



# COMMISSION STATEMENT

August 20, 2018

GRADY GORMAN  
7105 West 44th Ave  
Wheat Ridge, CO 80033

BROKER #: A97  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
08/15/2018	***-**-6680 - BACA, PHILIP	HELIX TCS INC COM	230.00	75.00	18.00	57.00	75.0	42.75
	ACCOUNT #: LSR012642	SELL 200 Units @1.1500						
08/15/2018	***-**-6680 - BACA, PHILIP	HELIX TCS INC COM	654.00	51.00	18.00	33.00	75.0	24.75
	ACCOUNT #: LSR012642	SELL 600 Units @1.0900						
08/15/2018	***-**-6680 - BACA, PHILIP	HELIX TCS INC COM	1,296.00	66.00	0.00	66.00	75.0	49.50
	ACCOUNT #: LSR012642	SELL 1200 Units @1.0800						
* STOCKS SUBTOTAL *			<b>\$2,180.00</b>	<b>\$192.00</b>	<b>\$36.00</b>	<b>\$156.00</b>		<b>\$117.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	***-**-667I - BENDER, RYAN	AMCAP FUND-A	0.00	1.82	0.00	1.82	75.0	1.37
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
/ /	***-**-08IR - CAPUNE, MEGHAN	AMCAP FUND-A	0.00	1.44	0.00	1.44	75.0	1.08
	ACCOUNT #: LSR012363	BUY 1 Units @0.0000						
/ /	***-**-408I - CAPUNE, MEGHAN	AMCAP FUND-A	0.00	1.74	0.00	1.74	75.0	1.31
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
/ /	***-**-408I - CAPUNE, MEGHAN	AMERICAN MUTUAL FUND-A	0.00	0.79	0.00	0.79	75.0	0.59
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
/ /	***-**-408I - CAPUNE, MEGHAN	CAPITAL INCOME BUILDER-A	0.00	0.46	0.00	0.46	75.0	0.35
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
/ /	***-**-667I - BENDER, RYAN	EUROPACIFIC GROWTH FUND-A	0.00	1.04	0.00	1.04	75.0	0.78
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
/ /	***-**-408I - CAPUNE, MEGHAN	EUROPACIFIC GROWTH FUND-A	0.00	0.58	0.00	0.58	75.0	0.44
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
/ /	***-**-667I - BENDER, RYAN	FUNDAMENTAL INVESTORS-A	0.00	1.74	0.00	1.74	75.0	1.31
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
/ /	***-**-408I - CAPUNE, MEGHAN	FUNDAMENTAL INVESTORS-A	0.00	1.13	0.00	1.13	75.0	0.85
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
/ /	***-**-463I - MACKEY, LAURA	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	2.16	0.00	2.16	75.0	1.62
	ACCOUNT #: LSR011920	BUY 1 Units @0.0000						
/ /	***-**-463I - MACKEY, LAURA	HCM TACTICAL GROWTH FND CL A-NFS	0.00	2.43	0.00	2.43	75.0	1.82
	ACCOUNT #: LSR011920	BUY 1 Units @0.0000						
/ /	***-**-408I - CAPUNE, MEGHAN	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
/ /	***-**-408I - CAPUNE, MEGHAN	NEW PERSPECTIVE FUND-A	0.00	0.43	0.00	0.43	75.0	0.32
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
/ /	***-**-667I - BENDER, RYAN	SMALLCAP WORLD FUND-A	0.00	1.57	0.00	1.57	75.0	1.18
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
/ /	***-**-408I - CAPUNE, MEGHAN	SMALLCAP WORLD FUND-A	0.00	0.40	0.00	0.40	75.0	0.30
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
/ /	***-**-408I - CAPUNE, MEGHAN	THE BOND FUND OF AMERICA-A	0.00	0.17	0.00	0.17	75.0	0.13
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
/ /	***-**-408I - CAPUNE, MEGHAN	THE GROWTH FUND OF AMERICA-A	0.00	0.54	0.00	0.54	75.0	0.41
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
/ /	***-**-408O - CAPUNE, MEGHAN	VIRTUS NEWFLEET MLT SECTOR SH TERM	0.00	11.18	0.00	11.18	75.0	8.39
	ACCOUNT #: LSR012365	BUY 1 Units @0.0000						
/ /	***-**-08IR - CAPUNE, MEGHAN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.76	0.00	0.76	75.0	0.57
	ACCOUNT #: LSR012363	BUY 1 Units @0.0000						
/ /	***-**-408I - CAPUNE, MEGHAN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.87	0.00	0.87	75.0	0.65
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$31.38</b>	<b>\$0.00</b>	<b>\$31.38</b>		<b>\$23.57</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$2,180.00</b>	<b>\$223.38</b>	<b>\$36.00</b>	<b>\$187.38</b>		<b>\$140.57</b>



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$140.57
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$140.57
						Year-to-date Earnings		\$3,839.94