

COMMISSION STATEMENT

February 28, 2017

ROBIN EDGAR

1161 WAYZATA BLVD E #400 Wayzata, MN 55391 BROKER #: E119 BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: PRIVATE PLACEMENT							
02/16/2017	LOW, ANN	SHOPOFF LAND FUND V	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
02/14/2017	LOW, ANN	TRILINC GLOBAL IMPACT FUND A	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$3,150.00
PRODUCT CA	TEGORY: REIT							
02/14/2017	LOW, ANN	COTTONWOOD MULTIFAMILY REIT I-REG A	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
		* REIT SUBTOTAL *	\$25,000.00	\$1,500.00	\$0.00	\$1,500.00		\$1,350.00
PRODUCT CA	TEGORY: INTERVAL FUNDS							
01/30/2017	STRIPLING, KERRY	MULTI STRATEGY GROWTH & INCOME FUND	0.00	15.90	0.00	15.90	90.0	14.31
01/30/2017	GROSS, ROBERT	MULTI STRATEGY GROWTH & INCOME FUND	0.00	23.10	0.00	23.10	90.0	20.79
01/30/2017	SCHLICHTING, LAURA	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.66	0.00	5.66	90.0	5.09
01/30/2017	EDGAR, ROBIN	MULTI STRATEGY GROWTH & INCOME FUND	0.00	2.39	0.00	2.39	90.0	2.15
01/30/2017	ENGEBRETSON, ROGER	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.67	0.00	5.67	90.0	5.10
01/30/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	11.04	0.00	11.04	90.0	9.94
01/30/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.52	0.00	5.52	90.0	4.97
01/30/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	7.69	0.00	7.69	90.0	6.92
01/30/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	4.42	0.00	4.42	90.0	3.98
01/30/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	6.04	0.00	6.04	90.0	5.44
01/30/2017	KONEFES, ALISON	MULTI STRATEGY GROWTH & INCOME FUND	0.00	9.22	0.00	9.22	90.0	8.30
01/30/2017	TORNQUIST, SCOTT	MULTI STRATEGY GROWTH & INCOME FUND	0.00	15.18	0.00	15.18	90.0	13.66
01/30/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.36	0.00	5.36	90.0	4.82
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$117.19	\$0.00	\$117.19		\$105.47
PRODUCT CA	TEGORY: MUTUAL FUNDS							
02/13/2017	POST, KENNETH	AM FUNDS AMCAP A	120.21	3.31	0.00	3.31	90.0	2.98
02/13/2017	POST, KENNETH	AM FUNDS AMCAP A	120.21	3.31	0.00	3.31	90.0	2.98
02/06/2017	DAHL, NANCY JOHNSON	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	90.0	3.38

NOTES: * - Indicates adjustment is not included in 1099 Earnings

 $[\]ensuremath{^{\star\star}}$ - Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

TARTECHMENT

aboaa

OT EXPINE

MIRT

חמש∧חמ

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CAT	regory: Mutual funds							
02/06/2017	DAHL, JORGEN	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
02/06/2017	DAHL, ERIK	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
02/13/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	44.99	1.24	0.00	1.24	90.0	1.12
02/13/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	44.99	1.24	0.00	1.24	90.0	1.12
02/15/2017	WEBER, PAUL	AM FUNDS AMERICAN BALANCED A	200.00	4.00	0.00	4.00	90.0	3.60
02/15/2017	GOCHE, ANN	AM FUNDS AMERICAN BALANCED A	100.00	1.60	0.00	1.60	90.0	1.44
02/13/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	45.00	1.24	0.00	1.24	90.0	1.12
02/13/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	45.00	1.24	0.00	1.24	90.0	1.12
02/14/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	3.32	0.07	0.00	0.07	90.0	0.06
02/14/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	3.32	0.07	0.00	0.07	90.0	0.06
02/14/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	3.32	0.07	0.00	0.07	90.0	0.06
02/14/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	3.32	0.07	0.00	0.07	90.0	0.06
02/14/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	4.15	0.08	0.00	0.08	90.0	0.07
02/14/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	4.15	0.08	0.00	0.08	90.0	0.07
02/15/2017	WEBER, PAUL	AM FUNDS AMERICAN MUTUAL A	300.00	6.00	0.00	6.00	90.0	5.40
02/13/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	1.29	0.00	1.29	90.0	1.16
02/13/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	1.29	0.00	1.29	90.0	1.16
02/13/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	120.04	3.30	0.00	3.30	90.0	2.97
02/13/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	120.04	3.30	0.00	3.30	90.0	2.97
02/06/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	200.00	3.20	0.00	3.20	90.0	2.88
02/06/2017	BUSS, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	500.00	5.00	0.00	5.00	90.0	4.50
02/10/2017	REIDEL, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	100.00	2.75	0.00	2.75	90.0	2.48
02/14/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	4.15	0.08	0.00	0.08	90.0	0.07
02/14/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	4.15	0.08	0.00	0.08	90.0	0.07
02/14/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	4.15	0.08	0.00	0.08	90.0	0.07
02/14/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	4.15	0.08	0.00	0.08	90.0	0.07
02/14/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	5.19	0.10	0.00	0.10	90.0	0.09
02/14/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	5.19	0.10	0.00	0.10	90.0	0.09
02/15/2017	WEBER, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	200.00	4.00	0.00	4.00	90.0	3.60
02/15/2017	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	100.00	1.60	0.00	1.60	90.0	1.44
02/06/2017	DAHL, NANCY JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
02/06/2017	BUSS, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	5.00	0.00	5.00	90.0	4.50
02/13/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	40.18	1.10	0.00	1.10	90.0	0.99

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CA	ATEGORY: MUTUAL FUNDS							
02/13/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	80.36	2.21	0.00	2.21	90.0	1.99
02/14/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
02/14/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
02/14/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
02/14/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
02/14/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	5.19	0.10	0.00	0.10	90.0	0.09
02/14/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	5.19	0.10	0.00	0.10	90.0	0.09
02/14/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	3.32	0.07	0.00	0.07	90.0	0.06
02/14/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	3.32	0.07	0.00	0.07	90.0	0.06
02/14/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	3.32	0.07	0.00	0.07	90.0	0.06
02/14/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	3.32	0.07	0.00	0.07	90.0	0.06
02/14/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
02/14/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
02/15/2017	WEBER, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	200.00	4.00	0.00	4.00	90.0	3.60
02/15/2017	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	1.60	0.00	1.60	90.0	1.44
02/17/2017	BRUNS, MARCUS	AM FUNDS CAPITAL WORLD GRTH & INC A	150.00	4.13	0.00	4.13	90.0	3.72
02/14/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	3.32	0.07	0.00	0.07	90.0	0.06
02/14/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	3.32	0.07	0.00	0.07	90.0	0.06
02/14/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	3.32	0.07	0.00	0.07	90.0	0.06
02/14/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	3.32	0.07	0.00	0.07	90.0	0.06
02/14/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	4.15	0.08	0.00	0.08	90.0	0.07
02/14/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	4.15	0.08	0.00	0.08	90.0	0.07
02/15/2017	WEBER, PAUL	AM FUNDS FUNDAMENTAL INVESTORS A	200.00	4.00	0.00	4.00	90.0	3.60
02/10/2017	REIDEL, DENNIS	AM FUNDS GLOBAL BALANCED A	200.00	5.50	0.00	5.50	90.0	4.95
02/06/2017	GROTHE, CHRISTI	AM FUNDS GROWTH AND INCOME A	250.00	2.50	0.00	2.50	90.0	2.25
02/06/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH AND INCOME A	150.00	7.50	0.00	7.50	90.0	6.75
02/15/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
02/15/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38
02/15/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	31.25	1.17	0.00	1.17	90.0	1.05
02/15/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	53.65	2.01	0.00	2.01	90.0	1.81
02/15/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	34.37	1.29	0.00	1.29	90.0	1.16
02/15/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	35.40	1.33	0.00	1.33	90.0	1.20
02/16/2017	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	68.21	1.88	0.00	1.88	90.0	1.69

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	SACTIONS					
DDODUGE G	MEGODIL MUMILL FIRMS	Diolin India	210110110					
02/16/2017	ATEGORY: MUTUAL FUNDS WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	90.95	2 50	0.00	2.50	90.0	2.25
02/16/2017	•	AM FUNDS GROWTH AND INCOME A AM FUNDS GROWTH AND INCOME A	98.40	2.50 2.71	0.00	2.71	90.0	2.25
02/16/2017	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A AM FUNDS GROWTH AND INCOME A		2.71			90.0	1.83
02/16/2017	HARTWELL, PATRICIA		73.81	252.10	0.00	2.03	90.0	226.89
	LOW, ANN	AM FUNDS GROWTH AND INCOME A	12,605.09		0.00	252.10		
02/13/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	40.18	1.10	0.00	1.10	90.0	0.99
02/13/2017 02/13/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	80.36	2.21	0.00	2.21	90.0	1.99
02/13/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	46.36	1.27 1.27	0.00	1.27	90.0	1.14
	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	46.36		0.00	1.27		1.14
02/14/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
02/14/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
02/14/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
02/14/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
02/14/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	5.18	0.10	0.00	0.10	90.0	0.09
02/14/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	5.18	0.10	0.00	0.10	90.0	0.09
02/15/2017	WEBER, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	200.00	4.00	0.00	4.00	90.0	3.60
02/06/2017	HEMINGSEN, LAURA	AM FUNDS GROWTH PORT A	300.00	3.00	0.00	3.00	90.0	2.70
02/06/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH PORT A	150.00	7.50	0.00	7.50	90.0	6.75
02/06/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	29.21	1.10	0.00	1.10	90.0	0.99
02/06/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	48.69	1.83	0.00	1.83	90.0	1.65
02/07/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	12.04	0.45	0.00	0.45	90.0	0.41
02/07/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	20.06	0.75	0.00	0.75	90.0	0.68
02/13/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	28.79	1.08	0.00	1.08	90.0	0.97
02/13/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	47.98	1.80	0.00	1.80	90.0	1.62
02/13/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	17.78	0.67	0.00	0.67	90.0	0.60
02/13/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	29.63	1.11	0.00	1.11	90.0	1.00
02/15/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
02/15/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
02/15/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	128.75	4.83	0.00	4.83	90.0	4.35
02/15/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
02/16/2017	PAGE, SUSAN	AM FUNDS GROWTH PORT A	61.87	1.70	0.00	1.70	90.0	1.53
02/16/2017	PAGE, SUSAN	AM FUNDS GROWTH PORT A	82.50	2.27	0.00	2.27	90.0	2.04
02/16/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	83.48	2.30	0.00	2.30	90.0	2.07
02/16/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	111.30	3.06	0.00	3.06	90.0	2.75

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
		DDOVED MDANG	TA CITTONIC						
BROKER TRANSACTIONS									
PRODUCT CA	TEGORY: MUTUAL FUNDS								
02/16/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	36.24	1.00	0.00	1.00	90.0	0.90	
02/16/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	48.33	1.33	0.00	1.33	90.0	1.20	
02/17/2017	LOW, ANN	AM FUNDS GROWTH PORT A	12,605.10	252.10	0.00	252.10	90.0	226.89	
02/13/2017	FIELD, BARRY	AM FUNDS ICA A	40.18	1.10	0.00	1.10	90.0	0.99	
02/13/2017	FIELD, BARRY	AM FUNDS ICA A	80.34	2.21	0.00	2.21	90.0	1.99	
02/06/2017	DAHL, NANCY JOHNSON	AM FUNDS INCOME FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38	
02/13/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	40.17	1.10	0.00	1.10	90.0	0.99	
02/13/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	80.36	2.21	0.00	2.21	90.0	1.99	
02/14/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	3.32	0.07	0.00	0.07	90.0	0.06	
02/14/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	3.32	0.07	0.00	0.07	90.0	0.06	
02/14/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	3.32	0.07	0.00	0.07	90.0	0.06	
02/14/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	3.32	0.07	0.00	0.07	90.0	0.06	
02/14/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07	
02/14/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07	
02/15/2017	GOCHE, ANN	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	90.0	1.44	
02/06/2017	BUSS, KAREN	AM FUNDS INTL GROWTH AND INCOME A	500.00	5.00	0.00	5.00	90.0	4.50	
02/06/2017	DAHL, NANCY JOHNSON	AM FUNDS NEW PERSPECTIVE A	50.00	1.88	0.00	1.88	90.0	1.69	
02/06/2017	BUSS, KAREN	AM FUNDS NEW PERSPECTIVE A	500.00	5.00	0.00	5.00	90.0	4.50	
02/14/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	3.32	0.07	0.00	0.07	90.0	0.06	
02/14/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	3.32	0.07	0.00	0.07	90.0	0.06	
02/14/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	3.32	0.07	0.00	0.07	90.0	0.06	
02/14/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	3.32	0.07	0.00	0.07	90.0	0.06	
02/14/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	4.15	0.08	0.00	0.08	90.0	0.07	
02/14/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	4.15	0.08	0.00	0.08	90.0	0.07	
02/17/2017	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	150.00	4.13	0.00	4.13	90.0	3.72	
02/10/2017	REIDEL, DENNIS	AM FUNDS NEW WORLD A	200.00	5.50	0.00	5.50	90.0	4.95	
02/14/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	4.15	0.08	0.00	0.08	90.0	0.07	
02/14/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	4.15	0.08	0.00	0.08	90.0	0.07	
02/14/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	4.15	0.08	0.00	0.08	90.0	0.07	
02/14/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	4.15	0.08	0.00	0.08	90.0	0.07	
02/14/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	5.19	0.10	0.00	0.10	90.0	0.09	
02/14/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	5.19	0.10	0.00	0.10	90.0	0.09	
02/15/2017	WEBER, PAUL	AM FUNDS NEW WORLD A	200.00	4.00	0.00	4.00	90.0	3.60	

