



Concorde Investment Services, LLC

09/13/2017  
Page No. 1

# **COMMISSION STATEMENT** **September 15, 2017**

**JAMES MAHER**  
3082 Quail Ridge Circle  
  
Rochester Hills, MI 48309-

**BROKER #: M127**  
**BRANCH: JAMES MAHER**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/25/2017	WOLVERINE HUMAN SCV	AM CENTURY EQUITY INCOME	81,696.14	20.00	23.00	-3.00	90.0	-2.70
09/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	25.00	0.25	0.00	0.25	90.0	0.23
09/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	25.00	0.25	0.00	0.25	90.0	0.23
08/09/2017	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	1.84	0.00	1.84	90.0	1.66
08/09/2017	SIMON, MICHAEL	AM FUNDS AMCAP C	0.00	7.04	0.00	7.04	90.0	6.34
08/09/2017	MAHER, MAIGHDLIN	AM FUNDS AMCAP C	0.00	1.50	0.00	1.50	90.0	1.35
08/09/2017	PALMER, TODD	AM FUNDS AMCAP C	0.00	22.71	0.00	22.71	90.0	20.44
08/09/2017	ALLEN, MARY	AM FUNDS AMERICAN BALANCED C	0.00	9.82	0.00	9.82	90.0	8.84
08/09/2017	PALMERI, DANIEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.39	0.00	0.39	90.0	0.35
08/09/2017	GILLESPIE, HEATHER	AM FUNDS BOND FUND OF AMERICA A	0.00	4.50	0.00	4.50	90.0	4.05
08/09/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.25	0.00	2.25	90.0	2.03
08/09/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.92	0.00	2.92	90.0	2.63
08/17/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	1.00	0.00	1.00	90.0	0.90
08/17/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	-750.00	-7.50	0.00	-7.50	90.0	-6.75
08/30/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
08/30/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
09/01/2017	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
09/01/2017	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
09/01/2017	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
09/05/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER 529	500.00	13.75	0.00	13.75	90.0	12.38
09/05/2017	MARKHAM, JASON	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
08/09/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.94	0.00	1.94	90.0	1.75
08/09/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.01	0.00	2.01	90.0	1.81
08/09/2017	KOTT, DIANE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.75	0.00	5.75	90.0	5.18
08/09/2017	STONE, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.09	0.00	12.09	90.0	10.88
08/09/2017	O'CONNOR, HOLLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.42	0.00	6.42	90.0	5.78

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
08/09/2017	O'DONNELL, JUDITH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.86	0.00	8.86	90.0	7.97
08/09/2017	BUSSELL, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.20	0.00	6.20	90.0	5.58
08/09/2017	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	38.11	0.00	38.11	90.0	34.30
08/09/2017	FRASER, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.20	0.00	7.20	90.0	6.48
08/09/2017	HEIDLOFF, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.53	0.00	3.53	90.0	3.18
08/09/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.43	0.00	7.43	90.0	6.69
08/09/2017	MAHER, KEIRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.61	0.00	1.61	90.0	1.45
08/09/2017	FOSTER SP TRUST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.59	0.00	2.59	90.0	2.33
08/09/2017	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	24.55	0.00	24.55	90.0	22.10
08/09/2017	EBERHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.33	0.00	9.33	90.0	8.40
08/09/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.71	0.00	6.71	90.0	6.04
08/09/2017	KORESKY, JOHN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.09	0.00	5.09	90.0	4.58
08/09/2017	PEVOS, DEBRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.72	0.00	11.72	90.0	10.55
08/09/2017	PLASTIRAS, JULIANA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.16	0.00	11.16	90.0	10.04
08/09/2017	MARKHAM, ELIZABETH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.87	0.00	1.87	90.0	1.68
08/09/2017	RUSO, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.18	0.00	9.18	90.0	8.26
08/09/2017	RUSO, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.43	0.00	12.43	90.0	11.19
08/09/2017	ALLEN, MARY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.83	0.00	9.83	90.0	8.85
08/09/2017	HEILMANN, MAUREEN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.52	0.00	9.52	90.0	8.57
08/09/2017	PROKOP, STANLEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	139.39	0.00	139.39	90.0	125.45
08/09/2017	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.50	0.00	1.50	90.0	1.35
08/09/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	8.95	0.00	8.95	90.0	8.06
08/09/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.14	0.00	1.14	90.0	1.03
08/09/2017	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.28	0.00	1.28	90.0	1.15
08/09/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.96	0.00	2.96	90.0	2.66
08/09/2017	PIZZO, MICHELE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.89	0.00	1.89	90.0	1.70
08/09/2017	PIZZO, REBECCA	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.41	0.00	1.41	90.0	1.27
08/09/2017	GUMBLETON, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.65	0.00	2.65	90.0	2.39
08/09/2017	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.58	0.00	0.58	90.0	0.52
08/09/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.20	0.00	1.20	90.0	1.08
08/17/2017	SCACCIA, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	650.00	6.50	0.00	6.50	90.0	5.85
09/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23
09/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	0.50	0.00	0.50	90.0	0.45
08/09/2017	PALMERI, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.70	0.00	0.70	90.0	0.63
08/09/2017	OLIVER, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.16	0.00	0.16	90.0	0.14
08/09/2017	HOPPE, KYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.80	0.00	1.80	90.0	1.62
08/09/2017	JACK, STANLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	14.42	0.00	14.42	90.0	12.98
08/09/2017	JACK, STANLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.17	0.00	1.17	90.0	1.05
08/09/2017	LOUGHLIN, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.10	0.00	2.10	90.0	1.89
08/09/2017	MAHER, HENRY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	21.26	0.00	21.26	90.0	19.13
08/09/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	21.49	0.00	21.49	90.0	19.34
08/09/2017	LUJAN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	7.55	0.00	7.55	90.0	6.80
08/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.10	0.00	4.10	90.0	3.69
08/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.17	0.00	1.17	90.0	1.05
08/09/2017	MARKHAM, JASON	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.49	0.00	5.49	90.0	4.94
08/09/2017	BANNICK, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	9.28	0.00	9.28	90.0	8.35
08/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.83	0.00	4.83	90.0	4.35
08/09/2017	MAHER, SEAMUS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.56	0.00	1.56	90.0	1.40
08/09/2017	NUNEZ TRUST, LYNDA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	57.02	0.00	57.02	90.0	51.32
08/09/2017	QUSSAR, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.15	0.00	8.15	90.0	7.34
08/09/2017	VIAR, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.35	0.00	5.35	90.0	4.82
09/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
09/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
09/01/2017	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
08/09/2017	LINDSEY, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.63	0.00	0.63	90.0	0.57
08/09/2017	HOPPE, KYLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.38	0.00	1.38	90.0	1.24
08/07/2017	BIONDO, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND C	100.00	0.00	0.00	0.00	90.0	0.00
08/09/2017	KOTT, DIANE	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.37	0.00	9.37	90.0	8.43
08/09/2017	LOUGHLIN, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	21.11	0.00	21.11	90.0	19.00
08/09/2017	O'DONNELL, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.31	0.00	8.31	90.0	7.48
08/09/2017	O'DONNELL, SHERYL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.16	0.00	4.16	90.0	3.74
08/09/2017	PALMERI, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.77	0.00	2.77	90.0	2.49
08/09/2017	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	33.72	0.00	33.72	90.0	30.35
08/09/2017	COLASUONNO, RALPH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.37	0.00	11.37	90.0	10.23
08/09/2017	PIASCIK, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	27.89	0.00	27.89	90.0	25.10

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/09/2017	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.00	0.00	10.00	90.0	9.00
08/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	20.90	0.00	20.90	90.0	18.81
08/09/2017	VEIT, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.40	0.00	10.40	90.0	9.36
08/09/2017	MARKHAM, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.10	0.00	7.10	90.0	6.39
08/09/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.49	0.00	4.49	90.0	4.04
08/09/2017	BANNICK, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.19	0.00	8.19	90.0	7.37
08/09/2017	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.39	0.00	6.39	90.0	5.75
08/09/2017	BIONDO, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.53	0.00	7.53	90.0	6.78
08/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.14	0.00	4.14	90.0	3.73
08/09/2017	MAHER, KEIRA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.04	0.00	1.04	90.0	0.94
08/09/2017	MAHER, MAIGHDLIN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.06	0.00	1.06	90.0	0.95
08/09/2017	MAHER, SEAMUS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.04	0.00	1.04	90.0	0.94
08/09/2017	NUNEZ, LYNDIA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	22.31	0.00	22.31	90.0	20.08
08/09/2017	NUNEZ TRUST, LYNDIA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	51.38	0.00	51.38	90.0	46.24
08/09/2017	EBERHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.36	0.00	8.36	90.0	7.52
08/09/2017	HOLMES, KAYLA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.28	0.00	1.28	90.0	1.15
08/09/2017	HOLMES, JACK PATRICK	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.18	0.00	1.18	90.0	1.06
08/09/2017	QUSSAR, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.65	0.00	5.65	90.0	5.09
08/09/2017	KORESKY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.21	0.00	4.21	90.0	3.79
08/09/2017	PAGANO, MAUREEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.56	0.00	9.56	90.0	8.60
08/09/2017	MAHER, OWEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.28	0.00	2.28	90.0	2.05
08/09/2017	VIAR, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.66	0.00	1.66	90.0	1.49
08/09/2017	JAMES, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.53	0.00	9.53	90.0	8.58
08/09/2017	DARR, CRAIG	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.18	0.00	11.18	90.0	10.06
08/28/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND C	20,000.00	20.00	0.00	20.00	90.0	18.00
08/09/2017	QUEDNAU, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	4.94	0.00	4.94	90.0	4.45
08/09/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.87	0.00	0.87	90.0	0.78
08/09/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.82	0.00	1.82	90.0	1.64
08/09/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.09	0.00	2.09	90.0	1.88
08/09/2017	HEILMANN, MAUREEN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	21.00	0.00	21.00	90.0	18.90
08/09/2017	PROKOP, STANLEY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	193.51	0.00	193.51	90.0	174.16
09/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
09/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/01/2017	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
09/01/2017	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
09/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
09/01/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
09/05/2017	LINDSEY, CHARLES	AM FUNDS GROWTH FUND OF AMERICA 529	500.00	13.75	0.00	13.75	90.0	12.38
09/05/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	0.75	0.00	0.75	90.0	0.68
09/05/2017	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
08/09/2017	O'DONNELL, SHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.45	0.00	4.45	90.0	4.01
08/09/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.93	0.00	0.93	90.0	0.84
08/09/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.80	0.00	12.80	90.0	11.52
08/09/2017	HOLMES, KAYLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.11	0.00	6.11	90.0	5.50
08/09/2017	HOLMES, JACK PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.06	0.00	5.06	90.0	4.55
08/09/2017	MAHER, KYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.13	0.00	4.13	90.0	3.72
08/09/2017	MAHER, OWEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.96	0.00	1.96	90.0	1.76
08/09/2017	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.17	0.00	11.17	90.0	10.05
08/09/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.71	0.00	16.71	90.0	15.04
08/09/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.37	0.00	11.37	90.0	10.23
08/09/2017	JACK, STANLEY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.57	0.00	5.57	90.0	5.01
08/09/2017	LOUGHLIN, DENNIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	30.36	0.00	30.36	90.0	27.32
08/09/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	91.62	0.00	91.62	90.0	82.46
08/09/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.16	0.00	4.16	90.0	3.74
08/09/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	47.05	0.00	47.05	90.0	42.35
08/09/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.98	0.00	5.98	90.0	5.38
08/09/2017	GILLESPIE, HEATHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.77	0.00	4.77	90.0	4.29
08/09/2017	COLASUONNO, RALPH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.81	0.00	17.81	90.0	16.03
08/09/2017	PIASCIK, SHAWN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	29.05	0.00	29.05	90.0	26.15
08/09/2017	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.46	0.00	14.46	90.0	13.01
08/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.33	0.00	9.33	90.0	8.40
08/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.55	0.00	18.55	90.0	16.70
08/09/2017	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.13	0.00	11.13	90.0	10.02
08/09/2017	HEIDLOFF, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.10	0.00	4.10	90.0	3.69
08/09/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.87	0.00	5.87	90.0	5.28
08/09/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.53	0.00	15.53	90.0	13.98

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/09/2017	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.54	0.00	12.54	90.0	11.29
08/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.77	0.00	4.77	90.0	4.29
08/09/2017	NUNEZ TRUST, LYNDA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	104.05	0.00	104.05	90.0	93.65
08/09/2017	EBERHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.69	0.00	12.69	90.0	11.42
08/09/2017	HENKE, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.19	0.00	13.19	90.0	11.87
08/09/2017	QUSSAR, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.36	0.00	9.36	90.0	8.42
08/09/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.07	0.00	11.07	90.0	9.96
08/09/2017	WOLVERINE HUMAN SCV	AM FUNDS GROWTH FUND OF AMERICA C	0.00	42.77	0.00	42.77	90.0	38.49
08/09/2017	DARR, CRAIG	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.53	0.00	13.53	90.0	12.18
08/09/2017	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.46	0.00	4.46	90.0	4.01
08/09/2017	PLASTIRAS, JULIANA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.27	0.00	13.27	90.0	11.94
08/09/2017	SCACCIA, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.46	0.00	10.46	90.0	9.41
08/09/2017	HICKSON, JORDAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.07	0.00	18.07	90.0	16.26
08/09/2017	PALMER, TODD	AM FUNDS GROWTH FUND OF AMERICA C	0.00	26.68	0.00	26.68	90.0	24.01
08/09/2017	BOLUS, ELLA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	35.32	0.00	35.32	90.0	31.79
08/09/2017	HEILMANN, MAUREEN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.50	0.00	16.50	90.0	14.85
08/25/2017	WOLVERINE HUMAN SCV	AM FUNDS GROWTH FUND OF AMERICA C	49,955.72	20.00	0.00	20.00	90.0	18.00
08/28/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	29,588.06	20.00	0.00	20.00	90.0	18.00
08/09/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.43	0.00	1.43	90.0	1.29
08/09/2017	FRASER, SHARON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.00	0.00	2.00	90.0	1.80
08/09/2017	QUEDNAU, KELLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	24.80	0.00	24.80	90.0	22.32
08/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.83	0.00	4.83	90.0	4.35
08/09/2017	CARLINO, AMY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.18	0.00	3.18	90.0	2.86
08/09/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.16	0.00	3.16	90.0	2.84
08/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.85	0.00	1.85	90.0	1.67
08/09/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.34	0.00	4.34	90.0	3.91
08/09/2017	PIZZO, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.93	0.00	0.93	90.0	0.84
08/09/2017	PIZZO, FRANCESCO	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.17	0.00	0.17	90.0	0.15
08/09/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.80	0.00	1.80	90.0	1.62
08/09/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.29	0.00	2.29	90.0	2.06
08/09/2017	KNOPF, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.44	0.00	1.44	90.0	1.30
08/09/2017	GUMBLETON, CARRIE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.97	0.00	4.97	90.0	4.47
08/09/2017	KEYES LIV TRUST, MURIEL WATSON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.10	0.00	4.10	90.0	3.69

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/09/2017	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.94	0.00	2.94	90.0	2.65
09/01/2017	JACK, KELLY	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
09/05/2017	QUSSAR, JAMES	AM FUNDS ICA 529C	75.00	0.75	0.00	0.75	90.0	0.68
08/09/2017	LINDSEY, CHARLES	AM FUNDS ICA A	0.00	0.83	0.00	0.83	90.0	0.75
08/09/2017	HOPPE, KYLE	AM FUNDS ICA A	0.00	1.36	0.00	1.36	90.0	1.22
08/09/2017	CARROLL, EILEEN	AM FUNDS ICA A	0.00	10.99	0.00	10.99	90.0	9.89
08/09/2017	STONE, JANE	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.49	0.00	7.49	90.0	6.74
08/09/2017	GILLESPIE, HEATHER	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.98	0.00	8.98	90.0	8.08
08/09/2017	HOPPE, KYLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.47	0.00	1.47	90.0	1.32
08/09/2017	BELONGIE, GINGER	AM FUNDS INCOME FUND OF AMERICA C	0.00	16.38	0.00	16.38	90.0	14.74
08/09/2017	MARSACK, KAREN	AM FUNDS INCOME FUND OF AMERICA C	0.00	10.89	0.00	10.89	90.0	9.80
08/09/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	39.26	0.00	39.26	90.0	35.33
08/09/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	21.90	0.00	21.90	90.0	19.71
08/09/2017	DAVIS, ALTON	AM FUNDS INCOME FUND OF AMERICA C	0.00	19.42	0.00	19.42	90.0	17.48
08/09/2017	PIASCIK, SHAWN	AM FUNDS INCOME FUND OF AMERICA C	0.00	38.82	0.00	38.82	90.0	34.94
08/09/2017	SIMON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.56	0.00	7.56	90.0	6.80
08/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.62	0.00	1.62	90.0	1.46
08/09/2017	NUNEZ TRUST, LYNDA	AM FUNDS INCOME FUND OF AMERICA C	0.00	57.80	0.00	57.80	90.0	52.02
08/09/2017	EBERHARD, DAVID	AM FUNDS INCOME FUND OF AMERICA C	0.00	8.11	0.00	8.11	90.0	7.30
08/09/2017	MAHER TRUST, MARY	AM FUNDS INCOME FUND OF AMERICA C	0.00	10.30	0.00	10.30	90.0	9.27
08/09/2017	HEILMANN, MAUREEN	AM FUNDS INCOME FUND OF AMERICA C	0.00	14.10	0.00	14.10	90.0	12.69
08/09/2017	PROKOP, STANLEY	AM FUNDS INCOME FUND OF AMERICA C	0.00	160.83	0.00	160.83	90.0	144.75
08/14/2017	MARSACK, KAREN	AM FUNDS INCOME FUND OF AMERICA C	12,865.97	20.00	0.00	20.00	90.0	18.00
08/09/2017	NORRIS, CHRISTOPHER	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	1.85	0.00	1.85	90.0	1.67
08/09/2017	QUEDNAU, KELLY	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	6.91	0.00	6.91	90.0	6.22
08/09/2017	BANNICK, ROBERT	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.97	0.00	0.97	90.0	0.87
08/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.71	0.00	0.71	90.0	0.64
08/09/2017	KNOPF, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.11	0.00	0.11	90.0	0.10
08/09/2017	GUMBLETON, CARRIE	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	3.51	0.00	3.51	90.0	3.16
09/01/2017	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	25.00	0.25	0.00	0.25	90.0	0.23
08/09/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
08/09/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.28	0.00	1.28	90.0	1.15
08/09/2017	MAHER, HENRY	AM FUNDS NEW ECONOMY C	0.00	30.07	0.00	30.07	90.0	27.06

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/09/2017	SIMON, MICHAEL	AM FUNDS NEW ECONOMY C	0.00	7.46	0.00	7.46	90.0	6.71
08/09/2017	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	0.00	9.24	0.00	9.24	90.0	8.32
08/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS NEW WORLD C	0.00	14.79	0.00	14.79	90.0	13.31
08/09/2017	HICKSON, ANDREW	AM FUNDS NEW WORLD C	0.00	5.10	0.00	5.10	90.0	4.59
08/28/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS NEW WORLD C	17,861.23	20.00	0.00	20.00	90.0	18.00
08/09/2017	MAHER, HENRY	AM FUNDS SMALLCAP WORLD C	0.00	16.68	0.00	16.68	90.0	15.01
08/09/2017	HICKSON, ANDREW	AM FUNDS SMALLCAP WORLD C	0.00	3.44	0.00	3.44	90.0	3.10
08/09/2017	MAHER, SEAMUS	AM FUNDS SMALLCAP WORLD C	0.00	2.35	0.00	2.35	90.0	2.12
08/09/2017	HOLMES, KAYLA	AM FUNDS SMALLCAP WORLD C	0.00	1.56	0.00	1.56	90.0	1.40
08/09/2017	HOLMES, JACK PATRICK	AM FUNDS SMALLCAP WORLD C	0.00	1.50	0.00	1.50	90.0	1.35
08/09/2017	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	36.38	0.00	36.38	90.0	32.74
08/09/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.46	0.00	2.46	90.0	2.21
08/09/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.12	0.00	1.12	90.0	1.01
09/01/2017	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	0.25	0.00	0.25	90.0	0.23
08/16/2017	WOLVERINE HUMAN SCV	AMERICAN BEACON BALANCED FUND C	77,944.68	20.00	0.00	20.00	90.0	18.00
08/09/2017	BANNICK, ROBERT	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.90	0.00	0.90	90.0	0.81
08/09/2017	PIZZO, SALVATORE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.82	0.00	0.82	90.0	0.74
08/09/2017	VIAR, DAVID	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.54	0.00	2.54	90.0	2.29
09/05/2017	QUSSAR, JAMES	AMERICAN FUNDS GRWTH & INC PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
09/05/2017	QUSSAR, JAMES	AMERICAN FUNDS INCOME PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
08/09/2017	MAHER, SEAMUS	BLK BASIC VALUE	0.00	1.08	0.00	1.08	90.0	0.97
08/09/2017	HOLMES, KAYLA	BLK BASIC VALUE	0.00	0.92	0.00	0.92	90.0	0.83
08/09/2017	MAHER, KYLE	BLK BASIC VALUE	0.00	1.64	0.00	1.64	90.0	1.48
08/09/2017	MAHER, HENRY	BLK GLOBAL ALLOCATION A	0.00	12.00	0.00	12.00	90.0	10.80
08/08/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	19.82	0.00	19.82	90.0	17.84
08/08/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	14.81	0.00	14.81	90.0	13.33
08/08/2017	MAHER, HENRY	CATALYST MAP GLOBAL CAP APP	0.00	40.51	0.00	40.51	90.0	36.46
08/08/2017	WOLVERINE HUMAN SCV	CATALYST MAP GLOBAL CAP APP	0.00	73.62	0.00	73.62	90.0	66.26
08/16/2017	WOLVERINE HUMAN SCV	CATALYST MAP GLOBAL CAP APP	86,080.28	20.00	23.00	-3.00	90.0	-2.70
08/16/2017	WOLVERINE HUMAN SCV	CATALYST MAP GLOBAL CAP APP	-86,080.30	-20.00	-23.00	3.00	90.0	2.70
08/16/2017	WOLVERINE HUMAN SCV	CATALYST MAP GLOBAL CAP APP	86,080.28	0.00	23.00	-23.00	90.0	-20.70
08/09/2017	MAHER, HENRY	COLUMBIA FDS SER TR II MASS SELECT	0.00	41.32	0.00	41.32	90.0	37.19
08/09/2017	LUJAN-LABADIE, JENNIFER	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.35	0.00	7.35	90.0	6.62

