, SARAH ACCOUNT #: LSR106011	LARGCAP GROWTH - CLASS A BUY 1 Units @0.0000	0.00	1.92	0.00	1.92	0.5	0.01
01/16/2018	***-**-209I - FRIED ACCOUNT #: LSR105805	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	0.5	0.01
01/16/2018	***-**-9072 - FRIED, FRAIDEL ACCOUNT #: LSR105813	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	1.30	0.00	1.30	0.5	0.01
01/08/2018	***-**-65CL - LIFEMARK, ACCOUNT #: F1519921	LINCOLN 008148728 BUY 0 Units @0.0000	82.50	1.65	0.00	1.65	0.5	0.01
01/08/2018	***-**-65CL - LIFEMARK, ACCOUNT #: F1526738	LINCOLN 008148728 BUY 0 Units @0.0000	65.00	1.30	0.00	1.30	0.5	0.01
01/10/2018	***-**-7810 - PEYMAN, KAMRAN ACCOUNT #: F1517691	LINCOLN 008148728 BUY 0 Units @0.0000	67.00	1.34	0.00	1.34	0.5	0.01
01/10/2018	***-**-9743 - ZECHAR, LANNY ACCOUNT #: F1522111	LINCOLN 008148728 BUY 0 Units @0.0000	127.44	2.55	0.00	2.55	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/10/2018	***-**-9743 - ZECHAR, LANNY	LINCOLN 008148728	94.02	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: F1522111	BUY 0 Units @0.0000						
01/11/2018	***-**-65CL - LIFEMARK,	LINCOLN 008148728	200.00	4.00	0.00	4.00	0.5	0.02
	ACCOUNT #: F1521605	BUY 0 Units @0.0000						
01/12/2018	***-**-2838 - HUYNH, NGOC	LINCOLN 008148728	54.00	1.08	0.00	1.08	0.5	0.01
	ACCOUNT #: F1520508	BUY 0 Units @0.0000						
01/16/2018	***-**-7162 - ZIMMERMAN,	LINCOLN 008148728	81.00	1.62	0.00	1.62	0.5	0.01
	ACCOUNT #: F1518783	BUY 0 Units @0.0000						
01/16/2018	***-**-9413 - BAKER, JENNIFER	LINCOLN 008148728	89.77	1.80	0.00	1.80	0.5	0.01
	ACCOUNT #: F1519413	BUY 0 Units @0.0000						
01/16/2018	***-**-542I - TUTTLE, WILLIAM	LINCOLN 008148728	200.00	4.00	0.00	4.00	0.5	0.02
	ACCOUNT #: F1526963	BUY 0 Units @0.0000						
01/17/2018	***-**-0753 - PENNINGTON,	LINCOLN 008148728	397.37	7.95	0.00	7.95	0.5	0.04
	ACCOUNT #: F1519387	BUY 0 Units @0.0000						
01/17/2018	***-**-0753 - PENNINGTON,	LINCOLN 008148728	194.62	3.89	0.00	3.89	0.5	0.02
	ACCOUNT #: F1519387	BUY 0 Units @0.0000						
01/17/2018	***-**-65CL - LIFEMARK,	LINCOLN 008148728	75.00	1.50	0.00	1.50	0.5	0.01
	ACCOUNT #: F1527205	BUY 0 Units @0.0000						
01/17/2018	***-**-65CL - LIFEMARK,	LINCOLN 008148793	4,700.00	94.00	0.00	94.00	0.5	0.47
	ACCOUNT #: F1527198	BUY 0 Units @0.0000						
01/09/2018	***-**-1148 - NORTON, DAVID	LINCOLN 53301E104	0.00	10.58	0.00	10.58	0.5	0.05
	ACCOUNT #: LBLA117189	BUY 0 Units @0.0000						
01/14/2018	***-**-3705 - BAILEY, GRACIE	LINCOLN 534222690	0.00	67.24	0.00	67.24	0.5	0.34
	ACCOUNT #: 4811920	BUY 0 Units @0.0000						
01/01/2018	***-**-8958 - TARAN, JOSEPH	MASS INVESTORS GROWTH STOCK FUND-A	0.00	67.27	0.00	67.27	0.5	0.34
	ACCOUNT #: 00060366039	BUY 0 Units @0.0000						
01/01/2018	***-**-2444 - TARAN, STEPHEN	MASS INVESTORS GROWTH STOCK FUND-A	0.00	67.98	0.00	67.98	0.5	0.34
	ACCOUNT #: 00060366041	BUY 0 Units @0.0000						
01/01/2018	***-**-2780 - WHITMORE,	MASS INVESTORS GROWTH STOCK FUND-A	0.00	22.35	0.00	22.35	0.5	0.11
	ACCOUNT #: 00060366106	BUY 0 Units @0.0000						
01/01/2018	***-**-703J - MCBRIDE, PATRICK	MASS INVESTORS GROWTH STOCK FUND-A	0.00	7.17	0.00	7.17	0.5	0.04
	ACCOUNT #: 00060366260	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/01/2018	***-**-005P - RUTLAND	MASS INVESTORS GROWTH STOCK FUND-A	0.00	5.51	0.00	5.51	0.5	0.03
	ACCOUNT #: 00060388037	BUY 0 Units @0.0000						
01/01/2018	***-**-715P - RUTLAND	MASS INVESTORS GROWTH STOCK FUND-A	0.00	10.92	0.00	10.92	0.5	0.05
	ACCOUNT #: 00060390553	BUY 0 Units @0.0000						
01/01/2018	***-**-7219 - YENDELL, MICHAEL	MASS INVESTORS GROWTH STOCK FUND-A	0.00	4.93	0.00	4.93	0.5	0.02
	ACCOUNT #: 00060410897	BUY 0 Units @0.0000						
01/01/2018	***-**-385P - MOUNTAIN DRYWALL	MASS INVESTORS GROWTH STOCK FUND-A	0.00	15.73	0.00	15.73	0.5	0.08
	ACCOUNT #: 00060410898	BUY 0 Units @0.0000						
01/01/2018	***-**-49IN - SMITH, LORI	MASS INVESTORS GROWTH STOCK FUND-A	0.00	34.42	0.00	34.42	0.5	0.17
	ACCOUNT #: 00060411511	BUY 0 Units @0.0000						
01/01/2018	***-**-761I - HEWES, GEORGE	MASS INVESTORS GROWTH STOCK FUND-A	0.00	1.98	0.00	1.98	0.5	0.01
	ACCOUNT #: 00060412326	BUY 0 Units @0.0000						
01/01/2018	***-**-910I - JANAKIRAMAN,	MASS INVESTORS GROWTH STOCK FUND-A	0.00	4.62	0.00	4.62	0.5	0.02
	ACCOUNT #: 00060412332	BUY 0 Units @0.0000						
01/01/2018	***-**-95UG - KHOURY, DAVID	MASS INVESTORS GROWTH STOCK FUND-A	0.00	3.79	0.00	3.79	0.5	0.02
	ACCOUNT #: 00060436777	BUY 0 Units @0.0000						
01/01/2018	***-**-5566 - & TRUST, PLAN &	MASS INVESTORS GROWTH STOCK FUND-A	0.00	24.11	0.00	24.11	0.5	0.12
	ACCOUNT #: 00060445803	BUY 0 Units @0.0000						
01/01/2018	***-**-4566 - JURAK, DANIEL	MASS INVESTORS GROWTH STOCK FUND-A	0.00	23.91	0.00	23.91	0.5	0.12
	ACCOUNT #: 00060450082	BUY 0 Units @0.0000						
01/01/2018	***-**-796I - PORTER, GROVER	MASS INVESTORS GROWTH STOCK FUND-A	0.00	22.61	0.00	22.61	0.5	0.11
	ACCOUNT #: 00060464764	BUY 0 Units @0.0000						
01/01/2018	***-**-31UG - KHOURY, BASIM &	MASS INVESTORS GROWTH STOCK FUND-A	0.00	1.11	0.00	1.11	0.5	0.01
	ACCOUNT #: 00060465067	BUY 0 Units @0.0000						
01/01/2018	***-**-29UG - KHOURY, BASIM &	MASS INVESTORS GROWTH STOCK FUND-A	0.00	1.11	0.00	1.11	0.5	0.01
	ACCOUNT #: 00060465068	BUY 0 Units @0.0000						
01/01/2018	***-**-98UG - KHOURY, BASIM &	MASS INVESTORS GROWTH STOCK FUND-A	0.00	1.11	0.00	1.11	0.5	0.01
	ACCOUNT #: 00060465069	BUY 0 Units @0.0000						
01/01/2018	***-**-25UG - KHOURY, BASIM &	MASS INVESTORS GROWTH STOCK FUND-A	0.00	1.11	0.00	1.11	0.5	0.01
	ACCOUNT #: 00060465070	BUY 0 Units @0.0000						
01/01/2018	***-**-669I - LOSO, KEVIN	MASS INVESTORS GROWTH STOCK FUND-A	0.00	2.54	0.00	2.54	0.5	0.01
	ACCOUNT #: 00060480114	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/01/2018	***-**-6669 - HOUSING ACCOUNT #: 00060487980	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	16.41	0.00	16.41	0.5	0.08
01/01/2018	***-**-1879 - WAHAB, G ACCOUNT #: 08186776765	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	18.60	0.00	18.60	0.5	0.09
01/01/2018	***-**-879J - WAHAB, MAGGY ACCOUNT #: 08187411709	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	8.52	0.00	8.52	0.5	0.04
01/01/2018	***-**-879I - WAHAB, G ACCOUNT #: 08187411713	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	14.38	0.00	14.38	0.5	0.07
01/01/2018	***-**-575P - PACKAGE SEP ACCOUNT #: 08187411729	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	20.40	0.00	20.40	0.5	0.10
01/01/2018	***-**-795P - WAHAB, G ACCOUNT #: 08187775132	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	7.97	0.00	7.97	0.5	0.04
01/01/2018	***-**-79IN - WAHAB, MAGGY ACCOUNT #: 08187957615	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	6.96	0.00	6.96	0.5	0.03
01/01/2018	***-**-3833 - ERDNER, MATHEW ACCOUNT #: 08187980406	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	18.63	0.00	18.63	0.5	0.09
01/01/2018	***-**-345P - PORTER MUSIC BOX ACCOUNT #: 08188649931	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	1.27	0.00	1.27	0.5	0.01
01/01/2018	***-**-14SI - KENNY, KANDACE ACCOUNT #: 08191126449	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	1.02	0.00	1.02	0.5	0.01
01/01/2018	***-**-1857 - PACKAGE SEP ACCOUNT #: 08191318833	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	2.67	0.00	2.67	0.5	0.01
01/08/2018	***-**-3833 - ERDNER, MATHEW ACCOUNT #: 08187980406	MASS INVESTORS GROWTH STOCK FUND-A BUY 2 Units @30.1300	65.00	2.60	0.00	2.60	0.5	0.01
01/01/2018	***-**-8958 - TARAN, JOSEPH ACCOUNT #: 08187067437	MASS INVESTORS GROWTH STOCK FUND-B BUY 0 Units @0.0000	0.00	10.66	0.00	10.66	0.5	0.05
01/01/2018	***-**-2444 - TARAN, STEPHEN ACCOUNT #: 08187067461	MASS INVESTORS GROWTH STOCK FUND-B BUY 0 Units @0.0000	0.00	26.75	0.00	26.75	0.5	0.13
01/01/2018	***-**-0771 - YENDELL, HEATHER ACCOUNT #: 08187246906	MASS INVESTORS GROWTH STOCK FUND-B BUY 0 Units @0.0000	0.00	9.83	0.00	9.83	0.5	0.05
01/01/2018	***-**-695P - HOUSING ACCOUNT #: 08188012588	MASS INVESTORS GROWTH STOCK FUND-B BUY 0 Units @0.0000	0.00	2.98	0.00	2.98	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/17/2018	***-**-578I - STAPLE, FRANCIS	MASS MUTUAL CUSIP 57629P105-NFS	50.00	2.75	0.00	2.75	0.5	0.01
	ACCOUNT #: ARN5466675EE	BUY 0 Units @0.0000						
01/01/2018	***-**-879I - WAHAB, G	MASSACHUSETTS INVESTORS TRUST-A	0.00	18.55	0.00	18.55	0.5	0.09
	ACCOUNT #: 00000903690	BUY 0 Units @0.0000						
01/01/2018	***-**-425P - RUTLAND	MASSACHUSETTS INVESTORS TRUST-A	0.00	64.94	0.00	64.94	0.5	0.32
	ACCOUNT #: 00060389296	BUY 0 Units @0.0000						
01/01/2018	***-**-1789 - TUNZI, UMBERTO	MASSACHUSETTS INVESTORS TRUST-A	0.00	4.83	0.00	4.83	0.5	0.02
	ACCOUNT #: 08184428526	BUY 0 Units @0.0000						
01/01/2018	***-**-011I - BERNHARD, DALE	MASSACHUSETTS INVESTORS TRUST-A	0.00	51.60	0.00	51.60	0.5	0.26
	ACCOUNT #: 08184762104	BUY 0 Units @0.0000						
01/01/2018	***-**-575P - PACKAGE SEP	MASSACHUSETTS INVESTORS TRUST-A	0.00	4.11	0.00	4.11	0.5	0.02
	ACCOUNT #: 08186072001	BUY 0 Units @0.0000						
01/01/2018	***-**-8442 - CARINI, JANET	MASSACHUSETTS INVESTORS TRUST-B	0.00	29.23	0.00	29.23	0.5	0.15
	ACCOUNT #: 08187246938	BUY 0 Units @0.0000						
01/01/2018	***-**-0624 - STOCKTON, JOANNE	MFS CONSERVATIVE ALLOCATION FUND-A	0.00	11.02	0.00	11.02	0.5	0.06
	ACCOUNT #: 08189500362	BUY 0 Units @0.0000						
01/01/2018	***-**-1748 - LEMIEUX, RICHARD	MFS CONSERVATIVE ALLOCATION FUND-A	0.00	1.60	0.00	1.60	0.5	0.01
	ACCOUNT #: 08191017606	BUY 0 Units @0.0000						
01/01/2018	***-**-8958 - TARAN, JOSEPH	MFS CORE EQUITY FUND-A	0.00	67.81	0.00	67.81	0.5	0.34
	ACCOUNT #: 00060367606	BUY 0 Units @0.0000						
01/01/2018	***-**-2444 - TARAN, STEPHEN	MFS CORE EQUITY FUND-A	0.00	68.07	0.00	68.07	0.5	0.34
	ACCOUNT #: 00060367608	BUY 0 Units @0.0000						
01/01/2018	***-**-195P - MOUNTAIN DRYWALL	MFS CORE EQUITY FUND-A	0.00	5.09	0.00	5.09	0.5	0.03
	ACCOUNT #: 00060414941	BUY 0 Units @0.0000						
01/01/2018	***-**-385P - MOUNTAIN DRYWALL	MFS CORE EQUITY FUND-A	0.00	16.05	0.00	16.05	0.5	0.08
	ACCOUNT #: 00060414942	BUY 0 Units @0.0000						
01/01/2018	***-**-49IN - SMITH, LORI	MFS CORE EQUITY FUND-A	0.00	37.51	0.00	37.51	0.5	0.19
	ACCOUNT #: 00060414988	BUY 0 Units @0.0000						
01/01/2018	***-**-761I - HEWES, GEORGE	MFS CORE EQUITY FUND-A	0.00	2.03	0.00	2.03	0.5	0.01
	ACCOUNT #: 00060415067	BUY 0 Units @0.0000						
01/01/2018	***-**-0820 - BRADLEY, ERIC	MFS CORE EQUITY FUND-A	0.00	1.93	0.00	1.93	0.5	0.01
	ACCOUNT #: 00060552610	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/01/2018	***-**-879J - WAHAB, MAGGY ACCOUNT #: 08186183691	MFS CORE EQUITY FUND-A BUY 0 Units @0.0000	0.00	11.99	0.00	11.99	0.5	0.06
01/01/2018	***-**-575P - PACKAGE SEP ACCOUNT #: 08188579990	MFS CORE EQUITY FUND-A BUY 0 Units @0.0000	0.00	24.02	0.00	24.02	0.5	0.12
01/01/2018	***-**-879I - WAHAB, G ACCOUNT #: 09898425005	MFS CORE EQUITY FUND-A BUY 0 Units @0.0000	0.00	23.55	0.00	23.55	0.5	0.12
01/01/2018	***-**-8958 - TARAN, JOSEPH ACCOUNT #: 08187067433	MFS CORE EQUITY FUND-B BUY 0 Units @0.0000	0.00	12.26	0.00	12.26	0.5	0.06
01/01/2018	***-**-2444 - TARAN, STEPHEN ACCOUNT #: 08187067457	MFS CORE EQUITY FUND-B BUY 0 Units @0.0000	0.00	29.18	0.00	29.18	0.5	0.15
01/01/2018	***-**-795P - WAHAB, G ACCOUNT #: 00000900575	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	9.09	0.00	9.09	0.5	0.05
01/01/2018	***-**-5252 - WHITMORE, SHARI ACCOUNT #: 00060364394	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	7.99	0.00	7.99	0.5	0.04
01/01/2018	***-**-703J - MCBRIDE, PATRICK ACCOUNT #: 00060368636	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	6.51	0.00	6.51	0.5	0.03
01/01/2018	***-**-49IN - SMITH, LORI ACCOUNT #: 00060406985	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	27.88	0.00	27.88	0.5	0.14
01/01/2018	***-**-95UG - KHOURY, DAVID ACCOUNT #: 00060438825	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	3.51	0.00	3.51	0.5	0.02
01/01/2018	***-**-598O - BAIS, RAM ACCOUNT #: 00060443091	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	11.36	0.00	11.36	0.5	0.06
01/01/2018	***-**-845J - MANJULA, FNU ACCOUNT #: 00060461691	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	4.26	0.00	4.26	0.5	0.02
01/01/2018	***-**-796I - PORTER, GROVER ACCOUNT #: 00060463108	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	21.26	0.00	21.26	0.5	0.11
01/01/2018	***-**-834I - COMBS, RICHARD ACCOUNT #: 00060463434	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	29.88	0.00	29.88	0.5	0.15
01/01/2018	***-**-31UG - KHOURY, BASIM & ACCOUNT #: 00060467818	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	1.04	0.00	1.04	0.5	0.01
01/01/2018	***-**-29UG - KHOURY, BASIM & ACCOUNT #: 00060467819	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	1.04	0.00	1.04	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/01/2018	***-**-98UG - KHOURY, BASIM & ACCOUNT #: 00060467820	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	1.04	0.00	1.04	0.5	0.01
01/01/2018	***-**-25UG - KHOURY, BASIM & ACCOUNT #: 00060467821	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	1.04	0.00	1.04	0.5	0.01
01/01/2018	***-**-669I - LOSO, KEVIN ACCOUNT #: 00060477603	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	3.37	0.00	3.37	0.5	0.02
01/01/2018	***-**-6669 - HOUSING ACCOUNT #: 00060486741	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	19.10	0.00	19.10	0.5	0.10
01/01/2018	***-**-6299 - HAHN, SARAH ACCOUNT #: 00060530188	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	13.76	0.00	13.76	0.5	0.07
01/01/2018	***-**-0820 - BRADLEY, ERIC ACCOUNT #: 00060560856	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	3.05	0.00	3.05	0.5	0.02
01/01/2018	***-**-7989 - PERRY, DEBORAH ACCOUNT #: 00060568704	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	49.01	0.00	49.01	0.5	0.25
01/01/2018	***-**-405P - PORTER MUSIC BOX ACCOUNT #: 00060604333	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	4.62	0.00	4.62	0.5	0.02
01/01/2018	***-**-96IN - HEARN, DARRYL ACCOUNT #: 06000294517	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	33.47	0.00	33.47	0.5	0.17
01/01/2018	***-**-313J - SIMMS, STEVAN & ACCOUNT #: 06000680671	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	1.57	0.00	1.57	0.5	0.01
01/01/2018	***-**-575P - PACKAGE SEP ACCOUNT #: 08186072001	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	24.47	0.00	24.47	0.5	0.12
01/01/2018	***-**-879J - WAHAB, MAGGY ACCOUNT #: 08186183683	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	43.50	0.00	43.50	0.5	0.22
01/01/2018	***-**-879I - WAHAB, G ACCOUNT #: 08186776769	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	1.23	0.00	1.23	0.5	0.01
01/01/2018	***-**-79IN - WAHAB, MAGGY ACCOUNT #: 08187957618	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	7.75	0.00	7.75	0.5	0.04
01/01/2018	***-**-3833 - ERDNER, MATHEW ACCOUNT #: 08187980410	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	17.88	0.00	17.88	0.5	0.09
01/01/2018	***-**-147I - CADIGAN, NEIL ACCOUNT #: 08188222165	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	9.77	0.00	9.77	0.5	0.05



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/01/2018	***-**-147I - CADIGAN, NEIL ACCOUNT #: 08188222169	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	12.49	0.00	12.49	0.5	0.06
01/01/2018	***-**-3374 - PERRY, DEBORAH ACCOUNT #: 08189581457	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	3.09	0.00	3.09	0.5	0.02
01/01/2018	***-**-1857 - PACKAGE SEP ACCOUNT #: 08189887338	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	18.47	0.00	18.47	0.5	0.09
01/01/2018	***-**-879I - WAHAB, G ACCOUNT #: 08189887350	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	43.79	0.00	43.79	0.5	0.22
01/01/2018	***-**-879I - WAHAB, G ACCOUNT #: 08189887354	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	9.40	0.00	9.40	0.5	0.05
01/01/2018	***-**-1857 - PACKAGE SEP ACCOUNT #: 08189887429	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	14.13	0.00	14.13	0.5	0.07
01/01/2018	***-**-1857 - PACKAGE SEP ACCOUNT #: 08191318833	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	2.82	0.00	2.82	0.5	0.01
01/01/2018	***-**-105I - GOROU, CLINT ACCOUNT #: 08190126337	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	1.71	0.00	1.71	0.5	0.01
01/01/2018	***-**-794I - GOROU, ACCOUNT #: 08190126338	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	1.71	0.00	1.71	0.5	0.01
01/01/2018	***-**-978I - GOROU, EMMA ACCOUNT #: 08190126339	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	1.71	0.00	1.71	0.5	0.01
01/01/2018	***-**-263I - GOROU, MAISAM ACCOUNT #: 08190126340	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	1.71	0.00	1.71	0.5	0.01
01/01/2018	***-**-766I - GOROU, GILBERT ACCOUNT #: 08191156247	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	1.71	0.00	1.71	0.5	0.01
01/01/2018	***-**-587I - SCHWEHM, JOANNE ACCOUNT #: 00060298748	MFS EMERGING GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	3.65	0.00	3.65	0.5	0.02
01/08/2018	***-**-3833 - ERDNER, MATHEW ACCOUNT #: 08187980410	MFS EMERGING GROWTH FUND CLASS A BUY 1 Units @98.1200	65.00	2.60	0.00	2.60	0.5	0.01
01/22/2018	***-**-3125 - ROSENGARTEN, ACCOUNT #: LSR105147	MFS EMERGING GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	1.43	0.00	1.43	0.5	0.01
01/22/2018	***-**-8658 - SOLOFF, MIRIAM ACCOUNT #: LSR105201	MFS EMERGING GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	1.99	0.00	1.99	0.5	0.01





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/01/2018	***-**-8341 - COMBS, RICHARD	MFS EMERGING GROWTH FUND-B	0.00	14.95	0.00	14.95	0.5	0.07
	ACCOUNT #: 08187987364	BUY 0 Units @0.0000						
01/01/2018	***-**-695P - HOUSING	MFS EMERGING GROWTH FUND-B	0.00	2.83	0.00	2.83	0.5	0.01
	ACCOUNT #: 08188012592	BUY 0 Units @0.0000						
01/01/2018	***-**-6299 - HAHN, SARAH	MFS EMERGING GROWTH FUND-B	0.00	10.95	0.00	10.95	0.5	0.05
	ACCOUNT #: 08188517400	BUY 0 Units @0.0000						
01/01/2018	***-**-794I - GOROU,	MFS EMERGING GROWTH FUND-B	0.00	4.87	0.00	4.87	0.5	0.02
	ACCOUNT #: 08191157762	BUY 0 Units @0.0000						
01/01/2018	***-**-105I - GOROU, CLINT	MFS EMERGING GROWTH FUND-B	0.00	3.28	0.00	3.28	0.5	0.02
	ACCOUNT #: 08191157763	BUY 0 Units @0.0000						
01/01/2018	***-**-978I - GOROU, EMMA	MFS EMERGING GROWTH FUND-B	0.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 08191157764	BUY 0 Units @0.0000						
01/01/2018	***-**-766I - GOROU, GILBERT	MFS EMERGING GROWTH FUND-B	0.00	6.28	0.00	6.28	0.5	0.03
	ACCOUNT #: 08191157765	BUY 0 Units @0.0000						
01/01/2018	***-**-263I - GOROU, MAISAM	MFS EMERGING GROWTH FUND-B	0.00	3.47	0.00	3.47	0.5	0.02
	ACCOUNT #: 08191157766	BUY 0 Units @0.0000						
01/01/2018	***-**-1879 - WAHAB, G	MFS EMERGING MARKETS EQUITY FUND-A	0.00	1.28	0.00	1.28	0.5	0.01
	ACCOUNT #: 08191041888	BUY 0 Units @0.0000						
01/01/2018	***-**-4406 - EICHELBERGER,	MFS GLOBAL EQUITY FUND-A	0.00	7.99	0.00	7.99	0.5	0.04
	ACCOUNT #: 00060120503	BUY 0 Units @0.0000						
01/01/2018	***-**-575P - PACKAGE SEP	MFS GLOBAL EQUITY FUND-A	0.00	5.24	0.00	5.24	0.5	0.03
	ACCOUNT #: 08189916497	BUY 0 Units @0.0000						
01/01/2018	***-**-8442 - GAUDREAU, THOMAS	MFS GLOBAL EQUITY FUND-A	0.00	5.57	0.00	5.57	0.5	0.03
	ACCOUNT #: 08189993722	BUY 0 Units @0.0000						
01/01/2018	***-**-49IN - SMITH, LORI	MFS GLOBAL GROWTH FUND-A	0.00	17.96	0.00	17.96	0.5	0.09
	ACCOUNT #: 00060407583	BUY 0 Units @0.0000						
01/01/2018	***-**-669I - LOSO, KEVIN	MFS GLOBAL GROWTH FUND-A	0.00	4.23	0.00	4.23	0.5	0.02
	ACCOUNT #: 00060478265	BUY 0 Units @0.0000						
01/01/2018	***-**-6669 - HOUSING	MFS GLOBAL GROWTH FUND-A	0.00	20.58	0.00	20.58	0.5	0.10
	ACCOUNT #: 00060487300	BUY 0 Units @0.0000						
01/01/2018	***-**-3374 - PERRY, DEBORAH	MFS GLOBAL GROWTH FUND-A	0.00	3.99	0.00	3.99	0.5	0.02
	ACCOUNT #: 08189581456	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/01/2018	***-**-695P - HOUSING	MFS GLOBAL GROWTH FUND-B	0.00	3.58	0.00	3.58	0.5	0.02
	ACCOUNT #: 08188012584	BUY 0 Units @0.0000						
01/01/2018	***-**-795P - WAHAB, G	MFS GOVERNMENT SECURITIES FUND-A	0.00	15.79	0.00	15.79	0.5	0.08
	ACCOUNT #: 00000900575	BUY 0 Units @0.0000						
01/01/2018	***-**-575P - PACKAGE SEP	MFS GOVERNMENT SECURITIES FUND-A	0.00	10.02	0.00	10.02	0.5	0.05
	ACCOUNT #: 08186072001	BUY 0 Units @0.0000						
01/01/2018	***-**-074I - SAWDEY, CHARLES	MFS GOVERNMENT SECURITIES FUND-A	0.00	2.12	0.00	2.12	0.5	0.01
	ACCOUNT #: 09896338266	BUY 0 Units @0.0000						
01/01/2018	***-**-074I - SAWDEY, CHARLES	MFS GOVERNMENT SECURITIES FUND-A	0.00	2.25	0.00	2.25	0.5	0.01
	ACCOUNT #: 09896338307	BUY 0 Units @0.0000						
01/01/2018	***-**-0624 - STOCKTON, JOANNE	MFS GROWTH ALLOCATION FUND-A	0.00	12.72	0.00	12.72	0.5	0.06
	ACCOUNT #: 08189500364	BUY 0 Units @0.0000						
01/01/2018	***-**-794I - GOROU,	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: 08191157762	BUY 0 Units @0.0000						
01/01/2018	***-**-105I - GOROU, CLINT	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	2.80	0.00	2.80	0.5	0.01
	ACCOUNT #: 08191157763	BUY 0 Units @0.0000						
01/01/2018	***-**-978I - GOROU, EMMA	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	1.13	0.00	1.13	0.5	0.01
	ACCOUNT #: 08191157764	BUY 0 Units @0.0000						
01/01/2018	***-**-766I - GOROU, GILBERT	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	3.87	0.00	3.87	0.5	0.02
	ACCOUNT #: 08191157765	BUY 0 Units @0.0000						
01/01/2018	***-**-263I - GOROU, MAISAM	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	2.12	0.00	2.12	0.5	0.01
	ACCOUNT #: 08191157766	BUY 0 Units @0.0000						
01/01/2018	***-**-739I - GOROU, SAMIR	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	8.10	0.00	8.10	0.5	0.04
	ACCOUNT #: 00060574118	BUY 0 Units @0.0000						
01/01/2018	***-**-1857 - PACKAGE SEP	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	9.21	0.00	9.21	0.5	0.05
	ACCOUNT #: 08191154861	BUY 0 Units @0.0000						
01/01/2018	***-**-105I - GOROU, CLINT	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	1.05	0.00	1.05	0.5	0.01
	ACCOUNT #: 08190126337	BUY 0 Units @0.0000						
01/01/2018	***-**-794I - GOROU,	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	1.05	0.00	1.05	0.5	0.01
	ACCOUNT #: 08190126338	BUY 0 Units @0.0000						
01/01/2018	***-**-978I - GOROU, EMMA	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	1.05	0.00	1.05	0.5	0.01
	ACCOUNT #: 08190126339	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/01/2018	***-**-263I - GOROU, MAISAM ACCOUNT #: 08190126340	MFS HIGH-YIELD OPPORTUNITIES FUND C BUY 0 Units @0.0000	0.00	1.05	0.00	1.05	0.5	0.01
01/01/2018	***-**-766I - GOROU, GILBERT ACCOUNT #: 08191156247	MFS HIGH-YIELD OPPORTUNITIES FUND C BUY 0 Units @0.0000	0.00	1.05	0.00	1.05	0.5	0.01
01/01/2018	***-**-005P - RUTLAND ACCOUNT #: 00060388037	MFS INTERNATIONAL NEW DISCOVERY FUN BUY 0 Units @0.0000	0.00	4.67	0.00	4.67	0.5	0.02
01/01/2018	***-**-0412 - HEPPELL, ACCOUNT #: 08189590088	MFS INTERNATIONAL NEW DISCOVERY FUN BUY 0 Units @0.0000	0.00	11.11	0.00	11.11	0.5	0.06
01/01/2018	***-**-795P - WAHAB, G ACCOUNT #: 08189727464	MFS INTERNATIONAL VALUE FUND-A BUY 0 Units @0.0000	0.00	3.46	0.00	3.46	0.5	0.02
01/01/2018	***-**-575P - PACKAGE SEP ACCOUNT #: 08189916489	MFS INTERNATIONAL VALUE FUND-A BUY 0 Units @0.0000	0.00	7.46	0.00	7.46	0.5	0.04
01/01/2018	***-**-185P - BAKER, RICHARD ACCOUNT #: 08190098339	MFS INTERNATIONAL VALUE FUND-A BUY 0 Units @0.0000	0.00	1.18	0.00	1.18	0.5	0.01
01/01/2018	***-**-4566 - JURAK, DANIEL ACCOUNT #: 00060502400	MFS MID CAP GROWTH FUND-A BUY 0 Units @0.0000	0.00	15.66	0.00	15.66	0.5	0.08
01/01/2018	***-**-837I - ISHAK, MIRANDA ACCOUNT #: 00060607848	MFS MID CAP GROWTH FUND-A BUY 0 Units @0.0000	0.00	7.38	0.00	7.38	0.5	0.04
01/01/2018	***-**-575P - PACKAGE SEP ACCOUNT #: 08188615660	MFS MID CAP GROWTH FUND-A BUY 0 Units @0.0000	0.00	26.40	0.00	26.40	0.5	0.13
01/01/2018	***-**-879I - WAHAB, G ACCOUNT #: 08189286580	MFS MID CAP GROWTH FUND-A BUY 0 Units @0.0000	0.00	4.86	0.00	4.86	0.5	0.02
01/01/2018	***-**-879J - WAHAB, MAGGY ACCOUNT #: 08189388892	MFS MID CAP GROWTH FUND-A BUY 0 Units @0.0000	0.00	24.01	0.00	24.01	0.5	0.12
01/01/2018	***-**-84SI - SHAW, LINDSI ACCOUNT #: 08191266165	MFS MID CAP GROWTH FUND-A BUY 0 Units @0.0000	0.00	4.61	0.00	4.61	0.5	0.02
01/01/2018	***-**-67SI - ANGSTADT, LISA ACCOUNT #: 08191266166	MFS MID CAP GROWTH FUND-A BUY 0 Units @0.0000	0.00	4.92	0.00	4.92	0.5	0.02
01/01/2018	***-**-97SI - WESTEBBE, ACCOUNT #: 08191267353	MFS MID CAP GROWTH FUND-A BUY 0 Units @0.0000	0.00	5.64	0.00	5.64	0.5	0.03
01/01/2018	***-**-1857 - PACKAGE SEP ACCOUNT #: 08191318833	MFS MID CAP GROWTH FUND-A BUY 0 Units @0.0000	0.00	6.41	0.00	6.41	0.5	0.03



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/01/2018	***-**-105I - GOROU, CLINT ACCOUNT #: 08190126337	MFS MID CAP GROWTH FUND-A BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	0.5	0.01
01/01/2018	***-**-794I - GOROU, ACCOUNT #: 08190126338	MFS MID CAP GROWTH FUND-A BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	0.5	0.01
01/01/2018	***-**-978I - GOROU, EMMA ACCOUNT #: 08190126339	MFS MID CAP GROWTH FUND-A BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	0.5	0.01
01/01/2018	***-**-263I - GOROU, MAISAM ACCOUNT #: 08190126340	MFS MID CAP GROWTH FUND-A BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	0.5	0.01
01/01/2018	***-**-766I - GOROU, GILBERT ACCOUNT #: 08191156247	MFS MID CAP GROWTH FUND-A BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	0.5	0.01
01/01/2018	***-**-695P - HOUSING ACCOUNT #: 08189539118	MFS MID CAP GROWTH FUND-B BUY 0 Units @0.0000	0.00	2.34	0.00	2.34	0.5	0.01
01/01/2018	***-**-794I - GOROU, ACCOUNT #: 08191157762	MFS MID CAP GROWTH FUND-B BUY 0 Units @0.0000	0.00	4.45	0.00	4.45	0.5	0.02
01/01/2018	***-**-105I - GOROU, CLINT ACCOUNT #: 08191157763	MFS MID CAP GROWTH FUND-B BUY 0 Units @0.0000	0.00	4.16	0.00	4.16	0.5	0.02
01/01/2018	***-**-978I - GOROU, EMMA ACCOUNT #: 08191157764	MFS MID CAP GROWTH FUND-B BUY 0 Units @0.0000	0.00	1.71	0.00	1.71	0.5	0.01
01/01/2018	***-**-766I - GOROU, GILBERT ACCOUNT #: 08191157765	MFS MID CAP GROWTH FUND-B BUY 0 Units @0.0000	0.00	5.75	0.00	5.75	0.5	0.03
01/01/2018	***-**-263I - GOROU, MAISAM ACCOUNT #: 08191157766	MFS MID CAP GROWTH FUND-B BUY 0 Units @0.0000	0.00	3.16	0.00	3.16	0.5	0.02
01/01/2018	***-**-879J - WAHAB, MAGGY ACCOUNT #: 08189707042	MFS MID CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	21.84	0.00	21.84	0.5	0.11
01/01/2018	***-**-3467 - CHRISTIAN, ACCOUNT #: 08191183208	MFS MID CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	15.38	0.00	15.38	0.5	0.08
01/01/2018	***-**-1857 - PACKAGE SEP ACCOUNT #: 08191318833	MFS MID CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	3.22	0.00	3.22	0.5	0.02
01/01/2018	***-**-0624 - STOCKTON, JOANNE ACCOUNT #: 08189500365	MFS MODERATE ALLOCATION FUND-A BUY 0 Units @0.0000	0.00	23.84	0.00	23.84	0.5	0.12
01/01/2018	***-**-575P - PACKAGE SEP ACCOUNT #: 08189047725	MFS MUNICIPAL INCOME FUND-A BUY 0 Units @0.0000	0.00	3.13	0.00	3.13	0.5	0.02



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/01/2018	***-**-879J - WAHAB, MAGGY ACCOUNT #: 08187951650	MFS NEW DISCOVERY FUND-A BUY 0 Units @0.0000	0.00	22.36	0.00	22.36	0.5	0.11
01/01/2018	***-**-575P - PACKAGE SEP ACCOUNT #: 08189823149	MFS NEW DISCOVERY FUND-A BUY 0 Units @0.0000	0.00	13.34	0.00	13.34	0.5	0.07
01/01/2018	***-**-795P - WAHAB, G ACCOUNT #: 08189823153	MFS NEW DISCOVERY FUND-A BUY 0 Units @0.0000	0.00	12.24	0.00	12.24	0.5	0.06
01/01/2018	***-**-185P - BAKER, RICHARD ACCOUNT #: 08190098339	MFS NEW DISCOVERY FUND-A BUY 0 Units @0.0000	0.00	1.00	0.00	1.00	0.5	0.01
01/01/2018	***-**-13SI - CARR, ASHLEIGH ACCOUNT #: 08191270611	MFS NEW DISCOVERY FUND-A BUY 0 Units @0.0000	0.00	1.87	0.00	1.87	0.5	0.01
01/01/2018	***-**-1857 - PACKAGE SEP ACCOUNT #: 08191318833	MFS NEW DISCOVERY FUND-A BUY 0 Units @0.0000	0.00	3.21	0.00	3.21	0.5	0.02
01/01/2018	***-**-1857 - PACKAGE SEP ACCOUNT #: 08191117404	MFS RESEARCH BOND FUND CLASS A BUY 0 Units @0.0000	0.00	3.59	0.00	3.59	0.5	0.02
01/01/2018	***-**-0198 - MEHURON, BRUCE ACCOUNT #: 00060354846	MFS RESEARCH FUND-A BUY 0 Units @0.0000	0.00	1.67	0.00	1.67	0.5	0.01
01/01/2018	***-**-425P - RUTLAND ACCOUNT #: 00060391870	MFS RESEARCH FUND-A BUY 0 Units @0.0000	0.00	66.57	0.00	66.57	0.5	0.33
01/01/2018	***-**-49IN - SMITH, LORI ACCOUNT #: 00060413380	MFS RESEARCH FUND-A BUY 0 Units @0.0000	0.00	15.21	0.00	15.21	0.5	0.08
01/01/2018	***-**-8442 - CARINI, JANET ACCOUNT #: 08187246942	MFS RESEARCH FUND-B BUY 0 Units @0.0000	0.00	28.19	0.00	28.19	0.5	0.14
01/01/2018	***-**-49IN - SMITH, LORI ACCOUNT #: 00060418123	MFS RESEARCH INTERNATIONAL FUND-A BUY 0 Units @0.0000	0.00	15.64	0.00	15.64	0.5	0.08
01/01/2018	***-**-795P - WAHAB, G ACCOUNT #: 08189727468	MFS RESEARCH INTERNATIONAL FUND-A BUY 0 Units @0.0000	0.00	2.38	0.00	2.38	0.5	0.01
01/01/2018	***-**-1857 - PACKAGE SEP ACCOUNT #: 08191154860	MFS TECHNOLOGY FUND CLASS A BUY 0 Units @0.0000	0.00	9.09	0.00	9.09	0.5	0.05
01/01/2018	***-**-1879 - WAHAB, G ACCOUNT #: 08191154867	MFS TECHNOLOGY FUND CLASS A BUY 0 Units @0.0000	0.00	7.23	0.00	7.23	0.5	0.04
01/01/2018	***-**-105I - GOROU, CLINT ACCOUNT #: 08190126337	MFS TECHNOLOGY FUND CLASS A BUY 0 Units @0.0000	0.00	1.99	0.00	1.99	0.5	0.01



