



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 15, 2017

03/14/2017
 Page No. 1

DANIEL RAUPP
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: R132
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	RASMUSSEN, MELANIE	AM FUNDS AMCAP 529E	0.00	4.55	0.00	4.55	100.0	4.55
03/01/2017	RASMUSSEN, MELANIE	AM FUNDS AMCAP 529E	0.00	4.55	0.00	4.55	100.0	4.55
03/01/2017	RASMUSSEN, MELANIE	AM FUNDS GROWTH FUND OF AMERICA-529	0.00	4.72	0.00	4.72	100.0	4.72
03/01/2017	RASMUSSEN, MELANIE	AM FUNDS GROWTH FUND OF AMERICA-529	0.00	4.72	0.00	4.72	100.0	4.72
03/01/2017	RASMUSSEN, MELANIE	CAPITAL INCOME BUILDER-529E	0.00	3.69	0.00	3.69	100.0	3.69
03/01/2017	RASMUSSEN, MELANIE	CAPITAL INCOME BUILDER-529E	0.00	3.69	0.00	3.69	100.0	3.69
03/01/2017	RASMUSSEN, MELANIE	FUNDAMENTAL INVESTORS-529E	0.00	4.72	0.00	4.72	100.0	4.72
03/01/2017	RASMUSSEN, MELANIE	FUNDAMENTAL INVESTORS-529E	0.00	4.72	0.00	4.72	100.0	4.72
03/08/2017	LACASSE, RAYMOND	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$50,000.00	\$35.36	\$0.00	\$35.36		\$35.36
<u>PRODUCT CATEGORY: STOCKS</u>								
03/08/2017	RAUPP, DANIEL	DIREXION SHS ETF TR DIREXION DAILY	13,365.70	0.00	22.50	-22.50	100.0	-22.50
* STOCKS SUBTOTAL *			\$13,365.70	\$0.00	\$22.50	\$-22.50		\$-22.50
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/02/2017	K BELL ASSOC PENSION	GWL GRP ANNUITY 401K	593,094.65	454.98	0.00	454.98	100.0	454.98
02/28/2017	TRAINOR, EDWARD	JNL PERSPECTIVE L	65,109.45	54.26	0.00	54.26	100.0	54.26
* VARIABLE ANNUITIES SUBTOTAL *			\$658,204.10	\$509.24	\$0.00	\$509.24		\$509.24
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/28/2017	RAUPP, DANIEL	ASCENSUS 529 TRAILS	0.00	2.20	0.00	2.20	100.0	2.20
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2.20	\$0.00	\$2.20		\$2.20
*** BROKER TRANSACTIONS TOTAL ***			\$721,569.80	\$546.80	\$22.50	\$524.30		\$524.30

