



All Companies

02/14/2018
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COMMISSION STATEMENT February 15, 2018

PAUL M. THOMPSON
15455 Dallas Parkway, Ste.600

BROKER #: 9114
BRANCH: HOME OFFICE

Addison, TX 75001

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
01/29/2018 00063808760	DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	66.00	1.06	0.00	1.06	90.0	0.95
01/29/2018 00063808760	DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	220.00	3.52	0.00	3.52	90.0	3.17
01/30/2018 00064108265	VELDE, JOHN	AMERICAN FUNDS BALANCED FD	B	4,889.91	183.37	0.00	183.37	90.0	165.03
01/25/2018 00064607901	LARSON JT TEN, JEFFREY	AMERICAN FUNDS BALANCED FD	B	1,000.00	27.50	0.00	27.50	90.0	24.75
02/05/2018 00068899251	SHERTZER, LUKE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.38	0.00	1.38	90.0	1.24
02/09/2018 00069189752	LEIGH A PEACOCK, P	THE INVESTMENT COMPANY OF AMERICA-5	B	50.00	2.13	0.00	2.13	90.0	1.92
02/05/2018 00074947376	MALLERY, MARLA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	125.00	5.31	0.00	5.31	90.0	4.78
02/05/2018 00082816231	SHERTZER, LUKE	CAPITAL INCOME BUILDER-529A	B	50.00	1.38	0.00	1.38	90.0	1.24
02/05/2018 00085609264	BERGER, PRIO	AMERICAN FUNDS BALANCED FD	B	250.00	12.50	0.00	12.50	90.0	11.25
02/05/2018 00085609327	NEAL, JYNETTE	AMERICAN FUNDS GROWTH FUND OF AMERI	B	75.00	2.81	0.00	2.81	90.0	2.53
02/05/2018 00085609327	NEAL, JYNETTE	AMERICAN FUNDS WA MUTUAL	INVEST B	75.00	2.81	0.00	2.81	90.0	2.53
02/05/2018 00086561092	WISNIEWSKI, ANDREW	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
M.									
01/29/2018 00087104938	RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	81.90	1.31	0.00	1.31	90.0	1.18
01/29/2018 00087104938	RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	136.50	2.18	0.00	2.18	90.0	1.96
01/29/2018 00087104958	BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.45	0.00	1.45	90.0	1.31
01/29/2018 00087104958	BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.45	0.00	1.45	90.0	1.31
01/29/2018 00088535675	CARPENTER, TERRY	AMERICAN FUNDS INVESTMENT COMP OF A	B	80.00	1.28	0.00	1.28	90.0	1.15
D.									
01/29/2018 00088535675	CARPENTER, TERRY	AMERICAN FUNDS INVESTMENT COMP OF A	B	80.00	1.28	0.00	1.28	90.0	1.15
D.									
01/29/2018 00089211120	BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	1.10	0.00	1.10	90.0	0.99
01/29/2018 00089211120	BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	1.10	0.00	1.10	90.0	0.99
02/01/2018 00089423266	MONTIEL, MELISSA	AMERICAN FUNDS BALANCED FD	B	300.00	15.00	0.00	15.00	90.0	13.50
DYSON									
02/01/2018 00089423266	MONTIEL, MELISSA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	5.00	0.00	5.00	90.0	4.50
DYSON									
01/25/2018 5501744996	LARSON, JEFFREY	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	400.00	12.00	0.00	12.00	90.0	10.80
01/25/2018 5501745018	LARSON, DEBORAH	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	400.00	12.00	0.00	12.00	90.0	10.80
* MUTUAL FUNDS SUBTOTAL *				\$8,797.63	\$299.42	\$0.00	\$299.42		\$269.48

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BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

02/01/2018	BROWN, PEGGY A	BRIGHTHOUSE FINANCIAL	B	2,000.00	80.00	0.00	80.00	90.0	72.00
02/01/2018	BROWN, PEGGY A	BRIGHTHOUSE FINANCIAL	B	1,280.59	38.41	0.00	38.41	90.0	34.57
* VARIABLE ANNUITIES SUBTOTAL *				\$3,280.59	\$118.41	\$0.00	\$118.41		\$106.57

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	332.60	0.00	332.60	90.0	299.33
02/15/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES		0.00	8.76	0.00	8.76	90.0	7.88
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$341.36	\$0.00	\$341.36		\$307.21
*** BROKER TRANSACTIONS TOTAL ***				\$12,078.22	\$759.19	\$0.00	\$759.19		\$683.26

ADJUSTMENTS

02/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$683.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$655.76
Year-to-date Earnings	\$82,177.32