

## COMMISSION STATEMENT

November 30, 2017

CHARLES LAWRENCE  
10729 NORTHRIDGE COURT

BROKER #: 13  
BRANCH: HOME OFFICE

TRINITY, FL 34655

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/31/2017	75501981	LAWRENCE, VICTORIA	DYNEGY INC	S	15,278.25	0.00	0.00	0.00	60.0	0.00
11/01/2017	75501981	LAWRENCE, VICTORIA	BROADCOM LIMITED SHS	B	25,938.60	0.00	0.00	0.00	60.0	0.00
11/01/2017	75501981	LAWRENCE, VICTORIA	GOLDMAN SACHS GROUP INC	S	24,582.15	0.00	0.00	0.00	60.0	0.00
11/02/2017	75501981	LAWRENCE, VICTORIA	PROSHARES TRUST ULTRAPRO NASDAQ BIO S	S	17,265.49	0.00	0.00	0.00	60.0	0.00
11/03/2017	75501981	LAWRENCE, VICTORIA	BROADCOM LIMITED SHS	S	27,400.00	0.00	0.00	0.00	60.0	0.00
11/07/2017	75501981	LAWRENCE, VICTORIA	HERTZ GLOBAL HLDGS INC NEW COM	B	15,479.80	0.00	0.00	0.00	60.0	0.00
11/08/2017	75501981	LAWRENCE, VICTORIA	NETEASE INC SPONSORED ADR	S	31,800.00	0.00	0.00	0.00	60.0	0.00
11/08/2017	75501981	LAWRENCE, VICTORIA	PAYPAL HOLDINGS INC COM	B	18,406.60	0.00	0.00	0.00	60.0	0.00
11/09/2017	75501981	LAWRENCE, VICTORIA	GARTNER INC	B	23,320.00	0.00	0.00	0.00	60.0	0.00
11/10/2017	75501981	LAWRENCE, VICTORIA	HERTZ GLOBAL HLDGS INC NEW COM	S	16,065.00	0.00	0.00	0.00	60.0	0.00
11/14/2017	75501981	LAWRENCE, VICTORIA	GENERAL ELECTRIC CO	B	11,070.00	0.00	0.00	0.00	60.0	0.00
11/15/2017	75501981	LAWRENCE, VICTORIA	NXP SEMICONDUCTORS N V	S	28,774.20	0.00	0.00	0.00	60.0	0.00
11/20/2017	75501981	LAWRENCE, VICTORIA	TEVA PHARMACEUTICAL INDUSTRIES LTD	B	6,083.66	0.00	0.00	0.00	60.0	0.00
11/21/2017	75501981	LAWRENCE, VICTORIA	GW PHARMACEUTICALS PLC ADS	S	15,500.00	0.00	0.00	0.00	60.0	0.00
11/22/2017	75501981	LAWRENCE, VICTORIA	PAYPAL HOLDINGS INC COM	B	19,203.30	0.00	0.00	0.00	60.0	0.00
11/27/2017	75501981	LAWRENCE, VICTORIA	SHOPIFY INC CL A ISIN#CA82509L1076	B	19,990.60	0.00	0.00	0.00	60.0	0.00
11/27/2017	75501981	LAWRENCE, VICTORIA	SQUARE INC CLASS A COM	B	15,604.58	0.00	0.00	0.00	60.0	0.00
11/14/2017	75501985	LAWRENCE, CHRISTOPHER	AK STEEL HOLDING CORP	S	2,282.50	0.00	0.00	0.00	60.0	0.00
11/14/2017	75501985	LAWRENCE, CHRISTOPHER	DIREXION SHS ETF TR DAILY FINL BULL B	B	2,973.50	0.00	0.00	0.00	60.0	0.00
10/31/2017	75501986	LAWRENCE, CHRISTOPHER	DYNEGY INC	S	6,110.05	0.00	0.00	0.00	60.0	0.00
11/07/2017	75501986	LAWRENCE, CHRISTOPHER	HERTZ GLOBAL HLDGS INC NEW COM	B	4,966.47	0.00	0.00	0.00	60.0	0.00
11/10/2017	75501986	LAWRENCE, CHRISTOPHER	HERTZ GLOBAL HLDGS INC NEW COM	S	5,163.75	0.00	0.00	0.00	60.0	0.00
11/15/2017	75501986	LAWRENCE, CHRISTOPHER	PAYPAL HOLDINGS INC COM	B	7,325.32	0.00	0.00	0.00	60.0	0.00
11/27/2017	75501986	LAWRENCE, CHRISTOPHER	SQUARE INC CLASS A COM	B	2,024.50	0.00	0.00	0.00	60.0	0.00
10/30/2017	75501987	SCHWARTZ, MARILYN	MICROSOFT CORP	B	20,916.25	55.00	0.00	55.00	60.0	33.00
11/06/2017	75501987	SCHWARTZ, MARILYN	NETEASE INC SPONSORED ADR	S	10,605.09	55.00	0.00	55.00	60.0	33.00
11/07/2017	75501987	SCHWARTZ, MARILYN	FACEBOOK INC CL A	S	13,526.26	55.00	0.00	55.00	60.0	33.00
11/09/2017	75501987	SCHWARTZ, MARILYN	PAYPAL HOLDINGS INC COM	B	16,607.21	55.00	0.00	55.00	60.0	33.00
11/14/2017	75501987	SCHWARTZ, MARILYN	NETEASE INC SPONSORED ADR	S	11,920.00	55.00	0.00	55.00	60.0	33.00
11/15/2017	75501987	SCHWARTZ, MARILYN	BROADCOM LIMITED SHS	S	10,557.70	55.00	0.00	55.00	60.0	33.00
11/24/2017	75501987	SCHWARTZ, MARILYN	GW PHARMACEUTICALS PLC ADS	S	25,643.70	55.00	0.00	55.00	60.0	33.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/02/2017	75501988	LAWRENCE, CHRISTOPHER GARTNER INC	B	9,008.99	0.00	0.00	0.00	60.0	0.00
11/09/2017	75501988	LAWRENCE, CHRISTOPHER DIREXION SHARES ETF TRUST DIREXION	S	6,040.76	0.00	0.00	0.00	60.0	0.00
11/13/2017	75501988	LAWRENCE, CHRISTOPHER COHERUS BIOSCIENCES INC COM	B	3,202.50	0.00	0.00	0.00	60.0	0.00
11/15/2017	75501988	LAWRENCE, CHRISTOPHER NXP SEMICONDUCTORS N V	S	8,631.94	0.00	0.00	0.00	60.0	0.00
11/21/2017	75501988	LAWRENCE, CHRISTOPHER GW PHARMACEUTICALS PLC ADS	S	6,150.13	0.00	0.00	0.00	60.0	0.00
11/21/2017	75501988	LAWRENCE, CHRISTOPHER NINTENDO CO LTD-ADR NEW	B	4,979.90	0.00	0.00	0.00	60.0	0.00
11/27/2017	75501988	LAWRENCE, CHRISTOPHER SHOPIFY INC CL A ISIN#CA82509L1076	B	8,589.56	0.00	0.00	0.00	60.0	0.00
11/27/2017	75501988	LAWRENCE, CHRISTOPHER SQUARE INC CLASS A COM	B	4,248.32	0.00	0.00	0.00	60.0	0.00
10/31/2017	75501989	BINES, BURTON GOLDMAN SACHS GROUP INC	S	48,386.62	0.00	0.00	0.00	60.0	0.00
11/02/2017	75501989	BINES, BURTON BROADCOM LIMITED SHS	B	12,635.38	0.00	0.00	0.00	60.0	0.00
11/06/2017	75501989	BINES, BURTON NETEASE INC SPONSORED ADR	S	37,853.00	0.00	0.00	0.00	60.0	0.00
11/09/2017	75501989	BINES, BURTON PAYPAL HOLDINGS INC COM	B	29,538.64	0.00	0.00	0.00	60.0	0.00
11/14/2017	75501989	BINES, BURTON MARATHON OIL CORP	B	14,987.00	0.00	0.00	0.00	60.0	0.00
11/14/2017	75501989	BINES, BURTON NETEASE INC SPONSORED ADR	S	37,250.00	0.00	0.00	0.00	60.0	0.00
11/15/2017	75501989	BINES, BURTON BROADCOM LIMITED SHS	S	26,385.74	0.00	0.00	0.00	60.0	0.00
11/16/2017	75501989	BINES, BURTON NXP SEMICONDUCTORS N V	S	51,946.74	0.00	0.00	0.00	60.0	0.00
11/20/2017	75501989	BINES, BURTON PAYPAL HOLDINGS INC COM	B	26,686.31	0.00	0.00	0.00	60.0	0.00
11/24/2017	75501989	BINES, BURTON GW PHARMACEUTICALS PLC ADS	S	25,620.32	0.00	0.00	0.00	60.0	0.00
11/02/2017	75501990	LAWRENCE, JEFFREY GARTNER INC	B	4,823.60	0.00	0.00	0.00	60.0	0.00
11/02/2017	75501990	LAWRENCE, JEFFREY NXP SEMICONDUCTORS N V	S	5,847.13	0.00	0.00	0.00	60.0	0.00
11/09/2017	75501990	LAWRENCE, JEFFREY DIREXION SHARES ETF TRUST DIREXION	S	3,018.77	0.00	0.00	0.00	60.0	0.00
11/13/2017	75501990	LAWRENCE, JEFFREY COHERUS BIOSCIENCES INC COM	B	1,372.50	0.00	0.00	0.00	60.0	0.00
11/27/2017	75501990	LAWRENCE, JEFFREY SHOPIFY INC CL A ISIN#CA82509L1076	B	3,999.71	0.00	0.00	0.00	60.0	0.00
11/03/2017	75501991	NSH 81 LLC NINTENDO CO LTD-ADR NEW	B	11,800.00	0.00	0.00	0.00	60.0	0.00
11/14/2017	75501991	NSH 81 LLC BROADCOM LIMITED SHS	S	15,778.35	0.00	0.00	0.00	60.0	0.00
11/20/2017	75501991	NSH 81 LLC BTCS INC COM NEW	B	279.60	0.00	0.00	0.00	60.0	0.00
11/20/2017	75501991	NSH 81 LLC HIVE BLOCKCHAIN TECHNOLOGIS LTD COM	B	575.82	0.00	0.00	0.00	60.0	0.00
11/16/2017	75501992	THE BARBARA & NEAL LENNAR CORP CL A	B	11,612.00	0.00	0.00	0.00	60.0	0.00
11/16/2017	75501992	THE BARBARA & NEAL VERTEX PHARMACEUTICALS INC	S	11,173.69	0.00	0.00	0.00	60.0	0.00
11/15/2017	75501998	LAWRENCE, CHARLES AK STEEL HOLDING CORP	S	4,254.12	51.00	0.00	51.00	60.0	30.60
11/17/2017	75501998	LAWRENCE, CHARLES FAIRMOUNT SANTROL HLDGS INC COM	B	6,123.60	55.00	0.00	55.00	60.0	33.00
10/30/2017	75501999	LAWRENCE, CHARLES HERTZ GLOBAL HLDGS INC NEW COM	S	6,971.25	55.00	0.00	55.00	60.0	33.00
10/31/2017	75501999	LAWRENCE, CHARLES AK STEEL HOLDING CORP	S	2,542.90	51.00	0.00	51.00	60.0	30.60
10/31/2017	75501999	LAWRENCE, CHARLES GLU MOBILE INC	B	4,127.21	55.00	0.00	55.00	60.0	33.00
11/02/2017	75501999	LAWRENCE, CHARLES HERTZ GLOBAL HLDGS INC NEW COM	B	6,736.74	75.00	0.00	75.00	60.0	45.00
