

COMMISSION STATEMENT
June 30, 2018

SPENCER JOHNSON-383056

BROKER #: 383056

BRANCH: SAMPLE HOME OFFICE

, TX

COMMISSION STATEMENT for SPENCER JOHNSON-383056

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
06/11/2018 368323711	LEWIS, FRANKLIN	S	CVS HEALTH CORPORATION .	B	4,058.19	22.00	15.00	7.00 21.0	1.47
06/11/2018 368323711	LEWIS, FRANKLIN	S	T ROWE PRICE GROUP INC	S	-2,494.92	22.00	15.00	7.00 21.0	1.47
06/11/2018 368328141	(BENE), STEPHENEY	S	ANHEUSER-BUSCH INBEV SPONS ADR	B	4,875.40	22.00	15.00	7.00 21.0	1.47
06/11/2018 368328141	(BENE), STEPHENEY	S	NOVARTIS AG-ADR	S	-4,530.09	22.00	15.00	7.00 21.0	1.47
06/11/2018 368328141	(BENE), STEPHENEY	S	PHILIP MORRIS INTERNATIONAL	B	4,855.04	22.00	15.00	7.00 21.0	1.47
06/11/2018 368328141	(BENE), STEPHENEY	S	WELLS FARGO & CO	S	-5,543.49	22.00	15.00	7.00 21.0	1.47
* STOCKS SUBTOTAL *					\$1,220.13	\$132.00	\$90.00	\$42.00	\$8.82
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/30/2018			FEE COUNT - 1		0.00	0.50	0.00	0.50 21.0	0.11
06/30/2018			FEE COUNT - 2		0.00	0.66	0.00	0.66 21.0	0.14
06/30/2018			FEE COUNT - 3		0.00	1.65	0.00	1.65 21.0	0.35
06/30/2018			FEE COUNT - 4		0.00	2.20	0.00	2.20 21.0	0.46
06/30/2018			FEE COUNT - 5		0.00	0.35	0.00	0.35 21.0	0.07
06/30/2018			FEE COUNT - 6		0.00	0.82	0.00	0.82 21.0	0.17
06/30/2018			FEE COUNT - 7		0.00	0.49	0.00	0.49 21.0	0.10
06/30/2018			FEE COUNT - 8		0.00	0.64	0.00	0.64 21.0	0.13
06/30/2018			FEE COUNT - 9		0.00	0.96	0.00	0.96 21.0	0.20
06/30/2018			FEE COUNT - 10		0.00	0.96	0.00	0.96 21.0	0.20
06/30/2018			FEE COUNT - 11		0.00	1.64	0.00	1.64 21.0	0.34
06/30/2018			FEE COUNT - 12		0.00	2.14	0.00	2.14 21.0	0.45
06/30/2018			FEE COUNT - 13		0.00	0.36	0.00	0.36 21.0	0.08
06/30/2018			FEE COUNT - 14		0.00	0.85	0.00	0.85 21.0	0.18
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$14.22	\$0.00	\$14.22	\$2.98
*** CLEARING TRANSACTIONS TOTAL ***					\$1,220.13	\$146.22	\$90.00	\$56.22	\$11.80

BROKER COMMISSION TOTALS

Broker Transactions	\$11.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$11.80
Year-to-date Commissions	\$146.30