

**COMMISSION STATEMENT****January 31, 2018**

**BARRY LE MAY**  
 2242 Camden Ave  
 Suite 102  
 San Jose, CA 95124

**BROKER #: L122**  
**BRANCH: AMBAR FINANCIAL**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/26/2018	LAHIDJI, SAMAN	APPLE INC COM	1,197.12	25.00	22.50	2.50	90.0	2.25
		* STOCKS SUBTOTAL *	\$1,197.12	\$25.00	\$22.50	\$2.50		\$2.25
		*** CLEARING TRANSACTIONS TOTAL ***	\$1,197.12	\$25.00	\$22.50	\$2.50		\$2.25
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
01/16/2018	HEWITSON TRUST	HPI FUND V	400,000.00	12,000.00	0.00	12,000.00	90.0	10,800.00
01/22/2018	HEWITSON, DAVID	HPI FUND V	600,000.00	12,000.00	0.00	12,000.00	90.0	10,800.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$1,000,000.00	\$24,000.00	\$0.00	\$24,000.00		\$21,600.00
<b><u>PRODUCT CATEGORY: REIT</u></b>								
01/25/2018	GARCIA, AL	GRIFFIN AMERICAN HEALTHCARE REIT IV	10,000.00	25.21	0.00	25.21	90.0	22.69
		* REIT SUBTOTAL *	\$10,000.00	\$25.21	\$0.00	\$25.21		\$22.69
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/24/2018	LEMAY, VARIOUS	AMERICAN FUNDS PERSHING	0.00	42.25	0.00	42.25	90.0	38.03
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$42.25	\$0.00	\$42.25		\$38.03
		*** DIRECT TRANSACTIONS TOTAL ***	\$1,010,000.00	\$24,067.46	\$0.00	\$24,067.46		\$21,660.72

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$21,662.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$21,662.97
Year-to-date Gross	\$56,039.32
Year-to-date Earnings	\$50,415.16