



COMMISSION STATEMENT

July 31, 2017

DAMIAN DERING
165 Culver Blvd
Suite A
Playa Del Rey, CA 90293

BROKER #: D127
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
07/13/2017	AGUILUZ, LUCILLE	ASSETMARK	52,967.91	50.07	0.00	50.07	90.0	45.06
07/13/2017	AMIN, CHIRAG	ASSETMARK	2,474.41	0.55	0.00	0.55	90.0	0.50
07/13/2017	AVONDO, ROSE	ASSETMARK	1,170.00	1.16	0.00	1.16	90.0	1.04
07/13/2017	AVONDO, ROSE	ASSETMARK	-1,170.00	-1.12	0.00	-1.12	90.0	-1.01
07/13/2017	CHUCK, LAURENCE	ASSETMARK	487.50	0.48	0.00	0.48	90.0	0.43
07/13/2017	CHUCK, LAURENCE	ASSETMARK	-487.50	-0.47	0.00	-0.47	90.0	-0.42
07/13/2017	JOHNSON, MORGAN	ASSETMARK	3,418.00	3.79	0.00	3.79	90.0	3.41
07/13/2017	JOHNSON, MORGAN	ASSETMARK	6,582.00	7.30	0.00	7.30	90.0	6.57
07/13/2017	PEARSON, ROBERT	ASSETMARK	1,000.00	0.50	0.00	0.50	90.0	0.45
07/13/2017	SCANE, DONALD	ASSETMARK	9,350.22	5.76	0.00	5.76	90.0	5.18
07/13/2017	SECRIST HOLDINGS LLC	ASSETMARK	2,028.98	1.06	0.00	1.06	90.0	0.95
07/13/2017	CROCKETT, PATRICIA	ASSETMARK	100,000.00	29.59	0.00	29.59	90.0	26.63
07/18/2017	AGUILUZ, LUCILLE	ASSETMARK	130,161.95	416.24	0.00	416.24	90.0	374.62
07/18/2017	AGUILUZ, LUCILLE	ASSETMARK	121,944.58	389.96	0.00	389.96	90.0	350.96
07/18/2017	AMIN, CHIRAG	ASSETMARK	123,946.35	225.80	0.00	225.80	90.0	203.22
07/18/2017	AMIN, CHIRAG	ASSETMARK	2,679,646.91	4,339.79	0.00	4,339.79	90.0	3,905.81
07/18/2017	ARMAGANIAN, ANDREA	ASSETMARK	283,955.52	715.57	0.00	715.57	90.0	644.01
07/18/2017	ARMAGANIAN, ANDREA	ASSETMARK	44,380.55	139.80	0.00	139.80	90.0	125.82
07/18/2017	AVONDO, ROSE	ASSETMARK	95,829.56	301.86	0.00	301.86	90.0	271.67
07/18/2017	AVONDO, ROSE	ASSETMARK	216,407.68	681.68	0.00	681.68	90.0	613.51
07/18/2017	BAGAMASPAD, FELICITAS	ASSETMARK	99,066.01	312.06	0.00	312.06	90.0	280.85
07/18/2017	BAUTISTA, FEDERICO	ASSETMARK	153,720.44	484.22	0.00	484.22	90.0	435.80
07/18/2017	BAUTISTA, FEDERICO	ASSETMARK	70,373.41	221.68	0.00	221.68	90.0	199.51
07/18/2017	BEELEER, FRANCES	ASSETMARK	8,937.93	28.15	0.00	28.15	90.0	25.34
07/18/2017	BOLENE, JAMES	ASSETMARK	73,936.79	232.90	0.00	232.90	90.0	209.61
07/18/2017	CASANOVA, JESSE	ASSETMARK	385,578.83	971.66	0.00	971.66	90.0	874.49

