



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/06/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @34.6600						
07/06/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @34.6600						
07/06/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @34.6600						
07/06/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 1 Units @34.6600						
07/06/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @28.0800						
07/06/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @28.0800						
07/03/2018	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	43.01	1.61	0.00	1.61	75.0	1.21
	ACCOUNT #: 00085629087	BUY 3 Units @13.1500						
07/03/2018	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00085629087	BUY 4 Units @13.1500						
07/06/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	11.25	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 00086069280	BUY 1 Units @12.9900						
07/06/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 00086069280	BUY 2 Units @12.9900						
07/02/2018	***-**-2420 - WRIGHT, ROBERT	AMERICAN FUNDS 2025 TARGET DATE-A	1,500.00	63.75	0.00	63.75	75.0	47.81
	ACCOUNT #: 00088528064	BUY 106 Units @14.2100						
07/05/2018	***-**-4547 - NORWOOD, CLINTON	AMERICAN FUNDS 2025 TARGET DATE-A	300.00	15.00	0.00	15.00	75.0	11.25
	ACCOUNT #: 00083594601	BUY 21 Units @14.3900						
07/03/2018	***-**-8758 - RIOLO, LAWRENCE	AMERICAN FUNDS 2035 TARGET DATE-A	50.40	1.89	0.00	1.89	75.0	1.42
	ACCOUNT #: 04000070058	BUY 3 Units @15.7700						
07/03/2018	***-**-8758 - RIOLO, LAWRENCE	AMERICAN FUNDS 2035 TARGET DATE-A	50.40	1.89	0.00	1.89	75.0	1.42
	ACCOUNT #: 04000070058	BUY 3 Units @15.7700						
07/05/2018	***-**-627J - KALWARA, TREVOR	AMERICAN FUNDS 2045 TARGET DATE-A	100.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 04000868759	BUY 6 Units @16.7600						
07/06/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	1.82	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @60.7600						



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/06/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @60.7600						
07/06/2018	***-**-2542 - OGIYEVICH,	CAPITAL INCOME BUILDER CLASS C	3.70	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @60.7600						
07/06/2018	***-**-2542 - OGIYEVICH,	CAPITAL INCOME BUILDER CLASS C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @60.7600						
07/06/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	3.17	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @60.7600						
07/06/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	35.77	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @60.7600						
07/06/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	1.58	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @19.2200						
07/06/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	17.88	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 00083468583	BUY 1 Units @19.2200						
07/03/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @52.8100						
07/03/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @52.8100						
07/06/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @52.5600						
07/06/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @52.5600						
07/06/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @52.5600						
07/06/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @52.5600						
07/06/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	1.82	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @50.7000						
07/06/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @50.7000						
07/06/2018	***-**-2542 - OGIYEVICH,	CAPITAL WORLD GROWTH AND INCOME FUN	3.70	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @50.7000						



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/06/2018	***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @50.7000	12.50	0.13	0.00	0.13	75.0	0.10
07/06/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @50.7000	3.17	0.03	0.00	0.03	75.0	0.02
07/06/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @50.7000	35.75	0.36	0.00	0.36	75.0	0.27
07/06/2018	***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857	FUNDAMENTAL INVESTORS-A BUY 0 Units @65.0100	6.23	0.12	0.00	0.12	75.0	0.09
07/06/2018	***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857	FUNDAMENTAL INVESTORS-A BUY 0 Units @65.0100	18.75	0.38	0.00	0.38	75.0	0.29
07/06/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	FUNDAMENTAL INVESTORS-C BUY 0 Units @63.0400	2.90	0.03	0.00	0.03	75.0	0.02
07/06/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	FUNDAMENTAL INVESTORS-C BUY 1 Units @63.0400	32.79	0.33	0.00	0.33	75.0	0.25
07/06/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	INCOME FUND OF AMERICA CLASS C BUY 0 Units @22.6000	2.90	0.03	0.00	0.03	75.0	0.02
07/06/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	INCOME FUND OF AMERICA CLASS C BUY 1 Units @22.6000	32.79	0.33	0.00	0.33	75.0	0.25
07/06/2018	***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857	NEW PERSPECTIVE FUND-A BUY 0 Units @46.6600	6.24	0.12	0.00	0.12	75.0	0.09
07/06/2018	***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857	NEW PERSPECTIVE FUND-A BUY 0 Units @46.6600	18.75	0.38	0.00	0.38	75.0	0.29
07/03/2018	***-**-7287 - VINCI, DAVID ACCOUNT #: 00085629076	NEW WORLD FUND-A BUY 0 Units @67.4100	20.40	0.77	0.00	0.77	75.0	0.58
07/03/2018	***-**-7287 - VINCI, DAVID ACCOUNT #: 00085629076	NEW WORLD FUND-A BUY 1 Units @67.4100	53.00	1.99	0.00	1.99	75.0	1.49
07/06/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744276	NEW WORLD FUND-A BUY 0 Units @66.7800	6.41	0.13	0.00	0.13	75.0	0.10
07/06/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744276	NEW WORLD FUND-A BUY 1 Units @66.7800	62.50	1.25	0.00	1.25	75.0	0.94
07/06/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	NEW WORLD FUND-C BUY 0 Units @62.6900	2.90	0.03	0.00	0.03	75.0	0.02



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/06/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @62.6900						
07/03/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @60.9500						
07/03/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @60.9500						
07/06/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @60.4500						
07/06/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @60.4500						
07/06/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @60.4500						
07/06/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @60.4500						
07/06/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	2.90	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @51.6400						
07/06/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @51.6400						
07/03/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	20.40	0.61	0.00	0.61	75.0	0.46
	ACCOUNT #: 00085629076	BUY 2 Units @13.0500						
07/03/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	53.00	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085629076	BUY 4 Units @13.0500						
07/06/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 1 Units @12.9000						
07/06/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 2 Units @12.9000						
07/06/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	1.80	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @12.5800						
07/06/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @12.5800						
07/06/2018	***-**-2542 - OGIYEVICH,	THE BOND FUND OF AMERICA-C	3.71	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @12.5800						



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/06/2018	***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551	THE BOND FUND OF AMERICA-C BUY 1 Units @12.5800	12.50	0.13	0.00	0.13	75.0	0.10
07/06/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5800	2.39	0.02	0.00	0.02	75.0	0.02
07/06/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	THE BOND FUND OF AMERICA-C BUY 2 Units @12.5800	26.83	0.27	0.00	0.27	75.0	0.20
07/03/2018	***-**-7287 - VINCI, DAVID ACCOUNT #: 00085629076	THE GROWTH FUND OF AMERICA-A BUY 0 Units @56.3100	20.40	0.77	0.00	0.77	75.0	0.58
07/03/2018	***-**-7287 - VINCI, DAVID ACCOUNT #: 00085629076	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.3100	53.00	1.99	0.00	1.99	75.0	1.49
07/06/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	THE GROWTH FUND OF AMERICA-A BUY 0 Units @56.2300	7.40	0.15	0.00	0.15	75.0	0.11
07/06/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.2300	31.25	0.63	0.00	0.63	75.0	0.47
07/06/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE GROWTH FUND OF AMERICA-A BUY 0 Units @56.2300	6.49	0.13	0.00	0.13	75.0	0.10
07/06/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.2300	62.50	1.25	0.00	1.25	75.0	0.94
07/06/2018	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.6400	1.82	0.02	0.00	0.02	75.0	0.02
07/06/2018	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.6400	6.25	0.06	0.00	0.06	75.0	0.05
07/06/2018	***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551	THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.6400	3.70	0.04	0.00	0.04	75.0	0.03
07/06/2018	***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551	THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.6400	12.50	0.13	0.00	0.13	75.0	0.10
07/06/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.6400	2.38	0.02	0.00	0.02	75.0	0.02
07/06/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	THE GROWTH FUND OF AMERICA-C BUY 1 Units @50.6400	26.83	0.27	0.00	0.27	75.0	0.20
07/06/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	THE INCOME FUND OF AMERICA-A BUY 0 Units @23.4800	7.38	0.15	0.00	0.15	75.0	0.11



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/06/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @23.4800						
07/06/2018	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @23.4800						
07/06/2018	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 3 Units @23.4800						
07/06/2018	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	2.11	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @13.3500						
07/06/2018	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	23.85	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 00083468583	BUY 2 Units @13.3500						
07/06/2018	***-**-9027 - NELSON,	WASHINGTON MUTUAL INVESTORS FUND-A	6.48	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @46.0600						
07/06/2018	***-**-9027 - NELSON,	WASHINGTON MUTUAL INVESTORS FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @46.0600						
* MUTUAL FUNDS SUBTOTAL *			\$3,724.72	\$125.41	\$0.00	\$125.41		\$94.17
PRODUCT CATEGORY: RIA								
07/10/2018	***-**-58IO - SPINELLA, CAROL	NFS MANAGED FEES	0.00	734.47	0.00	734.47	75.0	550.85
		BUY 1 Units @416274.680						
07/10/2018	***-**-10IO - PARKOLA, KATHRYN	NFS MANAGED FEES	0.00	331.12	0.00	331.12	75.0	248.34
		BUY 1 Units @131366.700						
07/10/2018	***-**-75IO - BRIGGS, LYNDIA	NFS MANAGED FEES	0.00	268.96	0.00	268.96	75.0	201.72
		BUY 1 Units @142274.080						
07/10/2018	***-**-190X - WALTER S	NFS MANAGED FEES	0.00	371.32	0.00	371.32	75.0	278.49
		BUY 1 Units @196423.430						
07/10/2018	***-**-90IO - BABROWICZ,	NFS MANAGED FEES	0.00	156.26	0.00	156.26	75.0	117.20
		BUY 1 Units @82658.8800						
07/10/2018	***-**-90IO - BABROWICZ,	NFS MANAGED FEES	0.00	48.69	0.00	48.69	75.0	36.52
		BUY 1 Units @25756.4100						
07/10/2018	***-**-90IO - BABROWICZ,	NFS MANAGED FEES	0.00	40.61	0.00	40.61	75.0	30.46
		BUY 1 Units @21482.4100						
07/10/2018	***-**-87IO - WIGGINS, DONNA	NFS MANAGED FEES	0.00	485.66	0.00	485.66	75.0	364.25
		BUY 1 Units @1012952.86						



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: RIA (continued)								
07/10/2018	***-**-36TR - HANRETTY,	NFS MANAGED FEES BUY 1 Units @-182754.26	0.00	-368.51	0.00	-368.51	75.0	-276.38
07/10/2018	***-**-56IO - SZYMANSKI,	NFS MANAGED FEES BUY 1 Units @183818.250	0.00	370.66	0.00	370.66	75.0	278.00
07/10/2018	***-**-85FB - SCHUMACI, FRANK	NFS MANAGED FEES BUY 1 Units @203695.600	0.00	266.98	0.00	266.98	75.0	200.24
07/10/2018	***-**-98IO - BRENNAN, ROBIN	NFS MANAGED FEES BUY 1 Units @568843.490	0.00	860.28	0.00	860.28	75.0	645.21
07/10/2018	***-**-80IO - MAMMONE, JOANN	NFS MANAGED FEES BUY 1 Units @547450.160	0.00	827.92	0.00	827.92	75.0	620.94
07/10/2018	***-**-80IO - MAMMONE, JOANN	NFS MANAGED FEES BUY 1 Units @45565.4100	0.00	68.91	0.00	68.91	75.0	51.68
07/10/2018	***-**-58IO - SPINELLA, CAROL	NFS MANAGED FEES-DID NOT DEBIT BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	75.0	0.00
07/10/2018	***-**-190X - WALTER S	NFS MANAGED FEES-DID NOT DEBIT BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	75.0	0.00
* RIA SUBTOTAL *			\$0.00	\$4,463.33	\$0.00	\$4,463.33		\$3,347.52

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV INTERNATIONAL VALUE C BUY 0 Units @0.0000	0.00	1.76	0.00	1.76	75.0	1.32
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR SEMICONDUCTORS CL BUY 0 Units @0.0000	0.00	1.87	0.00	1.87	75.0	1.40
07/09/2018	***-**-1475 - PIERCE, RICHARD ACCOUNT #: 04700260399	ALLIANCE BERNSTEIN GLOBAL TECHNOLOG BUY 0 Units @0.0000	0.00	4.63	0.00	4.63	75.0	3.47
07/09/2018	***-**-7515 - EARL, CAROL ACCOUNT #: 04703677649	ALLIANCE BERNSTEIN GLOBAL TECHNOLOG BUY 0 Units @0.0000	0.00	2.68	0.00	2.68	75.0	2.01
07/09/2018	***-**-0461 - MCGUINNES, ACCOUNT #: 04725946836	ALLIANCE BERNSTEIN GLOBAL TECHNOLOG BUY 0 Units @0.0000	0.00	2.05	0.00	2.05	75.0	1.54
07/09/2018	***-**-0461 - MCGUINNES, ACCOUNT #: 04725946836	ALLIANCE BERNSTEIN INTERNATIONAL GR BUY 0 Units @0.0000	0.00	0.83	0.00	0.83	75.0	0.62
07/09/2018	***-**-0461 - MCGUINNES, ACCOUNT #: 04725946836	ALLIANCEBERNSTEIN GROWTH A BUY 0 Units @0.0000	0.00	1.95	0.00	1.95	75.0	1.46



