



Concorde Investment Services, LLC

06/13/2017
Page No. 1

COMMISSION STATEMENT

June 15, 2017

JOSEPH (TERRY) MANN
219 E. 31st Street

BROKER #: M132
BRANCH: JOSEPH "TERRY" MANN

Savannah, GA 31401

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	GODLESKI, BARBARA	AM FUNDS AMCAP 529A	0.00	0.58	0.00	0.58	91.0	0.53
06/01/2017	GODLESKI, BARBARA	AM FUNDS AMCAP 529A	0.00	0.58	0.00	0.58	91.0	0.53
06/01/2017	GODLESKI, BARBARA	AM FUNDS AMCAP 529A	0.00	0.58	0.00	0.58	91.0	0.53
06/01/2017	COX, DENISE	AM FUNDS AMCAP A	0.00	6.45	0.00	6.45	91.0	5.87
06/01/2017	BAILEY, PATRICIA	AM FUNDS AMCAP A	0.00	3.48	0.00	3.48	91.0	3.17
06/01/2017	DUNLAP, TONY	AM FUNDS AMCAP A	0.00	0.50	0.00	0.50	91.0	0.46
06/01/2017	MOORE, MELISSA	AM FUNDS AMCAP A	0.00	3.02	0.00	3.02	91.0	2.75
06/01/2017	NEUHAUSER, JOSEPH	AM FUNDS AMCAP A	0.00	6.35	0.00	6.35	91.0	5.78
06/01/2017	MOORE, THOMAS	AM FUNDS AMCAP A	0.00	3.79	0.00	3.79	91.0	3.45
06/01/2017	MOORE, MELISSA	AM FUNDS AMCAP A	0.00	4.25	0.00	4.25	91.0	3.87
06/01/2017	KRAUS, KATHLEEN	AM FUNDS AMCAP A	0.00	2.22	0.00	2.22	91.0	2.02
06/01/2017	HOFFMAN, LISA	AM FUNDS AMCAP A	0.00	0.41	0.00	0.41	91.0	0.37
06/01/2017	HEALEY, BRIAN	AM FUNDS AMCAP A	0.00	6.20	0.00	6.20	91.0	5.64
06/01/2017	DABBS, LILY	AM FUNDS AMCAP A	0.00	1.13	0.00	1.13	91.0	1.03
06/01/2017	COLLINS, REGENA	AM FUNDS AMCAP A	0.00	3.35	0.00	3.35	91.0	3.05
06/01/2017	BLANCHARD, MALCOLM	AM FUNDS AMCAP A	0.00	3.16	0.00	3.16	91.0	2.88
06/01/2017	BLANCHARD, MALCOLM	AM FUNDS AMCAP A	0.00	0.71	0.00	0.71	91.0	0.65
06/01/2017	VERMA, ADI	AM FUNDS AMCAP A	0.00	0.79	0.00	0.79	91.0	0.72
06/01/2017	VERMA, ADI	AM FUNDS AMCAP A	0.00	0.83	0.00	0.83	91.0	0.76
06/01/2017	COLLINS, JAMES	AM FUNDS AMCAP A	0.00	1.54	0.00	1.54	91.0	1.40
06/01/2017	GALLAGHER, MICHAEL	AM FUNDS AMCAP C	0.00	22.08	0.00	22.08	91.0	20.09
06/01/2017	PAI, YOGISH	AM FUNDS AMCAP C	0.00	41.28	0.00	41.28	91.0	37.56
06/01/2017	EMBRY, JASON	AM FUNDS AMCAP C	0.00	3.02	0.00	3.02	91.0	2.75
06/01/2017	BLANCHARD, TALIA	AM FUNDS AMCAP C	0.00	87.06	0.00	87.06	91.0	79.22
06/01/2017	MOORE, MELISSA	AM FUNDS AMCAP C	0.00	90.12	0.00	90.12	91.0	82.01
06/01/2017	ARCHER, ISABEL	AM FUNDS AMCAP C	0.00	56.51	0.00	56.51	91.0	51.42

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	GODLESKI, AUBRIEGH	AM FUNDS AMCAP C	0.00	4.75	0.00	4.75	91.0	4.32
06/01/2017	GODLESKI, COUPER	AM FUNDS AMCAP C	0.00	2.47	0.00	2.47	91.0	2.25
06/01/2017	BLANCHARD, TALIA	AM FUNDS AMCAP F1	0.00	2.27	0.00	2.27	91.0	2.07
06/01/2017	PAI, YOGISH	AM FUNDS AMCAP F1	0.00	25.74	0.00	25.74	91.0	23.42
06/01/2017	EMBRY, JASON	AM FUNDS AMCAP F1	0.00	0.34	0.00	0.34	91.0	0.31
06/01/2017	BLANCHARD, TALIA	AM FUNDS AMCAP F1	0.00	3.50	0.00	3.50	91.0	3.19
06/01/2017	MOORE, MELISSA	AM FUNDS AMCAP F1	0.00	3.51	0.00	3.51	91.0	3.19
06/01/2017	FRAME, JANET	AM FUNDS AMERICAN BALANCED A	0.00	12.91	0.00	12.91	91.0	11.75
06/01/2017	HEALEY, WENDY	AM FUNDS AMERICAN BALANCED A	0.00	3.18	0.00	3.18	91.0	2.89
06/01/2017	CHIEN, CHERYL	AM FUNDS AMERICAN BALANCED A	0.00	3.55	0.00	3.55	91.0	3.23
06/01/2017	WHELDON, ALYSON	AM FUNDS AMERICAN BALANCED A	0.00	1.99	0.00	1.99	91.0	1.81
06/01/2017	WARSTADT, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	7.19	0.00	7.19	91.0	6.54
06/01/2017	WARSTADT, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	7.19	0.00	7.19	91.0	6.54
06/01/2017	WARSTADT, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	5.39	0.00	5.39	91.0	4.90
06/01/2017	REARDON, MARK	AM FUNDS AMERICAN BALANCED A	0.00	8.78	0.00	8.78	91.0	7.99
06/01/2017	DUNLAP, ANNA	AM FUNDS AMERICAN BALANCED C	0.00	5.10	0.00	5.10	91.0	4.64
06/01/2017	MOORE, THOMAS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.80	0.00	3.80	91.0	3.46
06/01/2017	DUNLAP, ANNA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	4.01	0.00	4.01	91.0	3.65
06/01/2017	MILLER, DEBORAH	AM FUNDS AMERICAN MUTUAL A	0.00	28.52	0.00	28.52	91.0	25.95
06/01/2017	CHIEN, CHERYL	AM FUNDS AMERICAN MUTUAL A	0.00	3.50	0.00	3.50	91.0	3.19
06/01/2017	KRAUS, JOHN	AM FUNDS AMERICAN MUTUAL C	0.00	15.79	0.00	15.79	91.0	14.37
06/01/2017	MANN, BARBARA	AM FUNDS BOND FUND OF AMERICA A	0.00	5.67	0.00	5.67	91.0	5.16
06/01/2017	KRAUS, KATHLEEN	AM FUNDS BOND FUND OF AMERICA A	0.00	2.34	0.00	2.34	91.0	2.13
06/01/2017	VERMA, ADI	AM FUNDS BOND FUND OF AMERICA A	0.00	0.16	0.00	0.16	91.0	0.15
06/01/2017	VERMA, ADI	AM FUNDS BOND FUND OF AMERICA A	0.00	0.16	0.00	0.16	91.0	0.15
06/01/2017	HEADLEY, LOMA	AM FUNDS BOND FUND OF AMERICA A	0.00	20.36	0.00	20.36	91.0	18.53
06/01/2017	HEADLEY, LOMA	AM FUNDS BOND FUND OF AMERICA A	0.00	20.36	0.00	20.36	91.0	18.53
06/01/2017	HEADLEY, LOMA	AM FUNDS BOND FUND OF AMERICA A	0.00	20.36	0.00	20.36	91.0	18.53
06/01/2017	HEADLEY, LOMA	AM FUNDS BOND FUND OF AMERICA A	0.00	20.36	0.00	20.36	91.0	18.53
06/01/2017	FRAME, JANET	AM FUNDS BOND FUND OF AMERICA C	0.00	48.13	0.00	48.13	91.0	43.80
06/01/2017	DUNLAP, ANNA	AM FUNDS BOND FUND OF AMERICA C	0.00	3.17	0.00	3.17	91.0	2.88
06/01/2017	NEUHAUSER, MITCH	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	4.19	0.00	4.19	91.0	3.81
06/01/2017	DUNLAP, TONY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.38	0.00	0.38	91.0	0.35

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	CHIEN, CHERYL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.92	0.00	2.92	91.0	2.66
06/01/2017	MANN, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.80	0.00	7.80	91.0	7.10
06/01/2017	JAMES, TYLAR	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.78	0.00	1.78	91.0	1.62
06/01/2017	BLANCHARD, TALIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.17	0.00	0.17	91.0	0.15
06/01/2017	BLANCHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.17	0.00	0.17	91.0	0.15
06/01/2017	KRAUS, KATHLEEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.78	0.00	3.78	91.0	3.44
06/01/2017	CHIEN, CHERYL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.26	0.00	0.26	91.0	0.24
06/01/2017	MOORE, LUCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.48	0.00	4.48	91.0	4.08
06/01/2017	MOORE, MAX	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.42	0.00	4.42	91.0	4.02
06/01/2017	COLLINS, REGENA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.97	0.00	0.97	91.0	0.88
06/01/2017	WARSTADT, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.35	0.00	7.35	91.0	6.69
06/01/2017	WARSTADT, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.35	0.00	7.35	91.0	6.69
06/01/2017	WARSTADT, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.54	0.00	5.54	91.0	5.04
06/01/2017	CUMMINGS, KRYSTA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	91.0	0.06
06/01/2017	BLANCHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	20.41	0.00	20.41	91.0	18.57
06/01/2017	GALLAGHER, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.44	0.00	6.44	91.0	5.86
06/01/2017	MOORE, THOMAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	82.27	0.00	82.27	91.0	74.87
06/01/2017	MOORE, MELISSA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	65.22	0.00	65.22	91.0	59.35
06/01/2017	KRAUS, JOHN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	20.64	0.00	20.64	91.0	18.78
06/01/2017	KRAUS, KATHLEEN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.56	0.00	5.56	91.0	5.06
06/01/2017	MOORE, THOMAS	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.46	0.00	0.46	91.0	0.42
06/01/2017	BLANCHARD, TALIA	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.89	0.00	1.89	91.0	1.72
06/01/2017	MOORE, MELISSA	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.41	0.00	2.41	91.0	2.19
06/01/2017	FRAME, JANET	AM FUNDS CAPITAL WORLD BOND A	0.00	6.07	0.00	6.07	91.0	5.52
06/01/2017	HEADLEY, LOMA	AM FUNDS CAPITAL WORLD BOND A	0.00	2.67	0.00	2.67	91.0	2.43
06/01/2017	HEADLEY, LOMA	AM FUNDS CAPITAL WORLD BOND A	0.00	2.67	0.00	2.67	91.0	2.43
06/01/2017	HEADLEY, LOMA	AM FUNDS CAPITAL WORLD BOND A	0.00	2.67	0.00	2.67	91.0	2.43
06/01/2017	HEADLEY, LOMA	AM FUNDS CAPITAL WORLD BOND A	0.00	2.67	0.00	2.67	91.0	2.43
06/01/2017	MOORE, THOMAS	AM FUNDS CAPITAL WORLD BOND C	0.00	3.45	0.00	3.45	91.0	3.14
06/01/2017	KRAUS, JOHN	AM FUNDS CAPITAL WORLD BOND C	0.00	9.92	0.00	9.92	91.0	9.03
06/01/2017	DUNLAP, ANNA	AM FUNDS CAPITAL WORLD BOND C	0.00	2.46	0.00	2.46	91.0	2.24
06/01/2017	NEUHAUSER, MITCH	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	5.24	0.00	5.24	91.0	4.77
06/01/2017	FUTTERMAN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	72.67	2.73	0.00	2.73	91.0	2.48

