



Concorde Investment Services, LLC

01/13/2017
Page No. 1

COMMISSION STATEMENT

January 17, 2017

JBB JEN-BRENT-BRION TRU-DONAIS-LEE-NORBY

BROKER #: JBB

BRANCH: TRUWEALTH FINANCIAL-

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^12/01/2016	ALEXANDER TRUST, DAVID &	AB HIGH INCOME MUNICIPAL AD	130,000.00	0.00	9.65	-9.65	100.0	0.00
^12/09/2016	SINNESS, STEVEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	28.93	0.00	28.93	100.0	0.00
^12/09/2016	SINNESS, STEVEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.63	0.00	4.63	100.0	0.00
^12/09/2016	SINNESS, STEVEN	AM FUNDS ICA A	0.00	31.29	0.00	31.29	100.0	0.00
^12/09/2016	SINNESS, STEVEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	42.65	0.00	42.65	100.0	0.00
^12/09/2016	SINNESS, STEVEN	AM FUNDS NEW WORLD A	0.00	11.57	0.00	11.57	100.0	0.00
^12/09/2016	SINNESS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	5.56	0.00	5.56	100.0	0.00
^12/01/2016	ALEXANDER TRUST, DAVID &	BLACKROCK HIGH YIELD	50,000.00	0.00	0.00	0.00	100.0	0.00
^12/09/2016	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.81	0.00	0.81	100.0	0.00
^12/09/2016	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.89	0.00	0.89	100.0	0.00
^12/09/2016	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	1.17	0.00	1.17	100.0	0.00
^12/09/2016	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.84	0.00	0.84	100.0	0.00
^12/09/2016	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	1.20	0.00	1.20	100.0	0.00
^12/09/2016	MORRIS, PATRICK	COLUMBIA DIVIDEND OPP A	0.00	0.85	0.00	0.85	100.0	0.00
^12/09/2016	MORRIS, SANDRA PACKER	COLUMBIA DIVIDEND OPP A	0.00	0.02	0.00	0.02	100.0	0.00
^12/09/2016	KALIL, DANIEL	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.38	0.00	0.38	100.0	0.00
^12/09/2016	KALIL, DANIEL	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.62	0.00	0.62	100.0	0.00
^12/09/2016	KALIL, DANIEL	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.44	0.00	0.44	100.0	0.00
^12/09/2016	KALIL, DANIEL	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.03	0.00	0.03	100.0	0.00
^12/09/2016	MORRIS, PATRICK	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	2.70	0.00	2.70	100.0	0.00
^12/09/2016	MORRIS, SANDRA PACKER	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	0.09	0.00	0.09	100.0	0.00
^12/12/2016	MORRIS, PATRICK	DELAWARE VALUE A	0.00	2.75	0.00	2.75	100.0	0.00
^12/12/2016	MORRIS, SANDRA PACKER	DELAWARE VALUE A	0.00	0.09	0.00	0.09	100.0	0.00
^12/15/2016	KALIL, DANIEL	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	1.83	0.00	1.83	100.0	0.00
^12/15/2016	MORRIS, PATRICK	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	3.28	0.00	3.28	100.0	0.00
^12/15/2016	MORRIS, SANDRA PACKER	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	0.11	0.00	0.11	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^12/09/2016	KALIL, DANIEL	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.42	0.00	0.42	100.0	0.00
^12/09/2016	KALIL, DANIEL	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.29	0.00	0.29	100.0	0.00
^12/09/2016	KALIL, DANIEL	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.39	0.00	0.39	100.0	0.00
^12/09/2016	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.97	0.00	0.97	100.0	0.00
^12/09/2016	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.70	0.00	0.70	100.0	0.00
^12/09/2016	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.99	0.00	0.99	100.0	0.00
^12/09/2016	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.56	0.00	0.56	100.0	0.00
^12/09/2016	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.62	0.00	0.62	100.0	0.00
^12/09/2016	MORRIS, PATRICK	JP MORGAN STRATEGIC INCOME OPPORTUN	0.00	0.37	0.00	0.37	100.0	0.00
^12/09/2016	MORRIS, SANDRA PACKER	JP MORGAN STRATEGIC INCOME OPPORTUN	0.00	0.01	0.00	0.01	100.0	0.00
^12/09/2016	MORRIS, PATRICK	LEGG MASON BW ABSOLUTE RETURN OPP F	0.00	0.37	0.00	0.37	100.0	0.00
^12/09/2016	MORRIS, SANDRA PACKER	LEGG MASON BW ABSOLUTE RETURN OPP F	0.00	0.01	0.00	0.01	100.0	0.00
^12/09/2016	DONAI, RUTH	METROPOLITAN WEST TOTAL RETURN BOND	0.00	1.74	0.00	1.74	100.0	0.00
^01/06/2017	NEWBERRY TRUST, GLORIA	PRINCIPAL FUNDS CONSERVATIVE GROWTH	-909.30	0.00	0.00	0.00	100.0	0.00
^01/06/2017	NEWBERRY TRUST, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	-2,870.94	0.00	0.00	0.00	100.0	0.00
^12/09/2016	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.46	0.00	0.46	100.0	0.00
^12/09/2016	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.51	0.00	0.51	100.0	0.00
^12/09/2016	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.80	0.00	0.80	100.0	0.00
^12/09/2016	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.56	0.00	0.56	100.0	0.00
^12/09/2016	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.82	0.00	0.82	100.0	0.00
^12/09/2016	KALIL, DANIEL	PRUDENTIAL SHORT-TERM CORP BOND CL	0.00	35.47	0.00	35.47	100.0	0.00
^12/09/2016	MORRIS, PATRICK	RIVERNORTH CORE OPPORTUNITY FUND CL	0.00	1.44	0.00	1.44	100.0	0.00
^12/09/2016	MORRIS, SANDRA PACKER	RIVERNORTH CORE OPPORTUNITY FUND CL	0.00	0.06	0.00	0.06	100.0	0.00
^12/09/2016	MORRIS, PATRICK	T ROWE PRICE GROWTH STOCK FUND ADVI	0.00	2.84	0.00	2.84	100.0	0.00
^12/09/2016	MORRIS, SANDRA PACKER	T ROWE PRICE GROWTH STOCK FUND ADVI	0.00	0.10	0.00	0.10	100.0	0.00
^12/09/2016	MORRIS, PATRICK	T ROWE PRICE INT STOCK - ADVISOR CL	0.00	1.64	0.00	1.64	100.0	0.00
^12/09/2016	MORRIS, SANDRA PACKER	T ROWE PRICE INT STOCK - ADVISOR CL	0.00	0.07	0.00	0.07	100.0	0.00
^12/09/2016	MORRIS, PATRICK	TCW DIVIDEND FOCUSED FUND CLASS N	0.00	2.82	0.00	2.82	100.0	0.00
^12/09/2016	MORRIS, SANDRA PACKER	TCW DIVIDEND FOCUSED FUND CLASS N	0.00	0.10	0.00	0.10	100.0	0.00
^12/09/2016	KALIL, DANIEL	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.39	0.00	0.39	100.0	0.00
^12/09/2016	DONAI, RUTH	WESTERN ASSET CORE PLUS BOND FUND C	0.00	1.25	0.00	1.25	100.0	0.00
^12/09/2016	HATLESTAD, PATRICK	WESTERN ASSET CORE PLUS BOND FUND C	0.00	3.09	0.00	3.09	100.0	0.00
^12/09/2016	POWELL, WILLIAM	WESTERN ASSET CORE PLUS BOND FUND C	0.00	1.66	0.00	1.66	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^12/09/2016	KALIL, DANIEL	WESTERN ASSET CORE PLUS BOND FUND C	0.00	2.53	0.00	2.53	100.0	0.00
^12/09/2016	VESTAL, KATHY	WESTERN ASSET CORE PLUS BOND FUND C	0.00	2.09	0.00	2.09	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$176,219.76	\$208.87	\$9.65	\$199.22		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
^12/31/2016	HATLESTAD, PATRICK	PRINCIPAL LIFE	0.00	145.58	0.00	145.58	100.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$145.58	\$0.00	\$145.58		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
^12/20/2016	RED RIVER SUPPLY	PRINCIPAL LIFE	0.00	388.03	0.00	388.03	100.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$388.03	\$0.00	\$388.03		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$176,219.76	\$742.48	\$9.65	\$732.83		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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