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/01/2018	***-**-794I - GOROU, ACCOUNT #: 08190126338	MFS TECHNOLOGY FUND CLASS A BUY 0 Units @0.0000	0.00	1.99	0.00	1.99	0.5	0.01
01/01/2018	***-**-978I - GOROU, EMMA ACCOUNT #: 08190126339	MFS TECHNOLOGY FUND CLASS A BUY 0 Units @0.0000	0.00	1.99	0.00	1.99	0.5	0.01
01/01/2018	***-**-263I - GOROU, MAISAM ACCOUNT #: 08190126340	MFS TECHNOLOGY FUND CLASS A BUY 0 Units @0.0000	0.00	1.99	0.00	1.99	0.5	0.01
01/01/2018	***-**-766I - GOROU, GILBERT ACCOUNT #: 08191156247	MFS TECHNOLOGY FUND CLASS A BUY 0 Units @0.0000	0.00	1.99	0.00	1.99	0.5	0.01
01/01/2018	***-**-794I - GOROU, ACCOUNT #: 08191157762	MFS TECHNOLOGY FUND-B BUY 0 Units @0.0000	0.00	5.77	0.00	5.77	0.5	0.03
01/01/2018	***-**-105I - GOROU, CLINT ACCOUNT #: 08191157763	MFS TECHNOLOGY FUND-B BUY 0 Units @0.0000	0.00	6.85	0.00	6.85	0.5	0.03
01/01/2018	***-**-978I - GOROU, EMMA ACCOUNT #: 08191157764	MFS TECHNOLOGY FUND-B BUY 0 Units @0.0000	0.00	2.25	0.00	2.25	0.5	0.01
01/01/2018	***-**-766I - GOROU, GILBERT ACCOUNT #: 08191157765	MFS TECHNOLOGY FUND-B BUY 0 Units @0.0000	0.00	7.50	0.00	7.50	0.5	0.04
01/01/2018	***-**-263I - GOROU, MAISAM ACCOUNT #: 08191157766	MFS TECHNOLOGY FUND-B BUY 0 Units @0.0000	0.00	4.05	0.00	4.05	0.5	0.02
01/01/2018	***-**-61IN - LAGODNEY, PEGGY ACCOUNT #: 00060342397	MFS TOTAL RETURN FUND-A BUY 0 Units @0.0000	0.00	25.32	0.00	25.32	0.5	0.13
01/01/2018	***-**-92IR - HAWKINS, CAROLYN ACCOUNT #: 00060554288	MFS TOTAL RETURN FUND-A BUY 0 Units @0.0000	0.00	2.59	0.00	2.59	0.5	0.01
01/01/2018	***-**-202I - CORAPI, MARIA ACCOUNT #: 08184614552	MFS TOTAL RETURN FUND-A BUY 0 Units @0.0000	0.00	8.05	0.00	8.05	0.5	0.04
01/01/2018	***-**-011I - BERNHARD, DALE ACCOUNT #: 08184762107	MFS TOTAL RETURN FUND-A BUY 0 Units @0.0000	0.00	42.18	0.00	42.18	0.5	0.21
01/01/2018	***-**-575P - PACKAGE SEP ACCOUNT #: 08188679386	MFS TOTAL RETURN FUND-A BUY 0 Units @0.0000	0.00	23.43	0.00	23.43	0.5	0.12
01/01/2018	***-**-795P - WAHAB, G ACCOUNT #: 08189509775	MFS TOTAL RETURN FUND-A BUY 0 Units @0.0000	0.00	4.66	0.00	4.66	0.5	0.02
01/01/2018	***-**-795P - WAHAB, G ACCOUNT #: 00000900575	MFS UTILITIES FUND-A BUY 0 Units @0.0000	0.00	2.39	0.00	2.39	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/01/2018	***-**-357I - DARBIE, RICHARD	MFS UTILITIES FUND-A	0.00	151.07	0.00	151.07	0.5	0.76
	ACCOUNT #: 00060473179	BUY 0 Units @0.0000						
01/01/2018	***-**-739I - GOROU, SAMIR	MFS UTILITIES FUND-A	0.00	15.04	0.00	15.04	0.5	0.08
	ACCOUNT #: 00060573978	BUY 0 Units @0.0000						
01/01/2018	***-**-8442 - CARINI, JANET	MFS UTILITIES FUND-A	0.00	16.38	0.00	16.38	0.5	0.08
	ACCOUNT #: 00060621180	BUY 0 Units @0.0000						
01/01/2018	***-**-575P - PACKAGE SEP	MFS UTILITIES FUND-A	0.00	18.75	0.00	18.75	0.5	0.09
	ACCOUNT #: 08189707034	BUY 0 Units @0.0000						
01/01/2018	***-**-879J - WAHAB, MAGGY	MFS UTILITIES FUND-A	0.00	10.80	0.00	10.80	0.5	0.05
	ACCOUNT #: 08189707038	BUY 0 Units @0.0000						
01/01/2018	***-**-1748 - LEMIEUX, RICHARD	MFS UTILITIES FUND-A	0.00	31.26	0.00	31.26	0.5	0.16
	ACCOUNT #: 08191017606	BUY 0 Units @0.0000						
01/01/2018	***-**-6296 - BRILEYA, JUDITH	MFS UTILITIES FUND-A	0.00	1.10	0.00	1.10	0.5	0.01
	ACCOUNT #: 08191038031	BUY 0 Units @0.0000						
01/01/2018	***-**-3971 - MEHURONS MARKET	MFS UTILITIES FUND-A	0.00	7.75	0.00	7.75	0.5	0.04
	ACCOUNT #: 08191050745	BUY 0 Units @0.0000						
01/01/2018	***-**-97SI - JEFFERYS, THOMAS	MFS UTILITIES FUND-A	0.00	5.13	0.00	5.13	0.5	0.03
	ACCOUNT #: 08191096576	BUY 0 Units @0.0000						
01/01/2018	***-**-3467 - CHRISTIAN,	MFS UTILITIES FUND-A	0.00	13.42	0.00	13.42	0.5	0.07
	ACCOUNT #: 08191183208	BUY 0 Units @0.0000						
01/01/2018	***-**-879I - WAHAB, G	MFS UTILITIES FUND-A	0.00	2.10	0.00	2.10	0.5	0.01
	ACCOUNT #: 08191186159	BUY 0 Units @0.0000						
01/01/2018	***-**-1857 - PACKAGE SEP	MFS UTILITIES FUND-A	0.00	2.74	0.00	2.74	0.5	0.01
	ACCOUNT #: 08191318833	BUY 0 Units @0.0000						
01/01/2018	***-**-8442 - CARINI, JANET	MFS UTILITIES FUND-B	0.00	25.45	0.00	25.45	0.5	0.13
	ACCOUNT #: 08189733101	BUY 0 Units @0.0000						
01/01/2018	***-**-08IN - MAGGIACOMO,	MFS UTILITIES FUND-C	0.00	198.03	0.00	198.03	0.5	0.99
	ACCOUNT #: 08188290554	BUY 0 Units @0.0000						
01/01/2018	***-**-599I - VALLIE, PAULA	MFS VALUE FUND-A	0.00	7.94	0.00	7.94	0.5	0.04
	ACCOUNT #: 00060520822	BUY 0 Units @0.0000						
01/04/2018	***-**-215P - JACOBS UTMA IL,	MIDCAP GROWTH - CLASS A	0.00	1.61	0.00	1.61	0.5	0.01
	ACCOUNT #: 00800498552	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/04/2018	***-**-53IN - WANG, MICHEL	MIDCAP GROWTH - CLASS A	0.00	1.48	0.00	1.48	0.5	0.01
	ACCOUNT #: 00500757687	BUY 0 Units @0.0000						
12/31/2017	***-**-894I - QUIRRION, LINTON	NATIONWIDE CUSIP 638661249-NSCC	0.00	80.75	0.00	80.75	0.5	0.40
	ACCOUNT #: R015789628	BUY 0 Units @0.0000						
01/22/2018	***-**-1751 - HEATH, KAREN	NATIXIS FUNDS TRAILS	0.00	13.45	0.00	13.45	0.5	0.07
		BUY 0 Units @0.0000						
01/22/2018	***-**-1751 - HEATH, KAREN	NATIXIS FUNDS TRAILS	0.00	23.77	0.00	23.77	0.5	0.12
		BUY 0 Units @0.0000						
01/22/2018	***-**-1751 - HEATH, KAREN	NATIXIS FUNDS TRAILS	0.00	48.07	0.00	48.07	0.5	0.24
		BUY 0 Units @0.0000						
01/22/2018	***-**-62IN - EVANS, SUSAN	NATIXIS FUNDS TRAILS	0.00	2.07	0.00	2.07	0.5	0.01
		BUY 0 Units @0.0000						
01/22/2018	***-**-045P - STILLMAN, DONALD	NATIXIS FUNDS TRAILS	0.00	13.19	0.00	13.19	0.5	0.07
		BUY 0 Units @0.0000						
01/22/2018	***-**-7281 - THOMPSON,	NATIXIS FUNDS TRAILS	0.00	6.91	0.00	6.91	0.5	0.03
		BUY 0 Units @0.0000						
01/10/2018	***-**-70IN - PESCHE, SUSAN	NEW PERSPECTIVE FUND-A	250.00	5.00	0.00	5.00	0.5	0.03
	ACCOUNT #: 00061196180	BUY 5 Units @45.7000						
01/22/2018	***-**-870I - SHAPIRO,	NEW PERSPECTIVE FUND-A	0.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: LSR012103	BUY 1 Units @0.0000						
01/10/2018	***-**-70IN - PESCHE, SUSAN	NEW WORLD FUND-A	125.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00061196180	BUY 2 Units @70.3600						
01/11/2018	***-**-537I - DIVALERIO, KATHY	NEW WORLD FUND-A	45.56	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00083765927	BUY 1 Units @71.3500						
01/22/2018	***-**-462T - KELLEY TRUST,	NUVEEN MF TRAILS	0.00	143.52	0.00	143.52	0.5	0.72
	ACCOUNT #: 00000210705	BUY 0 Units @0.0000						
01/12/2018	***-**-67IN - METZ, MICHAEL	PACIFIC LIFE DTCC RENEWAL	138.00	2.76	0.00	2.76	0.5	0.01
	ACCOUNT #: VP60804590	BUY 0 Units @0.0000						
01/12/2018	***-**-5160 - THOMAS, RAMONA	PACIFIC LIFE DTCC RENEWAL	220.00	2.20	0.00	2.20	0.5	0.01
	ACCOUNT #: VP60865160	BUY 0 Units @0.0000						
01/10/2018	***-**-52IN - ANGELL,	PACIFIC LIFE INNOV-SELE	0.00	793.58	0.00	793.58	0.5	3.97
	ACCOUNT #: VR01016989	BUY 0 Units @0.0000						





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/09/2018	***-**-200I - TAPIA, EPITACIO	PACIFIC LIFE INNOV-SELECT	0.00	30.92	0.00	30.92	0.5	0.15
	ACCOUNT #: VR08039605	BUY 0 Units @0.0000						
01/19/2018	***-**-04IN - GREENE, RICHARD	PACIFIC LIFE VA CUSIP 694474800	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053139	BUY 0 Units @0.0000						
01/19/2018	***-**-78IN - GOSSELIN, ROLAND	PACIFIC LIFE VA CUSIP 694474800	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053140	BUY 0 Units @0.0000						
01/19/2018	***-**-28IN - TORRES, RICHARD	PACIFIC LIFE VA CUSIP 694474800	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053214	BUY 0 Units @0.0000						
01/19/2018	***-**-23IN - ROBINSON, SCOTT	PACIFIC LIFE VA CUSIP 694474800	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053218	BUY 0 Units @0.0000						
01/19/2018	***-**-16IN - ROBBINS, CHARLES	PACIFIC LIFE VA CUSIP 694474800	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053219	BUY 0 Units @0.0000						
01/19/2018	***-**-53IN - NOTTELL, JOHN	PACIFIC LIFE VA CUSIP 694474800	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053235	BUY 0 Units @0.0000						
01/19/2018	***-**-06IN - NERI, DAVID	PACIFIC LIFE VA CUSIP 694474800	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053237	BUY 0 Units @0.0000						
01/19/2018	***-**-84IN - MAYNARD,	PACIFIC LIFE VA CUSIP 694474800	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053244	BUY 0 Units @0.0000						
01/17/2018	***-**-529I - BOWERS, FRANK	PACIFIC LIFE VA CUSIP 694474883	0.00	8.08	0.00	8.08	0.5	0.04
	ACCOUNT #: VR00017128	BUY 0 Units @0.0000						
01/19/2018	***-**-78IN - O'NEILL, JOHN	PACIFIC LIFE VA CUSIP 694474883	75.37	4.15	0.00	4.15	0.5	0.02
	ACCOUNT #: VR01053248	BUY 0 Units @0.0000						
01/19/2018	***-**-66IN - MANZO, ANTHONY	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052726	BUY 0 Units @0.0000						
01/19/2018	***-**-47IN - LUSSIER, BRIAN	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052727	BUY 0 Units @0.0000						
01/19/2018	***-**-14IN - LUPINO, JOSEPH	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052728	BUY 0 Units @0.0000						
01/19/2018	***-**-32IN - LAPRADE, MARK	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052729	BUY 0 Units @0.0000						
01/19/2018	***-**-08IN - LANGLAIS, TODD	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052730	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/19/2018	***-**-78IN - CARMODY, THOMAS	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052794	BUY 0 Units @0.0000						
01/19/2018	***-**-32IN - CARDON, SCOTT	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052795	BUY 0 Units @0.0000						
01/19/2018	***-**-81IN - CAMARA, CHARLES	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052796	BUY 0 Units @0.0000						
01/19/2018	***-**-82IN - BURGESS, STEVEN	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052797	BUY 0 Units @0.0000						
01/19/2018	***-**-49IN - BROUILLARD,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052798	BUY 0 Units @0.0000						
01/19/2018	***-**-48IN - BROCKWAY, DEAN	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052799	BUY 0 Units @0.0000						
01/19/2018	***-**-90IN - BOYER, JOHN	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052800	BUY 0 Units @0.0000						
01/19/2018	***-**-73IN - BOUCHARD, MARK	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052801	BUY 0 Units @0.0000						
01/19/2018	***-**-84IN - BERGANTINO,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052802	BUY 0 Units @0.0000						
01/19/2018	***-**-63IN - BOOTH, WILLIAM	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052804	BUY 0 Units @0.0000						
01/19/2018	***-**-31IN - ANSLEY, KEVIN	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052806	BUY 0 Units @0.0000						
01/19/2018	***-**-25IN - ADAMO, MICHAEL	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01052807	BUY 0 Units @0.0000						
01/19/2018	***-**-11IN - KARBOWSKI,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053135	BUY 0 Units @0.0000						
01/19/2018	***-**-86IN - JUTRAS,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053136	BUY 0 Units @0.0000						
01/19/2018	***-**-17IN - IRELAND, JOHN	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053137	BUY 0 Units @0.0000						
01/19/2018	***-**-10IN - GIGUERE, RAYMOND	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053142	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/19/2018	***-**-00IN - GAVLIK, JOSEPH	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053143	BUY 0 Units @0.0000						
01/19/2018	***-**-16IN - FONTAINE, ROLAND	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053145	BUY 0 Units @0.0000						
01/19/2018	***-**-58IN - FLANDERS, HARVEY	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053146	BUY 0 Units @0.0000						
01/19/2018	***-**-82IN - DIDINO, DAVID	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053150	BUY 0 Units @0.0000						
01/19/2018	***-**-14IN - DIBIASIO, ROBERT	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053151	BUY 0 Units @0.0000						
01/19/2018	***-**-71IN - DESORCY, PAUL	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053152	BUY 0 Units @0.0000						
01/19/2018	***-**-22IN - DELISLE, MICHAEL	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053153	BUY 0 Units @0.0000						
01/19/2018	***-**-27IN - DELBONIS,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053154	BUY 0 Units @0.0000						
01/19/2018	***-**-76IN - D'AGUANNO, JASON	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053155	BUY 0 Units @0.0000						
01/19/2018	***-**-11IN - D CROFT, STEPHEN	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053157	BUY 0 Units @0.0000						
01/19/2018	***-**-40IN - COUTU, CHRIS	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053159	BUY 0 Units @0.0000						
01/19/2018	***-**-69IN - COLEMAN, GREGORY	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053160	BUY 0 Units @0.0000						
01/19/2018	***-**-50IN - COLANTONIO,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053161	BUY 0 Units @0.0000						
01/19/2018	***-**-34IN - CHAMBERLAND,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053162	BUY 0 Units @0.0000						
01/19/2018	***-**-05IN - CASEY, PAUL	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053164	BUY 0 Units @0.0000						
01/19/2018	***-**-89IN - YATES,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053211	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/19/2018	***-**-76IN - WELLER, SCOTT	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053212	BUY 0 Units @0.0000						
01/19/2018	***-**-45IN - SAFFORD, LINWOOD	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053217	BUY 0 Units @0.0000						
01/19/2018	***-**-79IN - RINFRETTE,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053221	BUY 0 Units @0.0000						
01/19/2018	***-**-79IN - RECORDS, DAVID	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053222	BUY 0 Units @0.0000						
01/19/2018	***-**-08IN - RAGOSTA, JAMES	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053223	BUY 0 Units @0.0000						
01/19/2018	***-**-29IN - RIMOSHYTUS,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053225	BUY 0 Units @0.0000						
01/19/2018	***-**-30IN - ROGERS, SCOTT	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053226	BUY 0 Units @0.0000						
01/19/2018	***-**-74IN - STERNBERG,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053227	BUY 0 Units @0.0000						
01/19/2018	***-**-04IN - O'DONNELL,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053233	BUY 0 Units @0.0000						
01/19/2018	***-**-05IN - NYZIO, ROBERT	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053234	BUY 0 Units @0.0000						
01/19/2018	***-**-64IN - NIQUETTE, ARMAND	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053236	BUY 0 Units @0.0000						
01/19/2018	***-**-89IN - MIZZONI, THOMAS	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053241	BUY 0 Units @0.0000						
01/19/2018	***-**-81IN - MARCINIAK, CRAIG	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053242	BUY 0 Units @0.0000						
01/19/2018	***-**-92IN - MEINERTZ, GARY	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053243	BUY 0 Units @0.0000						
01/19/2018	***-**-65IN - MORROCCO,	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053245	BUY 0 Units @0.0000						
01/19/2018	***-**-85IN - NIMIROSKI, BRIAN	PACIFIC LIFE VALUE	75.37	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: VR01053246	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/19/2018	***-**-60IN - O'ROURKE, ACCOUNT #: VR01053247	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
01/19/2018	***-**-25IN - WOYCIECHOWSKI, ACCOUNT #: VR01054448	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
01/19/2018	***-**-01IN - PEDRO, NELSON ACCOUNT #: VR01054449	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
01/19/2018	***-**-44IN - CLEARY, BRANDON ACCOUNT #: VR02047687	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
01/19/2018	***-**-70IN - BRAZENOR, SCOTT ACCOUNT #: VR02047688	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
01/19/2018	***-**-68IN - CASEY, JOHN ACCOUNT #: VR02047689	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
01/19/2018	***-**-93IN - LUSIGNAN, VICTOR ACCOUNT #: VR02047691	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
01/19/2018	***-**-66IN - PACHECO, DAVID ACCOUNT #: VR02047692	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
01/19/2018	***-**-79IN - DAVY, DAVID ACCOUNT #: VR02047693	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
01/19/2018	***-**-92IN - WALSH, MARK ACCOUNT #: VR02047694	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
01/19/2018	***-**-59IN - CARPENTER, ACCOUNT #: VR02047700	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
01/19/2018	***-**-60IN - RONCI, WILLIAM ACCOUNT #: VR02047701	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
01/19/2018	***-**-42IN - LUX, ROBERT ACCOUNT #: VR02047702	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
01/19/2018	***-**-57IN - LOWERY, MICHAEL ACCOUNT #: VR02047703	PACIFIC LIFE VALUE BUY 0 Units @0.0000	75.37	3.01	0.00	3.01	0.5	0.02
01/16/2018	***-**-371I - MASELLI, PIER ACCOUNT #: LSR009172	PIONEER HIGH YIELD FUND A BUY 1 Units @0.0000	0.00	3.36	0.00	3.36	0.5	0.02
01/16/2018	***-**-468I - FERA, ANGELO ACCOUNT #: LSR009598	PIONEER HIGH YIELD FUND A BUY 1 Units @0.0000	0.00	1.98	0.00	1.98	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/16/2018	***-**-989I - MCCUNE, FRANK ACCOUNT #: LSR010237	PIONEER HIGH YIELD FUND A BUY 1 Units @0.0000	0.00	1.57	0.00	1.57	0.5	0.01
01/15/2018	***-**-65CL - LIFEMARK, ACCOUNT #: 5093834	PRINCIPAL 74254L291 BUY 0 Units @0.0000	0.00	4.58	0.00	4.58	0.5	0.02
01/16/2018	***-**-65CL - LIFEMARK, ACCOUNT #: 5093834	PRINCIPAL 74254L291 BUY 0 Units @0.0000	0.00	4.63	0.00	4.63	0.5	0.02
01/16/2018	***-**-65CL - LIFEMARK, ACCOUNT #: 5093834	PRINCIPAL 74254L291 BUY 0 Units @0.0000	0.00	-4.56	0.00	-4.56	0.5	-0.02
01/22/2018	***-**-817O - YB & J ACCOUNT #: LSR011351	PRINCIPAL INVESTORS WEST COAST EQTY BUY 1 Units @0.0000	0.00	4.83	0.00	4.83	0.5	0.02
01/22/2018	***-**-03CL - GEORGIEV, ACCOUNT #: 2808351534	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	677.02	0.00	677.02	0.5	3.39
01/22/2018	***-**-8176 - BLACKFORD,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	41.08	0.00	41.08	0.5	0.21
01/22/2018	***-**-03IO - SANTORO, NANCY ACCOUNT #: 0000010264	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	10.55	0.00	10.55	0.5	0.05
01/22/2018	***-**-47IO - MEYERS, GREGORY	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	4.39	0.00	4.39	0.5	0.02
01/22/2018	***-**-74IO - BOULET, RAYMOND	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	167.15	0.00	167.15	0.5	0.84
01/22/2018	***-**-543P - AGLE, PATRICIA	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	12.99	0.00	12.99	0.5	0.06
01/22/2018	***-**-225P - MARTIN, JOYCE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	148.36	0.00	148.36	0.5	0.74
01/22/2018	***-**-879I - MARTIN, DANIEL	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	17.78	0.00	17.78	0.5	0.09
01/22/2018	***-**-715P - LOPEZ, URIEL	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	5.69	0.00	5.69	0.5	0.03
01/22/2018	***-**-4282 - SOTIRI, MINELLA	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	1.20	0.00	1.20	0.5	0.01
01/22/2018	***-**-03CL - GEORGIEV,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	1.00	0.00	1.00	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ALEX GEORGIEV (continued) </u></b>								
01/16/2018	***-**-71IO - HULSLANDER, ACCOUNT #: LSR011715	PRUDENTIAL QMA LARGE CAP CORE EQUIT BUY 1 Units @0.0000	0.00	7.72	0.00	7.72	0.5	0.04
01/02/2018	***-**-937I - WOZNY, LAUREN ACCOUNT #: 00456166610	PUTNAM 746792100 BUY 0 Units @0.0000	0.00	2.39	0.00	2.39	0.5	0.01
01/02/2018	***-**-565I - MARASA, DIANE L ACCOUNT #: 00550989470	PUTNAM 746792100 BUY 0 Units @0.0000	0.00	2.99	0.00	2.99	0.5	0.01
01/02/2018	***-**-54IR - EIKOS, DIANE ACCOUNT #: 00443867062	PUTNAM ASSET ALLOC CONSERV-A BUY 0 Units @0.0000	0.00	19.29	0.00	19.29	0.5	0.10
01/02/2018	***-**-812I - VALLIE, CHRIS ACCOUNT #: 00341342232	PUTNAM ASSET ALLOC GROWTH-A BUY 0 Units @0.0000	0.00	2.46	0.00	2.46	0.5	0.01
01/02/2018	***-**-599I - VALLIE, PAULA ACCOUNT #: 00353186842	PUTNAM ASSET ALLOC GROWTH-A BUY 0 Units @0.0000	0.00	6.29	0.00	6.29	0.5	0.03
01/02/2018	***-**-446I - KARZAS, GEORGE ACCOUNT #: 00514449900	PUTNAM ASSET ALLOC GROWTH-A BUY 0 Units @0.0000	0.00	50.83	0.00	50.83	0.5	0.25
01/02/2018	***-**-470I - EIKOS, JAMES ACCOUNT #: 00441913257	PUTNAM ASSET ALLOCATION BALANCED PO BUY 0 Units @0.0000	0.00	3.48	0.00	3.48	0.5	0.02
01/02/2018	***-**-514I - MIKUS, BETTY ACCOUNT #: 00499116880	PUTNAM DIVERSIFIED INCOME FUND CLAS BUY 0 Units @0.0000	0.00	10.04	0.00	10.04	0.5	0.05
01/02/2018	***-**-163I - KRASNER, SHERRIE ACCOUNT #: 00018011739	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	30.70	0.00	30.70	0.5	0.15
01/02/2018	***-**-504I - DITZ, DAVID M ACCOUNT #: 00021147340	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	5.07	0.00	5.07	0.5	0.03
01/02/2018	***-**-559J - CIPCIC, ALBERTA ACCOUNT #: 00134636341	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	3.06	0.00	3.06	0.5	0.02
01/02/2018	***-**-38IN - FLEISCHER, ACCOUNT #: 00190128037	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	18.01	0.00	18.01	0.5	0.09
01/02/2018	***-**-973I - MCMAHON, LISA ACCOUNT #: 00225575400	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	13.44	0.00	13.44	0.5	0.07
01/02/2018	***-**-252O - ANGELL, ACCOUNT #: 00335245345	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	46.66	0.00	46.66	0.5	0.23
01/02/2018	***-**-785I - ENIS, JOHN B ACCOUNT #: 00385420398	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	2.12	0.00	2.12	0.5	0.01



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/02/2018	***-**-25IN - SLICK, DIANE ACCOUNT #: 00442051612	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	1.28	0.00	1.28	0.5	0.01
01/02/2018	***-**-937I - WOZNY, LAUREN ACCOUNT #: 00456166610	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	2.55	0.00	2.55	0.5	0.01
01/02/2018	***-**-139I - MAY, EILEEN ACCOUNT #: 00500306501	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	6.82	0.00	6.82	0.5	0.03
01/02/2018	***-**-74IR - KELLEY, ACCOUNT #: 00500937537	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	9.10	0.00	9.10	0.5	0.05
01/02/2018	***-**-139I - MAY, EILEEN ACCOUNT #: 00505761719	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	6.60	0.00	6.60	0.5	0.03
01/02/2018	***-**-37IR - SAVASTANO, GAIL ACCOUNT #: 00508610460	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	1.58	0.00	1.58	0.5	0.01
01/02/2018	***-**-19IR - SAVASTANO, ACCOUNT #: 00508992031	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	1.58	0.00	1.58	0.5	0.01
01/02/2018	***-**-33IR - MURRAY, NANCY ACCOUNT #: 00509627450	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	3.06	0.00	3.06	0.5	0.02
01/02/2018	***-**-113I - NESSHOEVEVER, ACCOUNT #: 00550804332	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	2.50	0.00	2.50	0.5	0.01
01/02/2018	***-**-6113 - NESSHOEVEVER, ACCOUNT #: 00550804334	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	1.07	0.00	1.07	0.5	0.01
01/02/2018	***-**-845I - LOTARDO, JOHN ACCOUNT #: 00550843767	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	2.74	0.00	2.74	0.5	0.01
01/02/2018	***-**-680I - ENIS, LINDA ACCOUNT #: 00550915196	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	43.10	0.00	43.10	0.5	0.22
01/02/2018	***-**-785I - ENIS, JOHN B ACCOUNT #: 00550947194	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	12.03	0.00	12.03	0.5	0.06
01/02/2018	***-**-90IN - ONSTOT, STEPHEN ACCOUNT #: 00550969213	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	8.73	0.00	8.73	0.5	0.04
01/02/2018	***-**-759I - WYCKOFF, CHERYL ACCOUNT #: 00550976392	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	5.05	0.00	5.05	0.5	0.03
01/02/2018	***-**-565I - MARASA, DIANE L ACCOUNT #: 00550989470	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	2.97	0.00	2.97	0.5	0.01





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/02/2018	***-**-25IN - SLICK, DIANE ACCOUNT #: 00442051612	PUTNAM EQUITY INCOME FUND-B BUY 0 Units @0.0000	0.00	5.16	0.00	5.16	0.5	0.03
01/02/2018	***-**-74IR - KELLEY, ACCOUNT #: 00500937537	PUTNAM EQUITY INCOME FUND-B BUY 0 Units @0.0000	0.00	5.12	0.00	5.12	0.5	0.03
01/02/2018	***-**-922I - STANKIEWICZ, ACCOUNT #: 00429465637	PUTNAM EUROPE EQUITY FUND-A BUY 0 Units @0.0000	0.00	7.06	0.00	7.06	0.5	0.04
01/02/2018	***-**-504I - DITZ, DAVID M ACCOUNT #: 00021147340	PUTNAM GLOBAL EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	2.45	0.00	2.45	0.5	0.01
01/02/2018	***-**-140J - HARDER, RONALD ACCOUNT #: 00063167398	PUTNAM GLOBAL EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	5.40	0.00	5.40	0.5	0.03
01/02/2018	***-**-49IR - SMITH, KARL ACCOUNT #: 00318273300	PUTNAM GLOBAL EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	6.45	0.00	6.45	0.5	0.03
01/02/2018	***-**-61IR - SMITH, LORI ACCOUNT #: 00318273652	PUTNAM GLOBAL EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	4.53	0.00	4.53	0.5	0.02
01/02/2018	***-**-922I - STANKIEWICZ, ACCOUNT #: 00429465637	PUTNAM GLOBAL EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	9.49	0.00	9.49	0.5	0.05
01/02/2018	***-**-465P - LAUGHLIN, TRACI ACCOUNT #: 00431687673	PUTNAM GLOBAL EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	6.50	0.00	6.50	0.5	0.03
01/02/2018	***-**-0632 - ST CLAIR, ACCOUNT #: 00431747675	PUTNAM GLOBAL EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	22.00	0.00	22.00	0.5	0.11
01/02/2018	***-**-565I - MARASA, DIANE L ACCOUNT #: 00550989470	PUTNAM GLOBAL EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	3.06	0.00	3.06	0.5	0.02
01/02/2018	***-**-0632 - ST CLAIR, ACCOUNT #: 00431747675	PUTNAM GLOBAL EQUITY FUND CLASS A BUY 46 Units @16.4700	750.00	20.63	0.00	20.63	0.5	0.10
01/03/2018	***-**-0632 - ST CLAIR, ACCOUNT #: 00431747675	PUTNAM GLOBAL EQUITY FUND CLASS A BUY 60 Units @16.5600	1,000.00	27.50	0.00	27.50	0.5	0.14
01/02/2018	***-**-163I - KRASNER, SHERRIE ACCOUNT #: 00018011739	PUTNAM GLOBAL HEALTH CARE FD-A BUY 0 Units @0.0000	0.00	22.62	0.00	22.62	0.5	0.11
01/02/2018	***-**-038I - FLEISCHER, ACCOUNT #: 00032806001	PUTNAM GLOBAL HEALTH CARE FD-A BUY 0 Units @0.0000	0.00	84.92	0.00	84.92	0.5	0.42
01/02/2018	***-**-38IN - FLEISCHER, ACCOUNT #: 00190128037	PUTNAM GLOBAL HEALTH CARE FD-A BUY 0 Units @0.0000	0.00	196.51	0.00	196.51	0.5	0.98



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/02/2018	***-**-973I - MCMAHON, LISA ACCOUNT #: 00225575400	PUTNAM GLOBAL HEALTH CARE FD-A BUY 0 Units @0.0000	0.00	13.82	0.00	13.82	0.5	0.07
01/02/2018	***-**-252O - ANGELL, ACCOUNT #: 00335245345	PUTNAM GLOBAL HEALTH CARE FD-A BUY 0 Units @0.0000	0.00	31.45	0.00	31.45	0.5	0.16
01/02/2018	***-**-73IE - PURDY, CAROL ACCOUNT #: 00340658835	PUTNAM GLOBAL HEALTH CARE FD-A BUY 0 Units @0.0000	0.00	1.33	0.00	1.33	0.5	0.01
01/02/2018	***-**-139I - MAY, EILEEN ACCOUNT #: 00500306501	PUTNAM GLOBAL HEALTH CARE FD-A BUY 0 Units @0.0000	0.00	9.43	0.00	9.43	0.5	0.05
01/02/2018	***-**-527I - OBERT, DAVID ACCOUNT #: 00502877254	PUTNAM GLOBAL HEALTH CARE FD-A BUY 0 Units @0.0000	0.00	4.05	0.00	4.05	0.5	0.02
01/02/2018	***-**-139I - MAY, EILEEN ACCOUNT #: 00505761719	PUTNAM GLOBAL HEALTH CARE FD-A BUY 0 Units @0.0000	0.00	8.44	0.00	8.44	0.5	0.04
01/02/2018	***-**-37IR - SAVASTANO, GAIL ACCOUNT #: 00508610460	PUTNAM GLOBAL HEALTH CARE FD-A BUY 0 Units @0.0000	0.00	2.27	0.00	2.27	0.5	0.01
01/02/2018	***-**-98IE - SAVASTANO, ACCOUNT #: 00508651643	PUTNAM GLOBAL HEALTH CARE FD-A BUY 0 Units @0.0000	0.00	1.67	0.00	1.67	0.5	0.01
01/02/2018	***-**-02IE - SAVASTANO, ACCOUNT #: 00508651847	PUTNAM GLOBAL HEALTH CARE FD-A BUY 0 Units @0.0000	0.00	1.67	0.00	1.67	0.5	0.01
01/02/2018	***-**-19IR - SAVASTANO, ACCOUNT #: 00508992031	PUTNAM GLOBAL HEALTH CARE FD-A BUY 0 Units @0.0000	0.00	2.27	0.00	2.27	0.5	0.01
01/02/2018	***-**-90IN - ONSTOT, STEPHEN ACCOUNT #: 00550969213	PUTNAM GLOBAL INCOME TRUST-A BUY 0 Units @0.0000	0.00	2.83	0.00	2.83	0.5	0.01
01/02/2018	***-**-504I - DITZ, DAVID M ACCOUNT #: 00021147340	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	7.42	0.00	7.42	0.5	0.04
01/02/2018	***-**-970I - LAM, DORIS P ACCOUNT #: 00129129984	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	1.92	0.00	1.92	0.5	0.01
01/02/2018	***-**-47IN - FERRIS, MICHELLE ACCOUNT #: 00134321330	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	3.18	0.00	3.18	0.5	0.02
01/02/2018	***-**-575P - PACKAGE SEP ACCOUNT #: 00255280704	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	2.65	0.00	2.65	0.5	0.01
01/02/2018	***-**-879I - WAHAB, G ACCOUNT #: 00255319195	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	1.22	0.00	1.22	0.5	0.01



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/02/2018	***-**-79IN - WAHAB, MAGGY ACCOUNT #: 00255372818	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	6.97	0.00	6.97	0.5	0.03
01/02/2018	***-**-086J - CHEN, JING ACCOUNT #: 00272371106	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	5.66	0.00	5.66	0.5	0.03
01/02/2018	***-**-98IN - BAIS, RAM ACCOUNT #: 00283433410	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	5.64	0.00	5.64	0.5	0.03
01/02/2018	***-**-171I - WISZ JR, JOHN J ACCOUNT #: 00302228366	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	1.33	0.00	1.33	0.5	0.01
01/02/2018	***-**-468J - FELIX, INAS ACCOUNT #: 00307357741	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	9.07	0.00	9.07	0.5	0.05
01/02/2018	***-**-970I - LAM, DORIS P ACCOUNT #: 00315986011	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	5.66	0.00	5.66	0.5	0.03
01/02/2018	***-**-874I - LIU, YU L ACCOUNT #: 00320161434	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	3.48	0.00	3.48	0.5	0.02
01/02/2018	***-**-72IN - JIAO, BEI ACCOUNT #: 00320268706	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	9.08	0.00	9.08	0.5	0.05
01/02/2018	***-**-258J - OUELLETTE, ACCOUNT #: 00320586211	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	6.70	0.00	6.70	0.5	0.03
01/02/2018	***-**-50IN - RENDELL, IAN ACCOUNT #: 00323890524	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	2.48	0.00	2.48	0.5	0.01
01/02/2018	***-**-252O - ANGELL, ACCOUNT #: 00335245345	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	5.45	0.00	5.45	0.5	0.03
01/02/2018	***-**-73IR - CONNORS, GEORGE ACCOUNT #: 00369309866	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	1.25	0.00	1.25	0.5	0.01
01/02/2018	***-**-66IR - CONNORS, STELLA ACCOUNT #: 00369800054	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	1.25	0.00	1.25	0.5	0.01
01/02/2018	***-**-785I - ENIS, JOHN B ACCOUNT #: 00385420398	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	1.29	0.00	1.29	0.5	0.01
01/02/2018	***-**-34IN - MITRA, CHINMOY ACCOUNT #: 00389361772	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	1.51	0.00	1.51	0.5	0.01
01/02/2018	***-**-25IN - SLICK, DIANE ACCOUNT #: 00442051612	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	1.73	0.00	1.73	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ALEX GEORGIEV (continued) </u></b>								
01/02/2018	***-**-937I - WOZNY, LAUREN	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	2.93	0.00	2.93	0.5	0.01
	ACCOUNT #: 00456166610	BUY 0 Units @0.0000						
01/02/2018	***-**-468I - FELIX, SHERIF A	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	15.98	0.00	15.98	0.5	0.08
	ACCOUNT #: 00474852491	BUY 0 Units @0.0000						
01/02/2018	***-**-132I - STODDART, WENDY	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	1.13	0.00	1.13	0.5	0.01
	ACCOUNT #: 00494094521	BUY 0 Units @0.0000						
01/02/2018	***-**-75IR - MCCLURE, MICHAEL	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	5.10	0.00	5.10	0.5	0.03
	ACCOUNT #: 00494928028	BUY 0 Units @0.0000						
01/02/2018	***-**-212I - SMYTH, FREDERICK	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	29.02	0.00	29.02	0.5	0.15
	ACCOUNT #: 00495126657	BUY 0 Units @0.0000						
01/02/2018	***-**-827I - MOORE, JON	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	11.30	0.00	11.30	0.5	0.06
	ACCOUNT #: 00500569872	BUY 0 Units @0.0000						
01/02/2018	***-**-9772 - BUSKARD, MAUREEN	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	3.20	0.00	3.20	0.5	0.02
	ACCOUNT #: 00513212527	BUY 0 Units @0.0000						
01/02/2018	***-**-99IN - CHADHA, JASBIR	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	2.32	0.00	2.32	0.5	0.01
	ACCOUNT #: 00516004430	BUY 0 Units @0.0000						
01/02/2018	***-**-56IR - RYAN, MICHAEL	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	2.60	0.00	2.60	0.5	0.01
	ACCOUNT #: 00516562118	BUY 0 Units @0.0000						
01/02/2018	***-**-28IN - FULHORST, MARY	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	1.95	0.00	1.95	0.5	0.01
	ACCOUNT #: 00516696277	BUY 0 Units @0.0000						
01/02/2018	***-**-012I - MASSORES, HOLLY	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	4.36	0.00	4.36	0.5	0.02
	ACCOUNT #: 00518686440	BUY 0 Units @0.0000						
01/02/2018	***-**-835J - LOUIE, SUSAN	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	1.78	0.00	1.78	0.5	0.01
	ACCOUNT #: 00550823834	BUY 0 Units @0.0000						
01/02/2018	***-**-680I - ENIS, LINDA	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	15.27	0.00	15.27	0.5	0.08
	ACCOUNT #: 00550915196	BUY 0 Units @0.0000						
01/02/2018	***-**-785I - ENIS, JOHN B	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	8.35	0.00	8.35	0.5	0.04
	ACCOUNT #: 00550947194	BUY 0 Units @0.0000						
01/02/2018	***-**-32IR - HEMAUER, DANIEL	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	3.80	0.00	3.80	0.5	0.02
	ACCOUNT #: 00550957762	BUY 0 Units @0.0000						
01/02/2018	***-**-34IR - HEMAUER, SHERRY	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	3.80	0.00	3.80	0.5	0.02
	ACCOUNT #: 00550959231	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/02/2018	***-**-90IN - ONSTOT, STEPHEN	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	7.60	0.00	7.60	0.5	0.04
	ACCOUNT #: 00550969213	BUY 0 Units @0.0000						
01/02/2018	***-**-486I - WEBB, JEROLYN K	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	9.19	0.00	9.19	0.5	0.05
	ACCOUNT #: 00550252448	BUY 0 Units @0.0000						
01/02/2018	***-**-25IN - SLICK, DIANE	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	4.64	0.00	4.64	0.5	0.02
	ACCOUNT #: 00442051612	BUY 0 Units @0.0000						
01/02/2018	***-**-75IR - MCCLURE, MICHAEL	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	2.03	0.00	2.03	0.5	0.01
	ACCOUNT #: 00494928028	BUY 0 Units @0.0000						
01/02/2018	***-**-970I - LAM, DORIS P	PUTNAM GROWTH OPPORTUNITIES-M	0.00	2.10	0.00	2.10	0.5	0.01
	ACCOUNT #: 00315986011	BUY 0 Units @0.0000						
01/02/2018	***-**-252O - ANGELL,	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	13.99	0.00	13.99	0.5	0.07
	ACCOUNT #: 00335245345	BUY 0 Units @0.0000						
01/02/2018	***-**-627J - BENDIG, KRISTA	PUTNAM INTERNATIONAL EQUITY-A	0.00	3.32	0.00	3.32	0.5	0.02
	ACCOUNT #: 00282480109	BUY 0 Units @0.0000						
01/02/2018	***-**-252O - ANGELL,	PUTNAM INTERNATIONAL EQUITY-A	0.00	3.80	0.00	3.80	0.5	0.02
	ACCOUNT #: 00335245345	BUY 0 Units @0.0000						
01/02/2018	***-**-0632 - ST CLAIR,	PUTNAM INTERNATIONAL EQUITY-A	0.00	14.20	0.00	14.20	0.5	0.07
	ACCOUNT #: 00431747675	BUY 0 Units @0.0000						
01/02/2018	***-**-437I - STRAUB,	PUTNAM INTERNATIONAL EQUITY-A	0.00	6.38	0.00	6.38	0.5	0.03
	ACCOUNT #: 00492101343	BUY 0 Units @0.0000						
01/02/2018	***-**-644I - YENDELL, MARYANN	PUTNAM INTERNATIONAL EQUITY-A	0.00	8.30	0.00	8.30	0.5	0.04
	ACCOUNT #: 00550800567	BUY 0 Units @0.0000						
01/02/2018	***-**-0632 - ST CLAIR,	PUTNAM INTERNATIONAL EQUITY-A	750.00	20.63	0.00	20.63	0.5	0.10
	ACCOUNT #: 00431747675	BUY 27 Units @27.3500						
01/03/2018	***-**-0632 - ST CLAIR,	PUTNAM INTERNATIONAL EQUITY-A	1,000.00	27.50	0.00	27.50	0.5	0.14
	ACCOUNT #: 00431747675	BUY 36 Units @27.5100						
01/02/2018	***-**-171I - WISZ JR, JOHN J	PUTNAM INTL CAPITAL OPPS-A	0.00	1.54	0.00	1.54	0.5	0.01
	ACCOUNT #: 00302228366	BUY 0 Units @0.0000						
01/02/2018	***-**-49IR - SMITH, KARL	PUTNAM INTL CAPITAL OPPS-A	0.00	8.21	0.00	8.21	0.5	0.04
	ACCOUNT #: 00318273300	BUY 0 Units @0.0000						
01/02/2018	***-**-61IR - SMITH, LORI	PUTNAM INTL CAPITAL OPPS-A	0.00	4.50	0.00	4.50	0.5	0.02
	ACCOUNT #: 00318273652	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ALEX GEORGIEV (continued) </u></b>								
01/02/2018	***-**-8741 - LIU, YU L	PUTNAM INTL CAPITAL OPPS-A	0.00	4.30	0.00	4.30	0.5	0.02
	ACCOUNT #: 00320161434	BUY 0 Units @0.0000						
01/02/2018	***-**-72IN - JIAO, BEI	PUTNAM INTL CAPITAL OPPS-A	0.00	3.36	0.00	3.36	0.5	0.02
	ACCOUNT #: 00320268706	BUY 0 Units @0.0000						
01/02/2018	***-**-258J - OUELLETTE,	PUTNAM INTL CAPITAL OPPS-A	0.00	13.22	0.00	13.22	0.5	0.07
	ACCOUNT #: 00320586211	BUY 0 Units @0.0000						
01/02/2018	***-**-813I - GILMUND, MATTHEW	PUTNAM INTL CAPITAL OPPS-A	0.00	6.89	0.00	6.89	0.5	0.03
	ACCOUNT #: 00344257587	BUY 0 Units @0.0000						
01/02/2018	***-**-741I - GILMUND, MARIE	PUTNAM INTL CAPITAL OPPS-A	0.00	4.67	0.00	4.67	0.5	0.02
	ACCOUNT #: 00344257985	BUY 0 Units @0.0000						
01/02/2018	***-**-0632 - ST CLAIR,	PUTNAM INTL CAPITAL OPPS-A	0.00	11.89	0.00	11.89	0.5	0.06
	ACCOUNT #: 00431747675	BUY 0 Units @0.0000						
01/02/2018	***-**-212I - SMYTH, FREDERICK	PUTNAM INTL CAPITAL OPPS-A	0.00	21.22	0.00	21.22	0.5	0.11
	ACCOUNT #: 00495126657	BUY 0 Units @0.0000						
01/02/2018	***-**-644I - YENDELL, MARYANN	PUTNAM INTL CAPITAL OPPS-A	0.00	4.98	0.00	4.98	0.5	0.02
	ACCOUNT #: 00550800567	BUY 0 Units @0.0000						
01/02/2018	***-**-0632 - ST CLAIR,	PUTNAM INTL CAPITAL OPPS-A	750.00	20.63	0.00	20.63	0.5	0.10
	ACCOUNT #: 00431747675	BUY 17 Units @44.2300						
01/03/2018	***-**-0632 - ST CLAIR,	PUTNAM INTL CAPITAL OPPS-A	1,000.00	27.50	0.00	27.50	0.5	0.14
	ACCOUNT #: 00431747675	BUY 23 Units @44.3700						
01/02/2018	***-**-835J - LOUIE, SUSAN	PUTNAM INTL CAPITAL OPPS-C	0.00	19.36	0.00	19.36	0.5	0.10
	ACCOUNT #: 00550823834	BUY 0 Units @0.0000						
01/02/2018	***-**-98IR - PERRY, ABBY	PUTNAM INTL GROWTH & INC-A	0.00	2.33	0.00	2.33	0.5	0.01
	ACCOUNT #: 00248942140	BUY 0 Units @0.0000						
01/02/2018	***-**-514I - MIKUS, BETTY	PUTNAM INTL GROWTH & INC-A	0.00	8.26	0.00	8.26	0.5	0.04
	ACCOUNT #: 00499116880	BUY 0 Units @0.0000						
01/02/2018	***-**-738I - ROSS, BARRY M	PUTNAM INTL NEW OPPORT-A	0.00	10.40	0.00	10.40	0.5	0.05
	ACCOUNT #: 00118302573	BUY 0 Units @0.0000						
01/02/2018	***-**-937I - WOZNY, LAUREN	PUTNAM INTL NEW OPPORT-A	0.00	4.72	0.00	4.72	0.5	0.02
	ACCOUNT #: 00456166610	BUY 0 Units @0.0000						
01/02/2018	***-**-375P - WOZNY, LAUREN	PUTNAM INTL NEW OPPORT-A	0.00	1.50	0.00	1.50	0.5	0.01
	ACCOUNT #: 00458711965	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/02/2018	***-**-437I - STRAUB, ACCOUNT #: 00492101343	PUTNAM INTL NEW OPPORT-A BUY 0 Units @0.0000	0.00	5.74	0.00	5.74	0.5	0.03
01/02/2018	***-**-928I - FULHORST, MARY C ACCOUNT #: 00550971758	PUTNAM INTL NEW OPPORT-A BUY 0 Units @0.0000	0.00	2.14	0.00	2.14	0.5	0.01
01/02/2018	***-**-835J - LOUIE, SUSAN ACCOUNT #: 00550823834	PUTNAM INTL NEW OPPORT-C BUY 0 Units @0.0000	0.00	12.50	0.00	12.50	0.5	0.06
01/02/2018	***-**-140J - HARDER, RONALD ACCOUNT #: 00063167398	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	8.11	0.00	8.11	0.5	0.04
01/02/2018	***-**-575P - PACKAGE SEP ACCOUNT #: 00255280704	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	2.13	0.00	2.13	0.5	0.01
01/02/2018	***-**-79IN - WAHAB, MAGGY ACCOUNT #: 00255372818	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	9.30	0.00	9.30	0.5	0.05
01/02/2018	***-**-086J - CHEN, JING ACCOUNT #: 00272371106	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	5.25	0.00	5.25	0.5	0.03
01/02/2018	***-**-235I - HU, XIAOQING ACCOUNT #: 00275054085	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	1.00	0.00	1.00	0.5	0.01
01/02/2018	***-**-72IN - JIAO, BEI ACCOUNT #: 00320268706	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	4.11	0.00	4.11	0.5	0.02
01/02/2018	***-**-21UG - MCCLURE, RYLEY ACCOUNT #: 00385239240	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	2.90	0.00	2.90	0.5	0.01
01/02/2018	***-**-139I - MAY, EILEEN ACCOUNT #: 00500306501	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	12.66	0.00	12.66	0.5	0.06
01/02/2018	***-**-827I - MOORE, JON ACCOUNT #: 00500569872	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	15.96	0.00	15.96	0.5	0.08
01/02/2018	***-**-527I - OBERT, DAVID ACCOUNT #: 00502877254	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	5.31	0.00	5.31	0.5	0.03
01/02/2018	***-**-139I - MAY, EILEEN ACCOUNT #: 00505761719	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	13.96	0.00	13.96	0.5	0.07
01/02/2018	***-**-442J - FITZGERALD, ACCOUNT #: 00513044124	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	6.20	0.00	6.20	0.5	0.03
01/02/2018	***-**-49IN - SMITH, LORI ACCOUNT #: 00516712541	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	2.85	0.00	2.85	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/02/2018	***-**-61IN - SMITH, LORI	PUTNAM INVESTORS FUND-A	0.00	2.85	0.00	2.85	0.5	0.01
	ACCOUNT #: 00516768719	BUY 0 Units @0.0000						
01/02/2018	***-**-10UG - GODZIK, MATTHEW	PUTNAM INVESTORS FUND-A	0.00	1.61	0.00	1.61	0.5	0.01
	ACCOUNT #: 00517064059	BUY 0 Units @0.0000						
01/02/2018	***-**-012I - MASSORES, HOLLY	PUTNAM INVESTORS FUND-A	0.00	3.28	0.00	3.28	0.5	0.02
	ACCOUNT #: 00517137443	BUY 0 Units @0.0000						
01/02/2018	***-**-644I - YENDELL, MARYANN	PUTNAM INVESTORS FUND-A	0.00	11.49	0.00	11.49	0.5	0.06
	ACCOUNT #: 00550800567	BUY 0 Units @0.0000						
01/02/2018	***-**-365I - CUNEO, LORIE ANN	PUTNAM INVESTORS FUND-A	0.00	6.31	0.00	6.31	0.5	0.03
	ACCOUNT #: 00550926628	BUY 0 Units @0.0000						
01/02/2018	***-**-32IR - HEMAUER, DANIEL	PUTNAM INVESTORS FUND-A	0.00	3.73	0.00	3.73	0.5	0.02
	ACCOUNT #: 00550957762	BUY 0 Units @0.0000						
01/02/2018	***-**-34IR - HEMAUER, SHERRY	PUTNAM INVESTORS FUND-A	0.00	3.73	0.00	3.73	0.5	0.02
	ACCOUNT #: 00550959231	BUY 0 Units @0.0000						
01/02/2018	***-**-90IN - ONSTOT, STEPHEN	PUTNAM INVESTORS FUND-A	0.00	5.74	0.00	5.74	0.5	0.03
	ACCOUNT #: 00550969213	BUY 0 Units @0.0000						
01/02/2018	***-**-41UG - REBMAN, JACK	PUTNAM INVESTORS FUND-A	0.00	5.91	0.00	5.91	0.5	0.03
	ACCOUNT #: 00519112632	BUY 0 Units @0.0000						
01/02/2018	***-**-49IR - SMITH, KARL	PUTNAM MID-CAP VALUE FUND-A	0.00	16.76	0.00	16.76	0.5	0.08
	ACCOUNT #: 00318273300	BUY 0 Units @0.0000						
01/02/2018	***-**-61IR - SMITH, LORI	PUTNAM MID-CAP VALUE FUND-A	0.00	9.20	0.00	9.20	0.5	0.05
	ACCOUNT #: 00318273652	BUY 0 Units @0.0000						
01/02/2018	***-**-252O - ANGELL,	PUTNAM MID-CAP VALUE FUND-A	0.00	17.07	0.00	17.07	0.5	0.09
	ACCOUNT #: 00335245345	BUY 0 Units @0.0000						
01/02/2018	***-**-813I - GILMUND, MATTHEW	PUTNAM MID-CAP VALUE FUND-A	0.00	7.18	0.00	7.18	0.5	0.04
	ACCOUNT #: 00344257587	BUY 0 Units @0.0000						
01/02/2018	***-**-741I - GILMUND, MARIE	PUTNAM MID-CAP VALUE FUND-A	0.00	4.90	0.00	4.90	0.5	0.02
	ACCOUNT #: 00344257985	BUY 0 Units @0.0000						
01/02/2018	***-**-70IR - EIKOS, JAMES	PUTNAM MID-CAP VALUE FUND-A	0.00	32.53	0.00	32.53	0.5	0.16
	ACCOUNT #: 00444408854	BUY 0 Units @0.0000						
01/02/2018	***-**-600I - MCCLURE, JILL	PUTNAM MID-CAP VALUE FUND-A	0.00	3.79	0.00	3.79	0.5	0.02
	ACCOUNT #: 00512551775	BUY 0 Units @0.0000						





