



# COMMISSION STATEMENT

## January 15, 2018

MARC ASHER  
9515 Deereco Rd  
SUITE 710  
Timonium, MD 21093

BROKER #: 642  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/02/2018	***-**-391J - FRIEDMAN, KEITH	AMCAP FUND-A	450.00	4.50	0.00	4.50	83.0	3.74
	ACCOUNT #: 00083404691	BUY 14 Units @31.8900						
01/02/2018	***-**-064J - HALL, AMY	AMERICAN DEVELOPING WRLD GRWTH & IN	250.00	3.00	0.00	3.00	83.0	2.49
	ACCOUNT #: 00083146232	BUY 22 Units @11.5400						
01/03/2018	***-**-601I - FALCK, ROBERT	AMERICAN FUNDS 2020 TARGET DATE-A	6,500.00	104.00	0.00	104.00	83.0	86.32
	ACCOUNT #: 00068513111	BUY 503 Units @12.9100						
01/02/2018	***-**-205P - BISCOTTI,	AMERICAN FUNDS 2030 TARGET DATE-A	30.00	1.13	0.00	1.13	83.0	0.94
	ACCOUNT #: 00064937834	BUY 2 Units @15.2000						
01/02/2018	***-**-08IR - ROSS, GERALD J	AMERICAN FUNDS 2030 TARGET DATE-A	100.00	5.00	0.00	5.00	83.0	4.15
	ACCOUNT #: 00081116941	BUY 6 Units @15.4100						
01/02/2018	***-**-582I - ROZENCWAIG, ERIC	AMERICAN FUNDS 2035 TARGET DATE-A	100.00	2.75	0.00	2.75	83.0	2.28
	ACCOUNT #: 00073025002	BUY 6 Units @15.5000						
01/05/2018	***-**-22IR - RUDO, BRIAN	AMERICAN FUNDS 2035 TARGET DATE-A	5,500.00	151.25	0.00	151.25	83.0	125.54
	ACCOUNT #: 00065173876	BUY 350 Units @15.7200						
01/02/2018	***-**-296I - ROZENCWAIG,	AMERICAN FUNDS 2045 TARGET DATE-A	458.00	12.60	0.00	12.60	83.0	10.46
	ACCOUNT #: 00073024936	BUY 28 Units @16.1300						
01/02/2018	***-**-13IR - LABUE, CHRISTINE	AMERICAN FUNDS 2045 TARGET DATE-A	50.00	2.50	0.00	2.50	83.0	2.08
	ACCOUNT #: 00085542538	BUY 3 Units @16.5200						
01/02/2018	***-**-415P - TARANTINO, SCOTT	CAPITAL INCOME BUILDER-A	200.00	2.00	0.00	2.00	83.0	1.66
	ACCOUNT #: 00087447990	BUY 3 Units @63.0500						
01/02/2018	***-**-064J - HALL, AMY	CAPITAL INCOME BUILDER-A	250.00	3.00	0.00	3.00	83.0	2.49
	ACCOUNT #: 00083146232	BUY 4 Units @64.0100						
01/02/2018	***-**-415P - TARANTINO, SCOTT	CAPITAL WORLD GROWTH AND INCOME FUN	150.00	1.50	0.00	1.50	83.0	1.25
	ACCOUNT #: 00087447990	BUY 3 Units @51.6900						
01/02/2018	***-**-915P - FRIEDMAN, KEITH	COLLEGE 2018 FUND-529A	750.00	7.50	0.00	7.50	83.0	6.23
	ACCOUNT #: 00083404020	BUY 71 Units @10.5600						
01/02/2018	***-**-415P - TARANTINO, SCOTT	COLLEGE 2018 FUND-529A	750.00	7.50	0.00	7.50	83.0	6.23
	ACCOUNT #: 00087442846	BUY 71 Units @10.5600						
01/02/2018	***-**-4064 - BOCCIA, EMMA	COLLEGE 2018 FUND-529A	250.00	3.00	0.00	3.00	83.0	2.49
	ACCOUNT #: 00083146208	BUY 23 Units @10.7200						
01/02/2018	***-**-241O - PUTHIYA-VEETIL,	COLLEGE 2018 FUND-529A	50.00	1.75	0.00	1.75	83.0	1.45
	ACCOUNT #: 00073508689	BUY 5 Units @11.0300						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u></b>								
01/02/2018	***-**-965P - ROZENCWAIG, ACCOUNT #: 00074006097	COLLEGE 2021 FUND-529A BUY 43 Units @11.5300	500.00	13.75	0.00	13.75	83.0	11.41
01/02/2018	***-**-915P - FRIEDMAN, KEITH ACCOUNT #: 00083403951	COLLEGE 2024 FUND-529A BUY 42 Units @11.9300	500.00	5.00	0.00	5.00	83.0	4.15
01/02/2018	***-**-415P - TARANTINO, SCOTT ACCOUNT #: 00087442831	COLLEGE 2024 FUND-529A BUY 63 Units @11.9300	750.00	7.50	0.00	7.50	83.0	6.23
01/02/2018	***-**-5966 - BOCCIA, BROCK ACCOUNT #: 00083146222	COLLEGE 2024 FUND-529A BUY 21 Units @12.1100	250.00	3.00	0.00	3.00	83.0	2.49
01/02/2018	***-**-951R - WEISMAN, DAVID ACCOUNT #: 00075230643	COLLEGE 2024 FUND-529A BUY 16 Units @12.3600	200.00	5.50	0.00	5.50	83.0	4.57
01/02/2018	***-**-951R - WEISMAN, DAVID ACCOUNT #: 00075230711	COLLEGE 2024 FUND-529A BUY 16 Units @12.3600	200.00	5.50	0.00	5.50	83.0	4.57
01/02/2018	***-**-411N - PUTHIYA-VEETIL, ACCOUNT #: 00083895119	COLLEGE 2024 FUND-529A BUY 4 Units @12.4600	50.00	1.75	0.00	1.75	83.0	1.45
01/02/2018	***-**-911N - FRIEDMAN, KEITH ACCOUNT #: 00084072810	COLLEGE 2027 FUND-529A BUY 39 Units @12.6900	500.00	5.00	0.00	5.00	83.0	4.15
01/02/2018	***-**-391J - FRIEDMAN, KEITH ACCOUNT #: 00083404691	EUROPACIFIC GROWTH FUND-A BUY 4 Units @56.9500	200.00	2.00	0.00	2.00	83.0	1.66
01/02/2018	***-**-415P - TARANTINO, SCOTT ACCOUNT #: 00087447990	EUROPACIFIC GROWTH FUND-A BUY 4 Units @56.9500	200.00	2.00	0.00	2.00	83.0	1.66
01/02/2018	***-**-064J - HALL, AMY ACCOUNT #: 00083146232	EUROPACIFIC GROWTH FUND-A BUY 4 Units @57.8200	250.00	3.00	0.00	3.00	83.0	2.49
01/02/2018	***-**-415P - TARANTINO, SCOTT ACCOUNT #: 00087447990	FUNDAMENTAL INVESTORS-A BUY 2 Units @62.8200	150.00	1.50	0.00	1.50	83.0	1.25
01/02/2018	***-**-064J - HALL, AMY ACCOUNT #: 00083146232	INTERNATIONAL GROWTH AND INCOME-A BUY 7 Units @35.8900	250.00	3.00	0.00	3.00	83.0	2.49
01/02/2018	***-**-391J - FRIEDMAN, KEITH ACCOUNT #: 00083404691	NEW WORLD FUND-A BUY 3 Units @67.7600	200.00	2.00	0.00	2.00	83.0	1.66
01/02/2018	***-**-415P - TARANTINO, SCOTT ACCOUNT #: 00087447990	NEW WORLD FUND-A BUY 3 Units @67.7600	200.00	2.00	0.00	2.00	83.0	1.66
01/02/2018	***-**-064J - HALL, AMY ACCOUNT #: 00083146232	NEW WORLD FUND-A BUY 4 Units @68.7900	250.00	3.00	0.00	3.00	83.0	2.49



