



COMMISSION STATEMENT

July 23, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/16/2018	***-**-36IR - LEONE-WHITTLE, ACCOUNT #: 00068471550	AMERICAN BALANCED FUND-C BUY 2 Units @27.3800	50.00	0.50	0.00	0.50	95.0	0.48
07/20/2018	***-**-336I - REEKIE, JANICE ACCOUNT #: 04000004425	AMERICAN FUNDS 2030 TARGET DATE-C BUY 3 Units @14.5500	50.00	0.50	0.00	0.50	95.0	0.48
* MUTUAL FUNDS SUBTOTAL *			\$100.00	\$1.00	\$0.00	\$1.00		\$0.96
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/03/2018	***-**-926I - MEDLEY, MARY ACCOUNT #: 00006835005	CALVERT SMALL CAP VALUE FUND BUY 0 Units @0.0000	0.00	10.18	0.00	10.18	95.0	9.67
07/23/2018	***-**-43IN - AGLE, PATRICIA ACCOUNT #: 08694665062	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	29.79	0.00	29.79	95.0	28.30
07/23/2018	***-**-43IN - AGLE, PATRICIA ACCOUNT #: 08694754607	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	40.57	0.00	40.57	95.0	38.54
07/23/2018	***-**-919I - KITSON, THOMAS ACCOUNT #: 08695012229	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	6.63	0.00	6.63	95.0	6.30
07/23/2018	***-**-52IN - CAROSCIO, ACCOUNT #: 05163028425	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	0.92	0.00	0.92	95.0	0.87
07/23/2018	***-**-52IR - CAROSCIO, ACCOUNT #: 06000218490	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	12.11	0.00	12.11	95.0	11.50
07/23/2018	***-**-4835 - MULLER, DIANE ACCOUNT #: 05014036647	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	10.78	0.00	10.78	95.0	10.24
07/23/2018	***-**-238I - MC ELHANEY, ACCOUNT #: 5014164813	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	6.79	0.00	6.79	95.0	6.45
07/23/2018	***-**-568I - SHAW, TIMOTHY ACCOUNT #: 5014173495	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	1.49	0.00	1.49	95.0	1.42
07/23/2018	***-**-567I - SHAW, FAITH ACCOUNT #: 5014173507	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	1.60	0.00	1.60	95.0	1.52
07/23/2018	***-**-0280 - KRIEL, KRISTEN ACCOUNT #: 6000500926	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	1.49	0.00	1.49	95.0	1.42
07/23/2018	***-**-104I - MONTGOMERY, ACCOUNT #: 06000504388	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	0.03	0.00	0.03	95.0	0.03
07/23/2018	***-**-413T - THE 403 (B)(7) ACCOUNT #: 6000504389	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	3.71	0.00	3.71	95.0	3.52



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-419T - THE 403 (B)(7)	DELAWARE MF TRAILS	0.00	1.06	0.00	1.06	95.0	1.01
	ACCOUNT #: 6000504391	BUY 0 Units @0.0000						
07/23/2018	***-**-6501 - AGLE, JONATHAN	DELAWARE MF TRAILS	0.00	4.47	0.00	4.47	95.0	4.25
	ACCOUNT #: 4000003326	BUY 0 Units @0.0000						
07/23/2018	***-**-9739 - UGMA/NY	DELAWARE MF TRAILS	0.00	1.78	0.00	1.78	95.0	1.69
	ACCOUNT #: 5212097948	BUY 0 Units @0.0000						
07/23/2018	***-**-6501 - AGLE, JONATHAN	DELAWARE MF TRAILS	0.00	8.41	0.00	8.41	95.0	7.99
	ACCOUNT #: 4000003326	BUY 0 Units @0.0000						
07/23/2018	***-**-4930 - SCIFANO, VINCENT	DELAWARE MF TRAILS	0.00	0.48	0.00	0.48	95.0	0.46
	ACCOUNT #: 4000001135	BUY 0 Units @0.0000						
07/23/2018	***-**-919I - KITSON, THOMAS	DELAWARE MF TRAILS	0.00	1.05	0.00	1.05	95.0	1.00
	ACCOUNT #: 5014723354	BUY 0 Units @0.0000						
07/23/2018	***-**-919I - KITSON, THOMAS	DELAWARE MF TRAILS	0.00	0.01	0.00	0.01	95.0	0.01
	ACCOUNT #: 5014723354	BUY 0 Units @0.0000						
07/16/2018	***-**-43IN - AGLE, PATRICIA	REGIONAL BANK A	0.00	15.15	0.00	15.15	95.0	14.39
	ACCOUNT #: 00777135195	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$158.50	\$0.00	\$158.50		\$150.58
*** BROKER TRANSACTIONS TOTAL ***			\$100.00	\$159.50	\$0.00	\$159.50		\$151.54

OVERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

07/23/2018	***-**-108X - FOREST, NSPS	AMCAP FUND-A	0.00	0.33	0.00	0.33	20.0	0.07
	ACCOUNT #: LSR010522	BUY 1 Units @0.0000						
07/09/2018	***-**-408B - SNIZEK,	AMERICAN BALANCED FUND-A	125.00	3.44	0.00	3.44	20.0	0.69
	ACCOUNT #: 00085154620	BUY 4 Units @28.5200						
07/09/2018	***-**-0939 - LAWRENCE, JASON	AMERICAN BALANCED FUND-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 2 Units @29.2000						
07/12/2018	***-**-2146 - JONES, KELLIE	AMERICAN BALANCED FUND-A	100.00	5.00	0.00	5.00	20.0	1.00
	ACCOUNT #: 00087644930	BUY 3 Units @29.2400						
07/16/2018	***-**-641X - BULLARO, FBO	AMERICAN BALANCED FUND-A	325.00	16.25	0.00	16.25	20.0	3.25
	ACCOUNT #: LSR010641	BUY 11 Units @29.2400						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
07/16/2018	***-**-435X - KEITH, FBO ACCOUNT #: LSR010643	AMERICAN BALANCED FUND-A BUY 11 Units @29.2400	325.00	16.25	0.00	16.25	20.0	3.25
07/16/2018	***-**-721X - VAILLANCOURT, ACCOUNT #: LSR010644	AMERICAN BALANCED FUND-A BUY 3 Units @29.2400	100.00	5.00	0.00	5.00	20.0	1.00
07/16/2018	***-**-008X - HICKS, FBO ACCOUNT #: LSR010645	AMERICAN BALANCED FUND-A BUY 16 Units @29.2400	475.00	23.75	0.00	23.75	20.0	4.75
07/16/2018	***-**-8906 - ISBELL, ACCOUNT #: 00073594886	AMERICAN BALANCED FUND-A BUY 2 Units @28.5600	50.00	1.38	0.00	1.38	20.0	0.28
07/16/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	AMERICAN BALANCED FUND-A BUY 2 Units @28.5600	50.00	1.38	0.00	1.38	20.0	0.28
07/16/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	AMERICAN BALANCED FUND-A BUY 4 Units @28.5600	100.00	2.75	0.00	2.75	20.0	0.55
07/17/2018	***-**-4906 - VAN ALSTINE, ACCOUNT #: 00086106101	AMERICAN BALANCED FUND-A BUY 2 Units @29.2600	50.00	2.50	0.00	2.50	20.0	0.50
07/23/2018	***-**-78IO - DONOVAN, FBO ACCOUNT #: LSR010557	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	0.69	0.00	0.69	20.0	0.14
07/23/2018	***-**-190X - HULL, JENNIFER ACCOUNT #: LSR010646	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	0.23	0.00	0.23	20.0	0.05
07/23/2018	***-**-09IO - CAREY, FBO ACCOUNT #: LSR011076	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	5.31	0.00	5.31	20.0	1.06
07/23/2018	***-**-108X - FOREST, NSPS ACCOUNT #: LSR010522	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	1.66	0.00	1.66	20.0	0.33
07/23/2018	***-**-653X - WERNER, FBO EVAN ACCOUNT #: LSR010638	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	1.47	0.00	1.47	20.0	0.29
07/23/2018	***-**-641X - BULLARO, FBO ACCOUNT #: LSR010641	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	0.81	0.00	0.81	20.0	0.16
07/23/2018	***-**-435X - KEITH, FBO ACCOUNT #: LSR010643	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	0.81	0.00	0.81	20.0	0.16
07/23/2018	***-**-721X - VAILLANCOURT, ACCOUNT #: LSR010644	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	0.25	0.00	0.25	20.0	0.05
07/23/2018	***-**-008X - HICKS, FBO ACCOUNT #: LSR010645	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	1.18	0.00	1.18	20.0	0.24



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
07/23/2018	***-**-653I - WERNER, EVAN ACCOUNT #: LSR010661	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	2.48	0.00	2.48	20.0	0.50
07/23/2018	***-**-05IR - DAVIS, STEPHEN ACCOUNT #: LSR010693	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	1.85	0.00	1.85	20.0	0.37
07/23/2018	***-**-722I - SCOONES, FBO ACCOUNT #: LSR010722	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	6.38	0.00	6.38	20.0	1.28
07/23/2018	***-**-7767 - DONOVAN, WILLIAM ACCOUNT #: LSR012405	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	2.18	0.00	2.18	20.0	0.44
07/23/2018	***-**-06IO - MARVIN, BOBBI ACCOUNT #: LSR012683	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	0.98	0.00	0.98	20.0	0.20
07/23/2018	***-**-09IO - CAREY, FBO ACCOUNT #: LSR011076	AMERICAN FUNDS 2035 TARGET DATE-A BUY 1 Units @0.0000	0.00	5.14	0.00	5.14	20.0	1.03
07/23/2018	***-**-09IR - CAREY, FBO ACCOUNT #: LSR011102	AMERICAN FUNDS 2035 TARGET DATE-A BUY 1 Units @0.0000	0.00	0.13	0.00	0.13	20.0	0.03
07/09/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN HIGH-INCOME TRUST-A BUY 10 Units @10.5200	100.00	2.75	0.00	2.75	20.0	0.55
07/09/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.5200	25.50	0.70	0.00	0.70	20.0	0.14
07/16/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.5500	50.00	1.38	0.00	1.38	20.0	0.28
07/16/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.5500	50.00	1.38	0.00	1.38	20.0	0.28
07/20/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	AMERICAN HIGH-INCOME TRUST-A BUY 7 Units @10.5500	75.00	2.06	0.00	2.06	20.0	0.41
07/23/2018	***-**-595X - WERNER, FBO ACCOUNT #: LSR010640	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	0.65	0.00	0.65	20.0	0.13
07/23/2018	***-**-595I - WERNER, GWENN ACCOUNT #: LSR010658	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	2.56	0.00	2.56	20.0	0.51
07/23/2018	***-**-722I - SCOONES, FBO ACCOUNT #: LSR010722	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	4.69	0.00	4.69	20.0	0.94
07/23/2018	***-**-336I - GETCHELL, FBO ACCOUNT #: LSR011101	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	0.29	0.00	0.29	20.0	0.06



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
07/23/2018	***-**-7767 - DONOVAN, WILLIAM	AMERICAN HIGH-INCOME TRUST-A	0.00	2.03	0.00	2.03	20.0	0.41
	ACCOUNT #: LSR012405	BUY 1 Units @0.0000						
07/23/2018	***-**-06IO - MARVIN, BOBBI	AMERICAN HIGH-INCOME TRUST-A	0.00	0.60	0.00	0.60	20.0	0.12
	ACCOUNT #: LSR012683	BUY 1 Units @0.0000						
07/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME BUILDER-A	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00086683340	BUY 2 Units @62.7800						
07/23/2018	***-**-595I - WERNER, GWENN	CAPITAL INCOME BUILDER-A	0.00	2.55	0.00	2.55	20.0	0.51
	ACCOUNT #: LSR010658	BUY 1 Units @0.0000						
07/23/2018	***-**-7767 - DONOVAN, WILLIAM	CAPITAL INCOME BUILDER-A	0.00	1.98	0.00	1.98	20.0	0.40
	ACCOUNT #: LSR012405	BUY 1 Units @0.0000						
07/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD BOND FUND-A	75.00	2.06	0.00	2.06	20.0	0.41
	ACCOUNT #: 00086683340	BUY 4 Units @20.2200						
07/23/2018	***-**-108X - FOREST, NSPS	CAPITAL WORLD BOND FUND-A	0.00	0.56	0.00	0.56	20.0	0.11
	ACCOUNT #: LSR010522	BUY 1 Units @0.0000						
07/09/2018	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	31.50	0.87	0.00	0.87	20.0	0.17
	ACCOUNT #: 00085839015	BUY 1 Units @53.6300						
07/09/2018	***-**-408B - SNIZEK,	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00085154620	BUY 2 Units @53.6300						
07/16/2018	***-**-3143 - HUMPF, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082277557	BUY 1 Units @53.3900						
07/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	3.44	0.00	3.44	20.0	0.69
	ACCOUNT #: 00086683340	BUY 2 Units @53.3000						
07/23/2018	***-**-108X - FOREST, NSPS	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.18	0.00	1.18	20.0	0.24
	ACCOUNT #: LSR010522	BUY 1 Units @0.0000						
07/23/2018	***-**-653X - WERNER, FBO EVAN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.24	0.00	1.24	20.0	0.25
	ACCOUNT #: LSR010638	BUY 1 Units @0.0000						
07/23/2018	***-**-653I - WERNER, EVAN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.84	0.00	1.84	20.0	0.37
	ACCOUNT #: LSR010661	BUY 1 Units @0.0000						
07/23/2018	***-**-722I - SCOONES, FBO	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.63	0.00	3.63	20.0	0.73
	ACCOUNT #: LSR010722	BUY 1 Units @0.0000						
07/23/2018	***-**-06IO - MARVIN, BOBBI	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.48	0.00	0.48	20.0	0.10
	ACCOUNT #: LSR012683	BUY 1 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
07/09/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	31.50	0.87	0.00	0.87	20.0	0.17
	ACCOUNT #: 00085839015	BUY 1 Units @56.0500						
07/16/2018	***-**-7598 - HUMPF, MINDI	EUROPACIFIC GROWTH FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082278912	BUY 1 Units @56.1900						
07/23/2018	***-**-7767 - DONOVAN, WILLIAM	EUROPACIFIC GROWTH FUND-A	0.00	1.33	0.00	1.33	20.0	0.27
	ACCOUNT #: LSR012405	BUY 1 Units @0.0000						
07/17/2018	***-**-027I - DAVIS, KATHRYN	FRANKLIN INCOME FUND CLASS A	0.00	1.04	0.00	1.04	20.0	0.21
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
07/17/2018	***-**-027I - DAVIS, KATHRYN	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	1.72	0.00	1.72	20.0	0.34
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
07/17/2018	***-**-027I - DAVIS, KATHRYN	FRK STRAT INC FND - CL A	0.00	1.42	0.00	1.42	20.0	0.28
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
07/23/2018	***-**-595X - WERNER, FBO	FUNDAMENTAL INVESTORS-A	0.00	1.49	0.00	1.49	20.0	0.30
	ACCOUNT #: LSR010640	BUY 1 Units @0.0000						
07/23/2018	***-**-595I - WERNER, GWENN	FUNDAMENTAL INVESTORS-A	0.00	3.27	0.00	3.27	20.0	0.65
	ACCOUNT #: LSR010658	BUY 1 Units @0.0000						
07/23/2018	***-**-06IO - MARVIN, BOBBI	FUNDAMENTAL INVESTORS-A	0.00	0.89	0.00	0.89	20.0	0.18
	ACCOUNT #: LSR012683	BUY 1 Units @0.0000						
07/23/2018	***-**-46IR - SWANCOTT, KELLIE	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	0.35	0.00	0.35	20.0	0.07
	ACCOUNT #: LSR010508	BUY 1 Units @0.0000						
07/23/2018	***-**-46IR - SWANCOTT, KELLIE	IVK GROWTH AND INCOME FUND - CLASS	0.00	0.54	0.00	0.54	20.0	0.11
	ACCOUNT #: LSR010508	BUY 1 Units @0.0000						
07/17/2018	***-**-027I - DAVIS, KATHRYN	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.91	0.00	0.91	20.0	0.18
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
07/17/2018	***-**-027I - DAVIS, KATHRYN	TEMP GLOBAL BOND FND - CL A	0.00	1.37	0.00	1.37	20.0	0.27
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
07/23/2018	***-**-108X - FOREST, NSPS	THE BOND FUND OF AMERICA-A	0.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: LSR010522	BUY 1 Units @0.0000						
07/23/2018	***-**-722I - SCOONES, FBO	THE BOND FUND OF AMERICA-A	0.00	2.13	0.00	2.13	20.0	0.43
	ACCOUNT #: LSR010722	BUY 1 Units @0.0000						
07/09/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	36.00	0.99	0.00	0.99	20.0	0.20
	ACCOUNT #: 00085839015	BUY 1 Units @57.4600						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
07/09/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	THE GROWTH FUND OF AMERICA-A BUY 3 Units @57.4600	175.00	4.81	0.00	4.81	20.0	0.96
07/16/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	THE GROWTH FUND OF AMERICA-A BUY 1 Units @57.4900	70.00	1.93	0.00	1.93	20.0	0.39
07/16/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	THE GROWTH FUND OF AMERICA-A BUY 2 Units @57.4900	133.00	3.66	0.00	3.66	20.0	0.73
07/16/2018	***-**-9089 - REEDY, LISA ACCOUNT #: 00083100942	THE GROWTH FUND OF AMERICA-A BUY 1 Units @57.4900	50.00	1.38	0.00	1.38	20.0	0.28
07/23/2018	***-**-09IO - CAREY, FBO ACCOUNT #: LSR011076	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	6.40	0.00	6.40	20.0	1.28
07/23/2018	***-**-653X - WERNER, FBO EVAN ACCOUNT #: LSR010638	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.57	0.00	1.57	20.0	0.31
07/23/2018	***-**-595I - WERNER, GWENN ACCOUNT #: LSR010658	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	3.44	0.00	3.44	20.0	0.69
07/23/2018	***-**-722I - SCOONES, FBO ACCOUNT #: LSR010722	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	5.73	0.00	5.73	20.0	1.15
07/23/2018	***-**-149X - PTAK, MATTHEW ACCOUNT #: LSR011220	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.14	0.00	0.14	20.0	0.03
07/23/2018	***-**-7767 - DONOVAN, WILLIAM ACCOUNT #: LSR012405	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.13	0.00	2.13	20.0	0.43
07/23/2018	***-**-06IO - MARVIN, BOBBI ACCOUNT #: LSR012683	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.99	0.00	0.99	20.0	0.20
07/09/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	THE INCOME FUND OF AMERICA-A BUY 1 Units @23.8300	25.50	0.70	0.00	0.70	20.0	0.14
07/09/2018	***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097	THE INCOME FUND OF AMERICA-A BUY 2 Units @24.4000	60.00	3.00	0.00	3.00	20.0	0.60
07/12/2018	***-**-2146 - JONES, KELLIE ACCOUNT #: 00087644930	THE INCOME FUND OF AMERICA-A BUY 4 Units @24.4200	100.00	5.00	0.00	5.00	20.0	1.00
07/20/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	THE INCOME FUND OF AMERICA-A BUY 6 Units @23.8900	150.00	4.13	0.00	4.13	20.0	0.83
07/23/2018	***-**-09IO - CAREY, FBO ACCOUNT #: LSR011076	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	5.17	0.00	5.17	20.0	1.03



COMMISSION STATEMENT

July 23, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
07/23/2018	***-**-108X - FOREST, NSPS	THE INCOME FUND OF AMERICA-A	0.00	1.79	0.00	1.79	20.0	0.36
	ACCOUNT #: LSR010522	BUY 1 Units @0.0000						
07/23/2018	***-**-595X - WERNER, FBO	THE INCOME FUND OF AMERICA-A	0.00	1.47	0.00	1.47	20.0	0.29
	ACCOUNT #: LSR010640	BUY 1 Units @0.0000						
07/23/2018	***-**-595I - WERNER, GWENN	THE INCOME FUND OF AMERICA-A	0.00	2.73	0.00	2.73	20.0	0.55
	ACCOUNT #: LSR010658	BUY 1 Units @0.0000						
07/23/2018	***-**-7767 - DONOVAN, WILLIAM	THE INCOME FUND OF AMERICA-A	0.00	2.67	0.00	2.67	20.0	0.53
	ACCOUNT #: LSR012405	BUY 1 Units @0.0000						
07/23/2018	***-**-336I - GETCHELL, FBO	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.34	0.00	0.34	20.0	0.07
	ACCOUNT #: LSR011101	BUY 1 Units @0.0000						
* RONALD DRAKE SUBTOTAL *			\$3,423.00	\$237.95	\$0.00	\$237.95		\$47.67
<u>BROKER: RONALD CIOTOLI</u>								
07/05/2018	***-**-717I - EWING, JOSEPH	PORT SERIES: EQUITY INV FD A	100.00	4.75	0.00	4.75	35.0	1.66
	ACCOUNT #: 05550672298	BUY 0 Units @0.0000						
07/20/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	190.00	3.80	0.00	3.80	35.0	1.33
	ACCOUNT #: 00067346436	BUY 8 Units @23.6400						
07/20/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	57.00	1.14	0.00	1.14	35.0	0.40
	ACCOUNT #: 00067346436	BUY 2 Units @23.6400						
* RONALD CIOTOLI SUBTOTAL *			\$347.00	\$9.69	\$0.00	\$9.69		\$3.39
*** OVERRIDE TRANSACTIONS TOTAL ***			\$3,770.00	\$247.64	\$0.00	\$247.64		\$51.06



COMMISSION STATEMENT

July 23, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$151.54
						Split Transactions		\$0.00
						Override Transactions		\$51.06
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Net Commission Earned								\$202.60
Year-to-date Earnings								\$16,712.75