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/02/2018	***-**-9772 - BUSKARD, MAUREEN	PUTNAM MID-CAP VALUE FUND-A	0.00	1.97	0.00	1.97	0.5	0.01
	ACCOUNT #: 00513212527	BUY 0 Units @0.0000						
01/02/2018	***-**-9349 - SMITH, KARL	PUTNAM MID-CAP VALUE FUND-A	0.00	6.83	0.00	6.83	0.5	0.03
	ACCOUNT #: 00550813086	BUY 0 Units @0.0000						
01/02/2018	***-**-970I - LAM, DORIS P	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	1.83	0.00	1.83	0.5	0.01
	ACCOUNT #: 00129129984	BUY 0 Units @0.0000						
01/02/2018	***-**-47IN - FERRIS, MICHELLE	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	3.05	0.00	3.05	0.5	0.02
	ACCOUNT #: 00134321330	BUY 0 Units @0.0000						
01/02/2018	***-**-559J - CIPCIC, ALBERTA	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	3.96	0.00	3.96	0.5	0.02
	ACCOUNT #: 00134636341	BUY 0 Units @0.0000						
01/02/2018	***-**-85UG - KLINGENBERG,	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	1.47	0.00	1.47	0.5	0.01
	ACCOUNT #: 00249670816	BUY 0 Units @0.0000						
01/02/2018	***-**-685P - FELIX, SHERIF	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	10.92	0.00	10.92	0.5	0.05
	ACCOUNT #: 00267721347	BUY 0 Units @0.0000						
01/02/2018	***-**-086J - CHEN, JING	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	14.44	0.00	14.44	0.5	0.07
	ACCOUNT #: 00272371106	BUY 0 Units @0.0000						
01/02/2018	***-**-235I - HU, XIAOQING	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	4.68	0.00	4.68	0.5	0.02
	ACCOUNT #: 00275054085	BUY 0 Units @0.0000						
01/02/2018	***-**-265P - SAFRANEK, ERIC	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	2.52	0.00	2.52	0.5	0.01
	ACCOUNT #: 00304809795	BUY 0 Units @0.0000						
01/02/2018	***-**-468J - FELIX, INAS	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	31.87	0.00	31.87	0.5	0.16
	ACCOUNT #: 00307357741	BUY 0 Units @0.0000						
01/02/2018	***-**-970I - LAM, DORIS P	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	4.89	0.00	4.89	0.5	0.02
	ACCOUNT #: 00315986011	BUY 0 Units @0.0000						
01/02/2018	***-**-72IN - JIAO, BEI	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	18.18	0.00	18.18	0.5	0.09
	ACCOUNT #: 00320268706	BUY 0 Units @0.0000						
01/02/2018	***-**-50IN - RENDELL, IAN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	17.50	0.00	17.50	0.5	0.09
	ACCOUNT #: 00323890524	BUY 0 Units @0.0000						
01/02/2018	***-**-252O - ANGELL,	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	16.11	0.00	16.11	0.5	0.08
	ACCOUNT #: 00335245345	BUY 0 Units @0.0000						
01/02/2018	***-**-812I - VALLIE, CHRIS	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	2.67	0.00	2.67	0.5	0.01
	ACCOUNT #: 00341342232	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/02/2018	***-**-813I - GILMUND, MATTHEW	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	10.69	0.00	10.69	0.5	0.05
	ACCOUNT #: 00344257587	BUY 0 Units @0.0000						
01/02/2018	***-**-741I - GILMUND, MARIE	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	7.26	0.00	7.26	0.5	0.04
	ACCOUNT #: 00344257985	BUY 0 Units @0.0000						
01/02/2018	***-**-69UG - KINYON, VICTORIA	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	5.00	0.00	5.00	0.5	0.03
	ACCOUNT #: 00349373874	BUY 0 Units @0.0000						
01/02/2018	***-**-85IR - KLINGENBERG,	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	1.59	0.00	1.59	0.5	0.01
	ACCOUNT #: 00350237541	BUY 0 Units @0.0000						
01/02/2018	***-**-599I - VALLIE, PAULA	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	6.91	0.00	6.91	0.5	0.03
	ACCOUNT #: 00353186842	BUY 0 Units @0.0000						
01/02/2018	***-**-73IR - CONNORS, GEORGE	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	1.34	0.00	1.34	0.5	0.01
	ACCOUNT #: 00369309866	BUY 0 Units @0.0000						
01/02/2018	***-**-66IR - CONNORS, STELLA	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	1.34	0.00	1.34	0.5	0.01
	ACCOUNT #: 00369800054	BUY 0 Units @0.0000						
01/02/2018	***-**-0632 - ST CLAIR,	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	19.32	0.00	19.32	0.5	0.10
	ACCOUNT #: 00431747675	BUY 0 Units @0.0000						
01/02/2018	***-**-358I - WALKER-KIEFABER,	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	7.35	0.00	7.35	0.5	0.04
	ACCOUNT #: 00444518072	BUY 0 Units @0.0000						
01/02/2018	***-**-468I - FELIX, SHERIF A	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	48.36	0.00	48.36	0.5	0.24
	ACCOUNT #: 00474852491	BUY 0 Units @0.0000						
01/02/2018	***-**-413J - OBERT, DAVID	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	1.75	0.00	1.75	0.5	0.01
	ACCOUNT #: 00486411415	BUY 0 Units @0.0000						
01/02/2018	***-**-57UG - OSTERGAARD,	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	2.27	0.00	2.27	0.5	0.01
	ACCOUNT #: 00490769200	BUY 0 Units @0.0000						
01/02/2018	***-**-132I - STODDART, WENDY	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00494094521	BUY 0 Units @0.0000						
01/02/2018	***-**-514I - MIKUS, BETTY	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	35.90	0.00	35.90	0.5	0.18
	ACCOUNT #: 00499116880	BUY 0 Units @0.0000						
01/02/2018	***-**-5718 - TANEL, JESSICA	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	2.87	0.00	2.87	0.5	0.01
	ACCOUNT #: 00506069723	BUY 0 Units @0.0000						
01/02/2018	***-**-9772 - BUSKARD, MAUREEN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	6.54	0.00	6.54	0.5	0.03
	ACCOUNT #: 00513212527	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/02/2018	***-**-644I - YENDELL, MARYANN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	14.11	0.00	14.11	0.5	0.07
	ACCOUNT #: 00550800567	BUY 0 Units @0.0000						
01/02/2018	***-**-9349 - SMITH, KARL	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	41.25	0.00	41.25	0.5	0.21
	ACCOUNT #: 00550813086	BUY 0 Units @0.0000						
01/02/2018	***-**-835J - LOUIE, SUSAN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	12.76	0.00	12.76	0.5	0.06
	ACCOUNT #: 00550823834	BUY 0 Units @0.0000						
01/02/2018	***-**-32IR - HEMAUER, DANIEL	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	6.16	0.00	6.16	0.5	0.03
	ACCOUNT #: 00550957762	BUY 0 Units @0.0000						
01/02/2018	***-**-34IR - HEMAUER, SHERRY	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	6.16	0.00	6.16	0.5	0.03
	ACCOUNT #: 00550959231	BUY 0 Units @0.0000						
01/02/2018	***-**-0785 - PAULSON, GREGG	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	6.31	0.00	6.31	0.5	0.03
	ACCOUNT #: 00550961817	BUY 0 Units @0.0000						
01/02/2018	***-**-90IN - ONSTOT, STEPHEN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	2.96	0.00	2.96	0.5	0.01
	ACCOUNT #: 00550969213	BUY 0 Units @0.0000						
01/02/2018	***-**-928I - FULHORST, MARY C	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	2.15	0.00	2.15	0.5	0.01
	ACCOUNT #: 00550971758	BUY 0 Units @0.0000						
01/02/2018	***-**-12IR - SMYTH, FREDERICK	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	7.97	0.00	7.97	0.5	0.04
	ACCOUNT #: 00550972320	BUY 0 Units @0.0000						
01/02/2018	***-**-651I - STRIFLER II,	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	1.15	0.00	1.15	0.5	0.01
	ACCOUNT #: 00550972479	BUY 0 Units @0.0000						
01/02/2018	***-**-759I - WYCKOFF, CHERYL	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	3.86	0.00	3.86	0.5	0.02
	ACCOUNT #: 00550976392	BUY 0 Units @0.0000						
01/02/2018	***-**-918I - HERMAN, DIANA	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	6.86	0.00	6.86	0.5	0.03
	ACCOUNT #: 00550983328	BUY 0 Units @0.0000						
01/02/2018	***-**-565I - MARASA, DIANE L	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	1.96	0.00	1.96	0.5	0.01
	ACCOUNT #: 00550989470	BUY 0 Units @0.0000						
01/02/2018	***-**-4630 - ZIVITZ, EVA	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	1.26	0.00	1.26	0.5	0.01
	ACCOUNT #: 00550998310	BUY 0 Units @0.0000						
01/02/2018	***-**-74IN - MAROLT, PATRICK	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	2.67	0.00	2.67	0.5	0.01
	ACCOUNT #: 00088342598	BUY 0 Units @0.0000						
01/02/2018	***-**-31IN - RENFER, KAREN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	19.83	0.00	19.83	0.5	0.10
	ACCOUNT #: 00098804155	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/02/2018	***-**-0632 - ST CLAIR, ACCOUNT #: 00431747675	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 8 Units @93.7400	750.00	20.63	0.00	20.63	0.5	0.10
01/02/2018	***-**-02UG - KINLAW, ADAIR ACCOUNT #: 00323127790	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 1 Units @95.9800	50.00	2.50	0.00	2.50	0.5	0.01
01/03/2018	***-**-0632 - ST CLAIR, ACCOUNT #: 00431747675	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 11 Units @94.6500	1,000.00	27.50	0.00	27.50	0.5	0.14
01/02/2018	***-**-835J - LOUIE, SUSAN ACCOUNT #: 00550823834	PUTNAM NEW OPPORTUNITIES-C BUY 0 Units @0.0000	0.00	12.77	0.00	12.77	0.5	0.06
01/02/2018	***-**-970I - LAM, DORIS P ACCOUNT #: 00315986011	PUTNAM NEW OPPORTUNITIES-M BUY 0 Units @0.0000	0.00	1.78	0.00	1.78	0.5	0.01
01/02/2018	***-**-51IN - JOHNSON, JEAN ACCOUNT #: 00015374480	PUTNAM NEW YORK TAX- EXEMPT INCOME BUY 0 Units @0.0000	0.00	2.98	0.00	2.98	0.5	0.01
01/02/2018	***-**-51IN - JOHNSON, JEAN ACCOUNT #: 00015374480	PUTNAM NEW YORK TAX- EXEMPT INCOME BUY 0 Units @0.0000	0.00	1.21	0.00	1.21	0.5	0.01
01/02/2018	***-**-98IR - PERRY, ABBY ACCOUNT #: 00248942140	PUTNAM RESEARCH FUND-A BUY 0 Units @0.0000	0.00	3.45	0.00	3.45	0.5	0.02
01/02/2018	***-**-79IN - WAHAB, MAGGY ACCOUNT #: 00255372818	PUTNAM RESEARCH FUND-A BUY 0 Units @0.0000	0.00	9.30	0.00	9.30	0.5	0.05
01/02/2018	***-**-258J - OUELLETTE, ACCOUNT #: 00320586211	PUTNAM RESEARCH FUND-A BUY 0 Units @0.0000	0.00	21.54	0.00	21.54	0.5	0.11
01/02/2018	***-**-600I - MCCLURE, JILL ACCOUNT #: 00512551775	PUTNAM RESEARCH FUND-A BUY 0 Units @0.0000	0.00	4.34	0.00	4.34	0.5	0.02
01/02/2018	***-**-644I - YENDELL, MARYANN ACCOUNT #: 00550800567	PUTNAM RESEARCH FUND-A BUY 0 Units @0.0000	0.00	12.01	0.00	12.01	0.5	0.06
01/02/2018	***-**-0785 - PAULSON, GREGG ACCOUNT #: 00550961817	PUTNAM RESEARCH FUND-A BUY 0 Units @0.0000	0.00	3.08	0.00	3.08	0.5	0.02
01/02/2018	***-**-918I - HERMAN, DIANA ACCOUNT #: 00550983328	PUTNAM RESEARCH FUND-A BUY 0 Units @0.0000	0.00	7.37	0.00	7.37	0.5	0.04
01/02/2018	***-**-75IR - MCCLURE, MICHAEL ACCOUNT #: 00494928028	PUTNAM SMALL CAP GROWTH FUND CLASS BUY 0 Units @0.0000	0.00	6.80	0.00	6.80	0.5	0.03
01/02/2018	***-**-9772 - BUSKARD, MAUREEN ACCOUNT #: 00513212527	PUTNAM SMALL CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	1.91	0.00	1.91	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/02/2018	***-**-0785 - PAULSON, GREGG	PUTNAM SMALL CAP VALUE FUND-A	0.00	7.79	0.00	7.79	0.5	0.04
	ACCOUNT #: 00550961817	BUY 0 Units @0.0000						
01/02/2018	***-**-679J - GOWE, NICHOLAS	PUTNAM TAX-FREE HIGH YIELD FUND CLA	0.00	63.36	0.00	63.36	0.5	0.32
	ACCOUNT #: 00289282569	BUY 0 Units @0.0000						
01/02/2018	***-**-10UG - GODZIK, MATTHEW	PUTNAM U.S. GOVT INCOME TR-A	0.00	3.84	0.00	3.84	0.5	0.02
	ACCOUNT #: 00517064059	BUY 0 Units @0.0000						
01/22/2018	***-**-60TR - BLANCHARD TRUST,	RESOURCE REAL ESTATES-NFS	0.00	2.88	0.00	2.88	0.5	0.01
	ACCOUNT #: LSR010327	BUY 1 Units @0.0000						
01/10/2018	***-**-6578 - DUKE, DAYNA	SBL 814121364	350.00	5.25	0.00	5.25	0.5	0.03
	ACCOUNT #: 8034874	BUY 0 Units @0.0000						
01/10/2018	***-**-4658 - DUKE, DAVID	SBL 814121364	250.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 8034875	BUY 0 Units @0.0000						
01/08/2018	***-**-4737 - PADILLA, MARIA	SUNAMERICA FOCUSED ALPHA GROWTH CL	0.00	3.15	0.00	3.15	0.5	0.02
	ACCOUNT #: 00009171292	BUY 0 Units @0.0000						
01/08/2018	***-**-737J - PADILLA, MANUEL	SUNAMERICA FOCUSED ALPHA GROWTH CL	0.00	6.12	0.00	6.12	0.5	0.03
	ACCOUNT #: 00009171519	BUY 0 Units @0.0000						
01/08/2018	***-**-38IN - PADILLA, MANUEL	SUNAMERICA FOCUSED ALPHA GROWTH CL	0.00	3.15	0.00	3.15	0.5	0.02
	ACCOUNT #: 00009171521	BUY 0 Units @0.0000						
01/22/2018	***-**-453X - BRUNING, ELDA	THE BOND FUND OF AMERICA-A	0.00	2.89	0.00	2.89	0.5	0.01
	ACCOUNT #: LSR010008	BUY 1 Units @0.0000						
01/22/2018	***-**-597I - DIAZ, CARMEN	THE BOND FUND OF AMERICA-C	0.00	16.70	0.00	16.70	0.5	0.08
	ACCOUNT #: LSR008486	BUY 1 Units @0.0000						
01/08/2018	***-**-385P - RODRIGUEZ,	THE GROWTH FUND OF AMERICA-A	200.00	10.00	0.00	10.00	0.5	0.05
	ACCOUNT #: 00074785412	BUY 4 Units @54.3600						
01/10/2018	***-**-515P - MEYER, MICHAEL	THE GROWTH FUND OF AMERICA-A	325.00	3.25	0.00	3.25	0.5	0.02
	ACCOUNT #: 00066722060	BUY 6 Units @51.2200						
01/10/2018	***-**-70IN - PESCHE, SUSAN	THE GROWTH FUND OF AMERICA-A	100.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 00061196180	BUY 2 Units @52.5300						
01/16/2018	***-**-72UT - LOEFFLER UTMA	THE GROWTH FUND OF AMERICA-A	90.02	3.38	0.00	3.38	0.5	0.02
	ACCOUNT #: LSR011224	BUY 2 Units @54.3900						
01/16/2018	***-**-34IR - HARDY, VICTOR	THE GROWTH FUND OF AMERICA-A	75.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 00084794059	BUY 1 Units @55.1100						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/22/2018	***-**-73IR - BUSCHBAUM, ACCOUNT #: LSR010395	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	8.48	0.00	8.48	0.5	0.04
01/22/2018	***-**-870I - SHAPIRO, ACCOUNT #: LSR012103	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.58	0.00	1.58	0.5	0.01
01/22/2018	***-**-9944 - KOKIS, SAMUEL ACCOUNT #: LSR104922	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.61	0.00	2.61	0.5	0.01
01/02/2018	***-**-155J - DAVIS, RANDY ACCOUNT #: 00000363022	THE HARTFORD GROWTH OPPORTUNITIES F BUY 0 Units @0.0000	0.00	2.31	0.00	2.31	0.5	0.01
01/02/2018	***-**-324I - WEBSTER, RONALD ACCOUNT #: 00000407990	THE HARTFORD GROWTH OPPORTUNITIES F BUY 0 Units @0.0000	0.00	7.87	0.00	7.87	0.5	0.04
01/02/2018	***-**-58IR - CLARK, ABBY ACCOUNT #: 00000423966	THE HARTFORD GROWTH OPPORTUNITIES F BUY 0 Units @0.0000	0.00	1.86	0.00	1.86	0.5	0.01
01/02/2018	***-**-16IN - LYONS, TIMOTHY ACCOUNT #: 00000458605	THE HARTFORD GROWTH OPPORTUNITIES F BUY 0 Units @0.0000	0.00	7.93	0.00	7.93	0.5	0.04
01/02/2018	***-**-3316 - LYONS BENE, ACCOUNT #: 00000932164	THE HARTFORD GROWTH OPPORTUNITIES F BUY 0 Units @0.0000	0.00	5.59	0.00	5.59	0.5	0.03
01/02/2018	***-**-160J - COOK, CLIFFORD & ACCOUNT #: 00000180534	THE HARTFORD GROWTH OPPORTUNITIES F BUY 1 Units @45.7900	25.00	1.19	0.00	1.19	0.5	0.01
01/02/2018	***-**-898I - SMITH, CARYLON ACCOUNT #: 00000199303	THE HARTFORD SHORT DURATION FUND - BUY 0 Units @0.0000	0.00	2.65	0.00	2.65	0.5	0.01
01/02/2018	***-**-12IN - VERMILION, ACCOUNT #: 00000070668	THE HARTFORD SMALL CAP GROWTH FD-A BUY 0 Units @0.0000	0.00	1.79	0.00	1.79	0.5	0.01
01/02/2018	***-**-80IN - WATKINS, DOE ACCOUNT #: 00000091673	THE HARTFORD SMALL CAP GROWTH FD-A BUY 0 Units @0.0000	0.00	2.00	0.00	2.00	0.5	0.01
01/02/2018	***-**-155J - DAVIS, RANDY ACCOUNT #: 00000363022	THE HARTFORD SMALL CAP GROWTH FD-A BUY 0 Units @0.0000	0.00	4.22	0.00	4.22	0.5	0.02
01/02/2018	***-**-324I - WEBSTER, RONALD ACCOUNT #: 00000407990	THE HARTFORD TOTAL RETURN BOND FD-A BUY 0 Units @0.0000	0.00	2.34	0.00	2.34	0.5	0.01
01/16/2018	***-**-3422 - GLICKMAN, NEIL ACCOUNT #: 00068663396	THE INCOME FUND OF AMERICA-529A BUY 3 Units @24.6400	66.67	1.83	0.00	1.83	0.5	0.01
01/16/2018	***-**-733I - ANDERSEN, ACCOUNT #: 00085128729	THE INCOME FUND OF AMERICA-529A BUY 1 Units @25.0300	25.00	1.06	0.00	1.06	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
01/22/2018	***-**-60TR - BLANCHARD TRUST, ACCOUNT #: LSR010327	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	5.53	0.00	5.53	0.5	0.03
01/22/2018	***-**-779I - BEDNARZ, ACCOUNT #: LSR012063	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.41	0.00	1.41	0.5	0.01
01/22/2018	***-**-835O - FREEMAN, FLOYD ACCOUNT #: LSR012116	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	0.5	0.01
01/22/2018	***-**-67IR - HELLER, BRUCE ACCOUNT #: LSR012140	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.77	0.00	1.77	0.5	0.01
01/22/2018	***-**-967I - HELLER, BRUCE ACCOUNT #: LSR012141	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.23	0.00	1.23	0.5	0.01
01/22/2018	***-**-960I - METCHIS, STACY ACCOUNT #: LSR012191	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.73	0.00	1.73	0.5	0.01
01/22/2018	***-**-360I - METCHIS, HOWARD ACCOUNT #: LSR012192	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.99	0.00	1.99	0.5	0.01
01/16/2018	***-**-325P - COLLINS, GENNY ACCOUNT #: 00082376045	THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @43.9300	50.00	2.13	0.00	2.13	0.5	0.01
01/16/2018	***-**-325P - COLLINS, GENNY ACCOUNT #: 00082376306	THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @43.9300	50.00	2.13	0.00	2.13	0.5	0.01
01/16/2018	***-**-3422 - GLICKMAN, NEIL ACCOUNT #: 00068663396	THE INVESTMENT COMPANY OF AMERICA-5 BUY 2 Units @43.2400	66.67	1.83	0.00	1.83	0.5	0.01
01/10/2018	***-**-515P - MEYER, MICHAEL ACCOUNT #: 00066722060	THE INVESTMENT COMPANY OF AMERICA-A BUY 8 Units @41.3700	325.00	3.25	0.00	3.25	0.5	0.02
01/16/2018	***-**-97TR - GOERS, SHARON ACCOUNT #: 00075198612	THE INVESTMENT COMPANY OF AMERICA-A BUY 5 Units @44.3800	200.00	10.00	0.00	10.00	0.5	0.05
01/22/2018	***-**-960I - METCHIS, STACY ACCOUNT #: LSR012191	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	0.5	0.01
01/10/2018	***-**-70IN - PESCHE, SUSAN ACCOUNT #: 00061196180	THE NEW ECONOMY FUND-A BUY 2 Units @47.3200	75.00	1.50	0.00	1.50	0.5	0.01
01/03/2018	***-**-66UG - VU, JUSTINE N ACCOUNT #: 00099779193	TRANSAMERICA DIVERSIFIED EQUITY - A BUY 0 Units @0.0000	0.00	5.97	0.00	5.97	0.5	0.03
01/03/2018	***-**-25UG - VU, ALEXANDER N ACCOUNT #: 00099779194	TRANSAMERICA DIVERSIFIED EQUITY - A BUY 0 Units @0.0000	0.00	7.87	0.00	7.87	0.5	0.04



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: ALEX GEORGIEV (continued)</u> </b>								
01/03/2018	***-**-399I - WATES, TAMARA	TRANSAMERICA DIVERSIFIED EQUITY - A	0.00	3.40	0.00	3.40	0.5	0.02
	ACCOUNT #: 06500157294	BUY 0 Units @0.0000						
01/08/2018	***-**-5292 - REDDING, JEFFERY	TREASURY FUND - DAILY MONEY CLASS	0.00	11.82	0.00	11.82	0.5	0.06
	ACCOUNT #: 00065194722	BUY 0 Units @0.0000						
01/08/2018	***-**-4741 - STEINER, GARY	TREASURY FUND - DAILY MONEY CLASS	0.00	1.42	0.00	1.42	0.5	0.01
	ACCOUNT #: 00531302438	BUY 0 Units @0.0000						
01/01/2018	***-**-801I - CARAMADRE,	VAN KAMPEN1	0.00	21.50	0.00	21.50	0.5	0.11
	ACCOUNT #: 05011048062	BUY 0 Units @0.0000						
01/01/2018	***-**-37IN - BART, STEPHEN	VAN KAMPEN1	0.00	2.55	0.00	2.55	0.5	0.01
	ACCOUNT #: 08000601029	BUY 0 Units @0.0000						
01/01/2018	***-**-36IN - BART, MARK	VAN KAMPEN1	0.00	2.55	0.00	2.55	0.5	0.01
	ACCOUNT #: 08000601033	BUY 0 Units @0.0000						
01/16/2018	***-**-316I - MCCUNE, MICHAEL	VAN KAMPEN1	0.00	1.59	0.00	1.59	0.5	0.01
	ACCOUNT #: LSR009995	BUY 1 Units @0.0000						
01/01/2018	***-**-872I - NEWGAARD, DAVID	VAN KAMPEN3	0.00	2.54	0.00	2.54	0.5	0.01
	ACCOUNT #: 03870048117	BUY 0 Units @0.0000						
01/01/2018	***-**-72IR - NEWGAARD, DAVID	VAN KAMPEN3	0.00	4.20	0.00	4.20	0.5	0.02
	ACCOUNT #: 03870048125	BUY 0 Units @0.0000						
01/01/2018	***-**-37IR - NEWGAARD, JERI	VAN KAMPEN3	0.00	4.91	0.00	4.91	0.5	0.02
	ACCOUNT #: 03870048133	BUY 0 Units @0.0000						
01/01/2018	***-**-44IN - STAFF, JANE	VAN KAMPEN3	0.00	15.39	0.00	15.39	0.5	0.08
	ACCOUNT #: 00670725714	BUY 0 Units @0.0000						
01/22/2018	***-**-316I - MCCUNE, MICHAEL	VIRTUS SMALL CAP CORE CL A	0.00	1.35	0.00	1.35	0.5	0.01
	ACCOUNT #: LSR009995	BUY 1 Units @0.0000						
01/10/2018	***-**-70IN - PESCHE, SUSAN	WASHINGTON MUTUAL INVESTORS FUND-A	125.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00061196180	BUY 3 Units @47.8900						
01/22/2018	***-**-453X - BRUNING, ELDA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.90	0.00	2.90	0.5	0.01
	ACCOUNT #: LSR010008	BUY 1 Units @0.0000						
01/16/2018	***-**-817O - YB & J	WELLS FARGO UTILITY TELECOM CL A	0.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: LSR011351	BUY 1 Units @0.0000						
* ALEX GEORGIEV SUBTOTAL *			\$43,637.01	\$12,783.23	\$0.00	\$12,783.23		\$64.89





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: MICHAEL GAROFALO</u></b>								
01/16/2018	***-**-373X - MANGANELLO, TIRA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	17.73	0.00	17.73	0.5	0.09
	ACCOUNT #: LSR011551	BUY 1 Units @0.0000						
01/16/2018	***-**-373X - MANGANELLO, TIRA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	23.21	0.00	23.21	0.5	0.12
	ACCOUNT #: LSR011552	BUY 1 Units @0.0000						
01/16/2018	***-**-260X - ILGENFRITZ, CORY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	18.71	0.00	18.71	0.5	0.09
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
01/16/2018	***-**-181X - ILGENFRITZ,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	19.37	0.00	19.37	0.5	0.10
	ACCOUNT #: LSR011555	BUY 1 Units @0.0000						
01/16/2018	***-**-055X - ILGENFRITZ,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	23.81	0.00	23.81	0.5	0.12
	ACCOUNT #: LSR011558	BUY 1 Units @0.0000						
01/16/2018	***-**-352I - KUNKEL, RAY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	4.92	0.00	4.92	0.5	0.02
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
01/16/2018	***-**-244X - REED, GENEVIEVE	AIM HIGH YIELD FUND - CLASS A	0.00	1.69	0.00	1.69	0.5	0.01
	ACCOUNT #: LSR012032	BUY 1 Units @0.0000						
01/16/2018	***-**-228I - BUCHANAN,	AIM UTILITIES FUND - CLASS C	0.00	18.69	0.00	18.69	0.5	0.09
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
01/16/2018	***-**-630X - WEAVER, NICOLE	AIM UTILITIES FUND - CLASS C	0.00	71.49	0.00	71.49	0.5	0.36
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
01/16/2018	***-**-4000 - ROMIG, MARK	AIM UTILITIES FUND - CLASS C	0.00	13.67	0.00	13.67	0.5	0.07
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
01/22/2018	***-**-831I - REED, JACK	AMCAP FUND-A	0.00	11.56	0.00	11.56	0.5	0.06
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
01/22/2018	***-**-036I - KAKAREKA, PAUL	AMCAP FUND-C	0.00	18.93	0.00	18.93	0.5	0.09
	ACCOUNT #: LSR011085	BUY 1 Units @0.0000						
01/22/2018	***-**-054I - GAROFALO,	AMERICAN BALANCED FUND-A	0.00	4.82	0.00	4.82	0.5	0.02
	ACCOUNT #: LSF000299	BUY 1 Units @0.0000						
01/10/2018	***-**-5144 - WINTER, LAURA	AMERICAN BALANCED FUND-C	200.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 00084354308	BUY 7 Units @27.3100						
01/22/2018	***-**-036I - CROWL, LINDA	AMERICAN BALANCED FUND-C	0.00	75.06	0.00	75.06	0.5	0.38
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
01/22/2018	***-**-59IR - FREY, RYAN	AMERICAN BALANCED FUND-C	0.00	9.26	0.00	9.26	0.5	0.05
	ACCOUNT #: LSR011056	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: MICHAEL GAROFALO (continued)</u></b>								
01/22/2018	***-**-036I - KAKAREKA, PAUL	AMERICAN BALANCED FUND-C	0.00	35.49	0.00	35.49	0.5	0.18
	ACCOUNT #: LSR011085	BUY 1 Units @0.0000						
01/22/2018	***-**-97IR - ENEDY, EMILY	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	2.99	0.00	2.99	0.5	0.01
	ACCOUNT #: LSR010676	BUY 1 Units @0.0000						
01/22/2018	***-**-31IR - ENEDY, JOHN	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	2.99	0.00	2.99	0.5	0.01
	ACCOUNT #: LSR010677	BUY 1 Units @0.0000						
01/22/2018	***-**-697J - ENEDY, EMILY	AMERICAN FUNDS BALANCED PORT CL C	0.00	3.56	0.00	3.56	0.5	0.02
	ACCOUNT #: LSR010675	BUY 1 Units @0.0000						
01/22/2018	***-**-376X - WAGNER, LINDA	AMERICAN FUNDS BALANCED PORT CL C	0.00	33.71	0.00	33.71	0.5	0.17
	ACCOUNT #: LSR010737	BUY 1 Units @0.0000						
01/22/2018	***-**-14IR - MCKENNA, DONNA	AMERICAN FUNDS BALANCED PORT CL C	0.00	13.79	0.00	13.79	0.5	0.07
	ACCOUNT #: LSR011375	BUY 1 Units @0.0000						
01/22/2018	***-**-697J - ENEDY, EMILY	AMERICAN FUNDS GROWTH PORT CL C	0.00	3.46	0.00	3.46	0.5	0.02
	ACCOUNT #: LSR010675	BUY 1 Units @0.0000						
01/22/2018	***-**-285I - ACKERMAN, SEAN	AMERICAN FUNDS GROWTH PORT CL C	0.00	11.00	0.00	11.00	0.5	0.06
	ACCOUNT #: LSR010998	BUY 1 Units @0.0000						
01/22/2018	***-**-265I - NORNHOLD,	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	24.40	0.00	24.40	0.5	0.12
	ACCOUNT #: LSR010794	BUY 1 Units @0.0000						
01/22/2018	***-**-057I - PLAPPERT,	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	41.58	0.00	41.58	0.5	0.21
	ACCOUNT #: LSR010833	BUY 1 Units @0.0000						
01/22/2018	***-**-057I - PLAPPERT,	AMERICAN FUNDS INCOME PORT CL C	0.00	14.93	0.00	14.93	0.5	0.07
	ACCOUNT #: LSR010833	BUY 1 Units @0.0000						
01/22/2018	***-**-854O - FREY, RYAN	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	13.91	0.00	13.91	0.5	0.07
	ACCOUNT #: LSR011528	BUY 1 Units @0.0000						
01/22/2018	***-**-260O - ILGENFRITZ, TOD	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	44.13	0.00	44.13	0.5	0.22
	ACCOUNT #: LSR011549	BUY 1 Units @0.0000						
01/16/2018	***-**-055X - ILGENFRITZ,	CAPITAL APPRECIATION - CLASS C	0.00	8.09	0.00	8.09	0.5	0.04
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
01/16/2018	***-**-055X - ILGENFRITZ,	CAPITAL APPRECIATION - CLASS C	0.00	23.72	0.00	23.72	0.5	0.12
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
01/22/2018	***-**-949I - LICHT, FBO MS	CAPITAL INCOME BUILDER CLASS C	0.00	2.16	0.00	2.16	0.5	0.01
	ACCOUNT #: LSR010542	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: MICHAEL GAROFALO (continued) </u></b>								
01/22/2018	***-**-992I - SMITH, FBO MR	CAPITAL INCOME BUILDER-A	0.00	12.01	0.00	12.01	0.5	0.06
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
01/19/2018	***-**-54IN - SCHMIDT, JENNY	CAPITAL WORLD GROWTH AND INCOME FUN	150.00	1.50	0.00	1.50	0.5	0.01
	ACCOUNT #: 00082865142	BUY 3 Units @52.9700						
01/22/2018	***-**-184I - BEAVERSON, ROYCE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	9.65	0.00	9.65	0.5	0.05
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
01/22/2018	***-**-992I - SMITH, FBO MR	EATON VANCE SHT DUR STRATEGIC INCOM	0.00	4.12	0.00	4.12	0.5	0.02
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
01/16/2018	***-**-72IR - SHAW, SUSAN	EUROPACIFIC GROWTH FUND-A	50.00	1.38	0.00	1.38	0.5	0.01
	ACCOUNT #: 00082013491	BUY 1 Units @60.6700						
01/22/2018	***-**-4000 - ROMIG, MARK	EV ATLANTA SELECT EQUITY FUND CL C	0.00	14.52	0.00	14.52	0.5	0.07
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
01/10/2018	***-**-5144 - WINTER, LAURA	FUNDAMENTAL INVESTORS-C	200.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 00084354308	BUY 3 Units @63.5900						
01/22/2018	***-**-934I - BEAVERSON, MARY	HARTFORD BALANCED INCOME FD CL C	0.00	10.99	0.00	10.99	0.5	0.05
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
01/22/2018	***-**-184I - BEAVERSON, ROYCE	HARTFORD BALANCED INCOME FD CL C	0.00	14.34	0.00	14.34	0.5	0.07
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
01/22/2018	***-**-566I - DURKIN, FBO MRS	HARTFORD BALANCED INCOME FD CL C	0.00	57.35	0.00	57.35	0.5	0.29
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
01/22/2018	***-**-859X - FREY, RYAN	HARTFORD BALANCED INCOME FD CL C	0.00	70.58	0.00	70.58	0.5	0.35
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
01/22/2018	***-**-630X - WEAVER, NICOLE	HARTFORD BALANCED INCOME FD CL C	0.00	66.00	0.00	66.00	0.5	0.33
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
01/22/2018	***-**-260X - ILGENFRITZ, CORY	HARTFORD BALANCED INCOME FD CL C	0.00	23.70	0.00	23.70	0.5	0.12
	ACCOUNT #: LSR011554	BUY 1 Units @0.0000						
01/22/2018	***-**-181X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	23.51	0.00	23.51	0.5	0.12
	ACCOUNT #: LSR011556	BUY 1 Units @0.0000						
01/22/2018	***-**-055X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	18.45	0.00	18.45	0.5	0.09
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
01/22/2018	***-**-352I - KUNKEL, RAY	HARTFORD BALANCED INCOME FD CL C	0.00	5.24	0.00	5.24	0.5	0.03
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: MICHAEL GAROFALO (continued)</u></b>								
01/22/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	57.21	0.00	57.21	0.5	0.29
01/22/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	71.74	0.00	71.74	0.5	0.36
01/22/2018	***-**-2600 - ILGENFRITZ, TOD ACCOUNT #: LSR011549	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	21.17	0.00	21.17	0.5	0.11
01/22/2018	***-**-373X - MANGANELLO, TIRA ACCOUNT #: LSR011551	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	20.36	0.00	20.36	0.5	0.10
01/22/2018	***-**-352I - KUNKEL, RAY ACCOUNT #: LSR011585	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	5.50	0.00	5.50	0.5	0.03
01/19/2018	***-**-54IN - SCHMIDT, JENNY ACCOUNT #: 00082865142	INCOME FUND OF AMERICA CLASS C BUY 4 Units @23.6700	100.00	1.00	0.00	1.00	0.5	0.01
01/22/2018	***-**-949I - LICHT, FBO MS ACCOUNT #: LSR010542	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	2.93	0.00	2.93	0.5	0.01
01/22/2018	***-**-697J - ENEDY, EMILY ACCOUNT #: LSR010675	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	3.51	0.00	3.51	0.5	0.02
01/13/2018	***-**-597I - LEAR, DIANE ACCOUNT #: R080334-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	14.79	0.00	14.79	0.5	0.07
01/16/2018	***-**-228I - BUCHANAN, ACCOUNT #: LSR010538	INVESCO BALANCED - RISK ALLOCATION BUY 1 Units @0.0000	0.00	8.94	0.00	8.94	0.5	0.04
01/16/2018	***-**-276I - GASSWINT, SUSAN ACCOUNT #: LSR010633	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	20.39	0.00	20.39	0.5	0.10
01/16/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	IVY MANAGED INTERNAT IONAL OPPS CL BUY 1 Units @0.0000	0.00	161.53	0.00	161.53	0.5	0.81
01/22/2018	***-**-334I - MCKENNA, EDWARD ACCOUNT #: LSR011377	LEGG MASON VALUE TRUST CL C BUY 1 Units @0.0000	0.00	6.72	0.00	6.72	0.5	0.03
01/01/2018	***-**-58IN - GAROFALO, ACCOUNT #: 08188565430	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	2.78	0.00	2.78	0.5	0.01
01/22/2018	***-**-83IN - SCHWARTZ, EMILY ACCOUNT #: LSR010190	MASS INVESTORS GROWTH STOCK FUND-A BUY 1 Units @0.0000	0.00	6.31	0.00	6.31	0.5	0.03
01/22/2018	***-**-83IN - SCHWARTZ, EMILY ACCOUNT #: LSR010190	MASSACHUSETTS INVESTORS TRUST-A BUY 1 Units @0.0000	0.00	6.48	0.00	6.48	0.5	0.03



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: MICHAEL GAROFALO (continued) </u></b>								
01/22/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	MFS GROWTH PORT CLASS C BUY 1 Units @0.0000	0.00	39.75	0.00	39.75	0.5	0.20
01/22/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	MFS GROWTH PORT CLASS C BUY 1 Units @0.0000	0.00	56.01	0.00	56.01	0.5	0.28
01/22/2018	***-**-181X - ILGENFRITZ, ACCOUNT #: LSR011555	MFS GROWTH PORT CLASS C BUY 1 Units @0.0000	0.00	20.79	0.00	20.79	0.5	0.10
01/22/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	67.21	0.00	67.21	0.5	0.34
01/22/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	49.29	0.00	49.29	0.5	0.25
01/01/2018	***-**-58IN - GAROFALO, ACCOUNT #: 09896329355	MFS GOVERNMENT SECURITIES FUND-A BUY 0 Units @0.0000	0.00	17.07	0.00	17.07	0.5	0.09
01/22/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	MFS NEW DISCOVERY VALUE FUND CL C BUY 1 Units @0.0000	0.00	19.52	0.00	19.52	0.5	0.10
01/22/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	MFS NEW DISCOVERY VALUE FUND CL C BUY 1 Units @0.0000	0.00	39.04	0.00	39.04	0.5	0.20
01/22/2018	***-**-260X - ILGENFRITZ, CORY ACCOUNT #: LSR011553	MFS NEW DISCOVERY VALUE FUND CL C BUY 1 Units @0.0000	0.00	10.93	0.00	10.93	0.5	0.05
01/01/2018	***-**-58IN - GAROFALO, ACCOUNT #: 00060126092	MFS TOTAL RETURN FUND-A BUY 0 Units @0.0000	0.00	9.50	0.00	9.50	0.5	0.05
01/01/2018	***-**-58IN - GAROFALO, ACCOUNT #: 08188565426	MFS UTILITIES FUND-A BUY 0 Units @0.0000	0.00	4.82	0.00	4.82	0.5	0.02
01/01/2018	***-**-58IN - GAROFALO, ACCOUNT #: 08188565434	MFS VALUE FUND-A BUY 0 Units @0.0000	0.00	4.26	0.00	4.26	0.5	0.02
01/16/2018	***-**-0550 - ILGENFRITZ, TIM ACCOUNT #: LSR011557	NUVEEN REAL ASSET INCOME FUND C SHR BUY 1 Units @0.0000	0.00	20.33	0.00	20.33	0.5	0.10
01/22/2018	***-**-260X - ILGENFRITZ, CORY ACCOUNT #: LSR011553	PRINCIPAL SMALL-MID CAP DIV INCOME BUY 1 Units @0.0000	0.00	10.08	0.00	10.08	0.5	0.05
01/22/2018	***-**-055X - ILGENFRITZ, ACCOUNT #: LSR011559	PRINCIPAL SMALL-MID CAP DIV INCOME BUY 1 Units @0.0000	0.00	9.99	0.00	9.99	0.5	0.05
01/16/2018	***-**-334I - MCKENNA, EDWARD ACCOUNT #: LSR011377	ROYCE PENNSYLVANIA MUTUAL CONSULT BUY 1 Units @0.0000	0.00	7.81	0.00	7.81	0.5	0.04



