



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2017

08/14/2017
 Page No. 1

101 CFGROUP/KOSANKE
 1120 E. Long Lake Road
 Suite 250
 Troy, MI 48085

BROKER #: 101
 BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
07/30/2017	CFGROUP, VARIOUS	BR TOTAL INC & REAL ESTATE FUND C	0.00	288.95	0.00	288.95	95.0	274.50
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$288.95	\$0.00	\$288.95		\$274.50
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/20/2017	BRADEN, TERRI	ALLIANCEBERNSTEIN GROWTH B	50.00	2.00	0.00	2.00	95.0	1.90
07/25/2017	SILVERSTEIN, MICHAEL	AM FUNDS AMCAP A	50.00	2.13	0.00	2.13	95.0	2.02
07/21/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	95.0	2.85
08/02/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	95.0	2.85
07/24/2017	SILVERSTEIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
08/01/2017	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	95.0	3.03
08/04/2017	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	5,290.00	105.80	0.00	105.80	95.0	100.51
08/04/2017	ALVEY, SHERRIE	AM FUNDS CAPITAL WORLD GRTH & INC A	705.75	14.12	0.00	14.12	95.0	13.41
08/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
08/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
08/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
08/01/2017	BAILEY, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	95.0	2.38
08/03/2017	KEMP, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	95.0	2.02
08/04/2017	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	5,100.00	102.00	0.00	102.00	95.0	96.90
08/04/2017	ALVEY, SHERRIE	AM FUNDS GROWTH FUND OF AMERICA A	705.75	14.12	0.00	14.12	95.0	13.41
07/28/2017	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
08/01/2017	BAILEY, STEVEN	AM FUNDS ICA A	50.00	2.50	0.00	2.50	95.0	2.38
08/02/2017	MCLAUGHLIN, TRACY	AM FUNDS ICA A	150.00	7.50	0.00	7.50	95.0	7.13
08/04/2017	ALVEY, SHERRIE	AM FUNDS ICA A	705.75	14.12	0.00	14.12	95.0	13.41
08/04/2017	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	5,100.00	102.00	0.00	102.00	95.0	96.90
08/04/2017	ALVEY, SHERRIE	AM FUNDS SMALLCAP WORLD A	705.75	14.12	0.00	14.12	95.0	13.41
07/28/2017	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
06/30/2017	GALLAS, JAMES L & ANN M	BLACKROCK 19452P473	0.00	7.26	0.00	7.26	95.0	6.90

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/30/2017	GALLAS, ANN	BLACKROCK 19452P473	0.00	3.54	0.00	3.54	95.0	3.36
06/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	2.47	0.00	2.47	95.0	2.35
06/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	7.40	0.00	7.40	95.0	7.03
06/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P610	0.00	2.01	0.00	2.01	95.0	1.91
06/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	6.13	0.00	6.13	95.0	5.82
06/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	2.04	0.00	2.04	95.0	1.94
06/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	6.17	0.00	6.17	95.0	5.86
06/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	2.06	0.00	2.06	95.0	1.96
06/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P636	0.00	5.91	0.00	5.91	95.0	5.61
06/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P636	0.00	6.26	0.00	6.26	95.0	5.95
06/30/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	0.00	0.75	0.00	0.75	95.0	0.71
06/30/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	0.00	1.72	0.00	1.72	95.0	1.63
06/30/2017	MERRITT, GREGORY	BLK AGG 10-12 AGE BASED A	0.00	4.43	0.00	4.43	95.0	4.21
06/30/2017	YASCH, KEN	BLK AGG 13-16 AGE BASED A	0.00	0.58	0.00	0.58	95.0	0.55
06/30/2017	YASCH, SANDY	BLK AGG 6-9 AGE BASED A	0.00	0.60	0.00	0.60	95.0	0.57
06/30/2017	WILLIT	BLK AGG GROWTH A	0.00	2.27	0.00	2.27	95.0	2.16
06/30/2017	BAKER, JEAN-ANNE	BLK COLLEGE ADVANTAGE 529 PLAN	0.00	0.03	0.00	0.03	95.0	0.03
06/30/2017	BAKER, JEAN-ANNE	BLK COLLEGE ADVANTAGE 529 PLAN	0.00	0.09	0.00	0.09	95.0	0.09
06/30/2017	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.16	0.00	0.16	95.0	0.15
06/30/2017	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.48	0.00	0.48	95.0	0.46
06/30/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.84	0.00	0.84	95.0	0.80
06/30/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	2.52	0.00	2.52	95.0	2.39
06/30/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	1.79	0.00	1.79	95.0	1.70
06/30/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	0.60	0.00	0.60	95.0	0.57
06/30/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	1.06	0.00	1.06	95.0	1.01
06/30/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	3.18	0.00	3.18	95.0	3.02
06/30/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.77	0.00	0.77	95.0	0.73
06/30/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.36	0.00	0.36	95.0	0.34
06/30/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	1.08	0.00	1.08	95.0	1.03
06/30/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.12	0.00	0.12	95.0	0.11
06/30/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.35	0.00	0.35	95.0	0.33
06/30/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	0.00	0.17	0.00	0.17	95.0	0.16
06/30/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	0.00	0.06	0.00	0.06	95.0	0.06

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/30/2017	CFGROUP, VARIOUS	BLK MOD 0-5 AGE BASED C	0.00	3.30	0.00	3.30	95.0	3.14
06/30/2017	CFGROUP, VARIOUS	BLK MOD 0-5 AGE BASED C	0.00	1.10	0.00	1.10	95.0	1.05
06/30/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	2.30	0.00	2.30	95.0	2.19
07/25/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
07/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
07/31/2017	CFGROUP, VARIOUS	BLK MOD 0-5 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
06/30/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	0.00	3.21	0.00	3.21	95.0	3.05
06/30/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED A	0.00	0.96	0.00	0.96	95.0	0.91
06/30/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	0.00	3.21	0.00	3.21	95.0	3.05
06/30/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED A	0.00	3.19	0.00	3.19	95.0	3.03
06/30/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED C	0.00	0.79	0.00	0.79	95.0	0.75
06/30/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED C	0.00	2.38	0.00	2.38	95.0	2.26
06/30/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	0.00	0.42	0.00	0.42	95.0	0.40
06/30/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	0.00	0.14	0.00	0.14	95.0	0.13
06/30/2017	BARENTS, SUZANNE	BLK MOD 10-12 AGE BASED C	0.00	1.07	0.00	1.07	95.0	1.02
06/30/2017	BARENTS, SUZANNE	BLK MOD 10-12 AGE BASED C	0.00	3.21	0.00	3.21	95.0	3.05
06/30/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	0.00	7.23	0.00	7.23	95.0	6.87
06/30/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	0.00	2.41	0.00	2.41	95.0	2.29
06/30/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	0.00	2.41	0.00	2.41	95.0	2.29
06/30/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	0.00	7.23	0.00	7.23	95.0	6.87
06/30/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED C	0.00	0.64	0.00	0.64	95.0	0.61
06/30/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED C	0.00	1.91	0.00	1.91	95.0	1.81
07/31/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
06/30/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	0.00	2.30	0.00	2.30	95.0	2.19
06/30/2017	NIK, YASCH	BLK MOD 13-16 AGE BASED A	0.00	0.54	0.00	0.54	95.0	0.51
06/30/2017	MERRITT, GREGORY	BLK MOD 13-16 AGE BASED A	0.00	2.02	0.00	2.02	95.0	1.92
06/30/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED A	0.00	2.86	0.00	2.86	95.0	2.72
06/30/2017	HOOTNER-JONES, ANN	BLK MOD 13-16 AGE BASED A	0.00	4.89	0.00	4.89	95.0	4.65
06/30/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED A	0.00	1.38	0.00	1.38	95.0	1.31
06/30/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	0.00	4.12	0.00	4.12	95.0	3.91
06/30/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	1.74	0.00	1.74	95.0	1.65
06/30/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	0.58	0.00	0.58	95.0	0.55
06/30/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED C	0.00	0.64	0.00	0.64	95.0	0.61

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/30/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED C	0.00	1.92	0.00	1.92	95.0	1.82
06/30/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	2.73	0.00	2.73	95.0	2.59
06/30/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	0.91	0.00	0.91	95.0	0.86
06/30/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	1.42	0.00	1.42	95.0	1.35
06/30/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	0.47	0.00	0.47	95.0	0.45
06/30/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	2.15	0.00	2.15	95.0	2.04
06/30/2017	MERRITT, GREGORY	BLK MOD 17+ AGE BASED A	0.00	0.38	0.00	0.38	95.0	0.36
06/30/2017	MUENCH, DANIEL	BLK MOD 17+ AGE BASED A	0.00	2.32	0.00	2.32	95.0	2.20
06/30/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	3.80	0.00	3.80	95.0	3.61
06/30/2017	MUENCH, DANIEL	BLK MOD 17+ AGE BASED A	0.00	0.86	0.00	0.86	95.0	0.82
06/30/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED A	0.00	0.37	0.00	0.37	95.0	0.35
06/30/2017	MERRITT, GREGORY	BLK MOD 6-9 AGE BASED A	0.00	4.02	0.00	4.02	95.0	3.82
06/30/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	2.41	0.00	2.41	95.0	2.29
06/30/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	0.80	0.00	0.80	95.0	0.76
06/30/2017	BARENTS, SUZANNE	BLK MOD 6-9 AGE BASED C	0.00	1.10	0.00	1.10	95.0	1.05
06/30/2017	BARENTS, SUZANNE	BLK MOD 6-9 AGE BASED C	0.00	3.30	0.00	3.30	95.0	3.14
06/30/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.10	0.00	0.10	95.0	0.10
06/30/2017	COOPER, LISA	BLK MOD 6-9 AGE BASED C	0.00	6.50	0.00	6.50	95.0	6.18
06/30/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.29	0.00	0.29	95.0	0.28
06/30/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.22	0.00	0.22	95.0	0.21
06/30/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.07	0.00	0.07	95.0	0.07
06/30/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	2.39	0.00	2.39	95.0	2.27
06/30/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	0.80	0.00	0.80	95.0	0.76
06/30/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	1.06	0.00	1.06	95.0	1.01
06/30/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	3.17	0.00	3.17	95.0	3.01
06/30/2017	COOPER, LISA	BLK MOD 6-9 AGE BASED C	0.00	2.17	0.00	2.17	95.0	2.06
07/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
07/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
07/03/2017	PARTALIS, CHRISTINE	DAVIS NEW YORK VENTURE A	100.00	4.00	0.00	4.00	95.0	3.80
07/17/2017	ROBINETTE, JANET	DAVIS NEW YORK VENTURE A	50.00	2.00	0.00	2.00	95.0	1.90
07/31/2017	CUDNIK, LEONARD	DAVIS NEW YORK VENTURE A	200.00	8.00	0.00	8.00	95.0	7.60
07/17/2017	MORICI, MICHELLE	DAVIS NEW YORK VENTURE B	50.00	2.00	0.00	2.00	95.0	1.90
07/17/2017	GARLAND, DAWN	DAVIS NEW YORK VENTURE B	30.00	1.20	0.00	1.20	95.0	1.14

