



COMMISSION STATEMENT

March 19, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/12/2018	***-**-92IN - REEDER, BONNIE	PACIFIC FUNDS SMALL/ MID CAP A	22,000.00	825.00	18.00	807.00	85.0	685.95
	ACCOUNT #: LSR012521	BUY 1524 Units @14.4400						
		* MUTUAL FUNDS SUBTOTAL *	\$22,000.00	\$825.00	\$18.00	\$807.00		\$685.95
<u>PRODUCT CATEGORY: STOCKS</u>								
03/13/2018	***-**-447I - HEINZ-OTTE,	ABERCROMBIE & FITCH CO CL A	33,139.50	525.00	45.00	480.00	85.0	408.00
	ACCOUNT #: LSR012465	BUY 1500 Units @22.0930						
03/14/2018	***-**-03TR - NEAL TRUST,	ARLINGTON ASSET INVT CORP CL A NEW	23,692.00	475.00	55.00	420.00	85.0	357.00
	ACCOUNT #: LSR012505	BUY 2000 Units @11.8460						
03/12/2018	***-**-902I - WURMNEST, DIANE	CATERPILLAR INC	120,793.83	1,995.00	30.50	1,964.50	85.0	1,669.83
	ACCOUNT #: LSR012517	SELL 775 Units @155.8630						
03/12/2018	***-**-92IN - REEDER, BONNIE	GENERAL ELECTRIC CO UNSOLICITED ORD	1,981.38	37.99	17.62	20.37	85.0	17.31
	ACCOUNT #: LSR012521	BUY 131 Units @15.1250						
03/12/2018	***-**-92IN - REEDER, BONNIE	GENERAL ELECTRIC CO UNSOLICITED ORD	468.88	8.99	0.62	8.37	85.0	7.11
	ACCOUNT #: LSR012521	BUY 31 Units @15.1250						
03/12/2018	***-**-92IN - REEDER, BONNIE	GENERAL ELECTRIC CO UNSOLICITED ORD	1,043.63	20.01	1.38	18.63	85.0	15.84
	ACCOUNT #: LSR012521	BUY 69 Units @15.1250						
03/12/2018	***-**-92IN - REEDER, BONNIE	GENERAL ELECTRIC CO UNSOLICITED ORD	756.25	14.50	1.00	13.50	85.0	11.48
	ACCOUNT #: LSR012521	BUY 50 Units @15.1250						
03/12/2018	***-**-92IN - REEDER, BONNIE	GENERAL ELECTRIC CO UNSOLICITED ORD	1,512.50	29.00	2.00	27.00	85.0	22.95
	ACCOUNT #: LSR012521	BUY 100 Units @15.1250						
03/12/2018	***-**-92IN - REEDER, BONNIE	GENERAL ELECTRIC CO UNSOLICITED ORD	756.25	14.50	1.00	13.50	85.0	11.48
	ACCOUNT #: LSR012521	BUY 50 Units @15.1250						
03/13/2018	***-**-54IO - FRIES, SHARON	JUST ENERGY GROUP INC COM NPV ISIN	14,244.00	250.00	75.00	175.00	85.0	148.75
	ACCOUNT #: LSR012478	BUY 3000 Units @4.7480						
03/14/2018	***-**-447I - HEINZ-OTTE,	JUST ENERGY GROUP INC COM NPV ISIN	23,400.00	400.00	115.00	285.00	85.0	242.25
	ACCOUNT #: LSR012465	BUY 5000 Units @4.6800						
03/14/2018	***-**-03TR - NEAL TRUST,	JUST ENERGY GROUP INC COM NPV ISIN	14,088.00	300.00	75.00	225.00	85.0	191.25
	ACCOUNT #: LSR012505	BUY 3000 Units @4.6960						
03/12/2018	***-**-902I - WURMNEST, DIANE	SECTOR SPDR TR SHS BEN INT FINANCIA	20,716.50	346.50	29.00	317.50	85.0	269.88
	ACCOUNT #: LSR012517	BUY 700 Units @29.5950						
03/12/2018	***-**-902I - WURMNEST, DIANE	SECTOR SPDR TR SHS BEN INT FINANCIA	8,878.50	148.50	6.00	142.50	85.0	121.13
	ACCOUNT #: LSR012517	BUY 300 Units @29.5950						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
03/12/2018	***-**-902I - WURMNEST, DIANE	SECTOR SPDR TR SHS BEN INT INDUSTRI	29,113.13	495.00	22.50	472.50	85.0	401.63
	ACCOUNT #: LSR012517	BUY 375 Units @77.6350						
03/12/2018	***-**-902I - WURMNEST, DIANE	SECTOR SPDR TR SHS BEN INT TECHNOLO	21,211.50	349.41	21.00	328.41	85.0	279.15
	ACCOUNT #: LSR012517	BUY 300 Units @70.7050						
03/12/2018	***-**-902I - WURMNEST, DIANE	SECTOR SPDR TR SHS BEN INT TECHNOLO	8,838.63	145.59	2.50	143.09	85.0	121.63
	ACCOUNT #: LSR012517	BUY 125 Units @70.7090						
03/12/2018	***-**-902I - WURMNEST, DIANE	SELECT SECTOR SPDR TR CONSUMER DISC	29,850.80	495.00	20.60	474.40	85.0	403.24
	ACCOUNT #: LSR012517	BUY 280 Units @106.6100						
* STOCKS SUBTOTAL *			\$354,485.28	\$6,049.99	\$520.72	\$5,529.27		\$4,699.91
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
03/13/2018	***-**-03TR - NEAL TRUST,	ELLINGTON RESIDENTIAL MORTGA UNSOLI	1,152.00	25.00	24.00	1.00	85.0	0.85
	ACCOUNT #: LSR012505	SELL 100 Units @11.5200						
03/13/2018	***-**-03TR - NEAL TRUST,	ELLINGTON RESIDENTIAL MORTGA UNSOLI	1,152.50	25.00	0.00	25.00	85.0	21.25
	ACCOUNT #: LSR012505	SELL 100 Units @11.5250						
03/13/2018	***-**-03TR - NEAL TRUST,	ELLINGTON RESIDENTIAL MORTGA UNSOLI	138.24	3.00	0.00	3.00	85.0	2.55
	ACCOUNT #: LSR012505	SELL 12 Units @11.5200						
03/13/2018	***-**-03TR - NEAL TRUST,	ELLINGTON RESIDENTIAL MORTGA UNSOLI	1,152.00	25.00	0.00	25.00	85.0	21.25
	ACCOUNT #: LSR012505	SELL 100 Units @11.5200						
03/13/2018	***-**-03TR - NEAL TRUST,	ELLINGTON RESIDENTIAL MORTGA UNSOLI	1,048.32	22.75	0.00	22.75	85.0	19.34
	ACCOUNT #: LSR012505	SELL 91 Units @11.5200						
03/13/2018	***-**-03TR - NEAL TRUST,	ELLINGTON RESIDENTIAL MORTGA UNSOLI	2,304.00	50.00	0.00	50.00	85.0	42.50
	ACCOUNT #: LSR012505	SELL 200 Units @11.5200						
03/13/2018	***-**-03TR - NEAL TRUST,	ELLINGTON RESIDENTIAL MORTGA UNSOLI	1,969.92	42.75	0.00	42.75	85.0	36.34
	ACCOUNT #: LSR012505	SELL 171 Units @11.5200						
03/13/2018	***-**-03TR - NEAL TRUST,	ELLINGTON RESIDENTIAL MORTGA UNSOLI	299.00	6.50	0.00	6.50	85.0	5.53
	ACCOUNT #: LSR012505	SELL 26 Units @11.5000						
03/13/2018	***-**-03TR - NEAL TRUST,	ELLINGTON RESIDENTIAL MORTGA UNSOLI	2,304.00	50.00	0.00	50.00	85.0	42.50
	ACCOUNT #: LSR012505	SELL 200 Units @11.5200						
03/13/2018	***-**-03TR - NEAL TRUST,	ENBRIDGE ENERGY PARTNERS LP UNSOLIC	13,155.00	300.00	24.00	276.00	85.0	234.60
	ACCOUNT #: LSR012505	SELL 1000 Units @13.1550						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$24,674.98	\$550.00	\$48.00	\$502.00		\$426.71



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/19/2018	***-**-03IR - RHODE, SUSAN	FIDELITY ADV CONSUMER DISCRETIONARY	0.00	0.30	0.00	0.30	85.0	0.26
	ACCOUNT #: LSR012464	BUY 1 Units @0.0000						
03/19/2018	***-**-496I - MCCOY, SHERIANNE	FIDELITY ADV CONSUMER DISCRETIONARY	0.00	2.08	0.00	2.08	85.0	1.77
	ACCOUNT #: LSR012469	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2.38	\$0.00	\$2.38		\$2.03
*** BROKER TRANSACTIONS TOTAL ***			\$401,160.26	\$7,427.37	\$586.72	\$6,840.65		\$5,814.60

BROKER COMMISSION TOTALS

Broker Transactions	\$5,814.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$0.00