



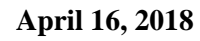
COMMISSION STATEMENT

April 16, 2018

DAVID BLOCK
250 West 57th St Suite 614
New York, NY 10107

BROKER #: B19
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/01/2018	***-**-6850 - BORIO, RENEE	AIM CHARTER FUND - CLASS A	0.00	0.66	0.00	0.66	85.0	0.56
	ACCOUNT #: 03810391528	BUY 0 Units @0.0000						
04/01/2018	***-**-81IN - BLOCK, DAVID	AIM CHARTER FUND - CLASS A	0.00	2.42	0.00	2.42	85.0	2.06
	ACCOUNT #: 03910195175	BUY 0 Units @0.0000						
04/01/2018	***-**-81IN - BLOCK, DAVID	AIM MID-CAP EQUITY FUND CLASS A	0.00	22.07	0.00	22.07	85.0	18.76
	ACCOUNT #: 05061216973	BUY 0 Units @0.0000						
04/01/2018	***-**-81IN - BLOCK, DAVID	AIM SMALL CAP GROWTH FUND - CLASS A	0.00	30.45	0.00	30.45	85.0	25.88
	ACCOUNT #: 05061216973	BUY 0 Units @0.0000						
04/16/2018	***-**-07IO - WALSH, DANIEL	CALVERT AGGRESSIVE ALLOCATION FUND-	0.00	0.33	0.00	0.33	85.0	0.28
	ACCOUNT #: LSR012529	BUY 1 Units @0.0000						
04/16/2018	***-**-07IO - WALSH, DANIEL	CALVERT CAPITAL ACCUMULATION - A	0.00	0.42	0.00	0.42	85.0	0.36
	ACCOUNT #: LSR012529	BUY 1 Units @0.0000						
04/16/2018	***-**-07IO - WALSH, DANIEL	CALVERT CONSERVATIVE ALLOCATION FUN	0.00	0.16	0.00	0.16	85.0	0.14
	ACCOUNT #: LSR012529	BUY 1 Units @0.0000						
04/16/2018	***-**-07IO - WALSH, DANIEL	CALVERT GLOBAL ALTERNATIVE ENERGY F	0.00	0.08	0.00	0.08	85.0	0.07
	ACCOUNT #: LSR012529	BUY 1 Units @0.0000						
04/16/2018	***-**-07IO - WALSH, DANIEL	CALVERT GLOBAL WATER FUND CLASS A	0.00	0.12	0.00	0.12	85.0	0.10
	ACCOUNT #: LSR012529	BUY 1 Units @0.0000						
04/01/2018	***-**-6850 - BORIO, RENEE	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	0.45	0.00	0.45	85.0	0.38
	ACCOUNT #: 03810391528	BUY 0 Units @0.0000						
04/01/2018	***-**-81IN - BLOCK, DAVID	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	1.43	0.00	1.43	85.0	1.22
	ACCOUNT #: 03910195175	BUY 0 Units @0.0000						
04/01/2018	***-**-81IN - BLOCK, DAVID	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	61.41	0.00	61.41	85.0	52.20
	ACCOUNT #: 05061216973	BUY 0 Units @0.0000						
04/01/2018	***-**-6850 - BORIO, RENEE	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	1.23	0.00	1.23	85.0	1.05
	ACCOUNT #: 03810391528	BUY 0 Units @0.0000						
04/01/2018	***-**-81IN - BLOCK, DAVID	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	31.11	0.00	31.11	85.0	26.44
	ACCOUNT #: 05061216973	BUY 0 Units @0.0000						
04/16/2018	***-**-38IN - BODDEN, CAROLYNE	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	0.37	0.00	0.37	85.0	0.31
	ACCOUNT #: LSR012587	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$152.71	\$0.00	\$152.71		\$129.81
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$152.71	\$0.00	\$152.71		\$129.81



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BRANCH #01001 - LifeMark Home Office

				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
<div>BROKER COMMISSION TOTALS</div>							
						Broker Transactions	\$129.81
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$129.81
						Year-to-date Earnings	\$1,219.77