



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 31, 2017

08/29/2017
 Page No. 1

ROBIN EDGAR
 1161 WAYZATA BLVD E
 #400
 Wayzata, MN 55391

BROKER #: E119
 BRANCH: ROBIN EDGAR

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u> | | | | | | | | |
| 08/16/2017 | LEONARD TRUST, REA | COTTONWOOD RESIDENTIAL 2016 PREFR'D | 200,000.00 | 14,000.00 | 0.00 | 14,000.00 | 90.0 | 12,600.00 |
| 08/17/2017 | LEONARD TRUST, REA | GPB AUTOMOTIVE PORTFOLIO LP | 50,000.00 | 3,500.00 | 0.00 | 3,500.00 | 90.0 | 3,150.00 |
| 07/31/2017 | GERZEMA, MARLENE | HPI FUND V | 25,000.00 | 1,500.00 | 0.00 | 1,500.00 | 90.0 | 1,350.00 |
| 08/08/2017 | KOSS-WINN BANC ESOP | HPI FUND V | 50,000.00 | 3,000.00 | 0.00 | 3,000.00 | 90.0 | 2,700.00 |
| 08/10/2017 | YEAGER, BRETT | HPI FUND V | 50,000.00 | 3,000.00 | 0.00 | 3,000.00 | 90.0 | 2,700.00 |
| 08/15/2017 | MICHEL, JOHN | HPI FUND V | 50,000.00 | 3,000.00 | 0.00 | 3,000.00 | 90.0 | 2,700.00 |
| 07/31/2017 | GERZEMA, MARLENE | SHOPOFF LAND FUND V | 25,000.00 | 1,750.00 | 0.00 | 1,750.00 | 90.0 | 1,575.00 |
| 08/10/2017 | YEAGER, BRETT | SHOPOFF LAND FUND V | 50,000.00 | 3,500.00 | 0.00 | 3,500.00 | 90.0 | 3,150.00 |
| * PRIVATE PLACEMENT SUBTOTAL * | | | \$500,000.00 | \$33,250.00 | \$0.00 | \$33,250.00 | | \$29,925.00 |
| <u>PRODUCT CATEGORY: OIL & GAS</u> | | | | | | | | |
| 08/10/2017 | YEAGER, BRETT | WAVELAND RESOURCE PARTNERS IV | 50,000.00 | 3,500.00 | 0.00 | 3,500.00 | 90.0 | 3,150.00 |
| 08/15/2017 | RICHTER, JASON | WAVELAND RESOURCE PARTNERS IV | 250,000.00 | 17,500.00 | 0.00 | 17,500.00 | 90.0 | 15,750.00 |
| * OIL & GAS SUBTOTAL * | | | \$300,000.00 | \$21,000.00 | \$0.00 | \$21,000.00 | | \$18,900.00 |
| <u>PRODUCT CATEGORY: INTERVAL FUNDS</u> | | | | | | | | |
| 07/31/2017 | KOSS-WINN BANC ESOP | GRIFFIN INST'L ACCESS R E FUND | 0.00 | 2.32 | 0.00 | 2.32 | 90.0 | 2.09 |
| 07/31/2017 | KOSS-WINN BANC ESOP | GRIFFIN INST'L ACCESS R E FUND | 0.00 | 3.43 | 0.00 | 3.43 | 90.0 | 3.09 |
| 07/31/2017 | KOSS-WINN BANC ESOP | GRIFFIN INST'L ACCESS R E FUND | 0.00 | 2.32 | 0.00 | 2.32 | 90.0 | 2.09 |
| 07/31/2017 | KOSS-WINN BANC ESOP | GRIFFIN INST'L ACCESS R E FUND | 0.00 | 1.16 | 0.00 | 1.16 | 90.0 | 1.04 |
| 07/31/2017 | KOSS-WINN BANC ESOP | GRIFFIN INST'L ACCESS R E FUND | 0.00 | 2.23 | 0.00 | 2.23 | 90.0 | 2.01 |
| 07/31/2017 | KOSS-WINN BANC ESOP | GRIFFIN INST'L ACCESS R E FUND | 0.00 | 5.52 | 0.00 | 5.52 | 90.0 | 4.97 |
| 07/31/2017 | HANSON, SCOTT | GRIFFIN INST'L ACCESS R E FUND | 0.00 | 4.19 | 0.00 | 4.19 | 90.0 | 3.77 |
| 07/31/2017 | OBERMEYER, HEIDI | GRIFFIN INST'L ACCESS R E FUND | 0.00 | 4.18 | 0.00 | 4.18 | 90.0 | 3.76 |
| 07/30/2017 | KOSS-WINN BANC ESOP | MULTI STRATEGY GRWTH & INC FUND A | 0.00 | 5.40 | 0.00 | 5.40 | 90.0 | 4.86 |
| 07/30/2017 | TORNQUIST, SCOTT | MULTI STRATEGY GRWTH & INC FUND A | 0.00 | 15.26 | 0.00 | 15.26 | 90.0 | 13.73 |
| 07/30/2017 | KONEFES, ALISON | MULTI STRATEGY GRWTH & INC FUND A | 0.00 | 9.28 | 0.00 | 9.28 | 90.0 | 8.35 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: INTERVAL FUNDS</u> | | | | | | | | |
| 07/30/2017 | KOSS-WINN BANC ESOP | MULTI STRATEGY GRWTH & INC FUND A | 0.00 | 4.44 | 0.00 | 4.44 | 90.0 | 4.00 |
| 07/30/2017 | KOSS-WINN BANC ESOP | MULTI STRATEGY GRWTH & INC FUND A | 0.00 | 7.74 | 0.00 | 7.74 | 90.0 | 6.97 |
| 07/30/2017 | KOSS-WINN BANC ESOP | MULTI STRATEGY GRWTH & INC FUND A | 0.00 | 5.55 | 0.00 | 5.55 | 90.0 | 5.00 |
| 07/30/2017 | KOSS-WINN BANC ESOP | MULTI STRATEGY GRWTH & INC FUND A | 0.00 | 11.11 | 0.00 | 11.11 | 90.0 | 10.00 |
| 07/30/2017 | ENGBRETSON, ROGER | MULTI STRATEGY GRWTH & INC FUND A | 0.00 | 5.70 | 0.00 | 5.70 | 90.0 | 5.13 |
| 07/30/2017 | EDGAR, ROBIN | MULTI STRATEGY GRWTH & INC FUND A | 0.00 | 2.40 | 0.00 | 2.40 | 90.0 | 2.16 |
| 07/30/2017 | SCHLICHTING, LAURA | MULTI STRATEGY GRWTH & INC FUND A | 0.00 | 5.69 | 0.00 | 5.69 | 90.0 | 5.12 |
| 07/30/2017 | GROSS, ROBERT | MULTI STRATEGY GRWTH & INC FUND A | 0.00 | 23.23 | 0.00 | 23.23 | 90.0 | 20.91 |
| 07/30/2017 | STRIPLING, KERRY | MULTI STRATEGY GRWTH & INC FUND A | 0.00 | 7.25 | 0.00 | 7.25 | 90.0 | 6.53 |
| * INTERVAL FUNDS SUBTOTAL * | | | \$0.00 | \$128.40 | \$0.00 | \$128.40 | | \$115.58 |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 08/10/2017 | POST, KENNETH | AM FUNDS AMCAP A | 135.42 | 3.72 | 0.00 | 3.72 | 90.0 | 3.35 |
| 08/10/2017 | POST, KENNETH | AM FUNDS AMCAP A | 135.42 | 3.72 | 0.00 | 3.72 | 90.0 | 3.35 |
| 08/07/2017 | DAHL, NANCY JOHNSON | AM FUNDS AMERICAN BALANCED A | 100.00 | 3.75 | 0.00 | 3.75 | 90.0 | 3.38 |
| 08/07/2017 | DAHL, JORGEN | AM FUNDS AMERICAN BALANCED A | 50.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| 08/07/2017 | DAHL, ERIK | AM FUNDS AMERICAN BALANCED A | 50.00 | 2.50 | 0.00 | 2.50 | 90.0 | 2.25 |
| 08/10/2017 | UHLENHOPP, JASON | AM FUNDS AMERICAN BALANCED A | 41.90 | 1.15 | 0.00 | 1.15 | 90.0 | 1.04 |
| 08/10/2017 | UHLENHOPP, JASON | AM FUNDS AMERICAN BALANCED A | 41.90 | 1.15 | 0.00 | 1.15 | 90.0 | 1.04 |
| 08/15/2017 | GOCHE, ANN | AM FUNDS AMERICAN BALANCED A | 100.00 | 1.60 | 0.00 | 1.60 | 90.0 | 1.44 |
| 08/10/2017 | UHLENHOPP, JASON | AM FUNDS AMERICAN MUTUAL A | 41.91 | 1.15 | 0.00 | 1.15 | 90.0 | 1.04 |
| 08/10/2017 | UHLENHOPP, JASON | AM FUNDS AMERICAN MUTUAL A | 41.91 | 1.15 | 0.00 | 1.15 | 90.0 | 1.04 |
| 08/10/2017 | CRAWFORD, JON | AM FUNDS BALANCED PORT A | 130.24 | 3.58 | 0.00 | 3.58 | 90.0 | 3.22 |
| 08/10/2017 | CRAWFORD, JON | AM FUNDS BALANCED PORT A | 130.24 | 3.58 | 0.00 | 3.58 | 90.0 | 3.22 |
| 08/14/2017 | QUAM, KENNETH | AM FUNDS BALANCED PORT A | 34.50 | 1.29 | 0.00 | 1.29 | 90.0 | 1.16 |
| 08/14/2017 | QUAM, KENNETH | AM FUNDS BALANCED PORT A | 34.50 | 1.29 | 0.00 | 1.29 | 90.0 | 1.16 |
| 08/07/2017 | NUTTING, BRETT | AM FUNDS CAPITAL INCOME BUILDER A | 200.00 | 3.20 | 0.00 | 3.20 | 90.0 | 2.88 |
| 08/10/2017 | REIDEL, DENNIS | AM FUNDS CAPITAL INCOME BUILDER A | 100.00 | 2.75 | 0.00 | 2.75 | 90.0 | 2.48 |
| 08/15/2017 | GOCHE, ANN | AM FUNDS CAPITAL INCOME BUILDER A | 100.00 | 1.60 | 0.00 | 1.60 | 90.0 | 1.44 |
| 08/07/2017 | DAHL, NANCY JOHNSON | AM FUNDS CAPITAL WORLD GRTH & INC A | 50.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| 08/10/2017 | FIELD, BARRY | AM FUNDS CAPITAL WORLD GRTH & INC A | 39.62 | 1.09 | 0.00 | 1.09 | 90.0 | 0.98 |
| 08/10/2017 | FIELD, BARRY | AM FUNDS CAPITAL WORLD GRTH & INC A | 79.25 | 2.18 | 0.00 | 2.18 | 90.0 | 1.96 |
| 08/15/2017 | GOCHE, ANN | AM FUNDS CAPITAL WORLD GRTH & INC A | 100.00 | 1.60 | 0.00 | 1.60 | 90.0 | 1.44 |
| 08/17/2017 | BRUNS, MARCUS | AM FUNDS CAPITAL WORLD GRTH & INC A | 150.00 | 4.13 | 0.00 | 4.13 | 90.0 | 3.72 |

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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|--|-------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 08/10/2017 | REIDEL, DENNIS | AM FUNDS GLOBAL BALANCED A | 200.00 | 5.50 | 0.00 | 5.50 | 90.0 | 4.95 |
| 08/07/2017 | TORNQUIST, NATHAN | AM FUNDS GROWTH AND INCOME A | 150.00 | 7.50 | 0.00 | 7.50 | 90.0 | 6.75 |
