



Concorde Investment Services, LLC

08/14/2018

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COMMISSION STATEMENT**August 15, 2018**JOHN, R119 ROSS
7261 W. Central Ave.BROKER #: R119
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
08/03/2018	WAGONER JR, JOHN	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	5.00	0.00	5.00	92.0	4.60
08/01/2018	HUNTER, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	92.0	1.96
08/01/2018	HUNTER, DEBORAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	92.0	1.96
* 529 PLANS SUBTOTAL *			\$200.00	\$9.26	\$0.00	\$9.26		\$8.52
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/02/2018	MARTIN, RACHEL	AM FUNDS AMERICAN BALANCED A	105.00	3.94	0.00	3.94	92.0	3.62
08/01/2018	KRAUS, JENNIFER	AM FUNDS AMERICAN HIGH-INCOME TRUST	150.00	4.50	0.00	4.50	92.0	4.14
07/25/2018	RUMSCHLAG, DOUGLAS	AM FUNDS EUROPACIFIC GROWTH FUND A	77.64	2.14	0.00	2.14	92.0	1.97
08/02/2018	MARTIN, RACHEL	AM FUNDS FUNDAMENTAL INVESTORS A	105.00	3.94	0.00	3.94	92.0	3.62
07/23/2018	IFFLAND, MARYANN	AM FUNDS GROWTH AND INCOME A	120,000.00	3,300.00	0.00	3,300.00	92.0	3,036.00
07/25/2018	RUMSCHLAG, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	77.64	2.14	0.00	2.14	92.0	1.97
08/02/2018	MARTIN, RACHEL	AM FUNDS INCOME FUND OF AMERICA A	105.00	3.94	0.00	3.94	92.0	3.62
07/30/2018	HILKENS, CHRISTOPHER	AM FUNDS SHORT TERM BND FUND OF AM	65.00	1.30	0.00	1.30	92.0	1.20
08/02/2018	MARTIN, RACHEL	AM FUNDS SMALLCAP WORLD A	35.00	1.31	0.00	1.31	92.0	1.21
07/25/2018	VALADE, JOHN	AM FUNDS TAX-EXEMPT BOND FUND OF AM	1,000.00	20.00	0.00	20.00	92.0	18.40
07/26/2018	PLAN	PUTNAM MULTI CAP GROWTH A	25.00	1.25	0.00	1.25	92.0	1.15
07/26/2018	PLAN	PUTNAM MULTI CAP GROWTH A	25.00	1.25	0.00	1.25	92.0	1.15
* MUTUAL FUNDS SUBTOTAL *			\$121,770.28	\$3,345.71	\$0.00	\$3,345.71		\$3,078.05
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/06/2018	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
* VARIABLE ANNUITIES SUBTOTAL *			\$140.00	\$7.70	\$0.00	\$7.70		\$7.08
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	WAGONER JR, JOHN	DELAWARE SMID CAP GROWTH A	0.00	2.89	0.00	2.89	92.0	2.66
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	1.12	0.00	1.12	92.0	1.03
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.28	0.00	1.28	92.0	1.18

