



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2018

08/14/2018
Page No. 1

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
11617 Coldwater Road
Suite 103
Fort Wayne, IN 46845

BROKER #: L131
BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^07/31/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	-3,449.94	0.00	22.00	-22.00	90.0	0.00
^07/31/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	-4,554.96	0.00	22.00	-22.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-8,004.90	\$0.00	\$44.00	\$-44.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^07/31/2018	CRAWFORD, JOHN	ISHARES TR FLTG RATE NT ETF	2,040.40	0.00	22.50	-22.50	90.0	0.00
^07/31/2018	CRAWFORD, JOHN	ISHARES TR S&P U S PFD STK INDEX FD	1,880.00	0.00	22.50	-22.50	90.0	0.00
^07/31/2018	BRAUTZSCH, CHRIS	ISHARES TR S&P U S PFD STK INDEX FD	3,384.00	0.00	22.50	-22.50	90.0	0.00
^07/31/2018	CRAWFORD, JOHN	ISHARES U S ETF TR INT RATE HEDGED	1,940.40	0.00	22.50	-22.50	90.0	0.00
^07/31/2018	BRAUTZSCH, CHRIS	SPDR DOW JONES INDL AVERAGE ETF TR	2,536.90	50.00	22.50	27.50	90.0	0.00
^07/31/2018	CRAWFORD, GERALD	VANGUARD S&P 500 ETF	774.06	0.00	22.50	-22.50	90.0	0.00
^07/31/2018	BRAUTZSCH, CHRIS	VANGUARD S&P 500 ETF	3,870.30	0.00	22.50	-22.50	90.0	0.00
* STOCKS SUBTOTAL *			\$16,426.06	\$50.00	\$157.50	\$-107.50		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$8,421.16	\$50.00	\$201.50	\$-151.50		\$0.00
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
^07/27/2018	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	8.25	4.75	0.00	4.75	90.0	0.00
^ / /	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.30	0.00	0.30	90.0	0.00
^07/27/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^07/27/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^07/27/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	90.0	0.00
^07/31/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	1.19	0.00	1.19	90.0	0.00
^07/31/2018	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	25.00	0.70	0.00	0.70	90.0	0.00
* 529 PLANS SUBTOTAL *			\$208.25	\$10.32	\$0.00	\$10.32		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^07/31/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	7.50	0.00	7.50	90.0	0.00
^07/31/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	5.19	0.00	5.19	90.0	0.00
^07/31/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	149.13	5.59	0.00	5.59	90.0	0.00
^07/31/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	149.13	5.59	0.00	5.59	90.0	0.00
^07/31/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	69.23	2.60	0.00	2.60	90.0	0.00
^07/31/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	5.19	0.00	5.19	90.0	0.00
^07/26/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	6.25	0.00	6.25	90.0	0.00
^08/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	0.00
^07/30/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	0.00
^07/30/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	17.50	0.00	17.50	90.0	0.00
^ / /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	1.63	0.00	1.63	90.0	0.00
^ / /	LINN, JASON	INA INTER EQTY INDEX PORTF A	0.00	0.39	0.00	0.39	90.0	0.00
^ / /	LINN, JASON	INA LG CAP INDEX PORT CLASS A	0.00	1.83	0.00	1.83	90.0	0.00
^07/27/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^07/27/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^07/27/2018	LINN, JASON	INA PIMCO TOT RET PORT CLA A	8.25	0.27	0.00	0.27	90.0	0.00
^ / /	HABESHY, BARBARA	INA PIMCO TOT RET PORT CLA C	0.00	0.70	0.00	0.70	90.0	0.00
^07/31/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	2.50	0.30	0.00	0.30	90.0	0.00
^07/31/2018	PETERSON, BRYAN	INA T ROWE PRICE LG CAP GROWTH PO A	25.00	1.19	0.00	1.19	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,505.16	\$69.10	\$0.00	\$69.10		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^07/17/2018	BRAUTZSCH, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	1.08	0.00	1.08	90.0	0.00
^07/17/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.60	0.00	0.60	90.0	0.00
^07/17/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.43	0.00	0.43	90.0	0.00
^07/17/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.64	0.00	0.64	90.0	0.00
^07/17/2018	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	1.23	0.00	1.23	90.0	0.00
^07/17/2018	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.54	0.00	0.54	90.0	0.00
^07/17/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.91	0.00	0.91	90.0	0.00
^07/17/2018	JUNOD, BARBARA	AM FUNDS AMERICAN BALANCED C	0.00	2.26	0.00	2.26	90.0	0.00
^07/17/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.70	0.00	0.70	90.0	0.00
^07/17/2018	JUNOD, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.97	0.00	0.97	90.0	0.00
^07/17/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.93	0.00	0.93	90.0	0.00
^07/17/2018	JUNOD, BARBARA	AM FUNDS BOND FUND OF AMERICA C	0.00	3.25	0.00	3.25	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^07/17/2018	CLINE, DAVIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	90.0	0.00
^07/17/2018	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.40	0.00	0.40	90.0	0.00
^07/17/2018	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.57	0.00	0.57	90.0	0.00
^07/17/2018	CLINE, DAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.14	0.00	0.14	90.0	0.00
^07/17/2018	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.56	0.00	0.56	90.0	0.00
^07/17/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.53	0.00	0.53	90.0	0.00
^07/17/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.72	0.00	0.72	90.0	0.00
^07/17/2018	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.56	0.00	0.56	90.0	0.00
^07/17/2018	JUNOD, BARBARA	AMERICAN EMERGING MARKETS BOND FUND	0.00	1.22	0.00	1.22	90.0	0.00
^07/17/2018	JUNOD, BARBARA	AMERICAN FUNDS STRATEGIC BOND FUND	0.00	2.48	0.00	2.48	90.0	0.00
^07/19/2018	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	3.77	0.00	3.77	90.0	0.00
^07/16/2018	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	14.46	0.00	14.46	90.0	0.00
^07/19/2018	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.53	0.00	0.53	90.0	0.00
^07/24/2018	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	2.01	0.00	2.01	90.0	0.00
^07/17/2018	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.92	0.00	0.92	90.0	0.00
^07/20/2018	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	3.53	0.00	3.53	90.0	0.00
^07/31/2018	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	16.31	0.00	16.31	90.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$62.32	\$0.00	\$62.32		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$1,713.41	\$141.74	\$0.00	\$141.74		\$0.00