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/09/2018	***-**-7515 - EARL, CAROL	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	9.98	0.00	9.98	75.0	7.49
	ACCOUNT #: 04703677649	BUY 0 Units @0.0000						
07/09/2018	***-**-4911 - JONES, CECILE	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	1.46	0.00	1.46	75.0	1.10
	ACCOUNT #: 04711057964	BUY 0 Units @0.0000						
07/09/2018	***-**-1475 - PIERCE, RICHARD	ALLIANCEBERNSTEIN INTL VALUE A	0.00	0.92	0.00	0.92	75.0	0.69
	ACCOUNT #: 04100027301	BUY 0 Units @0.0000						
07/09/2018	***-**-1475 - PIERCE, RICHARD	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	0.00	1.77	0.00	1.77	75.0	1.33
	ACCOUNT #: 04700260399	BUY 0 Units @0.0000						
07/09/2018	***-**-1475 - PIERCE, RICHARD	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	0.00	4.03	0.00	4.03	75.0	3.02
	ACCOUNT #: 04700356695	BUY 0 Units @0.0000						
07/09/2018	***-**-7515 - EARL, CAROL	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	0.00	7.87	0.00	7.87	75.0	5.90
	ACCOUNT #: 04703677649	BUY 0 Units @0.0000						
07/09/2018	***-**-0461 - MCGUINNES,	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	0.00	2.20	0.00	2.20	75.0	1.65
	ACCOUNT #: 04725946836	BUY 0 Units @0.0000						
07/09/2018	***-**-0461 - MCGUINNES,	ALLIANCEBERNSTEIN SMALL CAP GROWTH	0.00	1.89	0.00	1.89	75.0	1.42
	ACCOUNT #: 04725946836	BUY 0 Units @0.0000						
06/30/2018	***-**-0357 - ALLEN, PAMELA	CAPITAL APPRECIATION A	0.00	12.41	0.00	12.41	75.0	9.31
	ACCOUNT #: 02706293518	BUY 0 Units @0.0000						
06/30/2018	***-**-8084 - YANGINSKI,	CAPITAL APPRECIATION A	0.00	3.41	0.00	3.41	75.0	2.56
	ACCOUNT #: 03208816148	BUY 0 Units @0.0000						
06/30/2018	***-**-011N - MARNELL, CARMEL	CAPITAL APPRECIATION A	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 03212148367	BUY 0 Units @0.0000						
06/30/2018	***-**-7437 - FERRONE, KAREN	CAPITAL APPRECIATION A	0.00	2.80	0.00	2.80	75.0	2.10
	ACCOUNT #: 08850976327	BUY 0 Units @0.0000						
06/30/2018	***-**-3503 - SPINELLA,	CAPITAL APPRECIATION C	0.00	59.93	0.00	59.93	75.0	44.95
	ACCOUNT #: 03220595958	BUY 0 Units @0.0000						
06/30/2018	***-**-3335 - SPENCER, DAVID	CAPITAL APPRECIATION C	0.00	22.77	0.00	22.77	75.0	17.08
	ACCOUNT #: 03220677006	BUY 0 Units @0.0000						
06/30/2018	***-**-6924 - LITTLER, BRUCE	CAPITAL APPRECIATION C	0.00	1.97	0.00	1.97	75.0	1.48
	ACCOUNT #: 03220835263	BUY 0 Units @0.0000						
06/30/2018	***-**-3569 - YOZZO, ROSA	CAPITAL APPRECIATION C	0.00	23.00	0.00	23.00	75.0	17.25
	ACCOUNT #: 03221003563	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-9311 - YOZZO, JOSEPH	CAPITAL APPRECIATION C	0.00	5.18	0.00	5.18	75.0	3.89
	ACCOUNT #: 08870120626	BUY 0 Units @0.0000						
06/30/2018	***-**-9443 - SPINELLA, JOHN	CAPITAL APPRECIATION C	0.00	43.50	0.00	43.50	75.0	32.63
	ACCOUNT #: 03430002783	BUY 0 Units @0.0000						
06/30/2018	***-**-0357 - ALLEN, PAMELA	CAPITAL INCOME A	0.00	10.52	0.00	10.52	75.0	7.89
	ACCOUNT #: 03450598378	BUY 0 Units @0.0000						
06/30/2018	***-**-3569 - YOZZO, ROSA	CORE BOND FND C	0.00	7.20	0.00	7.20	75.0	5.40
	ACCOUNT #: 02870149193	BUY 0 Units @0.0000						
06/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	CORE BOND FND C	0.00	3.76	0.00	3.76	75.0	2.82
	ACCOUNT #: 02870496166	BUY 0 Units @0.0000						
06/30/2018	***-**-3503 - SPINELLA,	CORE BOND FND C	0.00	23.09	0.00	23.09	75.0	17.32
	ACCOUNT #: 05370001133	BUY 0 Units @0.0000						
06/30/2018	***-**-9443 - SPINELLA, JOHN	CORE BOND FND C	0.00	17.24	0.00	17.24	75.0	12.93
	ACCOUNT #: 05370001144	BUY 0 Units @0.0000						
06/30/2018	***-**-3569 - YOZZO, ROSA	DISCOVERY FUND C	0.00	12.77	0.00	12.77	75.0	9.58
	ACCOUNT #: 07470379137	BUY 0 Units @0.0000						
06/30/2018	***-**-3503 - SPINELLA,	DISCOVERY FUND C	0.00	56.64	0.00	56.64	75.0	42.48
	ACCOUNT #: 07470380193	BUY 0 Units @0.0000						
06/30/2018	***-**-011N - MARNELL, CARMEL	EMERGING MARKETS INNOVATORS FUND A	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00100109770	BUY 0 Units @0.0000						
06/30/2018	***-**-0357 - ALLEN, PAMELA	EQUITY INCOME FUND, INC. A	0.00	8.76	0.00	8.76	75.0	6.57
	ACCOUNT #: 02401590974	BUY 0 Units @0.0000						
06/30/2018	***-**-8166 - SUYDAM, JERRY	EQUITY INCOME FUND, INC. C	0.00	4.98	0.00	4.98	75.0	3.74
	ACCOUNT #: 08370430485	BUY 0 Units @0.0000						
07/09/2018	***-**-0559 - LASCALA, LEONARD	FA SHORT TERM BOND A	0.00	0.84	0.00	0.84	75.0	0.63
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
07/09/2018	***-**-3781 - GOULD, ROBERT	FA SHORT TERM BOND T	0.00	1.03	0.00	1.03	75.0	0.77
	ACCOUNT #: 01490923172	BUY 0 Units @0.0000						
07/09/2018	***-**-1970 - CZEBIENIAK,	FIDELITY ADV 01480463155	0.00	0.67	0.00	0.67	75.0	0.50
	ACCOUNT #: 01486582578	BUY 0 Units @0.0000						
07/09/2018	***-**-7266 - ZUMPARO, ANDREA	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.14	0.00	0.14	75.0	0.11
	ACCOUNT #: 03900215235	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000	0.00	1.90	0.00	1.90	75.0	1.43
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV CHINA REGION CL A BUY 0 Units @0.0000	0.00	1.78	0.00	1.78	75.0	1.34
07/09/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 0 Units @0.0000	0.00	6.56	0.00	6.56	75.0	4.92
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 0 Units @0.0000	0.00	1.81	0.00	1.81	75.0	1.36
07/09/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000	0.00	5.84	0.00	5.84	75.0	4.38
07/09/2018	***-**-7266 - ZUMPARO, ANDREA ACCOUNT #: 03900215235	FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000	0.00	0.09	0.00	0.09	75.0	0.07
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000	0.00	1.73	0.00	1.73	75.0	1.30
07/09/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV EMERGING MRKTS INC CL BUY 0 Units @0.0000	0.00	10.43	0.00	10.43	75.0	7.82
07/09/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV ENERGY-CL A BUY 0 Units @0.0000	0.00	2.71	0.00	2.71	75.0	2.03
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV EQUITY GROWTH CL A BUY 0 Units @0.0000	0.00	1.87	0.00	1.87	75.0	1.40
07/09/2018	***-**-3781 - GOULD, ROBERT ACCOUNT #: 01490923172	FIDELITY ADV EQUITY GROWTH CL T BUY 0 Units @0.0000	0.00	16.94	0.00	16.94	75.0	12.71
07/09/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV EQUITY INCOME CL A BUY 0 Units @0.0000	0.00	8.10	0.00	8.10	75.0	6.08
07/09/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV EQUITY VALUE CL A BUY 0 Units @0.0000	0.00	14.18	0.00	14.18	75.0	10.64
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV EQUITY VALUE CL A BUY 0 Units @0.0000	0.00	1.81	0.00	1.81	75.0	1.36
07/09/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV EUROPE FUND CLASS A BUY 0 Units @0.0000	0.00	2.31	0.00	2.31	75.0	1.73
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV EUROPE FUND CLASS A BUY 0 Units @0.0000	0.00	1.78	0.00	1.78	75.0	1.34



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/09/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV FLOATING RATE HIGH INC	0.00	3.41	0.00	3.41	75.0	2.56
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
07/09/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV FLOATING RATE HIGH INC	0.00	1.09	0.00	1.09	75.0	0.82
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
07/09/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV FREEDOM 2015-CL A	0.00	9.01	0.00	9.01	75.0	6.76
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
07/09/2018	***-**-1970 - CZEBIENIAK,	FIDELITY ADV FREEDOM 2020-CL C	0.00	0.41	0.00	0.41	75.0	0.31
	ACCOUNT #: 01486582578	BUY 0 Units @0.0000						
07/09/2018	***-**-1543 - FALCHI, GARY	FIDELITY ADV FREEDOM 2025-CL A	0.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 01475399455	BUY 0 Units @0.0000						
07/09/2018	***-**-1970 - CZEBIENIAK,	FIDELITY ADV FREEDOM 2025-CL C	0.00	0.54	0.00	0.54	75.0	0.41
	ACCOUNT #: 01486582578	BUY 0 Units @0.0000						
07/09/2018	***-**-7266 - ZUMPANO, ANDREA	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.14	0.00	0.14	75.0	0.11
	ACCOUNT #: 03900215235	BUY 0 Units @0.0000						
07/09/2018	***-**-7266 - ZUMPANO, ANDREA	FIDELITY ADV FREEDOM 2035-CL A	0.00	0.19	0.00	0.19	75.0	0.14
	ACCOUNT #: 03900215235	BUY 0 Units @0.0000						
07/09/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV GLOBAL EQUITY INCOME C	0.00	1.80	0.00	1.80	75.0	1.35
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
07/09/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV GROWTH & INCOME CL A	0.00	12.29	0.00	12.29	75.0	9.22
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
07/09/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV GROWTH & INCOME CL A	0.00	4.58	0.00	4.58	75.0	3.44
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
07/09/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV GROWTH OPPORT CL A	0.00	1.96	0.00	1.96	75.0	1.47
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
07/09/2018	***-**-3781 - GOULD, ROBERT	FIDELITY ADV GROWTH OPPORT CL T	0.00	12.34	0.00	12.34	75.0	9.26
	ACCOUNT #: 01490923172	BUY 0 Units @0.0000						
07/09/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV HEALTH CARE-CL A	0.00	1.90	0.00	1.90	75.0	1.43
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
07/09/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	6.61	0.00	6.61	75.0	4.96
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
07/09/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV INDUSTRIALS CL A	0.00	8.00	0.00	8.00	75.0	6.00
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV INDUSTRIALS CL A BUY 0 Units @0.0000	0.00	1.79	0.00	1.79	75.0	1.34
07/09/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV INFLATION PROTECTED BD BUY 0 Units @0.0000	0.00	2.20	0.00	2.20	75.0	1.65
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV INT'L CAPITAL APP CL A BUY 0 Units @0.0000	0.00	1.79	0.00	1.79	75.0	1.34
07/09/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV INTERMEDIATE BOND-CL A BUY 0 Units @0.0000	0.00	4.44	0.00	4.44	75.0	3.33
07/09/2018	***-**-7266 - ZUMANO, ANDREA ACCOUNT #: 03900215235	FIDELITY ADV INTL DISCOVERY-CL A BUY 0 Units @0.0000	0.00	0.09	0.00	0.09	75.0	0.07
07/09/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV INTL SMALL CAP OPPS-CL BUY 0 Units @0.0000	0.00	7.85	0.00	7.85	75.0	5.89
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV INTL SMALL CAP-CL A BUY 0 Units @0.0000	0.00	1.77	0.00	1.77	75.0	1.33
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV INVESTMENT GRADE BOND- BUY 0 Units @0.0000	0.00	5.87	0.00	5.87	75.0	4.40
07/09/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV LEVERAGED CO STOCK CL BUY 0 Units @0.0000	0.00	13.32	0.00	13.32	75.0	9.99
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV LEVERAGED CO STOCK CL BUY 0 Units @0.0000	0.00	1.86	0.00	1.86	75.0	1.40
07/09/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV MATERIALS-CL A BUY 0 Units @0.0000	0.00	5.96	0.00	5.96	75.0	4.47
07/09/2018	***-**-5381 - ZARNOCK, MARTIN ACCOUNT #: 01482293584	FIDELITY ADV MATERIALS-CL A BUY 0 Units @0.0000	0.00	1.25	0.00	1.25	75.0	0.94
07/09/2018	***-**-5381 - ZARNOCK, MARTIN ACCOUNT #: 01482293584	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	1.39	0.00	1.39	75.0	1.04
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV MID CAP VALUE-CL A BUY 0 Units @0.0000	0.00	1.79	0.00	1.79	75.0	1.34
07/09/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	11.95	0.00	11.95	75.0	8.96
07/09/2018	***-**-5381 - ZARNOCK, MARTIN ACCOUNT #: 01482293584	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	1.68	0.00	1.68	75.0	1.26



