

## COMMISSION STATEMENT

December 31, 2017

MARTIN MCNEILL

25 Pineneck Avenue

Sag Harbor, NY 11963-

BROKER #: 78

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for MARTIN MCNEILL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
11/29/2017	QGV001100	AND EDWARD FRENCH		CHINA LODGING GROUP LTD SPONSORED A S	-41,347.56	73.00	0.00	73.00	40.0 29.20
11/29/2017	QGV001100	AND EDWARD FRENCH		VISTEON CORP COM NEW	S -13,013.50	73.00	0.00	73.00	40.0 29.20
11/30/2017	QGV001100	AND EDWARD FRENCH		AXOGEN INC COM	B 13,067.40	73.00	0.00	73.00	40.0 29.20
11/30/2017	QGV001100	AND EDWARD FRENCH		BLUEBIRD BIO INC COM	B 16,990.00	73.00	0.00	73.00	40.0 29.20
11/30/2017	QGV001100	AND EDWARD FRENCH		JUPAI HLDGS LTD ADS ISIN#US48205B10	S -17,607.00	73.00	0.00	73.00	40.0 29.20
12/01/2017	QGV001100	AND EDWARD FRENCH		APPTIO INC CL A	B 4,469.00	73.00	0.00	73.00	40.0 29.20
12/01/2017	QGV001100	AND EDWARD FRENCH		BIOTELEMETRY INC COM	B 5,526.60	73.00	0.00	73.00	40.0 29.20
12/01/2017	QGV001100	AND EDWARD FRENCH		KEMET CORP COM NEW	S -14,818.20	73.00	0.00	73.00	40.0 29.20
12/04/2017	QGV001100	AND EDWARD FRENCH		ATLASSIAN CORPORATION PLC CLASS A	S -18,268.00	73.00	0.00	73.00	40.0 29.20
12/04/2017	QGV001100	AND EDWARD FRENCH		COGNEX CORP	S -13,266.80	73.00	0.00	73.00	40.0 29.20
12/04/2017	QGV001100	AND EDWARD FRENCH		NVIDIA CORP	S -18,895.00	73.00	0.00	73.00	40.0 29.20
12/04/2017	QGV001100	AND EDWARD FRENCH		SQUARE INC CLASS A COM	S -14,608.00	73.00	0.00	73.00	40.0 29.20
12/08/2017	QGV001100	AND EDWARD FRENCH		U S CONCRETE INC COM NEW	B 17,050.00	73.00	0.00	73.00	40.0 29.20
12/15/2017	QGV001100	AND EDWARD FRENCH		FAIRMOUNT SANTROL HLDGS INC COM	B 5,167.50	73.00	0.00	73.00	40.0 29.20
12/15/2017	QGV001100	AND EDWARD FRENCH		USA TECHNOLOGIES INC	B 4,646.25	73.00	0.00	73.00	40.0 29.20
12/20/2017	QGV001100	AND EDWARD FRENCH		GLANCE TECHNOLOGIES INC SHS ISIN#CA	B 1,700.00	73.00	0.00	73.00	40.0 29.20
12/20/2017	QGV001100	AND EDWARD FRENCH		MGT CAP INVTS INC COM NEW	B 3,400.00	73.00	0.00	73.00	40.0 29.20
12/20/2017	QGV001100	AND EDWARD FRENCH		MIRAGEN THERAPEUTICS INC COM	B 4,495.00	73.00	0.00	73.00	40.0 29.20
12/20/2017	QGV001100	AND EDWARD FRENCH		U S CONCRETE INC COM NEW	S -15,540.00	73.00	0.00	73.00	40.0 29.20
12/21/2017	QGV001100	AND EDWARD FRENCH		MIRAGEN THERAPEUTICS INC COM	B 4,870.00	73.00	0.00	73.00	40.0 29.20
12/22/2017	QGV001100	AND EDWARD FRENCH		MIRAGEN THERAPEUTICS INC COM	B 10,148.60	73.00	0.00	73.00	40.0 29.20
12/26/2017	QGV001100	AND EDWARD FRENCH		ADMA BIOLOGICS INC COM	B 2,986.00	73.00	0.00	73.00	40.0 29.20
12/26/2017	QGV001100	AND EDWARD FRENCH		CANADA GOOSE HLDGS INC COM SHS SUB	B 15,281.95	73.00	0.00	73.00	40.0 29.20
12/26/2017	QGV001100	AND EDWARD FRENCH		ENDRA LIFE SCIENCES INC COM	B 4,880.00	73.00	0.00	73.00	40.0 29.20
12/26/2017	QGV001100	AND EDWARD FRENCH		HERON THERAPEUTICS INC COM	B 5,647.50	73.00	0.00	73.00	40.0 29.20
12/22/2017	QGW002099	ADAMS, KENNETH		FRANCO-NEVADA CORPORATION	B 77,697.00	150.00	0.00	150.00	40.0 60.00
12/22/2017	QGW002099	ADAMS, KENNETH		FRANCO-NEVADA CORPORATION	B -77,697.00	-150.00	0.00	-150.00	40.0 -60.00 C
12/01/2017	QGW002180	FRENCH, JOHN		MONOLITHIC PWR SYS INC COM	S -11,466.10	73.00	0.00	73.00	40.0 29.20
12/08/2017	QGW002180	FRENCH, JOHN		MEMEX INC COM ISINCA58600T1084	S -470.00	18.25	0.00	18.25	40.0 7.30
12/08/2017	QGW002180	FRENCH, JOHN		MEMEX INC COM ISINCA58600T1084	S -882.00	32.85	0.00	32.85	40.0 13.14
12/08/2017	QGW002180	FRENCH, JOHN		MEMEX INC COM ISINCA58600T1084	S -470.00	18.25	0.00	18.25	40.0 7.30

