



Concorde Investment Services, LLC

04/03/2018

Page No. 1

## COMMISSION STATEMENT

March 31, 2018

**ACCOUNT HOUSE**

1120 E. LONG LAKE ROAD  
SUITE 207  
TROY, MI 48085-

**BROKER #: G999**

BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/15/2018	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	382.36	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	-402.48	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	AM FUNDS ICA A	-440.60	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	AM FUNDS ICA A	418.57	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	-440.82	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	418.78	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	969.82	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	-1,002.40	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	-214.76	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	212.61	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-690.03	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-811.80	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	222.53	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	130.90	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-230.01	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	785.42	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-1,006.67	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	667.60	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	956.34	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-135.30	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-800.36	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	788.35	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	227.65	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-680.52	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	670.31	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	126.47	0.00	0.00	0.00	100.0	0.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-231.12	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-128.40	0.00	0.00	0.00	100.0	0.00
03/26/2018	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	-15,000.00	0.00	0.00	0.00	100.0	0.00
03/26/2018	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	14,512.50	0.00	0.00	0.00	100.0	0.00
03/14/2018	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	47.50	0.00	0.00	0.00	100.0	0.00
03/14/2018	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	-50.00	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	99.00	0.00	0.00	0.00	100.0	0.00
03/15/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-100.00	0.00	0.00	0.00	100.0	0.00
03/12/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	198.00	0.00	0.00	0.00	100.0	0.00
03/12/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-200.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$-730.56</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/21/2018	CONCORDE, VARIOUS	CENTER COAST MLP & INFRASTRUCTURE F	-874.00	0.00	1.00	-1.00	100.0	-1.00
03/21/2018	CONCORDE, VARIOUS	CENTER COAST MLP & INFRASTRUCTURE F	-874.00	0.00	1.00	-1.00	100.0	-1.00
03/21/2018	CONCORDE, VARIOUS	CENTER COAST MLP & INFRASTRUCTURE F	-874.00	0.00	1.00	-1.00	100.0	-1.00
03/21/2018	CONCORDE, VARIOUS	CENTER COAST MLP & INFRASTRUCTURE F	-437.00	0.00	1.00	-1.00	100.0	-1.00
03/21/2018	CONCORDE, VARIOUS	CENTER COAST MLP & INFRASTRUCTURE F	-874.00	0.00	1.00	-1.00	100.0	-1.00
03/21/2018	CONCORDE, VARIOUS	CENTER COAST MLP & INFRASTRUCTURE F	3,933.00	0.00	0.00	0.00	100.0	0.00
03/21/2018	CONCORDE, VARIOUS	CENTER COAST MLP & INFRASTRUCTURE F	2,907.09	0.00	0.00	0.00	100.0	0.00
03/21/2018	CONCORDE, VARIOUS	CENTER COAST MLP & INFRASTRUCTURE F	-873.00	0.00	1.00	-1.00	100.0	-1.00
03/21/2018	CONCORDE, VARIOUS	CENTER COAST MLP & INFRASTRUCTURE F	-288.09	0.00	1.00	-1.00	100.0	-1.00
03/21/2018	CONCORDE, VARIOUS	CENTER COAST MLP & INFRASTRUCTURE F	-873.00	0.00	1.00	-1.00	100.0	-1.00
03/21/2018	CONCORDE, VARIOUS	CENTER COAST MLP & INFRASTRUCTURE F	-873.00	0.00	1.00	-1.00	100.0	-1.00
03/21/2018	CONCORDE, VARIOUS	CONTINENTAL RES INC COM ISIN#US2120	44,429.79	0.00	0.00	0.00	100.0	0.00
03/21/2018	CONCORDE, VARIOUS	CONTINENTAL RES INC COM ISIN#US2120	-8,861.35	0.00	1.00	-1.00	100.0	-1.00
03/21/2018	CONCORDE, VARIOUS	CONTINENTAL RES INC COM ISIN#US2120	-35,568.45	0.00	1.00	-1.00	100.0	-1.00
03/13/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	29,079.96	0.00	0.00	0.00	100.0	0.00
03/13/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	-2,906.40	0.00	1.00	-1.00	100.0	-1.00
03/13/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	-26,173.48	0.00	1.00	-1.00	100.0	-1.00
03/26/2018	CONCORDE, VARIOUS	LIBERATED SYNDICATION INC COM	-5,615.75	0.00	1.00	-1.00	100.0	-1.00
03/26/2018	CONCORDE, VARIOUS	LIBERATED SYNDICATION INC COM	-3,134.25	0.00	1.00	-1.00	100.0	-1.00
03/26/2018	CONCORDE, VARIOUS	LIBERATED SYNDICATION INC COM	8,750.00	0.00	0.00	0.00	100.0	0.00
03/22/2018	CONCORDE, VARIOUS	OASIS PETE INC NEW COM	8,453.80	0.00	0.00	0.00	100.0	0.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/22/2018	CONCORDE, VARIOUS	OASIS PETE INC NEW COM	41,969.00	0.00	0.00	0.00	100.0	0.00
03/22/2018	CONCORDE, VARIOUS	OASIS PETE INC NEW COM	-9,230.10	0.00	1.00	-1.00	100.0	-1.00
03/22/2018	CONCORDE, VARIOUS	OASIS PETE INC NEW COM	-1,678.00	0.00	1.00	-1.00	100.0	-1.00
03/22/2018	CONCORDE, VARIOUS	OASIS PETE INC NEW COM	-1,678.22	0.00	1.00	-1.00	100.0	-1.00
03/22/2018	CONCORDE, VARIOUS	OASIS PETE INC NEW COM	-29,382.50	0.00	1.00	-1.00	100.0	-1.00
03/22/2018	CONCORDE, VARIOUS	OASIS PETE INC NEW COM	-6,341.25	0.00	1.00	-1.00	100.0	-1.00
03/22/2018	CONCORDE, VARIOUS	OASIS PETE INC NEW COM	-2,112.53	0.00	1.00	-1.00	100.0	-1.00
03/22/2018	CONCORDE, VARIOUS	SM ENERGY CO COM	-5,462.10	0.00	1.00	-1.00	100.0	-1.00
03/22/2018	CONCORDE, VARIOUS	SM ENERGY CO COM	-14,831.07	0.00	1.00	-1.00	100.0	-1.00
03/22/2018	CONCORDE, VARIOUS	SM ENERGY CO COM	20,293.18	0.00	0.00	0.00	100.0	0.00
03/14/2018	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-1,895.50	0.00	1.00	-1.00	100.0	-1.00
03/14/2018	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-1,590.96	0.00	1.00	-1.00	100.0	-1.00
03/14/2018	CONCORDE, VARIOUS	TIER REIT INC COM NEW	3,486.47	0.00	0.00	0.00	100.0	0.00
03/13/2018	CONCORDE, VARIOUS	VALEANT PHARMACEUTICALS INTL INC CD	8,011.45	0.00	0.00	0.00	100.0	0.00
03/13/2018	CONCORDE, VARIOUS	VALEANT PHARMACEUTICALS INTL INC CD	-2,002.63	0.00	1.00	-1.00	100.0	-1.00
03/13/2018	CONCORDE, VARIOUS	VALEANT PHARMACEUTICALS INTL INC CD	-6,008.81	0.00	1.00	-1.00	100.0	-1.00
* STOCKS SUBTOTAL *			\$0.30	\$0.00	\$27.00	\$-27.00		\$-27.00
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
03/23/2018	CONCORDE, VARIOUS	FT UNIT 7224 CLOUD COMPUTING PORTFO	-30,005.01	0.00	17.50	-17.50	100.0	-17.50
03/23/2018	CONCORDE, VARIOUS	FT UNIT 7224 CLOUD COMPUTING PORTFO	29,404.98	0.00	17.50	-17.50	100.0	-17.50
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$-600.03	\$0.00	\$35.00	\$-35.00		\$-35.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-1,330.29	\$0.00	\$62.00	\$-62.00		\$-62.00
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
03/21/2018	HERNDON, TIMOTHY	BR TOTAL INC & REAL ESTATE FUND A	5,297.66	1.02	0.00	1.02	100.0	1.02
03/21/2018	MCGINNIS TRUST	BR TOTAL INC & REAL ESTATE FUND A	34,462.44	6.61	0.00	6.61	100.0	6.61
03/21/2018	CICONE, SISENANDA	BR TOTAL INC & REAL ESTATE FUND A	6,838.73	1.31	0.00	1.31	100.0	1.31
* INTERVAL FUNDS SUBTOTAL *			\$46,598.83	\$8.94	\$0.00	\$8.94		\$8.94