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/28/2017	RITTER, WILLIAM	DAVIS NEW YORK VENTURE B	25.00	1.00	0.00	1.00	95.0	0.95
07/31/2017	PISTOLESI, VAUGHN	DAVIS NEW YORK VENTURE B	200.00	8.00	0.00	8.00	95.0	7.60
07/31/2017	PISTOLESI, MORGAN	DAVIS NEW YORK VENTURE B	100.00	4.00	0.00	4.00	95.0	3.80
07/12/2017	WERNER, DOROTHY	DREYFUS HIGH YIELD A	0.00	0.00	0.00	0.00	95.0	0.00
07/12/2017	WERNER, DOROTHY	DWS DEUTSCHE HIGH INCOME FUND A	0.00	0.00	0.00	0.00	95.0	0.00
07/20/2017	BAKEWELL, RALPH	DWS DEUTSCHE HIGH INCOME FUND A	200.00	8.00	0.00	8.00	95.0	7.60
/ /	BLEIL, STEPHEN	FIDELITY ADV GOLD	0.00	0.09	0.00	0.09	95.0	0.09
/ /	ROCCA, JACQUELYN	FIDELITY ADV GROWTH OPP	0.00	0.52	0.00	0.52	95.0	0.49
/ /	ROCCA, ALEX	FIDELITY ADV GROWTH OPP	0.00	0.65	0.00	0.65	95.0	0.62
/ /	ROCCA, AARON	FIDELITY ADV GROWTH OPP	0.00	0.65	0.00	0.65	95.0	0.62
/ /	MCQUEENEY, JULIE	FIDELITY ADV GROWTH OPP	0.00	1.05	0.00	1.05	95.0	1.00
/ /	FELIS-ROSS, CAROL	FIDELITY ADV GROWTH OPP	0.00	0.11	0.00	0.11	95.0	0.10
/ /	SCALISE, CYNTHIA	FIDELITY ADV GROWTH OPP	0.00	1.23	0.00	1.23	95.0	1.17
/ /	DUDZIK, ELZBIETA	FIDELITY ADV GROWTH OPP	0.00	25.41	0.00	25.41	95.0	24.14
/ /	KULBACK, WILLIAM	FIDELITY ADV GROWTH OPP A	0.00	3.92	0.00	3.92	95.0	3.72
/ /	VIVIANO, JASON	FIDELITY ADV GROWTH OPP A	0.00	1.75	0.00	1.75	95.0	1.66
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV HEALTH CARE T	0.00	13.01	0.00	13.01	95.0	12.36
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV LARGE CAP	0.00	9.26	0.00	9.26	95.0	8.80
/ /	KULBACK, WILLIAM	FIDELITY ADV LARGE CAP A	0.00	5.21	0.00	5.21	95.0	4.95
/ /	HERWARTH, CHARLES	FIDELITY ADV LARGE CAP A	0.00	1.84	0.00	1.84	95.0	1.75
/ /	FELIS-ROSS, CAROL	FIDELITY ADV MORTGAGE SECURITIES T	0.00	0.14	0.00	0.14	95.0	0.13
/ /	SCALISE, CYNTHIA	FIDELITY ADV MORTGAGE SECURITIES T	0.00	1.64	0.00	1.64	95.0	1.56
/ /	KULBACK, WILLIAM	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	4.03	0.00	4.03	95.0	3.83
/ /	HERWARTH, CHARLES	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	2.04	0.00	2.04	95.0	1.94
/ /	DUDZIK, ELZBIETA	FIDELITY BALANCED T	0.00	20.93	0.00	20.93	95.0	19.88
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MARKETS	0.00	0.10	0.00	0.10	95.0	0.10
/ /	ROCCA, ALEX	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	ROCCA, AARON	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MARKETS	0.00	3.53	0.00	3.53	95.0	3.35
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MKTS T	0.00	0.26	0.00	0.26	95.0	0.25
/ /	ROCCA, ALEX	FIDELITY EMERGING MKTS T	0.00	0.16	0.00	0.16	95.0	0.15
/ /	ROCCA, AARON	FIDELITY EMERGING MKTS T	0.00	0.16	0.00	0.16	95.0	0.15
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MKTS T	0.00	6.65	0.00	6.65	95.0	6.32

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	KULBACK, WILLIAM	FIDELITY EQUITY GROWTH A	0.00	5.01	0.00	5.01	95.0	4.76
/ /	HERWARTH, CHARLES	FIDELITY EQUITY GROWTH A	0.00	1.80	0.00	1.80	95.0	1.71
/ /	BESS, JAMES	FIDELITY EQUITY GROWTH A	0.00	2.73	0.00	2.73	95.0	2.59
/ /	ZOBOSKI, JAYNE	FIDELITY EQUITY INCOME A	0.00	3.52	0.00	3.52	95.0	3.34
/ /	FRINTNER, SEAN EDWARD	FIDELITY EQUITY INCOME A	0.00	1.23	0.00	1.23	95.0	1.17
/ /	ZERILLI, ROSA	FIDELITY GROWTH & INCOME A	0.00	1.50	0.00	1.50	95.0	1.43
/ /	HERWARTH, CHARLES	FIDELITY TECHNOLOGY A	0.00	1.41	0.00	1.41	95.0	1.34
/ /	ZERILLI, ROSA	FIDELITY TECHNOLOGY A	0.00	1.70	0.00	1.70	95.0	1.62
/ /	ROHLOFF, SHARON	FIDELITY TECHNOLOGY A	0.00	0.59	0.00	0.59	95.0	0.56
/ /	BEARD, LATOYA	FIDELITY TECHNOLOGY A	0.00	0.03	0.00	0.03	95.0	0.03
07/31/2017	WILLIAMSON, CHLOE	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	0.44	0.00	0.44	95.0	0.42
07/31/2017	TAYLOR, DAVID	FRANKLIN BALANCE SHEET INVST A	0.00	0.21	0.00	0.21	95.0	0.20
07/31/2017	EILLISON, BARBARA	FRANKLIN CA TF INCOME A	0.00	24.51	0.00	24.51	95.0	23.28
07/31/2017	CASTELLI, FRED	FRANKLIN CA TF INCOME A	0.00	1.99	0.00	1.99	95.0	1.89
07/31/2017	PRICE, PATRICIA	FRANKLIN CONV SEC A	0.00	1.05	0.00	1.05	95.0	1.00
07/31/2017	OSBORN, RICHARD	FRANKLIN DYNATECH A	0.00	5.72	0.00	5.72	95.0	5.43
07/31/2017	WATSON, ROBERT	FRANKLIN DYNATECH C	0.00	9.28	0.00	9.28	95.0	8.82
07/31/2017	SCHONER, ALAN	FRANKLIN EQUITY INCOME A	0.00	17.79	0.00	17.79	95.0	16.90
07/31/2017	PRICE, PATRICIA	FRANKLIN EQUITY INCOME A	0.00	4.77	0.00	4.77	95.0	4.53
07/31/2017	KRAUSE, BARBARA	FRANKLIN FED TAX FREE INCOME A	0.00	36.39	0.00	36.39	95.0	34.57
07/31/2017	KRAUSE, MICHAEL	FRANKLIN FED TAX FREE INCOME A	0.00	1.07	0.00	1.07	95.0	1.02
07/31/2017	HENKIN TRUST, RAYMOND & EDEN	FRANKLIN FED TAX FREE INCOME A	0.00	8.92	0.00	8.92	95.0	8.47
07/31/2017	WILLIAMSON, CHLOE	FRANKLIN FOUNDING FUNDS 529 PORT C	0.00	2.15	0.00	2.15	95.0	2.04
07/31/2017	ROBINSON, BRUCE	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.59	0.00	2.59	95.0	2.46
07/31/2017	MILLARD, PATRICIA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	0.66	0.00	0.66	95.0	0.63
07/31/2017	LOFTUS, SUSAN	FRANKLIN GOLD & PRECIOUS METALS A	0.00	0.91	0.00	0.91	95.0	0.86
07/31/2017	MICHON, BARBARA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.14	0.00	1.14	95.0	1.08
07/31/2017	RYAN, ANDREW	FRANKLIN GOLD & PRECIOUS METALS A	0.00	8.23	0.00	8.23	95.0	7.82
07/31/2017	AKERS, JAMES	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.76	0.00	1.76	95.0	1.67
07/31/2017	MICHON, ERIK	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.99	0.00	1.99	95.0	1.89
07/31/2017	PATRICK, BEVERLY	FRANKLIN GROWTH A	0.00	1.28	0.00	1.28	95.0	1.22
07/31/2017	GREGORY J ELBERT, C/O	FRANKLIN GROWTH A	0.00	0.35	0.00	0.35	95.0	0.33
07/31/2017	SAAR, ALEXIS	FRANKLIN GROWTH A	0.00	0.25	0.00	0.25	95.0	0.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/31/2017	FORD, JOHN	FRANKLIN GROWTH A	0.00	0.21	0.00	0.21	95.0	0.20
07/31/2017	OBIE, THOMAS	FRANKLIN GROWTH A	0.00	8.90	0.00	8.90	95.0	8.46
07/31/2017	DAVIS, JAMES	FRANKLIN GROWTH A	0.00	0.28	0.00	0.28	95.0	0.27
07/25/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
07/31/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	0.00	108.54	0.00	108.54	95.0	103.11
07/31/2017	WILEY, MATTALYN	FRANKLIN GROWTH OPP A	0.00	0.56	0.00	0.56	95.0	0.53
07/31/2017	OSBORN, RICHARD	FRANKLIN GROWTH OPP A	0.00	3.15	0.00	3.15	95.0	2.99
07/31/2017	FORD, JOHN	FRANKLIN GROWTH OPP A	0.00	0.54	0.00	0.54	95.0	0.51
07/31/2017	HENKIN TRUST, RAYMOND & EDEN	FRANKLIN HIGH INCOME A	0.00	12.98	0.00	12.98	95.0	12.33
07/31/2017	CELANI, JOSEPH	FRANKLIN HIGH INCOME A	0.00	4.54	0.00	4.54	95.0	4.31
07/31/2017	CELANI, MAURIZIO	FRANKLIN HIGH INCOME A	0.00	13.64	0.00	13.64	95.0	12.96
07/31/2017	PRICE, PATRICIA	FRANKLIN HIGH INCOME A	0.00	0.58	0.00	0.58	95.0	0.55
07/31/2017	MANNING, JOHN	FRANKLIN HIGH INCOME C	0.00	45.17	0.00	45.17	95.0	42.91
07/31/2017	OBIE, THOMAS	FRANKLIN HIGH YIELD TAX FREE A	0.00	2.61	0.00	2.61	95.0	2.48
07/31/2017	SCHARL, MICHAEL	FRANKLIN HIGH YIELD TAX FREE A	0.00	0.56	0.00	0.56	95.0	0.53
07/31/2017	RUTKOWSKI, DONALD	FRANKLIN HIGH YIELD TAX FREE A	0.00	11.48	0.00	11.48	95.0	10.91
07/31/2017	FISK, RALPH	FRANKLIN HIGH YIELD TAX FREE A	0.00	33.94	0.00	33.94	95.0	32.24
07/31/2017	DYER, TRACY	FRANKLIN HIGH YIELD TAX FREE A	0.00	0.35	0.00	0.35	95.0	0.33
07/31/2017	CHADICK TRUST	FRANKLIN INCOME A	0.00	4.39	0.00	4.39	95.0	4.17
07/31/2017	LAZARUS, CONSTANTINE	FRANKLIN INCOME A	0.00	62.64	0.00	62.64	95.0	59.51
07/31/2017	LAZARUS, HELEN	FRANKLIN INCOME A	0.00	27.86	0.00	27.86	95.0	26.47
07/31/2017	SMYKOWSKI, JAMES	FRANKLIN INCOME A	0.00	24.37	0.00	24.37	95.0	23.15
07/31/2017	WILSON, JANET	FRANKLIN INCOME A	0.00	0.71	0.00	0.71	95.0	0.67
07/31/2017	HATFIELD MCDUFFIE, JOYCE	FRANKLIN INCOME A	0.00	3.18	0.00	3.18	95.0	3.02
07/31/2017	MATSURA, MATTHEW	FRANKLIN INCOME A	0.00	7.40	0.00	7.40	95.0	7.03
07/31/2017	ALLEN, LAUREN	FRANKLIN INCOME A	0.00	6.95	0.00	6.95	95.0	6.60
07/31/2017	MATHEY, ERIN	FRANKLIN INCOME A	0.00	1.88	0.00	1.88	95.0	1.79
07/31/2017	GOUDREAU, RACHEL	FRANKLIN INCOME A	0.00	1.83	0.00	1.83	95.0	1.74
07/31/2017	DAVIS, JAMES	FRANKLIN INCOME A	0.00	0.33	0.00	0.33	95.0	0.31
07/31/2017	MATYASIC, JULIE	FRANKLIN INCOME A	0.00	2.98	0.00	2.98	95.0	2.83
07/31/2017	RICHARDSON, BLAKE	FRANKLIN INCOME A	0.00	3.04	0.00	3.04	95.0	2.89
07/31/2017	NELLES, TROY	FRANKLIN INCOME A	0.00	0.47	0.00	0.47	95.0	0.45
07/31/2017	CHANDLER, JOHN	FRANKLIN INCOME A	0.00	7.27	0.00	7.27	95.0	6.91

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/31/2017	CHANDLER, CHARLES	FRANKLIN INCOME A	0.00	3.07	0.00	3.07	95.0	2.92
07/31/2017	PRICE, PATRICIA	FRANKLIN INCOME A	0.00	3.57	0.00	3.57	95.0	3.39
07/31/2017	PAUL, DARLEEN	FRANKLIN INCOME A	0.00	1.05	0.00	1.05	95.0	1.00
07/31/2017	DAVIS, JAMES	FRANKLIN INCOME A	0.00	17.33	0.00	17.33	95.0	16.46
07/31/2017	DAVIS, RITA	FRANKLIN INCOME A	0.00	19.41	0.00	19.41	95.0	18.44
07/31/2017	DENNIS L FUSINSKI, BENE	FRANKLIN INCOME A	0.00	11.47	0.00	11.47	95.0	10.90
07/31/2017	MANOLATOS, STEVE	FRANKLIN INCOME A	0.00	26.58	0.00	26.58	95.0	25.25
07/31/2017	WALKER, SUE	FRANKLIN INCOME A	0.00	44.31	0.00	44.31	95.0	42.09
07/31/2017	DAVIS, JAMES	FRANKLIN INT'L SMALL CAP GROWTH	0.00	22.22	0.00	22.22	95.0	21.11
07/31/2017	MALLIA, JOSEPH	FRANKLIN MI TAX FR INCOME A	0.00	8.36	0.00	8.36	95.0	7.94
07/31/2017	STROUSE, MARILYN	FRANKLIN MI TAX FR INCOME A	0.00	20.97	0.00	20.97	95.0	19.92
07/31/2017	BELSER, JOHN	FRANKLIN MI TAX FR INCOME A	0.00	3.39	0.00	3.39	95.0	3.22
07/31/2017	BROWN, KAY	FRANKLIN MI TAX FR INCOME A	0.00	5.52	0.00	5.52	95.0	5.24
07/31/2017	LAGA, LAURA	FRANKLIN MI TAX FR INCOME A	0.00	1.55	0.00	1.55	95.0	1.47
07/31/2017	WORTHY, MILDRED	FRANKLIN MI TAX FR INCOME A	0.00	5.96	0.00	5.96	95.0	5.66
07/31/2017	SMITH, JILL	FRANKLIN MI TAX FR INCOME A	0.00	2.50	0.00	2.50	95.0	2.38
07/31/2017	SZOCINSKI, CASIMER	FRANKLIN MI TAX FR INCOME A	0.00	5.38	0.00	5.38	95.0	5.11
07/31/2017	JENDRUSINA, ROGER	FRANKLIN MI TAX FR INCOME A	0.00	11.12	0.00	11.12	95.0	10.56
07/31/2017	SCHNEIDER, REBECCA	FRANKLIN MN TAX FREE INCOME A	0.00	0.40	0.00	0.40	95.0	0.38
07/31/2017	ULISSE, DONALD	FRANKLIN MUTUAL BEACON C	0.00	880.93	0.00	880.93	95.0	836.88
07/31/2017	PRICE, PATRICIA	FRANKLIN MUTUAL SHARES A	0.00	7.53	0.00	7.53	95.0	7.15
07/31/2017	LOFTUS, SUSAN	FRANKLIN NATURAL RESOURCES A	0.00	0.37	0.00	0.37	95.0	0.35
07/31/2017	CLARK, EDWARD	FRANKLIN OH TAX FR INCOME FUND	0.00	3.87	0.00	3.87	95.0	3.68
07/31/2017	SCHRITZ, BRYAN	FRANKLIN OH TAX FR INCOME FUND	0.00	13.36	0.00	13.36	95.0	12.69
07/31/2017	WALKER TRUST, SUE	FRANKLIN OH TAX FR INCOME FUND	0.00	9.81	0.00	9.81	95.0	9.32
07/31/2017	FOX UBER, ROBERT	FRANKLIN OH TAX FR INCOME FUND	0.00	0.03	0.00	0.03	95.0	0.03
07/31/2017	WARNER, KRISTA	FRANKLIN OH TAX FR INCOME FUND	0.00	1.35	0.00	1.35	95.0	1.28
07/31/2017	PRICE, PATRICIA	FRANKLIN RISING DIVIDENDS A	0.00	6.30	0.00	6.30	95.0	5.99
07/31/2017	RUSHLOW, JEAN	FRANKLIN RISING DIVIDENDS A	0.00	13.20	0.00	13.20	95.0	12.54
07/31/2017	RUSHLOW, JEAN	FRANKLIN RISING DIVIDENDS A	0.00	7.89	0.00	7.89	95.0	7.50
07/31/2017	RUSHLOW, LAWRENCE	FRANKLIN RISING DIVIDENDS A	0.00	13.76	0.00	13.76	95.0	13.07
07/31/2017	RUSHLOW, LAWRENCE	FRANKLIN RISING DIVIDENDS A	0.00	7.89	0.00	7.89	95.0	7.50
07/31/2017	MANOLATOS, STEVE	FRANKLIN RISING DIVIDENDS A	0.00	82.18	0.00	82.18	95.0	78.07

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/31/2017	SALLEY, PAMELA	FRANKLIN SMALL CAP GROWTH A	0.00	0.62	0.00	0.62	95.0	0.59
07/31/2017	OBIE, THOMAS	FRANKLIN SMALL CAP VALUE A	0.00	5.50	0.00	5.50	95.0	5.23
07/31/2017	OBIE, MARCIA	FRANKLIN SMALL CAP VALUE A	0.00	10.21	0.00	10.21	95.0	9.70
07/31/2017	DAIZA, PHILLIP	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	0.88	0.00	0.88	95.0	0.84
07/31/2017	MATYASIC, JULIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	4.71	0.00	4.71	95.0	4.47
07/31/2017	SWARTZ, ERIC	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	10.54	0.00	10.54	95.0	10.01
07/31/2017	ELBERT, NATHANIAL	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	0.24	0.00	0.24	95.0	0.23
07/31/2017	OBIE, THOMAS	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	6.38	0.00	6.38	95.0	6.06
07/31/2017	OBIE, MARCIA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	9.45	0.00	9.45	95.0	8.98
07/31/2017	WULFF, STEVEN	FRANKLIN STRATEGIC INCOME C	0.00	10.47	0.00	10.47	95.0	9.95
07/31/2017	BELSER, HELEN	FRANKLIN TN MUNI BOND A	0.00	7.23	0.00	7.23	95.0	6.87
07/31/2017	GOTT, RICHARD	FRANKLIN US GOVT SEC FUND A	0.00	5.04	0.00	5.04	95.0	4.79
07/31/2017	GIRTY, MABLE	FRANKLIN US GOVT SEC FUND A	0.00	5.17	0.00	5.17	95.0	4.91
07/31/2017	SCHORNAK-YEE, DEBRA	FRANKLIN US GOVT SEC FUND A	0.00	0.76	0.00	0.76	95.0	0.72
07/31/2017	MICHAELS, RONALD	FRANKLIN US GOVT SEC FUND A	0.00	10.77	0.00	10.77	95.0	10.23
07/31/2017	MICHAELS, RENEE	FRANKLIN US GOVT SEC FUND A	0.00	2.32	0.00	2.32	95.0	2.20
07/31/2017	WORTHY, MILDRED	FRANKLIN US GOVT SEC FUND A	0.00	4.58	0.00	4.58	95.0	4.35
07/31/2017	MICHAELS, RICK	FRANKLIN US GOVT SEC FUND A	0.00	18.57	0.00	18.57	95.0	17.64
07/31/2017	MEHLER, BENE	FRANKLIN US GOVT SEC FUND A	0.00	0.42	0.00	0.42	95.0	0.40
07/31/2017	LORI RUTH THOMASSY, BENE	FRANKLIN US GOVT SEC FUND A	0.00	0.50	0.00	0.50	95.0	0.48
07/31/2017	OBIE, THOMAS	FRANKLIN US GOVT SEC FUND A	0.00	31.94	0.00	31.94	95.0	30.34
07/31/2017	OBIE, MARCIA	FRANKLIN US GOVT SEC FUND A	0.00	9.99	0.00	9.99	95.0	9.49
07/31/2017	OBIE, BRADFORD	FRANKLIN US GOVT SEC FUND A	0.00	6.66	0.00	6.66	95.0	6.33
07/31/2017	WULFF, STEVEN	FRANKLIN US GOVT SEC FUND C	0.00	10.62	0.00	10.62	95.0	10.09
07/31/2017	BARTOLONE, DANA	FRANKLIN US GOVT SEC FUND C	0.00	34.95	0.00	34.95	95.0	33.20
07/31/2017	MCKENZIE TRUST, WALTER &	FRANKLIN UTILITIES A	0.00	4.19	0.00	4.19	95.0	3.98
07/31/2017	MAY, PEGGY	FRANKLIN UTILITIES A	0.00	1.13	0.00	1.13	95.0	1.07
07/31/2017	BAEKELANDT, KARL	FRANKLIN UTILITIES A	0.00	23.11	0.00	23.11	95.0	21.95
07/31/2017	WATTS, MARIA	FRANKLIN UTILITIES A	0.00	0.25	0.00	0.25	95.0	0.24
07/31/2017	SEVESTIAN JR, JOHN	FRANKLIN UTILITIES A	0.00	0.96	0.00	0.96	95.0	0.91
07/31/2017	MACCAGNONE, GARY	FT FOUNDING FDS ALLOC C	0.00	2.15	0.00	2.15	95.0	2.04
07/31/2017	DUDA, ANNA	FT FOUNDING FUNDS ALLOC A	0.00	3.44	0.00	3.44	95.0	3.27
/ /	OVERMYER, SUSAN	HARTFORD CAPITAL APP II A	0.00	8.21	0.00	8.21	95.0	7.80

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	ROCCA, HELEN	HARTFORD CAPITAL APPRECIATION A	0.00	0.64	0.00	0.64	95.0	0.61
/ /	HARTMAN, GREGORY	HARTFORD CAPITAL APPRECIATION A	0.00	0.87	0.00	0.87	95.0	0.83
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD CAPITAL APPRECIATION C	0.00	5.36	0.00	5.36	95.0	5.09
/ /	OVERMYER, SUSAN	HARTFORD DIVIDEND & GROWTH A	0.00	22.81	0.00	22.81	95.0	21.67
/ /	COLE, JAMES WILLIAM	HARTFORD DIVIDEND & GROWTH C	0.00	12.90	0.00	12.90	95.0	12.26
/ /	HARTMAN, GREGORY	HARTFORD HEALTHCARE A	0.00	0.84	0.00	0.84	95.0	0.80
/ /	OVERMYER, SUSAN	HARTFORD HEALTHCARE A	0.00	41.68	0.00	41.68	95.0	39.60
/ /	RUFFINO, ERNESTINE	HARTFORD HEALTHCARE A	0.00	20.78	0.00	20.78	95.0	19.74
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD HEALTHCARE C	0.00	5.18	0.00	5.18	95.0	4.92
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP A	0.00	2.06	0.00	2.06	95.0	1.96
/ /	OVERMYER, SUSAN	HARTFORD MIDCAP A	0.00	25.76	0.00	25.76	95.0	24.47
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD MIDCAP C	0.00	4.60	0.00	4.60	95.0	4.37
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP VALUE A	0.00	1.15	0.00	1.15	95.0	1.09
/ /	HARTMAN, GREGORY	HARTFORD SCHRDRS US SM MD CAP OPP-A	0.00	1.29	0.00	1.29	95.0	1.23
/ /	HARTMAN, GREGORY	HARTFORD SMALLCAP GROWTH A	0.00	3.96	0.00	3.96	95.0	3.76
/ /	HARTMAN, GREGORY	HARTFUND EQUITY INCIME FUND A	0.00	1.88	0.00	1.88	95.0	1.79
07/25/2017	DAIZA, PHILLIP	INVESCO CHARTER FUND C	50.00	0.50	0.00	0.50	95.0	0.48
07/12/2017	ALEXOPOULOS, PAUL	LORD ABBETT BOND DEBENTURE A	0.00	0.00	0.00	0.00	95.0	0.00
07/06/2017	ERKFITZ, ELAINE	MAINSTAY HIGH YIELD CORP BOND A	0.00	22.63	0.00	22.63	95.0	21.50
07/19/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	62.50	2.97	0.00	2.97	95.0	2.82
07/19/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	33.77	1.60	0.00	1.60	95.0	1.52
07/19/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	62.50	1.88	0.00	1.88	95.0	1.79
07/19/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	54.68	1.64	0.00	1.64	95.0	1.56
07/17/2017	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
07/17/2017	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
07/17/2017	DINKA, JOHN	OPPENHEIMER CAPITAL APPRECIATION C	1,400.00	14.00	0.00	14.00	95.0	13.30
07/17/2017	DINKA, JOHN	OPPENHEIMER CAPITAL APPRECIATION C	150.00	1.50	0.00	1.50	95.0	1.43
07/17/2017	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	200.00	2.00	0.00	2.00	95.0	1.90
07/17/2017	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	44.65	0.45	0.00	0.45	95.0	0.43
07/19/2017	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	40.38	0.40	0.00	0.40	95.0	0.38
07/19/2017	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	40.38	0.40	0.00	0.40	95.0	0.38
07/24/2017	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	20.08	0.20	0.00	0.20	95.0	0.19
07/24/2017	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	12.04	0.12	0.00	0.12	95.0	0.11

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/24/2017	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	255.00	2.55	0.00	2.55	95.0	2.42
07/24/2017	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	40.80	0.41	0.00	0.41	95.0	0.39
07/24/2017	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	87.12	0.87	0.00	0.87	95.0	0.83
07/24/2017	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	52.28	0.52	0.00	0.52	95.0	0.49
07/19/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	62.50	2.97	0.00	2.97	95.0	2.82
07/19/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	33.77	1.60	0.00	1.60	95.0	1.52
07/19/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	62.50	1.88	0.00	1.88	95.0	1.79
07/19/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	54.68	1.64	0.00	1.64	95.0	1.56
07/17/2017	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	100.00	1.00	0.00	1.00	95.0	0.95
07/17/2017	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	22.33	0.22	0.00	0.22	95.0	0.21
07/24/2017	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	196.85	1.97	0.00	1.97	95.0	1.87
07/24/2017	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	34.74	0.35	0.00	0.35	95.0	0.33
07/24/2017	ELIAS, JANICE	OPPENHEIMER GLOBAL C	19.48	0.19	0.00	0.19	95.0	0.18
07/24/2017	ELIAS, JANICE	OPPENHEIMER GLOBAL C	11.69	0.12	0.00	0.12	95.0	0.11
07/24/2017	DITRI, ANN	OPPENHEIMER GLOBAL C	247.50	2.48	0.00	2.48	95.0	2.36
07/24/2017	DITRI, ANN	OPPENHEIMER GLOBAL C	39.60	0.40	0.00	0.40	95.0	0.38
07/17/2017	DINKA, CATHERINE	OPPENHEIMER GLOBAL OPPORTUNITIES C	350.00	3.50	0.00	3.50	95.0	3.33
07/17/2017	DINKA, CATHERINE	OPPENHEIMER GLOBAL OPPORTUNITIES C	75.00	0.75	0.00	0.75	95.0	0.71
07/17/2017	DINKA, JOHN	OPPENHEIMER GLOBAL OPPORTUNITIES C	700.00	7.00	0.00	7.00	95.0	6.65
07/17/2017	DINKA, JOHN	OPPENHEIMER GLOBAL OPPORTUNITIES C	75.00	0.75	0.00	0.75	95.0	0.71
07/17/2017	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	200.00	2.00	0.00	2.00	95.0	1.90
07/17/2017	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	44.65	0.45	0.00	0.45	95.0	0.43
07/19/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	40.38	0.40	0.00	0.40	95.0	0.38
07/19/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	40.38	0.40	0.00	0.40	95.0	0.38
07/24/2017	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	196.85	1.97	0.00	1.97	95.0	1.87
07/24/2017	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	34.74	0.35	0.00	0.35	95.0	0.33
07/24/2017	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	37.50	0.38	0.00	0.38	95.0	0.36
07/24/2017	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	37.21	0.37	0.00	0.37	95.0	0.35
07/19/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	40.38	0.40	0.00	0.40	95.0	0.38
07/19/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	40.38	0.40	0.00	0.40	95.0	0.38
07/24/2017	BAKER, STEVEN	OPPENHEIMER INTL BOND C	87.12	0.87	0.00	0.87	95.0	0.83
07/24/2017	BAKER, STEVEN	OPPENHEIMER INTL BOND C	52.27	0.52	0.00	0.52	95.0	0.49
07/24/2017	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	87.12	0.87	0.00	0.87	95.0	0.83

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/24/2017	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	52.28	0.52	0.00	0.52	95.0	0.49
07/19/2017	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	62.50	2.97	0.00	2.97	95.0	2.82
07/19/2017	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	33.76	1.60	0.00	1.60	95.0	1.52
07/19/2017	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	62.50	1.88	0.00	1.88	95.0	1.79
07/19/2017	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	54.67	1.64	0.00	1.64	95.0	1.56
07/24/2017	DAMORE, MONICA	OPPENHEIMER INTL SMALL CO C	202.82	2.03	0.00	2.03	95.0	1.93
07/24/2017	DAMORE, MONICA	OPPENHEIMER INTL SMALL CO C	35.79	0.36	0.00	0.36	95.0	0.34
07/17/2017	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	25.00	0.25	0.00	0.25	95.0	0.24
07/17/2017	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	25.00	0.25	0.00	0.25	95.0	0.24
07/17/2017	DINKA, CATHERINE	OPPENHEIMER MAIN ST SELECT C	1,050.00	10.50	0.00	10.50	95.0	9.98
07/17/2017	DINKA, CATHERINE	OPPENHEIMER MAIN ST SELECT C	225.00	2.25	0.00	2.25	95.0	2.14
07/24/2017	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	19.48	0.19	0.00	0.19	95.0	0.18
07/24/2017	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	11.69	0.12	0.00	0.12	95.0	0.11
07/17/2017	DINKA, CATHERINE	OPPENHEIMER MAIN ST SMALL & MID CAP	1,050.00	10.50	0.00	10.50	95.0	9.98
07/17/2017	DINKA, CATHERINE	OPPENHEIMER MAIN ST SMALL & MID CAP	225.00	2.25	0.00	2.25	95.0	2.14
07/19/2017	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	40.38	0.40	0.00	0.40	95.0	0.38
07/19/2017	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	40.38	0.40	0.00	0.40	95.0	0.38
07/17/2017	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	50.00	0.50	0.00	0.50	95.0	0.48
07/17/2017	DINKA, CATHERINE	OPPENHEIMER MAIN STREET C	1,050.00	10.50	0.00	10.50	95.0	9.98
07/17/2017	DINKA, CATHERINE	OPPENHEIMER MAIN STREET C	225.00	2.25	0.00	2.25	95.0	2.14
07/24/2017	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	37.50	0.38	0.00	0.38	95.0	0.36
07/24/2017	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	37.21	0.37	0.00	0.37	95.0	0.35
07/12/2017	BROUSE, SARAH	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	0.72	0.00	0.72	95.0	0.68
07/17/2017	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	125.00	1.25	0.00	1.25	95.0	1.19
07/17/2017	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	125.00	1.25	0.00	1.25	95.0	1.19
07/19/2017	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	62.50	1.88	0.00	1.88	95.0	1.79
07/19/2017	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	54.68	1.64	0.00	1.64	95.0	1.56
07/17/2017	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	600.00	6.00	0.00	6.00	95.0	5.70
07/17/2017	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	86.31	0.86	0.00	0.86	95.0	0.82
07/17/2017	TORRICE, PAULA	OPPENHEIMER QUEST OPP VALUE C	50.00	0.50	0.00	0.50	95.0	0.48
07/17/2017	TORRICE, PAULA	OPPENHEIMER QUEST OPP VALUE C	50.00	0.50	0.00	0.50	95.0	0.48
07/24/2017	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	75.00	0.75	0.00	0.75	95.0	0.71
07/24/2017	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	74.42	0.74	0.00	0.74	95.0	0.70

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/24/2017	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	87.12	0.87	0.00	0.87	95.0	0.83
07/24/2017	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	52.28	0.52	0.00	0.52	95.0	0.49
07/24/2017	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
07/24/2017	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	52.28	0.52	0.00	0.52	95.0	0.49
07/17/2017	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	80.00	0.80	0.00	0.80	95.0	0.76
07/17/2017	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	80.00	0.80	0.00	0.80	95.0	0.76
07/17/2017	DINKA, JOHN	OPPENHEIMER VALUE C	1,400.00	14.00	0.00	14.00	95.0	13.30
07/17/2017	DINKA, JOHN	OPPENHEIMER VALUE C	150.00	1.50	0.00	1.50	95.0	1.43
07/24/2017	DITRI, ANN	OPPENHEIMER VALUE C	247.50	2.48	0.00	2.48	95.0	2.36
07/24/2017	DITRI, ANN	OPPENHEIMER VALUE C	39.60	0.40	0.00	0.40	95.0	0.38
07/19/2017	RENTROP, GARRETT	OPPENHEIMIER QUEST BALANCED C	40.38	0.40	0.00	0.40	95.0	0.38
07/19/2017	RENTROP, GARRETT	OPPENHEIMIER QUEST BALANCED C	40.38	0.40	0.00	0.40	95.0	0.38
07/31/2017	CEBALT, THOMAS	TEMPLETON DEVELOPING MKTS A	0.00	1.61	0.00	1.61	95.0	1.53
07/31/2017	ELBERT, NATHANIAL	TEMPLETON DEVELOPING MKTS A	0.00	0.21	0.00	0.21	95.0	0.20
07/31/2017	WILEY, MATTALYN	TEMPLETON DEVELOPING MKTS A	0.00	0.60	0.00	0.60	95.0	0.57
07/31/2017	RYAN, ANDREW	TEMPLETON DEVELOPING MKTS A	0.00	16.01	0.00	16.01	95.0	15.21
07/31/2017	LOFTUS, SUSAN	TEMPLETON DEVELOPING MKTS A	0.00	0.71	0.00	0.71	95.0	0.67
07/31/2017	HENDERSON JT TEN, CAROLYN	TEMPLETON DEVELOPING MKTS A	0.00	2.15	0.00	2.15	95.0	2.04
07/31/2017	LEWIS, CHRISTIAN	TEMPLETON DEVELOPING MKTS A	0.00	0.00	0.00	0.00	95.0	0.00
07/31/2017	ROCCA, JOHN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	2.67	0.00	2.67	95.0	2.54
07/31/2017	BICKEL, PATRICIA	TEMPLETON FOREIGN FUND A	0.00	8.16	0.00	8.16	95.0	7.75
07/31/2017	BONHAM, JAMES	TEMPLETON FOREIGN FUND A	0.00	9.46	0.00	9.46	95.0	8.99
07/31/2017	ARONICA, JAMES	TEMPLETON FOREIGN FUND A	0.00	12.51	0.00	12.51	95.0	11.88
07/31/2017	TAYLOR, GARY	TEMPLETON FOREIGN FUND A	0.00	457.96	0.00	457.96	95.0	435.06
07/31/2017	KROZAL, CHRISTIAN	TEMPLETON FOREIGN FUND A	0.00	4.16	0.00	4.16	95.0	3.95
07/31/2017	KELLEY, DAVID	TEMPLETON FOREIGN FUND A	0.00	0.96	0.00	0.96	95.0	0.91
07/31/2017	TRUSCELLO, DAVID	TEMPLETON FOREIGN FUND A	0.00	7.12	0.00	7.12	95.0	6.76
07/31/2017	HOVIS, RYAN	TEMPLETON FOREIGN FUND A	0.00	0.58	0.00	0.58	95.0	0.55
07/31/2017	KOLLINGER, KELLY	TEMPLETON FOREIGN FUND A	0.00	0.58	0.00	0.58	95.0	0.55
07/31/2017	KOLLINGER, ROBERT	TEMPLETON FOREIGN FUND A	0.00	0.58	0.00	0.58	95.0	0.55
07/31/2017	KOLLINGER, CODY	TEMPLETON FOREIGN FUND A	0.00	0.58	0.00	0.58	95.0	0.55
07/31/2017	HOVIS, FARREN	TEMPLETON FOREIGN FUND A	0.00	0.58	0.00	0.58	95.0	0.55
07/31/2017	HOVIS, BARBARA	TEMPLETON FOREIGN FUND A	0.00	0.56	0.00	0.56	95.0	0.53

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/31/2017	KOLLINGER, KAILYN	TEMPLETON FOREIGN FUND A	0.00	0.61	0.00	0.61	95.0	0.58
07/31/2017	MATYASIC, JULIE	TEMPLETON FOREIGN FUND A	0.00	3.75	0.00	3.75	95.0	3.56
07/31/2017	FORD, JOHN	TEMPLETON FOREIGN SMCO A	0.00	0.22	0.00	0.22	95.0	0.21
07/31/2017	ROCCA, JOHN	TEMPLETON FRONTIER MARKETS A	0.00	1.91	0.00	1.91	95.0	1.81
07/31/2017	MANOLATOS, STEVE	TEMPLETON GLOBAL BOND A	0.00	66.60	0.00	66.60	95.0	63.27
07/31/2017	BERRY, CHARLOTTE	TEMPLETON GLOBAL SMALL CO A	0.00	2.57	0.00	2.57	95.0	2.44
07/31/2017	MARCUS, PAMELA	TEMPLETON GROWTH A	0.00	119.39	0.00	119.39	95.0	113.42
07/31/2017	KEELER, DAVID	TEMPLETON GROWTH A	0.00	38.27	0.00	38.27	95.0	36.36
07/31/2017	BITTIKER, STUART	TEMPLETON GROWTH A	0.00	3.47	0.00	3.47	95.0	3.30
07/31/2017	PAUL, DARLEEN	TEMPLETON GROWTH A	0.00	1.43	0.00	1.43	95.0	1.36
07/31/2017	RUBIN, BRITTANY	TEMPLETON GROWTH A	0.00	7.79	0.00	7.79	95.0	7.40
07/31/2017	KILDAL, KATIE	TEMPLETON GROWTH A	0.00	4.75	0.00	4.75	95.0	4.51
07/31/2017	CHESNEY TRUST, CHRISTINE	TEMPLETON GROWTH C	0.00	13.23	0.00	13.23	95.0	12.57
07/31/2017	WALSH, MICHAEL	TEMPLETON WORLD A	0.00	0.80	0.00	0.80	95.0	0.76
07/31/2017	O'CONNOR, FRANK	TEMPLETON WORLD A	0.00	31.80	0.00	31.80	95.0	30.21
07/31/2017	RIGGENBACH, ANNE	TEMPLETON WORLD A	0.00	76.56	0.00	76.56	95.0	72.73
07/31/2017	RIGGENBACH, DARWYN	TEMPLETON WORLD A	0.00	63.67	0.00	63.67	95.0	60.49
07/31/2017	RICHARD, GLENN	TEMPLETON WORLD A	0.00	53.49	0.00	53.49	95.0	50.82
07/31/2017	ROBSON, ANNETTE	TEMPLETON WORLD A	0.00	217.09	0.00	217.09	95.0	206.24
07/31/2017	O'LEARY, JUDITH	TEMPLETON WORLD A	0.00	87.68	0.00	87.68	95.0	83.30
07/31/2017	JENDRUSINA, ROGER	TEMPLETON WORLD A	0.00	127.67	0.00	127.67	95.0	121.29
07/31/2017	MURRAY, ANN	TEMPLETON WORLD A	0.00	29.02	0.00	29.02	95.0	27.57
07/31/2017	KAMINSKI, MARGUERITE	TEMPLETON WORLD A	0.00	1.72	0.00	1.72	95.0	1.63
07/31/2017	FLORIE, TERRENCE	TEMPLETON WORLD A	0.00	1.14	0.00	1.14	95.0	1.08
07/31/2017	FLORIE, CHRISTINE	TEMPLETON WORLD A	0.00	18.87	0.00	18.87	95.0	17.93
07/31/2017	BACHTELL, JAMES	TEMPLETON WORLD A	0.00	30.91	0.00	30.91	95.0	29.36
07/31/2017	SCHWARZ, JAMES	TEMPLETON WORLD A	0.00	7.35	0.00	7.35	95.0	6.98
07/31/2017	GREENE, KARLI	TEMPLETON WORLD A	0.00	8.43	0.00	8.43	95.0	8.01
07/31/2017	GREENE, CHRISTOPHER	TEMPLETON WORLD A	0.00	0.38	0.00	0.38	95.0	0.36
07/31/2017	WAMPLER TRUST	TEMPLETON WORLD A	0.00	19.64	0.00	19.64	95.0	18.66
07/31/2017	RICHARD, DANIEL	TEMPLETON WORLD A	0.00	3.36	0.00	3.36	95.0	3.19
08/02/2017	PETERSON, ALEXA	TRANSAMERICA AA CONSERVATIVE C	0.00	34.12	0.00	34.12	95.0	32.41
08/02/2017	PETERSON, TREY	TRANSAMERICA AA CONSERVATIVE C	0.00	34.12	0.00	34.12	95.0	32.41

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/02/2017	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	4.89	0.00	4.89	95.0	4.65
08/02/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	5.62	0.00	5.62	95.0	5.34
08/02/2017	HESTER, RICHARD	TRANSAMERICA AA GROWTH C	0.00	22.52	0.00	22.52	95.0	21.39
08/02/2017	SULLIVAN, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	20.70	0.00	20.70	95.0	19.67
08/02/2017	MAYNARD, TRAVIS	TRANSAMERICA AA GROWTH C	0.00	1.63	0.00	1.63	95.0	1.55
08/02/2017	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	9.88	0.00	9.88	95.0	9.39
08/02/2017	COOPER, LISA	TRANSAMERICA AA GROWTH C	0.00	0.47	0.00	0.47	95.0	0.45
08/02/2017	WEBSTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	1.00	0.00	1.00	95.0	0.95
08/02/2017	JOUPPI, JEFFREY	TRANSAMERICA AA GROWTH C	0.00	85.66	0.00	85.66	95.0	81.38
08/02/2017	SCALF, MARSHA	TRANSAMERICA AA GROWTH C	0.00	34.44	0.00	34.44	95.0	32.72
08/02/2017	COMAN, RONALD	TRANSAMERICA AA GROWTH C	0.00	20.32	0.00	20.32	95.0	19.30
08/02/2017	PALAZZOLO, SALATORE	TRANSAMERICA AA GROWTH C	0.00	12.03	0.00	12.03	95.0	11.43
08/02/2017	BARTOI, MIKAELA	TRANSAMERICA AA GROWTH C	0.00	4.06	0.00	4.06	95.0	3.86
08/02/2017	ROWAN, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	27.54	0.00	27.54	95.0	26.16
08/02/2017	KUCHAREK, NORMA	TRANSAMERICA AA GROWTH C	0.00	8.66	0.00	8.66	95.0	8.23
08/02/2017	NEIRINCK, DONNA	TRANSAMERICA AA GROWTH C	0.00	17.27	0.00	17.27	95.0	16.41
08/02/2017	OLSEN, MARTHA	TRANSAMERICA AA GROWTH C	0.00	10.34	0.00	10.34	95.0	9.82
08/02/2017	HESSLER, GARY	TRANSAMERICA AA GROWTH C	0.00	40.38	0.00	40.38	95.0	38.36
08/02/2017	BLANKENSHIP, JANET	TRANSAMERICA AA GROWTH C	0.00	11.76	0.00	11.76	95.0	11.17
08/02/2017	RONDO, DENISE	TRANSAMERICA AA GROWTH C	0.00	12.23	0.00	12.23	95.0	11.62
08/02/2017	PALAZZOLO, ANNA	TRANSAMERICA AA GROWTH C	0.00	18.89	0.00	18.89	95.0	17.95
08/02/2017	LINDOW, RONALD	TRANSAMERICA AA GROWTH C	0.00	1.51	0.00	1.51	95.0	1.43
08/02/2017	WILMAN, ANN	TRANSAMERICA AA GROWTH C	0.00	57.93	0.00	57.93	95.0	55.03
08/02/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	37.44	0.00	37.44	95.0	35.57
08/02/2017	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	0.00	40.26	0.00	40.26	95.0	38.25
08/02/2017	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	22.24	0.00	22.24	95.0	21.13
08/02/2017	RIOS-DORIA, H. WALTER	TRANSAMERICA AA GROWTH C	0.00	48.48	0.00	48.48	95.0	46.06
08/02/2017	WETZEL, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	13.26	0.00	13.26	95.0	12.60
08/02/2017	KEELER, DAVID	TRANSAMERICA AA GROWTH C	0.00	48.90	0.00	48.90	95.0	46.46
08/02/2017	HILDEBRAND, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	27.32	0.00	27.32	95.0	25.95
08/02/2017	DEHEM, GARY	TRANSAMERICA AA GROWTH C	0.00	38.48	0.00	38.48	95.0	36.56
08/02/2017	LINDOW, RICHARD	TRANSAMERICA AA GROWTH C	0.00	23.57	0.00	23.57	95.0	22.39
08/02/2017	MULDOON, SHEILA	TRANSAMERICA AA GROWTH C	0.00	24.15	0.00	24.15	95.0	22.94

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/02/2017	KUCHAREK, SARAH	TRANSAMERICA AA GROWTH C	0.00	4.87	0.00	4.87	95.0	4.63
08/02/2017	HECKER, GENE	TRANSAMERICA AA GROWTH C	0.00	8.56	0.00	8.56	95.0	8.13
08/02/2017	WATERS, SALLY	TRANSAMERICA AA GROWTH C	0.00	13.25	0.00	13.25	95.0	12.59
08/02/2017	WATERS, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	5.14	0.00	5.14	95.0	4.88
08/02/2017	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	0.00	13.06	0.00	13.06	95.0	12.41
08/02/2017	FARRAR, CHARLES	TRANSAMERICA AA GROWTH C	0.00	10.93	0.00	10.93	95.0	10.38
08/02/2017	BUSZEK, PAUL	TRANSAMERICA AA GROWTH C	0.00	1.76	0.00	1.76	95.0	1.67
08/02/2017	PALAZZOLO, SALVATORE	TRANSAMERICA AA GROWTH C	0.00	14.15	0.00	14.15	95.0	13.44
08/02/2017	BASTIN, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	2.90	0.00	2.90	95.0	2.76
08/02/2017	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	2.95	0.00	2.95	95.0	2.80
08/02/2017	KOLLINGER, ROBERT	TRANSAMERICA AA MOD GROWTH C	0.00	0.93	0.00	0.93	95.0	0.88
08/02/2017	HOVIS, MARJORIE	TRANSAMERICA AA MOD GROWTH C	0.00	3.62	0.00	3.62	95.0	3.44
08/02/2017	BELLINGER, VICKIE	TRANSAMERICA AA MOD GROWTH C	0.00	34.95	0.00	34.95	95.0	33.20
08/02/2017	BELLINGER, GEORGE	TRANSAMERICA AA MOD GROWTH C	0.00	28.16	0.00	28.16	95.0	26.75
08/02/2017	POLENYCHKO, SHIRLEY	TRANSAMERICA AA MOD GROWTH C	0.00	9.27	0.00	9.27	95.0	8.81
08/02/2017	AUGUSTYN, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.64	0.00	11.64	95.0	11.06
08/02/2017	BLANKENSHIP, JANET	TRANSAMERICA AA MOD GROWTH C	0.00	208.61	0.00	208.61	95.0	198.18
08/02/2017	KOLLINGER, CINDY	TRANSAMERICA AA MOD GROWTH C	0.00	9.54	0.00	9.54	95.0	9.06
08/02/2017	KEELER, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	21.88	0.00	21.88	95.0	20.79
08/02/2017	TYSZKIEWICZ, KURT	TRANSAMERICA AA MOD GROWTH C	0.00	23.83	0.00	23.83	95.0	22.64
08/02/2017	KUCHAREK, MARCIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.77	0.00	11.77	95.0	11.18
08/02/2017	MCGUIRE, MARY	TRANSAMERICA AA MOD GROWTH C	0.00	15.98	0.00	15.98	95.0	15.18
08/02/2017	LEHMAN, JEFFREY	TRANSAMERICA AA MOD GROWTH C	0.00	0.11	0.00	0.11	95.0	0.10
08/02/2017	HENRY, JACQUELINE	TRANSAMERICA AA MOD GROWTH C	0.00	30.98	0.00	30.98	95.0	29.43
08/02/2017	O'CONNOR, FRANCIS	TRANSAMERICA AA MOD GROWTH C	0.00	54.90	0.00	54.90	95.0	52.16
08/02/2017	SEISSER, JAMES	TRANSAMERICA AA MOD GROWTH C	0.00	0.08	0.00	0.08	95.0	0.08
08/02/2017	BAKER, JEAN-ANNE	TRANSAMERICA AA MOD GROWTH C	0.00	38.37	0.00	38.37	95.0	36.45
08/02/2017	KOSANKE, BARBARA	TRANSAMERICA AA MOD GROWTH C	0.00	32.33	0.00	32.33	95.0	30.71
08/02/2017	DEW, DESIREE	TRANSAMERICA AA MOD GROWTH C	0.00	44.55	0.00	44.55	95.0	42.32
08/02/2017	FRANKS, JACQUELINE	TRANSAMERICA AA MOD GROWTH C	0.00	1.41	0.00	1.41	95.0	1.34
08/02/2017	MODRYCKI, DONALD	TRANSAMERICA AA MOD GROWTH C	0.00	7.65	0.00	7.65	95.0	7.27
08/02/2017	CYRULEWSKI TRUST, LOIS	TRANSAMERICA AA MOD GROWTH C	0.00	12.30	0.00	12.30	95.0	11.69
08/02/2017	NIZIO, EDMUND	TRANSAMERICA AA MOD GROWTH C	0.00	3.61	0.00	3.61	95.0	3.43

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
08/02/2017	PALAZZOLO, SALATORE	TRANSAMERICA AA MOD GROWTH C	0.00	9.76	0.00	9.76	95.0	9.27
08/02/2017	KOSANKE, CHARLES W	TRANSAMERICA AA MOD GROWTH C	0.00	16.64	0.00	16.64	95.0	15.81
08/02/2017	MERRITT, MARY LOU	TRANSAMERICA AA MOD GROWTH C	0.00	115.19	0.00	115.19	95.0	109.43
08/02/2017	GROBAR TRUST, MARVIN & MARY	TRANSAMERICA AA MOD GROWTH C	0.00	61.61	0.00	61.61	95.0	58.53
08/02/2017	OLSEN, MARTHA	TRANSAMERICA AA MOD GROWTH C	0.00	9.89	0.00	9.89	95.0	9.40
08/02/2017	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	10.13	0.00	10.13	95.0	9.62
08/02/2017	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	5.12	0.00	5.12	95.0	4.86
08/02/2017	CARLSON, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	2.73	0.00	2.73	95.0	2.59
08/02/2017	RIOS-DORIA, H. WALTER	TRANSAMERICA AA MOD GROWTH C	0.00	44.72	0.00	44.72	95.0	42.48
08/02/2017	RYAN, VICKI	TRANSAMERICA AA MOD GROWTH C	0.00	3.52	0.00	3.52	95.0	3.34
08/02/2017	RYAN, KAREN	TRANSAMERICA AA MOD GROWTH C	0.00	2.81	0.00	2.81	95.0	2.67
08/02/2017	BARTOI, MIKAELA	TRANSAMERICA AA MOD GROWTH C	0.00	152.88	0.00	152.88	95.0	145.24
08/02/2017	BUTLER, SANDRA	TRANSAMERICA AA MOD GROWTH C	0.00	54.54	0.00	54.54	95.0	51.81
08/02/2017	KANAKRI, JOSEPH	TRANSAMERICA AA MOD GROWTH C	0.00	2.12	0.00	2.12	95.0	2.01
08/02/2017	KANAKRI, MARIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.33	0.00	3.33	95.0	3.16
08/02/2017	BUSZEK, PAUL	TRANSAMERICA AA MOD GROWTH C	0.00	26.27	0.00	26.27	95.0	24.96
08/02/2017	LAWRENCE, PEGGY	TRANSAMERICA AA MOD GROWTH C	0.00	13.23	0.00	13.23	95.0	12.57
08/02/2017	BERDESKI, AUDREY	TRANSAMERICA AA MOD GROWTH C	0.00	5.55	0.00	5.55	95.0	5.27
08/02/2017	KAWALA, CHRISTINA	TRANSAMERICA AA MOD GROWTH C	0.00	113.26	0.00	113.26	95.0	107.60
08/02/2017	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	21.98	0.00	21.98	95.0	20.88
08/02/2017	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	0.77	0.00	0.77	95.0	0.73
08/02/2017	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	86.67	0.00	86.67	95.0	82.34
08/02/2017	BARTOI, JOHN	TRANSAMERICA AA MODERATE C	0.00	4.98	0.00	4.98	95.0	4.73
08/02/2017	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	9.78	0.00	9.78	95.0	9.29
08/02/2017	PALAZZOLO, SALATORE	TRANSAMERICA MULTI MGR INTL C	0.00	0.32	0.00	0.32	95.0	0.30
08/02/2017	BARTOI, JOHN	TRANSAMERICA MULTI MGR INTL C	0.00	12.60	0.00	12.60	95.0	11.97
08/02/2017	BARTOI, MIKAELA	TRANSAMERICA MULTI MGR INTL C	0.00	8.84	0.00	8.84	95.0	8.40
08/02/2017	FARRAR, CHARLES	TRANSAMERICA MULTI MGR INTL C	0.00	33.98	0.00	33.98	95.0	32.28
08/02/2017	WILMAN, ANN	TRANSAMERICA MULTI MGR INTL C	0.00	24.54	0.00	24.54	95.0	23.31
08/02/2017	KUCHAREK, MARK	TRANSAMERICA MULTI MGR INTL C	0.00	10.30	0.00	10.30	95.0	9.79
08/02/2017	DUMOND, PHYLLIS	TRANSAMERICA MULTI MGR INTL C	0.00	4.97	0.00	4.97	95.0	4.72
08/02/2017	KEELER, DAVID	TRANSAMERICA MULTI MGR INTL C	0.00	13.77	0.00	13.77	95.0	13.08
08/02/2017	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR INTL C	0.00	11.93	0.00	11.93	95.0	11.33

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/02/2017	DEHEM, GARY	TRANSAMERICA MULTI MGR INTL C	0.00	14.49	0.00	14.49	95.0	13.77
08/02/2017	ALLEN, BRADLEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	31.18	0.00	31.18	95.0	29.62
08/02/2017	WAGNER, MALLORY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	3.00	0.00	3.00	95.0	2.85
08/02/2017	EVANS, SANDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.28	0.00	6.28	95.0	5.97
08/02/2017	EVANS, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.53	0.00	7.53	95.0	7.15
08/02/2017	GRECH, ROSALIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	26.92	0.00	26.92	95.0	25.57
08/02/2017	CANNON TRUST, HENRY & BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	111.76	0.00	111.76	95.0	106.17
08/02/2017	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	43.00	0.00	43.00	95.0	40.85
08/02/2017	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.98	0.00	6.98	95.0	6.63
08/02/2017	DOUTSAS, MADELYN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.96	0.00	2.96	95.0	2.81
08/02/2017	HOOTNER-JONES, ANN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.75	0.00	2.75	95.0	2.61
08/02/2017	ORTAGO, PAULA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	0.72	0.00	0.72	95.0	0.68
08/02/2017	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	12.94	0.00	12.94	95.0	12.29
08/02/2017	RICHARDSON, SHANE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.22	0.00	1.22	95.0	1.16
08/02/2017	MAGGARD, LORETTA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	12.98	0.00	12.98	95.0	12.33
08/02/2017	KAWALA, CHRISTINA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	17.22	0.00	17.22	95.0	16.36
08/02/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	36.40	0.00	36.40	95.0	34.58
08/02/2017	BELLINGER, VICKIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	34.49	0.00	34.49	95.0	32.77
08/02/2017	OLSEN, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	10.62	0.00	10.62	95.0	10.09
08/02/2017	MOORE, SUSAN ANN PRIES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	77.03	0.00	77.03	95.0	73.18
08/02/2017	YOUNG, RICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	135.16	0.00	135.16	95.0	128.40
08/02/2017	DINKA, CATHERINE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	78.91	0.00	78.91	95.0	74.96
08/02/2017	PARKE, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.76	0.00	1.76	95.0	1.67
08/02/2017	SMITH, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	101.58	0.00	101.58	95.0	96.50
08/02/2017	FARRAR, KAREN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	43.76	0.00	43.76	95.0	41.57
08/02/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	20.88	0.00	20.88	95.0	19.84
08/02/2017	ROLLINGS, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	29.33	0.00	29.33	95.0	27.86
08/02/2017	ALLEN, BRADLEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	29.33	0.00	29.33	95.0	27.86
08/02/2017	DOUTSAS, ALEXANDER	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.25	0.00	6.25	95.0	5.94
08/02/2017	GRAMMATICO, DENNIS	TRANSAMERICA SHORT-TERM BOND C	0.00	7.30	0.00	7.30	95.0	6.94
08/02/2017	GRAMMATICO, SHARON	TRANSAMERICA SHORT-TERM BOND C	0.00	7.31	0.00	7.31	95.0	6.94
08/02/2017	SARKISIAN, NORAY	TRANSAMERICA SHORT-TERM BOND C	0.00	30.03	0.00	30.03	95.0	28.53
08/02/2017	MERRITT, MARY LOU	TRANSAMERICA SHORT-TERM BOND C	0.00	22.72	0.00	22.72	95.0	21.58

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/02/2017	O'CONNOR, FRANCIS	TRANSAMERICA SHORT-TERM BOND C	0.00	62.58	0.00	62.58	95.0	59.45
08/02/2017	CHRONOWSKI, THEODORA	TRANSAMERICA SHORT-TERM BOND C	0.00	15.55	0.00	15.55	95.0	14.77
08/02/2017	HOOTNER-JONES, ANN	TRANSAMERICA SHORT-TERM BOND C	0.00	8.17	0.00	8.17	95.0	7.76
08/02/2017	RYAN, JACK	TRANSAMERICA SHORT-TERM BOND C	0.00	8.74	0.00	8.74	95.0	8.30
08/02/2017	RYAN, KAREN	TRANSAMERICA SHORT-TERM BOND C	0.00	8.38	0.00	8.38	95.0	7.96
08/02/2017	EVANS, SANDRA	TRANSAMERICA TACTICAL INCOME C	0.00	4.80	0.00	4.80	95.0	4.56
08/02/2017	EVANS, ROBERT	TRANSAMERICA TACTICAL INCOME C	0.00	5.76	0.00	5.76	95.0	5.47
08/02/2017	KAWALA, CHRISTINA	TRANSAMERICA TACTICAL INCOME C	0.00	3.21	0.00	3.21	95.0	3.05
08/02/2017	PIZZO, ROSOLINO	TRANSAMERICA WMC DIV EQUITY C	0.00	1.90	0.00	1.90	95.0	1.81
07/12/2017	WERNER, DOROTHY	WELLS FARGO INCOME PLUS A	0.00	0.00	0.00	0.00	95.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$34,507.21	\$8,214.55	\$0.00	\$8,214.55		\$7,803.93
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/31/2017	BERTRAND, C JERRY	JNL ELITE ACCESS	58,115.94	31.48	0.00	31.48	95.0	29.91
07/31/2017	MELTZER, CLARENCE	JNL PERSPECTIVE ADVANTAGE	23,819.56	19.85	0.00	19.85	95.0	18.86
07/31/2017	COVYEAU, FREDERICK J & B	JNL PERSPECTIVE II	95,542.48	39.81	0.00	39.81	95.0	37.82
07/31/2017	JEANNETTE, JUSTIN	JNL PERSPECTIVE II 05/05	474.93	0.40	0.00	0.40	95.0	0.38
07/31/2017	KOSCIELSKI, RONALD	JNL PERSPECTIVE II 05/05	27,629.33	11.51	0.00	11.51	95.0	10.93
07/31/2017	CROSS, LINDA	JNL PERSPECTIVE II 05/05	5,970.40	2.49	0.00	2.49	95.0	2.37
07/31/2017	MAGGARD, LORETTA	JNL PERSPECTIVE II 05/05	45,506.55	18.96	0.00	18.96	95.0	18.01
07/31/2017	RANG, MARILYN	JNL PERSPECTIVE II 05/05	73,490.31	30.62	0.00	30.62	95.0	29.09
07/31/2017	MCDONALD, GLENN	JNL PERSPECTIVE II 05/05	175,112.75	72.96	0.00	72.96	95.0	69.31
07/31/2017	KEELER, SUSAN	JNL PERSPECTIVE II 05/05	128,045.30	53.35	0.00	53.35	95.0	50.68
07/31/2017	PIROZZO, PETER	JNL PERSPECTIVE L	51,754.88	56.07	0.00	56.07	95.0	53.27
07/31/2017	LEGGE, GERALD	JNL PERSPECTIVE L	300,184.71	325.20	0.00	325.20	95.0	308.94
07/31/2017	DIEM, LINDA	JOHN HANCOCK VENTURE	635.63	0.13	0.00	0.13	95.0	0.12
07/31/2017	SCERBAK, EILEEN	JOHN HANCOCK VENTURE	46,945.93	9.78	0.00	9.78	95.0	9.29
07/31/2017	TYMCZY, MARY JANE	JOHN HANCOCK VENTURE	7,314.29	1.52	0.00	1.52	95.0	1.44
07/31/2017	DANIEL, SABRINA	JOHN HANCOCK VENTURE	310.21	0.06	0.00	0.06	95.0	0.06
* VARIABLE ANNUITIES SUBTOTAL *			\$1,040,853.20	\$674.19	\$0.00	\$674.19		\$640.48
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/31/2017	CFGROUP, VARIOUS	DREYFUS	0.00	81.43	0.00	81.43	95.0	77.36
07/31/2017	CFGROUP, VARIOUS	DREYFUS	0.00	14.58	0.00	14.58	95.0	13.85

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/19/2017	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	263.79	0.00	263.79	95.0	250.60
07/19/2017	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	791.37	0.00	791.37	95.0	751.80
07/19/2017	FARRAR TRUST, CHARLES & KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	128.83	0.00	128.83	95.0	122.39
07/19/2017	FARRAR TRUST, CHARLES & KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	386.48	0.00	386.48	95.0	367.16
07/19/2017	ANDERSON, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.21	0.00	32.21	95.0	30.60
07/19/2017	ANDERSON, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	96.62	0.00	96.62	95.0	91.79
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,795.31	\$0.00	\$1,795.31		\$1,705.55
*** BROKER TRANSACTIONS TOTAL ***			\$1,075,360.41	\$10,973.00	\$0.00	\$10,973.00		\$10,424.46

SPLIT TRANSACTIONS**BROKER: MARK KOSANKE #3**

07/12/2017	MARCOLA, THADDEUS	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	0.36	0.00	0.36	95.0	0.34
07/12/2017	CAUL, MARTINA	AM FUNDS AMERICAN BALANCED A	0.00	0.20	0.00	0.20	95.0	0.19
07/12/2017	CAUL, MARTINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.17	0.00	0.17	95.0	0.16
07/12/2017	GOOSSENS, LORI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.38	0.00	2.38	95.0	2.26
07/12/2017	CAUL, MARTINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.44	0.00	0.44	95.0	0.42
07/12/2017	SCULLY, DOROTHY	AM FUNDS ICA A	0.00	11.60	0.00	11.60	95.0	11.02
07/12/2017	MCCALL, JEFFREY	AM FUNDS SMALLCAP WORLD A	0.00	1.17	0.00	1.17	95.0	1.11
07/12/2017	DENNIS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	6.19	0.00	6.19	95.0	5.88
07/12/2017	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.48	0.00	1.48	95.0	1.41
07/12/2017	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.48	0.00	1.48	95.0	1.41
07/12/2017	DENNIS, STEVEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.95	0.00	4.95	95.0	4.70
07/12/2017	NICOLOSI, ROSE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.35	0.00	2.35	95.0	2.23
07/12/2017	DENNIS, STEVEN	DAVIS NEW YORK VENTURE A	0.00	15.34	0.00	15.34	95.0	14.57
07/12/2017	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	9.53	0.00	9.53	95.0	9.05
07/12/2017	HENKEL, JAMES	DREYFUS HIGH YIELD A	0.00	0.59	0.00	0.59	95.0	0.56
07/12/2017	HENKEL, JAMES	DWS DEUTSCHE HIGH INCOME FUND A	0.00	24.87	0.00	24.87	95.0	23.63
07/12/2017	HENKEL, JAMES	EATON VANCE HIGH INCOME OPPORTUNITI	0.00	0.83	0.00	0.83	95.0	0.79
07/12/2017	ADAMS, ERIK	FEDERATED HIGH INCOME BOND FUND INC	0.00	0.74	0.00	0.74	95.0	0.70
07/12/2017	ADAMS, ERIK	FEDERATED MARKET OPPORTUNITY A	0.00	2.26	0.00	2.26	95.0	2.15
07/12/2017	GARCIA-SANTOS, VICKY	FIRST EAGLE GLOBAL FUND CL A	0.00	6.97	0.00	6.97	95.0	6.62
07/31/2017	KRASS, DELORES	JNL PERSPECTIVE REWARDS	16,089.02	3.36	0.00	3.36	95.0	3.19

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK KOSANKE #3</u>								
07/12/2017	ADAMS, TONJA	PUTNAM INTERNATIONAL VALUE-A	0.00	0.26	0.00	0.26	95.0	0.25
08/02/2017	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	29.45	0.00	29.45	95.0	27.98
07/26/2017	HOSKIN, JOHN	VICTORY CAPITAL MANAGEMENT	0.00	0.63	0.00	0.63	95.0	0.60
07/26/2017	MARCOLA, ERIKA	VICTORY CAPITAL MANAGEMENT	0.00	1.02	0.00	1.02	95.0	0.97
* MARK KOSANKE SUBTOTAL *			\$16,089.02	\$128.62	\$0.00	\$128.62		\$122.19
<u>BROKER: J117-PETE/JOAN JOHNSON/FLAM #19</u>								
/ /	PRICOPIO, VANESSA	JH 529 COLLEGE PORT A	0.00	2.57	0.00	2.57	95.0	2.44
* J117-PETE/JOAN JOHNSON/FLAM SUBTOTAL *			\$0.00	\$2.57	\$0.00	\$2.57		\$2.44
<u>BROKER: GROUP FLAM/BUSSELL #32</u>								
07/31/2017	M, OSIP	DELAWARE VALUE A	0.00	1.32	0.00	1.32	95.0	1.25
* GROUP FLAM/BUSSELL SUBTOTAL *			\$0.00	\$1.32	\$0.00	\$1.32		\$1.25
<u>BROKER: PETER JOHNSON #63</u>								
07/31/2017	ROSS, DAVID	DELAWARE HIGH YIELD OPP A	0.00	26.79	0.00	26.79	95.0	25.45
07/31/2017	KAPELN, J	DELAWARE TAX FREE USA A	0.00	9.09	0.00	9.09	95.0	8.64
07/31/2017	PAPPAS, LARRY	DELAWARE VALUE A	0.00	32.86	0.00	32.86	95.0	31.22
07/31/2017	PAPPAS, JANE	DELAWARE VALUE A	0.00	6.46	0.00	6.46	95.0	6.14
* PETER JOHNSON SUBTOTAL *			\$0.00	\$75.20	\$0.00	\$75.20		\$71.45
*** SPLIT TRANSACTIONS TOTAL ***			\$16,089.02	\$207.71	\$0.00	\$207.71		\$197.33
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: FRANK DUNN #7</u>								
07/31/2017	JONAS, DOROTHY	VANGUARD EQUITY INCOME FUND	36,000.00	450.00	22.00	428.00	90.0	21.40
07/31/2017	JONAS, DOROTHY	VANGUARD EQUITY INCOME FUND	36,000.00	900.00	49.00	851.00	90.0	42.55
07/31/2017	JONAS, DOROTHY	VANGUARD EQUITY INCOME FUND	-36,000.00	-450.00	-4.00	-446.00	90.0	-22.30
* FRANK DUNN SUBTOTAL *			\$36,000.00	\$900.00	\$67.00	\$833.00		\$41.65
<u>BROKER: 101 CFGROUP/KOSANKE #9</u>								
08/01/2017	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	95.0	3.19
* 101 CFGROUP/KOSANKE SUBTOTAL *			\$75.00	\$3.19	\$0.00	\$3.19		\$3.19

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: THOMAS SCHNEIDER #30</u>								
07/28/2017	SCHECK, VICTORIA	SBL ADVISOR PROGRAM	13,985.68	2.91	0.00	2.91	0.0	2.91
07/28/2017	KELLER, MICHELLE	SBL ADVISOR PROGRAM	27,494.36	5.73	0.00	5.73	0.0	5.73
07/28/2017	YOUNG, MARCIA	SBL ADVISOR PROGRAM	24,096.58	5.02	0.00	5.02	0.0	5.02
07/28/2017	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,325.17	1.32	0.00	1.32	0.0	1.32
07/28/2017	DEFRANCESCO, MARY	SBL ADVISORDESIGNS VA	8,087.14	1.68	0.00	1.68	0.0	1.68
07/28/2017	HAWK, GLENN	SBL ADVISORDESIGNS VA	5,869.03	1.22	0.00	1.22	0.0	1.22
07/28/2017	DEFRANCESCO, STEVEN	SBL ADVISORDESIGNS VA	7,829.45	1.63	0.00	1.63	0.0	1.63
07/28/2017	FULTON, JOHN	SBL ADVISORDESIGNS VA	8,410.68	1.76	0.00	1.76	0.0	1.76
07/28/2017	BABIN, WILLIAM	SBL ADVISORDESIGNS VA	133,788.17	27.86	0.00	27.86	0.0	27.86
07/28/2017	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,695.07	0.77	0.00	0.77	0.0	0.77
07/28/2017	MELLERT, ELLEN	SBL ADVISORDESIGNS VA	3,857.81	3.20	0.00	3.20	0.0	3.20
07/28/2017	GREGORY, RICHARD	SBL ADVISORDESIGNS VA	8,248.09	1.71	0.00	1.71	0.0	1.71
07/28/2017	MCCRANN, JAMES	SBL ADVISORDESIGNS VA	6,062.34	1.27	0.00	1.27	0.0	1.27
07/28/2017	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,902.22	1.64	0.00	1.64	0.0	1.64
07/28/2017	LITTRELL, REX	SBL ADVISORDESIGNS VA	6,773.32	1.41	0.00	1.41	0.0	1.41
07/28/2017	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,823.65	1.42	0.00	1.42	0.0	1.42
07/28/2017	KUBAN, JOANNE	SBL ADVISORDESIGNS VA	21,521.82	4.48	0.00	4.48	0.0	4.48
07/28/2017	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,682.94	1.59	0.00	1.59	0.0	1.59
07/28/2017	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,329.36	0.69	0.00	0.69	0.0	0.69
07/28/2017	GREGORY, CAROL	SBL ADVISORDESIGNS VA	32,314.44	6.73	0.00	6.73	0.0	6.73
07/28/2017	HARTONG, DEAN	SBL ADVISORDESIGNS VA	12,812.31	2.68	0.00	2.68	0.0	2.68
* THOMAS SCHNEIDER SUBTOTAL *			\$356,909.63	\$76.72	\$0.00	\$76.72		\$76.72
*** OVERRIDE TRANSACTIONS TOTAL ***			\$392,984.63	\$979.91	\$67.00	\$912.91		\$121.56

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<u>ADJUSTMENTS</u>								
08/15/2017		*REIMBURSEMENT: CT # 6005215-GRIFFF	0.00					1,000.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$1,000.00

BROKER COMMISSION TOTALS

Broker Transactions	\$10,424.46
Split Transactions	\$197.33
Override Transactions	\$121.56
Adjustments	\$1,000.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$11,743.35
Year-to-date Gross	\$242,961.57
Year-to-date Earnings	\$234,213.71

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