

COMMISSION STATEMENT

April 03, 2017

STEPHEN SUSLOW

14 WEST 12TH STREET

APT 6B

NEW YORK, NY 10011

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

03/27/2017	75500663	BOSGANG, ALVIN	BANK OF AMERICA CORP	B	301,350.00	0.00	0.00	0.00	80.0	0.00
03/20/2017	75500844	BRUCKSTEIN, ALEX	RITTER PHARMACEUTICALS INC COM	S	4,042.20	0.00	0.00	0.00	80.0	0.00
03/27/2017	75500844	BRUCKSTEIN, ALEX	WTS STONE ENERGY CORP WT EXP	S	201.50	0.00	0.00	0.00	80.0	0.00
03/28/2017	75500844	BRUCKSTEIN, ALEX	RITTER PHARMACEUTICALS INC COM	B	4,018.86	9.97	0.00	9.97	80.0	7.98
03/24/2017	75500864	WINICK, JESSE	BANK OF AMERICA CORP	B	490,000.00	0.00	0.00	0.00	80.0	0.00
03/08/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		26,570.04	0.00	0.00	0.00	80.0	0.00
03/08/2017	75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		25,412.00	0.00	0.00	0.00	80.0	0.00
03/09/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		13,697.25	0.00	0.00	0.00	80.0	0.00
03/09/2017	75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		12,612.00	0.00	0.00	0.00	80.0	0.00
03/10/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		39,876.74	0.00	0.00	0.00	80.0	0.00
03/13/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		17,288.64	0.00	0.00	0.00	80.0	0.00
03/14/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		2,617.56	0.00	0.00	0.00	80.0	0.00
03/14/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		9,179.24	0.00	0.00	0.00	80.0	0.00
03/16/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		2,678.52	0.00	0.00	0.00	80.0	0.00
03/24/2017	75500918	SUSLOW, ELISSA	BANK OF AMERICA CORP	B	490,000.00	0.00	0.00	0.00	80.0	0.00
02/24/2017	75500933	LAWRENCE, IRA	BIOCRIST PHARMACEUTICALS INC	B	10,856.00	10.00	0.00	10.00	80.0	8.00
02/27/2017	75500933	LAWRENCE, IRA	SOTHEBYS	B	44,804.00	10.00	0.00	10.00	80.0	8.00
02/27/2017	75500933	LAWRENCE, IRA	SOTHEBYS	S	44,590.00	10.00	0.00	10.00	80.0	8.00
02/28/2017	75500933	LAWRENCE, IRA	58.COM INC SPONSORED ADR REPSTG CL	S	18,405.00	0.00	0.00	0.00	80.0	0.00
02/28/2017	75500933	LAWRENCE, IRA	58.COM INC SPONSORED ADR REPSTG CL	B	36,791.00	0.00	0.00	0.00	80.0	0.00
02/28/2017	75500933	LAWRENCE, IRA	58.COM INC SPONSORED ADR REPSTG CL	S	18,495.00	0.00	0.00	0.00	80.0	0.00
02/28/2017	75500933	LAWRENCE, IRA	BWX TECHNOLOGIES INC COM	B	48,163.00	0.00	0.00	0.00	80.0	0.00
02/28/2017	75500933	LAWRENCE, IRA	BWX TECHNOLOGIES INC COM	S	47,690.00	0.00	0.00	0.00	80.0	0.00
02/28/2017	75500933	LAWRENCE, IRA	QUALCOMM INC	S	55,950.00	0.00	0.00	0.00	80.0	0.00
03/07/2017	75500933	LAWRENCE, IRA	MOMO INC ADR	S	29,830.00	0.00	0.00	0.00	80.0	0.00
03/08/2017	75500933	LAWRENCE, IRA	H & R BLOCK INC	S	23,890.00	0.00	0.00	0.00	80.0	0.00
03/08/2017	75500933	LAWRENCE, IRA	H & R BLOCK INC	S	23,660.00	0.00	0.00	0.00	80.0	0.00
03/08/2017	75500933	LAWRENCE, IRA	H & R BLOCK INC	B	47,876.00	0.00	0.00	0.00	80.0	0.00
03/10/2017	75500933	LAWRENCE, IRA	BIOCRIST PHARMACEUTICALS INC	S	16,880.41	0.00	0.00	0.00	80.0	0.00
03/20/2017	75500933	LAWRENCE, IRA	FIREEYE INC COM	S	11,650.00	10.00	0.00	10.00	80.0	8.00
03/20/2017	75500933	LAWRENCE, IRA	FIREEYE INC COM	S	11,580.00	10.00	0.00	10.00	80.0	8.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
03/27/2017	75500933	LAWRENCE, IRA	WTS STONE ENERGY CORP WT EXP	S	201.51	10.00	0.00	10.00	80.0	8.00
03/01/2017	75501098	WINICK FAMILY	CITIGROUP INC 6.875% SER K DEP SHS	S	114,532.75	20.00	0.00	20.00	80.0	16.00
03/23/2017	75501098	WINICK FAMILY	BANK OF AMERICA CORP	B	183,750.00	0.00	0.00	0.00	80.0	0.00
03/24/2017	75501098	WINICK FAMILY	BANK OF AMERICA CORP	B	306,250.00	0.00	0.00	0.00	80.0	0.00
03/27/2017	75501098	WINICK FAMILY	BANK OF AMERICA CORP	B	151,900.00	0.00	0.00	0.00	80.0	0.00
02/27/2017	75501161	LAWRENCE, DAVID	TEGNA INC	B	25,788.90	0.00	0.00	0.00	80.0	0.00
02/27/2017	75501161	LAWRENCE, DAVID	TEGNA INC	S	26,270.00	0.00	0.00	0.00	80.0	0.00
03/02/2017	75501161	LAWRENCE, DAVID	SNAP INC CLASS A COMMON STOCK	B	48,000.00	0.00	0.00	0.00	80.0	0.00
03/02/2017	75501161	LAWRENCE, DAVID	SNAP INC CLASS A COMMON STOCK	S	25,000.00	0.00	0.00	0.00	80.0	0.00
03/02/2017	75501161	LAWRENCE, DAVID	SNAP INC CLASS A COMMON STOCK	S	25,200.00	0.00	0.00	0.00	80.0	0.00
03/06/2017	75501161	LAWRENCE, DAVID	NOVO NORDISK A/S-ADR REPSTG 1/2 CL	S	34,001.50	0.00	0.00	0.00	80.0	0.00
03/07/2017	75501161	LAWRENCE, DAVID	MICHAELS COMPANIES INC (THE) COM	S	21,040.00	0.00	0.00	0.00	80.0	0.00
03/07/2017	75501161	LAWRENCE, DAVID	MICHAELS COMPANIES INC (THE) COM	B	20,620.00	0.00	0.00	0.00	80.0	0.00
03/20/2017	75501161	LAWRENCE, DAVID	FIREEYE INC COM	S	11,740.00	10.00	0.00	10.00	80.0	8.00
03/20/2017	75501161	LAWRENCE, DAVID	RITTER PHARMACEUTICALS INC COM	S	4,042.20	10.00	0.00	10.00	80.0	8.00
03/27/2017	75501161	LAWRENCE, DAVID	WTS STONE ENERGY CORP WT EXP	S	201.51	10.00	0.00	10.00	80.0	8.00
03/03/2017	75501189	IRA S LAWRENCE TTEE	NUTANIX INC CL A	B	24,710.00	0.00	0.00	0.00	80.0	0.00
03/21/2017	75501189	IRA S LAWRENCE TTEE	VALLEY NATIONAL BANCORP	S	16,436.00	10.00	0.00	10.00	80.0	8.00
03/20/2017	75501196	LAWRENCE, ADELLE	FIREEYE INC COM	S	23,000.00	10.00	0.00	10.00	80.0	8.00
03/15/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	B	13,229.58	0.00	0.00	0.00	80.0	0.00
03/16/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	B	13,260.00	0.00	0.00	0.00	80.0	0.00
03/24/2017	75502037	WINICK, BARBARA	BANK OF AMERICA CORP	B	480,000.00	0.00	0.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *					\$3,499,828.91	\$139.97	\$0.00	\$139.97		\$111.98
PRODUCT CATEGORY: OPTIONS										
02/28/2017	75500663	BOSGANG, ALVIN	PUT YHOO 03/17/17 44.50	S	13,080.00	600.00	0.00	600.00	80.0	480.00
02/28/2017	75500663	BOSGANG, ALVIN	PUT YHOO 03/24/17 43.50	S	31,820.00	1,050.00	0.00	1,050.00	80.0	840.00
02/28/2017	75500663	BOSGANG, ALVIN	PUT YHOO 03/24/17 44	S	16,560.00	750.00	0.00	750.00	80.0	600.00
02/28/2017	75500663	BOSGANG, ALVIN	PUT YHOO 03/24/17 43.50	S	2,600.00	130.00	0.00	130.00	80.0	104.00
03/01/2017	75500663	BOSGANG, ALVIN	PUT YHOO 03/31/17 44	S	5,280.00	264.00	0.00	264.00	80.0	211.20
03/01/2017	75500663	BOSGANG, ALVIN	PUT YHOO 03/31/17 44.50	S	8,384.00	366.00	0.00	366.00	80.0	292.80
03/01/2017	75500663	BOSGANG, ALVIN	PUT YHOO 03/24/17 43.50	S	37,203.00	1,500.00	0.00	1,500.00	80.0	1,200.00
03/01/2017	75500663	BOSGANG, ALVIN	PUT YHOO 03/24/17 43.50	S	14,980.00	749.00	0.00	749.00	80.0	599.20
03/01/2017	75500663	BOSGANG, ALVIN	PUT YHOO 03/24/17 44	S	5,440.00	272.00	0.00	272.00	80.0	217.60
03/01/2017	75500663	BOSGANG, ALVIN	PUT YHOO 03/17/17 45	S	5,600.00	280.00	0.00	280.00	80.0	224.00
03/02/2017	75500663	BOSGANG, ALVIN	PUT YHOO 03/17/17 44.50	S	4,100.00	205.00	0.00	205.00	80.0	164.00
03/02/2017	75500663	BOSGANG, ALVIN	PUT YHOO 03/10/17 45	S	3,800.00	190.00	0.00	190.00	80.0	152.00
03/02/2017	75500663	BOSGANG, ALVIN	PUT YHOO 03/31/17 44	S	12,538.00	600.00	0.00	600.00	80.0	480.00
03/02/2017	75500663	BOSGANG, ALVIN	PUT YHOO 03/31/17 44.50	S	17,000.00	600.00	0.00	600.00	80.0	480.00
03/02/2017	75500663	BOSGANG, ALVIN	PUT YHOO 03/24/17 43.50	S	21,500.00	750.00	0.00	750.00	80.0	600.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
03/02/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/24/17	43.50	S	11,460.00	570.00	0.00	570.00	80.0	456.00
03/02/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/24/17	44	S	2,100.00	105.00	0.00	105.00	80.0	84.00
03/02/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/17/17	45	S	13,520.00	660.00	0.00	660.00	80.0	528.00
03/03/2017	75500663 BOSGANG, ALVIN	PUT C 03/24/17	58.50	S	15,200.00	750.00	0.00	750.00	80.0	600.00
03/03/2017	75500663 BOSGANG, ALVIN	PUT C 03/17/17	59	S	5,100.00	255.00	0.00	255.00	80.0	204.00
03/03/2017	75500663 BOSGANG, ALVIN	PUT C 03/24/17	59	S	20,020.00	750.00	0.00	750.00	80.0	600.00
03/03/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/31/17	44.50	S	26,364.00	984.00	0.00	984.00	80.0	787.20
03/03/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/31/17	45	S	38,020.00	1,050.00	0.00	1,050.00	80.0	840.00
03/03/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/24/17	43.50	S	28,695.00	1,050.00	0.00	1,050.00	80.0	840.00
03/06/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/31/17	44	S	14,925.00	746.00	0.00	746.00	80.0	596.80
03/06/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/31/17	44.50	S	28,616.00	1,050.00	0.00	1,050.00	80.0	840.00
03/06/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/31/17	45	S	15,900.00	450.00	0.00	450.00	80.0	360.00
03/06/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/24/17	43.50	S	21,005.00	757.00	0.00	757.00	80.0	605.60
03/07/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/31/17	44	S	2,300.00	115.00	0.00	115.00	80.0	92.00
03/07/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/31/17	44.50	S	9,738.00	450.00	0.00	450.00	80.0	360.00
03/07/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/31/17	45	S	42,718.00	1,500.00	0.00	1,500.00	80.0	1,200.00
03/07/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/24/17	43.50	S	15,442.00	742.00	0.00	742.00	80.0	593.60
03/07/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/24/17	43.50	S	2,100.00	105.00	0.00	105.00	80.0	84.00
03/07/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/17/17	45	S	4,000.00	200.00	0.00	200.00	80.0	160.00
03/08/2017	75500663 BOSGANG, ALVIN	PUT BAC 03/24/17	24.50	S	10,500.00	525.00	0.00	525.00	80.0	420.00
03/08/2017	75500663 BOSGANG, ALVIN	PUT BAC 03/31/17	24.50	S	17,060.00	853.00	0.00	853.00	80.0	682.40
03/08/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/31/17	44.50	S	9,160.00	458.00	0.00	458.00	80.0	366.40
03/08/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/31/17	45	S	36,885.00	1,650.00	0.00	1,650.00	80.0	1,320.00
03/08/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/24/17	43.50	S	6,600.00	330.00	0.00	330.00	80.0	264.00
03/09/2017	75500663 BOSGANG, ALVIN	PUT BAC 03/31/17	24.50	S	14,140.00	707.00	0.00	707.00	80.0	565.60
03/09/2017	75500663 BOSGANG, ALVIN	PUT C 03/31/17	58.50	S	8,400.00	420.00	0.00	420.00	80.0	336.00
03/09/2017	75500663 BOSGANG, ALVIN	PUT C 03/31/17	59	S	16,900.00	750.00	0.00	750.00	80.0	600.00
03/09/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/31/17	44.50	S	14,100.00	705.00	0.00	705.00	80.0	564.00
03/09/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/31/17	45	S	19,020.00	750.00	0.00	750.00	80.0	600.00
03/09/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/24/17	43.50	S	4,980.00	249.00	0.00	249.00	80.0	199.20
03/10/2017	75500663 BOSGANG, ALVIN	PUT C 03/31/17	58.50	S	13,280.00	664.00	0.00	664.00	80.0	531.20
03/10/2017	75500663 BOSGANG, ALVIN	PUT C 03/31/17	59	S	33,100.00	1,500.00	0.00	1,500.00	80.0	1,200.00
03/10/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/31/17	45	S	30,592.00	1,350.00	0.00	1,350.00	80.0	1,080.00
03/10/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/07/17	44.50	S	3,920.00	150.00	0.00	150.00	80.0	120.00
03/13/2017	75500663 BOSGANG, ALVIN	PUT C 03/31/17	58.50	S	2,100.00	105.00	0.00	105.00	80.0	84.00
03/13/2017	75500663 BOSGANG, ALVIN	PUT C 03/31/17	59	S	5,660.00	283.00	0.00	283.00	80.0	226.40
03/13/2017	75500663 BOSGANG, ALVIN	PUT C 04/07/17	58	S	9,300.00	450.00	0.00	450.00	80.0	360.00
03/13/2017	75500663 BOSGANG, ALVIN	PUT C 04/07/17	59	S	11,700.00	450.00	0.00	450.00	80.0	360.00
03/13/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/31/17	45.50	S	3,740.00	187.00	0.00	187.00	80.0	149.60
03/13/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/07/17	44.50	S	1,960.00	98.00	0.00	98.00	80.0	78.40

