

COMMISSION STATEMENT

May 31, 2018

MICHAEL WOLLOCH

43 SECOR RD

SCARSDALE, NY 10583

BROKER #: W55

BRANCH: HOME OFFICE

COMMISSION STATEMENT for MICHAEL WOLLOCH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

05/22/2018	QGW016040	NEWMAN, M	VERU INC COMMON STOCK	B	8,972.00	82.00	10.00	72.00	45.0	32.40
05/11/2018	QGW016198	BEHETTE, ANTHONY	HELIOS & MATHESON ANALYTICS INC COM B		2,142.68	100.00	10.00	90.00	45.0	40.50
05/10/2018	QGW016214	MAIMAN, JUDITH	PROCTER & GAMBLE CO	S	-14,650.00	38.00	10.00	28.00	45.0	12.60
05/17/2018	QGW016214	MAIMAN, JUDITH	COMCAST CORP CL A	S	-13,100.00	38.00	10.00	28.00	45.0	12.60
* STOCKS SUBTOTAL *					<u>\$-16,635.32</u>	<u>\$258.00</u>	<u>\$40.00</u>	<u>\$218.00</u>		<u>\$98.10</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/31/2018		FEE COUNT - 11			0.00	135.45	0.00	135.45	45.0	60.96
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					<u>\$0.00</u>	<u>\$135.45</u>	<u>\$0.00</u>	<u>\$135.45</u>		<u>\$60.96</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$-16,635.32</u>	<u>\$393.45</u>	<u>\$40.00</u>	<u>\$353.45</u>		<u>\$159.06</u>

ADJUSTMENTS

05/31/2018		COMMISSION INCOME: MARCH 2018		0.00	0.00	0.00	0.00		54.68
05/31/2018		COMMISSION INCOME: APRIL 2018		0.00	0.00	0.00	0.00		223.00
05/31/2018		COMMISSION INCOME: TOTAL - (2017),		0.00	0.00	0.00	0.00		949.77
05/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
05/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$1,199.45</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$159.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$1,199.45
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.49
SIPC Assessment	\$-0.98

Check Amount	\$1,357.04
Year-to-date Earnings	\$2,107.03