

All Companies
STATEMENT
March 20, 2018

BEN REYNOSO
222 N Garden St #300
SUITE 620
Visalia, CA 93291

BROKER #: 174
BRANCH:

COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/01/0018	#0049157621	BLCKRCK	0.00	21.37	94.0	0.00	20.09	0.00	20.09
01/01/0018	#0001226331 YOUNG, MICH	BLCKRCK	0.00	5.30	94.0	0.00	4.98	0.00	4.98
01/01/0018	#0004950242 BLANKENSHIP,	BLCKRCK	0.00	4.81	94.0	0.00	4.52	0.00	4.52
01/01/0018	#0049157621	BLCKRCK	0.00	24.89	94.0	0.00	23.40	0.00	23.40
01/01/0018	#0049157621	BLCKRCK	0.00	7.12	94.0	0.00	6.69	0.00	6.69
01/01/0018	#0047818448 COUNSEL, TR	BLCKRCK	0.00	2.88	94.0	0.00	2.71	0.00	2.71
01/01/0018	#0048450324 TRUST COMPANY,	BLCKRCK	0.00	12.68	94.0	0.00	11.92	0.00	11.92
01/01/0018	#0001226331 YOUNG, MICH	BLCKRCK	0.00	1.77	94.0	0.00	1.66	0.00	1.66
01/01/0018	#0004950242 BLANKENSHIP,	BLCKRCK	0.00	1.60	94.0	0.00	1.50	0.00	1.50
01/01/0018	#0049157621	BLCKRCK	0.00	8.30	94.0	0.00	7.80	0.00	7.80
01/01/0018	#0047895412 TRUST COMPANY,	BLCKRCK	0.00	1.36	94.0	0.00	1.28	0.00	1.28
01/01/0018	#0000373670 STRAN, MICHAEL	BLCKRCK	0.00	4.13	94.0	0.00	3.88	0.00	3.88
01/01/0018	#074480003530 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	2.82	94.0	0.00	2.65	0.00	2.65
01/01/0018	#074480003828 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	8.20	94.0	0.00	7.71	0.00	7.71
01/01/0018	#074480019676 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	0.38	94.0	0.00	0.36	0.00	0.36
01/01/0018	#074480013753 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	0.06	94.0	0.00	0.06	0.00	0.06
01/01/0018	#070440060258 & TRUST,	COLUMBIA SMALLCAP INDEX FUND A	0.00	4.57	94.0	0.00	4.30	0.00	4.30
01/01/0018	#070440064322 & TRUST,	COLUMBIA SMALLCAP INDEX FUND A	0.00	10.22	94.0	0.00	9.61	0.00	9.61
01/01/0018	#055552551641 PARTNER, ME	FEDERATED	0.00	1.52	94.0	0.00	1.43	0.00	1.43
01/01/0018	#055552551641 PARTNER, ME	FEDERATED	0.00	4.55	94.0	0.00	4.28	0.00	4.28
01/01/0018	#055552551641 PARTNER, ME	FEDERATED GLOBAL ALLOCATION	0.00	11.18	94.0	0.00	10.51	0.00	10.51
01/01/0018	#055552551641 PARTNER, ME	FEDERATED GLOBAL ALLOCATION	0.00	33.53	94.0	0.00	31.52	0.00	31.52
01/01/0018	#055552551641 PARTNER, ME	FEDERATED GOV OBLIGATION	0.00	2.18	94.0	0.00	2.05	0.00	2.05
01/01/0018	#055552551641 PARTNER, ME	FEDERATED STRAT VAL	0.00	1.07	94.0	0.00	1.01	0.00	1.01
01/01/0018	#055552551641 PARTNER, ME	FEDERATED STRAT VAL	0.00	3.22	94.0	0.00	3.03	0.00	3.03
01/01/0018	#055552551641 PARTNER, ME	FEDERATED STRATEGIC INCOME	0.00	0.27	94.0	0.00	0.25	0.00	0.25
01/01/0018	#055552551641 PARTNER, ME	FEDERATED STRATEGIC INCOME	0.00	0.81	94.0	0.00	0.76	0.00	0.76
01/01/0018	#055552551641 PARTNER, ME	FEDERATED.	0.00	0.21	94.0	0.00	0.20	0.00	0.20

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/01/0018	#055552551641	PARTNER, ME	FEDERATED.	0.00	0.63	94.0	0.00	0.59	0.59
01/01/0018	#07205405014	TRUST,	HIGH YIELD FUND	0.00	34.77	94.0	0.00	32.68	32.68
01/01/0018	#07205406021	TRUST,	HIGH YIELD FUND	0.00	0.36	94.0	0.00	0.34	0.34
01/01/0018	#07205406754	TRUST,	HIGH YIELD FUND	0.00	0.61	94.0	0.00	0.57	0.57
01/01/0018	#074190002397	& TRUST, ARTIS	JP MORGAN	0.00	4.17	94.0	0.00	3.92	3.92
01/01/0018	#074190005734	& TRUST, ARTIS	JP MORGAN	0.00	0.04	94.0	0.00	0.04	0.04
01/01/0018	#074190009086	& TRUST, ARTIS	JP MORGAN	0.00	0.22	94.0	0.00	0.21	0.21
01/01/0018	#07205603760	TRUST,	TOTAL RETURN FUND A	0.00	104.55	94.0	0.00	98.28	98.28
01/01/0018	#07205604627	TRUST,	TOTAL RETURN FUND A	0.00	0.07	94.0	0.00	0.07	0.07
01/01/0018	#07205605825	TRUST,	TOTAL RETURN FUND A	0.00	0.13	94.0	0.00	0.12	0.12
12/31/2017	#49157621		BLCKRCK	0.00	7.07	94.0	0.00	6.65	6.65
12/31/2017	#47818448	COUNSEL, TR	BLCKRCK	0.00	2.82	94.0	0.00	2.65	2.65
12/31/2017	#48450324	TRUST COMPANY, MID	BLCKRCK	0.00	12.57	94.0	0.00	11.82	11.82
12/31/2017	#1226331	YOUNG, MICH	BLCKRCK	0.00	1.71	94.0	0.00	1.61	1.61
12/31/2017	#4950242	BLANKENSHIP, LAUREL	BLCKRCK	0.00	1.56	94.0	0.00	1.47	1.47
12/31/2017	#49157621		BLCKRCK	0.00	7.98	94.0	0.00	7.50	7.50
12/31/2017	#47895412	TRUST COMPANY, MID	BLCKRCK	0.00	1.30	94.0	0.00	1.22	1.22
12/31/2017	#49157621		BLCKRCK	0.00	21.22	94.0	0.00	19.95	19.95
12/31/2017	#1226331	YOUNG, MICH	BLCKRCK	0.00	5.14	94.0	0.00	4.83	4.83
12/31/2017	#4950242	BLANKENSHIP, LAUREL	BLCKRCK	0.00	4.67	94.0	0.00	4.39	4.39
12/31/2017	#49157621		BLCKRCK	0.00	23.93	94.0	0.00	22.49	22.49
01/05/2018	#0049157621		BLCKRCK	50.00	0.50	94.0	0.00	0.47	0.47
01/05/2018	#0049157621		BLCKRCK	50.00	0.50	94.0	0.00	0.47	0.47
02/09/2018	#00086840595	HICKAM, TRACY	AMCAP FUND INC CL C	150.00	1.50	94.0	0.00	1.41	1.41
02/09/2018	#00086840595	HICKAM, TRACY	AMCAP FUND INC CL C	150.00	1.50	94.0	0.00	1.41	1.41
02/12/2018	#00073613500	DIXON, TRACEY	AMERICAN MUTUAL FUND INC CLASS A	75.00	2.81	94.0	0.00	2.64	2.64
02/12/2018	#00073612948	DIXON, JEFF	GROWTH FUND AMERICA INC CLASS A	75.00	2.81	94.0	0.00	2.64	2.64
02/15/2018	#00085514756	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	2.07
02/15/2018	#00085514794	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	2.07
02/15/2018	#00085514806	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	2.07
02/15/2018	#00086006772	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	2.07
02/15/2018	#00085514756	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
02/15/2018	#00085514794	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
02/15/2018	#00085514806	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
02/15/2018	#00086006772	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
02/15/2018	#00085514756	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/15/2018	#00085514794 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
02/15/2018	#00085514806 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
02/15/2018	#00086006772 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
* MUTUAL FUNDS SUBTOTAL *			\$1,510.00	\$452.54		\$0.00	\$425.44	\$0.00	\$425.44
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/03/0018	#129617LB8 EVANS, PAM	TRANSAMERICA LIFE	48,207.77	40.17	94.0	0.00	37.76	0.00	37.76
01/07/0018	#104571LB8 GUTIERREZ, LOUIS	TRANSAMERICA LIFE	41,382.09	34.49	94.0	0.00	32.42	0.00	32.42
01/08/0018	#104570LB8 GUTIERREZ, LOUIS	TRANSAMERICA LIFE	52,071.42	43.39	94.0	0.00	40.79	0.00	40.79
02/04/0018	#01B0388004 ADAMS, ROBERT	TRANSAMERICA PRE	0.00	145.99	94.0	0.00	137.23	0.00	137.23
02/08/2018	#803625000 BIG PINE PAI	TRANSAMERICA FIN LIFE	0.00	118.50	94.0	0.00	111.39	0.00	111.39
02/28/2018	#1011649730 REYNOSO,	ADVS II (08/11)	72,344.06	60.29	94.0	0.00	56.67	0.00	56.67
02/28/2018	#1015044205 COLLINS,	ELITE ACCESS	21,099.41	17.58	94.0	0.00	16.53	0.00	16.53
02/28/2018	#1015975837 DELGADO, MIGUEL	ELITE ACCESS	6,910.83	5.76	94.0	0.00	5.41	0.00	5.41
02/28/2018	#1014723311 SCHWAMB, SHANNON	ELITE ACCESS	475,092.33	395.91	94.0	0.00	372.16	0.00	372.16
02/28/2018	#1015151290 SALMON	ELITE ACCESS L	112,143.04	93.45	94.0	0.00	87.84	0.00	87.84
02/28/2018	#1015154353 SALMON	ELITE ACCESS L	27,429.95	22.86	94.0	0.00	21.49	0.00	21.49
02/28/2018	#1016329351 SCHMIDT, LARRY	ELITE ACCESS L	67,240.69	56.03	94.0	0.00	52.67	0.00	52.67
02/28/2018	#1010520564 CONTRERAS,	PERSP L 08/11	17,382.21	14.49	94.0	0.00	13.62	0.00	13.62
02/28/2018	#1011931452 JASSO, EUSEBIO	PERSP L 08/11	122,929.40	102.44	94.0	0.00	96.29	0.00	96.29
02/28/2018	#1009421862 PETERSON, CARA	PERSPECTIVE II	167,139.05	34.82	94.0	0.00	32.73	0.00	32.73
02/28/2018	#1008962452 BROWN, SUSAN	PERSPECTIVE L	35,821.19	29.85	94.0	0.00	28.06	0.00	28.06
02/28/2018	#1008329393 DOUGLASS, JOHN	PERSPECTIVE L	19,713.75	16.43	94.0	0.00	15.44	0.00	15.44
* VARIABLE ANNUITIES SUBTOTAL *			\$1,286,907.19	\$1,232.45		\$0.00	\$1,158.50	\$0.00	\$1,158.50
<u>PRODUCT CATEGORY: RIA</u>									
03/01/0018	#RC5847500N BALL, RILIAN	EQIS	0.00	24.82	94.0	0.00	23.33	0.00	23.33
03/01/0018	#RC85967007 FENNER, LORI	EQIS	0.00	197.14	94.0	0.00	185.31	0.00	185.31
* RIA SUBTOTAL *			\$0.00	\$221.96		\$0.00	\$208.64	\$0.00	\$208.64
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/02/2018	#06500180544 KUNKLRANDG	TRANSAMERICA DYNAMIC ALLOCATION C	0.00	6.05	94.0	0.00	5.69	0.00	5.69
02/09/2018	# FUJIE OKINO TTEE	DAIN TRAILER	0.00	52.88	94.0	0.00	49.71	0.00	49.71
02/09/2018	# FUJIE OKINO TTEE	DAIN TRAILER	0.00	71.63	94.0	0.00	67.33	0.00	67.33
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$130.56		\$0.00	\$122.73	\$0.00	\$122.73
*** BROKER TRANSACTIONS TOTAL ***			\$1,288,417.19	\$2,037.51		\$0.00	\$1,915.31	\$0.00	\$1,915.31

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ADJUSTMENTS

03/20/2018		BETA BACK OFFICE: ADMIN FEE							-320.00
03/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-35.22
		DEBIT BALANCE							
*** ADJUSTMENTS TOTAL ***									\$-534.22

BROKER COMMISSION TOTALS

Broker Transactions	\$1,915.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-534.22
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,381.09
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