

**COMMISSION STATEMENT****October 31, 2017**

101 CFGROUP/KOSANKE  
1120 E. Long Lake Road  
Suite 250  
Troy, MI 48085

**BROKER #: 101**  
**BRANCH: CONCORDE FINANCIAL G**

| DATE                                  | CLIENT              | INVESTMENT             | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------------|---------------------|------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>      |                     |                        |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: MARK KOSANKE #3</u></b> |                     |                        |                      |                     |                    |                   |      |                      |
| 10/13/2017                            | MCDONALD, CRAIG     | CORNERSTONE ASSET MGMT | 16,920.80            | 41.72               | 0.00               | 41.72             | 97.0 | 40.47                |
| 10/13/2017                            | SOBIESKI, JOYCE     | CORNERSTONE ASSET MGMT | 19,197.81            | 47.34               | 0.00               | 47.34             | 97.0 | 45.92                |
| 10/13/2017                            | POLENYCHKO, SHIRLEY | CORNERSTONE ASSET MGMT | 15,659.39            | 38.61               | 0.00               | 38.61             | 97.0 | 37.45                |
| 10/13/2017                            | DALTON, GRACE       | CORNERSTONE ASSET MGMT | 85,034.46            | 209.67              | 0.00               | 209.67            | 97.0 | 203.38               |
| 10/13/2017                            | VOGEL, KEITH        | CORNERSTONE ASSET MGMT | 30,977.05            | 76.38               | 0.00               | 76.38             | 97.0 | 74.09                |
| 10/13/2017                            | WEYCKER, EDWARD     | CORNERSTONE ASSET MGMT | 16,469.00            | 40.61               | 0.00               | 40.61             | 97.0 | 39.39                |
| 10/18/2017                            | BERTRAND, C JERRY   | SAWTOOTH               | 0.00                 | 69.10               | 0.00               | 69.10             | 97.0 | 67.03                |
| 10/18/2017                            | ENS, TRACY          | SAWTOOTH               | 0.00                 | 14.41               | 0.00               | 14.41             | 97.0 | 13.98                |
| 10/18/2017                            | HALAAS, JACK        | SAWTOOTH               | 0.00                 | 82.23               | 0.00               | 82.23             | 97.0 | 79.76                |
| 10/18/2017                            | HALAAS, KATHLEEN    | SAWTOOTH               | 0.00                 | 103.13              | 0.00               | 103.13            | 97.0 | 100.04               |
| 10/18/2017                            | LEMMON, MICHELE     | SAWTOOTH               | 0.00                 | 350.55              | 0.00               | 350.55            | 97.0 | 340.03               |
| 10/18/2017                            | SNOOKS, KATHLEEN    | SAWTOOTH               | 0.00                 | 362.63              | 0.00               | 362.63            | 97.0 | 351.75               |
| 10/18/2017                            | ULFIG, GERALD       | SAWTOOTH               | 0.00                 | 23.56               | 0.00               | 23.56             | 97.0 | 22.85                |
| 10/18/2017                            | DININNI, LORI       | SAWTOOTH               | 0.00                 | 34.42               | 0.00               | 34.42             | 97.0 | 33.39                |
| 10/18/2017                            | DINKA, CATHERINE    | SAWTOOTH               | 0.00                 | 292.78              | 0.00               | 292.78            | 97.0 | 284.00               |
| 10/18/2017                            | DINKA, JOHN         | SAWTOOTH               | 0.00                 | 170.57              | 0.00               | 170.57            | 97.0 | 165.45               |
| 10/18/2017                            | WAGNER, SHARON      | SAWTOOTH               | 0.00                 | 29.44               | 0.00               | 29.44             | 97.0 | 28.56                |
| 10/12/2017                            | KOSANKE, CHARLES    | TD AMERITRADE          | 315,593.69           | 1,193.20            | 0.00               | 1,193.20          | 97.0 | 1,157.40             |
| 10/12/2017                            | KOSANKE, CHARLES    | TD AMERITRADE          | 87,512.39            | 330.87              | 0.00               | 330.87            | 97.0 | 320.94               |
| 10/12/2017                            | HIGGINS, CAROL      | TD AMERITRADE          | 307,565.04           | 1,162.85            | 0.00               | 1,162.85          | 97.0 | 1,127.96             |
| 10/12/2017                            | ELLIOT, PATRICIA    | TD AMERITRADE          | 683,311.30           | 2,583.48            | 0.00               | 2,583.48          | 97.0 | 2,505.98             |
| 10/12/2017                            | FARRAR, CHARLES     | TD AMERITRADE          | 394,792.97           | 1,492.64            | 0.00               | 1,492.64          | 97.0 | 1,447.86             |
| 10/12/2017                            | FARRAR, CHARLES     | TD AMERITRADE          | 122,555.81           | 463.36              | 0.00               | 463.36            | 97.0 | 449.46               |
| 10/12/2017                            | CYRULEWSKI, JIM     | TD AMERITRADE          | 515,095.28           | 1,947.48            | 0.00               | 1,947.48          | 97.0 | 1,889.06             |
| 10/12/2017                            | CYRULEWSKI, LOIS    | TD AMERITRADE          | 1,604,883.10         | 6,067.78            | 0.00               | 6,067.78          | 97.0 | 5,885.75             |
| 10/12/2017                            | WAGNER II, HARRY    | TD AMERITRADE          | 846,090.59           | 3,198.92            | 0.00               | 3,198.92          | 97.0 | 3,102.95             |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

| DATE                             | CLIENT                | INVESTMENT    | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|----------------------------------|-----------------------|---------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b> |                       |               |                      |                     |                    |                   |      |                      |
| <b>BROKER: MARK KOSANKE #3</b>   |                       |               |                      |                     |                    |                   |      |                      |
| 10/12/2017                       | WAGNER II, HARRY      | TD AMERITRADE | 220,413.32           | 833.34              | 0.00               | 833.34            | 97.0 | 808.34               |
| 10/12/2017                       | WAGNER II, HARRY      | TD AMERITRADE | 481,429.59           | 1,820.20            | 0.00               | 1,820.20          | 97.0 | 1,765.59             |
| 10/12/2017                       | HIGGINS, RAE          | TD AMERITRADE | 386,696.21           | 1,462.03            | 0.00               | 1,462.03          | 97.0 | 1,418.17             |
| 10/12/2017                       | HIGGINS, RAE          | TD AMERITRADE | 13,836.90            | 52.31               | 0.00               | 52.31             | 97.0 | 50.74                |
| 10/12/2017                       | JOHNSON, RODNEY       | TD AMERITRADE | 534,067.07           | 2,019.21            | 0.00               | 2,019.21          | 97.0 | 1,958.63             |
| 10/12/2017                       | JOHNSON, RODNEY       | TD AMERITRADE | 172.01               | 0.65                | 0.00               | 0.65              | 97.0 | 0.63                 |
| 10/12/2017                       | MAURER, MARTHA        | TD AMERITRADE | 419,333.78           | 1,585.43            | 0.00               | 1,585.43          | 97.0 | 1,537.87             |
| 10/12/2017                       | MAURER, MARTHA        | TD AMERITRADE | 89,892.73            | 339.87              | 0.00               | 339.87            | 97.0 | 329.67               |
| 10/12/2017                       | HINTERLEITER, MARILYN | TD AMERITRADE | 436,489.74           | 1,650.29            | 0.00               | 1,650.29          | 97.0 | 1,600.78             |
| 10/12/2017                       | KRAMER, GERALD        | TD AMERITRADE | 5,120.08             | 19.36               | 0.00               | 19.36             | 97.0 | 18.78                |
| 10/12/2017                       | KRAMER, GERALD        | TD AMERITRADE | 871,868.74           | 3,296.38            | 0.00               | 3,296.38          | 97.0 | 3,197.49             |
| 10/12/2017                       | JACOBS, ROBERT        | TD AMERITRADE | 117,173.42           | 443.01              | 0.00               | 443.01            | 97.0 | 429.72               |
| 10/12/2017                       | JACOBS, ROBERT        | TD AMERITRADE | 3,320.51             | 12.55               | 0.00               | 12.55             | 97.0 | 12.17                |
| 10/12/2017                       | WETZEL, WILLIAM       | TD AMERITRADE | 125,063.52           | 472.84              | 0.00               | 472.84            | 97.0 | 458.65               |
| 10/12/2017                       | BUCK, CHRISTOPHER     | TD AMERITRADE | 92,351.27            | 349.16              | 0.00               | 349.16            | 97.0 | 338.69               |
| 10/12/2017                       | WIRTH, SHARON         | TD AMERITRADE | 95,813.86            | 362.26              | 0.00               | 362.26            | 97.0 | 351.39               |
| 10/12/2017                       | WIRTH, SHARON         | TD AMERITRADE | 33,588.19            | 126.99              | 0.00               | 126.99            | 97.0 | 123.18               |
| 10/12/2017                       | JACKSON, JOHN         | TD AMERITRADE | 7,119.31             | 26.92               | 0.00               | 26.92             | 97.0 | 26.11                |
| 10/12/2017                       | JACKSON, JOHN         | TD AMERITRADE | 181,460.62           | 686.07              | 0.00               | 686.07            | 97.0 | 665.49               |
| 10/12/2017                       | TAUBITZ, MONICA       | TD AMERITRADE | 242,302.03           | 916.10              | 0.00               | 916.10            | 97.0 | 888.62               |
| 10/12/2017                       | TAUBITZ, JAMES        | TD AMERITRADE | 200,127.91           | 756.65              | 0.00               | 756.65            | 97.0 | 733.95               |
| 10/12/2017                       | WILMAN, ANN           | TD AMERITRADE | 65,799.09            | 248.77              | 0.00               | 248.77            | 97.0 | 241.31               |
| 10/12/2017                       | WILMAN, ANN           | TD AMERITRADE | 152,963.42           | 578.33              | 0.00               | 578.33            | 97.0 | 560.98               |
| 10/12/2017                       | BELLINGER, VICKIE     | TD AMERITRADE | 88,468.89            | 334.49              | 0.00               | 334.49            | 97.0 | 324.46               |
| 10/12/2017                       | BELLINGER, GEORGE     | TD AMERITRADE | 224,623.53           | 849.26              | 0.00               | 849.26            | 97.0 | 823.78               |
| 10/12/2017                       | SPEARY, LISA          | TD AMERITRADE | 288,387.56           | 1,090.34            | 0.00               | 1,090.34          | 97.0 | 1,057.63             |
| 10/12/2017                       | SPEARY, LISA          | TD AMERITRADE | 38,753.70            | 146.52              | 0.00               | 146.52            | 97.0 | 142.12               |
| 10/12/2017                       | WETZEL, DIANNE        | TD AMERITRADE | 69,749.38            | 263.71              | 0.00               | 263.71            | 97.0 | 255.80               |
| 10/12/2017                       | CANNON, HENRY         | TD AMERITRADE | 248,889.49           | 941.01              | 0.00               | 941.01            | 97.0 | 912.78               |
| 10/12/2017                       | BERNARDI, MARGARET    | TD AMERITRADE | 194,369.15           | 734.88              | 0.00               | 734.88            | 97.0 | 712.83               |
| 10/12/2017                       | GROBAR, MARY          | TD AMERITRADE | 17,875.82            | 67.59               | 0.00               | 67.59             | 97.0 | 65.56                |
| 10/12/2017                       | GROBAR, MARVIN        | TD AMERITRADE | 483.79               | 1.83                | 0.00               | 1.83              | 97.0 | 1.78                 |
| 10/12/2017                       | BUSZEK, PAUL          | TD AMERITRADE | 18,694.73            | 70.68               | 0.00               | 70.68             | 97.0 | 68.56                |

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|---------------------------------------|--------------------|---------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>      |                    |               |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: MARK KOSANKE #3</u></b> |                    |               |                      |                     |                    |                   |      |                      |
| 10/12/2017                            | BUSZEK, PAUL       | TD AMERITRADE | 57,006.59            | 215.53              | 0.00               | 215.53            | 97.0 | 209.06               |
| 10/12/2017                            | THOMSON, DOUGLAS   | TD AMERITRADE | 103,248.19           | 390.36              | 0.00               | 390.36            | 97.0 | 378.65               |
| 10/12/2017                            | THOMSON, DOUGLAS   | TD AMERITRADE | 251,924.16           | 952.48              | 0.00               | 952.48            | 97.0 | 923.91               |
| 10/12/2017                            | DALTON, GRACE      | TD AMERITRADE | 26,350.26            | 99.63               | 0.00               | 99.63             | 97.0 | 96.64                |
| 10/12/2017                            | WAGNER, MALLORY    | TD AMERITRADE | 155,589.90           | 588.26              | 0.00               | 588.26            | 97.0 | 570.61               |
| 10/12/2017                            | WAGNER II, HARRY   | TD AMERITRADE | 193,046.19           | 729.87              | 0.00               | 729.87            | 97.0 | 707.97               |
| 10/12/2017                            | GOODYEAR, RUTH ANN | TD AMERITRADE | 104,934.38           | 396.74              | 0.00               | 396.74            | 97.0 | 384.84               |
| 10/12/2017                            | BARRATT, ELSIE     | TD AMERITRADE | 49,538.53            | 187.30              | 0.00               | 187.30            | 97.0 | 181.68               |
| 10/12/2017                            | NOSAKOWSKI, BRIAN  | TD AMERITRADE | 203,348.82           | 768.83              | 0.00               | 768.83            | 97.0 | 745.77               |
| 10/12/2017                            | SIMONY, JOAN       | TD AMERITRADE | 65,806.09            | 248.80              | 0.00               | 248.80            | 97.0 | 241.34               |
| 10/12/2017                            | SIMONY, JOAN       | TD AMERITRADE | 193,799.64           | 732.72              | 0.00               | 732.72            | 97.0 | 710.74               |
| 10/12/2017                            | BAUMGART, ROY MARK | TD AMERITRADE | 275,364.16           | 1,041.10            | 0.00               | 1,041.10          | 97.0 | 1,009.87             |
| 10/12/2017                            | BAUMGART, ROY MARK | TD AMERITRADE | 22,724.62            | 85.92               | 0.00               | 85.92             | 97.0 | 83.34                |
| 10/12/2017                            | HENRY, JACQUELINE  | TD AMERITRADE | 58,300.10            | 220.42              | 0.00               | 220.42            | 97.0 | 213.81               |
| 10/12/2017                            | DENGATE, MICHAEL   | TD AMERITRADE | 146,458.15           | 553.73              | 0.00               | 553.73            | 97.0 | 537.12               |
| 10/12/2017                            | DORAN, ANNE        | TD AMERITRADE | 62,299.02            | 235.54              | 0.00               | 235.54            | 97.0 | 228.47               |
| 10/12/2017                            | DORAN, ANNE        | TD AMERITRADE | 151,546.91           | 572.97              | 0.00               | 572.97            | 97.0 | 555.78               |
| 10/12/2017                            | LEDIN, ALICE       | TD AMERITRADE | 380,778.55           | 1,439.66            | 0.00               | 1,439.66          | 97.0 | 1,396.47             |
| 10/12/2017                            | LEDIN, ALICE       | TD AMERITRADE | 172,434.80           | 651.95              | 0.00               | 651.95            | 97.0 | 632.39               |
| 10/12/2017                            | YANIS, ROSANN      | TD AMERITRADE | 160,019.31           | 605.00              | 0.00               | 605.00            | 97.0 | 586.85               |
| 10/12/2017                            | YANIS, ROSANN      | TD AMERITRADE | 234,312.45           | 885.89              | 0.00               | 885.89            | 97.0 | 859.31               |
| 10/12/2017                            | ANDERSON, JUDITH   | TD AMERITRADE | 110,522.49           | 417.87              | 0.00               | 417.87            | 97.0 | 405.33               |
| 10/12/2017                            | ANDERSON, JUDITH   | TD AMERITRADE | 323,311.68           | 1,222.38            | 0.00               | 1,222.38          | 97.0 | 1,185.71             |
| 10/12/2017                            | KELLY, DANIEL      | TD AMERITRADE | 137,554.74           | 520.07              | 0.00               | 520.07            | 97.0 | 504.47               |
| 10/12/2017                            | HIGGINS, CAROL     | TD AMERITRADE | 53,526.75            | 202.38              | 0.00               | 202.38            | 97.0 | 196.31               |
| 10/12/2017                            | BUTCHER, JANICE    | TD AMERITRADE | 203,413.26           | 769.07              | 0.00               | 769.07            | 97.0 | 746.00               |
| 10/12/2017                            | GEISLER, JUDY      | TD AMERITRADE | 25,221.95            | 95.36               | 0.00               | 95.36             | 97.0 | 92.50                |
| 10/12/2017                            | GEISLER, CLEMENT   | TD AMERITRADE | 98,744.24            | 373.33              | 0.00               | 373.33            | 97.0 | 362.13               |
| 10/12/2017                            | KOSANKE, MARK      | TD AMERITRADE | 57,312.55            | 216.69              | 0.00               | 216.69            | 97.0 | 210.19               |
| 10/12/2017                            | KOSANKE, MARK      | TD AMERITRADE | 274,208.93           | 1,036.74            | 0.00               | 1,036.74          | 97.0 | 1,005.64             |
| 10/12/2017                            | KOSANKE, MARK      | TD AMERITRADE | 35,261.75            | 133.32              | 0.00               | 133.32            | 97.0 | 129.32               |
| 10/12/2017                            | KOZIKOWSKI, TERRY  | TD AMERITRADE | 64,280.17            | 243.03              | 0.00               | 243.03            | 97.0 | 235.74               |
| 10/12/2017                            | BARTOI, MIKAELA    | TD AMERITRADE | 113,454.35           | 428.95              | 0.00               | 428.95            | 97.0 | 416.08               |

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|---------------------------------------|-----------------------|---------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>      |                       |               |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: MARK KOSANKE #3</u></b> |                       |               |                      |                     |                    |                   |      |                      |
| 10/12/2017                            | OLSON, DAVID          | TD AMERITRADE | 53,243.91            | 201.31              | 0.00               | 201.31            | 97.0 | 195.27               |
| 10/12/2017                            | OLSON, DAVID          | TD AMERITRADE | 245,205.27           | 927.08              | 0.00               | 927.08            | 97.0 | 899.27               |
| 10/12/2017                            | OLSON, MELINDA        | TD AMERITRADE | 152,281.24           | 575.75              | 0.00               | 575.75            | 97.0 | 558.48               |
| 10/12/2017                            | NACHAZEL, THOMAS      | TD AMERITRADE | 138,879.39           | 525.08              | 0.00               | 525.08            | 97.0 | 509.33               |
| 10/12/2017                            | ELLIOT, JAMES         | TD AMERITRADE | 297,592.64           | 1,125.14            | 0.00               | 1,125.14          | 97.0 | 1,091.39             |
| 10/12/2017                            | GRUSH, MARY           | TD AMERITRADE | 25,390.14            | 96.00               | 0.00               | 96.00             | 97.0 | 93.12                |
| 10/12/2017                            | FRANKS, JACQUELINE    | TD AMERITRADE | 22,910.69            | 86.62               | 0.00               | 86.62             | 97.0 | 84.02                |
| 10/12/2017                            | BLANKENSHIP, JANET    | TD AMERITRADE | 108,894.20           | 411.71              | 0.00               | 411.71            | 97.0 | 399.36               |
| 10/12/2017                            | BLANKENSHIP, JANET    | TD AMERITRADE | 58,720.08            | 222.01              | 0.00               | 222.01            | 97.0 | 215.35               |
| 10/12/2017                            | FERRARI, JACQUELINE   | TD AMERITRADE | 47,788.80            | 180.68              | 0.00               | 180.68            | 97.0 | 175.26               |
| 10/12/2017                            | ZAYID TRUST, GENO     | TD AMERITRADE | 8,359.47             | 31.61               | 0.00               | 31.61             | 97.0 | 30.66                |
| 10/12/2017                            | MARCHESSAULT, CHARLES | TD AMERITRADE | 43,409.23            | 164.12              | 0.00               | 164.12            | 97.0 | 159.20               |
| 10/12/2017                            | MARCHESSAULT, CHARLES | TD AMERITRADE | 75,248.63            | 284.50              | 0.00               | 284.50            | 97.0 | 275.97               |
| 10/12/2017                            | CROWN-, GEORGE        | TD AMERITRADE | 23,055.54            | 87.17               | 0.00               | 87.17             | 97.0 | 84.55                |
| 10/12/2017                            | CROWN, BARBARA        | TD AMERITRADE | 18,457.06            | 69.78               | 0.00               | 69.78             | 97.0 | 67.69                |
| 10/12/2017                            | DUNK, KATHLEEN        | TD AMERITRADE | 33,746.19            | 127.59              | 0.00               | 127.59            | 97.0 | 123.76               |
| 10/12/2017                            | DUNK, THOMAS          | TD AMERITRADE | 40,267.48            | 152.24              | 0.00               | 152.24            | 97.0 | 147.67               |
| 10/12/2017                            | DUNK, THOMAS          | TD AMERITRADE | 81,896.95            | 309.64              | 0.00               | 309.64            | 97.0 | 300.35               |
| 10/12/2017                            | HORLACHER, JOHN       | TD AMERITRADE | 92,865.65            | 351.11              | 0.00               | 351.11            | 97.0 | 340.58               |
| 10/12/2017                            | KEELER, DAVID         | TD AMERITRADE | 53,806.37            | 203.43              | 0.00               | 203.43            | 97.0 | 197.33               |
| 10/12/2017                            | TUCSOK, ALAN          | TD AMERITRADE | 59,164.14            | 223.69              | 0.00               | 223.69            | 97.0 | 216.98               |
| 10/12/2017                            | TUCSOK, LAURA         | TD AMERITRADE | 133,435.32           | 504.50              | 0.00               | 504.50            | 97.0 | 489.37               |
| 10/12/2017                            | FORRESTER, SANDRA     | TD AMERITRADE | 3,921.64             | 14.83               | 0.00               | 14.83             | 97.0 | 14.39                |
| 10/12/2017                            | FORRESTER, SANDRA     | TD AMERITRADE | 23,312.18            | 88.14               | 0.00               | 88.14             | 97.0 | 85.50                |
| 10/12/2017                            | BUCK, CHARLOTTE       | TD AMERITRADE | 235,998.44           | 892.27              | 0.00               | 892.27            | 97.0 | 865.50               |
| 10/12/2017                            | KEELER, SUSAN         | TD AMERITRADE | 209,314.79           | 791.38              | 0.00               | 791.38            | 97.0 | 767.64               |
| 10/12/2017                            | KEELER, JENNIFER      | TD AMERITRADE | 66,406.98            | 251.07              | 0.00               | 251.07            | 97.0 | 243.54               |
| 10/12/2017                            | JEFFRIES, BARBARA     | TD AMERITRADE | 59,164.09            | 223.69              | 0.00               | 223.69            | 97.0 | 216.98               |
| 10/12/2017                            | JEFFRIES, BARBARA     | TD AMERITRADE | 36,430.85            | 137.74              | 0.00               | 137.74            | 97.0 | 133.61               |
| 10/12/2017                            | KOPCZYK, LAWRENCE     | TD AMERITRADE | 39,951.17            | 151.05              | 0.00               | 151.05            | 97.0 | 146.52               |
| 10/12/2017                            | KOPCZYK, LAWRENCE     | TD AMERITRADE | 238,891.99           | 903.21              | 0.00               | 903.21            | 97.0 | 876.11               |
| 10/12/2017                            | MANOLATOS, STEVE      | TD AMERITRADE | 209,093.31           | 790.54              | 0.00               | 790.54            | 97.0 | 766.82               |
| 10/12/2017                            | JOUPPI, SHARON        | TD AMERITRADE | 51,553.99            | 194.92              | 0.00               | 194.92            | 97.0 | 189.07               |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

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| DATE                                  | CLIENT                 | INVESTMENT    | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------------|------------------------|---------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>      |                        |               |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: MARK KOSANKE #3</u></b> |                        |               |                      |                     |                    |                   |      |                      |
| 10/12/2017                            | JOUPPI, SHARON         | TD AMERITRADE | 67,722.46            | 256.05              | 0.00               | 256.05            | 97.0 | 248.37               |
| 10/12/2017                            | JOUPPI, SHARON         | TD AMERITRADE | 43,445.63            | 164.26              | 0.00               | 164.26            | 97.0 | 159.33               |
| 10/12/2017                            | CARLSON, A WAYNE       | TD AMERITRADE | 34,340.33            | 129.83              | 0.00               | 129.83            | 97.0 | 125.94               |
| 10/12/2017                            | CARLSON, A WAYNE       | TD AMERITRADE | 49,615.35            | 187.59              | 0.00               | 187.59            | 97.0 | 181.96               |
| 10/12/2017                            | MATHERS, PAMELA        | TD AMERITRADE | 99,942.71            | 377.87              | 0.00               | 377.87            | 97.0 | 366.53               |
| 10/12/2017                            | MATHERS, PAMELA        | TD AMERITRADE | 16,007.00            | 60.52               | 0.00               | 60.52             | 97.0 | 58.70                |
| 10/12/2017                            | DEMAN, ZAINAB          | TD AMERITRADE | 9,268.47             | 35.04               | 0.00               | 35.04             | 97.0 | 33.99                |
| 10/12/2017                            | DEMAN, KENNETH         | TD AMERITRADE | 144,026.08           | 544.54              | 0.00               | 544.54            | 97.0 | 528.20               |
| 10/12/2017                            | DEMAN, KENNETH         | TD AMERITRADE | 47,383.02            | 179.15              | 0.00               | 179.15            | 97.0 | 173.78               |
| 10/12/2017                            | AMMAN, KATHLEEN        | TD AMERITRADE | 58,358.78            | 220.64              | 0.00               | 220.64            | 97.0 | 214.02               |
| 10/12/2017                            | PISTOLESI, VAUGHN      | TD AMERITRADE | 41,651.79            | 157.48              | 0.00               | 157.48            | 97.0 | 152.76               |
| 10/12/2017                            | HOOTNER-JONES, ANN     | TD AMERITRADE | 44,094.46            | 166.71              | 0.00               | 166.71            | 97.0 | 161.71               |
| 10/12/2017                            | HOOTNER-JONES, ANN     | TD AMERITRADE | 106,549.22           | 402.84              | 0.00               | 402.84            | 97.0 | 390.75               |
| 10/12/2017                            | ZAYID, MAGDOLEEN       | TD AMERITRADE | 115,359.73           | 436.15              | 0.00               | 436.15            | 97.0 | 423.07               |
| 10/12/2017                            | VOSHEL, JOHN           | TD AMERITRADE | 443,055.28           | 1,675.11            | 0.00               | 1,675.11          | 97.0 | 1,624.86             |
| 10/12/2017                            | ROLLINGS, DEBORAH      | TD AMERITRADE | 250,180.14           | 945.89              | 0.00               | 945.89            | 97.0 | 917.51               |
| 10/12/2017                            | MULRATH, JOHN          | TD AMERITRADE | 24,645.03            | 93.18               | 0.00               | 93.18             | 97.0 | 90.38                |
| 10/12/2017                            | SHEARIN, DEAN          | TD AMERITRADE | 62,319.86            | 235.62              | 0.00               | 235.62            | 97.0 | 228.55               |
| 10/12/2017                            | SHEARIN, DEAN          | TD AMERITRADE | 121,629.98           | 459.86              | 0.00               | 459.86            | 97.0 | 446.06               |
| 10/12/2017                            | SHEARIN, CAROL         | TD AMERITRADE | 52,143.49            | 197.15              | 0.00               | 197.15            | 97.0 | 191.24               |
| 10/12/2017                            | SHEARIN, CAROL         | TD AMERITRADE | 95,957.70            | 362.80              | 0.00               | 362.80            | 97.0 | 351.92               |
| 10/12/2017                            | GRAFFA-, B             | TD AMERITRADE | 66,000.73            | 249.54              | 0.00               | 249.54            | 97.0 | 242.05               |
| 10/12/2017                            | SMITH, MARTHA          | TD AMERITRADE | 70,981.42            | 268.37              | 0.00               | 268.37            | 97.0 | 260.32               |
| 10/12/2017                            | KUCHAREK, RICHARD      | TD AMERITRADE | 81,332.28            | 307.50              | 0.00               | 307.50            | 97.0 | 298.28               |
| 10/12/2017                            | KUCHAREK, RICHARD      | TD AMERITRADE | 49,720.81            | 187.99              | 0.00               | 187.99            | 97.0 | 182.35               |
| 10/12/2017                            | HALSEY, DEBRA          | TD AMERITRADE | 104,224.82           | 394.06              | 0.00               | 394.06            | 97.0 | 382.24               |
| 10/12/2017                            | PRENKOCEVIC, DOKO      | TD AMERITRADE | 51,369.24            | 194.22              | 0.00               | 194.22            | 97.0 | 188.39               |
| 10/12/2017                            | PRENKOCEVIC, DOKO      | TD AMERITRADE | 55,058.50            | 208.17              | 0.00               | 208.17            | 97.0 | 201.92               |
| 10/12/2017                            | FIRNSCHILD, DEE        | TD AMERITRADE | 173,203.93           | 654.85              | 0.00               | 654.85            | 97.0 | 635.20               |
| 10/12/2017                            | REINHARDT, KATHLEEN    | TD AMERITRADE | 82,303.80            | 311.18              | 0.00               | 311.18            | 97.0 | 301.84               |
| 10/12/2017                            | ORTAGO, PAULA          | TD AMERITRADE | 59,452.90            | 224.78              | 0.00               | 224.78            | 97.0 | 218.04               |
| 10/12/2017                            | SCHRYBURT, BETHANY     | TD AMERITRADE | 2,166.70             | 8.19                | 0.00               | 8.19              | 97.0 | 7.94                 |
| 10/12/2017                            | MULRATH, LOIS STELLUTE | TD AMERITRADE | 57,374.15            | 216.92              | 0.00               | 216.92            | 97.0 | 210.41               |

