



COMMISSION STATEMENT

August 06, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/30/2018	***-**-325X - WARD, JAMES	AMERICAN 2035 TARGET DATE RETIREMNT	100.01	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010789	BUY 7 Units @15.1000						
07/30/2018	***-**-007X - MONACO, CARMINE	AMERICAN 2035 TARGET DATE RETIREMNT	1,324.99	13.25	3.00	10.25	80.0	8.20
	ACCOUNT #: LSR010800	BUY 88 Units @15.1000						
07/30/2018	***-**-310X - HARDWICK, TODD	AMERICAN 2035 TARGET DATE RETIREMNT	90.00	0.90	3.00	-2.10	100.0	-2.10
	ACCOUNT #: LSR011310	BUY 6 Units @15.1000						
08/01/2018	***-**-524X - CONLEY, WILLIAM	AMERICAN BALANCED FUND-C	175.01	1.75	3.00	-1.25	100.0	-1.25
	ACCOUNT #: LSR012483	BUY 6 Units @27.4400						
07/30/2018	***-**-968X - GAW, LELAND	AMERICAN FUNDS 2030 TARGET DATE-C	1,219.99	12.20	3.00	9.20	80.0	7.36
	ACCOUNT #: LSR010777	BUY 84 Units @14.5400						
07/30/2018	***-**-552X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	800.01	8.00	3.00	5.00	80.0	4.00
	ACCOUNT #: LSR010780	BUY 55 Units @14.5400						
07/30/2018	***-**-558X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	100.01	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010788	BUY 7 Units @14.5400						
* MUTUAL FUNDS SUBTOTAL *			\$3,810.02	\$38.10	\$21.00	\$17.10		\$12.21
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/06/2018	***-**-235I - DASILVA, BRANDON	CHET ADVISOR 529 TRAILS	0.00	96.82	0.00	96.82	80.0	77.46
	ACCOUNT #: 68701113601	BUY 0 Units @0.0000						
08/06/2018	***-**-447I - BELVAL, PAUL	SAMMONS MF TRAILS	0.00	72.47	0.00	72.47	80.0	57.98
	ACCOUNT #: 7200002672	BUY 0 Units @0.0000						
08/06/2018	***-**-8503 - DEPINHO, MARY	SAMMONS MF TRAILS	0.00	30.83	0.00	30.83	80.0	24.66
	ACCOUNT #: 7200004964	BUY 0 Units @0.0000						
08/06/2018	***-**-8503 - DEPINHO, MARY	SAMMONS MF TRAILS	0.00	12.91	0.00	12.91	80.0	10.33
	ACCOUNT #: 7200004964	BUY 0 Units @0.0000						
08/06/2018	***-**-700I - NIHILL, TODD	SAMMONS MF TRAILS	0.00	35.82	0.00	35.82	80.0	28.66
	ACCOUNT #: 7200019445	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$248.85	\$0.00	\$248.85		\$199.09



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	***-**-72IN - ROSEN, THOMAS	JACKSON PERSEPCTIVE ADVANTAGE A	0.00	42.97	0.00	42.97	80.0	34.38
	ACCOUNT #: 009102606A	BUY 0 Units @0.0000						
07/31/2018	***-**-3912 - LAFUENTE, CARLOS	MASS MUTUAL VA DTCC TRAILS	0.00	201.26	0.00	201.26	80.0	161.01
	ACCOUNT #: EVN46018411	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$244.23	\$0.00	\$244.23		\$195.39
*** BROKER TRANSACTIONS TOTAL ***			\$3,810.02	\$531.18	\$21.00	\$510.18		\$406.69

BROKER COMMISSION TOTALS

Broker Transactions	\$406.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$406.69
Year-to-date Earnings	\$62,237.08