

**COMMISSION STATEMENT****April 30, 2018**

DAMIAN, D127 DERING
165 Culver Blvd
Suite A
Playa Del Rey, CA 90293

BROKER #: D127
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/13/2018	CHUCK, LAURENCE	ASSETMARK	487.50	0.43	0.00	0.43	90.0	0.39
04/13/2018	CHUCK, LAURENCE	ASSETMARK	-487.50	-0.42	0.00	-0.42	90.0	-0.38
04/13/2018	COHEN, JANET	ASSETMARK	100,000.00	27.40	0.00	27.40	90.0	24.66
04/13/2018	ENCINA, ANTONIO	ASSETMARK	1,100.00	0.38	0.00	0.38	90.0	0.34
04/13/2018	ENCINA, ANTONIO	ASSETMARK	-1,100.00	-0.34	0.00	-0.34	90.0	-0.31
04/13/2018	JOHNSON, MORGAN	ASSETMARK	1,000.00	0.63	0.00	0.63	90.0	0.57
04/13/2018	PRIETO, DANA	ASSETMARK	8,922.00	3.63	0.00	3.63	90.0	3.27
04/13/2018	PEARSON, ROBERT	ASSETMARK	1,000.00	0.54	0.00	0.54	90.0	0.49
04/16/2018	SECRIST HOLDINGS LLC	ASSETMARK	73,384.11	46.94	0.00	46.94	90.0	42.25
04/17/2018	AGUILUZ, LUCILLE	ASSETMARK	138,240.19	437.87	0.00	437.87	90.0	394.08
04/17/2018	AGUILUZ, LUCILLE	ASSETMARK	110,664.49	350.52	0.00	350.52	90.0	315.47
04/17/2018	AMIN, CHIRAG	ASSETMARK	124,548.00	227.72	0.00	227.72	90.0	204.95
04/17/2018	AMIN, CHIRAG	ASSETMARK	2,553,145.12	4,133.31	0.00	4,133.31	90.0	3,719.98
04/17/2018	ARMAGANIAN, ANDREA	ASSETMARK	308,571.16	769.27	0.00	769.27	90.0	692.34
04/17/2018	ARMAGANIAN, ANDREA	ASSETMARK	75,605.44	235.61	0.00	235.61	90.0	212.05
04/17/2018	BAGAMASPAD, FELICITAS	ASSETMARK	99,709.49	310.72	0.00	310.72	90.0	279.65
04/17/2018	BAUTISTA, FEDERICO	ASSETMARK	144,462.86	450.18	0.00	450.18	90.0	405.16
04/17/2018	BAUTISTA, FEDERICO	ASSETMARK	65,348.34	203.64	0.00	203.64	90.0	183.28
04/17/2018	BEELE, FRANCES	ASSETMARK	6,756.00	21.05	0.00	21.05	90.0	18.95
04/17/2018	BOLENE, JAMES	ASSETMARK	80,039.18	249.42	0.00	249.42	90.0	224.48
04/17/2018	CASANOVA, JESSE	ASSETMARK	519,715.35	1,295.65	0.00	1,295.65	90.0	1,166.09
04/17/2018	CASANOVA, KENDRA	ASSETMARK	53,956.02	181.59	0.00	181.59	90.0	163.43
04/17/2018	CASANOVA, KENDRA	ASSETMARK	25,165.65	84.70	0.00	84.70	90.0	76.23
04/17/2018	CHUCK, LAURENCE	ASSETMARK	32,436.22	101.08	0.00	101.08	90.0	90.97
04/17/2018	CHUCK, LAURENCE	ASSETMARK	49,929.09	155.59	0.00	155.59	90.0	140.03
04/17/2018	CHUCK, LAURENCE	ASSETMARK	342,989.64	1,068.84	0.00	1,068.84	90.0	961.96

