



Concorde Investment Services, LLC

03/14/2017
Page No. 1

COMMISSION STATEMENT **March 15, 2017**

LESLIE PERSIN
1899 Orchard Lake
Suite 204
Sylvan Lake, MI 48320

BROKER #: P117 Terminated 12/31/2016
BRANCH: LESLIE PERSIN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS AMCAP A	0.00	3.11	0.00	3.11	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS AMCAP A	0.00	2.78	0.00	2.78	0.0	0.00
03/01/2017	HOOTON, RAYMOND	AM FUNDS AMCAP A	0.00	4.78	0.00	4.78	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS AMERICAN BALANCED 529A	0.00	5.25	0.00	5.25	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS AMERICAN BALANCED 529A	0.00	5.25	0.00	5.25	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	12.28	0.00	12.28	0.0	0.00
03/01/2017	MURRAY, KATHLEEN	AM FUNDS AMERICAN BALANCED A	0.00	0.85	0.00	0.85	0.0	0.00
03/01/2017	JOHNSTON, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	3.45	0.00	3.45	0.0	0.00
03/01/2017	SEMRAU, SHELLY	AM FUNDS AMERICAN BALANCED A	0.00	0.66	0.00	0.66	0.0	0.00
02/27/2017	HOOTON, KELLY	AM FUNDS AMERICAN MUTUAL A	12.50	0.25	0.00	0.25	0.0	0.00
02/27/2017	ANSON, MOLLY	AM FUNDS AMERICAN MUTUAL A	54.00	2.03	0.00	2.03	0.0	0.00
03/01/2017	TORNOW, MARGARET	AM FUNDS AMERICAN MUTUAL A	150.00	1.80	0.00	1.80	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS AMERICAN MUTUAL A	0.00	0.41	0.00	0.41	0.0	0.00
03/01/2017	TORNOW, MARGARET	AM FUNDS AMERICAN MUTUAL A	0.00	22.27	0.00	22.27	0.0	0.00
03/01/2017	HOOTON, KELLY	AM FUNDS AMERICAN MUTUAL A	0.00	9.42	0.00	9.42	0.0	0.00
03/01/2017	BANKS, ROSS	AM FUNDS AMERICAN MUTUAL A	0.00	1.00	0.00	1.00	0.0	0.00
03/01/2017	BANKS, ROSS	AM FUNDS AMERICAN MUTUAL A	0.00	13.32	0.00	13.32	0.0	0.00
03/01/2017	ANSON, MOLLY	AM FUNDS AMERICAN MUTUAL A	0.00	2.76	0.00	2.76	0.0	0.00
03/01/2017	SEMRAU, SHELLY	AM FUNDS AMERICAN MUTUAL A	0.00	0.44	0.00	0.44	0.0	0.00
03/01/2017	CONN, NANCY	AM FUNDS AMERICAN MUTUAL A	0.00	10.15	0.00	10.15	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS BOND FUND OF AMERICA 529A	0.00	3.41	0.00	3.41	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS BOND FUND OF AMERICA 529A	0.00	3.41	0.00	3.41	0.0	0.00
03/01/2017	JOHNSTON, BARBARA	AM FUNDS BOND FUND OF AMERICA A	0.00	2.18	0.00	2.18	0.0	0.00
02/28/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.28	0.00	1.28	0.0	0.00
03/01/2017	MURRAY, KATHLEEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.18	0.00	7.18	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.36	0.00	0.36	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	MURRAY, KATHLEEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.82	0.00	0.82	0.0	0.00
03/01/2017	HARMSWORTH, KRISTA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.28	0.00	1.28	0.0	0.00
03/01/2017	HOOTON, RAYMOND	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.29	0.00	3.29	0.0	0.00
03/01/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.24	0.00	0.24	0.0	0.00
03/01/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.13	0.00	1.13	0.0	0.00
03/01/2017	JOHNSTON, BARBARA	AM FUNDS CAPITAL WORLD BOND A	0.00	2.03	0.00	2.03	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.78	0.00	4.78	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.78	0.00	4.78	0.0	0.00
03/01/2017	DOYLE JR, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.86	0.00	0.86	0.0	0.00
03/01/2017	DOYLE JR, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.82	0.00	0.82	0.0	0.00
02/22/2017	DOYLE, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC A	57.43	1.58	0.00	1.58	0.0	0.00
02/22/2017	BARRY, ANNE M CHAPUSEA	AM FUNDS CAPITAL WORLD GRTH & INC A	138.18	3.80	0.00	3.80	0.0	0.00
02/22/2017	MURRAY, KATHLEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	0.0	0.00
02/28/2017	TYRANSKI, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	16.50	0.83	0.00	0.83	0.0	0.00
02/28/2017	COFFMAN, ANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.75	0.00	3.75	0.0	0.00
03/01/2017	TORNOW, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC A	150.00	1.80	0.00	1.80	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.93	0.00	2.93	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.59	0.00	2.59	0.0	0.00
03/01/2017	TORNOW, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	24.00	0.00	24.00	0.0	0.00
03/01/2017	DOYLE, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.75	0.00	9.75	0.0	0.00
03/01/2017	BARRY, ANNE M CHAPUSEA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	24.54	0.00	24.54	0.0	0.00
03/01/2017	SAMAL, PAULA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.06	0.00	6.06	0.0	0.00
03/01/2017	MURRAY, KATHLEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	30.25	0.00	30.25	0.0	0.00
03/01/2017	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.25	0.00	9.25	0.0	0.00
03/01/2017	HARMSWORTH, KRISTA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.31	0.00	1.31	0.0	0.00
03/01/2017	TYRANSKI, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.54	0.00	2.54	0.0	0.00
03/01/2017	BANKS, ROSS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.94	0.00	12.94	0.0	0.00
03/01/2017	JOHNSTON, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.16	0.00	1.16	0.0	0.00
03/01/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.20	0.00	0.20	0.0	0.00
03/01/2017	WHITE, KRISTIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.92	0.00	7.92	0.0	0.00
03/01/2017	CONN, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.96	0.00	7.96	0.0	0.00
03/02/2017	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	0.0	0.00
03/01/2017	DOYLE JR, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.77	0.00	0.77	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	DOYLE JR, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.73	0.00	0.73	0.0	0.00
02/22/2017	DOYLE, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND A	57.42	1.58	0.00	1.58	0.0	0.00
02/22/2017	ANSON, MOLLY	AM FUNDS EUROPACIFIC GROWTH FUND A	44.14	1.66	0.00	1.66	0.0	0.00
02/22/2017	MURRAY, KATHLEEN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	0.0	0.00
