



Concorde Investment Services, LLC

11/13/2017

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# COMMISSION STATEMENT

November 15, 2017

JENNIFER DONAIS  
1260 N. Joplin

BROKER #: D134  
BRANCH: TRUWEALTH FINANCIAL

Mesa, AZ 85207-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/10/2017	SINNESS, LOYE	HARTFORD CAPITAL APPRECIATION A	0.00	1.58	0.00	1.58	90.0	1.42
10/10/2017	SINNESS, LOYE	MFS VALUE A	0.00	0.79	0.00	0.79	90.0	0.71
10/10/2017	SINNESS, LOYE	PUTNAM MULTI CAP GROWTH A	0.00	2.84	0.00	2.84	90.0	2.56
10/10/2017	DONAI, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	3.46	0.00	3.46	90.0	3.11
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$8.67	\$0.00	\$8.67		\$7.80
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/31/2017	LARAMIE, CECILIA	JOHN HANCOCK VENTURE	72,840.64	15.18	0.00	15.18	90.0	13.66
* VARIABLE ANNUITIES SUBTOTAL *			\$72,840.64	\$15.18	\$0.00	\$15.18		\$13.66
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/19/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.61	0.00	0.61	90.0	0.55
10/19/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.92	0.00	2.92	90.0	2.63
10/19/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.32	0.00	0.32	90.0	0.29
10/19/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.00	0.00	3.00	90.0	2.70
10/19/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.77	0.00	2.77	90.0	2.49
10/19/2017	DONAI, JEREMY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.48	0.00	1.48	90.0	1.33
10/19/2017	DONAI, BRADLEY	AM FUNDS NEW PERSPECTIVE 529C	0.00	1.44	0.00	1.44	90.0	1.30
10/19/2017	DONAI, BRADLEY	AM FUNDS NEW PERSPECTIVE 529C	0.00	1.59	0.00	1.59	90.0	1.43
10/03/2017	DONAI, DONALD P	FIRST INVESTORS GROWTH AND INCOME F	0.00	4.30	0.00	4.30	90.0	3.87
10/03/2017	DONAI, DONALD P	FIRST INVESTORS SPECIAL SITUATIONS	0.00	7.20	0.00	7.20	90.0	6.48
10/03/2017	DONAI, DONALD P	FIRST INVESTORS TOTAL RETURN FUND C	0.00	4.15	0.00	4.15	90.0	3.74
10/17/2017	DONAI, JEREMY	PRINCIPAL INVESTORS INCOME A	0.00	1.14	0.00	1.14	90.0	1.03
10/17/2017	DONAI, JEREMY	PRINCIPAL LARGE CAP S&P 500 INDEX FU	0.00	4.47	0.00	4.47	90.0	4.02
10/17/2017	DONAI, JEREMY	PRINCIPAL SMALLCAP FUND CLASS A	0.00	3.18	0.00	3.18	90.0	2.86
10/17/2017	DONAI, JEREMY	PRINICPAL MIDCAP BLEND A	0.00	2.62	0.00	2.62	90.0	2.36
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$41.19	\$0.00	\$41.19		\$37.08

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
*** DIRECT TRANSACTIONS TOTAL ***			\$72,840.64	\$65.04	\$0.00	\$65.04		\$58.54
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #10</u></b>								
10/02/2017	LEE-NORBY-DONAIS, VARIOUS-BBJ VOYA 529 AGE 5-8 OPTION CL A		50.00	0.43	0.00	0.43	90.0	0.39
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$50.00	\$0.43	\$0.00	\$0.43		\$0.39
<b><u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u></b>								
10/19/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.25	0.00	1.25	90.0	1.13
10/27/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.35	0.00	0.35	90.0	0.32
10/20/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.59	0.00	0.59	90.0	0.53
10/24/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	1.78	0.00	1.78	90.0	1.60
10/26/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	-1,000.00	0.00	0.00	0.00	90.0	0.00
10/30/2017	NEWBERRY TRUST, GLORIA	INVESCO GROWTH AND INCOME FUND CLAS	0.00	0.02	0.00	0.02	90.0	0.02
10/17/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.09	0.00	0.09	90.0	0.08
10/17/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.79	0.00	0.79	90.0	0.71
10/17/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	3.88	0.00	3.88	90.0	3.49
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$-1,000.00	\$8.75	\$0.00	\$8.75		\$7.88
<b><u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u></b>								
11/01/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	1.51	0.00	1.51	90.0	1.36
11/01/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	2.59	0.00	2.59	90.0	2.33
11/01/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	1.72	0.00	1.72	90.0	1.55
11/01/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	1.33	0.00	1.33	90.0	1.20
11/10/2017	MONETTE, RONALD	HPI FUND V	82,000.00	984.00	0.00	984.00	90.0	885.60
10/27/2017	MONETTE, RONALD	HPI REAL ESTATE FUND V LLC	82,000.00	0.00	0.00	0.00	90.0	0.00
09/30/2017	LEE-NORBY-DONAIS, VARIOUS-BBJ VOYA TRAILS		0.00	0.16	0.00	0.16	90.0	0.14
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$165,020.00	\$991.31	\$0.00	\$991.31		\$892.18
<b><u>BROKER: L146-BREN/BRIA/JEN TRU-LEE/NORB/DON #375</u></b>								
11/08/2017	JORGENSEN, BLAINE	BR GRAND DOMINION DST	400,000.00	3,120.00	0.00	3,120.00	90.0	2,808.00
* L146-BREN/BRIA/JEN TRU-LEE/NORB/DON SUBTOTAL *			\$400,000.00	\$3,120.00	\$0.00	\$3,120.00		\$2,808.00
*** SPLIT TRANSACTIONS TOTAL ***			\$564,070.00	\$4,120.49	\$0.00	\$4,120.49		\$3,708.45

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
11/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
11/15/2017		*CONCIERGE SVC: 201122 202372 20278	0.00					-30.00
11/15/2017		*CONCIERGE SVC: 201182 SULLIVAN	0.00					-39.00
11/15/2017		*CONCIERGE SVC: 201406 SORENSON	0.00					-8.50
11/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-1.17
11/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.30
11/15/2017		*RBC: CURRENT MONTH	0.00					-25.00
11/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-590.97</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$58.54
Split Transactions	\$3,708.45
Override Transactions	\$0.00
Adjustments	\$-590.97
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,176.02
Year-to-date Gross	\$35,908.17
Year-to-date Earnings	\$31,647.61

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