

STATEMENT

January 20, 2018

MALVIN JOHNSON - LPCM

BROKER #: 3036

BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/01/2018	#514311 CARTER, IAN	DO NOT SELL SECURITIES	155,732.08	-45.42	90.0	0.00	-40.88	0.00	-40.88
01/01/2018	#514160 CARTER, JOANNE	DO NOT SELL SECURITIES	117,570.76	-34.29	90.0	0.00	-30.86	0.00	-30.86
01/01/2018	#514258 EDWARDS, ELLEN	DO NOT SELL SECURITIES	11,933.86	-3.48	90.0	0.00	-3.13	0.00	-3.13
01/01/2018	#514316 EDWARDS, ELLEN	DO NOT SELL SECURITIES	12,548.81	-3.66	90.0	0.00	-3.29	0.00	-3.29
01/01/2018	#514151 GEORGE, CHERYL	DO NOT SELL SECURITIES	40,896.52	-11.93	90.0	0.00	-10.74	0.00	-10.74
01/01/2018	#514148 GEORGE, DAVID	DO NOT SELL SECURITIES	14,660.88	-4.28	90.0	0.00	-3.85	0.00	-3.85
01/01/2018	#514069 HORNE, FRANCES	DO NOT SELL SECURITIES	79,057.62	-23.06	90.0	0.00	-20.75	0.00	-20.75
01/01/2018	#514318 HORNE, FRANCES	DO NOT SELL SECURITIES	42,908.14	-12.51	90.0	0.00	-11.26	0.00	-11.26
01/01/2018	#514175 MONTGOMERY, PATRICE	DO NOT SELL SECURITIES	3,979.00	-1.16	90.0	0.00	-1.04	0.00	-1.04
01/01/2018	#514285 PALMER TRUST,	DO NOT SELL SECURITIES	39,464.96	-11.51	90.0	0.00	-10.36	0.00	-10.36
01/01/2018	#514132 RAMSEUR, PATRICIA	DO NOT SELL SECURITIES	34,215.12	-9.98	90.0	0.00	-8.98	0.00	-8.98
01/01/2018	#514087 WALKER, JERRY	DO NOT SELL SECURITIES	101,295.72	-29.54	90.0	0.00	-26.59	0.00	-26.59
01/01/2018	#514203 WESTON, RAYMOND	DO NOT SELL SECURITIES	5,453.57	-1.59	90.0	0.00	-1.43	0.00	-1.43
01/01/2018	#514160 CARTER, JOANNE	LPCM AGGR 40/40/20	182,239.42	-88.08	90.0	0.00	-79.27	0.00	-79.27
01/01/2018	#514311 CARTER, IAN	LPCM AGGR 40/40/20	225,237.60	-108.86	90.0	0.00	-97.97	0.00	-97.97
01/01/2018	#531251 CARTER, JOANNE	LPCM AGGR 40/40/20	251,599.03	-121.61	90.0	0.00	-109.45	0.00	-109.45
01/01/2018	#514122 WESTON, RAYMOND	LPCM AGGR 40/40/20	10.81	-0.01	90.0	0.00	-0.01	0.00	-0.01
01/01/2018	#514199 KEENE, JEANIVIC	LPCM AGGR 40/40/20	69,741.30	-34.87	90.0	0.00	-31.38	0.00	-31.38
01/01/2018	#528098 GAY-ROBBINS, SEILA	LPCM AGGR 40/40/20	98,805.92	-49.40	90.0	0.00	-44.46	0.00	-44.46
01/01/2018	#529749 EDWARDS, ELLEN	LPCM AGGR 40/40/20	75,599.79	-37.80	90.0	0.00	-34.02	0.00	-34.02
01/01/2018	#529755 EDWARDS & ASSOCIATES	LPCM AGGR 40/40/20	30,863.54	-15.43	90.0	0.00	-13.89	0.00	-13.89
01/01/2018	#514122 WESTON, RAYMOND	LPCM AGGR 40/40/20	10.81	0.01	90.0	0.00	0.01	0.00	0.01
01/01/2018	#514160 CARTER, JOANNE	LPCM AGGR 40/40/20	182,239.42	182.24	90.0	0.00	164.02	0.00	164.02
01/01/2018	#514199 KEENE, JEANIVIC	LPCM AGGR 40/40/20	69,741.30	92.99	90.0	0.00	83.69	0.00	83.69
01/01/2018	#514311 CARTER, IAN	LPCM AGGR 40/40/20	225,237.60	225.24	90.0	0.00	202.72	0.00	202.72
01/01/2018	#528098 GAY-ROBBINS, SEILA	LPCM AGGR 40/40/20	98,805.92	131.74	90.0	0.00	118.57	0.00	118.57
01/01/2018	#529749 EDWARDS, ELLEN	LPCM AGGR 40/40/20	75,599.79	88.20	90.0	0.00	79.38	0.00	79.38
01/01/2018	#529755 EDWARDS & ASSOCIATES	LPCM AGGR 40/40/20	30,863.54	36.01	90.0	0.00	32.41	0.00	32.41

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/01/2018	#531251 CARTER, JOANNE	LPCM AGGR 40/40/20	251,599.03	230.63	90.0	0.00	207.57	0.00	207.57
01/01/2018	#514072 SIMMONS, SHARON	LPCM CONS 20/20/60	110,816.81	-55.41	90.0	0.00	-49.87	0.00	-49.87
01/01/2018	#514093 VENOSDEL, HELEN	LPCM CONS 20/20/60	135,696.85	-67.85	90.0	0.00	-61.07	0.00	-61.07
01/01/2018	#514108 PALMER TRUST,	LPCM CONS 20/20/60	37,172.40	-18.59	90.0	0.00	-16.73	0.00	-16.73
01/01/2018	#514122 WESTON, RAYMOND	LPCM CONS 20/20/60	28,568.73	-14.28	90.0	0.00	-12.85	0.00	-12.85
01/01/2018	#514151 GEORGE, CHERYL	LPCM CONS 20/20/60	66,570.18	-33.29	90.0	0.00	-29.96	0.00	-29.96
01/01/2018	#514200 WESTON, RAYMOND	LPCM CONS 20/20/60	62,668.38	-31.33	90.0	0.00	-28.20	0.00	-28.20
01/01/2018	#514203 WESTON, RAYMOND	LPCM CONS 20/20/60	60,414.94	-30.21	90.0	0.00	-27.19	0.00	-27.19
01/01/2018	#514285 PALMER TRUST,	LPCM CONS 20/20/60	64,885.53	-32.44	90.0	0.00	-29.20	0.00	-29.20
01/01/2018	#514295 POUNCEY, HARRY	LPCM CONS 20/20/60	21,563.30	-10.78	90.0	0.00	-9.70	0.00	-9.70
01/01/2018	#514331 ABLE, ERIC H WESTON	LPCM CONS 20/20/60	112,175.04	-56.09	90.0	0.00	-50.48	0.00	-50.48
01/01/2018	#529295 BJORNSEN, LONA	LPCM CONS 20/20/60	232,953.66	-116.48	90.0	0.00	-104.83	0.00	-104.83
01/01/2018	#514072 SIMMONS, SHARON	LPCM CONS 20/20/60	110,816.81	161.61	90.0	0.00	145.45	0.00	145.45
01/01/2018	#514093 VENOSDEL, HELEN	LPCM CONS 20/20/60	135,696.85	158.31	90.0	0.00	142.48	0.00	142.48
01/01/2018	#514108 PALMER TRUST,	LPCM CONS 20/20/60	37,172.40	49.57	90.0	0.00	44.61	0.00	44.61
01/01/2018	#514122 WESTON, RAYMOND	LPCM CONS 20/20/60	28,568.73	38.09	90.0	0.00	34.28	0.00	34.28
01/01/2018	#514151 GEORGE, CHERYL	LPCM CONS 20/20/60	66,570.18	77.67	90.0	0.00	69.90	0.00	69.90
01/01/2018	#514200 WESTON, RAYMOND	LPCM CONS 20/20/60	62,668.38	91.39	90.0	0.00	82.25	0.00	82.25
01/01/2018	#514203 WESTON, RAYMOND	LPCM CONS 20/20/60	60,414.94	88.10	90.0	0.00	79.29	0.00	79.29
01/01/2018	#514285 PALMER TRUST,	LPCM CONS 20/20/60	64,885.53	86.51	90.0	0.00	77.86	0.00	77.86
01/01/2018	#514295 POUNCEY, HARRY	LPCM CONS 20/20/60	21,563.30	32.35	90.0	0.00	29.12	0.00	29.12