SPLIT TRANSACTIONS

<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10</u>								
07/27/2018	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	8.25	1.43	0.00	1.43	90.0	1.29
/ /	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.09	0.00	0.09	90.0	0.08
07/27/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
07/27/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
07/27/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.71	0.00	0.71	90.0	0.64
07/31/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.36	0.00	0.36	90.0	0.32
07/31/2018	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	25.00	0.21	0.00	0.21	90.0	0.19
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$208.25	\$3.10	\$0.00	\$3.10		\$2.80

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
07/31/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.25	0.00	2.25	90.0	2.03
07/31/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.56	0.00	1.56	90.0	1.40
07/31/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	149.13	1.68	0.00	1.68	90.0	1.51
07/31/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	149.13	1.68	0.00	1.68	90.0	1.51
07/31/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	69.23	0.78	0.00	0.78	90.0	0.70
07/31/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.56	0.00	1.56	90.0	1.40
07/17/2018	BRAUTZSCH, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	0.32	0.00	0.32	90.0	0.29
07/17/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.18	0.00	0.18	90.0	0.16
07/17/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.13	0.00	0.13	90.0	0.12
07/17/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.19	0.00	0.19	90.0	0.17
07/17/2018	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.37	0.00	0.37	90.0	0.33
07/17/2018	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.16	0.00	0.16	90.0	0.14
07/17/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.27	0.00	0.27	90.0	0.24
07/26/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	1.88	0.00	1.88	90.0	1.69
07/17/2018	JUNOD, BARBARA	AM FUNDS AMERICAN BALANCED C	0.00	0.68	0.00	0.68	90.0	0.61
07/17/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.21	0.00	0.21	90.0	0.19
07/17/2018	JUNOD, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.29	0.00	0.29	90.0	0.26
07/17/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.28	0.00	0.28	90.0	0.25
07/31/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	-3,449.94	0.00	6.60	-6.60	90.0	-5.94
07/31/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	-4,554.96	0.00	6.60	-6.60	90.0	-5.94
07/17/2018	JUNOD, BARBARA	AM FUNDS BOND FUND OF AMERICA C	0.00	0.98	0.00	0.98	90.0	0.88
07/17/2018	CLINE, DAVIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.02	0.00	0.02	90.0	0.02
07/17/2018	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.12	0.00	0.12	90.0	0.11
07/17/2018	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.17	0.00	0.17	90.0	0.15
08/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.75	0.00	0.75	90.0	0.68
07/17/2018	CLINE, DAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
07/17/2018	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.17	0.00	0.17	90.0	0.15
07/30/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.75	0.00	0.75	90.0	0.68
07/30/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	5.25	0.00	5.25	90.0	4.73
07/17/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.16	0.00	0.16	90.0	0.14
07/17/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.22	0.00	0.22	90.0	0.20
07/17/2018	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	90.0	0.15
07/17/2018	JUNOD, BARBARA	AMERICAN EMERGING MARKETS BOND FUND	0.00	0.37	0.00	0.37	90.0	0.33

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
07/17/2018	JUNOD, BARBARA	AMERICAN FUNDS STRATEGIC BOND FUND	0.00	0.74	0.00	0.74	90.0	0.67
07/19/2018	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.13	0.00	1.13	90.0	1.02
07/16/2018	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.34	0.00	4.34	90.0	3.91
07/19/2018	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.16	0.00	0.16	90.0	0.14
07/24/2018	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.60	0.00	0.60	90.0	0.54
/ /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	0.49	0.00	0.49	90.0	0.44
/ /	LINN, JASON	INA INTER EQTY INDEX PORTF A	0.00	0.12	0.00	0.12	90.0	0.11
/ /	LINN, JASON	INA LG CAP INDEX PORT CLASS A	0.00	0.55	0.00	0.55	90.0	0.50
07/27/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
07/27/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
07/27/2018	LINN, JASON	INA PIMCO TOT RET PORT CLA A	8.25	0.08	0.00	0.08	90.0	0.07
/ /	HABESHY, BARBARA	INA PIMCO TOT RET PORT CLA C	0.00	0.21	0.00	0.21	90.0	0.19
07/31/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	2.50	0.09	0.00	0.09	90.0	0.08
07/31/2018	PETERSON, BRYAN	INA T ROWE PRICE LG CAP GROWTH PO A	25.00	0.36	0.00	0.36	90.0	0.32
07/17/2018	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.28	0.00	0.28	90.0	0.25
07/31/2018	CRAWFORD, JOHN	ISHARES TR FLTG RATE NT ETF	2,040.40	0.00	6.75	-6.75	90.0	-6.08
07/31/2018	CRAWFORD, JOHN	ISHARES TR S&P U S PFD STK INDEX FD	1,880.00	0.00	6.75	-6.75	90.0	-6.08
07/31/2018	BRAUTZSCH, CHRIS	ISHARES TR S&P U S PFD STK INDEX FD	3,384.00	0.00	6.75	-6.75	90.0	-6.08
07/31/2018	CRAWFORD, JOHN	ISHARES U S ETF TR INT RATE HEDGED	1,940.40	0.00	6.75	-6.75	90.0	-6.08
07/20/2018	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.06	0.00	1.06	90.0	0.95
07/31/2018	BRAUTZSCH, CHRIS	SPDR DOW JONES INDL AVERAGE ETF TR	2,536.90	15.00	6.75	8.25	90.0	7.43
07/31/2018	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	4.89	0.00	4.89	90.0	4.40
07/31/2018	CRAWFORD, GERALD	VANGUARD S&P 500 ETF	774.06	0.00	6.75	-6.75	90.0	-6.08
07/31/2018	BRAUTZSCH, CHRIS	VANGUARD S&P 500 ETF	3,870.30	0.00	6.75	-6.75	90.0	-6.08
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$9,926.32	\$54.46	\$60.45	\$-5.99		\$-5.44
*** SPLIT TRANSACTIONS TOTAL ***			\$10,134.57	\$57.56	\$60.45	\$-2.89		\$-2.64

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.01
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.59
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-0.60

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$-2.64
Override Transactions	\$0.00
Adjustments	\$-0.60
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-3.24
Year-to-date Gross	\$1,019.37
Year-to-date Earnings	\$839.74

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed