

All Companies
STATEMENT
April 20, 2018

THOMAS MCGUIRE
16 Wiltshire Dr
SUITE 620
Hattiesburg, MS 39402

BROKER #: 131
BRANCH:

COMMISSION STATEMENT for THOMAS MCGUIRE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/20/2018	#08188849698	KNIGHT, THOMAS	MFS TOTAL RETURN FUND-B	50.00	2.00	93.0	0.00	1.86	0.00	1.86
* MUTUAL FUNDS SUBTOTAL *				\$50.00	\$2.00		\$0.00	\$1.86	\$0.00	\$1.86
PRODUCT CATEGORY: STOCKS										
03/07/2018	#	CAROSELLO, JANE	ISHARES SILVER TR	3,492.77	126.81	75.0	0.00	95.11	19.95	75.16
* STOCKS SUBTOTAL *				\$3,492.77	\$126.81		\$0.00	\$95.11	\$19.95	\$75.16
PRODUCT CATEGORY: VARIABLE ANNUITIES										
02/08/0018	#000549627	SPARKS, MARRETA	PRUDETIAL TRAILS	0.00	26.33	93.0	0.00	24.49	0.00	24.49
02/08/0018	#000549627	SPARKS, MARRETA	PRUDETIAL TRAILS	0.00	26.33	93.0	0.00	24.49	0.00	24.49
03/09/0018	#2020012433	RAYBURN,	SECURITY BEENFIT SBGTL	0.00	44.70	93.0	0.00	41.57	0.00	41.57
03/09/0018	#2020016514	SPARKS, MARRETA	SECURITY BEENFIT SBGTL	0.00	55.31	93.0	0.00	51.44	0.00	51.44
03/09/0018	#2020015967	LOTT, LOUIS	SECURITY BEENFIT SBGTL	0.00	57.92	93.0	0.00	53.87	0.00	53.87
03/09/0018	#2020012509	RAYBURN,	SECURITY BEENFIT SBGTL	0.00	36.74	93.0	0.00	34.17	0.00	34.17
03/09/0018	#2020012081	RAYBURN,	SECURITY BEENFIT SBGTL	0.00	41.71	93.0	0.00	38.79	0.00	38.79
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$289.04		\$0.00	\$268.82	\$0.00	\$268.82
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/01/2018	#00085409047	DANIELS,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	20.11	93.0	0.00	18.70	0.00	18.70
03/01/2018	#00089032670	CAROSELLO, JANE	COLLEGE 2030 FUND-529A	0.00	4.54	93.0	0.00	4.22	0.00	4.22
03/01/2018	#00085409045	DANIELS,	NEW PERSPECTIVE FUND-529C	0.00	13.59	93.0	0.00	12.64	0.00	12.64
03/01/2018	#00085409045	DANIELS,	THE GROWTH FUND OF AMERICA-529C	0.00	24.92	93.0	0.00	23.18	0.00	23.18
03/01/2018	#00085409047	DANIELS,	THE GROWTH FUND OF AMERICA-529C	0.00	15.91	93.0	0.00	14.80	0.00	14.80
03/07/2018	#	CAROSELLO, JANE	DAIN TRAILER	4,398.41	0.00	93.0	0.00	0.00	0.00	0.00
03/09/2018	#	HUNT, MINNIE	DAIN TRAILER	0.00	54.79	93.0	0.00	50.95	0.00	50.95
03/09/2018	#	CAROSELLO, JANE	DAIN TRAILER	0.00	3.57	93.0	0.00	3.32	0.00	3.32
03/09/2018	#	CAROSELLO, JANE	DAIN TRAILER	0.00	2.69	93.0	0.00	2.50	0.00	2.50
03/09/2018	#	CAROSELLO, JANE	DAIN TRAILER	0.00	2.01	93.0	0.00	1.87	0.00	1.87
03/09/2018	#	CAROSELLO, JANE	DAIN TRAILER	0.00	3.38	93.0	0.00	3.14	0.00	3.14

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/09/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	21.21	93.0	0.00	19.73	0.00	19.73
03/09/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	18.05	93.0	0.00	16.79	0.00	16.79
03/09/2018	# DAUGHDRILL, MARY	DAIN TRAILER	0.00	0.88	93.0	0.00	0.82	0.00	0.82
03/09/2018	# DAUGHDRILL, MARY	DAIN TRAILER	0.00	3.33	93.0	0.00	3.10	0.00	3.10
03/09/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	2.90	93.0	0.00	2.70	0.00	2.70
03/09/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	2.20	93.0	0.00	2.05	0.00	2.05
03/09/2018	# YAWN, FAITH	DAIN TRAILER	0.00	4.57	93.0	0.00	4.25	0.00	4.25
03/09/2018	# YAWN, FAITH	DAIN TRAILER	0.00	4.30	93.0	0.00	4.00	0.00	4.00
03/09/2018	# YAWN, FAITH	DAIN TRAILER	0.00	4.95	93.0	0.00	4.60	0.00	4.60
03/09/2018	# YAWN, FAITH	DAIN TRAILER	0.00	3.87	93.0	0.00	3.60	0.00	3.60
03/09/2018	# YAWN, FAITH	DAIN TRAILER	0.00	9.23	93.0	0.00	8.58	0.00	8.58
03/09/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	12.15	93.0	0.00	11.30	0.00	11.30
03/09/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	61.37	93.0	0.00	57.07	0.00	57.07
03/09/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	109.06	93.0	0.00	101.43	0.00	101.43
03/09/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	72.51	93.0	0.00	67.43	0.00	67.43
03/09/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	14.38	93.0	0.00	13.37	0.00	13.37
03/09/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	0.99	93.0	0.00	0.92	0.00	0.92
03/09/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	25.83	93.0	0.00	24.02	0.00	24.02
03/09/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	31.11	93.0	0.00	28.93	0.00	28.93
03/09/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	6.12	93.0	0.00	5.69	0.00	5.69
03/09/2018	# MOSS, WILLIAM	DAIN TRAILER	0.00	2.34	93.0	0.00	2.18	0.00	2.18
03/09/2018	# MOSS, WILLIAM	DAIN TRAILER	0.00	4.94	93.0	0.00	4.59	0.00	4.59
03/09/2018	# MOSS, CARLA	DAIN TRAILER	0.00	39.96	93.0	0.00	37.16	0.00	37.16
03/09/2018	# MOSS, CARLA	DAIN TRAILER	0.00	5.25	93.0	0.00	4.88	0.00	4.88
03/09/2018	# MOSS, CARLA	DAIN TRAILER	0.00	57.04	93.0	0.00	53.05	0.00	53.05
03/09/2018	# MOSS, CARLA	DAIN TRAILER	0.00	40.90	93.0	0.00	38.04	0.00	38.04
03/09/2018	# MOSS, CARLA	DAIN TRAILER	0.00	20.12	93.0	0.00	18.71	0.00	18.71
03/09/2018	# MOSS, CARLA	DAIN TRAILER	0.00	12.22	93.0	0.00	11.36	0.00	11.36
03/09/2018	# MOSS, CARLA	DAIN TRAILER	0.00	26.53	93.0	0.00	24.67	0.00	24.67
03/09/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	3.00	93.0	0.00	2.79	0.00	2.79
03/09/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	2.29	93.0	0.00	2.13	0.00	2.13
03/09/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	1.99	93.0	0.00	1.85	0.00	1.85
03/09/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	1.91	93.0	0.00	1.78	0.00	1.78
03/09/2018	# LYNN, JOHN	DAIN TRAILER	0.00	0.57	93.0	0.00	0.53	0.00	0.53
03/09/2018	# LYNN, JOHN	DAIN TRAILER	0.00	1.23	93.0	0.00	1.14	0.00	1.14
03/09/2018	# LYNN, JOHN	DAIN TRAILER	0.00	1.77	93.0	0.00	1.65	0.00	1.65

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PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
03/09/2018	# LYNN, JOHN	DAIN TRAILER	0.00	2.53	93.0	0.00	2.35	0.00	2.35
03/09/2018	# LYNN, JOHN	DAIN TRAILER	0.00	0.62	93.0	0.00	0.58	0.00	0.58
03/09/2018	# MCGEHEE, DONNA	DAIN TRAILER	0.00	4.68	93.0	0.00	4.35	0.00	4.35
03/09/2018	# MCGEHEE, DONNA	DAIN TRAILER	0.00	13.87	93.0	0.00	12.90	0.00	12.90
03/09/2018	# MCGEHEE, DONNA	DAIN TRAILER	0.00	29.76	93.0	0.00	27.68	0.00	27.68
03/29/2018	# DAUGHDRILL, MARY	DAIN TRAILER	0.00	0.91	93.0	0.00	0.85	0.00	0.85
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$4,398.41	\$828.95		\$0.00	\$770.92	\$0.00	\$770.92
*** BROKER TRANSACTIONS TOTAL ***			\$7,941.18	\$1,246.80		\$0.00	\$1,136.71	\$19.95	\$1,116.76

ADJUSTMENTS

04/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
*** ADJUSTMENTS TOTAL ***		\$-784.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,116.76
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-784.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$332.76
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