



# COMMISSION STATEMENT

## February 26, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: CHRISTOPHER MILGROOM</u></b>								
02/05/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200598	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	1.21	0.00	1.21	15.0	0.18
02/05/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200633	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	6.00	0.00	6.00	15.0	0.90
02/05/2018	***-**-2111 - SPECTRUM TOURS ACCOUNT #: 07468201048	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	0.06	0.00	0.06	15.0	0.01
02/15/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796879	CAPITAL WORLD GROWTH AND INCOME-529 BUY 1 Units @54.4800	75.00	2.06	0.00	2.06	15.0	0.31
02/21/2018	***-**-844P - COLIN CAMPBELL ACCOUNT #: 5045816922	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	15.0	0.04
02/15/2018	***-**-3804 - LETOURNEAU, ACCOUNT #: 08352639846	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	15.0	0.36
02/15/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796879	EUROPACIFIC GROWTH FUND-529A BUY 1 Units @59.5100	75.00	2.06	0.00	2.06	15.0	0.31
02/15/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796879	FUNDAMENTAL INVESTORS-529A BUY 1 Units @66.1800	75.00	2.06	0.00	2.06	15.0	0.31
02/01/2018	***-**-97IR - CRAWFORD, JAMES ACCOUNT #: 03305198767	GLOBAL FUND A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	15.0	0.36
02/15/2018	***-**-3804 - LETOURNEAU, ACCOUNT #: 02156825863	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	15.0	0.36
02/14/2018	***-**-6647 - CABLE, ROBERT ACCOUNT #: 07108000257	HIGH YIELD R2 BUY 0 Units @0.0000	0.00	3.82	0.00	3.82	15.0	0.57
02/14/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07108000258	HIGH YIELD R2 BUY 0 Units @0.0000	0.00	15.57	0.00	15.57	15.0	2.34
02/14/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07108000269	HIGH YIELD R2 BUY 0 Units @0.0000	0.00	42.29	0.00	42.29	15.0	6.34
02/16/2018	***-**-67CL - MILGROOM, ACCOUNT #: R074824-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	13.04	0.00	13.04	15.0	1.96
02/16/2018	***-**-3178 - IONESCU, MIHAELA ACCOUNT #: R081736-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	4.07	0.00	4.07	15.0	0.61
02/21/2018	***-**-545P - MARTIN, ACCOUNT #: R104873-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	33.24	0.00	33.24	15.0	4.99



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<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> </b>								
02/01/2018	***-**-97IR - CRAWFORD, JAMES	INTERNATIONAL BOND A	50.00	2.00	0.00	2.00	15.0	0.30
	ACCOUNT #: 08806519546	BUY 0 Units @0.0000						
02/15/2018	***-**-3804 - LETOURNEAU,	OPPENHEIMER INTERNATIONAL DIVERSIFI	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 01952878018	BUY 0 Units @0.0000						
02/05/2018	***-**-67CL - MILGROOM,	SMALL CAP VALUE FUND - R2	0.00	1.94	0.00	1.94	15.0	0.29
	ACCOUNT #: 07418403711	BUY 0 Units @0.0000						
02/05/2018	***-**-67CL - MILGROOM,	SMALL CAP VALUE FUND - R2	0.00	0.60	0.00	0.60	15.0	0.09
	ACCOUNT #: 07418403731	BUY 0 Units @0.0000						
/ /	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	-0.30	0.00	-0.30	15.0	-0.05
		BUY 0 Units @0.0000						
02/21/2018	***-**-9109 - PASCH, ROBERT	T ROWE TRAILS	0.00	3.10	0.00	3.10	15.0	0.47
	ACCOUNT #: 9927000799	BUY 0 Units @0.0000						
02/21/2018	***-**-8780 - PALM BEACH	T ROWE TRAILS	0.00	23.78	0.00	23.78	15.0	3.57
	ACCOUNT #: 9927000872	BUY 0 Units @0.0000						
02/21/2018	***-**-9109 - PASCH, ROBERT	T ROWE TRAILS	0.00	3.04	0.00	3.04	15.0	0.46
	ACCOUNT #: 9927000799	BUY 0 Units @0.0000						
02/21/2018	***-**-2111 - SPECTRUM TOURS	T ROWE TRAILS	0.00	0.30	0.00	0.30	15.0	0.05
		BUY 0 Units @0.0000						
02/21/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	39.36	0.00	39.36	15.0	5.90
		BUY 0 Units @0.0000						
02/15/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @53.6000						
02/02/2018	***-**-67CL - MILGROOM,	TRANSAMERICA SMALL/MID CAP VALUE-C	0.00	15.09	0.00	15.09	15.0	2.26
	ACCOUNT #: 07748008823	BUY 0 Units @0.0000						
02/02/2018	***-**-67CL - MILGROOM,	TRANSAMERICA SMALL/MID CAP VALUE-C	0.00	18.72	0.00	18.72	15.0	2.81
	ACCOUNT #: 07748008142	BUY 0 Units @0.0000						
02/09/2018	***-**-6647 - CABLE, ROBERT	WELLS FARGO SPECIAL MID CAP CL C	0.00	0.57	0.00	0.57	15.0	0.09
	ACCOUNT #: 08551051344	BUY 0 Units @0.0000						
02/09/2018	***-**-6647 - CABLE, ROBERT	WELLS FARGO SPECIAL MID CAP CL C	0.00	1.72	0.00	1.72	15.0	0.26
	ACCOUNT #: 08551051344	BUY 0 Units @0.0000						
* CHRISTOPHER MILGROOM SUBTOTAL *			\$550.00	\$247.22	\$0.00	\$247.22		\$37.12
*** OVERRIDE TRANSACTIONS TOTAL ***			\$550.00	\$247.22	\$0.00	\$247.22		\$37.12



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## BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$37.12
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$47.10
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$84.22</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>