

# STATEMENT

## January 20, 2018

THOMAS MCGUIRE  
16 Wiltshire Dr  
SUITE 620  
Hattiesburg, MS 39402

BROKER #: 131  
BRANCH:

### COMMISSION STATEMENT for THOMAS MCGUIRE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
11/20/2017	#08188849698 KNIGHT, THOMAS	MFS TOTAL RETURN FUND-B	50.00	2.00	93.0	0.00	1.86	0.00	1.86
12/07/2017	# SPARKS, THOMAS	*GUGGENHEIM FDS TR	5,000.00	0.00	93.0	0.00	0.00	20.00	-20.00
12/20/2017	#08188849698 KNIGHT, THOMAS	MFS TOTAL RETURN FUND-B	50.00	2.00	93.0	0.00	1.86	0.00	1.86
* MUTUAL FUNDS SUBTOTAL *			\$5,100.00	\$4.00		\$0.00	\$3.72	\$20.00	\$-16.28
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
07/28/2017	#2020012081 RAYBURN,	SECURITY BENEFIT SDVA	0.00	42.89	93.0	0.00	39.89	0.00	39.89
07/28/2017	#2020012433 RAYBURN,	SECURITY BENEFIT SDVA	0.00	45.97	93.0	0.00	42.75	0.00	42.75
07/28/2017	#2020012509 RAYBURN,	SECURITY BENEFIT SDVA	0.00	37.84	93.0	0.00	35.19	0.00	35.19
07/28/2017	#2020015967 LOTT, LOUIS	SECURITY BENEFIT SDVA	0.00	58.44	93.0	0.00	54.35	0.00	54.35
07/28/2017	#2020016514 SPARKS, MARRETA	SECURITY BENEFIT SDVA	0.00	53.96	93.0	0.00	50.18	0.00	50.18
11/29/2017	#2020012081 RAYBURN,	SECURITY BENEFIT SDVA	0.00	43.34	93.0	0.00	40.31	0.00	40.31
11/29/2017	#2020012433 RAYBURN,	SECURITY BENEFIT SDVA	0.00	46.44	93.0	0.00	43.19	0.00	43.19
11/29/2017	#2020012509 RAYBURN,	SECURITY BENEFIT SDVA	0.00	38.18	93.0	0.00	35.51	0.00	35.51
11/29/2017	#2020015967 LOTT, LOUIS	SECURITY BENEFIT SDVA	0.00	59.53	93.0	0.00	55.36	0.00	55.36
11/29/2017	#2020016514 SPARKS, MARRETA	SECURITY BENEFIT SDVA	0.00	56.06	93.0	0.00	52.14	0.00	52.14
11/30/2017	#000549627 SPARKS, MARRETA	PRUDETIAL TRAILS	0.00	25.62	93.0	0.00	23.83	0.00	23.83
11/30/2017	#000549627 SPARKS, MARRETA	PRUDETIAL TRAILS	0.00	25.62	93.0	0.00	23.83	0.00	23.83
12/28/2017	#2020012509 RAYBURN,	SECURITY BENEFIT SBGTRL	0.00	38.42	93.0	0.00	35.73	0.00	35.73
12/28/2017	#2020012081 RAYBURN,	SECURITY BENEFIT SBGTRL	0.00	43.58	93.0	0.00	40.53	0.00	40.53
12/28/2017	#2020015967 LOTT, LOUIS	SECURITY BENEFIT SBGTRL	0.00	60.25	93.0	0.00	56.03	0.00	56.03
12/28/2017	#2020012433 RAYBURN,	SECURITY BENEFIT SBGTRL	0.00	46.71	93.0	0.00	43.44	0.00	43.44
12/28/2017	#2020016514 SPARKS, MARRETA	SECURITY BENEFIT SBGTRL	0.00	56.77	93.0	0.00	52.80	0.00	52.80
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$779.62		\$0.00	\$725.06	\$0.00	\$725.06
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
12/01/2017	#00085409047 DANIELS,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	19.22	93.0	0.00	17.87	0.00	17.87
12/01/2017	#00089032670 CAROSELLO, JANE	COLLEGE 2030 FUND-529A	0.00	2.82	93.0	0.00	2.62	0.00	2.62
12/01/2017	#00085409045 DANIELS,	NEW PERSPECTIVE FUND-529C	0.00	13.05	93.0	0.00	12.14	0.00	12.14

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
12/01/2017	#00085409045 DANIELS,	THE GROWTH FUND OF AMERICA-529C	0.00	23.33	93.0	0.00	21.70	0.00	21.70
12/01/2017	#00085409047 DANIELS,	THE GROWTH FUND OF AMERICA-529C	0.00	14.89	93.0	0.00	13.85	0.00	13.85
12/06/2017	# SPARKS, THOMAS	DAIN TRAILER	0.00	30.84	93.0	0.00	28.68	0.00	28.68
12/07/2017	# SPARKS, THOMAS	DAIN TRAILER	5,100.00	0.00	93.0	0.00	0.00	0.00	0.00
12/08/2017	# MOSS, CARLA	DAIN TRAILER	0.00	42.79	93.0	0.00	39.79	0.00	39.79
12/11/2017	# MCGEHEE, DONNA	DAIN TRAILER	0.00	5.00	93.0	0.00	4.65	0.00	4.65
12/11/2017	# MCGEHEE, DONNA	DAIN TRAILER	0.00	14.62	93.0	0.00	13.60	0.00	13.60
12/11/2017	# MCGEHEE, DONNA	DAIN TRAILER	0.00	32.12	93.0	0.00	29.87	0.00	29.87
12/11/2017	# HUNT, MINNIE	DAIN TRAILER	0.00	58.03	93.0	0.00	53.97	0.00	53.97
12/11/2017	# LYNN, JOHN	DAIN TRAILER	0.00	0.61	93.0	0.00	0.57	0.00	0.57
12/11/2017	# LYNN, JOHN	DAIN TRAILER	0.00	1.25	93.0	0.00	1.16	0.00	1.16
12/11/2017	# LYNN, JOHN	DAIN TRAILER	0.00	1.78	93.0	0.00	1.66	0.00	1.66
12/11/2017	# LYNN, JOHN	DAIN TRAILER	0.00	2.56	93.0	0.00	2.38	0.00	2.38
12/11/2017	# LYNN, JOHN	DAIN TRAILER	0.00	0.64	93.0	0.00	0.60	0.00	0.60
12/11/2017	# CAROSELLO, JANE	DAIN TRAILER	0.00	3.66	93.0	0.00	3.40	0.00	3.40
12/11/2017	# CAROSELLO, JANE	DAIN TRAILER	0.00	2.89	93.0	0.00	2.69	0.00	2.69
12/11/2017	# CAROSELLO, JANE	DAIN TRAILER	0.00	2.25	93.0	0.00	2.09	0.00	2.09
12/11/2017	# CAROSELLO, JANE	DAIN TRAILER	0.00	3.62	93.0	0.00	3.37	0.00	3.37
12/11/2017	# CAROSELLO, JANE	DAIN TRAILER	0.00	22.69	93.0	0.00	21.10	0.00	21.10
12/11/2017	# CAROSELLO, JANE	DAIN TRAILER	0.00	19.01	93.0	0.00	17.68	0.00	17.68
12/11/2017	# SPARKS, THOMAS	DAIN TRAILER	0.00	12.87	93.0	0.00	11.97	0.00	11.97
12/11/2017	# SPARKS, THOMAS	DAIN TRAILER	0.00	62.97	93.0	0.00	58.56	0.00	58.56
12/11/2017	# SPARKS, THOMAS	DAIN TRAILER	0.00	113.49	93.0	0.00	105.55	0.00	105.55
12/11/2017	# SPARKS, THOMAS	DAIN TRAILER	0.00	78.27	93.0	0.00	72.79	0.00	72.79
12/11/2017	# SPARKS, THOMAS	DAIN TRAILER	0.00	19.15	93.0	0.00	17.81	0.00	17.81
12/11/2017	# SPARKS, THOMAS	DAIN TRAILER	0.00	1.04	93.0	0.00	0.97	0.00	0.97
12/11/2017	# SPARKS, THOMAS	DAIN TRAILER	0.00	26.18	93.0	0.00	24.35	0.00	24.35
12/11/2017	# SPARKS, THOMAS	DAIN TRAILER	0.00	7.25	93.0	0.00	6.74	0.00	6.74
12/11/2017	# MOSS, WILLIAM	DAIN TRAILER	0.00	2.44	93.0	0.00	2.27	0.00	2.27
12/11/2017	# MOSS, WILLIAM	DAIN TRAILER	0.00	5.14	93.0	0.00	4.78	0.00	4.78
12/11/2017	# MOSS, CARLA	DAIN TRAILER	0.00	5.31	93.0	0.00	4.94	0.00	4.94
12/11/2017	# MOSS, CARLA	DAIN TRAILER	0.00	61.40	93.0	0.00	57.10	0.00	57.10
12/11/2017	# MOSS, CARLA	DAIN TRAILER	0.00	41.65	93.0	0.00	38.73	0.00	38.73
12/11/2017	# MOSS, CARLA	DAIN TRAILER	0.00	20.15	93.0	0.00	18.74	0.00	18.74
12/11/2017	# MOSS, CARLA	DAIN TRAILER	0.00	12.34	93.0	0.00	11.48	0.00	11.48
12/11/2017	# MOSS, CARLA	DAIN TRAILER	0.00	26.97	93.0	0.00	25.08	0.00	25.08

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
12/11/2017	# PARKER, LOUIS	DAIN TRAILER	0.00	3.18	93.0	0.00	2.96	0.00	2.96
12/11/2017	# PARKER, LOUIS	DAIN TRAILER	0.00	2.34	93.0	0.00	2.18	0.00	2.18
12/11/2017	# PARKER, LOUIS	DAIN TRAILER	0.00	2.09	93.0	0.00	1.94	0.00	1.94
12/11/2017	# PARKER, LOUIS	DAIN TRAILER	0.00	1.93	93.0	0.00	1.79	0.00	1.79
12/11/2017	# PARKER, LOUIS	DAIN TRAILER	0.00	2.97	93.0	0.00	2.76	0.00	2.76
12/11/2017	# PARKER, LOUIS	DAIN TRAILER	0.00	2.31	93.0	0.00	2.15	0.00	2.15
12/11/2017	# YAWN, FAITH	DAIN TRAILER	0.00	4.85	93.0	0.00	4.51	0.00	4.51
12/11/2017	# YAWN, FAITH	DAIN TRAILER	0.00	4.40	93.0	0.00	4.09	0.00	4.09
12/11/2017	# YAWN, FAITH	DAIN TRAILER	0.00	5.19	93.0	0.00	4.83	0.00	4.83
12/11/2017	# YAWN, FAITH	DAIN TRAILER	0.00	3.91	93.0	0.00	3.64	0.00	3.64
12/11/2017	# YAWN, FAITH	DAIN TRAILER	0.00	10.55	93.0	0.00	9.81	0.00	9.81
12/11/2017	# DAUGHDRILL, MARY	DAIN TRAILER	0.00	0.96	93.0	0.00	0.89	0.00	0.89
12/11/2017	# DAUGHDRILL, MARY	DAIN TRAILER	0.00	3.53	93.0	0.00	3.28	0.00	3.28
12/11/2017	# DAUGHDRILL, MARY	DAIN TRAILER	0.00	0.98	93.0	0.00	0.91	0.00	0.91
01/01/2018	#00090236492 KNIGHT, THOMAS	MFS TOTAL RETURN FUND-A	0.00	7.54	93.0	0.00	7.01	0.00	7.01
01/01/2018	#08188849698 KNIGHT, THOMAS	MFS TOTAL RETURN FUND-B	0.00	4.16	93.0	0.00	3.87	0.00	3.87
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$5,100.00</b>	<b>\$875.18</b>		<b>\$0.00</b>	<b>\$813.92</b>	<b>\$0.00</b>	<b>\$813.92</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$10,200.00</b>	<b>\$1,658.80</b>		<b>\$0.00</b>	<b>\$1,542.70</b>	<b>\$20.00</b>	<b>\$1,522.70</b>

**ADJUSTMENTS**

01/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
01/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$-499.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,522.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$1,023.70</b>
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