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/17/2018	COHEN, JANET	ASSETMARK	7,304.11	22.70	0.00	22.70	90.0	20.43
04/17/2018	COHEN, JANET	ASSETMARK	87,612.07	109.21	0.00	109.21	90.0	98.29
04/17/2018	COHEN, JANET	ASSETMARK	215,293.41	268.36	0.00	268.36	90.0	241.52
04/17/2018	CROCKETT, PATRICIA	ASSETMARK	105,125.97	330.83	0.00	330.83	90.0	297.75
04/17/2018	CROCKETT, PATRICIA	ASSETMARK	96,251.28	302.90	0.00	302.90	90.0	272.61
04/17/2018	CROCKETT, PATRICIA	ASSETMARK	65,694.01	206.74	0.00	206.74	90.0	186.07
04/17/2018	DAINES, AUSTYN	ASSETMARK	20,888.47	70.30	0.00	70.30	90.0	63.27
04/17/2018	DENNIS-ABILLA, CHRISTINE	ASSETMARK	15,853.96	53.36	0.00	53.36	90.0	48.02
04/17/2018	DENNIS-ABILLA, CHRISTINE	ASSETMARK	15,692.37	48.60	0.00	48.60	90.0	43.74
04/17/2018	DENNIS-ABILLA, CHRISTINE	ASSETMARK	281,042.30	870.41	0.00	870.41	90.0	783.37
04/17/2018	DENNIS-ABILLA, CHRISTINE	ASSETMARK	14,704.71	45.54	0.00	45.54	90.0	40.99
04/17/2018	DENNIS-ABILLA, CHRISTINE	ASSETMARK	13,542.81	41.94	0.00	41.94	90.0	37.75
04/17/2018	DERING, WILLIAM	ASSETMARK	3,695.33	12.44	0.00	12.44	90.0	11.20
04/17/2018	DERING, WILLIAM	ASSETMARK	77,669.17	261.40	0.00	261.40	90.0	235.26
04/17/2018	ENCINA, ANTONIO	ASSETMARK	9,280.25	28.92	0.00	28.92	90.0	26.03
04/17/2018	ENCINA, ANTONIO	ASSETMARK	44,074.60	137.35	0.00	137.35	90.0	123.62
04/17/2018	ENCINA, ANTONIO	ASSETMARK	218,248.20	680.12	0.00	680.12	90.0	612.11
04/17/2018	ERICKSON, KAY	ASSETMARK	16,470.24	51.33	0.00	51.33	90.0	46.20
04/17/2018	ERICKSON, KAY	ASSETMARK	120,971.87	301.58	0.00	301.58	90.0	271.42
04/17/2018	ESC INSURANCE CO	ASSETMARK	219,652.64	704.52	0.00	704.52	90.0	634.07
04/17/2018	FARFAN, FRANK	ASSETMARK	21,144.74	70.67	0.00	70.67	90.0	63.60
04/17/2018	FARFAN, FRANK	ASSETMARK	8,218.11	27.47	0.00	27.47	90.0	24.72
04/17/2018	FARFAN, FRANK	ASSETMARK	74,612.04	249.38	0.00	249.38	90.0	224.44
04/17/2018	FARFAN, FRANK	ASSETMARK	44,584.35	149.01	0.00	149.01	90.0	134.11
04/17/2018	FARFAN, FRANK	ASSETMARK	8,772.34	29.32	0.00	29.32	90.0	26.39
04/17/2018	GIBSON, PATRICK	ASSETMARK	171,590.34	427.77	0.00	427.77	90.0	384.99
04/17/2018	GIBSON, PATRICK	ASSETMARK	319,817.87	797.31	0.00	797.31	90.0	717.58
04/17/2018	GIBSON, PATRICK	ASSETMARK	119,616.56	298.20	0.00	298.20	90.0	268.38
04/17/2018	GIBSON, PATRICK	ASSETMARK	72,396.93	180.49	0.00	180.49	90.0	162.44
04/17/2018	GREEN, ROY	ASSETMARK	297,285.47	741.13	0.00	741.13	90.0	667.02
04/17/2018	HAVENS, JACK	ASSETMARK	22,455.62	75.58	0.00	75.58	90.0	68.02
04/17/2018	HAVENS, JACK	ASSETMARK	90,076.05	280.70	0.00	280.70	90.0	252.63
04/17/2018	HAVENS, JACK	ASSETMARK	17,624.37	54.92	0.00	54.92	90.0	49.43