COMMISSION STATEMENT for MARTIN MCNEILL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
12/08/2017	QGW002180	FRENCH, JOHN	MEMEX INC COM ISINCA58600T1084	S	-47.00	1.82	0.00	1.82	40.0 0.73
12/08/2017	QGW002180	FRENCH, JOHN	MEMEX INC COM ISINCA58600T1084	S	-47.00	1.83	0.00	1.83	40.0 0.73
12/11/2017	QGW002180	FRENCH, JOHN	INTELLIA THERAPEUTICS INC COM	S	-3,752.72	73.00	0.00	73.00	40.0 29.20
12/15/2017	QGW002180	FRENCH, JOHN	SORL AUTO PTS INC COM	B	7,557.90	73.00	0.00	73.00	40.0 29.20
12/18/2017	QGW002180	FRENCH, JOHN	BTCS INC COM NEW	S	-2,280.00	73.00	0.00	73.00	40.0 29.20
12/20/2017	QGW002180	FRENCH, JOHN	HIVE BLOCKCHAIN TECHNOLOGIS LTD COM B	B	2,910.00	73.00	0.00	73.00	40.0 29.20
12/22/2017	QGW002180	FRENCH, JOHN	CANOPY GROWTH CORP COM ISIN# CA1380 B	B	5,259.00	73.00	0.00	73.00	40.0 29.20
12/06/2017	QGW002404	MAHARISHI UNIVERSITY	SKYWORKS SOLUTIONS INC	S	-3,836.79	75.00	0.00	75.00	40.0 30.00
12/20/2017	QGW002404	MAHARISHI UNIVERSITY	GENERAL ELECTRIC CO	S	-24,580.53	75.00	0.00	75.00	40.0 30.00
12/26/2017	QGW002404	MAHARISHI UNIVERSITY	SONOCO PRODS CO COM	S	-1,758.57	75.00	0.00	75.00	40.0 30.00
11/29/2017	QGW002701	HIDEAWAY FARM	DIREXION SHS ETF TR DAILY GOLD MINE B	B	8,132.67	75.00	0.00	75.00	40.0 30.00
12/07/2017	QGW002701	HIDEAWAY FARM	DIREXION SHS ETF TR DAILY 20+ YR TR S	S	-22,050.50	75.00	0.00	75.00	40.0 30.00
12/07/2017	QGW002701	HIDEAWAY FARM	DIREXION SHS ETF TR DAILY GOLD MINE S	S	-9,197.91	75.00	0.00	75.00	40.0 30.00
11/29/2017	QGW002776	ROBERTS, JAMES	AGNICO-EAGLE MINES LTD	B	12,817.33	75.00	0.00	75.00	40.0 30.00
11/29/2017	QGW002776	ROBERTS, JAMES	GOLDCORP INC	B	5,147.16	75.00	0.00	75.00	40.0 30.00
11/29/2017	QGW002925	BATHRICK, CHARLES	ALBEMARLE CORPORATION	B	13,152.35	75.00	0.00	75.00	40.0 30.00
12/08/2017	QGW002925	BATHRICK, CHARLES	BAYTEX ENERGY CORP COM ISIN#CA07317 B	B	3,226.60	75.00	0.00	75.00	40.0 30.00
12/08/2017	QGW002925	BATHRICK, CHARLES	OCCIDENTAL PETE CORP	B	13,695.98	75.00	0.00	75.00	40.0 30.00
12/08/2017	QGW002925	BATHRICK, CHARLES	ROYAL GOLD INC	S	-8,309.42	75.00	0.00	75.00	40.0 30.00
12/15/2017	QGW002925	BATHRICK, CHARLES	KRAKEN ROBOTICS INC COM ISIN#CA5007 B	B	1,443.00	75.00	0.00	75.00	40.0 30.00
12/19/2017	QGW002925	BATHRICK, CHARLES	BAYTEX ENERGY CORP COM ISIN#CA07317 S	S	-2,713.40	75.00	0.00	75.00	40.0 30.00
12/27/2017	QGW002925	BATHRICK, CHARLES	BANK OF AMERICA CORP	B	5,931.32	75.00	0.00	75.00	40.0 30.00
11/30/2017	QGW002974	CAIN, ANTHONY	BITCOIN INVT TR SHS	B	37,700.00	250.00	0.00	250.00	40.0 100.00
11/30/2017	QGW002974	CAIN, ANTHONY	SPDR SER TR S&P OIL & GAS EXPL & PR S	S	-35,980.00	150.00	0.00	150.00	40.0 60.00
12/04/2017	QGW002974	CAIN, ANTHONY	BITCOIN INVT TR SHS	B	53,817.99	250.00	0.00	250.00	40.0 100.00
12/04/2017	QGW002974	CAIN, ANTHONY	BITCOIN INVT TR SHS	S	-46,528.91	250.00	0.00	250.00	40.0 100.00
12/04/2017	QGW002974	CAIN, ANTHONY	ENERGY RECOVERY INC COM	S	-10,975.00	125.00	0.00	125.00	40.0 50.00
12/05/2017	QGW002974	CAIN, ANTHONY	BITCOIN INVT TR SHS	S	-54,780.00	175.00	0.00	175.00	40.0 70.00
12/05/2017	QGW002974	CAIN, ANTHONY	CAESARS ENTERTAINMENT CORPORATION	B	25,354.00	250.00	0.00	250.00	40.0 100.00
12/06/2017	QGW002974	CAIN, ANTHONY	BITCOIN INVT TR SHS	S	-31,909.30	150.00	0.00	150.00	40.0 60.00
12/06/2017	QGW002974	CAIN, ANTHONY	BITCOIN INVT TR SHS	B	32,410.00	150.00	0.00	150.00	40.0 60.00
12/07/2017	QGW002974	CAIN, ANTHONY	WADDELL & REED FINL INC CL A	B	32,197.50	150.00	0.00	150.00	40.0 60.00
12/08/2017	QGW002974	CAIN, ANTHONY	JD.COM INC SPONSORED ADR REPSTG COM S	S	-38,303.40	150.00	0.00	150.00	40.0 60.00
12/11/2017	QGW002974	CAIN, ANTHONY	BITCOIN INVT TR SHS	B	36,440.00	250.00	0.00	250.00	40.0 100.00
12/12/2017	QGW002974	CAIN, ANTHONY	BITCOIN INVT TR SHS	S	-44,142.05	175.00	0.00	175.00	40.0 70.00
12/12/2017	QGW002974	CAIN, ANTHONY	BITCOIN INVT TR SHS	B	42,900.00	250.00	0.00	250.00	40.0 100.00
12/12/2017	QGW002974	CAIN, ANTHONY	BITCOIN INVT TR SHS	S	-41,781.00	250.00	0.00	250.00	40.0 100.00
12/12/2017	QGW002974	CAIN, ANTHONY	RIOT BLOCKCHAIN INC COM	B	30,517.80	150.00	0.00	150.00	40.0 60.00
12/12/2017	QGW002974	CAIN, ANTHONY	RIOT BLOCKCHAIN INC COM	S	-30,510.00	100.00	0.00	100.00	40.0 40.00
12/13/2017	QGW002974	CAIN, ANTHONY	SAN JUAN BASIN ROYALTY TR-UBI	B	7,895.90	100.00	0.00	100.00	40.0 40.00

COMMISSION STATEMENT for MARTIN MCNEILL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/18/2017	QGW002974	CAIN, ANTHONY							
		BITCOIN INVT TR SHS	B	59,826.73	250.00	0.00	250.00	40.0	100.00
12/18/2017	QGW002974	CAIN, ANTHONY							
		BITCOIN INVT TR SHS	S	-69,056.54	250.00	0.00	250.00	40.0	100.00
12/18/2017	QGW002974	CAIN, ANTHONY							
		CAESARS ENTERTAINMENT CORPORATION	S	-25,422.60	250.00	0.00	250.00	40.0	100.00
12/19/2017	QGW002974	CAIN, ANTHONY							
		BITCOIN INVT TR SHS	S	-30,400.20	150.00	0.00	150.00	40.0	60.00
12/19/2017	QGW002974	CAIN, ANTHONY							
		BITCOIN INVT TR SHS	S	-64,599.40	250.00	0.00	250.00	40.0	100.00
12/19/2017	QGW002974	CAIN, ANTHONY							
		BITCOIN INVT TR SHS	B	31,650.00	150.00	0.00	150.00	40.0	60.00
12/19/2017	QGW002974	CAIN, ANTHONY							
		BITCOIN INVT TR SHS	B	58,600.20	250.00	0.00	250.00	40.0	100.00
12/20/2017	QGW002974	CAIN, ANTHONY							
		BITCOIN INVT TR SHS	B	25,201.20	150.00	0.00	150.00	40.0	60.00
12/20/2017	QGW002974	CAIN, ANTHONY							
		BITCOIN INVT TR SHS	S	-25,911.00	150.00	0.00	150.00	40.0	60.00
12/20/2017	QGW002974	CAIN, ANTHONY							
		BTCS INC COM NEW	S	-2,852.00	100.00	0.00	100.00	40.0	40.00
12/20/2017	QGW002974	CAIN, ANTHONY							
		GLOBAL ARENA HLDG INC COM	S	-2,164.00	90.00	0.00	90.00	40.0	36.00
12/21/2017	QGW002974	CAIN, ANTHONY							
		BTCS INC COM NEW	S	-3,678.00	125.00	0.00	125.00	40.0	50.00
12/21/2017	QGW002974	CAIN, ANTHONY							
		GLOBAL ARENA HLDG INC COM	S	-1,295.00	90.00	0.00	90.00	40.0	36.00
12/22/2017	QGW002974	CAIN, ANTHONY							
		FRANCO-NEVADA CORPORATION	B	77,697.00	150.00	0.00	150.00	40.0	60.00
12/26/2017	QGW002974	CAIN, ANTHONY							
		BITCOIN INVT TR SHS	B	46,580.00	250.00	0.00	250.00	40.0	100.00
12/26/2017	QGW002974	CAIN, ANTHONY							
		RIOT BLOCKCHAIN INC COM	B	8,669.73	100.00	0.00	100.00	40.0	40.00
12/26/2017	QGW002974	CAIN, ANTHONY							
		SAN JUAN BASIN ROYALTY TR-UBI	S	-16,660.00	150.00	0.00	150.00	40.0	60.00
12/26/2017	QGW002974	CAIN, ANTHONY							
		WADDELL & REED FINL INC CL A	S	-34,015.20	150.00	0.00	150.00	40.0	60.00
12/27/2017	QGW002974	CAIN, ANTHONY							
		BITCOIN INVT TR SHS	S	-43,903.00	250.00	0.00	250.00	40.0	100.00
12/04/2017	QGW003196	PLESA, JOHN							
		IMPACT SILVER CORP COM ISIN#CA45257	S	-176.00	30.00	0.00	30.00	40.0	12.00
12/04/2017	QGW003196	PLESA, JOHN							
		RACKLA METALS INC SHS ISIN#CA750080	S	-35.76	10.00	0.00	10.00	40.0	4.00
12/04/2017	QGW003196	PLESA, JOHN							
		RADIUS GOLD INC COM ISIN#CA75046810	S	-420.00	50.00	0.00	50.00	40.0	20.00
12/05/2017	QGW003865	GILBERT, JEAN							
		DALECO RES CORP COM NEW	S	-80.00	10.00	0.00	10.00	40.0	4.00
12/04/2017	QGW004350	PLESA, AMANDA							
		GOLDEN TAG RES LTD	S	-137.50	35.00	0.00	35.00	40.0	14.00
11/30/2017	QGW004392	VALENTINE, OLIVIA							
		TENCENT HOLDINGS LIMITED UNSPONSORE	B	51,249.50	150.00	0.00	150.00	40.0	60.00
12/04/2017	QGW004392	VALENTINE, OLIVIA							
		ALIBABA GROUP HOLDING LTD SPONSORED	S	-84,683.85	150.00	0.00	150.00	40.0	60.00
12/07/2017	QGW004392	VALENTINE, OLIVIA							
		CHEVRON CORPORATION	B	59,909.00	150.00	0.00	150.00	40.0	60.00
12/07/2017	QGW004392	VALENTINE, OLIVIA							
		PHILLIPS 66 COM	B	48,852.90	150.00	0.00	150.00	40.0	60.00
12/11/2017	QGW004392	VALENTINE, OLIVIA							
		TENCENT HOLDINGS LIMITED UNSPONSORE	S	-51,900.00	150.00	0.00	150.00	40.0	60.00
12/19/2017	QGW004392	VALENTINE, OLIVIA							
		PHILIP MORRIS INTERNATIONAL INC	B	54,278.85	150.00	0.00	150.00	40.0	60.00
11/29/2017	QGW004541	HEALY, KEVIN							
		GLAXOSMITHKLINE PLC SPONSORED ADR(F	B	6,409.80	100.00	0.00	100.00	40.0	40.00
11/29/2017	QGW021990	STEINMETZ, GEORGE							
		BANK OF AMERICA CORP	B	14,077.50	60.00	0.00	60.00	40.0	24.00
11/29/2017	QGW021990	STEINMETZ, GEORGE							
		KRANESHARES TR CSI CHINA INTERNET E	S	-22,797.36	60.00	0.00	60.00	40.0	24.00
12/01/2017	QGW021990	STEINMETZ, GEORGE							
		APPLIED MATERIALS INC	S	-12,845.65	60.00	0.00	60.00	40.0	24.00
12/01/2017	QGW021990	STEINMETZ, GEORGE							
		YY INC ADS REPSTG COM CL A ISIN#US9	S	-20,332.30	60.00	0.00	60.00	40.0	24.00
12/07/2017	QGW021990	STEINMETZ, GEORGE							
		VANECK VECTORS ETF TR GOLD MINERS E	S	-12,896.94	60.00	0.00	60.00	40.0	24.00
12/08/2017	QGW021990	STEINMETZ, GEORGE							
		ICHOR HOLDINGS LTD REGISTERED SHS I	B	16,525.54	60.00	0.00	60.00	40.0	24.00
12/19/2017	QGW021990	STEINMETZ, GEORGE							
		ISHARES MSCI EMERGING MARKETS ETF	S	-36,602.80	60.00	0.00	60.00	40.0	24.00
12/22/2017	QGW021990	STEINMETZ, GEORGE							
		SANDSTORM GOLD LTD COM NEW ISIN#CA8	B	10,099.80	60.00	0.00	60.00	40.0	24.00
12/26/2017	QGW021990	STEINMETZ, GEORGE							
		VANECK VECTORS ETF TR JR GOLD MINER	B	11,942.00	60.00	0.00	60.00	40.0	24.00

