

Bill Payment Stub

Check Date:	3/23/2018
Check No.:	ACH
Check Amount:	3,047.95

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: ROBERT CALDWELL
2802 N HIGHLAND AVE, STE B
JACKSON, TN 38305

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
3/15/2018	Bill	COMMISSIONS	3,047.95	3,047.95		3,047.95

COMMISSION STATEMENT
March 15, 2018
ROBERT CALDWELL-D013
BROKER #: D013

BRANCH: DME

, TX

COMMISSION STATEMENT for ROBERT CALDWELL-D013

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
02/14/2018 (None)	SUMIDA	MF COLLEGE BOUND	B	0.00	3.50	0.00	3.50	90.0	3.15
02/26/2018 000000000227710	THOMAS,	MF FRANKLIN MODERATE ALLOCATION	B	100.00	1.00	0.00	1.00	90.0	0.90
02/26/2018 000000003822855	PATRICK, CHAD	MF MUTUAL SHRS FND - CL C	B	166.66	1.67	0.00	1.67	90.0	1.50
02/26/2018 00001321372	GAYTON, JEFFREY	MF MFS EMERGING GROWTH FUND-B	B	500.00	20.00	0.00	20.00	90.0	18.00
03/02/2018 00001321372	GAYTON, JEFFREY	MF MFS EMERGING GROWTH FUND-B	B	500.00	20.00	0.00	20.00	90.0	18.00
02/26/2018 00060476222	GAYTON, PHYLLIS	MF MFS MODERATE ALLOCATION FUND-C	B	500.00	5.00	0.00	5.00	90.0	4.50
03/02/2018 00060476222	GAYTON, PHYLLIS	MF MFS MODERATE ALLOCATION FUND-C	B	1,500.00	15.00	0.00	15.00	90.0	13.50
02/26/2018 00067541249	PATRICK, CHAD	MF THE GROWTH FUND OF AMERICA-529A	B	75.00	3.19	0.00	3.19	90.0	2.87
02/26/2018 00067549730	PATRICK, CHAD	MF THE GROWTH FUND OF AMERICA-529A	B	75.00	3.19	0.00	3.19	90.0	2.87
03/01/2018 00068087474	PULLIAM, RYAN	MF AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
03/01/2018 00068087476	PULLIAM, RYAN	MF AMERICAN BALANCED FUND-529C	B	150.00	1.50	0.00	1.50	90.0	1.35
02/26/2018 00073739173	BEARD, PATRICK	MF INVESTMENT COMPANY OF AMERICA	B	50.00	0.50	0.00	0.50	90.0	0.45
02/28/2018 00075317599	COPELAND, SUSAN	MF AMERICAN BALANCED FUND-529A	B	50.00	1.00	0.00	1.00	90.0	0.90
02/28/2018 00075317599	COPELAND, SUSAN	MF FUNDAMENTAL INVESTORS-529A	B	50.00	1.00	0.00	1.00	90.0	0.90
02/28/2018 00081948467	EVANS, LULA	MF AMERICAN BALANCED FUND-A	B	29.61	0.59	0.00	0.59	90.0	0.53
02/28/2018 00081948467	EVANS, LULA	MF AMERICAN BALANCED FUND-A	B	78.94	1.58	0.00	1.58	90.0	1.42
02/28/2018 00081948467	EVANS, LULA	MF THE INCOME FUND OF AMERICA-A	B	5.22	0.10	0.00	0.10	90.0	0.09
02/28/2018 00081948467	EVANS, LULA	MF THE INCOME FUND OF AMERICA-A	B	13.93	0.28	0.00	0.28	90.0	0.25
02/28/2018 00081948520	WRIGHT, SHELIA	MF CAPITAL WORLD GROWTH AND INCOME	B	28.12	0.56	0.00	0.56	90.0	0.50
02/28/2018 00081948520	WRIGHT, SHELIA	MF CAPITAL WORLD GROWTH AND INCOME	B	28.13	0.56	0.00	0.56	90.0	0.50
02/28/2018 00081948520	WRIGHT, SHELIA	MF THE GROWTH FUND OF AMERICA-A	B	28.13	0.56	0.00	0.56	90.0	0.50
02/28/2018 00081948520	WRIGHT, SHELIA	MF THE GROWTH FUND OF AMERICA-A	B	28.12	0.56	0.00	0.56	90.0	0.50
02/28/2018 00081948685	SHELTON, LAURA	MF FUNDAMENTAL INVESTORS-A	B	11.57	0.23	0.00	0.23	90.0	0.21
02/28/2018 00081948685	SHELTON, LAURA	MF FUNDAMENTAL INVESTORS-A	B	11.56	0.23	0.00	0.23	90.0	0.21
02/28/2018 00081948685	SHELTON, LAURA	MF NEW PERSPECTIVE FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21
02/28/2018 00081948685	SHELTON, LAURA	MF NEW PERSPECTIVE FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21
02/28/2018 00081948685	SHELTON, LAURA	MF NEW WORLD FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21
02/28/2018 00081948685	SHELTON, LAURA	MF NEW WORLD FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21
02/28/2018 00081948685	SHELTON, LAURA	MF THE GROWTH FUND OF AMERICA-A	B	11.56	0.23	0.00	0.23	90.0	0.21
02/28/2018 00081948685	SHELTON, LAURA	MF THE GROWTH FUND OF AMERICA-A	B	11.57	0.23	0.00	0.23	90.0	0.21
02/28/2018 00087734807	JARRED, GINA	MF AMERICAN BALANCED FUND-C	B	92.42	0.92	0.00	0.92	90.0	0.83

