

COMMISSION STATEMENT

April 30, 2018

CHRISTOPHER HAMILTON
21 WEST STREET
APT 6J
NEW YORK, NY 10006

BROKER #: I19 K1H R70
BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
04/26/2018	75500529	KALOS, PAUL	VANGUARD FIXED INCOME SECS FUND INC S	172,418.02	50.00	0.00	50.00	80.0	40.00
04/04/2018	75500779	DIPRIMA, ANTHONY	ROCHESTER FUND MUNICIPALS A	S4,025.00	25.00	0.00	25.00	80.0	20.00
* MUTUAL FUNDS SUBTOTAL *				\$176,443.02	\$75.00	\$0.00	\$75.00		\$60.00
PRODUCT CATEGORY: STOCKS									
03/28/2018	75500508	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	S32,478.75	0.00	10.00	-10.00	80.0	-8.00
03/29/2018	75500508	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	S32,893.75	0.00	10.00	-10.00	80.0	-8.00
03/28/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S71,904.00	0.00	10.00	-10.00	80.0	-8.00
03/28/2018	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP	S52,270.00	0.00	10.00	-10.00	80.0	-8.00
03/28/2018	75500514	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	S46,769.40	0.00	10.00	-10.00	80.0	-8.00
03/29/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S72,816.00	0.00	10.00	-10.00	80.0	-8.00
03/29/2018	75500514	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	S47,367.00	0.00	10.00	-10.00	80.0	-8.00
04/02/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B71,352.00	0.00	10.00	-10.00	80.0	-8.00
04/02/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B142,704.00	0.00	10.00	-10.00	80.0	-8.00
04/02/2018	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP	B25,670.00	0.00	10.00	-10.00	80.0	-8.00
04/03/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S72,252.00	805.84	10.00	795.84	80.0	636.67
04/03/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B-72,252.00	-805.84	-10.00	-795.84	80.0	-636.67
04/03/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S72,252.00	0.00	10.00	-10.00	80.0	-8.00
04/03/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S144,504.00	0.00	10.00	-10.00	80.0	-8.00
04/03/2018	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP	S26,010.00	0.00	10.00	-10.00	80.0	-8.00
04/05/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES 20 PLUS YEAR TREASURY BOND	B47,916.00	0.00	10.00	-10.00	80.0	-8.00
04/05/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B72,684.00	0.00	10.00	-10.00	80.0	-8.00
04/06/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES 20 PLUS YEAR TREASURY BOND	S48,440.00	0.00	10.00	-10.00	80.0	-8.00
04/06/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B143,088.00	0.00	10.00	-10.00	80.0	-8.00
04/10/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S145,152.00	0.00	10.00	-10.00	80.0	-8.00
04/10/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S145,152.00	0.00	10.00	-10.00	80.0	-8.00
04/11/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B72,396.00	0.00	10.00	-10.00	80.0	-8.00
04/11/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B72,396.00	0.00	10.00	-10.00	80.0	-8.00
04/11/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B144,792.00	0.00	10.00	-10.00	80.0	-8.00
04/12/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S144,552.00	0.00	10.00	-10.00	80.0	-8.00
04/13/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B72,334.44	0.00	10.00	-10.00	80.0	-8.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/13/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		72,276.00	0.00	10.00	-10.00	80.0	-8.00
04/13/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		144,552.00	0.00	10.00	-10.00	80.0	-8.00
04/16/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B		72,480.00	0.00	10.00	-10.00	80.0	-8.00
04/16/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B		144,960.00	0.00	10.00	-10.00	80.0	-8.00
04/17/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		72,756.00	0.00	10.00	-10.00	80.0	-8.00
04/17/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		145,512.00	0.00	10.00	-10.00	80.0	-8.00
04/18/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B		73,248.00	0.00	10.00	-10.00	80.0	-8.00
04/18/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B		146,496.00	0.00	10.00	-10.00	80.0	-8.00
04/18/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		-146,496.00	0.00	-10.00	10.00	80.0	8.00 C
04/18/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B		146,496.00	0.00	10.00	-10.00	80.0	-8.00
04/18/2018	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR OIL SVCS ETF S		40,815.00	0.00	10.00	-10.00	80.0	-8.00
04/19/2018	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND B		59,757.50	0.00	10.00	-10.00	80.0	-8.00
04/19/2018	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND S		59,650.50	0.00	10.00	-10.00	80.0	-8.00
04/19/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		72,972.00	0.00	10.00	-10.00	80.0	-8.00
04/19/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		145,944.00	0.00	10.00	-10.00	80.0	-8.00
04/19/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP B		25,675.00	0.00	10.00	-10.00	80.0	-8.00
04/20/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B		145,656.00	0.00	10.00	-10.00	80.0	-8.00
04/20/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B		145,656.00	0.00	10.00	-10.00	80.0	-8.00
04/23/2018	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND B		59,224.30	0.00	10.00	-10.00	80.0	-8.00
04/23/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		72,732.00	0.00	10.00	-10.00	80.0	-8.00
04/23/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		145,464.00	0.00	10.00	-10.00	80.0	-8.00
04/23/2018	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR OIL SVCS ETF B		40,545.00	0.00	10.00	-10.00	80.0	-8.00
04/24/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B		144,936.00	0.00	10.00	-10.00	80.0	-8.00
04/24/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B		72,468.00	0.00	10.00	-10.00	80.0	-8.00
04/24/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST B		26,286.32	0.00	10.00	-10.00	80.0	-8.00
04/25/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		145,392.00	0.00	10.00	-10.00	80.0	-8.00
04/25/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		72,696.00	0.00	10.00	-10.00	80.0	-8.00
04/25/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP S		25,100.00	0.00	10.00	-10.00	80.0	-8.00
04/26/2018	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND S		58,990.00	0.00	10.00	-10.00	80.0	-8.00
04/26/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		73,140.00	0.00	10.00	-10.00	80.0	-8.00
04/26/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST S		26,631.00	0.00	10.00	-10.00	80.0	-8.00
03/28/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST S		46,769.40	25.00	10.00	15.00	80.0	12.00
03/29/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST S		47,367.00	25.00	10.00	15.00	80.0	12.00
04/13/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST S		106,198.72	50.00	10.00	40.00	80.0	32.00
04/24/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST B		105,031.20	50.00	10.00	40.00	80.0	32.00
03/28/2018	75500747	MILNER, ELIZABETH SPDR S&P 500 ETF TRUST S		5,196.60	0.00	10.00	-10.00	80.0	-8.00
03/29/2018	75500747	MILNER, ELIZABETH SPDR S&P 500 ETF TRUST S		6,578.75	15.00	10.00	5.00	80.0	4.00
03/28/2018	75500767	HAMILTON, DOUGLAS SPDR S&P 500 ETF TRUST S		11,692.35	0.00	10.00	-10.00	80.0	-8.00
03/29/2018	75500767	HAMILTON, DOUGLAS SPDR S&P 500 ETF TRUST S		11,841.75	15.00	10.00	5.00	80.0	4.00
04/20/2018	75500796	KARP, BETH WELLS FARGO & CO B		105,377.60	60.00	10.00	50.00	80.0	40.00

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
04/25/2018	75500796 KARP, BETH	WELLS FARGO & CO	B	191,836.44	108.00	10.00	98.00	80.0	78.40
04/26/2018	75500796 KARP, BETH	WELLS FARGO & CO	B	190,938.87	108.00	10.00	98.00	80.0	78.40
03/28/2018	75500816 KERN, DOUGLAS	SPDR S&P 500 ETF TRUST	S	71,453.25	25.00	10.00	15.00	80.0	12.00
03/29/2018	75500816 KERN, DOUGLAS	SPDR S&P 500 ETF TRUST	S	72,366.25	25.00	10.00	15.00	80.0	12.00
04/10/2018	75500910 GRAFSTEIN, RALPH	PFIZER INCORPORATED	S	36,000.00	50.00	10.00	40.00	80.0	32.00
04/25/2018	75500913 KARP, RONALD	EYEPOINT PHARMACEUTICALS INC COM	S	11,750.00	50.00	10.00	40.00	80.0	32.00
04/25/2018	75500913 KARP, RONALD	EYEPOINT PHARMACEUTICALS INC COM	S	12,000.00	50.00	10.00	40.00	80.0	32.00
04/26/2018	75500913 KARP, RONALD	EYEPOINT PHARMACEUTICALS INC COM	S	10,244.00	50.00	10.00	40.00	80.0	32.00
03/28/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	S	23,384.70	25.00	10.00	15.00	80.0	12.00
03/29/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	S	23,683.50	25.00	10.00	15.00	80.0	12.00
03/28/2018	75500987 MILNER, NATHANIEL	SPDR S&P 500 ETF TRUST	S	5,196.60	0.00	10.00	-10.00	80.0	-8.00
03/29/2018	75500987 MILNER, NATHANIEL	SPDR S&P 500 ETF TRUST	S	6,578.75	15.00	10.00	5.00	80.0	4.00
04/10/2018	75500996 GRAFSTEIN, RACHEL	PFIZER INCORPORATED	S	18,000.00	50.00	10.00	40.00	80.0	32.00
04/13/2018	75501006 GRAFSTEIN, ALLEN	PRUDENTIAL FINANCIAL INC	S	1,484.00	1.40	10.00	-8.60	80.0	-6.88
04/17/2018	75501006 GRAFSTEIN, ALLEN	PRUDENTIAL FINANCIAL INC	S	51,516.00	48.60	10.00	38.60	80.0	30.88
04/17/2018	75501024 GRAFSTEIN, ALLEN	PRUDENTIAL FINANCIAL INC	S	53,000.00	50.00	10.00	40.00	80.0	32.00
03/28/2018	75501159 EISBERG, JAMES	SPDR S&P 500 ETF TRUST	S	5,196.60	15.00	10.00	5.00	80.0	4.00
03/29/2018	75501159 EISBERG, JAMES	SPDR S&P 500 ETF TRUST	S	6,578.75	15.00	10.00	5.00	80.0	4.00
04/12/2018	75501192 ALLEN GRAFSTEIN	COSTCO WHOLESALE CORP-NEW	S	283,503.00	50.00	10.00	40.00	80.0	32.00
04/17/2018	75501192 ALLEN GRAFSTEIN	PRUDENTIAL FINANCIAL INC	S	106,000.00	50.00	10.00	40.00	80.0	32.00
04/20/2018	QGW019903 KARP, SELWYN	VOYAGER THERAPEUTICS INC COM	B	37,544.20	50.00	10.00	40.00	80.0	32.00
04/20/2018	QGW019903 KARP, SELWYN	WELLS FARGO & CO	B	105,318.60	60.00	10.00	50.00	80.0	40.00
04/26/2018	QGW019903 KARP, SELWYN	WELLS FARGO & CO	B	52,966.60	50.00	10.00	40.00	80.0	32.00
03/29/2018	QGW019929 KARP, JEFFREY	GULFPORT ENERGY CORP COM NEW	S	-38,142.80	50.00	10.00	40.00	80.0	32.00
04/04/2018	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN B		30,800.00	80.00	10.00	70.00	80.0	56.00
04/11/2018	QGW019929 KARP, JEFFREY	ALAMOS GOLD INC COM CL A	S	-45,760.80	100.00	10.00	90.00	80.0	72.00
04/11/2018	QGW019929 KARP, JEFFREY	ALAMOS GOLD INC COM CL A	S	-45,680.80	100.00	10.00	90.00	80.0	72.00
04/13/2018	QGW019929 KARP, JEFFREY	ALAMOS GOLD INC COM CL A	S	-45,600.80	100.00	10.00	90.00	80.0	72.00
04/13/2018	QGW019929 KARP, JEFFREY	TEVA PHARMACEUTICAL INDUSTRIES LIMIS		-72,164.00	50.00	10.00	40.00	80.0	32.00
04/18/2018	QGW019929 KARP, JEFFREY	BED BATH & BEYOND INC	B	36,051.20	50.00	10.00	40.00	80.0	32.00
04/20/2018	QGW019929 KARP, JEFFREY	ANGLOGOLD ASHANTI LTD (NEW) SPONSOR B		36,720.00	100.00	10.00	90.00	80.0	72.00
04/20/2018	QGW019929 KARP, JEFFREY	ANGLOGOLD ASHANTI LTD (NEW) SPONSOR B		36,270.80	100.00	10.00	90.00	80.0	72.00
04/24/2018	QGW019929 KARP, JEFFREY	BED BATH & BEYOND INC	B	34,875.20	50.00	10.00	40.00	80.0	32.00
04/24/2018	QGW019929 KARP, JEFFREY	GAMESTOP CORP NEW CLASS A	S	-54,409.20	100.00	10.00	90.00	80.0	72.00
04/26/2018	QGW019929 KARP, JEFFREY	AK STEEL HOLDING CORP	S	-38,336.80	100.00	10.00	90.00	80.0	72.00
04/26/2018	QGW019929 KARP, JEFFREY	BED BATH & BEYOND INC	B	35,134.40	50.00	10.00	40.00	80.0	32.00
04/20/2018	QGW019937 KARP, BARBARA	WELLS FARGO & CO	B	26,308.70	50.00	10.00	40.00	80.0	32.00
04/26/2018	QGW019937 KARP, BARBARA	WELLS FARGO & CO	B	52,949.00	50.00	10.00	40.00	80.0	32.00
* STOCKS SUBTOTAL *				\$6,251,511.54	\$2,341.00	\$1,000.00	\$1,341.00		\$1,072.80

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

04/13/2018	75500529	KALOS, PAUL	CALL SPY	04/13/18	266	B	76.00	25.00	10.00	15.00	80.0	12.00
04/24/2018	75500529	KALOS, PAUL	CALL SPY	01/20/17	222	S	3,588.00	50.00	10.00	40.00	80.0	32.00
* OPTIONS SUBTOTAL *							\$3,664.00	\$75.00	\$20.00	\$55.00		\$44.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/30/2018		FEE COUNT - 46					0.00	625.18	0.00	625.18	80.0	500.16
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *							\$0.00	\$625.18	\$0.00	\$625.18		\$500.16
*** BROKER TRANSACTIONS TOTAL ***							\$6,431,618.56	\$3,116.18	\$1,020.00	\$2,096.18		\$1,676.96

ADJUSTMENTS

04/30/2018		DEMNL:MANUAL PROCESS FEE (LPFS): MA					0.00	0.00	0.00	0.00		-10.00
04/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY					0.00	0.00	0.00	0.00		-20.00
04/30/2018		OTHER: OTHER-360 OFFICE					0.00	0.00	0.00	0.00		-12.00
04/30/2018		OTHER: OTHER-DISABLITY INSURANCE					0.00	0.00	0.00	0.00		-2.04
04/30/2018		OTHER: OTHER-WORKMAN COMP					0.00	0.00	0.00	0.00		-133.34
04/30/2018		OTHER: THOMSON					0.00	0.00	0.00	0.00		-112.86
04/30/2018		OTHER: EXCHANGE FEES					0.00	0.00	0.00	0.00		-55.66
*** ADJUSTMENTS TOTAL ***							\$0.00	\$0.00	\$0.00	\$0.00		\$-345.90

BROKER COMMISSION TOTALS

Broker Transactions	\$1,676.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-345.90
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.90
SIPC Assessment	\$-7.79

Check Amount	\$1,319.37
Year-to-date Earnings	\$11,700.24