



COMMISSION STATEMENT

May 28, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CHRISTOPHER MILGROOM</u>								
05/15/2018	***-**-6647 - CABLE, ROBERT	AIM SELECT REAL ESTATE INCOME FD-CL	6.60	0.07	0.00	0.07	15.0	0.01
	ACCOUNT #: 07122874988	BUY 1 Units @8.8800						
05/28/2018	***-**-9375 - KATZ, ADAM J	BLACKROCK TRAILS	0.00	0.37	0.00	0.37	15.0	0.06
	ACCOUNT #: 5290566834	BUY 0 Units @0.0000						
05/28/2018	***-**-9375 - KATZ, ADAM J	BLACKROCK TRAILS	0.00	-0.37	0.00	-0.37	15.0	-0.06
	ACCOUNT #: 5290566834	BUY 0 Units @0.0000						
05/28/2018	***-**-9375 - KATZ, ADAM J	BLACKROCK TRAILS	0.00	0.25	0.00	0.25	15.0	0.04
	ACCOUNT #: 5290566834	BUY 0 Units @0.0000						
05/28/2018	***-**-9109 - PASCH, ROBERT	BLACKROCK TRAILS	0.00	1.65	0.00	1.65	15.0	0.25
	ACCOUNT #: 51554475	BUY 0 Units @0.0000						
05/28/2018	***-**-80-P - PALM BEACH	BLACKROCK TRAILS	0.00	4.37	0.00	4.37	15.0	0.66
	ACCOUNT #: 51556504	BUY 0 Units @0.0000						
05/28/2018	***-**-2111 - SPECTRUM TOURS	BLACKROCK TRAILS	0.00	0.21	0.00	0.21	15.0	0.03
	ACCOUNT #: 52067550	BUY 0 Units @0.0000						
05/28/2018	***-**-844P - COLIN CAMPBELL	BLACKROCK TRAILS	0.00	0.29	0.00	0.29	15.0	0.04
	ACCOUNT #: 40959967	BUY 0 Units @0.0000						
05/15/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @54.1600						
05/15/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @58.9300						
05/15/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @65.5100						
05/20/2018	***-**-894I - GARCIA, MARIANO	ING ADVANTAGE SELECT	0.00	5.80	0.00	5.80	15.0	0.87
	ACCOUNT #: R109394-ZS	BUY 0 Units @0.0000						
05/20/2018	***-**-337I - GARCIA, SHELLEY	ING ADVANTAGE SELECT	0.00	5.31	0.00	5.31	15.0	0.80
	ACCOUNT #: R109397-ZS	BUY 0 Units @0.0000						
05/21/2018	***-**-545P - MARTIN,	ING ADVANTAGE SELECT	0.00	33.82	0.00	33.82	15.0	5.07
	ACCOUNT #: R104873-ZS	BUY 0 Units @0.0000						
05/24/2018	***-**-9807 - IONESCU, EMANUEL	ING ADVANTAGE SELECT	0.00	3.29	0.00	3.29	15.0	0.49
	ACCOUNT #: R080009-ZS	BUY 0 Units @0.0000						
05/16/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	21.66	0.16	0.00	0.16	15.0	0.02
	ACCOUNT #: 07123000351	BUY 2 Units @13.2000						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> 								
05/15/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @54.2900						
		* CHRISTOPHER MILGROOM SUBTOTAL *	\$328.26	\$63.46	\$0.00	\$63.46		\$9.52
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$328.26	\$63.46	\$0.00	\$63.46		\$9.52

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$9.52
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$93.70
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$103.22
Year-to-date Earnings	\$1,653.51