

**COMMISSION STATEMENT****April 30, 2018**

STAN, W121 WEBB
300 N. Main Street
Suite 309
Wichita, KS 67202

BROKER #: W121
BRANCH: STAN WEBB

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/11/2018	CLARK, TERENCE	INVESCO CHARTER FUND A	-13,382.41	0.00	0.00	0.00	92.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-13,382.41	\$0.00	\$0.00	\$0.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-13,382.41	\$0.00	\$0.00	\$0.00		\$0.00

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
04/16/2018	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
04/16/2018	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
04/16/2018	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
04/10/2018	BELL, BRAYDEN	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.13	0.00	2.13	92.0	1.96
04/10/2018	BELL, BRAYDEN	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.13	0.00	2.13	92.0	1.96
* 529 PLANS SUBTOTAL *			\$400.00	\$19.26	\$0.00	\$19.26		\$17.72

<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/10/2018	MILLER, WARREN	AM FUNDS AMCAP A	100.00	3.75	0.00	3.75	92.0	3.45
04/09/2018	LOOK, DAVID	AM FUNDS AMERICAN BALANCED A	3,250.00	162.50	0.00	162.50	92.0	149.50
04/10/2018	BELL, CONNOR	AM FUNDS AMERICAN BALANCED A	50.00	2.13	0.00	2.13	92.0	1.96
04/18/2018	HILGER, PAMELA	AM FUNDS AMERICAN BALANCED A	150.00	5.63	0.00	5.63	92.0	5.18
04/18/2018	HILGER, PAMELA	AM FUNDS CAPITAL INCOME BUILDER A	150.00	5.63	0.00	5.63	92.0	5.18
04/09/2018	LOOK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS A	3,250.00	162.50	0.00	162.50	92.0	149.50
04/12/2018	STOCKHAM, JOYCE	AM FUNDS GROWTH AND INCOME A	135.20	6.76	0.00	6.76	92.0	6.22
04/12/2018	STOCKHAM, JOYCE	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	92.0	2.30
04/10/2018	MILLER, WARREN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	92.0	1.73
04/20/2018	HILGER, GERALD	AM FUNDS GROWTH FUND OF AMERICA A	25.00	0.94	0.00	0.94	92.0	0.86
04/20/2018	HILGER, GERALD	AM FUNDS ICA A	50.00	1.88	0.00	1.88	92.0	1.73
04/16/2018	THOMAS, ERIN	AM FUNDS INCOME FUND OF AMERICA A	100.00	5.00	0.00	5.00	92.0	4.60

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/16/2018	THOMAS, IAN	AM FUNDS INCOME FUND OF AMERICA A	100.00	5.00	0.00	5.00	92.0	4.60
04/20/2018	HILGER, GERALD	AM FUNDS INCOME FUND OF AMERICA A	25.00	0.94	0.00	0.94	92.0	0.86
04/16/2018	MCGUIRE, RICKY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	92.0	4.60
04/16/2018	HAYES, BEN	FIDELITY ADV EQUITY GROWTH CL M	150.00	3.75	0.00	3.75	92.0	3.45
04/16/2018	BELL, BRAYDEN	FRANKLIN GROWTH A	50.00	1.88	0.00	1.88	92.0	1.73
04/20/2018	THOMAS, DAVID	FT FOUNDING FUNDS ALLOC A	150.00	7.50	0.00	7.50	92.0	6.90
04/18/2018	NELSON, JIM	INVESCO AMERICAN FRANCHISE A	100.00	3.00	0.00	3.00	92.0	2.76
04/18/2018	NELSON, DENE	INVESCO CHARTER FUND A	200.00	6.00	0.00	6.00	92.0	5.52
04/18/2018	NELSON, JIM	INVESCO CHARTER FUND A	250.00	7.50	0.00	7.50	92.0	6.90
04/18/2018	NELSON, JIM	INVESCO DEVELOPING MARKETS A	50.00	1.50	0.00	1.50	92.0	1.38
04/18/2018	NELSON, DENE	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
04/18/2018	NELSON, JIM	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
04/18/2018	NELSON, DENE	INVESCO MODERATE ALLOCATION A	200.00	6.00	0.00	6.00	92.0	5.52
04/16/2018	BELL, JASON	INVESCO STRUCTURED CORE A	50.00	2.50	0.00	2.50	92.0	2.30
04/02/2018	HAMPTON, ANDRE	PIIONEER BOND FUND A	75.00	3.00	0.00	3.00	92.0	2.76
04/05/2018	MCADAM, JEANNETTE	TRANSAMERICA AA MOD GROWTH A	300.00	8.25	0.00	8.25	92.0	7.59
* MUTUAL FUNDS SUBTOTAL *			\$9,360.20	\$428.92	\$0.00	\$428.92		\$394.60
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/22/2018	ERKER, HELEN	AM GEN POLARIS CHOICE	65,734.51	164.34	0.00	164.34	92.0	151.19
04/18/2018	KENNEDY, ROBERT	AM GEN POLIRIS II PRINCIPAL REWARDS	98,406.09	98.41	0.00	98.41	92.0	90.54
04/17/2018	WINN, VALDENIA	SBL VARIFLEX VA	150.00	7.50	0.00	7.50	92.0	6.90
04/17/2018	BENNETT, TERESA	SBL VARIFLEX VA	40.00	2.00	0.00	2.00	92.0	1.84
* VARIABLE ANNUITIES SUBTOTAL *			\$164,330.60	\$272.25	\$0.00	\$272.25		\$250.47
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	BROWNFIELD, DEAN	AGGRESSIVE PORTFOLIO A	0.00	1.08	0.00	1.08	92.0	0.99
/ /	WYSSMAN, AMBER	AGGRESSIVE PORTFOLIO A	0.00	0.54	0.00	0.54	92.0	0.50
/ /	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	0.00	5.08	0.00	5.08	92.0	4.67
/ /	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	0.00	5.08	0.00	5.08	92.0	4.67
/ /	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	0.00	5.08	0.00	5.08	92.0	4.67
/ /	INGALLS, MONICA	AGGRESSIVE PORTFOLIO A	0.00	0.29	0.00	0.29	92.0	0.27
/ /	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	0.00	5.50	0.00	5.50	92.0	5.06
/ /	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	0.00	6.19	0.00	6.19	92.0	5.69

