

## COMMISSION STATEMENT

February 28, 2018

KINGSBURY INC.

BROKER #: 86

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

02/08/2018 75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS BANKING FD CL A	S	15,000.00	0.00	0.00	0.00	100.0	0.00
02/08/2018 75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS FINL SVCS FD CL A	S	15,000.00	0.00	0.00	0.00	100.0	0.00
02/09/2018 75597035	PERKINSON, DOLORES	FRANKLIN U.S. GOVERNMENT SECURITIES S		1,500.00	0.00	0.00	0.00	100.0	0.00
02/21/2018 75597048	HESSELBERG, SHIRLEY	FRANKLIN FEDERAL TAX FREE INCOME FU S		16,643.96	0.00	0.00	0.00	100.0	0.00
02/21/2018 75597048	HESSELBERG, SHIRLEY	GUGGENHEIM FDS TRUST	S	11,693.62	0.00	0.00	0.00	100.0	0.00
02/21/2018 75597048	HESSELBERG, SHIRLEY	INVESTMENT COMPANY OF AMERICA CLASS S		22,020.83	0.00	0.00	0.00	100.0	0.00
02/21/2018 75597048	HESSELBERG, SHIRLEY	IVY FDS INC LARGE CAP GROWTH FD CL S		23,826.60	0.00	0.00	0.00	100.0	0.00
02/21/2018 75597048	HESSELBERG, SHIRLEY	THORNBURG INVT TR INTL VALUE FD CL S		4,338.76	0.00	0.00	0.00	100.0	0.00
02/21/2018 75597048	HESSELBERG, SHIRLEY	TOUCHSTONE STRATEGIC TR SMALL CO FD S		9,389.41	0.00	0.00	0.00	100.0	0.00
02/06/2018 75597072	JAMES R MENZ TTEE	BOND-DEBENTURE FUND CLASS A	S	25,000.00	0.00	0.00	0.00	100.0	0.00
02/20/2018 75597091	BOL, BEVERLY	CAPITAL APPRECIATION A	S	344.00	0.00	0.00	0.00	100.0	0.00
02/26/2018 75597147	HOLTZ, WILLIAM	BLACKROCK HEALTH SCIENCES FUND CLAS B		17,500.00	875.00	0.00	875.00	100.0	875.00
02/06/2018 75597160	MILES, GARY	FINANCIAL INVS TR	S	26,631.18	0.00	0.00	0.00	100.0	0.00
02/07/2018 75597173	NELSON, CAROLE	MAINSTAY LARGE CAP GROWTH FUND CLAS S		4,000.00	0.00	0.00	0.00	100.0	0.00
02/22/2018 75597173	NELSON, CAROLE	MAINSTAY LARGE CAP GROWTH FUND CLAS S		800.00	0.00	0.00	0.00	100.0	0.00
02/20/2018 75597185	BOL, BEVERLY	PIONEER FUND A	S	231.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$193,919.36	\$875.00	\$0.00	\$875.00		\$875.00

PRODUCT CATEGORY: STOCKS

02/06/2018 75597023	ANBIL, DILHARA	POWERSHARES QQQ TRUST SERIES 1	B	2,337.15	68.55	12.00	56.55	100.0	56.55
02/26/2018 75597047	MENZ, SUSAN	ARES CAPITAL CORPORATION	B	7,969.50	207.84	12.00	195.84	100.0	195.84
02/26/2018 75597047	MENZ, SUSAN	BLACKROCK CAPITAL INVESTMENT CORPOR B		2,781.55	126.70	12.00	114.70	100.0	114.70
02/26/2018 75597047	MENZ, SUSAN	HERCULES CAPITAL INC	B	10,409.95	290.94	12.00	278.94	100.0	278.94
02/26/2018 75597070	MENZ, JAMES	HERCULES CAPITAL INC	B	2,694.25	112.96	12.00	100.96	100.0	100.96
02/26/2018 75597072	JAMES R MENZ TTEE	ARES CAPITAL CORPORATION	B	14,341.50	299.00	12.00	287.00	100.0	287.00
02/26/2018 75597072	JAMES R MENZ TTEE	HERCULES CAPITAL INC	B	16,778.39	399.00	12.00	387.00	100.0	387.00
02/07/2018 75597085	MARCUS, NANCY	VERIZON COMMUNICATIONS	S	822.28	25.00	12.00	13.00	100.0	13.00
02/26/2018 75597147	HOLTZ, WILLIAM	INFINERA CORP	S	2,505.85	50.00	12.00	38.00	100.0	38.00
02/06/2018 75597152	LEE, MICHELLE	POWERSHARES QQQ TRUST SERIES 1	B	4,985.92	105.00	12.00	93.00	100.0	93.00
02/06/2018 75597153	LEE, MICHELLE	VANGUARD S&P 500 ETF	B	9,534.40	105.00	12.00	93.00	100.0	93.00
* STOCKS SUBTOTAL *				\$75,160.74	\$1,789.99	\$132.00	\$1,657.99		\$1,657.99

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
02/23/2018	75597084	MAYBERRY, DWIGHT		FAS FEES PT. I/III	B	40.00	0.00	12.00	-12.00 100.0 -12.00
02/23/2018	75597084	MAYBERRY, DWIGHT		FAS FEES PT. II/III	B	40.00	0.00	12.00	-12.00 100.0 -12.00
02/23/2018	75597084	MAYBERRY, DWIGHT		FAS FEES PT. III/III	B	40.00	0.00	12.00	-12.00 100.0 -12.00
				<b>* RIA SUBTOTAL *</b>		<b>\$120.00</b>	<b>\$0.00</b>	<b>\$36.00</b>	<b>\$-36.00</b>
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>									
02/06/2018	75597072	JAMES R MENZ TTEE		UIT GUGGENHEIM DEFINED PORTFOLIOS P S		11,037.38	0.00	12.00	-12.00 100.0 -12.00
02/06/2018	75597136	JAMES WEBSTER PLAN		UIT GUGGENHEIM DEFINED PORTFOL SER S		42,307.82	0.00	12.00	-12.00 100.0 -12.00
				<b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>		<b>\$53,345.20</b>	<b>\$0.00</b>	<b>\$24.00</b>	<b>\$-24.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
02/09/2018	75597002	WEINER, MARK		IVY INTERNATIONAL CORE EQUITY FUND B		0.00	4.10	0.00	4.10 100.0 4.10
02/09/2018	75597002	WEINER, MARK		VIRTUS SMALL CAP SUSTAINABLE GROWTH B		0.00	3.34	0.00	3.34 100.0 3.34
02/09/2018	75597002	WEINER, MARK		WELLS FARGO FDS SPL SMALL CAP VALUE B		0.00	2.85	0.00	2.85 100.0 2.85
02/09/2018	75597002	WEINER, MARK		WELLS FARGO SPECIAL MID CAP VALUE F B		0.00	2.67	0.00	2.67 100.0 2.67
02/09/2018	75597003	WEINER, MARK		**VOYA EQUITY TR	B	0.00	4.45	0.00	4.45 100.0 4.45
02/09/2018	75597003	WEINER, MARK		IVY INTERNATIONAL CORE EQUITY FUND B		0.00	7.82	0.00	7.82 100.0 7.82
02/09/2018	75597003	WEINER, MARK		JANUS INVT FD HENDERSON INTL OPPRTN B		0.00	7.30	0.00	7.30 100.0 7.30
02/09/2018	75597003	WEINER, MARK		NEW WORLD FUND-A	B	0.00	4.93	0.00	4.93 100.0 4.93
02/09/2018	75597003	WEINER, MARK		WELLS FARGO FDS SPL SMALL CAP VALUE B		0.00	6.56	0.00	6.56 100.0 6.56
02/09/2018	75597003	WEINER, MARK		WELLS FARGO SPECIAL MID CAP VALUE F B		0.00	10.87	0.00	10.87 100.0 10.87
02/26/2018	75597003	WEINER, MARK		VICTORY SYCAMORE	B	0.00	11.19	0.00	11.19 100.0 11.19
02/26/2018	75597003	WEINER, MARK		VICTORY SYCAMORE SMALL	B	0.00	6.76	0.00	6.76 100.0 6.76
02/09/2018	75597005	MOUGHAN, PATRICK		BLACKROCK HEALTH SCIENCES FUND CLAS B		0.00	19.60	0.00	19.60 100.0 19.60
02/09/2018	75597005	MOUGHAN, PATRICK		RYDEX SER FUNDS BANKING FD CL A	B	0.00	24.62	0.00	24.62 100.0 24.62
02/09/2018	75597005	MOUGHAN, PATRICK		RYDEX SER FUNDS FINL SVCS FD CL A	B	0.00	23.40	0.00	23.40 100.0 23.40
02/09/2018	75597007	BRENT, NANCY		CAPITAL INCOME A	B	0.00	21.10	0.00	21.10 100.0 21.10
02/09/2018	75597008	VELLON, MICHAEL		BLACKROCK HEALTH SCIENCES FUND CLAS B		0.00	12.22	0.00	12.22 100.0 12.22
02/09/2018	75597008	VELLON, MICHAEL		RYDEX SER FUNDS BANKING FD CL A	B	0.00	15.08	0.00	15.08 100.0 15.08
02/09/2018	75597008	VELLON, MICHAEL		RYDEX SER FUNDS FINL SVCS FD CL A	B	0.00	14.44	0.00	14.44 100.0 14.44
02/09/2018	75597009	ARNOLD, GENE		TEMP GLOBAL SM CO FND - CL A	B	0.00	13.41	0.00	13.41 100.0 13.41
02/09/2018	75597009	ARNOLD, GENE		TEMP WORLD FND - CL A	B	0.00	11.55	0.00	11.55 100.0 11.55
02/09/2018	75597013	DANTONIO, JUSTIN		FIDELITY ADVISOR EMERGING MARKETS F B		0.00	0.72	0.00	0.72 100.0 0.72
02/09/2018	75597013	DANTONIO, JUSTIN		FIDELITY COMWLTH TR FIDELITY ADV EV B		0.00	0.91	0.00	0.91 100.0 0.91
02/09/2018	75597014	PAMELA J EDWARDS TTEE		BLACKROCK HEALTH SCIENCES FUND CLAS B		0.00	10.92	0.00	10.92 100.0 10.92
02/09/2018	75597017	ANDRE, BRUCE		BLACKROCK HEALTH SCIENCES FUND CLAS B		0.00	11.28	0.00	11.28 100.0 11.28
02/09/2018	75597024	PRESKILL, RICHARD		EATON VANCE HIGH YIELD MUNICIPAL IN B		0.00	10.82	0.00	10.82 100.0 10.82
02/09/2018	75597024	PRESKILL, RICHARD		FRANKLIN FEDERAL TAX FREE INCOME FU B		0.00	7.84	0.00	7.84 100.0 7.84
02/09/2018	75597024	PRESKILL, RICHARD		FRANKLIN HIGH-YIELD TAX-FREE INCOME B		0.00	3.02	0.00	3.02 100.0 3.02
02/09/2018	75597024	PRESKILL, RICHARD		FRK AGE HIGH INC FND - CL A	B	0.00	5.06	0.00	5.06 100.0 5.06