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
03/19/2018	HARRISON, DAVID	AM FUNDS BOND FUND OF AMERICA 529A	50.00	1.00	0.00	1.00	100.0	1.00
03/12/2018	VITIELLO, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER 529	2,500.00	68.75	0.00	68.75	100.0	68.75
03/12/2018	VITIELLO, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER 529	2,500.00	68.75	0.00	68.75	100.0	68.75
03/16/2018	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	50.00	1.00	0.00	1.00	100.0	1.00
03/19/2018	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	50.00	1.00	0.00	1.00	100.0	1.00
03/16/2018	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	100.0	1.00
03/19/2018	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	100.0	1.00
03/19/2018	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.00	0.00	2.00	100.0	2.00
03/19/2018	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	100.0	1.00
03/19/2018	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	100.0	1.00
03/05/2018	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
03/19/2018	HARRISON, SHARON	AM FUNDS NEW PERSPECTIVE 529A	50.00	1.00	0.00	1.00	100.0	1.00
03/19/2018	HARRISON, DAVID	AM FUNDS NEW PERSPECTIVE 529A	50.00	1.00	0.00	1.00	100.0	1.00
03/12/2018	VITIELLO, MICHAEL	AM FUNDS SMALLCAP WORLD-529A	2,500.00	68.75	0.00	68.75	100.0	68.75
03/12/2018	VITIELLO, MICHAEL	AM FUNDS SMALLCAP WORLD-529A	2,500.00	68.75	0.00	68.75	100.0	68.75
03/19/2018	ROBINSON, SARAH	COLLEGE 2033 FUND-529A	50.00	1.75	0.00	1.75	100.0	1.75
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$10,650.00</b>	<b>\$290.25</b>	<b>\$0.00</b>	<b>\$290.25</b>		<b>\$290.25</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/15/2018	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
03/15/2018	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
03/06/2018	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
03/05/2018	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
03/19/2018	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
03/08/2018	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	5.00	0.00	5.00	100.0	5.00
03/19/2018	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
03/20/2018	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
03/06/2018	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
03/15/2018	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
03/06/2018	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
03/15/2018	MARSH, KEITH	AM FUNDS NEW ECONOMY A	200.00	5.50	0.00	5.50	100.0	5.50
03/15/2018	BUGGS, MICHELLE	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	100.0	2.50
03/05/2018	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
03/06/2018	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/07/2018	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	100.0	5.00
* MUTUAL FUNDS SUBTOTAL *			\$1,450.00	\$49.76	\$0.00	\$49.76		\$49.76
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/08/2018	LUND, VARIOUS	ADV CENTURY	0.00	484.10	0.00	484.10	100.0	484.10
03/14/2018	LUND, VARIOUS	ADV CENTURY	0.00	184.73	0.00	184.73	100.0	184.73
03/15/2018	FORMELLA, ANNETTE	GENWORTH INS	25.00	0.25	0.00	0.25	100.0	0.25
03/15/2018	FORMELLA, DAVID	GENWORTH INS	35.00	0.35	0.00	0.35	100.0	0.35
* VARIABLE ANNUITIES SUBTOTAL *			\$60.00	\$669.43	\$0.00	\$669.43		\$669.43
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
03/09/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	1.75	0.00	1.75	100.0	1.75
03/09/2018	BENNETT, CATHERINE	PAC LIFE VUL	11,162.33	11.16	0.00	11.16	100.0	11.16
03/12/2018	BENNETT, CATHERINE	PAC LIFE VUL	66.00	1.32	0.00	1.32	100.0	1.32
03/12/2018	THOMPSON, GREGORY	PAC LIFE VUL	150.00	3.00	0.00	3.00	100.0	3.00
03/14/2018	SNYDER, MICHAEL C	PAC LIFE VUL	25.89	0.26	0.00	0.26	100.0	0.26
03/14/2018	SNYDER, MICHAEL C	PAC LIFE VUL	39.11	0.39	0.00	0.39	100.0	0.39
03/16/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	29.02	0.00	29.02	100.0	29.02
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$11,443.33	\$46.90	\$0.00	\$46.90		\$46.90
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/19/2018	TWEED, VARIOUS	JAMES ALPHA GLOBAL REAL ESTATE FUND	11,854.80	2.27	0.00	2.27	100.0	2.27
03/19/2018	RENEE TRUST (NEWTON), BEVERLY	JAMES ALPHA GLOBAL REAL ESTATE FUND	1,604.69	0.31	0.00	0.31	100.0	0.31
03/14/2018	PILLAI, VADIVEL	JH FINANCIAL INDUSTRIES A	0.00	1.27	0.00	1.27	100.0	1.27
03/14/2018	KASSA, GETACHEW FOLY	JH FINANCIAL INDUSTRIES A	0.00	0.76	0.00	0.76	100.0	0.76
03/14/2018	HABTE, BISLAT	JH FINANCIAL INDUSTRIES A	0.00	3.58	0.00	3.58	100.0	3.58
03/14/2018	SCHMIDT, MICHAEL	JH GOVERNMENT INCOME A	0.00	6.10	0.00	6.10	100.0	6.10
03/14/2018	KABTYIMER, BETELEHEM	JH REGIONAL BANK A	0.00	3.26	0.00	3.26	100.0	3.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$13,459.49	\$17.55	\$0.00	\$17.55		\$17.55
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
03/17/2018	WALSH, JAMES	ING PREMIUM PLUS	132,667.29	82.92	0.00	82.92	100.0	82.92
02/28/2018	GOODING, VARIOUS	MUTUAL OF OMAHA INS CO	2,363.78	0.49	0.00	0.49	100.0	0.49
03/12/2018	DAVIS, PATRICIA	PAC LIFE INNOVATIVE SELECT	0.00	86.67	0.00	86.67	100.0	86.67
03/12/2018	GUGLIELME, SAUNDRA	PAC LIFE PACIFIC CHOICE	0.00	43.20	0.00	43.20	100.0	43.20

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
03/07/2018	SELLS, SUSAN	PAC LIFE PORTFOLIOS	0.00	5.46	0.00	5.46	100.0	5.46
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$135,031.07</b>	<b>\$218.74</b>	<b>\$0.00</b>	<b>\$218.74</b>		<b>\$218.74</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$218,692.72</b>	<b>\$1,301.57</b>	<b>\$0.00</b>	<b>\$1,301.57</b>		<b>\$1,301.57</b>

**OVERRIDE TRANSACTIONS****BROKER: G118 GRECO #10**

03/20/2018	GARZA, NATHAN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.50	0.00	2.50	0.0	2.50
03/20/2018	GARZA, NATHAN	AM FUNDS NEW PERSPECTIVE 529A	50.00	2.50	0.00	2.50	0.0	2.50
/ /	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	3.00	0.00	3.00	0.0	3.00
03/15/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
03/15/2018	DORAN, PATRICK	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
03/15/2018	LORA, MARCOS	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
03/15/2018	LORA, CATALINA	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
03/15/2018	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
03/15/2018	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
03/15/2018	LORA, ROLANDO	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
03/05/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
03/05/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	6.00	0.00	6.00	0.0	6.00
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	10.00	0.00	10.00	0.0	10.00
<b>* JIM LUND SUBTOTAL *</b>			<b>\$1,200.00</b>	<b>\$43.00</b>	<b>\$0.00</b>	<b>\$43.00</b>		<b>\$43.00</b>

**BROKER: DANIEL WOLF #11**

03/26/2018	CARIGNAN, NANCY	MML CM LIFE VUL	55,954.96	6.99	0.00	6.99	0.0	6.99
<b>* DANIEL WOLF SUBTOTAL *</b>			<b>\$55,954.96</b>	<b>\$6.99</b>	<b>\$0.00</b>	<b>\$6.99</b>		<b>\$6.99</b>

**BROKER: BARTHOLOMEW MACKLER #35**

02/28/2018	HORTON, RICHARD	GRIFFIN INST'L ACCESS R E FUND	37,746.21	7.24	0.00	7.24	0.0	7.24
02/28/2018	JOHNSON, WILLIAM	GRIFFIN INST'L ACCESS R E FUND	13,099.34	2.51	0.00	2.51	0.0	2.51
02/28/2018	CASGRAIN, GAYL	GRIFFIN INST'L ACCESS R E FUND	23,794.95	4.56	0.00	4.56	0.0	4.56
02/28/2018	BORR, RUDOLPH	GRIFFIN INST'L ACCESS R E FUND C	18,303.14	14.04	0.00	14.04	0.0	14.04
02/28/2018	QUENNEVILLE, JEROME	GRIFFIN INST'L ACCESS R E FUND C	38,262.70	29.35	0.00	29.35	0.0	29.35
02/28/2018	LOWELL, THOMAS	GRIFFIN INST'L ACCESS R E FUND C	53,173.29	40.79	0.00	40.79	0.0	40.79

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: BARTHOLOMEW MACKLER #35</u></b>								
02/28/2018	LICHOROBIEC, RICHARD	GRIFFIN INST'L ACCESS R E FUND C	42,381.87	32.51	0.00	32.51	0.0	32.51
02/28/2018	PRICE, BONNIE	GRIFFIN INST'L ACCESS R E FUND C	39,634.72	30.40	0.00	30.40	0.0	30.40
* BARTHOLOMEW MACKLER SUBTOTAL *			\$266,396.22	\$161.40	\$0.00	\$161.40		\$161.40
<b><u>BROKER: TIMOTHY BROWN #36</u></b>								
/ /	MAIMER, MELISSA	PROFUNDS MONEY MARKET-SVC	0.00	0.40	0.00	0.40	0.0	0.40
/ /	SARAN, AKHTIAR	PROFUNDS MONEY MARKET-SVC	0.00	0.58	0.00	0.58	0.0	0.58
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	3.07	0.00	3.07	0.0	3.07
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	9.22	0.00	9.22	0.0	9.22
* TIMOTHY BROWN SUBTOTAL *			\$0.00	\$13.27	\$0.00	\$13.27		\$13.27
<b><u>BROKER: BRUCE BAXTER #38</u></b>								
/ /	DENNIS, MARK	PROFUNDS MONEY MARKET-SVC	0.00	0.16	0.00	0.16	0.0	0.16
/ /	MCMULLEN, PATRICK	PROFUNDS MONEY MARKET-SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	BAXTER, MEGAN	PROFUNDS MONEY MARKET-SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	DIXON, ALLEN	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	HUBER, JAMES	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS MONEY MARKET-SVC	0.00	0.29	0.00	0.29	0.0	0.29
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	8.36	0.00	8.36	0.0	8.36
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	25.07	0.00	25.07	0.0	25.07
* BRUCE BAXTER SUBTOTAL *			\$0.00	\$34.00	\$0.00	\$34.00		\$34.00
<b><u>BROKER: ROBERT (RUSTY) TWEED #58</u></b>								
03/14/2018	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	2.15	0.00	2.15	0.0	2.15
03/14/2018	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	2.13	0.00	2.13	0.0	2.13
03/14/2018	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	0.98	0.00	0.98	0.0	0.98
03/14/2018	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.61	0.00	1.61	0.0	1.61
03/14/2018	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	2.09	0.00	2.09	0.0	2.09
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$0.00	\$8.96	\$0.00	\$8.96		\$8.96
<b><u>BROKER: JIM LUND #60</u></b>								
03/15/2018	WURLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
03/05/2018	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	4.00
03/08/2018	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	9.50
03/09/2018	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	247.50	11.76	0.00	11.76	0.0	11.76

