

COMMISSION STATEMENT

June 15, 2018

RANDALL SMITH-D444

BROKER #: D444

BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDALL SMITH-D444

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/01/2018 00068217982	STEHLLING, KAREN	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.89	0.00	3.89	32.5	1.26
06/01/2018 00073354171	ARMSTRONG,	MFT AMCAP FUND-A	B	0.00	21.24	0.00	21.24	32.5	6.90
06/01/2018 00073354184	ARMSTRONG,	MFT THE INVESTMENT COMPANY OF	B	0.00	16.40	0.00	16.40	32.5	5.33
06/01/2018 00074171940	DICKEY, G	MFT THE INVESTMENT COMPANY OF	B	0.00	16.33	0.00	16.33	32.5	5.31
06/01/2018 00074509080	URIBE QUINTERO,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	9.84	0.00	9.84	32.5	3.20
06/01/2018 00074509102	URIBE QUINTERO,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	9.84	0.00	9.84	32.5	3.20
06/01/2018 00074623111	DE LA VEGA, JESUS	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	12.34	0.00	12.34	32.5	4.01
06/01/2018 00074739683	LANE, MORGAN	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	0.77	0.00	0.77	32.5	0.25
06/01/2018 00082268142	CLEVELAND, KATHIE	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	1.04	0.00	1.04	32.5	0.34
06/01/2018 00086668178	FEUGE, KENNEDY	MFT AMERICAN BALANCED FUND-529A	B	0.00	17.31	0.00	17.31	32.5	5.63
06/01/2018 04000090127	DICKEY, G	MFT AMERICAN BALANCED FUND-A	B	0.00	91.04	0.00	91.04	32.5	29.59
06/01/2018 04000090127	DICKEY, G	MFT CAPITAL INCOME BUILDER-A	B	0.00	118.18	0.00	118.18	32.5	38.41
06/01/2018 04000090127	DICKEY, G	MFT NEW PERSPECTIVE FUND-A	B	0.00	36.45	0.00	36.45	32.5	11.85
06/01/2018 04000090127	DICKEY, G	MFT THE INCOME FUND OF AMERICA-A	B	0.00	84.21	0.00	84.21	32.5	27.37
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$438.88	\$0.00	\$438.88		\$142.65
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$438.88	\$0.00	\$438.88		\$142.65

BROKER COMMISSION TOTALS

Broker Transactions	\$142.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00