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251</u>								
02/24/2017	MARKLEW, IAN	COLONY NORTHSTAR INC CL A COM	-36,170.62	136.00	8.77	127.23	100.0	127.23
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$-36,170.62	\$136.00	\$8.77	\$127.23		\$127.23
<u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u>								
02/28/2017	BELL	ASCENSUS 529 TRAILS	0.00	21.22	0.00	21.22	100.0	21.22
02/28/2017	BELL	ASCENSUS 529 TRAILS	0.00	22.92	0.00	22.92	100.0	22.92
03/08/2017	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	12,473.74	311.84	11.00	300.84	100.0	300.84
03/08/2017	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	12,473.74	311.84	11.00	300.84	100.0	300.84
02/22/2017	RENEGAR, ROBERT	COTTONWOOD MULTIFAMILY REIT I-REG A	30,000.00	1,800.00	0.00	1,800.00	100.0	1,800.00
02/27/2017	TEBBE, GEORGE	GPB AUTOMOTIVE PORTFOLIO LP	47,000.00	1,645.00	0.00	1,645.00	100.0	1,645.00
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$101,947.48	\$4,112.82	\$22.00	\$4,090.82		\$4,090.82
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
02/28/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	0.00	0.63	0.00	0.63	100.0	0.63
03/02/2017	VOGLIO, ANNAMARIE	SNAP INC CL A	528.00	3.50	7.86	-4.36	100.0	-4.36
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$528.00	\$4.13	\$7.86	\$-3.73		\$-3.73
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
02/27/2017	JOHNSON, LAWRENCE	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	3,500.00	0.00	3,500.00	100.0	3,500.00
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$100,000.00	\$3,500.00	\$0.00	\$3,500.00		\$3,500.00
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
02/24/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	7,021.78	87.77	5.50	82.27	100.0	82.27
02/24/2017	GALGANO, ROBERT	COTTONWOOD MULTIFAMILY REIT I INC C	50,000.00	0.00	0.00	0.00	100.0	0.00
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$57,021.78	\$87.77	\$5.50	\$82.27		\$82.27
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
03/02/2017	WASSERMAN TRUST, NINA	SNAP INC CL A	24,430.22	11.25	3.52	7.73	100.0	7.73
03/02/2017	WASSERMAN TRUST, NINA	SNAP INC CL A	5,243.22	11.25	3.38	7.87	100.0	7.87
03/02/2017	WASSERMAN, DEANA	SNAP INC CL A	2,993.99	11.25	3.38	7.87	100.0	7.87
02/27/2017	SIMPSON TRUST, GERARD & CYNTHIS	PDOR GOLD TR GOLD SHS	7,925.81	4.50	3.38	1.12	100.0	1.12
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$40,593.24	\$38.25	\$13.66	\$24.59		\$24.59
<u>BROKER: R138 DAN/JON PAUL FT-RAUPP/CIRELLI #350</u>								
02/27/2017	BRATTIS, A ELIZABETH	GPB AUTOMOTIVE PORTFOLIO LP	1,000,000.00	52,500.00	0.00	52,500.00	100.0	52,500.00
02/24/2017	32-47 38TH ST LLC	PASSCO BLOCKS DST	43,350.00	1,950.75	0.00	1,950.75	100.0	1,950.75

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JON PAUL CIRELLI #246 </u>								
		* R138 DAN/JON PAUL FT-RAUPP/CIRELLI SUBTOTAL *	\$1,043,350.00	\$54,450.75	\$0.00	\$54,450.75		\$54,450.75
		*** SPLIT TRANSACTIONS TOTAL ***	\$1,307,269.88	\$62,329.72	\$57.79	\$62,271.93		\$62,271.93

<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JON PAUL CIRELLI #246 </u>								
02/28/2017	ONE PARKING	JOHN HANCOCK LIFE INS CO	0.00	63.09	0.00	63.09	95.0	3.15
		* JON PAUL CIRELLI SUBTOTAL *	\$0.00	\$63.09	\$0.00	\$63.09		\$3.15
<u> BROKER: JOHN KLETT #250 </u>								
03/09/2017	PIZZANO, GERALD	NIKE INC CLASS B	12,415.70	22.00	22.50	-0.50	75.0	-0.06
		* JOHN KLETT SUBTOTAL *	\$12,415.70	\$22.00	\$22.50	\$-0.50		\$-0.06

<u> BROKER: MARC LINSKY #263 </u>								
03/01/2017	PISANI, CARLA	LFG TRAILS	0.00	25.70	0.00	25.70	90.0	0.44
03/01/2017	PISANI, NICHOLAS	LFG TRAILS	0.00	10.00	0.00	10.00	90.0	0.17
03/01/2017	BENDICK, ETHEL	AM FUNDS AMCAP 529A	0.00	2.38	0.00	2.38	90.0	0.04
03/01/2017	CANUSO, CANDACE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.16	0.00	1.16	90.0	0.02
03/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.47	0.00	0.47	90.0	0.01
03/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.11	0.00	1.11	90.0	0.02
03/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.11	0.00	1.11	90.0	0.02
03/01/2017	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.81	0.00	0.81	90.0	0.01
03/01/2017	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.81	0.00	0.81	90.0	0.01
03/02/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	46.50	2.33	0.00	2.33	90.0	0.04
03/02/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	43.50	2.18	0.00	2.18	90.0	0.04
03/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	8.92	0.00	8.92	90.0	0.15
03/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	0.00	89.47	0.00	89.47	90.0	1.52
03/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	2.94	0.00	2.94	90.0	0.05
03/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	2.94	0.00	2.94	90.0	0.05
03/01/2017	MORETTI, VINCENT	AM FUNDS AMERICAN BALANCED A	0.00	3.12	0.00	3.12	90.0	0.05
03/01/2017	MORETTI, GINA	AM FUNDS AMERICAN BALANCED A	0.00	3.23	0.00	3.23	90.0	0.05
03/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.46	0.00	0.46	90.0	0.01
03/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.16	0.00	1.16	90.0	0.02
03/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.16	0.00	1.16	90.0	0.02

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
03/02/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	46.50	2.33	0.00	2.33	90.0	0.04
03/02/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	39.00	1.95	0.00	1.95	90.0	0.03
03/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	1,000.00	10.00	0.00	10.00	90.0	0.17
03/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	0.00	92.96	0.00	92.96	90.0	1.58
03/01/2017	CANUSO, CANDACE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.79	0.00	0.79	90.0	0.01
03/01/2017	ALTMAN, SHARON	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.68	0.00	1.68	90.0	0.03
03/01/2017	BENDICK, ETHEL	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.57	0.00	1.57	90.0	0.03
03/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	5.48	0.00	5.48	90.0	0.09
03/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.92	0.00	0.92	90.0	0.02
03/01/2017	ALTMAN, SHARON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.84	0.00	1.84	90.0	0.03
03/01/2017	BENDICK, ETHEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.86	0.00	1.86	90.0	0.03
03/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	6.09	0.00	6.09	90.0	0.10
03/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.01	0.00	1.01	90.0	0.02
03/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	6.55	0.00	6.55	90.0	0.11
03/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	6.81	0.00	6.81	90.0	0.12
03/01/2017	ALTMAN, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.15	0.00	3.15	90.0	0.05
03/01/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.57	0.00	0.57	90.0	0.01
03/01/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.31	0.00	0.31	90.0	0.01
03/01/2017	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.03	0.00	1.03	90.0	0.02
03/01/2017	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.03	0.00	1.03	90.0	0.02
03/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	10.69	0.00	10.69	90.0	0.18
03/01/2017	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	82.35	0.00	82.35	90.0	1.40
03/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.92	0.00	2.92	90.0	0.05
03/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.92	0.00	2.92	90.0	0.05
03/02/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	57.00	2.85	0.00	2.85	90.0	0.05
03/02/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	67.50	3.38	0.00	3.38	90.0	0.06
03/01/2017	CENTANNI, RICHARD	AM FUNDS COLLEGE 2030 FUND 529A	0.00	6.73	0.00	6.73	90.0	0.11
03/01/2017	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	7.55	0.00	7.55	90.0	0.13
03/01/2017	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.64	0.00	0.64	90.0	0.01
03/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
03/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.22	0.00	6.22	90.0	0.11
03/01/2017	CANUSO, CANDACE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	7.08	0.00	7.08	90.0	0.12
03/01/2017	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.91	0.00	2.91	90.0	0.05

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BROKER: MARC LINSKY #263								
03/01/2017	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.69	0.00	2.69	90.0	0.05
03/01/2017	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.77	0.00	0.77	90.0	0.01
03/01/2017	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.77	0.00	0.77	90.0	0.01
03/01/2017	MORETTI, VINCENT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.48	0.00	3.48	90.0	0.06
03/01/2017	MORETTI, GINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.67	0.00	3.67	90.0	0.06
02/24/2017	BOND-HILTNER, LINDA	AM FUNDS ICA A	404.90	20.25	22.00	-1.75	90.0	-0.03
02/24/2017	LINSKY, MARC	AM FUNDS ICA A	2,024.50	101.23	22.00	79.23	90.0	1.35
03/01/2017	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.63	0.00	0.63	90.0	0.01
03/01/2017	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.63	0.00	0.63	90.0	0.01
03/01/2017	ALTMAN, SHARON	AM FUNDS INTL GROWTH AND INCOME 529	0.00	1.19	0.00	1.19	90.0	0.02
03/01/2017	ALTMAN, SHARON	AM FUNDS NEW ECONOMY 529A	0.00	2.67	0.00	2.67	90.0	0.05
03/01/2017	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.54	0.00	0.54	90.0	0.01
03/01/2017	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.54	0.00	0.54	90.0	0.01
03/01/2017	CANUSO, CANDACE	AM FUNDS NEW WORLD 529A	0.00	5.40	0.00	5.40	90.0	0.09
03/01/2017	CANUSO, CANDACE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.41	0.00	0.41	90.0	0.01
03/01/2017	ALTMAN, SHARON	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.10	0.00	1.10	90.0	0.02
03/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	5.59	0.00	5.59	90.0	0.10
03/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.19	0.00	2.19	90.0	0.04
03/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.19	0.00	2.19	90.0	0.04
02/24/2017	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	409.77	20.49	22.00	-1.51	90.0	-0.03
02/24/2017	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	2,003.32	100.17	22.00	78.17	90.0	1.33
03/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.88	0.00	5.88	90.0	0.10
03/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.10	0.00	6.10	90.0	0.10
03/01/2017	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.57	0.00	0.57	90.0	0.01
03/01/2017	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.57	0.00	0.57	90.0	0.01
02/23/2017	CAMARDO, SHANE	AMERICAN FUNDS COLLEGE 2033 FUND-52	5,000.00	175.00	0.00	175.00	90.0	2.98
03/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	6.30	0.00	6.30	90.0	0.11
03/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.09	0.00	2.09	90.0	0.04
03/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.09	0.00	2.09	90.0	0.04
03/06/2017	KIM, CHUNG	FIDELITY NEW JERSEY MUNI MONEY MARK	-5,011.62	0.00	22.00	-22.00	90.0	-0.37
03/08/2017	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	54,980.02	549.80	22.00	527.80	90.0	8.97
02/27/2017	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	25,016.34	1,250.82	22.00	1,228.82	90.0	20.89
02/22/2017	GUERRIERI, CHARLES	ING ACCESS	0.00	61.02	0.00	61.02	90.0	1.04

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: MARC LINSKY #263</u>								
03/06/2017	BRACA, NANCY	ISHARES SILVER TR ISHARES	9,215.41	30.00	22.82	7.18	90.0	0.12
03/06/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
02/28/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	266,668.76	222.22	0.00	222.22	90.0	3.78
02/28/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	260,580.77	217.15	0.00	217.15	90.0	3.69
02/28/2017	NANI, JOSEPH	JNL PERSPECTIVE L	406,563.01	338.80	0.00	338.80	90.0	5.76
02/28/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	524,758.53	437.30	0.00	437.30	90.0	7.43
02/28/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	106,903.17	89.09	0.00	89.09	90.0	1.51
02/28/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,125.13	2.94	0.00	2.94	90.0	0.05
02/28/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	206,444.53	172.04	0.00	172.04	90.0	2.92
02/07/2017	FENN, RONALD	METLIFE SHEILD LEVEL SELECTOR EQTY	132,603.62	-7,956.22	0.00	-7,956.22	90.0	-135.26
02/07/2017	FENN, RONALD	METLIFE SHEILD LEVEL SELECTOR EQTY	132,603.62	7,956.22	0.00	7,956.22	90.0	135.26
02/17/2017	BANKS, JOSEPH	METLIFE SHEILD LEVEL SELECTOR EQTY	100,000.00	6,000.00	0.00	6,000.00	90.0	102.00
02/21/2017	PONTICIELLO, RANDOLFO	METLIFE SHEILD LEVEL SELECTOR EQTY	111,501.57	3,345.05	0.00	3,345.05	90.0	56.87
02/21/2017	HERMAN, KENNETH	METLIFE SHEILD LEVEL SELECTOR EQTY	197,341.97	11,840.52	0.00	11,840.52	90.0	201.29
02/28/2017	LANDE, EDWARD	METLIFE SHEILD LEVEL SELECTOR EQTY	46,707.93	2,802.48	0.00	2,802.48	90.0	47.64
03/06/2017	MACDONALD, WILLIAM	METLIFE SHEILD LEVEL SELECTOR EQTY	99,438.47	5,966.31	0.00	5,966.31	90.0	101.43
03/06/2017	STERR, CHRISTOPHER	METLIFE SHEILD LEVEL SELECTOR EQTY	139,037.80	8,342.27	0.00	8,342.27	90.0	141.82
03/06/2017	MEINERS, KEVIN	METLIFE SHEILD LEVEL SELECTOR EQTY	115,620.44	6,937.23	0.00	6,937.23	90.0	117.93
03/07/2017	MCGUIRE, JOHN	METLIFE SHEILD LEVEL SELECTOR EQTY	50,000.00	3,000.00	0.00	3,000.00	90.0	51.00
03/08/2017	DAMICO, JOSEPH	METLIFE SHEILD LEVEL SELECTOR EQTY	100,000.00	6,000.00	0.00	6,000.00	90.0	102.00
02/13/2017	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	212.29	0.00	212.29	90.0	3.61
02/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	142.61	0.00	142.61	90.0	2.42
03/03/2017	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	79.53	0.00	79.53	90.0	1.35
02/24/2017	BACHMAN, ALLEN	PARKE BANCORP INC COM	-7,992.00	5.55	22.55	-17.00	90.0	-0.29
02/27/2017	BACHMAN, ALLEN	PARKE BANCORP INC COM	-2,150.00	0.92	22.50	-21.58	90.0	-0.37
03/01/2017	BACHMAN, ALLEN	PARKE BANCORP INC COM	-67,660.50	30.00	26.72	3.28	90.0	0.06
02/28/2017	BALZANO, DONNA	POWERSHARES EXCHANGE-TRADED FD TR I	-3,341.07	30.00	22.50	7.50	90.0	0.13
02/28/2017	BALZANO, DONNA	POWERSHARES GLOBAL EXCH TRD FD TR H	-6,680.00	30.00	22.53	7.47	90.0	0.13
02/28/2017	BALZANO, DONNA	POWERSHARES GLOBAL EXCHANGE TRADED	-3,129.61	30.00	22.50	7.50	90.0	0.13
02/28/2017	BALZANO, DONNA	POWERSHARES GLOBAL EXCHANGE TRADED	-3,922.22	30.00	22.50	7.50	90.0	0.13
02/28/2017	BALZANO, DONNA	RYDEX ETF TR GUGGENHEIM S&P 500 EQU	-9,847.82	30.00	22.50	7.50	90.0	0.13
02/28/2017	BALZANO, DONNA	RYDEX ETF TR GUGGENHEIM S&P 500 PUR	-20,985.25	30.00	22.52	7.48	90.0	0.13
02/28/2017	BALZANO, DONNA	SCHWAB STRATEGIC TR EMERGING MKTS E	-5,129.75	30.00	22.50	7.50	90.0	0.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
BROKER: MARC LINSKY #263								
02/28/2017	BALZANO, DONNA	SCHWAB STRATEGIC TR INTERMEDIATE-TE	-3,971.88	30.00	22.50	7.50	90.0	0.13
02/28/2017	BALZANO, DONNA	SCHWAB STRATEGIC TR INTL EQUITY ETF	-12,583.18	30.00	22.65	7.35	90.0	0.12
02/28/2017	BALZANO, DONNA	SCHWAB STRATEGIC TR INTL SMALL CAP	-5,772.57	30.00	22.50	7.50	90.0	0.13
02/28/2017	BALZANO, DONNA	SCHWAB STRATEGIC TR US AGGREGATE BD	-16,029.99	30.00	22.50	7.50	90.0	0.13
02/28/2017	BALZANO, DONNA	SCHWAB STRATEGIC TR US LARGE-CAP ET	-20,881.06	30.00	22.55	7.45	90.0	0.13
02/28/2017	BALZANO, DONNA	SCHWAB STRATEGIC TR US LARGE-CAP GR	-21,560.26	30.00	22.54	7.46	90.0	0.13
02/28/2017	BALZANO, DONNA	SCHWAB STRATEGIC TR US SMALL-CAP ET	-8,172.33	30.00	22.50	7.50	90.0	0.13
03/03/2017	ROSENBLUM, LUCILLE	SNAP INC CL A	2,649.49	30.00	22.50	7.50	90.0	0.13
03/06/2017	BRACA, NANCY	SPDR GOLD TR GOLD SHS	9,148.61	30.00	22.50	7.50	90.0	0.13
02/28/2017	BALZANO, DONNA	SPDR INDEX SHS FDS DOW JONES GLOBAL	-4,190.79	30.00	22.50	7.50	90.0	0.13
02/28/2017	BALZANO, DONNA	SPDR SER TR BARCLAYS CONV SECS ETF	-3,541.05	30.00	22.50	7.50	90.0	0.13
02/28/2017	BALZANO, DONNA	SPDR SER TR BLOOMBERG BARCLAYS LONG	-4,423.23	30.00	22.50	7.50	90.0	0.13
02/28/2017	BALZANO, DONNA	SPDR SER TR S&P 400 MID CAP GROWTH	-8,114.03	30.00	22.50	7.50	90.0	0.13
02/28/2017	BALZANO, DONNA	SPDR SER TR S&P 400 MID CAP VALUE E	-8,355.16	30.00	22.50	7.50	90.0	0.13
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	7.71	0.00	7.71	90.0	0.13
02/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	10.50	0.00	10.50	90.0	0.18
02/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	5.25	0.00	5.25	90.0	0.09
/ /	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	0.00	5.79	0.00	5.79	90.0	0.10
02/28/2017	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	200.00	8.00	0.00	8.00	90.0	0.14
* MARC LINSKY SUBTOTAL *			\$2,870,781.31	\$59,696.27	\$721.38	\$58,974.89		\$1,002.65
BROKER: DANIEL CHARLEY #338								
03/02/2017	MINER, JOSHUA	METLIFE SHEILD LEVEL SELECTOR EQTY	77,936.11	4,676.17	0.00	4,676.17	90.0	79.49
* DANIEL CHARLEY SUBTOTAL *			\$77,936.11	\$4,676.17	\$0.00	\$4,676.17		\$79.49
*** OVERRIDE TRANSACTIONS TOTAL ***			\$2,961,133.12	\$64,457.53	\$743.88	\$63,713.65		\$1,085.23

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
03/15/2017		*BRANCH FEE: OVERRIDE-CIRELLI	0.00					1,209.85
03/15/2017		*BRANCH FEE: OVERRIDE-KIESNOSKI	0.00					611.29
03/15/2017		*EO INS: CURRENT MONTH INS	0.00					-45.00
03/15/2017		*EO INS: CURRENT MONTH-INS	0.00					-75.00
03/15/2017		*EO INS: CURRENT MONTH-T THOMPSON	0.00					-45.00
03/15/2017		*EO INS: N ELIAS	0.00					-45.00
03/15/2017		*FEDERAL EXPRESS: 5-715-50770 2/22	0.00					-149.46
03/15/2017		*FEDERAL EXPRESS: 5-723-37595 3/1	0.00					-154.38
03/15/2017		*FEDERAL EXPRESS: 5-730-57291 3/8/1	0.00					-82.30
03/15/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-45.00
03/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.23
03/15/2017		*PERSHING: ETF PROSPECTUS	0.00					-1.54
03/15/2017		*PERSHING: MARK UPS	0.00					30.00
03/15/2017		*PERSHING: MARK UPS	0.00					10.20
03/15/2017		*PERSHING: MARK UPS	0.00					30.00
03/15/2017		*PERSHING: MARK UPS	0.00					10.50
03/15/2017		*PERSHING: MARK UPS	0.00					7.50
03/15/2017		*PERSHING: MARK UPS	0.00					18.00
03/15/2017		*PERSHING: MF PROSPECTUS	0.00					-3.08
03/15/2017		*PERSHING: STREAMING QUOTES	0.00					-68.00
03/15/2017		*TECH: CURRENT MONTH TECH	0.00					-41.25
03/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					-41.25
03/15/2017		*TECH: CURRENT MONTH-TECH	0.00					-68.75
03/15/2017		*TECH: N ELIAS	0.00					-41.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$1,020.85

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BROKER COMMISSION TOTALS

Broker Transactions	\$524.30
Split Transactions	\$62,271.93
Override Transactions	\$1,085.23
Adjustments	\$1,020.85
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$64,902.31
Year-to-date Gross	\$249,914.08
Year-to-date Earnings	\$254,955.06