

COMMISSION STATEMENT

June 09, 2017

ROBERT HACKEL

206 VAN BUREN

PARAMUS, NJ 07652

BROKER #: 56

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

05/15/2017	75500225	CAPEN, ERNEST		BARRICK GOLD CORP	B	8,483.50	90.00	0.00	90.00	80.0	72.00
05/02/2017	75500237	POMFRET SCHOOL		ABBOTT LABORATORIES	S	2,171.50	40.00	0.00	40.00	80.0	32.00
05/02/2017	75500237	POMFRET SCHOOL		VERIZON COMMUNICATIONS	S	7,878.18	50.64	0.00	50.64	80.0	40.51
05/16/2017	75500237	POMFRET SCHOOL		BAXTER INTERNATIONAL INC	S	7,017.85	44.64	0.00	44.64	80.0	35.71
05/16/2017	75500237	POMFRET SCHOOL		BERKSHIRE HATHAWAY INC DEL CL B	S	8,173.13	40.00	0.00	40.00	80.0	32.00
05/16/2017	75500237	POMFRET SCHOOL		NORTHROP GRUMMAN CORP	S	12,379.13	40.00	0.00	40.00	80.0	32.00
05/19/2017	75500237	POMFRET SCHOOL		INTEL CORP	S	248.17	40.00	0.00	40.00	80.0	32.00
04/27/2017	75501322	GILBERTSON, JAY		CHEVRON CORPORATION	B	422.15	0.00	0.00	0.00	80.0	0.00
04/27/2017	75501322	GILBERTSON, JAY		CROWN CASTLE INTERNATIONAL CORP	B	189.99	0.00	0.00	0.00	80.0	0.00
04/27/2017	75501322	GILBERTSON, JAY		KROGER CO (THE)	S	695.36	0.00	0.00	0.00	80.0	0.00
04/27/2017	75501322	GILBERTSON, JAY		MC DONALDS CORP	S	988.78	0.00	0.00	0.00	80.0	0.00
04/28/2017	75501322	GILBERTSON, JAY		MID AMERICA APARTMENT COMMUNITIES I B	B	395.00	0.00	0.00	0.00	80.0	0.00
05/01/2017	75501322	GILBERTSON, JAY		MC DONALDS CORP	S	847.20	0.00	0.00	0.00	80.0	0.00
05/01/2017	75501322	GILBERTSON, JAY		O REILLY AUTOMOTIVE INC	B	1,211.36	0.00	0.00	0.00	80.0	0.00
05/01/2017	75501322	GILBERTSON, JAY		OCCIDENTAL PETE CORP	B	849.21	0.00	0.00	0.00	80.0	0.00
05/03/2017	75501322	GILBERTSON, JAY		CENTENE CORP DEL	S	1,743.58	0.00	0.00	0.00	80.0	0.00
05/03/2017	75501322	GILBERTSON, JAY		CHEVRON CORPORATION	B	319.29	0.00	0.00	0.00	80.0	0.00
05/03/2017	75501322	GILBERTSON, JAY		COCA COLA COMPANY (THE)	B	607.57	0.00	0.00	0.00	80.0	0.00
05/03/2017	75501322	GILBERTSON, JAY		MC DONALDS CORP	S	852.89	0.00	0.00	0.00	80.0	0.00
05/04/2017	75501322	GILBERTSON, JAY		FIRST REPUBLIC BANK SAN FRANCISCO C S	S	189.22	0.00	0.00	0.00	80.0	0.00
05/04/2017	75501322	GILBERTSON, JAY		FIRST REPUBLIC BANK SAN FRANCISCO C S	S	2,270.69	0.00	0.00	0.00	80.0	0.00
05/04/2017	75501322	GILBERTSON, JAY		TESARO INC	B	731.55	0.00	0.00	0.00	80.0	0.00
05/04/2017	75501322	GILBERTSON, JAY		TRIMBLE INC COM	B	677.71	0.00	0.00	0.00	80.0	0.00
