

## COMMISSION STATEMENT

October 31, 2017

DANIEL SIEGEL  
99 WINGED FOOT DRIVE

BROKER #: 20  
BRANCH: HOME OFFICE

LIVINGSTON, NJ 07039

COMMISSION STATEMENT for DANIEL SIEGEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/09/2017	QGW001497	KLUGMAN, ROBERT	INVESCO LTD ORD SHS ISIN#BMG491BT11 B	8,929.98	136.00	0.00	136.00	80.0	108.80
10/19/2017	QGW001497	KLUGMAN, ROBERT	GENERAL ELECTRIC CO B	7,106.97	128.00	0.00	128.00	80.0	102.40
10/23/2017	QGW001497	KLUGMAN, ROBERT	FLEXSHARES TR QUALITY DIVID INDEX F S	-10,368.00	142.00	0.00	142.00	80.0	113.60
10/23/2017	QGW001497	KLUGMAN, ROBERT	INVESTORS BANCORP INC NEW COM S	-6,810.05	135.00	0.00	135.00	80.0	108.00
10/09/2017	QGW009805	KELLY, ROBERT	INVESCO LTD ORD SHS ISIN#BMG491BT11 B	8,929.98	136.00	0.00	136.00	80.0	108.80
10/23/2017	QGW009805	KELLY, ROBERT	FLEXSHARES TR QUALITY DIVID INDEX F S	-10,368.00	142.00	0.00	142.00	80.0	113.60
10/13/2017	QGW009821	SUGARMAN, GAIL	VIRTU FINL INC CL A B	3,312.27	90.00	0.00	90.00	80.0	72.00
10/26/2017	QGW009847	LEICHER, MARVIN	XEROX CORPORATION COMMON STOCK S	-7,685.00	132.00	0.00	132.00	80.0	105.60
10/11/2017	QGW009854	LEICHER, EVELYN	YRC WORLDWIDE INC S	-6,571.50	135.00	0.00	135.00	80.0	108.00
10/04/2017	QGW009896	GREENBURG, JON	CISCO SYS INC S	-13,409.20	50.00	0.00	50.00	80.0	40.00
10/09/2017	QGW009896	GREENBURG, JON	INVESCO LTD ORD SHS ISIN#BMG491BT11 B	14,287.96	50.00	0.00	50.00	80.0	40.00
10/19/2017	QGW009896	GREENBURG, JON	GENERAL ELECTRIC CO B	9,475.96	50.00	0.00	50.00	80.0	40.00
10/23/2017	QGW009896	GREENBURG, JON	FLEXSHARES TR QUALITY DIVID INDEX F S	-17,280.00	50.00	0.00	50.00	80.0	40.00
10/23/2017	QGW009896	GREENBURG, JON	INVESTORS BANCORP INC NEW COM S	-5,448.04	50.00	0.00	50.00	80.0	40.00
10/04/2017	QGW020919	KELLY, ROBERT	CISCO SYS INC S	-10,056.90	143.00	0.00	143.00	80.0	114.40
10/19/2017	QGW020919	KELLY, ROBERT	GENERAL ELECTRIC CO B	7,106.97	128.00	0.00	128.00	80.0	102.40
10/23/2017	QGW020919	KELLY, ROBERT	INVESTORS BANCORP INC NEW COM S	-6,810.05	135.00	0.00	135.00	80.0	108.00
10/04/2017	QGW021271	KLUGMAN, ROBERT	CISCO SYS INC S	-10,056.90	143.00	0.00	143.00	80.0	114.40
10/23/2017	QGW022246	SUGARMAN, GAIL	INVESTORS BANCORP INC NEW COM S	-5,448.04	123.00	0.00	123.00	80.0	98.40
10/04/2017	QGW023772	PATRICK E OBRIEN,	CISCO SYS INC S	-8,380.75	133.00	0.00	133.00	80.0	106.40
10/09/2017	QGW023772	PATRICK E OBRIEN,	INVESCO LTD ORD SHS ISIN#BMG491BT11 B	8,929.98	136.00	0.00	136.00	80.0	108.80
10/19/2017	QGW023772	PATRICK E OBRIEN,	GENERAL ELECTRIC CO B	7,106.97	128.00	0.00	128.00	80.0	102.40
10/23/2017	QGW023772	PATRICK E OBRIEN,	INVESTORS BANCORP INC NEW COM S	-5,448.04	123.00	0.00	123.00	80.0	98.40
* STOCKS SUBTOTAL *				\$-48,953.43	\$2,618.00	\$0.00	\$2,618.00		\$2,094.40

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/13/2017	QGW009847	LEICHER, MARVIN	BOND A B	0.00	5.65	0.00	5.65	80.0	4.52
10/19/2017	QGW009847	LEICHER, MARVIN	OPPENHEIMER ROCHESTER NEW JERSEY MU B	0.00	24.43	0.00	24.43	80.0	19.54
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$30.08	\$0.00	\$30.08		\$24.06
*** BROKER TRANSACTIONS TOTAL ***				\$-48,953.43	\$2,648.08	\$0.00	\$2,648.08		\$2,118.46

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ADJUSTMENTS

10/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,118.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.31
SIPC Assessment	\$-6.62

Check Amount	\$2,088.53
Year-to-date Earnings	\$11,364.54