



Concorde Investment Services, LLC

02/19/2018

Page No. 1

COMMISSION STATEMENT**February 15, 2018**

JOSEPH, W128 WIEGAND
1220 N. 200 West
Suite B
Angola, IN 46703

BROKER #: W128
BRANCH: LIFETIME FINANCIAL S

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
12/31/2017	BAALS, GREY	GRIFFIN INST'L ACCESS R E FUND C	50,515.69	42.91	0.00	42.91	90.0	38.62
		* INTERVAL FUNDS SUBTOTAL *	\$50,515.69	\$42.91	\$0.00	\$42.91		\$38.62
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/22/2018	SARRAZIN, MARY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	35.11	0.00	35.11	90.0	31.60
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$35.11	\$0.00	\$35.11		\$31.60
		*** DIRECT TRANSACTIONS TOTAL ***	\$50,515.69	\$78.02	\$0.00	\$78.02		\$70.22

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10**

/ /	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.11	0.00	0.11	90.0	0.10
01/26/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.18	0.00	0.18	90.0	0.16
01/26/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.18	0.00	0.18	90.0	0.16
01/26/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.42	0.00	0.42	90.0	0.38
01/31/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.42	0.00	0.42	90.0	0.38
		* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *	\$150.00	\$1.31	\$0.00	\$1.31		\$1.18

BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209

01/31/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.63	0.00	2.63	90.0	2.37
01/31/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.82	0.00	1.82	90.0	1.64
01/31/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	121.23	1.59	0.00	1.59	90.0	1.43
01/31/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	121.23	1.59	0.00	1.59	90.0	1.43
01/31/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.63	0.00	3.63	90.0	3.27
01/31/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.82	0.00	1.82	90.0	1.64
01/18/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.32	0.00	0.32	90.0	0.29
01/18/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	90.0	0.19

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
01/18/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.11	0.00	0.11	90.0	0.10
01/18/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.13	0.00	0.13	90.0	0.12
01/18/2018	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.43	0.00	0.43	90.0	0.39
01/18/2018	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.14	0.00	0.14	90.0	0.13
01/31/2018	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
01/18/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.22	0.00	0.22	90.0	0.20
01/18/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.25	0.00	0.25	90.0	0.23
02/06/2018	JUNOD, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	-2,476.23	7.70	7.70	0.00	90.0	0.00
01/18/2018	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.14	0.00	0.14	90.0	0.13
01/18/2018	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.20	0.00	0.20	90.0	0.18
02/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.88	0.00	0.88	90.0	0.79
01/18/2018	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.19	0.00	0.19	90.0	0.17
01/29/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.88	0.00	0.88	90.0	0.79
01/30/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.13	0.00	6.13	90.0	5.52
01/18/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.19	0.00	0.19	90.0	0.17
01/18/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.26	0.00	0.26	90.0	0.23
01/18/2018	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.20	0.00	0.20	90.0	0.18
01/26/2018	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.66	0.00	1.66	90.0	1.49
01/26/2018	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.14	0.00	0.14	90.0	0.13
01/26/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.09	0.00	0.09	90.0	0.08
01/31/2018	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.25	0.00	0.25	90.0	0.23
01/31/2018	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.42	0.00	0.42	90.0	0.38
01/31/2018	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.25	0.00	0.25	90.0	0.23
01/26/2018	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
01/26/2018	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
01/26/2018	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
01/17/2018	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.14	0.00	1.14	90.0	1.03
01/17/2018	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.80	0.00	4.80	90.0	4.32
01/12/2018	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.19	0.00	0.19	90.0	0.17
01/23/2018	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.70	0.00	0.70	90.0	0.63
12/31/2017	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	53,377.94	15.87	0.00	15.87	90.0	14.28
01/31/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.11	0.00	0.11	90.0	0.10
01/18/2018	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.27	0.00	0.27	90.0	0.24

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
01/18/2018	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.32	0.00	1.32	90.0	1.19
01/31/2018	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	5.79	0.00	5.79	90.0	5.21
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$52,848.01	\$67.89	\$7.70	\$60.19		\$54.22
*** SPLIT TRANSACTIONS TOTAL ***			\$52,998.01	\$69.20	\$7.70	\$61.50		\$55.40

<u>ADJUSTMENTS</u>								
02/15/2018		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
02/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.05
02/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-499.05

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$70.22
Split Transactions	\$55.40
Override Transactions	\$0.00
Adjustments	\$-499.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-373.43
Year-to-date Gross	\$701.79
Year-to-date Earnings	\$624.79

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