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<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/09/2017	KARAS, MICHAEL	COLUMBIA FDS SER TR II MASS SELECT	0.00	4.88	0.00	4.88	90.0	4.39
08/09/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.65	0.00	7.65	90.0	6.89
08/09/2017	JAMES, THOMAS	COLUMBIA FDS SER TR II MASS SELECT	0.00	10.18	0.00	10.18	90.0	9.16
08/09/2017	NUNEZ TRUST, LYNDIA	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	22.30	0.00	22.30	90.0	20.07
08/09/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	7.01	0.00	7.01	90.0	6.31
08/09/2017	JAMES, THOMAS	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	9.41	0.00	9.41	90.0	8.47
08/09/2017	DARR, CRAIG	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	10.61	0.00	10.61	90.0	9.55
08/09/2017	NUNEZ TRUST, LYNDIA	COLUMBIA SER TR II MASS	0.00	23.38	0.00	23.38	90.0	21.04
08/22/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	15.00	0.15	0.00	0.15	90.0	0.14
08/09/2017	MAHER, HENRY	DAVIS FINANCIAL A	0.00	7.80	0.00	7.80	90.0	7.02
08/11/2017	MAHER, HENRY	DELAWARE CORPORATE BOND A	0.00	11.41	0.00	11.41	90.0	10.27
08/25/2017	WOLVERINE HUMAN SCV	DWS DEUTSCHE GLOBAL HIGH INC FUND C	44,962.72	20.00	0.00	20.00	90.0	18.00
08/09/2017	MAHER, HENRY	FEDERATED WORLD INVT SER INC INTL B	0.00	6.13	0.00	6.13	90.0	5.52
08/09/2017	MAHER, HENRY	FIRST EAGLE GLOBAL C	0.00	86.19	0.00	86.19	90.0	77.57
08/09/2017	WOLVERINE HUMAN SCV	FRANKLIN BALANCED C	0.00	0.27	0.00	0.27	90.0	0.24
08/09/2017	ALBIN, SHELLEY	FRANKLIN BALANCED C	0.00	4.87	0.00	4.87	90.0	4.38
08/09/2017	ALBIN, FREDERICK	FRANKLIN BALANCED C	0.00	4.87	0.00	4.87	90.0	4.38
08/16/2017	WOLVERINE HUMAN SCV	FRANKLIN BALANCED C	30,643.21	20.00	0.00	20.00	90.0	18.00
08/16/2017	WOLVERINE HUMAN SCV	FRANKLIN BALANCED C	-30,643.20	-20.00	0.00	-20.00	90.0	-18.00
08/16/2017	WOLVERINE HUMAN SCV	FRANKLIN BALANCED C	30,643.21	0.00	0.00	0.00	90.0	0.00
08/09/2017	BUSSELL, JEFFREY	FRANKLIN GOLD & PRECIOUS METALS C	0.00	1.61	0.00	1.61	90.0	1.45
08/09/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN GOLD & PRECIOUS METALS C	0.00	3.59	0.00	3.59	90.0	3.23
08/09/2017	QUSSAR, JAMES	FRANKLIN GOLD & PRECIOUS METALS C	0.00	2.24	0.00	2.24	90.0	2.02
08/09/2017	MAHER, HENRY	FRANKLIN HIGH INCOME C	0.00	17.05	0.00	17.05	90.0	15.35
08/09/2017	HOLMES, KAYLA	FRANKLIN HIGH INCOME C	0.00	0.68	0.00	0.68	90.0	0.61
08/09/2017	HOLMES, JACK PATRICK	FRANKLIN HIGH INCOME C	0.00	0.85	0.00	0.85	90.0	0.77
08/07/2017	DARR, CRAIG	FRANKLIN INCOME C	100.00	0.00	0.00	0.00	90.0	0.00
08/09/2017	KOTT, DIANE	FRANKLIN INCOME C	0.00	7.14	0.00	7.14	90.0	6.43
08/09/2017	PALMERI, BEVERLY	FRANKLIN INCOME C	0.00	7.64	0.00	7.64	90.0	6.88
08/09/2017	JACK, STANLEY	FRANKLIN INCOME C	0.00	6.48	0.00	6.48	90.0	5.83
08/09/2017	MARSHALL, RONALD	FRANKLIN INCOME C	0.00	12.79	0.00	12.79	90.0	11.51
08/09/2017	TAYLOR, JAMIE	FRANKLIN INCOME C	0.00	14.97	0.00	14.97	90.0	13.47
08/09/2017	LOUGHLIN, DENNIS	FRANKLIN INCOME C	0.00	6.95	0.00	6.95	90.0	6.26

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/09/2017	BELONGIE, GINGER	FRANKLIN INCOME C	0.00	6.03	0.00	6.03	90.0	5.43
08/09/2017	STONE, EDWARD	FRANKLIN INCOME C	0.00	7.48	0.00	7.48	90.0	6.73
08/09/2017	MARSACK, KAREN	FRANKLIN INCOME C	0.00	4.34	0.00	4.34	90.0	3.91
08/09/2017	NORRIS, CHRISTOPHER	FRANKLIN INCOME C	0.00	42.08	0.00	42.08	90.0	37.87
08/09/2017	O'CONNOR, HOLLY	FRANKLIN INCOME C	0.00	3.32	0.00	3.32	90.0	2.99
08/09/2017	O'DONNELL, JUDITH	FRANKLIN INCOME C	0.00	5.72	0.00	5.72	90.0	5.15
08/09/2017	PALMERI, PAUL	FRANKLIN INCOME C	0.00	10.73	0.00	10.73	90.0	9.66
08/09/2017	MAHER, HENRY	FRANKLIN INCOME C	0.00	14.03	0.00	14.03	90.0	12.63
08/09/2017	GILLESPIE, HEATHER	FRANKLIN INCOME C	0.00	5.67	0.00	5.67	90.0	5.10
08/09/2017	DAVIS, ALTON	FRANKLIN INCOME C	0.00	6.32	0.00	6.32	90.0	5.69
08/09/2017	COLASUONNO, RALPH	FRANKLIN INCOME C	0.00	9.62	0.00	9.62	90.0	8.66
08/09/2017	PIASCIK, SHAWN	FRANKLIN INCOME C	0.00	13.97	0.00	13.97	90.0	12.57
08/09/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	7.95	0.00	7.95	90.0	7.16
08/09/2017	LUJAN, DEBORAH	FRANKLIN INCOME C	0.00	6.83	0.00	6.83	90.0	6.15
08/09/2017	MARKHAM, JASON	FRANKLIN INCOME C	0.00	4.51	0.00	4.51	90.0	4.06
08/09/2017	BANNICK, ROBERT	FRANKLIN INCOME C	0.00	2.18	0.00	2.18	90.0	1.96
08/09/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN INCOME C	0.00	1.01	0.00	1.01	90.0	0.91
08/09/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN INCOME C	0.00	12.37	0.00	12.37	90.0	11.13
08/09/2017	PIZZO, REBECCA	FRANKLIN INCOME C	0.00	2.98	0.00	2.98	90.0	2.68
08/09/2017	NUNEZ TRUST, LYNDIA	FRANKLIN INCOME C	0.00	74.25	0.00	74.25	90.0	66.83
08/09/2017	EBERHARD, DAVID	FRANKLIN INCOME C	0.00	4.85	0.00	4.85	90.0	4.37
08/09/2017	FAIRLEY, JAMES	FRANKLIN INCOME C	0.00	12.79	0.00	12.79	90.0	11.51
08/09/2017	GUMBLETON, CARRIE	FRANKLIN INCOME C	0.00	9.01	0.00	9.01	90.0	8.11
08/09/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN INCOME C	0.00	8.80	0.00	8.80	90.0	7.92
08/09/2017	SCACCIA, KELLY	FRANKLIN INCOME C	0.00	3.06	0.00	3.06	90.0	2.75
08/09/2017	QUSSAR, JAMES	FRANKLIN INCOME C	0.00	5.06	0.00	5.06	90.0	4.55
08/09/2017	PAGANO, MAUREEN	FRANKLIN INCOME C	0.00	4.62	0.00	4.62	90.0	4.16
08/09/2017	WOLVERINE HUMAN SCV	FRANKLIN INCOME C	0.00	10.81	0.00	10.81	90.0	9.73
08/09/2017	JAMES, THOMAS	FRANKLIN INCOME C	0.00	6.18	0.00	6.18	90.0	5.56
08/09/2017	PEVOS, DEBRA	FRANKLIN INCOME C	0.00	7.28	0.00	7.28	90.0	6.55
08/09/2017	DILAY, DENISE	FRANKLIN INCOME C	0.00	7.64	0.00	7.64	90.0	6.88
08/09/2017	DARR, CRAIG	FRANKLIN INCOME C	0.00	7.00	0.00	7.00	90.0	6.30
08/09/2017	HOPPE, KYLE	FRANKLIN INCOME C	0.00	8.09	0.00	8.09	90.0	7.28

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/09/2017	BJERK JR, JOHN	FRANKLIN INCOME C	0.00	6.11	0.00	6.11	90.0	5.50
08/09/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	10.68	0.00	10.68	90.0	9.61
08/09/2017	HICKSON, JORDAN	FRANKLIN INCOME C	0.00	9.53	0.00	9.53	90.0	8.58
08/09/2017	PALMER, TODD	FRANKLIN INCOME C	0.00	16.24	0.00	16.24	90.0	14.62
08/09/2017	WHEELER, WILLARD	FRANKLIN INCOME C	0.00	21.00	0.00	21.00	90.0	18.90
08/09/2017	ALLEN, MARY	FRANKLIN INCOME C	0.00	6.54	0.00	6.54	90.0	5.89
08/09/2017	PROKOP, STANLEY	FRANKLIN INCOME C	0.00	101.12	0.00	101.12	90.0	91.01
08/10/2017	DE FIORE, LAWRENCE	FRANKLIN INCOME C	25,000.00	270.00	0.00	270.00	90.0	243.00
08/14/2017	MARSACK, KAREN	FRANKLIN INCOME C	7,853.62	20.00	0.00	20.00	90.0	18.00
08/16/2017	WOLVERINE HUMAN SCV	FRANKLIN INCOME C	19,586.13	20.00	0.00	20.00	90.0	18.00
08/16/2017	WOLVERINE HUMAN SCV	FRANKLIN INCOME C	-19,586.10	-20.00	0.00	-20.00	90.0	-18.00
08/16/2017	WOLVERINE HUMAN SCV	FRANKLIN INCOME C	19,586.13	0.00	0.00	0.00	90.0	0.00
08/09/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN INVS SECS TR REAL RETURN F	0.00	3.06	0.00	3.06	90.0	2.75
08/28/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN INVS SECS TR REAL RETURN F	5,537.53	20.00	0.00	20.00	90.0	18.00
08/09/2017	NORRIS, CHRISTOPHER	FRANKLIN MI TAX FR INCOME C	0.00	33.16	0.00	33.16	90.0	29.84
09/01/2017	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	156.52	4.38	0.00	4.38	90.0	3.94
09/01/2017	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	33.92	0.95	0.00	0.95	90.0	0.86
08/09/2017	NORRIS, CHRISTOPHER	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	30.06	0.00	30.06	90.0	27.05
08/09/2017	EBERHARD, DAVID	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	10.82	0.00	10.82	90.0	9.74
08/09/2017	PALMERI, DANIEL	FRANKLIN NATURAL RESOURCES C	0.00	1.13	0.00	1.13	90.0	1.02
08/09/2017	COLASUONNO, RALPH	FRANKLIN NATURAL RESOURCES C	0.00	5.11	0.00	5.11	90.0	4.60
08/09/2017	MARKHAM, JASON	FRANKLIN NATURAL RESOURCES C	0.00	3.86	0.00	3.86	90.0	3.47
08/09/2017	GUMBLETON, CARRIE	FRANKLIN NATURAL RESOURCES C	0.00	5.16	0.00	5.16	90.0	4.64
08/09/2017	MARINI, ZAREFA	FRANKLIN RISING DIVIDENDS A	0.00	0.27	0.00	0.27	90.0	0.24
08/09/2017	MAHER, KEIRA	FRANKLIN RISING DIVIDENDS A	0.00	1.40	0.00	1.40	90.0	1.26
08/09/2017	MAHER, MAIGHDLIN	FRANKLIN RISING DIVIDENDS A	0.00	2.34	0.00	2.34	90.0	2.11
08/09/2017	MAHER, SEAMUS	FRANKLIN RISING DIVIDENDS A	0.00	0.72	0.00	0.72	90.0	0.65
08/09/2017	HEILMANN, MAUREEN	FRANKLIN RISING DIVIDENDS A	0.00	1.34	0.00	1.34	90.0	1.21
08/09/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	23.55	0.00	23.55	90.0	21.20
08/09/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	10.54	0.00	10.54	90.0	9.49
08/09/2017	PALMERI, SAMUEL	FRANKLIN RISING DIVIDENDS C	0.00	15.28	0.00	15.28	90.0	13.75
08/09/2017	PALMERI, BEVERLY	FRANKLIN RISING DIVIDENDS C	0.00	16.69	0.00	16.69	90.0	15.02
08/09/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	25.00	0.00	25.00	90.0	22.50

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/09/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	1.00	0.00	1.00	90.0	0.90
08/09/2017	LOUGHLIN, DENNIS	FRANKLIN RISING DIVIDENDS C	0.00	21.54	0.00	21.54	90.0	19.39
08/09/2017	STONE, JANE	FRANKLIN RISING DIVIDENDS C	0.00	6.32	0.00	6.32	90.0	5.69
08/09/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	12.50	0.00	12.50	90.0	11.25
08/09/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	6.08	0.00	6.08	90.0	5.47
08/09/2017	MARSACK, KAREN	FRANKLIN RISING DIVIDENDS C	0.00	28.48	0.00	28.48	90.0	25.63
08/09/2017	O'CONNOR, HOLLY	FRANKLIN RISING DIVIDENDS C	0.00	7.12	0.00	7.12	90.0	6.41
08/09/2017	O'DONNELL, JUDITH	FRANKLIN RISING DIVIDENDS C	0.00	7.78	0.00	7.78	90.0	7.00
08/09/2017	PALMERI, DANIEL	FRANKLIN RISING DIVIDENDS C	0.00	1.48	0.00	1.48	90.0	1.33
08/09/2017	BUSSELL, JEFFREY	FRANKLIN RISING DIVIDENDS C	0.00	2.30	0.00	2.30	90.0	2.07
08/09/2017	PALMERI, PAUL	FRANKLIN RISING DIVIDENDS C	0.00	7.73	0.00	7.73	90.0	6.96
08/09/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	8.24	0.00	8.24	90.0	7.42
08/09/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	57.81	0.00	57.81	90.0	52.03
08/09/2017	JACK, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	0.17	0.00	0.17	90.0	0.15
08/09/2017	FRASER, SHARON	FRANKLIN RISING DIVIDENDS C	0.00	21.28	0.00	21.28	90.0	19.15
08/09/2017	DAVIS, ALTON	FRANKLIN RISING DIVIDENDS C	0.00	20.49	0.00	20.49	90.0	18.44
08/09/2017	COLASUONNO, RALPH	FRANKLIN RISING DIVIDENDS C	0.00	24.14	0.00	24.14	90.0	21.73
08/09/2017	MAES, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	14.35	0.00	14.35	90.0	12.92
08/09/2017	LUJAN, DEBORAH	FRANKLIN RISING DIVIDENDS C	0.00	22.56	0.00	22.56	90.0	20.30
08/09/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	6.17	0.00	6.17	90.0	5.55
08/09/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	28.06	0.00	28.06	90.0	25.25
08/09/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	9.07	0.00	9.07	90.0	8.16
08/09/2017	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	9.13	0.00	9.13	90.0	8.22
08/09/2017	HEIDLOFF, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	4.33	0.00	4.33	90.0	3.90
08/09/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	16.75	0.00	16.75	90.0	15.08
08/09/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	25.43	0.00	25.43	90.0	22.89
08/09/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	19.32	0.00	19.32	90.0	17.39
08/09/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	8.96	0.00	8.96	90.0	8.06
08/09/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	15.20	0.00	15.20	90.0	13.68
08/09/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	56.36	0.00	56.36	90.0	50.72
08/09/2017	PIZZO, PIETRO	FRANKLIN RISING DIVIDENDS C	0.00	2.71	0.00	2.71	90.0	2.44
08/09/2017	PIZZO, REBECCA	FRANKLIN RISING DIVIDENDS C	0.00	7.31	0.00	7.31	90.0	6.58
08/09/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	5.02	0.00	5.02	90.0	4.52

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/09/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	2.40	0.00	2.40	90.0	2.16
08/09/2017	FOSTER SP TRUST, PATRICIA	FRANKLIN RISING DIVIDENDS C	0.00	17.91	0.00	17.91	90.0	16.12
08/09/2017	KNOPF, KATHRYN	FRANKLIN RISING DIVIDENDS C	0.00	4.71	0.00	4.71	90.0	4.24
08/09/2017	NUNEZ TRUST, LYNDIA	FRANKLIN RISING DIVIDENDS C	0.00	40.86	0.00	40.86	90.0	36.77
08/09/2017	EBERHARD, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	6.63	0.00	6.63	90.0	5.97
08/09/2017	BEDARD, MARY	FRANKLIN RISING DIVIDENDS C	0.00	10.48	0.00	10.48	90.0	9.43
08/09/2017	SCACCIA, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	12.83	0.00	12.83	90.0	11.55
08/09/2017	HENKE, FRANK	FRANKLIN RISING DIVIDENDS C	0.00	13.86	0.00	13.86	90.0	12.47
08/09/2017	HOLMES, JACK PATRICK	FRANKLIN RISING DIVIDENDS C	0.00	1.81	0.00	1.81	90.0	1.63
08/09/2017	ALBIN, FREDERICK	FRANKLIN RISING DIVIDENDS C	0.00	22.22	0.00	22.22	90.0	20.00
08/09/2017	QUSSAR, JAMES	FRANKLIN RISING DIVIDENDS C	0.00	4.21	0.00	4.21	90.0	3.79
08/09/2017	STOKES, RICHARD	FRANKLIN RISING DIVIDENDS C	0.00	4.25	0.00	4.25	90.0	3.83
08/09/2017	MAHER TRUST, MARY	FRANKLIN RISING DIVIDENDS C	0.00	25.17	0.00	25.17	90.0	22.65
08/09/2017	PAGANO, MAUREEN	FRANKLIN RISING DIVIDENDS C	0.00	8.20	0.00	8.20	90.0	7.38
08/09/2017	MAHER, OWEN	FRANKLIN RISING DIVIDENDS C	0.00	5.31	0.00	5.31	90.0	4.78
08/09/2017	WOLVERINE HUMAN SCV	FRANKLIN RISING DIVIDENDS C	0.00	189.12	0.00	189.12	90.0	170.21
08/09/2017	JAMES, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	10.99	0.00	10.99	90.0	9.89
08/09/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	6.93	0.00	6.93	90.0	6.24
08/09/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	13.08	0.00	13.08	90.0	11.77
08/09/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	11.54	0.00	11.54	90.0	10.39
08/09/2017	MCCRACKEN, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	13.68	0.00	13.68	90.0	12.31
08/09/2017	DILAY, DENISE	FRANKLIN RISING DIVIDENDS C	0.00	13.59	0.00	13.59	90.0	12.23
08/09/2017	LINDSEY, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	8.51	0.00	8.51	90.0	7.66
08/09/2017	CORNWELL, VICTORIA	FRANKLIN RISING DIVIDENDS C	0.00	10.73	0.00	10.73	90.0	9.66
08/09/2017	DARR, CRAIG	FRANKLIN RISING DIVIDENDS C	0.00	15.00	0.00	15.00	90.0	13.50
08/09/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	11.14	0.00	11.14	90.0	10.03
08/09/2017	BUREK, CANDACE	FRANKLIN RISING DIVIDENDS C	0.00	6.73	0.00	6.73	90.0	6.06
08/09/2017	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	2.95	0.00	2.95	90.0	2.66
08/09/2017	HOPPE, KYLE	FRANKLIN RISING DIVIDENDS C	0.00	14.37	0.00	14.37	90.0	12.93
08/09/2017	BJERK JR, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	10.04	0.00	10.04	90.0	9.04
08/09/2017	PLASTIRAS, JULIANA	FRANKLIN RISING DIVIDENDS C	0.00	12.55	0.00	12.55	90.0	11.30
08/09/2017	MARKHAM, ELIZABETH	FRANKLIN RISING DIVIDENDS C	0.00	5.56	0.00	5.56	90.0	5.00
08/09/2017	BALDWIN, JASON	FRANKLIN RISING DIVIDENDS C	0.00	7.87	0.00	7.87	90.0	7.08

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/09/2017	HICKSON, MARK	FRANKLIN RISING DIVIDENDS C	0.00	32.19	0.00	32.19	90.0	28.97
08/09/2017	BUREK, ROSANNE	FRANKLIN RISING DIVIDENDS C	0.00	20.82	0.00	20.82	90.0	18.74
08/09/2017	ALBIN, SHELLEY	FRANKLIN RISING DIVIDENDS C	0.00	5.09	0.00	5.09	90.0	4.58
08/09/2017	ALBIN, FREDERICK	FRANKLIN RISING DIVIDENDS C	0.00	5.09	0.00	5.09	90.0	4.58
08/09/2017	PALMER, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	10.38	0.00	10.38	90.0	9.34
08/09/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	26.77	0.00	26.77	90.0	24.09
08/09/2017	RUSSO, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	13.77	0.00	13.77	90.0	12.39
08/09/2017	BOLUS, ELLA	FRANKLIN RISING DIVIDENDS C	0.00	35.02	0.00	35.02	90.0	31.52
08/09/2017	RUSSO, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	13.56	0.00	13.56	90.0	12.20
08/09/2017	ALLEN, MARY	FRANKLIN RISING DIVIDENDS C	0.00	11.21	0.00	11.21	90.0	10.09
08/09/2017	KESSOR, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	0.67	0.00	0.67	90.0	0.60
08/09/2017	POUPORE, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	0.53	0.00	0.53	90.0	0.48
08/09/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	0.03	0.00	0.03	90.0	0.03
08/09/2017	SCHOOLEY, MEGHAN	FRANKLIN RISING DIVIDENDS C	0.00	0.02	0.00	0.02	90.0	0.02
08/09/2017	ZETTEL, ANTHONY	FRANKLIN RISING DIVIDENDS C	0.00	0.02	0.00	0.02	90.0	0.02
08/25/2017	WOLVERINE HUMAN SCV	FRANKLIN RISING DIVIDENDS C	220,343.08	20.00	0.00	20.00	90.0	18.00
08/28/2017	MCCRACKEN, ROBERT	FRANKLIN RISING DIVIDENDS C	5,000.00	20.00	0.00	20.00	90.0	18.00
08/09/2017	PAGANO, MAUREEN	FRANKLIN SMALL CAP VALUE C	0.00	5.02	0.00	5.02	90.0	4.52
08/09/2017	PROKOP, STANLEY	FRANKLIN STRATEGIC INCOME C	0.00	79.52	0.00	79.52	90.0	71.57
08/09/2017	MAHER, HENRY	FRANKLIN UTILITIES C	0.00	22.07	0.00	22.07	90.0	19.86
08/09/2017	DAVIS, ALTON	FRANKLIN UTILITIES C	0.00	12.73	0.00	12.73	90.0	11.46
08/09/2017	PAGANO, MAUREEN	FRANKLIN UTILITIES C	0.00	3.81	0.00	3.81	90.0	3.43
08/09/2017	O'CONNOR, AARON	FRANKLIN UTILITIES C	0.00	3.92	0.00	3.92	90.0	3.53
08/09/2017	ZANONI, CARRIE	FT FOUNDING FDS ALLOC C	0.00	5.58	0.00	5.58	90.0	5.02
08/09/2017	GLINES, DAVID	FT FOUNDING FDS ALLOC C	0.00	3.00	0.00	3.00	90.0	2.70
08/09/2017	GLINES, WILBERT	FT FOUNDING FDS ALLOC C	0.00	8.42	0.00	8.42	90.0	7.58
09/01/2017	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	158.32	4.43	0.00	4.43	90.0	3.99
09/01/2017	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	730.41	20.45	0.00	20.45	90.0	18.41
09/01/2017	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	33.92	0.95	0.00	0.95	90.0	0.86
09/01/2017	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	156.51	4.38	0.00	4.38	90.0	3.94
08/09/2017	MAHER, SEAMUS	IVY ASSET STRATEGY FUND CLASS A	0.00	0.18	0.00	0.18	90.0	0.16
08/09/2017	HOLMES, JACK PATRICK	IVY ASSET STRATEGY FUND CLASS A	0.00	0.33	0.00	0.33	90.0	0.30
08/09/2017	MAHER, HENRY	IVY FDS INC ASSET STRATEGY FD CL B	0.00	6.64	0.00	6.64	90.0	5.98

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/09/2017	MAHER, MAIGHDLIN	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.28	0.00	0.28	90.0	0.25
08/09/2017	MAHER, SEAMUS	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.11	0.00	0.11	90.0	0.10
08/09/2017	HOLMES, KAYLA	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.29	0.00	0.29	90.0	0.26
08/09/2017	MAHER, SEAMUS	LORD ABBETT AFFILIATED A	0.00	1.08	0.00	1.08	90.0	0.97
08/09/2017	MAHER, OWEN	LORD ABBETT AFFILIATED A	0.00	1.39	0.00	1.39	90.0	1.25
08/09/2017	KORESKY, JOHN	LORD ABBETT EQUITY TR CALIBRTD LARG	0.00	29.03	0.00	29.03	90.0	26.13
08/09/2017	HOLMES, KAYLA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	1.46	0.00	1.46	90.0	1.31
08/09/2017	NORRIS, CHRISTOPHER	LORD ABBETT INCOME FUND CL C	0.00	22.37	0.00	22.37	90.0	20.13
08/09/2017	PEVOS, DEBRA	LORD ABBETT INCOME FUND CL C	0.00	7.53	0.00	7.53	90.0	6.78
08/09/2017	BUSSELL, JEFFREY	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	6.52	0.00	6.52	90.0	5.87
08/09/2017	MARIN REV TRUST, NICHOLAS	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	22.87	0.00	22.87	90.0	20.58
08/09/2017	MAHER, HENRY	MAINSTAY MAP A	0.00	14.12	0.00	14.12	90.0	12.71
08/09/2017	HOLMES, JACK PATRICK	MFS RESEARCH INTL A	0.00	0.22	0.00	0.22	90.0	0.20
08/09/2017	HOLMES, JACK PATRICK	MFS SER TR I RESEARCH INTL FD CL B	0.00	0.33	0.00	0.33	90.0	0.30
08/09/2017	HOLMES, JACK PATRICK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.39	0.00	0.39	90.0	0.35
08/09/2017	BUREK, ROSANNE	OPPENHEIMER ROCHESTER NATIONAL MUNI	0.00	2.76	0.00	2.76	90.0	2.48
08/22/2017	MOTOR CITY COMPUTER	PIONEER BOND FUND A	92.50	0.93	0.00	0.93	90.0	0.84
08/09/2017	MAHER, HENRY	PIONEER MID CAP VALUE A	0.00	8.06	0.00	8.06	90.0	7.25
08/09/2017	GILLESPIE, HEATHER	ROYCE PENNSYLVANIA MUTUAL	0.00	16.93	0.00	16.93	90.0	15.24
08/09/2017	MARINI, ZAREFA	TEMPLETON DEVELOPING MKTS A	0.00	0.08	0.00	0.08	90.0	0.07
08/09/2017	PIASCIK, SHAWN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	28.85	0.00	28.85	90.0	25.97
08/09/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	24.13	0.00	24.13	90.0	21.72
08/09/2017	PALMERI, PAUL	TEMPLETON GLOBAL BOND A	0.00	1.96	0.00	1.96	90.0	1.76
08/09/2017	BANNICK, ROBERT	TEMPLETON GLOBAL BOND C	0.00	6.11	0.00	6.11	90.0	5.50
08/09/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GLOBAL BOND C	0.00	1.49	0.00	1.49	90.0	1.34
08/09/2017	MARIN REV TRUST, NICHOLAS	TEMPLETON GLOBAL BOND C	0.00	11.91	0.00	11.91	90.0	10.72
08/09/2017	NUNEZ TRUST, LYNDA	TEMPLETON GLOBAL BOND C	0.00	6.30	0.00	6.30	90.0	5.67
08/09/2017	EBERHARD, DAVID	TEMPLETON GLOBAL BOND C	0.00	7.15	0.00	7.15	90.0	6.44
08/09/2017	GUMBLETON, CARRIE	TEMPLETON GLOBAL BOND C	0.00	5.74	0.00	5.74	90.0	5.17
08/09/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON GLOBAL BOND C	0.00	16.05	0.00	16.05	90.0	14.45
08/09/2017	QUSSAR, KELLY	TEMPLETON GLOBAL BOND C	0.00	3.22	0.00	3.22	90.0	2.90
08/09/2017	ZANONI, CARRIE	TEMPLETON GROWTH C	0.00	5.06	0.00	5.06	90.0	4.55
08/09/2017	MARKHAM, JASON	TEMPLETON GROWTH C	0.00	9.95	0.00	9.95	90.0	8.96

