



Concorde Investment Services, LLC

08/14/2017
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COMMISSION STATEMENT

August 15, 2017

JEFFREY KAUFMAN
801 Gray Oak Drive

BROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/27/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	85.40	0.85	0.00	0.85	90.0	0.77
07/27/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	85.40	0.85	0.00	0.85	90.0	0.77
07/27/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
07/27/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
07/27/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	38.65	0.39	0.00	0.39	90.0	0.35
07/27/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	51.54	0.52	0.00	0.52	90.0	0.47
07/27/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.31	0.54	0.00	0.54	90.0	0.49
07/27/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.31	0.54	0.00	0.54	90.0	0.49
07/27/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	25.68	0.26	0.00	0.26	90.0	0.23
07/27/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	25.68	0.26	0.00	0.26	90.0	0.23
07/27/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
07/27/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
07/27/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.20	0.40	0.00	0.40	90.0	0.36
07/27/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.20	0.40	0.00	0.40	90.0	0.36
07/27/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	134.83	1.35	0.00	1.35	90.0	1.22
07/27/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	314.60	3.15	0.00	3.15	90.0	2.84
07/27/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	57.60	0.58	0.00	0.58	90.0	0.52
07/27/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	57.60	0.58	0.00	0.58	90.0	0.52
08/01/2017	MEDLEY, LISA	AM FUNDS AMERICAN BALANCED A	64.57	0.65	0.00	0.65	90.0	0.59
08/01/2017	MEDLEY, LISA	AM FUNDS AMERICAN BALANCED A	64.57	0.65	0.00	0.65	90.0	0.59
08/04/2017	DAVIS, SALLY	AM FUNDS AMERICAN BALANCED A	1.29	0.02	0.00	0.02	90.0	0.02
07/27/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	24.00	0.24	0.00	0.24	90.0	0.22
07/27/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	64.00	0.64	0.00	0.64	90.0	0.58
07/27/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	181.23	1.81	0.00	1.81	90.0	1.63
07/27/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	181.23	1.81	0.00	1.81	90.0	1.63
07/27/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/27/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
07/27/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	32.73	0.33	0.00	0.33	90.0	0.30
07/27/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	32.73	0.33	0.00	0.33	90.0	0.30
07/27/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	20.56	0.21	0.00	0.21	90.0	0.19
07/27/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	20.56	0.21	0.00	0.21	90.0	0.19
07/27/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	18.00	0.18	0.00	0.18	90.0	0.16
07/27/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	48.00	0.48	0.00	0.48	90.0	0.43
07/28/2017	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	184.62	3.69	0.00	3.69	90.0	3.32
07/28/2017	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	184.62	3.69	0.00	3.69	90.0	3.32
08/01/2017	REDDICK, RYAN	AM FUNDS EUROPACIFIC GROWTH FUND A	62.50	1.72	0.00	1.72	90.0	1.55
07/27/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	321.00	3.21	0.00	3.21	90.0	2.89
07/27/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	947.16	9.47	0.00	9.47	90.0	8.52
07/27/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	78.83	0.79	0.00	0.79	90.0	0.71
07/27/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	78.83	0.79	0.00	0.79	90.0	0.71
07/27/2017	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	62.53	0.63	0.00	0.63	90.0	0.57
07/27/2017	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	62.53	0.63	0.00	0.63	90.0	0.57
07/27/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	77.58	0.78	0.00	0.78	90.0	0.70
07/27/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	77.58	0.78	0.00	0.78	90.0	0.70
07/25/2017	MARK, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA A	114.58	5.73	0.00	5.73	90.0	5.16
07/27/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	41.13	0.41	0.00	0.41	90.0	0.37
07/27/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	41.13	0.41	0.00	0.41	90.0	0.37
07/27/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	44.75	0.45	0.00	0.45	90.0	0.41
07/27/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	14.91	0.15	0.00	0.15	90.0	0.14
07/27/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.18	0.00	0.18	90.0	0.16
07/27/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	48.00	0.48	0.00	0.48	90.0	0.43
07/27/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	14.04	0.14	0.00	0.14	90.0	0.13
07/27/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	14.05	0.14	0.00	0.14	90.0	0.13
07/27/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	45.46	0.45	0.00	0.45	90.0	0.41
07/27/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	45.46	0.45	0.00	0.45	90.0	0.41
07/27/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	70.39	0.70	0.00	0.70	90.0	0.63
07/27/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	70.39	0.70	0.00	0.70	90.0	0.63
07/27/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17
07/27/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/27/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	46.16	0.46	0.00	0.46	90.0	0.41
07/27/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	46.16	0.46	0.00	0.46	90.0	0.41
07/28/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	369.23	7.38	0.00	7.38	90.0	6.64
07/28/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	369.23	7.38	0.00	7.38	90.0	6.64
08/01/2017	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	62.50	1.72	0.00	1.72	90.0	1.55
08/01/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	47.72	1.79	0.00	1.79	90.0	1.61
08/01/2017	SUMMERS, AARON	AM FUNDS GROWTH FUND OF AMERICA A	45.60	1.71	0.00	1.71	90.0	1.54
08/01/2017	EICHHOLZ, JARED	AM FUNDS GROWTH FUND OF AMERICA A	111.25	4.17	0.00	4.17	90.0	3.75
08/01/2017	JOHNSON, MARK	AM FUNDS GROWTH FUND OF AMERICA A	180.00	6.75	0.00	6.75	90.0	6.08
08/01/2017	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	40.86	0.41	0.00	0.41	90.0	0.37
08/01/2017	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	75.00	0.75	0.00	0.75	90.0	0.68
08/01/2017	TURNER, JOSH	AM FUNDS GROWTH FUND OF AMERICA A	30.22	0.30	0.00	0.30	90.0	0.27
08/01/2017	TURNER, JOSH	AM FUNDS GROWTH FUND OF AMERICA A	30.21	0.30	0.00	0.30	90.0	0.27
08/01/2017	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.41	0.00	0.41	90.0	0.37
08/01/2017	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	260.25	2.60	0.00	2.60	90.0	2.34
08/01/2017	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	82.50	0.83	0.00	0.83	90.0	0.75
08/01/2017	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	520.50	5.21	0.00	5.21	90.0	4.69
08/01/2017	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	27.38	0.27	0.00	0.27	90.0	0.24
08/01/2017	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	27.38	0.27	0.00	0.27	90.0	0.24
08/01/2017	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.41	0.00	0.41	90.0	0.37
08/01/2017	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	260.25	2.60	0.00	2.60	90.0	2.34
08/01/2017	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.68	0.00	0.68	90.0	0.61
08/01/2017	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.68	0.00	0.68	90.0	0.61
08/01/2017	UNZICKER, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	91.31	0.91	0.00	0.91	90.0	0.82
08/01/2017	UNZICKER, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	91.31	0.91	0.00	0.91	90.0	0.82
07/27/2017	DILLON, EMMA	AM FUNDS ICA A	22.86	0.23	0.00	0.23	90.0	0.21
07/27/2017	DILLON, EMMA	AM FUNDS ICA A	17.15	0.17	0.00	0.17	90.0	0.15
07/27/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	133.20	1.33	0.00	1.33	90.0	1.20
07/27/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	44.40	0.44	0.00	0.44	90.0	0.40
08/01/2017	WINFREY, LARRY	AM FUNDS ICA A	200.00	7.50	0.00	7.50	90.0	6.75
08/01/2017	BAUER, MATTHEW	AM FUNDS ICA A	46.32	1.74	0.00	1.74	90.0	1.57
08/01/2017	SUMMERS, AARON	AM FUNDS ICA A	45.60	1.71	0.00	1.71	90.0	1.54
08/01/2017	EICHHOLZ, JARED	AM FUNDS ICA A	111.25	4.17	0.00	4.17	90.0	3.75

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/01/2017	EULTGEN, VICKI	AM FUNDS ICA A	40.86	0.41	0.00	0.41	90.0	0.37
08/01/2017	EULTGEN, VICKI	AM FUNDS ICA A	75.00	0.75	0.00	0.75	90.0	0.68
08/01/2017	MURPHY, MELISSA	AM FUNDS ICA A	33.00	0.33	0.00	0.33	90.0	0.30
08/01/2017	MURPHY, MELISSA	AM FUNDS ICA A	208.20	2.08	0.00	2.08	90.0	1.87
08/01/2017	RIDGWAY, BENJAMIN	AM FUNDS ICA A	50.00	0.50	0.00	0.50	90.0	0.45
08/01/2017	RIDGWAY, BENJAMIN	AM FUNDS ICA A	50.00	0.50	0.00	0.50	90.0	0.45
08/01/2017	SUTTON, CHRISTOPHER	AM FUNDS ICA A	112.00	1.12	0.00	1.12	90.0	1.01
08/01/2017	SUTTON, CHRISTOPHER	AM FUNDS ICA A	82.47	0.82	0.00	0.82	90.0	0.74
08/01/2017	ELMORE, STUART	AM FUNDS ICA A	91.83	0.92	0.00	0.92	90.0	0.83
08/01/2017	ELMORE, STUART	AM FUNDS ICA A	91.83	0.92	0.00	0.92	90.0	0.83
08/01/2017	MEDLEY, LISA	AM FUNDS ICA A	64.57	0.65	0.00	0.65	90.0	0.59
08/01/2017	MEDLEY, LISA	AM FUNDS ICA A	64.57	0.65	0.00	0.65	90.0	0.59
07/27/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
07/27/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
08/01/2017	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	33.00	0.33	0.00	0.33	90.0	0.30
08/01/2017	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	208.20	2.08	0.00	2.08	90.0	1.87
07/25/2017	MARK, CHRISTOPHER	AM FUNDS NEW ECONOMY A	114.58	5.73	0.00	5.73	90.0	5.16
07/27/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.48	0.14	0.00	0.14	90.0	0.13
07/27/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	43.43	0.43	0.00	0.43	90.0	0.39
07/27/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	31.85	0.32	0.00	0.32	90.0	0.29
07/27/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	53.08	0.53	0.00	0.53	90.0	0.48
07/28/2017	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	184.61	3.69	0.00	3.69	90.0	3.32
07/28/2017	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	184.61	3.69	0.00	3.69	90.0	3.32
08/01/2017	REDDICK, RYAN	AM FUNDS NEW ECONOMY A	62.50	1.72	0.00	1.72	90.0	1.55
08/01/2017	BAUER, MATTHEW	AM FUNDS NEW ECONOMY A	46.32	1.74	0.00	1.74	90.0	1.57
08/01/2017	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	50.60	0.51	0.00	0.51	90.0	0.46
08/01/2017	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	50.60	0.51	0.00	0.51	90.0	0.46
08/01/2017	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	48.00	0.48	0.00	0.48	90.0	0.43
08/01/2017	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	35.35	0.35	0.00	0.35	90.0	0.32
07/27/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	41.13	0.41	0.00	0.41	90.0	0.37
07/27/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	41.13	0.41	0.00	0.41	90.0	0.37
07/27/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.48	0.14	0.00	0.14	90.0	0.13
07/27/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	43.44	0.43	0.00	0.43	90.0	0.39

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/27/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	14.04	0.14	0.00	0.14	90.0	0.13
07/27/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	14.04	0.14	0.00	0.14	90.0	0.13
07/27/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	44.12	0.44	0.00	0.44	90.0	0.40
07/27/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	44.12	0.44	0.00	0.44	90.0	0.40
07/27/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	33.60	0.34	0.00	0.34	90.0	0.31
07/27/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	16.80	0.17	0.00	0.17	90.0	0.15
08/01/2017	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	50.00	0.50	0.00	0.50	90.0	0.45
08/01/2017	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	27.24	0.27	0.00	0.27	90.0	0.24
08/01/2017	TURNER, JOSH	AM FUNDS NEW PERSPECTIVE A	30.21	0.30	0.00	0.30	90.0	0.27
08/01/2017	TURNER, JOSH	AM FUNDS NEW PERSPECTIVE A	30.22	0.30	0.00	0.30	90.0	0.27
08/01/2017	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	33.00	0.33	0.00	0.33	90.0	0.30
08/01/2017	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	208.20	2.08	0.00	2.08	90.0	1.87
08/01/2017	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	50.61	0.51	0.00	0.51	90.0	0.46
08/01/2017	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	50.61	0.51	0.00	0.51	90.0	0.46
08/01/2017	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	27.38	0.27	0.00	0.27	90.0	0.24
08/01/2017	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	27.38	0.27	0.00	0.27	90.0	0.24
08/01/2017	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	41.25	0.41	0.00	0.41	90.0	0.37
08/01/2017	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	260.25	2.60	0.00	2.60	90.0	2.34
07/25/2017	MARK, CHRISTOPHER	AM FUNDS NEW WORLD A	114.58	5.73	0.00	5.73	90.0	5.16
07/27/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	44.12	0.44	0.00	0.44	90.0	0.40
07/27/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	44.12	0.44	0.00	0.44	90.0	0.40
07/28/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	184.62	3.69	0.00	3.69	90.0	3.32
07/28/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	184.62	3.69	0.00	3.69	90.0	3.32
08/01/2017	EULTGEN, VICKI	AM FUNDS NEW WORLD A	13.62	0.14	0.00	0.14	90.0	0.13
08/01/2017	EULTGEN, VICKI	AM FUNDS NEW WORLD A	25.00	0.25	0.00	0.25	90.0	0.23
08/01/2017	TURNER, JOSH	AM FUNDS NEW WORLD A	30.22	0.30	0.00	0.30	90.0	0.27
08/01/2017	TURNER, JOSH	AM FUNDS NEW WORLD A	30.22	0.30	0.00	0.30	90.0	0.27
08/01/2017	MURPHY, MELISSA	AM FUNDS NEW WORLD A	8.25	0.08	0.00	0.08	90.0	0.07
08/01/2017	MURPHY, MELISSA	AM FUNDS NEW WORLD A	52.05	0.52	0.00	0.52	90.0	0.47
08/01/2017	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	82.50	0.83	0.00	0.83	90.0	0.75
08/01/2017	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	520.50	5.21	0.00	5.21	90.0	4.69
08/01/2017	VICTOR, THOMAS	AM FUNDS NEW WORLD A	27.37	0.27	0.00	0.27	90.0	0.24
08/01/2017	VICTOR, THOMAS	AM FUNDS NEW WORLD A	27.37	0.27	0.00	0.27	90.0	0.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/01/2017	TURNER, COREY	AM FUNDS NEW WORLD A	41.25	0.41	0.00	0.41	90.0	0.37
08/01/2017	TURNER, COREY	AM FUNDS NEW WORLD A	260.25	2.60	0.00	2.60	90.0	2.34
08/01/2017	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.68	0.00	0.68	90.0	0.61
08/01/2017	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.68	0.00	0.68	90.0	0.61
07/25/2017	MARK, CHRISTOPHER	AM FUNDS SMALLCAP WORLD A	114.58	5.73	0.00	5.73	90.0	5.16
07/27/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	85.65	0.86	0.00	0.86	90.0	0.77
07/27/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	85.65	0.86	0.00	0.86	90.0	0.77
07/27/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	18.73	0.19	0.00	0.19	90.0	0.17
07/27/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	18.72	0.19	0.00	0.19	90.0	0.17
07/27/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
07/27/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
07/27/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	33.60	0.34	0.00	0.34	90.0	0.31
07/27/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	16.80	0.17	0.00	0.17	90.0	0.15
08/01/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	62.50	1.72	0.00	1.72	90.0	1.55
08/01/2017	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	13.62	0.14	0.00	0.14	90.0	0.13
08/01/2017	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	25.00	0.25	0.00	0.25	90.0	0.23
08/01/2017	TURNER, JOSH	AM FUNDS SMALLCAP WORLD A	30.22	0.30	0.00	0.30	90.0	0.27
08/01/2017	TURNER, JOSH	AM FUNDS SMALLCAP WORLD A	30.22	0.30	0.00	0.30	90.0	0.27
08/01/2017	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	16.50	0.17	0.00	0.17	90.0	0.15
08/01/2017	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	104.10	1.04	0.00	1.04	90.0	0.94
08/01/2017	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	27.38	0.27	0.00	0.27	90.0	0.24
08/01/2017	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	27.38	0.27	0.00	0.27	90.0	0.24
08/01/2017	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	41.25	0.41	0.00	0.41	90.0	0.37
08/01/2017	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	260.25	2.60	0.00	2.60	90.0	2.34
08/01/2017	HOLTKAMP, MARIGENE	AM FUNDS U.S. GOVERNMENT SECURITIES	375.00	3.75	0.00	3.75	90.0	3.38
08/01/2017	HOLTKAMP, MARIGENE	AM FUNDS U.S. GOVERNMENT SECURITIES	79.54	0.80	0.00	0.80	90.0	0.72
07/27/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.27	0.34	0.00	0.34	90.0	0.31
07/27/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.27	0.34	0.00	0.34	90.0	0.31
08/01/2017	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	139.94	1.40	0.00	1.40	90.0	1.26
08/01/2017	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	233.23	2.33	0.00	2.33	90.0	2.10
/ /	KAUFMAN, JEFFREY	FIDELITY ADV CANADA	0.00	0.87	0.00	0.87	90.0	0.78
/ /	KAUFMAN, JEFFREY	FIDELITY ADV EMERGING EMEA	0.00	0.88	0.00	0.88	90.0	0.79
/ /	CROWLEY, MICHA	FIDELITY ADV EVENT DRIVEN OPFS	0.00	3.48	0.00	3.48	90.0	3.13

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL CAP APP T	0.00	2.13	0.00	2.13	90.0	1.92
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL COMMODITY STK	0.00	0.83	0.00	0.83	90.0	0.75
/ /	CROWLEY, MICHA	FIDELITY ADV GLOBAL COMMODITY STOCK	0.00	0.97	0.00	0.97	90.0	0.87
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GOLD	0.00	0.81	0.00	0.81	90.0	0.73
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV GOLD C	0.00	0.67	0.00	0.67	90.0	0.60
/ /	GRIFFITH, CHERYL	FIDELITY ADV GOVERNMENT INC	0.00	0.11	0.00	0.11	90.0	0.10
/ /	HALL, ELLEN	FIDELITY ADV GROWTH OPP	0.00	10.23	0.00	10.23	90.0	9.21
/ /	ELLIOTT, TIMOTHY	FIDELITY ADV GROWTH OPP	0.00	1.23	0.00	1.23	90.0	1.11
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GROWTH OPP	0.00	7.91	0.00	7.91	90.0	7.12
/ /	RICHARDSON, BOBBY	FIDELITY ADV HEALTH CARE T	0.00	2.63	0.00	2.63	90.0	2.37
/ /	CROWLEY, MICHA	FIDELITY ADV INT'L REAL ESTATE C	0.00	1.53	0.00	1.53	90.0	1.38
/ /	CROWLEY, MICHA	FIDELITY ADV INTERNATIONAL GROWTH C	0.00	4.16	0.00	4.16	90.0	3.74
/ /	GRIFFITH, CHERYL	FIDELITY ADV INTL SM CAP CL T	0.00	4.60	0.00	4.60	90.0	4.14
/ /	RICHARDSON, BOBBY	FIDELITY ADV INTL SM CAP CL T	0.00	1.31	0.00	1.31	90.0	1.18
/ /	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	0.00	6.32	0.00	6.32	90.0	5.69
08/04/2017	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	75.00	1.50	0.00	1.50	90.0	1.35
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV LEVERAGED CO STOCK C	0.00	8.18	0.00	8.18	90.0	7.36
/ /	HALL, ELLEN	FIDELITY ADV LEVERAGED CO STOCK-CL	0.00	2.78	0.00	2.78	90.0	2.50
/ /	RICHARDSON, BOBBY	FIDELITY ADV LEVERAGED CO STOCK-CL	0.00	14.31	0.00	14.31	90.0	12.88
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV MATERIALS C	0.00	4.54	0.00	4.54	90.0	4.09
/ /	GRIFFITH, CHERYL	FIDELITY ADV OVERSEAS	0.00	1.21	0.00	1.21	90.0	1.09
/ /	CROWLEY, MICHA	FIDELITY ADV REAL ESTATE C	0.00	2.81	0.00	2.81	90.0	2.53
/ /	RICHARDSON, BOBBY	FIDELITY ADV REAL ESTATE-CL T	0.00	6.31	0.00	6.31	90.0	5.68
/ /	GRIFFITH, CHERYL	FIDELITY ADV SMALL CAP	0.00	1.25	0.00	1.25	90.0	1.13
/ /	KAUFMAN, JEFFREY	FIDELITY ADV SMALL CAP GROWTH C	0.00	0.66	0.00	0.66	90.0	0.59
/ /	CROWLEY, MICHA	FIDELITY ADV SMALL CAP GROWTH C	0.00	5.54	0.00	5.54	90.0	4.99
/ /	GRIFFITH, CHERYL	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	10.73	0.00	10.73	90.0	9.66
/ /	KAUFMAN, JEFFREY	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	2.29	0.00	2.29	90.0	2.06
/ /	RICHARDSON, BOBBY	FIDELITY ADV TELECOMMUNICATIONS-CL	0.00	3.03	0.00	3.03	90.0	2.73
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV UTILITIES C	0.00	9.52	0.00	9.52	90.0	8.57
/ /	RICHARDSON, BOBBY	FIDELITY ADV UTILITIES-CL T	0.00	1.35	0.00	1.35	90.0	1.22
/ /	RICHARDSON, BOBBY	FIDELITY ADV VALUE LENDERS-CL T	0.00	2.59	0.00	2.59	90.0	2.33
/ /	GRIFFITH, CHERYL	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	1.40	0.00	1.40	90.0	1.26

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	1.75	0.00	1.75	90.0	1.58
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR MATERIALS FUND-CLA	0.00	1.08	0.00	1.08	90.0	0.97
/ /	WILMSMEYER, CHRISTA	FIDELITY ADVISORS 31618H424	0.00	6.22	0.00	6.22	90.0	5.60
/ /	HALL, ELLEN	FIDELITY ADVISORS 31618H440	0.00	1.30	0.00	1.30	90.0	1.17
