

**COMMISSION STATEMENT****February 15, 2018**

ROBERT, S117 SMITH
5000 Meadows Road
Suite 230
Lake Oswego, OR 97035

BROKER #: S117
BRANCH: ROBERT SMITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
01/31/2018	BENNETT, EDWIN	COHEN & STEERS INFRASTRUCTURE FD IN	12,346.24	370.39	22.81	347.58	92.0	319.77
01/31/2018	BENNETT, EDWIN	COHEN & STEERS REIT & PFD INCOME FD	12,089.56	362.69	22.94	339.75	92.0	312.57
01/30/2018	HEICHEL TRUST, SUSAN	SPIRIT RLTY CAP INC NEW COM	-5,721.17	22.00	23.05	-1.05	92.0	-0.97
01/30/2018	HEICHEL TRUST, SUSAN	SPIRIT RLTY CAP INC NEW COM	-6,530.48	22.00	23.20	-1.20	92.0	-1.10
* STOCKS SUBTOTAL *			\$12,184.15	\$777.08	\$92.00	\$685.08		\$630.27
*** CLEARING TRANSACTIONS TOTAL ***			\$12,184.15	\$777.08	\$92.00	\$685.08		\$630.27
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
01/04/2018	LUQUE, FERNANDO	INLAND COLORADO MF PF III DST	129,399.46	6,469.97	0.00	6,469.97	92.0	5,952.37
* 1031 EXCHANGES SUBTOTAL *			\$129,399.46	\$6,469.97	\$0.00	\$6,469.97		\$5,952.37
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/22/2018	BENNETT, GLENDA	FRANKLIN BALANCE SHEET INVST A	0.00	5.57	0.00	5.57	92.0	5.12
01/22/2018	BENNETT, GLENDA	FRANKLIN DYNATECH A	0.00	0.58	0.00	0.58	92.0	0.53
01/22/2018	BENNETT, GLENDA	FRANKLIN EQUITY INCOME A	0.00	8.16	0.00	8.16	92.0	7.51
01/22/2018	BENNETT, GLENDA	FRANKLIN GROWTH A	0.00	8.82	0.00	8.82	92.0	8.11
01/22/2018	BENNETT, GLENDA	FRANKLIN HIGH INCOME A	0.00	2.27	0.00	2.27	92.0	2.09
01/22/2018	BENNETT, GLENDA	FRANKLIN MUTUAL SHARES A	0.00	5.02	0.00	5.02	92.0	4.62
01/22/2018	BENNETT, GLENDA	FRANKLIN STRATEGIC INCOME A	0.00	3.75	0.00	3.75	92.0	3.45
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$34.17	\$0.00	\$34.17		\$31.43
*** DIRECT TRANSACTIONS TOTAL ***			\$129,399.46	\$6,504.14	\$0.00	\$6,504.14		\$5,983.80

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
02/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
02/15/2018		*CONCIERGE SVC: 207580 TILLSON, CUM	0.00					-50.00
02/15/2018		*CONCIERGE SVC: 207621 MCNULTY	0.00					-50.00
02/15/2018		*CONCIERGE SVC: 207702 MAYS-TTEE	0.00					-50.00
02/15/2018		*CONCIERGE SVC: 207776 TILLSON-TTEE	0.00					-50.00
02/15/2018		*CONCIERGE SVC: 208022 RAMSTEN TTEE	0.00					-50.00
02/15/2018		*CONCIERGE SVC: 208024 RAMSTEN	0.00					-50.00
02/15/2018		*CONCIERGE SVC: 208235 WINN-AUTHORI	0.00					-50.00
02/15/2018		*CONCIERGE SVC: 208236 CRNCICH, BR	0.00					-50.00
02/15/2018		*CONCIERGE SVC: 209065 FENIMORE	0.00					-50.00
02/15/2018		*CONCIERGE SVC: 209114 HORN, TTEE	0.00					-50.00
02/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2018		*FINRA: FINRA CE TRACKED WEB FEE S1	0.00					-55.00
02/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-3.96
02/15/2018		*TECHNOLOGY: ADMIN - LYNN SMITH	0.00					-130.00
02/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,175.96

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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BROKER COMMISSION TOTALS

Broker Transactions	\$6,614.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,175.96
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,438.11
Year-to-date Gross	\$116,273.15
Year-to-date Earnings	\$106,865.94