

# Bill Payment Stub

Check Date:	3/7/2018
Check No.:	ACH
Check Amount:	1,340.73

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: TOM BRISTER  
32180 INVERNESS DR.  
EVERGREEN, CO 80439

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
2/28/2018	Bill	COMMISSIONS	1,580.73	1,580.73		1,580.73
3/1/2018	Credit	E&O	-120.00	-120.00		-120.00
3/1/2018	Credit	E&O-ALEX BRISTER	-120.00	-120.00		-120.00

# COMMISSION STATEMENT February 28, 2018

TOM BRISTER-D011

BROKER #: D011

BRANCH: DMD

, TX

## COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

02/01/2018 368372596	SMILLIE, SELLA	MF	RUSSELL LIFEPOINTS BAL STRATEGY B	-5,992.55	0.00	5.00	-5.00	87.5	-4.38
* MUTUAL FUNDS SUBTOTAL *				\$-5,992.55	\$0.00	\$5.00	\$-5.00		\$-4.38

#### PRODUCT CATEGORY: STOCKS

02/09/2018 154105444	BAKER, CLAUDE	S	NEUBERGER BERMAN REAL ESTATE	S	-62,098.29	15.00	15.00	0.00	87.5	0.00
01/30/2018 307663507	GOTHARD, CAROLYN	S	NUVEEN ENHANCED AMT-FREE	S	-9,321.45	15.00	15.00	0.00	87.5	0.00
01/31/2018 307663507	GOTHARD, CAROLYN	S	NEUBERGER BERMAN REAL ESTATE	S	-8,944.84	15.00	15.00	0.00	87.5	0.00
02/07/2018 307663507	GOTHARD, CAROLYN	S	PROSHARES ULTRASHORT EURO	B	2,861.55	15.00	15.00	0.00	87.5	0.00
02/14/2018 307663507	GOTHARD, CAROLYN	S	WILLIAMS PARTNERS LP NEW	B	2,839.14	15.00	15.00	0.00	87.5	0.00
02/21/2018 368331302	HILL, KAREN JOLLY	S	APACHE CORPORATION	S	-2,602.60	15.00	15.00	0.00	87.5	0.00
02/21/2018 368332548	HILL, AUBREY	S	APACHE CORPORATION	S	-5,226.97	15.00	15.00	0.00	87.5	0.00
02/09/2018 368431614	STUBBS, JACQUELINE	S	TEMPLETON DRAGON FUND	S	-12,094.77	15.35	0.00	15.35	87.5	13.43
02/09/2018 368431614	STUBBS, JACQUELINE	S	TEMPLETON DRAGON FUND	S	-2,084.85	2.65	15.00	-12.35	87.5	-10.81
01/31/2018 368434427	BRISTER, THOMAS	S	WILLIAMS PARTNERS LP NEW	B	10,040.72	15.00	15.00	0.00	87.5	0.00
02/02/2018 368434427	BRISTER, THOMAS	S	COHEN & STEERS QUALITY INCOME	S	-5,372.83	15.00	15.00	0.00	87.5	0.00
02/07/2018 368434427	BRISTER, THOMAS	S	PROSHARES ULTRASHORT EURO	B	8,140.57	15.00	15.00	0.00	87.5	0.00
02/09/2018 368434427	BRISTER, THOMAS	S	NEUBERGER BERMAN REAL ESTATE	S	-5,020.54	15.00	15.00	0.00	87.5	0.00
02/09/2018 368434427	BRISTER, THOMAS	S	TEMPLETON DRAGON FUND	S	-4,187.47	10.43	0.00	10.43	87.5	9.13
02/09/2018 368434427	BRISTER, THOMAS	S	TEMPLETON DRAGON FUND	S	-3,033.46	7.57	15.00	-7.43	87.5	-6.50
02/14/2018 368434427	BRISTER, THOMAS	S	WILLIAMS PARTNERS LP NEW	B	7,872.50	15.00	15.00	0.00	87.5	0.00
02/15/2018 368434427	BRISTER, THOMAS	S	OVERSTOCK.COM INC	B	4,791.20	15.00	15.00	0.00	87.5	0.00
02/15/2018 368434427	BRISTER, THOMAS	S	OVERSTOCK.COM INC	B	-4,791.20	-15.00	-15.00	0.00	87.5	0.00
02/15/2018 368434427	BRISTER, THOMAS	S	OVERSTOCK.COM INC	B	-4,947.95	-15.00	-15.00	0.00	87.5	0.00
02/15/2018 368434427	BRISTER, THOMAS	S	OVERSTOCK.COM INC	B	4,947.95	15.00	15.00	0.00	87.5	0.00
02/15/2018 368434427	BRISTER, THOMAS	S	OVERSTOCK.COM INC	B	4,947.95	15.00	15.00	0.00	87.5	0.00
02/15/2018 368434452	GREGENSEN, DONNA	S	SELECT SECTOR SPDR FD MATERIALS	S	-19,616.24	298.00	15.00	283.00	87.5	247.63
02/15/2018 368434462	GREGENSEN, JOHN	S	SELECT SECTOR SPDR FD MATERIALS	S	-20,810.05	317.00	15.00	302.00	87.5	264.25
02/02/2018 368462732	GOTHARD, CAROLYN	S	COHEN & STEERS QUALITY INCOME	S	-44,754.51	15.00	15.00	0.00	87.5	0.00
02/05/2018 368462732	GOTHARD, CAROLYN	S	BLACKROCK CAP AND INCOME	S	-15,485.15	8.04	15.00	-6.96	87.5	-6.09
02/05/2018 368462732	GOTHARD, CAROLYN	S	BLACKROCK CAP AND INCOME	S	-13,402.73	6.96	0.00	6.96	87.5	6.09
02/06/2018 368462732	GOTHARD, CAROLYN	S	LIBERTY ALL STAR EQUITY FUND SH	S	-14,497.39	11.77	0.00	11.77	87.5	10.30

