

**COMMISSION STATEMENT****July 15, 2018**

ROBERT, L117 LUCHSINGER
600 Johnson Ave.
Suite B5
Bohemia, NY 11716

BROKER #: L117
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/27/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	150.00	7.13	0.00	7.13	95.0	6.77
06/27/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	150.00	7.13	0.00	7.13	95.0	6.77
06/27/2018	KUDLEK, CHRISTOPHER	PACIFIC LIFE FUNDS	230.75	8.65	0.00	8.65	95.0	8.22
06/27/2018	KUDLEK, CHRISTOPHER	PACIFIC LIFE FUNDS	230.75	8.65	0.00	8.65	95.0	8.22
06/27/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	144.00	6.84	0.00	6.84	95.0	6.50
06/27/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	144.00	6.84	0.00	6.84	95.0	6.50
07/02/2018	KAMPMANN, MURIEL	AM FUNDS ICA C	500.00	5.00	0.00	5.00	95.0	4.75
07/02/2018	KAMPMANN, MURIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	500.00	5.00	0.00	5.00	95.0	4.75
/ /	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	0.00	1.12	0.00	1.12	95.0	1.06
06/19/2018	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
06/28/2018	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
06/28/2018	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
/ /	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	0.00	1.12	0.00	1.12	95.0	1.06
06/19/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
06/28/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
06/28/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
/ /	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	0.00	0.67	0.00	0.67	95.0	0.64
06/19/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
06/28/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
06/28/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
/ /	PRYDETOKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.45	0.00	0.45	95.0	0.43
06/19/2018	PRYDETOKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
06/28/2018	PRYDETOKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
06/28/2018	PRYDETOKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
/ /	PRYDETOKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	1.12	0.00	1.12	95.0	1.06
06/19/2018	PRYDETOKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/28/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
06/28/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
* MUTUAL FUNDS SUBTOTAL *			\$2,598.35	\$68.52	\$0.00	\$68.52		\$65.06
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/28/2018	LUCHSINGER, JANE	HARTFORD LEADERS OUTLOOK	80,000.00	4,000.00	0.00	4,000.00	95.0	3,800.00
07/02/2018	MOLNAR, J S KIP	JNL 468511134	1,000.00	45.00	0.00	45.00	95.0	42.75
06/25/2018	FLANAGAN, BARBARA	JNL PERSPECTIVE II 04/14	4,000.00	220.00	0.00	220.00	95.0	209.00
07/02/2018	SMITH, GARY	JNL PERSPECTIVE II 04/14	1,000.00	70.00	0.00	70.00	95.0	66.50
* VARIABLE ANNUITIES SUBTOTAL *			\$86,000.00	\$4,335.00	\$0.00	\$4,335.00		\$4,118.25
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/12/2018	MCKENNA, STEPHANIE	EATON VANCE FLOATING RATE FUND CLAS	0.00	0.01	0.00	0.01	95.0	0.01
07/02/2018	FOURNIER, SHEILA	PUTNAM GROWTH OPP A	0.00	3.61	0.00	3.61	95.0	3.43
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$3.62	\$0.00	\$3.62		\$3.44
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	FRANCIONI, ANNA	LFG TRAILS	7,852.97	48.78	0.00	48.78	95.0	46.34
06/30/2018	MCKENNA, STEPHANIE	LFG TRAILS	93,185.37	58.24	0.00	58.24	95.0	55.33
06/30/2018	MAZZA, JOSEPH	LFG TRAILS	626,377.10	1,565.94	0.00	1,565.94	95.0	1,487.64
06/30/2018	STOCCO, ROBERT	LFG TRAILS	86,545.21	216.36	0.00	216.36	95.0	205.54
06/30/2018	DEITSCH, PAUL	LFG TRAILS	302,974.53	757.44	0.00	757.44	95.0	719.57
06/30/2018	NOONAN, WILLIAM	LFG TRAILS	140,692.02	351.73	0.00	351.73	95.0	334.14
06/30/2018	MCKENNA, STEPHANIE	LFG TRAILS	201,375.84	503.44	0.00	503.44	95.0	478.27
06/30/2018	FOURNIER TRUST	LFG TRAILS	241,371.93	150.86	0.00	150.86	95.0	143.32
06/29/2018	HANSON, JOSEPH	ALLIANZ LIFE NY VISION	0.00	186.04	0.00	186.04	95.0	176.74
06/29/2018	GIOVANNIELLO, LARRY	ALLIANZ LIFE NY VISION	0.00	39.32	0.00	39.32	95.0	37.35
06/29/2018	PRISCO, CLARA	ALLIANZ LIFE NY VISION	0.00	201.15	0.00	201.15	95.0	191.09
06/29/2018	YBAY, ERLINDA	ALLIANZ LIFE NY VISION	0.00	569.86	0.00	569.86	95.0	541.37
06/29/2018	TUCKER, PATRICIA	ALLIANZ LIFE NY VISION	0.00	166.11	0.00	166.11	95.0	157.80
06/29/2018	GENNUSA, ANTHONY	ALLIANZ NY VISION	0.00	29.61	0.00	29.61	95.0	28.13
06/29/2018	NOONAN, WILLIAM	ALLIANZ NY VISION	0.00	27.11	0.00	27.11	95.0	25.75
06/29/2018	GRANT, JOHN	ALLIANZ NY VISION	0.00	41.73	0.00	41.73	95.0	39.64
06/29/2018	HANDLER, EMMA	ALLIANZ VISION	0.00	48.42	0.00	48.42	95.0	46.00
06/29/2018	PATTERSON, BARBARA	ALLIANZ VISION BASIC	0.00	169.34	0.00	169.34	95.0	160.87

