



All Companies
COMMISSION STATEMENT
May 15, 2018

05/14/2018
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JEFFREY ROTHSCHILD
609 Gunnison Dr.

BROKER #: 9100
BRANCH: HOME OFFICE

ARLINGTON, TX 76006-

COMMISSION STATEMENT for JEFFREY ROTHSCHILD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
05/01/2018 00075227122	GAYLORD, CYNTHIA	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	70.0	1.32
04/23/2018 00083784453	ROTHSCHILD, PAUL J	AMERICAN FUNDS CAPITAL WORLD GROWT	B	125.00	6.25	0.00	6.25	70.0	4.38
04/30/2018 00089965164	YETTER, CHRISTINA	THE GROWTH FUND OF AMERICA-529A	B	100.00	4.25	0.00	4.25	70.0	2.98
	B.								
04/30/2018 00089965211	TRIPPLE, JAMES M.	THE GROWTH FUND OF AMERICA-529A	B	100.00	4.25	0.00	4.25	70.0	2.98
05/01/2018 04000442065	MANNARI, ALEXANDER	AMERICAN FUNDS 2055 TARGET DATE-A	B	276.65	10.37	0.00	10.37	70.0	7.26
	B.								
05/01/2018 04000442065	MANNARI, ALEXANDER	AMERICAN FUNDS 2055 TARGET DATE-A	B	81.81	3.07	0.00	3.07	70.0	2.15
	B.								
05/01/2018 04000442065	MANNARI, ALEXANDER	GROWTH AND INCOME PORTFOLIO-A	B	184.43	6.92	0.00	6.92	70.0	4.84
	B.								
05/01/2018 04000442065	MANNARI, ALEXANDER	GROWTH AND INCOME PORTFOLIO-A	B	54.54	2.05	0.00	2.05	70.0	1.44
	B.								
05/01/2018 04000443214	CASTILLO, MAYRA	AMERICAN FUNDS 2040 TARGET DATE-A	B	446.02	16.73	0.00	16.73	70.0	11.71
05/01/2018 04000443214	CASTILLO, MAYRA	AMERICAN FUNDS 2040 TARGET DATE-A	B	597.42	22.40	0.00	22.40	70.0	15.68
05/01/2018 04000461585	DARLING, AMANDA M.	AMERICAN FUNDS 2050 TARGET DATE-A	B	66.10	2.48	0.00	2.48	70.0	1.74
05/01/2018 04000461585	DARLING, AMANDA M.	AMERICAN FUNDS 2050 TARGET DATE-A	B	170.58	6.40	0.00	6.40	70.0	4.48
05/01/2018 04000475370	PERRY, JORDAN W.	AMERICAN FUNDS 2055 TARGET DATE-A	B	125.25	4.70	0.00	4.70	70.0	3.29
05/01/2018 04000475370	PERRY, JORDAN W.	AMERICAN FUNDS 2055 TARGET DATE-A	B	430.40	16.14	0.00	16.14	70.0	11.30
05/01/2018 04000475395	GRAY, MICHAEL W.	AMERICAN FUNDS 2030 TARGET DATE-A	B	750.00	28.13	0.00	28.13	70.0	19.69
05/01/2018 04000475395	GRAY, MICHAEL W.	AMERICAN FUNDS 2030 TARGET DATE-A	B	2,010.00	75.38	0.00	75.38	70.0	52.77
05/01/2018 04000475406	MINTON, SESSILY A.	AMERICAN FUNDS 2045 TARGET DATE-A	B	750.00	28.13	0.00	28.13	70.0	19.69
05/01/2018 04000475406	MINTON, SESSILY A.	AMERICAN FUNDS 2045 TARGET DATE-A	B	2,010.00	75.38	0.00	75.38	70.0	52.77
05/01/2018 04000587935	DELANO, JEFFREY	AMERICAN FUNDS 2030 TARGET DATE-A	B	750.00	28.13	0.00	28.13	70.0	19.69
05/01/2018 04000587935	DELANO, JEFFREY	AMERICAN FUNDS 2030 TARGET DATE-A	B	2,010.00	75.38	0.00	75.38	70.0	52.77
04/25/2018 7500540727	HESS, MICHAEL &	OPPENHEIMER GLOBAL FD CL A	B	100.00	4.75	0.00	4.75	70.0	3.33
	LORA								
				* MUTUAL FUNDS SUBTOTAL *	\$11,188.20	\$423.17	\$0.00	\$423.17	\$296.26

COMMISSION STATEMENT for JEFFREY ROTHSCHILD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/16/2018	GOETZ, CAROLYN S	CANADA LIFE VA	B	171.52	2.58	0.00	2.58	70.0	1.81
03/31/2018	TRAILS	METROPOLITAN LIFE AND AFFILIATED CO B		2.52	2.52	0.00	2.52	70.0	1.76
05/02/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	62.62	62.62	0.00	62.62	70.0	43.83
* VARIABLE ANNUITIES SUBTOTAL *				\$236.66	\$67.72	\$0.00	\$67.72		\$47.40
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	689.92	0.00	689.92	70.0	482.97
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$689.92	\$0.00	\$689.92		\$482.97
*** BROKER TRANSACTIONS TOTAL ***				\$11,424.86	\$1,180.81	\$0.00	\$1,180.81		\$826.63

SPLIT TRANSACTIONS**BROKER: ROTHSCHILD/POOL #9629**

04/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	110.72	66.43	0.00	66.43	70.0	46.50
04/25/2018	0000000000003549 CAMAGANACAN, SIXTO/ROSALINA	FRK INTL SMALL CAP GROWTH FUND - CL B		50.00	0.30	0.00	0.30	70.0	0.21
04/25/2018	00000000000817890 TEN, JT	FRANKLIN VALUE FD CL II	B	100.00	0.60	0.00	0.60	70.0	0.42
04/25/2018	0000000001223650 TEN, JT	FRANKLIN 102 AGE HIGH INCOME FD	C B	50.00	0.30	0.00	0.30	70.0	0.21
04/25/2018	0000000003564376 TEN, JT	TEMP GLOBAL BOND FND - CL C	B	50.00	0.30	0.00	0.30	70.0	0.21
05/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	1,156.15	0.00	1,156.15	70.0	809.38
05/01/2018	00064082782 KRAATZ, MATTHEW	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	1.28	0.00	1.28	70.0	0.90
04/30/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS INVESTMENT COMP OF A B		100.00	3.00	0.00	3.00	70.0	2.10
04/30/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	3.00	0.00	3.00	70.0	2.10
04/30/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	3.00	0.00	3.00	70.0	2.10
04/16/2018	00625263215 WONGPANICH, PINIJ	FIDELITY ADV EMERGING MKTS-CL C	B	6,500.00	39.00	0.00	39.00	70.0	27.30
05/01/2018	08191365977 ATCHISON, STEPHEN	MFS LIFETIME 2025 FUND-B	B	100.00	2.40	0.00	2.40	70.0	1.68
* ROTHSCHILD/POOL SUBTOTAL *				\$7,310.72	\$1,275.76	\$0.00	\$1,275.76		\$893.11
*** SPLIT TRANSACTIONS TOTAL ***				\$7,310.72	\$1,275.76	\$0.00	\$1,275.76		\$893.11

BROKER COMMISSION TOTALS

Broker Transactions	\$1,626.70
Split Transactions	\$93.04
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,719.74
Year-to-date Earnings	\$27,154.46