01/01/2018	#514331 ABLE, ERIC H WESTON	LPCM CONS 20/20/60	112,175.04	149.57	90.0	0.00	134.61	0.00	134.61
01/01/2018	#529295 BJORNSEN, LONA	LPCM CONS 20/20/60	232,953.66	213.54	90.0	0.00	192.19	0.00	192.19
01/01/2018	#514069 HORNE, FRANCES	LPCM MOD 30/30/40	390,963.27	-159.64	90.0	0.00	-143.68	0.00	-143.68
01/01/2018	#514318 HORNE, FRANCES	LPCM MOD 30/30/40	475,850.82	-194.31	90.0	0.00	-174.88	0.00	-174.88
01/01/2018	#513049 HASTINGS, JOANNE	LPCM MOD 30/30/40	197,784.39	-95.60	90.0	0.00	-86.04	0.00	-86.04
01/01/2018	#513051 HASTINGS, JOHN	LPCM MOD 30/30/40	139,421.79	-67.39	90.0	0.00	-60.65	0.00	-60.65
01/01/2018	#514087 WALKER, JERRY	LPCM MOD 30/30/40	225,040.44	-108.77	90.0	0.00	-97.89	0.00	-97.89
01/01/2018	#514155 LAMBERT, INEZ	LPCM MOD 30/30/40	31,548.93	-15.25	90.0	0.00	-13.73	0.00	-13.73
01/01/2018	#514169 WALKER, SUSAN	LPCM MOD 30/30/40	12,765.86	-6.17	90.0	0.00	-5.55	0.00	-5.55
01/01/2018	#514198 LAMBERT, INEZ	LPCM MOD 30/30/40	163,802.93	-79.17	90.0	0.00	-71.25	0.00	-71.25
01/01/2018	#514260 WALKER, JERRY	LPCM MOD 30/30/40	139,650.87	-67.50	90.0	0.00	-60.75	0.00	-60.75
01/01/2018	#514274 WALKER, SUSAN	LPCM MOD 30/30/40	66,331.03	-32.06	90.0	0.00	-28.85	0.00	-28.85
01/01/2018	#514082 PORTER, FANNIE	LPCM MOD 30/30/40	134,744.31	-67.37	90.0	0.00	-60.63	0.00	-60.63
01/01/2018	#514132 RAMSEUR, PATRICIA	LPCM MOD 30/30/40	108,871.00	-54.44	90.0	0.00	-49.00	0.00	-49.00
01/01/2018	#514148 GEORGE, DAVID	LPCM MOD 30/30/40	116,596.31	-58.30	90.0	0.00	-52.47	0.00	-52.47

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/01/2018	#514175 MONTGOMERY, PATRICE	LPCM MOD 30/30/40	146,284.07	-73.14	90.0	0.00	-65.83	0.00	-65.83
01/01/2018	#514222 GEORGE, DAVID	LPCM MOD 30/30/40	39,392.66	-19.70	90.0	0.00	-17.73	0.00	-17.73
01/01/2018	#514250 POUNCEY, SONJA	LPCM MOD 30/30/40	96,884.98	-48.44	90.0	0.00	-43.60	0.00	-43.60
01/01/2018	#514258 EDWARDS, ELLEN	LPCM MOD 30/30/40	43,995.01	-22.00	90.0	0.00	-19.80	0.00	-19.80
01/01/2018	#514316 EDWARDS, ELLEN	LPCM MOD 30/30/40	72,926.98	-36.46	90.0	0.00	-32.81	0.00	-32.81
01/01/2018	#552860 POUNCEY, SONJA	LPCM MOD 30/30/40	101,792.16	-50.90	90.0	0.00	-45.81	0.00	-45.81
01/01/2018	#513049 HASTINGS, JOANNE	LPCM MOD 30/30/40	197,784.39	197.78	90.0	0.00	178.00	0.00	178.00
01/01/2018	#513051 HASTINGS, JOHN	LPCM MOD 30/30/40	139,421.79	139.42	90.0	0.00	125.48	0.00	125.48
01/01/2018	#514069 HORNE, FRANCES	LPCM MOD 30/30/40	390,963.27	358.38	90.0	0.00	322.54	0.00	322.54
01/01/2018	#514082 PORTER, FANNIE	LPCM MOD 30/30/40	134,744.31	123.52	90.0	0.00	111.17	0.00	111.17
