

## COMMISSION STATEMENT

February 28, 2018

WILLIAM BEHRENS  
350 Grovers Avenue

BROKER #: 73  
BRANCH: HOME OFFICE

Bridgeport, CT 06605-

## COMMISSION STATEMENT for WILLIAM BEHRENS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
02/08/2018	75501355	BEHRENS, WILLIAM		CLEVELAND CLIFFS INC COM	B	6,526.50	60.00	0.00	60.00	70.0	42.00
01/30/2018	75501360	BEHRENS, MICHAEL		CLEVELAND CLIFFS INC COM	B	18,195.00	150.00	0.00	150.00	70.0	105.00
02/07/2018	75501360	BEHRENS, MICHAEL		UNIVERSAL DISPLAY CORPORATION	S	74,275.00	200.00	0.00	200.00	70.0	140.00
02/08/2018	75501360	BEHRENS, MICHAEL		DATA I O CORP COM	B	10,303.34	125.00	0.00	125.00	70.0	87.50
02/16/2018	75501360	BEHRENS, MICHAEL		FORTINET INC	S	24,604.50	150.00	0.00	150.00	70.0	105.00
02/16/2018	75501360	BEHRENS, MICHAEL		MELLANOX TECHNOLOGIES LTD	S	31,520.65	150.00	0.00	150.00	70.0	105.00
02/16/2018	75501360	BEHRENS, MICHAEL		STEEL DYNAMICS INC COM	B	23,715.50	75.00	0.00	75.00	70.0	52.50
02/23/2018	75501360	BEHRENS, MICHAEL		DATA I O CORP COM	B	9,699.90	100.00	0.00	100.00	70.0	70.00
01/30/2018	75501362	BEHRENS, DEIRDRE		CLEVELAND CLIFFS INC COM	B	7,248.50	75.00	0.00	75.00	70.0	52.50
02/20/2018	75501378	BANDLER, LIONEL		TEJON RANCH CO	B	4,432.00	20.80	0.00	20.80	70.0	0.00
02/20/2018	75501378	BANDLER, LIONEL		TEJON RANCH CO	S	-4,432.00	-20.80	0.00	-20.80	70.0	0.00
02/20/2018	75501378	BANDLER, LIONEL		TEJON RANCH CO	B	4,432.00	55.00	0.00	55.00	70.0	38.50
02/21/2018	75501378	BANDLER, LIONEL		TEJON RANCH CO	B	7,303.80	55.00	0.00	55.00	70.0	38.50
* STOCKS SUBTOTAL *						\$217,824.69	\$1,195.00	\$0.00	\$1,195.00		\$836.50
PRODUCT CATEGORY: BONDS											
01/30/2018	75501433	PINSKY, IRWIN		UNITED STATES TREASURY BILL	B	91,279.19	15.00	0.00	15.00	70.0	0.00
* BONDS SUBTOTAL *						\$91,279.19	\$15.00	\$0.00	\$15.00		\$0.00
PRODUCT CATEGORY: OPTIONS											
02/14/2018	75501355	BEHRENS, WILLIAM		CALL UAA 04/20/18 17.50	S	2,010.00	60.00	0.00	60.00	70.0	42.00
* OPTIONS SUBTOTAL *						\$2,010.00	\$60.00	\$0.00	\$60.00		\$42.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
02/09/2018	75501360	BEHRENS, MICHAEL		LORD ABBETT SHORT DURATION INCOME F B		0.00	120.35	0.00	120.35	70.0	84.25
02/09/2018	75501360	BEHRENS, MICHAEL		LORD ABBETT MULTI ASSET INCOME FUND B		0.00	21.83	0.00	21.83	70.0	15.28
02/09/2018	75501394	ALBERT E HAVERKAMP		LORD ABBETT CALIFORNIA TAX FREE INC B		0.00	15.16	0.00	15.16	70.0	10.61
02/09/2018	75501466	CARTOZIAN, JANET		LOOMIS SAYLES STRTEGC INC FD CL C	B	0.00	3.87	0.00	3.87	70.0	2.71
02/26/2018	75501535	SCARPELLI, CONNIE		**VICTORY PORTFOLIOS MUNDER	B	0.00	0.53	0.00	0.53	70.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$161.74	\$0.00	\$161.74		\$112.85
*** BROKER TRANSACTIONS TOTAL ***						\$311,113.88	\$1,431.74	\$0.00	\$1,431.74		\$991.35

**BROKER COMMISSION TOTALS**

Broker Transactions	\$991.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.79
SIPC Assessment	\$-3.58

<b>Check Amount</b>	<b>\$985.98</b>
<b>Year-to-date Earnings</b>	<b>\$2,822.14</b>