

STATEMENT

February 20, 2018

DWAIN BLAKE

BROKER #: 049

BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DWAIN BLAKE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/10/2018 #	RAY, CARRIE	ARTISAN PARTNERS FDS INC INTL VALUE S		18,065.12	0.00	0.00	0.00	0.00	75.0	0.00
01/10/2018 #	RAY, CARRIE	JOHN HANCOCK FDS III DISCIPLINED VA S		14,429.60	0.00	0.00	0.00	0.00	75.0	0.00
01/22/2018 #	RAY, CARRIE	METROPOLITAN WEST FDS TOTAL RETURN S		25,616.59	0.00	0.00	0.00	0.00	75.0	0.00
01/22/2018 #	RAY, CARRIE	VANGUARD BD INDEX FD INC INTER TERM S		16,963.74	0.00	0.00	0.00	0.00	75.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$75,075.05	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

01/22/2018 #	BLAKE, DWAIN	SOUTHWEST AIRLINES CO	B	3,238.50	0.00	19.95	0.00	-19.95	75.0	-14.96
01/26/2018 #	SINGLETON, AUDREY	BOEING CO	B	8,555.25	0.00	0.00	0.00	0.00	75.0	0.00
01/26/2018 #	SALMI, ROBERT	FIRST TR EXCHANGE TRADED FD	B	6,029.99	0.00	0.00	0.00	0.00	75.0	0.00
01/26/2018 #	SALMI, ROBERT	FIRST TR EXCHANGE TRADED FD VI	B	5,989.46	0.00	0.00	0.00	0.00	75.0	0.00
01/26/2018 #	SALMI, ROBERT	FIRST TR VALUE LINE DIVID	B	6,365.00	0.00	0.00	0.00	0.00	75.0	0.00
01/26/2018 #	AYERS, ROBERT	ISHARES TRUST	B	1,086.00	0.00	19.95	0.00	-19.95	75.0	-14.96
01/26/2018 #	WELCKER, SCOTT	ISHARES TRUST	B	1,013.46	0.00	19.95	0.00	-19.95	75.0	-14.96
01/26/2018 #	AYERS, ROBERT	J P MORGAN EXCHANGE TRADED	B	1,069.60	0.00	19.95	0.00	-19.95	75.0	-14.96
01/26/2018 #	WELCKER, SCOTT	J P MORGAN EXCHANGE TRADED	B	1,069.95	0.00	19.95	0.00	-19.95	75.0	-14.96
01/26/2018 #	SINGLETON, AUDREY	LAZARD LTD SHS A	B	5,921.02	0.00	0.00	0.00	0.00	75.0	0.00
01/26/2018 #	AYERS, ROBERT	SECTOR SPDR TRUST	B	563.50	0.00	19.95	0.00	-19.95	75.0	-14.96
01/26/2018 #	AYERS, ROBERT	SECTOR SPDR TRUST SHS BEN INT TECHN B	B	1,034.55	0.00	19.95	0.00	-19.95	75.0	-14.96
01/26/2018 #	WELCKER, SCOTT	SECTOR SPDR TRUST SHS BEN INT TECHN B	B	1,034.85	0.00	19.95	0.00	-19.95	75.0	-14.96
01/26/2018 #	AYERS, ROBERT	SELECT SECTOR SPDR FUND	B	1,087.50	0.00	19.95	0.00	-19.95	75.0	-14.96
01/26/2018 #	WELCKER, SCOTT	SELECT SECTOR SPDR FUND	B	979.11	0.00	19.95	0.00	-19.95	75.0	-14.96
01/26/2018 #	SINGLETON, AUDREY	SOUTHWEST AIRLINES CO	B	9,086.70	0.00	0.00	0.00	0.00	75.0	0.00
01/26/2018 #	SALMI, ROBERT	SPDR SERIES TRUST	B	6,630.98	0.00	0.00	0.00	0.00	75.0	0.00
01/26/2018 #	WELCKER, SCOTT	VANGUARD WORLD FUNDS VANGUARD HEALT B	B	682.04	0.00	19.95	0.00	-19.95	75.0	-14.96
* STOCKS SUBTOTAL *				\$61,437.46	\$0.00	\$219.45	\$0.00	\$-219.45		\$-164.56

PRODUCT CATEGORY: VARIABLE ANNUITIES

12/31/2017 #922174129	LAJUNESSE, DEBRA	LINCOLN	B	0.00	978.81	0.00	0.00	978.81	75.0	734.11
12/31/2017 #922174130	LAJUNESSE, PHILLIP	LINCOLN	B	0.00	1,698.57	0.00	0.00	1,698.57	75.0	1,273.93
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$2,677.38	\$0.00	\$0.00	\$2,677.38		\$2,008.04

COMMISSION STATEMENT for DWAIN BLAKE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
*** BROKER TRANSACTIONS TOTAL ***				\$136,512.51	\$2,677.38	\$219.45	\$0.00	\$2,457.93		\$1,843.48

ADJUSTMENTS

02/20/2018	ADMIN FEE: ADMIN FEE									-390.00
02/20/2018	ASSISTANT FEE: ASSISTANT FEE									-219.00
02/20/2018	CIRCLEBLACK: CIRCLEBLACK									-175.00
02/20/2018	DOCUSIGN: DOCUSIGN									-51.00
*** ADJUSTMENTS TOTAL ***										\$-835.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,843.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-835.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-3,645.58
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-2,637.10
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