05/08/2017	75501322	GILBERTSON, JAY		BECTON DICKINSON & CO	B	1,279.64	0.00	0.00	0.00	80.0	0.00
05/08/2017	75501322	GILBERTSON, JAY		FACEBOOK INC CL A	S	602.97	0.00	0.00	0.00	80.0	0.00
05/09/2017	75501322	GILBERTSON, JAY		MEDTRONIC PLC COM	S	250.21	0.00	0.00	0.00	80.0	0.00
05/10/2017	75501322	GILBERTSON, JAY		INTERPUBLIC GRP CO INC	B	983.77	0.00	0.00	0.00	80.0	0.00
05/10/2017	75501322	GILBERTSON, JAY		NOVO NORDISK A/S-ADR REPSTG 1/2 CL	S	1,085.86	0.00	0.00	0.00	80.0	0.00
05/15/2017	75501322	GILBERTSON, JAY		ALKERMES PLC	B	1,646.75	0.00	0.00	0.00	80.0	0.00
05/15/2017	75501322	GILBERTSON, JAY		IDEXX LABORATORIES CORP	S	1,972.88	0.00	0.00	0.00	80.0	0.00
05/17/2017	75501322	GILBERTSON, JAY		NOVARTIS AG AMERICAN DEPOSITARY SHA S	S	964.45	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/17/2017	75501322	GILBERTSON, JAY							
		PUBLIC STORAGE INC	B	1,711.97	0.00	0.00	0.00	80.0	0.00
05/18/2017	75501322	GILBERTSON, JAY							
		EAST WEST BANCORP INC	B	648.37	0.00	0.00	0.00	80.0	0.00
05/18/2017	75501322	GILBERTSON, JAY							
		ICU MEDICAL INC	B	973.44	0.00	0.00	0.00	80.0	0.00
05/19/2017	75501322	GILBERTSON, JAY							
		GLAXOSMITHKLINE PLC SPONSORED ADR(F B		1,656.75	0.00	0.00	0.00	80.0	0.00
05/19/2017	75501322	GILBERTSON, JAY							
		NOVARTIS AG AMERICAN DEPOSITARY SHA S		724.26	0.00	0.00	0.00	80.0	0.00
05/19/2017	75501322	GILBERTSON, JAY							
		NOVARTIS AG AMERICAN DEPOSITARY SHA S		724.26	0.00	0.00	0.00	80.0	0.00
05/19/2017	75501322	GILBERTSON, JAY							
		NOVARTIS AG AMERICAN DEPOSITARY SHA S		885.21	0.00	0.00	0.00	80.0	0.00
05/19/2017	75501322	GILBERTSON, JAY							
		NOVARTIS AG AMERICAN DEPOSITARY SHA S		965.68	0.00	0.00	0.00	80.0	0.00
05/19/2017	75501322	GILBERTSON, JAY							
		ORACLE CORPORATION	B	487.85	0.00	0.00	0.00	80.0	0.00
05/19/2017	75501322	GILBERTSON, JAY							
		VERIZON COMMUNICATIONS	B	1,407.67	0.00	0.00	0.00	80.0	0.00
05/19/2017	75501322	GILBERTSON, JAY							
		VISA INC CL A COMMON STOCK	S	832.46	0.00	0.00	0.00	80.0	0.00
05/22/2017	75501322	GILBERTSON, JAY							
		CIT GROUP INC COM	S	708.78	0.00	0.00	0.00	80.0	0.00
05/22/2017	75501322	GILBERTSON, JAY							
		STARBUCKS CORP	B	798.19	0.00	0.00	0.00	80.0	0.00
05/22/2017	75501322	GILBERTSON, JAY							
		TJX COMPANIES INC NEW	B	75.34	0.00	0.00	0.00	80.0	0.00
05/23/2017	75501322	GILBERTSON, JAY							
