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R.F. Lafferty & Co., Inc.

# **COMMISSION STATEMENT**

## February 28, 2018

ANDREW LINDNER

37 GOLDENEYE LANE

PORT MONMOUTH, NJ 07758

#### BROKER #: 4

BRANCH: HOME OFFICE

#### COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER I	RANS.	ACTIONS					
PRODUCT CAT	TEGORY: STOCKS								
01/30/2018 Q	GN005274 OLSTEIN ALL CAP	UNIVERSAL HEALTH SVCS INC CL B	S	-637,039.47	153.00	6.00	147.00	50.0	73.50
02/01/2018 Q	GN005274 OLSTEIN ALL CAP	FRANKLIN RESOURCES INC (DEL)	В	221,267.05	159.00	6.00	153.00	50.0	76.50
02/02/2018 Q	GN005274 OLSTEIN ALL CAP	FRANKLIN RESOURCES INC (DEL)	В	618,714.00	450.00	6.00	444.00	50.0	222.00
02/05/2018 Q	GN005274 OLSTEIN ALL CAP	INVESCO LTD ORD SHS ISIN#BMG491B7	Г11 В	682,496.00	600.00	6.00	594.00	50.0	297.00
02/07/2018 Q	GN005274 OLSTEIN ALL CAP	DANAHER CORPORATION	В	190,000.00	60.00	6.00	54.00	50.0	27.00
02/08/2018 Q	GN005274 OLSTEIN ALL CAP	FRANKLIN RESOURCES INC (DEL)	В	331,001.84	257.37	6.00	251.37	50.0	125.69
02/08/2018 Q	GN005274 OLSTEIN ALL CAP	GENERAL MOTORS COMPANY	В	412,500.00	300.00	6.00	294.00	50.0	147.00
02/09/2018 Q	GN005274 OLSTEIN ALL CAP	FRANKLIN RESOURCES INC (DEL)	В	203,287.50	162.63	6.00	156.63	50.0	78.32
02/09/2018 Q	GN005274 OLSTEIN ALL CAP	KEYSIGHT TECHNOLOGIES INC COM	В	632,116.17	441.00	6.00	435.00	50.0	217.50
02/13/2018 Q	GN005274 OLSTEIN ALL CAP	GENERAL MOTORS COMPANY	В	125,250.00	90.00	6.00	84.00	50.0	42.00
02/22/2018 Q	GN005274 OLSTEIN ALL CAP	CVS HEALTH CORPORATION	В	204,750.00	90.00	6.00	84.00	50.0	42.00
02/23/2018 Q	GN005274 OLSTEIN ALL CAP	CVS HEALTH CORPORATION	В	135,621.00	60.00	6.00	54.00	50.0	27.00
02/26/2018 Q	GN005274 OLSTEIN ALL CAP	CVS HEALTH CORPORATION	В	203,250.00	90.00	6.00	84.00	50.0	42.00
02/01/2018 Q	GN005282 OPPORTUNITIES,	KULICKE & SOFFA IND INC	В	68,100.00	90.00	6.00	84.00	50.0	42.00
02/01/2018 Q	GN005282 OPPORTUNITIES,	PRESTIGE BRANDS HLDGS INC	В	277,644.50	210.00	6.00	204.00	50.0	102.00
02/13/2018 Q	GN005282 OPPORTUNITIES,	CITIZENS FINANCIAL GROUP INC COM	S	-288,308.15	195.00	6.00	189.00	50.0	94.50
02/01/2018 Q	02/01/2018 QGN005308 DIOCESE OF ARLINGTON KULICKE & SOFFA IND INC			4,540.00	6.00	6.00	0.00	50.0	0.00
02/01/2018 Q	GN005308 DIOCESE OF ARLINGT	TON PRESTIGE BRANDS HLDGS INC	В	3,966.35	3.00	6.00	-3.00	50.0	-1.50
02/01/2018 Q	GV005689 MERRILL LYNCH PROP	MCKESSON CORP	В	1,151,390.80	280.00	6.00	274.00	50.0	137.00
02/21/2018 Q	GV005689 MERRILL LYNCH PROP	CAPITAL SR LIVING CORP COM	S	-278,052.50	1,000.00	6.00	994.00	50.0	497.00
		* STOCKS SUBTOTA	L *	\$4,262,495.09	\$4,697.00	\$120.00	\$4,577.00		\$2,288.51
PRODUCT CAT	TEGORY: BONDS								
02/07/2018 Q	GN001380 TEAMSTERS LOCAL 44	45 TIME WARNER INC NEW GTD FIXED RT	DE S	-26,862.50	50.00	5.00	45.00	50.0	22.50
02/07/2018 Q	GN001380 TEAMSTERS LOCAL 44	45 WELLS FARGO & CO NEW MEDIUM TERM	SR S	-25,343.75	50.00	5.00	45.00	50.0	22.50
02/14/2018 Q	GN001380 TEAMSTERS LOCAL 44	45 ABBOTT LABS FXD RT SR NT 2.950% (	03/S	-33,337.50	50.00	5.00	45.00	50.0	22.50
02/23/2018 Q	GN001968 NO1, BRICKLAYERS	CVS HEALTH CORP SR NT 4.000% 12/0	)5/S	-25,326.25	50.00	5.00	45.00	50.0	22.50
02/07/2018 Q	GN002487 LEAGUE GRAPHIC ART	rs, general elec co nt 4.125% 10/09/4	12 S	-95,325.00	50.00	5.00	45.00	50.0	22.50
01/31/2018 Q	GN004038 OPPENHEIMER & CO	JPMORGAN CHASE & CO FXD RT NOTES	2.B	149,392.50	50.00	5.00	45.00	50.0	22.50
02/05/2018 Q	GN006223 STACEY BRAUN & ASS	SOC INTERNATIONAL BUSINESS MACHS CORE	PFS	-50,200.00	50.00	5.00	45.00	50.0	22.50
02/12/2018 Q	GN006363 STACEY BRAUN & ASS	SOC GE CAPITAL INTERNATIONAL FUNDING	CO S	-239,687.50	78.13	5.00	73.13	50.0	36.57

RADE DATE   CLIENT   INVESTMENT   B/S   AMOUNT   COMMISSION   CHARGE   COMMISSION   RATE   COMMISSION   CHARGE   COMMISSION   RATE   COMMISSION   CHARGE	03/27/2018  COMMISSION STATEMENT for ANDREW LINDNER							I	Page No. 2	
PRODUCT CATEGORY: BONDS  02/07/2018 QGN026270 BROTHERHOOD OF GENERAL ELEC CO NT 4.125% 10/09/42 S -309,806.25 101.56 5.00 96.56 50.0 0 02/07/2018 QGN027302 BROTHERHOOD OF CATERFILLAR INC SR NT 4.300% 05/15/S -233,851.30 65.94 5.00 60.94 50.0 0 02/01/2018 QGN027302 BROTHERHOOD OF LILLY ELI & CO NT 7.125% 06/01/25 BS -251,260.00 62.50 5.00 57.50 50.0 0 02/01/2018 QGN027302 BROTHERHOOD OF MEDITRONIC INC SR NT 4.000% 04/01/43 S -100,200.00 50.00 5.00 45.00 57.50 50.0 0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS BDS 3.125% 11/1 S -205,687.50 62.50 5.00 57.50 50.0 0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.875% 11/3 S -181,126.56 50.00 5.00 45.00 50.0 0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.500% 03/3 S -273,367.19 85.94 5.00 80.94 50.0 0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.500% 03/3 S -273,367.19 85.94 5.00 80.94 50.0 0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -770,132.81 50.00 5.00 45.00 50.0 0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -710,132.81 50.00 5.00 45.00 50.0 0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 50.0 45.00 50.0 0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -71,493.75 50.00 50.0 50.0 50.0 50.0 50.0 50.0	TRADE DATE	CLIENT	INVESTMENT B/S					RATE	BROKER COMMISSION	
### BROBUCT CATEGORY: BONDS  02/07/2018 QGN026770 BROTHERHOOD OF GENERAL ELEC CO NT 4.125% 10/09/42 S -309,806.25 101.56 5.00 96.56 50.0 02/07/2018 QGN02643 LOCAL, PLUMBERS & GENERAL ELEC CO NT 4.125% 10/09/42 S -142,987.50 50.00 5.00 45.00 50.0 02/01/2018 QGN027302 BROTHERHOOD OF CATERFILLAR INC SR NT 4.300% 05/15/S -223,851.30 65.94 5.00 60.94 50.0 02/01/2018 QGN027302 BROTHERHOOD OF LILLY ELI & CO NT 7.125% 06/01/25 BS -251,260.00 62.50 5.00 57.50 50.0 02/01/2018 QGN027302 BROTHERHOOD OF MEDITRONIC INC SR NT 4.000% 04/01/43 S -100,200.00 50.00 5.00 45.00 57.50 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS BDS 3.125% 11/1 S -205,687.50 62.50 5.00 57.50 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.875% 11/3 S -181,126.56 50.00 5.00 45.00 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.500% 03/3 S -273,367.19 85.94 5.00 80.94 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.500% 03/3 S -273,367.19 85.94 5.00 80.94 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -770,132.81 50.00 5.00 45.00 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -710,132.81 50.00 50.0 45.00 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -710,132.81 50.00										
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02/07/2018 QGN027302 BROTHERHOOD OF CATERPILLAR INC SR NT 4.300% 05/15/S -233,851.30 65.94 5.00 60.94 50.0 02/01/2018 QGN027302 BROTHERHOOD OF LILLY ELI & CO NT 7.125% 06/01/25 B S -251,260.00 62.50 5.00 57.50 50.0 02/01/2018 QGN027302 BROTHERHOOD OF LILLY ELI & CO NT 7.125% 06/01/25 B S -251,260.00 62.50 5.00 57.50 50.0 02/01/2018 QGN027302 BROTHERHOOD OF MEDTRONIC INC SR NT 4.000% 04/01/43 S -100,200.00 50.00 5.00 45.00 57.50 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS BDS 3.125% 11/1 S -205,687.50 62.50 5.00 57.50 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.875% 11/3 S -181,126.56 50.00 5.00 45.00 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.500% 03/3 S -273,367.19 85.94 5.00 80.94 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED TECHNOLOGIES CORP FIXED RT N S -215,440.00 62.50 5.00 57.05 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED TECHNOLOGIES CORP FIXED RT N S -215,440.00 62.50 5.00 57.05 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -71,493.75 50.00 5.00 45.00 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -71,493.75 50.00 5.00 45.00 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -71,493.75 50.00 50.00 50.00 50.0 05.00 50.0 05.00 50.0 05.00 50.0 05.00 50.0 05.00 50.0 05.00 50.0 05.00 50.0 05.00 50.0 05.0	PRODUCT CAT	TEGORY: BONDS								
02/01/2018 QGN027302 BROTHERHOOD OF LILLY ELI & CO NT 7.125% 06/01/25 B S -251,260.00 62.50 5.00 57.50 50.0 102/01/2018 QGN027302 BROTHERHOOD OF LILLY ELI & CO NT 7.125% 06/01/25 B S -251,260.00 62.50 5.00 57.50 50.0 102/01/2018 QGN027302 BROTHERHOOD OF MEDTRONIC INC SR NT 4.000% 04/01/43 S -100,200.00 5.00 5.00 45.00 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS BDS 3.125% 11/15 -205,687.50 62.50 5.00 57.50 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.1875% 11/3 S -181,126.56 50.00 5.00 45.00 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.500% 03/3 S -273,367.19 85.94 5.00 80.94 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.500% 03/3 S -273,367.19 85.94 5.00 80.94 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 50.0 45.00 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 50.0 45.00 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 50.0 45.00 50.0 102/01/2018 QGN028144 OF, INTERNATIONAL GENERAL ELEC CO NT 4.125% 10/09/42 S -71,493.75 50.00 50.0 45.00 50.0 50.0 45.00 50.0 102/01/2018 QGN028144 OF, INTERNATIONAL GENERAL ELEC CO NT 4.125% 10/09/42 S -71,493.75 50.00 50.0 \$5,601.07 \$5.00 \$5.601.07 \$5.00 \$5.601.07 \$5.00 \$5.601.07 \$5.00 \$5.00 \$5.601.07 \$5.00 \$5.601.07 \$5.00 \$5.601.07 \$5.00 \$5.601.07 \$5.00 \$5.601.07 \$5.00 \$5.601.07 \$5.00 \$5.601.07 \$5.00 \$5.601.07 \$5.00	02/07/2018 Q	GN026270 BROTHERHOOD OF	GENERAL ELEC CO NT 4.125% 10/09/42 S	-309,806.25	101.56	5.00	96.56	50.0	48.28	
02/01/2018 QGN027302 BROTHERHOOD OF LILLY ELI & CO NT 7.125% 06/01/25 B S -251,260.00 62.50 5.00 57.50 50.0 100/01/2018 QGN027302 BROTHERHOOD OF MEDTRONIC INC SR NT 4.000% 04/01/43 S -100,200.00 50.00 50.00 45.00 50.0 100/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS BDS 3.125% 11/1 S -205,687.50 62.50 50.0 57.50 50.0 100/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.875% 11/3 S -181,126.56 50.00 50.0 45.00 50.0 100/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.875% 11/3 S -170,132.81 50.00 50.0 45.00 50.0 100/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 50.0 45.00 50.0 100/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 50.0 45.00 50.0 100/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 50.0 45.00 50.0 100/01/2018 QGN027302 BROTHERHOOD OF UNITED TECHNOLOGIES CORP FIXED RT N S -215,440.00 62.50 50.0 57.50 50.0 100/01/2018 QGN027302 BROTHERHOOD OF UNITED TECHNOLOGIES CORP FIXED RT N S -215,440.00 62.50 50.0 57.50 50.0 100/01/2018 QGN028144 OF, INTERNATIONAL GENERAL ELEC CO NT 4.125% 10/09/42 S -71,493.75 50.00 50.0 45.00 50.0 100/01/2018 QGN028144 OF, INTERNATIONAL GENERAL ELEC CO NT 4.125% 10/09/42 S -71,493.75 50.00 50.0 \$5,601.07 \$5.00	02/07/2018 Q	GN026643 LOCAL, PLUMBERS &	GENERAL ELEC CO NT 4.125% 10/09/42 S	-142,987.50	50.00	5.00	45.00	50.0	22.50	
02/01/2018 QGN027302 BROTHERHOOD OF MEDTRONIC INC SR NT 4.000% 04/01/43 S -100,200.00 50.00 5.00 45.00 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS BDS 3.125% 11/1 S -205,687.50 62.50 5.00 57.50 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.875% 11/3 S -181,126.56 50.00 5.00 45.00 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.500% 03/3 S -273,367.19 85.94 5.00 80.94 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED TECHNOLOGIES CORP FIXED RT N S -215,440.00 62.50 5.00 57.50 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED TECHNOLOGIES CORP FIXED RT N S -215,440.00 62.50 5.00 45.00 50.0 102/01/2018 QGN028144 OF, INTERNATIONAL GENERAL ELEC CO NT 4.125% 10/09/42 S -71,493.75 50.00 5.00 45.00 50.0 102/01/2018 QGN028144 OF, INTERNATIONAL GENERAL ELEC CO NT 4.125% 10/09/42 S -71,493.75 50.00 50.0 \$5,601.07 \$5.00 \$5	02/01/2018 Q	GN027302 BROTHERHOOD OF	CATERPILLAR INC SR NT 4.300% 05/15/ S	-233,851.30	65.94	5.00	60.94	50.0	30.47	
02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS BDS 3.125% 11/1 S -205,687.50 62.50 5.00 57.50 50.0 2/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.875% 11/3 S -181,126.56 50.00 5.00 45.00 50.0 2/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.500% 03/3 S -273,367.19 85.94 5.00 80.94 50.0 2/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 2/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 2/01/2018 QGN027302 BROTHERHOOD OF UNITED TECHNOLOGIES CORP FIXED RT N S -215,440.00 62.50 5.00 57.50 50.0 2/01/2018 QGN027302 BROTHERHOOD OF UNITED TECHNOLOGIES CORP FIXED RT N S -215,440.00 62.50 5.00 57.50 50.0 2/01/2018 QGN028144 OF, INTERNATIONAL GENERAL ELEC CO NT 4.125% 10/09/42 S -71,493.75 50.00 5.00 45.00 50.0 2/01/2018 QGN028144 OF, INTERNATIONAL GENERAL ELEC CO NT 4.125% 10/09/42 S -71,493.75 50.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$5.0	02/01/2018 Q	GN027302 BROTHERHOOD OF	LILLY ELI & CO NT 7.125% 06/01/25 B S	-251,260.00	62.50	5.00	57.50	50.0	28.75	
02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.875% 11/3 S -181,126.56 50.00 5.00 45.00 50.0 2/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.500% 03/3 S -273,367.19 85.94 5.00 80.94 50.0 2/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 2/01/2018 QGN027302 BROTHERHOOD OF UNITED TECHNOLOGIES CORP FIXED RT N S -215,440.00 62.50 5.00 57.50 50.0 2/01/2018 QGN028144 OF, INTERNATIONAL GENERAL ELEC CO NT 4.125% 10/09/42 S -71,493.75 50.00 5.00 45.00 50.0 2/01/2018 QGN028144 OF, INTERNATIONAL GENERAL ELEC CO NT 4.125% 10/09/42 S -71,493.75 50.00 50.0 \$5,00 \$5,00 50.0 \$5.00 \$5.	02/01/2018 Q	GN027302 BROTHERHOOD OF	MEDTRONIC INC SR NT 4.000% 04/01/43 S	-100,200.00	50.00	5.00	45.00	50.0	22.50	
02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 1.500% 03/3 S -273,367.19 85.94 5.00 80.94 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 02/01/2018 QGN027302 BROTHERHOOD OF UNITED TECHNOLOGIES CORP FIXED RT N S -215,440.00 62.50 5.00 57.50 50.0 02/07/2018 QGN028144 OF, INTERNATIONAL GENERAL ELEC CO NT 4.125% 10/09/42 S -71,493.75 50.00 5.00 45.00 50.0 02/07/2018 QGN028144 OF, INTERNATIONAL GENERAL ELEC CO NT 4.125% 10/09/42 S -71,493.75 50.00 5.00 \$1,024.07 \$5.00 \$5.00 \$1,024.07 \$5.00 \$	02/01/2018 Q	GN027302 BROTHERHOOD OF	UNITED STATES TREAS BDS 3.125% 11/1 S	-205,687.50	62.50	5.00	57.50	50.0	28.75	
02/01/2018 QGN027302 BROTHERHOOD OF UNITED STATES TREAS NTS 2.125% 11/3 S -170,132.81 50.00 5.00 45.00 50.0 102/01/2018 QGN027302 BROTHERHOOD OF UNITED TECHNOLOGIES CORP FIXED RT N S -215,440.00 62.50 5.00 57.50 50.0 102/07/2018 QGN028144 OF, INTERNATIONAL GENERAL ELEC CO NT 4.125% 10/09/42 S -71,493.75 50.00 5.00 45.00 50.0 102/07/2018 QGN028144 OF, INTERNATIONAL GENERAL ELEC CO NT 4.125% 10/09/42 S -71,493.75 50.00 5.00 \$1,024.07 \$5.00 \$5.00 \$5	02/01/2018 Q	GN027302 BROTHERHOOD OF	UNITED STATES TREAS NTS 1.875% 11/3 S	-181,126.56	50.00	5.00	45.00	50.0	22.50	
02/01/2018 QGN027302 BROTHERHOOD OF UNITED TECHNOLOGIES CORP FIXED RT N S -215,440.00 62.50 5.00 57.50 50.0 02/07/2018 QGN028144 OF, INTERNATIONAL GENERAL ELEC CO NT 4.125% 10/09/42 S -71,493.75 50.00 5.00 45.00 50.0 50.0 50.0 50.0 5	02/01/2018 Q	GN027302 BROTHERHOOD OF	UNITED STATES TREAS NTS 1.500% 03/3 S	-273,367.19	85.94	5.00	80.94	50.0	40.47	
02/07/2018 QGN028144 OF, INTERNATIONAL GENERAL ELEC CO NT 4.125% 10/09/42 S71,493.75	02/01/2018 Q	GN027302 BROTHERHOOD OF	UNITED STATES TREAS NTS 2.125% 11/3 S	-170,132.81	50.00	5.00	45.00	50.0	22.50	
* BONDS SUBTOTAL * \$-2,502,042.86 \$1,119.07 \$95.00 \$1,024.07 \$5  *** BROKER TRANSACTIONS TOTAL *** \$1,760,452.23 \$5,816.07 \$215.00 \$5,601.07 \$2,8  *** BROKER TRANSACTIONS TOTAL *** \$1,760,452.23 \$5,816.07 \$215.00 \$5,601.07 \$2,8  *** BROKER TRANSACTIONS TOTAL *** \$1,760,452.23 \$5,816.07 \$215.00 \$5,601.07 \$2,8  *** BROKER TRANSACTIONS TOTAL *** \$1,760,452.23 \$5,816.07 \$215.00 \$5,601.07 \$2,8  *** BONDS SUBTOTAL * \$-2,502,042.86 \$1,119.07 \$95.00 \$5,601.07 \$2,8  *** BONDS SUBTOTAL * \$-2,502,042.86 \$1,119.07 \$95.00 \$5,601.07 \$2,8  *** BONDS SUBTOTAL * \$-2,502,042.86 \$1,119.07 \$95.00 \$5,601.07 \$2,8  *** BONDS SUBTOTAL * \$-2,502,042.86 \$1,119.07 \$95.00 \$5,601.07 \$2,8  *** BONDS SUBTOTAL * \$-2,502,042.86 \$1,119.07 \$95.00 \$5,601.07 \$2,8  *** BONDS SUBTOTAL * \$-2,502,042.86 \$1,119.07 \$95.00 \$5,601.07 \$2,8  *** BONDS SUBTOTAL * \$-2,502,042.86 \$1,119.07 \$95.00 \$5,601.07 \$2,8  *** BONDS SUBTOTAL * \$-2,502,042.86 \$1,119.07 \$95.00 \$5,601.07 \$2,8  *** BONDS SUBTOTAL * \$-2,502,042.86 \$1,119.07 \$95.00 \$5,601.07 \$2,8  *** BONDS SUBTOTAL * \$-2,502,042.86 \$1,119.07 \$2,8  *** BONDS SUBTOTAL * \$-2,502,042.86 \$1,020.7 \$2,8  *** BONDS SUBTOTAL * \$-2,502.86 \$1,020.7 \$2,8  *** BONDS SUBTOTAL * \$-2,502.86 \$1,020.7 \$2,8  *** BONDS SUBTOTAL * \$-2,502.86 \$1,020.7 \$2,8  *** BONDS SUBTOTA	02/01/2018 Q	GN027302 BROTHERHOOD OF	UNITED TECHNOLOGIES CORP FIXED RT N S	-215,440.00	62.50	5.00	57.50	50.0	28.75	
*** BROKER TRANSACTIONS TOTAL *** \$1,760,452.23 \$5,816.07 \$215.00 \$5,601.07 \$2,8  ADJUSTMENTS  02/28/2018 OTHER: BLOOMBERG 0.00 0.00 0.00 0.00 0.00 -90 02/28/2018 OTHER: COMPLIANCE AND TECHNOLOGY 0.00 0.00 0.00 0.00 0.00 0.00 02/28/2018 OTHER: OTHER-360 OFFICE 0.00 0.00 0.00 0.00 0.00 0.00 0.00	02/07/2018 Q	GN028144 OF, INTERNATIONAL	GENERAL ELEC CO NT 4.125% 10/09/42 S	-71,493.75	50.00	5.00	45.00	50.0	22.50	
ADJUSTMENTS  02/28/2018 OTHER: BLOOMBERG 0.00 0.00 0.00 0.00 -99 02/28/2018 OTHER: COMPLIANCE AND TECHNOLOGY 0.00 0.00 0.00 0.00 -99 02/28/2018 OTHER: OTHER-360 OFFICE 0.00 0.00 0.00 0.00 0.00 -99			* BONDS SUBTOTAL *	\$-2,502,042.86	\$1,119.07	\$95.00	\$1,024.07		\$512.04	
02/28/2018 OTHER: BLOOMBERG 0.00 0.00 0.00 0.00 -99 02/28/2018 OTHER: COMPLIANCE AND TECHNOLOGY 0.00 0.00 0.00 0.00 -99 02/28/2018 OTHER: OTHER-360 OFFICE 0.00 0.00 0.00 0.00 0.00 -99			*** BROKER TRANSACTIONS TOTAL ***	\$1,760,452.23	\$5,816.07	\$215.00	\$5,601.07		\$2,800.55	
02/28/2018       OTHER: COMPLIANCE AND TECHNOLOGY       0.00       0			ADJUSTM	ENTS						
02/28/2018       OTHER: COMPLIANCE AND TECHNOLOGY       0.00       0	02/28/2018		OTHER: BLOOMBERG	0 00	0 00	0.00	0.00		-996.21	
02/28/2018 OTHER: OTHER-360 OFFICE 0.00 0.00 0.00 0.00 -	. , . ,								-20.00	
									-15.00	
02/28/2018 OTHER: OTHER-OGN0052740 CANCEL REBI 0.00 0.00 0.00 0.00 -	02/28/2018		OTHER: OTHER-OGN0052740 CANCEL REBI	0.00	0.00	0.00	0.00		-30.00	

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0.00

\$0.00

OTHER: OTHER--ERROR

OTHER: EXCHANGE FEES

\*\*\* ADJUSTMENTS TOTAL \*\*\*

OTHER: REAL TICK

OTHER: EZ CASTLE

OTHER: PIVOT

02/28/2018

02/28/2018

02/28/2018

02/28/2018

02/28/2018

-395.39

-212.50

-54.33

-55.56

-68.05

\$-1,847.04

### BROKER COMMISSION TOTALS \$2,800.55 Broker Transactions Split Transactions Override Transactions \$-1,847.04 Adjustments Payroll Draw Base Salary Prior Period Balance FINRA Assessment \$-7.27 \$-14.54 SIPC Assessment \$931.70 Check Amount \$4,755.68 Year-to-date Earnings

\$0.00

\$0.00

\$0.00 \$0.00

\$0.00