

COMMISSION STATEMENT

June 15, 2018

RANDY SMITH-D061

BROKER #: D061

BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/21/2018 (None)	GARTIN, ROBERT	MF	OPPENHEIMER	B	100.00	3.00	0.00	3.00	50.0	1.50
05/21/2018 (None)	GARTIN, ROBERT	MF	OPPENHEIMER	B	100.00	3.00	0.00	3.00	50.0	1.50
06/07/2018 00058011489	FOSTER, EMILIE	MF	CAPITAL WORLD GROWTH AND INCOME	B	4,789.35	95.79	0.00	95.79	50.0	47.90
06/04/2018 00068681036	MURPHY, DEBORAH	MF	THE NEW ECONOMY FUND-A	B	75.00	3.19	0.00	3.19	50.0	1.60
06/04/2018 00068681036	MURPHY, DEBORAH	MF	WASHINGTON MUTUAL INVESTORS	B	75.00	3.19	0.00	3.19	50.0	1.60
06/07/2018 00084512960	GIBBS, KENDRA	MF	CAPITAL WORLD GROWTH AND INCOME	B	170.00	6.38	0.00	6.38	50.0	3.19
06/07/2018 00084512960	GIBBS, KENDRA	MF	NEW PERSPECTIVE FUND-A	B	165.00	6.19	0.00	6.19	50.0	3.10
06/07/2018 00084512960	GIBBS, KENDRA	MF	THE GROWTH FUND OF AMERICA-A	B	165.00	6.19	0.00	6.19	50.0	3.10
05/30/2018 00086507215	TRAPP, ANDREA	MF	AMERICAN BALANCED FUND-A	B	100.00	3.75	0.00	3.75	50.0	1.88
05/29/2018 04000163744	GARZA, ALEXANDER	MF	AMERICAN BALANCED FUND-A	B	100.00	5.00	0.00	5.00	50.0	2.50
05/29/2018 04000163744	GARZA, ALEXANDER	MF	FUNDAMENTAL INVESTORS-A	B	50.00	2.50	0.00	2.50	50.0	1.25
05/29/2018 04000163744	GARZA, ALEXANDER	MF	NEW PERSPECTIVE FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
* MUTUAL FUNDS SUBTOTAL *					\$5,939.35	\$140.68	\$0.00	\$140.68		\$70.37

PRODUCT CATEGORY: VARIABLE ANNUITIES

05/31/2018 009062915A	BROWN, SARAH	VA	PERSPECTIVE	B	124,159.25	25.87	0.00	25.87	50.0	12.94
05/31/2018 1009661472	BAHAN, MARGARET	VA	PERSPECTIVE II	B	127,523.23	79.70	0.00	79.70	50.0	39.85
05/31/2018 1009737665	BARICKMAN,	VA	PERSPECTIVE II	B	110,050.67	68.78	0.00	68.78	50.0	34.39
05/31/2018 1013950608	BURNETT, PATRICIA	VA	PERSP II 09/12	B	1,171,580.10	976.32	0.00	976.32	50.0	488.16
05/31/2018 1015646752	DORER, VALORIE	VA	PERSP II 04/14	B	68,241.61	56.87	0.00	56.87	50.0	28.44
05/31/2018 1015845666	HOGAN, REBECCA	VA	PERSP II 04/14	B	59,567.95	49.64	0.00	49.64	50.0	24.82
05/31/2018 1016104708	SCHROEDER, RICHARD	VA	PERSP II 04/14	B	247,811.22	206.51	0.00	206.51	50.0	103.26
* VARIABLE ANNUITIES SUBTOTAL *					\$1,908,934.03	\$1,463.69	\$0.00	\$1,463.69		\$731.86

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/31/2018 (None)	COUVILLION, TARI	MFT	VIRTUS	B	81,404.36	17.28	0.00	17.28	50.0	8.64
06/01/2018 00051535797	GRONAU, LOIS	MFT	THE INVESTMENT COMPANY OF	B	0.00	276.49	0.00	276.49	50.0	138.25
06/01/2018 00056562473	SHARRY, JANICE	MFT	THE INVESTMENT COMPANY OF	B	0.00	49.89	0.00	49.89	50.0	24.95
06/01/2018 00057286427	MARIE, VONDAINE	MFT	THE INVESTMENT COMPANY OF	B	0.00	72.53	0.00	72.53	50.0	36.27
06/01/2018 00057477734	STEVEN COUVILLION	MFT	THE INVESTMENT COMPANY OF	B	0.00	391.10	0.00	391.10	50.0	195.55
06/01/2018 00057956480	COOK/, DOROTHY	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	285.98	0.00	285.98	50.0	142.99
06/01/2018 00058011489	FOSTER, EMILIE	MFT	AMERICAN BALANCED FUND-A	B	0.00	45.58	0.00	45.58	50.0	22.79

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 00058011489	FOSTER, EMILIE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	24.85	0.00	24.85	50.0	12.43
06/01/2018 00058011489	FOSTER, EMILIE	MFT THE INVESTMENT COMPANY OF	B	0.00	134.07	0.00	134.07	50.0	67.04
06/01/2018 00058029569	FOSTER, JAMES	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	20.78	0.00	20.78	50.0	10.39
06/01/2018 00058370608	AYRES, JUDITH	MFT AMERICAN BALANCED FUND-A	B	0.00	44.42	0.00	44.42	50.0	22.21
06/01/2018 00058370608	AYRES, JUDITH	MFT AMERICAN MUTUAL FUND-A	B	0.00	22.31	0.00	22.31	50.0	11.16
06/01/2018 00058370608	AYRES, JUDITH	MFT THE INCOME FUND OF AMERICA-A	B	0.00	4.16	0.00	4.16	50.0	2.08
06/01/2018 00058370608	AYRES, JUDITH	MFT THE INVESTMENT COMPANY OF	B	0.00	21.07	0.00	21.07	50.0	10.54
06/01/2018 00058495090	HIRTRITER, RONALD	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.70	0.00	7.70	50.0	3.85
06/01/2018 00058555261	SCOTT/SEP,	MFT THE INVESTMENT COMPANY OF	B	0.00	69.82	0.00	69.82	50.0	34.91
06/01/2018 00058556957	ECKHARDT,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.41	0.00	3.41	50.0	1.71
06/01/2018 00058819051	LOUGHNEY, LYNN	MFT AMCAP FUND-A	B	0.00	25.02	0.00	25.02	50.0	12.51
06/01/2018 00058819051	LOUGHNEY, LYNN	MFT AMERICAN MUTUAL FUND-A	B	0.00	29.30	0.00	29.30	50.0	14.65
06/01/2018 00058819051	LOUGHNEY, LYNN	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	17.65	0.00	17.65	50.0	8.83
06/01/2018 00058819051	LOUGHNEY, LYNN	MFT INTERMEDIATE BOND FUND OF	B	0.00	12.15	0.00	12.15	50.0	6.08
06/01/2018 00058819051	LOUGHNEY, LYNN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	46.74	0.00	46.74	50.0	23.37
06/01/2018 00058819051	LOUGHNEY, LYNN	MFT THE INVESTMENT COMPANY OF	B	0.00	32.46	0.00	32.46	50.0	16.23
06/01/2018 00058868203	LOUGHNEY, LYNN	MFT INTERMEDIATE BOND FUND OF	B	0.00	2.42	0.00	2.42	50.0	1.21
06/01/2018 00059010070	PAGEL, PATRICK	MFT INTERMEDIATE BOND FUND OF	B	0.00	46.40	0.00	46.40	50.0	23.20
06/01/2018 00059057270	SCOTT, STEPHEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	14.25	0.00	14.25	50.0	7.13
06/01/2018 00059057270	SCOTT, STEPHEN	MFT THE BOND FUND OF AMERICA-A	B	0.00	7.04	0.00	7.04	50.0	3.52
06/01/2018 00059297125	LOZA, SAN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.44	0.00	5.44	50.0	2.72
06/01/2018 00059297125	LOZA, SAN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.20	0.00	3.20	50.0	1.60
06/01/2018 00059297129	RODRIGUEZ, ESTHER	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	68.56	0.00	68.56	50.0	34.28
06/01/2018 00059644509	GOODYKE, MARIANNE	MFT THE INVESTMENT COMPANY OF	B	0.00	0.09	0.00	0.09	50.0	0.05
06/01/2018 00059647505	GOODYKE, MICHAEL	MFT THE INVESTMENT COMPANY OF	B	0.00	4.65	0.00	4.65	50.0	2.33
06/01/2018 00059767191	LYNN M LOUGHNEY,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	12.33	0.00	12.33	50.0	6.17
06/01/2018 00059776072	LOUGHNEY, LYNN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	30.83	0.00	30.83	50.0	15.42
06/01/2018 00059888908	HARDY, AMY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.44	0.00	0.44	50.0	0.22
06/01/2018 00059969179	AYERS, JUDITH	MFT AMERICAN BALANCED FUND-A	B	0.00	66.01	0.00	66.01	50.0	33.01
06/01/2018 00059969179	AYERS, JUDITH	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.16	0.00	7.16	50.0	3.58
06/01/2018 00059969179	AYERS, JUDITH	MFT SMALLCAP WORLD FUND-A	B	0.00	3.58	0.00	3.58	50.0	1.79
06/01/2018 00059969179	AYERS, JUDITH	MFT THE INVESTMENT COMPANY OF	B	0.00	29.33	0.00	29.33	50.0	14.67
06/01/2018 00060000409	HOUSER, JAMES	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.30	0.00	0.30	50.0	0.15
06/01/2018 00060632617	GARY, ROY	MFT AMCAP FUND-A	B	0.00	28.36	0.00	28.36	50.0	14.18
06/01/2018 00060632617	GARY, ROY	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	30.02	0.00	30.02	50.0	15.01
06/01/2018 00060632617	GARY, ROY	MFT NEW PERSPECTIVE FUND-A	B	0.00	38.67	0.00	38.67	50.0	19.34
06/01/2018 00060632617	GARY, ROY	MFT NEW WORLD FUND-A	B	0.00	16.43	0.00	16.43	50.0	8.22
06/01/2018 00060632617	GARY, ROY	MFT SMALLCAP WORLD FUND-A	B	0.00	18.52	0.00	18.52	50.0	9.26
06/01/2018 00060632617	GARY, ROY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	31.17	0.00	31.17	50.0	15.59
06/01/2018 00060632617	GARY, ROY	MFT THE NEW ECONOMY FUND-A	B	0.00	34.17	0.00	34.17	50.0	17.09
06/01/2018 00060768585	ESCOBEDO, XAVIER	MFT NEW PERSPECTIVE FUND-A	B	0.00	26.80	0.00	26.80	50.0	13.40
06/01/2018 00061219219	HOUSER, JAMES	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.38	0.00	6.38	50.0	3.19
06/01/2018 00061417142	FRITZSCHING,	MFT THE INVESTMENT COMPANY OF	B	0.00	71.49	0.00	71.49	50.0	35.75
06/01/2018 00061417143	FRITZSCHING,	MFT THE INVESTMENT COMPANY OF	B	0.00	8.46	0.00	8.46	50.0	4.23

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06/01/2018 00061621017	WHITLEY, LAURA	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.71	0.00	3.71	50.0	1.86
06/01/2018 00061621017	WHITLEY, LAURA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.62	0.00	3.62	50.0	1.81
06/01/2018 00061621017	WHITLEY, LAURA	MFT THE NEW ECONOMY FUND-A	B	0.00	3.33	0.00	3.33	50.0	1.67
06/01/2018 00061621017	WHITLEY, LAURA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.63	0.00	3.63	50.0	1.82
06/01/2018 00062159512	TEPERA, MICHAEL	MFT THE INVESTMENT COMPANY OF	B	0.00	58.30	0.00	58.30	50.0	29.15
06/01/2018 00062640284	MORGAN, WILBERT	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	39.94	0.00	39.94	50.0	19.97
06/01/2018 00062640284	MORGAN, WILBERT	MFT FUNDAMENTAL INVESTORS-A	B	0.00	49.41	0.00	49.41	50.0	24.71
06/01/2018 00062896578	WILLIAM GARY TEST	MFT AMCAP FUND-A	B	0.00	32.05	0.00	32.05	50.0	16.03
06/01/2018 00062896578	WILLIAM GARY TEST	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	35.40	0.00	35.40	50.0	17.70
06/01/2018 00062896578	WILLIAM GARY TEST	MFT NEW PERSPECTIVE FUND-A	B	0.00	44.90	0.00	44.90	50.0	22.45
06/01/2018 00062896578	WILLIAM GARY TEST	MFT SMALLCAP WORLD FUND-A	B	0.00	22.17	0.00	22.17	50.0	11.09
06/01/2018 00062896578	WILLIAM GARY TEST	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	36.83	0.00	36.83	50.0	18.42
06/01/2018 00062896578	WILLIAM GARY TEST	MFT THE NEW ECONOMY FUND-A	B	0.00	40.02	0.00	40.02	50.0	20.01
06/01/2018 00063624031	MCCOY, MELVIN	MFT THE INVESTMENT COMPANY OF	B	0.00	42.77	0.00	42.77	50.0	21.39
06/01/2018 00063624031	MCCOY, MELVIN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	37.47	0.00	37.47	50.0	18.74
06/01/2018 00063624032	MCCOY, SHERYL	MFT THE INVESTMENT COMPANY OF	B	0.00	31.36	0.00	31.36	50.0	15.68
06/01/2018 00063963036	JAUER, DAVID	MFT NEW PERSPECTIVE FUND-A	B	0.00	72.56	0.00	72.56	50.0	36.28
06/01/2018 00064064938	COUVILLION, TARI	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	135.21	0.00	135.21	50.0	67.61
06/01/2018 00064158647	MOSELEY, BRENDA	MFT THE INVESTMENT COMPANY OF	B	0.00	3.80	0.00	3.80	50.0	1.90
06/01/2018 00064158652	GREENLEE, DAVID	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	2.17	0.00	2.17	50.0	1.09
06/01/2018 00064158652	GREENLEE, DAVID	MFT THE INVESTMENT COMPANY OF	B	0.00	1.57	0.00	1.57	50.0	0.79
06/01/2018 00064158653	ESPINAL, ANGEL	MFT THE BOND FUND OF AMERICA-A	B	0.00	5.39	0.00	5.39	50.0	2.70
06/01/2018 00064158653	ESPINAL, ANGEL	MFT THE INVESTMENT COMPANY OF	B	0.00	9.61	0.00	9.61	50.0	4.81
06/01/2018 00064310970	WHITLEY, LAURA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	10.23	0.00	10.23	50.0	5.12
06/01/2018 00064310970	WHITLEY, LAURA	MFT NEW PERSPECTIVE FUND-A	B	0.00	9.93	0.00	9.93	50.0	4.97
06/01/2018 00064310970	WHITLEY, LAURA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	10.57	0.00	10.57	50.0	5.29
06/01/2018 00064310970	WHITLEY, LAURA	MFT THE NEW ECONOMY FUND-A	B	0.00	8.84	0.00	8.84	50.0	4.42
06/01/2018 00064569143	WARREN/AUTH PER,	MFT AMERICAN BALANCED FUND-A	B	0.00	2.10	0.00	2.10	50.0	1.05
06/01/2018 00064569144	WARREN/AUTH PER,	MFT AMERICAN BALANCED FUND-A	B	0.00	0.83	0.00	0.83	50.0	0.42
06/01/2018 00064569145	WARREN/AUTH PER,	MFT AMERICAN BALANCED FUND-A	B	0.00	2.10	0.00	2.10	50.0	1.05
06/01/2018 00064577790	PERRY, HUDSON	MFT THE INVESTMENT COMPANY OF	B	0.00	5.38	0.00	5.38	50.0	2.69
06/01/2018 00064580604	COOK, LESLIE	MFT AMERICAN BALANCED FUND-A	B	0.00	34.19	0.00	34.19	50.0	17.10
06/01/2018 00064580604	COOK, LESLIE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	32.09	0.00	32.09	50.0	16.05
06/01/2018 00064580604	COOK, LESLIE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	34.88	0.00	34.88	50.0	17.44
06/01/2018 00064611549	WARREN, AARON	MFT AMERICAN BALANCED FUND-529A	B	0.00	5.95	0.00	5.95	50.0	2.98
06/01/2018 00064718463	FRITZSCHING,	MFT SMALLCAP WORLD FUND-A	B	0.00	6.24	0.00	6.24	50.0	3.12
06/01/2018 00066701434	FLORES, SYLVIA	MFT AMERICAN BALANCED FUND-A	B	0.00	11.71	0.00	11.71	50.0	5.86
06/01/2018 00066701434	FLORES, SYLVIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	11.37	0.00	11.37	50.0	5.69
06/01/2018 00066701434	FLORES, SYLVIA	MFT GLOBAL BALANCED FUND-A	B	0.00	10.02	0.00	10.02	50.0	5.01
06/01/2018 00066906434	MCDERMOTT, JULIE	MFT AMERICAN BALANCED FUND-A	B	0.00	0.64	0.00	0.64	50.0	0.32
06/01/2018 00066906434	MCDERMOTT, JULIE	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.44	0.00	0.44	50.0	0.22
06/01/2018 00066906434	MCDERMOTT, JULIE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.72	0.00	0.72	50.0	0.36
06/01/2018 00066945230	DOOLEY, DEBBIE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.23	0.00	0.23	50.0	0.12
06/01/2018 00066990824	KATELYN Q	MFT AF U.S. GOVERNMENT MONEY	B	0.00	0.11	0.00	0.11	50.0	0.06

