



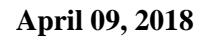
COMMISSION STATEMENT

April 09, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER TRANSACTIONS</div>								
<div>PRODUCT CATEGORY: MUTUAL FUNDS</div>								
03/28/2018	***-**-228I - EMMA, JACQUELINE	37252M108	100.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00551461545	BUY 5 Units @20.7900						
03/28/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00083468095	BUY 2 Units @28.1300						
03/27/2018	***-**-8806 - MABRY, REBECCA	THE BOND FUND OF AMERICA-A	75.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 00082729374	BUY 6 Units @13.1100						
03/27/2018	***-**-8806 - MABRY, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 2 Units @46.4400						
	* MUTUAL FUNDS SUBTOTAL *		\$300.00	\$12.56	\$0.00	\$12.56		\$10.05
<div>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</div>								
04/09/2018	***-**-13CL - BERG, C, CLIENTS	NEW YORK 529 TRAILS	0.00	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 77450911001	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$0.28	\$0.00	\$0.28		\$0.22
<div>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</div>								
03/29/2018	***-**-2389 - SHAW, KIMBERLY	HARTFORD LIFE TRAILS	0.00	76.07	0.00	76.07	80.0	60.86
	ACCOUNT #: 711271021	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$76.07	\$0.00	\$76.07		\$60.86
	*** BROKER TRANSACTIONS TOTAL ***		\$300.00	\$88.91	\$0.00	\$88.91		\$71.13



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<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$71.13
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					<div>Balance Forward\$71.13</div> <div>Year-to-date Earnings\$2,341.26</div>			