



# COMMISSION STATEMENT

## July 16, 2018

JOHN BUSEY  
12705 Century Dr Suite A  
Suite A  
Alpharetta, GA 30009

BROKER #: B13  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/02/2018	***-**-0357 - LE BAR, ADAM ACCOUNT #: 00777141446	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 3 Units @20.2500	56.38	1.27	0.00	1.27	83.0	1.05
07/02/2018	***-**-0357 - LE BAR, ADAM ACCOUNT #: 00777141446	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 3 Units @20.2500	56.38	1.27	0.00	1.27	83.0	1.05
07/02/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @20.2500	18.54	0.42	0.00	0.42	83.0	0.35
07/02/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @20.2500	18.54	0.42	0.00	0.42	83.0	0.35
07/02/2018	***-**-7046 - LE BAR, CHARLES ACCOUNT #: 05064446577	AIM GLOBAL HEALTH CARE FUND CLASS A BUY 4 Units @37.7600	166.65	3.75	0.00	3.75	83.0	3.11
07/02/2018	***-**-7046 - LE BAR, CHARLES ACCOUNT #: 05064446577	AIM GLOBAL HEALTH CARE FUND CLASS A BUY 1 Units @37.7600	50.00	1.13	0.00	1.13	83.0	0.94
07/02/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM HIGH YIELD FUND - CLASS A BUY 7 Units @4.1400	29.88	0.67	0.00	0.67	83.0	0.56
07/02/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM HIGH YIELD FUND - CLASS A BUY 4 Units @4.1400	17.93	0.40	0.00	0.40	83.0	0.33
07/02/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM HIGH YIELD FUND - CLASS A BUY 4 Units @4.1400	18.54	0.42	0.00	0.42	83.0	0.35
07/02/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM HIGH YIELD FUND - CLASS A BUY 4 Units @4.1400	18.54	0.42	0.00	0.42	83.0	0.35
07/02/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM MID-CAP EQUITY FUND CLASS A BUY 3 Units @21.4000	59.76	1.34	0.00	1.34	83.0	1.11
07/02/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM MID-CAP EQUITY FUND CLASS A BUY 2 Units @21.4000	35.85	0.81	0.00	0.81	83.0	0.67
07/02/2018	***-**-7046 - LE BAR, CHARLES ACCOUNT #: 05064446577	AIM MID-CAP EQUITY FUND CLASS A BUY 8 Units @21.4000	166.70	3.75	0.00	3.75	83.0	3.11
07/02/2018	***-**-7046 - LE BAR, CHARLES ACCOUNT #: 05064446577	AIM MID-CAP EQUITY FUND CLASS A BUY 2 Units @21.4000	50.01	1.13	0.00	1.13	83.0	0.94
07/02/2018	***-**-3831 - WOODALL, LISE ACCOUNT #: 05064446619	AIM MID-CAP EQUITY FUND CLASS A BUY 1 Units @21.4000	19.43	0.44	0.00	0.44	83.0	0.37
07/02/2018	***-**-3831 - WOODALL, LISE ACCOUNT #: 05064446619	AIM MID-CAP EQUITY FUND CLASS A BUY 1 Units @21.4000	19.43	0.44	0.00	0.44	83.0	0.37



# COMMISSION STATEMENT

## July 16, 2018

JOHN BUSEY  
12705 Century Dr Suite A  
Suite A  
Alpharetta, GA 30009

BROKER #: B13  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/02/2018	***-**-6448 - HAYES, SHEILA	AIM REAL ESTATE FUND - CLASS A	18.54	0.42	0.00	0.42	83.0	0.35
	ACCOUNT #: 00777182102	BUY 1 Units @21.7800						
07/02/2018	***-**-6448 - HAYES, SHEILA	AIM REAL ESTATE FUND - CLASS A	18.54	0.42	0.00	0.42	83.0	0.35
	ACCOUNT #: 00777182102	BUY 1 Units @21.7800						
07/02/2018	***-**-6448 - HAYES, SHEILA	AIM TECHNOLOGY FUND - CLASS A	18.54	0.42	0.00	0.42	83.0	0.35
	ACCOUNT #: 00777182102	BUY 0 Units @51.7100						
07/02/2018	***-**-6448 - HAYES, SHEILA	AIM TECHNOLOGY FUND - CLASS A	18.54	0.42	0.00	0.42	83.0	0.35
	ACCOUNT #: 00777182102	BUY 0 Units @51.7100						
07/02/2018	***-**-0357 - LE BAR, ADAM	INVESCO BALANCED - RISK ALLOCATION	56.37	1.27	0.00	1.27	83.0	1.05
	ACCOUNT #: 00777141446	BUY 5 Units @10.9800						
07/02/2018	***-**-0357 - LE BAR, ADAM	INVESCO BALANCED - RISK ALLOCATION	56.37	1.27	0.00	1.27	83.0	1.05
	ACCOUNT #: 00777141446	BUY 5 Units @10.9800						
07/02/2018	***-**-0655 - ARNOLD, JOHN	INVESCO BALANCED-RISK RTRMNT 2040 -	75.00	1.69	0.00	1.69	83.0	1.40
	ACCOUNT #: 00777180430	BUY 9 Units @8.0200						
07/02/2018	***-**-0655 - ARNOLD, JOHN	INVESCO BALANCED-RISK RTRMNT 2040 -	70.91	1.60	0.00	1.60	83.0	1.33
	ACCOUNT #: 00777180430	BUY 9 Units @8.0200						
07/02/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2040 -	33.60	0.34	0.00	0.34	83.0	0.28
	ACCOUNT #: 00777180437	BUY 4 Units @7.6800						
07/02/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2040 -	33.60	0.34	0.00	0.34	83.0	0.28
	ACCOUNT #: 00777180437	BUY 4 Units @7.6800						
07/02/2018	***-**-9092 - REYNOLDS,	INVESCO BALANCED-RISK RTRMNT 2050 -	100.00	2.25	0.00	2.25	83.0	1.87
	ACCOUNT #: 00777168436	BUY 13 Units @7.8600						
07/02/2018	***-**-9092 - REYNOLDS,	INVESCO BALANCED-RISK RTRMNT 2050 -	84.46	1.90	0.00	1.90	83.0	1.58
	ACCOUNT #: 00777168436	BUY 11 Units @7.8600						
07/02/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2050 -	26.40	0.26	0.00	0.26	83.0	0.22
	ACCOUNT #: 00777180437	BUY 4 Units @7.5100						
07/02/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2050 -	26.40	0.26	0.00	0.26	83.0	0.22
	ACCOUNT #: 00777180437	BUY 4 Units @7.5100						
07/02/2018	***-**-0655 - ARNOLD, JOHN	INVESCO CONVERTIBLE SECURITIES FUND	25.00	0.56	0.00	0.56	83.0	0.46
	ACCOUNT #: 00777180430	BUY 1 Units @26.1100						
07/02/2018	***-**-0655 - ARNOLD, JOHN	INVESCO CONVERTIBLE SECURITIES FUND	23.64	0.53	0.00	0.53	83.0	0.44
	ACCOUNT #: 00777180430	BUY 1 Units @26.1100						



# COMMISSION STATEMENT

## July 16, 2018

JOHN BUSEY  
12705 Century Dr Suite A  
Suite A  
Alpharetta, GA 30009

BROKER #: B13  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/02/2018	***-**-9583 - STARCHER, MARTIN	INVESCO VAN KAMPEN VALUE OPP CLASS	59.75	1.34	0.00	1.34	83.0	1.11
	ACCOUNT #: 00777018377	BUY 4 Units @14.6200						
07/02/2018	***-**-9583 - STARCHER, MARTIN	INVESCO VAN KAMPEN VALUE OPP CLASS	35.85	0.81	0.00	0.81	83.0	0.67
	ACCOUNT #: 00777018377	BUY 2 Units @14.6200						
07/02/2018	***-**-7046 - LE BAR, CHARLES	INVESCO VAN KAMPEN VALUE OPP CLASS	166.65	3.75	0.00	3.75	83.0	3.11
	ACCOUNT #: 05064446577	BUY 11 Units @14.6200						
07/02/2018	***-**-7046 - LE BAR, CHARLES	INVESCO VAN KAMPEN VALUE OPP CLASS	49.99	1.12	0.00	1.12	83.0	0.93
	ACCOUNT #: 05064446577	BUY 3 Units @14.6200						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,800.71</b>	<b>\$39.05</b>	<b>\$0.00</b>	<b>\$39.05</b>		<b>\$32.41</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
07/01/2018	***-**-0357 - LE BAR, ADAM	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	7.13	0.00	7.13	83.0	5.92
	ACCOUNT #: 00777141446	BUY 0 Units @0.0000						
07/01/2018	***-**-6448 - HAYES, SHEILA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.40	0.00	0.40	83.0	0.33
	ACCOUNT #: 00777182102	BUY 0 Units @0.0000						
07/01/2018	***-**-7046 - LE BAR, CHARLES	AIM GLOBAL HEALTH CARE FUND CLASS A	0.00	32.91	0.00	32.91	83.0	27.32
	ACCOUNT #: 05064446577	BUY 0 Units @0.0000						
07/01/2018	***-**-3831 - WOODALL, LISE	AIM GLOBAL HEALTH CARE FUND CLASS A	0.00	0.41	0.00	0.41	83.0	0.34
	ACCOUNT #: 05064446619	BUY 0 Units @0.0000						
07/01/2018	***-**-9583 - STARCHER, MARTIN	AIM HIGH YIELD FUND - CLASS A	0.00	8.22	0.00	8.22	83.0	6.82
	ACCOUNT #: 00777018377	BUY 0 Units @0.0000						
07/01/2018	***-**-6448 - HAYES, SHEILA	AIM HIGH YIELD FUND - CLASS A	0.00	0.40	0.00	0.40	83.0	0.33
	ACCOUNT #: 00777182102	BUY 0 Units @0.0000						
07/01/2018	***-**-9583 - STARCHER, MARTIN	AIM MID-CAP EQUITY FUND CLASS A	0.00	17.69	0.00	17.69	83.0	14.68
	ACCOUNT #: 00777018377	BUY 0 Units @0.0000						
07/01/2018	***-**-7046 - LE BAR, CHARLES	AIM MID-CAP EQUITY FUND CLASS A	0.00	29.05	0.00	29.05	83.0	24.11
	ACCOUNT #: 05064446577	BUY 0 Units @0.0000						
07/01/2018	***-**-3831 - WOODALL, LISE	AIM MID-CAP EQUITY FUND CLASS A	0.00	1.81	0.00	1.81	83.0	1.50
	ACCOUNT #: 05064446619	BUY 0 Units @0.0000						
07/01/2018	***-**-6448 - HAYES, SHEILA	AIM REAL ESTATE FUND - CLASS A	0.00	0.39	0.00	0.39	83.0	0.32
	ACCOUNT #: 00777182102	BUY 0 Units @0.0000						
07/01/2018	***-**-6448 - HAYES, SHEILA	AIM TECHNOLOGY FUND - CLASS A	0.00	0.42	0.00	0.42	83.0	0.35
	ACCOUNT #: 00777182102	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## July 16, 2018

JOHN BUSEY  
12705 Century Dr Suite A  
Suite A  
Alpharetta, GA 30009

BROKER #: B13  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/09/2018	***-**-58IN - PRICE, ROSALYNNE	FIDELITY ADVISOR EQUITY INCOME CL T	0.00	4.23	0.00	4.23	83.0	3.51
	ACCOUNT #: 00656045945	BUY 0 Units @0.0000						
07/01/2018	***-**-0357 - LE BAR, ADAM	INVESCO BALANCED - RISK ALLOCATION	0.00	6.13	0.00	6.13	83.0	5.09
	ACCOUNT #: 00777141446	BUY 0 Units @0.0000						
07/01/2018	***-**-0655 - ARNOLD, JOHN	INVESCO BALANCED-RISK RTRMNT 2040 -	0.00	1.09	0.00	1.09	83.0	0.90
	ACCOUNT #: 00777180430	BUY 0 Units @0.0000						
07/01/2018	***-**-9092 - REYNOLDS,	INVESCO BALANCED-RISK RTRMNT 2050 -	0.00	3.17	0.00	3.17	83.0	2.63
	ACCOUNT #: 00777168436	BUY 0 Units @0.0000						
07/01/2018	***-**-0655 - ARNOLD, JOHN	INVESCO CONVERTIBLE SECURITIES FUND	0.00	0.36	0.00	0.36	83.0	0.30
	ACCOUNT #: 00777180430	BUY 0 Units @0.0000						
07/01/2018	***-**-11TR - THE VALLEY TRUST	INVESCO VAN KAMPEN COMSTOCK CLASS A	0.00	16.30	0.00	16.30	83.0	13.53
	ACCOUNT #: 01001305237	BUY 0 Units @0.0000						
07/01/2018	***-**-11TR - THE VALLEY TRUST	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	23.48	0.00	23.48	83.0	19.49
	ACCOUNT #: 01001305237	BUY 0 Units @0.0000						
07/01/2018	***-**-9583 - STARCHER, MARTIN	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	17.97	0.00	17.97	83.0	14.92
	ACCOUNT #: 00777018377	BUY 0 Units @0.0000						
07/01/2018	***-**-7046 - LE BAR, CHARLES	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	30.30	0.00	30.30	83.0	25.15
	ACCOUNT #: 05064446577	BUY 0 Units @0.0000						
07/01/2018	***-**-3831 - WOODALL, LISE	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	1.10	0.00	1.10	83.0	0.91
	ACCOUNT #: 05064446619	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$202.96</b>	<b>\$0.00</b>	<b>\$202.96</b>		<b>\$168.45</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,800.71</b>	<b>\$242.01</b>	<b>\$0.00</b>	<b>\$242.01</b>		<b>\$200.86</b>



**BROKER #:** B13  
**BRANCH #**01001 - LifeMark Home Office

				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
<div>BROKER COMMISSION TOTALS</div>							
						Broker Transactions	\$200.86
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
Net Commission Earned							\$200.86
Year-to-date Earnings							\$22,725.93