

**COMMISSION STATEMENT****August 15, 2018**

DAN/JEFFREY FT-1JR NY RAUPP/KIES
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: 1JR
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^07/11/2018	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.61	0.00	2.61	50.0	0.00
^07/11/2018	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	6.20	0.00	6.20	50.0	0.00
^07/11/2018	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.61	0.00	2.61	50.0	0.00
^07/16/2018	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	7.68	0.00	7.68	50.0	0.00
^07/19/2018	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.94	0.00	3.94	50.0	0.00
^07/16/2018	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.03	0.00	6.03	50.0	0.00
^07/27/2018	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.75	0.00	4.75	50.0	0.00
^07/27/2018	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.51	0.00	0.51	50.0	0.00
^07/27/2018	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.47	0.00	0.47	50.0	0.00
^07/27/2018	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.68	0.00	0.68	50.0	0.00
^07/27/2018	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.34	0.00	0.34	50.0	0.00
^07/20/2018	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.23	0.00	0.23	50.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$36.05	\$0.00	\$36.05		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$36.05	\$0.00	\$36.05		\$0.00
<u>ADJUSTMENTS</u>								
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00