

All Companies  
**STATEMENT**  
**August 20, 2018**

ERIK EXISTING DODDS-LPCM

BROKER #: 3055  
BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	---------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

06/01/2018 #92118365601	CHORAK, PATRICK	FTJ	B	0.00	0.94	0.00	0.00	0.94	89.0	0.84
06/01/2018 #92129232201	MORTON, DARLA	FTJ	B	0.00	0.35	0.00	0.00	0.35	89.0	0.31
07/01/2018 #87706989	ALI, ASAD	ENVESTNET	B	233,326.95	226.43	0.00	0.00	226.43	89.0	201.52
07/01/2018 #87707079	ALTMAN, CONNIE	ENVESTNET	B	58,567.49	104.88	0.00	0.00	104.88	89.0	93.34
07/01/2018 #87707131		ENVESTNET	B	249,997.24	242.60	0.00	0.00	242.60	89.0	215.91
07/01/2018 #87707134		ENVESTNET	B	39,912.17	38.32	0.00	0.00	38.32	89.0	34.10
07/01/2018 #87707133		ENVESTNET	B	40,561.35	128.00	0.00	0.00	128.00	89.0	113.92
07/01/2018 #87706924	CHU, WILLIAM	ENVESTNET	B	256,331.38	77.52	0.00	0.00	77.52	89.0	68.99
07/01/2018 #87706921	CHU, WILLIAM	ENVESTNET	B	271,399.24	211.03	0.00	0.00	211.03	89.0	187.82
07/01/2018 #87706920	CHU, WILLIAM	ENVESTNET	B	531,211.26	413.08	0.00	0.00	413.08	89.0	367.64
07/01/2018 #87707096		ENVESTNET	B	87,997.84	203.49	0.00	0.00	203.49	89.0	181.11
07/01/2018 #87707225		ENVESTNET	B	37,741.38	76.23	0.00	0.00	76.23	89.0	67.84
07/01/2018 #87707008	DAVENPORT, BLAKE	ENVESTNET	B	25,425.54	3.10	0.00	0.00	3.10	89.0	2.76
07/01/2018 #87707081	DITTMANN, WALTER	ENVESTNET	B	67,957.42	218.45	0.00	0.00	218.45	89.0	194.42
07/01/2018 #87707080	DITTMANN, TERESE	ENVESTNET	B	121,891.21	284.93	0.00	0.00	284.93	89.0	253.59
07/01/2018 #87707012	DOULOS, MICHAEL	ENVESTNET	B	31,746.25	21.10	0.00	0.00	21.10	89.0	18.78
07/01/2018 #87706986	EDWARDS, HAROLD	ENVESTNET	B	69,029.66	40.29	0.00	0.00	40.29	89.0	35.86
07/01/2018 #87706992	FRALEY, JACQUELINE	ENVESTNET	B	29,963.51	38.68	0.00	0.00	38.68	89.0	34.43
07/01/2018 #87707075	GALLO, SEBASTIANO	ENVESTNET	B	238,491.62	330.62	0.00	0.00	330.62	89.0	294.25
07/01/2018 #87707076	GALLO, SONNIA	ENVESTNET	B	52,739.56	54.30	0.00	0.00	54.30	89.0	48.33
07/01/2018 #87706919	GAY, LEO	ENVESTNET	B	35,318.27	102.49	0.00	0.00	102.49	89.0	91.22
07/01/2018 #87707124		ENVESTNET	B	24,150.53	29.90	0.00	0.00	29.90	89.0	26.61
07/01/2018 #87707064	GOLUBOFF, VICTORIA	ENVESTNET	B	46,211.39	140.35	0.00	0.00	140.35	89.0	124.91
07/01/2018 #87706998	HOKAMA, WAYSON	ENVESTNET	B	74,698.36	105.78	0.00	0.00	105.78	89.0	94.14
07/01/2018 #87706946	HOKAMA, WAYSON	ENVESTNET	B	20,543.31	4.72	0.00	0.00	4.72	89.0	4.20
07/01/2018 #87706987	IDEKER, CHRIS	ENVESTNET	B	50,821.85	81.84	0.00	0.00	81.84	89.0	72.84
07/01/2018 #87707156		ENVESTNET	B	189,427.20	252.15	0.00	0.00	252.15	89.0	224.41
07/01/2018 #87706976	MARIANO, RUSSELL	ENVESTNET	B	155,441.33	224.12	0.00	0.00	224.12	89.0	199.47
07/01/2018 #87706970	MARIANO, DANIELLE	ENVESTNET	B	155,687.37	222.89	0.00	0.00	222.89	89.0	198.37
07/01/2018 #87706926	MILLER, DANIEL	ENVESTNET	B	215,498.84	187.40	0.00	0.00	187.40	89.0	166.79
07/01/2018 #87706975	MORRELL, BRIAN	ENVESTNET	B	113,352.49	91.19	0.00	0.00	91.19	89.0	81.16

