



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 15, 2018

01/12/2018
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DOUGLAS SNIDER
1622 170th Pl SE

BROKER #: S123
BRANCH: DOUGLAS SNIDER

Bothell, WA 98012

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/29/2017	HART, JOHN	HARTFORD THE DIRECTOR M PLUS	150,692.33	94.18	0.00	94.18	80.0	75.34
* VARIABLE ANNUITIES SUBTOTAL *			\$150,692.33	\$94.18	\$0.00	\$94.18		\$75.34
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/15/2017	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.88	0.00	2.88	80.0	2.30
12/15/2017	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.46	0.00	15.46	80.0	12.37
12/06/2017	SKALLEY, MICHAEL	CATALYST DYNAMIC ALPHA FUND CLASS A	0.00	2.88	0.00	2.88	80.0	2.30
12/11/2017	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.77	0.00	1.77	80.0	1.42
12/11/2017	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.79	0.00	3.79	80.0	3.03
12/18/2017	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	9.04	0.00	9.04	80.0	7.23
12/18/2017	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	24.56	0.00	24.56	80.0	19.65
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$60.38	\$0.00	\$60.38		\$48.30
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/29/2017	WARD, SHARON	GENWORTH TRAILS	0.00	164.29	0.00	164.29	80.0	131.43
12/29/2017	MANLY, NORMAN	GENWORTH TRAILS	0.00	88.42	0.00	88.42	80.0	70.74
12/29/2017	BELLAMY, GARLAND	GENWORTH TRAILS	0.00	34.35	0.00	34.35	80.0	27.48
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$287.06	\$0.00	\$287.06		\$229.65
*** DIRECT TRANSACTIONS TOTAL ***			\$150,692.33	\$441.62	\$0.00	\$441.62		\$353.29

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
01/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
01/15/2018		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
01/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
01/15/2018		*PERSHING: MANDITORY REORG - JENSEN	0.00					-10.00
01/15/2018		*PERSHING: MANDITORY REORG - KEIMER	0.00					-10.00
01/15/2018		*PERSHING: MANDITORY REORG - MEYRIN	0.00					-10.00
01/15/2018		*PERSHING: MF PROSPECTUS	0.00					-1.55
01/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-623.55

BROKER COMMISSION TOTALS

Broker Transactions	\$353.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-623.55
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-294.47
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-564.73
Year-to-date Gross	\$441.62
Year-to-date Earnings	\$353.29

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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