

**COMMISSION STATEMENT****November 30, 2017**

ALAN LICHTENSTEIN
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
11/15/2017	BALDWIN, PATRICE	POWERSHARES QQQ TR UNIT SER 1	15,231.50	0.00	22.50	-22.50	75.0	-16.88
11/15/2017	BALDWIN, PATRICE	VANGUARD ADMIRAL FDS INC S&P MIDCAP	14,957.39	0.00	22.50	-22.50	75.0	-16.88
11/15/2017	BALDWIN, PATRICE	VANGUARD INDEX FDS VANGUARD VALUE E	14,951.94	0.00	22.50	-22.50	75.0	-16.88
11/16/2017	BALDWIN, PATRICE	VANGUARD WHITEHALL FDS HIGH DIVIDEN	15,005.90	0.00	22.50	-22.50	75.0	-16.88
* STOCKS SUBTOTAL *			\$60,146.73	\$0.00	\$90.00	\$-90.00		\$-67.52
*** CLEARING TRANSACTIONS TOTAL ***			\$60,146.73	\$0.00	\$90.00	\$-90.00		\$-67.52
<u>ADJUSTMENTS</u>								
11/30/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					645.05
11/30/2017		*PERSHING: MARKUPS	0.00					120.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$765.05

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$-67.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$765.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-577.53
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$120.00
Year-to-date Gross	\$48,337.89
Year-to-date Earnings	\$41,867.86