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
07/01/2018	#87706993 MORRELL, LYNN	ENVESTNET	B	32,303.12	87.82	0.00	0.00	87.82	89.0	78.16
07/01/2018	#87706954 NICHOLSON, JAMES	ENVESTNET	B	40,645.24	46.45	0.00	0.00	46.45	89.0	41.34
07/01/2018	#87707021 PARKER-KELLY, MURIEL	ENVESTNET	B	62,943.21	78.23	0.00	0.00	78.23	89.0	69.62
07/01/2018	#87707034 PICCIONE,	ENVESTNET	B	81,002.51	161.39	0.00	0.00	161.39	89.0	143.64
07/01/2018	#87706988 PLOEN, ALLISON	ENVESTNET	B	335,900.60	599.25	0.00	0.00	599.25	89.0	533.33
07/01/2018	#87707333	ENVESTNET	B	115,456.64	50.98	0.00	0.00	50.98	89.0	45.37
07/01/2018	#87707333	ENVESTNET	B	116,641.96	5.71	0.00	0.00	5.71	89.0	5.08
07/01/2018	#87706931 SANDERS, LORI	ENVESTNET	B	27,268.79	42.72	0.00	0.00	42.72	89.0	38.02
07/01/2018	#87706950 SANDERS, MATHEW	ENVESTNET	B	26,523.27	53.03	0.00	0.00	53.03	89.0	47.20
07/01/2018	#87707103	ENVESTNET	B	33,749.45	26.81	0.00	0.00	26.81	89.0	23.86
07/01/2018	#87707037 SCHNECK, LINDA	ENVESTNET	B	35,084.76	101.36	0.00	0.00	101.36	89.0	90.21
07/01/2018	#87706949 UDVARDY, IMRE	ENVESTNET	B	43,350.63	86.93	0.00	0.00	86.93	89.0	77.37
07/01/2018	#87707224	ENVESTNET	B	131,542.84	202.23	0.00	0.00	202.23	89.0	179.98
07/01/2018	#87707102	ENVESTNET	B	49,630.86	84.55	0.00	0.00	84.55	89.0	75.25
07/01/2018	#87707089 WALDRIP, DEBRA	ENVESTNET	B	108,154.06	273.50	0.00	0.00	273.50	89.0	243.42
07/01/2018	#92117887701 DOULOS, FRANCES	FTJ	B	0.00	3.68	0.00	0.00	3.68	89.0	3.28
07/01/2018	#92118365601 CHORAK, PATRICK	FTJ	B	0.00	0.92	0.00	0.00	0.92	89.0	0.82
07/01/2018	#92129232201 MORTON, DARLA	FTJ	B	0.00	5.21	0.00	0.00	5.21	89.0	4.64
* RIA SUBTOTAL *				\$4,765,639.95	\$6,067.94	\$0.00	\$0.00	\$6,067.94		\$5,400.47
*** BROKER TRANSACTIONS TOTAL ***				\$4,765,639.95	\$6,067.94	\$0.00	\$0.00	\$6,067.94		\$5,400.47

BROKER COMMISSION TOTALS

Broker Transactions	\$5,400.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,400.47
--------------	------------