

## COMMISSION STATEMENT

September 29, 2017

CLOSED Z-ACCOUNT

BROKER #: 75

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/11/2007	75599821 RF LAFFERTY & CO	EQUIFAX INC	B	22,540.00	0.00	0.00	0.00	80.0	0.00
09/11/2007	75599821 RF LAFFERTY & CO	EQUIFAX INC	S	-22,540.00	0.00	0.00	0.00	80.0	0.00 C
08/10/2017	75599821 RF LAFFERTY & CO	TECHNICAL COMMUNICATIONS CORP	S	-39,227.50	0.00	0.00	0.00	80.0	0.00 C
08/10/2017	75599821 RF LAFFERTY & CO	TECHNICAL COMMUNICATIONS CORP	B	38,999.32	0.00	0.00	0.00	80.0	0.00
08/31/2017	75599821 RF LAFFERTY & CO	HORMEL FOODS CORP	S	6,163.50	0.00	0.00	0.00	80.0	0.00
08/31/2017	75599821 RF LAFFERTY & CO	HORMEL FOODS CORP	B	9,235.02	0.00	0.00	0.00	80.0	0.00
08/31/2017	75599821 RF LAFFERTY & CO	HORMEL FOODS CORP	B	6,163.50	0.00	0.00	0.00	80.0	0.00
08/31/2017	75599821 RF LAFFERTY & CO	HORMEL FOODS CORP	S	9,235.02	0.00	0.00	0.00	80.0	0.00
09/05/2017	75599821 RF LAFFERTY & CO	GARTNER INC	S	18,115.50	0.00	0.00	0.00	80.0	0.00
09/05/2017	75599821 RF LAFFERTY & CO	GARTNER INC	B	18,115.49	0.00	0.00	0.00	80.0	0.00
09/05/2017	75599821 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	B	18,185.00	0.00	0.00	0.00	80.0	0.00
09/05/2017	75599821 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA	S	18,185.00	0.00	0.00	0.00	80.0	0.00
09/06/2017	75599821 RF LAFFERTY & CO	VERISK ANALYTICS INC COM	S	32,383.96	0.00	0.00	0.00	80.0	0.00
09/06/2017	75599821 RF LAFFERTY & CO	VERISK ANALYTICS INC COM	B	32,383.96	0.00	0.00	0.00	80.0	0.00
09/07/2017	75599821 RF LAFFERTY & CO	INC RESEARCH HOLDINGS INC CLASS A C B		43,280.00	0.00	0.00	0.00	80.0	0.00
09/07/2017	75599821 RF LAFFERTY & CO	INC RESEARCH HOLDINGS INC CLASS A C S		108.20	0.00	0.00	0.00	80.0	0.00
09/07/2017	75599821 RF LAFFERTY & CO	INC RESEARCH HOLDINGS INC CLASS A C S		15,093.90	0.00	0.00	0.00	80.0	0.00
09/07/2017	75599821 RF LAFFERTY & CO	INC RESEARCH HOLDINGS INC CLASS A C S		28,077.90	0.00	0.00	0.00	80.0	0.00
09/07/2017	75599821 RF LAFFERTY & CO	PENTAIR PLC SHS	B	9,222.00	0.00	0.00	0.00	80.0	0.00
09/07/2017	75599821 RF LAFFERTY & CO	PENTAIR PLC SHS	S	6,824.28	0.00	0.00	0.00	80.0	0.00
09/07/2017	75599821 RF LAFFERTY & CO	PENTAIR PLC SHS	S	2,397.72	0.00	0.00	0.00	80.0	0.00
09/07/2017	75599821 RF LAFFERTY & CO	S&P GLOBAL INC COM	S	30,388.00	0.00	0.00	0.00	80.0	0.00
09/07/2017	75599821 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	15,194.00	0.00	0.00	0.00	80.0	0.00
09/07/2017	75599821 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	3,190.74	0.00	0.00	0.00	80.0	0.00
09/07/2017	75599821 RF LAFFERTY & CO	S&P GLOBAL INC COM	B	12,003.26	0.00	0.00	0.00	80.0	0.00
09/07/2017	75599821 RF LAFFERTY & CO	WALT DISNEY CO	B	26,585.57	0.00	0.00	0.00	80.0	0.00
09/07/2017	75599821 RF LAFFERTY & CO	WALT DISNEY CO	B	21,751.83	0.00	0.00	0.00	80.0	0.00
09/07/2017	75599821 RF LAFFERTY & CO	WALT DISNEY CO	B	24,168.70	0.00	0.00	0.00	80.0	0.00
09/07/2017	75599821 RF LAFFERTY & CO	WALT DISNEY CO	S	72,506.10	0.00	0.00	0.00	80.0	0.00
09/08/2017	75599821 RF LAFFERTY & CO	EQUIFAX INC	S	49,296.00	0.00	0.00	0.00	80.0	0.00
09/08/2017	75599821 RF LAFFERTY & CO	EQUIFAX INC	B	49,296.00	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/08/2017	75599821 RF LAFFERTY & CO	NVIDIA CORP	S	4,907.70	0.00	0.00	0.00	80.0	0.00
09/08/2017	75599821 RF LAFFERTY & CO	NVIDIA CORP	B	4,907.70	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	BAOZUN INC AMERICAN DEPOSITARY SHAR S	S	12,785.48	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	BAOZUN INC AMERICAN DEPOSITARY SHAR S	S	12,785.48	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	BAOZUN INC AMERICAN DEPOSITARY SHAR S	S	12,785.48	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	BAOZUN INC AMERICAN DEPOSITARY SHAR B	B	38,356.44	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	BLACKSTONE GROUP L P (THE) COMMON U S	S	3,709.68	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	BLACKSTONE GROUP L P (THE) COMMON U S	S	3,225.81	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	BLACKSTONE GROUP L P (THE) COMMON U S	S	3,225.81	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	BLACKSTONE GROUP L P (THE) COMMON U B	B	10,161.32	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	CITIGROUP INC COM	B	268,012.60	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	CITIGROUP INC COM	S	66,990.00	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	CITIGROUP INC COM	S	67,050.10	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	CITIGROUP INC COM	S	66,970.00	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	CITIGROUP INC COM	S	67,002.51	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	ENBRIDGE ENERGY PARTNERS L P	S	2,272.49	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	ENBRIDGE ENERGY PARTNERS L P	S	7,574.95	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	ENBRIDGE ENERGY PARTNERS L P	S	7,574.95	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	ENBRIDGE ENERGY PARTNERS L P	S	7,574.95	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	ENBRIDGE ENERGY PARTNERS L P	B	24,997.34	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	EQUIFAX INC	S	22,540.00	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599821 RF LAFFERTY & CO	EQUIFAX INC	B	22,540.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	KLA-TENCOR CORP COM	S	29,205.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	KLA-TENCOR CORP COM	B	97.32	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	KLA-TENCOR CORP COM	B	22,578.24	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	KLA-TENCOR CORP COM	B	6,520.44	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	B	367,353.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	91,882.50	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	91,882.50	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,673.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	5,289.12	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	5,729.88	0.00	0.00	0.00	80.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	5,729.88	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	11,019.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	12,635.12	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	18,365.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,670.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,670.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	3,672.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	40,359.11	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599821 RF LAFFERTY & CO	MOMO INC ADR	S	7,344.00	0.00	0.00	0.00	80.0	0.00
09/15/2017	75599821 RF LAFFERTY & CO	STRYKER CORP	B	72,150.00	0.00	0.00	0.00	80.0	0.00
09/15/2017	75599821 RF LAFFERTY & CO	STRYKER CORP	S	28,860.00	0.00	0.00	0.00	80.0	0.00
09/15/2017	75599821 RF LAFFERTY & CO	STRYKER CORP	S	31,024.50	0.00	0.00	0.00	80.0	0.00
09/15/2017	75599821 RF LAFFERTY & CO	STRYKER CORP	S	5,050.50	0.00	0.00	0.00	80.0	0.00
09/15/2017	75599821 RF LAFFERTY & CO	STRYKER CORP	S	7,215.00	0.00	0.00	0.00	80.0	0.00
09/18/2017	75599821 RF LAFFERTY & CO	ALLERGAN PLC	S	1,992.26	0.00	0.00	0.00	80.0	0.00
09/18/2017	75599821 RF LAFFERTY & CO	ALLERGAN PLC	S	1,328.18	0.00	0.00	0.00	80.0	0.00
09/18/2017	75599821 RF LAFFERTY & CO	ALLERGAN PLC	S	1,106.81	0.00	0.00	0.00	80.0	0.00
09/18/2017	75599821 RF LAFFERTY & CO	ALLERGAN PLC	B	4,427.25	0.00	0.00	0.00	80.0	0.00
09/18/2017	75599821 RF LAFFERTY & CO	KLA-TENCOR CORP COM	S	20,102.00	0.00	0.00	0.00	80.0	0.00
09/18/2017	75599821 RF LAFFERTY & CO	KLA-TENCOR CORP COM	B	20,101.98	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	50,210.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	7,650.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-7,650.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	7,650.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	502.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-502.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	502.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	502.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-502.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	502.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	503.00	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-503.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	503.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	818.26	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-818.26	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	818.26	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,189.74	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-1,189.74	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,189.74	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,509.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-1,509.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,509.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,506.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-1,506.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,506.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	2,515.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-2,515.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	2,515.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,004.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-1,004.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,004.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	920.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-920.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	920.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,580.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-1,580.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,580.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	12,500.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-12,500.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	12,500.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	2,500.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-2,500.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	2,500.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	2,500.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-2,500.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	2,500.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	501.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-501.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	501.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	501.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-501.00	0.00	0.00	0.00	80.0	0.00 C

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	501.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	501.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-501.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	501.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	3,507.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-3,507.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	3,507.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	501.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-501.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	501.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	65.13	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-65.13	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	65.13	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,000.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-1,000.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	1,000.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	2,935.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-2,935.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	2,935.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	3,000.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	B	-3,000.00	0.00	0.00	0.00	80.0	0.00 C
09/20/2017	75599821 RF LAFFERTY & CO	BIOLIFE SOLUTIONS INC COM	S	3,000.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	B	41,702.90	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	3,502.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	5,899.50	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	1,339.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	4,466.22	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	609.03	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	207.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	207.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	207.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	414.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	414.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	1,035.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	1,138.50	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	1,552.50	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	3,280.16	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	1,845.09	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	203.50	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM	S	203.50	0.00	0.00	0.00	80.0	0.00

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COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/20/2017	75599821 RF LAFFERTY & CO	WORKHORSE GROUP INC COM NEW	B	1,680.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	WORKHORSE GROUP INC COM NEW	B	14,000.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	WORKHORSE GROUP INC COM NEW	B	440.82	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	WORKHORSE GROUP INC COM NEW	B	6,439.77	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	WORKHORSE GROUP INC COM NEW	B	6,440.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	WORKHORSE GROUP INC COM NEW	B	675.18	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599821 RF LAFFERTY & CO	WORKHORSE GROUP INC COM NEW	B	13,999.50	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	B	448,896.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	B	83,366.40	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	B	83,366.40	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	B	83,366.40	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	B	40,144.13	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	B	26,720.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,562.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	42.70	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	44,963.10	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	38,430.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	14,945.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	53,375.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	42,700.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	64,050.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	106,750.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	64,200.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	8,560.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	23,540.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	29,960.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	47,080.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	2,140.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	4,280.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	64,200.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599821 RF LAFFERTY & CO	CALGON CARBON CORP	S	134,820.00	0.00	0.00	0.00	80.0	0.00

TRADE DATE		CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
09/22/2017		75599821	RF LAFFERTY & CO		BEST BUY CO INC (MN)	B	32,310.00	0.00	0.00	0.00 80.0 0.00
09/22/2017		75599821	RF LAFFERTY & CO		BEST BUY CO INC (MN)	S	5,385.00	0.00	0.00	0.00 80.0 0.00
09/22/2017		75599821	RF LAFFERTY & CO		BEST BUY CO INC (MN)	S	5,385.00	0.00	0.00	0.00 80.0 0.00
09/22/2017		75599821	RF LAFFERTY & CO		BEST BUY CO INC (MN)	S	5,385.00	0.00	0.00	0.00 80.0 0.00
09/22/2017		75599821	RF LAFFERTY & CO		BEST BUY CO INC (MN)	S	5,385.00	0.00	0.00	0.00 80.0 0.00
09/22/2017		75599821	RF LAFFERTY & CO		BEST BUY CO INC (MN)	S	5,385.00	0.00	0.00	0.00 80.0 0.00
09/22/2017		75599821	RF LAFFERTY & CO		BEST BUY CO INC (MN)	S	5,385.00	0.00	0.00	0.00 80.0 0.00
09/22/2017		75599821	RF LAFFERTY & CO		CARNIVAL CORP COMMON PAIRED STOCK	B	32,050.55	0.00	0.00	0.00 80.0 0.00
09/22/2017		75599821	RF LAFFERTY & CO		CARNIVAL CORP COMMON PAIRED STOCK	S	6,410.50	0.00	0.00	0.00 80.0 0.00
09/22/2017		75599821	RF LAFFERTY & CO		CARNIVAL CORP COMMON PAIRED STOCK	S	12,820.02	0.00	0.00	0.00 80.0 0.00
09/22/2017		75599821	RF LAFFERTY & CO		CARNIVAL CORP COMMON PAIRED STOCK	S	12,820.02	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		PINNACLE WEST CAP CORP COM	B	34,426.00	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		PINNACLE WEST CAP CORP COM	S	86.06	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		PINNACLE WEST CAP CORP COM	S	8,606.00	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		PINNACLE WEST CAP CORP COM	S	8,607.00	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		PINNACLE WEST CAP CORP COM	S	8,607.00	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		PINNACLE WEST CAP CORP COM	S	8,519.94	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		SONY CORPORATION ADR	B	29,843.36	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		SONY CORPORATION ADR	S	3,730.00	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		SONY CORPORATION ADR	S	3,730.10	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		SONY CORPORATION ADR	S	3,730.50	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		SONY CORPORATION ADR	S	3,730.50	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		SONY CORPORATION ADR	S	3,730.50	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		SONY CORPORATION ADR	S	1,007.10	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		SONY CORPORATION ADR	S	1,007.10	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		SONY CORPORATION ADR	S	1,716.03	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		SONY CORPORATION ADR	S	1,716.03	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		SONY CORPORATION ADR	S	1,716.26	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		SONY CORPORATION ADR	S	2,014.47	0.00	0.00	0.00 80.0 0.00
09/25/2017		75599821	RF LAFFERTY & CO		SONY CORPORATION ADR	S	2,014.74	0.00	0.00	0.00 80.0 0.00
09/26/2017		75599821	RF LAFFERTY & CO		ENSCO PLC NEW CLASS A ORD	S	80,778.60	0.00	0.00	0.00 80.0 0.00
09/26/2017		75599821	RF LAFFERTY & CO		ENSCO PLC NEW CLASS A ORD	B	576.50	0.00	0.00	0.00 80.0 0.00
09/26/2017		75599821	RF LAFFERTY & CO		ENSCO PLC NEW CLASS A ORD	B	576.50	0.00	0.00	0.00 80.0 0.00
09/26/2017		75599								



COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	577.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	6,347.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	8,078.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	957.82	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	10,963.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	1,154.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	1,154.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	1,154.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	1,154.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	1,350.18	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	15,002.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	1,731.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	1,731.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	2,308.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	2,308.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	2,308.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	2,885.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	2,885.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	3,462.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	ENSCO PLC NEW CLASS A ORD	B	3,462.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	MACQUARIE INFRASTRUCTURE CORPORATIO B	B	7,345.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	MACQUARIE INFRASTRUCTURE CORPORATIO B	B	7,345.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	MACQUARIE INFRASTRUCTURE CORPORATIO S	B	7,345.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	MACQUARIE INFRASTRUCTURE CORPORATIO S	B	7,345.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	S	12,252.80	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	B	38.28	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	B	38.28	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	B	38.28	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	B	382.10	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	B	382.10	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	B	382.80	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	B	76.56	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
09/26/2017	75599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	B	10,187.80	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	B	114.84	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	B	152.84	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	B	229.68	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	B	229.68	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	S	15,700.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	S	15,700.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	S	39,250.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	S	15,700.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	S	15,700.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	S	15,670.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	B	23,550.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	B	39,250.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	B	39,250.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	B	7,835.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SILICON LABORATORIES INC	B	7,835.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SPECTRUM BRANDS HOLDINGS INC	B	10,325.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SPECTRUM BRANDS HOLDINGS INC	B	10,325.00	0.00	0.00	0.00	80.0	0.00
09/26/2017	75599821 RF LAFFERTY & CO	SPECTRUM BRANDS HOLDINGS INC	S	20,650.00	0.00	0.00	0.00	80.0	0.00
08/01/2017	75599822 RF LAFFERTY & CO	VIEWRAY INC COM	S	2,430.00	0.00	0.00	0.00	80.0	0.00
08/31/2017	75599822 RF LAFFERTY & CO	HORMEL FOODS CORP	B	6,163.50	0.00	0.00	0.00	80.0	0.00
08/31/2017	75599822 RF LAFFERTY & CO	HORMEL FOODS CORP	S	9,235.02	0.00	0.00	0.00	80.0	0.00
08/31/2017	75599822 RF LAFFERTY & CO	HORMEL FOODS CORP	B	3,081.66	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599822 RF LAFFERTY & CO	VIEWRAY INC COM	B	3,049.95	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599822 RF LAFFERTY & CO	VIEWRAY INC COM	S	-3,049.95	0.00	0.00	0.00	80.0	0.00 C
09/14/2017	75599822 RF LAFFERTY & CO	VIEWRAY INC COM	B	3,049.95	0.00	0.00	0.00	80.0	0.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$4,902,579.67</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
09/13/2017	75599820 RF LAFFERTY & CO	CONSOL ENERGY INC SR GLBL NT 22	B	5,020.45	0.00	0.00	0.00	80.0	0.00
09/13/2017	75599820 RF LAFFERTY & CO	CONSOL ENERGY INC SR GLBL NT 22	S	-5,020.45	0.00	0.00	0.00	80.0	0.00 C
09/13/2017	75599820 RF LAFFERTY & CO	CONSOL ENERGY INC SR GLBL NT 22	S	5,020.45	0.00	0.00	0.00	80.0	0.00
09/13/2017	75599820 RF LAFFERTY & CO	CONSOL ENERGY INC SR GLBL NT 22	B	5,020.45	0.00	0.00	0.00	80.0	0.00
08/01/2017	75599826 RF LAFFERTY & CO	MASTR ADJUSTABLE RATE MORTGAGES TRU B		-13,950.30	0.00	0.00	0.00	80.0	0.00 C
08/01/2017	75599826 RF LAFFERTY & CO	MASTR ADJUSTABLE RATE MORTGAGES TRU S		-13,874.80	0.00	0.00	0.00	80.0	0.00 C
08/01/2017	75599826 RF LAFFERTY & CO	MASTR ADJUSTABLE RATE MORTGAGES TRU S		13,950.25	0.00	0.00	0.00	80.0	0.00
08/01/2017	75599826 RF LAFFERTY & CO	MASTR ADJUSTABLE RATE MORTGAGES TRU B		13,874.84	0.00	0.00	0.00	80.0	0.00
08/29/2017	75599826 RF LAFFERTY & CO	GENWORTH FINL INC SR NT	B	18,501.25	0.00	0.00	0.00	80.0	0.00
08/29/2017	75599826 RF LAFFERTY & CO	GENWORTH FINL INC SR NT	S	18,572.50	0.00	0.00	0.00	80.0	0.00
08/31/2017	75599826 RF LAFFERTY & CO	MORGAN STANLEY TGT CPN 12% LNKD TO B		45,125.00	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
08/31/2017	75599826 RF LAFFERTY & CO	MORGAN STANLEY TGT CPN 12% LNKD TO S		45,750.00	0.00	0.00	0.00	80.0	0.00
09/06/2017	75599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21	B	24,937.50	0.00	0.00	0.00	80.0	0.00
09/06/2017	75599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21	S	25,062.50	0.00	0.00	0.00	80.0	0.00
09/08/2017	75599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21	B	24,937.50	0.00	0.00	0.00	80.0	0.00
09/11/2017	75599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21	S	24,975.00	0.00	0.00	0.00	80.0	0.00
09/12/2017	75599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 S		28,614.30	0.00	0.00	0.00	80.0	0.00
09/12/2017	75599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 B		28,500.00	0.00	0.00	0.00	80.0	0.00
09/12/2017	75599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21	S	9,990.00	0.00	0.00	0.00	80.0	0.00
09/12/2017	75599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21	B	9,950.00	0.00	0.00	0.00	80.0	0.00
09/12/2017	75599826 RF LAFFERTY & CO	HORNBECK OFFSHORE SVCS INC NEW GTD	B	9,200.00	0.00	0.00	0.00	80.0	0.00
09/13/2017	75599826 RF LAFFERTY & CO	CONSOL ENERGY INC SR GLBL NT 22	S	5,020.45	0.00	0.00	0.00	80.0	0.00
09/13/2017	75599826 RF LAFFERTY & CO	CONSOL ENERGY INC SR GLBL NT 22	B	-5,020.45	0.00	0.00	0.00	80.0	0.00 C
09/13/2017	75599826 RF LAFFERTY & CO	CONSOL ENERGY INC SR GLBL NT 22	S	5,020.45	0.00	0.00	0.00	80.0	0.00
09/13/2017	75599826 RF LAFFERTY & CO	INTL BANK RECON & DEVELOP	S	13,410.00	0.00	0.00	0.00	80.0	0.00
09/13/2017	75599826 RF LAFFERTY & CO	INTL BANK RECON & DEVELOP	B	13,200.00	0.00	0.00	0.00	80.0	0.00
09/14/2017	75599826 RF LAFFERTY & CO	GLOBAL MARINE INC NTS	S	6,685.00	0.00	0.00	0.00	80.0	0.00
09/19/2017	75599826 RF LAFFERTY & CO	ENSCO PLC	B	24,015.00	0.00	0.00	0.00	80.0	0.00
09/19/2017	75599826 RF LAFFERTY & CO	ENSCO PLC	S	24,300.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599826 RF LAFFERTY & CO	BANK AMER CORP TGT CPN 14 LINKED TO B		11,362.50	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599826 RF LAFFERTY & CO	SLM CORP EDNOTES	B	15,500.00	0.00	0.00	0.00	80.0	0.00
09/20/2017	75599826 RF LAFFERTY & CO	SLM CORP EDNOTES	S	15,550.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 S		19,000.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 B		18,900.00	0.00	0.00	0.00	80.0	0.00
09/21/2017	75599826 RF LAFFERTY & CO	SOCIETE GENERALE REV CONV LKD TO MS S		3,000.00	0.00	0.00	0.00	80.0	0.00
<b>* BONDS SUBTOTAL *</b>				<b>\$494,099.39</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
09/20/2017	75500685 GLOVISTA F/A/O	CALL SPY 01/20/17 222	B	2,040.00	40.00	0.00	40.00	80.0	32.00
09/20/2017	75500685 GLOVISTA F/A/O	CALL SPY 01/20/17 222	S	-2,040.00	-40.00	0.00	-40.00	80.0	-32.00 C
<b>* OPTIONS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u></b>									
09/27/2017	75599826 RF LAFFERTY & CO	JPMORGAN CHASE BK NA COLUMBUS OHIO	S	7,625.00	0.00	0.00	0.00	80.0	0.00
09/27/2017	75599826 RF LAFFERTY & CO	JPMORGAN CHASE BK NA COLUMBUS OHIO	B	7,575.00	0.00	0.00	0.00	80.0	0.00
<b>* CERTIFICATES OF DEPOSIT SUBTOTAL *</b>				<b>\$15,200.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>									
09/06/2017	75599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SER	S	5,004.47	0.00	0.00	0.00	80.0	0.00
09/06/2017	75599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SER	B	-5,004.47	0.00	0.00	0.00	80.0	0.00 C
09/06/2017	75599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SER	S	5,004.47	0.00	0.00	0.00	80.0	0.00
09/06/2017	75599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SER	B	4,904.36	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

* UNIT INVESTMENT TRUSTS SUBTOTAL *				\$9,908.83	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
09/12/2017	75502067	SCRUGGS, SUSAN	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	0.02	0.00	0.02	80.0	0.02
09/12/2017	75502067	SCRUGGS, SUSAN	THE BOND FUND OF AMERICA-A B	0.00	1.04	0.00	1.04	80.0	0.83
09/12/2017	75502067	SCRUGGS, SUSAN	THE GROWTH FUND OF AMERICA-A B	0.00	2.73	0.00	2.73	80.0	2.18
09/12/2017	75502109	BARBARA L FEGLEY PERS	MAINSTAY S&P 500 INDEX FUND CLASS A B	0.00	104.67	0.00	104.67	80.0	83.74
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$108.46	\$0.00	\$108.46		\$86.77
*** BROKER TRANSACTIONS TOTAL ***				\$5,421,787.89	\$108.46	\$0.00	\$108.46		\$86.77

BROKER COMMISSION TOTALS

Broker Transactions	\$86.77
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.14
SIPC Assessment	\$-0.27

Check Amount	\$86.36
Year-to-date Earnings	\$143.29