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/31/2018	HENDERSON, BARBARA	FRANKLIN BALANCED C	0.00	15.20	0.00	15.20	92.0	13.98
07/31/2018	KRALL, GARY	FRANKLIN DYNATECH A	0.00	19.35	0.00	19.35	92.0	17.80
07/31/2018	REEVES, TERRENCE	FRANKLIN GOLD & PRECIOUS METALS A	0.00	0.14	0.00	0.14	92.0	0.13
07/31/2018	HENDERSON, BARBARA	FRANKLIN GOLD & PRECIOUS METALS C	0.00	6.86	0.00	6.86	92.0	6.31
07/31/2018	HENDERSON, BARBARA	FRANKLIN INCOME C	0.00	9.42	0.00	9.42	92.0	8.67
07/31/2018	KRALL, GARY	FRANKLIN MICROCAP VALUE A	0.00	17.89	0.00	17.89	92.0	16.46
07/31/2018	KRALL, GARY	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	3.52	0.00	3.52	92.0	3.24
07/31/2018	HENDERSON, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	15.97	0.00	15.97	92.0	14.69
07/31/2018	HENDERSON, BARBARA	FRANKLIN US GOVT SEC FUND C	0.00	11.35	0.00	11.35	92.0	10.44
07/31/2018	HENDERSON, BARBARA	FT MUTUAL SHARES FUND C	0.00	25.46	0.00	25.46	92.0	23.42
07/16/2018	MOORE, LINDA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.86	0.00	4.86	92.0	4.47
07/25/2018	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.70	0.00	4.70	92.0	4.32
06/30/2018	MCCLOSKEY, DANIEL	STADION INVESTMENTS	621.94	3.87	0.00	3.87	92.0	3.56
06/30/2018	MCCLOSKEY, DANIEL	STADION INVESTMENTS	6,213.94	11.62	0.00	11.62	92.0	10.69
07/31/2018	KRALL, GARY	TEMPLETON DEVELOPING MKTS A	0.00	3.30	0.00	3.30	92.0	3.04
07/31/2018	KRALL, GARY	TEMPLETON FOREIGN SMCO A	0.00	1.20	0.00	1.20	92.0	1.10
07/31/2018	KRALL, GARY	TEMPLETON GLOBAL SMALL CO A	0.00	2.33	0.00	2.33	92.0	2.14
07/23/2018	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.15	0.00	0.15	92.0	0.14
07/31/2018	SPRANGEL, CHERYL	TEMPLETON WORLD A	0.00	12.51	0.00	12.51	92.0	11.51
07/31/2018	REEVES, TERRENCE	TEMPLETON WORLD A	0.00	0.26	0.00	0.26	92.0	0.24
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$6,835.88	\$175.25	\$0.00	\$175.25		\$161.22
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/29/2018	COUSINO, SUSAN	ING RELIASTAR	55,944.94	34.97	0.00	34.97	92.0	32.17
07/29/2018	SILLIMAN, GRACE	ING RELIASTAR	19,540.72	12.21	0.00	12.21	92.0	11.23
07/30/2018	WAGONER JR, JOHN	ING RELIASTAR	32,705.60	20.44	0.00	20.44	92.0	18.80
07/30/2018	WAGONER JR, JOHN	ING RELIASTAR	32,977.74	20.61	0.00	20.61	92.0	18.96
08/05/2018	SCHLIEVERT, KAREN	AM GEN SEASONS ELITE	0.00	97.54	0.00	97.54	92.0	89.74
07/31/2018	IRWIN, JOHN	JNL ELITE ACCESS	0.00	139.22	0.00	139.22	92.0	128.08
07/31/2018	DEMKO, VERNA	JNL PERSPECTIVE	0.00	10.68	0.00	10.68	92.0	9.83
07/31/2018	LONG, PAUL	JNL PERSPECTIVE II 05/05	0.00	1.41	0.00	1.41	92.0	1.30
07/31/2018	LONG, LORI	JNL PERSPECTIVE L	0.00	12.45	0.00	12.45	92.0	11.45
07/31/2018	BENSCH, ARLYN	JNL PERSPECTIVE L	0.00	28.70	0.00	28.70	92.0	26.40
07/31/2018	BENSCH, CANDACE	JNL PERSPECTIVE L	0.00	24.47	0.00	24.47	92.0	22.51

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/31/2018	KRALL, JERRY	JOHN HANCOCK VENTURE III	0.00	35.00	0.00	35.00	92.0	32.20
07/31/2018	KEEFE, GERALD	JOHN HANCOCK VENTURE III	0.00	11.32	0.00	11.32	92.0	10.41
07/31/2018	HEINAMAN, ROBERT	JOHN HANCOCK VENTURE III	0.00	93.32	0.00	93.32	92.0	85.85
07/31/2018	KRAUS, JUDITH	JOHN HANCOCK VENTURE III	0.00	27.12	0.00	27.12	92.0	24.95
07/31/2018	GARDNER, WM	JOHN HANCOCK VENTURE III	0.00	111.39	0.00	111.39	92.0	102.48
07/31/2018	SILLIMAN, GRACE	JOHN HANCOCK VENTURE III	0.00	58.58	0.00	58.58	92.0	53.89
07/31/2018	CURSON, BEVERLY	JOHN HANCOCK VENTURE III	0.00	47.69	0.00	47.69	92.0	43.87
07/31/2018	JANICKI, KATHERINE	JOHN HANCOCK VENTURE III	0.00	72.50	0.00	72.50	92.0	66.70
07/31/2018	MOORE, GARY	JOHN HANCOCK VENTURE III	0.00	73.10	0.00	73.10	92.0	67.25
07/31/2018	IRWIN, JOHN	JOHN HANCOCK VENTURE III	0.00	60.24	0.00	60.24	92.0	55.42
07/31/2018	WAGONER JR, JOHN	JOHN HANCOCK VENTURE III	0.00	50.89	0.00	50.89	92.0	46.82
07/31/2018	WOODRUFF, STEPHANIE	JOHN HANCOCK VENTURE III	0.00	50.48	0.00	50.48	92.0	46.44
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$141,169.00	\$1,094.33	\$0.00	\$1,094.33		\$1,006.75
*** DIRECT TRANSACTIONS TOTAL ***			\$270,115.16	\$4,632.25	\$0.00	\$4,632.25		\$4,261.62

OVERRIDE TRANSACTIONS**BROKER: THOMAS, H121 HALSEY #82**

07/30/2018	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	0.18
08/01/2018	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	85.0	1.05
/ /	MARKLEY, JUSTIN	FIDELITY ADV EQUITY GROWTH CL M	0.00	4.14	0.00	4.14	85.0	0.29
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	8.32	0.00	8.32	85.0	0.58
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	4.60	0.00	4.60	85.0	0.32
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	6.38	0.00	6.38	85.0	0.45
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	6.89	0.00	6.89	85.0	0.48
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	4.69	0.00	4.69	85.0	0.33
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	4.48	0.00	4.48	85.0	0.31
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	6.86	0.00	6.86	85.0	0.48
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	8.21	0.00	8.21	85.0	0.57
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	10.88	0.00	10.88	85.0	0.76
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.57	0.00	5.57	85.0	0.39
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	6.64	0.00	6.64	85.0	0.46
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	6.48	0.00	6.48	85.0	0.45

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: THOMAS, H121 HALSEY #82 </u>								
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	16.01	0.00	16.01	85.0	1.12
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	7.35	0.00	7.35	85.0	0.51
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	8.35	0.00	8.35	85.0	0.58
07/31/2018	DESELMS, RAYMOND	FRANKLIN MI TAX FR INCOME A	0.00	66.06	0.00	66.06	85.0	4.62
07/31/2018	DIENER, JUDITH	FRANKLIN MI TAX FR INCOME A	0.00	48.61	0.00	48.61	85.0	3.40
07/31/2018	PRZBYLSKI, MICHAEL	FRANKLIN OH TAX FR INCOME FUND	0.00	16.17	0.00	16.17	85.0	1.13
07/31/2018	BUEHRER, DANIEL	FRANKLIN OH TAX FR INCOME FUND	0.00	66.04	0.00	66.04	85.0	4.62
07/31/2018	PRZYBYLSKI, JOSHUA	FRANKLIN OH TAX FR INCOME FUND	0.00	0.44	0.00	0.44	85.0	0.03
07/31/2018	BAKER, ELAINE	FRANKLIN OH TAX FR INCOME FUND	0.00	36.22	0.00	36.22	85.0	2.54
07/31/2018	MINCK, JOSEPH	FRANKLIN OH TAX FR INCOME FUND	0.00	23.74	0.00	23.74	85.0	1.66
07/31/2018	FISHER, EUGENE	FRANKLIN OH TAX FR INCOME FUND	0.00	9.83	0.00	9.83	85.0	0.69
07/31/2018	BUEHRER, DANIEL	JNL PERSPECTIVE L	0.00	24.90	0.00	24.90	85.0	1.74
07/31/2018	BUEHRER, DANIEL	JNL PERSPECTIVE L	0.00	180.54	0.00	180.54	85.0	12.64
07/31/2018	NOWAK, DAVID	JOHN HANCOCK VENTURE III	0.00	16.19	0.00	16.19	85.0	1.13
07/31/2018	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	0.00	45.79	0.00	45.79	85.0	3.21
07/31/2018	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	0.00	36.21	0.00	36.21	85.0	2.53
07/06/2018	JOHNSON, CHARLES	MAINSTAY CORNERSTONE GRW FD	0.00	0.68	0.00	0.68	85.0	0.05
07/06/2018	JOHNSON, LINDA	MAINSTAY CORNERSTONE GRW FD	0.00	0.66	0.00	0.66	85.0	0.05
07/06/2018	JOHNSON, CHARLES	MAINSTAY CORNERSTONE GRW FD	0.00	3.82	0.00	3.82	85.0	0.27
07/06/2018	JOHNSON, CHARLES	MAINSTAY US SMALL CAP INV	0.00	0.62	0.00	0.62	85.0	0.04
07/06/2018	JOHNSON, LINDA	MAINSTAY US SMALL CAP INV	0.00	0.61	0.00	0.61	85.0	0.04
07/06/2018	JOHNSON, CHARLES	MAINSTAY US SMALL CAP INV	0.00	4.93	0.00	4.93	85.0	0.35
08/06/2018	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
07/31/2018	DESELMS, RAYMOND	TEMPLETON WORLD A	0.00	0.99	0.00	0.99	85.0	0.07
 * THOMAS, H121 HALSEY SUBTOTAL * 			\$500.00	\$719.15	\$0.00	\$719.15		\$50.31
<u> BROKER: ROBERT, T118 THOMPSON #83 </u>								
06/30/2018	SHAFFER, CLARK	ICON BOND FUND C	20,029.30	16.46	0.00	16.46	90.0	0.33
06/30/2018	RICE, CHERYL	ICON BOND FUND C	3,050.02	2.51	0.00	2.51	90.0	0.05
06/30/2018	GECIK, STANLEY	ICON BOND FUND C	6,226.46	5.12	0.00	5.12	90.0	0.10
06/30/2018	RECKNER, GARTH	ICON BOND FUND C	1,565.52	1.29	0.00	1.29	90.0	0.03
07/31/2018	RICHARDS, MILDRED	JNL PERSPECTIVE	0.00	7.32	0.00	7.32	90.0	0.15
08/06/2018	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
07/31/2018	FLECK, GERALD	JNL PERSPECTIVE II 05/05	0.00	1.32	0.00	1.32	90.0	0.03

