

## COMMISSION STATEMENT

September 29, 2017

ERIC BELZ

1802 LITTLE JOHN STREET

MONTGOMERY, TX 77316

BROKER #: 26

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

09/13/2017 (None)	VARIOUS, BELZ	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	22.70	0.00	22.70	90.0	20.43
09/25/2017 (None)	VARIOUS, BELZ	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	515.52	0.00	515.52	90.0	463.97
09/25/2017 (None)	VARIOUS, BELZ	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	1.12	0.00	1.12	90.0	1.01
09/12/2017 000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763 B		237.50	11.88	0.00	11.88	90.0	10.69
09/05/2017 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A B		108.75	3.05	0.00	3.05	90.0	2.75
09/19/2017 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A B		108.75	3.05	0.00	3.05	90.0	2.75
09/12/2017 0000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND B		500.00	5.00	0.00	5.00	90.0	4.50
09/05/2017 0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B		362.50	10.15	0.00	10.15	90.0	9.14
09/19/2017 0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B		362.50	10.15	0.00	10.15	90.0	9.14
09/05/2017 0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A B		145.00	4.06	0.00	4.06	90.0	3.65
09/19/2017 0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A B		145.00	4.06	0.00	4.06	90.0	3.65
09/15/2017 0000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A B		125.00	6.25	0.00	6.25	90.0	5.63
09/12/2017 000015813591680	SAVELIS, LAILA	FRANKLIN RISING DIVIDENDS FD CLASS B		237.50	11.88	0.00	11.88	90.0	10.69
09/05/2017 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A B		108.75	3.05	0.00	3.05	90.0	2.75
09/19/2017 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A B		108.75	3.05	0.00	3.05	90.0	2.75
09/15/2017 000090230176100	SULLIVAN,	FRANKLIN INCOME FUND CLASS A B		125.00	5.00	0.00	5.00	90.0	4.50
09/18/2017 00056956375	FERRADO, MICHAEL	AMCAP FUND-A B		100.00	4.25	0.00	4.25	90.0	3.83
09/11/2017 00061842239	SCHENK, STEVEN	AMCAP FUND-A B		50.00	1.88	0.00	1.88	90.0	1.69
09/12/2017 00064802351	SCHENK, ERIC	THE GROWTH FUND OF AMERICA-A B		25.00	1.06	0.00	1.06	90.0	0.95
09/12/2017 00064818808	SCHENK, ERIC	AMCAP FUND-A B		20.00	0.85	0.00	0.85	90.0	0.77
09/19/2017 00075280585	MILLER, SAMANTHA	AMERICAN BALANCED FUND-A B		225.00	6.19	0.00	6.19	90.0	5.57
09/19/2017 00075280585	MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A B		225.00	6.19	0.00	6.19	90.0	5.57
09/15/2017 00084040075	WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME FUN B		100.00	4.25	0.00	4.25	90.0	3.83
09/15/2017 00084040075	WILLIAMS, ALYSSA	WASHINGTON MUTUAL INVESTORS FUND-A B		100.00	4.25	0.00	4.25	90.0	3.83
09/15/2017 00088094822	WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C B		50.00	0.50	0.00	0.50	90.0	0.45
09/06/2017 00453533309	BURNS, KATHLEEN	PUTNAM 746933100 B		150.00	4.13	0.00	4.13	90.0	3.72
09/06/2017 00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B		150.00	4.13	0.00	4.13	90.0	3.72
09/11/2017 00487862253	PERUGINI, MILDRED	PUTNAM 746745108 B		100.00	2.75	0.00	2.75	90.0	2.48
09/11/2017 00487862253	PERUGINI, MILDRED	PUTNAM 746802800 B		150.00	4.13	0.00	4.13	90.0	3.72
09/11/2017 00487862253	PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS B		150.00	4.13	0.00	4.13	90.0	3.72
07/17/2017 00493247534	PLAN	PUTNAM 74680E101 B		150.00	5.63	0.00	5.63	90.0	5.07

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
09/12/2017	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102	B	25.00	1.25	0.00	1.25	90.0 1.13
09/12/2017	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	25.00	1.25	0.00	1.25	90.0 1.13
09/12/2017	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	258.00	7.10	0.00	7.10	90.0 6.39
09/12/2017	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	174.00	4.79	0.00	4.79	90.0 4.31
09/12/2017	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	168.00	4.62	0.00	4.62	90.0 4.16
07/17/2017	00550827572	PLAN	PUTNAM 74680E101	B	150.00	5.63	0.00	5.63	90.0 5.07
09/12/2017	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	50.00	2.50	0.00	2.50	90.0 2.25
09/12/2017	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	40.00	2.00	0.00	2.00	90.0 1.80
09/12/2017	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	50.00	2.50	0.00	2.50	90.0 2.25
09/12/2017	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	60.00	3.00	0.00	3.00	90.0 2.70
09/12/2017	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.25	0.00	1.25	90.0 1.13
09/12/2017	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	40.00	2.00	0.00	2.00	90.0 1.80
09/12/2017	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.75	0.00	1.75	90.0 1.58
09/08/2017	01000144893	VARIOUS, BELZ	OPPENHEIMER 683793400	B	0.00	248.22	0.00	248.22	90.0 223.40
09/25/2017	QGW037103	BURNS, KATHLEEN	FIDELITY FREEDOM TWENTY THIRTY FUND	S	-335,000.00	25.00	0.00	25.00	90.0 22.50
09/07/2017	QGW037111	GILMARTIN, MARGARET	AC ALTERNATIVES DISCIPLINED LONG SH	S	-7,421.55	0.00	0.00	0.00	90.0 0.00
09/07/2017	QGW037111	GILMARTIN, MARGARET	AC ALTERNATIVES MARKET NEUTRAL VALU	S	-4,046.84	0.00	0.00	0.00	90.0 0.00
09/07/2017	QGW037111	GILMARTIN, MARGARET	AMERICAN CENTURY HERITAGE FUND CLAS	S	-6,944.51	0.00	0.00	0.00	90.0 0.00
09/07/2017	QGW037111	GILMARTIN, MARGARET	FIDELITY HIGH INCOME FUND	S	-4,880.31	25.00	0.00	25.00	90.0 22.50
09/07/2017	QGW037111	GILMARTIN, MARGARET	FRANKLIN INCOME FUND CLASS A	S	-10,398.23	0.00	0.00	0.00	90.0 0.00
09/07/2017	QGW037111	GILMARTIN, MARGARET	GABELLI UTILITIES FUND CLASS A	S	-4,435.97	25.00	0.00	25.00	90.0 22.50
09/07/2017	QGW037111	GILMARTIN, MARGARET	JP MORGAN CORE BOND FUND SELECT CLA	S	-4,058.17	0.00	0.00	0.00	90.0 0.00
09/07/2017	QGW037129	GILMARTIN, MARGARET	FRANKLIN INCOME FUND CLASS C	S	-6,611.11	25.00	0.00	25.00	90.0 22.50
<b>* MUTUAL FUNDS SUBTOTAL *</b>					<b>\$-378,276.69</b>	<b>\$1,062.15</b>	<b>\$0.00</b>	<b>\$1,062.15</b>	<b>\$956.02</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
09/15/2017	QGW035040	THUILOT, CHARLES	OPKO HEALTH INC	B	12,000.00	150.00	12.00	138.00	90.0 123.00
09/01/2017	QGW035198	WULFF, HANS	POTASH CORP OF SASKATCHEWAN INC CAN	B	35,000.00	390.00	12.00	378.00	90.0 339.00
09/15/2017	QGW035198	WULFF, HANS	TRANSOCEAN LTD US LISTED	S	-17,000.00	212.50	12.00	200.50	90.0 179.25
09/27/2017	QGW035198	WULFF, HANS	POTASH CORP OF SASKATCHEWAN INC CAN	S	-36,000.00	380.00	12.00	368.00	90.0 330.00
09/15/2017	QGW035412	AMENITA, PATRICIA	TRANSOCEAN LTD US LISTED	S	-17,000.00	212.50	12.00	200.50	90.0 179.25
09/15/2017	QGW035511	LYDEN, MARY	COHERUS BIOSCIENCES INC COM	B	15,000.00	190.00	12.00	178.00	90.0 159.00
09/15/2017	QGW035511	LYDEN, MARY	TWITTER INC	S	-17,500.00	220.00	12.00	208.00	90.0 186.00
09/01/2017	QGW035537	LYDEN, MARY	POTASH CORP OF SASKATCHEWAN INC CAN	B	35,000.00	390.00	12.00	378.00	90.0 339.00
09/15/2017	QGW035537	LYDEN, MARY	TWITTER INC	S	-36,000.00	317.00	12.00	305.00	90.0 273.30
09/27/2017	QGW035537	LYDEN, MARY	POTASH CORP OF SASKATCHEWAN INC CAN	S	-5,400.00	110.04	12.00	98.04	90.0 87.04
09/15/2017	QGW035545	KMIECIAK, INES	POTASH CORP OF SASKATCHEWAN INC CAN	S	-36,000.00	310.00	12.00	298.00	90.0 267.00
09/15/2017	QGW035545	KMIECIAK, INES	TRANSOCEAN LTD US LISTED	S	-17,000.00	215.00	12.00	203.00	90.0 181.50
09/15/2017	QGW035560	AMENITA, FREDERICK	SIRIUS XM HOLDINGS INC COM	S	-16,500.00	213.00	12.00	201.00	90.0 179.70
09/15/2017	QGW035594	DUSATKO, ANNE	TRANSOCEAN LTD US LISTED	S	-17,000.00	341.55	12.00	329.55	90.0 295.40

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
09/15/2017	QGW035594	DUSATKO, ANNE	TWITTER INC	S	-63,000.00	395.00	12.00	383.00	90.0	343.50
09/15/2017	QGW035636	SEIDEL, ANNE	TWITTER INC	S	-18,000.00	232.00	12.00	220.00	90.0	196.80
09/15/2017	QGW035727	HERDTER, CYNTHIA	SIRIUS XM HOLDINGS INC COM	S	-16,500.00	213.00	12.00	201.00	90.0	179.70
09/15/2017	QGW035768	MASSA, PATRICIA	OPKO HEALTH INC	B	18,000.00	235.00	12.00	223.00	90.0	199.50
09/22/2017	QGW035768	MASSA, PATRICIA	OPKO HEALTH INC	B	6,738.70	50.00	12.00	38.00	90.0	33.00
09/22/2017	QGW035768	MASSA, PATRICIA	OPKO HEALTH INC	B	20,183.10	215.00	12.00	203.00	90.0	181.50
08/31/2017	QGW035834	CHAUDHRY, JAHANZEB	AUTONATION INC DEL COM	B	66,390.00	420.00	12.00	408.00	90.0	366.00
09/15/2017	QGW035834	CHAUDHRY, JAHANZEB	AUTONATION INC DEL COM	S	-69,000.00	425.00	12.00	413.00	90.0	370.50
09/15/2017	QGW035834	CHAUDHRY, JAHANZEB	COHERUS BIOSCIENCES INC COM	B	30,000.00	255.00	12.00	243.00	90.0	217.50
09/15/2017	QGW035834	CHAUDHRY, JAHANZEB	OPKO HEALTH INC	B	12,000.00	150.00	12.00	138.00	90.0	123.00
09/15/2017	QGW035834	CHAUDHRY, JAHANZEB	TWITTER INC	S	-27,000.00	297.00	12.00	285.00	90.0	255.30
09/21/2017	QGW035875	HARRISON, DENNIS	ARCONIC INC COM	S	-7,570.99	78.00	12.00	66.00	90.0	58.20
09/15/2017	QGW035982	PANASUK, JOHN	TRANSOCEAN LTD US LISTED	S	-34,000.00	295.00	12.00	283.00	90.0	253.50
09/15/2017	QGW035982	PANASUK, JOHN	TWITTER INC	S	-45,000.00	396.00	12.00	384.00	90.0	344.40
09/15/2017	QGW036030	BALLO, CATHERINE	COHERUS BIOSCIENCES INC COM	B	30,000.00	265.00	12.00	253.00	90.0	226.50
09/15/2017	QGW036030	BALLO, CATHERINE	OPKO HEALTH INC	B	9,000.00	230.00	12.00	218.00	90.0	195.00
09/15/2017	QGW036030	BALLO, CATHERINE	TRANSOCEAN LTD US LISTED	S	-34,000.00	568.84	12.00	556.84	90.0	499.96
09/15/2017	QGW036030	BALLO, CATHERINE	TWITTER INC	S	-26,250.00	230.00	12.00	218.00	90.0	195.00
09/22/2017	QGW036030	BALLO, CATHERINE	OPKO HEALTH INC	B	26,912.00	188.00	12.00	176.00	90.0	157.20
09/15/2017	QGW036071	HENNEMANN, DENNIS	SIRIUS XM HOLDINGS INC COM	S	-16,500.00	385.64	12.00	373.64	90.0	335.08
09/15/2017	QGW036311	FAST, KENNETH	NABORS INDUSTRIES LTD	B	8,000.00	191.27	12.00	179.27	90.0	160.14
09/15/2017	QGW036311	FAST, KENNETH	TWITTER INC	B	19,000.00	245.00	12.00	233.00	90.0	208.50
09/01/2017	QGW036329	WOLF, KIM	POTASH CORP OF SASKATCHEWAN INC CAN	B	35,000.00	390.00	12.00	378.00	90.0	339.00
09/15/2017	QGW036329	WOLF, KIM	SIRIUS XM HOLDINGS INC COM	S	-13,750.00	178.00	12.00	166.00	90.0	148.20
09/15/2017	QGW036899	SARLO, JESSICA	TWITTER INC	S	-13,200.00	165.00	12.00	153.00	90.0	136.50
09/15/2017	QGW036956	BROCHARD, THOMAS	TWITTER INC	B	19,000.00	245.00	12.00	233.00	90.0	208.50
09/15/2017	QGW037087	01/19/2016, U/A	OPKO HEALTH INC	B	18,000.00	402.41	12.00	390.41	90.0	350.17
09/07/2017	QGW037111	GILMARTIN, MARGARET	CITIGROUP INC COM	S	-2,041.97	63.00	12.00	51.00	90.0	44.70
* STOCKS SUBTOTAL *					\$-185,989.16	\$11,054.75	\$504.00	\$10,550.75		\$9,445.29
PRODUCT CATEGORY: OPTIONS										
09/07/2017	QGW031783	JACKSON, FRANK	PUT 100 OPKO HEALTH INC COM EXP 10-	S	-625.00	71.00	18.25	52.75	90.0	45.65
09/07/2017	QGW031783	JACKSON, FRANK	PUT 100 VUZIX CORP COM NEW EXP 01-1	S	-1,560.00	99.00	17.00	82.00	90.0	72.10
09/13/2017	QGW031783	JACKSON, FRANK	CALL 100 OPKO HEALTH INC COM EXP 10	S	-375.00	40.00	15.75	24.25	90.0	20.25
09/27/2017	QGW033375	AMENITA, PATRICIA	CALL 100 OPKO HEALTH INC COM EXP 11	S	-140.00	20.00	15.50	4.50	90.0	2.50
09/28/2017	QGW033375	AMENITA, PATRICIA	PUT 100 VUZIX CORP COM NEW EXP 04-2	S	-810.00	75.00	13.50	61.50	90.0	54.00
09/18/2017	QGW033441	MURPHY, BREANNE	CALL 100 KRATOS DEFENSE & SEC SOLUT	S	-300.00	31.00	14.50	16.50	90.0	13.40
09/19/2017	QGW034415	CHAIMOVITCH, BERNARD	CALL 100 BANK AMER CORP COM EXP 11-	S	-210.00	19.00	14.50	4.50	90.0	2.60
09/26/2017	QGW034415	CHAIMOVITCH, BERNARD	CALL 100 NABORS INDS LTD SHS ISIN#B	S	-328.00	30.00	14.00	16.00	90.0	13.00
09/21/2017	QGW035040	THUILOT, CHARLES	CALL 100 OPKO HEALTH INC COM EXP 11	S	-1,200.00	99.00	22.00	77.00	90.0	67.10

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/26/2017	QGW035040 THUILOT, CHARLES	CALL 100 NABORS INDS LTD SHS ISIN#B S		-360.00	38.00	17.00	21.00	90.0	17.20
09/14/2017	QGW035123 BOWLEY, KEVIN	PUT 100 OPKO HEALTH INC COM EXP 10- S		-900.00	88.00	19.50	68.50	90.0	59.70
09/18/2017	QGW035180 PUE, MARYANN	PUT 100 TWITTER INC COM EXP 10-20-1 S		-549.00	59.00	14.25	44.75	90.0	38.85
09/07/2017	QGW035198 WULFF, HANS	CALL 100 POTASH CORP OF SASKATCHEWA S		-800.00	79.00	17.00	62.00	90.0	54.10
09/08/2017	QGW035198 WULFF, HANS	CALL 100 OPKO HEALTH INC COM EXP 10 B		700.00	71.00	20.75	50.25	90.0	43.15
09/18/2017	QGW035198 WULFF, HANS	CALL 100 BANK AMER CORP COM EXP 11- S		-300.00	30.00	15.75	14.25	90.0	11.25
09/07/2017	QGW035206 SKAHILL, ROBERT	PUT 100 BANK AMER CORP COM EXP 10-0 S		-810.00	90.00	16.50	73.50	90.0	64.50
09/14/2017	QGW035214 BIANCO, BARBARA	PUT 100 OPKO HEALTH INC COM EXP 10- S		-900.00	91.00	19.50	71.50	90.0	62.40
09/14/2017	QGW035214 BIANCO, BARBARA	PUT 100 OPKO HEALTH INC COM EXP 10- S		900.00	-91.00	-19.50	-71.50	90.0	-62.40 C
09/14/2017	QGW035214 BIANCO, BARBARA	PUT 100 OPKO HEALTH INC COM EXP 10- S		-900.00	91.00	19.50	71.50	90.0	62.40
09/18/2017	QGW035214 BIANCO, BARBARA	CALL 100 KRATOS DEFENSE & SEC SOLUT S		-455.00	50.00	15.25	34.75	90.0	29.75
09/18/2017	QGW035214 BIANCO, BARBARA	CALL 100 KRATOS DEFENSE & SEC SOLUT S		455.00	-50.00	-15.25	-34.75	90.0	-29.75 C
09/18/2017	QGW035214 BIANCO, BARBARA	CALL 100 KRATOS DEFENSE & SEC SOLUT S		-455.00	50.00	15.25	34.75	90.0	29.75
09/07/2017	QGW035222 BIANCO, BARBARA	PUT 100 COHERUS BIOSCIENCES INC COM S		-650.00	67.00	14.50	52.50	90.0	45.80
09/28/2017	QGW035230 SCIARRINO, RUTHELLEN	PUT 100 VUZIX CORP COM NEW EXP 01-1 S		-510.00	45.00	13.50	31.50	90.0	27.00
09/14/2017	QGW035248 BELZ, ERIC	PUT 100 OPKO HEALTH INC COM EXP 10- S		-900.00	88.00	19.50	68.50	90.0	59.70
09/11/2017	QGW035255 BROCHARD, MARIA	CALL 100 OPKO HEALTH INC COM EXP 10 S		-800.00	20.00	22.00	-2.00	90.0	-4.00
09/19/2017	QGW035255 BROCHARD, MARIA	CALL 100 BANK AMER CORP COM EXP 11- S		-315.00	32.00	15.75	16.25	90.0	13.05
09/06/2017	QGW035388 FAY, WALTER	PUT 100 BANK AMER CORP COM EXP 10-2 S		-915.00	89.00	15.75	73.25	90.0	64.35
09/26/2017	QGW035388 FAY, WALTER	CALL 100 NABORS INDS LTD SHS ISIN#B S		-320.00	30.00	17.00	13.00	90.0	10.00
09/26/2017	QGW035388 FAY, WALTER	CALL 100 NABORS INDS LTD SHS ISIN#B S		320.00	-30.00	-17.00	-13.00	90.0	-10.00 C
09/26/2017	QGW035388 FAY, WALTER	CALL 100 NABORS INDS LTD SHS ISIN#B S		-320.00	30.00	17.00	13.00	90.0	10.00
09/18/2017	QGW035412 AMENITA, PATRICIA	CALL 100 KRATOS DEFENSE & SEC SOLUT S		-660.00	62.00	17.00	45.00	90.0	38.80
09/21/2017	QGW035412 AMENITA, PATRICIA	CALL 100 OPKO HEALTH INC COM EXP 11 S		-525.00	51.00	17.25	33.75	90.0	28.65
09/18/2017	QGW035487 O'BRIEN, THOMAS	PUT 100 TWITTER INC COM EXP 10-20-1 S		-660.00	65.00	14.50	50.50	90.0	44.00
09/07/2017	QGW035511 LYDEN, MARY	PUT 100 COHERUS BIOSCIENCES INC COM S		-1,500.00	99.00	14.50	84.50	90.0	74.60
09/07/2017	QGW035511 LYDEN, MARY	PUT 100 VUZIX CORP COM NEW EXP 01-1 S		-2,400.00	99.00	19.50	79.50	90.0	69.60
09/18/2017	QGW035511 LYDEN, MARY	PUT 100 TWITTER INC COM EXP 10-20-1 S		-990.00	99.00	15.75	83.25	90.0	73.35
09/22/2017	QGW035511 LYDEN, MARY	CALL 100 OPKO HEALTH INC COM EXP 11 S		-750.00	69.00	18.25	50.75	90.0	43.85
09/25/2017	QGW035511 LYDEN, MARY	CALL 100 NABORS INDS LTD SHS ISIN#B S		-1,230.00	99.00	19.50	79.50	90.0	69.60
09/07/2017	QGW035537 LYDEN, MARY	CALL 100 POTASH CORP OF SASKATCHEWA S		-800.00	79.00	17.00	62.00	90.0	54.10
09/07/2017	QGW035537 LYDEN, MARY	CALL 100 TWITTER INC COM EXP 09-15- S		-300.00	33.00	17.00	16.00	90.0	12.70
09/18/2017	QGW035537 LYDEN, MARY	PUT 100 TWITTER INC COM EXP 10-20-1 S		-1,035.00	99.00	15.75	83.25	90.0	73.35
09/12/2017	QGW035545 KMIECIAK, INES	CALL 100 OPKO HEALTH INC COM EXP 10 S		-400.00	40.00	17.00	23.00	90.0	19.00
09/14/2017	QGW035545 KMIECIAK, INES	PUT 100 OPKO HEALTH INC COM EXP 10- S		-900.00	92.00	19.50	72.50	90.0	63.30
09/26/2017	QGW035560 AMENITA, FREDERICK	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-3,125.00	99.00	18.25	80.75	90.0	70.85
09/26/2017	QGW035560 AMENITA, FREDERICK	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		3,125.00	-99.00	-18.25	-80.75	90.0	-70.85 C
09/26/2017	QGW035560 AMENITA, FREDERICK	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-3,125.00	99.00	18.25	80.75	90.0	70.85
09/27/2017	QGW035560 AMENITA, FREDERICK	CALL 100 NABORS INDS LTD SHS ISIN#B S		-484.00	41.00	14.75	26.25	90.0	22.15
09/27/2017	QGW035560 AMENITA, FREDERICK	CALL 100 NABORS INDS LTD SHS ISIN#B S		484.00	-41.00	-14.75	-26.25	90.0	-22.15 C

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/27/2017	QGW035560	AMENITA, FREDERICK	CALL 100 NABORS INDS LTD SHS ISIN#B S	-484.00	41.00	14.75	26.25	90.0	22.15
09/08/2017	QGW035594	DUSATKO, ANNE	PUT 100 OPKO HEALTH INC COM EXP 09- S	-300.00	30.00	19.50	10.50	90.0	7.50
09/18/2017	QGW035594	DUSATKO, ANNE	PUT 100 TWITTER INC COM EXP 10-20-1 S	-1,400.00	99.00	17.00	82.00	90.0	72.10
09/19/2017	QGW035594	DUSATKO, ANNE	CALL 100 BANK AMER CORP COM EXP 11- S	-315.00	31.00	15.75	15.25	90.0	12.15
09/22/2017	QGW035594	DUSATKO, ANNE	CALL 100 OPKO HEALTH INC COM EXP 11 S	-900.00	88.00	19.50	68.50	90.0	59.70
09/25/2017	QGW035594	DUSATKO, ANNE	CALL 100 NABORS INDS LTD SHS ISIN#B S	-820.00	78.00	17.00	61.00	90.0	53.20
09/19/2017	QGW035636	SEIDEL, ANNE	PUT 100 TWITTER INC COM EXP 10-20-1 S	-470.00	41.00	14.50	26.50	90.0	22.40
09/05/2017	QGW035644	MONGELUZZI, LINDA	PUT 100 BANK AMER CORP COM EXP 10-2 S	-990.00	95.00	15.75	79.25	90.0	69.75
09/11/2017	QGW035644	MONGELUZZI, LINDA	CALL 100 OPKO HEALTH INC COM EXP 10 S	-500.00	51.00	18.25	32.75	90.0	27.65
09/28/2017	QGW035644	MONGELUZZI, LINDA	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-2,125.00	110.00	18.25	91.75	90.0	80.75
09/26/2017	QGW035677	KOLLAR, MAURA	CALL 100 OPKO HEALTH INC COM EXP 11 S	-500.00	49.00	17.00	32.00	90.0	27.10
09/08/2017	QGW035685	EICHENHOLZ, SONDR	PUT 100 OPKO HEALTH INC COM EXP 09- S	-250.00	27.00	18.25	8.75	90.0	6.05
09/11/2017	QGW035685	EICHENHOLZ, SONDR	CALL 100 OPKO HEALTH INC COM EXP 10 S	-600.00	61.00	19.50	41.50	90.0	35.40
08/31/2017	QGW035701	SZARKA, MICHAEL	CALL 100 TWITTER INC COM EXP 10-20- S	-825.00	75.00	15.75	59.25	90.0	51.75
09/13/2017	QGW035701	SZARKA, MICHAEL	CALL 100 OPKO HEALTH INC COM EXP 10 S	-300.00	31.00	17.00	14.00	90.0	10.90
09/26/2017	QGW035719	FAMILY 2011	CALL 100 NABORS INDS LTD SHS ISIN#B S	-320.00	32.00	17.00	15.00	90.0	11.80
09/07/2017	QGW035727	HERDTER, CYNTHIA	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-800.00	77.00	14.50	62.50	90.0	54.80
09/13/2017	QGW035727	HERDTER, CYNTHIA	CALL 100 OPKO HEALTH INC COM EXP 10 S	-600.00	59.00	17.00	42.00	90.0	36.10
09/18/2017	QGW035735	STELLATO, MICHAEL	PUT 100 TWITTER INC COM EXP 10-20-1 S	-610.00	61.00	14.50	46.50	90.0	40.40
09/07/2017	QGW035768	MASSA, PATRICIA	CALL 100 OPKO HEALTH INC COM EXP 10 S	-400.00	41.00	22.00	19.00	90.0	14.90
09/07/2017	QGW035768	MASSA, PATRICIA	CALL 100 OPKO HEALTH INC COM EXP 10 S	400.00	-41.00	-22.00	-19.00	90.0	-14.90 C
09/07/2017	QGW035768	MASSA, PATRICIA	CALL 100 OPKO HEALTH INC COM EXP 10 S	-400.00	41.00	22.00	19.00	90.0	14.90
09/20/2017	QGW035768	MASSA, PATRICIA	CALL 100 OPKO HEALTH INC COM EXP 11 S	-1,400.00	99.00	29.50	69.50	90.0	59.60
09/05/2017	QGW035776	ZIMMERMAN, FRANCINE	PUT 100 BANK AMER CORP COM EXP 10-2 S	-1,360.00	99.00	17.00	82.00	90.0	72.10
09/14/2017	QGW035776	ZIMMERMAN, FRANCINE	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-3,000.00	99.00	18.25	80.75	90.0	70.85
09/20/2017	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 OPKO HEALTH INC COM EXP 11 S	-400.00	36.00	17.00	19.00	90.0	15.40
09/26/2017	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 NABORS INDS LTD SHS ISIN#B S	-360.00	33.50	17.00	16.50	90.0	13.15
09/27/2017	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 NABORS INDS LTD SHS ISIN#B S	-360.00	33.50	17.00	16.50	90.0	13.15
09/07/2017	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 COHERUS BIOSCIENCES INC COM S	-2,400.00	99.00	17.00	82.00	90.0	72.10
09/12/2017	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 OPKO HEALTH INC COM EXP 10 S	-400.00	41.00	17.00	24.00	90.0	19.90
09/13/2017	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 AUTONATION INC DEL COM EXP S	-750.00	68.00	15.75	52.25	90.0	45.45
09/14/2017	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 OPKO HEALTH INC COM EXP 10- S	-900.00	91.00	19.50	71.50	90.0	62.40
09/18/2017	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 TWITTER INC COM EXP 10-20-1 S	-1,260.00	99.00	17.00	82.00	90.0	72.10
09/19/2017	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 VUZIX CORP COM NEW EXP 10-2 S	-450.00	47.00	19.50	27.50	90.0	22.80
09/19/2017	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 VUZIX CORP COM NEW EXP 11-1 S	-2,000.00	99.00	24.50	74.50	90.0	64.60
09/20/2017	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 OPKO HEALTH INC COM EXP 11 S	-400.00	37.00	17.00	20.00	90.0	16.30
09/26/2017	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 VUZIX CORP COM NEW EXP 11-1 S	-2,000.00	99.00	24.50	74.50	90.0	64.60
09/08/2017	QGW035883	BUDKEVICS, YANA	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-3,200.00	115.00	22.00	93.00	90.0	81.50
09/27/2017	QGW035891	DICKIE, JAYNE	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-810.00	81.00	13.50	67.50	90.0	59.40
09/18/2017	QGW035917	BOVE, DOMINICK	CALL 100 BANK AMER CORP COM EXP 11- S	-400.00	41.00	17.00	24.00	90.0	19.90

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/11/2017	QGW035982	PANASUK, JOHN	CALL 100 OPKO HEALTH INC COM EXP 10 S	-600.00	59.00	19.50	39.50	90.0	33.60
09/18/2017	QGW035982	PANASUK, JOHN	PUT 100 TWITTER INC COM EXP 10-20-1 S	-700.00	68.00	14.50	53.50	90.0	46.70
09/21/2017	QGW035982	PANASUK, JOHN	CALL 100 OPKO HEALTH INC COM EXP 11 S	-600.00	59.00	17.00	42.00	90.0	36.10
09/26/2017	QGW035982	PANASUK, JOHN	CALL 100 NABORS INDS LTD SHS ISIN#B S	-875.00	51.00	18.25	32.75	90.0	27.65
09/28/2017	QGW035982	PANASUK, JOHN	PUT 100 VUZIX CORP COM NEW EXP 11-1 S	-1,800.00	99.00	22.00	77.00	90.0	67.10
08/31/2017	QGW035990	HERDTER, RICHARD	CALL 100 OPKO HEALTH INC COM EXP 09 S	-120.00	11.60	14.00	-2.40	90.0	-3.56
09/05/2017	QGW035990	HERDTER, RICHARD	PUT 100 BANK AMER CORP COM EXP 10-2 S	-990.00	99.00	15.75	83.25	90.0	73.35
09/07/2017	QGW035990	HERDTER, RICHARD	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-1,600.00	99.00	17.00	82.00	90.0	72.10
09/11/2017	QGW035990	HERDTER, RICHARD	CALL 100 OPKO HEALTH INC COM EXP 10 S	-600.00	61.00	19.50	41.50	90.0	35.40
09/14/2017	QGW036006	WALLNER, ERIC	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-2,250.00	99.00	19.50	79.50	90.0	69.60
08/31/2017	QGW036030	BALLO, CATHERINE	PUT 100 PENTAIR PLC SHS ISIN#IE00BL S	-600.00	55.00	15.75	39.25	90.0	33.75
09/07/2017	QGW036030	BALLO, CATHERINE	CALL 100 OPKO HEALTH INC COM EXP 10 S	-560.00	41.00	22.00	19.00	90.0	14.90
09/07/2017	QGW036030	BALLO, CATHERINE	PUT 100 COHERUS BIOSCIENCES INC COM S	-2,000.00	99.00	17.00	82.00	90.0	72.10
09/07/2017	QGW036030	BALLO, CATHERINE	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-2,000.00	99.00	18.25	80.75	90.0	70.85
09/14/2017	QGW036030	BALLO, CATHERINE	PUT 100 OPKO HEALTH INC COM EXP 10- S	-900.00	89.00	19.50	69.50	90.0	60.60
09/18/2017	QGW036030	BALLO, CATHERINE	PUT 100 TWITTER INC COM EXP 10-20-1 S	-915.00	90.00	15.75	74.25	90.0	65.25
09/21/2017	QGW036030	BALLO, CATHERINE	CALL 100 OPKO HEALTH INC COM EXP 11 S	-1,050.00	99.00	20.75	78.25	90.0	68.35
09/27/2017	QGW036030	BALLO, CATHERINE	CALL 100 NABORS INDS LTD SHS ISIN#B S	-860.00	79.00	17.00	62.00	90.0	54.10
09/27/2017	QGW036030	BALLO, CATHERINE	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-1,485.00	54.45	14.75	39.70	90.0	34.26
09/28/2017	QGW036030	BALLO, CATHERINE	PUT 100 VUZIX CORP COM NEW EXP 10-2 S	-1,000.00	95.00	24.50	70.50	90.0	61.00
09/28/2017	QGW036030	BALLO, CATHERINE	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-1,215.00	44.55	14.25	30.30	90.0	25.85
09/27/2017	QGW036048	FITZPATRICK, BARRY	CALL 100 NABORS INDS LTD SHS ISIN#B S	-880.00	89.00	17.00	72.00	90.0	63.10
09/07/2017	QGW036055	MATACOTTA, CORINNA	PUT 100 BANK AMER CORP COM EXP 10-0 S	-414.00	41.00	14.25	26.75	90.0	22.65
09/07/2017	QGW036055	MATACOTTA, CORINNA	PUT 100 OPKO HEALTH INC COM EXP 10- S	-400.00	44.00	16.00	28.00	90.0	23.60
09/18/2017	QGW036063	PICCININNI,	CALL 100 KRATOS DEFENSE & SEC SOLUT S	-680.00	62.00	17.00	45.00	90.0	38.80
09/05/2017	QGW036089	HENNEMANN, PATRICIA	PUT 100 BANK AMER CORP COM EXP 10-2 S	-1,035.00	95.00	15.75	79.25	90.0	69.75
09/22/2017	QGW036089	HENNEMANN, PATRICIA	CALL 100 OPKO HEALTH INC COM EXP 11 S	-750.00	63.00	18.25	44.75	90.0	38.45
09/25/2017	QGW036121	CZACHOR, MICHAEL	CALL 100 NABORS INDS LTD SHS ISIN#B S	-330.00	31.00	14.50	16.50	90.0	13.40
09/11/2017	QGW036154	CALLAHAN, PATRICK	CALL 100 PAYPAL HLDGS INC COM EXP 0 S	-168.00	25.00	13.00	12.00	90.0	9.50
09/18/2017	QGW036154	CALLAHAN, PATRICK	CALL 100 PAYPAL HLDGS INC COM EXP 1 S	-344.00	40.00	13.00	27.00	90.0	23.00
09/28/2017	QGW036279	MIKO, ARTHUR	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-810.00	72.00	13.50	58.50	90.0	51.30
09/26/2017	QGW036311	FAST, KENNETH	CALL 100 NABORS INDS LTD SHS ISIN#B S	-410.00	35.00	14.50	20.50	90.0	17.00
09/07/2017	QGW036329	WOLF, KIM	CALL 100 POTASH CORP OF SASKATCHEWA S	-800.00	79.00	17.00	62.00	90.0	54.10
09/26/2017	QGW036329	WOLF, KIM	CALL 100 NABORS INDS LTD SHS ISIN#B S	-360.00	39.00	17.00	22.00	90.0	18.10
09/27/2017	QGW036345	GALATI, VINCENT	CALL 100 NABORS INDS LTD SHS ISIN#B S	-516.00	55.00	15.00	40.00	90.0	34.50
09/26/2017	QGW036899	SARLO, JESSICA	CALL 100 NABORS INDS LTD SHS ISIN#B S	-860.00	87.00	17.00	70.00	90.0	61.30
09/20/2017	QGW037087	01/19/2016, U/A	CALL 100 OPKO HEALTH INC COM EXP 11 S	-600.00	55.00	19.50	35.50	90.0	30.00
09/25/2017	QGW037087	01/19/2016, U/A	CALL 100 NABORS INDS LTD SHS ISIN#B S	-1,148.00	99.00	19.00	80.00	90.0	70.10
09/14/2017	QGW038002	WICKERS, SCOTT	PUT 100 OPKO HEALTH INC COM EXP 10- S	-800.00	71.00	24.50	46.50	90.0	39.40
* OPTIONS SUBTOTAL *				\$-98,991.00	\$7,662.60	\$2,014.25	\$5,648.35		\$4,882.10

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
09/08/2017	(None) VARIOUS, BELZ	GWG HOLDING	B	0.00	8,100.00	12.00	8,088.00	90.0	7,278.00
09/21/2017	(None) VARIOUS, BELZ	GWG HOLDING	B	0.00	3,575.00	12.00	3,563.00	90.0	3,205.50
09/22/2017	(None) VARIOUS, BELZ	GWG HOLDING	B	0.00	1,500.00	12.00	1,488.00	90.0	1,338.00
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$13,175.00</b>	<b>\$36.00</b>	<b>\$13,139.00</b>		<b>\$11,821.50</b>
<b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b>									
09/29/2017	VARIOUS, BELZ	MISC TRADES	B	0.00	116.83	12.00	104.83	90.0	93.15
09/29/2017	VARIOUS, BELZ	OPPENHEIMER	B	0.00	248.22	12.00	236.22	90.0	211.40
<b>* MISCELLANEOUS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$365.05</b>	<b>\$24.00</b>	<b>\$341.05</b>		<b>\$304.55</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
09/01/2017	00053700704 GRIFFIN, STEFANI	AMCAP FUND-A	B	0.00	77.66	0.00	77.66	90.0	69.89
09/01/2017	00056682995 ROBBINS, JAMES	AMCAP FUND-A	B	0.00	33.96	0.00	33.96	90.0	30.56
09/01/2017	00056682996 ROBBINS, JANET	AMCAP FUND-A	B	0.00	2.42	0.00	2.42	90.0	2.18
09/01/2017	00056723599 RICCA, MARIA	THE BOND FUND OF AMERICA-A	B	0.00	12.77	0.00	12.77	90.0	11.49
09/01/2017	00056956375 FERRADO, MICHAEL	AMCAP FUND-A	B	0.00	12.19	0.00	12.19	90.0	10.97
09/01/2017	00057513163 MILLER, DIANNE	AMCAP FUND-A	B	0.00	74.11	0.00	74.11	90.0	66.70
09/01/2017	00058583141 TREZZA, JOANN	AMCAP FUND-A	B	0.00	81.37	0.00	81.37	90.0	73.23
09/01/2017	00059115258 TRINLER, DONNA	AMCAP FUND-A	B	0.00	62.47	0.00	62.47	90.0	56.22
09/01/2017	00059611396 KOWAL, WALTER	AMCAP FUND-A	B	0.00	6.32	0.00	6.32	90.0	5.69
09/01/2017	00059888150 TREZZA, JOANN	AMCAP FUND-A	B	0.00	73.82	0.00	73.82	90.0	66.44
09/01/2017	00061323101 MAUCELI, PAUL	AMCAP FUND-A	B	0.00	14.96	0.00	14.96	90.0	13.46
09/01/2017	00061841289 ALOISIO, LISA	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.73	0.00	3.73	90.0	3.36
09/01/2017	00061842239 SCHENK, STEVEN	AMCAP FUND-A	B	0.00	18.04	0.00	18.04	90.0	16.24
09/01/2017	00062493467 RICCA, MARIA	THE BOND FUND OF AMERICA-A	B	0.00	2.42	0.00	2.42	90.0	2.18
09/01/2017	00063004460 SCHENK, STEVEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	30.40	0.00	30.40	90.0	27.36
09/01/2017	00063313715 FUSCO, CAROL	AMCAP FUND-A	B	0.00	16.84	0.00	16.84	90.0	15.16
09/01/2017	00064006832 TRINLER, DONNA	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	28.66	0.00	28.66	90.0	25.79
09/01/2017	00064137468 RICCA, MARIA	THE BOND FUND OF AMERICA-A	B	0.00	10.82	0.00	10.82	90.0	9.74
09/01/2017	00064418411 RIVERA, JANICE	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.58	0.00	2.58	90.0	2.32
09/01/2017	00064802351 SCHENK, ERIC	THE GROWTH FUND OF AMERICA-A	B	0.00	19.07	0.00	19.07	90.0	17.16
09/01/2017	00064818808 SCHENK, ERIC	AMCAP FUND-A	B	0.00	11.31	0.00	11.31	90.0	10.18
09/01/2017	00064857417 JTEN	THE GROWTH FUND OF AMERICA-A	B	0.00	4.25	0.00	4.25	90.0	3.83
09/01/2017	00064888459 FL/TOD	THE GROWTH FUND OF AMERICA-A	B	0.00	6.07	0.00	6.07	90.0	5.46
09/01/2017	00065040606 CONWAY, THOMAS	AMCAP FUND-A	B	0.00	31.33	0.00	31.33	90.0	28.20
09/01/2017	00066734344 CONWAY, KIMBERLY	AMCAP FUND-A	B	0.00	52.95	0.00	52.95	90.0	47.66
09/01/2017	00066867117 MAUCELI, PAUL	THE GROWTH FUND OF AMERICA-A	B	0.00	8.09	0.00	8.09	90.0	7.28
09/01/2017	00067171812 CONWAY, THOMAS	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	27.32	0.00	27.32	90.0	24.59
09/01/2017	00068558102 MONGIELLO, JERRI	AMCAP FUND-A	B	0.00	8.01	0.00	8.01	90.0	7.21
09/01/2017	00068558102 MONGIELLO, JERRI	AMERICAN BALANCED FUND-A	B	0.00	59.50	0.00	59.50	90.0	53.55

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/01/2017	00068698821	RIVERA, JANICE	AMCAP FUND-A	B	0.00	4.39	0.00	4.39	90.0	3.95
09/01/2017	00069108583	LAROSA, LAURA	AMERICAN BALANCED FUND INC CL F1	B	0.00	2.03	0.00	2.03	90.0	1.83
09/01/2017	00069108583	LAROSA, LAURA	CAPITAL INCOME BUILDER FD CL F-1	B	0.00	3.18	0.00	3.18	90.0	2.86
09/01/2017	00069108583	LAROSA, LAURA	CAPITAL WORLD BOND FUND CL F1	B	0.00	0.88	0.00	0.88	90.0	0.79
09/01/2017	00069108583	LAROSA, LAURA	GROWTH FUND OF AMERICA CLASS F	B	0.00	2.72	0.00	2.72	90.0	2.45
09/01/2017	00069108583	LAROSA, LAURA	NEW PERSPECTIVE FUND INC CL F1	B	0.00	2.59	0.00	2.59	90.0	2.33
09/01/2017	00069108583	LAROSA, LAURA	WASHINGTON MUTUAL INVESTORS FUND-F1	B	0.00	2.93	0.00	2.93	90.0	2.64
09/01/2017	00069266246	WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	1.74	0.00	1.74	90.0	1.57
09/01/2017	00069266246	WILLIAMS, ALYSSA	THE INCOME FUND OF AMERICA-529C	B	0.00	1.18	0.00	1.18	90.0	1.06
09/01/2017	00073762934	GRIFFIN, STEFANI	AMCAP FUND-A	B	0.00	3.56	0.00	3.56	90.0	3.20
09/01/2017	00073828945	GRIFFIN, STEFANI	AMCAP FUND-A	B	0.00	2.00	0.00	2.00	90.0	1.80
09/01/2017	00075280585	MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	0.00	31.65	0.00	31.65	90.0	28.49
09/01/2017	00075280585	MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	0.00	26.02	0.00	26.02	90.0	23.42
09/01/2017	00075362368	DIGRAZIANO,	THE GROWTH FUND OF AMERICA-A	B	0.00	6.11	0.00	6.11	90.0	5.50
09/01/2017	00081397372	BALTRUSAITIS, ALGY	AMCAP FUND-A	B	0.00	34.83	0.00	34.83	90.0	31.35
09/01/2017	00081397372	BALTRUSAITIS, ALGY	THE GROWTH FUND OF AMERICA-A	B	0.00	11.19	0.00	11.19	90.0	10.07
09/01/2017	00081397372	BALTRUSAITIS, ALGY	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	51.52	0.00	51.52	90.0	46.37
09/01/2017	00082094062	DIGRAZIANO,	AMCAP FUND-A	B	0.00	2.51	0.00	2.51	90.0	2.26
09/01/2017	00082474305	CIRNIGLIARO,	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	26.57	0.00	26.57	90.0	23.91
09/01/2017	00082474305	CIRNIGLIARO,	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	9.21	0.00	9.21	90.0	8.29
09/01/2017	00082474305	CIRNIGLIARO,	GROWTH FUND OF AMERICA CLASS F	B	0.00	3.92	0.00	3.92	90.0	3.53
09/01/2017	00082474305	CIRNIGLIARO,	THE GROWTH FUND OF AMERICA-C	B	0.00	11.34	0.00	11.34	90.0	10.21
09/01/2017	00082575128	DEGRANDIS, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	60.64	0.00	60.64	90.0	54.58
09/01/2017	00082575128	DEGRANDIS, JOHN	THE GROWTH FUND OF AMERICA-C	B	0.00	25.52	0.00	25.52	90.0	22.97
09/01/2017	00083557278	PETTIT, SAMUEL	AMERICAN BALANCED FUND-529A	B	0.00	12.63	0.00	12.63	90.0	11.37
09/01/2017	00083568398	PETTIT, MARIA	AMERICAN BALANCED FUND-A	B	0.00	4.82	0.00	4.82	90.0	4.34
09/01/2017	00084040075	WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	0.01	0.00	0.01	90.0	0.01
09/01/2017	00084040075	WILLIAMS, ALYSSA	GROWTH AND INCOME PORTFOLIO-C	B	0.00	2.85	0.00	2.85	90.0	2.57
09/01/2017	00084040075	WILLIAMS, ALYSSA	GROWTH PORTFOLIO-C	B	0.00	11.77	0.00	11.77	90.0	10.59
09/01/2017	00084040075	WILLIAMS, ALYSSA	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.01	0.00	0.01	90.0	0.01
09/01/2017	00084330892	DIGRAZIANO,	THE GROWTH FUND OF AMERICA-A	B	0.00	2.11	0.00	2.11	90.0	1.90
09/01/2017	00086766383	DIGRAZIANO,	THE GROWTH FUND OF AMERICA-A	B	0.00	1.75	0.00	1.75	90.0	1.58
09/01/2017	00088094822	WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C	B	0.00	3.69	0.00	3.69	90.0	3.32
09/13/2017	QGW031783	JACKSON, FRANK	DREYFUS RESH GROWTH FD INC SHS CL A	B	0.00	0.91	0.00	0.91	90.0	0.82
09/14/2017	QGW031783	JACKSON, FRANK	FRANKLIN GROWTH FUND CLASS A	B	0.00	3.90	0.00	3.90	90.0	3.51
09/19/2017	QGW034035	FAMILY 2012 IRREV	PUTNAM 746745207	B	0.00	20.74	0.00	20.74	90.0	18.67
09/19/2017	QGW034035	FAMILY 2012 IRREV	PUTNAM 746802511	B	0.00	9.86	0.00	9.86	90.0	8.87
09/21/2017	QGW035503	SIMPSON, DAVID	GLOBAL OPPORTUNITIES A	B	0.00	1.02	0.00	1.02	90.0	0.92
09/11/2017	QGW036774	STOCKWELL, GLENN	ALLIANCEBERNSTEIN GROWTH AND INC A	B	0.00	3.86	0.00	3.86	90.0	3.47
09/11/2017	QGW036774	STOCKWELL, GLENN	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	10.87	0.00	10.87	90.0	9.78



COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
09/13/2017	QGW036774	STOCKWELL, GLENN	DREYFUS RESH GROWTH FD INC SHS CL A B	0.00	9.06	0.00	9.06	90.0	8.15
09/21/2017	QGW036980	MARCOLINI, COREY	ROCHESTER FUND MUNICIPALS A B	0.00	21.32	0.00	21.32	90.0	19.19
09/14/2017	QGW037111	GILMARTIN, MARGARET	AC ALTERNATIVES DISCIPLINED LONG SH B	0.00	1.57	0.00	1.57	90.0	1.41
09/14/2017	QGW037111	GILMARTIN, MARGARET	AC ALTERNATIVES MARKET NEUTRAL VALU B	0.00	0.86	0.00	0.86	90.0	0.77
09/14/2017	QGW037111	GILMARTIN, MARGARET	AMERICAN CENTURY HERITAGE FUND CLAS B	0.00	1.45	0.00	1.45	90.0	1.31
09/14/2017	QGW037111	GILMARTIN, MARGARET	FRANKLIN INCOME FUND CLASS A B	0.00	1.32	0.00	1.32	90.0	1.19
09/18/2017	QGW037111	GILMARTIN, MARGARET	CAPITAL INCOME BUILDER-A B	0.00	2.08	0.00	2.08	90.0	1.87
09/18/2017	QGW037111	GILMARTIN, MARGARET	PRINCIPAL PREFERRED SECURITIES FUND B	0.00	1.30	0.00	1.30	90.0	1.17
09/19/2017	QGW037111	GILMARTIN, MARGARET	THE HARTFORD BALANCED INCOME FUND C B	0.00	1.92	0.00	1.92	90.0	1.73
09/22/2017	QGW037111	GILMARTIN, MARGARET	GABELLI UTILITIES FUND CLASS A B	0.00	0.94	0.00	0.94	90.0	0.85
09/14/2017	QGW037129	GILMARTIN, MARGARET	FRANKLIN INCOME FUND CLASS C B	0.00	3.64	0.00	3.64	90.0	3.28
09/18/2017	QGW037129	GILMARTIN, MARGARET	PRINCIPAL PREFERRED SECURITIES FUND B	0.00	5.26	0.00	5.26	90.0	4.73
09/19/2017	QGW037129	GILMARTIN, MARGARET	THE HARTFORD CAPITAL APPRECIATION F B	0.00	3.51	0.00	3.51	90.0	3.16
09/20/2017	QGW038002	WICKERS, SCOTT	FIDELITY ADVISOR BALANCED CLASS A B	0.00	2.73	0.00	2.73	90.0	2.46
09/20/2017	QGW038002	WICKERS, SCOTT	FIDELITY ADVISOR EQUITY INCOME CL A B	0.00	2.87	0.00	2.87	90.0	2.58
09/26/2017	QGW038002	WICKERS, SCOTT	BLACKROCK HIGH YIELD BOND FUND CLAS B	0.00	1.70	0.00	1.70	90.0	1.53
09/26/2017	QGW038002	WICKERS, SCOTT	BLACKROCK BASIC VALUE FUND INC CLAS B	0.00	3.22	0.00	3.22	90.0	2.90
09/26/2017	QGW038002	WICKERS, SCOTT	BLACKROCK EMERGING MARKETS FUND, IN B	0.00	3.25	0.00	3.25	90.0	2.93
09/26/2017	QGW038002	WICKERS, SCOTT	BLACKROCK CAP APPRECIATION FD INC C B	0.00	3.67	0.00	3.67	90.0	3.30
09/26/2017	QGW038002	WICKERS, SCOTT	BLACKROCK GLOBAL ALLOCATION FUND IN B	0.00	2.91	0.00	2.91	90.0	2.62
09/26/2017	QGW038002	WICKERS, SCOTT	BLACKROCK VALUE OPPORTUNITIES FUND B	0.00	1.35	0.00	1.35	90.0	1.22
09/26/2017	QGW038002	WICKERS, SCOTT	BLACKROCK SMALL CAP GROWTH FUND II B	0.00	1.37	0.00	1.37	90.0	1.23
09/26/2017	QGW038002	WICKERS, SCOTT	BLACKROCK STRATEGIC INCOME OPPORTUN B	0.00	1.40	0.00	1.40	90.0	1.26
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$1,291.17</b>	<b>\$0.00</b>	<b>\$1,291.17</b>		<b>\$1,162.10</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$-663,256.85</b>	<b>\$34,610.72</b>	<b>\$2,578.25</b>	<b>\$32,032.47</b>		<b>\$28,571.56</b>
<b><u>ADJUSTMENTS</u></b>									
09/29/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-17.00
09/29/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-17.00
09/29/2017		OTHER: OTHER-TELEPHONE		0.00	0.00	0.00	0.00		-65.00
09/29/2017		OTHER: TECHNOLOGY		0.00	0.00	0.00	0.00		-300.00
<b>*** ADJUSTMENTS TOTAL ***</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-399.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$28,571.56
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-399.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-42.81
SIPC Assessment	\$-85.61

<b>Check Amount</b>	<b>\$28,044.14</b>
<b>Year-to-date Earnings</b>	<b>\$73,752.82</b>