



Concorde Investment Services, LLC
COMMISSION STATEMENT
November 15, 2017

11/13/2017
Page No. 1

ROBIN EDGAR
1161 WAYZATA BLVD E
#400
Wayzata, MN 55391

BROKER #: E119
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
11/08/2017	KOSS-WINN BANC ESOP	BITCOIN SVCS INC COM	-12,036.00	50.00	27.00	23.00	90.0	20.70
11/07/2017	KOSS-WINN BANC ESOP	BTCS INC COM NEW	4,510.00	50.00	27.00	23.00	90.0	20.70
11/03/2017	KOSS-WINN BANC ESOP	TIVO CORP COM	17,494.60	50.00	23.50	26.50	90.0	23.85
* STOCKS SUBTOTAL *			\$9,968.60	\$150.00	\$77.50	\$72.50		\$65.25
*** CLEARING TRANSACTIONS TOTAL ***			\$9,968.60	\$150.00	\$77.50	\$72.50		\$65.25

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: OIL & GAS</u>								
10/24/2017	RICHTER, JASON	WAVELAND RESOURCE PARTNERS IV	150,000.00	10,500.00	0.00	10,500.00	90.0	9,450.00
* OIL & GAS SUBTOTAL *			\$150,000.00	\$10,500.00	\$0.00	\$10,500.00		\$9,450.00
<u>PRODUCT CATEGORY: REIT</u>								
10/20/2017	HANSON, CARRIE	COTTONWOOD MULTIFAMILY REIT II	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
10/20/2017	KOSS-WINN BANC ESOP	COTTONWOOD MULTIFAMILY REIT II	20,000.00	1,200.00	0.00	1,200.00	90.0	1,080.00
10/20/2017	KOSS-WINN BANC ESOP	COTTONWOOD MULTIFAMILY REIT II	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
11/02/2017	DOANE TRUST, LAVONNE	COTTONWOOD MULTIFAMILY REIT II	50,000.00	3,000.00	0.00	3,000.00	90.0	2,700.00
* REIT SUBTOTAL *			\$120,000.00	\$7,200.00	\$0.00	\$7,200.00		\$6,480.00

<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
10/12/2017	STRIPLING, KERRY	MULTI STRATEGY GRWTH & INC FUND A	0.00	7.08	0.00	7.08	90.0	6.37
10/12/2017	GROSS, ROBERT	MULTI STRATEGY GRWTH & INC FUND A	0.00	22.69	0.00	22.69	90.0	20.42
10/12/2017	SCHLICHTING, LAURA	MULTI STRATEGY GRWTH & INC FUND A	0.00	5.56	0.00	5.56	90.0	5.00
10/12/2017	EDGAR, ROBIN	MULTI STRATEGY GRWTH & INC FUND A	0.00	2.35	0.00	2.35	90.0	2.12
10/12/2017	ENGBRETSON, ROGER	MULTI STRATEGY GRWTH & INC FUND A	0.00	5.57	0.00	5.57	90.0	5.01
10/12/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	0.00	10.85	0.00	10.85	90.0	9.77
10/12/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	0.00	5.42	0.00	5.42	90.0	4.88

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
10/12/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	0.00	7.55	0.00	7.55	90.0	6.80
10/12/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	0.00	4.34	0.00	4.34	90.0	3.91
10/12/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	0.00	5.27	0.00	5.27	90.0	4.74
10/12/2017	KONEFES, ALISON	MULTI STRATEGY GRWTH & INC FUND A	0.00	9.06	0.00	9.06	90.0	8.15
10/12/2017	TORNQUIST, SCOTT	MULTI STRATEGY GRWTH & INC FUND A	0.00	14.91	0.00	14.91	90.0	13.42
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$100.65	\$0.00	\$100.65		\$90.59
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/02/2017	POST, KENNETH	AM FUNDS AMCAP A	115.90	3.19	0.00	3.19	90.0	2.87
11/02/2017	POST, KENNETH	AM FUNDS AMCAP A	115.90	3.19	0.00	3.19	90.0	2.87
11/02/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	44.75	1.23	0.00	1.23	90.0	1.11
11/02/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	44.75	1.23	0.00	1.23	90.0	1.11
11/02/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	44.75	1.23	0.00	1.23	90.0	1.11
11/02/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	44.75	1.23	0.00	1.23	90.0	1.11
10/30/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
10/30/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
11/02/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	127.47	3.51	0.00	3.51	90.0	3.16
11/02/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	127.47	3.51	0.00	3.51	90.0	3.16
11/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	90.0	2.53
11/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
11/02/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	44.01	1.21	0.00	1.21	90.0	1.09
11/02/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	88.03	2.42	0.00	2.42	90.0	2.18
10/23/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	45.79	1.26	0.00	1.26	90.0	1.13
10/23/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	45.79	1.26	0.00	1.26	90.0	1.13
10/26/2017	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	56.76	1.56	0.00	1.56	90.0	1.40
10/26/2017	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	75.69	2.08	0.00	2.08	90.0	1.87
10/26/2017	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	64.93	1.79	0.00	1.79	90.0	1.61
10/26/2017	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	86.58	2.38	0.00	2.38	90.0	2.14
10/30/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	49.87	1.37	0.00	1.37	90.0	1.23
10/30/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	49.87	1.37	0.00	1.37	90.0	1.23
11/02/2017	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	137.09	3.77	0.00	3.77	90.0	3.39
11/02/2017	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	137.09	3.77	0.00	3.77	90.0	3.39
11/02/2017	KRAMER, MERVIN	AM FUNDS GROWTH AND INCOME A	107.83	2.97	0.00	2.97	90.0	2.67
11/02/2017	KRAMER, MERVIN	AM FUNDS GROWTH AND INCOME A	359.43	9.88	0.00	9.88	90.0	8.89

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/02/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	44.01	1.21	0.00	1.21	90.0	1.09
11/02/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	88.03	2.42	0.00	2.42	90.0	2.18
11/02/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	46.11	1.27	0.00	1.27	90.0	1.14
11/02/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	46.11	1.27	0.00	1.27	90.0	1.14
10/23/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	85.03	2.34	0.00	2.34	90.0	2.11
10/23/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	51.02	1.40	0.00	1.40	90.0	1.26
10/26/2017	PAGE, SUSAN	AM FUNDS GROWTH PORT A	51.76	1.42	0.00	1.42	90.0	1.28
10/26/2017	PAGE, SUSAN	AM FUNDS GROWTH PORT A	69.02	1.90	0.00	1.90	90.0	1.71
10/26/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	86.59	2.38	0.00	2.38	90.0	2.14
10/26/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	64.94	1.79	0.00	1.79	90.0	1.61
10/26/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	15.67	0.43	0.00	0.43	90.0	0.39
10/26/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	20.89	0.57	0.00	0.57	90.0	0.51
10/30/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	45.89	1.26	0.00	1.26	90.0	1.13
10/30/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	76.48	2.10	0.00	2.10	90.0	1.89
11/02/2017	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	157.42	4.33	0.00	4.33	90.0	3.90
11/02/2017	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	262.36	7.21	0.00	7.21	90.0	6.49
11/02/2017	FIELD, BARRY	AM FUNDS ICA A	44.01	1.21	0.00	1.21	90.0	1.09
11/02/2017	FIELD, BARRY	AM FUNDS ICA A	88.01	2.42	0.00	2.42	90.0	2.18
11/02/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	44.02	1.21	0.00	1.21	90.0	1.09
11/02/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	88.03	2.42	0.00	2.42	90.0	2.18
11/01/2017	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	75.00	2.81	0.00	2.81	90.0	2.53
10/26/2017	GROSS, JUDY	AM FUNDS NEW WORLD A	1,000.00	27.50	0.00	27.50	90.0	24.75
10/23/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	24.24	0.67	0.00	0.67	90.0	0.60
10/23/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	24.24	0.67	0.00	0.67	90.0	0.60
10/23/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	108.24	2.98	0.00	2.98	90.0	2.68
10/23/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	54.12	1.49	0.00	1.49	90.0	1.34
10/23/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	47.52	1.31	0.00	1.31	90.0	1.18
10/23/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	79.20	2.18	0.00	2.18	90.0	1.96
10/30/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	25.32	0.70	0.00	0.70	90.0	0.63
10/30/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	25.32	0.70	0.00	0.70	90.0	0.63
10/30/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	107.32	2.95	0.00	2.95	90.0	2.66
10/30/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	53.66	1.48	0.00	1.48	90.0	1.33
10/30/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	46.71	1.28	0.00	1.28	90.0	1.15

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/30/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	77.85	2.14	0.00	2.14	90.0	1.93
10/30/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	2.75	0.00	2.75	90.0	2.48
10/30/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	233.33	6.42	0.00	6.42	90.0	5.78
10/26/2017	GROSS, ROBERT	AMERICAN FUNDS DEVELOPING WORLD GRO	1,000.00	27.50	0.00	27.50	90.0	24.75
* MUTUAL FUNDS SUBTOTAL *			\$6,790.97	\$189.02	\$0.00	\$189.02		\$170.12
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/19/2017	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	31.01	0.00	31.01	90.0	27.91
10/19/2017	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	29.65	0.00	29.65	90.0	26.69
10/19/2017	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.17	0.00	2.17	90.0	1.95
10/19/2017	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.29	0.00	2.29	90.0	2.06
10/19/2017	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.37	0.00	2.37	90.0	2.13
10/19/2017	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.46	0.00	2.46	90.0	2.21
10/19/2017	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.72	0.00	12.72	90.0	11.45
10/19/2017	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.71	0.00	8.71	90.0	7.84
10/19/2017	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	8.29	0.00	8.29	90.0	7.46
10/19/2017	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	7.02	0.00	7.02	90.0	6.32
10/18/2017	CAMPBELL, DENISE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.75	0.00	3.75	90.0	3.38
10/17/2017	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	48.41	0.00	48.41	90.0	43.57
10/17/2017	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	52.34	0.00	52.34	90.0	47.11
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$211.19	\$0.00	\$211.19		\$190.08
*** DIRECT TRANSACTIONS TOTAL ***			\$276,790.97	\$18,200.86	\$0.00	\$18,200.86		\$16,380.79
<u>ADJUSTMENTS</u>								
11/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
11/15/2017		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
11/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*LASER APP: CURRENT MONTH	0.00					-18.00
11/15/2017		*TECHNOLOGY: ADMIN - E ROELIKE	0.00					-130.00
11/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-653.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$16,446.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-653.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$15,793.04
Year-to-date Gross	\$337,062.72
Year-to-date Earnings	\$300,770.81