



COMMISSION STATEMENT

January 29, 2018

SAMUEL GALATIOTO
134 Liberty Street
Tustin, CA 949

BROKER #: A37
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 01/22/2018 | ***-**-31IR - PLATT, ROGER | FEDERATED STRATEGIC VALUE DIVIDEND | 500.00 | 5.00 | 0.00 | 5.00 | 70.0 | 3.50 |
| | ACCOUNT #: LSR011466 | BUY 81 Units @6.1800 | | | | | | |
| | | * MUTUAL FUNDS SUBTOTAL * | \$500.00 | \$5.00 | \$0.00 | \$5.00 | | \$3.50 |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| 01/22/2018 | ***-**-49TR - CUZICK TRUST, | AMAZON.COM INC UNSOLICITED ORDER | 99,081.00 | 54.00 | 18.00 | 36.00 | 70.0 | 25.20 |
| | ACCOUNT #: LSR010064 | BUY 75 Units @1321.0800 | | | | | | |
| 01/22/2018 | ***-**-49TR - CUZICK TRUST, | MERCK & CO INC NEW COM | 30,512.50 | 54.00 | 25.00 | 29.00 | 70.0 | 20.30 |
| | ACCOUNT #: LSR010064 | BUY 500 Units @61.0250 | | | | | | |
| | | * STOCKS SUBTOTAL * | \$129,593.50 | \$108.00 | \$43.00 | \$65.00 | | \$45.50 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 01/29/2018 | ***-**-97IO - WAY, FBO DREW K | BLACKROCK 09251M108 | 0.00 | 1.29 | 0.00 | 1.29 | 70.0 | 0.90 |
| | ACCOUNT #: LSR010105 | BUY 1 Units @0.0000 | | | | | | |
| 01/29/2018 | ***-**-97IN - WAY, DREW | BLACKROCK 09251M108 | 0.00 | 0.26 | 0.00 | 0.26 | 70.0 | 0.18 |
| | ACCOUNT #: LSR010106 | BUY 1 Units @0.0000 | | | | | | |
| 01/29/2018 | ***-**-69IO - WAY, DEBORAH | BLACKROCK 09251M108 | 0.00 | 0.37 | 0.00 | 0.37 | 70.0 | 0.26 |
| | ACCOUNT #: LSR010169 | BUY 1 Units @0.0000 | | | | | | |
| 01/29/2018 | ***-**-91IO - BROWN, FBO | BLACKROCK HEALTH SCIENCES FUND CLAS | 0.00 | 3.08 | 0.00 | 3.08 | 70.0 | 2.16 |
| | ACCOUNT #: LSR010370 | BUY 1 Units @0.0000 | | | | | | |
| 01/29/2018 | ***-**-97IO - WAY, FBO DREW K | BLACKROCK MULTI ASSET INCOME PORT A | 0.00 | 2.11 | 0.00 | 2.11 | 70.0 | 1.48 |
| | ACCOUNT #: LSR010105 | BUY 1 Units @0.0000 | | | | | | |
| 01/29/2018 | ***-**-97IN - WAY, DREW | BLACKROCK MULTI ASSET INCOME PORT A | 0.00 | 0.46 | 0.00 | 0.46 | 70.0 | 0.32 |
| | ACCOUNT #: LSR010106 | BUY 1 Units @0.0000 | | | | | | |
| 01/29/2018 | ***-**-69IO - WAY, DEBORAH | BLACKROCK MULTI ASSET INCOME PORT A | 0.00 | 0.24 | 0.00 | 0.24 | 70.0 | 0.17 |
| | ACCOUNT #: LSR010169 | BUY 1 Units @0.0000 | | | | | | |
| 01/29/2018 | ***-**-91IO - BROWN, FBO | BLACKROCK MULTI ASSET INCOME PORT A | 0.00 | 3.35 | 0.00 | 3.35 | 70.0 | 2.35 |
| | ACCOUNT #: LSR010370 | BUY 1 Units @0.0000 | | | | | | |
| 01/29/2018 | ***-**-51IR - DIETLIN, FBO | FEDERATED STRATEGIC VALUE DIVIDEND | 0.00 | 0.92 | 0.00 | 0.92 | 70.0 | 0.64 |
| | ACCOUNT #: LSR011167 | BUY 1 Units @0.0000 | | | | | | |
| 01/29/2018 | ***-**-31IR - PLATT, ROGER | FEDERATED STRATEGIC VALUE DIVIDEND | 0.00 | 3.72 | 0.00 | 3.72 | 70.0 | 2.60 |
| | ACCOUNT #: LSR011466 | BUY 1 Units @0.0000 | | | | | | |



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|--|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 01/29/2018 | ***-**-31IO - PLATT, ROGER ACCOUNT #: LSR011467 | FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000 | 0.00 | 2.42 | 0.00 | 2.42 | 70.0 | 1.69 |
| 01/29/2018 | ***-**-51IR - DIETLIN, FBO ACCOUNT #: LSR011167 | FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000 | 0.00 | 0.81 | 0.00 | 0.81 | 70.0 | 0.57 |
| 01/29/2018 | ***-**-31IR - PLATT, ROGER ACCOUNT #: LSR011466 | FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000 | 0.00 | 2.49 | 0.00 | 2.49 | 70.0 | 1.74 |
| 01/29/2018 | ***-**-31IO - PLATT, ROGER ACCOUNT #: LSR011467 | FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000 | 0.00 | 0.81 | 0.00 | 0.81 | 70.0 | 0.57 |
| 01/29/2018 | ***-**-660J - MILBURN, DON ACCOUNT #: LSR010050 | FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000 | 0.00 | 0.01 | 0.00 | 0.01 | 70.0 | 0.01 |
| 01/29/2018 | ***-**-660K - MILBURN, DON ACCOUNT #: LSR010051 | FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000 | 0.00 | 0.24 | 0.00 | 0.24 | 70.0 | 0.17 |
| 01/29/2018 | ***-**-505K - MILBURN, SALLY ACCOUNT #: LSR010052 | FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000 | 0.00 | 0.50 | 0.00 | 0.50 | 70.0 | 0.35 |
| 01/29/2018 | ***-**-74IO - SERNA, DEBRA ACCOUNT #: LSR010054 | FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000 | 0.00 | 1.43 | 0.00 | 1.43 | 70.0 | 1.00 |
| 01/29/2018 | ***-**-30IO - STILL, GORDON ACCOUNT #: LSR010055 | FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000 | 0.00 | 0.66 | 0.00 | 0.66 | 70.0 | 0.46 |
| 01/29/2018 | ***-**-90IO - GIBBONS, JEFFREY ACCOUNT #: LSR010057 | FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000 | 0.00 | 0.37 | 0.00 | 0.37 | 70.0 | 0.26 |
| 01/29/2018 | ***-**-85IO - GIBBONS, LEORA ACCOUNT #: LSR010059 | FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000 | 0.00 | 0.33 | 0.00 | 0.33 | 70.0 | 0.23 |
| 01/29/2018 | ***-**-92IO - CUZICK, JOANIE ACCOUNT #: LSR010065 | FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000 | 0.00 | 3.58 | 0.00 | 3.58 | 70.0 | 2.51 |
| 01/29/2018 | ***-**-49IO - CUZICK, MICHAEL ACCOUNT #: LSR010066 | FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000 | 0.00 | 4.88 | 0.00 | 4.88 | 70.0 | 3.42 |
| 01/29/2018 | ***-**-25IO - KESHEN, MARY LOU ACCOUNT #: LSR010085 | FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000 | 0.00 | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/29/2018 | ***-**-27IO - JANOLO, VICTORIA ACCOUNT #: LSR010300 | FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000 | 0.00 | 0.19 | 0.00 | 0.19 | 70.0 | 0.13 |
| 01/29/2018 | ***-**-71IO - JACOBSON, DEBRA ACCOUNT #: LSR010305 | FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000 | 0.00 | 9.26 | 0.00 | 9.26 | 70.0 | 6.48 |



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| <u>BROKER TRANSACTIONS (continued)</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u> | | | | | | | | |
| 01/29/2018 | ***-**-37IO - PASCUA, DAVID | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 0.32 | 0.00 | 0.32 | 70.0 | 0.22 |
| | ACCOUNT #: LSR010716 | BUY 1 Units @0.0000 | | | | | | |
| 01/29/2018 | ***-**-4557 - CORNICHE GROUP, | QUALIFIED PLAN SUMMARY | 0.00 | 652.42 | 0.00 | 652.42 | 70.0 | 456.69 |
| | ACCOUNT #: 682525 | BUY 0 Units @0.0000 | | | | | | |
| | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00 | \$696.52 | \$0.00 | \$696.52 | | \$487.56 |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$130,093.50 | \$809.52 | \$43.00 | \$766.52 | | \$536.56 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$536.56 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|------------------------------|-------------------|
| Net Commission Earned | \$536.56 |
| Year-to-date Earnings | \$2,291.02 |