COMMISSION STATEMENT for ROBERT CALDWELL-D013

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
02/28/2018 00087734807	JARRED, GINA	MF AMERICAN BALANCED FUND-C	B	27.73	0.28	0.00	0.28	90.0	0.25
02/28/2018 00087734863	WHITE, PEGGY	MF AMERICAN BALANCED FUND-C	B	26.78	0.27	0.00	0.27	90.0	0.24
02/28/2018 00087734863	WHITE, PEGGY	MF AMERICAN BALANCED FUND-C	B	26.78	0.27	0.00	0.27	90.0	0.24
02/28/2018 00089597043	MCINTOSH, GINA	MF GROWTH PORTFOLIO-C	B	25.22	0.25	0.00	0.25	90.0	0.23
02/28/2018 00089597043	MCINTOSH, GINA	MF GROWTH PORTFOLIO-C	B	25.22	0.25	0.00	0.25	90.0	0.23
02/28/2018 00089696651	ALEXANDER,	MF CAPITAL INCOME BUILDER CLASS C	B	13.72	0.14	0.00	0.14	90.0	0.13
02/28/2018 00089696651	ALEXANDER,	MF CAPITAL INCOME BUILDER CLASS C	B	8.23	0.08	0.00	0.08	90.0	0.07
02/28/2018 00089696651	ALEXANDER,	MF INVESTMENT COMPANY OF AMERICA	B	16.00	0.16	0.00	0.16	90.0	0.14
02/28/2018 00089696651	ALEXANDER,	MF INVESTMENT COMPANY OF AMERICA	B	9.61	0.10	0.00	0.10	90.0	0.09
02/28/2018 00089696651	ALEXANDER,	MF THE GROWTH FUND OF AMERICA-C	B	16.01	0.16	0.00	0.16	90.0	0.14
02/28/2018 00089696651	ALEXANDER,	MF THE GROWTH FUND OF AMERICA-C	B	9.60	0.10	0.00	0.10	90.0	0.09
02/28/2018 00089696666	STOOKSBERRY,	MF AMERICAN BALANCED FUND-C	B	82.50	0.83	0.00	0.83	90.0	0.75
02/28/2018 00089696666	STOOKSBERRY,	MF AMERICAN BALANCED FUND-C	B	82.50	0.83	0.00	0.83	90.0	0.75
02/28/2018 00089696672	LAMB, TERESA	MF AMERICAN BALANCED FUND-C	B	45.49	0.45	0.00	0.45	90.0	0.41
02/28/2018 00089696672	LAMB, TERESA	MF AMERICAN BALANCED FUND-C	B	45.49	0.45	0.00	0.45	90.0	0.41
02/28/2018 00089788987	GARGUS, LORI	MF AMERICAN BALANCED FUND-C	B	29.26	0.29	0.00	0.29	90.0	0.26
02/28/2018 00089788987	GARGUS, LORI	MF AMERICAN BALANCED FUND-C	B	29.26	0.29	0.00	0.29	90.0	0.26
02/28/2018 00089980324	SMITH, TRISHA	MF AMERICAN BALANCED FUND-C	B	25.34	0.25	0.00	0.25	90.0	0.23
02/28/2018 00089980324	SMITH, TRISHA	MF AMERICAN BALANCED FUND-C	B	25.34	0.25	0.00	0.25	90.0	0.23
02/28/2018 04000412607	HUFFSTETTLER,	MF AMERICAN BALANCED FUND-C	B	90.00	0.90	0.00	0.90	90.0	0.81
02/28/2018 04000412607	HUFFSTETTLER,	MF AMERICAN BALANCED FUND-C	B	90.00	0.90	0.00	0.90	90.0	0.81
02/26/2018 08189841221	GAYTON, WILLIAM	MF MFS GROWTH ALLOCATION FUND-C	B	500.00	5.00	0.00	5.00	90.0	4.50
03/02/2018 08189841221	GAYTON, WILLIAM	MF MFS GROWTH ALLOCATION FUND-C	B	500.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *				\$6,091.86	\$103.60	\$0.00	\$103.60		\$93.26