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: OPTIONS									
03/13/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/07/17	44	S	6,720.00	336.00	0.00	336.00	80.0 268.80
03/13/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/07/17	45.50	S	8,096.00	300.00	0.00	300.00	80.0 240.00
03/14/2017	75500663 BOSGANG, ALVIN	PUT C 04/07/17	58	S	13,560.00	678.00	0.00	678.00	80.0 542.40
03/14/2017	75500663 BOSGANG, ALVIN	PUT C 04/07/17	58	S	17,360.00	750.00	0.00	750.00	80.0 600.00
03/15/2017	75500663 BOSGANG, ALVIN	PUT BAC 03/31/17	24.50	S	9,480.00	474.00	0.00	474.00	80.0 379.20
03/15/2017	75500663 BOSGANG, ALVIN	PUT C 03/31/17	58.50	S	4,640.00	232.00	0.00	232.00	80.0 185.60
03/15/2017	75500663 BOSGANG, ALVIN	PUT C 03/31/17	59	S	6,020.00	300.00	0.00	300.00	80.0 240.00
03/15/2017	75500663 BOSGANG, ALVIN	PUT C 04/07/17	58	S	5,000.00	250.00	0.00	250.00	80.0 200.00
03/15/2017	75500663 BOSGANG, ALVIN	PUT C 04/07/17	58	S	12,820.00	600.00	0.00	600.00	80.0 480.00
03/15/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/31/17	45.50	S	20,041.00	720.00	0.00	720.00	80.0 576.00
03/15/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/07/17	44	S	20,840.00	780.00	0.00	780.00	80.0 624.00
03/16/2017	75500663 BOSGANG, ALVIN	PUT BAC 04/07/17	24.50	S	15,622.00	781.00	0.00	781.00	80.0 624.80
03/16/2017	75500663 BOSGANG, ALVIN	PUT C 04/07/17	58	S	2,860.00	143.00	0.00	143.00	80.0 114.40
03/16/2017	75500663 BOSGANG, ALVIN	PUT C 04/07/17	59	S	3,700.00	150.00	0.00	150.00	80.0 120.00
03/16/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/07/17	44	S	7,480.00	374.00	0.00	374.00	80.0 299.20
03/16/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/07/17	45.50	S	21,145.00	900.00	0.00	900.00	80.0 720.00
03/17/2017	75500663 BOSGANG, ALVIN	PUT C 04/07/17	58	S	12,200.00	600.00	0.00	600.00	80.0 480.00
03/17/2017	75500663 BOSGANG, ALVIN	PUT C 04/07/17	59	S	20,260.00	750.00	0.00	750.00	80.0 600.00
03/17/2017	75500663 BOSGANG, ALVIN	PUT YHOO 03/24/17	46	S	2,164.10	108.00	0.00	108.00	80.0 86.40
03/17/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/07/17	45.50	S	10,440.00	522.00	0.00	522.00	80.0 417.60
03/17/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/07/17	46	S	26,160.00	1,050.00	0.00	1,050.00	80.0 840.00
03/20/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/07/17	45.50	S	420.00	21.00	0.00	21.00	80.0 16.80
03/20/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/07/17	46	S	12,380.00	600.00	0.00	600.00	80.0 480.00
03/20/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/07/17	44	S	9,440.00	300.00	0.00	300.00	80.0 240.00
03/20/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/13/17	45.50	S	2,560.00	128.00	0.00	128.00	80.0 102.40
03/20/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/13/17	46	S	3,600.00	150.00	0.00	150.00	80.0 120.00
03/20/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/13/17	46.50	S	1,980.00	60.00	0.00	60.00	80.0 48.00
03/24/2017	75500663 BOSGANG, ALVIN	PUT BAC 03/24/17	24.50	B	82,700.00	0.00	0.00	0.00	80.0 0.00
03/24/2017	75500663 BOSGANG, ALVIN	PUT BAC 04/13/17	24	S	85,700.00	150.00	0.00	150.00	80.0 120.00
03/24/2017	75500663 BOSGANG, ALVIN	PUT C 03/24/17	59	B	63,500.00	0.00	0.00	0.00	80.0 0.00
03/24/2017	75500663 BOSGANG, ALVIN	PUT C 03/24/17	58.50	B	41,500.00	0.00	0.00	0.00	80.0 0.00
03/24/2017	75500663 BOSGANG, ALVIN	PUT C 04/13/17	58	S	143,300.00	1,157.00	0.00	1,157.00	80.0 925.60
03/27/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/07/17	44	S	5,040.00	252.00	0.00	252.00	80.0 201.60
03/27/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/07/17	45.50	S	23,380.00	1,169.00	0.00	1,169.00	80.0 935.20
03/28/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/07/17	46	S	17,820.00	891.00	0.00	891.00	80.0 712.80
03/28/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/13/17	45	S	2,068.00	103.00	0.00	103.00	80.0 82.40
03/28/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/13/17	45.50	S	13,500.00	675.00	0.00	675.00	80.0 540.00
03/29/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/21/17	45	S	5,886.00	294.00	0.00	294.00	80.0 235.20
03/29/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/21/17	45.50	S	7,812.00	300.00	0.00	300.00	80.0 240.00
03/29/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/13/17	45.50	S	9,080.00	454.00	0.00	454.00	80.0 363.20