		DISCOVER FINANCIAL SERVICES	S	179.49	0.00	0.00	0.00	80.0	0.00
05/23/2017	75501322	GILBERTSON, JAY							
		DISCOVER FINANCIAL SERVICES	S	897.45	0.00	0.00	0.00	80.0	0.00
04/27/2017	75501761	WRIGHTON, BRYAN							
		CHEVRON CORPORATION	B	949.83	0.00	0.00	0.00	80.0	0.00
04/27/2017	75501761	WRIGHTON, BRYAN							
		MC DONALDS CORP	S	847.52	0.00	0.00	0.00	80.0	0.00
04/27/2017	75501761	WRIGHTON, BRYAN							
		PROCTER & GAMBLE CO	S	789.69	0.00	0.00	0.00	80.0	0.00
05/01/2017	75501761	WRIGHTON, BRYAN							
		ALIGN TECHNOLOGY INC	S	936.64	0.00	0.00	0.00	80.0	0.00
05/01/2017	75501761	WRIGHTON, BRYAN							
		COCA COLA COMPANY (THE)	B	607.29	0.00	0.00	0.00	80.0	0.00
05/01/2017	75501761	WRIGHTON, BRYAN							
		MC DONALDS CORP	S	847.20	0.00	0.00	0.00	80.0	0.00
05/01/2017	75501761	WRIGHTON, BRYAN							
		O REILLY AUTOMOTIVE INC	B	1,453.63	0.00	0.00	0.00	80.0	0.00
05/01/2017	75501761	WRIGHTON, BRYAN							
		OCCIDENTAL PETE CORP	B	606.58	0.00	0.00	0.00	80.0	0.00
05/03/2017	75501761	WRIGHTON, BRYAN							
		CHEVRON CORPORATION	B	106.43	0.00	0.00	0.00	80.0	0.00
05/03/2017	75501761	WRIGHTON, BRYAN							
		COCA COLA COMPANY (THE)	B	477.38	0.00	0.00	0.00	80.0	0.00
05/03/2017	75501761	WRIGHTON, BRYAN							
		MC DONALDS CORP	S	710.74	0.00	0.00	0.00	80.0	0.00
05/08/2017	75501761	WRIGHTON, BRYAN							
		MESA LABS INC	S	431.68	0.00	0.00	0.00	80.0	0.00
05/17/2017	75501761	WRIGHTON, BRYAN							
		NOVARTIS AG AMERICAN DEPOSITARY SHA S		1,285.93	0.00	0.00	0.00	80.0	0.00
05/17/2017	75501761	WRIGHTON, BRYAN							
		PUBLIC STORAGE INC	B	1,069.98	0.00	0.00	0.00	80.0	0.00
05/17/2017	75501761	WRIGHTON, BRYAN							
		TOTAL S A 1 ADR REPRESENTING 1 ORD B		1,234.63	0.00	0.00	0.00	80.0	0.00
05/19/2017	75501761	WRIGHTON, BRYAN							
		DOMINION RESOURCES INC VA NEW	B	861.37	0.00	0.00	0.00	80.0	0.00
05/19/2017	75501761	WRIGHTON, BRYAN							
		ESCO TECHNOLOGIES INC	B	280.00	0.00	0.00	0.00	80.0	0.00
05/19/2017	75501761	WRIGHTON, BRYAN							
		GLAXOSMITHKLINE PLC SPONSORED ADR(F B		697.58	0.00	0.00	0.00	80.0	0.00
05/19/2017	75501761	WRIGHTON, BRYAN							
		NOVARTIS AG AMERICAN DEPOSITARY SHA S		241.42	0.00	0.00	0.00	80.0	0.00
05/19/2017	75501761	WRIGHTON, BRYAN							
		NOVARTIS AG AMERICAN DEPOSITARY SHA S		482.84	0.00	0.00	0.00	80.0	0.00
05/19/2017	75501761	WRIGHTON, BRYAN							
		NOVARTIS AG AMERICAN DEPOSITARY SHA S		643.79	0.00	0.00	0.00	80.0	0.00
