

All Companies
STATEMENT
April 20, 2018

MICHAEL GREER - LPCM
9445 Indianfield Dr

BROKER #: 3029
BRANCH: LA BRANCH

Mechanicsville, VA 23116-

COMMISSION STATEMENT for MICHAEL GREER - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
03/05/2018 #	BUTTS, PATRICIA	HARTFORD MUT FDS INC BALANCED INCOM S		50,658.09	0.00	0.00	0.00	0.00	85.0	0.00
03/19/2018 #	PORTER, JOAN	FIDELITY SELECT HEALTH CARE PORTFOL B		32,688.00	0.00	0.00	0.00	0.00	85.0	0.00
03/19/2018 #	PORTER, JOAN	FIDELITY SELECT IT SERVICES B		43,267.00	0.00	0.00	0.00	0.00	85.0	0.00
03/19/2018 #	MITCHELL, STEPHEN	HARTFORD MUT FDS INC BALANCED INCOM B		3,978.00	0.00	0.00	0.00	0.00	85.0	0.00
03/19/2018 #	MITCHELL, STEPHEN	PROFUNDS CONSUMER GOODS ULTRASECTOR B		28,553.00	0.00	0.00	0.00	0.00	85.0	0.00
03/19/2018 #	BALLARD, RODNEY	VALUE LINE ASSET ALLOCATION FUND IN B		10,000.00	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018 #	MCGRRAW, DALTON	FIDELITY SELECT HEALTH CARE PORTFOL S		2,662.21	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018 #	MCGRRAW, DALTON	FIDELITY SELECT IT SERVICES S		4,020.46	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018 #	MCGRRAW, DALTON	HARTFORD MUT FDS INC BALANCED INCOM S		7,160.01	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018 #	MCGRRAW, DALTON	HARTFORD MUT FDS INC BALANCED INCOM S		40,931.00	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018 #	MCGRRAW, DALTON	PARNANNUS INCOME TRUST S		3,810.49	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018 #	MCGRRAW, DALTON	PARNANNUS INCOME TRUST S		22,262.45	0.00	0.00	0.00	0.00	85.0	0.00
03/27/2018 #	WINSTON, YOLANDA	FIDELITY CAPITAL & INCOME FUND B		23,674.00	0.00	0.00	0.00	0.00	85.0	0.00
03/27/2018 #	WARE, WILLIAM	HARTFORD MUT FDS INC BALANCED INCOM S		7,114.45	0.00	0.00	0.00	0.00	85.0	0.00
03/27/2018 #	WINSTON, YOLANDA	MORGAN STANLEY INST FD TRUST CORPOR S		55,586.41	0.00	0.00	0.00	0.00	85.0	0.00
03/27/2018 #	WINSTON, YOLANDA	PROFUNDS CONSUMER GOODS ULTRASECTOR B		2,065.00	0.00	0.00	0.00	0.00	85.0	0.00
03/27/2018 #	WINSTON, YOLANDA	VALUE LINE ASSET ALLOCATION FUND IN B		6,801.00	0.00	0.00	0.00	0.00	85.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$345,231.57	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>										
02/28/2018 #	WARE, WILLIAM	HOME DEPOT INC S		37,125.44	0.00	0.00	0.00	0.00	85.0	0.00
03/09/2018 #	WARE, WILLIAM	AMERICAN ELECTRIC POWER CO INC S		9,233.16	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018 #	MATHIS, VINCENT	EATON VANCE ENHANCED EQUITY INCOME S		57,124.00	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018 #	MATHIS, VINCENT	MAIN STREET CAPITAL CORPORATION S		19,364.89	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018 #	MATHIS, VINCENT	MOSAIC COMPANY NEW S		6,358.15	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018 #	MATHIS, VINCENT	PROSHARES TR II S		13,369.52	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018 #	MATHIS, VINCENT	PROSHARES TR II PROSHARES ULTRASHOR S		13,492.00	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018 #	MATHIS, VINCENT	PROSHARES ULTRASHORT OIL & GAS S		16,109.44	0.00	0.00	0.00	0.00	85.0	0.00
* STOCKS SUBTOTAL *				\$172,176.60	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
03/01/0018	#87706565	ENVESTNET	B	0.00	43.44	0.00	0.00	43.44	85.0	36.92
03/01/0018	#87706862	BOYKIN, THOMAS	B	0.00	-43.86	0.00	0.00	-43.86	85.0	-37.28
03/01/0018	#87706479	DAMIAN, KEVIN	B	0.00	-37.68	0.00	0.00	-37.68	85.0	-32.03
03/01/0018	#87706632	TUMLIN, THOMAS	B	0.00	144.15	0.00	0.00	144.15	85.0	122.53
03/01/0018	#87706632	TUMLIN, THOMAS	B	0.00	8.16	0.00	0.00	8.16	85.0	6.94
03/01/0018	#87706509	WARE, WILLIAM	B	0.00	223.91	0.00	0.00	223.91	85.0	190.32
03/01/0018	#87706507	WARE, WILLIAM	B	0.00	-223.94	0.00	0.00	-223.94	85.0	-190.35
* RIA SUBTOTAL *				\$0.00	\$114.18	\$0.00	\$0.00	\$114.18		\$97.05

