



# COMMISSION STATEMENT

## March 19, 2018

JEFFREY HANRETTY  
8365 Seneca Turnpike  
New Hartford, NY 13413

BROKER #: B08  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/07/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	5.53	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744276	BUY 0 Units @34.4900						
03/07/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @34.4900						
03/07/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @34.4900						
03/07/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 1 Units @34.4900						
03/05/2018	***-**-0521 - CRUMRINE, MONICA	AMERICAN BALANCED FUND-A	12.50	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 00084226912	BUY 0 Units @28.5600						
03/07/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	5.53	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744276	BUY 0 Units @27.8400						
03/07/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @27.8400						
03/07/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	11.25	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 00086069280	BUY 1 Units @12.9200						
03/07/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 00086069280	BUY 2 Units @12.9200						
03/05/2018	***-**-2771 - CESARE, CYNTHIA	AMERICAN FUNDS 2025 TARGET DATE-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 8 Units @14.1800						
03/05/2018	***-**-4547 - NORWOOD, CLINTON	AMERICAN FUNDS 2025 TARGET DATE-A	300.00	15.00	0.00	15.00	75.0	11.25
	ACCOUNT #: 00083594601	BUY 21 Units @14.3700						
03/05/2018	***-**-9137 - YOZZO, JOSEPH	AMERICAN FUNDS 2030 TARGET DATE-A	60.00	2.25	0.00	2.25	75.0	1.69
	ACCOUNT #: 04000501282	BUY 4 Units @15.2900						
03/05/2018	***-**-9137 - YOZZO, JOSEPH	AMERICAN FUNDS 2035 TARGET DATE-A	60.00	2.25	0.00	2.25	75.0	1.69
	ACCOUNT #: 04000501282	BUY 4 Units @15.8200						
03/05/2018	***-**-4719 - MARTIN, KRISTY	AMERICAN FUNDS 2035 TARGET DATE-A	126.77	6.34	0.00	6.34	75.0	4.76
	ACCOUNT #: 00088870706	BUY 8 Units @16.0300						
03/05/2018	***-**-9137 - YOZZO, JOSEPH	AMERICAN FUNDS 2040 TARGET DATE-A	80.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 04000501282	BUY 5 Units @16.2400						
03/05/2018	***-**-0801 - ALLEN, TINA	AMERICAN FUNDS 2040 TARGET DATE-A	80.00	4.00	0.00	4.00	75.0	3.00
	ACCOUNT #: 04000747642	BUY 5 Units @16.4600						



# COMMISSION STATEMENT

## March 19, 2018

JEFFREY HANRETTY  
8365 Seneca Turnpike  
New Hartford, NY 13413

BROKER #: B08  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
03/05/2018	***-**-0801 - ALLEN, TINA	AMERICAN FUNDS 2045 TARGET DATE-A	20.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 04000747642	BUY 1 Units @16.7300						
03/05/2018	***-**-2771 - CESARE, CYNTHIA	AMERICAN HIGH-INCOME TRUST-A	114.20	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00088331327	BUY 11 Units @10.6500						
03/07/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	1.36	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @61.8900						
03/07/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @61.8900						
03/07/2018	***-**-2542 - OGIYEVICH,	CAPITAL INCOME BUILDER CLASS C	3.70	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @61.8900						
03/07/2018	***-**-2542 - OGIYEVICH,	CAPITAL INCOME BUILDER CLASS C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @61.8900						
03/07/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	3.17	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @61.8900						
03/07/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	35.77	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @61.8900						
03/05/2018	***-**-2771 - CESARE, CYNTHIA	CAPITAL INCOME BUILDER-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @64.7600						
03/07/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	1.58	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @19.9100						
03/07/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	17.88	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 00083468583	BUY 1 Units @19.9100						
03/05/2018	***-**-2771 - CESARE, CYNTHIA	CAPITAL WORLD GROWTH AND INCOME FUN	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @54.6200						
03/05/2018	***-**-0521 - CRUMRINE, MONICA	CAPITAL WORLD GROWTH AND INCOME FUN	20.00	0.85	0.00	0.85	75.0	0.64
	ACCOUNT #: 00084226912	BUY 0 Units @54.9100						
03/07/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @53.7700						
03/07/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @53.7700						
03/07/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	5.45	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744742	BUY 0 Units @53.7700						



# COMMISSION STATEMENT

March 19, 2018

JEFFREY HANRETTY  
8365 Seneca Turnpike  
New Hartford, NY 13413

BROKER #: B08  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
03/07/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @53.7700	31.25	0.63	0.00	0.63	75.0	0.47
03/07/2018	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.7900	1.36	0.01	0.00	0.01	75.0	0.01
03/07/2018	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.7900	6.25	0.06	0.00	0.06	75.0	0.05
03/07/2018	***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.7900	3.70	0.04	0.00	0.04	75.0	0.03
03/07/2018	***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.7900	12.50	0.13	0.00	0.13	75.0	0.10
03/07/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.7900	3.17	0.03	0.00	0.03	75.0	0.02
03/07/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.7900	35.75	0.36	0.00	0.36	75.0	0.27
03/05/2018	***-**-2771 - CESARE, CYNTHIA ACCOUNT #: 00088331327	FUNDAMENTAL INVESTORS-A BUY 2 Units @66.3500	114.20	4.28	0.00	4.28	75.0	3.21
03/07/2018	***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857	FUNDAMENTAL INVESTORS-A BUY 0 Units @65.1900	6.23	0.12	0.00	0.12	75.0	0.09
03/07/2018	***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857	FUNDAMENTAL INVESTORS-A BUY 0 Units @65.1900	18.75	0.38	0.00	0.38	75.0	0.29
03/07/2018	***-**-1001 - FLAHERTY, KELLY ACCOUNT #: 00081241053	FUNDAMENTAL INVESTORS-A BUY 0 Units @67.4400	25.00	1.25	0.00	1.25	75.0	0.94
03/07/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	FUNDAMENTAL INVESTORS-C BUY 0 Units @63.1400	2.90	0.03	0.00	0.03	75.0	0.02
03/07/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	FUNDAMENTAL INVESTORS-C BUY 1 Units @63.1400	32.79	0.33	0.00	0.33	75.0	0.25
03/07/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	INCOME FUND OF AMERICA CLASS C BUY 0 Units @22.8100	2.90	0.03	0.00	0.03	75.0	0.02
03/07/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	INCOME FUND OF AMERICA CLASS C BUY 1 Units @22.8100	32.79	0.33	0.00	0.33	75.0	0.25
03/07/2018	***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857	NEW PERSPECTIVE FUND-A BUY 0 Units @46.4700	6.24	0.12	0.00	0.12	75.0	0.09



# COMMISSION STATEMENT

## March 19, 2018

JEFFREY HANRETTY  
8365 Seneca Turnpike  
New Hartford, NY 13413

BROKER #: B08  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
03/07/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @46.4700						
03/05/2018	***-**-2771 - CESARE, CYNTHIA	NEW WORLD FUND-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @71.4500						
03/07/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	5.51	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744276	BUY 0 Units @70.2700						
03/07/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @70.2700						
03/07/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	2.90	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @66.1300						
03/07/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 0 Units @66.1300						
03/05/2018	***-**-2771 - CESARE, CYNTHIA	SMALLCAP WORLD FUND-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @59.6500						
03/07/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	5.53	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744276	BUY 0 Units @59.1100						
03/07/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @59.1100						
03/07/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @59.1100						
03/07/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @59.1100						
03/07/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	2.90	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @50.6200						
03/07/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @50.6200						
03/05/2018	***-**-0521 - CRUMRINE, MONICA	THE BOND FUND OF AMERICA-A	5.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00084226912	BUY 0 Units @13.0700						
03/05/2018	***-**-2771 - CESARE, CYNTHIA	THE BOND FUND OF AMERICA-A	114.20	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00088331327	BUY 9 Units @13.0700						
03/07/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 1 Units @12.9000						



# COMMISSION STATEMENT

## March 19, 2018

JEFFREY HANRETTY  
8365 Seneca Turnpike  
New Hartford, NY 13413

BROKER #: B08  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
03/07/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 2 Units @12.9000						
03/07/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	1.37	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @12.5800						
03/07/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @12.5800						
03/07/2018	***-**-2542 - OGIYEVICH,	THE BOND FUND OF AMERICA-C	3.71	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @12.5800						
03/07/2018	***-**-2542 - OGIYEVICH,	THE BOND FUND OF AMERICA-C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 1 Units @12.5800						
03/07/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	2.39	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @12.5800						
03/07/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 2 Units @12.5800						
03/05/2018	***-**-2771 - CESARE, CYNTHIA	THE GROWTH FUND OF AMERICA-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @54.9200						
03/05/2018	***-**-0521 - CRUMRINE, MONICA	THE GROWTH FUND OF AMERICA-A	12.50	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 00084226912	BUY 0 Units @55.2100						
03/07/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @54.2100						
03/07/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @54.2100						
03/07/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	5.45	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744742	BUY 0 Units @54.2100						
03/07/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744742	BUY 1 Units @54.2100						
03/07/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	1.36	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @48.9500						
03/07/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @48.9500						
03/07/2018	***-**-2542 - OGIYEVICH,	THE GROWTH FUND OF AMERICA-C	3.70	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @48.9500						



# COMMISSION STATEMENT

## March 19, 2018

JEFFREY HANRETTY  
8365 Seneca Turnpike  
New Hartford, NY 13413

BROKER #: B08  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
03/07/2018	***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551	THE GROWTH FUND OF AMERICA-C BUY 0 Units @48.9500	12.50	0.13	0.00	0.13	75.0	0.10
03/07/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	THE GROWTH FUND OF AMERICA-C BUY 0 Units @48.9500	2.38	0.02	0.00	0.02	75.0	0.02
03/07/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	THE GROWTH FUND OF AMERICA-C BUY 1 Units @48.9500	26.83	0.27	0.00	0.27	75.0	0.20
03/07/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	THE INCOME FUND OF AMERICA-A BUY 0 Units @23.7200	7.38	0.15	0.00	0.15	75.0	0.11
03/07/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	THE INCOME FUND OF AMERICA-A BUY 1 Units @23.7200	31.25	0.63	0.00	0.63	75.0	0.47
03/07/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE INCOME FUND OF AMERICA-A BUY 0 Units @23.7200	5.45	0.11	0.00	0.11	75.0	0.08
03/07/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE INCOME FUND OF AMERICA-A BUY 1 Units @23.7200	31.25	0.63	0.00	0.63	75.0	0.47
03/05/2018	***-**-2771 - CESARE, CYNTHIA ACCOUNT #: 00088331327	THE NEW ECONOMY FUND-A BUY 2 Units @50.2500	114.20	4.28	0.00	4.28	75.0	3.21
03/07/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	U.S. GOVERNMENT SECURITIES FUND-C BUY 0 Units @13.3500	2.11	0.02	0.00	0.02	75.0	0.02
03/07/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	U.S. GOVERNMENT SECURITIES FUND-C BUY 2 Units @13.3500	23.85	0.24	0.00	0.24	75.0	0.18
03/07/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @47.2900	5.45	0.11	0.00	0.11	75.0	0.08
03/07/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @47.2900	31.25	0.63	0.00	0.63	75.0	0.47
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$3,073.18</b>	<b>\$96.72</b>	<b>\$0.00</b>	<b>\$96.72</b>		<b>\$72.59</b>
<b>PRODUCT CATEGORY: STOCKS</b>								
03/12/2018	***-**-59IO - LASCALA, LEONARD ACCOUNT #: LSR012019	CORNING INC UNSOLICITED ORDER BUY 200 Units @29.9650	5,993.00	50.00	19.00	31.00	75.0	23.25
03/12/2018	***-**-80IO - MAMMONE, JOANN ACCOUNT #: LSM000224	ISHARES CORE S&P MID-CAP ETF AVERAG SELL 5 Units @195.7900	978.95	0.00	0.00	0.00	75.0	0.00
03/12/2018	***-**-80IO - MAMMONE, JOANN ACCOUNT #: LSM000224	ISHARES CORE S&P SMALL-CAP ETF EXEC SELL 11 Units @80.0150	880.17	0.00	0.00	0.00	75.0	0.00



# COMMISSION STATEMENT

## March 19, 2018

JEFFREY HANRETTY  
8365 Seneca Turnpike  
New Hartford, NY 13413

BROKER #: B08  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
03/12/2018	***-**-80IO - MAMMONE, JOANN	ISHARES INC CORE MSCI EMERGING MKTS	480.56	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000224	BUY 8 Units @60.0700						
03/12/2018	***-**-80IO - MAMMONE, JOANN	ISHARES S&P 500 GROWTH ETF EXEC ON	993.90	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000224	BUY 6 Units @165.6500						
03/12/2018	***-**-80IO - MAMMONE, JOANN	ISHARES TRUST CORE MSCI EAFE ETF	2,142.40	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000224	BUY 32 Units @66.9500						
03/12/2018	***-**-80IO - MAMMONE, JOANN	VANGUARD BD INDEX FD INC SHORT TERM	939.52	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000224	SELL 12 Units @78.2930						
03/12/2018	***-**-80IO - MAMMONE, JOANN	VANGUARD INDEX FDS VANGUARD REIT ET	908.44	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000224	SELL 12 Units @75.7030						
03/12/2018	***-**-59IO - LASCALA, LEONARD	VANGUARD WHITEHALL FDS HIGH DIVIDEN	3,902.67	40.00	15.90	24.10	75.0	18.08
	ACCOUNT #: LSR012019	BUY 45 Units @86.7260						
03/12/2018	***-**-59IO - LASCALA, LEONARD	WISDOMTREE TR US DIVID EX FNCL	3,462.03	39.00	15.78	23.22	75.0	17.42
	ACCOUNT #: LSR012019	BUY 39 Units @88.7700						
03/12/2018	***-**-59IO - LASCALA, LEONARD	WISDOMTREE TR US DIVID EX FNCL	88.74	1.00	0.02	0.98	75.0	0.74
	ACCOUNT #: LSR012019	BUY 1 Units @88.7410						
<b>* STOCKS SUBTOTAL *</b>			<b>\$20,770.38</b>	<b>\$130.00</b>	<b>\$50.70</b>	<b>\$79.30</b>		<b>\$59.49</b>

### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/19/2018	***-**-84IN - ROBINSON, CHERYL	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	4.22	0.00	4.22	75.0	3.17
	ACCOUNT #: LSR012404	BUY 1 Units @0.0000						
03/19/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL INCOME BUILDER-A	0.00	2.83	0.00	2.83	75.0	2.12
	ACCOUNT #: LSR012021	BUY 1 Units @0.0000						
03/19/2018	***-**-24IO - ALLEN, GERTRUDE	CAPITAL INCOME BUILDER-A	0.00	3.82	0.00	3.82	75.0	2.87
	ACCOUNT #: LSR012146	BUY 1 Units @0.0000						
03/19/2018	***-**-89IN - CAPPELLI,	CAPITAL INCOME BUILDER-F1	0.00	0.28	0.00	0.28	75.0	0.21
	ACCOUNT #: LSR012125	BUY 1 Units @0.0000						
03/19/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.31	0.00	2.31	75.0	1.73
	ACCOUNT #: LSR012021	BUY 1 Units @0.0000						
03/19/2018	***-**-84IN - ROBINSON, CHERYL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.55	0.00	0.55	75.0	0.41
	ACCOUNT #: LSR012404	BUY 1 Units @0.0000						
03/19/2018	***-**-89IN - CAPPELLI,	CAPITAL WORLD GROWTH AND INCOME-F1	0.00	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: LSR012125	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## March 19, 2018

JEFFREY HANRETTY  
8365 Seneca Turnpike  
New Hartford, NY 13413

BROKER #: B08  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/19/2018	***-**-33IN - CHRISTIANO, ACCOUNT #: LSR012034	COLUMBIA DISCIPLINED CORE A BUY 1 Units @0.0000	0.00	23.61	0.00	23.61	75.0	17.71
03/19/2018	***-**-633J - CHRISTIANO, ACCOUNT #: LSR012035	COLUMBIA DISCIPLINED CORE A BUY 1 Units @0.0000	0.00	14.19	0.00	14.19	75.0	10.64
03/19/2018	***-**-84IN - ROBINSON, CHERYL ACCOUNT #: LSR012404	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	0.43	0.00	0.43	75.0	0.32
03/19/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: LSR012021	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.51	0.00	1.51	75.0	1.13
03/19/2018	***-**-381J - ZARNOCK, MARTIN ACCOUNT #: LSR012033	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.55	0.00	1.55	75.0	1.16
03/19/2018	***-**-84IN - ROBINSON, CHERYL ACCOUNT #: LSR012404	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	0.69	0.00	0.69	75.0	0.52
03/19/2018	***-**-24IO - ALLEN, GERTRUDE ACCOUNT #: LSR012146	INTERMEDIATE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.93	0.00	0.93	75.0	0.70
03/16/2018	***-**-6072 - LASKOWSKY, ANITA ACCOUNT #: 52730385	JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000	0.00	8.36	0.00	8.36	75.0	6.27
03/19/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: LSR012021	NEW WORLD FUND-A BUY 1 Units @0.0000	0.00	1.42	0.00	1.42	75.0	1.07
03/19/2018	***-**-84IN - ROBINSON, CHERYL ACCOUNT #: LSR012404	NEW WORLD FUND-A BUY 1 Units @0.0000	0.00	0.66	0.00	0.66	75.0	0.50
03/19/2018	***-**-87IO - WIGGINS, DONNA ACCOUNT #: LSM000203	RESOURCE CREDIT INCOMEFD CL W-NFS BUY 1 Units @0.0000	0.00	9.61	0.00	9.61	75.0	7.21
03/19/2018	***-**-781I - GOULD, ROBERT ACCOUNT #: LSR012003	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	3.50	0.00	3.50	75.0	2.63
03/19/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: LSR012021	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	2.85	0.00	2.85	75.0	2.14
03/19/2018	***-**-84IN - ROBINSON, CHERYL ACCOUNT #: LSR012404	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	0.59	0.00	0.59	75.0	0.44
03/19/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: LSR012021	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.93	0.00	1.93	75.0	1.45
03/19/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: LSR012021	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	4.35	0.00	4.35	75.0	3.26





# COMMISSION STATEMENT

March 19, 2018

JEFFREY HANRETTY  
8365 Seneca Turnpike  
New Hartford, NY 13413

BROKER #: B08  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/19/2018	***-**-84IN - ROBINSON, CHERYL	THE GROWTH FUND OF AMERICA-A	0.00	0.56	0.00	0.56	75.0	0.42
	ACCOUNT #: LSR012404	BUY 1 Units @0.0000						
03/19/2018	***-**-381J - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	0.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: LSR012033	BUY 1 Units @0.0000						
03/19/2018	***-**-24IO - ALLEN, GERTRUDE	THE INCOME FUND OF AMERICA-A	0.00	3.36	0.00	3.36	75.0	2.52
	ACCOUNT #: LSR012146	BUY 1 Units @0.0000						
03/19/2018	***-**-89IN - CAPPELLI,	THE INCOME FUND OF AMERICA-F1	0.00	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: LSR012125	BUY 1 Units @0.0000						
03/19/2018	***-**-84IN - ROBINSON, CHERYL	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: LSR012404	BUY 1 Units @0.0000						
03/19/2018	***-**-89IN - CAPPELLI,	THE INVESTMENT COMPANY OF AMERICA-F	0.00	0.26	0.00	0.26	75.0	0.20
	ACCOUNT #: LSR012125	BUY 1 Units @0.0000						
03/19/2018	***-**-381J - ZARNOCK, MARTIN	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	0.60	0.00	0.60	75.0	0.45
	ACCOUNT #: LSR012033	BUY 1 Units @0.0000						
03/19/2018	***-**-381I - ZARNOCK, MARTIN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.27	0.00	1.27	75.0	0.95
	ACCOUNT #: LSR012021	BUY 1 Units @0.0000						
	<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$98.31</b>	<b>\$0.00</b>	<b>\$98.31</b>		<b>\$73.76</b>
	<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$23,843.56</b>	<b>\$325.03</b>	<b>\$50.70</b>	<b>\$274.33</b>		<b>\$205.84</b>



BROKER #: B08  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
						<b>BROKER COMMISSION TOTALS</b>			
						Broker Transactions		\$205.84	
						Split Transactions		\$0.00	
						Override Transactions		\$0.00	
						Adjustments		\$0.00	
						Payroll Draw		\$0.00	
						Base Salary		\$0.00	
						Prior Period Balance		\$0.00	
						FINRA Assessment		\$0.00	
						SIPC Assessment		\$0.00	
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD									
						Balance Forward		\$0.00	
						Year-to-date Earnings		\$0.00	