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	0.55	0.00	0.55	90.0	0.50
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	14.57	0.00	14.57	90.0	13.11
/ /	HALL, ELLEN	FIDELITY COMM EQUIPMENT T	0.00	1.72	0.00	1.72	90.0	1.55
/ /	RICHARDSON, BOBBY	FIDELITY COMM EQUIPMENT T	0.00	5.66	0.00	5.66	90.0	5.09
/ /	RICHARDSON, BOBBY	FIDELITY EMERGING MKTS T	0.00	2.84	0.00	2.84	90.0	2.56
/ /	CROWLEY, MICHA	FIDELITY ENERGY C	0.00	0.85	0.00	0.85	90.0	0.77
/ /	RICHARDSON, BOBBY	FIDELITY ENERGY T	0.00	2.47	0.00	2.47	90.0	2.22
/ /	KAUFMAN, JEFFREY	FIDELITY ENERGY T	0.00	0.72	0.00	0.72	90.0	0.65
/ /	GRIFFITH, CHERYL	FIDELITY EQUITY VALUE	0.00	10.04	0.00	10.04	90.0	9.04
/ /	CROWLEY, MICHA	FIDELITY FINANCIAL SERVICES C	0.00	2.60	0.00	2.60	90.0	2.34
/ /	RICHARDSON, BOBBY	FIDELITY FINANCIAL SERVICES T	0.00	0.79	0.00	0.79	90.0	0.71
/ /	KAUFMAN, JEFFREY	FIDELITY FINANCIAL SERVICES T	0.00	0.89	0.00	0.89	90.0	0.80
/ /	CROWLEY, MICHA	FIDELITY LATIN AMERICA C	0.00	2.04	0.00	2.04	90.0	1.84
/ /	KAUFMAN, JEFFREY	FIDELITY MIDCAP C	0.00	0.51	0.00	0.51	90.0	0.46
/ /	GRIFFITH, CHERYL	FIDELITY MIDCAP T	0.00	8.87	0.00	8.87	90.0	7.98
/ /	CROWLEY, MICHA	FIDELITY STOCK SELECTOR ALLCAP C	0.00	6.31	0.00	6.31	90.0	5.68
/ /	KAUFMAN, JEFFREY	FIDLEITY ADV INTL REAL ESTATE	0.00	0.96	0.00	0.96	90.0	0.86
/ /	GRIFFITH, CHERYL	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	1.43	0.00	1.43	90.0	1.29
/ /	KAUFMAN, JEFFREY	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	2.18	0.00	2.18	90.0	1.96
/ /	RICHARDSON, BOBBY	FIDLEITY ADVISOR JAPAN-CL T	0.00	0.78	0.00	0.78	90.0	0.70
/ /	MCS DESIGN TEAM	HARTFORD BALANCED C	0.00	16.36	0.00	16.36	90.0	14.72
/ /	MCS DESIGN TEAM	HARTFORD DIVIDEND & GROWTH C	0.00	17.90	0.00	17.90	90.0	16.11
* MUTUAL FUNDS SUBTOTAL *			\$15,604.95	\$461.48	\$0.00	\$461.48		\$415.54

PRODUCT CATEGORY: VARIABLE ANNUITIES

07/31/2017	PAGE, BRIAN	JNL ELITE ACCESS W/ LIQUIDITY	12,263.45	10.22	0.00	10.22	90.0	9.20
07/31/2017	ANDING, MARY DIANE	JNL PERSPECTIVE II 04/14	107,459.38	44.77	0.00	44.77	90.0	40.29
07/31/2017	STEWART, SHEILA	JNL PERSPECTIVE II 04/14	243,119.37	101.30	0.00	101.30	90.0	91.17
07/31/2017	DEAN GUIER INC	JNL PERSPECTIVE II 04/14	192,671.52	80.28	0.00	80.28	90.0	72.25
07/31/2017	GUIER, CARROL	JNL PERSPECTIVE II 04/14	23,704.10	9.88	0.00	9.88	90.0	8.89

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/31/2017	CALLIS, CHARLES	JNL PERSPECTIVE II 05/05	28,637.59	11.93	0.00	11.93	90.0	10.74
07/31/2017	OWEN, ERNEST	JNL PERSPECTIVE II 05/05	145,490.20	60.62	0.00	60.62	90.0	54.56
07/31/2017	OSSMAN, ED	JNL PERSPECTIVE II 05/05	57,624.66	24.01	0.00	24.01	90.0	21.61
07/31/2017	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	26,896.81	11.21	0.00	11.21	90.0	10.09
07/31/2017	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	154,025.07	64.18	0.00	64.18	90.0	57.76
07/31/2017	MARK, RICHARD GEORGE	JNL PERSPECTIVE II 05/05	92,506.87	38.54	0.00	38.54	90.0	34.69
07/31/2017	LANGE, ARLEN	JNL PERSPECTIVE II 05/05	127,264.53	53.03	0.00	53.03	90.0	47.73
07/31/2017	MCBRIDE, KENNETH	JNL PERSPECTIVE II 05/05	294,788.15	122.83	0.00	122.83	90.0	110.55
07/31/2017	ANDING, MARY DIANE	JNL PERSPECTIVE II 05/05	240,437.61	100.18	0.00	100.18	90.0	90.16
07/17/2017	YOUNG, MARY ELIZABETH	PAC LIFE PORTFOLIOS	0.00	19.05	0.00	19.05	90.0	17.15
07/25/2017	SWAIN, DOROLENE	PAC LIFE VALUE	0.00	32.78	0.00	32.78	90.0	29.50
07/28/2017	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	109,726.45	91.44	0.00	91.44	90.0	82.30
07/28/2017	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	95,640.87	79.70	0.00	79.70	90.0	71.73
07/28/2017	ANDERSON, CHERRI	SBL ADVISORDESIGNS VA	27,949.11	23.30	0.00	23.30	90.0	20.97
07/28/2017	BENNETT, MILDRED	SBL ADVISORDESIGNS VA	94,794.84	78.99	0.00	78.99	90.0	71.09
07/28/2017	SCOFIELD, ROBERT	SBL ADVISORDESIGNS VA	11,231.63	9.37	0.00	9.37	90.0	8.43
07/28/2017	DOWNS, JAMES	SBL ADVISORDESIGNS VA	38,643.12	32.20	0.00	32.20	90.0	28.98
07/28/2017	LEE, HOWARD	SBL SECUREDESIGNS VA	256,261.51	53.41	0.00	53.41	90.0	48.07
* VARIABLE ANNUITIES SUBTOTAL *			\$2,381,136.84	\$1,153.22	\$0.00	\$1,153.22		\$1,037.91
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
07/17/2017	CALLIS, ANNIS	PAC LIFE VUL	0.00	25.63	0.00	25.63	90.0	23.07
07/18/2017	HALL, ELLEN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
07/18/2017	GRIFFITH, CHERYL	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
07/20/2017	PATTISON, CHRISTINE	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
07/20/2017	GAMM, SKYLAR	PAC LIFE VUL	7.37	7.37	0.00	7.37	90.0	6.63
07/20/2017	GAMM, SKYLAR	PAC LIFE VUL	417.63	104.41	0.00	104.41	90.0	93.97
07/21/2017	MCNERNEY, ALYSSA	PAC LIFE VUL	0.00	12.56	0.00	12.56	90.0	11.30
07/21/2017	MCNERNEY, DYLAN	PAC LIFE VUL	0.00	11.17	0.00	11.17	90.0	10.05
07/24/2017	KING, CALEB	PAC LIFE VUL	50.00	0.60	0.00	0.60	90.0	0.54
07/24/2017	JONES, KAREN	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
07/24/2017	ELLIOTT, MITCHELL	PAC LIFE VUL	100.00	3.00	0.00	3.00	90.0	2.70
07/25/2017	JONES, KENNETH	PAC LIFE VUL	60.00	1.20	0.00	1.20	90.0	1.08
07/25/2017	ADAMS, GREGORY	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
07/25/2017	ADAMS, ASHLEY	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
07/25/2017	GRIFFIN, AMANDA	PAC LIFE VUL	58.19	58.19	0.00	58.19	90.0	52.37
07/25/2017	GRIFFIN, AMANDA	PAC LIFE VUL	9.88	0.20	0.00	0.20	90.0	0.18
07/25/2017	GRIFFIN, AMANDA	PAC LIFE VUL	37.85	0.76	0.00	0.76	90.0	0.68
07/25/2017	ADAMS, JAXON	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
08/01/2017	KAUFMAN, KIMBERLY	PAC LIFE VUL	75.00	1.50	0.00	1.50	90.0	1.35
08/01/2017	REDDICK, RYAN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
08/02/2017	KAUFMAN, JEFFREY	PAC LIFE VUL	200.00	4.00	0.00	4.00	90.0	3.60
08/02/2017	KAUFMAN, COOPER	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
08/02/2017	KAUFMAN, ELLIE	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
08/02/2017	STALEY, MICHAELA	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
08/02/2017	ROBINSON, PHYLILS	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
08/02/2017	REDDICK, CRYSTAL	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
08/02/2017	STALEY, JOSELYN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
08/02/2017	MCNERNEY, SUSAN	PAC LIFE VUL	1,000.00	30.00	0.00	30.00	90.0	27.00
08/02/2017	MCNERNEY, DASAN	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
08/02/2017	MCNERNEY, ALYSSA	PAC LIFE VUL	150.00	4.50	0.00	4.50	90.0	4.05
08/02/2017	MCNERNEY, DYLAN	PAC LIFE VUL	10.83	0.32	0.00	0.32	90.0	0.29
08/02/2017	MCNERNEY, DYLAN	PAC LIFE VUL	139.17	4.18	0.00	4.18	90.0	3.76
08/03/2017	GUIER, GREGORY	PAC LIFE VUL	185.00	1.85	0.00	1.85	90.0	1.67
08/04/2017	STEWART, CHAD	PAC LIFE VUL	420.00	8.40	0.00	8.40	90.0	7.56
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$4,320.92	\$312.84	\$0.00	\$312.84		\$281.55
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/28/2017	MCBRIDE, VIRGINIA CAROLY	LANDMARK 2002	0.00	33.59	0.00	33.59	90.0	30.23
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$33.59	\$0.00	\$33.59		\$30.23
*** BROKER TRANSACTIONS TOTAL ***			\$2,401,062.71	\$1,961.13	\$0.00	\$1,961.13		\$1,765.23

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
07/27/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	45.44	0.25	0.00	0.25	90.0	0.23
07/27/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	45.44	0.25	0.00	0.25	90.0	0.23
07/27/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.27	0.12	0.00	0.12	90.0	0.11
07/27/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.27	0.12	0.00	0.12	90.0	0.11
07/28/2017	HANSEL, NAOMI	AM FUNDS AMERICAN BALANCED A	20,000.00	302.50	0.00	302.50	90.0	272.25
07/28/2017	BELLER, DONALD	AM FUNDS AMERICAN BALANCED A	58.80	1.62	0.00	1.62	90.0	1.46
07/31/2017	MOELLER, JOYCE	AM FUNDS AMERICAN BALANCED A	15,183.02	313.15	0.00	313.15	90.0	281.84
08/03/2017	MO/TOD	AM FUNDS AMERICAN BALANCED A	250.00	6.87	0.00	6.87	90.0	6.18
07/28/2017	HANSEL, NAOMI	AM FUNDS GLOBAL BALANCED A	20,000.00	302.50	0.00	302.50	90.0	272.25
07/24/2017	MO/TOD	AM FUNDS GROWTH FUND OF AMERICA A	500.00	13.75	0.00	13.75	90.0	12.38
07/27/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.13	0.00	0.13	90.0	0.12
07/27/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.09	0.00	0.09	90.0	0.08
07/27/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	50.05	0.27	0.00	0.27	90.0	0.24
07/27/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	50.05	0.27	0.00	0.27	90.0	0.24
07/27/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
07/27/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
07/27/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	40.05	0.22	0.00	0.22	90.0	0.20
07/27/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	40.05	0.22	0.00	0.22	90.0	0.20
07/27/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.16	0.00	0.16	90.0	0.14
07/27/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.16	0.00	0.16	90.0	0.14
07/28/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
07/31/2017	MOELLER, JOYCE	AM FUNDS GROWTH FUND OF AMERICA A	7,591.51	156.57	0.00	156.57	90.0	140.91
08/01/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	81.00	0.45	0.00	0.45	90.0	0.41
08/01/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	48.61	0.27	0.00	0.27	90.0	0.24
07/27/2017	ZELLMER, RIANNON	AM FUNDS ICA A	7.56	0.04	0.00	0.04	90.0	0.04
07/27/2017	ZELLMER, RIANNON	AM FUNDS ICA A	7.56	0.04	0.00	0.04	90.0	0.04
07/27/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.28	0.12	0.00	0.12	90.0	0.11
07/27/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.28	0.12	0.00	0.12	90.0	0.11
07/27/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
07/27/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
08/01/2017	HAGUE, VICTORIA	AM FUNDS ICA A	71.65	0.40	0.00	0.40	90.0	0.36
08/01/2017	HAGUE, VICTORIA	AM FUNDS ICA A	400.00	2.20	0.00	2.20	90.0	1.98
07/24/2017	MO/TOD	AM FUNDS NEW ECONOMY A	500.00	13.75	0.00	13.75	90.0	12.38

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
07/24/2017	MO/TOD	AM FUNDS NEW PERSPECTIVE A	500.00	13.75	0.00	13.75	90.0	12.38
07/31/2017	MOELLER, JOYCE	AM FUNDS NEW PERSPECTIVE A	7,591.51	156.57	0.00	156.57	90.0	140.91
08/01/2017	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	1.37	0.00	1.37	90.0	1.23
07/24/2017	MO/TOD	AM FUNDS NEW WORLD A	500.00	13.75	0.00	13.75	90.0	12.38
07/27/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.13	0.00	0.13	90.0	0.12
07/27/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.09	0.00	0.09	90.0	0.08
07/27/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
07/27/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
07/27/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.16	0.00	0.16	90.0	0.14
07/27/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.16	0.00	0.16	90.0	0.14
08/01/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	17.91	0.10	0.00	0.10	90.0	0.09
08/01/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	100.00	0.55	0.00	0.55	90.0	0.50
08/01/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	48.60	0.27	0.00	0.27	90.0	0.24
08/01/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	81.01	0.45	0.00	0.45	90.0	0.41
07/28/2017	CARSON, SEDEL	PAC LIFE VUL	55.00	15.12	0.00	15.12	90.0	13.61
07/28/2017	CARSON, MATTHEW	PAC LIFE VUL	7.57	2.08	0.00	2.08	90.0	1.87
07/28/2017	CARSON, MATTHEW	PAC LIFE VUL	142.43	9.79	0.00	9.79	90.0	8.81
07/28/2017	CARSON, SEDEL	PAC LIFE VUL	55.00	15.12	0.00	15.12	90.0	13.61
07/28/2017	CARSON, MATTHEW	PAC LIFE VUL	7.57	2.08	0.00	2.08	90.0	1.87
07/28/2017	CARSON, MATTHEW	PAC LIFE VUL	142.43	9.79	0.00	9.79	90.0	8.81
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$74,751.48	\$1,361.21	\$0.00	\$1,361.21		\$1,225.12
*** SPLIT TRANSACTIONS TOTAL ***			\$74,751.48	\$1,361.21	\$0.00	\$1,361.21		\$1,225.12
<u>ADJUSTMENTS</u>								
08/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2017		*TECHNOLOGY: ADMIN - KIM KAUFMAN	0.00					-100.00
08/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-675.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$1,765.23
Split Transactions	\$1,225.12
Override Transactions	\$0.00
Adjustments	\$-675.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,315.35
Year-to-date Gross	\$96,139.24
Year-to-date Earnings	\$95,559.51