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	MILLER, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	26.38	0.00	26.38	91.0	24.01
06/01/2017	COX, DENISE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.46	0.00	6.46	91.0	5.88
06/01/2017	DUNLAP, TONY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.54	0.00	0.54	91.0	0.49
06/01/2017	FRAME, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.40	0.00	9.40	91.0	8.55
06/01/2017	MOORE, MELISSA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.79	0.00	2.79	91.0	2.54
06/01/2017	MOORE, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.27	0.00	3.27	91.0	2.98
06/01/2017	BLANCHARD, TALIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.04	0.00	1.04	91.0	0.95
06/01/2017	BLANCHARD, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.01	0.00	1.01	91.0	0.92
06/01/2017	HOFFMAN, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.51	0.00	0.51	91.0	0.46
06/01/2017	HEALEY, BRIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.44	0.00	5.44	91.0	4.95
06/01/2017	COLLINS, REGENA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.21	0.00	3.21	91.0	2.92
06/01/2017	FUTTERMAN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.50	0.00	8.50	91.0	7.74
06/01/2017	COLLINS, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.87	0.00	0.87	91.0	0.79
06/01/2017	HEADLEY, LOMA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	19.99	0.00	19.99	91.0	18.19
06/01/2017	HEADLEY, LOMA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.74	0.00	11.74	91.0	10.68
06/01/2017	HEADLEY, LOMA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.74	0.00	11.74	91.0	10.68
06/01/2017	HEADLEY, LOMA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.74	0.00	11.74	91.0	10.68
06/01/2017	CUMMINGS, KRYSTA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.07	0.00	0.07	91.0	0.06
06/01/2017	BLANCHARD, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.90	0.00	5.90	91.0	5.37
06/01/2017	GALLAGHER, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	14.41	0.00	14.41	91.0	13.11
06/01/2017	MOORE, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.94	0.00	1.94	91.0	1.77
06/01/2017	SALOME, KIMBERLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.40	0.00	5.40	91.0	4.91
06/01/2017	KRAUS, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	11.02	0.00	11.02	91.0	10.03
06/01/2017	BLANCHARD, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.13	0.00	0.13	91.0	0.12
06/01/2017	MOORE, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.25	0.00	0.25	91.0	0.23
06/01/2017	BLANCHARD, TALIA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.79	0.00	3.79	91.0	3.45
06/01/2017	NEUHAUSER, MITCH	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	4.78	0.00	4.78	91.0	4.35
06/01/2017	GODLESKI, BARBARA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.47	0.00	0.47	91.0	0.43
06/01/2017	GODLESKI, BARBARA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.47	0.00	0.47	91.0	0.43
06/01/2017	GODLESKI, BARBARA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.47	0.00	0.47	91.0	0.43
06/01/2017	BLANCHARD JR, MALCOLM	AM FUNDS EUROPACIFIC GROWTH FUND A	166.66	6.25	0.00	6.25	91.0	5.69
06/01/2017	MILLER, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	38.36	0.00	38.36	91.0	34.91
06/01/2017	BAILEY, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.71	0.00	4.71	91.0	4.29

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	DUNLAP, TONY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.20	0.00	0.20	91.0	0.18
06/01/2017	HRITZ, WILLIAM	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.57	0.00	0.57	91.0	0.52
06/01/2017	SAMPLES, FAY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.15	0.00	0.15	91.0	0.14
06/01/2017	DUNLAP, ANNA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.16	0.00	4.16	91.0	3.79
06/01/2017	MOORE, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.11	0.00	3.11	91.0	2.83
06/01/2017	NEUHAUSER, JOSEPH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.05	0.00	4.05	91.0	3.69
06/01/2017	MOORE, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.89	0.00	3.89	91.0	3.54
06/01/2017	MOORE, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.98	0.00	3.98	91.0	3.62
06/01/2017	HOFFMAN, LANDON	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.79	0.00	1.79	91.0	1.63
06/01/2017	JAMES, TYLAR	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.81	0.00	1.81	91.0	1.65
06/01/2017	KRAUS, KATHLEEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.25	0.00	2.25	91.0	2.05
06/01/2017	HOFFMAN, LISA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.43	0.00	0.43	91.0	0.39
06/01/2017	MOORE, LUCY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.54	0.00	4.54	91.0	4.13
06/01/2017	MOORE, MAX	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.52	0.00	5.52	91.0	5.02
06/01/2017	DABBS, LILY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.36	0.00	1.36	91.0	1.24
06/01/2017	COLLINS, REGENA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.31	0.00	0.31	91.0	0.28
06/01/2017	BLANCHARD JR, MALCOLM	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.59	0.00	9.59	91.0	8.73
06/01/2017	DEWICK, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.42	0.00	0.42	91.0	0.38
06/01/2017	BLANCHARD, MALCOLM	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.89	0.00	2.89	91.0	2.63
06/01/2017	COLLINS, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.31	0.00	0.31	91.0	0.28
06/01/2017	GALLAGHER, FINLEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.75	0.00	5.75	91.0	5.23
06/01/2017	HEADLEY, LOMA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.80	0.00	9.80	91.0	8.92
06/01/2017	HEADLEY, LOMA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.80	0.00	9.80	91.0	8.92
06/01/2017	HEADLEY, LOMA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.80	0.00	9.80	91.0	8.92
06/01/2017	HEADLEY, LOMA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.80	0.00	9.80	91.0	8.92
06/01/2017	BLANCHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	19.32	0.00	19.32	91.0	17.58
06/01/2017	GALLAGHER, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	15.92	0.00	15.92	91.0	14.49
06/01/2017	MOORE, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	20.68	0.00	20.68	91.0	18.82
06/01/2017	EMBRY, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.03	0.00	2.03	91.0	1.85
06/01/2017	BLANCHARD, TALIA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	58.08	0.00	58.08	91.0	52.85
06/01/2017	MACQUARRIE, MILES	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	24.26	0.00	24.26	91.0	22.08
06/01/2017	KRAUS, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.03	0.00	10.03	91.0	9.13
06/01/2017	ARCHER, ISABEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	43.87	0.00	43.87	91.0	39.92

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	ARCHER, ISABEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.12	0.00	5.12	91.0	4.66
06/01/2017	ARCHER, JACOB	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	80.24	0.00	80.24	91.0	73.02
06/01/2017	ARCHER, JACOB	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.12	0.00	5.12	91.0	4.66
06/01/2017	KASIAN, JUSTIN PHILLIP	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.65	0.00	4.65	91.0	4.23
06/01/2017	KASIAN, EVAN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.59	0.00	4.59	91.0	4.18
06/01/2017	EMBRY, JASON	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.29	0.00	0.29	91.0	0.26
06/01/2017	BLANCHARD, TALIA	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	2.24	0.00	2.24	91.0	2.04
06/01/2017	MILLER, DEBORAH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	42.28	0.00	42.28	91.0	38.47
06/01/2017	MANN, BARBARA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	10.13	0.00	10.13	91.0	9.22
06/01/2017	MOORE, MELISSA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.80	0.00	3.80	91.0	3.46
06/01/2017	DABBS, LILY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.65	0.00	1.65	91.0	1.50
06/01/2017	BLANCHARD JR, MALCOLM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.94	0.00	2.94	91.0	2.68
06/01/2017	KRAUS, KATHLEEN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	7.25	0.00	7.25	91.0	6.60
06/01/2017	FRAME, JANET	AM FUNDS GLOBAL BALANCED A	0.00	12.33	0.00	12.33	91.0	11.22
06/01/2017	KRAUS, KATHLEEN	AM FUNDS GLOBAL BALANCED A	0.00	5.26	0.00	5.26	91.0	4.79
06/01/2017	HEALEY, WENDY	AM FUNDS GLOBAL BALANCED A	0.00	2.68	0.00	2.68	91.0	2.44
06/01/2017	WARSTADT, SUSAN	AM FUNDS GLOBAL BALANCED A	0.00	13.80	0.00	13.80	91.0	12.56
06/01/2017	WARSTADT, SUSAN	AM FUNDS GLOBAL BALANCED A	0.00	13.80	0.00	13.80	91.0	12.56
06/01/2017	WARSTADT, SUSAN	AM FUNDS GLOBAL BALANCED A	0.00	1.95	0.00	1.95	91.0	1.77
06/01/2017	FRAME, JANET	AM FUNDS GLOBAL BALANCED C	0.00	37.87	0.00	37.87	91.0	34.46
06/01/2017	GALLAGHER, MICHAEL	AM FUNDS GLOBAL BALANCED C	0.00	1.37	0.00	1.37	91.0	1.25
06/01/2017	GALLAGHER, RYAN	AM FUNDS GLOBAL BALANCED C	0.00	49.54	0.00	49.54	91.0	45.08
06/01/2017	NEUHAUSER, MITCH	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	15.50	0.00	15.50	91.0	14.11
05/22/2017	POHLMANN, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	91.0	1.94
06/01/2017	FUTTERMAN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	72.67	2.73	0.00	2.73	91.0	2.48
06/01/2017	BLANCHARD JR, MALCOLM	AM FUNDS GROWTH FUND OF AMERICA A	166.67	6.25	0.00	6.25	91.0	5.69
06/01/2017	MILLER, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	16.01	0.00	16.01	91.0	14.57
06/01/2017	CHANDRAMOULI, YASH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.40	0.00	2.40	91.0	2.18
06/01/2017	CHANDRAMOULI, SHRUTI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.05	0.00	2.05	91.0	1.87
06/01/2017	POHLMANN, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	22.35	0.00	22.35	91.0	20.34
06/01/2017	NEUHAUSER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.57	0.00	4.57	91.0	4.16
06/01/2017	MOORE, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.76	0.00	4.76	91.0	4.33
06/01/2017	MOORE, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.07	0.00	5.07	91.0	4.61

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	HOFFMAN, LOGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.81	0.00	1.81	91.0	1.65
06/01/2017	GODLESKI, KIRSTEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.80	0.00	4.80	91.0	4.37
06/01/2017	GODLESKI, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.80	0.00	4.80	91.0	4.37
06/01/2017	TITTLE, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.11	0.00	0.11	91.0	0.10
06/01/2017	GIBBONS, HEATHER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.76	0.00	3.76	91.0	3.42
06/01/2017	DUNLAP, TONY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.08	0.00	4.08	91.0	3.71
06/01/2017	DUNLAP, TONY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.08	0.00	4.08	91.0	3.71
06/01/2017	FUTTERMAN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.59	0.00	10.59	91.0	9.64
06/01/2017	BLANCHARD JR, MALCOLM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.82	0.00	12.82	91.0	11.67
06/01/2017	DEWICK, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.56	0.00	0.56	91.0	0.51
06/01/2017	VOGEL, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.39	0.00	2.39	91.0	2.17
06/01/2017	VERMA, ADI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.44	0.00	0.44	91.0	0.40
06/01/2017	VERMA, ADI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.40	0.00	0.40	91.0	0.36
06/01/2017	BLANCHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	35.74	0.00	35.74	91.0	32.52
06/01/2017	GALLAGHER, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	44.98	0.00	44.98	91.0	40.93
06/01/2017	MOORE, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	102.53	0.00	102.53	91.0	93.30
06/01/2017	GIBBONS, HEATHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	124.08	0.00	124.08	91.0	112.91
06/01/2017	BLANCHARD, TALIA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	85.76	0.00	85.76	91.0	78.04
06/01/2017	MOORE, MELISSA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	88.90	0.00	88.90	91.0	80.90
06/01/2017	MACQUARRIE, MILES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	35.63	0.00	35.63	91.0	32.42
06/01/2017	KRAUS, JOHN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.48	0.00	15.48	91.0	14.09
06/01/2017	ARCHER, ISABEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.32	0.00	11.32	91.0	10.30
06/01/2017	ARCHER, JACOB	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.16	0.00	8.16	91.0	7.43
06/01/2017	CHANDRAMOULI, SHRUTI	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.13	0.00	3.13	91.0	2.85
06/01/2017	GODLESKI, AUBRIEGH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.23	0.00	7.23	91.0	6.58
06/01/2017	GODLESKI, COUPER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.22	0.00	3.22	91.0	2.93
06/01/2017	CHANDRAMOULI, YASH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.49	0.00	1.49	91.0	1.36
06/01/2017	MOORE, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.66	0.00	0.66	91.0	0.60
06/01/2017	BLANCHARD, TALIA	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.52	0.00	3.52	91.0	3.20
06/01/2017	MOORE, MELISSA	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.57	0.00	3.57	91.0	3.25
06/01/2017	HEALEY, VAUGHN	AM FUNDS GROWTH PORT C	0.00	4.71	0.00	4.71	91.0	4.29
06/01/2017	HEALEY, ASHLEY	AM FUNDS GROWTH PORT C	0.00	4.71	0.00	4.71	91.0	4.29
06/01/2017	KARP, BENJAMIN	AM FUNDS GROWTH PORT C	0.00	1.81	0.00	1.81	91.0	1.65

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	DUNLAP, TONY	AM FUNDS ICA A	0.00	0.40	0.00	0.40	91.0	0.36
06/01/2017	DUNLAP, ANNA	AM FUNDS ICA A	0.00	4.11	0.00	4.11	91.0	3.74
06/01/2017	KRAUS, KATHLEEN	AM FUNDS ICA A	0.00	4.41	0.00	4.41	91.0	4.01
06/01/2017	COLLINS, REGENA	AM FUNDS ICA A	0.00	0.96	0.00	0.96	91.0	0.87
06/01/2017	COX, DENISE	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.29	0.00	6.29	91.0	5.72
06/01/2017	DUNLAP, TONY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.77	0.00	0.77	91.0	0.70
06/01/2017	CHIEN, CHERYL	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.87	0.00	4.87	91.0	4.43
06/01/2017	WARSTADT, SUSAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.27	0.00	6.27	91.0	5.71
06/01/2017	WARSTADT, SUSAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.27	0.00	6.27	91.0	5.71
06/01/2017	WARSTADT, SUSAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.47	0.00	4.47	91.0	4.07
06/01/2017	HEADLEY, LOMA	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.86	0.00	9.86	91.0	8.97
06/01/2017	HEADLEY, LOMA	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.88	0.00	9.88	91.0	8.99
06/01/2017	HEADLEY, LOMA	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.88	0.00	9.88	91.0	8.99
06/01/2017	HEADLEY, LOMA	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.88	0.00	9.88	91.0	8.99
06/01/2017	GA/TOD	AM FUNDS INCOME FUND OF AMERICA A	0.00	42.58	0.00	42.58	91.0	38.75
06/01/2017	FRAME, JANET	AM FUNDS INCOME FUND OF AMERICA C	0.00	73.43	0.00	73.43	91.0	66.82
06/01/2017	KRAUS, KATHLEEN	AM FUNDS INCOME FUND OF AMERICA C	0.00	6.38	0.00	6.38	91.0	5.81
06/01/2017	FRAME, JANET	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.59	0.00	1.59	91.0	1.45
06/01/2017	HEALEY, WENDY	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.82	0.00	1.82	91.0	1.66
06/01/2017	ARCHER, THOMAS	AM FUNDS INTL GROWTH AND INCOME A	0.00	5.09	0.00	5.09	91.0	4.63
06/01/2017	BLANCHARD, DAVID	AM FUNDS MONEY MARKET CL F1	0.00	0.23	0.00	0.23	91.0	0.21
06/01/2017	MILLER, DEBORAH	AM FUNDS NEW ECONOMY A	0.00	27.61	0.00	27.61	91.0	25.13
06/01/2017	DUNLAP, TONY	AM FUNDS NEW ECONOMY A	0.00	0.18	0.00	0.18	91.0	0.16
06/01/2017	MOORE, MELISSA	AM FUNDS NEW ECONOMY A	0.00	3.55	0.00	3.55	91.0	3.23
06/01/2017	BLANCHARD JR, MALCOLM	AM FUNDS NEW ECONOMY A	0.00	5.20	0.00	5.20	91.0	4.73
06/01/2017	GALLAGHER, MICHAEL	AM FUNDS NEW ECONOMY C	0.00	21.35	0.00	21.35	91.0	19.43
06/01/2017	PAI, YOGISH	AM FUNDS NEW ECONOMY C	0.00	12.50	0.00	12.50	91.0	11.38
06/01/2017	LUPO, ROBERT	AM FUNDS NEW ECONOMY C	0.00	41.48	0.00	41.48	91.0	37.75
06/01/2017	PAI, YOGISH	AM FUNDS NEW ECONOMY FUND	0.00	31.90	0.00	31.90	91.0	29.03
06/01/2017	MILLER, DEBORAH	AM FUNDS NEW PERSPECTIVE A	0.00	14.59	0.00	14.59	91.0	13.28
06/01/2017	COX, DENISE	AM FUNDS NEW PERSPECTIVE A	0.00	6.48	0.00	6.48	91.0	5.90
06/01/2017	DUNLAP, TONY	AM FUNDS NEW PERSPECTIVE A	0.00	1.27	0.00	1.27	91.0	1.16
06/01/2017	GODLESKI, KEVIN	AM FUNDS NEW PERSPECTIVE A	0.00	105.28	0.00	105.28	91.0	95.80

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	DUNLAP, ANNA	AM FUNDS NEW PERSPECTIVE A	0.00	0.17	0.00	0.17	91.0	0.15
06/01/2017	MOORE, MELISSA	AM FUNDS NEW PERSPECTIVE A	0.00	4.84	0.00	4.84	91.0	4.40
06/01/2017	DEWICK, TRENTEN	AM FUNDS NEW PERSPECTIVE A	0.00	0.52	0.00	0.52	91.0	0.47
06/01/2017	BLANCHARD, TALIA	AM FUNDS NEW PERSPECTIVE A	0.00	0.60	0.00	0.60	91.0	0.55
06/01/2017	BLANCHARD, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	0.57	0.00	0.57	91.0	0.52
06/01/2017	HOFFMAN, LISA	AM FUNDS NEW PERSPECTIVE A	0.00	0.52	0.00	0.52	91.0	0.47
06/01/2017	DEWICK, DYLAN	AM FUNDS NEW PERSPECTIVE A	0.00	0.22	0.00	0.22	91.0	0.20
06/01/2017	COLLINS, REGENA	AM FUNDS NEW PERSPECTIVE A	0.00	4.99	0.00	4.99	91.0	4.54
06/01/2017	COLLINS, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	3.72	0.00	3.72	91.0	3.39
06/01/2017	HEADLEY, LOMA	AM FUNDS NEW PERSPECTIVE A	0.00	5.11	0.00	5.11	91.0	4.65
06/01/2017	HEADLEY, LOMA	AM FUNDS NEW PERSPECTIVE A	0.00	5.11	0.00	5.11	91.0	4.65
06/01/2017	HEADLEY, LOMA	AM FUNDS NEW PERSPECTIVE A	0.00	5.11	0.00	5.11	91.0	4.65
06/01/2017	HEADLEY, LOMA	AM FUNDS NEW PERSPECTIVE A	0.00	5.11	0.00	5.11	91.0	4.65
06/01/2017	GODLESKI, MADISON	AM FUNDS NEW PERSPECTIVE A	0.00	0.60	0.00	0.60	91.0	0.55
06/01/2017	MOORE, MELISSA	AM FUNDS NEW PERSPECTIVE C	0.00	77.73	0.00	77.73	91.0	70.73
06/01/2017	DEFEO, DAVID	AM FUNDS NEW PERSPECTIVE C	0.00	32.80	0.00	32.80	91.0	29.85
06/01/2017	SHERRILL, WILLIAM	AM FUNDS NEW PERSPECTIVE C	0.00	1.35	0.00	1.35	91.0	1.23
06/01/2017	KRAUS, JOHN	AM FUNDS NEW PERSPECTIVE C	0.00	12.46	0.00	12.46	91.0	11.34
06/01/2017	ARCHER, ISABEL	AM FUNDS NEW PERSPECTIVE C	0.00	20.71	0.00	20.71	91.0	18.85
06/01/2017	ARCHER, ISABEL	AM FUNDS NEW PERSPECTIVE C	0.00	10.31	0.00	10.31	91.0	9.38
06/01/2017	ARCHER, JACOB	AM FUNDS NEW PERSPECTIVE C	0.00	93.87	0.00	93.87	91.0	85.42
06/01/2017	LUPO, ROBERT	AM FUNDS NEW PERSPECTIVE C	0.00	35.78	0.00	35.78	91.0	32.56
06/01/2017	MOORE, MELISSA	AM FUNDS NEW PERSPECTIVE F-1	0.00	3.02	0.00	3.02	91.0	2.75
06/01/2017	DUNLAP, TONY	AM FUNDS NEW WORLD A	0.00	0.29	0.00	0.29	91.0	0.26
06/01/2017	MANN, BARBARA	AM FUNDS NEW WORLD A	0.00	7.03	0.00	7.03	91.0	6.40
06/01/2017	FRAME, JANET	AM FUNDS NEW WORLD A	0.00	6.66	0.00	6.66	91.0	6.06
06/01/2017	NEUHAUSER, JOSEPH	AM FUNDS NEW WORLD A	0.00	6.43	0.00	6.43	91.0	5.85
06/01/2017	MOORE, THOMAS	AM FUNDS NEW WORLD A	0.00	4.36	0.00	4.36	91.0	3.97
06/01/2017	DEWICK, TRENTEN	AM FUNDS NEW WORLD A	0.00	2.25	0.00	2.25	91.0	2.05
06/01/2017	DEWICK, DYLAN	AM FUNDS NEW WORLD A	0.00	2.06	0.00	2.06	91.0	1.87
06/01/2017	COLLINS, REGENA	AM FUNDS NEW WORLD A	0.00	1.47	0.00	1.47	91.0	1.34
06/01/2017	VERMA, ADI	AM FUNDS NEW WORLD A	0.00	0.45	0.00	0.45	91.0	0.41
06/01/2017	VERMA, ADI	AM FUNDS NEW WORLD A	0.00	0.45	0.00	0.45	91.0	0.41

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	COLLINS, JAMES	AM FUNDS NEW WORLD A	0.00	3.23	0.00	3.23	91.0	2.94
06/01/2017	GALLAGHER, FINLEY	AM FUNDS NEW WORLD A	0.00	5.92	0.00	5.92	91.0	5.39
06/01/2017	BLANCHARD, DAVID	AM FUNDS NEW WORLD C	0.00	17.95	0.00	17.95	91.0	16.33
06/01/2017	GALLAGHER, MICHAEL	AM FUNDS NEW WORLD C	0.00	16.73	0.00	16.73	91.0	15.22
06/01/2017	MOORE, THOMAS	AM FUNDS NEW WORLD C	0.00	3.78	0.00	3.78	91.0	3.44
06/01/2017	BLANCHARD, TALIA	AM FUNDS NEW WORLD C	0.00	53.65	0.00	53.65	91.0	48.82
06/01/2017	KRAUS, KATHLEEN	AM FUNDS NEW WORLD C	0.00	4.47	0.00	4.47	91.0	4.07
06/01/2017	BLANCHARD, TALIA	AM FUNDS NEW WORLD FUND F1	0.00	2.04	0.00	2.04	91.0	1.86
06/01/2017	BLANCHARD, TALIA	AM FUNDS NEW WORLD FUND F1	0.00	2.51	0.00	2.51	91.0	2.28
06/01/2017	MANN, BARBARA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.93	0.00	1.93	91.0	1.76
06/01/2017	NEUHAUSER, MITCHELL	AM FUNDS SHORT TERM BND FUND OF AM	0.00	3.13	0.00	3.13	91.0	2.85
06/01/2017	HEADLEY, LOMA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	6.89	0.00	6.89	91.0	6.27
06/01/2017	HEADLEY, LOMA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	6.89	0.00	6.89	91.0	6.27
06/01/2017	HEADLEY, LOMA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	6.89	0.00	6.89	91.0	6.27
06/01/2017	HEADLEY, LOMA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	6.89	0.00	6.89	91.0	6.27
06/01/2017	MILLER, DEBORAH	AM FUNDS SMALLCAP WORLD A	0.00	20.89	0.00	20.89	91.0	19.01
06/01/2017	DUNLAP, TONY	AM FUNDS SMALLCAP WORLD A	0.00	3.58	0.00	3.58	91.0	3.26
06/01/2017	DUNLAP, ANNA	AM FUNDS SMALLCAP WORLD A	0.00	1.61	0.00	1.61	91.0	1.47
06/01/2017	FRAME, JANET	AM FUNDS SMALLCAP WORLD A	0.00	2.81	0.00	2.81	91.0	2.56
06/01/2017	MOORE, MELISSA	AM FUNDS SMALLCAP WORLD A	0.00	5.18	0.00	5.18	91.0	4.71
06/01/2017	DEWICK, JAMES	AM FUNDS SMALLCAP WORLD A	0.00	1.02	0.00	1.02	91.0	0.93
06/01/2017	ARCHER, THOMAS	AM FUNDS SMALLCAP WORLD A	0.00	5.49	0.00	5.49	91.0	5.00
06/01/2017	VERMA, ADI	AM FUNDS SMALLCAP WORLD A	0.00	4.36	0.00	4.36	91.0	3.97
06/01/2017	VERMA, ADI	AM FUNDS SMALLCAP WORLD A	0.00	4.36	0.00	4.36	91.0	3.97
06/01/2017	GALLAGHER, FINLEY	AM FUNDS SMALLCAP WORLD A	0.00	6.37	0.00	6.37	91.0	5.80
06/01/2017	GODLESKI, MADISON	AM FUNDS SMALLCAP WORLD A	0.00	0.61	0.00	0.61	91.0	0.56
06/01/2017	PAI, YOGISH	AM FUNDS SMALLCAP WORLD C	0.00	12.37	0.00	12.37	91.0	11.26
06/01/2017	ARCHER, JACOB	AM FUNDS SMALLCAP WORLD C	0.00	3.16	0.00	3.16	91.0	2.88
06/01/2017	KASIAN, JUSTIN PHILLIP	AM FUNDS SMALLCAP WORLD C	0.00	2.98	0.00	2.98	91.0	2.71
06/01/2017	GALLAGHER, RYAN	AM FUNDS SMALLCAP WORLD C	0.00	4.88	0.00	4.88	91.0	4.44
06/01/2017	KASIAN, EVAN	AM FUNDS SMALLCAP WORLD C	0.00	2.98	0.00	2.98	91.0	2.71
06/01/2017	MILLER, DEBORAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.60	0.00	6.60	91.0	6.01
06/01/2017	COX, DENISE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.57	0.00	6.57	91.0	5.98

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	HOFFMAN, LONDON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.90	0.00	1.90	91.0	1.73
06/01/2017	KRAUS, KATHLEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.66	0.00	3.66	91.0	3.33
06/01/2017	WARSTADT, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.89	0.00	0.89	91.0	0.81
06/01/2017	WARSTADT, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.97	0.00	0.97	91.0	0.88
06/01/2017	WARSTADT, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.97	0.00	0.97	91.0	0.88
06/01/2017	COLLINS, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.09	0.00	1.09	91.0	0.99
06/01/2017	BLANCHARD, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	67.94	0.00	67.94	91.0	61.83
06/01/2017	GALLAGHER, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	44.33	0.00	44.33	91.0	40.34
06/01/2017	MOORE, THOMAS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	103.47	0.00	103.47	91.0	94.16
06/01/2017	PAI, YOGISH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	38.21	0.00	38.21	91.0	34.77
06/01/2017	MOORE, THOMAS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.58	0.00	0.58	91.0	0.53
06/01/2017	PAI, YOGISH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	18.08	0.00	18.08	91.0	16.45
06/01/2017	GALLAGHER, MICHAEL	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	17.11	0.00	17.11	91.0	15.57
06/01/2017	BLANCHARD, VIVIA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.27	0.00	0.27	91.0	0.25
06/01/2017	BLANCHARD, RIKER	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.31	0.00	0.31	91.0	0.28
06/01/2017	WHELDON, ALYSON	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	2.05	0.00	2.05	91.0	1.87
06/01/2017	BRANSON, BENJAMIN	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.43	0.00	1.43	91.0	1.30
06/01/2017	PAI, YOGISH	AMERICAN FUND SMALLCAP WORKD FUND-F	0.00	29.41	0.00	29.41	91.0	26.76
06/01/2017	ARCHER, THOMAS	AMERICAN FUNDS GLOBAL GROWTH PF C	0.00	28.44	0.00	28.44	91.0	25.88
06/01/2017	NEUHAUSER, MITCHELL	AMERICAN FUNDS GLOBAL GROWTH PF C	0.00	5.87	0.00	5.87	91.0	5.34
06/01/2017	MANI, CHANDRAMOULI	AMERICAN FUNDS GLOBAL GROWTH PF C	0.00	55.07	0.00	55.07	91.0	50.11
06/01/2017	GODLESKI, AUBRIEGH	AMERICAN FUNDS GLOBAL GROWTH PF C	0.00	4.08	0.00	4.08	91.0	3.71
06/01/2017	GODLESKI, COUPER	AMERICAN FUNDS GLOBAL GROWTH PF C	0.00	1.46	0.00	1.46	91.0	1.33
06/01/2017	NEUHAUSER, JOSEPH	AMERICAN FUNDS GLOBAL GROWTH PF C	0.00	2.14	0.00	2.14	91.0	1.95
06/01/2017	KARP, ELIZABETH	AMERICAN FUNDS GLOBAL GROWTH PF C	0.00	8.35	0.00	8.35	91.0	7.60
06/01/2017	KARP, BENJAMIN	AMERICAN FUNDS GLOBAL GROWTH PF C	0.00	1.78	0.00	1.78	91.0	1.62
06/01/2017	HADAWAY, DEBORAH	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	2.35	0.00	2.35	91.0	2.14
/ /	MOORE, THOMAS	FIDELITY ADV MATERIALS C	0.00	1.74	0.00	1.74	91.0	1.58
05/22/2017	MOORE, THOMAS	FIDELITY ADV MATERIALS C	250.00	2.50	0.00	2.50	91.0	2.28
/ /	MOORE, THOMAS	FIDELITY ADV MEGA CAP STOCK	0.00	2.88	0.00	2.88	91.0	2.62
05/22/2017	MOORE, THOMAS	FIDELITY ADV MEGA CAP STOCK	250.00	2.50	0.00	2.50	91.0	2.28
05/12/2017	GODLESKI, KEVIN	LORD ABBETT NATIONAL TAX FREE INCOM	0.00	0.58	0.00	0.58	91.0	0.53
05/12/2017	GODLESKI, KEVIN	LORD ABBETT NATIONAL TAX FREE INCOM	0.00	6.18	0.00	6.18	91.0	5.62

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
* MUTUAL FUNDS SUBTOTAL *			\$1,028.67	\$4,020.34	\$0.00	\$4,020.34		\$3,658.64
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
05/22/2017	BLACK, AMELIA	PAC LIFE VUL	175.60	1.76	0.00	1.76	91.0	1.60
05/22/2017	BLACK, RICHARD	PAC LIFE VUL	235.60	2.36	0.00	2.36	91.0	2.15
05/22/2017	JARRETT, LAURIE	PAC LIFE VUL	67.50	1.35	0.00	1.35	91.0	1.23
05/24/2017	GODLESKI, KEVIN	PAC LIFE VUL	153.45	3.07	0.00	3.07	91.0	2.79
05/24/2017	GODLESKI, KEVIN	PAC LIFE VUL	14.55	0.29	0.00	0.29	91.0	0.26
05/24/2017	GODLESKI, KIRSTEN	PAC LIFE VUL	132.00	2.64	0.00	2.64	91.0	2.40
05/30/2017	DABBS, STEVEN	PAC LIFE VUL	0.00	15.42	0.00	15.42	91.0	14.03
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$778.70	\$26.89	\$0.00	\$26.89		\$24.46
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/16/2017	PAI, YOGISH & ANITA	PAC LIFE PORTFOLIOS	0.00	10.00	0.00	10.00	91.0	9.10
05/15/2017	BLANCHARD, TALIA	PAC LIFE VALUE	0.00	-5,792.25	0.00	-5,792.25	91.0	-5,270.95
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$-5,782.25	\$0.00	\$-5,782.25		\$-5,261.85
*** BROKER TRANSACTIONS TOTAL ***			\$1,807.37	\$-1,735.02	\$0.00	\$-1,735.02		\$-1,578.75
<u>ADJUSTMENTS</u>								
06/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$-1,578.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-2,153.75
Year-to-date Gross	\$22,827.48
Year-to-date Earnings	\$20,875.74