11/02/2017	75501999	LAWRENCE, CHARLES JUNO THERAPEUTICS INC COM	S	6,053.64	55.00	0.00	55.00	60.0	33.00
11/02/2017	75501999	LAWRENCE, CHARLES UNIVERSAL DISPLAY CORPORATION	B	8,682.00	75.00	0.00	75.00	60.0	45.00
11/03/2017	75501999	LAWRENCE, CHARLES BLUEBIRD BIO INC COM	S	7,940.00	55.00	0.00	55.00	60.0	33.00
11/03/2017	75501999	LAWRENCE, CHARLES QUALCOMM INC	B	9,242.62	100.00	0.00	100.00	60.0	60.00
11/03/2017	75501999	LAWRENCE, CHARLES SHOPIFY INC CL A ISIN#CA82509L1076	B	7,485.00	75.00	0.00	75.00	60.0	45.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/03/2017	75501999 LAWRENCE, CHARLES	UNIVERSAL DISPLAY CORPORATION	S	9,258.00	55.00	0.00	55.00	60.0	33.00
11/06/2017	75501999 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	9,160.50	100.00	0.00	100.00	60.0	60.00
11/08/2017	75501999 LAWRENCE, CHARLES	QUALCOMM INC	S	10,448.00	55.00	0.00	55.00	60.0	33.00
11/10/2017	75501999 LAWRENCE, CHARLES	FAIRMOUNT SANTROL HLDGS INC COM	B	5,533.20	75.00	0.00	75.00	60.0	45.00
11/14/2017	75501999 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	9,367.50	55.00	0.00	55.00	60.0	33.00
11/15/2017	75501999 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	9,216.00	75.00	0.00	75.00	60.0	45.00
11/15/2017	75501999 LAWRENCE, CHARLES	HERTZ GLOBAL HLDGS INC NEW COM	S	4,875.75	51.00	0.00	51.00	60.0	30.60
11/16/2017	75501999 LAWRENCE, CHARLES	NEKTAR THERAPEUTICS COM	B	6,856.00	75.00	0.00	75.00	60.0	45.00
11/16/2017	75501999 LAWRENCE, CHARLES	SHOPIFY INC CL A ISIN#CA82509L1076	S	5,215.00	55.00	0.00	55.00	60.0	33.00
11/20/2017	75501999 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	8,261.25	55.00	0.00	55.00	60.0	33.00
11/20/2017	75501999 LAWRENCE, CHARLES	GLU MOBILE INC	S	4,398.10	51.00	0.00	51.00	60.0	30.60
11/20/2017	75501999 LAWRENCE, CHARLES	JUNO THERAPEUTICS INC COM	S	2,980.00	51.00	0.00	51.00	60.0	30.60
11/21/2017	75501999 LAWRENCE, CHARLES	JUNO THERAPEUTICS INC COM	B	15,671.00	150.00	0.00	150.00	60.0	90.00
11/21/2017	75501999 LAWRENCE, CHARLES	NEKTAR THERAPEUTICS COM	S	7,580.45	55.00	0.00	55.00	60.0	33.00
11/22/2017	75501999 LAWRENCE, CHARLES	FAIRMOUNT SANTROL HLDGS INC COM	S	6,032.12	55.00	0.00	55.00	60.0	33.00
11/22/2017	75501999 LAWRENCE, CHARLES	SQUARE INC CLASS A COM	B	10,206.00	100.00	0.00	100.00	60.0	60.00
11/24/2017	75501999 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	6,744.00	55.00	0.00	55.00	60.0	33.00
11/24/2017	75501999 LAWRENCE, CHARLES	FAIRMOUNT SANTROL HLDGS INC COM	B	5,459.00	55.00	0.00	55.00	60.0	33.00
11/24/2017	75501999 LAWRENCE, CHARLES	GLU MOBILE INC	B	5,443.20	55.00	0.00	55.00	60.0	33.00
11/24/2017	75501999 LAWRENCE, CHARLES	SHOPIFY INC CL A ISIN#CA82509L1076	S	8,385.00	55.00	0.00	55.00	60.0	33.00
11/28/2017	75501999 LAWRENCE, CHARLES	JUNO THERAPEUTICS INC COM	B	9,127.70	100.00	0.00	100.00	60.0	60.00
11/28/2017	75501999 LAWRENCE, CHARLES	SNAP INC CLASS A COMMON STOCK	S	4,352.00	51.00	0.00	51.00	60.0	30.60
10/30/2017	75502000 LAWRENCE, WILLIAM	JUNO THERAPEUTICS INC COM	S	8,797.00	55.00	0.00	55.00	60.0	33.00
10/31/2017	75502000 LAWRENCE, WILLIAM	CONTINENTAL RESOURCES INC	S	11,190.38	55.00	0.00	55.00	60.0	33.00
11/06/2017	75502000 LAWRENCE, WILLIAM	ADVANCED MICRO DEVICES INC	B	12,673.47	125.00	0.00	125.00	60.0	75.00
11/17/2017	75502000 LAWRENCE, WILLIAM	ADVANCED MICRO DEVICES INC	B	6,477.44	75.00	0.00	75.00	60.0	45.00
11/21/2017	75502000 LAWRENCE, WILLIAM	NINTENDO CO LTD-ADR NEW	B	4,980.00	55.00	0.00	55.00	60.0	33.00
11/27/2017	75502000 LAWRENCE, WILLIAM	FAIRMOUNT SANTROL HLDGS INC COM	S	5,315.90	55.00	0.00	55.00	60.0	33.00
11/27/2017	75502000 LAWRENCE, WILLIAM	NINTENDO CO LTD-ADR NEW	S	5,371.00	55.00	0.00	55.00	60.0	33.00
11/27/2017	75502000 LAWRENCE, WILLIAM	SQUARE INC CLASS A COM	B	5,690.51	75.00	0.00	75.00	60.0	45.00
11/27/2017	75502000 LAWRENCE, WILLIAM	SQUARE INC CLASS A COM	B	5,427.30	75.00	0.00	75.00	60.0	45.00
10/30/2017	75502001 LAWRENCE, CHARLES	HERTZ GLOBAL HLDGS INC NEW COM	S	9,712.50	55.00	0.00	55.00	60.0	33.00
10/30/2017	75502001 LAWRENCE, CHARLES	SHOPIFY INC CL A ISIN#CA82509L1076	B	10,545.62	105.00	0.00	105.00	60.0	63.00
10/30/2017	75502001 LAWRENCE, CHARLES	SNAP INC CLASS A COMMON STOCK	B	8,779.12	75.00	0.00	75.00	60.0	45.00
10/31/2017	75502001 LAWRENCE, CHARLES	HERTZ GLOBAL HLDGS INC NEW COM	B	9,259.95	100.00	0.00	100.00	60.0	60.00
11/01/2017	75502001 LAWRENCE, CHARLES	DYNEGY INC	S	5,750.00	55.00	0.00	55.00	60.0	33.00
11/01/2017	75502001 LAWRENCE, CHARLES	GLU MOBILE INC	B	4,447.05	55.00	0.00	55.00	60.0	33.00
11/01/2017	75502001 LAWRENCE, CHARLES	UNIVERSAL DISPLAY CORPORATION	B	16,022.00	150.00	0.00	150.00	60.0	90.00
11/02/2017	75502001 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	14,602.50	75.00	0.00	75.00	60.0	45.00
11/02/2017	75502001 LAWRENCE, CHARLES	PIONEER NATURAL RESOURCES COMPANY	B	16,588.00	150.00	0.00	150.00	60.0	90.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
11/02/2017	75502001	LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	S	16,416.98	55.00	0.00	55.00	60.0	33.00
11/02/2017	75502001	LAWRENCE, CHARLES	UNIVERSAL DISPLAY CORPORATION	B	8,643.00	55.00	0.00	55.00	60.0	33.00
11/03/2017	75502001	LAWRENCE, CHARLES	SHOPIFY INC CL A ISIN#CA82509L1076	B	12,537.40	125.00	0.00	125.00	60.0	75.00
11/03/2017	75502001	LAWRENCE, CHARLES	TEVA PHARMACEUTICAL INDUSTRIES LIM	S	10,133.38	51.00	0.00	51.00	60.0	30.60
11/06/2017	75502001	LAWRENCE, CHARLES	APHRIA INC COM ISIN#CA03765K1049	S	6,868.80	55.00	0.00	55.00	60.0	33.00
11/06/2017	75502001	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	10,965.00	75.00	0.00	75.00	60.0	45.00
11/06/2017	75502001	LAWRENCE, CHARLES	JUNO THERAPEUTICS INC COM	B	14,978.08	150.00	0.00	150.00	60.0	90.00
11/06/2017	75502001	LAWRENCE, CHARLES	UNIVERSAL DISPLAY CORPORATION	S	17,583.50	100.00	0.00	100.00	60.0	60.00
11/07/2017	75502001	LAWRENCE, CHARLES	UNIVERSAL DISPLAY CORPORATION	S	10,008.00	105.00	0.00	105.00	60.0	63.00
11/08/2017	75502001	LAWRENCE, CHARLES	PIONEER NATURAL RESOURCES COMPANY	S	17,534.55	55.00	0.00	55.00	60.0	33.00
11/09/2017	75502001	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	11,460.00	75.00	0.00	75.00	60.0	45.00
11/09/2017	75502001	LAWRENCE, CHARLES	MARATHON OIL CORP	B	16,649.42	150.00	0.00	150.00	60.0	90.00
11/13/2017	75502001	LAWRENCE, CHARLES	GLU MOBILE INC	S	4,577.00	55.00	0.00	55.00	60.0	33.00
11/14/2017	75502001	LAWRENCE, CHARLES	AKEBIA THERAPEUTICS INC COM	B	8,177.85	100.00	0.00	100.00	60.0	60.00
11/14/2017	75502001	LAWRENCE, CHARLES	PIONEER NATURAL RESOURCES COMPANY	B	16,876.53	150.00	0.00	150.00	60.0	90.00
11/15/2017	75502001	LAWRENCE, CHARLES	NEKTAR THERAPEUTICS COM	B	10,230.01	100.00	0.00	100.00	60.0	60.00
11/17/2017	75502001	LAWRENCE, CHARLES	AKEBIA THERAPEUTICS INC COM	S	8,545.60	55.00	0.00	55.00	60.0	33.00
11/17/2017	75502001	LAWRENCE, CHARLES	NEKTAR THERAPEUTICS COM	S	12,168.00	75.00	0.00	75.00	60.0	45.00
11/20/2017	75502001	LAWRENCE, CHARLES	PROSHARES TRUST ULTRAPRO NASDAQ BIO	B	10,854.00	100.00	0.00	100.00	60.0	60.00
11/20/2017	75502001	LAWRENCE, CHARLES	SHOPIFY INC CL A ISIN#CA82509L1076	S	10,860.00	100.00	0.00	100.00	60.0	60.00
11/21/2017	75502001	LAWRENCE, CHARLES	JUNO THERAPEUTICS INC COM	B	16,688.00	150.00	0.00	150.00	60.0	90.00
11/22/2017	75502001	LAWRENCE, CHARLES	SQUARE INC CLASS A COM	B	10,186.00	100.00	0.00	100.00	60.0	60.00
11/24/2017	75502001	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	7,596.00	55.00	0.00	55.00	60.0	33.00
11/24/2017	75502001	LAWRENCE, CHARLES	SHOPIFY INC CL A ISIN#CA82509L1076	S	5,615.00	55.00	0.00	55.00	60.0	33.00
11/27/2017	75502001	LAWRENCE, CHARLES	NEKTAR THERAPEUTICS COM	B	13,152.46	150.00	0.00	150.00	60.0	90.00
11/27/2017	75502001	LAWRENCE, CHARLES	PROSHARES TRUST ULTRAPRO NASDAQ BIO	S	11,144.56	55.00	0.00	55.00	60.0	33.00
11/28/2017	75502001	LAWRENCE, CHARLES	SHOPIFY INC CL A ISIN#CA82509L1076	B	8,379.56	75.00	0.00	75.00	60.0	45.00
11/28/2017	75502001	LAWRENCE, CHARLES	SNAP INC CLASS A COMMON STOCK	S	7,616.00	51.00	0.00	51.00	60.0	30.60
10/30/2017	75502002	LAWRENCE, WILLIAM	BLUEBIRD BIO INC COM	B	13,967.50	125.00	0.00	125.00	60.0	75.00
10/30/2017	75502002	LAWRENCE, WILLIAM	CONTINENTAL RESOURCES INC	S	14,560.17	75.00	0.00	75.00	60.0	45.00
10/31/2017	75502002	LAWRENCE, WILLIAM	DYNEGY INC	S	14,021.72	55.00	0.00	55.00	60.0	33.00
10/31/2017	75502002	LAWRENCE, WILLIAM	JUNO THERAPEUTICS INC COM	S	12,116.00	55.00	0.00	55.00	60.0	33.00
11/01/2017	75502002	LAWRENCE, WILLIAM	BLUEBIRD BIO INC COM	S	14,782.50	75.00	0.00	75.00	60.0	45.00
11/02/2017	75502002	LAWRENCE, WILLIAM	DIREXION SHARES ETF TRUST DIREXION	S	10,360.88	55.00	0.00	55.00	60.0	33.00
11/02/2017	75502002	LAWRENCE, WILLIAM	NINTENDO CO LTD-ADR NEW	B	11,740.00	100.00	0.00	100.00	60.0	60.00
11/07/2017	75502002	LAWRENCE, WILLIAM	BLUEBIRD BIO INC COM	B	14,457.50	125.00	0.00	125.00	60.0	75.00
11/09/2017	75502002	LAWRENCE, WILLIAM	AKEBIA THERAPEUTICS INC COM	B	8,532.68	75.00	0.00	75.00	60.0	45.00
11/13/2017	75502002	LAWRENCE, WILLIAM	BLUEBIRD BIO INC COM	S	15,330.00	75.00	0.00	75.00	60.0	45.00
11/13/2017	75502002	LAWRENCE, WILLIAM	JUNO THERAPEUTICS INC COM	B	19,794.46	175.00	0.00	175.00	60.0	105.00
11/16/2017	75502002	LAWRENCE, WILLIAM	AK STEEL HOLDING CORP	S	4,350.00	51.00	0.00	51.00	60.0	30.60

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/16/2017	75502002	LAWRENCE, WILLIAM		MARATHON OIL CORP	B	9,891.96	100.00	0.00	100.00 60.0 60.00
11/27/2017	75502002	LAWRENCE, WILLIAM		SHOPIFY INC CL A ISIN#CA82509L1076	B	8,558.06	75.00	0.00	75.00 60.0 45.00
11/02/2017	75502003	LAWRENCE, SARAH		FAIRMOUNT SANTROL HLDGS INC COM	S	5,289.40	51.00	0.00	51.00 60.0 30.60
11/02/2017	75502003	LAWRENCE, SARAH		GLU MOBILE INC	B	4,683.42	55.00	0.00	55.00 60.0 33.00
11/08/2017	75502003	LAWRENCE, SARAH		GLU MOBILE INC	S	4,942.98	51.00	0.00	51.00 60.0 30.60
11/08/2017	75502003	LAWRENCE, SARAH		MARATHON OIL CORP	B	8,835.12	75.00	0.00	75.00 60.0 45.00
11/13/2017	75502003	LAWRENCE, SARAH		NEKTAR THERAPEUTICS COM	B	4,849.00	55.00	0.00	55.00 60.0 33.00
11/20/2017	75502003	LAWRENCE, SARAH		NEKTAR THERAPEUTICS COM	S	5,989.54	55.00	0.00	55.00 60.0 33.00
11/24/2017	75502003	LAWRENCE, SARAH		JUNO THERAPEUTICS INC COM	B	6,180.00	55.00	0.00	55.00 60.0 33.00
11/01/2017	75502005	SHEMIN, VICKI		JUNO THERAPEUTICS INC COM	S	7,552.05	55.00	0.00	55.00 60.0 33.00
11/15/2017	75502005	SHEMIN, VICKI		BANK OF AMERICA CORP	B	13,199.50	55.00	0.00	55.00 60.0 33.00
11/09/2017	75502006	SHEMIN, VICKI		PAYPAL HOLDINGS INC COM	B	7,285.66	55.00	0.00	55.00 60.0 33.00
11/14/2017	75502006	SHEMIN, VICKI		NETEASE INC SPONSORED ADR	S	8,945.78	55.00	0.00	55.00 60.0 33.00
10/30/2017	75502008	DEC, JOHN		DYNEGY INC	S	12,070.00	0.00	0.00	0.00 60.0 0.00
11/03/2017	75502008	DEC, JOHN		NINTENDO CO LTD-ADR NEW	B	11,787.50	0.00	0.00	0.00 60.0 0.00
11/09/2017	75502008	DEC, JOHN		PAYPAL HOLDINGS INC COM	B	14,605.28	0.00	0.00	0.00 60.0 0.00
11/14/2017	75502008	DEC, JOHN		BROADCOM LIMITED SHS	S	19,725.00	0.00	0.00	0.00 60.0 0.00
11/14/2017	75502008	DEC, JOHN		INTERNATIONAL BUSINESS MACHINES COR B	B	7,390.25	0.00	0.00	0.00 60.0 0.00
11/28/2017	75502008	DEC, JOHN		CONTINENTAL RESOURCES INC	S	9,073.60	0.00	0.00	0.00 60.0 0.00
10/30/2017	75502010	KEETER, LANCE		DYNEGY INC	S	6,065.00	0.00	0.00	0.00 60.0 0.00
10/30/2017	75502010	KEETER, LANCE		WALT DISNEY CO	B	4,904.88	0.00	0.00	0.00 60.0 0.00
10/31/2017	75502010	KEETER, LANCE		ROYAL DUTCH SHELL PLC SPONSORED ADR S	S	4,003.36	0.00	0.00	0.00 60.0 0.00
11/02/2017	75502010	KEETER, LANCE		BROADCOM LIMITED SHS	B	10,189.50	0.00	0.00	0.00 60.0 0.00
11/02/2017	75502010	KEETER, LANCE		VERTEX PHARMACEUTICALS INC	S	7,264.13	0.00	0.00	0.00 60.0 0.00
11/09/2017	75502010	KEETER, LANCE		PAYPAL HOLDINGS INC COM	B	7,275.66	0.00	0.00	0.00 60.0 0.00
11/10/2017	75502010	KEETER, LANCE		MARATHON OIL CORP	B	3,899.15	0.00	0.00	0.00 60.0 0.00
11/14/2017	75502010	KEETER, LANCE		INTERNATIONAL BUSINESS MACHINES COR B	B	7,391.25	0.00	0.00	0.00 60.0 0.00
11/14/2017	75502010	KEETER, LANCE		NXP SEMICONDUCTORS N V	S	6,934.95	0.00	0.00	0.00 60.0 0.00
11/15/2017	75502010	KEETER, LANCE		BROADCOM LIMITED SHS	S	3,954.04	0.00	0.00	0.00 60.0 0.00
11/24/2017	75502010	KEETER, LANCE		CONTINENTAL RESOURCES INC	S	2,336.01	0.00	0.00	0.00 60.0 0.00
11/24/2017	75502010	KEETER, LANCE		GW PHARMACEUTICALS PLC ADS	S	5,147.30	0.00	0.00	0.00 60.0 0.00
11/28/2017	75502010	KEETER, LANCE		REGENERON PHARMACEUTICALS INC	B	7,280.00	0.00	0.00	0.00 60.0 0.00
10/30/2017	75502011	DEC, JANICE		DYNEGY INC	S	6,030.00	0.00	0.00	0.00 60.0 0.00
11/03/2017	75502011	DEC, JANICE		NINTENDO CO LTD-ADR NEW	B	4,714.25	0.00	0.00	0.00 60.0 0.00
11/09/2017	75502011	DEC, JANICE		PAYPAL HOLDINGS INC COM	B	7,298.96	0.00	0.00	0.00 60.0 0.00
11/14/2017	75502011	DEC, JANICE		BROADCOM LIMITED SHS	S	10,520.00	0.00	0.00	0.00 60.0 0.00
11/14/2017	75502011	DEC, JANICE		INTERNATIONAL BUSINESS MACHINES COR B	B	7,391.50	0.00	0.00	0.00 60.0 0.00
11/28/2017	75502011	DEC, JANICE		CONTINENTAL RESOURCES INC	S	4,537.07	0.00	0.00	0.00 60.0 0.00
10/30/2017	75502012	DEC, JOHN		ARCONIC INC COM	S	16,424.63	0.00	0.00	0.00 60.0 0.00
11/02/2017	75502012	DEC, JOHN		BROADCOM LIMITED SHS	B	12,579.88	0.00	0.00	0.00 60.0 0.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/09/2017	75502012 DEC, JOHN	PAYPAL HOLDINGS INC COM	B	21,890.94	0.00	0.00	0.00	60.0	0.00
11/10/2017	75502012 DEC, JOHN	MARATHON OIL CORP	B	15,617.00	0.00	0.00	0.00	60.0	0.00
11/14/2017	75502012 DEC, JOHN	BROADCOM LIMITED SHS	S	13,150.00	0.00	0.00	0.00	60.0	0.00
11/14/2017	75502012 DEC, JOHN	INTERNATIONAL BUSINESS MACHINES COR B	B	22,181.25	0.00	0.00	0.00	60.0	0.00
11/28/2017	75502012 DEC, JOHN	CONTINENTAL RESOURCES INC	S	22,700.00	0.00	0.00	0.00	60.0	0.00
11/28/2017	75502012 DEC, JOHN	FAIRMOUNT SANTROL HLDGS INC COM	S	7,050.00	0.00	0.00	0.00	60.0	0.00
11/02/2017	75502013 DEC, JOHN	ACCENTURE PLC IRELAND SHS CL A	S	3,588.25	0.00	0.00	0.00	60.0	0.00
11/14/2017	75502013 DEC, JOHN	NIKE INC CL B	S	2,800.00	0.00	0.00	0.00	60.0	0.00
11/01/2017	75502021 ARCARO, DANIEL	WALT DISNEY CO	B	1,982.35	0.00	0.00	0.00	60.0	0.00
11/02/2017	75502021 ARCARO, DANIEL	BROADCOM LIMITED SHS	B	6,344.94	0.00	0.00	0.00	60.0	0.00
11/02/2017	75502021 ARCARO, DANIEL	VERTEX PHARMACEUTICALS INC	S	3,629.56	0.00	0.00	0.00	60.0	0.00
11/09/2017	75502021 ARCARO, DANIEL	PAYPAL HOLDINGS INC COM	B	4,361.85	0.00	0.00	0.00	60.0	0.00
11/14/2017	75502021 ARCARO, DANIEL	NXP SEMICONDUCTORS N V	S	5,779.13	0.00	0.00	0.00	60.0	0.00
11/15/2017	75502021 ARCARO, DANIEL	BROADCOM LIMITED SHS	S	2,635.53	0.00	0.00	0.00	60.0	0.00
11/24/2017	75502021 ARCARO, DANIEL	GW PHARMACEUTICALS PLC ADS	S	5,148.10	0.00	0.00	0.00	60.0	0.00
11/03/2017	75502022 APPEL, CHARLES	FIRST TRUST ETF NYSE ARCA BIOTECHNO S	S	8,039.36	55.00	0.00	55.00	60.0	33.00
11/16/2017	75502022 APPEL, CHARLES	NXP SEMICONDUCTORS N V	S	14,461.30	55.00	0.00	55.00	60.0	33.00
11/03/2017	75502023 APPEL, CHARLES	FIRST TRUST ETF NYSE ARCA BIOTECHNO S	S	6,183.63	55.00	0.00	55.00	60.0	33.00
11/07/2017	75502023 APPEL, CHARLES	LENNAR CORP CL A	B	11,298.00	55.00	0.00	55.00	60.0	33.00
11/24/2017	75502023 APPEL, CHARLES	GW PHARMACEUTICALS PLC ADS	S	9,616.69	55.00	0.00	55.00	60.0	33.00
11/14/2017	75502034 ROZECKI, KAYE	NEKTAR THERAPEUTICS COM	B	8,158.50	75.00	0.00	75.00	60.0	45.00
11/28/2017	75502034 ROZECKI, KAYE	NEKTAR THERAPEUTICS COM	S	10,957.21	75.00	0.00	75.00	60.0	45.00
11/01/2017	75502035 ROMANO, LISA	INTERNATIONAL BUSINESS MACHINES COR B	B	2,312.09	0.00	0.00	0.00	60.0	0.00
11/01/2017	75502035 ROMANO, LISA	WALT DISNEY CO	B	1,489.16	0.00	0.00	0.00	60.0	0.00
11/09/2017	75502035 ROMANO, LISA	PAYPAL HOLDINGS INC COM	B	1,455.15	0.00	0.00	0.00	60.0	0.00
11/15/2017	75502035 ROMANO, LISA	BROADCOM LIMITED SHS	S	1,318.46	0.00	0.00	0.00	60.0	0.00
11/28/2017	75502035 ROMANO, LISA	CONTINENTAL RESOURCES INC	S	1,134.00	0.00	0.00	0.00	60.0	0.00
11/01/2017	75502036 ROMANO, MARK	INTERNATIONAL BUSINESS MACHINES COR B	B	3,083.10	0.00	0.00	0.00	60.0	0.00
11/01/2017	75502036 ROMANO, MARK	WALT DISNEY CO	B	2,482.44	0.00	0.00	0.00	60.0	0.00
11/09/2017	75502036 ROMANO, MARK	PAYPAL HOLDINGS INC COM	B	2,551.06	0.00	0.00	0.00	60.0	0.00
11/15/2017	75502036 ROMANO, MARK	BROADCOM LIMITED SHS	S	2,636.23	0.00	0.00	0.00	60.0	0.00
11/28/2017	75502036 ROMANO, MARK	CONTINENTAL RESOURCES INC	S	4,538.53	0.00	0.00	0.00	60.0	0.00
11/21/2017	75502043 MCCORD, ELIZABETH	ZOETIS INC CL A	B	25,253.92	0.00	0.00	0.00	60.0	0.00
11/17/2017	75502073 HAND FAMILY LP	NUVEEN MUNICIPAL CREDIT INCOME FUND B	B	24,792.30	250.00	0.00	250.00	60.0	150.00
11/21/2017	75502104 LAWRENCE, CHARLES	JUNO THERAPEUTICS INC COM	B	2,935.00	51.00	0.00	51.00	60.0	30.60
11/03/2017	75502113 BEHAR, RAYMOND	STRATASYS LTD	S	3,684.35	0.00	0.00	0.00	60.0	0.00
11/08/2017	75502113 BEHAR, RAYMOND	BROADCOM LIMITED SHS	S	4,096.24	0.00	0.00	0.00	60.0	0.00
11/09/2017	75502113 BEHAR, RAYMOND	PAYPAL HOLDINGS INC COM	B	3,672.88	0.00	0.00	0.00	60.0	0.00
11/03/2017	75502114 BEHAR, RAYMOND	STRATASYS LTD	S	5,260.85	0.00	0.00	0.00	60.0	0.00
11/08/2017	75502114 BEHAR, RAYMOND	BROADCOM LIMITED SHS	S	4,097.89	0.00	0.00	0.00	60.0	0.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
11/09/2017	75502114	BEHAR, RAYMOND		PAYPAL HOLDINGS INC COM	B	3,667.88	0.00	0.00	0.00	60.0	0.00
11/15/2017	75502114	BEHAR, RAYMOND		NETEASE INC SPONSORED ADR	S	9,106.28	0.00	0.00	0.00	60.0	0.00
11/21/2017	75502115	BEHAR, RAYMOND		ZOETIS INC CL A	B	3,093.79	0.00	0.00	0.00	60.0	0.00
* STOCKS SUBTOTAL *						\$2,408,855.04	\$9,052.00	\$0.00	\$9,052.00		\$5,431.20
PRODUCT CATEGORY: BONDS											
11/16/2017	75502073	HAND FAMILY LP		SOUTH DAKOTA ST HEALTH & EDL SANFOR S		50,601.50	641.00	0.00	641.00	60.0	384.60
* BONDS SUBTOTAL *						\$50,601.50	\$641.00	\$0.00	\$641.00		\$384.60
PRODUCT CATEGORY: OPTIONS											
11/09/2017	75502001	LAWRENCE, CHARLES	PUT HTZ 11/17/17 16	B	287.00	51.00	0.00	51.00	60.0	30.60	
11/14/2017	75502001	LAWRENCE, CHARLES	PUT HTZ 11/17/17 16	S	7.00	0.00	0.00	0.00	60.0	0.00	
11/16/2017	75502001	LAWRENCE, CHARLES	CALL HTZ 12/15/17 16	S	1,478.00	73.90	0.00	73.90	60.0	44.34	
* OPTIONS SUBTOTAL *						\$1,772.00	\$124.90	\$0.00	\$124.90		\$74.94
PRODUCT CATEGORY: MISCELLANEOUS											
11/30/2017	VARIOUS, LAWRENCE			RBC ADVISORY INCOME	B	0.00	7,182.66	0.00	7,182.66	60.0	4,309.60
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$7,182.66	\$0.00	\$7,182.66		\$4,309.60
PRODUCT CATEGORY: RIA											
11/03/2017	75502012	DEC, JOHN		FAS FEES	B	32.88	-29.26	0.00	-29.26	60.0	-17.56
11/08/2017	75502013	DEC, JOHN		FAS FEES	B	31.99	-23.99	0.00	-23.99	60.0	-14.39
11/21/2017	75502014	DEC, JOHN		FAS FEES	B	11.51	-8.63	0.00	-8.63	60.0	-5.18
* RIA SUBTOTAL *						\$76.38	\$-61.88	\$0.00	\$-61.88		\$-37.13
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
11/09/2017	75502005	SHEMIN, VICKI		THE INCOME FUND OF AMERICA-A	B	0.00	2.48	0.00	2.48	60.0	1.49
11/09/2017	75502022	APPEL, CHARLES		MFS INTERNTL DIVERSIFICATION FUND-A	B	0.00	5.35	0.00	5.35	60.0	3.21
11/09/2017	75502023	APPEL, CHARLES		**CLEARBRIDGE AGGRESSIVE	B	0.00	9.83	0.00	9.83	60.0	5.90
11/09/2017	75502023	APPEL, CHARLES		JANUS INVT FD GLOBAL LIFE SCIENCES	B	0.00	10.86	0.00	10.86	60.0	6.52
11/09/2017	75502023	APPEL, CHARLES		JANUS INVT FD TRITON FD CL C	B	0.00	16.94	0.00	16.94	60.0	10.16
11/09/2017	75502023	APPEL, CHARLES		JOHN HANCOCK FDS III DISCIPLINED VA	B	0.00	10.83	0.00	10.83	60.0	6.50
11/09/2017	75502070	HAND, STEPHEN		AMERICAN HIGH-INCOME TRUST-C	B	0.00	12.86	0.00	12.86	60.0	7.72
11/09/2017	75502070	HAND, STEPHEN		LORD ABBETT SECS TR INTL DIVIDEND I	B	0.00	10.42	0.00	10.42	60.0	6.25
11/09/2017	75502070	HAND, STEPHEN		LORD ABBETT MULTI ASSET INCOME FUND	B	0.00	10.03	0.00	10.03	60.0	6.02
11/09/2017	75502070	HAND, STEPHEN		LORD ABBETT HIGH YIELD FUND CL-C	B	0.00	8.91	0.00	8.91	60.0	5.35
11/09/2017	75502070	HAND, STEPHEN		NUVEEN SYMPHONY CREDIT OPPORTUNITIE	B	0.00	11.14	0.00	11.14	60.0	6.68
11/09/2017	75502071	HAND, STEPHEN		AMERICAN HIGH-INCOME TRUST-C	B	0.00	2.14	0.00	2.14	60.0	1.28
11/09/2017	75502071	HAND, STEPHEN		LORD ABBETT MULTI ASSET INCOME FUND	B	0.00	2.51	0.00	2.51	60.0	1.51
11/09/2017	75502071	HAND, STEPHEN		NUVEEN SYMPHONY CREDIT OPPORTUNITIE	B	0.00	2.79	0.00	2.79	60.0	1.67
11/09/2017	75502073	HAND FAMILY LP		**AB HIGH INCOME MUNICIPAL	B	0.00	181.45	0.00	181.45	60.0	108.87

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/09/2017 75502073 HAND FAMILY LP	LORD ABBETT TAX-FREE INCOME TR HIGH B	0.00	128.32	0.00	128.32	60.0	76.99
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$426.86	\$0.00	\$426.86		\$256.12
	*** BROKER TRANSACTIONS TOTAL ***	\$2,461,304.92	\$17,365.54	\$0.00	\$17,365.54		\$10,419.33

ADJUSTMENTS

11/30/2017	OTHER: OTHER--FAT BURGER	0.00	0.00	0.00	0.00		192.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$192.00

BROKER COMMISSION TOTALS

Broker Transactions	\$10,419.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$192.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-12.73
SIPC Assessment	\$-25.46

Check Amount	\$10,573.14
Year-to-date Earnings	\$52,738.73