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/18/2017	CASANOVA, KENDRA	ASSETMARK	50,466.96	171.69	0.00	171.69	90.0	154.52
07/18/2017	CASANOVA, KENDRA	ASSETMARK	25,106.36	85.41	0.00	85.41	90.0	76.87
07/18/2017	CHUCK, LAURENCE	ASSETMARK	25,864.72	81.47	0.00	81.47	90.0	73.32
07/18/2017	CHUCK, LAURENCE	ASSETMARK	46,122.41	145.29	0.00	145.29	90.0	130.76
07/18/2017	CHUCK, LAURENCE	ASSETMARK	323,217.08	1,018.13	0.00	1,018.13	90.0	916.32
07/18/2017	COHEN, JANET	ASSETMARK	6,878.63	22.51	0.00	22.51	90.0	20.26
07/18/2017	COHEN, JANET	ASSETMARK	85,005.63	107.11	0.00	107.11	90.0	96.40
07/18/2017	COHEN, JANET	ASSETMARK	110,107.98	138.74	0.00	138.74	90.0	124.87
07/18/2017	DAINES, AUSTYN	ASSETMARK	20,105.04	68.40	0.00	68.40	90.0	61.56
07/18/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	14,828.77	50.45	0.00	50.45	90.0	45.41
07/18/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	11,903.19	37.39	0.00	37.39	90.0	33.65
07/18/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	272,714.50	856.62	0.00	856.62	90.0	770.96
07/18/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	13,743.32	43.17	0.00	43.17	90.0	38.85
07/18/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	12,657.41	39.76	0.00	39.76	90.0	35.78
07/18/2017	DERING, WILLIAM	ASSETMARK	3,665.92	12.47	0.00	12.47	90.0	11.22
07/18/2017	DERING, WILLIAM	ASSETMARK	49,374.74	167.97	0.00	167.97	90.0	151.17
07/18/2017	DERING, WILLIAM	ASSETMARK	74,401.18	253.11	0.00	253.11	90.0	227.80
07/18/2017	ENCINA, ANTONIO	ASSETMARK	7,864.93	24.77	0.00	24.77	90.0	22.29
07/18/2017	ENCINA, ANTONIO	ASSETMARK	40,958.70	129.02	0.00	129.02	90.0	116.12
07/18/2017	ENCINA, ANTONIO	ASSETMARK	207,519.98	653.69	0.00	653.69	90.0	588.32
07/18/2017	ERICKSON, KAY	ASSETMARK	53,092.57	167.24	0.00	167.24	90.0	150.52
07/18/2017	ERICKSON, KAY	ASSETMARK	123,942.04	312.33	0.00	312.33	90.0	281.10
07/18/2017	ESC INSURANCE CO	ASSETMARK	206,554.93	674.20	0.00	674.20	90.0	606.78
07/18/2017	FARFAN, FRANK	ASSETMARK	19,777.39	67.28	0.00	67.28	90.0	60.55
07/18/2017	FARFAN, FRANK	ASSETMARK	7,686.69	26.15	0.00	26.15	90.0	23.54
07/18/2017	FARFAN, FRANK	ASSETMARK	59,304.46	201.75	0.00	201.75	90.0	181.58
07/18/2017	FARFAN, FRANK	ASSETMARK	41,701.33	141.87	0.00	141.87	90.0	127.68
07/18/2017	GIBSON, PATRICK	ASSETMARK	158,266.08	398.83	0.00	398.83	90.0	358.95
07/18/2017	GIBSON, PATRICK	ASSETMARK	297,868.95	750.63	0.00	750.63	90.0	675.57
07/18/2017	GIBSON, PATRICK	ASSETMARK	137,012.77	345.27	0.00	345.27	90.0	310.74
07/18/2017	GIBSON, PATRICK	ASSETMARK	70,866.54	178.58	0.00	178.58	90.0	160.72
07/18/2017	GREEN, ROY	ASSETMARK	278,988.84	703.05	0.00	703.05	90.0	632.75
07/18/2017	HAVENS, JACK	ASSETMARK	14,918.06	50.75	0.00	50.75	90.0	45.68

