

**COMMISSION STATEMENT****August 31, 2017**LOUIS CIAVARELLA  
21 MILITIA HILL DRIVEBROKER #: 47  
BRANCH: HOME OFFICE

CHESTERBROOK, PA 19087

**COMMISSION STATEMENT for LOUIS CIAVARELLA**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
08/01/2017 (None)	VARIOUS, CIAVARELLA	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	62.24	0.00	62.24	50.0	31.12
08/11/2017 75501674	CARR, MARGARET	**FIDELITYADVISOR SER VII	S	7,642.44	0.00	0.00	0.00	50.0	0.00
08/11/2017 75501674	CARR, MARGARET	BLACKROCK BALANCED CAP FD CL A	S	6,582.18	0.00	0.00	0.00	50.0	0.00
08/11/2017 75501674	CARR, MARGARET	BLACKROCK SCIENCE & TECH OPPORTUNIT B		6,582.18	0.00	0.00	0.00	50.0	0.00
08/11/2017 75501674	CARR, MARGARET	EATON VANCE GROWTH FUND CLASS A	B	6,100.00	0.00	0.00	0.00	50.0	0.00
08/11/2017 75501674	CARR, MARGARET	EATON VANCE INCOME FUND OF BOSTON C S		6,100.00	0.00	0.00	0.00	50.0	0.00
08/11/2017 75501674	CARR, MARGARET	FIDELITY ADVISOR LARGE CAP FUND-CL	S	12,473.00	0.00	0.00	0.00	50.0	0.00
08/11/2017 75501674	CARR, MARGARET	FIDELITY ADVISOR SER VII FA SEMICON S		4,483.54	0.00	0.00	0.00	50.0	0.00
08/11/2017 75501674	CARR, MARGARET	FIDELITY ADVISOR INTL DISCOVERY FD	B	7,642.44	0.00	0.00	0.00	50.0	0.00
08/11/2017 75501674	CARR, MARGARET	FIDELITY ADV EQUITY GROWTH CL T	B	12,473.00	0.00	0.00	0.00	50.0	0.00
08/11/2017 75501674	CARR, MARGARET	FIDELITY ADVISOR FOCUS FUNDS HEALTH B		2,601.06	0.00	0.00	0.00	50.0	0.00
08/11/2017 75501674	CARR, MARGARET	FIDELITY ADVISOR FOCUS FUNDS HEALTH B		4,483.54	0.00	0.00	0.00	50.0	0.00
08/11/2017 75501674	CARR, MARGARET	FIDELITY ADVISOR INDUSTRIALS CL T	S	2,601.06	0.00	0.00	0.00	50.0	0.00
08/07/2017 75501749	CIAVARELLA, MELISSA	FIDELITY ADVISOR TELECOMMUNICATIONS B		5,070.89	0.00	0.00	0.00	50.0	0.00
08/07/2017 75501749	CIAVARELLA, MELISSA	FIDELITY ADVISOR INDUSTRIALS CL I	S	5,070.89	0.00	0.00	0.00	50.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$89,906.22</b>	<b>\$62.24</b>	<b>\$0.00</b>	<b>\$62.24</b>		<b>\$31.12</b>

**PRODUCT CATEGORY: STOCKS**

08/11/2017 75501666	CARR, CHARLES	CONSTELLATION BRANDS INC CL A	B	2,351.61	68.84	0.00	68.84	50.0	34.42
08/11/2017 75501666	CARR, CHARLES	OLLIES BARGAIN OUTLET HLDGS INC COM B		2,238.75	66.57	0.00	66.57	50.0	33.29
08/11/2017 75501666	CARR, CHARLES	VANGUARD INDEX FUNDS VANGUARD GROWT S		3,219.00	15.00	0.00	15.00	50.0	0.00
08/11/2017 75501673	CARR, MARGARET	BRIGGS & STRATTON CORP	S	2,113.43	64.06	0.00	64.06	50.0	32.03
08/11/2017 75501673	CARR, MARGARET	DOW CHEMICAL COMPANY (THE)	B	2,533.10	50.24	0.00	50.24	50.0	25.12
08/11/2017 75501673	CARR, MARGARET	TAKE-TWO INTERACTIVE SOFTWARE INC	B	2,234.00	50.47	0.00	50.47	50.0	25.24
08/11/2017 75501673	CARR, MARGARET	VANGUARD INDEX FUNDS VANGUARD GROWT S		2,578.60	15.00	0.00	15.00	50.0	0.00
08/11/2017 75501674	CARR, MARGARET	EXACT SCIENCES CORP	B	3,778.26	92.67	0.00	92.67	50.0	46.34
08/11/2017 75501755	MANFREDI, MICHAEL	NVIDIA CORP	B	4,960.00	0.00	0.00	0.00	50.0	0.00
08/23/2017 75501765	HALL, JAMES	SALESFORCE.COM INC	B	16,282.80	210.00	0.00	210.00	50.0	105.00
08/23/2017 75501765	HALL, JAMES	WALT DISNEY CO	S	16,919.28	210.00	0.00	210.00	50.0	105.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$59,208.83</b>	<b>\$842.85</b>	<b>\$0.00</b>	<b>\$842.85</b>		<b>\$406.44</b>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b>									
08/15/2017	VARIOUS, CIAVARELLA	ADVISORY INCOME	B	0.00	1,418.33	0.00	1,418.33	50.0	709.17
		<b>* MISCELLANEOUS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$1,418.33</b>	<b>\$0.00</b>	<b>\$1,418.33</b>		<b>\$709.17</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
08/09/2017	75501549	ALEXANDER, RUTH	**VIRTUS REAL ESTATE SEC FDS	B	0.00	1.37	0.00	1.37	0.69
08/09/2017	75501549	ALEXANDER, RUTH	**VIRTUS STRATEGIC GROWTH FUND	B	0.00	1.63	0.00	1.63	0.82
08/09/2017	75501549	ALEXANDER, RUTH	OPPENHEIMER ROCHEST PENNSYLVANIA MU B		0.00	3.11	0.00	3.11	1.56
08/09/2017	75501549	ALEXANDER, RUTH	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	5.20	0.00	5.20	2.60
08/09/2017	75501665	PILKO, THOMAS	FEDERATED EQUITY FDS PRUDENT BEAR F B		0.00	8.65	0.00	8.65	4.33
08/09/2017	75501665	PILKO, THOMAS	SENTINEL GROUP FDS INC SHORT DURATI B		0.00	15.27	0.00	15.27	7.64
08/09/2017	75501666	CARR, CHARLES	FIDELITY ADVISOR MID CAP II FUND CL B		0.00	0.59	0.00	0.59	0.00
08/09/2017	75501666	CARR, CHARLES	FIDELITY INVT TR ADVISOR LATIN AMER B		0.00	0.76	0.00	0.76	0.00
08/09/2017	75501670	CARR, MARGARET	MFS PENNSYLVANIA MUNICIPAL BOND FUN B		0.00	0.81	0.00	0.81	0.00
08/09/2017	75501671	CARR, CHARLES	FIDELITY ADVISOR MUNICIPAL INCOME F B		0.00	1.35	0.00	1.35	0.68
08/09/2017	75501671	CARR, CHARLES	FIDELITY ADVISOR ENERGY CL A	B	0.00	2.07	0.00	2.07	1.04
08/09/2017	75501671	CARR, CHARLES	FIDELITY ADVISOR SMALL CAP GROWTH F B		0.00	3.54	0.00	3.54	1.77
08/09/2017	75501671	CARR, CHARLES	FIDELITY FINL TR ADVISOR CONV SECS B		0.00	2.14	0.00	2.14	1.07
08/09/2017	75501671	CARR, CHARLES	FIDELITY SECS FD REAL ESTATE INCOME B		0.00	1.29	0.00	1.29	0.65
08/09/2017	75501673	CARR, MARGARET	FIDELITY ADVISOR LARGE CAP STOCK CL B		0.00	0.75	0.00	0.75	0.00
08/09/2017	75501673	CARR, MARGARET	FIDELITY ADVISOR SMALL CAP GROWTH F B		0.00	0.43	0.00	0.43	0.00
08/09/2017	75501673	CARR, MARGARET	FIDELITY ADVISOR GOLD FUND CL A	B	0.00	0.44	0.00	0.44	0.00
08/09/2017	75501674	CARR, MARGARET	**FIDELITYADVISOR SER VII	B	0.00	3.36	0.00	3.36	1.68
08/09/2017	75501674	CARR, MARGARET	BLACKROCK BALANCED CAP FD CL A	B	0.00	1.40	0.00	1.40	0.70
08/09/2017	75501674	CARR, MARGARET	EATON VANCE INCOME FUND OF BOSTON C B		0.00	6.59	0.00	6.59	3.30
08/09/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR LARGE CAP FUND-CL B		0.00	5.36	0.00	5.36	2.68
08/09/2017	75501674	CARR, MARGARET	FIDELITY ADV MID CAP II - CL T	B	0.00	5.07	0.00	5.07	2.54
08/09/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR SER VII FA SEMICON B		0.00	1.93	0.00	1.93	0.97
08/09/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR UTILITIES CL T	B	0.00	0.75	0.00	0.75	0.00
08/09/2017	75501674	CARR, MARGARET	FIDELITY ADV TECHNOLOGY CL T	B	0.00	4.82	0.00	4.82	2.41
08/09/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR INDUSTRIALS CL T	B	0.00	1.12	0.00	1.12	0.56
08/09/2017	75501674	CARR, MARGARET	FIDELITY FINL TR STRATEGIC DIVID & B		0.00	13.86	0.00	13.86	6.93
08/09/2017	75501676	REBER, WINCHELL	FIDELITY ADV STRATEGIC INCOME CL C B		0.00	1.14	0.00	1.14	0.57
08/09/2017	75501676	REBER, WINCHELL	FIDELITY ADVISOR FOCUS FUNDS HEALTH B		0.00	1.08	0.00	1.08	0.54
08/09/2017	75501676	REBER, WINCHELL	FIDELITY ADVISOR CONSUMER STAPLES F B		0.00	0.93	0.00	0.93	0.00
08/09/2017	75501676	REBER, WINCHELL	FIDELITY SECS FD REAL ESTATE INCOME B		0.00	1.10	0.00	1.10	0.55
08/09/2017	75501676	REBER, WINCHELL	FRANKLIN INCOME FUND CLASS C	B	0.00	1.39	0.00	1.39	0.70
08/09/2017	75501676	REBER, WINCHELL	TEMPLETON GLOBAL BOND FUND CL C	B	0.00	0.95	0.00	0.95	0.00
08/09/2017	75501679	SOIGNOLI, ANTHONY	FEDERATED STRATEGIC INCOME FUND	B	0.00	0.94	0.00	0.94	0.00
08/09/2017	75501679	SOIGNOLI, ANTHONY	FIDELITY ADVISOR MUNICIPAL INCOME F B		0.00	6.47	0.00	6.47	3.24
08/09/2017	75501679	SOIGNOLI, ANTHONY	FIDELITY ADV STRATEGIC DIV & INCOME B		0.00	8.05	0.00	8.05	4.03