02/22/2017	HARMSWORTH, KRISTA	AM FUNDS EUROPACIFIC GROWTH FUND A	36.30	1.36	0.00	1.36	0.0	0.00
02/22/2017	LAPRATT-DOYLE, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	12.50	0.34	0.00	0.34	0.0	0.00
02/27/2017	HOOTON, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND A	12.50	0.25	0.00	0.25	0.0	0.00
02/28/2017	COFFMAN, ANITA	AM FUNDS EUROPACIFIC GROWTH FUND A	75.00	3.75	0.00	3.75	0.0	0.00
03/01/2017	HOOTON, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.66	0.00	6.66	0.0	0.00
03/01/2017	GECHTER, KENNETH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.92	0.00	2.92	0.0	0.00
03/01/2017	DOYLE, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.06	0.00	7.06	0.0	0.00
03/01/2017	ANSON, MOLLY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.86	0.00	6.86	0.0	0.00
03/01/2017	SAMAL, PAULA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.32	0.00	5.32	0.0	0.00
03/01/2017	MURRAY, KATHLEEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.65	0.00	8.65	0.0	0.00
03/01/2017	HARMSWORTH, KRISTA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.64	0.00	5.64	0.0	0.00
03/01/2017	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.51	0.00	7.51	0.0	0.00
03/01/2017	LAPRATT-DOYLE, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.18	0.00	3.18	0.0	0.00
03/01/2017	CONN, NANCY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.64	0.00	6.64	0.0	0.00
03/02/2017	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	0.0	0.00
02/22/2017	ANSON, MOLLY	AM FUNDS FUNDAMENTAL INVESTORS A	44.14	1.66	0.00	1.66	0.0	0.00
02/27/2017	HOOTON, KELLY	AM FUNDS FUNDAMENTAL INVESTORS A	12.50	0.25	0.00	0.25	0.0	0.00
03/01/2017	HOOTON, KELLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.99	0.00	9.99	0.0	0.00
03/01/2017	ANSON, MOLLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	10.03	0.00	10.03	0.0	0.00
03/01/2017	BANKS, ROSS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.82	0.00	0.82	0.0	0.00
03/01/2017	JOHNSTON, BARBARA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.75	0.00	3.75	0.0	0.00
03/01/2017	HOOTON, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.55	0.00	4.55	0.0	0.00
03/01/2017	SEMRAU, SHELLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.38	0.00	0.38	0.0	0.00
03/01/2017	PERSIN, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.46	0.00	2.46	0.0	0.00
03/01/2017	KOGELSCHATZ, RICHARD	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.98	0.00	2.98	0.0	0.00
03/01/2017	KOGELSCHATZ, RICHARD	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.95	0.00	2.95	0.0	0.00
03/01/2017	DOYLE JR, DENNIS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.01	0.00	1.01	0.0	0.00
03/01/2017	DOYLE JR, DENNIS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.96	0.00	0.96	0.0	0.00
03/01/2017	THOMPSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.04	0.00	0.04	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/21/2017	ENGEL, KATHERINE	AM FUNDS GROWTH FUND OF AMERICA A	114.58	5.73	0.00	5.73	0.0	0.00
02/22/2017	DOYLE, DENNIS	AM FUNDS GROWTH FUND OF AMERICA A	57.42	1.58	0.00	1.58	0.0	0.00
02/22/2017	BARRY, ANNE M CHAPUSEA	AM FUNDS GROWTH FUND OF AMERICA A	134.11	3.69	0.00	3.69	0.0	0.00
02/22/2017	ANSON, MOLLY	AM FUNDS GROWTH FUND OF AMERICA A	45.48	1.71	0.00	1.71	0.0	0.00
02/22/2017	HARMSWORTH, KRISTA	AM FUNDS GROWTH FUND OF AMERICA A	37.40	1.40	0.00	1.40	0.0	0.00
02/27/2017	JOHNSTON, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	0.0	0.00
02/28/2017	TYRANSKI, KELLY	AM FUNDS GROWTH FUND OF AMERICA A	17.00	0.85	0.00	0.85	0.0	0.00
02/28/2017	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.28	0.00	1.28	0.0	0.00
02/28/2017	THOMPSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	114.58	5.73	0.00	5.73	0.0	0.00
02/28/2017	COFFMAN, ANITA	AM FUNDS GROWTH FUND OF AMERICA A	75.00	3.75	0.00	3.75	0.0	0.00
03/01/2017	TORNOW, MARGARET	AM FUNDS GROWTH FUND OF AMERICA A	150.00	1.80	0.00	1.80	0.0	0.00
03/01/2017	TORNOW, MARGARET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	30.11	0.00	30.11	0.0	0.00
03/01/2017	DOYLE, DENNIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.64	0.00	11.64	0.0	0.00
03/01/2017	BARRY, ANNE M CHAPUSEA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	29.95	0.00	29.95	0.0	0.00
03/01/2017	ANSON, MOLLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.23	0.00	10.23	0.0	0.00
03/01/2017	MURRAY, KATHLEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.42	0.00	6.42	0.0	0.00
03/01/2017	HARMSWORTH, KRISTA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.36	0.00	11.36	0.0	0.00
03/01/2017	SINGER, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.30	0.00	1.30	0.0	0.00
03/01/2017	TYRANSKI, KELLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.15	0.00	3.15	0.0	0.00
03/01/2017	BANKS, ROSS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.79	0.00	10.79	0.0	0.00
03/01/2017	JOHNSTON, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.71	0.00	3.71	0.0	0.00
03/01/2017	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.26	0.00	1.26	0.0	0.00
03/01/2017	ENGEL, KATHERINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	0.0	0.00
03/01/2017	THOMPSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	0.0	0.00
02/22/2017	DOYLE, DENNIS	AM FUNDS ICA A	57.42	1.58	0.00	1.58	0.0	0.00
03/01/2017	TORNOW, MARGARET	AM FUNDS ICA A	150.00	1.80	0.00	1.80	0.0	0.00
03/01/2017	HOOTON, RAYMOND	AM FUNDS ICA A	0.00	6.81	0.00	6.81	0.0	0.00
03/01/2017	MURRAY, KATHLEEN	AM FUNDS ICA A	0.00	10.39	0.00	10.39	0.0	0.00
03/01/2017	TORNOW, MARGARET	AM FUNDS ICA A	0.00	11.44	0.00	11.44	0.0	0.00
03/01/2017	CAMUGLIA, GREG	AM FUNDS ICA A	0.00	0.88	0.00	0.88	0.0	0.00
03/01/2017	DOYLE, DENNIS	AM FUNDS ICA A	0.00	11.24	0.00	11.24	0.0	0.00
03/01/2017	BANKS, ROSS	AM FUNDS ICA A	0.00	0.53	0.00	0.53	0.0	0.00
03/01/2017	HOOTON, RAYMOND	AM FUNDS ICA A	0.00	4.22	0.00	4.22	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	SEMRAU, SHELLY	AM FUNDS ICA A	0.00	0.30	0.00	0.30	0.0	0.00
