



All Companies

01/11/2018  
Page No. 1

# COMMISSION STATEMENT January 16, 2018

WILLIAM L. BROOKMAN  
1840 Hurstview Drive

BROKER #: 9081  
BRANCH: HOME OFFICE

HURST, TX 76054

## COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

12/31/2017	TRAILS	-OPPENHEIMER FUNDS	B	1.80	1.80	0.00	1.80	90.0	1.62
12/26/2017	00082017680 LAVALLEY, WILLIAM	AMERICAN FUNDS CAPITAL WORLD GROWT	B	100.00	3.75	0.00	3.75	90.0	3.38
12/26/2017	00082017680 LAVALLEY, WILLIAM	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	3.75	0.00	3.75	90.0	3.38
01/02/2018	00082311284 SCHUMANN, EDGAR/REESE	WASHINGTON MUTUAL INVESTORS FUND-52	B	75.00	0.75	0.00	0.75	90.0	0.68
01/02/2018	00084533081 SCHUMANN, EDGAR/KAI	CAPITAL INCOME BUILDER-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
01/02/2018	00086109209 SCHUMANN, EDGAR/JAYLA	AMERICAN MUTUAL FUND-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
12/26/2017	00089501407 LAVALLEY, PEGGY	AMERICAN FUNDS INCOME FD OF AMERI	B	200.00	7.50	0.00	7.50	90.0	6.75
* MUTUAL FUNDS SUBTOTAL *				<u>\$626.80</u>	<u>\$19.05</u>	<u>\$0.00</u>	<u>\$19.05</u>		<u>\$17.17</u>

#### PRODUCT CATEGORY: VARIABLE ANNUITIES

12/10/2017	WILSON, JAMES M.	-HARTFORD LIFE	B	350.00	7.00	0.00	7.00	90.0	6.30
12/31/2017	TRAILS	-HARTFORD LIFE	B	524.06	524.06	0.00	524.06	90.0	471.65
12/29/2017	TRAILS	AMERICAN GENERAL ANNUITY	B	131.22	131.22	0.00	131.22	90.0	118.10
01/05/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	134.79	134.79	0.00	134.79	90.0	121.31
12/31/2017	TRAILS	GENWORTH LIFE ANNUITY	B	101.05	101.05	0.00	101.05	90.0	90.95
12/26/2017	PORTER, A. BROOKE	GREAT WEST LIFE & ANNUITY	B	100.00	6.00	0.00	6.00	90.0	5.40
12/29/2017	TRAILS	NEW YORK LIFE INSURANCE COMPANY	B	1,235.75	1,235.75	0.00	1,235.75	90.0	1,112.18
12/31/2017	TRAILS	PRUDENTIAL INSURANCE CO.	B	6.26	6.26	0.00	6.26	90.0	5.63
* VARIABLE ANNUITIES SUBTOTAL *				<u>\$2,583.13</u>	<u>\$2,146.13</u>	<u>\$0.00</u>	<u>\$2,146.13</u>		<u>\$1,931.52</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$3,209.93</u>	<u>\$2,165.18</u>	<u>\$0.00</u>	<u>\$2,165.18</u>		<u>\$1,948.69</u>

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

01/16/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
01/16/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-7.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-107.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,948.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-107.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$18.23

Check Amount	\$1,859.42
Year-to-date Earnings	\$1,859.42