COMMISSION STATEMENT for MARTIN MCNEILL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
12/27/2017	QGW021990	STEINMETZ, GEORGE		WALT DISNEY CO	B	16,137.75	60.00	0.00	60.00 40.0 24.00
12/04/2017	QGW022410	PLESA, JOHN		ELDORADO GOLD CORP NEW COM ISIN#CA2 S		-288.38	40.00	0.00	40.00 40.0 16.00
12/04/2017	QGW022410	PLESA, JOHN		GOLDCORP INC	S	-606.29	50.00	0.00	50.00 40.0 20.00
12/04/2017	QGW022410	PLESA, JOHN		GOLDREA RES CORP COM NEW ISIN#CA381 S		-23.67	10.00	0.00	10.00 40.0 4.00
12/04/2017	QGW022410	PLESA, JOHN		HPQ-SILICON RESOURCES INC COM	S	-43.03	10.00	0.00	10.00 40.0 4.00
12/04/2017	QGW022410	PLESA, JOHN		NORTHERN FREEGOLD RESOURCES LTD REG S		-27.69	10.00	0.00	10.00 40.0 4.00
12/04/2017	QGW022410	PLESA, JOHN		QMX GOLD CORP COM ISIN#CA74735D1087 S		-71.18	10.00	0.00	10.00 40.0 4.00
12/04/2017	QGW022519	PLESA, JOHN		CARTIER RES INC NEW COM ISIN#CA1467 S		-243.00	41.66	0.00	41.66 40.0 16.66
12/04/2017	QGW022519	PLESA, JOHN		CARTIER RES INC NEW COM ISIN#CA1467 S		-48.60	8.34	0.00	8.34 40.0 3.34
12/04/2017	QGW022519	PLESA, JOHN		COPPERBANK RESOURCES CORP SHS ISIN# S		-29.58	10.00	0.00	10.00 40.0 4.00
12/04/2017	QGW022519	PLESA, JOHN		DETOUR GOLD CORP COM ISIN#CA2506691 S		-289.20	50.00	0.00	50.00 40.0 20.00
12/04/2017	QGW022519	PLESA, JOHN		ELYSEE DEV CORP COM ISIN#CA29073710 S		-91.14	25.00	0.00	25.00 40.0 10.00
12/04/2017	QGW022519	PLESA, JOHN		LIBERTY GOLD CORP REGISTERED SHS IS S		-51.47	0.10	0.00	0.10 40.0 0.04
12/04/2017	QGW022519	PLESA, JOHN		LUNDIN GOLD INC COM ISIN#CA55037110 S		-331.94	50.00	0.00	50.00 40.0 20.00
12/04/2017	QGW022519	PLESA, JOHN		RENAISSANCE GOLD INC COM ISIN#CA759 S		-95.00	30.00	0.00	30.00 40.0 12.00
12/04/2017	QGW022519	PLESA, JOHN		SSR MINING INC REGISTERED SHS ISIN# S		-830.04	50.00	0.00	50.00 40.0 20.00
12/04/2017	QGW022592	PLESA, DARLENE		ALEXANDRIA MINERALS CORP COM ISIN#C S		-39.47	10.00	0.00	10.00 40.0 4.00
12/04/2017	QGW022592	PLESA, DARLENE		CAMINO MINERALS CORP CO NEW ISIN#CA S		-55.74	10.00	0.00	10.00 40.0 4.00
12/04/2017	QGW022592	PLESA, DARLENE		GOLDREA RES CORP COM NEW ISIN#CA381 S		-26.90	10.00	0.00	10.00 40.0 4.00
12/04/2017	QGW022592	PLESA, DARLENE		LIBERTY GOLD CORP REGISTERED SHS IS S		-72.00	10.00	0.00	10.00 40.0 4.00
12/04/2017	QGW022592	PLESA, DARLENE		SELECT SANDS CORP COM ISIN#CA81619L S		-124.92	40.00	0.00	40.00 40.0 16.00