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/09/2017	BANNICK, ROBERT	TEMPLETON GROWTH C	0.00	1.78	0.00	1.78	90.0	1.60
08/09/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GROWTH C	0.00	2.37	0.00	2.37	90.0	2.13
08/09/2017	PIZZO, SALVATORE	TEMPLETON GROWTH C	0.00	5.26	0.00	5.26	90.0	4.73
08/09/2017	GUMBLETON, CARRIE	TEMPLETON GROWTH C	0.00	12.06	0.00	12.06	90.0	10.85
08/09/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	13.41	0.00	13.41	90.0	12.07
08/09/2017	SIMON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	8.69	0.00	8.69	90.0	7.82
08/09/2017	JACK, STANLEY	TRANSAMERICA AA MOD GROWTH C	0.00	5.86	0.00	5.86	90.0	5.27
08/09/2017	LOUGHLIN, DENNIS	TRANSAMERICA AA MOD GROWTH C	0.00	13.19	0.00	13.19	90.0	11.87
08/09/2017	PIASCIK, SHAWN	TRANSAMERICA AA MOD GROWTH C	0.00	37.47	0.00	37.47	90.0	33.72
08/09/2017	GUMBLETON, CARRIE	TRANSAMERICA AA MOD GROWTH C	0.00	16.48	0.00	16.48	90.0	14.83
08/09/2017	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	0.00	11.35	0.00	11.35	90.0	10.22
08/09/2017	MARSACK, KAREN	TRANSAMERICA AA MODERATE C	0.00	12.26	0.00	12.26	90.0	11.03
08/09/2017	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	0.00	8.17	0.00	8.17	90.0	7.35
08/09/2017	BANNICK, ROBERT	TRANSAMERICA AA MODERATE C	0.00	7.81	0.00	7.81	90.0	7.03
08/09/2017	PIZZO, PIETRO	TRANSAMERICA AA MODERATE C	0.00	8.16	0.00	8.16	90.0	7.34
08/09/2017	PIZZO, MICHELE	TRANSAMERICA AA MODERATE C	0.00	0.12	0.00	0.12	90.0	0.11
08/09/2017	PIZZO, FRANCESCO	TRANSAMERICA AA MODERATE C	0.00	8.38	0.00	8.38	90.0	7.54
08/14/2017	MARSACK, KAREN	TRANSAMERICA AA MODERATE C	7,000.00	20.00	23.00	-3.00	90.0	-2.70
08/09/2017	ROGERS, NICOLE	VOYA CORP LEADERS 100 A	0.00	2.50	0.00	2.50	90.0	2.25
08/09/2017	MAHER, HENRY	VOYA INTL VALUE EQUITY A	0.00	10.27	0.00	10.27	90.0	9.24
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$745,595.49</b>	<b>\$6,168.12</b>	<b>\$69.00</b>	<b>\$6,099.12</b>		<b>\$5,489.46</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
08/16/2017	MAHER, HENRY	ARCH CAPITAL GROUP LTD	12,138.85	40.00	22.00	18.00	90.0	16.20
08/16/2017	MAHER, HENRY	BRIGHTHOUSE FINL INC COM	406.28	40.00	22.00	18.00	90.0	16.20
08/17/2017	MAHER, HENRY	CROWN HLDGS INC COM	6,326.73	40.00	22.00	18.00	90.0	16.20
08/02/2017	PAGANO, SALVATORE	GENERAL ELECTRIC CO COM	1,913.25	50.00	22.00	28.00	90.0	25.20
08/16/2017	MAHER, HENRY	GLOBAL PAYMENTS INC	16,063.91	40.00	22.00	18.00	90.0	16.20
08/16/2017	MAHER, HENRY	HARTFORD FINL SVCS GROUP INC COM	9,447.76	40.00	22.00	18.00	90.0	16.20
08/16/2017	MAHER, HENRY	HOLOGIC INC	8,402.90	50.00	22.00	28.00	90.0	25.20
08/17/2017	MAHER, HENRY	METLIFE INC COM	3,961.45	92.12	22.00	70.12	90.0	63.11
08/17/2017	MAHER, HENRY	NATIONAL OILWELL VARCO INC	1,361.03	40.00	22.00	18.00	90.0	16.20
08/16/2017	MAHER, HENRY	OCCIDENTAL PETE CORP COM	4,714.73	40.00	22.00	18.00	90.0	16.20
08/17/2017	MAHER, HENRY	TJX COMPANIES INC (NEW)	6,197.85	40.00	22.00	18.00	90.0	16.20

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
08/02/2017	PAGANO, SALVATORE	WALGREENS BOOTS ALLIANCE INC COM	2,841.65	50.00	22.00	28.00	90.0	25.20
08/16/2017	MAHER, HENRY	WTS AMERICAN INTERNATIONAL	164.74	40.00	22.00	18.00	90.0	16.20
* STOCKS SUBTOTAL *			<b>\$73,941.13</b>	<b>\$602.12</b>	<b>\$286.00</b>	<b>\$316.12</b>		<b>\$284.51</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/05/2017	ATTENDANT CARE, 401K	GWL GRP ANNUITY 401K	193,360.98	123.17	0.00	123.17	90.0	110.85
09/05/2017	RACHWAL, BARTON	GWL GRP ANNUITY 401K	370,827.02	157.47	0.00	157.47	90.0	141.72
09/05/2017	GURSTEN, KOLTONOW	GWL NET ASSET VALUE 401K	7,397,793.76	1,570.76	0.00	1,570.76	90.0	1,413.68
09/05/2017	CHRISTENSEN PLLC, DAVID	GWL NET ASSET VALUE 401K	396,358.81	168.32	0.00	168.32	90.0	151.49
08/31/2017	CARROLL, EILEEN	JNL ELITE ACCESS W/ LIQUIDITY	33,841.82	28.20	0.00	28.20	90.0	25.38
08/31/2017	NUNEZ, LYNDIA	JNL ELITE ACCESS W/ LIQUIDITY	115,246.84	96.04	0.00	96.04	90.0	86.44
08/31/2017	RACHWAL, BARTON	JNL PERSPECTIVE II 05/05	169,603.94	35.33	0.00	35.33	90.0	31.80
08/31/2017	MAHER, HENRY	JNL PERSPECTIVE II 05/05	102,700.56	42.79	0.00	42.79	90.0	38.51
08/31/2017	HICKSON, ANDREW	JNL PERSPECTIVE II 05/05	45,911.56	19.13	0.00	19.13	90.0	17.22
08/31/2017	VEIT, BEVERLY	JNL PERSPECTIVE L	18,851.24	15.71	0.00	15.71	90.0	14.14
08/31/2017	PALMERI, BEVERLY	JNL PERSPECTIVE L	100,389.67	83.66	0.00	83.66	90.0	75.29
08/31/2017	FRASER, SHARON	JNL PERSPECTIVE L	41,945.34	34.95	0.00	34.95	90.0	31.46
08/31/2017	TAYLOR, JAMIE	JNL PERSPECTIVE L	44,002.44	36.67	0.00	36.67	90.0	33.00
08/31/2017	FLOWERS, EDWARD	JNL PERSPECTIVE L	145,247.43	121.04	0.00	121.04	90.0	108.94
08/31/2017	STOKES, RICHARD	JNL PERSPECTIVE L	79,161.30	65.97	0.00	65.97	90.0	59.37
08/31/2017	STONE, EDWARD	JNL PERSPECTIVE L	91,909.80	76.59	0.00	76.59	90.0	68.93
08/31/2017	PALMERI, SAMUEL	JNL PERSPECTIVE L	104,127.46	86.77	0.00	86.77	90.0	78.09
08/31/2017	STEINMETZ JR, JAMES	JNL PERSPECTIVE L	109,072.17	90.89	0.00	90.89	90.0	81.80
08/31/2017	VITALE, PETER	JNL PERSPECTIVE L	136,808.00	114.01	0.00	114.01	90.0	102.61
08/31/2017	BROEREN, ANTHONY	JNL PERSPECTIVE L	183,951.39	153.29	0.00	153.29	90.0	137.96
08/31/2017	CORNWELL, VICTORIA	JNL PERSPECTIVE L	201,006.62	167.51	0.00	167.51	90.0	150.76
08/31/2017	KRETZ, JAMES	JNL PERSPECTIVE L	110,330.18	91.94	0.00	91.94	90.0	82.75
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$10,192,448.33</b>	<b>\$3,380.21</b>	<b>\$0.00</b>	<b>\$3,380.21</b>		<b>\$3,042.19</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$11,011,984.95</b>	<b>\$10,150.45</b>	<b>\$355.00</b>	<b>\$9,795.45</b>		<b>\$8,816.16</b>

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<b><u>ADJUSTMENTS</u></b>								
09/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
09/15/2017		*RBC: ORDER ENTRY	0.00					-65.00
09/15/2017		*TECHNOLOGY: ADM - ZAREFA MARINI	0.00					-100.00
09/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-740.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$8,816.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-740.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$27.27
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,103.43
Year-to-date Gross	\$281,330.56
Year-to-date Earnings	\$252,379.22

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