

COMMISSION STATEMENT**September 29, 2017**

WILLIAM DIPENICE
110 SOUTH BUCKHOUT STREET

BROKER #: 74
BRANCH: HOME OFFICE

IRVINGTON, NY 10533

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/12/2017 75502048	FERRUZZI, KENNETH	DICKS SPORTING GOODS INC	S	617.10	0.00	0.00	0.00	80.0	0.00
09/12/2017 75502048	FERRUZZI, KENNETH	SAGE THERAPEUTICS INC COM	B	889.09	0.00	0.00	0.00	80.0	0.00
09/12/2017 75502048	FERRUZZI, KENNETH	STARBUCKS CORP	S	1,608.63	0.00	0.00	0.00	80.0	0.00
09/12/2017 75502048	FERRUZZI, KENNETH	VF CORPORATION	B	631.74	0.00	0.00	0.00	80.0	0.00
09/12/2017 75502048	FERRUZZI, KENNETH	YUM BRANDS INC	B	1,769.85	0.00	0.00	0.00	80.0	0.00
09/22/2017 75502048	FERRUZZI, KENNETH	MONDELEZ INTERNATIONAL INC COM	S	1,568.71	0.00	0.00	0.00	80.0	0.00
09/22/2017 75502048	FERRUZZI, KENNETH	SNYDERS-LANCE INC COM	B	1,078.86	0.00	0.00	0.00	80.0	0.00
09/08/2017 75502050	DIPENICE, WILLIAM	APPLE INC	S	1,285.37	0.00	0.00	0.00	80.0	0.00
09/08/2017 75502050	DIPENICE, WILLIAM	CHENIERE ENERGY INC COM	B	1,255.20	0.00	0.00	0.00	80.0	0.00
09/21/2017 75502050	DIPENICE, WILLIAM	CHARTER COMMUNICATIONS INC NEW CL A B		2,180.98	0.00	0.00	0.00	80.0	0.00
09/25/2017 75502050	DIPENICE, WILLIAM	BRISTOL MYERS SQUIBB CO	S	2,907.32	0.00	0.00	0.00	80.0	0.00
09/25/2017 75502050	DIPENICE, WILLIAM	T MOBILE US INC	S	2,899.07	0.00	0.00	0.00	80.0	0.00
09/12/2017 75502051	DIPENICE, NINA	DICKS SPORTING GOODS INC	S	1,178.11	0.00	0.00	0.00	80.0	0.00
09/12/2017 75502051	DIPENICE, NINA	SAGE THERAPEUTICS INC COM	B	1,778.19	0.00	0.00	0.00	80.0	0.00
09/12/2017 75502051	DIPENICE, NINA	STARBUCKS CORP	S	3,163.64	0.00	0.00	0.00	80.0	0.00
09/12/2017 75502051	DIPENICE, NINA	VF CORPORATION	B	1,263.48	0.00	0.00	0.00	80.0	0.00
09/12/2017 75502051	DIPENICE, NINA	YUM BRANDS INC	B	3,462.75	0.00	0.00	0.00	80.0	0.00
09/22/2017 75502051	DIPENICE, NINA	MONDELEZ INTERNATIONAL INC COM	S	3,016.75	0.00	0.00	0.00	80.0	0.00
09/22/2017 75502051	DIPENICE, NINA	SNYDERS-LANCE INC COM	B	2,083.32	0.00	0.00	0.00	80.0	0.00
09/12/2017 75502083	MAISELL, ADRIANE	DICKS SPORTING GOODS INC	S	532.95	0.00	0.00	0.00	80.0	0.00
09/12/2017 75502083	MAISELL, ADRIANE	SAGE THERAPEUTICS INC COM	B	815.00	0.00	0.00	0.00	80.0	0.00
09/12/2017 75502083	MAISELL, ADRIANE	STARBUCKS CORP	S	1,394.15	0.00	0.00	0.00	80.0	0.00
09/12/2017 75502083	MAISELL, ADRIANE	VF CORPORATION	B	505.39	0.00	0.00	0.00	80.0	0.00
09/12/2017 75502083	MAISELL, ADRIANE	YUM BRANDS INC	B	1,539.00	0.00	0.00	0.00	80.0	0.00
09/22/2017 75502083	MAISELL, ADRIANE	MONDELEZ INTERNATIONAL INC COM	S	1,327.37	0.00	0.00	0.00	80.0	0.00
09/22/2017 75502083	MAISELL, ADRIANE	SNYDERS-LANCE INC COM	B	930.05	0.00	0.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *				\$41,682.07	\$0.00	\$0.00	\$0.00		\$0.00

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MISCELLANEOUS

09/29/2017	VARIOUS, DIPENICE	ADVISORY INCOME	B	0.00	581.33	0.00	581.33	80.0	465.06
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$581.33	\$0.00	\$581.33		\$465.06
*** BROKER TRANSACTIONS TOTAL ***				\$41,682.07	\$581.33	\$0.00	\$581.33		\$465.06

ADJUSTMENTS

09/29/2017	E & O INSURANCE: E & O INSURANCE	0.00	0.00	0.00	0.00	-250.00
09/29/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
09/29/2017	OTHER: OTHER-WEALTH MANAGEMENT	0.00	0.00	0.00	0.00	-25.00
09/29/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
09/29/2017	OTHER: OTHER-WRITE OFF	0.00	0.00	0.00	0.00	-115.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-418.00

BROKER COMMISSION TOTALS

Broker Transactions	\$465.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-418.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$47.06
Year-to-date Earnings	\$248.31