

# STATEMENT

## February 20, 2018

RONALD NALVEN  
269 Century Way

BROKER #: 028  
BRANCH: LA BRANCH

Manalapan, NJ 07726-

### COMMISSION STATEMENT for RONALD NALVEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS</b>										
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>										
12/31/2017	#81917742201	BERBERIAN, JOSEPH COLLEGE BOUND 76220Y679	B	0.00	86.58	0.00	0.00	86.58	86.0	74.46
12/31/2017	#81917742202	BERBERIAN, ANI COLLEGE BOUND 76220Y844	B	0.00	48.03	0.00	0.00	48.03	86.0	41.31
12/31/2017	#81917742202	BERBERIAN, ANI COLLEGE BOUND 76220Y877	B	0.00	77.26	0.00	0.00	77.26	86.0	66.44
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$211.87	\$0.00	\$0.00	\$211.87		\$182.21

### PRODUCT CATEGORY: VARIABLE ANNUITIES

/ /	#P89D0507510	PEREZ, HECTOR	AMERICAN GEN TRAILS	B	0.00	244.90	0.00	0.00	244.90	86.0	210.61
/ /	#P89D1506893	MILLER, SUSAN	AMERICAN GEN TRAILS	B	0.00	806.78	0.00	0.00	806.78	86.0	693.83
/ /	#3205562463	ORLANDO, J	BRIGHTHOUSE FINANCIAL	B	0.00	93.04	0.00	0.00	93.04	86.0	80.01
/ /	#3205562703	ORLANDO, J	BRIGHTHOUSE FINANCIAL	B	0.00	93.06	0.00	0.00	93.06	86.0	80.03
12/04/0017	#119229TAL	BOGUCHWAL, SHERRY	TRANS LIFE FTLB06	B	0.00	50.90	0.00	0.00	50.90	86.0	43.77
12/05/0017	#119794TAL	HAHN, IRWIN	TRANS LIFE FTLB01	B	0.00	39.06	0.00	0.00	39.06	86.0	33.59
12/09/0017	#110487LB8	BOGUCHWAL, STEPHEN	TRANS LIFE FLDU26	B	0.00	191.94	0.00	0.00	191.94	86.0	165.07
01/01/0018	#GAPCPJN90	FOOD EXCHANGE,	NATIONWIDE 620	B	0.00	346.02	0.00	0.00	346.02	86.0	297.58
01/01/0018	#GAPCPJZ6B	PANZA AND SONS	NATIONWIDE 620	B	0.00	84.12	0.00	0.00	84.12	86.0	72.34
01/01/0018	#GAPCPJZ3K	REAL ESTATE, MRH	NATIONWIDE 620	B	0.00	35.05	0.00	0.00	35.05	86.0	30.14
01/01/0018	#GAPC7DN4J	MEDICAL, UNIVERSITY	NATIONWIDE 620	B	0.00	197.16	0.00	0.00	197.16	86.0	169.56
01/01/0018	#GAPC3JN9N	FOOD EXCHANGE,	NATIONWIDE 620	B	0.00	465.54	0.00	0.00	465.54	86.0	400.36
01/01/0018	#GAPC3JZ6A	PANZA AND SONS	NATIONWIDE 620	B	0.00	347.30	0.00	0.00	347.30	86.0	298.68
01/01/0018	#GAPC3JZ3J	REAL ESTATE, MRH	NATIONWIDE 620	B	0.00	135.31	0.00	0.00	135.31	86.0	116.37
01/05/0018	#GAPCPJN90	FOOD EXCHANGE,	NATIONWIDE 620	B	0.00	323.83	0.00	0.00	323.83	86.0	278.49
01/05/0018	#GAPCPJZ6B	PANZA AND SONS	NATIONWIDE 620	B	0.00	66.74	0.00	0.00	66.74	86.0	57.40
01/05/0018	#GAPCPJZ3K	REAL ESTATE, MRH	NATIONWIDE 620	B	0.00	32.84	0.00	0.00	32.84	86.0	28.24
01/05/0018	#GAPC7DN4J	MEDICAL, UNIVERSITY	NATIONWIDE 620	B	0.00	181.68	0.00	0.00	181.68	86.0	156.24
01/05/0018	#GAPC3JN9N	FOOD EXCHANGE,	NATIONWIDE 620	B	0.00	426.34	0.00	0.00	426.34	86.0	366.65
01/05/0018	#GAPC3JZ6A	PANZA AND SONS	NATIONWIDE 620	B	0.00	263.80	0.00	0.00	263.80	86.0	226.87
01/05/0018	#GAPC3JZ3J	REAL ESTATE, MRH	NATIONWIDE 620	B	0.00	233.84	0.00	0.00	233.84	86.0	201.10
01/20/0201	#VR08051761		PACIFIC LIFE TRAILS	B	0.00	277.50	0.00	0.00	277.50	86.0	238.65
12/12/2017	#100818TAL	BERBERIAN, JOSEPH	TRANS LIFE FTLB04	B	0.00	214.41	0.00	0.00	214.41	86.0	184.39
12/22/2017	#111219TAL	BROPHY, SUSAN	TRANS LIFE FTLB06	B	0.00	67.86	0.00	0.00	67.86	86.0	58.36
12/26/2017	#130283LB8	WILLIAMSON	TRANS LIFEFLDP26	B	0.00	93.08	0.00	0.00	93.08	86.0	80.05

