



COMMISSION STATEMENT

February 19, 2018

ALFREDO BALLESTEROS
21830 Walnut Street
Wildmar, CA 92595

BROKER #: B10
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/05/2018	***-**-8240 - BREWER, LUCINDA	EUROPACIFIC GROWTH FUND-A	100.00	4.25	0.00	4.25	80.0	3.40
	ACCOUNT #: 00087834785	BUY 2 Units @59.3200						
02/05/2018	***-**-5049 - CRUZ, JOSE	EUROPACIFIC GROWTH FUND-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 0 Units @59.7900						
02/05/2018	***-**-5049 - CRUZ, JOSE	EUROPACIFIC GROWTH FUND-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @59.7900						
02/05/2018	***-**-8240 - BREWER, LUCINDA	SMALLCAP WORLD FUND-A	100.00	4.25	0.00	4.25	80.0	3.40
	ACCOUNT #: 00087834785	BUY 2 Units @58.8900						
02/05/2018	***-**-5049 - CRUZ, JOSE	SMALLCAP WORLD FUND-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @59.3600						
02/05/2018	***-**-5049 - CRUZ, JOSE	SMALLCAP WORLD FUND-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 0 Units @59.3600						
02/05/2018	***-**-8240 - BREWER, LUCINDA	THE GROWTH FUND OF AMERICA-A	100.00	4.25	0.00	4.25	80.0	3.40
	ACCOUNT #: 00087834785	BUY 2 Units @53.2700						
02/05/2018	***-**-5049 - CRUZ, JOSE	THE GROWTH FUND OF AMERICA-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @53.7000						
02/05/2018	***-**-5049 - CRUZ, JOSE	THE GROWTH FUND OF AMERICA-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 0 Units @53.7000						
02/05/2018	***-**-8240 - BREWER, LUCINDA	THE INVESTMENT COMPANY OF AMERICA-A	100.00	4.25	0.00	4.25	80.0	3.40
	ACCOUNT #: 00087834785	BUY 2 Units @42.5600						
02/05/2018	***-**-5049 - CRUZ, JOSE	THE INVESTMENT COMPANY OF AMERICA-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @42.9000						
02/05/2018	***-**-5049 - CRUZ, JOSE	THE INVESTMENT COMPANY OF AMERICA-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 1 Units @42.9000						
* MUTUAL FUNDS SUBTOTAL *			\$650.00	\$29.52	\$0.00	\$29.52		\$23.60
<u>PRODUCT CATEGORY: 529 PLAN</u>								
02/05/2018	***-**-9952 - NGUYEN, VICKIE	AMERICAN BALANCED FUND-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 2 Units @28.5200						
02/05/2018	***-**-9952 - NGUYEN, VICKIE	AMERICAN BALANCED FUND-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 3 Units @28.5200						
02/05/2018	***-**-9952 - NGUYEN, VICKIE	NEW PERSPECTIVE FUND-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @45.8100						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN (continued)</u>								
02/05/2018	***-**-9952 - NGUYEN, VICKIE	NEW PERSPECTIVE FUND-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 2 Units @45.8100						
02/05/2018	***-**-9952 - NGUYEN, VICKIE	THE GROWTH FUND OF AMERICA-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @53.1400						
02/05/2018	***-**-9952 - NGUYEN, VICKIE	THE GROWTH FUND OF AMERICA-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 1 Units @53.1400						
02/05/2018	***-**-9952 - NGUYEN, VICKIE	THE INVESTMENT COMPANY OF AMERICA-5	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @42.7900						
02/05/2018	***-**-9952 - NGUYEN, VICKIE	THE INVESTMENT COMPANY OF AMERICA-5	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 2 Units @42.7900						
* 529 PLAN SUBTOTAL *			\$500.00	\$25.00	\$0.00	\$25.00		\$20.00
*** BROKER TRANSACTIONS TOTAL ***			\$1,150.00	\$54.52	\$0.00	\$54.52		\$43.60

BROKER COMMISSION TOTALS

Broker Transactions	\$43.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$43.60
Year-to-date Earnings	\$0.00