

**DEVIN BORN**128 Matthew Dr
Sinking Springs, PA 19608

BROKER #: A95

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BBOKED T	RANSACTIONS					
PRODUCT	CATEGORY: MUTUAL FUNDS	<u> BROKER 1</u>	KANDACIIOND					
07/02/201	8 ***-**-482J - SCHWEMMER, JOHN ACCOUNT #: 00700258619	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 17 Units @11.6800	200.00	7.50	0.00	7.50	75.0	5.63
07/02/201	8 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 01464625126	FIDELITY ADV MF 040813 BUY 2 Units @20.7900	50.00	1.25	0.00	1.25	75.0	0.94
06/25/201	8 ***-**-9290 - BRYNIARSKI, ACCOUNT #: 00701194474	FIDELITY ADV MID CAP II-CL A BUY 4 Units @22.2400	100.00	3.75	0.00	3.75	75.0	2.81
07/03/201	8 ***-**-0738 - WESSNER, TODD ACCOUNT #: 00002492630	JOHN HANCOCK DISCIPLINED VALUE A BUY 2 Units @22.7700	41.42	1.18	0.00	1.18	75.0	0.89
07/03/201	8 ***-**-0738 - WESSNER, TODD ACCOUNT #: 00002492630	JOHN HANCOCK DISCIPLINED VALUE A BUY 6 Units @22.7700	138.06	3.93	0.00	3.93	75.0	2.95
07/03/201	8 ***-**-0491 - SUTTON, WAYNE ACCOUNT #: 00002409892	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 2 Units @17.2400	29.85	0.85	0.00	0.85	75.0	0.64
07/03/201	8 ***-**-0491 - SUTTON, WAYNE ACCOUNT #: 00002409892	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 2 Units @17.2400	29.85	0.85	0.00	0.85	75.0	0.64
07/03/201	8 ***-**-3295 - KUNKEL, WILLIAM ACCOUNT #: 00002492633	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 2 Units @17.2400	40.43	1.15	0.00	1.15	75.0	0.86
07/03/201	8 ***-**-3295 - KUNKEL, WILLIAM ACCOUNT #: 00002492633	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 2 Units @17.2400	40.43	1.15	0.00	1.15	75.0	0.86
07/03/201	8 ***-**-9183 - DISSINGER, ACCOUNT #: 00003368835	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 2 Units @17.2400	39.05	1.11	0.00	1.11	75.0	0.83
07/03/201	8 ***-**-9183 - DISSINGER, ACCOUNT #: 00003368835	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 2 Units @17.2400	39.05	1.11	0.00	1.11	75.0	0.83
07/03/201	8 ***-**-3321 - WILLIAMS, EDWARD ACCOUNT #: 00002410404	LIFESTYLE MODERATE PORTFOLIO A BUY 2 Units @14.0900	23.40	0.67	0.00	0.67	75.0	0.50
07/03/201	8 ***-**-3321 - WILLIAMS, EDWARD ACCOUNT #: 00002410404	LIFESTYLE MODERATE PORTFOLIO A BUY 2 Units @14.0900	23.40	0.67	0.00	0.67	75.0	0.50
07/02/201	8 ***-**-8879 - ILLIANO, MARIO ACCOUNT #: 00084446460	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.9300	75.00	3.19	0.00	3.19	75.0	2.39
		* MUTUAL FUNDS SUBTOTAL *	\$869.94	\$28.36	\$0.00	\$28.36		\$21.27



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		BROKER	TRANSACTIONS	(continu	ıed)				
PRODUCT	CATEGORY: STOCKS								
07/09/201	8 ***-**-416J - ANGSTADT, BIANCA ACCOUNT #: LSR012022	GW PHARMACEUTICALS PLC ADS SELL 33 Units @138.7500	5	4,578.75	15.00	15.66	-0.66	100.0	-0.66
		* STOCKS	SUBTOTAL *	\$4,578.75	\$15.00	\$15.66	\$-0.66		\$-0.66
PRODUCT	CATEGORY: 529 PLAN								
07/02/201	8 ***-**-1668 - BONNER, STEPHEN ACCOUNT #: 00700557085	FA 529 PORT 2019-OLD CL A BUY 2 Units @21.0300		50.00	1.25	0.00	1.25	75.0	0.94
07/02/201	8 ***-**-68IN - ESHBACH, ERIC ACCOUNT #: 00701661217	FA 529 PORT 2022-CL A BUY 4 Units @27.7100		100.00	3.00	0.00	3.00	75.0	2.25
07/02/201	8 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 00689597003	FA 529 PORT 2022-CL A BUY 2 Units @27.5700		50.00	1.25	0.00	1.25	75.0	0.94
07/02/201	8 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 00625390331	FA 529 PORT 2028-CL A BUY 2 Units @28.6700		50.00	1.25	0.00	1.25	75.0	0.94
		* 529 PLAN	SUBTOTAL *	\$250.00	\$6.75	\$0.00	\$6.75		\$5.07
PRODUCT	CATEGORY: FINANCIAL PLANNING	1							
07/06/201	8 ***-**-393I - HIMMELBERGER, ACCOUNT #: LSM-000154	NFS FINANCIAL PLANNING FEB BUY 0 Units @0.0000	Σ	0.00	180.00	0.00	180.00	75.0	135.00
07/06/201	8 ***-**-715I - HIMMELBERGER, ACCOUNT #: LSM-000155	NFS FINANCIAL PLANNING FEB BUY 0 Units @0.0000	Σ	0.00	180.00	0.00	180.00	75.0	135.00
07/06/201	8 ***-**-005I - BORN, SHAWN ACCOUNT #: LSM-000166	NFS FINANCIAL PLANNING FEB BUY 0 Units @0.0000	3	0.00	200.00	0.00	200.00	75.0	150.00
07/06/201	8 ***-**-0110 - RATH, ROBERT ACCOUNT #: LSM-000189	NFS FINANCIAL PLANNING FEB BUY 0 Units @0.0000	Σ	0.00	360.00	0.00	360.00	75.0	270.00
		* FINANCIAL PLANNING	SUBTOTAL *	\$0.00	\$920.00	\$0.00	\$920.00		\$690.00
PRODUCT	CATEGORY: MUTUAL FUND 12B1 T	'RAILS							
07/01/201	8 ***-**-0047 - ENTZMINGER, JO ACCOUNT #: 03810913768	AIM HIGH YIELD FUND - CLAS BUY 0 Units @0.0000	SS A	0.00	15.32	0.00	15.32	75.0	11.49
06/30/201	8 ***-**-8738 - GOLDSBOROUGH, ACCOUNT #: 07603121591	CASH RESERVES A BUY 0 Units @0.0000		0.00	0.00	0.00	0.00	75.0	0.00
07/09/201	8 ***-**-03IN - COLLINS, CHRISTY ACCOUNT #: 00700912066	FA 529 PORT 2019-OLD CL A BUY 0 Units @0.0000		0.00	5.94	0.00	5.94	75.0	4.46



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PRODUCT	CATEGORY: MUTUAL FUND 12B1 7		ACTIONS (continu	ued)				
	8 ***-**-1668 - BONNER, STEPHEN ACCOUNT #: 00700557085	<u> </u>	0.00	10.21	0.00	10.21	75.0	7.66
07/09/201	8 ***-**-68IN - ESHBACH, ERIC ACCOUNT #: 00701661217	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	3.14	0.00	3.14	75.0	2.36
07/09/201	8 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 00689597003	E FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	4.99	0.00	4.99	75.0	3.74
07/09/201	.8 ***-**-975P - SCANTZOS, ACCOUNT #: 00700225170	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	2.23	0.00	2.23	75.0	1.67
07/09/201	.8 ***-**-975P - SCANTZOS, ACCOUNT #: 00701649865	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	3.65	0.00	3.65	75.0	2.74
07/09/201	8 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499630	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	1.37	0.00	1.37	75.0	1.03
07/09/201	8 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499655	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	1.37	0.00	1.37	75.0	1.03
07/09/201	.8 ***-**-975P - SCANTZOS, ACCOUNT #: 00704894625	FA 529 PORT 2025-CL A BUY 0 Units @0.0000	0.00	2.13	0.00	2.13	75.0	1.60
07/09/201	8 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 00625390331	E FA 529 PORT 2028-CL A BUY 0 Units @0.0000	0.00	3.93	0.00	3.93	75.0	2.95
07/09/201	.8 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 01464625126	E FA 529 PORT 2028-CL A BUY 0 Units @0.0000	0.00	1.28	0.00	1.28	75.0	0.96
07/09/201	.8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000	0.00	0.53	0.00	0.53	75.0	0.40
07/09/201	8 ***-**-9290 - BRYNIARSKI, ACCOUNT #: 00701194474	FIDELITY ADV FINANCIAL SERVICES BUY 0 Units @0.0000	CL 0.00	0.31	0.00	0.31	75.0	0.23
07/09/201	.8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADV FINANCIAL SERVICES BUY 0 Units @0.0000	CL 0.00	0.78	0.00	0.78	75.0	0.59
07/09/201	.8 ***-**-2330 - BRYNIARSKI, ACCOUNT #: 01427341720	FIDELITY ADV FREEDOM 2035-CL A BUY 0 Units @0.0000	0.00	2.85	0.00	2.85	75.0	2.14
07/09/201	8 ***-**-9418 - ESHBACH, SUSAN ACCOUNT #: 01422030047	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	2.67	0.00	2.67	75.0	2.00
07/09/201	.8 ***-**-9290 - BRYNIARSKI, ACCOUNT #: 00701194474	FIDELITY ADV HEALTH CARE-CL A BUY 0 Units @0.0000	0.00	1.00	0.00	1.00	75.0	0.75



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DRODUCT	CATEGORY: MUTUAL FUND 12B1 T		RANSACTIONS	(continue	ed)				
	8 ***-**-9816 - ESHBACH, AUDREY ACCOUNT #: 00537147738	<del>`</del> _	L A	0.00	7.61	0.00	7.61	75.0	5.71
07/09/201	8 ***-**-0610 - SWEIGART, SUE ACCOUNT #: 00527640569	FIDELITY ADV HIGH INCOME AD BUY 0 Units @0.0000	DVANTAGE	0.00	6.85	0.00	6.85	75.0	5.14
07/09/201	8 ***-**-482J - SCHWEMMER, JOHN ACCOUNT #: 00700258619	FIDELITY ADV HIGH INCOME AD BUY 0 Units @0.0000	DVANTAGE	0.00	4.03	0.00	4.03	75.0	3.02
07/09/201	8 ***-**-06IR - YAKAITIS, JAMES ACCOUNT #: 00700935596	FIDELITY ADV HIGH INCOME AD BUY 0 Units @0.0000	DVANTAGE	0.00	4.28	0.00	4.28	75.0	3.21
07/09/201	8 ***-**-72IN - BOYER, SUSAN ACCOUNT #: 00704025758	FIDELITY ADV HIGH INCOME AD BUY 0 Units @0.0000	OVANTAGE	0.00	2.02	0.00	2.02	75.0	1.52
07/09/201	8 ***-**-1271 - BREIDENSTEIN, ACCOUNT #: 01483191639	FIDELITY ADV HIGH INCOME AD BUY 0 Units @0.0000	OVANTAGE	0.00	8.75	0.00	8.75	75.0	6.56
07/09/201	8 ***-**-35IO - CAMERON, NANCY ACCOUNT #: 01442128896	FIDELITY ADV HIGH INCOME-CL BUY 0 Units @0.0000	A	0.00	0.57	0.00	0.57	75.0	0.43
07/09/201	8 ***-**-9358 - RAMBO, JOHN ACCOUNT #: 00537052706	FIDELITY ADV HIGH INCOME-CL BUY 0 Units @0.0000	A	0.00	12.94	0.00	12.94	75.0	9.71
07/09/201	8 ***-**-2999 - GODFREY, MICHAEL ACCOUNT #: 00700702285	FIDELITY ADV HIGH INCOME-CL BUY 0 Units @0.0000	A	0.00	0.16	0.00	0.16	75.0	0.12
07/09/201	8 ***-**-0618 - GODFREY, REBECCA ACCOUNT #: 00700702293	FIDELITY ADV HIGH INCOME-CL BUY 0 Units @0.0000	A	0.00	0.16	0.00	0.16	75.0	0.12
07/09/201	8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADV HIGH INCOME-CL BUY 0 Units @0.0000	A	0.00	5.86	0.00	5.86	75.0	4.40
07/09/201	8 ***-**-9816 - ESHBACH, AUDREY ACCOUNT #: 00537147738	FIDELITY ADV INDUSTRIALS CL BUY 0 Units @0.0000	A	0.00	4.66	0.00	4.66	75.0	3.50
07/09/201	8 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 01464625126	FIDELITY ADV MF 040813 BUY 0 Units @0.0000		0.00	1.89	0.00	1.89	75.0	1.42
07/09/201	8 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499648	FIDELITY ADV MF 040813 BUY 0 Units @0.0000		0.00	0.80	0.00	0.80	75.0	0.60
07/09/201	8 ***-**-9290 - BRYNIARSKI, ACCOUNT #: 00701194474	FIDELITY ADV MID CAP II-CL BUY 0 Units @0.0000	A	0.00	6.25	0.00	6.25	75.0	4.69
07/09/201	8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADV MID CAP II-CL BUY 0 Units @0.0000	A	0.00	0.77	0.00	0.77	75.0	0.58



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PRODUCT	CATEGORY: MUTUAL FUND 12B1 T	BROKER TRANSACTION	ONS (contin	ued)				
	8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADV STCK SEL LRG CP VAL CL BUY 0 Units @0.0000	0.00	0.71	0.00	0.71	75.0	0.53
07/09/201	8 ***-**-5527 - BONNER, BETTINA ACCOUNT #: 01487530055	FIDELITY ADV STCK SEL LRG CP VAL CL BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	75.0	1.14
07/09/201	8 ***-**-4794 - BARRETT, JAMES ACCOUNT #: 00700238868	FIDELITY ADV TOTAL BOND-CL A BUY 0 Units @0.0000	0.00	8.80	0.00	8.80	75.0	6.60
07/09/201	.8 ***-**-4794 - BARRETT, JAMES ACCOUNT #: 00704610013	FIDELITY ADV TOTAL BOND-CL A BUY 0 Units @0.0000	0.00	0.15	0.00	0.15	75.0	0.11
07/09/201	.8 ***-**-4873 - ECK, TARA ACCOUNT #: 01426408108	FIDELITY ADVISOR FREEDOM 2040 BUY 0 Units @0.0000	0.00	1.62	0.00	1.62	75.0	1.22
07/09/201	8 ***-**-1668 - BONNER, STEPHEN ACCOUNT #: 00700557093	FIDELITY ADVISOR MID CAP STOCK CL A BUY 0 Units @0.0000	0.00	7.16	0.00	7.16	75.0	5.37
07/09/201	.8 ***-**-2330 - BRYNIARSKI, ACCOUNT #: 00701194482	FIDELITY ADVISOR SMALL-CAP GROWTH F BUY 0 Units @0.0000	0.00	1.96	0.00	1.96	75.0	1.47
07/09/201	.8 ***-**-0349 - BREIDENSTEIN, ACCOUNT #: 03900189228	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 0 Units @0.0000	0.00	1.50	0.00	1.50	75.0	1.13
07/09/201	.8 ***-**-1271 - BREIDENSTEIN, ACCOUNT #: 01483191639	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 0 Units @0.0000	0.00	2.30	0.00	2.30	75.0	1.73
07/01/201	.8 ***-**-0047 - ENTZMINGER, JO ACCOUNT #: 03810913768	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	23.73	0.00	23.73	75.0	17.80
07/01/201	.8 ***-**-0047 - ENTZMINGER, JO ACCOUNT #: 03810913768	INVESCO CORE PLUS BOND FUND CL A BUY 0 Units @0.0000	0.00	14.24	0.00	14.24	75.0	10.68
07/16/201	8 ***-**-5773 - SEGNERE, HELEN	JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000	0.00	8.31	0.00	8.31	75.0	6.23
07/16/201	.8 ***-**-068I - SHOLLENBERGER, ACCOUNT #: 52578395	JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000	0.00	0.60	0.00	0.60	75.0	0.45
07/16/201	.8 ***-**-2332 - BACHMAN, TORI ACCOUNT #: 207182029	JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000	0.00	9.42	0.00	9.42	75.0	7.07
07/16/201	8 ***-**-4180 - KOCH, TRACIE ACCOUNT #: 52879442	JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000	0.00	13.27	0.00	13.27	75.0	9.95
07/16/201	8 ***-**-085P - ADAIR, JUDITH ACCOUNT #: 52840750	JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000	0.00	0.88	0.00	0.88	75.0	0.66



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		BROKER TRANSACT	IONS (continu	.ed)				
PRODUCT (	### MUTUAL FUND 12B1 TRAILS (continued)    Formation   Continued   Continued							
07/16/2018	· · · · · · · · · · · · · · · · · · ·		0.00	0.88	0.00	0.88	75.0	0.66
07/01/2018			0.00	31.40	0.00	31.40	75.0	23.55
06/30/2018	•	~	0.00	50.24	0.00	50.24	75.0	37.68
07/16/2018	•	-	0.00	12.04	0.00	12.04	75.0	9.03
07/16/2018	•		0.00	15.71	0.00	15.71	75.0	11.78
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$337.74	\$0.00	\$337.74		\$253.37
PRODUCT (	CATEGORY: VARIABLE ANNUITY	12B1 TRAILS						
07/07/2018	3 ***-**-9290 - BRYNIARSKI, ACCOUNT #: C161480-0X	ING VA 380789883 BUY 0 Units @0.0000	0.00	12.32	0.00	12.32	75.0	9.24
	* VA	RIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$12.32	\$0.00	\$12.32		\$9.24
		*** BROKER TRANSACTIONS TOTAL ***	\$5,698.69	\$1,320.17	\$15.66	\$1,304.51		\$978.29



# **COMMISSION STATEMENT**

July 16, 2018

DEVIN BORN

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INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
				BROKER COMM	ISSION	TOTALS
			Broke	er Transactions	\$	\$978.29
			Spl	it Transactions	5	\$0.00
			Overrio	de Transactions	\$	\$0.00
				Adjustments	\$	\$0.00
				Payroll Draw	7	\$0.00
				Base Salary	,	\$0.00
			Prior	Period Balance	:	\$65.03
			F	INRA Assessment	:	\$0.00
			S	SIPC Assessment	:	\$0.00
						\$1,043.32 \$36,494.13
	INVESTMENT			INVESTMENT AMOUNT COMMISSION CHARGE  Broke Spl: Overrice  Prior F: Structure of the commission of the	INVESTMENT  AMOUNT COMMISSION CHARGE COMMISSION  BROKER COMMISSION  Broker Transactions Split Transactions Override Transactions Adjustments Payroll Draw Base Salary Prior Period Balance FINRA Assessment SIPC Assessment	