



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**June 15, 2018**

06/15/2018  
Page No. 1

ACCOUNT HOUSE  
1120 E. LONG LAKE ROAD  
SUITE 207  
TROY, MI 48085-

BROKER #: G999  
BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
04/20/2018	CONCORDE IS	WTS CIM COML TR CORP	-100,000.00	0.00	0.00	0.00	100.0	0.00
04/20/2018	CONCORDE IS	WTS CIM COML TR CORP	100,000.00	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE IS	WTS CIM COML TR CORP	9,820.31	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE IS	WTS CIM COML TR CORP	-9,820.31	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE IS	WTS CIM COML TR CORP	9,816.12	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE IS	WTS CIM COML TR CORP	10,475.00	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE IS	WTS CIM COML TR CORP	20,343.75	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE IS	WTS CIM COML TR CORP	-20,343.80	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE IS	WTS CIM COML TR CORP	20,335.07	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE IS	WTS CIM COML TR CORP	21,700.00	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE IS	WTS CIM COML TR CORP	4.19	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE IS	WTS CIM COML TR CORP	-4.19	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE IS	WTS CIM COML TR CORP	4.19	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE IS	WTS CIM COML TR CORP	4.19	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE IS	WTS CIM COML TR CORP	8.68	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE IS	WTS CIM COML TR CORP	-8.68	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE IS	WTS CIM COML TR CORP	8.68	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE IS	WTS CIM COML TR CORP	8.68	0.00	0.00	0.00	100.0	0.00
* REIT SUBTOTAL *			\$62,351.88	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
06/01/2018	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-28,000.00	0.00	0.00	0.00	100.0	0.00
06/01/2018	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	27,720.00	0.00	0.00	0.00	100.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$-280.00	\$0.00	\$0.00	\$0.00		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/05/2018	CONCORDE, VARIOUS	AM FUNDS AMCAP A	71.81	0.00	0.00	0.00	100.0	0.00
06/05/2018	CONCORDE, VARIOUS	AM FUNDS AMCAP A	-75.00	0.00	0.00	0.00	100.0	0.00
06/05/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-75.00	0.00	0.00	0.00	100.0	0.00
06/05/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	71.81	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE, VARIOUS	FIRST EAGLE GOLD FUND CL A	0.00	9.00	0.00	9.00	100.0	9.00
06/05/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	3.00	-0.72	100.0	-0.72
06/05/2018	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-228.00	0.00	0.00	0.00	100.0	0.00
06/05/2018	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	225.72	0.00	0.00	0.00	100.0	0.00
06/05/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	3.00	-0.73	100.0	-0.73
06/05/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-227.00	0.00	0.00	0.00	100.0	0.00
06/05/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	224.73	0.00	0.00	0.00	100.0	0.00
06/05/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	3.00	0.00	100.0	0.00
06/05/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-300.00	0.00	0.00	0.00	100.0	0.00
06/05/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	297.00	0.00	0.00	0.00	100.0	0.00
06/11/2018	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	200.00	2.00	3.00	-1.00	100.0	-1.00
06/11/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-200.00	0.00	0.00	0.00	100.0	0.00
06/11/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	198.00	0.00	0.00	0.00	100.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$939.07</b>	<b>\$18.55</b>	<b>\$12.00</b>	<b>\$6.55</b>		<b>\$6.55</b>

**PRODUCT CATEGORY: STOCKS**

06/07/2018	CONCORDE, VARIOUS	AMARIN CORP PLC SPONS ADR NEW ISIN#	3,453.00	0.00	0.00	0.00	100.0	0.00
06/07/2018	CONCORDE, VARIOUS	AMARIN CORP PLC SPONS ADR NEW ISIN#	-2,935.48	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	AMARIN CORP PLC SPONS ADR NEW ISIN#	-517.52	0.00	1.00	-1.00	100.0	-1.00
05/08/2018	CONCORDE IS	ANNALY CAP MGMT INC COM	415.68	0.00	0.00	0.00	100.0	0.00
05/11/2018	CONCORDE IS	ANNALY CAP MGMT INC COM	415.20	0.00	0.00	0.00	100.0	0.00
06/07/2018	CONCORDE, VARIOUS	BLACKSTONE / GSO STRATEGIC CR FD CO	22,361.45	0.00	0.00	0.00	100.0	0.00
06/07/2018	CONCORDE, VARIOUS	BLACKSTONE / GSO STRATEGIC CR FD CO	-4,768.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	BLACKSTONE / GSO STRATEGIC CR FD CO	-4,768.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	BLACKSTONE / GSO STRATEGIC CR FD CO	-1,589.10	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	BLACKSTONE / GSO STRATEGIC CR FD CO	-11,235.36	0.00	1.00	-1.00	100.0	-1.00
06/11/2018	CONCORDE, VARIOUS	CHESAPEAKE ENERGY CORP	9,357.50	0.00	1.00	-1.00	100.0	-1.00
06/11/2018	CONCORDE, VARIOUS	CHESAPEAKE ENERGY CORP	492.89	0.00	1.00	-1.00	100.0	-1.00
06/11/2018	CONCORDE, VARIOUS	CHESAPEAKE ENERGY CORP	-9,850.40	0.00	0.00	0.00	100.0	0.00
05/30/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	18,869.45	0.00	0.00	0.00	100.0	0.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
05/30/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	-842.60	0.00	1.00	-1.00	100.0	-1.00
05/30/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	-12,281.88	0.00	1.00	-1.00	100.0	-1.00
05/30/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	-5,745.00	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	-1,891.70	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	-1,891.70	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	-1,891.70	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	-170.19	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	-1,891.00	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	-359.42	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	-1,891.00	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	-813.13	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	-13,239.80	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	24,039.69	0.00	0.00	0.00	100.0	0.00
06/07/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-4,857.89	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-19,448.89	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	24,306.94	0.00	0.00	0.00	100.0	0.00
06/07/2018	CONCORDE, VARIOUS	CORNING INC COM	15,722.56	0.00	0.00	0.00	100.0	0.00
06/07/2018	CONCORDE, VARIOUS	CORNING INC COM	-12,853.57	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	CORNING INC COM	-2,869.00	0.00	1.00	-1.00	100.0	-1.00
06/11/2018	UMBRELLO-WHITE, RAQUEL	DISNEY WALT CO DISNEY COM	-17,553.48	877.67	13.50	864.17	100.0	864.17
06/07/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	21,816.68	0.00	0.00	0.00	100.0	0.00
06/07/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-3,048.72	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-18,768.00	0.00	1.00	-1.00	100.0	-1.00
06/01/2018	CONCORDE, VARIOUS	GLOBAL X FDS ROBOTICS & ARTIFICIAL	-4,974.94	0.00	0.00	0.00	100.0	0.00
06/01/2018	CONCORDE, VARIOUS	GLOBAL X FDS ROBOTICS & ARTIFICIAL	657.07	0.00	1.00	-1.00	100.0	-1.00
06/01/2018	CONCORDE, VARIOUS	GLOBAL X FDS ROBOTICS & ARTIFICIAL	2,088.53	0.00	1.00	-1.00	100.0	-1.00
06/01/2018	CONCORDE, VARIOUS	GLOBAL X FDS ROBOTICS & ARTIFICIAL	2,229.34	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	HORMEL FOODS CORP COM	-3,598.16	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	HORMEL FOODS CORP COM	-6,476.58	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	HORMEL FOODS CORP COM	10,074.74	0.00	0.00	0.00	100.0	0.00
06/12/2018	LEE, DAVID	ISHARES SILVER TR ISHARES	-5,955.00	297.75	13.56	284.19	100.0	284.19
06/12/2018	LEE, DAVID	METHES ENERGIES INTL LTD COM	-8.04	0.00	14.80	-14.80	100.0	-14.80
06/07/2018	CONCORDE, VARIOUS	NEUBERGER BERMAN MLP INCOME FD INC	16,156.18	0.00	0.00	0.00	100.0	0.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
06/07/2018	CONCORDE, VARIOUS	NEUBERGER BERMAN MLP INCOME FD INC	-1,840.00	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	NEUBERGER BERMAN MLP INCOME FD INC	-1,427.02	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	NEUBERGER BERMAN MLP INCOME FD INC	-12,889.24	0.00	1.00	-1.00	100.0	-1.00
05/30/2018	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-1,400.34	0.00	1.00	-1.00	100.0	-1.00
05/30/2018	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-504.12	0.00	1.00	-1.00	100.0	-1.00
05/30/2018	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-266.06	0.00	1.00	-1.00	100.0	-1.00
05/30/2018	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	2,170.53	0.00	0.00	0.00	100.0	0.00
06/08/2018	BOYER, JUDY	PEABODY ENERGY CORP COM PAR	-1,209.52	60.48	13.50	46.98	100.0	46.98
06/07/2018	CONCORDE, VARIOUS	PUBLIC STORAGE	-21,514.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	PUBLIC STORAGE	-3,227.18	0.00	1.00	-1.00	100.0	-1.00
06/07/2018	CONCORDE, VARIOUS	PUBLIC STORAGE	24,741.68	0.00	0.00	0.00	100.0	0.00
06/11/2018	GETZ, JEROME	SPECTRA ENERGY PARTNERS LP COM	-2,967.96	148.40	13.50	134.90	100.0	134.90
06/08/2018	CONCORDE, VARIOUS	YUM BRANDS INC COM	12,187.25	0.00	0.00	0.00	100.0	0.00
06/08/2018	CONCORDE, VARIOUS	YUM BRANDS INC COM	-3,530.10	0.00	1.00	-1.00	100.0	-1.00
06/08/2018	CONCORDE, VARIOUS	YUM BRANDS INC COM	-8,405.00	0.00	1.00	-1.00	100.0	-1.00
06/08/2018	CONCORDE, VARIOUS	YUM BRANDS INC COM	-252.15	0.00	1.00	-1.00	100.0	-1.00
* STOCKS SUBTOTAL *			<b>\$-26,863.08</b>	<b>\$1,384.30</b>	<b>\$110.86</b>	<b>\$1,273.44</b>		<b>\$1,273.44</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>								
05/25/2018	CONCORDE, VARIOUS	METROPOLITAN TRANSN AUTH N Y	14,786.70	0.00	0.00	0.00	100.0	0.00
05/25/2018	CONCORDE, VARIOUS	METROPOLITAN TRANSN AUTH N Y	14,786.70	0.00	0.00	0.00	100.0	0.00
06/12/2018	WITHERS, DONALD	TEEKAY OFFSHORE PARTNERS LP/FIN COR	-10,024.50	50.00	18.00	32.00	100.0	32.00
* BONDS SUBTOTAL *			<b>\$19,548.90</b>	<b>\$50.00</b>	<b>\$18.00</b>	<b>\$32.00</b>		<b>\$32.00</b>
*** CLEARING TRANSACTIONS TOTAL ***			<b>\$55,696.77</b>	<b>\$1,452.85</b>	<b>\$140.86</b>	<b>\$1,311.99</b>		<b>\$1,311.99</b>

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: 529 PLANS</b>								
05/29/2018	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
06/01/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
06/01/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
06/04/2018	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
06/04/2018	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
06/01/2018	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
06/04/2018	CHIECHA, MOLLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	100.0	2.50
05/29/2018	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
06/05/2018	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$825.00</b>	<b>\$34.44</b>	<b>\$0.00</b>	<b>\$34.44</b>		<b>\$34.44</b>
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
06/01/2018	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
06/01/2018	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
06/01/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
06/06/2018	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
06/01/2018	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
06/01/2018	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
06/01/2018	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
06/05/2018	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
06/01/2018	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
06/08/2018	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	5.00	0.00	5.00	100.0	5.00
05/21/2018	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
06/06/2018	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
06/01/2018	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
06/01/2018	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
05/25/2018	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	100.0	1.50
05/25/2018	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	100.0	1.50
05/29/2018	WHEELER, PAUL	AM FUNDS ICA C	75.00	0.75	0.00	0.75	100.0	0.75
05/29/2018	LOUDERMILK, SCOTT	AM FUNDS ICA C	400.00	4.00	0.00	4.00	100.0	4.00
05/29/2018	LOCKHART, JOSEPH	AM FUNDS ICA C	600.00	6.00	0.00	6.00	100.0	6.00
06/06/2018	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
06/05/2018	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
06/06/2018	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/07/2018	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	100.0	5.00
		* MUTUAL FUNDS SUBTOTAL *	\$3,700.00	\$100.45	\$0.00	\$100.45		\$100.45
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/18/2018	LUND, VARIOUS	ADV CENTURY	700.00	36.75	0.00	36.75	100.0	36.75
06/08/2018	LUND, VARIOUS	ADV CENTURY	0.00	296.14	0.00	296.14	100.0	296.14
05/16/2018	FORMELLA, ANNETTE	GENWORTH INS	12,289.16	2.56	0.00	2.56	100.0	2.56
05/16/2018	FORMELLA, DAVID	GENWORTH INS	12,128.81	2.53	0.00	2.53	100.0	2.53
05/31/2018	PEEK, EUNICE	JOHN HANCOCK VANTAGE	15,520.92	3.23	0.00	3.23	100.0	3.23
05/31/2018	PEEK LI, VASSIE	JOHN HANCOCK VENTURE III	19,309.04	16.09	0.00	16.09	100.0	16.09
04/30/2018	LI, WEIXING	PRU AS ADVISOR PLAN - 1993	60,728.06	12.65	0.00	12.65	100.0	12.65
05/31/2018	LI, WEIXING	PRU AS ADVISOR PLAN - 1993	62,128.94	12.94	0.00	12.94	100.0	12.94
04/30/2018	KNEPPER, MAX	PRU AS ADVISOR PLAN III	26,026.22	5.42	0.00	5.42	100.0	5.42
04/30/2018	KNEPPER, MAX	PRU AS ADVISOR PLAN III	26,026.22	5.42	0.00	5.42	100.0	5.42
05/31/2018	KNEPPER, MAX	PRU AS ADVISOR PLAN III	25,881.30	5.39	0.00	5.39	100.0	5.39
05/31/2018	KNEPPER, MAX	PRU AS ADVISOR PLAN III	25,881.30	5.39	0.00	5.39	100.0	5.39
04/30/2018	BOOTS, ROBERTA	PRU AS APEX II	22,063.58	4.60	0.00	4.60	100.0	4.60
04/30/2018	BOOTS, ROBERTA	PRU AS APEX II	22,063.58	13.79	0.00	13.79	100.0	13.79
04/30/2018	DAILEY, SUZANNE	PRU AS APEX II	18,264.16	3.81	0.00	3.81	100.0	3.81
04/30/2018	DAILEY, SUZANNE	PRU AS APEX II	18,264.16	15.22	0.00	15.22	100.0	15.22
04/30/2018	DUSSEAU, KEITH	PRU AS APEX II	8,953.14	1.87	0.00	1.87	100.0	1.87
04/30/2018	DUSSEAU, KEITH	PRU AS APEX II	8,953.14	7.46	0.00	7.46	100.0	7.46
04/30/2018	LANE, JOAN	PRU AS APEX II	28,506.11	23.76	0.00	23.76	100.0	23.76
04/30/2018	LANE, JOAN	PRU AS APEX II	28,506.11	5.94	0.00	5.94	100.0	5.94
05/31/2018	BOOTS, ROBERTA	PRU AS APEX II	22,424.96	4.67	0.00	4.67	100.0	4.67
05/31/2018	BOOTS, ROBERTA	PRU AS APEX II	22,424.96	14.02	0.00	14.02	100.0	14.02
05/31/2018	DAILEY, SUZANNE	PRU AS APEX II	18,245.28	3.80	0.00	3.80	100.0	3.80
05/31/2018	DAILEY, SUZANNE	PRU AS APEX II	18,245.28	15.20	0.00	15.20	100.0	15.20
05/31/2018	DUSSEAU, KEITH	PRU AS APEX II	8,995.60	1.87	0.00	1.87	100.0	1.87
05/31/2018	DUSSEAU, KEITH	PRU AS APEX II	8,995.60	7.50	0.00	7.50	100.0	7.50
05/31/2018	LANE, JOAN	PRU AS APEX II	28,757.94	23.96	0.00	23.96	100.0	23.96
05/31/2018	LANE, JOAN	PRU AS APEX II	28,757.94	5.99	0.00	5.99	100.0	5.99
04/30/2018	GUINN, MARGARET	PRU AS LIFEVEST II	47,704.62	9.94	0.00	9.94	100.0	9.94
04/30/2018	GUINN, MARGARET	PRU AS LIFEVEST II	47,704.62	29.82	0.00	29.82	100.0	29.82

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
05/31/2018	GUINN, MARGARET	PRU AS LIFEVEST II	47,428.78	9.88	0.00	9.88	100.0	9.88
05/31/2018	GUINN, MARGARET	PRU AS LIFEVEST II	47,428.78	29.64	0.00	29.64	100.0	29.64
04/30/2018	HARTONG CR TRUST	PRU AS XTRA CREDIT	70,485.67	14.68	0.00	14.68	100.0	14.68
04/30/2018	GREENE, DAVID	PRU AS XTRA CREDIT	29,914.69	6.23	0.00	6.23	100.0	6.23
05/31/2018	HARTONG CR TRUST	PRU AS XTRA CREDIT	71,373.93	14.87	0.00	14.87	100.0	14.87
05/31/2018	GREENE, DAVID	PRU AS XTRA CREDIT	30,616.27	6.38	0.00	6.38	100.0	6.38
04/30/2018	SHELTON, PAUL	PRU AS XTRA CREDIT SIX	14,098.80	2.94	0.00	2.94	100.0	2.94
04/30/2018	SCHRITZ, BRYAN	PRU AS XTRA CREDIT SIX	37,415.94	7.79	0.00	7.79	100.0	7.79
04/30/2018	BUCKEYE, ALEN	PRU AS XTRA CREDIT SIX	63,191.40	13.16	0.00	13.16	100.0	13.16
04/30/2018	MCGINNIS, KATHLEEN	PRU AS XTRA CREDIT SIX	212,492.86	44.27	0.00	44.27	100.0	44.27
04/30/2018	VANDAL, DONALD	PRU AS XTRA CREDIT SIX	37,914.90	7.90	0.00	7.90	100.0	7.90
04/30/2018	MORSE, MARK	PRU AS XTRA CREDIT SIX	25,188.32	5.25	0.00	5.25	100.0	5.25
04/30/2018	GORDON, DAVID	PRU AS XTRA CREDIT SIX	434,555.24	90.53	0.00	90.53	100.0	90.53
05/31/2018	SHELTON, PAUL	PRU AS XTRA CREDIT SIX	14,223.11	2.96	0.00	2.96	100.0	2.96
05/31/2018	SCHRITZ, BRYAN	PRU AS XTRA CREDIT SIX	37,488.16	7.81	0.00	7.81	100.0	7.81
05/31/2018	BUCKEYE, ALEN	PRU AS XTRA CREDIT SIX	63,205.13	13.17	0.00	13.17	100.0	13.17
05/31/2018	MCGINNIS, KATHLEEN	PRU AS XTRA CREDIT SIX	207,150.01	43.16	0.00	43.16	100.0	43.16
05/31/2018	VANDAL, DONALD	PRU AS XTRA CREDIT SIX	37,941.16	7.90	0.00	7.90	100.0	7.90
05/31/2018	MORSE, MARK	PRU AS XTRA CREDIT SIX	25,486.50	5.31	0.00	5.31	100.0	5.31
05/31/2018	GORDON, DAVID	PRU AS XTRA CREDIT SIX	435,477.88	90.72	0.00	90.72	100.0	90.72
04/30/2018	MCDONALD, CRAIG	PRU AS XTRA CREDIT VI	12,347.20	2.57	0.00	2.57	100.0	2.57
05/31/2018	MCDONALD, CRAIG	PRU AS XTRA CREDIT VI	12,197.09	2.54	0.00	2.54	100.0	2.54
04/30/2018	TAMER, FIDAA	PRU PREMIER INVT A	14,128.48	3.53	0.00	3.53	100.0	3.53
04/30/2018	LORENCZ, MARY	PRU PREMIER INVT A	23,933.88	5.98	0.00	5.98	100.0	5.98
04/30/2018	MITCHELL, JAMES	PRU PREMIER INVT A	64,513.94	16.13	0.00	16.13	100.0	16.13
05/31/2018	TAMER, FIDAA	PRU PREMIER INVT A	14,297.20	3.57	0.00	3.57	100.0	3.57
05/31/2018	LORENCZ, MARY	PRU PREMIER INVT A	24,199.17	6.05	0.00	6.05	100.0	6.05
05/31/2018	MITCHELL, JAMES	PRU PREMIER INVT A	65,277.67	16.32	0.00	16.32	100.0	16.32
04/30/2018	COOPER, FRANK	PRU PREMIER RETIREMENT B	75,763.98	15.78	0.00	15.78	100.0	15.78
04/30/2018	ANNONI, LINDA	PRU PREMIER RETIREMENT B	48,552.31	10.12	0.00	10.12	100.0	10.12
04/30/2018	SEMENIK, JOHN	PRU PREMIER RETIREMENT B	83,778.83	17.45	0.00	17.45	100.0	17.45
04/30/2018	ALBERT, ALLISON	PRU PREMIER RETIREMENT B	5,820.58	1.21	0.00	1.21	100.0	1.21
04/30/2018	SCHECK, VICTORIA	PRU PREMIER RETIREMENT B	30,269.00	6.31	0.00	6.31	100.0	6.31

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
04/30/2018	SEMENIK, EMILY	PRU PREMIER RETIREMENT B	175,576.60	36.58	0.00	36.58	100.0	36.58
05/31/2018	COOPER, FRANK	PRU PREMIER RETIREMENT B	76,620.27	15.96	0.00	15.96	100.0	15.96
05/31/2018	ANNONI, LINDA	PRU PREMIER RETIREMENT B	49,077.13	10.22	0.00	10.22	100.0	10.22
05/31/2018	SEMENIK, JOHN	PRU PREMIER RETIREMENT B	84,423.72	17.59	0.00	17.59	100.0	17.59
05/31/2018	ALBERT, ALLISON	PRU PREMIER RETIREMENT B	5,885.62	1.23	0.00	1.23	100.0	1.23
05/31/2018	SCHECK, VICTORIA	PRU PREMIER RETIREMENT B	30,425.52	6.34	0.00	6.34	100.0	6.34
05/31/2018	SEMENIK, EMILY	PRU PREMIER RETIREMENT B	177,067.84	36.89	0.00	36.89	100.0	36.89
04/30/2018	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	3,663.03	3.05	0.00	3.05	100.0	3.05
04/30/2018	PERSCHKE, WILMA	PRU PREMIER RETIREMENT L	118,282.00	98.57	0.00	98.57	100.0	98.57
04/30/2018	REA, DIANA	PRU PREMIER RETIREMENT L	106,610.84	88.84	0.00	88.84	100.0	88.84
04/30/2018	GAVIN, LINDA	PRU PREMIER RETIREMENT L	130,547.40	108.79	0.00	108.79	100.0	108.79
04/30/2018	GAVIN, GARY	PRU PREMIER RETIREMENT L	131,823.15	109.85	0.00	109.85	100.0	109.85
05/31/2018	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	3,741.36	3.12	0.00	3.12	100.0	3.12
05/31/2018	PERSCHKE, WILMA	PRU PREMIER RETIREMENT L	118,235.04	98.53	0.00	98.53	100.0	98.53
05/31/2018	REA, DIANA	PRU PREMIER RETIREMENT L	107,143.78	89.29	0.00	89.29	100.0	89.29
05/31/2018	GAVIN, LINDA	PRU PREMIER RETIREMENT L	131,200.05	109.33	0.00	109.33	100.0	109.33
05/31/2018	GAVIN, GARY	PRU PREMIER RETIREMENT L	132,482.59	110.40	0.00	110.40	100.0	110.40
04/30/2018	BACHTELL, JAMES	PRU PREMIER RETIREMENT X	35,134.44	7.32	0.00	7.32	100.0	7.32
04/30/2018	LUCIER, ROBERT	PRU PREMIER RETIREMENT X	163,309.03	34.02	0.00	34.02	100.0	34.02
04/30/2018	WILLS, KAREN	PRU PREMIER RETIREMENT X	547,337.17	114.03	0.00	114.03	100.0	114.03
04/30/2018	LUCIER, LYNN	PRU PREMIER RETIREMENT X	61,486.50	12.81	0.00	12.81	100.0	12.81
04/30/2018	MCCANDLESS, JAMES	PRU PREMIER RETIREMENT X	430,184.67	89.62	0.00	89.62	100.0	89.62
05/31/2018	BACHTELL, JAMES	PRU PREMIER RETIREMENT X	35,369.70	7.37	0.00	7.37	100.0	7.37
05/31/2018	LUCIER, ROBERT	PRU PREMIER RETIREMENT X	165,549.57	34.49	0.00	34.49	100.0	34.49
05/31/2018	WILLS, KAREN	PRU PREMIER RETIREMENT X	551,966.45	114.99	0.00	114.99	100.0	114.99
05/31/2018	LUCIER, LYNN	PRU PREMIER RETIREMENT X	62,330.07	12.99	0.00	12.99	100.0	12.99
05/31/2018	MCCANDLESS, JAMES	PRU PREMIER RETIREMENT X	433,989.42	90.41	0.00	90.41	100.0	90.41
05/30/2018	RIDDLEBARGE, DAWN	SBL ADVISOR PROGRAM	826.78	0.16	0.00	0.16	100.0	0.16
05/30/2018	BURNSIDE, SHARON	SBL ADVISOR PROGRAM	10,644.97	2.23	0.00	2.23	100.0	2.23
05/30/2018	LOOMIS, CATHERINE	SBL ADVISOR PROGRAM	5,222.02	1.08	0.00	1.08	100.0	1.08
05/02/2018	BREWER, VARIOUS	TRANSAMERICA EXTRA	34,763.06	28.97	0.00	28.97	100.0	28.97
05/04/2018	BELTRAN, RANDOLPH	TRANSAMERICA EXTRA	103,580.22	86.32	0.00	86.32	100.0	86.32
05/27/2018	LEONARD, NITA	TRANSAMERICA EXTRA	46,030.32	38.36	0.00	38.36	100.0	38.36

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/12/2018	WILLIAMS, JESSIE	TRANSAMERICA LIBERTY	68,121.22	56.77	0.00	56.77	100.0	56.77
05/24/2018	MORRISON, BARRETT	TRANSAMERICA PRINCIPIUM	82,906.87	69.09	0.00	69.09	100.0	69.09
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$7,534,166.03</b>	<b>\$2,875.45</b>	<b>\$0.00</b>	<b>\$2,875.45</b>		<b>\$2,875.45</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
05/25/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	3.92	0.00	3.92	100.0	3.92
06/01/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	3.36	0.00	3.36	100.0	3.36
06/01/2018	FOELS, BRUCE	TRANSAMERICA LIFE	41.75	1.04	0.00	1.04	100.0	1.04
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			<b>\$41.75</b>	<b>\$8.32</b>	<b>\$0.00</b>	<b>\$8.32</b>		<b>\$8.32</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	MORRISON, LINDSAY	529 AMCAP FUND CLASS A	0.00	2.30	0.00	2.30	100.0	2.30
06/01/2018	MORRISON, KYLE	529 AMCAP FUND CLASS A	0.00	0.34	0.00	0.34	100.0	0.34
06/01/2018	WELLS, GREG	AF MODERATE GROWTH AND INCOME-529A	0.00	1.18	0.00	1.18	100.0	1.18
06/01/2018	WELLS, GREG	AF MODERATE GROWTH AND INCOME-529A	0.00	1.18	0.00	1.18	100.0	1.18
06/01/2018	VIGILANT, KELLIE	AM FUNDS 2025 TARGET DATE-A	0.00	0.26	0.00	0.26	100.0	0.26
06/01/2018	LUND, STEVEN	AM FUNDS 2030 TARGET DATE-A	0.00	24.78	0.00	24.78	100.0	24.78
06/01/2018	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	0.00	12.92	0.00	12.92	100.0	12.92
06/01/2018	LUND, STEVEN	AM FUNDS 2035 TARGET DATE-A	0.00	41.02	0.00	41.02	100.0	41.02
06/01/2018	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	0.00	1.54	0.00	1.54	100.0	1.54
06/01/2018	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	0.00	1.54	0.00	1.54	100.0	1.54
06/01/2018	LUND, STEVEN	AM FUNDS 2040 TARGET DATE-A	0.00	5.19	0.00	5.19	100.0	5.19
06/01/2018	CLOSED-LADUKE-ROBSON, HEATHER	AM FUNDS AMCAP A	0.00	9.95	0.00	9.95	100.0	9.95
06/01/2018	MARSH, GARY	AM FUNDS AMCAP A	0.00	14.82	0.00	14.82	100.0	14.82
06/01/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	0.00	15.92	0.00	15.92	100.0	15.92
06/01/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	0.00	9.08	0.00	9.08	100.0	9.08
06/01/2018	FORSBERG, CHERYL	AM FUNDS AMERICAN BALANCED 529A	0.00	7.38	0.00	7.38	100.0	7.38
06/01/2018	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	0.00	27.06	0.00	27.06	100.0	27.06
06/01/2018	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	0.00	6.61	0.00	6.61	100.0	6.61
06/01/2018	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	0.00	7.84	0.00	7.84	100.0	7.84
06/01/2018	DIRMEYER, DAVID	AM FUNDS AMERICAN BALANCED 529A	0.00	3.34	0.00	3.34	100.0	3.34
06/01/2018	LENCZOWSKI, JANICE	AM FUNDS AMERICAN BALANCED A	0.00	4.79	0.00	4.79	100.0	4.79
06/01/2018	COOPER, FRANK	AM FUNDS AMERICAN BALANCED A	0.00	48.42	0.00	48.42	100.0	48.42
06/01/2018	JEZDIMIR, CAROLINE	AM FUNDS AMERICAN BALANCED A	0.00	30.46	0.00	30.46	100.0	30.46

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
06/01/2018	BAUGHMAN/BENE, MICHELE	AM FUNDS AMERICAN BALANCED A	0.00	30.68	0.00	30.68	100.0	30.68
06/01/2018	ALLOR, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	21.14	0.00	21.14	100.0	21.14
06/01/2018	VANDEUSEN, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	3.48	0.00	3.48	100.0	3.48
06/01/2018	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	56.48	0.00	56.48	100.0	56.48
06/01/2018	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	0.00	1.41	0.00	1.41	100.0	1.41
06/01/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	0.00	27.61	0.00	27.61	100.0	27.61
06/01/2018	GENZON, SERGIO	AM FUNDS AMERICAN BALANCED A	0.00	9.63	0.00	9.63	100.0	9.63
06/01/2018	SALAS FAMILY TRUST	AM FUNDS AMERICAN BALANCED A	0.00	116.75	0.00	116.75	100.0	116.75
06/01/2018	LAWMASTER, LESLIE	AM FUNDS AMERICAN BALANCED A	0.00	9.91	0.00	9.91	100.0	9.91
06/01/2018	ERICKSON/BENE, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	5.58	0.00	5.58	100.0	5.58
06/01/2018	ERICKSON, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	11.57	0.00	11.57	100.0	11.57
06/01/2018	ROTH/BENE, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	1.37	0.00	1.37	100.0	1.37
06/01/2018	STONE, COLEEN	AM FUNDS AMERICAN BALANCED C	0.00	2.50	0.00	2.50	100.0	2.50
06/01/2018	GAGNON, JOHN	AM FUNDS AMERICAN BALANCED C	0.00	15.99	0.00	15.99	100.0	15.99
06/01/2018	BRINICH, EVA	AM FUNDS AMERICAN BALANCED C	0.00	5.23	0.00	5.23	100.0	5.23
06/01/2018	ANDREWS, ASHLEY	AM FUNDS AMERICAN BALANCED C	0.00	25.85	0.00	25.85	100.0	25.85
06/01/2018	WAXHAM, HEATHER	AM FUNDS AMERICAN BALANCED C	0.00	40.54	0.00	40.54	100.0	40.54
06/01/2018	HERINGSHAUSEN, ERNESTING	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.86	0.00	0.86	100.0	0.86
06/01/2018	HERINGSHAUSEN, DENNIS	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	2.43	0.00	2.43	100.0	2.43
06/01/2018	HERINGSHAUSEN, DENNIS	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	5.86	0.00	5.86	100.0	5.86
06/01/2018	BURROWS, JOY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.27	0.00	3.27	100.0	3.27
06/01/2018	ROTH/BENE, ROBERT	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.07	0.00	1.07	100.0	1.07
06/01/2018	JOY ORDIZ VANDEKERKHOVE,	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.74	0.00	0.74	100.0	0.74
06/01/2018	COOPER, FRANK	AM FUNDS AMERICAN MUTUAL A	0.00	34.42	0.00	34.42	100.0	34.42
06/01/2018	JACKSON, RICHARD	AM FUNDS AMERICAN MUTUAL A	0.00	2.36	0.00	2.36	100.0	2.36
06/01/2018	LINDERMAN, DAVID	AM FUNDS AMERICAN MUTUAL A	0.00	130.61	0.00	130.61	100.0	130.61
06/01/2018	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	0.00	15.16	0.00	15.16	100.0	15.16
06/01/2018	RUBLY, WILLIAM	AM FUNDS AMERICAN MUTUAL A	0.00	99.93	0.00	99.93	100.0	99.93
06/01/2018	RUBLY, DELPHINE	AM FUNDS AMERICAN MUTUAL A	0.00	77.36	0.00	77.36	100.0	77.36
06/01/2018	ESSARY, BRADLEY	AM FUNDS AMERICAN MUTUAL A	0.00	6.50	0.00	6.50	100.0	6.50
06/01/2018	ESSARY, MICHELLE	AM FUNDS AMERICAN MUTUAL A	0.00	1.72	0.00	1.72	100.0	1.72
06/01/2018	ESSARY, MICHELLE	AM FUNDS AMERICAN MUTUAL A	0.00	2.20	0.00	2.20	100.0	2.20
06/01/2018	KEENEY, DONALD	AM FUNDS BOND FUND OF AMERICA A	0.00	7.12	0.00	7.12	100.0	7.12

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	MAYVILLE, DANIEL	AM FUNDS BOND FUND OF AMERICA A	0.00	3.63	0.00	3.63	100.0	3.63
06/01/2018	ROTH/BENE, ROBERT	AM FUNDS BOND FUND OF AMERICA A	0.00	0.57	0.00	0.57	100.0	0.57
06/01/2018	CESUL, DAWN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	3.21	0.00	3.21	100.0	3.21
06/01/2018	CESUL, DAWN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.50	0.00	0.50	100.0	0.50
06/01/2018	CASSADY, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	3.20	0.00	3.20	100.0	3.20
06/01/2018	CALZADA, LARA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	9.49	0.00	9.49	100.0	9.49
06/01/2018	WILLIAMS, KATHLEEN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	13.24	0.00	13.24	100.0	13.24
06/01/2018	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	22.25	0.00	22.25	100.0	22.25
06/01/2018	NEVILLE, KAREN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.38	0.00	1.38	100.0	1.38
06/01/2018	VITIELLO, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	7.14	0.00	7.14	100.0	7.14
06/01/2018	VITIELLO, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	10.09	0.00	10.09	100.0	10.09
06/01/2018	CALZADA, LARA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	5.79	0.00	5.79	100.0	5.79
06/01/2018	MARTINEZ, RICHARD	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	3.88	0.00	3.88	100.0	3.88
06/01/2018	RAINONE, TRISTINA DAWN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.89	0.00	0.89	100.0	0.89
06/01/2018	RAINONE, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.16	0.00	2.16	100.0	2.16
06/01/2018	CHIMENTI, VINCENT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.56	0.00	2.56	100.0	2.56
06/01/2018	CLOSED-LADUKE-ROBSON, HEATHER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.55	0.00	6.55	100.0	6.55
06/01/2018	CLOSED-MCSPARIN-GARD, CINDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.71	0.00	9.71	100.0	9.71
06/01/2018	ROMA, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	23.15	0.00	23.15	100.0	23.15
06/01/2018	LAMOUNTAIN, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.52	0.00	0.52	100.0	0.52
06/01/2018	LINGEMANN TRUST, THOMAS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	34.29	0.00	34.29	100.0	34.29
06/01/2018	BREZA, DONICE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.59	0.00	0.59	100.0	0.59
06/01/2018	WOJTACHA, NEAL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.12	0.00	8.12	100.0	8.12
06/01/2018	DURR, GEORGE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.73	0.00	7.73	100.0	7.73
06/01/2018	MENDOZA, ANA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.01	0.00	0.01	100.0	0.01
06/01/2018	CARTWRIGHT, MICHAELA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.41	0.00	2.41	100.0	2.41
06/01/2018	VITIELLO, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	25.83	0.00	25.83	100.0	25.83
06/01/2018	SUI-FILO, KRISTA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.18	0.00	1.18	100.0	1.18
06/01/2018	ERFLE, VICTORIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.84	0.00	21.84	100.0	21.84
06/01/2018	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.84	0.00	0.84	100.0	0.84
06/01/2018	SLINKARD, LAURA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.60	0.00	3.60	100.0	3.60
06/01/2018	DONADIO, JASON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.01	0.00	0.01	100.0	0.01
06/01/2018	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	38.53	0.00	38.53	100.0	38.53

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
06/01/2018	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.78	0.00	10.78	100.0	10.78
06/01/2018	NUTT, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.27	0.00	5.27	100.0	5.27
06/01/2018	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.68	0.00	3.68	100.0	3.68
06/01/2018	DONADIO, MICHELLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.46	0.00	0.46	100.0	0.46
06/01/2018	ERICKSON/BENE, THOMAS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.27	0.00	2.27	100.0	2.27
06/01/2018	ROTH/BENE, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.97	0.00	0.97	100.0	0.97
06/01/2018	CAUL, KATHERINE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.47	0.00	3.47	100.0	3.47
06/01/2018	VIVIANO, KAREN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	69.07	0.00	69.07	100.0	69.07
06/01/2018	MASTERSON, ALANNA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.89	0.00	8.89	100.0	8.89
06/01/2018	REETZ, JANET	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.60	0.00	0.60	100.0	0.60
06/01/2018	CHIECHA, MOLLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	5.38	0.00	5.38	100.0	5.38
06/01/2018	LAMOUNTAIN, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.82	0.00	0.82	100.0	0.82
06/01/2018	BAUGHMAN, MICHELE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.07	0.00	13.07	100.0	13.07
06/01/2018	BREZA, DONICE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.84	0.00	0.84	100.0	0.84
06/01/2018	LISOWSKI, JULIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.96	0.00	0.96	100.0	0.96
06/01/2018	O'CONNOR, ROSE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	51.47	0.00	51.47	100.0	51.47
06/01/2018	BLINKE II, RONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.89	0.00	13.89	100.0	13.89
06/01/2018	ESSARY, BRADLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.56	0.00	5.56	100.0	5.56
06/01/2018	ESSARY, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.75	0.00	1.75	100.0	1.75
06/01/2018	ESSARY, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.98	0.00	1.98	100.0	1.98
06/01/2018	ROTH/BENE, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.03	0.00	1.03	100.0	1.03
06/01/2018	BEAUDETT, TIMOTHY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.32	0.00	0.32	100.0	0.32
06/01/2018	SLACK, DONITA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	47.32	0.00	47.32	100.0	47.32
06/01/2018	DOUGHTERY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.95	0.00	3.95	100.0	3.95
06/01/2018	NOWICKI, DAVID	AM FUNDS COLLEGE 2030 FUND 529A	0.00	5.06	0.00	5.06	100.0	5.06
06/01/2018	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	0.00	1.55	0.00	1.55	100.0	1.55
06/01/2018	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.38	0.00	6.38	100.0	6.38
06/01/2018	REED, CAROLINA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.04	0.00	1.04	100.0	1.04
06/01/2018	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.84	0.00	3.84	100.0	3.84
06/01/2018	BLINKE II TRUST, RONALD	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.79	0.00	5.79	100.0	5.79
06/01/2018	BLINKE II, RONALD	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.73	0.00	1.73	100.0	1.73
06/01/2018	PALFFY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.41	0.00	9.41	100.0	9.41
06/01/2018	FOSTER, KIMBERLY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.30	0.00	1.30	100.0	1.30

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
06/01/2018	PLANSCAPE, INC 401(K) P/S	AM FUNDS EUROPACIFIC GROWTH FUND-R3	0.00	135.84	0.00	135.84	100.0	135.84
06/01/2018	DIRMEYER, DAVID	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	3.74	0.00	3.74	100.0	3.74
06/01/2018	BLOOM, JANET	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.62	0.00	6.62	100.0	6.62
06/01/2018	PALFFY, JOHN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	12.57	0.00	12.57	100.0	12.57
06/01/2018	GALVAN, ALEC	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.70	0.00	5.70	100.0	5.70
06/01/2018	ROTH/BENE, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.75	0.00	1.75	100.0	1.75
06/01/2018	SLACK, DONITA	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	54.31	0.00	54.31	100.0	54.31
06/01/2018	BEST, PAUL	AM FUNDS GROWTH AND INCOME A	0.00	140.99	0.00	140.99	100.0	140.99
06/01/2018	BEST, ANN	AM FUNDS GROWTH AND INCOME A	0.00	52.88	0.00	52.88	100.0	52.88
06/01/2018	RUBLY, WILLIAM	AM FUNDS GROWTH AND INCOME A	0.00	6.23	0.00	6.23	100.0	6.23
06/01/2018	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	0.00	1.46	0.00	1.46	100.0	1.46
06/01/2018	RUBLY, ROBERT	AM FUNDS GROWTH AND INCOME A	0.00	0.99	0.00	0.99	100.0	0.99
06/01/2018	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	0.00	19.35	0.00	19.35	100.0	19.35
06/01/2018	REETZ, JANET	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.74	0.00	0.74	100.0	0.74
06/01/2018	CESUL, DAWN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.35	0.00	3.35	100.0	3.35
06/01/2018	CESUL, DAWN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.69	0.00	0.69	100.0	0.69
06/01/2018	DIRMEYER, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.86	0.00	3.86	100.0	3.86
06/01/2018	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.81	0.00	8.81	100.0	8.81
06/01/2018	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.17	0.00	6.17	100.0	6.17
06/01/2018	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.77	0.00	2.77	100.0	2.77
06/01/2018	KEMP, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.17	0.00	12.17	100.0	12.17
06/01/2018	LAMOUNTAIN, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.08	0.00	1.08	100.0	1.08
06/01/2018	JOHNSON, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.74	0.00	4.74	100.0	4.74
06/01/2018	JOHNSON, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.11	0.00	10.11	100.0	10.11
06/01/2018	MEGGE, STEVE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.82	0.00	1.82	100.0	1.82
06/01/2018	KAZMIROWSKI, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.31	0.00	3.31	100.0	3.31
06/01/2018	KOZLOWSKI, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.35	0.00	11.35	100.0	11.35
06/01/2018	BLINKE II TRUST, RONALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	42.32	0.00	42.32	100.0	42.32
06/01/2018	WOJTACHA, NEAL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.37	0.00	1.37	100.0	1.37
06/01/2018	BLINKE II, RONALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.54	0.00	4.54	100.0	4.54
06/01/2018	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	0.00	46.66	0.00	46.66	100.0	46.66
06/01/2018	YU-DIPASQUALE, MEI-KIN MAGGIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.04	0.00	3.04	100.0	3.04
06/01/2018	DIRMEYER, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.60	0.00	4.60	100.0	4.60

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	TSOUNIS, NICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.77	0.00	2.77	100.0	2.77
06/01/2018	DIRMEYER, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.62	0.00	4.62	100.0	4.62
06/01/2018	ESSARY, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.05	0.00	8.05	100.0	8.05
06/01/2018	DIRMEYER, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.73	0.00	2.73	100.0	2.73
06/01/2018	ESSARY, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.00	0.00	2.00	100.0	2.00
06/01/2018	ESSARY, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.64	0.00	2.64	100.0	2.64
06/01/2018	NARESKI, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.49	0.00	10.49	100.0	10.49
06/01/2018	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	24.78	0.00	24.78	100.0	24.78
06/01/2018	GAGNON, JOHN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.13	0.00	18.13	100.0	18.13
06/01/2018	GAGNON, JOHN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	20.88	0.00	20.88	100.0	20.88
06/01/2018	CAUL, KATHERINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.85	0.00	5.85	100.0	5.85
06/01/2018	LARA, PHILIP	AM FUNDS GROWTH PORT A	0.00	1.30	0.00	1.30	100.0	1.30
06/01/2018	MORRISON, LINDSAY	AM FUNDS ICA 529A	0.00	3.64	0.00	3.64	100.0	3.64
06/01/2018	MORRISON, KYLE	AM FUNDS ICA 529A	0.00	0.35	0.00	0.35	100.0	0.35
06/01/2018	CESUL, DAWN	AM FUNDS ICA 529A	0.00	2.84	0.00	2.84	100.0	2.84
06/01/2018	CESUL, DAWN	AM FUNDS ICA 529A	0.00	0.63	0.00	0.63	100.0	0.63
06/01/2018	CHIMENTI, VINCENT	AM FUNDS ICA A	0.00	2.41	0.00	2.41	100.0	2.41
06/01/2018	VANDERKHOVE, SKYLAR	AM FUNDS ICA A	0.00	0.61	0.00	0.61	100.0	0.61
06/01/2018	VANDERKHOVE, GAVIN	AM FUNDS ICA A	0.00	1.49	0.00	1.49	100.0	1.49
06/01/2018	CLOSED-MCSPARIN-GARD, CINDY	AM FUNDS ICA A	0.00	2.01	0.00	2.01	100.0	2.01
06/01/2018	COOPER, FRANK	AM FUNDS ICA A	0.00	35.49	0.00	35.49	100.0	35.49
06/01/2018	PRATUS, LINDSEY	AM FUNDS ICA A	0.00	1.33	0.00	1.33	100.0	1.33
06/01/2018	HORNER, KEVIN	AM FUNDS ICA A	0.00	8.92	0.00	8.92	100.0	8.92
06/01/2018	RIGGERT, HILARY	AM FUNDS ICA A	0.00	0.06	0.00	0.06	100.0	0.06
06/01/2018	KEECH, SCOTT	AM FUNDS ICA A	0.00	14.15	0.00	14.15	100.0	14.15
06/01/2018	ZECH, DONALD	AM FUNDS ICA A	0.00	12.98	0.00	12.98	100.0	12.98
06/01/2018	KOLOVSKY, JEFFREY	AM FUNDS ICA A	0.00	6.86	0.00	6.86	100.0	6.86
06/01/2018	COLLAR, VICKI	AM FUNDS ICA A	0.00	3.87	0.00	3.87	100.0	3.87
06/01/2018	MARSH, GARY	AM FUNDS ICA A	0.00	200.61	0.00	200.61	100.0	200.61
06/01/2018	KULHANEK, CAROL	AM FUNDS ICA A	0.00	0.73	0.00	0.73	100.0	0.73
06/01/2018	KULHANEK, MICHAEL	AM FUNDS ICA A	0.00	4.05	0.00	4.05	100.0	4.05
06/01/2018	PERS, MICHAEL	AM FUNDS ICA A	0.00	1.80	0.00	1.80	100.0	1.80
06/01/2018	KULHANEK, CAROL	AM FUNDS ICA A	0.00	3.77	0.00	3.77	100.0	3.77

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
06/01/2018	KOZLOWSKI, MICHAEL	AM FUNDS ICA A	0.00	7.37	0.00	7.37	100.0	7.37
06/01/2018	BURROWS, JOY	AM FUNDS ICA A	0.00	6.11	0.00	6.11	100.0	6.11
06/01/2018	CONLIN, DANIEL	AM FUNDS ICA A	0.00	3.89	0.00	3.89	100.0	3.89
06/01/2018	BREZA, DONICE	AM FUNDS ICA A	0.00	0.86	0.00	0.86	100.0	0.86
06/01/2018	MAYVILLE, DANIEL	AM FUNDS ICA A	0.00	7.94	0.00	7.94	100.0	7.94
06/01/2018	WOJTACHA, NEAL	AM FUNDS ICA A	0.00	9.04	0.00	9.04	100.0	9.04
06/01/2018	ODOM, COLLEEN	AM FUNDS ICA A	0.00	20.43	0.00	20.43	100.0	20.43
06/01/2018	EQUITY TRUST CO	AM FUNDS ICA A	0.00	11.21	0.00	11.21	100.0	11.21
06/01/2018	KEHRES, RICHARD	AM FUNDS ICA A	0.00	7.77	0.00	7.77	100.0	7.77
06/01/2018	ERICKSON/BENE, THOMAS	AM FUNDS ICA A	0.00	2.88	0.00	2.88	100.0	2.88
06/01/2018	ERICKSON, THOMAS	AM FUNDS ICA A	0.00	11.28	0.00	11.28	100.0	11.28
06/01/2018	SCHURRER, JOHANNA	AM FUNDS ICA A	0.00	9.22	0.00	9.22	100.0	9.22
06/01/2018	SCHURRER, JOHANNA	AM FUNDS ICA A	0.00	10.63	0.00	10.63	100.0	10.63
06/01/2018	KRYCIAN, JENNIFER	AM FUNDS ICA A	0.00	0.50	0.00	0.50	100.0	0.50
06/01/2018	DALESSANDRO, COLLIN	AM FUNDS ICA A	0.00	14.69	0.00	14.69	100.0	14.69
06/01/2018	PRATUS, LINDSEY	AM FUNDS ICA C	0.00	22.66	0.00	22.66	100.0	22.66
06/01/2018	CAUL, KATHERINE	AM FUNDS ICA C	0.00	4.77	0.00	4.77	100.0	4.77
06/01/2018	VIVIANO, KAREN	AM FUNDS ICA C	0.00	80.73	0.00	80.73	100.0	80.73
06/01/2018	WHEELER, PAUL	AM FUNDS ICA C	0.00	3.34	0.00	3.34	100.0	3.34
06/01/2018	AFFER, RONALD	AM FUNDS ICA C	0.00	5.56	0.00	5.56	100.0	5.56
06/01/2018	LOUDERMILK, SCOTT	AM FUNDS ICA C	0.00	11.59	0.00	11.59	100.0	11.59
06/01/2018	LOCKHART, JOSEPH	AM FUNDS ICA C	0.00	17.42	0.00	17.42	100.0	17.42
06/01/2018	CLOSED-MCSPARIN-GARD, CINDY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.34	0.00	3.34	100.0	3.34
06/01/2018	COOPER, FRANK	AM FUNDS INCOME FUND OF AMERICA A	0.00	53.18	0.00	53.18	100.0	53.18
06/01/2018	REED, CAROLINA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.03	0.00	1.03	100.0	1.03
06/01/2018	BREZA, DONICE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.83	0.00	0.83	100.0	0.83
06/01/2018	JOHNSON, BARBARA	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.93	0.00	5.93	100.0	5.93
06/01/2018	MI/TOD	AM FUNDS INCOME FUND OF AMERICA A	0.00	44.83	0.00	44.83	100.0	44.83
06/01/2018	SURMA/BENE, MARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.27	0.00	11.27	100.0	11.27
06/01/2018	GATNY/BENE, MARK	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.27	0.00	11.27	100.0	11.27
06/01/2018	GATNY/BENE, GARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.27	0.00	11.27	100.0	11.27
06/01/2018	ERICKSON/BENE, THOMAS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.48	0.00	2.48	100.0	2.48
06/01/2018	ERICKSON, THOMAS	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.94	0.00	10.94	100.0	10.94

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	ROTH/BENE, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.92	0.00	1.92	100.0	1.92
06/01/2018	GAGNON, JOHN	AM FUNDS INCOME FUND OF AMERICA C	0.00	13.14	0.00	13.14	100.0	13.14
06/01/2018	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	0.00	17.64	0.00	17.64	100.0	17.64
06/01/2018	BLOOM, JANET	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.08	0.00	2.08	100.0	2.08
06/01/2018	CASSADY, JEFFREY	AM FUNDS NEW ECONOMY 529A	0.00	25.53	0.00	25.53	100.0	25.53
06/01/2018	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	0.00	1.60	0.00	1.60	100.0	1.60
06/01/2018	MARSH, KEITH	AM FUNDS NEW ECONOMY A	0.00	63.05	0.00	63.05	100.0	63.05
06/01/2018	PALFFY, JOHN	AM FUNDS NEW ECONOMY A	0.00	9.94	0.00	9.94	100.0	9.94
06/01/2018	DIRMEYER, DAVID	AM FUNDS NEW PERSPECTIVE 529A	0.00	3.65	0.00	3.65	100.0	3.65
06/01/2018	LAMOUNTAIN, JENNIFER	AM FUNDS NEW PERSPECTIVE A	0.00	1.16	0.00	1.16	100.0	1.16
06/01/2018	KEENEY, DONALD	AM FUNDS NEW PERSPECTIVE A	0.00	25.99	0.00	25.99	100.0	25.99
06/01/2018	RIGGERT, ANDREW	AM FUNDS NEW PERSPECTIVE A	0.00	12.94	0.00	12.94	100.0	12.94
06/01/2018	LINGEMANN, HELEN	AM FUNDS NEW PERSPECTIVE A	0.00	53.13	0.00	53.13	100.0	53.13
06/01/2018	MAYVILLE, DANIEL	AM FUNDS NEW PERSPECTIVE A	0.00	7.54	0.00	7.54	100.0	7.54
06/01/2018	ERICKSON/BENE, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	3.36	0.00	3.36	100.0	3.36
06/01/2018	TSOUNIS, NICK	AM FUNDS NEW PERSPECTIVE A	0.00	2.55	0.00	2.55	100.0	2.55
06/01/2018	ESSARY, BRADLEY	AM FUNDS NEW PERSPECTIVE A	0.00	6.65	0.00	6.65	100.0	6.65
06/01/2018	ESSARY, MICHELLE	AM FUNDS NEW PERSPECTIVE A	0.00	5.78	0.00	5.78	100.0	5.78
06/01/2018	ESSARY, MICHELLE	AM FUNDS NEW PERSPECTIVE A	0.00	2.16	0.00	2.16	100.0	2.16
06/01/2018	BUGGS, MICHELLE	AM FUNDS NEW WORLD A	0.00	0.20	0.00	0.20	100.0	0.20
06/01/2018	RIGGERT, ANDREW	AM FUNDS NEW WORLD A	0.00	10.29	0.00	10.29	100.0	10.29
06/01/2018	BLINKE II TRUST, RONALD	AM FUNDS NEW WORLD A	0.00	5.34	0.00	5.34	100.0	5.34
06/01/2018	O'CONNOR, ROSE	AM FUNDS NEW WORLD A	0.00	42.31	0.00	42.31	100.0	42.31
06/01/2018	GARBO, PAULETTE	AM FUNDS NEW WORLD A	0.00	1.52	0.00	1.52	100.0	1.52
06/01/2018	GARBO, PAUL	AM FUNDS NEW WORLD A	0.00	1.44	0.00	1.44	100.0	1.44
06/01/2018	BLINKE II, RONALD	AM FUNDS NEW WORLD A	0.00	12.18	0.00	12.18	100.0	12.18
06/01/2018	HORNER, KEVIN	AM FUNDS SMALLCAP WORLD A	0.00	6.52	0.00	6.52	100.0	6.52
06/01/2018	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	0.00	3.07	0.00	3.07	100.0	3.07
06/01/2018	CARTWRIGHT, MICHAELA	AM FUNDS SMALLCAP WORLD A	0.00	2.47	0.00	2.47	100.0	2.47
06/01/2018	VITIELLO, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	28.67	0.00	28.67	100.0	28.67
06/01/2018	YU-DIPASQUALE, MEI-KIN MAGGIE	AM FUNDS SMALLCAP WORLD A	0.00	2.77	0.00	2.77	100.0	2.77
06/01/2018	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	0.00	4.44	0.00	4.44	100.0	4.44
06/01/2018	CASSADY, JEFFREY	AM FUNDS SMALLCAP WORLD-529A	0.00	20.65	0.00	20.65	100.0	20.65

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	VITIELLO, MICHAEL	AM FUNDS SMALLCAP WORLD-529A	0.00	6.56	0.00	6.56	100.0	6.56
06/01/2018	VITIELLO, MICHAEL	AM FUNDS SMALLCAP WORLD-529A	0.00	9.18	0.00	9.18	100.0	9.18
06/01/2018	MARTINEZ, RICHARD	AM FUNDS SMALLCAP WORLD-529A	0.00	3.89	0.00	3.89	100.0	3.89
06/01/2018	MORRISON, LINDSAY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.16	0.00	3.16	100.0	3.16
06/01/2018	MORRISON, KYLE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.44	0.00	0.44	100.0	0.44
06/01/2018	CONKLIN, JOEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.73	0.00	0.73	100.0	0.73
06/01/2018	KEMP, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	16.08	0.00	16.08	100.0	16.08
06/01/2018	LAMOUNTAIN, JENNIFER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.98	0.00	0.98	100.0	0.98
06/01/2018	REED, CAROLINA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.83	0.00	0.83	100.0	0.83
06/01/2018	TANNER, ALBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.32	0.00	2.32	100.0	2.32
06/01/2018	REETZ, NORMAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	43.89	0.00	43.89	100.0	43.89
06/01/2018	KEENEY, DONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	22.86	0.00	22.86	100.0	22.86
06/01/2018	MOOSEKIAN/AUTH PER, BRYAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.84	0.00	0.84	100.0	0.84
06/01/2018	DZIALO, MARIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.11	0.00	1.11	100.0	1.11
06/01/2018	GAMICCHIA, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	21.57	0.00	21.57	100.0	21.57
06/01/2018	KUSCH, KIMBERLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.19	0.00	0.19	100.0	0.19
06/01/2018	KUSCH, CHRISTOPHER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.19	0.00	0.19	100.0	0.19
06/01/2018	LATOUR, KERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.18	0.00	2.18	100.0	2.18
06/01/2018	GAMICCHIA, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.06	0.00	10.06	100.0	10.06
06/01/2018	ROHLOFF, CARL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	33.22	0.00	33.22	100.0	33.22
06/01/2018	JEZDIMIR, CAROLINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	35.19	0.00	35.19	100.0	35.19
06/01/2018	BLINKE II TRUST, RONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	35.48	0.00	35.48	100.0	35.48
06/01/2018	WOJTACHA, DOROTHY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	129.73	0.00	129.73	100.0	129.73
06/01/2018	LISOWSKI, JULIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.61	0.00	1.61	100.0	1.61
06/01/2018	BLINKE II, RONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.10	0.00	2.10	100.0	2.10
06/01/2018	CHIECHA, MOLLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.34	0.00	5.34	100.0	5.34
06/01/2018	ERICKSON/BENE, THOMAS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.84	0.00	2.84	100.0	2.84
06/01/2018	GALVAN, ALEC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.07	0.00	3.07	100.0	3.07
06/01/2018	ROTH/BENE, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.01	0.00	2.01	100.0	2.01
06/01/2018	DALESSANDRO/AUTH PER, ERIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.47	0.00	0.47	100.0	0.47
06/01/2018	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.04	0.00	5.04	100.0	5.04
06/01/2018	PLANSCAPE, INC 401(K) P/S	AMERICAN FUNDS FUNDAMENTAL INVESTOR	0.00	899.08	0.00	899.08	100.0	899.08
06/01/2018	PLANSCAPE, INC 401(K) P/S	AMERICAN FUNDS NEW WORLD R3	0.00	219.73	0.00	219.73	100.0	219.73

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	NOWICKI, DAVID	COLLEGE 2021 FUND-529A	0.00	8.21	0.00	8.21	100.0	8.21
06/01/2018	CALZADA, LARA	COLLEGE 2027 FUND-529A	0.00	1.70	0.00	1.70	100.0	1.70
06/01/2018	ROBINSON, SARAH	COLLEGE 2033 FUND-529A	0.00	3.21	0.00	3.21	100.0	3.21
05/31/2018	KLEMP, DANIAL	DELAWARE VALUE A	0.00	8.37	0.00	8.37	100.0	8.37
05/31/2018	SIDLOROBEG	DELAWARE VALUE A	0.00	36.34	0.00	36.34	100.0	36.34
05/17/2018	LOHR, KIMBERLY	FRANKLIN INCOME A	0.00	0.01	0.00	0.01	100.0	0.01
05/17/2018	MCCORKLE, ERIC	FT FOUNDING FDS ALLOC C	0.00	1.57	0.00	1.57	100.0	1.57
06/01/2018	WELLS, GREG	GLOBAL GROWTH PORTFOLIO-529A	0.00	1.34	0.00	1.34	100.0	1.34
06/01/2018	WELLS, GREG	GLOBAL GROWTH PORTFOLIO-529A	0.00	1.34	0.00	1.34	100.0	1.34
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$5,060.81</b>	<b>\$0.00</b>	<b>\$5,060.81</b>		<b>\$5,060.81</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
05/31/2018	LUND, VARIOUS	ADV CENTURY	0.00	436.79	0.00	436.79	100.0	436.79
05/17/2018	GRIBBLE, MARGARET	ING LANDMARK	9,457.74	11.82	0.00	11.82	100.0	11.82
05/29/2018	CHIDSEY, CYNTHIA	ING PREMIUM PLUS	8,377.52	5.24	0.00	5.24	100.0	5.24
01/15/2018	RICHARDSON, CALVIN	LINCOLN BENEFIT TRAIL RENEWALS	3,714.29	2.32	0.00	2.32	100.0	2.32
02/18/2018	ADAMS, JANET	LINCOLN BENEFIT TRAIL RENEWALS	264.33	8.59	0.00	8.59	100.0	8.59
02/18/2018	ADAMS, JANET	LINCOLN BENEFIT TRAIL RENEWALS	314.77	0.20	0.00	0.20	100.0	0.20
02/18/2018	ADAMS, JANET	LINCOLN BENEFIT TRAIL RENEWALS	203.45	0.13	0.00	0.13	100.0	0.13
04/15/2018	RICHARDSON, CALVIN	LINCOLN BENEFIT TRAIL RENEWALS	3,490.98	2.18	0.00	2.18	100.0	2.18
04/15/2018	ADAMS, JANET	LINCOLN BENEFIT TRAIL RENEWALS	0.00	0.01	0.00	0.01	100.0	0.01
04/26/2018	ADAMS, JANET	LINCOLN BENEFIT TRAIL RENEWALS	246.00	8.00	0.00	8.00	100.0	8.00
05/12/2018	ADAMS, VARIOUS	LINCOLN BENEFIT TRAIL RENEWALS	669.64	0.42	0.00	0.42	100.0	0.42
06/27/2018	ADAMS, JANET	LINCOLN BENEFIT TRAIL RENEWALS	73.83	0.05	0.00	0.05	100.0	0.05
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$26,812.55</b>	<b>\$475.75</b>	<b>\$0.00</b>	<b>\$475.75</b>		<b>\$475.75</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$7,565,545.33</b>	<b>\$8,555.22</b>	<b>\$0.00</b>	<b>\$8,555.22</b>		<b>\$8,555.22</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ERIC HORNYAK #10 </u></b>								
05/25/2018	CESUL, DAWN	AM FUNDS CAPITAL INCOME BUILDER 529	66.67	2.50	0.00	2.50	0.0	2.50
05/21/2018	GARZA, NATHAN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.50	0.00	2.50	0.0	2.50
05/25/2018	CESUL, DAWN	AM FUNDS GROWTH FUND OF AMERICA 529	66.66	2.50	0.00	2.50	0.0	2.50
05/25/2018	CESUL, DAWN	AM FUNDS ICA 529A	66.67	2.50	0.00	2.50	0.0	2.50
05/21/2018	GARZA, NATHAN	AM FUNDS NEW PERSPECTIVE 529A	50.00	2.50	0.00	2.50	0.0	2.50
05/18/2018	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	25.00	0.25	0.00	0.25	0.0	0.25
/ /	MACUCH, PAUL	FA 529 COLLEGE PORT C	0.00	0.19	0.00	0.19	0.0	0.19
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT CL A	0.00	0.24	0.00	0.24	0.0	0.24
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT CL A	0.00	2.11	0.00	2.11	0.0	2.11
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GRWTH PORT CL A	0.00	0.02	0.00	0.02	0.0	0.02
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GRWTH PORT CL A	0.00	3.29	0.00	3.29	0.0	3.29
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT CL A	0.00	0.02	0.00	0.02	0.0	0.02
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT CL A	0.00	3.79	0.00	3.79	0.0	3.79
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT A	0.00	0.02	0.00	0.02	0.0	0.02
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT A	0.00	3.56	0.00	3.56	0.0	3.56
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 A	0.00	15.69	0.00	15.69	0.0	15.69
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 A	0.00	0.74	0.00	0.74	0.0	0.74
/ /	DORAN, PATRICK	FA 529 PORT 2019 A	0.00	2.16	0.00	2.16	0.0	2.16
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	2.31	0.00	2.31	0.0	2.31
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	7.21	0.00	7.21	0.0	7.21
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	7.21	0.00	7.21	0.0	7.21
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	2.40	0.00	2.40	0.0	2.40
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	2.40	0.00	2.40	0.0	2.40
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	7.21	0.00	7.21	0.0	7.21
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	0.00	16.30	0.00	16.30	0.0	16.30
/ /	LORA, MARCOS	FA 529 PORT 2022 CL A	0.00	9.72	0.00	9.72	0.0	9.72
/ /	LORA, CATALINA	FA 529 PORT 2022 CL A	0.00	5.21	0.00	5.21	0.0	5.21
/ /	POWERS, GARY	FA 529 PORT 2022 CL A	0.00	2.78	0.00	2.78	0.0	2.78
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	0.00	4.08	0.00	4.08	0.0	4.08
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	0.00	1.22	0.00	1.22	0.0	1.22
/ /	DORAN, PATRICK	FA 529 PORT 2022 CL A	0.00	1.36	0.00	1.36	0.0	1.36
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 CL A	0.00	7.30	0.00	7.30	0.0	7.30
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	1.63	0.00	1.63	0.0	1.63

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAMES PANOS #10 </u></b>								
/ /	DORAN, SHAWN	FA 529 PORT 2022 CL A	0.00	0.86	0.00	0.86	0.0	0.86
/ /	LORA, ROLANDO	FA 529 PORT 2022 CL A	0.00	4.04	0.00	4.04	0.0	4.04
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 CL A	0.00	0.79	0.00	0.79	0.0	0.79
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	0.00	10.28	0.00	10.28	0.0	10.28
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.65	0.00	1.65	0.0	1.65
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.65	0.00	1.65	0.0	1.65
/ /	MURPHY, CHRIS	FA 529 PORT 2025 A	0.00	6.48	0.00	6.48	0.0	6.48
/ /	MACK, NEIL	FA 529 PORT 2025 A	0.00	2.55	0.00	2.55	0.0	2.55
06/05/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	3.00	0.00	3.00	0.0	3.00
06/05/2018	STEINER, DANIEL	FA 529 PORT 2025 A	120.00	3.00	0.00	3.00	0.0	3.00
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	9.58	0.00	9.58	0.0	9.58
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	12.27	0.00	12.27	0.0	12.27
06/01/2018	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
06/01/2018	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.93	0.00	3.93	0.0	3.93
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.60	0.00	3.60	0.0	3.60
/ /	MACK, NEIL	FA 529 PORT 2028 A	0.00	1.36	0.00	1.36	0.0	1.36
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	13.94	0.00	13.94	0.0	13.94
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	12.69	0.00	12.69	0.0	12.69
06/01/2018	MACK, NEIL	FA 529 PORT 2028 C	50.00	2.50	0.00	2.50	0.0	2.50
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	1.50	0.00	1.50	0.0	1.50
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.73	0.00	0.73	0.0	0.73
/ /	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	3.75	0.00	3.75	0.0	3.75
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	3.42	0.00	3.42	0.0	3.42
/ /	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	1.89	0.00	1.89	0.0	1.89
/ /	FACADA, MANUEL	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	7.20	0.00	7.20	0.0	7.20
/ /	FACADA, ANNA	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.14	0.00	6.14	0.0	6.14
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.11	0.00	0.11	0.0	0.11
05/29/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
05/29/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
05/29/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
05/29/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
<b>* DANIEL COLLINS SUBTOTAL *</b>			<b>\$1,205.00</b>	<b>\$252.33</b>	<b>\$0.00</b>	<b>\$252.33</b>		<b>\$252.33</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: DANIEL WOLF #11</u></b>								
05/24/2018	CARIGNAN, NANCY	MML CM LIFE VUL	0.00	7.00	0.00	7.00	0.0	7.00
		<b>* DANIEL WOLF SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$7.00</b>	<b>\$0.00</b>	<b>\$7.00</b>		<b>\$7.00</b>
<b><u>BROKER: ROBERT CHESTER #21</u></b>								
05/16/2018	MARVIN, CYNTHIA	BLK GLOBAL ALLOCATION A	0.00	0.41	0.00	0.41	0.0	0.41
05/16/2018	DILTS, ERIC	BLK MANAGED VOLATILITY A	0.00	1.30	0.00	1.30	0.0	1.30
05/16/2018	MARVIN, CYNTHIA	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	0.20	0.00	0.20	0.0	0.20
		<b>* ROBERT CHESTER SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$1.91</b>	<b>\$0.00</b>	<b>\$1.91</b>		<b>\$1.91</b>
<b><u>BROKER: EDWARD MCMANUS #25</u></b>								
06/01/2018	HUDSON, SHELBY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.50	0.00	3.50	0.0	3.50
05/16/2018	SPENCER FAMILY TRUST	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	1.29	0.00	1.29	0.0	1.29
05/16/2018	MCGREW, JAMES	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.23	0.00	2.23	0.0	2.23
05/16/2018	TEMPLE, GORDON	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.10	0.00	1.10	0.0	1.10
		<b>* EDWARD MCMANUS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$8.12</b>	<b>\$0.00</b>	<b>\$8.12</b>		<b>\$8.12</b>
<b><u>BROKER: ERIC HORNYAK #29</u></b>								
05/09/2018	JOHNS, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	26.53	0.00	26.53	0.0	26.53
05/09/2018	CAUL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.45	0.00	0.45	0.0	0.45
05/09/2018	GELOW, ASHLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.63	0.00	0.63	0.0	0.63
05/09/2018	GELOW, MARSHALL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.57	0.00	0.57	0.0	0.57
05/09/2018	PALFFY, CARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.81	0.00	4.81	0.0	4.81
05/09/2018	CAUL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.75	0.00	0.75	0.0	0.75
05/09/2018	JOHNS, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.82	0.00	13.82	0.0	13.82
05/08/2018	MORRISON FAMILY R TR, T & J	ANNALY CAP MGMT INC COM	415.68	22.00	14.00	8.00	0.0	8.00
05/08/2018	MORRISON FAMILY R TR, T & J	ANNALY CAP MGMT INC COM	-415.68	-22.00	14.00	-36.00	0.0	-36.00
05/11/2018	MORRISON FAMILY R TR, T & J	ANNALY CAP MGMT INC COM	415.20	22.00	14.00	8.00	0.0	8.00
05/11/2018	MORRISON FAMILY R TR, T & J	ANNALY CAP MGMT INC COM	-415.20	-22.00	14.00	-36.00	0.0	-36.00
05/11/2018	MORRISON FAMILY R TR, T & J	ANNALY CAP MGMT INC COM	415.20	22.00	14.00	8.00	0.0	8.00
05/09/2018	JOHNS, JOHN	BLK GLOBAL ALLOCATION A	0.00	1.35	0.00	1.35	0.0	1.35
05/09/2018	HOWARD, JAMES	FT TEMPLETON GLOBAL OPP TRUST C	0.00	26.91	0.00	26.91	0.0	26.91
/ /	CAUL, JEFFREY	JH 529 PORT 2021-2024 A	0.00	0.59	0.00	0.59	0.0	0.59
05/09/2018	BROUSE, SARAH	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	0.77	0.00	0.77	0.0	0.77
05/09/2018	HOWARD, JAMES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	27.71	0.00	27.71	0.0	27.71
05/09/2018	SIELSKI, OLA	TRANSAMERICA SHORT-TERM BOND C	0.00	8.88	0.00	8.88	0.0	8.88

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ERIC HORNYAK #29 </u></b>								
05/09/2018	HOWARD, JAMES	TRANSAMERICA SHORT-TERM BOND C	0.00	21.16	0.00	21.16	0.0	21.16
* ERIC HORNYAK SUBTOTAL *			\$415.20	\$156.93	\$70.00	\$86.93		\$86.93
<b><u> BROKER: THOMAS BOAKS #33 </u></b>								
05/30/2018	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
05/31/2018	PUDIL, HSIU CHIN	GENWORTH TRAILS	364.55	0.06	0.00	0.06	0.0	0.06
05/31/2018	LASALLE, BARBARA	GENWORTH TRAILS	348,434.01	290.36	0.00	290.36	0.0	290.36
05/31/2018	GEORGE, CAROLE	GENWORTH TRAILS	156,736.74	130.61	0.00	130.61	0.0	130.61
* THOMAS BOAKS SUBTOTAL *			\$505,565.30	\$421.33	\$0.00	\$421.33		\$421.33
<b><u> BROKER: BARTHOLOMEW MACKLER #35 </u></b>								
05/09/2018	DIX, DAVID	ALLIANZ INCOME & GROWTH FND	0.00	34.22	0.00	34.22	0.0	34.22
05/09/2018	LINGEMANN, HELEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.14	0.00	13.14	0.0	13.14
05/09/2018	KALOHN TRUST, RUTHANN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	20.43	0.00	20.43	0.0	20.43
05/09/2018	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.44	0.00	11.44	0.0	11.44
05/09/2018	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.63	0.00	6.63	0.0	6.63
05/09/2018	ODOM, EDDIE	AM FUNDS ICA A	0.00	1.32	0.00	1.32	0.0	1.32
05/09/2018	LINGEMANN, HELEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.56	0.00	5.56	0.0	5.56
05/09/2018	ODOM, EDDIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	44.49	0.00	44.49	0.0	44.49
06/01/2018	MODZELEWSKI, DENNIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.81	0.00	21.81	0.0	21.81
05/09/2018	MILLER, ROBERT	BLK GLOBAL ALLOCATION A	0.00	1.71	0.00	1.71	0.0	1.71
05/02/2018	SILVER, DANIELLA	COEUR D ALENE MINES CORP IDAHO COM	3,233.36	50.00	14.00	36.00	0.0	36.00
05/02/2018	SILVER, ERIC	COEUR D ALENE MINES CORP IDAHO COM	2,413.02	50.00	14.00	36.00	0.0	36.00
05/09/2018	MARSH, GARY	COLUMBIA DIVIDEND OPP A	0.00	4.41	0.00	4.41	0.0	4.41
05/21/2018	MAPLEY, CRISSELLA	CONSOL COAL RESOURCES LP	1,570.00	50.00	14.00	36.00	0.0	36.00
05/02/2018	SILVER, DANIELLA	CYTOSORBENTS CORPORATION	801.20	50.00	14.00	36.00	0.0	36.00
05/09/2018	PALFFY, AMANDA	DAVIS NEW YORK VENTURE B	0.00	2.39	0.00	2.39	0.0	2.39
05/09/2018	PALFFY, JESSICA	DAVIS NEW YORK VENTURE B	0.00	1.93	0.00	1.93	0.0	1.93
05/09/2018	PALFFY, VICTORIA	DAVIS NEW YORK VENTURE B	0.00	1.44	0.00	1.44	0.0	1.44
05/09/2018	PALFFY, AMANDA	FEDERATED CAPITAL INCOME B	0.00	0.13	0.00	0.13	0.0	0.13
05/09/2018	PALFFY, JESSICA	FEDERATED CAPITAL INCOME B	0.00	0.11	0.00	0.11	0.0	0.11
05/09/2018	PALFFY, VICTORIA	FEDERATED CAPITAL INCOME B	0.00	0.08	0.00	0.08	0.0	0.08
05/09/2018	QUENNEVILLE, JEROME	FEDERATED CAPITAL INCOME B	0.00	4.85	0.00	4.85	0.0	4.85
05/09/2018	ALLOR, PATRICK	FIRST EAGLE GLOBAL FUND CL A	0.00	4.11	0.00	4.11	0.0	4.11

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: BARTHOLOMEW MACKLER #35 </u></b>								
05/09/2018	LINGEMANN TRUST, THOMAS	FRANKLIN MI TAX FR INCOME A	0.00	0.80	0.00	0.80	0.0	0.80
05/02/2018	SILVER, DANIELLA	GOLDEN ARROW RESOURCES CORPORATION	1,992.28	50.00	14.00	36.00	0.0	36.00
05/02/2018	SILVER, ERIC	GOLDEN ARROW RESOURCES CORPORATION	1,993.77	50.00	14.00	36.00	0.0	36.00
05/09/2018	MILLER, ROBERT	IVY ASSET STRATEGY FUND CLASS A	0.00	1.46	0.00	1.46	0.0	1.46
05/31/2018	DAMRON, ROBERT	JNL PERSPECTIVE	297,033.42	61.88	0.00	61.88	0.0	61.88
05/31/2018	JOHNSON, WILLIAM	JNL PERSPECTIVE	1,731.76	0.36	0.00	0.36	0.0	0.36
05/31/2018	ROHLOFF, CARL	JNL PERSPECTIVE	16,476.49	3.43	0.00	3.43	0.0	3.43
05/31/2018	SCHNELL, JAMES	JNL PERSPECTIVE	5,134.79	2.14	0.00	2.14	0.0	2.14
05/31/2018	ANTON, CAROLE	JNL PERSPECTIVE II 04/14	24,137.39	10.06	0.00	10.06	0.0	10.06
05/31/2018	RYAN, NANCY	JNL PERSPECTIVE L	61,370.29	51.14	0.00	51.14	0.0	51.14
05/31/2018	MAZURKIEWICZ, JAMES	JNL PERSPECTIVE L	48,814.66	40.68	0.00	40.68	0.0	40.68
05/09/2018	HORTON, RICHARD	LEGG MASON CLEARBRIDGE TACTICAL DIV	0.00	1.13	0.00	1.13	0.0	1.13
05/09/2018	BECK III, FRANKLIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.09	0.00	0.09	0.0	0.09
05/09/2018	QUENNEVILLE, JEROME	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.44	0.00	0.44	0.0	0.44
05/09/2018	LINGEMANN TRUST, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.91	0.00	0.91	0.0	0.91
05/09/2018	LAMARRA, BEN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.74	0.00	0.74	0.0	0.74
05/09/2018	MAPLEY, CRISSELLA	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.27	0.00	1.27	0.0	1.27
05/09/2018	CURL, BRIAN K	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.95	0.00	0.95	0.0	0.95
05/09/2018	LINGEMANN, HELEN	OPPENHEIMER INTL DIVERSIFIED A	0.00	7.48	0.00	7.48	0.0	7.48
05/09/2018	LINGEMANN, HELEN	OPPENHEIMER LIMITED TERM MUNI A	0.00	5.61	0.00	5.61	0.0	5.61
04/30/2018	KAZMIROWSKI, THOMAS	PRU AS XTRA CREDIT SIX	30,382.80	6.33	0.00	6.33	0.0	6.33
04/30/2018	KAZMIROWSKI, THOMAS	PRU AS XTRA CREDIT SIX	30,382.80	6.33	0.00	6.33	0.0	6.33
05/31/2018	KAZMIROWSKI, THOMAS	PRU AS XTRA CREDIT SIX	30,553.22	6.37	0.00	6.37	0.0	6.37
05/31/2018	KAZMIROWSKI, THOMAS	PRU AS XTRA CREDIT SIX	30,553.22	6.37	0.00	6.37	0.0	6.37
05/09/2018	TUMINO TRUST, RAPHAEL	PUTNAM EQUITY INCOME A	0.00	6.85	0.00	6.85	0.0	6.85
05/09/2018	TUMINO TRUST, RAPHAEL	PUTNAM TAX EXEMPT INCOME-A	0.00	1.65	0.00	1.65	0.0	1.65
06/04/2018	TERRELL, KATHLEEN	TRANSAMERICA AA MODERATE C	0.00	9.59	0.00	9.59	0.0	9.59
06/04/2018	KRYSTOFF, LAURA	TRANSAMERICA AA MODERATE C	0.00	31.19	0.00	31.19	0.0	31.19
* BARTHOLOMEW MACKLER SUBTOTAL *			\$588,574.47	\$745.45	\$84.00	\$661.45		\$661.45
<b><u> BROKER: BRUCE BAXTER #38 </u></b>								
06/01/2018	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	5.00
05/30/2018	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	15,421.98	5.78	0.00	5.78	0.0	5.78
* BRUCE BAXTER SUBTOTAL *			\$15,521.98	\$10.78	\$0.00	\$10.78		\$10.78

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MELANIE DICENSO #43 </u></b>								
05/15/2018	BEATTY, MATTHEW	AM FUNDS BOND FUND OF AMERICA F1	0.00	5.89	0.00	5.89	0.0	5.89
05/15/2018	BEATTY, MATTHEW	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	3.81	0.00	3.81	0.0	3.81
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.16	0.00	1.16	0.0	1.16
05/30/2018	COLE, SUSAN	SBL ADVISOR PROGRAM	21,413.09	4.46	0.00	4.46	0.0	4.46
05/31/2018	COLE, SUSAN	SBL ADVISOR PROGRAM	50.00	2.00	0.00	2.00	0.0	2.00
<b> * MELANIE DICENSO SUBTOTAL *</b>			<b>\$21,463.09</b>	<b>\$17.32</b>	<b>\$0.00</b>	<b>\$17.32</b>		<b>\$17.32</b>
<b><u> BROKER: JOHN BEAN #45 </u></b>								
05/15/2018	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.34	0.00	0.34	0.0	0.34
05/15/2018	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
05/15/2018	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
05/15/2018	DANIEL, REIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
05/15/2018	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.27	0.00	0.27	0.0	0.27
05/15/2018	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.06
05/15/2018	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.06
05/15/2018	DANIEL, REIS	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.06
05/15/2018	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.88	0.00	0.88	0.0	0.88
05/15/2018	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	0.0	0.18
05/15/2018	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	0.0	0.18
05/15/2018	DANIEL, REIS	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	0.0	0.18
05/17/2018	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.24	0.00	0.24	0.0	0.24
05/17/2018	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.12	0.00	0.12	0.0	0.12
05/17/2018	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.17	0.00	0.17	0.0	0.17
05/11/2018	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.74	0.00	0.74	0.0	0.74
05/11/2018	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.62	0.00	0.62	0.0	0.62
05/11/2018	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.45	0.00	0.45	0.0	0.45
05/11/2018	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.42	0.00	0.42	0.0	0.42
05/11/2018	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.09	0.00	0.09	0.0	0.09
05/11/2018	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.34	0.00	0.34	0.0	0.34
05/11/2018	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.16	0.00	0.16	0.0	0.16
05/17/2018	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.08	0.00	0.08	0.0	0.08
05/17/2018	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.04	0.00	1.04	0.0	1.04
05/17/2018	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	5.05	0.00	5.05	0.0	5.05
<b> * JOHN BEAN SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$11.94</b>	<b>\$0.00</b>	<b>\$11.94</b>		<b>\$11.94</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ROBERT (RUSTY) TWEED #58 </u></b>								
05/15/2018	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	8.90	0.00	8.90	0.0	8.90
05/23/2018	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.36	0.00	2.36	0.0	2.36
05/17/2018	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	2.19	0.00	2.19	0.0	2.19
05/23/2018	LEDWITZ TRUST, MARTIN & ELIZAB	JAMES ALPHA GLOBAL REAL ESTATE FUND	0.00	0.97	0.00	0.97	0.0	0.97
05/11/2018	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	8.27	0.00	8.27	0.0	8.27
05/31/2018	ZAX TRUST	JNL PERSPECTIVE	404.96	0.08	0.00	0.08	0.0	0.08
05/31/2018	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	8,882.46	3.70	0.00	3.70	0.0	3.70
05/31/2018	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	64,302.88	69.66	0.00	69.66	0.0	69.66
05/31/2018	WHITE, LINDA	JNL PERSPECTIVE L	81,165.02	67.64	0.00	67.64	0.0	67.64
05/31/2018	ENGSTROM, WAYNE	JNL PERSPECTIVE L	34,163.77	28.47	0.00	28.47	0.0	28.47
05/31/2018	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	14,526.50	12.11	0.00	12.11	0.0	12.11
05/17/2018	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	11.10	0.00	11.10	0.0	11.10
05/23/2018	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	5.26	0.00	5.26	0.0	5.26
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$203,445.59	\$220.71	\$0.00	\$220.71		\$220.71
<b><u> BROKER: JIM LUND #60 </u></b>								
05/21/2018	STARK, TONI	FRANKLIN INCOME C	1,000.00	10.00	0.00	10.00	0.0	10.00
06/01/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
/ /	GUTHRIE, JACOB	VAN ECK GLOBAL HARD ASSETS A	0.00	16.45	0.00	16.45	0.0	16.45
* JIM LUND SUBTOTAL *			\$1,200.00	\$28.45	\$0.00	\$28.45		\$28.45
<b><u> BROKER: JAMES PANOS #61 </u></b>								
05/31/2018	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	83,274.32	69.40	0.00	69.40	0.0	69.40
05/31/2018	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	213,926.20	89.14	0.00	89.14	0.0	89.14
* JAMES PANOS SUBTOTAL *			\$297,200.52	\$158.54	\$0.00	\$158.54		\$158.54
<b><u> BROKER: JAMES AULENTI #101 </u></b>								
06/01/2018	BAYLE, JOHN	AM FUNDS AMCAP A	0.00	8.48	0.00	8.48	0.0	8.48
06/01/2018	BAYLE, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	32.63	0.00	32.63	0.0	32.63
06/01/2018	BAYLE, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	33.84	0.00	33.84	0.0	33.84
06/01/2018	MCCANDLESS, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.85	0.00	12.85	0.0	12.85
06/01/2018	SCHULTZ, WILLIAM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	18.37	0.00	18.37	0.0	18.37
06/01/2018	BAYLE, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	30.94	0.00	30.94	0.0	30.94
06/01/2018	MCCANDLESS, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.29	0.00	15.29	0.0	15.29
06/01/2018	SPENCE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	24.06	0.00	24.06	0.0	24.06

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JAMES AULENTI #101</u></b>								
06/01/2018	BAYLE, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	34.71	0.00	34.71	0.0	34.71
06/01/2018	RODRIGUES, GEORGE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.21	0.00	3.21	0.0	3.21
06/01/2018	SPENCE, NANCY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	26.81	0.00	26.81	0.0	26.81
06/01/2018	CALABRO, TREVER	AM FUNDS GROWTH AND INCOME 529A	0.00	59.62	0.00	59.62	0.0	59.62
06/01/2018	CALABRO, TREVER	AM FUNDS GROWTH PORT 529A	0.00	86.12	0.00	86.12	0.0	86.12
06/01/2018	BAYLE, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.12	0.00	16.12	0.0	16.12
06/01/2018	CLISHAM, JOHN	AM FUNDS TAX-EXEMPT BOND FUND OF AM	0.00	157.50	0.00	157.50	0.0	157.50
06/01/2018	MCCANDLESS, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	19.30	0.00	19.30	0.0	19.30
* JAMES AULENTI SUBTOTAL *			\$0.00	\$579.85	\$0.00	\$579.85		\$579.85
<b><u>BROKER: ERIK BLOOMFELDT #105</u></b>								
06/01/2018	TOMLINSON, ELIJAH	AM FUNDS COLLEGE 2030 FUND 529A	0.00	4.96	0.00	4.96	0.0	4.96
* ERIK BLOOMFELDT SUBTOTAL *			\$0.00	\$4.96	\$0.00	\$4.96		\$4.96
<b><u>BROKER: DANIEL COLLINS #106</u></b>								
05/10/2018	WHITE, JACKSON	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	4.63	0.00	4.63	0.0	4.63
05/10/2018	WHITE, MARGARET	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	11.30	0.00	11.30	0.0	11.30
05/10/2018	PARSONS, VIRGINIA	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	5.65	0.00	5.65	0.0	5.65
05/10/2018	PARSONS, VIRGINIA	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	2.24	0.00	2.24	0.0	2.24
05/15/2018	JESTER, CATHERINE	AM FUNDS ICA A	0.00	6.06	0.00	6.06	0.0	6.06
05/14/2018	JESTER, CATHERINE	DAVIS NEW YORK VENTURE A	0.00	3.65	0.00	3.65	0.0	3.65
05/11/2018	BEBEE, MARY	DREYFUS AMT-FREE MUNICIPAL BOND FUN	0.00	4.08	0.00	4.08	0.0	4.08
05/29/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
05/29/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
05/29/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
05/29/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
05/29/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
05/29/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
05/29/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
05/29/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
05/29/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
05/29/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
05/29/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
05/29/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DANIEL COLLINS #106 </u></b>								
05/31/2018	PLUTSCHAK, ERNEST	GENWORTH TRAILS	71,079.26	11.85	0.00	11.85	0.0	11.85
05/31/2018	PLUTSCHAK, ERNEST	GENWORTH TRAILS	4,476.18	0.75	0.00	0.75	0.0	0.75
/ /	MORRISON, ISABELLA	JH 529 COLLEGE PORT A	0.00	0.23	0.00	0.23	0.0	0.23
/ /	MORRISON, ALEXANDRA	JH 529 COLLEGE PORT A	0.00	0.23	0.00	0.23	0.0	0.23
05/17/2018	WHITE, JACKSON	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	2.60	0.00	2.60	0.0	2.60
05/23/2018	WHITE, MARGARET	PIMCO REAL RETURN A	0.00	1.94	0.00	1.94	0.0	1.94
05/15/2018	PARSONS, VIRGINIA	PUTNAM GLOBAL NAT RESOURCES-A	0.00	0.94	0.00	0.94	0.0	0.94
05/11/2018	WHITE, JACKSON	WELLS FARGO MUNICIPAL BOND A	0.00	2.64	0.00	2.64	0.0	2.64
* DANIEL COLLINS SUBTOTAL *			\$75,885.44	\$75.29	\$0.00	\$75.29		\$75.29

 **BROKER: G118 GRECO #110** 

06/01/2018	KING, KYLIE	AM FUNDS AMERICAN BALANCED 529A	0.00	0.38	0.00	0.38	0.0	0.38
05/09/2018	KLIMA, JANINA	AM FUNDS AMERICAN BALANCED A	0.00	7.84	0.00	7.84	0.0	7.84
05/09/2018	SOKOLNICKI, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	4.94	0.00	4.94	0.0	4.94
05/09/2018	O'DWYER, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	6.92	0.00	6.92	0.0	6.92
05/09/2018	BALDASSANO, DOMINICK	AM FUNDS AMERICAN BALANCED A	0.00	6.92	0.00	6.92	0.0	6.92
06/01/2018	GUZMAN, JUAN	AM FUNDS AMERICAN BALANCED A	0.00	2.20	0.00	2.20	0.0	2.20
06/01/2018	DOBRICKY, ALAN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.90	0.00	0.90	0.0	0.90
06/01/2018	GUZMAN, JUAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.02	0.00	2.02	0.0	2.02
06/01/2018	GUZMAN, JUAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.76	0.00	1.76	0.0	1.76
06/01/2018	GARZA, NATHAN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.30	0.00	0.30	0.0	0.30
06/01/2018	PAOLI, ANGELA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.70	0.00	0.70	0.0	0.70
06/01/2018	BALDASSANO, VINCENT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.43	0.00	0.43	0.0	0.43
06/01/2018	BLASSICK, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.10	0.00	4.10	0.0	4.10
06/01/2018	GUZMAN, JUAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.46	0.00	2.46	0.0	2.46
06/01/2018	GUZMAN, JUAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.84	0.00	5.84	0.0	5.84
06/01/2018	PAOLI, ANGELA	AM FUNDS ICA 529A	0.00	0.59	0.00	0.59	0.0	0.59
06/01/2018	BALDASSANO, VINCENT	AM FUNDS ICA 529A	0.00	0.40	0.00	0.40	0.0	0.40
05/09/2018	SOKOLNICKI, BRIAN	AM FUNDS ICA A	0.00	5.48	0.00	5.48	0.0	5.48
06/01/2018	GUZMAN, JUAN	AM FUNDS ICA A	0.00	1.86	0.00	1.86	0.0	1.86
06/01/2018	DOBRICKY, ALAN	AM FUNDS ICA A	0.00	1.78	0.00	1.78	0.0	1.78
06/01/2018	GUZMAN, JUAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.71	0.00	1.71	0.0	1.71
06/01/2018	DOBRICKY, ALAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.01	0.00	2.01	0.0	2.01
06/01/2018	GARZA, NATHAN	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.30	0.00	0.30	0.0	0.30

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: G118 GRECO #110 </u></b>								
05/09/2018	SOKOLNICKI, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	3.15	0.00	3.15	0.0	3.15
06/01/2018	BLASSICK, RICHARD	AM FUNDS NEW PERSPECTIVE A	0.00	1.58	0.00	1.58	0.0	1.58
06/01/2018	GUZMAN, JUAN	AM FUNDS NEW PERSPECTIVE A	0.00	2.41	0.00	2.41	0.0	2.41
06/01/2018	GUZMAN, JUAN	AM FUNDS NEW PERSPECTIVE A	0.00	1.06	0.00	1.06	0.0	1.06
06/01/2018	DOBRICKY, ALAN	AM FUNDS NEW PERSPECTIVE A	0.00	1.57	0.00	1.57	0.0	1.57
06/01/2018	BLASSICK, RICHARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.17	0.00	3.17	0.0	3.17
06/01/2018	KING, KYLIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.28	0.00	0.28	0.0	0.28
05/09/2018	MAENTANIS, JOHN	LEGG MASON CLEARBRIDGE APPRECIATION	0.00	16.67	0.00	16.67	0.0	16.67
05/08/2018	SOKOLNICKI, BRIAN	MORGAN STANLEY INSTL FD INC GBL APP	0.00	7.34	0.00	7.34	0.0	7.34
05/09/2018	MAENTANIS, JOHN	TRANSAMERICA AA MODERATE C	0.00	12.87	0.00	12.87	0.0	12.87
* G118 GRECO SUBTOTAL *			\$0.00	\$111.94	\$0.00	\$111.94		\$111.94
<b><u> BROKER: JAY MCCLELLAN #120 </u></b>								
06/01/2018	SHEPARD, EARL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	11.52	0.00	11.52	0.0	11.52
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.92	0.00	2.92	0.0	2.92
05/17/2018	MAPLE TRUST, DENNIS & JOANN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.00	0.00	3.00	0.0	3.00
05/17/2018	KULBACK, SONDR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.98	0.00	2.98	0.0	2.98
05/17/2018	KAMMER, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.28	0.00	2.28	0.0	2.28
05/17/2018	HAMANN, JOHN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.49	0.00	3.49	0.0	3.49
05/17/2018	LUCKEY, CHARISSE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.66	0.00	9.66	0.0	9.66
05/31/2018	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	20,427.87	6.81	0.00	6.81	0.0	6.81
05/11/2018	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.78	0.00	0.78	0.0	0.78
05/11/2018	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.19	0.00	0.19	0.0	0.19
05/11/2018	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.64	0.00	0.64	0.0	0.64
04/30/2018	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	145,230.18	30.26	0.00	30.26	0.0	30.26
04/30/2018	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	145,230.18	90.77	0.00	90.77	0.0	90.77
05/31/2018	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	146,549.83	30.53	0.00	30.53	0.0	30.53
05/31/2018	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	146,549.83	91.59	0.00	91.59	0.0	91.59
05/16/2018	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.22	0.00	2.22	0.0	2.22
05/16/2018	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.93	0.00	2.93	0.0	2.93
05/16/2018	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.99	0.00	1.99	0.0	1.99
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.57	0.00	1.57	0.0	1.57
* JAY MCCLELLAN SUBTOTAL *			\$603,987.89	\$296.13	\$0.00	\$296.13		\$296.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: TIMOTHY KULHANEK #145</u></b>								
05/18/2018	KRESSER, JOSEPH	FIDELITY ADVISOR LEVERAGED COMPANY	0.00	0.89	0.00	0.89	0.0	0.89
05/18/2018	HACHTEL, SHARON	FIDELITY ADVISOR LEVERAGED COMPANY	0.00	0.31	0.00	0.31	0.0	0.31
05/21/2018	JACKSON, MARISSA	FIRST EAGLE GLOBAL FUND CL A	0.00	0.37	0.00	0.37	0.0	0.37
05/21/2018	JACKSON, TONI	FIRST EAGLE GOLD FUND CL A	0.00	0.36	0.00	0.36	0.0	0.36
05/17/2018	JACKSON, MARISSA	TEMPLETON GLOBAL BOND A	0.00	0.34	0.00	0.34	0.0	0.34
<b>* TIMOTHY KULHANEK SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$2.27</b>	<b>\$0.00</b>	<b>\$2.27</b>		<b>\$2.27</b>
<b><u>BROKER: DAVID KOTZ #179</u></b>								
06/01/2018	SHELLEY, SUZANNE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	35.25	0.00	35.25	0.0	35.25
05/09/2018	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.36	0.00	4.36	0.0	4.36
05/09/2018	NAGY, ZOLTAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.97	0.00	0.97	0.0	0.97
06/01/2018	SHELLEY, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	228.78	0.00	228.78	0.0	228.78
06/01/2018	SHELLEY, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	434.27	0.00	434.27	0.0	434.27
06/01/2018	MILESINICK, SCOTT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	125.93	0.00	125.93	0.0	125.93
06/01/2018	MILESINICK, SCOTT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	65.46	0.00	65.46	0.0	65.46
05/09/2018	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.12	0.00	10.12	0.0	10.12
05/09/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	28.32	0.00	28.32	0.0	28.32
05/09/2018	NAGY, ZOLTAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.92	0.00	0.92	0.0	0.92
05/09/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.42	0.00	2.42	0.0	2.42
05/09/2018	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.05	0.00	3.05	0.0	3.05
05/09/2018	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.71	0.00	1.71	0.0	1.71
05/09/2018	NAGY, ZOLTAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.35	0.00	2.35	0.0	2.35
05/25/2018	MARGOLIN, BRUCE	METROPOLITAN TRANSN AUTH N Y	14,786.70	435.00	28.00	407.00	0.0	407.00
05/09/2018	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	2.11	0.00	2.11	0.0	2.11
<b>* DAVID KOTZ SUBTOTAL *</b>			<b>\$14,786.70</b>	<b>\$1,381.02</b>	<b>\$28.00</b>	<b>\$1,353.02</b>		<b>\$1,353.02</b>
<b><u>BROKER: WILLIAM WALSH #187</u></b>								
05/09/2018	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.03	0.00	0.03	0.0	0.03
05/09/2018	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.04	0.00	0.04	0.0	0.04
05/09/2018	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.13	0.00	0.13	0.0	0.13
05/09/2018	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.20	0.00	0.20	0.0	0.20
05/09/2018	WALSH, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.12	0.00	0.12	0.0	0.12
05/09/2018	MURPHY, CAROL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.38	0.00	17.38	0.0	17.38
05/09/2018	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.36	0.00	2.36	0.0	2.36

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: WILLIAM WALSH #187 </u></b>								
05/09/2018	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.42	0.00	0.42	0.0	0.42
05/09/2018	MURPHY, CAROL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	11.38	0.00	11.38	0.0	11.38
05/09/2018	MURPHY, CAROL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.01	0.00	0.01	0.0	0.01
05/09/2018	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.13	0.00	1.13	0.0	1.13
05/09/2018	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	12.24	0.00	12.24	0.0	12.24
05/09/2018	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	10.91	0.00	10.91	0.0	10.91
05/09/2018	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	0.76	0.00	0.76	0.0	0.76
05/09/2018	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.17	0.00	1.17	0.0	1.17
05/09/2018	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	0.46	0.00	0.46	0.0	0.46
05/09/2018	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	0.69	0.00	0.69	0.0	0.69
05/09/2018	MURPHY, CAROL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.00	0.00	5.00	0.0	5.00
05/09/2018	MURPHY, CAROL	IVY ASSET STRATEGY FUND CLASS A	0.00	6.00	0.00	6.00	0.0	6.00
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	0.0	0.01
05/09/2018	MURPHY, CAROL	JH REGIONAL BANK A	0.00	8.85	0.00	8.85	0.0	8.85
05/09/2018	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.20	0.00	1.20	0.0	1.20
05/09/2018	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	7.60	0.00	7.60	0.0	7.60
04/30/2018	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	10,748.04	2.24	0.00	2.24	0.0	2.24
04/30/2018	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	10,748.04	2.24	0.00	2.24	0.0	2.24
05/31/2018	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	10,997.41	2.29	0.00	2.29	0.0	2.29
05/31/2018	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	10,997.41	2.29	0.00	2.29	0.0	2.29
04/30/2018	BJEDOV, MARK	PRU AS APEX II	14,170.87	2.95	0.00	2.95	0.0	2.95
04/30/2018	BJEDOV, MARK	PRU AS APEX II	14,170.87	11.81	0.00	11.81	0.0	11.81
05/31/2018	BJEDOV, MARK	PRU AS APEX II	14,447.53	3.01	0.00	3.01	0.0	3.01
05/31/2018	BJEDOV, MARK	PRU AS APEX II	14,447.53	12.04	0.00	12.04	0.0	12.04
04/30/2018	BOMSTA, MERIANNE	PRU AS XTRA CREDIT	55,918.17	11.65	0.00	11.65	0.0	11.65
05/31/2018	BOMSTA, MERIANNE	PRU AS XTRA CREDIT	57,210.21	11.92	0.00	11.92	0.0	11.92
05/09/2018	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	7.10	0.00	7.10	0.0	7.10
05/09/2018	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.20	0.00	0.20	0.0	0.20
05/09/2018	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.31	0.00	0.31	0.0	0.31
05/24/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.28	0.00	1.28	0.0	1.28
05/24/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.49	0.00	0.49	0.0	0.49
05/24/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.53	0.00	0.53	0.0	0.53
<b> * WILLIAM WALSH SUBTOTAL * </b>			<b>\$213,856.08</b>	<b>\$160.44</b>	<b>\$0.00</b>	<b>\$160.44</b>		<b>\$160.44</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MICHELE BOYER #189 </u></b>								
05/15/2018	FORBES, JEANNE	AM FUNDS AMCAP A	0.00	1.03	0.00	1.03	0.0	1.03
05/15/2018	FORBES, JEANNE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.64	0.00	0.64	0.0	0.64
05/15/2018	FORBES, JEANNE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.87	0.00	0.87	0.0	0.87
05/15/2018	FORBES, JEANNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.17	0.00	1.17	0.0	1.17
05/15/2018	FORBES, JEANNE	AM FUNDS NEW WORLD A	0.00	0.71	0.00	0.71	0.0	0.71
* MICHELE BOYER SUBTOTAL *			\$0.00	\$4.42	\$0.00	\$4.42		\$4.42
<b><u> BROKER: THOMAS JAHNCKE #193 </u></b>								
05/15/2018	LOTT FAMILY TRUST	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.19	0.00	1.19	0.0	1.19
05/15/2018	LOTT FAMILY TRUST	AM FUNDS CAPITAL WORLD BOND A	0.00	1.99	0.00	1.99	0.0	1.99
05/15/2018	LOTT FAMILY TRUST	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.32	0.00	1.32	0.0	1.32
* THOMAS JAHNCKE SUBTOTAL *			\$0.00	\$4.50	\$0.00	\$4.50		\$4.50
<b><u> BROKER: MICHAEL PALMISANO #196 </u></b>								
05/31/2018	SUTTER, DANI-ANN	JNL PERSPECTIVE L	255,164.53	212.64	0.00	212.64	0.0	212.64
* MICHAEL PALMISANO SUBTOTAL *			\$255,164.53	\$212.64	\$0.00	\$212.64		\$212.64
<b><u> BROKER: MEREDITH MCCUTCHEN #221 </u></b>								
06/01/2018	CUSUMANO, DARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.43	0.00	0.43	0.0	0.43
06/01/2018	PITT, PATRICIA	AM FUNDS AMERICAN MUTUAL 529A	0.00	6.67	0.00	6.67	0.0	6.67
06/01/2018	PITT, PATRICIA	AM FUNDS AMERICAN MUTUAL 529A	0.00	3.90	0.00	3.90	0.0	3.90
05/15/2018	MCCUTCHEN, JERRY	AM FUNDS AMERICAN MUTUAL A	0.00	4.93	0.00	4.93	0.0	4.93
05/15/2018	MCCUTCHEN, DEBORAH	AM FUNDS AMERICAN MUTUAL A	0.00	5.04	0.00	5.04	0.0	5.04
06/01/2018	ROBINSON, SARAH	AM FUNDS AMERICAN MUTUAL A	0.00	4.09	0.00	4.09	0.0	4.09
06/01/2018	CUSUMANO, DARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.42	0.00	0.42	0.0	0.42
06/01/2018	PITT, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	5.89	0.00	5.89	0.0	5.89
06/01/2018	PITT, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.11	0.00	3.11	0.0	3.11
05/15/2018	MCCUTCHEN, JERRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.22	0.00	3.22	0.0	3.22
05/15/2018	MCCUTCHEN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.24	0.00	3.24	0.0	3.24
06/01/2018	ROBINSON, SARAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.76	0.00	3.76	0.0	3.76
06/01/2018	CUSUMANO, DARLENE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.57	0.00	0.57	0.0	0.57
06/01/2018	PITT, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.31	0.00	8.31	0.0	8.31
06/01/2018	PITT, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	5.26	0.00	5.26	0.0	5.26
05/15/2018	MCCUTCHEN, JERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.68	0.00	5.68	0.0	5.68
05/15/2018	MCCUTCHEN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.82	0.00	5.82	0.0	5.82

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MEREDITH MCCUTCHEN #221 </u></b>								
06/01/2018	ROBINSON, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.99	0.00	4.99	0.0	4.99
06/01/2018	CUSUMANO, DARLENE	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.50	0.00	0.50	0.0	0.50
06/01/2018	PITT, PATRICIA	AM FUNDS NEW PERSPECTIVE 529A	0.00	7.09	0.00	7.09	0.0	7.09
06/01/2018	PITT, PATRICIA	AM FUNDS NEW PERSPECTIVE 529A	0.00	4.21	0.00	4.21	0.0	4.21
05/15/2018	MCCUTCHEN, JERRY	AM FUNDS NEW PERSPECTIVE A	0.00	3.75	0.00	3.75	0.0	3.75
05/15/2018	MCCUTCHEN, DEBORAH	AM FUNDS NEW PERSPECTIVE A	0.00	3.77	0.00	3.77	0.0	3.77
06/01/2018	ROBINSON, SARAH	AM FUNDS NEW PERSPECTIVE A	0.00	4.18	0.00	4.18	0.0	4.18
05/11/2018	WEST, GLENDA	CALVERT SHORT DURATION INCOME FUND	0.00	4.53	0.00	4.53	0.0	4.53
05/14/2018	MCCUTCHEN, JERRY	DAVIS NEW YORK VENTURE A	0.00	0.09	0.00	0.09	0.0	0.09
05/17/2018	MCCUTCHEN, JERRY	OPPENHEIMER GLOBAL A	0.00	0.14	0.00	0.14	0.0	0.14
05/17/2018	MCCUTCHEN, JERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.20	0.00	0.20	0.0	0.20
05/17/2018	MCCUTCHEN, JERRY	OPPENHEIMER SMALL & MIDCAP GROWTH A	0.00	0.22	0.00	0.22	0.0	0.22
* MEREDITH MCCUTCHEN SUBTOTAL *			\$0.00	\$104.01	\$0.00	\$104.01		\$104.01
<b><u> BROKER: GREGORY FRIEL #223 </u></b>								
05/14/2018	GAWEL, IRENE	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.57	0.00	0.57	0.0	0.57
05/11/2018	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.75	0.00	3.75	0.0	3.75
05/11/2018	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.81	0.00	1.81	0.0	1.81
05/18/2018	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.90	0.00	4.90	0.0	4.90
05/18/2018	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.94	0.00	2.94	0.0	2.94
05/18/2018	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.19	0.00	1.19	0.0	1.19
05/18/2018	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.47	0.00	0.47	0.0	0.47
05/11/2018	FRIEL, JEREMY	MFS BOND A	0.00	1.16	0.00	1.16	0.0	1.16
05/11/2018	FRIEL, SHELLEY	MFS BOND A	0.00	0.22	0.00	0.22	0.0	0.22
05/11/2018	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.79	0.00	0.79	0.0	0.79
05/11/2018	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.25	0.00	0.25	0.0	0.25
05/11/2018	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.27	0.00	0.27	0.0	0.27
05/11/2018	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.53	0.00	1.53	0.0	1.53
05/11/2018	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.31	0.00	5.31	0.0	5.31
05/11/2018	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	11.30	0.00	11.30	0.0	11.30
05/11/2018	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	3.38	0.00	3.38	0.0	3.38
05/11/2018	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	8.90	0.00	8.90	0.0	8.90
05/11/2018	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.24	0.00	0.24	0.0	0.24
* GREGORY FRIEL SUBTOTAL *			\$0.00	\$48.98	\$0.00	\$48.98		\$48.98

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAMES CRAWFORD #225 </u></b>								
05/17/2018	CARRIKER, AARON	FRANKLIN MUTUAL BEACON C	0.00	3.10	0.00	3.10	0.0	3.10
05/31/2018	ROBERTS, KERMIT	JOHN HANCOCK VENTURE 2006	9,119.95	1.90	0.00	1.90	0.0	1.90
05/31/2018	ROBERTS, ELLEN	JOHN HANCOCK VENTURE 2006	7,244.38	1.51	0.00	1.51	0.0	1.51
* JAMES CRAWFORD SUBTOTAL *			\$16,364.33	\$6.51	\$0.00	\$6.51		\$6.51
<b><u> BROKER: CARL WORDEN III #232 </u></b>								
05/31/2018	ALVAREZ, ANTONIO	JNL PERSPECTIVE II 05/05	10,743.25	8.95	0.00	8.95	0.0	8.95
* CARL WORDEN III SUBTOTAL *			\$10,743.25	\$8.95	\$0.00	\$8.95		\$8.95
<b><u> BROKER: WILLIAM THOMAS #239 </u></b>								
05/21/2018	KUPPE, PATRICIA	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
* WILLIAM THOMAS SUBTOTAL *			\$200.00	\$4.00	\$0.00	\$4.00		\$4.00
<b><u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u></b>								
06/07/2018	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	1.00
06/03/2018	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	1.25	0.00	1.25	0.0	1.25
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$225.00	\$2.25	\$0.00	\$2.25		\$2.25
<b><u> BROKER: JOSEPH, M138 MICHALETZ #286 </u></b>								
06/01/2018	YARGER, MARCY	AM FUNDS AMCAP A	0.00	1.24	0.00	1.24	0.0	1.24
05/15/2018	FITZSIMMONS, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	0.85	0.00	0.85	0.0	0.85
05/15/2018	TURPEN, ERIC	AM FUNDS AMERICAN MUTUAL A	0.00	0.25	0.00	0.25	0.0	0.25
05/15/2018	TURPEN, RACHEL	AM FUNDS AMERICAN MUTUAL A	0.00	0.68	0.00	0.68	0.0	0.68
06/01/2018	PANOS, GEARLDINE	AM FUNDS AMERICAN MUTUAL A	0.00	24.39	0.00	24.39	0.0	24.39
06/01/2018	YARGER, MARCY	AM FUNDS AMERICAN MUTUAL A	0.00	1.26	0.00	1.26	0.0	1.26
05/15/2018	FITZSIMMONS, CHRISTINE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.64	0.00	0.64	0.0	0.64
06/01/2018	YARGER, MARCY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.21	0.00	1.21	0.0	1.21
05/15/2018	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.77	0.00	0.77	0.0	0.77
05/15/2018	FITZSIMMONS, CHRISTINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.98	0.00	0.98	0.0	0.98
05/15/2018	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.12	0.00	0.12	0.0	0.12
06/01/2018	YARGER, MARCY	AM FUNDS CAPITAL WORLD BOND A	0.00	1.01	0.00	1.01	0.0	1.01
05/15/2018	LABRIER, PAULA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.81	0.00	1.81	0.0	1.81
05/15/2018	TURPEN, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.12	0.00	0.12	0.0	0.12
05/15/2018	TURPEN, RACHEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.73	0.00	0.73	0.0	0.73
06/01/2018	YARGER, MARCY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.60	0.00	1.60	0.0	1.60

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JOSEPH, M138 MICHALETZ #286 </u></b>								
05/15/2018	LOWE, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.55	0.00	1.55	0.0	1.55
05/15/2018	FITZSIMMONS, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.93	0.00	0.93	0.0	0.93
05/15/2018	FITZSIMMONS, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.56	0.00	0.56	0.0	0.56
05/15/2018	LOWE, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.51	0.00	1.51	0.0	1.51
05/15/2018	TURPEN, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.75	0.00	0.75	0.0	0.75
05/15/2018	TURPEN, RACHEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.96	0.00	1.96	0.0	1.96
06/01/2018	YARGER, MARCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.33	0.00	1.33	0.0	1.33
05/15/2018	TURPEN, ERIC	AM FUNDS NEW ECONOMY A	0.00	0.15	0.00	0.15	0.0	0.15
05/15/2018	TURPEN, RACHEL	AM FUNDS NEW ECONOMY A	0.00	0.39	0.00	0.39	0.0	0.39
05/15/2018	FITZSIMMONS, CHRISTINE	AM FUNDS NEW WORLD A	0.00	0.35	0.00	0.35	0.0	0.35
05/15/2018	TURPEN, ERIC	AM FUNDS NEW WORLD A	0.00	0.12	0.00	0.12	0.0	0.12
05/15/2018	TURPEN, RACHEL	AM FUNDS NEW WORLD A	0.00	0.71	0.00	0.71	0.0	0.71
05/15/2018	TURPEN, ERIC	AM FUNDS SMALLCAP WORLD A	0.00	0.09	0.00	0.09	0.0	0.09
05/15/2018	TURPEN, RACHEL	AM FUNDS SMALLCAP WORLD A	0.00	0.53	0.00	0.53	0.0	0.53
06/01/2018	YARGER, MARCY	AM FUNDS SMALLCAP WORLD A	0.00	1.70	0.00	1.70	0.0	1.70
05/15/2018	TURPEN, ERIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.55	0.00	0.55	0.0	0.55
05/15/2018	TURPEN, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.43	0.00	1.43	0.0	1.43
06/01/2018	YARGER, MARCY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.66	0.00	0.66	0.0	0.66
05/21/2018	MICHALETZ TRUST, GERALD & FAYE	AMERICA FIRST INCOME TRENDS FUND CLA	0.00	10.20	0.00	10.20	0.0	10.20
05/23/2018	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.04	0.00	4.04	0.0	4.04
05/23/2018	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.12	0.00	12.12	0.0	12.12
05/23/2018	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	20.62	0.00	20.62	0.0	20.62
05/23/2018	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	61.86	0.00	61.86	0.0	61.86
05/23/2018	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	40.98	0.00	40.98	0.0	40.98
05/23/2018	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	122.95	0.00	122.95	0.0	122.95
05/23/2018	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.16	0.00	2.16	0.0	2.16
05/23/2018	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.47	0.00	6.47	0.0	6.47
05/23/2018	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	30.92	0.00	30.92	0.0	30.92
05/23/2018	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	92.76	0.00	92.76	0.0	92.76
05/23/2018	WINCHESTER TRUST, HEATHER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.58	0.00	10.58	0.0	10.58
05/23/2018	WINCHESTER TRUST, HEATHER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	31.75	0.00	31.75	0.0	31.75
05/23/2018	WOLTMAN TRUST, NICOLE HELEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.25	0.00	9.25	0.0	9.25
05/23/2018	WOLTMAN TRUST, NICOLE HELEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	27.75	0.00	27.75	0.0	27.75