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: MICHAEL GAROFALO (continued)</u></b>								
01/17/2018	***-**-09IR - WILSON, EDWARD	THE GROWTH FUND OF AMERICA-A	200.00	5.50	0.00	5.50	0.5	0.03
	ACCOUNT #: 00073333515	BUY 4 Units @54.2800						
01/22/2018	***-**-831I - REED, JACK	THE GROWTH FUND OF AMERICA-A	0.00	6.12	0.00	6.12	0.5	0.03
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
01/17/2018	***-**-09IR - WILSON, EDWARD	THE INCOME FUND OF AMERICA-A	200.00	5.50	0.00	5.50	0.5	0.03
	ACCOUNT #: 00073333515	BUY 8 Units @24.8300						
01/22/2018	***-**-83IN - SCHWARTZ, EMILY	THE INCOME FUND OF AMERICA-A	0.00	11.80	0.00	11.80	0.5	0.06
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
01/22/2018	***-**-934I - BEAVERSON, MARY	THE INCOME FUND OF AMERICA-A	0.00	4.52	0.00	4.52	0.5	0.02
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
01/22/2018	***-**-184I - BEAVERSON, ROYCE	THE INCOME FUND OF AMERICA-A	0.00	10.40	0.00	10.40	0.5	0.05
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
01/22/2018	***-**-831I - REED, JACK	THE INCOME FUND OF AMERICA-A	0.00	13.43	0.00	13.43	0.5	0.07
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
01/22/2018	***-**-992I - SMITH, FBO MR	THE INCOME FUND OF AMERICA-A	0.00	12.56	0.00	12.56	0.5	0.06
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
01/22/2018	***-**-653J - MYERS, AND	THE INCOME FUND OF AMERICA-A	0.00	1.84	0.00	1.84	0.5	0.01
	ACCOUNT #: LSR011521	BUY 1 Units @0.0000						
01/16/2018	***-**-32IR - SHAW, WILLIAM	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.38	0.00	1.38	0.5	0.01
	ACCOUNT #: 00082011931	BUY 1 Units @43.3500						
01/22/2018	***-**-054I - GAROFALO,	THE INVESTMENT COMPANY OF AMERICA-A	0.00	8.07	0.00	8.07	0.5	0.04
	ACCOUNT #: LSF000299	BUY 1 Units @0.0000						
01/22/2018	***-**-83IN - SCHWARTZ, EMILY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	10.06	0.00	10.06	0.5	0.05
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
01/22/2018	***-**-934I - BEAVERSON, MARY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	4.99	0.00	4.99	0.5	0.02
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
01/22/2018	***-**-653J - MYERS, AND	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.10	0.00	2.10	0.5	0.01
	ACCOUNT #: LSR011521	BUY 1 Units @0.0000						
01/22/2018	***-**-054I - GAROFALO,	THE NEW ECONOMY FUND-A	0.00	3.78	0.00	3.78	0.5	0.02
	ACCOUNT #: LSF000299	BUY 1 Units @0.0000						
01/16/2018	***-**-0550 - ILGENFRITZ, TIM	WELLS FARGO INDEX ASSET ALLOC C	0.00	21.16	0.00	21.16	0.5	0.11
	ACCOUNT #: LSR011557	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: MICHAEL GAROFALO (continued) </u></b>								
01/16/2018	***-**-352I - KUNKEL, RAY	WELLS FARGO SPECIAL MID CAP CL C	0.00	5.69	0.00	5.69	0.5	0.03
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
01/16/2018	***-**-831I - KAKAREKA,	WELLS FARGO SPECIAL SMALL CAP VALUE	0.00	1.12	0.00	1.12	0.5	0.01
	ACCOUNT #: LSR011084	BUY 1 Units @0.0000						
		<b>* MICHAEL GAROFALO SUBTOTAL *</b>	<b>\$1,150.00</b>	<b>\$1,877.95</b>	<b>\$0.00</b>	<b>\$1,877.95</b>		<b>\$9.41</b>
<b><u> BROKER: ARCHIBALD MCMICHAEL </u></b>								
01/22/2018	***-**-070I - BROWN, SUSAN	ARROW DWA TACTICAL FUND CLASS A	0.00	5.28	0.00	5.28	0.5	0.03
	ACCOUNT #: LSR011389	BUY 1 Units @0.0000						
01/16/2018	***-**-62IN - ZIMMERMAN,	COLUMBIA FLEXIBLE CAPITAL INCOME A	0.00	8.93	0.00	8.93	0.5	0.04
	ACCOUNT #: LSR010153	BUY 1 Units @0.0000						
01/22/2018	***-**-62IN - ZIMMERMAN,	EATON VANCE FLOATING RATE HI INC FD	0.00	1.66	0.00	1.66	0.5	0.01
	ACCOUNT #: LSR010153	BUY 1 Units @0.0000						
01/22/2018	***-**-994J - STYNER, ANNA &	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	5.85	0.00	5.85	0.5	0.03
	ACCOUNT #: LSR010132	BUY 1 Units @0.0000						
01/22/2018	***-**-62IN - ZIMMERMAN,	HOTCHKIS & WILEY CAPITAL INCOME CL	0.00	26.91	0.00	26.91	0.5	0.13
	ACCOUNT #: LSR010153	BUY 1 Units @0.0000						
01/16/2018	***-**-88IN - STYNER, THOMAS	PERSPECTIVE II DTCC	541.66	37.92	0.00	37.92	0.5	0.19
	ACCOUNT #: 1019684659	BUY 0 Units @0.0000						
12/19/2017	***-**-04IN - BAN, GEORGE	POLARIS PLATINUM III	148,385.30	10,386.97	0.00	10,386.97	0.5	51.93
		BUY 1 Units @143000.000						
01/16/2018	***-**-978J - OVRUTSKY, VICTOR	PRUDENTIAL JENNISON MLP FUND CLASS	0.00	2.99	0.00	2.99	0.5	0.01
	ACCOUNT #: LSR008869	BUY 1 Units @0.0000						
01/16/2018	***-**-674J - IVINS, WILLIAM	PRUDENTIAL JENNISON MLP FUND CLASS	0.00	3.18	0.00	3.18	0.5	0.02
	ACCOUNT #: LSR009563	BUY 1 Units @0.0000						
01/16/2018	***-**-74IN - MINA, JUDY	PRUDENTIAL JENNISON MLP FUND CLASS	0.00	2.16	0.00	2.16	0.5	0.01
	ACCOUNT #: LSR010088	BUY 1 Units @0.0000						
01/16/2018	***-**-62IN - ZIMMERMAN,	PRUDENTIAL JENNISON MLP FUND CLASS	0.00	8.48	0.00	8.48	0.5	0.04
	ACCOUNT #: LSR010153	BUY 1 Units @0.0000						
01/16/2018	***-**-74IN - MINA, CHARLES	PRUDENTIAL JENNISON MLP FUND CLASS	0.00	2.09	0.00	2.09	0.5	0.01
	ACCOUNT #: LSR010167	BUY 1 Units @0.0000						
01/16/2018	***-**-76IN - HOFFMAN, DAVID	PRUDENTIAL JENNISON MLP FUND CLASS	0.00	4.82	0.00	4.82	0.5	0.02
	ACCOUNT #: LSR010664	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ARCHIBALD MCMICHAEL (continued) </u></b>								
01/22/2018	***-**-051I - HIBBS, RONALD	THE GROWTH FUND OF AMERICA-A	0.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: LSR011505	BUY 1 Units @0.0000						
01/22/2018	***-**-59IN - FACHET,	THE INVESTMENT COMPANY OF AMERICA-A	0.00	7.45	0.00	7.45	0.5	0.04
	ACCOUNT #: LSR009202	BUY 1 Units @0.0000						
		<b>* ARCHIBALD MCMICHAEL SUBTOTAL *</b>	<b>\$148,926.96</b>	<b>\$10,505.75</b>	<b>\$0.00</b>	<b>\$10,505.75</b>		<b>\$52.52</b>
<b><u> BROKER: EDDIE WILLIAMS </u></b>								
01/22/2018	***-**-110I - JAMES, FBO	AMCAP FUND-A	0.00	1.34	0.00	1.34	0.5	0.01
	ACCOUNT #: LSR010332	BUY 1 Units @0.0000						
01/16/2018	***-**-02UT - POTTS, JASON	AMERICAN FUNDS GROWTH PORTFOLIO A	100.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 00087584548	BUY 5 Units @19.7300						
01/22/2018	***-**-110I - JAMES, FBO	AMERICAN MUTUAL FUND-A	0.00	1.31	0.00	1.31	0.5	0.01
	ACCOUNT #: LSR010332	BUY 1 Units @0.0000						
01/22/2018	***-**-110I - JAMES, FBO	EUROPACIFIC GROWTH FUND-A	0.00	1.27	0.00	1.27	0.5	0.01
	ACCOUNT #: LSR010332	BUY 1 Units @0.0000						
01/22/2018	***-**-110I - JAMES, FBO	FUNDAMENTAL INVESTORS-A	0.00	1.44	0.00	1.44	0.5	0.01
	ACCOUNT #: LSR010332	BUY 1 Units @0.0000						
01/14/2018	***-**-17IN - LEAK, ROBERT	ING VA TRAILS 380789800	0.00	197.78	0.00	197.78	0.5	0.99
	ACCOUNT #: I032773-0Y	BUY 0 Units @0.0000						
01/22/2018	***-**-110I - JAMES, FBO	INTERNATIONAL GROWTH AND INCOME-A	0.00	1.16	0.00	1.16	0.5	0.01
	ACCOUNT #: LSR010332	BUY 1 Units @0.0000						
01/01/2018	***-**-672I - VARNER, LINDA	MFS CORE EQUITY FUND-A	0.00	2.34	0.00	2.34	0.5	0.01
	ACCOUNT #: 00060555311	BUY 0 Units @0.0000						
01/09/2018	***-**-4925 - HARRISON,	THE GROWTH FUND OF AMERICA-529A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 04000330815	BUY 1 Units @53.8900						
01/09/2018	***-**-4925 - HARRISON,	THE GROWTH FUND OF AMERICA-529A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 04000330825	BUY 1 Units @53.8900						
01/09/2018	***-**-4925 - HARRISON,	THE GROWTH FUND OF AMERICA-529A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 04000330832	BUY 1 Units @53.8900						
01/18/2018	***-**-4925 - HARRISON,	THE GROWTH FUND OF AMERICA-529A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 04000330815	BUY 1 Units @55.0500						
01/18/2018	***-**-4925 - HARRISON,	THE GROWTH FUND OF AMERICA-529A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 04000330825	BUY 1 Units @55.0500						





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: EDDIE WILLIAMS (continued)</u></b>								
01/18/2018	***-**-4925 - HARRISON, ACCOUNT #: 04000330832	THE GROWTH FUND OF AMERICA-529A BUY 1 Units @55.0500	50.00	2.50	0.00	2.50	0.5	0.01
01/16/2018	***-**-97UT - JOHN POTTS UTMA ACCOUNT #: LSR011398	THE GROWTH FUND OF AMERICA-A BUY 2 Units @54.6700	99.99	4.25	0.00	4.25	0.5	0.02
01/16/2018	***-**-2011 - WILLIAMS, LARRY ACCOUNT #: 04000491691	THE GROWTH FUND OF AMERICA-A BUY 2 Units @55.1100	100.00	5.00	0.00	5.00	0.5	0.03
01/22/2018	***-**-110I - JAMES, FBO ACCOUNT #: LSR010332	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	0.5	0.01
01/22/2018	***-**-54UT - TYLER MING UTMA ACCOUNT #: LSR011911	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	5.26	0.00	5.26	0.5	0.03
01/16/2018	***-**-251I - POTTS, JOHN ACCOUNT #: 00084603939	THE INVESTMENT COMPANY OF AMERICA-A BUY 5 Units @44.3800	200.00	10.00	0.00	10.00	0.5	0.05
01/22/2018	***-**-510J - WILLIAMS, CLAUDE ACCOUNT #: LSR010225	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	5.01	0.00	5.01	0.5	0.03
01/22/2018	***-**-110I - JAMES, FBO ACCOUNT #: LSR010332	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	1.35	0.00	1.35	0.5	0.01
01/22/2018	***-**-110I - JAMES, FBO ACCOUNT #: LSR010332	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	1.42	0.00	1.42	0.5	0.01
01/22/2018	***-**-110I - JAMES, FBO ACCOUNT #: LSR010332	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	1.37	0.00	1.37	0.5	0.01
<b>* EDDIE WILLIAMS SUBTOTAL *</b>			<b>\$799.99</b>	<b>\$260.50</b>	<b>\$0.00</b>	<b>\$260.50</b>		<b>\$1.33</b>
<b><u>BROKER: DRAKE AGUILLARD</u></b>								
01/22/2018	***-**-28IR - AGUILLARD, ACCOUNT #: LSR010685	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	1.08	0.00	1.08	0.5	0.01
<b>* DRAKE AGUILLARD SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1.08</b>	<b>\$0.00</b>	<b>\$1.08</b>		<b>\$0.01</b>
<b><u>BROKER: SAMUEL GALATIOTO</u></b>								
01/16/2018	***-**-49TR - CUZICK TRUST, ACCOUNT #: LSR010064	AIM DEVELOPING MARKETS FUND CLASS A BUY 1 Units @0.0000	0.00	3.26	0.00	3.26	0.5	0.02
01/22/2018	***-**-49TR - CUZICK TRUST, ACCOUNT #: LSR010064	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	7.99	0.00	7.99	0.5	0.04



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: SAMUEL GALATIOTO (continued)</u></b>								
01/22/2018	***-**-49TR - CUZICK TRUST, ACCOUNT #: LSR010064	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	10.71	0.00	10.71	0.5	0.05
01/22/2018	***-**-49TR - CUZICK TRUST, ACCOUNT #: LSR010064	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	8.99	0.00	8.99	0.5	0.04
01/12/2018	***-**-30IO - STILL, GORDON ACCOUNT #: LSR010055	GOODYEAR TIRE & RUBBER CO UNSOLICIT SELL 352 Units @33.7550	11,881.76	93.86	0.00	93.86	0.5	0.47
01/22/2018	***-**-2207 - BARELA, DIANE ACCOUNT #: 091128562	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	179.69	0.00	179.69	0.5	0.90
01/22/2018	***-**-97IO - WAY, FBO DREW K ACCOUNT #: LSR010105	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	9.11	0.00	9.11	0.5	0.05
01/22/2018	***-**-97IN - WAY, DREW ACCOUNT #: LSR010106	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	1.88	0.00	1.88	0.5	0.01
01/22/2018	***-**-69IO - WAY, DEBORAH ACCOUNT #: LSR010169	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	2.64	0.00	2.64	0.5	0.01
01/22/2018	***-**-71IR - RALSTON, JESSE ACCOUNT #: LSR010206	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	4.12	0.00	4.12	0.5	0.02
01/22/2018	***-**-71IO - JACOBSON, DEBRA ACCOUNT #: LSR010305	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	64.47	0.00	64.47	0.5	0.32
01/22/2018	***-**-2207 - BARELA, DIANE ACCOUNT #: LSR011405	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	32.09	0.00	32.09	0.5	0.16
01/22/2018	***-**-31IR - PLATT, ROGER ACCOUNT #: LSR011466	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	3.65	0.00	3.65	0.5	0.02
01/22/2018	***-**-31IO - PLATT, ROGER ACCOUNT #: LSR011467	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	27.01	0.00	27.01	0.5	0.14
01/22/2018	***-**-97IO - WAY, FBO DREW K ACCOUNT #: LSR010105	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	3.04	0.00	3.04	0.5	0.02
01/22/2018	***-**-71IR - RALSTON, JESSE ACCOUNT #: LSR010206	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	1.37	0.00	1.37	0.5	0.01
01/22/2018	***-**-71IO - JACOBSON, DEBRA ACCOUNT #: LSR010305	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	21.49	0.00	21.49	0.5	0.11
01/22/2018	***-**-2207 - BARELA, DIANE ACCOUNT #: LSR011405	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	10.70	0.00	10.70	0.5	0.05



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: SAMUEL GALATIOTO (continued) </u></b>								
01/22/2018	***-**-31IR - PLATT, ROGER ACCOUNT #: LSR011466	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	1.22	0.00	1.22	0.5	0.01
01/22/2018	***-**-31IO - PLATT, ROGER ACCOUNT #: LSR011467	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	9.00	0.00	9.00	0.5	0.05
01/17/2018	***-**-660K - MILBURN, DON ACCOUNT #: LSR010051	GUGGENHEIM DEFINED PORTFOLIOS UNIT BUY 1321 Units @11.3510	14,994.67	275.87	0.00	275.87	0.5	1.38
01/17/2018	***-**-30IO - STILL, GORDON ACCOUNT #: LSR010055	GUGGENHEIM DEFINED PORTFOLIOS UNIT BUY 2642 Units @11.3510	29,989.34	575.73	0.00	575.73	0.5	2.88
01/17/2018	***-**-85IO - GIBBONS, LEORA ACCOUNT #: LSR010059	GUGGENHEIM DEFINED PORTFOLIOS UNIT BUY 1145 Units @11.3510	12,996.90	235.92	0.00	235.92	0.5	1.18
01/17/2018	***-**-2207 - BARELA, DIANE ACCOUNT #: LSR011405	GUGGENHEIM DEFINED PORTFOLIOS UNIT BUY 2554 Units @11.3510	28,990.45	555.76	0.00	555.76	0.5	2.78
01/16/2018	***-**-91IO - BROWN, FBO ACCOUNT #: LSR010370	IVY SCIENCE AND TECHNOLOGY FUND CLA BUY 1 Units @0.0000	0.00	3.14	0.00	3.14	0.5	0.02
01/17/2018	***-**-660K - MILBURN, DON ACCOUNT #: LSR010051	MERCK & CO INC NEW COM BUY 25 Units @62.1060	1,552.65	38.50	0.00	38.50	0.5	0.19
01/12/2018	***-**-30IO - STILL, GORDON ACCOUNT #: LSR010055	PEABODY ENERGY CORP NEW COM SELL 350 Units @40.6630	14,232.05	101.89	0.00	101.89	0.5	0.51
01/22/2018	***-**-49TR - CUZICK TRUST, ACCOUNT #: LSR010064	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	4.84	0.00	4.84	0.5	0.02
01/22/2018	***-**-49TR - CUZICK TRUST, ACCOUNT #: LSR010064	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	4.56	0.00	4.56	0.5	0.02
01/22/2018	***-**-49TR - CUZICK TRUST, ACCOUNT #: LSR010064	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	3.92	0.00	3.92	0.5	0.02
01/22/2018	***-**-91IO - BROWN, FBO ACCOUNT #: LSR010370	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	1.58	0.00	1.58	0.5	0.01
01/12/2018	***-**-30IO - STILL, GORDON ACCOUNT #: LSR010055	THE SCOTTS MIRACLE GRO COMPANY CL A SELL 51 Units @108.2200	5,519.22	37.98	0.00	37.98	0.5	0.19
01/12/2018	***-**-85IO - GIBBONS, LEORA ACCOUNT #: LSR010059	THE SCOTTS MIRACLE GRO COMPANY CL A SELL 150 Units @108.1640	16,224.60	63.50	0.00	63.50	0.5	0.32
<b> * SAMUEL GALATIOTO SUBTOTAL * </b>			<b>\$136,381.64</b>	<b>\$2,399.48</b>	<b>\$0.00</b>	<b>\$2,399.48</b>		<b>\$12.02</b>



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: LARRY SKARSET </u></b>								
01/16/2018	***-**-50TR - HULEGAARD TTEE, ACCOUNT #: LSR010112	AIM GLOBAL AGGRESSIVE GROWTH FUND C BUY 1 Units @0.0000	0.00	2.88	0.00	2.88	0.5	0.01
01/22/2018	***-**-98IN - SKARSET, LARRY ACCOUNT #: LSF000260	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	5.61	0.00	5.61	0.5	0.03
01/22/2018	***-**-98IN - SKARSET, LARRY ACCOUNT #: LSF000260	CAPITAL WORLD GROWTH AND INCOME-F1 BUY 1 Units @0.0000	0.00	2.57	0.00	2.57	0.5	0.01
01/22/2018	***-**-498I - SKARSET, LARRY ACCOUNT #: LSF000259	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	4.32	0.00	4.32	0.5	0.02
01/22/2018	***-**-17TR - KAY TTEE, ACCOUNT #: LSR010113	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	2.23	0.00	2.23	0.5	0.01
01/16/2018	***-**-50TR - HULEGAARD TTEE, ACCOUNT #: LSR010112	INVESCO STRUCTURED CORE FUND -CLASS BUY 1 Units @0.0000	0.00	2.07	0.00	2.07	0.5	0.01
01/22/2018	***-**-71IN - PEERY, JASON ACCOUNT #: LSR010111	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	5.83	0.00	5.83	0.5	0.03
01/22/2018	***-**-498I - SKARSET, LARRY ACCOUNT #: LSF000259	THE HARTFORD CAPITAL APPRECIATION F BUY 1 Units @0.0000	0.00	18.98	0.00	18.98	0.5	0.09
01/22/2018	***-**-498I - SKARSET, LARRY ACCOUNT #: LSF000259	THE HARTFORD MID CAP FUND-A BUY 1 Units @0.0000	0.00	9.24	0.00	9.24	0.5	0.05
01/22/2018	***-**-50TR - HULEGAARD TTEE, ACCOUNT #: LSR010112	THE HARTFORD MID CAP FUND-A BUY 1 Units @0.0000	0.00	3.72	0.00	3.72	0.5	0.02
<b> * LARRY SKARSET SUBTOTAL * </b>			<b>\$0.00</b>	<b>\$57.45</b>	<b>\$0.00</b>	<b>\$57.45</b>		<b>\$0.28</b>
<b><u> BROKER: ROBERT ADAMS </u></b>								
01/22/2018	***-**-71IN - ALGER, JOAN ACCOUNT #: LSR010796	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	23.49	0.00	23.49	0.5	0.12
01/22/2018	***-**-65IN - QUADE, KATHLEEN ACCOUNT #: LSR010806	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	5.08	0.00	5.08	0.5	0.03
01/02/2018	***-**-05CL - ADAMS, CLIENTS ACCOUNT #: 01460802653	FA 529 PORT 2019-CL A BUY 9 Units @21.5400	200.00	7.50	0.00	7.50	0.5	0.04
01/08/2018	***-**-76IN - KELLY, JOHN ACCOUNT #: 01460724956	FA 529 PORT 2019-CL A BUY 0 Units @0.0000	0.00	6.76	0.00	6.76	0.5	0.03
01/08/2018	***-**-05CL - ADAMS, CLIENTS ACCOUNT #: 01460802653	FA 529 PORT 2019-CL A BUY 0 Units @0.0000	0.00	6.76	0.00	6.76	0.5	0.03



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ROBERT ADAMS (continued) </u></b>								
01/08/2018	***-**-76IN - KELLY, JOHN ACCOUNT #: 01460724972	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	5.56	0.00	5.56	0.5	0.03
01/08/2018	***-**-05CL - ADAMS, CLIENTS ACCOUNT #: 01460802760	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	4.97	0.00	4.97	0.5	0.02
01/08/2018	***-**-76IN - KELLY, JOHN ACCOUNT #: 01455927788	FIDELITY ADV EQUITY GROWTH CL A BUY 0 Units @0.0000	0.00	1.21	0.00	1.21	0.5	0.01
01/08/2018	***-**-76IN - KELLY, JOHN ACCOUNT #: 01455927788	FIDELITY ADV GROWTH OPPORT CL A BUY 0 Units @0.0000	0.00	2.10	0.00	2.10	0.5	0.01
01/08/2018	***-**-76IN - KELLY, JOHN ACCOUNT #: 01455927788	FIDELITY ADV MF TRAILS BUY 0 Units @0.0000	0.00	2.17	0.00	2.17	0.5	0.01
01/08/2018	***-**-76IN - KELLY, JOHN ACCOUNT #: 01455927788	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	2.20	0.00	2.20	0.5	0.01
01/08/2018	***-**-76IN - KELLY, JOHN ACCOUNT #: 01455927788	FIDELITY ADV TECHNOLOGY CL A BUY 0 Units @0.0000	0.00	1.69	0.00	1.69	0.5	0.01
01/22/2018	***-**-65IN - QUADE, KATHLEEN ACCOUNT #: LSR010806	FIDELITY ADVISOR MULTI ASSET INC A BUY 1 Units @0.0000	0.00	6.03	0.00	6.03	0.5	0.03
01/02/2018	***-**-6437 - ADAMS REV TRUST, ACCOUNT #: T06425024	GENWORTH DTCC TRAILS BUY 0 Units @0.0000	0.00	20.38	0.00	20.38	0.5	0.10
01/08/2018	***-**-4209 - ADAMS ESTATE, ACCOUNT #: 00009200239	SUNAMERICA FOCUSED ALPHA LARGE CAP BUY 0 Units @0.0000	0.00	6.82	0.00	6.82	0.5	0.03
01/08/2018	***-**-4209 - ADAMS ESTATE, ACCOUNT #: 00009200239	SUNAMERICA FOCUSED DIVIDEND STRAT C BUY 0 Units @0.0000	0.00	6.03	0.00	6.03	0.5	0.03
01/08/2018	***-**-4209 - ADAMS ESTATE, ACCOUNT #: 00009200239	SUNAMERICA FOCUSED MULTI-ASSET STRA BUY 0 Units @0.0000	0.00	1.75	0.00	1.75	0.5	0.01
01/08/2018	***-**-4209 - ADAMS ESTATE, ACCOUNT #: 00009200239	SUNAMERICA FOCUSED MULTI-CAP VALUE BUY 0 Units @0.0000	0.00	1.83	0.00	1.83	0.5	0.01
01/08/2018	***-**-4209 - ADAMS ESTATE, ACCOUNT #: 00009200239	SUNAMERICA FUCUSED BALANCED CL A BUY 0 Units @0.0000	0.00	1.74	0.00	1.74	0.5	0.01
<b>* ROBERT ADAMS SUBTOTAL *</b>			<b>\$200.00</b>	<b>\$114.07</b>	<b>\$0.00</b>	<b>\$114.07</b>		<b>\$0.57</b>



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALPHONSO HOUSTON</u></b>								
01/22/2018	***-**-998I - HICKMAN, KAREN	CAPITAL INCOME BUILDER-A	0.00	3.31	0.00	3.31	0.5	0.02
	ACCOUNT #: LSR010276	BUY 1 Units @0.0000						
01/11/2018	***-**-4640 - GRANT P/ADM,	MASTERCARD INC CL A UNSOLICITED ORD	7,204.05	23.65	0.00	23.65	0.5	0.12
	ACCOUNT #: LSR010256	BUY 45 Units @160.0900						
01/11/2018	***-**-196I - GRANT, NURIA	PAYPAL HLDGS INC COM	3,344.88	14.16	0.00	14.16	0.5	0.07
	ACCOUNT #: LSR010258	BUY 42 Units @79.6400						
01/11/2018	***-**-4640 - GRANT P/ADM,	SQUARE INC CL A	3,885.70	13.18	0.00	13.18	0.5	0.07
	ACCOUNT #: LSR010256	BUY 91 Units @42.7000						
01/16/2018	***-**-8760 - LULAC NATL	STRATEGIC INCOME C	0.00	510.41	0.00	510.41	0.5	2.55
	ACCOUNT #: LSR010379	BUY 1 Units @0.0000						
01/22/2018	***-**-998I - HICKMAN, KAREN	THE GROWTH FUND OF AMERICA-A	0.00	9.55	0.00	9.55	0.5	0.05
	ACCOUNT #: LSR010276	BUY 1 Units @0.0000						
01/22/2018	***-**-493I - WELLINGTON,	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: LSR010266	BUY 1 Units @0.0000						
01/22/2018	***-**-998I - HICKMAN, KAREN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	8.11	0.00	8.11	0.5	0.04
	ACCOUNT #: LSR010276	BUY 1 Units @0.0000						
01/11/2018	***-**-4640 - GRANT P/ADM,	VISA INC COM CL A UNSOLICITED ORDER	2,987.48	14.50	0.00	14.50	0.5	0.07
	ACCOUNT #: LSR010256	BUY 25 Units @119.4990						
<b>* ALPHONSO HOUSTON SUBTOTAL *</b>			<b>\$17,422.11</b>	<b>\$599.00</b>	<b>\$0.00</b>	<b>\$599.00</b>		<b>\$3.00</b>
<b><u>BROKER: JOSEPH STURGES</u></b>								
01/08/2018	***-**-53IN - INMON, STEPHANIE	FIDELITY ADV ASSET MANAGER 20%-CL C	0.00	12.52	0.00	12.52	0.5	0.06
	ACCOUNT #: 01453267187	BUY 0 Units @0.0000						
01/22/2018	***-**-851I - CARROLL, ROBERT	FIDELITY ADV BALANCED CL A	0.00	3.15	0.00	3.15	0.5	0.02
	ACCOUNT #: LSR012127	BUY 1 Units @0.0000						
01/08/2018	***-**-53IN - INMON, STEPHANIE	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	1.22	0.00	1.22	0.5	0.01
	ACCOUNT #: 01453267187	BUY 0 Units @0.0000						
01/08/2018	***-**-79IN - INMON, DEAN	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	1.19	0.00	1.19	0.5	0.01
	ACCOUNT #: 01453643379	BUY 0 Units @0.0000						
01/08/2018	***-**-087C - STURGES, CLIENTS	FIDELITY ADV ENERGY-CL A	0.00	1.01	0.00	1.01	0.5	0.01
	ACCOUNT #: 00704335553	BUY 0 Units @0.0000						
01/08/2018	***-**-087C - STURGES, CLIENTS	FIDELITY ADV ENERGY-CL A	0.00	1.72	0.00	1.72	0.5	0.01
	ACCOUNT #: 01425732003	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: JOSEPH STURGES (continued) </u></b>								
01/22/2018	***-**-251K - DAWSON, TIM	FIDELITY ADV EQUITY GROWTH CL A	0.00	5.29	0.00	5.29	0.5	0.03
	ACCOUNT #: LSR011671	BUY 1 Units @0.0000						
01/08/2018	***-**-02IN - DISHION, WADE	FIDELITY ADV FINANCIAL SERVICES CL	0.00	2.07	0.00	2.07	0.5	0.01
	ACCOUNT #: 00523289056	BUY 0 Units @0.0000						
01/08/2018	***-**-087C - STURGES, CLIENTS	FIDELITY ADV FINANCIAL SERVICES CL	0.00	1.83	0.00	1.83	0.5	0.01
	ACCOUNT #: 01425732003	BUY 0 Units @0.0000						
01/08/2018	***-**-087C - STURGES, CLIENTS	FIDELITY ADV FREEDOM 2025-CL A	0.00	1.71	0.00	1.71	0.5	0.01
	ACCOUNT #: 01425732003	BUY 0 Units @0.0000						
01/08/2018	***-**-49IN - WALLACE, BONNIE	FIDELITY ADV FREEDOM 2025-CL A	0.00	1.33	0.00	1.33	0.5	0.01
	ACCOUNT #: 01447764307	BUY 0 Units @0.0000						
01/22/2018	***-**-746I - BACUS, KEN	FIDELITY ADV HEALTH CARE-CL A	0.00	6.62	0.00	6.62	0.5	0.03
	ACCOUNT #: LSR011791	BUY 1 Units @0.0000						
01/22/2018	***-**-251K - DAWSON, TIM	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	5.15	0.00	5.15	0.5	0.03
	ACCOUNT #: LSR011671	BUY 1 Units @0.0000						
01/08/2018	***-**-087C - STURGES, CLIENTS	FIDELITY ADV INFLATION PROTECTED BD	0.00	1.39	0.00	1.39	0.5	0.01
	ACCOUNT #: 01425732003	BUY 0 Units @0.0000						
01/08/2018	***-**-71IN - STEWART, JANET	FIDELITY ADV INFLATION PROTECTED BD	0.00	2.68	0.00	2.68	0.5	0.01
	ACCOUNT #: 01428888513	BUY 0 Units @0.0000						
01/22/2018	***-**-251K - DAWSON, TIM	FIDELITY ADV INTERNATIONAL GROWTH-C	0.00	3.12	0.00	3.12	0.5	0.02
	ACCOUNT #: LSR011671	BUY 1 Units @0.0000						
01/08/2018	***-**-49IN - WALLACE, BONNIE	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.19	0.00	1.19	0.5	0.01
	ACCOUNT #: 01447764307	BUY 0 Units @0.0000						
01/08/2018	***-**-53IN - INMON, STEPHANIE	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	8.19	0.00	8.19	0.5	0.04
	ACCOUNT #: 01453267187	BUY 0 Units @0.0000						
01/08/2018	***-**-087C - STURGES, CLIENTS	FIDELITY ADV MATERIALS-CL A	0.00	1.57	0.00	1.57	0.5	0.01
	ACCOUNT #: 01425732003	BUY 0 Units @0.0000						
01/22/2018	***-**-746I - BACUS, KEN	FIDELITY ADV MEGA CAP STOCK-CL A	0.00	5.67	0.00	5.67	0.5	0.03
	ACCOUNT #: LSR011791	BUY 1 Units @0.0000						
01/08/2018	***-**-02IN - DISHION, WADE	FIDELITY ADV MID CAP II-CL A	0.00	1.39	0.00	1.39	0.5	0.01
	ACCOUNT #: 00523289056	BUY 0 Units @0.0000						
01/08/2018	***-**-087C - STURGES, CLIENTS	FIDELITY ADV MID CAP II-CL A	0.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 00704335553	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: JOSEPH STURGES (continued)</u></b>								
01/08/2018	***-**-71IN - STEWART, JANET	FIDELITY ADV MID CAP II-CL A	0.00	3.04	0.00	3.04	0.5	0.02
	ACCOUNT #: 01428888513	BUY 0 Units @0.0000						
01/08/2018	***-**-49IN - WALLACE, BONNIE	FIDELITY ADV MID CAP II-CL A	0.00	1.94	0.00	1.94	0.5	0.01
	ACCOUNT #: 01447764307	BUY 0 Units @0.0000						
01/22/2018	***-**-851I - CARROLL, ROBERT	FIDELITY ADV MID CAP II-CL A	0.00	1.94	0.00	1.94	0.5	0.01
	ACCOUNT #: LSR012127	BUY 1 Units @0.0000						
01/08/2018	***-**-71IN - STEWART, JANET	FIDELITY ADV NEW INSIGHTS-CL A	0.00	2.58	0.00	2.58	0.5	0.01
	ACCOUNT #: 01428888513	BUY 0 Units @0.0000						
01/08/2018	***-**-087C - STURGES, CLIENTS	FIDELITY ADV SMALL CAP VALUE-CL A	0.00	1.61	0.00	1.61	0.5	0.01
	ACCOUNT #: 01425732003	BUY 0 Units @0.0000						
01/08/2018	***-**-087C - STURGES, CLIENTS	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	1.40	0.00	1.40	0.5	0.01
	ACCOUNT #: 00704335553	BUY 0 Units @0.0000						
01/08/2018	***-**-71IN - STEWART, JANET	FIDELITY ADV STRATEGIC INCOME CL A	0.00	1.08	0.00	1.08	0.5	0.01
	ACCOUNT #: 01428888513	BUY 0 Units @0.0000						
01/22/2018	***-**-746I - BACUS, KEN	FIDELITY ADV STRATEGIC INCOME CL A	0.00	2.07	0.00	2.07	0.5	0.01
	ACCOUNT #: LSR011791	BUY 1 Units @0.0000						
01/08/2018	***-**-087C - STURGES, CLIENTS	FIDELITY ADV VALUE STRATEGIES-CL A	0.00	1.66	0.00	1.66	0.5	0.01
	ACCOUNT #: 00704335553	BUY 0 Units @0.0000						
01/08/2018	***-**-49IN - WALLACE, BONNIE	FIDELITY ADV VALUE STRATEGIES-CL A	0.00	1.62	0.00	1.62	0.5	0.01
	ACCOUNT #: 01447764307	BUY 0 Units @0.0000						
01/08/2018	***-**-087C - STURGES, CLIENTS	FIDELITY ADVISOR ASSET MANAGER 70%	0.00	1.29	0.00	1.29	0.5	0.01
	ACCOUNT #: 00704335553	BUY 0 Units @0.0000						
01/08/2018	***-**-53IN - INMON, STEPHANIE	FIDELITY ADVISOR BIOTECHNOLOGY CL C	0.00	7.87	0.00	7.87	0.5	0.04
	ACCOUNT #: 01453267187	BUY 0 Units @0.0000						
01/22/2018	***-**-664I - GRAYBEAL, NORMAN	GRIFFIN INST ACCESS REALESTATE FD C	0.00	34.77	0.00	34.77	0.5	0.17
	ACCOUNT #: LSR010469	BUY 1 Units @0.0000						
01/22/2018	***-**-199I - PATTON, RICK	GRIFFIN INST ACCESS REALESTATE FD C	0.00	14.62	0.00	14.62	0.5	0.07
	ACCOUNT #: LSR011211	BUY 1 Units @0.0000						
01/22/2018	***-**-006K - SAWYER, ROBERT	GRIFFIN INST ACCESS REALESTATE FD C	0.00	8.59	0.00	8.59	0.5	0.04
	ACCOUNT #: LSR011233	BUY 1 Units @0.0000						
01/22/2018	***-**-707I - BUTTON, GARY	GRIFFIN INST ACCESS REALESTATE FD C	0.00	56.60	0.00	56.60	0.5	0.28
	ACCOUNT #: LSR011430	BUY 1 Units @0.0000						





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: JOSEPH STURGES (continued) </u></b>								
01/22/2018	***-**-015I - DAWSON, BOBBI	GRIFFIN INST ACCESS REALESTATE FD C	0.00	12.46	0.00	12.46	0.5	0.06
	ACCOUNT #: LSR011431	BUY 1 Units @0.0000						
01/22/2018	***-**-359I - BUTTON, MELYNDA	GRIFFIN INST ACCESS REALESTATE FD C	0.00	7.67	0.00	7.67	0.5	0.04
	ACCOUNT #: LSR011503	BUY 1 Units @0.0000						
01/22/2018	***-**-251K - DAWSON, TIM	GRIFFIN INST ACCESS REALESTATE FD C	0.00	4.07	0.00	4.07	0.5	0.02
	ACCOUNT #: LSR011671	BUY 1 Units @0.0000						
01/22/2018	***-**-113I - CALDERON, APRIL	GRIFFIN INST ACCESS REALESTATE FD C	0.00	1.57	0.00	1.57	0.5	0.01
	ACCOUNT #: LSR011787	BUY 1 Units @0.0000						
01/22/2018	***-**-851I - CARROLL, ROBERT	GRIFFIN INST ACCESS REALESTATE FD C	0.00	3.12	0.00	3.12	0.5	0.02
	ACCOUNT #: LSR012127	BUY 1 Units @0.0000						
<b>* JOSEPH STURGES SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$243.46</b>	<b>\$0.00</b>	<b>\$243.46</b>		<b>\$1.27</b>
<b><u> BROKER: JON HEDDLESON </u></b>								
01/16/2018	***-**-81IN - JACKSON,	AMERICAN BALANCED FUND-A	53.75	2.02	0.00	2.02	0.5	0.01
	ACCOUNT #: 00081681468	BUY 2 Units @29.0200						
01/16/2018	***-**-48IN - COOPER, BRIANNE	AMERICAN BALANCED FUND-A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00086664615	BUY 1 Units @29.4000						
01/16/2018	***-**-88IN - HAYES, JOSHUA	AMERICAN FUNDS 2050 TARGET DATE-A	150.00	7.50	0.00	7.50	0.5	0.04
	ACCOUNT #: 00086747463	BUY 9 Units @16.5900						
01/16/2018	***-**-99IN - ENDERLE, ANGELA	AMERICAN GLOBAL BALANCED CLASS A	100.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 00085935182	BUY 3 Units @34.9500						
01/16/2018	***-**-14CL - HEDDELSON,	AMERICAN GLOBAL BALANCED CLASS A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00085916338	BUY 1 Units @35.4200						
01/16/2018	***-**-05IN - LEIKALA, JOSH	AMERICAN GLOBAL BALANCED CLASS A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00085956564	BUY 1 Units @35.4200						
01/22/2018	***-**-795P - HARTLEY,	BLACKROCK FUNDS	20.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: 5290022275	BUY 0 Units @0.0000						
01/22/2018	***-**-88IN - HAYES, JOSHUA	BLACKROCK FUNDS	0.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: 50722446	BUY 0 Units @0.0000						
01/16/2018	***-**-43IN - SCHNEIDER,	CAPITAL INCOME BUILDER-529A	66.00	2.81	0.00	2.81	0.5	0.01
	ACCOUNT #: 00083718452	BUY 1 Units @67.2700						
01/12/2018	***-**-75IN - WELLS, CAROL	CAPITAL INCOME BUILDER-A	75.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 00953303928	BUY 1 Units @67.7500						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: JON HEDDLESON (continued) </u></b>								
01/16/2018	***-**-77IN - CULVER, VICTORIA	CAPITAL INCOME BUILDER-A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00085376656	BUY 1 Units @67.8300						
01/10/2018	***-**-45IN - INGERSOLL, LORNA	CAPITAL WORLD GROWTH AND INCOME FUN	34.62	1.47	0.00	1.47	0.5	0.01
	ACCOUNT #: 00075090099	BUY 1 Units @55.1500						
01/10/2018	***-**-45IN - INGERSOLL, LORNA	CAPITAL WORLD GROWTH AND INCOME FUN	57.69	2.45	0.00	2.45	0.5	0.01
	ACCOUNT #: 00075090099	BUY 1 Units @55.1500						
01/12/2018	***-**-75IN - WELLS, CAROL	CAPITAL WORLD GROWTH AND INCOME FUN	75.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 00977979325	BUY 1 Units @56.3600						
01/16/2018	***-**-81IN - JACKSON,	CAPITAL WORLD GROWTH AND INCOME FUN	53.75	2.02	0.00	2.02	0.5	0.01
	ACCOUNT #: 00081681468	BUY 1 Units @55.6000						
01/16/2018	***-**-77IN - CULVER, VICTORIA	CAPITAL WORLD GROWTH AND INCOME FUN	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00085376656	BUY 1 Units @56.3400						
01/16/2018	***-**-43IN - SCHNEIDER,	CAPITAL WORLD GROWTH AND INCOME-529	66.00	2.81	0.00	2.81	0.5	0.01
	ACCOUNT #: 00083718452	BUY 1 Units @55.7100						
01/16/2018	***-**-55IN - CZECH, MANDY	EUROPACIFIC GROWTH FUND-529A	75.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 00084938764	BUY 1 Units @61.4000						
01/10/2018	***-**-07IN - WARD, DANIEL	EUROPACIFIC GROWTH FUND-A	27.00	1.15	0.00	1.15	0.5	0.01
	ACCOUNT #: 00075090142	BUY 0 Units @60.8700						
01/10/2018	***-**-07IN - WARD, DANIEL	EUROPACIFIC GROWTH FUND-A	27.00	1.15	0.00	1.15	0.5	0.01
	ACCOUNT #: 00075090142	BUY 0 Units @60.8700						
01/16/2018	***-**-27IN - DIETERICH, DONNA	FUNDAMENTAL INVESTORS-529A	25.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: 00085649975	BUY 0 Units @68.1300						
01/16/2018	***-**-27IN - DIETERICH, DONNA	FUNDAMENTAL INVESTORS-529A	25.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: 00085650042	BUY 0 Units @68.1300						
01/16/2018	***-**-27IN - DIETERICH, DONNA	GLOBAL BALANCED FUND-529A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00085649975	BUY 1 Units @35.1100						
01/16/2018	***-**-27IN - DIETERICH, DONNA	GLOBAL BALANCED FUND-529A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00085650042	BUY 1 Units @35.1100						
01/22/2018	***-**-14CL - HEDDELSON,	JANUS MF TRAILS	0.00	9.26	0.00	9.26	0.5	0.05
		BUY 0 Units @0.0000						
01/16/2018	***-**-27IN - DIETERICH, DONNA	NEW PERSPECTIVE FUND-529A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00085649975	BUY 1 Units @46.9400						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: JON HEDDLESON (continued)</u> </b>								
01/16/2018	***-**-27IN - DIETERICH, DONNA	NEW PERSPECTIVE FUND-529A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00085650042	BUY 1 Units @46.9400						
01/16/2018	***-**-39IN - ENDERLE, CHAD	NEW PERSPECTIVE FUND-A	100.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 00085935118	BUY 2 Units @47.2500						
01/16/2018	***-**-43IN - SCHNEIDER,	NEW WORLD FUND-529A	68.00	2.89	0.00	2.89	0.5	0.01
	ACCOUNT #: 00083718452	BUY 1 Units @72.4700						
01/16/2018	***-**-14CL - HEDDELSO, N,	NEW WORLD FUND-A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00085916338	BUY 0 Units @73.7000						
01/16/2018	***-**-48IN - COOPER, BRIANNE	SMALLCAP WORLD FUND-A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00086664615	BUY 0 Units @61.0500						
01/10/2018	***-**-45IN - INGERSOLL, LORNA	THE GROWTH FUND OF AMERICA-A	34.61	1.47	0.00	1.47	0.5	0.01
	ACCOUNT #: 00075090099	BUY 1 Units @53.9200						
01/10/2018	***-**-45IN - INGERSOLL, LORNA	THE GROWTH FUND OF AMERICA-A	57.69	2.45	0.00	2.45	0.5	0.01
	ACCOUNT #: 00075090099	BUY 1 Units @53.9200						
01/16/2018	***-**-99IN - ENDERLE, ANGELA	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 00085935182	BUY 1 Units @43.8000						
01/16/2018	***-**-27IN - DIETERICH, DONNA	THE NEW ECONOMY FUND-529A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00085649975	BUY 1 Units @48.6700						
01/16/2018	***-**-27IN - DIETERICH, DONNA	THE NEW ECONOMY FUND-529A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00085650042	BUY 1 Units @48.6700						
01/16/2018	***-**-39IN - ENDERLE, CHAD	WASHINGTON MUTUAL INVESTORS FUND-A	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 00085935118	BUY 1 Units @49.5300						
01/16/2018	***-**-14CL - HEDDELSO, N,	WASHINGTON MUTUAL INVESTORS FUND-A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00085916338	BUY 0 Units @50.1900						
<b> * JON HEDDLESON SUBTOTAL * </b>			<b> \$1,841.11 </b>	<b> \$91.36 </b>	<b> \$0.00 </b>	<b> \$91.36 </b>		<b> \$0.50 </b>

