



COMMISSION STATEMENT

June 04, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/23/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	BLACKROCK GLBL SCI & TECH OPPORT CL BUY 1405 Units @28.4600	39,999.99	1,800.00	18.00	1,782.00	85.0	1,514.70
05/30/2018	***-**-361J - COOK, ALAN ACCOUNT #: LSR012544	COHEN & STEERS REAL ESTATE SEC A SELL 1329 Units @14.3300	19,041.10	0.00	18.00	-18.00	100.0	-18.00
05/29/2018	***-**-2185 - BARR, RON ACCOUNT #: LSR012625	COLUMBIA DISCIPLINED CORE A BUY 692 Units @13.0000	9,000.00	450.00	18.00	432.00	85.0	367.20
05/30/2018	***-**-825J - BARR, JANET ACCOUNT #: LSR012627	COLUMBIA DISCIPLINED CORE A BUY 2311 Units @12.9800	30,000.00	1,125.00	18.00	1,107.00	85.0	940.95
05/29/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	GLOBAL OPPORTUNITIES A BUY 520 Units @76.8700	40,000.00	1,900.00	18.00	1,882.00	85.0	1,599.70
* MUTUAL FUNDS SUBTOTAL *			\$138,041.09	\$5,275.00	\$90.00	\$5,185.00		\$4,404.55
<u>PRODUCT CATEGORY: STOCKS</u>								
05/31/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	GENERAL MOTORS CO COM USD0.01 ISIN SELL 100 Units @41.8500	4,185.00	60.00	17.00	43.00	85.0	36.55
05/31/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	GENERAL MOTORS CO COM USD0.01 ISIN SELL 200 Units @41.8500	8,370.00	120.00	4.00	116.00	85.0	98.60
05/31/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	GENERAL MOTORS CO COM USD0.01 ISIN SELL 200 Units @41.8600	8,372.00	120.00	4.00	116.00	85.0	98.60
05/31/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	GENERAL MOTORS CO COM USD0.01 ISIN SELL 100 Units @41.9100	4,191.00	50.00	17.00	33.00	85.0	28.05
05/31/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	GENERAL MOTORS CO COM USD0.01 ISIN SELL 100 Units @41.9100	4,191.00	50.00	2.00	48.00	85.0	40.80
05/31/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	GENERAL MOTORS CO COM USD0.01 ISIN SELL 100 Units @41.9110	4,191.10	50.00	2.00	48.00	85.0	40.80
05/31/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	GENERAL MOTORS CO COM USD0.01 ISIN SELL 300 Units @41.8760	12,562.80	150.00	21.00	129.00	85.0	109.65
05/31/2018	***-**-41IR - ROHMAN, ARTHUR ACCOUNT #: LSR012575	GENERAL MOTORS CO COM USD0.01 ISIN SELL 500 Units @41.9210	20,960.50	300.00	25.00	275.00	85.0	233.75
* STOCKS SUBTOTAL *			\$67,023.40	\$900.00	\$92.00	\$808.00		\$686.80



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
05/29/2018	***-**-2660 - COILE, TIM	GOVERNMENT PPTYS INCOME TR COM SHS	8,661.00	150.00	24.00	126.00	85.0	107.10
	ACCOUNT #: LSR012461	SELL 600 Units @14.4350						
05/29/2018	***-**-496I - MCCOY, SHERIANNE	GOVERNMENT PPTYS INCOME TR COM SHS	7,220.00	125.00	24.00	101.00	85.0	85.85
	ACCOUNT #: LSR012469	SELL 500 Units @14.4400						
05/30/2018	***-**-703I - RHODE, SUSAN	NEW RESIDENTIAL INVT CORP COM NPV (27,157.50	350.00	24.00	326.00	85.0	277.10
	ACCOUNT #: LSR012463	BUY 1500 Units @18.1050						
05/30/2018	***-**-496I - MCCOY, SHERIANNE	NEW RESIDENTIAL INVT CORP COM NPV (27,180.00	350.00	24.00	326.00	85.0	277.10
	ACCOUNT #: LSR012469	BUY 1500 Units @18.1200						
05/30/2018	***-**-55IR - WISE, MARY	NEW RESIDENTIAL INVT CORP COM NPV (7,238.00	93.33	24.00	69.33	85.0	58.93
	ACCOUNT #: LSR012558	BUY 400 Units @18.0950						
05/30/2018	***-**-55IR - WISE, MARY	NEW RESIDENTIAL INVT CORP COM NPV (1,809.50	23.33	0.00	23.33	85.0	19.83
	ACCOUNT #: LSR012558	BUY 100 Units @18.0950						
05/30/2018	***-**-55IR - WISE, MARY	NEW RESIDENTIAL INVT CORP COM NPV (14,476.00	186.67	0.00	186.67	85.0	158.67
	ACCOUNT #: LSR012558	BUY 800 Units @18.0950						
05/30/2018	***-**-55IR - WISE, MARY	NEW RESIDENTIAL INVT CORP COM NPV (1,809.50	23.33	0.00	23.33	85.0	19.83
	ACCOUNT #: LSR012558	BUY 100 Units @18.0950						
05/30/2018	***-**-55IR - WISE, MARY	NEW RESIDENTIAL INVT CORP COM NPV (1,809.50	23.33	0.00	23.33	85.0	19.83
	ACCOUNT #: LSR012558	BUY 100 Units @18.0950						
05/30/2018	***-**-82IN - WINTERS, KEVIN	NEW RESIDENTIAL INVT CORP COM NPV (1,810.50	30.00	24.00	6.00	85.0	5.10
	ACCOUNT #: LSR012586	BUY 100 Units @18.1050						
05/30/2018	***-**-82IN - WINTERS, KEVIN	NEW RESIDENTIAL INVT CORP COM NPV (7,242.00	120.00	0.00	120.00	85.0	102.00
	ACCOUNT #: LSR012586	BUY 400 Units @18.1050						
05/29/2018	***-**-649I - BEYER, ROBERT	W P CAREY INC COM UNSOLICITED ORDER	19,897.50	190.00	24.00	166.00	85.0	141.10
	ACCOUNT #: LSR012542	SELL 300 Units @66.3250						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$126,311.00	\$1,664.99	\$168.00	\$1,496.99		\$1,272.44
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/31/2018	***-**-189I - VITULLO,	FEDERATED HIGH INCOME BOND FUND	0.00	0.48	0.00	0.48	85.0	0.41
	ACCOUNT #: LSR012651	BUY 1 Units @0.0000						
05/31/2018	***-**-54IO - FRIES, SHARON	FEDERATED MDT SMALL CAP CORE FUND	0.00	5.42	0.00	5.42	85.0	4.61
	ACCOUNT #: LSR012478	BUY 1 Units @0.0000						
05/31/2018	***-**-691I - HEINZ, MARK	FEDERATED MDT SMALL CAP CORE FUND	0.00	6.73	0.00	6.73	85.0	5.72
	ACCOUNT #: LSR012513	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/31/2018	***-**-703I - RHODE, SUSAN	FEDERATED MDT SMALL CAP GROWTH	0.00	5.05	0.00	5.05	85.0	4.29
	ACCOUNT #: LSR012463	BUY 1 Units @0.0000						
05/31/2018	***-**-447I - HEINZ-OTTE,	FEDERATED MDT SMALL CAP GROWTH	0.00	10.09	0.00	10.09	85.0	8.58
	ACCOUNT #: LSR012465	BUY 1 Units @0.0000						
05/31/2018	***-**-54IO - FRIES, SHARON	FRANKLIN INCOME FUND CLASS A	0.00	3.01	0.00	3.01	85.0	2.56
	ACCOUNT #: LSR012478	BUY 1 Units @0.0000						
05/31/2018	***-**-84IO - COILE, ROBERT	FRK HIGH INC FND - CL A	0.00	0.98	0.00	0.98	85.0	0.83
	ACCOUNT #: LSR012460	BUY 1 Units @0.0000						
05/31/2018	***-**-703I - RHODE, SUSAN	FRK HIGH INC FND - CL A	0.00	2.19	0.00	2.19	85.0	1.86
	ACCOUNT #: LSR012463	BUY 1 Units @0.0000						
05/31/2018	***-**-558I - CRANFORD,	FRK HIGH INC FND - CL A	0.00	3.08	0.00	3.08	85.0	2.62
	ACCOUNT #: LSR012466	BUY 1 Units @0.0000						
05/31/2018	***-**-496I - MCCOY, SHERIANNE	FRK HIGH INC FND - CL A	0.00	2.73	0.00	2.73	85.0	2.32
	ACCOUNT #: LSR012469	BUY 1 Units @0.0000						
05/31/2018	***-**-03TR - NEAL TRUST,	FRK HIGH INC FND - CL A	0.00	1.23	0.00	1.23	85.0	1.05
	ACCOUNT #: LSR012505	BUY 1 Units @0.0000						
05/31/2018	***-**-691I - HEINZ, MARK	FRK HIGH INC FND - CL A	0.00	1.39	0.00	1.39	85.0	1.18
	ACCOUNT #: LSR012513	BUY 1 Units @0.0000						
05/31/2018	***-**-82IN - WINTERS, KEVIN	FRK HIGH INC FND - CL A	0.00	2.39	0.00	2.39	85.0	2.03
	ACCOUNT #: LSR012586	BUY 1 Units @0.0000						
05/31/2018	***-**-745I - EKHOF, NOHORA	FRK HIGH INC FND - CL A	0.00	0.87	0.00	0.87	85.0	0.74
	ACCOUNT #: LSR012612	BUY 1 Units @0.0000						
05/31/2018	***-**-2267 - WIEBLER, STEVE	JANUS HENDERSON GLBL EQUITY	0.00	11.52	0.00	11.52	85.0	9.79
	ACCOUNT #: LSR012606	BUY 1 Units @0.0000						
05/31/2018	***-**-15TR - REV, ALBERT	NUVEEN HIGH YIELD MUNI CLASS A	0.00	8.39	0.00	8.39	85.0	7.13
	ACCOUNT #: LSR012573	BUY 1 Units @0.0000						
05/31/2018	***-**-2660 - COILE, TIM	PACIFIC FUNDS HIGH INCOME A	0.00	5.95	0.00	5.95	85.0	5.06
	ACCOUNT #: LSR012461	BUY 1 Units @0.0000						
05/31/2018	***-**-825J - BARR, JANET	PACIFIC FUNDS HIGH INCOME A	0.00	3.95	0.00	3.95	85.0	3.36
	ACCOUNT #: LSR012627	BUY 1 Units @0.0000						
05/31/2018	***-**-2660 - COILE, TIM	PACIFIC FUNDS SMALL/ MID CAP A	0.00	5.93	0.00	5.93	85.0	5.04
	ACCOUNT #: LSR012461	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/31/2018	***-**-92IN - REEDER, BONNIE	PACIFIC FUNDS SMALL/ MID CAP A	0.00	4.16	0.00	4.16	85.0	3.54
	ACCOUNT #: LSR012521	BUY 1 Units @0.0000						
05/31/2018	***-**-2660 - COILE, TIM	PACIFIC FUNDS STRATEGIC INC FD A	0.00	7.93	0.00	7.93	85.0	6.74
	ACCOUNT #: LSR012461	BUY 1 Units @0.0000						
05/31/2018	***-**-825J - BARR, JANET	PACIFIC FUNDS STRATEGIC INC FD A	0.00	3.95	0.00	3.95	85.0	3.36
	ACCOUNT #: LSR012627	BUY 1 Units @0.0000						
05/31/2018	***-**-189I - VITULLO,	PIMCO TOTAL RETURN CLASS A	0.00	0.22	0.00	0.22	85.0	0.19
	ACCOUNT #: LSR012651	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$97.64	\$0.00	\$97.64		\$83.01
*** BROKER TRANSACTIONS TOTAL ***			\$331,375.49	\$7,937.63	\$350.00	\$7,587.63		\$6,446.80

BROKER COMMISSION TOTALS

Broker Transactions	\$6,446.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$6,446.80
Year-to-date Earnings	\$81,879.02