01/01/2018	#514087 WALKER, JERRY	LPCM MOD 30/30/40	225,040.44	225.04	90.0	0.00	202.54	0.00	202.54
01/01/2018	#514132 RAMSEUR, PATRICIA	LPCM MOD 30/30/40	108,871.00	163.30	90.0	0.00	146.97	0.00	146.97
01/01/2018	#514148 GEORGE, DAVID	LPCM MOD 30/30/40	116,596.31	136.03	90.0	0.00	122.43	0.00	122.43
01/01/2018	#514155 LAMBERT, INEZ	LPCM MOD 30/30/40	31,548.93	36.81	90.0	0.00	33.13	0.00	33.13
01/01/2018	#514169 WALKER, SUSAN	LPCM MOD 30/30/40	12,765.86	12.77	90.0	0.00	11.49	0.00	11.49
01/01/2018	#514175 MONTGOMERY, PATRICE	LPCM MOD 30/30/40	146,284.07	170.66	90.0	0.00	153.59	0.00	153.59
01/01/2018	#514198 LAMBERT, INEZ	LPCM MOD 30/30/40	163,802.93	191.10	90.0	0.00	171.99	0.00	171.99
01/01/2018	#514222 GEORGE, DAVID	LPCM MOD 30/30/40	39,392.66	45.96	90.0	0.00	41.36	0.00	41.36
01/01/2018	#514250 POUNCEY, SONJA	LPCM MOD 30/30/40	96,884.98	88.81	90.0	0.00	79.93	0.00	79.93
01/01/2018	#514258 EDWARDS, ELLEN	LPCM MOD 30/30/40	43,995.01	51.33	90.0	0.00	46.20	0.00	46.20
01/01/2018	#514260 WALKER, JERRY	LPCM MOD 30/30/40	139,650.87	139.65	90.0	0.00	125.69	0.00	125.69
01/01/2018	#514274 WALKER, SUSAN	LPCM MOD 30/30/40	66,331.03	66.33	90.0	0.00	59.70	0.00	59.70
01/01/2018	#514316 EDWARDS, ELLEN	LPCM MOD 30/30/40	72,926.98	85.08	90.0	0.00	76.57	0.00	76.57
01/01/2018	#514318 HORNE, FRANCES	LPCM MOD 30/30/40	475,850.82	436.20	90.0	0.00	392.58	0.00	392.58
01/01/2018	#552860 POUNCEY, SONJA	LPCM MOD 30/30/40	101,792.16	118.76	90.0	0.00	106.88	0.00	106.88
01/01/2018	#568135 TCA JOHNSON VAR	LPCM ULTRA CONS 10/10/80	49,300.52	-24.65	90.0	0.00	-22.19	0.00	-22.19
01/01/2018	#568135 TCA JOHNSON VAR	LPCM ULTRA CONS 10/10/80	49,300.52	36.98	90.0	0.00	33.28	0.00	33.28
01/01/2018	#514072 SIMMONS, SHARON	TCA FEES	143,347.54	209.05	90.0	0.00	188.15	0.00	188.15
01/01/2018	#514093 VENOSDEL, HELEN	TCA FEES	173,533.46	202.46	90.0	0.00	182.21	0.00	182.21
01/01/2018	#514295 POUNCEY, HARRY	TCA FEES	27,362.33	41.04	90.0	0.00	36.94	0.00	36.94
01/01/2018	#514331 ABLE, ERIC H WESTON	TCA FEES	395.72	0.53	90.0	0.00	0.48	0.00	0.48
01/01/2018	#514108 PALMER TRUST,	TCA FEES	42,018.22	56.02	90.0	0.00	50.42	0.00	50.42
01/01/2018	#514122 WESTON, RAYMOND	TCA FEES	36,471.23	48.63	90.0	0.00	43.77	0.00	43.77
01/01/2018	#514151 GEORGE, CHERYL	TCA FEES	75,146.67	87.67	90.0	0.00	78.90	0.00	78.90
01/01/2018	#514200 WESTON, RAYMOND	TCA FEES	70,766.71	103.20	90.0	0.00	92.88	0.00	92.88
01/01/2018	#514203 WESTON, RAYMOND	TCA FEES	77,119.84	112.47	90.0	0.00	101.22	0.00	101.22

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/01/2018	#514285 PALMER TRUST,	TCA FEES	73,233.54	97.65	90.0	0.00	87.89	0.00	87.89
01/01/2018	#514331 ABLE, ERIC H WESTON	TCA FEES	126,383.25	168.51	90.0	0.00	151.66	0.00	151.66
01/01/2018	#529295 BJORNSEN, LONA	TCA FEES	262,560.43	240.68	90.0	0.00	216.61	0.00	216.61
01/01/2018	#513049 HASTINGS, JOANNE	TCA FEES	273,786.49	273.79	90.0	0.00	246.41	0.00	246.41
01/01/2018	#513051 HASTINGS, JOHN	TCA FEES	190,609.78	190.61	90.0	0.00	171.55	0.00	171.55
01/01/2018	#514069 HORNE, FRANCES	TCA FEES	542,799.73	497.57	90.0	0.00	447.81	0.00	447.81
01/01/2018	#514082 PORTER, FANNIE	TCA FEES	187,597.00	171.96	90.0	0.00	154.76	0.00	154.76
01/01/2018	#514087 WALKER, JERRY	TCA FEES	310,801.02	310.80	90.0	0.00	279.72	0.00	279.72
01/01/2018	#514132 RAMSEUR, PATRICIA	TCA FEES	151,551.40	227.33	90.0	0.00	204.60	0.00	204.60
01/01/2018	#514148 GEORGE, DAVID	TCA FEES	154,437.91	180.18	90.0	0.00	162.16	0.00	162.16
01/01/2018	#514155 LAMBERT, INEZ	TCA FEES	43,734.57	51.02	90.0	0.00	45.92	0.00	45.92
01/01/2018	#514169 WALKER, SUSAN	TCA FEES	17,615.71	17.61	90.0	0.00	15.85	0.00	15.85
01/01/2018	#514175 MONTGOMERY, PATRICE	TCA FEES	204,964.15	239.13	90.0	0.00	215.22	0.00	215.22
01/01/2018	#514198 LAMBERT, INEZ	TCA FEES	225,057.41	262.57	90.0	0.00	236.31	0.00	236.31
01/01/2018	#514222 GEORGE, DAVID	TCA FEES	54,015.84	63.02	90.0	0.00	56.72	0.00	56.72
01/01/2018	#514250 POUNCEY, SONJA	TCA FEES	133,012.15	121.93	90.0	0.00	109.74	0.00	109.74
01/01/2018	#514258 EDWARDS, ELLEN	TCA FEES	60,530.64	70.62	90.0	0.00	63.56	0.00	63.56
01/01/2018	#514260 WALKER, JERRY	TCA FEES	170,535.79	170.54	90.0	0.00	153.49	0.00	153.49
01/01/2018	#514274 WALKER, SUSAN	TCA FEES	90,724.41	90.73	90.0	0.00	81.66	0.00	81.66
01/01/2018	#514316 EDWARDS, ELLEN	TCA FEES	100,310.04	117.03	90.0	0.00	105.33	0.00	105.33
01/01/2018	#514318 HORNE, FRANCES	TCA FEES	645,716.89	591.90	90.0	0.00	532.71	0.00	532.71
01/01/2018	#552860 POUNCEY, SONJA	TCA FEES	149,262.58	174.14	90.0	0.00	156.73	0.00	156.73
01/01/2018	#514108 PALMER TRUST,	TCA FEES	351.32	0.47	90.0	0.00	0.42	0.00	0.42
01/01/2018	#514122 WESTON, RAYMOND	TCA FEES	179.55	0.24	90.0	0.00	0.22	0.00	0.22
01/01/2018	#514160 CARTER, JOANNE	TCA FEES	210,310.94	210.31	90.0	0.00	189.28	0.00	189.28
01/01/2018	#514199 KEENE, JEANIVIC	TCA FEES	80,910.34	107.88	90.0	0.00	97.09	0.00	97.09
01/01/2018	#514311 CARTER, IAN	TCA FEES	260,727.50	260.73	90.0	0.00	234.66	0.00	234.66
01/01/2018	#528098 GAY-ROBBINS, SEILA	TCA FEES	114,280.69	152.38	90.0	0.00	137.14	0.00	137.14
01/01/2018	#529295 BJORNSEN, LONA	TCA FEES	1,360.40	1.25	90.0	0.00	1.13	0.00	1.13
01/01/2018	#529749 EDWARDS, ELLEN	TCA FEES	87,459.12	102.04	90.0	0.00	91.84	0.00	91.84
01/01/2018	#529755 EDWARDS & ASSOCIATES	TCA FEES	35,725.73	41.68	90.0	0.00	37.51	0.00	37.51
01/01/2018	#531251 CARTER, JOANNE	TCA FEES	290,999.68	266.75	90.0	0.00	240.08	0.00	240.08
01/01/2018	#514311 CARTER, IAN	TCA PLATFORM FEE	100,197.93	-29.22	90.0	0.00	-26.30	0.00	-26.30
01/01/2018	#514093 VENOSDEL, HELEN	TCA PLATFORM FEE	451.53	-0.13	90.0	0.00	-0.12	0.00	-0.12
01/01/2018	#630932 TCA JOHNSON VAR	TCA PLATFORM FEE	14,996.52	-4.37	90.0	0.00	-3.93	0.00	-3.93
01/01/2018	#514108 PALMER TRUST,	VL AGGRESSIVE	351.32	-0.10	90.0	0.00	-0.09	0.00	-0.09

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/01/2018	#514122 WESTON, RAYMOND	VL AGGRESSIVE	179.55	-0.05	90.0	0.00	-0.05	0.00	-0.05
01/01/2018	#514160 CARTER, JOANNE	VL AGGRESSIVE	210,310.94	-61.34	90.0	0.00	-55.21	0.00	-55.21
01/01/2018	#514199 KEENE, JEANIVIC	VL AGGRESSIVE	80,910.34	-23.60	90.0	0.00	-21.24	0.00	-21.24
01/01/2018	#514311 CARTER, IAN	VL AGGRESSIVE	260,727.50	-76.05	90.0	0.00	-68.45	0.00	-68.45
01/01/2018	#528098 GAY-ROBBINS, SEILA	VL AGGRESSIVE	114,280.69	-33.33	90.0	0.00	-30.00	0.00	-30.00
01/01/2018	#529295 BJORNSEN, LONA	VL AGGRESSIVE	1,360.40	-0.40	90.0	0.00	-0.36	0.00	-0.36
01/01/2018	#529749 EDWARDS, ELLEN	VL AGGRESSIVE	87,459.12	-25.51	90.0	0.00	-22.96	0.00	-22.96
01/01/2018	#529755 EDWARDS & ASSOCIATES	VL AGGRESSIVE	35,725.73	-10.42	90.0	0.00	-9.38	0.00	-9.38
01/01/2018	#531251 CARTER, JOANNE	VL AGGRESSIVE	290,999.68	-84.87	90.0	0.00	-76.38	0.00	-76.38
01/01/2018	#514108 PALMER TRUST,	VL CONSERV	42,018.22	-12.26	90.0	0.00	-11.03	0.00	-11.03
01/01/2018	#514122 WESTON, RAYMOND	VL CONSERV	36,471.23	-10.64	90.0	0.00	-9.58	0.00	-9.58
01/01/2018	#514151 GEORGE, CHERYL	VL CONSERV	75,146.67	-21.92	90.0	0.00	-19.73	0.00	-19.73
01/01/2018	#514200 WESTON, RAYMOND	VL CONSERV	70,766.71	-20.64	90.0	0.00	-18.58	0.00	-18.58
01/01/2018	#514203 WESTON, RAYMOND	VL CONSERV	77,119.84	-22.49	90.0	0.00	-20.24	0.00	-20.24
01/01/2018	#514285 PALMER TRUST,	VL CONSERV	73,233.54	-21.36	90.0	0.00	-19.22	0.00	-19.22
01/01/2018	#514331 ABLE, ERIC H WESTON	VL CONSERV	126,383.25	-36.86	90.0	0.00	-33.17	0.00	-33.17
01/01/2018	#529295 BJORNSEN, LONA	VL CONSERV	262,560.43	-76.58	90.0	0.00	-68.92	0.00	-68.92
01/01/2018	#514072 SIMMONS, SHARON	VL INCOME	143,347.54	-41.81	90.0	0.00	-37.63	0.00	-37.63
01/01/2018	#514093 VENOSDEL, HELEN	VL INCOME	173,533.46	-50.61	90.0	0.00	-45.55	0.00	-45.55
