



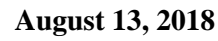
COMMISSION STATEMENT

August 13, 2018

MICHAEL PINEDA
2068 N Emerson St
Denver, CO 80205

BROKER #: B14
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
08/08/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	MGM RESORTS INTERNATIONAL BUY 50 Units @29.4550	1,472.75	27.50	16.00	11.50	75.0	8.63
08/08/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	MGM RESORTS INTERNATIONAL BUY 100 Units @29.4550	2,945.50	54.00	2.00	52.00	75.0	39.00
08/08/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	TILRAY INC COM USD0.0001 CLASS 2 BUY 100 Units @25.1000	2,510.00	54.00	17.00	37.00	75.0	27.75
08/08/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	TILRAY INC COM USD0.0001 CLASS 2 BUY 25 Units @25.1000	627.50	13.75	0.50	13.25	75.0	9.94
08/09/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	YELP INC SELL 25 Units @47.8310	1,195.78	49.83	15.50	34.33	75.0	25.75
08/09/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	YELP INC SELL 100 Units @47.8310	4,783.10	17.92	2.00	15.92	75.0	11.94
* STOCKS SUBTOTAL *			\$13,534.63	\$217.00	\$53.00	\$164.00		\$123.01
<u>PRODUCT CATEGORY: OPTIONS</u>								
08/09/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	CALL (AAPL) APPLE INC JAN 18 19 \$20 SELL 2 Units @1725.0000	3,450.00	72.00	20.00	52.00	75.0	39.00
08/09/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	CALL (AAPL) APPLE INC JAN 18 19 \$20 SELL 4 Units @1725.0000	6,900.00	16.00	4.00	12.00	75.0	9.00
* OPTIONS SUBTOTAL *			\$10,350.00	\$88.00	\$24.00	\$64.00		\$48.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
08/04/2018	***-**-1595 - ASMUS, BETTY ACCOUNT #: P89D1501542	AMERICAN GENERAL VA TRAILS 00139970 BUY 0 Units @0.0000	0.00	357.44	0.00	357.44	75.0	268.08
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$357.44	\$0.00	\$357.44		\$268.08
*** BROKER TRANSACTIONS TOTAL ***			\$23,884.63	\$662.44	\$77.00	\$585.44		\$439.09



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$439.09
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$439.09
						Year-to-date Earnings		\$31,697.00