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/09/2018	***-**-7266 - ZUMANO, ANDREA	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 03900215235	BUY 0 Units @0.0000						
07/09/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV NEW INSIGHTS-CL A	0.00	3.72	0.00	3.72	75.0	2.79
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
07/09/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV STCK SEL LRG CP VAL CL	0.00	8.68	0.00	8.68	75.0	6.51
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
07/09/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV STCK SEL LRG CP VAL CL	0.00	1.81	0.00	1.81	75.0	1.36
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
07/09/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV STRATEGIC INCOME CL A	0.00	7.54	0.00	7.54	75.0	5.66
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
07/09/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV STRATEGIC INCOME CL A	0.00	2.68	0.00	2.68	75.0	2.01
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
07/09/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV STRATEGIC REAL RETURN-	0.00	5.82	0.00	5.82	75.0	4.37
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
07/09/2018	***-**-7266 - ZUMANO, ANDREA	FIDELITY ADV TECHNOLOGY CL A	0.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 03900215235	BUY 0 Units @0.0000						
07/09/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV TECHNOLOGY CL A	0.00	2.81	0.00	2.81	75.0	2.11
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
07/09/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV TOTAL BOND-CL A	0.00	5.27	0.00	5.27	75.0	3.95
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
07/09/2018	***-**-7266 - ZUMANO, ANDREA	FIDELITY ADV TOTAL BOND-CL A	0.00	0.10	0.00	0.10	75.0	0.08
	ACCOUNT #: 03900215235	BUY 0 Units @0.0000						
07/09/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV TOTAL BOND-CL A	0.00	4.48	0.00	4.48	75.0	3.36
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
07/09/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADVISOR CORP BOND CLASS A	0.00	2.67	0.00	2.67	75.0	2.00
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
07/09/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADVISOR DIVERSIFIED INTL F	0.00	6.57	0.00	6.57	75.0	4.93
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
07/09/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADVISOR FREEDOM 2020 CL A	0.00	4.51	0.00	4.51	75.0	3.38
	ACCOUNT #: 03900222073	BUY 0 Units @0.0000						
07/09/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADVISOR GOVERNMENT INCOME	0.00	5.43	0.00	5.43	75.0	4.07
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR GOVERNMENT INCOME BUY 0 Units @0.0000	0.00	5.38	0.00	5.38	75.0	4.04
07/09/2018	***-**-3781 - GOULD, ROBERT ACCOUNT #: 01490923172	FIDELITY ADVISOR INTERMEDIATE BOND BUY 0 Units @0.0000	0.00	1.87	0.00	1.87	75.0	1.40
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR MORTGAGE SECS CL A BUY 0 Units @0.0000	0.00	2.69	0.00	2.69	75.0	2.02
07/09/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	5.90	0.00	5.90	75.0	4.43
07/09/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	1.87	0.00	1.87	75.0	1.40
07/09/2018	***-**-0559 - LASCALA, LEONARD ACCOUNT #: 01426932115	FIDELITY ADVISOR STK SELECTOR ALL C BUY 0 Units @0.0000	0.00	10.91	0.00	10.91	75.0	8.18
07/09/2018	***-**-7401 - RUNDLE, AARON ACCOUNT #: 01483068944	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 0 Units @0.0000	0.00	1.13	0.00	1.13	75.0	0.85
07/09/2018	***-**-2972 - SCHROEDER, ACCOUNT #: 03900219577	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 0 Units @0.0000	0.00	0.04	0.00	0.04	75.0	0.03
06/30/2018	***-**-7437 - FERRONE, KAREN ACCOUNT #: 03300536461	GLOBAL FUND A BUY 0 Units @0.0000	0.00	2.83	0.00	2.83	75.0	2.12
06/30/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 03304237664	GLOBAL FUND A BUY 0 Units @0.0000	0.00	2.36	0.00	2.36	75.0	1.77
06/30/2018	***-**-8084 - YANGINSKI, ACCOUNT #: 03306186435	GLOBAL FUND A BUY 0 Units @0.0000	0.00	3.01	0.00	3.01	75.0	2.26
06/30/2018	***-**-3203 - COMPION, ELLEN ACCOUNT #: 03307715985	GLOBAL FUND A BUY 0 Units @0.0000	0.00	0.78	0.00	0.78	75.0	0.59
06/30/2018	***-**-011N - MARNELL, CARMEL ACCOUNT #: 03308022016	GLOBAL FUND A BUY 0 Units @0.0000	0.00	0.07	0.00	0.07	75.0	0.05
06/30/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 03309630555	GLOBAL FUND A BUY 0 Units @0.0000	0.00	3.59	0.00	3.59	75.0	2.69
06/30/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 03314089679	GLOBAL FUND B BUY 0 Units @0.0000	0.00	0.02	0.00	0.02	75.0	0.02
06/30/2018	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 02154347618	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	0.00	11.08	0.00	11.08	75.0	8.31



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-3720 - MARCOCCIA, ACCOUNT #: 05023660284	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	8.57	0.00	8.57	75.0	6.43
07/01/2018	***-**-3720 - MARCOCCIA, ACCOUNT #: 05023660284	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 0 Units @0.0000	0.00	1.64	0.00	1.64	75.0	1.23
07/01/2018	***-**-3720 - MARCOCCIA, ACCOUNT #: 05023660284	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	1.19	0.00	1.19	75.0	0.89
07/16/2018	***-**-6072 - LASKOWSKY, ANITA ACCOUNT #: 52730385	JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000	0.00	9.03	0.00	9.03	75.0	6.77
06/30/2018	***-**-8084 - YANGINSKI, ACCOUNT #: 08552948099	LIMITED TERM GOVERNMENT A BUY 0 Units @0.0000	0.00	1.17	0.00	1.17	75.0	0.88
06/30/2018	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 07001196605	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	10.62	0.00	10.62	75.0	7.97
06/30/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 07002590602	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	2.46	0.00	2.46	75.0	1.85
06/30/2018	***-**-8084 - YANGINSKI, ACCOUNT #: 07002853271	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	3.71	0.00	3.71	75.0	2.78
06/30/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 07006458160	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	7.83	0.00	7.83	75.0	5.87
06/30/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 07012776515	MAIN STREET FUND B BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	75.0	0.01
06/30/2018	***-**-9311 - YOZZO, JOSEPH ACCOUNT #: 07022553287	MAIN STREET FUND C BUY 0 Units @0.0000	0.00	3.27	0.00	3.27	75.0	2.45
06/30/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 07022553320	MAIN STREET FUND C BUY 0 Units @0.0000	0.00	13.83	0.00	13.83	75.0	10.37
06/30/2018	***-**-3503 - SPINELLA, ACCOUNT #: 07022555509	MAIN STREET FUND C BUY 0 Units @0.0000	0.00	82.39	0.00	82.39	75.0	61.79
06/30/2018	***-**-3335 - SPENCER, DAVID ACCOUNT #: 07022642860	MAIN STREET FUND C BUY 0 Units @0.0000	0.00	25.21	0.00	25.21	75.0	18.91
06/30/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 07024172894	MAIN STREET FUND C BUY 0 Units @0.0000	0.00	15.97	0.00	15.97	75.0	11.98
06/30/2018	***-**-8166 - SUYDAM, JERRY ACCOUNT #: 07024172916	MAIN STREET FUND C BUY 0 Units @0.0000	0.00	4.50	0.00	4.50	75.0	3.38



