

STATEMENT January 20, 2018

RICHARD BARRONE

385 1/2 E North St SUITE 620 Bradley, IL 60915 BROKER #: 140

BRANCH:

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT INV	ESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRAN	C A CTTONC						
		BROKEK IKAN	BACTIONS						
PRODUCT	CATEGORY: MUTUAL FUNDS								
11/13/201	7 #00088726885 ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	24.92	1.25	93.0	0.00	1.16		1.16
	,	AMERICAN FDS PORTFOLIO SER GROWTH F	67.50	3.38	93.0	0.00	3.14		3.14
	7 #00088726870 COSSIDENTE	AMERICAN FUNDS 2025 TARGET DATE-A	1.98	0.10	93.0	0.00	0.09		0.09
11/13/201	7 #00088726870 COSSIDENTE	AMERICAN FUNDS 2025 TARGET DATE-A	6.59	0.33	93.0	0.00	0.31	0.00	0.31
11/13/201	7 #00088726885 ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	67.50	3.38	93.0	0.00	3.14	0.00	3.14
11/13/201	7 #00088726885 ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	24.92	1.25	93.0	0.00	1.16	0.00	1.16
11/13/201	7 #00088726885 ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	33.23	1.66	93.0	0.00	1.54	0.00	1.54
11/13/201	7 #00088726885 ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	90.00	4.50	93.0	0.00	4.19	0.00	4.19
11/15/201	7 #00089210188 GAMBLEMELI	AMERICAN FUNDS 2045 TARGET DATE-A	400.00	20.00	93.0	0.00	18.60	0.00	18.60
11/17/201	7 #00085651034 KEEN, MARK	AMERICAN FUNDS 2025 TARGET DATE-A	6,500.00	243.75	93.0	0.00	226.69	0.00	226.69
11/17/201	7 #00085651049 KEEN, JOANNE	AMERICAN FUNDS 2025 TARGET DATE-A	6,500.00	243.75	93.0	0.00	226.69	0.00	226.69
1/20/201	7 #00084440533 KUHLMANN,	AMERICAN FUNDS 2045 TARGET DATE-A	578.34	15.90	93.0	0.00	14.79	0.00	14.79
1/20/201	7 #00084440533 KUHLMANN,	AMERICAN FUNDS 2045 TARGET DATE-A	195.00	5.36	93.0	0.00	4.98	0.00	4.98
1/20/201	7 #00082145480 GREENLEE,	AMERICAN HIGH INCOME TR SH BEN INT	80.00	2.20	93.0	0.00	2.05	0.00	2.05
1/20/201	7 #00082145480 GREENLEE,	AMERICAN MUTUAL FUND INC CLASS A	80.00	2.20	93.0	0.00	2.05	0.00	2.05
1/20/201	7 #00082145480 GREENLEE,	GLOBAL BALANCED FUND-A	80.00	2.20	93.0	0.00	2.05	0.00	2.05
1/20/201	7 #00082145480 GREENLEE,	GROWTH FUND AMERICA INC CLASS A	80.00	2.20	93.0	0.00	2.05	0.00	2.05
1/20/201	7 #00082145480 GREENLEE,	INCOME FUND OF AMERICA INC CLASS A	80.00	2.20	93.0	0.00	2.05	0.00	2.05
1/20/201	7 #00082145480 GREENLEE,	WASHINGTON MUTUAL INVS FD CLASS A	80.00	2.20	93.0	0.00	2.05	0.00	2.05
1/27/201	7 #00088726885 ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	67.50	3.38	93.0	0.00	3.14	0.00	3.14
1/27/201	7 #00088726885 ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	24.92	1.25	93.0	0.00	1.16	0.00	1.16
1/27/201	7 #00088726870 COSSIDENTE	AMERICAN FUNDS 2025 TARGET DATE-A	4.10	0.21	93.0	0.00	0.20	0.00	0.20
1/27/201	7 #00088726870 COSSIDENTE	AMERICAN FUNDS 2025 TARGET DATE-A	13.67	0.68	93.0	0.00	0.63	0.00	0.63
1/27/201	7 #00088726885 ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	67.50	3.38	93.0	0.00	3.14	0.00	3.14
1/27/201	7 #00088726885 ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	24.92	1.25	93.0	0.00	1.16	0.00	1.16
1/27/201	7 #00088726885 ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	90.00	4.50	93.0	0.00	4.19	0.00	4.19
1/27/201	7 #00088726885 ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	33.23	1.66	93.0	0.00	1.54	0.00	1.54
	7 #00086554949 CRISP, KRISTEN	AMERICAN FUNDS 2020 TARGET DATE-A	246.00	6.77	93.0	0.00	6.30	0.00	6.30

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COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	IN	VESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
				BROKER TRAN	G A CTTONG						
				BROKER TRAIN	BACTIONS						
-	CATEGORY: MUTU										
	7 #00086554949 C			2020 TARGET DATE-A	246.00	6.77	93.0	0.00	6.30	0.00	6.30
	7 #00089478928 S			2025 TARGET DATE-A	620.00	17.05	93.0	0.00	15.86	0.00	15.86
	7 #00089478928 S			2025 TARGET DATE-A	186.00	5.12	93.0	0.00	4.76	0.00	4.76
	7 #00089299775 M			2030 TARGET DATE-A	217.42	5.98	93.0	0.00	5.56	0.00	5.56
	7 #00089299775 M			2030 TARGET DATE-A	217.42	5.98	93.0	0.00	5.56	0.00	5.56
	7 #04000208238 R			2030 TARGET DATE-A	142.70	3.92	93.0	0.00	3.65	0.00	3.65
11/29/201	7 #04000208238 R	OMACLAWRE	AMERICAN FUNDS	2030 TARGET DATE-A	142.70	3.92	93.0	0.00	3.65	0.00	3.65
11/29/201	7 #00087499628 W	ILLIAMS, RO	AMERICAN FUNDS	2035 TARGET DATE-A	390.00	10.73	93.0	0.00	9.98	0.00	9.98
11/29/201	7 #00087499628 W	ILLIAMS, RO	AMERICAN FUNDS	2035 TARGET DATE-A	195.00	5.36	93.0	0.00	4.98	0.00	4.98
11/29/201	7 #00089608708 S	HELTONTRA	AMERICAN FUNDS	2040 TARGET DATE-A	132.00	3.63	93.0	0.00	3.38	0.00	3.38
11/29/201	7 #00089608708 S	HELTONTRA	AMERICAN FUNDS	2040 TARGET DATE-A	132.00	3.63	93.0	0.00	3.38	0.00	3.38
11/29/201	7 #00088516700 C	AMISTIMOT	AMERICAN FUNDS	FARGET DATE RETIREME	96.00	2.64	93.0	0.00	2.46	0.00	2.46
11/29/201	7 #00088516700 C	AMISTIMOT	AMERICAN FUNDS	FARGET DATE RETIREME	96.00	2.64	93.0	0.00	2.46	0.00	2.46
12/11/201	7 #00088726885 R	OOD, NATHANIEL	AMERICAN FDS PO	RTFOLIO SER GROWTH F	67.50	3.38	93.0	0.00	3.14	0.00	3.14
12/11/201	7 #00088726885 R	OOD, NATHANIEL	AMERICAN FDS PO	RTFOLIO SER GROWTH F	24.92	1.25	93.0	0.00	1.16	0.00	1.16
12/11/201	7 #00084174737 P	IERCE,	AMERICAN FUNDS	2020 TARGET DATE-A	120.00	3.30	93.0	0.00	3.07	0.00	3.07
12/11/201	7 #00088726885 R	OOD, NATHANIEL	GLOBAL GROWTH P	ORTFOLIO-A	67.50	3.38	93.0	0.00	3.14	0.00	3.14
12/11/201	7 #00088726885 R	OOD, NATHANIEL	GLOBAL GROWTH P	ORTFOLIO-A	24.92	1.25	93.0	0.00	1.16	0.00	1.16
12/11/201	7 #00087266963 M	EEKERJENN	GROWTH AND INCO	ME PORFOLIO	50.00	0.50	93.0	0.00	0.47	0.00	0.47
12/11/201	7 #00088726885 R	OOD, NATHANIEL	GROWTH AND INCO	ME PORTFOLIO-A	33.23	1.66	93.0	0.00	1.54	0.00	1.54
12/11/201	7 #00088726885 R	OOD, NATHANIEL	GROWTH AND INCO	ME PORTFOLIO-A	90.00	4.50	93.0	0.00	4.19	0.00	4.19
12/11/201	7 #00087266963 M	EEKERJENN	GROWTH PORTFOLI	O C	50.00	0.50	93.0	0.00	0.47	0.00	0.47
12/11/201	7 #00087266963 M	EEKERJENN	INCOME PORTFOLI	D-C	25.00	0.25	93.0	0.00	0.23	0.00	0.23
12/15/201	7 #00086554949 C	RISP, KRISTEN	AMERICAN FUNDS	2020 TARGET DATE-A	307.50	8.46	93.0	0.00	7.87	0.00	7.87
12/15/201	7 #00086554949 C	RISP, KRISTEN	AMERICAN FUNDS	2020 TARGET DATE-A	307.50	8.46	93.0	0.00	7.87	0.00	7.87
12/15/201	7 #00089478928 S	URRATTRON	AMERICAN FUNDS	2025 TARGET DATE-A	232.50	6.39	93.0	0.00	5.94	0.00	5.94
12/15/201	7 #00089478928 S	URRATTRON	AMERICAN FUNDS	2025 TARGET DATE-A	775.00	21.31	93.0	0.00	19.82	0.00	19.82
12/15/201	7 #00089299775 M	OFFITTDAV	AMERICAN FUNDS	2030 TARGET DATE-A	240.85	6.62	93.0	0.00	6.16	0.00	6.16
12/15/201	7 #00089299775 M	OFFITTDAV	AMERICAN FUNDS	2030 TARGET DATE-A	240.85	6.62	93.0	0.00	6.16	0.00	6.16
12/15/201	7 #04000208238 R	OMACLAWRE	AMERICAN FUNDS	2030 TARGET DATE-A	148.45	4.08	93.0	0.00	3.79	0.00	3.79
12/15/201	7 #04000208238 R	OMACLAWRE	AMERICAN FUNDS	2030 TARGET DATE-A	148.45	4.08	93.0	0.00	3.79	0.00	3.79
12/15/201	7 #00087499628 W	ILLIAMS, RO	AMERICAN FUNDS	2035 TARGET DATE-A	292.50	8.04	93.0	0.00	7.48	0.00	7.48
12/15/201	7 #00087499628 W	ILLIAMS, RO	AMERICAN FUNDS	2035 TARGET DATE-A	585.00	16.09	93.0	0.00	14.96	0.00	14.96
12/15/201	7 #00089608708 S	HELTONTRA	AMERICAN FUNDS	2040 TARGET DATE-A	165.00	4.54	93.0	0.00	4.22	0.00	4.22
12/15/201	7 #00089608708 S	HELTONTRA	AMERICAN FUNDS	2040 TARGET DATE-A	165.00	4.54	93.0	0.00	4.22	0.00	4.22
	7 #00089210188 G		AMERICAN FUNDS	2045 TARGET DATE-A	400.00	20.00	93.0	0.00	18.60	0.00	18.60

DATE CLIENT INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROI	CER TRANSACTIONS						
PRODUCT CATEGORY: MUTUAL FUNDS							
12/15/2017 #00088516700 CAMISTIMOT AMERICAN FUNDS TARGET DATE R	ETIREME 120.00	3.30	93.0	0.00	3.07	0.00	3.07
12/15/2017 #00088516700 CAMISTIMOT AMERICAN FUNDS TARGET DATE R	ETIREME 120.00	3.30	93.0	0.00	3.07	0.00	3.07
12/18/2017 #00084440533 KUHLMANN, AMERICAN FUNDS 2045 TARGET D	ATE-A 195.00	5.36	93.0	0.00	4.98	0.00	4.98
12/18/2017 #00084440533 KUHLMANN, AMERICAN FUNDS 2045 TARGET D	ATE-A 578.34	15.90	93.0	0.00	14.79	0.00	14.79
12/20/2017 #00082145480 GREENLEE, AMERICAN HIGH INCOME TR SH B	EN INT 80.00	2.20	93.0	0.00	2.05	0.00	2.05
12/20/2017 #00082145480 GREENLEE, AMERICAN MUTUAL FUND INC CLA	SS A 80.00	2.20	93.0	0.00	2.05	0.00	2.05
12/20/2017 #00082145480 GREENLEE, GLOBAL BALANCED FUND-A	80.00	2.20	93.0	0.00	2.05	0.00	2.05
12/20/2017 #00082145480 GREENLEE, GROWTH FUND AMERICA INC CLAS	S A 80.00	2.20	93.0	0.00	2.05	0.00	2.05
12/20/2017 #00082145480 GREENLEE, INCOME FUND OF AMERICA INC C	LASS A 80.00	2.20	93.0	0.00	2.05	0.00	2.05
12/20/2017 #00082145480 GREENLEE, WASHINGTON MUTUAL INVS FD CL	ASS A 80.00	2.20	93.0	0.00	2.05	0.00	2.05
12/27/2017 #00088726885 ROOD, NATHANIEL AMERICAN FDS PORTFOLIO SER G	ROWTH F 24.92	1.25	93.0	0.00	1.16	0.00	1.16
12/27/2017 #00088726885 ROOD, NATHANIEL AMERICAN FDS PORTFOLIO SER G	ROWTH F 67.50	3.38	93.0	0.00	3.14	0.00	3.14
12/27/2017 #00088726885 ROOD, NATHANIEL GLOBAL GROWTH PORTFOLIO-A	24.92	1.25	93.0	0.00	1.16	0.00	1.16
12/27/2017 #00088726885 ROOD, NATHANIEL GLOBAL GROWTH PORTFOLIO-A	67.50	3.38	93.0	0.00	3.14	0.00	3.14
12/27/2017 #00088726885 ROOD, NATHANIEL GROWTH AND INCOME PORTFOLIO-	A 33.23	1.66	93.0	0.00	1.54	0.00	1.54
12/27/2017 #00088726885 ROOD, NATHANIEL GROWTH AND INCOME PORTFOLIO-	A 90.00	4.50	93.0	0.00	4.19	0.00	4.19
* MUTUAL FUNDS S	UBTOTAL * \$24,718.14	\$853.94		\$0.00	\$794.21	\$0.00	\$794.21
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS							
12/01/2017 #00073771609 MCCLURE, LESLIE AMCAP FUND INC CLASS A	0.00	9.15	93.0	0.00	8.51	0.00	8.51
12/01/2017 #00082145480 GREENLEE, AMCAP FUND INC CLASS A	0.00	1.26	93.0	0.00	1.17	0.00	1.17
12/01/2017 #00086554976 KUIKEN, DAVID AMCAP FUND INC CLASS A	0.00	4.06	93.0	0.00	3.78	0.00	3.78
12/01/2017 #00069311629 CARLEY, RICHARD AMCAP FUND INC CLASS A	0.00	32.83	93.0	0.00	30.53	0.00	30.53
12/01/2017 #00073570837 JOHNSTON, AMCAP FUND INC CLASS A	0.00	4.09	93.0	0.00	3.80	0.00	3.80
12/01/2017 #00075012426 STEVENS, JAMES AMCAP FUND INC CLASS A	0.00	6.80	93.0	0.00	6.32	0.00	6.32
12/01/2017 #00075959045 LINK, MICHELLE AMCAP FUND INC CLASS A	0.00	5.36	93.0	0.00	4.98	0.00	4.98
12/01/2017 #00089133716 LINK, MICHELLE AMCAP FUND INC CLASS A	0.00	2.47	93.0	0.00	2.30	0.00	2.30
12/01/2017 #00086429463 LITTLE, ELLIOTT AMCAP FUND INC CLASS A	0.00	1.02	93.0	0.00	0.95	0.00	0.95
12/01/2017 #00086471590 BOONE, RANDAL AMCAP FUND INC CLASS A	0.00	14.26	93.0	0.00	13.26	0.00	13.26
12/01/2017 #00086559404 BAILEY, KAREN AMCAP FUND INC CLASS A	0.00	12.33	93.0	0.00	11.47	0.00	11.47
12/01/2017 #00086731559 PIERCE, AMCAP FUND INC CLASS A	0.00	6.18	93.0	0.00	5.75	0.00	5.75
12/01/2017 #00087092737 JORDAN, JAMES AMCAP FUND INC CLASS A	0.00	1.10	93.0	0.00	1.02	0.00	1.02
12/01/2017 #00075975866 GREENAWALT, AMCAP FUND INC CLASS A	0.00	2.93	93.0	0.00	2.72	0.00	2.72
12/01/2017 #00081391700 GREENLEE, R AMCAP FUND INC CLASS A	0.00	0.71	93.0	0.00	0.66	0.00	0.66
12/01/2017 #00081716214 TINGLEY, AMCAP FUND INC CLASS A	0.00	12.61	93.0	0.00	11.73	0.00	11.73
12/01/2017 #00084440582 SOSTARICH, ALEX AMCAP FUND INC CLASS A	0.00	1.72	93.0	0.00	1.60	0.00	1.60

INVESTMENT

GROSS

NET

CLEARING

DATE	CLIENT INV	/ESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TRAN	SACTIONS						
PRODUCT (CATEGORY: MUTUAL FUND 12B1	TRAILS							
12/01/2017	7 #00084706273 HENRICHS, NELDA	AMCAP FUND INC CLASS A	0.00	8.83	93.0	0.00	8.21	0.00	8.21
12/01/2017	7 #00085057300 CARTER, GARY	AMCAP FUND INC CLASS A	0.00	3.07	93.0	0.00	2.86	0.00	2.86
12/01/2017	7 #00085057868	AMCAP FUND INC CLASS A	0.00	5.14	93.0	0.00	4.78	0.00	4.78
12/01/2017	7 #00085062501 CARTER, GARY	AMCAP FUND INC CLASS A	0.00	11.27	93.0	0.00	10.48	0.00	10.48
12/01/2017	7 #00084093970 YEATES, NORA	AMERICAN BALANCED FUND INC CLASS A	0.00	11.71	93.0	0.00	10.89	0.00	10.89
12/01/2017	7 #00073570837 JOHNSTON,	AMERICAN BALANCED FUND INC CLASS A	0.00	6.07	93.0	0.00	5.65	0.00	5.65
12/01/2017	7 #00075084357 HARVEY, ANTONIO	AMERICAN BALANCED FUND INC CLASS A	0.00	8.43	93.0	0.00	7.84	0.00	7.84
12/01/2017	7 #04000073507	AMERICAN BALANCED FUND INC CLASS A	0.00	2.71	93.0	0.00	2.52	0.00	2.52
12/01/2017	7 #04000092547	AMERICAN BALANCED FUND INC CLASS A	0.00	1.56	93.0	0.00	1.45	0.00	1.45
12/01/2017	7 #00075975866 GREENAWALT,	AMERICAN BALANCED FUND INC CLASS A	0.00	2.22	93.0	0.00	2.06	0.00	2.06
12/01/2017	7 #00082284073 HENRICHS, NELDA	AMERICAN BALANCED FUND INC CLASS A	0.00	4.26	93.0	0.00	3.96	0.00	3.96
12/01/2017	7 #00084885189 HENRICHS, NELDA	AMERICAN BALANCED FUND INC CLASS A	0.00	8.87	93.0	0.00	8.25	0.00	8.25
12/01/2017	7 #00067490088 LOVELL, ASHLEY	AMERICAN BALANCED FUND-529A	0.00	1.91	93.0	0.00	1.78	0.00	1.78
12/01/2017	7 #00075019244 STEVENS, JAMES	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	18.69	93.0	0.00	17.38	0.00	17.38
12/01/2017	7 #00086934802 KARR, JAY	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	6.00	93.0	0.00	5.58	0.00	5.58
12/01/2017	7 #00081391700 GREENLEE, R	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	0.40	93.0	0.00	0.37	0.00	0.37
12/01/2017	7 #00081708237 TINGLEY, JOHN	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	12.53	93.0	0.00	11.65	0.00	11.65
12/01/2017	7 #00084044679 DUFRAIN, SHARON	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	2.36	93.0	0.00	2.19	0.00	2.19
12/01/2017	7 #00084093970 YEATES, NORA	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	9.12	93.0	0.00	8.48	0.00	8.48
12/01/2017	7 #00088726885 ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	1.71	93.0	0.00	1.59	0.00	1.59
12/01/2017	7 #00066994403	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	2.76	93.0	0.00	2.57	0.00	2.57
12/01/2017	7 #00087989647 STEVENS, JAMES	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	49.31	93.0	0.00	45.86	0.00	45.86
12/01/2017	7 #00088037021	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	3.49	93.0	0.00	3.25	0.00	3.25
12/01/2017	7 #00088978687	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	54.63	93.0	0.00	50.81	0.00	50.81
12/01/2017	7 #00089339323 KING, LINDSAY	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	2.45	93.0	0.00	2.28	0.00	2.28
12/01/2017	7 #00089360005 MCCLURE, LESLIE	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	3.93	93.0	0.00	3.65	0.00	3.65
12/01/2017	7 #00086674610 BAILEY, KAREN	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	1.47	93.0	0.00	1.37	0.00	1.37
12/01/2017	7 #00087119085 TINGLEY, JOHN	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	7.20	93.0	0.00	6.70	0.00	6.70
12/01/2017	7 #00087146597 IL/TOD	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	4.61	93.0	0.00	4.29	0.00	4.29
12/01/2017	7 #00087249463	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	12.57	93.0	0.00	11.69	0.00	11.69
12/01/2017	7 #00087350673	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	1.85	93.0	0.00	1.72	0.00	1.72
12/01/2017	7 #00081716214 TINGLEY,	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	14.07	93.0	0.00	13.09	0.00	13.09
12/01/2017	7 #00085057808 CARTER, GARY	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	3.21	93.0	0.00	2.99	0.00	2.99
12/01/2017	7 #00085062501 CARTER, GARY	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	10.69	93.0	0.00	9.94	0.00	9.94
12/01/2017	7 #00085057300 CARTER, GARY	AMERICAN FUNDS 02629H109	0.00	2.01	93.0	0.00	1.87	0.00	1.87
12/01/2017	7 #00087266963 MEEKERJENN	AMERICAN FUNDS 02630D303	0.00	2.46	93.0	0.00	2.29	0.00	2.29

