

All Companies
STATEMENT
April 20, 2018

CYNTHIA HERRON - LPCM
2321 Travis Lane
#608
Overland Park, KS 66209-

BROKER #: 3227
BRANCH: LIBERTY PARTNERS CAP

COMMISSION STATEMENT for CYNTHIA HERRON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
02/07/0018	#552099	EHRLICH, HAROLD	TCA FEES	0.00	-84.57	92.0	0.00	-77.80	-77.80
02/12/0018	#557019	STRACHAN, GRACE	TCA FEES	0.00	-232.09	92.0	0.00	-213.52	-213.52
04/01/2018	#566198	TCA HERRON VAR	ADDL CASH FOR ALLOC	1,756.58	4.39	92.0	0.00	4.04	4.04
04/01/2018	#566198	TCA HERRON VAR	ADDL CASH FOR ALLOC	1,756.58	-0.51	92.0	0.00	-0.51	-0.51
04/01/2018	#634435	TCA HERRON VAR	HZI CONSERVATIVE	27,452.69	28.60	92.0	0.00	26.31	26.31
04/01/2018	#634435	TCA HERRON VAR	HZI CONSERVATIVE	27,452.69	-13.73	92.0	0.00	-13.73	-13.73
04/01/2018	#617781	TCA HERRON VAR	HZI FOCUSED	48,971.91	51.01	92.0	0.00	46.93	46.93
04/01/2018	#656031		HZI FOCUSED	37,596.39	39.16	92.0	0.00	36.03	36.03
04/01/2018	#617781	TCA HERRON VAR	HZI FOCUSED	48,971.91	-24.49	92.0	0.00	-24.49	-24.49
04/01/2018	#656031		HZI FOCUSED	37,596.39	-18.80	92.0	0.00	-18.80	-18.80
04/01/2018	#512318	BAUM, HARRY	HZI FOCUSED W RA	151,874.08	158.20	92.0	0.00	145.54	145.54
04/01/2018	#512491	BAUM, HARRY	HZI FOCUSED W RA	69,791.76	72.70	92.0	0.00	66.88	66.88
04/01/2018	#512318	BAUM, HARRY	HZI FOCUSED W RA	151,874.08	-75.94	92.0	0.00	-75.94	-75.94
04/01/2018	#512491	BAUM, HARRY	HZI FOCUSED W RA	69,791.76	-34.90	92.0	0.00	-34.90	-34.90
04/01/2018	#512459	BAUM, CONNIE	HZI GROWTH	47,216.29	49.18	92.0	0.00	45.25	45.25
04/01/2018	#513527	WARD-MARCUM,	HZI GROWTH	59,145.05	61.61	92.0	0.00	56.68	56.68
04/01/2018	#513535	MARCUM, MICHAEL	HZI GROWTH	29,962.84	31.21	92.0	0.00	28.71	28.71
04/01/2018	#516171	TAYLOR-WHEATON, R	HZI GROWTH	29,189.54	30.41	92.0	0.00	27.98	27.98
04/01/2018	#516173	WHEATON, RICK	HZI GROWTH	29,224.27	30.44	92.0	0.00	28.00	28.00
04/01/2018	#516178	CANTRELL, MARGIE	HZI GROWTH	85,652.58	89.22	92.0	0.00	82.08	82.08
04/01/2018	#525752	TCA HERRON VAR	HZI GROWTH	63,359.14	66.00	92.0	0.00	60.72	60.72
04/01/2018	#525989	TCA HERRON VAR	HZI GROWTH	28,920.57	30.13	92.0	0.00	27.72	27.72
04/01/2018	#534867	KELLY, STEVEN	HZI GROWTH	28,020.37	29.19	92.0	0.00	26.85	26.85
04/01/2018	#543979	RUSSELL, JOHN	HZI GROWTH	42,570.02	44.34	92.0	0.00	40.79	40.79
04/01/2018	#544680	MARCUM, MICHAEL	HZI GROWTH	36,300.02	37.81	92.0	0.00	34.79	34.79
04/01/2018	#552059	RARDIN, JOHN	HZI GROWTH	29,980.01	31.23	92.0	0.00	28.73	28.73
04/01/2018	#552100	STRACHAN, GRACE	HZI GROWTH	110,670.72	115.28	92.0	0.00	106.06	106.06
04/01/2018	#566198	TCA HERRON VAR	HZI GROWTH	40,539.67	101.35	92.0	0.00	93.24	93.24