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/03/2018	SMITH, SHARRILYN	ALLIANZ GI NFJ LARGE CAP VALUE C	0.00	1.89	0.00	1.89	92.0	1.74
04/03/2018	SMITH, SHARRILYN	ALLIANZ GI NFJ LARGE CAP VALUE C	0.00	4.96	0.00	4.96	92.0	4.56
04/03/2018	SMITH, SHARRILYN	ALLIANZ GI NFJ SMALL CAP VALUE FUND	0.00	5.55	0.00	5.55	92.0	5.11
04/03/2018	SMITH, SHARRILYN	ALLIANZ GI NFJ SMALL CAP VALUE FUND	0.00	15.89	0.00	15.89	92.0	14.62
04/03/2018	SMITH, SHARRILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	7.88	0.00	7.88	92.0	7.25
04/03/2018	SMITH, SHARRILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	21.68	0.00	21.68	92.0	19.95
/ /	RANCILIO, KENNETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	5.94	0.00	5.94	92.0	5.46
/ /	FAIRLEY, MIKE	GUGGENHEIM TOTAL RETURN BOND - A	0.00	4.10	0.00	4.10	92.0	3.77
/ /	WALMANN, JEFFREY	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.57	0.00	0.57	92.0	0.52
04/01/2018	BROWN, SHIRLEY	INVESCO AMERICAN FRANCHISE A	0.00	9.08	0.00	9.08	92.0	8.35
04/01/2018	BROWN, SHIRLEY	INVESCO AMERICAN FRANCHISE A	0.00	9.08	0.00	9.08	92.0	8.35
04/01/2018	BRIMER JR, ALBERT	INVESCO AMERICAN FRANCHISE A	0.00	1.91	0.00	1.91	92.0	1.76
04/01/2018	JENDEL, JEFFREY	INVESCO AMERICAN FRANCHISE A	0.00	0.80	0.00	0.80	92.0	0.74
04/01/2018	JAKOVAC, PATRICIA	INVESCO AMERICAN FRANCHISE A	0.00	3.74	0.00	3.74	92.0	3.44
04/01/2018	MOSHER UTMA/KS, JUSTIN	INVESCO AMERICAN FRANCHISE A	0.00	2.52	0.00	2.52	92.0	2.32
04/01/2018	WEBB, STAN	INVESCO AMERICAN FRANCHISE A	0.00	7.35	0.00	7.35	92.0	6.76
04/01/2018	HIEGER, KIM	INVESCO AMERICAN FRANCHISE A	0.00	1.19	0.00	1.19	92.0	1.09
04/01/2018	PRACHT, JULIE	INVESCO AMERICAN FRANCHISE A	0.00	1.39	0.00	1.39	92.0	1.28
04/01/2018	SMITH, TROY	INVESCO AMERICAN FRANCHISE A	0.00	3.41	0.00	3.41	92.0	3.14
04/01/2018	ROGERS, DEBRA	INVESCO AMERICAN FRANCHISE A	0.00	13.53	0.00	13.53	92.0	12.45
04/01/2018	MOSHER UGMA/KS, CHEYENNE	INVESCO AMERICAN FRANCHISE A	0.00	1.48	0.00	1.48	92.0	1.36
04/01/2018	NELSON, JIM	INVESCO AMERICAN FRANCHISE A	0.00	6.60	0.00	6.60	92.0	6.07
04/01/2018	CROSS, BRENDA	INVESCO AMERICAN FRANCHISE A	0.00	12.23	0.00	12.23	92.0	11.25
04/01/2018	CROSS, BRENDA	INVESCO AMERICAN FRANCHISE A	0.00	6.14	0.00	6.14	92.0	5.65
04/01/2018	BRIMER JR, ALBERT	INVESCO AMERICAN FRANCHISE A	0.00	0.04	0.00	0.04	92.0	0.04
04/01/2018	COOK, NOAH	INVESCO AMERICAN FRANCHISE A	0.00	0.14	0.00	0.14	92.0	0.13
04/01/2018	COOK, CALEB	INVESCO AMERICAN FRANCHISE A	0.00	0.18	0.00	0.18	92.0	0.17
04/01/2018	BISHOP, DENNIS	INVESCO AMERICAN FRANCHISE C	0.00	1.97	0.00	1.97	92.0	1.81
04/01/2018	TOLLETT, CHARLES	INVESCO ASIA PACIFIC GROWTH A	0.00	5.35	0.00	5.35	92.0	4.92
04/01/2018	PRACHT, MARK	INVESCO BALANCED RISK ALLOCATION A	0.00	6.17	0.00	6.17	92.0	5.68
04/01/2018	MOSHER, AMY	INVESCO BALANCED RISK ALLOCATION A	0.00	1.38	0.00	1.38	92.0	1.27
04/01/2018	BISHOP, LARRY	INVESCO CASH RESERVE SHARES	0.00	1.36	0.00	1.36	92.0	1.25
04/01/2018	BRIMER JR, ALBERT	INVESCO CASH RESERVE SHARES	0.00	1.21	0.00	1.21	92.0	1.11

