

**COMMISSION STATEMENT****August 31, 2018**

DANIEL, R132 RAUPP
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: R132
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/19/2018	LIEBMAN, FRANCES	TRANSAMERICA LIBERTY	0.00	218.15	0.00	218.15	97.0	211.61
07/29/2018	TRAINOR, EDWARD	TRANSAMERICA LIBERTY	0.00	45.72	0.00	45.72	97.0	44.35
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$263.87	\$0.00	\$263.87		\$255.96
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$263.87	\$0.00	\$263.87		\$255.96

SPLIT TRANSACTIONS**BROKER: JEFFREY/DAN FT- BUM NY KIESNOSKI #253**

08/20/2018	DEGREGORIO, JOHN	CERAGON NETWORKS LTD REG SHS ISIN#I	6,679.40	7.50	6.25	1.25	97.0	1.21
08/10/2018	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	-6,299.84	5.50	5.50	0.00	97.0	0.00
* JEFFREY/DAN FT- BUM NY KIESNOSKI SUBTOTAL *			\$379.56	\$13.00	\$11.75	\$1.25		\$1.21

BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254

08/02/2018	O'HARA, LORRAINE	LFG CHOICEPLUS ASSURANCE	-75,804.51	-1,525.57	0.00	-1,525.57	97.0	-1,479.80
08/02/2018	O'HARA, LORRAINE	LFG CHOICEPLUS ASSURANCE	151,609.02	3,051.13	0.00	3,051.13	97.0	2,959.60
* DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF SUBTOTAL *			\$75,804.51	\$1,525.56	\$0.00	\$1,525.56		\$1,479.80

BROKER: DAN/JON PAUL FT- J1D NY RAUPP/CIR #255

08/15/2018	PICCIRILLO, ALEXANDER	ALTRIA GROUP INC COM	-6,023.50	0.00	11.25	-11.25	97.0	-10.91
08/15/2018	PICCIRILLO, ALEXANDER	AMAZON COM INC	-18,790.01	0.00	11.25	-11.25	97.0	-10.91
* DAN/JON PAUL FT- J1D NY RAUPP/CIR SUBTOTAL *			\$-24,813.51	\$0.00	\$22.50	\$-22.50		\$-21.82

BROKER: DAN/JON PAUL FT-R138 RAUPP/CIRELL #350

08/16/2018	PEN TWIN LLC	EXCHANGERIGHT NET LEASED PF 22 DST	500,000.00	24,375.00	0.00	24,375.00	97.0	23,643.75
08/10/2018	TRINKLEIN, FRITZ	VANGUARD INTERMED TERM CORP BOND IN	34,000.00	0.00	16.50	-16.50	97.0	-16.01
* DAN/JON PAUL FT-R138 RAUPP/CIRELL SUBTOTAL *			\$534,000.00	\$24,375.00	\$16.50	\$24,358.50		\$23,627.74

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K #381</u>								
08/06/2018	MIZE, ROBERT	INLAND COLORADO MF PF IV DST	99,941.39	3,597.88	0.00	3,597.88	97.0	3,489.94
08/06/2018	MIZE, ROBERT	INLAND ZERO COUPON CHICAGOLAND DST	39,642.00	1,189.26	0.00	1,189.26	97.0	1,153.58
08/06/2018	MIZE, ROBERT	INLAND ZERO COUPON CHICAGOLAND DST	20,000.00	600.00	0.00	600.00	97.0	582.00
* DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K SUBTOTAL *			\$159,583.39	\$5,387.14	\$0.00	\$5,387.14		\$5,225.52
<u>BROKER: DAN/JEFF/JONPAU FT - R148 RAUP/KIES #446</u>								
08/07/2018	HURLEY, NANCY	CF ARROW CANYON MULTIFAMILY DST	300,000.00	7,200.00	0.00	7,200.00	97.0	6,984.00
08/09/2018	HURLEY, NANCY	STARBOARD BADGER MOUNTAIN RANCH	200,000.00	4,800.00	0.00	4,800.00	97.0	4,656.00
* DAN/JEFF/JONPAU FT - R148 RAUP/KIES SUBTOTAL *			\$500,000.00	\$12,000.00	\$0.00	\$12,000.00		\$11,640.00
*** SPLIT TRANSACTIONS TOTAL ***			\$1,244,953.95	\$43,300.70	\$50.75	\$43,249.95		\$41,952.45

VERRIDE TRANSACTIONS

<u>BROKER: JON PAUL, C141 CIRELLI #246</u>								
07/31/2018	KISSIL, GEORGE	GRIFFIN INST'L ACCESS R E FUND	16,474.07	3.50	0.00	3.50	97.0	0.05
* JON PAUL, C141 CIRELLI SUBTOTAL *			\$16,474.07	\$3.50	\$0.00	\$3.50		\$0.05
<u>BROKER: ALAN, L133 LICHTENSTEIN #249</u>								
08/13/2018	SANTOS, TEODOCIA	PRUDENTIALDEFINED INCOME	50,000.00	1,875.00	0.00	1,875.00	75.0	206.25
* ALAN, L133 LICHTENSTEIN SUBTOTAL *			\$50,000.00	\$1,875.00	\$0.00	\$1,875.00		\$206.25
*** OVERRIDE TRANSACTIONS TOTAL ***			\$66,474.07	\$1,878.50	\$0.00	\$1,878.50		\$206.30

ADJUSTMENTS

08/31/2018		*MISC: C FULMER, A LEEDS K141	0.00					540.00
08/31/2018		*MISC: C FULMER, A LEEDS C141	0.00					540.00
08/31/2018		*MISC: MISC ROY, AFLAC, 1031DST	0.00					1,326.00
08/31/2018		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
08/31/2018		*MISC: S BURNS TO C141	0.00					-150.00
08/31/2018		*MISC: SCHWAB CT# 7108456 PASSCO	0.00					8,100.00
		NONCASH REIMBURSEMENT						
08/31/2018		*MISC: SCHWAB CT# 7135826 PASSCO	0.00					3,000.00
		NONCASH REIMBURSEMENT						

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ADJUSTMENTS

08/31/2018		*MISC: T THOMPSON TO C141	0.00					-375.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$14,107.00

BROKER COMMISSION TOTALS

Broker Transactions	\$255.96
Split Transactions	\$41,952.45
Override Transactions	\$206.30
Adjustments	\$14,107.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$56,521.71
Year-to-date Gross	\$1,370,542.99
Year-to-date Earnings	\$1,373,867.26

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