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/01/2018	#638144 TCA HERRON VAR	HZI GROWTH	28,332.75	29.51	92.0	0.00	27.15	0.00	27.15
04/01/2018	#654603 STRACHAN, GRACE	HZI GROWTH	98,630.11	102.74	92.0	0.00	94.52	0.00	94.52
04/01/2018	#512459 BAUM, CONNIE	HZI GROWTH	47,216.29	-23.61	92.0	0.00	-23.61	0.00	-23.61
04/01/2018	#513527 WARD-MARCUM,	HZI GROWTH	59,145.05	-29.57	92.0	0.00	-29.57	0.00	-29.57
04/01/2018	#513535 MARCUM, MICHAEL	HZI GROWTH	29,962.84	-14.98	92.0	0.00	-14.98	0.00	-14.98
04/01/2018	#516171 TAYLOR-WHEATON, R	HZI GROWTH	29,189.54	-14.59	92.0	0.00	-14.59	0.00	-14.59
04/01/2018	#516173 WHEATON, RICK	HZI GROWTH	29,224.27	-14.61	92.0	0.00	-14.61	0.00	-14.61
04/01/2018	#516178 CANTRELL, MARGIE	HZI GROWTH	85,652.58	-42.83	92.0	0.00	-42.83	0.00	-42.83
04/01/2018	#525752 TCA HERRON VAR	HZI GROWTH	63,359.14	-31.68	92.0	0.00	-31.68	0.00	-31.68
04/01/2018	#525989 TCA HERRON VAR	HZI GROWTH	28,920.57	-14.46	92.0	0.00	-14.46	0.00	-14.46
04/01/2018	#534867 KELLY, STEVEN	HZI GROWTH	28,020.37	-14.01	92.0	0.00	-14.01	0.00	-14.01
04/01/2018	#543979 RUSSELL, JOHN	HZI GROWTH	42,570.02	-21.29	92.0	0.00	-21.29	0.00	-21.29
04/01/2018	#544680 MARCUM, MICHAEL	HZI GROWTH	36,300.02	-18.15	92.0	0.00	-18.15	0.00	-18.15
04/01/2018	#552059 RARDIN, JOHN	HZI GROWTH	29,980.01	-14.99	92.0	0.00	-14.99	0.00	-14.99
04/01/2018	#552100 STRACHAN, GRACE	HZI GROWTH	110,670.72	-55.34	92.0	0.00	-55.34	0.00	-55.34
04/01/2018	#566198 TCA HERRON VAR	HZI GROWTH	40,539.67	-20.27	92.0	0.00	-20.27	0.00	-20.27
04/01/2018	#638144 TCA HERRON VAR	HZI GROWTH	28,332.75	-14.17	92.0	0.00	-14.17	0.00	-14.17
04/01/2018	#654603 STRACHAN, GRACE	HZI GROWTH	98,630.11	-49.32	92.0	0.00	-49.32	0.00	-49.32
04/01/2018	#513538 MOOT, PEGGY	HZI MODERATE	30,400.78	25.33	92.0	0.00	23.30	0.00	23.30
04/01/2018	#516890 ALBIN, JANETTE	HZI MODERATE	28,179.46	29.35	92.0	0.00	27.00	0.00	27.00
04/01/2018	#543962 RUSSELL, JOHN	HZI MODERATE	31,326.50	32.63	92.0	0.00	30.02	0.00	30.02
04/01/2018	#556450 GALVAS, MARIE	HZI MODERATE	247,765.30	258.09	92.0	0.00	237.44	0.00	237.44
04/01/2018	#611065 TCA HERRON VAR	HZI MODERATE	41,865.93	43.61	92.0	0.00	40.12	0.00	40.12
04/01/2018	#654638 STRACHAN, GRACE	HZI MODERATE	391,401.93	407.71	92.0	0.00	375.09	0.00	375.09
04/01/2018	#513538 MOOT, PEGGY	HZI MODERATE	30,400.78	-15.20	92.0	0.00	-15.20	0.00	-15.20
04/01/2018	#516890 ALBIN, JANETTE	HZI MODERATE	28,179.46	-14.09	92.0	0.00	-14.09	0.00	-14.09
04/01/2018	#543962 RUSSELL, JOHN	HZI MODERATE	31,326.50	-15.66	92.0	0.00	-15.66	0.00	-15.66
04/01/2018	#556450 GALVAS, MARIE	HZI MODERATE	247,765.30	-123.88	92.0	0.00	-123.88	0.00	-123.88
04/01/2018	#611065 TCA HERRON VAR	HZI MODERATE	41,865.93	-20.93	92.0	0.00	-20.93	0.00	-20.93
04/01/2018	#654638 STRACHAN, GRACE	HZI MODERATE	391,401.93	-195.70	92.0	0.00	-195.70	0.00	-195.70
* RIA SUBTOTAL *			\$3,792,194.52	\$766.07		\$0.00	\$628.95	\$0.00	\$628.95
*** BROKER TRANSACTIONS TOTAL ***			\$3,792,194.52	\$766.07		\$0.00	\$628.95	\$0.00	\$628.95

BROKER COMMISSION TOTALS

Broker Transactions	\$628.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$628.95
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