

## COMMISSION STATEMENT

April 30, 2018

KINGSBURY INC.

BROKER #: 86

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/23/2018	75597024	PRESKILL, RICHARD	FRK FL TF INC FND - CL A	S	10,000.00	0.00	0.00	0.00 100.0	0.00
04/11/2018	75597035	PERKINSON, DOLORES	FRANKLIN U.S. GOVERNMENT SECURITIES	S	1,500.00	0.00	0.00	0.00 100.0	0.00
04/06/2018	75597068	SACKHEIM, KAREN	FIDELITY ADVISOR FOCUS FUNDS FINANC	B	6,000.00	0.00	0.00	0.00 100.0	0.00
04/06/2018	75597068	SACKHEIM, KAREN	FIDELITY ADV TECHNOLOGY CL A	B	13,000.00	0.00	0.00	0.00 100.0	0.00
04/06/2018	75597068	SACKHEIM, KAREN	FIDELITY ADV EMERGING MRKTS INC CL	B	4,000.00	0.00	0.00	0.00 100.0	0.00
04/06/2018	75597068	SACKHEIM, KAREN	FIDELITY ADVISOR (FOCUS) HEALTH CAR	B	9,000.00	0.00	0.00	0.00 100.0	0.00
04/06/2018	75597068	SACKHEIM, KAREN	FIDELITY ADV NEW INSIGHTS-CL A	B	10,000.00	0.00	0.00	0.00 100.0	0.00
04/06/2018	75597068	SACKHEIM, KAREN	FIDELITY ADVISOR EMERGING MARKETS F	B	8,000.00	0.00	0.00	0.00 100.0	0.00
04/06/2018	75597069	SACKHEIM, KAREN	GLOBAL OPPORTUNITIES A	B	6,000.00	0.00	0.00	0.00 100.0	0.00
04/06/2018	75597069	SACKHEIM, KAREN	GOLD & SPECIAL MINERALS A	B	6,000.00	0.00	0.00	0.00 100.0	0.00
04/20/2018	75597173	NELSON, CAROLE	MAINSTAY LARGE CAP GROWTH FUND CLAS	S	800.00	0.00	0.00	0.00 100.0	0.00
04/23/2018	75597177	CLARK JR, PHILLIP	FRK VAL FND - CL A	B	950.00	47.50	0.00	47.50 100.0	47.50
04/25/2018	75597177	CLARK JR, PHILLIP	FRK VAL FND - CL A	B	900.00	45.00	0.00	45.00 100.0	45.00
04/20/2018	75597185	BOL, BEVERLY	PIONEER FUND A	S	231.00	0.00	0.00	0.00 100.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$76,381.00	\$92.50	\$0.00	\$92.50		\$92.50
<u>PRODUCT CATEGORY: STOCKS</u>									
03/26/2018	75597046	MENZ, SUSAN	NESTLE SA-SPONSORED ADR REPSTG REGD	B	15,606.00	210.00	12.00	198.00 100.0	198.00
03/26/2018	75597046	MENZ, SUSAN	ROYAL DUTCH SHELL PLC SPONSORED ADR	B	12,791.32	210.00	12.00	198.00 100.0	198.00
04/26/2018	75597047	MENZ, SUSAN	HERCULES CAPITAL INC	S	12,023.00	315.18	12.00	303.18 100.0	303.18
04/26/2018	75597047	MENZ, SUSAN	NESTLE SA-SPONSORED ADR REPSTG REGD	B	11,599.05	195.80	12.00	183.80 100.0	183.80
03/26/2018	75597070	MENZ, JAMES	NESTLE SA-SPONSORED ADR REPSTG REGD	S	-15,606.00	-210.00	-12.00	-198.00 100.0	-198.00 C
03/26/2018	75597070	MENZ, JAMES	ROYAL DUTCH SHELL PLC SPONSORED ADR	S	-12,791.30	-210.00	-12.00	-198.00 100.0	-198.00 C
04/26/2018	75597072	JAMES R MENZ TTEE	NESTLE SA-SPONSORED ADR REPSTG REGD	B	9,665.88	165.44	12.00	153.44 100.0	153.44
04/26/2018	75597136	JAMES WEBSTER PLAN	NESTLE SA-SPONSORED ADR REPSTG REGD	B	19,331.50	300.80	12.00	288.80 100.0	288.80
04/26/2018	75597136	JAMES WEBSTER PLAN	ROYAL DUTCH SHELL PLC SPONSORED ADR	B	19,722.25	315.00	12.00	303.00 100.0	303.00
04/26/2018	75597144	MIKLAVCIC, EDWARD	EATON VANCE TAX MANAGD GLBL DIVERSI	B	3,438.75	95.00	12.00	83.00 100.0	83.00
04/26/2018	75597161	STOTTS, ALLISON	NESTLE SA-SPONSORED ADR REPSTG REGD	B	15,459.60	210.00	12.00	198.00 100.0	198.00
04/26/2018	75597162	STOTTS, ALLISON	NESTLE SA-SPONSORED ADR REPSTG REGD	B	5,412.75	105.00	12.00	93.00 100.0	93.00
04/26/2018	75597162	STOTTS, ALLISON	ROYAL DUTCH SHELL PLC SPONSORED ADR	B	5,282.06	105.00	12.00	93.00 100.0	93.00
* STOCKS SUBTOTAL *				\$101,934.86	\$1,807.22	\$108.00	\$1,699.22		\$1,699.22

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BROKER TRANSACTIONS

PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS

04/26/2018	75597126 WALDMAN, ALVIN	UIT GUGGENHEIM DEFINED PORTFOL SER B		6,052.13	75.68	12.00	63.68	100.0	63.68
* UNIT INVESTMENT TRUSTS SUBTOTAL *				\$6,052.13	\$75.68	\$12.00	\$63.68		\$63.68

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/30/2018		FEE COUNT - 315		0.00	3,109.50	0.00	3,109.50	100.0	3,109.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3,109.50	\$0.00	\$3,109.50		\$3,109.50
*** BROKER TRANSACTIONS TOTAL ***				\$184,367.99	\$5,084.90	\$120.00	\$4,964.90		\$4,964.90

ADJUSTMENTS

04/30/2018		OTHER: OTHER		0.00	0.00	0.00	0.00		-1,800.00
04/30/2018		OTHER: RBC - WEALTH STATIONS		0.00	0.00	0.00	0.00		-365.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-2,165.00

BROKER COMMISSION TOTALS

Broker Transactions	\$4,964.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,165.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.36
SIPC Assessment	\$-12.71

Check Amount	\$2,780.83
Year-to-date Earnings	\$13,562.47