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-9443 - SPINELLA, JOHN	MAIN STREET FUND C	0.00	73.29	0.00	73.29	75.0	54.97
	ACCOUNT #: 07022555531	BUY 0 Units @0.0000						
06/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	MAIN STREET OPPORTUNITY FUND B	0.00	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 07320231803	BUY 0 Units @0.0000						
07/01/2018	***-**-6347 - CRUMB, MICHAEL	MASS INVESTORS GROWTH STOCK FUND-A	0.00	17.32	0.00	17.32	75.0	12.99
	ACCOUNT #: 00060411762	BUY 0 Units @0.0000						
07/01/2018	***-**-0337 - CAMPION, CAROLYN	MFS BOND FUND-A	0.00	13.07	0.00	13.07	75.0	9.80
	ACCOUNT #: 08191177696	BUY 0 Units @0.0000						
07/01/2018	***-**-6347 - CRUMB, MICHAEL	MFS CORE EQUITY FUND-A	0.00	6.81	0.00	6.81	75.0	5.11
	ACCOUNT #: 00060418250	BUY 0 Units @0.0000						
07/01/2018	***-**-0337 - CAMPION, CAROLYN	MFS DIVERSIFIED INCOME FD CL A	0.00	15.77	0.00	15.77	75.0	11.83
	ACCOUNT #: 08191177696	BUY 0 Units @0.0000						
07/01/2018	***-**-6347 - CRUMB, MICHAEL	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	13.37	0.00	13.37	75.0	10.03
	ACCOUNT #: 00060415894	BUY 0 Units @0.0000						
07/01/2018	***-**-0337 - CAMPION, CAROLYN	MFS LIFETIME INCOME CLASS A	0.00	80.71	0.00	80.71	75.0	60.53
	ACCOUNT #: 08191177696	BUY 0 Units @0.0000						
07/01/2018	***-**-0337 - CAMPION, CAROLYN	MFS LIMITED MATURITY FUND-A	0.00	6.19	0.00	6.19	75.0	4.64
	ACCOUNT #: 08191177696	BUY 0 Units @0.0000						
07/01/2018	***-**-6347 - CRUMB, MICHAEL	MFS MID CAP VALUE FUND-A	0.00	6.85	0.00	6.85	75.0	5.14
	ACCOUNT #: 00060418319	BUY 0 Units @0.0000						
07/01/2018	***-**-6347 - CRUMB, MICHAEL	MFS RESEARCH BOND FUND CLASS A	0.00	6.94	0.00	6.94	75.0	5.21
	ACCOUNT #: 00060416046	BUY 0 Units @0.0000						
07/01/2018	***-**-6347 - CRUMB, MICHAEL	MFS RESEARCH INTERNATIONAL FUND-A	0.00	11.16	0.00	11.16	75.0	8.37
	ACCOUNT #: 00060418160	BUY 0 Units @0.0000						
07/01/2018	***-**-6347 - CRUMB, MICHAEL	MFS TOTAL RETURN FUND-A	0.00	35.32	0.00	35.32	75.0	26.49
	ACCOUNT #: 00060414487	BUY 0 Units @0.0000						
07/01/2018	***-**-0337 - CAMPION, CAROLYN	MFS UTILITIES FUND-A	0.00	18.02	0.00	18.02	75.0	13.52
	ACCOUNT #: 08191177696	BUY 0 Units @0.0000						
07/01/2018	***-**-6347 - CRUMB, MICHAEL	MFS VALUE FUND-A	0.00	14.27	0.00	14.27	75.0	10.70
	ACCOUNT #: 00060417743	BUY 0 Units @0.0000						
06/30/2018	***-**-0357 - ALLEN, PAMELA	OPP ROCHSTR SH TRM MUNI FND A	0.00	38.75	0.00	38.75	75.0	29.06
	ACCOUNT #: 06210038368	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-7437 - FERRONE, KAREN	OPPENHEIMER CORE BOND FUND A	0.00	1.36	0.00	1.36	75.0	1.02
	ACCOUNT #: 02850911702	BUY 0 Units @0.0000						
06/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER CORE BOND FUND A	0.00	2.14	0.00	2.14	75.0	1.61
	ACCOUNT #: 02851530067	BUY 0 Units @0.0000						
06/30/2018	***-**-8084 - YANGINSKI,	OPPENHEIMER CORE BOND FUND A	0.00	0.59	0.00	0.59	75.0	0.44
	ACCOUNT #: 02851937375	BUY 0 Units @0.0000						
06/30/2018	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER CORE BOND FUND A	0.00	2.63	0.00	2.63	75.0	1.97
	ACCOUNT #: 02852069034	BUY 0 Units @0.0000						
06/30/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER CORE BOND FUND A	0.00	0.61	0.00	0.61	75.0	0.46
	ACCOUNT #: 02852429284	BUY 0 Units @0.0000						
06/30/2018	***-**-011N - MARNELL, CARMEL	OPPENHEIMER CORE BOND FUND A	0.00	0.07	0.00	0.07	75.0	0.05
	ACCOUNT #: 02852540989	BUY 0 Units @0.0000						
06/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER CORE BOND FUND B	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 02860285274	BUY 0 Units @0.0000						
06/30/2018	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	16.97	0.00	16.97	75.0	12.73
	ACCOUNT #: 07860681693	BUY 0 Units @0.0000						
06/30/2018	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER DISCOVERY CLASS A	0.00	16.63	0.00	16.63	75.0	12.47
	ACCOUNT #: 05004466528	BUY 0 Units @0.0000						
06/30/2018	***-**-3569 - YOZZO, ROSA	OPPENHEIMER GLOBAL CLASS C	0.00	15.53	0.00	15.53	75.0	11.65
	ACCOUNT #: 03320553916	BUY 0 Units @0.0000						
06/30/2018	***-**-3503 - SPINELLA,	OPPENHEIMER GLOBAL CLASS C	0.00	64.34	0.00	64.34	75.0	48.26
	ACCOUNT #: 03320555797	BUY 0 Units @0.0000						
06/30/2018	***-**-6924 - LITTLER, BRUCE	OPPENHEIMER GLOBAL CLASS C	0.00	1.47	0.00	1.47	75.0	1.10
	ACCOUNT #: 03320759759	BUY 0 Units @0.0000						
06/30/2018	***-**-9311 - YOZZO, JOSEPH	OPPENHEIMER GLOBAL CLASS C	0.00	9.50	0.00	9.50	75.0	7.13
	ACCOUNT #: 03321360260	BUY 0 Units @0.0000						
06/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER GLOBAL CLASS C	0.00	16.84	0.00	16.84	75.0	12.63
	ACCOUNT #: 03322626976	BUY 0 Units @0.0000						
06/30/2018	***-**-9443 - SPINELLA, JOHN	OPPENHEIMER GLOBAL CLASS C	0.00	105.31	0.00	105.31	75.0	78.98
	ACCOUNT #: 03320555830	BUY 0 Units @0.0000						
06/30/2018	***-**-3503 - SPINELLA,	OPPENHEIMER INT'L BOND CLASS C	0.00	18.98	0.00	18.98	75.0	14.24
	ACCOUNT #: 08820644567	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-8084 - YANGINSKI, ACCOUNT #: 01952306227	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	3.74	0.00	3.74	75.0	2.81
06/30/2018	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 01952779766	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	4.62	0.00	4.62	75.0	3.47
06/30/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 01970270413	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	3.04	0.00	3.04	75.0	2.28
06/30/2018	***-**-3503 - SPINELLA, ACCOUNT #: 01970376552	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	17.32	0.00	17.32	75.0	12.99
06/30/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 01970011044	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	16.36	0.00	16.36	75.0	12.27
06/30/2018	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 01970376563	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	3.97	0.00	3.97	75.0	2.98
06/30/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 01971060983	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	16.39	0.00	16.39	75.0	12.29
06/30/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 07314176381	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	5.91	0.00	5.91	75.0	4.43
06/30/2018	***-**-3503 - SPINELLA, ACCOUNT #: 07330263890	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	57.60	0.00	57.60	75.0	43.20
06/30/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 07331164691	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	20.37	0.00	20.37	75.0	15.28
06/30/2018	***-**-8166 - SUYDAM, JERRY ACCOUNT #: 07331164724	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	4.44	0.00	4.44	75.0	3.33
06/30/2018	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 07330263912	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	50.08	0.00	50.08	75.0	37.56
06/30/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 08490783794	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	6.00	0.00	6.00	75.0	4.50
06/30/2018	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 08490783816	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	8.79	0.00	8.79	75.0	6.59
06/30/2018	***-**-3503 - SPINELLA, ACCOUNT #: 02270728079	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	0.00	13.49	0.00	13.49	75.0	10.12
06/30/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 02271217425	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	0.00	25.42	0.00	25.42	75.0	19.07



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 05620002497	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	0.00	28.04	0.00	28.04	75.0	21.03
06/30/2018	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 02913858756	OPPENHEIMER SENIOR FLOATING RATE CL BUY 0 Units @0.0000	0.00	25.48	0.00	25.48	75.0	19.11
06/30/2018	***-**-7437 - FERRONE, KAREN ACCOUNT #: 02512098437	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	2.64	0.00	2.64	75.0	1.98
06/30/2018	***-**-8084 - YANGINSKI, ACCOUNT #: 02514169231	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	2.28	0.00	2.28	75.0	1.71
06/30/2018	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 02514813402	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	5.68	0.00	5.68	75.0	4.26
06/30/2018	***-**-3203 - COMPION, ELLEN ACCOUNT #: 02514937295	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	0.70	0.00	0.70	75.0	0.53
06/30/2018	***-**-01IN - MARNELL, CARMEL ACCOUNT #: 02515071616	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	0.04	0.00	0.04	75.0	0.03
06/30/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 02510909909	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	8.19	0.00	8.19	75.0	6.14
06/30/2018	***-**-2562 - SPENCER, IRENE ACCOUNT #: 02303109887	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	42.70	0.00	42.70	75.0	32.03
06/30/2018	***-**-01IN - MARNELL, CARMEL ACCOUNT #: 02307440689	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	0.04	0.00	0.04	75.0	0.03
06/30/2018	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 02203270157	OPPENHEIMER U.S. GOVT TR CLASS A BUY 0 Units @0.0000	0.00	0.57	0.00	0.57	75.0	0.43
07/02/2018	***-**-7122 - KALWARA, JOHN ACCOUNT #: 00495063903	PUTNAM 746792100 BUY 0 Units @0.0000	0.00	18.76	0.00	18.76	75.0	14.07
07/02/2018	***-**-7122 - KALWARA, JOHN ACCOUNT #: 00550842150	PUTNAM CAP. OPPORTUNITIES-A BUY 0 Units @0.0000	0.00	3.45	0.00	3.45	75.0	2.59
07/02/2018	***-**-7778 - SKARADEK, ERIN ACCOUNT #: 00551308411	PUTNAM CAP. OPPORTUNITIES-A BUY 0 Units @0.0000	0.00	5.29	0.00	5.29	75.0	3.97
07/02/2018	***-**-7122 - KALWARA, JOHN ACCOUNT #: 00496339326	PUTNAM DIVERSIFIED INCOME FUND CLAS BUY 0 Units @0.0000	0.00	8.03	0.00	8.03	75.0	6.02
07/02/2018	***-**-7122 - KALWARA, JOHN ACCOUNT #: 00550842150	PUTNAM DIVERSIFIED INCOME FUND CLAS BUY 0 Units @0.0000	0.00	3.59	0.00	3.59	75.0	2.69



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM EQUITY INCOME FUND-A	0.00	13.21	0.00	13.21	75.0	9.91
	ACCOUNT #: 00496339326	BUY 0 Units @0.0000						
07/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM EQUITY INCOME FUND-A	0.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 00550842150	BUY 0 Units @0.0000						
07/02/2018	***-**-7778 - SKARADEK, ERIN	PUTNAM EQUITY INCOME FUND-A	0.00	5.13	0.00	5.13	75.0	3.85
	ACCOUNT #: 00551308411	BUY 0 Units @0.0000						
07/02/2018	***-**-627J - KALWARA, TREVOR	PUTNAM EQUITY INCOME FUND-A	0.00	4.43	0.00	4.43	75.0	3.32
	ACCOUNT #: 00551376520	BUY 0 Units @0.0000						
07/02/2018	***-**-1162 - KALWARA, JOHN	PUTNAM EQUITY INCOME FUND-A	0.00	2.54	0.00	2.54	75.0	1.91
	ACCOUNT #: 00551376528	BUY 0 Units @0.0000						
07/02/2018	***-**-1162 - KALWARA, JOHN	PUTNAM GLOBAL EQUITY FUND CLASS A	0.00	1.46	0.00	1.46	75.0	1.10
	ACCOUNT #: 00551376528	BUY 0 Units @0.0000						
07/02/2018	***-**-1162 - KALWARA, JOHN	PUTNAM GLOBAL HEALTH CARE FD-A	0.00	1.41	0.00	1.41	75.0	1.06
	ACCOUNT #: 00551376528	BUY 0 Units @0.0000						
07/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	6.47	0.00	6.47	75.0	4.85
	ACCOUNT #: 00496339326	BUY 0 Units @0.0000						
07/02/2018	***-**-7778 - SKARADEK, ERIN	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	3.56	0.00	3.56	75.0	2.67
	ACCOUNT #: 00551308407	BUY 0 Units @0.0000						
07/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM INTL CAPITAL OPPS-A	0.00	1.82	0.00	1.82	75.0	1.37
	ACCOUNT #: 00550842150	BUY 0 Units @0.0000						
07/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM INTL GROWTH & INC-A	0.00	2.99	0.00	2.99	75.0	2.24
	ACCOUNT #: 00550842150	BUY 0 Units @0.0000						
07/02/2018	***-**-7778 - SKARADEK, ERIN	PUTNAM INTL GROWTH & INC-A	0.00	3.29	0.00	3.29	75.0	2.47
	ACCOUNT #: 00551308411	BUY 0 Units @0.0000						
07/02/2018	***-**-1162 - KALWARA, JOHN	PUTNAM INTL NEW OPPORT-A	0.00	1.22	0.00	1.22	75.0	0.92
	ACCOUNT #: 00551376528	BUY 0 Units @0.0000						
07/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM INVESTORS FUND-A	0.00	17.95	0.00	17.95	75.0	13.46
	ACCOUNT #: 00495063903	BUY 0 Units @0.0000						
07/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM INVESTORS FUND-A	0.00	4.82	0.00	4.82	75.0	3.62
	ACCOUNT #: 00550842150	BUY 0 Units @0.0000						
07/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM MULTI-CAP CORE FUND CL A	0.00	1.98	0.00	1.98	75.0	1.49
	ACCOUNT #: 00495063903	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM MULTI-CAP CORE FUND CL A	0.00	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 00550842150	BUY 0 Units @0.0000						
07/02/2018	***-**-1162 - KALWARA, JOHN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	1.97	0.00	1.97	75.0	1.48
	ACCOUNT #: 00551376528	BUY 0 Units @0.0000						
07/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM NEW YORK TAX- EXEMPT INCOME	0.00	12.26	0.00	12.26	75.0	9.20
	ACCOUNT #: 00461230208	BUY 0 Units @0.0000						
07/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM NEW YORK TAX- EXEMPT INCOME	0.00	0.67	0.00	0.67	75.0	0.50
	ACCOUNT #: 00461230208	BUY 0 Units @0.0000						
07/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM NEW YORK TAX- EXEMPT INCOME	0.00	7.41	0.00	7.41	75.0	5.56
	ACCOUNT #: 00495063903	BUY 0 Units @0.0000						
07/02/2018	***-**-627J - KALWARA, TREVOR	PUTNAM NEW YORK TAX- EXEMPT INCOME	0.00	4.03	0.00	4.03	75.0	3.02
	ACCOUNT #: 00551376520	BUY 0 Units @0.0000						
07/02/2018	***-**-1162 - KALWARA, JOHN	PUTNAM NEW YORK TAX- EXEMPT INCOME	0.00	0.34	0.00	0.34	75.0	0.26
	ACCOUNT #: 00551376528	BUY 0 Units @0.0000						
07/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM RESEARCH FUND-A	0.00	31.33	0.00	31.33	75.0	23.50
	ACCOUNT #: 00550842150	BUY 0 Units @0.0000						
07/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM SMALL CAP VALUE FUND-A	0.00	7.97	0.00	7.97	75.0	5.98
	ACCOUNT #: 00496339326	BUY 0 Units @0.0000						
07/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM U.S. GOVT INCOME TR-A	0.00	9.27	0.00	9.27	75.0	6.95
	ACCOUNT #: 00551047935	BUY 0 Units @0.0000						
07/02/2018	***-**-1162 - KALWARA, JOHN	PUTNAM U.S. GOVT INCOME TR-A	0.00	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00551376528	BUY 0 Units @0.0000						
06/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	QUEST BALANCED A	0.00	0.54	0.00	0.54	75.0	0.41
	ACCOUNT #: 02575870139	BUY 0 Units @0.0000						
06/30/2018	***-**-8084 - YANGINSKI,	QUEST BALANCED A	0.00	1.68	0.00	1.68	75.0	1.26
	ACCOUNT #: 02576135404	BUY 0 Units @0.0000						
06/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	QUEST BALANCED B	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 02582859626	BUY 0 Units @0.0000						
06/30/2018	***-**-3569 - YOZZO, ROSA	QUEST BALANCED FUND C	0.00	8.19	0.00	8.19	75.0	6.14
	ACCOUNT #: 02590391138	BUY 0 Units @0.0000						
06/30/2018	***-**-1837 - WAMPFLER-SUYDAM,	QUEST BALANCED FUND C	0.00	13.50	0.00	13.50	75.0	10.13
	ACCOUNT #: 02592264273	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-8166 - SUYDAM, JERRY	QUEST BALANCED FUND C	0.00	4.24	0.00	4.24	75.0	3.18
	ACCOUNT #: 02592264306	BUY 0 Units @0.0000						
06/30/2018	***-**-9311 - YOZZO, JOSEPH	QUEST OPPORTUNITY VALUE FUND C	0.00	5.86	0.00	5.86	75.0	4.40
	ACCOUNT #: 02380607024	BUY 0 Units @0.0000						
06/30/2018	***-**-9443 - SPINELLA, JOHN	QUEST OPPORTUNITY VALUE FUND C	0.00	9.02	0.00	9.02	75.0	6.77
	ACCOUNT #: 02380837243	BUY 0 Units @0.0000						
06/30/2018	***-**-0357 - ALLEN, PAMELA	REAL ESTATE FUND A	0.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 05900887128	BUY 0 Units @0.0000						
06/30/2018	***-**-8084 - YANGINSKI,	REAL ESTATE FUND A	0.00	0.90	0.00	0.90	75.0	0.68
	ACCOUNT #: 05901010823	BUY 0 Units @0.0000						
06/30/2018	***-**-3569 - YOZZO, ROSA	REAL ESTATE FUND C	0.00	1.16	0.00	1.16	75.0	0.87
	ACCOUNT #: 05920131582	BUY 0 Units @0.0000						
06/30/2018	***-**-0357 - ALLEN, PAMELA	RISING DIVIDENDS FUND A	0.00	10.32	0.00	10.32	75.0	7.74
	ACCOUNT #: 02254343295	BUY 0 Units @0.0000						
06/30/2018	***-**-01IN - MARNELL, CARMEL	RISING DIVIDENDS FUND A	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 02254838053	BUY 0 Units @0.0000						
06/30/2018	***-**-3503 - SPINELLA,	SELECT VALUE C	0.00	23.70	0.00	23.70	75.0	17.78
	ACCOUNT #: 06020038852	BUY 0 Units @0.0000						
06/30/2018	***-**-3569 - YOZZO, ROSA	SELECT VALUE C	0.00	2.73	0.00	2.73	75.0	2.05
	ACCOUNT #: 06020063217	BUY 0 Units @0.0000						
06/30/2018	***-**-9443 - SPINELLA, JOHN	SELECT VALUE C	0.00	21.86	0.00	21.86	75.0	16.40
	ACCOUNT #: 06020038863	BUY 0 Units @0.0000						
06/30/2018	***-**-3203 - COMPION, ELLEN	SELECT VALUE FUND A	0.00	0.70	0.00	0.70	75.0	0.53
	ACCOUNT #: 06000770220	BUY 0 Units @0.0000						
06/30/2018	***-**-9443 - SPINELLA, JOHN	SMALL & MID-CAP GRWTH FND C	0.00	64.40	0.00	64.40	75.0	48.30
	ACCOUNT #: 07230002222	BUY 0 Units @0.0000						
06/30/2018	***-**-01IN - MARNELL, CARMEL	SMALL CAP VALUE FUND A	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00100051250	BUY 0 Units @0.0000						
06/30/2018	***-**-6924 - LITTLER, BRUCE	SMALL- & MID- CAP VALUE FUND C	0.00	1.77	0.00	1.77	75.0	1.33
	ACCOUNT #: 02530122727	BUY 0 Units @0.0000						
06/30/2018	***-**-3503 - SPINELLA,	SMALL- & MID- CAP VALUE FUND C	0.00	12.79	0.00	12.79	75.0	9.59
	ACCOUNT #: 02531090265	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-9443 - SPINELLA, JOHN	STRATEGIC INCOME FUND C	0.00	18.74	0.00	18.74	75.0	14.06
	ACCOUNT #: 02321477630	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$2,287.75	\$0.00	\$2,287.75		\$1,716.10
		*** BROKER TRANSACTIONS TOTAL ***	\$3,724.72	\$6,876.49	\$0.00	\$6,876.49		\$5,157.79

BROKER COMMISSION TOTALS

Broker Transactions	\$5,157.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$5,157.79
Year-to-date Earnings	\$52,392.84