



COMMISSION STATEMENT

May 21, 2018

GARRETT MORETZ
181 North Main Street, Ste 208
Mooresville, NC 28115

BROKER #: B09
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u>								
04/23/2018	***-**-60IO - TIPPETT, ASHBY	GWG L BOND - 7 YR BUY 93 Units @1000.0000	93,000.00	5,580.00	0.00	5,580.00	82.0	4,575.60
		* ALTERNATIVE INVESTMENTS SUBTOTAL *	\$93,000.00	\$5,580.00	\$0.00	\$5,580.00		\$4,575.60
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/11/2018	***-**-677I - LAIL, DWIGHT	IVY HIGH INCOME CL A ACCOUNT #: LSR012166 SELL 459 Units @7.4800	3,429.99	0.00	0.00	0.00	82.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$3,429.99	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/21/2018	***-**-071X - HENDRIX, NSPS	AMERICAN BALANCED FUND-A ACCOUNT #: LSR012263 BUY 1 Units @0.0000	0.00	0.36	0.00	0.36	82.0	0.30
05/21/2018	***-**-972I - GRIFFIN, OTIS	EATON VANCE INCOME FUND OF BOSTON C ACCOUNT #: LSR011960 BUY 1 Units @0.0000	0.00	1.19	0.00	1.19	82.0	0.98
05/21/2018	***-**-10IR - CLINGON, BILLY	EATON VANCE MULTI SECTOR INCOME CL ACCOUNT #: LSR012010 BUY 1 Units @0.0000	0.00	3.80	0.00	3.80	82.0	3.12
05/21/2018	***-**-726O - FARZALO, STEVE	EATON VANCE NATIONAL MUNICIPAL FUND ACCOUNT #: LSR011965 BUY 1 Units @0.0000	0.00	7.78	0.00	7.78	82.0	6.38
05/21/2018	***-**-71IR - HENDRIX, DONNA	GROWTH FUND OF AMERICA CLASS F ACCOUNT #: LSR012260 BUY 1 Units @0.0000	0.00	0.37	0.00	0.37	82.0	0.30
05/21/2018	***-**-071X - HENDRIX, NSPS	HARTFORD CHECKS AND BALANCED FUND C ACCOUNT #: LSR012263 BUY 1 Units @0.0000	0.00	0.77	0.00	0.77	82.0	0.63
05/21/2018	***-**-50IR - JONES, MICHAEL	JPMORGAN GROWTH ADVANTAGE FUND CL A ACCOUNT #: LSR012331 BUY 1 Units @0.0000	0.00	0.54	0.00	0.54	82.0	0.44
05/21/2018	***-**-440I - BOHNAKER, ANGELA	JPMORGAN GROWTH ADVANTAGE FUND CL A ACCOUNT #: LSR012403 BUY 1 Units @0.0000	0.00	1.63	0.00	1.63	82.0	1.34
05/21/2018	***-**-440O - BOHNAKER, ANGELA	JPMORGAN GROWTH ADVANTAGE FUND CL A ACCOUNT #: LSR012409 BUY 1 Units @0.0000	0.00	3.29	0.00	3.29	82.0	2.70
05/21/2018	***-**-06IR - KULIKOWSKI,	JPMORGAN GROWTH ADVANTAGE FUND CL A ACCOUNT #: LSR012423 BUY 1 Units @0.0000	0.00	0.42	0.00	0.42	82.0	0.34
05/21/2018	***-**-27IR - KULIKOWSKI, SARA	JPMORGAN GROWTH ADVANTAGE FUND CL A ACCOUNT #: LSR012424 BUY 1 Units @0.0000	0.00	0.44	0.00	0.44	82.0	0.36



COMMISSION STATEMENT

May 21, 2018

GARRETT MORETZ

181 North Main Street, Ste 208
Mooresville, NC 28115

BROKER #: B09

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/21/2018	***-**-03IR - HINES, WALTER	PRINCIPAL INVESTOR HIGH YIELD II CL	0.00	0.94	0.00	0.94	82.0	0.77
	ACCOUNT #: LSR012153	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$21.53	\$0.00	\$21.53		\$17.66
		*** BROKER TRANSACTIONS TOTAL ***	\$96,429.99	\$5,601.53	\$0.00	\$5,601.53		\$4,593.26

BROKER COMMISSION TOTALS

Broker Transactions	\$4,593.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$4,593.26
Year-to-date Earnings	\$41,467.62