

**COMMISSION STATEMENT****January 15, 2018**

**BARRY LE MAY**  
 2242 Camden Ave  
 Suite 102  
 San Jose, CA 95124

**BROKER #: L122**  
**BRANCH: AMBAR FINANCIAL**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
12/04/2017	DINAPOLI, BENNY	HPI FUND V	10,100.00	606.00	0.00	606.00	90.0	545.40
12/28/2017	HEWITSON TRUST	HPI FUND V	500,000.00	15,000.00	0.00	15,000.00	90.0	13,500.00
12/29/2017	FELDSTEIN, GARY	HPI FUND V	408,163.27	16,326.53	0.00	16,326.53	90.0	14,693.88
<b>* PRIVATE PLACEMENT SUBTOTAL *</b>			<b>\$918,263.27</b>	<b>\$31,932.53</b>	<b>\$0.00</b>	<b>\$31,932.53</b>		<b>\$28,739.28</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/21/2017	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.65	0.00	10.65	90.0	9.59
12/21/2017	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.05	0.00	1.05	90.0	0.95
12/18/2017	DINAPOLI TRUST, BENNY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.00	0.00	1.00	90.0	0.90
12/14/2017	KACHULIS, CASSANDRA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.63	0.00	1.63	90.0	1.47
12/14/2017	KACHULIS, CASSANDRA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.63	0.00	1.63	90.0	1.47
12/20/2017	KACHULIS, CASSANDRA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	-1.63	0.00	-1.63	90.0	-1.47
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$14.33</b>	<b>\$0.00</b>	<b>\$14.33</b>		<b>\$12.91</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$918,263.27</b>	<b>\$31,946.86</b>	<b>\$0.00</b>	<b>\$31,946.86</b>		<b>\$28,752.19</b>

**ADJUSTMENTS**

01/15/2018	*: TECHNOLOGY AI INSIGHT	0.00	-7.00
01/15/2018	*: TECHNOLOGY DOCUSIGN	0.00	-18.00
01/15/2018	*: TECHNOLOGY GLOBAL RELAY - WEBSIT	0.00	-15.00
01/15/2018	*: TECHNOLOGY LASER APP	0.00	-18.00
01/15/2018	*: TECHNOLOGYGLOBAL RELAY - FACEBOO	0.00	-5.00
01/15/2018	*CONCIERGE SVC: 205485 HEWITSON TTE	0.00	-50.00
01/15/2018	*CONCIERGE SVC: 206184 FELDTEIN	0.00	-50.00
01/15/2018	*EO INSURANCE: CURRENT MONTH INS	0.00	-300.00
01/15/2018	*TECHNOLOGY: ALBRIDGE LIGHT	0.00	-76.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

01/15/2018		*TECHNOLOGY: BASIC	0.00					-180.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-719.00

BROKER COMMISSION TOTALS

Broker Transactions	\$28,752.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-719.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$28,033.19
Year-to-date Gross	\$31,946.86
Year-to-date Earnings	\$28,752.19

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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