

COMMISSION STATEMENT**August 31, 2017****STEPHEN SUSLOW**

14 WEST 12TH STREET

APT 6B

NEW YORK, NY 10011

BROKER #: 61

BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
07/31/2017	75500663 BOSGANG, ALVIN	DELTA AIR LINES INC DEL COM	B	143,100.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2017	75500663 BOSGANG, ALVIN	DELTA AIR LINES INC DEL COM	B	135,200.00	0.00	7.00	-7.00	85.0	-7.00
08/10/2017	75500663 BOSGANG, ALVIN	DELTA AIR LINES INC DEL COM	B	154,500.00	0.00	7.00	-7.00	85.0	-7.00
08/14/2017	75500663 BOSGANG, ALVIN	DELTA AIR LINES INC DEL COM	S	100,220.00	0.00	7.00	-7.00	85.0	-7.00
08/15/2017	75500663 BOSGANG, ALVIN	DELTA AIR LINES INC DEL COM	S	17,531.50	0.00	7.00	-7.00	85.0	-7.00
08/16/2017	75500663 BOSGANG, ALVIN	DELTA AIR LINES INC DEL COM	S	299,136.50	0.00	7.00	-7.00	85.0	-7.00
08/24/2017	75500663 BOSGANG, ALVIN	DELTA AIR LINES INC DEL COM	B	100,000.00	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75500864 WINICK, JESSE	BANK OF AMERICA CORP	S	980,000.00	0.00	7.00	-7.00	85.0	-7.00
08/11/2017	75500864 WINICK, JESSE	DELTA AIR LINES INC DEL COM	B	255,000.00	0.00	7.00	-7.00	85.0	-7.00
08/21/2017	75500864 WINICK, JESSE	DELTA AIR LINES INC DEL COM	B	51,000.00	0.00	7.00	-7.00	85.0	-7.00
08/24/2017	75500864 WINICK, JESSE	BANK OF AMERICA CORP	B	249,900.00	0.00	7.00	-7.00	85.0	-7.00
08/25/2017	75500864 WINICK, JESSE	BANK OF AMERICA CORP	B	240,100.00	0.00	7.00	-7.00	85.0	-7.00
08/17/2017	75500881 SUSLOW, RONNIE	BANK OF AMERICA CORP	B	29,400.00	0.00	7.00	-7.00	85.0	-7.00
08/18/2017	75500881 SUSLOW, RONNIE	BANK OF AMERICA CORP	B	460,600.00	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75500918 SUSLOW, ELISSA	BANK OF AMERICA CORP	S	480,000.00	0.00	7.00	-7.00	85.0	-7.00
08/11/2017	75500918 SUSLOW, ELISSA	BANK OF AMERICA CORP	B	98,000.00	0.00	7.00	-7.00	85.0	-7.00
08/11/2017	75500918 SUSLOW, ELISSA	DELTA AIR LINES INC DEL COM	B	255,000.00	0.00	7.00	-7.00	85.0	-7.00
08/17/2017	75500918 SUSLOW, ELISSA	BANK OF AMERICA CORP	B	31,850.00	0.00	7.00	-7.00	85.0	-7.00
08/18/2017	75500918 SUSLOW, ELISSA	BANK OF AMERICA CORP	B	458,150.00	0.00	7.00	-7.00	85.0	-7.00
08/24/2017	75500918 SUSLOW, ELISSA	BANK OF AMERICA CORP	B	56,350.00	0.00	7.00	-7.00	85.0	-7.00
08/25/2017	75500918 SUSLOW, ELISSA	BANK OF AMERICA CORP	B	188,650.00	0.00	7.00	-7.00	85.0	-7.00
07/27/2017	75500933 LAWRENCE, IRA	GNC HLDGS INC COM CL A	S	30,542.70	10.00	7.00	3.00	85.0	1.50
07/28/2017	75500933 LAWRENCE, IRA	AZUL S A SPONSORED ADR REPSTG PFD I S	S	25,086.10	10.00	7.00	3.00	85.0	1.50
08/10/2017	75500933 LAWRENCE, IRA	EMBRAER S A ADR EACH REP 4 COM STK	S	20,900.00	10.00	7.00	3.00	85.0	1.50
08/11/2017	75500933 LAWRENCE, IRA	AZUL S A SPONSORED ADR REPSTG PFD I S	S	24,986.30	10.00	7.00	3.00	85.0	1.50
08/11/2017	75500933 LAWRENCE, IRA	GOL LINHAS AEREAS INTELIGENTES SA S S	S	15,390.00	10.00	7.00	3.00	85.0	1.50
08/17/2017	75500933 LAWRENCE, IRA	TIM PARTICIPACOES S A SPONSORED ADR B	S	35,760.00	10.00	7.00	3.00	85.0	1.50
08/22/2017	75500933 LAWRENCE, IRA	MOMO INC ADR	B	36,624.00	10.00	7.00	3.00	85.0	1.50
08/28/2017	75500933 LAWRENCE, IRA	DELTA AIR LINES INC DEL COM	B	46,887.00	10.00	7.00	3.00	85.0	1.50
08/01/2017	75501098 WINICK FAMILY	OCWEN FINANCIAL CORPORATION	B	58,559.00	20.00	7.00	13.00	85.0	10.00
08/04/2017	75501098 WINICK FAMILY	BANK OF AMERICA CORP	S	735,000.00	0.00	7.00	-7.00	85.0	-7.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/07/2017	75501098	WINICK FAMILY	SABRE CORPORATION	S	67,760.00	20.00	7.00	13.00	85.0	10.00
08/25/2017	75501098	WINICK FAMILY	BANK OF AMERICA CORP	B	490,000.00	0.00	7.00	-7.00	85.0	-7.00
08/14/2017	75501161	LAWRENCE, DAVID	AZUL S A SPONSORED ADR REPSTG PFD I S	S	25,790.00	10.00	7.00	3.00	85.0	1.50
07/27/2017	75501189	IRA S LAWRENCE TTEE	NUTANIX INC CL A	S	32,943.90	10.00	7.00	3.00	85.0	1.50
08/23/2017	75501189	IRA S LAWRENCE TTEE	LILLY ELI & CO	B	78,140.00	10.00	7.00	3.00	85.0	1.50
07/27/2017	75501196	LAWRENCE, ADELLE	HAIN CELESTIAL GROUP INC	S	44,290.00	10.00	7.00	3.00	85.0	1.50
07/28/2017	75501196	LAWRENCE, ADELLE	AZUL S A SPONSORED ADR REPSTG PFD I S	S	24,540.00	10.00	7.00	3.00	85.0	1.50
08/17/2017	75501196	LAWRENCE, ADELLE	LILLY ELI & CO	B	78,000.00	10.00	7.00	3.00	85.0	1.50
08/23/2017	75501196	LAWRENCE, ADELLE	FRANKLIN RESOURCES INC (DEL)	B	38,259.00	10.00	7.00	3.00	85.0	1.50