 **BROKER: TIMOTHY STATES** 

01/01/2018	***-**-819I - BOYKIN,	AIM CASH RESERVE SHARES	0.00	5.24	0.00	5.24	0.5	0.03
	ACCOUNT #: 00777138169	BUY 0 Units @0.0000						
01/01/2018	***-**-819I - BOYKIN,	AIM CHARTER FUND - CLASS A	0.00	17.99	0.00	17.99	0.5	0.09
	ACCOUNT #: 00777138169	BUY 0 Units @0.0000						
01/01/2018	***-**-574I - DAVIS, MISCHA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	8.01	0.00	8.01	0.5	0.04
	ACCOUNT #: 00777135769	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: TIMOTHY STATES (continued)</u></b>								
01/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	22.62	0.00	22.62	0.5	0.11
01/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	18.48	0.00	18.48	0.5	0.09
01/16/2018	***-**-100I - BRITT, FBO RUTH ACCOUNT #: LSR010568	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	3.77	0.00	3.77	0.5	0.02
01/16/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	2.74	0.00	2.74	0.5	0.01
01/16/2018	***-**-44TR - LYNCH TTEE, ACCOUNT #: LSR010606	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	6.33	0.00	6.33	0.5	0.03
01/16/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	2.09	0.00	2.09	0.5	0.01
01/16/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	2.51	0.00	2.51	0.5	0.01
01/01/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: 00777135769	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	7.08	0.00	7.08	0.5	0.04
01/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	9.45	0.00	9.45	0.5	0.05
01/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	15.61	0.00	15.61	0.5	0.08
01/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	AIM MID-CAP EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	19.92	0.00	19.92	0.5	0.10
01/17/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	BANK OF AMERICA CORP UNSOLICITED OR BUY 400 Units @30.6990	12,279.60	112.00	0.00	112.00	0.5	0.56
01/17/2018	***-**-10IN - RANDALL, ACCOUNT #: LSR012385	BANK OF AMERICA CORP UNSOLICITED OR BUY 300 Units @30.6960	9,208.80	114.00	0.00	114.00	0.5	0.57
01/18/2018	***-**-1600 - BRANTLEY, JULIUS ACCOUNT #: LSR011043	BANK OF AMERICA CORP UNSOLICITED OR BUY 115 Units @31.3850	3,609.28	32.70	0.00	32.70	0.5	0.16
01/16/2018	***-**-727I - LYNCH, FBO MR ACCOUNT #: LSR010617	CISCO SYSTEMS INC BUY 350 Units @41.0450	14,365.75	132.00	0.00	132.00	0.5	0.66
01/17/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	CISCO SYSTEMS INC BUY 100 Units @41.0050	4,100.50	32.00	0.00	32.00	0.5	0.16



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: TIMOTHY STATES (continued)</u></b>								
01/17/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	CISCO SYSTEMS INC BUY 200 Units @41.0100	8,202.00	100.00	0.00	100.00	0.5	0.50
01/18/2018	***-**-66IR - STATES, FBO MR ACCOUNT #: LSF000280	CISCO SYSTEMS INC BUY 105 Units @41.1650	4,322.33	1.01	0.00	1.01	0.5	0.01
01/18/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	CISCO SYSTEMS INC BUY 140 Units @41.2450	5,774.30	117.00	0.00	117.00	0.5	0.59
01/18/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	CISCO SYSTEMS INC BUY 150 Units @41.2650	6,189.75	117.00	0.00	117.00	0.5	0.59
01/18/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	CISCO SYSTEMS INC BUY 150 Units @41.2560	6,188.40	117.00	0.00	117.00	0.5	0.59
01/18/2018	***-**-820I - HIGHSMITH, ACCOUNT #: LSR010653	CISCO SYSTEMS INC BUY 150 Units @41.2550	6,188.25	117.00	0.00	117.00	0.5	0.59
01/18/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	CISCO SYSTEMS INC BUY 130 Units @41.2950	5,368.35	117.00	0.00	117.00	0.5	0.59
01/18/2018	***-**-10IN - RANDALL, ACCOUNT #: LSR012385	CISCO SYSTEMS INC BUY 165 Units @41.1670	6,792.56	117.00	0.00	117.00	0.5	0.59
01/17/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	CONOCOPHILLIPS UNSOLICITED ORDER BUY 200 Units @59.5000	11,900.00	116.00	0.00	116.00	0.5	0.58
01/16/2018	***-**-437I - SIGUE, JOCELYN ACCOUNT #: LSR011597	DAVIS APPRECIATION & INCOME-CLASS A BUY 1 Units @0.0000	0.00	3.17	0.00	3.17	0.5	0.02
01/16/2018	***-**-437I - SIGUE, JOCELYN ACCOUNT #: LSR011597	DAVIS NEW YORK VENTURE CLASS A BUY 1 Units @0.0000	0.00	13.59	0.00	13.59	0.5	0.07
01/17/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	FACEBOOK INC COM USD0.000006 CL A BUY 100 Units @177.2800	17,728.00	133.00	0.00	133.00	0.5	0.67
01/22/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	0.5	0.01
01/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	INVESCO SMALL CAP EQUITY FUND-CLASS BUY 0 Units @0.0000	0.00	10.62	0.00	10.62	0.5	0.05
01/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	INVESCO VAN KAMPEN COMSTOCK CLASS A BUY 0 Units @0.0000	0.00	50.78	0.00	50.78	0.5	0.25
01/01/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: 00777135769	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	15.27	0.00	15.27	0.5	0.08



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: TIMOTHY STATES (continued) </u></b>								
01/01/2018	***-**-067X - HIGHSMITH JR, ACCOUNT #: 00777137973	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	10.61	0.00	10.61	0.5	0.05
01/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	19.69	0.00	19.69	0.5	0.10
01/16/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	IVK GROWTH AND INCOME FUND - CLASS BUY 1 Units @0.0000	0.00	2.59	0.00	2.59	0.5	0.01
01/16/2018	***-**-58IN - LUTZ, SAMUEL ACCOUNT #: LSR010594	IVK GROWTH AND INCOME FUND - CLASS BUY 1 Units @0.0000	0.00	2.59	0.00	2.59	0.5	0.01
01/16/2018	***-**-44TR - LYNCH TTEE, ACCOUNT #: LSR010606	IVK GROWTH AND INCOME FUND - CLASS BUY 1 Units @0.0000	0.00	6.85	0.00	6.85	0.5	0.03
01/16/2018	***-**-820I - HIGHSMITH, ACCOUNT #: LSR010653	IVK GROWTH AND INCOME FUND - CLASS BUY 1 Units @0.0000	0.00	1.84	0.00	1.84	0.5	0.01
01/16/2018	***-**-727O - LYNCH P/ADM, ACCOUNT #: LSR010614	KEYCORP NEW COM BUY 300 Units @21.6900	6,507.00	114.00	0.00	114.00	0.5	0.57
01/17/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	MCKESSON CORP COM BUY 100 Units @172.7590	17,275.90	133.00	0.00	133.00	0.5	0.67
01/17/2018	***-**-10IN - RANDALL, ACCOUNT #: LSR012385	MICROSOFT CORP UNSOLICITED ORDER EX BUY 150 Units @89.4350	13,415.25	117.00	0.00	117.00	0.5	0.59
01/18/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	MICROSOFT CORP UNSOLICITED ORDER EX BUY 200 Units @90.4150	18,083.00	132.00	0.00	132.00	0.5	0.66
01/16/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR011801	NEKTAR THERAPEUTICS BUY 200 Units @73.2750	14,655.00	141.00	0.00	141.00	0.5	0.71
01/22/2018	***-**-437I - SIGUE, JOCELYN ACCOUNT #: LSR011597	NEW WORLD FUND-A BUY 1 Units @0.0000	0.00	1.92	0.00	1.92	0.5	0.01
01/18/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	UNDER ARMOUR INC COM STK USD0.00033 SELL 500 Units @13.6080	6,804.00	110.00	0.00	110.00	0.5	0.55
01/18/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	UNDER ARMOUR INC COM STK USD0.00033 SELL 350 Units @13.6440	4,775.40	78.00	0.00	78.00	0.5	0.39
01/18/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	UNDER ARMOUR INC COM STK USD0.00033 SELL 400 Units @13.6870	5,474.80	77.00	0.00	77.00	0.5	0.39
01/18/2018	***-**-820I - HIGHSMITH, ACCOUNT #: LSR010653	UNDER ARMOUR INC COM STK USD0.00033 SELL 400 Units @13.6050	5,442.00	77.00	0.00	77.00	0.5	0.39



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: TIMOTHY STATES (continued)</u></b>								
01/18/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	UNDER ARMOUR INC COM STK USD0.00033 SELL 400 Units @13.6130	5,445.20	77.00	0.00	77.00	0.5	0.39
01/18/2018	***-**-1600 - BRANTLEY, JULIUS ACCOUNT #: LSR011043	UNDER ARMOUR INC COM STK USD0.00033 SELL 270 Units @13.6200	3,677.40	29.60	0.00	29.60	0.5	0.15
01/22/2018	***-**-44TR - LYNCH TTEE, ACCOUNT #: LSR010606	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	6.76	0.00	6.76	0.5	0.03
01/22/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	1.44	0.00	1.44	0.5	0.01
<b>* TIMOTHY STATES SUBTOTAL *</b>			<b>\$223,772.82</b>	<b>\$2,851.32</b>	<b>\$0.00</b>	<b>\$2,851.32</b>		<b>\$14.32</b>
<b><u>BROKER: JUAN LEWIS</u></b>								
01/22/2018	***-**-109I - CHASE, SHAWNA ACCOUNT #: LSR010851	CLEARBRIDGE AGGRESSIVE GROWTH A BUY 1 Units @0.0000	0.00	2.08	0.00	2.08	0.5	0.01
01/22/2018	***-**-109I - CHASE, SHAWNA ACCOUNT #: LSR010851	CLEARBRIDGE ALL CAP VALUE A BUY 1 Units @0.0000	0.00	2.42	0.00	2.42	0.5	0.01
01/22/2018	***-**-109I - CHASE, SHAWNA ACCOUNT #: LSR010851	CLEARBRIDGE GLBL GRW TRUST CL A BUY 1 Units @0.0000	0.00	1.56	0.00	1.56	0.5	0.01
<b>* JUAN LEWIS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$6.06</b>	<b>\$0.00</b>	<b>\$6.06</b>		<b>\$0.03</b>
<b><u>BROKER: WILMA SHERIDAN</u></b>								
01/22/2018	***-**-627I - MCKENZIE-HARRIS, ACCOUNT #: LSR010965	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	3.13	0.00	3.13	0.5	0.02
01/22/2018	***-**-427I - BELLO, JUANITA ACCOUNT #: LSR010986	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	2.68	0.00	2.68	0.5	0.01
01/22/2018	***-**-985I - WALKER, BEAULAH ACCOUNT #: LSR010976	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	13.12	0.00	13.12	0.5	0.07
01/22/2018	***-**-650I - PERKINS, MARY ACCOUNT #: LSR010991	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	79.56	0.00	79.56	0.5	0.40
01/22/2018	***-**-627I - MCKENZIE-HARRIS, ACCOUNT #: LSR010965	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	5.13	0.00	5.13	0.5	0.03
01/22/2018	***-**-887I - LAWSON, BONNIE ACCOUNT #: LSR010971	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	2.31	0.00	2.31	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: WILMA SHERIDAN (continued)</u> </b>								
01/22/2018	***-**-673I - BELLO, STANLEY ACCOUNT #: LSR010984	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	6.18	0.00	6.18	0.5	0.03
01/22/2018	***-**-726I - ROBINSON, ACCOUNT #: LSR011066	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	4.07	0.00	4.07	0.5	0.02
01/22/2018	***-**-985I - WALKER, BEAULAH ACCOUNT #: LSR010976	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	12.81	0.00	12.81	0.5	0.06
01/22/2018	***-**-269I - EDWARDS, FBO ACCOUNT #: LSR010932	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	1.07	0.00	1.07	0.5	0.01
01/22/2018	***-**-673I - BELLO, STANLEY ACCOUNT #: LSR010984	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	5.42	0.00	5.42	0.5	0.03
01/22/2018	***-**-726I - ROBINSON, ACCOUNT #: LSR011066	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.60	0.00	2.60	0.5	0.01
01/22/2018	***-**-673I - BELLO, STANLEY ACCOUNT #: LSR010984	CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	3.47	0.00	3.47	0.5	0.02
01/22/2018	***-**-627I - MCKENZIE-HARRIS, ACCOUNT #: LSR010965	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.34	0.00	1.34	0.5	0.01
01/22/2018	***-**-627I - MCKENZIE-HARRIS, ACCOUNT #: LSR010965	INTERNATIONAL GROWTH AND INCOME-A BUY 1 Units @0.0000	0.00	2.17	0.00	2.17	0.5	0.01
01/22/2018	***-**-726I - ROBINSON, ACCOUNT #: LSR011066	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.84	0.00	2.84	0.5	0.01
01/22/2018	***-**-269I - EDWARDS, FBO ACCOUNT #: LSR010932	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.26	0.00	1.26	0.5	0.01
01/22/2018	***-**-427I - BELLO, JUANITA ACCOUNT #: LSR010986	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	47.61	0.00	47.61	0.5	0.24
01/22/2018	***-**-726I - ROBINSON, ACCOUNT #: LSR011066	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.53	0.00	2.53	0.5	0.01
<b> * WILMA SHERIDAN SUBTOTAL * </b>			<b>\$0.00</b>	<b>\$199.30</b>	<b>\$0.00</b>	<b>\$199.30</b>		<b>\$1.01</b>

 **BROKER: DAVID GRIFFITH** 

01/08/2018	***-**-817I - WADSWORTH, ACCOUNT #: 04715332428	ALLIANCE BERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000	0.00	1.95	0.00	1.95	0.5	0.01
01/08/2018	***-**-817I - WADSWORTH, ACCOUNT #: 04715332428	ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000	0.00	6.63	0.00	6.63	0.5	0.03





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: DAVID GRIFFITH (continued)</u></b>								
01/22/2018	***-**-91IN - RICHARDSON, ACCOUNT #: LSR010900	AMCAP FUND-C BUY 1 Units @0.0000	0.00	2.42	0.00	2.42	0.5	0.01
01/22/2018	***-**-656I - SMOLA, STANLEY ACCOUNT #: LSR010868	AMERICAN FUNDS MORTGAGE CL F1 BUY 1 Units @0.0000	0.00	1.74	0.00	1.74	0.5	0.01
01/22/2018	***-**-58IN - SCHRECK, RYAN ACCOUNT #: LSR010873	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	5.42	0.00	5.42	0.5	0.03
01/22/2018	***-**-60IN - SCHRADER, KEVIN ACCOUNT #: LSR010897	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	10.11	0.00	10.11	0.5	0.05
01/22/2018	***-**-91IN - RICHARDSON, ACCOUNT #: LSR010900	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	5.39	0.00	5.39	0.5	0.03
01/22/2018	***-**-753I - SABANOSH, ALAN ACCOUNT #: LSR010909	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	21.80	0.00	21.80	0.5	0.11
01/22/2018	***-**-53TR - SABANOSH, ALAN R ACCOUNT #: LSR010917	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	10.47	0.00	10.47	0.5	0.05
01/22/2018	***-**-467J - PICKARD, BRIAN ACCOUNT #: LSR010943	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	11.41	0.00	11.41	0.5	0.06
01/22/2018	***-**-88IN - PICKARD, ELAINE ACCOUNT #: LSR010957	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	9.48	0.00	9.48	0.5	0.05
01/22/2018	***-**-80TR - SCOTELLARO TTEE, ACCOUNT #: LSR010974	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	25.45	0.00	25.45	0.5	0.13
01/22/2018	***-**-69IN - HAUCK, JUDITH ACCOUNT #: LSR010980	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	9.59	0.00	9.59	0.5	0.05
01/22/2018	***-**-973I - CHAMBERS, ROGER ACCOUNT #: LSR011018	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	9.54	0.00	9.54	0.5	0.05
01/22/2018	***-**-22IN - GRACIE, JULIA ACCOUNT #: LSR011019	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	8.37	0.00	8.37	0.5	0.04
01/22/2018	***-**-143J - HARDY, BRIAN ACCOUNT #: LSR011110	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	2.92	0.00	2.92	0.5	0.01
01/22/2018	***-**-29IO - LORUSSO, VINCENT ACCOUNT #: LSR011681	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	5.47	0.00	5.47	0.5	0.03
01/08/2018	***-**-817I - WADSWORTH, ACCOUNT #: 00067441742	AMERICAN GLOBAL BALANCED CL C BUY 3 Units @32.9300	110.00	1.10	0.00	1.10	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DAVID GRIFFITH (continued) </u></b>								
01/16/2018	***-**-801I - HAGAN III, ACCOUNT #: 00088485981	AMERICAN GLOBAL BALANCED CL C BUY 5 Units @33.2900	160.00	1.60	0.00	1.60	0.5	0.01
01/22/2018	***-**-753I - SABANOSH, ALAN ACCOUNT #: LSR010909	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	49.24	0.00	49.24	0.5	0.25
01/22/2018	***-**-88IN - PICKARD, ELAINE ACCOUNT #: LSR010957	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	19.08	0.00	19.08	0.5	0.10
01/22/2018	***-**-973I - CHAMBERS, ROGER ACCOUNT #: LSR011018	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	14.40	0.00	14.40	0.5	0.07
01/22/2018	***-**-73IN - SCOTELLARO IV, ACCOUNT #: LSR011086	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	6.73	0.00	6.73	0.5	0.03
01/22/2018	***-**-05IN - HULL, JEFFERY ACCOUNT #: LSR011187	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	15.12	0.00	15.12	0.5	0.08
01/22/2018	***-**-21IN - DANIELS, JOHN ACCOUNT #: LSR010967	AMERICAN GLOBAL BALANCED CLASS A BUY 1 Units @0.0000	0.00	5.42	0.00	5.42	0.5	0.03
01/22/2018	***-**-355I - CRIMMINS, KAREN ACCOUNT #: LSR011077	AMERICAN GLOBAL BALANCED CLASS A BUY 1 Units @0.0000	0.00	3.30	0.00	3.30	0.5	0.02
01/22/2018	***-**-08TR - SCOTELLARO III, ACCOUNT #: LSR010975	AMERICAN HIGH-INCOME TRUST-C BUY 1 Units @0.0000	0.00	27.32	0.00	27.32	0.5	0.14
01/22/2018	***-**-21IN - DANIELS, JOHN ACCOUNT #: LSR010967	AMERICAN MORTGAGE FUND CL A BUY 1 Units @0.0000	0.00	4.25	0.00	4.25	0.5	0.02
01/22/2018	***-**-698J - FEISTHAMEL, MR ACCOUNT #: LSR011137	AMERICAN MORTGAGE FUND CL A BUY 1 Units @0.0000	0.00	2.21	0.00	2.21	0.5	0.01
01/22/2018	***-**-656I - SMOLA, STANLEY ACCOUNT #: LSR010868	CAPITAL INCOME BUILDER-F1 BUY 1 Units @0.0000	0.00	2.61	0.00	2.61	0.5	0.01
01/22/2018	***-**-753I - SABANOSH, ALAN ACCOUNT #: LSR010909	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.32	0.00	1.32	0.5	0.01
01/22/2018	***-**-25IR - PERRITANO, ACCOUNT #: LSR010923	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.23	0.00	1.23	0.5	0.01
01/22/2018	***-**-24TR - SCOTELLARO, L ACCOUNT #: LSR010973	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	3.97	0.00	3.97	0.5	0.02
01/22/2018	***-**-474J - HAGAN JR, ACCOUNT #: LSR010993	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.47	0.00	1.47	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: DAVID GRIFFITH (continued)</u></b>								
01/22/2018	***-**-22IN - GRACIE, JULIA ACCOUNT #: LSR011019	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	5.79	0.00	5.79	0.5	0.03
01/22/2018	***-**-698J - FEISTHAMEL, MR ACCOUNT #: LSR011137	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	2.49	0.00	2.49	0.5	0.01
01/22/2018	***-**-80TR - SCOTELLARO TTEE, ACCOUNT #: LSR010974	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	11.93	0.00	11.93	0.5	0.06
01/22/2018	***-**-973I - CHAMBERS, ROGER ACCOUNT #: LSR011018	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	10.66	0.00	10.66	0.5	0.05
01/22/2018	***-**-656I - SMOLA, STANLEY ACCOUNT #: LSR010868	CAPITAL WORLD GROWTH AND INCOME-F1 BUY 1 Units @0.0000	0.00	2.96	0.00	2.96	0.5	0.01
01/18/2018	***-**-467J - PICKARD, BRIAN ACCOUNT #: LSR010943	CREDIT SUISSE GROUP SPON ADR-REP 1 SELL 200 Units @18.9220	3,784.40	48.49	0.00	48.49	0.5	0.24
01/16/2018	***-**-423I - SAVICKI, RICHARD ACCOUNT #: LSR010889	DAVIS FINANCIAL CLASS C BUY 1 Units @0.0000	0.00	31.83	0.00	31.83	0.5	0.16
01/16/2018	***-**-423I - SAVICKI, RICHARD ACCOUNT #: LSR010889	DAVIS OPPORTUNITY CLASS C BUY 1 Units @0.0000	0.00	48.56	0.00	48.56	0.5	0.24
01/16/2018	***-**-423I - SAVICKI, RICHARD ACCOUNT #: LSR010889	DAVIS REAL ESTATE CLASS A BUY 1 Units @0.0000	0.00	4.62	0.00	4.62	0.5	0.02
01/22/2018	***-**-423I - SAVICKI, RICHARD ACCOUNT #: LSR010889	EATON VANCE LARGE-CAP VALUE CL C BUY 1 Units @0.0000	0.00	21.81	0.00	21.81	0.5	0.11
01/22/2018	***-**-656I - SMOLA, STANLEY ACCOUNT #: LSR010868	EUROPACIFIC GROWTH FUND CLASS F BUY 1 Units @0.0000	0.00	1.53	0.00	1.53	0.5	0.01
01/16/2018	***-**-5485 - GAETANO, ACCOUNT #: 00082975488	EUROPACIFIC GROWTH FUND-A BUY 1 Units @61.6300	33.00	1.40	0.00	1.40	0.5	0.01
01/22/2018	***-**-80IN - GRIFFITH, DAVID ACCOUNT #: LSF000286	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	5.81	0.00	5.81	0.5	0.03
01/22/2018	***-**-25IR - PERRITANO, ACCOUNT #: LSR010923	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	1.37	0.00	1.37	0.5	0.01
01/22/2018	***-**-21IN - DANIELS, JOHN ACCOUNT #: LSR010967	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	1.09	0.00	1.09	0.5	0.01
01/22/2018	***-**-661I - GORCZYNSKI, ACCOUNT #: LSR011221	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	3.14	0.00	3.14	0.5	0.02



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DAVID GRIFFITH (continued) </u></b>								
01/22/2018	***-**-656I - SMOLA, STANLEY ACCOUNT #: LSR010868	FUNDAMENTAL INVESTORS FUND CLASS F BUY 1 Units @0.0000	0.00	1.14	0.00	1.14	0.5	0.01
01/22/2018	***-**-54IN - THIBAUT, MS ACCOUNT #: LSR011080	FUNDAMENTAL INVESTORS FUND CLASS F BUY 1 Units @0.0000	0.00	1.83	0.00	1.83	0.5	0.01
01/22/2018	***-**-21IN - DANIELS, JOHN ACCOUNT #: LSR010967	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.97	0.00	1.97	0.5	0.01
01/22/2018	***-**-22IN - GRACIE, JULIA ACCOUNT #: LSR011019	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.64	0.00	1.64	0.5	0.01
01/22/2018	***-**-369J - DAVIS, BRUCE ACCOUNT #: LSR011027	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.03	0.00	1.03	0.5	0.01
01/22/2018	***-**-369I - DAVIS, BRUCE ACCOUNT #: LSR011029	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.79	0.00	1.79	0.5	0.01
01/22/2018	***-**-698J - FEISTHAMEL, MR ACCOUNT #: LSR011137	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.43	0.00	1.43	0.5	0.01
01/08/2018	***-**-788I - ALBARRAN, CARLOS ACCOUNT #: 00085186008	FUNDAMENTAL INVESTORS-C BUY 2 Units @63.6200	100.00	1.00	0.00	1.00	0.5	0.01
01/22/2018	***-**-467J - PICKARD, BRIAN ACCOUNT #: LSR010943	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	4.88	0.00	4.88	0.5	0.02
01/22/2018	***-**-621I - DANIELS SR, JOHN ACCOUNT #: LSR010950	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	17.72	0.00	17.72	0.5	0.09
01/22/2018	***-**-73IR - CHAMBERS, ROGER ACCOUNT #: LSR011020	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	2.26	0.00	2.26	0.5	0.01
01/22/2018	***-**-698J - BAILEY, MR ACCOUNT #: 091124603	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	31.15	0.00	31.15	0.5	0.16
01/22/2018	***-**-41TR - DAMSKY, BARRY ACCOUNT #: 091128030	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	11.98	0.00	11.98	0.5	0.06
01/22/2018	***-**-41TR - DAMSKY, BARRY ACCOUNT #: 091128030	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	26.35	0.00	26.35	0.5	0.13
01/22/2018	***-**-901O - DRUMM, LOIS ACCOUNT #: 091135944	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	35.94	0.00	35.94	0.5	0.18
01/22/2018	***-**-522J - RIEBEN, HENRY ACCOUNT #: 091135947	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	35.94	0.00	35.94	0.5	0.18



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DAVID GRIFFITH (continued) </u></b>								
01/22/2018	***-**-425I - PERRITANO, ACCOUNT #: 091137511	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	28.75	0.00	28.75	0.5	0.14
01/02/2018	***-**-76IN - BOSHART-LOWE,	GWG L BOND - 2YR BUY 20 Units @1000.0000	20,000.00	800.00	0.00	800.00	0.5	4.00
01/02/2018	***-**-76IN - BOSHART-LOWE,	GWG L BOND - 3YR BUY 10 Units @1000.0000	10,000.00	500.00	0.00	500.00	0.5	2.50
01/02/2018	***-**-76IN - BOSHART-LOWE,	GWG L BOND - 5 YR BUY 10 Units @1000.0000	10,000.00	590.00	0.00	590.00	0.5	2.95
01/02/2018	***-**-76IN - BOSHART-LOWE,	GWG PREFERRED STOCK BUY 15 Units @1000.0000	15,000.00	990.00	0.00	990.00	0.5	4.95
01/22/2018	***-**-24TR - SCOTELLARO, L ACCOUNT #: LSR010973	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	1.15	0.00	1.15	0.5	0.01
01/22/2018	***-**-369I - DAVIS, BRUCE ACCOUNT #: LSR011029	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	2.16	0.00	2.16	0.5	0.01
01/22/2018	***-**-58IN - SCHRECK, RYAN ACCOUNT #: LSR010873	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	10.77	0.00	10.77	0.5	0.05
01/22/2018	***-**-467J - PICKARD, BRIAN ACCOUNT #: LSR010943	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	11.50	0.00	11.50	0.5	0.06
01/22/2018	***-**-80TR - SCOTELLARO TTEE, ACCOUNT #: LSR010974	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	14.09	0.00	14.09	0.5	0.07
01/22/2018	***-**-08TR - SCOTELLARO III, ACCOUNT #: LSR010975	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	36.67	0.00	36.67	0.5	0.18
01/22/2018	***-**-973I - CHAMBERS, ROGER ACCOUNT #: LSR011018	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	13.43	0.00	13.43	0.5	0.07
01/22/2018	***-**-901O - DRUMM, LOIS ACCOUNT #: LSR011609	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	8.29	0.00	8.29	0.5	0.04
01/16/2018	***-**-05IR - HULL, JEFF ACCOUNT #: LSR011534	MANNING & NAPIER PRO NFS BUY 1 Units @0.0000	0.00	4.45	0.00	4.45	0.5	0.02
01/01/2018	***-**-51RI - DIPERNA, SANDRA ACCOUNT #: 08189654779	MFS 55273E871 BUY 0 Units @0.0000	0.00	13.29	0.00	13.29	0.5	0.07
01/01/2018	***-**-57RI - DIPERNA, ROBERT ACCOUNT #: 08189654783	MFS 55273E871 BUY 0 Units @0.0000	0.00	16.40	0.00	16.40	0.5	0.08



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DAVID GRIFFITH (continued) </u></b>								
01/01/2018	***-**-73IN - MELLACE, ACCOUNT #: 08191356365	MFS 55273E871 BUY 0 Units @0.0000	0.00	1.35	0.00	1.35	0.5	0.01
01/01/2018	***-**-29RI - THIBAUT, ACCOUNT #: 08191346905	MFS 55273G314 BUY 0 Units @0.0000	0.00	4.09	0.00	4.09	0.5	0.02
01/01/2018	***-**-57RI - DIPERNA, ROBERT ACCOUNT #: 08189654783	MFS 552988628 BUY 0 Units @0.0000	0.00	10.01	0.00	10.01	0.5	0.05
01/01/2018	***-**-51RI - DIPERNA, SANDRA ACCOUNT #: 08191188649	MFS 552988628 BUY 0 Units @0.0000	0.00	6.98	0.00	6.98	0.5	0.03
01/01/2018	***-**-541I - ORSINO, LISA ACCOUNT #: 08191343489	MFS 552988628 BUY 0 Units @0.0000	0.00	3.91	0.00	3.91	0.5	0.02
01/01/2018	***-**-801T - HAGAN III, ACCOUNT #: 08191353848	MFS 552988628 BUY 0 Units @0.0000	0.00	2.30	0.00	2.30	0.5	0.01
01/01/2018	***-**-57UT - MISHLANIE CUST, ACCOUNT #: 08190131537	MFS BLENDED RESEARCH CORE EQUITY FD BUY 0 Units @0.0000	0.00	3.25	0.00	3.25	0.5	0.02
01/01/2018	***-**-93JT - WADSWORTH, ACCOUNT #: 08191339712	MFS DIVERSIFIED INCOME FD CL C BUY 0 Units @0.0000	0.00	18.87	0.00	18.87	0.5	0.09
01/01/2018	***-**-686I - STRUMPFLE, MARK ACCOUNT #: 00060352385	MFS EMERGING GROWTH FUND-B BUY 0 Units @0.0000	0.00	2.67	0.00	2.67	0.5	0.01
01/01/2018	***-**-541I - ORSINO, LISA ACCOUNT #: 08191343489	MFS EMERGING GROWTH FUND-B BUY 0 Units @0.0000	0.00	1.66	0.00	1.66	0.5	0.01
01/22/2018	***-**-355I - CRIMMINS, KAREN ACCOUNT #: LSR011077	MFS GLOBAL ALTERNATIVE STRAT B BUY 1 Units @0.0000	0.00	1.62	0.00	1.62	0.5	0.01
01/01/2018	***-**-88IN - PICKARD, ELAINE ACCOUNT #: 08191321451	MFS GLOBAL ALTERNATIVE STRAT C BUY 0 Units @0.0000	0.00	5.21	0.00	5.21	0.5	0.03
01/01/2018	***-**-51RI - DIPERNA, SANDRA ACCOUNT #: 08189654779	MFS GLOBAL BOND FUND CLASS C BUY 0 Units @0.0000	0.00	4.82	0.00	4.82	0.5	0.02
01/01/2018	***-**-88IN - PICKARD, ELAINE ACCOUNT #: 08191321451	MFS GLOBAL BOND FUND CLASS C BUY 0 Units @0.0000	0.00	1.76	0.00	1.76	0.5	0.01
01/01/2018	***-**-57RI - DIPERNA, ROBERT ACCOUNT #: 08189654783	MFS GLOBAL MULTI ASSET FUND CLASS B BUY 0 Units @0.0000	0.00	2.54	0.00	2.54	0.5	0.01
01/01/2018	***-**-57UT - MISHLANIE CUST, ACCOUNT #: 08190131537	MFS GLOBAL MULTI ASSET FUND CLASS B BUY 0 Units @0.0000	0.00	1.85	0.00	1.85	0.5	0.01



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> BROKER: DAVID GRIFFITH (continued)</b>								
01/22/2018	***-**-94IO - PERRITANO, ACCOUNT #: LSR011099	MFS GLOBAL MULTI ASSET FUND CLASS B BUY 1 Units @0.0000	0.00	2.26	0.00	2.26	0.5	0.01
01/01/2018	***-**-29RI - THIBAUT, ACCOUNT #: 08191346905	MFS GLOBAL REAL ESTATE FUND CL C BUY 0 Units @0.0000	0.00	3.82	0.00	3.82	0.5	0.02
01/01/2018	***-**-686I - STRUMPFLE, MARK ACCOUNT #: 00060352385	MFS GLOBAL TOTAL RETURN FUND-A BUY 0 Units @0.0000	0.00	2.19	0.00	2.19	0.5	0.01
01/01/2018	***-**-914J - KALAMAS, SHIRLEY ACCOUNT #: 08191281493	MFS GLOBAL TOTAL RETURN FUND-A BUY 0 Units @0.0000	0.00	1.05	0.00	1.05	0.5	0.01
01/01/2018	***-**-57RI - DIPERNA, ROBERT ACCOUNT #: 08189654783	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	2.15	0.00	2.15	0.5	0.01
01/01/2018	***-**-51RI - DIPERNA, SANDRA ACCOUNT #: 08191188649	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	4.87	0.00	4.87	0.5	0.02
01/01/2018	***-**-25IR - SCOTELLARO, ACCOUNT #: 08191235544	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	1.30	0.00	1.30	0.5	0.01
01/01/2018	***-**-957I - VELARDI, ANTHONY ACCOUNT #: 08191316407	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	3.94	0.00	3.94	0.5	0.02
01/01/2018	***-**-267I - HAMMILL, ELISE ACCOUNT #: 08191335171	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	1.17	0.00	1.17	0.5	0.01
01/01/2018	***-**-440I - HAMMILL, MATTHEW ACCOUNT #: 08191335450	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	1.19	0.00	1.19	0.5	0.01
01/01/2018	***-**-541I - ORSINO, LISA ACCOUNT #: 08191343489	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	3.56	0.00	3.56	0.5	0.02
01/01/2018	***-**-311I - MOYLAN, COLLEEN ACCOUNT #: 08191349249	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	2.26	0.00	2.26	0.5	0.01
01/01/2018	***-**-801T - HAGAN III, ACCOUNT #: 08191353848	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	1.04	0.00	1.04	0.5	0.01
01/22/2018	***-**-355I - CRIMMINS, KAREN ACCOUNT #: LSR011077	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.66	0.00	2.66	0.5	0.01
01/22/2018	***-**-73IN - SCOTELLARO IV, ACCOUNT #: LSR011086	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.58	0.00	2.58	0.5	0.01
01/22/2018	***-**-94IO - PERRITANO, ACCOUNT #: LSR011099	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.51	0.00	2.51	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: DAVID GRIFFITH (continued)</u></b>								
01/22/2018	***-**-187I - WASZKIEWICZ, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.20	0.00	2.20	0.5	0.01
	ACCOUNT #: LSR011144	BUY 1 Units @0.0000						
01/22/2018	***-**-387I - KINNEY, DOUGLAS	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.29	0.00	1.29	0.5	0.01
	ACCOUNT #: LSR011322	BUY 1 Units @0.0000						
01/22/2018	***-**-1300 - MUMIN,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.75	0.00	1.75	0.5	0.01
	ACCOUNT #: LSR011333	BUY 1 Units @0.0000						
01/22/2018	***-**-3017 - MUMIN, MESSIAH	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.75	0.00	1.75	0.5	0.01
	ACCOUNT #: LSR011334	BUY 1 Units @0.0000						
01/22/2018	***-**-0642 - MUMIN, MARY JO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.75	0.00	1.75	0.5	0.01
	ACCOUNT #: LSR011335	BUY 1 Units @0.0000						
01/22/2018	***-**-41TR - DAMSKY, BARRY	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.53	0.00	2.53	0.5	0.01
	ACCOUNT #: LSR011340	BUY 1 Units @0.0000						
01/22/2018	***-**-499X - LARA M TUR, SPS	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.53	0.00	1.53	0.5	0.01
	ACCOUNT #: LSR011400	BUY 1 Units @0.0000						
01/22/2018	***-**-28IO - CROWE, EMILY	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.62	0.00	2.62	0.5	0.01
	ACCOUNT #: LSR011427	BUY 1 Units @0.0000						
01/22/2018	***-**-13IR - MURRAY, JOHN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.29	0.00	1.29	0.5	0.01
	ACCOUNT #: LSR011459	BUY 1 Units @0.0000						
01/22/2018	***-**-9010 - DRUMM, LOIS	MFS GLOBAL TOTAL RETURN FUND-B	0.00	4.15	0.00	4.15	0.5	0.02
	ACCOUNT #: LSR011609	BUY 1 Units @0.0000						
01/22/2018	***-**-29IO - LORUSSO, VINCENT	MFS GLOBAL TOTAL RETURN FUND-B	0.00	4.17	0.00	4.17	0.5	0.02
	ACCOUNT #: LSR011681	BUY 1 Units @0.0000						
01/22/2018	***-**-13IR - E, KAREN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.14	0.00	2.14	0.5	0.01
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
01/01/2018	***-**-88IN - PICKARD, ELAINE	MFS GLOBAL TOTAL RETURN FUND-C	0.00	13.85	0.00	13.85	0.5	0.07
	ACCOUNT #: 08191321451	BUY 0 Units @0.0000						
01/01/2018	***-**-93JT - WADSWORTH,	MFS GLOBAL TOTAL RETURN FUND-C	0.00	19.52	0.00	19.52	0.5	0.10
	ACCOUNT #: 08191339712	BUY 0 Units @0.0000						
01/01/2018	***-**-29RI - THIBAUT,	MFS GLOBAL TOTAL RETURN FUND-C	0.00	3.87	0.00	3.87	0.5	0.02
	ACCOUNT #: 08191346905	BUY 0 Units @0.0000						
01/01/2018	***-**-801T - HAGAN III,	MFS GLOBAL TOTAL RETURN FUND-C	0.00	11.16	0.00	11.16	0.5	0.06
	ACCOUNT #: 08191353848	BUY 0 Units @0.0000						