PRODUCT CATEGORY: VARIABLE ANNUITIES

02/28/2018 1011849420	JACKMAN, JENNIFER	VA PERSP L 08/11	B	69,028.87	57.52	0.00	57.52	90.0	51.77
02/28/2018 1011852102	JACKMAN, JENNIFER	VA ELITE ACCESS L	B	22,082.54	18.40	0.00	18.40	90.0	16.56
02/28/2018 1012316590	AVILA PENSION	VA PERSP L 08/11	B	554,016.41	461.68	0.00	461.68	90.0	415.51
02/28/2018 1014122890	LAMBERT, RONALD	VA PERSP L 08/11	B	90,008.75	75.01	0.00	75.01	90.0	67.51
02/28/2018 1014231907	UTLEY, MARTHA	VA PERSP L 08/11	B	298,823.88	249.02	0.00	249.02	90.0	224.12
02/28/2018 1015402721	LEFORGE, JUDY	VA PERSP L 08/11	B	42,516.98	35.43	0.00	35.43	90.0	31.89
02/28/2018 1015649484	WINTER, DONALD	VA PERSP L 08/11	B	76,914.32	64.10	0.00	64.10	90.0	57.69
02/28/2018 1015919945	NAVEL, FRIEDA	VA PERSP L 08/11	B	36,424.79	30.35	0.00	30.35	90.0	27.32
02/28/2018 1016060638	WILLIAMS, WILLIAM	VA ELITE ACCESS	B	62,702.63	52.25	0.00	52.25	90.0	47.03
02/28/2018 1016060646	WILLIAMS, WILLIAM	VA ELITE ACCESS	B	99,447.67	82.87	0.00	82.87	90.0	74.58
02/28/2018 1016060760	WILLIAMS, GLYNDA	VA ELITE ACCESS	B	6,560.76	5.47	0.00	5.47	90.0	4.92
02/28/2018 1016122048	WOODS, WILLIAM	VA PERSP L 08/11	B	109,188.60	90.99	0.00	90.99	90.0	81.89
02/28/2018 1016747269	BREEDEN TATE, MARY	VA ELITE ACCESS L	B	87,453.35	72.88	0.00	72.88	90.0	65.59
02/28/2018 1016835974	HOLLIDAY, REBECCA	VA ELITE ACCESS L	B	13,482.88	11.24	0.00	11.24	90.0	10.12
02/28/2018 1016922273	WILLIAMS, BRYAN	VA ELITE ACCESS L	B	12,358.01	10.30	0.00	10.30	90.0	9.27
02/28/2018 1017566284	WOODS, WILLIAM	VA ELITE ACCESS L	B	44,763.95	37.30	0.00	37.30	90.0	33.57
02/28/2018 1018403044	WILLIAMS, CLAYTON	VA ELITE ACCESS L	B	9,534.03	7.95	0.00	7.95	90.0	7.16
02/28/2018 1018603060	MILTON, MARY	VA PERSP L 08/11	B	131,105.68	109.25	0.00	109.25	90.0	98.33

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02/28/2018	1018757199 STANBERY, DALLAS	VA PERSP L 08/11	B	160,171.83	133.48	0.00	133.48	90.0	120.13
* VARIABLE ANNUITIES SUBTOTAL *				\$1,926,585.93	\$1,605.49	\$0.00	\$1,605.49		\$1,444.96

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/01/2018	(None) VARIOUS, CALDWELL	MFT FIDELITY ADVISOR FDS	B	0.00	3.18	0.00	3.18	90.0	2.86
03/01/2018	00057958417 SUSAN BREWER	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	1.81	0.00	1.81	90.0	1.63
03/01/2018	00058662923 COPELAND, SUSAN	MFT AMERICAN MUTUAL FUND-A	B	0.00	29.41	0.00	29.41	90.0	26.47
03/01/2018	00059764272 SUSAN BREWER	MFT THE NEW ECONOMY FUND-A	B	0.00	2.31	0.00	2.31	90.0	2.08
03/01/2018	00061719081 BRYANT, BILLY	MFT AMERICAN BALANCED FUND-A	B	0.00	5.55	0.00	5.55	90.0	5.00
03/01/2018	00061719081 BRYANT, BILLY	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.52	0.00	3.52	90.0	3.17
03/01/2018	00061719081 BRYANT, BILLY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.18	0.00	4.18	90.0	3.76
03/01/2018	00061719081 BRYANT, BILLY	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	4.76	0.00	4.76	90.0	4.28
03/01/2018	00061719081 BRYANT, BILLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.05	0.00	5.05	90.0	4.55
03/01/2018	00061719081 BRYANT, BILLY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.85	0.00	5.85	90.0	5.27
03/01/2018	00062217377 RUDY W YOUNG CUST	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.67	0.00	0.67	90.0	0.60
03/01/2018	00064454213 YOUNG/AUTH PER,	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.41	0.00	0.41	90.0	0.37
03/01/2018	00066945947 BRELAND, DANA	MFT AMERICAN BALANCED FUND-A	B	0.00	0.80	0.00	0.80	90.0	0.72
03/01/2018	00067100686 POTTS, KATHI	MFT AMERICAN BALANCED FUND-A	B	0.00	5.81	0.00	5.81	90.0	5.23
03/01/2018	00067226846 DATUIN, MARIA	MFT AMERICAN BALANCED FUND-A	B	0.00	1.69	0.00	1.69	90.0	1.52
03/01/2018	00067226848 DATUIN, BENJAMIN	MFT AMERICAN BALANCED FUND-A	B	0.00	0.74	0.00	0.74	90.0	0.67
03/01/2018	00067229195 STAFFORD, JOHN	MFT AMERICAN BALANCED FUND-A	B	0.00	65.20	0.00	65.20	90.0	58.68
03/01/2018	00067274679 GREEN, PAULETTE	MFT AMERICAN BALANCED FUND-A	B	0.00	24.73	0.00	24.73	90.0	22.26
03/01/2018	00067398539 CAMPBELL, JENNIE	MFT AMERICAN BALANCED FUND-A	B	0.00	0.03	0.00	0.03	90.0	0.03
03/01/2018	00067457687 TYLER, DEBORAH	MFT AMERICAN BALANCED FUND-A	B	0.00	7.72	0.00	7.72	90.0	6.95
03/01/2018	00067457687 TYLER, DEBORAH	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.51	0.00	0.51	90.0	0.46
03/01/2018	00067457687 TYLER, DEBORAH	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	13.07	0.00	13.07	90.0	11.76
03/01/2018	00067494018 PARKER, RONALD	MFT AMERICAN BALANCED FUND-A	B	0.00	3.41	0.00	3.41	90.0	3.07
03/01/2018	00067541249 PATRICK, CHAD	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.86	0.00	1.86	90.0	1.67
03/01/2018	00067541249 PATRICK, CHAD	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	10.02	0.00	10.02	90.0	9.02
03/01/2018	00067549730 PATRICK, CHAD	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.86	0.00	1.86	90.0	1.67
03/01/2018	00067549730 PATRICK, CHAD	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	10.02	0.00	10.02	90.0	9.02
03/01/2018	00067550076 REA, ANITA	MFT AMERICAN BALANCED FUND-A	B	0.00	131.05	0.00	131.05	90.0	117.95
03/01/2018	00067962932 TURNER, CINDY	MFT AMERICAN BALANCED FUND-A	B	0.00	14.19	0.00	14.19	90.0	12.77
03/01/2018	00068087474 PULLIAM, RYAN	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.73	0.00	1.73	90.0	1.56
03/01/2018	00068087474 PULLIAM, RYAN	MFT AMERICAN BALANCED FUND-529C	B	0.00	17.02	0.00	17.02	90.0	15.32
03/01/2018	00068087474 PULLIAM, RYAN	MFT FUNDAMENTAL INVESTORS-529A	B	0.00	4.64	0.00	4.64	90.0	4.18
03/01/2018	00068087474 PULLIAM, RYAN	MFT FUNDAMENTAL INVESTORS-529C	B	0.00	1.83	0.00	1.83	90.0	1.65
03/01/2018	00068087474 PULLIAM, RYAN	MFT NEW WORLD FUND-529A	B	0.00	1.31	0.00	1.31	90.0	1.18
03/01/2018	00068087474 PULLIAM, RYAN	MFT NEW WORLD FUND-529C	B	0.00	17.45	0.00	17.45	90.0	15.71
03/01/2018	00068087475 PULLIAM, RYAN	MFT COLLEGE ENROLLMENT FUND-529C	B	0.00	20.35	0.00	20.35	90.0	18.32
03/01/2018	00068087476 PULLIAM, RYAN	MFT AMCAP FUND-529A	B	0.00	2.30	0.00	2.30	90.0	2.07
03/01/2018	00068087476 PULLIAM, RYAN	MFT AMCAP FUND-529C	B	0.00	10.50	0.00	10.50	90.0	9.45
03/01/2018	00068087476 PULLIAM, RYAN	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.78	0.00	1.78	90.0	1.60
03/01/2018	00068087476 PULLIAM, RYAN	MFT AMERICAN BALANCED FUND-529C	B	0.00	10.77	0.00	10.77	90.0	9.69

