



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 15, 2018

03/13/2018
Page No. 1

JOSEPH, M138 MICHALETZ
41474 Judson Bottom Road

BROKER #: M138
BRANCH: DISCIPLINE ADVISORS,

North Mankato, MI 56003-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2018	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	-4,393.07	22.00	22.00	0.00	93.0	0.00
03/01/2018	VETTER, BRIAN	TRANSAMERICA AA MOD GROWTH C	-6,489.12	22.00	22.00	0.00	93.0	0.00
03/01/2018	VETTER, BRIAN	TRANSAMERICA AA MODERATE C	-2,530.44	22.00	22.00	0.00	93.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-13,412.63	\$66.00	\$66.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
02/26/2018	DORN, RITA	COLONY NORTHSTAR CR REAL ESTATE CL	10,002.32	22.00	22.74	-0.74	93.0	-0.69
03/01/2018	DORN, EUGENE	COLONY NORTHSTAR CR REAL ESTATE CL	2,210.89	22.00	22.50	-0.50	93.0	-0.47
03/07/2018	KENDALL, ARCHIE	COLONY NORTHSTAR CR REAL ESTATE CL	-2,257.20	22.00	22.50	-0.50	93.0	-0.47
02/26/2018	DORN, EUGENE	GRANITE PT MTG TR INC COM	-2,248.48	22.00	22.50	-0.50	93.0	-0.47
02/26/2018	DORN, RITA	TWO HBRS INVT CORP COM NEW	9,975.42	22.00	22.98	-0.98	93.0	-0.91
* STOCKS SUBTOTAL *			\$17,682.95	\$110.00	\$113.22	\$-3.22		\$-3.01
*** CLEARING TRANSACTIONS TOTAL ***			\$4,270.32	\$176.00	\$179.22	\$-3.22		\$-3.01

DIRECT TRANSACTIONS

PRODUCT CATEGORY: PRIVATE PLACEMENT

02/22/2018	HUGHES, STEPHEN	BOURNE SENIORS HOUSING III	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
02/22/2018	FLOR, PATRICIA	BOURNE SENIORS HOUSING III	25,000.00	1,750.00	0.00	1,750.00	93.0	1,627.50
02/22/2018	SOUTH CENTRAL HOLD'G	BOURNE SENIORS HOUSING III	150,000.00	10,500.00	0.00	10,500.00	93.0	9,765.00
02/22/2018	VOIGT, KEVIN	BOURNE SENIORS HOUSING III	97,000.00	6,790.00	0.00	6,790.00	93.0	6,314.70
02/23/2018	SIRMANS, DEBBRA	BOURNE SENIORS HOUSING III	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
02/22/2018	OWENS, DAVID	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
02/22/2018	SOHRE, DENNIS	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
02/22/2018	KELLEY, BRUCE	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
02/26/2018	SNYDER SEP IRA, SHELDON	GPB AUTOMOTIVE PORTFOLIO LP	25,000.00	1,750.00	0.00	1,750.00	93.0	1,627.50
03/01/2018	NAWROCKI, DONNA	GPB AUTOMOTIVE PORTFOLIO LP	75,000.00	5,250.00	0.00	5,250.00	93.0	4,882.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
03/05/2018	STEVENS LIV TRUST, GEORGE	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
03/06/2018	PREFERRED ASSETS INC	GPB AUTOMOTIVE PORTFOLIO LP	200,000.00	14,000.00	0.00	14,000.00	93.0	13,020.00
* PRIVATE PLACEMENT SUBTOTAL *			\$1,122,000.00	\$78,540.00	\$0.00	\$78,540.00		\$73,042.20
<u>PRODUCT CATEGORY: OIL & GAS</u>								
02/22/2018	HUGHES, STEPHEN	WAVELAND RESOURCE PARTNERS IV	50,000.00	4,000.00	0.00	4,000.00	93.0	3,720.00
* OIL & GAS SUBTOTAL *			\$50,000.00	\$4,000.00	\$0.00	\$4,000.00		\$3,720.00
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
02/27/2018	DORN, EUGENE	PASSCO MARINER DST	72,373.00	4,342.38	0.00	4,342.38	93.0	4,038.41
02/23/2018	MARTIN, TERRY L	RRTY KING RIVER ROYALTIES	267,568.41	16,054.10	0.00	16,054.10	93.0	14,930.31
03/01/2018	HANEL REV TRUST	RRTY KING RIVER ROYALTIES	145,984.25	8,759.06	0.00	8,759.06	93.0	8,145.93
03/07/2018	BOHLENDER, RODNEY	RRTY KING RIVER ROYALTIES	630,000.00	37,800.00	0.00	37,800.00	93.0	35,154.00
* 1031 EXCHANGES SUBTOTAL *			\$1,115,925.66	\$66,955.54	\$0.00	\$66,955.54		\$62,268.65
<u>PRODUCT CATEGORY: 529 PLANS</u>								
02/20/2018	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	25.00	0.25	0.00	0.25	93.0	0.23
* 529 PLANS SUBTOTAL *			\$25.00	\$0.25	\$0.00	\$0.25		\$0.23
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	1.00	0.00	1.00	93.0	0.93
03/01/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
03/01/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
03/01/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
02/26/2018	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	1,166.66	11.67	0.00	11.67	93.0	10.85
02/26/2018	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	240.00	2.40	0.00	2.40	93.0	2.23
* MUTUAL FUNDS SUBTOTAL *			\$1,706.66	\$18.07	\$0.00	\$18.07		\$16.80
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/03/2018	MICHALETZ, VARIOUS-JOE	JOHN HANCOCK LIFE INS CO	100.00	2.00	0.00	2.00	93.0	1.86
02/03/2018	MICHALETZ, VARIOUS-JOE	JOHN HANCOCK LIFE INS CO	100.00	3.00	0.00	3.00	93.0	2.79
02/27/2018	MICHALETZ, VARIOUS-JOE	JOHN HANCOCK LIFE INS CO	100.00	2.00	0.00	2.00	93.0	1.86
03/02/2018	MICHALETZ, VARIOUS-JOE	JOHN HANCOCK LIFE INS CO	150.00	3.00	0.00	3.00	93.0	2.79
02/28/2018	ANDERSEN, WAYNE	JNL PERSPECTIVE ADV II 5/05	59,630.95	49.69	0.00	49.69	93.0	46.21
02/28/2018	GARTNER TRUST	JNL PERSPECTIVE ADV II 5/05	165,367.59	172.26	0.00	172.26	93.0	160.20
02/20/2018	FLO, DAVID	JNL PERSPECTIVE II 04/14	596,678.03	11,933.56	0.00	11,933.56	93.0	11,098.21

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<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/22/2018	WOLFF, LINDA	JNL PERSPECTIVE II 04/14	152,381.42	3,047.63	0.00	3,047.63	93.0	2,834.30
02/26/2018	GARTNER, LEONARD	JNL PERSPECTIVE II 04/14	4.63	0.09	0.00	0.09	93.0	0.08
02/28/2018	AMERICAN ENDOWMENT FUND	JNL PERSPECTIVE II 04/14	69,756.86	58.13	0.00	58.13	93.0	54.06
02/28/2018	WILLAERT, DIANNE	JNL PERSPECTIVE II 04/14	250,835.49	209.03	0.00	209.03	93.0	194.40
02/28/2018	BOYUM, LEE	JNL PERSPECTIVE II 04/14	154,956.13	129.13	0.00	129.13	93.0	120.09
02/28/2018	BOYUM, LEE	JNL PERSPECTIVE II 04/14	1,411,003.96	1,175.84	0.00	1,175.84	93.0	1,093.53
02/28/2018	STAFFEN, ROBERT	JNL PERSPECTIVE II 04/14	232,142.71	193.45	0.00	193.45	93.0	179.91
02/28/2018	HASKIN, LARRY	JNL PERSPECTIVE II 04/14	1,320,148.84	1,100.12	0.00	1,100.12	93.0	1,023.11
03/05/2018	WOLFF, WALTER	JNL PERSPECTIVE II 04/14	35,644.36	267.33	0.00	267.33	93.0	248.62
02/28/2018	LUTZ, TRACY	JNL PERSPECTIVE II 05/05	8,974.76	7.48	0.00	7.48	93.0	6.96
02/20/2018	SCHMIDT, LORI	JNL PERSPECTIVE L	6,500.00	260.00	0.00	260.00	93.0	241.80
02/20/2018	WOLFF, LINDA	JNL PERSPECTIVE L	9,990.74	399.63	0.00	399.63	93.0	371.66
02/28/2018	THOMPSON, JO ANN	JNL PERSPECTIVE L	206,792.23	172.33	0.00	172.33	93.0	160.27
02/28/2018	VANDERHOOF, DONNA	JNL PERSPECTIVE L	345,455.62	287.88	0.00	287.88	93.0	267.73
02/28/2018	GREEN, STEVEN	JNL PERSPECTIVE L	88,821.75	74.02	0.00	74.02	93.0	68.84
02/28/2018	GREEN, STEVEN	JNL PERSPECTIVE L	73,116.27	60.93	0.00	60.93	93.0	56.66
02/28/2018	WOLFF, JEAN	JNL PERSPECTIVE L	88,927.67	74.11	0.00	74.11	93.0	68.92
02/28/2018	WOLFF, JEAN	JNL PERSPECTIVE L	448,387.02	373.66	0.00	373.66	93.0	347.50
02/28/2018	CURTIS, JEFFREY	JNL PERSPECTIVE L	99,191.51	82.66	0.00	82.66	93.0	76.87
02/28/2018	FUENTEZ, IVAN	JNL PERSPECTIVE L	253,172.13	210.98	0.00	210.98	93.0	196.21
02/28/2018	ROSE, ERIC	JNL PERSPECTIVE L	48,080.36	40.07	0.00	40.07	93.0	37.27
02/28/2018	ANDERSEN, WAYNE	JNL PERSPECTIVE L	154,015.62	128.35	0.00	128.35	93.0	119.37
02/28/2018	MAINE, VINCENT	JNL PERSPECTIVE L	142,206.13	59.25	0.00	59.25	93.0	55.10
02/28/2018	CALABRESE, CAROL	JNL PERSPECTIVE L	273,817.12	228.18	0.00	228.18	93.0	212.21
02/28/2018	SCHRIOCK, LINDA	JNL PERSPECTIVE L	60,643.18	50.54	0.00	50.54	93.0	47.00
02/28/2018	SCHRIOCK, STANLEY	JNL PERSPECTIVE L	456,859.33	380.72	0.00	380.72	93.0	354.07
02/28/2018	MAINE, VINCENT	JNL PERSPECTIVE L	174,625.82	72.76	0.00	72.76	93.0	67.67
02/28/2018	LUTZ, TRACY	JNL PERSPECTIVE L	24,670.92	20.56	0.00	20.56	93.0	19.12
02/28/2018	CHESNEY, TERRANCE	JNL PERSPECTIVE L	182,996.51	152.50	0.00	152.50	93.0	141.83
02/28/2018	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	111,683.70	93.07	0.00	93.07	93.0	86.56
02/28/2018	CHESNEY, TERRANCE	JNL PERSPECTIVE L	160,627.27	133.86	0.00	133.86	93.0	124.49
02/28/2018	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	164,967.31	137.47	0.00	137.47	93.0	127.85
02/28/2018	ANDERSEN, DAVID	JNL PERSPECTIVE L	92,349.15	76.96	0.00	76.96	93.0	71.57

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<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	ANDERSEN, DAVID	JNL PERSPECTIVE L	201,821.37	168.18	0.00	168.18	93.0	156.41
02/28/2018	KOCON, RAYMOND	JNL PERSPECTIVE L	437,012.15	364.18	0.00	364.18	93.0	338.69
02/28/2018	HOGSTAD, MARY	JNL PERSPECTIVE L	150,188.06	125.16	0.00	125.16	93.0	116.40
02/28/2018	GARTNER TRUST, ORVAL	JNL PERSPECTIVE L	482,707.32	402.26	0.00	402.26	93.0	374.10
02/28/2018	LEASE, NATHELLE	JNL PERSPECTIVE L	298,159.61	248.47	0.00	248.47	93.0	231.08
02/28/2018	STRADTMAN, CHARLES	JNL PERSPECTIVE L	214,948.01	179.12	0.00	179.12	93.0	166.58
02/28/2018	VETTER, JOANN	JNL PERSPECTIVE L	709,417.89	591.18	0.00	591.18	93.0	549.80
02/28/2018	STRADTMAN, CHARLES	JNL PERSPECTIVE L	484,333.25	403.61	0.00	403.61	93.0	375.36
02/28/2018	VETTER, RICHARD	JNL PERSPECTIVE L	128,340.91	106.95	0.00	106.95	93.0	99.46
02/28/2018	VETTER, JOANN	JNL PERSPECTIVE L	118,498.60	98.75	0.00	98.75	93.0	91.84
02/28/2018	FLOR, PATRICIA	JNL PERSPECTIVE L	1,851,009.33	1,542.51	0.00	1,542.51	93.0	1,434.53
02/28/2018	KRAHMER, LE ANN	JNL PERSPECTIVE L	347,049.60	289.21	0.00	289.21	93.0	268.97
02/28/2018	ANDERSEN REV LIV TR, CRYSTAL	JNL PERSPECTIVE L	135,072.01	112.56	0.00	112.56	93.0	104.68
02/28/2018	SHORE, JERRY	JNL PERSPECTIVE L	1,168,327.95	973.61	0.00	973.61	93.0	905.46
02/28/2018	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	1,177,792.98	981.49	0.00	981.49	93.0	912.79
02/28/2018	SHORE, JERRY	JNL PERSPECTIVE L	324,664.01	270.55	0.00	270.55	93.0	251.61
02/28/2018	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	274,983.68	229.15	0.00	229.15	93.0	213.11
02/28/2018	LILLEVOLD, KATHRYN	JNL PERSPECTIVE L	1,042,647.46	868.87	0.00	868.87	93.0	808.05
02/28/2018	BERKNESS, RONALD	JNL PERSPECTIVE L	386,318.09	321.93	0.00	321.93	93.0	299.39
02/28/2018	WOLFF, ANITA	JNL PERSPECTIVE L	617,339.87	514.45	0.00	514.45	93.0	478.44
02/28/2018	NAWROCKI, DONNA	JNL PERSPECTIVE L	850,571.27	708.81	0.00	708.81	93.0	659.19
02/28/2018	BERKNESS, CAROLE	JNL PERSPECTIVE L	162,093.68	135.08	0.00	135.08	93.0	125.62
02/28/2018	WOLFF, WALTER	JNL PERSPECTIVE L	888,044.47	740.04	0.00	740.04	93.0	688.24
02/28/2018	LARSON, LOIS	JNL PERSPECTIVE L	58,433.35	48.69	0.00	48.69	93.0	45.28
02/28/2018	LARSON, DEAN	JNL PERSPECTIVE L	121,681.62	101.40	0.00	101.40	93.0	94.30
02/28/2018	LEINENWEBER, LE ROY	JNL PERSPECTIVE L	327,181.85	272.65	0.00	272.65	93.0	253.56
02/28/2018	DALLMANN, HOWARD	JNL PERSPECTIVE L	200,461.06	167.05	0.00	167.05	93.0	155.36
02/28/2018	DALLMANN, MARGARET	JNL PERSPECTIVE L	24,068.39	20.06	0.00	20.06	93.0	18.66
02/28/2018	CLOW, SANDRA	JNL PERSPECTIVE L	770,051.98	641.71	0.00	641.71	93.0	596.79
02/28/2018	LARSON, DEAN L & LOIS N	JNL PERSPECTIVE L	571,444.12	476.20	0.00	476.20	93.0	442.87
02/28/2018	LOE, TIMOTHY	JNL PERSPECTIVE L	363,488.69	302.91	0.00	302.91	93.0	281.71
02/28/2018	NAWROCKI, DONNA	JNL PERSPECTIVE L	430,549.68	358.79	0.00	358.79	93.0	333.67
02/28/2018	DOBIE, SHERYL	JNL PERSPECTIVE L	969,664.15	808.05	0.00	808.05	93.0	751.49

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02/28/2018	MICHALETZ, GERALD	JNL PERSPECTIVE L	155,714.05	129.76	0.00	129.76	93.0	120.68
02/28/2018	BANWART, ROGER	JNL PERSPECTIVE L	165,292.86	137.74	0.00	137.74	93.0	128.10
02/28/2018	MICHALETZ, FAYE	JNL PERSPECTIVE L	69,256.42	57.71	0.00	57.71	93.0	53.67
02/28/2018	FUNFSINN TRUST, SUSAN	JNL PERSPECTIVE L	332,547.42	277.12	0.00	277.12	93.0	257.72
02/28/2018	POST, TERRY	JNL PERSPECTIVE L	211,434.65	176.20	0.00	176.20	93.0	163.87
02/28/2018	HUISMAN, GERALDINE	JNL PERSPECTIVE L	176,260.31	146.88	0.00	146.88	93.0	136.60
02/28/2018	STEVENS, GEORGE	JNL PERSPECTIVE L	313,343.62	261.12	0.00	261.12	93.0	242.84
02/28/2018	BUCK, NORMAN	JNL PERSPECTIVE L	238,152.31	198.46	0.00	198.46	93.0	184.57
02/28/2018	PANOS, GERALDINE	JNL PERSPECTIVE L	121,177.02	100.98	0.00	100.98	93.0	93.91
02/28/2018	PAHL, JAMES	JNL PERSPECTIVE L	157,482.05	131.24	0.00	131.24	93.0	122.05
02/28/2018	SHAW, CHARLES	JNL PERSPECTIVE L	141,435.93	117.86	0.00	117.86	93.0	109.61
02/28/2018	WARD, JACQUELINE	JNL PERSPECTIVE L	38,925.50	32.44	0.00	32.44	93.0	30.17
02/28/2018	KENDALL, ARCHIE	JNL PERSPECTIVE L	498,193.31	415.16	0.00	415.16	93.0	386.10
02/28/2018	MINNEHAN, MICHAEL	JNL PERSPECTIVE L	149,180.20	124.32	0.00	124.32	93.0	115.62
02/28/2018	CLOW CHARTIBLE TRUST, SANDRA	JNL PERSPECTIVE L	57,202.56	47.67	0.00	47.67	93.0	44.33
02/28/2018	TRINITY EVANGELICAL	JNL PERSPECTIVE L	415,956.07	346.63	0.00	346.63	93.0	322.37
02/28/2018	ARNOLD HOLDINGS LP	JNL PERSPECTIVE L	713,833.64	594.86	0.00	594.86	93.0	553.22
02/28/2018	ARNOLD, EVERETT	JNL PERSPECTIVE L	761,016.30	634.18	0.00	634.18	93.0	589.79
02/28/2018	SCHMIDT, LORI	JNL PERSPECTIVE L	135,458.98	112.88	0.00	112.88	93.0	104.98
02/28/2018	SCHMIDT, HAROLD	JNL PERSPECTIVE L	536,426.38	447.02	0.00	447.02	93.0	415.73
02/28/2018	ZIEGLER, DARRELL	JNL PERSPECTIVE L	56,709.89	47.26	0.00	47.26	93.0	43.95
02/28/2018	MOLL TURKEYS INC	JNL PERSPECTIVE L	545,909.61	454.92	0.00	454.92	93.0	423.08
02/28/2018	KRONBACK, JEFFERY	JNL PERSPECTIVE L	26,082.83	21.74	0.00	21.74	93.0	20.22
02/28/2018	KRONBACK, JEFFERY	JNL PERSPECTIVE L	115,353.64	96.13	0.00	96.13	93.0	89.40
02/28/2018	KRONBACK, DEBRA	JNL PERSPECTIVE L	30,929.33	25.77	0.00	25.77	93.0	23.97
02/28/2018	KRONBACK, DEBRA	JNL PERSPECTIVE L	34,030.73	28.36	0.00	28.36	93.0	26.37
02/28/2018	FITZSIMMONS, GARY	JNL PERSPECTIVE L	367,981.29	306.65	0.00	306.65	93.0	285.18
02/28/2018	REED, MARY	JNL PERSPECTIVE L	283,611.38	236.34	0.00	236.34	93.0	219.80
02/28/2018	FITZSIMMONS, KEVIN	JNL PERSPECTIVE L	144,251.58	120.21	0.00	120.21	93.0	111.80
02/28/2018	DIETRICH, SHARON	JNL PERSPECTIVE L	218,079.57	181.73	0.00	181.73	93.0	169.01
02/28/2018	JERROW, ROBERT	JNL PERSPECTIVE L	352,609.29	293.84	0.00	293.84	93.0	273.27
02/28/2018	KASTER, JANICE	JNL PERSPECTIVE L	172,600.58	143.83	0.00	143.83	93.0	133.76
02/28/2018	FEAR, DUANE	JNL PERSPECTIVE L	79,890.45	66.58	0.00	66.58	93.0	61.92

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	FEAR, LINDA	JNL PERSPECTIVE L	46,031.48	38.36	0.00	38.36	93.0	35.67
02/28/2018	ESLINGER, PATRICIA	JNL PERSPECTIVE L	25,499.03	21.25	0.00	21.25	93.0	19.76
02/28/2018	OLSON, MARK	JNL PERSPECTIVE L	371,844.30	309.87	0.00	309.87	93.0	288.18
02/28/2018	CHAPMAN, FRANCIS	JNL PERSPECTIVE L	331,909.45	276.59	0.00	276.59	93.0	257.23
02/28/2018	ZIEGLER, DIANNE	JNL PERSPECTIVE L	24,024.67	20.02	0.00	20.02	93.0	18.62
02/28/2018	GRUBISH, MAHLON	JNL PERSPECTIVE L	406,473.93	338.73	0.00	338.73	93.0	315.02
02/28/2018	ROSS TRUST, MARY JO	JNL PERSPECTIVE L	1,051,613.36	876.34	0.00	876.34	93.0	815.00
02/28/2018	ANDERSON, DONALD	JNL PERSPECTIVE L	165,214.04	137.68	0.00	137.68	93.0	128.04
02/28/2018	ROY, JAMES	JNL PERSPECTIVE L	217,676.73	181.40	0.00	181.40	93.0	168.70
02/28/2018	CONRAD, RONALD	JNL PERSPECTIVE L	137,664.33	114.72	0.00	114.72	93.0	106.69
02/28/2018	CONRAD, DELBERT L & ELL	JNL PERSPECTIVE L	484,812.54	404.01	0.00	404.01	93.0	375.73
02/28/2018	GRAFF, BRENT	JNL PERSPECTIVE L	63,996.78	53.33	0.00	53.33	93.0	49.60
02/28/2018	FOSTER, RODNEY	JNL PERSPECTIVE L	200,634.05	167.20	0.00	167.20	93.0	155.50
02/28/2018	SKELLY, SCOTT	JNL PERSPECTIVE L	254,391.06	211.99	0.00	211.99	93.0	197.15
02/28/2018	SKELLY, BRENDA	JNL PERSPECTIVE L	28,328.77	23.61	0.00	23.61	93.0	21.96
02/28/2018	SEVERSON, STANLEY	JNL PERSPECTIVE L	288,412.47	240.34	0.00	240.34	93.0	223.52
02/28/2018	GUNDERSON, HOLLY	JNL PERSPECTIVE L	41,754.33	34.80	0.00	34.80	93.0	32.36
02/28/2018	SEVERSON, JOYCE	JNL PERSPECTIVE L	119,231.97	99.36	0.00	99.36	93.0	92.40
02/28/2018	GUNDERSON, BRIAN	JNL PERSPECTIVE L	103,021.13	85.85	0.00	85.85	93.0	79.84
02/28/2018	CONRAD, DELBERT	JNL PERSPECTIVE L	114,107.43	95.09	0.00	95.09	93.0	88.43
02/28/2018	NELSON, BRENDA	JNL PERSPECTIVE L	257,042.86	214.20	0.00	214.20	93.0	199.21
02/28/2018	HAGEN, LINDA	JNL PERSPECTIVE L	1,845,567.31	1,537.97	0.00	1,537.97	93.0	1,430.31
02/28/2018	HUGGINS, JEANNE	JNL PERSPECTIVE L	203,681.31	169.73	0.00	169.73	93.0	157.85
02/28/2018	THOMPSON, MAX	JNL PERSPECTIVE L	149,724.55	124.77	0.00	124.77	93.0	116.04
02/28/2018	THOMPSON, JO ANN	JNL PERSPECTIVE L	185,523.17	154.60	0.00	154.60	93.0	143.78
02/28/2018	THOMPSON, MAX	JNL PERSPECTIVE L	511,585.48	426.32	0.00	426.32	93.0	396.48
02/28/2018	HUGGINS, TONY	JNL PERSPECTIVE L	317,157.63	264.30	0.00	264.30	93.0	245.80
02/28/2018	WOLFF, LINDA	JNL PERSPECTIVE L	761,073.79	634.23	0.00	634.23	93.0	589.83
02/28/2018	OTTO, JANET	JNL PERSPECTIVE L	25,390.65	21.16	0.00	21.16	93.0	19.68
02/28/2018	OTTO, JAMES	JNL PERSPECTIVE L	608,160.83	506.80	0.00	506.80	93.0	471.32
02/28/2018	BUCK, DELORES	JNL PERSPECTIVE L	264,252.73	220.21	0.00	220.21	93.0	204.80
02/28/2018	BRIELMAIER, MICHELE	JNL PERSPECTIVE L	247,261.31	206.05	0.00	206.05	93.0	191.63
02/28/2018	KLEMENT, GARY	JNL PERSPECTIVE L	726,177.86	605.15	0.00	605.15	93.0	562.79

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	KLEMENT, GARY	JNL PERSPECTIVE L	722,298.15	601.92	0.00	601.92	93.0	559.79
02/28/2018	CLOW, SANDRA	JNL PERSPECTIVE L	496,459.24	413.72	0.00	413.72	93.0	384.76
02/28/2018	FAMILY CHARITABLE REMAIND,	JNL PERSPECTIVE L	777,259.36	647.72	0.00	647.72	93.0	602.38
02/28/2018	GARTNER, MARIE	JNL PERSPECTIVE L	181,776.00	151.48	0.00	151.48	93.0	140.88
02/28/2018	GARTNER, LEONARD	JNL PERSPECTIVE L	1,230,433.26	1,025.36	0.00	1,025.36	93.0	953.58
02/28/2018	GARTNER, LEONARD	JNL PERSPECTIVE L	226,197.21	188.50	0.00	188.50	93.0	175.31
02/28/2018	FITTERER, RUTH	JNL PERSPECTIVE L	214,386.89	178.66	0.00	178.66	93.0	166.15
02/28/2018	MICHELS, DAVID	JNL PERSPECTIVE L	140,871.83	117.39	0.00	117.39	93.0	109.17
02/28/2018	MICHELS, BEVERLEY	JNL PERSPECTIVE L	333,320.34	277.77	0.00	277.77	93.0	258.33
02/28/2018	HANSON, JOY	JNL PERSPECTIVE L	217,549.75	181.29	0.00	181.29	93.0	168.60
02/28/2018	SCHOEN, RICHARD	JNL PERSPECTIVE L	556,950.58	464.13	0.00	464.13	93.0	431.64
02/28/2018	SCHOEN, RICHARD	JNL PERSPECTIVE L	622,749.87	518.96	0.00	518.96	93.0	482.63
02/28/2018	ROBINSON, MARILYN	JNL PERSPECTIVE L	142,604.58	118.84	0.00	118.84	93.0	110.52
02/28/2018	FOSTER, RICHARD	JNL PERSPECTIVE L	1,115,056.56	929.21	0.00	929.21	93.0	864.17
02/28/2018	STAFFEN, ROBERT	JNL PERSPECTIVE L	121,913.65	101.59	0.00	101.59	93.0	94.48
02/28/2018	TURNER, MYRNA	JNL PERSPECTIVE L	181,646.68	151.37	0.00	151.37	93.0	140.77
02/28/2018	TURNER, WENDELL &	JNL PERSPECTIVE L	170,231.54	141.86	0.00	141.86	93.0	131.93
02/28/2018	BENZ, FORREST	JNL PERSPECTIVE L	31,278.15	26.07	0.00	26.07	93.0	24.25
02/28/2018	BENZ, FORREST	JNL PERSPECTIVE L	271,977.57	226.65	0.00	226.65	93.0	210.78
02/28/2018	WEIBLE, DEAN	JNL PERSPECTIVE L	50,739.84	42.28	0.00	42.28	93.0	39.32
02/28/2018	JOHNSON, HERMIT	JNL PERSPECTIVE L	123,913.72	103.26	0.00	103.26	93.0	96.03
02/28/2018	BOUDREAU, WILLIAM	JNL PERSPECTIVE L	420,877.98	350.73	0.00	350.73	93.0	326.18
02/28/2018	SHEARER, KAREN	JNL PERSPECTIVE L	123,839.53	103.20	0.00	103.20	93.0	95.98
02/28/2018	SHEARER, DONALD	JNL PERSPECTIVE L	334,302.94	278.59	0.00	278.59	93.0	259.09
02/28/2018	JOHNSON, JESSE	JNL PERSPECTIVE L	116,089.77	96.74	0.00	96.74	93.0	89.97
02/28/2018	JOHNSON, HERMIT	JNL PERSPECTIVE L	8,377.50	6.98	0.00	6.98	93.0	6.49
02/28/2018	JOHNSON, KAREN	JNL PERSPECTIVE L	8,374.39	6.98	0.00	6.98	93.0	6.49
02/28/2018	SNYDER, CYNTHIA	JNL PERSPECTIVE L	30,420.31	25.35	0.00	25.35	93.0	23.58
02/28/2018	STEVENS, SANDRA	JNL PERSPECTIVE L	91,440.43	76.20	0.00	76.20	93.0	70.87
02/28/2018	STEVENS, SANDRA	JNL PERSPECTIVE L	25,271.77	21.06	0.00	21.06	93.0	19.59
02/28/2018	STEVENS, GREGORY	JNL PERSPECTIVE L	85,933.84	71.61	0.00	71.61	93.0	66.60
02/28/2018	LOE, TIMOTHY	JNL PERSPECTIVE L	120,878.79	65.48	0.00	65.48	93.0	60.90
02/28/2018	WOLFF, LINDA	JNL PERSPECTIVE L	126,915.78	68.75	0.00	68.75	93.0	63.94

