



All Companies

08/14/2018
Page No. 1

COMMISSION STATEMENT August 15, 2018

BRIAN VAN MATTSON
1020 HIDDEN VALLEY CT.

BROKER #: 9116
BRANCH: HOME OFFICE

McGregor, TX 76657-

COMMISSION STATEMENT for BRIAN VAN MATTSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/31/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	33.18	33.18	0.00	33.18	90.0	29.86
08/01/2018	00007218289 OWENS, DOROTHY	THE HARTFORD DIVIDEND & GROWTH FUND B		100.00	4.75	0.00	4.75	90.0	4.28
07/27/2018	00007230661 HESTON, JIM	THE HARTFORD CAPITAL APPRECIATION F B		166.66	6.67	0.00	6.67	90.0	6.00
08/01/2018	00062023436 OWENS, WILLIAM	AMERICAN FUNDS NEW ECONOMY FD	B	50.00	1.00	0.00	1.00	90.0	0.90
08/01/2018	00062023436 OWENS, WILLIAM	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.00	0.00	1.00	90.0	0.90
08/01/2018	00062023436 OWENS, WILLIAM	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	1.00	0.00	1.00	90.0	0.90
07/23/2018	00065155607 CUTRELL, CODY	AMERICAN FUNDS FUNDAMENTAL	INVES B	33.34	1.67	0.00	1.67	90.0	1.50
07/23/2018	00065155607 CUTRELL, CODY	AMERICAN FUNDS GROWTH FUND OF AMERI B		33.33	1.67	0.00	1.67	90.0	1.50
07/23/2018	00065155607 CUTRELL, CODY	AMERICAN FUNDS INVESTMENT COMP OF A B		33.33	1.67	0.00	1.67	90.0	1.50
08/01/2018	00068810837 PENA, JULIE C.	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	2.50	0.00	2.50	90.0	2.25
07/23/2018	00073274323 MARAK, MICHELE	AMCAP CLASS 529-C	B	37.50	0.38	0.00	0.38	90.0	0.34
07/23/2018	00073274323 MARAK, MICHELE	AMCAP FUND-529A	B	37.50	0.75	0.00	0.75	90.0	0.68
07/23/2018	00073274323 MARAK, MICHELE	CAPITAL WORLD GROWTH AND INCOME-529 B		37.50	0.75	0.00	0.75	90.0	0.68
07/23/2018	00073274323 MARAK, MICHELE	CAPITAL WORLD GROWTH AND INCOME-529 B		37.50	0.38	0.00	0.38	90.0	0.34
07/23/2018	00073274323 MARAK, MICHELE	FUNDAMENTAL INVESTORS-529A	B	37.50	0.75	0.00	0.75	90.0	0.68
07/23/2018	00073274323 MARAK, MICHELE	FUNDAMENTAL INVESTORS-529C	B	37.50	0.38	0.00	0.38	90.0	0.34
07/23/2018	00073274323 MARAK, MICHELE	THE GROWTH FUND OF AMERICA-529A	B	37.50	0.75	0.00	0.75	90.0	0.68
07/23/2018	00073274323 MARAK, MICHELE	THE GROWTH FUND OF AMERICA-529C	B	37.50	0.38	0.00	0.38	90.0	0.34
07/23/2018	00081487492 DEVORSKY, SUZIE R	CAPITAL INCOME BUILDER-C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/23/2018	00083728788 LACY, TANYA	AMERICAN BALANCED FUND-C	B	250.00	2.50	0.00	2.50	90.0	2.25
07/23/2018	00083728788 LACY, TANYA	CAPITAL INCOME BUILDER-C	B	250.00	2.50	0.00	2.50	90.0	2.25
08/01/2018	00085911713 NEMEC, ERIC	AMCAP FUND-529A	B	100.00	1.60	0.00	1.60	90.0	1.44
08/01/2018	00085911713 NEMEC, ERIC	AMERICAN BALANCED FUND-529A	B	150.00	2.40	0.00	2.40	90.0	2.16
08/01/2018	00085911713 NEMEC, ERIC	THE GROWTH FUND OF AMERICA-529A	B	100.00	1.60	0.00	1.60	90.0	1.44
08/01/2018	00085911713 NEMEC, ERIC	THE INVESTMENT COMPANY OF AMERICA-5 B		150.00	2.40	0.00	2.40	90.0	2.16
08/03/2018	00086066788 CA/TOD	THE INVESTMENT COMPANY OF AMERICA-C B		50.00	0.50	0.00	0.50	90.0	0.45
08/01/2018	00087882822 CALLEN, JULIE	CAPITAL WORLD GROWTH AND INCOME-529 B		200.00	2.00	0.00	2.00	90.0	1.80
	MASSINGIL								
08/01/2018	00087882822 CALLEN, JULIE	THE GROWTH FUND OF AMERICA-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
	MASSINGIL								
08/01/2018	00087889028 HOWELL, MICHAEL	AMERICAN BALANCED FUND-C	B	80.00	0.80	0.00	0.80	90.0	0.72

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

08/01/2018	00087889028	HOWELL, MICHAEL	CAPITAL INCOME BUILDER-C	B	90.00	0.90	0.00	0.90	90.0	0.81
08/01/2018	00087889028	HOWELL, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN B		80.00	0.80	0.00	0.80	90.0	0.72
08/01/2018	00088435772	ARRENDELL, LANE R	THE INVESTMENT COMPANY OF AMERICA-5 B		100.00	1.00	0.00	1.00	90.0	0.90
08/01/2018	00089544673	ARRENDELL, LANE R	THE INVESTMENT COMPANY OF AMERICA-5 B		100.00	1.00	0.00	1.00	90.0	0.90
07/31/2018	00089909217	KOCHMAN, ANDREW B.	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/27/2018	04000594082	DAVIS, CHRISTOPHER	AMERICAN BALANCED FUND-C	B	200.00	2.00	0.00	2.00	90.0	1.80
07/27/2018	04000605805	COLLINS, BIANCA	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *					\$3,299.84	\$86.63	\$0.00	\$86.63		\$77.97

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/15/2018 TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES	0.00	210.66	0.00	210.66	90.0	189.60
08/15/2018 TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES	0.00	33.04	0.00	33.04	90.0	29.74
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$243.70	\$0.00	\$243.70		\$219.34
*** BROKER TRANSACTIONS TOTAL ***		\$3,299.84	\$330.33	\$0.00	\$330.33		\$297.31

ADJUSTMENTS

08/15/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-7.50
	*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-7.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$297.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	-\$7.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$289.81
Year-to-date Earnings	\$31,615.24