

All Companies
STATEMENT
April 20, 2018

RONALD CRISP
5211 E ECHO PINES CIRCLE E

BROKER #: 121
BRANCH:

FT Pierce, FL 34951

COMMISSION STATEMENT for RONALD CRISP

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/08/0018	#4667465	DUBERSTEIN, CAROL	BASIC MATERIALS-SVC	0.00	0.01	93.0	0.00	0.01	0.01
02/08/0018	#4667465	DUBERSTEIN, CAROL	BASIC MATERIALS-SVC	0.00	0.04	93.0	0.00	0.04	0.04
02/08/0018	#4667465	DUBERSTEIN, CAROL	BULL-SVC	0.00	0.34	93.0	0.00	0.32	0.32
02/08/0018	#4667465	DUBERSTEIN, CAROL	BULL-SVC	0.00	1.01	93.0	0.00	0.94	0.94
02/08/0018	#4667465	DUBERSTEIN, CAROL	CONSUMER GOODS - SVC	0.00	0.03	93.0	0.00	0.03	0.03
02/08/0018	#4667465	DUBERSTEIN, CAROL	CONSUMER GOODS - SVC	0.00	0.09	93.0	0.00	0.08	0.08
02/08/0018	#4667465	DUBERSTEIN, CAROL	FINANCIALS - SVC	0.00	0.31	93.0	0.00	0.29	0.29
02/08/0018	#4667465	DUBERSTEIN, CAROL	FINANCIALS - SVC	0.00	0.94	93.0	0.00	0.87	0.87
02/08/0018	#4667465	DUBERSTEIN, CAROL	INDUSTRIALS - SVC	0.00	0.02	93.0	0.00	0.02	0.02
02/08/0018	#4667465	DUBERSTEIN, CAROL	INDUSTRIALS - SVC	0.00	0.05	93.0	0.00	0.05	0.05
02/08/0018	#4667465	DUBERSTEIN, CAROL	LARGE-CAP GROWTH-SVC	0.00	0.34	93.0	0.00	0.32	0.32
02/08/0018	#4667465	DUBERSTEIN, CAROL	LARGE-CAP GROWTH-SVC	0.00	1.01	93.0	0.00	0.94	0.94
02/08/0018	#4667465	DUBERSTEIN, CAROL	MID-CAP-SVC	0.00	0.33	93.0	0.00	0.31	0.31
02/08/0018	#4667465	DUBERSTEIN, CAROL	MID-CAP-SVC	0.00	1.00	93.0	0.00	0.93	0.93
02/08/0018	#4667465	DUBERSTEIN, CAROL	MONEY MARKET-SVC	0.00	1.61	93.0	0.00	1.50	1.50
02/08/0018	#4667465	DUBERSTEIN, CAROL	PROFUND	0.00	0.33	93.0	0.00	0.31	0.31
02/08/0018	#4667465	DUBERSTEIN, CAROL	PROFUND	0.00	1.00	93.0	0.00	0.93	0.93
02/08/0018	#4667465	DUBERSTEIN, CAROL	SMALL-CAP VALUE-SVC	0.00	0.31	93.0	0.00	0.29	0.29
02/08/0018	#4667465	DUBERSTEIN, CAROL	SMALL-CAP VALUE-SVC	0.00	0.94	93.0	0.00	0.87	0.87
02/08/0018	#4667465	DUBERSTEIN, CAROL	TECHNOLOGY-SVC	0.00	0.03	93.0	0.00	0.03	0.03
02/08/0018	#4667465	DUBERSTEIN, CAROL	TECHNOLOGY-SVC	0.00	0.09	93.0	0.00	0.08	0.08
02/08/0018	#4667465	DUBERSTEIN, CAROL	ULTRABULL-SVC	0.00	0.03	93.0	0.00	0.03	0.03
02/08/0018	#4667465	DUBERSTEIN, CAROL	ULTRABULL-SVC	0.00	0.09	93.0	0.00	0.08	0.08
03/02/2018	#1003036234	DUBERSTEIN,	GUGGENHEIM RYDEX	0.00	4.89	93.0	0.00	4.55	4.55
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$14.84		\$0.00	\$13.82	\$0.00	\$13.82