01/01/2018	#514295 POUNCEY, HARRY	VL INCOME	27,362.33	-7.98	90.0	0.00	-7.18	0.00	-7.18
01/01/2018	#514331 ABLE, ERIC H WESTON	VL INCOME	395.72	-0.12	90.0	0.00	-0.11	0.00	-0.11
01/01/2018	#513049 HASTINGS, JOANNE	VL MODERATE	273,786.49	-79.85	90.0	0.00	-71.87	0.00	-71.87
01/01/2018	#513051 HASTINGS, JOHN	VL MODERATE	190,609.78	-55.59	90.0	0.00	-50.03	0.00	-50.03
01/01/2018	#514069 HORNE, FRANCES	VL MODERATE	542,799.73	-158.32	90.0	0.00	-142.49	0.00	-142.49
01/01/2018	#514082 PORTER, FANNIE	VL MODERATE	187,597.00	-54.72	90.0	0.00	-49.25	0.00	-49.25
01/01/2018	#514087 WALKER, JERRY	VL MODERATE	310,801.02	-90.65	90.0	0.00	-81.59	0.00	-81.59
01/01/2018	#514132 RAMSEUR, PATRICIA	VL MODERATE	151,551.40	-44.20	90.0	0.00	-39.78	0.00	-39.78
01/01/2018	#514148 GEORGE, DAVID	VL MODERATE	154,437.91	-45.04	90.0	0.00	-40.54	0.00	-40.54
01/01/2018	#514155 LAMBERT, INEZ	VL MODERATE	43,734.57	-12.76	90.0	0.00	-11.48	0.00	-11.48
01/01/2018	#514169 WALKER, SUSAN	VL MODERATE	17,615.71	-5.14	90.0	0.00	-4.63	0.00	-4.63
01/01/2018	#514175 MONTGOMERY, PATRICE	VL MODERATE	204,964.15	-59.78	90.0	0.00	-53.80	0.00	-53.80
01/01/2018	#514198 LAMBERT, INEZ	VL MODERATE	225,057.41	-65.64	90.0	0.00	-59.08	0.00	-59.08
01/01/2018	#514222 GEORGE, DAVID	VL MODERATE	54,015.84	-15.75	90.0	0.00	-14.18	0.00	-14.18
01/01/2018	#514250 POUNCEY, SONJA	VL MODERATE	133,012.15	-38.80	90.0	0.00	-34.92	0.00	-34.92
01/01/2018	#514258 EDWARDS, ELLEN	VL MODERATE	60,530.64	-17.65	90.0	0.00	-15.89	0.00	-15.89
01/01/2018	#514260 WALKER, JERRY	VL MODERATE	170,535.79	-49.74	90.0	0.00	-44.77	0.00	-44.77

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: RIA									
01/01/2018	#514274 WALKER, SUSAN	VL MODERATE	90,724.41	-26.46	90.0	0.00	-23.81	0.00	-23.81
01/01/2018	#514316 EDWARDS, ELLEN	VL MODERATE	100,310.04	-29.26	90.0	0.00	-26.33	0.00	-26.33
01/01/2018	#514318 HORNE, FRANCES	VL MODERATE	645,716.89	-188.33	90.0	0.00	-169.50	0.00	-169.50
01/01/2018	#552860 POUNCEY, SONJA	VL MODERATE	149,262.58	-43.53	90.0	0.00	-39.18	0.00	-39.18
* RIA SUBTOTAL *			\$21,813,841.58	\$7,141.45		\$0.00	\$6,427.32	\$0.00	\$6,427.32
*** BROKER TRANSACTIONS TOTAL ***			\$21,813,841.58	\$7,141.45		\$0.00	\$6,427.32	\$0.00	\$6,427.32

ADJUSTMENTS

01/20/2018	BETA BACK OFFICE: ADMIN FEE								-320.00
01/20/2018	E & O INSURANCE: E & O INSURANCE								-179.00
*** ADJUSTMENTS TOTAL ***									\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$6,427.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00
Check Amount	\$5,928.32