

**COMMISSION STATEMENT****June 30, 2018**JOEL, W143 WOODS  
1412 Blue Quail RunBROKER #: W143  
BRANCH: WOODS FINANCIAL

Crowley, TX 76036--

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
06/01/2018	TOMLINSON, HAROLD	BLUEROCK TOTAL INC & RE FUND	500.00	5.00	0.00	5.00	92.0	4.60
05/31/2018	MCELROY, MARGARET	GRIFFIN INST'L ACCESS R E FUND	5,223.04	1.11	0.00	1.11	92.0	1.02
* INTERVAL FUNDS SUBTOTAL *			<b>\$5,723.04</b>	<b>\$6.11</b>	<b>\$0.00</b>	<b>\$6.11</b>		<b>\$5.62</b>
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
06/05/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	750.00	7.50	0.00	7.50	90.0	6.75
06/05/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	300.00	3.00	0.00	3.00	90.0	2.70
06/15/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
06/15/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
06/15/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
06/15/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
06/11/2018	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	90.0	1.92
06/15/2018	GRAVES, BENJAMIN	COLLEGE 2033 FUND-529A	10.00	0.35	0.00	0.35	90.0	0.32
* 529 PLANS SUBTOTAL *			<b>\$1,360.00</b>	<b>\$23.48</b>	<b>\$0.00</b>	<b>\$23.48</b>		<b>\$21.14</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/15/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	90.0	2.25
06/15/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	90.0	2.25
* MUTUAL FUNDS SUBTOTAL *			<b>\$100.00</b>	<b>\$5.00</b>	<b>\$0.00</b>	<b>\$5.00</b>		<b>\$4.50</b>
*** DIRECT TRANSACTIONS TOTAL ***			<b>\$7,183.04</b>	<b>\$34.59</b>	<b>\$0.00</b>	<b>\$34.59</b>		<b>\$31.26</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARK-S141 REG SMITH #367 </u></b>								
06/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.05
06/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	48.0	1.05
06/15/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	48.0	1.05
06/15/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	48.0	1.05
06/15/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.05
06/15/2018	VOORHEES, CLIFFORD	AM FUNDS GROWTH PORT A	50.00	1.88	0.00	1.88	48.0	0.79
06/05/2018	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	300.00	3.00	0.00	3.00	48.0	1.26
06/08/2018	DODD, AIMEE	INVESCO CORPORATE BOND A	2,000.00	80.00	0.00	80.00	48.0	33.60
06/08/2018	DODD, AIMEE	INVESCO TECHNOLOGY A	3,000.00	150.00	0.00	150.00	48.0	63.00
* MARK-S141 REG SMITH SUBTOTAL *			\$5,600.00	\$247.38	\$0.00	\$247.38		\$103.90
<b><u> BROKER: MARK-S142 ALTS SMITH #368 </u></b>								
06/13/2018	WITKOWSKI, CHET	COTTONWOOD MULTIFAMILY REIT II	6,900.00	414.00	0.00	414.00	49.0	178.02
* MARK-S142 ALTS SMITH SUBTOTAL *			\$6,900.00	\$414.00	\$0.00	\$414.00		\$178.02
*** OVERRIDE TRANSACTIONS TOTAL ***			\$12,500.00	\$661.38	\$0.00	\$661.38		\$281.92

 **BROKER COMMISSION TOTALS** 

Broker Transactions	\$31.26
Split Transactions	\$0.00
Override Transactions	\$281.92
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$313.18
Year-to-date Gross	\$120,164.33
Year-to-date Earnings	\$144,012.04

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