



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**March 15, 2017**

03/14/2017  
 Page No. 1

TIMOTHY STAUFFER  
 333 Fiddlers Cove

BROKER #: S118  
 BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/01/2017	ROBERTSON, THOMAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.12	0.00	1.12	90.0	1.01
03/01/2017	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
03/01/2017	LARKINS, TROY	AM FUNDS ICA C	0.00	89.10	0.00	89.10	90.0	80.19
/ /	ALLES, JENIFER	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.29	0.00	0.29	90.0	0.26
/ /	MILLER, SEAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.43	0.00	0.43	90.0	0.39
/ /	BRENN, BRIAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.06	0.00	0.06	90.0	0.05
/ /	MILLER, SEAN	RYDEX GUGG ENERGY C	0.00	1.20	0.00	1.20	90.0	1.08
/ /	KROUSE, ANDRE	RYDEX GUGG ENERGY C	0.00	0.89	0.00	0.89	90.0	0.80
/ /	BRENN, BRIAN	RYDEX GUGG ENERGY C	0.00	0.05	0.00	0.05	90.0	0.05
/ /	ALLES, JENIFER	RYDEX GUGG PRECIOUS METALS A	0.00	0.27	0.00	0.27	90.0	0.24
/ /	MILLER, SEAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.63	0.00	0.63	90.0	0.57
/ /	KROUSE, ANDRE	RYDEX GUGG PRECIOUS METALS C	0.00	0.85	0.00	0.85	90.0	0.77
/ /	BRENN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.08	0.00	0.08	90.0	0.07
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$215.00</b>	<b>\$97.12</b>	<b>\$0.00</b>	<b>\$97.12</b>		<b>\$87.42</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/07/2017	SHERRILL, TIMOTHY	AMBARELLA INC SHS ISIN#KYG037AX1051	-11,567.03	44.00	22.50	21.50	90.0	19.35
03/10/2017	GUNDER, GREG	AMTRUST FINL SVCS INC DEP SHS REPST	9,976.14	28.00	22.62	5.38	90.0	4.84
03/10/2017	ZEHR, PAUL	AMTRUST FINL SVCS INC DEP SHS REPST	12,433.41	24.00	22.78	1.22	90.0	1.10
03/10/2017	ZEHR, WILLIAM	AMTRUST FINL SVCS INC DEP SHS REPST	8,385.51	24.00	22.52	1.48	90.0	1.33
03/01/2017	BLANCHARD, SUZETTE	BB&T CORP DEP SHS REPSTG 1/1000 INT	-10,945.71	46.00	22.68	23.32	90.0	20.99
03/10/2017	GUNDER, GREG	CITIGROUP INC 8.125% DEP SHS REPSTG	9,938.73	28.00	22.55	5.45	90.0	4.91
03/10/2017	GUNDER, GREG	JPMORGAN CHASE & CO DEP SHS NONCUM	9,960.15	28.00	22.56	5.44	90.0	4.90
03/10/2017	ULMER, SHAYNE	JPMORGAN CHASE & CO DEP SHS NONCUM	7,439.04	24.00	22.50	1.50	90.0	1.35
03/10/2017	GUNDER, GREG	KKR & CO L P DEL PFD DIV RATE 6.50%	9,873.94	24.00	22.58	1.42	90.0	1.28
03/10/2017	ZEHR, PAUL	KKR & CO L P DEL PFD DIV RATE 6.50%	12,405.48	24.00	22.73	1.27	90.0	1.14
03/10/2017	ZEHR, WILLIAM	KKR & CO L P DEL PFD DIV RATE 6.50%	8,365.04	24.00	22.50	1.50	90.0	1.35

