

**COMMISSION STATEMENT****April 15, 2018**

ALAN, L133 LICHTENSTEIN
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/11/2018	HECKER, THOMAS	SEI	53,435.34	100.19	0.00	100.19	75.0	75.14
04/11/2018	MOYKA, PAMELA	SEI	11,203.06	21.01	0.00	21.01	75.0	15.76
* RIA SUBTOTAL *			\$64,638.40	\$121.20	\$0.00	\$121.20		\$90.90
*** DIRECT TRANSACTIONS TOTAL ***			\$64,638.40	\$121.20	\$0.00	\$121.20		\$90.90

BROKER COMMISSION TOTALS

Broker Transactions	\$90.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$24.56
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$115.46
Year-to-date Gross	\$276.83
Year-to-date Earnings	\$207.63

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed