

## COMMISSION STATEMENT

June 30, 2018

JAY SHARTSIS  
54 BIRCHWOOD LANEBROKER #: P82 P83 P84  
BRANCH: HOME OFFICE

HARTSDALE, NY, NY 10530

## COMMISSION STATEMENT for JAY SHARTSIS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
06/14/2018	QGW009292	OSTOW, MARK		AMAZON.COM INC	B	171,529.08	80.73	6.00	74.73 50.0 37.37
06/15/2018	QGW009292	OSTOW, MARK		AMAZON.COM INC	S	-165,500.00	80.73	6.00	74.73 50.0 37.37
06/21/2018	QGW009581	PRINDLE DDS		WASHINGTON PRIME GROUP NEW COM	S	-1,000.00	50.00	6.00	44.00 50.0 22.00
06/11/2018	QGW021461	BURNS, RHONDIE		PIER ONE IMPORTS INC (DEL)	B	1,402.50	66.06	6.00	60.06 50.0 30.03
06/11/2018	QGW021461	BURNS, RHONDIE		WHITING PETROLEUM CORPORATION COMMO	S	-6,690.40	110.86	6.00	104.86 50.0 52.43
06/12/2018	QGW021461	BURNS, RHONDIE		CAMPBELL SOUP CO	B	3,469.60	58.55	6.00	52.55 50.0 26.28
06/19/2018	QGW021461	BURNS, RHONDIE		KIRKLAND LAKE GOLD LTD COM ISIN# CA B		2,079.98	50.00	6.00	44.00 50.0 22.00
06/14/2018	QGW029514	HUNT, GREGORY		ROKU INC CLASS A COMMON STOCK	S	-18,500.00	271.38	6.00	265.38 50.0 132.69
06/14/2018	QGW029514	HUNT, GREGORY		ROKU INC CLASS A COMMON STOCK	S	18,500.00	-271.38	-6.00	-265.38 50.0 -132.69 C
06/14/2018	QGW029514	HUNT, GREGORY		ROKU INC CLASS A COMMON STOCK	S	-18,500.00	60.00	6.00	54.00 50.0 27.00
06/15/2018	QGW029514	HUNT, GREGORY		BARCLAYS BANK PLC IPATH S&P 500 VIX B		68,000.00	60.00	6.00	54.00 50.0 27.00
06/15/2018	QGW029514	HUNT, GREGORY		BARCLAYS BANK PLC IPATH S&P 500 VIX S		-64,000.00	60.00	6.00	54.00 50.0 27.00
06/15/2018	QGW029514	HUNT, GREGORY		KIRKLAND LAKE GOLD LTD COM ISIN# CA B		15,000.00	75.00	6.00	69.00 50.0 34.50
06/15/2018	QGW029514	HUNT, GREGORY		ROKU INC CLASS A COMMON STOCK	B	20,500.00	60.00	6.00	54.00 50.0 27.00
06/20/2018	QGW029514	HUNT, GREGORY		KIRKLAND LAKE GOLD LTD COM ISIN# CA S		-20,270.00	75.00	6.00	69.00 50.0 34.50
06/22/2018	QGW029514	HUNT, GREGORY		BARCLAYS BANK PLC IPATH S&P 500 VIX B		13,391.00	81.00	6.00	75.00 50.0 37.50
* STOCKS SUBTOTAL *				\$19,411.76	\$967.93	\$84.00	\$883.93	\$441.98	

**PRODUCT CATEGORY: OPTIONS**

05/31/2018	QGW009094	KANTO, WILLIAM		CALL 100 ISHARES TR BARCLAYS 20+ YR S		-3,620.00	126.04	7.00	119.04 50.0 59.52
06/13/2018	QGW009094	KANTO, WILLIAM		PUT 100 NEXTERA ENERGY INC COM EXP S		-8,445.00	98.50	7.00	91.50 50.0 45.75
06/04/2018	QGW009177	-, MICHAEL KRUEGER		PUT 100 BARCLAYS BK PLC IPATH S&P 5 S		-405.00	50.00	7.00	43.00 50.0 21.50
06/07/2018	QGW009177	-, MICHAEL KRUEGER		CALL 100 AXT INC COM EXP 08-17-18@1 S		-350.00	50.00	7.00	43.00 50.0 21.50
06/15/2018	QGW009177	-, MICHAEL KRUEGER		CALL 100 MCDERMOTT INTERNATIONAL IN S		-300.00	50.00	7.00	43.00 50.0 21.50
06/15/2018	QGW009177	-, MICHAEL KRUEGER		PUT 100 BARCLAYS BK PLC IPATH S&P 5 B		650.00	50.00	7.00	43.00 50.0 21.50
06/21/2018	QGW009177	-, MICHAEL KRUEGER		CALL 100 CBOE VOLATILITY INDEX - VX B		550.00	50.00	7.00	43.00 50.0 21.50
06/22/2018	QGW009177	-, MICHAEL KRUEGER		CALL 100 UNITED STS STL CORP NEW CO S		-255.00	50.00	7.00	43.00 50.0 21.50
06/25/2018	QGW009177	-, MICHAEL KRUEGER		CALL 100 ISHARES INC MSCI BRAZIL ET B		800.00	50.00	7.00	43.00 50.0 21.50
06/01/2018	QGW009292	OSTOW, MARK		CALL 100 AMAZON COM INC EXP 06-01-1 B		2,325.00	50.00	7.00	43.00 50.0 21.50
06/01/2018	QGW009292	OSTOW, MARK		CALL 100 AMAZON COM INC EXP 06-08-1 S		-1,356.00	50.00	7.00	43.00 50.0 21.50
06/04/2018	QGW009292	OSTOW, MARK		CALL 100 AMAZON COM INC EXP 06-15-1 S		-1,902.00	50.00	7.00	43.00 50.0 21.50

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
06/04/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 06-08-1 B	1,605.00	50.00	7.00	43.00	50.0	21.50
06/14/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 06-22-1 S	-1,645.00	50.00	7.00	43.00	50.0	21.50
06/22/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 06-29-1 B	1,010.00	50.00	7.00	43.00	50.0	21.50
06/22/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 06-22-1 B	143.00	50.00	7.00	43.00	50.0	21.50
06/22/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 06-29-1 S	-1,722.00	50.00	7.00	43.00	50.0	21.50
06/25/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 06-29-1 B	430.00	50.00	7.00	43.00	50.0	21.50
06/26/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 06-29-1 S	-885.00	50.00	7.00	43.00	50.0	21.50
06/27/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 06-29-1 S	-659.00	50.00	7.00	43.00	50.0	21.50
06/27/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 06-29-1 B	230.00	50.00	7.00	43.00	50.0	21.50
06/22/2018	QGW009581	PRINDLE DDS	PUT 100 CBL & ASSOC PPTYS INC REIT S	-2,205.00	98.50	7.00	91.50	50.0	45.75
06/22/2018	QGW029514	HUNT, GREGORY	CALL 100 KIRKLAND LAKE GOLD LTD COM B	2,890.00	91.50	7.00	84.50	50.0	42.25
06/28/2018	QGW029514	HUNT, GREGORY	CALL 100 KIRKLAND LAKE GOLD LTD COM B	3,400.00	77.50	7.00	70.50	50.0	35.25
<b>* OPTIONS SUBTOTAL *</b>				<b>\$-9,716.00</b>	<b>\$1,442.04</b>	<b>\$168.00</b>	<b>\$1,274.04</b>		<b>\$637.02</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

06/30/2018		FEE COUNT - 2		0.00	45.46	0.00	45.46	50.0	22.74
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$45.46</b>	<b>\$0.00</b>	<b>\$45.46</b>		<b>\$22.74</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$9,695.76</b>	<b>\$2,455.43</b>	<b>\$252.00</b>	<b>\$2,203.43</b>		<b>\$1,101.74</b>

**ADJUSTMENTS**

06/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
06/30/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
06/30/2018		OTHER: OTHER--SALARY		0.00	0.00	0.00	0.00		500.00
06/30/2018		OTHER: VERIZON WIRELESS		0.00	0.00	0.00	0.00		-29.48
06/30/2018		OTHER: CBOE		0.00	0.00	0.00	0.00		-250.00
06/30/2018		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-96.36
06/30/2018		OTHER: QUODD		0.00	0.00	0.00	0.00		-65.88
<b>*** ADJUSTMENTS TOTAL ***</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$30.28</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,101.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$30.28
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.07
SIPC Assessment	\$-6.14

Check Amount	\$1,122.81
Year-to-date Earnings	\$10,688.05