



Concorde Investment Services, LLC

07/16/2018

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COMMISSION STATEMENT

July 15, 2018

JAMES, B153 BREWER
6500 Busch Blvd
Suite 132
Columbus, OH 43229

BROKER #: B153 Terminated 02/20/2018
BRANCH: BREWER & ASSOCIATIES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/15/2018	BALE, RICHARD	AM FUNDS AMCAP A	0.00	0.22	0.0	0.00	0.00	0.00
06/15/2018	ROWE, ERNEST	AM FUNDS AMERICAN BALANCED A	0.00	0.92	0.0	0.00	0.00	0.00
06/15/2018	LIMCHOC, OSCAR	AM FUNDS AMERICAN BALANCED A	0.00	0.53	0.0	0.00	0.00	0.00
06/15/2018	COMPTON, SHARON	AM FUNDS AMERICAN BALANCED A	0.00	3.66	0.0	0.00	0.00	0.00
06/15/2018	ALLEN, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	4.12	0.0	0.00	0.00	0.00
06/15/2018	COMPTON, SHARON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.57	0.0	0.00	0.00	0.00
06/15/2018	MYERS, GARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.26	0.0	0.00	0.00	0.00
06/15/2018	MYERS, GARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	58.78	0.0	0.00	0.00	0.00
06/15/2018	BEBOUT, DARRELL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.13	0.0	0.00	0.00	0.00
06/15/2018	GOUDY, BEVERLY	AM FUNDS NEW ECONOMY A	0.00	12.91	0.0	0.00	0.00	0.00
06/15/2018	GOUDY, BEVERLY	AM FUNDS SMALLCAP WORLD A	0.00	10.23	0.0	0.00	0.00	0.00
06/20/2018	SCHOPPELREI, CLEMENT	AMERICAN CENTURY MID CAP VALUE FUND	0.00	0.05	0.0	0.00	0.00	0.00
06/15/2018	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	2.19	0.0	0.00	0.00	0.00
06/15/2018	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	1.17	0.0	0.00	0.00	0.00
06/15/2018	SELCER, RICHARD	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	5.59	0.0	0.00	0.00	0.00
06/15/2018	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	2.12	0.0	0.00	0.00	0.00
06/15/2018	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	1.14	0.0	0.00	0.00	0.00
06/25/2018	SCHOPPELREI, CLEMENT	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.06	0.0	0.00	0.00	0.00
06/08/2018	ROWE, ERNEST	CATALYST/MAP GLOBAL CAPITAL	0.00	0.88	0.0	0.00	0.00	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
		APPRECI						
06/13/2018	LIMCHOC, OSCAR	COLUMBIA DIVIDEND INCOME A	0.00	0.51	0.0	0.00	0.00	0.00
06/13/2018	SCHOPPELREI, CLEMENT	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.05	0.0	0.00	0.00	0.00
06/15/2018	STEIN, JANICE	DELAWARE SELECT GROWTH FUND CLASS A	0.00	0.34	0.0	0.00	0.00	0.00
06/18/2018	LIMCHOC, OSCAR	FRANKLIN INCOME A	0.00	0.31	0.0	0.00	0.00	0.00
06/18/2018	COMPTON, SHARON	FRANKLIN INCOME A	0.00	2.17	0.0	0.00	0.00	0.00
06/18/2018	SELCER, RICHARD	HARTFORD HEALTHCARE A	0.00	2.51	0.0	0.00	0.00	0.00
06/18/2018	GOUDY, BEVERLY	HARTFORD HEALTHCARE A	0.00	7.04	0.0	0.00	0.00	0.00
06/18/2018	LAWVER, DAVID	ICON LEISURE AND CONSUMER STAPLES F	0.00	1.58	0.0	0.00	0.00	0.00
06/18/2018	MCANINCH, JUDY	ICON UTILITIES FUND CLASS A	0.00	5.50	0.0	0.00	0.00	0.00
06/22/2018	SCHOPPELREI, CLEMENT	JP MORGAN LARGE-CAP GROWTH A	0.00	0.06	0.0	0.00	0.00	0.00
06/21/2018	SCHOPPELREI, CLEMENT	LORD ABBETT INFLATION FOCUSED FUND	0.00	0.01	0.0	0.00	0.00	0.00
06/18/2018	GOUDY, BEVERLY	MFS INTERNATIONAL DISCOVERY A	0.00	8.43	0.0	0.00	0.00	0.00
06/18/2018	SCHOPPELREI, CLEMENT	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.03	0.0	0.00	0.00	0.00
06/18/2018	SCHOPPELREI, CLEMENT	MFS VALUE A	0.00	0.06	0.0	0.00	0.00	0.00
06/18/2018	COMPTON, SHARON	OPPENHEIMER GLOBAL A	0.00	3.88	0.0	0.00	0.00	0.00
06/18/2018	MYERS, GARY	OPPENHEIMER GLOBAL A	0.00	59.37	0.0	0.00	0.00	0.00
06/18/2018	SCHOPPELREI, CLEMENT	OPPENHEIMER INTL GROWTH A	0.00	0.03	0.0	0.00	0.00	0.00
06/21/2018	BALE, RICHARD	PAC LIFE FUNDS PORTFOLIO OPTIMIZATI	0.00	0.10	0.0	0.00	0.00	0.00
06/11/2018	GOUDY, BEVERLY	PRUDENTIAL QMA MID CAP VALUE FUND C	0.00	21.95	0.0	0.00	0.00	0.00
06/18/2018	FALTER, THEODORE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.58	0.0	0.00	0.00	0.00
06/18/2018	VANDERGRIFF, CHARLES	RESOURCE R E DIVERSIFIED INC FUND A	0.00	6.77	0.0	0.00	0.00	0.00
06/18/2018	WESS, DONALD	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.19	0.0	0.00	0.00	0.00
06/18/2018	CALABRETTA, SHIRLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.98	0.0	0.00	0.00	0.00
06/18/2018	SELCER, RICHARD	RESOURCE R E DIVERSIFIED INC FUND A	0.00	7.36	0.0	0.00	0.00	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/18/2018	BEBOUT, ELMA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.37	0.0	0.00	0.00	0.00
06/18/2018	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.87	0.0	0.00	0.00	0.00
06/18/2018	CHAMBERLAIN, LARRY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	7.92	0.0	0.00	0.00	0.00
06/18/2018	COMPTON, WILLIAM	RESOURCE R E DIVERSIFIED INC FUND A	0.00	6.09	0.0	0.00	0.00	0.00
06/18/2018	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.08	0.0	0.00	0.00	0.00
06/18/2018	MYERS, GARY	TEMPLETON GROWTH A	0.00	31.93	0.0	0.00	0.00	0.00
06/18/2018	MYERS, GARY	TEMPLETON WORLD A	0.00	0.45	0.0	0.00	0.00	0.00
06/11/2018	NIXON, CHARLENE	TIMOTHY PLAN CONSERVATIVE GROWTH FU	0.00	4.36	0.0	0.00	0.00	0.00
06/11/2018	NIXON, CHARLENE	TIMOTHY PLAN DEFENSIVE STRATEGY FUN	0.00	2.05	0.0	0.00	0.00	0.00
06/13/2018	SCHOPPELREI, CLEMENT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.03	0.0	0.00	0.00	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$316.49		\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/31/2018	CHAMBERLAIN, MARILYN	PACIFIC INNOV-SELECT	6,574.20	16.44	0.0	0.00	0.00	0.00
06/29/2018	COMPTON, WILLIAM	AXA ACCUM 04 ELITE (RO IRA)	0.00	151.05	0.0	0.00	0.00	0.00
07/02/2018	LIMCHOC, OSCAR	ING LANDMARK	18,278.03	57.12	0.0	0.00	0.00	0.00
06/30/2018	LAWVER, JANET	JNL ELITE CHOICE	0.00	15.01	0.0	0.00	0.00	0.00
06/30/2018	MYERS MACHINERY MOVERS IN	JNL PERSPECTIVE L	0.00	939.79	0.0	0.00	0.00	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$24,852.23	\$1,179.41		\$0.00	\$0.00	\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$24,852.23	\$1,495.90		\$0.00	\$0.00	\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$15,152.49
Year-to-date Earnings	\$6,524.01