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<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>										
12/29/2017	#27045 COMPONENTS AND CONT	LINCOLN	B	0.00	322.58	0.00	0.00	322.58	86.0	277.42
12/29/2017	#110865LB8 PEREZ, HECTOR	TRANS LIFEFLDP26	B	0.00	77.19	0.00	0.00	77.19	86.0	66.38
12/29/2017	#PHR546	VOYA	B	0.00	930.47	0.00	0.00	930.47	86.0	800.20
12/29/2017	#ZH8660	VOYA	B	0.00	2,573.14	0.00	0.00	2,573.14	86.0	2,212.90
12/31/2017	#1007781489 CIAGLIA, RITA	L SERIES	B	260,493.94	282.20	0.00	0.00	282.20	86.0	242.69
12/31/2017	#1007589308 EHRLICH, HAROLD	L SERIES	B	131,238.21	109.37	0.00	0.00	109.37	86.0	94.06
12/31/2017	#1005032888 GALLUCCI,	L SERIES	B	53,579.41	44.65	0.00	0.00	44.65	86.0	38.40
12/31/2017	#1005754573 HERMAN, MICHAEL	L SERIES	B	44,360.36	48.06	0.00	0.00	48.06	86.0	41.33
12/31/2017	#GAPCPJZ6B PANZA AND SONS	NATIONWIDE 020	B	0.00	74.11	0.00	0.00	74.11	86.0	63.73
12/31/2017	#GAPCPJZ3K REAL ESTATE, MRH	NATIONWIDE 020	B	0.00	35.00	0.00	0.00	35.00	86.0	30.10
12/31/2017	#GAPC7DN4J MEDICAL, UNIVERSITY	NATIONWIDE 620	B	0.00	189.28	0.00	0.00	189.28	86.0	162.78
12/31/2017	#GAPC3JZ6A PANZA AND SONS	NATIONWIDE 620	B	0.00	291.18	0.00	0.00	291.18	86.0	250.41
12/31/2017	#GAPC3JZ3J REAL ESTATE, MRH	NATIONWIDE 620	B	0.00	119.31	0.00	0.00	119.31	86.0	102.61
12/31/2017	#1006254655 JUBINSKI, STACIE	PERSP II	B	4,051.02	1.69	0.00	0.00	1.69	86.0	1.45
12/31/2017	#1011390591 CIAGLIA, RITA	PERSP L 08/11	B	276,152.71	230.13	0.00	0.00	230.13	86.0	197.91
01/31/2018	#1007781489 CIAGLIA, RITA	L SERIES	B	261,707.75	283.52	0.00	0.00	283.52	86.0	243.83
01/31/2018	#1007589308 EHRLICH, HAROLD	L SERIES	B	134,825.89	112.35	0.00	0.00	112.35	86.0	96.62
01/31/2018	#1005032888 GALLUCCI,	L SERIES	B	55,531.75	46.28	0.00	0.00	46.28	86.0	39.80
01/31/2018	#1005754573 HERMAN, MICHAEL	L SERIES	B	45,997.15	49.83	0.00	0.00	49.83	86.0	42.85
01/31/2018	#1006254655 JUBINSKI, STACIE	PERSP II	B	4,150.93	1.73	0.00	0.00	1.73	86.0	1.49
01/31/2018	#1011390591 CIAGLIA, RITA	PERSP L 08/11	B	282,682.84	235.57	0.00	0.00	235.57	86.0	202.59
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$1,554,771.96</b>	<b>\$11,369.74</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$11,369.74</b>		<b>\$9,777.93</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>										
01/10/2018	# BOGUCHWAL, SHERRY	DAIN TRAILER	B	0.00	0.39	0.00	0.00	0.39	86.0	0.34
01/10/2018	# BOGUCHWAL, SHERRY	DAIN TRAILER	B	0.00	1.20	0.00	0.00	1.20	86.0	1.03
01/10/2018	# BOGUCHWAL, SCOTT	DAIN TRAILER	B	0.00	0.44	0.00	0.00	0.44	86.0	0.38
01/10/2018	# AMATO, NICHOLAS	DAIN TRAILER	B	0.00	1.70	0.00	0.00	1.70	86.0	1.46
01/10/2018	# AMATO, JOHN	DAIN TRAILER	B	0.00	1.66	0.00	0.00	1.66	86.0	1.43
01/10/2018	# AMATO, CHRISTOPHER	DAIN TRAILER	B	0.00	1.02	0.00	0.00	1.02	86.0	0.88
01/10/2018	# MILLER, SUSAN	DAIN TRAILER	B	0.00	4.80	0.00	0.00	4.80	86.0	4.13
01/10/2018	# MILLER, SUSAN	DAIN TRAILER	B	0.00	1.71	0.00	0.00	1.71	86.0	1.47
01/10/2018	# BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.16	0.00	0.00	0.16	86.0	0.14
01/10/2018	# BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.78	0.00	0.00	0.78	86.0	0.67
01/10/2018	# BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.62	0.00	0.00	0.62	86.0	0.53
01/10/2018	# CIAGLIA, ANTHONY	DAIN TRAILER	B	0.00	1.01	0.00	0.00	1.01	86.0	0.87
01/10/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	2.38	0.00	0.00	2.38	86.0	2.05
01/10/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	2.73	0.00	0.00	2.73	86.0	2.35
01/10/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	1.00	0.00	0.00	1.00	86.0	0.86
01/10/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.50	0.00	0.00	0.50	86.0	0.43



**BROKER COMMISSION TOTALS**

Broker Transactions	\$10,014.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-704.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$9,310.99</b>
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