



# COMMISSION STATEMENT

August 20, 2018

NANCY CIESLA  
11249 Little Rd  
New Port Richey, FL 34654

BROKER #: 701 Terminated 10/21/2008  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
/ /		PRIOR PERIOD BALANCE(SEE BELOW)	0.00	0.00	0.00	0.00		0.00
		*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$0.00</u>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>



# COMMISSION STATEMENT

August 20, 2018

CHRISTOPHER MILGROOM  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

BROKER #: 542  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/15/2018	***-**-3804 - LETOURNEAU, ACCOUNT #: 08352639846	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	75.0	1.79
08/01/2018	***-**-97IR - CRAWFORD, JAMES ACCOUNT #: 03305198767	GLOBAL FUND A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	75.0	1.79
08/15/2018	***-**-3804 - LETOURNEAU, ACCOUNT #: 02156825863	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	75.0	1.79
08/01/2018	***-**-97IR - CRAWFORD, JAMES ACCOUNT #: 08806519546	INTERNATIONAL BOND A BUY 0 Units @0.0000	50.00	2.00	0.00	2.00	75.0	1.50
08/06/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07123000641	INVESCO GLOBAL REAL ESTATE FUND -CL BUY 8 Units @13.4200	105.00	0.79	0.00	0.79	75.0	0.59
08/15/2018	***-**-3804 - LETOURNEAU, ACCOUNT #: 01952878018	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	75.0	1.79
* MUTUAL FUNDS SUBTOTAL *			<b>\$355.00</b>	<b>\$12.31</b>	<b>\$0.00</b>	<b>\$12.31</b>		<b>\$9.25</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/10/2018	***-**-6927 - DEMAREST, DAVID ACCOUNT #: 1008530439	JACKSON NATIONAL PERSPECTIVE II BUY 0 Units @0.0000	100.00	7.50	0.00	7.50	75.0	5.63
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$100.00</b>	<b>\$7.50</b>	<b>\$0.00</b>	<b>\$7.50</b>		<b>\$5.63</b>
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
08/15/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796879	CAPITAL WORLD GROWTH AND INCOME-529 BUY 1 Units @51.7300	75.00	2.06	0.00	2.06	75.0	1.55
08/15/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796879	EUROPACIFIC GROWTH FUND-529A BUY 1 Units @53.2500	75.00	2.06	0.00	2.06	75.0	1.55
08/15/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796879	FUNDAMENTAL INVESTORS-529A BUY 1 Units @65.4800	75.00	2.06	0.00	2.06	75.0	1.55
08/15/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796879	THE GROWTH FUND OF AMERICA-529A BUY 1 Units @55.8700	75.00	2.06	0.00	2.06	75.0	1.55
* 529 PLAN SUBTOTAL *			<b>\$300.00</b>	<b>\$8.24</b>	<b>\$0.00</b>	<b>\$8.24</b>		<b>\$6.20</b>



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BROKER #: 542  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
08/02/2018	***-**-1584 - CAMPBELL, COLIN	CALVERT LONG-TERM INCOME FUND	0.00	0.17	0.00	0.17	75.0	0.13
	ACCOUNT #: 00929501828	BUY 0 Units @0.0000						
08/20/2018	***-**-6647 - CABLE, ROBERT	LORD ABBETT MUTUAL FUND TRAILS	0.00	5.29	0.00	5.29	75.0	3.97
	ACCOUNT #: 7108000257	BUY 0 Units @0.0000						
08/20/2018	***-**-67CL - MILGROOM,	LORD ABBETT MUTUAL FUND TRAILS	0.00	17.57	0.00	17.57	75.0	13.18
	ACCOUNT #: 7108000258	BUY 0 Units @0.0000						
08/20/2018	***-**-67CL - MILGROOM,	LORD ABBETT MUTUAL FUND TRAILS	0.00	71.05	0.00	71.05	75.0	53.29
	ACCOUNT #: 07108000269	BUY 0 Units @0.0000						
08/20/2018	***-**-9109 - PASCH, ROBERT	QUALIFIED PLAN SUMMARY	0.00	6.00	0.00	6.00	75.0	4.50
	ACCOUNT #: 9927000799	BUY 0 Units @0.0000						
08/20/2018	***-**-8780 - PALM BEACH	QUALIFIED PLAN SUMMARY	0.00	27.40	0.00	27.40	75.0	20.55
	ACCOUNT #: 9927000872	BUY 0 Units @0.0000						
08/20/2018	***-**-2111 - SPECTRUM TOURS	QUALIFIED PLAN SUMMARY	0.00	0.76	0.00	0.76	75.0	0.57
	ACCOUNT #: 9927001222	BUY 0 Units @0.0000						
08/20/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	22.46	0.00	22.46	75.0	16.85
		BUY 0 Units @0.0000						
08/20/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	1.48	0.00	1.48	75.0	1.11
		BUY 0 Units @0.0000						
08/20/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	4.98	0.00	4.98	75.0	3.74
		BUY 0 Units @0.0000						
08/20/2018	***-**-7574 - G-MEN CORP	T ROWE TRAILS	0.00	1.80	0.00	1.80	75.0	1.35
	ACCOUNT #: 9940003415	BUY 0 Units @0.0000						
08/13/2018	***-**-6647 - CABLE, ROBERT	WELLS FARGO SPECIAL MID CAP CL C	0.00	0.55	0.00	0.55	75.0	0.41
	ACCOUNT #: 08551051344	BUY 0 Units @0.0000						
08/13/2018	***-**-6647 - CABLE, ROBERT	WELLS FARGO SPECIAL MID CAP CL C	0.00	1.66	0.00	1.66	75.0	1.25
	ACCOUNT #: 08551051344	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$161.17</b>	<b>\$0.00</b>	<b>\$161.17</b>		<b>\$120.90</b>



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August 20, 2018

CHRISTOPHER MILGROOM  
2451 McMullen Booth Road  
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BROKER #: 542  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
08/11/2018	***-**-67CL - MILGROOM, ACCOUNT #: R096007-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	3.64	0.00	3.64	75.0	2.73
08/11/2018	***-**-67CL - MILGROOM, ACCOUNT #: R096023-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	5.99	0.00	5.99	75.0	4.49
08/13/2018	***-**-4209 - DEBS, KEVIN ACCOUNT #: R081292-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	14.11	0.00	14.11	75.0	10.58
08/13/2018	***-**-6833 - FANO, DENNIS ACCOUNT #: R110847-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	7.91	0.00	7.91	75.0	5.93
08/16/2018	***-**-67CL - MILGROOM, ACCOUNT #: R074824-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	14.40	0.00	14.40	75.0	10.80
08/16/2018	***-**-3178 - IONESCU, MIHAELA ACCOUNT #: R081736-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	4.14	0.00	4.14	75.0	3.11
08/17/2018	***-**-843I - FANO, OKSANA ACCOUNT #: R110836-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	7.93	0.00	7.93	75.0	5.95
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$58.12	\$0.00	\$58.12		\$43.59
*** BROKER TRANSACTIONS TOTAL ***			\$755.00	\$247.34	\$0.00	\$247.34		\$185.57



**CHRISTOPHER MILGROOM**  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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Broker Transactions	\$185.57
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$185.57
Year-to-date Earnings	\$35,714.47



# COMMISSION STATEMENT

August 20, 2018

ROBERT RUTH  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

BROKER #: 725 Terminated 12/31/2012  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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## ADJUSTMENTS

/ /	PRIOR PERIOD BALANCE(SEE BELOW)	0.00	0.00	0.00	0.00	0.00
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*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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## BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$0.00



# COMMISSION STATEMENT

August 20, 2018

RICHARD SOWERS  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/07/2018	***-**-3221 - PITTM, BARB	ALLIANCEBERNSTEIN GROWTH AND INC A	100.00	4.00	0.00	4.00	90.0	3.60
	ACCOUNT #: 00940010050	BUY 16 Units @6.4400						
08/16/2018	***-**-525P - WILSON, FRANCIS	CAPITAL INCOME BUILDER-A	196.58	3.93	0.00	3.93	90.0	3.54
	ACCOUNT #: 00082184129	BUY 3 Units @61.9300						
08/16/2018	***-**-255P - WILSON, HELEN	CAPITAL INCOME BUILDER-A	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @61.9300						
08/16/2018	***-**-211I - MEGILL, ROBERT	CAPITAL INCOME BUILDER-A	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 2 Units @62.5700						
08/16/2018	***-**-828I - SHARMA, BOBBY	CAPITAL INCOME BUILDER-A	100.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: 00084334446	BUY 2 Units @63.2300						
08/16/2018	***-**-706I - HOFSTETTER,	CAPITAL INCOME BUILDER-A	30.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00084334451	BUY 0 Units @63.5600						
08/16/2018	***-**-525P - WILSON, FRANCIS	CAPITAL WORLD GROWTH AND INCOME FUN	196.58	3.93	0.00	3.93	90.0	3.54
	ACCOUNT #: 00082184129	BUY 4 Units @51.5700						
08/16/2018	***-**-255P - WILSON, HELEN	CAPITAL WORLD GROWTH AND INCOME FUN	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @51.5700						
08/16/2018	***-**-211I - MEGILL, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 3 Units @52.1000						
08/16/2018	***-**-828I - SHARMA, BOBBY	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: 00084334446	BUY 2 Units @52.6500						
08/16/2018	***-**-706I - HOFSTETTER,	CAPITAL WORLD GROWTH AND INCOME FUN	30.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00084334451	BUY 1 Units @52.9300						
08/16/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	4.25	0.00	4.25	90.0	3.83
	ACCOUNT #: 00086578408	BUY 2 Units @52.9300						
08/16/2018	***-**-224I - WILSON, FRANCIS	FUNDAMENTAL INVESTORS-A	442.60	16.60	0.00	16.60	90.0	14.94
	ACCOUNT #: 00085899638	BUY 7 Units @66.7400						
08/20/2018	***-**-559I - HUBBARD, JAMES	SAMMONS MF TRAILS	0.00	16.04	0.00	16.04	90.0	14.44
	ACCOUNT #: 7200005537	BUY 0 Units @0.0000						
08/20/2018	***-**-854I - SQUIRE, CAROLYN	SAMMONS MF TRAILS	0.00	3.84	0.00	3.84	90.0	3.46
	ACCOUNT #: 7200007202	BUY 0 Units @0.0000						
08/20/2018	***-**-7488 - SQUIRE, BARRY	SAMMONS MF TRAILS	0.00	7.66	0.00	7.66	90.0	6.89
	ACCOUNT #: 7200007204	BUY 0 Units @0.0000						



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RICHARD SOWERS  
2451 McMullen Booth Road  
Suite 263  
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BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/20/2018	***-**-82RI - MORGAN, BOBETTE	SAMMONS MF TRAILS	0.00	60.98	0.00	60.98	90.0	54.88
	ACCOUNT #: 7200009544	BUY 0 Units @0.0000						
08/20/2018	***-**-282I - MILLS, BENNEY	SAMMONS MF TRAILS	0.00	7.63	0.00	7.63	90.0	6.87
	ACCOUNT #: 7200012025	BUY 0 Units @0.0000						
08/20/2018	***-**-594I - REGULSKI, SUSAN	SAMMONS MF TRAILS	0.00	83.50	0.00	83.50	90.0	75.15
	ACCOUNT #: 7200012141	BUY 0 Units @0.0000						
08/20/2018	***-**-721I - CRAFT, LOIS	SAMMONS MF TRAILS	0.00	18.26	0.00	18.26	90.0	16.43
	ACCOUNT #: 7200013710	BUY 0 Units @0.0000						
08/20/2018	***-**-7933 - PIERSON, KAREN	SAMMONS MF TRAILS	0.00	48.46	0.00	48.46	90.0	43.61
	ACCOUNT #: 7200024944	BUY 0 Units @0.0000						
08/06/2018	***-**-351C - SOWERS, CLIENTS	TEMPLETON GBLB OPPS TR FUND - CLASS	50.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: 000000000255036	BUY 2 Units @21.4400						
08/16/2018	***-**-525P - WILSON, FRANCIS	THE GROWTH FUND OF AMERICA-A	196.58	3.93	0.00	3.93	90.0	3.54
	ACCOUNT #: 00082184129	BUY 4 Units @56.0800						
08/16/2018	***-**-255P - WILSON, HELEN	THE GROWTH FUND OF AMERICA-A	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @56.0800						
08/16/2018	***-**-211I - MEGILL, ROBERT	THE GROWTH FUND OF AMERICA-A	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 2 Units @56.6600						
08/16/2018	***-**-828I - SHARMA, BOBBY	THE GROWTH FUND OF AMERICA-A	100.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: 00084334446	BUY 2 Units @57.2600						
08/16/2018	***-**-706I - HOFSTETTER,	THE GROWTH FUND OF AMERICA-A	30.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00084334451	BUY 1 Units @57.5600						
08/16/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	100.00	4.25	0.00	4.25	90.0	3.83
	ACCOUNT #: 00086578408	BUY 2 Units @57.5600						
08/16/2018	***-**-211I - MEGILL, ROBERT	THE INCOME FUND OF AMERICA-A	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 6 Units @23.9900						
08/16/2018	***-**-828I - SHARMA, BOBBY	THE INCOME FUND OF AMERICA-A	100.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: 00084334446	BUY 4 Units @24.2400						
08/16/2018	***-**-706I - HOFSTETTER,	THE INCOME FUND OF AMERICA-A	30.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00084334451	BUY 1 Units @24.3700						
08/16/2018	***-**-525P - WILSON, FRANCIS	WASHINGTON MUTUAL INVESTORS FUND-A	196.58	3.93	0.00	3.93	90.0	3.54
	ACCOUNT #: 00082184129	BUY 4 Units @47.0500						





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BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/16/2018	***-**-255P - WILSON, HELEN	WASHINGTON MUTUAL INVESTORS FUND-A	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @47.0500						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$2,835.44</b>	<b>\$330.57</b>	<b>\$0.00</b>	<b>\$330.57</b>		<b>\$297.54</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
08/02/2018	***-**-254J - OTHGUY, JUAN &	CALVERT ULTRA SHORT INCOME - A	0.00	3.04	0.00	3.04	90.0	2.74
	ACCOUNT #: 00004910394	BUY 0 Units @0.0000						
/ /	***-**-654O - STOUT, LOUISE	CAPITAL INCOME BUILDER CLASS C	0.00	1.63	0.00	1.63	90.0	1.47
	ACCOUNT #: LSR011072	BUY 1 Units @0.0000						
/ /	***-**-13IO - CHARD, DANIELLE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.93	0.00	2.93	90.0	2.64
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
/ /	***-**-13IO - CHARD, DANIELLE	FUNDAMENTAL INVESTORS-A	0.00	3.20	0.00	3.20	90.0	2.88
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
/ /	***-**-10IR - JANNEY, DONNA	FUNDAMENTAL INVESTORS-A	0.00	0.93	0.00	0.93	90.0	0.84
	ACCOUNT #: LSR011203	BUY 1 Units @0.0000						
/ /	***-**-08IR - JANNEY, DAVID	FUNDAMENTAL INVESTORS-A	0.00	0.93	0.00	0.93	90.0	0.84
	ACCOUNT #: LSR011204	BUY 1 Units @0.0000						
/ /	***-**-296O - KELLEY, BRENDA	FUNDAMENTAL INVESTORS-C	0.00	3.90	0.00	3.90	90.0	3.51
	ACCOUNT #: LSR011834	BUY 1 Units @0.0000						
/ /	***-**-935I - LOWE, JAMES	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	12.64	0.00	12.64	90.0	11.38
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
/ /	***-**-935I - LOWE, JAMES	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	4.21	0.00	4.21	90.0	3.79
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
08/20/2018	***-**-6178 - WILLIAMS, PHIL	LORD ABBETT MUTUAL FUND TRAILS	0.00	1.78	0.00	1.78	90.0	1.60
	ACCOUNT #: 04002393863	BUY 0 Units @0.0000						
08/20/2018	***-**-6526 - BAKER, ANTHONY	LORD ABBETT MUTUAL FUND TRAILS	0.00	0.07	0.00	0.07	90.0	0.06
	ACCOUNT #: 04002420894	BUY 0 Units @0.0000						
08/20/2018	***-**-1147 - LOPEZ, JOSE	LORD ABBETT MUTUAL FUND TRAILS	0.00	0.74	0.00	0.74	90.0	0.67
	ACCOUNT #: 04002424178	BUY 0 Units @0.0000						
08/20/2018	***-**-11UG - DI BENEDETTO,	LORD ABBETT MUTUAL FUND TRAILS	0.00	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000774846	BUY 0 Units @0.0000						
08/20/2018	***-**-42IN - TO DST TOD	LORD ABBETT MUTUAL FUND TRAILS	0.00	3.99	0.00	3.99	90.0	3.59
	ACCOUNT #: 02400037468	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

August 20, 2018

RICHARD SOWERS  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
08/20/2018	***-**-46IN - HANOS, MATTHEW	LORD ABBETT MUTUAL FUND TRAILS	0.00	8.05	0.00	8.05	90.0	7.25
	ACCOUNT #: 02400048142	BUY 0 Units @0.0000						
08/20/2018	***-**-7742 - WILLIAMS, BETTY	LORD ABBETT MUTUAL FUND TRAILS	0.00	3.62	0.00	3.62	90.0	3.26
	ACCOUNT #: 04002427568	BUY 0 Units @0.0000						
08/20/2018	***-**-46IN - HANOS, MATTHEW	LORD ABBETT MUTUAL FUND TRAILS	0.00	7.59	0.00	7.59	90.0	6.83
	ACCOUNT #: 02400048142	BUY 0 Units @0.0000						
08/20/2018	***-**-42IN - TO DST TOD	LORD ABBETT MUTUAL FUND TRAILS	0.00	3.92	0.00	3.92	90.0	3.53
	ACCOUNT #: 02400037468	BUY 0 Units @0.0000						
08/20/2018	***-**-7742 - WILLIAMS, BETTY	LORD ABBETT MUTUAL FUND TRAILS	0.00	3.55	0.00	3.55	90.0	3.20
	ACCOUNT #: 04002427568	BUY 0 Units @0.0000						
08/20/2018	***-**-89IN - STRAUSS, RITA	LORD ABBETT MUTUAL FUND TRAILS	0.00	0.04	0.00	0.04	90.0	0.04
	ACCOUNT #: 04000546860	BUY 0 Units @0.0000						
08/20/2018	***-**-89IN - STRAUSS, RITA	LORD ABBETT MUTUAL FUND TRAILS	0.00	0.17	0.00	0.17	90.0	0.15
	ACCOUNT #: 04000546860	BUY 0 Units @0.0000						
/ /	***-**-13IO - CHARD, DANIELLE	NEW PERSPECTIVE FUND-A	0.00	3.31	0.00	3.31	90.0	2.98
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
/ /	***-**-13IR - CHARD, DANIELLE	NEW PERSPECTIVE FUND-A	0.00	1.54	0.00	1.54	90.0	1.39
	ACCOUNT #: LSR010093	BUY 1 Units @0.0000						
/ /	***-**-547J - BEST III, JOHN	NEW PERSPECTIVE FUND-A	0.00	9.49	0.00	9.49	90.0	8.54
	ACCOUNT #: LSR010824	BUY 1 Units @0.0000						
/ /	***-**-10IR - JANNEY, DONNA	NEW PERSPECTIVE FUND-A	0.00	0.90	0.00	0.90	90.0	0.81
	ACCOUNT #: LSR011203	BUY 1 Units @0.0000						
/ /	***-**-08IR - JANNEY, DAVID	NEW PERSPECTIVE FUND-A	0.00	0.90	0.00	0.90	90.0	0.81
	ACCOUNT #: LSR011204	BUY 1 Units @0.0000						
/ /	***-**-935I - LOWE, JAMES	THE GROWTH FUND OF AMERICA-A	0.00	3.26	0.00	3.26	90.0	2.93
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
/ /	***-**-13IO - CHARD, DANIELLE	THE GROWTH FUND OF AMERICA-A	0.00	4.00	0.00	4.00	90.0	3.60
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
/ /	***-**-13IR - CHARD, DANIELLE	THE GROWTH FUND OF AMERICA-A	0.00	2.38	0.00	2.38	90.0	2.14
	ACCOUNT #: LSR010093	BUY 1 Units @0.0000						
/ /	***-**-296O - KELLEY, BRENDA	THE GROWTH FUND OF AMERICA-C	0.00	2.87	0.00	2.87	90.0	2.58
	ACCOUNT #: LSR011834	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

