

COMMISSION STATEMENT

October 31, 2017

JOHN KILLEEN
306 SCHUNNEMUNK STREETBROKER #: 43
BRANCH: HOME OFFICE

MONROE, NY 10950

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

10/05/2017	00061663273	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	2,782.50	13.91	0.00	13.91	86.0	11.96
10/05/2017	00061663273	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	2,782.50	13.91	0.00	13.91	86.0	11.96
10/05/2017	00061663273	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	1,855.00	9.28	0.00	9.28	86.0	7.98
10/05/2017	00061663273	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	927.50	4.64	0.00	4.64	86.0	3.99
10/05/2017	00061663273	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	927.50	4.64	0.00	4.64	86.0	3.99
10/09/2017	00061663273	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	5,247.90	26.24	0.00	26.24	86.0	22.57
10/09/2017	00061663273	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	5,247.90	26.24	0.00	26.24	86.0	22.57
10/09/2017	00061663273	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	3,498.60	17.49	0.00	17.49	86.0	15.04
10/09/2017	00061663273	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	1,749.30	8.75	0.00	8.75	86.0	7.53
10/09/2017	00061663273	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	1,749.30	8.75	0.00	8.75	86.0	7.53
10/09/2017	00061736605	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	651.66	3.26	0.00	3.26	86.0	2.80
10/09/2017	00061736605	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	651.65	3.26	0.00	3.26	86.0	2.80
10/05/2017	00061736607	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	1,752.93	8.76	0.00	8.76	86.0	7.53
10/05/2017	00061736607	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	943.89	4.72	0.00	4.72	86.0	4.06
10/09/2017	00061736607	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	1,102.04	5.51	0.00	5.51	86.0	4.74
10/09/2017	00061736607	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	593.41	2.97	0.00	2.97	86.0	2.55
10/05/2017	00061736609	HEGARTY, EILEEN	AMCAP FUND-A	B	2,192.76	10.96	0.00	10.96	86.0	9.43
10/05/2017	00061736609	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	2,192.75	10.96	0.00	10.96	86.0	9.43
10/05/2017	00061736615	HEGARTY, EILEEN	AMCAP FUND-A	B	1,391.25	6.96	0.00	6.96	86.0	5.99
10/05/2017	00061736615	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	927.50	4.64	0.00	4.64	86.0	3.99
10/05/2017	00061736615	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	927.50	4.64	0.00	4.64	86.0	3.99
10/05/2017	00061736615	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	1,855.00	9.28	0.00	9.28	86.0	7.98
10/05/2017	00061736615	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	1,855.00	9.28	0.00	9.28	86.0	7.98
10/05/2017	00061736615	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	927.50	4.64	0.00	4.64	86.0	3.99
10/05/2017	00061736615	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	1,391.25	6.96	0.00	6.96	86.0	5.99
10/09/2017	00061736615	HEGARTY, EILEEN	AMCAP FUND-A	B	2,288.77	11.44	0.00	11.44	86.0	9.84
10/09/2017	00061736615	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	1,525.85	7.63	0.00	7.63	86.0	6.56
10/09/2017	00061736615	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	1,525.85	7.63	0.00	7.63	86.0	6.56
10/09/2017	00061736615	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	3,051.69	15.26	0.00	15.26	86.0	13.12
10/09/2017	00061736615	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	3,051.69	15.26	0.00	15.26	86.0	13.12
10/09/2017	00061736615	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	1,525.85	7.63	0.00	7.63	86.0	6.56

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
10/09/2017	00061736615	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	2,288.77	11.44	0.00	11.44	86.0	9.84
10/05/2017	00061736617	HEGARTY, EILEEN	AMCAP FUND-A	B	4,637.50	23.19	0.00	23.19	86.0	19.94
10/05/2017	00061736617	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	2,782.50	13.91	0.00	13.91	86.0	11.96
10/05/2017	00061736617	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	1,855.00	9.28	0.00	9.28	86.0	7.98
10/09/2017	00061736617	HEGARTY, EILEEN	AMCAP FUND-A	B	3,181.08	15.91	0.00	15.91	86.0	13.68
10/09/2017	00061736617	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	1,908.65	9.54	0.00	9.54	86.0	8.20
10/09/2017	00061736617	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	1,272.43	6.36	0.00	6.36	86.0	5.47
10/05/2017	00062829277	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	1,645.00	8.23	0.00	8.23	86.0	7.08
10/05/2017	00062829277	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	2,467.50	12.34	0.00	12.34	86.0	10.61
10/05/2017	00062829277	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	1,645.00	8.23	0.00	8.23	86.0	7.08
10/05/2017	00062829277	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	2,467.50	12.34	0.00	12.34	86.0	10.61
10/09/2017	00062829277	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	1,034.19	5.17	0.00	5.17	86.0	4.45
10/09/2017	00062829277	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	1,551.28	7.76	0.00	7.76	86.0	6.67
10/09/2017	00062829277	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	1,034.19	5.17	0.00	5.17	86.0	4.45
10/09/2017	00062829277	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	1,551.28	7.76	0.00	7.76	86.0	6.67
10/05/2017	00063917150	HEGARTY, EILEEN	AMCAP FUND-A	B	1,855.00	9.28	0.00	9.28	86.0	7.98
10/05/2017	00063917150	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	927.50	4.64	0.00	4.64	86.0	3.99
10/05/2017	00063917150	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	2,782.50	13.91	0.00	13.91	86.0	11.96
10/05/2017	00063917150	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	1,855.00	9.28	0.00	9.28	86.0	7.98
10/05/2017	00063917150	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	1,855.00	9.28	0.00	9.28	86.0	7.98
10/09/2017	00063917150	HEGARTY, EILEEN	AMCAP FUND-A	B	1,094.18	5.47	0.00	5.47	86.0	4.70
10/09/2017	00063917150	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	547.09	2.74	0.00	2.74	86.0	2.36
10/09/2017	00063917150	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	1,641.28	8.21	0.00	8.21	86.0	7.06
10/09/2017	00063917150	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	1,094.19	5.47	0.00	5.47	86.0	4.70
10/09/2017	00063917150	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	1,094.18	5.47	0.00	5.47	86.0	4.70
10/05/2017	00063917156	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	2,318.75	11.59	0.00	11.59	86.0	9.97
10/05/2017	00063917156	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	1,855.00	9.28	0.00	9.28	86.0	7.98
10/05/2017	00063917156	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	927.50	4.64	0.00	4.64	86.0	3.99
10/05/2017	00063917156	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	1,855.00	9.28	0.00	9.28	86.0	7.98
10/05/2017	00063917156	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	2,318.75	11.59	0.00	11.59	86.0	9.97
10/09/2017	00063917156	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	2,962.89	14.81	0.00	14.81	86.0	12.74
10/09/2017	00063917156	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	2,370.32	11.85	0.00	11.85	86.0	10.19
10/09/2017	00063917156	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	1,185.16	5.93	0.00	5.93	86.0	5.10
10/09/2017	00063917156	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	2,370.32	11.85	0.00	11.85	86.0	10.19
10/09/2017	00063917156	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	2,962.90	14.81	0.00	14.81	86.0	12.74
09/25/2017	00064617395	BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	B	28.28	0.78	0.00	0.78	86.0	0.67
09/25/2017	00064617395	BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	B	37.69	1.04	0.00	1.04	86.0	0.89
09/25/2017	00064617395	BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	B	28.28	0.78	0.00	0.78	86.0	0.67
10/02/2017	00064617395	BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	B	28.28	0.78	0.00	0.78	86.0	0.67
10/02/2017	00064617395	BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	B	37.69	1.04	0.00	1.04	86.0	0.89

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/02/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	28.28	0.78	0.00	0.78	86.0	0.67
10/09/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	28.28	0.78	0.00	0.78	86.0	0.67
10/09/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	37.69	1.04	0.00	1.04	86.0	0.89
10/09/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	28.28	0.78	0.00	0.78	86.0	0.67
10/16/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	28.28	0.78	0.00	0.78	86.0	0.67
10/16/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	37.69	1.04	0.00	1.04	86.0	0.89
10/16/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	28.28	0.78	0.00	0.78	86.0	0.67
10/23/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	28.28	0.78	0.00	0.78	86.0	0.67
10/23/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	37.69	1.04	0.00	1.04	86.0	0.89
10/23/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	28.28	0.78	0.00	0.78	86.0	0.67
10/05/2017	00064910535	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	1,080.00	5.40	0.00	5.40	86.0	4.64
10/05/2017	00064910535	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	432.00	2.16	0.00	2.16	86.0	1.86
10/05/2017	00064910535	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	648.00	3.24	0.00	3.24	86.0	2.79
10/09/2017	00064910535	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	750.79	3.75	0.00	3.75	86.0	3.23
10/09/2017	00064910535	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	300.31	1.50	0.00	1.50	86.0	1.29
10/09/2017	00064910535	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	450.47	2.25	0.00	2.25	86.0	1.94
10/05/2017	00064910537	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	3,479.28	17.40	0.00	17.40	86.0	14.96
10/05/2017	00064910537	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	1,739.64	8.70	0.00	8.70	86.0	7.48
10/05/2017	00064910537	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	3,479.28	17.40	0.00	17.40	86.0	14.96
10/05/2017	00064910538	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	2,191.44	10.96	0.00	10.96	86.0	9.43
10/05/2017	00064910538	HEGARTY, EILEEN							
		NEW WORLD FUND-A	B	2,191.44	10.96	0.00	10.96	86.0	9.43
10/05/2017	00064910538	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	2,921.92	14.61	0.00	14.61	86.0	12.56
10/05/2017	00064910539	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	533.04	2.67	0.00	2.67	86.0	2.30
10/05/2017	00064910539	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	533.04	2.67	0.00	2.67	86.0	2.30
10/05/2017	00064910539	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	533.04	2.67	0.00	2.67	86.0	2.30
10/05/2017	00064910539	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	533.04	2.67	0.00	2.67	86.0	2.30
10/09/2017	00064910539	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	335.11	1.68	0.00	1.68	86.0	1.44
10/09/2017	00064910539	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	335.11	1.68	0.00	1.68	86.0	1.44
10/09/2017	00064910539	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	335.11	1.68	0.00	1.68	86.0	1.44
10/09/2017	00064910539	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	335.11	1.68	0.00	1.68	86.0	1.44
10/05/2017	00067823945	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	720.00	3.60	0.00	3.60	86.0	3.10
10/05/2017	00067823945	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	720.00	3.60	0.00	3.60	86.0	3.10
10/09/2017	00067823945	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	795.00	3.98	0.00	3.98	86.0	3.42
10/09/2017	00067823945	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	794.99	3.97	0.00	3.97	86.0	3.41
10/05/2017	00068950146	HEGARTY, EILEEN							
		AMCAP FUND-A	B	498.75	2.49	0.00	2.49	86.0	2.14
10/05/2017	00068950146	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	498.75	2.49	0.00	2.49	86.0	2.14
10/05/2017	00068950146	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	2,493.75	12.47	0.00	12.47	86.0	10.72
10/05/2017	00068950146	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	498.75	2.49	0.00	2.49	86.0	2.14
10/05/2017	00068950146	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	997.50	4.99	0.00	4.99	86.0	4.29
10/05/2017	00070001336	HEGARTY, EILEEN							
		AMCAP FUND-A	B	180.00	0.90	0.00	0.90	86.0	0.77

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/05/2017	00070001336	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	360.00	1.80	0.00	1.80 86.0 1.55
10/05/2017	00070001336	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	360.00	1.80	0.00	1.80 86.0 1.55
10/05/2017	00070001336	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	360.00	1.80	0.00	1.80 86.0 1.55
10/05/2017	00070001336	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	540.00	2.70	0.00	2.70 86.0 2.32
10/09/2017	00070001336	HEGARTY, EILEEN		AMCAP FUND-A	B	148.00	0.74	0.00	0.74 86.0 0.64
10/09/2017	00070001336	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	296.01	1.48	0.00	1.48 86.0 1.27
10/09/2017	00070001336	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	296.00	1.48	0.00	1.48 86.0 1.27
10/09/2017	00070001336	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	296.00	1.48	0.00	1.48 86.0 1.27
10/09/2017	00070001336	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	444.00	2.22	0.00	2.22 86.0 1.91
10/05/2017	00070004356	HEGARTY, EILEEN		AMCAP FUND-A	B	478.65	2.39	0.00	2.39 86.0 2.06
10/05/2017	00070004356	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	430.78	2.15	0.00	2.15 86.0 1.85
10/05/2017	00070004356	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	1,100.89	5.50	0.00	5.50 86.0 4.73
10/05/2017	00070004356	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	813.70	4.07	0.00	4.07 86.0 3.50
10/05/2017	00070004356	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	861.56	4.31	0.00	4.31 86.0 3.71
10/05/2017	00070004356	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	1,005.16	5.03	0.00	5.03 86.0 4.33
10/05/2017	00070004362	HEGARTY, EILEEN		AMCAP FUND-A	B	1,386.00	6.93	0.00	6.93 86.0 5.96
10/05/2017	00070004362	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	1,386.00	6.93	0.00	6.93 86.0 5.96
10/05/2017	00070004362	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	1,428.00	7.14	0.00	7.14 86.0 6.14
10/05/2017	00070005363	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	116.61	0.58	0.00	0.58 86.0 0.50
10/05/2017	00070005363	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	233.22	1.17	0.00	1.17 86.0 1.01
10/05/2017	00070005363	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	349.83	1.75	0.00	1.75 86.0 1.51
10/05/2017	00070005363	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	466.44	2.33	0.00	2.33 86.0 2.00
10/05/2017	00070005363	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	233.22	1.17	0.00	1.17 86.0 1.01
10/09/2017	00070005363	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	73.31	0.37	0.00	0.37 86.0 0.32
10/09/2017	00070005363	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	146.62	0.73	0.00	0.73 86.0 0.63
10/09/2017	00070005363	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	219.93	1.10	0.00	1.10 86.0 0.95
10/09/2017	00070005363	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	293.24	1.47	0.00	1.47 86.0 1.26
10/09/2017	00070005363	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	146.62	0.73	0.00	0.73 86.0 0.63
10/09/2017	00070005370	HEGARTY, EILEEN		AMCAP FUND-A	B	52.81	0.26	0.00	0.26 86.0 0.22
10/09/2017	00070005370	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	52.81	0.26	0.00	0.26 86.0 0.22
10/09/2017	00070005370	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	52.81	0.26	0.00	0.26 86.0 0.22
10/09/2017	00070005370	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	528.10	2.64	0.00	2.64 86.0 2.27
10/09/2017	00070005370	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	158.42	0.79	0.00	0.79 86.0 0.68
10/09/2017	00070005370	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	105.62	0.53	0.00	0.53 86.0 0.46
10/09/2017	00070005370	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	52.81	0.26	0.00	0.26 86.0 0.22
10/09/2017	00070005370	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	52.81	0.26	0.00	0.26 86.0 0.22
10/05/2017	00070005679	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	2,238.66	11.19	0.00	11.19 86.0 9.62
10/05/2017	00070005679	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	4,477.32	22.39	0.00	22.39 86.0 19.26
10/05/2017	00070005679	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	895.46	4.48	0.00	4.48 86.0 3.85
10/05/2017	00086545518	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	71.25	0.36	0.00	0.36 86.0 0.31

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/05/2017	00086545518	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	95.00	0.48	0.00	0.48	86.0	0.41
10/05/2017	00086545518	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	95.00	0.48	0.00	0.48	86.0	0.41
10/05/2017	00086545518	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	95.00	0.48	0.00	0.48	86.0	0.41
10/05/2017	00086545518	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	118.75	0.59	0.00	0.59	86.0	0.51
10/09/2017	00086545518	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	160.21	0.80	0.00	0.80	86.0	0.69
10/09/2017	00086545518	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	213.61	1.07	0.00	1.07	86.0	0.92
10/09/2017	00086545518	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	213.61	1.07	0.00	1.07	86.0	0.92
10/09/2017	00086545518	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	213.60	1.07	0.00	1.07	86.0	0.92
10/09/2017	00086545518	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	267.01	1.34	0.00	1.34	86.0	1.15
10/05/2017	00087384565	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	1,050.00	5.25	0.00	5.25	86.0	4.52
10/05/2017	00087384565	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	1,050.00	5.25	0.00	5.25	86.0	4.52
10/09/2017	00087384565	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	693.13	3.47	0.00	3.47	86.0	2.98
10/09/2017	00087384565	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	693.12	3.47	0.00	3.47	86.0	2.98
10/05/2017	00087842394	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	2,355.00	11.78	0.00	11.78	86.0	10.13
10/05/2017	00088828020	HEGARTY, EILEEN							
		AMCAP FUND-A	B	198.02	0.99	0.00	0.99	86.0	0.85
10/05/2017	00088828020	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	495.05	2.48	0.00	2.48	86.0	2.13
10/05/2017	00088828020	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	594.06	2.97	0.00	2.97	86.0	2.55
10/05/2017	00088828020	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	495.05	2.48	0.00	2.48	86.0	2.13
10/05/2017	00088828020	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	198.02	0.99	0.00	0.99	86.0	0.85
10/09/2017	00088828020	HEGARTY, EILEEN							
		AMCAP FUND-A	B	124.49	0.62	0.00	0.62	86.0	0.53
10/09/2017	00088828020	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	311.23	1.56	0.00	1.56	86.0	1.34
10/09/2017	00088828020	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	373.48	1.87	0.00	1.87	86.0	1.61
10/09/2017	00088828020	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	311.23	1.56	0.00	1.56	86.0	1.34
10/09/2017	00088828020	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	124.49	0.62	0.00	0.62	86.0	0.53
10/05/2017	00088877771	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	2,094.71	10.47	0.00	10.47	86.0	9.00
10/05/2017	00088877771	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	6,284.14	31.42	0.00	31.42	86.0	27.02
10/05/2017	00089364755	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	9,275.00	46.38	0.00	46.38	86.0	39.89
10/05/2017	00089364772	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	6,210.77	31.05	0.00	31.05	86.0	26.70
10/05/2017	00089364818	HEGARTY, EILEEN							
		AMCAP FUND-A	B	48.00	0.24	0.00	0.24	86.0	0.21
10/05/2017	00089364818	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	240.00	1.20	0.00	1.20	86.0	1.03
10/05/2017	00089364818	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	192.00	0.96	0.00	0.96	86.0	0.83
10/09/2017	00089364818	HEGARTY, EILEEN							
		AMCAP FUND-A	B	165.51	0.83	0.00	0.83	86.0	0.71
10/09/2017	00089364818	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	827.56	4.14	0.00	4.14	86.0	3.56
10/09/2017	00089364818	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	662.05	3.31	0.00	3.31	86.0	2.85
10/05/2017	00089364843	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	727.45	3.64	0.00	3.64	86.0	3.13
10/05/2017	00089364843	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	545.60	2.73	0.00	2.73	86.0	2.35
10/05/2017	00089364843	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	545.60	2.73	0.00	2.73	86.0	2.35
10/09/2017	00089364843	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	457.34	2.29	0.00	2.29	86.0	1.97
10/09/2017	00089364843	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	343.01	1.72	0.00	1.72	86.0	1.48
10/09/2017	00089364843	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	343.01	1.72	0.00	1.72	86.0	1.48

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
10/05/2017	00089364861	HEGARTY, EILEEN		AMCAP FUND-A	B	3,710.00	18.55	0.00	18.55	86.0	15.95
10/05/2017	00089364861	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	927.50	4.64	0.00	4.64	86.0	3.99
10/05/2017	00089364861	HEGARTY, EILEEN		NEW WORLD FUND-A	B	927.50	4.64	0.00	4.64	86.0	3.99
10/05/2017	00089364861	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	1,855.00	9.28	0.00	9.28	86.0	7.98
10/05/2017	00089364861	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	1,855.00	9.28	0.00	9.28	86.0	7.98
10/05/2017	00089364874	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	4,637.50	23.19	0.00	23.19	86.0	19.94
10/05/2017	00089364874	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	4,637.50	23.19	0.00	23.19	86.0	19.94
10/05/2017	00089364889	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	1,149.26	5.75	0.00	5.75	86.0	4.95
10/05/2017	00089364889	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	1,149.26	5.75	0.00	5.75	86.0	4.95
10/09/2017	00089364889	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	722.53	3.61	0.00	3.61	86.0	3.10
10/09/2017	00089364889	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	722.52	3.61	0.00	3.61	86.0	3.10
10/05/2017	00089378249	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	945.00	4.73	0.00	4.73	86.0	4.07
10/05/2017	00089378249	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	945.00	4.73	0.00	4.73	86.0	4.07
10/05/2017	00089378249	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	630.00	3.15	0.00	3.15	86.0	2.71
10/05/2017	00089378249	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	315.00	1.58	0.00	1.58	86.0	1.36
10/05/2017	00089378249	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	315.00	1.58	0.00	1.58	86.0	1.36
10/05/2017	00089378427	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	360.00	1.80	0.00	1.80	86.0	1.55
10/09/2017	00089378427	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	368.79	1.84	0.00	1.84	86.0	1.58
10/16/2017	00089545290	RONGA, DANIEL		THE GROWTH FUND OF AMERICA-A	B	200.00	7.50	0.00	7.50	86.0	6.45
10/16/2017	04000292411	CUNICELLI, LILY		WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	6.25	0.00	6.25	86.0	5.38
				* MUTUAL FUNDS SUBTOTAL *		\$247,100.02	\$1,258.47	\$0.00	\$1,258.47		\$1,082.22
PRODUCT CATEGORY: VARIABLE ANNUITIES											
10/23/2017	(None)	VARIOUS, KILLEEN		ANNUICHOICE	B	0.00	15,565.66	0.00	15,565.66	86.0	13,386.47
				* VARIABLE ANNUITIES SUBTOTAL *		\$0.00	\$15,565.66	\$0.00	\$15,565.66		\$13,386.47
				*** BROKER TRANSACTIONS TOTAL ***		\$247,100.02	\$16,824.13	\$0.00	\$16,824.13		\$14,468.69
ADJUSTMENTS											
10/31/2017				E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00
10/31/2017				OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
10/31/2017				OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
10/31/2017				OTHER: VIRTRU		0.00	0.00	0.00	0.00		-7.00
				*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-235.00

BROKER COMMISSION TOTALS

Broker Transactions	\$14,468.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-235.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-21.03
SIPC Assessment	\$-42.06

Check Amount	\$14,170.60
Year-to-date Earnings	\$75,406.39