

## COMMISSION STATEMENT

August 31, 2018

STEPHEN MOSS  
1336 MARYLAND AVENUEBROKER #: V05 A05  
BRANCH: FULCRUM GROUP

HAVERTOWN, PA 19082

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

08/08/0018 (None) VARIOUS, MOSS	529 PLAN	B	0.00	3.50	0.00	3.50	50.0	1.75
08/28/2018 (None) VARIOUS, MOSS	MASSMUTUAL	B	0.00	8.18	0.00	8.18	50.0	4.09
* MUTUAL FUNDS SUBTOTAL *				<u>\$0.00</u>	<u>\$11.68</u>	<u>\$0.00</u>	<u>\$11.68</u>	<u>\$5.84</u>

PRODUCT CATEGORY: STOCKS

08/08/2018 75501994 MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		83.30	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *				<u>\$83.30</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>

PRODUCT CATEGORY: VARIABLE ANNUITIES

08/08/2018 (None) VARIOUS, MOSS	JACKSON	B	0.00	16.22	0.00	16.22	50.0	8.11
08/08/2018 (None) VARIOUS, MOSS	LINCOLN	B	0.00	276.58	0.00	276.58	50.0	138.29
08/10/2018 (None) VARIOUS, MOSS	MASS MUTUAL	B	0.00	8.25	0.00	8.25	50.0	4.13
* VARIABLE ANNUITIES SUBTOTAL *				<u>\$0.00</u>	<u>\$301.05</u>	<u>\$0.00</u>	<u>\$301.05</u>	<u>\$150.53</u>

PRODUCT CATEGORY: RIA

07/01/2018 75501796 MOSS, KATHLEEN	FAS FEES PT. II/III	B	-148.63	49.27	0.00	49.27	50.0	24.64
07/01/2018 75501797 HERTEN, TIMOTHY	FAS FEES PT. II/III	B	-114.91	38.10	0.00	38.10	50.0	19.05
07/01/2018 75501799 HERTEN, TIMOTHY	FAS FEES PT. II/III	B	-112.20	37.20	0.00	37.20	50.0	18.60
07/01/2018 75501801 JOHN W SCHULTZ TTEE	FAS FEES PT. II/III	B	-381.40	126.44	0.00	126.44	50.0	63.22
07/01/2018 75501802 MCELREAVEY, DANIEL	FAS FEES PT. II/III	B	-192.74	63.90	0.00	63.90	50.0	31.95
07/01/2018 75501873 BESETH, DAVID	FAS FEES PT. II/III	B	-435.52	144.38	0.00	144.38	50.0	72.19
07/01/2018 75501875 RALPH G BARTO TRUST	FAS FEES PT. II/III	B	-610.84	202.51	0.00	202.51	50.0	101.26
07/01/2018 75501877 MORRIS WEISMAN TTEE	FAS FEES PT. II/III	B	-1,697.68	562.82	0.00	562.82	50.0	281.41
07/01/2018 75501878 MORRIS WEISMAN TTEE	FAS FEES PT. II/III	B	-215.72	71.52	0.00	71.52	50.0	35.76
07/01/2018 75501907 HESTON, EUGENE	FAS FEES PT. II/III	B	-385.16	127.69	0.00	127.69	50.0	63.85
07/01/2018 75501922 HAFENMAIER, HELEN	FAS FEES PT. II/III	B	-303.89	100.75	0.00	100.75	50.0	50.38
07/01/2018 75501924 CUNNINGHAM, WENDY	FAS FEES PT. II/III	B	-348.21	115.44	0.00	115.44	50.0	57.72
07/01/2018 75501937 BERG, ELIZABETH	FAS FEES PT. II/III	B	-332.67	110.29	0.00	110.29	50.0	55.15
07/01/2018 75501944 EDWARD W CUNICELLI	FAS FEES PT. II/III	B	-317.06	105.11	0.00	105.11	50.0	52.56
07/01/2018 75502025 THIEU, MINH	FAS FEES PT. II/III	B	-499.12	165.47	0.00	165.47	50.0	82.74
07/01/2018 75502026 DAVID DIEU TTEE	FAS FEES PT. II/III	B	-332.58	110.26	0.00	110.26	50.0	55.13
07/01/2018 75502095 VERBITSKI, THOMAS	FAS FEES PT. II/III	B	-1,040.30	344.88	0.00	344.88	50.0	172.44

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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

07/01/2018	75502176 DENNIN, MARY	FAS FEES PT. II/III	B	-236.31	78.34	0.00	78.34	50.0	39.17
* RIA SUBTOTAL *				\$-7,704.94	\$2,554.37	\$0.00	\$2,554.37		\$1,277.22

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/31/2018		FEE COUNT - 7		0.00	3.46	0.00	3.46	50.0	0.64
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3.46	\$0.00	\$3.46		\$0.64
*** BROKER TRANSACTIONS TOTAL ***				\$-7,621.64	\$2,870.56	\$0.00	\$2,870.56		\$1,434.23

ADJUSTMENTS

08/31/2018		OTHER: UNBUNDLED FEES 2/3		0.00	0.00	0.00	0.00		-255.89
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-255.89

BROKER COMMISSION TOTALS

Broker Transactions	\$1,434.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-255.89
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.59
SIPC Assessment	\$-7.18

Check Amount	\$1,167.57
Year-to-date Earnings	\$12,602.43