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
02/08/0018	#000571733 COLE, LINDA	PRUDETIAL TRAILS	0.00	8.37	93.0	0.00	7.78	0.00	7.78
02/08/0018	#000571733 COLE, LINDA	PRUDETIAL TRAILS	0.00	33.48	93.0	0.00	31.14	0.00	31.14
02/08/0018	#000673039 COLE, LINDA	PRUDETIAL TRAILS	0.00	0.75	93.0	0.00	0.70	0.00	0.70
02/08/0018	#000673039 COLE, LINDA	PRUDETIAL TRAILS	0.00	0.75	93.0	0.00	0.70	0.00	0.70
02/08/0018	#E0488489 PARDO, HENRY	PRUDETIAL TRAILS	0.00	17.01	93.0	0.00	15.82	0.00	15.82
02/08/0018	#E0488489 PARDO, HENRY	PRUDETIAL TRAILS	0.00	68.05	93.0	0.00	63.29	0.00	63.29
03/09/0018	#2030031985 CHALMERS, MARK	SECURITY BEENFIT SBGTL	0.00	31.78	93.0	0.00	29.56	0.00	29.56
03/09/0018	#2030032776 WOLF, CHARLOTTE	SECURITY BEENFIT SBGTL	0.00	88.26	93.0	0.00	82.08	0.00	82.08
03/09/0018	#2030032381 RUBINSKI, JANICE	SECURITY BEENFIT SBGTL	0.00	95.35	93.0	0.00	88.68	0.00	88.68
03/09/0018	#2030024482 CLIENT:	SECURITY BEENFIT SBGTL	0.00	15.45	93.0	0.00	14.37	0.00	14.37
03/09/0018	#2030032356 DEHAAS, NICHOLAS	SECURITY BEENFIT SBGTL	0.00	11.01	93.0	0.00	10.24	0.00	10.24
03/09/0018	#2030024557 PARDO, BETTY	SECURITY BEENFIT SBGTL	0.00	20.87	93.0	0.00	19.41	0.00	19.41
03/09/0018	#2030024466 DUBERSTEIN,	SECURITY BEENFIT SBGTL	0.00	33.48	93.0	0.00	31.14	0.00	31.14
03/09/0018	#2030032788 DEHAAS, NICHOLAS	SECURITY BEENFIT SBGTL	0.00	8.67	93.0	0.00	8.06	0.00	8.06
03/09/0018	#2030032737 WOLF, JEROME	SECURITY BEENFIT SBGTL	0.00	296.40	93.0	0.00	275.65	0.00	275.65
03/09/0018	#2030031984 NICHOLS,	SECURITY BEENFIT SBGTL	0.00	69.22	93.0	0.00	64.37	0.00	64.37
03/09/0018	#2030024573 PARDO, HENRY	SECURITY BEENFIT SBGTL	0.00	7.40	93.0	0.00	6.88	0.00	6.88
03/09/0018	#2030024574 PARDO, BETTY	SECURITY BEENFIT SBGTL	0.00	10.96	93.0	0.00	10.19	0.00	10.19
03/09/0018	#2030032647 CHOATE BROWNE,	SECURITY BEENFIT SBGTL	0.00	44.77	93.0	0.00	41.64	0.00	41.64
* VARIABLE ANNUITIES SUBTOTAL *			<u>\$0.00</u>	<u>\$862.03</u>		<u>\$0.00</u>	<u>\$801.70</u>	<u>\$0.00</u>	<u>\$801.70</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$876.87</u>		<u>\$0.00</u>	<u>\$815.52</u>	<u>\$0.00</u>	<u>\$815.52</u>

ADJUSTMENTS

04/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
04/20/2018	CRD FEES: CRD FEES FINRA CE TRACKED	-55.00
	WEB FEE S201	
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
*** ADJUSTMENTS TOTAL ***		<u>\$-769.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$815.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-769.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$46.52
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