



All Companies

04/12/2018  
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# COMMISSION STATEMENT

April 16, 2018

JERRY SINGLETON  
700 THROCKMORTON STREET

BROKER #: 8373  
BRANCH: HOME OFFICE

FORT WORTH, TX 76102

## COMMISSION STATEMENT for JERRY SINGLETON

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

|            |                                  |                                       |   |          |          |      |          |      |        |
|------------|----------------------------------|---------------------------------------|---|----------|----------|------|----------|------|--------|
| 03/31/2018 | TRAILS                           | -FIDELITY ADVISOR FUNDS               | B | 273.39   | 273.39   | 0.00 | 273.39   | 90.0 | 246.05 |
| 03/31/2018 | TRAILS                           | -OPPENHEIMER FUNDS                    | B | 285.85   | 285.85   | 0.00 | 285.85   | 90.0 | 257.27 |
| 03/31/2018 | TRAILS                           | -PUTNAM                               | B | 26.66    | 26.66    | 0.00 | 26.66    | 90.0 | 23.99  |
| 03/31/2018 | TRAILS                           | -PUTNAM                               | B | 1,018.21 | 1,018.21 | 0.00 | 1,018.21 | 90.0 | 916.39 |
| 04/02/2018 | TRAILS                           | -SCHOLARS EDGE 529 PLAN               | B | 6.30     | 6.30     | 0.00 | 6.30     | 90.0 | 5.67   |
| 02/28/2018 | TRAILS                           | BLACK ROCK                            | B | 3.58     | 3.58     | 0.00 | 3.58     | 90.0 | 3.22   |
| 03/02/2018 | TRAILS                           | BLACK ROCK                            | B | 0.84     | 0.84     | 0.00 | 0.84     | 90.0 | 0.76   |
| 03/02/2018 | TRAILS                           | BLACK ROCK                            | B | 1.67     | 1.67     | 0.00 | 1.67     | 90.0 | 1.50   |
| 04/10/2018 | SIMMONS, GREG/COLLEEN            | DELAWARE - GENERAL                    | B | 25.00    | 1.25     | 0.00 | 1.25     | 90.0 | 1.13   |
| 03/31/2018 | TRAILS                           | DREYFUS - GENERAL                     | B | 2.63     | 2.63     | 0.00 | 2.63     | 90.0 | 2.37   |
| 03/30/2018 | TRAILS                           | DUNHAM FUNDS                          | B | 5.33     | 5.33     | 0.00 | 5.33     | 90.0 | 4.80   |
| 02/28/2018 | TRAILS                           | ING FUNDS                             | B | 5.99     | 5.99     | 0.00 | 5.99     | 90.0 | 5.39   |
| 02/28/2018 | TRAILS                           | ING FUNDS                             | B | 11.25    | 11.25    | 0.00 | 11.25    | 90.0 | 10.13  |
| 04/05/2018 | 000011624774387 JTEN             | FRANKLIN FEDERAL TAX FREE INCM FD C B |   | 200.00   | 8.00     | 0.00 | 8.00     | 90.0 | 7.20   |
| 03/26/2018 | 00066574987 BECK, STEVE          | AMERICAN FUNDS BALANCED FD            | B | 100.00   | 5.00     | 0.00 | 5.00     | 90.0 | 4.50   |
| 04/02/2018 | 00081239091 PLUMBLEY, COLE       | THE GROWTH FUND OF AMERICA-529A       | B | 500.00   | 18.75    | 0.00 | 18.75    | 90.0 | 16.88  |
| 04/02/2018 | 00081746113 PALMER, RAYMOND      | AMERICAN FUNDS CAPITAL INCOME BUILD B |   | 100.00   | 4.25     | 0.00 | 4.25     | 90.0 | 3.83   |
| 04/04/2018 | 00084003260 GUILLORY, PEGGY      | AMERICAN FUNDS GROWTH FUND OF AMERI B |   | 190.38   | 7.14     | 0.00 | 7.14     | 90.0 | 6.43   |
| 04/04/2018 | 00084003260 GUILLORY, PEGGY      | AMERICAN FUNDS GROWTH FUND OF AMERI B |   | 190.38   | 7.14     | 0.00 | 7.14     | 90.0 | 6.43   |
| 04/02/2018 | 00085259047 PLUMBLEY, COLE       | THE GROWTH FUND OF AMERICA-529C       | B | 100.00   | 1.00     | 0.00 | 1.00     | 90.0 | 0.90   |
| 04/02/2018 | 00085259061 PLUMBLEY, COLE       | THE GROWTH FUND OF AMERICA-529C       | B | 100.00   | 1.00     | 0.00 | 1.00     | 90.0 | 0.90   |
| 04/02/2018 | 00086483945 SINGLETON, ELIZABETH | THE NEW ECONOMY FUND-C                | B | 150.00   | 1.50     | 0.00 | 1.50     | 90.0 | 1.35   |
| 04/02/2018 | 00777127393 JOBE, LISA GLENN     | AIM MID CAP CORE EQUITY FUND - CL C B |   | 200.00   | 2.00     | 0.00 | 2.00     | 90.0 | 1.80   |
| 04/02/2018 | 00777127393 JOBE, LISA GLENN     | AIM MID CAP CORE EQUITY FUND - CL C B |   | 115.50   | 1.16     | 0.00 | 1.16     | 90.0 | 1.04   |
| 04/02/2018 | 00777127393 JOBE, LISA GLENN     | AIM MID CAP CORE EQUITY FUND - CL C B |   | 200.00   | 2.00     | 0.00 | 2.00     | 90.0 | 1.80   |
| 04/02/2018 | 00777127393 JOBE, LISA GLENN     | AIM MID CAP CORE EQUITY FUND - CL C B |   | 115.50   | 1.16     | 0.00 | 1.16     | 90.0 | 1.04   |
| 04/02/2018 | 00777165985 MEZA, AMY C.         | IVK AMERICAN VALUE FUND - CLASS C B   |   | 50.00    | 0.50     | 0.00 | 0.50     | 90.0 | 0.45   |
| 04/02/2018 | 00777165985 MEZA, AMY C.         | IVK AMERICAN VALUE FUND - CLASS C B   |   | 38.33    | 0.38     | 0.00 | 0.38     | 90.0 | 0.34   |
| 04/02/2018 | 00777165985 MEZA, AMY C.         | IVK AMERICAN VALUE FUND - CLASS C B   |   | 50.00    | 0.50     | 0.00 | 0.50     | 90.0 | 0.45   |
| 04/02/2018 | 00777165985 MEZA, AMY C.         | IVK AMERICAN VALUE FUND - CLASS C B   |   | 44.82    | 0.45     | 0.00 | 0.45     | 90.0 | 0.41   |

