

COMMISSION STATEMENT July 15, 2018

BRIAN MAPES-D040

BROKER #: D040

BRANCH: DMN

, TX

COMMISSION STATEMENT for BRIAN MAPES-D040

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/01/2018	00777056437	HOEKSTRA, TJEERD	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	7.35	0.00	7.35	85.0	6.25
07/01/2018	00777056437	HOEKSTRA, TJEERD	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	5.42	0.00	5.42	85.0	4.61
07/01/2018	00777065091	DRENTH, DAVID	MFT AIM SMALL CAP EQUITY FUND -	B	0.00	2.61	0.00	2.61	85.0	2.22
07/01/2018	00777065091	DRENTH, DAVID	MFT INVESCO LOW VOLATILITY EQTY	B	0.00	9.98	0.00	9.98	85.0	8.48
07/01/2018	00777065091	DRENTH, DAVID	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	1.96	0.00	1.96	85.0	1.67
07/01/2018	00777066082	NEEDHAM,	MFT AIM CHARTER FUND - CLASS A	B	0.00	1.26	0.00	1.26	85.0	1.07
07/01/2018	00777066082	NEEDHAM,	MFT AIM GLOBAL AGGRESSIVE GROWTH	B	0.00	1.57	0.00	1.57	85.0	1.33
07/01/2018	00777068013	JANISSE, JOEL	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	16.43	0.00	16.43	85.0	13.97
07/01/2018	00777068013	JANISSE, JOEL	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	3.49	0.00	3.49	85.0	2.97
07/01/2018	00777081598	PATINKA, JEANNE	MFT AIM CASH RESERVE SHARES	B	0.00	0.29	0.00	0.29	85.0	0.25
07/01/2018	05055270119	STOVER, DONALD	MFT AIM GLOBAL GROWTH FUND - CLASS	B	0.00	15.96	0.00	15.96	85.0	13.57
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$66.32	\$0.00	\$66.32		\$56.39
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS										
06/30/2018	(None)	VARIOUS, MAPES	VAT MANULIFE	B	0.00	1,845.41	0.00	1,845.41	85.0	1,568.60
07/02/2018	(None)	THOMAN	VAT SAMMONS	B	0.00	49.15	0.00	49.15	85.0	41.78
07/02/2018	(None)	MARTIN	VAT SAMMONS	B	0.00	4.48	0.00	4.48	85.0	3.81
06/27/2018	VR03014913	RUELAS	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	92.09	0.00	92.09	85.0	78.28
06/29/2018	VR03081564	DUBIE	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	138.94	0.00	138.94	85.0	118.10
06/29/2018	VR03081569	KLAERAN	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	17.11	0.00	17.11	85.0	14.54
06/29/2018	VR03081571	BLEAU	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	147.04	0.00	147.04	85.0	124.98
06/25/2018	VR03083089	KRONKE	VAT PACIFIC LIFE CUSIP 694474800	B	0.00	36.31	0.00	36.31	85.0	30.86
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *					\$0.00	\$2,330.53	\$0.00	\$2,330.53		\$1,980.95
*** DIRECT TRANSACTIONS TOTAL ***					\$0.00	\$2,396.85	\$0.00	\$2,396.85		\$2,037.34

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ADJUSTMENTS TRANSACTIONS

BROKER: #0

07/15/2018		OTHER: REVERSE MISTAKE ADJ 0630		0.00	0.00	0.00	0.00		40.00
		* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00		\$40.00
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$40.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,037.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$40.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,077.34
Year-to-date Commissions	\$23,422.00