



# COMMISSION STATEMENT

August 13, 2018

**TIMOTHY STATES**  
1629 K ST NW STE 300  
SUITE 300  
WASHINGTON, DC 20001

**BROKER #: A70**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/07/2018	***-**-5420 - BROWN, MARY	FUNDAMENTAL INVESTORS-A	6,999.98	297.50	18.00	279.50	75.0	209.63
	ACCOUNT #: LSR012530	BUY 103 Units @68.1900						
08/09/2018	***-**-58IN - LUTZ, SAMUEL	FUNDAMENTAL INVESTORS-A	13,859.99	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR010594	SELL 214 Units @64.6500						
08/07/2018	***-**-58IN - LUTZ, SAMUEL	GLOBAL OPPORTUNITIES A	5,000.03	237.50	18.00	219.50	75.0	164.63
	ACCOUNT #: LSR010594	BUY 66 Units @76.1700						
08/07/2018	***-**-74IN - DAVIS, MISCHA	GLOBAL OPPORTUNITIES A	9,999.98	475.00	18.00	457.00	75.0	342.75
	ACCOUNT #: LSR010627	BUY 131 Units @76.1700						
08/06/2018	***-**-0690 - SHANNON, HERBERT	THE GROWTH FUND OF AMERICA-A	10,000.02	500.00	18.00	482.00	75.0	361.50
	ACCOUNT #: LSR012807	BUY 170 Units @58.9200						
08/09/2018	***-**-58IN - LUTZ, SAMUEL	THE GROWTH FUND OF AMERICA-A	12,398.60	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR010594	SELL 223 Units @55.6800						
08/06/2018	***-**-0690 - SHANNON, HERBERT	WASHINGTON MUTUAL INVESTORS FUND-A	9,900.01	495.00	18.00	477.00	75.0	357.75
	ACCOUNT #: LSR012807	BUY 203 Units @48.8000						
08/09/2018	***-**-58IN - LUTZ, SAMUEL	WASHINGTON MUTUAL INVESTORS FUND-A	10,123.12	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR010594	SELL 220 Units @46.0900						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$78,281.73</b>	<b>\$2,005.00</b>	<b>\$144.00</b>	<b>\$1,861.00</b>		<b>\$1,382.26</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
08/06/2018	***-**-233J - SCALES, DARYL	APPLE INC UNSOLICITED ORDER	4,182.00	6.75	18.00	-11.25	100.0	-11.25
	ACCOUNT #: LSR011378	SELL 20 Units @209.1000						
08/06/2018	***-**-233J - SCALES, DARYL	APPLE INC UNSOLICITED ORDER	20,910.00	33.75	0.00	33.75	75.0	25.31
	ACCOUNT #: LSR011378	SELL 100 Units @209.1000						
08/06/2018	***-**-233J - SCALES, DARYL	APPLE INC UNSOLICITED ORDER	20,910.00	33.75	0.00	33.75	75.0	25.31
	ACCOUNT #: LSR011378	SELL 100 Units @209.1000						
08/06/2018	***-**-233J - SCALES, DARYL	APPLE INC UNSOLICITED ORDER	16,728.00	27.00	0.00	27.00	75.0	20.25
	ACCOUNT #: LSR011378	SELL 80 Units @209.1000						
08/06/2018	***-**-233J - SCALES, DARYL	APPLE INC UNSOLICITED ORDER	20,910.00	33.75	0.00	33.75	75.0	25.31
	ACCOUNT #: LSR011378	SELL 100 Units @209.1000						
08/09/2018	***-**-58IN - LUTZ, SAMUEL	BANK OF AMERICA CORP UNSOLICITED OR	6,319.00	93.33	19.00	74.33	75.0	55.75
	ACCOUNT #: LSR010594	SELL 200 Units @31.5950						
08/09/2018	***-**-58IN - LUTZ, SAMUEL	BANK OF AMERICA CORP UNSOLICITED OR	3,159.50	46.67	2.00	44.67	75.0	33.50
	ACCOUNT #: LSR010594	SELL 100 Units @31.5950						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
08/09/2018	***-**-58IN - LUTZ, SAMUEL	CISCO SYSTEMS INC	18,627.75	180.00	18.00	162.00	75.0	121.50
	ACCOUNT #: LSR010594	SELL 425 Units @43.8300						
08/07/2018	***-**-837I - LEWIS, FBO V	CONOCOPHILLIPS UNSOLICITED ORDER	10,989.00	150.00	18.00	132.00	75.0	99.00
	ACCOUNT #: LSR010565	BUY 150 Units @73.2600						
08/07/2018	***-**-27IN - LYNCH, JAIR	CONOCOPHILLIPS UNSOLICITED ORDER	6,604.29	135.00	16.80	118.20	75.0	88.65
	ACCOUNT #: LSR012262	BUY 90 Units @73.3810						
08/09/2018	***-**-58IN - LUTZ, SAMUEL	CONOCOPHILLIPS UNSOLICITED ORDER	7,177.00	140.00	17.00	123.00	75.0	92.25
	ACCOUNT #: LSR010594	SELL 100 Units @71.7700						
08/06/2018	***-**-423I - JONES, BRENDA	FACEBOOK INC COM USD0.000006 CL A	9,150.35	135.00	16.00	119.00	75.0	89.25
	ACCOUNT #: LSR010837	BUY 50 Units @183.0070						
08/06/2018	***-**-069O - SHANNON, HERBERT	FACEBOOK INC COM USD0.000006 CL A	4,960.44	100.00	15.54	84.46	75.0	63.35
	ACCOUNT #: LSR012807	BUY 27 Units @183.7200						
08/07/2018	***-**-58IN - LUTZ, SAMUEL	MARATHON PETROLEUM CORP COM USD0.01	16,198.00	150.00	19.00	131.00	75.0	98.25
	ACCOUNT #: LSR010594	BUY 200 Units @80.9900						
08/07/2018	***-**-27IN - LYNCH, JAIR	MARATHON PETROLEUM CORP COM USD0.01	8,098.00	67.50	17.00	50.50	75.0	37.88
	ACCOUNT #: LSR012262	BUY 100 Units @80.9800						
08/07/2018	***-**-27IN - LYNCH, JAIR	MARATHON PETROLEUM CORP COM USD0.01	8,096.50	67.50	2.00	65.50	75.0	49.13
	ACCOUNT #: LSR012262	BUY 100 Units @80.9650						
08/09/2018	***-**-58IN - LUTZ, SAMUEL	MARATHON PETROLEUM CORP COM USD0.01	16,196.00	165.00	19.00	146.00	75.0	109.50
	ACCOUNT #: LSR010594	SELL 200 Units @80.9800						
08/06/2018	***-**-66IR - STATES, FBO MR	MICROSOFT CORP UNSOLICITED ORDER EX	5,399.65	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000280	SELL 50 Units @107.9930						
08/06/2018	***-**-233J - SCALES, DARYL	MICROSOFT CORP UNSOLICITED ORDER EX	75,638.50	135.00	18.00	117.00	75.0	87.75
	ACCOUNT #: LSR011378	SELL 700 Units @108.0550						
08/06/2018	***-**-069O - SHANNON, HERBERT	MICROSOFT CORP UNSOLICITED ORDER EX	4,862.97	100.00	18.00	82.00	75.0	61.50
	ACCOUNT #: LSR012807	BUY 45 Units @108.0660						
08/09/2018	***-**-58IN - LUTZ, SAMUEL	MICROSOFT CORP UNSOLICITED ORDER EX	16,483.50	160.00	18.00	142.00	75.0	106.50
	ACCOUNT #: LSR010594	SELL 150 Units @109.8900						
08/06/2018	***-**-66IR - STATES, FBO MR	NEKTAR THERAPEUTICS	5,511.00	10.00	9.99	0.01	75.0	0.01
	ACCOUNT #: LSF000280	BUY 100 Units @55.1100						
08/09/2018	***-**-58IN - LUTZ, SAMUEL	NEKTAR THERAPEUTICS	2,870.25	35.00	16.00	19.00	75.0	14.25
	ACCOUNT #: LSR010594	SELL 50 Units @57.4050						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS (continued)</u></b>								
08/07/2018	***--27IN - LYNCH, JAIR	SPIRE INC COM	18,739.70	50.00	20.10	29.90	75.0	22.43
	ACCOUNT #: LSR012262	SELL 255 Units @73.4890						
08/07/2018	***--58IN - LUTZ, SAMUEL	U S CONCRETE INC COM NEW	15,244.50	150.00	21.00	129.00	75.0	96.75
	ACCOUNT #: LSR010594	SELL 300 Units @50.8150						
08/09/2018	***--58IN - LUTZ, SAMUEL	UNDER ARMOUR INC COM STK USD0.00033	6,199.50	135.00	21.00	114.00	75.0	85.50
	ACCOUNT #: LSR010594	SELL 300 Units @20.6650						
* STOCKS SUBTOTAL *			<b>\$350,165.40</b>	<b>\$2,350.00</b>	<b>\$348.42</b>	<b>\$2,001.58</b>		<b>\$1,498.39</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$428,447.13</b>	<b>\$4,355.00</b>	<b>\$492.42</b>	<b>\$3,862.58</b>		<b>\$2,880.65</b>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$2,880.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	<b>\$2,880.65</b>
Year-to-date Earnings	<b>\$56,447.75</b>