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	WOLFF, LINDA	JNL PERSPECTIVE L	138,860.50	115.72	0.00	115.72	93.0	107.62
02/28/2018	LABRIER, JOHN	JNL PERSPECTIVE L	78,465.04	65.39	0.00	65.39	93.0	60.81
02/28/2018	LABRIER, PAULA	JNL PERSPECTIVE L	16,289.89	13.57	0.00	13.57	93.0	12.62
02/28/2018	WETZELL, PAMELA	JNL PERSPECTIVE L	89,497.67	74.58	0.00	74.58	93.0	69.36
02/28/2018	JOHNSON, SHERI	JNL PERSPECTIVE L	165,459.65	137.88	0.00	137.88	93.0	128.23
02/28/2018	REITER, KAREN	JNL PERSPECTIVE L	10,696.33	5.79	0.00	5.79	93.0	5.38
02/28/2018	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	41,404.09	34.50	0.00	34.50	93.0	32.09
02/28/2018	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	35,808.57	29.84	0.00	29.84	93.0	27.75
02/28/2018	BOESCH, RODNEY	JOHN HANCOCK VENTURE	164,637.07	34.30	0.00	34.30	93.0	31.90
02/09/2018	JACKSON, SHARON	TRANS LIFE LANDMARK 2003 VA	472,555.57	393.80	0.00	393.80	93.0	366.23
02/17/2018	ROBINSON, CHARLES	TRANS LIFE LANDMARK 2003 VA	118,652.22	98.88	0.00	98.88	93.0	91.96
02/19/2018	STEVENS, JANICE	TRANSAMERICA CATALYST	18,689.00	3.89	0.00	3.89	93.0	3.62
02/04/2018	SEVERSON, STEVEN	TRANSAMERICA EXTRA	189,151.00	157.63	0.00	157.63	93.0	146.60
02/09/2018	VOIGHT, RICKY	TRANSAMERICA EXTRA	667,449.26	556.21	0.00	556.21	93.0	517.28
02/09/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	25,717.16	21.43	0.00	21.43	93.0	19.93
02/11/2018	MICHALETZ, CHRISTINE	TRANSAMERICA EXTRA	6,944.15	5.79	0.00	5.79	93.0	5.38
02/13/2018	VETTER, LORI	TRANSAMERICA EXTRA	38,693.80	32.24	0.00	32.24	93.0	29.98
02/13/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	19,882.55	16.57	0.00	16.57	93.0	15.41
02/13/2018	VOIGHT, RICKY	TRANSAMERICA EXTRA	7,061.97	5.88	0.00	5.88	93.0	5.47
02/13/2018	TRIPP, TIMOTHY	TRANSAMERICA EXTRA	26,717.77	22.26	0.00	22.26	93.0	20.70
02/14/2018	GRAFF, JOYCE	TRANSAMERICA EXTRA	51,231.23	42.69	0.00	42.69	93.0	39.70
02/14/2018	GRAFF, JOYCE	TRANSAMERICA EXTRA	18,196.37	15.16	0.00	15.16	93.0	14.10
02/17/2018	ELLIS, ROSEMARY	TRANSAMERICA EXTRA	240,315.02	200.26	0.00	200.26	93.0	186.24
02/19/2018	WETZELL, THOMAS	TRANSAMERICA EXTRA	1,445,771.75	1,204.81	0.00	1,204.81	93.0	1,120.47
02/19/2018	VANDERHOOF, DONALD	TRANSAMERICA EXTRA	8,545.29	7.12	0.00	7.12	93.0	6.62
02/19/2018	LEVIK, LADONNA	TRANSAMERICA EXTRA	243,326.58	202.77	0.00	202.77	93.0	188.58
02/21/2018	VOTCA, JOHN	TRANSAMERICA EXTRA	71,204.93	59.34	0.00	59.34	93.0	55.19
02/21/2018	GRISWOLD, ELLEN	TRANSAMERICA EXTRA	38,496.00	32.08	0.00	32.08	93.0	29.83
02/23/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	35,607.43	29.67	0.00	29.67	93.0	27.59
02/27/2018	BOESCH, RODNEY	TRANSAMERICA EXTRA	58,820.78	49.02	0.00	49.02	93.0	45.59
02/01/2018	BOESCH, JEFFERY	TRANSAMERICA EXTRA 89352F889	253,982.19	211.65	0.00	211.65	93.0	196.83
02/07/2018	MICHALETZ, JOSEPH	TRANSAMERICA EXTRA 89352F889	13,812.02	11.51	0.00	11.51	93.0	10.70
02/10/2018	HORNING, DONALD	TRANSAMERICA EXTRA 89352F889	108,094.81	90.08	0.00	90.08	93.0	83.77

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/16/2018	FEMRITE, LYLE	TRANSAMERICA EXTRA 89352F889	79,172.22	65.98	0.00	65.98	93.0	61.36
02/23/2018	PAULSEN, TAMI	TRANSAMERICA EXTRA 89352F889	74,763.90	62.30	0.00	62.30	93.0	57.94
02/23/2018	PAULSEN, BRYAN	TRANSAMERICA EXTRA 89352F889	199,946.91	166.62	0.00	166.62	93.0	154.96
02/23/2018	FOSTER, RODNEY	TRANSAMERICA EXTRA 89352F889	1,433,157.91	1,194.30	0.00	1,194.30	93.0	1,110.70
02/23/2018	FOSTER, BARBARA	TRANSAMERICA EXTRA 89352F889	324,244.20	270.20	0.00	270.20	93.0	251.29
02/02/2018	PLOTZ, LEO	TRANSAMERICA LANDMARK	338,959.63	282.47	0.00	282.47	93.0	262.70
02/05/2018	TURNER, WENDELL	TRANSAMERICA LANDMARK	124,447.12	103.71	0.00	103.71	93.0	96.45
02/07/2018	SHORE, ROSALIND	TRANSAMERICA LANDMARK	145,594.92	121.33	0.00	121.33	93.0	112.84
02/08/2018	HECK, KELVIN	TRANSAMERICA LANDMARK	269,370.59	224.48	0.00	224.48	93.0	208.77
02/09/2018	BRIELMAIER, MARK	TRANSAMERICA LANDMARK	77,037.27	64.20	0.00	64.20	93.0	59.71
02/15/2018	JOHNSON, PAUL	TRANSAMERICA LANDMARK	503,627.24	419.69	0.00	419.69	93.0	390.31
02/18/2018	KLEMENT, LINDA	TRANSAMERICA LANDMARK	15,297.43	12.75	0.00	12.75	93.0	11.86
02/20/2018	BERGLING, PAUL	TRANSAMERICA LANDMARK	280.00	14.00	0.00	14.00	93.0	13.02
02/20/2018	BERGLING, PAUL	TRANSAMERICA LANDMARK	562,532.27	468.78	0.00	468.78	93.0	435.97
02/22/2018	DALLMANN, MARGARET	TRANSAMERICA LANDMARK	169,269.72	141.06	0.00	141.06	93.0	131.19
02/22/2018	BERGLING, SHARON	TRANSAMERICA LANDMARK	814,689.44	678.91	0.00	678.91	93.0	631.39
02/26/2018	DALLMANN, HOWARD	TRANSAMERICA LANDMARK	14,636.50	12.20	0.00	12.20	93.0	11.35
02/28/2018	KLEMENT, LINDA	TRANSAMERICA LANDMARK	75,459.78	62.88	0.00	62.88	93.0	58.48
02/28/2018	SANDER, GLORIAN	TRANSAMERICA LANDMARK	244,789.12	203.99	0.00	203.99	93.0	189.71
02/04/2018	HULST, TIMOTHY	TRANSAMERICA LIBERTY	57,418.88	47.85	0.00	47.85	93.0	44.50
02/11/2018	HAUGH, JOANNE	TRANSAMERICA LIBERTY	38,857.91	32.38	0.00	32.38	93.0	30.11
02/24/2018	MICHALETZ, GERALD	TRANSAMERICA LIBERTY	86,750.09	72.29	0.00	72.29	93.0	67.23
02/24/2018	AYERS, KATHRYN	TRANSAMERICA LIBERTY	237,383.96	197.82	0.00	197.82	93.0	183.97
* VARIABLE ANNUITIES SUBTOTAL *			\$63,039,873.10	\$67,509.68	\$0.00	\$67,509.68		\$62,784.11
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
02/05/2018	OTTO, CHRISTOPHER	LBL VUL	270.00	4.05	0.00	4.05	93.0	3.77
02/21/2018	SCHMIT, DALE	LBL VUL	4,434.00	110.85	0.00	110.85	93.0	103.09
04/07/2017	FLYGARE, DUANE	TRANSAMERICA LIFE	875.00	21.88	0.00	21.88	93.0	20.35
03/01/2018	FOELS, BRUCE	TRANSAMERICA LIFE	41.75	1.04	0.00	1.04	93.0	0.97
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$5,620.75	\$137.82	\$0.00	\$137.82		\$128.18

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	YARGER, MARCY	AM FUNDS AMCAP A	0.00	1.18	0.00	1.18	93.0	1.10
02/21/2018	FITZSIMMONS, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	0.91	0.00	0.91	93.0	0.85
02/21/2018	TURPEN, ERIC	AM FUNDS AMERICAN MUTUAL A	0.00	0.28	0.00	0.28	93.0	0.26
02/21/2018	TURPEN, RACHEL	AM FUNDS AMERICAN MUTUAL A	0.00	0.74	0.00	0.74	93.0	0.69
03/01/2018	PANOS, GEARLDINE	AM FUNDS AMERICAN MUTUAL A	0.00	25.95	0.00	25.95	93.0	24.13
03/01/2018	YARGER, MARCY	AM FUNDS AMERICAN MUTUAL A	0.00	1.26	0.00	1.26	93.0	1.17
02/21/2018	FITZSIMMONS, CHRISTINE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.68	0.00	0.68	93.0	0.63
03/01/2018	YARGER, MARCY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.20	0.00	1.20	93.0	1.12
02/21/2018	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.13	0.00	0.13	93.0	0.12
02/21/2018	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.84	0.00	0.84	93.0	0.78
02/21/2018	FITZSIMMONS, CHRISTINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.06	0.00	1.06	93.0	0.99
03/01/2018	YARGER, MARCY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.98	0.00	0.98	93.0	0.91
02/21/2018	LABRIER, PAULA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.92	0.00	1.92	93.0	1.79
02/21/2018	TURPEN, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.13	0.00	0.13	93.0	0.12
02/21/2018	TURPEN, RACHEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.78	0.00	0.78	93.0	0.73
03/01/2018	YARGER, MARCY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.56	0.00	1.56	93.0	1.45
02/21/2018	LOWE, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.68	0.00	1.68	93.0	1.56
02/21/2018	FITZSIMMONS, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.98	0.00	0.98	93.0	0.91
02/21/2018	FITZSIMMONS, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.59	0.00	0.59	93.0	0.55
02/21/2018	LOWE, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.59	0.00	1.59	93.0	1.48
02/21/2018	TURPEN, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.78	0.00	0.78	93.0	0.73
02/21/2018	TURPEN, RACHEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.05	0.00	2.05	93.0	1.91
03/01/2018	YARGER, MARCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.28	0.00	1.28	93.0	1.19
02/21/2018	TURPEN, ERIC	AM FUNDS NEW ECONOMY A	0.00	0.15	0.00	0.15	93.0	0.14
02/21/2018	TURPEN, RACHEL	AM FUNDS NEW ECONOMY A	0.00	0.40	0.00	0.40	93.0	0.37
02/21/2018	FITZSIMMONS, CHRISTINE	AM FUNDS NEW WORLD A	0.00	0.37	0.00	0.37	93.0	0.34
02/21/2018	TURPEN, ERIC	AM FUNDS NEW WORLD A	0.00	0.13	0.00	0.13	93.0	0.12
02/21/2018	TURPEN, RACHEL	AM FUNDS NEW WORLD A	0.00	0.76	0.00	0.76	93.0	0.71
02/21/2018	TURPEN, ERIC	AM FUNDS SMALLCAP WORLD A	0.00	0.09	0.00	0.09	93.0	0.08
02/21/2018	TURPEN, RACHEL	AM FUNDS SMALLCAP WORLD A	0.00	0.56	0.00	0.56	93.0	0.52
03/01/2018	YARGER, MARCY	AM FUNDS SMALLCAP WORLD A	0.00	1.65	0.00	1.65	93.0	1.53
02/21/2018	TURPEN, ERIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	93.0	0.56
02/21/2018	TURPEN, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.55	0.00	1.55	93.0	1.44

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	YARGER, MARCY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.65	0.00	0.65	93.0	0.60
02/22/2018	MICHALETZ TRUST, GERALD & FAYE	AMERICA FIRST INCOME TRENDS FUND CLA	0.00	10.91	0.00	10.91	93.0	10.15
02/23/2018	MICHALETZ TRUST, GERALD & FAYE	AMERICA FIRST INCOME TRENDS FUND CLA	0.00	11.37	0.00	11.37	93.0	10.57
01/31/2018	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	0.00	3.52	0.00	3.52	93.0	3.27
01/31/2018	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	0.00	1.17	0.00	1.17	93.0	1.09
02/15/2018	REILLY, KAREN	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	21.30	0.00	21.30	93.0	19.81
02/15/2018	VAN SISTINE, JEANNE	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	18.40	0.00	18.40	93.0	17.11
02/15/2018	REINKE, RONALD	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	3.10	0.00	3.10	93.0	2.88
02/14/2018	REILLY, KAREN	EATON VANCE TAX MANAGED VALUE FD CL	0.00	9.83	0.00	9.83	93.0	9.14
02/14/2018	VAN SISTINE, JEANNE	EATON VANCE TAX MANAGED VALUE FD CL	0.00	18.66	0.00	18.66	93.0	17.35
/ /	WHITNEY, STANLEY	HARTFORD GROWTH OPP A	0.00	34.57	0.00	34.57	93.0	32.15
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	13.11	0.00	13.11	93.0	12.19
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	0.38	0.00	0.38	93.0	0.35
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	3.29	0.00	3.29	93.0	3.06
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	7.22	0.00	7.22	93.0	6.71
02/16/2018	TURNER, WANDA	JH HIGH YIELD A	0.00	1.44	0.00	1.44	93.0	1.34
02/16/2018	REINKE, RONALD	JH LARGE CAP EQUITY A	0.00	4.40	0.00	4.40	93.0	4.09
02/16/2018	REILLY, KAREN	JH NEW OPPORTUNITIES A	0.00	9.64	0.00	9.64	93.0	8.97
02/16/2018	VAN SISTINE, JEANNE	JH NEW OPPORTUNITIES A	0.00	8.99	0.00	8.99	93.0	8.36
02/21/2018	PLOTZ, ROSANN	JP MORGAN GROWTH AND INCOME FUND CL	0.00	0.54	0.00	0.54	93.0	0.50
02/21/2018	REILLY, KAREN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	8.32	0.00	8.32	93.0	7.74
02/21/2018	POLTZ, ALAN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	0.84	0.00	0.84	93.0	0.78
02/21/2018	REINKE, RONALD	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	4.63	0.00	4.63	93.0	4.31
02/13/2018	SCHLOMANN, DAVID	MFS GROWTH ALLOCATION C	0.00	7.06	0.00	7.06	93.0	6.57
02/13/2018	SCHLOMANN, ANN	MFS MODERATE ALLOCATION C	0.00	8.38	0.00	8.38	93.0	7.79
02/13/2018	POLTZ, ALAN	MFS RESEARCH A	0.00	0.56	0.00	0.56	93.0	0.52
02/21/2018	REINKE, RONALD	PUTNAM HIGH YIELD ADVANTAGE A	0.00	3.70	0.00	3.70	93.0	3.44
02/13/2018	OTTO, CHRISTOPHER	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.76	0.00	0.76	93.0	0.71
02/13/2018	REILLY, KAREN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.42	0.00	4.42	93.0	4.11
02/14/2018	SWANSON, GLENN	TRANSAMERICA AA CONSERVATIVE C	0.00	26.69	0.00	26.69	93.0	24.82
02/14/2018	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH A	0.00	2.63	0.00	2.63	93.0	2.45
02/14/2018	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	0.00	3.17	0.00	3.17	93.0	2.95
02/14/2018	BOUDREAU, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	13.14	0.00	13.14	93.0	12.22

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/14/2018	BOUDREAU, DIANE	TRANSAMERICA AA GROWTH C	0.00	26.81	0.00	26.81	93.0	24.93
02/14/2018	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	3.53	0.00	3.53	93.0	3.28
02/14/2018	LILLEVOLD, KATHRYN	TRANSAMERICA AA GROWTH C	0.00	16.40	0.00	16.40	93.0	15.25
02/14/2018	FITTERER TRUST, RUTH	TRANSAMERICA AA GROWTH C	0.00	78.37	0.00	78.37	93.0	72.88
03/02/2018	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	0.00	35.69	0.00	35.69	93.0	33.19
03/02/2018	THORP, MEGAN	TRANSAMERICA AA GROWTH C	0.00	1.71	0.00	1.71	93.0	1.59
03/02/2018	MICHALETZ, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	1.38	0.00	1.38	93.0	1.28
03/02/2018	FITTERER, JAMES	TRANSAMERICA AA GROWTH C	0.00	38.04	0.00	38.04	93.0	35.38
03/02/2018	ROBERTSON, KATELYN	TRANSAMERICA AA GROWTH C	0.00	4.09	0.00	4.09	93.0	3.80
03/02/2018	SCHOEN, JUDITH	TRANSAMERICA AA GROWTH C	0.00	17.44	0.00	17.44	93.0	16.22
03/02/2018	JONES, ERIN	TRANSAMERICA AA GROWTH C	0.00	4.54	0.00	4.54	93.0	4.22
03/02/2018	JONES, KEITH	TRANSAMERICA AA GROWTH C	0.00	4.54	0.00	4.54	93.0	4.22
03/02/2018	LELAND, CRAIG	TRANSAMERICA AA GROWTH C	0.00	28.14	0.00	28.14	93.0	26.17
03/02/2018	SEVERSON, STEVEN	TRANSAMERICA AA GROWTH C	0.00	2.38	0.00	2.38	93.0	2.21
03/02/2018	FREDERICK, THOMAS	TRANSAMERICA AA GROWTH C	0.00	4.54	0.00	4.54	93.0	4.22
03/02/2018	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	0.00	5.16	0.00	5.16	93.0	4.80
03/02/2018	RENDL, MARK	TRANSAMERICA AA GROWTH C	0.00	3.55	0.00	3.55	93.0	3.30
03/02/2018	GROMMERSCH, IZABEL	TRANSAMERICA AA GROWTH C	0.00	4.10	0.00	4.10	93.0	3.81
03/02/2018	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	0.00	15.65	0.00	15.65	93.0	14.55
03/02/2018	HASKIN-BEEBE, JEAN	TRANSAMERICA AA GROWTH C	0.00	3.71	0.00	3.71	93.0	3.45
03/02/2018	KIECKER, DENISE	TRANSAMERICA AA GROWTH C	0.00	16.34	0.00	16.34	93.0	15.20
03/02/2018	MARK T KIECKER, SIM	TRANSAMERICA AA GROWTH C	0.00	16.92	0.00	16.92	93.0	15.74
03/02/2018	SHORE, HELEN	TRANSAMERICA AA GROWTH C	0.00	54.61	0.00	54.61	93.0	50.79
03/02/2018	DOBIE, SHERYL	TRANSAMERICA AA GROWTH C	0.00	5.73	0.00	5.73	93.0	5.33
03/02/2018	JONES, EVAN	TRANSAMERICA AA GROWTH C	0.00	1.35	0.00	1.35	93.0	1.26
03/02/2018	GROMMERSCH, JOHN	TRANSAMERICA AA GROWTH C	0.00	4.10	0.00	4.10	93.0	3.81
03/02/2018	WETZELL, PAMELA	TRANSAMERICA AA GROWTH C	0.00	3.69	0.00	3.69	93.0	3.43
03/02/2018	WETZELL, THOMAS	TRANSAMERICA AA GROWTH C	0.00	11.35	0.00	11.35	93.0	10.56
03/02/2018	JONES, WYATT	TRANSAMERICA AA GROWTH C	0.00	1.45	0.00	1.45	93.0	1.35
03/02/2018	THOMPSON, JO ANN	TRANSAMERICA AA GROWTH C	0.00	9.95	0.00	9.95	93.0	9.25
03/02/2018	JONES, DYLAN	TRANSAMERICA AA GROWTH C	0.00	1.19	0.00	1.19	93.0	1.11
03/02/2018	OTTO, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	11.21	0.00	11.21	93.0	10.43
03/02/2018	CHRISTMAN, PATRICK	TRANSAMERICA AA GROWTH C	0.00	9.02	0.00	9.02	93.0	8.39

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/02/2018	CHRISTMAN, LISA	TRANSAMERICA AA GROWTH C	0.00	8.11	0.00	8.11	93.0	7.54
03/02/2018	THORP, CALEB	TRANSAMERICA AA GROWTH C	0.00	6.80	0.00	6.80	93.0	6.32
03/02/2018	WETZELL, ELI	TRANSAMERICA AA GROWTH C	0.00	6.06	0.00	6.06	93.0	5.64
03/02/2018	WETZELL, BECKETT	TRANSAMERICA AA GROWTH C	0.00	6.46	0.00	6.46	93.0	6.01
03/02/2018	TOMM, JODI	TRANSAMERICA AA GROWTH C	0.00	54.85	0.00	54.85	93.0	51.01
03/02/2018	JONES, WILL	TRANSAMERICA AA GROWTH C	0.00	1.22	0.00	1.22	93.0	1.13
03/02/2018	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	12.79	0.00	12.79	93.0	11.89
03/02/2018	SANDBO, KAID	TRANSAMERICA AA GROWTH C	0.00	4.15	0.00	4.15	93.0	3.86
03/02/2018	SANDBO, ALYIAH	TRANSAMERICA AA GROWTH C	0.00	3.92	0.00	3.92	93.0	3.65
03/02/2018	WETZELL, PEYTON	TRANSAMERICA AA GROWTH C	0.00	3.61	0.00	3.61	93.0	3.36
03/02/2018	THORP, REED	TRANSAMERICA AA GROWTH C	0.00	3.68	0.00	3.68	93.0	3.42
03/02/2018	SOLOMON, ARIANNA	TRANSAMERICA AA GROWTH C	0.00	2.76	0.00	2.76	93.0	2.57
03/02/2018	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	20.73	0.00	20.73	93.0	19.28
03/02/2018	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	5.97	0.00	5.97	93.0	5.55
03/02/2018	GRUBISH, GERTRUDE	TRANSAMERICA AA GROWTH C	0.00	5.97	0.00	5.97	93.0	5.55
03/02/2018	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.02	0.00	1.02	93.0	0.95
03/02/2018	WETZELL, BRADY	TRANSAMERICA AA GROWTH C	0.00	1.80	0.00	1.80	93.0	1.67
03/02/2018	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.09	0.00	1.09	93.0	1.01
03/02/2018	THORP, QUINN	TRANSAMERICA AA GROWTH C	0.00	1.65	0.00	1.65	93.0	1.53
03/02/2018	WETZELL, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	1.79	0.00	1.79	93.0	1.66
03/02/2018	KIECKER, BENJAMIN	TRANSAMERICA AA GROWTH C	0.00	2.28	0.00	2.28	93.0	2.12
03/02/2018	KIECKER, DANIEL	TRANSAMERICA AA GROWTH C	0.00	2.64	0.00	2.64	93.0	2.46
03/02/2018	STEVENS, GAVIN	TRANSAMERICA AA GROWTH C	0.00	8.99	0.00	8.99	93.0	8.36
03/02/2018	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	0.00	3.80	0.00	3.80	93.0	3.53
03/02/2018	VOIGHT, CODY	TRANSAMERICA AA GROWTH C	0.00	4.05	0.00	4.05	93.0	3.77
03/02/2018	VOIGHT, NICKOLAS	TRANSAMERICA AA GROWTH C	0.00	0.14	0.00	0.14	93.0	0.13
03/02/2018	KIECKER, BENJAMIN	TRANSAMERICA AA GROWTH C	0.00	32.93	0.00	32.93	93.0	30.62
03/02/2018	KIECKER, DANIEL	TRANSAMERICA AA GROWTH C	0.00	32.57	0.00	32.57	93.0	30.29
02/14/2018	VETTER, BRIAN	TRANSAMERICA AA MOD GROWTH C	0.00	5.23	0.00	5.23	93.0	4.86
02/14/2018	SWANSON, GLENN	TRANSAMERICA AA MOD GROWTH C	0.00	35.14	0.00	35.14	93.0	32.68
02/14/2018	FITTERER TRUST, RUTH	TRANSAMERICA AA MOD GROWTH C	0.00	70.06	0.00	70.06	93.0	65.16
03/02/2018	CONRAD, MAREIDITH	TRANSAMERICA AA MOD GROWTH C	0.00	6.10	0.00	6.10	93.0	5.67
03/02/2018	CONRAD, DELBERT	TRANSAMERICA AA MOD GROWTH C	0.00	39.82	0.00	39.82	93.0	37.03

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/02/2018	LOE, GLORIA	TRANSAMERICA AA MOD GROWTH C	0.00	7.36	0.00	7.36	93.0	6.84
03/02/2018	GARTNER, TIMOTHY	TRANSAMERICA AA MOD GROWTH C	0.00	23.46	0.00	23.46	93.0	21.82
03/02/2018	GRAFF, GARY	TRANSAMERICA AA MOD GROWTH C	0.00	20.36	0.00	20.36	93.0	18.93
02/14/2018	VETTER, BRIAN	TRANSAMERICA AA MODERATE C	0.00	2.06	0.00	2.06	93.0	1.92
02/14/2018	OTTO, CHRISTOPHER	TRANSAMERICA CAPITAL GROWTH A	0.00	0.84	0.00	0.84	93.0	0.78
02/14/2018	OTTO, CHRISTOPHER	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.86	0.00	0.86	93.0	0.80
02/14/2018	OTTO, CHRISTOPHER	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	1.04	0.00	1.04	93.0	0.97
02/14/2018	OTTO, CHRISTOPHER	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	1.35	0.00	1.35	93.0	1.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,223.79	\$0.00	\$1,223.79		\$1,138.08
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/01/2018	HOLZER, IDA	PAC LIFE VALUE	0.00	180.94	0.00	180.94	93.0	168.27
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$180.94	\$0.00	\$180.94		\$168.27
*** DIRECT TRANSACTIONS TOTAL ***			\$65,335,151.17	\$218,566.09	\$0.00	\$218,566.09		\$203,266.52

SPLIT TRANSACTIONS**BROKER: 46G-JOE/JACK MICHALETZ #290**

02/13/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	0.66	0.00	0.66	93.0	0.61
02/28/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	0.66	0.00	0.66	93.0	0.61
02/13/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	0.53	0.00	0.53	93.0	0.49
02/28/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	0.53	0.00	0.53	93.0	0.49
02/13/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	0.53	0.00	0.53	93.0	0.49
02/28/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	0.53	0.00	0.53	93.0	0.49
02/13/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.39	0.00	0.39	93.0	0.36
02/28/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.39	0.00	0.39	93.0	0.36
* 46G-JOE/JACK MICHALETZ SUBTOTAL *			\$844.80	\$4.22	\$0.00	\$4.22		\$3.90
*** SPLIT TRANSACTIONS TOTAL ***			\$844.80	\$4.22	\$0.00	\$4.22		\$3.90

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK, M137 MICHALETZ #287 </u>								
02/21/2018	PEMBLE, JOCELYN	529 AMCAP FUND CLASS A	0.00	0.40	0.00	0.40	40.0	0.21
03/01/2018	CARNEY, KARLA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.40	0.00	7.40	40.0	3.92
03/01/2018	CARNEY, KARLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.32	0.00	3.32	40.0	1.76
03/01/2018	APPEL, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.61	0.00	3.61	40.0	1.91
02/21/2018	PEMBLE, JOCELYN	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.29	0.00	0.29	40.0	0.15
02/15/2018	ATKINSON, VONDA	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	5.38	0.00	5.38	40.0	2.85
02/16/2018	GROMMERSCH, STACI	JH CLASSIC VALUE A	0.00	0.06	0.00	0.06	40.0	0.03
02/16/2018	GROMMERSCH, STACI	JH LARGE CAP EQUITY A	0.00	0.07	0.00	0.07	40.0	0.04
02/16/2018	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.06	0.00	0.06	40.0	0.03
02/16/2018	STEFFL, CHRISTINE	JH STRATEGIC GROWTH A	0.00	0.32	0.00	0.32	40.0	0.17
02/16/2018	STEFFL, JOSEPH	JH STRATEGIC GROWTH A	0.00	0.46	0.00	0.46	40.0	0.24
02/16/2018	ATKINSON, VONDA	JH STRATEGIC GROWTH A	0.00	2.67	0.00	2.67	40.0	1.42
02/28/2018	HAAK, HELEN	JNL PERSPECTIVE ADV II 5/05	68,890.84	71.76	0.00	71.76	40.0	38.03
02/28/2018	FIRST CONGREGATIONAL	JNL PERSPECTIVE II 04/14	182,811.78	38.08	0.00	38.08	40.0	20.18
02/28/2018	STEFFL, JAMES	JNL PERSPECTIVE II 04/14	166,598.90	34.71	0.00	34.71	40.0	18.40
02/28/2018	ZOARS UNITED CHURCH OF CH	JNL PERSPECTIVE II 04/14	36,288.96	7.56	0.00	7.56	40.0	4.01
02/28/2018	COLBY, TODD	JNL PERSPECTIVE II 04/14	212,174.73	44.20	0.00	44.20	40.0	23.43
02/28/2018	ROY, DEBRA	JNL PERSPECTIVE II 04/14	168,190.60	140.16	0.00	140.16	40.0	74.28
02/28/2018	STEVERMER, THOMAS	JNL PERSPECTIVE II 04/14	112,472.69	46.86	0.00	46.86	40.0	24.84
02/28/2018	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	47,890.61	39.91	0.00	39.91	40.0	21.15
02/28/2018	WATTS, BAMBI	JNL PERSPECTIVE II 04/14	5,904.63	4.92	0.00	4.92	40.0	2.61
02/28/2018	ROSE, DENNIS	JNL PERSPECTIVE II 05/05	85,467.80	71.22	0.00	71.22	40.0	37.75
02/28/2018	ROSE, CHERYL	JNL PERSPECTIVE II 05/05	142,844.94	119.04	0.00	119.04	40.0	63.09
02/28/2018	OTTO, CURTIS	JNL PERSPECTIVE II 05/05	8,739.52	7.28	0.00	7.28	40.0	3.86
02/28/2018	PETERSON, IONE	JNL PERSPECTIVE L	135,858.26	147.18	0.00	147.18	40.0	78.01
02/28/2018	PANKRATZ, SUSAN	JNL PERSPECTIVE L	120,117.23	100.10	0.00	100.10	40.0	53.05
02/28/2018	PANKRATZ, EDWARD	JNL PERSPECTIVE L	214,822.66	179.02	0.00	179.02	40.0	94.88
02/28/2018	ROSE, DENNIS	JNL PERSPECTIVE L	174,599.10	145.50	0.00	145.50	40.0	77.12
02/28/2018	KOPISCHKE, BEVERLY	JNL PERSPECTIVE L	162,853.90	135.71	0.00	135.71	40.0	71.93
02/28/2018	JOHNSON, ELOIS	JNL PERSPECTIVE L	499,961.82	416.63	0.00	416.63	40.0	220.81
02/28/2018	FITTERER, DELORIS	JNL PERSPECTIVE L	110,546.39	92.12	0.00	92.12	40.0	48.82
02/28/2018	FITTERER, DELORIS	JNL PERSPECTIVE L	250,232.48	208.53	0.00	208.53	40.0	110.52
02/28/2018	SHIRK, PAMELA	JNL PERSPECTIVE L	214,372.79	178.64	0.00	178.64	40.0	94.68

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<u> OVERRIDE TRANSACTIONS </u>								
BROKER: JACK, M137 MICHALETZ #287								
02/28/2018	ENGELBRECHT, ALICE	JNL PERSPECTIVE L	248,853.14	207.38	0.00	207.38	40.0	109.91
02/28/2018	UTZKA, LANA	JNL PERSPECTIVE L	8,576.26	7.15	0.00	7.15	40.0	3.79
02/28/2018	KRONBACK, MAYDELLE	JNL PERSPECTIVE L	185,328.37	154.44	0.00	154.44	40.0	81.85
02/28/2018	ESLINGER, DOUGLAS	JNL PERSPECTIVE L	47,237.01	39.36	0.00	39.36	40.0	20.86
02/28/2018	DAHLKE, RONALD	JNL PERSPECTIVE L	9,595.82	8.00	0.00	8.00	40.0	4.24
02/28/2018	HOL, PATRICIA	JNL PERSPECTIVE L	133,773.72	111.48	0.00	111.48	40.0	59.08
02/28/2018	HAGER, REBECCA	JNL PERSPECTIVE L	67,091.00	55.91	0.00	55.91	40.0	29.63
02/28/2018	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	37,868.59	31.56	0.00	31.56	40.0	16.73
02/28/2018	OLSON, BRENDA	JNL PERSPECTIVE L	57,275.47	47.73	0.00	47.73	40.0	25.30
02/28/2018	OLSON, BRYCE	JNL PERSPECTIVE L	463,963.51	386.64	0.00	386.64	40.0	204.92
02/28/2018	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	271,673.99	226.39	0.00	226.39	40.0	119.99
02/28/2018	GOFF, WESLEY	JNL PERSPECTIVE L	35,068.59	29.22	0.00	29.22	40.0	15.49
02/28/2018	KRAFT, JUDITH	JNL PERSPECTIVE L	167,983.44	139.99	0.00	139.99	40.0	74.19
02/28/2018	KRAFT, JUDITH	JNL PERSPECTIVE L	107,657.19	89.71	0.00	89.71	40.0	47.55
02/28/2018	SIEBERG, JOSEPH	JNL PERSPECTIVE L	72,758.58	60.63	0.00	60.63	40.0	32.13
02/28/2018	DORN, SANDRA	JNL PERSPECTIVE L	529,416.86	441.18	0.00	441.18	40.0	233.83
02/28/2018	HOWELL, NICOLE	JNL PERSPECTIVE L	214,347.40	178.62	0.00	178.62	40.0	94.67
02/28/2018	STIERLEN, ROGER	JNL PERSPECTIVE L	90,848.51	75.71	0.00	75.71	40.0	40.13
02/28/2018	MARSH, JAMES	JNL PERSPECTIVE L	40,953.42	34.13	0.00	34.13	40.0	18.09
02/28/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	155,472.71	129.56	0.00	129.56	40.0	68.67
02/28/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	34,323.00	28.60	0.00	28.60	40.0	15.16
02/28/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	65,297.39	54.41	0.00	54.41	40.0	28.84
02/28/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	38,046.62	31.71	0.00	31.71	40.0	16.81
02/28/2018	FEAR, WILLIAM	JNL PERSPECTIVE L	145,555.80	121.30	0.00	121.30	40.0	64.29
02/28/2018	PEMBLE, MICHELLE	JNL PERSPECTIVE L	317,019.03	264.18	0.00	264.18	40.0	140.02
02/28/2018	HART, JILLANE	JNL PERSPECTIVE L	102,305.84	85.26	0.00	85.26	40.0	45.19
02/28/2018	HART, KEVIN	JNL PERSPECTIVE L	302,273.50	251.90	0.00	251.90	40.0	133.51
02/28/2018	STEFFL, CHRISTINE	JNL PERSPECTIVE L	25,027.96	20.86	0.00	20.86	40.0	11.06
02/28/2018	BEVAN, HELEN	JNL PERSPECTIVE L	39,088.19	32.57	0.00	32.57	40.0	17.26
02/28/2018	SOUKUP, LAVERN	JNL PERSPECTIVE L	263,427.44	219.52	0.00	219.52	40.0	116.35
02/16/2018	GROMMERSCH, STACI	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	0.05	0.00	0.05	40.0	0.03
02/28/2018	MULFORD, PATRICIA	JOHN HANCOCK VANTAGE	257,236.67	214.36	0.00	214.36	40.0	113.61
03/04/2018	LORENTZ, ANDREW	METLIFE LIFE INS	200.00	1.00	0.00	1.00	40.0	0.53

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: JACK, M137 MICHALETZ #287</u>								
02/13/2018	PAHL, ADAM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	18.57	0.00	18.57	40.0	9.84
02/13/2018	SWARD, KIM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	40.98	0.00	40.98	40.0	21.72
02/13/2018	SORUM, JEFFREY	MFS GROWTH ALLOCATION C	0.00	4.79	0.00	4.79	40.0	2.54
02/13/2018	WHITE, STACEY	MFS GROWTH ALLOCATION C	0.00	12.81	0.00	12.81	40.0	6.79
03/02/2018	URBAN, CURTIS	MFS RESEARCH B	2,500.00	100.00	0.00	100.00	40.0	53.00
02/22/2018	SORENSEN, JOSHUA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.44	0.00	0.44	40.0	0.23
02/21/2018	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	0.00	2.89	0.00	2.89	40.0	1.53
02/21/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	0.00	0.01	0.00	0.01	40.0	0.01
03/05/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	12.00	-9.72	40.0	-5.15
02/21/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	0.00	0.04	0.00	0.04	40.0	0.02
03/05/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	12.00	-9.73	40.0	-5.16
02/20/2018	PLAN	PUTNAM GROWTH OPP A	5,000.00	250.00	0.00	250.00	40.0	132.50
02/21/2018	ATKINSON, VONDA	PUTNAM INTERNATIONAL GROWTH-A	0.00	11.01	0.00	11.01	40.0	5.84
02/21/2018	SCHULLER, ALEC	PUTNAM MULTI CAP GROWTH A	0.00	0.98	0.00	0.98	40.0	0.52
02/13/2018	ATKINSON, VONDA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	24.11	0.00	24.11	40.0	12.78
02/01/2018	GRONAU, ROLAND	TRANS LIFE LANDMARK 2003 VA	25,185.15	20.99	0.00	20.99	40.0	11.12
02/14/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	0.00	3.14	0.00	3.14	40.0	1.66
02/14/2018	GILBERTO, SARAH	TRANSAMERICA AA GROWTH A	0.00	4.26	0.00	4.26	40.0	2.26
02/14/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH A	0.00	2.63	0.00	2.63	40.0	1.39
02/14/2018	LORENTZ, ROBERT	TRANSAMERICA AA GROWTH A	0.00	5.57	0.00	5.57	40.0	2.95
02/23/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	3,800.00	152.00	22.00	130.00	40.0	68.90
03/01/2018	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	40.0	2.52
03/01/2018	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	40.0	2.52
02/14/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	0.00	5.35	0.00	5.35	40.0	2.84
02/14/2018	SIEBERG, KYLE	TRANSAMERICA AA GROWTH C	0.00	1.27	0.00	1.27	40.0	0.67
02/14/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	19.87	0.00	19.87	40.0	10.53
02/14/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	18.55	0.00	18.55	40.0	9.83
03/01/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	46.27	0.46	0.00	0.46	40.0	0.24
03/01/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	46.27	0.46	0.00	0.46	40.0	0.24
03/01/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	40.0	0.22
03/01/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	40.0	0.22
03/01/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	81.85	0.82	0.00	0.82	40.0	0.43
03/01/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	81.85	0.82	0.00	0.82	40.0	0.43

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<u> BROKER: JACK, M137 MICHALETZ #287 </u>								
03/01/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	56.93	0.57	0.00	0.57	40.0	0.30
03/01/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	56.93	0.57	0.00	0.57	40.0	0.30
03/02/2018	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.66	0.00	2.66	40.0	1.41
03/02/2018	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	5.46	0.00	5.46	40.0	2.89
03/02/2018	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	37.47	0.00	37.47	40.0	19.86
03/02/2018	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	37.47	0.00	37.47	40.0	19.86
03/02/2018	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.28	0.00	5.28	40.0	2.80
03/02/2018	FITTERER, PAMELA	TRANSAMERICA AA GROWTH C	0.00	16.24	0.00	16.24	40.0	8.61
03/02/2018	DORN, SANDRA	TRANSAMERICA AA GROWTH C	0.00	5.50	0.00	5.50	40.0	2.92
03/02/2018	FITTERER, EMILY	TRANSAMERICA AA GROWTH C	0.00	1.70	0.00	1.70	40.0	0.90
03/02/2018	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	15.62	0.00	15.62	40.0	8.28
03/02/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	48.69	0.00	48.69	40.0	25.81
03/02/2018	FITTERER, SAMUEL	TRANSAMERICA AA GROWTH C	0.00	1.47	0.00	1.47	40.0	0.78
03/02/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	42.14	0.00	42.14	40.0	22.33
03/02/2018	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	6.57	0.00	6.57	40.0	3.48
03/02/2018	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	7.50	0.00	7.50	40.0	3.98
03/02/2018	MCRAE, HEATHER	TRANSAMERICA AA GROWTH C	0.00	1.63	0.00	1.63	40.0	0.86
03/02/2018	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.50	0.00	2.50	40.0	1.33
03/02/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	17.95	0.00	17.95	40.0	9.51
03/02/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	0.00	17.24	0.00	17.24	40.0	9.14
03/02/2018	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	10.96	0.00	10.96	40.0	5.81
03/02/2018	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.06	0.00	2.06	40.0	1.09
03/02/2018	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.08	0.00	2.08	40.0	1.10
03/02/2018	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.34	0.00	1.34	40.0	0.71
03/02/2018	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.44	0.00	3.44	40.0	1.82
03/02/2018	MICHALETZ, ADISYN	TRANSAMERICA AA GROWTH C	0.00	1.06	0.00	1.06	40.0	0.56
03/02/2018	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.04	0.00	1.04	40.0	0.55
03/02/2018	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.43	0.00	5.43	40.0	2.88
03/02/2018	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	19.90	0.00	19.90	40.0	10.55
03/02/2018	ROY TOD, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	9.02	0.00	9.02	40.0	4.78
03/02/2018	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	4.95	0.00	4.95	40.0	2.62
03/02/2018	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	3.65	0.00	3.65	40.0	1.93
03/02/2018	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	7.64	0.00	7.64	40.0	4.05

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03/02/2018	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	2.01	0.00	2.01	40.0	1.07
03/02/2018	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.01	0.00	8.01	40.0	4.25
03/02/2018	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	12.54	0.00	12.54	40.0	6.65
03/02/2018	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	14.89	0.00	14.89	40.0	7.89
03/02/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	0.00	0.94	0.00	0.94	40.0	0.50
03/02/2018	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.50	0.00	4.50	40.0	2.39
03/02/2018	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.75	0.00	1.75	40.0	0.93
03/02/2018	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.64	0.00	2.64	40.0	1.40
03/02/2018	BOESCH, SKYE	TRANSAMERICA AA GROWTH C	0.00	1.68	0.00	1.68	40.0	0.89
03/02/2018	FLYGARE, MATTHEW	TRANSAMERICA AA GROWTH C	0.00	2.86	0.00	2.86	40.0	1.52
03/02/2018	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.63	0.00	0.63	40.0	0.33
03/02/2018	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.09	0.00	4.09	40.0	2.17
03/02/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	0.00	0.23	0.00	0.23	40.0	0.12
03/02/2018	MICHALETZ, WHITNEY	TRANSAMERICA AA GROWTH C	0.00	0.82	0.00	0.82	40.0	0.43
03/02/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH C	0.00	9.07	0.00	9.07	40.0	4.81
03/02/2018	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH C	0.00	15.37	0.00	15.37	40.0	8.15
03/05/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	12.00	-9.00	40.0	-4.77
02/14/2018	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	0.00	33.70	0.00	33.70	40.0	17.86
03/02/2018	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	0.00	6.97	0.00	6.97	40.0	3.69
03/02/2018	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.28	0.00	3.28	40.0	1.74
03/02/2018	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	4.72	0.00	4.72	40.0	2.50
03/02/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	46.63	0.00	46.63	40.0	24.71
03/02/2018	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	0.00	7.28	0.00	7.28	40.0	3.86
03/02/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	6.87	0.00	6.87	40.0	3.64
03/02/2018	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.20	0.00	1.20	40.0	0.64
03/02/2018	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.19	0.00	2.19	40.0	1.16
03/02/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	9.93	0.00	9.93	40.0	5.26
03/02/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	6.78	0.00	6.78	40.0	3.59
03/02/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	3.87	0.00	3.87	40.0	2.05
03/02/2018	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	0.03	0.00	0.03	40.0	0.02
02/14/2018	STEFFL, CHRISTINE	TRANSAMERICA CAPITAL GROWTH A	0.00	0.74	0.00	0.74	40.0	0.39
02/14/2018	STEFFL, JOSEPH	TRANSAMERICA CAPITAL GROWTH A	0.00	0.69	0.00	0.69	40.0	0.37
02/01/2018	STEVENS, DOUGLAS	TRANSAMERICA CLASSIC	13,373.21	2.79	0.00	2.79	40.0	1.48

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK, M137 MICHALETZ #287 </u>								
02/24/2018	KIRCHNER, JACOB	TRANSAMERICA CLASSIC	19,720.35	4.11	0.00	4.11	40.0	2.18
02/14/2018	STEFFL, CHRISTINE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.49	0.00	0.49	40.0	0.26
02/14/2018	STEFFL, JOSEPH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.44	0.00	0.44	40.0	0.23
02/14/2018	SCHULLER, CORY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.63	0.00	1.63	40.0	0.86
02/08/2018	KIRCHNER, DUANE	TRANSAMERICA EXTRA	45,239.67	37.70	0.00	37.70	40.0	19.98
02/09/2018	DRESSEN, KATHRYN	TRANSAMERICA EXTRA	340,968.44	284.14	0.00	284.14	40.0	150.59
02/20/2018	GEWERTH, CHERYL	TRANSAMERICA EXTRA	20,702.26	17.25	0.00	17.25	40.0	9.14
02/21/2018	KRONBACK, EUGENE	TRANSAMERICA EXTRA	61,520.82	51.27	0.00	51.27	40.0	27.17
02/28/2018	BULLER, CAROL	TRANSAMERICA EXTRA	14,087.03	11.74	0.00	11.74	40.0	6.22
02/28/2018	KIRCHNER, JANET	TRANSAMERICA EXTRA	33,361.11	27.80	0.00	27.80	40.0	14.73
02/01/2018	IRELAND, DANIEL	TRANSAMERICA LANDMARK	112,072.24	93.39	0.00	93.39	40.0	49.50
02/16/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	19,540.80	16.28	0.00	16.28	40.0	8.63
02/16/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	20,079.74	16.73	0.00	16.73	40.0	8.87
02/25/2018	FITTERER, DELORIS	TRANSAMERICA LANDMARK	55,098.28	45.92	0.00	45.92	40.0	24.34
02/26/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	40.0	1.33
02/26/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	40.0	1.33
02/28/2018	ATKINSON, VONDA	TRANSAMERICA LANDMARK 89352F772	57,890.76	48.24	0.00	48.24	40.0	25.57
02/08/2018	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	88,005.35	73.34	0.00	73.34	40.0	38.87
02/13/2018	BECKWITH, ALTON	TRANSAMERICA LIBERTY	89,991.25	74.99	0.00	74.99	40.0	39.74
01/05/2018	ENZ, JAMES	TRANSAMERICA LIFE	0.00	15.66	0.00	15.66	40.0	8.30
01/05/2018	GRONAU, ROLAND	TRANSAMERICA LIFE	0.00	65.10	0.00	65.10	40.0	34.50
01/05/2018	BARNARD, JOSHUA	TRANSAMERICA LIFE	0.00	1.80	0.00	1.80	40.0	0.95
01/05/2018	HILL, JESSEN	TRANSAMERICA LIFE	0.00	1.59	0.00	1.59	40.0	0.84
* JACK, M137 MICHALETZ SUBTOTAL *			\$8,686,828.47	\$8,215.48	\$58.00	\$8,157.48		\$4,323.49
<u> BROKER: JANE MICHALETZ #347 </u>								
02/28/2018	BURNHAM, MOLLY	JNL PERSPECTIVE II 05/05	77,660.50	32.36	0.00	32.36	0.0	30.09
* JANE MICHALETZ SUBTOTAL *			\$77,660.50	\$32.36	\$0.00	\$32.36		\$30.09
*** OVERRIDE TRANSACTIONS TOTAL ***			\$8,764,488.97	\$8,247.84	\$58.00	\$8,189.84		\$4,353.58

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
03/15/2018		*AI INSIGHT: CURRENT MONTH - JOE	0.00					-7.00
03/15/2018		*ALBRIDGE: LIGHT USER - JOE	0.00					-76.00
03/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					1,440.60
03/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
03/15/2018		*GLOBAL RELAY: WEBSITE - JOE	0.00					-15.00
03/15/2018		*LASER APP: LASER APP - JOE	0.00					-18.00
03/15/2018		*OVERRIDE: OVERRIDE ON SPLIT	0.00					4.48
03/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-3.10
03/15/2018		*PERSHING: MANDITORY REORG - ALBERT	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - ANDERS	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - BEATY	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - FITZSI	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - FITZSI	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - FREDER	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - KELLOG	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - KENDAL	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - KOERWI	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - ROTSKO	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - ROTSKO	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - ROTSKO	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - WEIBLE	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - WEIBLE	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - WETZEL	0.00					-10.00
03/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.50
03/15/2018		*RBC: KOCH RBC WEALTH STATION	0.00					-25.00
03/15/2018		*TECHNOLOGY: ADMIN - BRIDGET	0.00					-130.00
03/15/2018		*TECHNOLOGY: ADMIN - CHRISTINE	0.00					-135.00
03/15/2018		*TECHNOLOGY: ADMIN - JANE	0.00					-135.00
03/15/2018		*TECHNOLOGY: ADMIN - KIMBERLY	0.00					-130.00
03/15/2018		*TECHNOLOGY: ADMIN - NICHOLE	0.00					-135.00
03/15/2018		*TECHNOLOGY: BASIC PACKAGE - JOE	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$4.48

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BROKER COMMISSION TOTALS

Broker Transactions	\$203,263.51
Split Transactions	\$3.90
Override Transactions	\$4,353.58
Adjustments	\$4.48
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$207,625.47
Year-to-date Gross	\$1,672,206.47
Year-to-date Earnings	\$1,588,671.01