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/18/2017	HAVENS, JACK	ASSETMARK	71,605.99	225.56	0.00	225.56	90.0	203.00
07/18/2017	HAVENS, JACK	ASSETMARK	17,173.19	54.10	0.00	54.10	90.0	48.69
07/18/2017	HOLLINGSWORTH, MELISSA	ASSETMARK	26,482.65	83.42	0.00	83.42	90.0	75.08
07/18/2017	HOLLINGSWORTH, MELISSA	ASSETMARK	17,924.47	56.46	0.00	56.46	90.0	50.81
07/18/2017	JOHNSON, MORGAN	ASSETMARK	4,401.86	14.98	0.00	14.98	90.0	13.48
07/18/2017	JOHNSON, MORGAN	ASSETMARK	5,690.82	19.36	0.00	19.36	90.0	17.42
07/18/2017	JOHNSON, MORGAN	ASSETMARK	7,584.05	25.80	0.00	25.80	90.0	23.22
07/18/2017	KUSUNOKI, MIKE	ASSETMARK	12,413.70	39.10	0.00	39.10	90.0	35.19
07/18/2017	KUSUNOKI, MIKE	ASSETMARK	62,629.72	197.28	0.00	197.28	90.0	177.55
07/18/2017	KUSUNOKI, MIKE	ASSETMARK	9,034.81	28.46	0.00	28.46	90.0	25.61
07/18/2017	KUSUNOKI, MIKE	ASSETMARK	48,784.46	153.67	0.00	153.67	90.0	138.30
07/18/2017	LICHTENSTEIN, LOUISE	ASSETMARK	456,839.55	1,307.24	0.00	1,307.24	90.0	1,176.52
07/18/2017	LICHTENSTEIN, LOUISE	ASSETMARK	243,932.83	698.01	0.00	698.01	90.0	628.21
07/18/2017	MITSCH, JAY	ASSETMARK	10,531.50	35.83	0.00	35.83	90.0	32.25
07/18/2017	MITSCH, JAY	ASSETMARK	3,618.50	12.31	0.00	12.31	90.0	11.08
07/18/2017	MITSCH, JAY	ASSETMARK	6,194.42	21.07	0.00	21.07	90.0	18.96
07/18/2017	MOORE, JODIE	ASSETMARK	9,118.99	28.72	0.00	28.72	90.0	25.85
07/18/2017	MOORE, JODIE	ASSETMARK	16,594.05	52.27	0.00	52.27	90.0	47.04
07/18/2017	MOORE, JODIE	ASSETMARK	32,110.95	101.15	0.00	101.15	90.0	91.04
07/18/2017	MORTIMER, RICHARD	ASSETMARK	214,730.81	693.46	0.00	693.46	90.0	624.11
07/18/2017	MORTIMER, RICHARD	ASSETMARK	13,350.76	43.12	0.00	43.12	90.0	38.81
07/18/2017	O'CONNELL, MAUREEN	ASSETMARK	53,683.41	158.55	0.00	158.55	90.0	142.70
07/18/2017	O'CONNELL, MAUREEN	ASSETMARK	219,839.56	649.26	0.00	649.26	90.0	584.33
07/18/2017	O'CONNELL, MAUREEN	ASSETMARK	189,486.11	559.62	0.00	559.62	90.0	503.66
07/18/2017	O'CONNELL, MAUREEN	ASSETMARK	122,666.76	362.28	0.00	362.28	90.0	326.05
07/18/2017	PON, AGNES	ASSETMARK	25,038.29	78.87	0.00	78.87	90.0	70.98
07/18/2017	PRIETO, DANA	ASSETMARK	124,707.71	424.26	0.00	424.26	90.0	381.83
07/18/2017	ROBELO, FRANCISCO	ASSETMARK	116,658.02	367.47	0.00	367.47	90.0	330.72
07/18/2017	PEARSON, ROBERT	ASSETMARK	146,201.83	460.54	0.00	460.54	90.0	414.49
07/18/2017	PEARSON, ROBERT	ASSETMARK	163,373.49	514.63	0.00	514.63	90.0	463.17
07/18/2017	PEARSON, ROBERT	ASSETMARK	118,600.00	373.59	0.00	373.59	90.0	336.23
07/18/2017	PEARSON, ROBERT	ASSETMARK	14,945.44	45.86	0.00	45.86	90.0	41.27
07/18/2017	RTJ MANAGEMENT	ASSETMARK	65,790.19	165.79	0.00	165.79	90.0	149.21

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/18/2017	RTJ MANAGEMENT	ASSETMARK	558,190.43	1,406.64	0.00	1,406.64	90.0	1,265.98
07/18/2017	RTJ MANAGEMENT	ASSETMARK	305,550.45	769.99	0.00	769.99	90.0	692.99
07/18/2017	RUSSELL, RONALD	ASSETMARK	26,023.16	65.58	0.00	65.58	90.0	59.02
07/18/2017	RUSSELL, RONALD	ASSETMARK	235,287.64	592.92	0.00	592.92	90.0	533.63
07/18/2017	RUSSELL, RYAN	ASSETMARK	28,844.56	90.86	0.00	90.86	90.0	81.77
07/18/2017	RUSSELL, RYAN	ASSETMARK	2,088.04	6.58	0.00	6.58	90.0	5.92
07/18/2017	SECRIST-ROUSE TRUST, RUTH	ASSETMARK	115,887.67	189.26	0.00	189.26	90.0	170.33
07/18/2017	SCANE, DONALD	ASSETMARK	34,953.62	105.73	0.00	105.73	90.0	95.16
07/18/2017	SCANE, DONALD	ASSETMARK	111,320.84	350.66	0.00	350.66	90.0	315.59
07/18/2017	SCANE, DONALD	ASSETMARK	341,618.06	1,076.10	0.00	1,076.10	90.0	968.49
07/18/2017	SECRIST HOLDINGS LLC	ASSETMARK	1,541,907.43	3,885.61	0.00	3,885.61	90.0	3,497.05
07/18/2017	SECRIST HOLDINGS LLC	ASSETMARK	950,444.26	2,395.12	0.00	2,395.12	90.0	2,155.61
07/18/2017	SECRIST-ROUSE TRUST, RUTH	ASSETMARK	93,102.71	293.27	0.00	293.27	90.0	263.94
07/18/2017	SIMMONS, SANDRA	ASSETMARK	117,751.85	296.73	0.00	296.73	90.0	267.06
07/18/2017	SIMMONS, SANDRA	ASSETMARK	107,261.14	270.30	0.00	270.30	90.0	243.27
07/18/2017	SIMMONS, SANDRA	ASSETMARK	23,644.28	59.58	0.00	59.58	90.0	53.62
07/18/2017	SIMMONS, SANDRA	ASSETMARK	184,251.27	464.31	0.00	464.31	90.0	417.88
07/18/2017	LILLARD FAMILY TRUST	ASSETMARK	481,927.74	1,518.07	0.00	1,518.07	90.0	1,366.26
07/18/2017	VENUS, FELY	ASSETMARK	32,215.51	109.60	0.00	109.60	90.0	98.64
07/18/2017	CROCKETT, PATRICIA	ASSETMARK	99,031.11	336.90	0.00	336.90	90.0	303.21
07/25/2017	SCANE, DARYL	PACIFIC FINANCIAL	104,171.95	74.38	0.00	74.38	90.0	66.94
07/12/2017	FARFAN, VICTOR	SEI	43,484.71	135.89	0.00	135.89	90.0	122.30
07/12/2017	HENRY, CHUCK	SEI	155,642.17	486.38	0.00	486.38	90.0	437.74
* RIA SUBTOTAL *			\$15,884,535.62	\$40,717.69	\$0.00	\$40,717.69		\$36,645.88
*** BROKER TRANSACTIONS TOTAL ***			\$15,884,535.62	\$40,717.69	\$0.00	\$40,717.69		\$36,645.88

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BROKER COMMISSION TOTALS

Broker Transactions	\$36,645.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$36,645.88
Year-to-date Gross	\$114,580.81
Year-to-date Earnings	\$103,122.85