



Concorde Investment Services, LLC

04/12/2017  
Page No. 1

# COMMISSION STATEMENT

April 15, 2017

JEFFREY KAUFMAN  
801 Gray Oak Drive

BROKER #: K119  
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/06/2017	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	1,230.76	12.31	0.00	12.31	90.0	11.08
04/06/2017	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	516.81	5.17	0.00	5.17	90.0	4.65
04/06/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	85.40	0.85	0.00	0.85	90.0	0.77
04/06/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	85.40	0.85	0.00	0.85	90.0	0.77
04/06/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
04/06/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
04/06/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	52.34	0.52	0.00	0.52	90.0	0.47
04/06/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	39.25	0.39	0.00	0.39	90.0	0.35
04/06/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.31	0.54	0.00	0.54	90.0	0.49
04/06/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.31	0.54	0.00	0.54	90.0	0.49
04/06/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	28.57	0.29	0.00	0.29	90.0	0.26
04/06/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	28.57	0.29	0.00	0.29	90.0	0.26
04/06/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
04/06/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
04/06/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.20	0.40	0.00	0.40	90.0	0.36
04/06/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.20	0.40	0.00	0.40	90.0	0.36
04/06/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	38.36	0.38	0.00	0.38	90.0	0.34
04/06/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	38.36	0.38	0.00	0.38	90.0	0.34
03/31/2017	LYTLE, DIANNA	AM FUNDS AMERICAN BALANCED A	32,839.26	1,395.67	0.00	1,395.67	90.0	1,256.10
04/04/2017	MEDLEY, LISA	AM FUNDS AMERICAN BALANCED A	52.12	0.52	0.00	0.52	90.0	0.47
04/04/2017	MEDLEY, LISA	AM FUNDS AMERICAN BALANCED A	52.12	0.52	0.00	0.52	90.0	0.47
04/06/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN BALANCED A	331.44	3.31	0.00	3.31	90.0	2.98
04/06/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN BALANCED A	1,104.80	11.05	0.00	11.05	90.0	9.95
04/06/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	97.80	0.98	0.00	0.98	90.0	0.88
04/06/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	228.20	2.28	0.00	2.28	90.0	2.05
04/06/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	51.13	0.51	0.00	0.51	90.0	0.46

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/06/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	51.13	0.51	0.00	0.51	90.0	0.46
04/06/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	71.02	0.71	0.00	0.71	90.0	0.64
04/06/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	236.74	2.37	0.00	2.37	90.0	2.13
04/06/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	23.73	0.24	0.00	0.24	90.0	0.22
04/06/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	63.29	0.63	0.00	0.63	90.0	0.57
04/06/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	142.02	1.42	0.00	1.42	90.0	1.28
04/06/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	142.02	1.42	0.00	1.42	90.0	1.28
04/06/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
04/06/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
04/06/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	34.66	0.35	0.00	0.35	90.0	0.32
04/06/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	34.66	0.35	0.00	0.35	90.0	0.32
04/06/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	15.01	0.15	0.00	0.15	90.0	0.14
04/06/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	15.01	0.15	0.00	0.15	90.0	0.14
04/06/2017	PATTISON, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	71.02	0.71	0.00	0.71	90.0	0.64
04/06/2017	PATTISON, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	236.74	2.37	0.00	2.37	90.0	2.13
03/31/2017	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	184.62	3.69	0.00	3.69	90.0	3.32
04/03/2017	REDDICK, RYAN	AM FUNDS EUROPACIFIC GROWTH FUND A	62.50	1.72	0.00	1.72	90.0	1.55
04/05/2017	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND A	200.00	10.00	0.00	10.00	90.0	9.00
04/06/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	17.80	0.18	0.00	0.18	90.0	0.16
04/06/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	47.46	0.47	0.00	0.47	90.0	0.42
04/07/2017	REDDICK, RYAN	AM FUNDS EUROPACIFIC GROWTH FUND A	625.00	17.19	0.00	17.19	90.0	15.47
04/07/2017	SCHAUMBURG, JEFFREY	AM FUNDS EUROPACIFIC GROWTH FUND A	484.79	20.60	0.00	20.60	90.0	18.54
04/06/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	321.00	3.21	0.00	3.21	90.0	2.89
04/06/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	947.16	9.47	0.00	9.47	90.0	8.52
04/06/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	49.91	0.50	0.00	0.50	90.0	0.45
04/06/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	49.91	0.50	0.00	0.50	90.0	0.45
04/06/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	46.08	0.46	0.00	0.46	90.0	0.41
04/06/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	46.08	0.46	0.00	0.46	90.0	0.41
03/27/2017	MARK, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA A	458.32	22.92	0.00	22.92	90.0	20.63
03/31/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	184.62	3.69	0.00	3.69	90.0	3.32
04/03/2017	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	62.50	1.72	0.00	1.72	90.0	1.55
04/03/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	72.01	2.70	0.00	2.70	90.0	2.43
04/03/2017	SUMMERS, AARON	AM FUNDS GROWTH FUND OF AMERICA A	65.49	2.46	0.00	2.46	90.0	2.21

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/03/2017	EICHHOLZ, JARED	AM FUNDS GROWTH FUND OF AMERICA A	154.66	5.80	0.00	5.80	90.0	5.22
04/03/2017	JOHNSON, MARK	AM FUNDS GROWTH FUND OF AMERICA A	225.00	8.44	0.00	8.44	90.0	7.60
04/04/2017	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	45.82	0.46	0.00	0.46	90.0	0.41
04/04/2017	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	75.00	0.75	0.00	0.75	90.0	0.68
04/04/2017	TURNER, JOSH	AM FUNDS GROWTH FUND OF AMERICA A	30.37	0.30	0.00	0.30	90.0	0.27
04/04/2017	TURNER, JOSH	AM FUNDS GROWTH FUND OF AMERICA A	30.38	0.30	0.00	0.30	90.0	0.27
04/04/2017	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.41	0.00	0.41	90.0	0.37
04/04/2017	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	260.25	2.60	0.00	2.60	90.0	2.34
04/04/2017	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	82.50	0.83	0.00	0.83	90.0	0.75
04/04/2017	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	520.50	5.21	0.00	5.21	90.0	4.69
04/04/2017	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	27.08	0.27	0.00	0.27	90.0	0.24
04/04/2017	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	27.08	0.27	0.00	0.27	90.0	0.24
04/04/2017	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.41	0.00	0.41	90.0	0.37
04/04/2017	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	260.25	2.60	0.00	2.60	90.0	2.34
04/04/2017	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.68	0.00	0.68	90.0	0.61
04/04/2017	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.68	0.00	0.68	90.0	0.61
04/04/2017	UNZICKER, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	89.45	0.89	0.00	0.89	90.0	0.80
04/04/2017	UNZICKER, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	89.45	0.89	0.00	0.89	90.0	0.80
04/05/2017	LEHMAN, COLBY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
04/05/2017	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	150.00	7.50	0.00	7.50	90.0	6.75
04/06/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	30.02	0.30	0.00	0.30	90.0	0.27
04/06/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	30.02	0.30	0.00	0.30	90.0	0.27
04/06/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	14.91	0.15	0.00	0.15	90.0	0.14
04/06/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	44.75	0.45	0.00	0.45	90.0	0.41
04/06/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	47.46	0.47	0.00	0.47	90.0	0.42
04/06/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	17.80	0.18	0.00	0.18	90.0	0.16
04/06/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	12.79	0.13	0.00	0.13	90.0	0.12
04/06/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	12.79	0.13	0.00	0.13	90.0	0.12
04/06/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	45.46	0.45	0.00	0.45	90.0	0.41
04/06/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	45.46	0.45	0.00	0.45	90.0	0.41
04/06/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	64.39	0.64	0.00	0.64	90.0	0.58
04/06/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	64.39	0.64	0.00	0.64	90.0	0.58
04/06/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
04/06/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17
04/06/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	11.20	0.11	0.00	0.11	90.0	0.10
04/06/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	11.20	0.11	0.00	0.11	90.0	0.10
04/07/2017	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	625.00	17.19	0.00	17.19	90.0	15.47
04/03/2017	WINFREY, LARRY	AM FUNDS ICA A	250.00	9.38	0.00	9.38	90.0	8.44
04/03/2017	BAUER, MATTHEW	AM FUNDS ICA A	69.88	2.62	0.00	2.62	90.0	2.36
04/03/2017	SUMMERS, AARON	AM FUNDS ICA A	65.49	2.46	0.00	2.46	90.0	2.21
04/03/2017	EICHHOLZ, JARED	AM FUNDS ICA A	154.66	5.80	0.00	5.80	90.0	5.22
04/04/2017	EULTGEN, VICKI	AM FUNDS ICA A	45.82	0.46	0.00	0.46	90.0	0.41
04/04/2017	EULTGEN, VICKI	AM FUNDS ICA A	75.00	0.75	0.00	0.75	90.0	0.68
04/04/2017	MURPHY, MELISSA	AM FUNDS ICA A	33.00	0.33	0.00	0.33	90.0	0.30
04/04/2017	MURPHY, MELISSA	AM FUNDS ICA A	208.20	2.08	0.00	2.08	90.0	1.87
04/04/2017	RIDGWAY, BENJAMIN	AM FUNDS ICA A	50.00	0.50	0.00	0.50	90.0	0.45
04/04/2017	RIDGWAY, BENJAMIN	AM FUNDS ICA A	50.00	0.50	0.00	0.50	90.0	0.45
04/04/2017	SUTTON, CHRISTOPHER	AM FUNDS ICA A	82.99	0.83	0.00	0.83	90.0	0.75
04/04/2017	SUTTON, CHRISTOPHER	AM FUNDS ICA A	112.00	1.12	0.00	1.12	90.0	1.01
04/04/2017	ELMORE, STUART	AM FUNDS ICA A	91.47	0.91	0.00	0.91	90.0	0.82
04/04/2017	ELMORE, STUART	AM FUNDS ICA A	91.47	0.91	0.00	0.91	90.0	0.82
04/04/2017	MEDLEY, LISA	AM FUNDS ICA A	52.11	0.52	0.00	0.52	90.0	0.47
04/04/2017	MEDLEY, LISA	AM FUNDS ICA A	52.11	0.52	0.00	0.52	90.0	0.47
04/06/2017	DILLON, EMMA	AM FUNDS ICA A	18.36	0.18	0.00	0.18	90.0	0.16
04/06/2017	DILLON, EMMA	AM FUNDS ICA A	13.77	0.14	0.00	0.14	90.0	0.13
04/06/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	113.40	1.13	0.00	1.13	90.0	1.02
04/06/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	37.80	0.38	0.00	0.38	90.0	0.34
04/06/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
04/06/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
04/04/2017	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	33.00	0.33	0.00	0.33	90.0	0.30
04/04/2017	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	208.20	2.08	0.00	2.08	90.0	1.87
03/31/2017	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	184.62	3.69	0.00	3.69	90.0	3.32
04/03/2017	REDDICK, RYAN	AM FUNDS NEW ECONOMY A	62.50	1.72	0.00	1.72	90.0	1.55
04/03/2017	BAUER, MATTHEW	AM FUNDS NEW ECONOMY A	69.89	2.62	0.00	2.62	90.0	2.36
04/04/2017	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	51.83	0.52	0.00	0.52	90.0	0.47
04/04/2017	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	51.83	0.52	0.00	0.52	90.0	0.47

