

COMMISSION STATEMENT

June 30, 2018

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #: W11

BRANCH: FULCRUM GROUP

COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/08/2018	75501342 WINNICK, HILLARY	BERRY PLASTICS GROUP INC COM	B	46,470.00	20.00	0.00	20.00	55.0	0.00
06/08/2018	75501345 WINNICK, JOELY	BERRY PLASTICS GROUP INC COM	B	46,479.90	20.00	0.00	20.00	55.0	0.00
05/31/2018	QGV006075 PARTNERS, F/A/O:	ROLLINS INC	S	-100,840.00	80.00	0.00	80.00	55.0	44.00
06/06/2018	QGV006075 PARTNERS, F/A/O:	PENNSYLVANIA REAL ESTATE INVT TR	B	17,669.85	60.00	0.00	60.00	55.0	33.00
06/04/2018	QGV006208 HEARTLAND VALUE FUND	TRISTATE CAP HLDGS INC COM	S	-1,291,425.00	2,500.00	0.00	2,500.00	55.0	1,375.00
06/06/2018	QGW031072 KRAFF REVOCABLE	STAAR SURGICAL CO NEW	S	-62,000.00	200.00	0.00	200.00	55.0	110.00
06/20/2018	QGW031072 KRAFF REVOCABLE	STAAR SURGICAL CO NEW	S	-63,600.00	200.00	0.00	200.00	55.0	110.00
06/06/2018	QGW031106 TIMYAN, PHILIP	CALIFORNIA FIRST NATL BANCORP COM	B	8,007.00	17.34	0.00	17.34	55.0	0.00
06/08/2018	QGW034852 HOLMES, MEGAN	OVERSTOCK COM INC DEL COM	S	-33,500.00	417.31	0.00	417.31	55.0	229.52
06/20/2018	QGW034852 HOLMES, MEGAN	CBL & ASSOC PPTYS INC	S	-29,250.50	300.00	0.00	300.00	55.0	165.00
* STOCKS SUBTOTAL *				\$-1,461,988.75	\$3,814.65	\$0.00	\$3,814.65		\$2,066.52
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
06/13/2018	VARIOUS, WINNICK	FEDIELTY	B	0.00	1,426.22	0.00	1,426.22	55.0	784.42
06/13/2018	VARIOUS, WINNICK	FIDELITY	B	0.00	1,300.00	0.00	1,300.00	55.0	715.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$2,726.22	\$0.00	\$2,726.22		\$1,499.42
*** BROKER TRANSACTIONS TOTAL ***				\$-1,461,988.75	\$6,540.87	\$0.00	\$6,540.87		\$3,565.94

ADJUSTMENTS

06/30/2018	OTHER: W/OFF QGW031205			0.00	0.00	0.00	0.00		-14.79
06/30/2018	OTHER: W/OFF QGW031304			0.00	0.00	0.00	0.00		-24.90
06/30/2018	OTHER: W/OFF QGW031254			0.00	0.00	0.00	0.00		-24.90
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-64.59

BROKER COMMISSION TOTALS

Broker Transactions	\$3,565.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-64.59
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-4.77
SIPC Assessment	\$-9.54

Check Amount	\$3,487.04
Year-to-date Earnings	\$34,254.41