



All Companies

05/16/2018

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## STATEMENT

**May 20, 2018**

DWAIN BLAKE

BROKER #: 049

BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DWAIN BLAKE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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## BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/23/2018 # RAY, CARRIE	PIMCO INCOME FD INSTL CL	S	13,598.67	0.00	0.00	0.00	0.00	75.0	0.00
04/23/2018 # RAY, CARRIE	WESTERN ASSET CORE PLUS BOND FD CLA S		22,873.30	0.00	0.00	0.00	0.00	75.0	0.00
* MUTUAL FUNDS SUBTOTAL *			<u>\$36,471.97</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$0.00</u>

PRODUCT CATEGORY: STOCKS

04/23/2018 # SINGLETON, AUDREY	OLIN CORP NEW	S	2,257.51	0.00	0.00	0.00	0.00	75.0	0.00
	* STOCKS SUBTOTAL *		\$2,257.51	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/23/2018 # RAY, CARRIE	DAIN TRAILER	S	25,782.85	0.00	0.00	0.00	0.00	75.0	0.00
04/23/2018 #	DAIN TRAILER	S	20,000.00	0.00	0.00	0.00	0.00	75.0	0.00
04/23/2018 #	DAIN TRAILER	S	1,114.34	0.00	0.00	0.00	0.00	75.0	0.00
04/23/2018 # RAY, CARRIE	DAIN TRAILER	S	20,170.39	0.00	0.00	0.00	0.00	75.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<u>\$67,067.58</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$0.00</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$105,797.06</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$0.00</u>

## ADJUSTMENTS

05/20/2018	ADMIN FEE: ADMIN FEE	-390.00
05/20/2018	ASSISTANT FEE: ASSISTANT FEE	-219.00
05/20/2018	CIRCLEBLACK: CIRCLEBLACK	-175.00
05/20/2018	DOCUSIGN: DOCUSIGN	-51.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		<hr/> \$-1,014.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,014.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-4,701.10
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-5,715.10</b>
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