

STATEMENT
January 20, 2018

SCOTT MACNEILAGE
8826 N Bank Dr
SUITE 620
Ventura, CA 93004

BROKER #: 050
BRANCH:

COMMISSION STATEMENT for SCOTT MACNEILAGE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/15/2017	#01482511589	RUBIOSANDD	FIDELITY ADV	100.00	1.00	75.0	0.00	0.75	0.75
12/15/2017	#01482511589	RUBIOSANDD	FIDELITY ADV	100.00	1.00	75.0	0.00	0.75	0.75
			* MUTUAL FUNDS SUBTOTAL *	\$200.00	\$2.00	\$0.00	\$1.50	\$0.00	\$1.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/08/2018	#00702703950	VARIOUS	FA 529 LIMITED TERM BOND PORTFOLIO	0.00	0.14	75.0	0.00	0.11	0.11
01/08/2018	#00534236732	VARIOUS	FA 529 DIVERSIFIED INTL PORT-CL A	0.00	1.75	75.0	0.00	1.31	1.31
01/08/2018	#00702703950	VARIOUS	FA 529 HIGH INCOME PORT-CL A	0.00	0.09	75.0	0.00	0.07	0.07
01/08/2018	#01440047817	VARIOUS	FA 529 SMALL CAP PORT-CL A	0.00	1.14	75.0	0.00	0.86	0.86
01/08/2018	#00701810756	VARIOUS	FA 529 VALUE STRATEGIES	0.00	2.45	75.0	0.00	1.84	1.84
01/08/2018	#00701810756	VARIOUS	FA 529 VALUE STRATEGIES PORT-CL C	0.00	2.24	75.0	0.00	1.68	1.68
01/08/2018	#01482511589	RUBIOSANDD	FIDELITY ADV	0.00	2.11	75.0	0.00	1.58	1.58
01/08/2018	#00702703950	VARIOUS	FIDELITY STRATIGIC	0.00	0.30	75.0	0.00	0.23	0.23
			* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$10.22	\$0.00	\$7.68	\$0.00	\$7.68
			*** BROKER TRANSACTIONS TOTAL ***	\$200.00	\$12.22	\$0.00	\$9.18	\$0.00	\$9.18

ADJUSTMENTS

01/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
01/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
01/20/2018	NEGATIVE BALANCE CARRIED FORWARD:	879.76
	NEGATIVE BALANCE PAID	
*** ADJUSTMENTS TOTAL ***		\$380.76

BROKER COMMISSION TOTALS

Broker Transactions	\$9.18
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$380.76
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$389.94
---------------------	-----------------