08/04/2017	75502037	WINICK, BARBARA	BANK OF AMERICA CORP	S	588,000.00	0.00	7.00	-7.00	85.0	-7.00
08/18/2017	75502037	WINICK, BARBARA	BANK OF AMERICA CORP	B	480,000.00	0.00	7.00	-7.00	85.0	-7.00
* STOCKS SUBTOTAL *					\$7,761,146.00	\$190.00	\$294.00	\$-104.00		\$-132.50

PRODUCT CATEGORY: OPTIONS

07/31/2017	75500663	BOSGANG, ALVIN		CALL DAL	08/18/17	51.50	S	3,821.00	180.00	7.00	173.00	85.0	146.00
07/31/2017	75500663	BOSGANG, ALVIN		CALL DAL	08/18/17	51	S	3,129.00	156.00	7.00	149.00	85.0	125.60
07/31/2017	75500663	BOSGANG, ALVIN		PUT AABA	08/18/17	55.50	S	1,930.00	90.00	7.00	83.00	85.0	69.50
07/31/2017	75500663	BOSGANG, ALVIN		PUT AABA	08/18/17	56	S	2,140.00	75.00	7.00	68.00	85.0	56.75
07/31/2017	75500663	BOSGANG, ALVIN		PUT AABA	08/11/17	53	S	1,500.00	75.00	7.00	68.00	85.0	56.75
07/31/2017	75500663	BOSGANG, ALVIN		PUT AABA	08/25/17	56	S	3,360.00	90.00	7.00	83.00	85.0	69.50
07/31/2017	75500663	BOSGANG, ALVIN		PUT AABA	08/25/17	56.50	S	3,170.00	105.00	7.00	98.00	85.0	82.25
08/01/2017	75500663	BOSGANG, ALVIN		CALL DAL	08/18/17	51	S	4,400.00	150.00	7.00	143.00	85.0	120.50
08/01/2017	75500663	BOSGANG, ALVIN		CALL DAL	08/18/17	51.50	S	9,580.00	450.00	7.00	443.00	85.0	375.50
08/01/2017	75500663	BOSGANG, ALVIN		CALL DAL	08/18/17	51	S	2,900.00	145.00	7.00	138.00	85.0	116.25
08/01/2017	75500663	BOSGANG, ALVIN		CALL DAL	08/25/17	51	S	53,822.00	0.00	7.00	-7.00	85.0	-7.00
08/01/2017	75500663	BOSGANG, ALVIN		PUT DAL	08/04/17	53	B	328,388.00	0.00	7.00	-7.00	85.0	-7.00
08/02/2017	75500663	BOSGANG, ALVIN		PUT AABA	08/18/17	55	S	2,930.00	90.00	7.00	83.00	85.0	69.50
08/02/2017	75500663	BOSGANG, ALVIN		PUT AABA	08/18/17	54.50	S	3,940.00	150.00	7.00	143.00	85.0	120.50
08/03/2017	75500663	BOSGANG, ALVIN		PUT AABA	08/25/17	55	S	22,070.00	570.00	7.00	563.00	85.0	477.50
08/03/2017	75500663	BOSGANG, ALVIN		PUT AABA	08/25/17	54.50	S	15,060.00	450.00	7.00	443.00	85.0	375.50
08/03/2017	75500663	BOSGANG, ALVIN		PUT AABA	08/25/17	56.50	S	12,055.00	450.00	7.00	443.00	85.0	375.50
08/04/2017	75500663	BOSGANG, ALVIN		PUT AABA	08/25/17	56.50	S	56,190.00	1,395.00	7.00	1,388.00	85.0	1,178.75
08/04/2017	75500663	BOSGANG, ALVIN		PUT AABA	08/25/17	55	S	38,043.00	1,200.00	7.00	1,193.00	85.0	1,013.00
08/04/2017	75500663	BOSGANG, ALVIN		PUT DAL	08/04/17	53	B	275,330.00	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75500663	BOSGANG, ALVIN		PUT DAL	08/25/17	50.50	S	99,800.00	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75500663	BOSGANG, ALVIN		PUT DAL	08/25/17	50	S	40,160.00	0.00	7.00	-7.00	85.0	-7.00
08/07/2017	75500663	BOSGANG, ALVIN		PUT AABA	08/25/17	56.50	S	42,720.00	1,440.00	7.00	1,433.00	85.0	1,217.00
08/07/2017	75500663	BOSGANG, ALVIN		PUT AABA	08/25/17	56	S	33,904.00	1,410.00	7.00	1,403.00	85.0	1,191.50
08/08/2017	75500663	BOSGANG, ALVIN		PUT AABA	08/18/17	56.50	S	2,560.00	128.00	7.00	121.00	85.0	101.80
08/08/2017	75500663	BOSGANG, ALVIN		PUT AABA	08/18/17	57	S	3,366.00	150.00	7.00	143.00	85.0	120.50

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BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
08/08/2017	75500663 BOSGANG, ALVIN	PUT	AABA	08/25/17	56.50	S	47,460.00	1,650.00	7.00	1,643.00	85.0	1,395.50
08/08/2017	75500663 BOSGANG, ALVIN	PUT	AABA	08/25/17	56.50	S	24,110.00	1,050.00	7.00	1,043.00	85.0	885.50
08/08/2017	75500663 BOSGANG, ALVIN	PUT	AABA	08/25/17	56	S	3,620.00	180.00	7.00	173.00	85.0	146.00
08/08/2017	75500663 BOSGANG, ALVIN	PUT	C	08/25/17	67.50	S	10,280.00	510.00	7.00	503.00	85.0	426.50
08/08/2017	75500663 BOSGANG, ALVIN	PUT	C	08/25/17	67	S	8,020.00	401.00	7.00	394.00	85.0	333.85
08/09/2017	75500663 BOSGANG, ALVIN	PUT	AABA	08/18/17	57	S	13,040.00	450.00	7.00	443.00	85.0	375.50
08/09/2017	75500663 BOSGANG, ALVIN	PUT	AABA	08/25/17	56.50	S	22,680.00	600.00	7.00	593.00	85.0	503.00
08/09/2017	75500663 BOSGANG, ALVIN	PUT	AABA	08/25/17	56.50	S	9,070.00	300.00	7.00	293.00	85.0	248.00
08/09/2017	75500663 BOSGANG, ALVIN	PUT	AABA	08/25/17	56	S	16,060.00	720.00	7.00	713.00	85.0	605.00
08/11/2017	75500663 BOSGANG, ALVIN	PUT	AABA	08/25/17	55	S	9,800.00	300.00	7.00	293.00	85.0	248.00
08/11/2017	75500663 BOSGANG, ALVIN	PUT	AABA	08/25/17	54.50	S	4,840.00	181.00	7.00	174.00	85.0	146.85
08/11/2017	75500663 BOSGANG, ALVIN	PUT	AABA	08/25/17	56.50	S	3,000.00	150.00	7.00	143.00	85.0	120.50
