

**COMMISSION STATEMENT****November 15, 2017**MARTIN PROSHEK  
6721 Janel LaneBROKER #: P126  
BRANCH: MARTIN PROSHEK

Maumee, OH 43537-1232

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
11/10/2017	WILSON, LYNNE	BRISTOL MYERS SQUIBB CO COM	-49,248.00	369.36	23.20	346.16	80.0	276.93
11/10/2017	WILSON, LYNNE	TRIPADVISOR INC COM	31,509.80	236.32	23.50	212.82	80.0	170.26
* STOCKS SUBTOTAL *			<b>\$-17,738.20</b>	<b>\$605.68</b>	<b>\$46.70</b>	<b>\$558.98</b>		<b>\$447.19</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>								
10/26/2017	WILSON, LYNNE	CALL 100 L BRANDS INC COM EXP 11-17	-1,650.00	75.00	27.00	48.00	80.0	38.40
* OPTIONS SUBTOTAL *			<b>\$-1,650.00</b>	<b>\$75.00</b>	<b>\$27.00</b>	<b>\$48.00</b>		<b>\$38.40</b>
*** CLEARING TRANSACTIONS TOTAL ***			<b>\$-19,388.20</b>	<b>\$680.68</b>	<b>\$73.70</b>	<b>\$606.98</b>		<b>\$485.59</b>

**DIRECT TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

10/20/2017	NERO, PATRICK	ALLIANZGI FOCUSED GROWTH A	0.00	5.98	0.00	5.98	80.0	4.78
10/19/2017	MABEE, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.24	0.00	1.24	80.0	0.99
10/19/2017	MABEE, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.23	0.00	0.23	80.0	0.18
10/19/2017	MABEE, SUSAN	AM FUNDS NEW WORLD A	0.00	0.46	0.00	0.46	80.0	0.37
10/27/2017	FUQUA, CHRIS	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.48	0.00	0.48	80.0	0.38
10/27/2017	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.34	0.00	0.34	80.0	0.27
10/27/2017	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.08	0.00	0.08	80.0	0.06
10/27/2017	HORR, DIANE	BLACKROCK ENERGY & RESOURCES PORTFO	0.00	0.39	0.00	0.39	80.0	0.31
10/17/2017	WILSON, LYNNE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.75	0.00	5.75	80.0	4.60
10/17/2017	KODAT, JANICE	COLUMBIA SELIGMAN GLOBAL TECH A	0.00	4.82	0.00	4.82	80.0	3.86
10/16/2017	MCPARTLAND, BARBARA	DAVIS APPRECIATION AND INCOME A	0.00	1.49	0.00	1.49	80.0	1.19
10/13/2017	HENNINGER, DAROLD	DELAWARE HEALTHCARE FUNDS CLASS A	0.00	7.63	0.00	7.63	80.0	6.10
10/20/2017	MCPARTLAND, BARBARA	FIDELITY ADVISOR BIOTECHNOLOGY FUND	0.00	3.52	0.00	3.52	80.0	2.82
10/20/2017	HENNINGER, DAROLD	FIDELITY ADVISOR TELECOMMUNICATIONS	0.00	5.85	0.00	5.85	80.0	4.68
10/23/2017	FUQUA, CHARLES (C.K.)	FIRST EAGLE GLOBAL FUND CL A	0.00	7.37	0.00	7.37	80.0	5.90

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/23/2017	HENNINGER, DAROLD	FIRST EAGLE GLOBAL FUND CL A	0.00	6.45	0.00	6.45	80.0	5.16
10/23/2017	MCPARTLAND, BARBARA	FIRST EAGLE GLOBAL FUND CL A	0.00	3.06	0.00	3.06	80.0	2.45
10/23/2017	NERO, PATRICK	FRANKLIN BALANCED C	0.00	11.36	0.00	11.36	80.0	9.09
10/23/2017	NERO, BARBARA	FRANKLIN BALANCED C	0.00	7.91	0.00	7.91	80.0	6.33
10/23/2017	FUQUA, CHARLES (C.K.)	FRANKLIN CONV SEC A	0.00	5.43	0.00	5.43	80.0	4.34
10/23/2017	MCPARTLAND, BARBARA	FRANKLIN INCOME A	0.00	1.04	0.00	1.04	80.0	0.83
10/11/2017	HENNINGER, DAROLD	IVY SCIENCE AND TECHNOLOGY FUND A	0.00	4.46	0.00	4.46	80.0	3.57
10/12/2017	WARREN, KATHLEEN	JANUS GLOBAL LIFE SCIENCES FUND CLA	0.00	1.33	0.00	1.33	80.0	1.06
10/16/2017	HORR, DIANE	MFS EMERGING MARKETS DEBT FUND A	0.00	2.82	0.00	2.82	80.0	2.26
10/16/2017	FUQUA, CHARLES (C.K.)	MFS GROWTH ALLOCATION A	0.00	5.61	0.00	5.61	80.0	4.49
10/16/2017	FUQUA, CHRIS	MFS GROWTH ALLOCATION A	0.00	1.50	0.00	1.50	80.0	1.20
10/12/2017	HORR, DIANE	NATIXIS LOOMIS STRATEGIC INCOME A	0.00	1.84	0.00	1.84	80.0	1.47
10/23/2017	KODAT, JANICE	PIMCO FUNDAMENTAL INDEXPLUS AR FUND	0.00	7.96	0.00	7.96	80.0	6.37
10/12/2017	FUQUA, CHARLES (C.K.)	SALIENT SELECT INCOME FUND CLASS A	0.00	4.86	0.00	4.86	80.0	3.89
10/12/2017	MCPARTLAND, BARBARA	SALIENT SELECT INCOME FUND CLASS A	0.00	2.83	0.00	2.83	80.0	2.26
10/13/2017	KODAT, JANICE	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	7.72	0.00	7.72	80.0	6.18
10/13/2017	MORSE, PATRICIA	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	5.16	0.00	5.16	80.0	4.13
10/13/2017	FUQUA, CHARLES (C.K.)	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	6.70	0.00	6.70	80.0	5.36
10/13/2017	HENNINGER, DAROLD	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	7.08	0.00	7.08	80.0	5.66
10/16/2017	MCPARTLAND, BARBARA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	3.56	0.00	3.56	80.0	2.85
10/16/2017	MCPARTLAND, BARBARA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	0.86	0.00	0.86	80.0	0.69
10/16/2017	NERO, PATRICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	12.15	0.00	12.15	80.0	9.72
10/16/2017	NERO, BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	8.47	0.00	8.47	80.0	6.78
10/16/2017	KODAT, JANICE	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	7.19	0.00	7.19	80.0	5.75
10/26/2017	FUQUA, CHARLES (C.K.)	UNDISCOVERED MANAGERS BEHAVIORAL VA	0.00	9.72	0.00	9.72	80.0	7.78
10/12/2017	FUQUA, CHARLES (C.K.)	WELLS FARGO SPECIAL MID CAP VALUE F	0.00	7.25	0.00	7.25	80.0	5.80
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$189.95</b>	<b>\$0.00</b>	<b>\$189.95</b>		<b>\$151.96</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$189.95</b>	<b>\$0.00</b>	<b>\$189.95</b>		<b>\$151.96</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
11/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
11/15/2017		*BRANCH FEE: AUDIT FEE	0.00					-1,359.57
11/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*LASER APP: CURRENT MONTH	0.00					-18.00
11/15/2017		*TECHNOLOGY: CURENT MONTH BASIC	0.00					-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-1,864.57</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$637.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,864.57
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$-1,227.02</b>
<b>Year-to-date Gross</b>	<b>\$33,141.95</b>
<b>Year-to-date Earnings</b>	<b>\$25,258.11</b>

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