



COMMISSION STATEMENT

February 19, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/06/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	32.76	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 00083064667	BUY 1 Units @28.1600						
02/06/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00083064667	BUY 1 Units @28.1600						
02/06/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	154.64	4.25	0.00	4.25	80.0	3.40
	ACCOUNT #: 04000563712	BUY 5 Units @28.1600						
02/06/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	46.39	1.28	0.00	1.28	80.0	1.02
	ACCOUNT #: 04000563712	BUY 2 Units @28.1600						
02/08/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.0000						
02/08/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.0000						
02/08/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.0000						
02/08/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.0000						
02/08/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.0000						
02/08/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.0000						
02/08/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.0000						
02/08/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.0000						
02/08/2018	***-**-065P - LEE, DANA	AMERICAN BALANCED FUND-A	150.00	6.38	0.00	6.38	80.0	5.10
	ACCOUNT #: 00064518010	BUY 5 Units @27.8500						
02/06/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.78	0.57	0.00	0.57	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.0400						
02/06/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00087513340	BUY 2 Units @13.0400						
02/06/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	43.27	1.19	0.00	1.19	80.0	0.95
	ACCOUNT #: 04000563724	BUY 3 Units @15.5500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/06/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	115.38	3.17	0.00	3.17	80.0	2.54
	ACCOUNT #: 04000563724	BUY 7 Units @15.5500						
02/06/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	48.83	1.34	0.00	1.34	80.0	1.07
	ACCOUNT #: 04000563702	BUY 3 Units @15.8900						
02/06/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @15.8900						
02/06/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 12 Units @15.8900						
02/06/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	44.09	1.21	0.00	1.21	80.0	0.97
	ACCOUNT #: 00081526588	BUY 3 Units @15.8900						
02/08/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	35.00	0.35	0.00	0.35	80.0	0.28
	ACCOUNT #: 00085621852	BUY 2 Units @14.7400						
02/08/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	29.09	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00085621852	BUY 2 Units @14.7400						
02/08/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	240.50	2.41	0.00	2.41	80.0	1.93
	ACCOUNT #: 00084434107	BUY 16 Units @14.7400						
02/08/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	65.58	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 00084434107	BUY 4 Units @14.7400						
02/08/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @14.7400						
02/08/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @14.7400						
02/05/2018	***-**-01IN - PELLETIER, KELLY	FRANKLIN GROWTH FUND CLASS A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000060177222593	BUY 1 Units @98.3700						
02/05/2018	***-**-01IN - PELLETIER, KELLY	FRANKLIN INCOME FUND CLASS A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000090236508494	BUY 31 Units @2.4000						
02/19/2018	***-**-788I - LIPEIKA, RONALD	LIVEWELL MUTUAL FUND	0.00	44.89	0.00	44.89	80.0	35.91
	ACCOUNT #: 7200016493	BUY 0 Units @0.0000						
02/19/2018	***-**-963I - MORRO, JAMES	LIVEWELL MUTUAL FUND	0.00	64.53	0.00	64.53	80.0	51.62
	ACCOUNT #: 7200018502	BUY 0 Units @0.0000						
02/05/2018	***-**-01IN - PELLETIER, KELLY	MUTUAL GLOBAL DISCOVERY FUND - CLAS	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000000007745197	BUY 2 Units @32.5700						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/12/2018	***--527I - LAWLOR, RICHARD	T ROWE PRICE RET 2020 FUND	1,999.99	3.00	3.00	0.00	80.0	0.00
	ACCOUNT #: LSR011410	BUY 89 Units @22.3500						
02/05/2018	***--01IN - PELLETIER, KELLY	TEMP GLOBAL BOND FND - CL A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000006984020841	BUY 6 Units @12.3800						
02/08/2018	***--875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.7100						
02/08/2018	***--875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.7100						
		* MUTUAL FUNDS SUBTOTAL *	\$4,777.54	\$171.50	\$3.00	\$168.50		\$134.79
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
02/14/2018	***--235I - DASILVA, BRANDON	CHET ADVISOR 529 TRAILS	0.00	98.92	0.00	98.92	80.0	79.14
	ACCOUNT #: 68701113601	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$98.92	\$0.00	\$98.92		\$79.14
		*** BROKER TRANSACTIONS TOTAL ***	\$4,777.54	\$270.42	\$3.00	\$267.42		\$213.93

BROKER COMMISSION TOTALS

Broker Transactions	\$213.93
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$213.93
Year-to-date Earnings	\$0.00