

All Companies
STATEMENT
April 20, 2018

MICHAEL-TERMINATED ROASA
17470 N Pacesetter Way
SUITE 620
Scottsdale, AZ 85255

BROKER #: 165
BRANCH:

COMMISSION STATEMENT for MICHAEL-TERMINATED ROASA

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | POSTAGE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|-------------|-------------------------------------|----------------------|---------------------|------|---------|-------------------|--------------------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | | |
| 05/01/0017 | #0005623175 | VIRTUS PREMIUM ALPHASECTOR FUND CLA | 0.00 | 6.82 | 92.0 | 0.00 | 6.27 | 0.00 | 6.27 |
| 07/01/0017 | #0005623175 | VIRTUS PREMIUM ALPHASECTOR FUND CLA | 0.00 | 6.94 | 92.0 | 0.00 | 6.38 | 0.00 | 6.38 |
| 08/01/0017 | #0005623175 | VIRTUS PREMIUM ALPHASECTOR FUND CLA | 0.00 | 6.89 | 92.0 | 0.00 | 6.34 | 0.00 | 6.34 |
| 04/30/0201 | #0005623175 | VIRTUS PREMIUM ALPHASECTOR FUND CLA | 0.00 | 6.40 | 92.0 | 0.00 | 5.89 | 0.00 | 5.89 |
| 06/30/0201 | #0005623175 | VIRTUS PREMIUM ALPHASECTOR FUND CLA | 0.00 | 6.74 | 92.0 | 0.00 | 6.20 | 0.00 | 6.20 |
| 09/30/0201 | #0005623175 | VIRTUS PREMIUM ALPHASECTOR FUND CLA | 0.00 | 13.70 | 92.0 | 0.00 | 12.60 | 0.00 | 12.60 |
| 10/31/2017 | #0005623175 | VIRTUS PREMIUM ALPHASECTOR FUND CLA | 0.00 | 7.26 | 92.0 | 0.00 | 6.68 | 0.00 | 6.68 |
| 11/30/2017 | #0005623175 | VIRTUS PREMIUM ALPHASECTOR FUND CLA | 0.00 | 7.26 | 92.0 | 0.00 | 6.68 | 0.00 | 6.68 |
| 12/31/2017 | #0005623175 | VIRTUS PREMIUM ALPHASECTOR FUND CLA | 0.00 | 7.72 | 92.0 | 0.00 | 7.10 | 0.00 | 7.10 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$0.00 | \$69.73 | | \$0.00 | \$64.14 | \$0.00 | \$64.14 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$0.00 | \$69.73 | | \$0.00 | \$64.14 | \$0.00 | \$64.14 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$64.14 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------|----------------|
| Check Amount | \$64.14 |
|---------------------|----------------|