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/04/2017	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	35.57	0.36	0.00	0.36	90.0	0.32
04/04/2017	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	48.00	0.48	0.00	0.48	90.0	0.43
04/05/2017	MO/TOD	AM FUNDS NEW ECONOMY A	60.00	3.00	0.00	3.00	90.0	2.70
04/06/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.48	0.14	0.00	0.14	90.0	0.13
04/06/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	43.43	0.43	0.00	0.43	90.0	0.39
04/06/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	31.85	0.32	0.00	0.32	90.0	0.29
04/06/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	53.08	0.53	0.00	0.53	90.0	0.48
04/07/2017	REDDICK, RYAN	AM FUNDS NEW ECONOMY A	625.00	17.19	0.00	17.19	90.0	15.47
04/04/2017	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	30.56	0.31	0.00	0.31	90.0	0.28
04/04/2017	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	50.00	0.50	0.00	0.50	90.0	0.45
04/04/2017	TURNER, JOSH	AM FUNDS NEW PERSPECTIVE A	30.38	0.30	0.00	0.30	90.0	0.27
04/04/2017	TURNER, JOSH	AM FUNDS NEW PERSPECTIVE A	30.37	0.30	0.00	0.30	90.0	0.27
04/04/2017	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	33.00	0.33	0.00	0.33	90.0	0.30
04/04/2017	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	208.20	2.08	0.00	2.08	90.0	1.87
04/04/2017	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	51.83	0.52	0.00	0.52	90.0	0.47
04/04/2017	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	51.83	0.52	0.00	0.52	90.0	0.47
04/04/2017	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	27.08	0.27	0.00	0.27	90.0	0.24
04/04/2017	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	27.08	0.27	0.00	0.27	90.0	0.24
04/04/2017	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	41.25	0.41	0.00	0.41	90.0	0.37
04/04/2017	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	260.25	2.60	0.00	2.60	90.0	2.34
04/06/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	30.02	0.30	0.00	0.30	90.0	0.27
04/06/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	30.01	0.30	0.00	0.30	90.0	0.27
04/06/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.48	0.14	0.00	0.14	90.0	0.13
04/06/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	43.44	0.43	0.00	0.43	90.0	0.39
04/06/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	12.79	0.13	0.00	0.13	90.0	0.12
04/06/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	12.79	0.13	0.00	0.13	90.0	0.12
04/06/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	44.12	0.44	0.00	0.44	90.0	0.40
04/06/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	44.12	0.44	0.00	0.44	90.0	0.40
04/06/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	33.60	0.34	0.00	0.34	90.0	0.31
04/06/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	16.80	0.17	0.00	0.17	90.0	0.15
03/31/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	369.22	7.38	0.00	7.38	90.0	6.64
04/04/2017	EULTGEN, VICKI	AM FUNDS NEW WORLD A	15.27	0.15	0.00	0.15	90.0	0.14
04/04/2017	EULTGEN, VICKI	AM FUNDS NEW WORLD A	25.00	0.25	0.00	0.25	90.0	0.23