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03/01/2018 00068087476	PULLIAM, RYAN	MFT NEW WORLD FUND-529A	B	0.00	1.59	0.00	1.59	90.0	1.43
03/01/2018 00068087476	PULLIAM, RYAN	MFT NEW WORLD FUND-529C	B	0.00	18.81	0.00	18.81	90.0	16.93
03/01/2018 00068406534	HESTER, LANA	MFT AMERICAN BALANCED FUND-A	B	0.00	13.23	0.00	13.23	90.0	11.91
03/01/2018 00068424623	SMITH, THOMAS	MFT AMERICAN BALANCED FUND-A	B	0.00	3.03	0.00	3.03	90.0	2.73
03/01/2018 00068424625	GOWAN, MARY	MFT AMERICAN BALANCED FUND-A	B	0.00	4.41	0.00	4.41	90.0	3.97
03/01/2018 00068424625	GOWAN, MARY	MFT AMERICAN BALANCED FUND-C	B	0.00	10.88	0.00	10.88	90.0	9.79
03/01/2018 00068428272	DAVIS, PAULA	MFT AMERICAN BALANCED FUND-A	B	0.00	0.90	0.00	0.90	90.0	0.81
03/01/2018 00068732412	LIPSHIE, SAMUEL	MFT AMERICAN BALANCED FUND-C	B	0.00	95.49	0.00	95.49	90.0	85.94
03/01/2018 00068732412	LIPSHIE, SAMUEL	MFT AMERICAN BALANCED FUND-F1	B	0.00	8.89	0.00	8.89	90.0	8.00
03/01/2018 00068735325	PLUNK, TAMMY	MFT AMERICAN BALANCED FUND-A	B	0.00	19.11	0.00	19.11	90.0	17.20
03/01/2018 00068735325	PLUNK, TAMMY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.10	0.00	7.10	90.0	6.39
03/01/2018 00068990281	VINCENT, JEFFREY	MFT AMERICAN BALANCED FUND-A	B	0.00	3.56	0.00	3.56	90.0	3.20
03/01/2018 00068990281	VINCENT, JEFFREY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	14.98	0.00	14.98	90.0	13.48
03/01/2018 00068990281	VINCENT, JEFFREY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	14.83	0.00	14.83	90.0	13.35
03/01/2018 00069032890	THORNTON, WILLIAM	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.50	0.00	0.50	90.0	0.45
03/01/2018 00069032892	BROWNING, BRANDON	MFT AMERICAN MUTUAL FUND-A	B	0.00	12.51	0.00	12.51	90.0	11.26
03/01/2018 00069106903	COPELAND, SUSAN	MFT AMERICAN BALANCED FUND-A	B	0.00	49.58	0.00	49.58	90.0	44.62
03/01/2018 00069106903	COPELAND, SUSAN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	29.63	0.00	29.63	90.0	26.67
03/01/2018 00069106903	COPELAND, SUSAN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	31.83	0.00	31.83	90.0	28.65
03/01/2018 00073207913	BLACK, DEBORAH	MFT AMERICAN BALANCED FUND-A	B	0.00	9.01	0.00	9.01	90.0	8.11
03/01/2018 00073207913	BLACK, DEBORAH	MFT AMERICAN BALANCED FUND-C	B	0.00	50.66	0.00	50.66	90.0	45.59
03/01/2018 00073262594	ANGELL, DWAYNE	MFT AMERICAN BALANCED FUND-A	B	0.00	3.77	0.00	3.77	90.0	3.39