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06/01/2018 00066990827	WYATT WETZ	MFT AF U.S. GOVERNMENT MONEY	B	0.00	4.08	0.00	4.08	50.0	2.04
06/01/2018 00067005200	WARREN, AARON	MFT AMERICAN BALANCED FUND-529A	B	0.00	4.09	0.00	4.09	50.0	2.05
06/01/2018 00067005201	WARREN, AARON	MFT AMERICAN BALANCED FUND-529A	B	0.00	5.38	0.00	5.38	50.0	2.69
06/01/2018 00067066632	SMITH, KELLY	MFT AMERICAN BALANCED FUND-A	B	0.00	44.63	0.00	44.63	50.0	22.32
06/01/2018 00067066632	SMITH, KELLY	MFT AMERICAN MUTUAL FUND-A	B	0.00	45.46	0.00	45.46	50.0	22.73
06/01/2018 00067135270	LEROY, JOHN	MFT AMCAP FUND-A	B	0.00	1.64	0.00	1.64	50.0	0.82
06/01/2018 00067135270	LEROY, JOHN	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.82	0.00	0.82	50.0	0.41
06/01/2018 00067135270	LEROY, JOHN	MFT NEW WORLD FUND-A	B	0.00	0.82	0.00	0.82	50.0	0.41
06/01/2018 00067135270	LEROY, JOHN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.37	0.00	3.37	50.0	1.69
06/01/2018 00067189548	WARREN, JANET	MFT AMERICAN MUTUAL FUND-A	B	0.00	31.45	0.00	31.45	50.0	15.73
06/01/2018 00067529494	ABBOTT, BEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	77.19	0.00	77.19	50.0	38.60
06/01/2018 00067529494	ABBOTT, BEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	43.99	0.00	43.99	50.0	22.00
06/01/2018 00068260462	DENNEY, MAX	MFT AMERICAN BALANCED FUND-A	B	0.00	1.58	0.00	1.58	50.0	0.79
06/01/2018 00068260464	DENNEY, JANET	MFT AMERICAN BALANCED FUND-A	B	0.00	1.58	0.00	1.58	50.0	0.79
06/01/2018 00068490442	CURTIS, KALA	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.81	0.00	2.81	50.0	1.41
06/01/2018 00068535297	EVELYN V	MFT AMERICAN BALANCED FUND-A	B	0.00	2.02	0.00	2.02	50.0	1.01
06/01/2018 00068621361	WILLIAM S GOODWIN	MFT AF U.S. GOVERNMENT MONEY	B	0.00	1.97	0.00	1.97	50.0	0.99
06/01/2018 00068646232	ROBERT O SOSA	MFT AMERICAN BALANCED FUND-A	B	0.00	2.19	0.00	2.19	50.0	1.10
06/01/2018 00068681036	MURPHY, DEBORAH	MFT THE NEW ECONOMY FUND-A	B	0.00	9.39	0.00	9.39	50.0	4.70
06/01/2018 00068681036	MURPHY, DEBORAH	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	13.69	0.00	13.69	50.0	6.85
06/01/2018 00068737884	SOSA, ROBERT	MFT AMERICAN BALANCED FUND-A	B	0.00	3.20	0.00	3.20	50.0	1.60
06/01/2018 00068901527	COUVILLION, TARI	MFT NEW PERSPECTIVE FUND-A	B	0.00	13.70	0.00	13.70	50.0	6.85
06/01/2018 00069215720	PAUL J COLLAS	MFT AMERICAN BALANCED FUND-A	B	0.00	56.15	0.00	56.15	50.0	28.08
06/01/2018 00069215720	PAUL J COLLAS	MFT CAPITAL INCOME BUILDER-A	B	0.00	23.84	0.00	23.84	50.0	11.92
06/01/2018 00069215720	PAUL J COLLAS	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	27.48	0.00	27.48	50.0	13.74
06/01/2018 00069215720	PAUL J COLLAS	MFT FUNDAMENTAL INVESTORS-A	B	0.00	12.89	0.00	12.89	50.0	6.45
06/01/2018 00069234227	CRAWFORD, MATTHEW	MFT SMALLCAP WORLD FUND-529A	B	0.00	4.84	0.00	4.84	50.0	2.42
06/01/2018 00069246256	MORGAN R WETZ	MFT AF U.S. GOVERNMENT MONEY	B	0.00	1.78	0.00	1.78	50.0	0.89
06/01/2018 00073034040	TOBEL, SUSAN	MFT AMERICAN BALANCED FUND-A	B	0.00	20.93	0.00	20.93	50.0	10.47
06/01/2018 00073034040	TOBEL, SUSAN	MFT AMERICAN MUTUAL FUND-A	B	0.00	18.25	0.00	18.25	50.0	9.13
06/01/2018 00073034040	TOBEL, SUSAN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	20.30	0.00	20.30	50.0	10.15
06/01/2018 00073034040	TOBEL, SUSAN	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.67	0.00	2.67	50.0	1.34
06/01/2018 00073034061	TOBEL, LEE	MFT AMERICAN BALANCED FUND-A	B	0.00	19.63	0.00	19.63	50.0	9.82
06/01/2018 00073034061	TOBEL, LEE	MFT AMERICAN MUTUAL FUND-A	B	0.00	16.89	0.00	16.89	50.0	8.45
06/01/2018 00073034061	TOBEL, LEE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	18.59	0.00	18.59	50.0	9.30
06/01/2018 00073034061	TOBEL, LEE	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.67	0.00	2.67	50.0	1.34
06/01/2018 00073326535	CANDAPPA, AGATHA	MFT THE INVESTMENT COMPANY OF	B	0.00	5.42	0.00	5.42	50.0	2.71
06/01/2018 00073706753	MCKINNEY, SUSAN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.38	0.00	16.38	50.0	8.19
06/01/2018 00073711472	MARTINEZ, TIMOTHY	MFT THE INVESTMENT COMPANY OF	B	0.00	4.83	0.00	4.83	50.0	2.42
06/01/2018 00073785876	COLLAS, PAUL	MFT AMERICAN BALANCED FUND-A	B	0.00	98.87	0.00	98.87	50.0	49.44
06/01/2018 00073785876	COLLAS, PAUL	MFT AMERICAN MUTUAL FUND-A	B	0.00	4.91	0.00	4.91	50.0	2.46
06/01/2018 00073785876	COLLAS, PAUL	MFT CAPITAL INCOME BUILDER-A	B	0.00	28.02	0.00	28.02	50.0	14.01
06/01/2018 00073785876	COLLAS, PAUL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	32.27	0.00	32.27	50.0	16.14
06/01/2018 00073816729	COLLAS, PAUL	MFT CAPITAL INCOME BUILDER-A	B	0.00	15.64	0.00	15.64	50.0	7.82

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 00073816729	COLLAS, PAUL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	5.12	0.00	5.12	50.0	2.56
06/01/2018 00074045050	ABBOTT, BEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	13.89	0.00	13.89	50.0	6.95
06/01/2018 00074045050	ABBOTT, BEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.91	0.00	7.91	50.0	3.96
06/01/2018 00074082720	DO, PHU	MFT AMCAP FUND-A	B	0.00	3.37	0.00	3.37	50.0	1.69
06/01/2018 00074082720	DO, PHU	MFT AMERICAN BALANCED FUND-A	B	0.00	2.80	0.00	2.80	50.0	1.40
06/01/2018 00074082720	DO, PHU	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	2.59	0.00	2.59	50.0	1.30
06/01/2018 00074082720	DO, PHU	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.89	0.00	1.89	50.0	0.95
06/01/2018 00074082736	DO, ANGELIQUE	MFT AMCAP FUND-A	B	0.00	0.52	0.00	0.52	50.0	0.26
06/01/2018 00074082736	DO, ANGELIQUE	MFT AMERICAN BALANCED FUND-A	B	0.00	0.58	0.00	0.58	50.0	0.29
06/01/2018 00074082736	DO, ANGELIQUE	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.54	0.00	0.54	50.0	0.27
06/01/2018 00074082736	DO, ANGELIQUE	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.39	0.00	0.39	50.0	0.20
06/01/2018 00074333460	BRANNIES, KEN	MFT AMERICAN BALANCED FUND-A	B	0.00	163.18	0.00	163.18	50.0	81.59
06/01/2018 00074333460	BRANNIES, KEN	MFT CAPITAL INCOME BUILDER-A	B	0.00	157.48	0.00	157.48	50.0	78.74
06/01/2018 00074333460	BRANNIES, KEN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	110.68	0.00	110.68	50.0	55.34
06/01/2018 00074333460	BRANNIES, KEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	49.90	0.00	49.90	50.0	24.95
06/01/2018 00074333460	BRANNIES, KEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	65.17	0.00	65.17	50.0	32.59
06/01/2018 00074333545	HASTINGS, DANA	MFT AMERICAN BALANCED FUND-A	B	0.00	81.49	0.00	81.49	50.0	40.75
06/01/2018 00074343789	NICHOLS, LARHEA	MFT AMERICAN BALANCED FUND-F1	B	0.00	7.27	0.00	7.27	50.0	3.64
06/01/2018 00074343789	NICHOLS, LARHEA	MFT CAPITAL WORLD GROWTH AND	B	0.00	7.55	0.00	7.55	50.0	3.78
06/01/2018 00074343789	NICHOLS, LARHEA	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	9.12	0.00	9.12	50.0	4.56
06/01/2018 00074343789	NICHOLS, LARHEA	MFT THE INVESTMENT COMPANY OF	B	0.00	8.05	0.00	8.05	50.0	4.03
06/01/2018 00074344968	PIERPONT, JERRY	MFT AMERICAN BALANCED FUND-A	B	0.00	113.00	0.00	113.00	50.0	56.50
06/01/2018 00074344968	PIERPONT, JERRY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	90.91	0.00	90.91	50.0	45.46
06/01/2018 00074344968	PIERPONT, JERRY	MFT NEW PERSPECTIVE FUND-A	B	0.00	91.66	0.00	91.66	50.0	45.83
06/01/2018 00074344968	PIERPONT, JERRY	MFT SMALLCAP WORLD FUND-A	B	0.00	67.25	0.00	67.25	50.0	33.63
06/01/2018 00074344968	PIERPONT, JERRY	MFT THE NEW ECONOMY FUND-A	B	0.00	69.73	0.00	69.73	50.0	34.87
06/01/2018 00074344968	PIERPONT, JERRY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	65.84	0.00	65.84	50.0	32.92
06/01/2018 00074456694	RODRIGUEZ, JASON	MFT AMCAP FUND-A	B	0.00	0.56	0.00	0.56	50.0	0.28
06/01/2018 00074456694	RODRIGUEZ, JASON	MFT AMERICAN BALANCED FUND-A	B	0.00	1.51	0.00	1.51	50.0	0.76
06/01/2018 00074456694	RODRIGUEZ, JASON	MFT NEW WORLD FUND-A	B	0.00	0.38	0.00	0.38	50.0	0.19
06/01/2018 00074516217	MONROY, INGRID	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	19.86	0.00	19.86	50.0	9.93
06/01/2018 00074516217	MONROY, INGRID	MFT NEW PERSPECTIVE FUND-A	B	0.00	21.88	0.00	21.88	50.0	10.94
06/01/2018 00074516217	MONROY, INGRID	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	36.60	0.00	36.60	50.0	18.30
06/01/2018 00074516217	MONROY, INGRID	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	21.26	0.00	21.26	50.0	10.63
06/01/2018 00074530161	UNIV OF TX HEALTH	MFT AMERICAN BALANCED FUND-A	B	0.00	0.74	0.00	0.74	50.0	0.37
06/01/2018 00074530161	UNIV OF TX HEALTH	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.75	0.00	0.75	50.0	0.38
06/01/2018 00074530161	UNIV OF TX HEALTH	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.92	0.00	0.92	50.0	0.46
06/01/2018 00074530161	UNIV OF TX HEALTH	MFT THE INVESTMENT COMPANY OF	B	0.00	0.66	0.00	0.66	50.0	0.33
06/01/2018 00074530209	UNIV OF TX HEALTH	MFT AMERICAN BALANCED FUND-A	B	0.00	143.70	0.00	143.70	50.0	71.85
06/01/2018 00074530209	UNIV OF TX HEALTH	MFT AMERICAN MUTUAL FUND-A	B	0.00	143.47	0.00	143.47	50.0	71.74
06/01/2018 00074530209	UNIV OF TX HEALTH	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	168.33	0.00	168.33	50.0	84.17
06/01/2018 00074530209	UNIV OF TX HEALTH	MFT THE INVESTMENT COMPANY OF	B	0.00	168.11	0.00	168.11	50.0	84.06
06/01/2018 00074836998	GAMEZ, ARNOLDO	MFT AMERICAN BALANCED FUND-A	B	0.00	0.59	0.00	0.59	50.0	0.30
06/01/2018 00074890994	MICHAEL L	MFT AMERICAN BALANCED FUND-A	B	0.00	15.70	0.00	15.70	50.0	7.85

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 00074956449	CLOPTON, GENE	MFT AMERICAN BALANCED FUND-A	B	0.00	20.99	0.00	20.99	50.0	10.50
06/01/2018 00074956449	CLOPTON, GENE	MFT AMERICAN MUTUAL FUND-A	B	0.00	9.30	0.00	9.30	50.0	4.65
06/01/2018 00074995555	WARREN, AARON	MFT AMERICAN BALANCED FUND-529A	B	0.00	4.71	0.00	4.71	50.0	2.36
06/01/2018 00074995590	WARREN, AARON	MFT AMERICAN BALANCED FUND-529A	B	0.00	4.71	0.00	4.71	50.0	2.36
06/01/2018 00075189236	WALKER, JOHN	MFT AMERICAN BALANCED FUND-A	B	0.00	53.79	0.00	53.79	50.0	26.90
06/01/2018 00075189236	WALKER, JOHN	MFT CAPITAL INCOME BUILDER-A	B	0.00	27.58	0.00	27.58	50.0	13.79
06/01/2018 00075189236	WALKER, JOHN	MFT THE BOND FUND OF AMERICA-A	B	0.00	1.10	0.00	1.10	50.0	0.55
06/01/2018 00075213695	DOMBROSKI,	MFT AF U.S. GOVERNMENT MONEY	B	0.00	0.26	0.00	0.26	50.0	0.13
06/01/2018 00075213695	DOMBROSKI,	MFT AMERICAN BALANCED FUND-F1	B	0.00	5.21	0.00	5.21	50.0	2.61
06/01/2018 00075213700	DOMBROSKI,	MFT AMERICAN BALANCED FUND-F1	B	0.00	5.07	0.00	5.07	50.0	2.54
06/01/2018 00075213700	DOMBROSKI,	MFT CAPITAL INCOME BUILDER-F1	B	0.00	41.48	0.00	41.48	50.0	20.74
06/01/2018 00075244409	ECKROM, JERRY	MFT AMERICAN BALANCED FUND-A	B	0.00	2.58	0.00	2.58	50.0	1.29
06/01/2018 00075390701	MURPHY, DEBORAH	MFT AMERICAN BALANCED FUND-A	B	0.00	121.36	0.00	121.36	50.0	60.68
06/01/2018 00075390701	MURPHY, DEBORAH	MFT GLOBAL BALANCED FUND-A	B	0.00	3.34	0.00	3.34	50.0	1.67
06/01/2018 00075390701	MURPHY, DEBORAH	MFT INTERMEDIATE BOND FUND OF	B	0.00	17.27	0.00	17.27	50.0	8.64
06/01/2018 00075390701	MURPHY, DEBORAH	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.60	0.00	0.60	50.0	0.30
06/01/2018 00075396784	CASTANEDA, SANDRA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.12	0.00	0.12	50.0	0.06
06/01/2018 00075512736	BRANNIES, GINA	MFT CAPITAL INCOME BUILDER-A	B	0.00	20.51	0.00	20.51	50.0	10.26
06/01/2018 00075512736	BRANNIES, GINA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	32.58	0.00	32.58	50.0	16.29
06/01/2018 00075512736	BRANNIES, GINA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	40.32	0.00	40.32	50.0	20.16
06/01/2018 00075512736	BRANNIES, GINA	MFT NEW PERSPECTIVE FUND-A	B	0.00	48.53	0.00	48.53	50.0	24.27
06/01/2018 00075512736	BRANNIES, GINA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	42.12	0.00	42.12	50.0	21.06
06/01/2018 00075812001	BRANNIES, GINA	MFT CAPITAL INCOME BUILDER-A	B	0.00	12.36	0.00	12.36	50.0	6.18
06/01/2018 00075812001	BRANNIES, GINA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	14.57	0.00	14.57	50.0	7.29
06/01/2018 00075812001	BRANNIES, GINA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	17.54	0.00	17.54	50.0	8.77
06/01/2018 00075812001	BRANNIES, GINA	MFT NEW PERSPECTIVE FUND-A	B	0.00	20.56	0.00	20.56	50.0	10.28
06/01/2018 00075812001	BRANNIES, GINA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	18.34	0.00	18.34	50.0	9.17
06/01/2018 00075847663	BRANNIES, GINA	MFT CAPITAL INCOME BUILDER-A	B	0.00	14.52	0.00	14.52	50.0	7.26
06/01/2018 00075847663	BRANNIES, GINA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	28.27	0.00	28.27	50.0	14.14
06/01/2018 00075847663	BRANNIES, GINA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	57.07	0.00	57.07	50.0	28.54
06/01/2018 00075847663	BRANNIES, GINA	MFT NEW PERSPECTIVE FUND-A	B	0.00	43.20	0.00	43.20	50.0	21.60
06/01/2018 00075847663	BRANNIES, GINA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	37.10	0.00	37.10	50.0	18.55
06/01/2018 00075938417	CURTIS, KALA	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.15	0.00	2.15	50.0	1.08
06/01/2018 00075938437	CURTIS, KALA	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.15	0.00	2.15	50.0	1.08
06/01/2018 00081118768	SOSA, ROBERT	MFT AMERICAN BALANCED FUND-A	B	0.00	4.43	0.00	4.43	50.0	2.22
06/01/2018 00081351329	COLLINS, GLADYS	MFT AMERICAN BALANCED FUND-A	B	0.00	10.65	0.00	10.65	50.0	5.33
06/01/2018 00081351329	COLLINS, GLADYS	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.43	0.00	5.43	50.0	2.72
06/01/2018 00081351329	COLLINS, GLADYS	MFT GLOBAL BALANCED FUND-A	B	0.00	5.40	0.00	5.40	50.0	2.70
06/01/2018 00081387250	WOSCHNAGG,	MFT CAPITAL WORLD GROWTH AND	B	0.00	2.39	0.00	2.39	50.0	1.20
06/01/2018 00081459404	NIXON-MOORE,	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.59	0.00	0.59	50.0	0.30
06/01/2018 00081459404	NIXON-MOORE,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.63	0.00	2.63	50.0	1.32
06/01/2018 00081459404	NIXON-MOORE,	MFT THE INVESTMENT COMPANY OF	B	0.00	1.23	0.00	1.23	50.0	0.62
06/01/2018 00081564769	PIERPONT, BRADLEY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.38	0.00	2.38	50.0	1.19
06/01/2018 00081564769	PIERPONT, BRADLEY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	9.50	0.00	9.50	50.0	4.75

