

COMMISSION STATEMENT

April 30, 2017

MARCO CASALE

151 BENNINGTON ROAD

FREEHOLD, NJ 07728

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/21/2017	QGW033474	PILLERA, CHARLES	VEEVA SYSTEMS INC CL A COM	S	-17,272.20	100.00	6.00	94.00	80.0 75.20
04/21/2017	QGW033599	PILLERA, CHARLES	VEEVA SYSTEMS INC CL A COM	S	-26,298.10	150.00	6.00	144.00	80.0 115.20
04/13/2017	QGW033631	RAMU, JULIO	ISHARES MSCI THAILAND CAPPED ETF	S	-20,480.20	100.00	6.00	94.00	80.0 75.20
04/17/2017	QGW033631	RAMU, JULIO	BARCLAYS BANK PLC IPATH S&P 500 VIX B		17,970.00	306.00	6.00	300.00	80.0 240.00
04/11/2017	QGW033649	ARLENE M FRANCIS,	TESLA MOTORS INC	B	30,840.77	75.00	6.00	69.00	80.0 55.20
04/18/2017	QGW033649	ARLENE M FRANCIS,	BCB BANCORP INC COM	S	-67,830.00	350.00	6.00	344.00	80.0 275.20
04/21/2017	QGW033649	ARLENE M FRANCIS,	VEEVA SYSTEMS INC CL A COM	S	-42,123.92	150.00	6.00	144.00	80.0 115.20
04/11/2017	QGW033698	PRAGER, ANNETTE	DYNEX CAP INC COM NEW	S	-10,886.20	255.00	6.00	249.00	80.0 199.20
03/29/2017	QGW033730	KIM, IN	ARMOUR RESIDENTIAL REIT INC COM	S	-3,021.08	67.00	6.00	61.00	80.0 48.80
03/29/2017	QGW033730	KIM, IN	GAMCO GLOBAL GOLD NATURAL RESOURCES S		-8,360.25	221.00	6.00	215.00	80.0 172.00
03/29/2017	QGW033730	KIM, IN	WESTERN REFINING INC	S	-13,795.34	217.00	6.00	211.00	80.0 168.80
03/31/2017	QGW033730	KIM, IN	VASCO DATA SEC INTL	S	-6,600.00	139.00	6.00	133.00	80.0 106.40
04/07/2017	QGW033730	KIM, IN	VIPSHOP HLDGS LTD SPONS ADR ISIN#US S		-6,632.60	125.00	6.00	119.00	80.0 95.20
04/11/2017	QGW033730	KIM, IN	SALESFORCE.COM INC	B	50,230.74	471.00	6.00	465.00	80.0 372.00
03/31/2017	QGW033763	LYONS, SALLY	FIREEYE INC COM	B	6,435.00	137.00	6.00	131.00	80.0 104.80
04/21/2017	QGW033763	LYONS, SALLY	VEEVA SYSTEMS INC CL A COM	S	-15,900.00	100.00	6.00	94.00	80.0 75.20
04/11/2017	QGW033805	LYONS, SALLY	VEEVA SYSTEMS INC CL A COM	S	-50,700.00	250.00	6.00	244.00	80.0 195.20
04/11/2017	QGW033805	LYONS, SALLY	VEEVA SYSTEMS INC CL A COM	S	50,700.00	-250.00	-6.00	-244.00	80.0 -195.20 C
04/11/2017	QGW033805	LYONS, SALLY	VEEVA SYSTEMS INC CL A COM	S	-50,700.00	100.00	6.00	94.00	80.0 75.20
04/13/2017	QGW033805	LYONS, SALLY	DELTA AIR LINES INC DEL COM	B	44,096.80	471.00	6.00	465.00	80.0 372.00
04/18/2017	QGW033805	LYONS, SALLY	LINE CORP SPONSORED ADR	S	-18,220.00	268.00	6.00	262.00	80.0 209.60
04/20/2017	QGW033805	LYONS, SALLY	DELTA AIR LINES INC DEL COM	S	-45,882.00	150.00	6.00	144.00	80.0 115.20
04/20/2017	QGW033805	LYONS, SALLY	SALESFORCE.COM INC	B	67,124.80	570.00	6.00	564.00	80.0 451.20
04/21/2017	QGW033805	LYONS, SALLY	FIREEYE INC COM	B	12,240.00	238.00	6.00	232.00	80.0 185.60
04/11/2017	QGW034761	SYM CZAK, STEPHEN	SALESFORCE.COM INC	B	41,884.50	404.00	6.00	398.00	80.0 318.40
04/13/2017	QGW034761	SYM CZAK, STEPHEN	DELTA AIR LINES INC DEL COM	B	44,900.00	375.00	6.00	369.00	80.0 295.20
04/18/2017	QGW034894	MODDERNO, PATRICIA	BCB BANCORP INC COM	S	-20,780.50	225.00	6.00	219.00	80.0 175.20
04/21/2017	QGW034894	MODDERNO, PATRICIA	FIREEYE INC COM	B	12,263.50	239.00	6.00	233.00	80.0 186.40
04/25/2017	QGW034894	MODDERNO, PATRICIA	TERRA NITROGEN CO LP COMMON UNITS	B	20,549.43	200.00	6.00	194.00	80.0 155.20
04/07/2017	QGW035438	RIZZO, GIOVANNI	SALESFORCE.COM INC	S	-42,198.10	225.00	6.00	219.00	80.0 175.20
04/07/2017	QGW035438	RIZZO, GIOVANNI	VEEVA SYSTEMS INC CL A COM	S	-25,580.80	225.00	6.00	219.00	80.0 175.20

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/13/2017	QGW035438	RIZZO, GIOVANNI	BARCLAYS BANK PLC IPATH S&P 500 VIX B	17,990.00	303.00	6.00	297.00	80.0	237.60
04/13/2017	QGW035438	RIZZO, GIOVANNI	DELTA AIR LINES INC DEL COM	B 30,881.76	383.00	6.00	377.00	80.0	301.60
04/18/2017	QGW035438	RIZZO, GIOVANNI	BCB BANCORP INC COM	S -17,192.50	225.00	6.00	219.00	80.0	175.20
04/25/2017	QGW035438	RIZZO, GIOVANNI	DYNEX CAP INC COM NEW	S -17,303.60	100.00	6.00	94.00	80.0	75.20
04/11/2017	QGW036584	TREIBER, NANCY	VEEVA SYSTEMS INC CL A COM	S -50,700.00	250.00	6.00	244.00	80.0	195.20
04/11/2017	QGW036584	TREIBER, NANCY	VEEVA SYSTEMS INC CL A COM	S 50,700.00	-250.00	-6.00	-244.00	80.0	-195.20 C
04/11/2017	QGW036584	TREIBER, NANCY	VEEVA SYSTEMS INC CL A COM	S -50,700.00	100.00	6.00	94.00	80.0	75.20
04/13/2017	QGW036584	TREIBER, NANCY	BARCLAYS BANK PLC IPATH S&P 500 VIX B	17,990.00	303.00	6.00	297.00	80.0	237.60
04/13/2017	QGW036584	TREIBER, NANCY	DELTA AIR LINES INC DEL COM	B 44,032.00	470.00	6.00	464.00	80.0	371.20
04/17/2017	QGW036584	TREIBER, NANCY	MARATHON OIL CORP	S -15,910.00	50.00	6.00	44.00	80.0	35.20
04/25/2017	QGW036584	TREIBER, NANCY	AXON ENTERPRISE INC COM STOCK	S -12,115.60	100.00	6.00	94.00	80.0	75.20
04/25/2017	QGW036584	TREIBER, NANCY	DYNEX CAP INC COM NEW	S -16,657.52	100.00	6.00	94.00	80.0	75.20
04/05/2017	QGW036592	TREIBER, NANCY	TASER INTERNATIONAL INC	B 10,975.00	210.00	6.00	204.00	80.0	163.20
04/11/2017	QGW036592	TREIBER, NANCY	AXON ENTERPRISE INC COM STOCK	S -11,635.00	75.00	6.00	69.00	80.0	55.20
04/24/2017	QGW036592	TREIBER, NANCY	MARATHON OIL CORP	S -9,951.50	100.00	6.00	94.00	80.0	75.20
04/24/2017	QGW036592	TREIBER, NANCY	SILICON MOTION TECHNOLOGY CORPORATI B	22,560.00	307.00	6.00	301.00	80.0	240.80
03/29/2017	QGW036816	RIZZO, GIOVANNI	FIREEYE INC COM	B 12,742.60	245.00	6.00	239.00	80.0	191.20
03/29/2017	QGW036915	CASSERINO, PAULA	SACHEM CAPITAL CORP COMMON SHARES	S -20,840.00	125.00	6.00	119.00	80.0	95.20
03/31/2017	QGW036915	CASSERINO, PAULA	FIREEYE INC COM	B 12,870.00	246.00	6.00	240.00	80.0	192.00
04/07/2017	QGW036915	CASSERINO, PAULA	TERRA NITROGEN CO LP COMMON UNITS	B 5,809.92	81.00	6.00	75.00	80.0	60.00
04/18/2017	QGW036915	CASSERINO, PAULA	BCB BANCORP INC COM	S -14,950.00	75.00	6.00	69.00	80.0	55.20
* STOCKS SUBTOTAL *				\$-105,430.19	\$10,251.00	\$288.00	\$9,963.00		\$7,970.40
<u>PRODUCT CATEGORY: BONDS</u>									
04/07/2017	QGW033730	KIM, IN	MORGAN STANLEY MEDIUM TERM SR NTS F S	-35,812.50	500.00	7.50	492.50	80.0	394.00
03/29/2017	QGW033763	LYONS, SALLY	CREDIT SUISSE LONDON BRH MTN ISIN#U S	-7,675.00	150.00	7.50	142.50	80.0	114.00
* BONDS SUBTOTAL *				\$-43,487.50	\$650.00	\$15.00	\$635.00		\$508.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
04/11/2017	QGW033730	KIM, IN	CALL 100 SALESFORCE.COM INC COM STO S	-1,402.98	72.86	6.00	66.86	80.0	53.49
04/26/2017	QGW033805	LYONS, SALLY	CALL 100 SALESFORCE.COM INC COM STO S	-400.00	50.00	6.00	44.00	80.0	35.20
04/26/2017	QGW033805	LYONS, SALLY	CALL 100 WHITING PETE CORP COM EXP S	-750.00	75.00	6.00	69.00	80.0	55.20
04/12/2017	QGW034761	SYMCZAK, STEPHEN	CALL 100 SALESFORCE.COM INC COM STO S	-1,060.00	75.00	6.00	69.00	80.0	55.20
04/17/2017	QGW034761	SYMCZAK, STEPHEN	CALL 100 DELTA AIRLINES INC COM NEW S	-510.00	55.00	6.00	49.00	80.0	39.20
04/17/2017	QGW035438	RIZZO, GIOVANNI	CALL 100 BARCLAYS BK PLC IPATH S&P S	-910.00	100.00	6.00	94.00	80.0	75.20
04/17/2017	QGW035438	RIZZO, GIOVANNI	CALL 100 DELTA AIRLINES INC COM NEW S	-350.00	50.00	6.00	44.00	80.0	35.20
04/27/2017	QGW035438	RIZZO, GIOVANNI	CALL 100 WHITING PETE CORP COM EXP S	-600.00	75.00	6.00	69.00	80.0	55.20
04/07/2017	QGW036584	TREIBER, NANCY	CALL 100 MACYS INC COM EXP 05-12-17 S	-243.00	50.00	6.00	44.00	80.0	35.20
04/10/2017	QGW036584	TREIBER, NANCY	CALL 100 WHITING PETE CORP COM EXP S	-1,100.00	100.00	6.00	94.00	80.0	75.20
04/17/2017	QGW036584	TREIBER, NANCY	CALL 100 BARCLAYS BK PLC IPATH S&P S	-910.00	100.00	6.00	94.00	80.0	75.20

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

04/17/2017	QGW036584	TREIBER, NANCY	CALL 100 DELTA AIRLINES INC COM NEW S	-500.00	65.00	6.00	59.00	80.0	47.20
04/10/2017	QGW036592	TREIBER, NANCY	CALL 100 WHITING PETE CORP COM EXP S	-825.00	100.00	6.00	94.00	80.0	75.20
04/27/2017	QGW036816	RIZZO, GIOVANNI	CALL 100 WHITING PETE CORP COM EXP S	-240.00	60.00	6.00	54.00	80.0	43.20
* OPTIONS SUBTOTAL *				\$-9,800.98	\$1,027.86	\$84.00	\$943.86		\$755.09
*** BROKER TRANSACTIONS TOTAL ***				\$-158,718.67	\$11,928.86	\$387.00	\$11,541.86		\$9,233.49

ADJUSTMENTS

04/30/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-150.00
04/30/2017	OTHER: OTHER-QUEST	0.00	0.00	0.00	0.00	-100.00
04/30/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-5.00
	*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-255.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$9,233.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-255.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-14.91
SIPC Assessment	\$-223.67

Check Amount	\$8,739.91
Year-to-date Earnings	\$28,216.30