03/01/2017	HERMAN, TERI	AM FUNDS ICA A	0.00	14.01	0.00	14.01	0.0	0.00
03/01/2017	MURRAY, KATHLEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.05	0.00	1.05	0.0	0.00
03/01/2017	HARMSWORTH, KRISTA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.38	0.00	1.38	0.0	0.00
03/01/2017	DOYLE JR, DENNIS	AM FUNDS INTL GROWTH AND INCOME 529	0.00	0.72	0.00	0.72	0.0	0.00
03/01/2017	DOYLE JR, DENNIS	AM FUNDS INTL GROWTH AND INCOME 529	0.00	0.68	0.00	0.68	0.0	0.00
02/22/2017	MURRAY, KATHLEEN	AM FUNDS INTL GROWTH AND INCOME A	50.00	1.38	0.00	1.38	0.0	0.00
02/28/2017	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.28	0.00	1.28	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.30	0.00	0.30	0.0	0.00
03/01/2017	DOYLE, DENNIS	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.10	0.00	3.10	0.0	0.00
03/01/2017	MURRAY, KATHLEEN	AM FUNDS INTL GROWTH AND INCOME A	0.00	8.23	0.00	8.23	0.0	0.00
03/01/2017	HARMSWORTH, KRISTA	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.03	0.00	1.03	0.0	0.00
03/01/2017	BANKS, ROSS	AM FUNDS INTL GROWTH AND INCOME A	0.00	10.54	0.00	10.54	0.0	0.00
03/01/2017	JOHNSTON, BARBARA	AM FUNDS INTL GROWTH AND INCOME A	0.00	2.64	0.00	2.64	0.0	0.00
03/01/2017	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.10	0.00	1.10	0.0	0.00
03/01/2017	DOYLE, DENNIS	AM FUNDS INTL GROWTH AND INCOME C	0.00	6.24	0.00	6.24	0.0	0.00
02/22/2017	LAPRATT-DOYLE, MARY	AM FUNDS NEW ECONOMY A	12.50	0.34	0.00	0.34	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS NEW ECONOMY A	0.00	0.17	0.00	0.17	0.0	0.00
03/01/2017	GECHTER, KENNETH	AM FUNDS NEW ECONOMY A	0.00	4.13	0.00	4.13	0.0	0.00
03/01/2017	SAMAL, PAULA	AM FUNDS NEW ECONOMY A	0.00	7.13	0.00	7.13	0.0	0.00
03/01/2017	LAPRATT-DOYLE, MARY	AM FUNDS NEW ECONOMY A	0.00	4.80	0.00	4.80	0.0	0.00
03/01/2017	BANKS, ROSS	AM FUNDS NEW ECONOMY A	0.00	0.56	0.00	0.56	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS NEW PERSPECTIVE 529A	0.00	4.04	0.00	4.04	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS NEW PERSPECTIVE 529A	0.00	4.04	0.00	4.04	0.0	0.00
03/01/2017	DOYLE JR, DENNIS	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.90	0.00	0.90	0.0	0.00
03/01/2017	DOYLE JR, DENNIS	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.85	0.00	0.85	0.0	0.00
02/22/2017	BARRY, ANNE M CHAPUSEA	AM FUNDS NEW PERSPECTIVE A	134.11	3.69	0.00	3.69	0.0	0.00
02/22/2017	MURRAY, KATHLEEN	AM FUNDS NEW PERSPECTIVE A	50.00	1.38	0.00	1.38	0.0	0.00
02/22/2017	HARMSWORTH, KRISTA	AM FUNDS NEW PERSPECTIVE A	36.30	1.36	0.00	1.36	0.0	0.00
02/22/2017	LAPRATT-DOYLE, MARY	AM FUNDS NEW PERSPECTIVE A	12.50	0.34	0.00	0.34	0.0	0.00
02/27/2017	HOOTON, KELLY	AM FUNDS NEW PERSPECTIVE A	12.50	0.25	0.00	0.25	0.0	0.00
02/27/2017	ANSON, MOLLY	AM FUNDS NEW PERSPECTIVE A	54.00	2.03	0.00	2.03	0.0	0.00
02/28/2017	TYRANSKI, KELLY	AM FUNDS NEW PERSPECTIVE A	16.50	0.83	0.00	0.83	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	HOOTON, KELLY	AM FUNDS NEW PERSPECTIVE A	0.00	8.81	0.00	8.81	0.0	0.00
03/01/2017	CAMUGLIA, GREG	AM FUNDS NEW PERSPECTIVE A	0.00	0.99	0.00	0.99	0.0	0.00
03/01/2017	GECHTER, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.41	0.00	0.41	0.0	0.00
03/01/2017	DOYLE, DENNIS	AM FUNDS NEW PERSPECTIVE A	0.00	4.24	0.00	4.24	0.0	0.00
03/01/2017	BARRY, ANNE M CHAPUSEA	AM FUNDS NEW PERSPECTIVE A	0.00	25.77	0.00	25.77	0.0	0.00
03/01/2017	MURRAY, KATHLEEN	AM FUNDS NEW PERSPECTIVE A	0.00	10.68	0.00	10.68	0.0	0.00
03/01/2017	HARMSWORTH, KRISTA	AM FUNDS NEW PERSPECTIVE A	0.00	6.96	0.00	6.96	0.0	0.00
03/01/2017	SINGER, MICHELLE	AM FUNDS NEW PERSPECTIVE A	0.00	1.13	0.00	1.13	0.0	0.00
03/01/2017	LAPRATT-DOYLE, MARY	AM FUNDS NEW PERSPECTIVE A	0.00	4.10	0.00	4.10	0.0	0.00
03/01/2017	TYRANSKI, KELLY	AM FUNDS NEW PERSPECTIVE A	0.00	2.65	0.00	2.65	0.0	0.00
03/01/2017	BANKS, ROSS	AM FUNDS NEW PERSPECTIVE A	0.00	9.85	0.00	9.85	0.0	0.00
03/01/2017	ANSON, MOLLY	AM FUNDS NEW PERSPECTIVE A	0.00	2.58	0.00	2.58	0.0	0.00
03/01/2017	HOOTON, RAYMOND	AM FUNDS NEW PERSPECTIVE A	0.00	3.99	0.00	3.99	0.0	0.00
03/01/2017	CONN, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	9.56	0.00	9.56	0.0	0.00
03/01/2017	PERSIN, JEFFREY	AM FUNDS NEW WORLD 529A	0.00	1.72	0.00	1.72	0.0	0.00
02/28/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.28	0.00	1.28	0.0	0.00
02/28/2017	COFFMAN, ANITA	AM FUNDS NEW WORLD A	75.00	3.75	0.00	3.75	0.0	0.00
03/01/2017	DOYLE, DENNIS	AM FUNDS NEW WORLD A	0.00	2.92	0.00	2.92	0.0	0.00
03/01/2017	SAMAL, PAULA	AM FUNDS NEW WORLD A	0.00	5.04	0.00	5.04	0.0	0.00
03/01/2017	HARMSWORTH, KRISTA	AM FUNDS NEW WORLD A	0.00	4.06	0.00	4.06	0.0	0.00
03/01/2017	BANKS, ROSS	AM FUNDS NEW WORLD A	0.00	10.21	0.00	10.21	0.0	0.00
03/01/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	0.00	0.19	0.00	0.19	0.0	0.00
03/01/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	0.00	1.15	0.00	1.15	0.0	0.00
03/01/2017	CONN, NANCY	AM FUNDS NEW WORLD A	0.00	6.84	0.00	6.84	0.0	0.00
03/01/2017	HOOTON, RAYMOND	AM FUNDS SMALLCAP WORLD A	0.00	3.69	0.00	3.69	0.0	0.00
03/01/2017	SEMRAU, SHELLY	AM FUNDS SMALLCAP WORLD A	0.00	0.49	0.00	0.49	0.0	0.00
03/01/2017	WHITE, KRISTIN	AM FUNDS SMALLCAP WORLD C	0.00	90.69	0.00	90.69	0.0	0.00
02/22/2017	LAPRATT-DOYLE, MARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	12.50	0.34	0.00	0.34	0.0	0.00
02/28/2017	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.28	0.00	1.28	0.0	0.00
03/01/2017	TORNOW, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	150.00	1.80	0.00	1.80	0.0	0.00
03/01/2017	TORNOW, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	36.34	0.00	36.34	0.0	0.00
03/01/2017	LAPRATT-DOYLE, MARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.86	0.00	4.86	0.0	0.00
03/01/2017	BANKS, ROSS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.58	0.00	0.58	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/01/2017	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.22	0.00	1.22	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.62	0.00	3.62	0.0	0.00
03/01/2017	KOGELSCHATZ, KAREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.62	0.00	3.62	0.0	0.00
