



All Companies

01/30/2018
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COMMISSION STATEMENT February 01, 2018

BLAKE CHRISTIAN MATTSON
7216 FISH POND RD.

BROKER #: 5744
BRANCH: HOME OFFICE

Waco, TX 76710-

COMMISSION STATEMENT for BLAKE CHRISTIAN MATTSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/31/2017	TRAILS	-PUTNAM	B	0.71	0.71	0.00	0.71	90.0	0.64
12/31/2017	TRAILS	COLLEGEBOUND 529	B	495.95	495.95	0.00	495.95	90.0	446.36
12/31/2017	TRAILS	COLLEGEBOUND 529	B	209.29	209.29	0.00	209.29	90.0	188.36
01/02/2018	NORS, JANA R./ADDYSON	COLLEGEBOUND 529	B	100.00	1.00	0.00	1.00	90.0	0.90
01/02/2018	WHITE, ABIGAIL/HAMILTO	COLLEGEBOUND 529	B	50.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	KENNEDY, SIGNE R	COLLEGEBOUND 529	B	500.00	5.00	0.00	5.00	90.0	4.50
01/02/2018	HUNT, JENNY/HUDSON	COLLEGEBOUND 529	B	50.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	HUNT, JENNY/PARKER	COLLEGEBOUND 529	B	100.00	1.00	0.00	1.00	90.0	0.90
01/02/2018	WHITE, ABIGAIL/MADISON	COLLEGEBOUND 529	B	50.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	WHITE, ABIGAIL/MADISON	COLLEGEBOUND 529	B	50.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	BENNETT, RUSSELL H.	COLLEGEBOUND 529	B	100.00	1.00	0.00	1.00	90.0	0.90
01/02/2018	FERGUSON, L.DONIECE/HAILE	COLLEGEBOUND 529	B	500.00	5.00	0.00	5.00	90.0	4.50
01/12/2018	NORS, JANA R./ADDYSON	COLLEGEBOUND 529	B	100.00	1.00	0.00	1.00	90.0	0.90
01/12/2018	VAN BORSSUM, ROBERT H.	COLLEGEBOUND 529	B	50.00	0.50	0.00	0.50	90.0	0.45
01/17/2018	MAKOVY, BRENT	COLLEGEBOUND 529	B	200.00	7.00	0.00	7.00	90.0	6.30
12/31/2017	TRAILS	NUVEEN INVESTMENTS	B	16.89	16.89	0.00	16.89	90.0	15.20
12/31/2017	TRAILS	NUVEEN INVESTMENTS	B	981.15	981.15	0.00	981.15	90.0	883.04
01/09/2018	00009152435 MOTOR SHOP PSP	FOCUSED DIVIDEND STRAT CL C	B	2,900.00	29.00	0.00	29.00	90.0	26.10
01/16/2018	00066784059 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	2.50	0.00	2.50	90.0	2.25
01/09/2018	00083573209 HALL, CLAYTON D	THE INVESTMENT COMPANY OF AMERICA-C B		100.00	1.00	0.00	1.00	90.0	0.90
01/09/2018	00083573209 HALL, CLAYTON D	THE INVESTMENT COMPANY OF AMERICA-C B		81.25	0.81	0.00	0.81	90.0	0.73
01/16/2018	00087795314 IVEY, JO	THE GROWTH FUND OF AMERICA-C	B	1,428.16	14.28	0.00	14.28	90.0	12.85
01/16/2018	00087795314 IVEY, JO	THE INVESTMENT COMPANY OF AMERICA-C B		1,428.16	14.28	0.00	14.28	90.0	12.85
01/16/2018	00087795350 IVEY, TERRY	THE GROWTH FUND OF AMERICA-C	B	880.06	8.80	0.00	8.80	90.0	7.92
01/16/2018	00087795350 IVEY, TERRY	THE INVESTMENT COMPANY OF AMERICA-C B		880.06	8.80	0.00	8.80	90.0	7.92
01/16/2018	04000110803 NORS, JANA	COLLEGE 2030 FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *				\$11,401.68	\$1,807.96	\$0.00	\$1,807.96		\$1,627.17

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	4,758.11	4,758.11	0.00	4,758.11	90.0	4,282.30
		* STOCKS SUBTOTAL *		\$4,758.11	\$4,758.11	\$0.00	\$4,758.11		\$4,282.30
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/05/2018	TRAILS	-JOHN HANCOCK	B	88.82	88.82	0.00	88.82	90.0	79.94
		* VARIABLE ANNUITIES SUBTOTAL *		\$88.82	\$88.82	\$0.00	\$88.82		\$79.94
<u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u>									
12/07/2017	MART CEMETERY ASSO.	ASSOCIATED BANK, IL 4.70% 9/19/99	B	100,000.00	240.00	0.00	240.00	90.0	216.00
		* CERTIFICATES OF DEPOSIT SUBTOTAL *		\$100,000.00	\$240.00	\$0.00	\$240.00		\$216.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	120.51	0.00	120.51	90.0	108.46
02/01/2018	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	306.87	0.00	306.87	90.0	276.19
02/01/2018	TRAIL COMMISSIONS	SUN AMERICA LIFE FEES		0.00	0.75	0.00	0.75	90.0	0.68
02/01/2018	TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES		0.00	456.48	0.00	456.48	90.0	410.84
02/01/2018	TRAIL COMMISSIONS	TRANSAMERICA FEES		0.00	12.65	0.00	12.65	90.0	11.39
02/01/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	58.10	0.00	58.10	90.0	52.30
02/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	4.16	0.00	4.16	90.0	3.74
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$959.52	\$0.00	\$959.52		\$863.60
		*** BROKER TRANSACTIONS TOTAL ***		\$116,248.61	\$7,854.41	\$0.00	\$7,854.41		\$7,069.01
<u>ADJUSTMENTS</u>									
02/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-225.50
02/01/2018		HILLTOP: CUSTOMER WRITE OFF		0.00	0.00	0.00	0.00		-270.01
02/01/2018		HILLTOP: MISC TICKET FEES		0.00	0.00	0.00	0.00		3.50
02/01/2018		TECH: MATTSON ASST TECH SERVICES		0.00	0.00	0.00	0.00		-20.00
02/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-539.51

BROKER COMMISSION TOTALS

Broker Transactions	\$7,069.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-539.51
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$6,529.50
Year-to-date Earnings	\$18,797.33