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DAVID GRIFFITH (continued) </u></b>								
01/01/2018	***-**-73IN - MELLACE, ACCOUNT #: 08191356365	MFS GLOBAL TOTAL RETURN FUND-C BUY 0 Units @0.0000	0.00	2.55	0.00	2.55	0.5	0.01
01/01/2018	***-**-51RI - DIPERNA, SANDRA ACCOUNT #: 08189654779	MFS INFLATION ADJUSTED BOND CL C BUY 0 Units @0.0000	0.00	4.98	0.00	4.98	0.5	0.02
01/01/2018	***-**-57RI - DIPERNA, ROBERT ACCOUNT #: 08189654783	MFS INFLATION ADJUSTED BOND CL C BUY 0 Units @0.0000	0.00	12.32	0.00	12.32	0.5	0.06
01/01/2018	***-**-51RI - DIPERNA, SANDRA ACCOUNT #: 08189654779	MFS LIMITED MATURITY FUND-C BUY 0 Units @0.0000	0.00	5.02	0.00	5.02	0.5	0.03
01/01/2018	***-**-57RI - DIPERNA, ROBERT ACCOUNT #: 08189654783	MFS LIMITED MATURITY FUND-C BUY 0 Units @0.0000	0.00	12.43	0.00	12.43	0.5	0.06
01/01/2018	***-**-88IN - PICKARD, ELAINE ACCOUNT #: 08191321451	MFS LIMITED MATURITY FUND-C BUY 0 Units @0.0000	0.00	1.43	0.00	1.43	0.5	0.01
01/01/2018	***-**-541I - ORSINO, LISA ACCOUNT #: 08191343489	MFS STRATEGIC INCOME FUND-B BUY 0 Units @0.0000	0.00	2.15	0.00	2.15	0.5	0.01
01/01/2018	***-**-57UT - MISHLANIE CUST, ACCOUNT #: 08190131537	MFS TECHNOLOGY FUND-B BUY 0 Units @0.0000	0.00	3.94	0.00	3.94	0.5	0.02
01/22/2018	***-**-29IO - LORUSSO, VINCENT ACCOUNT #: LSR011681	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.41	0.00	1.41	0.5	0.01
01/22/2018	***-**-13IR - E, KAREN ACCOUNT #: LSR011953	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.55	0.00	1.55	0.5	0.01
01/01/2018	***-**-51RI - DIPERNA, SANDRA ACCOUNT #: 08189654779	MFS TRAILS 55273G710 BUY 0 Units @0.0000	0.00	30.29	0.00	30.29	0.5	0.15
01/01/2018	***-**-914J - KALAMAS, SHIRLEY ACCOUNT #: 08191281493	MFS TRAILS 55278K104 BUY 0 Units @0.0000	0.00	1.02	0.00	1.02	0.5	0.01
01/01/2018	***-**-88IN - PICKARD, ELAINE ACCOUNT #: 08191321451	MFS TRAILS 552988610 BUY 0 Units @0.0000	0.00	10.17	0.00	10.17	0.5	0.05
01/01/2018	***-**-93JT - WADSWORTH, ACCOUNT #: 08191339712	MFS TRAILS 552988610 BUY 0 Units @0.0000	0.00	21.08	0.00	21.08	0.5	0.11
01/01/2018	***-**-57UT - MISHLANIE CUST, ACCOUNT #: 08190131537	MFS UTILITIES FUND-B BUY 0 Units @0.0000	0.00	2.49	0.00	2.49	0.5	0.01
01/01/2018	***-**-51RI - DIPERNA, SANDRA ACCOUNT #: 08191188649	MFS UTILITIES FUND-B BUY 0 Units @0.0000	0.00	2.66	0.00	2.66	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DAVID GRIFFITH (continued)</u></b>								
01/01/2018	***-**-244J - BURTON, RICHARD	MFS UTILITIES FUND-B	0.00	2.14	0.00	2.14	0.5	0.01
	ACCOUNT #: 08191250134	BUY 0 Units @0.0000						
01/01/2018	***-**-541I - ORSINO, LISA	MFS UTILITIES FUND-B	0.00	1.67	0.00	1.67	0.5	0.01
	ACCOUNT #: 08191343489	BUY 0 Units @0.0000						
01/22/2018	***-**-621I - DANIELS SR, JOHN	MFS UTILITIES FUND-B	0.00	3.70	0.00	3.70	0.5	0.02
	ACCOUNT #: LSR010950	BUY 1 Units @0.0000						
01/22/2018	***-**-698J - FEISTHAMEL, MR	MFS UTILITIES FUND-B	0.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: LSR011137	BUY 1 Units @0.0000						
01/22/2018	***-**-614I - MARIOTTI, CINDY	MFS UTILITIES FUND-B	0.00	2.76	0.00	2.76	0.5	0.01
	ACCOUNT #: LSR011535	BUY 1 Units @0.0000						
01/22/2018	***-**-13IR - E, KAREN	MFS UTILITIES FUND-B	0.00	1.42	0.00	1.42	0.5	0.01
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
01/01/2018	***-**-57RI - DIPERNA, ROBERT	MFS UTILITIES FUND-C	0.00	13.52	0.00	13.52	0.5	0.07
	ACCOUNT #: 08189654783	BUY 0 Units @0.0000						
01/01/2018	***-**-88IN - PICKARD, ELAINE	MFS UTILITIES FUND-C	0.00	12.87	0.00	12.87	0.5	0.06
	ACCOUNT #: 08191321451	BUY 0 Units @0.0000						
01/01/2018	***-**-93JT - WADSWORTH,	MFS UTILITIES FUND-C	0.00	17.96	0.00	17.96	0.5	0.09
	ACCOUNT #: 08191339712	BUY 0 Units @0.0000						
01/01/2018	***-**-29RI - THIBAUT,	MFS UTILITIES FUND-C	0.00	3.63	0.00	3.63	0.5	0.02
	ACCOUNT #: 08191346905	BUY 0 Units @0.0000						
01/01/2018	***-**-73IN - MELLACE,	MFS UTILITIES FUND-C	0.00	1.16	0.00	1.16	0.5	0.01
	ACCOUNT #: 08191356365	BUY 0 Units @0.0000						
01/22/2018	***-**-661I - GORCZYNSKI,	NEW WORLD FUND-A	0.00	3.63	0.00	3.63	0.5	0.02
	ACCOUNT #: LSR011221	BUY 1 Units @0.0000						
01/22/2018	***-**-244I - BURTON, RICHARD	PL PORTFOLIO OPTIMIZATION MODERATE	0.00	2.07	0.00	2.07	0.5	0.01
	ACCOUNT #: LSR011997	BUY 1 Units @0.0000						
01/22/2018	***-**-05IN - HULL, JEFFERY	PRINCIPAL INVESTORS HIGH YIELD CL	0.00	6.24	0.00	6.24	0.5	0.03
	ACCOUNT #: LSR011187	BUY 1 Units @0.0000						
01/02/2018	***-**-6762 - MISHLANIE,	PUTNAM 1	0.00	3.49	0.00	3.49	0.5	0.02
	ACCOUNT #: 00551362260	BUY 0 Units @0.0000						
01/02/2018	***-**-72RI - BURTON, KAREN	PUTNAM 1	0.00	3.27	0.00	3.27	0.5	0.02
	ACCOUNT #: 00551321851	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DAVID GRIFFITH (continued) </u></b>								
01/02/2018	***-**-7892 - GORCZYNSKI, LISA	PUTNAM 1	0.00	1.95	0.00	1.95	0.5	0.01
	ACCOUNT #: 00551333364	BUY 0 Units @0.0000						
01/02/2018	***-**-05UT - MISHLANIE CUST,	PUTNAM 1	0.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: 00551376948	BUY 0 Units @0.0000						
01/02/2018	***-**-924J - FISH, ROY	PUTNAM 1	0.00	1.36	0.00	1.36	0.5	0.01
	ACCOUNT #: 00551401046	BUY 0 Units @0.0000						
01/02/2018	***-**-949I - SCOTELLARO III,	PUTNAM ASSET ALLOC BALANCED-C	0.00	13.00	0.00	13.00	0.5	0.07
	ACCOUNT #: 00551408844	BUY 0 Units @0.0000						
01/02/2018	***-**-05UT - MISHLANIE CUST,	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: 00551376948	BUY 0 Units @0.0000						
01/02/2018	***-**-924J - FISH, ROY	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.14	0.00	1.14	0.5	0.01
	ACCOUNT #: 00551401046	BUY 0 Units @0.0000						
01/02/2018	***-**-05UT - MISHLANIE CUST,	PUTNAM CONV INCOME-GROWTH-B	0.00	1.51	0.00	1.51	0.5	0.01
	ACCOUNT #: 00551376948	BUY 0 Units @0.0000						
01/02/2018	***-**-372I - BURTON, KAREN	PUTNAM EQUITY INCOME FUND-B	0.00	2.79	0.00	2.79	0.5	0.01
	ACCOUNT #: 00551321848	BUY 0 Units @0.0000						
01/02/2018	***-**-72RI - BURTON, KAREN	PUTNAM EQUITY INCOME FUND-B	0.00	4.19	0.00	4.19	0.5	0.02
	ACCOUNT #: 00551321851	BUY 0 Units @0.0000						
01/02/2018	***-**-7892 - GORCZYNSKI, LISA	PUTNAM EQUITY INCOME FUND-B	0.00	2.29	0.00	2.29	0.5	0.01
	ACCOUNT #: 00551333364	BUY 0 Units @0.0000						
01/02/2018	***-**-7892 - GORCZYNSKI, LISA	PUTNAM EQUITY SPECTRUM FD CL B	0.00	1.27	0.00	1.27	0.5	0.01
	ACCOUNT #: 00551333364	BUY 0 Units @0.0000						
01/02/2018	***-**-949I - SCOTELLARO III,	PUTNAM EUROPE EQUITY CLASS C	0.00	4.03	0.00	4.03	0.5	0.02
	ACCOUNT #: 00551408844	BUY 0 Units @0.0000						
01/02/2018	***-**-7892 - GORCZYNSKI, LISA	PUTNAM EUROPE EQUITY FUND-B	0.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00551333364	BUY 0 Units @0.0000						
01/02/2018	***-**-54IN - THIBAUT, MS	PUTNAM EUROPE EQUITY FUND-B	0.00	1.48	0.00	1.48	0.5	0.01
	ACCOUNT #: 00551358602	BUY 0 Units @0.0000						
01/02/2018	***-**-949I - SCOTELLARO III,	PUTNAM GLOBAL NATURAL RESOURCE C	0.00	3.07	0.00	3.07	0.5	0.02
	ACCOUNT #: 00551408844	BUY 0 Units @0.0000						
01/02/2018	***-**-7892 - GORCZYNSKI, LISA	PUTNAM INTL CAPITAL OPPS-B	0.00	1.78	0.00	1.78	0.5	0.01
	ACCOUNT #: 00551333364	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DAVID GRIFFITH (continued) </u></b>								
01/02/2018	***-**-54IN - THIBAUT, MS	PUTNAM MF TRAILS 74677R206	0.00	1.85	0.00	1.85	0.5	0.01
	ACCOUNT #: 00551358602	BUY 0 Units @0.0000						
01/02/2018	***-**-05UT - MISHLANIE CUST,	PUTNAM MF TRAILS 74677R206	0.00	1.49	0.00	1.49	0.5	0.01
	ACCOUNT #: 00551376948	BUY 0 Units @0.0000						
01/02/2018	***-**-7892 - GORCZYNSKI, LISA	PUTNAM MID-CAP VALUE FUND-A	0.00	2.25	0.00	2.25	0.5	0.01
	ACCOUNT #: 00551333364	BUY 0 Units @0.0000						
01/02/2018	***-**-7892 - GORCZYNSKI, LISA	PUTNAM SMALL CAP GROWTH-B	0.00	1.23	0.00	1.23	0.5	0.01
	ACCOUNT #: 00551333364	BUY 0 Units @0.0000						
01/02/2018	***-**-72RI - BURTON, KAREN	PUTNAM UTILITIES GR & INC-B	0.00	1.26	0.00	1.26	0.5	0.01
	ACCOUNT #: 00551321851	BUY 0 Units @0.0000						
01/02/2018	***-**-7892 - GORCZYNSKI, LISA	PUTNAM UTILITIES GR & INC-B	0.00	1.45	0.00	1.45	0.5	0.01
	ACCOUNT #: 00551333364	BUY 0 Units @0.0000						
01/02/2018	***-**-7892 - GORCZYNSKI, LISA	PUTNAM UTILITIES GR & INC-B	0.00	5.17	0.00	5.17	0.5	0.03
	ACCOUNT #: 00551338349	BUY 0 Units @0.0000						
01/02/2018	***-**-05UT - MISHLANIE CUST,	PUTNAM UTILITIES GR & INC-B	0.00	1.43	0.00	1.43	0.5	0.01
	ACCOUNT #: 00551376948	BUY 0 Units @0.0000						
01/02/2018	***-**-924J - FISH, ROY	PUTNAM UTILITIES GR & INC-B	0.00	1.32	0.00	1.32	0.5	0.01
	ACCOUNT #: 00551401046	BUY 0 Units @0.0000						
01/22/2018	***-**-753I - SABANOSH, ALAN	RESOURCE REAL ESTATE TRAILS	0.00	17.64	0.00	17.64	0.5	0.09
	ACCOUNT #: 20022581	BUY 0 Units @0.0000						
01/22/2018	***-**-61IN - FILEY, JOHN	RESOURCE REAL ESTATE TRAILS	0.00	8.53	0.00	8.53	0.5	0.04
		BUY 0 Units @0.0000						
01/22/2018	***-**-60IN - SCHRADER, KEVIN	RESOURCE REAL ESTATE TRAILS	0.00	13.47	0.00	13.47	0.5	0.07
	ACCOUNT #: 20021808	BUY 0 Units @0.0000						
01/22/2018	***-**-621I - DANIELS SR, JOHN	RESOURCE REAL ESTATE TRAILS	0.00	10.11	0.00	10.11	0.5	0.05
	ACCOUNT #: 20022107	BUY 0 Units @0.0000						
01/22/2018	***-**-01IN - HAGAN III,	RESOURCE REAL ESTATE TRAILS	0.00	17.43	0.00	17.43	0.5	0.09
	ACCOUNT #: 20022138	BUY 0 Units @0.0000						
01/22/2018	***-**-034J - NIKODEM, JOSEPH	RESOURCE REAL ESTATE TRAILS	0.00	17.43	0.00	17.43	0.5	0.09
		BUY 0 Units @0.0000						
01/22/2018	***-**-034J - NIKODEM, JOSEPH	RESOURCE REAL ESTATE TRAILS	0.00	22.04	0.00	22.04	0.5	0.11
		BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: DAVID GRIFFITH (continued)</u></b>								
01/22/2018	***-**-804I - RIEBEN, ANNE	RESOURCE REAL ESTATE-NFS	0.00	6.97	0.00	6.97	0.5	0.03
	ACCOUNT #: LSR010877	BUY 1 Units @0.0000						
01/22/2018	***-**-423I - SAVICKI, RICHARD	RESOURCE REAL ESTATE-NFS	0.00	6.87	0.00	6.87	0.5	0.03
	ACCOUNT #: LSR010889	BUY 1 Units @0.0000						
01/22/2018	***-**-423J - SAVICKI, RICHARD	RESOURCE REAL ESTATE-NFS	0.00	7.41	0.00	7.41	0.5	0.04
	ACCOUNT #: LSR010893	BUY 1 Units @0.0000						
01/22/2018	***-**-077O - GAUTHIER, LOUIS	RESOURCE REAL ESTATE-NFS	0.00	6.30	0.00	6.30	0.5	0.03
	ACCOUNT #: LSR010905	BUY 1 Units @0.0000						
01/22/2018	***-**-924J - FISH, ROY	RESOURCE REAL ESTATE-NFS	0.00	8.79	0.00	8.79	0.5	0.04
	ACCOUNT #: LSR010906	BUY 1 Units @0.0000						
01/22/2018	***-**-753I - SABANOSH, ALAN	RESOURCE REAL ESTATE-NFS	0.00	9.50	0.00	9.50	0.5	0.05
	ACCOUNT #: LSR010909	BUY 1 Units @0.0000						
01/22/2018	***-**-53TR - SABANOSH, ALAN R	RESOURCE REAL ESTATE-NFS	0.00	9.50	0.00	9.50	0.5	0.05
	ACCOUNT #: LSR010917	BUY 1 Units @0.0000						
01/22/2018	***-**-231J - MISHLANIE JR,	RESOURCE REAL ESTATE-NFS	0.00	4.48	0.00	4.48	0.5	0.02
	ACCOUNT #: LSR010927	BUY 1 Units @0.0000						
01/22/2018	***-**-522J - RIEBEN, HENRY	RESOURCE REAL ESTATE-NFS	0.00	16.95	0.00	16.95	0.5	0.08
	ACCOUNT #: LSR010938	BUY 1 Units @0.0000						
01/22/2018	***-**-467I - PICKARD, BRIAN	RESOURCE REAL ESTATE-NFS	0.00	6.30	0.00	6.30	0.5	0.03
	ACCOUNT #: LSR010944	BUY 1 Units @0.0000						
01/22/2018	***-**-24TR - SCOTELLARO, L	RESOURCE REAL ESTATE-NFS	0.00	11.03	0.00	11.03	0.5	0.06
	ACCOUNT #: LSR010973	BUY 1 Units @0.0000						
01/22/2018	***-**-08TR - SCOTELLARO III,	RESOURCE REAL ESTATE-NFS	0.00	6.33	0.00	6.33	0.5	0.03
	ACCOUNT #: LSR010975	BUY 1 Units @0.0000						
01/22/2018	***-**-474J - HAGAN JR,	RESOURCE REAL ESTATE-NFS	0.00	9.46	0.00	9.46	0.5	0.05
	ACCOUNT #: LSR010993	BUY 1 Units @0.0000						
01/22/2018	***-**-319I - HILLEBRAND, JOAN	RESOURCE REAL ESTATE-NFS	0.00	6.97	0.00	6.97	0.5	0.03
	ACCOUNT #: LSR011002	BUY 1 Units @0.0000						
01/22/2018	***-**-609J - CADY, PATRICK	RESOURCE REAL ESTATE-NFS	0.00	2.03	0.00	2.03	0.5	0.01
	ACCOUNT #: LSR011123	BUY 1 Units @0.0000						
01/22/2018	***-**-698J - FEISTHAMEL, MR	RESOURCE REAL ESTATE-NFS	0.00	8.18	0.00	8.18	0.5	0.04
	ACCOUNT #: LSR011137	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: DAVID GRIFFITH (continued)</u> </b>								
01/22/2018	***-**-05IN - HULL, JEFFERY ACCOUNT #: LSR011187	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	7.92	0.00	7.92	0.5	0.04
01/22/2018	***-**-41TR - DAMSKY, BARRY ACCOUNT #: LSR011340	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	4.43	0.00	4.43	0.5	0.02
01/22/2018	***-**-05IR - HULL, JEFF ACCOUNT #: LSR011534	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	5.11	0.00	5.11	0.5	0.03
01/22/2018	***-**-901O - DRUMM, LOIS ACCOUNT #: LSR011609	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	6.93	0.00	6.93	0.5	0.03
01/22/2018	***-**-49IN - SCOTELLARO III, ACCOUNT #: LSR011680	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	3.81	0.00	3.81	0.5	0.02
01/22/2018	***-**-718J - LOWE, GREGORY ACCOUNT #: LSR011914	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	4.80	0.00	4.80	0.5	0.02
01/22/2018	***-**-14TR - THE KALAMAS, ACCOUNT #: LSR012226	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	3.80	0.00	3.80	0.5	0.02
01/22/2018	***-**-804I - RIEBEN, ANNE ACCOUNT #: LSR010877	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	2.32	0.00	2.32	0.5	0.01
01/22/2018	***-**-423I - SAVICKI, RICHARD ACCOUNT #: LSR010889	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	2.29	0.00	2.29	0.5	0.01
01/22/2018	***-**-423J - SAVICKI, RICHARD ACCOUNT #: LSR010893	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	2.47	0.00	2.47	0.5	0.01
01/22/2018	***-**-077O - GAUTHIER, LOUIS ACCOUNT #: LSR010905	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	2.10	0.00	2.10	0.5	0.01
01/22/2018	***-**-924J - FISH, ROY ACCOUNT #: LSR010906	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	2.93	0.00	2.93	0.5	0.01
01/22/2018	***-**-753I - SABANOSH, ALAN ACCOUNT #: LSR010909	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	3.17	0.00	3.17	0.5	0.02
01/22/2018	***-**-53TR - SABANOSH, ALAN R ACCOUNT #: LSR010917	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	3.17	0.00	3.17	0.5	0.02
01/22/2018	***-**-231J - MISHLANIE JR, ACCOUNT #: LSR010927	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	1.49	0.00	1.49	0.5	0.01
01/22/2018	***-**-522J - RIEBEN, HENRY ACCOUNT #: LSR010938	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	5.65	0.00	5.65	0.5	0.03



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: DAVID GRIFFITH (continued)</u></b>								
01/22/2018	***-**-467I - PICKARD, BRIAN ACCOUNT #: LSR010944	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	2.10	0.00	2.10	0.5	0.01
01/22/2018	***-**-24TR - SCOTELLARO, L ACCOUNT #: LSR010973	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	3.68	0.00	3.68	0.5	0.02
01/22/2018	***-**-08TR - SCOTELLARO III, ACCOUNT #: LSR010975	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	2.11	0.00	2.11	0.5	0.01
01/22/2018	***-**-474J - HAGAN JR, ACCOUNT #: LSR010993	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	3.15	0.00	3.15	0.5	0.02
01/22/2018	***-**-319I - HILLEBRAND, JOAN ACCOUNT #: LSR011002	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	2.32	0.00	2.32	0.5	0.01
01/22/2018	***-**-698J - FEISTHAMEL, MR ACCOUNT #: LSR011137	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	2.73	0.00	2.73	0.5	0.01
01/22/2018	***-**-05IN - HULL, JEFFERY ACCOUNT #: LSR011187	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	2.64	0.00	2.64	0.5	0.01
01/22/2018	***-**-41TR - DAMSKY, BARRY ACCOUNT #: LSR011340	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	1.48	0.00	1.48	0.5	0.01
01/22/2018	***-**-05IR - HULL, JEFF ACCOUNT #: LSR011534	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	1.70	0.00	1.70	0.5	0.01
01/22/2018	***-**-901O - DRUMM, LOIS ACCOUNT #: LSR011609	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	2.31	0.00	2.31	0.5	0.01
01/22/2018	***-**-49IN - SCOTELLARO III, ACCOUNT #: LSR011680	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	0.5	0.01
01/22/2018	***-**-718J - LOWE, GREGORY ACCOUNT #: LSR011914	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	1.60	0.00	1.60	0.5	0.01
01/22/2018	***-**-14TR - THE KALAMAS, ACCOUNT #: LSR012226	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	0.5	0.01
01/22/2018	***-**-22IN - GRACIE, JULIA ACCOUNT #: LSR011019	RESOURCE REAL ESTATES-NFS BUY 1 Units @0.0000	0.00	2.74	0.00	2.74	0.5	0.01
01/18/2018	***-**-467J - PICKARD, BRIAN ACCOUNT #: LSR010943	ROYAL DUTCH SHELL ADR EA REP 2 CL A SELL 100 Units @70.0210	7,002.10	80.49	0.00	80.49	0.5	0.40
01/16/2018	***-**-5485 - GAETANO, ACCOUNT #: 00082975488	SMALLCAP WORLD FUND-A BUY 1 Units @60.5700	33.00	1.40	0.00	1.40	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: DAVID GRIFFITH (continued)</u> </b>								
01/22/2018	***-**-80IN - GRIFFITH, DAVID	SMALLCAP WORLD FUND-A	0.00	6.30	0.00	6.30	0.5	0.03
	ACCOUNT #: LSF000286	BUY 1 Units @0.0000						
01/22/2018	***-**-580I - GRIFFITH, DAVID	SMALLCAP WORLD FUND-A	0.00	1.50	0.00	1.50	0.5	0.01
	ACCOUNT #: LSF000295	BUY 1 Units @0.0000						
01/22/2018	***-**-21IN - DANIELS, JOHN	SMALLCAP WORLD FUND-A	0.00	1.18	0.00	1.18	0.5	0.01
	ACCOUNT #: LSR010967	BUY 1 Units @0.0000						
01/22/2018	***-**-24TR - SCOTELLARO, L	SMALLCAP WORLD FUND-A	0.00	1.54	0.00	1.54	0.5	0.01
	ACCOUNT #: LSR010973	BUY 1 Units @0.0000						
01/22/2018	***-**-22IN - GRACIE, JULIA	SMALLCAP WORLD FUND-A	0.00	2.02	0.00	2.02	0.5	0.01
	ACCOUNT #: LSR011019	BUY 1 Units @0.0000						
01/22/2018	***-**-661I - GORCZYNSKI,	SMALLCAP WORLD FUND-A	0.00	4.04	0.00	4.04	0.5	0.02
	ACCOUNT #: LSR011221	BUY 1 Units @0.0000						
01/22/2018	***-**-656I - SMOLA, STANLEY	SMALLCAP WORLD FUND-F1	0.00	1.96	0.00	1.96	0.5	0.01
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
01/16/2018	***-**-5485 - GAETANO,	THE GROWTH FUND OF AMERICA-A	34.00	1.45	0.00	1.45	0.5	0.01
	ACCOUNT #: 00082975488	BUY 1 Units @54.6700						
01/22/2018	***-**-80IN - GRIFFITH, DAVID	THE GROWTH FUND OF AMERICA-A	0.00	1.78	0.00	1.78	0.5	0.01
	ACCOUNT #: LSF000286	BUY 1 Units @0.0000						
01/22/2018	***-**-29IO - LORUSSO, VINCENT	THE GROWTH FUND OF AMERICA-C	0.00	7.06	0.00	7.06	0.5	0.04
	ACCOUNT #: LSR011681	BUY 1 Units @0.0000						
01/22/2018	***-**-90IN - PICKARD, KAREN	THE GROWTH FUND OF AMERICA-C	0.00	10.83	0.00	10.83	0.5	0.05
	ACCOUNT #: LSR011687	BUY 1 Units @0.0000						
01/22/2018	***-**-21IN - DANIELS, JOHN	THE INCOME FUND OF AMERICA-A	0.00	3.79	0.00	3.79	0.5	0.02
	ACCOUNT #: LSR010967	BUY 1 Units @0.0000						
01/22/2018	***-**-24TR - SCOTELLARO, L	THE INCOME FUND OF AMERICA-A	0.00	4.70	0.00	4.70	0.5	0.02
	ACCOUNT #: LSR010973	BUY 1 Units @0.0000						
01/22/2018	***-**-474J - HAGAN JR,	THE INCOME FUND OF AMERICA-A	0.00	3.34	0.00	3.34	0.5	0.02
	ACCOUNT #: LSR010993	BUY 1 Units @0.0000						
01/22/2018	***-**-698J - FEISTHAMEL, MR	THE INCOME FUND OF AMERICA-A	0.00	1.62	0.00	1.62	0.5	0.01
	ACCOUNT #: LSR011137	BUY 1 Units @0.0000						
01/22/2018	***-**-661I - GORCZYNSKI,	THE INCOME FUND OF AMERICA-A	0.00	2.26	0.00	2.26	0.5	0.01
	ACCOUNT #: LSR011221	BUY 1 Units @0.0000						





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DAVID GRIFFITH (continued)</u></b>								
01/22/2018	***-**-469I - HAUCK, JUDITH ACCOUNT #: LSR011739	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.80	0.00	1.80	0.5	0.01
01/22/2018	***-**-656I - SMOLA, STANLEY ACCOUNT #: LSR010868	THE INCOME FUND OF AMERICA-F1 BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	0.5	0.01
01/22/2018	***-**-661I - GORCZYNSKI, ACCOUNT #: LSR011221	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	3.56	0.00	3.56	0.5	0.02
01/11/2018	***-**-60IN - SCHRADER, KEVIN ACCOUNT #: LSR010897	WALMART STORES INC UNSOLICITED ORDE SELL 100 Units @100.0000	10,000.00	80.49	0.00	80.49	0.5	0.40
01/22/2018	***-**-474J - HAGAN JR, ACCOUNT #: LSR010993	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @0.0000	0.00	25.56	0.00	25.56	0.5	0.13
<b>* DAVID GRIFFITH SUBTOTAL *</b>			<b>\$76,256.50</b>	<b>\$4,752.13</b>	<b>\$0.00</b>	<b>\$4,752.13</b>		<b>\$23.92</b>
<b><u>BROKER: KENT MCNARY</u></b>								
01/18/2018	***-**-451I - BIOMEDICAL, ACCOUNT #: 23980212	NATIONWIDE GVA TRAILS BUY 1 Units @0.0000	0.00	154.76	0.00	154.76	0.5	0.77
01/22/2018	***-**-2350 - ESTRIDGE, ACCOUNT #: LSR011119	PRINCIPAL INVESTORS SAM BALANCED (A BUY 1 Units @0.0000	0.00	4.87	0.00	4.87	0.5	0.02
01/22/2018	***-**-235I - ESTRIDGE, FBO ACCOUNT #: LSR011120	PRINCIPAL INVESTORS SAM BALANCED (A BUY 1 Units @0.0000	0.00	7.12	0.00	7.12	0.5	0.04
01/22/2018	***-**-644J - BERKOWITZ, BARRY ACCOUNT #: LSR011328	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	2.50	0.00	2.50	0.5	0.01
<b>* KENT MCNARY SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$169.25</b>	<b>\$0.00</b>	<b>\$169.25</b>		<b>\$0.84</b>
<b><u>BROKER: SETH ROSENBERG</u></b>								
01/08/2018	***-**-59CL - ROSENBERG, ACCOUNT #: 04793987086	AB GLOABAL RISK ALLOCATION R BUY 0 Units @0.0000	0.00	93.48	0.00	93.48	0.5	0.47
01/16/2018	***-**-4263 - SELARU, DR ACCOUNT #: LSR011307	BLACKROCK MID CAP VALUE OPPORTIES C BUY 9 Units @18.5100	160.00	4.40	0.00	4.40	0.5	0.02
01/16/2018	***-**-4263 - SELARU, DR ACCOUNT #: LSR011307	BLACKROCK S&P 500 INDEX INV A BUY 0 Units @330.6500	160.03	3.00	0.00	3.00	0.5	0.02
01/16/2018	***-**-4263 - SELARU, DR ACCOUNT #: LSR011307	BLACKROCK VALUE OPPORT FD CL A BUY 5 Units @33.4100	160.00	4.40	0.00	4.40	0.5	0.02



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: SETH ROSENBERG (continued)</u></b>								
01/16/2018	***-**-8880 - HEALTHCARE, ACCOUNT #: LSR011741	MASSACHUSETTS INVESTORS TRUST-R2 BUY 1 Units @0.0000	0.00	17.13	0.00	17.13	0.5	0.09
01/16/2018	***-**-8880 - HEALTHCARE, ACCOUNT #: LSR011741	MFS GLOBAL EQUITY FD CL R2-NFS BUY 1 Units @0.0000	0.00	3.71	0.00	3.71	0.5	0.02
* SETH ROSENBERG SUBTOTAL *			<b>\$480.03</b>	<b>\$126.12</b>	<b>\$0.00</b>	<b>\$126.12</b>		<b>\$0.64</b>
<b><u>BROKER: PAUL AGLIATA</u></b>								
01/16/2018	***-**-632J - STEVENS, KEVIN ACCOUNT #: LSR011316	FIRST EAGLE GOLD FUND CLASS A BUY 1 Units @0.0000	0.00	8.86	0.00	8.86	0.5	0.04
01/16/2018	***-**-853I - MCGILL, YVONNE ACCOUNT #: LSR011719	FIRST EAGLE GOLD FUND CLASS A BUY 1 Units @0.0000	0.00	1.05	0.00	1.05	0.5	0.01
01/16/2018	***-**-8530 - MCGILL, TOD ACCOUNT #: LSR011720	FIRST EAGLE GOLD FUND CLASS A BUY 1 Units @0.0000	0.00	3.66	0.00	3.66	0.5	0.02
01/16/2018	***-**-164J - RUIZ, LYDIA ACCOUNT #: LSR011232	FRANKLIN RISING DIVIDENDS FD CLASS BUY 9 Units @65.5300	599.99	16.80	0.00	16.80	0.5	0.08
01/18/2018	***-**-19IN - KRAMER, ZOIE ACCOUNT #: LSR011471	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @67.3800	99.99	5.00	0.00	5.00	0.5	0.03
01/16/2018	***-**-8530 - MCGILL, TOD ACCOUNT #: LSR011720	IVY SCIENCE AND TECHNOLOGY FUND CLA BUY 1 Units @0.0000	0.00	19.11	0.00	19.11	0.5	0.10
01/16/2018	***-**-238X - WRIGHT, DEBORAH ACCOUNT #: LSR011278	IVY SMALL CAP VALUE CLASS A BUY 1 Units @0.0000	0.00	26.21	0.00	26.21	0.5	0.13
01/16/2018	***-**-632J - STEVENS, KEVIN ACCOUNT #: LSR011316	IVY SMALL CAP VALUE CLASS A BUY 1 Units @0.0000	0.00	29.60	0.00	29.60	0.5	0.15
01/16/2018	***-**-234X - SCHOMP, NSPS ACCOUNT #: LSR011341	IVY SMALL CAP VALUE CLASS A BUY 1 Units @0.0000	0.00	39.50	0.00	39.50	0.5	0.20
01/16/2018	***-**-54IN - LEON, LUZ ACCOUNT #: LSR011710	IVY SMALL CAP VALUE CLASS A BUY 1 Units @0.0000	0.00	1.97	0.00	1.97	0.5	0.01
01/16/2018	***-**-1680 - LEON TOD, LUZ ACCOUNT #: LSR011711	IVY SMALL CAP VALUE CLASS A BUY 1 Units @0.0000	0.00	1.97	0.00	1.97	0.5	0.01
01/16/2018	***-**-853I - MCGILL, YVONNE ACCOUNT #: LSR011719	IVY SMALL CAP VALUE CLASS A BUY 1 Units @0.0000	0.00	3.28	0.00	3.28	0.5	0.02
01/16/2018	***-**-8530 - MCGILL, TOD ACCOUNT #: LSR011720	IVY SMALL CAP VALUE CLASS A BUY 1 Units @0.0000	0.00	25.70	0.00	25.70	0.5	0.13



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: PAUL AGLIATA (continued)</u> </b>								
01/16/2018	***-**-164I - RUIZ, HENRY	IVY SMALL CAP VALUE CLASS A	0.00	4.24	0.00	4.24	0.5	0.02
	ACCOUNT #: LSR011867	BUY 1 Units @0.0000						
01/16/2018	***-**-164I - RUIZ, HENRY	IVY SMALL CAP VALUE CLASS A	349.99	17.50	0.00	17.50	0.5	0.09
	ACCOUNT #: LSR011867	BUY 17 Units @20.6900						
01/22/2018	***-**-8530 - MCGILL, TOD	NEW PERSPECTIVE FUND-A	0.00	4.20	0.00	4.20	0.5	0.02
	ACCOUNT #: LSR011720	BUY 1 Units @0.0000						
01/16/2018	***-**-802X - SWAIN, TRACEY	NUVEEN SMALL CAP GROWTH OPPORT CL A	0.00	7.55	0.00	7.55	0.5	0.04
	ACCOUNT #: LSR011245	BUY 1 Units @0.0000						
01/16/2018	***-**-8530 - MCGILL, TOD	NUVEEN SMALL CAP GROWTH OPPORT CL A	0.00	8.63	0.00	8.63	0.5	0.04
	ACCOUNT #: LSR011720	BUY 1 Units @0.0000						
01/22/2018	***-**-64IO - RUIZ, HENRY	THE GROWTH FUND OF AMERICA-A	0.00	5.72	0.00	5.72	0.5	0.03
	ACCOUNT #: LSR011230	BUY 1 Units @0.0000						
01/22/2018	***-**-232X - STEVENS, NSPS	THE GROWTH FUND OF AMERICA-A	0.00	12.05	0.00	12.05	0.5	0.06
	ACCOUNT #: LSR011243	BUY 1 Units @0.0000						
01/22/2018	***-**-02IN - SWAIN, TRACEY	THE GROWTH FUND OF AMERICA-A	0.00	1.33	0.00	1.33	0.5	0.01
	ACCOUNT #: LSR011246	BUY 1 Units @0.0000						
01/22/2018	***-**-632J - STEVENS, KEVIN	THE GROWTH FUND OF AMERICA-A	0.00	9.82	0.00	9.82	0.5	0.05
	ACCOUNT #: LSR011316	BUY 1 Units @0.0000						
01/22/2018	***-**-8530 - MCGILL, TOD	VIRTUS VONTOBEL GLOBAL OPPS CL A	0.00	7.64	0.00	7.64	0.5	0.04
	ACCOUNT #: LSR011720	BUY 1 Units @0.0000						
<b> * PAUL AGLIATA SUBTOTAL * </b>			<b> \$1,049.97 </b>	<b> \$261.39 </b>	<b> \$0.00 </b>	<b> \$261.39 </b>		<b> \$1.33 </b>
<b> <u>BROKER: DENNIS CONNOR</u> </b>								
01/01/2018	***-**-3590 - HEALY, THOMAS	AIM ASIA PACIFIC GROWTH FUND - CL A	0.00	19.15	0.00	19.15	0.5	0.10
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
01/01/2018	***-**-3904 - DENNIS, CONNOR	AIM ASIA PACIFIC GROWTH FUND - CL A	0.00	1.10	0.00	1.10	0.5	0.01
	ACCOUNT #: 01001280243	BUY 0 Units @0.0000						
01/01/2018	***-**-0804 - GALE, MARY	AIM CHARTER FUND - CLASS A	0.00	4.17	0.00	4.17	0.5	0.02
	ACCOUNT #: 05013755110	BUY 0 Units @0.0000						
01/01/2018	***-**-3590 - HEALY, THOMAS	AIM DEVELOPING MARKETS FUND CLASS A	0.00	1.82	0.00	1.82	0.5	0.01
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
01/01/2018	***-**-7092 -	AIM DEVELOPING MARKETS FUND CLASS A	0.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00671948367	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: DENNIS CONNOR (continued)</u></b>								
01/01/2018	***-**-0804 - GALE, MARY ACCOUNT #: 05013755110	AIM DEVELOPING MARKETS FUND CLASS A BUY 0 Units @0.0000	0.00	4.28	0.00	4.28	0.5	0.02
01/01/2018	***-**-7193 - GALE, WALTER ACCOUNT #: 05013755144	AIM DEVELOPING MARKETS FUND CLASS A BUY 0 Units @0.0000	0.00	2.17	0.00	2.17	0.5	0.01
01/16/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	AIM DEVELOPING MARKETS FUND CLASS A BUY 1 Units @0.0000	0.00	8.34	0.00	8.34	0.5	0.04
01/01/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: 04038423622	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	5.38	0.00	5.38	0.5	0.03
01/01/2018	***-**-3754 - MAHER, EDWARD ACCOUNT #: 01000148935	AIM EUROPEAN SMALL COMPANY FUND - C BUY 0 Units @0.0000	0.00	8.87	0.00	8.87	0.5	0.04
01/01/2018	***-**-3904 - DENNIS, CONNOR ACCOUNT #: 01001280243	AIM EUROPEAN SMALL COMPANY FUND - C BUY 0 Units @0.0000	0.00	1.58	0.00	1.58	0.5	0.01
01/01/2018	***-**-6155 - LIPKOWITZ, VICKI ACCOUNT #: 05013754394	AIM EUROPEAN SMALL COMPANY FUND - C BUY 0 Units @0.0000	0.00	7.29	0.00	7.29	0.5	0.04
01/01/2018	***-**-2773 - DASARO, ANN ACCOUNT #: 05019765972	AIM EUROPEAN SMALL COMPANY FUND - C BUY 0 Units @0.0000	0.00	3.12	0.00	3.12	0.5	0.02
01/01/2018	***-**-6502 - MANCHESTER, ACCOUNT #: 00672132485	AIM GLOBAL VALUE FUND - CLASS A BUY 0 Units @0.0000	0.00	3.94	0.00	3.94	0.5	0.02
01/01/2018	***-**-3754 - MAHER, EDWARD ACCOUNT #: 01000148935	AIM INTERNATIONAL SMALL CO FUND- CL BUY 0 Units @0.0000	0.00	6.03	0.00	6.03	0.5	0.03
01/01/2018	***-**-0804 - GALE, MARY ACCOUNT #: 05013755110	AIM INTERNATIONAL SMALL CO FUND- CL BUY 0 Units @0.0000	0.00	7.59	0.00	7.59	0.5	0.04
01/01/2018	***-**-7193 - GALE, WALTER ACCOUNT #: 05013755144	AIM INTERNATIONAL SMALL CO FUND- CL BUY 0 Units @0.0000	0.00	3.82	0.00	3.82	0.5	0.02
01/01/2018	***-**-2773 - DASARO, ANN ACCOUNT #: 05019765972	AIM INTERNATIONAL SMALL CO FUND- CL BUY 0 Units @0.0000	0.00	3.84	0.00	3.84	0.5	0.02
01/01/2018	***-**-6155 - LIPKOWITZ, VICKI ACCOUNT #: 01001275656	AIM INV 001419514 BUY 0 Units @0.0000	0.00	119.48	0.00	119.48	0.5	0.60
01/01/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: 04038423622	AIM MID-CAP EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	3.54	0.00	3.54	0.5	0.02
01/01/2018	***-**-6502 - MANCHESTER, ACCOUNT #: 00672132485	AIM REAL ESTATE FUND - CLASS A BUY 0 Units @0.0000	0.00	1.63	0.00	1.63	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DENNIS CONNOR (continued)</u></b>								
01/01/2018	***-**-0804 - GALE, MARY ACCOUNT #: 05013755110	AIM REAL ESTATE FUND - CLASS A BUY 0 Units @0.0000	0.00	6.04	0.00	6.04	0.5	0.03
01/01/2018	***-**-7193 - GALE, WALTER ACCOUNT #: 05013755144	AIM REAL ESTATE FUND - CLASS A BUY 0 Units @0.0000	0.00	8.94	0.00	8.94	0.5	0.04
01/01/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: 04038423622	AIM TRIMARK SMALL COMPANIES FD - CL BUY 0 Units @0.0000	0.00	23.17	0.00	23.17	0.5	0.12
01/22/2018	***-**-1810 - STEVEN A ACCOUNT #: LSR011388	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	2.70	0.00	2.70	0.5	0.01
01/22/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	1.64	0.00	1.64	0.5	0.01
01/22/2018	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	1.87	0.00	1.87	0.5	0.01
01/22/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	1.25	0.00	1.25	0.5	0.01
01/16/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	CAPITAL APPRECIATION - CLASS A BUY 1 Units @0.0000	0.00	12.96	0.00	12.96	0.5	0.06
01/22/2018	***-**-4566 - HEALY, KATHLEEN ACCOUNT #: 81892257901	COLLEGEBOUND 529 TRAILS BUY 0 Units @0.0000	0.00	47.45	0.00	47.45	0.5	0.24
01/16/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	1.61	0.00	1.61	0.5	0.01
01/22/2018	***-**-6935 - FINK, GEORGE ACCOUNT #: 8698526343	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	15.86	0.00	15.86	0.5	0.08
01/22/2018	***-**-3754 - MAHER, EDWARD	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	2.37	0.00	2.37	0.5	0.01
01/22/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: 8696551395	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	13.86	0.00	13.86	0.5	0.07
01/22/2018	***-**-3904 - DENNIS, CONNOR	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	1.29	0.00	1.29	0.5	0.01
01/22/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: 8696551395	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	34.25	0.00	34.25	0.5	0.17
01/16/2018	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	COLUMBIA HIGH YIELD BOND CL A BUY 1 Units @0.0000	0.00	1.52	0.00	1.52	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DENNIS CONNOR (continued) </u></b>								
01/16/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	COLUMBIA INCOME BUILDER FUND CL A BUY 1 Units @0.0000	0.00	1.31	0.00	1.31	0.5	0.01
01/16/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	COLUMBIA INCOME OPPT FD CL A BUY 1 Units @0.0000	0.00	1.29	0.00	1.29	0.5	0.01
01/16/2018	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	COLUMBIA INCOME OPPT FD CL A BUY 1 Units @0.0000	0.00	1.51	0.00	1.51	0.5	0.01
01/16/2018	***-**-572I - MELIN, LUCILLE ACCOUNT #: LSR011508	COLUMBIA SELECT LRG CAP GROWTH CLAS BUY 1 Units @0.0000	0.00	2.08	0.00	2.08	0.5	0.01
01/16/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	COLUMBIA SMALLCAP CORE FUND-A BUY 1 Units @0.0000	0.00	3.38	0.00	3.38	0.5	0.02
01/16/2018	***-**-671O - EVA JAYNE ACCOUNT #: LSR011403	DELAWARE EXTENDED DURATION BOND FUN BUY 1 Units @0.0000	0.00	2.08	0.00	2.08	0.5	0.01
01/16/2018	***-**-572I - MELIN, LUCILLE ACCOUNT #: LSR011508	DELAWARE EXTENDED DURATION BOND FUN BUY 1 Units @0.0000	0.00	2.49	0.00	2.49	0.5	0.01
01/16/2018	***-**-671O - EVA JAYNE ACCOUNT #: LSR011403	DELAWARE HEALTHCARE FUND CLASS A BUY 1 Units @0.0000	0.00	9.14	0.00	9.14	0.5	0.05
01/22/2018	***-**-033I - KATZENBERGER, ACCOUNT #: LSR011507	DIAMOND HILL FINANCIAL LONG SHORT F BUY 1 Units @0.0000	0.00	2.81	0.00	2.81	0.5	0.01
01/22/2018	***-**-359O - HEALY, THOMAS ACCOUNT #: LSR011524	EATON VANCE GLOBAL SMALL CAP CLASS BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	0.5	0.01
01/22/2018	***-**-359O - HEALY, THOMAS ACCOUNT #: LSR011524	EATON VANCE GROWTH CLASS A BUY 1 Units @0.0000	0.00	1.64	0.00	1.64	0.5	0.01
01/22/2018	***-**-572I - MELIN, LUCILLE ACCOUNT #: LSR011508	EATON VANCE HIGH INCOME FUND CLASS BUY 1 Units @0.0000	0.00	2.60	0.00	2.60	0.5	0.01
01/22/2018	***-**-359O - HEALY, THOMAS ACCOUNT #: LSR011524	EATON VANCE STOCK FUND A BUY 1 Units @0.0000	0.00	1.48	0.00	1.48	0.5	0.01
01/22/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	EATON VANCE WORLDWIDE HEALTH SCIENC BUY 1 Units @0.0000	0.00	2.86	0.00	2.86	0.5	0.01
01/22/2018	***-**-181O - STEVEN A ACCOUNT #: LSR011388	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 1 Units @0.0000	0.00	2.85	0.00	2.85	0.5	0.01
01/22/2018	***-**-671O - EVA JAYNE ACCOUNT #: LSR011403	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 1 Units @0.0000	0.00	8.07	0.00	8.07	0.5	0.04



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DENNIS CONNOR (continued) </u></b>								
01/22/2018	***-**-572I - MELIN, LUCILLE ACCOUNT #: LSR011508	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 1 Units @0.0000	0.00	2.67	0.00	2.67	0.5	0.01
01/08/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00522408038	FIDELITY ADV EMERGING MRKTS INC CL BUY 0 Units @0.0000	0.00	2.08	0.00	2.08	0.5	0.01
01/08/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00700847502	FIDELITY ADV EMERGING MRKTS INC CL BUY 0 Units @0.0000	0.00	2.71	0.00	2.71	0.5	0.01
01/08/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00522408038	FIDELITY ADV ENERGY-CL A BUY 0 Units @0.0000	0.00	1.45	0.00	1.45	0.5	0.01
01/08/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 00529439069	FIDELITY ADV ENERGY-CL A BUY 0 Units @0.0000	0.00	1.14	0.00	1.14	0.5	0.01
01/08/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00700847502	FIDELITY ADV ENERGY-CL A BUY 0 Units @0.0000	0.00	1.59	0.00	1.59	0.5	0.01
01/22/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	FIDELITY ADV HEALTH CARE-CL A BUY 1 Units @0.0000	0.00	4.37	0.00	4.37	0.5	0.02
01/08/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 00529439069	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	2.07	0.00	2.07	0.5	0.01
01/08/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00700847502	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	2.49	0.00	2.49	0.5	0.01
01/22/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	FIDELITY ADV HIGH INCOME-CL A BUY 1 Units @0.0000	0.00	2.56	0.00	2.56	0.5	0.01
01/08/2018	***-**-6182 - BOWSER, ACCOUNT #: 00530590686	FIDELITY ADV LEVERAGED CO STOCK CL BUY 0 Units @0.0000	0.00	1.13	0.00	1.13	0.5	0.01
01/08/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00700847502	FIDELITY ADV LEVERAGED CO STOCK CL BUY 0 Units @0.0000	0.00	2.74	0.00	2.74	0.5	0.01
01/22/2018	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	FIDELITY ADV MATERIALS-CL A BUY 1 Units @0.0000	0.00	1.60	0.00	1.60	0.5	0.01
01/08/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00519256879	FIDELITY ADV SMALL CAP-CL A BUY 0 Units @0.0000	0.00	1.35	0.00	1.35	0.5	0.01
01/08/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00522408038	FIDELITY ADV SMALL CAP-CL A BUY 0 Units @0.0000	0.00	2.38	0.00	2.38	0.5	0.01
01/08/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 00529439069	FIDELITY ADV SMALL CAP-CL A BUY 0 Units @0.0000	0.00	2.13	0.00	2.13	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: DENNIS CONNOR (continued)</u></b>								
01/22/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	FIDELITY ADV SMALL CAP-CL A BUY 1 Units @0.0000	0.00	4.41	0.00	4.41	0.5	0.02
01/22/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	FIDELITY ADV STRATEGIC INCOME CL A BUY 1 Units @0.0000	0.00	2.48	0.00	2.48	0.5	0.01
01/08/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00519256879	FIDELITY ADV VALUE STRATEGIES-CL A BUY 0 Units @0.0000	0.00	1.41	0.00	1.41	0.5	0.01
01/08/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00522408038	FIDELITY ADV VALUE STRATEGIES-CL A BUY 0 Units @0.0000	0.00	2.75	0.00	2.75	0.5	0.01
01/08/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00700847502	FIDELITY ADV VALUE STRATEGIES-CL A BUY 0 Units @0.0000	0.00	2.66	0.00	2.66	0.5	0.01
01/22/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	FIDELITY ADVISOR CANADA FUND CLASS BUY 1 Units @0.0000	0.00	1.07	0.00	1.07	0.5	0.01
01/22/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	FIDELITY ADVISOR CONSUMER STAPLES F BUY 1 Units @0.0000	0.00	5.90	0.00	5.90	0.5	0.03
01/22/2018	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	FIDELITY ADVISOR CORP BOND CLASS A BUY 1 Units @0.0000	0.00	1.47	0.00	1.47	0.5	0.01
01/22/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	FIDELITY ADVISOR EMERGING ASIA FUND BUY 1 Units @0.0000	0.00	3.73	0.00	3.73	0.5	0.02
01/22/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	FIDELITY ADVISOR EMERGING ASIA FUND BUY 1 Units @0.0000	0.00	10.64	0.00	10.64	0.5	0.05
01/08/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00519256879	FIDELITY ADVISOR MID CAP STOCK CL A BUY 0 Units @0.0000	0.00	1.23	0.00	1.23	0.5	0.01
01/08/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00522408038	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	2.54	0.00	2.54	0.5	0.01
01/08/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 00529439069	FIDELITY ADVISORS TRAILS BUY 0 Units @0.0000	0.00	2.70	0.00	2.70	0.5	0.01
01/22/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	FIDELITY ADVISORS TRAILS BUY 1 Units @0.0000	0.00	4.53	0.00	4.53	0.5	0.02
01/22/2018	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	HOTCHKIS & WILEY MID CAP VALUE CL A BUY 1 Units @0.0000	0.00	1.30	0.00	1.30	0.5	0.01
01/22/2018	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	HOTCHKIS & WILEY VALUE OPP CLASS A BUY 1 Units @0.0000	0.00	1.55	0.00	1.55	0.5	0.01





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DENNIS CONNOR (continued)</u></b>								
01/01/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: 04038423622	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	3.75	0.00	3.75	0.5	0.02
01/01/2018	***-**-7092 - ACCOUNT #: 00671948367	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	6.65	0.00	6.65	0.5	0.03
01/01/2018	***-**-7768 - ROY S TERESKY, ACCOUNT #: 00672036291	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	14.24	0.00	14.24	0.5	0.07
01/01/2018	***-**-3740 - KAVANAGH, KELLY ACCOUNT #: 00672150848	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	6.45	0.00	6.45	0.5	0.03
01/01/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: 04038423622	INVESCO ENDEAVOR FUND - CLASS A BUY 0 Units @0.0000	0.00	5.07	0.00	5.07	0.5	0.03
01/01/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: 04038423622	INVESCO VAN KAMPEN COMSTOCK CLASS A BUY 0 Units @0.0000	0.00	25.89	0.00	25.89	0.5	0.13
01/01/2018	***-**-3740 - KAVANAGH, KELLY ACCOUNT #: 00672150848	INVESCO VAN KAMPEN COMSTOCK CLASS A BUY 0 Units @0.0000	0.00	6.33	0.00	6.33	0.5	0.03
01/16/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	INVESCO VAN KAMPEN COMSTOCK CLASS A BUY 1 Units @0.0000	0.00	6.87	0.00	6.87	0.5	0.03
01/01/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: 04038423622	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 0 Units @0.0000	0.00	5.10	0.00	5.10	0.5	0.03
01/01/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: 04038423622	INVESCO VAN KAMPEN MID CAP GROWTH A BUY 0 Units @0.0000	0.00	64.54	0.00	64.54	0.5	0.32
01/01/2018	***-**-7092 - ACCOUNT #: 00671948367	INVESCO VAN KAMPEN MID CAP GROWTH A BUY 0 Units @0.0000	0.00	2.32	0.00	2.32	0.5	0.01
01/01/2018	***-**-3740 - KAVANAGH, KELLY ACCOUNT #: 00672150848	INVESCO VAN KAMPEN MID CAP GROWTH A BUY 0 Units @0.0000	0.00	8.06	0.00	8.06	0.5	0.04
01/01/2018	***-**-7092 - ACCOUNT #: 00671948367	INVESCO VAN KAMPEN SMALL CAP GROWTH BUY 0 Units @0.0000	0.00	2.50	0.00	2.50	0.5	0.01
01/01/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: 04038423622	INVESCO VAN KAMPEN SMALL CAP VALUE BUY 0 Units @0.0000	0.00	49.59	0.00	49.59	0.5	0.25
01/01/2018	***-**-7092 - ACCOUNT #: 00671948367	INVESCO VAN KAMPEN SMALL CAP VALUE BUY 0 Units @0.0000	0.00	3.90	0.00	3.90	0.5	0.02
01/01/2018	***-**-3740 - KAVANAGH, KELLY ACCOUNT #: 00672150848	INVESCO VAN KAMPEN SMALL CAP VALUE BUY 0 Units @0.0000	0.00	9.74	0.00	9.74	0.5	0.05



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: DENNIS CONNOR (continued)</u></b>								
01/16/2018	***-**-101I - LEMOINE, STEVEN	INVESCO VAN KAMPEN SMALL CAP VALUE	0.00	10.93	0.00	10.93	0.5	0.05
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/01/2018	***-**-3590 - HEALY, THOMAS	IVK AMERICAN VALUE FUND - CLASS A	0.00	4.89	0.00	4.89	0.5	0.02
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
01/01/2018	***-**-6155 - LIPKOWITZ, VICKI	IVK AMERICAN VALUE FUND - CLASS A	0.00	5.75	0.00	5.75	0.5	0.03
	ACCOUNT #: 05013754394	BUY 0 Units @0.0000						
01/01/2018	***-**-7193 - GALE, WALTER	IVK AMERICAN VALUE FUND - CLASS A	0.00	1.77	0.00	1.77	0.5	0.01
	ACCOUNT #: 05013755144	BUY 0 Units @0.0000						
01/01/2018	***-**-3590 - HEALY, THOMAS	IVK GROWTH AND INCOME FUND - CLASS	0.00	5.59	0.00	5.59	0.5	0.03
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
01/16/2018	***-**-101I - LEMOINE, STEVEN	IVY BALANCED CLASS A	0.00	4.35	0.00	4.35	0.5	0.02
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/16/2018	***-**-101I - LEMOINE, STEVEN	IVY HIGH INCOME CL A	0.00	3.88	0.00	3.88	0.5	0.02
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/16/2018	***-**-101I - LEMOINE, STEVEN	IVY MID CAP GROWTH CL A	0.00	5.14	0.00	5.14	0.5	0.03
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/16/2018	***-**-255I - GRAHAM, DEBORAH	IVY MID CAP GROWTH CL A	0.00	8.54	0.00	8.54	0.5	0.04
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
01/16/2018	***-**-255I - GRAHAM, DEBORAH	IVY SCIENCE & TECHNOLOGY CL B	0.00	11.92	0.00	11.92	0.5	0.06
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
01/16/2018	***-**-101I - LEMOINE, STEVEN	IVY SCIENCE AND TECHNOLOGY FUND CLA	0.00	5.92	0.00	5.92	0.5	0.03
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/16/2018	***-**-6710 - EVA JAYNE	IVY SCIENCE AND TECHNOLOGY FUND CLA	0.00	24.62	0.00	24.62	0.5	0.12
	ACCOUNT #: LSR011403	BUY 1 Units @0.0000						
01/04/2018	***-**-8973 - MIRABELL,	LARGECAP GROWTH - CLASS A	0.00	5.82	0.00	5.82	0.5	0.03
	ACCOUNT #: 00500433776	BUY 0 Units @0.0000						
01/22/2018	***-**-255I - GRAHAM, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.22	0.00	2.22	0.5	0.01
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
01/01/2018	***-**-4535 - RATHGABER,	MFS EMERGING GROWTH FUND CLASS A	0.00	6.99	0.00	6.99	0.5	0.03
	ACCOUNT #: 00060233161	BUY 0 Units @0.0000						
01/01/2018	***-**-6356 - FAIR, FRANCINE	MFS EMERGING GROWTH FUND CLASS A	0.00	12.63	0.00	12.63	0.5	0.06
	ACCOUNT #: 00060521693	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DENNIS CONNOR (continued)</u></b>								
01/01/2018	***-**-6356 - FAIR, FRANCINE	MFS GOVERNMENT SECURITIES FUND-A	0.00	3.29	0.00	3.29	0.5	0.02
	ACCOUNT #: 00060226584	BUY 0 Units @0.0000						
01/22/2018	***-**-6710 - EVA JAYNE	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	6.24	0.00	6.24	0.5	0.03
	ACCOUNT #: LSR011403	BUY 1 Units @0.0000						
01/22/2018	***-**-6710 - EVA JAYNE	MFS INTERNATIONAL VALUE FUND-A	0.00	7.74	0.00	7.74	0.5	0.04
	ACCOUNT #: LSR011403	BUY 1 Units @0.0000						
01/22/2018	***-**-2551 - GRAHAM, DEBORAH	MFS UTILITIES FUND-B	0.00	2.60	0.00	2.60	0.5	0.01
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
01/04/2018	***-**-8973 - MIRABELL,	MIDCAP GROWTH - CLASS A	0.00	7.66	0.00	7.66	0.5	0.04
	ACCOUNT #: 00500433776	BUY 0 Units @0.0000						
01/16/2018	***-**-101I - LEMOINE, STEVEN	NUVEEN LARGE CAP GROWTH FD CL A	0.00	4.36	0.00	4.36	0.5	0.02
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/16/2018	***-**-101I - LEMOINE, STEVEN	NUVEEN MID CAP GROWTH OPPORT CL A	0.00	3.31	0.00	3.31	0.5	0.02
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/16/2018	***-**-101I - LEMOINE, STEVEN	NUVEEN MID CAP VALUE CLASS A	0.00	4.23	0.00	4.23	0.5	0.02
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/16/2018	***-**-70IN - CUTILLO, JAMES	NUVEEN MID CAP VALUE CLASS A	0.00	1.98	0.00	1.98	0.5	0.01
	ACCOUNT #: LSR011647	BUY 1 Units @0.0000						
01/22/2018	***-**-2773 - DASARO, ANN	OAK RIDGE TRAILS	0.00	3.60	0.00	3.60	0.5	0.02
	ACCOUNT #: 4030008314	BUY 0 Units @0.0000						
01/22/2018	***-**-3919 - CASTELLANO,	OAK RIDGE TRAILS	0.00	1.09	0.00	1.09	0.5	0.01
	ACCOUNT #: 6207028619	BUY 0 Units @0.0000						
01/22/2018	***-**-3904 - DENNIS, CONNOR	OAK RIDGE TRAILS	0.00	1.37	0.00	1.37	0.5	0.01
	ACCOUNT #: 7514101049	BUY 0 Units @0.0000						
01/16/2018	***-**-101I - LEMOINE, STEVEN	PIONEER HIGH YIELD FUND A	0.00	11.43	0.00	11.43	0.5	0.06
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/22/2018	***-**-1810 - STEVEN A	PRINCIPAL INVESTOR HIGH YIELD II CL	0.00	2.38	0.00	2.38	0.5	0.01
	ACCOUNT #: LSR011388	BUY 1 Units @0.0000						
01/22/2018	***-**-233I - COWAN, VERONICA	PRINCIPAL INVESTORS WEST COAST EQTY	0.00	1.86	0.00	1.86	0.5	0.01
	ACCOUNT #: LSR011384	BUY 1 Units @0.0000						
01/22/2018	***-**-101I - LEMOINE, STEVEN	PRINCIPAL INVESTORS WEST COAST EQTY	0.00	1.97	0.00	1.97	0.5	0.01
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DENNIS CONNOR (continued) </u></b>								
01/16/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PRUDENTIAL FINANCIAL SERVICES CLASS BUY 1 Units @0.0000	0.00	1.09	0.00	1.09	0.5	0.01
01/16/2018	***-**-255I - GRAHAM, DEBORAH ACCOUNT #: LSR011397	PRUDENTIAL HIGH YIELD CLASS B BUY 1 Units @0.0000	0.00	1.16	0.00	1.16	0.5	0.01
01/16/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PRUDENTIAL JENNISON CONSERV GROWTH BUY 1 Units @0.0000	0.00	1.56	0.00	1.56	0.5	0.01
01/16/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PRUDENTIAL JENNISON EQUITY INCOME C BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	0.5	0.01
01/16/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PRUDENTIAL JENNISON EQUITY OPP CL A BUY 1 Units @0.0000	0.00	6.16	0.00	6.16	0.5	0.03
01/16/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	PRUDENTIAL JENNISON HEALTH SCIENCES BUY 1 Units @0.0000	0.00	3.48	0.00	3.48	0.5	0.02
01/16/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PRUDENTIAL JENNISON NATURAL RESOURC BUY 1 Units @0.0000	0.00	2.04	0.00	2.04	0.5	0.01
01/16/2018	***-**-255I - GRAHAM, DEBORAH ACCOUNT #: LSR011397	PRUDENTIAL JENNISON SELECT GROWTH C BUY 1 Units @0.0000	0.00	3.74	0.00	3.74	0.5	0.02
01/16/2018	***-**-1810 - STEVEN A ACCOUNT #: LSR011388	PRUDENTIAL JENNISON UTILITY CLASS A BUY 1 Units @0.0000	0.00	2.53	0.00	2.53	0.5	0.01
01/16/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PRUDENTIAL JENNISON UTILITY CLASS A BUY 1 Units @0.0000	0.00	1.31	0.00	1.31	0.5	0.01
01/22/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: 2808351534	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	14.61	0.00	14.61	0.5	0.07
01/02/2018	***-**-0552 - SAMSON, ACCOUNT #: 00551471419	PUTNAM DIVERSIFIED INCOME FUND CLAS BUY 0 Units @0.0000	0.00	1.97	0.00	1.97	0.5	0.01
01/02/2018	***-**-9706 - SAMSON, TAYLOR ACCOUNT #: 00551471420	PUTNAM DIVERSIFIED INCOME FUND CLAS BUY 0 Units @0.0000	0.00	2.06	0.00	2.06	0.5	0.01
01/02/2018	***-**-4572 - MELIN, LUCILLE ACCOUNT #: 00550824937	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	3.13	0.00	3.13	0.5	0.02
01/02/2018	***-**-0552 - SAMSON, ACCOUNT #: 00551471419	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	2.69	0.00	2.69	0.5	0.01
01/02/2018	***-**-9706 - SAMSON, TAYLOR ACCOUNT #: 00551471420	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	2.82	0.00	2.82	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DENNIS CONNOR (continued) </u></b>								
01/02/2018	***-**-7613 - ORTIZ, GERMAINE ACCOUNT #: 00020068138	PUTNAM GLOBAL EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	7.86	0.00	7.86	0.5	0.04
01/02/2018	***-**-7613 - ORTIZ, GERMAINE ACCOUNT #: 00020068138	PUTNAM GLOBAL HEALTH CARE FD-A BUY 0 Units @0.0000	0.00	24.34	0.00	24.34	0.5	0.12
01/02/2018	***-**-9241 - PITTS, MICHAEL ACCOUNT #: 00409890652	PUTNAM GLOBAL NAT RESOURCES-A BUY 0 Units @0.0000	0.00	1.34	0.00	1.34	0.5	0.01
01/02/2018	***-**-0860 - LIPSON, WILMA ACCOUNT #: 00550213527	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	14.08	0.00	14.08	0.5	0.07
01/02/2018	***-**-2819 - CASE, BERNARD ACCOUNT #: 00550827495	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	11.26	0.00	11.26	0.5	0.06
01/02/2018	***-**-3919 - CASTELLANO, ACCOUNT #: 00550840982	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	3.41	0.00	3.41	0.5	0.02
01/02/2018	***-**-5033 - KATZENBERGER, ACCOUNT #: 00488527828	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	1.33	0.00	1.33	0.5	0.01
01/02/2018	***-**-2819 - CASE, BERNARD ACCOUNT #: 00550827495	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	4.78	0.00	4.78	0.5	0.02
01/02/2018	***-**-9241 - PITTS, MICHAEL ACCOUNT #: 00409890652	PUTNAM MID-CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	2.61	0.00	2.61	0.5	0.01
01/02/2018	***-**-0860 - LIPSON, WILMA ACCOUNT #: 00550213527	PUTNAM MID-CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	10.89	0.00	10.89	0.5	0.05
01/02/2018	***-**-4572 - MELIN, LUCILLE ACCOUNT #: 00550824937	PUTNAM MID-CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	10.48	0.00	10.48	0.5	0.05
01/02/2018	***-**-2819 - CASE, BERNARD ACCOUNT #: 00550827495	PUTNAM MID-CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	5.12	0.00	5.12	0.5	0.03
01/02/2018	***-**-0552 - SAMSON, ACCOUNT #: 00551471419	PUTNAM MID-CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	3.44	0.00	3.44	0.5	0.02
01/02/2018	***-**-9706 - SAMSON, TAYLOR ACCOUNT #: 00551471420	PUTNAM MID-CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	3.61	0.00	3.61	0.5	0.02
01/02/2018	***-**-2819 - CASE, BERNARD ACCOUNT #: 00550827495	PUTNAM MID-CAP VALUE FUND-M BUY 0 Units @0.0000	0.00	18.65	0.00	18.65	0.5	0.09
01/02/2018	***-**-5033 - KATZENBERGER, ACCOUNT #: 00488527828	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 0 Units @0.0000	0.00	5.63	0.00	5.63	0.5	0.03



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DENNIS CONNOR (continued)</u></b>								
01/02/2018	***-**-4572 - MELIN, LUCILLE ACCOUNT #: 00550824937	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 0 Units @0.0000	0.00	3.48	0.00	3.48	0.5	0.02
01/02/2018	***-**-2819 - CASE, BERNARD ACCOUNT #: 00550827495	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 0 Units @0.0000	0.00	18.05	0.00	18.05	0.5	0.09
01/02/2018	***-**-0552 - SAMSON, ACCOUNT #: 00551471419	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 0 Units @0.0000	0.00	3.01	0.00	3.01	0.5	0.02
01/02/2018	***-**-9706 - SAMSON, TAYLOR ACCOUNT #: 00551471420	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 0 Units @0.0000	0.00	3.16	0.00	3.16	0.5	0.02
01/02/2018	***-**-4303 - OSBORNE, ACCOUNT #: 00509707071	PUTNAM SMALL CAP GROWTH FUND CLASS BUY 0 Units @0.0000	0.00	1.85	0.00	1.85	0.5	0.01
01/02/2018	***-**-0552 - SAMSON, ACCOUNT #: 00551471419	PUTNAM SMALL CAP GROWTH FUND CLASS BUY 0 Units @0.0000	0.00	2.76	0.00	2.76	0.5	0.01
01/02/2018	***-**-9706 - SAMSON, TAYLOR ACCOUNT #: 00551471420	PUTNAM SMALL CAP GROWTH FUND CLASS BUY 0 Units @0.0000	0.00	2.90	0.00	2.90	0.5	0.01
01/02/2018	***-**-5033 - KATZENBERGER, ACCOUNT #: 00488527828	PUTNAM SMALL CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	6.45	0.00	6.45	0.5	0.03
01/02/2018	***-**-4303 - OSBORNE, ACCOUNT #: 00509707071	PUTNAM SMALL CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	8.45	0.00	8.45	0.5	0.04
01/02/2018	***-**-4572 - MELIN, LUCILLE ACCOUNT #: 00550824937	PUTNAM SMALL CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	8.61	0.00	8.61	0.5	0.04
01/02/2018	***-**-2819 - CASE, BERNARD ACCOUNT #: 00550827495	PUTNAM SMALL CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	15.81	0.00	15.81	0.5	0.08
01/22/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011331	RMB MENDON FNANCIAL CUSIP 74968B407 BUY 1 Units @0.0000	0.00	6.43	0.00	6.43	0.5	0.03
01/16/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	RYDEX MID CAP GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	5.72	0.00	5.72	0.5	0.03
01/22/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	6.06	0.00	6.06	0.5	0.03
01/22/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.53	0.00	1.53	0.5	0.01
01/22/2018	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.14	0.00	1.14	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DENNIS CONNOR (continued)</u></b>								
01/22/2018	***-**-572I - MELIN, LUCILLE	THE NEW ECONOMY FUND-A	0.00	2.97	0.00	2.97	0.5	0.01
	ACCOUNT #: LSR011508	BUY 1 Units @0.0000						
01/22/2018	***-**-101I - LEMOINE, STEVEN	VIRTUS EMERGING MKT OPPORTUNITIES	0.00	2.77	0.00	2.77	0.5	0.01
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/16/2018	***-**-671O - EVA JAYNE	WELLS FARGO MUNI BOND FUND CL A	0.00	37.84	0.00	37.84	0.5	0.19
	ACCOUNT #: LSR011403	BUY 1 Units @0.0000						
		<b>* DENNIS CONNOR SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$1,253.37</b>	<b>\$0.00</b>	<b>\$1,253.37</b>		<b>\$6.31</b>
<b><u>BROKER: WILLIAM FRANCIS</u></b>								
01/08/2018	***-**-3023 - DEWEY, STEVEN	AIG STRATEGIC BOND FUND CLASS A	0.00	2.71	0.00	2.71	0.5	0.01
	ACCOUNT #: 00009247327	BUY 0 Units @0.0000						
01/08/2018	***-**-0485 - WHALEN, STEPHEN	ALLIANCE BERNSTEIN LARGE-CAP GROWTH	0.00	4.65	0.00	4.65	0.5	0.02
	ACCOUNT #: 04752066331	BUY 0 Units @0.0000						
01/08/2018	***-**-751R - BORYK, CHRISTINA	ALLIANCEBERNSTEIN EMERG MKT DEBT A	0.00	37.62	0.00	37.62	0.5	0.19
	ACCOUNT #: 04892912375	BUY 0 Units @0.0000						
01/08/2018	***-**-1389 - CUSSICK, STACI	ALLIANCEBERNSTEIN EMERG MKT DEBT A	0.00	39.30	0.00	39.30	0.5	0.20
	ACCOUNT #: 04892917193	BUY 0 Units @0.0000						
01/08/2018	***-**-751R - BORYK, CHRISTINA	ALLIANCEBERNSTEIN GLO GOVT INC TRST	0.00	49.09	0.00	49.09	0.5	0.25
	ACCOUNT #: 04892912375	BUY 0 Units @0.0000						
01/08/2018	***-**-0485 - WHALEN, STEPHEN	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	0.00	6.99	0.00	6.99	0.5	0.03
	ACCOUNT #: 04752066331	BUY 0 Units @0.0000						
01/08/2018	***-**-751R - BORYK, CHRISTINA	ALLIANCEBERNSTEIN UTILITY INCOME A	0.00	42.51	0.00	42.51	0.5	0.21
	ACCOUNT #: 04892912375	BUY 0 Units @0.0000						
01/08/2018	***-**-1389 - CUSSICK, STACI	ALLIANCEBERNSTEIN UTILITY INCOME A	0.00	6.23	0.00	6.23	0.5	0.03
	ACCOUNT #: 04892917193	BUY 0 Units @0.0000						
01/16/2018	***-**-3569 - ANDERSON,	AMCAP FUND-A	90.00	2.48	0.00	2.48	0.5	0.01
	ACCOUNT #: 00088334300	BUY 3 Units @34.1100						
01/22/2018	***-**-531O - GIL, OMER	AMCAP FUND-A	0.00	1.24	0.00	1.24	0.5	0.01
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
01/22/2018	***-**-47FB - TORRES, SHARI	AMCAP FUND-A	0.00	11.93	0.00	11.93	0.5	0.06
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
01/22/2018	***-**-091I - GLASSFORD,	AMERICAN BALANCED FUND-A	0.00	2.15	0.00	2.15	0.5	0.01
	ACCOUNT #: LSR011526	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: WILLIAM FRANCIS (continued)</u> </b>								
01/22/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	14.99	0.00	14.99	0.5	0.07
01/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 43 Units @15.7800	675.01	18.56	0.00	18.56	0.5	0.09
01/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 34 Units @19.6700	675.00	18.56	0.00	18.56	0.5	0.09
01/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS GRWTH & INCOME PORT BUY 69 Units @16.2400	1,124.99	30.93	0.00	30.93	0.5	0.15
01/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS T/E PRESERVATION POR BUY 90 Units @9.9800	900.00	18.00	0.00	18.00	0.5	0.09
01/22/2018	***-**-943J - DAILY, MATTHEW ACCOUNT #: LSR011610	AMERICAN FUNDS T/E PRESERVATION POR BUY 1 Units @0.0000	0.00	1.21	0.00	1.21	0.5	0.01
01/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 78 Units @14.3500	1,125.00	30.93	0.00	30.93	0.5	0.15
01/22/2018	***-**-976J - KILLIAN, JAMES ACCOUNT #: LSR011547	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 1 Units @0.0000	0.00	1.25	0.00	1.25	0.5	0.01
01/22/2018	***-**-091I - GLASSFORD, ACCOUNT #: LSR011526	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	1.77	0.00	1.77	0.5	0.01
01/22/2018	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	53.88	0.00	53.88	0.5	0.27
01/22/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	3.51	0.00	3.51	0.5	0.02
01/16/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	AMERICAN MUTUAL FUND-A BUY 1 Units @43.4800	50.00	1.38	0.00	1.38	0.5	0.01
01/22/2018	***-**-53IO - GIL, OMER ACCOUNT #: LSR011536	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	0.5	0.01
01/22/2018	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	52.67	0.00	52.67	0.5	0.26
01/22/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	15.44	0.00	15.44	0.5	0.08
01/10/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT 131582454 BUY 0 Units @0.0000	0.00	5.32	0.00	5.32	0.5	0.03





# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: WILLIAM FRANCIS (continued)</u></b>								
01/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT 131582454 BUY 286 Units @28.1400	8,041.01	100.51	0.00	100.51	0.5	0.50
01/10/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00007223602	CALVERT 131618704 BUY 0 Units @0.0000	0.00	12.42	0.00	12.42	0.5	0.06
01/10/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00007223602	CALVERT 13161P805 BUY 0 Units @0.0000	0.00	5.08	0.00	5.08	0.5	0.03
01/10/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT 13161T104 BUY 0 Units @0.0000	0.00	1.09	0.00	1.09	0.5	0.01
01/10/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00007223602	CALVERT 131649402 BUY 0 Units @0.0000	0.00	5.82	0.00	5.82	0.5	0.03
01/10/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00007223602	CALVERT 131649865 BUY 0 Units @0.0000	0.00	3.81	0.00	3.81	0.5	0.02
01/10/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT 131649881 BUY 0 Units @0.0000	0.00	3.87	0.00	3.87	0.5	0.02
01/10/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT CAPITAL ACCUMULATION - A BUY 0 Units @0.0000	0.00	3.54	0.00	3.54	0.5	0.02
01/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT INTRNTL RESPBL INDEX A-NFS BUY 969 Units @24.9100	24,132.01	283.65	0.00	283.65	0.5	1.42
01/10/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT LONG-TERM INCOME FUND BUY 0 Units @0.0000	0.00	6.59	0.00	6.59	0.5	0.03
01/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT LONG-TERM INCOME FUND BUY 919 Units @17.5000	16,086.00	183.07	0.00	183.07	0.5	0.92
01/10/2018	***-**-0162 - LANGTON, ACCOUNT #: 00007226027	CALVERT MODERATE ALLOCATION FUND-A BUY 0 Units @0.0000	0.00	3.16	0.00	3.16	0.5	0.02
01/10/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT SMALL CAP VALUE FUND BUY 0 Units @0.0000	0.00	6.52	0.00	6.52	0.5	0.03
01/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SMALL CAP VALUE FUND BUY 645 Units @24.9400	16,086.00	183.07	0.00	183.07	0.5	0.92
01/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INDEX A BUY 682 Units @23.5800	16,087.01	183.08	0.00	183.08	0.5	0.92
01/10/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 0 Units @0.0000	0.00	4.46	0.00	4.46	0.5	0.02



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: WILLIAM FRANCIS (continued) </u></b>								
01/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 880 Units @45.7100	40,221.01	484.76	0.00	484.76	0.5	2.42
01/10/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT SOCIAL INVESTMENT FUND BOND BUY 0 Units @0.0000	0.00	3.01	0.00	3.01	0.5	0.02
01/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INVESTMENT FUND BOND BUY 2457 Units @16.3700	40,221.01	484.76	0.00	484.76	0.5	2.42
01/22/2018	***-**-032I - JOHNSON, DARLENE ACCOUNT #: LSR011358	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.60	0.00	2.60	0.5	0.01
01/22/2018	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	49.91	0.00	49.91	0.5	0.25
01/22/2018	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	55.12	0.00	55.12	0.5	0.28
01/18/2018	***-**-NNFP - OCONNOR, BARBARA	FINANCIAL PLAN BUY 0 Units @0.0000	0.00	250.00	0.00	250.00	0.5	1.25
01/19/2018	***-**-23FB - DEWEY, STEVEN	FINANCIAL PLAN BUY 0 Units @0.0000	0.00	300.00	0.00	300.00	0.5	1.50
01/16/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	FUNDAMENTAL INVESTORS-A BUY 1 Units @67.1700	50.00	1.38	0.00	1.38	0.5	0.01
01/22/2018	***-**-91IR - GLASSFORD, ACCOUNT #: LSR012316	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.56	0.00	1.56	0.5	0.01
01/02/2018	***-**-4618 - BROWN, ZACHARY ACCOUNT #: 00055720031	MAINSTAY MOD GROWTH ALLOC INVESTOR BUY 6 Units @16.6900	100.00	4.75	0.00	4.75	0.5	0.02
01/19/2018	***-**-775P - RYAN, MARY ACCOUNT #: 77321355401	NYA JPM 529 COLLEGE AGE-BASED P A BUY 0 Units @0.0000	250.00	10.13	0.00	10.13	0.5	0.05
01/02/2018	***-**-9351 - AGUIAR, JOSEPH ACCOUNT #: 00551352400	PUTNAM ABSOLUTE RETURN 700-A BUY 0 Units @0.0000	0.00	19.40	0.00	19.40	0.5	0.10
01/02/2018	***-**-1507 - WHALEN, BONNIE ACCOUNT #: 00551390576	PUTNAM AMERICAN GOVERNMENT INCOME F BUY 0 Units @0.0000	0.00	1.76	0.00	1.76	0.5	0.01
01/02/2018	***-**-5922 - HOTAILING, ACCOUNT #: 00186955359	PUTNAM CAP. OPPORTUNITIES-A BUY 0 Units @0.0000	0.00	10.75	0.00	10.75	0.5	0.05
01/02/2018	***-**-1507 - WHALEN, BONNIE ACCOUNT #: 00551390576	PUTNAM DIVERSIFIED INCOME FUND CLAS BUY 0 Units @0.0000	0.00	3.46	0.00	3.46	0.5	0.02



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: WILLIAM FRANCIS (continued) </u></b>								
01/02/2018	***-**-5922 - HOTALING, ACCOUNT #: 00186955359	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	32.32	0.00	32.32	0.5	0.16
01/02/2018	***-**-8786 - RUBIN, SCOTT ACCOUNT #: 00551359332	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	192.30	0.00	192.30	0.5	0.96
01/02/2018	***-**-1507 - WHALEN, BONNIE ACCOUNT #: 00551390576	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	3.25	0.00	3.25	0.5	0.02
01/02/2018	***-**-5922 - HOTALING, ACCOUNT #: 00186955359	PUTNAM HIGH YIELD ADVANTAGE-A BUY 0 Units @0.0000	0.00	28.81	0.00	28.81	0.5	0.14
01/02/2018	***-**-1507 - WHALEN, BONNIE ACCOUNT #: 00551390576	PUTNAM HIGH YIELD ADVANTAGE-A BUY 0 Units @0.0000	0.00	2.23	0.00	2.23	0.5	0.01
01/02/2018	***-**-5922 - HOTALING, ACCOUNT #: 00186955359	PUTNAM INTERNATIONAL EQUITY-A BUY 0 Units @0.0000	0.00	12.41	0.00	12.41	0.5	0.06
01/02/2018	***-**-1507 - WHALEN, BONNIE ACCOUNT #: 00551390576	PUTNAM INTL GROWTH & INC-A BUY 0 Units @0.0000	0.00	2.15	0.00	2.15	0.5	0.01
01/02/2018	***-**-5922 - HOTALING, ACCOUNT #: 00186955359	PUTNAM MID-CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	11.84	0.00	11.84	0.5	0.06
01/02/2018	***-**-1507 - WHALEN, BONNIE ACCOUNT #: 00551390576	PUTNAM MID-CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	3.20	0.00	3.20	0.5	0.02
01/02/2018	***-**-8786 - RUBIN, SCOTT ACCOUNT #: 00551359332	PUTNAM SMALL CAP GROWTH FUND CLASS BUY 0 Units @0.0000	0.00	179.57	0.00	179.57	0.5	0.90
01/08/2018	***-**-3023 - DEWEY, STEVEN ACCOUNT #: 00009247327	SUNAMERICA FOCUSED ALPHA GROWTH CL BUY 0 Units @0.0000	0.00	5.22	0.00	5.22	0.5	0.03
01/16/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	THE BOND FUND OF AMERICA-A BUY 6 Units @13.3000	75.00	2.06	0.00	2.06	0.5	0.01
01/22/2018	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	20.19	0.00	20.19	0.5	0.10
01/22/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	9.65	0.00	9.65	0.5	0.05
01/22/2018	***-**-91IR - GLASSFORD, ACCOUNT #: LSR012316	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.84	0.00	1.84	0.5	0.01
01/16/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.8200	70.00	1.93	0.00	1.93	0.5	0.01



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: WILLIAM FRANCIS (continued) </u></b>								
01/22/2018	***-**-47FB - TORRES, SHARI	THE GROWTH FUND OF AMERICA-A	0.00	8.23	0.00	8.23	0.5	0.04
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
01/16/2018	***-**-3569 - ANDERSON,	THE INCOME FUND OF AMERICA-A	50.00	1.38	0.00	1.38	0.5	0.01
	ACCOUNT #: 00088334300	BUY 2 Units @24.6900						
01/22/2018	***-**-032I - JOHNSON, DARLENE	THE INCOME FUND OF AMERICA-A	0.00	2.88	0.00	2.88	0.5	0.01
	ACCOUNT #: LSR011358	BUY 1 Units @0.0000						
01/22/2018	***-**-55IO - KOMAR, EDWARD	THE INCOME FUND OF AMERICA-A	0.00	28.42	0.00	28.42	0.5	0.14
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
01/22/2018	***-**-091I - GLASSFORD,	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.52	0.00	2.52	0.5	0.01
	ACCOUNT #: LSR011526	BUY 1 Units @0.0000						
01/16/2018	***-**-3569 - ANDERSON,	THE NEW ECONOMY FUND-A	65.00	1.79	0.00	1.79	0.5	0.01
	ACCOUNT #: 00088334300	BUY 1 Units @48.4000						
01/18/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	252.36	4.04	0.00	4.04	0.5	0.02
	ACCOUNT #: 01293376129	BUY 5 Units @48.6300						
01/22/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	12.10	0.00	12.10	0.5	0.06
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
01/10/2018	***-**-7748 - MCCANNA, GLORIA	WORLD VALUES INTERNATIONAL EQUITY -	0.00	11.99	0.00	11.99	0.5	0.06
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
<b> * WILLIAM FRANCIS SUBTOTAL * </b>			<b>\$166,426.41</b>	<b>\$3,731.73</b>	<b>\$0.00</b>	<b>\$3,731.73</b>		<b>\$18.68</b>
<b><u> BROKER: LOUIS HORWIN </u></b>								
01/16/2018	***-**-03TR - STANTON	FIAT CHRYSLER AUTOMOBILES NV EUR0.0	2,280.10	52.00	0.00	52.00	0.5	0.26
	ACCOUNT #: LSR011523	SELL 100 Units @22.8010						
01/16/2018	***-**-03TR - STANTON	FIAT CHRYSLER AUTOMOBILES NV EUR0.0	2,280.00	23.60	0.00	23.60	0.5	0.12
	ACCOUNT #: LSR011523	SELL 100 Units @22.8000						
01/16/2018	***-**-03TR - STANTON	VIRTUS GLOBAL MULTI-SECTOR INCM FD	4,709.40	65.92	0.00	65.92	0.5	0.33
	ACCOUNT #: LSR011523	BUY 283 Units @16.6410						
01/22/2018	***-**-722I - PARADY, JESSICA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.48	0.00	1.48	0.5	0.01
	ACCOUNT #: LSR011596	BUY 1 Units @0.0000						
<b> * LOUIS HORWIN SUBTOTAL * </b>			<b>\$9,269.50</b>	<b>\$143.00</b>	<b>\$0.00</b>	<b>\$143.00</b>		<b>\$0.72</b>



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DEVIN BORN</u></b>								
01/01/2018	***-**-0047 - ENTZMINGER, JO	AIM HIGH YIELD FUND - CLASS A	0.00	15.02	0.00	15.02	0.5	0.08
	ACCOUNT #: 03810913768	BUY 0 Units @0.0000						
01/22/2018	***-**-90IO - LUBAS, AMY	ALLIANCEBERNSTEIN EMERG MKT DEBT A	0.00	13.51	0.00	13.51	0.5	0.07
	ACCOUNT #: LSR011748	BUY 1 Units @0.0000						
01/22/2018	***-**-36IO - CEGANICK,	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	5.12	0.00	5.12	0.5	0.03
	ACCOUNT #: LSM000176	BUY 1 Units @0.0000						
01/16/2018	***-**-14IN - WHITE, DEBORAH	BOND A	0.00	22.09	0.00	22.09	0.5	0.11
	ACCOUNT #: LSM000141	BUY 1 Units @0.0000						
01/16/2018	***-**-087J - BORN, LAUREN	BOND A	0.00	14.77	0.00	14.77	0.5	0.07
	ACCOUNT #: LSM000172	BUY 1 Units @0.0000						
01/16/2018	***-**-05IR - BORN, SHAWN	CALVERT SOCIAL INVESTMENT FD EQUITY	0.00	2.21	0.00	2.21	0.5	0.01
	ACCOUNT #: LSM000165	BUY 1 Units @0.0000						
01/16/2018	***-**-05IR - BORN, SHAWN	DAVIS OPPORTUNITY CLASS A	0.00	4.79	0.00	4.79	0.5	0.02
	ACCOUNT #: LSM000165	BUY 1 Units @0.0000						
01/22/2018	***-**-72IO - BUSHBY, JANET	DREYFUS GLOBAL-NFS	0.00	3.11	0.00	3.11	0.5	0.02
	ACCOUNT #: LSR011729	BUY 1 Units @0.0000						
01/02/2018	***-**-1668 - BONNER, STEPHEN	FA 529 PORT 2019-OLD CL A	50.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00700557085	BUY 2 Units @21.2200						
01/08/2018	***-**-03IN - COLLINS, CHRISTY	FA 529 PORT 2019-OLD CL A	0.00	5.89	0.00	5.89	0.5	0.03
	ACCOUNT #: 00700912066	BUY 0 Units @0.0000						
01/08/2018	***-**-1668 - BONNER, STEPHEN	FA 529 PORT 2019-OLD CL A	0.00	10.49	0.00	10.49	0.5	0.05
	ACCOUNT #: 00700557085	BUY 0 Units @0.0000						
01/02/2018	***-**-68IN - ESHBACH, ERIC	FA 529 PORT 2022-CL A	100.00	5.00	0.00	5.00	0.5	0.03
	ACCOUNT #: 00701661217	BUY 3 Units @28.5900						
01/02/2018	***-**-35IR - SPERRATORE, ROSE	FA 529 PORT 2022-CL A	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 00689597003	BUY 2 Units @28.2200						
01/08/2018	***-**-68IN - ESHBACH, ERIC	FA 529 PORT 2022-CL A	0.00	3.11	0.00	3.11	0.5	0.02
	ACCOUNT #: 00701661217	BUY 0 Units @0.0000						
01/08/2018	***-**-35IR - SPERRATORE, ROSE	FA 529 PORT 2022-CL A	0.00	5.07	0.00	5.07	0.5	0.03
	ACCOUNT #: 00689597003	BUY 0 Units @0.0000						
01/08/2018	***-**-975P - SCANTZOS,	FA 529 PORT 2022-CL A	0.00	2.29	0.00	2.29	0.5	0.01
	ACCOUNT #: 00700225170	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DEVIN BORN (continued) </u></b>								
01/08/2018	***-**-975P - SCANTZOS, ACCOUNT #: 00701649865	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	3.75	0.00	3.75	0.5	0.02
01/08/2018	***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499630	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	1.35	0.00	1.35	0.5	0.01
01/08/2018	***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499655	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	1.35	0.00	1.35	0.5	0.01
01/08/2018	***-**-975P - SCANTZOS, ACCOUNT #: 00704894625	FA 529 PORT 2025-CL A BUY 0 Units @0.0000	0.00	2.18	0.00	2.18	0.5	0.01
01/02/2018	***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 00625390331	FA 529 PORT 2028-CL A BUY 2 Units @29.2500	50.00	1.88	0.00	1.88	0.5	0.01
01/08/2018	***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 00625390331	FA 529 PORT 2028-CL A BUY 0 Units @0.0000	0.00	3.93	0.00	3.93	0.5	0.02
01/08/2018	***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 01464625126	FA 529 PORT 2028-CL A BUY 0 Units @0.0000	0.00	1.30	0.00	1.30	0.5	0.01
01/08/2018	***-**-2330 - BRYNIARSKI, ACCOUNT #: 01427341720	FIDELITY ADV FREEDOM 2035-CL A BUY 0 Units @0.0000	0.00	2.86	0.00	2.86	0.5	0.01
01/08/2018	***-**-9418 - ESHBACH, SUSAN ACCOUNT #: 01422030047	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	2.68	0.00	2.68	0.5	0.01
01/08/2018	***-**-9816 - ESHBACH, AUDREY ACCOUNT #: 00537147738	FIDELITY ADV HEALTH CARE-CL A BUY 0 Units @0.0000	0.00	7.13	0.00	7.13	0.5	0.04
01/02/2018	***-**-482J - SCHWEMMER, JOHN ACCOUNT #: 00700258619	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 17 Units @11.8900	200.00	7.50	0.00	7.50	0.5	0.04
01/08/2018	***-**-0610 - SWEIGART, SUE ACCOUNT #: 00527640569	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	6.92	0.00	6.92	0.5	0.03
01/08/2018	***-**-482J - SCHWEMMER, JOHN ACCOUNT #: 00700258619	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	7.43	0.00	7.43	0.5	0.04
01/08/2018	***-**-06IR - YAKAITIS, JAMES ACCOUNT #: 00700935596	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	4.33	0.00	4.33	0.5	0.02
01/08/2018	***-**-72IN - BOYER, SUSAN ACCOUNT #: 00704025758	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	2.04	0.00	2.04	0.5	0.01
01/08/2018	***-**-1271 - BREIDENSTEIN, ACCOUNT #: 01483191639	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	7.85	0.00	7.85	0.5	0.04