COMMISSION STATEMENT for JERRY SINGLETON

| TRADE DATE                     | CLIENT      | INVESTMENT         | B/S                                   | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE       | BROKER<br>COMMISSION |            |
|--------------------------------|-------------|--------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------------|----------------------|------------|
| BROKER TRANSACTIONS            |             |                    |                                       |                      |                     |                    |                   |            |                      |            |
| PRODUCT CATEGORY: MUTUAL FUNDS |             |                    |                                       |                      |                     |                    |                   |            |                      |            |
| 04/02/2018                     | 01560374108 | DESBIEN, ROBERT K. | MFS MUNICIPAL INCOME - A1             | B                    | 60.00               | 2.40               | 0.00              | 2.40       | 90.0                 | 2.16       |
| 04/05/2018                     | 05043109999 | YETTER, SUSAN      | AIM TECHNOLOGY FUND - CLASS A         | B                    | 55.55               | 1.67               | 0.00              | 1.67       | 90.0                 | 1.50       |
| 04/05/2018                     | 05043109999 | YETTER, SUSAN      | IVK AMERICAN FRANCHISE FUND - CLASS B | B                    | 55.55               | 1.67               | 0.00              | 1.67       | 90.0                 | 1.50       |
| 04/02/2018                     | 08184436668 | LEPERT, THOMAS B.  | MFS MUNICIPAL INCOME - A1             | B                    | 50.00               | 2.00               | 0.00              | 2.00       | 90.0                 | 1.80       |
| 04/02/2018                     | 08184749125 | HUGHES, HENRY A    | MFS MUNICIPAL INCOME - A1             | B                    | 100.00              | 4.00               | 0.00              | 4.00       | 90.0                 | 3.60       |
| * MUTUAL FUNDS SUBTOTAL *      |             |                    |                                       |                      | \$4,432.71          | \$1,716.62         | \$0.00            | \$1,716.62 |                      | \$1,544.98 |

