

**COMMISSION STATEMENT****April 15, 2017****BARRY LEMAY**

901 Campisi Way

Suite 200

Campbell, CA 95008

BROKER #: L122

BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/12/2017	YEE, VINCENT	TD AMERITRADE	2,946,717.46	7,346.61	0.00	7,346.61	90.0	6,611.95
04/12/2017	YEE, VINCENT	TD AMERITRADE	760,258.45	1,895.44	0.00	1,895.44	90.0	1,705.90
* RIA SUBTOTAL *			\$3,706,975.91	\$9,242.05	\$0.00	\$9,242.05		\$8,317.85
*** BROKER TRANSACTIONS TOTAL ***			\$3,706,975.91	\$9,242.05	\$0.00	\$9,242.05		\$8,317.85

BROKER COMMISSION TOTALS

Broker Transactions	\$8,317.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-247.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,070.85
Year-to-date Gross	\$27,588.48
Year-to-date Earnings	\$24,829.63

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed