



COMMISSION STATEMENT

February 05, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/30/2018	***-**-736I - UNGER, HELEN ACCOUNT #: LSR011196	PACIFIC FUNDS FLOAT RATE INCOME CL SELL 10 Units @10.1700	100.00	0.00	0.00	0.00	70.0	0.00
01/30/2018	***-**-736I - UNGER, HELEN ACCOUNT #: LSR011196	PACIFIC FUNDS STRATEGIC INC FD A SELL 9 Units @10.9200	100.01	0.00	0.00	0.00	70.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$200.01	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/01/2018	***-**-26IR - CORDONE, EILEEN ACCOUNT #: 119193LK8	TRANSAMERICA CUSIP 89353N105-NFS BUY 0 Units @0.0000	150.00	10.80	0.00	10.80	70.0	7.56
* VARIABLE ANNUITIES SUBTOTAL *			\$150.00	\$10.80	\$0.00	\$10.80		\$7.56
<u>PRODUCT CATEGORY: RIA</u>								
01/31/2018	***-**-216I - COOK, CHARLES ACCOUNT #: 598603	SYMMETRY RIA BUY 0 Units @3.2073	32,073.25	80.18	0.00	80.18	70.0	56.13
01/31/2018	***-**-673I - CZUBIK, ACCOUNT #: 598877	SYMMETRY RIA BUY 0 Units @9.0268	90,268.96	225.67	0.00	225.67	70.0	157.97
01/31/2018	***-**-51FB - HANSEN, DEBRA ACCOUNT #: 601213	SYMMETRY RIA BUY 0 Units @1.8160	18,160.96	45.40	0.00	45.40	70.0	31.78
01/31/2018	***-**-52FB - LIBBY, KENNETH ACCOUNT #: 601226	SYMMETRY RIA BUY 0 Units @7.2365	72,365.13	180.91	0.00	180.91	70.0	126.64
01/31/2018	***-**-81FB - BRANT, CHRIS ACCOUNT #: 602506	SYMMETRY RIA BUY 0 Units @5.1754	51,754.39	129.39	0.00	129.39	70.0	90.57
01/31/2018	***-**-41FB - SHELDON, DOUGLAS ACCOUNT #: 609208	SYMMETRY RIA BUY 0 Units @1.3380	13,380.43	33.45	0.00	33.45	70.0	23.42
01/31/2018	***-**-43FB - NEW ENGLAND ACCOUNT #: SYM22	SYMMETRY RIA BUY 0 Units @4.6468	46,468.86	116.17	0.00	116.17	70.0	81.32
01/31/2018	***-**-674I - RAMSEY, MARION ACCOUNT #: 645187	SYMMETRY RIA BUY 0 Units @3.6268	36,268.72	27.60	0.00	27.60	70.0	19.32
* RIA SUBTOTAL *			\$360,740.70	\$838.77	\$0.00	\$838.77		\$587.15



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN</u>								
02/01/2018	***-**-925P - FENGLER, DANIEL	CHET 529	100.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 68939901201	BUY 0 Units @0.0000						
02/05/2018	***-**-26IN - SANTOS, FATIMA	CHET 529	200.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 68526609201	BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$300.00	\$7.50	\$0.00	\$7.50		\$5.25
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/05/2018	***-**-674I - RAMSEY, MARION	RESOURCE REAL ESTATES-NFS	0.00	2.11	0.00	2.11	70.0	1.48
	ACCOUNT #: LSR012275	BUY 1 Units @0.0000						
02/05/2018	***-**-732J - FARQUHAR,	RESOURCE REAL ESTATES-NFS	0.00	0.26	0.00	0.26	70.0	0.18
	ACCOUNT #: LSR012313	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$2.37	\$0.00	\$2.37		\$1.66
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/31/2018	***-**-962I - MATERA, PATRICK	METLIFE VA TRAILS	0.00	0.71	0.00	0.71	70.0	0.50
	ACCOUNT #: 073137278	BUY 1 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$0.71	\$0.00	\$0.71		\$0.50
		*** BROKER TRANSACTIONS TOTAL ***	\$361,390.71	\$860.15	\$0.00	\$860.15		\$602.12



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
Broker Transactions \$602.12								
Split Transactions \$0.00								
Override Transactions \$0.00								
Adjustments \$0.00								
Payroll Draw \$0.00								
Base Salary \$0.00								
Prior Period Balance \$0.00								
FINRA Assessment \$0.00								
SIPC Assessment \$0.00								
Net Commission Earned \$602.12								
Year-to-date Earnings \$602.12								