

**COMMISSION STATEMENT****November 15, 2017**

**STAN WEBB**  
300 N. Main Street  
Suite 309  
Wichita, KS 67202

**BROKER #: W121**  
**BRANCH: STAN WEBB**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/01/2017	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	50.00	2.50	0.00	2.50	92.0	2.30
11/01/2017	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	50.00	2.50	0.00	2.50	92.0	2.30
10/23/2017	WELCH, COLLEEN	AM FUNDS AMERICAN BALANCED A	1,680.37	84.02	0.00	84.02	92.0	77.30
10/23/2017	WELCH, COLLEEN	AM FUNDS AMERICAN BALANCED A	4,888.17	244.41	0.00	244.41	92.0	224.86
10/31/2017	DUSH, THERESA	AM FUNDS AMERICAN BALANCED A	50.00	1.38	0.00	1.38	92.0	1.27
11/02/2017	WELCH, COLLEEN	AM FUNDS AMERICAN BALANCED A	13,484.06	505.65	0.00	505.65	92.0	465.20
10/23/2017	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	0.94	0.00	0.94	92.0	0.86
10/23/2017	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	0.94	0.00	0.94	92.0	0.86
10/23/2017	WELCH, COLLEEN	AM FUNDS CAPITAL INCOME BUILDER A	1,400.29	70.01	0.00	70.01	92.0	64.41
10/23/2017	WELCH, COLLEEN	AM FUNDS CAPITAL INCOME BUILDER A	4,073.46	203.67	0.00	203.67	92.0	187.38
10/25/2017	ROTHS, GLEN	AM FUNDS CAPITAL INCOME BUILDER A	100.00	2.75	0.00	2.75	92.0	2.53
11/02/2017	WELCH, COLLEEN	AM FUNDS CAPITAL INCOME BUILDER A	11,236.70	421.38	0.00	421.38	92.0	387.67
10/23/2017	WELCH, COLLEEN	AM FUNDS FUNDAMENTAL INVESTORS A	1,400.29	70.01	0.00	70.01	92.0	64.41
10/23/2017	WELCH, COLLEEN	AM FUNDS FUNDAMENTAL INVESTORS A	4,073.46	203.67	0.00	203.67	92.0	187.38
10/25/2017	ROTHS, GLEN	AM FUNDS FUNDAMENTAL INVESTORS A	100.00	2.75	0.00	2.75	92.0	2.53
11/02/2017	WELCH, COLLEEN	AM FUNDS FUNDAMENTAL INVESTORS A	11,236.70	421.38	0.00	421.38	92.0	387.67
10/23/2017	WELCH, COLLEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	1,120.23	56.01	0.00	56.01	92.0	51.53
10/23/2017	WELCH, COLLEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	3,258.77	162.94	0.00	162.94	92.0	149.90
10/25/2017	ROTHS, GLEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	2.75	0.00	2.75	92.0	2.53
11/02/2017	WELCH, COLLEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	8,989.36	337.10	0.00	337.10	92.0	310.13
/ /	RAYMER, CHRISTOPHER	FIDELITY ADV HEALTH CARE T	0.00	1.55	0.00	1.55	92.0	1.43
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV HEALTH CARE T	0.00	10.39	0.00	10.39	92.0	9.56
/ /	EVERETT, TRAVIS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	1.96	0.00	1.96	92.0	1.80
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	3.05	0.00	3.05	92.0	2.81
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	1.90	0.00	1.90	92.0	1.75
/ /	LOTT TRUST, EDITH	FIDELITY ADV OVERSEAS	0.00	2.72	0.00	2.72	92.0	2.50

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	EVERETT, TRAVIS	FIDELITY ADV REAL ESTATE A	0.00	2.22	0.00	2.22	92.0	2.04
11/01/2017	EVERETT, TRAVIS	FIDELITY ADV REAL ESTATE A	100.00	2.75	0.00	2.75	92.0	2.53
/ /	EVERETT, TRAVIS	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	1.97	0.00	1.97	92.0	1.81
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV STRATEGIC INCOME	0.00	3.80	0.00	3.80	92.0	3.50
/ /	KENNEDY, ROBERT	FIDELITY ADV VALUE STRATEGIES A	0.00	8.67	0.00	8.67	92.0	7.98
/ /	RIEMANN TRUST, ARVELLA	FIDELITY ADVISOR VALUE	0.00	9.57	0.00	9.57	92.0	8.80
/ /	RIEMANN TRUST, ARVELLA	FIDELITY BALANCED T	0.00	9.69	0.00	9.69	92.0	8.91
/ /	CROSS TRUST, ALLEN & CHARLA	FIDELITY BALANCED T	0.00	10.46	0.00	10.46	92.0	9.62
/ /	RIEMANN TRUST, ARVELLA	FIDELITY DIV GROWTH T	0.00	14.24	0.00	14.24	92.0	13.10
/ /	CHRISTIAN TOD, ROBERT	FIDELITY DIV GROWTH T	0.00	10.14	0.00	10.14	92.0	9.33
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED INTL A	0.00	0.58	0.00	0.58	92.0	0.53
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED STOCK A	0.00	1.70	0.00	1.70	92.0	1.56
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	2.24	0.00	2.24	92.0	2.06
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	4.16	0.00	4.16	92.0	3.83
11/01/2017	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	100.00	2.75	0.00	2.75	92.0	2.53
/ /	KENNEDY, ROBERT	FIDELITY EMERGING MARKET A	0.00	0.71	0.00	0.71	92.0	0.65
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	0.44	0.00	0.44	92.0	0.40
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	3.62	0.00	3.62	92.0	3.33
11/01/2017	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	100.00	2.75	0.00	2.75	92.0	2.53
/ /	RANCILIO, KENNETH	FIDELITY EQUITY GROWTH A	0.00	0.88	0.00	0.88	92.0	0.81
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY GROWTH T	0.00	8.88	0.00	8.88	92.0	8.17
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY GROWTH T	0.00	5.96	0.00	5.96	92.0	5.48
/ /	RIEMANN TRUST, ARVELLA	FIDELITY EQUITY GROWTH T	0.00	28.40	0.00	28.40	92.0	26.13
/ /	HAYES, BEN	FIDELITY EQUITY GROWTH T	0.00	32.39	0.00	32.39	92.0	29.80
/ /	HEARD, DAVID	FIDELITY EQUITY GROWTH T	0.00	1.05	0.00	1.05	92.0	0.97
/ /	LOTT TRUST, EDITH	FIDELITY EQUITY GROWTH T	0.00	24.14	0.00	24.14	92.0	22.21
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	8.43	0.00	8.43	92.0	7.76
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	8.47	0.00	8.47	92.0	7.79
/ /	RIEMANN TRUST, ARVELLA	FIDELITY EQUITY INCOME	0.00	32.18	0.00	32.18	92.0	29.61
/ /	LOTT TRUST, EDITH	FIDELITY EQUITY INCOME	0.00	37.13	0.00	37.13	92.0	34.16
/ /	HAYES, MARTHA	FIDELITY EQUITY INCOME A	0.00	0.53	0.00	0.53	92.0	0.49
/ /	HAYES, BEN	FIDELITY EQUITY INCOME A	0.00	0.55	0.00	0.55	92.0	0.51
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	0.73	0.00	0.73	92.0	0.67

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	3.40	0.00	3.40	92.0	3.13
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.11	0.00	3.11	92.0	2.86
/ /	LOTT TRUST, EDITH	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.80	0.00	0.80	92.0	0.74
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.21	0.00	3.21	92.0	2.95
/ /	CHRISTIAN TOD, ROBERT	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.85	0.00	3.85	92.0	3.54
/ /	KENNEDY, ROBERT	FIDELITY GROWTH & INCOME A	0.00	3.80	0.00	3.80	92.0	3.50
/ /	EVERETT, TRAVIS	FIDELITY GROWTH & INCOME A	0.00	1.68	0.00	1.68	92.0	1.55
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME A	0.00	0.54	0.00	0.54	92.0	0.50
/ /	KENNEDY, ROBERT	FIDELITY HIGH INCOME ADVANTAGE A	0.00	1.02	0.00	1.02	92.0	0.94
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME ADVANTAGE A	0.00	1.92	0.00	1.92	92.0	1.77
/ /	RIEMANN TRUST, ARVELLA	FIDELITY HIGH INCOME T	0.00	3.65	0.00	3.65	92.0	3.36
/ /	LOTT TRUST, EDITH	FIDELITY HIGH INCOME T	0.00	3.10	0.00	3.10	92.0	2.85
/ /	RIEMANN TRUST, ARVELLA	FIDELITY MIDCAP T	0.00	5.16	0.00	5.16	92.0	4.75
/ /	RAYMER, CHRISTOPHER	FIDELITY MIDCAP T	0.00	1.29	0.00	1.29	92.0	1.19
/ /	EVERETT, TRAVIS	FIDELITY TECHNOLOGY A	0.00	0.61	0.00	0.61	92.0	0.56
/ /	HAYES, BEN	FIDELITY TECHNOLOGY T	0.00	1.68	0.00	1.68	92.0	1.55
/ /	CHRISTIAN TOD, ROBERT	FIDELITY TECHNOLOGY T	0.00	6.27	0.00	6.27	92.0	5.77
10/31/2017	MILLER, WARREN	FRANKLIN BALANCE SHEET INVST A	0.00	13.96	0.00	13.96	92.0	12.84
10/31/2017	LAWRENCE POLICE	FRANKLIN BALANCED A	0.00	3.93	0.00	3.93	92.0	3.62
10/31/2017	BELL, BRAYDEN	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	0.96	0.00	0.96	92.0	0.88
10/31/2017	WYSSMAN, JOHN	FRANKLIN COREFOLIO 529 PORT A	0.00	2.04	0.00	2.04	92.0	1.88
10/31/2017	BRIMER JR, ALBERT	FRANKLIN DYNATECH A	0.00	11.89	0.00	11.89	92.0	10.94
10/31/2017	ATKINSON, MARSHA	FRANKLIN DYNATECH A	0.00	2.23	0.00	2.23	92.0	2.05
10/31/2017	WEBB, STAN	FRANKLIN DYNATECH A	0.00	2.38	0.00	2.38	92.0	2.19
10/31/2017	HENDERSON, SUSAN	FRANKLIN DYNATECH A	0.00	9.90	0.00	9.90	92.0	9.11
10/31/2017	RHEA, JONNIE	FRANKLIN DYNATECH A	0.00	27.78	0.00	27.78	92.0	25.56
10/31/2017	ATKINSON, MARSHA	FRANKLIN DYNATECH A	0.00	1.24	0.00	1.24	92.0	1.14
10/31/2017	BELL, BRAYDEN	FRANKLIN GROWTH A	0.00	17.17	0.00	17.17	92.0	15.80
10/31/2017	MILLER, WARREN	FRANKLIN GROWTH A	0.00	27.91	0.00	27.91	92.0	25.68
10/31/2017	ATKINSON, MARSHA	FRANKLIN GROWTH A	0.00	7.96	0.00	7.96	92.0	7.32
10/31/2017	VALANOS, ELENI	FRANKLIN GROWTH A	0.00	1.08	0.00	1.08	92.0	0.99
10/31/2017	ATKINSON, MARSHA	FRANKLIN GROWTH A	0.00	9.18	0.00	9.18	92.0	8.45
10/31/2017	WEBB, STAN	FRANKLIN GROWTH A	0.00	3.19	0.00	3.19	92.0	2.93

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/31/2017	HENDERSON, SUSAN	FRANKLIN GROWTH A	0.00	9.64	0.00	9.64	92.0	8.87
10/31/2017	ATKINSON, MARSHA	FRANKLIN GROWTH OPP A	0.00	2.74	0.00	2.74	92.0	2.52
10/31/2017	PETERSON, VICKIE	FRANKLIN HIGH INCOME A	0.00	0.78	0.00	0.78	92.0	0.72
10/31/2017	RHEA, JONNIE	FRANKLIN HIGH INCOME A	0.00	5.40	0.00	5.40	92.0	4.97
10/31/2017	ATKINSON, MARSHA	FRANKLIN HIGH INCOME A	0.00	1.30	0.00	1.30	92.0	1.20
10/31/2017	WEBB, STAN	FRANKLIN HIGH INCOME A	0.00	1.38	0.00	1.38	92.0	1.27
10/31/2017	HENDERSON, SUSAN	FRANKLIN HIGH INCOME A	0.00	4.23	0.00	4.23	92.0	3.89
10/31/2017	RHEA, JONNIE	FRANKLIN INCOME A	0.00	20.47	0.00	20.47	92.0	18.83
10/31/2017	SMITH, PHILLIP	FRANKLIN INCOME A	0.00	3.98	0.00	3.98	92.0	3.66
10/31/2017	CROSS, MAX	FRANKLIN INCOME A	0.00	49.15	0.00	49.15	92.0	45.22
10/31/2017	LOZANO, RODOLFO	FRANKLIN INCOME A	0.00	4.04	0.00	4.04	92.0	3.72
10/31/2017	WEBB, STAN	FRANKLIN INCOME A	0.00	4.51	0.00	4.51	92.0	4.15
10/31/2017	ATKINSON, MARSHA	FRANKLIN INCOME A	0.00	4.17	0.00	4.17	92.0	3.84
10/31/2017	HENDERSON, SUSAN	FRANKLIN INCOME A	0.00	4.42	0.00	4.42	92.0	4.07
10/31/2017	LEIGHTON, CAROL	FRANKLIN INCOME A	0.00	22.19	0.00	22.19	92.0	20.41
10/31/2017	PETERSEN, RAYMOND	FRANKLIN INCOME A	0.00	1.41	0.00	1.41	92.0	1.30
10/31/2017	DUMBAULD, PATRICIA	FRANKLIN INCOME A	0.00	0.87	0.00	0.87	92.0	0.80
10/31/2017	MILLER, WARREN	FRANKLIN MUTUAL FINANCIAL SERVICES	0.00	8.10	0.00	8.10	92.0	7.45
10/31/2017	ATKINSON, MARSHA	FRANKLIN MUTUAL FINANCIAL SERVICES	0.00	2.41	0.00	2.41	92.0	2.22
10/31/2017	BELL, BRAYDEN	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	5.40	0.00	5.40	92.0	4.97
10/31/2017	WEBB, STAN	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	3.34	0.00	3.34	92.0	3.07
10/31/2017	PETERSON, VICKIE	FRANKLIN MUTUAL SHARES A	0.00	6.18	0.00	6.18	92.0	5.69
10/31/2017	HIEGER, STEVEN	FRANKLIN MUTUAL SHARES A	0.00	6.94	0.00	6.94	92.0	6.38
10/31/2017	BRIMER JR, ALBERT	FRANKLIN MUTUAL SHARES A	0.00	7.91	0.00	7.91	92.0	7.28
10/31/2017	ATKINSON, MARSHA	FRANKLIN MUTUAL SHARES A	0.00	10.78	0.00	10.78	92.0	9.92
10/31/2017	VALANOS, ELENI	FRANKLIN MUTUAL SHARES A	0.00	3.67	0.00	3.67	92.0	3.38
10/31/2017	RHEA, JONNIE	FRANKLIN MUTUAL SHARES A	0.00	6.95	0.00	6.95	92.0	6.39
10/31/2017	LOZANO, RODOLFO	FRANKLIN MUTUAL SHARES A	0.00	6.33	0.00	6.33	92.0	5.82
10/31/2017	WEBB, STAN	FRANKLIN MUTUAL SHARES A	0.00	5.00	0.00	5.00	92.0	4.60
10/31/2017	ATKINSON, MARSHA	FRANKLIN MUTUAL SHARES A	0.00	6.10	0.00	6.10	92.0	5.61
10/31/2017	LEIGHTON, CAROL	FRANKLIN MUTUAL SHARES A	0.00	52.55	0.00	52.55	92.0	48.35
10/31/2017	WARRELL, MARK	FRANKLIN MUTUAL SHARES A	0.00	4.85	0.00	4.85	92.0	4.46
10/31/2017	PETERSEN, RAYMOND	FRANKLIN MUTUAL SHARES A	0.00	2.18	0.00	2.18	92.0	2.01

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/31/2017	RAYMER, RICHARD	FRANKLIN MUTUAL SHARES A	0.00	11.41	0.00	11.41	92.0	10.50
10/31/2017	MILLER, WARREN	FRANKLIN MUTUAL SHARES A	0.00	32.77	0.00	32.77	92.0	30.15
10/31/2017	PETERSEN, RAYMOND	FRANKLIN REAL RETURN A	0.00	1.49	0.00	1.49	92.0	1.37
10/31/2017	RHEA, JONNIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	10.15	0.00	10.15	92.0	9.34
10/31/2017	MILLER, WARREN	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	5.59	0.00	5.59	92.0	5.14
10/31/2017	BELL, BRAYDEN	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	4.40	0.00	4.40	92.0	4.05
10/31/2017	HIEGER, STEVEN	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.27	0.00	2.27	92.0	2.09
10/31/2017	VALANOS, ELENI	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.65	0.00	1.65	92.0	1.52
10/31/2017	PETERSON, VICKIE	FRANKLIN US GOVT SEC FUND A	0.00	0.98	0.00	0.98	92.0	0.90
10/31/2017	DUMBAULD, PATRICIA	FRANKLIN US GOVT SEC FUND A	0.00	0.84	0.00	0.84	92.0	0.77
10/31/2017	LAWRENCE POLICE	FRANKLIN UTILITIES A	0.00	49.32	0.00	49.32	92.0	45.37
10/31/2017	PACHANKIS, JOHANNE	FT FOUNDING FUNDS ALLOC A	0.00	4.29	0.00	4.29	92.0	3.95
10/31/2017	THOMAS, DAVID	FT FOUNDING FUNDS ALLOC A	0.00	2.02	0.00	2.02	92.0	1.86
10/31/2017	THOMAS, DAVID	FT FOUNDING FUNDS ALLOC A	0.00	9.45	0.00	9.45	92.0	8.69
10/31/2017	MILLER, WARREN	FT MUTUAL BEACON FUND A	0.00	6.67	0.00	6.67	92.0	6.14
10/31/2017	BELL, BRAYDEN	FT MUTUAL EUROPEAN FUND A	0.00	1.69	0.00	1.69	92.0	1.55
/ /	RANCILIO, KENNETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	5.26	0.00	5.26	92.0	4.84
/ /	FAIRLEY, MIKE	GUGGENHEIM TOTAL RETURN BOND - A	0.00	4.09	0.00	4.09	92.0	3.76
/ /	WALMANN, JEFFREY	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.55	0.00	0.55	92.0	0.51
10/31/2017	NELSON, ARIKA	INVESCO VALUE OPP A	200.00	6.00	0.00	6.00	92.0	5.52
10/16/2017	HAMPTON, ANDRE	PIIONEER BOND FUND A	75.00	3.00	0.00	3.00	92.0	2.76
/ /	FRANZ, PJ	RYDEX ALPHA OPPORTUNITY A	0.00	0.52	0.00	0.52	92.0	0.48
/ /	THOMAS, MARY	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.21	0.00	0.21	92.0	0.19
/ /	LAWRENCE POLICE	RYDEX GUGG INVESTMENT GRADE BOND A	0.00	5.61	0.00	5.61	92.0	5.16
/ /	RANCILIO, KENNETH	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	1.27	0.00	1.27	92.0	1.17
/ /	THOMAS, MARY	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	0.12	0.00	0.12	92.0	0.11
/ /	RANCILIO, KENNETH	RYDEX GUGG S&P 500 PURE GROWTH A	0.00	3.07	0.00	3.07	92.0	2.82
/ /	LAWRENCE POLICE	RYDEX GUGG STYLEPLUS LARGE CORE A	0.00	9.24	0.00	9.24	92.0	8.50
/ /	ROGERS, D	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	24.47	0.00	24.47	92.0	22.51
/ /	WALMANN, JEFFREY	RYDEX MULTI HEDGE STRATEGIES A	0.00	0.44	0.00	0.44	92.0	0.40
10/31/2017	MAIMER, MELISSA	TEMPLETON CHINA WORLD FUND A	0.00	0.11	0.00	0.11	92.0	0.10
10/31/2017	BRIMER JR, ALBERT	TEMPLETON DEVELOPING MKTS A	0.00	2.00	0.00	2.00	92.0	1.84
10/31/2017	BAKER, CHARLES	TEMPLETON DEVELOPING MKTS A	0.00	0.09	0.00	0.09	92.0	0.08

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/31/2017	MILLER, WARREN	TEMPLETON DEVELOPING MKTS A	0.00	4.99	0.00	4.99	92.0	4.59
10/31/2017	BRIMER JR, ALBERT	TEMPLETON FOREIGN FUND A	0.00	11.28	0.00	11.28	92.0	10.38
10/31/2017	LEIGHTON, CAROL	TEMPLETON GLOBAL BOND A	0.00	4.93	0.00	4.93	92.0	4.54
10/31/2017	ATKINSON, MARSHA	TEMPLETON GLOBAL OPP A	0.00	1.94	0.00	1.94	92.0	1.78
10/31/2017	RAYMER, RICHARD	TEMPLETON GROWTH A	0.00	1.34	0.00	1.34	92.0	1.23
10/31/2017	BRIMER JR, ALBERT	TEMPLETON GROWTH A	0.00	9.29	0.00	9.29	92.0	8.55
10/31/2017	PETERSON, VICKIE	TEMPLETON GROWTH A	0.00	3.64	0.00	3.64	92.0	3.35
10/31/2017	HIEGER, STEVEN	TEMPLETON GROWTH A	0.00	3.76	0.00	3.76	92.0	3.46
10/31/2017	LAWRENCE POLICE	TEMPLETON GROWTH A	0.00	16.00	0.00	16.00	92.0	14.72
10/31/2017	VALANOS, ELENI	TEMPLETON GROWTH A	0.00	1.08	0.00	1.08	92.0	0.99
10/31/2017	BAKER, KRISTI	TEMPLETON GROWTH A	0.00	0.27	0.00	0.27	92.0	0.25
10/31/2017	ELMORE, DAVID	TEMPLETON GROWTH A	0.00	0.65	0.00	0.65	92.0	0.60
10/31/2017	LOZANO, RODOLFO	TEMPLETON GROWTH A	0.00	5.86	0.00	5.86	92.0	5.39
10/31/2017	WEBB, STAN	TEMPLETON GROWTH A	0.00	3.00	0.00	3.00	92.0	2.76
10/31/2017	LEIGHTON, CAROL	TEMPLETON GROWTH A	0.00	43.47	0.00	43.47	92.0	39.99
10/31/2017	WARRELL, MARK	TEMPLETON GROWTH A	0.00	4.52	0.00	4.52	92.0	4.16
10/31/2017	MILLER, WARREN	TEMPLETON GROWTH A	0.00	12.21	0.00	12.21	92.0	11.23
10/31/2017	SMITH, PHILLIP	TEMPLETON GROWTH A	0.00	6.33	0.00	6.33	92.0	5.82
10/31/2017	VALANOS, ELENI	TEMPLETON GROWTH A	0.00	0.80	0.00	0.80	92.0	0.74
10/31/2017	BELL, BRAYDEN	TEMPLETON WORLD A	0.00	0.73	0.00	0.73	92.0	0.67
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$67,916.86</b>	<b>\$3,914.90</b>	<b>\$0.00</b>	<b>\$3,914.90</b>		<b>\$3,601.74</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/30/2017	SCHMITT, SUSAN	ADV CENTURY	0.00	8.00	0.00	8.00	92.0	7.36
10/31/2017	SCHMIDT, SYLVIA	ADV CENTURY	0.00	12.00	0.00	12.00	92.0	11.04
10/31/2017	SPRAGUE, KRISTY	JNL ELITE ACCESS	31,821.92	13.26	0.00	13.26	92.0	12.20
10/31/2017	BAZIL, RALPH	JNL ELITE ACCESS	56,088.88	11.69	0.00	11.69	92.0	10.75
10/31/2017	CLEARY, MICHAEL	JNL ELITE ACCESS	63,928.63	13.32	0.00	13.32	92.0	12.25
10/31/2017	ARVANITIS, MICHELLE	JNL PERSPECTIVE II 05/05	26,389.49	21.99	0.00	21.99	92.0	20.23
10/31/2017	STOCKHAM, THOMAS	JNL PERSPECTIVE II 05/05	18,227.18	7.59	0.00	7.59	92.0	6.98
10/31/2017	KELLEY, JAMES	JNL PERSPECTIVE II 05/05	170,677.03	71.12	0.00	71.12	92.0	65.43
10/31/2017	CARPENTER JR, ROBERT	JNL PERSPECTIVE II 05/05	40,508.49	16.88	0.00	16.88	92.0	15.53
10/31/2017	HOFFMAN, FRANCIS	JNL PERSPECTIVE II 05/05	126,809.84	52.84	0.00	52.84	92.0	48.61
10/31/2017	EVERETT, TRAVIS	JNL PERSPECTIVE II 05/05	104,517.52	43.55	0.00	43.55	92.0	40.07

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/31/2017	BRIMER JR, ALBERT	JNL PERSPECTIVE II 05/05	74,811.62	31.17	0.00	31.17	92.0	28.68
10/31/2017	GREENING, CHRISTOPHER	JNL PERSPECTIVE II 05/05	54,519.63	22.72	0.00	22.72	92.0	20.90
10/31/2017	RHEA, JONNIE	JNL PERSPECTIVE II 05/05	79,511.28	33.13	0.00	33.13	92.0	30.48
10/31/2017	PRACHT, MARK	JNL PERSPECTIVE II 05/05	116,950.28	48.73	0.00	48.73	92.0	44.83
10/31/2017	ENGEL, CALVIN	JNL PERSPECTIVE II 05/05	162,067.14	67.53	0.00	67.53	92.0	62.13
10/31/2017	HESSE, RICHARD	JNL PERSPECTIVE II 05/05	46,371.93	19.32	0.00	19.32	92.0	17.77
10/31/2017	BROWN, MARY	JNL PERSPECTIVE L	9,092.88	9.85	0.00	9.85	92.0	9.06
10/31/2017	CRANE, BEVERLY	JNL PERSPECTIVE L	155,274.94	168.21	0.00	168.21	92.0	154.75
10/31/2017	DANDURAND, BEVERLY	JNL PERSPECTIVE L	160,838.81	174.24	0.00	174.24	92.0	160.30
10/31/2017	ROBINSON, DENNIS	JOHN HANCOCK VANTAGE	71,949.90	14.99	0.00	14.99	92.0	13.79
10/31/2017	KENNEDY, ROBERT	JOHN HANCOCK VENTURE	35,572.75	22.23	0.00	22.23	92.0	20.45
10/31/2017	HEARD, DAVID	JOHN HANCOCK VENTURE	15,023.45	9.39	0.00	9.39	92.0	8.64
10/31/2017	HEIMERMAN, JANET	JOHN HANCOCK VENTURE 2006	41,428.13	8.63	0.00	8.63	92.0	7.94
10/31/2017	ROBINSON, DENNIS	JOHN HANCOCK VENTURE III	120,270.10	100.23	0.00	100.23	92.0	92.21
10/30/2017	STECKLINE, REBECCA	OHIO NATL ONCORE LITE	71,876.83	179.69	0.00	179.69	92.0	165.31
10/30/2017	ROBINSON, VIRGINIA	SBL ADVISOR PROGRAM	62,763.30	13.08	0.00	13.08	92.0	12.03
10/30/2017	CRANE, JEANNE	SBL ADVISOR PROGRAM	251.64	0.17	0.00	0.17	92.0	0.16
10/30/2017	BROWN, MARSHALL	SBL ADVISOR PROGRAM	5,306.89	3.54	0.00	3.54	92.0	3.26
10/30/2017	BLUMENTHAL, JAY	SBL ADVISOR PROGRAM	42,255.70	28.17	0.00	28.17	92.0	25.92
10/30/2017	PERICA, NICK	SBL ADVISOR PROGRAM	20,377.39	13.58	0.00	13.58	92.0	12.49
10/30/2017	PERICA, NICK	SBL ADVISOR PROGRAM	20,654.52	4.30	0.00	4.30	92.0	3.96
10/30/2017	PRATT, DAN	SBL ADVISOR PROGRAM	74,850.63	49.90	0.00	49.90	92.0	45.91
10/30/2017	PRATT, DAN	SBL ADVISOR PROGRAM	9,812.29	6.54	0.00	6.54	92.0	6.02
10/30/2017	WILSON, MICHAEL	SBL ADVISOR PROGRAM	11,628.88	2.42	0.00	2.42	92.0	2.23
10/30/2017	SCOTT, MELANIE	SBL ADVISOR PROGRAM	36,634.51	24.42	0.00	24.42	92.0	22.47
10/30/2017	KIMBROUGH, MICHAEL	SBL ADVISOR PROGRAM	25,381.18	16.92	0.00	16.92	92.0	15.57
10/30/2017	GAMMON, RICHARD	SBL ADVISOR PROGRAM	7,262.23	4.84	0.00	4.84	92.0	4.45
10/30/2017	SPARTAN, STEPHEN	SBL ADVISOR PROGRAM	17,168.88	11.45	0.00	11.45	92.0	10.53
10/30/2017	HUGGINS, LINDA	SBL ADVISOR PROGRAM	6,248.04	4.17	0.00	4.17	92.0	3.84
10/30/2017	GREENFIELD, CHARLES	SBL ADVISOR PROGRAM	42.00	0.03	0.00	0.03	92.0	0.03
10/30/2017	SCHILLING, BARBARA	SBL ADVISOR PROGRAM	12,620.10	8.41	0.00	8.41	92.0	7.74
10/30/2017	SMITH, MAURICE	SBL ADVISOR PROGRAM	64.37	0.04	0.00	0.04	92.0	0.04
10/30/2017	HAYNES, VICKIE	SBL ADVISOR PROGRAM	9,995.81	6.66	0.00	6.66	92.0	6.13

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/30/2017	SANCHEZ, RICHARD	SBL ADVISOR PROGRAM	21,682.94	14.45	0.00	14.45	92.0	13.29
10/30/2017	SANCHEZ, RICHARD	SBL ADVISOR PROGRAM	8,347.78	5.57	0.00	5.57	92.0	5.12
10/30/2017	LEIGHTON, CAROL	SBL ADVISOR PROGRAM	13,131.46	8.75	0.00	8.75	92.0	8.05
10/30/2017	NOVAK, JAY	SBL ADVISOR PROGRAM	42,107.60	28.07	0.00	28.07	92.0	25.82
10/30/2017	LAHMANN, CYNTHIA	SBL ADVISOR PROGRAM	51,902.11	34.60	0.00	34.60	92.0	31.83
10/30/2017	WOLF, LORRAINE	SBL ADVISOR PROGRAM	2,281.67	1.52	0.00	1.52	92.0	1.40
10/30/2017	HILL, MICHAEL	SBL ADVISOR PROGRAM	14,093.21	9.40	0.00	9.40	92.0	8.65
10/30/2017	MOREHOUSE, MARIA	SBL ADVISOR PROGRAM	5,248.51	3.50	0.00	3.50	92.0	3.22
10/30/2017	LAHMANN, JAMES	SBL ADVISOR PROGRAM	40,365.83	33.64	0.00	33.64	92.0	30.95
10/30/2017	NEFF, SHERRILL	SBL ADVISOR PROGRAM	35,715.09	23.81	0.00	23.81	92.0	21.91
10/30/2017	NEFF, SHERRILL	SBL ADVISOR PROGRAM	23,934.00	15.96	0.00	15.96	92.0	14.68
10/30/2017	CHASTAIN, ANDREA	SBL ADVISOR PROGRAM	53,820.93	35.88	0.00	35.88	92.0	33.01
10/30/2017	JOHNSON, JUDY	SBL ADVISOR PROGRAM	56,297.25	37.53	0.00	37.53	92.0	34.53
10/30/2017	DARR, YVONNE	SBL ADVISOR PROGRAM	24,484.02	16.32	0.00	16.32	92.0	15.01
10/30/2017	TUNG, CHAN	SBL ADVISOR PROGRAM	15,807.63	10.54	0.00	10.54	92.0	9.70
10/30/2017	COOPER, KATHERINE	SBL ADVISOR PROGRAM	7,323.23	4.88	0.00	4.88	92.0	4.49
10/30/2017	DAVIS, JOANNE	SBL ADVISOR PROGRAM	8,256.38	5.50	0.00	5.50	92.0	5.06
10/30/2017	YEAZEL, JOHN	SBL ADVISOR PROGRAM	57,042.36	38.03	0.00	38.03	92.0	34.99
10/30/2017	SAUNDERS, TONI	SBL ADVISOR PROGRAM	836.30	0.56	0.00	0.56	92.0	0.52
10/30/2017	POWELL, MATTHEW	SBL ADVISOR PROGRAM	13,622.53	9.08	0.00	9.08	92.0	8.35
10/30/2017	MCDOWELL, DENISE	SBL ADVISOR PROGRAM	34,703.29	23.14	0.00	23.14	92.0	21.29
10/30/2017	SUTTON, LINDA	SBL ADVISOR PROGRAM	2,398.08	1.60	0.00	1.60	92.0	1.47
10/30/2017	BENNETT, CARA	SBL SECUREDESIGNS VA	11,545.23	2.42	0.00	2.42	92.0	2.23
10/30/2017	DUFFENDACK, PAULA	SBL SECUREDESIGNS VA	24,589.90	9.23	0.00	9.23	92.0	8.49
10/30/2017	RHEA, JONNIE	SBL SECUREDESIGNS VA	100,320.09	20.87	0.00	20.87	92.0	19.20
10/30/2017	MCADAM, DANIEL	SBL SECUREDESIGNS VA	98,754.02	20.55	0.00	20.55	92.0	18.91
11/02/2017	WINN, VALDENIA	SBL VARIFLEX VA	150.00	7.50	0.00	7.50	92.0	6.90
11/02/2017	BENNETT, TERESA	SBL VARIFLEX VA	40.00	2.00	0.00	2.00	92.0	1.84
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$2,986,648.45</b>	<b>\$1,805.84</b>	<b>\$0.00</b>	<b>\$1,805.84</b>		<b>\$1,661.38</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/19/2017	RUBENTHALER, RANDALL	ALLIANCEBERNSTEIN BALANCED SHARES A	0.00	4.14	0.00	4.14	92.0	3.81
10/19/2017	GALLARDO, KAREN	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	2.61	0.00	2.61	92.0	2.40
10/19/2017	KONECNY, BETTE J LUTES-	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.32	0.00	0.32	92.0	0.29

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
10/19/2017	KONECNY, BETTE J LUTES-	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.45	0.00	0.45	92.0	0.41
10/20/2017	PRACHT, MARK	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	6.62	0.00	6.62	92.0	6.09
10/20/2017	PRACHT, MARK	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.29	0.00	2.29	92.0	2.11
10/19/2017	PRACHT, MARK	AM FUNDS AMERICAN BALANCED A	0.00	4.45	0.00	4.45	92.0	4.09
10/19/2017	RUBENTHALER, RANDALL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.43	0.00	4.43	92.0	4.08
10/19/2017	KONECNY, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.57	0.00	5.57	92.0	5.12
10/19/2017	ROTHS, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.76	0.00	1.76	92.0	1.62
10/19/2017	HOUSTON, SAMUEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.72	0.00	0.72	92.0	0.66
10/19/2017	KONECNY, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.79	0.00	3.79	92.0	3.49
10/19/2017	KONECNY, MARK	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.17	0.00	2.17	92.0	2.00
10/19/2017	KONECNY, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.48	0.00	3.48	92.0	3.20
10/19/2017	PRACHT, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.58	0.00	8.58	92.0	7.89
10/19/2017	RUBENTHALER, RANDALL	AM FUNDS ICA A	0.00	3.73	0.00	3.73	92.0	3.43
10/19/2017	KONECNY, MARK	AM FUNDS ICA A	0.00	6.14	0.00	6.14	92.0	5.65
10/19/2017	ROTHS, GLEN	AM FUNDS ICA A	0.00	0.81	0.00	0.81	92.0	0.75
10/19/2017	ROTHS, BARBARA	AM FUNDS ICA A	0.00	0.61	0.00	0.61	92.0	0.56
10/19/2017	PRACHT, MARK	AM FUNDS NEW PERSPECTIVE A	0.00	7.69	0.00	7.69	92.0	7.07
10/19/2017	RUBENTHALER, RANDALL	AM FUNDS SMALLCAP WORLD A	0.00	5.15	0.00	5.15	92.0	4.74
10/19/2017	PRACHT, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.83	0.00	5.83	92.0	5.36
10/20/2017	PRACHT, MARK	FIDELITY ADV VALUE STRATEGIES A	0.00	13.12	0.00	13.12	92.0	12.07
10/23/2017	KONECNY, BETTE J LUTES-	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	0.10	0.00	0.10	92.0	0.09
10/23/2017	RUBENTHALER, RANDALL	FRANKLIN INCOME A	0.00	5.17	0.00	5.17	92.0	4.76
10/23/2017	KONECNY, BETTE J LUTES-	FRANKLIN MUTUAL SHARES A	0.00	0.66	0.00	0.66	92.0	0.61
10/23/2017	HATJE, ROBERT	FRANKLIN UTILITIES A	0.00	4.56	0.00	4.56	92.0	4.20
10/30/2017	KONECNY, MARK	INVESCO BALANCED RISK ALLOCATION A	0.00	24.43	0.00	24.43	92.0	22.48
10/30/2017	CROSS, DIANE	INVESCO BALANCED RISK ALLOCATION A	0.00	9.77	0.00	9.77	92.0	8.99
10/30/2017	HUCKINS, VERNON	INVESCO BALANCED RISK ALLOCATION A	0.00	9.75	0.00	9.75	92.0	8.97
10/30/2017	HOUSTON, CAMMY	INVESCO BALANCED RISK ALLOCATION A	0.00	12.70	0.00	12.70	92.0	11.68
10/30/2017	ROTHS, GLEN	INVESCO BALANCED RISK ALLOCATION A	0.00	3.90	0.00	3.90	92.0	3.59
10/30/2017	PRACHT, MARK	INVESCO BALANCED RISK ALLOCATION A	0.00	7.72	0.00	7.72	92.0	7.10
10/30/2017	KONECNY, MARK	INVESCO CHARTER FUND A	0.00	38.03	0.00	38.03	92.0	34.99
10/30/2017	CROSS, DIANE	INVESCO CHARTER FUND A	0.00	18.32	0.00	18.32	92.0	16.85
10/30/2017	HOUSTON, CAMMY	INVESCO CHARTER FUND A	0.00	25.25	0.00	25.25	92.0	23.23

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/30/2017	ROTHS, GLEN	INVESCO CHARTER FUND A	0.00	3.37	0.00	3.37	92.0	3.10
10/30/2017	GREENING, CHRISTOPHER	INVESCO CHARTER FUND A	0.00	6.71	0.00	6.71	92.0	6.17
10/30/2017	HOUSTON, SAMUEL	INVESCO CHARTER FUND A	0.00	3.45	0.00	3.45	92.0	3.17
10/30/2017	CLARK, TERENCE	INVESCO CHARTER FUND A	0.00	8.20	0.00	8.20	92.0	7.54
10/30/2017	GREENING, PATRICIA	INVESCO DEVELOPING MARKETS A	0.00	1.40	0.00	1.40	92.0	1.29
10/30/2017	PRACHT, MARK	INVESCO HIGH YIELD FUND A	0.00	7.78	0.00	7.78	92.0	7.16
10/26/2017	MCADAM, DANIEL	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	24.15	0.00	24.15	92.0	22.22
10/19/2017	RUBENTHALER, RANDALL	KANSAS MUNICIPAL FUND	0.00	11.82	0.00	11.82	92.0	10.87
10/20/2017	KONECNY, BETTE J LUTES-	LORD ABBETT MID CAP STOCK A	0.00	2.24	0.00	2.24	92.0	2.06
10/16/2017	MCADAM, DANIEL	MFS GOVERNMENT SECURITIES A	0.00	0.47	0.00	0.47	92.0	0.43
10/23/2017	PRACHT, MARK	PIMCO TOTAL RETURN A	0.00	6.42	0.00	6.42	92.0	5.91
10/12/2017	MCADAM, DANIEL	PRUDENTIAL SHORT- TERM CORPORATE BO	0.00	1.01	0.00	1.01	92.0	0.93
10/12/2017	MCADAM, DANIEL	PRUDENTIAL TOTAL RETURN BOND CL A	0.00	1.24	0.00	1.24	92.0	1.14
10/12/2017	PRACHT, MARK	RMB MENDON FINANCIAL LNG/SHRT FUND	0.00	15.58	0.00	15.58	92.0	14.33
10/23/2017	RUBENTHALER, RANDALL	TEMPLETON GROWTH A	0.00	6.07	0.00	6.07	92.0	5.58
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$354.73</b>	<b>\$0.00</b>	<b>\$354.73</b>		<b>\$326.33</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
10/31/2017	SCHMIDT, ALAN	ADV CENTURY	0.00	7.80	0.00	7.80	92.0	7.18
10/31/2017	SCHMIDT, SYLVIA	ADV CENTURY	0.00	8.75	0.00	8.75	92.0	8.05
10/31/2017	SCHMITT, SUSAN	ADV CENTURY	0.00	5.78	0.00	5.78	92.0	5.32
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$22.33</b>	<b>\$0.00</b>	<b>\$22.33</b>		<b>\$20.55</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$3,054,565.31</b>	<b>\$6,097.80</b>	<b>\$0.00</b>	<b>\$6,097.80</b>		<b>\$5,610.00</b>

**ADJUSTMENTS**

11/15/2017	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
11/15/2017	*ALBRIDGE: LIGHT USER	0.00						-76.00
11/15/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
11/15/2017	*GLOBAL RELAY: FACEBOOK	0.00						-5.00
11/15/2017	*GLOBAL RELAY: WEBSITE	0.00						-15.00
11/15/2017	*GLOBAL RELAY: YOUTUBE	0.00						-25.00
11/15/2017	*MARKETING LIBRARY: MARKETING LIBRA	0.00						-20.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
11/15/2017		*TECHNOLOGY: ADMIN - B WILLIAMSON H	0.00					-67.50
11/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-695.50

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,610.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-695.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,914.50
Year-to-date Gross	\$81,009.69
Year-to-date Earnings	\$74,237.48

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed