



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**September 30, 2017**

09/28/2017  
Page No. 1

DAMIAN DERING  
165 Culver Blvd  
Suite A  
Playa Del Rey, CA 90293

BROKER #: D127  
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
09/14/2017	AMIN FOUNDATION	TRILINC GLOBAL IMPACT FUND C	0.00	21.13	0.00	21.13	92.0	19.44
		<b>* PRIVATE PLACEMENT SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$21.13</b>	<b>\$0.00</b>	<b>\$21.13</b>		<b>\$19.44</b>
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
09/13/2017	6712 CULVER PLACE LL	PASSCO PEARCE DST	700,000.00	42,000.00	0.00	42,000.00	92.0	38,640.00
		<b>* 1031 EXCHANGES SUBTOTAL *</b>	<b>\$700,000.00</b>	<b>\$42,000.00</b>	<b>\$0.00</b>	<b>\$42,000.00</b>		<b>\$38,640.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/01/2017	KUSUNOKI, MIKE	AM FUNDS AMERICAN BALANCED 529C	0.00	10.48	0.00	10.48	92.0	9.64
09/01/2017	KUSUNOKI, MIKE	AM FUNDS AMERICAN BALANCED 529C	0.00	10.40	0.00	10.40	92.0	9.57
09/01/2017	BERNARD, AVA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.12	0.00	1.12	92.0	1.03
09/01/2017	BERNARD, AVA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.81	0.00	3.81	92.0	3.51
09/01/2017	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	35.22	0.00	35.22	92.0	32.40
09/01/2017	BERNARD, AVA	AM FUNDS NEW WORLD 529A	0.00	3.09	0.00	3.09	92.0	2.84
09/01/2017	BERNARD, JASON	AM FUNDS SMALLCAP WORLD A	0.00	2.04	0.00	2.04	92.0	1.88
09/01/2017	BERNARD, AVA	AM FUNDS SMALLCAP WORLD-529A	0.00	2.08	0.00	2.08	92.0	1.91
09/01/2017	BERNARD, AVA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.33	0.00	3.33	92.0	3.06
09/15/2017	MARTINEZ, ELSA	ASCENSUS NEA AGE-BASED GROWTH 6-10	500.00	15.00	0.00	15.00	92.0	13.80
/ /	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	0.00	2.00	0.00	2.00	92.0	1.84
09/15/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
09/15/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
09/14/2017	ANDREWS, LISA	OPPENHEIMER GLOBAL A	1,000.00	47.50	0.00	47.50	92.0	43.70
09/11/2017	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	500.00	5.00	0.00	5.00	92.0	4.60
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$2,300.00</b>	<b>\$153.83</b>	<b>\$0.00</b>	<b>\$153.83</b>		<b>\$141.52</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

09/21/2017	HAVENS, JACK	AM GEN SEASONS TRIPLE ELITE	80,357.47	301.34	0.00	301.34	92.0	277.23
* VARIABLE ANNUITIES SUBTOTAL *			\$80,357.47	\$301.34	\$0.00	\$301.34		\$277.23
*** BROKER TRANSACTIONS TOTAL ***			\$782,657.47	\$42,476.30	\$0.00	\$42,476.30		\$39,078.19

BROKER COMMISSION TOTALS

Broker Transactions	\$39,078.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$39,078.19
Year-to-date Gross	\$188,769.53
Year-to-date Earnings	\$172,804.62

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