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DATE	CLIENT INV	VESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TRAN	SACTIONS						
PRODUCT (CATEGORY: MUTUAL FUND 12B1	TRAILS							
12/01/2017	7 #00087266963 MEEKERJENN	AMERICAN FUNDS 02630R583	0.00	3.61	93.0	0.00	3.36	0.00	3.36
12/01/2017	7 #00087266963 MEEKERJENN	AMERICAN FUNDS 02630Y240	0.00	5.99	93.0	0.00	5.57	0.00	5.57
12/01/2017	7 #00086841916 MARTHDOROT	AMERICAN FUNDS 02631L106	0.00	59.31	93.0	0.00	55.16	0.00	55.16
12/01/2017	7 #00086021648 DIONNE, DONNA	AMERICAN FUNDS 02631L825	0.00	24.01	93.0	0.00	22.33	0.00	22.33
12/01/2017	7 #00084093970 YEATES, NORA	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	10.80	93.0	0.00	10.04	0.00	10.04
12/01/2017	7 #00086674610 BAILEY, KAREN	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	5.30	93.0	0.00	4.93	0.00	4.93
12/01/2017	7 #00086982744 KOERNER, MARY	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	8.21	93.0	0.00	7.64	0.00	7.64
12/01/2017	7 #00086982724 KOERNER, ROGER	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	9.81	93.0	0.00	9.12	0.00	9.12
12/01/2017	7 #00085066155 JOHNSON, MARY	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	45.62	93.0	0.00	42.43	0.00	42.43
12/01/2017	7 #00083223386 JOHNSTON,	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	20.31	93.0	0.00	18.89	0.00	18.89
12/01/2017	7 #00083223508 JOHNSTON,	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	22.38	93.0	0.00	20.81	0.00	20.81
12/01/2017	7 #00089124455	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	48.91	93.0	0.00	45.49	0.00	45.49
12/01/2017	7 #00083869206 FARMAN,	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	20.93	93.0	0.00	19.46	0.00	19.46
12/01/2017	7 #00085161492 GREENAWALT,	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	23.25	93.0	0.00	21.62	0.00	21.62
12/01/2017	7 #00084174737 PIERCE,	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	14.97	93.0	0.00	13.92	0.00	13.92
12/01/2017	7 #00086554949 CRISP, KRISTEN	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	12.98	93.0	0.00	12.07	0.00	12.07
12/01/2017	7 #00086554976 KUIKEN, DAVID	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	20.50	93.0	0.00	19.07	0.00	19.07
12/01/2017	7 #00088516505 YAKIMA, CYNTHIA	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	4.39	93.0	0.00	4.08	0.00	4.08
12/01/2017	7 #00063858242 KARR, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	61.58	93.0	0.00	57.27	0.00	57.27
12/01/2017	7 #00063976641 KARR, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	5.21	93.0	0.00	4.85	0.00	4.85
12/01/2017	7 #00063976642 KARR, JAY	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	5.21	93.0	0.00	4.85	0.00	4.85
12/01/2017	7 #00088710798	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	39.94	93.0	0.00	37.14	0.00	37.14
12/01/2017	7 #00086674610 BAILEY, KAREN	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	2.46	93.0	0.00	2.29	0.00	2.29
12/01/2017	7 #00086693838 PIERCE,	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	8.55	93.0	0.00	7.95	0.00	7.95
12/01/2017	7 #00086965104 KARR, JAY	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	161.21	93.0	0.00	149.93	0.00	149.93
12/01/2017	7 #00085694409 GREENAWALT,	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	9.34	93.0	0.00	8.69	0.00	8.69
12/01/2017	7 #00084044679 DUFRAIN, SHARON	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	7.68	93.0	0.00	7.14	0.00	7.14
12/01/2017	7 #00084706273 HENRICHS, NELDA	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	44.35	93.0	0.00	41.25	0.00	41.25
12/01/2017	7 #00085057808 CARTER, GARY	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	4.47	93.0	0.00	4.16	0.00	4.16
12/01/2017	7 #00085062501 CARTER, GARY	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	101.73	93.0	0.00	94.61	0.00	94.61
12/01/2017	7 #00085254551	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	323.13	93.0	0.00	300.51	0.00	300.51
12/01/2017	7 #00085555044 ARTHURRHON	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	2.05	93.0	0.00	1.91	0.00	1.91
12/01/2017	7 #00085555083 ARRTHURKEVI	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	2.30	93.0	0.00	2.14	0.00	2.14
12/01/2017	7 #00085651034 KEEN, MARK	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	13.41	93.0	0.00	12.47	0.00	12.47
12/01/2017	7 #00085651049 KEEN, JOANNE	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	11.51	93.0	0.00	10.70	0.00	10.70
12/01/2017	7 #00088726870 COSSIDENTE	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	3.38	93.0	0.00	3.14	0.00	3.14

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DATE	CLIENT IN	VESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TRAN	SACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS							
12/01/201	7 #00089478928 SURRATTRON	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	4.14	93.0	0.00	3.85	0.00	3.85
12/01/201	7 #00089405854 FAIRFIELD,	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	3.82	93.0	0.00	3.55	0.00	3.55
12/01/201	7 #00086471590 BOONE, RANDAL	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	61.78	93.0	0.00	57.46	0.00	57.46
12/01/201	7 #00086622105 DONATO, GERALD	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	0.26	93.0	0.00	0.24	0.00	0.24
12/01/201	7 #00087119085 TINGLEY, JOHN	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	6.38	93.0	0.00	5.93	0.00	5.93
12/01/201	7 #00081708237 TINGLEY, JOHN	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	39.18	93.0	0.00	36.44	0.00	36.44
12/01/201	7 #00081716214 TINGLEY,	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	16.70	93.0	0.00	15.53	0.00	15.53
12/01/201	7 #00084768483	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	3.09	93.0	0.00	2.87	0.00	2.87
12/01/201	7 #00089299775 MOFFITTDAV	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	3.47	93.0	0.00	3.23	0.00	3.23
12/01/201	7 #04000208238 ROMACLAWRE	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.24	93.0	0.00	0.22	0.00	0.22
12/01/201	7 #00084327010 ROOD, NATHANIEL	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	18.41	93.0	0.00	17.12	0.00	17.12
12/01/201	7 #00087499628 WILLIAMS, RO	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	14.65	93.0	0.00	13.62	0.00	13.62
12/01/201	7 #00089608708 SHELTONTRA	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	1.37	93.0	0.00	1.27	0.00	1.27
12/01/201	7 #00086555021 DONLEY, PATRICK	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	13.56	93.0	0.00	12.61	0.00	12.61
12/01/201	7 #00084440533 KUHLMANN,	AMERICAN FUNDS 2045 TARGET DATE-A	0.00	18.14	93.0	0.00	16.87	0.00	16.87
12/01/201	7 #00089210188 GAMBLEMELI	AMERICAN FUNDS 2045 TARGET DATE-A	0.00	4.72	93.0	0.00	4.39	0.00	4.39
12/01/201	7 #00084440582 SOSTARICH, ALEX	AMERICAN FUNDS INCOME SERIES U S GO	0.00	0.29	93.0	0.00	0.27	0.00	0.27
12/01/201	7 #00088516700 CAMISTIMOT	AMERICAN FUNDS TARGET DATE RETIREME	0.00	3.29	93.0	0.00	3.06	0.00	3.06
12/01/201	7 #00082103952 HOPKINS, DANA	AMERICAN FUNDS THE TAX EXEMPT BOND	0.00	4.06	93.0	0.00	3.78	0.00	3.78
12/01/201	7 #00086882720 BROWN, DENISE	AMERICAN HIGH INCOME TR CL C	0.00	2.87	93.0	0.00	2.67	0.00	2.67
12/01/201	7 #00073771609 MCCLURE, LESLIE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	16.87	93.0	0.00	15.69	0.00	15.69
12/01/201	7 #00074647782 LUSTIG, ROBERT	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.33	93.0	0.00	0.31	0.00	0.31
12/01/201	7 #00081732143 SMITH, MARK	AMERICAN HIGH INCOME TR SH BEN INT	0.00	4.12	93.0	0.00	3.83	0.00	3.83
12/01/201	7 #00082145480 GREENLEE,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	5.72	93.0	0.00	5.32	0.00	5.32
12/01/201	7 #00063858242 KARR, DONNA	AMERICAN HIGH INCOME TR SH BEN INT	0.00	3.89	93.0	0.00	3.62	0.00	3.62
12/01/201	7 #00075012426 STEVENS, JAMES	AMERICAN HIGH INCOME TR SH BEN INT	0.00	17.60	93.0	0.00	16.37	0.00	16.37
12/01/201	7 #00075959045 LINK, MICHELLE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.35	93.0	0.00	2.19	0.00	2.19
12/01/201	7 #04000073507	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.69	93.0	0.00	0.64	0.00	0.64
12/01/201	7 #04000092547	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.40	93.0	0.00	0.37	0.00	0.37
12/01/201	7 #00087989647 STEVENS, JAMES	AMERICAN HIGH INCOME TR SH BEN INT	0.00	30.02	93.0	0.00	27.92	0.00	27.92
12/01/201	7 #00089133716 LINK, MICHELLE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.56	93.0	0.00	1.45	0.00	1.45
12/01/201	7 #00086674610 BAILEY, KAREN	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.39	93.0	0.00	1.29	0.00	1.29
12/01/201	7 #00086731559 PIERCE,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	6.15	93.0	0.00	5.72	0.00	5.72
12/01/201	7 #00086872436 KLONOWSKI,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.53	93.0	0.00	1.42	0.00	1.42
12/01/201	7 #00084706273 HENRICHS, NELDA	AMERICAN HIGH INCOME TR SH BEN INT	0.00	6.98	93.0	0.00	6.49	0.00	6.49
12/01/201	7 #00085062501 CARTER, GARY	AMERICAN HIGH INCOME TR SH BEN INT	0.00	12.25	93.0	0.00	11.39	0.00	11.39

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12/01/2017 #000082133952 #0RMINS, DANIA MERICAN HIGH-INCOME MINI BOND FIND 0.00 4.65 93.0 0.00 4.32 0.00 4.32 0.00 13. 12/01/2017 #00088339387 LARGEN, LANIA MERICAN MITGHL HURD INC CL C 0.00 6.70 93.0 0.00 6.23 0.00 0.0 13. 12/01/2017 #00088339387 LARGEN, LANIA MERICAN MITGHL HURD INC CL C 0.00 8.70 93.0 0.00 6.23 0.00 0.0 12. 12/01/2017 #00088339387 LARGEN, LANIA MERICAN MITGHL HURD INC CL C 0.00 8.70 93.0 0.00 0.00 15.98 0.00 0.7. 12/01/2017 #00088339387 LARGEN, LANIA MERICAN MITGHL HURD INC CL C 0.00 8.70 93.0 0.00 0.00 15.98 0.00 0.7. 12/01/2017 #00088339387 WARRICAN MITGHL HURD INC CL C 0.00 0.00 0.7.49 93.0 0.00 0.00 7.01 0.00 0.7. 12/01/2017 #0008833989 GREENEE, AMERICAN MITGHL HURD INC CLASS A 0.00 0.8.40 93.0 0.00 0.00 7.01 0.00 0.7. 12/01/2017 #000883399 VARIANS, WORK A MERICAN MITGHL HURD INC CLASS A 0.00 0.8.40 93.0 0.00 0.00 0.7.40 0.00 0.7. 12/01/2017 #000883399 VARIANS, WORK A MERICAN MITGHL HURD INC CLASS A 0.00 0.8.40 93.0 0.00 0.00 0.7.00 0.00 0.7. 12/01/2017 #000883399 VARIANS, WORK A MERICAN MITGHL HURD INC CLASS A 0.00 0.8.40 93.0 0.00 0.00 0.7.00 0.00 0.7. 12/01/2017 #000883399 VARIANS, WORK A MERICAN MITGHL HURD INC CLASS A 0.00 0.3.47 0.00 0.00 0.7. 12/01/2017 #000883399 VARIANS, WORK A MERICAN MITGHL HURD INC CLASS A 0.00 0.3.47 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	DATE	CLIENT INV	/ESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/01/2017 #00087249463			BROKER TRAN	SACTIONS						
12/01/2017 #00082123992 HOPKINE, DANA MERICAN HIGH: INCOME MINI BOND FUND 0.00 4.00 93.0 0.00 3.80 0.00 3.80 0.00 3.8 12/01/2017 #00084330214 KOUD, NACHARIH, AMERICAN HIGH-INCOME MINI MOND VINDO 0.00 4.65 93.0 0.00 4.33 0.00 4.3 0.00 12/01/2017 #00086835788 LAMERINE, BANA AMERICAN MUTUAL FUND INC CL C 0.00 6.70 93.0 0.00 6.23 0.00 6.7 0.00 12/01/2017 #00086835788 LAMERINE, BANA AMERICAN MUTUAL FUND INC CL C 0.00 6.70 93.0 0.00 6.23 0.00 6.7 0.00 7.1 12/01/2017 #00088330324 ROOD, NATHANIEL MERICAN MUTUAL FUND INC CL C 0.00 7.00 17.1 8 93.0 0.00 15.9 0.00 7.0 12/01/2017 #0008330324 ROOD, NATHANIEL MERICAN MUTUAL FUND INC CL C 0.00 7.0 0.0 0	PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS							
12/01/2017 #000084330324 MOUD, NATHANIEJ AMERICAN HIGH-INCOME MUNI BOND FUND 0.00 4.6.65 93.0 0.00 13.00 13.00 13.1 12/01/2017 #0000858356751 BASSEN, LIANS AMERICAN MUTUAL PUND INC CL C 0.00 8.22 93.0 0.00 7.64 0.00 7. 12/01/2017 #0000858356751 BASSEN, LIANS AMERICAN MUTUAL PUND INC CL C 0.00 8.22 93.0 0.00 7.64 0.00 7. 12/01/2017 #000085835673 BASSEN, LIANS AMERICAN MUTUAL PUND INC CL C 0.00 8.22 93.0 0.00 7.64 0.00 7. 12/01/2017 #00008593670 RECLAMB. LESTLES AMERICAN MUTUAL PUND INC CLASS A 0.00 7.55 93.0 0.00 7.61 0.00 7. 12/01/2017 #000087311609 MCCLAMB. LESTLES AMERICAN MUTUAL PUND INC CLASS A 0.00 4.92 93.0 0.00 4.58 0.00 4.58 0.00 4.10 12/01/2017 #000087311609 MCCLAMB. LESTLES AMERICAN MUTUAL PUND INC CLASS A 0.00 4.92 93.0 0.00 4.58 0.00 4.58 0.00 4.10 12/01/2017 #0000879311609 MCCLAMB. AMERICAN MUTUAL PUND INC CLASS A 0.00 8.41 93.0 0.00 7. 12/01/2017 #0000879311609 MCCLAMB. AMERICAN MUTUAL PUND INC CLASS A 0.00 8.41 93.0 0.00 7. 12/01/2017 #000085955903 AMERICANS MUTUAL PUND INC CLASS A 0.00 8.41 93.0 0.00 7. 12/01/2017 #000085911609 AMERICAN MUTUAL PUND INC CLASS A 0.00 11.12 93.0 0.00 11.04 0.00 11.04 12/01/2017 #00008591160 CRASS A 0.00 8.41 93.0 0.00 11.04 0.00 11.04 12/01/2017 #00008591160 CRASS A 0.00 8.41 93.0 0.00 11.04 0.00 11.04 12/01/2017 #00008591160 CRASS A 0.00 8.41 93.0 0.00 11.04 0.00 11.04 12/01/2017 #00007457847 GREENMART, AMERICAN MUTUAL PUND INC CLASS A 0.00 11.26 93.0 0.00 11.04 0.00 11.04 12/01/2017 #00007457847 GREENMART, AMERICAN MUTUAL PUND INC CLASS A 0.00 8.24 93.0 0.00 11.04 0.00 11.04 12/01/2017 #00007457847 GREENMART, AMERICAN MUTUAL PUND INC CLASS A 0.00 8.24 93.0 0.00 11.04 0.00 11.04 0.00 11.04 12/01/2017 #00007457847 GREENMART, AMERICAN MUTUAL PUND INC CLASS A 0.00 8.24 93.0 0.00 11.04 0.00 11.04 0.00 11.04 0.00 11.04 0.00 11.04 0.00 11.04 0.00 0.00	12/01/201	7 #00087249463	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	6.83	93.0	0.00	6.35	0.00	6.35
12/01/2017 #000089125405 MCCULURA, LERSIEN AMERICAN MUTUAL FUND INC CLC 0.00 6.70 9.0 0.00 13.04 0.00 6.23 0.00 6.21 0.00 12.00 12.00 12.00 12.00 12.00 15.98 0.00 6.23 0.00 6.23 0.00 6.23 0.00 7.04 0.00 7.04 0.00 7.04 0.00 7.04 0.00 7.04 0.00 7.04 0.00 7.05 0.00 7.04 0.00 7.05 0.00 7.0	12/01/201	7 #00082103952 HOPKINS, DANA	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	4.09	93.0	0.00	3.80	0.00	3.80
12/01/2017 #000366835678 LARSEN, LANA AMERICAN MUTUAL FUND INC CL C 0.00 6.27 03.0 0.00 7.4 0.00 7. 12/01/2017 #00036835720 SEVENT, DEBILES AMERICAN MUTUAL FUND INC CL C 0.00 17.18 03.0 0.00 7.4 0.00 7. 12/01/2017 #00037377369 MCCLORE, LEBILES AMERICAN MUTUAL FUND INC CLASS A 0.00 17.5 03.0 0.00 15.98 0.00 15. 12/01/2017 #000313732143 SMITH, MARK AMERICAN MUTUAL FUND INC CLASS A 0.00 47.5 03.0 0.00 4.5 00 4.00 4.00 4.00 4.00 4.00	12/01/201	7 #00084330324 ROOD, NATHANIEL	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	4.65	93.0	0.00	4.32	0.00	4.32
12/01/2017 #000086832720 RROWN, DENISK MURICIAN MUTUAL FUND INC CL C 0.00 8.22 93.0 0.00 17.64 0.00 15. 12/01/2017 #0000823324 KUOD, NATHARIELL AMERICAN MUTUAL FUND INC CL CS 0.00 17.18 93.0 0.00 15. 12/01/2017 #0000937371699 NCCULRE, LESICE AMERICAN MUTUAL FUND INC CLASS A 0.00 7.54 93.0 0.00 7.01 0.00 7.01 12/01/2017 #0000937371699 NCCULRE, LESICE AMERICAN MUTUAL FUND INC CLASS A 0.00 4.92 93.0 0.00 4.58 0.00 8.07 0.00 8.1 12/01/2017 #00008134960 ORLEHILEE, AMERICAN MUTUAL FUND INC CLASS A 0.00 8.81 93.0 0.00 8.07 0.00 8.7 12/01/2017 #00008593970 SEATHWREY! AMERICAN MUTUAL FUND INC CLASS A 0.00 8.81 93.0 0.00 7.08 0.00 7.08 0.00 7. 12/01/2017 #0000859380 ARRTHURKEY! AMERICAN MUTUAL FUND INC CLASS A 0.00 11.12 93.0 0.00 3.47 0.00 3. 12/01/2017 #0000859310 INTIL AGG 21. AMERICAN MUTUAL FUND INC CLASS A 0.00 11.26 93.0 0.00 3.47 0.00 3. 12/01/2017 #0000859310 SEATHWREY! AMERICAN MUTUAL FUND INC CLASS A 0.00 11.26 93.0 0.00 10.07 0.00 10.07 12/01/2017 #0000859310 SEATHWREY! AMERICAN MUTUAL FUND INC CLASS A 0.00 11.26 93.0 0.00 13.92 0.00 13. 12/01/2017 #00009316039 TORLEY, DROTTHY AMERICAN MUTUAL FUND INC CLASS A 0.00 11.26 93.0 0.00 13.92 0.00 13. 12/01/2017 #00009316039 TORLEY, DROTTHY AMERICAN MUTUAL FUND INC CLASS A 0.00 14.97 93.0 0.00 13.92 0.00 13. 12/01/2017 #00009516436 SERVENS, JANES AMERICAN MUTUAL FUND INC CLASS A 0.00 13.44 93.0 0.00 15.66 0.00 5.66 0.00 5.61 0.00 5.66 0.00 5.60 0.0	12/01/201	7 #00089729021	AMERICAN MUTUAL FUND INC CL C	0.00	14.02	93.0	0.00	13.04	0.00	13.04
12/01/2017 #00084330324 ROOD, NATHANIEL AMERICAN MUTUAL FUND INC CLASS A 0.00 17.48 93.0 0.00 15.98 0.00 7.01 0.00 7. 12/01/2017 #00081373143 SUITH, MARK AMERICAN MUTUAL FUND INC CLASS A 0.00 7.94 93.0 0.00 4.58 0.00 4.10 0.00 1.00 7. 12/01/2017 #00081373143 SUITH, MARK AMERICAN MUTUAL FUND INC CLASS A 0.00 8.68 93.0 0.00 8.07 0.00 8.07 0.00 8. 12/01/2017 #000831593143 SURFUNDAM AMERICAN MUTUAL FUND INC CLASS A 0.00 8.68 93.0 0.00 8.07 0.00 8.07 0.00 8. 12/01/2017 #00085159083 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.12 93.0 0.00 1.04 0.00 7. 12/01/2017 #00085159083 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.12 93.0 0.00 1.04 0.00 1. 12/01/2017 #00085159093 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.12 93.0 0.00 1.04 0.00 1. 12/01/2017 #00086191215 CARLEY, DROTHY AMERICAN MUTUAL FUND INC CLASS A 0.00 1.12 93.0 0.00 1.04 0.00 1. 12/01/2017 #00085191215 CARLEY, DROTHY AMERICAN MUTUAL FUND INC CLASS A 0.00 11.69 93.0 0.00 1.04 0.00 1. 12/01/2017 #00087357037 JOHNSTON, AMERICAN MUTUAL FUND INC CLASS A 0.00 11.69 93.0 0.00 13.92 0.00 13.92 0.00 13. 12/01/2017 #0007357037 JOHNSTON, AMERICAN MUTUAL FUND INC CLASS A 0.00 9.67 93.0 0.00 8.99 0.00 8.99 0.00 8. 12/01/2017 #0007357037 JOHNSTON, AMERICAN MUTUAL FUND INC CLASS A 0.00 1.04 93.0 0.00 1.00 1.04 0.00 1. 12/01/2017 #000755012426 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 1.04 93.0 0.00 1.00 1.00 1.00 1.1 1. 12/01/2017 #00075901446 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 1.00 8.24 93.0 0.00 1.00 1.00 1.00 1.1 1. 12/01/2017 #00075901446 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 1.00 8.24 93.0 0.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00	12/01/201	7 #00086835678 LARSEN, LANA	AMERICAN MUTUAL FUND INC CL C	0.00	6.70	93.0	0.00	6.23	0.00	6.23
12/01/2017 #00073771609 MCCLURE, LESLIE AMERICAN MUTUAL FUND INC CLASS A 0.00 4.54 93.0 0.00 4.58 0.00 4.58 0.00 4.12 93.0 0.00 4.58 0.00 4.58 0.00 4.12 93.0 0.00 4.58 0.00 4.58 0.00 4.12 93.0 0.00 8.58 93.0 0.00 8.58 0.00 4.58 0.00 4.58 0.00 4.12 93.0 0.00 8.58 0.0	12/01/201	7 #00086882720 BROWN, DENISE	AMERICAN MUTUAL FUND INC CL C	0.00	8.22	93.0	0.00	7.64	0.00	7.64
12/01/2017 #00081732143 SMITH, MARK AMERICAN MUTUAL PUND INC CLASS A 0.00 4.9.2 93.0 0.00 4.58 0.00 8.07 0.00 8. 12/01/2017 #0008216480 GRENLEE, AMERICAN MUTUAL PUND INC CLASS A 0.00 8.68 93.0 0.00 7.00 7.00 7. 12/01/2017 #000864939970 YEATES, NORA AMERICAN MUTUAL PUND INC CLASS A 0.00 1.12 93.0 0.00 1.04 0.00 1.04 0.00 1.12 12/01/2017 #0008615951803 AMERICAN MUTUAL PUND INC CLASS A 0.00 1.12 93.0 0.00 1.04 0.00 1.04 0.00 1.12 12/01/2017 #0006615911 UNTIL AGE 21. AMERICAN MUTUAL PUND INC CLASS A 0.00 1.12 93.0 0.00 1.04 0.00 1.04 0.00 1.12 12/01/2017 #0006615911 UNTIL AGE 21. AMERICAN MUTUAL PUND INC CLASS A 0.00 11.26 93.0 0.00 10.07 0.00 13.47 0.00 13.12/01/2017 #00066191125 CARLEY, DORDHY AMERICAN MUTUAL PUND INC CLASS A 0.00 14.97 93.0 0.00 13.92 0.00 13.12/01/2017 #00067591625 GREENARLT, AMERICAN MUTUAL PUND INC CLASS A 0.00 14.97 93.0 0.00 13.92 0.00 13.92 0.00 13.12/01/2017 #00075576837 JOHNSTON, AMERICAN MUTUAL PUND INC CLASS A 0.00 0.00 9.67 93.0 0.00 15.66 0.00 15.01 0.00 15.0	12/01/201	7 #00084330324 ROOD, NATHANIEL	AMERICAN MUTUAL FUND INC CL C	0.00	17.18	93.0	0.00	15.98	0.00	15.98
12/01/2017 H00008145180 GREENLEE, AMERICAN MUTUAL FUND INC CLASS A 0.00 8.68 93.0 0.00 8.07 0.00 7.82 0.00 7.104 0.00 1.2/01/2017 H00085151938 ARRHIULKEVIL AMERICAN MUTUAL FUND INC CLASS A 0.00 8.11 93.0 0.00 0.00 1.04 0.00 1.04 0.00 1.12 0.00 1.04 0.00 1.12 0.00 1.04 0.00 1.04 0.00 1.12 0.00 1.04 0.00 1.04 0.00 1.12 0.00 1.04 0.00 1.04 0.00 1.12 0.00 1.04 0.00 1.04 0.00 1.12 0.00 1.04 0.00 1.04 0.00 1.12 0.00 1.04 0.00 1.04 0.00 1.04 0.00 1.12 0.00 1.04 0.00 0.00	12/01/201	7 #00073771609 MCCLURE, LESLIE	AMERICAN MUTUAL FUND INC CLASS A	0.00	7.54	93.0	0.00	7.01	0.00	7.01
12/01/2017 H00084093970 YKATKS, NORA AMERICAN MUTUAL FUND INC CLASS A 0.00 8.41 93.0 0.00 7.82 0.00 7. 12/01/2017 H0008555083 ARRTHURENYI AMERICAN MUTUAL FUND INC CLASS A 0.00 1.12 93.0 0.00 1.04 0.00 1. 12/01/2017 H00065191215 CARLEY, DOKOTHY AMERICAN MUTUAL FUND INC CLASS A 0.00 11.26 93.0 0.00 3.47 0.00 3. 12/01/2017 H00065191215 CARLEY, DOKOTHY AMERICAN MUTUAL FUND INC CLASS A 0.00 11.26 93.0 0.00 10.47 0.00 10. 12/01/2017 H00067911529 CARLEY, EICHARD AMERICAN MUTUAL FUND INC CLASS A 0.00 11.26 93.0 0.00 13.92 0.00 13.92 0.00 13. 12/01/2017 H00067911629 CARLEY, DOKOTHY AMERICAN MUTUAL FUND INC CLASS A 0.00 9.67 93.0 0.00 8.99 0.00 8. 12/01/2017 H00067911629 CARLEY, BICHARD AMERICAN MUTUAL FUND INC CLASS A 0.00 6.69 93.0 0.00 5.66 0.00 5.66 0.00 5.12/01/2017 H00075012426 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 6.09 93.0 0.00 5.66 0.00 5.66 0.00 5.12/01/2017 H00075012426 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 8.24 93.0 0.00 7.66 0.00 7. 12/01/2017 H00075012426 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 8.24 93.0 0.00 7.66 0.00 7. 12/01/2017 H00075012426 STEVENS, MICHELLE AMERICAN MUTUAL FUND INC CLASS A 0.00 8.24 93.0 0.00 7.66 0.00 7. 12/01/2017 H000075012426 SINK, MICHELLE AMERICAN MUTUAL FUND INC CLASS A 0.00 8.24 93.0 0.00 7.66 0.00 7. 12/01/2017 H00007501247 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.62 93.0 0.00 1.00 1.51 0.00 1. 12/01/2017 H00000750747 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 1.62 93.0 0.00 1.26 0.00	12/01/201	7 #00081732143 SMITH, MARK	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.92	93.0	0.00	4.58	0.00	4.58
12/01/2017 #000651555083 ARPHURKEVI AMERICAN MUTUAL FUND INC CLASS A 0.00 1.12 93.0 0.00 1.04 0.00 3.47 0.00 3.1 12/01/2017 #0006519165871 UNTIL AGE 21, AMERICAN MUTUAL FUND INC CLASS A 0.00 1.76 93.0 0.00 3.47 0.00 3.0 12/01/2017 #000651911629 CARLEY, DOROTHY AMERICAN MUTUAL FUND INC CLASS A 0.00 14.97 93.0 0.00 11.94 0.00 11.04 0.00 0.00	12/01/201	7 #00082145480 GREENLEE,	AMERICAN MUTUAL FUND INC CLASS A	0.00	8.68	93.0	0.00	8.07	0.00	8.07
12/01/2017 #00064165871 UNTIL AGE 21, AMERICAN MUTUAL FUND INC CLASS A 0.00 3.73 93.0 0.00 3.47 0.00 3. 12/01/2017 #00065911215 CARLEY, DOROTHY AMERICAN MUTUAL FUND INC CLASS A 0.00 11.26 93.0 0.00 10.47 0.00 11. 12/01/2017 #00075911215 CARLEY, DOROTHY AMERICAN MUTUAL FUND INC CLASS A 0.00 14.97 93.0 0.00 8.99 0.00 8. 12/01/2017 #000759737 JOHNSTON, AMERICAN MUTUAL FUND INC CLASS A 0.00 9.67 93.0 0.00 8.99 0.00 8. 12/01/2017 #00075012426 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 9.67 93.0 0.00 5.66 0.00 5. 12/01/2017 #00075084357 HARVEY, ANTONIO AMERICAN MUTUAL FUND INC CLASS A 0.00 13.44 93.0 0.00 7.66 0.00 7. 12/01/2017 #00075084357 HARVEY, ANTONIO AMERICAN MUTUAL FUND INC CLASS A 0.00 8.24 93.0 0.00 7.66 0.00 7. 12/01/2017 #00075084357 HARVEY, ANTONIO AMERICAN MUTUAL FUND INC CLASS A 0.00 1.62 93.0 0.00 2.61 0.00 2. 12/01/2017 #000075084357 HARVEY, ANTONIO AMERICAN MUTUAL FUND INC CLASS A 0.00 1.62 93.0 0.00 1.51 0.00 1. 12/01/2017 #0000950507 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.62 93.0 0.00 1.51 0.00 1. 12/01/2017 #0000950507 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.62 93.0 0.00 1.51 0.00 1. 12/01/2017 #0000950507 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.62 93.0 0.00 1.02 0.00 1.11 0.00 1. 12/01/2017 #0000950507 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.35 93.0 0.00 1.02 0.00 1.26 0.00 1. 12/01/2017 #0000972699 ANDREWS, AMERICAN MUTUAL FUND INC CLASS A 0.00 1.35 93.0 0.00 34.50 0.0	12/01/201	7 #00084093970 YEATES, NORA	AMERICAN MUTUAL FUND INC CLASS A	0.00	8.41	93.0	0.00	7.82	0.00	7.82
12/01/2017 #00065191215 CARLEY, DOROTHY AMERICAN MUTUAL FUND INC CLASS A 0.00 11.26 93.0 0.00 10.47 0.00 10. 12/01/2017 #00069311629 CARLEY, RICHARD AMERICAN MUTUAL FUND INC CLASS A 0.00 14.97 93.0 0.00 13.92 0.00 13. 12/01/2017 #00073570837 JOENSTON, AMERICAN MUTUAL FUND INC CLASS A 0.00 9.67 93.0 0.00 8.99 0.00 8. 12/01/2017 #00075012426 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 9.67 93.0 0.00 5.66 0.00 5. 12/01/2017 #00075012426 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 13.44 93.0 0.00 12.50 0.00 12. 12/01/2017 #00075094357 HARVEY, ANTONIO AMERICAN MUTUAL FUND INC CLASS A 0.00 88.24 93.0 0.00 7.66 0.00 7. 12/01/2017 #0007509455 HARVEY, ANTONIO AMERICAN MUTUAL FUND INC CLASS A 0.00 2.81 93.0 0.00 7.66 0.00 7. 12/01/2017 #0007059045 LINK, MICHELLE AMERICAN MUTUAL FUND INC CLASS A 0.00 2.81 93.0 0.00 2.61 0.00 2. 12/01/2017 #040000755010 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.62 93.0 0.00 1.02 0.00 1. 12/01/2017 #04000097467 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.10 93.0 0.00 1.02 0.00 1. 12/01/2017 #04000097469647 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 37.10 93.0 0.00 34.50 0.00 34.50 0.00 34.10 0.00 34.10 0.00 34.10 0.00 34.10 0.00 34.10 0.00 34.10 0.00 34.50 0.00 34.10 0.0	12/01/201	7 #00085555083 ARRTHURKEVI	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.12	93.0	0.00	1.04	0.00	1.04
12/01/2017 #000069311629 CARLEY, RICHARD AMERICAN MUTUAL FUND INC CLASS A 0.00 14.97 93.0 0.00 13.92 0.00 13. 12/01/2017 #00073570837 JOHNSTON, AMERICAN MUTUAL FUND INC CLASS A 0.00 9.67 93.0 0.00 8.99 0.00 8. 12/01/2017 #00074675547 GREENAWALT, AMERICAN MUTUAL FUND INC CLASS A 0.00 6.09 93.0 0.00 5.66 0.00 5. 12/01/2017 #00075012426 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 13.44 93.0 0.00 7.66 0.00 7. 12/01/2017 #00075904537 HARVEY, ANTONIO AMERICAN MUTUAL FUND INC CLASS A 0.00 8.24 93.0 0.00 7.66 0.00 7. 12/01/2017 #000075959045 LINK, MICHELE AMERICAN MUTUAL FUND INC CLASS A 0.00 2.81 93.0 0.00 2.61 0.00 2. 12/01/2017 #04000073507 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.62 93.0 0.00 1.51 0.00 1. 12/01/2017 #0400007506110 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.06 93.0 0.00 1.02 0.00 1. 12/01/2017 #040005999647 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 37.10 93.0 0.00 34.50 0.00 1.26 0.00 1. 12/01/2017 #00089726999 ANDREWS, AMERICAN MUTUAL FUND INC CLASS A 0.00 37.10 93.0 0.00 34.50 0	12/01/201	7 #00064165871 UNTIL AGE 21,	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.73	93.0	0.00	3.47	0.00	3.47
12/01/2017 #00073570837 JOHNSTON, AMERICAN MUTUAL FUND INC CLASS A 0.00 9.67 93.0 0.00 8.99 0.00 8. 12/01/2017 #0007675547 GREENAWALT, AMERICAN MUTUAL FUND INC CLASS A 0.00 6.09 93.0 0.00 5.66 0.00 5. 12/01/2017 #00075012426 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 13.44 93.0 0.00 12.50 0.00 12. 12/01/2017 #00075984357 HARVEY, ANTONIO AMERICAN MUTUAL FUND INC CLASS A 0.00 8.24 93.0 0.00 7.66 0.00 7. 12/01/2017 #00075959045 LINK, MICHELLE AMERICAN MUTUAL FUND INC CLASS A 0.00 2.81 93.0 0.00 2.61 0.00 2. 12/01/2017 #04000073507 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.62 93.0 0.00 1.51 0.00 1. 12/01/2017 #04000092547 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.00 93.0 0.00 1.02 0.00 1.2 12/01/2017 #04000556110 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.10 93.0 0.00 1.02 0.00 1.2 12/01/2017 #00087989647 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 37.10 93.0 0.00 1.26 0.00 34.50 0.00	12/01/201	7 #00065191215 CARLEY, DOROTHY	AMERICAN MUTUAL FUND INC CLASS A	0.00	11.26	93.0	0.00	10.47	0.00	10.47
12/01/2017 #00074675547 GREENAWALT, AMERICAN MUTUAL FUND INC CLASS A 0.00 6.09 93.0 0.00 5.66 0.00 5. 12/01/2017 #00075012426 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 13.44 93.0 0.00 12.50 0.00 12. 12/01/2017 #000759684357 HARVEY, ANTONIO AMERICAN MUTUAL FUND INC CLASS A 0.00 8.24 93.0 0.00 7.66 0.00 7. 12/01/2017 #00075959045 LINK, MICHELLE AMERICAN MUTUAL FUND INC CLASS A 0.00 2.81 93.0 0.00 2.61 0.00 2. 12/01/2017 #04000073507 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.62 93.0 0.00 1.51 0.00 1. 12/01/2017 #04000075547 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.02 93.0 0.00 1.02 0.00 1.02 0.00 1. 12/01/2017 #0400056110 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.35 93.0 0.00 1.02 0.00 1.2 0.00 1. 12/01/2017 #04000566110 AMERICAN MUTUAL FUND INC CLASS A 0.00 37.10 93.0 0.00 34.50 0.00 34. 12/01/2017 #000899389647 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 37.10 93.0 0.00 34.50 0.00 34. 12/01/2017 #000899133716 LINK, MICHELLE AMERICAN MUTUAL FUND INC CLASS A 0.00 37.10 93.0 0.00 34.50 0.00 34. 12/01/2017 #0008972899 ANDREWS, AMERICAN MUTUAL FUND INC CLASS A 0.00 3.64 93.0 0.00 34.50 0.00 3.4 0.00 1.2 0.00 1	12/01/201	7 #00069311629 CARLEY, RICHARD	AMERICAN MUTUAL FUND INC CLASS A	0.00	14.97	93.0	0.00	13.92	0.00	13.92
12/01/2017 #00075012426 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 13.44 93.0 0.00 12.50 0.00 12. 12/01/2017 #00075084357 HARVEY, ANTONIO AMERICAN MUTUAL FUND INC CLASS A 0.00 8.24 93.0 0.00 7.66 0.00 7. 12/01/2017 #00075959045 LINK, MICHELLE AMERICAN MUTUAL FUND INC CLASS A 0.00 2.81 93.0 0.00 2.61 0.00 2. 12/01/2017 #04000073507 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.62 93.0 0.00 1.51 0.00 1.02 0.00 1.2/01/2017 #04000092547 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.62 93.0 0.00 1.02 0.00 1.02 0.00 1. 12/01/2017 #04000506110 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.35 93.0 0.00 1.26 0.00 1.2 0.00 1. 12/01/2017 #0400089133716 LINK, MICHELLE AMERICAN MUTUAL FUND INC CLASS A 0.00 37.10 93.0 0.00 34.50 0.00 34. 12/01/2017 #000897389647 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 37.10 93.0 0.00 34.50 0.00 34. 12/01/2017 #0008973899 ANDREWS, AMERICAN MUTUAL FUND INC CLASS A 0.00 37.10 93.0 0.00 34.50 0.00 34. 12/01/2017 #00089727839 AMERICAN MUTUAL FUND INC CLASS A 0.00 0.15 93.0 0.00 0.14 0.00 0.1 12/01/2017 #00086471590 BOONE, RANDAL AMERICAN MUTUAL FUND INC CLASS A 0.00 1.51 93.0 0.00 1.2 0.00 0.01 12/01/2017 #000866731559 PIERCE, AMERICAN MUTUAL FUND INC CLASS A 0.00 1.2 0.00 0.00 1.2 0.00 0.01 12/01/2017 #000866731559 PIERCE, AMERICAN MUTUAL FUND INC CLASS A 0.00 1.2 0.00 0.00 1.2 0.00 0.01 12/01/2017 #000866874456 KLARSEN, LANA AMERICAN MUTUAL FUND INC CLASS A 0.00 0.19 93.0 0.00 0.00 0.18 0.00 0.12/01/2017 #000866874456 KLARSEN, LANA AMERICAN MUTUAL FUND INC CLASS A 0.00 0.12 0.00 0.00 0.18 0.00 0.12/01/2017 #000866874465 KLARSEN, LANA AMERICAN MUTUAL FUND INC CLASS A 0.00 0.12 0.00 0.00 0.18 0.00 0.12/01/2017 #00086934802 KARR, JAY AMERICAN MUTUAL FUND INC CLASS A 0.00 0.12 0.00 0.12 0.00 0.13 0.00 0.13 0.00 0.13 0.00 0.12 0.00 0.12/01/2017 #00086934802 KARR, JAY AMERICAN MUTUAL FUND INC CLASS A 0.00 0.12 0.00 0.12 0.00 0.13 0.00 0.00 0.12 0.00 0.12 0.00 0.00 0.12 0.00 0.00	12/01/201	7 #00073570837 JOHNSTON,	AMERICAN MUTUAL FUND INC CLASS A	0.00	9.67	93.0	0.00	8.99	0.00	8.99
12/01/2017 #00075084357 HARVEY, ANTONIO AMERICAN MUTUAL FUND INC CLASS A 0.00 8.24 93.0 0.00 7.66 0.00 7. 12/01/2017 #000075959045 LINK, MICHELLE AMERICAN MUTUAL FUND INC CLASS A 0.00 2.81 93.0 0.00 2.61 0.00 2. 12/01/2017 #04000073507 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.62 93.0 0.00 1.51 0.00 1. 12/01/2017 #04000092547 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.10 93.0 0.00 1.26 0.00 1. 12/01/2017 #04000506110 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.35 93.0 0.00 1.26 0.00 1.26 0.00 1. 12/01/2017 #00087899647 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 37.10 93.0 0.00 34.5	12/01/201	7 #00074675547 GREENAWALT,	AMERICAN MUTUAL FUND INC CLASS A	0.00	6.09	93.0	0.00	5.66	0.00	5.66
12/01/2017 #000075959045 LINK, MICHELLE AMERICAN MUTUAL FUND INC CLASS A 0.00 2.81 93.0 0.00 2.61 0.00 1. 12/01/2017 #04000073507 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.62 93.0 0.00 1.51 0.00 1. 12/01/2017 #0400092547 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.10 93.0 0.00 1.02 0.00 1. 12/01/2017 #04000506110 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.35 93.0 0.00 1.26 0.00 1. 12/01/2017 #00087989647 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 37.10 93.0 0.00 34.50 0.00 34. 12/01/2017 #00089133716 LINK, MICHELLE AMERICAN MUTUAL FUND INC CLASS A 0.00 3.64 93.0 0.00 3.39 0.00 3.39 0.00 3. 12/01/2017 #0008972699 ANDREWS, AMERICAN MUTUAL FUND INC CLASS A 0.00 0.66 93.0 0.00 0.15 0.00 0. 12/01/2017 #00089727899 ANDREWS, AMERICAN MUTUAL FUND INC CLASS A 0.00 0.66 93.0 0.00 0.15 0.00 0. 12/01/2017 #00086731559 PIERCE, AMERICAN MUTUAL FUND INC CLASS A 0.00 1.51 93.0 0.00 1.20 0.00 1.20 0.00 1.20 0.00 1.20 0.00 1.20 0.00 1.20 0.00 1.20 0.00 1.20 0.00 0. 12/01/2017 #00086731559 PIERCE, AMERICAN MUTUAL FUND INC CLASS A 0.00 0.00 1.57 93.0 0.00 0.00 0.18 0.00 0. 12/01/2017 #00086731559 PIERCE, AMERICAN MUTUAL FUND INC CLASS A 0.00 0.00 0.19 93.0 0.00 0.00 0.18 0.00 0. 12/01/2017 #00086731559 PIERCE, AMERICAN MUTUAL FUND INC CLASS A 0.00 0.01 93.0 0.00 0.01 0.00 0. 12/01/2017 #00086731559 PIERCE, AMERICAN MUTUAL FUND INC CLASS A 0.00 0.01 93.0 0.00 0.00 0.18 0.00 0. 12/01/2017 #00086934802 KARR, JAY AMERICAN MUTUAL FUND INC CLASS A 0.00 0.01 93.0 0.00 0.00 0.18 0.00 0. 12/01/2017 #00086934802 KARR, JAY AMERICAN MUTUAL FUND INC CLASS A 0.00 0.01 0.19 93.0 0.00 0.00 0.18 0.00 0.00 0.00 0.00 0.	12/01/201	7 #00075012426 STEVENS, JAMES	AMERICAN MUTUAL FUND INC CLASS A	0.00	13.44	93.0	0.00	12.50	0.00	12.50
12/01/2017 #04000073507 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.62 93.0 0.00 1.51 0.00 1. 12/01/2017 #0400092547 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.10 93.0 0.00 1.02 0.00 1. 12/01/2017 #04000506110 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.35 93.0 0.00 1.26 0.00 1. 12/01/2017 #00087989647 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 37.10 93.0 0.00 34.50 0.00 34. 12/01/2017 #00089133716 LINK, MICHELLE AMERICAN MUTUAL FUND INC CLASS A 0.00 3.64 93.0 0.00 3.39 0.00 3. 12/01/2017 #00089726999 ANDREWS, AMERICAN MUTUAL FUND INC CLASS A 0.00 0.16 93.0 0.00 0.15 0.00 0. 12/01/2017 #00089727839 AMERICAN MUTUAL FUND INC CLASS A 0.00 12.90 93.0 0.00 1.40 0.00 1. 12/01/2017 #00086731559 PIERCE, AMERICAN MUTUAL FUND INC CLASS A 0.00 12.90 93.0 0.00 1.20 0.00 1.20 0.00 1. 12/01/2017 #00086731559 PIERCE, AMERICAN MUTUAL FUND INC CLASS A 0.00 5.75 93.0 0.00 5.35 0.00 5. 12/01/2017 #00086734678 LARSEN, LANA AMERICAN MUTUAL FUND INC CLASS A 0.00 0.19 93.0 0.00 0.00 1.8 0.00 0. 12/01/2017 #00086872436 KLONOWSKI, AMERICAN MUTUAL FUND INC CLASS A 0.00 0.19 93.0 0.00 0.00 3.88 0.00 0. 12/01/2017 #00086872436 KLONOWSKI, AMERICAN MUTUAL FUND INC CLASS A 0.00 0.12 93.0 0.00 3.88 0.00 3. 12/01/2017 #00086972470 JORDAN, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 0.01 4.17 93.0 0.00 3.88 0.00 3. 12/01/2017 #00086734657 ILATOD AMERICAN MUTUAL FUND INC CLASS A 0.00 0.01 4.17 93.0 0.00 3.88 0.00 3. 12/01/2017 #00086734657 ILATOD AMERICAN MUTUAL FUND INC CLASS A 0.00 0.00 0.00 0.00 0.00 0.00 0.00	12/01/201	7 #00075084357 HARVEY, ANTONIO	AMERICAN MUTUAL FUND INC CLASS A	0.00	8.24	93.0	0.00	7.66	0.00	7.66
12/01/2017 #0400092547 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.10 93.0 0.00 1.02 0.00 1. 12/01/2017 #04000506110 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.35 93.0 0.00 1.26 0.00 1. 12/01/2017 #00087989647 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 37.10 93.0 0.00 34.50 0.00 34. 12/01/2017 #00089133716 LINK, MICHELLE AMERICAN MUTUAL FUND INC CLASS A 0.00 3.64 93.0 0.00 3.39 0.00 3. 12/01/2017 #00089726999 ANDREWS, AMERICAN MUTUAL FUND INC CLASS A 0.00 0.16 93.0 0.00 0.15 0.00 0. 12/01/2017 #00089727839 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.51 93.0 0.00 1.40 0.00 1. 12/01/2017 #00086471590 BOONE, RANDAL AMERICAN MUTUAL FUND INC CLASS A 0.00 1.51 93.0 0.00 1.20 0.00 1.20 0.00 1. 12/01/2017 #00086731559 PIERCE, AMERICAN MUTUAL FUND INC CLASS A 0.00 1.290 93.0 0.00 1.200 0.00 1.200 0.00 1. 12/01/2017 #00086835678 LARSEN, LANA AMERICAN MUTUAL FUND INC CLASS A 0.00 5.75 93.0 0.00 0.00 5.35 0.00 0. 12/01/2017 #00086872436 KLONOWSKI, AMERICAN MUTUAL FUND INC CLASS A 0.00 0.19 93.0 0.00 0.00 3.88 0.00 0. 12/01/2017 #00086934802 KARR, JAY AMERICAN MUTUAL FUND INC CLASS A 0.00 4.14 93.0 0.00 3.88 0.00 3. 12/01/2017 #00086934802 KARR, JAY AMERICAN MUTUAL FUND INC CLASS A 0.00 1.21 93.0 0.00 3.85 0.00 3. 12/01/2017 #00087092737 JORDAN, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 1.21 93.0 0.00 0.00 1.13 0.00 1. 12/01/2017 #00087092737 JORDAN, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 2.98 93.0 0.00 2.77 0.00 2. 12/01/2017 #00087046597 IL/TOD AMERICAN MUTUAL FUND INC CLASS A 0.00 2.98 93.0 0.00 2.357 0.00 23.57 0.00 23.	12/01/201	7 #00075959045 LINK, MICHELLE	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.81	93.0	0.00	2.61	0.00	2.61
12/01/2017 #04000506110 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.35 93.0 0.00 1.26 0.00 1. 12/01/2017 #00087989647 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 37.10 93.0 0.00 34.50 0.00 34. 12/01/2017 #00089133716 LINK, MICHELLE AMERICAN MUTUAL FUND INC CLASS A 0.00 3.64 93.0 0.00 3.39 0.00 3. 12/01/2017 #00089726999 ANDREWS, AMERICAN MUTUAL FUND INC CLASS A 0.00 0.16 93.0 0.00 0.15 0.00 0. 12/01/2017 #00089727839 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.51 93.0 0.00 1.40 0.00 1. 12/01/2017 #00086471590 BOONE, RANDAL AMERICAN MUTUAL FUND INC CLASS A 0.00 12.90 93.0 0.00 12.00 0.00 12.00 0.00 12. 12/01/2017 #00086731559 PIERCE, AMERICAN MUTUAL FUND INC CLASS A 0.00 5.75 93.0 0.00 5.35 0.00 5. 12/01/2017 #00086835678 LARSEN, LANA AMERICAN MUTUAL FUND INC CLASS A 0.00 0.19 93.0 0.00 0.18 0.00 0. 12/01/2017 #000868724436 KLONOWSKI, AMERICAN MUTUAL FUND INC CLASS A 0.00 4.17 93.0 0.00 3.88 0.00 3. 12/01/2017 #00086934802 KARR, JAY AMERICAN MUTUAL FUND INC CLASS A 0.00 4.14 93.0 0.00 3.85 0.00 3. 12/01/2017 #00086934802 KARR, JAY AMERICAN MUTUAL FUND INC CLASS A 0.00 4.14 93.0 0.00 3.85 0.00 3. 12/01/2017 #00087092737 JORDAN, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 2.98 93.0 0.00 2.77 0.00 2. 12/01/2017 #00087146597 IL/TOD AMERICAN MUTUAL FUND INC CLASS A 0.00 2.98 93.0 0.00 2.357 0.00 23.	12/01/201	7 #04000073507	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.62	93.0	0.00	1.51	0.00	1.51
12/01/2017 #00087989647 STEVENS, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 37.10 93.0 0.00 34.50	12/01/201	7 #04000092547	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.10	93.0	0.00	1.02	0.00	1.02
12/01/2017 #00089133716 LINK, MICHELLE AMERICAN MUTUAL FUND INC CLASS A 0.00 3.64 93.0 0.00 3.39 0.00 3.12/01/2017 #00089726999 ANDREWS, AMERICAN MUTUAL FUND INC CLASS A 0.00 0.16 93.0 0.00 0.15 0.00 0.12/01/2017 #00089727839 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.51 93.0 0.00 1.40 0.00 1.20/01/2017 #00086471590 BOONE, RANDAL AMERICAN MUTUAL FUND INC CLASS A 0.00 12.90 93.0 0.00 12.00 0.00 12.00 0.00 12/01/2017 #000864731559 PIERCE, AMERICAN MUTUAL FUND INC CLASS A 0.00 5.75 93.0 0.00 5.35 0.00 5.12/01/2017 #00086835678 LARSEN, LANA AMERICAN MUTUAL FUND INC CLASS A 0.00 0.19 93.0 0.00 0.18 0.00 0.12/01/2017 #00086872436 KLONOWSKI, AMERICAN MUTUAL FUND INC CLASS A 0.00 4.17 93.0 0.00 3.88 0.00 3.12/01/2017 #00086934802 KARR, JAY AMERICAN MUTUAL FUND INC CLASS A 0.00 4.14 93.0 0.00 3.85 0.00 3.12/01/2017 #00087092737 JORDAN, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 1.21 93.0 0.00 1.13 0.00 1.12/01/2017 #00087092737 JORDAN, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 2.98 93.0 0.00 2.77 0.00 2.12/01/2017 #00087146597 IL/TOD AMERICAN MUTUAL FUND INC CLASS A 0.00 2.534 93.0 0.00 23.57 0.00 23.00 2.12/01/2017 #00087249463 AMERICAN MUTUAL FUND INC CLASS A 0.00 2.534 93.0 0.00 23.57 0.00 23.	12/01/201	7 #04000506110	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.35	93.0	0.00	1.26	0.00	1.26
12/01/2017 #00089726999 ANDREWS, AMERICAN MUTUAL FUND INC CLASS A 0.00 0.16 93.0 0.00 0.15 0.00 0.12/01/2017 #00089727839 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.51 93.0 0.00 1.40 0.00 1.20/01/2017 #00086471590 BOONE, RANDAL AMERICAN MUTUAL FUND INC CLASS A 0.00 12.90 93.0 0.00 12.00 0.00 12.0	12/01/201	7 #00087989647 STEVENS, JAMES	AMERICAN MUTUAL FUND INC CLASS A	0.00	37.10	93.0	0.00	34.50	0.00	34.50
12/01/2017 #00089727839 AMERICAN MUTUAL FUND INC CLASS A 0.00 1.51 93.0 0.00 1.40 0.00 1. 12/01/2017 #00086471590 BOONE, RANDAL AMERICAN MUTUAL FUND INC CLASS A 0.00 12.90 93.0 0.00 12.00 0.00 12. 12/01/2017 #00086731559 PIERCE, AMERICAN MUTUAL FUND INC CLASS A 0.00 5.75 93.0 0.00 5.35 0.00 5. 12/01/2017 #00086835678 LARSEN, LANA AMERICAN MUTUAL FUND INC CLASS A 0.00 0.19 93.0 0.00 0.18 0.00 0. 12/01/2017 #00086872436 KLONOWSKI, AMERICAN MUTUAL FUND INC CLASS A 0.00 4.17 93.0 0.00 3.88 0.00 3. 12/01/2017 #00086934802 KARR, JAY AMERICAN MUTUAL FUND INC CLASS A 0.00 4.14 93.0 0.00 3.85 0.00 3. 12/01/2017 #00087092737 JORDAN, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 1.21 93.0 0.00 1.13 0.00 1. 12/01/2017 #00087146597 IL/TOD AMERICAN MUTUAL FUND INC CLASS A 0.00 2.98 93.0 0.00 2.77 0.00 2. 12/01/2017 #00087249463 AMERICAN MUTUAL FUND INC CLASS A 0.00 2.534 93.0 0.00 23.57 0.00 23.	12/01/201	7 #00089133716 LINK, MICHELLE	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.64	93.0	0.00	3.39	0.00	3.39
12/01/2017 #00086471590 BOONE, RANDAL AMERICAN MUTUAL FUND INC CLASS A 0.00 12.90 93.0 0.00 12.00 0.00 12.01 12/01/2017 #00086731559 PIERCE, AMERICAN MUTUAL FUND INC CLASS A 0.00 5.75 93.0 0.00 5.35 0.00 5.02 0.01 12/01/2017 #00086835678 LARSEN, LANA AMERICAN MUTUAL FUND INC CLASS A 0.00 0.19 93.0 0.00 0.18 0.00 0.18 0.00 0.12/01/2017 #00086872436 KLONOWSKI, AMERICAN MUTUAL FUND INC CLASS A 0.00 4.17 93.0 0.00 3.88 0.00 3.01 12/01/2017 #00086934802 KARR, JAY AMERICAN MUTUAL FUND INC CLASS A 0.00 4.14 93.0 0.00 3.85 0.00 3.01 12/01/2017 #00087092737 JORDAN, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 1.21 93.0 0.00 1.13 0.00 1.01 12/01/2017 #00087146597 IL/TOD AMERICAN MUTUAL FUND INC CLASS A 0.00 2.98 93.0 0.00 2.77 0.00 2.01 12/01/2017 #00087249463 AMERICAN MUTUAL FUND INC CLASS A 0.00 2.534 93.0 0.00 23.57 0.00 23.01 12/01/2017 #00087249463	12/01/201	7 #00089726999 ANDREWS,	AMERICAN MUTUAL FUND INC CLASS A	0.00	0.16	93.0	0.00	0.15	0.00	0.15
12/01/2017 #00086731559 PIERCE, AMERICAN MUTUAL FUND INC CLASS A 0.00 5.75 93.0 0.00 5.35 0.00 5. 12/01/2017 #00086835678 LARSEN, LANA AMERICAN MUTUAL FUND INC CLASS A 0.00 0.19 93.0 0.00 0.18 0.00 0. 12/01/2017 #00086872436 KLONOWSKI, AMERICAN MUTUAL FUND INC CLASS A 0.00 4.17 93.0 0.00 3.88 0.00 3. 12/01/2017 #00086934802 KARR, JAY AMERICAN MUTUAL FUND INC CLASS A 0.00 4.14 93.0 0.00 3.85 0.00 3. 12/01/2017 #00087092737 JORDAN, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 1.21 93.0 0.00 1.13 0.00 1. 12/01/2017 #00087146597 IL/TOD AMERICAN MUTUAL FUND INC CLASS A 0.00 2.98 93.0 0.00 2.77 0.00 2. 12/01/2017 #00087249463 AMERICAN MUTUAL FUND INC CLASS A 0.00 25.34 93.0 0.00 23.57 0.00 23.	12/01/201	7 #00089727839	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.51	93.0	0.00	1.40	0.00	1.40
12/01/2017 #00086835678 LARSEN, LANA AMERICAN MUTUAL FUND INC CLASS A 0.00 0.19 93.0 0.00 0.18 0.00 0.12/01/2017 #00086872436 KLONOWSKI, AMERICAN MUTUAL FUND INC CLASS A 0.00 4.17 93.0 0.00 3.88 0.00 3. 12/01/2017 #00086934802 KARR, JAY AMERICAN MUTUAL FUND INC CLASS A 0.00 4.14 93.0 0.00 3.85 0.00 3. 12/01/2017 #00087092737 JORDAN, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 1.21 93.0 0.00 1.13 0.00 1. 12/01/2017 #00087146597 IL/TOD AMERICAN MUTUAL FUND INC CLASS A 0.00 2.98 93.0 0.00 2.77 0.00 2. 12/01/2017 #00087249463 AMERICAN MUTUAL FUND INC CLASS A 0.00 25.34 93.0 0.00 23.57 0.00 23.	12/01/201	7 #00086471590 BOONE, RANDAL	AMERICAN MUTUAL FUND INC CLASS A	0.00	12.90	93.0	0.00	12.00	0.00	12.00
12/01/2017 #00086872436 KLONOWSKI, AMERICAN MUTUAL FUND INC CLASS A 0.00 4.17 93.0 0.00 3.88 0.00 3. 12/01/2017 #00086934802 KARR, JAY AMERICAN MUTUAL FUND INC CLASS A 0.00 4.14 93.0 0.00 3.85 0.00 3. 12/01/2017 #00087092737 JORDAN, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 1.21 93.0 0.00 1.13 0.00 1. 12/01/2017 #00087146597 IL/TOD AMERICAN MUTUAL FUND INC CLASS A 0.00 2.98 93.0 0.00 2.77 0.00 2. 12/01/2017 #00087249463 AMERICAN MUTUAL FUND INC CLASS A 0.00 25.34 93.0 0.00 23.57 0.00 23.	12/01/201	7 #00086731559 PIERCE,	AMERICAN MUTUAL FUND INC CLASS A	0.00	5.75	93.0	0.00	5.35	0.00	5.35
12/01/2017 #00086934802 KARR, JAY AMERICAN MUTUAL FUND INC CLASS A 0.00 4.14 93.0 0.00 3.85 0.00 3. 12/01/2017 #00087092737 JORDAN, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 1.21 93.0 0.00 1.13 0.00 1. 12/01/2017 #00087146597 IL/TOD AMERICAN MUTUAL FUND INC CLASS A 0.00 2.98 93.0 0.00 2.77 0.00 2. 12/01/2017 #00087249463 AMERICAN MUTUAL FUND INC CLASS A 0.00 25.34 93.0 0.00 23.57 0.00 23.	12/01/201	7 #00086835678 LARSEN, LANA	AMERICAN MUTUAL FUND INC CLASS A	0.00	0.19	93.0	0.00	0.18	0.00	0.18
12/01/2017 #00087092737 JORDAN, JAMES AMERICAN MUTUAL FUND INC CLASS A 0.00 1.21 93.0 0.00 1.13 0.00 1. 12/01/2017 #00087146597 IL/TOD AMERICAN MUTUAL FUND INC CLASS A 0.00 2.98 93.0 0.00 2.77 0.00 2. 12/01/2017 #00087249463 AMERICAN MUTUAL FUND INC CLASS A 0.00 25.34 93.0 0.00 23.57 0.00 23.	12/01/201	7 #00086872436 KLONOWSKI,	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.17	93.0	0.00	3.88	0.00	3.88
12/01/2017 #00087146597 IL/TOD AMERICAN MUTUAL FUND INC CLASS A 0.00 2.98 93.0 0.00 2.77 0.00 2. 12/01/2017 #00087249463 AMERICAN MUTUAL FUND INC CLASS A 0.00 25.34 93.0 0.00 23.57 0.00 23.	12/01/201	7 #00086934802 KARR, JAY	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.14	93.0	0.00	3.85	0.00	3.85
12/01/2017 #00087249463 AMERICAN MUTUAL FUND INC CLASS A 0.00 25.34 93.0 0.00 23.57 0.00 23.	12/01/201	7 #00087092737 JORDAN, JAMES	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.21	93.0	0.00	1.13	0.00	1.13
	12/01/201	7 #00087146597 IL/TOD	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.98	93.0	0.00	2.77	0.00	2.77
12/01/2017 #00087760162 TINGLEY. JOHN AMERICAN MUTUAL FUND INC CLASS A 0.00 3.49 93.0 0.00 3.25 0.00 3.	12/01/201	7 #00087249463	AMERICAN MUTUAL FUND INC CLASS A	0.00	25.34	93.0	0.00	23.57	0.00	23.57
12/ 01/ 1001/ 10001/ 0011 1110111 1011 10	12/01/201	7 #00087760162 TINGLEY, JOHN	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.49	93.0	0.00	3.25	0.00	3.25