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/01/2018	JAKOVAC, PATRICIA	INVESCO CASH RESERVE SHARES	0.00	1.55	0.00	1.55	92.0	1.43
04/01/2018	WEBB, STAN	INVESCO CASH RESERVE SHARES	0.00	1.80	0.00	1.80	92.0	1.66
04/01/2018	CROSS, BRENDA	INVESCO CASH RESERVE SHARES	0.00	8.33	0.00	8.33	92.0	7.66
04/01/2018	BRIMER JR, ALBERT	INVESCO CASH RESERVE SHARES	0.00	0.03	0.00	0.03	92.0	0.03
04/01/2018	BISHOP, DENNIS	INVESCO CHARTER FUND A	0.00	0.09	0.00	0.09	92.0	0.08
04/01/2018	PRACHT, MARK	INVESCO CHARTER FUND A	0.00	21.75	0.00	21.75	92.0	20.01
04/01/2018	MOSHER, AMY	INVESCO CHARTER FUND A	0.00	4.62	0.00	4.62	92.0	4.25
04/01/2018	HOUSTON, CAMMY	INVESCO CHARTER FUND A	0.00	6.65	0.00	6.65	92.0	6.12
04/01/2018	BISHOP, LARRY	INVESCO CHARTER FUND A	0.00	10.93	0.00	10.93	92.0	10.06
04/01/2018	ANDREWS, EMMALEE	INVESCO CHARTER FUND A	0.00	0.69	0.00	0.69	92.0	0.63
04/01/2018	BRIMER JR, ALBERT	INVESCO CHARTER FUND A	0.00	11.87	0.00	11.87	92.0	10.92
04/01/2018	JENDEL, JEFFREY	INVESCO CHARTER FUND A	0.00	6.76	0.00	6.76	92.0	6.22
04/01/2018	JAKOVAC, PATRICIA	INVESCO CHARTER FUND A	0.00	0.90	0.00	0.90	92.0	0.83
04/01/2018	NELSON, DENE	INVESCO CHARTER FUND A	0.00	22.03	0.00	22.03	92.0	20.27
04/01/2018	CROSS, MAX	INVESCO CHARTER FUND A	0.00	12.67	0.00	12.67	92.0	11.66
04/01/2018	WEBB, STAN	INVESCO CHARTER FUND A	0.00	14.08	0.00	14.08	92.0	12.95
04/01/2018	SMITH, TROY	INVESCO CHARTER FUND A	0.00	18.32	0.00	18.32	92.0	16.85
04/01/2018	NELSON, JIM	INVESCO CHARTER FUND A	0.00	18.49	0.00	18.49	92.0	17.01
04/01/2018	CROSS, BRENDA	INVESCO CHARTER FUND A	0.00	15.49	0.00	15.49	92.0	14.25
04/01/2018	BRIMER JR, ALBERT	INVESCO CHARTER FUND A	0.00	0.27	0.00	0.27	92.0	0.25
04/01/2018	BRIMER JR, ALBERT	INVESCO COMSTOCK A	0.00	3.58	0.00	3.58	92.0	3.29
04/01/2018	WEBB, STAN	INVESCO DEVELOPING MARKETS A	0.00	0.11	0.00	0.11	92.0	0.10
04/01/2018	NELSON, JIM	INVESCO DEVELOPING MARKETS A	0.00	5.94	0.00	5.94	92.0	5.46
04/01/2018	CROSS, BRENDA	INVESCO DEVELOPING MARKETS A	0.00	10.24	0.00	10.24	92.0	9.42
04/01/2018	PRACHT, MARK	INVESCO DIVERSIFIED DIVIDEND A	0.00	17.68	0.00	17.68	92.0	16.27
04/01/2018	JENDEL, JEFFREY	INVESCO DIVERSIFIED DIVIDEND A	0.00	1.80	0.00	1.80	92.0	1.66
04/01/2018	SMITH, TROY	INVESCO DIVERSIFIED DIVIDEND A	0.00	5.15	0.00	5.15	92.0	4.74
04/01/2018	MOSHER, AMY	INVESCO ENDEAVOR FUND A	0.00	1.12	0.00	1.12	92.0	1.03
04/01/2018	SMITH, TROY	INVESCO ENDEAVOR FUND A	0.00	6.53	0.00	6.53	92.0	6.01
04/01/2018	BISHOP, LARRY	INVESCO ENERGY FUND A	0.00	1.10	0.00	1.10	92.0	1.01
04/01/2018	CROSS, MAX	INVESCO ENERGY FUND A	0.00	3.18	0.00	3.18	92.0	2.93
04/01/2018	BISHOP, LARRY	INVESCO EQUITY AND INCOME A	0.00	12.33	0.00	12.33	92.0	11.34
04/01/2018	PRACHT, JULIE	INVESCO EQUITY AND INCOME A	0.00	0.56	0.00	0.56	92.0	0.52

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/01/2018	CROSS, BRENDA	INVESCO EQUITY AND INCOME A	0.00	6.16	0.00	6.16	92.0	5.67
04/01/2018	PRACHT, MARK	INVESCO EUROPEAN GROWTH FUND A	0.00	0.88	0.00	0.88	92.0	0.81
04/01/2018	BRIMER JR, ALBERT	INVESCO GBL SMALL & MID CAP GROWTH	0.00	1.30	0.00	1.30	92.0	1.20
04/01/2018	WEBB, STAN	INVESCO GBL SMALL & MID CAP GROWTH	0.00	1.94	0.00	1.94	92.0	1.78
04/01/2018	BRIMER JR, ALBERT	INVESCO GBL SMALL & MID CAP GROWTH	0.00	0.03	0.00	0.03	92.0	0.03
04/01/2018	PRACHT, MARK	INVESCO GLOBAL CORE EQUITY A	0.00	3.81	0.00	3.81	92.0	3.51
04/01/2018	JENDEL, JEFFREY	INVESCO GLOBAL EQUITY A	0.00	2.91	0.00	2.91	92.0	2.68
04/01/2018	PRACHT, MARK	INVESCO GLOBAL EQUITY A	0.00	1.00	0.00	1.00	92.0	0.92
04/01/2018	CROSS, BRENDA	INVESCO GLOBAL GROWTH A	0.00	16.30	0.00	16.30	92.0	15.00
04/01/2018	BOHANNON, RONALD	INVESCO GROWTH ALLOCATION A	0.00	9.50	0.00	9.50	92.0	8.74
04/01/2018	NELSON, DENE	INVESCO GROWTH ALLOCATION A	0.00	13.40	0.00	13.40	92.0	12.33
04/01/2018	NELSON, JIM	INVESCO GROWTH ALLOCATION A	0.00	10.36	0.00	10.36	92.0	9.53
04/01/2018	BRIMER JR, ALBERT	INVESCO HIGH YIELD FUND A	0.00	3.15	0.00	3.15	92.0	2.90
04/01/2018	TOLLETT, CHARLES	INVESCO INTERNATIONAL GROWTH A	0.00	13.92	0.00	13.92	92.0	12.81
04/01/2018	CROSS, MAX	INVESCO MIDCAP CORE EQUITY A	0.00	5.34	0.00	5.34	92.0	4.91
04/01/2018	BRIMER JR, ALBERT	INVESCO MIDCAP CORE EQUITY A	0.00	5.05	0.00	5.05	92.0	4.65
04/01/2018	PRACHT, MARK	INVESCO MIDCAP GROWTH A	0.00	2.49	0.00	2.49	92.0	2.29
04/01/2018	SMITH, TROY	INVESCO MIDCAP GROWTH A	0.00	6.69	0.00	6.69	92.0	6.15
04/01/2018	CROSS, BRENDA	INVESCO MIDCAP GROWTH A	0.00	17.50	0.00	17.50	92.0	16.10
04/01/2018	PRACHT, MARK	INVESCO MODERATE ALLOCATION A	0.00	1.67	0.00	1.67	92.0	1.54
04/01/2018	NELSON, DENE	INVESCO MODERATE ALLOCATION A	0.00	15.02	0.00	15.02	92.0	13.82
04/01/2018	CROSS, BRENDA	INVESCO REAL ESTATE A	0.00	5.89	0.00	5.89	92.0	5.42
04/01/2018	CROSS, BRENDA	INVESCO REAL ESTATE A	0.00	4.66	0.00	4.66	92.0	4.29
04/01/2018	BELL, JASON	INVESCO STRUCTURED CORE A	0.00	3.25	0.00	3.25	92.0	2.99
04/01/2018	SMITH, TROY	INVESCO STRUCTURED CORE A	0.00	14.82	0.00	14.82	92.0	13.63
04/01/2018	MOSHER UGMA/KS, CHEYENNE	INVESCO STRUCTURED CORE A	0.00	1.06	0.00	1.06	92.0	0.98
04/01/2018	BRIMER JR, ALBERT	INVESCO TECHNOLOGY A	0.00	2.69	0.00	2.69	92.0	2.47
04/01/2018	BRIMER JR, ALBERT	INVESCO TECHNOLOGY A	0.00	0.06	0.00	0.06	92.0	0.06
04/01/2018	BELL, JASON	INVESCO TECHNOLOGY C	0.00	2.72	0.00	2.72	92.0	2.50
04/01/2018	BISHOP, LARRY	INVESCO VALUE OPP A	0.00	2.72	0.00	2.72	92.0	2.50
04/01/2018	BRIMER JR, ALBERT	INVESCO VALUE OPP A	0.00	5.41	0.00	5.41	92.0	4.98
04/01/2018	JENDEL, JEFFREY	INVESCO VALUE OPP A	0.00	2.79	0.00	2.79	92.0	2.57
04/01/2018	PRACHT, MARK	INVESCO VALUE OPP A	0.00	1.94	0.00	1.94	92.0	1.78

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/01/2018	BELL, JASON	INVESCO VALUE OPP A	0.00	9.61	0.00	9.61	92.0	8.84
04/01/2018	POYNTER, REGAN	INVESCO VALUE OPP A	0.00	4.89	0.00	4.89	92.0	4.50
04/01/2018	MOSHER UTMA/KS, JUSTIN	INVESCO VALUE OPP A	0.00	0.47	0.00	0.47	92.0	0.43
04/01/2018	SMITH, TROY	INVESCO VALUE OPP A	0.00	6.92	0.00	6.92	92.0	6.37
04/01/2018	NELSON, ARIKA	INVESCO VALUE OPP A	0.00	14.03	0.00	14.03	92.0	12.91
04/01/2018	CROSS, BRENDA	INVESCO VALUE OPP A	0.00	8.60	0.00	8.60	92.0	7.91
04/01/2018	BRIMER JR, ALBERT	INVESCO VALUE OPP A	0.00	0.12	0.00	0.12	92.0	0.11
04/01/2018	BRIMER JR, ALBERT	INVESCO VALUE OPP A	0.00	2.54	0.00	2.54	92.0	2.34
/ /	SCHMITT, SUSAN	LQ ADVISOR DISCIPLINED GROWTH	0.00	1.12	0.00	1.12	92.0	1.03
/ /	SCHMITT, SUSAN	LQ ADVISOR INTERNATIONAL GROWTH	0.00	0.84	0.00	0.84	92.0	0.77
/ /	WYSSMAN, AMBER	LQA AGGR TRACK: 50 PERCENT EQTY POR	0.00	2.28	0.00	2.28	92.0	2.10
/ /	WYSSMAN, AMBER	LQA AGGR TRACK: 50 PERCENT EQTY POR	0.00	1.32	0.00	1.32	92.0	1.21
/ /	BROWNFIELD, DEAN	LQA AGGR TRACK: 80 PERCENT EQTY POR	0.00	0.35	0.00	0.35	92.0	0.32
/ /	BROWNFIELD, DEAN	LQA AGGR TRACK: AGGRESS PORT A	0.00	0.16	0.00	0.16	92.0	0.15
/ /	BROWNFIELD, DEAN	LQA AGGR TRACK: AGGRESS PORT A	0.00	0.51	0.00	0.51	92.0	0.47
/ /	WYSSMAN, AMBER	LQA AGGR TRACK: MODERATE PORT A	0.00	0.11	0.00	0.11	92.0	0.10
/ /	WYSSMAN, AMBER	LQA AGGR TRACK: MODERATE PORT A	0.00	0.06	0.00	0.06	92.0	0.06
/ /	SCHMITT, SUSAN	LQA MODERATE PORTFOLIO A	0.00	0.64	0.00	0.64	92.0	0.59
04/05/2018	TROUSDALE, DANIEL	MAINSTAY BALANCED B	0.00	2.57	0.00	2.57	92.0	2.36
04/05/2018	WOODWARD, ANDREA	MAINSTAY TAX FREE BD INVESTOR CL	0.00	4.12	0.00	4.12	92.0	3.79
04/05/2018	WOODWARD, ANDREA	MAINSTAY TAX FREE BOND FUND B	0.00	2.15	0.00	2.15	92.0	1.98
04/05/2018	TROUSDALE, DANIEL	MAINSTAY TAX FREE BOND FUND B	0.00	1.13	0.00	1.13	92.0	1.04
04/05/2018	WOODWARD, ANDREA	MAINSTAY TAX FREE BOND FUND C	0.00	3.45	0.00	3.45	92.0	3.17
04/05/2018	TROUSDALE, DANIEL	MAINSTAY TAX FREE BOND FUND C	0.00	10.86	0.00	10.86	92.0	9.99
04/05/2018	NYAGAH, NICHOLAS	MAINSTAY TAX FREE BOND FUND C	0.00	2.15	0.00	2.15	92.0	1.98
04/04/2018	PRACHT, CAROLE	PIMCO ALL ASSET A	0.00	4.97	0.00	4.97	92.0	4.57
04/04/2018	PRACHT, CAROLE	PIMCO FUNDAMENTAL INDEXPLUS AR FUND	0.00	20.94	0.00	20.94	92.0	19.26
04/04/2018	PRACHT, CAROLE	PIMCO GLOBAL MULTI ASSET A	0.00	3.09	0.00	3.09	92.0	2.84
04/04/2018	PRACHT, CAROLE	PIMCO TOTAL RETURN A	0.00	11.18	0.00	11.18	92.0	10.29
/ /	FRANZ, PJ	RYDEX ALPHA OPPORTUNITY A	0.00	0.52	0.00	0.52	92.0	0.48
/ /	THOMAS, MARY	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.23	0.00	0.23	92.0	0.21
/ /	LAWRENCE POLICE	RYDEX GUGG INVESTMENT GRADE BOND A	0.00	5.63	0.00	5.63	92.0	5.18
/ /	RANCILIO, KENNETH	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	1.30	0.00	1.30	92.0	1.20

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	THOMAS, MARY	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	0.12	0.00	0.12	92.0	0.11
/ /	RANCILIO, KENNETH	RYDEX GUGG S&P 500 PURE GROWTH A	0.00	3.37	0.00	3.37	92.0	3.10
/ /	LAWRENCE POLICE	RYDEX GUGG STYLEPLUS LARGE CORE A	0.00	9.83	0.00	9.83	92.0	9.04
/ /	ROGERS, D	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	24.99	0.00	24.99	92.0	22.99
/ /	WALMANN, JEFFREY	RYDEX MULTI HEDGE STRATEGIES A	0.00	0.44	0.00	0.44	92.0	0.40
04/13/2018	ERKER REV TRUST, LAWRENCE &	SUNAMERICA SENIOR FLOATING RATE A	0.00	4.68	0.00	4.68	92.0	4.31
04/13/2018	ERKER REV TRUST, LAWRENCE &	SUNAMERICA SENIOR FLOATING RATE CL	0.00	28.32	0.00	28.32	92.0	26.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$829.23	\$0.00	\$829.23		\$762.90
*** DIRECT TRANSACTIONS TOTAL ***			\$174,090.80	\$1,549.66	\$0.00	\$1,549.66		\$1,425.69

BROKER COMMISSION TOTALS

Broker Transactions	\$1,425.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,425.69
Year-to-date Gross	\$32,170.68
Year-to-date Earnings	\$29,555.55

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