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
02/06/2018 368462732	GOTHARD, CAROLYN	S LIBERTY ALL STAR EQUITY FUND SH S	S	-1,145.05	0.92	0.00	0.92	87.5	0.81
02/06/2018 368462732	GOTHARD, CAROLYN	S LIBERTY ALL STAR EQUITY FUND SH S	S	-572.53	0.46	0.00	0.46	87.5	0.40
02/06/2018 368462732	GOTHARD, CAROLYN	S LIBERTY ALL STAR EQUITY FUND SH S	S	-572.53	0.46	0.00	0.46	87.5	0.40
02/06/2018 368462732	GOTHARD, CAROLYN	S LIBERTY ALL STAR EQUITY FUND SH S	S	-572.53	0.46	0.00	0.46	87.5	0.40
02/06/2018 368462732	GOTHARD, CAROLYN	S LIBERTY ALL STAR EQUITY FUND SH S	S	-572.53	0.46	0.00	0.46	87.5	0.40
02/06/2018 368462732	GOTHARD, CAROLYN	S LIBERTY ALL STAR EQUITY FUND SH S	S	-570.08	0.46	15.00	-14.54	87.5	-12.72
02/07/2018 368462732	GOTHARD, CAROLYN	S GENERAL ELECTRIC COMPANY	B	23,664.19	15.00	15.00	0.00	87.5	0.00
02/07/2018 368462732	GOTHARD, CAROLYN	S PROSHARES ULTRASHORT EURO	B	23,738.01	15.00	15.00	0.00	87.5	0.00
02/09/2018 368462732	GOTHARD, CAROLYN	S TPG SPECIALTY LENDING INC	S	-20,768.60	15.00	15.00	0.00	87.5	0.00
02/14/2018 368462732	GOTHARD, CAROLYN	S WILLIAMS PARTNERS LP NEW	B	23,366.86	15.00	15.00	0.00	87.5	0.00
02/15/2018 368462732	GOTHARD, CAROLYN	S OVERSTOCK.COM INC	B	24,007.73	15.00	15.00	0.00	87.5	0.00
01/31/2018 676424960	BRISTER, THOMAS	S WILLIAMS PARTNERS LP NEW	B	10,031.50	15.00	15.00	0.00	87.5	0.00
02/05/2018 676424960	BRISTER, THOMAS	S ADVENT CLAYMORE GLOBAL	S	-13,352.31	12.93	15.00	-2.07	87.5	-1.81
02/05/2018 676424960	BRISTER, THOMAS	S ADVENT CLAYMORE GLOBAL	S	-5,230.61	5.07	0.00	5.07	87.5	4.44
02/05/2018 676424960	BRISTER, THOMAS	S BLACKROCK CAP AND INCOME	S	-19,054.11	15.00	15.00	0.00	87.5	0.00
02/05/2018 676424960	BRISTER, THOMAS	S BLACKROCK ENHANCED EQUITY	S	-7,983.15	6.39	0.00	6.39	87.5	5.59
02/05/2018 676424960	BRISTER, THOMAS	S BLACKROCK ENHANCED EQUITY	S	-3,029.88	2.40	15.00	-12.60	87.5	-11.03
02/05/2018 676424960	BRISTER, THOMAS	S BLACKROCK ENHANCED EQUITY	S	-827.31	0.67	0.00	0.67	87.5	0.59
02/05/2018 676424960	BRISTER, THOMAS	S BLACKROCK ENHANCED EQUITY	S	-830.31	0.67	0.00	0.67	87.5	0.59
02/05/2018 676424960	BRISTER, THOMAS	S BLACKROCK ENHANCED EQUITY	S	-830.31	0.67	0.00	0.67	87.5	0.59
02/05/2018 676424960	BRISTER, THOMAS	S BLACKROCK ENHANCED EQUITY	S	-831.31	0.67	0.00	0.67	87.5	0.59
02/05/2018 676424960	BRISTER, THOMAS	S BLACKROCK ENHANCED EQUITY	S	-831.31	0.67	0.00	0.67	87.5	0.59
02/05/2018 676424960	BRISTER, THOMAS	S BLACKROCK ENHANCED EQUITY	S	-831.31	0.67	0.00	0.67	87.5	0.59
02/05/2018 676424960	BRISTER, THOMAS	S BLACKROCK ENHANCED EQUITY	S	-831.31	0.67	0.00	0.67	87.5	0.59
02/05/2018 676424960	BRISTER, THOMAS	S BLACKROCK ENHANCED EQUITY	S	-831.31	0.67	0.00	0.67	87.5	0.59
02/05/2018 676424960	BRISTER, THOMAS	S BLACKROCK ENHANCED EQUITY	S	-831.31	0.67	0.00	0.67	87.5	0.59
02/05/2018 676424960	BRISTER, THOMAS	S BLACKROCK ENHANCED EQUITY	S	-831.31	0.67	0.00	0.67	87.5	0.59
02/05/2018 676424960	BRISTER, THOMAS	S BLACKROCK ENHANCED EQUITY	S	-266.02	0.21	0.00	0.21	87.5	0.18
02/05/2018 676424960	BRISTER, THOMAS	S WESTERN ASSET GLOBAL HIGH	S	-4,673.13	11.16	0.00	11.16	87.5	9.77
02/05/2018 676424960	BRISTER, THOMAS	S WESTERN ASSET GLOBAL HIGH	S	-954.70	2.28	0.00	2.28	87.5	2.00
02/05/2018 676424960	BRISTER, THOMAS	S WESTERN ASSET GLOBAL HIGH	S	-955.70	2.28	0.00	2.28	87.5	2.00
02/05/2018 676424960	BRISTER, THOMAS	S WESTERN ASSET GLOBAL HIGH	S	-953.25	2.28	15.00	-12.72	87.5	-11.13
02/06/2018 676424960	BRISTER, THOMAS	S LIBERTY ALL STAR EQUITY FUND SH S	S	-19,968.97	12.81	0.00	12.81	87.5	11.21
02/06/2018 676424960	BRISTER, THOMAS	S LIBERTY ALL STAR EQUITY FUND SH S	S	-2,853.11	1.82	0.00	1.82	87.5	1.59
02/06/2018 676424960	BRISTER, THOMAS	S LIBERTY ALL STAR EQUITY FUND SH S	S	-568.18	0.36	15.00	-14.64	87.5	-12.81
02/07/2018 676424960	BRISTER, THOMAS	S PROSHARES ULTRASHORT EURO	B	10,169.95	15.00	15.00	0.00	87.5	0.00
02/09/2018 676424960	BRISTER, THOMAS	S TEMPLETON DRAGON FUND	S	-4,155.53	8.37	0.00	8.37	87.5	7.32
02/09/2018 676424960	BRISTER, THOMAS	S TEMPLETON DRAGON FUND	S	-2,095.76	4.19	0.00	4.19	87.5	3.67
02/09/2018 676424960	BRISTER, THOMAS	S TEMPLETON DRAGON FUND	S	-2,093.31	4.19	15.00	-10.81	87.5	-9.46
02/09/2018 676424960	BRISTER, THOMAS	S TEMPLETON DRAGON FUND	S	-625.13	1.26	0.00	1.26	87.5	1.10
02/09/2018 676424960	BRISTER, THOMAS	S TPG SPECIALTY LENDING INC	S	-6,917.77	15.00	15.00	0.00	87.5	0.00
02/14/2018 676424960	BRISTER, THOMAS	S WILLIAMS PARTNERS LP NEW	B	9,969.50	15.00	15.00	0.00	87.5	0.00
02/15/2018 676424960	BRISTER, THOMAS	S OVERSTOCK.COM INC	B	9,534.40	15.00	15.00	0.00	87.5	0.00
02/15/2018 676424960	BRISTER, THOMAS	S OVERSTOCK.COM INC	B	-9,534.40	-15.00	-15.00	0.00	87.5	0.00
02/15/2018 676424960	BRISTER, THOMAS	S OVERSTOCK.COM INC	B	-9,894.20	-15.00	-15.00	0.00	87.5	0.00

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
02/15/2018 676424960	BRISTER, THOMAS	S OVERSTOCK.COM INC	B	9,894.20	15.00	15.00	0.00	87.5	0.00
02/15/2018 676424960	BRISTER, THOMAS	S OVERSTOCK.COM INC	B	9,894.20	15.00	15.00	0.00	87.5	0.00
02/07/2018 677639743	GRAHAM, DAVID	S GENERAL ELECTRIC COMPANY	B	3,021.28	45.00	15.00	30.00	87.5	26.25
02/14/2018 677639743	GRAHAM, DAVID	S WILLIAMS PARTNERS LP NEW	B	10,866.66	150.00	15.00	135.00	87.5	118.13
02/07/2018 677663636	BAKER, CLAUDE	S GENERAL ELECTRIC COMPANY	B	3,020.50	45.00	15.00	30.00	87.5	26.25
02/07/2018 677663636	BAKER, CLAUDE	S PROSHARES ULTRASHORT EURO	B	3,035.52	45.00	15.00	30.00	87.5	26.25
02/07/2018 677663636	BAKER, CLAUDE	S TEMPLETON DRAGON FUND	B	3,041.95	45.00	15.00	30.00	87.5	26.25
02/05/2018 799810243	WILFORD (TOD), DAN	S WESTERN ASSET GLOBAL HIGH	S	-11,929.07	14.45	0.00	14.45	87.5	12.64
02/05/2018 799810243	WILFORD (TOD), DAN	S WESTERN ASSET GLOBAL HIGH	S	-977.80	1.18	0.00	1.18	87.5	1.03
02/05/2018 799810243	WILFORD (TOD), DAN	S WESTERN ASSET GLOBAL HIGH	S	-977.80	1.18	0.00	1.18	87.5	1.03
02/05/2018 799810243	WILFORD (TOD), DAN	S WESTERN ASSET GLOBAL HIGH	S	-975.36	1.18	15.00	-13.82	87.5	-12.09
02/06/2018 799810243	WILFORD (TOD), DAN	S LIBERTY ALL STAR EQUITY FUND SH S	S	-14,182.59	13.63	0.00	13.63	87.5	11.93
02/06/2018 799810243	WILFORD (TOD), DAN	S LIBERTY ALL STAR EQUITY FUND SH S	S	-2,857.20	2.73	0.00	2.73	87.5	2.39
02/06/2018 799810243	WILFORD (TOD), DAN	S LIBERTY ALL STAR EQUITY FUND SH S	S	-1,708.87	1.64	15.00	-13.36	87.5	-11.69
* STOCKS SUBTOTAL *				<b>\$-215,612.81</b>	<b>\$1,506.01</b>	<b>\$645.00</b>	<b>\$861.01</b>		<b>\$753.43</b>

PRODUCT CATEGORY: MISCELLANEOUS

02/02/2018 a03564136	BRISTER-D011, TOM	MI	MUTUAL FUND MISC FEE 368372596	S	0.00	5.00	0.00	5.00	87.5	4.38
* MISCELLANEOUS SUBTOTAL *					<b>\$0.00</b>	<b>\$5.00</b>	<b>\$0.00</b>	<b>\$5.00</b>		<b>\$4.38</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/22/2018 mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	0.66	0.00	0.66	87.5	0.58
02/22/2018 mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	2.10	0.00	2.10	87.5	1.84
02/22/2018 mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	13.80	0.00	13.80	87.5	12.08
02/22/2018 mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	55.39	0.00	55.39	87.5	48.47
02/22/2018 mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	73.24	0.00	73.24	87.5	64.09
02/22/2018 mfc564136	BRISTER T 564136	MFT FEDERATED EQUITY INCOME FUND	B	0.00	0.40	0.00	0.40	87.5	0.35
02/22/2018 mfc564136	BRISTER T 564136	MFT JP MORGAN INCOME BUILDER FD CL	B	0.00	10.33	0.00	10.33	87.5	9.04
02/22/2018 mfc564136	BRISTER T 564136	MFT PIMCO SHORT TERM FD CL C	B	0.00	7.95	0.00	7.95	87.5	6.96
02/22/2018 mfc564136	BRISTER T 564136	MFT PRINCIPAL INVESTORS SAM CONSERV	B	0.00	3.95	0.00	3.95	87.5	3.46
02/22/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	1.61	0.00	1.61	87.5	1.41
02/22/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	2.25	0.00	2.25	87.5	1.97
02/22/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	2.25	0.00	2.25	87.5	1.97
02/22/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	23.53	0.00	23.53	87.5	20.59
02/22/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	27.16	0.00	27.16	87.5	23.77
02/22/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	28.57	0.00	28.57	87.5	25.00
02/22/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	53.31	0.00	53.31	87.5	46.65
02/22/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	2.19	0.00	2.19	87.5	1.92
02/22/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	2.63	0.00	2.63	87.5	2.30
02/22/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	3.72	0.00	3.72	87.5	3.26
02/22/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	40.89	0.00	40.89	87.5	35.78
02/22/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	60.92	0.00	60.92	87.5	53.31
02/22/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	63.02	0.00	63.02	87.5	55.14
02/22/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	110.32	0.00	110.32	87.5	96.53
02/22/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS EQTY GROWTH	B	0.00	1.95	0.00	1.95	87.5	1.71

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
02/22/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS MOD STRATEGY	B	0.00	23.78	0.00	23.78	87.5	20.81
02/22/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS MOD STRATEGY	B	0.00	24.36	0.00	24.36	87.5	21.32
02/22/2018	mfc564136 BRISTER T 564136	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	8.94	0.00	8.94	87.5	7.82
02/22/2018	mfc564136 BRISTER T 564136	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	3.78	0.00	3.78	87.5	3.31
02/22/2018	mfc564136 BRISTER T 564136	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	21.73	0.00	21.73	87.5	19.01
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$674.73</b>	<b>\$0.00</b>	<b>\$674.73</b>		<b>\$590.45</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-221,605.36</b>	<b>\$2,185.74</b>	<b>\$650.00</b>	<b>\$1,535.74</b>		<b>\$1,343.88</b>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

02/15/2018	(None) 0045801164	MF SCHOLARS CHOICE	B	0.00	6.00	0.00	6.00	87.5	5.25
02/15/2018	00064805200 SCHMIDT,	MF NEW PERSPECTIVE FUND-A	B	70.00	3.50	0.00	3.50	87.5	3.06
02/15/2018	00064805200 SCHMIDT,	MF THE INVESTMENT COMPANY OF	B	70.00	3.50	0.00	3.50	87.5	3.06
02/15/2018	00086110559 DRAUGHN, DUSTIN	MF THE INVESTMENT COMPANY OF	B	300.00	11.25	0.00	11.25	87.5	9.84
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$440.00</b>	<b>\$24.25</b>	<b>\$0.00</b>	<b>\$24.25</b>		<b>\$21.21</b>

PRODUCT CATEGORY: VARIABLE ANNUITIES

02/15/2018	(None) WOODS	VA PHOENIX LIFE	B	0.00	6.66	0.00	6.66	87.5	5.83
02/15/2018	(None) GREGERSEN	VA PHOENIX LIFE	B	0.00	4.00	0.00	4.00	87.5	3.50
02/16/2018	(None) BRISTER, LYDIA	VA PRUDENTIAL LIFE	B	0.00	10.00	0.00	10.00	87.5	8.75
02/18/2018	(None) BLACKWELL, JACQU	VA AMERITAS	B	0.00	9.48	0.00	9.48	87.5	8.30
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$30.14</b>	<b>\$0.00</b>	<b>\$30.14</b>		<b>\$26.38</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/31/2018	(None) MOINAT, IRIS	MFT COLUMBIA THREADNEEDLE	B	0.00	24.03	0.00	24.03	87.5	21.03
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$24.03</b>	<b>\$0.00</b>	<b>\$24.03</b>		<b>\$21.03</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$440.00</b>	<b>\$78.42</b>	<b>\$0.00</b>	<b>\$78.42</b>		<b>\$68.62</b>

OVERRIDE TRANSACTIONSBROKER: ALEX BRISTER-D010 #14

02/05/2018	(None) 0045709342	MF SCHOLARS CHOICE	B	0.00	1.50	0.00	1.50		0.56
02/05/2018	(None) 0045711389	MF SCHOLARS CHOICE	B	0.00	1.50	0.00	1.50		0.56
02/05/2018	(None) 0045795127	MF SCHOLARS CHOICE	B	0.00	1.50	0.00	1.50		0.56
02/12/2018	00068781179 GERRIE, SARA	MF AMCAP FUND-A	B	300.00	8.25	0.00	8.25		3.09
02/15/2018	00083404474 BARNES, WENDY	MF AMCAP FUND-A	B	500.00	18.75	0.00	18.75		7.03
01/30/2018	368328865 NEWKIRK, JOHN	MF CAPITAL WORLD GROWTH AND INCOME	S	982.45	48.75	5.00	43.75		16.41
01/30/2018	368328865 NEWKIRK, JOHN	MF NEW PERSPECTIVE FUND-A	S	657.45	32.50	5.00	27.50		10.31
01/30/2018	368328865 NEWKIRK, JOHN	MF WASHINGTON MUTUAL INVESTORS	S	1,632.45	81.25	5.00	76.25		28.59
02/02/2018	676429808 BRISTER, THOMAS	MF T ROWE PRICE REAL ESTATE FD INV	S	-2,645.94	0.00	5.00	-5.00		-1.88
01/31/2018	a03652653 BRISTER-D010, ALEX	MI MUTUAL FUND MISC FEE 368328865	S	0.00	5.00	0.00	5.00		1.88
02/01/2018	a03652653 BRISTER-D010, ALEX	MI MUTUAL FUND MISC FEE 368328865	S	0.00	5.00	0.00	5.00		1.88

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
02/01/2018	a03652653	BRISTER-D010, ALEX	MI	MUTUAL FUND MISC FEE 368328865	S	0.00	5.00	0.00	5.00	1.88
02/05/2018	a03652653	BRISTER-D010, ALEX	MI	MUTUAL FUND MISC FEE 676429808	S	0.00	5.00	0.00	5.00	1.88
02/22/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZ NFJ INTL VALUE FD CL C	B	0.00	11.18	0.00	11.18	4.19
02/22/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI GLOBAL ALLOCATION A	B	0.00	2.79	0.00	2.79	1.05
02/22/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	13.63	0.00	13.63	5.11
02/22/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	36.44	0.00	36.44	13.67
02/22/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI SHRT DURATION HIGH	B	0.00	8.33	0.00	8.33	3.12
02/22/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-A	B	0.00	0.42	0.00	0.42	0.16
02/22/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-A	B	0.00	3.14	0.00	3.14	1.18
02/22/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-A	B	0.00	10.15	0.00	10.15	3.81
02/22/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.30	0.00	0.30	0.11
02/22/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.06	0.00	4.06	1.52
02/22/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.29	0.00	2.29	0.86
02/22/2018	mfc652653	BRISTER A 652653	MFT	EUROPACIFIC GROWTH FUND CLASS C	B	0.00	4.05	0.00	4.05	1.52
02/22/2018	mfc652653	BRISTER A 652653	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	0.19	0.00	0.19	0.07
02/22/2018	mfc652653	BRISTER A 652653	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	0.35	0.00	0.35	0.13
02/22/2018	mfc652653	BRISTER A 652653	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	3.24	0.00	3.24	1.22
02/22/2018	mfc652653	BRISTER A 652653	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	3.65	0.00	3.65	1.37
02/22/2018	mfc652653	BRISTER A 652653	MFT	INVESTMENT COMPANY OF AMERICA	B	0.00	2.34	0.00	2.34	0.88
02/22/2018	mfc652653	BRISTER A 652653	MFT	INVESTMENT COMPANY OF AMERICA	B	0.00	6.14	0.00	6.14	2.30
02/22/2018	mfc652653	BRISTER A 652653	MFT	NEW PERSPECTIVE FUND-A	B	0.00	1.29	0.00	1.29	0.48
02/22/2018	mfc652653	BRISTER A 652653	MFT	NEW PERSPECTIVE FUND-A	B	0.00	1.37	0.00	1.37	0.51
02/22/2018	mfc652653	BRISTER A 652653	MFT	NEW PERSPECTIVE FUND-A	B	0.00	1.89	0.00	1.89	0.71
02/22/2018	mfc652653	BRISTER A 652653	MFT	NEW PERSPECTIVE FUND-C	B	0.00	2.90	0.00	2.90	1.09
02/22/2018	mfc652653	BRISTER A 652653	MFT	NEW PERSPECTIVE FUND-C	B	0.00	28.09	0.00	28.09	10.53
02/22/2018	mfc652653	BRISTER A 652653	MFT	NEW WORLD FUND-A	B	0.00	1.62	0.00	1.62	0.61
02/22/2018	mfc652653	BRISTER A 652653	MFT	PIM 018918664	B	0.00	4.62	0.00	4.62	1.73
02/22/2018	mfc652653	BRISTER A 652653	MFT	PIM 018918664	B	0.00	5.17	0.00	5.17	1.94
02/22/2018	mfc652653	BRISTER A 652653	MFT	PIMCO STOCKSPUS A	B	0.00	2.67	0.00	2.67	1.00
02/22/2018	mfc652653	BRISTER A 652653	MFT	SHORT-TERM BOND FUND OF AMERICA	B	0.00	1.27	0.00	1.27	0.48
02/22/2018	mfc652653	BRISTER A 652653	MFT	SMALLCAP WORLD FUND-A	B	0.00	0.16	0.00	0.16	0.06
02/22/2018	mfc652653	BRISTER A 652653	MFT	SMALLCAP WORLD FUND-A	B	0.00	1.75	0.00	1.75	0.66
02/22/2018	mfc652653	BRISTER A 652653	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	5.73	0.00	5.73	2.15
02/22/2018	mfc652653	BRISTER A 652653	MFT	THE INVESTMENT COMPANY OF	B	0.00	1.80	0.00	1.80	0.68
02/22/2018	mfc652653	BRISTER A 652653	MFT	THE INVESTMENT COMPANY OF	B	0.00	2.25	0.00	2.25	0.84
02/22/2018	mfc652653	BRISTER A 652653	MFT	THE INVESTMENT COMPANY OF	B	0.00	3.96	0.00	3.96	1.49
02/22/2018	mfc652653	BRISTER A 652653	MFT	THE INVESTMENT COMPANY OF	B	0.00	12.25	0.00	12.25	4.59
02/22/2018	mfc652653	BRISTER A 652653	MFT	THE INVESTMENT COMPANY OF	B	0.00	0.26	0.00	0.26	0.10
02/22/2018	mfc652653	BRISTER A 652653	MFT	THE INVESTMENT COMPANY OF	B	0.00	1.44	0.00	1.44	0.54
02/22/2018	mfc652653	BRISTER A 652653	MFT	THE INVESTMENT COMPANY OF	B	0.00	1.77	0.00	1.77	0.66
02/22/2018	mfc652653	BRISTER A 652653	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	0.02	0.00	0.02	0.01
* ALEX BRISTER-D010 SUBTOTAL *					\$1,426.41	\$408.97	\$20.00	\$388.97	\$145.88	
*** OVERRIDE TRANSACTIONS TOTAL ***					\$1,426.41	\$408.97	\$20.00	\$388.97	\$145.88	

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

02/28/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
02/28/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
02/28/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		77.35
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$22.35

BROKER COMMISSION TOTALS

Broker Transactions	\$1,412.50
Split Transactions	\$0.00
Override Transactions	\$145.88
Adjustments	\$22.35
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,580.73
Year-to-date Commissions	\$6,858.08