



All Companies

03/29/2018

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## COMMISSION STATEMENT

April 02, 2018

JIM CHEN  
6300 I-40 West  
Ste. 102  
Amarillo, TX 79106

BROKER #: 9651  
BRANCH: HOME OFFICE

### COMMISSION STATEMENT for JIM CHEN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: RIA

01/12/2018	15240871	BURNAM, DENNIS D	SIGNAL SECURITIES RIA	0.00	75.34	75.0	56.51	0.00	56.51
01/12/2018	17058809	BOLTON, WILMA	SIGNAL SECURITIES RIA	0.00	113.66	75.0	85.25	0.00	85.25
01/12/2018	18127812	TIFFEE, GAE L	SIGNAL SECURITIES RIA	0.00	9.00	75.0	6.75	0.00	6.75
01/12/2018	19687002	CARVER, DON	SIGNAL SECURITIES RIA	0.00	117.66	75.0	88.25	0.00	88.25
01/12/2018	20208443	SNYDER, DAVID J	SIGNAL SECURITIES RIA	0.00	52.00	75.0	39.00	0.00	39.00
01/12/2018	21372067	LAI, LISA	SIGNAL SECURITIES RIA	0.00	5.34	75.0	4.01	0.00	4.01
01/12/2018	28820037	GILBERT, PAUL	SIGNAL SECURITIES RIA	0.00	41.34	75.0	31.01	0.00	31.01
01/12/2018	29488506	LAI, LISA	SIGNAL SECURITIES RIA	0.00	101.66	75.0	76.25	0.00	76.25
01/12/2018	39815176	CARVER, CINDY L L.	SIGNAL SECURITIES RIA	0.00	95.34	75.0	71.51	0.00	71.51
01/12/2018	49788125	WATSON, KIMBRA R	SIGNAL SECURITIES RIA	0.00	23.00	75.0	17.25	0.00	17.25
03/15/2018	53898308	MEREDITH, JOHN C	SIGNAL SECURITIES RIA	0.00	51.68	75.0	38.76	0.00	38.76
01/12/2018	55407278	SHIEH, RICHARD	SIGNAL SECURITIES RIA	0.00	73.66	75.0	55.25	0.00	55.25
01/12/2018	75737800	TIFFEE, GAE L	SIGNAL SECURITIES RIA	0.00	28.34	75.0	21.26	0.00	21.26
01/12/2018	87329027	SOUTHWEST CHAPTER NATL AM	SIGNAL SECURITIES RIA	0.00	12.00	75.0	9.00	0.00	9.00

* RIA SUBTOTAL *	\$0.00	\$800.02	\$600.06	\$0.00	\$600.06
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*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$800.02	\$600.06	\$0.00	\$600.06
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### ADJUSTMENTS

04/02/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	-100.00	0.00	-100.00
04/02/2018	TECH: 1/2 MONTHLY TECH SERVICES	0.00	0.00	-27.50	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$-127.50	\$0.00	\$-127.50

**BROKER COMMISSION TOTALS**

Broker Transactions	\$600.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$30.54

Check Amount	\$503.10
Year-to-date Earnings	\$1,863.74