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018 75597024	PRESKILL, RICHARD	FRK FL TF INC FND - CL A	B	0.00	13.12	0.00	13.12	100.0	13.12
02/09/2018 75597024	PRESKILL, RICHARD	FRK UTIL FND - CL A	B	0.00	33.00	0.00	33.00	100.0	33.00
02/09/2018 75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER CHINA GROWTH FU B		0.00	3.64	0.00	3.64	100.0	3.64
02/09/2018 75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER INDIA FUND-CL B B		0.00	0.66	0.00	0.66	100.0	0.66
02/09/2018 75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER INDIA FUND-CL A B		0.00	2.56	0.00	2.56	100.0	2.56
02/09/2018 75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR LARGE CAP FUND-CL B		0.00	2.84	0.00	2.84	100.0	2.84
02/09/2018 75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR FUNDS 315918326	B	0.00	11.81	0.00	11.81	100.0	11.81
02/09/2018 75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR FOCUS FUNDS HEALTH B		0.00	10.85	0.00	10.85	100.0	10.85
02/09/2018 75597028	DUBINSKY, ALEKSANDER	FIDELITY INVESTMENT TRUST ADVISOR J B		0.00	9.27	0.00	9.27	100.0	9.27
02/09/2018 75597028	DUBINSKY, ALEKSANDER	MAINSTAY FDS LARGE CAP GROWTH FD CL B		0.00	7.73	0.00	7.73	100.0	7.73
02/09/2018 75597029	MOSES, GAIL	FRANKLIN GROWTH FUND CLASS A	B	0.00	14.82	0.00	14.82	100.0	14.82
02/09/2018 75597029	MOSES, GAIL	FRANKLIN INDIA GROWTH FD FD CL A	B	0.00	3.72	0.00	3.72	100.0	3.72
02/09/2018 75597029	MOSES, GAIL	GLOBAL FUND A	B	0.00	14.50	0.00	14.50	100.0	14.50
02/09/2018 75597029	MOSES, GAIL	MAIN STREET FUND A	B	0.00	7.37	0.00	7.37	100.0	7.37
02/09/2018 75597029	MOSES, GAIL	TEMP DEV MRKTS TR FND - CL A	B	0.00	2.52	0.00	2.52	100.0	2.52
02/09/2018 75597030	MOSES, GAIL	FUNDAMENTAL INVESTORS-A	B	0.00	19.59	0.00	19.59	100.0	19.59
02/09/2018 75597030	MOSES, GAIL	NEW PERSPECTIVE FUND-A	B	0.00	0.93	0.00	0.93	100.0	0.93
02/09/2018 75597030	MOSES, GAIL	NEW WORLD FUND-A	B	0.00	12.28	0.00	12.28	100.0	12.28
02/09/2018 75597030	MOSES, GAIL	THE GROWTH FUND OF AMERICA-A	B	0.00	15.94	0.00	15.94	100.0	15.94
02/09/2018 75597030	MOSES, GAIL	THE INVESTMENT COMPANY OF AMERICA-A B		0.00	16.48	0.00	16.48	100.0	16.48
02/09/2018 75597031	MORGAN, STEVEN	FRANKLIN INCOME FUND CLASS A	B	0.00	2.55	0.00	2.55	100.0	2.55
02/09/2018 75597032	SCHIPFER, RYAN	AMCAP FUND-C	B	0.00	9.81	0.00	9.81	100.0	9.81
02/09/2018 75597032	SCHIPFER, RYAN	GLOBAL BALANCED FUND-C	B	0.00	6.81	0.00	6.81	100.0	6.81
02/09/2018 75597032	SCHIPFER, RYAN	INVESTMENT COMPANY OF AMERICA CLASS B		0.00	9.83	0.00	9.83	100.0	9.83
02/09/2018 75597032	SCHIPFER, RYAN	WASHINGTON MUTUAL INVESTORS FUND-C B		0.00	9.87	0.00	9.87	100.0	9.87
02/09/2018 75597034	PERKINSON, DOLORES	MAINSTAY FDS TR FLTG RATE FD CL C	B	0.00	3.54	0.00	3.54	100.0	3.54
02/09/2018 75597035	PERKINSON, DOLORES	BLACKROCK FUNDS-LOW DURATION BOND P B		0.00	0.12	0.00	0.12	100.0	0.12
02/09/2018 75597035	PERKINSON, DOLORES	BLACKROCK ENERGY & RESOURCES PORTFO B		0.00	4.19	0.00	4.19	100.0	4.19
02/09/2018 75597035	PERKINSON, DOLORES	BLACKROCK GLOBAL ALLOCATION FD INC B		0.00	10.01	0.00	10.01	100.0	10.01
02/09/2018 75597035	PERKINSON, DOLORES	FRANKLIN U.S. GOVERNMENT SECURITIES B		0.00	1.01	0.00	1.01	100.0	1.01
02/09/2018 75597036	ROBERTSON, DANIEL	BOND-DEBENTURE FUND CLASS A	B	0.00	2.95	0.00	2.95	100.0	2.95
02/09/2018 75597036	ROBERTSON, DANIEL	LORD ABBETT CALIBRATED DIVIDEND GRO B		0.00	3.37	0.00	3.37	100.0	3.37
02/09/2018 75597036	ROBERTSON, DANIEL	LORD ABBETT ALPHA STRATEGY FUND CL B		0.00	6.18	0.00	6.18	100.0	6.18
02/09/2018 75597036	ROBERTSON, DANIEL	LORD ABBETT INVT TR FLTG RATE FD CL B		0.00	3.18	0.00	3.18	100.0	3.18
02/09/2018 75597041	ZIMBALIST, NAOMI	FINANCIAL INDUSTRIES A	B	0.00	11.36	0.00	11.36	100.0	11.36
02/09/2018 75597041	ZIMBALIST, NAOMI	GOLD & SPECIAL MINERALS A	B	0.00	1.17	0.00	1.17	100.0	1.17
02/09/2018 75597041	ZIMBALIST, NAOMI	JOHN HANCOCK FDS III GLOBAL SHAREHO B		0.00	3.59	0.00	3.59	100.0	3.59
02/09/2018 75597041	ZIMBALIST, NAOMI	OPPENHEIMER GLOBAL VALUE FD SHS CL B		0.00	4.99	0.00	4.99	100.0	4.99
02/09/2018 75597041	ZIMBALIST, NAOMI	OPPENHEIMER AMT FREE MUNICIPALS FUN B		0.00	64.82	0.00	64.82	100.0	64.82
02/09/2018 75597041	ZIMBALIST, NAOMI	REGIONAL BANK A	B	0.00	18.26	0.00	18.26	100.0	18.26