05/19/2017	75501761	WRIGHTON, BRYAN							
		NOVARTIS AG AMERICAN DEPOSITARY SHA S		804.74	0.00	0.00	0.00	80.0	0.00
05/19/2017	75501761	WRIGHTON, BRYAN							
		VERIZON COMMUNICATIONS	B	635.72	0.00	0.00	0.00	80.0	0.00
05/22/2017	75501761	WRIGHTON, BRYAN							
		STAMPS.COM INC	S	607.77	0.00	0.00	0.00	80.0	0.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
04/28/2017	QGN005019	LILLY FDN, LILA	RAMACO RES INC COM	B	7,845.10	120.00	0.00	120.00	80.0	96.00
04/28/2017	QGN005076	BRENNAN, LUCY	RAMACO RES INC COM	B	39,225.50	300.00	0.00	300.00	80.0	240.00
04/28/2017	QGN005092	FIDUCIARY TRUST CO	RAMACO RES INC COM	B	23,535.30	300.00	0.00	300.00	80.0	240.00
04/27/2017	QGN005118	BRENNAN, LUCY	RAMACO RES INC COM	B	78,204.00	600.00	0.00	600.00	80.0	480.00
05/11/2017	QGN005480	MOZAIC LLC	WALT DISNEY CO	S	-150,523.68	50.00	0.00	50.00	80.0	40.00
05/09/2017	QGN005514	JONATHAN T BARKER	ALUMINUM CORP CHINA LTD SPONSORED A S	S	-864.23	40.00	0.00	40.00	80.0	32.00
05/09/2017	QGN005514	JONATHAN T BARKER	ROYAL GOLD INC	S	-1,390.58	40.00	0.00	40.00	80.0	32.00
05/01/2017	QGN005589	DRURY W COOPER TRUST	AMPIO PHARMACEUTICALS INC	B	5,042.80	70.00	0.00	70.00	80.0	56.00
04/28/2017	QGN005712	DRURY W COOPER TRUST	EXACT SCIENCES CORP	B	30,659.90	120.00	0.00	120.00	80.0	96.00
05/09/2017	QGN005803	MOZAIC LLC	APPLE INC	S	-209,543.70	50.00	0.00	50.00	80.0	40.00
05/09/2017	QGN005803	MOZAIC LLC	WALT DISNEY CO	S	-160,933.80	50.00	0.00	50.00	80.0	40.00
05/18/2017	QGN005811	MARIAN RUSSEL LARKIN	CDK GLOBAL INC COM	S	-29,458.22	87.96	0.00	87.96	80.0	70.37
05/02/2017	QGN005910	WYNANT D VANDERPOEL	AXOVANT SCIENCES LTD COM ISIN#BMG07 B	B	243,909.00	600.00	0.00	600.00	80.0	480.00
05/10/2017	QGN005951	CAROL MURPHY	FRANKLIN RESOURCES INC (DEL)	S	-15,606.00	73.20	0.00	73.20	80.0	58.56
05/03/2017	QGN006033	STACEY BENDET	EISNER ALPHABET INC CLASS C CAPITAL STOCK	B	29,561.97	20.00	0.00	20.00	80.0	16.00
05/03/2017	QGN006033	STACEY BENDET	EISNER AMAZON.COM INC	B	30,132.48	20.00	0.00	20.00	80.0	16.00
05/03/2017	QGN006033	STACEY BENDET	EISNER APPLE INC	B	30,177.85	20.00	0.00	20.00	80.0	16.00
05/03/2017	QGN006033	STACEY BENDET	EISNER FACEBOOK INC CL A	B	29,615.92	20.00	0.00	20.00	80.0	16.00
05/03/2017	QGN006033	STACEY BENDET	EISNER MICROSOFT CORP	B	29,574.24	20.00	0.00	20.00	80.0	16.00
04/26/2017	QGW031551	HEALY, TIMOTHY	PROSHARES TR ULTRAPRO SHORT S&P500	S	-8,246.40	90.00	0.00	90.00	80.0	72.00
05/12/2017	QGW031551	HEALY, TIMOTHY	AVIS BUDGET GROUP INC COM	B	15,180.60	72.00	0.00	72.00	80.0	57.60
05/18/2017	QGW031551	HEALY, TIMOTHY	AVIS BUDGET GROUP INC COM	S	-12,943.08	72.00	0.00	72.00	80.0	57.60
05/05/2017	QGW031569	HEALY, TIMOTHY	ANTERO MIDSTREAM GP LP COM SHS REPS	B	22,331.00	120.00	0.00	120.00	80.0	96.00
05/05/2017	QGW031569	HEALY, TIMOTHY	ANTERO RES CORP COM	S	-21,253.00	120.00	0.00	120.00	80.0	96.00
05/25/2017	QGW031569	HEALY, TIMOTHY	ARCONIC INC PFD CONV CL B SER 1	S	-42,526.10	120.00	0.00	120.00	80.0	96.00
04/28/2017	QGW031726	HEALY, DAVID	RAMACO RES INC COM	B	7,845.10	120.00	0.00	120.00	80.0	96.00
* STOCKS SUBTOTAL *					\$68,969.06	\$3,660.44	\$0.00	\$3,660.44		\$2,928.35
PRODUCT CATEGORY: BONDS										
05/23/2017	QGN005266	YALINEWITZ, BOAZ	QUALCOMM INC FXD RT SR NT 3.250% 05 B		400,500.00	1,500.00	0.00	1,500.00	80.0	1,200.00
05/23/2017	QGN005787	YALINEWITZ, BOAZ	QUALCOMM INC FXD RT SR NT 3.250% 05 B		100,125.00	375.00	0.00	375.00	80.0	300.00
* BONDS SUBTOTAL *					\$500,625.00	\$1,875.00	\$0.00	\$1,875.00		\$1,500.00
PRODUCT CATEGORY: OPTIONS										
05/02/2017	QGW031551	HEALY, TIMOTHY	PUT 100 APPLE INC COM EXP 05-19-17@ B		1,290.00	40.00	0.00	40.00	80.0	32.00
05/09/2017	QGW031551	HEALY, TIMOTHY	CALL 100 CBOE VOLATILITY INDEX - VX B		1,500.00	40.00	0.00	40.00	80.0	32.00
05/15/2017	QGW031551	HEALY, TIMOTHY	PUT 100 AVIS BUDGET GROUP INC COM E S		-9,512.00	80.00	0.00	80.00	80.0	64.00
05/15/2017	QGW031551	HEALY, TIMOTHY	PUT 100 AVIS BUDGET GROUP INC COM E B		1,771.00	40.00	0.00	40.00	80.0	32.00
05/15/2017	QGW031551	HEALY, TIMOTHY	PUT 100 AVIS BUDGET GROUP INC COM E B		750.00	12.00	0.00	12.00	80.0	9.60
05/17/2017	QGW031551	HEALY, TIMOTHY	PUT 100 AVIS BUDGET GROUP INC COM E S		-3,000.00	40.00	0.00	40.00	80.0	32.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
05/22/2017	QGW031551	HEALY, TIMOTHY	PUT 100 TIFFANY & CO NEW COM EXP 05 B	1,500.00	80.00	0.00	80.00	80.0	64.00	
05/24/2017	QGW031551	HEALY, TIMOTHY	PUT 100 BEST BUY INC COM EXP 05-26- B	620.00	80.00	0.00	80.00	80.0	64.00	
05/24/2017	QGW031551	HEALY, TIMOTHY	PUT 100 TIFFANY & CO NEW COM EXP 05 S	-8,000.00	80.00	0.00	80.00	80.0	64.00	
* OPTIONS SUBTOTAL *				\$-13,081.00	\$492.00	\$0.00	\$492.00		\$393.60	
PRODUCT CATEGORY: RIA										
