

STATEMENT

July 20, 2018

RYLAN-TERMINATED ROZELL
5060 California Ave # 620
SUITE 620
Bakersfield, CA 93309

BROKER #: 052
BRANCH:

COMMISSION STATEMENT for RYLAN-TERMINATED ROZELL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/25/2018	#00085384248	MCGILL, JUSTIN	AMERICAN FUNDS 02630R559	150.00	7.50	90.0	0.00	6.75	0.00	6.75
06/25/2018	#00085384248	MCGILL, JUSTIN	AMERICAN MUTUAL FUND-529A	100.00	5.00	90.0	0.00	4.50	0.00	4.50
06/25/2018	#00085384248	MCGILL, JUSTIN	THE BOND FUND OF AMERICA-529A	100.00	3.00	90.0	0.00	2.70	0.00	2.70
06/25/2018	#00085384248	MCGILL, JUSTIN	THE INCOME FUND OF AMERICA-529A	100.00	5.00	90.0	0.00	4.50	0.00	4.50
06/25/2018	#00085384248	MCGILL, JUSTIN	THE NEW ECONOMY FUND-529A	150.00	7.50	90.0	0.00	6.75	0.00	6.75
06/27/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	28.25	0.28	90.0	0.00	0.25	0.00	0.25
06/27/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	80.00	0.80	90.0	0.00	0.72	0.00	0.72
06/27/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	23.34	0.23	90.0	0.00	0.21	0.00	0.21
06/27/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	60.00	0.60	90.0	0.00	0.54	0.00	0.54
* MUTUAL FUNDS SUBTOTAL *				\$791.59	\$29.91		\$0.00	\$26.92	\$0.00	\$26.92

PRODUCT CATEGORY: VARIABLE ANNUITIES

06/30/2018	#1005787234	WARREN, MARLENE	L SERIES	91,449.08	76.21	90.0	0.00	68.59	0.00	68.59
06/30/2018	#1005787234	WARREN, MARLENE	L SERIES	120,496.58	130.54	90.0	0.00	117.49	0.00	117.49
06/30/2018	#009243506A	WATKINS, ERNEST	L SERIES	12,925.67	14.00	90.0	0.00	12.60	0.00	12.60
06/30/2018	#009220608A	O DONNELL, JOHN	PRSP II-1004RPL	97,279.46	81.07	90.0	0.00	72.96	0.00	72.96
* VARIABLE ANNUITIES SUBTOTAL *				\$322,150.79	\$301.82		\$0.00	\$271.64	\$0.00	\$271.64

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2018	#01847000622	TRUST,	02630V840	0.00	0.92	90.0	0.00	0.83	0.00	0.83
06/01/2018	#00086618333	HAMPTON,	AMCAP FUND INC CLASS A	0.00	0.26	90.0	0.00	0.23	0.00	0.23
06/01/2018	#00086663548	DOLAN, PARKER	AMCAP FUND-529A	0.00	0.74	90.0	0.00	0.67	0.00	0.67
06/01/2018	#01235729442	TRUST,	AMCAP R3	0.00	7.35	90.0	0.00	6.62	0.00	6.62
06/01/2018	#00067575875	EVARO, TED	AMERICAN BALANCED FUND INC CL C	0.00	8.57	90.0	0.00	7.71	0.00	7.71
06/01/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	0.00	49.09	90.0	0.00	44.18	0.00	44.18
06/01/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	0.00	11.17	90.0	0.00	10.05	0.00	10.05
06/01/2018	#00067575920	EVARO, TED	AMERICAN BALANCED FUND INC CL C	0.00	0.31	90.0	0.00	0.28	0.00	0.28
06/01/2018	#00063300150	OTT, BETTY	AMERICAN BALANCED FUND INC CLASS A	0.00	9.28	90.0	0.00	8.35	0.00	8.35
06/01/2018	#00067585846	EVARO, TED	AMERICAN BALANCED FUND INC CLASS A	0.00	6.59	90.0	0.00	5.93	0.00	5.93

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00068430007	LOKE, CRAIG	AMERICAN BALANCED FUND INC CLASS A	0.00	43.58	90.0	0.00	39.22	39.22
06/01/2018	#00086618333	HAMPTON,	AMERICAN BALANCED FUND INC CLASS A	0.00	0.13	90.0	0.00	0.12	0.12
06/01/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND-F1	0.00	11.69	90.0	0.00	10.52	10.52
06/01/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND-F1	0.00	6.93	90.0	0.00	6.24	6.24
06/01/2018	#00067585846	EVARO, TED	AMERICAN FUNDS 02629H109	0.00	3.08	90.0	0.00	2.77	2.77
06/01/2018	#00075543298	HAMMANG, THOMAS	AMERICAN FUNDS 02630R757	0.00	2.28	90.0	0.00	2.05	2.05
06/01/2018	#00088985295		AMERICAN FUNDS 02631C445	0.00	2.43	90.0	0.00	2.19	2.19
06/01/2018	#00067585846	EVARO, TED	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	9.85	90.0	0.00	8.87	8.87
06/01/2018	#00089371893	TRAMMEL, LAU	AMERICAN FUNDS TARGET DATE RETIREME	0.00	0.55	90.0	0.00	0.50	0.50
06/01/2018	#00073626621	LEE, NADIA	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	1.85	90.0	0.00	1.67	1.67
06/01/2018	#00085384248	MCGILL, JUSTIN	AMERICAN MUTUAL FUND-529A	0.00	4.08	90.0	0.00	3.67	3.67
06/01/2018	#00060864636	DODGE, JON	BOND FUND OF AMERICA INC CL A	0.00	6.33	90.0	0.00	5.70	5.70
06/01/2018	#00067585846	EVARO, TED	BOND FUND OF AMERICA INC CL A	0.00	6.14	90.0	0.00	5.53	5.53
06/01/2018	#00075543298	HAMMANG, THOMAS	CAPITAL INCOME BUILDER FD CL F-1	0.00	11.68	90.0	0.00	10.51	10.51
06/01/2018	#00081688536	TSCHANZ, ANNIE	CAPITAL INCOME BUILDER FD CL F-1	0.00	7.61	90.0	0.00	6.85	6.85
06/01/2018	#00081688547	TSCHANZ, ANNIE	CAPITAL INCOME BUILDER FD CL F-1	0.00	0.69	90.0	0.00	0.62	0.62
06/01/2018	#00081688557	TSCHANZ, ANNIE	CAPITAL INCOME BUILDER FD CL F-1	0.00	7.04	90.0	0.00	6.34	6.34
06/01/2018	#00081688562	TSCHANZ, ANNIE	CAPITAL INCOME BUILDER FD CL F-1	0.00	0.59	90.0	0.00	0.53	0.53
06/01/2018	#00086663548	DOLAN, PARKER	FUNDAMENTAL INVESTORS-529A	0.00	0.77	90.0	0.00	0.69	0.69
06/01/2018	#00073626621	LEE, NADIA	GROWTH FUND AMER INC CL C	0.00	4.14	90.0	0.00	3.73	3.73
06/01/2018	#00073970914	KATO, CALVIN	GROWTH FUND AMER INC CL C	0.00	7.80	90.0	0.00	7.02	7.02
06/01/2018	#00083418376	SMALL, CHARLES	GROWTH FUND AMER INC CL C	0.00	1.98	90.0	0.00	1.78	1.78
06/01/2018	#00073970914	KATO, CALVIN	GROWTH FUND AMER INC CL F1	0.00	12.06	90.0	0.00	10.85	10.85
06/01/2018	#00073970944	KATO, CALVIN	GROWTH FUND AMER INC CL F1	0.00	60.82	90.0	0.00	54.74	54.74
06/01/2018	#00081688536	TSCHANZ, ANNIE	GROWTH FUND AMER INC CL F1	0.00	2.33	90.0	0.00	2.10	2.10
06/01/2018	#00081688547	TSCHANZ, ANNIE	GROWTH FUND AMER INC CL F1	0.00	0.21	90.0	0.00	0.19	0.19
06/01/2018	#00081688557	TSCHANZ, ANNIE	GROWTH FUND AMER INC CL F1	0.00	2.15	90.0	0.00	1.94	1.94
06/01/2018	#00081688562	TSCHANZ, ANNIE	GROWTH FUND AMER INC CL F1	0.00	0.18	90.0	0.00	0.16	0.16
06/01/2018	#00067585846	EVARO, TED	GROWTH FUND AMERICA INC CLASS A	0.00	33.70	90.0	0.00	30.33	30.33
06/01/2018	#00067869182	RUGH, ROBERT	GROWTH FUND AMERICA INC CLASS A	0.00	50.13	90.0	0.00	45.12	45.12
06/01/2018	#00069146347	BRZEZINSKI,	GROWTH FUND AMERICA INC CLASS A	0.00	14.33	90.0	0.00	12.90	12.90
06/01/2018	#00067869182	RUGH, ROBERT	INCOME FUND OF AMERICA INC CLASS A	0.00	41.28	90.0	0.00	37.15	37.15
06/01/2018	#00083298168	SMALL, CHARLES	INVESTMENT CO AMER CL C	0.00	9.11	90.0	0.00	8.20	8.20
06/01/2018	#00067585846	EVARO, TED	INVESTMENT CO AMER CLASS A	0.00	57.05	90.0	0.00	51.35	51.35
06/01/2018	#00067869182	RUGH, ROBERT	INVESTMENT CO AMER CLASS A	0.00	81.31	90.0	0.00	73.18	73.18
06/01/2018	#00086618333	HAMPTON,	INVESTMENT CO AMER CLASS A	0.00	0.31	90.0	0.00	0.28	0.28

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00067585846	VARO, TED	NEW ECONOMY FUND SBI CLASS A	0.00	25.22	90.0	0.00	22.70	22.70
06/01/2018	#00069146347	BRZEZINSKI,	NEW ECONOMY FUND SBI CLASS A	0.00	15.60	90.0	0.00	14.04	14.04
06/01/2018	#00081688536	TSCHANZ, ANNIE	NEW PERSPECTIVE FUND -F1	0.00	4.31	90.0	0.00	3.88	3.88
06/01/2018	#00081688547	TSCHANZ, ANNIE	NEW PERSPECTIVE FUND -F1	0.00	0.39	90.0	0.00	0.35	0.35
06/01/2018	#00081688557	TSCHANZ, ANNIE	NEW PERSPECTIVE FUND -F1	0.00	3.98	90.0	0.00	3.58	3.58
06/01/2018	#00081688562	TSCHANZ, ANNIE	NEW PERSPECTIVE FUND -F1	0.00	0.33	90.0	0.00	0.30	0.30
06/01/2018	#01241470455	TRUST,	NEW WORLD R3	0.00	3.12	90.0	0.00	2.81	2.81
06/01/2018	#00069146347	BRZEZINSKI,	SMALLCAP WORLD FUND INC CLASS A	0.00	16.90	90.0	0.00	15.21	15.21
06/01/2018	#00086663548	DOLAN, PARKER	SMALLCAP WORLD FUND-529A	0.00	0.34	90.0	0.00	0.31	0.31
06/01/2018	#00085384248	MCGILL, JUSTIN	THE BOND FUND OF AMERICA-529A	0.00	3.77	90.0	0.00	3.39	3.39
06/01/2018	#00086663548	DOLAN, PARKER	THE GROWTH FUND OF AMERICA-529A	0.00	0.69	90.0	0.00	0.62	0.62
06/01/2018	#00085384248	MCGILL, JUSTIN	THE INCOME FUND OF AMERICA-529A	0.00	3.65	90.0	0.00	3.29	3.29
06/01/2018	#00081688536	TSCHANZ, ANNIE	THE INVESTMENT COMPANY OF AMERICA F	0.00	3.82	90.0	0.00	3.44	3.44
06/01/2018	#00081688547	TSCHANZ, ANNIE	THE INVESTMENT COMPANY OF AMERICA F	0.00	0.21	90.0	0.00	0.19	0.19
06/01/2018	#00081688557	TSCHANZ, ANNIE	THE INVESTMENT COMPANY OF AMERICA F	0.00	3.52	90.0	0.00	3.17	3.17
06/01/2018	#00081688562	TSCHANZ, ANNIE	THE INVESTMENT COMPANY OF AMERICA F	0.00	0.15	90.0	0.00	0.14	0.14
06/01/2018	#00073970914	KATO, CALVIN	WASHINGTON MUTUAL INVESTORS FUND-C	0.00	7.04	90.0	0.00	6.34	6.34
06/01/2018	#00073218766	STEVENS, EVELYN	WASHINGTON MUTUAL INVESTORS FUND-F1	0.00	5.38	90.0	0.00	4.84	4.84
06/01/2018	#00073970914	KATO, CALVIN	WASHINGTON MUTUAL INVESTORS FUND-F1	0.00	28.89	90.0	0.00	26.00	26.00
06/01/2018	#00073970944	KATO, CALVIN	WASHINGTON MUTUAL INVESTORS FUND-F1	0.00	48.75	90.0	0.00	43.88	43.88
06/01/2018	#01235428445	TRUST,	WASHINGTON MUTUAL INVESTORS FUND-R3	0.00	13.44	90.0	0.00	12.10	12.10
06/01/2018	#00061467520	LINDE, ROBYN	WASHINGTON MUTUAL INVS FD CLASS A	0.00	9.72	90.0	0.00	8.75	8.75
06/01/2018	#00061467521	LINDE, ROBYN	WASHINGTON MUTUAL INVS FD CLASS A	0.00	2.89	90.0	0.00	2.60	2.60
06/01/2018	#00063870986	LINDE, RYAN	WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.06	90.0	0.00	3.65	3.65
06/14/2018	#00777115467	VARIOUS HOUSE	JH LARGE CAP EQUITY FD CL A	0.00	7.48	90.0	0.00	6.73	6.73
06/14/2018	#00777098436	VARIOUS HOUSE	JOHN HANCOCK GOVERNMENT INCOME FUND	0.00	2.65	90.0	0.00	2.39	2.39
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$816.77		\$0.00	\$735.16	\$0.00	\$735.16
*** BROKER TRANSACTIONS TOTAL ***			\$322,942.38	\$1,148.50		\$0.00	\$1,033.72	\$0.00	\$1,033.72

BROKER COMMISSION TOTALS

Broker Transactions	\$1,033.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,033.72
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