12/04/2017	QGW022592	PLESA, DARLENE		YAMANA GOLD INC	S	-59.11	10.00	0.00	10.00 40.0 4.00
12/04/2017	QGW023129	PLESA, AMANDA		ALMADEN MINERALS LTD COM CL B ISIN# S		-1,479.00	75.00	0.00	75.00 40.0 30.00
12/04/2017	QGW023129	PLESA, AMANDA		ALMADEX MINERALS LTD SHS ISIN#CA020 S		-1,020.00	75.00	0.00	75.00 40.0 30.00
12/06/2017	QGW029290	BAUMHARDT, DANECA		FRANCO-NEVADA CORPORATION	S	-41,743.31	75.00	0.00	75.00 40.0 30.00
				<b>* STOCKS SUBTOTAL *</b>		<b>\$-108,151.62</b>	<b>\$12,536.10</b>	<b>\$0.00</b>	<b>\$12,536.10 \$5,014.44</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
12/04/2017	QGW004665	JOSEPH FRY		PUT 100 GREIF INC CL A EXP 01-19-18 B		3,300.00	100.00	0.00	100.00 40.0 40.00
12/18/2017	QGW004665	JOSEPH FRY		CALL 100 TECK RES LTD CL B SUB VTG S		-2,360.00	75.00	0.00	75.00 40.0 30.00
12/22/2017	QGW004665	JOSEPH FRY		CALL 100 ISHARES SILVER TR ISHARES B		8,700.00	150.00	0.00	150.00 40.0 60.00
12/22/2017	QGW004665	JOSEPH FRY		CALL 100 TECK RES LTD CL B SUB VTG S		-3,500.00	75.00	0.00	75.00 40.0 30.00
				<b>* OPTIONS SUBTOTAL *</b>		<b>\$6,140.00</b>	<b>\$400.00</b>	<b>\$0.00</b>	<b>\$400.00 \$160.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
12/13/2017	QGW002511	SKELLY, HENRY		COLUMBIA NEW YORK TAX EXEMPT FUND C B		0.00	12.85	0.00	12.85 40.0 5.14
12/14/2017	QGW002776	ROBERTS, JAMES		FEDERATED PRUDENT BEAR FUND CLASS A B		0.00	0.90	0.00	0.90 40.0 0.36
12/20/2017	QGW002776	ROBERTS, JAMES		VOYA GLOBAL EQUITY FUND CLASS A B		0.00	0.47	0.00	0.47 40.0 0.19
12/11/2017	QGW008468	DRUERY, MARIE		LOOMIS SAYLES INVESTMENT GRADE BOND B		0.00	2.03	0.00	2.03 40.0 0.81
12/14/2017	QGW022113	ROBERTS, PAGE		FEDERATED PRUDENT BEAR FUND CLASS A B		0.00	0.41	0.00	0.41 40.0 0.16

COMMISSION STATEMENT for MARTIN MCNEILL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$16.66	\$0.00	\$16.66	\$6.66
*** BROKER TRANSACTIONS TOTAL ***	\$-102,011.62	\$12,952.76	\$0.00	\$12,952.76	\$5,181.10

ADJUSTMENTS

12/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
12/31/2017	OTHER: OTHER-STUART SACHS	0.00	0.00	0.00	0.00	-200.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-220.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,181.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-220.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.19
SIPC Assessment	\$-32.38

Check Amount	\$4,912.53
Year-to-date Earnings	\$38,857.04