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
01/02/2018	***-**-391J - FRIEDMAN, KEITH	SMALLCAP WORLD FUND-A	200.00	2.00	0.00	2.00	83.0	1.66
	ACCOUNT #: 00083404691	BUY 4 Units @56.3400						
01/02/2018	***-**-415P - TARANTINO, SCOTT	SMALLCAP WORLD FUND-A	200.00	2.00	0.00	2.00	83.0	1.66
	ACCOUNT #: 00087447990	BUY 4 Units @56.3400						
01/02/2018	***-**-064J - HALL, AMY	SMALLCAP WORLD FUND-A	250.00	3.00	0.00	3.00	83.0	2.49
	ACCOUNT #: 00083146232	BUY 4 Units @57.2000						
01/02/2018	***-**-415P - TARANTINO, SCOTT	TAX-EXEMPT BOND FUND OF AMERICA	200.00	2.00	0.00	2.00	83.0	1.66
	ACCOUNT #: 00087447990	BUY 15 Units @13.0400						
01/02/2018	***-**-064J - HALL, AMY	THE BOND FUND OF AMERICA-A	250.00	3.00	0.00	3.00	83.0	2.49
	ACCOUNT #: 00083146232	BUY 19 Units @13.0600						
01/02/2018	***-**-064J - HALL, AMY	THE GROWTH FUND OF AMERICA-A	250.00	3.00	0.00	3.00	83.0	2.49
	ACCOUNT #: 00083146232	BUY 5 Units @50.9200						
01/02/2018	***-**-391J - FRIEDMAN, KEITH	THE INCOME FUND OF AMERICA-A	450.00	4.50	0.00	4.50	83.0	3.74
	ACCOUNT #: 00083404691	BUY 19 Units @23.4400						
01/02/2018	***-**-415P - TARANTINO, SCOTT	THE INCOME FUND OF AMERICA-A	200.00	2.00	0.00	2.00	83.0	1.66
	ACCOUNT #: 00087447990	BUY 9 Units @23.4400						
01/02/2018	***-**-064J - HALL, AMY	THE INCOME FUND OF AMERICA-A	250.00	3.00	0.00	3.00	83.0	2.49
	ACCOUNT #: 00083146232	BUY 11 Units @23.8000						
01/02/2018	***-**-064J - HALL, AMY	WASHINGTON MUTUAL INVESTORS FUND-A	250.00	3.00	0.00	3.00	83.0	2.49
	ACCOUNT #: 00083146232	BUY 5 Units @46.6200						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$22,988.00</b>	<b>\$405.98</b>	<b>\$0.00</b>	<b>\$405.98</b>		<b>\$337.01</b>
<b>PRODUCT CATEGORY: 529 PLAN</b>								
01/02/2018	***-**-305P - ALOOR, RAVI	AMERICAN MUTUAL FUND-529A	500.00	10.00	0.00	10.00	83.0	8.30
	ACCOUNT #: 00081141529	BUY 12 Units @42.0200						
01/02/2018	***-**-305P - ALOOR, RAVI	CAPITAL WORLD GROWTH AND INCOME-529	500.00	10.00	0.00	10.00	83.0	8.30
	ACCOUNT #: 00081141529	BUY 9 Units @52.8300						
01/02/2018	***-**-665P - WOLLNER, DAVIDA	COLLEGE 2030 FUND-529A	50.00	1.75	0.00	1.75	83.0	1.45
	ACCOUNT #: 00087215180	BUY 3 Units @14.3000						
01/02/2018	***-**-86CL - ASHER, CLIENTS	COLLEGE 2030 FUND-529A	50.00	1.75	0.00	1.75	83.0	1.45
	ACCOUNT #: 00087512963	BUY 3 Units @14.3000						
01/02/2018	***-**-425P - RADINSKY,	NEW PERSPECTIVE FUND-529A	100.00	2.75	0.00	2.75	83.0	2.28
	ACCOUNT #: 00006895131	BUY 2 Units @44.6900						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: 529 PLAN (continued)</b>								
01/02/2018	***-**-425P - RADINSKY, ACCOUNT #: 00068975133	NEW PERSPECTIVE FUND-529A BUY 2 Units @44.6900	100.00	2.75	0.00	2.75	83.0	2.28
01/02/2018	***-**-425P - RADINSKY, ACCOUNT #: 00068975127	THE GROWTH FUND OF AMERICA-529A BUY 2 Units @51.4400	100.00	2.75	0.00	2.75	83.0	2.28
01/02/2018	***-**-425P - RADINSKY, ACCOUNT #: 00068975129	THE GROWTH FUND OF AMERICA-529A BUY 2 Units @51.4400	100.00	2.75	0.00	2.75	83.0	2.28
01/02/2018	***-**-425P - RADINSKY, ACCOUNT #: 00068975128	THE INVESTMENT COMPANY OF AMERICA-5 BUY 2 Units @42.1300	100.00	2.75	0.00	2.75	83.0	2.28
01/02/2018	***-**-425P - RADINSKY, ACCOUNT #: 00068975131	THE INVESTMENT COMPANY OF AMERICA-5 BUY 2 Units @42.1300	100.00	2.75	0.00	2.75	83.0	2.28
01/02/2018	***-**-425P - RADINSKY, ACCOUNT #: 00068975132	THE INVESTMENT COMPANY OF AMERICA-5 BUY 2 Units @42.1300	100.00	2.75	0.00	2.75	83.0	2.28
* 529 PLAN SUBTOTAL *			\$1,800.00	\$42.75	\$0.00	\$42.75		\$35.46
*** BROKER TRANSACTIONS TOTAL ***			\$24,788.00	\$448.73	\$0.00	\$448.73		\$372.47

### BROKER COMMISSION TOTALS

Broker Transactions	\$372.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$372.47
Year-to-date Earnings	\$765.11