



Concorde Investment Services, LLC

07/12/2017
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COMMISSION STATEMENT **July 15, 2017**

BARTHOLOMEW MACKLER
1359 W. Fairview Lane

BROKER #: M121
BRANCH: CONCORDE FINANCIAL G

Rochester Hills, MI 48306

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	DIX, DAVID	ALLIANZ INCOME & GROWTH FND	0.00	33.79	0.00	33.79	90.0	30.41
06/09/2017	QUENNEVILLE, JEROME	ALLIANZ INCOME & GROWTH FND	0.00	6.11	0.00	6.11	90.0	5.50
06/09/2017	LINGEMANN, HELEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.01	0.00	13.01	90.0	11.71
06/09/2017	KALOHN TRUST, RUTHANN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.11	0.00	18.11	90.0	16.30
06/09/2017	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.14	0.00	10.14	90.0	9.13
06/09/2017	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.84	0.00	5.84	90.0	5.26
06/09/2017	ODOM, EDDIE	AM FUNDS ICA A	0.00	5.42	0.00	5.42	90.0	4.88
07/03/2017	WHEELER, PAUL	AM FUNDS ICA C	60.00	0.60	0.00	0.60	90.0	0.54
07/03/2017	AFFER, RONALD	AM FUNDS ICA C	100.00	1.00	0.00	1.00	90.0	0.90
07/03/2017	LOUDERMILK, SCOTT	AM FUNDS ICA C	320.00	3.20	0.00	3.20	90.0	2.88
07/03/2017	LOCKHART, JOSEPH	AM FUNDS ICA C	480.00	4.80	0.00	4.80	90.0	4.32
06/09/2017	ODOM, EDDIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	45.32	0.00	45.32	90.0	40.79
06/09/2017	LINGEMANN, HELEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.39	0.00	5.39	90.0	4.85
07/03/2017	CHIECHA, MOLLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	90.0	2.25
06/09/2017	MILLER, ROBERT	BLK GLOBAL ALLOCATION A	0.00	3.36	0.00	3.36	90.0	3.02
06/09/2017	MARSH, GARY	COLUMBIA DIVIDEND OPP A	0.00	4.70	0.00	4.70	90.0	4.23
06/09/2017	PALFFY, AMANDA	DAVIS NEW YORK VENTURE B	0.00	2.21	0.00	2.21	90.0	1.99
06/09/2017	PALFFY, JESSICA	DAVIS NEW YORK VENTURE B	0.00	1.79	0.00	1.79	90.0	1.61
06/09/2017	PALFFY, VICTORIA	DAVIS NEW YORK VENTURE B	0.00	1.33	0.00	1.33	90.0	1.20
06/09/2017	PALFFY, AMANDA	FEDERATED CAPITAL INCOME B	0.00	0.07	0.00	0.07	90.0	0.06
06/09/2017	HORTON, RICHARD	FEDERATED CAPITAL INCOME B	0.00	7.25	0.00	7.25	90.0	6.53
06/09/2017	PALFFY, JESSICA	FEDERATED CAPITAL INCOME B	0.00	0.06	0.00	0.06	90.0	0.05
06/09/2017	PALFFY, VICTORIA	FEDERATED CAPITAL INCOME B	0.00	0.04	0.00	0.04	90.0	0.04
06/09/2017	QUENNEVILLE, JEROME	FEDERATED CAPITAL INCOME B	0.00	4.77	0.00	4.77	90.0	4.29
06/09/2017	JOHNSON, WILLIAM	FEDERATED CAPITAL INCOME B	0.00	3.76	0.00	3.76	90.0	3.38
06/09/2017	BERTOLINI, LEO	FEDERATED CAPITAL INCOME B	0.00	3.48	0.00	3.48	90.0	3.13

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	CURL, BRIAN K	FEDERATED CAPITAL INCOME B	0.00	1.97	0.00	1.97	90.0	1.77
06/09/2017	HORTON, RICHARD	FEDERATED GLOBAL ALLOCATION B	0.00	8.62	0.00	8.62	90.0	7.76
06/09/2017	CURL, BRIAN K	FEDERATED GLOBAL ALLOCATION B	0.00	1.95	0.00	1.95	90.0	1.76
06/09/2017	ALLOR, PATRICK	FIRST EAGLE GLOBAL FUND CL A	0.00	4.00	0.00	4.00	90.0	3.60
06/09/2017	LINGEMANN TRUST, THOMAS	FRANKLIN MI TAX FR INCOME A	0.00	0.82	0.00	0.82	90.0	0.74
06/09/2017	MILLER, ROBERT	IVY ASSET STRATEGY FUND CLASS A	0.00	2.71	0.00	2.71	90.0	2.44
06/09/2017	HORTON, RICHARD	LEGG MASON CLEARBRIDGE TACTICAL DIV	0.00	3.77	0.00	3.77	90.0	3.39
07/01/2017	MARKEL, DENNIS	MFS GROWTH A	0.00	20.53	0.00	20.53	90.0	18.48
/ /	BORR, DIANE	OPPENHEIMER EQUITY INCOME A	0.00	21.12	0.00	21.12	90.0	19.01
/ /	LOWELL, CATHY	OPPENHEIMER GLOBAL A	0.00	8.47	0.00	8.47	90.0	7.62
/ /	GAFFNEY, PAUL	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	0.95	0.00	0.95	90.0	0.86
/ /	MAPLEY, CRISSELLA	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	8.84	0.00	8.84	90.0	7.96
/ /	WAGNER, CHARLES	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	12.35	0.00	12.35	90.0	11.12
/ /	BORR, RUDOLPH	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	4.83	0.00	4.83	90.0	4.35
06/09/2017	BECK III, FRANKLIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.09	0.00	0.09	90.0	0.08
06/09/2017	NIPPA, BETTY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.71	0.00	0.71	90.0	0.64
06/09/2017	QUENNEVILLE, JEROME	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.45	0.00	0.45	90.0	0.41
06/09/2017	LINGEMANN TRUST, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.92	0.00	0.92	90.0	0.83
06/09/2017	LAMARRA, BEN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.78	0.00	0.78	90.0	0.70
06/09/2017	MAPLEY, CRISSELLA	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.29	0.00	1.29	90.0	1.16
06/09/2017	CURL, BRIAN K	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.97	0.00	0.97	90.0	0.87
/ /	BORR, DIANE	OPPENHEIMER INTL DIVERSIFIED A	0.00	11.65	0.00	11.65	90.0	10.49
06/09/2017	LINGEMANN, HELEN	OPPENHEIMER INTL DIVERSIFIED A	0.00	6.74	0.00	6.74	90.0	6.07
06/09/2017	LINGEMANN, HELEN	OPPENHEIMER LIMITED TERM MUNI A	0.00	5.82	0.00	5.82	90.0	5.24
/ /	GAFFNEY, PAUL	OPPENHEIMER MAIN STREET A	0.00	3.32	0.00	3.32	90.0	2.99
/ /	CHATTULANI, DIANA	OPPENHEIMER MAIN STREET A	0.00	12.36	0.00	12.36	90.0	11.12
07/03/2017	SCHNARS, MICHAEL	PUTNAM AMERICAN GOVT INCOME-A	0.00	1.47	0.00	1.47	90.0	1.32
07/03/2017	SCHNARS, MICHAEL	PUTNAM CONV SECURITIES A	0.00	5.89	0.00	5.89	90.0	5.30
06/09/2017	TUMINO TRUST, RAPHAEL	PUTNAM EQUITY INCOME A	0.00	6.33	0.00	6.33	90.0	5.70
07/03/2017	SCHNARS, MICHAEL	PUTNAM EUROPE EQUITY A	0.00	1.27	0.00	1.27	90.0	1.14
07/03/2017	SCHNARS, MICHAEL	PUTNAM GLOBAL EQUITY A	0.00	7.11	0.00	7.11	90.0	6.40
07/03/2017	SCHNARS, MICHAEL	PUTNAM GLOBAL HEALTH CARE A	0.00	2.18	0.00	2.18	90.0	1.96
07/03/2017	SCHNARS, MICHAEL	PUTNAM GROWTH OPP A	0.00	1.43	0.00	1.43	90.0	1.29

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/03/2017	SCHNARS, MICHAEL	PUTNAM INTL CAPITAL OPPS-A	0.00	2.45	0.00	2.45	90.0	2.21
07/03/2017	SCHNARS, MICHAEL	PUTNAM INVESTORS A	0.00	0.88	0.00	0.88	90.0	0.79
06/09/2017	TUMINO TRUST, RAPHAEL	PUTNAM MI TAX EXEMPT INCOME A	0.00	1.68	0.00	1.68	90.0	1.51
07/03/2017	SCHNARS, MICHAEL	PUTNAM MULTI CAP GROWTH A	0.00	1.82	0.00	1.82	90.0	1.64
07/05/2017	MACKLER, PATRICK	TRANSAMERICA AA MODERATE A	0.00	1.12	0.00	1.12	90.0	1.01
07/05/2017	TERRELL, KATHLEEN	TRANSAMERICA AA MODERATE C	0.00	9.10	0.00	9.10	90.0	8.19
07/05/2017	KRYSTOFF, LAURA	TRANSAMERICA AA MODERATE C	0.00	29.57	0.00	29.57	90.0	26.61
07/05/2017	MACKLER, PATRICK	TRANSAMERICA MULTI MGR INTL A	0.00	0.90	0.00	0.90	90.0	0.81
* MUTUAL FUNDS SUBTOTAL *			\$1,010.00	\$407.28	\$0.00	\$407.28		\$366.59
<u>PRODUCT CATEGORY: STOCKS</u>								
06/15/2017	BARRETT, PAUL	CYTOSORBENTS CORPORATION	4,628.38	45.00	14.00	31.00	90.0	27.90
06/23/2017	DIX, DAVID	PARK ELECTROCHEMICAL CORP	5,406.00	50.00	14.00	36.00	90.0	32.40
* STOCKS SUBTOTAL *			\$10,034.38	\$95.00	\$28.00	\$67.00		\$60.30
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	MEDIODIA, GIL	ALLIANZ ALTERITY OPTIONS	29,928.54	37.41	0.00	37.41	90.0	33.67
06/30/2017	DAMRON, ROBERT	JNL PERSPECTIVE	264,210.05	55.04	0.00	55.04	90.0	49.54
06/30/2017	JOHNSON, WILLIAM	JNL PERSPECTIVE	1,719.51	0.36	0.00	0.36	90.0	0.32
06/30/2017	MITCHELL, DONALD	JNL PERSPECTIVE	8,295.14	1.73	0.00	1.73	90.0	1.56
06/30/2017	ROHLOFF, CARL	JNL PERSPECTIVE	14,135.29	2.94	0.00	2.94	90.0	2.65
06/30/2017	SCHNELL, JAMES	JNL PERSPECTIVE	5,031.79	2.10	0.00	2.10	90.0	1.89
06/30/2017	ANTON, CAROLE	JNL PERSPECTIVE II 04/14	25,465.27	10.61	0.00	10.61	90.0	9.55
06/30/2017	RYAN, NANCY	JNL PERSPECTIVE L	63,941.60	53.28	0.00	53.28	90.0	47.95
06/30/2017	MAZURKIEWICZ, JAMES	JNL PERSPECTIVE L	50,853.09	42.38	0.00	42.38	90.0	38.14
06/30/2017	CASGRAIN, GAYL	JNL PERSPECTIVE L	288,755.70	240.63	0.00	240.63	90.0	216.57
06/30/2017	SNYDER, MICHAEL C	JNL PERSPECTIVE L	38,649.80	32.21	0.00	32.21	90.0	28.99
06/30/2017	FOXWORTH, ROBERT	METLIFE SERIES L	79,160.59	197.90	0.00	197.90	90.0	178.11
06/26/2017	WAGNER, CHARLES	PAC LIFE	0.00	220.07	0.00	220.07	90.0	198.06
06/19/2017	BRAMER, GEORGE	PAC LIFE PORTFOLIOS	0.00	68.08	0.00	68.08	90.0	61.27
06/30/2017	O'BRIEN, ROBERT	PRU AS ADVISOR PLAN III	100,265.67	62.67	0.00	62.67	90.0	56.40
06/30/2017	KAZMIROWSKI, THOMAS	PRU AS XTRA CREDIT SIX	29,007.57	18.13	0.00	18.13	90.0	16.32
06/30/2017	KAZMIROWSKI, THOMAS	PRU AS XTRA CREDIT SIX	29,007.57	18.13	0.00	18.13	90.0	16.32
06/30/2017	KIDD-LOVE, RHONDA	PRU PREMIER RETIREMENT B	19,392.11	12.12	0.00	12.12	90.0	10.91

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<u>ADJUSTMENTS</u>								
		* VARIABLE ANNUITIES SUBTOTAL *	\$1,047,819.29	\$1,075.79	\$0.00	\$1,075.79		\$968.22
		*** BROKER TRANSACTIONS TOTAL ***	\$1,058,863.67	\$1,578.07	\$28.00	\$1,550.07		\$1,395.11

<u>ADJUSTMENTS</u>								
07/15/2017		*CONCIERGE SVC: 194409, 410 MOGG-SN	0.00					-100.00
07/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*MISC: W/O DB BAL 7A5005451	0.00					-16.65
07/15/2017		*MISC: W/O DB BAL 7A5006511	0.00					-29.49
07/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-721.14

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$1,395.11
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-721.14
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$673.97
Year-to-date Gross	\$53,983.24
Year-to-date Earnings	\$53,797.28

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