



COMMISSION STATEMENT

January 08, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/28/2017	***-**-228I - EMMA, JACQUELINE	37252M108	100.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00551461545	BUY 5 Units @21.2800						
12/21/2017	***-**-5506 - LOMBARDO, LEIGH	INVESCO AMERICAN FRANCHISE FUND -CL	400.00	16.00	0.00	16.00	80.0	12.80
	ACCOUNT #: 03809219276	BUY 19 Units @20.7900						
12/20/2017	***-**-6759 - TARANTINO, GARY	THE BOND FUND OF AMERICA-A	10.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089449406	BUY 1 Units @13.3400						
12/27/2017	***-**-8806 - MABRY, REBECCA	THE BOND FUND OF AMERICA-A	75.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 00082729374	BUY 6 Units @13.3900						
12/20/2017	***-**-6759 - TARANTINO, GARY	THE GROWTH FUND OF AMERICA-A	40.00	2.00	0.00	2.00	80.0	1.60
	ACCOUNT #: 00089449406	BUY 1 Units @52.4900						
12/21/2017	***-**-5506 - LOMBARDO, LEIGH	VAN KAMPEN3	100.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 03809219276	BUY 13 Units @7.6900						
12/27/2017	***-**-8806 - MABRY, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 2 Units @47.8700						
* MUTUAL FUNDS SUBTOTAL *			\$800.00	\$32.36	\$0.00	\$32.36		\$25.89
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/29/2017	***-**-2389 - SHAW, KIMBERLY	HARTFORD LIFE TRAILS	0.00	73.22	0.00	73.22	80.0	58.58
	ACCOUNT #: 711271021	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$73.22	\$0.00	\$73.22		\$58.58
*** BROKER TRANSACTIONS TOTAL ***			\$800.00	\$105.58	\$0.00	\$105.58		\$84.47



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
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BROKER COMMISSION TOTALS								
					Broker Transactions		\$84.47	
					Split Transactions		\$0.00	
					Override Transactions		\$0.00	
					Adjustments		\$0.00	
					Payroll Draw		\$0.00	
					Base Salary		\$0.00	
					Prior Period Balance		\$62.65	
					FINRA Assessment		\$0.00	
					SIPC Assessment		\$0.00	
					Net Commission Earned		\$147.12	
					Year-to-date Earnings		\$147.12	