



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**January 15, 2018**

01/12/2018  
 Page No. 1

A132 - HERB/FRED ALSTON/CHASALOW

BROKER #: A132  
 BRANCH: HERBERT ALSTON

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
^12/28/2017	PALMER, AUDREY	VEREIT INC COM	-3,066.00	22.00	22.60	-0.60	100.0	0.00
* STOCKS SUBTOTAL *			\$-3,066.00	\$22.00	\$22.60	\$-0.60		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-3,066.00	\$22.00	\$22.60	\$-0.60		\$0.00

<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^01/09/2018	CHASALOW, VARIOUS	ALLIANZ FUNDS	0.00	10,847.94	0.00	10,847.94	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$10,847.94	\$0.00	\$10,847.94		\$0.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
^12/29/2017	CHASALOW, FRED	ALLIANZ ALTERITY OPTIONS	101,286.69	253.22	0.00	253.22	100.0	0.00
^12/29/2017	CHASALOW, SANDRA	ALLIANZ ALTERITY OPTIONS	228,282.93	570.71	0.00	570.71	100.0	0.00
^12/29/2017	MCKEE, MARY	ALLIANZ ALTERITY OPTIONS	271,846.71	679.62	0.00	679.62	100.0	0.00
^12/29/2017	CHASALOW, SANDRA	ALLIANZ ALTERITY OPTIONS	228,282.93	570.71	0.00	570.71	100.0	0.00
^12/29/2017	CHASALOW, SANDRA	ALLIANZ HIGH FIVE ENHANCED	100,347.63	125.43	0.00	125.43	100.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$930,046.89	\$2,199.69	\$0.00	\$2,199.69		\$0.00

<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^12/11/2017	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	7.62	0.00	7.62	100.0	0.00
^12/11/2017	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	1.13	0.00	1.13	100.0	0.00
^12/11/2017	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	5.03	0.00	5.03	100.0	0.00
^12/21/2017	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.82	0.00	13.82	100.0	0.00
^12/21/2017	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.85	0.00	6.85	100.0	0.00
^12/21/2017	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.85	0.00	6.85	100.0	0.00
^12/21/2017	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.88	0.00	3.88	100.0	0.00
^12/21/2017	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.51	0.00	5.51	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^12/21/2017	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.84	0.00	1.84	100.0	0.00
^12/21/2017	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.77	0.00	2.77	100.0	0.00
^12/21/2017	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.88	0.00	3.88	100.0	0.00
^12/21/2017	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	1.18	0.00	1.18	100.0	0.00
^12/15/2017	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	2.74	0.00	2.74	100.0	0.00
^12/15/2017	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	10.79	0.00	10.79	100.0	0.00
^12/21/2017	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	2.04	0.00	2.04	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$75.93	\$0.00	\$75.93		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$930,046.89	\$13,123.56	\$0.00	\$13,123.56		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed