

STATEMENT

May 20, 2018

DEAN WELLS

689 W Morgan St

SUITE 620

Spencer, IN 47460

BROKER #: 156

BRANCH:

COMMISSION STATEMENT for DEAN WELLS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/09/0018	#62060070404	LEFFEL, KEVIN	COLLEGE C	26.23	0.26	90.0	0.00	0.23	0.00	0.23
03/09/0018	#62060070404	LEFFEL, KEVIN	LARGE CAP INDEX PORTFOLIO CLASS C	33.75	0.34	90.0	0.00	0.31	0.00	0.31
03/09/0018	#62060070404	LEFFEL, KEVIN	PIMCO TOTAL RETURN PORTFOLIO CLASS	15.00	0.15	90.0	0.00	0.14	0.00	0.14
04/01/0018	#62932702301	CLAMPITT	2028 ENROLLMENT PORTFOLIO CLASS A	0.00	0.62	90.0	0.00	0.56	0.00	0.56
04/01/0018	#62060070404	LEFFEL, KEVIN	COLLEGE C	0.00	2.74	90.0	0.00	2.47	0.00	2.47
04/01/0018	#62347804301	HOOVER, DANIEL	COLLEGE C	0.00	2.26	90.0	0.00	2.03	0.00	2.03
04/01/0018	#62347804302	HOOVER, DANIEL	COLLEGE CHOICE	0.00	0.24	90.0	0.00	0.22	0.00	0.22
04/01/0018	#62664558602	BLANDFORD,	COLLEGE CHOICE	0.00	4.42	90.0	0.00	3.98	0.00	3.98
04/01/0018	#62281123601	WILLIAMS,	COLLEGE CHOICE 194524385	0.00	0.01	90.0	0.00	0.01	0.00	0.01
04/01/0018	#62281123602	BLANDFORD,	COLLEGE CHOICE 194524385	0.00	0.05	90.0	0.00	0.05	0.00	0.05
04/01/0018	#62664558602	BLANDFORD,	COLLEGE PORTFOLIO CLASS C	0.00	0.12	90.0	0.00	0.11	0.00	0.11
04/01/0018	#62060070403	LEFFEL, KEVIN	INA DIAMOND HILL SMALL MID CAP PORT	0.00	0.01	90.0	0.00	0.01	0.00	0.01
04/01/0018	#62060070404	LEFFEL, KEVIN	INA DIAMOND HILL SMALL MID CAP PORT	0.00	1.32	90.0	0.00	1.19	0.00	1.19
04/01/0018	#62256659201	HOOVER, DANIEL	INA DIAMOND HILL SMALL MID CAP PORT	0.00	2.81	90.0	0.00	2.53	0.00	2.53
04/01/0018	#62256659202	HOOVER, DANIEL	INA DIAMOND HILL SMALL MID CAP PORT	0.00	2.03	90.0	0.00	1.83	0.00	1.83
04/01/0018	#62281123601	WILLIAMS,	INA DIAMOND HILL SMALL MID CAP PORT	0.00	0.01	90.0	0.00	0.01	0.00	0.01
04/01/0018	#62281123602	BLANDFORD,	INA DIAMOND HILL SMALL MID CAP PORT	0.00	0.05	90.0	0.00	0.05	0.00	0.05
04/01/0018	#62347804301	HOOVER, DANIEL	INA DIAMOND HILL SMALL MID CAP PORT	0.00	1.94	90.0	0.00	1.75	0.00	1.75
04/01/0018	#62347804302	HOOVER, DANIEL	INA DIAMOND HILL SMALL MID CAP PORT	0.00	0.32	90.0	0.00	0.29	0.00	0.29
04/01/0018	#62281123601	WILLIAMS,	INA INTER EQTY INDEX PORT A	0.00	0.01	90.0	0.00	0.01	0.00	0.01
04/01/0018	#62281123602	BLANDFORD,	INA INTER EQTY INDEX PORT A	0.00	0.01	90.0	0.00	0.01	0.00	0.01
04/01/0018	#62347804302	HOOVER, DANIEL	INA SMALL CAP EQUITY INDEX PORT A	0.00	0.34	90.0	0.00	0.31	0.00	0.31
04/01/0018	#62347804301	HOOVER, DANIEL	INA T ROWE PRICE LG CAP GROWTH PO C	0.00	2.77	90.0	0.00	2.49	0.00	2.49
04/01/0018	#62576293101	WELLS, DEAN	INA T ROWE PRICE LG CAP GROWTH PO C	0.00	3.25	90.0	0.00	2.93	0.00	2.93
04/01/0018	#62060070403	LEFFEL, KEVIN	LARGE CAP INDEX PORTFOLIO CLASS A	0.00	0.03	90.0	0.00	0.03	0.00	0.03
04/01/0018	#62060070404	LEFFEL, KEVIN	LARGE CAP INDEX PORTFOLIO CLASS A	0.00	1.84	90.0	0.00	1.66	0.00	1.66
04/01/0018	#62256659201	HOOVER, DANIEL	LARGE CAP INDEX PORTFOLIO CLASS A	0.00	2.90	90.0	0.00	2.61	0.00	2.61
04/01/0018	#62256659202	HOOVER, DANIEL	LARGE CAP INDEX PORTFOLIO CLASS A	0.00	2.11	90.0	0.00	1.90	0.00	1.90

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/01/0018	#62281123601 WILLIAMS,	LARGE CAP INDEX PORTFOLIO CLASS A	0.00	0.02	90.0	0.00	0.02	0.00	0.02
04/01/0018	#62281123602 BLANDFORD,	LARGE CAP INDEX PORTFOLIO CLASS A	0.00	1.29	90.0	0.00	1.16	0.00	1.16
04/01/0018	#62347804301 HOOVER, DANIEL	LARGE CAP INDEX PORTFOLIO CLASS A	0.00	2.01	90.0	0.00	1.81	0.00	1.81
04/01/0018	#62347804302 HOOVER, DANIEL	LARGE CAP INDEX PORTFOLIO CLASS A	0.00	0.68	90.0	0.00	0.61	0.00	0.61
04/01/0018	#62060070404 LEFFEL, KEVIN	LARGE CAP INDEX PORTFOLIO CLASS C	0.00	3.96	90.0	0.00	3.56	0.00	3.56
04/01/0018	#62347804301 HOOVER, DANIEL	LARGE CAP INDEX PORTFOLIO CLASS C	0.00	2.35	90.0	0.00	2.12	0.00	2.12
04/01/0018	#62060070404 LEFFEL, KEVIN	PIMCO TOTAL RETURN PORTFOLIO CLASS	0.00	1.30	90.0	0.00	1.17	0.00	1.17
04/01/0018	#62060070404 LEFFEL, KEVIN	PIMCO TOTAL RETURN PORTFOLIO CLASS	0.00	0.58	90.0	0.00	0.52	0.00	0.52
04/01/0018	#62256659201 HOOVER, DANIEL	T ROWE PRICE LARGE CAP GROWTH CLASS	0.00	3.41	90.0	0.00	3.07	0.00	3.07
04/01/0018	#62256659202 HOOVER, DANIEL	T ROWE PRICE LARGE CAP GROWTH CLASS	0.00	2.47	90.0	0.00	2.22	0.00	2.22
04/01/0018	#62281123601 WILLIAMS,	T ROWE PRICE LARGE CAP GROWTH CLASS	0.00	0.02	90.0	0.00	0.02	0.00	0.02
04/01/0018	#62281123602 BLANDFORD,	T ROWE PRICE LARGE CAP GROWTH CLASS	0.00	0.07	90.0	0.00	0.06	0.00	0.06
04/01/0018	#62347804301 HOOVER, DANIEL	T ROWE PRICE LARGE CAP GROWTH CLASS	0.00	2.36	90.0	0.00	2.12	0.00	2.12
04/01/0018	#62347804302 HOOVER, DANIEL	T ROWE PRICE LARGE CAP GROWTH CLASS	0.00	0.75	90.0	0.00	0.68	0.00	0.68
04/03/0018	#62664558602 BLANDFORD,	COLLEGE PORTFOLIO CLASS C	200.00	2.00	90.0	0.00	1.80	0.00	1.80
04/03/0018	#62281123602 BLANDFORD,	LARGE CAP INDEX PORTFOLIO CLASS A	25.00	1.19	90.0	0.00	1.07	0.00	1.07
04/10/0201	#62664558602 BLANDFORD,	COLLEGE PORTFOLIO CLASS C	4,000.00	40.00	90.0	0.00	36.00	0.00	36.00
04/16/2018	#04000925091 SHRUM, JERRY	AMCAP FUND INC CL C	1,000.00	10.00	90.0	0.00	9.00	0.00	9.00
04/16/2018	#04000925091 SHRUM, JERRY	AMERICAN BALANCED FUND INC CL C	1,000.00	10.00	90.0	0.00	9.00	0.00	9.00
04/16/2018	#04000925091 SHRUM, JERRY	AMERICAN MUTUAL FUND INC CL C	2,000.00	20.00	90.0	0.00	18.00	0.00	18.00
04/16/2018	#04000925091 SHRUM, JERRY	INCOME FUND AMER INC CL C	1,000.00	10.00	90.0	0.00	9.00	0.00	9.00
04/17/2018	#04000929690 WELLS, MICHELLE	AMCAP FUND INC CLASS A	750.00	37.50	90.0	0.00	33.75	0.00	33.75
04/17/2018	#04000929690 WELLS, MICHELLE	AMERICAN BALANCED FUND INC CLASS A	750.00	37.50	90.0	0.00	33.75	0.00	33.75
04/17/2018	#04000929690 WELLS, MICHELLE	AMERICAN MUTUAL FUND INC CLASS A	750.00	37.50	90.0	0.00	33.75	0.00	33.75
04/17/2018	#04000929690 WELLS, MICHELLE	INCOME FUND OF AMERICA INC CLASS A	750.00	37.50	90.0	0.00	33.75	0.00	33.75
04/18/2018	# YAPP, BERNADETTE	ARTISAN FUNDS INC MID CAP FUND	300.00	0.00	90.0	0.00	0.00	20.00	-20.00
04/19/2018	#04000935407 LESLIE,	AMCAP FUND INC CL C	1,625.00	16.25	90.0	0.00	14.63	0.00	14.63
04/19/2018	#04000935435 LESLIE, BRUCE	AMCAP FUND INC CL C	270.00	2.70	90.0	0.00	2.43	0.00	2.43
04/19/2018	#04000935407 LESLIE,	AMERICAN BALANCED FUND INC CL C	1,625.00	16.25	90.0	0.00	14.63	0.00	14.63
04/19/2018	#04000935435 LESLIE, BRUCE	AMERICAN BALANCED FUND INC CL C	270.00	2.70	90.0	0.00	2.43	0.00	2.43
04/19/2018	#04000935407 LESLIE,	AMERICAN MUTUAL FUND INC CL C	1,625.00	16.25	90.0	0.00	14.63	0.00	14.63
04/19/2018	#04000935435 LESLIE, BRUCE	AMERICAN MUTUAL FUND INC CL C	270.00	2.70	90.0	0.00	2.43	0.00	2.43
04/19/2018	#04000935407 LESLIE,	INCOME FUND AMER INC CL C	1,625.00	16.25	90.0	0.00	14.63	0.00	14.63
04/19/2018	#04000935435 LESLIE, BRUCE	INCOME FUND AMER INC CL C	270.00	2.70	90.0	0.00	2.43	0.00	2.43
* MUTUAL FUNDS SUBTOTAL *			\$20,179.98	\$373.22		\$0.00	\$335.97	\$20.00	\$315.97

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
03/01/0018	#711369612	BUELL, ROBERTA	HARTFORD LIFE	0.00	23.26	90.0	0.00	20.93	20.93
03/01/0018	#712514366	KOGER, CONNIE	HARTFORD LIFE	0.00	1.80	90.0	0.00	1.62	1.62
04/06/0018	#00007718267	BRINSON,	BRIGHTHOUSE MVLAC	0.00	0.18	90.0	0.00	0.16	0.16
04/06/0018	#00007718268	BRINSON,	BRIGHTHOUSE MVLAC	0.00	0.39	90.0	0.00	0.35	0.35
04/06/0018	#00007718269	BRINSON,	BRIGHTHOUSE MVLAC	0.00	0.35	90.0	0.00	0.32	0.32
			* VARIABLE ANNUITIES SUBTOTAL *	\$0.00	\$25.98		\$0.00	\$23.38	\$23.38
<u>PRODUCT CATEGORY: RIA</u>									
04/05/0018	#4Z7831752	FLORES, KATHRYN	HANLON	0.00	291.51	100.0	0.00	291.51	291.51
			* RIA SUBTOTAL *	\$0.00	\$291.51		\$0.00	\$291.51	\$291.51
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/01/2018	#06910177592	VARIOUS WELLS	INVESCO CASH RESERVE SHARES	0.00	0.34	90.0	0.00	0.31	0.31
04/01/2018	#06910177592	VARIOUS WELLS	INVESCO DEVELOPING MARKETS FUND CL	0.00	0.84	90.0	0.00	0.76	0.76
04/01/2018	#06910177592	VARIOUS WELLS	INVESCO HIGH YIELD FUND CL A SHS	0.00	0.88	90.0	0.00	0.79	0.79
04/01/2018	#06910177592	VARIOUS WELLS	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	2.22	90.0	0.00	2.00	2.00
04/01/2018	#06910177592	VARIOUS WELLS	INVESCO VAN KAMPEN MID CAP GROWTH F	0.00	1.57	90.0	0.00	1.41	1.41
04/01/2018	#06910177592	VARIOUS WELLS	INVESCO VAN KAMPEN VALUE OPPORTUNIT	0.00	1.47	90.0	0.00	1.32	1.32
04/02/2018	#00214767874		PUTNAM EQUITY INCOME FUND NEW-CL A	0.00	7.90	90.0	0.00	7.11	7.11
04/02/2018	#00214738435		PUTNAM GROWTH OPPORTUNITIES FUND-CL	0.00	9.15	90.0	0.00	8.24	8.24
04/03/2018	#08900012357	MONIX, EDWARD	PRINCIPAL FDS INC GLOBAL DIVERSIFIE	0.00	0.32	90.0	0.00	0.29	0.29
04/03/2018	#08900012357	MONIX, EDWARD	PRINCIPAL FDS INC SML MIDCAP DIVIDE	0.00	0.40	90.0	0.00	0.36	0.36
04/03/2018	#08900039892	WELLS UTMA IN,	PRINCIPAL INVS FD INC	0.00	0.12	90.0	0.00	0.11	0.11
04/03/2018	#08900039893	WELLS UTMA IN,	PRINCIPAL INVS FD INC	0.00	0.12	90.0	0.00	0.11	0.11
04/03/2018	#08900039898	WELLS UTMA IN,	PRINCIPAL INVS FD INC	0.00	0.10	90.0	0.00	0.09	0.09
04/03/2018	#08900012357	MONIX, EDWARD	PRINCIPAL INVS FD INC CAPITAL APPRE	0.00	0.42	90.0	0.00	0.38	0.38
04/03/2018	#08900012357	MONIX, EDWARD	PRINCIPAL INVS FD INC EQUITY INCOME	0.00	0.38	90.0	0.00	0.34	0.34
04/03/2018	#08900012357	MONIX, EDWARD	PRINCIPAL INVS FD INC MIDCAP BLEND	0.00	0.43	90.0	0.00	0.39	0.39
04/03/2018	#08900012357	MONIX, EDWARD	PRINCIPAL INVS FD INC REAL ESTATE S	0.00	0.31	90.0	0.00	0.28	0.28
04/03/2018	#00015232446	FYAN, THOMAS	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	2.85	90.0	0.00	2.57	2.57
04/03/2018	#08900023334	DUNCAN, LACEY	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	0.87	90.0	0.00	0.78	0.78
04/03/2018	#08900044381	BLANDFORD,	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	1.52	90.0	0.00	1.37	1.37
04/03/2018	#08900044535	BLANDFORD,	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	1.23	90.0	0.00	1.11	1.11
04/03/2018	#08900056028	WELLS, MICHELLE	PRINCIPAL INVS FD INC STRTIC ASST M	0.00	1.27	90.0	0.00	1.14	1.14
04/03/2018	#08900014069	WILLIAMS,	S A M BALANCED PORTFOLIO (C)	0.00	13.57	90.0	0.00	12.21	12.21
04/03/2018	#08900032969	MCKINZIE, SARAH	S A M CONSERVATIVE GROWTH PORT (C)	0.00	2.81	90.0	0.00	2.53	2.53

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
04/03/2018	#08900032968 MCKINZIE,	S A M STRATEGIC GROWTH PORTFOLIO (C	0.00	9.38	90.0	0.00	8.44	0.00	8.44
04/10/2018	# YAPP, BERNADETTE	DAIN TRAILER	0.00	0.48	90.0	0.00	0.43	0.00	0.43
04/10/2018	# MCCALLISTER, ROBIN	DAIN TRAILER	0.00	0.63	90.0	0.00	0.57	0.00	0.57
04/10/2018	# MCCALLISTER, ROBIN	DAIN TRAILER	0.00	1.09	90.0	0.00	0.98	0.00	0.98
04/10/2018	# MCCALLISTER, ROBIN	DAIN TRAILER	0.00	0.90	90.0	0.00	0.81	0.00	0.81
04/10/2018	# WELLS, INA	DAIN TRAILER	0.00	2.82	90.0	0.00	2.54	0.00	2.54
04/10/2018	# BUELL, ROBERTA	DAIN TRAILER	0.00	36.95	90.0	0.00	33.26	0.00	33.26
04/10/2018	# BUELL, ROBERTA	DAIN TRAILER	0.00	2.34	90.0	0.00	2.11	0.00	2.11
04/10/2018	# BUELL, ROBERTA	DAIN TRAILER	0.00	3.12	90.0	0.00	2.81	0.00	2.81
04/10/2018	# BUELL, ROBERTA	DAIN TRAILER	0.00	2.26	90.0	0.00	2.03	0.00	2.03
04/10/2018	# LEFFEL, KEVIN	DAIN TRAILER	0.00	14.65	90.0	0.00	13.19	0.00	13.19
04/10/2018	# CRAIG, CHERYL	DAIN TRAILER	0.00	0.97	90.0	0.00	0.87	0.00	0.87
04/10/2018	# CRAIG, CHERYL	DAIN TRAILER	0.00	1.68	90.0	0.00	1.51	0.00	1.51
04/12/2018	#00036085741 VARIOUS WELLS	IVY HIGH INCOME C	0.00	86.12	90.0	0.00	77.51	0.00	77.51
04/25/2018	# CRAIG, CHERYL	DAIN TRAILER	0.00	0.44	90.0	0.00	0.40	0.00	0.40
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$214.92		\$0.00	\$193.46	\$0.00	\$193.46
*** BROKER TRANSACTIONS TOTAL ***			\$20,179.98	\$905.63		\$0.00	\$844.32	\$20.00	\$824.32

ADJUSTMENTS

05/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
05/20/2018	CIRCLEBLACK: CIRCLEBLACK	-175.00
*** ADJUSTMENTS TOTAL ***		\$-744.00

BROKER COMMISSION TOTALS

Broker Transactions	\$824.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-744.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-3,199.92
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-3,119.60
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