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/09/2018	75597042	ZIMBALIST, NAOMI		TOUCHSTONE INVT TRUST ACTIVE BOND F B	0.00	4.11	0.00	4.11	100.0	4.11
02/09/2018	75597044	RIZZO, MICHAEL		CAPITAL WORLD GROWTH & INCOME FUND B	0.00	0.62	0.00	0.62	100.0	0.62
02/09/2018	75597044	RIZZO, MICHAEL		EUROPACIFIC GROWTH FUND CLASS C B	0.00	1.41	0.00	1.41	100.0	1.41
02/09/2018	75597044	RIZZO, MICHAEL		GROWTH FUND OF AMERICA CLASS F B	0.00	0.86	0.00	0.86	100.0	0.86
02/09/2018	75597044	RIZZO, MICHAEL		NEW PERSPECTIVE FUND INC CL F1 B	0.00	0.69	0.00	0.69	100.0	0.69
02/09/2018	75597044	RIZZO, MICHAEL		NEW WORLD FUND-C B	0.00	2.33	0.00	2.33	100.0	2.33
02/09/2018	75597044	RIZZO, MICHAEL		VIRTUS MID-CAP GROWTH FUND CLASS A B	0.00	3.18	0.00	3.18	100.0	3.18
02/09/2018	75597044	RIZZO, MICHAEL		WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	1.38	0.00	1.38	100.0	1.38
02/09/2018	75597045	MATEGRANO, ALBERT		MAINSTAY HIGH-YIELD CORPORATE BOND B	0.00	0.52	0.00	0.52	100.0	0.52
02/09/2018	75597046	MENZ, SUSAN		BOND-DEBENTURE FUND CLASS A B	0.00	3.70	0.00	3.70	100.0	3.70
02/09/2018	75597048	HESSELBERG, SHIRLEY		FRANKLIN FEDERAL TAX FREE INCOME FU B	0.00	1.43	0.00	1.43	100.0	1.43
02/09/2018	75597048	HESSELBERG, SHIRLEY		GUGGENHEIM FDS TRUST B	0.00	10.41	0.00	10.41	100.0	10.41
02/09/2018	75597048	HESSELBERG, SHIRLEY		INVESTMENT COMPANY OF AMERICA CLASS B	0.00	19.10	0.00	19.10	100.0	19.10
02/09/2018	75597048	HESSELBERG, SHIRLEY		IVY FDS INC LARGE CAP GROWTH FD CL B	0.00	7.00	0.00	7.00	100.0	7.00
02/09/2018	75597048	HESSELBERG, SHIRLEY		IVY FDS INC LARGE CAP GROWTH FD CL B	0.00	3.32	0.00	3.32	100.0	3.32
02/09/2018	75597048	HESSELBERG, SHIRLEY		THORNBURG INVT TR INTL VALUE FD CL B	0.00	3.84	0.00	3.84	100.0	3.84
02/09/2018	75597048	HESSELBERG, SHIRLEY		TOUCHSTONE STRATEGIC TR SMALL CO FD B	0.00	8.18	0.00	8.18	100.0	8.18
02/09/2018	75597049	ELLIS, SHARON		INTERMEDIATE BOND FUND AMER CL F1 B	0.00	2.77	0.00	2.77	100.0	2.77
02/09/2018	75597049	ELLIS, SHARON		PUTNAM 746745108 B	0.00	7.25	0.00	7.25	100.0	7.25
02/09/2018	75597049	ELLIS, SHARON		PUTNAM 746802206 B	0.00	2.85	0.00	2.85	100.0	2.85
02/09/2018	75597049	ELLIS, SHARON		PUTNAM NEW OPPORTUNITIES FUND CLASS B	0.00	3.71	0.00	3.71	100.0	3.71
02/09/2018	75597054	HARRIS, REBECCA		**VOYA EQUITY TR B	0.00	2.59	0.00	2.59	100.0	2.59
02/09/2018	75597054	HARRIS, REBECCA		AIG FOCUSED DIVIDEND STRATEGY FUND B	0.00	4.10	0.00	4.10	100.0	4.10
02/09/2018	75597054	HARRIS, REBECCA		EMERGING GROWTH FUND A B	0.00	4.47	0.00	4.47	100.0	4.47
02/09/2018	75597054	HARRIS, REBECCA		IVY INTERNATIONAL CORE EQUITY FUND B	0.00	1.61	0.00	1.61	100.0	1.61
02/09/2018	75597054	HARRIS, REBECCA		THE HARTFORD DIVIDEND & GROWTH FUND B	0.00	4.14	0.00	4.14	100.0	4.14
02/09/2018	75597054	HARRIS, REBECCA		WELLS FARGO FDS SPL SMALL CAP VALUE B	0.00	2.80	0.00	2.80	100.0	2.80
02/09/2018	75597054	HARRIS, REBECCA		WELLS FARGO SPECIAL MID CAP VALUE F B	0.00	4.23	0.00	4.23	100.0	4.23
02/09/2018	75597055	HARRIS, REBECCA		**VOYA EQUITY TR B	0.00	0.38	0.00	0.38	100.0	0.38
02/09/2018	75597055	HARRIS, REBECCA		AIG FOCUSED DIVIDEND STRATEGY FUND B	0.00	0.58	0.00	0.58	100.0	0.58
02/09/2018	75597055	HARRIS, REBECCA		EMERGING GROWTH FUND A B	0.00	0.60	0.00	0.60	100.0	0.60
02/09/2018	75597055	HARRIS, REBECCA		THE HARTFORD DIVIDEND & GROWTH FUND B	0.00	0.67	0.00	0.67	100.0	0.67
02/09/2018	75597055	HARRIS, REBECCA		WASHINGTON MUTUAL INVESTORS FUND-C B	0.00	1.76	0.00	1.76	100.0	1.76
02/09/2018	75597055	HARRIS, REBECCA		WELLS FARGO FDS SPL SMALL CAP VALUE B	0.00	0.43	0.00	0.43	100.0	0.43
02/09/2018	75597055	HARRIS, REBECCA		WELLS FARGO SPECIAL MID CAP VALUE F B	0.00	0.57	0.00	0.57	100.0	0.57
02/09/2018	75597059	LUKASIK, CAROLINE		FRANKLIN GROWTH FUND CLASS A B	0.00	18.35	0.00	18.35	100.0	18.35
02/09/2018	75597059	LUKASIK, CAROLINE		TEMP GLOBAL BOND FND - CL A B	0.00	6.34	0.00	6.34	100.0	6.34
02/09/2018	75597060	BROOKS, JOYCE		PIONEER VALUE FUND A B	0.00	1.74	0.00	1.74	100.0	1.74
02/09/2018	75597062	RAGHIAN, PARISA		AMERICAN BALANCED FUND-A B	0.00	1.55	0.00	1.55	100.0	1.55
02/09/2018	75597062	RAGHIAN, PARISA		AMERICAN FUNDS 02629W106 B	0.00	1.24	0.00	1.24	100.0	1.24

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/09/2018	75597062	RAGHIAN, PARISA	FUNDAMENTAL INVESTORS-A	B	0.00	1.84	0.00	1.84	100.0	1.84
02/09/2018	75597062	RAGHIAN, PARISA	NEW PERSPECTIVE FUND-A	B	0.00	1.61	0.00	1.61	100.0	1.61
02/09/2018	75597062	RAGHIAN, PARISA	THE GROWTH FUND OF AMERICA-A	B	0.00	1.93	0.00	1.93	100.0	1.93
02/09/2018	75597062	RAGHIAN, PARISA	U.S. GOVERNMENT SECURITIES FUND CLA	B	0.00	0.69	0.00	0.69	100.0	0.69
02/09/2018	75597063	PASCUA, MICHAEL	AMERICAN BALANCED FUND-C	B	0.00	0.67	0.00	0.67	100.0	0.67
02/09/2018	75597063	PASCUA, MICHAEL	AMERICAN FUNDS 2025 TARGET DATE-A	B	0.00	0.07	0.00	0.07	100.0	0.07
02/09/2018	75597063	PASCUA, MICHAEL	AMERICAN MUTUAL FUND-C	B	0.00	0.69	0.00	0.69	100.0	0.69
02/09/2018	75597063	PASCUA, MICHAEL	FUNDAMENTAL INVESTORS-C	B	0.00	0.95	0.00	0.95	100.0	0.95
02/09/2018	75597063	PASCUA, MICHAEL	INVESTMENT COMPANY OF AMERICA CLASS	B	0.00	0.71	0.00	0.71	100.0	0.71
02/09/2018	75597063	PASCUA, MICHAEL	NEW PERSPECTIVE FUND-C	B	0.00	0.56	0.00	0.56	100.0	0.56
02/09/2018	75597067	REZNICEK, DELWYN	MAIN STREET FUND C	B	0.00	79.48	0.00	79.48	100.0	79.48
02/09/2018	75597068	SACKHEIM, KAREN	CLEARBRIDGE MID CAP FUND CLASS C	B	0.00	7.02	0.00	7.02	100.0	7.02
02/09/2018	75597068	SACKHEIM, KAREN	DAVIS NEW YORK VENTURE CLASS C	B	0.00	13.19	0.00	13.19	100.0	13.19
02/09/2018	75597068	SACKHEIM, KAREN	LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	8.14	0.00	8.14	100.0	8.14
02/09/2018	75597069	SACKHEIM, KAREN	BLACKROCK GLOBAL ALLOCATION FD INC	B	0.00	7.58	0.00	7.58	100.0	7.58
02/09/2018	75597069	SACKHEIM, KAREN	DAVIS NEW YORK VENTURE CLASS C	B	0.00	3.64	0.00	3.64	100.0	3.64
02/09/2018	75597072	JAMES R MENZ TTEE	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	12.52	0.00	12.52	100.0	12.52
02/09/2018	75597072	JAMES R MENZ TTEE	BOND-DEBENTURE FUND CLASS A	B	0.00	6.00	0.00	6.00	100.0	6.00
02/09/2018	75597074	WILKOS, STANLEY	GOLDMAN SACHS GROWTH & INCOME FUND	B	0.00	3.00	0.00	3.00	100.0	3.00
02/09/2018	75597074	WILKOS, STANLEY	GOLDMAN SACHS CAPITAL GROWTH FUND C	B	0.00	6.58	0.00	6.58	100.0	6.58
02/09/2018	75597075	VONEY, SUSAN	AMERICAN BALANCED FUND INC CL F1	B	0.00	7.39	0.00	7.39	100.0	7.39
02/09/2018	75597075	VONEY, SUSAN	FRANKLIN CONVERTIBLE SECS FUND CLAS	B	0.00	7.31	0.00	7.31	100.0	7.31
02/09/2018	75597075	VONEY, SUSAN	FRANKLIN INCOME FUND CLASS A	B	0.00	4.85	0.00	4.85	100.0	4.85
02/09/2018	75597075	VONEY, SUSAN	FRANKLIN TEMPLETON FUNDS CUST FDS U	B	0.00	5.80	0.00	5.80	100.0	5.80
02/09/2018	75597075	VONEY, SUSAN	FRK UTIL FND - CL A	B	0.00	3.45	0.00	3.45	100.0	3.45
02/09/2018	75597075	VONEY, SUSAN	INVESTMENT CO AMER CL F1	B	0.00	8.84	0.00	8.84	100.0	8.84
02/09/2018	75597075	VONEY, SUSAN	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	14.05	0.00	14.05	100.0	14.05
02/09/2018	75597079	OWERKO, JOSEPH	AMERICAN MUTUAL FUND-C	B	0.00	1.35	0.00	1.35	100.0	1.35
02/09/2018	75597079	OWERKO, JOSEPH	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	2.35	0.00	2.35	100.0	2.35
02/09/2018	75597082	LAYSTROM, JAMES	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	4.50	0.00	4.50	100.0	4.50
02/09/2018	75597082	LAYSTROM, JAMES	LORD ABBETT MULTI ASSET GLOBAL OPPO	B	0.00	2.74	0.00	2.74	100.0	2.74
02/09/2018	75597084	MAYBERRY, DWIGHT	AMERICAN BALANCED FUND-A	B	0.00	3.46	0.00	3.46	100.0	3.46
02/09/2018	75597087	FLANNERY JR, ROBERT	FIDELITY ADV EQUITY GROWTH CL A	B	0.00	58.14	0.00	58.14	100.0	58.14
02/09/2018	75597087	FLANNERY JR, ROBERT	FIDELITY ADVISOR FUNDS 315807834	B	0.00	38.68	0.00	38.68	100.0	38.68
02/09/2018	75597087	FLANNERY JR, ROBERT	FIDELITY ADVISOR SER VII BIOTECHNOL	B	0.00	39.65	0.00	39.65	100.0	39.65
02/09/2018	75597087	FLANNERY JR, ROBERT	FIDELITY ADVISOR VALUE STRATEGIES C	B	0.00	28.97	0.00	28.97	100.0	28.97
02/09/2018	75597089	BORKHOVA, ALLA	EATON VANCE GREATER CHINA GROWTH FU	B	0.00	2.07	0.00	2.07	100.0	2.07
02/09/2018	75597089	BORKHOVA, ALLA	EATON VANCE GREATER INDIA FUND-CL A	B	0.00	1.77	0.00	1.77	100.0	1.77
02/09/2018	75597089	BORKHOVA, ALLA	FIDELITY ADVISOR FUNDS 315807834	B	0.00	1.32	0.00	1.32	100.0	1.32
02/09/2018	75597089	BORKHOVA, ALLA	FIDELITY ADVISOR INTL SMALL CAP FUN	B	0.00	1.10	0.00	1.10	100.0	1.10

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	75597089	BORKHOVA, ALLA	FIDELITY ADVISOR SER VII BIOTECHNOL B	0.00	1.83	0.00	1.83	100.0	1.83
02/09/2018	75597089	BORKHOVA, ALLA	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	2.14	0.00	2.14	100.0	2.14
02/09/2018	75597090	MONAGHAN, JOYCE	CAPITAL INCOME A B	0.00	12.48	0.00	12.48	100.0	12.48
02/09/2018	75597090	MONAGHAN, JOYCE	FRANKLIN U.S. GOVERNMENT SECURITIES B	0.00	6.42	0.00	6.42	100.0	6.42
02/09/2018	75597090	MONAGHAN, JOYCE	NEW PERSPECTIVE FUND-A B	0.00	19.15	0.00	19.15	100.0	19.15
02/09/2018	75597090	MONAGHAN, JOYCE	THE INVESTMENT COMPANY OF AMERICA-A B	0.00	21.76	0.00	21.76	100.0	21.76
02/09/2018	75597091	BOL, BEVERLY	CAPITAL APPRECIATION A B	0.00	8.62	0.00	8.62	100.0	8.62
02/09/2018	75597091	BOL, BEVERLY	MAIN STREET FUND A B	0.00	9.40	0.00	9.40	100.0	9.40
02/09/2018	75597092	CLARK JR, PHILLIP	FRK STRAT INC FND - CL A B	0.00	2.43	0.00	2.43	100.0	2.43
02/09/2018	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR SMALL CAP FUND CLA B	0.00	1.50	0.00	1.50	100.0	1.50
02/09/2018	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR MID CAP II FUND CL B	0.00	1.50	0.00	1.50	100.0	1.50
02/09/2018	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR INDUSTRIALS CL A B	0.00	1.63	0.00	1.63	100.0	1.63
02/09/2018	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR DIVERSIFIED INTL F B	0.00	1.98	0.00	1.98	100.0	1.98
02/09/2018	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR SMALL CAP FUND CLA B	0.00	2.95	0.00	2.95	100.0	2.95
02/09/2018	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR MID CAP II FUND CL B	0.00	3.26	0.00	3.26	100.0	3.26
02/09/2018	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR INDUSTRIALS CL A B	0.00	3.57	0.00	3.57	100.0	3.57
02/09/2018	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR DIVERSIFIED INTL F B	0.00	2.64	0.00	2.64	100.0	2.64
02/09/2018	75597095	BRENT, GEORGE	CAPITAL INCOME A B	0.00	11.47	0.00	11.47	100.0	11.47
02/09/2018	75597097	ZILZ, SUSAN	JPMORGAN INVESTOR GROWTH FD CL A B	0.00	8.54	0.00	8.54	100.0	8.54
02/09/2018	75597099	VERENSKI, DANIEL	FRANKLIN FEDERAL TAX FREE INCOME FU B	0.00	17.79	0.00	17.79	100.0	17.79
02/09/2018	75597100	BRUNO, CAROL	FRANKLIN INCOME FUND CLASS A B	0.00	8.51	0.00	8.51	100.0	8.51
02/09/2018	75597101	STEINBORN, DEBRA	AMCAP FUND-A B	0.00	5.17	0.00	5.17	100.0	5.17
02/09/2018	75597101	STEINBORN, DEBRA	AMERICAN BALANCED FUND-A B	0.00	7.81	0.00	7.81	100.0	7.81
02/09/2018	75597101	STEINBORN, DEBRA	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	3.38	0.00	3.38	100.0	3.38
02/09/2018	75597102	COHEN, MITCHELL	JOHN HANCOCK FDS III STRATEGIC GROW B	0.00	1.65	0.00	1.65	100.0	1.65
02/09/2018	75597103	LUKASIK, ALEXANDER	FRANKLIN FEDERAL TAX FREE INCOME FU B	0.00	58.50	0.00	58.50	100.0	58.50
02/09/2018	75597104	WILKOS, JEANETTE	FRK AGE HIGH INC FND - CL A B	0.00	15.40	0.00	15.40	100.0	15.40
02/09/2018	75597104	WILKOS, JEANETTE	REGIONAL BANK A B	0.00	1.84	0.00	1.84	100.0	1.84
02/09/2018	75597104	WILKOS, JEANETTE	THE INVESTMENT COMPANY OF AMERICA-A B	0.00	40.60	0.00	40.60	100.0	40.60
02/09/2018	75597104	WILKOS, JEANETTE	U.S. GOVERNMENT SECURITIES FUND CLA B	0.00	8.52	0.00	8.52	100.0	8.52
02/09/2018	75597104	WILKOS, JEANETTE	WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	30.15	0.00	30.15	100.0	30.15
02/09/2018	75597109	KROL, DANIELLE	WELLS FARGO ENTERPRISE FUND CL A B	0.00	48.10	0.00	48.10	100.0	48.10
02/01/2018	75597111	HOWELL, DONALD	BARON SELECT FDS B	0.00	32.37	0.00	32.37	100.0	32.37
02/01/2018	75597111	HOWELL, DONALD	BARON SMALL-CAP FUND B	0.00	38.29	0.00	38.29	100.0	38.29
02/09/2018	75597112	HOWELL, DONALD	FRANKLIN GROWTH FUND CLASS A B	0.00	11.80	0.00	11.80	100.0	11.80
02/09/2018	75597112	HOWELL, DONALD	FRK VAL FND - CL A B	0.00	6.53	0.00	6.53	100.0	6.53
02/09/2018	75597112	HOWELL, DONALD	MASS INVESTORS GROWTH STOCK FUND-A B	0.00	30.71	0.00	30.71	100.0	30.71
02/09/2018	75597112	HOWELL, DONALD	MFS EMERGING GROWTH FUND CLASS A B	0.00	2.68	0.00	2.68	100.0	2.68
02/09/2018	75597112	HOWELL, DONALD	MFS NEW DISCOVERY FUND-A B	0.00	53.36	0.00	53.36	100.0	53.36
02/09/2018	75597114	SPALDING, MARY	FRANKLIN INCOME FUND CLASS A B	0.00	38.79	0.00	38.79	100.0	38.79

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/09/2018	75597118	GREENE, M HOWARD		FRANKLIN HIGH-YIELD TAX-FREE INCOME B	0.00	22.79	0.00	22.79	100.0	22.79
02/09/2018	75597118	GREENE, M HOWARD		FRANKLIN/TEMPLETON FUNDS 353612690 B	0.00	7.12	0.00	7.12	100.0	7.12
02/09/2018	75597118	GREENE, M HOWARD		LORD ABBETT SHORT DURATION INCOME F B	0.00	1.02	0.00	1.02	100.0	1.02
02/09/2018	75597118	GREENE, M HOWARD		LORD ABBETT SHORT DURATION INCOME F B	0.00	5.68	0.00	5.68	100.0	5.68
02/09/2018	75597119	GREENE, M HOWARD		FRANKLIN U.S. GOVERNMENT SECURITIES B	0.00	2.07	0.00	2.07	100.0	2.07
02/09/2018	75597120	KINGERY, DEBORAH		**PRUDENTIAL GLOBAL REAL B	0.00	0.34	0.00	0.34	100.0	0.34
02/09/2018	75597120	KINGERY, DEBORAH		**PRUDENTIAL JENNISON NATURAL B	0.00	0.37	0.00	0.37	100.0	0.37
02/09/2018	75597120	KINGERY, DEBORAH		CAPITAL INCOME BUILDER-A B	0.00	0.38	0.00	0.38	100.0	0.38
02/09/2018	75597120	KINGERY, DEBORAH		FIRST EAGLE OVERSEAS FUND CLASS A B	0.00	1.16	0.00	1.16	100.0	1.16
02/09/2018	75597120	KINGERY, DEBORAH		FIRST EAGLE GLOBAL FUND CLASS A B	0.00	1.16	0.00	1.16	100.0	1.16
02/09/2018	75597120	KINGERY, DEBORAH		LORD ABBETT SECS TR VALUE OPPTYS FD B	0.00	0.78	0.00	0.78	100.0	0.78
02/09/2018	75597120	KINGERY, DEBORAH		OPPENHEIMER SMALL & MID CAP VALUE F B	0.00	1.04	0.00	1.04	100.0	1.04
02/09/2018	75597120	KINGERY, DEBORAH		THE GROWTH FUND OF AMERICA-A B	0.00	0.84	0.00	0.84	100.0	0.84
02/09/2018	75597123	AMBERG, JOHN		ALLIANZ NFJ SMALL CAP VALUE FUND CL B	0.00	0.54	0.00	0.54	100.0	0.54
02/09/2018	75597123	AMBERG, JOHN		AMERICAN BALANCED FUND-C B	0.00	1.22	0.00	1.22	100.0	1.22
02/09/2018	75597123	AMBERG, JOHN		FUNDAMENTAL INVESTORS-A B	0.00	1.29	0.00	1.29	100.0	1.29
02/09/2018	75597123	AMBERG, JOHN		FUNDAMENTAL INVESTORS-C B	0.00	1.42	0.00	1.42	100.0	1.42
02/09/2018	75597123	AMBERG, JOHN		INVESTMENT COMPANY OF AMERICA CLASS B	0.00	2.76	0.00	2.76	100.0	2.76
02/09/2018	75597123	AMBERG, JOHN		JOHN HANCOCK REGIONAL BANK FUND CL B	0.00	1.59	0.00	1.59	100.0	1.59
02/09/2018	75597123	AMBERG, JOHN		JOHN HANCOCK FINANCIAL INDS FUND CL B	0.00	1.26	0.00	1.26	100.0	1.26
02/09/2018	75597123	AMBERG, JOHN		JOHN HANCOCK FDS II MLTMNGR LFSTYL B	0.00	2.28	0.00	2.28	100.0	2.28
02/09/2018	75597123	AMBERG, JOHN		JOHN HANCOCK FDS II MLTMNGR LFSTYL B	0.00	4.65	0.00	4.65	100.0	4.65
02/09/2018	75597123	AMBERG, JOHN		LIFESTYLE MODERATE PORTFOLIO C B	0.00	2.68	0.00	2.68	100.0	2.68
02/09/2018	75597123	AMBERG, JOHN		NEW PERSPECTIVE FUND-A B	0.00	1.79	0.00	1.79	100.0	1.79
02/09/2018	75597123	AMBERG, JOHN		NEW PERSPECTIVE FUND-C B	0.00	1.28	0.00	1.28	100.0	1.28
02/09/2018	75597123	AMBERG, JOHN		THE GROWTH FUND OF AMERICA-A B	0.00	1.30	0.00	1.30	100.0	1.30
02/09/2018	75597123	AMBERG, JOHN		THE GROWTH FUND OF AMERICA-C B	0.00	1.45	0.00	1.45	100.0	1.45
02/09/2018	75597123	AMBERG, JOHN		THE NEW ECONOMY FUND-A B	0.00	0.63	0.00	0.63	100.0	0.63
02/09/2018	75597123	AMBERG, JOHN		VOYA GLOBAL EQUITY FUND CLASS A B	0.00	0.82	0.00	0.82	100.0	0.82
02/09/2018	75597123	AMBERG, JOHN		WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	2.14	0.00	2.14	100.0	2.14
02/09/2018	75597123	AMBERG, JOHN		WASHINGTON MUTUAL INVESTORS FUND-C B	0.00	5.39	0.00	5.39	100.0	5.39
02/09/2018	75597124	BROOKS, JOYCE		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	2.93	0.00	2.93	100.0	2.93
02/09/2018	75597129	ELLIS, HARRY		FRK GOLD FUND - CLASS A B	0.00	1.03	0.00	1.03	100.0	1.03
02/09/2018	75597129	ELLIS, HARRY		JOHN HANCOCK REGIONAL BANK FUND CL B	0.00	144.08	0.00	144.08	100.0	144.08
02/09/2018	75597129	ELLIS, HARRY		OPPENHEIMER SENIOR FLOATING RATE FU B	0.00	31.18	0.00	31.18	100.0	31.18
02/09/2018	75597129	ELLIS, HARRY		TEMP DEV MRKTS TR FND - CL A B	0.00	4.59	0.00	4.59	100.0	4.59
02/09/2018	75597131	MICHAEL RIZZO TTEE		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	15.96	0.00	15.96	100.0	15.96
02/09/2018	75597131	MICHAEL RIZZO TTEE		FUNDAMENTAL INVESTORS-A B	0.00	20.92	0.00	20.92	100.0	20.92
02/09/2018	75597131	MICHAEL RIZZO TTEE		NEW PERSPECTIVE FUND-A B	0.00	32.49	0.00	32.49	100.0	32.49
02/09/2018	75597131	MICHAEL RIZZO TTEE		THE GROWTH FUND OF AMERICA-A B	0.00	48.60	0.00	48.60	100.0	48.60

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
02/09/2018	75597131	MICHAEL RIZZO TTEE VIRTUS MID-CAP GROWTH FUND CLASS A	B	0.00	14.29	0.00	14.29	100.0	14.29
02/09/2018	75597135	JOHN W STEINBORN TTEE AMCAP FUND-A	B	0.00	8.71	0.00	8.71	100.0	8.71
02/09/2018	75597135	JOHN W STEINBORN TTEE AMERICAN BALANCED FUND-A	B	0.00	18.46	0.00	18.46	100.0	18.46
02/09/2018	75597135	JOHN W STEINBORN TTEE CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	11.43	0.00	11.43	100.0	11.43
02/09/2018	75597135	JOHN W STEINBORN TTEE FINANCIAL INDUSTRIES A	B	0.00	6.39	0.00	6.39	100.0	6.39
02/09/2018	75597135	JOHN W STEINBORN TTEE JOHN HANCOCK INVT TR DISCIPLINED VA	B	0.00	7.34	0.00	7.34	100.0	7.34
02/09/2018	75597135	JOHN W STEINBORN TTEE LARGE CAP EQUITY A	B	0.00	23.54	0.00	23.54	100.0	23.54
02/09/2018	75597135	JOHN W STEINBORN TTEE SMALLCAP WORLD FUND-A	B	0.00	23.03	0.00	23.03	100.0	23.03
02/09/2018	75597135	JOHN W STEINBORN TTEE THE INCOME FUND OF AMERICA-A	B	0.00	6.94	0.00	6.94	100.0	6.94
02/09/2018	75597136	JAMES WEBSTER PLAN BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	25.28	0.00	25.28	100.0	25.28
02/09/2018	75597136	JAMES WEBSTER PLAN LORD ABBETT ALPHA STRATEGY FUND CL	B	0.00	24.40	0.00	24.40	100.0	24.40
02/09/2018	75597136	JAMES WEBSTER PLAN RYDEX SER FUNDS BANKING FD CL A	B	0.00	31.24	0.00	31.24	100.0	31.24
02/09/2018	75597136	JAMES WEBSTER PLAN RYDEX SER FUNDS FINL SVCS FD CL A	B	0.00	29.96	0.00	29.96	100.0	29.96
02/09/2018	75597138	WEINER, PATRICIA IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	0.55	0.00	0.55	100.0	0.55
02/09/2018	75597138	WEINER, PATRICIA PUTNAM GLOBAL EQUITY FUND CLASS A	B	0.00	0.22	0.00	0.22	100.0	0.22
02/09/2018	75597138	WEINER, PATRICIA WELLS FARGO SPECIAL MID CAP VALUE F	B	0.00	0.54	0.00	0.54	100.0	0.54
02/09/2018	75597139	WEINER, PATRICIA AIG FOCUSED DIVIDEND STRATEGY FUND	B	0.00	9.32	0.00	9.32	100.0	9.32
02/09/2018	75597139	WEINER, PATRICIA EMERGING GROWTH FUND A	B	0.00	2.93	0.00	2.93	100.0	2.93
02/09/2018	75597139	WEINER, PATRICIA IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	4.17	0.00	4.17	100.0	4.17
02/09/2018	75597139	WEINER, PATRICIA LORD ABBETT SHORT DURATION INCOME F	B	0.00	1.31	0.00	1.31	100.0	1.31
02/09/2018	75597139	WEINER, PATRICIA NEW WORLD FUND-A	B	0.00	1.15	0.00	1.15	100.0	1.15
02/09/2018	75597139	WEINER, PATRICIA THE HARTFORD DIVIDEND & GROWTH FUND	B	0.00	8.39	0.00	8.39	100.0	8.39
02/09/2018	75597139	WEINER, PATRICIA VIRTUS SMALL CAP SUSTAINABLE GROWTH	B	0.00	1.67	0.00	1.67	100.0	1.67
02/09/2018	75597139	WEINER, PATRICIA WELLS FARGO FDS SPL SMALL CAP VALUE	B	0.00	2.85	0.00	2.85	100.0	2.85
02/09/2018	75597141	ADLER, JULIE LIFESTYLE GROWTH PORTFOLIO A	B	0.00	1.23	0.00	1.23	100.0	1.23
02/09/2018	75597141	ADLER, JULIE LIFESTYLE BALANCED PORTFOLIO A	B	0.00	1.15	0.00	1.15	100.0	1.15
02/09/2018	75597142	ADLER, JULIE AMERICAN BALANCED FUND-C	B	0.00	1.22	0.00	1.22	100.0	1.22
02/09/2018	75597142	ADLER, JULIE AMERICAN MUTUAL FUND-C	B	0.00	3.91	0.00	3.91	100.0	3.91
02/09/2018	75597142	ADLER, JULIE FUNDAMENTAL INVESTORS-C	B	0.00	5.66	0.00	5.66	100.0	5.66
02/09/2018	75597142	ADLER, JULIE INVESTMENT COMPANY OF AMERICA CLASS	B	0.00	5.48	0.00	5.48	100.0	5.48
02/09/2018	75597142	ADLER, JULIE NEW PERSPECTIVE FUND-C	B	0.00	3.85	0.00	3.85	100.0	3.85
02/09/2018	75597142	ADLER, JULIE WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	5.47	0.00	5.47	100.0	5.47
02/09/2018	75597143	ADLER, JULIE FUNDAMENTAL INVESTORS-A	B	0.00	0.74	0.00	0.74	100.0	0.74
02/09/2018	75597143	ADLER, JULIE NEW PERSPECTIVE FUND-A	B	0.00	0.77	0.00	0.77	100.0	0.77
02/09/2018	75597143	ADLER, JULIE WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.69	0.00	0.69	100.0	0.69
02/09/2018	75597145	WEBSTER, JAMES BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	5.70	0.00	5.70	100.0	5.70
02/09/2018	75597148	PARRY, CHARLENE FRANKLIN FEDERAL TAX FREE INCOME FU	B	0.00	15.55	0.00	15.55	100.0	15.55
02/09/2018	75597149	PARRY, CHARLENE FRANKLIN GROWTH FUND CLASS A	B	0.00	11.40	0.00	11.40	100.0	11.40
02/09/2018	75597151	BLITZ, EDWIN GOLD & SPECIAL MINERALS A	B	0.00	2.56	0.00	2.56	100.0	2.56
02/09/2018	75597152	LEE, MICHELLE CAPITAL INCOME BUILDER-A	B	0.00	3.51	0.00	3.51	100.0	3.51



COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
02/09/2018	75597152	LEE, MICHELLE		CAPITAL WORLD BOND FUND-A	B	0.00	1.02	0.00	1.02 100.0 1.02
02/09/2018	75597152	LEE, MICHELLE		FUNDAMENTAL INVESTORS-A	B	0.00	4.80	0.00	4.80 100.0 4.80
02/09/2018	75597152	LEE, MICHELLE		NEW WORLD FUND-A	B	0.00	3.91	0.00	3.91 100.0 3.91
02/09/2018	75597152	LEE, MICHELLE		SMALLCAP WORLD FUND-A	B	0.00	5.37	0.00	5.37 100.0 5.37
02/09/2018	75597152	LEE, MICHELLE		THE GROWTH FUND OF AMERICA-A	B	0.00	2.29	0.00	2.29 100.0 2.29
02/09/2018	75597153	LEE, MICHELLE		FIDELITY ADVISOR FUNDS 315910661	B	0.00	1.42	0.00	1.42 100.0 1.42
02/26/2018	75597153	LEE, MICHELLE		VICTORY PORTF	B	0.00	1.27	0.00	1.27 100.0 1.27
02/09/2018	75597160	MILES, GARY		BOND-DEBENTURE FUND CLASS A	B	0.00	2.50	0.00	2.50 100.0 2.50
02/09/2018	75597161	STOTTS, ALLISON		BLACKROCK HEALTH SCIENCES FUND CLAS B	B	0.00	10.60	0.00	10.60 100.0 10.60
02/09/2018	75597161	STOTTS, ALLISON		BOND-DEBENTURE FUND CLASS A	B	0.00	4.16	0.00	4.16 100.0 4.16
02/09/2018	75597161	STOTTS, ALLISON		LORD ABBETT EQUITY TR CALIBRTD MID B	B	0.00	5.54	0.00	5.54 100.0 5.54
02/09/2018	75597167	SCHNEFF, CONSTANCE		BLACKROCK HEALTH SCIENCES FUND CLAS B	B	0.00	15.08	0.00	15.08 100.0 15.08
02/09/2018	75597167	SCHNEFF, CONSTANCE		BOND-DEBENTURE FUND CLASS A	B	0.00	6.60	0.00	6.60 100.0 6.60
02/09/2018	75597170	BROOKS, JOYCE		CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	2.81	0.00	2.81 100.0 2.81
02/09/2018	75597173	NELSON, CAROLE		MAINSTAY LARGE CAP GROWTH FUND CLAS B	B	0.00	6.40	0.00	6.40 100.0 6.40
02/09/2018	75597175	DEBORAH A IRANI TTEE		FINANCIAL INDUSTRIES A	B	0.00	11.18	0.00	11.18 100.0 11.18
02/09/2018	75597175	DEBORAH A IRANI TTEE		FRANKLIN GROWTH FUND CLASS A	B	0.00	91.32	0.00	91.32 100.0 91.32
02/09/2018	75597175	DEBORAH A IRANI TTEE		FRANKLIN RISING DIVIDENDS FD CLASS B	B	0.00	27.25	0.00	27.25 100.0 27.25
02/09/2018	75597175	DEBORAH A IRANI TTEE		FRANKLIN U.S. GOVERNMENT SECURITIES B	B	0.00	16.67	0.00	16.67 100.0 16.67
02/09/2018	75597175	DEBORAH A IRANI TTEE		FRK UTIL FND - CL A	B	0.00	14.21	0.00	14.21 100.0 14.21
02/09/2018	75597176	TARNOW, BRENT		FIDELITY ADVISOR FUNDS 316145408	B	0.00	1.26	0.00	1.26 100.0 1.26
02/09/2018	75597177	CLARK JR, PHILLIP		FRK VAL FND - CL A	B	0.00	0.69	0.00	0.69 100.0 0.69
02/09/2018	75597185	BOL, BEVERLY		HANCOCK JOHN CAP SER GRTR CHINA OPP B	B	0.00	7.69	0.00	7.69 100.0 7.69
02/09/2018	75597185	BOL, BEVERLY		PIONEER FUND A	B	0.00	4.96	0.00	4.96 100.0 4.96
02/09/2018	75597186	ORRIN PROPERTY		GLOBAL OPPORTUNITIES A	B	0.00	131.00	0.00	131.00 100.0 131.00
02/09/2018	75597193	RIZZO, MICHAEL		MAINSTAY FDS TR FLTG RATE FD CL C	B	0.00	23.95	0.00	23.95 100.0 23.95
02/09/2018	75597193	RIZZO, MICHAEL		VIRTUS MID-CAP GROWTH FUND CLASS A B	B	0.00	10.20	0.00	10.20 100.0 10.20
				<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$2,914.93</b>	<b>\$0.00</b>	<b>\$2,914.93</b>
				<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$322,545.30</b>	<b>\$5,579.92</b>	<b>\$192.00</b>	<b>\$5,387.92</b>

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

02/28/2018		OTHER: OTHER		0.00	0.00	0.00	0.00		-1,800.00
02/28/2018		OTHER: OTHER-WEALTH MANAGEMENT STAT		0.00	0.00	0.00	0.00		-315.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-2,115.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,387.92
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,115.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.97
SIPC Assessment	\$-13.95

Check Amount	\$3,252.00
Year-to-date Earnings	\$5,103.12