



Concorde Investment Services, LLC

01/12/2018

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COMMISSION STATEMENT

January 15, 2018

JAMES PANOS
330 North Street

BROKER #: P116 Terminated 12/31/2015
BRANCH: JAMES PANOS

Boston, MA 02113-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	0.27	0.00	0.27	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	0.30	0.00	0.30	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	1.49	0.00	1.49	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	3.60	0.00	3.60	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT CL A	0.00	0.16	0.00	0.16	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT CL A	0.00	1.72	0.00	1.72	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT CL A	0.00	2.10	0.00	2.10	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	0.02	0.00	0.02	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	0.53	0.00	0.53	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GRWTH PORT CL A	0.00	0.01	0.00	0.01	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GRWTH PORT CL A	0.00	3.20	0.00	3.20	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	125.00	0.02	0.00	0.02	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	125.00	8.81	0.00	8.81	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT CL A	125.00	0.01	0.00	0.01	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT CL A	125.00	3.51	0.00	3.51	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT CL A	125.00	5.65	0.00	5.65	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT A	0.00	0.01	0.00	0.01	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT A	0.00	3.34	0.00	3.34	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT A	0.00	1.16	0.00	1.16	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 A	125.00	15.74	0.00	15.74	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2019 A	125.00	0.30	0.00	0.30	0.0	0.00
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 A	125.00	0.74	0.00	0.74	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2019 A	125.00	2.10	0.00	2.10	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	125.00	2.36	0.00	2.36	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	125.00	2.36	0.00	2.36	0.0	0.00
/ /	LORA, MARCOS	FA 529 PORT 2022 C	125.00	7.37	0.00	7.37	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	LORA, CATALINA	FA 529 PORT 2022 C	125.00	7.37	0.00	7.37	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	125.00	2.46	0.00	2.46	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	125.00	2.46	0.00	2.46	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	125.00	2.46	0.00	2.46	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	125.00	2.46	0.00	2.46	0.0	0.00
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	125.00	7.37	0.00	7.37	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	125.00	16.28	0.00	16.28	0.0	0.00
/ /	LORA, MARCOS	FA 529 PORT 2022 CL A	125.00	9.50	0.00	9.50	0.0	0.00
/ /	LORA, CATALINA	FA 529 PORT 2022 CL A	125.00	5.00	0.00	5.00	0.0	0.00
/ /	POWERS, GARY	FA 529 PORT 2022 CL A	125.00	2.78	0.00	2.78	0.0	0.00
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	125.00	4.21	0.00	4.21	0.0	0.00
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	125.00	6.34	0.00	6.34	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2022 CL A	125.00	1.29	0.00	1.29	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	125.00	1.62	0.00	1.62	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	125.00	2.48	0.00	2.48	0.0	0.00
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 CL A	125.00	7.29	0.00	7.29	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	125.00	1.56	0.00	1.56	0.0	0.00
/ /	DORAN, SHAWN	FA 529 PORT 2022 CL A	125.00	0.87	0.00	0.87	0.0	0.00
/ /	LORA, ROLANDO	FA 529 PORT 2022 CL A	125.00	3.83	0.00	3.83	0.0	0.00
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 CL A	125.00	0.79	0.00	0.79	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	125.00	10.27	0.00	10.27	0.0	0.00
/ /	MURPHY, CHRIS	FA 529 PORT 2025 A	0.00	4.81	0.00	4.81	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 A	0.00	3.68	0.00	3.68	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2025 A	0.00	2.17	0.00	2.17	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2025 C	250.00	12.54	0.00	12.54	0.0	0.00
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	0.00
01/02/2018	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	200.00	2.00	0.00	2.00	0.0	0.00
01/02/2018	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	0.00
01/02/2018	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	250.00	10.03	0.00	10.03	0.0	0.00
01/02/2018	MACUCH, PAUL	FA 529 PORT 2028 A	50.00	0.79	0.00	0.79	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2028 C	120.00	2.50	0.00	2.50	0.0	0.00
01/05/2018	MACUCH, PAUL	FA 529 PORT 2028 C	120.00	2.63	0.00	2.63	0.0	0.00
/ /	FACADA, MANUEL	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	7.16	0.00	7.16	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: 529 PLANS								
/ /	FACADA, ANNA	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.17	0.00	6.17	0.0	0.00
* 529 PLANS SUBTOTAL *			\$5,615.00	\$225.05	\$0.00	\$225.05		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITIES								
12/31/2017	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	84,519.03	70.43	0.00	70.43	0.0	0.00
12/31/2017	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	214,500.19	89.38	0.00	89.38	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$299,019.22	\$159.81	\$0.00	\$159.81		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$304,634.22	\$384.86	\$0.00	\$384.86		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$384.86
Year-to-date Earnings	\$0.00

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