# COMMISSION STATEMENT

January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DEVIN BORN (continued) </u></b>								
01/22/2018	***-**-17IO - KILLIAN, FRANCES	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	44.97	0.00	44.97	0.5	0.22
	ACCOUNT #: LSM000170	BUY 1 Units @0.0000						
01/22/2018	***-**-087J - BORN, LAUREN	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	2.66	0.00	2.66	0.5	0.01
	ACCOUNT #: LSM000172	BUY 1 Units @0.0000						
01/22/2018	***-**-915I - FIDLER, JOYCE	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	15.46	0.00	15.46	0.5	0.08
	ACCOUNT #: LSM000191	BUY 1 Units @0.0000						
01/22/2018	***-**-72IO - BOYER, SUSAN	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	7.30	0.00	7.30	0.5	0.04
	ACCOUNT #: LSR011696	BUY 1 Units @0.0000						
01/08/2018	***-**-9358 - RAMBO, JOHN	FIDELITY ADV HIGH INCOME-CL A	0.00	13.38	0.00	13.38	0.5	0.07
	ACCOUNT #: 00537052706	BUY 0 Units @0.0000						
01/08/2018	***-**-5475 - ZIMMERMAN,	FIDELITY ADV HIGH INCOME-CL A	0.00	6.06	0.00	6.06	0.5	0.03
	ACCOUNT #: 00704561026	BUY 0 Units @0.0000						
01/22/2018	***-**-57IN - NAUGLE, DENNIS	FIDELITY ADV HIGH INCOME-CL A	0.00	9.13	0.00	9.13	0.5	0.05
	ACCOUNT #: LSM000136	BUY 1 Units @0.0000						
01/22/2018	***-**-34IO - KAUFFMAN, GARY	FIDELITY ADV HIGH INCOME-CL A	0.00	7.25	0.00	7.25	0.5	0.04
	ACCOUNT #: LSM000145	BUY 1 Units @0.0000						
01/22/2018	***-**-17IO - KILLIAN, FRANCES	FIDELITY ADV HIGH INCOME-CL A	0.00	1.52	0.00	1.52	0.5	0.01
	ACCOUNT #: LSM000170	BUY 1 Units @0.0000						
01/22/2018	***-**-915I - FIDLER, JOYCE	FIDELITY ADV HIGH INCOME-CL A	0.00	13.00	0.00	13.00	0.5	0.07
	ACCOUNT #: LSM000191	BUY 1 Units @0.0000						
01/22/2018	***-**-274I - EDRIS, DEBRA	FIDELITY ADV HIGH INCOME-CL A	0.00	9.83	0.00	9.83	0.5	0.05
	ACCOUNT #: LSR011699	BUY 1 Units @0.0000						
01/22/2018	***-**-35IO - CAMERON, NANCY	FIDELITY ADV HIGH INCOME-CL A	0.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: LSR011782	BUY 1 Units @0.0000						
01/22/2018	***-**-33IO - GARGIULO, PAUL	FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	1.18	0.00	1.18	0.5	0.01
	ACCOUNT #: LSR011694	BUY 1 Units @0.0000						
01/22/2018	***-**-72IO - BOYER, SUSAN	FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	4.69	0.00	4.69	0.5	0.02
	ACCOUNT #: LSR011696	BUY 1 Units @0.0000						
01/22/2018	***-**-33IN - SCHWEMMER, JOHN	FIDELITY ADV INVESTMENT GRADE BOND-	0.00	8.12	0.00	8.12	0.5	0.04
	ACCOUNT #: LSR012199	BUY 1 Units @0.0000						
01/08/2018	***-**-5527 - BONNER, BETTINA	FIDELITY ADV LARGE CAP VALUE-CL A	0.00	1.57	0.00	1.57	0.5	0.01
	ACCOUNT #: 01487530055	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DEVIN BORN (continued) </u></b>								
01/22/2018	***-**-17IO - KILLIAN, FRANCES	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	6.56	0.00	6.56	0.5	0.03
	ACCOUNT #: LSM000170	BUY 1 Units @0.0000						
01/02/2018	***-**-35IR - SPERRATORE, ROSE	FIDELITY ADV MF 040813	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 01464625126	BUY 2 Units @21.1600						
01/08/2018	***-**-35IR - SPERRATORE, ROSE	FIDELITY ADV MF 040813	0.00	1.84	0.00	1.84	0.5	0.01
	ACCOUNT #: 01464625126	BUY 0 Units @0.0000						
01/08/2018	***-**-9290 - BRYNIARSKI,	FIDELITY ADV MID CAP II-CL A	0.00	6.00	0.00	6.00	0.5	0.03
	ACCOUNT #: 00701194474	BUY 0 Units @0.0000						
01/22/2018	***-**-38IO - SCHWEMMER,	FIDELITY ADV NEW INSIGHTS-CL A	0.00	7.07	0.00	7.07	0.5	0.04
	ACCOUNT #: LSR011612	BUY 1 Units @0.0000						
01/22/2018	***-**-38IO - SCHWEMMER, MARY	FIDELITY ADV NEW INSIGHTS-CL A	0.00	5.97	0.00	5.97	0.5	0.03
	ACCOUNT #: LSR011798	BUY 1 Units @0.0000						
01/08/2018	***-**-4794 - BARRETT, JAMES	FIDELITY ADV TOTAL BOND-CL A	0.00	8.88	0.00	8.88	0.5	0.04
	ACCOUNT #: 00700238868	BUY 0 Units @0.0000						
01/22/2018	***-**-17IO - KILLIAN, FRANCES	FIDELITY ADV VALUE LEADERS-CL A	0.00	7.19	0.00	7.19	0.5	0.04
	ACCOUNT #: LSM000170	BUY 1 Units @0.0000						
01/22/2018	***-**-87IR - BORN, LAUREN	FIDELITY ADV WORLDWIDE-CL A	0.00	1.42	0.00	1.42	0.5	0.01
	ACCOUNT #: LSM000173	BUY 1 Units @0.0000						
01/22/2018	***-**-56IO - TOWER, PAUL	FIDELITY ADVISOR GOVERNMENT INCOME	0.00	3.44	0.00	3.44	0.5	0.02
	ACCOUNT #: LSM000144	BUY 1 Units @0.0000						
01/22/2018	***-**-4593 - TOWER, GERTRUDE	FIDELITY ADVISOR GOVERNMENT INCOME	0.00	7.38	0.00	7.38	0.5	0.04
	ACCOUNT #: LSM000148	BUY 1 Units @0.0000						
01/22/2018	***-**-596I - EDRIS, TERRY	FIDELITY ADVISOR HIGH INCOME	0.00	13.72	0.00	13.72	0.5	0.07
	ACCOUNT #: LSR011700	BUY 1 Units @0.0000						
01/22/2018	***-**-38IO - SCHWEMMER, MARY	FIDELITY ADVISOR LATIN AMERICA CL A	0.00	1.70	0.00	1.70	0.5	0.01
	ACCOUNT #: LSR011798	BUY 1 Units @0.0000						
01/08/2018	***-**-1668 - BONNER, STEPHEN	FIDELITY ADVISOR MID CAP STOCK CL A	0.00	6.91	0.00	6.91	0.5	0.03
	ACCOUNT #: 00700557093	BUY 0 Units @0.0000						
01/08/2018	***-**-2330 - BRYNIARSKI,	FIDELITY ADVISOR SMALL-CAP GROWTH F	0.00	1.73	0.00	1.73	0.5	0.01
	ACCOUNT #: 00701194482	BUY 0 Units @0.0000						
01/08/2018	***-**-9816 - ESHBACH, AUDREY	FIDELITY ADVISORS TRAILS	0.00	5.07	0.00	5.07	0.5	0.03
	ACCOUNT #: 00537147738	BUY 0 Units @0.0000						





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DEVIN BORN (continued) </u></b>								
01/08/2018	***-**-0349 - BREIDENSTEIN, ACCOUNT #: 03900189228	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 0 Units @0.0000	0.00	1.55	0.00	1.55	0.5	0.01
01/08/2018	***-**-1271 - BREIDENSTEIN, ACCOUNT #: 01483191639	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 0 Units @0.0000	0.00	3.43	0.00	3.43	0.5	0.02
01/16/2018	***-**-087J - BORN, LAUREN ACCOUNT #: LSM000172	FINANCIAL INDUSTRIES A BUY 1 Units @0.0000	0.00	1.64	0.00	1.64	0.5	0.01
01/16/2018	***-**-087J - BORN, LAUREN ACCOUNT #: LSM000172	GREATER CHINA OPP A BUY 1 Units @0.0000	0.00	2.04	0.00	2.04	0.5	0.01
01/02/2018	***-**-529J - KILLIAN, FRANCES ACCOUNT #: 00000772646	HARTFORD SM/MID CAP EQ & PORT MANAG BUY 0 Units @0.0000	0.00	2.38	0.00	2.38	0.5	0.01
01/16/2018	***-**-57IN - NAUGLE, DENNIS ACCOUNT #: LSM000136	HIGH YIELD A BUY 1 Units @0.0000	0.00	11.07	0.00	11.07	0.5	0.06
01/16/2018	***-**-014I - WHITE, DEBORAH ACCOUNT #: LSM000140	HIGH YIELD A BUY 1 Units @0.0000	0.00	20.83	0.00	20.83	0.5	0.10
01/16/2018	***-**-068I - SHOLLENBERGER, ACCOUNT #: LSM000143	HIGH YIELD A BUY 1 Units @0.0000	0.00	16.64	0.00	16.64	0.5	0.08
01/16/2018	***-**-28IO - KAUFFMAN, ACCOUNT #: LSM000146	HIGH YIELD A BUY 1 Units @0.0000	0.00	21.03	0.00	21.03	0.5	0.11
01/16/2018	***-**-86IN - PATTON, JULIE ACCOUNT #: LSM000147	HIGH YIELD A BUY 1 Units @0.0000	0.00	15.41	0.00	15.41	0.5	0.08
01/16/2018	***-**-4593 - TOWER, GERTRUDE ACCOUNT #: LSM000148	HIGH YIELD A BUY 1 Units @0.0000	0.00	4.57	0.00	4.57	0.5	0.02
01/16/2018	***-**-393I - HIMMELBERGER, ACCOUNT #: LSM000154	HIGH YIELD A BUY 1 Units @0.0000	0.00	19.36	0.00	19.36	0.5	0.10
01/16/2018	***-**-715I - HIMMELBERGER, ACCOUNT #: LSM000155	HIGH YIELD A BUY 1 Units @0.0000	0.00	12.20	0.00	12.20	0.5	0.06
01/16/2018	***-**-55IO - HARTMAN, RICHARD ACCOUNT #: LSM000169	HIGH YIELD A BUY 1 Units @0.0000	0.00	12.44	0.00	12.44	0.5	0.06
01/16/2018	***-**-529J - KILLIAN, FRANCES ACCOUNT #: LSM000171	HIGH YIELD A BUY 1 Units @0.0000	0.00	7.34	0.00	7.34	0.5	0.04
01/16/2018	***-**-087J - BORN, LAUREN ACCOUNT #: LSM000172	HIGH YIELD A BUY 1 Units @0.0000	0.00	17.75	0.00	17.75	0.5	0.09



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DEVIN BORN (continued) </u></b>								
01/16/2018	***-**-25IO - SMITH, CAROL ACCOUNT #: LSM000178	HIGH YIELD A BUY 1 Units @0.0000	0.00	8.78	0.00	8.78	0.5	0.04
01/16/2018	***-**-915I - FIDLER, JOYCE ACCOUNT #: LSM000191	HIGH YIELD A BUY 1 Units @0.0000	0.00	8.45	0.00	8.45	0.5	0.04
01/16/2018	***-**-596I - EDRIS, TERRY ACCOUNT #: LSR011700	HIGH YIELD A BUY 1 Units @0.0000	0.00	15.90	0.00	15.90	0.5	0.08
01/16/2018	***-**-56IO - TOWER, PAUL ACCOUNT #: LSM000144	HIGH YIELD B BUY 1 Units @0.0000	0.00	1.42	0.00	1.42	0.5	0.01
01/16/2018	***-**-4593 - TOWER, GERTRUDE ACCOUNT #: LSM000148	HIGH YIELD B BUY 1 Units @0.0000	0.00	3.21	0.00	3.21	0.5	0.02
01/01/2018	***-**-0047 - ENTZMINGER, JO ACCOUNT #: 03810913768	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	21.54	0.00	21.54	0.5	0.11
01/01/2018	***-**-0047 - ENTZMINGER, JO ACCOUNT #: 03810913768	INVESCO CORE PLUS BOND FUND - CLASS BUY 0 Units @0.0000	0.00	14.23	0.00	14.23	0.5	0.07
01/16/2018	***-**-36IO - CEGANICK, ACCOUNT #: LSM000176	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 1 Units @0.0000	0.00	3.85	0.00	3.85	0.5	0.02
01/16/2018	***-**-05IR - BORN, SHAWN ACCOUNT #: LSM000165	IVY HIGH INCOME CL A BUY 1 Units @0.0000	0.00	8.80	0.00	8.80	0.5	0.04
01/16/2018	***-**-56IO - TOWER, PAUL ACCOUNT #: LSM000144	IVY HIGH INCOME CL B BUY 1 Units @0.0000	0.00	30.57	0.00	30.57	0.5	0.15
01/16/2018	***-**-514J - GEHRINGER, ACCOUNT #: LSR011750	JOHN HANCOCK ALTER ASSET ALLOC FUND BUY 1 Units @0.0000	0.00	2.08	0.00	2.08	0.5	0.01
01/18/2018	***-**-487I - BERSTLER, DIANA ACCOUNT #: LSR012374	JOHN HANCOCK BLUE CHIP GROWTH CL A BUY 251 Units @39.9100	10,000.01	267.00	0.00	267.00	0.5	1.34
01/16/2018	***-**-38IO - SCHWEMMER, ACCOUNT #: LSR011612	JOHN HANCOCK BOND FUND CLASS B BUY 1 Units @0.0000	0.00	6.68	0.00	6.68	0.5	0.03
01/16/2018	***-**-33IO - GARGIULO, PAUL ACCOUNT #: LSR011694	JOHN HANCOCK BOND FUND CLASS B BUY 1 Units @0.0000	0.00	10.76	0.00	10.76	0.5	0.05
01/16/2018	***-**-35IO - CAMERON, NANCY ACCOUNT #: LSR011782	JOHN HANCOCK BOND FUND CLASS B BUY 1 Units @0.0000	0.00	7.13	0.00	7.13	0.5	0.04
01/16/2018	***-**-710J - MOYER, EVELYN ACCOUNT #: LSR011892	JOHN HANCOCK BOND FUND CLASS B BUY 1 Units @0.0000	0.00	10.34	0.00	10.34	0.5	0.05



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DEVIN BORN (continued)</u></b>								
01/02/2018	***-**-0738 - WESSNER, TODD	JOHN HANCOCK DISCIPLINED VALUE A	95.88	2.73	0.00	2.73	0.5	0.01
	ACCOUNT #: 00002492630	BUY 4 Units @23.5100						
01/02/2018	***-**-0738 - WESSNER, TODD	JOHN HANCOCK DISCIPLINED VALUE A	319.60	9.11	0.00	9.11	0.5	0.05
	ACCOUNT #: 00002492630	BUY 14 Units @23.5100						
01/16/2018	***-**-0110 - RATH, ROBERT	JOHN HANCOCK LIFESTYLE AGGR PORTFOL	0.00	10.94	0.00	10.94	0.5	0.05
	ACCOUNT #: LSM000189	BUY 1 Units @0.0000						
01/16/2018	***-**-101N - YAKAITIS, JOSEPH	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	3.34	0.00	3.34	0.5	0.02
	ACCOUNT #: LSM000175	BUY 1 Units @0.0000						
01/16/2018	***-**-331N - SCHWEMMER, JOHN	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	2.21	0.00	2.21	0.5	0.01
	ACCOUNT #: LSR012199	BUY 1 Units @0.0000						
01/16/2018	***-**-331N - SCHWEMMER, JOHN	LARGE CAP EQUITY A	0.00	5.24	0.00	5.24	0.5	0.03
	ACCOUNT #: LSR012199	BUY 1 Units @0.0000						
01/02/2018	***-**-3295 - KUNKEL, WILLIAM	LIFESTYLE AGGRESSIVE PORTFOLIO A	42.78	1.22	0.00	1.22	0.5	0.01
	ACCOUNT #: 00002492633	BUY 2 Units @17.3100						
01/02/2018	***-**-3295 - KUNKEL, WILLIAM	LIFESTYLE AGGRESSIVE PORTFOLIO A	42.78	1.22	0.00	1.22	0.5	0.01
	ACCOUNT #: 00002492633	BUY 2 Units @17.3100						
01/16/2018	***-**-514J - GEHRINGER,	LIFESTYLE GROWTH PORTFOLIO A	0.00	9.56	0.00	9.56	0.5	0.05
	ACCOUNT #: LSR011750	BUY 1 Units @0.0000						
01/01/2018	***-**-817J - ESHBACH, DANIEL	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	32.10	0.00	32.10	0.5	0.16
	ACCOUNT #: 00060612942	BUY 0 Units @0.0000						
01/22/2018	***-**-871R - BORN, LAUREN	PRINCIPAL INVESTOR HIGH YIELD II CL	0.00	3.67	0.00	3.67	0.5	0.02
	ACCOUNT #: LSM000173	BUY 1 Units @0.0000						
01/16/2018	***-**-243I - KOHLER, ALAN	PRUDENTIAL HIGH YIELD CLASS A	0.00	2.26	0.00	2.26	0.5	0.01
	ACCOUNT #: LSR011747	BUY 1 Units @0.0000						
01/16/2018	***-**-561O - TOWER, PAUL	PRUDENTIAL HIGH YIELD CLASS B	0.00	11.24	0.00	11.24	0.5	0.06
	ACCOUNT #: LSM000144	BUY 1 Units @0.0000						
01/16/2018	***-**-243I - KOHLER, ALAN	PRUDENTIAL HIGH YIELD CLASS B	0.00	3.98	0.00	3.98	0.5	0.02
	ACCOUNT #: LSR011747	BUY 1 Units @0.0000						
01/16/2018	***-**-243I - KOHLER, ALAN	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: LSR011747	BUY 1 Units @0.0000						
01/16/2018	***-**-243I - KOHLER, ALAN	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: LSR011747	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DEVIN BORN (continued) </u></b>								
01/22/2018	***-**-2272 - HICKMAN, JAMES	PRUDENTIAL MF TRAILS	0.00	26.34	0.00	26.34	0.5	0.13
	ACCOUNT #: 7162303	BUY 0 Units @0.0000						
01/22/2018	***-**-10IN - YAKAITIS, JOSEPH	PRUDENTIAL MF TRAILS	0.00	31.88	0.00	31.88	0.5	0.16
	ACCOUNT #: 7409643	BUY 0 Units @0.0000						
01/16/2018	***-**-243I - KOHLER, ALAN	PRUDENTIAL TOTAL RETURN BOND CLASS	0.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: LSR011747	BUY 1 Units @0.0000						
01/16/2018	***-**-243I - KOHLER, ALAN	PRUDENTIAL TOTAL RETURN BOND CLASS	0.00	1.21	0.00	1.21	0.5	0.01
	ACCOUNT #: LSR011747	BUY 1 Units @0.0000						
01/22/2018	***-**-652J - BUSHBY, WILLIAM	THE BOND FUND OF AMERICA-F1	0.00	1.66	0.00	1.66	0.5	0.01
	ACCOUNT #: LSR011728	BUY 1 Units @0.0000						
01/16/2018	***-**-8879 - ILLIANO, MARIO	THE GROWTH FUND OF AMERICA-A	225.00	9.56	0.00	9.56	0.5	0.05
	ACCOUNT #: 00084446460	BUY 4 Units @54.6700						
01/02/2018	***-**-529J - KILLIAN, FRANCES	THE HARTFORD CAPITAL APPRECIATION F	0.00	2.75	0.00	2.75	0.5	0.01
	ACCOUNT #: 00000772646	BUY 0 Units @0.0000						
01/22/2018	***-**-17IO - KILLIAN, FRANCES	THE HARTFORD CAPITAL APPRECIATION F	0.00	32.99	0.00	32.99	0.5	0.16
	ACCOUNT #: LSM000170	BUY 1 Units @0.0000						
01/22/2018	***-**-57IN - NAUGLE, DENNIS	THE HARTFORD HIGH YIELD FUND-A	0.00	6.79	0.00	6.79	0.5	0.03
	ACCOUNT #: LSM000136	BUY 1 Units @0.0000						
01/22/2018	***-**-33IN - SCHWEMMER, JOHN	THE HARTFORD HIGH YIELD FUND-A	0.00	8.56	0.00	8.56	0.5	0.04
	ACCOUNT #: LSR012199	BUY 1 Units @0.0000						
01/22/2018	***-**-01IO - RATH, ROBERT	THE HARTFORD MID CAP FUND-A	0.00	16.22	0.00	16.22	0.5	0.08
	ACCOUNT #: LSM000189	BUY 1 Units @0.0000						
01/22/2018	***-**-652J - BUSHBY, WILLIAM	THE INCOME FUND OF AMERICA-F1	0.00	2.70	0.00	2.70	0.5	0.01
	ACCOUNT #: LSR011728	BUY 1 Units @0.0000						
01/22/2018	***-**-40IO - BERSTLER, DAVID	THE INCOME FUND OF AMERICA-F1	0.00	4.13	0.00	4.13	0.5	0.02
	ACCOUNT #: LSR011823	BUY 1 Units @0.0000						
01/22/2018	***-**-72IO - BUSHBY, JANET	TOUCHSTONE ACTIVE BOND A-NFS	0.00	9.99	0.00	9.99	0.5	0.05
	ACCOUNT #: LSR011729	BUY 1 Units @0.0000						
01/16/2018	***-**-40IO - BERSTLER, DAVID	US GLOBAL LEADERS GROWTH C	0.00	17.91	0.00	17.91	0.5	0.09
	ACCOUNT #: LSR011823	BUY 1 Units @0.0000						
<b>* DEVIN BORN SUBTOTAL *</b>			<b>\$11,226.05</b>	<b>\$1,281.96</b>	<b>\$0.00</b>	<b>\$1,281.96</b>		<b>\$6.49</b>



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: GRADY GORMAN</u></b>								
01/18/2018	***-**-667I - BENDER, RYAN	AMCAP FUND-A	1,374.99	40.43	0.00	40.43	0.5	0.20
	ACCOUNT #: LSR011753	BUY 39 Units @34.9700						
01/18/2018	***-**-667I - BENDER, RYAN	EUROPACIFIC GROWTH FUND-A	1,374.97	40.43	0.00	40.43	0.5	0.20
	ACCOUNT #: LSR011753	BUY 22 Units @61.9300						
01/18/2018	***-**-667I - BENDER, RYAN	FUNDAMENTAL INVESTORS-A	1,375.01	40.43	0.00	40.43	0.5	0.20
	ACCOUNT #: LSR011753	BUY 20 Units @68.8400						
01/18/2018	***-**-667I - BENDER, RYAN	SMALLCAP WORLD FUND-A	1,374.98	40.43	0.00	40.43	0.5	0.20
	ACCOUNT #: LSR011753	BUY 23 Units @60.9800						
01/22/2018	***-**-408O - CAPUNE, MEGHAN	VIRTUS NEWFLEET MLT SECTOR SH TERM	0.00	1.47	0.00	1.47	0.5	0.01
	ACCOUNT #: LSR012365	BUY 1 Units @0.0000						
<b>* GRADY GORMAN SUBTOTAL *</b>			<b>\$5,499.95</b>	<b>\$163.19</b>	<b>\$0.00</b>	<b>\$163.19</b>		<b>\$0.81</b>
<b><u>BROKER: ROBERT PEDERSEN</u></b>								
01/22/2018	***-**-903I - DONNELLY, VERNON	GRIGGIN INSTL ACCESS CREDIT FND CL	0.00	6.93	0.00	6.93	0.5	0.03
	ACCOUNT #: LSR011877	BUY 1 Units @0.0000						
01/22/2018	***-**-17IN - NEUFELD, BETTY	PRINCIPAL CALIFORNIA MUNI CL A	0.00	7.89	0.00	7.89	0.5	0.04
	ACCOUNT #: LSR011755	BUY 1 Units @0.0000						
01/22/2018	***-**-83IO - PLAUGHER, TERRY	PRINCIPAL INVESTORS SAM CONSERV GRO	0.00	7.41	0.00	7.41	0.5	0.04
	ACCOUNT #: LSR011976	BUY 1 Units @0.0000						
<b>* ROBERT PEDERSEN SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$22.23</b>	<b>\$0.00</b>	<b>\$22.23</b>		<b>\$0.11</b>
<b><u>BROKER: JOHN TRUMAN</u></b>								
01/12/2018	***-**-206X - ROLLINGS, KEITH	AMCAP FUND-A	389.99	1.50	0.00	1.50	0.5	0.01
	ACCOUNT #: LSR012149	BUY 11 Units @35.0900						
01/22/2018	***-**-212X - MOCTEZUMA, ANA	AMCAP FUND-A	0.00	1.05	0.00	1.05	0.5	0.01
	ACCOUNT #: LSR012361	BUY 1 Units @0.0000						
01/22/2018	***-**-9175 - GARDNER, JAMES	AMCAP FUND-A	0.00	4.30	0.00	4.30	0.5	0.02
	ACCOUNT #: LSR012304	BUY 1 Units @0.0000						
01/22/2018	***-**-9175 - GARDNER, JAMES	FUNDAMENTAL INVESTORS-A	0.00	6.60	0.00	6.60	0.5	0.03
	ACCOUNT #: LSR012304	BUY 1 Units @0.0000						
01/16/2018	***-**-758I - EBERT, KIMBERLY	IVY ASSET STRATEGY CL C	0.00	4.45	0.00	4.45	0.5	0.02
	ACCOUNT #: LSR012072	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: JOHN TRUMAN (continued)</u></b>								
01/16/2018	***-**-758I - EBERT, KIMBERLY	IVY SCIENCE & TECHNOLOGY CL C	0.00	2.92	0.00	2.92	0.5	0.01
	ACCOUNT #: LSR012072	BUY 1 Units @0.0000						
01/12/2018	***-**-206X - ROLLINGS, KEITH	PIMCO INCOME A	680.00	4.10	0.00	4.10	0.5	0.02
	ACCOUNT #: LSR012149	BUY 53 Units @12.8700						
01/22/2018	***-**-619I - GRAFFT, JODELL	VIRTUS RAMPART EQ TREND CLASS C	0.00	1.11	0.00	1.11	0.5	0.01
	ACCOUNT #: LSR012279	BUY 1 Units @0.0000						
01/16/2018	***-**-619I - GRAFFT, JODELL	WELLS FARGO ABSOLUTE RETURN FUND CL	0.00	1.02	0.00	1.02	0.5	0.01
	ACCOUNT #: LSR012279	BUY 1 Units @0.0000						
		<b>* JOHN TRUMAN SUBTOTAL *</b>	<b>\$1,069.99</b>	<b>\$27.05</b>	<b>\$0.00</b>	<b>\$27.05</b>		<b>\$0.14</b>
<b><u>BROKER: DANNY BAIRD</u></b>								
01/18/2018	***-**-896I - BISCHOFF, EMILE	ING ADVANTAGE SELECT	0.00	7.36	0.00	7.36	0.5	0.04
	ACCOUNT #: R018548-ZS	BUY 0 Units @0.0000						
		<b>* DANNY BAIRD SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$7.36</b>	<b>\$0.00</b>	<b>\$7.36</b>		<b>\$0.04</b>
<b><u>BROKER: ALEXANDER HACKLEY</u></b>								
01/16/2018	***-**-679I - VIGIL, ELLI	COLUMBIA BALANCED FUND CL A	0.00	20.78	0.00	20.78	0.5	0.10
	ACCOUNT #: LSR011852	BUY 1 Units @0.0000						
01/16/2018	***-**-593I - HUFF, CYNTHIA	COLUMBIA BALANCED FUND CL A	0.00	10.31	0.00	10.31	0.5	0.05
	ACCOUNT #: LSR011879	BUY 1 Units @0.0000						
01/16/2018	***-**-14IR - MEDLICOTT,	COLUMBIA BALANCED FUND CL A	0.00	4.96	0.00	4.96	0.5	0.02
	ACCOUNT #: LSR011900	BUY 1 Units @0.0000						
01/16/2018	***-**-53IR - MEDLICOTT,	COLUMBIA BALANCED FUND CL A	0.00	6.20	0.00	6.20	0.5	0.03
	ACCOUNT #: LSR011901	BUY 1 Units @0.0000						
01/16/2018	***-**-321J - STERTZ, MICHAEL	COLUMBIA BALANCED FUND CL A	0.00	14.75	0.00	14.75	0.5	0.07
	ACCOUNT #: LSR012194	BUY 1 Units @0.0000						
01/16/2018	***-**-679I - VIGIL, ELLI	DELAWARE EXTENDED DURATION BOND FUN	0.00	20.50	0.00	20.50	0.5	0.10
	ACCOUNT #: LSR011852	BUY 1 Units @0.0000						
01/22/2018	***-**-127I - SCHEAFER, HARRY	FUNDAMENTAL INVESTORS-A	0.00	2.52	0.00	2.52	0.5	0.01
	ACCOUNT #: LSR011853	BUY 1 Units @0.0000						
01/22/2018	***-**-848I - SCHNEIDER,	HARTFORD BALANCED INCOME FD CL A	0.00	5.94	0.00	5.94	0.5	0.03
	ACCOUNT #: LSR011876	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ALEXANDER HACKLEY (continued) </u></b>								
01/22/2018	***-**-978I - LITTON, KENT	HARTFORD BALANCED INCOME FD CL A	0.00	10.19	0.00	10.19	0.5	0.05
	ACCOUNT #: LSR011882	BUY 1 Units @0.0000						
01/22/2018	***-**-679I - BURGDORF, SANDRA	HARTFORD BALANCED INCOME FD CL A	0.00	4.29	0.00	4.29	0.5	0.02
	ACCOUNT #: LSR011921	BUY 1 Units @0.0000						
01/22/2018	***-**-502I - GRANDSTAFF,	HARTFORD BALANCED INCOME FD CL A	0.00	1.93	0.00	1.93	0.5	0.01
	ACCOUNT #: LSR011929	BUY 1 Units @0.0000						
01/22/2018	***-**-266I - RONS, JANICE	HARTFORD BALANCED INCOME FD CL A	0.00	5.60	0.00	5.60	0.5	0.03
	ACCOUNT #: LSR012038	BUY 1 Units @0.0000						
01/22/2018	***-**-632J - HIGGINSON,	HARTFORD BALANCED INCOME FD CL A	0.00	2.27	0.00	2.27	0.5	0.01
	ACCOUNT #: LSR012042	BUY 1 Units @0.0000						
01/12/2018	***-**-626I - STERTZ, DALE	HCM DIVIDEND SECTOR PLUS FND CL A-N	44,999.99	1,894.50	0.00	1,894.50	0.5	9.47
	ACCOUNT #: LSR012238	BUY 3072 Units @14.6500						
01/16/2018	***-**-424O - WEBER, DONALD	IVY BALANCED CLASS A	0.00	5.83	0.00	5.83	0.5	0.03
	ACCOUNT #: LSR011971	BUY 1 Units @0.0000						
01/16/2018	***-**-770O - WEBER, F DIANNE	IVY CORE EQUITY CL A	0.00	3.96	0.00	3.96	0.5	0.02
	ACCOUNT #: LSR011972	BUY 1 Units @0.0000						
01/16/2018	***-**-978I - LITTON, KENT	IVY ENERGY FUND CL A	0.00	26.22	0.00	26.22	0.5	0.13
	ACCOUNT #: LSR011882	BUY 1 Units @0.0000						
01/16/2018	***-**-34IR - HARVEY, CHERYL	IVY LARGE CAP GROWTH CL A	0.00	5.44	0.00	5.44	0.5	0.03
	ACCOUNT #: LSR011883	BUY 1 Units @0.0000						
01/16/2018	***-**-70IR - WEBER, DIANNE	IVY TAX MANAGED EQUITY FUND CL A	0.00	4.42	0.00	4.42	0.5	0.02
	ACCOUNT #: LSR011967	BUY 1 Units @0.0000						
01/22/2018	***-**-770O - WEBER, F DIANNE	MFS VALUE FUND-A	0.00	2.64	0.00	2.64	0.5	0.01
	ACCOUNT #: LSR011972	BUY 1 Units @0.0000						
01/16/2018	***-**-787I - VONHEDEMANN, HAL	WELLS FARGO INDEX ASSET ALLOC A	0.00	11.88	0.00	11.88	0.5	0.06
	ACCOUNT #: LSR011985	BUY 1 Units @0.0000						
01/16/2018	***-**-21IR - STERTZ, MICHAEL	WELLS FARGO INDEX ASSET ALLOC A	0.00	2.97	0.00	2.97	0.5	0.01
	ACCOUNT #: LSR012195	BUY 1 Units @0.0000						
01/16/2018	***-**-70IR - STERTZ, CARRIE	WELLS FARGO INDEX ASSET ALLOC A	0.00	2.97	0.00	2.97	0.5	0.01
	ACCOUNT #: LSR012197	BUY 1 Units @0.0000						
<b> * ALEXANDER HACKLEY SUBTOTAL * </b>			<b>\$44,999.99</b>	<b>\$2,071.07</b>	<b>\$0.00</b>	<b>\$2,071.07</b>		<b>\$10.32</b>



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: SAMUEL BARBER</u></b>								
01/17/2018	***-**-20IO - BARBER, SAMUEL ACCOUNT #: LSF000307	AMPLIFY ETF TR BLOCKCHAIN LDR BUY 50 Units @20.0000	1,000.00	37.01	0.00	37.01	0.5	0.19
01/11/2018	***-**-20IO - BARBER, SAMUEL ACCOUNT #: LSF000307	BITCOIN INVT TR SHS BUY 1 Units @1885.0000	1,885.00	45.01	0.00	45.01	0.5	0.23
01/17/2018	***-**-20IO - BARBER, SAMUEL ACCOUNT #: LSF000307	BITCOIN INVT TR SHS BUY 1 Units @1470.0000	1,470.00	45.01	0.00	45.01	0.5	0.23
01/19/2018	***-**-20CL - BARBER, CLIENTS ACCOUNT #: 68971123701	CHET 529 BUY 0 Units @0.0000	500.00	5.04	0.00	5.04	0.5	0.03
01/19/2018	***-**-7606 - DUFFY, RYAN ACCOUNT #: 68971123703	CHET 529 BUY 0 Units @0.0000	750.00	7.52	0.00	7.52	0.5	0.04
01/22/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	CLEARBRIDGE AGGRESSIVE GROWTH C BUY 1 Units @0.0000	0.00	8.61	0.00	8.61	0.5	0.04
01/22/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	6.52	0.00	6.52	0.5	0.03
01/17/2018	***-**-20IO - BARBER, SAMUEL ACCOUNT #: LSF000307	GENERAL ELECTRIC CO UNSOLICITED ORD BUY 60 Units @17.4990	1,049.94	37.76	0.00	37.76	0.5	0.19
01/17/2018	***-**-79IO - BARBER, ACCOUNT #: LSR012068	GENERAL ELECTRIC CO UNSOLICITED ORD BUY 60 Units @17.3650	1,041.90	31.01	0.00	31.01	0.5	0.16
01/16/2018	***-**-71IO - ALBERTO, MICHAEL ACCOUNT #: LSR011938	IVY ASSET STRATEGY FUND CLASS A BUY 1 Units @0.0000	0.00	1.37	0.00	1.37	0.5	0.01
01/16/2018	***-**-17IR - REAGAN, MATTHEW ACCOUNT #: LSR011947	IVY ASSET STRATEGY FUND CLASS A BUY 1 Units @0.0000	0.00	1.58	0.00	1.58	0.5	0.01
01/18/2018	***-**-24FB - IMBRIALE, DONALD	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	282.06	0.00	282.06	0.5	1.41
01/18/2018	***-**-57FB - LINDSEY, HEATHER	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	395.54	0.00	395.54	0.5	1.98
01/18/2018	***-**-34FB - RODESCHINI,	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	1,206.57	0.00	1,206.57	0.5	6.03
01/18/2018	***-**-04FB - WARD, DANIELLE	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	194.65	0.00	194.65	0.5	0.97
01/18/2018	***-**-31FB - BARNARD,	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	507.12	0.00	507.12	0.5	2.54





# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: SAMUEL BARBER (continued)</u> </b>								
01/18/2018	***-**-45FB - RODESCHINI, LISA	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	128.12	0.00	128.12	0.5	0.64
01/22/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	PRINCIPAL EQUITY INC CL C BUY 1 Units @0.0000	0.00	25.43	0.00	25.43	0.5	0.13
01/22/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	PRINCIPAL GLOBAL DIVERSIFIED INCOME BUY 1 Units @0.0000	0.00	8.39	0.00	8.39	0.5	0.04
01/22/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	PRINCIPAL GLOBAL DIVERSIFIED INCOME BUY 1 Units @0.0000	0.00	47.53	0.00	47.53	0.5	0.24
01/22/2018	***-**-71IO - ALBERTO, MICHAEL ACCOUNT #: LSR011938	PRINCIPAL INVESTORS SAM CONSERV GRO BUY 1 Units @0.0000	0.00	20.09	0.00	20.09	0.5	0.10
01/22/2018	***-**-17IR - REAGAN, MATTHEW ACCOUNT #: LSR011947	PRINCIPAL INVESTORS SAM STRATEGIC G BUY 1 Units @0.0000	0.00	2.28	0.00	2.28	0.5	0.01
01/17/2018	***-**-20IO - BARBER, SAMUEL ACCOUNT #: LSF000307	REALITY SHS ETF TR NSD NXGN ECO ETF BUY 40 Units @24.1400	965.60	36.53	0.00	36.53	0.5	0.18
01/22/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	THE GROWTH FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	18.48	0.00	18.48	0.5	0.09
01/22/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	WESTERN ASSET MANAGED MUNI CL C BUY 1 Units @0.0000	0.00	14.77	0.00	14.77	0.5	0.07
<b> * SAMUEL BARBER SUBTOTAL * </b>			<b> \$8,662.44 </b>	<b> \$3,114.00 </b>	<b> \$0.00 </b>	<b> \$3,114.00 </b>		<b> \$15.59 </b>
<b> <u>BROKER: GARRETT MORETZ</u> </b>								
01/22/2018	***-**-94IR - RUSSELL, LYNN ACCOUNT #: LSR011980	AB ALL MARKET TOTAL RETURN PORT CLA BUY 1 Units @0.0000	0.00	1.76	0.00	1.76	0.5	0.01
01/22/2018	***-**-972I - GRIFFIN, OTIS ACCOUNT #: LSR011960	EATON VANCE INCOME FUND OF BOSTON C BUY 1 Units @0.0000	0.00	1.88	0.00	1.88	0.5	0.01
01/22/2018	***-**-10IR - CLINGON, BILLY ACCOUNT #: LSR012010	EATON VANCE MULTI SECTOR INCOME CL BUY 1 Units @0.0000	0.00	3.94	0.00	3.94	0.5	0.02
01/22/2018	***-**-7260 - FARZALO, STEVE ACCOUNT #: LSR011965	EATON VANCE NATIONAL MUNICIPAL FUND BUY 1 Units @0.0000	0.00	9.78	0.00	9.78	0.5	0.05
01/22/2018	***-**-671I - BEDDOW, DONALD ACCOUNT #: 00091150349	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	95.83	0.00	95.83	0.5	0.48
01/16/2018	***-**-10IR - CLINGON, BILLY ACCOUNT #: LSR012010	IVY ASSET STRATEGY CL C BUY 1 Units @0.0000	0.00	10.30	0.00	10.30	0.5	0.05



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: GARRETT MORETZ (continued) </u></b>								
01/16/2018	***-**-501I - DAGENHART, JAMES	IVY HIGH INCOME CL A	0.00	3.48	0.00	3.48	0.5	0.02
	ACCOUNT #: LSR012101	BUY 1 Units @0.0000						
01/16/2018	***-**-677I - LAIL, DWIGHT	IVY HIGH INCOME CL A	0.00	5.58	0.00	5.58	0.5	0.03
	ACCOUNT #: LSR012166	BUY 1 Units @0.0000						
01/16/2018	***-**-277I - PECK, GORDON	IVY HIGH INCOME CL A	0.00	1.26	0.00	1.26	0.5	0.01
	ACCOUNT #: LSR012201	BUY 1 Units @0.0000						
01/22/2018	***-**-10IR - CLINGON, BILLY	MFS AGGRESSIVE GROWTH ALLOC CL C	0.00	4.28	0.00	4.28	0.5	0.02
	ACCOUNT #: LSR012010	BUY 1 Units @0.0000						
01/01/2018	***-**-894I - COOPER, JOHN	MFS VIRGINIA MUNI BOND FUND-A	0.00	5.74	0.00	5.74	0.5	0.03
	ACCOUNT #: 08189772708	BUY 0 Units @0.0000						
01/16/2018	***-**-113I - RUSSELL, MARK	NUVEEN STRATEGY BAL ALLOC A	0.00	2.56	0.00	2.56	0.5	0.01
	ACCOUNT #: LSR011979	BUY 1 Units @0.0000						
01/16/2018	***-**-677I - LAIL, DWIGHT	PRUDENTIAL HIGH YIELD CLASS A	0.00	2.38	0.00	2.38	0.5	0.01
	ACCOUNT #: LSR012166	BUY 1 Units @0.0000						
01/22/2018	***-**-759I - MERRILL, SARA	TOUCHSTONE HI YIELD-NFS	0.00	1.45	0.00	1.45	0.5	0.01
	ACCOUNT #: LSR012244	BUY 1 Units @0.0000						
* GARRETT MORETZ SUBTOTAL *			\$0.00	\$150.22	\$0.00	\$150.22		\$0.76
<b><u> BROKER: STANLEY (DARRELL) KEATHLEY </u></b>								
01/22/2018	***-**-825O - BOSSE, PAUL	CAPITAL INCOME BUILDER-A	0.00	1.71	0.00	1.71	0.5	0.01
	ACCOUNT #: LSR012084	BUY 1 Units @0.0000						
01/16/2018	***-**-820J - PFAFF, ROBERT	FIRST EAGLE GLOBAL FUND CLASS A	0.00	3.96	0.00	3.96	0.5	0.02
	ACCOUNT #: LSR012004	BUY 1 Units @0.0000						
01/16/2018	***-**-29IR - CLARK, RONALD	FIRST EAGLE GLOBAL FUND CLASS A	0.00	1.67	0.00	1.67	0.5	0.01
	ACCOUNT #: LSR012121	BUY 1 Units @0.0000						
01/16/2018	***-**-820J - PFAFF, ROBERT	SUNAMERICA FOCUSED DIVIDEND STRAT C	18,900.01	756.00	0.00	756.00	0.5	3.78
	ACCOUNT #: LSR012004	BUY 940 Units @20.1000						
01/12/2018	***-**-820J - PFAFF, ROBERT	TRANSAMERICA CUSIP 89355J65-NFS	35,489.05	20.00	0.00	20.00	0.5	0.10
	ACCOUNT #: LSR012004	SELL 3124 Units @11.3600						
* STANLEY (DARRELL) KEATHLEY SUBTOTAL *			\$54,389.06	\$783.34	\$0.00	\$783.34		\$3.92



# COMMISSION STATEMENT

## January 22, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALFREDO BALLESTEROS</u></b>								
01/16/2018	***-**-8759 - BELMONT, CHELSIE	AMERICAN BALANCED FUND-A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00089762271	BUY 1 Units @29.4000						
01/16/2018	***-**-4729 - WONG, VALERIE	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00086993821	BUY 1 Units @55.1100						
01/16/2018	***-**-4729 - WONG, VALERIE	THE INVESTMENT COMPANY OF AMERICA-A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00086993821	BUY 1 Units @44.3800						
		<b>* ALFREDO BALLESTEROS SUBTOTAL *</b>	<b>\$125.00</b>	<b>\$6.25</b>	<b>\$0.00</b>	<b>\$6.25</b>		<b>\$0.03</b>
<b><u>BROKER: JOHN BUSEY</u></b>								
01/08/2018	***-**-58IN - PRICE, ROSALYNNE	FIDELITY ADVISOR EQUITY INCOME CL T	0.00	4.43	0.00	4.43	0.5	0.02
	ACCOUNT #: 00656045945	BUY 0 Units @0.0000						
		<b>* JOHN BUSEY SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$4.43</b>	<b>\$0.00</b>	<b>\$4.43</b>		<b>\$0.02</b>
<b><u>BROKER: JOSHUA WEAVER</u></b>								
01/22/2018	***-**-9557 - HORSLEY, THOMAS	MIDLAND LIVEWELL VA	18,021.11	720.84	0.00	720.84	0.5	3.60
	ACCOUNT #: 7100010100	BUY 1 Units @18021.1100						
		<b>* JOSHUA WEAVER SUBTOTAL *</b>	<b>\$18,021.11</b>	<b>\$720.84</b>	<b>\$0.00</b>	<b>\$720.84</b>		<b>\$3.60</b>
		<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>	<b>\$2,857,148.61</b>	<b>\$79,898.11</b>	<b>\$0.00</b>	<b>\$79,898.11</b>		<b>\$401.46</b>



BRANCH #01001 - LifeMark Home Office

			INVESTMENT	GROSS	CLEARING	NET	BROKER
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
<div>BROKER COMMISSION TOTALS</div>							
						Broker Transactions	\$0.00
						Split Transactions	\$0.00
						Override Transactions	\$401.46
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$401.46
						Year-to-date Earnings	\$1,290.13