

**COMMISSION STATEMENT****July 31, 2018**

R145-RICHARD RODRIGUEZ, JR.
 3854 E. County Road 466
 Suite A
 Oxford, FL 34484

BROKER #: R145
BRANCH: RICHARD RODRIGUEZ JR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
07/19/2018	HALL, MICHAEL	FINANICAL PLANNING	0.00	1,000.00	0.00	1,000.00	90.0	900.00
07/19/2018	CRISPEN, PAUL	FINANICAL PLANNING	0.00	500.00	0.00	500.00	90.0	450.00
07/12/2018	HALL, MICHAEL	TD AMERITRADE	394,886.92	1,821.35	0.00	1,821.35	90.0	1,639.22
07/12/2018	HALL, MICHAEL	TD AMERITRADE	92,660.38	427.38	0.00	427.38	90.0	384.64
07/12/2018	SANDS, LOUIS	TD AMERITRADE	45,293.73	208.91	0.00	208.91	90.0	188.02
07/12/2018	SANDS, LOUIS	TD AMERITRADE	68,218.83	314.65	0.00	314.65	90.0	283.19
07/12/2018	HALL, MICHAEL	TD AMERITRADE	119,139.89	549.51	0.00	549.51	90.0	494.56
07/12/2018	BROWN, CLAIRE	TD AMERITRADE	95,902.76	442.34	0.00	442.34	90.0	398.11
07/12/2018	HOEY, BARBARA	TD AMERITRADE	103,608.62	219.57	0.00	219.57	90.0	197.61
07/12/2018	HOEY, BENJAMIN	TD AMERITRADE	89,096.94	188.81	0.00	188.81	90.0	169.93
07/12/2018	BROWN, CLAIRE	TD AMERITRADE	42,501.30	196.03	0.00	196.03	90.0	176.43
07/12/2018	DURBANO, LAURA	TD AMERITRADE	49,230.87	104.33	0.00	104.33	90.0	93.90
07/12/2018	DURBANO, LAURA	TD AMERITRADE	37,990.42	80.51	0.00	80.51	90.0	72.46
07/12/2018	DURBANO, LAURA	TD AMERITRADE	5,955.92	12.62	0.00	12.62	90.0	11.36
07/12/2018	MORISON, JANICE	TD AMERITRADE	102,347.67	472.06	0.00	472.06	90.0	424.85
07/12/2018	ALLEN, SUSAN	TD AMERITRADE	26,336.74	55.81	0.00	55.81	90.0	50.23
07/12/2018	ALLEN, BRIAN	TD AMERITRADE	9,773.31	20.71	0.00	20.71	90.0	18.64
07/12/2018	MOREL, PAUL	TD AMERITRADE	4,282.16	0.00	0.00	0.00	90.0	0.00
07/12/2018	CRISPEN, PAUL	TD AMERITRADE	162,926.70	345.27	0.00	345.27	90.0	310.74
07/12/2018	HUFF RV LIVING TRUST	TD AMERITRADE	1,400,120.68	2,000.00	0.00	2,000.00	90.0	1,800.00
07/12/2018	MASIH-DAS, SAVITRI	TD AMERITRADE	4,022.38	4.96	0.00	4.96	90.0	4.46
07/12/2018	MASIH-DAS, SAVITRI	TD AMERITRADE	331,209.67	408.34	0.00	408.34	90.0	367.51
07/12/2018	MASIH-DAS, SAVITRI	TD AMERITRADE	253,818.90	173.85	0.00	173.85	90.0	156.47
* RIA SUBTOTAL *			\$3,439,324.79	\$9,547.01	\$0.00	\$9,547.01		\$8,592.33
*** DIRECT TRANSACTIONS TOTAL ***			\$3,439,324.79	\$9,547.01	\$0.00	\$9,547.01		\$8,592.33

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$8,592.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,592.33
Year-to-date Gross	\$21,982.51
Year-to-date Earnings	\$19,784.28