03/01/2018 00073262594	ANGELL, DWAYNE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.72	0.00	4.72	90.0	4.25
03/01/2018 00073262594	ANGELL, DWAYNE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.91	0.00	3.91	90.0	3.52
03/01/2018 00073263858	SHARROW, ADRIENNE	MFT THE INVESTMENT COMPANY OF	B	0.00	0.11	0.00	0.11	90.0	0.10
03/01/2018 00073624246	KELLY, LARRY	MFT AMERICAN BALANCED FUND-A	B	0.00	10.74	0.00	10.74	90.0	9.67
03/01/2018 00073626752	SMITH, JEANINE	MFT AMERICAN BALANCED FUND-A	B	0.00	18.80	0.00	18.80	90.0	16.92
03/01/2018 00073626752	SMITH, JEANINE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	13.53	0.00	13.53	90.0	12.18
03/01/2018 00073626752	SMITH, JEANINE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.36	0.00	2.36	90.0	2.12
03/01/2018 00073626752	SMITH, JEANINE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	20.24	0.00	20.24	90.0	18.22
03/01/2018 00073626752	SMITH, JEANINE	MFT THE INVESTMENT COMPANY OF	B	0.00	9.03	0.00	9.03	90.0	8.13
03/01/2018 00073626752	SMITH, JEANINE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.77	0.00	8.77	90.0	7.89
03/01/2018 00073693893	HICKS,	MFT AMERICAN BALANCED FUND-A	B	0.00	5.48	0.00	5.48	90.0	4.93
03/01/2018 00073693893	HICKS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.02	0.00	1.02	90.0	0.92
03/01/2018 00073693893	HICKS,	MFT THE NEW ECONOMY FUND-A	B	0.00	1.05	0.00	1.05	90.0	0.95
03/01/2018 00073739173	BEARD, PATRICK	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	21.43	0.00	21.43	90.0	19.29
03/01/2018 00073739173	BEARD, PATRICK	MFT THE INVESTMENT COMPANY OF	B	0.00	5.40	0.00	5.40	90.0	4.86
03/01/2018 00073762177	JARRETT, MILTON	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	0.98	0.00	0.98	90.0	0.88
03/01/2018 00074314683	SHEFFIELD, ANGELA	MFT AMERICAN BALANCED FUND-A	B	0.00	0.99	0.00	0.99	90.0	0.89
03/01/2018 00074399433	STAFFORD, KELLY	MFT AMERICAN BALANCED FUND-A	B	0.00	5.29	0.00	5.29	90.0	4.76
03/01/2018 00074399433	STAFFORD, KELLY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.92	0.00	1.92	90.0	1.73
03/01/2018 00074399433	STAFFORD, KELLY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	5.31	0.00	5.31	90.0	4.78
03/01/2018 00074399433	STAFFORD, KELLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.57	0.00	6.57	90.0	5.91
03/01/2018 00074694907	REYNOLDS, BETHANY	MFT AMERICAN BALANCED FUND-A	B	0.00	7.39	0.00	7.39	90.0	6.65

COMMISSION STATEMENT for ROBERT CALDWELL-D013

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
03/01/2018 00074694907	REYNOLDS, BETHANY	MFT AMERICAN BALANCED FUND-C	B	0.00	23.38	0.00	23.38	90.0	21.04
03/01/2018 00074830429	WHALEY, SHERRY	MFT AMERICAN BALANCED FUND-A	B	0.00	14.40	0.00	14.40	90.0	12.96
03/01/2018 00074830429	WHALEY, SHERRY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	9.33	0.00	9.33	90.0	8.40
03/01/2018 00074830429	WHALEY, SHERRY	MFT THE INVESTMENT COMPANY OF	B	0.00	7.83	0.00	7.83	90.0	7.05
03/01/2018 00074841648	DARNELL, GAIL	MFT AMERICAN BALANCED FUND-A	B	0.00	16.06	0.00	16.06	90.0	14.45
03/01/2018 00074841648	DARNELL, GAIL	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.47	0.00	7.47	90.0	6.72
03/01/2018 00074841648	DARNELL, GAIL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	9.34	0.00	9.34	90.0	8.41
03/01/2018 00074853025	RAY, SAMANTHA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.98	0.00	0.98	90.0	0.88
03/01/2018 00074853025	RAY, SAMANTHA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.74	0.00	4.74	90.0	4.27
03/01/2018 00074856467	CARRIGAN, WENDI	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.41	0.00	0.41	90.0	0.37
03/01/2018 00074856467	CARRIGAN, WENDI	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.48	0.00	0.48	90.0	0.43
03/01/2018 00074856467	CARRIGAN, WENDI	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.38	0.00	0.38	90.0	0.34
03/01/2018 00074856467	CARRIGAN, WENDI	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.27	0.00	0.27	90.0	0.24
03/01/2018 00074927499	PHILLIP M FILTZ	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.87	0.00	0.87	90.0	0.78
03/01/2018 00075317599	COPELAND, SUSAN	MFT AMERICAN BALANCED FUND-529A	B	0.00	9.27	0.00	9.27	90.0	8.34
03/01/2018 00075317599	COPELAND, SUSAN	MFT FUNDAMENTAL INVESTORS-529A	B	0.00	10.73	0.00	10.73	90.0	9.66
03/01/2018 00075976707	HIGGINS, CYNTHIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.81	0.00	4.81	90.0	4.33
03/01/2018 00075976707	HIGGINS, CYNTHIA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.01	0.00	6.01	90.0	5.41
03/01/2018 00075976707	HIGGINS, CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.83	0.00	5.83	90.0	5.25
03/01/2018 00081216385	ADAMSON, GAIL	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.61	0.00	0.61	90.0	0.55
03/01/2018 00081216385	ADAMSON, GAIL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.76	0.00	0.76	90.0	0.68
03/01/2018 00081216385	ADAMSON, GAIL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.34	0.00	1.34	90.0	1.21
03/01/2018 00081559943	BONDURANT,	MFT CAPITAL WORLD GROWTH AND	B	0.00	10.40	0.00	10.40	90.0	9.36
03/01/2018 00081559943	BONDURANT,	MFT FUNDAMENTAL INVESTORS-529A	B	0.00	13.53	0.00	13.53	90.0	12.18
03/01/2018 00081559943	BONDURANT,	MFT NEW PERSPECTIVE FUND-529A	B	0.00	12.53	0.00	12.53	90.0	11.28
03/01/2018 00081559943	BONDURANT,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	13.56	0.00	13.56	90.0	12.20
03/01/2018 00081840039	STAFFORD, JOHN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.05	0.00	7.05	90.0	6.35
03/01/2018 00081840039	STAFFORD, JOHN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	26.11	0.00	26.11	90.0	23.50
03/01/2018 00081840039	STAFFORD, JOHN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	23.06	0.00	23.06	90.0	20.75
03/01/2018 00081948467	EVANS, LULA	MFT AMERICAN BALANCED FUND-A	B	0.00	24.63	0.00	24.63	90.0	22.17
03/01/2018 00081948467	EVANS, LULA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.10	0.00	6.10	90.0	5.49
03/01/2018 00081948520	WRIGHT, SHELIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.07	0.00	0.07	90.0	0.06
03/01/2018 00081948520	WRIGHT, SHELIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.04	0.00	0.04	90.0	0.04
03/01/2018 00081948549	HINDS, MICHAEL	MFT AMERICAN BALANCED FUND-A	B	0.00	61.42	0.00	61.42	90.0	55.28
03/01/2018 00081948549	HINDS, MICHAEL	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	47.32	0.00	47.32	90.0	42.59
03/01/2018 00081948549	HINDS, MICHAEL	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	43.63	0.00	43.63	90.0	39.27
03/01/2018 00081948660	FUZZELL, SHELIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.03	0.00	0.03	90.0	0.03
03/01/2018 00081948660	FUZZELL, SHELIA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018 00081948685	SHELTON, LAURA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.82	0.00	2.82	90.0	2.54
03/01/2018 00081948685	SHELTON, LAURA	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.41	0.00	2.41	90.0	2.17
03/01/2018 00081948685	SHELTON, LAURA	MFT NEW WORLD FUND-A	B	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2018 00081948685	SHELTON, LAURA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.58	0.00	2.58	90.0	2.32
03/01/2018 00081948685	SHELTON, LAURA	MFT THE NEW ECONOMY FUND-A	B	0.00	2.05	0.00	2.05	90.0	1.85
03/01/2018 00082608144	JOHNSON, VOINDA	MFT AMERICAN BALANCED FUND-A	B	0.00	24.45	0.00	24.45	90.0	22.01

COMMISSION STATEMENT for ROBERT CALDWELL-D013

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
03/01/2018 00082608144	JOHNSON, VOINDA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.36	0.00	6.36	90.0	5.72
03/01/2018 00083482016	PALM, CAITLIN	MFT AMERICAN BALANCED FUND-C	B	0.00	5.46	0.00	5.46	90.0	4.91
03/01/2018 00083482016	PALM, CAITLIN	MFT AMERICAN BALANCED FUND-F1	B	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018 00083482016	PALM, CAITLIN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.52	0.00	2.52	90.0	2.27
03/01/2018 00083482016	PALM, CAITLIN	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018 00083482016	PALM, CAITLIN	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	2.86	0.00	2.86	90.0	2.57
03/01/2018 00083601997	DAVIS, AMANDA	MFT AMERICAN BALANCED FUND-C	B	0.00	20.22	0.00	20.22	90.0	18.20
03/01/2018 00084156213	GILLIAM,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.96	0.00	0.96	90.0	0.86
03/01/2018 00084156213	GILLIAM,	MFT NEW WORLD FUND-A	B	0.00	0.30	0.00	0.30	90.0	0.27
03/01/2018 00084156213	GILLIAM,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.73	0.00	1.73	90.0	1.56
03/01/2018 00085662984	SYMMES, DEBRA	MFT AMERICAN BALANCED FUND-A	B	0.00	34.35	0.00	34.35	90.0	30.92
03/01/2018 00085662984	SYMMES, DEBRA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	23.71	0.00	23.71	90.0	21.34
03/01/2018 00085662984	SYMMES, DEBRA	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	6.50	0.00	6.50	90.0	5.85
03/01/2018 00085663287	CHEATHAM, JACQUE	MFT BALANCED PORTFOLIO-A	B	0.00	39.13	0.00	39.13	90.0	35.22
03/01/2018 00087252487	MCMINN, LESA	MFT AMERICAN BALANCED FUND-C	B	0.00	5.98	0.00	5.98	90.0	5.38
03/01/2018 00087734807	JARRED, GINA	MFT AMERICAN BALANCED FUND-C	B	0.00	14.13	0.00	14.13	90.0	12.72
03/01/2018 00087734843	HAZLEWOOD, SHELIA	MFT AMERICAN BALANCED FUND-C	B	0.00	22.72	0.00	22.72	90.0	20.45
03/01/2018 00087734863	WHITE, PEGGY	MFT AMERICAN BALANCED FUND-C	B	0.00	0.47	0.00	0.47	90.0	0.42
03/01/2018 00089213121	ADCOCK, JACKSON	MFT AMERICAN FUNDS 2060 TARGET	B	0.00	1.31	0.00	1.31	90.0	1.18
03/01/2018 00089597043	MCINTOSH, GINA	MFT GROWTH PORTFOLIO-C	B	0.00	2.83	0.00	2.83	90.0	2.55
03/01/2018 00089696651	ALEXANDER,	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	0.90	0.00	0.90	90.0	0.81
03/01/2018 00089696651	ALEXANDER,	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	1.08	0.00	1.08	90.0	0.97
03/01/2018 00089696651	ALEXANDER,	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	1.20	0.00	1.20	90.0	1.08
03/01/2018 00089696666	STOOKSBERRY,	MFT AMERICAN BALANCED FUND-C	B	0.00	5.40	0.00	5.40	90.0	4.86
03/01/2018 00089696672	LAMB, TERESA	MFT AMERICAN BALANCED FUND-C	B	0.00	3.07	0.00	3.07	90.0	2.76
03/01/2018 00089788987	GARGUS, LORI	MFT AMERICAN BALANCED FUND-C	B	0.00	1.27	0.00	1.27	90.0	1.14
03/01/2018 00089980324	SMITH, TRISHA	MFT AMERICAN BALANCED FUND-C	B	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2018 04000020515	PLAN, SEP	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.96	0.00	4.96	90.0	4.46
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,677.39	\$0.00	\$1,677.39		\$1,509.73
*** DIRECT TRANSACTIONS TOTAL ***				\$1,932,677.79	\$3,386.48	\$0.00	\$3,386.48		\$3,047.95

BROKER COMMISSION TOTALS

Broker Transactions	\$3,047.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,047.95
Year-to-date Commissions	\$17,897.32