



COMMISSION STATEMENT

April 09, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/02/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	CALVERT GLOBAL WATER FUND CLASS A SELL 1559 Units @19.5100	30,421.36	0.00	18.00	-18.00	100.0	-18.00
04/04/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	CALVERT GLOBAL WATER FUND CLASS A SELL 928 Units @19.7800	18,361.77	0.00	18.00	-18.00	100.0	-18.00
04/04/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	CARILLON CLARIVEST CAPITAL APPREC C SELL 229 Units @42.4100	9,705.49	0.00	18.00	-18.00	100.0	-18.00
04/02/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	FRK HIGH INC FND - CL A SELL 10929 Units @1.8300	20,000.00	0.00	18.00	-18.00	100.0	-18.00
04/04/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	FRK HIGH INC FND - CL A SELL 10870 Units @1.8400	20,000.00	0.00	18.00	-18.00	100.0	-18.00
04/04/2018	***-**-82IN - WINTERS, KEVIN ACCOUNT #: LSR012586	FRK HIGH INC FND - CL A SELL 10870 Units @1.8400	20,000.00	0.00	18.00	-18.00	100.0	-18.00
* MUTUAL FUNDS SUBTOTAL *			\$118,488.62	\$0.00	\$108.00	\$-108.00		\$-108.00
<u>PRODUCT CATEGORY: STOCKS</u>								
04/04/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	ARCOS DORADOS HOLDINGS INC COM NPV BUY 1000 Units @9.1250	9,125.00	200.00	35.00	165.00	85.0	140.25
04/03/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	GENERAL MOTORS CO COM USD0.01 ISIN BUY 1000 Units @36.7850	36,785.00	875.00	35.00	840.00	85.0	714.00
04/03/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	GENERAL MOTORS CO COM USD0.01 ISIN BUY 100 Units @36.7650	3,676.50	85.00	17.00	68.00	85.0	57.80
04/03/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	GENERAL MOTORS CO COM USD0.01 ISIN BUY 100 Units @36.7650	3,676.50	85.00	2.00	83.00	85.0	70.55
04/03/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	GENERAL MOTORS CO COM USD0.01 ISIN BUY 100 Units @36.7650	3,676.50	85.00	2.00	83.00	85.0	70.55
04/03/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	GENERAL MOTORS CO COM USD0.01 ISIN BUY 92 Units @36.7690	3,382.75	78.20	1.84	76.36	85.0	64.91
04/03/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	GENERAL MOTORS CO COM USD0.01 ISIN BUY 100 Units @36.7650	3,676.50	85.00	2.00	83.00	85.0	70.55
04/03/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	GENERAL MOTORS CO COM USD0.01 ISIN BUY 8 Units @36.7690	294.15	6.80	0.16	6.64	85.0	5.64
04/03/2018	***-**-41IR - ROHMAN, ARTHUR ACCOUNT #: LSR012575	GENERAL MOTORS CO COM USD0.01 ISIN BUY 500 Units @36.7960	18,398.00	425.00	25.00	400.00	85.0	340.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
04/04/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	GENERAL MOTORS CO COM USD0.01 ISIN BUY 100 Units @36.8690	3,686.90	85.00	17.00	68.00	85.0	57.80
04/04/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	GENERAL MOTORS CO COM USD0.01 ISIN BUY 100 Units @36.8690	3,686.90	85.00	2.00	83.00	85.0	70.55
04/04/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	GENERAL MOTORS CO COM USD0.01 ISIN BUY 66 Units @36.8690	2,433.35	56.10	1.32	54.78	85.0	46.56
04/04/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	GENERAL MOTORS CO COM USD0.01 ISIN BUY 100 Units @36.8690	3,686.90	85.00	2.00	83.00	85.0	70.55
04/04/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	GENERAL MOTORS CO COM USD0.01 ISIN BUY 34 Units @36.8690	1,253.55	28.90	0.68	28.22	85.0	23.99
04/04/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	GENERAL MOTORS CO COM USD0.01 ISIN BUY 66 Units @36.8690	2,433.35	56.10	1.32	54.78	85.0	46.56
04/04/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	GENERAL MOTORS CO COM USD0.01 ISIN BUY 17 Units @36.8690	626.77	14.45	0.34	14.11	85.0	11.99
04/04/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	GENERAL MOTORS CO COM USD0.01 ISIN BUY 17 Units @36.8690	626.77	14.45	0.34	14.11	85.0	11.99
04/02/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	HAWAIIAN HLDGS INC SELL 500 Units @37.5760	18,788.00	425.00	18.00	407.00	85.0	345.95
04/02/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	JUST ENERGY GROUP INC COM NPV ISIN BUY 5000 Units @4.4140	22,070.00	545.00	115.00	430.00	85.0	365.50
04/02/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN BUY 100 Units @4.4300	443.00	10.83	17.00	-6.17	100.0	-6.17
04/02/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN BUY 200 Units @4.4300	886.00	21.67	4.00	17.67	85.0	15.02
04/02/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN BUY 101 Units @4.4300	447.43	10.94	2.02	8.92	85.0	7.58
04/02/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN BUY 100 Units @4.4300	443.00	10.83	2.00	8.83	85.0	7.51
04/02/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN BUY 600 Units @4.4270	2,656.20	65.00	12.00	53.00	85.0	45.05
04/02/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN BUY 100 Units @4.4300	443.00	10.83	2.00	8.83	85.0	7.51



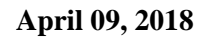
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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
04/02/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN BUY 100 Units @4.4300	443.00	10.83	2.00	8.83	85.0	7.51
04/02/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN BUY 100 Units @4.4300	443.00	10.83	2.00	8.83	85.0	7.51
04/02/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN BUY 1300 Units @4.4300	5,759.00	140.83	26.00	114.83	85.0	97.61
04/02/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN BUY 100 Units @4.4300	443.00	10.83	2.00	8.83	85.0	7.51
04/02/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN BUY 99 Units @4.4300	438.57	10.72	1.98	8.74	85.0	7.43
04/02/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	JUST ENERGY GROUP INC COM NPV ISIN BUY 100 Units @4.4300	443.00	10.83	2.00	8.83	85.0	7.51
04/04/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	ULTRA CLEAN HLDGS INC SELL 350 Units @17.5780	6,152.30	100.00	22.00	78.00	85.0	66.30
* STOCKS SUBTOTAL *			\$161,423.89	\$3,744.97	\$375.00	\$3,369.97		\$2,863.57
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
04/02/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	WESTERN ASSET MTG CAP CORP COM UNSO SELL 1000 Units @9.7060	9,706.00	225.00	24.00	201.00	85.0	170.85
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$9,706.00	\$225.00	\$24.00	\$201.00		\$170.85
*** BROKER TRANSACTIONS TOTAL ***			\$289,618.51	\$3,969.97	\$507.00	\$3,462.97		\$2,926.42



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$2,926.42
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,926.42
						Year-to-date Earnings		\$27,702.89