

ANNE PLATSKY

10310 Sugarberry Court #402 # 402 Raleigh, NC 27614 BROKER #: 911

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			TRANSACTIONS					
	CATEGORY: MUTUAL FUND 12B1 T							
03/01/2018	8 ***-**-9501 - JACKSON, DAVID ACCOUNT #: 00059229216	AMCAP FUND-A BUY 0 Units @0.0000	0.00	41.82	0.00	41.82	60.0	25.09
03/01/2018	8 ***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	AMCAP FUND-A BUY 0 Units @0.0000	0.00	234.98	0.00	234.98	60.0	140.99
03/01/2018	8 ***-**-5371 - HORN, ELIZABETH ACCOUNT #: 00063643210	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	14.68	0.00	14.68	60.0	8.81
03/01/2018	***-**-546I - ZUKOR, RONALD ACCOUNT #: 00063643212	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	21.24	0.00	21.24	60.0	12.74
03/01/2018	3 ***-**-06IR - BRUM, ROBERT ACCOUNT #: 00073483164	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	24.24	0.00	24.24	60.0	14.54
03/01/2018	3 ***-**-50IS - JACKSON, DAVID ACCOUNT #: 00074764055	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	39.39	0.00	39.39	60.0	23.63
03/01/2018	3 ***-**-1651 - FISCHER, FELICE ACCOUNT #: 00085895413	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	18.30	0.00	18.30	60.0	10.98
03/01/2018	3 ***-**-65IN - FISCHER, FELICE ACCOUNT #: 00086719928	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	1.17	0.00	1.17	60.0	0.70
03/01/2018	3 ***-**-911I - JACKSON, ACCOUNT #: 00073497570	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	9.76	0.00	9.76	60.0	5.86
03/09/2018	3 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00003593290	CALVERT BALANCED PORTFOLIO - A BUY 0 Units @0.0000	0.00	3.76	0.00	3.76	60.0	2.26
03/09/2018	3 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00003593332	CALVERT BALANCED PORTFOLIO - A BUY 0 Units @0.0000	0.00	5.33	0.00	5.33	60.0	3.20
03/09/2018	3 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00003593290	CALVERT SOCIAL INVESTMENT FD EQUIT BUY 0 Units @0.0000	Y 0.00	7.43	0.00	7.43	60.0	4.46
03/09/2018	3 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00003593332	CALVERT SOCIAL INVESTMENT FD EQUIT BUY 0 Units @0.0000	y 0.00	10.50	0.00	10.50	60.0	6.30
03/01/2018	3 ***-**-50IS - JACKSON, DAVID ACCOUNT #: 00074764055	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	44.33	0.00	44.33	60.0	26.60
03/01/2018	3 ***-**-50IN - SPRING, HENRY ACCOUNT #: 00081967106	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	2.22	0.00	2.22	60.0	1.33
03/01/2018	***-**-1651 - FISCHER, FELICE ACCOUNT #: 00085895413	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	15.15	0.00	15.15	60.0	9.09



