



Concorde Investment Services, LLC

07/27/2017
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COMMISSION STATEMENT July 31, 2017

TIMOTHY STAUFFER
333 Fiddlers Cove

BROKER #: S118
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
07/21/2017	SMITH REV TRUST, ROY & KAY	COTTONWOOD RESIDENTIAL 2016 PREFR'D	180,000.00	12,600.00	0.00	12,600.00	90.0	11,340.00
07/21/2017	SMITH REV TRUST, ROY & KAY	COTTONWOOD RESIDENTIAL 2016 PREFR'D	20,000.00	1,400.00	0.00	1,400.00	90.0	1,260.00
* PRIVATE PLACEMENT SUBTOTAL *			\$200,000.00	\$14,000.00	\$0.00	\$14,000.00		\$12,600.00
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
07/24/2017	SHERIDAN COURT INV	INLAND DENVER MSA MULTIFAM'Y II DST	130,000.00	6,500.00	0.00	6,500.00	90.0	5,850.00
07/07/2017	SHERIDAN COURT INV	PASSCO LONGITUDE DST	100,000.00	6,000.00	0.00	6,000.00	90.0	5,400.00
* 1031 EXCHANGES SUBTOTAL *			\$230,000.00	\$12,500.00	\$0.00	\$12,500.00		\$11,250.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	SMITH, ERIC	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	1.07	0.00	1.07	90.0	0.96
/ /	SMITH, LANCE	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.80	0.00	0.80	90.0	0.72
/ /	ROPP, ROBIN	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	1.30	0.00	1.30	90.0	1.17
/ /	SMITH, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	1.09	0.00	1.09	90.0	0.98
/ /	SMITH, LANCE	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.82	0.00	0.82	90.0	0.74
/ /	ROPP, ROBIN	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	1.32	0.00	1.32	90.0	1.19
/ /	SMITH, ERIC	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.02	0.00	1.02	90.0	0.92
/ /	SMITH, LANCE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.76	0.00	0.76	90.0	0.68
/ /	ROPP, ROBIN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.27	0.00	1.27	90.0	1.14
07/11/2017	STAUFFER, KAREN	TRANSAMERICA TACTICAL INCOME C	-10,653.47	22.00	22.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-10,653.47	\$31.45	\$22.00	\$9.45		\$8.50
<u>PRODUCT CATEGORY: STOCKS</u>								
07/11/2017	SHERRILL, TIMOTHY	NATIONAL GRID PLC SPON ADR NEW 2017	9,943.97	36.00	22.50	13.50	90.0	12.15
07/11/2017	MORR TRUST, PATTY	NATIONAL GRID PLC SPON ADR NEW 2017	4,823.04	28.00	22.50	5.50	90.0	4.95
* STOCKS SUBTOTAL *			\$14,767.01	\$64.00	\$45.00	\$19.00		\$17.10

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/14/2017	BLANCHARD, SUZETTE	PAC LIFE INNOVATIVE SELECT	0.00	613.86	0.00	613.86	90.0	552.47
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$613.86	\$0.00	\$613.86		\$552.47
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/16/2017	REICHARD, THOMAS	ING ESII	0.00	36.69	0.00	36.69	90.0	33.02
07/19/2017	NUTTLE, JANNETTA	ING PREMIUM PLUS 2005	0.00	9.69	0.00	9.69	90.0	8.72
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$46.38	\$0.00	\$46.38		\$41.74
*** BROKER TRANSACTIONS TOTAL ***			\$434,113.54	\$27,255.69	\$67.00	\$27,188.69		\$24,469.81

BROKER COMMISSION TOTALS

Broker Transactions	\$24,469.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$24,469.81
Year-to-date Gross	\$90,589.28
Year-to-date Earnings	\$80,735.02

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