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/29/2018	GUARINO, CARMINE	HARTFORD LEADERS	0.00	42.19	0.00	42.19	95.0	40.08
06/29/2018	LEAVY, THOMAS	HARTFORD LEADERS OUTLOOK	0.00	324.04	0.00	324.04	95.0	307.84
06/29/2018	SARACINO, CAROLINE	HARTFORD LEADERS OUTLOOK	0.00	274.54	0.00	274.54	95.0	260.81
06/29/2018	TOOLEY, PATRICIA	HARTFORD LEADERS OUTLOOK	0.00	363.45	0.00	363.45	95.0	345.28
06/29/2018	LUCHSINGER, JANE	HARTFORD LEADERS OUTLOOK	0.00	138.84	0.00	138.84	95.0	131.90
06/29/2018	ROARKE, MARY ELIZABETH	HARTFORD LEADERS OUTLOOK	0.00	58.00	0.00	58.00	95.0	55.10
06/29/2018	ROBERTO, RICHARD	HARTFORD LEADERS OUTLOOK (HL)	0.00	22.15	0.00	22.15	95.0	21.04
06/29/2018	ROBERTO, ROBERT	HARTFORD LEADERS OUTLOOK (HL)	0.00	21.85	0.00	21.85	95.0	20.76
06/29/2018	GRANT, JOHN	HARTFORD LEADERS OUTLOOK (HL)	0.00	192.52	0.00	192.52	95.0	182.89
06/29/2018	HANDLER, EMMA	HARTFORD LEADERS OUTLOOK (HL)	0.00	309.54	0.00	309.54	95.0	294.06
06/29/2018	FOURNIER, ELIZABETH	HARTFORD LEADERS OUTLOOK (HL)	0.00	161.22	0.00	161.22	95.0	153.16
06/29/2018	NOONAN, WILLIAM	HARTFORD LEADERS OUTLOOK (HL)	0.00	23.87	0.00	23.87	95.0	22.68
06/29/2018	LENTINI, ANNA	HARTFORD LEADERS OUTLOOK (HL)	0.00	109.68	0.00	109.68	95.0	104.20
06/29/2018	PATTERSON, BARBARA	HARTFORD LEADERS OUTLOOK 2	0.00	80.70	0.00	80.70	95.0	76.67
06/29/2018	FOURNIER, PETER	HARTFORD LEADERS OUTLOOK 2	0.00	119.06	0.00	119.06	95.0	113.11
06/29/2018	GRANT, JOHN	HARTFORD LEADERS OUTLOOK 2	0.00	220.18	0.00	220.18	95.0	209.17
06/29/2018	SINGLETON, GWENDOLYN	HARTFORD LEADERS OUTLOOK 2	0.00	36.54	0.00	36.54	95.0	34.71
06/29/2018	MCKENNA, STEPHANIE	HARTFORD LEADERS OUTLOOK 2	0.00	266.20	0.00	266.20	95.0	252.89
06/29/2018	YBAY, ERLINDA	HARTFORD LEADERS OUTLOOK 4 - HL	0.00	40.71	0.00	40.71	95.0	38.67
06/29/2018	SALANITRO, ISABEL	HARTFORD LEADERS OUTLOOK 4 - HL	0.00	137.91	0.00	137.91	95.0	131.01
06/29/2018	MOLNAR, J S KIP	HARTFORD LEADERS PLUS	0.00	118.70	0.00	118.70	95.0	112.77
06/29/2018	LENTINI, ANNA	HARTFORD LEADERS PLUS 2	0.00	356.99	0.00	356.99	95.0	339.14
06/21/2018	LUCHSINGER, JANE	ING EMPIRE INNOVATIONS STD OPT A	9,275.84	23.19	0.00	23.19	95.0	22.03
07/02/2018	HANSON, JOSEPH	ING EMPIRE INNOVATIONS STD OPT A	112,074.84	280.19	0.00	280.19	95.0	266.18
07/02/2018	DEITSCH, PAUL	ING EMPIRE INNOVATIONS STD OPT A	138,586.02	346.47	0.00	346.47	95.0	329.15
06/30/2018	MOLNAR, J S KIP	JNL 468511134	0.00	3.81	0.00	3.81	95.0	3.62
06/30/2018	MAZZA, JOSEPH	JNL 468511134	0.00	8.73	0.00	8.73	95.0	8.29
06/30/2018	FLANAGAN, BARBARA	JNL 468511142	0.00	10.04	0.00	10.04	95.0	9.54
06/30/2018	NEVIN, NANCY	JNL NY PERSPECTIVE L	0.00	199.65	0.00	199.65	95.0	189.67
06/30/2018	TETA, JODI	JNL NY PERSPECTIVE L	0.00	199.14	0.00	199.14	95.0	189.18
06/30/2018	LUCHSINGER, ELIZABETH	JNL NY PERSPECTIVE L	0.00	140.95	0.00	140.95	95.0	133.90
06/30/2018	MCKENNA, STEPHANIE	JNL NY PERSPECTIVE L	0.00	68.27	0.00	68.27	95.0	64.86
06/30/2018	LUCHSINGER, ROBERT	JNL PERSPECTIVE II 04/14	0.00	22.00	0.00	22.00	95.0	20.90

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	STOCCO, ROBERT	JNL PERSPECTIVE II 04/14	0.00	10.74	0.00	10.74	95.0	10.20
06/30/2018	HANAK, SUSAN	JNL PERSPECTIVE II 04/14	0.00	96.69	0.00	96.69	95.0	91.86
06/30/2018	DEITSCH, PAUL	JNL PERSPECTIVE II 04/14	0.00	21.01	0.00	21.01	95.0	19.96
06/30/2018	GUARINO, CARMINA	JNL PERSPECTIVE II 04/14	0.00	40.95	0.00	40.95	95.0	38.90
06/30/2018	FLANAGAN, BARBARA	JNL PERSPECTIVE II 04/14	0.00	59.15	0.00	59.15	95.0	56.19
06/30/2018	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	0.00	8.77	0.00	8.77	95.0	8.33
06/30/2018	DIBENEDETTO, SUSAN	JNL PERSPECTIVE II 04/14	0.00	36.36	0.00	36.36	95.0	34.54
06/30/2018	KAMPMANN, ROBERT	JNL PERSPECTIVE II 04/14	0.00	83.43	0.00	83.43	95.0	79.26
06/30/2018	KAMPMANN, ROBERT	JNL PERSPECTIVE II 04/14	0.00	54.99	0.00	54.99	95.0	52.24
06/18/2018	CONNOR, BURTON	PAC LIFE INNOVATIVE SELECT	0.00	86.67	0.00	86.67	95.0	82.34
06/18/2018	NOONAN, WILLIAM	PAC LIFE INNOVATIVE SELECT NY	0.00	110.41	0.00	110.41	95.0	104.89
06/18/2018	MINARDI, LOUIS	PAC LIFE INNOVATIVE SELECT NY	0.00	226.12	0.00	226.12	95.0	214.81
06/18/2018	HANSON, ALEXANDRA	PAC LIFE INNOVATIVE SELECT NY	0.00	149.83	0.00	149.83	95.0	142.34
06/20/2018	VIONE, LESLEY	PAC LIFE INNOVATIVE SELECT NY	0.00	244.55	0.00	244.55	95.0	232.32
06/21/2018	PEREIRA, LUIS	PAC LIFE INNOVATIVE SELECT NY	0.00	206.93	0.00	206.93	95.0	196.58
06/21/2018	DECASTRO, NEUSA	PAC LIFE INNOVATIVE SELECT NY	0.00	127.68	0.00	127.68	95.0	121.30
06/25/2018	GORMAN, LORAINA	PAC LIFE INNOVATIVE SELECT NY	0.00	48.24	0.00	48.24	95.0	45.83
06/25/2018	MCKENNA, STEPHANIE	PAC LIFE INNOVATIVE SELECT NY	0.00	524.95	0.00	524.95	95.0	498.70
06/25/2018	SMITH, GARY	PAC LIFE INNOVATIVE SELECT NY	0.00	623.89	0.00	623.89	95.0	592.70
06/25/2018	GENNUSA, FRANCES	PAC LIFE INNOVATIVE SELECT NY	0.00	25.16	0.00	25.16	95.0	23.90
06/25/2018	LUCHSINGER, JANE	PAC LIFE INNOVATIVE SELECT NY	0.00	316.18	0.00	316.18	95.0	300.37
06/26/2018	FOURNIER, PETER	PAC LIFE INNOVATIVE SELECT NY	0.00	762.54	0.00	762.54	95.0	724.41
06/28/2018	PUMA, FRANK	PAC LIFE INNOVATIVE SELECT NY	0.00	172.86	0.00	172.86	95.0	164.22
06/29/2018	LUCHSINGER, ANDREW	PAC LIFE INNOVATIVE SELECT NY	0.00	195.33	0.00	195.33	95.0	185.56
06/25/2018	FLANAGAN, BARBARA	PACIFIC CHOICE	0.00	105.00	0.00	105.00	95.0	99.75
06/28/2018	ROBERTO, RICHARD	SBL SECURE DESIGNS VA	0.00	2.80	0.00	2.80	95.0	2.66
06/28/2018	KNAACK, JOHN	SBL SECURE DESIGNS VA	0.00	102.89	0.00	102.89	95.0	97.75
06/28/2018	YBAY, ERLINDA	SBL SECURE DESIGNS VA	0.00	103.54	0.00	103.54	95.0	98.36
06/28/2018	ROBERTO, ROBERT	SBL SECURE DESIGNS VA	0.00	2.76	0.00	2.76	95.0	2.62
06/07/2018	SALANITRO, ISABEL	TRANSAMERICA LIBERTY	0.00	5.35	0.00	5.35	95.0	5.08
06/13/2018	PUMA, ANN	TRANSAMERICA LIBERTY	0.00	29.92	0.00	29.92	95.0	28.42
06/15/2018	MAZZA, JOSEPH	TRANSAMERICA LIBERTY	0.00	95.02	0.00	95.02	95.0	90.27
06/30/2018	LUCHSINGER, JANE	TRANSAMERICA LIBERTY	0.00	12.19	0.00	12.19	95.0	11.58

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
06/04/2018	STRYJEWSKI, DONALD	TRANSAMERICA LIFE 89353J666	0.00	49.23	0.00	49.23	95.0	46.77
06/17/2018	KNAACK, PATRICIA	TRANSAMERICA LIFE 89353J666	0.00	161.87	0.00	161.87	95.0	153.78
06/29/2018	ROARKE, DENNIS	TRANSAMERICA LIFE 89353J666	0.00	13.10	0.00	13.10	95.0	12.45
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$1,960,311.67	\$14,769.90	\$0.00	\$14,769.90		\$14,031.39
*** DIRECT TRANSACTIONS TOTAL ***			\$2,048,910.02	\$19,177.04	\$0.00	\$19,177.04		\$18,218.14

VERRIDE TRANSACTIONS**BROKER: L149-ROBERT LUCHSINGER #388**

06/30/2018	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	0.00	97.05	0.00	97.05	0.0	38.82
06/30/2018	KNAACK, JOHN	LFG TRAILS	231,970.98	579.93	0.00	579.93	0.0	231.97
06/30/2018	STRYJEWSKI, DONALD	LFG TRAILS	330,021.70	825.05	0.00	825.05	0.0	330.02
06/30/2018	CHIAPPERINO, LORRAINE	LFG TRAILS	82,264.71	205.66	0.00	205.66	0.0	82.26
06/30/2018	PANDOLFO, CATHERINE	LFG TRAILS	497,842.21	1,244.61	0.00	1,244.61	0.0	497.84
06/30/2018	GORMAN, ANN	LFG TRAILS	406,957.85	1,017.39	0.00	1,017.39	0.0	406.96
06/30/2018	NEVIN, NANCY	LFG TRAILS	168,964.69	422.41	0.00	422.41	0.0	168.96
06/25/2018	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.56	0.00	4.56	0.0	1.82
06/25/2018	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.67	0.00	3.67	0.0	1.47
06/18/2018	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.83	0.00	2.83	0.0	1.13
07/03/2018	PUMA, FRANK	HEALTHCARE TR AMER INC CL A NEW	-19,331.91	90.00	23.09	66.91	0.0	26.76
06/24/2018	LOITSCH, ROBERT	ING EMPIRE INNOVATIONS STD OPT A	131,968.99	329.92	0.00	329.92	0.0	131.97
06/18/2018	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	6.34	0.00	6.34	0.0	2.54
06/21/2018	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.10	0.00	1.10	0.0	0.44
06/21/2018	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.38	0.00	1.38	0.0	0.55
06/21/2018	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.65	0.00	0.65	0.0	0.26
06/21/2018	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.30	0.00	5.30	0.0	2.12
06/21/2018	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.99	0.00	4.99	0.0	2.00
06/21/2018	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	9.27	0.00	9.27	0.0	3.71
06/21/2018	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.87	0.00	1.87	0.0	0.75
06/18/2018	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.05	0.00	5.05	0.0	2.02
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	5.31	0.00	5.31	0.0	2.12
06/13/2018	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.16	0.00	0.16	0.0	0.06
06/13/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.64	0.00	0.64	0.0	0.26

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
06/13/2018	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	5.38	0.00	5.38	0.0	2.15
06/13/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	2.24	0.00	2.24	0.0	0.90
06/13/2018	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.73	0.00	0.73	0.0	0.29
06/13/2018	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.10	0.00	1.10	0.0	0.44
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$1,830,659.22	\$4,874.59	\$23.09	\$4,851.50		\$1,940.59
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,830,659.22	\$4,874.59	\$23.09	\$4,851.50		\$1,940.59

ADJUSTMENTS

07/15/2018	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
07/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
07/15/2018	*PERSHING: MANDATORY REORG DEPOIST PNW007144	0.00						-5.00
07/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-6.00
07/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-498.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$18,218.14
Split Transactions	\$0.00
Override Transactions	\$1,940.59
Adjustments	\$-498.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$19,660.73
Year-to-date Gross	\$137,114.67
Year-to-date Earnings	\$136,156.55