



All Companies
COMMISSION STATEMENT
August 01, 2018

07/30/2018
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JUDE RAMON L. BARCENAS
3201 WAREHAM CIRCLE

BROKER #: HOUSE Terminated 11/17/2013
BRANCH: HOME OFFICE

Richardson, TX 75082-

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	163.27	163.27	0.00	163.27	60.0	97.96
07/10/2018	JOSE, ANTONIO C.	-INVESCO FAMILY OF FUNDS	B	50.00	2.50	0.00	2.50	60.0	1.50
07/10/2018	000000006329360 EVANGELISTA, AURELIO/MONIQUE	-FRANKLIN TEMPLETON	B	50.00	0.50	0.00	0.50	60.0	0.30
07/10/2018	000000006330997 EVANGELISTA, AURELIO/BEATRIC	-FRANKLIN TEMPLETON	B	50.00	0.50	0.00	0.50	60.0	0.30
07/10/2018	000000006330997 EVANGELISTA, AURELIO/BEATRIC	-FRANKLIN TEMPLETON	B	75.00	0.75	0.00	0.75	60.0	0.45
07/10/2018	00059867817 AUSTRIA, FELIX	AMERICAN FUNDS INVESTMENT COMP OF A B		200.00	10.00	0.00	10.00	60.0	6.00
07/10/2018	00060555032 JOSE, ANTONIO C.	AMERICAN FUNDS NEW ECONOMY FD	B	50.00	1.88	0.00	1.88	60.0	1.13
07/10/2018	00060555033 JOSE, ANICIA P.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88	60.0	1.13
07/10/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50	60.0	0.90
07/10/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS CAPITAL WORLD BD FD B		40.00	1.20	0.00	1.20	60.0	0.72
07/10/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20	60.0	0.72
07/10/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS INCOME FD OF AMERI B		40.00	1.50	0.00	1.50	60.0	0.90
07/10/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50	60.0	0.90
07/10/2018	00061689866 JAVELOSA, SANDRA M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		200.00	7.50	0.00	7.50	60.0	4.50
07/16/2018	00062049074 CALUNGSUD, KELLY M.	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	1.88	0.00	1.88	60.0	1.13
07/16/2018	00062049074 CALUNGSUD, KELLY M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	1.88	0.00	1.88	60.0	1.13
07/16/2018	00062049074 CALUNGSUD, KELLY M.	AMERICAN FUNDS HIGH INCOME TR FD	B	50.00	1.50	0.00	1.50	60.0	0.90
07/16/2018	00062049074 CALUNGSUD, KELLY M.	NEW WORLD FUND-A	B	50.00	1.88	0.00	1.88	60.0	1.13
07/16/2018	00066945809 BARCENAS, RODOLFO L.	AMERICAN HIGH-INCOME TRUST-C	B	100.00	1.00	0.00	1.00	60.0	0.60
07/16/2018	00066945809 BARCENAS, RODOLFO L.	AMERICAN MUTUAL FUND-C	B	110.00	1.10	0.00	1.10	60.0	0.66
07/16/2018	00066945809 BARCENAS, RODOLFO	CAPITAL WORLD BOND FUND-C	B	80.00	0.80	0.00	0.80	60.0	0.48

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	L.								
07/16/2018	00066945809 BARCENAS, RODOLFO	THE INCOME FUND OF AMERICA-C	B	100.00	1.00	0.00	1.00	60.0	0.60
	L.								
07/16/2018	00066945809 BARCENAS, RODOLFO	THE NEW ECONOMY FUND-C	B	110.00	1.10	0.00	1.10	60.0	0.66
	L.								
07/16/2018	00073580088 FILOTEO-GONZALES, AMERICAN FUNDS - GENERAL		B	30.00	0.83	0.00	0.83	60.0	0.50
	JUDELIA/ISABEL								
07/16/2018	00073580088 FILOTEO-GONZALES, AMERICAN MUTUAL FUND-529A		B	30.00	0.83	0.00	0.83	60.0	0.50
	JUDELIA/ISABEL								
07/16/2018	00073580088 FILOTEO-GONZALES, CAPITAL WORLD BOND FUND-529A		B	30.00	0.83	0.00	0.83	60.0	0.50
	JUDELIA/ISABEL								
07/16/2018	00073580088 FILOTEO-GONZALES, THE INCOME FUND OF AMERICA-529A		B	30.00	0.83	0.00	0.83	60.0	0.50
	JUDELIA/ISABEL								
07/16/2018	00073580088 FILOTEO-GONZALES, THE NEW ECONOMY FUND-529A		B	30.00	0.83	0.00	0.83	60.0	0.50
	JUDELIA/ISABEL								
07/16/2018	00075871895 SEGUNDO, CAPITAL WORLD GROWTH AND INCOME-529 B			50.00	2.50	0.00	2.50	60.0	1.50
	LORENCE/DANIEL								
07/20/2018	00075984574 GARCIA, RODOLFO C. AMERICAN FUNDS AMERICAN MUTUAL FD		B	40.00	1.50	0.00	1.50	60.0	0.90
07/20/2018	00075984574 GARCIA, RODOLFO C. AMERICAN FUNDS CAPITAL WORLD BD FD B			40.00	1.20	0.00	1.20	60.0	0.72
07/20/2018	00075984574 GARCIA, RODOLFO C. AMERICAN FUNDS HIGH INCOME TR FD		B	40.00	1.20	0.00	1.20	60.0	0.72
07/20/2018	00075984574 GARCIA, RODOLFO C. AMERICAN FUNDS INCOME FD OF AMERI B			40.00	1.50	0.00	1.50	60.0	0.90
07/20/2018	00075984574 GARCIA, RODOLFO C. AMERICAN FUNDS NEW ECONOMY FD		B	40.00	1.50	0.00	1.50	60.0	0.90
07/20/2018	00075984620 GARCIA, MARIVIC D. AMERICAN FUNDS AMERICAN MUTUAL FD		B	40.00	1.50	0.00	1.50	60.0	0.90
07/20/2018	00075984620 GARCIA, MARIVIC D. AMERICAN FUNDS CAPITAL WORLD BD FD B			40.00	1.20	0.00	1.20	60.0	0.72
07/20/2018	00075984620 GARCIA, MARIVIC D. AMERICAN FUNDS HIGH INCOME TR FD		B	40.00	1.20	0.00	1.20	60.0	0.72
07/20/2018	00075984620 GARCIA, MARIVIC D. AMERICAN FUNDS INCOME FD OF AMERI B			40.00	1.50	0.00	1.50	60.0	0.90
07/20/2018	00075984620 GARCIA, MARIVIC D. AMERICAN FUNDS NEW ECONOMY FD		B	40.00	1.50	0.00	1.50	60.0	0.90
07/16/2018	00081127981 GARCIA, AMERICAN FUNDS - GENERAL		B	30.00	0.90	0.00	0.90	60.0	0.54
	RODOLFO/RODIVIC								
07/16/2018	00081127981 GARCIA, AMERICAN MUTUAL FUND-529A		B	30.00	1.13	0.00	1.13	60.0	0.68
	RODOLFO/RODIVIC								
07/16/2018	00081127981 GARCIA, CAPITAL WORLD BOND FUND-529A		B	30.00	0.90	0.00	0.90	60.0	0.54
	RODOLFO/RODIVIC								
07/16/2018	00081127981 GARCIA, THE INCOME FUND OF AMERICA-529A		B	30.00	1.13	0.00	1.13	60.0	0.68
	RODOLFO/RODIVIC								
07/16/2018	00081127981 GARCIA, THE NEW ECONOMY FUND-529A		B	30.00	1.13	0.00	1.13	60.0	0.68
	RODOLFO/RODIVIC								
07/16/2018	00082732703 CABRERA, IVY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	4.25	0.00	4.25	60.0	2.55
07/16/2018	00083580212 MASINAG, AMERICAN FUNDS - GENERAL		B	100.00	3.00	0.00	3.00	60.0	1.80
	NELSON/KEVIN								

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/16/2018	00083580212 MASINAG, NELSON/KEVIN	AMERICAN MUTUAL FUND-529A	B	100.00	3.75	0.00	3.75	60.0	2.25
07/16/2018	00083580212 MASINAG, NELSON/KEVIN	CAPITAL WORLD BOND FUND-529A	B	100.00	3.00	0.00	3.00	60.0	1.80
07/16/2018	00083580212 MASINAG, NELSON/KEVIN	THE INCOME FUND OF AMERICA-529A	B	100.00	3.75	0.00	3.75	60.0	2.25
07/16/2018	00083580212 MASINAG, NELSON/KEVIN	THE NEW ECONOMY FUND-529A	B	100.00	3.75	0.00	3.75	60.0	2.25
07/10/2018	00087696729 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00	60.0	3.00
07/10/2018	00087696759 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00	60.0	3.00
07/02/2018	2203006069 TACUYAN, JERRY	OPPENHEIMER US GOVT TRUST FD CL A B		50.00	1.00	0.00	1.00	60.0	0.60
07/02/2018	2304545753 TACUYAN, JERRY	OPPENHEIMER STRATEGIC INCOME FD CL B		50.00	1.88	0.00	1.88	60.0	1.13
* MUTUAL FUNDS SUBTOTAL *				\$3,438.27	\$267.84	\$0.00	\$267.84		\$160.73
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/16/2018	ALONZO, KELLY/KACEY	-PACIFIC LIFE INSURANCE	B	34.75	0.70	0.00	0.70	60.0	0.42
07/16/2018	ALONZO, KELLY/KYLER C.	-PACIFIC LIFE INSURANCE	B	17.83	0.36	0.00	0.36	60.0	0.22
07/16/2018	ALONZO, KELLY/KYLER C.	-PACIFIC LIFE INSURANCE	B	72.10	1.44	0.00	1.44	60.0	0.86
07/16/2018	DEVEZA, NENITA	-PACIFIC LIFE INSURANCE	B	283.17	8.50	0.00	8.50	60.0	5.10
07/10/2018	TRAILS	LINCOLN BENEFIT LIFE	B	8.84	8.84	0.00	8.84	60.0	5.30
* VARIABLE ANNUITIES SUBTOTAL *				\$416.69	\$19.84	\$0.00	\$19.84		\$11.90
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	5.56	0.00	5.56	60.0	3.34
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$5.56	\$0.00	\$5.56		\$3.34
*** BROKER TRANSACTIONS TOTAL ***				\$3,854.96	\$293.24	\$0.00	\$293.24		\$175.97

BROKER COMMISSION TOTALS

Broker Transactions	\$175.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$175.97
Year-to-date Earnings	\$9,323.03