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS							
02/16/2017	GROSS, JUDY	AM FUNDS NEW WORLD A	1,000.00	27.50	0.00	27.50	90.0	24.75
02/17/2017	BRUNS, MARCUS	AM FUNDS NEW WORLD A	150.00	4.13	0.00	4.13	90.0	3.72
02/10/2017	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	150.00	1.80	0.00	1.80	90.0	1.62
02/06/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	18.72	0.70	0.00	0.70	90.0	0.63
02/06/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	18.72	0.70	0.00	0.70	90.0	0.63
02/06/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	28.75	1.08	0.00	1.08	90.0	0.97
02/06/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	57.50	2.16	0.00	2.16	90.0	1.94
02/06/2017	GROTHE, CHRISTI	AMERICAN FUND GLOBAL GROWTH PORTFOL	250.00	2.50	0.00	2.50	90.0	2.25
02/06/2017	DAHL, ERIK	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	5.00	0.00	5.00	90.0	4.50
02/13/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	16.56	0.62	0.00	0.62	90.0	0.56
02/13/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	16.56	0.62	0.00	0.62	90.0	0.56
02/13/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	30.14	1.13	0.00	1.13	90.0	1.02
02/13/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	60.27	2.26	0.00	2.26	90.0	2.03
02/13/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	96.00	3.60	0.00	3.60	90.0	3.24
02/13/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	224.00	8.40	0.00	8.40	90.0	7.56
02/15/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	31.25	1.17	0.00	1.17	90.0	1.05
02/15/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	53.64	2.01	0.00	2.01	90.0	1.81
02/15/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	56.25	2.11	0.00	2.11	90.0	1.90
02/15/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	193.13	7.24	0.00	7.24	90.0	6.52
02/15/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	34.38	1.29	0.00	1.29	90.0	1.16
02/15/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	35.41	1.33	0.00	1.33	90.0	1.20
02/06/2017	KETTWICK, MICHAEL	AMERICAN FUNDS DEVELOPING WORLD GRO	2,000.00	20.00	0.00	20.00	90.0	18.00
02/10/2017	REIDEL, DENNIS	AMERICAN FUNDS DEVELOPING WORLD GRO	100.00	2.75	0.00	2.75	90.0	2.48
02/15/2017	WEBER, PAUL	AMERICAN FUNDS DEVELOPING WORLD GRO	300.00	6.00	0.00	6.00	90.0	5.40
02/16/2017	GROSS, ROBERT	AMERICAN FUNDS DEVELOPING WORLD GRO	1,000.00	27.50	0.00	27.50	90.0	24.75
		* MUTUAL FUNDS SUBTOTAL *	\$40,599.29	\$852.50	\$0.00	\$852.50		\$767.20
PRODUCT CA	TEGORY: STOCKS							
02/15/2017	KOSS-WINN BANC ESOP	ALPHABET INC CAP STK CL C	-61,604.34	50.00	22.50	27.50	90.0	24.75
02/15/2017	KOSS-WINN BANC ESOP	AMAZON COM INC	-63,095.53	50.00	22.50	27.50	90.0	24.75
02/21/2017	KOSS-WINN BANC ESOP	APTEVO THERAPEUTICS INC COM	4,254.20	50.00	25.00	25.00	90.0	22.50
02/21/2017	KOSS-WINN BANC ESOP	CERECOR INC COM	3,310.40	50.00	27.00	23.00	90.0	20.70
02/15/2017	KOSS-WINN BANC ESOP	DH CORP COM ISIN#CA23290R1010	-913.45	3.33	9.94	-6.61	90.0	-5.95
02/15/2017	KOSS-WINN BANC ESOP	DH CORP COM ISIN#CA23290R1010	-5,480.70	20.00	14.65	5.35	90.0	4.82

NOTES: * - Indicates adjustment is not included in 1099 Earnings ** - Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: STOCKS							
02/15/2017	KOSS-WINN BANC ESOP	DH CORP COM ISIN#CA23290R1010	-1,826.90	6.66	10.88	-4.22	90.0	-3.80
02/15/2017	KOSS-WINN BANC ESOP	DH CORP COM ISIN#CA23290R1010	-1,826.90	6.66	10.88	-4.22	90.0	-3.80
02/15/2017	KOSS-WINN BANC ESOP	DH CORP COM ISIN#CA23290R1010	-1,826.90	6.66	10.88	-4.22	90.0	-3.80
02/15/2017	KOSS-WINN BANC ESOP	DH CORP COM ISIN#CA23290R1010	-1,826.90	6.69	10.88	-4.19	90.0	-3.77
02/15/2017	KOSS-WINN BANC ESOP	PERRIGO CO PLC SHS ISIN#IE00BGH1M56	-16,988.00	50.00	22.50	27.50	90.0	24.75
02/21/2017	KOSS-WINN BANC ESOP	POWER SOLUTIONS INTL INC COM NEW	4,030.13	50.00	23.12	26.88	90.0	24.19
02/21/2017	KOSS-WINN BANC ESOP	TAHOE RES INC COM ISIN#CA8738681037	4,368.10	50.00	22.75	27.25	90.0	24.53
02/16/2017	KOSS-WINN BANC ESOP	TIVO CORP COM	-21,153.80	50.00	23.50	26.50	90.0	23.85
02/21/2017	KOSS-WINN BANC ESOP	TIVO CORP COM	19,789.50	50.00	23.50	26.50	90.0	23.85
02/15/2017	KOSS-WINN BANC ESOP	VERTEX PHARMACEUTICALS INC COM	-17,844.78	50.00	22.50	27.50	90.0	24.75
02/13/2017	KOSS-WINN BANC ESOP	WINNEBAGO INDUSTRIES INC	-33,900.00	50.00	23.50	26.50	90.0	23.85
		* STOCKS SUBTOTAL *	\$-192,535.87	\$600.00	\$326.48	\$273.52		\$246.17
PRODUCT CA	TEGORY: VARIABLE ANN	UITY 12B1 TRAILS						
01/28/2017	LANGFALD, ARDIS	LFG TRAILS	0.00	297.76	0.00	297.76	90.0	267.98
01/28/2017	LANGFALD, RONALD	LFG TRAILS	0.00	809.61	0.00	809.61	90.0	728.65
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,107.37	\$0.00	\$1,107.37		\$996.63
		*** BROKER TRANSACTIONS TOTAL ***	\$-76,936.58	\$7,677.06	\$326.48	\$7,350.58		\$6,615.47

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS \$6,615.47 Broker Transactions \$0.00 Split Transactions \$0.00 Override Transactions Adjustments \$0.00 Payroll Draw \$0.00 \$0.00 Base Salary \$-277.94 Prior Period Balance FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$6,337.53 Check Amount \$37,784.73 Year-to-date Gross \$33,290.68 Year-to-date Earnings