

Bill Payment Stub

Check Date:	3/7/2018
Check No.:	ACH
Check Amount:	5,185.46

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: KRIS B. LLOYD, SR.
4265 SAN FELIPE ROAD SUITE 1100
HOUSTON, TX 77027

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
2/15/2018	Bill	COMMISSIONS	0.61	0.61		0.61
2/28/2018	Bill	COMMISSIONS	5,304.85	5,304.85		5,304.85
3/1/2018	Credit	E&O	-120.00	-120.00		-120.00

COMMISSION STATEMENT

February 28, 2018

KRIS LLOYD-D039

BROKER #: D039

BRANCH: DMJ

, TX

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/21/2018 368311919	LLOYD, KEITH	MF	AMCAP FUND-A	S	20,002.45	550.00	5.00	545.00	90.0	490.50
02/21/2018 368311919	LLOYD, KEITH	MF	CAPITAL INCOME BUILDER-A	S	20,002.45	550.00	5.00	545.00	90.0	490.50
02/21/2018 368311919	LLOYD, KEITH	MF	CAPITAL WORLD GROWTH AND INCOME	S	20,002.45	550.00	5.00	545.00	90.0	490.50
02/21/2018 368311919	LLOYD, KEITH	MF	THE INCOME FUND OF AMERICA-A	S	20,002.45	550.00	5.00	545.00	90.0	490.50
02/21/2018 368311919	LLOYD, KEITH	MF	WASHINGTON MUTUAL INVESTORS	S	20,002.45	550.00	5.00	545.00	90.0	490.50
02/22/2018 368311919	LLOYD, KEITH	MF	AMCAP FUND-A	S	20,002.45	550.00	2.50	547.50	90.0	492.75
02/22/2018 368311919	LLOYD, KEITH	MF	CAPITAL INCOME BUILDER-A	S	20,002.45	550.00	2.50	547.50	90.0	492.75
02/22/2018 368311919	LLOYD, KEITH	MF	CAPITAL WORLD GROWTH AND INCOME	S	20,002.45	550.00	2.50	547.50	90.0	492.75
02/22/2018 368311919	LLOYD, KEITH	MF	THE INCOME FUND OF AMERICA-A	S	20,002.45	550.00	2.50	547.50	90.0	492.75
02/22/2018 368311919	LLOYD, KEITH	MF	WASHINGTON MUTUAL INVESTORS	S	20,002.45	550.00	2.50	547.50	90.0	492.75
02/23/2018 368363996	TISDEL, CICERO	MF	GROWTH AND INCOME PORTFOLIO-A	B	-2,297.55	0.00	5.00	-5.00	90.0	-4.50
* MUTUAL FUNDS SUBTOTAL *					\$197,726.95	\$5,500.00	\$42.50	\$5,457.50		\$4,911.75

PRODUCT CATEGORY: STOCKS

02/26/2018 368431919	COLEMAN, DONALD	S	ALTRIA GROUP INCORPORATED	B	6,696.54	148.19	15.00	133.19	90.0	119.87
02/26/2018 368431919	COLEMAN, DONALD	S	HORMEL FOODS CORPORATION	B	10,124.31	198.85	15.00	183.85	90.0	165.47
* STOCKS SUBTOTAL *					\$16,820.85	\$347.04	\$30.00	\$317.04		\$285.34

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/22/2018 mfc991470	LLOYD 991470	MFT	AMCAP FUND-A	B	0.00	5.52	0.00	5.52	90.0	4.97
02/22/2018 mfc991470	LLOYD 991470	MFT	AMERICAN BALANCED FUND-A	B	0.00	13.58	0.00	13.58	90.0	12.22
02/22/2018 mfc991470	LLOYD 991470	MFT	BALANCED PORTFOLIO-A	B	0.00	0.60	0.00	0.60	90.0	0.54
02/22/2018 mfc991470	LLOYD 991470	MFT	BALANCED PORTFOLIO-A	B	0.00	1.20	0.00	1.20	90.0	1.08
02/22/2018 mfc991470	LLOYD 991470	MFT	BALANCED PORTFOLIO-A	B	0.00	4.08	0.00	4.08	90.0	3.67
02/22/2018 mfc991470	LLOYD 991470	MFT	BALANCED PORTFOLIO-A	B	0.00	6.16	0.00	6.16	90.0	5.54
02/22/2018 mfc991470	LLOYD 991470	MFT	BALANCED PORTFOLIO-A	B	0.00	15.66	0.00	15.66	90.0	14.09
02/22/2018 mfc991470	LLOYD 991470	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.03	0.00	0.03	90.0	0.03
02/22/2018 mfc991470	LLOYD 991470	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.40	0.00	0.40	90.0	0.36
02/22/2018 mfc991470	LLOYD 991470	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.83	0.00	0.83	90.0	0.75
02/22/2018 mfc991470	LLOYD 991470	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.98	0.00	0.98	90.0	0.88
02/22/2018 mfc991470	LLOYD 991470	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.97	0.00	1.97	90.0	1.77
02/22/2018 mfc991470	LLOYD 991470	MFT	CAPITAL INCOME BUILDER-A	B	0.00	2.36	0.00	2.36	90.0	2.12

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
02/22/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.42	0.00	2.42	90.0	2.18
02/22/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.73	0.00	5.73	90.0	5.16
02/22/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	8.36	0.00	8.36	90.0	7.52
02/22/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	11.86	0.00	11.86	90.0	10.67
02/22/2018	mfc991470 LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.63	0.00	4.63	90.0	4.17
02/22/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	4.47	0.00	4.47	90.0	4.02
02/22/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	5.36	0.00	5.36	90.0	4.82
02/22/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	6.75	0.00	6.75	90.0	6.08
02/22/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	7.94	0.00	7.94	90.0	7.15
02/22/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	25.17	0.00	25.17	90.0	22.65
02/22/2018	mfc991470 LLOYD 991470	MFT GROWTH PORTFOLIO-A	B	0.00	5.67	0.00	5.67	90.0	5.10
02/22/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.39	0.00	0.39	90.0	0.35
02/22/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.84	0.00	0.84	90.0	0.76
02/22/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.27	0.00	1.27	90.0	1.14
02/22/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.78	0.00	4.78	90.0	4.30
02/22/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.89	0.00	5.89	90.0	5.30
02/22/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	0.04	0.00	0.04	90.0	0.04
02/22/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	7.12	0.00	7.12	90.0	6.41
02/22/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	8.17	0.00	8.17	90.0	7.35
02/22/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD DIVIDEND & GROWTH	B	0.00	0.01	0.00	0.01	90.0	0.01
02/22/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.96	0.00	0.96	90.0	0.86
02/22/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.42	0.00	4.42	90.0	3.98
02/22/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.24	0.00	5.24	90.0	4.72
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$180.86	\$0.00	\$180.86		\$162.76
*** CLEARING TRANSACTIONS TOTAL ***				\$214,547.80	\$6,027.90	\$72.50	\$5,955.40		\$5,359.85

ADJUSTMENTS

02/28/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
02/28/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
02/28/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	0.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-55.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,359.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$5,304.85
Year-to-date Commissions	\$13,919.36