

# STATEMENT

February 20, 2018

STEPHEN PLOUCHER

BROKER #: 038

BRANCH: LA BRANCH

, CA

## COMMISSION STATEMENT for STEPHEN PLOUCHER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: STOCKS

01/19/2018 #	SOMMERS, LESTER	BEST BUY COMPANY INC	B	45,881.10	10.00	0.00	0.00	10.00	90.0	9.00
* STOCKS SUBTOTAL *				\$45,881.10	\$10.00	\$0.00	\$0.00	\$10.00		\$9.00

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/09/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	6,000.00	225.00	0.00	0.00	225.00	90.0	202.50
01/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	20,000.00	750.00	0.00	0.00	750.00	90.0	675.00
01/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	20,000.00	600.00	0.00	0.00	600.00	90.0	540.00
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	1.87	0.00	0.00	1.87	90.0	1.68
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.98	0.00	0.00	2.98	90.0	2.68
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.48	0.00	0.00	2.48	90.0	2.23
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	8.11	0.00	0.00	8.11	90.0	7.30
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.34	0.00	0.00	2.34	90.0	2.11
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.69	0.00	0.00	2.69	90.0	2.42
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	41.29	0.00	0.00	41.29	90.0	37.16
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	10.97	0.00	0.00	10.97	90.0	9.87
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	19.22	0.00	0.00	19.22	90.0	17.30
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	19.73	0.00	0.00	19.73	90.0	17.76
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	3.77	0.00	0.00	3.77	90.0	3.39
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	8.79	0.00	0.00	8.79	90.0	7.91
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	13.58	0.00	0.00	13.58	90.0	12.22
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.22	0.00	0.00	2.22	90.0	2.00
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	19.96	0.00	0.00	19.96	90.0	17.96
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	27.42	0.00	0.00	27.42	90.0	24.68
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.67	0.00	0.00	2.67	90.0	2.40
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	4.34	0.00	0.00	4.34	90.0	3.91
01/10/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	4.18	0.00	0.00	4.18	90.0	3.76
01/10/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	3.54	0.00	0.00	3.54	90.0	3.19
01/10/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	0.32	0.00	0.00	0.32	90.0	0.29
01/10/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	0.58	0.00	0.00	0.58	90.0	0.52
01/10/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	10.75	0.00	0.00	10.75	90.0	9.68
01/10/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	22.01	0.00	0.00	22.01	90.0	19.81

COMMISSION STATEMENT for STEPHEN PLOUCHER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
01/10/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	5.77	0.00	0.00	5.77	90.0	5.19
01/10/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	12.41	0.00	0.00	12.41	90.0	11.17
01/10/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	3.44	0.00	0.00	3.44	90.0	3.10
01/10/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	6.17	0.00	0.00	6.17	90.0	5.55
01/10/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	0.85	0.00	0.00	0.85	90.0	0.77
01/10/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	1.03	0.00	0.00	1.03	90.0	0.93
01/10/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	0.88	0.00	0.00	0.88	90.0	0.79
01/10/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	1.02	0.00	0.00	1.02	90.0	0.92
01/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	0.54	0.00	0.00	0.54	90.0	0.49
01/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	0.08	0.00	0.00	0.08	90.0	0.07
01/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	0.70	0.00	0.00	0.70	90.0	0.63
01/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	1.21	0.00	0.00	1.21	90.0	1.09
01/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	1.06	0.00	0.00	1.06	90.0	0.95
01/10/2018 #	SMITH, ROBERT	DAIN TRAILER	B	0.00	3.10	0.00	0.00	3.10	90.0	2.79
01/10/2018 #	SMITH, ROBERT	DAIN TRAILER	B	0.00	3.31	0.00	0.00	3.31	90.0	2.98
01/10/2018 #	SMITH, ROBERT	DAIN TRAILER	B	0.00	0.42	0.00	0.00	0.42	90.0	0.38
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	17.11	0.00	0.00	17.11	90.0	15.40
01/10/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	2.96	0.00	0.00	2.96	90.0	2.66
01/10/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	5.47	0.00	0.00	5.47	90.0	4.92
01/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	4.81	0.00	0.00	4.81	90.0	4.33
01/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	2.48	0.00	0.00	2.48	90.0	2.23
01/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	2.13	0.00	0.00	2.13	90.0	1.92
01/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	0.22	0.00	0.00	0.22	90.0	0.20
01/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	4.10	0.00	0.00	4.10	90.0	3.69
01/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	11.15	0.00	0.00	11.15	90.0	10.04
01/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	5.07	0.00	0.00	5.07	90.0	4.56
01/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	6.54	0.00	0.00	6.54	90.0	5.89
01/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	1.57	0.00	0.00	1.57	90.0	1.41
01/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	4.37	0.00	0.00	4.37	90.0	3.93
01/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	5.82	0.00	0.00	5.82	90.0	5.24
01/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	7.87	0.00	0.00	7.87	90.0	7.08
01/10/2018 #	LIUDAHL, JUDITH	DAIN TRAILER	B	0.00	0.82	0.00	0.00	0.82	90.0	0.74
01/10/2018 #	LIUDAHL, JUDITH	DAIN TRAILER	B	0.00	0.77	0.00	0.00	0.77	90.0	0.69
01/10/2018 #	LIUDAHL, JUDITH	DAIN TRAILER	B	0.00	0.81	0.00	0.00	0.81	90.0	0.73
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	7.11	0.00	0.00	7.11	90.0	6.40
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.54	0.00	0.00	2.54	90.0	2.29
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	3.06	0.00	0.00	3.06	90.0	2.75
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	1.77	0.00	0.00	1.77	90.0	1.59
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	4.67	0.00	0.00	4.67	90.0	4.20
01/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.63	0.00	0.00	2.63	90.0	2.37

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.24	0.00	0.00	4.24	90.0	3.82
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.50	0.00	0.00	4.50	90.0	4.05
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	2.39	0.00	0.00	2.39	90.0	2.15
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.44	0.00	0.00	4.44	90.0	4.00
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	5.04	0.00	0.00	5.04	90.0	4.54
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.50	0.00	0.00	4.50	90.0	4.05
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.39	0.00	0.00	4.39	90.0	3.95
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.44	0.00	0.00	4.44	90.0	4.00
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	5.04	0.00	0.00	5.04	90.0	4.54
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.50	0.00	0.00	4.50	90.0	4.05
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.39	0.00	0.00	4.39	90.0	3.95
01/10/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.14	0.00	0.00	2.14	90.0	1.93
01/10/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.03	0.00	0.00	2.03	90.0	1.83
01/10/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.03	0.00	0.00	2.03	90.0	1.83
01/10/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.05	0.00	0.00	2.05	90.0	1.85
01/10/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	1.98	0.00	0.00	1.98	90.0	1.78
01/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.14	0.00	0.00	2.14	90.0	1.93
01/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.03	0.00	0.00	2.03	90.0	1.83
01/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.03	0.00	0.00	2.03	90.0	1.83
01/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.05	0.00	0.00	2.05	90.0	1.85
01/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	1.98	0.00	0.00	1.98	90.0	1.78
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	3.88	0.00	0.00	3.88	90.0	3.49
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	3.90	0.00	0.00	3.90	90.0	3.51
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	5.16	0.00	0.00	5.16	90.0	4.64
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	2.39	0.00	0.00	2.39	90.0	2.15
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	3.49	0.00	0.00	3.49	90.0	3.14
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	5.45	0.00	0.00	5.45	90.0	4.91
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	27.68	0.00	0.00	27.68	90.0	24.91
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	9.05	0.00	0.00	9.05	90.0	8.15
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	9.11	0.00	0.00	9.11	90.0	8.20
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	5.80	0.00	0.00	5.80	90.0	5.22
01/10/2018 #	LIUDAHL, SCOTT	DAIN TRAILER	B	0.00	1.05	0.00	0.00	1.05	90.0	0.95
01/10/2018 #	LIUDAHL, SCOTT	DAIN TRAILER	B	0.00	2.32	0.00	0.00	2.32	90.0	2.09
01/10/2018 #	LIUDAHL, SCOTT	DAIN TRAILER	B	0.00	0.68	0.00	0.00	0.68	90.0	0.61
01/10/2018 #	LIUDAHL, SCOTT	DAIN TRAILER	B	0.00	0.22	0.00	0.00	0.22	90.0	0.20
01/10/2018 #	LIUDAHL, SCOTT	DAIN TRAILER	B	0.00	1.61	0.00	0.00	1.61	90.0	1.45
01/10/2018 #	LIUDAHL, STEVEN	DAIN TRAILER	B	0.00	1.05	0.00	0.00	1.05	90.0	0.95
01/10/2018 #	LIUDAHL, STEVEN	DAIN TRAILER	B	0.00	2.32	0.00	0.00	2.32	90.0	2.09
01/10/2018 #	LIUDAHL, STEVEN	DAIN TRAILER	B	0.00	0.68	0.00	0.00	0.68	90.0	0.61
01/10/2018 #	LIUDAHL, STEVEN	DAIN TRAILER	B	0.00	0.22	0.00	0.00	0.22	90.0	0.20

COMMISSION STATEMENT for STEPHEN PLOUCHER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/10/2018 #	LIUDAH, STEVEN	DAIN TRAILER	B	0.00	1.61	0.00	0.00	1.61	90.0	1.45
01/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.44	0.00	0.00	4.44	90.0	4.00
01/12/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	1.20	0.00	0.00	1.20	90.0	1.08
01/12/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	11.13	0.00	0.00	11.13	90.0	10.02
01/19/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	25,000.00	812.50	0.00	0.00	812.50	90.0	731.25
01/19/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	25,000.00	500.00	0.00	0.00	500.00	90.0	450.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$96,000.00	\$3,443.92	\$0.00	\$0.00	\$3,443.92		\$3,099.60
*** BROKER TRANSACTIONS TOTAL ***				\$141,881.10	\$3,453.92	\$0.00	\$0.00	\$3,453.92		\$3,108.60

BROKER COMMISSION TOTALS

Broker Transactions	\$3,108.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,108.60
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