



Concorde Investment Services, LLC

03/13/2018

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COMMISSION STATEMENT

March 15, 2018

DAVID MIKE, B132 BREEDLOVE
1024 Pruitt Place

BROKER #: B132
BRANCH: DAVID MICHAEL BREEDL

Tyler, TX 75703

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: INTERVAL FUNDS								
02/08/2018	LADNER TRUST	BR TOTAL INC & REAL ESTATE FUND A	286.71	14.34	90.0	12.91	0.00	12.91
02/27/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND A	4,566.14	0.97	90.0	0.87	0.00	0.87
02/27/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND A	25,037.82	5.32	90.0	4.79	0.00	4.79
02/27/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND A	168,643.08	35.81	90.0	32.23	0.00	32.23
02/27/2018	CALDWELL, KIT	BR TOTAL INC & REAL ESTATE FUND A	94,325.81	20.03	90.0	18.03	0.00	18.03
02/27/2018	LABARBA TRUST, CHERYL	BR TOTAL INC & REAL ESTATE FUND A	45,167.06	9.59	90.0	8.63	0.00	8.63
02/27/2018	CANNON, COLE	BR TOTAL INC & REAL ESTATE FUND A	41,123.44	2.39	90.0	2.15	0.00	2.15
02/27/2018	CANNON, CASSIE	BR TOTAL INC & REAL ESTATE FUND A	11,237.44	2.39	90.0	2.15	0.00	2.15
02/27/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND A	27,859.45	5.92	90.0	5.33	0.00	5.33
02/27/2018	LPO-JBO LLC	BR TOTAL INC & REAL ESTATE FUND A	6,652.56	1.41	90.0	1.27	0.00	1.27
02/27/2018	LPO-LCO LLC	BR TOTAL INC & REAL ESTATE FUND A	6,722.54	1.43	90.0	1.29	0.00	1.29
02/27/2018	HOWARD, JOHN	BR TOTAL INC & REAL ESTATE FUND A	12,628.82	2.68	90.0	2.41	0.00	2.41
02/27/2018	HOWARD, JOHN	BR TOTAL INC & REAL ESTATE FUND A	48,757.74	10.35	90.0	9.32	0.00	9.32
02/27/2018	CAPEL, JIMMY	BR TOTAL INC & REAL ESTATE FUND A	68,380.62	14.52	90.0	13.07	0.00	13.07
02/27/2018	LADNER, JULIE	BR TOTAL INC & REAL ESTATE FUND A	10,845.58	2.30	90.0	2.07	0.00	2.07
02/27/2018	GHRIST, TIMOTHY	BR TOTAL INC & REAL ESTATE FUND A	14,737.88	3.13	90.0	2.82	0.00	2.82
02/27/2018	GHRIST, ANTOINETTE	BR TOTAL INC & REAL ESTATE FUND A	7,971.11	1.69	90.0	1.52	0.00	1.52
02/27/2018	GHRIST, TIMOTHY	BR TOTAL INC & REAL ESTATE FUND A	19,751.22	4.19	90.0	3.77	0.00	3.77
02/27/2018	BRYANT, JOCELYN	BR TOTAL INC & REAL ESTATE FUND A	69,820.97	14.83	90.0	13.35	0.00	13.35
02/27/2018	YOJOHN INVESTMENTS	BR TOTAL INC & REAL ESTATE FUND A	91,296.45	19.38	90.0	17.44	0.00	17.44
02/27/2018	LADNER TRUST	BR TOTAL INC & REAL ESTATE FUND A	71,972.03	15.28	90.0	13.75	0.00	13.75
01/31/2018	MKB INVESTMENTS LTD	RESOURCE R E DIVERSIFIED INC FUND	24,514.11	5.21	90.0	4.69	0.00	4.69
* INTERVAL FUNDS SUBTOTAL *			\$872,298.58	\$193.16		\$173.86	\$0.00	\$173.86

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	BREEDLOVE, TOMMYE	JNL ELITE ACCESS W/ LIQUIDITY	4,218.73	3.52	90.0	3.17	0.00	3.17
02/21/2018	MICHIELS, RICHARD	JNL PERSPECTIVE II 04/14	60,000.00	4,200.00	90.0	3,780.00	0.00	3,780.00
02/28/2018	SPEED, LINDA	JNL PERSPECTIVE II 05/05	32,698.50	27.25	90.0	24.53	0.00	24.53
* VARIABLE ANNUITIES SUBTOTAL *			\$96,917.23	\$4,230.77		\$3,807.70	\$0.00	\$3,807.70
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	MCANINCH, LYNSEY	AM FUNDS AMERICAN MUTUAL 529C	0.00	0.80	90.0	0.72	0.00	0.72
03/01/2018	MASONIC LODGE #785, BULLARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.54	90.0	6.79	0.00	6.79
03/01/2018	MCANINCH, LYNSEY	AM FUNDS GROWTH FUND OF AMERICA	0.00	0.89	90.0	0.80	0.00	0.80
03/01/2018	HENDERSON, SUE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	45.91	90.0	41.32	0.00	41.32
03/01/2018	MILLER, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.80	90.0	7.92	0.00	7.92
03/01/2018	MILLER, FOSTER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.38	90.0	8.44	0.00	8.44
03/01/2018	SPERRY JR, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.22	90.0	0.20	0.00	0.20
03/01/2018	HENDERSON, SUE	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.18	90.0	10.96	0.00	10.96
03/01/2018	MCANINCH, LYNSEY	AM FUNDS WASHINGTON MUTUAL	0.00	0.83	90.0	0.75	0.00	0.75
03/01/2018	MCANINCH, LYNSEY	AMCAP FUND-529C	0.00	0.83	90.0	0.75	0.00	0.75
02/15/2018	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	2.21	90.0	1.99	0.00	1.99
/ /	KENNEDY, EVELYN	FIDELITY ENERGY T	0.00	1.79	90.0	1.61	0.00	1.61
/ /	KENNEDY, EVELYN	FIDELITY EQUITY GROWTH T	0.00	7.84	90.0	7.06	0.00	7.06
02/27/2018	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	4.59	90.0	4.13	0.00	4.13
02/27/2018	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	12.82	90.0	11.54	0.00	11.54
02/27/2018	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	0.00	1.83	90.0	1.65	0.00	1.65
02/27/2018	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	23.71	90.0	21.34	0.00	21.34
02/27/2018	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	76.67	90.0	69.00	0.00	69.00
02/27/2018	CAPEL TRUST, NARLENE FORTENB	PIMCO INCOME FUND CLASS A	0.00	21.78	90.0	19.60	0.00	19.60
02/27/2018	CAPEL, BETTYE	PIMCO INCOME FUND CLASS A	0.00	16.81	90.0	15.13	0.00	15.13
02/27/2018	LADNER TRUST	PIMCO INCOME FUND CLASS A	0.00	68.36	90.0	61.52	0.00	61.52
02/27/2018	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	2.11	90.0	1.90	0.00	1.90
02/27/2018	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	5.98	90.0	5.38	0.00	5.38
02/27/2018	LADNER TRUST	PIMCO INVESTMENT GRADE CORP BOND A	0.00	44.78	90.0	40.30	0.00	40.30
02/27/2018	SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	1.97	90.0	1.77	0.00	1.77
02/27/2018	SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	5.70	90.0	5.13	0.00	5.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$386.33		\$347.70	\$0.00	\$347.70

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
ADJUSTMENTS								
*** DIRECT TRANSACTIONS TOTAL ***			\$969,215.81	\$4,810.26		\$4,329.26	\$0.00	\$4,329.26
ADJUSTMENTS								
03/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
03/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
03/15/2018		*CONCIERGE SVC: 210406 GPK PLLC	0.00					-50.00
03/15/2018		*CONCIERGE SVC: 210502 GPK PLLC	0.00					-50.00
03/15/2018		*CONCIERGE SVC: 210832 FITTZ	0.00					-50.00
03/15/2018		*E & O INSURANCE: CURRENT MONTH	0.00					-300.00
03/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
03/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
03/15/2018		*MARKETING PRO: PRO USER	0.00					-45.00
03/15/2018		*TECHNOLOGY: ADMIN - KAY BREEDLOVE	0.00					-130.00
03/15/2018		*TECHNOLOGY: ADMIN - KENT	0.00					-130.00
03/15/2018		*TECHNOLOGY: ADMIN - R WILLIAMS	0.00					-130.00
03/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-1,181.00	\$0.00	\$-1,181.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$4,329.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,181.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,148.26
Year-to-date Gross	\$11,209.30
Year-to-date Earnings	\$10,088.52