05/11/2017	75501322	GILBERTSON, JAY	FAS FEES	B	0.00	1,252.96	0.00	1,252.96	80.0	1,002.37
05/11/2017	75501322	GILBERTSON, JAY	FAS FEES	B	0.00	-1,252.96	0.00	-1,252.96	80.0	-1,002.37
05/11/2017	75501761	WRIGHTON, BRYAN	FAS FEES	B	0.00	-854.79	0.00	-854.79	80.0	-683.83
05/11/2017	75501761	WRIGHTON, BRYAN	FAS FEES	B	0.00	854.79	0.00	854.79	80.0	683.83
05/15/2017	75502027	HACKEL, STUART	FAS FEES	B	0.00	155.21	0.00	155.21	80.0	124.17
05/15/2017	75502027	HACKEL, STUART	FAS FEES	B	0.00	27.86	0.00	27.86	80.0	22.29
05/15/2017	75502029	HACKEL, STUART	FAS FEES	B	0.00	32.76	0.00	32.76	80.0	26.21
05/15/2017	75502029	HACKEL, STUART	FAS FEES	B	0.00	182.52	0.00	182.52	80.0	146.02
05/15/2017	75502030	RYNN, SUSAN	FAS FEES	B	0.00	1,327.01	0.00	1,327.01	80.0	1,061.61
05/15/2017	75502030	RYNN, SUSAN	FAS FEES	B	0.00	161.83	0.00	161.83	80.0	129.46
05/15/2017	75502031	RYNN, SUSAN	FAS FEES	B	0.00	3.59	0.00	3.59	80.0	2.87
05/15/2017	75502031	RYNN, SUSAN	FAS FEES	B	0.00	29.41	0.00	29.41	80.0	23.53
05/15/2017	75502032	HACKEL, STUART	FAS FEES	B	-1,777.72	0.00	0.00	0.00	80.0	0.00
05/15/2017	75502032	HACKEL, STUART	FAS FEES	B	-933.91	613.64	0.00	613.64	80.0	490.91
05/15/2017	75502032	HACKEL, STUART	FAS FEES	B	-266.28	0.00	0.00	0.00	80.0	0.00
05/15/2017	75502032	HACKEL, STUART	FAS FEES	B	-58.66	0.00	0.00	0.00	80.0	0.00
05/15/2017	75502032	HACKEL, STUART	FAS FEES	B	0.00	110.14	0.00	110.14	80.0	88.11
05/15/2017	75502032	HACKEL, STUART	FAS FEES	B	-313.13	0.00	0.00	0.00	80.0	0.00
* RIA SUBTOTAL *				\$-3,349.70	\$2,643.97	\$0.00	\$2,643.97		\$2,115.18	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
05/09/2017	75500245	BLUMENTHAL, MARK	MASS INVESTORS GROWTH STOCK FUND-A B	0.00	1.10	0.00	1.10	80.0	0.88	
05/09/2017	75500245	BLUMENTHAL, MARK	PUTNAM 746802800	B	0.00	3.11	0.00	3.11	80.0	2.49
05/09/2017	75500245	BLUMENTHAL, MARK	PUTNAM GLOBAL EQUITY FUND CLASS A B	0.00	0.61	0.00	0.61	80.0	0.49	
05/09/2017	75500245	BLUMENTHAL, MARK	PUTNAM NEW OPPORTUNITIES FUND CLASS B	0.00	1.10	0.00	1.10	80.0	0.88	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$5.92	\$0.00	\$5.92		\$4.74	
*** BROKER TRANSACTIONS TOTAL ***				\$553,163.36	\$8,677.33	\$0.00	\$8,677.33		\$6,941.87	

BROKER COMMISSION TOTALS

Broker Transactions	\$6,941.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-10.85
SIPC Assessment	\$-162.70

Check Amount	\$6,768.32
Year-to-date Earnings	\$49,509.92