

## COMMISSION STATEMENT

December 31, 2017

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

BROKER #: 5

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
12/27/2017	QGW032005	SIGLE, SCOTT		QUALCOMM INC	B	6,433.00	120.00	0.00	120.00 82.5 99.00
12/14/2017	QGW032013	STROPE, DALE		COMMERCIAL METALS CO	S	-6,162.90	75.00	0.00	75.00 82.5 61.88
12/14/2017	QGW032013	STROPE, DALE		INTERNATIONAL BUSINESS MACHINES CORP	B	30,778.00	700.00	0.00	700.00 82.5 577.50
12/01/2017	QGW032047	SHIER, ANDY		HOME DEPOT INC	B	35,800.00	580.00	0.00	580.00 82.5 478.50
11/30/2017	QGW032054	PAEGLOW, JOSEPH		ALIBABA GROUP HOLDING LTD SPONSORED	S	-17,718.30	350.00	0.00	350.00 82.5 288.75
11/30/2017	QGW032096	YALINEWITZ, BOAZ		MOMO INC ADR	S	-9,812.60	75.00	0.00	75.00 82.5 61.88
11/30/2017	QGW032104	DAVIDOV, WILLIAM		SMART GLOBAL HLDGS INC SHS	B	1,527.50	30.00	0.00	30.00 82.5 24.75
12/04/2017	QGW032104	DAVIDOV, WILLIAM		MICRON TECHNOLOGY INC	S	-5,087.50	50.00	0.00	50.00 82.5 41.25
12/05/2017	QGW032104	DAVIDOV, WILLIAM		PRESIDIO INC COM	B	3,100.00	40.00	0.00	40.00 82.5 33.00
12/12/2017	QGW032104	DAVIDOV, WILLIAM		MONGODB INC CL A	B	3,263.70	40.00	0.00	40.00 82.5 33.00
12/14/2017	QGW032104	DAVIDOV, WILLIAM		SOUTHWEST AIRLINES CO	B	2,173.25	30.00	0.00	30.00 82.5 24.75
12/14/2017	QGW032104	DAVIDOV, WILLIAM		VALEANT PHARMACEUTICALS INTERNATIONAL	S	-2,112.00	40.00	0.00	40.00 82.5 33.00
12/15/2017	QGW032112	CIARLO, ANTHONY		INTERNATIONAL BUSINESS MACHINES CORP	B	17,560.50	450.00	0.00	450.00 82.5 371.25
11/30/2017	QGW032120	DAVIDOV, WILLIAM		ZTO EXPRESSCAYMAN INC SPONS ADR	S	-1,602.00	35.00	0.00	35.00 82.5 28.88
12/04/2017	QGW032120	DAVIDOV, WILLIAM		ADVANCED MICRO DEVICES INC	S	-1,537.95	35.00	0.00	35.00 82.5 28.88
12/05/2017	QGW032120	DAVIDOV, WILLIAM		CAMECO CORP	B	2,662.50	40.00	0.00	40.00 82.5 33.00
12/15/2017	QGW032120	DAVIDOV, WILLIAM		CAMECO CORP	S	-2,570.00	40.00	0.00	40.00 82.5 33.00
12/15/2017	QGW032120	DAVIDOV, WILLIAM		VALE S A SPONSORED ADR	B	2,800.00	20.00	0.00	20.00 82.5 16.50
12/05/2017	QGW032229	WATSON, ROGER		QUALCOMM INC	B	12,990.00	340.00	0.00	340.00 82.5 280.50
12/04/2017	QGW032237	PETERSON, SHAWN		MAZOR ROBOTICS LTD SPONSORED ADS	B	16,500.00	250.00	0.00	250.00 82.5 206.25
12/06/2017	QGW032237	PETERSON, SHAWN		VALEANT PHARMACEUTICALS INTERNATIONAL	S	-13,632.00	150.00	0.00	150.00 82.5 123.75
12/12/2017	QGW032237	PETERSON, SHAWN		HOME DEPOT INC	B	18,200.00	350.00	0.00	350.00 82.5 288.75
12/12/2017	QGW032237	PETERSON, SHAWN		MONGODB INC CL A	B	7,826.50	200.00	0.00	200.00 82.5 165.00
12/27/2017	QGW032237	PETERSON, SHAWN		GLAXOSMITHKLINE PLC SPONSORED ADR(F	B	9,235.20	250.00	0.00	250.00 82.5 206.25
12/27/2017	QGW032237	PETERSON, SHAWN		NEW YORK COMMUNITY BANCORP INC	S	-9,177.00	100.00	0.00	100.00 82.5 82.50
12/18/2017	QGW032252	OMAR, ZEKI		RIOT BLOCKCHAIN INC COM	B	12,900.00	120.00	0.00	120.00 82.5 99.00
12/20/2017	QGW032286	HLYWA, DANNY		PEMBINA PIPELINE CORP	S	-7,416.50	225.00	0.00	225.00 82.5 185.63
12/20/2017	QGW032286	HLYWA, DANNY		PEMBINA PIPELINE CORP	S	7,416.50	-225.00	0.00	-225.00 82.5 -185.63 C
12/20/2017	QGW032286	HLYWA, DANNY		PEMBINA PIPELINE CORP	S	-7,416.50	180.00	0.00	180.00 82.5 148.50
12/20/2017	QGW032286	HLYWA, DANNY		QUALCOMM INC	B	8,377.20	235.00	0.00	235.00 82.5 193.88
11/30/2017	QGW032344	DYKSTRA, JAMES		ALIBABA GROUP HOLDING LTD SPONSORED	S	-16,042.50	350.00	0.00	350.00 82.5 288.75

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
11/30/2017	QGW032344	DYKSTRA, JAMES	HOME DEPOT INC	B	23,255.70	400.00	0.00	400.00	82.5	330.00
12/12/2017	QGW032344	DYKSTRA, JAMES	QUALCOMM INC	B	7,459.71	220.00	0.00	220.00	82.5	181.50
12/21/2017	QGW032344	DYKSTRA, JAMES	AFTERMASTER INC COM	S	-1,050.00	50.00	0.00	50.00	82.5	41.25
12/06/2017	QGW032468	HUNDLEY, MICHAEL	QUALCOMM INC	B	3,250.00	98.00	0.00	98.00	82.5	80.85
12/19/2017	QGW032468	HUNDLEY, MICHAEL	QUALCOMM INC	B	3,222.00	98.00	0.00	98.00	82.5	80.85
12/07/2017	QGW032476	HANNAN, JOHN	AVITA MED LTD SPONSORED ADR ISIN#US	B	540.00	35.00	0.00	35.00	82.5	28.88
12/06/2017	QGW032674	ONESTO, RICHARD	QUALCOMM INC	B	6,494.70	50.00	0.00	50.00	82.5	41.25
12/15/2017	QGW032674	ONESTO, RICHARD	APPLE INC	S	-16,000.00	80.73	0.00	80.73	82.5	66.60
12/21/2017	QGW032674	ONESTO, RICHARD	MAZOR ROBOTICS LTD SPONSORED ADS	B	8,000.00	45.00	0.00	45.00	82.5	37.13
12/20/2017	QGW033102	SHIPLEY, CRAIG	QUALCOMM INC	B	16,137.50	450.00	0.00	450.00	82.5	371.25
12/26/2017	QGW033177	ARENDT, JOEY	QUALCOMM INC	B	257.40	20.00	0.00	20.00	82.5	16.50
12/01/2017	QGW033581	RYKEN, MICHAEL	MOMO INC ADR	S	-8,060.50	75.00	0.00	75.00	82.5	61.88
11/30/2017	QGW033870	BROWN, KENNETH	NETFLIX COM INC	S	-19,000.00	400.00	0.00	400.00	82.5	330.00
12/21/2017	QGW033870	BROWN, KENNETH	HOME DEPOT INC	B	18,812.99	450.00	0.00	450.00	82.5	371.25
12/06/2017	QGW034100	SOMOGYI, ANTHONY	QUALCOMM INC	B	7,473.85	225.00	0.00	225.00	82.5	185.63
12/07/2017	QGW037053	SULLIVAN, FRED	QUALCOMM INC	B	32,490.00	650.00	0.00	650.00	82.5	536.25
12/19/2017	QGW037053	SULLIVAN, FRED	QUALCOMM INC	B	32,250.00	650.00	0.00	650.00	82.5	536.25
11/30/2017	QGW038879	MURDOCK, BRANDI	FAT BRANDS INC COMMON STOCK	S	-3,805.24	75.00	0.00	75.00	82.5	61.88
* STOCKS SUBTOTAL *					\$210,984.21	\$9,346.73	\$0.00	\$9,346.73		\$7,711.10
PRODUCT CATEGORY: OPTIONS										
12/06/2017	QGW032674	ONESTO, RICHARD	CALL 100 MAZOR ROBOTICS LTD SPONSOR	S	-3,000.00	65.00	0.00	65.00	82.5	53.63
12/18/2017	QGW032674	ONESTO, RICHARD	CALL 100 QUALCOMM INC EXP 04-20-18@	S	-425.00	15.00	0.00	15.00	82.5	12.38
12/27/2017	QGW032674	ONESTO, RICHARD	CALL 100 MAZOR ROBOTICS LTD SPONSOR	B	4,170.00	45.00	0.00	45.00	82.5	37.13
* OPTIONS SUBTOTAL *					\$745.00	\$125.00	\$0.00	\$125.00		\$103.14
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/11/2017	QGW033185	DEVORE, DOREEN	AIT ARROW DWA BALANCED FUND CLASS C	B	0.00	6.06	0.00	6.06	82.5	5.00
12/13/2017	QGW033185	DEVORE, DOREEN	WELLS FARGO EMERGING MARKETS EQUITY	B	0.00	0.54	0.00	0.54	82.5	0.45
12/21/2017	QGW033383	DEROIN, KATHLEEN	FRK AGE HIGH INC FND - CL A	B	0.00	0.68	0.00	0.68	82.5	0.56
12/21/2017	QGW035305	POORMAN, JEFFREY	FRANKLIN INCOME FUND CLASS A	B	0.00	0.24	0.00	0.24	82.5	0.20
12/21/2017	QGW035305	POORMAN, JEFFREY	MUTUAL SERIES SHARES CLASS A	B	0.00	0.45	0.00	0.45	82.5	0.37
12/15/2017	QGW036923	CHAPMAN, HERBERT	EUROPACIFIC GROWTH FUND-A	B	0.00	0.32	0.00	0.32	82.5	0.26
12/15/2017	QGW036923	CHAPMAN, HERBERT	FUNDAMENTAL INVESTORS-A	B	0.00	0.38	0.00	0.38	82.5	0.31
12/15/2017	QGW036923	CHAPMAN, HERBERT	SMALLCAP WORLD FUND-A	B	0.00	0.18	0.00	0.18	82.5	0.15
12/15/2017	QGW036923	CHAPMAN, HERBERT	THE BOND FUND OF AMERICA-A	B	0.00	0.28	0.00	0.28	82.5	0.23
12/15/2017	QGW036923	CHAPMAN, HERBERT	THE GROWTH FUND OF AMERICA-A	B	0.00	0.39	0.00	0.39	82.5	0.32
12/15/2017	QGW036923	CHAPMAN, HERBERT	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	0.17	0.00	0.17	82.5	0.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$9.69	\$0.00	\$9.69		\$7.99
*** BROKER TRANSACTIONS TOTAL ***					\$211,729.21	\$9,481.42	\$0.00	\$9,481.42		\$7,822.23

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
12/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-123.75
12/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
12/31/2017		OTHER: OTHER-P71		0.00	0.00	0.00	0.00		500.00
12/31/2017		OTHER: OTHER-RAMPART		0.00	0.00	0.00	0.00		33.43
12/31/2017		OTHER: OTHER-STATE REGIST		0.00	0.00	0.00	0.00		-1,480.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-1,078.32

BROKER COMMISSION TOTALS

Broker Transactions	\$7,822.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,078.32
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-11.85
SIPC Assessment	\$-23.70

Check Amount	\$6,708.36
Year-to-date Earnings	\$53,167.34