



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 31, 2017

01/27/2017
 Page No. 1

WILLIAM THOMAS
 2565 West Maple Road

 Troy, MI 48084

BROKER #: T123 Terminated 12/31/2016
BRANCH: SECURE ASSET FINANCI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/17/2017	THOMAS, NATALIE	AM FUNDS AMCAP 529A	100.00	4.25	0.00	4.25	90.0	3.83
01/17/2017	VALICEVIC, ROBERT	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
01/17/2017	SHERMAN, BROOKE	AMERICAN FDS GROWTH PORTFOLIO 529C	50.00	0.50	0.00	0.50	90.0	0.45
01/18/2017	YOUNG, JAKE	COLLEGE 2021 FUND-529C	6,000.00	60.00	0.00	60.00	90.0	54.00
01/04/2017	GILSON, ANN	PIONEER VALUE A	0.00	25.93	0.00	25.93	90.0	23.34
* MUTUAL FUNDS SUBTOTAL *			\$6,200.00	\$91.18	\$0.00	\$91.18		\$82.07
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/16/2017	KUPPE, MARK	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	90.0	3.60
01/17/2017	THOMAS, MARIA	NWL NEXT GEN II FP VUL	250.00	7.50	0.00	7.50	90.0	6.75
* VARIABLE ANNUITIES SUBTOTAL *			\$450.00	\$11.50	\$0.00	\$11.50		\$10.35
*** BROKER TRANSACTIONS TOTAL ***			\$6,650.00	\$102.68	\$0.00	\$102.68		\$92.42

SPLIT TRANSACTIONS

BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240

01/17/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.25	0.00	0.25	90.0	0.23
01/17/2017	FEY, MICHAEL	AM FUNDS AMERICAN BALANCED C	50.00	0.25	0.00	0.25	90.0	0.23
01/17/2017	THOMPSON, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC C	200.00	1.00	0.00	1.00	90.0	0.90
01/17/2017	BRACHER, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA-529	50.00	0.25	0.00	0.25	90.0	0.23
01/17/2017	BOWLBY, TAMIE	AMERICAN FUND COLLEGE 2027 FUND 529	200.00	3.50	0.00	3.50	90.0	3.15
01/17/2017	BOWLBY, BRET	AMERICAN FUNDS COLLEGE 2024 FD-529A	250.00	4.38	0.00	4.38	90.0	3.94
12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	3.66	0.00	3.66	90.0	3.29
12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	3.88	0.00	3.88	90.0	3.49
12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	1.22	0.00	1.22	90.0	1.10
12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	4.79	0.00	4.79	90.0	4.31
12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	14.36	0.00	14.36	90.0	12.92

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240								
12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	11.65	0.00	11.65	90.0	10.49
01/17/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	1.50	0.00	1.50	90.0	1.35
01/17/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	1.50	0.00	1.50	90.0	1.35
01/17/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	3.00	0.00	3.00	90.0	2.70
01/01/2017	BANKS, MICHAEL	INVESCO BALANCED RISK ALLOCATION A	0.00	2.18	0.00	2.18	90.0	1.96
01/01/2017	BANKS, DIANE	INVESCO BALANCED RISK ALLOCATION A	0.00	1.19	0.00	1.19	90.0	1.07
01/03/2017	NORCROSS, NANCY	METLIFE SERIES L	33,451.15	41.82	0.00	41.82	90.0	37.64
01/03/2017	NORCROSS, NANCY	METLIFE SERIES L	36,835.64	46.05	0.00	46.05	90.0	41.45
01/03/2017	NORCROSS, NANCY	METLIFE SERIES L	32,141.56	40.18	0.00	40.18	90.0	36.16
01/07/2017	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	0.50	0.00	0.50	90.0	0.45
01/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.45	0.00	0.45	90.0	0.41
01/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	2.13	0.00	2.13	90.0	1.92
01/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	1.05	0.00	1.05	90.0	0.95
01/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	0.56	0.00	0.56	90.0	0.50
01/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	2.46	0.00	2.46	90.0	2.21
01/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.45	0.00	0.45	90.0	0.41
01/05/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.25	0.00	0.25	90.0	0.23
01/05/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.25	0.00	0.25	90.0	0.23
01/17/2017	BONGIOVANNI TOD, CLARICE	TRANSAMERICA AA CONSERVATIVE A	250.00	5.94	0.00	5.94	90.0	5.35
01/17/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	0.60	0.00	0.60	90.0	0.54
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$105,977.13	\$201.25	\$0.00	\$201.25		\$181.16
*** SPLIT TRANSACTIONS TOTAL ***			\$105,977.13	\$201.25	\$0.00	\$201.25		\$181.16

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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BROKER COMMISSION TOTALS

Broker Transactions	\$92.42
Split Transactions	\$181.16
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$273.58
Year-to-date Gross	\$5,934.86
Year-to-date Earnings	\$5,343.58