

**COMMISSION STATEMENT****January 31, 2018**

A132 - HERB/FRED ALSTON/CHASALOW

BROKER #: A132

BRANCH: HERBERT ALSTON

, MI

| DATE  | CLIENT              | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE  | BROKER<br>COMMISSION |
|---|---------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                       |                     |                                     |                      |                     |                    |                   |       |                      |
| <b><u>PRODUCT CATEGORY: 529 PLANS</u></b>               |                     |                                     |                      |                     |                    |                   |       |                      |
| ^01/19/2018   | COHEN, LORI PIERCE- | AM FUNDS GROWTH FUND OF AMERICA 529 | 100.00               | 1.00                | 0.00               | 1.00              | 100.0 | 0.00                 |
| ^01/19/2018   | COHEN, LORI PIERCE- | AM FUNDS NEW PERSPECTIVE 529C       | 100.00               | 1.00                | 0.00               | 1.00              | 100.0 | 0.00                 |
| * 529 PLANS SUBTOTAL *                                  |                     |                                     | \$200.00             | \$2.00              | \$0.00             | \$2.00            |       | \$0.00               |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                     |                                     |                      |                     |                    |                   |       |                      |
| ^01/24/2018   | ALS/CHAS, VARIOUS   | AMERICAN BEACON FUNDS               | 0.00                 | 211.90              | 0.00               | 211.90            | 100.0 | 0.00                 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL *                    |                     |                                     | \$0.00               | \$211.90            | \$0.00             | \$211.90          |       | \$0.00               |
| *** DIRECT TRANSACTIONS TOTAL ***                       |                     |                                     | \$200.00             | \$213.90            | \$0.00             | \$213.90          |       | \$0.00               |

**BROKER COMMISSION TOTALS**

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.00 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                       |        |
|-----------------------|--------|
| Balance Forward       | \$0.00 |
| Year-to-date Gross    | \$0.00 |
| Year-to-date Earnings | \$0.00 |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed