

All Companies
STATEMENT
August 20, 2018

LAWRENCE ZELLER

BROKER #: 047
BRANCH: LA BRANCH

, NJ -

COMMISSION STATEMENT for LAWRENCE ZELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

06/09/0018 #ZH1307		VOYA	B	1,751,108.00	729.63	0.00	0.00	729.63	86.0	627.48
06/09/0018 #ZH6340		VOYA	B	2,495,788.00	1,039.91	0.00	0.00	1,039.91	86.0	894.32
07/01/0018 #GAPB3RZ02	SERVICES, ELECON	NATIONWIDE 020P01568	B	47.51	0.38	0.00	0.00	0.38	86.0	0.33
07/01/0018 #GAPB2AK5Z	ESSEX NEUROLOGICAL	NATIONWIDE 020P01568	B	0.00	4.78	0.00	0.00	4.78	86.0	4.11
07/01/0018 #GAPB0EK0R	CONHAGEN, ALFRED	NATIONWIDE 020P01568	B	111.59	4.77	0.00	0.00	4.77	86.0	4.10
07/01/0018 #GAPB2HB5P	ENTERPRISES, N.C.	NATIONWIDE 020P01568	B	0.00	0.13	0.00	0.00	0.13	86.0	0.11
07/01/0018 #GAPCPHE6Z	SOLAR, TRINITY	NATIONWIDE 020P01568	B	1,290.85	32.94	0.00	0.00	32.94	86.0	28.33
07/01/0018 #GAPCPHV9L	DESANTIS, LEVINE	NATIONWIDE 020P01568	B	1,635.20	63.33	0.00	0.00	63.33	86.0	54.46
07/01/0018 #GAPCPJV9A	BEDARD, KUROWICKI	NATIONWIDE 020P01568	B	110.38	0.47	0.00	0.00	0.47	86.0	0.40
07/01/0018 #GAPCPKW8E	MAURIELLO,	NATIONWIDE 020P01568	B	1,053.16	109.84	0.00	0.00	109.84	86.0	94.46
07/01/0018 #GAPC3KF4I	SHADE TREE, NJ	NATIONWIDE 620	B	0.00	3.08	0.00	0.00	3.08	86.0	2.65
07/01/0018 #GAPC4ZS68	MORTGAGE, MOUNTAIN	NATIONWIDE 620	B	0.00	79.44	0.00	0.00	79.44	86.0	68.32
07/01/0018 #GAPC4RX46	SERVICES, ELECON	NATIONWIDE 620	B	11,299.14	443.20	0.00	0.00	443.20	86.0	381.15
07/01/0018 #GAPC7AK5X	ESSEX NEUROLOGICAL	NATIONWIDE 620	B	0.00	228.86	0.00	0.00	228.86	86.0	196.82
07/01/0018 #GAPC9CX9N	CONSULTING, DVL	NATIONWIDE 620	B	15,864.34	911.93	0.00	0.00	911.93	86.0	784.26
07/01/0018 #GAPC7EK0Q	CONHAGEN, ALFRED	NATIONWIDE 620	B	6,006.33	426.06	0.00	0.00	426.06	86.0	366.41
07/01/0018 #GAPC4ZC68	CURTIN, GRAHAM	NATIONWIDE 620	B	36,675.62	879.92	0.00	0.00	879.92	86.0	756.73
07/01/0018 #GAPC7EN9R	DIVISION TEN	NATIONWIDE 620	B	942.31	84.63	0.00	0.00	84.63	86.0	72.78
07/01/0018 #GAPC3EZ6Z	DENTISTRY, ADVANCED	NATIONWIDE 620	B	6,195.95	239.55	0.00	0.00	239.55	86.0	206.01
07/01/0018 #GAPC7FG6J	FOODS, CUGINE	NATIONWIDE 620	B	0.00	100.89	0.00	0.00	100.89	86.0	86.77
07/01/0018 #GAPC3FH9C	, ALZHEIMERS NJ	NATIONWIDE 620	B	1,564.62	193.03	0.00	0.00	193.03	86.0	166.01
07/01/0018 #GAPCGFU1U	ROCK INN, CHIMNEY	NATIONWIDE 620	B	111.68	6.21	0.00	0.00	6.21	86.0	5.34
07/01/0018 #GAPCGGC8C	CONSTRUCTION, ALBAN	NATIONWIDE 620	B	1,833.33	40.11	0.00	0.00	40.11	86.0	34.49
07/01/0018 #GAPC4JD80	INFOLINK	NATIONWIDE 620	B	4,855.91	239.37	0.00	0.00	239.37	86.0	205.86
07/01/0018 #GAPCGHB50	ENTERPRISES, N.C.	NATIONWIDE 620	B	3,298.54	39.46	0.00	0.00	39.46	86.0	33.94
07/01/0018 #GAPC3HE6Y	SOLAR, TRINITY	NATIONWIDE 620	B	258,050.94	1,964.30	0.00	0.00	1,964.30	86.0	1,689.30
07/01/0018 #GAPC3HV9K	DESANTIS, LEVINE	NATIONWIDE 620	B	7,082.86	233.77	0.00	0.00	233.77	86.0	201.04
07/01/0018 #GAPC4RK58	PLACE, THE	NATIONWIDE 620	B	776.28	20.13	0.00	0.00	20.13	86.0	17.31
07/01/0018 #GAPC7AF8I	BROTHERS, BENNETT	NATIONWIDE 620	B	505.90	203.91	0.00	0.00	203.91	86.0	175.36
07/01/0018 #GAPC3JT10	HELPERS, HOME	NATIONWIDE 620	B	1,329.74	17.80	0.00	0.00	17.80	86.0	15.31
07/01/0018 #GAPC3JV8Y	BEDARD, KUROWICKI	NATIONWIDE 620	B	15,802.31	129.91	0.00	0.00	129.91	86.0	111.72

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
07/01/0018	#GAPC7CH4G FAMILY SERVICES,	NATIONWIDE 620	B	300.00	15.32	0.00	0.00	15.32	86.0	13.18
07/01/0018	#GAPC3JZ0D FAMILY SERVICES,	NATIONWIDE 620	B	7,272.57	180.63	0.00	0.00	180.63	86.0	155.34
07/01/0018	#GAPC3KH4F AMERICAS FLORIST	NATIONWIDE 620	B	0.00	158.62	0.00	0.00	158.62	86.0	136.41
07/01/0018	#GAPC3KK1C THE DENTAL FAMILY	NATIONWIDE 620	B	430.00	63.02	0.00	0.00	63.02	86.0	54.20
07/01/0018	#GAPC3KW8D MAURIELLO,	NATIONWIDE 620	B	4,281.83	184.73	0.00	0.00	184.73	86.0	158.87
07/05/0018	#GAPB3RZ02 SERVICES, ELECON	NATIONWIDE 020P01568	B	0.00	0.35	0.00	0.00	0.35	86.0	0.30
07/05/0018	#GAPB2AK5Z ESSEX NEUROLOGICAL	NATIONWIDE 020P01568	B	84.68	4.45	0.00	0.00	4.45	86.0	3.83
07/05/0018	#GAPB0EK0R CONHAGEN, ALFRED	NATIONWIDE 020P01568	B	77.48	4.45	0.00	0.00	4.45	86.0	3.83
07/05/0018	#GAPB2HB5P ENTERPRISES, N.C.	NATIONWIDE 020P01568	B	0.00	0.12	0.00	0.00	0.12	86.0	0.10
07/05/0018	#GAPCPHE6Z SOLAR, TRINITY	NATIONWIDE 020P01568	B	891.72	30.67	0.00	0.00	30.67	86.0	26.38
07/05/0018	#GAPCPHV9L DESANTIS, LEVINE	NATIONWIDE 020P01568	B	1,083.41	59.14	0.00	0.00	59.14	86.0	50.86
07/05/0018	#GAPCPJV9A BEDARD, KUROWICKI	NATIONWIDE 020P01568	B	110.38	0.44	0.00	0.00	0.44	86.0	0.38
07/05/0018	#GAPCPKW8E MAURIELLO,	NATIONWIDE 020P01568	B	1,053.16	102.78	0.00	0.00	102.78	86.0	88.39
07/05/0018	#GAPC3KF4I SHADE TREE, NJ	NATIONWIDE 620	B	1,540.00	2.78	0.00	0.00	2.78	86.0	2.39
07/05/0018	#GAPC4ZS68 MORTGAGE, MOUNTAIN	NATIONWIDE 620	B	0.00	74.81	0.00	0.00	74.81	86.0	64.34
07/05/0018	#GAPC4RX46 SERVICES, ELECON	NATIONWIDE 620	B	0.00	409.65	0.00	0.00	409.65	86.0	352.30
07/05/0018	#GAPC7AK5X ESSEX NEUROLOGICAL	NATIONWIDE 620	B	4,900.32	211.52	0.00	0.00	211.52	86.0	181.91
07/05/0018	#GAPC9CX9N CONSULTING, DVL	NATIONWIDE 620	B	7,926.49	846.49	0.00	0.00	846.49	86.0	727.98
07/05/0018	#GAPC7EK0Q CONHAGEN, ALFRED	NATIONWIDE 620	B	4,266.42	393.97	0.00	0.00	393.97	86.0	338.81
07/05/0018	#GAPC4ZC68 CURTIN, GRAHAM	NATIONWIDE 620	B	3,576.04	815.24	0.00	0.00	815.24	86.0	701.11
07/05/0018	#GAPC7EN9R DIVISION TEN	NATIONWIDE 620	B	942.31	78.63	0.00	0.00	78.63	86.0	67.62
07/05/0018	#GAPC3EZ6Z DENTISTRY, ADVANCED	NATIONWIDE 620	B	3,769.10	221.54	0.00	0.00	221.54	86.0	190.52
07/05/0018	#GAPC7FG6J FOODS, CUGINE	NATIONWIDE 620	B	0.00	93.48	0.00	0.00	93.48	86.0	80.39
07/05/0018	#GAPC3FH9C , ALZHEIMERS NJ	NATIONWIDE 620	B	0.00	179.68	0.00	0.00	179.68	86.0	154.52
07/05/0018	#GAPCGFU1U ROCK INN, CHIMNEY	NATIONWIDE 620	B	0.00	6.23	0.00	0.00	6.23	86.0	5.36
07/05/0018	#GAPCGGC8C CONSTRUCTION, ALBAN	NATIONWIDE 620	B	1,833.33	36.79	0.00	0.00	36.79	86.0	31.64
07/05/0018	#GAPC4JD80 INFOLINK	NATIONWIDE 620	B	4,824.75	221.23	0.00	0.00	221.23	86.0	190.26
07/05/0018	#GAPCGHB50 ENTERPRISES, N.C.	NATIONWIDE 620	B	845.73	36.12	0.00	0.00	36.12	86.0	31.06
07/05/0018	#GAPC3HE6Y SOLAR, TRINITY	NATIONWIDE 620	B	143,444.29	1,807.71	0.00	0.00	1,807.71	86.0	1,554.63
07/05/0018	#GAPC3HV9K DESANTIS, LEVINE	NATIONWIDE 620	B	5,338.08	215.34	0.00	0.00	215.34	86.0	185.19
07/05/0018	#GAPC4RK58 PLACE, THE	NATIONWIDE 620	B	0.00	18.60	0.00	0.00	18.60	86.0	16.00
07/05/0018	#GAPC7AF8I BROTHERS, BENNETT	NATIONWIDE 620	B	1,065.12	188.45	0.00	0.00	188.45	86.0	162.07
07/05/0018	#GAPC3JT1O HELPERS, HOME	NATIONWIDE 620	B	1,965.04	15.99	0.00	0.00	15.99	86.0	13.75
07/05/0018	#GAPC3JV8Y BEDARD, KUROWICKI	NATIONWIDE 620	B	16,566.82	121.02	0.00	0.00	121.02	86.0	104.08
07/05/0018	#GAPC7CH4G FAMILY SERVICES,	NATIONWIDE 620	B	300.00	14.19	0.00	0.00	14.19	86.0	12.20
07/05/0018	#GAPC3JZ0D FAMILY SERVICES,	NATIONWIDE 620	B	2,392.05	167.43	0.00	0.00	167.43	86.0	143.99
07/05/0018	#GAPC3KH4F AMERICAS FLORIST	NATIONWIDE 620	B	2,212.99	146.74	0.00	0.00	146.74	86.0	126.20
07/05/0018	#GAPC3KK1C THE DENTAL FAMILY	NATIONWIDE 620	B	430.00	58.19	0.00	0.00	58.19	86.0	50.04
07/05/0018	#GAPC3KW8D MAURIELLO,	NATIONWIDE 620	B	4,281.83	171.07	0.00	0.00	171.07	86.0	147.12
* VARIABLE ANNUITIES SUBTOTAL *				\$4,851,346.43	\$15,829.35	\$0.00	\$0.00	\$15,829.35		\$13,613.23

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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

07/06/0018 #235716	PLANNING FEES	B	0.00	554.69	0.00	0.00	554.69	86.0	477.03
	* RIA SUBTOTAL *		\$0.00	\$554.69	\$0.00	\$0.00	\$554.69		\$477.03

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/09/2018 #IRK131919 HAWORTH, DE	AMERICAN FUNDS	B	0.00	919.29	0.00	0.00	919.29	86.0	790.59
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$919.29	\$0.00	\$0.00	\$919.29		\$790.59
	*** BROKER TRANSACTIONS TOTAL ***		\$4,851,346.43	\$17,303.33	\$0.00	\$0.00	\$17,303.33		\$14,880.85

ADJUSTMENTS

08/20/2018	ADMIN FEE: ADMIN FEE								-320.00
08/20/2018	E & O INSURANCE: E & O INSURANCE								-179.00
	*** ADJUSTMENTS TOTAL ***								\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$14,880.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14,381.85
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