

COMMISSION STATEMENT

January 31, 2018

RANDY SMITH-D061

BROKER #: D061

BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
12/29/2017	153180186	BANNWORTH, CHARLES	MF	FUNDAMENTAL INVESTORS-A	B	-600.00	0.00	5.00	-5.00 50.0 -2.50
12/29/2017	153180186	BANNWORTH, CHARLES	MF	NEW PERSPECTIVE FUND-A	B	-600.00	0.00	5.00	-5.00 50.0 -2.50
01/29/2018	183800325	CRAWFORD, DEREK	MF	FUNDAMENTAL INVESTORS-A	S	5,002.45	0.00	2.50	-2.50 50.0 -1.25
01/29/2018	183800325	CRAWFORD, DEREK	MF	NEW PERSPECTIVE FUND-A	S	5,002.45	0.00	2.50	-2.50 50.0 -1.25
01/11/2018	368293561	MCKINNEY, ALEE	MF	CAPITAL WORLD GROWTH AND INCOME	B	-92.87	0.00	5.00	-5.00 50.0 -2.50
01/08/2018	677002494	SHEWITZ, ZACHARY	MF	THE GROWTH FUND OF AMERICA-A	B	-737.55	0.00	5.00	-5.00 50.0 -2.50
01/08/2018	677003808	DYLAN SHEWITZ, ROY	MF	THE GROWTH FUND OF AMERICA-A	B	-737.55	0.00	5.00	-5.00 50.0 -2.50
				* MUTUAL FUNDS SUBTOTAL *		\$7,236.93	\$0.00	\$30.00	\$-30.00 \$-15.00

PRODUCT CATEGORY: STOCKS

01/23/2018	123047861	ADAIR, SAM	S	DELL TECHNOLOGIES INC CL V	S	-1,115.30	40.00	15.00	25.00 50.0 12.50
01/23/2018	123047861	ADAIR, SAM	S	INVESCO MUNICIPAL TRUST	S	-1,609.50	40.00	15.00	25.00 50.0 12.50
01/23/2018	123047861	ADAIR, SAM	S	THE COCA-COLA COMPANY	S	-5,312.65	40.00	15.00	25.00 50.0 12.50
01/23/2018	123047861	ADAIR, SAM	S	VODAFONE GROUP PLC NEW - SPON	S	-1,118.20	40.00	15.00	25.00 50.0 12.50
01/10/2018	184960763	COOK JR, LESLIE	S	ABBOTT LABORATORIES INC	B	1,211.05	35.00	15.00	20.00 50.0 10.00
01/26/2018	246833405	EVETTS, JAMES	S	OMEGA HEALTHCARE INVS INC	B	434.95	20.00	15.00	5.00 50.0 2.50
01/25/2018	277564859	FOVAL, DOUGLAS	S	KINDER MORGAN INC	S	-4,482.79	25.00	15.00	10.00 50.0 5.00
12/28/2017	492642569	MCLEOD, MARTHA	S	CANNABIS WHEATON INCOME CORP	B	22,195.45	35.00	18.00	17.00 50.0 8.50
12/28/2017	492642569	MCLEOD, MARTHA	S	EMERALD HEALTH THERAPEUTICS INC	S	-43,429.15	35.00	18.00	17.00 50.0 8.50
01/02/2018	492642569	MCLEOD, MARTHA	S	GENERAL CANNABIS CORP	B	27,976.62	35.00	15.00	20.00 50.0 10.00
01/03/2018	492642569	MCLEOD, MARTHA	S	VELOCITYSHARES 3X LONG NATURAL	S	-53,731.04	35.00	15.00	20.00 50.0 10.00
01/05/2018	492642569	MCLEOD, MARTHA	S	GENERAL CANNABIS CORP	S	-26,526.94	35.00	15.00	20.00 50.0 10.00
01/08/2018	492642569	MCLEOD, MARTHA	S	CANOPY GROWTH CORP ISIN	B	99,392.45	35.00	15.00	20.00 50.0 10.00
01/08/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY JUNIOR GOLD	S	-95,748.34	35.00	15.00	20.00 50.0 10.00
01/08/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY GOLD MINERS	S	-32,001.91	35.00	15.00	20.00 50.0 10.00
01/09/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	S	-271,849.12	35.00	15.00	20.00 50.0 10.00
01/09/2018	492642569	MCLEOD, MARTHA	S	AURORA CANNABIS INC ISIN	S	-111,971.96	35.00	15.00	20.00 50.0 10.00
01/09/2018	492642569	MCLEOD, MARTHA	S	CANNABIS WHEATON INCOME CORP	S	-20,962.06	35.00	15.00	20.00 50.0 10.00
01/09/2018	492642569	MCLEOD, MARTHA	S	CANNABIS WHEATON INCOME CORP	S	-10,567.31	35.00	15.00	20.00 50.0 10.00
01/09/2018	492642569	MCLEOD, MARTHA	S	CANOPY GROWTH CORP ISIN	S	-104,129.94	35.00	15.00	20.00 50.0 10.00
01/09/2018	492642569	MCLEOD, MARTHA	S	CRONOS GROUP INC ISIN	S	-100,973.82	35.00	15.00	20.00 50.0 10.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/09/2018 492642569	MCLEOD, MARTHA	S MEDRELEAF CORP ISIN	S	-197,992.00	35.00	15.00	20.00	50.0	10.00
01/02/2018 584567036	PETER, ELOISE	S AT&T INC	S	-29,720.39	45.00	15.00	30.00	50.0	15.00
* STOCKS SUBTOTAL *				<u>\$-962,031.90</u>	<u>\$810.00</u>	<u>\$351.00</u>	<u>\$459.00</u>		<u>\$229.50</u>

PRODUCT CATEGORY: BONDS

01/23/2018 214424906	DORER, RICHARD	B WILSON CNTY TENN GO BDS 2016 B	B	22,913.88	250.00	15.00	235.00	50.0	117.50
01/25/2018 214424906	DORER, RICHARD	B MAURY CNTY TENN GO SCH BDS 2016 B	B	45,759.74	625.00	15.00	610.00	50.0	305.00
01/29/2018 214424906	DORER, RICHARD	B CITY OF KNOXVILLE TENN GAS SYS B	B	46,481.79	500.00	15.00	485.00	50.0	242.50
01/22/2018 676362622	SMITH TTEE, RANDALL B	B COLLEGE STATION TEX	B	25,161.20	15.00	15.00	0.00	50.0	0.00
* BONDS SUBTOTAL *				<u>\$140,316.61</u>	<u>\$1,390.00</u>	<u>\$60.00</u>	<u>\$1,330.00</u>		<u>\$665.00</u>

PRODUCT CATEGORY: MISCELLANEOUS

01/09/2018 a03219642	SMITH-D061, RANDY	MI MUTUAL FUND MISC FEE 677002494	S	0.00	10.00	0.00	10.00	50.0	5.00
01/09/2018 a03219642	SMITH-D061, RANDY	MI MUTUAL FUND MISC FEE 677003808	S	0.00	10.00	0.00	10.00	50.0	5.00
01/12/2018 a03219642	SMITH-D061, RANDY	MI MUTUAL FUND MISC FEE 368293561	S	0.00	10.00	0.00	10.00	50.0	5.00
* MISCELLANEOUS SUBTOTAL *				<u>\$0.00</u>	<u>\$30.00</u>	<u>\$0.00</u>	<u>\$30.00</u>		<u>\$15.00</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/23/2018 mfc219642	SMITH RANDALL	MFT AIM GLOBAL AGGRESSIVE GROWTH	B	0.00	7.52	0.00	7.52	50.0	3.76
01/23/2018 mfc219642	SMITH RANDALL	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	11.50	0.00	11.50	50.0	5.75
01/23/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	2.18	0.00	2.18	50.0	1.09
01/23/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	7.41	0.00	7.41	50.0	3.71
01/23/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	11.56	0.00	11.56	50.0	5.78
01/23/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	13.88	0.00	13.88	50.0	6.94
01/23/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	16.89	0.00	16.89	50.0	8.45
01/23/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	16.95	0.00	16.95	50.0	8.48
01/23/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	20.09	0.00	20.09	50.0	10.05
01/23/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	26.26	0.00	26.26	50.0	13.13
01/23/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	30.89	0.00	30.89	50.0	15.45
01/23/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	42.78	0.00	42.78	50.0	21.39
01/23/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	43.85	0.00	43.85	50.0	21.93
01/23/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	61.76	0.00	61.76	50.0	30.88
01/23/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-F1	B	0.00	11.73	0.00	11.73	50.0	5.87
01/23/2018 mfc219642	SMITH RANDALL	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	17.33	0.00	17.33	50.0	8.67
01/23/2018 mfc219642	SMITH RANDALL	MFT AMERICAN MUTUAL FUND-A	B	0.00	5.23	0.00	5.23	50.0	2.62
01/23/2018 mfc219642	SMITH RANDALL	MFT CAPITAL APPRECIATION A	B	0.00	1.80	0.00	1.80	50.0	0.90
01/23/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.89	0.00	1.89	50.0	0.95
01/23/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.23	0.00	5.23	50.0	2.62
01/23/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	15.22	0.00	15.22	50.0	7.61
01/23/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	18.55	0.00	18.55	50.0	9.28
01/23/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	23.77	0.00	23.77	50.0	11.89
01/23/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	82.70	0.00	82.70	50.0	41.35
01/23/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.22	0.00	1.22	50.0	0.61
01/23/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	B	0.00	3.44	0.00	3.44	50.0	1.72
01/23/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	B	0.00	16.67	0.00	16.67	50.0	8.34

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01/23/2018	mfc219642	SMITH RANDALL	MFT CAPITAL WORLD BOND FUND-A	B	0.00	6.09	0.00	6.09	50.0	3.05
01/23/2018	mfc219642	SMITH RANDALL	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.44	0.00	7.44	50.0	3.72
01/23/2018	mfc219642	SMITH RANDALL	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	0.06	0.00	0.06	50.0	0.03
01/23/2018	mfc219642	SMITH RANDALL	MFT DEUTSCHE STRATEGIC HIGH YIELD	B	0.00	7.31	0.00	7.31	50.0	3.66
01/23/2018	mfc219642	SMITH RANDALL	MFT DEUTSCHE STRATEGIC HIGH YIELD	B	0.00	16.69	0.00	16.69	50.0	8.35
01/23/2018	mfc219642	SMITH RANDALL	MFT DREYFUS HIGH YIELD FD A	B	0.00	3.10	0.00	3.10	50.0	1.55
01/23/2018	mfc219642	SMITH RANDALL	MFT DREYFUS PREMIER TECHNOLOGY	B	0.00	3.67	0.00	3.67	50.0	1.84
01/23/2018	mfc219642	SMITH RANDALL	MFT EATON VANCE UTILITIES FUND	B	0.00	2.57	0.00	2.57	50.0	1.29
01/23/2018	mfc219642	SMITH RANDALL	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.37	0.00	0.37	50.0	0.19
01/23/2018	mfc219642	SMITH RANDALL	MFT FIDELITY ADVISOR INDUSTRIAL FD	B	0.00	17.76	0.00	17.76	50.0	8.88
01/23/2018	mfc219642	SMITH RANDALL	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	9.60	0.00	9.60	50.0	4.80
01/23/2018	mfc219642	SMITH RANDALL	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	5.29	0.00	5.29	50.0	2.65
01/23/2018	mfc219642	SMITH RANDALL	MFT FIDELITY ADV EMERGING MRKTS INC	B	0.00	3.63	0.00	3.63	50.0	1.82
01/23/2018	mfc219642	SMITH RANDALL	MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	6.09	0.00	6.09	50.0	3.05
01/23/2018	mfc219642	SMITH RANDALL	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	5.79	0.00	5.79	50.0	2.90
01/23/2018	mfc219642	SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	63.86	0.00	63.86	50.0	31.93
01/23/2018	mfc219642	SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.48	0.00	1.48	50.0	0.74
01/23/2018	mfc219642	SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	6.02	0.00	6.02	50.0	3.01
01/23/2018	mfc219642	SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.12	0.00	1.12	50.0	0.56
01/23/2018	mfc219642	SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.51	0.00	1.51	50.0	0.76
01/23/2018	mfc219642	SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.46	0.00	6.46	50.0	3.23
01/23/2018	mfc219642	SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	12.40	0.00	12.40	50.0	6.20
01/23/2018	mfc219642	SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	30.06	0.00	30.06	50.0	15.03
01/23/2018	mfc219642	SMITH RANDALL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	21.55	0.00	21.55	50.0	10.78
01/23/2018	mfc219642	SMITH RANDALL	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	9.50	0.00	9.50	50.0	4.75
01/23/2018	mfc219642	SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.51	0.00	0.51	50.0	0.26
01/23/2018	mfc219642	SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.63	0.00	0.63	50.0	0.32
01/23/2018	mfc219642	SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.13	0.00	0.13	50.0	0.07
01/23/2018	mfc219642	SMITH RANDALL	MFT MFS LIMITED MATURITY FUND-C	B	0.00	0.04	0.00	0.04	50.0	0.02
01/23/2018	mfc219642	SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.87	0.00	3.87	50.0	1.94
01/23/2018	mfc219642	SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	5.72	0.00	5.72	50.0	2.86
01/23/2018	mfc219642	SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	28.44	0.00	28.44	50.0	14.22
01/23/2018	mfc219642	SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	63.34	0.00	63.34	50.0	31.67
01/23/2018	mfc219642	SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	12.24	0.00	12.24	50.0	6.12
01/23/2018	mfc219642	SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	14.13	0.00	14.13	50.0	7.07
01/23/2018	mfc219642	SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	15.62	0.00	15.62	50.0	7.81
01/23/2018	mfc219642	SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	38.50	0.00	38.50	50.0	19.25
01/23/2018	mfc219642	SMITH RANDALL	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	19.53	0.00	19.53	50.0	9.77
01/23/2018	mfc219642	SMITH RANDALL	MFT PUTNAM GLOBAL EQUITY FUND CLASS	B	0.00	0.30	0.00	0.30	50.0	0.15
01/23/2018	mfc219642	SMITH RANDALL	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	8.92	0.00	8.92	50.0	4.46
01/23/2018	mfc219642	SMITH RANDALL	MFT THE BOND FUND OF AMERICA-A	B	0.00	1.41	0.00	1.41	50.0	0.71
01/23/2018	mfc219642	SMITH RANDALL	MFT THE BOND FUND OF AMERICA-A	B	0.00	11.27	0.00	11.27	50.0	5.64
01/23/2018	mfc219642	SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.50	0.00	0.50	50.0	0.25
01/23/2018	mfc219642	SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.89	0.00	0.89	50.0	0.45

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01/23/2018 mfc219642	SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.06	0.00	1.06	50.0	0.53
01/23/2018 mfc219642	SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.82	0.00	3.82	50.0	1.91
01/23/2018 mfc219642	SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.56	0.00	15.56	50.0	7.78
01/23/2018 mfc219642	SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.10	0.00	0.10	50.0	0.05
01/23/2018 mfc219642	SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.30	0.00	3.30	50.0	1.65
01/23/2018 mfc219642	SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.63	0.00	6.63	50.0	3.32
01/23/2018 mfc219642	SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	15.41	0.00	15.41	50.0	7.71
01/23/2018 mfc219642	SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	93.97	0.00	93.97	50.0	46.99
01/23/2018 mfc219642	SMITH RANDALL	MFT THORNBURG INTERM MUNI NATL FD	B	0.00	7.51	0.00	7.51	50.0	3.76
01/23/2018 mfc219642	SMITH RANDALL	MFT THORNBURG VALUE FD CL C	B	0.00	15.07	0.00	15.07	50.0	7.54
01/23/2018 mfc219642	SMITH RANDALL	MFT THORNBURG VALUE FD CL C	B	0.00	37.44	0.00	37.44	50.0	18.72
01/23/2018 mfc219642	SMITH RANDALL	MFT VOYA HIGH YIELD BOND FD A	B	0.00	1.99	0.00	1.99	50.0	1.00
01/23/2018 mfc219642	SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.10	0.00	1.10	50.0	0.55
01/23/2018 mfc219642	SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.34	0.00	1.34	50.0	0.67
01/23/2018 mfc219642	SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.03	0.00	5.03	50.0	2.52
01/23/2018 mfc219642	SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.64	0.00	5.64	50.0	2.82
01/23/2018 mfc219642	SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	56.61	0.00	56.61	50.0	28.31
01/23/2018 mfc219642	SMITH RANDALL	MFT WELLS FARGO HIGH YIELD BOND-A	B	0.00	1.72	0.00	1.72	50.0	0.86
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,267.01	\$0.00	\$1,267.01		\$633.72
*** CLEARING TRANSACTIONS TOTAL ***				\$-814,478.36	\$3,497.01	\$441.00	\$3,056.01		\$1,528.22

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

01/05/2018 (None) SMITH, MAR G	MF OPPENHEIMER	B	0.00	2.38	0.00	2.38	50.0	1.19
01/16/2018 (None) 0030340502	MF SCHOLARS CHOICE	B	0.00	1.50	0.00	1.50	50.0	0.75
01/10/2018 000000008958389 STRATHDEE,	MF MUTUAL DISC FND - CL A	B	105.00	5.25	0.00	5.25	50.0	2.63
01/25/2018 000005870019840 CAROL	MF TEMP GLOBAL SM CO FND - CL A	B	30.00	1.50	0.00	1.50	50.0	0.75
01/10/2018 000015815515075 STRATHDEE,	MF FRANKLIN RISING DIVIDENDS FD	B	245.00	12.25	0.00	12.25	50.0	6.13
01/10/2018 000060177858580 STRATHDEE,	MF FRANKLIN GROWTH FUND CLASS A	B	350.00	17.50	0.00	17.50	50.0	8.75
01/19/2018 00058011489 FOSTER, EMILIE	MF AMERICAN BALANCED FUND-A	B	10,000.00	200.00	0.00	200.00	50.0	100.00
01/19/2018 00075390701 MURPHY, DEBORAH	MF INTERMEDIATE BOND FUND OF	B	1,000.00	20.00	0.00	20.00	50.0	10.00
01/19/2018 00075396784 CASTANEDA, SANDRA	MF THE GROWTH FUND OF AMERICA-A	B	70.00	1.40	0.00	1.40	50.0	0.70
01/25/2018 00083359485 SCHLESSIGER,	MF AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
01/17/2018 00083485924 SCHLESSIGER,	MF AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
01/19/2018 00083949478 LIMON, ELIZABETH	MF AMERICAN BALANCED FUND-A	B	160.00	3.20	0.00	3.20	50.0	1.60
01/19/2018 00083949478 LIMON, ELIZABETH	MF AMERICAN BALANCED FUND-A	B	160.00	3.20	0.00	3.20	50.0	1.60
01/19/2018 00084366836 MENDOZA, SANDRA	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
01/19/2018 00084366836 MENDOZA, SANDRA	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
01/23/2018 00084512960 GIBBS, KENDRA	MF CAPITAL WORLD GROWTH AND INCOME	B	170.00	6.38	0.00	6.38	50.0	3.19
01/23/2018 00084512960 GIBBS, KENDRA	MF NEW PERSPECTIVE FUND-A	B	165.00	6.19	0.00	6.19	50.0	3.10
01/23/2018 00084512960 GIBBS, KENDRA	MF THE GROWTH FUND OF AMERICA-A	B	165.00	6.19	0.00	6.19	50.0	3.10
01/22/2018 00085302677 JORGE ALEXANDER	MF AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/25/2018 00087364129	DORER, SONIA	MF AMERICAN BALANCED FUND-A	B	250.00	6.88	0.00	6.88	50.0	3.44
01/25/2018 00087364129	DORER, SONIA	MF NEW PERSPECTIVE FUND-A	B	249.96	6.87	0.00	6.87	50.0	3.44
01/25/2018 00087369989	DORER, RICHARD	MF SMALLCAP WORLD FUND-A	B	500.00	13.75	0.00	13.75	50.0	6.88
01/19/2018 00087738166	JIMERFIELD,	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
01/19/2018 00087738166	JIMERFIELD,	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
01/16/2018 00088038123	PONCE, YOLANDA	MF AMERICAN BALANCED FUND-A	B	100.00	5.00	0.00	5.00	50.0	2.50
01/19/2018 00089079661	KAREN STEHLING	MF AMERICAN BALANCED FUND-A	B	1,250.00	34.38	0.00	34.38	50.0	17.19
01/22/2018 04000686653	MCKINNEY, ALEE	MF CAPITAL WORLD GROWTH AND INCOME	B	42.87	1.61	0.00	1.61	50.0	0.81
* MUTUAL FUNDS SUBTOTAL *				\$15,562.83	\$370.93	\$0.00	\$370.93		\$185.50

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/31/2017 (None)	VARIOUS, RANDALL SMITH	MFT ALLIANCE BERNSTEIN 12B-1	B	0.00	311.20	0.00	311.20	50.0	155.60
12/31/2017 (None)	ALLEN, JOHN	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	3.87	0.00	3.87	50.0	1.94
12/31/2017 (None)	CAMMACK, BENJAMIN	MFT SCHOLARS CHOICE	B	0.00	4.54	0.00	4.54	50.0	2.27
01/16/2018 00089349722	JOHNSON, DIANNE	MFT DEUTSCHE GLOBAL INCOME BUILDER	B	0.00	24.62	0.00	24.62	50.0	12.31
01/16/2018 00097370346	ALLISON, PAUL	MFT DEUTSCHE HIGH INCOME FUND-A	B	0.00	4.46	0.00	4.46	50.0	2.23
01/08/2018 BRK50026	MARIN, MARISELA	MFT 8504AFRKD	B	0.00	1,972.54	0.00	1,972.54	50.0	986.27
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,321.23	\$0.00	\$2,321.23		\$1,160.62

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

01/02/2018 (None)	DICKEY, G	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	50.50	0.00	50.50	50.0	25.25
01/02/2018 (None)	STEHLING, KAREN	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	399.05	0.00	399.05	50.0	199.53
01/02/2018 (None)	KACHEL, M	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	98.21	0.00	98.21	50.0	49.11
01/02/2018 (None)	HILL, J	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	650.12	0.00	650.12	50.0	325.06
01/02/2018 (None)	HILL, J	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	263.74	0.00	263.74	50.0	131.87
01/02/2018 (None)	KACHEL, M	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	737.11	0.00	737.11	50.0	368.56
01/02/2018 (None)	BRADSHAW, J	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	537.94	0.00	537.94	50.0	268.97
01/02/2018 (None)	DICKEY, G	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	5.73	0.00	5.73	50.0	2.87
01/02/2018 (None)	FLAHIVE, M	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	186.19	0.00	186.19	50.0	93.10
01/02/2018 (None)	DICKEY, G	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	3.25	0.00	3.25	50.0	1.63
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,931.84	\$0.00	\$2,931.84		\$1,465.95
*** DIRECT TRANSACTIONS TOTAL ***				\$15,562.83	\$5,624.00	\$0.00	\$5,624.00		\$2,812.07

SPLIT TRANSACTIONSBROKER: RIX/RANDY SMITH/SMITH-D081 #80

01/04/2018 676365887	SMITH, RANDALL	S CUSIP 3494257B8	B	12,571.02	125.00	7.50	117.50		58.75
01/11/2018 676365887	SMITH, RANDALL	S NATIONAL GRID	B	10,014.73	17.50	7.50	10.00		5.00
01/04/2018 492642424	MCELROY TTEE, LETHA	B AUSTIN TEXAS COMMUNITY COLLEGE	B	17,664.31	175.00	7.50	167.50		83.75
01/11/2018 492642424	MCELROY TTEE, LETHA	B AUSTIN TX COM COLLEGE DIST	B	10,143.15	100.00	7.50	92.50		46.25
01/11/2018 492642424	MCELROY TTEE, LETHA	B TEXAS A&M UNIVERSITY	B	7,675.04	75.00	7.50	67.50		33.75
01/17/2018 492642424	MCELROY TTEE, LETHA	B KLEIN TX ISD	B	12,431.25	125.00	7.50	117.50		58.75
01/17/2018 492642424	MCELROY TTEE, LETHA	B SHELDON TX ISD ULTD TAX SCH	B	12,501.23	125.00	7.50	117.50		58.75
01/22/2018 492642424	MCELROY TTEE, LETHA	B COLLEGE STATION TEX	B	25,332.48	187.50	7.50	180.00		90.00

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/25/2018 492642424	MCELROY TTEE, LETHA B	CITY OF SAN ANTONIO TEXAS	B	12,379.57	93.75	7.50	86.25		43.13
01/08/2018 676365887	SMITH, RANDALL	CUSIP 8800642R0	B	10,002.89	100.00	7.50	92.50		46.25
01/12/2018 676365887	SMITH, RANDALL	WACO TEXAS	B	17,690.64	175.00	7.50	167.50		83.75
01/17/2018 676365887	SMITH, RANDALL	KLEIN TX ISD	B	12,431.25	125.00	7.50	117.50		58.75
01/17/2018 676365887	SMITH, RANDALL	SHELDON TX ISD ULTD TAX SCH	B	12,501.23	125.00	7.50	117.50		58.75
01/22/2018 676365887	SMITH, RANDALL	COLLEGE STATION TEX	B	12,666.85	93.75	7.50	86.25		43.13
01/22/2018 799051949	WARREN (TOD), JANET B	COLLEGE STATION TEX	B	12,666.85	93.75	7.50	86.25		43.13
01/23/2018 mfc913901	SMITH 913901	MFT AMERICAN BALANCED FUND-A	B	0.00	10.55	0.00	10.55		5.28
01/23/2018 mfc913901	SMITH 913901	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.50	0.00	3.50		1.75
01/23/2018 mfc913901	SMITH 913901	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.16	0.00	4.16		2.08
01/23/2018 mfc913901	SMITH 913901	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.16	0.00	2.16		1.08
01/23/2018 mfc913901	SMITH 913901	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.11	0.00	6.11		3.06
01/23/2018 mfc913901	SMITH 913901	MFT GLOBAL BALANCED FUND-A	B	0.00	8.73	0.00	8.73		4.37
01/23/2018 mfc913901	SMITH 913901	MFT INTERNATIONAL GROWTH AND	B	0.00	9.00	0.00	9.00		4.50
01/23/2018 mfc913901	SMITH 913901	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.52	0.00	1.52		0.76
01/23/2018 mfc913901	SMITH 913901	MFT THE INVESTMENT COMPANY OF	B	0.00	1.40	0.00	1.40		0.70
* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *				<u>\$198,672.49</u>	<u>\$1,783.38</u>	<u>\$112.50</u>	<u>\$1,670.88</u>		<u>\$835.47</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$198,672.49</u>	<u>\$1,783.38</u>	<u>\$112.50</u>	<u>\$1,670.88</u>		<u>\$835.47</u>

REP LINK TRANSACTIONSBROKER: RANDY SMITH-D601 #66

01/16/2018 00084947333	JOHN J FINN CUST, MF	THE NEW ECONOMY FUND-C	B	50.00	0.50	0.00	0.50		0.10
01/16/2018 00084947364	FBO RYAN MICHAEL MF	THE NEW ECONOMY FUND-C	B	50.00	0.50	0.00	0.50		0.10
01/22/2018 00086465668	MCINTIRE, JOHN MF	NEW PERSPECTIVE FUND-C	B	500.00	5.00	0.00	5.00		1.00
01/16/2018 04000149886	JOHN J FINN CUST, MF	AMERICAN BALANCED FUND-A	B	50.00	1.00	0.00	1.00		0.20
01/16/2018 08190129109	FBO JULIETTE G MF	MFS GROWTH FUND-C	B	100.00	1.00	0.00	1.00		0.20
01/16/2018 08190129110	PEYTON O LEBLANC, MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
01/16/2018 08190129112	SARA E CANCIENNE, MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
01/16/2018 08190129113	LILLY M SUTTON MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
01/16/2018 08191029111	PRESLIE G LEBLANC MF	MFS GROWTH FUND-C	B	100.00	1.00	0.00	1.00		0.20
01/16/2018 08191270658	BEAU OUTLAW, JOHN MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
12/31/2017 (None)	VARIOUS, RANDALL	MFT COLUMBIA THREADNEEDLE	B	0.00	259.95	0.00	259.95		51.99
01/04/2018 00060872312	KNIGHT, WILLIAM	MFT WELLS FARGO GLOBAL	B	0.00	40.85	0.00	40.85		8.17
01/04/2018 00060872312	KNIGHT, WILLIAM	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	40.15	0.00	40.15		8.03
01/04/2018 00060872312	KNIGHT, WILLIAM	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	46.35	0.00	46.35		9.27
01/04/2018 01004814720	FINN, JOHN	MFT WELLS FARGO DIVERSIFIED INC	B	0.00	115.03	0.00	115.03		23.01
01/04/2018 01004814720	FINN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	10.25	0.00	10.25		2.05
01/04/2018 01004814720	FINN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	120.81	0.00	120.81		24.16
01/04/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	1.77	0.00	1.77		0.35
01/04/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	1.54	0.00	1.54		0.31
01/04/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.66	0.00	4.66		0.93
01/04/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	42.37	0.00	42.37		8.47

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/04/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	25.22	0.00	25.22		5.04
01/04/2018 01007837183	DI GRADO, JOSEPH	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	52.34	0.00	52.34		10.47
01/04/2018 01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	11.53	0.00	11.53		2.31
01/04/2018 01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	25.90	0.00	25.90		5.18
01/04/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	10.33	0.00	10.33		2.07
01/04/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	45.50	0.00	45.50		9.10
01/04/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	12.35	0.00	12.35		2.47
01/04/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.17	0.00	9.17		1.83
01/04/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	4.48	0.00	4.48		0.90
01/04/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	11.54	0.00	11.54		2.31
01/04/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	12.13	0.00	12.13		2.43
01/04/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	12.98	0.00	12.98		2.60
01/04/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	11.29	0.00	11.29		2.26
01/04/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	29.43	0.00	29.43		5.89
01/04/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	12.36	0.00	12.36		2.47
01/04/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	10.02	0.00	10.02		2.00
01/04/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	10.14	0.00	10.14		2.03
01/04/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	74.81	0.00	74.81		14.96
01/04/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	95.99	0.00	95.99		19.20
01/04/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	34.84	0.00	34.84		6.97
01/04/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	9.71	0.00	9.71		1.94
01/04/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	15.25	0.00	15.25		3.05
01/04/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	11.22	0.00	11.22		2.24
01/04/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	85.66	0.00	85.66		17.13
01/04/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	38.22	0.00	38.22		7.64
01/04/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	3.74	0.00	3.74		0.75
01/04/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.58	0.00	4.58		0.92
01/04/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	8.05	0.00	8.05		1.61
01/04/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	13.90	0.00	13.90		2.78
01/04/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	8.18	0.00	8.18		1.64
01/04/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	18.70	0.00	18.70		3.74
01/04/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	7.78	0.00	7.78		1.56
01/04/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	19.07	0.00	19.07		3.81
01/04/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	8.94	0.00	8.94		1.79
01/04/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO UTILITY AND	B	0.00	8.76	0.00	8.76		1.75
01/04/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	5.96	0.00	5.96		1.19
01/04/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	10.28	0.00	10.28		2.06
01/04/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	4.19	0.00	4.19		0.84
01/04/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	4.93	0.00	4.93		0.99
01/04/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	15.86	0.00	15.86		3.17
01/04/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	16.88	0.00	16.88		3.38
01/04/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	22.65	0.00	22.65		4.53
01/04/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	6.39	0.00	6.39		1.28
01/04/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	6.99	0.00	6.99		1.40

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/04/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.61	0.00	8.61		1.72
01/04/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	8.26	0.00	8.26		1.65
01/04/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	34.62	0.00	34.62		6.92
01/04/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	25.57	0.00	25.57		5.11
01/04/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	23.05	0.00	23.05		4.61
* RANDY SMITH-D601 SUBTOTAL *				\$1,050.00	\$1,663.08	\$0.00	\$1,663.08		\$332.63
*** REP LINK TRANSACTIONS TOTAL ***				\$1,050.00	\$1,663.08	\$0.00	\$1,663.08		\$332.63

ADJUSTMENTS

01/31/2018	: EXTENDED SETTLEMENT	0.00	0.00	0.00	0.00	-250.00
01/31/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	687.92
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$437.92

BROKER COMMISSION TOTALS

Broker Transactions	\$4,672.92
Split Transactions	\$835.47
Override Transactions	\$0.00
Adjustments	\$437.92
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$5,946.31
Year-to-date Commissions	\$12,303.21