



All Companies

04/27/2018
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COMMISSION STATEMENT May 01, 2018

KEITH A. BARFIELD
407 HIGHLAND WAY

BROKER #: 9639
BRANCH: HOME OFFICE

Coppell, TX 75019-

COMMISSION STATEMENT for KEITH A. BARFIELD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/17/2018	00059401238 KRAUTTER, W	AMERICAN FUNDS FUNDAMENTAL	INVES B	6,500.00	104.00	0.00	104.00	80.0	83.20
04/20/2018	00944434797 TRIMBLE, KAREN	AMERICAN FUNDS BALANCED FD	B	1,600.00	80.00	0.00	80.00	80.0	64.00
* MUTUAL FUNDS SUBTOTAL *				\$8,100.00	\$184.00	\$0.00	\$184.00		\$147.20

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/01/2018	TRAIL COMMISSIONS	DEUTSCHE ASSET & WEALTH MANAGEMENT		0.00	10.37	0.00	10.37	80.0	8.29
05/01/2018	TRAIL COMMISSIONS	DWS INVESTMENTS SERVICE COMPANY FEE		0.00	40.82	0.00	40.82	80.0	32.65
05/01/2018	TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES		0.00	190.00	0.00	190.00	80.0	152.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$241.19	\$0.00	\$241.19		\$192.94
*** BROKER TRANSACTIONS TOTAL ***				\$8,100.00	\$425.19	\$0.00	\$425.19		\$340.14

SPLIT TRANSACTIONS

BROKER: WAGNER/BARFIELD #9036

04/13/2018	48948925 PANNECK, DEBRA G.	SIGNAL SECURITIES RIA	B	0.00	40.02	0.00	40.02	80.0	32.02
* WAGNER/BARFIELD SUBTOTAL *				\$0.00	\$40.02	\$0.00	\$40.02		\$32.02
*** SPLIT TRANSACTIONS TOTAL ***				\$0.00	\$40.02	\$0.00	\$40.02		\$32.02

ADJUSTMENTS

05/01/2018	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
05/01/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$340.14
Split Transactions	\$32.02
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-127.50

Check Amount	\$117.16
Year-to-date Earnings	\$3,721.17