

STATEMENT

February 20, 2018

DENNIS JORDAN

BROKER #: 272
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DENNIS JORDAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/10/2018	#00088285586	MCNEILANDR	AMCAP FUND INC CLASS A	390.92	10.75	85.0	0.00	9.14	9.14
01/10/2018	#00088285586	MCNEILANDR	AMERICAN BALANCED FUND INC CLASS A	300.00	8.25	85.0	0.00	7.01	7.01
01/10/2018	#00089576353	MARSWENDY	AMERICAN BALANCED FUND INC CLASS A	50.00	2.50	85.0	0.00	2.13	2.13
01/10/2018	#00088285586	MCNEILANDR	FUNDAMENTAL INVESTORS INC CLASS A	400.00	11.00	85.0	0.00	9.35	9.35
01/10/2018	#00089576353	MARSWENDY	FUNDAMENTAL INVESTORS INC CLASS A	50.00	2.50	85.0	0.00	2.13	2.13
01/10/2018	#00088285586	MCNEILANDR	NEW PERSPECTIVE FUND INC CL A	300.00	8.25	85.0	0.00	7.01	7.01
01/22/2018	#00089580827	AKINS-ATEW	NEW WORLD FUND INC NEW	75.00	3.75	85.0	0.00	3.19	3.19
			* MUTUAL FUNDS SUBTOTAL *	\$1,565.92	\$47.00	\$0.00	\$39.96	\$0.00	\$39.96
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/30/0201	#016097302	GRIFFITH-REECE,	NATIONWIDE DESTINATION LS	500.00	10.50	85.0	0.00	8.93	8.93
12/30/2017	#016097302	GRIFFITH-REECE,	NATIONWIDE 620	0.00	284.13	85.0	0.00	241.51	241.51
01/02/2018	#016097302	GRIFFITH-REECE,	NATIONWIDE 620	0.00	10.50	85.0	0.00	8.93	8.93
			* VARIABLE ANNUITIES SUBTOTAL *	\$500.00	\$305.13	\$0.00	\$259.37	\$0.00	\$259.37
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/01/2018	#06910018050	VARIOUSJORDEAN	INVESCO UTILITIES FUND CL A	0.00	20.04	85.0	0.00	17.03	17.03
01/01/2018	#06910018050	VARIOUSJORDEAN	INVESCO VAN KAMPEN COMSTOCK FUND CL	0.00	12.60	85.0	0.00	10.71	10.71
01/01/2018	#06910018050	VARIOUSJORDEAN	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	25.15	85.0	0.00	21.38	21.38
01/10/2018	# JORDAN, EDWINA	DAIN TRAILER		0.00	1.44	85.0	0.00	1.22	1.22
01/10/2018	# JORDAN, EDWINA	DAIN TRAILER		0.00	2.23	85.0	0.00	1.90	1.90
01/10/2018	# JORDAN, EDWINA	DAIN TRAILER		0.00	5.20	85.0	0.00	4.42	4.42
01/10/2018	# REECE, CARLISLE	DAIN TRAILER		0.00	1.74	85.0	0.00	1.48	1.48
01/10/2018	# REECE, CARLISLE	DAIN TRAILER		0.00	18.46	85.0	0.00	15.69	15.69
01/10/2018	# REECE, CARLISLE	DAIN TRAILER		0.00	26.26	85.0	0.00	22.32	22.32
01/10/2018	# REECE, CARLISLE	DAIN TRAILER		0.00	24.70	85.0	0.00	21.00	21.00
01/10/2018	# REECE, CARLISLE	DAIN TRAILER		0.00	9.81	85.0	0.00	8.34	8.34
01/10/2018	# REECE, CARLISLE	DAIN TRAILER		0.00	23.02	85.0	0.00	19.57	19.57
01/10/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER		0.00	15.28	85.0	0.00	12.99	12.99

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
01/10/2018 #	GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	10.47	85.0	0.00	8.90	0.00	8.90
01/10/2018 #	GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	14.53	85.0	0.00	12.35	0.00	12.35
01/10/2018 #	GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	2.76	85.0	0.00	2.35	0.00	2.35
01/10/2018 #	GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	1.71	85.0	0.00	1.45	0.00	1.45
01/10/2018 #	GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	1.43	85.0	0.00	1.22	0.00	1.22
01/18/2018 #	JORDAN, EDWINA	DAIN TRAILER	4,500.00	0.00	85.0	0.00	0.00	0.00	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$4,500.00	\$216.83		\$0.00	\$184.32	\$0.00	\$184.32
*** BROKER TRANSACTIONS TOTAL ***			\$6,565.92	\$568.96		\$0.00	\$483.65	\$0.00	\$483.65

ADJUSTMENTS

02/20/2018	ADMIN FEE: ADMIN FEE	-326.00
02/20/2018	E & O INSURANCE: E & O INSURANCE	-249.00
*** ADJUSTMENTS TOTAL ***		\$-575.00

BROKER COMMISSION TOTALS

Broker Transactions	\$483.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-91.35
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