| 08/07/2017 | GROSLAND, TROY | AM FUNDS GROWTH AND INCOME A | 30.20 | 1.13 | 0.00 | 1.13 | 90.0 | 1.02 |
| 08/07/2017 | GROSLAND, TROY | AM FUNDS GROWTH AND INCOME A | 30.20 | 1.13 | 0.00 | 1.13 | 90.0 | 1.02 |
| 08/08/2017 | NUTTING, MARY | AM FUNDS GROWTH AND INCOME A | 75.00 | 2.81 | 0.00 | 2.81 | 90.0 | 2.53 |
| 08/08/2017 | NUTTING, MARY | AM FUNDS GROWTH AND INCOME A | 100.00 | 3.75 | 0.00 | 3.75 | 90.0 | 3.38 |
| 08/08/2017 | TOMSOVIC, LAURA | AM FUNDS GROWTH AND INCOME A | 55.21 | 2.07 | 0.00 | 2.07 | 90.0 | 1.86 |
| 08/08/2017 | TOMSOVIC, LAURA | AM FUNDS GROWTH AND INCOME A | 33.12 | 1.24 | 0.00 | 1.24 | 90.0 | 1.12 |
| 08/08/2017 | JOHNSON, TODD | AM FUNDS GROWTH AND INCOME A | 44.87 | 1.68 | 0.00 | 1.68 | 90.0 | 1.51 |
| 08/08/2017 | JOHNSON, TODD | AM FUNDS GROWTH AND INCOME A | 44.87 | 1.68 | 0.00 | 1.68 | 90.0 | 1.51 |
| 08/10/2017 | FORTUNE, DON | AM FUNDS GROWTH AND INCOME A | 122.82 | 3.38 | 0.00 | 3.38 | 90.0 | 3.04 |
| 08/10/2017 | FORTUNE, DON | AM FUNDS GROWTH AND INCOME A | 122.82 | 3.38 | 0.00 | 3.38 | 90.0 | 3.04 |
| 08/10/2017 | KRAMER, MERVIN | AM FUNDS GROWTH AND INCOME A | 106.69 | 2.93 | 0.00 | 2.93 | 90.0 | 2.64 |
| 08/10/2017 | KRAMER, MERVIN | AM FUNDS GROWTH AND INCOME A | 355.65 | 9.78 | 0.00 | 9.78 | 90.0 | 8.80 |
| 08/14/2017 | GROSLAND, TROY | AM FUNDS GROWTH AND INCOME A | 31.31 | 1.17 | 0.00 | 1.17 | 90.0 | 1.05 |
| 08/14/2017 | GROSLAND, TROY | AM FUNDS GROWTH AND INCOME A | 31.31 | 1.17 | 0.00 | 1.17 | 90.0 | 1.05 |
| 08/21/2017 | DAHL, ERIK | AM FUNDS GROWTH AND INCOME A | 100.00 | 5.00 | 0.00 | 5.00 | 90.0 | 4.50 |
| 08/21/2017 | GROSLAND, TROY | AM FUNDS GROWTH AND INCOME A | 32.05 | 1.20 | 0.00 | 1.20 | 90.0 | 1.08 |
| 08/21/2017 | GROSLAND, TROY | AM FUNDS GROWTH AND INCOME A | 32.05 | 1.20 | 0.00 | 1.20 | 90.0 | 1.08 |
| 08/24/2017 | NUTTING, MARY | AM FUNDS GROWTH AND INCOME A | 75.00 | 2.81 | 0.00 | 2.81 | 90.0 | 2.53 |
| 08/24/2017 | NUTTING, MARY | AM FUNDS GROWTH AND INCOME A | 100.00 | 3.75 | 0.00 | 3.75 | 90.0 | 3.38 |
| 08/24/2017 | TOMSOVIC, LAURA | AM FUNDS GROWTH AND INCOME A | 55.21 | 2.07 | 0.00 | 2.07 | 90.0 | 1.86 |
| 08/24/2017 | TOMSOVIC, LAURA | AM FUNDS GROWTH AND INCOME A | 33.12 | 1.24 | 0.00 | 1.24 | 90.0 | 1.12 |
| 08/24/2017 | JOHNSON, TODD | AM FUNDS GROWTH AND INCOME A | 44.87 | 1.68 | 0.00 | 1.68 | 90.0 | 1.51 |
