



All Companies

06/14/2018

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# COMMISSION STATEMENT

June 15, 2018

JOHN HOWARD RUSSELL  
4618 118TH STREET

BROKER #: 9632  
BRANCH: HOME OFFICE

Lubbock, TX 79424-

## COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

06/04/2018	00064112804	FORD, LAVOID	AMERICAN FUNDS CAPITAL WORLD GROWT B		333.33	9.17	0.00	9.17	85.0	7.79
05/22/2018	00083499515	SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN B		200.00	2.00	0.00	2.00	85.0	1.70
06/08/2018	00083499515	SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN B		200.00	2.00	0.00	2.00	85.0	1.70
06/01/2018	00083626829	SEYMORE, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN B		150.00	1.50	0.00	1.50	85.0	1.28
06/05/2018	00084635934	GIBSON/KIDD, ANTHONY/RYLAN	FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	85.0	1.70
05/21/2018	00085797272	RUSSELL/PEEPLES, DAVID/HAVEN	AMERICAN BALANCED FUND-529A	B	50.00	1.38	0.00	1.38	85.0	1.17
05/21/2018	00085797295	RUSSELL/PEEPLES, DAVID/KATE	NEW PERSPECTIVE FUND-529A	B	50.00	1.38	0.00	1.38	85.0	1.17
05/21/2018	00085797300	RUSSELL/PEEPLES, DAVID/ELLE	NEW PERSPECTIVE FUND-529A	B	50.00	1.38	0.00	1.38	85.0	1.17
05/21/2018	00087000587	MORGAN, CHERIE/CHASE	CAPITAL INCOME BUILDER-529C	B	100.00	1.00	0.00	1.00	85.0	0.85
05/21/2018	00087000599	MORGAN, CHERIE/PIERCE	CAPITAL INCOME BUILDER-529C	B	100.00	1.00	0.00	1.00	85.0	0.85
06/04/2018	00087214046	WATSON, CROSBY/BETSY	CAPITAL WORLD GROWTH AND INCOME FUN B		25.00	0.25	0.00	0.25	85.0	0.21
06/06/2018	00087621936	MORRIS, JACKSON	FUNDAMENTAL INVESTORS-C	B	25.00	0.25	0.00	0.25	85.0	0.21
05/25/2018	00088263914	GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14	85.0	0.12
05/25/2018	00088263914	GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14	85.0	0.12
06/04/2018	00088263914	GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14	85.0	0.12
06/04/2018	00088263914	GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14	85.0	0.12
05/25/2018	00088263914	GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28	85.0	0.24
05/25/2018	00088263914	GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28	85.0	0.24
06/04/2018	00088263914	GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28	85.0	0.24
06/04/2018	00088263914	GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28	85.0	0.24
05/25/2018	00088263934	DUNN, JOSEPH C.	FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
05/25/2018	00088263934	DUNN, JOSEPH C.	FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
06/04/2018	00088263934	DUNN, JOSEPH C.	FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
06/04/2018	00088263934	DUNN, JOSEPH C.	FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/25/2018	00088263934	DUNN, JOSEPH C.		NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38 85.0 0.32
05/25/2018	00088263934	DUNN, JOSEPH C.		NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38 85.0 0.32
06/04/2018	00088263934	DUNN, JOSEPH C.		NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38 85.0 0.32
06/04/2018	00088263934	DUNN, JOSEPH C.		NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38 85.0 0.32
05/25/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
05/25/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
06/04/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
06/04/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
05/25/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
05/25/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
06/04/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
06/04/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
05/25/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
05/25/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
06/04/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
06/04/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
05/25/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
05/25/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
06/04/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
06/04/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
05/25/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
05/25/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
06/04/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
06/04/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
05/25/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
05/25/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
06/04/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
06/04/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
05/25/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
05/25/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
06/04/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
06/04/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
05/25/2018	00088270587	MILLER, CLIFFORD		THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22 85.0 0.19
05/25/2018	00088270587	MILLER, CLIFFORD		THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22 85.0 0.19

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/04/2018	00088270587 MILLER, CLIFFORD C.	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
06/04/2018	00088270587 MILLER, CLIFFORD C.	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
05/25/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	4.36	0.04	0.00	0.04	85.0	0.03
05/25/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	15.00	0.15	0.00	0.15	85.0	0.13
06/04/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	4.36	0.04	0.00	0.04	85.0	0.03
06/04/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	15.00	0.15	0.00	0.15	85.0	0.13
05/25/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	4.35	0.04	0.00	0.04	85.0	0.03
05/25/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	15.00	0.15	0.00	0.15	85.0	0.13
06/04/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	4.35	0.04	0.00	0.04	85.0	0.03
06/04/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	15.00	0.15	0.00	0.15	85.0	0.13
05/25/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	85.0	0.97
05/25/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	85.0	0.97
06/04/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	85.0	0.97
06/04/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	85.0	0.97
05/25/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
05/25/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
06/04/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
06/04/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
05/25/2018	00088495479 SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	13.88	0.14	0.00	0.14	85.0	0.12
05/25/2018	00088495479 SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	13.87	0.14	0.00	0.14	85.0	0.12
06/04/2018	00088495479 SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	13.88	0.14	0.00	0.14	85.0	0.12
06/04/2018	00088495479 SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	13.87	0.14	0.00	0.14	85.0	0.12
05/25/2018	00088495479 SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.93	0.07	0.00	0.07	85.0	0.06
05/25/2018	00088495479 SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.94	0.07	0.00	0.07	85.0	0.06
06/04/2018	00088495479 SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.93	0.07	0.00	0.07	85.0	0.06
06/04/2018	00088495479 SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.94	0.07	0.00	0.07	85.0	0.06
05/25/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
05/25/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
06/04/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
06/04/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
05/25/2018	00089144953 RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
05/25/2018	00089144953 RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
06/04/2018	00089144953 RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
06/04/2018	00089144953 RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
05/25/2018	00089680678	CHAMPION, AUBREY J.		THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25 85.0 0.21
05/25/2018	00089680678	CHAMPION, AUBREY J.		THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25 85.0 0.21
06/04/2018	00089680678	CHAMPION, AUBREY J.		THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25 85.0 0.21
06/04/2018	00089680678	CHAMPION, AUBREY J.		THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25 85.0 0.21
06/01/2018	00089985511	YOUNG, VICTORIA JOBE		FUNDAMENTAL INVESTORS-C	B	100.00	1.00	0.00	1.00 85.0 0.85
05/25/2018	04000175390	MCADAMS, NICHOLAS W.		THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33 85.0 0.28
05/25/2018	04000175390	MCADAMS, NICHOLAS W.		THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33 85.0 0.28
06/04/2018	04000175390	MCADAMS, NICHOLAS W.		THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33 85.0 0.28
06/04/2018	04000175390	MCADAMS, NICHOLAS W.		THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33 85.0 0.28
06/04/2018	04000234902	OTT, BRIAN L.		FUNDAMENTAL INVESTORS-529A	B	250.00	12.50	0.00	12.50 85.0 10.63
05/22/2018	04000273061	TORREZ, HEATHER		FUNDAMENTAL INVESTORS-529C	B	375.00	3.75	0.00	3.75 85.0 3.19
05/25/2018	04000365188	BISHOP, JILL R.		NEW PERSPECTIVE FUND-C	B	18.78	0.19	0.00	0.19 85.0 0.16
05/25/2018	04000365188	BISHOP, JILL R.		NEW PERSPECTIVE FUND-C	B	18.78	0.19	0.00	0.19 85.0 0.16
06/04/2018	04000365188	BISHOP, JILL R.		NEW PERSPECTIVE FUND-C	B	18.08	0.18	0.00	0.18 85.0 0.15
06/04/2018	04000365188	BISHOP, JILL R.		NEW PERSPECTIVE FUND-C	B	18.08	0.18	0.00	0.18 85.0 0.15
05/25/2018	04000531880	SOLIZ, OSCAR		CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23 85.0 0.20
05/25/2018	04000531880	SOLIZ, OSCAR		CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23 85.0 0.20
06/04/2018	04000531880	SOLIZ, OSCAR		CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23 85.0 0.20
06/04/2018	04000531880	SOLIZ, OSCAR		CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23 85.0 0.20
05/25/2018	04000660400	TAYLOR, ROBERT T.		AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.28	0.48	0.00	0.48 85.0 0.41
05/25/2018	04000660400	TAYLOR, ROBERT T.		AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.28	0.48	0.00	0.48 85.0 0.41
06/04/2018	04000660400	TAYLOR, ROBERT T.		AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.09	0.47	0.00	0.47 85.0 0.40
06/04/2018	04000660400	TAYLOR, ROBERT T.		AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.09	0.47	0.00	0.47 85.0 0.40
06/04/2018	04000993983	FITTS, DOUGLAS		AMERICAN FUNDS NEW ECONOMY FD	B	5.00	0.14	0.00	0.14 85.0 0.12
06/04/2018	04000993983	FITTS, DOUGLAS		AMERICAN FUNDS NEW ECONOMY FD	B	5.00	0.14	0.00	0.14 85.0 0.12
				<b>* MUTUAL FUNDS SUBTOTAL *</b>		<b>\$4,612.97</b>	<b>\$66.16</b>	<b>\$0.00</b>	<b>\$66.16 \$56.27</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
06/01/2018	TRAILS	-JOHN HANCOCK			B	15.33	15.33	0.00	15.33 85.0 13.03
				<b>* VARIABLE ANNUITIES SUBTOTAL *</b>		<b>\$15.33</b>	<b>\$15.33</b>	<b>\$0.00</b>	<b>\$15.33 \$13.03</b>

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/15/2018 TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES	0.00	3,138.34	0.00	3,138.34	85.0	2,667.65
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$3,138.34	\$0.00	\$3,138.34		\$2,667.65
	*** BROKER TRANSACTIONS TOTAL ***	\$4,628.30	\$3,219.83	\$0.00	\$3,219.83		\$2,736.95

ADJUSTMENTS

06/15/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
06/15/2018	MISC: FWC HOTEL 5/2 - 5/3/18	0.00	0.00	0.00	0.00	-438.35
06/15/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-565.85

BROKER COMMISSION TOTALS

Broker Transactions	\$2,736.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-565.85
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.04

Check Amount	\$2,171.14
Year-to-date Earnings	\$26,009.65