



All Companies

06/28/2018

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# COMMISSION STATEMENT

July 02, 2018

JOHN HOWARD RUSSELL  
4618 118TH STREET

BROKER #: 9632  
BRANCH: HOME OFFICE

Lubbock, TX 79424-

## COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

06/22/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD	GROWT B	250.00	3.00	0.00	3.00	85.0	2.55
06/22/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD	GROWT B	105.00	1.26	0.00	1.26	85.0	1.07
06/22/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	INVES B	250.00	3.00	0.00	3.00	85.0	2.55
06/22/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	INVES B	105.00	1.26	0.00	1.26	85.0	1.07
06/22/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC	GROWT B	124.12	1.49	0.00	1.49	85.0	1.27
06/22/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC	GROWT B	124.11	1.49	0.00	1.49	85.0	1.27
06/22/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	124.12	1.49	0.00	1.49	85.0	1.27
06/22/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	124.12	1.49	0.00	1.49	85.0	1.27
06/22/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	127.87	1.53	0.00	1.53	85.0	1.30
06/22/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	127.88	1.53	0.00	1.53	85.0	1.30
06/15/2018	00073302989	RUSSELL, DAVID/NICKY	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	85.0	0.43
06/18/2018	00073404499	SULLIVAN/POTTS, SHERRY/MEGAN	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	85.0	0.85
06/11/2018	00073552220	BARR, CHESTER A	CAPITAL WORLD GROWTH AND INCOME-529 B		75.00	2.81	0.00	2.81	85.0	2.39
06/11/2018	00073552220	BARR, CHESTER A	WASHINGTON MUTUAL INVESTORS FUND-52 B		75.00	2.81	0.00	2.81	85.0	2.39
06/22/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	145.87	1.75	0.00	1.75	85.0	1.49
06/22/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	145.86	1.75	0.00	1.75	85.0	1.49
06/22/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL INCOME BUILD B		58.34	0.70	0.00	0.70	85.0	0.60
06/22/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL INCOME BUILD B		58.35	0.70	0.00	0.70	85.0	0.60
06/22/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL WORLD GROWT B		87.52	1.05	0.00	1.05	85.0	0.89
06/22/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL WORLD GROWT B		87.52	1.05	0.00	1.05	85.0	0.89
06/22/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS AMCAP FD	B	340.26	4.08	0.00	4.08	85.0	3.47
06/22/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS AMCAP FD	B	102.08	1.22	0.00	1.22	85.0	1.04
06/22/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL INCOME BUILD B		255.19	3.06	0.00	3.06	85.0	2.60

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/22/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL INCOME BUILD B	76.55	0.92	0.00	0.92	85.0	0.78
06/22/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL WORLD GROWT B	255.19	3.06	0.00	3.06	85.0	2.60
06/22/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL WORLD GROWT B	76.56	0.92	0.00	0.92	85.0	0.78
06/14/2018	00075700317	BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B	403.36	8.07	0.00	8.07	85.0	6.86
06/14/2018	00075700317	BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B	201.68	4.03	0.00	4.03	85.0	3.43
06/14/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD B	149.62	2.99	0.00	2.99	85.0	2.54
06/14/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD B	49.87	1.00	0.00	1.00	85.0	0.85
06/14/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B	269.31	5.39	0.00	5.39	85.0	4.58
06/14/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B	89.77	1.80	0.00	1.80	85.0	1.53
06/14/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B	179.54	3.59	0.00	3.59	85.0	3.05
06/14/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B	59.85	1.20	0.00	1.20	85.0	1.02
06/14/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B	80.00	1.60	0.00	1.60	85.0	1.36
06/14/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B	31.90	0.64	0.00	0.64	85.0	0.54
06/14/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B	80.00	1.60	0.00	1.60	85.0	1.36
06/14/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B	31.91	0.64	0.00	0.64	85.0	0.54
06/14/2018	00082170622	SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B	50.12	1.00	0.00	1.00	85.0	0.85
06/14/2018	00082170622	SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B	50.12	1.00	0.00	1.00	85.0	0.85
06/14/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS EURO PACIFIC GROWT B	77.33	1.55	0.00	1.55	85.0	1.32
	R								
06/14/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS EURO PACIFIC GROWT B	30.93	0.62	0.00	0.62	85.0	0.53
	R								
06/14/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS GROWTH FUND OF AMERI B	92.80	1.86	0.00	1.86	85.0	1.58
	R								
06/14/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS GROWTH FUND OF AMERI B	232.00	4.64	0.00	4.64	85.0	3.94
	R								
06/14/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD GROWT B	86.39	1.73	0.00	1.73	85.0	1.47
06/14/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD GROWT B	172.77	3.46	0.00	3.46	85.0	2.94
06/14/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL INVES B	172.77	3.46	0.00	3.46	85.0	2.94
06/14/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL INVES B	86.38	1.73	0.00	1.73	85.0	1.47
06/22/2018	00083499515	SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN B	200.00	2.00	0.00	2.00	85.0	1.70
06/18/2018	00083626829	SEYMORE, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN B	150.00	1.50	0.00	1.50	85.0	1.28
06/15/2018	00084758983	HOWARD/BEAUDOIN, IRENE/LAUREN.	GLOBAL BALANCED FUND-529A B	50.00	2.13	0.00	2.13	85.0	1.81
06/15/2018	00084759195	HOWARD/BEAUDOIN, IRENE/LANDON	GLOBAL BALANCED FUND-529A B	50.00	2.13	0.00	2.13	85.0	1.81
06/15/2018	00084759209	HOWARD/BEAUDOIN, IRENE/AUSTIN	GLOBAL BALANCED FUND-529A B	50.00	2.13	0.00	2.13	85.0	1.81
06/15/2018	00084759232	HOWARD/BEAUDOIN, IRENE/DAKOTA	THE INCOME FUND OF AMERICA-529A B	50.00	2.13	0.00	2.13	85.0	1.81
06/20/2018	00085797272	RUSSELL/PEEPLES,	AMERICAN BALANCED FUND-529A B	50.00	1.38	0.00	1.38	85.0	1.17

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	DAVID/HAVEN								
06/20/2018	00085797295	RUSSELL/PEEPLES, NEW PERSPECTIVE FUND-529A	B	50.00	1.38	0.00	1.38	85.0	1.17
	DAVID/KATE								
06/20/2018	00085797300	RUSSELL/PEEPLES, NEW PERSPECTIVE FUND-529A	B	50.00	1.38	0.00	1.38	85.0	1.17
	DAVID/ELLE								
06/15/2018	00087897269	ASHCRAFT OGDEN, FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	85.0	1.70
	ZOIE								
06/14/2018	00088263914	GREESON, JOHN D. CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14	85.0	0.12
06/14/2018	00088263914	GREESON, JOHN D. CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14	85.0	0.12
06/20/2018	00088263914	GREESON, JOHN D. CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14	85.0	0.12
06/20/2018	00088263914	GREESON, JOHN D. CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14	85.0	0.12
06/14/2018	00088263914	GREESON, JOHN D. EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28	85.0	0.24
06/14/2018	00088263914	GREESON, JOHN D. EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28	85.0	0.24
06/20/2018	00088263914	GREESON, JOHN D. EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28	85.0	0.24
06/20/2018	00088263914	GREESON, JOHN D. EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28	85.0	0.24
06/14/2018	00088263934	DUNN, JOSEPH C. FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
06/14/2018	00088263934	DUNN, JOSEPH C. FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
06/20/2018	00088263934	DUNN, JOSEPH C. FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
06/20/2018	00088263934	DUNN, JOSEPH C. FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
06/14/2018	00088263934	DUNN, JOSEPH C. NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38	85.0	0.32
06/14/2018	00088263934	DUNN, JOSEPH C. NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38	85.0	0.32
06/20/2018	00088263934	DUNN, JOSEPH C. NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38	85.0	0.32
06/20/2018	00088263934	DUNN, JOSEPH C. NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38	85.0	0.32
06/14/2018	00088263943	BISHOP, MASON W. EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13	85.0	0.11
06/14/2018	00088263943	BISHOP, MASON W. EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13	85.0	0.11
06/20/2018	00088263943	BISHOP, MASON W. EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13	85.0	0.11
06/20/2018	00088263943	BISHOP, MASON W. EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13	85.0	0.11
06/14/2018	00088263943	BISHOP, MASON W. NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13	85.0	0.11
06/14/2018	00088263943	BISHOP, MASON W. NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13	85.0	0.11
06/20/2018	00088263943	BISHOP, MASON W. NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13	85.0	0.11
06/20/2018	00088263943	BISHOP, MASON W. NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13	85.0	0.11
06/14/2018	00088263961	GENTRY, ANGIE L. EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
06/14/2018	00088263961	GENTRY, ANGIE L. EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
06/20/2018	00088263961	GENTRY, ANGIE L. EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
06/20/2018	00088263961	GENTRY, ANGIE L. EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
06/14/2018	00088263961	GENTRY, ANGIE L. NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
06/14/2018	00088263961	GENTRY, ANGIE L. NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
06/20/2018	00088263961	GENTRY, ANGIE L. NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
06/20/2018	00088263961	GENTRY, ANGIE L. NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
06/14/2018	00088263979	MESEY, BRIAN K. FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13	85.0	0.11

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/14/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
06/20/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
06/20/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
06/14/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
06/14/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
06/20/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
06/20/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
06/14/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
06/14/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
06/20/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
06/20/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
06/14/2018	00088270587	MILLER, CLIFFORD		THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22 85.0 0.19
06/14/2018	00088270587	MILLER, CLIFFORD		THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22 85.0 0.19
06/20/2018	00088270587	MILLER, CLIFFORD		THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22 85.0 0.19
06/20/2018	00088270587	MILLER, CLIFFORD		THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22 85.0 0.19
06/14/2018	00088272149	DUNN, BECKY		CAPITAL INCOME BUILDER-C	B	15.00	0.15	0.00	0.15 85.0 0.13
06/14/2018	00088272149	DUNN, BECKY		CAPITAL INCOME BUILDER-C	B	4.36	0.04	0.00	0.04 85.0 0.03
06/20/2018	00088272149	DUNN, BECKY		CAPITAL INCOME BUILDER-C	B	15.00	0.15	0.00	0.15 85.0 0.13
06/20/2018	00088272149	DUNN, BECKY		CAPITAL INCOME BUILDER-C	B	4.36	0.04	0.00	0.04 85.0 0.03
06/14/2018	00088272149	DUNN, BECKY		THE BOND FUND OF AMERICA-C	B	15.00	0.15	0.00	0.15 85.0 0.13
06/14/2018	00088272149	DUNN, BECKY		THE BOND FUND OF AMERICA-C	B	4.35	0.04	0.00	0.04 85.0 0.03
06/20/2018	00088272149	DUNN, BECKY		THE BOND FUND OF AMERICA-C	B	15.00	0.15	0.00	0.15 85.0 0.13
06/20/2018	00088272149	DUNN, BECKY		THE BOND FUND OF AMERICA-C	B	4.35	0.04	0.00	0.04 85.0 0.03
06/14/2018	00088272160	DUNN, CHESTER R.		SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14 85.0 0.97
06/14/2018	00088272160	DUNN, CHESTER R.		SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14 85.0 0.97
06/14/2018	00088495479	SECHRIST, STEVE		CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07 85.0 0.06
06/14/2018	00088495479	SECHRIST, STEVE		CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07 85.0 0.06
06/20/2018	00088495479	SECHRIST, STEVE		CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07 85.0 0.06
06/20/2018	00088495479	SECHRIST, STEVE		CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07 85.0 0.06
06/14/2018	00088495479	SECHRIST, STEVE		CAPITAL WORLD GROWTH AND INCOME FUN B		13.88	0.14	0.00	0.14 85.0 0.12
06/14/2018	00088495479	SECHRIST, STEVE		CAPITAL WORLD GROWTH AND INCOME FUN B		13.87	0.14	0.00	0.14 85.0 0.12
06/20/2018	00088495479	SECHRIST, STEVE		CAPITAL WORLD GROWTH AND INCOME FUN B		13.87	0.14	0.00	0.14 85.0 0.12

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
06/20/2018	00088495479	SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	13.88	0.14	0.00	0.14	85.0	0.12	
06/14/2018	00088495479	SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.94	0.07	0.00	0.07	85.0	0.06
06/14/2018	00088495479	SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.93	0.07	0.00	0.07	85.0	0.06
06/20/2018	00088495479	SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.94	0.07	0.00	0.07	85.0	0.06
06/20/2018	00088495479	SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.93	0.07	0.00	0.07	85.0	0.06
06/14/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
06/14/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
06/20/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
06/20/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
06/14/2018	00089144953	RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
06/14/2018	00089144953	RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
06/20/2018	00089144953	RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
06/20/2018	00089144953	RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
06/15/2018	00089433187	SULLIVAN/WATSON, SHERRY/ASHYR	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	85.0	0.85
06/22/2018	00089506836	HAWKINS, SAMUEL L.	AMERICAN FUNDS FUNDAMENTAL	INVES B	132.11	1.59	0.00	1.59	85.0	1.35
06/22/2018	00089506836	HAWKINS, SAMUEL L.	AMERICAN FUNDS FUNDAMENTAL	INVES B	92.11	1.11	0.00	1.11	85.0	0.94
06/22/2018	00089506836	HAWKINS, SAMUEL L.	AMERICAN FUNDS NEW ECONOMY FD	B	132.12	1.59	0.00	1.59	85.0	1.35
06/22/2018	00089506836	HAWKINS, SAMUEL L.	AMERICAN FUNDS NEW ECONOMY FD	B	92.12	1.11	0.00	1.11	85.0	0.94
06/14/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
06/14/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
06/20/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
06/20/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
06/15/2018	00089986176	YOUNG, MARCUS	FUNDAMENTAL INVESTORS-529C	B	100.00	1.00	0.00	1.00	85.0	0.85
06/22/2018	04000150405	BLAKE, MATTHEW	THE GROWTH FUND OF AMERICA-C	B	153.00	1.53	0.00	1.53	85.0	1.30
06/22/2018	04000150405	BLAKE, MATTHEW	THE GROWTH FUND OF AMERICA-C	B	153.00	1.53	0.00	1.53	85.0	1.30
06/14/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
06/14/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
06/20/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
06/20/2018	04000175390	MCADAMS, NICHOLAS	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
		W.								
06/14/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.50	0.19	0.00	0.19	85.0	0.16
06/14/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.50	0.19	0.00	0.19	85.0	0.16
06/20/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.23	0.18	0.00	0.18	85.0	0.15
06/20/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.23	0.18	0.00	0.18	85.0	0.15
06/14/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0	0.20
06/14/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0	0.20
06/20/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0	0.20
06/20/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0	0.20
06/22/2018	04000623745	BLAKE, DAVID	THE GROWTH FUND OF AMERICA-C	B	132.00	1.32	0.00	1.32	85.0	1.12
06/22/2018	04000623745	BLAKE, DAVID	THE GROWTH FUND OF AMERICA-C	B	132.00	1.32	0.00	1.32	85.0	1.12
06/14/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.85	0.49	0.00	0.49	85.0	0.42
06/14/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.85	0.49	0.00	0.49	85.0	0.42
06/20/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	16.51	0.45	0.00	0.45	85.0	0.38
06/20/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	16.51	0.45	0.00	0.45	85.0	0.38
06/22/2018	04000695668	GILBREATH, LAURA	AMERICAN FUNDS FUNDAMENTAL	INVES B	125.00	1.50	0.00	1.50	85.0	1.28
		D.								
06/22/2018	04000695668	GILBREATH, LAURA	AMERICAN FUNDS FUNDAMENTAL	INVES B	96.00	1.15	0.00	1.15	85.0	0.98
		D.								
06/14/2018	04000752171	BOZEMAN, JENNIFER	NEW WORLD FUND-A	B	42.66	0.85	0.00	0.85	85.0	0.72
06/14/2018	04000752171	BOZEMAN, JENNIFER	NEW WORLD FUND-A	B	28.43	0.57	0.00	0.57	85.0	0.48
06/14/2018	04000993983	FITTS, DOUGLAS	AMERICAN FUNDS NEW ECONOMY FD	B	5.00	0.14	0.00	0.14	85.0	0.12
06/14/2018	04000993983	FITTS, DOUGLAS	AMERICAN FUNDS NEW ECONOMY FD	B	5.00	0.14	0.00	0.14	85.0	0.12
06/20/2018	04000993983	FITTS, DOUGLAS	AMERICAN FUNDS NEW ECONOMY FD	B	5.00	0.14	0.00	0.14	85.0	0.12
06/20/2018	04000993983	FITTS, DOUGLAS	AMERICAN FUNDS NEW ECONOMY FD	B	5.00	0.14	0.00	0.14	85.0	0.12
* MUTUAL FUNDS SUBTOTAL *					\$10,626.84	\$157.43	\$0.00	\$157.43		\$133.85
PRODUCT CATEGORY: VARIABLE ANNUITIES										
06/19/2018	TRAILS	VOYA INSURANCE AND ANNUITY		B	594.22	594.22	0.00	594.22	85.0	505.09
* VARIABLE ANNUITIES SUBTOTAL *					\$594.22	\$594.22	\$0.00	\$594.22		\$505.09
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/02/2018	TRAIL COMMISSIONS	THORNBURG FUNDS FEES			0.00	585.77	0.00	585.77	85.0	497.90
07/02/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES			0.00	2.43	0.00	2.43	85.0	2.07
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$588.20	\$0.00	\$588.20		\$499.97
*** BROKER TRANSACTIONS TOTAL ***					\$11,221.06	\$1,339.85	\$0.00	\$1,339.85		\$1,138.91

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

07/02/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
07/02/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,138.91
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,011.41
Year-to-date Earnings	\$27,021.06