

COMMISSION STATEMENT

June 30, 2018

KINGSBURY INC.

BROKER #: 86

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/26/2018	75597002	WEINER, MARK	IVY INTERNATIONAL CORE EQUITY FUND S	17,807.56	0.00	0.00	0.00	100.0	0.00
06/26/2018	75597002	WEINER, MARK	VIRTUS SMALL CAP SUSTAINABLE GROWTH S	18,224.04	0.00	0.00	0.00	100.0	0.00
06/26/2018	75597002	WEINER, MARK	WELLS FARGO SPECIAL MID CAP VALUE F S	12,018.29	0.00	0.00	0.00	100.0	0.00
06/26/2018	75597002	WEINER, MARK	WELLS FARGO FDS SPL SMALL CAP VALUE S	13,607.86	0.00	0.00	0.00	100.0	0.00
06/11/2018	75597035	PERKINSON, DOLORES	BLACKROCK GLOBAL ALLOCATION FD INC S	1,500.00	0.00	0.00	0.00	100.0	0.00
06/26/2018	75597072	JAMES R MENZ TTEE	BLACKROCK HEALTH SCIENCES FUND CLAS S	59,888.68	0.00	0.00	0.00	100.0	0.00
06/27/2018	75597136	JAMES WEBSTER PLAN	BLACKROCK HEALTH SCIENCES FUND CLAS S	45,000.00	0.00	0.00	0.00	100.0	0.00
06/26/2018	75597138	WEINER, PATRICIA	CALAMOS EVOLVING WORLD GROWTH FUND S	3,536.18	0.00	0.00	0.00	100.0	0.00
06/26/2018	75597138	WEINER, PATRICIA	IVY INTERNATIONAL CORE EQUITY FUND S	2,374.33	0.00	0.00	0.00	100.0	0.00
06/26/2018	75597138	WEINER, PATRICIA	PUTNAM GLOBAL EQUITY FUND CLASS A S	997.56	0.00	0.00	0.00	100.0	0.00
06/26/2018	75597138	WEINER, PATRICIA	WELLS FARGO SPECIAL MID CAP VALUE F S	2,413.36	0.00	0.00	0.00	100.0	0.00
06/27/2018	75597145	WEBSTER, JAMES	BLACKROCK HEALTH SCIENCES FUND CLAS S	13,767.66	0.00	0.00	0.00	100.0	0.00
06/22/2018	75597173	NELSON, CAROLE	MAINSTAY LARGE CAP GROWTH FUND CLAS S	800.00	0.00	0.00	0.00	100.0	0.00
06/14/2018	75597191	CARPENTER, AGNES	BLACKROCK FOCUS GROWTH FUND INC CL B	3,625.00	181.26	0.00	181.26	100.0	181.26
06/14/2018	75597191	CARPENTER, AGNES	BLACKROCK LARGE CAP SER FDS INC LAR B	3,625.00	181.26	0.00	181.26	100.0	181.26
06/26/2018	75597191	CARPENTER, AGNES	FIDELITY ADVISOR WORLDWIDE FD CL A B	4,000.00	200.00	0.00	200.00	100.0	200.00
06/26/2018	75597191	CARPENTER, AGNES	GLOBAL OPPORTUNITIES A B	4,000.00	190.00	0.00	190.00	100.0	190.00

* MUTUAL FUNDS SUBTOTAL *	\$207,185.52	\$752.52	\$0.00	\$752.52	\$752.52
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PRODUCT CATEGORY: STOCKS

06/26/2018	75597047	MENZ, SUSAN	HERCULES CAPITAL INC S	12,843.00	50.00	12.00	38.00	100.0	38.00
06/26/2018	75597047	MENZ, SUSAN	SELECT SECTOR SPDR TRUST THE FINANC B	13,358.00	286.12	12.00	274.12	100.0	274.12
06/26/2018	75597072	JAMES R MENZ TTEE	CNO FINANCIAL GROUP INC B	19,289.43	399.00	12.00	387.00	100.0	387.00
06/26/2018	75597072	JAMES R MENZ TTEE	SELECT SECTOR SPDR TRUST THE FINANC B	26,728.30	399.00	12.00	387.00	100.0	387.00
06/26/2018	75597136	JAMES WEBSTER PLAN	SELECT SECTOR SPDR TRUST THE FINANC B	53,472.00	399.00	12.00	387.00	100.0	387.00
06/05/2018	75597193	RIZZO, MICHAEL	AQUILA RESOURCES INC B	2,733.76	50.00	12.00	38.00	100.0	38.00

* STOCKS SUBTOTAL *	\$128,424.49	\$1,583.12	\$72.00	\$1,511.12	\$1,511.12
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PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS

06/27/2018	75597008	VELLON, MICHAEL	UIT GUGG DISCOUNT OPPTY STRATEGY PO B	15,002.56	300.08	12.00	288.08	100.0	288.08
06/27/2018	75597046	MENZ, SUSAN	UIT GUGG DISCOUNT OPPTY STRATEGY PO B	6,004.96	120.10	12.00	108.10	100.0	108.10
06/27/2018	75597136	JAMES WEBSTER PLAN	UIT GUGG DISCOUNT OPPTY STRATEGY PO B	40,006.83	800.20	12.00	788.20	100.0	788.20

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
06/27/2018	75597145 WEBSTER, JAMES	UIT GUGG DISCOUNT OPPTY STRATEGY PO B		15,002.56	300.08	12.00	288.08	100.0	288.08
06/27/2018	75597158 MILES, ALVERTA	UIT GUGG DISCOUNT OPPTY STRATEGY PO B		30,005.12	600.14	12.00	588.14	100.0	588.14
06/27/2018	75597161 STOTTS, ALLISON	UIT GUGG DISCOUNT OPPTY STRATEGY PO B		7,501.28	150.04	12.00	138.04	100.0	138.04
* UNIT INVESTMENT TRUSTS SUBTOTAL *				\$113,523.31	\$2,270.64	\$72.00	\$2,198.64		\$2,198.64
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018		FEE COUNT - 296		0.00	2,546.46	0.00	2,546.46	100.0	2,546.46
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,546.46	\$0.00	\$2,546.46		\$2,546.46
*** BROKER TRANSACTIONS TOTAL ***				\$449,133.32	\$7,152.74	\$144.00	\$7,008.74		\$7,008.74

ADJUSTMENTS

06/30/2018	OTHER: OTHER		0.00	0.00	0.00	0.00			-1,800.00
06/30/2018	OTHER: RBC - WEALTH STATIONS		0.00	0.00	0.00	0.00			-365.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-2,165.00

BROKER COMMISSION TOTALS

Broker Transactions	\$7,008.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,165.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-8.94
SIPC Assessment	\$-17.88

Check Amount	\$4,816.92
Year-to-date Earnings	\$37,261.48