**PRODUCT CATEGORY: VARIABLE ANNUITIES**

|                                 |                 |                                     |   |            |            |        |            |      |            |
|---------------------------------|-----------------|-------------------------------------|---|------------|------------|--------|------------|------|------------|
| 03/31/2018                      | TRAILS          | -HARTFORD LIFE                      | B | 751.42     | 751.42     | 0.00   | 751.42     | 90.0 | 676.28     |
| 03/19/2018                      | TRAILS          | -PACIFIC LIFE INSURANCE             | B | 209.99     | 209.99     | 0.00   | 209.99     | 90.0 | 188.99     |
| 03/29/2018                      | TRAILS          | ALLIANZ - VARIABLE ANNUITY          | B | 63.92      | 63.92      | 0.00   | 63.92      | 90.0 | 57.53      |
| 03/28/2018                      | TRAILS          | DELAWARE LIFE                       | B | 52.01      | 52.01      | 0.00   | 52.01      | 90.0 | 46.81      |
| 03/29/2018                      | REINKE, NATALEE | HORACE MANN LIFE INSURANCE COMPANY  | B | 73.51      | 4.59       | 0.00   | 4.59       | 90.0 | 4.13       |
| 03/31/2018                      | TRAILS          | LINCOLN NATIONAL LIFE INSURANCE COM | B | 96.92      | 96.92      | 0.00   | 96.92      | 90.0 | 87.23      |
| 03/31/2018                      | TRAILS          | PRUDENTIAL INSURANCE CO.            | B | 43.45      | 43.45      | 0.00   | 43.45      | 90.0 | 39.11      |
| * VARIABLE ANNUITIES SUBTOTAL * |                 |                                     |   | \$1,291.22 | \$1,222.30 | \$0.00 | \$1,222.30 |      | \$1,100.08 |

**PRODUCT CATEGORY: LIFE INSURANCE**

|                             |                    |                    |   |        |        |        |        |      |        |
|-----------------------------|--------------------|--------------------|---|--------|--------|--------|--------|------|--------|
| 02/17/2018                  | PELLEY, MICHELLE R | AMERICAN FARM LIFE | B | 2.85   | 2.85   | 0.00   | 2.85   | 90.0 | 2.57   |
| 03/17/2018                  | PELLEY, JASON      | AMERICAN FARM LIFE | B | 2.85   | 2.85   | 0.00   | 2.85   | 90.0 | 2.57   |
| * LIFE INSURANCE SUBTOTAL * |                    |                    |   | \$5.70 | \$5.70 | \$0.00 | \$5.70 |      | \$5.14 |

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

|                                      |                              |            |            |        |            |      |            |
|--------------------------------------|------------------------------|------------|------------|--------|------------|------|------------|
| 04/16/2018 TRAIL COMMISSIONS         | INVESCO LTD FEES             | 0.00       | 2,803.69   | 0.00   | 2,803.69   | 90.0 | 2,523.45   |
| 04/16/2018 TRAIL COMMISSIONS         | INVESCO FAMILY OF FUNDS FEES | 0.00       | 62.77      | 0.00   | 62.77      | 90.0 | 56.50      |
| 04/16/2018 TRAIL COMMISSIONS         | HARTFORD MUTUAL FUNDS FEES   | 0.00       | 11.19      | 0.00   | 11.19      | 90.0 | 10.09      |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * |                              | \$0.00     | \$2,877.65 | \$0.00 | \$2,877.65 |      | \$2,590.04 |
| *** BROKER TRANSACTIONS TOTAL ***    |                              | \$5,729.63 | \$5,822.27 | \$0.00 | \$5,822.27 |      | \$5,240.24 |

**SPLIT TRANSACTIONS****BROKER: SINGLETON/POOL #9626**

|                              |                                  |        |        |        |        |      |        |
|------------------------------|----------------------------------|--------|--------|--------|--------|------|--------|
| 04/16/2018 TRAIL COMMISSIONS | INVESCO LTD FEES                 | 0.00   | 4.18   | 0.00   | 4.18   | 90.0 | 3.75   |
|                              |                                  |        |        |        |        |      |        |
|                              | * SINGLETON/POOL SUBTOTAL *      | \$0.00 | \$4.18 | \$0.00 | \$4.18 |      | \$3.75 |
|                              |                                  |        |        |        |        |      |        |
|                              | *** SPLIT TRANSACTIONS TOTAL *** | \$0.00 | \$4.18 | \$0.00 | \$4.18 |      | \$3.75 |

**BROKER COMMISSION TOTALS**

|                       |            |
|-----------------------|------------|
| Broker Transactions   | \$5,242.85 |
| Split Transactions    | \$1.14     |
| Override Transactions | \$0.00     |
| Adjustments           | \$0.00     |
| Payroll Draw          | \$0.00     |
| Base Salary           | \$0.00     |
| Prior Period Balance  | \$0.00     |

|                       |             |
|-----------------------|-------------|
| Check Amount          | \$5,243.99  |
| Year-to-date Earnings | \$60,218.52 |