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/04/2017	TURNER, JOSH	AM FUNDS NEW WORLD A	30.37	0.30	0.00	0.30	90.0	0.27
04/04/2017	TURNER, JOSH	AM FUNDS NEW WORLD A	30.37	0.30	0.00	0.30	90.0	0.27
04/04/2017	MURPHY, MELISSA	AM FUNDS NEW WORLD A	8.25	0.08	0.00	0.08	90.0	0.07
04/04/2017	MURPHY, MELISSA	AM FUNDS NEW WORLD A	52.05	0.52	0.00	0.52	90.0	0.47
04/04/2017	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	82.50	0.83	0.00	0.83	90.0	0.75
04/04/2017	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	520.50	5.21	0.00	5.21	90.0	4.69
04/04/2017	VICTOR, THOMAS	AM FUNDS NEW WORLD A	27.08	0.27	0.00	0.27	90.0	0.24
04/04/2017	VICTOR, THOMAS	AM FUNDS NEW WORLD A	27.08	0.27	0.00	0.27	90.0	0.24
04/04/2017	TURNER, COREY	AM FUNDS NEW WORLD A	41.25	0.41	0.00	0.41	90.0	0.37
04/04/2017	TURNER, COREY	AM FUNDS NEW WORLD A	260.25	2.60	0.00	2.60	90.0	2.34
04/04/2017	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.68	0.00	0.68	90.0	0.61
04/04/2017	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.68	0.00	0.68	90.0	0.61
04/06/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	44.12	0.44	0.00	0.44	90.0	0.40
04/06/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	44.12	0.44	0.00	0.44	90.0	0.40
04/07/2017	SCHAUMBURG, JEFFREY	AM FUNDS NEW WORLD A	484.78	20.60	0.00	20.60	90.0	18.54
04/03/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	62.50	1.72	0.00	1.72	90.0	1.55
04/04/2017	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	15.27	0.15	0.00	0.15	90.0	0.14
04/04/2017	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	25.00	0.25	0.00	0.25	90.0	0.23
04/04/2017	TURNER, JOSH	AM FUNDS SMALLCAP WORLD A	30.37	0.30	0.00	0.30	90.0	0.27
04/04/2017	TURNER, JOSH	AM FUNDS SMALLCAP WORLD A	30.37	0.30	0.00	0.30	90.0	0.27
04/04/2017	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	16.50	0.17	0.00	0.17	90.0	0.15
04/04/2017	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	104.10	1.04	0.00	1.04	90.0	0.94
04/04/2017	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	27.08	0.27	0.00	0.27	90.0	0.24
04/04/2017	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	27.08	0.27	0.00	0.27	90.0	0.24
04/04/2017	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	41.25	0.41	0.00	0.41	90.0	0.37
04/04/2017	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	260.25	2.60	0.00	2.60	90.0	2.34
04/06/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	45.96	0.46	0.00	0.46	90.0	0.41
04/06/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	45.96	0.46	0.00	0.46	90.0	0.41
04/06/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	17.06	0.17	0.00	0.17	90.0	0.15
04/06/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	17.06	0.17	0.00	0.17	90.0	0.15
04/06/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
04/06/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
04/06/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	33.60	0.34	0.00	0.34	90.0	0.31

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/06/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	16.80	0.17	0.00	0.17	90.0	0.15
04/07/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	625.00	17.19	0.00	17.19	90.0	15.47
04/04/2017	HOLTKAMP, MARIGENE	AM FUNDS U.S. GOVERNMENT SECURITIES	77.24	0.77	0.00	0.77	90.0	0.69
04/04/2017	HOLTKAMP, MARIGENE	AM FUNDS U.S. GOVERNMENT SECURITIES	375.00	3.75	0.00	3.75	90.0	3.38
04/04/2017	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	112.57	1.13	0.00	1.13	90.0	1.02
04/04/2017	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	187.62	1.88	0.00	1.88	90.0	1.69
04/06/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.01	0.25	0.00	0.25	90.0	0.23
04/06/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.02	0.25	0.00	0.25	90.0	0.23
/ /	KAUFMAN, JEFFREY	FIDELITY ADV CANADA	0.00	0.83	0.00	0.83	90.0	0.75
/ /	KAUFMAN, JEFFREY	FIDELITY ADV EMERGING EMEA	0.00	0.86	0.00	0.86	90.0	0.77
/ /	CROWLEY, MICHA	FIDELITY ADV EVENT DRIVEN OPPTS	0.00	3.28	0.00	3.28	90.0	2.95
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL CAP APP T	0.00	1.95	0.00	1.95	90.0	1.76
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL COMMODITY STK	0.00	0.83	0.00	0.83	90.0	0.75
/ /	CROWLEY, MICHA	FIDELITY ADV GLOBAL COMMODITY STOCK	0.00	0.98	0.00	0.98	90.0	0.88
/ /	ANDING, MARY DIANE	FIDELITY ADV GLOBAL COMMODITY STOCK	0.00	3.10	0.00	3.10	90.0	2.79
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GOLD	0.00	0.83	0.00	0.83	90.0	0.75
/ /	ANDING, MARY DIANE	FIDELITY ADV GOLD C	0.00	2.97	0.00	2.97	90.0	2.67
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV GOLD C	0.00	0.69	0.00	0.69	90.0	0.62
/ /	GRIFFITH, CHERYL	FIDELITY ADV GOVERNMENT INC	0.00	0.11	0.00	0.11	90.0	0.10
/ /	HALL, ELLEN	FIDELITY ADV GROWTH OPP	0.00	9.19	0.00	9.19	90.0	8.27
/ /	ELLIOTT, TIMOTHY	FIDELITY ADV GROWTH OPP	0.00	1.11	0.00	1.11	90.0	1.00
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GROWTH OPP	0.00	7.11	0.00	7.11	90.0	6.40
/ /	ANDING, MARY DIANE	FIDELITY ADV HEALTH CARE C	0.00	6.18	0.00	6.18	90.0	5.56
/ /	RICHARDSON, BOBBY	FIDELITY ADV HEALTH CARE T	0.00	2.42	0.00	2.42	90.0	2.18
/ /	ANDING, MARY DIANE	FIDELITY ADV INFLATION PROTECTED BD	0.00	17.10	0.00	17.10	90.0	15.39
/ /	CROWLEY, MICHA	FIDELITY ADV INT'L REAL ESTATE C	0.00	1.40	0.00	1.40	90.0	1.26
/ /	ANDING, MARY DIANE	FIDELITY ADV INT'L REAL ESTATE C	0.00	7.81	0.00	7.81	90.0	7.03
/ /	CROWLEY, MICHA	FIDELITY ADV INTERNATIONAL GROWTH C	0.00	3.76	0.00	3.76	90.0	3.38
/ /	GRIFFITH, CHERYL	FIDELITY ADV INTL SM CAP CL T	0.00	4.13	0.00	4.13	90.0	3.72
/ /	RICHARDSON, BOBBY	FIDELITY ADV INTL SM CAP CL T	0.00	1.17	0.00	1.17	90.0	1.05
/ /	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	0.00	6.02	0.00	6.02	90.0	5.42
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV LEVERAGED CO STOCK C	0.00	8.00	0.00	8.00	90.0	7.20
/ /	HALL, ELLEN	FIDELITY ADV LEVERAGED CO STOCK-CL	0.00	2.72	0.00	2.72	90.0	2.45

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	RICHARDSON, BOBBY	FIDELITY ADV LEVERAGED CO STOCK-CL	0.00	13.97	0.00	13.97	90.0	12.57
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV MATERIALS C	0.00	4.34	0.00	4.34	90.0	3.91
/ /	GRIFFITH, CHERYL	FIDELITY ADV OVERSEAS	0.00	1.08	0.00	1.08	90.0	0.97
/ /	CROWLEY, MICHA	FIDELITY ADV REAL ESTATE C	0.00	2.76	0.00	2.76	90.0	2.48
/ /	ANDING, MARY DIANE	FIDELITY ADV REAL ESTATE C	0.00	22.57	0.00	22.57	90.0	20.31
/ /	RICHARDSON, BOBBY	FIDELITY ADV REAL ESTATE-CL T	0.00	6.18	0.00	6.18	90.0	5.56
/ /	GRIFFITH, CHERYL	FIDELITY ADV SMALL CAP	0.00	1.20	0.00	1.20	90.0	1.08
/ /	KAUFMAN, JEFFREY	FIDELITY ADV SMALL CAP GROWTH C	0.00	0.62	0.00	0.62	90.0	0.56
/ /	CROWLEY, MICHA	FIDELITY ADV SMALL CAP GROWTH C	0.00	5.21	0.00	5.21	90.0	4.69
/ /	GRIFFITH, CHERYL	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	10.14	0.00	10.14	90.0	9.13
/ /	KAUFMAN, JEFFREY	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	2.16	0.00	2.16	90.0	1.94
/ /	ANDING, MARY DIANE	FIDELITY ADV STRATEGIC REAL RETURN	0.00	17.14	0.00	17.14	90.0	15.43
/ /	RICHARDSON, BOBBY	FIDELITY ADV TELECOMMUNICATIONS-CL	0.00	3.10	0.00	3.10	90.0	2.79
/ /	ANDING, MARY DIANE	FIDELITY ADV UTILITIES C	0.00	24.67	0.00	24.67	90.0	22.20
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV UTILITIES C	0.00	9.10	0.00	9.10	90.0	8.19
/ /	RICHARDSON, BOBBY	FIDELITY ADV UTILITIES-CL T	0.00	1.29	0.00	1.29	90.0	1.16
/ /	RICHARDSON, BOBBY	FIDELITY ADV VALUE LENDERS-CL T	0.00	2.57	0.00	2.57	90.0	2.31
/ /	GRIFFITH, CHERYL	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	1.32	0.00	1.32	90.0	1.19
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	1.65	0.00	1.65	90.0	1.49
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR MATERIALS FUND-CLA	0.00	1.03	0.00	1.03	90.0	0.93
/ /	WILMSMEYER, CHRISTA	FIDELITY ADVISORS 31618H424	0.00	5.44	0.00	5.44	90.0	4.90
/ /	HALL, ELLEN	FIDELITY ADVISORS 31618H440	0.00	1.14	0.00	1.14	90.0	1.03
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	0.53	0.00	0.53	90.0	0.48
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	14.00	0.00	14.00	90.0	12.60
/ /	ANDING, MARY DIANE	FIDELITY CANADA C	0.00	3.71	0.00	3.71	90.0	3.34
/ /	HALL, ELLEN	FIDELITY COMM EQUIPMENT T	0.00	1.70	0.00	1.70	90.0	1.53
/ /	RICHARDSON, BOBBY	FIDELITY COMM EQUIPMENT T	0.00	5.59	0.00	5.59	90.0	5.03
/ /	ANDING, MARY DIANE	FIDELITY EMERGING EMEA C	0.00	3.62	0.00	3.62	90.0	3.26
/ /	RICHARDSON, BOBBY	FIDELITY EMERGING MKTS T	0.00	2.48	0.00	2.48	90.0	2.23
/ /	CROWLEY, MICHA	FIDELITY ENERGY C	0.00	0.94	0.00	0.94	90.0	0.85
/ /	ANDING, MARY DIANE	FIDELITY ENERGY C	0.00	3.24	0.00	3.24	90.0	2.92
/ /	RICHARDSON, BOBBY	FIDELITY ENERGY T	0.00	2.74	0.00	2.74	90.0	2.47
/ /	KAUFMAN, JEFFREY	FIDELITY ENERGY T	0.00	0.80	0.00	0.80	90.0	0.72

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	GRIFFITH, CHERYL	FIDELITY EQUITY VALUE	0.00	9.85	0.00	9.85	90.0	8.87
/ /	CROWLEY, MICHA	FIDELITY FINANCIAL SERVICES C	0.00	2.53	0.00	2.53	90.0	2.28
/ /	ANDING, MARY DIANE	FIDELITY FINANCIAL SERVICES C	0.00	5.16	0.00	5.16	90.0	4.64
/ /	RICHARDSON, BOBBY	FIDELITY FINANCIAL SERVICES T	0.00	0.77	0.00	0.77	90.0	0.69
/ /	KAUFMAN, JEFFREY	FIDELITY FINANCIAL SERVICES T	0.00	0.86	0.00	0.86	90.0	0.77
/ /	CROWLEY, MICHA	FIDELITY LATIN AMERICA C	0.00	1.92	0.00	1.92	90.0	1.73
/ /	ANDING, MARY DIANE	FIDELITY LATIN AMERICA C	0.00	2.42	0.00	2.42	90.0	2.18
/ /	KAUFMAN, JEFFREY	FIDELITY MIDCAP C	0.00	0.49	0.00	0.49	90.0	0.44
/ /	GRIFFITH, CHERYL	FIDELITY MIDCAP T	0.00	8.55	0.00	8.55	90.0	7.70
/ /	CROWLEY, MICHA	FIDELITY STOCK SELECTOR ALLCAP C	0.00	5.97	0.00	5.97	90.0	5.37
/ /	ANDING, MARY DIANE	FIDELITY STRATEGIC DIV & INCOME C	0.00	23.36	0.00	23.36	90.0	21.02
/ /	KAUFMAN, JEFFREY	FIDLEITY ADV INTL REAL ESTATE	0.00	0.88	0.00	0.88	90.0	0.79
/ /	GRIFFITH, CHERYL	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	1.34	0.00	1.34	90.0	1.21
/ /	KAUFMAN, JEFFREY	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	2.05	0.00	2.05	90.0	1.85
/ /	RICHARDSON, BOBBY	FIDLEITY ADVISOR JAPAN-CL T	0.00	0.72	0.00	0.72	90.0	0.65
/ /	MCS DESIGN TEAM	HARTFORD BALANCED C	0.00	15.87	0.00	15.87	90.0	14.28
/ /	MCS DESIGN TEAM	HARTFORD DIVIDEND & GROWTH C	0.00	17.46	0.00	17.46	90.0	15.71
/ /	CHADWICK, MARY	OPPENHEIMER CAPITAL INCOME A	0.00	85.77	0.00	85.77	90.0	77.19
/ /	CHADWICK, MARY	OPPENHEIMER CAPITAL INCOME A	0.00	6.36	0.00	6.36	90.0	5.72
/ /	CHADWICK, MARY	OPPENHEIMER LIMITED TERM MUNI A	0.00	19.99	0.00	19.99	90.0	17.99
/ /	CHADWICK, MARY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	34.51	0.00	34.51	90.0	31.06
/ /	KAUFMAN, JEFFREY	OPPENHEIMER VALUE A	0.00	21.88	0.00	21.88	90.0	19.69
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$54,754.89</b>	<b>\$2,314.67</b>	<b>\$0.00</b>	<b>\$2,314.67</b>		<b>\$2,083.34</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/31/2017	OGLESBY, WILLIAM	ALLIANZ HIGH FIVE TRADITIONAL	154,840.95	193.55	0.00	193.55	90.0	174.20
03/31/2017	SHIKLES, IRENE	ALLIANZ HIGH FIVE TRADITIONAL	35,712.76	44.64	0.00	44.64	90.0	40.18
03/31/2017	LEE, HOWARD	ALLIANZ VISION	60,360.50	75.45	0.00	75.45	90.0	67.91
03/31/2017	PAGE, BRIAN	JNL ELITE ACCESS W/ LIQUIDITY	11,736.84	9.78	0.00	9.78	90.0	8.80
03/31/2017	ANDING, MARY DIANE	JNL PERSPECTIVE II 04/14	103,675.88	43.20	0.00	43.20	90.0	38.88
03/31/2017	STEWART, SHEILA	JNL PERSPECTIVE II 04/14	232,754.65	96.98	0.00	96.98	90.0	87.28
03/31/2017	DEAN GUIER INC	JNL PERSPECTIVE II 04/14	188,588.10	78.58	0.00	78.58	90.0	70.72
03/31/2017	GUIER, CARROL	JNL PERSPECTIVE II 04/14	22,648.53	9.44	0.00	9.44	90.0	8.50
03/31/2017	CALLIS, CHARLES	JNL PERSPECTIVE II 05/05	27,487.58	11.45	0.00	11.45	90.0	10.31

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/31/2017	OWEN, ERNEST	JNL PERSPECTIVE II 05/05	143,030.09	59.60	0.00	59.60	90.0	53.64
03/31/2017	OSSMAN, ED	JNL PERSPECTIVE II 05/05	55,288.36	23.04	0.00	23.04	90.0	20.74
03/31/2017	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	25,719.26	10.72	0.00	10.72	90.0	9.65
03/31/2017	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	148,632.23	61.93	0.00	61.93	90.0	55.74
03/31/2017	MARK, RICHARD GEORGE	JNL PERSPECTIVE II 05/05	88,694.70	36.96	0.00	36.96	90.0	33.26
03/31/2017	LANGE, ARLEN	JNL PERSPECTIVE II 05/05	123,572.78	51.49	0.00	51.49	90.0	46.34
03/31/2017	MCBRIDE, KENNETH	JNL PERSPECTIVE II 05/05	297,376.29	123.91	0.00	123.91	90.0	111.52
03/31/2017	ANDING, MARY DIANE	JNL PERSPECTIVE II 05/05	234,826.61	97.84	0.00	97.84	90.0	88.06
03/30/2017	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	94,368.54	78.65	0.00	78.65	90.0	70.79
03/30/2017	BENNETT, MILDRED	SBL ADVISORDESIGNS VA	92,034.63	76.69	0.00	76.69	90.0	69.02
03/30/2017	DOWNS, JAMES	SBL ADVISORDESIGNS VA	38,139.43	31.78	0.00	31.78	90.0	28.60
03/30/2017	SCOFIELD, ROBERT	SBL ADVISORDESIGNS VA	10,743.87	8.95	0.00	8.95	90.0	8.06
03/30/2017	ANDERSON, CHERRI	SBL ADVISORDESIGNS VA	27,222.74	22.68	0.00	22.68	90.0	20.41
03/30/2017	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	108,229.18	90.20	0.00	90.20	90.0	81.18
03/30/2017	LEE, HOWARD	SBL SECUREDESIGNS VA	246,223.01	51.30	0.00	51.30	90.0	46.17
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$2,571,907.51</b>	<b>\$1,388.81</b>	<b>\$0.00</b>	<b>\$1,388.81</b>		<b>\$1,249.96</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
03/20/2017	HALL, ELLEN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
03/20/2017	GRIFFITH, CHERYL	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
03/20/2017	PATTISON, CHRISTINE	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
03/20/2017	GAMM, SKYLAR	PAC LIFE VUL	7.37	7.37	0.00	7.37	90.0	6.63
03/20/2017	GAMM, SKYLAR	PAC LIFE VUL	417.63	104.41	0.00	104.41	90.0	93.97
03/22/2017	ELLIOTT, MITCHELL	PAC LIFE VUL	100.00	3.00	0.00	3.00	90.0	2.70
03/22/2017	ELLIOTT, MITCHELL	PAC LIFE VUL	0.00	1.53	0.00	1.53	90.0	1.38
03/23/2017	JONES, KAREN	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
03/24/2017	KING, CALEB	PAC LIFE VUL	50.00	0.60	0.00	0.60	90.0	0.54
03/27/2017	JONES, KENNETH	PAC LIFE VUL	60.00	1.20	0.00	1.20	90.0	1.08
03/27/2017	ADAMS, GREGORY	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
03/27/2017	ADAMS, ASHLEY	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
03/27/2017	GRIFFIN, AMANDA	PAC LIFE VUL	68.07	68.07	0.00	68.07	90.0	61.26
03/27/2017	GRIFFIN, AMANDA	PAC LIFE VUL	37.85	0.76	0.00	0.76	90.0	0.68
03/27/2017	ADAMS, JAXON	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
03/28/2017	JONES, KAREN	PAC LIFE VUL	0.00	4.61	0.00	4.61	90.0	4.15

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</b>								
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$1,390.92	\$207.05	\$0.00	\$207.05		\$186.34
*** BROKER TRANSACTIONS TOTAL ***			\$2,628,053.32	\$3,910.53	\$0.00	\$3,910.53		\$3,519.64

<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</b>								
03/30/2017	THOMPSON, MARCIA	AM FUNDS AMERICAN BALANCED A	22,983.38	202.25	0.00	202.25	90.0	182.03
04/03/2017	MILLER, PATRICIA	AM FUNDS AMERICAN BALANCED A	98,505.86	2,031.68	0.00	2,031.68	90.0	1,828.51
04/06/2017	THOMAS, FRANK	AM FUNDS AMERICAN BALANCED A	2,000.00	46.75	0.00	46.75	90.0	42.08
04/06/2017	THOMAS, DIXIE	AM FUNDS AMERICAN BALANCED A	2,000.00	46.75	0.00	46.75	90.0	42.08
04/06/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	19.59	0.11	0.00	0.11	90.0	0.10
04/06/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	19.59	0.11	0.00	0.11	90.0	0.10
03/27/2017	VICTOR, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND A	1,394.46	38.35	0.00	38.35	90.0	34.52
03/27/2017	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	1,436.73	39.51	0.00	39.51	90.0	35.56
03/27/2017	SCHAUMBURG, RENEE	AM FUNDS GROWTH FUND OF AMERICA A	500.00	11.69	0.00	11.69	90.0	10.52
03/28/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
04/04/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	47.72	0.26	0.00	0.26	90.0	0.23
04/04/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	79.53	0.44	0.00	0.44	90.0	0.40
04/05/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
04/06/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.13	0.00	0.13	90.0	0.12
04/06/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.09	0.00	0.09	90.0	0.08
04/06/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
04/06/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	8.64	0.05	0.00	0.05	90.0	0.05
04/06/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.09	0.25	0.00	0.25	90.0	0.23
04/06/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.09	0.25	0.00	0.25	90.0	0.23
04/06/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
04/06/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
04/06/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	41.70	0.23	0.00	0.23	90.0	0.21
04/06/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	41.70	0.23	0.00	0.23	90.0	0.21
04/06/2017	MCMILLIAN, KATY	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.26	0.00	0.26	90.0	0.23
04/06/2017	MCMILLIAN, KATY	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.26	0.00	0.26	90.0	0.23
04/06/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	25.42	0.14	0.00	0.14	90.0	0.13
04/06/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	25.43	0.14	0.00	0.14	90.0	0.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u></b>								
04/04/2017	HAGUE, VICTORIA	AM FUNDS ICA A	67.24	0.37	0.00	0.37	90.0	0.33
04/04/2017	HAGUE, VICTORIA	AM FUNDS ICA A	400.00	2.20	0.00	2.20	90.0	1.98
04/06/2017	ZELLMER, RIANNON	AM FUNDS ICA A	11.52	0.07	0.00	0.07	90.0	0.06
04/06/2017	ZELLMER, RIANNON	AM FUNDS ICA A	11.52	0.07	0.00	0.07	90.0	0.06
04/06/2017	WAHLIG, KACEY	AM FUNDS ICA A	19.60	0.11	0.00	0.11	90.0	0.10
04/06/2017	WAHLIG, KACEY	AM FUNDS ICA A	19.60	0.11	0.00	0.11	90.0	0.10
04/06/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
04/06/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
03/27/2017	VICTOR, THOMAS	AM FUNDS NEW ECONOMY A	1,394.46	38.35	0.00	38.35	90.0	34.52
03/27/2017	SCHAUMBURG, RENEE	AM FUNDS NEW ECONOMY A	500.00	11.69	0.00	11.69	90.0	10.52
04/06/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.13	0.00	0.13	90.0	0.12
04/06/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.09	0.00	0.09	90.0	0.08
04/04/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	16.81	0.09	0.00	0.09	90.0	0.08
04/04/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	100.00	0.55	0.00	0.55	90.0	0.50
04/04/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	47.72	0.26	0.00	0.26	90.0	0.23
04/04/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	79.53	0.44	0.00	0.44	90.0	0.40
04/06/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
04/06/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
04/06/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	25.43	0.14	0.00	0.14	90.0	0.13
04/06/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	25.42	0.14	0.00	0.14	90.0	0.13
<b>* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *</b>			<b>\$132,420.72</b>	<b>\$2,480.81</b>	<b>\$0.00</b>	<b>\$2,480.81</b>		<b>\$2,232.79</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$132,420.72</b>	<b>\$2,480.81</b>	<b>\$0.00</b>	<b>\$2,480.81</b>		<b>\$2,232.79</b>

**OVERRIDE TRANSACTIONS**

<b><u>BROKER: JASON KEMBLE #348</u></b>								
03/27/2017	QUINN, JOSEPH	AM FUNDS AMERICAN BALANCED A	1,000.00	50.00	0.00	50.00	90.0	20.00
03/27/2017	SEBA, FRED	AM FUNDS AMERICAN BALANCED A	6,500.00	276.25	0.00	276.25	90.0	110.50
<b>* JASON KEMBLE SUBTOTAL *</b>			<b>\$7,500.00</b>	<b>\$326.25</b>	<b>\$0.00</b>	<b>\$326.25</b>		<b>\$130.50</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$7,500.00</b>	<b>\$326.25</b>	<b>\$0.00</b>	<b>\$326.25</b>		<b>\$130.50</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
04/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
04/15/2017		*FINRA: MI IND. REG. FEE	0.00					-65.00
04/15/2017		*TECHNOLOGY: ADMIN - KIM KAUFMAN	0.00					-100.00
04/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-740.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,519.64
Split Transactions	\$2,232.79
Override Transactions	\$130.50
Adjustments	\$-740.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,142.93
Year-to-date Gross	\$44,809.49
Year-to-date Earnings	\$45,371.88

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