

## COMMISSION STATEMENT

May 31, 2018

STEPHEN SUSLOW  
14 WEST 12TH STREET  
APT 6B  
NEW YORK, NY 10011

BROKER #: S01 S22  
BRANCH: HOME OFFICE

## COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
04/27/2018	75500881	SUSLOW, RONNIE		AT&T INC	B	276,000.00	0.00	7.00	-7.00 85.0 -7.00
04/27/2018	75500881	SUSLOW, RONNIE		AT&T INC	B	59,500.00	0.00	7.00	-7.00 85.0 -7.00
04/27/2018	75500918	SUSLOW, ELISSA		AT&T INC	B	272,000.00	0.00	7.00	-7.00 85.0 -7.00
05/10/2018	75500933	LAWRENCE, IRA		INFINERA CORP	B	18,956.00	10.00	7.00	3.00 85.0 1.50
05/10/2018	75500933	LAWRENCE, IRA		VOYAGER THERAPEUTICS INC COM	B	35,220.00	10.00	7.00	3.00 85.0 1.50
05/11/2018	75500933	LAWRENCE, IRA		VOYAGER THERAPEUTICS INC COM	S	37,380.00	10.00	7.00	3.00 85.0 1.50
05/15/2018	75500933	LAWRENCE, IRA		HOLLYSYS AUTOMATION TECHNOLOGIES LT S		25,951.00	10.00	7.00	3.00 85.0 1.50
05/15/2018	75500935	LAWRENCE, IRA		VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		23,820.00	10.01	7.00	3.01 85.0 1.51
05/15/2018	75501161	LAWRENCE, DAVID		INFINERA CORP	B	19,440.00	10.00	7.00	3.00 85.0 1.50
05/18/2018	75501161	LAWRENCE, DAVID		VOYAGER THERAPEUTICS INC COM	B	37,040.00	10.00	7.00	3.00 85.0 1.50
05/24/2018	75501161	LAWRENCE, DAVID		VOYAGER THERAPEUTICS INC COM	S	38,780.00	10.00	7.00	3.00 85.0 1.50
05/29/2018	75501189	IRA S LAWRENCE TTEE		NUTANIX INC CL A	B	52,460.00	10.00	7.00	3.00 85.0 1.50
05/11/2018	75502141	BOSGANG, ALVIN		ALTABA INC COM	S	2,001,600.00	0.00	7.00	-7.00 85.0 -7.00
05/11/2018	75502141	BOSGANG, ALVIN		ALTABA INC COM	S	1,798,000.00	0.00	7.00	-7.00 85.0 -7.00
05/11/2018	75502141	BOSGANG, ALVIN		ALTABA INC COM	S	2,073,200.00	0.00	7.00	-7.00 85.0 -7.00
05/18/2018	75502141	BOSGANG, ALVIN		CITIGROUP INC COM	B	2,860,000.00	0.00	7.00	-7.00 85.0 -7.00
05/18/2018	75502141	BOSGANG, ALVIN		CITIGROUP INC COM	B	3,600,000.00	0.00	7.00	-7.00 85.0 -7.00
05/18/2018	75502141	BOSGANG, ALVIN		CITIGROUP INC COM	S	630,000.00	0.00	7.00	-7.00 85.0 -7.00
05/22/2018	75502141	BOSGANG, ALVIN		CITIGROUP INC COM	S	5,750,238.68	0.00	7.00	-7.00 85.0 -7.00
05/24/2018	75502141	BOSGANG, ALVIN		CITIGROUP INC COM	B	143,000.00	0.00	7.00	-7.00 85.0 -7.00
05/24/2018	75502141	BOSGANG, ALVIN		CITIGROUP INC COM	B	16,610,400.00	0.00	7.00	-7.00 85.0 -7.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$36,362,985.68</b>		<b>\$90.01</b>	<b>\$147.00</b>	<b>\$-56.99</b>	<b>\$-70.49</b>

**PRODUCT CATEGORY: OPTIONS**

04/30/2018	75500881	SUSLOW, RONNIE	CALL C	05/11/18	72	S	1,400.00	0.00	7.00	-7.00 85.0 -7.00
04/30/2018	75500881	SUSLOW, RONNIE	CALL T	05/18/18	35	S	660.00	0.00	7.00	-7.00 85.0 -7.00
05/02/2018	75500881	SUSLOW, RONNIE	CALL C	05/18/18	72	S	2,120.00	0.00	7.00	-7.00 85.0 -7.00
05/03/2018	75500881	SUSLOW, RONNIE	CALL C	05/11/18	70.50	S	1,699.50	0.00	7.00	-7.00 85.0 -7.00
05/04/2018	75500881	SUSLOW, RONNIE	CALL C	05/11/18	70.50	S	1,020.00	0.00	7.00	-7.00 85.0 -7.00
05/07/2018	75500881	SUSLOW, RONNIE	CALL C	05/18/18	72	S	800.00	0.00	7.00	-7.00 85.0 -7.00
05/07/2018	75500881	SUSLOW, RONNIE	PUT AABA	04/20/18	75.50	S	1,240.00	0.00	7.00	-7.00 85.0 -7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
05/08/2018	75500881	SUSLOW, RONNIE	CALL C	05/11/18	72	B	6,240.00	0.00	7.00	-7.00	85.0	-7.00
05/08/2018	75500881	SUSLOW, RONNIE	PUT AABA	05/18/18	71	S	240.00	0.00	7.00	-7.00	85.0	-7.00
05/08/2018	75500881	SUSLOW, RONNIE	PUT AABA	05/18/18	70.50	S	300.00	0.00	7.00	-7.00	85.0	-7.00
05/08/2018	75500881	SUSLOW, RONNIE	PUT AABA	05/18/18	72	S	1,240.00	0.00	7.00	-7.00	85.0	-7.00
05/10/2018	75500881	SUSLOW, RONNIE	CALL C	05/11/18	70.50	B	72,395.00	0.00	7.00	-7.00	85.0	-7.00
05/10/2018	75500881	SUSLOW, RONNIE	PUT C	05/18/18	70	S	3,877.00	0.00	7.00	-7.00	85.0	-7.00
05/11/2018	75500881	SUSLOW, RONNIE	CALL C	05/18/18	71	S	5,320.00	0.00	7.00	-7.00	85.0	-7.00
05/14/2018	75500881	SUSLOW, RONNIE	CALL C	05/18/18	72	B	9,060.00	0.00	7.00	-7.00	85.0	-7.00
05/15/2018	75500881	SUSLOW, RONNIE	CALL C	05/18/18	72	B	8,300.00	0.00	7.00	-7.00	85.0	-7.00
05/16/2018	75500881	SUSLOW, RONNIE	CALL C	05/18/18	71	B	6,560.00	0.00	7.00	-7.00	85.0	-7.00
05/16/2018	75500881	SUSLOW, RONNIE	PUT C	05/18/18	70	B	996.07	0.00	7.00	-7.00	85.0	-7.00
05/21/2018	75500881	SUSLOW, RONNIE	CALL WFC	05/25/18	56	S	20.00	0.00	7.00	-7.00	85.0	-7.00
05/21/2018	75500881	SUSLOW, RONNIE	PUT AABA	05/25/18	74	S	840.00	0.00	7.00	-7.00	85.0	-7.00
05/22/2018	75500881	SUSLOW, RONNIE	CALL WFC	05/25/18	56	S	480.00	0.00	7.00	-7.00	85.0	-7.00
05/22/2018	75500881	SUSLOW, RONNIE	CALL WFC	05/25/18	56	B	1,840.00	0.00	7.00	-7.00	85.0	-7.00
05/23/2018	75500881	SUSLOW, RONNIE	PUT AABA	06/01/18	72.50	S	2,320.00	0.00	7.00	-7.00	85.0	-7.00
05/23/2018	75500881	SUSLOW, RONNIE	PUT AABA	06/01/18	72.50	S	1,575.00	0.00	7.00	-7.00	85.0	-7.00
05/24/2018	75500881	SUSLOW, RONNIE	PUT AABA	06/01/18	72.50	S	880.00	0.00	7.00	-7.00	85.0	-7.00
05/24/2018	75500881	SUSLOW, RONNIE	PUT AABA	06/01/18	74.50	S	1,500.00	0.00	7.00	-7.00	85.0	-7.00
05/25/2018	75500881	SUSLOW, RONNIE	CALL T	06/15/18	35	S	1,114.29	0.00	7.00	-7.00	85.0	-7.00
05/25/2018	75500881	SUSLOW, RONNIE	PUT AABA	06/01/18	72.50	S	100.00	0.00	7.00	-7.00	85.0	-7.00
05/25/2018	75500881	SUSLOW, RONNIE	PUT AABA	06/01/18	72.50	S	200.00	0.00	7.00	-7.00	85.0	-7.00
05/25/2018	75500881	SUSLOW, RONNIE	PUT AABA	06/08/18	73	S	1,140.00	0.00	7.00	-7.00	85.0	-7.00
05/07/2018	75500882	SUSLOW, RONNIE	PUT AABA	05/11/18	71.50	S	400.00	0.00	7.00	-7.00	85.0	-7.00
05/07/2018	75500882	SUSLOW, RONNIE	PUT AABA	05/11/18	73	S	460.00	0.00	7.00	-7.00	85.0	-7.00
05/07/2018	75500882	SUSLOW, RONNIE	PUT AABA	05/11/18	73	B	420.00	0.00	7.00	-7.00	85.0	-7.00
05/09/2018	75500882	SUSLOW, RONNIE	PUT AABA	05/18/18	72	S	1,880.00	0.00	7.00	-7.00	85.0	-7.00
05/25/2018	75500882	SUSLOW, RONNIE	PUT AABA	06/08/18	72.50	S	620.00	0.00	7.00	-7.00	85.0	-7.00
05/29/2018	75500882	SUSLOW, RONNIE	PUT AABA	06/08/18	72.50	S	600.00	0.00	7.00	-7.00	85.0	-7.00
05/30/2018	75500882	SUSLOW, RONNIE	PUT AABA	06/08/18	72.50	S	1,000.00	0.00	7.00	-7.00	85.0	-7.00
04/30/2018	75500918	SUSLOW, ELISSA	CALL C	05/11/18	72.50	S	2,080.00	0.00	7.00	-7.00	85.0	-7.00
04/30/2018	75500918	SUSLOW, ELISSA	CALL T	05/18/18	34.50	S	1,200.00	0.00	7.00	-7.00	85.0	-7.00
05/02/2018	75500918	SUSLOW, ELISSA	CALL C	05/11/18	72.50	S	1,600.00	0.00	7.00	-7.00	85.0	-7.00
05/02/2018	75500918	SUSLOW, ELISSA	CALL C	05/18/18	71	S	2,352.38	0.00	7.00	-7.00	85.0	-7.00
05/03/2018	75500918	SUSLOW, ELISSA	CALL C	05/11/18	70.50	S	1,699.50	0.00	7.00	-7.00	85.0	-7.00
05/04/2018	75500918	SUSLOW, ELISSA	CALL C	05/11/18	70	S	2,333.33	0.00	7.00	-7.00	85.0	-7.00
05/07/2018	75500918	SUSLOW, ELISSA	CALL C	05/18/18	71.50	S	1,100.00	0.00	7.00	-7.00	85.0	-7.00
05/08/2018	75500918	SUSLOW, ELISSA	CALL C	05/11/18	72.50	B	12,502.40	0.00	7.00	-7.00	85.0	-7.00
05/08/2018	75500918	SUSLOW, ELISSA	CALL C	05/11/18	72.50	B	6,000.00	0.00	7.00	-7.00	85.0	-7.00
05/08/2018	75500918	SUSLOW, ELISSA	PUT AABA	05/18/18	72.50	S	2,100.00	0.00	7.00	-7.00	85.0	-7.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
05/09/2018	75500918	SUSLOW, ELISSA	CALL C	05/11/18	70	B	36,992.04	0.00	7.00	-7.00	85.0	-7.00
05/09/2018	75500918	SUSLOW, ELISSA	PUT C	05/11/18	70	S	887.96	0.00	7.00	-7.00	85.0	-7.00
05/10/2018	75500918	SUSLOW, ELISSA	CALL C	05/11/18	70.50	B	44,358.00	0.00	7.00	-7.00	85.0	-7.00
05/10/2018	75500918	SUSLOW, ELISSA	PUT C	05/18/18	70	S	2,238.00	0.00	7.00	-7.00	85.0	-7.00
05/11/2018	75500918	SUSLOW, ELISSA	CALL C	05/18/18	71	S	7,971.00	0.00	7.00	-7.00	85.0	-7.00
05/11/2018	75500918	SUSLOW, ELISSA	CALL C	05/18/18	71	S	2,840.00	0.00	7.00	-7.00	85.0	-7.00
05/14/2018	75500918	SUSLOW, ELISSA	CALL C	05/18/18	71	B	18,818.00	0.00	7.00	-7.00	85.0	-7.00
05/14/2018	75500918	SUSLOW, ELISSA	CALL C	05/18/18	71.50	B	7,790.00	0.00	7.00	-7.00	85.0	-7.00
05/15/2018	75500918	SUSLOW, ELISSA	CALL C	05/18/18	71.50	B	5,050.00	0.00	7.00	-7.00	85.0	-7.00
05/16/2018	75500918	SUSLOW, ELISSA	CALL C	05/18/18	71	B	3,140.00	0.00	7.00	-7.00	85.0	-7.00
05/16/2018	75500918	SUSLOW, ELISSA	CALL C	05/18/18	71	B	3,275.00	0.00	7.00	-7.00	85.0	-7.00
05/16/2018	75500918	SUSLOW, ELISSA	PUT C	05/18/18	70	B	585.93	0.00	7.00	-7.00	85.0	-7.00
