



Concorde Investment Services, LLC
COMMISSION STATEMENT
December 31, 2017

12/28/2017
Page No. 1

JOHN ROSS
7261 W. Central Ave.

Toledo, OH 43617

BROKER #: R119
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
12/22/2017	KORAL, ANDREW	SPROTT PHYSICAL SILVER TR TR UNIT I	90.80	22.00	22.50	-0.50	92.0	-0.46
* STOCKS SUBTOTAL *			\$90.80	\$22.00	\$22.50	\$-0.50		\$-0.46
*** CLEARING TRANSACTIONS TOTAL ***			\$90.80	\$22.00	\$22.50	\$-0.50		\$-0.46
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
12/15/2017	ROSS, VARIOUS	GRIFFIN INST'L ACCESS R E FUND	0.00	1.13	0.00	1.13	92.0	1.04
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$1.13	\$0.00	\$1.13		\$1.04
<u>PRODUCT CATEGORY: FIXED INDEX ANNUITIES</u>								
12/22/2017	HEINAMAN, ROBERT	NORTH AMERICAN FIA	0.00	7,125.37	0.00	7,125.37	92.0	6,555.34
* FIXED INDEX ANNUITIES SUBTOTAL *			\$0.00	\$7,125.37	\$0.00	\$7,125.37		\$6,555.34
<u>PRODUCT CATEGORY: 529 PLANS</u>								
12/19/2017	WAGONER JR, JOHN	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	5.00	0.00	5.00	92.0	4.60
12/01/2017	HUNTER, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	92.0	1.96
12/01/2017	HUNTER, DEBORAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	92.0	1.96
* 529 PLANS SUBTOTAL *			\$200.00	\$9.26	\$0.00	\$9.26		\$8.52
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/28/2017	MARTIN, RACHEL	AM FUNDS AMERICAN BALANCED A	105.00	4.46	0.00	4.46	92.0	4.10
12/18/2017	MOLNAR, JOSEPH	AM FUNDS AMERICAN BALANCED A	5,500.00	151.25	0.00	151.25	92.0	139.15
12/20/2017	KORAL, ANDREW	AM FUNDS AMERICAN BALANCED A	375.00	18.75	0.00	18.75	92.0	17.25
12/01/2017	KRAUS, JENNIFER	AM FUNDS AMERICAN HIGH-INCOME TRUST	150.00	4.50	0.00	4.50	92.0	4.14
11/27/2017	RUMSCHLAG, DOUGLAS	AM FUNDS EUROPACIFIC GROWTH FUND A	77.64	2.14	0.00	2.14	92.0	1.97
12/11/2017	HENDERSON, BARBARA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	4.25	0.00	4.25	92.0	3.91
11/28/2017	MARTIN, RACHEL	AM FUNDS FUNDAMENTAL INVESTORS A	105.00	4.46	0.00	4.46	92.0	4.10

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/27/2017	RUMSCHLAG, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	77.64	2.14	0.00	2.14	92.0	1.97
11/28/2017	MARTIN, RACHEL	AM FUNDS INCOME FUND OF AMERICA A	105.00	4.46	0.00	4.46	92.0	4.10
12/18/2017	HAUSER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	200.00	3.20	0.00	3.20	92.0	2.94
12/18/2017	HAUSER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	20.00	0.32	0.00	0.32	92.0	0.29
12/18/2017	TARRIS, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	200.00	3.20	0.00	3.20	92.0	2.94
12/18/2017	MCFARLAND, BRANDON	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	92.0	1.47
12/18/2017	GURNEY, ERICA	AM FUNDS NEW WORLD A	25.00	1.25	0.00	1.25	92.0	1.15
11/28/2017	HILKENS, CHRISTOPHER	AM FUNDS SHORT TERM BND FUND OF AM	65.00	1.30	0.00	1.30	92.0	1.20
11/28/2017	MARTIN, RACHEL	AM FUNDS SMALLCAP WORLD A	35.00	1.49	0.00	1.49	92.0	1.37
12/11/2017	HENDERSON, BARBARA	AM FUNDS SMALLCAP WORLD A	50.00	2.13	0.00	2.13	92.0	1.96
11/27/2017	VALADE, JOHN	AM FUNDS TAX-EXEMPT BOND FUND OF AM	1,000.00	27.50	0.00	27.50	92.0	25.30
11/27/2017	DONNELLY, EMILY	AM FUNDS TAX-EXEMPT BOND FUND OF AM	3,000.00	90.00	0.00	90.00	92.0	82.80
12/11/2017	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	300.00	9.00	0.00	9.00	92.0	8.28
12/11/2017	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	300.00	9.00	0.00	9.00	92.0	8.28
12/11/2017	ROSSCO INVESTMENT CL	LORD ABBETT MID CAP STOCK A	500.00	25.00	0.00	25.00	92.0	23.00
* MUTUAL FUNDS SUBTOTAL *			\$12,390.28	\$371.40	\$0.00	\$371.40		\$341.67
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/11/2017	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
12/11/2017	SHOEMAKER, DENNIS	MML ARTISTRY	25.00	1.38	0.00	1.38	92.0	1.27
12/15/2017	REEVES, TERENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
12/22/2017	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
12/22/2017	SHOEMAKER, DENNIS	MML ARTISTRY	25.00	1.38	0.00	1.38	92.0	1.27
* VARIABLE ANNUITIES SUBTOTAL *			\$290.00	\$15.96	\$0.00	\$15.96		\$14.68
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	1.44	0.00	1.44	92.0	1.32
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	0.96	0.00	0.96	92.0	0.88
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.37	0.00	1.37	92.0	1.26
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.14	0.00	1.14	92.0	1.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$4.91	\$0.00	\$4.91		\$4.51

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
11/22/2017	YOSHINO, KAREN	ING PREMIUM PLUS	0.00	49.69	0.00	49.69	92.0	45.71
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$49.69	\$0.00	\$49.69		\$45.71
*** DIRECT TRANSACTIONS TOTAL ***			\$12,880.28	\$7,577.72	\$0.00	\$7,577.72		\$6,971.47

VERRIDE TRANSACTIONS**BROKER: THOMAS HALSEY #10**

12/15/2017	LEROUX, LAURA	BLACKROCK 67776G519	300.00	11.25	0.00	11.25	85.0	0.79
* THOMAS HALSEY SUBTOTAL *			\$300.00	\$11.25	\$0.00	\$11.25		\$0.79

BROKER: THOMAS HALSEY #82

11/28/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	0.18
12/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	85.0	1.05
12/15/2017	LEININGER, LISA	BLACKROCK 67776G535	25.00	1.25	0.00	1.25	85.0	0.09
12/15/2017	LEININGER, LISA	BLACKROCK 67776G535	25.00	1.25	0.00	1.25	85.0	0.09
11/30/2017	LEININGER, LISA	BLACKROCK FUND 19452P677	0.00	0.47	0.00	0.47	85.0	0.03
11/30/2017	LEININGER, LISA	BLACKROCK FUND 19452P677	0.00	0.47	0.00	0.47	85.0	0.03
11/30/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	8.40	0.00	8.40	85.0	0.59
11/30/2017	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	1.22	0.00	1.22	85.0	0.09
11/30/2017	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	1.19	0.00	1.19	85.0	0.08
11/30/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	9.58	0.00	9.58	85.0	0.67
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.52	0.00	7.52	85.0	0.53
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	3.60	0.00	3.60	85.0	0.25
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	5.03	0.00	5.03	85.0	0.35
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	6.51	0.00	6.51	85.0	0.46
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	4.38	0.00	4.38	85.0	0.31
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	4.31	0.00	4.31	85.0	0.30
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	6.36	0.00	6.36	85.0	0.45
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	7.01	0.00	7.01	85.0	0.49
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	9.29	0.00	9.29	85.0	0.65
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	3.52	0.00	3.52	85.0	0.25
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.16	0.00	5.16	85.0	0.36
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	6.15	0.00	6.15	85.0	0.43
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	6.04	0.00	6.04	85.0	0.42

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: THOMAS HALSEY #82</u>								
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	14.62	0.00	14.62	85.0	1.02
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.85	0.00	6.85	85.0	0.48
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	7.26	0.00	7.26	85.0	0.51
12/15/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
* THOMAS HALSEY SUBTOTAL *			\$550.00	\$147.69	\$0.00	\$147.69		\$10.35
<u>BROKER: ROBERT THOMPSON #83</u>								
12/08/2017	RUTLEDGE, JOANNE	GOOD HARBOR TACTICAL CORE US FD A	0.00	4.74	0.00	4.74	90.0	0.09
11/30/2017	SHAFFER, CLARK	ICON FUND C	0.00	15.64	0.00	15.64	90.0	0.31
11/30/2017	RICE, CHERYL	ICON FUND C	0.00	2.39	0.00	2.39	90.0	0.05
11/30/2017	GECIK, STANLEY	ICON FUND C	0.00	4.87	0.00	4.87	90.0	0.10
11/30/2017	RECKNER, GARTH	ICON FUND C	0.00	1.23	0.00	1.23	90.0	0.02
12/18/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
* ROBERT THOMPSON SUBTOTAL *			\$150.00	\$39.00	\$0.00	\$39.00		\$0.77
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,000.00	\$197.94	\$0.00	\$197.94		\$11.91

BROKER COMMISSION TOTALS

Broker Transactions	\$6,971.01
Split Transactions	\$0.00
Override Transactions	\$11.91
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,982.92
Year-to-date Gross	\$119,404.15
Year-to-date Earnings	\$122,107.47

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