

All Companies
STATEMENT
June 20, 2018

MICHAEL WISE

BROKER #: 279
BRANCH: LA BRANCH

, SC 29464-

COMMISSION STATEMENT for MICHAEL WISE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
04/30/2018	#00089299903	BYRNE-STEE	AMERICAN BALANCED FUND INC CL C	B	59.10	0.59	0.00	0.00	0.59	91.0 0.54
04/30/2018	#00089299903	BYRNE-STEE	AMERICAN BALANCED FUND INC CL C	B	59.10	0.59	0.00	0.00	0.59	91.0 0.54
04/30/2018	#00089098820	ROPER, LEIGH	BOND FUND AMER INC CL C	B	7.15	0.07	0.00	0.00	0.07	91.0 0.06
04/30/2018	#00089098820	ROPER, LEIGH	BOND FUND AMER INC CL C	B	7.15	0.07	0.00	0.00	0.07	91.0 0.06
04/30/2018	#00089102273	WILLIAMSLE	CAPITAL INCOME BLDR FUND CL C	B	71.16	0.71	0.00	0.00	0.71	91.0 0.65
04/30/2018	#00089102273	WILLIAMSLE	CAPITAL INCOME BLDR FUND CL C	B	71.16	0.71	0.00	0.00	0.71	91.0 0.65
04/30/2018	#00089098820	ROPER, LEIGH	GROWTH AND INCOME PORFOLIO	B	14.30	0.14	0.00	0.00	0.14	91.0 0.13
04/30/2018	#00089098820	ROPER, LEIGH	GROWTH AND INCOME PORFOLIO	B	14.30	0.14	0.00	0.00	0.14	91.0 0.13
04/30/2018	#00089098820	ROPER, LEIGH	GROWTH FUND AMER INC CL C	B	14.31	0.14	0.00	0.00	0.14	91.0 0.13
04/30/2018	#00089098820	ROPER, LEIGH	GROWTH FUND AMER INC CL C	B	14.31	0.14	0.00	0.00	0.14	91.0 0.13
04/30/2018	#00089098820	ROPER, LEIGH	INCOME FUND AMER INC CL C	B	35.77	0.36	0.00	0.00	0.36	91.0 0.33
04/30/2018	#00089098820	ROPER, LEIGH	INCOME FUND AMER INC CL C	B	35.77	0.36	0.00	0.00	0.36	91.0 0.33
04/30/2018	#00089098695	BECKROGEAN	INCOME PORTFOLIO-C	B	120.00	1.20	0.00	0.00	1.20	91.0 1.09
04/30/2018	#00089098695	BECKROGEAN	INCOME PORTFOLIO-C	B	61.97	0.62	0.00	0.00	0.62	91.0 0.56
04/30/2018	#00089098778	MORE, KAREN	INCOME PORTFOLIO-C	B	35.64	0.36	0.00	0.00	0.36	91.0 0.33
04/30/2018	#00089098778	MORE, KAREN	INCOME PORTFOLIO-C	B	35.64	0.36	0.00	0.00	0.36	91.0 0.33
04/30/2018	#00089098811	GRIGGSMARI	INCOME PORTFOLIO-C	B	60.76	0.61	0.00	0.00	0.61	91.0 0.56
04/30/2018	#00089098811	GRIGGSMARI	INCOME PORTFOLIO-C	B	60.76	0.61	0.00	0.00	0.61	91.0 0.56
04/30/2018	#00089299903	BYRNE-STEE	INCOME PORTFOLIO-C	B	59.09	0.59	0.00	0.00	0.59	91.0 0.54
04/30/2018	#00089299903	BYRNE-STEE	INCOME PORTFOLIO-C	B	59.09	0.59	0.00	0.00	0.59	91.0 0.54
04/30/2018	#00089098695	BECKROGEAN	PRESERVATION PORTFOLIO-C	B	80.00	0.80	0.00	0.00	0.80	91.0 0.73
04/30/2018	#00089098695	BECKROGEAN	PRESERVATION PORTFOLIO-C	B	41.31	0.41	0.00	0.00	0.41	91.0 0.37
04/30/2018	#00089098717	RHODESPAME	PRESERVATION PORTFOLIO-C	B	93.11	0.93	0.00	0.00	0.93	91.0 0.85
04/30/2018	#00089098717	RHODESPAME	PRESERVATION PORTFOLIO-C	B	93.11	0.93	0.00	0.00	0.93	91.0 0.85
04/30/2018	#00089098778	MORE, KAREN	PRESERVATION PORTFOLIO-C	B	15.27	0.15	0.00	0.00	0.15	91.0 0.14
04/30/2018	#00089098778	MORE, KAREN	PRESERVATION PORTFOLIO-C	B	15.27	0.15	0.00	0.00	0.15	91.0 0.14
05/15/2018	#00086892691	THOMASONCA	CAPITAL INCOME BLDR FUND CL C	B	150.00	1.50	0.00	0.00	1.50	91.0 1.37
05/15/2018	#00086892691	THOMASONCA	INCOME FUND AMER INC CL C	B	150.00	1.50	0.00	0.00	1.50	91.0 1.37
05/15/2018	#00086892691	THOMASONCA	INCOME PORTFOLIO-C	B	100.00	1.00	0.00	0.00	1.00	91.0 0.91
05/15/2018	#00086892691	THOMASONCA	PRESERVATION PORTFOLIO-C	B	100.00	1.00	0.00	0.00	1.00	91.0 0.91
05/31/2018	#00089299903	BYRNE-STEE	AMERICAN BALANCED FUND INC CL C	B	59.10	0.59	0.00	0.00	0.59	91.0 0.54

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
05/31/2018	#00089299903	BYRNE-STEE	AMERICAN BALANCED FUND INC CL C	B	59.10	0.59	0.00	0.00	0.59	91.0 0.54
05/31/2018	#00089098820	ROPER, LEIGH	BOND FUND AMER INC CL C	B	7.15	0.07	0.00	0.00	0.07	91.0 0.06
05/31/2018	#00089098820	ROPER, LEIGH	BOND FUND AMER INC CL C	B	7.15	0.07	0.00	0.00	0.07	91.0 0.06
05/31/2018	#00089102273	WILLIAMSLE	CAPITAL INCOME BLDR FUND CL C	B	57.28	0.57	0.00	0.00	0.57	91.0 0.52
05/31/2018	#00089102273	WILLIAMSLE	CAPITAL INCOME BLDR FUND CL C	B	57.28	0.57	0.00	0.00	0.57	91.0 0.52
05/31/2018	#00089098820	ROPER, LEIGH	GROWTH AND INCOME PORFOLIO	B	14.30	0.14	0.00	0.00	0.14	91.0 0.13
05/31/2018	#00089098820	ROPER, LEIGH	GROWTH AND INCOME PORFOLIO	B	14.30	0.14	0.00	0.00	0.14	91.0 0.13
05/31/2018	#00089098820	ROPER, LEIGH	GROWTH FUND AMER INC CL C	B	14.31	0.14	0.00	0.00	0.14	91.0 0.13
05/31/2018	#00089098820	ROPER, LEIGH	GROWTH FUND AMER INC CL C	B	14.31	0.14	0.00	0.00	0.14	91.0 0.13
05/31/2018	#00089098820	ROPER, LEIGH	INCOME FUND AMER INC CL C	B	35.77	0.36	0.00	0.00	0.36	91.0 0.33
05/31/2018	#00089098820	ROPER, LEIGH	INCOME FUND AMER INC CL C	B	35.77	0.36	0.00	0.00	0.36	91.0 0.33
05/31/2018	#00089098695	BECKROGEAN	INCOME PORTFOLIO-C	B	120.00	1.20	0.00	0.00	1.20	91.0 1.09
05/31/2018	#00089098695	BECKROGEAN	INCOME PORTFOLIO-C	B	61.97	0.62	0.00	0.00	0.62	91.0 0.56
05/31/2018	#00089098778	MORE, KAREN	INCOME PORTFOLIO-C	B	37.21	0.37	0.00	0.00	0.37	91.0 0.34
05/31/2018	#00089098778	MORE, KAREN	INCOME PORTFOLIO-C	B	37.21	0.37	0.00	0.00	0.37	91.0 0.34
05/31/2018	#00089098811	GRIGGSMARI	INCOME PORTFOLIO-C	B	47.13	0.47	0.00	0.00	0.47	91.0 0.43
05/31/2018	#00089098811	GRIGGSMARI	INCOME PORTFOLIO-C	B	47.13	0.47	0.00	0.00	0.47	91.0 0.43
05/31/2018	#00089299903	BYRNE-STEE	INCOME PORTFOLIO-C	B	59.09	0.59	0.00	0.00	0.59	91.0 0.54
05/31/2018	#00089299903	BYRNE-STEE	INCOME PORTFOLIO-C	B	59.09	0.59	0.00	0.00	0.59	91.0 0.54
05/31/2018	#00089098695	BECKROGEAN	PRESERVATION PORTFOLIO-C	B	80.00	0.80	0.00	0.00	0.80	91.0 0.73
05/31/2018	#00089098695	BECKROGEAN	PRESERVATION PORTFOLIO-C	B	41.31	0.41	0.00	0.00	0.41	91.0 0.37
05/31/2018	#00089098717	RHODESPAME	PRESERVATION PORTFOLIO-C	B	93.11	0.93	0.00	0.00	0.93	91.0 0.85
05/31/2018	#00089098717	RHODESPAME	PRESERVATION PORTFOLIO-C	B	93.11	0.93	0.00	0.00	0.93	91.0 0.85
05/31/2018	#00089098778	MORE, KAREN	PRESERVATION PORTFOLIO-C	B	15.95	0.16	0.00	0.00	0.16	91.0 0.15
05/31/2018	#00089098778	MORE, KAREN	PRESERVATION PORTFOLIO-C	B	15.95	0.16	0.00	0.00	0.16	91.0 0.15
* MUTUAL FUNDS SUBTOTAL *					\$2,918.68	\$29.14	\$0.00	\$0.00	\$29.14	\$26.62
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
05/07/2018	#00656191574		FIDELITY ADV GOVERNMENT INCOME-CL A B		0.00	17.49	0.00	0.00	17.49	91.0 15.92
05/07/2018	#00656191574		FIDELITY ADVISOR HIGH INCOME ADVANT B		0.00	24.32	0.00	0.00	24.32	91.0 22.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$41.81	\$0.00	\$0.00	\$41.81	\$38.05
*** BROKER TRANSACTIONS TOTAL ***					\$2,918.68	\$70.95	\$0.00	\$0.00	\$70.95	\$64.67

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

06/20/2018		ADMIN FEE: ADMIN FEE								-320.00
06/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
*** ADJUSTMENTS TOTAL ***										<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$64.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-434.33</u>
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