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ROBERT, T118 THOMPSON #83</u>								
07/31/2018	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	0.00	30.02	0.00	30.02	90.0	0.60
07/31/2018	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	0.00	19.90	0.00	19.90	90.0	0.40
07/31/2018	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	0.00	28.07	0.00	28.07	90.0	0.56
07/31/2018	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	0.00	104.01	0.00	104.01	90.0	2.08
07/31/2018	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	0.00	106.06	0.00	106.06	90.0	2.12
07/31/2018	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	0.00	131.43	0.00	131.43	90.0	2.63
07/31/2018	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	0.00	21.05	0.00	21.05	90.0	0.42
07/31/2018	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	0.00	33.61	0.00	33.61	90.0	0.67
07/31/2018	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	0.00	16.40	0.00	16.40	90.0	0.33
07/31/2018	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	0.00	17.95	0.00	17.95	90.0	0.36
07/31/2018	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	0.00	129.96	0.00	129.96	90.0	2.60
07/31/2018	ELWING, LOUISE	JOHN HANCOCK VENTURE III	0.00	122.10	0.00	122.10	90.0	2.44
07/31/2018	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	0.00	119.31	0.00	119.31	90.0	2.39
07/31/2018	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	0.00	182.80	0.00	182.80	90.0	3.66
07/31/2018	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	0.00	32.81	0.00	32.81	90.0	0.66
07/31/2018	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	0.00	98.59	0.00	98.59	90.0	1.97
07/31/2018	RICE, PAMELA	JOHN HANCOCK VENTURE III	0.00	92.28	0.00	92.28	90.0	1.85
07/31/2018	RINGGER, LINDA	JOHN HANCOCK VENTURE III	0.00	100.10	0.00	100.10	90.0	2.00
07/31/2018	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	0.00	19.89	0.00	19.89	90.0	0.40
07/31/2018	WODA, HELENE	JOHN HANCOCK VENTURE III	0.00	20.89	0.00	20.89	90.0	0.42
07/18/2018	RIVERS, BERTHA	PAC LIFE INNOVATIVE SELECT	0.00	238.98	0.00	238.98	90.0	4.78
07/23/2018	WILLIAMS, PEARLIE	PAC LIFE VALUE	0.00	275.90	0.00	275.90	90.0	5.52
07/20/2018	RIVERS, BERTHA	PACIFIC EXPLORER	0.00	12.79	0.00	12.79	90.0	0.26
07/31/2018	RIVERS, BERTHA	PRU AS LIFEVEST II	0.00	90.25	0.00	90.25	90.0	1.81
07/31/2018	RIVERS, BERTHA	PRU AS LIFEVEST II	0.00	360.98	0.00	360.98	90.0	7.22
06/30/2018	MERZ, JOYCE	STADION INVESTMENTS	16,045.95	10.00	0.00	10.00	90.0	0.20
06/30/2018	WENZ, JAMES	STADION INVESTMENTS	7,347.36	4.58	0.00	4.58	90.0	0.09
* ROBERT, T118 THOMPSON SUBTOTAL *			\$54,414.61	\$2,464.86	\$0.00	\$2,464.86		\$49.33
*** OVERRIDE TRANSACTIONS TOTAL ***			\$54,914.61	\$3,184.01	\$0.00	\$3,184.01		\$99.64

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<u>ADJUSTMENTS</u>								
08/15/2018		*ALBRIDGE: FULL USER	0.00					-176.00
08/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2018		*EO INS: NAVARRE 3/4 E&O	0.00					-225.00
08/15/2018		*PERSHING: MANDATORY REORG MERGER PNW118456	0.00					-10.00
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.98
08/15/2018		*TECHNOLOGY: ADMIN - DEB RYAN 1/3RD	0.00					-43.34
08/15/2018		*TECHNOLOGY: ADMIN - J BOYERS 1/3RD	0.00					-43.34
08/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
08/15/2018		*TECHNOLOGY: MONTHLY 3/4 TECH - NAVARRE	0.00					-206.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,185.91

BROKER COMMISSION TOTALS

Broker Transactions	\$4,261.62
Split Transactions	\$0.00
Override Transactions	\$99.64
Adjustments	\$-1,185.91
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,175.35
Year-to-date Gross	\$88,184.88
Year-to-date Earnings	\$85,170.19

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed