

COMMISSION STATEMENT July 31, 2018

TOMMY THOMAS-D007
 2836 Bill Owens Parkway
 Suite A
 Longview, TX 75605

BROKER #: D007
 BRANCH: DMN

COMMISSION STATEMENT for TOMMY THOMAS-D007

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/23/2018	mfc137459 THOMAS TOMMY	137459 MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.96	0.00	2.96	85.0	2.52
07/23/2018	mfc137459 THOMAS TOMMY	137459 MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	1.60	0.00	1.60	85.0	1.36
07/23/2018	mfc137459 THOMAS TOMMY	137459 MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	13.74	0.00	13.74	85.0	11.68
07/23/2018	mfc137459 THOMAS TOMMY	137459 MFT INVESCO MID CAP GROWTH FUND -	B	0.00	124.86	0.00	124.86	85.0	106.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$143.16	\$0.00	\$143.16		\$121.69
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$143.16	\$0.00	\$143.16		\$121.69

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/05/2018	(None) MASONDANI	MF JOHN HANCOCK FREEDOM	B	50.00	2.13	0.00	2.13	85.0	1.81
07/05/2018	(None) MASONCALE	MF JOHN HANCOCK FREEDOM	B	50.00	2.13	0.00	2.13	85.0	1.81
07/05/2018	(None) KAYKIM	MF JOHN HANCOCK FREEDOM	B	50.00	2.13	0.00	2.13	85.0	1.81
* MUTUAL FUNDS SUBTOTAL *				\$150.00	\$6.39	\$0.00	\$6.39		\$5.43

PRODUCT CATEGORY: VARIABLE ANNUITIES

07/13/2018	(None) THE TRIM SHOP	VA PRUDENTIAL LIFE	B	1,000.00	15.00	0.00	15.00	85.0	12.75
* VARIABLE ANNUITIES SUBTOTAL *				\$1,000.00	\$15.00	\$0.00	\$15.00		\$12.75

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018	(None) VARIOUS, THOMAS	MFT JOHN HANCOCK FREEDOM	B	0.00	12.85	0.00	12.85	85.0	10.92
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$12.85	\$0.00	\$12.85		\$10.92

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

06/30/2018	(None) RICCI, BRIAN	VAT TRANSAMERICA LIFE	B	0.00	95.01	0.00	95.01	85.0	80.76
06/30/2018	(None) RICCI, MARY KATH	VAT TRANSAMERICA LIFE	B	0.00	35.56	0.00	35.56	85.0	30.23
06/30/2018	(None) ALLEN, ALFRED	VAT TRANSAMERICA LIFE	B	0.00	34.23	0.00	34.23	85.0	29.10
06/30/2018	(None) ALLEN, ALFRED	VAT TRANSAMERICA LIFE	B	0.00	29.27	0.00	29.27	85.0	24.88
06/30/2018	(None) RUGGLES, MARY	VAT TRANSAMERICA LIFE	B	0.00	118.35	0.00	118.35	85.0	100.60
06/30/2018	(None) MAHURIN, JANETTE	VAT TRANSAMERICA LIFE	B	0.00	78.73	0.00	78.73	85.0	66.92

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$391.15	\$0.00	\$391.15		\$332.49
		*** DIRECT TRANSACTIONS TOTAL ***		\$1,150.00	\$425.39	\$0.00	\$425.39		\$361.59

ADJUSTMENTS TRANSACTIONS

BROKER: #0

07/31/2018	: E&O			0.00	0.00	0.00	0.00		-130.00
07/31/2018	: E-MAIL ACCOUNT			0.00	0.00	0.00	0.00		-25.00
		* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00		\$-155.00
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-155.00

BROKER COMMISSION TOTALS

Broker Transactions	\$483.28
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$328.28
Year-to-date Commissions	\$57,107.75