

## COMMISSION STATEMENT

April 30, 2018

VAN DREGGE OLMSTEAD  
10 RICHARDS DRIVE

BROKER #: A01 V01  
BRANCH: FULCRUM GROUP

WILMINGTON, DE 19810

## COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

04/12/2018 (None)	VARIOUS, OLMSTEAD	JOHN HANCOCK VARIOUS	B	0.00	316.84	0.00	316.84	70.0	221.79
04/24/2018 75501474	PETRONIS, GEORGE	**VIRTUS MULTI SECTOR SHORT	S	40,883.25	0.00	0.00	0.00	70.0	0.00
04/12/2018 75502039	SMITH, DAYNE	**VIRTUS MULTI ASSET TREND	S	2,628.00	0.00	0.00	0.00	70.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$43,511.25	\$316.84	\$0.00	\$316.84		\$221.79

PRODUCT CATEGORY: STOCKS

04/02/2018 75501461	OLMSTEAD, ELIZABETH	ALNYLAM PHARMACEUTICALS INC	S	50,081.80	525.00	0.00	525.00	70.0	367.50
04/26/2018 75501475	PETRONIS, GEORGE	AT&T INC	B	3,347.00	86.67	0.00	86.67	70.0	60.67
04/10/2018 75501733	OLMSTEAD, CHRISTYN	FIDELITY COVINGTON TR MSCI MATERIAL S		29,230.21	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501733	OLMSTEAD, CHRISTYN	FIDELITY COVINGTON TR MSCI INFORMAT B		15,114.51	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI FINANCIALS INDEX ETF B		14,911.23	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI HEALTH CARE INDEX ETF S		29,225.64	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI CONSUMER DISCRETIONAR B		14,443.76	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI INDUSTRIALS INDEX ETF B		14,919.22	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501772	OLMSTEAD, ELIZABETH	FIDELITY COVINGTON TR MSCI MATERIAL S		9,451.89	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501772	OLMSTEAD, ELIZABETH	FIDELITY COVINGTON TR MSCI INFORMAT B		4,882.35	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI FINANCIALS INDEX ETF B		4,821.84	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI HEALTH CARE INDEX ETF S		9,435.36	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI CONSUMER DISCRETIONAR B		4,628.39	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI INDUSTRIALS INDEX ETF B		4,810.23	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501774	SMITH, GLENN	FIDELITY COVINGTON TR MSCI MATERIAL S		38,783.01	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501774	SMITH, GLENN	FIDELITY COVINGTON TR MSCI INFORMAT B		19,996.86	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501774	SMITH, GLENN	FIDELITY MSCI FINANCIALS INDEX ETF B		19,773.58	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501774	SMITH, GLENN	FIDELITY MSCI HEALTH CARE INDEX ETF S		38,740.97	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501774	SMITH, GLENN	FIDELITY MSCI CONSUMER DISCRETIONAR B		19,151.95	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501774	SMITH, GLENN	FIDELITY MSCI INDUSTRIALS INDEX ETF B		19,804.61	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501780	OLMSTEAD, VAN	FIDELITY COVINGTON TR MSCI MATERIAL S		20,114.69	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501780	OLMSTEAD, VAN	FIDELITY COVINGTON TR MSCI INFORMAT B		10,336.04	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501780	OLMSTEAD, VAN	FIDELITY MSCI FINANCIALS INDEX ETF B		10,251.47	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501780	OLMSTEAD, VAN	FIDELITY MSCI HEALTH CARE INDEX ETF S		20,110.12	0.00	0.00	0.00	70.0	0.00
04/10/2018 75501780	OLMSTEAD, VAN	FIDELITY MSCI CONSUMER DISCRETIONAR B		9,935.08	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
04/10/2018	75501780	OLMSTEAD, VAN	FIDELITY MSCI INDUSTRIALS INDEX ETF B	10,221.73	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501782	HALLAM, DONALD	FIDELITY COVINGTON TR MSCI MATERIAL S	13,791.01	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501782	HALLAM, DONALD	FIDELITY COVINGTON TR MSCI INFORMAT B	7,115.77	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501782	HALLAM, DONALD	FIDELITY MSCI FINANCIALS INDEX ETF B	7,009.90	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501782	HALLAM, DONALD	FIDELITY MSCI HEALTH CARE INDEX ETF S	13,793.22	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501782	HALLAM, DONALD	FIDELITY MSCI INDUSTRIALS INDEX ETF B	7,027.44	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501782	HALLAM, DONALD	FIDELITY MSCI CONSUMER DISCRETIONAR B	6,822.88	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501784	HALLAM, DONALD	FIDELITY COVINGTON TR MSCI MATERIAL S	57,081.32	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501784	HALLAM, DONALD	FIDELITY COVINGTON TR MSCI INFORMAT B	29,397.98	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501784	HALLAM, DONALD	FIDELITY MSCI FINANCIALS INDEX ETF B	29,093.10	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501784	HALLAM, DONALD	FIDELITY MSCI HEALTH CARE INDEX ETF S	57,051.97	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501784	HALLAM, DONALD	FIDELITY MSCI INDUSTRIALS INDEX ETF B	29,124.42	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501784	HALLAM, DONALD	FIDELITY MSCI CONSUMER DISCRETIONAR B	28,209.23	0.00	0.00	0.00	70.0	0.00
04/11/2018	75501787	PETRONIS, GEORGE	AIRBORNE WIRELESS NETWORK COM B	3,000.00	150.00	0.00	150.00	70.0	105.00
04/11/2018	75501787	PETRONIS, GEORGE	AIRBORNE WIRELESS NETWORK COM S	-3,000.00	-150.00	0.00	-150.00	70.0	-105.00 C
04/11/2018	75501787	PETRONIS, GEORGE	AIRBORNE WIRELESS NETWORK COM B	3,000.00	140.00	0.00	140.00	70.0	98.00
04/02/2018	75501807	WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI MATERIAL S	61,075.84	0.00	0.00	0.00	70.0	0.00
04/02/2018	75501807	WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI INFORMAT B	24,227.57	0.00	0.00	0.00	70.0	0.00
04/02/2018	75501807	WRIGHT, PAMELA	FIDELITY MSCI FINANCIALS INDEX ETF B	24,266.52	0.00	0.00	0.00	70.0	0.00
04/02/2018	75501807	WRIGHT, PAMELA	FIDELITY MSCI HEALTH CARE INDEX ETF S	62,003.86	0.00	0.00	0.00	70.0	0.00
04/02/2018	75501807	WRIGHT, PAMELA	FIDELITY MSCI INDUSTRIALS INDEX ETF B	23,596.94	0.00	0.00	0.00	70.0	0.00
04/02/2018	75501807	WRIGHT, PAMELA	FIDELITY MSCI CONSUMER DISCRETIONAR B	23,461.10	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501820	KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI MATERIAL S	40,397.57	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501820	KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI INFORMAT B	20,827.90	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501820	KLAHN, ROBERT	FIDELITY MSCI FINANCIALS INDEX ETF B	20,624.49	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501820	KLAHN, ROBERT	FIDELITY MSCI HEALTH CARE INDEX ETF S	40,380.16	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501820	KLAHN, ROBERT	FIDELITY MSCI INDUSTRIALS INDEX ETF B	20,631.37	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501820	KLAHN, ROBERT	FIDELITY MSCI CONSUMER DISCRETIONAR B	19,949.95	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501857	REMINGTON, THOMAS	FIDELITY COVINGTON TR MSCI MATERIAL S	11,200.99	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501857	REMINGTON, THOMAS	FIDELITY COVINGTON TR MSCI INFORMAT B	5,765.33	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501857	REMINGTON, THOMAS	FIDELITY MSCI FINANCIALS INDEX ETF B	5,713.27	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501857	REMINGTON, THOMAS	FIDELITY MSCI HEALTH CARE INDEX ETF S	11,194.50	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501857	REMINGTON, THOMAS	FIDELITY MSCI INDUSTRIALS INDEX ETF B	5,712.14	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501857	REMINGTON, THOMAS	FIDELITY MSCI CONSUMER DISCRETIONAR B	5,546.09	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501859	LOVE, MARGARET	FIDELITY COVINGTON TR MSCI MATERIAL S	20,989.24	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501859	LOVE, MARGARET	FIDELITY COVINGTON TR MSCI INFORMAT B	10,803.50	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501859	LOVE, MARGARET	FIDELITY MSCI FINANCIALS INDEX ETF B	10,697.18	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501859	LOVE, MARGARET	FIDELITY MSCI HEALTH CARE INDEX ETF S	20,989.69	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501859	LOVE, MARGARET	FIDELITY MSCI INDUSTRIALS INDEX ETF B	10,710.27	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501859	LOVE, MARGARET	FIDELITY MSCI CONSUMER DISCRETIONAR B	10,373.97	0.00	0.00	0.00	70.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/10/2018	75501860	LOVE, MARGARET	FIDELITY COVINGTON TR MSCI MATERIAL S	44,198.50	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501860	LOVE, MARGARET	FIDELITY COVINGTON TR MSCI INFORMAT B	22,801.62	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501860	LOVE, MARGARET	FIDELITY MSCI FINANCIALS INDEX ETF B	22,569.44	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501860	LOVE, MARGARET	FIDELITY MSCI HEALTH CARE INDEX ETF S	44,178.30	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501860	LOVE, MARGARET	FIDELITY MSCI INDUSTRIALS INDEX ETF B	22,585.52	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501860	LOVE, MARGARET	FIDELITY MSCI CONSUMER DISCRETIONAR B	21,825.25	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501866	WELAISH, JEFFREY	FIDELITY COVINGTON TR MSCI MATERIAL S	61,891.36	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501866	WELAISH, JEFFREY	FIDELITY COVINGTON TR MSCI INFORMAT B	31,891.10	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501866	WELAISH, JEFFREY	FIDELITY MSCI FINANCIALS INDEX ETF B	31,564.80	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501866	WELAISH, JEFFREY	FIDELITY MSCI HEALTH CARE INDEX ETF S	61,849.61	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501866	WELAISH, JEFFREY	FIDELITY MSCI INDUSTRIALS INDEX ETF B	31,604.70	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501866	WELAISH, JEFFREY	FIDELITY MSCI CONSUMER DISCRETIONAR B	30,603.22	0.00	0.00	0.00	70.0	0.00
03/29/2018	75501883	MARTIN, KATHY	SPDR INDEX SHS FDS S&P EMERGING MAR S	354.15	0.00	0.00	0.00	70.0	0.00
03/29/2018	75501883	MARTIN, KATHY	SPDR INDEX SHS FDS S&P WORLD EX-US S	94.49	0.00	0.00	0.00	70.0	0.00
03/29/2018	75501883	MARTIN, KATHY	SPDR INDEX SHS FDS DOW JONES GLOBAL B	231.83	0.00	0.00	0.00	70.0	0.00
03/29/2018	75501883	MARTIN, KATHY	SPDR RUSSELL 1000 ETF B	93.21	0.00	0.00	0.00	70.0	0.00
03/29/2018	75501883	MARTIN, KATHY	SPDR RUSSELL 2000 ETF S	30.09	0.00	0.00	0.00	70.0	0.00
03/29/2018	75501883	MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG BA B	112.64	0.00	0.00	0.00	70.0	0.00
03/29/2018	75501883	MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG BA B	70.36	0.00	0.00	0.00	70.0	0.00
03/29/2018	75501883	MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG BA S	54.77	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501885	MARTIN, KATHY	FIDELITY COVINGTON TR MSCI MATERIAL S	31,315.68	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501885	MARTIN, KATHY	FIDELITY COVINGTON TR MSCI INFORMAT B	16,153.31	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501885	MARTIN, KATHY	FIDELITY MSCI FINANCIALS INDEX ETF B	15,964.74	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501885	MARTIN, KATHY	FIDELITY MSCI HEALTH CARE INDEX ETF S	31,304.62	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501885	MARTIN, KATHY	FIDELITY MSCI INDUSTRIALS INDEX ETF B	15,971.46	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501885	MARTIN, KATHY	FIDELITY MSCI CONSUMER DISCRETIONAR B	15,441.26	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501889	KAYLOR, KEVIN	FIDELITY COVINGTON TR MSCI MATERIAL S	23,108.35	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501889	KAYLOR, KEVIN	FIDELITY COVINGTON TR MSCI INFORMAT B	11,894.24	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501889	KAYLOR, KEVIN	FIDELITY MSCI FINANCIALS INDEX ETF B	11,750.69	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501889	KAYLOR, KEVIN	FIDELITY MSCI HEALTH CARE INDEX ETF S	23,108.65	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501889	KAYLOR, KEVIN	FIDELITY MSCI INDUSTRIALS INDEX ETF B	11,800.09	0.00	0.00	0.00	70.0	0.00
04/10/2018	75501889	KAYLOR, KEVIN	FIDELITY MSCI CONSUMER DISCRETIONAR B	11,411.37	0.00	0.00	0.00	70.0	0.00
04/25/2018	75501925	HOWELL, WILLIAM	VANGUARD TOTAL BOND MARKET ETF S	393.81	0.00	0.00	0.00	70.0	0.00
04/10/2018	75502088	KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI MATERIAL S	45,308.51	0.00	0.00	0.00	70.0	0.00
04/10/2018	75502088	KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI INFORMAT B	23,372.96	0.00	0.00	0.00	70.0	0.00
04/10/2018	75502088	KLAHN, ROBERT	FIDELITY MSCI FINANCIALS INDEX ETF B	23,136.71	0.00	0.00	0.00	70.0	0.00
04/10/2018	75502088	KLAHN, ROBERT	FIDELITY MSCI HEALTH CARE INDEX ETF S	45,297.75	0.00	0.00	0.00	70.0	0.00
04/10/2018	75502088	KLAHN, ROBERT	FIDELITY MSCI INDUSTRIALS INDEX ETF B	23,111.64	0.00	0.00	0.00	70.0	0.00
04/10/2018	75502088	KLAHN, ROBERT	FIDELITY MSCI CONSUMER DISCRETIONAR B	22,383.84	0.00	0.00	0.00	70.0	0.00
* STOCKS SUBTOTAL *				\$2,077,015.86	\$751.67	\$0.00	\$751.67		\$526.17

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
04/02/2018	75501726 PENN, SHELDON	CALL STX 06/15/18 55	B	2,055.00	65.70	0.00	65.70	70.0	45.99
04/02/2018	75501726 PENN, SHELDON	PUT ALNY 06/15/18 120	B	7,260.00	127.56	0.00	127.56	70.0	89.29
04/09/2018	75501726 PENN, SHELDON	CALL FITB 08/17/18 31	S	1,095.00	41.06	0.00	41.06	70.0	28.74
04/23/2018	75501726 PENN, SHELDON	PUT DISCA 07/20/18 25	B	1,400.00	52.50	0.00	52.50	70.0	36.75
<b>* OPTIONS SUBTOTAL *</b>				<b>\$11,810.00</b>	<b>\$286.82</b>	<b>\$0.00</b>	<b>\$286.82</b>		<b>\$200.77</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
04/04/2018	(None) VARIOUS, OLMSTEAD	NATIONWIDE	B	0.00	39.89	0.00	39.89	70.0	27.92
04/10/2018	(None) VARIOUS, OLMSTEAD	NATIONWIDE	B	0.00	117.51	0.00	117.51	70.0	82.26
04/18/2018	(None) VARIOUS, OLMSTEAD	NATIONWIDE	B	0.00	37.01	0.00	37.01	70.0	25.91
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$194.41</b>	<b>\$0.00</b>	<b>\$194.41</b>		<b>\$136.09</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
04/01/2018	75501391 BORISH, MURIEL	FAS FEES PT. I/III	B	-579.07	193.02	0.00	193.02	70.0	135.11
04/01/2018	75501727 PENN, SHELDON	FAS FEES PT. I/III	B	-1,821.28	607.09	0.00	607.09	70.0	424.96
04/01/2018	75501733 OLMSTEAD, CHRISTYN	FAS FEES PT. I/III	B	-688.94	229.64	0.00	229.64	70.0	160.75
04/01/2018	75501772 OLMSTEAD, ELIZABETH	FAS FEES PT. I/III	B	-222.25	74.08	0.00	74.08	70.0	51.86
04/01/2018	75501773 SMITH, GLENN	FAS FEES PT. I/III	B	-1,098.05	366.01	0.00	366.01	70.0	256.21
04/01/2018	75501774 SMITH, GLENN	FAS FEES PT. I/III	B	-913.61	304.53	0.00	304.53	70.0	213.17
04/01/2018	75501778 VORMWALD, G ROBERT	FAS FEES PT. I/III	B	-2,837.91	945.97	0.00	945.97	70.0	662.18
04/01/2018	75501779 OLMSTEAD, VAN	FAS FEES PT. I/III	B	-1,144.67	381.55	0.00	381.55	70.0	267.09
04/01/2018	75501780 OLMSTEAD, VAN	FAS FEES PT. I/III	B	-473.54	157.84	0.00	157.84	70.0	110.49
04/01/2018	75501781 HALLAM, DONALD	FAS FEES PT. I/III	B	-510.15	170.05	0.00	170.05	70.0	119.04
04/01/2018	75501782 HALLAM, DONALD	FAS FEES PT. I/III	B	-325.18	108.39	0.00	108.39	70.0	75.87
04/01/2018	75501783 HALLAM, DONALD	FAS FEES PT. I/III	B	-4,222.44	1,407.48	0.00	1,407.48	70.0	985.24
04/01/2018	75501784 HALLAM, DONALD	FAS FEES PT. I/III	B	-1,345.22	448.40	0.00	448.40	70.0	313.88
04/01/2018	75501794 WITMAN, RUSSELL	FAS FEES PT. I/III	B	-1,181.09	393.69	0.00	393.69	70.0	275.58
04/01/2018	75501805 BARBARAS, GLEN	FAS FEES PT. I/III	B	-1,188.81	396.27	0.00	396.27	70.0	277.39
04/01/2018	75501807 WRIGHT, PAMELA	FAS FEES PT. I/III	B	-1,864.09	621.36	0.00	621.36	70.0	434.95
04/01/2018	75501808 WRIGHT, PAMELA	FAS FEES PT. I/III	B	-817.70	272.56	0.00	272.56	70.0	190.79
04/01/2018	75501817 GELLIS, HOWARD	FAS FEES PT. I/III	B	-5,098.80	1,699.60	0.00	1,699.60	70.0	1,189.72
04/01/2018	75501820 KLAHN, ROBERT	FAS FEES PT. I/III	B	-951.83	317.27	0.00	317.27	70.0	222.09
04/01/2018	75501822 KLAHN, ROBERT	FAS FEES PT. I/III	B	-1,281.84	427.28	0.00	427.28	70.0	299.10
04/01/2018	75501857 REMINGTON, THOMAS	FAS FEES PT. I/III	B	-264.32	88.10	0.00	88.10	70.0	61.67
04/01/2018	75501858 REMINGTON, THOMAS	FAS FEES PT. I/III	B	-2,774.17	924.72	0.00	924.72	70.0	647.30
04/01/2018	75501859 LOVE, MARGARET	FAS FEES PT. I/III	B	-494.45	164.81	0.00	164.81	70.0	115.37
04/01/2018	75501860 LOVE, MARGARET	FAS FEES PT. I/III	B	-1,041.59	347.19	0.00	347.19	70.0	243.03
04/01/2018	75501861 BENJAMIN, WAYNE	FAS FEES PT. I/III	B	-1,383.54	461.18	0.00	461.18	70.0	322.83
04/01/2018	75501862 BENJAMIN, WAYNE	FAS FEES PT. I/III	B	-350.18	116.72	0.00	116.72	70.0	81.70
04/01/2018	75501866 WELAISH, JEFFREY	FAS FEES PT. I/III	B	-1,458.28	486.09	0.00	486.09	70.0	340.26

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
04/01/2018	75501870	ESKENAZI, PHOEBE	FAS FEES PT. I/III	B	-773.12	257.70	0.00	257.70	70.0	180.39
04/01/2018	75501884	MARTIN, KATHY	FAS FEES PT. I/III	B	-2,012.14	670.71	0.00	670.71	70.0	469.50
04/01/2018	75501885	MARTIN, KATHY	FAS FEES PT. I/III	B	-737.68	245.89	0.00	245.89	70.0	172.12
04/01/2018	75501888	KAYLOR, KEVIN	FAS FEES PT. I/III	B	-386.26	128.75	0.00	128.75	70.0	90.13
04/01/2018	75501889	KAYLOR, KEVIN	FAS FEES PT. I/III	B	-544.45	181.48	0.00	181.48	70.0	127.04
04/01/2018	75501890	KAYLOR, KEVIN	FAS FEES PT. I/III	B	-2,523.10	841.03	0.00	841.03	70.0	588.72
04/01/2018	75501914	NATASHA RAJ AHUJA	FAS FEES PT. I/III	B	-1,283.98	427.99	0.00	427.99	70.0	299.59
04/01/2018	75501925	HOWELL, WILLIAM	FAS FEES PT. I/III	B	-257.74	85.91	0.00	85.91	70.0	60.14
04/01/2018	75501926	KILPATRICK, CHARLES	FAS FEES PT. I/III	B	-1,018.56	339.52	0.00	339.52	70.0	237.66
04/01/2018	75501946	ANYA P AHUJA 1999	FAS FEES PT. I/III	B	-1,283.41	427.80	0.00	427.80	70.0	299.46
04/01/2018	75501952	HOWELL, WILLIAM	FAS FEES PT. I/III	B	-349.50	116.50	0.00	116.50	70.0	81.55
04/01/2018	75501953	MCCRAY, ROBIN	FAS FEES PT. I/III	B	-604.70	201.56	0.00	201.56	70.0	141.09
04/01/2018	75501954	MCCRAY, GAIL	FAS FEES PT. I/III	B	-267.25	89.08	0.00	89.08	70.0	62.36
04/01/2018	75501960	BLUE PELICAN LLC	FAS FEES PT. I/III	B	-4,331.26	1,443.75	0.00	1,443.75	70.0	1,010.63
04/01/2018	75502088	KLAHN, ROBERT	FAS FEES PT. I/III	B	-1,067.65	355.88	0.00	355.88	70.0	249.12
* RIA SUBTOTAL *					\$-53,773.80	\$17,924.44	\$0.00	\$17,924.44		\$12,547.13
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/30/2018		FEE COUNT - 101			0.00	1,186.78	0.00	1,186.78	70.0	826.38
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,186.78	\$0.00	\$1,186.78		\$826.38
*** BROKER TRANSACTIONS TOTAL ***					\$2,078,563.31	\$20,660.96	\$0.00	\$20,660.96		\$14,458.33
ADJUSTMENTS										
04/30/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-25.00
04/30/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-121.42
04/30/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-59.26
04/30/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-25.00
04/30/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-73.20
04/30/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-78.59
04/30/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-197.23
04/30/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-76.31
04/30/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-25.00
04/30/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-25.00
04/30/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-33.33
04/30/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-311.61
04/30/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-115.72
04/30/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-78.74

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-79.25
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-126.44
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-54.51
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-365.80
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-28.66
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-85.58
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-33.33
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-192.37
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-42.53
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-89.60
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-92.72
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-25.00
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-125.44
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-51.54
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-136.83
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-63.46
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-33.33
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-46.83
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-173.24
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-85.73
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-25.00
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-40.26
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-85.69
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-25.00
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-40.69
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-25.00
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-321.29
04/30/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-32.14
04/30/2018		MISCELLANEOUS: OVERTRADING FEES-MAR		0.00	0.00	0.00	0.00		-580.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-4,352.67

**BROKER COMMISSION TOTALS**

Broker Transactions	\$14,458.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-4,352.67
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-25.83
SIPC Assessment	\$-51.65

Check Amount	\$10,028.18
Year-to-date Earnings	\$43,600.18