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 00081914265	PASZTOR, SUZANNE	MFT AMERICAN BALANCED FUND-A	B	0.00	55.40	0.00	55.40	50.0	27.70
06/01/2018 00081914265	PASZTOR, SUZANNE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	29.24	0.00	29.24	50.0	14.62
06/01/2018 00081914265	PASZTOR, SUZANNE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	26.01	0.00	26.01	50.0	13.01
06/01/2018 00082059804	JONES, DAVID	MFT NEW PERSPECTIVE FUND-A	B	0.00	15.68	0.00	15.68	50.0	7.84
06/01/2018 00082064194	COLLINS, GLADYS	MFT AMERICAN BALANCED FUND-A	B	0.00	63.23	0.00	63.23	50.0	31.62
06/01/2018 00082449966	DAST, TAMMY	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.33	0.00	2.33	50.0	1.17
06/01/2018 00082449966	DAST, TAMMY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.96	0.00	2.96	50.0	1.48
06/01/2018 00082449966	DAST, TAMMY	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.81	0.00	1.81	50.0	0.91
06/01/2018 00082449966	DAST, TAMMY	MFT THE INVESTMENT COMPANY OF	B	0.00	3.27	0.00	3.27	50.0	1.64
06/01/2018 00082588693	DYE, CHARLOTTE	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.45	0.00	0.45	50.0	0.23
06/01/2018 00082841771	VICTORIA VANHUSS	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	1.85	0.00	1.85	50.0	0.93
06/01/2018 00082879105	JOSE G RAMOS	MFT AMERICAN BALANCED FUND-A	B	0.00	27.69	0.00	27.69	50.0	13.85
06/01/2018 00083038785	BRANNIES, KEN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	41.67	0.00	41.67	50.0	20.84
06/01/2018 00083038785	BRANNIES, KEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	122.69	0.00	122.69	50.0	61.35
06/01/2018 00083038785	BRANNIES, KEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	66.21	0.00	66.21	50.0	33.11
06/01/2018 00083138902	FLORES, ISMAEL	MFT AMERICAN BALANCED FUND-A	B	0.00	20.52	0.00	20.52	50.0	10.26
06/01/2018 00083138902	FLORES, ISMAEL	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	18.88	0.00	18.88	50.0	9.44
06/01/2018 00083138902	FLORES, ISMAEL	MFT GLOBAL BALANCED FUND-A	B	0.00	10.68	0.00	10.68	50.0	5.34
06/01/2018 00083186214	HOLZMAN, ROSE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.10	0.00	1.10	50.0	0.55
06/01/2018 00083186214	HOLZMAN, ROSE	MFT NEW WORLD FUND-A	B	0.00	1.01	0.00	1.01	50.0	0.51
06/01/2018 00083186241	HOLZMAN, BRADLY	MFT NEW WORLD FUND-A	B	0.00	0.62	0.00	0.62	50.0	0.31
06/01/2018 00083186241	HOLZMAN, BRADLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.91	0.00	0.91	50.0	0.46
06/01/2018 00083355721	CRAWFORD, DEREK	MFT AMERICAN BALANCED FUND-A	B	0.00	80.31	0.00	80.31	50.0	40.16
06/01/2018 00083355721	CRAWFORD, DEREK	MFT AMERICAN MUTUAL FUND-A	B	0.00	40.43	0.00	40.43	50.0	20.22
06/01/2018 00083355721	CRAWFORD, DEREK	MFT FUNDAMENTAL INVESTORS-A	B	0.00	13.44	0.00	13.44	50.0	6.72
06/01/2018 00083359485	SCHLESSIGER,	MFT AMERICAN BALANCED FUND-A	B	0.00	2.36	0.00	2.36	50.0	1.18
06/01/2018 00083485924	SCHLESSIGER,	MFT AMERICAN BALANCED FUND-A	B	0.00	2.37	0.00	2.37	50.0	1.19
06/01/2018 00083503916	HASTINGS, DANA	MFT AMERICAN BALANCED FUND-A	B	0.00	55.51	0.00	55.51	50.0	27.76
06/01/2018 00083839769	CATTELL, MIA	MFT THE INVESTMENT COMPANY OF	B	0.00	0.29	0.00	0.29	50.0	0.15
06/01/2018 00083949478	LIMON, ELIZABETH	MFT AMERICAN BALANCED FUND-A	B	0.00	14.46	0.00	14.46	50.0	7.23
06/01/2018 00084110239	ABBOTT, BEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	66.67	0.00	66.67	50.0	33.34
06/01/2018 00084110239	ABBOTT, BEN	MFT NEW PERSPECTIVE FUND-A	B	0.00	38.00	0.00	38.00	50.0	19.00
06/01/2018 00084113362	WICKMAN, NANCY	MFT AMERICAN BALANCED FUND-A	B	0.00	45.71	0.00	45.71	50.0	22.86
06/01/2018 00084113362	WICKMAN, NANCY	MFT CAPITAL INCOME BUILDER-A	B	0.00	43.00	0.00	43.00	50.0	21.50
06/01/2018 00084113362	WICKMAN, NANCY	MFT CAPITAL WORLD BOND FUND-A	B	0.00	85.14	0.00	85.14	50.0	42.57
06/01/2018 00084113362	WICKMAN, NANCY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	137.38	0.00	137.38	50.0	68.69
06/01/2018 00084113362	WICKMAN, NANCY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	57.25	0.00	57.25	50.0	28.63
06/01/2018 00084366836	MENDOZA, SANDRA	MFT AMERICAN BALANCED FUND-A	B	0.00	53.71	0.00	53.71	50.0	26.86
06/01/2018 00084512960	GIBBS, KENDRA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	14.20	0.00	14.20	50.0	7.10
06/01/2018 00084512960	GIBBS, KENDRA	MFT NEW PERSPECTIVE FUND-A	B	0.00	15.01	0.00	15.01	50.0	7.51
06/01/2018 00084512960	GIBBS, KENDRA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.46	0.00	16.46	50.0	8.23
06/01/2018 00084731681	DOMINGUEZ,	MFT AMERICAN BALANCED FUND-A	B	0.00	1.19	0.00	1.19	50.0	0.60
06/01/2018 00084731681	DOMINGUEZ,	MFT THE INVESTMENT COMPANY OF	B	0.00	0.16	0.00	0.16	50.0	0.08
06/01/2018 00084974512	BISHOP, ROSEMARY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	53.11	0.00	53.11	50.0	26.56

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 00085027003	PASZTOR, SUZANNE	MFT AMERICAN BALANCED FUND-A	B	0.00	53.14	0.00	53.14	50.0	26.57
06/01/2018 00085027003	PASZTOR, SUZANNE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	23.79	0.00	23.79	50.0	11.90
06/01/2018 00085027003	PASZTOR, SUZANNE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	24.96	0.00	24.96	50.0	12.48
06/01/2018 00085206914	ECKROM, JERRY	MFT AMERICAN BALANCED FUND-A	B	0.00	0.89	0.00	0.89	50.0	0.45
06/01/2018 00085302677	JORGE ALEXANDER	MFT AMERICAN BALANCED FUND-A	B	0.00	3.72	0.00	3.72	50.0	1.86
06/01/2018 00086443498	HILLER, CHARLES	MFT AMERICAN BALANCED FUND-A	B	0.00	122.20	0.00	122.20	50.0	61.10
06/01/2018 00086460970	CURTIS, KALA	MFT AMERICAN BALANCED FUND-A	B	0.00	182.29	0.00	182.29	50.0	91.15
06/01/2018 00086460973	WILKINSON, MARION	MFT AMERICAN BALANCED FUND-A	B	0.00	12.41	0.00	12.41	50.0	6.21
06/01/2018 00086496169	BAILY LORI	MFT CAPITAL WORLD GROWTH AND	B	0.00	4.39	0.00	4.39	50.0	2.20
06/01/2018 00086496169	BAILY LORI	MFT CAPITAL WORLD GROWTH AND	B	0.00	18.88	0.00	18.88	50.0	9.44
06/01/2018 00086507215	TRAPP, ANDREA	MFT AMERICAN BALANCED FUND-A	B	0.00	3.76	0.00	3.76	50.0	1.88
06/01/2018 00086730761	HASTINGS, RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	23.69	0.00	23.69	50.0	11.85
06/01/2018 00086730761	HASTINGS, RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.45	0.00	0.45	50.0	0.23
06/01/2018 00086774066	TRAPP, ANDREA	MFT AMERICAN BALANCED FUND-A	B	0.00	1.43	0.00	1.43	50.0	0.72
06/01/2018 00086774066	TRAPP, ANDREA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.62	0.00	2.62	50.0	1.31
06/01/2018 00086774066	TRAPP, ANDREA	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.01	0.00	1.01	50.0	0.51
06/01/2018 00086981209	DRISCOLL, LYNNE	MFT AMERICAN BALANCED FUND-A	B	0.00	12.71	0.00	12.71	50.0	6.36
06/01/2018 00086981209	DRISCOLL, LYNNE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	10.29	0.00	10.29	50.0	5.15
06/01/2018 00086981209	DRISCOLL, LYNNE	MFT NEW PERSPECTIVE FUND-A	B	0.00	22.15	0.00	22.15	50.0	11.08
06/01/2018 00086981478	DRISCOLL, LYNNE	MFT AMERICAN BALANCED FUND-A	B	0.00	55.02	0.00	55.02	50.0	27.51
06/01/2018 00086981478	DRISCOLL, LYNNE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	28.23	0.00	28.23	50.0	14.12
06/01/2018 00086981478	DRISCOLL, LYNNE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	43.98	0.00	43.98	50.0	21.99
06/01/2018 00086981478	DRISCOLL, LYNNE	MFT NEW PERSPECTIVE FUND-A	B	0.00	78.89	0.00	78.89	50.0	39.45
06/01/2018 00087297861	DOROTHY B LAWLER	MFT AMERICAN BALANCED FUND-F1	B	0.00	34.45	0.00	34.45	50.0	17.23
06/01/2018 00087297861	DOROTHY B LAWLER	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	32.21	0.00	32.21	50.0	16.11
06/01/2018 00087364129	DORER, SONIA	MFT AMERICAN BALANCED FUND-A	B	0.00	4.97	0.00	4.97	50.0	2.49
06/01/2018 00087364129	DORER, SONIA	MFT NEW PERSPECTIVE FUND-A	B	0.00	14.70	0.00	14.70	50.0	7.35
06/01/2018 00087369989	DORER, RICHARD	MFT AMERICAN BALANCED FUND-A	B	0.00	2.21	0.00	2.21	50.0	1.11
06/01/2018 00087369989	DORER, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	13.71	0.00	13.71	50.0	6.86
06/01/2018 00087369989	DORER, RICHARD	MFT SMALLCAP WORLD FUND-A	B	0.00	3.50	0.00	3.50	50.0	1.75
06/01/2018 00087382596	GRIFFIN, RICHARD	MFT INTERMEDIATE BOND FUND OF	B	0.00	5.39	0.00	5.39	50.0	2.70
06/01/2018 00087382596	GRIFFIN, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	16.79	0.00	16.79	50.0	8.40
06/01/2018 00087382596	GRIFFIN, RICHARD	MFT THE BOND FUND OF AMERICA-A	B	0.00	4.25	0.00	4.25	50.0	2.13
06/01/2018 00087382596	GRIFFIN, RICHARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.31	0.00	15.31	50.0	7.66
06/01/2018 00087382596	GRIFFIN, RICHARD	MFT THE INCOME FUND OF AMERICA-A	B	0.00	14.52	0.00	14.52	50.0	7.26
06/01/2018 00087382596	GRIFFIN, RICHARD	MFT THE INVESTMENT COMPANY OF	B	0.00	16.76	0.00	16.76	50.0	8.38
06/01/2018 00087382603	GRIFFIN, RICHARD	MFT INTERMEDIATE BOND FUND OF	B	0.00	5.39	0.00	5.39	50.0	2.70
06/01/2018 00087382603	GRIFFIN, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	16.79	0.00	16.79	50.0	8.40
06/01/2018 00087382603	GRIFFIN, RICHARD	MFT THE BOND FUND OF AMERICA-A	B	0.00	4.25	0.00	4.25	50.0	2.13
06/01/2018 00087382603	GRIFFIN, RICHARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.31	0.00	15.31	50.0	7.66
06/01/2018 00087382603	GRIFFIN, RICHARD	MFT THE INCOME FUND OF AMERICA-A	B	0.00	14.52	0.00	14.52	50.0	7.26
06/01/2018 00087382603	GRIFFIN, RICHARD	MFT THE INVESTMENT COMPANY OF	B	0.00	16.80	0.00	16.80	50.0	8.40
06/01/2018 00087382610	GRIFFIN, RICHARD	MFT INTERMEDIATE BOND FUND OF	B	0.00	5.39	0.00	5.39	50.0	2.70
06/01/2018 00087382610	GRIFFIN, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	16.79	0.00	16.79	50.0	8.40

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 00087382610	GRIFFIN, RICHARD	MFT THE BOND FUND OF AMERICA-A	B	0.00	4.25	0.00	4.25	50.0	2.13
06/01/2018 00087382610	GRIFFIN, RICHARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.31	0.00	15.31	50.0	7.66
06/01/2018 00087382610	GRIFFIN, RICHARD	MFT THE INCOME FUND OF AMERICA-A	B	0.00	14.52	0.00	14.52	50.0	7.26
06/01/2018 00087382610	GRIFFIN, RICHARD	MFT THE INVESTMENT COMPANY OF	B	0.00	16.80	0.00	16.80	50.0	8.40
06/01/2018 00087382617	GRIFFIN, RICHARD	MFT INTERMEDIATE BOND FUND OF	B	0.00	5.39	0.00	5.39	50.0	2.70
06/01/2018 00087382617	GRIFFIN, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	16.79	0.00	16.79	50.0	8.40
06/01/2018 00087382617	GRIFFIN, RICHARD	MFT THE BOND FUND OF AMERICA-A	B	0.00	4.25	0.00	4.25	50.0	2.13
06/01/2018 00087382617	GRIFFIN, RICHARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.31	0.00	15.31	50.0	7.66
06/01/2018 00087382617	GRIFFIN, RICHARD	MFT THE INCOME FUND OF AMERICA-A	B	0.00	14.52	0.00	14.52	50.0	7.26
06/01/2018 00087382617	GRIFFIN, RICHARD	MFT THE INVESTMENT COMPANY OF	B	0.00	16.80	0.00	16.80	50.0	8.40
06/01/2018 00087518735	BURNETT, PATRICIA	MFT AMERICAN BALANCED FUND-A	B	0.00	44.41	0.00	44.41	50.0	22.21
06/01/2018 00087579254	RAMOS, JOSE	MFT AMERICAN BALANCED FUND-A	B	0.00	1.71	0.00	1.71	50.0	0.86
06/01/2018 00087579254	RAMOS, JOSE	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.05	0.00	0.05	50.0	0.03
06/01/2018 00087673351	MORA, SOCORRO	MFT AMERICAN BALANCED FUND-A	B	0.00	1.65	0.00	1.65	50.0	0.83
06/01/2018 00087709734	WARREN, JANET	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.00	0.00	2.00	50.0	1.00
06/01/2018 00087709790	WARREN, JANET	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.18	0.00	1.18	50.0	0.59
06/01/2018 00087738166	JIMERFIELD,	MFT AMERICAN BALANCED FUND-A	B	0.00	6.47	0.00	6.47	50.0	3.24
06/01/2018 00087822307	GARZA, MARTHA	MFT AMERICAN BALANCED FUND-A	B	0.00	44.47	0.00	44.47	50.0	22.24
06/01/2018 00087822307	GARZA, MARTHA	MFT AMERICAN MUTUAL FUND-A	B	0.00	39.12	0.00	39.12	50.0	19.56
06/01/2018 00087822307	GARZA, MARTHA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	28.51	0.00	28.51	50.0	14.26
06/01/2018 00087822307	GARZA, MARTHA	MFT NEW PERSPECTIVE FUND-A	B	0.00	20.64	0.00	20.64	50.0	10.32
06/01/2018 00088038123	PONCE, YOLANDA	MFT AMERICAN BALANCED FUND-A	B	0.00	3.51	0.00	3.51	50.0	1.76
06/01/2018 00088127939	RICHARD L DORER	MFT AMERICAN BALANCED FUND-A	B	0.00	3.48	0.00	3.48	50.0	1.74
06/01/2018 00088127939	RICHARD L DORER	MFT AMERICAN MUTUAL FUND-A	B	0.00	8.74	0.00	8.74	50.0	4.37
06/01/2018 00088127939	RICHARD L DORER	MFT NEW PERSPECTIVE FUND-A	B	0.00	8.54	0.00	8.54	50.0	4.27
06/01/2018 00088127939	RICHARD L DORER	MFT SMALLCAP WORLD FUND-A	B	0.00	5.27	0.00	5.27	50.0	2.64
06/01/2018 00088702360	RAMOS, JOSE	MFT AMCAP FUND-A	B	0.00	21.61	0.00	21.61	50.0	10.81
06/01/2018 00088702360	RAMOS, JOSE	MFT AMERICAN BALANCED FUND-A	B	0.00	30.98	0.00	30.98	50.0	15.49
06/01/2018 00088702360	RAMOS, JOSE	MFT AMERICAN MUTUAL FUND-A	B	0.00	16.55	0.00	16.55	50.0	8.28
06/01/2018 00088702360	RAMOS, JOSE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	44.37	0.00	44.37	50.0	22.19
06/01/2018 00088702360	RAMOS, JOSE	MFT NEW PERSPECTIVE FUND-A	B	0.00	36.68	0.00	36.68	50.0	18.34
06/01/2018 00088702360	RAMOS, JOSE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.35	0.00	11.35	50.0	5.68
06/01/2018 00088702360	RAMOS, JOSE	MFT THE INVESTMENT COMPANY OF	B	0.00	21.04	0.00	21.04	50.0	10.52
06/01/2018 00088807324	UPTON, JOSHUA	MFT AMERICAN MUTUAL FUND-A	B	0.00	2.69	0.00	2.69	50.0	1.35
06/01/2018 00088807324	UPTON, JOSHUA	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.40	0.00	7.40	50.0	3.70
06/01/2018 00088807324	UPTON, JOSHUA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.07	0.00	3.07	50.0	1.54
06/01/2018 00088971865	MCDERMOTT, JULIE	MFT AMERICAN BALANCED FUND-A	B	0.00	86.25	0.00	86.25	50.0	43.13
06/01/2018 00089066189	STEHLING, KAREN	MFT NEW PERSPECTIVE FUND-A	B	0.00	23.23	0.00	23.23	50.0	11.62
06/01/2018 00089066189	STEHLING, KAREN	MFT THE INVESTMENT COMPANY OF	B	0.00	52.31	0.00	52.31	50.0	26.16
06/01/2018 00089079661	KAREN STEHLING	MFT AMERICAN BALANCED FUND-A	B	0.00	19.73	0.00	19.73	50.0	9.87
06/01/2018 00089079661	KAREN STEHLING	MFT AMERICAN MUTUAL FUND-A	B	0.00	17.68	0.00	17.68	50.0	8.84
06/01/2018 00089079661	KAREN STEHLING	MFT NEW PERSPECTIVE FUND-A	B	0.00	27.83	0.00	27.83	50.0	13.92
06/01/2018 00089079661	KAREN STEHLING	MFT NEW PERSPECTIVE FUND-F1	B	0.00	0.13	0.00	0.13	50.0	0.07
06/01/2018 00089107338	GEORGINE BENNO	MFT AMERICAN BALANCED FUND-A	B	0.00	9.26	0.00	9.26	50.0	4.63

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 00089130497	HILL, JANET	MFT CAPITAL INCOME BUILDER-A	B	0.00	50.17	0.00	50.17	50.0	25.09
06/01/2018 00089132005	BENNO, GEORGINE	MFT AMERICAN BALANCED FUND-A	B	0.00	24.65	0.00	24.65	50.0	12.33
06/01/2018 00089132005	BENNO, GEORGINE	MFT AMERICAN MUTUAL FUND-A	B	0.00	7.26	0.00	7.26	50.0	3.63
06/01/2018 00089132005	BENNO, GEORGINE	MFT CAPITAL INCOME BUILDER-A	B	0.00	11.65	0.00	11.65	50.0	5.83
06/01/2018 00089132005	BENNO, GEORGINE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	10.86	0.00	10.86	50.0	5.43
06/01/2018 00089231998	JANAVARIS, JUSTIN	MFT AMERICAN BALANCED FUND-A	B	0.00	8.59	0.00	8.59	50.0	4.30
06/01/2018 00089232023	GEORGINE BENNO	MFT AMERICAN BALANCED FUND-A	B	0.00	16.50	0.00	16.50	50.0	8.25
06/01/2018 00089287350	HILL, JANE	MFT AMERICAN BALANCED FUND-A	B	0.00	13.52	0.00	13.52	50.0	6.76
06/01/2018 00089287350	HILL, JANE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	18.47	0.00	18.47	50.0	9.24
06/01/2018 00089287350	HILL, JANE	MFT THE INVESTMENT COMPANY OF	B	0.00	18.13	0.00	18.13	50.0	9.07
06/01/2018 00089287351	COCHRANE, LARRY	MFT AMERICAN BALANCED FUND-A	B	0.00	31.19	0.00	31.19	50.0	15.60
06/01/2018 00089621080	MECHELINA E VAN	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	1.49	0.00	1.49	50.0	0.75
06/01/2018 00089621080	MECHELINA E VAN	MFT CAPITAL INCOME BUILDER-F1	B	0.00	23.55	0.00	23.55	50.0	11.78
06/01/2018 00089621080	MECHELINA E VAN	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	14.33	0.00	14.33	50.0	7.17
06/01/2018 00089822824	JOHN R CORBETT	MFT AMERICAN BALANCED FUND-C	B	0.00	2.90	0.00	2.90	50.0	1.45
06/01/2018 00980973576	RONALD JOSEPH	MFT SMALLCAP WORLD FUND-A	B	0.00	17.66	0.00	17.66	50.0	8.83
06/01/2018 04000155543	HARDIN, ANDREW	MFT AMERICAN BALANCED FUND-A	B	0.00	16.21	0.00	16.21	50.0	8.11
06/01/2018 04000155543	HARDIN, ANDREW	MFT AMERICAN MUTUAL FUND-A	B	0.00	3.59	0.00	3.59	50.0	1.80
06/01/2018 04000155543	HARDIN, ANDREW	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.21	0.00	4.21	50.0	2.11
06/01/2018 04000155543	HARDIN, ANDREW	MFT THE INCOME FUND OF AMERICA-A	B	0.00	5.67	0.00	5.67	50.0	2.84
06/01/2018 04000163744	GARZA, ALEXANDER	MFT AMERICAN BALANCED FUND-A	B	0.00	1.09	0.00	1.09	50.0	0.55
06/01/2018 04000163744	GARZA, ALEXANDER	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.57	0.00	0.57	50.0	0.29
06/01/2018 04000163744	GARZA, ALEXANDER	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.58	0.00	0.58	50.0	0.29
06/01/2018 04000314591	SEAGO, ANN	MFT CAPITAL INCOME BUILDER-A	B	0.00	10.95	0.00	10.95	50.0	5.48
06/01/2018 04000314591	SEAGO, ANN	MFT THE BOND FUND OF AMERICA-A	B	0.00	5.91	0.00	5.91	50.0	2.96
06/01/2018 04000314591	SEAGO, ANN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	8.08	0.00	8.08	50.0	4.04
06/01/2018 04000631650	MARTINEZ, ELAINE	MFT AMERICAN BALANCED FUND-A	B	0.00	0.04	0.00	0.04	50.0	0.02
06/01/2018 04000686653	MCKINNEY, ALEE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	22.51	0.00	22.51	50.0	11.26
06/01/2018 04000695695	DORER, CHARLES	MFT AMERICAN BALANCED FUND-A	B	0.00	3.13	0.00	3.13	50.0	1.57
06/01/2018 04000890952	PIERPONT, BRADLEY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.46	0.00	4.46	50.0	2.23
06/01/2018 04000890952	PIERPONT, BRADLEY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	17.80	0.00	17.80	50.0	8.90
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$81,404.36	\$10,215.09	\$0.00	\$10,215.09		\$5,108.61
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
06/01/2018 (None)	VARIOUS, RANDY SMITH	VAT MANULIFE	B	0.00	2.77	0.00	2.77	50.0	1.39
06/05/2018 (None)	OEI, THOMAS	VAT AMERICAN GENERAL	B	0.00	73.51	0.00	73.51	50.0	36.76
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$76.28	\$0.00	\$76.28		\$38.15
*** DIRECT TRANSACTIONS TOTAL ***				\$1,996,277.74	\$11,895.74	\$0.00	\$11,895.74		\$5,948.99

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RIX/RANDY SMITH/SMITH-D081 #80									
06/07/2018	00073969963	SMITH, RONALD	MF NEW PERSPECTIVE FUND-A	B	4,819.90	48.20	0.00	48.20	24.10
06/01/2018	00058301600	SMITH, LAURA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	10.03	0.00	10.03	5.02
06/01/2018	00059032323	SMITH, LAURA	MFT AMERICAN BALANCED FUND-A	B	0.00	84.87	0.00	84.87	42.44
06/01/2018	00059032323	SMITH, LAURA	MFT AMERICAN MUTUAL FUND-A	B	0.00	44.83	0.00	44.83	22.42
06/01/2018	00059032323	SMITH, LAURA	MFT THE INVESTMENT COMPANY OF	B	0.00	43.99	0.00	43.99	22.00
06/01/2018	00064630494	WARREN, JANET	MFT AMERICAN BALANCED FUND-A	B	0.00	8.43	0.00	8.43	4.22
06/01/2018	00064630494	WARREN, JANET	MFT AMERICAN MUTUAL FUND-A	B	0.00	8.83	0.00	8.83	4.42
06/01/2018	00064630494	WARREN, JANET	MFT FUNDAMENTAL INVESTORS-A	B	0.00	9.66	0.00	9.66	4.83
06/01/2018	00064669115	CARTER, CHRISTY	MFT AMERICAN BALANCED FUND-A	B	0.00	1.67	0.00	1.67	0.84
06/01/2018	00064669115	CARTER, CHRISTY	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	3.29	0.00	3.29	1.65
06/01/2018	00064669115	CARTER, CHRISTY	MFT AMERICAN HIGH-INCOME TRUST-C	B	0.00	6.87	0.00	6.87	3.44
06/01/2018	00064669115	CARTER, CHRISTY	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	2.85	0.00	2.85	1.43
06/01/2018	00064669115	CARTER, CHRISTY	MFT CAPITAL INCOME BUILDER-F1	B	0.00	4.44	0.00	4.44	2.22
06/01/2018	00064669115	CARTER, CHRISTY	MFT CAPITAL WORLD BOND FUND-C	B	0.00	6.37	0.00	6.37	3.19
06/01/2018	00064669117	CARTER, CRAIG	MFT AMERICAN BALANCED FUND-A	B	0.00	1.71	0.00	1.71	0.86
06/01/2018	00064669117	CARTER, CRAIG	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	3.29	0.00	3.29	1.65
06/01/2018	00064669117	CARTER, CRAIG	MFT AMERICAN HIGH-INCOME TRUST-C	B	0.00	6.87	0.00	6.87	3.44
06/01/2018	00064669117	CARTER, CRAIG	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	2.98	0.00	2.98	1.49
06/01/2018	00064669117	CARTER, CRAIG	MFT CAPITAL INCOME BUILDER-F1	B	0.00	4.44	0.00	4.44	2.22
06/01/2018	00064669117	CARTER, CRAIG	MFT CAPITAL WORLD BOND FUND-C	B	0.00	6.37	0.00	6.37	3.19
06/01/2018	00073969963	SMITH, RONALD	MFT AMERICAN BALANCED FUND-A	B	0.00	166.09	0.00	166.09	83.05
06/01/2018	00073969963	SMITH, RONALD	MFT AMERICAN MUTUAL FUND-A	B	0.00	26.73	0.00	26.73	13.37
06/01/2018	00073969963	SMITH, RONALD	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	32.72	0.00	32.72	16.36
06/01/2018	00073969963	SMITH, RONALD	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.56	0.00	7.56	3.78
06/01/2018	00075475697	WEBER, ERICA	MFT AMERICAN BALANCED FUND-529A	B	0.00	4.37	0.00	4.37	2.19
06/01/2018	00075475697	WEBER, ERICA	MFT AMERICAN MUTUAL FUND-529A	B	0.00	3.66	0.00	3.66	1.83
06/01/2018	00075691742	KIRKPATRICK,	MFT AMERICAN BALANCED FUND-529A	B	0.00	3.11	0.00	3.11	1.56
06/01/2018	04000703953	CARTER, CRAIG	MFT AMERICAN BALANCED FUND-A	B	0.00	10.50	0.00	10.50	5.25
06/01/2018	04000703953	CARTER, CRAIG	MFT AMERICAN FUNDS 2010 TARGET	B	0.00	10.51	0.00	10.51	5.26
06/01/2018	04000703953	CARTER, CRAIG	MFT RTMT INCOME PORTFOLIO	B	0.00	9.87	0.00	9.87	4.94
* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *					\$4,819.90	\$585.11	\$0.00	\$585.11	\$292.66
*** SPLIT TRANSACTIONS TOTAL ***					\$4,819.90	\$585.11	\$0.00	\$585.11	\$292.66

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>LINKED DIRE TRANSACTIONS</u>									
<u>BROKER: RANDY SMITH-D061 #66</u>									
06/06/2018	00084947333	JOHN J FINN CUST, MF THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00		0.20
06/06/2018	04000149886	JOHN J FINN CUST, MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00		0.40
06/01/2018	08190128650	GIFT MIN ACT-SD, MF MFS MID CAP GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
06/01/2018	00053695631	COCKERHAM, GEORGE MFT NEW PERSPECTIVE FUND-A	B	0.00	74.15	0.00	74.15		14.83
06/01/2018	00053695631	COCKERHAM, GEORGE MFT THE NEW ECONOMY FUND-A	B	0.00	90.78	0.00	90.78		18.16
06/01/2018	00060939604	KNIGHT, WILLIAM MFT NEW PERSPECTIVE FUND-C	B	0.00	43.48	0.00	43.48		8.70
06/01/2018	00082643376	COCKERHAM, ASHLEY MFT THE NEW ECONOMY FUND-A	B	0.00	8.84	0.00	8.84		1.77
06/01/2018	00083032457	JOANNE RAINES MFT AF U.S. GOVERNMENT MONEY	B	0.00	6.45	0.00	6.45		1.29
06/01/2018	00084947333	JOHN J FINN CUST, MFT NEW PERSPECTIVE FUND-C	B	0.00	12.73	0.00	12.73		2.55
06/01/2018	00084947333	JOHN J FINN CUST, MFT THE NEW ECONOMY FUND-C	B	0.00	34.06	0.00	34.06		6.81
06/01/2018	00084947364	FBO RYAN MICHAEL MFT NEW PERSPECTIVE FUND-C	B	0.00	10.53	0.00	10.53		2.11
06/01/2018	00084947364	FBO RYAN MICHAEL MFT THE GROWTH FUND OF AMERICA-C	B	0.00	11.67	0.00	11.67		2.33
06/01/2018	00084947364	FBO RYAN MICHAEL MFT THE NEW ECONOMY FUND-C	B	0.00	33.06	0.00	33.06		6.61
06/01/2018	00084975204	FINN, JOHN MFT AMERICAN BALANCED FUND-C	B	0.00	368.91	0.00	368.91		73.78
06/01/2018	00084975204	FINN, JOHN MFT NEW PERSPECTIVE FUND-C	B	0.00	268.11	0.00	268.11		53.62
06/01/2018	00084975204	FINN, JOHN MFT THE GROWTH FUND OF AMERICA-C	B	0.00	314.61	0.00	314.61		62.92
06/01/2018	00085004722	KNIGHT, WILLIAM MFT NEW PERSPECTIVE FUND-C	B	0.00	9.21	0.00	9.21		1.84
06/01/2018	00085004722	KNIGHT, WILLIAM MFT SMALLCAP WORLD FUND-C	B	0.00	25.40	0.00	25.40		5.08
06/01/2018	00085305194	COCKERHAM/NAKED MFT AMERICAN BALANCED FUND-A	B	0.00	45.02	0.00	45.02		9.00
06/01/2018	00085305194	COCKERHAM/NAKED MFT NEW PERSPECTIVE FUND-C	B	0.00	55.59	0.00	55.59		11.12
06/01/2018	00085305979	COCKERHAM, CAROL MFT AMERICAN BALANCED FUND-A	B	0.00	94.40	0.00	94.40		18.88
06/01/2018	00085305979	COCKERHAM, CAROL MFT SMALLCAP WORLD FUND-C	B	0.00	228.16	0.00	228.16		45.63
06/01/2018	00085305979	COCKERHAM, CAROL MFT THE NEW ECONOMY FUND-C	B	0.00	575.24	0.00	575.24		115.05
06/01/2018	00085349608	ANN A LAFOURCADE MFT NEW PERSPECTIVE FUND-C	B	0.00	17.76	0.00	17.76		3.55
06/01/2018	00085349608	ANN A LAFOURCADE MFT THE NEW ECONOMY FUND-C	B	0.00	21.97	0.00	21.97		4.39
06/01/2018	00085352147	LINAM, DONNA MFT THE NEW ECONOMY FUND-C	B	0.00	1.08	0.00	1.08		0.22
06/01/2018	00085398387	JOHN R CORBETT MFT NEW PERSPECTIVE FUND-C	B	0.00	73.90	0.00	73.90		14.78
06/01/2018	00085398387	JOHN R CORBETT MFT THE NEW ECONOMY FUND-C	B	0.00	94.83	0.00	94.83		18.97
06/01/2018	00085399186	RAINER, JOANNE MFT AMERICAN BALANCED FUND-C	B	0.00	339.87	0.00	339.87		67.97
06/01/2018	00085466274	AGUILAR, MARIA MFT NEW PERSPECTIVE FUND-C	B	0.00	22.92	0.00	22.92		4.58
06/01/2018	00085466274	AGUILAR, MARIA MFT THE GROWTH FUND OF AMERICA-C	B	0.00	23.91	0.00	23.91		4.78
06/01/2018	00085466274	AGUILAR, MARIA MFT THE NEW ECONOMY FUND-C	B	0.00	56.27	0.00	56.27		11.25
06/01/2018	00085482308	BOGRAN, CARLOS MFT THE NEW ECONOMY FUND-C	B	0.00	12.82	0.00	12.82		2.56
06/01/2018	00085781654	SANCHEZ, BEATRIZ MFT NEW PERSPECTIVE FUND-C	B	0.00	5.34	0.00	5.34		1.07
06/01/2018	00085781654	SANCHEZ, BEATRIZ MFT THE NEW ECONOMY FUND-C	B	0.00	6.83	0.00	6.83		1.37
06/01/2018	00086109508	BRUCHI, JENNIFER MFT NEW PERSPECTIVE FUND-C	B	0.00	41.63	0.00	41.63		8.33
06/01/2018	00086109508	BRUCHI, JENNIFER MFT THE NEW ECONOMY FUND-C	B	0.00	51.79	0.00	51.79		10.36
06/01/2018	00086413277	LE BLANC, ERIN MFT NEW PERSPECTIVE FUND-C	B	0.00	93.07	0.00	93.07		18.61
06/01/2018	00086413277	LE BLANC, ERIN MFT SMALLCAP WORLD FUND-C	B	0.00	90.22	0.00	90.22		18.04
06/01/2018	00086413277	LE BLANC, ERIN MFT THE GROWTH FUND OF AMERICA-C	B	0.00	161.52	0.00	161.52		32.30

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 00086413277	LE BLANC, ERIN	MFT THE NEW ECONOMY FUND-C	B	0.00	169.61	0.00	169.61		33.92
06/01/2018 00086465668	MCINTIRE, JOHN	MFT NEW PERSPECTIVE FUND-C	B	0.00	93.45	0.00	93.45		18.69
06/01/2018 00089714741	FINN, CONNOR	MFT THE NEW ECONOMY FUND-C	B	0.00	21.49	0.00	21.49		4.30
06/01/2018 00089892340	FBO JULIETTE G	MFT NEW PERSPECTIVE FUND-C	B	0.00	6.57	0.00	6.57		1.31
06/01/2018 00089893358	SHERYL A BARDEN	MFT NEW PERSPECTIVE FUND-C	B	0.00	29.38	0.00	29.38		5.88
06/01/2018 00089893358	SHERYL A BARDEN	MFT THE NEW ECONOMY FUND-C	B	0.00	124.44	0.00	124.44		24.89
06/01/2018 04000149886	JOHN J FINN CUST,	MFT AMERICAN BALANCED FUND-A	B	0.00	0.64	0.00	0.64		0.13
* RANDY SMITH-D601 SUBTOTAL *				\$250.00	\$3,875.21	\$0.00	\$3,875.21		\$775.03
<u>BROKER: RANDALL SMITH-D444 #81</u>									
06/01/2018 00068217982	STEHLING, KAREN	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.89	0.00	3.89		1.26
06/01/2018 00073354171	ARMSTRONG,	MFT AMCAP FUND-A	B	0.00	21.24	0.00	21.24		6.90
06/01/2018 00073354184	ARMSTRONG,	MFT THE INVESTMENT COMPANY OF	B	0.00	16.40	0.00	16.40		5.33
06/01/2018 00074171940	DICKEY, G	MFT THE INVESTMENT COMPANY OF	B	0.00	16.33	0.00	16.33		5.31
06/01/2018 00074509080	URIBE QUINTERO,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	9.84	0.00	9.84		3.20
06/01/2018 00074509102	URIBE QUINTERO,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	9.84	0.00	9.84		3.20
06/01/2018 00074623111	DE LA VEGA, JESUS	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	12.34	0.00	12.34		4.01
06/01/2018 00074739683	LANE, MORGAN	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	0.77	0.00	0.77		0.25
06/01/2018 00082268142	CLEVELAND, KATHIE	MFT AMERICAN FUNDS 2025 TARGET	B	0.00	1.04	0.00	1.04		0.34
06/01/2018 00086668178	FEUGE, KENNEDY	MFT AMERICAN BALANCED FUND-529A	B	0.00	17.31	0.00	17.31		5.63
06/01/2018 04000090127	DICKEY, G	MFT AMERICAN BALANCED FUND-A	B	0.00	91.04	0.00	91.04		29.59
06/01/2018 04000090127	DICKEY, G	MFT CAPITAL INCOME BUILDER-A	B	0.00	118.18	0.00	118.18		38.41
06/01/2018 04000090127	DICKEY, G	MFT NEW PERSPECTIVE FUND-A	B	0.00	36.45	0.00	36.45		11.85
06/01/2018 04000090127	DICKEY, G	MFT THE INCOME FUND OF AMERICA-A	B	0.00	84.21	0.00	84.21		27.37
* RANDALL SMITH-D444 SUBTOTAL *				\$0.00	\$438.88	\$0.00	\$438.88		\$142.65
*** LINKED DIRE TRANSACTIONS TOTAL ***				\$250.00	\$4,314.09	\$0.00	\$4,314.09		\$917.68

BROKER COMMISSION TOTALS

Broker Transactions	\$6,866.67
Split Transactions	\$292.66
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$7,159.33
Year-to-date Commissions	\$45,502.29