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/28/2018	#	WINSTON, YOLANDA	DAIN TRAILER	S	628.00	0.00	0.00	0.00	0.00	85.0	0.00
03/09/2018	#	BATEMAN, WOODROW	DAIN TRAILER	B	0.00	8.18	0.00	0.00	8.18	85.0	6.95
03/09/2018	#	DAMIAN, SHELLEY	DAIN TRAILER	B	0.00	0.73	0.00	0.00	0.73	85.0	0.62
03/09/2018	#	WARE, WILLIAM	DAIN TRAILER	B	0.00	7.78	0.00	0.00	7.78	85.0	6.61
03/09/2018	#	WARE, WILLIAM	DAIN TRAILER	B	0.00	1.58	0.00	0.00	1.58	85.0	1.34
03/09/2018	#	MINTER, ARTHUR	DAIN TRAILER	B	0.00	4.99	0.00	0.00	4.99	85.0	4.24
03/09/2018	#	DUEGAW, DAVID	DAIN TRAILER	B	0.00	50.19	0.00	0.00	50.19	85.0	42.66
03/09/2018	#	TUMLIN, JUDITH	DAIN TRAILER	B	0.00	0.85	0.00	0.00	0.85	85.0	0.72
03/13/2018	#	BOYKIN, THOMAS	DAIN TRAILER	B	12,800.00	0.00	0.00	0.00	0.00	85.0	0.00
03/13/2018	#	BOYKIN, NANCY	DAIN TRAILER	B	10,800.00	0.00	0.00	0.00	0.00	85.0	0.00
03/13/2018	#	WINSTON, YOLANDA	DAIN TRAILER	B	30,000.00	0.00	0.00	0.00	0.00	85.0	0.00
03/13/2018	#	WINSTON, YOLANDA	DAIN TRAILER	B	30,000.00	0.00	0.00	0.00	0.00	85.0	0.00
03/13/2018	#	WINSTON, YOLANDA	DAIN TRAILER	B	30,000.00	29.00	0.00	0.00	29.00	85.0	24.65
03/19/2018	#	BALLARD, RODNEY	DAIN TRAILER	B	5,000.00	0.00	0.00	0.00	0.00	85.0	0.00
03/19/2018	#	PORTER, JOAN	DAIN TRAILER	S	32,215.74	0.00	0.00	0.00	0.00	85.0	0.00
03/19/2018	#	BALLARD, RODNEY	DAIN TRAILER	B	3,000.00	0.00	0.00	0.00	0.00	85.0	0.00
03/19/2018	#	PORTER, JOAN	DAIN TRAILER	S	32,416.49	0.00	0.00	0.00	0.00	85.0	0.00
03/19/2018	#	BALLARD, RODNEY	DAIN TRAILER	B	5,000.00	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018	#	MCGRAW, DALTON	DAIN TRAILER	S	5,533.75	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018	#	MCGRAW, DALTON	DAIN TRAILER	S	20,165.15	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018	#	MCGRAW, DALTON	DAIN TRAILER	B	2,938.00	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018	#	MCGRAW, DALTON	DAIN TRAILER	B	2,938.00	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018	#	MCGRAW, DALTON	DAIN TRAILER	B	6,689.00	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018	#	MCGRAW, DALTON	DAIN TRAILER	B	33,353.00	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018	#	MCGRAW, DALTON	DAIN TRAILER	B	2,521.00	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018	#	MCGRAW, DALTON	DAIN TRAILER	B	13,544.00	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018	#	WARE, WILLIAM	DAIN TRAILER	S	25,359.53	0.00	0.00	0.00	0.00	85.0	0.00
03/21/2018	#	TUMLIN, THOMAS	DAIN TRAILER	S	45,885.61	0.00	0.00	0.00	0.00	85.0	0.00
03/27/2018	#	WINSTON, YOLANDA	DAIN TRAILER	S	29,438.00	0.00	0.00	0.00	0.00	85.0	0.00
03/27/2018	#	WINSTON, YOLANDA	DAIN TRAILER	S	30,666.00	0.00	0.00	0.00	0.00	85.0	0.00

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/27/2018 # WINSTON, YOLANDA	DAIN TRAILER	S	26,760.00	0.00	0.00	0.00	0.00	85.0	0.00
03/27/2018 # WINSTON, YOLANDA	DAIN TRAILER	B	33,766.00	0.00	0.00	0.00	0.00	85.0	0.00
03/27/2018 # WINSTON, YOLANDA	DAIN TRAILER	S	2,560.57	0.00	0.00	0.00	0.00	85.0	0.00
03/27/2018 # WINSTON, YOLANDA	DAIN TRAILER	B	1,956.00	0.00	0.00	0.00	0.00	85.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$475,933.84	\$103.30	\$0.00	\$0.00	\$103.30		\$87.79
*** BROKER TRANSACTIONS TOTAL ***			\$993,342.01	\$217.48	\$0.00	\$0.00	\$217.48		\$184.84

ADJUSTMENTS

04/20/2018	ADMIN FEE: ADMIN FEE								-320.00
04/20/2018	DOCUSIGN: DOCUSIGN								-51.00
04/20/2018	E & O INSURANCE: E & O INSURANCE								-179.00
*** ADJUSTMENTS TOTAL ***									\$-550.00

BROKER COMMISSION TOTALS

Broker Transactions	\$184.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-550.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,549.22
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward \$-1,914.38