



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**May 15, 2018**

05/11/2018  
Page No. 1

RICHARD CODY  
1010 Highway 71  
  
Spring Lake, NJ 07762

BROKER #: C135 Terminated 07/29/2016  
BRANCH: JILL CODY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/19/2018	SYVERSEN, AMY	MARSICO FLEXIBLE CAPITAL FUND	0.00	0.62	0.00	0.62	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.62	\$0.00	\$0.62		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$0.62	\$0.00	\$0.62		\$0.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$531.28
Year-to-date Earnings	\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed