

**COMMISSION STATEMENT****March 15, 2018**

BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAUP
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: BUM
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^03/01/2018	RABETZ, WILLIAM	BIOSIG TECHNOLOGIES INC COM	6,800.00	30.00	27.00	3.00	100.0	0.00
		* STOCKS SUBTOTAL *	\$6,800.00	\$30.00	\$27.00	\$3.00		\$0.00
		*** CLEARING TRANSACTIONS TOTAL ***	\$6,800.00	\$30.00	\$27.00	\$3.00		\$0.00

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^02/05/2018	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.00	0.00	4.00	100.0	0.00
^02/05/2018	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.76	0.00	1.76	100.0	0.00
^02/05/2018	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.28	0.00	4.28	100.0	0.00
^02/15/2018	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	2.17	0.00	2.17	100.0	0.00
^02/15/2018	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	8.67	0.00	8.67	100.0	0.00
^02/13/2018	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	5.60	0.00	5.60	100.0	0.00
^02/20/2018	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	2.23	0.00	2.23	100.0	0.00
^02/20/2018	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	3.67	0.00	3.67	100.0	0.00
^02/21/2018	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.56	0.00	0.56	100.0	0.00
^02/22/2018	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.97	0.00	1.97	100.0	0.00
^02/22/2018	CHEW, ROLAND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.85	0.00	1.85	100.0	0.00
^02/22/2018	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.26	0.00	3.26	100.0	0.00
^02/22/2018	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.42	0.00	0.42	100.0	0.00
^02/22/2018	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.54	0.00	2.54	100.0	0.00
^02/22/2018	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.60	0.00	1.60	100.0	0.00
^02/22/2018	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.89	0.00	0.89	100.0	0.00
^02/22/2018	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.99	0.00	1.99	100.0	0.00
^02/14/2018	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	21.28	0.00	21.28	100.0	0.00
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$68.74	\$0.00	\$68.74		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$68.74	\$0.00	\$68.74		\$0.00
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ADJUSTMENTS

03/15/2018	*PERSHING: MAILCOURIER FEE - BART	0.00				0.00
03/15/2018	*PERSHING: PERSHING MARKUP	0.00				0.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed