Page No.



COMMISSION STATEMENT June 15, 2017

ANTHONY GUGLIELME

605 Delafield Road

Pittsburgh, PA 15215

BROKER #: 117 Terminated 10/25/2016

BRANCH: ANTHONY GUGLIELME

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|-----------------------|--------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| | | BROKER TRANS | ACTTONG | | | | | _ |
| | | DROKER TRANS | ACTIONS | | | | | |
| PRODUCT CA | TEGORY: MUTUAL FUNDS | | | | | | | |
| 06/01/2017 | BRANDUZZI, DOMENIC | AM FUNDS EUROPACIFIC GROWTH FUND A | 0.00 | 7.04 | 0.00 | 7.04 | 0.0 | 0.00 |
| 06/01/2017 | BRANDUZZI, DOMENIC | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 9.72 | 0.00 | 9.72 | 0.0 | 0.00 |
| | | * MUTUAL FUNDS SUBTOTAL * | \$0.00 | \$16.76 | \$0.00 | \$16.76 | | \$0.00 |
| PRODUCT CA | TEGORY: MUTUAL FUND 1 | L2B1 TRAILS | | | | | | |
| 05/15/2017 | BATTAGLINI, CHRIS | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 3.07 | 0.00 | 3.07 | 0.0 | 0.00 |
| 05/15/2017 | BATTAGLINI, CHRIS | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 1.89 | 0.00 | 1.89 | 0.0 | 0.00 |
| 05/11/2017 | BATTAGLINI, CHRIS | FRANKLIN SMALL CAP VALUE C | 0.00 | 3.07 | 0.00 | 3.07 | 0.0 | 0.00 |
| 05/12/2017 | BATTAGLINI, CHRIS | MFS UTILITIES C | 0.00 | 1.18 | 0.00 | 1.18 | 0.0 | 0.00 |
| | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00 | \$9.21 | \$0.00 | \$9.21 | | \$0.00 |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$0.00 | \$25.97 | \$0.00 | \$25.97 | | \$0.00 |

BROKER COMMISSION TOTALS

| Broker Transactions | \$0.00 |
|-----------------------|--------|
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |
| | |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| Balance Forward | \$0.00 |
|-----------------------|------------|
| Year-to-date Gross | \$1,076.37 |
| Year-to-date Earnings | \$0.00 |