| 08/24/2017 | JOHNSON, TODD | AM FUNDS GROWTH AND INCOME A | 44.87 | 1.68 | 0.00 | 1.68 | 90.0 | 1.51 |
| 08/10/2017 | FIELD, BARRY | AM FUNDS GROWTH FUND OF AMERICA A | 39.62 | 1.09 | 0.00 | 1.09 | 90.0 | 0.98 |
| 08/10/2017 | FIELD, BARRY | AM FUNDS GROWTH FUND OF AMERICA A | 79.25 | 2.18 | 0.00 | 2.18 | 90.0 | 1.96 |
| 08/10/2017 | UHLENHOPP, JASON | AM FUNDS GROWTH FUND OF AMERICA A | 43.18 | 1.19 | 0.00 | 1.19 | 90.0 | 1.07 |
| 08/10/2017 | UHLENHOPP, JASON | AM FUNDS GROWTH FUND OF AMERICA A | 43.18 | 1.19 | 0.00 | 1.19 | 90.0 | 1.07 |
| 08/07/2017 | TORNQUIST, NATHAN | AM FUNDS GROWTH PORT A | 150.00 | 7.50 | 0.00 | 7.50 | 90.0 | 6.75 |
| 08/07/2017 | WENTWORTH, THOMAS | AM FUNDS GROWTH PORT A | 67.21 | 2.52 | 0.00 | 2.52 | 90.0 | 2.27 |
| 08/07/2017 | WENTWORTH, THOMAS | AM FUNDS GROWTH PORT A | 40.33 | 1.51 | 0.00 | 1.51 | 90.0 | 1.36 |
| 08/08/2017 | NUTTING, MARY | AM FUNDS GROWTH PORT A | 75.00 | 2.81 | 0.00 | 2.81 | 90.0 | 2.53 |

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| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 08/08/2017 | NUTTING, MARY | AM FUNDS GROWTH PORT A | 100.00 | 3.75 | 0.00 | 3.75 | 90.0 | 3.38 |
| 08/10/2017 | MEINDERS, DARWIN | AM FUNDS GROWTH PORT A | 179.71 | 4.94 | 0.00 | 4.94 | 90.0 | 4.45 |
| 08/10/2017 | MEINDERS, DARWIN | AM FUNDS GROWTH PORT A | 299.51 | 8.24 | 0.00 | 8.24 | 90.0 | 7.42 |
| 08/14/2017 | WENTWORTH, THOMAS | AM FUNDS GROWTH PORT A | 60.80 | 2.28 | 0.00 | 2.28 | 90.0 | 2.05 |
| 08/14/2017 | WENTWORTH, THOMAS | AM FUNDS GROWTH PORT A | 36.48 | 1.37 | 0.00 | 1.37 | 90.0 | 1.23 |
| 08/21/2017 | WENTWORTH, THOMAS | AM FUNDS GROWTH PORT A | 36.48 | 1.37 | 0.00 | 1.37 | 90.0 | 1.23 |
| 08/21/2017 | WENTWORTH, THOMAS | AM FUNDS GROWTH PORT A | 60.80 | 2.28 | 0.00 | 2.28 | 90.0 | 2.05 |
| 08/24/2017 | NUTTING, MARY | AM FUNDS GROWTH PORT A | 75.00 | 2.81 | 0.00 | 2.81 | 90.0 | 2.53 |
| 08/24/2017 | NUTTING, MARY | AM FUNDS GROWTH PORT A | 100.00 | 3.75 | 0.00 | 3.75 | 90.0 | 3.38 |
| 08/10/2017 | FIELD, BARRY | AM FUNDS ICA A | 39.62 | 1.09 | 0.00 | 1.09 | 90.0 | 0.98 |
| 08/10/2017 | FIELD, BARRY | AM FUNDS ICA A | 79.23 | 2.18 | 0.00 | 2.18 | 90.0 | 1.96 |
| 08/07/2017 | DAHL, NANCY JOHNSON | AM FUNDS INCOME FUND OF AMERICA A | 100.00 | 3.75 | 0.00 | 3.75 | 90.0 | 3.38 |
| 08/10/2017 | FIELD, BARRY | AM FUNDS INCOME FUND OF AMERICA A | 39.63 | 1.09 | 0.00 | 1.09 | 90.0 | 0.98 |
| 08/10/2017 | FIELD, BARRY | AM FUNDS INCOME FUND OF AMERICA A | 79.25 | 2.18 | 0.00 | 2.18 | 90.0 | 1.96 |
| 08/15/2017 | GOCHE, ANN | AM FUNDS INCOME FUND OF AMERICA A | 100.00 | 1.60 | 0.00 | 1.60 | 90.0 | 1.44 |
| 08/07/2017 | DAHL, NANCY JOHNSON | AM FUNDS NEW PERSPECTIVE A | 50.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| 08/17/2017 | BRUNS, MARCUS | AM FUNDS NEW PERSPECTIVE A | 150.00 | 4.13 | 0.00 | 4.13 | 90.0 | 3.72 |
| 08/10/2017 | REIDEL, DENNIS | AM FUNDS NEW WORLD A | 200.00 | 5.50 | 0.00 | 5.50 | 90.0 | 4.95 |
| 08/10/2017 | GROSS, JUDY | AM FUNDS NEW WORLD A | 471.03 | 12.95 | 0.00 | 12.95 | 90.0 | 11.66 |
| 08/10/2017 | GROSS, JUDY | AM FUNDS NEW WORLD A | 1,000.00 | 27.50 | 0.00 | 27.50 | 90.0 | 24.75 |
| 08/17/2017 | BRUNS, MARCUS | AM FUNDS NEW WORLD A | 150.00 | 4.13 | 0.00 | 4.13 | 90.0 | 3.72 |
| 08/10/2017 | O'CONNELL, LANE | AM FUNDS SMALLCAP WORLD A | 150.00 | 1.80 | 0.00 | 1.80 | 90.0 | 1.62 |
| 08/07/2017 | CARMAN, BARBARA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 16.80 | 0.63 | 0.00 | 0.63 | 90.0 | 0.57 |
| 08/07/2017 | CARMAN, BARBARA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 16.80 | 0.63 | 0.00 | 0.63 | 90.0 | 0.57 |
| 08/07/2017 | LOW, THOMAS | AMERICAN FUND GLOBAL GROWTH PORTFOL | 75.03 | 2.81 | 0.00 | 2.81 | 90.0 | 2.53 |
| 08/07/2017 | LOW, THOMAS | AMERICAN FUND GLOBAL GROWTH PORTFOL | 37.52 | 1.41 | 0.00 | 1.41 | 90.0 | 1.27 |
| 08/07/2017 | DUVALL, JOSHUA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 32.94 | 1.24 | 0.00 | 1.24 | 90.0 | 1.12 |
| 08/07/2017 | DUVALL, JOSHUA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 54.90 | 2.06 | 0.00 | 2.06 | 90.0 | 1.85 |
| 08/07/2017 | DAHL, ERIK | AMERICAN FUND GLOBAL GROWTH PORTFOL | 100.00 | 5.00 | 0.00 | 5.00 | 90.0 | 4.50 |
| 08/08/2017 | TOMSOVIC, LAURA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 55.21 | 2.07 | 0.00 | 2.07 | 90.0 | 1.86 |
| 08/08/2017 | TOMSOVIC, LAURA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 33.13 | 1.24 | 0.00 | 1.24 | 90.0 | 1.12 |
| 08/08/2017 | BERLING, MATTHEW | AMERICAN FUND GLOBAL GROWTH PORTFOL | 135.42 | 5.08 | 0.00 | 5.08 | 90.0 | 4.57 |
| 08/08/2017 | BERLING, MATTHEW | AMERICAN FUND GLOBAL GROWTH PORTFOL | 81.25 | 3.05 | 0.00 | 3.05 | 90.0 | 2.75 |

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| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 08/08/2017 | JOHNSON, TODD | AMERICAN FUND GLOBAL GROWTH PORTFOL | 44.88 | 1.68 | 0.00 | 1.68 | 90.0 | 1.51 |
| 08/08/2017 | JOHNSON, TODD | AMERICAN FUND GLOBAL GROWTH PORTFOL | 44.88 | 1.68 | 0.00 | 1.68 | 90.0 | 1.51 |
| 08/10/2017 | ENGLISH, WILLIAM | AMERICAN FUND GLOBAL GROWTH PORTFOL | 125.00 | 3.44 | 0.00 | 3.44 | 90.0 | 3.10 |
| 08/10/2017 | ENGLISH, WILLIAM | AMERICAN FUND GLOBAL GROWTH PORTFOL | 125.00 | 3.44 | 0.00 | 3.44 | 90.0 | 3.10 |
| 08/14/2017 | CARMAN, BARBARA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 12.96 | 0.49 | 0.00 | 0.49 | 90.0 | 0.44 |
| 08/14/2017 | CARMAN, BARBARA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 12.96 | 0.49 | 0.00 | 0.49 | 90.0 | 0.44 |
| 08/14/2017 | LOW, THOMAS | AMERICAN FUND GLOBAL GROWTH PORTFOL | 79.64 | 2.99 | 0.00 | 2.99 | 90.0 | 2.69 |
| 08/14/2017 | LOW, THOMAS | AMERICAN FUND GLOBAL GROWTH PORTFOL | 39.82 | 1.49 | 0.00 | 1.49 | 90.0 | 1.34 |
| 08/14/2017 | DUVALL, JOSHUA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 49.28 | 1.85 | 0.00 | 1.85 | 90.0 | 1.67 |
| 08/14/2017 | DUVALL, JOSHUA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 29.57 | 1.11 | 0.00 | 1.11 | 90.0 | 1.00 |
| 08/14/2017 | LOW, TROY | AMERICAN FUND GLOBAL GROWTH PORTFOL | 224.00 | 8.40 | 0.00 | 8.40 | 90.0 | 7.56 |
| 08/14/2017 | LOW, TROY | AMERICAN FUND GLOBAL GROWTH PORTFOL | 96.00 | 3.60 | 0.00 | 3.60 | 90.0 | 3.24 |
| 08/21/2017 | CARMAN, BARBARA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 19.56 | 0.73 | 0.00 | 0.73 | 90.0 | 0.66 |
| 08/21/2017 | CARMAN, BARBARA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 19.56 | 0.73 | 0.00 | 0.73 | 90.0 | 0.66 |
| 08/21/2017 | LOW, THOMAS | AMERICAN FUND GLOBAL GROWTH PORTFOL | 75.95 | 2.85 | 0.00 | 2.85 | 90.0 | 2.57 |
| 08/21/2017 | LOW, THOMAS | AMERICAN FUND GLOBAL GROWTH PORTFOL | 37.98 | 1.42 | 0.00 | 1.42 | 90.0 | 1.28 |
| 08/21/2017 | DUVALL, JOSHUA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 65.48 | 2.46 | 0.00 | 2.46 | 90.0 | 2.21 |
| 08/21/2017 | DUVALL, JOSHUA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 39.29 | 1.47 | 0.00 | 1.47 | 90.0 | 1.32 |
| 08/24/2017 | TOMSOVIC, LAURA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 55.21 | 2.07 | 0.00 | 2.07 | 90.0 | 1.86 |
| 08/24/2017 | TOMSOVIC, LAURA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 33.13 | 1.24 | 0.00 | 1.24 | 90.0 | 1.12 |
| 08/24/2017 | BERLING, MATTHEW | AMERICAN FUND GLOBAL GROWTH PORTFOL | 110.42 | 4.14 | 0.00 | 4.14 | 90.0 | 3.73 |
| 08/24/2017 | BERLING, MATTHEW | AMERICAN FUND GLOBAL GROWTH PORTFOL | 66.25 | 2.48 | 0.00 | 2.48 | 90.0 | 2.23 |
| 08/24/2017 | JOHNSON, TODD | AMERICAN FUND GLOBAL GROWTH PORTFOL | 44.88 | 1.68 | 0.00 | 1.68 | 90.0 | 1.51 |
| 08/24/2017 | JOHNSON, TODD | AMERICAN FUND GLOBAL GROWTH PORTFOL | 44.88 | 1.68 | 0.00 | 1.68 | 90.0 | 1.51 |
| 08/10/2017 | GROSS, ROBERT | AMERICAN FUNDS DEVELOPING WORLD GRO | 4,720.00 | 129.80 | 0.00 | 129.80 | 90.0 | 116.82 |
| 08/10/2017 | GROSS, ROBERT | AMERICAN FUNDS DEVELOPING WORLD GRO | 5,500.00 | 151.25 | 0.00 | 151.25 | 90.0 | 136.13 |
| 08/10/2017 | REIDEL, DENNIS | AMERICAN FUNDS DEVELOPING WORLD GRO | 100.00 | 2.75 | 0.00 | 2.75 | 90.0 | 2.48 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$20,488.94 | \$604.06 | \$0.00 | \$604.06 | | \$543.73 |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| 08/11/2017 | KOSS-WINN BANC ESOP | BITCOIN SVCS INC COM | -9,400.00 | 50.00 | 27.00 | 23.00 | 90.0 | 20.70 |
| 08/28/2017 | KOSS-WINN BANC ESOP | BITCOIN SVCS INC COM | 5,280.00 | 50.00 | 27.00 | 23.00 | 90.0 | 20.70 |
| 08/11/2017 | KOSS-WINN BANC ESOP | BTCS INC COM NEW | -6,240.00 | 50.00 | 27.00 | 23.00 | 90.0 | 20.70 |
| 08/28/2017 | KOSS-WINN BANC ESOP | BTCS INC COM NEW | 5,240.00 | 50.00 | 27.00 | 23.00 | 90.0 | 20.70 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------|---------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| 08/11/2017 | KOSS-WINN BANC ESOP | GLOBAL ARENA HLDG INC COM | -10,120.00 | 50.00 | 27.00 | 23.00 | 90.0 | 20.70 |
| 08/28/2017 | KOSS-WINN BANC ESOP | GLOBAL ARENA HLDG INC COM | 5,800.00 | 50.00 | 27.00 | 23.00 | 90.0 | 20.70 |
| * STOCKS SUBTOTAL * | | | \$-9,440.00 | \$300.00 | \$162.00 | \$138.00 | | \$124.20 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$811,048.94 | \$55,282.46 | \$162.00 | \$55,120.46 | | \$49,608.51 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$49,608.51 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|--------------|
| Check Amount | \$49,608.51 |
| Year-to-date Gross | \$280,194.93 |
| Year-to-date Earnings | \$250,091.83 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed