



# COMMISSION STATEMENT

April 16, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/02/2018	***-**-18IN - KUNKEL, RYAN	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 2 Units @27.6300						
04/10/2018	***-**-057I - PLAPPERT,	AMERICAN FUNDS INCOME PORT CL C	7,000.00	9.00	18.00	-9.00	100.0	-9.00
	ACCOUNT #: LSR010833	SELL 565 Units @12.4000						
04/02/2018	***-**-18IN - KUNKEL, RYAN	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 1 Units @62.6200						
04/06/2018	***-**-859X - FREY, RYAN	IVY MANAGED INTERNAT IONAL OPPS CL	1,250.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011527	SELL 108 Units @11.5800						
04/02/2018	***-**-79IR - WILSON, DELEIGH	THE GROWTH FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333458	BUY 4 Units @51.6400						
04/02/2018	***-**-79IR - WILSON, DELEIGH	THE INCOME FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333458	BUY 9 Units @23.2800						
04/02/2018	***-**-18IN - KUNKEL, RYAN	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	3.75	0.00	3.75	85.0	3.19
	ACCOUNT #: 00087404382	BUY 2 Units @46.0100						
* MUTUAL FUNDS SUBTOTAL *			<b>\$8,850.00</b>	<b>\$27.51</b>	<b>\$18.00</b>	<b>\$9.51</b>		<b>\$6.75</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/16/2018	***-**-036I - CROWL, LINDA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.39	0.00	0.39	85.0	0.33
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
04/16/2018	***-**-831I - REED, JACK	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
04/16/2018	***-**-34IR - MCKENNA, EDWARD	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	6.03	0.00	6.03	85.0	5.13
	ACCOUNT #: LSR011376	BUY 1 Units @0.0000						
04/16/2018	***-**-373X - MANGANELLO, TIRA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	17.10	0.00	17.10	85.0	14.54
	ACCOUNT #: LSR011551	BUY 1 Units @0.0000						
04/16/2018	***-**-373X - MANGANELLO, TIRA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	22.39	0.00	22.39	85.0	19.03
	ACCOUNT #: LSR011552	BUY 1 Units @0.0000						
04/16/2018	***-**-260X - ILGENFRITZ, CORY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	17.96	0.00	17.96	85.0	15.27
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
04/16/2018	***-**-181X - ILGENFRITZ,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	18.78	0.00	18.78	85.0	15.96
	ACCOUNT #: LSR011555	BUY 1 Units @0.0000						
04/16/2018	***-**-055X - ILGENFRITZ,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	22.64	0.00	22.64	85.0	19.24
	ACCOUNT #: LSR011558	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/16/2018	***-**-352I - KUNKEL, RAY ACCOUNT #: LSR011585	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	13.18	0.00	13.18	85.0	11.20
04/16/2018	***-**-8100 - BLAUCH, CATHY ACCOUNT #: LSR011859	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.13	0.00	0.13	85.0	0.11
04/16/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.21	0.00	0.21	85.0	0.18
04/16/2018	***-**-13IR - LOYCH, ALICE ACCOUNT #: LSR012051	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.32	0.00	0.32	85.0	0.27
04/16/2018	***-**-81IR - BLEILER, CARLTON ACCOUNT #: LSR012082	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.08	0.00	0.08	85.0	0.07
04/16/2018	***-**-872I - GAROFALO, ACCOUNT #: LSR010758	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.32	0.00	0.32	85.0	0.27
04/16/2018	***-**-244X - REED, GENEVIEVE ACCOUNT #: LSR012032	AIM HIGH YIELD FUND - CLASS A BUY 1 Units @0.0000	0.00	1.67	0.00	1.67	85.0	1.42
04/16/2018	***-**-8100 - BLAUCH, CATHY ACCOUNT #: LSR011859	AIM INCOME ALLOCATION FUND - CLASS BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	85.0	0.19
04/16/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	AIM INCOME ALLOCATION FUND - CLASS BUY 1 Units @0.0000	0.00	0.24	0.00	0.24	85.0	0.20
04/16/2018	***-**-228I - BUCHANAN, ACCOUNT #: LSR010538	AIM UTILITIES FUND - CLASS C BUY 1 Units @0.0000	0.00	17.70	0.00	17.70	85.0	15.05
04/16/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	AIM UTILITIES FUND - CLASS C BUY 1 Units @0.0000	0.00	66.86	0.00	66.86	85.0	56.83
04/16/2018	***-**-4000 - ROMIG, MARK ACCOUNT #: LSR011567	AIM UTILITIES FUND - CLASS C BUY 1 Units @0.0000	0.00	12.95	0.00	12.95	85.0	11.01
04/16/2018	***-**-055X - ILGENFRITZ, ACCOUNT #: LSR011559	CAPITAL APPRECIATION - CLASS C BUY 1 Units @0.0000	0.00	8.48	0.00	8.48	85.0	7.21
04/16/2018	***-**-055X - ILGENFRITZ, ACCOUNT #: LSR011559	CAPITAL APPRECIATION - CLASS C BUY 1 Units @0.0000	0.00	23.46	0.00	23.46	85.0	19.94
03/31/2018	***-**-37IN - GAROFALO, JAMIE ACCOUNT #: 03202230162	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	2.17	0.00	2.17	85.0	1.84
03/31/2018	***-**-28IR - BUCHANAN, ACCOUNT #: 03203316742	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	12.69	0.00	12.69	85.0	10.79



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/31/2018	***-**-291I - SHULTZ, SUZETTE	CAPITAL APPRECIATION A	0.00	4.07	0.00	4.07	85.0	3.46
	ACCOUNT #: 03207390020	BUY 0 Units @0.0000						
03/31/2018	***-**-52IN - STEPP, JENNIFER	CAPITAL APPRECIATION A	0.00	2.30	0.00	2.30	85.0	1.96
	ACCOUNT #: 03208148063	BUY 0 Units @0.0000						
03/31/2018	***-**-36IR - HERDSON, JOHN	CAPITAL APPRECIATION A	0.00	2.37	0.00	2.37	85.0	2.01
	ACCOUNT #: 03208148074	BUY 0 Units @0.0000						
03/31/2018	***-**-36IR - HERDSON, JOHN	CAPITAL APPRECIATION C	0.00	35.13	0.00	35.13	85.0	29.86
	ACCOUNT #: 03222003518	BUY 0 Units @0.0000						
04/16/2018	***-**-992I - SMITH, FBO MR	EATON VANCE SHT DUR STRATEGIC INCOM	0.00	4.17	0.00	4.17	85.0	3.54
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
03/31/2018	***-**-36IR - HERDSON, JOHN	EQUITY INCOME FUND, INC. A	0.00	1.51	0.00	1.51	85.0	1.28
	ACCOUNT #: 08350946209	BUY 0 Units @0.0000						
03/31/2018	***-**-36IR - HERDSON, JOHN	EQUITY INCOME FUND, INC. C	0.00	31.51	0.00	31.51	85.0	26.78
	ACCOUNT #: 08370183502	BUY 0 Units @0.0000						
04/16/2018	***-**-4000 - ROMIG, MARK	EV ATLANTA SELECT EQUITY FUND CL C	0.00	14.67	0.00	14.67	85.0	12.47
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
04/16/2018	***-**-276I - GASSWINT, SUSAN	FRANKLIN INCOME FUND CLASS C	0.00	30.24	0.00	30.24	85.0	25.70
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
03/31/2018	***-**-28IR - BUCHANAN,	GLOBAL FUND A	0.00	14.54	0.00	14.54	85.0	12.36
	ACCOUNT #: 03307694434	BUY 0 Units @0.0000						
03/31/2018	***-**-37IN - GAROFALO, JAMIE	GLOBAL OPPORTUNITIES A	0.00	2.80	0.00	2.80	85.0	2.38
	ACCOUNT #: 02151266045	BUY 0 Units @0.0000						
03/31/2018	***-**-291I - SHULTZ, SUZETTE	GLOBAL OPPORTUNITIES A	0.00	4.17	0.00	4.17	85.0	3.54
	ACCOUNT #: 02154348564	BUY 0 Units @0.0000						
04/16/2018	***-**-228I - BUCHANAN,	INVESCO BALANCED - RISK ALLOCATION	0.00	8.86	0.00	8.86	85.0	7.53
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
04/16/2018	***-**-276I - GASSWINT, SUSAN	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	20.36	0.00	20.36	85.0	17.31
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
04/16/2018	***-**-214I - SCHMIDT, DAVID	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	7.32	0.00	7.32	85.0	6.22
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
04/16/2018	***-**-322I - SHOCKEY, DEBORAH	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	0.33	0.00	0.33	85.0	0.28
	ACCOUNT #: LSR011848	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/16/2018	***-**-881I - HOUSER, LORI	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	0.88	0.00	0.88	85.0	0.75
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
04/16/2018	***-**-859X - FREY, RYAN	IVY MANAGED INTERNAT IONAL OPPS CL	0.00	231.75	0.00	231.75	85.0	196.99
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
04/16/2018	***-**-334I - MCKENNA, EDWARD	LEGG MASON VALUE TRUST CL C	0.00	6.64	0.00	6.64	85.0	5.64
	ACCOUNT #: LSR011377	BUY 1 Units @0.0000						
03/31/2018	***-**-291I - SHULTZ, SUZETTE	MAIN STREET FUND A	0.00	3.37	0.00	3.37	85.0	2.86
	ACCOUNT #: 07001198684	BUY 0 Units @0.0000						
03/31/2018	***-**-99IR - BUCHANAN, STEVEN	MAIN STREET FUND A	0.00	13.70	0.00	13.70	85.0	11.65
	ACCOUNT #: 07002755857	BUY 0 Units @0.0000						
04/01/2018	***-**-58IN - GAROFALO,	MASS INVESTORS GROWTH STOCK FUND-A	0.00	2.85	0.00	2.85	85.0	2.42
	ACCOUNT #: 08188565430	BUY 0 Units @0.0000						
04/01/2018	***-**-58IN - GAROFALO,	MFS GOVERNMENT SECURITIES FUND-A	0.00	16.14	0.00	16.14	85.0	13.72
	ACCOUNT #: 09896329355	BUY 0 Units @0.0000						
04/01/2018	***-**-58IN - GAROFALO,	MFS TOTAL RETURN FUND-A	0.00	9.28	0.00	9.28	85.0	7.89
	ACCOUNT #: 00060126092	BUY 0 Units @0.0000						
04/01/2018	***-**-58IN - GAROFALO,	MFS UTILITIES FUND-A	0.00	4.54	0.00	4.54	85.0	3.86
	ACCOUNT #: 08188565426	BUY 0 Units @0.0000						
04/01/2018	***-**-58IN - GAROFALO,	MFS VALUE FUND-A	0.00	4.24	0.00	4.24	85.0	3.60
	ACCOUNT #: 08188565434	BUY 0 Units @0.0000						
04/16/2018	***-**-0550 - ILGENFRITZ, TIM	NUVEEN REAL ASSET INCOME FUND C SHR	0.00	19.77	0.00	19.77	85.0	16.80
	ACCOUNT #: LSR011557	BUY 1 Units @0.0000						
03/31/2018	***-**-291I - SHULTZ, SUZETTE	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	3.08	0.00	3.08	85.0	2.62
	ACCOUNT #: 02300927864	BUY 0 Units @0.0000						
03/31/2018	***-**-94IN - VITALE, LAURIE	PENNSYLVANIA MUNICIPAL FUND C	0.00	1.67	0.00	1.67	85.0	1.42
	ACCOUNT #: 07420005434	BUY 0 Units @0.0000						
03/31/2018	***-**-99IR - BUCHANAN, STEVEN	QUEST BALANCED A	0.00	9.30	0.00	9.30	85.0	7.91
	ACCOUNT #: 02571411509	BUY 0 Units @0.0000						
03/31/2018	***-**-52IN - STEPP, JENNIFER	QUEST BALANCED A	0.00	1.73	0.00	1.73	85.0	1.47
	ACCOUNT #: 02575466043	BUY 0 Units @0.0000						
03/31/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED A	0.00	1.67	0.00	1.67	85.0	1.42
	ACCOUNT #: 02575466054	BUY 0 Units @0.0000						



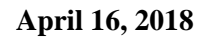
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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	0.00	27.07	0.00	27.07	85.0	23.01
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
04/16/2018	***-**-334I - MCKENNA, EDWARD	ROYCE PENNSYLVANIA MUTUAL CONSULT	0.00	7.97	0.00	7.97	85.0	6.77
	ACCOUNT #: LSR011377	BUY 1 Units @0.0000						
04/16/2018	***-**-29IR - MANGANELLO,	WELLS FARGO DIVERSIF INC BUILDER CL	0.00	9.31	0.00	9.31	85.0	7.91
	ACCOUNT #: LSR011770	BUY 1 Units @0.0000						
04/16/2018	***-**-0550 - ILGENFRITZ, TIM	WELLS FARGO INDEX ASSET ALLOC C	0.00	21.23	0.00	21.23	85.0	18.05
	ACCOUNT #: LSR011557	BUY 1 Units @0.0000						
04/16/2018	***-**-352I - KUNKEL, RAY	WELLS FARGO SPECIAL MID CAP CL C	0.00	15.72	0.00	15.72	85.0	13.36
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
04/16/2018	***-**-831I - KAKAREKA,	WELLS FARGO SPECIAL SMALL CAP VALUE	0.00	1.11	0.00	1.11	85.0	0.94
	ACCOUNT #: LSR011084	BUY 1 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$863.99	\$0.00	\$863.99		\$734.37
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
04/13/2018	***-**-597I - LEAR, DIANE	ING ADVANTAGE SELECT	0.00	14.56	0.00	14.56	85.0	12.38
	ACCOUNT #: R080334-ZS	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$14.56	\$0.00	\$14.56		\$12.38
	*** BROKER TRANSACTIONS TOTAL ***		\$8,850.00	\$906.06	\$18.00	\$888.06		\$753.50



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$753.50
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$753.50
						Year-to-date Earnings		\$40,479.82