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
08/09/2017	75501679	SOIGNOLI, ANTHONY	FRANKLIN INCOME FUND CLASS C	B	0.00	2.10	0.00	2.10	50.0	1.05
08/11/2017	75501679	SOIGNOLI, ANTHONY	DELAWARE GROUP TAX FREE USA FUND-CL B	B	0.00	13.47	0.00	13.47	50.0	6.74
08/09/2017	75501680	REBER, WINCHELL	FIDELITY ADV STRATEGIC DIV & INCOME	B	0.00	1.26	0.00	1.26	50.0	0.63
08/09/2017	75501680	REBER, WINCHELL	FRANKLIN INCOME FUND CLASS C	B	0.00	0.84	0.00	0.84	50.0	0.00
08/09/2017	75501680	REBER, WINCHELL	TEMPLETON GLOBAL BOND FUND CL C	B	0.00	0.32	0.00	0.32	50.0	0.00
08/09/2017	75501681	SOIGNOLI, ANTHONY	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	1.46	0.00	1.46	50.0	0.73
08/09/2017	75501681	SOIGNOLI, ANTHONY	COLUMBIA GLOBAL STRATEGIC EQUITY FU	B	0.00	1.72	0.00	1.72	50.0	0.86
08/09/2017	75501681	SOIGNOLI, ANTHONY	FEDERATED STRATEGIC INCOME FUND	B	0.00	3.76	0.00	3.76	50.0	1.88
08/09/2017	75501681	SOIGNOLI, ANTHONY	FIDELITY ADV STRATEGIC DIV & INCOME	B	0.00	14.00	0.00	14.00	50.0	7.00
08/09/2017	75501681	SOIGNOLI, ANTHONY	FIDELITY ADVISOR MATERIALS FUND CL	B	0.00	4.80	0.00	4.80	50.0	2.40
08/09/2017	75501681	SOIGNOLI, ANTHONY	FRANKLIN INCOME FUND CLASS C	B	0.00	3.50	0.00	3.50	50.0	1.75
08/09/2017	75501681	SOIGNOLI, ANTHONY	FRANKLIN TEMPLETON FUND REAL ESTATE	B	0.00	3.57	0.00	3.57	50.0	1.79
08/09/2017	75501682	REBER, WINCHELL	**CLEARBRIDGE APPRECIATION	B	0.00	5.21	0.00	5.21	50.0	2.61
08/09/2017	75501682	REBER, WINCHELL	**CLEARBRIDGE LARGE CAP GROWTH	B	0.00	4.71	0.00	4.71	50.0	2.36
08/09/2017	75501682	REBER, WINCHELL	FIDELITY SECS FD REAL ESTATE INCOME	B	0.00	7.31	0.00	7.31	50.0	3.66
08/09/2017	75501682	REBER, WINCHELL	PUTNAM 746792100	B	0.00	0.75	0.00	0.75	50.0	0.00
08/09/2017	75501682	REBER, WINCHELL	WESTERN ASSET SHORT DURATION MUNICI	B	0.00	4.71	0.00	4.71	50.0	2.36
08/09/2017	75501683	GROSSO, MARK	IVY MID CAP GROWTH FUND CLASS A	B	0.00	1.70	0.00	1.70	50.0	0.85
08/09/2017	75501684	SOIGNOLI, LINDA	COLUMBIA GLOBAL STRATEGIC EQUITY FU	B	0.00	2.86	0.00	2.86	50.0	1.43
08/09/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR LIMITED TERM BOND	B	0.00	1.28	0.00	1.28	50.0	0.64
08/09/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR ELECTRONICS FUND C	B	0.00	2.55	0.00	2.55	50.0	1.28
08/09/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADV TECHNOLOGY CL A	B	0.00	2.71	0.00	2.71	50.0	1.36
08/09/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR INDUSTRIALS CL A	B	0.00	1.09	0.00	1.09	50.0	0.55
08/09/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	0.97	0.00	0.97	50.0	0.00
08/09/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR FUNDS 316145408	B	0.00	2.08	0.00	2.08	50.0	1.04
08/09/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR MATERIALS FUND CL	B	0.00	1.12	0.00	1.12	50.0	0.56
08/09/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR CONSUMER STAPLES F	B	0.00	2.58	0.00	2.58	50.0	1.29
08/09/2017	75501685	REBER, CAROLYN	FIDELITY ADV STRATEGIC INCOME CL C	B	0.00	0.95	0.00	0.95	50.0	0.00
08/09/2017	75501685	REBER, CAROLYN	FIDELITY ADVISOR FOCUS FUNDS HEALTH	B	0.00	1.08	0.00	1.08	50.0	0.54
08/09/2017	75501685	REBER, CAROLYN	FIDELITY ADVISOR EMERGING MARKETS I	B	0.00	0.96	0.00	0.96	50.0	0.00
08/09/2017	75501685	REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME	B	0.00	1.23	0.00	1.23	50.0	0.62
08/09/2017	75501685	REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C	B	0.00	1.39	0.00	1.39	50.0	0.70
08/09/2017	75501685	REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C	B	0.00	0.59	0.00	0.59	50.0	0.00
08/09/2017	75501686	REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME	B	0.00	1.72	0.00	1.72	50.0	0.86
08/09/2017	75501686	REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C	B	0.00	0.98	0.00	0.98	50.0	0.00
08/09/2017	75501686	REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C	B	0.00	0.44	0.00	0.44	50.0	0.00
08/09/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918367	B	0.00	0.97	0.00	0.97	50.0	0.00
08/09/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918474	B	0.00	0.62	0.00	0.62	50.0	0.00
08/09/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FOCUS FUNDS HEALTH	B	0.00	0.74	0.00	0.74	50.0	0.00
08/09/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FUNDS 316071505	B	0.00	0.06	0.00	0.06	50.0	0.00

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
08/09/2017	75501687	DALEY, BARBARA	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	0.01	0.00	0.01	50.0	0.00
08/09/2017	75501687	DALEY, BARBARA	FRANKLIN INCOME FUND CLASS C B	0.00	0.72	0.00	0.72	50.0	0.00
08/09/2017	75501688	BERRY, THOMAS	FIDELITY ADVISOR TELECOMMUNICATIONS B	0.00	0.93	0.00	0.93	50.0	0.00
08/09/2017	75501689	BERRY, THOMAS	**GAMCO GROWTH FD INC B	0.00	1.82	0.00	1.82	50.0	0.91
08/09/2017	75501689	BERRY, THOMAS	IVY ASSET STRATEGY FUND CLASS A B	0.00	1.08	0.00	1.08	50.0	0.54
08/09/2017	75501690	EBERSOLE, DWAYNE	EATON VANCE MUT FDS TR FLTG RATE AD B	0.00	0.76	0.00	0.76	50.0	0.00
08/09/2017	75501691	BARGER, WILLIAM	**PRUDENTIAL HIGH YIELD FUND B	0.00	2.70	0.00	2.70	50.0	1.35
08/09/2017	75501691	BARGER, WILLIAM	**VIRTUS OPPORTUNITIES TR B	0.00	3.16	0.00	3.16	50.0	1.58
08/09/2017	75501691	BARGER, WILLIAM	BLACKROCK GLOBAL ALLOCATION FD INC B	0.00	9.82	0.00	9.82	50.0	4.91
08/09/2017	75501691	BARGER, WILLIAM	EATON VANCE INCOME FUND BOSTON INC B	0.00	2.79	0.00	2.79	50.0	1.40
08/09/2017	75501691	BARGER, WILLIAM	FIDELITY ADVISOR EMERGING MARKETS I B	0.00	4.40	0.00	4.40	50.0	2.20
08/09/2017	75501691	BARGER, WILLIAM	LOOMIS SAYLES STRTEGC INC FD CL C B	0.00	2.55	0.00	2.55	50.0	1.28
08/09/2017	75501691	BARGER, WILLIAM	THE NEW ECONOMY FUND-C B	0.00	9.23	0.00	9.23	50.0	4.62
08/09/2017	75501691	BARGER, WILLIAM	WELLS FARGO FDS HIGH YIELD BD FD CL B	0.00	1.74	0.00	1.74	50.0	0.87
08/09/2017	75501692	WARD, MARY	JOHN HANCOCK CLASSIC VALUE FUND CLA B	0.00	2.08	0.00	2.08	50.0	1.04
08/09/2017	75501693	KRAUS, MICHELLE	**GABELLI SMALL CAP GROWTH B	0.00	0.50	0.00	0.50	50.0	0.00
08/09/2017	75501693	KRAUS, MICHELLE	**GABELLI UTILITIES FUND B	0.00	0.38	0.00	0.38	50.0	0.00
08/09/2017	75501693	KRAUS, MICHELLE	GABELLI VALUE 25 FD INC B	0.00	0.29	0.00	0.29	50.0	0.00
08/09/2017	75501693	KRAUS, MICHELLE	GAMCO GOLD FD B	0.00	0.33	0.00	0.33	50.0	0.00
08/09/2017	75501694	WARD, MARY	TAX-FREE BOND A B	0.00	12.82	0.00	12.82	50.0	6.41
08/11/2017	75501695	WARD, EDMOND	TOCQUEVILLE GOLD FUND B	0.00	1.23	0.00	1.23	50.0	0.62
08/09/2017	75501697	WARD, EDMOND	AMERICAN MUTUAL FUND-A B	0.00	23.22	0.00	23.22	50.0	11.61
08/09/2017	75501698	GROSSO, BETH ANN	FIDELITY ADVISOR LARGE CAP STOCK CL B	0.00	0.37	0.00	0.37	50.0	0.00
08/09/2017	75501698	GROSSO, BETH ANN	IVY MID CAP GROWTH FUND CLASS A B	0.00	1.16	0.00	1.16	50.0	0.58
08/09/2017	75501698	GROSSO, BETH ANN	NEW PERSPECTIVE FUND-A B	0.00	1.30	0.00	1.30	50.0	0.65
08/09/2017	75501698	GROSSO, BETH ANN	THE NEW ECONOMY FUND-A B	0.00	1.35	0.00	1.35	50.0	0.68
08/09/2017	75501699	WARD, EDMOND	AMERICAN MUTUAL FUND-C B	0.00	12.63	0.00	12.63	50.0	6.32
08/09/2017	75501699	WARD, EDMOND	EATON VANCE PENNSYLVANIA MUNICIPAL B	0.00	50.93	0.00	50.93	50.0	25.47
08/09/2017	75501699	WARD, EDMOND	FIDELITY ADVISOR FUNDS 315920850 B	0.00	1.25	0.00	1.25	50.0	0.63
08/09/2017	75501699	WARD, EDMOND	FIDELITY ADVISOR FUNDS 316145408 B	0.00	1.70	0.00	1.70	50.0	0.85
08/09/2017	75501701	RICKERT, RUSSELL	BLACKROCK GLOBAL ALLOCATION FD INC B	0.00	2.13	0.00	2.13	50.0	1.07
08/09/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV SMALL CAP CL T B	0.00	2.36	0.00	2.36	50.0	1.18
08/09/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV GROWTH & INCOME CL T B	0.00	0.15	0.00	0.15	50.0	0.00
08/09/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV NEW INSIGHTS-CL T B	0.00	2.88	0.00	2.88	50.0	1.44
08/09/2017	75501701	RICKERT, RUSSELL	FIDELITY INVT TR ADVISOR CANADA FD B	0.00	1.69	0.00	1.69	50.0	0.85
08/09/2017	75501738	MCDOWELL, CARL	**GABELLI UTILITIES FUND B	0.00	0.95	0.00	0.95	50.0	0.00
08/09/2017	75501738	MCDOWELL, CARL	FIDELITY ADVISOR (FOCUS) HEALTH CAR B	0.00	0.51	0.00	0.51	50.0	0.00
08/09/2017	75501743	CIAVARELLA, LOUIS	FIDELITY ADVISOR SER VIII EMERGING B	0.00	0.24	0.00	0.24	50.0	0.00
08/09/2017	75501746	CIAVARELLA, LOUIS	**VIRTUS STRATEGIC GROWTH FUND B	0.00	0.46	0.00	0.46	50.0	0.00
08/09/2017	75501746	CIAVARELLA, LOUIS	BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	6.00	0.00	6.00	50.0	3.00

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
08/09/2017	75501746	CIAVARELLA, LOUIS		DREYFUS PREMIER LARGE CAP GROWTH FU B	0.00	2.31	0.00	2.31	50.0	1.16
08/09/2017	75501746	CIAVARELLA, LOUIS		EATON VANCE GROWTH TR RICHRD BERNST B	0.00	2.08	0.00	2.08	50.0	1.04
08/09/2017	75501746	CIAVARELLA, LOUIS		FIDELITY ADV EQUITY GROWTH CL A B	0.00	1.01	0.00	1.01	50.0	0.51
08/09/2017	75501746	CIAVARELLA, LOUIS		FIDELITY ADVISOR LARGE CAP STOCK CL B	0.00	1.24	0.00	1.24	50.0	0.62
08/09/2017	75501746	CIAVARELLA, LOUIS		FIDELITY ADVISOR MID CAP STOCK CL A B	0.00	2.32	0.00	2.32	50.0	1.16
08/09/2017	75501746	CIAVARELLA, LOUIS		FIDELITY ADVISOR FUNDS 315807834 B	0.00	0.80	0.00	0.80	50.0	0.00
08/09/2017	75501746	CIAVARELLA, LOUIS		FIDELITY ADV TECHNOLOGY CL A B	0.00	2.94	0.00	2.94	50.0	1.47
08/09/2017	75501746	CIAVARELLA, LOUIS		FIDELITY ADVISOR (FOCUS) HEALTH CAR B	0.00	1.04	0.00	1.04	50.0	0.52
08/09/2017	75501746	CIAVARELLA, LOUIS		FIDELITY ADVISOR INDUSTRIALS CL A B	0.00	1.22	0.00	1.22	50.0	0.61
08/09/2017	75501746	CIAVARELLA, LOUIS		FIDELITY ADVISOR SER VIII EMERGING B	0.00	2.21	0.00	2.21	50.0	1.11
08/09/2017	75501746	CIAVARELLA, LOUIS		FIDELITY ADVISOR SMALL CAP GROWTH FB	0.00	2.18	0.00	2.18	50.0	1.09
08/09/2017	75501746	CIAVARELLA, LOUIS		FIDELITY INVT TR FIDELITY ADV EUROP B	0.00	2.13	0.00	2.13	50.0	1.07
08/09/2017	75501746	CIAVARELLA, LOUIS		FRK SM CAP GRWTH FND II - CL A B	0.00	0.86	0.00	0.86	50.0	0.00
08/09/2017	75501746	CIAVARELLA, LOUIS		THORNBURG GLOBAL OPPORTUNITIES FUND B	0.00	0.74	0.00	0.74	50.0	0.00
08/09/2017	75501746	CIAVARELLA, LOUIS		THORNBURG INVT TR BETTER WORLD INTL B	0.00	0.54	0.00	0.54	50.0	0.00
08/09/2017	75501746	CIAVARELLA, LOUIS		VIRTUS QUALITY SMALL CAP B	0.00	0.04	0.00	0.04	50.0	0.00
08/09/2017	75501756	MANFREDI, MICHAEL		**CLEARBRIDGE AGGRESSIVE B	0.00	0.59	0.00	0.59	50.0	0.00
08/09/2017	75501756	MANFREDI, MICHAEL		**CLEARBRIDGE DIVIDEND B	0.00	1.21	0.00	1.21	50.0	0.61
08/09/2017	75501758	BERGEN, MIMI		BLACKROCK EQUITY DIVIDEND FUND CL AB	0.00	0.48	0.00	0.48	50.0	0.00
08/09/2017	75501758	BERGEN, MIMI		BLACKROCK STRATEGIC INCOME OPPORTUN B	0.00	0.79	0.00	0.79	50.0	0.00
08/09/2017	75501758	BERGEN, MIMI		BLK 091928846 B	0.00	0.42	0.00	0.42	50.0	0.00
08/09/2017	75501760	BERGEN, MIMI		FEDERATED STRATEGIC INCOME FUND CLAB	0.00	2.99	0.00	2.99	50.0	1.50
08/09/2017	75501764	HALL, JOAN		**GABELLI UTILITIES FUND B	0.00	0.82	0.00	0.82	50.0	0.00
08/09/2017	75501764	HALL, JOAN		FIDELITY ADVISOR FUNDS 315918367 B	0.00	5.40	0.00	5.40	50.0	2.70
08/09/2017	75501764	HALL, JOAN		FIDELITY ADVISOR FUNDS 315918524 B	0.00	9.43	0.00	9.43	50.0	4.72
08/09/2017	75501764	HALL, JOAN		FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	5.28	0.00	5.28	50.0	2.64
08/09/2017	75501976	WARD, BRANDON		EATON VANCE GLOBAL MACRO ABSOLUTE RB	0.00	12.49	0.00	12.49	50.0	6.25
08/09/2017	75501976	WARD, BRANDON		JANUS INVT FD HENDERSON INTL OPPRTN B	0.00	8.64	0.00	8.64	50.0	4.32
08/09/2017	75501976	WARD, BRANDON		OPPENHEIMERFUNDS 68380E601 B	0.00	4.41	0.00	4.41	50.0	2.21
08/09/2017	75501976	WARD, BRANDON		OPPENHEIMER GOLD & SPECIAL MINERALS B	0.00	19.22	0.00	19.22	50.0	9.61
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$486.32	\$0.00	\$486.32	\$228.77	
*** BROKER TRANSACTIONS TOTAL ***					\$149,115.05	\$2,809.74	\$0.00	\$2,809.74	\$1,375.50	

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

08/31/2017		OTHER: OTHER-ADVISORY FEES		0.00	0.00	0.00	0.00		-142.00
08/31/2017		OTHER: OTHER-REIMBURSEMENT OF TRAIL		0.00	0.00	0.00	0.00		14.61
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.39

BROKER COMMISSION TOTALS

Broker Transactions	\$1,375.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.39
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.74
SIPC Assessment	\$-3.48

Check Amount	\$1,242.89
Year-to-date Earnings	\$3,582.60