

**COMMISSION STATEMENT****January 18, 2018**

TRACY CUDA  
 3200 N. Central Ave  
 Suite 200  
 Phoenix, AZ 85012

BROKER #: 73670  
 BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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**Company: Securities America****BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

01/18/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-605.00	0.00	-605.00	-221.13
01/18/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	929.00	0.00	929.00	339.55
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$324.00</u>	<u>\$0.00</u>	<u>\$324.00</u>	<u>\$118.42</u>

**PRODUCT CATEGORY: TRAILERS**

01/18/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	334.57	0.00	334.57	122.29
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$334.57</u>	<u>\$0.00</u>	<u>\$334.57</u>	<u>\$122.29</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$658.57</u>	<u>\$0.00</u>	<u>\$658.57</u>	<u>\$240.71</u>

**OVERRIDE TRANSACTIONS****BROKER: JODI GALLOVICH #73712**

01/18/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	10,110.67	0.00	10,110.67	1,359.89
01/18/2018	GALLOVICH (73712), JODI	SAI.TRAILS	0.00	2,620.81	0.00	2,620.81	352.50
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$12,731.48</u>	<u>\$0.00</u>	<u>\$12,731.48</u>	<u>\$1,712.39</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$12,731.48</u>	<u>\$0.00</u>	<u>\$12,731.48</u>	<u>\$1,712.39</u>

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$240.71
							Split Transactions \$0.00
							Override Transactions \$1,712.39
							Adjustments \$0.00
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$1,953.10</u>
							<u>Year-to-date Earnings \$26,689.52</u>