08/11/2017	75500663 BOSGANG, ALVIN	PUT	DAL	09/01/17	49.50	S	162,310.00	0.00	7.00	-7.00	85.0	-7.00
08/11/2017	75500663 BOSGANG, ALVIN	PUT	DAL	08/11/17	51	B	94,360.00	0.00	7.00	-7.00	85.0	-7.00
08/11/2017	75500663 BOSGANG, ALVIN	PUT	DAL	08/11/17	51.50	B	58,260.00	0.00	7.00	-7.00	85.0	-7.00
08/11/2017	75500663 BOSGANG, ALVIN	PUT	DAL	08/11/17	51.50	B	98,488.00	0.00	7.00	-7.00	85.0	-7.00
08/14/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/01/17	54.50	S	6,640.00	300.00	7.00	293.00	85.0	248.00
08/14/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/01/17	55	S	8,090.00	300.00	7.00	293.00	85.0	248.00
08/14/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/01/17	54.50	S	10,400.00	300.00	7.00	293.00	85.0	248.00
08/14/2017	75500663 BOSGANG, ALVIN	PUT	AABA	08/25/17	56.50	S	7,380.00	270.00	7.00	263.00	85.0	222.50
08/14/2017	75500663 BOSGANG, ALVIN	PUT	AABA	08/25/17	55	S	12,060.00	600.00	7.00	593.00	85.0	503.00
08/14/2017	75500663 BOSGANG, ALVIN	PUT	AABA	08/25/17	54.50	S	2,500.00	125.00	7.00	118.00	85.0	99.25
08/15/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/01/17	54.50	S	13,060.00	450.00	7.00	443.00	85.0	375.50
08/15/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/01/17	56	S	25,053.00	750.00	7.00	743.00	85.0	630.50
08/15/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/01/17	56.50	S	33,365.00	900.00	7.00	893.00	85.0	758.00
08/15/2017	75500663 BOSGANG, ALVIN	PUT	AABA	08/25/17	56.50	S	12,194.00	450.00	7.00	443.00	85.0	375.50
08/16/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/01/17	56	S	15,555.00	750.00	7.00	743.00	85.0	630.50
08/16/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/01/17	56.50	S	18,633.00	750.00	7.00	743.00	85.0	630.50
08/16/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/01/17	57	S	22,522.00	750.00	7.00	743.00	85.0	630.50
08/16/2017	75500663 BOSGANG, ALVIN	PUT	AABA	08/25/17	56.50	S	15,740.00	750.00	7.00	743.00	85.0	630.50
08/17/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/01/17	54.50	S	4,500.00	225.00	7.00	218.00	85.0	184.25
08/17/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/01/17	54.50	S	13,218.00	660.00	7.00	653.00	85.0	554.00
08/17/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/01/17	59.50	S	16,800.00	750.00	7.00	743.00	85.0	630.50
08/17/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/08/17	62	S	22,205.00	750.00	7.00	743.00	85.0	630.50
08/17/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/08/17	59	S	17,810.00	750.00	7.00	743.00	85.0	630.50
08/17/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/08/17	62	S	4,910.00	180.00	7.00	173.00	85.0	146.00
08/17/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/08/17	58	S	1,080.00	45.00	7.00	38.00	85.0	31.25
08/17/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/08/17	62	S	840.00	42.00	7.00	35.00	85.0	28.70
08/17/2017	75500663 BOSGANG, ALVIN	PUT	AABA	09/08/17	57	S	1,965.00	98.00	7.00	91.00	85.0	76.30
08/18/2017	75500663 BOSGANG, ALVIN	CALL	DAL	09/08/17	51	S	25,220.00	1,200.00	7.00	1,193.00	85.0	1,013.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
08/18/2017	75500663	BOSGANG, ALVIN	CALL DAL	09/01/17	50	S	9,180.00	459.00	7.00	452.00	85.0	383.15
08/18/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/01/17	60	S	16,820.00	750.00	7.00	743.00	85.0	630.50
08/18/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/08/17	62	S	14,358.00	450.00	7.00	443.00	85.0	375.50
08/18/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/08/17	62	S	41,640.00	1,500.00	7.00	1,493.00	85.0	1,268.00
08/18/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/08/17	59	S	16,900.00	750.00	7.00	743.00	85.0	630.50
08/21/2017	75500663	BOSGANG, ALVIN	CALL DAL	09/08/17	51	S	23,840.00	1,192.00	7.00	1,185.00	85.0	1,006.20
08/21/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/08/17	60.50	S	18,200.00	750.00	7.00	743.00	85.0	630.50
08/21/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/08/17	62	S	6,022.00	300.00	7.00	293.00	85.0	248.00
08/21/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/08/17	62	S	5,100.00	255.00	7.00	248.00	85.0	209.75
08/21/2017	75500663	BOSGANG, ALVIN	PUT C	09/01/17	66	S	15,540.00	777.00	7.00	770.00	85.0	653.45
08/22/2017	75500663	BOSGANG, ALVIN	CALL C	09/01/17	68.50	S	1,780.00	89.00	7.00	82.00	85.0	68.65
08/22/2017	75500663	BOSGANG, ALVIN	CALL DAL	09/01/17	50	S	1,820.00	91.00	7.00	84.00	85.0	70.35
