

COMMISSION STATEMENT

July 31, 2018

 GREG PHILLIPS-D366
 809 Jefferson Avenue

 BROKER #: D366
 BRANCH: DMD

LaPorte, IN 46350

COMMISSION STATEMENT for GREG PHILLIPS-D366

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/29/2018 (None)	BALLINGER, TRACY	MF COLLEGE CHOICE ADVISOR	B	100.00	4.75	0.00	4.75	72.5	3.44
07/09/2018 (None)	HARTMAN, ERI	MF OPPENHEIMER	B	4,000.00	160.00	0.00	160.00	72.5	116.00
07/09/2018 (None)	HARTMAN, ERI	MF OPPENHEIMER	B	4,000.00	160.00	0.00	160.00	72.5	116.00
07/16/2018 00067921794	PHILLIPS, GREGORY	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	72.5	1.54
07/16/2018 00075559657	SENNE, CONNIE	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	72.5	1.81
07/20/2018 00075615228	HARAZINOVA,	MF THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	72.5	0.91
07/20/2018 00075615228	HARAZINOVA,	MF THE INVESTMENT COMPANY OF	B	25.00	1.25	0.00	1.25	72.5	0.91
07/11/2018 00083191487	DISHMAN, ALLISON	MF AMERICAN BALANCED FUND-529A	B	5,000.00	100.00	0.00	100.00	72.5	72.50
07/11/2018 00083191487	DISHMAN, ALLISON	MF THE GROWTH FUND OF AMERICA-529A	B	5,000.00	100.00	0.00	100.00	72.5	72.50
07/11/2018 00083191487	DISHMAN, ALLISON	MF THE INCOME FUND OF AMERICA-529A	B	5,000.00	100.00	0.00	100.00	72.5	72.50
07/16/2018 00083955954	BALLINGER, TRACY	MF AMERICAN BALANCED FUND-A	B	12.50	0.63	0.00	0.63	72.5	0.46
07/16/2018 00083955954	BALLINGER, TRACY	MF CAPITAL INCOME BUILDER-A	B	12.50	0.63	0.00	0.63	72.5	0.46
07/16/2018 00083955954	BALLINGER, TRACY	MF NEW WORLD FUND-A	B	12.50	0.63	0.00	0.63	72.5	0.46
07/16/2018 00083955954	BALLINGER, TRACY	MF THE INVESTMENT COMPANY OF	B	12.50	0.63	0.00	0.63	72.5	0.46
07/23/2018 00085382893	GILL, JUDY	MF AMCAP FUND-A	B	7,500.00	281.25	0.00	281.25	72.5	203.91
07/23/2018 00085382893	GILL, JUDY	MF FUNDAMENTAL INVESTORS-A	B	7,500.00	281.25	0.00	281.25	72.5	203.91
07/23/2018 00085382893	GILL, JUDY	MF THE GROWTH FUND OF AMERICA-A	B	7,500.00	281.25	0.00	281.25	72.5	203.91
07/23/2018 00085382893	GILL, JUDY	MF THE INVESTMENT COMPANY OF	B	7,500.00	281.25	0.00	281.25	72.5	203.91
07/20/2018 00087205975	SHAFFER, GRACE	MF THE GROWTH FUND OF AMERICA-529A	B	100.00	5.00	0.00	5.00	72.5	3.63
07/20/2018 00087205995	SHAFFER, GRACE	MF THE GROWTH FUND OF AMERICA-529A	B	100.00	5.00	0.00	5.00	72.5	3.63
07/20/2018 00927192686	DELUCCA, CINDY	MF NEW PERSPECTIVE FUND-A	B	50.00	2.50	0.00	2.50	72.5	1.81
07/20/2018 00937042928	DELUCCA, CINDY	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	72.5	1.81
* MUTUAL FUNDS SUBTOTAL *				\$53,600.00	\$1,774.40	\$0.00	\$1,774.40		\$1,286.47
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018 (None)	VARIOUS, PHILLIPS	MFT COLLEGE CHOICE ADVISOR	B	0.00	31.28	0.00	31.28	72.5	22.68
07/09/2018 IRK114634	CM MEMPHIS INC	MFT 8504AFRKD	B	0.00	42.37	0.00	42.37	72.5	30.72
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$73.65	\$0.00	\$73.65		\$53.40
*** DIRECT TRANSACTIONS TOTAL ***				\$53,600.00	\$1,848.05	\$0.00	\$1,848.05		\$1,339.87

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ADJUSTMENTS TRANSACTIONS

BROKER: #0

07/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
07/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-155.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-155.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,339.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,184.87
Year-to-date Commissions	\$3,256.03