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<b><u>BROKER: JOSEPH, M138 MICHALETZ #286</u></b>								
05/23/2018	WOLTMAN TRUST, JACOB MICHAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.25	0.00	9.25	0.0	9.25
05/23/2018	WOLTMAN TRUST, JACOB MICHAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	27.74	0.00	27.74	0.0	27.74
05/11/2018	REILLY, KAREN	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	19.45	0.00	19.45	0.0	19.45
05/11/2018	VAN SISTINE, JEANNE	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	16.80	0.00	16.80	0.0	16.80
05/11/2018	REINKE, RONALD	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	2.83	0.00	2.83	0.0	2.83
05/11/2018	REILLY, KAREN	EATON VANCE TAX MANAGED VALUE FD CL	0.00	9.11	0.00	9.11	0.0	9.11
05/11/2018	VAN SISTINE, JEANNE	EATON VANCE TAX MANAGED VALUE FD CL	0.00	17.28	0.00	17.28	0.0	17.28
/ /	WHITNEY, STANLEY	HARTFORD GROWTH OPP A	0.00	40.16	0.00	40.16	0.0	40.16
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	15.08	0.00	15.08	0.0	15.08
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	0.42	0.00	0.42	0.0	0.42
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	3.64	0.00	3.64	0.0	3.64
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	8.56	0.00	8.56	0.0	8.56
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	1.00	0.00	1.00	0.0	1.00
06/01/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	0.0	1.00
06/01/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	0.0	1.00
06/01/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	0.0	1.00
05/11/2018	TURNER, WANDA	JH HIGH YIELD A	0.00	1.38	0.00	1.38	0.0	1.38
05/11/2018	REINKE, RONALD	JH LARGE CAP EQUITY A	0.00	3.99	0.00	3.99	0.0	3.99
05/11/2018	REILLY, KAREN	JH NEW OPPORTUNITIES A	0.00	9.00	0.00	9.00	0.0	9.00
05/11/2018	VAN SISTINE, JEANNE	JH NEW OPPORTUNITIES A	0.00	8.39	0.00	8.39	0.0	8.39
05/31/2018	ANDERSEN, WAYNE	JNL PERSPECTIVE ADV II 5/05	59,503.51	49.59	0.00	49.59	0.0	49.59
05/31/2018	GARTNER TRUST	JNL PERSPECTIVE ADV II 5/05	165,439.21	172.33	0.00	172.33	0.0	172.33
05/31/2018	AMERICAN ENDOWMENT FUND	JNL PERSPECTIVE II 04/14	70,604.09	58.84	0.00	58.84	0.0	58.84
05/31/2018	WILLAERT, DIANNE	JNL PERSPECTIVE II 04/14	250,102.99	208.42	0.00	208.42	0.0	208.42
05/31/2018	BOYUM, LEE	JNL PERSPECTIVE II 04/14	154,504.22	128.75	0.00	128.75	0.0	128.75
05/31/2018	BOYUM, LEE	JNL PERSPECTIVE II 04/14	1,407,568.95	1,172.97	0.00	1,172.97	0.0	1,172.97
05/31/2018	STAFFEN, ROBERT	JNL PERSPECTIVE II 04/14	225,125.92	187.60	0.00	187.60	0.0	187.60
05/31/2018	HASKIN, LARRY	JNL PERSPECTIVE II 04/14	1,318,968.48	1,099.14	0.00	1,099.14	0.0	1,099.14
05/31/2018	LUTZ, TRACY	JNL PERSPECTIVE II 05/05	8,932.56	7.44	0.00	7.44	0.0	7.44
05/31/2018	THOMPSON, JO ANN	JNL PERSPECTIVE L	198,639.37	165.53	0.00	165.53	0.0	165.53
05/31/2018	VANDERHOOF, DONNA	JNL PERSPECTIVE L	344,675.55	287.23	0.00	287.23	0.0	287.23
05/31/2018	GREEN, STEVEN	JNL PERSPECTIVE L	82,582.58	68.82	0.00	68.82	0.0	68.82
05/31/2018	GREEN, STEVEN	JNL PERSPECTIVE L	72,863.17	60.72	0.00	60.72	0.0	60.72

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05/31/2018	WOLFF, JEAN	JNL PERSPECTIVE L	88,638.64	73.87	0.00	73.87	0.0	73.87
05/31/2018	WOLFF, JEAN	JNL PERSPECTIVE L	455,663.97	379.72	0.00	379.72	0.0	379.72
05/31/2018	CURTIS, JEFFREY	JNL PERSPECTIVE L	99,030.04	82.53	0.00	82.53	0.0	82.53
05/31/2018	FUENTEZ, IVAN	JNL PERSPECTIVE L	252,838.08	210.70	0.00	210.70	0.0	210.70
05/31/2018	ROSE, ERIC	JNL PERSPECTIVE L	47,865.84	39.89	0.00	39.89	0.0	39.89
05/31/2018	ANDERSEN, WAYNE	JNL PERSPECTIVE L	145,679.43	121.40	0.00	121.40	0.0	121.40
05/31/2018	CALABRESE, CAROL	JNL PERSPECTIVE L	273,437.34	227.86	0.00	227.86	0.0	227.86
05/31/2018	LUTZ, TRACY	JNL PERSPECTIVE L	24,587.53	20.49	0.00	20.49	0.0	20.49
05/31/2018	CHESNEY, TERRANCE	JNL PERSPECTIVE L	179,916.84	149.93	0.00	149.93	0.0	149.93
05/31/2018	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	109,223.79	91.02	0.00	91.02	0.0	91.02
05/31/2018	CHESNEY, TERRANCE	JNL PERSPECTIVE L	157,765.73	131.47	0.00	131.47	0.0	131.47
05/31/2018	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	162,152.53	135.13	0.00	135.13	0.0	135.13
05/31/2018	ANDERSEN, DAVID	JNL PERSPECTIVE L	92,171.79	76.81	0.00	76.81	0.0	76.81
05/31/2018	ANDERSEN, DAVID	JNL PERSPECTIVE L	201,983.48	168.32	0.00	168.32	0.0	168.32
05/31/2018	KOCON, RAYMOND	JNL PERSPECTIVE L	429,472.84	357.89	0.00	357.89	0.0	357.89
05/31/2018	HOGSTAD, MARY	JNL PERSPECTIVE L	149,905.09	124.92	0.00	124.92	0.0	124.92
05/31/2018	GARTNER TRUST, ORVAL	JNL PERSPECTIVE L	482,021.73	401.68	0.00	401.68	0.0	401.68
05/31/2018	STRADTMAN, CHARLES	JNL PERSPECTIVE L	214,037.65	178.36	0.00	178.36	0.0	178.36
05/31/2018	VETTER, JOANN	JNL PERSPECTIVE L	708,586.16	590.49	0.00	590.49	0.0	590.49
05/31/2018	STRADTMAN, CHARLES	JNL PERSPECTIVE L	457,018.29	380.85	0.00	380.85	0.0	380.85
05/31/2018	VETTER, RICHARD	JNL PERSPECTIVE L	125,339.48	104.45	0.00	104.45	0.0	104.45
05/31/2018	VETTER, JOANN	JNL PERSPECTIVE L	115,942.37	96.62	0.00	96.62	0.0	96.62
05/31/2018	KRAHMER, LE ANN	JNL PERSPECTIVE L	341,842.04	284.87	0.00	284.87	0.0	284.87
05/31/2018	SHORE, JERRY	JNL PERSPECTIVE L	1,161,056.40	967.55	0.00	967.55	0.0	967.55
05/31/2018	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	1,174,092.05	978.41	0.00	978.41	0.0	978.41
05/31/2018	SHORE, JERRY	JNL PERSPECTIVE L	319,490.87	266.24	0.00	266.24	0.0	266.24
05/31/2018	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	269,817.85	224.85	0.00	224.85	0.0	224.85
05/31/2018	LILLEVOLD, KATHRYN	JNL PERSPECTIVE L	1,031,764.21	859.80	0.00	859.80	0.0	859.80
05/31/2018	NAWROCKI, DONNA	JNL PERSPECTIVE L	849,382.13	707.82	0.00	707.82	0.0	707.82
05/31/2018	WOLFF, WALTER	JNL PERSPECTIVE L	885,805.17	738.17	0.00	738.17	0.0	738.17
05/31/2018	LARSON, LOIS	JNL PERSPECTIVE L	53,740.80	44.78	0.00	44.78	0.0	44.78
05/31/2018	LARSON, DEAN	JNL PERSPECTIVE L	111,139.28	92.62	0.00	92.62	0.0	92.62
05/31/2018	LEINENWEBER, LE ROY	JNL PERSPECTIVE L	326,547.78	272.12	0.00	272.12	0.0	272.12

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05/31/2018	DALLMANN, HOWARD	JNL PERSPECTIVE L	200,191.75	166.83	0.00	166.83	0.0	166.83
05/31/2018	DALLMANN, MARGARET	JNL PERSPECTIVE L	24,037.80	20.03	0.00	20.03	0.0	20.03
05/31/2018	CLOW, SANDRA	JNL PERSPECTIVE L	768,994.76	640.83	0.00	640.83	0.0	640.83
05/31/2018	LARSON, DEAN L & LOIS N	JNL PERSPECTIVE L	569,641.84	474.70	0.00	474.70	0.0	474.70
05/31/2018	NAWROCKI, DONNA	JNL PERSPECTIVE L	429,040.07	357.53	0.00	357.53	0.0	357.53
05/31/2018	MICHALETZ, GERALD	JNL PERSPECTIVE L	152,298.82	126.92	0.00	126.92	0.0	126.92
05/31/2018	MICHALETZ, FAYE	JNL PERSPECTIVE L	67,705.30	56.42	0.00	56.42	0.0	56.42
05/31/2018	FUNFSINN TRUST, SUSAN	JNL PERSPECTIVE L	329,589.45	274.66	0.00	274.66	0.0	274.66
05/31/2018	HUISMAN, GERALDINE	JNL PERSPECTIVE L	176,014.34	146.68	0.00	146.68	0.0	146.68
05/31/2018	BUCK, NORMAN	JNL PERSPECTIVE L	233,494.54	194.58	0.00	194.58	0.0	194.58
05/31/2018	PANOS, GERALDINE	JNL PERSPECTIVE L	119,146.25	99.29	0.00	99.29	0.0	99.29
05/31/2018	SHAW, CHARLES	JNL PERSPECTIVE L	139,225.89	116.02	0.00	116.02	0.0	116.02
05/31/2018	WARD, JACQUELINE	JNL PERSPECTIVE L	38,907.32	32.42	0.00	32.42	0.0	32.42
05/31/2018	KENDALL, ARCHIE	JNL PERSPECTIVE L	490,884.15	409.07	0.00	409.07	0.0	409.07
05/31/2018	MINNEHAN, MICHAEL	JNL PERSPECTIVE L	148,980.11	124.15	0.00	124.15	0.0	124.15
05/31/2018	CLOW CHARTIBLE TRUST, SANDRA	JNL PERSPECTIVE L	57,127.49	47.61	0.00	47.61	0.0	47.61
05/31/2018	TRINITY EVANGELICAL	JNL PERSPECTIVE L	408,999.70	340.83	0.00	340.83	0.0	340.83
05/31/2018	ARNOLD HOLDINGS LP	JNL PERSPECTIVE L	710,999.01	592.50	0.00	592.50	0.0	592.50
05/31/2018	ARNOLD, EVERETT	JNL PERSPECTIVE L	758,071.39	631.73	0.00	631.73	0.0	631.73
05/31/2018	SCHMIDT, LORI	JNL PERSPECTIVE L	135,148.06	112.62	0.00	112.62	0.0	112.62
05/31/2018	SCHMIDT, HAROLD	JNL PERSPECTIVE L	535,188.76	445.99	0.00	445.99	0.0	445.99
05/31/2018	ZIEGLER, DARRELL	JNL PERSPECTIVE L	55,703.98	46.42	0.00	46.42	0.0	46.42
05/31/2018	MOLL TURKEYS INC	JNL PERSPECTIVE L	537,147.62	447.62	0.00	447.62	0.0	447.62
05/31/2018	FITZSIMMONS, GARY	JNL PERSPECTIVE L	366,572.59	305.48	0.00	305.48	0.0	305.48
05/31/2018	REED, MARY	JNL PERSPECTIVE L	279,206.91	232.67	0.00	232.67	0.0	232.67
05/31/2018	FITZSIMMONS, KEVIN	JNL PERSPECTIVE L	143,817.69	119.85	0.00	119.85	0.0	119.85
05/31/2018	DIETRICH, SHARON	JNL PERSPECTIVE L	215,114.13	179.26	0.00	179.26	0.0	179.26
05/31/2018	ESLINGER, PATRICIA	JNL PERSPECTIVE L	25,443.60	21.20	0.00	21.20	0.0	21.20
05/31/2018	OLSON, MARK	JNL PERSPECTIVE L	371,010.73	309.18	0.00	309.18	0.0	309.18
05/31/2018	ZIEGLER, DIANNE	JNL PERSPECTIVE L	22,900.52	19.08	0.00	19.08	0.0	19.08
05/31/2018	ROSS TRUST, MARY JO	JNL PERSPECTIVE L	1,051,005.32	875.84	0.00	875.84	0.0	875.84
05/31/2018	ANDERSON, DONALD	JNL PERSPECTIVE L	163,112.79	135.93	0.00	135.93	0.0	135.93
05/31/2018	ROY, JAMES	JNL PERSPECTIVE L	214,712.93	178.93	0.00	178.93	0.0	178.93

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05/31/2018	GRAFF, BRENT	JNL PERSPECTIVE L	63,868.04	53.22	0.00	53.22	0.0	53.22
05/31/2018	SKELLY, SCOTT	JNL PERSPECTIVE L	255,447.14	212.87	0.00	212.87	0.0	212.87
05/31/2018	SKELLY, BRENDA	JNL PERSPECTIVE L	28,410.58	23.68	0.00	23.68	0.0	23.68
05/31/2018	NELSON, BRENDA	JNL PERSPECTIVE L	256,741.50	213.95	0.00	213.95	0.0	213.95
05/31/2018	HUGGINS, JEANNE	JNL PERSPECTIVE L	203,228.07	169.36	0.00	169.36	0.0	169.36
05/31/2018	THOMPSON, MAX	JNL PERSPECTIVE L	149,433.00	124.53	0.00	124.53	0.0	124.53
05/31/2018	THOMPSON, JO ANN	JNL PERSPECTIVE L	185,160.03	154.30	0.00	154.30	0.0	154.30
05/31/2018	THOMPSON, MAX	JNL PERSPECTIVE L	510,671.27	425.56	0.00	425.56	0.0	425.56
05/31/2018	HUGGINS, TONY	JNL PERSPECTIVE L	316,440.20	263.70	0.00	263.70	0.0	263.70
05/31/2018	WOLFF, LINDA	JNL PERSPECTIVE L	758,568.54	632.14	0.00	632.14	0.0	632.14
05/31/2018	OTTO, JANET	JNL PERSPECTIVE L	25,318.06	21.10	0.00	21.10	0.0	21.10
05/31/2018	OTTO, JAMES	JNL PERSPECTIVE L	606,540.43	505.45	0.00	505.45	0.0	505.45
05/31/2018	BUCK, DELORES	JNL PERSPECTIVE L	259,612.20	216.34	0.00	216.34	0.0	216.34
05/31/2018	BRIELMAIER, MICHELE	JNL PERSPECTIVE L	246,582.51	205.49	0.00	205.49	0.0	205.49
05/31/2018	KLEMENT, GARY	JNL PERSPECTIVE L	716,077.73	596.73	0.00	596.73	0.0	596.73
05/31/2018	KLEMENT, GARY	JNL PERSPECTIVE L	712,447.84	593.71	0.00	593.71	0.0	593.71
05/31/2018	CLOW, SANDRA	JNL PERSPECTIVE L	509,350.01	424.46	0.00	424.46	0.0	424.46
05/31/2018	FAMILY CHARITABLE REMAIND,	JNL PERSPECTIVE L	775,145.55	645.95	0.00	645.95	0.0	645.95
05/31/2018	GARTNER, MARIE	JNL PERSPECTIVE L	181,435.68	151.20	0.00	151.20	0.0	151.20
05/31/2018	GARTNER, LEONARD	JNL PERSPECTIVE L	1,228,611.86	1,023.84	0.00	1,023.84	0.0	1,023.84
05/31/2018	GARTNER, LEONARD	JNL PERSPECTIVE L	225,767.21	188.14	0.00	188.14	0.0	188.14
05/31/2018	FITTERER, RUTH	JNL PERSPECTIVE L	212,613.10	177.18	0.00	177.18	0.0	177.18
05/31/2018	HANSON, JOY	JNL PERSPECTIVE L	177,576.01	147.98	0.00	147.98	0.0	147.98
05/31/2018	SCHOEN, RICHARD	JNL PERSPECTIVE L	549,091.17	457.58	0.00	457.58	0.0	457.58
05/31/2018	SCHOEN, RICHARD	JNL PERSPECTIVE L	613,943.66	511.62	0.00	511.62	0.0	511.62
05/31/2018	ROBINSON, MARILYN	JNL PERSPECTIVE L	141,127.45	117.61	0.00	117.61	0.0	117.61
05/31/2018	STAFFEN, ROBERT	JNL PERSPECTIVE L	121,595.24	101.33	0.00	101.33	0.0	101.33
05/31/2018	WEIBLE, DEAN	JNL PERSPECTIVE L	50,629.23	42.19	0.00	42.19	0.0	42.19
05/31/2018	JOHNSON, HERMIT	JNL PERSPECTIVE L	122,413.68	102.01	0.00	102.01	0.0	102.01
05/31/2018	BOUDREAU, WILLIAM	JNL PERSPECTIVE L	415,613.94	346.34	0.00	346.34	0.0	346.34
05/31/2018	SHEARER, KAREN	JNL PERSPECTIVE L	122,104.20	101.75	0.00	101.75	0.0	101.75
05/31/2018	SHEARER, DONALD	JNL PERSPECTIVE L	328,813.82	274.01	0.00	274.01	0.0	274.01
05/31/2018	JOHNSON, JESSE	JNL PERSPECTIVE L	114,594.70	95.50	0.00	95.50	0.0	95.50

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JOSEPH, M138 MICHALETZ #286 </u></b>								
05/31/2018	JOHNSON, HERMIT	JNL PERSPECTIVE L	8,363.12	6.97	0.00	6.97	0.0	6.97
05/31/2018	JOHNSON, KAREN	JNL PERSPECTIVE L	8,360.32	6.97	0.00	6.97	0.0	6.97
05/31/2018	SNYDER, CYNTHIA	JNL PERSPECTIVE L	29,105.30	24.25	0.00	24.25	0.0	24.25
05/31/2018	STEVENS, SANDRA	JNL PERSPECTIVE L	98,186.42	81.82	0.00	81.82	0.0	81.82
05/31/2018	STEVENS, SANDRA	JNL PERSPECTIVE L	24,054.93	20.05	0.00	20.05	0.0	20.05
05/31/2018	STEVENS, GREGORY	JNL PERSPECTIVE L	92,669.35	77.22	0.00	77.22	0.0	77.22
05/31/2018	WOLFF, LINDA	JNL PERSPECTIVE L	125,470.58	67.96	0.00	67.96	0.0	67.96
05/31/2018	WOLFF, LINDA	JNL PERSPECTIVE L	138,605.32	115.50	0.00	115.50	0.0	115.50
05/31/2018	LABRIER, JOHN	JNL PERSPECTIVE L	78,321.17	65.27	0.00	65.27	0.0	65.27
05/31/2018	LABRIER, PAULA	JNL PERSPECTIVE L	16,260.03	13.55	0.00	13.55	0.0	13.55
05/31/2018	WETZELL, PAMELA	JNL PERSPECTIVE L	90,492.17	75.41	0.00	75.41	0.0	75.41
05/31/2018	JOHNSON, SHERI	JNL PERSPECTIVE L	165,146.61	137.62	0.00	137.62	0.0	137.62
05/31/2018	REITER, KAREN	JNL PERSPECTIVE L	10,657.48	5.77	0.00	5.77	0.0	5.77
05/31/2018	DRESSEN, KATHRYN	JNL PERSPECTIVE L	42,790.61	17.83	0.00	17.83	0.0	17.83
05/31/2018	STANTON, KAREN	JNL PERSPECTIVE L	41,531.02	17.30	0.00	17.30	0.0	17.30
05/31/2018	MAINE, DOUGLAS	JNL PERSPECTIVE L	41,531.02	17.30	0.00	17.30	0.0	17.30
05/29/2018	MICHALETZ, VARIOUS-JOE	JOHN HANCOCK LIFE TRAILS	100.00	2.00	0.00	2.00	0.0	2.00
05/31/2018	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	41,523.07	34.60	0.00	34.60	0.0	34.60
05/31/2018	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	36,184.43	30.15	0.00	30.15	0.0	30.15
05/31/2018	BOESCH, RODNEY	JOHN HANCOCK VENTURE	168,470.12	35.10	0.00	35.10	0.0	35.10
05/30/2018	PLOTZ, ROSANN	JP MORGAN GROWTH AND INCOME FUND CL	0.00	0.49	0.00	0.49	0.0	0.49
05/30/2018	REILLY, KAREN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	7.92	0.00	7.92	0.0	7.92
05/30/2018	POLTZ, ALAN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	0.80	0.00	0.80	0.0	0.80
05/30/2018	REINKE, RONALD	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	4.41	0.00	4.41	0.0	4.41
05/07/2018	PROKOP-CHRISTMAS, CATHERINE	LBL VUL	0.00	774.00	0.00	774.00	0.0	774.00
05/29/2018	WOLFF, DENNIS	LINCOLN LIFE	4,608.00	115.20	0.00	115.20	0.0	115.20
06/05/2018	OTTO, CHRISTOPHER	LINCOLN LIFE	270.00	4.05	0.00	4.05	0.0	4.05
05/11/2018	SCHLOMANN, DAVID	MFS GROWTH ALLOCATION C	0.00	6.66	0.00	6.66	0.0	6.66
05/11/2018	SCHLOMANN, ANN	MFS MODERATE ALLOCATION C	0.00	7.94	0.00	7.94	0.0	7.94
05/11/2018	POLTZ, ALAN	MFS RESEARCH A	0.00	0.52	0.00	0.52	0.0	0.52
06/01/2018	HOLZER, IDA	PAC LIFE VALUE	0.00	177.13	0.00	177.13	0.0	177.13
05/15/2018	REINKE, RONALD	PUTNAM HIGH YIELD ADVANTAGE A	0.00	3.54	0.00	3.54	0.0	3.54
05/16/2018	OTTO, CHRISTOPHER	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.72	0.00	0.72	0.0	0.72

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JOSEPH, M138 MICHALETZ #286 </u></b>								
05/16/2018	REILLY, KAREN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.09	0.00	4.09	0.0	4.09
05/09/2018	JACKSON, SHARON	TRANS LIFE LANDMARK 2003 VA	460,161.74	383.47	0.00	383.47	0.0	383.47
05/17/2018	ROBINSON, CHARLES	TRANS LIFE LANDMARK 2003 VA	114,871.44	95.73	0.00	95.73	0.0	95.73
05/18/2018	SWANSON, GLENN	TRANSAMERICA AA CONSERVATIVE C	0.00	25.26	0.00	25.26	0.0	25.26
05/18/2018	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH A	0.00	2.44	0.00	2.44	0.0	2.44
05/18/2018	BOUDREAU, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	12.19	0.00	12.19	0.0	12.19
05/18/2018	BOUDREAU, DIANE	TRANSAMERICA AA GROWTH C	0.00	24.89	0.00	24.89	0.0	24.89
05/18/2018	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	0.00	4.08	0.00	4.08	0.0	4.08
05/18/2018	LILLEVOLD, KATHRYN	TRANSAMERICA AA GROWTH C	0.00	15.22	0.00	15.22	0.0	15.22
05/18/2018	FITTERER TRUST, RUTH	TRANSAMERICA AA GROWTH C	0.00	72.76	0.00	72.76	0.0	72.76
06/04/2018	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	0.00	39.70	0.00	39.70	0.0	39.70
06/04/2018	THORP, MEGAN	TRANSAMERICA AA GROWTH C	0.00	1.89	0.00	1.89	0.0	1.89
06/04/2018	MICHALETZ, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	1.53	0.00	1.53	0.0	1.53
06/04/2018	FITTERER, JAMES	TRANSAMERICA AA GROWTH C	0.00	42.03	0.00	42.03	0.0	42.03
06/04/2018	ROBERTSON, KATELYN	TRANSAMERICA AA GROWTH C	0.00	4.52	0.00	4.52	0.0	4.52
06/04/2018	SCHOEN, JUDITH	TRANSAMERICA AA GROWTH C	0.00	19.27	0.00	19.27	0.0	19.27
06/04/2018	JONES, ERIN	TRANSAMERICA AA GROWTH C	0.00	5.02	0.00	5.02	0.0	5.02
06/04/2018	JONES, KEITH	TRANSAMERICA AA GROWTH C	0.00	5.02	0.00	5.02	0.0	5.02
06/04/2018	LELAND, CRAIG	TRANSAMERICA AA GROWTH C	0.00	31.09	0.00	31.09	0.0	31.09
06/04/2018	SEVERSON, STEVEN	TRANSAMERICA AA GROWTH C	0.00	2.63	0.00	2.63	0.0	2.63
06/04/2018	FREDERICK, THOMAS	TRANSAMERICA AA GROWTH C	0.00	5.02	0.00	5.02	0.0	5.02
06/04/2018	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	0.00	9.77	0.00	9.77	0.0	9.77
06/04/2018	RENDL, MARK	TRANSAMERICA AA GROWTH C	0.00	3.93	0.00	3.93	0.0	3.93
06/04/2018	GROMMERSCH, IZABEL	TRANSAMERICA AA GROWTH C	0.00	4.53	0.00	4.53	0.0	4.53
06/04/2018	HASKIN-BEEBE, JEAN	TRANSAMERICA AA GROWTH C	0.00	4.10	0.00	4.10	0.0	4.10
06/04/2018	KIECKER, DENISE	TRANSAMERICA AA GROWTH C	0.00	18.05	0.00	18.05	0.0	18.05
06/04/2018	MARK T KIECKER, SIM	TRANSAMERICA AA GROWTH C	0.00	18.70	0.00	18.70	0.0	18.70
06/04/2018	SHORE, HELEN	TRANSAMERICA AA GROWTH C	0.00	59.64	0.00	59.64	0.0	59.64
06/04/2018	DOBIE, SHERYL	TRANSAMERICA AA GROWTH C	0.00	6.34	0.00	6.34	0.0	6.34
06/04/2018	JONES, EVAN	TRANSAMERICA AA GROWTH C	0.00	1.49	0.00	1.49	0.0	1.49
06/04/2018	GROMMERSCH, JOHN	TRANSAMERICA AA GROWTH C	0.00	4.53	0.00	4.53	0.0	4.53
06/04/2018	WETZELL, PAMELA	TRANSAMERICA AA GROWTH C	0.00	4.07	0.00	4.07	0.0	4.07
06/04/2018	WETZELL, THOMAS	TRANSAMERICA AA GROWTH C	0.00	12.54	0.00	12.54	0.0	12.54

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<b><u>BROKER: JOSEPH, M138 MICHALETZ #286</u></b>								
06/04/2018	JONES, WYATT	TRANSAMERICA AA GROWTH C	0.00	1.60	0.00	1.60	0.0	1.60
06/04/2018	THOMPSON, JO ANN	TRANSAMERICA AA GROWTH C	0.00	10.99	0.00	10.99	0.0	10.99
06/04/2018	JONES, DYLAN	TRANSAMERICA AA GROWTH C	0.00	1.31	0.00	1.31	0.0	1.31
06/04/2018	OTTO, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	12.39	0.00	12.39	0.0	12.39
06/04/2018	CHRISTMAN, PATRICK	TRANSAMERICA AA GROWTH C	0.00	9.97	0.00	9.97	0.0	9.97
06/04/2018	CHRISTMAN, LISA	TRANSAMERICA AA GROWTH C	0.00	8.96	0.00	8.96	0.0	8.96
06/04/2018	THORP, CALEB	TRANSAMERICA AA GROWTH C	0.00	7.67	0.00	7.67	0.0	7.67
06/04/2018	WETZELL, ELI	TRANSAMERICA AA GROWTH C	0.00	6.69	0.00	6.69	0.0	6.69
06/04/2018	WETZELL, BECKETT	TRANSAMERICA AA GROWTH C	0.00	7.14	0.00	7.14	0.0	7.14
06/04/2018	TOMM, JODI	TRANSAMERICA AA GROWTH C	0.00	60.61	0.00	60.61	0.0	60.61
06/04/2018	JONES, WILL	TRANSAMERICA AA GROWTH C	0.00	1.35	0.00	1.35	0.0	1.35
06/04/2018	SANDBO, KAID	TRANSAMERICA AA GROWTH C	0.00	4.59	0.00	4.59	0.0	4.59
06/04/2018	SANDBO, ALYIAH	TRANSAMERICA AA GROWTH C	0.00	4.33	0.00	4.33	0.0	4.33
06/04/2018	WETZELL, PEYTON	TRANSAMERICA AA GROWTH C	0.00	3.99	0.00	3.99	0.0	3.99
06/04/2018	THORP, REED	TRANSAMERICA AA GROWTH C	0.00	4.23	0.00	4.23	0.0	4.23
06/04/2018	SOLOMON, ARIANNA	TRANSAMERICA AA GROWTH C	0.00	3.05	0.00	3.05	0.0	3.05
06/04/2018	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	30.49	0.00	30.49	0.0	30.49
06/04/2018	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	6.60	0.00	6.60	0.0	6.60
06/04/2018	GRUBISH, GERTRUDE	TRANSAMERICA AA GROWTH C	0.00	6.60	0.00	6.60	0.0	6.60
06/04/2018	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.13	0.00	1.13	0.0	1.13
06/04/2018	WETZELL, BRADY	TRANSAMERICA AA GROWTH C	0.00	1.99	0.00	1.99	0.0	1.99
06/04/2018	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.20	0.00	1.20	0.0	1.20
06/04/2018	THORP, QUINN	TRANSAMERICA AA GROWTH C	0.00	1.99	0.00	1.99	0.0	1.99
06/04/2018	WETZELL, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	1.98	0.00	1.98	0.0	1.98
06/04/2018	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.97	0.00	4.97	0.0	4.97
06/04/2018	KIECKER, BENJAMIN	TRANSAMERICA AA GROWTH C	0.00	7.22	0.00	7.22	0.0	7.22
06/04/2018	KIECKER, DANIEL	TRANSAMERICA AA GROWTH C	0.00	12.43	0.00	12.43	0.0	12.43
06/04/2018	STEVENS, GAVIN	TRANSAMERICA AA GROWTH C	0.00	9.93	0.00	9.93	0.0	9.93
06/04/2018	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	0.00	4.38	0.00	4.38	0.0	4.38
06/04/2018	VOIGHT, CODY	TRANSAMERICA AA GROWTH C	0.00	4.47	0.00	4.47	0.0	4.47
06/04/2018	VOIGHT, NICKOLAS	TRANSAMERICA AA GROWTH C	0.00	0.16	0.00	0.16	0.0	0.16
06/04/2018	KIECKER, BENJAMIN	TRANSAMERICA AA GROWTH C	0.00	31.68	0.00	31.68	0.0	31.68
06/04/2018	KIECKER, DANIEL	TRANSAMERICA AA GROWTH C	0.00	31.27	0.00	31.27	0.0	31.27

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<b><u>BROKER: JOSEPH, M138 MICHALETZ #286</u></b>								
05/18/2018	SWANSON, GLENN	TRANSAMERICA AA MOD GROWTH C	0.00	32.90	0.00	32.90	0.0	32.90
05/18/2018	FITTERER TRUST, RUTH	TRANSAMERICA AA MOD GROWTH C	0.00	65.58	0.00	65.58	0.0	65.58
06/04/2018	CONRAD, MAREDDITH	TRANSAMERICA AA MOD GROWTH C	0.00	6.72	0.00	6.72	0.0	6.72
06/04/2018	CONRAD, DELBERT	TRANSAMERICA AA MOD GROWTH C	0.00	43.89	0.00	43.89	0.0	43.89
06/04/2018	GARTNER, TIMOTHY	TRANSAMERICA AA MOD GROWTH C	0.00	25.86	0.00	25.86	0.0	25.86
06/04/2018	GRAFF, GARY	TRANSAMERICA AA MOD GROWTH C	0.00	22.44	0.00	22.44	0.0	22.44
05/18/2018	OTTO, CHRISTOPHER	TRANSAMERICA CAPITAL GROWTH A	0.00	0.84	0.00	0.84	0.0	0.84
05/19/2018	STEVENS, JANICE	TRANSAMERICA CATALYST	18,774.84	3.91	0.00	3.91	0.0	3.91
05/18/2018	OTTO, CHRISTOPHER	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.82	0.00	0.82	0.0	0.82
05/04/2018	SEVERSON, STEVEN	TRANSAMERICA EXTRA	186,797.90	155.66	0.00	155.66	0.0	155.66
05/09/2018	VOIGHT, RICKY	TRANSAMERICA EXTRA	652,168.54	543.47	0.00	543.47	0.0	543.47
05/09/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	25,425.06	21.19	0.00	21.19	0.0	21.19
05/11/2018	MICHALETZ, CHRISTINE	TRANSAMERICA EXTRA	6,922.97	5.77	0.00	5.77	0.0	5.77
05/13/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	19,718.06	16.43	0.00	16.43	0.0	16.43
05/13/2018	VOIGHT, RICKY	TRANSAMERICA EXTRA	6,989.95	5.82	0.00	5.82	0.0	5.82
05/13/2018	TRIPP, TIMOTHY	TRANSAMERICA EXTRA	26,569.80	22.14	0.00	22.14	0.0	22.14
05/17/2018	ELLIS, ROSEMARY	TRANSAMERICA EXTRA	229,206.74	191.01	0.00	191.01	0.0	191.01
05/19/2018	WETZELL, THOMAS	TRANSAMERICA EXTRA	1,426,149.36	1,188.46	0.00	1,188.46	0.0	1,188.46
05/19/2018	VANDERHOOF, DONALD	TRANSAMERICA EXTRA	8,575.45	7.15	0.00	7.15	0.0	7.15
05/19/2018	LEVIK, LADONNA	TRANSAMERICA EXTRA	218,670.51	182.23	0.00	182.23	0.0	182.23
05/21/2018	VOTCA, JOHN	TRANSAMERICA EXTRA	60,637.04	50.53	0.00	50.53	0.0	50.53
05/21/2018	GRISWOLD, ELLEN	TRANSAMERICA EXTRA	38,401.80	32.00	0.00	32.00	0.0	32.00
05/23/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	34,182.72	28.49	0.00	28.49	0.0	28.49
05/01/2018	BOESCH, JEFFERY	TRANSAMERICA EXTRA 89352F889	244,015.66	203.35	0.00	203.35	0.0	203.35
05/07/2018	MICHALETZ, JOSEPH	TRANSAMERICA EXTRA 89352F889	13,485.11	11.24	0.00	11.24	0.0	11.24
05/10/2018	HORNING, DONALD	TRANSAMERICA EXTRA 89352F889	107,033.46	89.19	0.00	89.19	0.0	89.19
05/16/2018	FEMRITE, LYLE	TRANSAMERICA EXTRA 89352F889	73,929.01	61.61	0.00	61.61	0.0	61.61
05/23/2018	PAULSEN, TAMI	TRANSAMERICA EXTRA 89352F889	71,893.67	59.91	0.00	59.91	0.0	59.91
05/23/2018	PAULSEN, BRYAN	TRANSAMERICA EXTRA 89352F889	192,328.05	160.27	0.00	160.27	0.0	160.27
05/23/2018	FOSTER, RODNEY	TRANSAMERICA EXTRA 89352F889	1,384,519.85	1,153.77	0.00	1,153.77	0.0	1,153.77
05/23/2018	FOSTER, BARBARA	TRANSAMERICA EXTRA 89352F889	312,620.03	260.52	0.00	260.52	0.0	260.52
05/02/2018	PLOTZ, LEO	TRANSAMERICA LANDMARK	323,963.60	269.97	0.00	269.97	0.0	269.97
05/05/2018	TURNER, WENDELL	TRANSAMERICA LANDMARK	119,923.91	99.94	0.00	99.94	0.0	99.94

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JOSEPH, M138 MICHALETZ #286 </u></b>								
05/07/2018	SHORE, ROSALIND	TRANSAMERICA LANDMARK	142,498.54	118.75	0.00	118.75	0.0	118.75
05/09/2018	BRIELMAIER, MARK	TRANSAMERICA LANDMARK	76,586.67	63.82	0.00	63.82	0.0	63.82
05/15/2018	JOHNSON, PAUL	TRANSAMERICA LANDMARK	492,271.33	410.23	0.00	410.23	0.0	410.23
05/18/2018	KLEMENT, LINDA	TRANSAMERICA LANDMARK	14,832.75	12.36	0.00	12.36	0.0	12.36
05/20/2018	BERGLING, PAUL	TRANSAMERICA LANDMARK	548,712.51	457.26	0.00	457.26	0.0	457.26
05/21/2018	BERGLING, PAUL	TRANSAMERICA LANDMARK	280.00	14.00	0.00	14.00	0.0	14.00
05/22/2018	DALLMANN, MARGARET	TRANSAMERICA LANDMARK	165,084.06	137.57	0.00	137.57	0.0	137.57
05/22/2018	BERGLING, SHARON	TRANSAMERICA LANDMARK	792,234.10	660.20	0.00	660.20	0.0	660.20
05/26/2018	DALLMANN, HOWARD	TRANSAMERICA LANDMARK	13,792.68	11.49	0.00	11.49	0.0	11.49
05/28/2018	KLEMENT, LINDA	TRANSAMERICA LANDMARK	72,512.47	60.43	0.00	60.43	0.0	60.43
05/29/2018	SANDER, GLORIAN	TRANSAMERICA LANDMARK	231,496.89	192.91	0.00	192.91	0.0	192.91
05/24/2018	MICHALETZ, GERALD	TRANSAMERICA LIBERTY	80,659.32	67.22	0.00	67.22	0.0	67.22
05/24/2018	AYERS, KATHRYN	TRANSAMERICA LIBERTY	227,183.56	189.32	0.00	189.32	0.0	189.32
05/18/2018	OTTO, CHRISTOPHER	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	0.96	0.00	0.96	0.0	0.96
05/18/2018	OTTO, CHRISTOPHER	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	1.26	0.00	1.26	0.0	1.26
* JOSEPH, M138 MICHALETZ SUBTOTAL *			\$47,480,959.30	\$42,216.90	\$0.00	\$42,216.90		\$42,216.90
<b><u> BROKER: JACK, M137 MICHALETZ #287 </u></b>								
05/15/2018	PEMBLE, JOCELYN	529 AMCAP FUND CLASS A	0.00	0.38	0.00	0.38	0.0	0.38
05/15/2018	PEMBLE, JOCELYN	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.27	0.00	0.27	0.0	0.27
05/23/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	14.59	0.00	14.59	0.0	14.59
05/23/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	43.76	0.00	43.76	0.0	43.76
05/23/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	8.51	0.00	8.51	0.0	8.51
05/23/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	25.53	0.00	25.53	0.0	25.53
05/23/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.21	0.00	2.21	0.0	2.21
05/23/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.62	0.00	6.62	0.0	6.62
05/11/2018	ATKINSON, VONDA	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	4.91	0.00	4.91	0.0	4.91
05/11/2018	GROMMERSCH, STACI	JH CLASSIC VALUE A	0.00	0.06	0.00	0.06	0.0	0.06
05/11/2018	GROMMERSCH, STACI	JH LARGE CAP EQUITY A	0.00	0.06	0.00	0.06	0.0	0.06
05/11/2018	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.05	0.00	0.05	0.0	0.05
05/11/2018	STEFFL, CHRISTINE	JH STRATEGIC GROWTH A	0.00	0.30	0.00	0.30	0.0	0.30
05/11/2018	ATKINSON, VONDA	JH STRATEGIC GROWTH A	0.00	2.51	0.00	2.51	0.0	2.51
05/11/2018	STEFFL, JOSEPH	JH STRATEGIC GROWTH A	0.00	0.43	0.00	0.43	0.0	0.43
05/31/2018	FIRST CONGREGATIONAL	JNL PERSPECTIVE II 04/14	182,328.89	37.98	0.00	37.98	0.0	37.98

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b>BROKER: JACK, M137 MICHALETZ #287</b>								
05/31/2018	STEFFL, JAMES	JNL PERSPECTIVE II 04/14	166,448.52	34.68	0.00	34.68	0.0	34.68
05/31/2018	ZOARS UNITED CHURCH OF CH	JNL PERSPECTIVE II 04/14	36,190.63	7.54	0.00	7.54	0.0	7.54
05/31/2018	ROY, DEBRA	JNL PERSPECTIVE II 04/14	165,995.48	138.33	0.00	138.33	0.0	138.33
05/31/2018	STEVERMER, THOMAS	JNL PERSPECTIVE II 04/14	112,177.17	46.74	0.00	46.74	0.0	46.74
05/31/2018	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	47,737.91	39.78	0.00	39.78	0.0	39.78
05/31/2018	WATTS, BAMBI	JNL PERSPECTIVE II 04/14	15,014.54	12.51	0.00	12.51	0.0	12.51
05/31/2018	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	74,759.60	62.30	0.00	62.30	0.0	62.30
05/31/2018	ROSE, DENNIS	JNL PERSPECTIVE II 05/05	85,401.53	71.17	0.00	71.17	0.0	71.17
05/31/2018	ROSE, CHERYL	JNL PERSPECTIVE II 05/05	142,746.29	118.96	0.00	118.96	0.0	118.96
05/31/2018	OTTO, CURTIS	JNL PERSPECTIVE II 05/05	8,679.02	7.23	0.00	7.23	0.0	7.23
05/31/2018	ROSE, DENNIS	JNL PERSPECTIVE L	174,258.75	145.22	0.00	145.22	0.0	145.22
05/31/2018	JOHNSON, ELOIS	JNL PERSPECTIVE L	500,458.07	417.05	0.00	417.05	0.0	417.05
05/31/2018	SHIRK, PAMELA	JNL PERSPECTIVE L	213,487.55	177.91	0.00	177.91	0.0	177.91
05/31/2018	UTZKA, LANA	JNL PERSPECTIVE L	8,520.95	7.10	0.00	7.10	0.0	7.10
05/31/2018	KRONBACK, MAYDELLE	JNL PERSPECTIVE L	185,082.00	154.23	0.00	154.23	0.0	154.23
05/31/2018	ESLINGER, DOUGLAS	JNL PERSPECTIVE L	47,180.34	39.32	0.00	39.32	0.0	39.32
05/31/2018	HAGER, REBECCA	JNL PERSPECTIVE L	374,315.35	311.93	0.00	311.93	0.0	311.93
05/31/2018	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	37,803.62	31.50	0.00	31.50	0.0	31.50
05/31/2018	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	269,643.82	224.70	0.00	224.70	0.0	224.70
05/31/2018	HOWELL, NICOLE	JNL PERSPECTIVE L	213,859.02	178.22	0.00	178.22	0.0	178.22
05/31/2018	STIERLEN, ROGER	JNL PERSPECTIVE L	90,682.00	75.57	0.00	75.57	0.0	75.57
05/31/2018	MARSH, JAMES	JNL PERSPECTIVE L	40,877.04	34.06	0.00	34.06	0.0	34.06
05/31/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	151,835.84	126.53	0.00	126.53	0.0	126.53
05/31/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	33,803.05	28.17	0.00	28.17	0.0	28.17
05/31/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	63,379.65	52.82	0.00	52.82	0.0	52.82
05/31/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	37,489.37	31.24	0.00	31.24	0.0	31.24
05/31/2018	FEAR, WILLIAM	JNL PERSPECTIVE L	145,282.00	121.07	0.00	121.07	0.0	121.07
05/31/2018	PEMBLE, MICHELLE	JNL PERSPECTIVE L	316,434.77	263.70	0.00	263.70	0.0	263.70
05/31/2018	HART, JILLANE	JNL PERSPECTIVE L	102,100.41	85.08	0.00	85.08	0.0	85.08
05/31/2018	HART, KEVIN	JNL PERSPECTIVE L	301,602.51	251.34	0.00	251.34	0.0	251.34
05/31/2018	STEFFL, CHRISTINE	JNL PERSPECTIVE L	25,052.80	20.88	0.00	20.88	0.0	20.88
05/31/2018	SOUKUP, LAVERN	JNL PERSPECTIVE L	259,580.54	216.32	0.00	216.32	0.0	216.32
05/11/2018	GROMMERSCH, STACI	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	0.04	0.00	0.04	0.0	0.04

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b>BROKER: JACK, M137 MICHALETZ #287</b>								
05/31/2018	MULFORD, PATRICIA	JOHN HANCOCK VANTAGE	264,836.63	220.70	0.00	220.70	0.0	220.70
05/07/2018	STRADTMAN, ROBERT	LBL VUL	112,278.76	56.14	0.00	56.14	0.0	56.14
05/11/2018	MICHALETZ, VARIOUS-JACK	LBL VUL	0.00	4.05	0.00	4.05	0.0	4.05
06/03/2018	LORENTZ, ANDREW	METLIFE LIFE INS	200.00	1.00	0.00	1.00	0.0	1.00
05/11/2018	PAHL, ADAM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	17.45	0.00	17.45	0.0	17.45
05/11/2018	SWARD, KIM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	38.52	0.00	38.52	0.0	38.52
05/11/2018	SORUM, JEFFREY	MFS GROWTH ALLOCATION C	0.00	4.52	0.00	4.52	0.0	4.52
05/11/2018	WHITE, STACEY	MFS GROWTH ALLOCATION C	0.00	12.09	0.00	12.09	0.0	12.09
05/17/2018	SORENSEN, JOSHUA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.40	0.00	0.40	0.0	0.40
05/15/2018	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	0.00	2.72	0.00	2.72	0.0	2.72
05/15/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	0.00	0.51	0.00	0.51	0.0	0.51
05/15/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	0.00	0.54	0.00	0.54	0.0	0.54
05/15/2018	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	0.00	0.03	0.00	0.03	0.0	0.03
05/15/2018	ATKINSON, VONDA	PUTNAM INTERNATIONAL GROWTH-A	0.00	10.41	0.00	10.41	0.0	10.41
05/15/2018	SCHULLER, ALEC	PUTNAM MULTI CAP GROWTH A	0.00	0.93	0.00	0.93	0.0	0.93
05/16/2018	ATKINSON, VONDA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	22.28	0.00	22.28	0.0	22.28
05/01/2018	GRONAU, ROLAND	TRANS LIFE LANDMARK 2003 VA	23,623.72	19.69	0.00	19.69	0.0	19.69
05/18/2018	GILBERTO, SARAH	TRANSAMERICA AA GROWTH A	0.00	3.89	0.00	3.89	0.0	3.89
05/18/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH A	0.00	2.44	0.00	2.44	0.0	2.44
05/18/2018	LORENTZ, ROBERT	TRANSAMERICA AA GROWTH A	0.00	5.18	0.00	5.18	0.0	5.18
05/18/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	0.00	3.65	0.00	3.65	0.0	3.65
05/18/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	0.00	5.73	0.00	5.73	0.0	5.73
05/18/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	20.16	0.00	20.16	0.0	20.16
05/18/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	17.49	0.00	17.49	0.0	17.49
05/21/2018	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.50
05/21/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	121.75	1.22	0.00	1.22	0.0	1.22
05/21/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	121.75	1.22	0.00	1.22	0.0	1.22
06/01/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	59.56	0.60	0.00	0.60	0.0	0.60
06/01/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	59.56	0.60	0.00	0.60	0.0	0.60
06/01/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	67.71	0.68	0.00	0.68	0.0	0.68
06/01/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	67.71	0.68	0.00	0.68	0.0	0.68
06/04/2018	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.94	0.00	2.94	0.0	2.94
06/04/2018	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	6.04	0.00	6.04	0.0	6.04

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<b><u>BROKER: JACK, M137 MICHALETZ #287</u></b>								
06/04/2018	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	42.05	0.00	42.05	0.0	42.05
06/04/2018	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	42.05	0.00	42.05	0.0	42.05
06/04/2018	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.84	0.00	5.84	0.0	5.84
06/04/2018	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	17.25	0.00	17.25	0.0	17.25
06/04/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	54.33	0.00	54.33	0.0	54.33
06/04/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	47.10	0.00	47.10	0.0	47.10
06/04/2018	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	7.26	0.00	7.26	0.0	7.26
06/04/2018	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	8.29	0.00	8.29	0.0	8.29
06/04/2018	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.76	0.00	2.76	0.0	2.76
06/04/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	20.31	0.00	20.31	0.0	20.31
06/04/2018	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	12.11	0.00	12.11	0.0	12.11
06/04/2018	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.27	0.00	2.27	0.0	2.27
06/04/2018	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.30	0.00	2.30	0.0	2.30
06/04/2018	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.48	0.00	1.48	0.0	1.48
06/04/2018	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.80	0.00	3.80	0.0	3.80
06/04/2018	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.15	0.00	1.15	0.0	1.15
06/04/2018	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	6.00	0.00	6.00	0.0	6.00
06/04/2018	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	4.03	0.00	4.03	0.0	4.03
06/04/2018	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	8.44	0.00	8.44	0.0	8.44
06/04/2018	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	2.22	0.00	2.22	0.0	2.22
06/04/2018	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.85	0.00	8.85	0.0	8.85
06/04/2018	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	26.84	0.00	26.84	0.0	26.84
06/04/2018	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	16.58	0.00	16.58	0.0	16.58
06/04/2018	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.93	0.00	1.93	0.0	1.93
06/04/2018	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.91	0.00	2.91	0.0	2.91
06/04/2018	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.70	0.00	0.70	0.0	0.70
06/04/2018	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.52	0.00	4.52	0.0	4.52
06/04/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	0.00	0.43	0.00	0.43	0.0	0.43
06/04/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH C	0.00	10.03	0.00	10.03	0.0	10.03
06/04/2018	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH C	0.00	16.98	0.00	16.98	0.0	16.98
05/18/2018	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	0.00	31.55	0.00	31.55	0.0	31.55
05/21/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	153.08	1.53	0.00	1.53	0.0	1.53
05/21/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	153.08	1.53	0.00	1.53	0.0	1.53

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JACK, M137 MICHALETZ #287 </u></b>								
06/04/2018	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.62	0.00	3.62	0.0	3.62
06/04/2018	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	5.21	0.00	5.21	0.0	5.21
06/04/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	53.70	0.00	53.70	0.0	53.70
06/04/2018	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.32	0.00	1.32	0.0	1.32
06/04/2018	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.41	0.00	2.41	0.0	2.41
06/04/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	11.32	0.00	11.32	0.0	11.32
06/04/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	7.85	0.00	7.85	0.0	7.85
06/04/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	4.49	0.00	4.49	0.0	4.49
05/18/2018	STEFFL, CHRISTINE	TRANSAMERICA CAPITAL GROWTH A	0.00	0.73	0.00	0.73	0.0	0.73
05/18/2018	STEFFL, JOSEPH	TRANSAMERICA CAPITAL GROWTH A	0.00	0.69	0.00	0.69	0.0	0.69
05/01/2018	STEVENS, DOUGLAS	TRANSAMERICA CLASSIC	12,900.99	2.69	0.00	2.69	0.0	2.69
05/24/2018	KIRCHNER, JACOB	TRANSAMERICA CLASSIC	19,201.61	4.00	0.00	4.00	0.0	4.00
05/18/2018	STEFFL, CHRISTINE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.47	0.00	0.47	0.0	0.47
05/18/2018	SCHULLER, CORY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.55	0.00	1.55	0.0	1.55
05/18/2018	STEFFL, JOSEPH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.41	0.00	0.41	0.0	0.41
05/08/2018	KIRCHNER, DUANE	TRANSAMERICA EXTRA	44,775.24	37.31	0.00	37.31	0.0	37.31
05/09/2018	DRESSEN, KATHRYN	TRANSAMERICA EXTRA	338,932.63	282.44	0.00	282.44	0.0	282.44
05/14/2018	GRAFF, JOYCE	TRANSAMERICA EXTRA	50,461.59	42.05	0.00	42.05	0.0	42.05
05/14/2018	GRAFF, JOYCE	TRANSAMERICA EXTRA	17,958.52	14.97	0.00	14.97	0.0	14.97
05/20/2018	GEWERTH, CHERYL	TRANSAMERICA EXTRA	20,218.04	16.85	0.00	16.85	0.0	16.85
05/21/2018	KRONBACK, EUGENE	TRANSAMERICA EXTRA	60,078.00	50.06	0.00	50.06	0.0	50.06
05/27/2018	BOESCH, RODNEY	TRANSAMERICA EXTRA	56,224.84	46.85	0.00	46.85	0.0	46.85
05/29/2018	BULLER, CAROL	TRANSAMERICA EXTRA	13,542.34	11.29	0.00	11.29	0.0	11.29
05/29/2018	KIRCHNER, JANET	TRANSAMERICA EXTRA	31,845.25	26.54	0.00	26.54	0.0	26.54
05/01/2018	IRELAND, DANIEL	TRANSAMERICA LANDMARK	106,993.55	89.16	0.00	89.16	0.0	89.16
05/16/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	19,224.95	16.02	0.00	16.02	0.0	16.02
05/16/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	19,751.10	16.46	0.00	16.46	0.0	16.46
05/25/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.50
05/25/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.50
05/25/2018	FITTERER, DELORIS	TRANSAMERICA LANDMARK	52,301.87	43.58	0.00	43.58	0.0	43.58
05/29/2018	ATKINSON, VONDA	TRANSAMERICA LANDMARK 89352F772	55,541.31	46.28	0.00	46.28	0.0	46.28
05/04/2018	HULST, TIMOTHY	TRANSAMERICA LIBERTY	57,111.73	47.59	0.00	47.59	0.0	47.59
05/08/2018	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	86,712.84	72.26	0.00	72.26	0.0	72.26

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JACK, M137 MICHALETZ #287 </u></b>								
05/11/2018	HAUGH, JOANNE	TRANSAMERICA LIBERTY	38,124.05	31.77	0.00	31.77	0.0	31.77
05/13/2018	BECKWITH, ALTON	TRANSAMERICA LIBERTY	87,510.38	72.93	0.00	72.93	0.0	72.93
* JACK, M137 MICHALETZ SUBTOTAL *			\$6,221,513.17	\$5,651.70	\$0.00	\$5,651.70		\$5,651.70
<b><u> BROKER: 46G-JOE/JACK MICHALETZ #290 </u></b>								
05/23/2018	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	8.23	0.00	8.23	0.0	8.23
05/23/2018	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	24.69	0.00	24.69	0.0	24.69
* 46G-JOE/JACK MICHALETZ SUBTOTAL *			\$0.00	\$32.92	\$0.00	\$32.92		\$32.92
<b><u> BROKER: JAMES, B153 BREWER #291 </u></b>								
05/15/2018	BALE, RICHARD	AM FUNDS AMCAP A	0.00	0.21	0.00	0.21	0.0	0.21
05/15/2018	ROWE, ERNEST	AM FUNDS AMERICAN BALANCED A	0.00	2.77	0.00	2.77	0.0	2.77
05/15/2018	LIMCHOC, OSCAR	AM FUNDS AMERICAN BALANCED A	0.00	5.34	0.00	5.34	0.0	5.34
05/15/2018	LAWVER, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	0.83	0.00	0.83	0.0	0.83
05/15/2018	COMPTON, SHARON	AM FUNDS AMERICAN BALANCED A	0.00	3.52	0.00	3.52	0.0	3.52
05/15/2018	ALLEN, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	3.96	0.00	3.96	0.0	3.96
05/15/2018	COMPTON, SHARON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.45	0.00	3.45	0.0	3.45
05/15/2018	MYERS, GARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.25	0.00	0.25	0.0	0.25
05/15/2018	MYERS, GARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	56.11	0.00	56.11	0.0	56.11
05/15/2018	BEBOUT, DARRELL	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.95	0.00	4.95	0.0	4.95
05/15/2018	GOUDY, BEVERLY	AM FUNDS NEW ECONOMY A	0.00	12.11	0.00	12.11	0.0	12.11
05/15/2018	GOUDY, BEVERLY	AM FUNDS SMALLCAP WORLD A	0.00	9.71	0.00	9.71	0.0	9.71
05/17/2018	SCHOPPELREI, CLEMENT	AMERICAN CENTURY MID CAP VALUE FUND	0.00	0.05	0.00	0.05	0.0	0.05
05/15/2018	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	2.11	0.00	2.11	0.0	2.11
05/15/2018	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	1.13	0.00	1.13	0.0	1.13
05/15/2018	SELCER, RICHARD	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	11.97	0.00	11.97	0.0	11.97
05/15/2018	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	2.05	0.00	2.05	0.0	2.05
05/15/2018	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	1.10	0.00	1.10	0.0	1.10
05/16/2018	SCHOPPELREI, CLEMENT	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.06	0.00	0.06	0.0	0.06
05/07/2018	ROWE, ERNEST	CATALYST/MAP GLOBAL CAPITAL APPRECI	0.00	2.67	0.00	2.67	0.0	2.67
05/07/2018	LAWVER, DAVID	CATALYST/MAP GLOBAL TOTAL RETURN IN	0.00	0.88	0.00	0.88	0.0	0.88
05/14/2018	FRESHLY, BEATRICE	COLUMBIA DIVIDEND INCOME A	0.00	5.89	0.00	5.89	0.0	5.89
05/14/2018	LIMCHOC, OSCAR	COLUMBIA DIVIDEND INCOME A	0.00	5.21	0.00	5.21	0.0	5.21
05/14/2018	SCHOPPELREI, CLEMENT	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.05	0.00	0.05	0.0	0.05

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAMES, B153 BREWER #291 </u></b>								
05/11/2018	STEIN, JANICE	DELAWARE SELECT GROWTH FUND CLASS A	0.00	0.32	0.00	0.32	0.0	0.32
05/17/2018	LIMCHOC, OSCAR	FRANKLIN INCOME A	0.00	3.15	0.00	3.15	0.0	3.15
05/17/2018	COMPTON, SHARON	FRANKLIN INCOME A	0.00	2.09	0.00	2.09	0.0	2.09
05/17/2018	SELCER, RICHARD	HARTFORD HEALTHCARE A	0.00	5.30	0.00	5.30	0.0	5.30
05/17/2018	GOUDY, BEVERLY	HARTFORD HEALTHCARE A	0.00	6.61	0.00	6.61	0.0	6.61
05/16/2018	LAWVER, DAVID	ICON LEISURE AND CONSUMER STAPLES F	0.00	1.59	0.00	1.59	0.0	1.59
05/16/2018	MCANINCH, JUDY	ICON UTILITIES FUND CLASS A	0.00	5.29	0.00	5.29	0.0	5.29
05/31/2018	KUSKOWSKI, MARK	JNL PERSPECTIVE ADV II 5/05	17,661.89	14.72	0.00	14.72	0.0	14.72
05/31/2018	MYERS MACHINERY MOVERS IN	JNL PERSPECTIVE L	873,854.14	946.68	0.00	946.68	0.0	946.68
05/30/2018	SCHOPPELREI, CLEMENT	JP MORGAN LARGE-CAP GROWTH A	0.00	0.06	0.00	0.06	0.0	0.06
05/18/2018	SCHOPPELREI, CLEMENT	LORD ABBETT INFLATION FOCUSED FUND	0.00	0.01	0.00	0.01	0.0	0.01
05/02/2018	DUNCAN, JAMES	MARKETFIELD FUND CLASS A	0.00	15.76	0.00	15.76	0.0	15.76
05/11/2018	GOUDY, BEVERLY	MFS INTERNATIONAL DISCOVERY A	0.00	8.15	0.00	8.15	0.0	8.15
05/11/2018	SCHOPPELREI, CLEMENT	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.03	0.00	0.03	0.0	0.03
05/11/2018	SCHOPPELREI, CLEMENT	MFS VALUE A	0.00	0.06	0.00	0.06	0.0	0.06
05/17/2018	COMPTON, SHARON	OPPENHEIMER GLOBAL A	0.00	3.67	0.00	3.67	0.0	3.67
05/17/2018	MYERS, GARY	OPPENHEIMER GLOBAL A	0.00	56.22	0.00	56.22	0.0	56.22
05/17/2018	SCHOPPELREI, CLEMENT	OPPENHEIMER INTL GROWTH A	0.00	0.03	0.00	0.03	0.0	0.03
05/25/2018	BALE, RICHARD	PAC LIFE FUNDS PORTFOLIO OPTIMIZATI	0.00	0.09	0.00	0.09	0.0	0.09
05/10/2018	GOUDY, BEVERLY	PRUDENTIAL QMA MID CAP VALUE FUND C	0.00	21.18	0.00	21.18	0.0	21.18
05/16/2018	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.94	0.00	1.94	0.0	1.94
05/16/2018	FALTER, THEODORE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.35	0.00	3.35	0.0	3.35
05/16/2018	VANDERGRIFF, CHARLES	RESOURCE R E DIVERSIFIED INC FUND A	0.00	6.34	0.00	6.34	0.0	6.34
05/16/2018	WESS, DONALD	RESOURCE R E DIVERSIFIED INC FUND A	0.00	4.86	0.00	4.86	0.0	4.86
05/16/2018	CALABRETTA, SHIRLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.79	0.00	2.79	0.0	2.79
05/16/2018	SELCER, RICHARD	RESOURCE R E DIVERSIFIED INC FUND A	0.00	6.89	0.00	6.89	0.0	6.89
05/16/2018	BEBOUT, ELMA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.22	0.00	2.22	0.0	2.22
05/16/2018	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.49	0.00	5.49	0.0	5.49
05/16/2018	CHAMBERLAIN, LARRY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	7.41	0.00	7.41	0.0	7.41
05/16/2018	COMPTON, WILLIAM	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.70	0.00	5.70	0.0	5.70
05/17/2018	MYERS, GARY	TEMPLETON GROWTH A	0.00	30.80	0.00	30.80	0.0	30.80
05/17/2018	MYERS, GARY	TEMPLETON WORLD A	0.00	0.43	0.00	0.43	0.0	0.43
05/10/2018	NIXON, CHARLENE	TIMOTHY PLAN CONSERVATIVE GROWTH FU	0.00	4.21	0.00	4.21	0.0	4.21

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAMES, B153 BREWER #291 </u></b>								
05/10/2018	NIXON, CHARLENE	TIMOTHY PLAN DEFENSIVE STRATEGY FUN	0.00	1.97	0.00	1.97	0.0	1.97
05/11/2018	SCHOPPELREI, CLEMENT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.03	0.00	0.03	0.0	0.03
* JAMES, B153 BREWER SUBTOTAL *			\$891,516.03	\$1,311.82	\$0.00	\$1,311.82		\$1,311.82
<b><u> BROKER: JANE MICHALETZ #347 </u></b>								
05/31/2018	BURNHAM, MOLLY	JNL PERSPECTIVE II 05/05	77,777.19	32.41	0.00	32.41	0.0	32.41
* JANE MICHALETZ SUBTOTAL *			\$77,777.19	\$32.41	\$0.00	\$32.41		\$32.41
<b><u> BROKER: MARTIN PROSHEK #355 </u></b>								
05/16/2018	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.38	0.00	0.38	0.0	0.38
05/16/2018	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.09	0.00	0.09	0.0	0.09
05/17/2018	NERO, BARBARA	FRANKLIN BALANCED C	0.00	1.67	0.00	1.67	0.0	1.67
05/16/2018	MORSE, PATRICIA	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	3.32	0.00	3.32	0.0	3.32
05/18/2018	MCPARTLAND, BARBARA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	0.88	0.00	0.88	0.0	0.88
05/18/2018	NERO, BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.55	0.00	2.55	0.0	2.55
* MARTIN PROSHEK SUBTOTAL *			\$0.00	\$8.89	\$0.00	\$8.89		\$8.89
<b><u> BROKER: L149-ROBERT LUCHSINGER #388 </u></b>								
05/31/2018	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	117,983.81	98.32	0.00	98.32	0.0	9.83
05/21/2018	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.36	0.00	4.36	0.0	0.44
05/21/2018	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.51	0.00	3.51	0.0	0.35
05/17/2018	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.71	0.00	2.71	0.0	0.27
05/19/2018	MALIVA, JOAN	ING EMPIRE INNOVATIONS STD OPT A	15,751.23	39.38	0.00	39.38	0.0	3.94
05/17/2018	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	6.04	0.00	6.04	0.0	0.60
05/25/2018	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.06	0.00	1.06	0.0	0.11
05/25/2018	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.33	0.00	1.33	0.0	0.13
05/25/2018	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.63	0.00	0.63	0.0	0.06
05/25/2018	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.15	0.00	5.15	0.0	0.52
05/25/2018	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.85	0.00	4.85	0.0	0.49
05/25/2018	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	8.88	0.00	8.88	0.0	0.89
05/25/2018	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.81	0.00	1.81	0.0	0.18
05/17/2018	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	4.86	0.00	4.86	0.0	0.49
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	5.37	0.00	5.37	0.0	0.54
05/16/2018	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.15	0.00	0.15	0.0	0.02
05/16/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.59	0.00	0.59	0.0	0.06

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: L149-ROBERT LUCHSINGER #388</u></b>								
05/16/2018	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	5.01	0.00	5.01	0.0	0.50
05/16/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	2.09	0.00	2.09	0.0	0.21
05/16/2018	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.71	0.00	0.71	0.0	0.07
05/16/2018	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.08	0.00	1.08	0.0	0.11
<b>* L149-ROBERT LUCHSINGER SUBTOTAL *</b>			<b>\$133,735.04</b>	<b>\$197.89</b>	<b>\$0.00</b>	<b>\$197.89</b>		<b>\$19.81</b>
<b><u>BROKER: DAVID EURTON #414</u></b>								
05/10/2018	SAHARA, IRENE	TA LANDMARK VA	197,139.28	164.28	0.00	164.28	0.0	164.28
05/23/2018	SAHARA, IRENE	TA LANDMARK VA	119,647.84	99.71	0.00	99.71	0.0	99.71
05/31/2018	DIXON REV, ROSE	TA LANDMARK VA	394,219.29	328.52	0.00	328.52	0.0	328.52
05/05/2018	RICE, DORIS	TRANSAMERICA B-SHARE	90,185.52	75.15	0.00	75.15	0.0	75.15
05/12/2018	SCHOLTEN, JOANNE	TRANSAMERICA B-SHARE	132,448.74	110.37	0.00	110.37	0.0	110.37
05/02/2018	KONKOLY, ROSE	TRANSAMERICA PRINCIPIUM	231,635.95	193.03	0.00	193.03	0.0	193.03
<b>* DAVID EURTON SUBTOTAL *</b>			<b>\$1,165,276.62</b>	<b>\$971.06</b>	<b>\$0.00</b>	<b>\$971.06</b>		<b>\$971.06</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$58,796,581.72</b>	<b>\$55,472.56</b>	<b>\$182.00</b>	<b>\$55,290.56</b>		<b>\$55,112.48</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$9,867.21
Split Transactions	\$0.00
Override Transactions	\$55,112.48
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$64,979.69
Year-to-date Gross	\$79,814.98
Year-to-date Earnings	\$269,738.76

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