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PRODUCT	CATEGORY: MUTUAL FUND 12B1		TRANSACTIONS	(continue	ed)				
	.8 ***-**-65iN - FISCHER, FELICE ACCOUNT #: 00086719928			0.00	1.00	0.00	1.00	60.0	0.60
03/01/201	18 ***-**-3861 - SCLAFANI, RENEE ACCOUNT #: 00081355804	CAPITAL WORLD BOND FUND-A BUY 0 Units @0.0000		0.00	21.17	0.00	21.17	60.0	12.70
03/01/201	18 ***-**-50IN - SPRING, HENRY ACCOUNT #: 00081967106	CAPITAL WORLD BOND FUND-A BUY 0 Units @0.0000		0.00	1.93	0.00	1.93	60.0	1.16
03/01/201	L8 ***-**-454I - RUDERMAN, ACCOUNT #: 00061201993	CAPITAL WORLD GROWTH AND DBUY 0 Units @0.0000	INCOME FUN	0.00	13.25	0.00	13.25	60.0	7.95
03/01/201	18 ***-**-852I - BRYSON, LENNOX ACCOUNT #: 00066780144	CAPITAL WORLD GROWTH AND DBUY 0 Units @0.0000	INCOME FUN	0.00	4.43	0.00	4.43	60.0	2.66
03/01/201	L8 ***-**-529I - BRUM, ROSE ACCOUNT #: 00073483195	CAPITAL WORLD GROWTH AND DBUY 0 Units @0.0000	INCOME FUN	0.00	29.83	0.00	29.83	60.0	17.90
03/01/201	8 ***-**-215P - GLICKMAN-LERIN, ACCOUNT #: 00074018600	CAPITAL WORLD GROWTH AND IBUY 0 Units @0.0000	INCOME FUN	0.00	6.19	0.00	6.19	60.0	3.71
03/01/201	18 ***-**-50IS - JACKSON, DAVID ACCOUNT #: 00074764055	CAPITAL WORLD GROWTH AND DBUY 0 Units @0.0000	INCOME FUN	0.00	48.91	0.00	48.91	60.0	29.35
03/01/201	18 ***-**-3861 - SCLAFANI, RENEE ACCOUNT #: 00081355804	CAPITAL WORLD GROWTH AND IBUY 0 Units @0.0000	INCOME FUN	0.00	30.22	0.00	30.22	60.0	18.13
03/12/201	8 ***-**-1501 - SPRING, HENRY ACCOUNT #: LSR101109	DELAWARE GROWTH OPPORTUNIT BUY 1 Units @0.0000	TIES FD CL	0.00	1.08	0.00	1.08	60.0	0.65
03/12/201	18 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	DELAWARE GROWTH OPPORTUNITE BUY 1 Units @0.0000	TIES FD CL	0.00	18.93	0.00	18.93	60.0	11.36
03/01/201	18 ***-**-37IN - ZUKOR, RONALD ACCOUNT #: 00061501802	EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000		0.00	44.35	0.00	44.35	60.0	26.61
03/01/201	8 ***-**-852I - BRYSON, LENNOX ACCOUNT #: 00066780144	EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000		0.00	3.74	0.00	3.74	60.0	2.24
03/07/201	8 ***-**-003I - LEE, MICHELE ACCOUNT #: 00006827196	FIDELITY ADV GROWTH OPPORTBUY 0 Units @0.0000	CL T	0.00	6.76	0.00	6.76	60.0	4.06
03/01/201	8 ***-**-943I - KOULOS, JOHN ACCOUNT #: 00060686406	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	107.31	0.00	107.31	60.0	64.39
03/01/201	18 ***-**-565P - EPSTEIN, JOYCE ACCOUNT #: 00061501653	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	1.94	0.00	1.94	60.0	1.16



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PRODUCT	CATEGORY: MUTUAL FUND 12B1 7		TRANSACTIONS	(continu	led)				
	8 ***-**-37IN - ZUKOR, RONALD ACCOUNT #: 00061501802	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	67.41	0.00	67.41	60.0	40.45
03/01/201	8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	0.87	0.00	0.87	60.0	0.52
03/01/201	.8 ***-**-529I - BRUM, ROSE ACCOUNT #: 00073483195	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	40.92	0.00	40.92	60.0	24.55
03/01/201	8 ***-**-321I - MARSHALL, ACCOUNT #: 00075914418	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	5.77	0.00	5.77	60.0	3.46
03/01/201	8 ***-**-1651 - FISCHER, FELICE ACCOUNT #: 00085895413	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	21.67	0.00	21.67	60.0	13.00
03/01/201	8 ***-**-65IN - FISCHER, FELICE ACCOUNT #: 00086719928	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000		0.00	2.46	0.00	2.46	60.0	1.48
03/01/201	8 ***-**-37IN - ZUKOR, RONALD ACCOUNT #: 00061501802	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000		0.00	62.05	0.00	62.05	60.0	37.23
03/01/201	8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000		0.00	0.69	0.00	0.69	60.0	0.41
03/01/201	8 ***-**-06IR - BRUM, ROBERT ACCOUNT #: 00073483164	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000		0.00	50.54	0.00	50.54	60.0	30.32
03/01/201	8 ***-**-911I - JACKSON, ACCOUNT #: 00073497570	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000		0.00	9.74	0.00	9.74	60.0	5.84
03/01/201	8 ***-**-386I - SCLAFANI, RENEE ACCOUNT #: 00081355804	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000		0.00	35.44	0.00	35.44	60.0	21.26
03/01/201	8 ***-**-454I - RUDERMAN, ACCOUNT #: 00061201993	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000		0.00	55.46	0.00	55.46	60.0	33.28
03/01/201	8 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00063643212	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000		0.00	3.72	0.00	3.72	60.0	2.23
03/01/201	8 ***-**-1651 - FISCHER, FELICE ACCOUNT #: 00085895413	THE BOND FUND OF AMERICA- BUY 0 Units @0.0000	A	0.00	11.52	0.00	11.52	60.0	6.91
03/01/201	8 ***-**-454I - RUDERMAN, ACCOUNT #: 00061201993	THE GROWTH FUND OF AMERIC BUY 0 Units @0.0000	'A-A	0.00	9.47	0.00	9.47	60.0	5.68
03/01/201	.8 ***-**-37IN - ZUKOR, RONALD ACCOUNT #: 00061501802	THE GROWTH FUND OF AMERIC BUY 0 Units @0.0000	'A-A	0.00	71.08	0.00	71.08	60.0	42.65



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PRODUCT	CATEGORY: MUTUAL FUND 12B1	BROKER TRANSACTI	ONS (continu	ued)				
	L8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.84	0.00	0.84	60.0	0.50
03/01/201	18 ***-**-848I - KOSKIE, HEATHER ACCOUNT #: 00067652141	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	9.90	0.00	9.90	60.0	5.94
03/01/201	18 ***-**-911I - JACKSON, ACCOUNT #: 00073497570	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	7.67	0.00	7.67	60.0	4.60
03/01/201	18 ***-**-215P - GLICKMAN-LERIN, ACCOUNT #: 00074018600	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	6.62	0.00	6.62	60.0	3.97
03/01/201	18 ***-**-212I - JACKSON, ROBERT ACCOUNT #: 00075983777	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	11.58	0.00	11.58	60.0	6.95
03/01/201	18 ***-**-3861 - SCLAFANI, RENEE ACCOUNT #: 00081355804	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	37.40	0.00	37.40	60.0	22.44
03/01/201	18 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00063643210	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	15.72	0.00	15.72	60.0	9.43
03/01/201	L8 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00063643212	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	15.92	0.00	15.92	60.0	9.55
03/01/201	L8 ***-**-529I - BRUM, ROSE ACCOUNT #: 00073483195	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	23.45	0.00	23.45	60.0	14.07
03/01/201	18 ***-**-50IS - JACKSON, DAVID ACCOUNT #: 00074764055	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	10.86	0.00	10.86	60.0	6.52
03/01/201	18 ***-**-386I - SCLAFANI, RENEE ACCOUNT #: 00081355804	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	29.25	0.00	29.25	60.0	17.55
03/01/201	18 ***-**-57IN - JACKSON, MARY ACCOUNT #: 00059229217	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	38.79	0.00	38.79	60.0	23.27
03/01/201	18 ***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	243.82	0.00	243.82	60.0	146.29
03/01/201	18 ***-**-113I - BRUNCKHORST, ACCOUNT #: 00059670454	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	54.49	0.00	54.49	60.0	32.69
03/01/201	18 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00063643210	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	3.88	0.00	3.88	60.0	2.33
03/01/201	L8 ***-**-305I - BRUNCKHORST, ACCOUNT #: 00059677391	WASHINGTON MUTUAL INVESTORS FUND-ABUY 0 Units @0.0000	0.00	74.23	0.00	74.23	60.0	44.54



# **COMMISSION STATEMENT**

March 12, 2018

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISS		RATE	BROKER COMMISSION
		BROKER TRANSACTIO	NS (continu	ıed)					
PRODUCT (	CATEGORY: MUTUAL FUND 12B1 1	TRAILS (continued)							
03/01/2018	8 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00063643210	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	10.57	0.00		10.57	60.0	6.34
03/01/2018	8 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00063643212	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	13.36	0.00		13.36	60.0	8.02
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,892.50	\$0.00	\$1,8	92.50		\$1,135.48
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$1,892.50	\$0.00	\$1,8	92.50	•	\$1,135.48
					В	ROKER	COMMI	SSION	TOTALS
					Broker	Transa	ctions		\$1,135.48
					Split	Transa	ctions		\$0.00
					Override	Transa	ctions		\$0.00
						Adjust	tments		\$0.00
						Payrol:	l Draw		\$0.00
						Base S	Salary		\$0.00
					Prior E	Period Ba	alance		\$0.00
					FIN	IRA Asse	ssment		\$0.00
					SI	IPC Asse	ssment		\$0.00
		Please	Retain for You	r Records					
			BE NO PAYMENT		Ba	lance Fo	orward		\$0.00
					Year-to-	date Ear	rnings		\$0.00