

COMMISSION STATEMENT
March 08, 2018

STEVEN COOK
3200 N. Central Ave.
Suite 200
Phoenix, AZ 85012

BROKER #: 73756
BRANCH: 04-#70A11 HOME OFFIC

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: CUE Financial Group, Inc

ADJUSTMENTS

| | | | | | | | |
|---------------------------------|--|--|--------|--------|--------|--------|--------|
| PRIOR PERIOD BALANCE(SEE BELOW) | | | | | | | 0.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Prior Period Balance | \$-15.00 |
| NASD Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|----------|
| Balance Forward | \$-15.00 |
| Year-to-date Earnings | \$0.00 |

COMMISSION STATEMENT for STEVEN COOK

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: Securities America

BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

| | | | | | | | |
|------------------|---------------------|-------------------------------|--------|------------|--------|------------|----------|
| 03/08/2018 | COOK (73756), STEVE | SAI ADVISORY INCOME (CUE RIA) | 0.00 | 1,276.57 | 0.00 | 1,276.57 | 648.50 |
| * RIA SUBTOTAL * | | | \$0.00 | \$1,276.57 | \$0.00 | \$1,276.57 | \$648.50 |

PRODUCT CATEGORY: TRAILERS

| | | | | | | | |
|-----------------------------------|---------------------|------------|--------|------------|--------|------------|------------|
| 03/08/2018 | COOK (73756), STEVE | SAI.TRAILS | 0.00 | 2,995.12 | 0.00 | 2,995.12 | 1,521.52 |
| * TRAILERS SUBTOTAL * | | | \$0.00 | \$2,995.12 | \$0.00 | \$2,995.12 | \$1,521.52 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$0.00 | \$4,271.69 | \$0.00 | \$4,271.69 | \$2,170.02 |

ADJUSTMENTS

| | | | | | | | |
|---------------------------------|--|--|--------|--------|--------|--------|-----------|
| 7. MONTHLY SVC & TECHNOLOGY FEE | | | | | | | -227.50 |
| 18. SAI SIPC PE 3/2/18 | | | | | | | -2.40 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-229.90 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$2,170.02 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-229.90 |
| Prior Period Balance | \$0.00 |
| NASD Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$1,940.12 |
| Year-to-date Earnings | \$49,414.72 |