August 20, 2018

RICHARD SOWERS  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
/ /	***-**-547J - BEST III, JOHN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	5.47	0.00	5.47	90.0	4.92
	ACCOUNT #: LSR010824	BUY 1 Units @0.0000						
/ /	***-**-6540 - STOUT, LOUISE	THE NEW ECONOMY FUND-C	0.00	7.63	0.00	7.63	90.0	6.87
	ACCOUNT #: LSR011072	BUY 1 Units @0.0000						
/ /	***-**-13IO - CHARD, DANIELLE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.70	0.00	2.70	90.0	2.43
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
08/13/2018	***-**-2209 - LING, BENJAMIN	WELLS FGO AVTG ENTERPRISE FUND - A	0.00	10.42	0.00	10.42	90.0	9.38
	ACCOUNT #: 01006543750	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$121.85</b>	<b>\$0.00</b>	<b>\$121.85</b>		<b>\$109.70</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$2,835.44</b>	<b>\$452.42</b>	<b>\$0.00</b>	<b>\$452.42</b>		<b>\$407.24</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$407.24
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$407.24</b>
<b>Year-to-date Earnings</b>	<b>\$34,746.93</b>



# COMMISSION STATEMENT

August 20, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: CHRISTOPHER MILGROOM</u></b>								
08/02/2018	***-**-1584 - CAMPBELL, COLIN	CALVERT LONG-TERM INCOME FUND	0.00	0.17	0.00	0.17	15.0	0.03
	ACCOUNT #: 00929501828	BUY 0 Units @0.0000						
08/15/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @51.7300						
08/15/2018	***-**-3804 - LETOURNEAU,	EQUITY INCOME FUND, INC. A	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 08352639846	BUY 0 Units @0.0000						
08/15/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @53.2500						
08/15/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @65.4800						
08/01/2018	***-**-97IR - CRAWFORD, JAMES	GLOBAL FUND A	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 03305198767	BUY 0 Units @0.0000						
08/15/2018	***-**-3804 - LETOURNEAU,	GLOBAL OPPORTUNITIES A	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 02156825863	BUY 0 Units @0.0000						
08/11/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	3.64	0.00	3.64	15.0	0.55
	ACCOUNT #: R096007-ZS	BUY 0 Units @0.0000						
08/11/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	5.99	0.00	5.99	15.0	0.90
	ACCOUNT #: R096023-ZS	BUY 0 Units @0.0000						
08/13/2018	***-**-4209 - DEBS, KEVIN	ING ADVANTAGE SELECT	0.00	14.11	0.00	14.11	15.0	2.12
	ACCOUNT #: R081292-ZS	BUY 0 Units @0.0000						
08/13/2018	***-**-6833 - FANO, DENNIS	ING ADVANTAGE SELECT	0.00	7.91	0.00	7.91	15.0	1.19
	ACCOUNT #: R110847-ZS	BUY 0 Units @0.0000						
08/16/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	14.40	0.00	14.40	15.0	2.16
	ACCOUNT #: R074824-ZS	BUY 0 Units @0.0000						
08/16/2018	***-**-3178 - IONESCU, MIHAELA	ING ADVANTAGE SELECT	0.00	4.14	0.00	4.14	15.0	0.62
	ACCOUNT #: R081736-ZS	BUY 0 Units @0.0000						
08/17/2018	***-**-843I - FANO, OKSANA	ING ADVANTAGE SELECT	0.00	7.93	0.00	7.93	15.0	1.19
	ACCOUNT #: R110836-ZS	BUY 0 Units @0.0000						
08/01/2018	***-**-97IR - CRAWFORD, JAMES	INTERNATIONAL BOND A	50.00	2.00	0.00	2.00	15.0	0.30
	ACCOUNT #: 08806519546	BUY 0 Units @0.0000						
08/06/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	105.00	0.79	0.00	0.79	15.0	0.12
	ACCOUNT #: 07123000641	BUY 8 Units @13.4200						



# COMMISSION STATEMENT

August 20, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> </b>								
08/10/2018	***-**-6927 - DEMAREST, DAVID	JACKSON NATIONAL PERSPECTIVE II	100.00	7.50	0.00	7.50	15.0	1.13
	ACCOUNT #: 1008530439	BUY 0 Units @0.0000						
08/20/2018	***-**-6647 - CABLE, ROBERT	LORD ABBETT MUTUAL FUND TRAILS	0.00	5.29	0.00	5.29	15.0	0.79
	ACCOUNT #: 7108000257	BUY 0 Units @0.0000						
08/20/2018	***-**-67CL - MILGROOM,	LORD ABBETT MUTUAL FUND TRAILS	0.00	17.57	0.00	17.57	15.0	2.64
	ACCOUNT #: 7108000258	BUY 0 Units @0.0000						
08/20/2018	***-**-67CL - MILGROOM,	LORD ABBETT MUTUAL FUND TRAILS	0.00	71.05	0.00	71.05	15.0	10.66
	ACCOUNT #: 07108000269	BUY 0 Units @0.0000						
08/15/2018	***-**-3804 - LETOURNEAU,	OPPENHEIMER INTERNATIONAL DIVERSIFI	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 01952878018	BUY 0 Units @0.0000						
08/20/2018	***-**-9109 - PASCH, ROBERT	T ROWE TRAILS	0.00	6.00	0.00	6.00	15.0	0.90
	ACCOUNT #: 9927000799	BUY 0 Units @0.0000						
08/20/2018	***-**-8780 - PALM BEACH	T ROWE TRAILS	0.00	27.40	0.00	27.40	15.0	4.11
	ACCOUNT #: 9927000872	BUY 0 Units @0.0000						
08/20/2018	***-**-2111 - SPECTRUM TOURS	T ROWE TRAILS	0.00	0.76	0.00	0.76	15.0	0.11
	ACCOUNT #: 9927001222	BUY 0 Units @0.0000						
08/20/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	22.46	0.00	22.46	15.0	3.37
		BUY 0 Units @0.0000						
08/20/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	1.48	0.00	1.48	15.0	0.22
		BUY 0 Units @0.0000						
08/20/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	4.98	0.00	4.98	15.0	0.75
		BUY 0 Units @0.0000						
08/20/2018	***-**-7574 - G-MEN CORP	T ROWE TRAILS	0.00	1.80	0.00	1.80	15.0	0.27
	ACCOUNT #: 9940003415	BUY 0 Units @0.0000						
08/15/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @55.8700						
08/13/2018	***-**-6647 - CABLE, ROBERT	WELLS FARGO SPECIAL MID CAP CL C	0.00	0.55	0.00	0.55	15.0	0.08
	ACCOUNT #: 08551051344	BUY 0 Units @0.0000						
08/13/2018	***-**-6647 - CABLE, ROBERT	WELLS FARGO SPECIAL MID CAP CL C	0.00	1.66	0.00	1.66	15.0	0.25
	ACCOUNT #: 08551051344	BUY 0 Units @0.0000						
* CHRISTOPHER MILGROOM SUBTOTAL *			\$755.00	\$247.34	\$0.00	\$247.34		\$37.14
*** OVERRIDE TRANSACTIONS TOTAL ***			\$755.00	\$247.34	\$0.00	\$247.34		\$37.14



BRANCH #01002 - 02 - SOWERS

			INVESTMENT	GROSS	CLEARING	NET	BROKER
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
<div>BROKER COMMISSION TOTALS</div>							
						Broker Transactions	\$0.00
						Split Transactions	\$0.00
						Override Transactions	\$37.14
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$77.35
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$114.49
						Year-to-date Earnings	\$2,621.54



# COMMISSION STATEMENT

August 20, 2018

EILEEN ZINGARO  
11249 Little Rd  
New Port Richey, FL 34654

BROKER #: 703 Terminated 10/15/2008  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
/ /		PRIOR PERIOD BALANCE(SEE BELOW)	0.00	0.00	0.00	0.00		0.00
		*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$0.00</u>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>