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
03/07/2017	SHERRILL, TIMOTHY	NATIONAL GRID PLC SPON ADR NEW	2,506.52	24.00	22.50	1.50	90.0	1.35
03/07/2017	SHERRILL, TIMOTHY	PAYPAL HLDGS INC COM	4,959.86	32.00	22.50	9.50	90.0	8.55
02/27/2017	ULMER, SHAYNE	PIEDMONT OFFICE RLTY TR INC COM CL	-1,104.10	0.00	22.50	-22.50	90.0	-20.25
* STOCKS SUBTOTAL *			<b>\$72,626.98</b>	<b>\$374.00</b>	<b>\$316.02</b>	<b>\$57.98</b>		<b>\$52.19</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
02/28/2017	WILD, WILLIAM	JNL ELITE ACCESS	98,485.42	41.04	0.00	41.04	90.0	36.94
02/28/2017	NUTTLE, ALLEN	JNL ELITE ACCESS	27,496.08	5.73	0.00	5.73	90.0	5.16
02/28/2017	MOHR, AMY	JNL ELITE ACCESS	45,457.75	9.47	0.00	9.47	90.0	8.52
02/28/2017	LARKINS, TROY	JNL ELITE ACCESS	47,991.48	10.00	0.00	10.00	90.0	9.00
02/28/2017	ZIMMERMAN, CATHERINE	JNL PERSPECTIVE	25,353.85	5.28	0.00	5.28	90.0	4.75
02/28/2017	COLEMAN, CHERYL	JNL PERSPECTIVE	31,583.26	6.58	0.00	6.58	90.0	5.92
02/28/2017	FLETCHER, MONA	JNL PERSPECTIVE II	28,178.01	11.74	0.00	11.74	90.0	10.57
02/28/2017	LEDERMAN, JOANNE	JNL PERSPECTIVE II	327,069.38	136.28	0.00	136.28	90.0	122.65
02/28/2017	WILD, CHRISTOPHER	JNL PERSPECTIVE II	13,750.93	5.73	0.00	5.73	90.0	5.16
02/28/2017	MIDDLETON, LUCINDA	JNL PERSPECTIVE II 04/14	83,926.19	34.97	0.00	34.97	90.0	31.47
02/28/2017	MIDDLETON, JAMES	JNL PERSPECTIVE II 04/14	80,791.57	33.66	0.00	33.66	90.0	30.29
02/28/2017	BERKSHIRE, LAURA	JNL PERSPECTIVE II 04/14	142,422.79	59.34	0.00	59.34	90.0	53.41
02/28/2017	BYERLEY, WAYNE	JNL PERSPECTIVE II 05/05	215,033.99	89.60	0.00	89.60	90.0	80.64
02/28/2017	ZAHM SR, RICHARD	JNL PERSPECTIVE II 05/05	140,752.20	58.65	0.00	58.65	90.0	52.79
02/28/2017	CHRIST, LINDA	JNL PERSPECTIVE II 05/05	139,173.67	57.99	0.00	57.99	90.0	52.19
02/28/2017	PARKER, DIANA	JNL PERSPECTIVE II 05/05	82,088.49	34.20	0.00	34.20	90.0	30.78
02/28/2017	PARKER, MICHAEL	JNL PERSPECTIVE II 05/05	90,006.91	37.50	0.00	37.50	90.0	33.75
02/28/2017	RAMIREZ, JOAQUIN	JNL PERSPECTIVE II 05/05	45,673.31	19.03	0.00	19.03	90.0	17.13
02/28/2017	STUCKY, MIKE	JNL PERSPECTIVE II 05/05	78,423.67	32.68	0.00	32.68	90.0	29.41
02/28/2017	GRABER, AMOS	JNL PERSPECTIVE II 05/05	45,363.90	18.90	0.00	18.90	90.0	17.01
02/28/2017	SMITH, KAREN	JOHN HANCOCK VENTURE 2006	144,669.87	120.56	0.00	120.56	90.0	108.50
02/28/2017	BLANCHARD, SUZETTE	JOHN HANCOCK VENTURE III	64,263.21	53.55	0.00	53.55	90.0	48.20
02/28/2017	SITCLER, PATRICIA	JOHN HANCOCK VENTURE III	100,342.62	83.62	0.00	83.62	90.0	75.26
02/28/2017	SHERRILL, TIMOTHY	JOHN HANCOCK VENTURE III	199,236.52	166.03	0.00	166.03	90.0	149.43
02/28/2017	SOBERALSKI, EDWARD	JOHN HANCOCK VENTURE III	188,838.11	157.37	0.00	157.37	90.0	141.63
02/17/2017	BOYLAN, NANCY	PAC LIFE INNOVATIVE SELECT	0.00	209.36	0.00	209.36	90.0	188.42
02/21/2017	SITCLER, ROY	PAC LIFE INNOVATIVE SELECT	0.00	422.71	0.00	422.71	90.0	380.44
03/02/2017	WHITNEY, DAVID	PAC LIFE INNOVATIVE SELECT	0.00	809.12	0.00	809.12	90.0	728.21

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/27/2017	STAUFFER, PATTY	SBL ADVISORDESIGNS VA	19,648.14	16.37	0.00	16.37	90.0	14.73
* VARIABLE ANNUITIES SUBTOTAL *			\$2,506,021.32	\$2,747.06	\$0.00	\$2,747.06		\$2,472.36
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
03/05/2017	HOUSER TRUST, MICHAEL & SHERR	ING PREMIUM PLUS 2005	0.00	8.09	0.00	8.09	90.0	7.28
03/06/2017	HOUSER, MICHAEL	ING PREMIUM PLUS 2005	0.00	22.54	0.00	22.54	90.0	20.29
03/06/2017	HOUSER, SHERRY	ING PREMIUM PLUS 2005	0.00	8.02	0.00	8.02	90.0	7.22
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$38.65	\$0.00	\$38.65		\$34.79
*** BROKER TRANSACTIONS TOTAL ***			\$2,578,863.30	\$3,256.83	\$316.02	\$2,940.81		\$2,646.76

**ADJUSTMENTS**

03/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-1.50
03/15/2017		*TECHNOLOGY: ADMIN - KAREN STAUFFER	0.00					-100.00
03/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-676.50

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,646.76
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-676.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,970.26
Year-to-date Gross	\$23,615.89
Year-to-date Earnings	\$20,969.99