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JIM LUND #60</u></b>								
03/09/2018	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	82.50	3.92	0.00	3.92	0.0	3.92
/ /	GUTHRIE, JACOB	VAN ECK GLOBAL HARD ASSETS A	0.00	16.13	0.00	16.13	0.0	16.13
* JIM LUND SUBTOTAL *			\$1,030.00	\$49.31	\$0.00	\$49.31		\$49.31
<b><u>BROKER: RENE CASTRO #92</u></b>								
03/14/2018	BUSTOS, ARMANDO	JH HIGH YIELD A	0.00	2.13	0.00	2.13	0.0	2.13
03/14/2018	BUSTOS, ARMANDO	JH STRATEGIC INCOME A	0.00	8.34	0.00	8.34	0.0	8.34
* RENE CASTRO SUBTOTAL *			\$0.00	\$10.47	\$0.00	\$10.47		\$10.47
<b><u>BROKER: DANIEL COLLINS #106</u></b>								
03/14/2018	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES A	0.00	1.79	0.00	1.79	0.0	1.79
03/14/2018	EVANSUSA, DENISE	JH LARGE CAP EQUITY A	0.00	2.78	0.00	2.78	0.0	2.78
03/14/2018	EVANSUSA, DENISE	JH NEW OPPORTUNITIES A	0.00	1.91	0.00	1.91	0.0	1.91
03/14/2018	EVANSUSA, DENISE	JH STRATEGIC GROWTH A	0.00	2.11	0.00	2.11	0.0	2.11
* DANIEL COLLINS SUBTOTAL *			\$0.00	\$8.59	\$0.00	\$8.59		\$8.59
<b><u>BROKER: JAY MCCLELLAN #120</u></b>								
03/15/2018	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	2.50	0.00	2.50	0.0	2.50
02/28/2018	CIPRIAN, JOHN	GRIFFIN INST'L ACCESS R E FUND	11,163.49	2.14	0.00	2.14	0.0	2.14
02/28/2018	FORTKAMP TRUST, LAVERN & MARY	GRIFFIN INST'L ACCESS R E FUND	19,748.31	3.79	0.00	3.79	0.0	3.79
03/12/2018	CRABTREE, GARY	MET LIFE 10922P124	8,477.39	1.76	0.00	1.76	0.0	1.76
03/12/2018	CRABTREE, GARY	MET LIFE 10922P124	10,378.45	2.16	0.00	2.16	0.0	2.16
03/12/2018	CRABTREE, GARY	MET LIFE 10922P124	37,187.09	7.73	0.00	7.73	0.0	7.73
03/12/2018	CRABTREE, GARY	MET LIFE 10922P124	6,788.61	1.41	0.00	1.41	0.0	1.41
03/12/2018	CRABTREE, GARY	MET LIFE 10922P124	8,286.50	1.72	0.00	1.72	0.0	1.72
03/12/2018	CRABTREE, GARY	MET LIFE 10922P124	8,911.09	1.85	0.00	1.85	0.0	1.85
02/28/2018	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	21,033.97	4.03	0.00	4.03	0.0	4.03
* JAY MCCLELLAN SUBTOTAL *			\$132,024.90	\$29.09	\$0.00	\$29.09		\$29.09
<b><u>BROKER: WILLIAM WALSH #187</u></b>								
02/28/2018	WALSH, VARIOUS	JOHN HANCOCK LIFE INS CO	117,319.86	24.38	0.00	24.38	0.0	24.38
02/28/2018	WALSH, VARIOUS	MUTUAL OF OMAHA INS CO	2,363.78	0.49	0.00	0.49	0.0	0.49
* WILLIAM WALSH SUBTOTAL *			\$119,683.64	\$24.87	\$0.00	\$24.87		\$24.87

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: WILLIAM THOMAS #239</u></b>								
03/16/2018	KUPPE, MARK	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
03/21/2018	KUPPE, PATRICIA	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
03/17/2018	THOMAS, MARIA	NWL NEXT GEN II FP VUL	250.00	5.00	0.00	5.00	0.0	5.00
* WILLIAM THOMAS SUBTOTAL *			\$650.00	\$13.00	\$0.00	\$13.00		\$13.00
<b><u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u></b>								
03/15/2018	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	0.0	0.90
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$30.00	\$0.90	\$0.00	\$0.90		\$0.90
<b><u>BROKER: JAMES, B153 BREWER #291</u></b>								
03/16/2018	SELCER, RICHARD	ING LANDMARK	92,494.20	289.04	0.00	289.04	0.0	289.04
* JAMES, B153 BREWER SUBTOTAL *			\$92,494.20	\$289.04	\$0.00	\$289.04		\$289.04
<b><u>BROKER: L149-ROBERT LUCHSINGER #388</u></b>								
03/09/2018	CAPASSO, CRISTINA	PACIFIC LIFE FUNDS	1,000.00	47.50	0.00	47.50	0.0	4.75
03/14/2018	ROARKE, MARY ELIZABETH	ING EMPIRE INNOVATIONS STD OPT B	61,965.06	139.42	0.00	139.42	0.0	13.94
03/09/2018	WESTRENEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.44	0.00	1.44	0.0	0.14
03/09/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	11.33	0.00	11.33	0.0	1.13
03/09/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.64	0.00	3.64	0.0	0.36
03/09/2018	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.37	0.00	1.37	0.0	0.14
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$62,965.06	\$204.70	\$0.00	\$204.70		\$20.46
<b><u>BROKER: MARK-S148 SMITH #401</u></b>								
03/05/2018	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	300.00	3.00	0.00	3.00	0.0	1.56
* MARK-S148 SMITH SUBTOTAL *			\$300.00	\$3.00	\$0.00	\$3.00		\$1.56
*** OVERRIDE TRANSACTIONS TOTAL ***			\$732,728.98	\$900.59	\$0.00	\$900.59		\$714.91

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,239.57
Split Transactions	\$0.00
Override Transactions	\$714.91
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,954.48
Year-to-date Gross	\$20,073.94
Year-to-date Earnings	\$67,384.97