



All Companies

02/14/2018
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COMMISSION STATEMENT February 15, 2018

BRIAN VAN MATTSON
1020 HIDDEN VALLEY CT.

BROKER #: 9116
BRANCH: HOME OFFICE

McGregor, TX 76657-

COMMISSION STATEMENT for BRIAN VAN MATTSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/31/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	33.44	33.44	0.00	33.44	90.0	30.10
01/29/2018	00007230661 HESTON, JIM	THE HARTFORD CAPITAL APPRECIATION F B		166.66	6.67	0.00	6.67	90.0	6.00
01/22/2018	00009152210 LEE, HELEN JO	SUN AMER FOCUSED ALPHA LARGE CAP	B	1,000.00	50.00	0.00	50.00	90.0	45.00
02/06/2018	00067061711 HANKINS, SHARON	AMERICAN FUNDS BALANCED FD	B	1,300.00	26.00	0.00	26.00	90.0	23.40
02/06/2018	00067061711 HANKINS, SHARON	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	1,300.00	26.00	0.00	26.00	90.0	23.40
02/06/2018	00067061711 HANKINS, SHARON	AMERICAN FUNDS FUNDAMENTAL INVES B	B	1,300.00	26.00	0.00	26.00	90.0	23.40
02/06/2018	00067061711 HANKINS, SHARON	AMERICAN FUNDS INVESTMENT COMP OF A B	B	1,300.00	26.00	0.00	26.00	90.0	23.40
02/06/2018	00067061711 HANKINS, SHARON	AMERICAN MUTUAL FUND-C	B	1,300.00	13.00	0.00	13.00	90.0	11.70
02/06/2018	00067438825 HANKINS, KEITH M	AMERICAN FUNDS AMERICAN MUTUAL FD	B	1,300.00	26.00	0.00	26.00	90.0	23.40
02/06/2018	00067438825 HANKINS, KEITH M	AMERICAN FUNDS BALANCED FD	B	1,300.00	26.00	0.00	26.00	90.0	23.40
02/06/2018	00067438825 HANKINS, KEITH M	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	1,300.00	26.00	0.00	26.00	90.0	23.40
02/06/2018	00067438825 HANKINS, KEITH M	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	1,300.00	26.00	0.00	26.00	90.0	23.40
02/06/2018	00067438825 HANKINS, KEITH M	AMERICAN MUTUAL FUND-C	B	1,300.00	13.00	0.00	13.00	90.0	11.70
02/01/2018	00068810837 PENA, JULIE C.	AMERICAN FUNDS INVESTMENT COMP OF A B	B	50.00	2.50	0.00	2.50	90.0	2.25
01/22/2018	00081487492 DEVORSKY, SUZIE R	CAPITAL INCOME BUILDER-C	B	100.00	1.00	0.00	1.00	90.0	0.90
02/02/2018	00082719940 ARRENDELL, LANE R	AMERICAN MUTUAL FUND-C	B	33.33	0.33	0.00	0.33	90.0	0.30
02/05/2018	00082719940 ARRENDELL, LANE R	AMERICAN MUTUAL FUND-C	B	125.00	1.25	0.00	1.25	90.0	1.13
02/02/2018	00082719940 ARRENDELL, LANE R	CAPITAL INCOME BUILDER-C	B	33.33	0.33	0.00	0.33	90.0	0.30
02/05/2018	00082719940 ARRENDELL, LANE R	CAPITAL INCOME BUILDER-C	B	200.00	2.00	0.00	2.00	90.0	1.80
02/02/2018	00082719940 ARRENDELL, LANE R	CAPITAL WORLD GROWTH AND INCOME FUN B	B	33.34	0.33	0.00	0.33	90.0	0.30
02/05/2018	00082719940 ARRENDELL, LANE R	CAPITAL WORLD GROWTH AND INCOME FUN B	B	125.00	1.25	0.00	1.25	90.0	1.13
01/22/2018	00083728788 LACY, TANYA	AMERICAN BALANCED FUND-C	B	250.00	2.50	0.00	2.50	90.0	2.25
01/22/2018	00083728788 LACY, TANYA	CAPITAL INCOME BUILDER-C	B	250.00	2.50	0.00	2.50	90.0	2.25
02/05/2018	00085911713 NEMEC, ERIC	AMCAP FUND-529A	B	50.00	1.00	0.00	1.00	90.0	0.90
02/05/2018	00085911713 NEMEC, ERIC	AMERICAN BALANCED FUND-529A	B	150.00	3.00	0.00	3.00	90.0	2.70
02/05/2018	00085911713 NEMEC, ERIC	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.00	0.00	1.00	90.0	0.90
02/05/2018	00085911713 NEMEC, ERIC	THE INCOME FUND OF AMERICA-529A	B	150.00	3.00	0.00	3.00	90.0	2.70
01/22/2018	00086029369 CA/TOD	CAPITAL WORLD GROWTH AND INCOME FUN B	B	100.00	1.00	0.00	1.00	90.0	0.90
02/05/2018	00086066788 CA/TOD	THE INVESTMENT COMPANY OF AMERICA-C B	B	50.00	0.50	0.00	0.50	90.0	0.45
01/30/2018	00086410986 BUGG, WHITNEY S.	CAPITAL WORLD GROWTH AND INCOME FUN B	B	833.34	8.33	0.00	8.33	90.0	7.50
01/30/2018	00086410986 BUGG, WHITNEY S.	FUNDAMENTAL INVESTORS-C	B	833.33	8.33	0.00	8.33	90.0	7.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/30/2018	00086410986	BUGG, WHITNEY S.							
		THE INVESTMENT COMPANY OF AMERICA-C B		833.33	8.33	0.00	8.33	90.0	7.50
02/01/2018	00087882822	CALLEN, JULIE							
		CAPITAL WORLD GROWTH AND INCOME-529 B		200.00	2.00	0.00	2.00	90.0	1.80
		MASSINGIL							
02/01/2018	00087882822	CALLEN, JULIE							
		THE GROWTH FUND OF AMERICA-529C B		200.00	2.00	0.00	2.00	90.0	1.80
		MASSINGIL							
02/01/2018	00087889028	HOWELL, MICHAEL							
		AMERICAN BALANCED FUND-C B		80.00	0.80	0.00	0.80	90.0	0.72
02/01/2018	00087889028	HOWELL, MICHAEL							
		CAPITAL INCOME BUILDER-C B		90.00	0.90	0.00	0.90	90.0	0.81
02/01/2018	00087889028	HOWELL, MICHAEL							
		CAPITAL WORLD GROWTH AND INCOME FUN B		80.00	0.80	0.00	0.80	90.0	0.72
02/01/2018	00088435772	ARRENDELL, LANE R							
		THE INVESTMENT COMPANY OF AMERICA-5 B		100.00	1.00	0.00	1.00	90.0	0.90
02/05/2018	00089014200	BROWN, DENISE							
		AMERICAN BALANCED FUND-C B		100.00	1.00	0.00	1.00	90.0	0.90
02/05/2018	00089014200	BROWN, DENISE							
		CAPITAL WORLD GROWTH AND INCOME FUN B		100.00	1.00	0.00	1.00	90.0	0.90
01/26/2018	00089544664	RASBERRY, RICKY							
		AMERICAN BALANCED FUND-529C B		500.00	5.00	0.00	5.00	90.0	4.50
02/01/2018	00089544673	ARRENDELL, LANE R							
		THE INVESTMENT COMPANY OF AMERICA-5 B		100.00	1.00	0.00	1.00	90.0	0.90
01/31/2018	00089909217	KOCHMAN, ANDREW B.							
		AMERICAN BALANCED FUND-C B		100.00	1.00	0.00	1.00	90.0	0.90
01/29/2018	04000594082	DAVIS, CHRISTOPHER							
		AMERICAN BALANCED FUND-C B		200.00	2.00	0.00	2.00	90.0	1.80
01/29/2018	04000605805	COLLINS, BIANCA							
		AMERICAN BALANCED FUND-C B		100.00	1.00	0.00	1.00	90.0	0.90
01/31/2018	2171440263	MARAK, MICHELE							
		OPPENHEIMER GLOBAL INCOME & GROWTH B		99.00	0.99	0.00	0.99	90.0	0.89
01/31/2018	2270564619	MARAK, MICHELE							
		OPPENHEIMER QUEST VALUE FD CL C B		99.00	0.99	0.00	0.99	90.0	0.89
01/31/2018	2322125057	MARAK, MICHELE							
		OPPENHEIMER STRATEGIC INCOME FD CL B		102.00	1.02	0.00	1.02	90.0	0.92
* MUTUAL FUNDS SUBTOTAL *				\$20,700.10	\$395.09	\$0.00	\$395.09		\$355.61
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	50.09	0.00	50.09	90.0	45.09
02/15/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES		0.00	220.80	0.00	220.80	90.0	198.78
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$270.89	\$0.00	\$270.89		\$243.87
*** BROKER TRANSACTIONS TOTAL ***				\$20,700.10	\$665.98	\$0.00	\$665.98		\$599.48
<u>ADJUSTMENTS</u>									
02/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-7.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-7.50

BROKER COMMISSION TOTALS

Broker Transactions	\$599.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-7.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$591.98
Year-to-date Earnings	\$4,763.07