08/22/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	60.50	S	26,588.18	1,329.00	7.00	1,322.00	85.0	1,122.65
08/22/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	61	S	33,534.00	1,500.00	7.00	1,493.00	85.0	1,268.00
08/22/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	60.50	S	31,550.00	1,200.00	7.00	1,193.00	85.0	1,013.00
08/22/2017	75500663	BOSGANG, ALVIN	PUT C	09/01/17	66	S	2,811.00	140.00	7.00	133.00	85.0	112.00
08/22/2017	75500663	BOSGANG, ALVIN	PUT DAL	09/15/17	50	S	134,300.00	687.00	7.00	680.00	85.0	576.95
08/22/2017	75500663	BOSGANG, ALVIN	PUT DAL	09/15/17	50.50	S	307,920.00	1,046.00	7.00	1,039.00	85.0	882.10
08/22/2017	75500663	BOSGANG, ALVIN	PUT DAL	08/25/17	50.50	B	287,000.00	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75500663	BOSGANG, ALVIN	PUT DAL	08/25/17	50	B	120,550.00	0.00	7.00	-7.00	85.0	-7.00
08/23/2017	75500663	BOSGANG, ALVIN	CALL DAL	09/15/17	49.50	S	9,700.00	485.00	7.00	478.00	85.0	405.25
08/23/2017	75500663	BOSGANG, ALVIN	CALL DAL	09/15/17	49	S	23,281.00	1,164.00	7.00	1,157.00	85.0	982.40
08/23/2017	75500663	BOSGANG, ALVIN	CALL SPY	01/20/17	222	S	8,112.00	405.00	7.00	398.00	85.0	337.25
08/23/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	60.50	S	7,500.00	375.00	7.00	368.00	85.0	311.75
08/23/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	61	S	18,620.29	900.00	7.00	893.00	85.0	758.00
08/23/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	60.50	S	33,472.00	1,350.00	7.00	1,343.00	85.0	1,140.50
08/23/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	62	S	11,986.00	450.00	7.00	443.00	85.0	375.50
08/23/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	60	S	10,000.00	500.00	7.00	493.00	85.0	418.00
08/24/2017	75500663	BOSGANG, ALVIN	CALL DAL	09/15/17	49	S	18,165.00	0.00	7.00	-7.00	85.0	-7.00
08/24/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	61	S	23,640.00	1,182.00	7.00	1,175.00	85.0	997.70
08/24/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	60.50	S	22,120.00	1,050.00	7.00	1,043.00	85.0	885.50
08/24/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	62	S	39,361.00	1,500.00	7.00	1,493.00	85.0	1,268.00
08/24/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	62.50	S	46,353.00	1,410.00	7.00	1,403.00	85.0	1,191.50
08/24/2017	75500663	BOSGANG, ALVIN	PUT DAL	09/01/17	49.50	B	449,391.00	0.00	7.00	-7.00	85.0	-7.00
08/25/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	60.50	S	21,390.00	900.00	7.00	893.00	85.0	758.00
08/25/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	62	S	31,760.00	1,050.00	7.00	1,043.00	85.0	885.50
08/25/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	62.50	S	36,990.00	990.00	7.00	983.00	85.0	834.50
08/28/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/08/17	62	S	9,000.00	450.00	7.00	443.00	85.0	375.50
08/28/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	60.50	S	23,820.00	1,191.00	7.00	1,184.00	85.0	1,005.35
08/28/2017	75500663	BOSGANG, ALVIN	PUT AABA	09/15/17	61	S	26,540.00	1,140.00	7.00	1,133.00	85.0	962.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT		B/S		INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
08/28/2017	75500663	BOSGANG, ALVIN	PUT	AABA	09/15/17	60	S	6,940.00	347.00	7.00	340.00	85.0	287.95
08/29/2017	75500663	BOSGANG, ALVIN	CALL	DAL	09/15/17	49.50	S	2,837.00	141.00	7.00	134.00	85.0	112.85
08/29/2017	75500663	BOSGANG, ALVIN	CALL	DAL	09/15/17	49	S	3,700.00	185.00	7.00	178.00	85.0	150.25
08/29/2017	75500663	BOSGANG, ALVIN	PUT	AABA	09/08/17	62	S	2,990.00	144.00	7.00	137.00	85.0	115.40
08/29/2017	75500663	BOSGANG, ALVIN	PUT	AABA	09/08/17	61	S	1,890.00	94.00	7.00	87.00	85.0	72.90
08/29/2017	75500663	BOSGANG, ALVIN	PUT	AABA	09/15/17	60.50	S	13,000.00	650.00	7.00	643.00	85.0	545.50
08/29/2017	75500663	BOSGANG, ALVIN	PUT	AABA	09/15/17	60	S	6,280.00	314.00	7.00	307.00	85.0	259.90
08/30/2017	75500663	BOSGANG, ALVIN	CALL	DAL	09/15/17	49.50	S	2,060.00	103.00	7.00	96.00	85.0	80.55
08/30/2017	75500663	BOSGANG, ALVIN	CALL	DAL	09/15/17	49	S	2,840.00	142.00	7.00	135.00	85.0	113.70
08/30/2017	75500663	BOSGANG, ALVIN	CALL	SPY	01/20/17	222	S	1,040.00	52.00	7.00	45.00	85.0	37.20
08/30/2017	75500663	BOSGANG, ALVIN	PUT	AABA	09/22/17	60.50	S	7,337.50	300.00	7.00	293.00	85.0	248.00
08/30/2017	75500663	BOSGANG, ALVIN	PUT	AABA	09/22/17	60	S	13,960.00	450.00	7.00	443.00	85.0	375.50
08/30/2017	75500663	BOSGANG, ALVIN	PUT	AABA	09/22/17	61.50	S	19,742.00	600.00	7.00	593.00	85.0	503.00
08/30/2017	75500663	BOSGANG, ALVIN	PUT	AABA	09/22/17	60	S	22,920.00	600.00	7.00	593.00	85.0	503.00
08/04/2017	75500837	BELL, MARTIN	PUT	BAC	08/25/17	24	S	1,300.00	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75500837	BELL, MARTIN	PUT	C	08/18/17	65	S	800.00	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75500837	BELL, MARTIN	PUT	C	08/11/17	67	S	1,000.00	0.00	7.00	-7.00	85.0	-7.00
08/11/2017	75500837	BELL, MARTIN	PUT	C	08/11/17	67	B	1,300.00	0.00	7.00	-7.00	85.0	-7.00
08/25/2017	75500837	BELL, MARTIN	PUT	BAC	08/25/17	24	B	1,569.05	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75500859	LABELLA, CHARLES	PUT	C	08/11/17	66.50	S	600.00	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75500859	LABELLA, CHARLES	PUT	C	08/11/17	66.50	B	-600.00	0.00	-7.00	7.00	85.0	7.00 C
08/02/2017	75500864	WINICK, JESSE	PUT	C	08/11/17	67	S	2,800.00	280.00	7.00	273.00	85.0	231.00
08/02/2017	75500864	WINICK, JESSE	PUT	DAL	08/04/17	52	B	40,946.67	0.00	7.00	-7.00	85.0	-7.00
08/02/2017	75500864	WINICK, JESSE	PUT	DAL	08/25/17	51	S	37,780.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2017	75500864	WINICK, JESSE	PUT	DAL	08/04/17	52	B	9,000.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2017	75500864	WINICK, JESSE	PUT	DAL	08/25/17	50.50	S	6,700.00	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75500864	WINICK, JESSE	PUT	AABA	08/25/17	54.50	S	4,086.13	300.00	7.00	293.00	85.0	248.00
08/04/2017	75500864	WINICK, JESSE	PUT	BAC	08/25/17	24.50	S	5,000.00	500.00	7.00	493.00	85.0	418.00
08/11/2017	75500864	WINICK, JESSE	CALL	DAL	08/18/17	51	S	390.00	0.00	7.00	-7.00	85.0	-7.00
08/11/2017	75500864	WINICK, JESSE	CALL	DAL	08/25/17	51	S	600.00	0.00	7.00	-7.00	85.0	-7.00
08/11/2017	75500864	WINICK, JESSE	PUT	C	08/11/17	67	B	2,600.00	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75500864	WINICK, JESSE	PUT	AABA	09/15/17	60	S	5,992.09	599.00	7.00	592.00	85.0	502.15
08/22/2017	75500864	WINICK, JESSE	PUT	DAL	09/15/17	50.50	S	15,396.00	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75500864	WINICK, JESSE	PUT	DAL	09/15/17	51	S	136,632.10	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75500864	WINICK, JESSE	PUT	DAL	08/25/17	51	B	130,492.74	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75500864	WINICK, JESSE	PUT	DAL	08/25/17	50.50	B	14,350.00	0.00	7.00	-7.00	85.0	-7.00
08/24/2017	75500864	WINICK, JESSE	PUT	DAL	09/15/17	50.50	B	27,101.00	0.00	7.00	-7.00	85.0	-7.00
08/24/2017	75500864	WINICK, JESSE	PUT	DAL	09/15/17	51	B	163,580.00	0.00	7.00	-7.00	85.0	-7.00
08/25/2017	75500864	WINICK, JESSE	CALL	BAC	09/15/17	24.50	S	3,200.00	0.00	7.00	-7.00	85.0	-7.00
08/28/2017	75500864	WINICK, JESSE	PUT	AABA	09/08/17	62	S	1,280.00	128.00	7.00	121.00	85.0	101.80

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
08/28/2017	75500864	WINICK, JESSE	PUT C	09/01/17	66	S	1,100.00	110.00	7.00	103.00	85.0	86.50
08/29/2017	75500864	WINICK, JESSE	CALL SPY	01/20/17	222	S	1,000.00	0.00	7.00	-7.00	85.0	-7.00
08/30/2017	75500864	WINICK, JESSE	PUT AABA	09/22/17	60	S	1,604.00	150.00	7.00	143.00	85.0	120.50
08/04/2017	75500867	GREENSPAN, WILLIAM	PUT AABA	08/25/17	56.50	S	4,038.00	363.00	7.00	356.00	85.0	301.55
08/22/2017	75500867	GREENSPAN, WILLIAM	PUT AABA	09/15/17	60	S	1,997.36	199.00	7.00	192.00	85.0	162.15
08/04/2017	75500881	SUSLOW, RONNIE	PUT BAC	08/18/17	24.50	S	3,250.00	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75500881	SUSLOW, RONNIE	PUT C	08/18/17	66	S	4,300.00	0.00	7.00	-7.00	85.0	-7.00
08/07/2017	75500881	SUSLOW, RONNIE	PUT BAC	08/25/17	24	S	1,300.00	0.00	7.00	-7.00	85.0	-7.00
08/08/2017	75500881	SUSLOW, RONNIE	PUT AABA	08/25/17	56.50	S	690.00	0.00	7.00	-7.00	85.0	-7.00
08/08/2017	75500881	SUSLOW, RONNIE	PUT C	08/18/17	66	S	900.00	0.00	7.00	-7.00	85.0	-7.00
08/15/2017	75500881	SUSLOW, RONNIE	PUT AABA	08/25/17	56.50	S	7,207.00	0.00	7.00	-7.00	85.0	-7.00
08/17/2017	75500881	SUSLOW, RONNIE	PUT AABA	08/25/17	56.50	S	150.00	0.00	7.00	-7.00	85.0	-7.00
08/17/2017	75500881	SUSLOW, RONNIE	PUT AABA	08/25/17	58	S	100.00	0.00	7.00	-7.00	85.0	-7.00
08/18/2017	75500881	SUSLOW, RONNIE	CALL BAC	09/01/17	24	S	5,400.00	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75500881	SUSLOW, RONNIE	PUT AABA	09/15/17	60	S	5,992.09	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75500881	SUSLOW, RONNIE	PUT DAL	09/15/17	51	S	14,013.55	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75500881	SUSLOW, RONNIE	PUT DAL	08/25/17	51	B	13,383.87	0.00	7.00	-7.00	85.0	-7.00
08/23/2017	75500881	SUSLOW, RONNIE	PUT AABA	09/08/17	62	S	3,000.00	0.00	7.00	-7.00	85.0	-7.00
08/23/2017	75500881	SUSLOW, RONNIE	PUT AABA	09/15/17	60.50	S	1,500.00	0.00	7.00	-7.00	85.0	-7.00
08/24/2017	75500881	SUSLOW, RONNIE	PUT DAL	09/15/17	51	B	23,150.77	0.00	7.00	-7.00	85.0	-7.00
08/25/2017	75500881	SUSLOW, RONNIE	PUT BAC	08/25/17	24	B	1,569.05	0.00	7.00	-7.00	85.0	-7.00
08/28/2017	75500881	SUSLOW, RONNIE	PUT AABA	09/08/17	61	S	2,150.00	0.00	7.00	-7.00	85.0	-7.00
08/07/2017	75500882	SUSLOW, RONNIE	PUT AABA	08/18/17	55	S	199.00	0.00	7.00	-7.00	85.0	-7.00
08/07/2017	75500882	SUSLOW, RONNIE	PUT AABA	08/18/17	55.50	S	260.00	0.00	7.00	-7.00	85.0	-7.00
08/07/2017	75500882	SUSLOW, RONNIE	PUT AABA	08/18/17	56	S	381.00	0.00	7.00	-7.00	85.0	-7.00
08/07/2017	75500882	SUSLOW, RONNIE	PUT AABA	08/25/17	56.50	S	1,756.00	0.00	7.00	-7.00	85.0	-7.00
08/07/2017	75500882	SUSLOW, RONNIE	PUT AABA	08/25/17	55	S	280.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2017	75500882	SUSLOW, RONNIE	PUT AABA	08/18/17	56	S	532.00	0.00	7.00	-7.00	85.0	-7.00
08/15/2017	75500882	SUSLOW, RONNIE	PUT AABA	08/25/17	56	S	5,590.00	0.00	7.00	-7.00	85.0	-7.00
08/18/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/01/17	54.50	S	300.00	0.00	7.00	-7.00	85.0	-7.00
08/18/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/01/17	59.50	S	920.00	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/08/17	61	S	441.00	0.00	7.00	-7.00	85.0	-7.00
08/30/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/08/17	62	S	500.00	0.00	7.00	-7.00	85.0	-7.00
08/30/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/15/17	60.50	S	410.00	0.00	7.00	-7.00	85.0	-7.00
08/30/2017	75500882	SUSLOW, RONNIE	PUT AABA	09/15/17	60	S	310.00	0.00	7.00	-7.00	85.0	-7.00
07/31/2017	75500918	SUSLOW, ELISSA	CALL DAL	08/04/17	51	S	380.00	0.00	7.00	-7.00	85.0	-7.00
07/31/2017	75500918	SUSLOW, ELISSA	PUT DAL	08/04/17	53	B	30,400.00	0.00	7.00	-7.00	85.0	-7.00
08/01/2017	75500918	SUSLOW, ELISSA	PUT AABA	08/18/17	54.50	S	1,400.00	0.00	7.00	-7.00	85.0	-7.00
08/01/2017	75500918	SUSLOW, ELISSA	PUT AABA	08/11/17	55.50	S	320.00	0.00	7.00	-7.00	85.0	-7.00
08/01/2017	75500918	SUSLOW, ELISSA	PUT AABA	08/11/17	53	S	1,200.00	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
08/01/2017	75500918	SUSLOW, ELISSA	PUT	DAL	08/04/17	52	B	34,320.00	0.00	7.00	-7.00	85.0	-7.00
08/01/2017	75500918	SUSLOW, ELISSA	PUT	DAL	08/25/17	51	S	19,610.00	0.00	7.00	-7.00	85.0	-7.00
08/02/2017	75500918	SUSLOW, ELISSA	PUT	C	08/11/17	67	S	1,400.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2017	75500918	SUSLOW, ELISSA	PUT	AABA	08/18/17	54	S	600.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2017	75500918	SUSLOW, ELISSA	PUT	AABA	08/18/17	54.50	S	1,140.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2017	75500918	SUSLOW, ELISSA	PUT	C	08/11/17	67	B	480.00	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75500918	SUSLOW, ELISSA	PUT	AABA	08/25/17	54.50	S	8,621.74	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75500918	SUSLOW, ELISSA	PUT	BAC	08/18/17	24.50	S	3,250.00	0.00	7.00	-7.00	85.0	-7.00
08/08/2017	75500918	SUSLOW, ELISSA	PUT	AABA	08/18/17	56	S	1,790.00	0.00	7.00	-7.00	85.0	-7.00
08/08/2017	75500918	SUSLOW, ELISSA	PUT	BAC	08/11/17	24.50	S	200.00	0.00	7.00	-7.00	85.0	-7.00
08/08/2017	75500918	SUSLOW, ELISSA	PUT	BAC	08/25/17	24.50	S	1,800.00	0.00	7.00	-7.00	85.0	-7.00
08/08/2017	75500918	SUSLOW, ELISSA	PUT	BAC	08/25/17	24	S	1,800.00	0.00	7.00	-7.00	85.0	-7.00
08/08/2017	75500918	SUSLOW, ELISSA	PUT	C	08/18/17	66.50	S	1,200.00	0.00	7.00	-7.00	85.0	-7.00
08/11/2017	75500918	SUSLOW, ELISSA	CALL	BAC	08/18/17	24	S	1,000.00	0.00	7.00	-7.00	85.0	-7.00
08/11/2017	75500918	SUSLOW, ELISSA	CALL	DAL	08/18/17	50.50	S	1,150.00	0.00	7.00	-7.00	85.0	-7.00
08/11/2017	75500918	SUSLOW, ELISSA	PUT	C	08/11/17	67	B	1,040.00	0.00	7.00	-7.00	85.0	-7.00
08/15/2017	75500918	SUSLOW, ELISSA	PUT	AABA	09/01/17	55	S	10,453.00	0.00	7.00	-7.00	85.0	-7.00
08/17/2017	75500918	SUSLOW, ELISSA	PUT	AABA	09/01/17	57.50	S	1,760.00	0.00	7.00	-7.00	85.0	-7.00
08/17/2017	75500918	SUSLOW, ELISSA	PUT	AABA	09/01/17	58.50	S	600.00	0.00	7.00	-7.00	85.0	-7.00
08/18/2017	75500918	SUSLOW, ELISSA	CALL	BAC	09/01/17	24	S	5,400.00	0.00	7.00	-7.00	85.0	-7.00
08/21/2017	75500918	SUSLOW, ELISSA	CALL	DAL	09/08/17	51	S	2,960.00	0.00	7.00	-7.00	85.0	-7.00
08/21/2017	75500918	SUSLOW, ELISSA	CALL	DAL	09/01/17	50	S	2,800.00	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75500918	SUSLOW, ELISSA	PUT	AABA	09/15/17	60.50	S	2,658.82	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75500918	SUSLOW, ELISSA	PUT	AABA	09/15/17	60	S	5,992.09	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75500918	SUSLOW, ELISSA	PUT	BAC	08/25/17	24.50	S	5,200.00	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75500918	SUSLOW, ELISSA	PUT	BAC	08/25/17	24.50	B	6,000.00	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75500918	SUSLOW, ELISSA	PUT	DAL	09/15/17	51	S	31,530.48	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75500918	SUSLOW, ELISSA	PUT	DAL	08/25/17	51	B	30,113.71	0.00	7.00	-7.00	85.0	-7.00
08/23/2017	75500918	SUSLOW, ELISSA	PUT	AABA	09/08/17	62	S	3,600.00	0.00	7.00	-7.00	85.0	-7.00
08/23/2017	75500918	SUSLOW, ELISSA	PUT	AABA	09/15/17	61	S	2,482.71	0.00	7.00	-7.00	85.0	-7.00
08/24/2017	75500918	SUSLOW, ELISSA	PUT	DAL	09/15/17	51	B	52,089.23	0.00	7.00	-7.00	85.0	-7.00
08/25/2017	75500918	SUSLOW, ELISSA	CALL	BAC	09/01/17	24	B	2,600.00	0.00	7.00	-7.00	85.0	-7.00
08/25/2017	75500918	SUSLOW, ELISSA	CALL	BAC	09/15/17	24.50	S	5,930.00	0.00	7.00	-7.00	85.0	-7.00
08/25/2017	75500918	SUSLOW, ELISSA	CALL	DAL	09/08/17	51	B	840.00	0.00	7.00	-7.00	85.0	-7.00
08/25/2017	75500918	SUSLOW, ELISSA	CALL	DAL	09/01/17	50	B	900.00	0.00	7.00	-7.00	85.0	-7.00
08/25/2017	75500918	SUSLOW, ELISSA	PUT	AABA	09/15/17	61	S	3,280.00	0.00	7.00	-7.00	85.0	-7.00
08/25/2017	75500918	SUSLOW, ELISSA	PUT	BAC	08/25/17	24	B	3,138.10	0.00	7.00	-7.00	85.0	-7.00
08/28/2017	75500918	SUSLOW, ELISSA	PUT	AABA	09/08/17	62	S	2,400.00	0.00	7.00	-7.00	85.0	-7.00
08/28/2017	75500918	SUSLOW, ELISSA	PUT	AABA	09/08/17	61	S	2,150.00	0.00	7.00	-7.00	85.0	-7.00
08/30/2017	75500918	SUSLOW, ELISSA	PUT	AABA	09/22/17	60.50	S	4,402.50	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
08/04/2017	75500953	SUSLOW, STEPHEN	PUT	BAC	08/25/17	24	S	1,443.00	0.00	7.00	-7.00	85.0	-7.00
08/25/2017	75500953	SUSLOW, STEPHEN	PUT	BAC	08/25/17	24	B	1,741.65	0.00	7.00	-7.00	85.0	-7.00
08/28/2017	75500953	SUSLOW, STEPHEN	PUT	AABA	09/08/17	60.50	S	1,820.00	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	08/18/17	55	S	1,190.00	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	08/18/17	54	S	2,704.00	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	08/18/17	54.50	S	3,600.00	0.00	7.00	-7.00	85.0	-7.00
08/07/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	08/18/17	56.50	S	5,129.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	08/18/17	56.50	S	3,500.00	0.00	7.00	-7.00	85.0	-7.00
08/15/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	09/01/17	54.50	S	360.00	0.00	7.00	-7.00	85.0	-7.00
08/18/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	09/01/17	58.50	S	1,820.00	0.00	7.00	-7.00	85.0	-7.00
08/18/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	09/08/17	62	S	1,810.00	0.00	7.00	-7.00	85.0	-7.00
08/18/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	09/08/17	58	S	1,020.00	0.00	7.00	-7.00	85.0	-7.00
08/18/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	09/08/17	62	S	1,010.00	0.00	7.00	-7.00	85.0	-7.00
08/21/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	09/08/17	59	S	220.00	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	09/08/17	62	S	7,800.00	0.00	7.00	-7.00	85.0	-7.00
08/23/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	09/08/17	62	S	750.00	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75500986	SUSLOVICH, JACOB	PUT	BAC	08/25/17	24	S	1,300.00	0.00	7.00	-7.00	85.0	-7.00
08/25/2017	75500986	SUSLOVICH, JACOB	PUT	BAC	08/25/17	24	B	1,569.05	0.00	7.00	-7.00	85.0	-7.00
08/02/2017	75501098	WINICK FAMILY	PUT	C	08/11/17	67	S	1,400.00	140.00	7.00	133.00	85.0	112.00
08/02/2017	75501098	WINICK FAMILY	PUT	DAL	08/04/17	52	B	20,473.33	0.00	7.00	-7.00	85.0	-7.00
08/02/2017	75501098	WINICK FAMILY	PUT	DAL	08/04/17	53	B	15,973.20	0.00	7.00	-7.00	85.0	-7.00
08/02/2017	75501098	WINICK FAMILY	PUT	DAL	08/25/17	51	S	18,890.00	0.00	7.00	-7.00	85.0	-7.00
08/02/2017	75501098	WINICK FAMILY	PUT	DAL	08/25/17	50.50	S	15,773.20	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75501098	WINICK FAMILY	PUT	AABA	08/25/17	54.50	S	4,086.13	300.00	7.00	293.00	85.0	248.00
08/04/2017	75501098	WINICK FAMILY	PUT	BAC	08/25/17	24.50	S	5,000.00	500.00	7.00	493.00	85.0	418.00
08/11/2017	75501098	WINICK FAMILY	PUT	C	08/11/17	67	B	1,300.00	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75501098	WINICK FAMILY	PUT	AABA	09/15/17	60	S	1,997.36	199.00	7.00	192.00	85.0	162.15
08/22/2017	75501098	WINICK FAMILY	PUT	DAL	09/15/17	50.50	S	61,584.00	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75501098	WINICK FAMILY	PUT	DAL	09/15/17	51	S	35,033.87	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75501098	WINICK FAMILY	PUT	DAL	08/25/17	51	B	33,459.68	0.00	7.00	-7.00	85.0	-7.00
08/22/2017	75501098	WINICK FAMILY	PUT	DAL	08/25/17	50.50	B	57,400.00	0.00	7.00	-7.00	85.0	-7.00
08/24/2017	75501098	WINICK FAMILY	PUT	DAL	09/15/17	50.50	B	108,404.00	0.00	7.00	-7.00	85.0	-7.00
08/25/2017	75501098	WINICK FAMILY	CALL	BAC	09/15/17	24.50	S	3,200.00	0.00	7.00	-7.00	85.0	-7.00
08/28/2017	75501098	WINICK FAMILY	PUT	AABA	09/08/17	62	S	1,280.00	128.00	7.00	121.00	85.0	101.80
08/29/2017	75501098	WINICK FAMILY	CALL	SPY	01/20/17	222	S	1,000.00	0.00	7.00	-7.00	85.0	-7.00
08/30/2017	75501098	WINICK FAMILY	PUT	AABA	09/22/17	60	S	1,604.00	150.00	7.00	143.00	85.0	120.50
08/04/2017	75501104	CHRISTOPHER C	PUT	C	08/11/17	66.50	S	600.00	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75501215	SISTERS TWO LLC	PUT	BAC	08/25/17	24	S	1,300.00	130.00	7.00	123.00	85.0	103.50
08/04/2017	75501215	SISTERS TWO LLC	PUT	C	08/11/17	66.50	S	600.00	60.00	7.00	53.00	85.0	44.00
08/25/2017	75501215	SISTERS TWO LLC	PUT	BAC	08/25/17	24	B	1,569.05	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: OPTIONS

08/04/2017	75502037	WINICK, BARBARA	CALL BAC	08/18/17	24	S	1,600.00	160.00	7.00	153.00	85.0	129.00
08/18/2017	75502037	WINICK, BARBARA	CALL BAC	09/01/17	24	S	5,400.00	0.00	7.00	-7.00	85.0	-7.00
08/04/2017	75502117	BLUMA ROTTERMANN	TTEE PUT BAC	08/25/17	24	S	1,300.00	130.00	7.00	123.00	85.0	103.50
08/04/2017	75502117	BLUMA ROTTERMANN	TTEE PUT C	08/18/17	65	S	800.00	80.00	7.00	73.00	85.0	61.00
08/04/2017	75502117	BLUMA ROTTERMANN	TTEE PUT C	08/11/17	67	S	1,000.00	100.00	7.00	93.00	85.0	78.00
08/11/2017	75502117	BLUMA ROTTERMANN	TTEE PUT C	08/11/17	67	B	1,300.00	0.00	7.00	-7.00	85.0	-7.00
08/25/2017	75502117	BLUMA ROTTERMANN	TTEE PUT BAC	08/25/17	24	B	1,569.05	0.00	7.00	-7.00	85.0	-7.00
* OPTIONS SUBTOTAL *							<u>\$5,527,233.39</u>	<u>\$66,171.00</u>	<u>\$1,897.00</u>	<u>\$64,274.00</u>		<u>\$54,348.35</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/03/2017	75500859	LABELLA, CHARLES	BARON SELECT FDS			B	0.00	7.74	0.00	7.74	85.0	6.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *							<u>\$0.00</u>	<u>\$7.74</u>	<u>\$0.00</u>	<u>\$7.74</u>		<u>\$6.58</u>
*** BROKER TRANSACTIONS TOTAL ***							<u>\$13,288,379.39</u>	<u>\$66,368.74</u>	<u>\$2,191.00</u>	<u>\$64,177.74</u>		<u>\$54,222.43</u>

ADJUSTMENTS

08/31/2017	OTHER: OTHER-HEALTH INSURANCE	0.00	0.00	0.00	0.00	-496.00
08/31/2017	OTHER: OTHER-WARD TICKETS	0.00	0.00	0.00	0.00	-45.00
08/31/2017	OTHER: OTHER- CHASE	0.00	0.00	0.00	0.00	-1,373.19
08/31/2017	OTHER: OTHER-CAPITAL ONE	0.00	0.00	0.00	0.00	-1,173.77
08/31/2017	OTHER: OTHER-SALARY ELISE	0.00	0.00	0.00	0.00	-40,000.00
08/31/2017	OTHER: OTHER--SALARY ALLAN	0.00	0.00	0.00	0.00	-2,665.00
08/31/2017	OTHER: OTHER-FICA ELISE AND ALLAN	0.00	0.00	0.00	0.00	-768.73
08/31/2017	OTHER: OTHER-MISC TAX	0.00	0.00	0.00	0.00	-143.40
08/31/2017	OTHER: OTHER-WORKMAN COMP	0.00	0.00	0.00	0.00	-98.13
08/31/2017	OTHER: OTHER-MEDICAL EXPENSE	0.00	0.00	0.00	0.00	-521.55
08/31/2017	OTHER: OTHER-WARD INCOME	0.00	0.00	0.00	0.00	36.39
08/31/2017	OTHER: OTHER-VICTOR INCOME	0.00	0.00	0.00	0.00	75.13
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-47,173.25</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$54,222.43
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-47,173.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$191,614.20
FINRA Assessment	\$-82.96
SIPC Assessment	\$-165.92

Check Amount	\$198,414.50
Year-to-date Earnings	\$121,133.20