



Concorde Investment Services, LLC

07/12/2017
Page No. 1

COMMISSION STATEMENT

July 15, 2017

DAVID KOTZ
601 Mine Brook Rd

BROKER #: K135 Terminated 05/04/2017
BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.25	0.00	4.25	0.0	0.00
06/09/2017	NAGY, ZOLLAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.94	0.00	0.94	0.0	0.00
06/09/2017	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.60	0.00	9.60	0.0	0.00
06/09/2017	WHITTLESEY, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.85	0.00	8.85	0.0	0.00
06/09/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	25.10	0.00	25.10	0.0	0.00
06/09/2017	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.87	0.00	2.87	0.0	0.00
06/09/2017	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.86	0.00	0.86	0.0	0.00
06/09/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.56	0.00	2.56	0.0	0.00
06/09/2017	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.70	0.00	1.70	0.0	0.00
06/09/2017	NAGY, ZOLLAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.34	0.00	2.34	0.0	0.00
06/09/2017	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	1.88	0.00	1.88	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$60.95	\$0.00	\$60.95		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$60.95	\$0.00	\$60.95		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$51.10
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$51.10
Year-to-date Gross	\$7,641.04
Year-to-date Earnings	\$3,866.34