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
03/29/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	04/13/17	46	S	30,131.00	1,440.00	0.00	1,440.00	80.0	1,152.00
03/30/2017	75500663	BOSGANG, ALVIN	PUT	BAC	04/13/17	24	S	78,000.00	555.00	0.00	555.00	80.0	444.00
03/30/2017	75500663	BOSGANG, ALVIN	PUT	BAC	03/31/17	24.50	B	66,900.00	0.00	0.00	0.00	80.0	0.00
03/30/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	04/21/17	45	S	25,210.00	1,260.00	0.00	1,260.00	80.0	1,008.00
03/30/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	04/21/17	45.50	S	34,341.00	1,350.00	0.00	1,350.00	80.0	1,080.00
03/30/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	04/07/17	46	S	2,160.00	108.00	0.00	108.00	80.0	86.40
03/30/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	04/13/17	46	S	9,860.00	493.00	0.00	493.00	80.0	394.40
03/06/2017	75500791	SUSLOVICH, ESTHER	PUT	BAC	03/24/17	24	S	1,328.00	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500791	SUSLOVICH, ESTHER	PUT	BAC	03/24/17	24	B	2,398.27	0.00	0.00	0.00	80.0	0.00
03/03/2017	75500837	BELL, MARTIN	PUT	BAC	03/17/17	24	S	702.81	0.00	0.00	0.00	80.0	0.00
03/03/2017	75500837	BELL, MARTIN	PUT	BAC	03/24/17	23.50	S	700.00	0.00	0.00	0.00	80.0	0.00
03/13/2017	75500837	BELL, MARTIN	PUT	BAC	03/31/17	23.50	S	1,200.00	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500837	BELL, MARTIN	PUT	BAC	03/24/17	23.50	B	656.92	0.00	0.00	0.00	80.0	0.00
03/06/2017	75500838	BELL, MARTIN	PUT	BAC	03/24/17	23.50	S	450.00	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500838	BELL, MARTIN	PUT	BAC	03/24/17	23.50	B	328.46	0.00	0.00	0.00	80.0	0.00
03/06/2017	75500859	LABELLA, CHARLES	PUT	BAC	03/24/17	23.50	S	297.00	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500859	LABELLA, CHARLES	PUT	BAC	03/24/17	23.50	B	216.78	0.00	0.00	0.00	80.0	0.00
02/28/2017	75500864	WINICK, JESSE	PUT	BAC	03/17/17	23.50	S	1,800.00	180.00	0.00	180.00	80.0	144.00
02/28/2017	75500864	WINICK, JESSE	PUT	BAC	03/17/17	23	S	2,775.00	277.00	0.00	277.00	80.0	221.60
02/28/2017	75500864	WINICK, JESSE	PUT	BAC	03/10/17	23.50	S	1,866.67	186.00	0.00	186.00	80.0	148.80
02/28/2017	75500864	WINICK, JESSE	PUT	YHOO	03/17/17	44	S	2,390.00	239.00	0.00	239.00	80.0	191.20
03/01/2017	75500864	WINICK, JESSE	PUT	BAC	03/24/17	24	S	1,300.00	130.00	0.00	130.00	80.0	104.00
03/01/2017	75500864	WINICK, JESSE	PUT	BAC	03/24/17	23.50	S	1,600.00	160.00	0.00	160.00	80.0	128.00
03/02/2017	75500864	WINICK, JESSE	PUT	BAC	03/17/17	24	S	833.33	83.00	0.00	83.00	80.0	66.40
03/02/2017	75500864	WINICK, JESSE	PUT	BAC	03/24/17	24	S	1,500.00	150.00	0.00	150.00	80.0	120.00
03/02/2017	75500864	WINICK, JESSE	PUT	BAC	03/24/17	23.50	S	850.00	85.00	0.00	85.00	80.0	68.00
03/02/2017	75500864	WINICK, JESSE	PUT	BAC	03/31/17	23.50	S	1,433.33	143.00	0.00	143.00	80.0	114.40
03/03/2017	75500864	WINICK, JESSE	PUT	BAC	03/24/17	24	S	1,200.00	240.00	0.00	240.00	80.0	192.00
03/03/2017	75500864	WINICK, JESSE	PUT	BAC	03/31/17	23.50	S	3,614.81	360.00	0.00	360.00	80.0	288.00
03/03/2017	75500864	WINICK, JESSE	PUT	C	03/17/17	58.50	S	1,800.00	180.00	0.00	180.00	80.0	144.00
03/03/2017	75500864	WINICK, JESSE	PUT	YHOO	03/17/17	44.50	S	1,859.00	185.00	0.00	185.00	80.0	148.00
03/03/2017	75500864	WINICK, JESSE	PUT	YHOO	03/31/17	44	S	3,066.67	300.00	0.00	300.00	80.0	240.00
03/03/2017	75500864	WINICK, JESSE	PUT	YHOO	03/24/17	43.50	S	2,900.00	290.00	0.00	290.00	80.0	232.00
03/07/2017	75500864	WINICK, JESSE	PUT	BAC	03/17/17	24	S	1,200.00	120.00	0.00	120.00	80.0	96.00
03/07/2017	75500864	WINICK, JESSE	PUT	YHOO	03/31/17	45	S	1,280.00	128.00	0.00	128.00	80.0	102.40
03/08/2017	75500864	WINICK, JESSE	PUT	BAC	03/17/17	24.50	S	1,800.00	180.00	0.00	180.00	80.0	144.00
03/08/2017	75500864	WINICK, JESSE	PUT	BAC	03/24/17	24	S	1,900.00	190.00	0.00	190.00	80.0	152.00
03/08/2017	75500864	WINICK, JESSE	PUT	BAC	03/31/17	23.50	S	3,208.00	320.00	0.00	320.00	80.0	256.00
03/10/2017	75500864	WINICK, JESSE	PUT	BAC	03/24/17	24.50	S	1,600.00	160.00	0.00	160.00	80.0	128.00
03/10/2017	75500864	WINICK, JESSE	PUT	C	03/31/17	58	S	2,020.00	202.00	0.00	202.00	80.0	161.60

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
03/10/2017	75500864	WINICK, JESSE	PUT	YHOO	03/31/17	44	S	1,500.00	150.00	0.00	150.00	80.0	120.00
03/13/2017	75500864	WINICK, JESSE	PUT	BAC	03/24/17	24.50	S	1,400.00	140.00	0.00	140.00	80.0	112.00
03/13/2017	75500864	WINICK, JESSE	PUT	BAC	03/31/17	24.50	S	2,100.00	210.00	0.00	210.00	80.0	168.00
03/13/2017	75500864	WINICK, JESSE	PUT	YHOO	04/07/17	44	S	1,360.00	136.00	0.00	136.00	80.0	108.80
03/15/2017	75500864	WINICK, JESSE	PUT	C	03/31/17	58	S	1,750.00	175.00	0.00	175.00	80.0	140.00
03/15/2017	75500864	WINICK, JESSE	PUT	YHOO	04/07/17	44	S	2,000.00	20.00	0.00	20.00	80.0	16.00
03/16/2017	75500864	WINICK, JESSE	PUT	BAC	03/31/17	24.50	S	2,900.00	290.00	0.00	290.00	80.0	232.00
03/16/2017	75500864	WINICK, JESSE	PUT	YHOO	03/31/17	45.50	S	2,200.00	220.00	0.00	220.00	80.0	176.00
03/17/2017	75500864	WINICK, JESSE	PUT	C	04/07/17	58	S	2,290.00	229.00	0.00	229.00	80.0	183.20
03/17/2017	75500864	WINICK, JESSE	PUT	YHOO	04/07/17	44	S	2,790.00	279.00	0.00	279.00	80.0	223.20
03/21/2017	75500864	WINICK, JESSE	PUT	BAC	03/24/17	23.50	B	1,970.75	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500864	WINICK, JESSE	PUT	BAC	03/31/17	23.50	B	6,300.29	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500864	WINICK, JESSE	PUT	YHOO	03/31/17	44	B	2,258.82	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500864	WINICK, JESSE	PUT	YHOO	03/24/17	43.50	B	476.67	0.00	0.00	0.00	80.0	0.00
03/24/2017	75500864	WINICK, JESSE	PUT	BAC	03/24/17	24	B	49,888.39	0.00	0.00	0.00	80.0	0.00
03/24/2017	75500864	WINICK, JESSE	PUT	BAC	04/13/17	24	S	59,005.93	0.00	0.00	0.00	80.0	0.00
03/28/2017	75500864	WINICK, JESSE	PUT	BAC	04/13/17	24	S	35,822.00	0.00	0.00	0.00	80.0	0.00
03/28/2017	75500864	WINICK, JESSE	PUT	BAC	03/31/17	24.50	B	32,572.00	0.00	0.00	0.00	80.0	0.00
03/03/2017	75500867	GREENSPAN, WILLIAM	PUT	YHOO	03/31/17	44	S	2,146.67	210.00	0.00	210.00	80.0	168.00
03/07/2017	75500867	GREENSPAN, WILLIAM	PUT	BAC	03/24/17	23.50	S	1,400.00	140.00	0.00	140.00	80.0	112.00
03/07/2017	75500867	GREENSPAN, WILLIAM	PUT	BAC	03/31/17	23	S	700.00	70.00	0.00	70.00	80.0	56.00
03/07/2017	75500867	GREENSPAN, WILLIAM	PUT	YHOO	03/17/17	44.50	S	1,100.00	110.00	0.00	110.00	80.0	88.00
03/13/2017	75500867	GREENSPAN, WILLIAM	PUT	BAC	03/31/17	23.50	S	1,200.00	120.00	0.00	120.00	80.0	96.00
03/17/2017	75500867	GREENSPAN, WILLIAM	PUT	YHOO	04/07/17	44.50	S	1,130.00	112.00	0.00	112.00	80.0	89.60
03/21/2017	75500867	GREENSPAN, WILLIAM	PUT	BAC	03/24/17	23.50	B	1,313.83	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500867	GREENSPAN, WILLIAM	PUT	BAC	03/31/17	23	B	2,300.00	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500867	GREENSPAN, WILLIAM	PUT	YHOO	03/31/17	44	B	790.59	0.00	0.00	0.00	80.0	0.00
02/28/2017	75500881	SUSLOW, RONNIE	PUT	BAC	03/10/17	23.50	S	1,866.67	0.00	0.00	0.00	80.0	0.00
02/28/2017	75500881	SUSLOW, RONNIE	PUT	YHOO	03/10/17	44.50	S	2,100.00	0.00	0.00	0.00	80.0	0.00
02/28/2017	75500881	SUSLOW, RONNIE	PUT	YHOO	03/17/17	44	S	2,390.00	0.00	0.00	0.00	80.0	0.00
03/01/2017	75500881	SUSLOW, RONNIE	PUT	BAC	03/17/17	24	S	1,000.00	0.00	0.00	0.00	80.0	0.00
03/01/2017	75500881	SUSLOW, RONNIE	PUT	BAC	03/24/17	24	S	1,300.00	0.00	0.00	0.00	80.0	0.00
03/02/2017	75500881	SUSLOW, RONNIE	PUT	BAC	03/17/17	24	S	833.33	0.00	0.00	0.00	80.0	0.00
03/02/2017	75500881	SUSLOW, RONNIE	PUT	BAC	03/24/17	23.50	S	850.00	0.00	0.00	0.00	80.0	0.00
03/02/2017	75500881	SUSLOW, RONNIE	PUT	BAC	03/31/17	23.50	S	1,433.33	0.00	0.00	0.00	80.0	0.00
03/03/2017	75500881	SUSLOW, RONNIE	PUT	BAC	03/24/17	24	S	1,200.00	0.00	0.00	0.00	80.0	0.00
03/03/2017	75500881	SUSLOW, RONNIE	PUT	C	03/17/17	58.50	S	1,800.00	0.00	0.00	0.00	80.0	0.00
03/03/2017	75500881	SUSLOW, RONNIE	PUT	YHOO	03/24/17	43.50	S	2,900.00	0.00	0.00	0.00	80.0	0.00
03/06/2017	75500881	SUSLOW, RONNIE	PUT	YHOO	03/10/17	45	S	2,740.00	0.00	0.00	0.00	80.0	0.00
03/10/2017	75500881	SUSLOW, RONNIE	PUT	YHOO	03/31/17	44.50	S	5,780.00	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
03/13/2017	75500881	SUSLOW, RONNIE	PUT	BAC	03/24/17	24	S	140.00	0.00	0.00	0.00	80.0	0.00
03/15/2017	75500881	SUSLOW, RONNIE	PUT	BAC	03/31/17	23.50	S	2,820.00	0.00	0.00	0.00	80.0	0.00
03/16/2017	75500881	SUSLOW, RONNIE	PUT	BAC	03/31/17	24.50	S	2,900.00	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500881	SUSLOW, RONNIE	PUT	BAC	03/24/17	24	B	6,356.86	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500881	SUSLOW, RONNIE	PUT	BAC	03/24/17	23.50	B	656.92	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500881	SUSLOW, RONNIE	PUT	BAC	03/31/17	23.50	B	6,300.29	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500881	SUSLOW, RONNIE	PUT	YHOO	03/31/17	44.50	B	4,680.00	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500881	SUSLOW, RONNIE	PUT	YHOO	03/24/17	43.50	B	476.67	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500881	SUSLOW, RONNIE	PUT	YHOO	03/24/17	43.50	B	300.00	0.00	0.00	0.00	80.0	0.00
03/28/2017	75500881	SUSLOW, RONNIE	PUT	BAC	04/13/17	24	S	23,881.33	0.00	0.00	0.00	80.0	0.00
03/28/2017	75500881	SUSLOW, RONNIE	PUT	BAC	03/31/17	24.50	B	21,714.67	0.00	0.00	0.00	80.0	0.00
03/03/2017	75500882	SUSLOW, RONNIE	PUT	YHOO	03/10/17	45	S	3,100.00	0.00	0.00	0.00	80.0	0.00
03/03/2017	75500882	SUSLOW, RONNIE	PUT	YHOO	03/17/17	45	S	3,000.00	0.00	0.00	0.00	80.0	0.00
03/06/2017	75500882	SUSLOW, RONNIE	PUT	YHOO	03/10/17	45	S	2,740.00	0.00	0.00	0.00	80.0	0.00
03/06/2017	75500882	SUSLOW, RONNIE	PUT	YHOO	03/10/17	45	B	-2,740.00	0.00	0.00	0.00	80.0	0.00
03/10/2017	75500882	SUSLOW, RONNIE	PUT	YHOO	03/17/17	45	S	1,438.00	0.00	0.00	0.00	80.0	0.00
03/03/2017	75500918	SUSLOW, ELISSA	PUT	C	03/17/17	58.50	S	3,600.00	0.00	0.00	0.00	80.0	0.00
03/06/2017	75500918	SUSLOW, ELISSA	PUT	YHOO	03/24/17	43.50	S	4,260.00	0.00	0.00	0.00	80.0	0.00
03/07/2017	75500918	SUSLOW, ELISSA	PUT	BAC	03/24/17	24	S	2,400.00	0.00	0.00	0.00	80.0	0.00
03/07/2017	75500918	SUSLOW, ELISSA	PUT	BAC	03/31/17	23.50	S	1,900.00	0.00	0.00	0.00	80.0	0.00
03/07/2017	75500918	SUSLOW, ELISSA	PUT	BAC	03/31/17	23.50	S	1,100.00	0.00	0.00	0.00	80.0	0.00
03/08/2017	75500918	SUSLOW, ELISSA	PUT	BAC	03/17/17	24.50	S	1,800.00	0.00	0.00	0.00	80.0	0.00
03/08/2017	75500918	SUSLOW, ELISSA	PUT	BAC	03/24/17	24	S	1,900.00	0.00	0.00	0.00	80.0	0.00
03/08/2017	75500918	SUSLOW, ELISSA	PUT	BAC	03/31/17	23.50	S	4,812.00	0.00	0.00	0.00	80.0	0.00
03/08/2017	75500918	SUSLOW, ELISSA	PUT	YHOO	03/24/17	43.50	S	560.00	0.00	0.00	0.00	80.0	0.00
03/08/2017	75500918	SUSLOW, ELISSA	PUT	YHOO	03/17/17	45	S	2,200.00	0.00	0.00	0.00	80.0	0.00
03/09/2017	75500918	SUSLOW, ELISSA	PUT	BAC	03/31/17	23.50	S	3,600.00	0.00	0.00	0.00	80.0	0.00
03/09/2017	75500918	SUSLOW, ELISSA	PUT	YHOO	03/24/17	43.50	S	2,960.00	0.00	0.00	0.00	80.0	0.00
03/13/2017	75500918	SUSLOW, ELISSA	PUT	BAC	03/31/17	24.50	S	4,200.00	0.00	0.00	0.00	80.0	0.00
03/13/2017	75500918	SUSLOW, ELISSA	PUT	C	03/31/17	58	S	1,600.00	0.00	0.00	0.00	80.0	0.00
03/13/2017	75500918	SUSLOW, ELISSA	PUT	YHOO	03/31/17	45	S	1,420.00	0.00	0.00	0.00	80.0	0.00
03/15/2017	75500918	SUSLOW, ELISSA	PUT	C	03/31/17	58	S	1,750.00	0.00	0.00	0.00	80.0	0.00
03/15/2017	75500918	SUSLOW, ELISSA	PUT	YHOO	03/31/17	45	S	2,560.00	0.00	0.00	0.00	80.0	0.00
03/15/2017	75500918	SUSLOW, ELISSA	PUT	YHOO	03/24/17	45.50	S	6,014.00	0.00	0.00	0.00	80.0	0.00
03/15/2017	75500918	SUSLOW, ELISSA	PUT	YHOO	04/07/17	44.50	S	2,900.00	0.00	0.00	0.00	80.0	0.00
03/16/2017	75500918	SUSLOW, ELISSA	PUT	BAC	03/24/17	24.50	S	4,200.00	0.00	0.00	0.00	80.0	0.00
03/16/2017	75500918	SUSLOW, ELISSA	PUT	BAC	03/24/17	24.50	B	-4,200.00	0.00	0.00	0.00	80.0	0.00
03/16/2017	75500918	SUSLOW, ELISSA	PUT	BAC	03/24/17	24.50	S	2,800.00	0.00	0.00	0.00	80.0	0.00
03/16/2017	75500918	SUSLOW, ELISSA	PUT	BAC	03/31/17	23.50	S	1,400.00	0.00	0.00	0.00	80.0	0.00
03/17/2017	75500918	SUSLOW, ELISSA	PUT	C	03/24/17	59	S	1,200.00	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: OPTIONS									
03/17/2017	75500918	SUSLOW, ELISSA	PUT C	03/24/17 59	B	1,300.00	0.00	0.00	0.00 80.0 0.00
03/17/2017	75500918	SUSLOW, ELISSA	PUT C	03/24/17 58.50	S	600.00	0.00	0.00	0.00 80.0 0.00
03/17/2017	75500918	SUSLOW, ELISSA	PUT C	04/07/17 58	S	2,290.00	0.00	0.00	0.00 80.0 0.00
03/17/2017	75500918	SUSLOW, ELISSA	PUT YHOO	03/31/17 45.50	S	660.00	0.00	0.00	0.00 80.0 0.00
03/17/2017	75500918	SUSLOW, ELISSA	PUT YHOO	03/24/17 46	S	381.90	0.00	0.00	0.00 80.0 0.00
03/17/2017	75500918	SUSLOW, ELISSA	PUT YHOO	03/24/17 46	B	462.00	0.00	0.00	0.00 80.0 0.00
03/17/2017	75500918	SUSLOW, ELISSA	PUT YHOO	04/07/17 44	S	2,790.00	0.00	0.00	0.00 80.0 0.00
03/20/2017	75500918	SUSLOW, ELISSA	PUT YHOO	03/31/17 46	S	960.00	0.00	0.00	0.00 80.0 0.00
03/21/2017	75500918	SUSLOW, ELISSA	PUT BAC	03/24/17 24	B	9,159.66	0.00	0.00	0.00 80.0 0.00
03/21/2017	75500918	SUSLOW, ELISSA	PUT BAC	03/31/17 23.50	B	6,300.29	0.00	0.00	0.00 80.0 0.00
03/21/2017	75500918	SUSLOW, ELISSA	PUT C	03/24/17 58.50	B	1,200.00	0.00	0.00	0.00 80.0 0.00
03/21/2017	75500918	SUSLOW, ELISSA	PUT C	03/31/17 58	B	7,200.00	0.00	0.00	0.00 80.0 0.00
03/21/2017	75500918	SUSLOW, ELISSA	PUT YHOO	03/31/17 45	B	4,200.00	0.00	0.00	0.00 80.0 0.00
03/21/2017	75500918	SUSLOW, ELISSA	PUT YHOO	03/31/17 45.50	B	1,056.00	0.00	0.00	0.00 80.0 0.00
03/21/2017	75500918	SUSLOW, ELISSA	PUT YHOO	03/31/17 46	B	2,100.00	0.00	0.00	0.00 80.0 0.00
03/21/2017	75500918	SUSLOW, ELISSA	PUT YHOO	03/24/17 45.50	B	2,360.00	0.00	0.00	0.00 80.0 0.00
03/21/2017	75500918	SUSLOW, ELISSA	PUT YHOO	03/24/17 45.50	S	220.00	0.00	0.00	0.00 80.0 0.00
03/21/2017	75500918	SUSLOW, ELISSA	PUT YHOO	03/24/17 43.50	B	1,811.33	0.00	0.00	0.00 80.0 0.00
03/23/2017	75500918	SUSLOW, ELISSA	PUT BAC	03/24/17 24.50	B	2,300.00	0.00	0.00	0.00 80.0 0.00
03/23/2017	75500918	SUSLOW, ELISSA	PUT BAC	03/24/17 24	B	1,304.00	0.00	0.00	0.00 80.0 0.00
03/23/2017	75500918	SUSLOW, ELISSA	PUT BAC	04/13/17 24	S	1,844.00	0.00	0.00	0.00 80.0 0.00
03/23/2017	75500918	SUSLOW, ELISSA	PUT BAC	04/13/17 24	S	2,600.00	0.00	0.00	0.00 80.0 0.00
03/24/2017	75500918	SUSLOW, ELISSA	PUT BAC	03/24/17 24.50	B	26,200.00	0.00	0.00	0.00 80.0 0.00
03/24/2017	75500918	SUSLOW, ELISSA	PUT BAC	03/24/17 24	B	6,285.94	0.00	0.00	0.00 80.0 0.00
03/24/2017	75500918	SUSLOW, ELISSA	PUT BAC	04/13/17 24	S	28,676.88	0.00	0.00	0.00 80.0 0.00
03/27/2017	75500918	SUSLOW, ELISSA	PUT BAC	03/31/17 23.50	B	97,800.00	0.00	0.00	0.00 80.0 0.00
03/27/2017	75500918	SUSLOW, ELISSA	PUT BAC	03/31/17 23	S	6,200.00	0.00	0.00	0.00 80.0 0.00
03/28/2017	75500918	SUSLOW, ELISSA	PUT BAC	04/13/17 24	S	11,940.67	0.00	0.00	0.00 80.0 0.00
03/28/2017	75500918	SUSLOW, ELISSA	PUT BAC	03/31/17 24.50	B	10,857.33	0.00	0.00	0.00 80.0 0.00
03/29/2017	75500918	SUSLOW, ELISSA	PUT BAC	04/13/17 24	S	3,560.00	0.00	0.00	0.00 80.0 0.00
03/29/2017	75500918	SUSLOW, ELISSA	PUT BAC	03/31/17 24.50	B	3,340.00	0.00	0.00	0.00 80.0 0.00
03/29/2017	75500918	SUSLOW, ELISSA	PUT YHOO	04/07/17 45.50	S	260.00	0.00	0.00	0.00 80.0 0.00
03/29/2017	75500918	SUSLOW, ELISSA	PUT YHOO	04/07/17 46	S	1,720.00	0.00	0.00	0.00 80.0 0.00
03/29/2017	75500918	SUSLOW, ELISSA	PUT YHOO	04/13/17 45	S	1,020.00	0.00	0.00	0.00 80.0 0.00
03/30/2017	75500918	SUSLOW, ELISSA	PUT YHOO	04/07/17 45.50	S	216.00	0.00	0.00	0.00 80.0 0.00
03/30/2017	75500918	SUSLOW, ELISSA	PUT YHOO	04/13/17 45.50	S	1,500.00	0.00	0.00	0.00 80.0 0.00
03/06/2017	75500919	SUSLOW, ELISSA	PUT BAC	03/24/17 24	S	272.00	0.00	0.00	0.00 80.0 0.00
03/06/2017	75500919	SUSLOW, ELISSA	PUT BAC	03/24/17 23.50	S	153.00	0.00	0.00	0.00 80.0 0.00
03/21/2017	75500919	SUSLOW, ELISSA	PUT BAC	03/24/17 24	B	491.21	0.00	0.00	0.00 80.0 0.00
03/21/2017	75500919	SUSLOW, ELISSA	PUT BAC	03/24/17 23.50	B	111.68	0.00	0.00	0.00 80.0 0.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
03/06/2017	75500953	SUSLOW, STEPHEN	PUT	BAC	03/17/17	24	S	1,800.00	0.00	0.00	0.00	80.0	0.00
03/09/2017	75500953	SUSLOW, STEPHEN	PUT	YHOO	03/17/17	44.50	S	700.00	0.00	0.00	0.00	80.0	0.00
03/13/2017	75500953	SUSLOW, STEPHEN	PUT	C	03/24/17	59	S	961.00	0.00	0.00	0.00	80.0	0.00
03/15/2017	75500953	SUSLOW, STEPHEN	PUT	YHOO	03/31/17	44.50	S	600.00	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500953	SUSLOW, STEPHEN	PUT	C	03/24/17	59	B	700.00	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500953	SUSLOW, STEPHEN	PUT	YHOO	03/31/17	44.50	B	720.00	0.00	0.00	0.00	80.0	0.00
02/28/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	03/03/17	44	S	1,059.00	0.00	0.00	0.00	80.0	0.00
02/28/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	03/03/17	44	S	400.00	0.00	0.00	0.00	80.0	0.00
03/01/2017	75500954	SUSLOW, STEPHEN	PUT	BAC	03/17/17	23.50	S	72.00	0.00	0.00	0.00	80.0	0.00
03/01/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	03/17/17	44.50	S	1,940.00	0.00	0.00	0.00	80.0	0.00
03/01/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	03/10/17	45	S	900.00	0.00	0.00	0.00	80.0	0.00
03/01/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	03/17/17	45	S	2,800.00	0.00	0.00	0.00	80.0	0.00
03/02/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	03/10/17	44.50	S	900.00	0.00	0.00	0.00	80.0	0.00
03/03/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	03/24/17	44	S	2,044.00	0.00	0.00	0.00	80.0	0.00
03/03/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	03/17/17	45	S	3,000.00	0.00	0.00	0.00	80.0	0.00
03/06/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	03/17/17	45	S	3,400.00	0.00	0.00	0.00	80.0	0.00
03/07/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	03/10/17	45	S	120.00	0.00	0.00	0.00	80.0	0.00
03/09/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	03/17/17	45	S	1,400.00	0.00	0.00	0.00	80.0	0.00
03/10/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	03/24/17	43.50	S	3,098.00	0.00	0.00	0.00	80.0	0.00
03/10/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	03/24/17	43.50	S	300.00	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	03/24/17	43.50	B	828.00	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	03/24/17	43.50	B	95.33	0.00	0.00	0.00	80.0	0.00
03/02/2017	75500986	SUSLOVICH, JACOB	PUT	BAC	03/17/17	23.50	S	1,000.00	0.00	0.00	0.00	80.0	0.00
03/13/2017	75500986	SUSLOVICH, JACOB	PUT	BAC	03/31/17	23.50	S	1,200.00	0.00	0.00	0.00	80.0	0.00
02/28/2017	75501098	WINICK FAMILY	PUT	BAC	03/17/17	23.50	S	1,800.00	180.00	0.00	180.00	80.0	144.00
02/28/2017	75501098	WINICK FAMILY	PUT	BAC	03/17/17	23	S	2,775.00	277.00	0.00	277.00	80.0	221.60
02/28/2017	75501098	WINICK FAMILY	PUT	BAC	03/10/17	23.50	S	1,866.67	186.00	0.00	186.00	80.0	148.80
03/01/2017	75501098	WINICK FAMILY	PUT	BAC	03/24/17	23.50	S	1,600.00	160.00	0.00	160.00	80.0	128.00
03/02/2017	75501098	WINICK FAMILY	PUT	BAC	03/17/17	24	S	833.33	83.00	0.00	83.00	80.0	66.40
03/02/2017	75501098	WINICK FAMILY	PUT	BAC	03/24/17	24	S	1,500.00	150.00	0.00	150.00	80.0	120.00
03/02/2017	75501098	WINICK FAMILY	PUT	BAC	03/31/17	23.50	S	1,433.33	143.00	0.00	143.00	80.0	114.40
03/03/2017	75501098	WINICK FAMILY	PUT	BAC	03/31/17	23.50	S	3,614.81	360.00	0.00	360.00	80.0	288.00
03/03/2017	75501098	WINICK FAMILY	PUT	YHOO	03/17/17	44.50	S	1,859.00	185.00	0.00	185.00	80.0	148.00
03/03/2017	75501098	WINICK FAMILY	PUT	YHOO	03/31/17	44	S	2,146.67	210.00	0.00	210.00	80.0	168.00
03/07/2017	75501098	WINICK FAMILY	PUT	YHOO	03/17/17	44.50	S	1,100.00	110.00	0.00	110.00	80.0	88.00
03/08/2017	75501098	WINICK FAMILY	PUT	BAC	03/31/17	23.50	S	2,000.00	200.00	0.00	200.00	80.0	160.00
03/10/2017	75501098	WINICK FAMILY	PUT	BAC	03/17/17	24.50	S	1,400.00	140.00	0.00	140.00	80.0	112.00
03/10/2017	75501098	WINICK FAMILY	PUT	BAC	03/24/17	24.50	S	1,600.00	160.00	0.00	160.00	80.0	128.00
03/10/2017	75501098	WINICK FAMILY	PUT	C	03/31/17	58	S	2,020.00	202.00	0.00	202.00	80.0	161.60
03/13/2017	75501098	WINICK FAMILY	PUT	BAC	03/24/17	24.50	S	1,400.00	140.00	0.00	140.00	80.0	112.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: OPTIONS

03/13/2017	75501098	WINICK FAMILY	PUT	BAC	03/31/17	24.50	S	2,100.00	210.00	0.00	210.00	80.0	168.00
03/17/2017	75501098	WINICK FAMILY	PUT	YHOO	04/07/17	44.50	S	1,130.00	112.00	0.00	112.00	80.0	89.60
03/21/2017	75501098	WINICK FAMILY	PUT	BAC	03/24/17	23.50	B	1,313.83	0.00	0.00	0.00	80.0	0.00
03/21/2017	75501098	WINICK FAMILY	PUT	BAC	03/31/17	23.50	B	18,900.86	0.00	0.00	0.00	80.0	0.00
03/21/2017	75501098	WINICK FAMILY	PUT	YHOO	03/31/17	44	B	790.59	0.00	0.00	0.00	80.0	0.00
03/24/2017	75501098	WINICK FAMILY	PUT	BAC	03/24/17	24	B	9,977.68	0.00	0.00	0.00	80.0	0.00
03/24/2017	75501098	WINICK FAMILY	PUT	BAC	04/13/17	24	S	11,801.19	0.00	0.00	0.00	80.0	0.00
03/03/2017	75501215	SISTERS TWO LLC	PUT	BAC	03/17/17	24	S	702.81	70.00	0.00	70.00	80.0	56.00
03/03/2017	75501215	SISTERS TWO LLC	PUT	BAC	03/31/17	23.50	S	1,200.00	120.00	0.00	120.00	80.0	96.00
03/13/2017	75501215	SISTERS TWO LLC	PUT	BAC	03/31/17	23.50	S	1,200.00	120.00	0.00	120.00	80.0	96.00
03/29/2017	75501215	SISTERS TWO LLC	PUT	BAC	03/31/17	23.50	B	2,700.00	0.00	0.00	0.00	80.0	0.00
02/28/2017	75502037	WINICK, BARBARA	PUT	BAC	03/17/17	23	S	1,850.00	185.00	0.00	185.00	80.0	148.00
03/01/2017	75502037	WINICK, BARBARA	PUT	BAC	03/24/17	23.50	S	800.00	80.00	0.00	80.00	80.0	64.00
03/03/2017	75502037	WINICK, BARBARA	PUT	BAC	03/31/17	23.50	S	2,530.37	250.00	0.00	250.00	80.0	200.00
03/08/2017	75502037	WINICK, BARBARA	PUT	BAC	03/31/17	23.50	S	1,000.00	100.00	0.00	100.00	80.0	80.00
03/10/2017	75502037	WINICK, BARBARA	PUT	BAC	03/24/17	24	S	1,600.00	160.00	0.00	160.00	80.0	128.00
03/21/2017	75502037	WINICK, BARBARA	PUT	BAC	03/24/17	23.50	B	656.92	0.00	0.00	0.00	80.0	0.00
03/21/2017	75502037	WINICK, BARBARA	PUT	BAC	03/31/17	23.50	B	6,300.29	0.00	0.00	0.00	80.0	0.00
03/03/2017	75502072	ROTTERMANN, BLUMA	PUT	BAC	03/17/17	24	S	1,096.38	109.00	0.00	109.00	80.0	87.20
03/03/2017	75502072	ROTTERMANN, BLUMA	PUT	BAC	03/24/17	23.50	S	700.00	70.00	0.00	70.00	80.0	56.00
03/13/2017	75502072	ROTTERMANN, BLUMA	PUT	BAC	03/31/17	23.50	S	1,200.00	120.00	0.00	120.00	80.0	96.00
03/21/2017	75502072	ROTTERMANN, BLUMA	PUT	BAC	03/24/17	23.50	B	656.92	0.00	0.00	0.00	80.0	0.00

* OPTIONS SUBTOTAL *

\$2,631,614.03

\$67,628.00

\$0.00

\$67,628.00

\$54,102.40

*** BROKER TRANSACTIONS TOTAL ***

\$6,131,442.94

\$67,767.97

\$0.00

\$67,767.97

\$54,214.38

ADJUSTMENTS

04/03/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$54,214.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-84.71
SIPC Assessment	\$-1,270.65

Check Amount	\$52,839.02
Year-to-date Earnings	\$182,543.55