/ /	SOCHA, KRISTEN	FIDELITY ADV GROWTH OPP A	0.00	1.11	0.00	1.11	0.0	0.00
/ /	SOCHA, KRISTEN	FIDELITY EQUITY INCOME A	100.00	2.50	0.00	2.50	0.0	0.00
/ /	SOCHA, KRISTEN	FIDELITY EQUITY INCOME A	0.00	0.96	0.00	0.96	0.0	0.00
/ /	SOCHA, KRISTEN	FIDELITY TREASURY FUND	0.00	0.27	0.00	0.27	0.0	0.00
02/27/2017	KALANQUIN, THOMAS	FRANKLIN GROWTH A	89.64	3.36	0.00	3.36	0.0	0.00
03/01/2017	FLOWERS, AMY	FRANKLIN GROWTH A	50.00	2.50	0.00	2.50	0.0	0.00
03/01/2017	STRATZ, SARAH	FRANKLIN GROWTH A	100.00	5.00	0.00	5.00	0.0	0.00
02/27/2017	KALANQUIN, THOMAS	FRANKLIN HIGH INCOME A	89.64	3.59	0.00	3.59	0.0	0.00
02/27/2017	KALANQUIN, THOMAS	FRANKLIN INCOME A	89.64	3.59	0.00	3.59	0.0	0.00
02/27/2017	KALANQUIN, THOMAS	FRANKLIN NATURAL RESOURCES A	91.80	3.44	0.00	3.44	0.0	0.00
02/27/2017	KALANQUIN, THOMAS	FT MUTUAL EUROPEAN FUND A	89.64	3.36	0.00	3.36	0.0	0.00
/ /	WHITE, DYLAN	JH 529 PORT 2021-2024 A	0.00	0.25	0.00	0.25	0.0	0.00
/ /	WHITE, DYLAN	JH 529 PORT 2021-2024 A	0.00	0.25	0.00	0.25	0.0	0.00
02/27/2017	LAMBERT, JOANNE	OPPENHEIMER CAPITAL APPRECIATION A	56.00	2.66	0.00	2.66	0.0	0.00
02/27/2017	RAMIN, DONNA	OPPENHEIMER CAPITAL APPRECIATION A	30.00	1.20	0.00	1.20	0.0	0.00
02/27/2017	MATOUSEK, LAURA	OPPENHEIMER CAPITAL APPRECIATION A	100.00	4.75	0.00	4.75	0.0	0.00
02/28/2017	YODZIO, JESSICA	OPPENHEIMER CAPITAL APPRECIATION A	54.45	2.59	0.00	2.59	0.0	0.00
02/27/2017	RAMIN, DONNA	OPPENHEIMER DEVELOPING MARKETS A	60.00	2.40	0.00	2.40	0.0	0.00
02/28/2017	YODZIO, JESSICA	OPPENHEIMER DEVELOPING MARKETS A	56.10	2.66	0.00	2.66	0.0	0.00
02/27/2017	LAMBERT, JOANNE	OPPENHEIMER DISCOVERY A	14.00	0.67	0.00	0.67	0.0	0.00
02/27/2017	RAMIN, DONNA	OPPENHEIMER DISCOVERY A	30.00	1.20	0.00	1.20	0.0	0.00
02/28/2017	YODZIO, JESSICA	OPPENHEIMER EQUITY A	54.45	2.59	0.00	2.59	0.0	0.00
02/27/2017	LAMBERT, JOANNE	OPPENHEIMER GLOBAL OPPORTUNITIES A	28.00	1.33	0.00	1.33	0.0	0.00
02/27/2017	RAMIN, DONNA	OPPENHEIMER GLOBAL OPPORTUNITIES A	30.00	1.20	0.00	1.20	0.0	0.00
02/27/2017	RAMIN, DONNA	OPPENHEIMER RISING DIVIDENDS A	75.00	3.00	0.00	3.00	0.0	0.00
02/27/2017	LAMBERT, JOANNE	OPPENHEIMER SMALL & MIDCAP GROWTH A	28.00	1.33	0.00	1.33	0.0	0.00
02/27/2017	LAMBERT, JOANNE	OPPENHEIMER VALUE A	14.00	0.67	0.00	0.67	0.0	0.00
02/27/2017	KALANQUIN, THOMAS	TEMPLETON GROWTH A	89.64	3.36	0.00	3.36	0.0	0.00
03/01/2017	ENGLISH, RANDY	TEMPLETON GROWTH A	25.00	1.25	0.00	1.25	0.0	0.00
03/01/2017	PEARSON, PAMELA	TEMPLETON GROWTH A	85.00	4.25	0.00	4.25	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	FLOWERS, AMY	TEMPLETON GROWTH A	50.00	2.50	0.00	2.50	0.0	0.00
03/01/2017	ENGLISH, RANDY	TEMPLETON WORLD A	25.00	1.25	0.00	1.25	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$4,572.01	\$1,053.39	\$0.00	\$1,053.39		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$4,572.01	\$1,053.39	\$0.00	\$1,053.39		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$5,116.77
Year-to-date Earnings	\$3,112.60

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