



COMMISSION STATEMENT

March 05, 2018

ROBERT PEDERSEN
6 N Front St
Rio Vista, CA 94571

BROKER #: 200
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 02/20/2018 | ***-**-8197 - TERHERST, ACCOUNT #: 000000000621250 | F/T MODERATE TARGET FD - CLASS C BUY 7 Units @15.8400 | 117.50 | 1.18 | 0.00 | 1.18 | 50.0 | 0.59 |
| 02/20/2018 | ***-**-3373 - GARMAN, MICHAEL ACCOUNT #: 000000000621262 | F/T MODERATE TARGET FD - CLASS C BUY 4 Units @15.8400 | 68.75 | 0.69 | 0.00 | 0.69 | 50.0 | 0.35 |
| 02/20/2018 | ***-**-3998 - UTLER, STEFAN ACCOUNT #: 000000000628457 | F/T MODERATE TARGET FD - CLASS C BUY 9 Units @15.8400 | 150.00 | 1.50 | 0.00 | 1.50 | 50.0 | 0.75 |
| 02/20/2018 | ***-**-8197 - TERHERST, ACCOUNT #: 000000000445128 | FRK CSV ALC FD CL C BUY 8 Units @14.6600 | 117.50 | 1.18 | 0.00 | 1.18 | 50.0 | 0.59 |
| 02/20/2018 | ***-**-3373 - GARMAN, MICHAEL ACCOUNT #: 000000000445130 | FRK CSV ALC FD CL C BUY 5 Units @14.6600 | 68.75 | 0.69 | 0.00 | 0.69 | 50.0 | 0.35 |
| 02/20/2018 | ***-**-3998 - UTLER, STEFAN ACCOUNT #: 000000000449621 | FRK CSV ALC FD CL C BUY 10 Units @14.6600 | 150.00 | 1.50 | 0.00 | 1.50 | 50.0 | 0.75 |
| 02/27/2018 | ***-**-17IN - NEUFELD, BETTY ACCOUNT #: LSR011755 | PRINCIPAL CALIFORNIA MUNI CL A SELL 290 Units @10.3300 | 3,000.00 | 0.00 | 0.00 | 0.00 | 50.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$3,672.50 | \$6.74 | \$0.00 | \$6.74 | | \$3.38 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$3,672.50 | \$6.74 | \$0.00 | \$6.74 | | \$3.38 |



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|--|--------|------------|----------------------|---------------------|--------------------|-----------------------|------|----------------------|
| BROKER COMMISSION TOTALS | | | | | | | | |
| | | | | | | Broker Transactions | | \$3.38 |
| | | | | | | Split Transactions | | \$0.00 |
| | | | | | | Override Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | | Prior Period Balance | | \$0.00 |
| | | | | | | FINRA Assessment | | \$0.00 |
| | | | | | | SIPC Assessment | | \$0.00 |
| | | | | | | | | |
| Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD | | | | | | Balance Forward | | \$0.00 |
| | | | | | | Year-to-date Earnings | | \$0.00 |