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/17/2018	HOLLINGSWORTH, MELISSA	ASSETMARK	28,668.39	89.34	0.00	89.34	90.0	80.41
04/17/2018	HOLLINGSWORTH, MELISSA	ASSETMARK	19,403.92	60.47	0.00	60.47	90.0	54.42
04/17/2018	JOHNSON, MORGAN	ASSETMARK	17,370.23	58.46	0.00	58.46	90.0	52.61
04/17/2018	JOHNSON, MORGAN	ASSETMARK	6,167.24	20.76	0.00	20.76	90.0	18.68
04/17/2018	JOHNSON, MORGAN	ASSETMARK	8,209.17	27.63	0.00	27.63	90.0	24.87
04/17/2018	KUSUNOKI, MIKE	ASSETMARK	13,281.45	41.39	0.00	41.39	90.0	37.25
04/17/2018	KUSUNOKI, MIKE	ASSETMARK	56,996.58	177.62	0.00	177.62	90.0	159.86
04/17/2018	KUSUNOKI, MIKE	ASSETMARK	9,666.36	30.12	0.00	30.12	90.0	27.11
04/17/2018	KUSUNOKI, MIKE	ASSETMARK	48,529.76	151.23	0.00	151.23	90.0	136.11
04/17/2018	LICHTENSTEIN, LOUISE	ASSETMARK	170,252.49	526.11	0.00	526.11	90.0	473.50
04/17/2018	LICHTENSTEIN, LOUISE	ASSETMARK	164,823.62	509.33	0.00	509.33	90.0	458.40
04/17/2018	MITSCH, JAY	ASSETMARK	13,651.55	45.94	0.00	45.94	90.0	41.35
04/17/2018	MITSCH, JAY	ASSETMARK	6,187.64	20.82	0.00	20.82	90.0	18.74
04/17/2018	MITSCH, JAY	ASSETMARK	7,620.07	25.65	0.00	25.65	90.0	23.09
04/17/2018	MOORE, JODIE	ASSETMARK	9,871.65	30.76	0.00	30.76	90.0	27.68
04/17/2018	MOORE, JODIE	ASSETMARK	17,963.64	55.98	0.00	55.98	90.0	50.38
04/17/2018	MOORE, JODIE	ASSETMARK	35,796.97	111.55	0.00	111.55	90.0	100.40
04/17/2018	MORTIMER, RICHARD	ASSETMARK	234,733.92	743.47	0.00	743.47	90.0	669.12
04/17/2018	MORTIMER, RICHARD	ASSETMARK	14,281.39	45.23	0.00	45.23	90.0	40.71
04/17/2018	O'CONNELL, MAUREEN	ASSETMARK	51,271.85	152.23	0.00	152.23	90.0	137.01
04/17/2018	O'CONNELL, MAUREEN	ASSETMARK	199,493.04	592.30	0.00	592.30	90.0	533.07
04/17/2018	O'CONNELL, MAUREEN	ASSETMARK	166,202.00	493.46	0.00	493.46	90.0	444.11
04/17/2018	O'CONNELL, MAUREEN	ASSETMARK	122,560.60	363.89	0.00	363.89	90.0	327.50
04/17/2018	PON, AGNES	ASSETMARK	28,402.24	88.51	0.00	88.51	90.0	79.66
04/17/2018	PRIETO, DANA	ASSETMARK	8,945.94	30.11	0.00	30.11	90.0	27.10
04/17/2018	PRIETO, DANA	ASSETMARK	134,900.46	454.01	0.00	454.01	90.0	408.61
04/17/2018	RICHMOND, JACOB	ASSETMARK	2,497.76	8.41	0.00	8.41	90.0	7.57
04/17/2018	RICHMOND, JACOB	ASSETMARK	2,166.44	7.29	0.00	7.29	90.0	6.56
04/17/2018	ROBELO, FRANSISCO	ASSETMARK	124,812.80	388.95	0.00	388.95	90.0	350.06
04/17/2018	PEARSON, ROBERT	ASSETMARK	158,863.45	495.06	0.00	495.06	90.0	445.55
04/17/2018	PEARSON, ROBERT	ASSETMARK	182,255.97	567.96	0.00	567.96	90.0	511.16
04/17/2018	PEARSON, ROBERT	ASSETMARK	128,098.97	399.19	0.00	399.19	90.0	359.27
04/17/2018	PEARSON, ROBERT	ASSETMARK	25,267.18	76.26	0.00	76.26	90.0	68.63

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/17/2018	RTJ MANAGEMENT	ASSETMARK	64,985.19	162.01	0.00	162.01	90.0	145.81
04/17/2018	RTJ MANAGEMENT	ASSETMARK	556,136.29	1,386.45	0.00	1,386.45	90.0	1,247.81
04/17/2018	RTJ MANAGEMENT	ASSETMARK	335,767.06	837.07	0.00	837.07	90.0	753.36
04/17/2018	RTJ MANAGEMENT	ASSETMARK	198,740.58	495.46	0.00	495.46	90.0	445.91
04/17/2018	RUSSELL, RONALD	ASSETMARK	27,893.82	69.54	0.00	69.54	90.0	62.59
04/17/2018	RUSSELL, RONALD	ASSETMARK	239,297.87	596.57	0.00	596.57	90.0	536.91
04/17/2018	RUSSELL, RYAN	ASSETMARK	35,000.61	109.07	0.00	109.07	90.0	98.16
04/17/2018	RUSSELL, RYAN	ASSETMARK	2,260.33	7.04	0.00	7.04	90.0	6.34
04/17/2018	SECRIST-ROUSE TRUST, RUTH	ASSETMARK	122,870.70	196.93	0.00	196.93	90.0	177.24
04/17/2018	SCANE, DONALD	ASSETMARK	37,148.95	107.26	0.00	107.26	90.0	96.53
04/17/2018	SCANE, DONALD	ASSETMARK	204,242.82	636.47	0.00	636.47	90.0	572.82
04/17/2018	SCANE, DONALD	ASSETMARK	364,660.11	1,136.37	0.00	1,136.37	90.0	1,022.73
04/17/2018	SECRIST HOLDINGS LLC	ASSETMARK	1,588,332.58	3,959.71	0.00	3,959.71	90.0	3,563.74
04/17/2018	SECRIST HOLDINGS LLC	ASSETMARK	988,152.27	2,463.46	0.00	2,463.46	90.0	2,217.11
04/17/2018	SECRIST-ROUSE TRUST, RUTH	ASSETMARK	99,810.46	311.03	0.00	311.03	90.0	279.93
04/17/2018	SIMMONS, SANDRA	ASSETMARK	128,290.26	319.83	0.00	319.83	90.0	287.85
04/17/2018	SIMMONS, SANDRA	ASSETMARK	108,187.87	269.71	0.00	269.71	90.0	242.74
04/17/2018	SIMMONS, SANDRA	ASSETMARK	24,154.86	60.22	0.00	60.22	90.0	54.20
04/17/2018	SIMMONS, SANDRA	ASSETMARK	199,111.51	496.38	0.00	496.38	90.0	446.74
04/17/2018	LILLARD FAMILY TRUST	ASSETMARK	477,966.86	1,489.46	0.00	1,489.46	90.0	1,340.51
04/17/2018	WOODS, KEVIN	ASSETMARK	22,834.63	76.85	0.00	76.85	90.0	69.17
04/17/2018	WOODS, KEVIN	ASSETMARK	22,834.63	76.85	0.00	76.85	90.0	69.17
04/24/2018	MITSCH, BRUCE	PACIFIC FINANCIAL	218,842.83	139.40	0.00	139.40	90.0	125.46
04/24/2018	SCANE, DARYL	PACIFIC FINANCIAL	109,703.47	69.88	0.00	69.88	90.0	62.89
* RIA SUBTOTAL *			\$16,229,292.21	\$40,648.96	\$0.00	\$40,648.96		\$36,584.12
*** DIRECT TRANSACTIONS TOTAL ***			\$16,229,292.21	\$40,648.96	\$0.00	\$40,648.96		\$36,584.12

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BROKER COMMISSION TOTALS

Broker Transactions	\$36,584.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$36,584.12
Year-to-date Gross	\$83,285.99
Year-to-date Earnings	\$74,957.52