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| DATE                                  | CLIENT                  | INVESTMENT    | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------------|-------------------------|---------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>      |                         |               |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: MARK KOSANKE #3</u></b> |                         |               |                      |                     |                    |                   |      |                      |
| 10/12/2017                            | MULRATH, LOIS STELLUTE  | TD AMERITRADE | 36,528.93            | 138.11              | 0.00               | 138.11            | 97.0 | 133.97               |
| 10/12/2017                            | TRBOVICH, MARY          | TD AMERITRADE | 17,949.14            | 67.86               | 0.00               | 67.86             | 97.0 | 65.82                |
| 10/12/2017                            | CARMODY, WILLIAM        | TD AMERITRADE | 44,351.97            | 167.69              | 0.00               | 167.69            | 97.0 | 162.66               |
| 10/12/2017                            | ZEGARSKI, DONALD        | TD AMERITRADE | 5,757.79             | 21.77               | 0.00               | 21.77             | 97.0 | 21.12                |
| 10/12/2017                            | MIERAS-HESSLER, DEBORAH | TD AMERITRADE | 38,691.51            | 146.29              | 0.00               | 146.29            | 97.0 | 141.90               |
| 10/12/2017                            | LOURIA, PHYLLIS         | TD AMERITRADE | 65,006.70            | 245.78              | 0.00               | 245.78            | 97.0 | 238.41               |
| 10/12/2017                            | LIESIK, PATRICIA        | TD AMERITRADE | 55,821.27            | 211.05              | 0.00               | 211.05            | 97.0 | 204.72               |
| 10/12/2017                            | LIESIK, PATRICIA        | TD AMERITRADE | 71,663.22            | 270.95              | 0.00               | 270.95            | 97.0 | 262.82               |
| 10/12/2017                            | SARKISIAN, NORAY        | TD AMERITRADE | 21,047.11            | 79.58               | 0.00               | 79.58             | 97.0 | 77.19                |
| 10/12/2017                            | WEBSTER, LASSIE         | TD AMERITRADE | 35,804.27            | 135.37              | 0.00               | 135.37            | 97.0 | 131.31               |
| 10/12/2017                            | OMELIANOFF, SHANNON     | TD AMERITRADE | 46,530.90            | 175.93              | 0.00               | 175.93            | 97.0 | 170.65               |
| 10/12/2017                            | MCALISTER, KATHLEEN     | TD AMERITRADE | 65,248.28            | 246.69              | 0.00               | 246.69            | 97.0 | 239.29               |
| 10/12/2017                            | MCALISTER, KATHLEEN     | TD AMERITRADE | 98,846.66            | 373.72              | 0.00               | 373.72            | 97.0 | 362.51               |
| 10/12/2017                            | FEWLESS, SCOTT          | TD AMERITRADE | 33,828.36            | 127.90              | 0.00               | 127.90            | 97.0 | 124.06               |
| 10/12/2017                            | MILLER, RAYMOND         | TD AMERITRADE | 52,284.15            | 197.68              | 0.00               | 197.68            | 97.0 | 191.75               |
| 10/12/2017                            | MULDOON, SHEILA         | TD AMERITRADE | 44,468.90            | 168.13              | 0.00               | 168.13            | 97.0 | 163.09               |
| 10/12/2017                            | WEYCKER, EDWARD         | TD AMERITRADE | 21,536.35            | 81.43               | 0.00               | 81.43             | 97.0 | 78.99                |
| 10/12/2017                            | WEYCKER, BARBARA        | TD AMERITRADE | 82,827.20            | 313.15              | 0.00               | 313.15            | 97.0 | 303.76               |
| 10/12/2017                            | WEYCKER, BARBARA        | TD AMERITRADE | 65,271.20            | 246.78              | 0.00               | 246.78            | 97.0 | 239.38               |
| 10/12/2017                            | KNIGHT, SHARON          | TD AMERITRADE | 78,604.12            | 297.19              | 0.00               | 297.19            | 97.0 | 288.27               |
| 10/12/2017                            | SCHROETER, MARY KAY     | TD AMERITRADE | 110,257.22           | 416.86              | 0.00               | 416.86            | 97.0 | 404.35               |
| 10/12/2017                            | SCHROETER, RICHARD      | TD AMERITRADE | 11,951.19            | 45.19               | 0.00               | 45.19             | 97.0 | 43.83                |
| 10/12/2017                            | BAKER, GENE             | TD AMERITRADE | 62,002.75            | 234.42              | 0.00               | 234.42            | 97.0 | 227.39               |
| 10/12/2017                            | BAKER, GENE             | TD AMERITRADE | 100,290.92           | 379.18              | 0.00               | 379.18            | 97.0 | 367.80               |
| 10/12/2017                            | HILDEBRAND, CHRISTINE   | TD AMERITRADE | 65,226.62            | 246.61              | 0.00               | 246.61            | 97.0 | 239.21               |
| 10/12/2017                            | MOORE, SUSAN ANN PRIES  | TD AMERITRADE | 74,724.15            | 282.52              | 0.00               | 282.52            | 97.0 | 274.04               |
| 10/12/2017                            | ROGERS, DONNA           | TD AMERITRADE | 38,242.25            | 144.59              | 0.00               | 144.59            | 97.0 | 140.25               |
| 10/12/2017                            | ROGERS, THOMAS          | TD AMERITRADE | 22,475.52            | 84.98               | 0.00               | 84.98             | 97.0 | 82.43                |
| 10/12/2017                            | KRONNER, DAVID          | TD AMERITRADE | 154,818.03           | 585.34              | 0.00               | 585.34            | 97.0 | 567.78               |
| 10/12/2017                            | KOLLINGER, ROBERT       | TD AMERITRADE | 35,621.52            | 134.68              | 0.00               | 134.68            | 97.0 | 130.64               |
| 10/12/2017                            | WEHLER, JAMES           | TD AMERITRADE | 223,205.01           | 843.90              | 0.00               | 843.90            | 97.0 | 818.58               |
| 10/12/2017                            | WEHLER, MARY ANN        | TD AMERITRADE | 28,350.19            | 107.19              | 0.00               | 107.19            | 97.0 | 103.97               |
| 10/12/2017                            | WEHLER, JAMES           | TD AMERITRADE | 39,941.21            | 151.01              | 0.00               | 151.01            | 97.0 | 146.48               |

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|----------------------------------|-------------------------------|---------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b> |                               |               |                      |                     |                    |                   |      |                      |
| <b>BROKER: MARK KOSANKE #3</b>   |                               |               |                      |                     |                    |                   |      |                      |
| 10/12/2017                       | QUICK, ELAINE                 | TD AMERITRADE | 140,175.59           | 529.98              | 0.00               | 529.98            | 97.0 | 514.08               |
| 10/12/2017                       | MATT, GERALD                  | TD AMERITRADE | 151,094.81           | 571.26              | 0.00               | 571.26            | 97.0 | 554.12               |
| 10/12/2017                       | ALLEN, CLAUDIA                | TD AMERITRADE | 209,813.21           | 793.27              | 0.00               | 793.27            | 97.0 | 769.47               |
| 10/12/2017                       | THOMAS, DEBORAH               | TD AMERITRADE | 61,366.26            | 232.01              | 0.00               | 232.01            | 97.0 | 225.05               |
| 10/12/2017                       | HOVIS, BARBARA                | TD AMERITRADE | 132,757.92           | 501.93              | 0.00               | 501.93            | 97.0 | 486.87               |
| 10/12/2017                       | REINHARDT, RICHARD            | TD AMERITRADE | 49,590.01            | 187.49              | 0.00               | 187.49            | 97.0 | 181.87               |
| 10/12/2017                       | WARREN, DENISE                | TD AMERITRADE | 93,607.62            | 353.91              | 0.00               | 353.91            | 97.0 | 343.29               |
| 10/12/2017                       | DINKA, JENNA                  | TD AMERITRADE | 32,074.00            | 121.27              | 0.00               | 121.27            | 97.0 | 117.63               |
| 10/12/2017                       | DINKA, DAVID                  | TD AMERITRADE | 32,939.87            | 124.54              | 0.00               | 124.54            | 97.0 | 120.80               |
| 10/12/2017                       | KUCHAREK, NORMA               | TD AMERITRADE | 104,334.40           | 394.47              | 0.00               | 394.47            | 97.0 | 382.64               |
| 10/12/2017                       | ANDERSON, ARTHUR              | TD AMERITRADE | 66,066.39            | 249.79              | 0.00               | 249.79            | 97.0 | 242.30               |
| 10/12/2017                       | ANDERSON, SHARON              | TD AMERITRADE | 60,771.97            | 229.77              | 0.00               | 229.77            | 97.0 | 222.88               |
| 10/12/2017                       | NEIRINCK, DONNA               | TD AMERITRADE | 91,505.21            | 345.96              | 0.00               | 345.96            | 97.0 | 335.58               |
| 10/12/2017                       | RIOS-DORIA, H. WALTER         | TD AMERITRADE | 107,040.36           | 404.70              | 0.00               | 404.70            | 97.0 | 392.56               |
| 10/12/2017                       | RIOS-DORIA, H                 | TD AMERITRADE | 36,014.72            | 136.17              | 0.00               | 136.17            | 97.0 | 132.08               |
| 10/12/2017                       | TRBOVICH, NICK                | TD AMERITRADE | 14,577.14            | 55.11               | 0.00               | 55.11             | 97.0 | 53.46                |
| 10/12/2017                       | WHITEFOOT, C DONALD           | TD AMERITRADE | 50,339.77            | 190.33              | 0.00               | 190.33            | 97.0 | 184.62               |
| 10/12/2017                       | FLAHERTY, JUDY                | TD AMERITRADE | 6,414.28             | 24.25               | 0.00               | 24.25             | 97.0 | 23.52                |
| 10/12/2017                       | LOPICCOLO, CATHERINE          | TD AMERITRADE | 152,370.46           | 576.09              | 0.00               | 576.09            | 97.0 | 558.81               |
| 10/12/2017                       | CYRULEWSKI, JIM               | TD AMERITRADE | 1,086,221.32         | 4,106.81            | 0.00               | 4,106.81          | 97.0 | 3,983.61             |
| 10/12/2017                       | SIMONY TRUST, JOSEPH & REGINA | TD AMERITRADE | 28,566.44            | 108.00              | 0.00               | 108.00            | 97.0 | 104.76               |
| 10/12/2017                       | CHAPP, DANIEL                 | TD AMERITRADE | 62,082.84            | 234.72              | 0.00               | 234.72            | 97.0 | 227.68               |
| 10/12/2017                       | KREUCHER, TERESA              | TD AMERITRADE | 59,833.70            | 226.22              | 0.00               | 226.22            | 97.0 | 219.43               |
| 10/12/2017                       | MCDONALD, VICKI               | TD AMERITRADE | 37,663.07            | 142.40              | 0.00               | 142.40            | 97.0 | 138.13               |
| 10/12/2017                       | MCDONALD, CRAIG               | TD AMERITRADE | 99,954.89            | 377.91              | 0.00               | 377.91            | 97.0 | 366.57               |
| 10/12/2017                       | WETZEL, ROBERT                | TD AMERITRADE | 33,373.72            | 126.18              | 0.00               | 126.18            | 97.0 | 122.39               |
| 10/12/2017                       | SOBIESKI, JOYCE               | TD AMERITRADE | 45,356.63            | 171.49              | 0.00               | 171.49            | 97.0 | 166.35               |
| 10/12/2017                       | MERREN, MICHAEL               | TD AMERITRADE | 24,479.12            | 92.55               | 0.00               | 92.55             | 97.0 | 89.77                |
| 10/12/2017                       | KOSANKE, CHARLES              | TD AMERITRADE | 47,206.15            | 178.48              | 0.00               | 178.48            | 97.0 | 173.13               |
| 10/12/2017                       | KOSANKE, CHARLES              | TD AMERITRADE | 68,887.40            | 260.45              | 0.00               | 260.45            | 97.0 | 252.64               |
| 10/12/2017                       | UTTERBACK, EDWARD             | TD AMERITRADE | 32,019.87            | 121.06              | 0.00               | 121.06            | 97.0 | 117.43               |
| 10/12/2017                       | UTTERBACK, JANIS              | TD AMERITRADE | 84,212.41            | 318.39              | 0.00               | 318.39            | 97.0 | 308.84               |
| 10/12/2017                       | MOSELEY, JAMES                | TD AMERITRADE | 924.42               | 3.50                | 0.00               | 3.50              | 97.0 | 3.40                 |

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|---------------------------------------|-----------------------|---------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>      |                       |               |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: MARK KOSANKE #3</u></b> |                       |               |                      |                     |                    |                   |      |                      |
| 10/12/2017                            | NEED, GREGORY         | TD AMERITRADE | 3,216.01             | 12.16               | 0.00               | 12.16             | 97.0 | 11.80                |
| 10/12/2017                            | YANIS, MATTHEW        | TD AMERITRADE | 304,177.24           | 1,150.04            | 0.00               | 1,150.04          | 97.0 | 1,115.54             |
| 10/12/2017                            | YANIS, MATTHEW        | TD AMERITRADE | 84,687.34            | 320.19              | 0.00               | 320.19            | 97.0 | 310.58               |
| 10/12/2017                            | YANIS, PAMELA         | TD AMERITRADE | 57,436.83            | 217.16              | 0.00               | 217.16            | 97.0 | 210.65               |
| 10/12/2017                            | DINKA, STEPHEN        | TD AMERITRADE | 49,510.28            | 187.19              | 0.00               | 187.19            | 97.0 | 181.57               |
| 10/12/2017                            | EVANS, ROBERT         | TD AMERITRADE | 286,251.99           | 1,082.27            | 0.00               | 1,082.27          | 97.0 | 1,049.80             |
| 10/12/2017                            | SOSSI, ROBERT         | TD AMERITRADE | 86,754.29            | 328.00              | 0.00               | 328.00            | 97.0 | 318.16               |
| 10/12/2017                            | RILEY, ROBERT         | TD AMERITRADE | 168,578.70           | 637.37              | 0.00               | 637.37            | 97.0 | 618.25               |
| 10/12/2017                            | DOUTSAS, ALEXANDER    | TD AMERITRADE | 82,483.96            | 311.86              | 0.00               | 311.86            | 97.0 | 302.50               |
| 10/12/2017                            | ZIMMEL, DOROTHY       | TD AMERITRADE | 44,320.13            | 167.57              | 0.00               | 167.57            | 97.0 | 162.54               |
| 10/12/2017                            | TRYNAN, SHELLY        | TD AMERITRADE | 403,697.09           | 1,526.31            | 0.00               | 1,526.31          | 97.0 | 1,480.52             |
| 10/12/2017                            | TAMM, JAMES           | TD AMERITRADE | 104,690.48           | 395.82              | 0.00               | 395.82            | 97.0 | 383.95               |
| 10/12/2017                            | MERRITT, TERRI        | TD AMERITRADE | 91,768.54            | 346.96              | 0.00               | 346.96            | 97.0 | 336.55               |
| 10/12/2017                            | AUGUSTYN, RICHARD     | TD AMERITRADE | 182,221.33           | 688.95              | 0.00               | 688.95            | 97.0 | 668.28               |
| 10/12/2017                            | TINGLE, CURTIS        | TD AMERITRADE | 519,780.80           | 1,965.20            | 0.00               | 1,965.20          | 97.0 | 1,906.24             |
| 10/12/2017                            | KLEPAK, CHERYL        | TD AMERITRADE | 26,194.59            | 99.04               | 0.00               | 99.04             | 97.0 | 96.07                |
| 10/12/2017                            | STAMPER, JANET        | TD AMERITRADE | 45,172.99            | 170.79              | 0.00               | 170.79            | 97.0 | 165.67               |
| 10/12/2017                            | STAMPER, JANET        | TD AMERITRADE | 69,635.88            | 263.28              | 0.00               | 263.28            | 97.0 | 255.38               |
| 10/12/2017                            | PARKE, WALTER         | TD AMERITRADE | 13,100.85            | 49.53               | 0.00               | 49.53             | 97.0 | 48.04                |
| 10/12/2017                            | GRUSH, ERNEST         | TD AMERITRADE | 103,715.49           | 392.13              | 0.00               | 392.13            | 97.0 | 380.37               |
| 10/12/2017                            | GRUSH, ERNEST         | TD AMERITRADE | 669,670.43           | 2,531.90            | 0.00               | 2,531.90          | 97.0 | 2,455.94             |
| 10/12/2017                            | WAGNER, SHARON        | TD AMERITRADE | 110,329.45           | 417.14              | 0.00               | 417.14            | 97.0 | 404.63               |
| 10/12/2017                            | GRAVES, ANNE          | TD AMERITRADE | 51,640.73            | 195.24              | 0.00               | 195.24            | 97.0 | 189.38               |
| 10/12/2017                            | ANGELELLI, TINA       | TD AMERITRADE | 17,134.20            | 64.78               | 0.00               | 64.78             | 97.0 | 62.84                |
| 10/12/2017                            | AENIS, JEFFREY        | TD AMERITRADE | 19,267.01            | 72.85               | 0.00               | 72.85             | 97.0 | 70.66                |
| 10/12/2017                            | JACOB, ANITA          | TD AMERITRADE | 110,862.37           | 419.15              | 0.00               | 419.15            | 97.0 | 406.58               |
| 10/12/2017                            | JACOB, KENNETH        | TD AMERITRADE | 71,545.16            | 270.50              | 0.00               | 270.50            | 97.0 | 262.39               |
| 10/12/2017                            | POKROPOWICZ, LAWRENCE | TD AMERITRADE | 35,280.43            | 133.39              | 0.00               | 133.39            | 97.0 | 129.39               |
| 10/12/2017                            | FELTZ, PATRICIA       | TD AMERITRADE | 20,489.72            | 77.47               | 0.00               | 77.47             | 97.0 | 75.15                |
| 10/12/2017                            | MATAR, ROBERTG        | TD AMERITRADE | 34,739.71            | 131.34              | 0.00               | 131.34            | 97.0 | 127.40               |
| 10/12/2017                            | DAMORE, MONICA        | TD AMERITRADE | 79,612.78            | 301.00              | 0.00               | 301.00            | 97.0 | 291.97               |
| 10/12/2017                            | KOSANKE, MARK         | TD AMERITRADE | 46,974.37            | 177.60              | 0.00               | 177.60            | 97.0 | 172.27               |
| 10/12/2017                            | KLEIN, ANNA           | TD AMERITRADE | 281,495.26           | 1,064.28            | 0.00               | 1,064.28          | 97.0 | 1,032.35             |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed



| DATE                                  | CLIENT                       | INVESTMENT    | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------------|------------------------------|---------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>      |                              |               |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: MARK KOSANKE #3</u></b> |                              |               |                      |                     |                    |                   |      |                      |
| 10/12/2017                            | TAMM, JAMES                  | TD AMERITRADE | 12,893.56            | 48.75               | 0.00               | 48.75             | 97.0 | 47.29                |
| 10/12/2017                            | COOPER, IAN                  | TD AMERITRADE | 152,382.27           | 576.13              | 0.00               | 576.13            | 97.0 | 558.85               |
| 10/12/2017                            | ROSIN, KIRK                  | TD AMERITRADE | 214,811.09           | 812.16              | 0.00               | 812.16            | 97.0 | 787.80               |
| 10/12/2017                            | ROSIN, KIRK                  | TD AMERITRADE | 228,770.55           | 864.94              | 0.00               | 864.94            | 97.0 | 838.99               |
| 10/12/2017                            | PUTZ TRUST, MARILYNN         | TD AMERITRADE | 138,507.29           | 523.67              | 0.00               | 523.67            | 97.0 | 507.96               |
| 10/12/2017                            | SCALF, MARSHA                | TD AMERITRADE | 101,265.10           | 382.87              | 0.00               | 382.87            | 97.0 | 371.38               |
| 10/12/2017                            | EICHENLAUB, TRACEY           | TD AMERITRADE | 99,012.49            | 374.35              | 0.00               | 374.35            | 97.0 | 363.12               |
| 10/12/2017                            | KRASS, DELORES               | TD AMERITRADE | 30,918.76            | 116.90              | 0.00               | 116.90            | 97.0 | 113.39               |
| 10/12/2017                            | JONES, GERALD                | TD AMERITRADE | 141,711.69           | 535.79              | 0.00               | 535.79            | 97.0 | 519.72               |
| 10/12/2017                            | JONES, GERALD                | TD AMERITRADE | 91,773.14            | 346.98              | 0.00               | 346.98            | 97.0 | 336.57               |
| 10/12/2017                            | HAZARD, JOHN                 | TD AMERITRADE | 84,079.44            | 317.89              | 0.00               | 317.89            | 97.0 | 308.35               |
| 10/12/2017                            | HAZARD, JOHN                 | TD AMERITRADE | 148,672.00           | 562.10              | 0.00               | 562.10            | 97.0 | 545.24               |
| 10/12/2017                            | JACKSON, KAY                 | TD AMERITRADE | 102,224.11           | 386.49              | 0.00               | 386.49            | 97.0 | 374.90               |
| 10/12/2017                            | MURDOCK, DANIELLE            | TD AMERITRADE | 14,848.83            | 56.14               | 0.00               | 56.14             | 97.0 | 54.46                |
| 10/12/2017                            | KLEIN TRUST, NICHOLAS        | TD AMERITRADE | 487,824.76           | 1,844.38            | 0.00               | 1,844.38          | 97.0 | 1,789.05             |
| 10/12/2017                            | SFAIR, CHRISTINE             | TD AMERITRADE | 269,356.37           | 1,018.39            | 0.00               | 1,018.39          | 97.0 | 987.84               |
| 10/12/2017                            | WATKINS, KATHRYN             | TD AMERITRADE | 18,117.69            | 68.50               | 0.00               | 68.50             | 97.0 | 66.45                |
| 10/12/2017                            | MAZZARA, SUSAN               | TD AMERITRADE | 137,494.94           | 519.84              | 0.00               | 519.84            | 97.0 | 504.24               |
| 10/12/2017                            | KNIGHT, SHARON               | TD AMERITRADE | 140,263.94           | 530.31              | 0.00               | 530.31            | 97.0 | 514.40               |
| 10/12/2017                            | ROSS TRUST, PAMELA           | TD AMERITRADE | 90,767.34            | 343.18              | 0.00               | 343.18            | 97.0 | 332.88               |
| 10/12/2017                            | FORKIN, CARLA                | TD AMERITRADE | 98,453.12            | 372.23              | 0.00               | 372.23            | 97.0 | 361.06               |
| 10/12/2017                            | FORKIN, JOHN                 | TD AMERITRADE | 43,025.63            | 162.67              | 0.00               | 162.67            | 97.0 | 157.79               |
| 10/12/2017                            | FORKIN, JOHN                 | TD AMERITRADE | 8,961.20             | 33.88               | 0.00               | 33.88             | 97.0 | 32.86                |
| 10/12/2017                            | WERNER, DOROTHY              | TD AMERITRADE | 249,993.17           | 945.18              | 0.00               | 945.18            | 97.0 | 916.82               |
| 10/12/2017                            | HESTER, RICHARD              | TD AMERITRADE | 60,293.21            | 227.96              | 0.00               | 227.96            | 97.0 | 221.12               |
| 10/12/2017                            | RONDO, DENISE                | TD AMERITRADE | 28,850.02            | 109.08              | 0.00               | 109.08            | 97.0 | 105.81               |
| 10/12/2017                            | MERRITT, DOUGLAS             | TD AMERITRADE | 29,886.60            | 113.00              | 0.00               | 113.00            | 97.0 | 109.61               |
| 10/12/2017                            | ROEHR TRUST, MICHAEL & GINGE | TD AMERITRADE | 53,216.86            | 201.20              | 0.00               | 201.20            | 97.0 | 195.16               |
| 10/12/2017                            | JOHNSON, DONNA               | TD AMERITRADE | 43,727.61            | 165.33              | 0.00               | 165.33            | 97.0 | 160.37               |
| 10/12/2017                            | DAMEC, JOEL                  | TD AMERITRADE | 51,492.79            | 194.69              | 0.00               | 194.69            | 97.0 | 188.85               |
| 10/12/2017                            | MUENCH, COLLEEN              | TD AMERITRADE | 9,208.93             | 34.82               | 0.00               | 34.82             | 97.0 | 33.78                |
| 10/12/2017                            | LEGGE, GERALD                | TD AMERITRADE | 82,232.66            | 310.91              | 0.00               | 310.91            | 97.0 | 301.58               |
| 10/12/2017                            | WETZEL, ROBERT               | TD AMERITRADE | 77,088.67            | 291.46              | 0.00               | 291.46            | 97.0 | 282.72               |

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\*\* - Indicates adjustment is not included in Totals or Commissions

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| DATE                                  | CLIENT               | INVESTMENT                          | INVESTMENT<br>AMOUNT   | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION   | RATE | BROKER<br>COMMISSION |
|---------------------------------------|----------------------|-------------------------------------|------------------------|---------------------|--------------------|---------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>      |                      |                                     |                        |                     |                    |                     |      |                      |
| <b><u>BROKER: MARK KOSANKE #3</u></b> |                      |                                     |                        |                     |                    |                     |      |                      |
| 10/12/2017                            | SCHULTZ, DEBRA       | TD AMERITRADE                       | 105,412.03             | 342.23              | 0.00               | 342.23              | 97.0 | 331.96               |
| 10/12/2017                            | KEELER, ERIN         | TD AMERITRADE                       | 179,932.90             | 576.77              | 0.00               | 576.77              | 97.0 | 559.47               |
| 10/12/2017                            | YU, KWEI             | TD AMERITRADE                       | 29,699.27              | 83.00               | 0.00               | 83.00               | 97.0 | 80.51                |
| 10/12/2017                            | ELLIOT, JAMES        | TD AMERITRADE                       | 24,279.38              | 67.85               | 0.00               | 67.85               | 97.0 | 65.81                |
| 10/12/2017                            | BERDESKI, AUDREY     | TD AMERITRADE                       | 25,517.45              | 63.97               | 0.00               | 63.97               | 97.0 | 62.05                |
| 10/12/2017                            | YU, YI TI PAUL       | TD AMERITRADE                       | 22,895.35              | 50.81               | 0.00               | 50.81               | 97.0 | 49.29                |
| 10/12/2017                            | CHRONOWSKI, THEODORA | TD AMERITRADE                       | 38,281.98              | 39.33               | 0.00               | 39.33               | 97.0 | 38.15                |
| 10/12/2017                            | STAFFORD, HAROLD     | TD AMERITRADE                       | 24,930.19              | 18.44               | 0.00               | 18.44               | 97.0 | 17.89                |
| 10/12/2017                            | BERDESKI, AUDREY     | TD AMERITRADE                       | 35,738.78              | 22.03               | 0.00               | 22.03               | 97.0 | 21.37                |
| 10/12/2017                            | DAMIANO, RUTH        | TD AMERITRADE                       | 345,967.10             | 170.61              | 0.00               | 170.61              | 97.0 | 165.49               |
| * MARK KOSANKE SUBTOTAL *             |                      |                                     | <b>\$35,267,572.60</b> | <b>\$132,917.95</b> | <b>\$0.00</b>      | <b>\$132,917.95</b> |      | <b>\$128,930.43</b>  |
| *** SPLIT TRANSACTIONS TOTAL ***      |                      |                                     | <b>\$35,267,572.60</b> | <b>\$132,917.95</b> | <b>\$0.00</b>      | <b>\$132,917.95</b> |      | <b>\$128,930.43</b>  |
| <b><u>ADJUSTMENTS</u></b>             |                      |                                     |                        |                     |                    |                     |      |                      |
| 10/31/2017                            |                      | *MANAGED FEE EXPENSE: SAWTOOTH ACCT | 0.00                   |                     |                    |                     |      | -5.79                |
| *** ADJUSTMENTS TOTAL ***             |                      |                                     | <b>\$0.00</b>          | <b>\$0.00</b>       | <b>\$0.00</b>      | <b>\$0.00</b>       |      | <b>\$-5.79</b>       |

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\*\* - Indicates adjustment is not included in Totals or Commissions

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**BROKER COMMISSION TOTALS**

|                       |              |
|-----------------------|--------------|
| Broker Transactions   | \$0.00       |
| Split Transactions    | \$128,930.43 |
| Override Transactions | \$0.00       |
| Adjustments           | \$-5.79      |
| Payroll Draw          | \$0.00       |
| Base Salary           | \$0.00       |
| Prior Period Balance  | \$-40.51     |
| FINRA Assessment      | \$0.00       |
| SIPC Assessment       | \$0.00       |

|                       |              |
|-----------------------|--------------|
| Check Amount          | \$128,884.13 |
| Year-to-date Gross    | \$463,541.09 |
| Year-to-date Earnings | \$449,440.84 |