

**COMMISSION STATEMENT****November 15, 2017**

**NANCY (DOUG) NEVIN**  
 600 Johnson Ave.  
 Suite B5  
 Bohemia, NY 11716

**BROKER #: N118**  
**BRANCH: ROBERT LUCHSINGER**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: L149-ROBERT LUCHSINGER #388 </u></b>								
10/31/2017	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	121,883.90	101.57	0.00	101.57	0.0	50.79
10/20/2017	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.04	0.00	4.04	0.0	2.02
10/20/2017	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.25	0.00	3.25	0.0	1.63
10/23/2017	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.71	0.00	2.71	0.0	1.36
10/31/2017	TOOLEY, PATRICIA	FRANKLIN MA TAX FR INCOME A	0.00	26.17	0.00	26.17	0.0	13.09
10/31/2017	GRIFFIN, DOUGLAS	FRANKLIN NY TF INCOME FUND CLASS A	0.00	8.82	0.00	8.82	0.0	4.41
10/31/2017	GRIFFIN, JEANETTE	FRANKLIN NY TF INCOME FUND CLASS A	0.00	12.56	0.00	12.56	0.0	6.28
10/31/2017	GRIFFIN, DOUGLAS	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	19.32	0.00	19.32	0.0	9.66
10/31/2017	NEVIN, NANCY	JNL NY PERSPECTIVE L	233,103.64	194.25	0.00	194.25	0.0	97.13
10/19/2017	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	5.98	0.00	5.98	0.0	2.99
10/23/2017	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.03	0.00	1.03	0.0	0.52
10/23/2017	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.30	0.00	1.30	0.0	0.65
10/23/2017	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.61	0.00	0.61	0.0	0.31
10/23/2017	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.08	0.00	5.08	0.0	2.54
10/23/2017	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.79	0.00	4.79	0.0	2.40
10/23/2017	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	8.52	0.00	8.52	0.0	4.26
10/23/2017	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.78	0.00	1.78	0.0	0.89
10/19/2017	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.00	0.00	5.00	0.0	2.50
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	5.02	0.00	5.02	0.0	2.51
10/13/2017	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.14	0.00	0.14	0.0	0.07
10/13/2017	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.54	0.00	0.54	0.0	0.27
10/13/2017	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.69	0.00	4.69	0.0	2.35
10/13/2017	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.95	0.00	1.95	0.0	0.98
10/13/2017	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.71	0.00	0.71	0.0	0.36
10/13/2017	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.11	0.00	1.11	0.0	0.56
<b>* L149-ROBERT LUCHSINGER SUBTOTAL *</b>			<b>\$354,987.54</b>	<b>\$420.94</b>	<b>\$0.00</b>	<b>\$420.94</b>		<b>\$210.53</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
*** OVERRIDE TRANSACTIONS TOTAL ***			\$354,987.54	\$420.94	\$0.00	\$420.94		\$210.53

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$210.53
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$210.53
Year-to-date Gross	\$30,143.55
Year-to-date Earnings	\$29,821.60

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