05/17/2018	75500918	SUSLOW, ELISSA	CALL C	05/18/18	71	B	11,840.00	0.00	7.00	-7.00	85.0	-7.00
05/21/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/01/18	73	S	1,260.00	0.00	7.00	-7.00	85.0	-7.00
05/23/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/01/18	72.50	S	2,320.00	0.00	7.00	-7.00	85.0	-7.00
05/23/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/01/18	72.50	S	1,575.00	0.00	7.00	-7.00	85.0	-7.00
05/24/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/01/18	72.50	S	880.00	0.00	7.00	-7.00	85.0	-7.00
05/24/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/01/18	74.50	S	1,500.00	0.00	7.00	-7.00	85.0	-7.00
05/25/2018	75500918	SUSLOW, ELISSA	CALL T	06/15/18	35	S	1,485.71	0.00	7.00	-7.00	85.0	-7.00
05/25/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/08/18	73.50	S	1,400.00	0.00	7.00	-7.00	85.0	-7.00
05/29/2018	75500953	SUSLOW, STEPHEN	CALL T	06/15/18	35	S	320.00	0.00	7.00	-7.00	85.0	-7.00
05/29/2018	75500953	SUSLOW, STEPHEN	PUT T	06/15/18	31	S	880.00	0.00	7.00	-7.00	85.0	-7.00
05/09/2018	75500954	SUSLOW, STEPHEN	PUT AABA	05/18/18	70.50	S	3,360.00	0.00	7.00	-7.00	85.0	-7.00
05/09/2018	75500954	SUSLOW, STEPHEN	PUT AABA	05/18/18	72.50	S	2,520.00	0.00	7.00	-7.00	85.0	-7.00
05/14/2018	75500954	SUSLOW, STEPHEN	PUT AABA	05/25/18	72	S	800.00	0.00	7.00	-7.00	85.0	-7.00
05/23/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/01/18	73	S	4,040.00	0.00	7.00	-7.00	85.0	-7.00
05/29/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/01/18	73	B	91.00	0.00	7.00	-7.00	85.0	-7.00
05/30/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/08/18	73	S	1,180.00	0.00	7.00	-7.00	85.0	-7.00
05/30/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/08/18	73.50	S	1,700.00	0.00	7.00	-7.00	85.0	-7.00
05/30/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/08/18	74	S	2,740.00	0.00	7.00	-7.00	85.0	-7.00
05/25/2018	75500986	SUSLOVICH, JACOB	CALL T	06/15/18	35	S	800.00	0.00	7.00	-7.00	85.0	-7.00
05/25/2018	75501215	SISTERS TWO LLC	CALL T	06/15/18	35	S	800.00	0.00	7.00	-7.00	85.0	-7.00
05/25/2018	75502117	BLUMA ROTTERMANN TTEE	PUT T	06/15/18	31	S	1,600.00	160.00	7.00	153.00	85.0	129.00
04/30/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	72	S	7,820.00	391.00	7.00	384.00	85.0	325.35
04/30/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	71	S	1,820.00	91.00	7.00	84.00	85.0	70.35
04/30/2018	75502141	BOSGANG, ALVIN	CALL SPY	01/20/17	222	S	2,160.00	108.00	7.00	101.00	85.0	84.80
05/01/2018	75502141	BOSGANG, ALVIN	CALL AABA	05/11/18	72	S	19,454.00	0.00	7.00	-7.00	85.0	-7.00
05/01/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	72	S	1,440.00	72.00	7.00	65.00	85.0	54.20
05/01/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	71	S	1,424.00	71.00	7.00	64.00	85.0	53.35
05/01/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	71.50	S	1,260.00	63.00	7.00	56.00	85.0	46.55

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
05/01/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/04/18	72	B	79,754.00	0.00	7.00	-7.00	85.0	-7.00
05/02/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	71	S	7,527.62	0.00	7.00	-7.00	85.0	-7.00
05/02/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	71.50	S	3,700.00	0.00	7.00	-7.00	85.0	-7.00
05/03/2018	75502141	BOSGANG, ALVIN	CALL C	05/11/18	70	S	3,760.00	188.00	7.00	181.00	85.0	152.80
05/03/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	71	S	6,220.00	311.00	7.00	304.00	85.0	257.35
05/03/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	71	S	3,480.00	174.00	7.00	167.00	85.0	140.90
05/03/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	70	S	8,460.00	423.00	7.00	416.00	85.0	352.55
05/04/2018	75502141	BOSGANG, ALVIN	CALL AABA	05/04/18	72	B	64,738.00	0.00	7.00	-7.00	85.0	-7.00
05/04/2018	75502141	BOSGANG, ALVIN	CALL AABA	05/11/18	72.50	S	27,556.00	243.00	7.00	236.00	85.0	199.55
05/04/2018	75502141	BOSGANG, ALVIN	CALL AABA	05/11/18	73	S	42,050.00	0.00	7.00	-7.00	85.0	-7.00
05/04/2018	75502141	BOSGANG, ALVIN	CALL C	05/11/18	70	S	4,666.67	233.00	7.00	226.00	85.0	191.05
05/04/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	71	S	6,200.00	310.00	7.00	303.00	85.0	256.50
05/04/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	71	S	3,620.00	181.00	7.00	174.00	85.0	146.85
05/04/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	71.50	S	2,100.00	105.00	7.00	98.00	85.0	82.25
05/04/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	70	S	8,980.00	449.00	7.00	442.00	85.0	374.65
05/07/2018	75502141	BOSGANG, ALVIN	CALL AABA	05/11/18	72.50	S	1,200.00	60.00	7.00	53.00	85.0	44.00
05/07/2018	75502141	BOSGANG, ALVIN	CALL AABA	05/11/18	72.50	S	1,606.00	80.00	7.00	73.00	85.0	61.00
05/07/2018	75502141	BOSGANG, ALVIN	CALL C	05/11/18	70	S	2,700.00	135.00	7.00	128.00	85.0	107.75
05/07/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	71	S	7,600.00	380.00	7.00	373.00	85.0	316.00
05/07/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	71	S	3,420.00	171.00	7.00	164.00	85.0	138.35
05/07/2018	75502141	BOSGANG, ALVIN	CALL C	05/18/18	70	S	7,000.00	300.00	7.00	293.00	85.0	248.00
05/07/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/18/18	70.50	S	1,500.00	75.00	7.00	68.00	85.0	56.75
05/07/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/18/18	71	S	2,002.00	100.00	7.00	93.00	85.0	78.00
05/07/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/18/18	70.50	S	5,390.00	269.00	7.00	262.00	85.0	221.65
05/07/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/18/18	72	S	10,925.00	546.00	7.00	539.00	85.0	457.10
05/07/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/18/18	70.50	S	11,400.00	450.00	7.00	443.00	85.0	375.50
05/07/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/18/18	72.50	S	7,340.00	360.00	7.00	353.00	85.0	299.00
05/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/18/18	70.50	S	11,420.00	571.00	7.00	564.00	85.0	478.35
05/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/18/18	73.50	S	6,300.00	300.00	7.00	293.00	85.0	248.00
05/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/25/18	72	S	6,000.00	300.00	7.00	293.00	85.0	248.00
05/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/18/18	73.50	S	6,222.00	300.00	7.00	293.00	85.0	248.00
05/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/25/18	72	S	13,700.00	685.00	7.00	678.00	85.0	575.25
05/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/25/18	72.50	S	17,060.00	750.00	7.00	743.00	85.0	630.50
05/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/25/18	73	S	21,256.00	750.00	7.00	743.00	85.0	630.50
05/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/25/18	73.50	S	11,220.00	300.00	7.00	293.00	85.0	248.00
05/10/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/18/18	70.50	S	1,520.00	76.00	7.00	69.00	85.0	57.60
05/10/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/18/18	73.50	S	2,100.00	105.00	7.00	98.00	85.0	82.25
05/10/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/25/18	72	S	5,480.00	274.00	7.00	267.00	85.0	225.90
05/10/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/25/18	72.50	S	13,081.00	654.00	7.00	647.00	85.0	548.90
05/10/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/25/18	73	S	16,621.00	831.00	7.00	824.00	85.0	699.35

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
05/10/2018	75502141 BOSGANG, ALVIN	PUT AABA 05/25/18	73.50	S	21,860.00	1,050.00	7.00	1,043.00	85.0	885.50
05/11/2018	75502141 BOSGANG, ALVIN	CALL AABA 05/11/18	73	B	61,125.00	0.00	7.00	-7.00	85.0	-7.00
05/11/2018	75502141 BOSGANG, ALVIN	CALL C 05/11/18	72	B	18,115.00	0.00	7.00	-7.00	85.0	-7.00
05/11/2018	75502141 BOSGANG, ALVIN	CALL C 05/11/18	72.50	B	6,800.00	0.00	7.00	-7.00	85.0	-7.00
05/11/2018	75502141 BOSGANG, ALVIN	CALL C 05/11/18	70	B	249,774.00	0.00	7.00	-7.00	85.0	-7.00
05/11/2018	75502141 BOSGANG, ALVIN	CALL C 05/11/18	72.50	B	69,582.00	0.00	7.00	-7.00	85.0	-7.00
05/11/2018	75502141 BOSGANG, ALVIN	PUT AABA 05/25/18	73.50	S	30,854.70	0.00	7.00	-7.00	85.0	-7.00
05/11/2018	75502141 BOSGANG, ALVIN	PUT C 05/25/18	72	S	207,566.00	0.00	7.00	-7.00	85.0	-7.00
05/14/2018	75502141 BOSGANG, ALVIN	CALL C 05/18/18	71	S	2,338.00	116.00	7.00	109.00	85.0	91.60
05/14/2018	75502141 BOSGANG, ALVIN	CALL C 05/18/18	71	S	5,040.00	252.00	7.00	245.00	85.0	207.20
05/14/2018	75502141 BOSGANG, ALVIN	PUT AABA 06/01/18	72.50	S	1,800.00	90.00	7.00	83.00	85.0	69.50
05/14/2018	75502141 BOSGANG, ALVIN	PUT AABA 06/01/18	72	S	2,320.00	116.00	7.00	109.00	85.0	91.60
05/14/2018	75502141 BOSGANG, ALVIN	PUT AABA 06/01/18	72.50	S	5,000.00	250.00	7.00	243.00	85.0	205.50
05/14/2018	75502141 BOSGANG, ALVIN	PUT AABA 06/01/18	73	S	5,800.00	290.00	7.00	283.00	85.0	239.50
05/14/2018	75502141 BOSGANG, ALVIN	PUT AABA 06/01/18	72.50	S	6,220.00	300.00	7.00	293.00	85.0	248.00
05/14/2018	75502141 BOSGANG, ALVIN	PUT AABA 05/25/18	72.50	S	1,300.00	65.00	7.00	58.00	85.0	48.25
05/14/2018	75502141 BOSGANG, ALVIN	PUT AABA 05/25/18	73	S	2,960.00	148.00	7.00	141.00	85.0	118.80
05/14/2018	75502141 BOSGANG, ALVIN	PUT AABA 05/25/18	73.50	S	4,100.00	205.00	7.00	198.00	85.0	167.25
05/14/2018	75502141 BOSGANG, ALVIN	PUT AABA 05/25/18	74	S	5,400.00	270.00	7.00	263.00	85.0	222.50
05/14/2018	75502141 BOSGANG, ALVIN	PUT C 05/25/18	72	S	1,080.00	54.00	7.00	47.00	85.0	38.90
05/14/2018	75502141 BOSGANG, ALVIN	PUT C 05/25/18	70.50	S	1,883.00	94.00	7.00	87.00	85.0	72.90
05/14/2018	75502141 BOSGANG, ALVIN	PUT C 05/25/18	72	S	5,280.00	264.00	7.00	257.00	85.0	217.40
05/14/2018	75502141 BOSGANG, ALVIN	PUT C 05/25/18	71.50	S	7,440.00	300.00	7.00	293.00	85.0	248.00
05/15/2018	75502141 BOSGANG, ALVIN	CALL C 05/18/18	71	S	1,260.00	63.00	7.00	56.00	85.0	46.55
05/15/2018	75502141 BOSGANG, ALVIN	CALL C 05/18/18	71	S	4,905.00	245.00	7.00	238.00	85.0	201.25
05/15/2018	75502141 BOSGANG, ALVIN	PUT AABA 05/18/18	73.50	S	600.00	30.00	7.00	23.00	85.0	18.50
05/15/2018	75502141 BOSGANG, ALVIN	PUT AABA 05/18/18	74	S	280.00	14.00	7.00	7.00	85.0	4.90
05/16/2018	75502141 BOSGANG, ALVIN	CALL C 05/18/18	71	S	1,440.00	72.00	7.00	65.00	85.0	54.20
05/16/2018	75502141 BOSGANG, ALVIN	CALL C 05/18/18	71	S	2,780.00	139.00	7.00	132.00	85.0	111.15
05/16/2018	75502141 BOSGANG, ALVIN	PUT AABA 06/01/18	72.50	S	5,875.00	293.00	7.00	286.00	85.0	242.05
05/16/2018	75502141 BOSGANG, ALVIN	PUT AABA 06/01/18	73	S	7,540.00	377.00	7.00	370.00	85.0	313.45
05/16/2018	75502141 BOSGANG, ALVIN	PUT AABA 06/01/18	72.50	S	9,680.00	450.00	7.00	443.00	85.0	375.50
05/16/2018	75502141 BOSGANG, ALVIN	PUT AABA 05/25/18	73.50	S	3,240.00	162.00	7.00	155.00	85.0	130.70
05/16/2018	75502141 BOSGANG, ALVIN	PUT AABA 05/25/18	74	S	6,760.00	338.00	7.00	331.00	85.0	280.30
05/17/2018	75502141 BOSGANG, ALVIN	PUT AABA 06/01/18	72	S	1,620.00	81.00	7.00	74.00	85.0	61.85
05/17/2018	75502141 BOSGANG, ALVIN	PUT AABA 06/01/18	72.50	S	4,058.00	202.00	7.00	195.00	85.0	164.70
05/17/2018	75502141 BOSGANG, ALVIN	PUT AABA 06/01/18	73	S	7,140.00	357.00	7.00	350.00	85.0	296.45
05/17/2018	75502141 BOSGANG, ALVIN	PUT AABA 06/01/18	72.50	S	8,931.00	446.00	7.00	439.00	85.0	372.10
05/17/2018	75502141 BOSGANG, ALVIN	PUT AABA 05/25/18	73.50	S	4,228.00	211.00	7.00	204.00	85.0	172.35
05/17/2018	75502141 BOSGANG, ALVIN	PUT AABA 05/25/18	74	S	4,280.00	214.00	7.00	207.00	85.0	174.90

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION				
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
05/18/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/01/18	72.50	S	4,260.00	213.00	7.00	206.00	85.0	174.05
05/18/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/01/18	73	S	5,800.00	290.00	7.00	283.00	85.0	239.50
05/18/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/01/18	72.50	S	3,020.00	150.00	7.00	143.00	85.0	120.50
05/18/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/01/18	72.50	S	4,040.00	150.00	7.00	143.00	85.0	120.50
05/18/2018	75502141	BOSGANG, ALVIN	PUT	AABA	05/25/18	73.50	S	3,120.00	156.00	7.00	149.00	85.0	125.60
05/18/2018	75502141	BOSGANG, ALVIN	PUT	AABA	05/25/18	74	S	2,060.00	103.00	7.00	96.00	85.0	80.55
05/22/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/01/18	73	S	1,140.00	57.00	7.00	50.00	85.0	41.45
05/22/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/01/18	72.50	S	3,482.00	174.00	7.00	167.00	85.0	140.90
05/22/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/01/18	72.50	S	6,914.00	345.00	7.00	338.00	85.0	286.25
05/22/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/01/18	74.50	S	19,824.00	991.00	7.00	984.00	85.0	835.35
05/23/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/01/18	72.50	S	3,150.00	150.00	7.00	143.00	85.0	120.50
05/23/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/01/18	74.50	S	3,360.00	157.00	7.00	150.00	85.0	126.45
05/23/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/08/18	72	S	2,320.00	116.00	7.00	109.00	85.0	91.60
05/23/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/08/18	72.50	S	5,559.00	277.00	7.00	270.00	85.0	228.45
05/23/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/08/18	73	S	13,402.00	600.00	7.00	593.00	85.0	503.00
05/24/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/08/18	74	S	19,461.00	750.00	7.00	743.00	85.0	630.50
05/24/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/08/18	74.50	S	8,060.00	300.00	7.00	293.00	85.0	248.00
05/24/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/08/18	72.50	S	1,840.00	92.00	7.00	85.00	85.0	71.20
05/24/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/08/18	73	S	3,480.00	174.00	7.00	167.00	85.0	140.90
05/24/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/08/18	73.50	S	12,419.00	600.00	7.00	593.00	85.0	503.00
05/25/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/08/18	74	S	12,422.00	621.00	7.00	614.00	85.0	520.85
05/25/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/08/18	74.50	S	27,800.00	1,200.00	7.00	1,193.00	85.0	1,013.00
05/25/2018	75502141	BOSGANG, ALVIN	PUT	C	03/03/17	56.50	S	346,257.40	0.00	7.00	-7.00	85.0	-7.00
05/25/2018	75502141	BOSGANG, ALVIN	PUT	C	05/25/18	72	B	12,320.00	0.00	7.00	-7.00	85.0	-7.00
05/25/2018	75502141	BOSGANG, ALVIN	PUT	C	05/25/18	70.50	B	20,600.00	0.00	7.00	-7.00	85.0	-7.00
05/25/2018	75502141	BOSGANG, ALVIN	PUT	C	05/25/18	72	B	50,800.00	0.00	7.00	-7.00	85.0	-7.00
05/25/2018	75502141	BOSGANG, ALVIN	PUT	C	05/25/18	71.50	B	54,941.40	0.00	7.00	-7.00	85.0	-7.00
05/25/2018	75502141	BOSGANG, ALVIN	PUT	C	05/25/18	72	B	462,906.00	0.00	7.00	-7.00	85.0	-7.00
05/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/01/18	74.50	S	840.00	42.00	7.00	35.00	85.0	28.70
05/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/01/18	72.50	S	1,535.00	76.00	7.00	69.00	85.0	57.60
05/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/15/18	73	S	5,360.00	268.00	7.00	261.00	85.0	220.80
05/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/15/18	73.50	S	6,680.00	300.00	7.00	293.00	85.0	248.00
05/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/15/18	74	S	8,321.00	300.00	7.00	293.00	85.0	248.00
05/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/15/18	73.50	S	10,168.00	300.00	7.00	293.00	85.0	248.00
05/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/08/18	74	S	3,713.00	185.00	7.00	178.00	85.0	150.25
05/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/08/18	74.50	S	4,800.00	240.00	7.00	233.00	85.0	197.00
05/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/08/18	72.50	S	6,280.00	300.00	7.00	293.00	85.0	248.00
05/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	11/03/17	63.50	S	12,280.00	300.00	7.00	293.00	85.0	248.00
05/30/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/15/18	74	S	9,660.00	300.00	7.00	293.00	85.0	248.00
05/30/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/15/18	73.50	S	12,200.00	300.00	7.00	293.00	85.0	248.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

05/30/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/08/18	74.50	S	7,040.00	300.00	7.00	293.00	85.0	248.00
05/30/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/08/18	72.50	S	8,580.00	300.00	7.00	293.00	85.0	248.00
05/30/2018	75502141	BOSGANG, ALVIN	PUT	AABA	11/03/17	63.50	S	15,200.00	300.00	7.00	293.00	85.0	248.00
* OPTIONS SUBTOTAL *								\$2,878,784.90	\$31,430.00	\$1,470.00	\$29,960.00		\$25,245.50

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/31/2018	FEE COUNT - 1	0.00	8.80	0.00	8.80	85.0	7.48
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$8.80	\$0.00	\$8.80		\$7.48
*** BROKER TRANSACTIONS TOTAL ***		\$39,241,770.58	\$31,528.81	\$1,617.00	\$29,911.81		\$25,182.49

ADJUSTMENTS

05/31/2018	OTHER: OTHER-HEALTH INSURANCE	0.00	0.00	0.00	0.00	-556.05
	*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-556.05</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$25,182.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-556.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-39.41
SIPC Assessment	\$-78.82

Check Amount	\$24,508.21
Year-to-date Earnings	\$36,696.72