



COMMISSION STATEMENT

June 18, 2018

GRADY GORMAN
7105 West 44th Ave
Wheat Ridge, CO 80033

BROKER #: A97
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
06/11/2018	***-**-14IN - BACA, JOAQUIN	HELIX TCS INC COM	150.00	4.55	18.00	-13.45	100.0	-13.45
	ACCOUNT #: LSR012637	SELL 100 Units @1.5000						
06/11/2018	***-**-14IN - BACA, JOAQUIN	HELIX TCS INC COM	2,717.00	86.45	0.00	86.45	75.0	64.84
	ACCOUNT #: LSR012637	SELL 1900 Units @1.4300						
06/13/2018	***-**-6680 - BACA, PHILIP	HELIX TCS INC COM	3,220.00	96.00	18.00	78.00	75.0	58.50
	ACCOUNT #: LSR012642	SELL 2000 Units @1.6100						
* STOCKS SUBTOTAL *			\$6,087.00	\$187.00	\$36.00	\$151.00		\$109.89
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/18/2018	***-**-667I - BENDER, RYAN	AMCAP FUND-A	0.00	1.76	0.00	1.76	75.0	1.32
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
06/18/2018	***-**-08IR - CAPUNE, MEGHAN	AMCAP FUND-A	0.00	1.40	0.00	1.40	75.0	1.05
	ACCOUNT #: LSR012363	BUY 1 Units @0.0000						
06/18/2018	***-**-408I - CAPUNE, MEGHAN	AMCAP FUND-A	0.00	1.68	0.00	1.68	75.0	1.26
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
06/18/2018	***-**-408I - CAPUNE, MEGHAN	AMERICAN MUTUAL FUND-A	0.00	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
06/18/2018	***-**-408I - CAPUNE, MEGHAN	CAPITAL INCOME BUILDER-A	0.00	0.46	0.00	0.46	75.0	0.35
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
06/18/2018	***-**-667I - BENDER, RYAN	EUROPACIFIC GROWTH FUND-A	0.00	1.07	0.00	1.07	75.0	0.80
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
06/18/2018	***-**-408I - CAPUNE, MEGHAN	EUROPACIFIC GROWTH FUND-A	0.00	0.59	0.00	0.59	75.0	0.44
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
06/18/2018	***-**-667I - BENDER, RYAN	FUNDAMENTAL INVESTORS-A	0.00	1.69	0.00	1.69	75.0	1.27
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
06/18/2018	***-**-408I - CAPUNE, MEGHAN	FUNDAMENTAL INVESTORS-A	0.00	1.11	0.00	1.11	75.0	0.83
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
06/18/2018	***-**-463I - MACKEY, LAURA	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	2.11	0.00	2.11	75.0	1.58
	ACCOUNT #: LSR011920	BUY 1 Units @0.0000						
06/18/2018	***-**-463I - MACKEY, LAURA	HCM TACTICAL GROWTH FND CL A-NFS	0.00	2.28	0.00	2.28	75.0	1.71
	ACCOUNT #: LSR011920	BUY 1 Units @0.0000						
06/18/2018	***-**-408I - CAPUNE, MEGHAN	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-408I - CAPUNE, MEGHAN ACCOUNT #: LSR012364	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.43	0.00	0.43	75.0	0.32
06/18/2018	***-**-667I - BENDER, RYAN ACCOUNT #: LSR011753	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	1.54	0.00	1.54	75.0	1.16
06/18/2018	***-**-408I - CAPUNE, MEGHAN ACCOUNT #: LSR012364	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	0.39	0.00	0.39	75.0	0.29
06/18/2018	***-**-408I - CAPUNE, MEGHAN ACCOUNT #: LSR012364	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.17	0.00	0.17	75.0	0.13
06/18/2018	***-**-408I - CAPUNE, MEGHAN ACCOUNT #: LSR012364	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.52	0.00	0.52	75.0	0.39
06/18/2018	***-**-408O - CAPUNE, MEGHAN ACCOUNT #: LSR012365	VIRTUS NEWFLEET MLT SECTOR SH TERM BUY 1 Units @0.0000	0.00	11.20	0.00	11.20	75.0	8.40
06/18/2018	***-**-08IR - CAPUNE, MEGHAN ACCOUNT #: LSR012363	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	0.74	0.00	0.74	75.0	0.56
06/18/2018	***-**-408I - CAPUNE, MEGHAN ACCOUNT #: LSR012364	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	0.85	0.00	0.85	75.0	0.64
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$30.89	\$0.00	\$30.89		\$23.18
*** BROKER TRANSACTIONS TOTAL ***			\$6,087.00	\$217.89	\$36.00	\$181.89		\$133.07



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
						BROKER COMMISSION TOTALS		
						Broker Transactions		\$133.07
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$133.07
						Year-to-date Earnings		\$3,420.85