



# COMMISSION STATEMENT

## April 30, 2018

STEPHEN CARVER  
1921 W Willow Knolls Dr Ste C  
Peoria, IL 61614

BROKER #: B15  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/24/2018	***-**-2267 - WIEBLER, STEVE	GOLDMAN SACHS RISING DIVIDEND GROWT	21,000.01	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012606	SELL 1074 Units @19.5600						
04/24/2018	***-**-2267 - WIEBLER, STEVE	JANUS HENDERSON GLBL EQUITY	25,000.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012606	SELL 3230 Units @7.7400						
04/25/2018	***-**-825J - BARR, JANET	RESOURCE CREDIT INCOME FUND A	50,000.00	2,250.00	18.00	2,232.00	85.0	1,897.20
	ACCOUNT #: LSR012627	BUY 4314 Units @11.5900						
04/25/2018	***-**-825J - BARR, JANET	RESOURCE REAL ESTATES-NFS	50,000.00	2,250.00	18.00	2,232.00	85.0	1,897.20
	ACCOUNT #: LSR012627	BUY 4822 Units @10.3700						
* MUTUAL FUNDS SUBTOTAL *			<b>\$146,000.01</b>	<b>\$4,500.00</b>	<b>\$72.00</b>	<b>\$4,428.00</b>		<b>\$3,758.40</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
04/23/2018	***-**-267I - WIEBLER, STEVE	AMGEN INC	1,055.04	47.44	18.00	29.44	85.0	25.02
	ACCOUNT #: LSR012639	SELL 6 Units @175.8400						
04/23/2018	***-**-267I - WIEBLER, STEVE	ANALOG DEVICES INC	1,058.32	47.49	15.24	32.25	85.0	27.41
	ACCOUNT #: LSR012639	SELL 12 Units @88.1930						
04/25/2018	***-**-858I - HAMM, RONALD	ARCHER DANIELS MIDLAND	9,091.00	150.00	19.00	131.00	85.0	111.35
	ACCOUNT #: LSR012515	BUY 200 Units @45.4550						
04/26/2018	***-**-447I - HEINZ-OTTE,	ARCHER DANIELS MIDLAND	4,579.50	60.00	17.00	43.00	85.0	36.55
	ACCOUNT #: LSR012465	BUY 100 Units @45.7950						
04/26/2018	***-**-447I - HEINZ-OTTE,	ARCHER DANIELS MIDLAND	13,738.50	180.00	6.00	174.00	85.0	147.90
	ACCOUNT #: LSR012465	BUY 300 Units @45.7950						
04/26/2018	***-**-447I - HEINZ-OTTE,	ARCHER DANIELS MIDLAND	4,579.50	60.00	2.00	58.00	85.0	49.30
	ACCOUNT #: LSR012465	BUY 100 Units @45.7950						
04/26/2018	***-**-434I - DVIZAC, ZACK	ARCHER DANIELS MIDLAND	13,742.10	195.00	21.00	174.00	85.0	147.90
	ACCOUNT #: LSR012474	BUY 300 Units @45.8070						
04/26/2018	***-**-168I - JONES, TERI	ARCHER DANIELS MIDLAND	9,167.40	106.67	19.00	87.67	85.0	74.52
	ACCOUNT #: LSR012588	BUY 200 Units @45.8370						
04/26/2018	***-**-825J - BARR, JANET	ARCHER DANIELS MIDLAND	4,536.18	59.40	16.98	42.42	85.0	36.06
	ACCOUNT #: LSR012627	BUY 99 Units @45.8200						
04/26/2018	***-**-825J - BARR, JANET	ARCHER DANIELS MIDLAND	18,373.82	240.60	8.02	232.58	85.0	197.69
	ACCOUNT #: LSR012627	BUY 401 Units @45.8200						
04/24/2018	***-**-267I - WIEBLER, STEVE	BLACKBERRY LTD COM ISIN #CA09228F10	7,465.50	100.88	29.00	71.88	85.0	61.10
	ACCOUNT #: LSR012639	BUY 700 Units @10.6650						



# COMMISSION STATEMENT

April 30, 2018

STEPHEN CARVER  
1921 W Willow Knolls Dr Ste C  
Peoria, IL 61614

BROKER #: B15  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
04/23/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	CHEVRON CORP NEW SOLICITED ORDER SELL 19 Units @122.6400	2,330.16	54.00	15.38	38.62	85.0	32.83
04/23/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	CHUBB LIMITED COM NPV ISIN #CH00443 SELL 8 Units @137.5290	1,100.23	48.20	15.16	33.04	85.0	28.08
04/23/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	CISCO SYSTEMS INC BUY 46 Units @44.2360	2,034.86	54.00	18.00	36.00	85.0	30.60
04/23/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	COLGATE PALMOLIVE CO SELL 32 Units @66.6200	2,131.84	54.00	15.64	38.36	85.0	32.61
04/23/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	DOWDUPONT INC COM SELL 17 Units @65.6500	1,116.05	48.47	15.34	33.13	85.0	28.16
04/23/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	HONEYWELL INTL INC UNSOLICITED ORDE SELL 16 Units @149.0790	2,385.26	54.00	15.32	38.68	85.0	32.88
04/23/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	INTL BUSINESS MACH UNSOLICITED ORDE SELL 15 Units @145.2980	2,179.47	54.00	15.30	38.70	85.0	32.90
04/23/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	JOHNSON & JOHNSON UNSOLICITED ORDER SELL 17 Units @126.8780	2,156.93	54.00	15.34	38.66	85.0	32.86
04/23/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	JPMORGAN CHASE & CO SOLICITED ORDER SELL 21 Units @111.5940	2,343.47	54.00	15.42	38.58	85.0	32.79
04/23/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	KRAFT HEINZ CO COM SELL 18 Units @57.8180	1,040.72	47.19	15.36	31.83	85.0	27.06
04/23/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	LOCKHEED MARTIN CORP SELL 6 Units @356.3640	2,138.18	54.00	15.12	38.88	85.0	33.05
04/23/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	LOWES COMPANIES SOLICITED ORDER SELL 13 Units @84.2450	1,095.19	48.12	15.26	32.86	85.0	27.93
04/23/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	MCDONALDS CORP UNSOLICITED ORDER SELL 7 Units @159.1600	1,114.12	48.44	15.14	33.30	85.0	28.31
04/23/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	MICROSOFT CORP UNSOLICITED ORDER EX SELL 13 Units @95.5840	1,242.59	50.62	18.00	32.62	85.0	27.73
04/23/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	NEXTERA ENERGY INC COM SELL 8 Units @160.4690	1,283.75	51.32	15.16	36.16	85.0	30.74
04/23/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	OCCIDENTAL PETROLEUM CORP SELL 16 Units @76.4740	1,223.58	50.30	15.32	34.98	85.0	29.73



# COMMISSION STATEMENT

April 30, 2018

STEPHEN CARVER  
1921 W Willow Knolls Dr Ste C  
Peoria, IL 61614

BROKER #: B15  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
04/23/2018	***-**-267I - WIEBLER, STEVE	PEPSICO INC UNSOLICITED ORDER	1,126.77	48.66	15.22	33.44	85.0	28.42
	ACCOUNT #: LSR012639	SELL 11 Units @102.4340						
04/23/2018	***-**-267I - WIEBLER, STEVE	PFIZER INC UNSOLICITED ORDER	1,287.13	51.38	15.70	35.68	85.0	30.33
	ACCOUNT #: LSR012639	BUY 35 Units @36.7750						
04/23/2018	***-**-267I - WIEBLER, STEVE	PHILIP MORRIS INTL INC COM SOLICITE	920.79	45.15	15.22	29.93	85.0	25.44
	ACCOUNT #: LSR012639	SELL 11 Units @83.7080						
04/24/2018	***-**-267I - WIEBLER, STEVE	SECTOR SPDR TR SHS BEN INT TECHNOLO	6,577.00	54.00	17.00	37.00	85.0	31.45
	ACCOUNT #: LSR012639	BUY 100 Units @65.7700						
04/24/2018	***-**-267I - WIEBLER, STEVE	SELECT SECTOR SPDR TR CONSUMER DISC	5,145.80	54.00	16.00	38.00	85.0	32.30
	ACCOUNT #: LSR012639	BUY 50 Units @102.9160						
04/23/2018	***-**-267I - WIEBLER, STEVE	SEMPRA ENERGY	2,179.36	54.00	15.40	38.60	85.0	32.81
	ACCOUNT #: LSR012639	SELL 20 Units @108.9680						
04/23/2018	***-**-267I - WIEBLER, STEVE	VERIZON COMMUNICATIONS UNSOLICITED	2,471.26	54.00	16.02	37.98	85.0	32.28
	ACCOUNT #: LSR012639	BUY 51 Units @48.4560						
04/23/2018	***-**-267I - WIEBLER, STEVE	WALMART STORES INC UNSOLICITED ORDE	2,242.19	54.00	15.52	38.48	85.0	32.71
	ACCOUNT #: LSR012639	SELL 26 Units @86.2380						
04/23/2018	***-**-267I - WIEBLER, STEVE	WELLS FARGO & CO NEW SOLICITED ORDE	2,318.98	54.00	15.88	38.12	85.0	32.40
	ACCOUNT #: LSR012639	SELL 44 Units @52.7040						
<b>* STOCKS SUBTOTAL *</b>			<b>\$138,572.54</b>	<b>\$2,541.33</b>	<b>\$563.46</b>	<b>\$1,977.87</b>		<b>\$1,681.20</b>

## PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/30/2018	***-**-361J - COOK, ALAN	COHEN & STEERS REAL ESTATE SEC A	0.00	2.87	0.00	2.87	85.0	2.44
	ACCOUNT #: LSR012544	BUY 1 Units @0.0000						
04/30/2018	***-**-55IR - WISE, MARY	MORGAN STANLEY GROWTH PORT A-NFS	0.00	1.10	0.00	1.10	85.0	0.94
	ACCOUNT #: LSR012558	BUY 1 Units @0.0000						
04/30/2018	***-**-266O - COILE, TIM	PACIFIC FUNDS HIGH INCOME A	0.00	6.12	0.00	6.12	85.0	5.20
	ACCOUNT #: LSR012461	BUY 1 Units @0.0000						
04/30/2018	***-**-266O - COILE, TIM	PACIFIC FUNDS SMALL/ MID CAP A	0.00	6.20	0.00	6.20	85.0	5.27
	ACCOUNT #: LSR012461	BUY 1 Units @0.0000						
04/30/2018	***-**-92IN - REEDER, BONNIE	PACIFIC FUNDS SMALL/ MID CAP A	0.00	2.80	0.00	2.80	85.0	2.38
	ACCOUNT #: LSR012521	BUY 1 Units @0.0000						
04/30/2018	***-**-266O - COILE, TIM	PACIFIC FUNDS STRATEGIC INC FD A	0.00	8.18	0.00	8.18	85.0	6.95
	ACCOUNT #: LSR012461	BUY 1 Units @0.0000						



**BROKER #:** B15  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS (continued)								
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$27.27	\$0.00	\$27.27		\$23.18
*** BROKER TRANSACTIONS TOTAL ***			\$284,572.55	\$7,068.60	\$635.46	\$6,433.14		\$5,462.78
BROKER COMMISSION TOTALS								
					Broker Transactions			\$5,462.78
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					Net Commission Earned			\$5,462.78
					Year-to-date Earnings			\$55,325.60