



# COMMISSION STATEMENT

## July 16, 2018

**TIMOTHY STATES**  
1629 K ST NW STE 300  
SUITE 300  
WASHINGTON, DC 20001

**BROKER #: A70**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/10/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	OPPENHEIMER GLOBAL VALUE FUND CL A BUY 203 Units @58.5500	11,899.99	565.25	18.00	547.25	75.0	410.44
07/10/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	THE GROWTH FUND OF AMERICA-A BUY 222 Units @58.4700	12,999.99	552.50	18.00	534.50	75.0	400.88
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$24,899.98</b>	<b>\$1,117.75</b>	<b>\$36.00</b>	<b>\$1,081.75</b>		<b>\$811.32</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
07/10/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	FACEBOOK INC COM USD0.000006 CL A BUY 40 Units @203.0270	8,121.08	10.00	9.39	0.61	75.0	0.46
07/10/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	FACEBOOK INC COM USD0.000006 CL A BUY 40 Units @202.6100	8,104.40	10.00	9.39	0.61	75.0	0.46
07/10/2018	***-**-587I - KEARNEY, FBO MS ACCOUNT #: LSR010590	FACEBOOK INC COM USD0.000006 CL A BUY 50 Units @202.8920	10,144.60	135.00	16.00	119.00	75.0	89.25
07/10/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	FACEBOOK INC COM USD0.000006 CL A BUY 4 Units @202.9100	811.64	10.80	15.08	-4.28	100.0	-4.28
07/10/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	FACEBOOK INC COM USD0.000006 CL A BUY 46 Units @202.9060	9,333.68	124.20	0.92	123.28	75.0	92.46
07/10/2018	***-**-66IR - STATES, FBO MR ACCOUNT #: LSF000280	MICROSOFT CORP UNSOLICITED ORDER EX BUY 83 Units @102.3170	8,492.31	10.00	8.99	1.01	75.0	0.76
07/10/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	MICROSOFT CORP UNSOLICITED ORDER EX SELL 81 Units @102.1950	8,277.80	10.00	8.99	1.01	75.0	0.76
07/10/2018	***-**-407I - LEWIS, FBO ACCOUNT #: LSR010564	MICROSOFT CORP UNSOLICITED ORDER EX BUY 100 Units @101.9350	10,193.50	135.00	18.00	117.00	75.0	87.75
07/10/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	MICROSOFT CORP UNSOLICITED ORDER EX BUY 100 Units @101.9150	10,191.50	135.00	18.00	117.00	75.0	87.75
07/10/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	MICROSOFT CORP UNSOLICITED ORDER EX BUY 150 Units @101.9460	15,291.90	150.00	18.00	132.00	75.0	99.00
07/10/2018	***-**-58IN - LUTZ, SAMUEL ACCOUNT #: LSR010594	MICROSOFT CORP UNSOLICITED ORDER EX BUY 100 Units @102.0100	10,201.00	135.00	18.00	117.00	75.0	87.75
07/10/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	MICROSOFT CORP UNSOLICITED ORDER EX BUY 100 Units @101.9500	10,195.00	135.00	18.00	117.00	75.0	87.75
07/10/2018	***-**-66IR - STATES, FBO MR ACCOUNT #: LSF000280	NEKTAR THERAPEUTICS SELL 100 Units @46.8910	4,689.10	10.00	9.99	0.01	75.0	0.01



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
07/10/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	NEKTAR THERAPEUTICS SELL 200 Units @46.9600	9,392.00	10.00	10.99	-0.99	100.0	-0.99
07/10/2018	***-**-407I - LEWIS, FBO ACCOUNT #: LSR010564	NEKTAR THERAPEUTICS SELL 100 Units @46.9790	4,697.90	54.00	17.00	37.00	75.0	27.75
07/10/2018	***-**-407I - LEWIS, FBO ACCOUNT #: LSR010564	NEKTAR THERAPEUTICS SELL 149 Units @46.9540	6,996.15	80.46	2.98	77.48	75.0	58.11
07/10/2018	***-**-407I - LEWIS, FBO ACCOUNT #: LSR010564	NEKTAR THERAPEUTICS SELL 1 Units @46.9790	46.98	0.54	0.02	0.52	75.0	0.39
07/10/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	NEKTAR THERAPEUTICS SELL 300 Units @46.7900	14,037.00	135.00	21.00	114.00	75.0	85.50
07/10/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	NEKTAR THERAPEUTICS SELL 350 Units @47.3840	16,584.40	135.00	22.00	113.00	75.0	84.75
07/10/2018	***-**-587I - KEARNEY, FBO MS ACCOUNT #: LSR010590	NEKTAR THERAPEUTICS SELL 150 Units @47.4400	7,116.00	135.00	18.00	117.00	75.0	87.75
07/10/2018	***-**-58IN - LUTZ, SAMUEL ACCOUNT #: LSR010594	NEKTAR THERAPEUTICS SELL 200 Units @47.3780	9,475.60	135.00	19.00	116.00	75.0	87.00
07/10/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	NEKTAR THERAPEUTICS SELL 300 Units @47.4750	14,242.50	135.00	21.00	114.00	75.0	85.50
07/10/2018	***-**-588I - CHAPMAN, MARY ACCOUNT #: LSR010647	NEKTAR THERAPEUTICS SELL 87 Units @46.9080	4,081.00	35.00	16.74	18.26	75.0	13.70
07/10/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	NEKTAR THERAPEUTICS SELL 200 Units @46.6620	9,332.40	135.00	19.00	116.00	75.0	87.00
07/10/2018	***-**-66IR - STATES, FBO MR ACCOUNT #: LSF000280	UNDER ARMOUR INC COM STK USD0.00033 SELL 180 Units @22.2300	4,001.40	10.00	10.79	-0.79	100.0	-0.79
<b>* STOCKS SUBTOTAL *</b>			<b>\$214,050.84</b>	<b>\$2,010.00</b>	<b>\$347.27</b>	<b>\$1,662.73</b>		<b>\$1,245.55</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
07/01/2018	***-**-067X - HIGHSMITH JR, ACCOUNT #: 00777137973	AIM CASH RESERVE SHARES BUY 0 Units @0.0000	0.00	0.54	0.00	0.54	75.0	0.41
07/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	AIM CASH RESERVE SHARES BUY 0 Units @0.0000	0.00	5.75	0.00	5.75	75.0	4.31
07/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	18.20	0.00	18.20	75.0	13.65



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/01/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: 00777135769	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	7.83	0.00	7.83	75.0	5.87
07/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	22.11	0.00	22.11	75.0	16.58
07/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	18.07	0.00	18.07	75.0	13.55
07/01/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 00777135535	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	24.91	0.00	24.91	75.0	18.68
07/01/2018	***-**-2398 - WEINSTEIN, ACCOUNT #: 00777135536	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	18.22	0.00	18.22	75.0	13.67
07/01/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: 00777135769	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	7.02	0.00	7.02	75.0	5.27
07/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	9.37	0.00	9.37	75.0	7.03
07/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	15.49	0.00	15.49	75.0	11.62
07/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	AIM MID-CAP EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	19.68	0.00	19.68	75.0	14.76
06/30/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 03211304425	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	53.85	0.00	53.85	75.0	40.39
07/01/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 00777135535	INVESCO EQUALLY WEIGHTED S&P 500 A BUY 0 Units @0.0000	0.00	13.59	0.00	13.59	75.0	10.19
07/01/2018	***-**-2398 - WEINSTEIN, ACCOUNT #: 00777135536	INVESCO EQUALLY WEIGHTED S&P 500 A BUY 0 Units @0.0000	0.00	9.93	0.00	9.93	75.0	7.45
07/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	INVESCO SMALL CAP EQUITY FUND-CLASS BUY 0 Units @0.0000	0.00	11.06	0.00	11.06	75.0	8.30
07/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	INVESCO VAN KAMPEN COMSTOCK CLASS A BUY 0 Units @0.0000	0.00	52.49	0.00	52.49	75.0	39.37
07/01/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: 00777135769	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	15.26	0.00	15.26	75.0	11.45
07/01/2018	***-**-067X - HIGHSMITH JR, ACCOUNT #: 00777137973	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	10.68	0.00	10.68	75.0	8.01



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	19.67	0.00	19.67	75.0	14.75
07/01/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 00777135535	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	24.01	0.00	24.01	75.0	18.01
07/01/2018	***-**-2398 - WEINSTEIN, ACCOUNT #: 00777135536	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	19.17	0.00	19.17	75.0	14.38
06/30/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 04206523594	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	5.50	0.00	5.50	75.0	4.13
06/30/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 02254200064	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	18.32	0.00	18.32	75.0	13.74
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$420.72</b>	<b>\$0.00</b>	<b>\$420.72</b>		<b>\$315.57</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$238,950.82</b>	<b>\$3,548.47</b>	<b>\$383.27</b>	<b>\$3,165.20</b>		<b>\$2,372.44</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$2,372.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$2,372.44</b>
<b>Year-to-date Earnings</b>	<b>\$50,636.14</b>