DATE	CLIENT IN	/ESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRAN	NSACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS							
12/01/201	7 #00082103952 HOPKINS, DANA	AMERICAN MUTUAL FUND INC CLASS A	0.00	9.33	93.0	0.00	8.68	0.00	8.68
12/01/201	7 #00082284073 HENRICHS, NELDA	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.55	93.0	0.00	3.30	0.00	3.30
12/01/201	7 #00084044679 DUFRAIN, SHARON	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.81	93.0	0.00	2.61	0.00	2.61
12/01/201	7 #00084440582 SOSTARICH, ALEX	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.67	93.0	0.00	1.55	0.00	1.55
12/01/201	7 #00084706273 HENRICHS, NELDA	AMERICAN MUTUAL FUND INC CLASS A	0.00	15.93	93.0	0.00	14.81	0.00	14.81
12/01/201	7 #00085057300 CARTER, GARY	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.55	93.0	0.00	3.30	0.00	3.30
12/01/201	7 #00085057868	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.34	93.0	0.00	4.04	0.00	4.04
12/01/201	7 #00085288543 STEVENS, JAMES	AMERICAN MUTUAL FUND INC CLASS A	0.00	6.68	93.0	0.00	6.21	0.00	6.21
12/01/201	7 #00085341614 HOPKINS, DANA	AMERICAN MUTUAL FUND INC CLASS A	0.00	0.29	93.0	0.00	0.27	0.00	0.27
12/01/201	7 #00088516505 YAKIMA, CYNTHIA	BALANCED PORTFOLIO-A	0.00	31.26	93.0	0.00	29.07	0.00	29.07
12/01/201	7 #00088978687	BALANCED PORTFOLIO-A	0.00	126.25	93.0	0.00	117.41	0.00	117.41
12/01/201	7 #00087146597 IL/TOD	BALANCED PORTFOLIO-A	0.00	19.46	93.0	0.00	18.10	0.00	18.10
12/01/201	7 #00086835678 LARSEN, LANA	BOND FUND AMER INC CL C	0.00	4.02	93.0	0.00	3.74	0.00	3.74
12/01/201	7 #00074647782 LUSTIG, ROBERT	BOND FUND OF AMERICA INC CL A	0.00	0.42	93.0	0.00	0.39	0.00	0.39
12/01/201	7 #00081732143 SMITH, MARK	BOND FUND OF AMERICA INC CL A	0.00	4.72	93.0	0.00	4.39	0.00	4.39
12/01/201	7 #00082145480 GREENLEE,	BOND FUND OF AMERICA INC CL A	0.00	1.70	93.0	0.00	1.58	0.00	1.58
12/01/201	7 #00088516505 YAKIMA, CYNTHIA	BOND FUND OF AMERICA INC CL A	0.00	25.85	93.0	0.00	24.04	0.00	24.04
12/01/201	7 #00075012426 STEVENS, JAMES	BOND FUND OF AMERICA INC CL A	0.00	14.03	93.0	0.00	13.05	0.00	13.05
12/01/201	7 #00075084357 HARVEY, ANTONIO	BOND FUND OF AMERICA INC CL A	0.00	5.22	93.0	0.00	4.85	0.00	4.85
12/01/201	7 #04000073507	BOND FUND OF AMERICA INC CL A	0.00	1.26	93.0	0.00	1.17	0.00	1.17
12/01/201	7 #04000092547	BOND FUND OF AMERICA INC CL A	0.00	0.73	93.0	0.00	0.68	0.00	0.68
12/01/201	7 #00088978687	BOND FUND OF AMERICA INC CL A	0.00	46.17	93.0	0.00	42.94	0.00	42.94
12/01/201	7 #00089405854 FAIRFIELD,	BOND FUND OF AMERICA INC CL A	0.00	1.08	93.0	0.00	1.00	0.00	1.00
12/01/201	7 #00086559404 BAILEY, KAREN	BOND FUND OF AMERICA INC CL A	0.00	5.24	93.0	0.00	4.87	0.00	4.87
12/01/201	7 #00086731559 PIERCE,	BOND FUND OF AMERICA INC CL A	0.00	5.30	93.0	0.00	4.93	0.00	4.93
12/01/201	7 #00086872436 KLONOWSKI,	BOND FUND OF AMERICA INC CL A	0.00	2.67	93.0	0.00	2.48	0.00	2.48
12/01/201	7 #00087092737 JORDAN, JAMES	BOND FUND OF AMERICA INC CL A	0.00	1.29	93.0	0.00	1.20	0.00	1.20
12/01/201	7 #00087249463	BOND FUND OF AMERICA INC CL A	0.00	6.42	93.0	0.00	5.97	0.00	5.97
12/01/201	7 #00081391700 GREENLEE, R	BOND FUND OF AMERICA INC CL A	0.00	0.19	93.0	0.00	0.18	0.00	0.18
12/01/201	7 #00082284073 HENRICHS, NELDA	BOND FUND OF AMERICA INC CL A	0.00	3.34	93.0	0.00	3.11	0.00	3.11
12/01/201	7 #00084044679 DUFRAIN, SHARON	BOND FUND OF AMERICA INC CL A	0.00	2.45	93.0	0.00	2.28	0.00	2.28
12/01/201	7 #00084440582 SOSTARICH, ALEX	BOND FUND OF AMERICA INC CL A	0.00	0.64	93.0	0.00	0.60	0.00	0.60
12/01/201	7 #00084706273 HENRICHS, NELDA	BOND FUND OF AMERICA INC CL A	0.00	6.67	93.0	0.00	6.20	0.00	6.20
12/01/201	7 #00086835678 LARSEN, LANA	CAPITAL INCOME BLDR FUND CL C	0.00	5.37	93.0	0.00	4.99	0.00	4.99
12/01/201	7 #00086882720 BROWN, DENISE	CAPITAL INCOME BLDR FUND CL C	0.00	6.52	93.0	0.00	6.06	0.00	6.06
12/01/201	7 #00084330324 ROOD, NATHANIEL	CAPITAL INCOME BLDR FUND CL C	0.00	15.49	93.0	0.00	14.41	0.00	14.41

INVESTMENT

GROSS

NET

CLEARING

DATE	CLIENT	IVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TRA	NSACTIONS						
RODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS							
2/01/201	7 #00081732143 SMITH, MARK	CAPITAL INCOME BUILDER FD CL A	0.00	3.88	93.0	0.00	3.61	0.00	3.61
2/01/201	7 #00082145480 GREENLEE,	CAPITAL INCOME BUILDER FD CL A	0.00	1.91	93.0	0.00	1.78	0.00	1.78
2/01/201	7 #00084093970 YEATES, NORA	CAPITAL INCOME BUILDER FD CL A	0.00	12.81	93.0	0.00	11.91	0.00	11.91
2/01/201	7 #00085555044 ARTHURRHON	CAPITAL INCOME BUILDER FD CL A	0.00	1.12	93.0	0.00	1.04	0.00	1.04
2/01/201	7 #00085555083 ARRTHURKEVI	CAPITAL INCOME BUILDER FD CL A	0.00	2.14	93.0	0.00	1.99	0.00	1.99
2/01/201	7 #00065191215 CARLEY, DOROTH	Y CAPITAL INCOME BUILDER FD CL A	0.00	0.19	93.0	0.00	0.18	0.00	0.18
2/01/201	7 #00069311629 CARLEY, RICHAR	D CAPITAL INCOME BUILDER FD CL A	0.00	17.47	93.0	0.00	16.25	0.00	16.25
2/01/201	7 #00073570837 JOHNSTON,	CAPITAL INCOME BUILDER FD CL A	0.00	31.87	93.0	0.00	29.64	0.00	29.64
2/01/201	7 #00074151999 GREENAWALT,	CAPITAL INCOME BUILDER FD CL A	0.00	0.98	93.0	0.00	0.91	0.00	0.91
2/01/201	7 #00074675547 GREENAWALT,	CAPITAL INCOME BUILDER FD CL A	0.00	1.51	93.0	0.00	1.40	0.00	1.40
2/01/201	7 #00075012426 STEVENS, JAMES	CAPITAL INCOME BUILDER FD CL A	0.00	11.94	93.0	0.00	11.10	0.00	11.10
2/01/201	7 #00075019244 STEVENS, JAMES	CAPITAL INCOME BUILDER FD CL A	0.00	20.42	93.0	0.00	18.99	0.00	18.99
2/01/201	7 #00075084357 HARVEY, ANTONI	O CAPITAL INCOME BUILDER FD CL A	0.00	7.41	93.0	0.00	6.89	0.00	6.89
2/01/201	7 #00075959045 LINK, MICHELLE	CAPITAL INCOME BUILDER FD CL A	0.00	0.92	93.0	0.00	0.86	0.00	0.86
2/01/201	7 #04000073507	CAPITAL INCOME BUILDER FD CL A	0.00	1.55	93.0	0.00	1.44	0.00	1.44
2/01/201	7 #04000092547	CAPITAL INCOME BUILDER FD CL A	0.00	0.89	93.0	0.00	0.83	0.00	0.83
2/01/201	7 #00089133716 LINK, MICHELLE	CAPITAL INCOME BUILDER FD CL A	0.00	2.78	93.0	0.00	2.59	0.00	2.59
2/01/201	7 #00086429463 LITTLE, ELLIOT	I CAPITAL INCOME BUILDER FD CL A	0.00	0.79	93.0	0.00	0.73	0.00	0.73
2/01/201	7 #00086731559 PIERCE,	CAPITAL INCOME BUILDER FD CL A	0.00	4.61	93.0	0.00	4.29	0.00	4.29
2/01/201	7 #00086872436 KLONOWSKI,	CAPITAL INCOME BUILDER FD CL A	0.00	3.37	93.0	0.00	3.13	0.00	3.13
2/01/201	7 #00086934802 KARR, JAY	CAPITAL INCOME BUILDER FD CL A	0.00	3.30	93.0	0.00	3.07	0.00	3.07
2/01/201	7 #00087092737 JORDAN, JAMES	CAPITAL INCOME BUILDER FD CL A	0.00	1.62	93.0	0.00	1.51	0.00	1.51
2/01/201	7 #00087146597 IL/TOD	CAPITAL INCOME BUILDER FD CL A	0.00	5.72	93.0	0.00	5.32	0.00	5.32
2/01/201	7 #00087249463	CAPITAL INCOME BUILDER FD CL A	0.00	34.56	93.0	0.00	32.14	0.00	32.14
2/01/201	7 #00085555136 ARRTHURKEVI	CAPITAL INCOME BUILDER FD CL A	0.00	0.88	93.0	0.00	0.82	0.00	0.82
2/01/201	7 #00081391700 GREENLEE, R	CAPITAL INCOME BUILDER FD CL A	0.00	0.37	93.0	0.00	0.34	0.00	0.34
2/01/201	7 #00082103952 HOPKINS, DANA	CAPITAL INCOME BUILDER FD CL A	0.00	7.22	93.0	0.00	6.71	0.00	6.71
2/01/201	7 #00084885189 HENRICHS, NELD	A CAPITAL INCOME BUILDER FD CL A	0.00	5.32	93.0	0.00	4.95	0.00	4.95
2/01/201	7 #00085057300 CARTER, GARY	CAPITAL INCOME BUILDER FD CL A	0.00	2.57	93.0	0.00	2.39	0.00	2.39
2/01/201	7 #00085341614 HOPKINS, DANA	CAPITAL INCOME BUILDER FD CL A	0.00	0.28	93.0	0.00	0.26	0.00	0.26
2/01/201	7 #00074647782 LUSTIG, ROBERT	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.27	93.0	0.00	0.25	0.00	0.25
2/01/201	7 #00081732143 SMITH, MARK	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	1.68	93.0	0.00	1.56	0.00	1.56
2/01/201	7 #00075012426 STEVENS, JAMES	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	15.95	93.0	0.00	14.83	0.00	14.83
2/01/201	7 #00086731559 PIERCE,	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	4.99	93.0	0.00	4.64	0.00	4.64
2/01/201	7 #00086872436 KLONOWSKI,	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	1.26	93.0	0.00	1.17	0.00	1.17
2/01/201	7 #00081391700 GREENLEE, R	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.26	93.0	0.00	0.24	0.00	0.24

DATE	CLIENT IN	VESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TRANS	SACTIONS						
	a		DRORLIN TIGHT	710110110						
-	CATEGORY: MUTUAL FUND 12B1			0.00	0.15	02.0	0.00	0.00	0.00	0.00
	7 #00084044679 DUFRAIN, SHARON			0.00	2.17	93.0	0.00	2.02	0.00	2.02
	7 #00084706273 HENRICHS, NELDA			0.00	6.47	93.0	0.00	6.02	0.00	6.02
	7 #00081732143 SMITH, MARK	CAPITAL WORLD GROWTH &		0.00	4.34	93.0	0.00	4.04	0.00	4.04
	7 #00084093970 YEATES, NORA	CAPITAL WORLD GROWTH &		0.00	4.61	93.0	0.00	4.29	0.00	4.29
	7 #00088516505 YAKIMA, CYNTHIA			0.00	4.96	93.0	0.00	4.61	0.00	4.61
	7 #00069311629 CARLEY, RICHARI			0.00	21.55	93.0	0.00	20.04	0.00	20.04
	7 #00074675547 GREENAWALT,	CAPITAL WORLD GROWTH &		0.00	2.68	93.0	0.00	2.49	0.00	2.49
	7 #00075019244 STEVENS, JAMES	CAPITAL WORLD GROWTH 8		0.00	12.72	93.0	0.00	11.83	0.00	11.83
	7 #00075084357 HARVEY, ANTONIC			0.00	6.25	93.0	0.00	5.81	0.00	5.81
	7 #00075959045 LINK, MICHELLE	CAPITAL WORLD GROWTH &		0.00	1.13	93.0	0.00	1.05	0.00	1.05
	7 #00086882720 BROWN, DENISE	CAPITAL WORLD GROWTH &		0.00	2.44	93.0	0.00	2.27	0.00	2.27
	7 #00086934802 KARR, JAY	CAPITAL WORLD GROWTH &		0.00	3.84 0.91	93.0	0.00	3.57	0.00	3.57
	7 #00087092737 JORDAN, JAMES	CAPITAL WORLD GROWTH &		0.00		93.0	0.00	0.85	0.00	0.85
	7 #00087146597 IL/TOD	CAPITAL WORLD GROWTH &		0.00	5.62 12.70	93.0	0.00	5.23	0.00	5.23 11.81
	7 #00081708237 TINGLEY, JOHN 7 #00082103952 HOPKINS, DANA	CAPITAL WORLD GROWTH 8 CAPITAL WORLD GROWTH 8		0.00	7.95	93.0	0.00	11.81	0.00	7.39
	7 #00084927475 TINGLEY,	CAPITAL WORLD GROWTH 8		0.00	6.39	93.0	0.00	5.94	0.00	7.39 5.94
	7 #00085288543 STEVENS, JAMES	CAPITAL WORLD GROWTH 8		0.00	2.77	93.0	0.00	2.58	0.00	2.58
	7 #00085341614 HOPKINS, DANA	CAPITAL WORLD GROWTH 8		0.00	0.32	93.0	0.00	0.30	0.00	0.30
						93.0	0.00			
	7 #00081732143 SMITH, MARK	EURO PACIFIC GROWTH FU		0.00	1.95			1.81	0.00	1.81
	7 #00069311629 CARLEY, RICHARI			0.00	6.18	93.0	0.00	5.75	0.00	5.75 0.34
	7 #00086835678 LARSEN, LANA	EURO PACIFIC GROWTH FU		0.00	0.37	93.0	0.00	0.34	0.00	13.62
	7 #00081708237 TINGLEY, JOHN 7 #00084927475 TINGLEY,	EURO PACIFIC GROWTH FU		0.00	14.65 9.59	93.0	0.00	13.62	0.00	8.92
	7 #00085341614 HOPKINS, DANA	EURO PACIFIC GROWTH FU		0.00	0.27	93.0	0.00	0.25	0.00	0.25
	7 #00073771609 MCCLURE, LESLIE			0.00	6.98	93.0	0.00	6.49	0.00	6.49
	7 #00082145480 GREENLEE,	FUNDAMENTAL INVESTORS		0.00	3.02	93.0	0.00	2.81	0.00	2.81
	7 #00084093970 YEATES, NORA	FUNDAMENTAL INVESTORS		0.00	5.82	93.0	0.00	5.41	0.00	5.41
	7 #00084440533 KUHLMANN,	FUNDAMENTAL INVESTORS		0.00	8.50	93.0	0.00	7.91	0.00	7.91
	7 #00063858242 KARR, DONNA	FUNDAMENTAL INVESTORS		0.00	8.14	93.0	0.00	7.57		7.57
	7 #00063976641 KARR, DONNA				4.97	93.0	0.00	4.62		4.62
	7 #00063976641 KARR, DONNA 7 #00069311629 CARLEY, RICHARI	FUNDAMENTAL INVESTORS		0.00	23.97	93.0	0.00	22.29	0.00	22.29
	7 #00073570837 JOHNSTON,	FUNDAMENTAL INVESTORS		0.00	12.52	93.0	0.00	11.64		11.64
	7 #00073570837 JOHNSTON, 7 #00074151999 GREENAWALT,	FUNDAMENTAL INVESTORS		0.00	2.11	93.0	0.00	1.96	0.00	1.96
	7 #00074675547 GREENAWALT,	FUNDAMENTAL INVESTORS				93.0	0.00	5.90	0.00	5.90
				0.00	6.34	93.0	0.00	3.76	0.00	3.76
12/01/201	7 #00075959045 LINK, MICHELLE	FUNDAMENTAL INVESTORS	TIME CHADO A	0.00	4.04	JJ.U	0.00	3.70	0.00	3.70

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DATE	CLIENT IN	/ESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRAN	SACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS							
-		FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.11	93.0	0.00	1.03	0.00	1.03
12/01/201	7 #00086934802 KARR, JAY	FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.54	93.0	0.00	4.22	0.00	4.22
12/01/201	.7 #00087092737 JORDAN, JAMES	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.30	93.0	0.00	1.21	0.00	1.21
12/01/201	7 #00087249463	FUNDAMENTAL INVESTORS INC CLASS A	0.00	13.77	93.0	0.00	12.81	0.00	12.81
12/01/201	7 #00081391700 GREENLEE, R	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.63	93.0	0.00	0.59	0.00	0.59
12/01/201	7 #00082284073 HENRICHS, NELDA	FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.65	93.0	0.00	2.46	0.00	2.46
12/01/201	.7 #00084440582 SOSTARICH, ALEX	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.81	93.0	0.00	1.68	0.00	1.68
12/01/201	.7 #00085057808 CARTER, GARY	FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.84	93.0	0.00	2.64	0.00	2.64
12/01/201	7 #00085288543 STEVENS, JAMES	FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.37	93.0	0.00	3.13	0.00	3.13
12/01/201	.7 #00085341614 HOPKINS, DANA	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.40	93.0	0.00	0.37	0.00	0.37
12/01/201	7 #00089729021	FUNDAMENTAL INVS INC CL C	0.00	13.80	93.0	0.00	12.83	0.00	12.83
12/01/201	7 #00074647782 LUSTIG, ROBERT	GLOBAL BALANCED FUND-A	0.00	0.28	93.0	0.00	0.26	0.00	0.26
12/01/201	7 #00075960625 CARLEY, RICHARD	GLOBAL BALANCED FUND-A	0.00	4.84	93.0	0.00	4.50	0.00	4.50
12/01/201	7 #00082145480 GREENLEE,	GLOBAL BALANCED FUND-A	0.00	4.19	93.0	0.00	3.90	0.00	3.90
12/01/201	.7 #00065191215 CARLEY, DOROTHY	GLOBAL BALANCED FUND-A	0.00	15.77	93.0	0.00	14.67	0.00	14.67
12/01/201	.7 #00069311629 CARLEY, RICHARD	GLOBAL BALANCED FUND-A	0.00	25.24	93.0	0.00	23.47	0.00	23.47
12/01/201	7 #00073570837 JOHNSTON,	GLOBAL BALANCED FUND-A	0.00	22.59	93.0	0.00	21.01	0.00	21.01
12/01/201	7 #00075012426 STEVENS, JAMES	GLOBAL BALANCED FUND-A	0.00	79.65	93.0	0.00	74.07	0.00	74.07
12/01/201	7 #00075019244 STEVENS, JAMES	GLOBAL BALANCED FUND-A	0.00	5.38	93.0	0.00	5.00	0.00	5.00
12/01/201	7 #00075959045 LINK, MICHELLE	GLOBAL BALANCED FUND-A	0.00	1.33	93.0	0.00	1.24	0.00	1.24
12/01/201	7 #04000506110	GLOBAL BALANCED FUND-A	0.00	0.97	93.0	0.00	0.90	0.00	0.90
12/01/201	7 #00089133716 LINK, MICHELLE	GLOBAL BALANCED FUND-A	0.00	2.28	93.0	0.00	2.12	0.00	2.12
12/01/201	7 #00089726999 ANDREWS,	GLOBAL BALANCED FUND-A	0.00	0.12	93.0	0.00	0.11	0.00	0.11
12/01/201	7 #00089727839	GLOBAL BALANCED FUND-A	0.00	1.08	93.0	0.00	1.00	0.00	1.00
12/01/201	.7 #00085532239 IL/TOD	GLOBAL BALANCED FUND-A	0.00	36.41	93.0	0.00	33.86	0.00	33.86
12/01/201	.7 #00082103952 HOPKINS, DANA	GLOBAL BALANCED FUND-A	0.00	7.51	93.0	0.00	6.98	0.00	6.98
12/01/201	.7 #00085057300 CARTER, GARY	GLOBAL BALANCED FUND-A	0.00	4.08	93.0	0.00	3.79	0.00	3.79
12/01/201	.7 #00088726885 ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	0.00	1.71	93.0	0.00	1.59	0.00	1.59
12/01/201	7 #00066994403	GLOBAL GROWTH PORTFOLIO-A	0.00	2.70	93.0	0.00	2.51	0.00	2.51
12/01/201	7 #00075012426 STEVENS, JAMES	GLOBAL GROWTH PORTFOLIO-A	0.00	20.83	93.0	0.00	19.37	0.00	19.37
12/01/201	7 #00087989647 STEVENS, JAMES	GLOBAL GROWTH PORTFOLIO-A	0.00	47.14	93.0	0.00	43.84	0.00	43.84
12/01/201	7 #00088037021	GLOBAL GROWTH PORTFOLIO-A	0.00	2.99	93.0	0.00	2.78	0.00	2.78
12/01/201	7 #00088978687	GLOBAL GROWTH PORTFOLIO-A	0.00	53.06	93.0	0.00	49.35	0.00	49.35
12/01/201	7 #00086674610 BAILEY, KAREN	GLOBAL GROWTH PORTFOLIO-A	0.00	1.38	93.0	0.00	1.28	0.00	1.28
	7 #00087092737 JORDAN, JAMES	GLOBAL GROWTH PORTFOLIO-A	0.00	3.74	93.0	0.00	3.48	0.00	3.48
12/01/201	.7 #00087119085 TINGLEY, JOHN	GLOBAL GROWTH PORTFOLIO-A	0.00	8.18	93.0	0.00	7.61	0.00	7.61

01/17/2018 COMMISSION STATEMENT for RICHARD BARRONE

DATE CLIENT IN	VESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
	BROKER TRAN	ISACTIONS						
RODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
2/01/2017 #00087146597 IL/TOD	GLOBAL GROWTH PORTFOLIO-A	0.00	6.22	93.0	0.00	5.78	0.00	5.78
2/01/2017 #00087249463	GLOBAL GROWTH PORTFOLIO-A	0.00	11.50	93.0	0.00	10.70	0.00	10.70
2/01/2017 #00087350673	GLOBAL GROWTH PORTFOLIO-A	0.00	1.74	93.0	0.00	1.62	0.00	1.62
2/01/2017 #00084927475 TINGLEY,	GLOBAL GROWTH PORTFOLIO-A	0.00	8.12	93.0	0.00	7.55	0.00	7.55
2/01/2017 #00087266963 MEEKERJENN	GROWTH AND INCOME PORFOLIO	0.00	11.41	93.0	0.00	10.61	0.00	10.61
2/01/2017 #00073771609 MCCLURE, LESLIE	GROWTH AND INCOME PORTFOLIO-A	0.00	9.26	93.0	0.00	8.61	0.00	8.61
2/01/2017 #00088516505 YAKIMA, CYNTHIA	GROWTH AND INCOME PORTFOLIO-A	0.00	31.61	93.0	0.00	29.40	0.00	29.40
2/01/2017 #00088726885 ROOD, NATHANIEI	GROWTH AND INCOME PORTFOLIO-A	0.00	2.17	93.0	0.00	2.02	0.00	2.02
2/01/2017 #00066994403	GROWTH AND INCOME PORTFOLIO-A	0.00	3.44	93.0	0.00	3.20	0.00	3.20
2/01/2017 #00087989647 STEVENS, JAMES	GROWTH AND INCOME PORTFOLIO-A	0.00	69.23	93.0	0.00	64.38	0.00	64.38
2/01/2017 #00088037021	GROWTH AND INCOME PORTFOLIO-A	0.00	3.24	93.0	0.00	3.01	0.00	3.01
2/01/2017 #00088978687	GROWTH AND INCOME PORTFOLIO-A	0.00	104.01	93.0	0.00	96.73	0.00	96.73
2/01/2017 #00089339323 KING, LINDSAY	GROWTH AND INCOME PORTFOLIO-A	0.00	2.35	93.0	0.00	2.19	0.00	2.19
2/01/2017 #00087146597 IL/TOD	GROWTH AND INCOME PORTFOLIO-A	0.00	18.38	93.0	0.00	17.09	0.00	17.09
2/01/2017 #00087249463	GROWTH AND INCOME PORTFOLIO-A	0.00	24.41	93.0	0.00	22.70	0.00	22.70
2/01/2017 #00087350673	GROWTH AND INCOME PORTFOLIO-A	0.00	1.67	93.0	0.00	1.55	0.00	1.55
2/01/2017 #00081708237 TINGLEY, JOHN	GROWTH AND INCOME PORTFOLIO-A	0.00	21.06	93.0	0.00	19.59	0.00	19.59
2/01/2017 #00081716214 TINGLEY,	GROWTH AND INCOME PORTFOLIO-A	0.00	17.22	93.0	0.00	16.01	0.00	16.01
2/01/2017 #00073771609 MCCLURE, LESLIE	GROWTH FUND AMERICA INC CLASS A	0.00	9.78	93.0	0.00	9.10	0.00	9.10
2/01/2017 #00075960625 CARLEY, RICHARD	GROWTH FUND AMERICA INC CLASS A	0.00	4.24	93.0	0.00	3.94	0.00	3.94
2/01/2017 #00081732143 SMITH, MARK	GROWTH FUND AMERICA INC CLASS A	0.00	2.68	93.0	0.00	2.49	0.00	2.49
2/01/2017 #00082145480 GREENLEE,	GROWTH FUND AMERICA INC CLASS A	0.00	10.95	93.0	0.00	10.18	0.00	10.18
2/01/2017 #00084093970 YEATES, NORA	GROWTH FUND AMERICA INC CLASS A	0.00	8.31	93.0	0.00	7.73	0.00	7.73
2/01/2017 #00086554976 KUIKEN, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	4.24	93.0	0.00	3.94	0.00	3.94
2/01/2017 #00063976642 KARR, JAY	GROWTH FUND AMERICA INC CLASS A	0.00	6.21	93.0	0.00	5.78	0.00	5.78
2/01/2017 #00065191215 CARLEY, DOROTHY	GROWTH FUND AMERICA INC CLASS A	0.00	9.50	93.0	0.00	8.84	0.00	8.84
2/01/2017 #00069311629 CARLEY, RICHARD	GROWTH FUND AMERICA INC CLASS A	0.00	13.57	93.0	0.00	12.62	0.00	12.62
2/01/2017 #00073570837 JOHNSTON,	GROWTH FUND AMERICA INC CLASS A	0.00	7.72	93.0	0.00	7.18	0.00	7.18
2/01/2017 #00074675547 GREENAWALT,	GROWTH FUND AMERICA INC CLASS A	0.00	2.83	93.0	0.00	2.63	0.00	2.63
2/01/2017 #00075012426 STEVENS, JAMES	GROWTH FUND AMERICA INC CLASS A	0.00	51.08		0.00	47.50	0.00	47.50
2/01/2017 #00075019244 STEVENS, JAMES	GROWTH FUND AMERICA INC CLASS A	0.00	13.89	93.0	0.00	12.92	0.00	12.92
2/01/2017 #00075959045 LINK, MICHELLE	GROWTH FUND AMERICA INC CLASS A	0.00	4.37		0.00	4.06	0.00	4.06
2/01/2017 #04000073507	GROWTH FUND AMERICA INC CLASS A	0.00	1.70	93.0	0.00	1.58	0.00	1.58
2/01/2017 #04000092547	GROWTH FUND AMERICA INC CLASS A	0.00	0.97		0.00	0.90	0.00	0.90
2/01/2017 #01000032317 2/01/2017 #00064165872 UNTIL AGE 21,	GROWTH FUND AMERICA INC CLASS A	0.00		93.0	0.00	2.08	0.00	2.08
2/01/2017 #00004103072 ONTH AGE 21, 2/01/2017 #00086731559 PIERCE,	GROWTH FUND AMERICA INC CLASS A	0.00		93.0	0.00	6.05	0.00	6.05
2,01,201/ #00000/31339 PIERCE,	GROWIN FORD AMERICA INC CHASS A	0.00	0.50	JJ.U	0.00	0.05	0.00	0.05

GROSS

INVESTMENT

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BROKER

CLEARING

NET

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DATE	CLIENT	IVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		F	BROKER TRAN	SACTIONS						
DD ODUGE	CAMEGODY - MUNICIPAL PURIS 100	_	MORDIC TIGHT	<u>DITET TONE</u>						
	CATEGORY: MUTUAL FUND 12B : 7 #00086882720 BROWN, DENISE	GROWTH FUND AMERICA INC	CIACC A	0.00	2.83	93.0	0.00	2.63	0.00	2.63
	7 #00087092737 JORDAN, JAMES	GROWTH FUND AMERICA INC		0.00	1.12	93.0	0.00	1.04	0.00	1.04
	7 #00087092737 GORDAN, GAMES 7 #00085532239 IL/TOD	GROWTH FUND AMERICA INC		0.00	14.52	93.0	0.00	13.50	0.00	13.50
	7 #000033332239 IE/10D 7 #00075975866 GREENAWALT,	GROWTH FUND AMERICA INC		0.00	3.00	93.0	0.00	2.79	0.00	2.79
	7 #00081391700 GREENLEE, R	GROWTH FUND AMERICA INC		0.00	0.80	93.0	0.00	0.74	0.00	0.74
	7 #00082284073 HENRICHS, NELD			0.00	2.69	93.0	0.00	2.50	0.00	2.50
	7 #00084440582 SOSTARICH, ALE			0.00	1.86	93.0	0.00	1.73	0.00	1.73
	7 #00084706273 HENRICHS, NELD			0.00	3.00	93.0	0.00	2.79	0.00	2.79
	7 #00085062501 CARTER, GARY	GROWTH FUND AMERICA INC		0.00	14.13	93.0	0.00	13.14	0.00	13.14
	7 #00085288543 STEVENS, JAMES	GROWTH FUND AMERICA INC		0.00	7.55	93.0	0.00	7.02	0.00	7.02
	7 #00085341614 HOPKINS, DANA	GROWTH FUND AMERICA INC		0.00	0.40	93.0	0.00	0.37	0.00	0.37
	7 #00087266963 MEEKERJENN	GROWTH PORTFOLIO C		0.00	12.32	93.0	0.00	11.46	0.00	11.46
	7 #00086882720 BROWN, DENISE	GROWTH PORTFOLIO C		0.00	9.70	93.0	0.00	9.02	0.00	9.02
	7 #00063858242 KARR, DONNA	INCOME FUND AMER INC CL	C	0.00	128.60	93.0	0.00	119.60	0.00	119.60
	7 #00086835678 LARSEN, LANA	INCOME FUND AMER INC CL		0.00	5.86	93.0	0.00	5.45	0.00	5.45
	7 #00086882720 BROWN, DENISE	INCOME FUND AMER INC CL		0.00	7.08	93.0	0.00	6.58	0.00	6.58
	7 #00086965104 KARR, JAY	INCOME FUND AMER INC CL	C	0.00	35.16	93.0	0.00	32.70	0.00	32.70
	7 #00084330324 ROOD, NATHANIE			0.00	14.80	93.0	0.00	13.76	0.00	13.76
12/01/201	7 #00064354795 SLOAN, VERNON	INCOME FUND OF AMERICA I	NC CLASS A	0.00	55.64	93.0	0.00	51.75	0.00	51.75
12/01/201	7 #00073771609 MCCLURE, LESLI	E INCOME FUND OF AMERICA I	NC CLASS A	0.00	17.34	93.0	0.00	16.13	0.00	16.13
12/01/201	7 #00074647782 LUSTIG, ROBERT	INCOME FUND OF AMERICA I	NC CLASS A	0.00	0.85	93.0	0.00	0.79	0.00	0.79
12/01/201	7 #00075960625 CARLEY, RICHAR	D INCOME FUND OF AMERICA I	NC CLASS A	0.00	3.57	93.0	0.00	3.32	0.00	3.32
12/01/201	7 #00081732143 SMITH, MARK	INCOME FUND OF AMERICA I	NC CLASS A	0.00	6.48	93.0	0.00	6.03	0.00	6.03
12/01/201	7 #00082145480 GREENLEE,	INCOME FUND OF AMERICA I	NC CLASS A	0.00	13.24	93.0	0.00	12.31	0.00	12.31
12/01/201	7 #00084093970 YEATES, NORA	INCOME FUND OF AMERICA I	NC CLASS A	0.00	15.03	93.0	0.00	13.98	0.00	13.98
12/01/201	7 #00084440533 KUHLMANN,	INCOME FUND OF AMERICA I	NC CLASS A	0.00	1.60	93.0	0.00	1.49	0.00	1.49
12/01/201	7 #00085555044 ARTHURRHON	INCOME FUND OF AMERICA I	NC CLASS A	0.00	2.01	93.0	0.00	1.87	0.00	1.87
12/01/201	7 #00085555083 ARRTHURKEVI	INCOME FUND OF AMERICA I	NC CLASS A	0.00	1.45	93.0	0.00	1.35	0.00	1.35
12/01/201	7 #00086554976 KUIKEN, DAVID	INCOME FUND OF AMERICA I	NC CLASS A	0.00	3.53	93.0	0.00	3.28	0.00	3.28
12/01/201	7 #00088516505 YAKIMA, CYNTHI	A INCOME FUND OF AMERICA I	NC CLASS A	0.00	29.32	93.0	0.00	27.27	0.00	27.27
12/01/201	7 #00063858242 KARR, DONNA	INCOME FUND OF AMERICA I	NC CLASS A	0.00	0.02	93.0	0.00	0.02	0.00	0.02
12/01/201	7 #00063976641 KARR, DONNA	INCOME FUND OF AMERICA I	NC CLASS A	0.00	3.86	93.0	0.00	3.59	0.00	3.59
12/01/201	7 #00064165871 UNTIL AGE 21,	INCOME FUND OF AMERICA I	NC CLASS A	0.00	5.57	93.0	0.00	5.18	0.00	5.18
12/01/201	7 #00065191215 CARLEY, DOROTH	Y INCOME FUND OF AMERICA I	NC CLASS A	0.00	13.53	93.0	0.00	12.58	0.00	12.58
12/01/201	7 #00066994403	INCOME FUND OF AMERICA I	NC CLASS A	0.00	8.30	93.0	0.00	7.72	0.00	7.72
12/01/201	7 #00069196763 GREENAWALT,	INCOME FUND OF AMERICA I	NC CLASS A	0.00	16.00	93.0	0.00	14.88	0.00	14.88

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DATE	CLIENT IN	/ESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TRANS	SACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS								
	7 #00069311629 CARLEY, RICHARD		AMERICA INC CLASS A	0.00	40.37	93.0	0.00	37.54	0.00	37.54
	7 #00073570837 JOHNSTON,		AMERICA INC CLASS A	0.00	32.76	93.0	0.00	30.47	0.00	30.47
12/01/201	7 #00074151999 GREENAWALT,	INCOME FUND OF	AMERICA INC CLASS A	0.00	6.39	93.0	0.00	5.94	0.00	5.94
12/01/201	7 #00074675547 GREENAWALT,	INCOME FUND OF	AMERICA INC CLASS A	0.00	12.46	93.0	0.00	11.59	0.00	11.59
12/01/201	7 #00075012426 STEVENS, JAMES	INCOME FUND OF	AMERICA INC CLASS A	0.00	43.27	93.0	0.00	40.24	0.00	40.24
12/01/201	7 #00075019244 STEVENS, JAMES	INCOME FUND OF	AMERICA INC CLASS A	0.00	22.20	93.0	0.00	20.65	0.00	20.65
12/01/201	7 #00075084357 HARVEY, ANTONIO	INCOME FUND OF	AMERICA INC CLASS A	0.00	8.09	93.0	0.00	7.52	0.00	7.52
12/01/201	7 #00075959045 LINK, MICHELLE	INCOME FUND OF	AMERICA INC CLASS A	0.00	3.43	93.0	0.00	3.19	0.00	3.19
12/01/201	7 #04000073507	INCOME FUND OF	AMERICA INC CLASS A	0.00	3.53	93.0	0.00	3.28	0.00	3.28
12/01/201	7 #04000092547	INCOME FUND OF	AMERICA INC CLASS A	0.00	2.02	93.0	0.00	1.88	0.00	1.88
12/01/201	7 #04000506110	INCOME FUND OF	AMERICA INC CLASS A	0.00	1.06	93.0	0.00	0.99	0.00	0.99
12/01/201	7 #00089133716 LINK, MICHELLE	INCOME FUND OF	AMERICA INC CLASS A	0.00	3.96	93.0	0.00	3.68	0.00	3.68
12/01/201	7 #00089405854 FAIRFIELD,	INCOME FUND OF	AMERICA INC CLASS A	0.00	1.34	93.0	0.00	1.25	0.00	1.25
12/01/201	7 #00089726999 ANDREWS,	INCOME FUND OF	AMERICA INC CLASS A	0.00	0.13	93.0	0.00	0.12	0.00	0.12
12/01/201	7 #00089727839	INCOME FUND OF	AMERICA INC CLASS A	0.00	1.19	93.0	0.00	1.11	0.00	1.11
12/01/201	7 #00064165872 UNTIL AGE 21,	INCOME FUND OF	AMERICA INC CLASS A	0.00	2.78	93.0	0.00	2.59	0.00	2.59
12/01/201	7 #00086471590 BOONE, RANDAL	INCOME FUND OF	AMERICA INC CLASS A	0.00	11.02	93.0	0.00	10.25	0.00	10.25
12/01/201	7 #00086559404 BAILEY, KAREN	INCOME FUND OF	AMERICA INC CLASS A	0.00	7.39	93.0	0.00	6.87	0.00	6.87
12/01/201	7 #00086674610 BAILEY, KAREN	INCOME FUND OF	AMERICA INC CLASS A	0.00	1.45	93.0	0.00	1.35	0.00	1.35
12/01/201	7 #00086731559 PIERCE,	INCOME FUND OF	AMERICA INC CLASS A	0.00	7.50	93.0	0.00	6.98	0.00	6.98
12/01/201	7 #00086835678 LARSEN, LANA	INCOME FUND OF	AMERICA INC CLASS A	0.00	0.19	93.0	0.00	0.18	0.00	0.18
12/01/201	7 #00086872436 KLONOWSKI,	INCOME FUND OF	AMERICA INC CLASS A	0.00	3.68	93.0	0.00	3.42	0.00	3.42
12/01/201	7 #00086934802 KARR, JAY	INCOME FUND OF	AMERICA INC CLASS A	0.00	3.58	93.0	0.00	3.33	0.00	3.33
12/01/201	7 #00087092737 JORDAN, JAMES	INCOME FUND OF	AMERICA INC CLASS A	0.00	1.74	93.0	0.00	1.62	0.00	1.62
12/01/201	7 #00087146597 IL/TOD	INCOME FUND OF	AMERICA INC CLASS A	0.00	13.69	93.0	0.00	12.73	0.00	12.73
12/01/201	7 #00087249463	INCOME FUND OF	AMERICA INC CLASS A	0.00	36.78	93.0	0.00	34.21	0.00	34.21
12/01/201	7 #00085532239 IL/TOD	INCOME FUND OF	AMERICA INC CLASS A	0.00	12.19	93.0	0.00	11.34	0.00	11.34
12/01/201	7 #00085555136 ARRTHURKEVI	INCOME FUND OF	AMERICA INC CLASS A	0.00	0.98	93.0	0.00	0.91	0.00	0.91
12/01/201	7 #00081391700 GREENLEE, R	INCOME FUND OF	AMERICA INC CLASS A	0.00	0.36	93.0	0.00	0.33	0.00	0.33
12/01/201	7 #00081716214 TINGLEY,	INCOME FUND OF	AMERICA INC CLASS A	0.00	24.80	93.0	0.00	23.06	0.00	23.06
12/01/201	7 #00082103952 HOPKINS, DANA	INCOME FUND OF	AMERICA INC CLASS A	0.00	15.15	93.0	0.00	14.09	0.00	14.09
12/01/201	7 #00082284073 HENRICHS, NELDA	INCOME FUND OF	AMERICA INC CLASS A	0.00	2.13	93.0	0.00	1.98	0.00	1.98
12/01/201	7 #00084044679 DUFRAIN, SHARON	INCOME FUND OF	AMERICA INC CLASS A	0.00	4.92	93.0	0.00	4.58	0.00	4.58
12/01/201	7 #00084706273 HENRICHS, NELDA	INCOME FUND OF	AMERICA INC CLASS A	0.00	9.52	93.0	0.00	8.85	0.00	8.85
12/01/201	7 #00084885189 HENRICHS, NELDA	INCOME FUND OF	AMERICA INC CLASS A	0.00	14.37	93.0	0.00	13.36	0.00	13.36
12/01/201	7 #00085057300 CARTER, GARY	INCOME FUND OF	AMERICA INC CLASS A	0.00	5.21	93.0	0.00	4.85	0.00	4.85

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INVESTMENT

GROSS

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CLEARING

DATE	CLIENT INV	/ESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TRAN	ISACTIONS						
PRODUCT (CATEGORY: MUTUAL FUND 12B1	TRAILS							
.2/01/2017	7 #00085057868	INCOME FUND OF AMERICA INC CLASS A	0.00	3.72	93.0	0.00	3.46	0.00	3.46
2/01/2017	7 #00085062501 CARTER, GARY	INCOME FUND OF AMERICA INC CLASS A	0.00	7.01	93.0	0.00	6.52	0.00	6.52
.2/01/2017	7 #00073771609 MCCLURE, LESLIE	INCOME PORTFOLIO A	0.00	10.65	93.0	0.00	9.90	0.00	9.90
.2/01/2017	7 #00084093970 YEATES, NORA	INCOME PORTFOLIO A	0.00	7.62	93.0	0.00	7.09	0.00	7.09
.2/01/2017	7 #00088516505 YAKIMA, CYNTHIA	INCOME PORTFOLIO A	0.00	30.37	93.0	0.00	28.24	0.00	28.24
.2/01/2017	7 #00065191215 CARLEY, DOROTHY	INCOME PORTFOLIO A	0.00	6.45	93.0	0.00	6.00	0.00	6.00
2/01/2017	7 #04000073507	INCOME PORTFOLIO A	0.00	2.74	93.0	0.00	2.55	0.00	2.55
2/01/2017	7 #04000092547	INCOME PORTFOLIO A	0.00	1.57	93.0	0.00	1.46	0.00	1.46
2/01/2017	7 #00088710798	INCOME PORTFOLIO A	0.00	39.99	93.0	0.00	37.19	0.00	37.19
2/01/2017	7 #00088978687	INCOME PORTFOLIO A	0.00	124.77	93.0	0.00	116.04	0.00	116.04
2/01/2017	7 #00087146597 IL/TOD	INCOME PORTFOLIO A	0.00	29.03	93.0	0.00	27.00	0.00	27.00
2/01/2017	7 #00087249463	INCOME PORTFOLIO A	0.00	45.44	93.0	0.00	42.26	0.00	42.26
2/01/2017	7 #00087350673	INCOME PORTFOLIO A	0.00	1.46	93.0	0.00	1.36	0.00	1.36
2/01/2017	7 #00089679082 BAILEY, KAREN	INCOME PORTFOLIO A	0.00	34.90	93.0	0.00	32.46	0.00	32.46
2/01/2017	7 #00084044679 DUFRAIN, SHARON	INCOME PORTFOLIO A	0.00	2.63	93.0	0.00	2.45	0.00	2.45
2/01/2017	7 #00085057808 CARTER, GARY	INCOME PORTFOLIO A	0.00	2.15	93.0	0.00	2.00	0.00	2.00
2/01/2017	7 #00087266963 MEEKERJENN	INCOME PORTFOLIO-C	0.00	4.60	93.0	0.00	4.28	0.00	4.28
2/01/2017	7 #00075012426 STEVENS, JAMES	INFLATION LINKED BOND FUND-A	0.00	18.87	93.0	0.00	17.55	0.00	17.55
2/01/2017	7 #00085057300 CARTER, GARY	INFLATION LINKED BOND FUND-A	0.00	1.95	93.0	0.00	1.81	0.00	1.81
2/01/2017	7 #00087092737 JORDAN, JAMES	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.90	93.0	0.00	0.84	0.00	0.84
2/01/2017	7 #00084044679 DUFRAIN, SHARON	INTERNATIONAL GROWTH AND INCOME-A	0.00	2.51	93.0	0.00	2.33	0.00	2.33
2/01/2017	7 #00084706273 HENRICHS, NELDA	INTERNATIONAL GROWTH AND INCOME-A	0.00	1.86	93.0	0.00	1.73	0.00	1.73
2/01/2017	7 #00089729021	INVESTMENT CO AMER CL C	0.00	13.39	93.0	0.00	12.45	0.00	12.45
2/01/2017	7 #00084093970 YEATES, NORA	INVESTMENT CO AMER CLASS A	0.00	7.54	93.0	0.00	7.01	0.00	7.01
2/01/2017	7 #00088516505 YAKIMA, CYNTHIA	INVESTMENT CO AMER CLASS A	0.00	7.65	93.0	0.00	7.11	0.00	7.11
2/01/2017	7 #00074151999 GREENAWALT,	INVESTMENT CO AMER CLASS A	0.00	3.39	93.0	0.00	3.15	0.00	3.15
2/01/2017	7 #00075959045 LINK, MICHELLE	INVESTMENT CO AMER CLASS A	0.00	1.23	93.0	0.00	1.14	0.00	1.14
2/01/2017	7 #00087092737 JORDAN, JAMES	INVESTMENT CO AMER CLASS A	0.00	1.28	93.0	0.00	1.19	0.00	1.19
2/01/2017	7 #00082284073 HENRICHS, NELDA	INVESTMENT CO AMER CLASS A	0.00	2.65	93.0	0.00	2.46	0.00	2.46
	7 #00084706273 HENRICHS, NELDA		0.00	18.14	93.0	0.00	16.87	0.00	16.87
2/01/2017	7 #00084440582 SOSTARICH, ALEX	NEW ECONOMY FUND SBI CLASS A	0.00	3.27	93.0	0.00	3.04	0.00	3.04
2/01/2017	7 #00081732143 SMITH, MARK	NEW PERSPECTIVE FUND INC CL A	0.00	5.15	93.0	0.00	4.79	0.00	4.79
2/01/2017	7 #00088516505 YAKIMA, CYNTHIA	NEW PERSPECTIVE FUND INC CL A	0.00	9.69	93.0	0.00	9.01	0.00	9.01
2/01/2017	7 #00086674610 BAILEY, KAREN	NEW PERSPECTIVE FUND INC CL A	0.00	1.71	93.0	0.00	1.59	0.00	1.59
2/01/2017	7 #00082284073 HENRICHS, NELDA	NEW PERSPECTIVE FUND INC CL A	0.00	1.26	93.0	0.00	1.17	0.00	1.17
0 / 01 / 001 5	7 #00084706273 HENDICHS NEIDA	NEW PERSPECTIVE FUND INC CL A	0.00	4.73	93.0	0.00	4.40	0.00	4.40

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DATE CLIENT INV	/estment	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
	BROKER TRAN	SACTIONS						
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
12/01/2017 #00084927475 TINGLEY,	NEW PERSPECTIVE FUND INC CL A	0.00	7.70	93.0	0.00	7.16	0.00	7.16
12/01/2017 #00085288543 STEVENS, JAMES	NEW PERSPECTIVE FUND INC CL A	0.00	3.06	93.0	0.00	2.85	0.00	2.85
12/01/2017 #00085341614 HOPKINS, DANA	NEW PERSPECTIVE FUND INC CL A	0.00	0.35	93.0	0.00	0.33	0.00	0.33
12/01/2017 #00085555044 ARTHURRHON	NEW WORLD FUND INC NEW	0.00	0.93	93.0	0.00	0.86	0.00	0.86
12/01/2017 #00075019244 STEVENS, JAMES	NEW WORLD FUND INC NEW	0.00	4.50	93.0	0.00	4.19	0.00	4.19
12/01/2017 #00075959045 LINK, MICHELLE	NEW WORLD FUND INC NEW	0.00	1.10	93.0	0.00	1.02	0.00	1.02
12/01/2017 #00086429463 LITTLE, ELLIOTT	NEW WORLD FUND INC NEW	0.00	0.75	93.0	0.00	0.70	0.00	0.70
12/01/2017 #00087092737 JORDAN, JAMES	NEW WORLD FUND INC NEW	0.00	0.76	93.0	0.00	0.71	0.00	0.71
12/01/2017 #00085341614 HOPKINS, DANA	NEW WORLD FUND INC NEW	0.00	0.24	93.0	0.00	0.22	0.00	0.22
12/01/2017 #04000073507	PRESERVATION PORTFOLIO-A	0.00	2.35	93.0	0.00	2.19	0.00	2.19
12/01/2017 #04000092547	PRESERVATION PORTFOLIO-A	0.00	1.83	93.0	0.00	1.70	0.00	1.70
12/01/2017 #00084093970 YEATES, NORA	RTMT INCOME PORTFOLIO ENHANCED-A	0.00	23.37	93.0	0.00	21.73	0.00	21.73
12/01/2017 #00087249463	RTMT INCOME PORTFOLIO ENHANCED-A	0.00	41.54	93.0	0.00	38.63	0.00	38.63
12/01/2017 #00083869206 FARMAN,	RTMT INCOME PORTFOLIO ENHANCED-A	0.00	2.65	93.0	0.00	2.46	0.00	2.46
12/01/2017 #00085161492 GREENAWALT,	RTMT INCOME PORTFOLIO ENHANCED-A	0.00	12.91	93.0	0.00	12.01	0.00	12.01
12/01/2017 #00085254551	RTMT INCOME PORTFOLIO ENHANCED-A	0.00	24.90	93.0	0.00	23.16	0.00	23.16
12/01/2017 #00064354795 SLOAN, VERNON	RTMT INCOME PORTFOLIO MODERATE-A	0.00	62.43	93.0	0.00	58.06	0.00	58.06
12/01/2017 #00088516505 YAKIMA, CYNTHIA	RTMT INCOME PORTFOLIO MODERATE-A	0.00	14.81	93.0	0.00	13.77	0.00	13.77
12/01/2017 #00087146597 IL/TOD	RTMT INCOME PORTFOLIO MODERATE-A	0.00	20.34	93.0	0.00	18.92	0.00	18.92
12/01/2017 #00089286841	RTMT INCOME PORTFOLIO MODERATE-A	0.00	20.12	93.0	0.00	18.71	0.00	18.71
12/01/2017 #00085254551	RTMT INCOME PORTFOLIO MODERATE-A	0.00	24.33	93.0	0.00	22.63	0.00	22.63
12/01/2017 #00085305347 HOPKINS,	RTMT INCOME PORTFOLIO MODERATE-A	0.00	62.31	93.0	0.00	57.95	0.00	57.95
12/01/2017 #00085305374 HOPKINS,	RTMT INCOME PORTFOLIO MODERATE-A	0.00	51.18	93.0	0.00	47.60	0.00	47.60
12/01/2017 #00075012426 STEVENS, JAMES	TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	95.02	93.0	0.00	88.37	0.00	88.37
12/01/2017 #00089360005 MCCLURE, LESLIE	TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	3.44	93.0	0.00	3.20	0.00	3.20
12/01/2017 #00086934802 KARR, JAY	TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	6.76	93.0	0.00	6.29	0.00	6.29
12/01/2017 #00082103952 HOPKINS, DANA	TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	20.89	93.0	0.00	19.43	0.00	19.43
12/01/2017 #00067490090 LOVELL, ASHLEY	THE BOND FUND OF AMERICA-529A	0.00	0.95	93.0	0.00	0.88	0.00	0.88
12/01/2017 #00082190172 UNTIL AGE 21,	THE BOND FUND OF AMERICA-529A	0.00	0.11	93.0	0.00	0.10	0.00	0.10
12/01/2017 #00082190231 UNTIL AGE 21,	THE BOND FUND OF AMERICA-529A	0.00	1.40	93.0	0.00	1.30	0.00	1.30
12/01/2017 #00067490088 LOVELL, ASHLEY	THE INCOME FUND OF AMERICA-529A	0.00	7.48	93.0	0.00	6.96	0.00	6.96
12/01/2017 #00067490090 LOVELL, ASHLEY	THE INCOME FUND OF AMERICA-529A	0.00	0.56	93.0	0.00	0.52	0.00	0.52
12/01/2017 #00082190172 UNTIL AGE 21,	THE INCOME FUND OF AMERICA-529A	0.00	1.80	93.0	0.00	1.67	0.00	1.67
12/01/2017 #00082190231 UNTIL AGE 21,	THE INCOME FUND OF AMERICA-529A	0.00	4.99	93.0	0.00	4.64	0.00	4.64
12/01/2017 #00084330324 ROOD, NATHANIEL	THE TAX-EXEMPT BOND FUND OF AMERICA	0.00	9.51	93.0	0.00	8.84	0.00	8.84
12/01/2017 #00067490090 LOVELL, ASHLEY	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	1.95	93.0	0.00	1.81	0.00	1.81

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INVESTMENT

GROSS

NET

CLEARING

DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TRAN	SACTIONS						
RODUCT (CATEGORY: MUTUAL FUND 1	2B1 TRAILS							
2/01/2017	7 #00082190172 UNTIL AGE 23	l, WASHINGTON MUTUAL INVESTORS FUND-52	0.00	0.24	93.0	0.00	0.22	0.00	0.22
2/01/2017	7 #00075960625 CARLEY, RICH	HARD WASHINGTON MUTUAL INVS FD CLASS A	0.00	3.91	93.0	0.00	3.64	0.00	3.64
2/01/2017	7 #00081732143 SMITH, MARK	WASHINGTON MUTUAL INVS FD CLASS A	0.00	2.44	93.0	0.00	2.27	0.00	2.27
2/01/2017	7 #00082145480 GREENLEE,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	8.51	93.0	0.00	7.91	0.00	7.91
2/01/2017	7 #00084093970 YEATES, NOR	A WASHINGTON MUTUAL INVS FD CLASS A	0.00	9.08	93.0	0.00	8.44	0.00	8.44
2/01/2017	7 #00086554976 KUIKEN, DAV	ID WASHINGTON MUTUAL INVS FD CLASS A	0.00	3.95	93.0	0.00	3.67	0.00	3.67
2/01/2017	7 #00063976641 KARR, DONNA	WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.66	93.0	0.00	4.33	0.00	4.33
2/01/2017	7 #00069311629 CARLEY, RICH	HARD WASHINGTON MUTUAL INVS FD CLASS A	0.00	16.08	93.0	0.00	14.95	0.00	14.95
2/01/2017	7 #00073570837 JOHNSTON,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.08	93.0	0.00	3.79	0.00	3.79
2/01/2017	7 #00075012426 STEVENS, JAN	MES WASHINGTON MUTUAL INVS FD CLASS A	0.00	13.31	93.0	0.00	12.38	0.00	12.38
2/01/2017	7 #00075019244 STEVENS, JAN	MES WASHINGTON MUTUAL INVS FD CLASS A	0.00	7.70	93.0	0.00	7.16	0.00	7.16
2/01/2017	7 #00089405854 FAIRFIELD,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.47	93.0	0.00	1.37	0.00	1.37
2/01/2017	7 #00086471590 BOONE, RANDA	AL WASHINGTON MUTUAL INVS FD CLASS A	0.00	13.55	93.0	0.00	12.60	0.00	12.60
2/01/2017	7 #00086559404 BAILEY, KARI	EN WASHINGTON MUTUAL INVS FD CLASS A	0.00	11.98	93.0	0.00	11.14	0.00	11.14
2/01/2017	7 #00086674610 BAILEY, KARI	EN WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.71	93.0	0.00	1.59	0.00	1.59
2/01/2017	7 #00087092737 JORDAN, JAMI	ES WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.24	93.0	0.00	1.15	0.00	1.15
2/01/2017	7 #00075975866 GREENAWALT,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	2.62	93.0	0.00	2.44	0.00	2.44
2/01/2017	7 #00081391700 GREENLEE, R	WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.60	93.0	0.00	0.56	0.00	0.56
2/01/2017	7 #00082103952 HOPKINS, DAI	NA WASHINGTON MUTUAL INVS FD CLASS A	0.00	13.71	93.0	0.00	12.75	0.00	12.75
2/01/2017	7 #00084885189 HENRICHS, NI	ELDA WASHINGTON MUTUAL INVS FD CLASS A	0.00	5.09	93.0	0.00	4.73	0.00	4.73
2/01/2017	7 #00085062501 CARTER, GAR	Y WASHINGTON MUTUAL INVS FD CLASS A	0.00	13.35	93.0	0.00	12.42	0.00	12.42
2/14/2017	7 #00002114676 VARIOUS BAR	RONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	1.37	93.0	0.00	1.27	0.00	1.27
2/14/2017	7 #00002165352 VARIOUS BARI	RONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	40.11	93.0	0.00	37.30	0.00	37.30
2/14/2017	7 #00002169794 VARIOUS BARI	RONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	3.99	93.0	0.00	3.71	0.00	3.71
2/14/2017	7 #00002170076 VARIOUS BAR	RONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	1.54	93.0	0.00	1.43	0.00	1.43
2/14/2017	7 #00002409058 VARIOUS BAR	RONE EMERGING MARKETS EQUITY A	0.00	2.31	93.0	0.00	2.15	0.00	2.15
2/14/2017	7 #00002409016 VARIOUS BAR	RONE FUNDAMENTAL ALL CAP CORE A	0.00	7.17	93.0	0.00	6.67	0.00	6.67
2/14/2017	7 #00002409058 VARIOUS BARI	RONE FUNDAMENTAL ALL CAP CORE A	0.00	1.43	93.0	0.00	1.33	0.00	1.33
2/14/2017	7 #00002409635 VARIOUS BAR	RONE FUNDAMENTAL ALL CAP CORE A	0.00	1.82	93.0	0.00	1.69	0.00	1.69
2/14/2017	7 #00002492256 VARIOUS BAR	RONE FUNDAMENTAL GLOBAL FRANCHISE A	0.00	0.23	93.0	0.00	0.21	0.00	0.21
2/14/2017	7 #00002331186 VARIOUS BAR	RONE FUNDAMENTAL LARGE CAP CORE FUND B	0.00	2.50	93.0	0.00	2.33	0.00	2.33
2/14/2017	7 #00002409061 VARIOUS BAR	RONE GLOBAL INCOME A	0.00	1.20	93.0	0.00	1.12	0.00	1.12
2/14/2017	7 #00002492251 VARIOUS BAR	RONE GLOBAL INCOME A	0.00	0.22	93.0	0.00	0.20	0.00	0.20
2/14/2017	7 #00002114675 VARIOUS BAR	RONE GLOBAL SHAREHOLDER YIELD A	0.00	2.63	93.0	0.00	2.45	0.00	2.45
2/14/2017	7 #00002114766 VARIOUS BAR	RONE GLOBAL SHAREHOLDER YIELD A	0.00	2.15	93.0	0.00	2.00	0.00	2.00
		RONE GLOBAL SHAREHOLDER YIELD A	0.00	2.70	93.0	0.00	2.51	0.00	2.51

COMMISSION STATEMENT for RICHARD BARRONE

		BROKER	TRANSACTIONS						
PRODUCT CATEG	ORY: MUTUAL FUND 12B1	TRAILS							
12/14/2017 #000	02130590 VARIOUS BARRONE	GLOBAL SHAREHOLDER YIELD A	0.00	3.14	93.0	0.00	2.92	0.00	2.92
12/14/2017 #000	02131574 VARIOUS BARRONE	GLOBAL SHAREHOLDER YIELD A	0.00	1.32	93.0	0.00	1.23	0.00	1.23
12/14/2017 #000	02133900 VARIOUS BARRONE	GLOBAL SHAREHOLDER YIELD A	0.00	5.10	93.0	0.00	4.74	0.00	4.74
12/14/2017 #000	02161786 VARIOUS BARRONE	GLOBAL SHAREHOLDER YIELD A	0.00	0.28	93.0	0.00	0.26	0.00	0.26
12/14/2017 #000	02165355 VARIOUS BARRONE	GLOBAL SHAREHOLDER YIELD A	0.00	33.68	93.0	0.00	31.32	0.00	31.32
12/14/2017 #000	02168927 VARIOUS BARRONE	GLOBAL SHAREHOLDER YIELD A	0.00	0.31	93.0	0.00	0.29	0.00	0.29
12/14/2017 #000	02168930 VARIOUS BARRONE	GLOBAL SHAREHOLDER YIELD A	0.00	0.31	93.0	0.00	0.29	0.00	0.29
12/14/2017 #000	02174927 VARIOUS BARRONE	GLOBAL SHAREHOLDER YIELD A	0.00	0.66	93.0	0.00	0.61	0.00	0.61
12/14/2017 #000	02176030 VARIOUS BARRONE	GLOBAL SHAREHOLDER YIELD A	0.00	1.16	93.0	0.00	1.08	0.00	1.08
12/14/2017 #000	02178570 VARIOUS BARRONE	GLOBAL SHAREHOLDER YIELD A	0.00	2.17	93.0	0.00	2.02	0.00	2.02
12/14/2017 #000	02194065 VARIOUS BARRONE	GLOBAL SHAREHOLDER YIELD A	0.00	0.25	93.0	0.00	0.23	0.00	0.23
12/14/2017 #000	02130589 VARIOUS BARRONE	HANCOCK FUNDS 41014P748	0.00	3.66	93.0	0.00	3.40	0.00	3.40
12/14/2017 #000	02131573 VARIOUS BARRONE	HANCOCK FUNDS 41014P748	0.00	2.03	93.0	0.00	1.89	0.00	1.89
12/14/2017 #000	02161790 VARIOUS BARRONE	HANCOCK FUNDS 41014P748	0.00	0.23	93.0	0.00	0.21	0.00	0.21
12/14/2017 #000	02169793 VARIOUS BARRONE	HANCOCK FUNDS 41014P748	0.00	3.68	93.0	0.00	3.42	0.00	3.42
12/14/2017 #000	02170077 VARIOUS BARRONE	HANCOCK FUNDS 41014P748	0.00	1.46	93.0	0.00	1.36	0.00	1.36
12/14/2017 #000	02178568 VARIOUS BARRONE	HANCOCK FUNDS 41014P748	0.00	1.89	93.0	0.00	1.76	0.00	1.76
12/14/2017 #000	02182786 VARIOUS BARRONE	HANCOCK FUNDS 47803P443	0.00	24.00	93.0	0.00	22.32	0.00	22.32
12/14/2017 #000	02186017 VARIOUS BARRONE	HANCOCK FUNDS 47803P443	0.00	2.37	93.0	0.00	2.20	0.00	2.20
12/14/2017 #000	02186800 VARIOUS BARRONE	HANCOCK FUNDS 47803P443	0.00	1.79	93.0	0.00	1.66	0.00	1.66
12/14/2017 #000	02188815 VARIOUS BARRONE	HANCOCK FUNDS 47803P443	0.00	0.44	93.0	0.00	0.41	0.00	0.41
12/14/2017 #000	02192845 VARIOUS BARRONE	HANCOCK FUNDS 47803P443	0.00	0.33	93.0	0.00	0.31	0.00	0.31
12/14/2017 #000	02192854 VARIOUS BARRONE	HANCOCK FUNDS 47803P443	0.00	0.33	93.0	0.00	0.31	0.00	0.31
12/14/2017 #000	02194063 VARIOUS BARRONE	HANCOCK FUNDS 47803P443	0.00	0.24	93.0	0.00	0.22	0.00	0.22
12/14/2017 #000	02188817 TO (STA) TOD	INCOME ALLOCATION A	0.00	0.99	93.0	0.00	0.92	0.00	0.92
12/14/2017 #000	02402705 VAN OORT VAR	INCOME ALLOCATION A	0.00	8.76	93.0	0.00	8.15	0.00	8.15
12/14/2017 #000	02192842 VARIOUS BARRONE	INTERNATIONAL GROWTH A	0.00	0.38	93.0	0.00	0.35	0.00	0.35
12/14/2017 #000	02192851 VARIOUS BARRONE	INTERNATIONAL GROWTH A	0.00	0.38	93.0	0.00	0.35	0.00	0.35
12/14/2017 #000	02186802 VARIOUS BARRONE	JH BALANCED FUND CLASS A	0.00	1.74	93.0	0.00	1.62	0.00	1.62
12/14/2017 #000	02408352 VARIOUS BARRONE	JH BALANCED FUND CLASS A	0.00	5.56	93.0	0.00	5.17	0.00	5.17
12/14/2017 #000	02192843 VARIOUS BARRONE	JH LARGE CAP EQUITY FD CL A	0.00	0.39	93.0	0.00	0.36	0.00	0.36
12/14/2017 #000	02192852 VARIOUS BARRONE	JH LARGE CAP EQUITY FD CL A	0.00	0.39	93.0	0.00	0.36	0.00	0.36
12/14/2017 #000	02182787 VARIOUS BARRONE	JOHN HANCOCK BOND FUND-CL A	0.00	13.43	93.0	0.00	12.49	0.00	12.49
12/14/2017 #000	02186015 VARIOUS BARRONE	JOHN HANCOCK BOND FUND-CL A	0.00	2.49	93.0	0.00	2.32	0.00	2.32
12/14/2017 #000	02188813 VARIOUS BARRONE	JOHN HANCOCK BOND FUND-CL A	0.00	0.42	93.0	0.00	0.39	0.00	0.39
12/14/2017 #000	02192846 VARIOUS BARRONE	JOHN HANCOCK BOND FUND-CL A	0.00	0.34	93.0	0.00	0.32	0.00	0.32

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DATE	CLIENT	INI	ESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
				BROKER TRANS	SACTIONS						
PRODUCT	CATEGORY: 1	MUTUAL FUND 12B1	TRAILS								
12/14/201	7 #000021928	55 VARIOUS BARRONE	JOHN HANCOCK E	BOND FUND-CL A	0.00	0.34	93.0	0.00	0.32	0.00	0.32
12/14/201	7 #000021955	42 VARIOUS BARRONE	JOHN HANCOCK E	BOND FUND-CL B	0.00	2.06	93.0	0.00	1.92	0.00	1.92
12/14/201	7 #000021146	72 VARIOUS BARRONE	JOHN HANCOCK D	DISCIPLINED VALUE MID	0.00	3.43	93.0	0.00	3.19	0.00	3.19
12/14/201	7 #000021232	59 VARIOUS BARRONE	JOHN HANCOCK D	DISCIPLINED VALUE MID	0.00	4.04	93.0	0.00	3.76	0.00	3.76
12/14/201	7 #000021305	93 VARIOUS BARRONE	JOHN HANCOCK D	DISCIPLINED VALUE MID	0.00	3.95	93.0	0.00	3.67	0.00	3.67
12/14/201	7 #000021617	88 VARIOUS BARRONE	JOHN HANCOCK D	DISCIPLINED VALUE MID	0.00	0.33	93.0	0.00	0.31	0.00	0.31
12/14/201	7 #000021146	74 VARIOUS BARRONE	JOHN HANCOCK F	DS II FLOATING RATE I	0.00	3.80	93.0	0.00	3.53	0.00	3.53
12/14/201	7 #000021232	56 VARIOUS BARRONE	JOHN HANCOCK F	DS II FLOATING RATE I	0.00	1.35	93.0	0.00	1.26	0.00	1.26
12/14/201	7 #000021305	91 VARIOUS BARRONE	JOHN HANCOCK F	DS II FLOATING RATE I	0.00	2.27	93.0	0.00	2.11	0.00	2.11
12/14/201	7 #000021587	60 VARIOUS BARRONE	JOHN HANCOCK F	DS II FLOATING RATE I	0.00	1.67	93.0	0.00	1.55	0.00	1.55
12/14/201	7 #000021589	56 VARIOUS BARRONE	JOHN HANCOCK F	DS II FLOATING RATE I	0.00	5.48	93.0	0.00	5.10	0.00	5.10
12/14/201	7 #000021617	87 VARIOUS BARRONE	JOHN HANCOCK F	DS II FLOATING RATE I	0.00	0.25	93.0	0.00	0.23	0.00	0.23
12/14/201	7 #000021653	54 VARIOUS BARRONE	JOHN HANCOCK F	DS II FLOATING RATE I	0.00	41.34	93.0	0.00	38.45	0.00	38.45
12/14/201	7 #000021697	92 VARIOUS BARRONE	JOHN HANCOCK F	DS II FLOATING RATE I	0.00	7.73	93.0	0.00	7.19	0.00	7.19
12/14/201	7 #000021700	75 VARIOUS BARRONE	JOHN HANCOCK F	DS II FLOATING RATE I	0.00	3.13	93.0	0.00	2.91	0.00	2.91
12/14/201	7 #000021749	26 VARIOUS BARRONE	JOHN HANCOCK F	DS II FLOATING RATE I	0.00	0.64	93.0	0.00	0.60	0.00	0.60
12/14/201	7 #000021760	32 VARIOUS BARRONE	JOHN HANCOCK F	DS II FLOATING RATE I	0.00	0.56	93.0	0.00	0.52	0.00	0.52
12/14/201	7 #000021785	69 VARIOUS BARRONE	JOHN HANCOCK F	DS II FLOATING RATE I	0.00	2.01	93.0	0.00	1.87	0.00	1.87
12/14/201	7 #000024922	48 VARIOUS BARRONE	JOHN HANCOCK F	DS II FLOATING RATE I	0.00	0.19	93.0	0.00	0.18	0.00	0.18
12/14/201	7 #000021653	53 VARIOUS BARRONE	JOHN HANCOCK F	DS II GBL ABSOLUTE RE	0.00	18.91	93.0	0.00	17.59	0.00	17.59
12/14/201	7 #000021928	44 VARIOUS BARRONE	JOHN HANCOCK F	DS II GBL ABSOLUTE RE	0.00	0.27	93.0	0.00	0.25	0.00	0.25
12/14/201	7 #000021928	53 VARIOUS BARRONE	JOHN HANCOCK F	DS II GBL ABSOLUTE RE	0.00	0.27	93.0	0.00	0.25	0.00	0.25
12/14/201	7 #000021146	79 VARIOUS BARRONE	JOHN HANCOCK F	DS II STRATEGIC INCOM	0.00	1.42	93.0	0.00	1.32	0.00	1.32
12/14/201	7 #000021147	67 VARIOUS BARRONE	JOHN HANCOCK F	DS II STRATEGIC INCOM	0.00	1.96	93.0	0.00	1.82	0.00	1.82
12/14/201	7 #000021827	89 VARIOUS BARRONE	JOHN HANCOCK F	DS II STRATEGIC INCOM	0.00	13.71	93.0	0.00	12.75	0.00	12.75
12/14/201	7 #000021928	41 VARIOUS BARRONE	JOHN HANCOCK F	DS II STRATEGIC INCOM	0.00	0.32	93.0	0.00	0.30	0.00	0.30
12/14/201	7 #000021928	50 VARIOUS BARRONE	JOHN HANCOCK F	DS II STRATEGIC INCOM	0.00	0.32	93.0	0.00	0.30	0.00	0.30
12/14/201	7 #000021940	64 VARIOUS BARRONE	JOHN HANCOCK F	DS II STRATEGIC INCOM	0.00	0.34	93.0	0.00	0.32	0.00	0.32
12/14/201	7 #000024090	61 VARIOUS BARRONE	JOHN HANCOCK F	DS II STRATEGIC INCOM	0.00	0.11	93.0	0.00	0.10	0.00	0.10
12/14/201	7 #000021760	31 VARIOUS BARRONE	JOHN HANCOCK F	DS III DISCIPLINED VA	0.00	1.33	93.0	0.00	1.24	0.00	1.24
12/14/201	7 #000021928	47 VARIOUS BARRONE	JOHN HANCOCK F	DS III DISCIPLINED VA	0.00	0.33	93.0	0.00	0.31	0.00	0.31
				DS III DISCIPLINED VA	0.00	0.33	93.0	0.00	0.31	0.00	0.31
12/14/201	7 #000020348	07 VAN OORT VAR	JOHN HANCOCK F	DS III GLOBAL SHAREHO	0.00	1.69	93.0	0.00	1.57	0.00	1.57
12/14/201	7 #000033726	67 VARIOUS BARRONE	JOHN HANCOCK F	DS III GLOBAL SHAREHO	0.00	7.80	93.0	0.00	7.25	0.00	7.25
				DS III JHF III SMALL	0.00	0.82	93.0	0.00	0.76	0.00	0.76
				HIGH YIELD FUND CL A	0.00	1.48	93.0	0.00	1.38	0.00	1.38

12/14/2017 #00002129851 VARIOUS BARRONE US GLOBAL LEADERS GROWTH B

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *

*** BROKER TRANSACTIONS TOTAL ***

12/14/2017 #00002188814 VARIOUS BARRONE US GROWTH A

Page No.

20 01/17/2018 COMMISSION STATEMENT for RICHARD BARRONE INVESTMENT GROSS NET CLEARING BROKER DATE CLIENT INVESTMENT AMOUNT COMMISSION RATE POSTAGE COMMISSION CHARGE COMMISSION BROKER TRANSACTIONS PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 12/14/2017 #00002114769 VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A 0.00 0.82 93.0 0.00 0.76 0.00 0.76 12/14/2017 #00002123258 VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A 0.00 0.92 93.0 0.00 0.86 0.00 0.86 12/14/2017 #00002182788 VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A 0.00 13.39 93.0 0.00 12.45 0.00 12.45 0.00 93.0 0.00 2.30 12/14/2017 #00002186016 VARIOUS BARRONE JOHN HANCOCK INCOME FUND CL A 2.47 0.00 2.30 12/14/2017 #00003372666 VARIOUS BARRONE JOHN HANCOCK INCOME FUND CL B 0.00 7.34 93.0 0.00 6.83 0.00 6.83 12/14/2017 #00002409018 VARIOUS BARRONE JOHN HANCOCK INVT TR DISCIPLINED VA 0.00 7.20 93.0 0.00 0.00 6.70 6.70 12/14/2017 #00002406751 VARIOUS BARRONE LIFESTYLE BALANCED PORTFOLIO A 0.00 4.29 93.0 0.00 3.99 0.00 3.99 12/14/2017 #00005820502 VARIOUS BARRONE LIFESTYLE BALANCED PORTFOLIO A 0.00 3.58 93.0 0.00 3.33 0.00 3.33 12/14/2017 #00002392767 VARIOUS BARRONE LIFESTYLE CONSERVATIVE PORTFOLIO C 0.00 17.43 93.0 0.00 16.21 0.00 16.21 12/14/2017 #00002402703 VAN OORT VAR MULTI MANAGER LIFESTYE MOD 0.00 9.02 93.0 0.00 8.39 0.00 8.39 93.0 0.00 4.77 12/14/2017 #00002406749 VARIOUS BARRONE MULTI MANAGER LIFESTYE MOD 0.00 5.13 0.00 4.77 12/14/2017 #00002409060 VARIOUS BARRONE SHORT DURATION CREDIT OPPORTUNITIES 0.00 1.05 93.0 0.00 0.98 0.00 0.98 0.00 0.80 93.0 0.00 0.74 0.00 0.74 12/14/2017 #00002409633 VARIOUS BARRONE SMALL CAP VALUE A 12/14/2017 #00002114673 VARIOUS BARRONE US GLOBAL LEADERS GROWTH A 0.00 3.17 93.0 0.00 2.95 0.00 2.95 12/14/2017 #00002114768 VARIOUS BARRONE US GLOBAL LEADERS GROWTH A 0.00 1.00 93.0 0.00 0.93 0.00 0.93 12/14/2017 #00002123254 VARIOUS BARRONE US GLOBAL LEADERS GROWTH A 0.00 3.11 93.0 0.00 2.89 0.00 2.89 12/14/2017 #00002130592 VARIOUS BARRONE US GLOBAL LEADERS GROWTH A 0.00 2.65 93.0 0.00 2.46 0.00 2.46 12/14/2017 #00002131575 VARIOUS BARRONE US GLOBAL LEADERS GROWTH A 0.00 1.59 93.0 0.00 1.48 0.00 1.48 0.00 0.32 93.0 0.30 0.00 0.30 12/14/2017 #00002161789 VARIOUS BARRONE US GLOBAL LEADERS GROWTH A 0.00 12/14/2017 #00002182790 VARIOUS BARRONE US GLOBAL LEADERS GROWTH A 0.00 17.59 93.0 0.00 16.36 0.00 16.36 0.47 12/14/2017 #00002194066 VARIOUS BARRONE US GLOBAL LEADERS GROWTH A 0.00 0.51 93.0 0.00 0.47 0.00

0.00

0.00

\$0.00

\$24,718.14

2.99

0.67

\$6,341.93

\$7,195.87

93.0

93.0

0.00

0.00

\$0.00

\$0.00

2.78

0.62

\$5,897.92

\$6,692.13

0.00

0.00

\$0.00

\$0.00

2.78

0.62

\$5,897.92

\$6,692.13

DATE

CLIENT

INVESTMENT

	ADJUSTMENTS	
01/20/2018	ADMIN FEE: ADMIN FEE	-260.00
01/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00

*** ADJUSTMENTS TOTAL ***

INVESTMENT

AMOUNT

GROSS

COMMISSION RATE POSTAGE

BROKER COMMISSION TOTALS

CLEARING

CHARGE

BROKER

COMMISSION

\$-439.00

Broker Transactions	\$6,692.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-439.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

NET

COMMISSION

Check Amount \$6,253.13