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R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT May 31, 2018

FIRM 1-ACCOUNTS

BROKER #: 76

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for FIRM 1-ACCOUNTS

TRADE DATE CLIENT	INVESTMENT B/	INVESTMENT S AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRAN	SACTIONS					
PRODUCT CATEGORY: BONDS							
04/30/2018 75599900 RF LAFFERTY & CO	DEDHAM MASS GO MUN PURP LN GENERAL B	4,950.25	0.00	0.00	0.00	80.0	0.00
04/30/2018 75599900 RF LAFFERTY & CO	DEDHAM MASS GO MUN PURP LN GENERAL S	4,950.25	0.00	0.00	0.00	80.0	0.00
04/30/2018 75599900 RF LAFFERTY & CO	SARATOGA SPRINGS N Y PUB IMPT GENER B	4,969.60	0.00	0.00	0.00	80.0	0.00
04/30/2018 75599900 RF LAFFERTY & CO	SARATOGA SPRINGS N Y PUB IMPT GENER S	4,969.60	0.00	0.00	0.00	80.0	0.00
	* BONDS SUBTOTAL *	\$19,839.70	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: OPTIONS							
05/02/2018 QGW005985 ROTHBEIN, SYLVIA	PUT 100 FACEBOOK INC CL A EXP 12-21 S	-1,788.00	35.00	0.00	35.00	80.0	28.00
05/02/2018 QGW005985 ROTHBEIN, SYLVIA	PUT 100 FACEBOOK INC CL A EXP 12-21 S	-2,150.00	35.00	0.00	35.00	80.0	28.00
05/07/2018 QGW005985 ROTHBEIN, SYLVIA	PUT 100 FACEBOOK INC CL A EXP 12-21 S	-2,625.00	35.00	0.00	35.00	80.0	28.00
05/07/2018 QGW005985 ROTHBEIN, SYLVIA	PUT 100 NVIDIA CORP COM EXP 06-15-1 B	1,648.00	35.00	0.00	35.00	80.0	28.00
	* OPTIONS SUBTOTAL *	\$-4,915.00	\$140.00	\$0.00	\$140.00		\$112.00
	*** BROKER TRANSACTIONS TOTAL ***	\$14,924.70	\$140.00	\$0.00	\$140.00		\$112.00
	ADJUST	MENTS					
05/31/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBI	0.00	0.00	0.00	0.00		-2.00
05/31/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBI	0.00	0.00	0.00	0.00		-23.00
05/31/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBI	0.00	0.00	0.00	0.00		-2.79
05/31/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBI	0.00	0.00	0.00	0.00		-29.42
05/31/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBI	0.00	0.00	0.00	0.00		-2.00
05/31/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBI	0.00	0.00	0.00	0.00		-4.00
05/31/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBI	0.00	0.00	0.00	0.00		-2.00
05/31/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBI	0.00	0.00	0.00	0.00		-2.00
05/31/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBI	0.00	0.00	0.00	0.00		-2.00
05/31/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBI	0.00	0.00	0.00	0.00		-2.00
05/31/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBI	0.00	0.00	0.00	0.00		-2.00
05/31/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBI	0.00	0.00	0.00	0.00		-2.00
	IMMODINIDEBEENEOOD: WRITE OIL DEDI						

06/13/2018 Page No. 2 COMMISSION STATEMENT for FIRM 1-ACCOUNTS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION				
<u>ADJUSTMENTS</u>													
05/31/2018 05/31/2018		MWWOD:MISCELLANEOUS: WRITE-OFF D		0.00	0.00	0.00	0.0		-50.00 -10,000.00				
03/31/2010		*** ADJUSTMENTS TOTAL		\$0.00	\$0.00	\$0.00		_	\$-10,127.21				
						Ē	BROKER COMM	ISSION	TOTALS				
						Broke	r Transaction	S	\$112.00				
						Spli	t Transaction	S	\$0.00				
						Overrid	e Transaction	s	\$0.00				
							Adjustment	S	\$-10,127.21				
							Payroll Dra	W	\$0.00				
							Base Salar	-	\$0.00				
							Period Balanc	_	\$-12,378.29				
							NRA Assessmen		\$-0.18				
						S	IPC Assessmen	t 	\$-0.35				
		7	Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Balance Forward				d	\$-22,394.03					
						Year-to	-date Earning	s	\$-22,394.03				