

COMMISSION STATEMENT
June 30, 2018

RUSSEL RATLIFF-D333

BROKER #: D333 Terminated 12/31/2017
BRANCH: DMN

, TX

COMMISSION STATEMENT for RUSSEL RATLIFF-D333

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | | |
|-----------------------------------|-------------|----------------------|-----------------------------|---|-----------------|----------------|---------------|----------------|-----|---------------|
| 06/20/2018 | 00081236814 | PULLIAM, PATRICIA MF | AMERICAN BALANCED FUND-A | B | 62.50 | 2.66 | 0.00 | 2.66 | 0.0 | 0.00 |
| 06/20/2018 | 00081236814 | PULLIAM, PATRICIA MF | FUNDAMENTAL INVESTORS-A | B | 125.00 | 5.31 | 0.00 | 5.31 | 0.0 | 0.00 |
| 06/20/2018 | 00081236814 | PULLIAM, PATRICIA MF | WASHINGTON MUTUAL INVESTORS | B | 62.50 | 2.66 | 0.00 | 2.66 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | | | <u>\$250.00</u> | <u>\$10.63</u> | <u>\$0.00</u> | <u>\$10.63</u> | | <u>\$0.00</u> |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | | <u>\$250.00</u> | <u>\$10.63</u> | <u>\$0.00</u> | <u>\$10.63</u> | | <u>\$0.00</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|--------------------------|---------|
| Net Commissions | \$0.00 |
| Year-to-date Commissions | \$12.89 |