

# STATEMENT

May 20, 2018

KENNETH STOKES  
29 Central Ave  
Unit 2  
Montclair, NJ 07042-

BROKER #: 034  
BRANCH: LA BRANCH

## COMMISSION STATEMENT for KENNETH STOKES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>										
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 00143M679	B	0.00	1.03	0.00	0.00	1.03	85.0 0.88
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 25159L802	B	0.00	0.21	0.00	0.00	0.21	85.0 0.18
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 315792317	B	0.00	12.32	0.00	0.00	12.32	85.0 10.47
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 315792366	B	0.00	18.79	0.00	0.00	18.79	85.0 15.97
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 315792499	B	0.00	10.71	0.00	0.00	10.71	85.0 9.10
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 315792507	B	0.00	3.70	0.00	0.00	3.70	85.0 3.15
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 315792556	B	0.00	0.35	0.00	0.00	0.35	85.0 0.30
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 315792713	B	0.00	14.25	0.00	0.00	14.25	85.0 12.11
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 315792762	B	0.00	5.79	0.00	0.00	5.79	85.0 4.92
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 315792820	B	0.00	0.01	0.00	0.00	0.01	85.0 0.01
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 315792879	B	0.00	0.35	0.00	0.00	0.35	85.0 0.30
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 315793794	B	0.00	2.83	0.00	0.00	2.83	85.0 2.41
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 315918318	B	0.00	1.17	0.00	0.00	1.17	85.0 0.99
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 353496698	B	0.00	1.70	0.00	0.00	1.70	85.0 1.45
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 35472P802	B	0.00	0.69	0.00	0.00	0.69	85.0 0.59
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 38145L224	B	0.00	0.70	0.00	0.00	0.70	85.0 0.60
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 46X220XX3	B	0.00	0.36	0.00	0.00	0.36	85.0 0.31
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 47103C340	B	0.00	1.97	0.00	0.00	1.97	85.0 1.67
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 552986796	B	0.00	6.25	0.00	0.00	6.25	85.0 5.31
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 68380L506	B	0.00	6.66	0.00	0.00	6.66	85.0 5.66
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 72201F441	B	0.00	5.09	0.00	0.00	5.09	85.0 4.33
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 85744A513	B	0.00	3.27	0.00	0.00	3.27	85.0 2.78
01/01/0018	#104771	SUMMIT BEHAVIORAL	ADP315792440	B	0.00	3.31	0.00	0.00	3.31	85.0 2.81
01/01/0018	#104771	SUMMIT BEHAVIORAL	FIDELITY ADV EQUITY INCOME-CL T	B	0.00	3.01	0.00	0.00	3.01	85.0 2.56
01/01/0018	#104771	SUMMIT BEHAVIORAL	FIDELITY ADV LARGE CAP-CL T	B	0.00	3.20	0.00	0.00	3.20	85.0 2.72
01/01/0018	#104771	SUMMIT BEHAVIORAL	FRANKLIN SMALL CAP VALUE FUND CLASS B	B	0.00	6.09	0.00	0.00	6.09	85.0 5.18
01/01/0018	#104771	SUMMIT BEHAVIORAL	MAIN STREET MID CAP FUND	B	0.00	0.83	0.00	0.00	0.83	85.0 0.71
01/01/0018	#104771	SUMMIT BEHAVIORAL	PRUDENTIAL HIGH YIELD CL R	B	0.00	0.60	0.00	0.00	0.60	85.0 0.51
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP 00143M679	B	0.00	1.04	0.00	0.00	1.04	85.0 0.88
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP 25159L802	B	0.00	0.22	0.00	0.00	0.22	85.0 0.19
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP 315792317	B	0.00	11.52	0.00	0.00	11.52	85.0 9.79

COMMISSION STATEMENT for KENNETH STOKES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792366	B	0.00	17.58	0.00	0.00	17.58 85.0 14.94
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792499	B	0.00	11.49	0.00	0.00	11.49 85.0 9.77
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792507	B	0.00	3.70	0.00	0.00	3.70 85.0 3.15
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792556	B	0.00	0.34	0.00	0.00	0.34 85.0 0.29
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792713	B	0.00	13.28	0.00	0.00	13.28 85.0 11.29
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792762	B	0.00	5.29	0.00	0.00	5.29 85.0 4.50
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792820	B	0.00	0.06	0.00	0.00	0.06 85.0 0.05
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792879	B	0.00	0.34	0.00	0.00	0.34 85.0 0.29
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	315793794	B	0.00	2.62	0.00	0.00	2.62 85.0 2.23
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	315918318	B	0.00	1.38	0.00	0.00	1.38 85.0 1.17
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	353496698	B	0.00	1.92	0.00	0.00	1.92 85.0 1.63
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	35472P802	B	0.00	0.69	0.00	0.00	0.69 85.0 0.59
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	38145L224	B	0.00	0.91	0.00	0.00	0.91 85.0 0.77
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	46X220XX3	B	0.00	0.37	0.00	0.00	0.37 85.0 0.31
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	47103C340	B	0.00	1.77	0.00	0.00	1.77 85.0 1.50
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	552986796	B	0.00	5.96	0.00	0.00	5.96 85.0 5.07
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	68380L506	B	0.00	6.68	0.00	0.00	6.68 85.0 5.68
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	72201F441	B	0.00	4.96	0.00	0.00	4.96 85.0 4.22
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	85744A513	B	0.00	23.08	0.00	0.00	23.08 85.0 19.62
02/08/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792440	B	0.00	3.14	0.00	0.00	3.14 85.0 2.67
02/08/0018	#104771	SUMMIT BEHAVIORAL	FIDELITY ADV EQUITY INCOME-CL T	B	0.00	2.96	0.00	0.00	0.00	2.96 85.0 2.52
02/08/0018	#104771	SUMMIT BEHAVIORAL	FIDELITY ADV LARGE CAP-CL T	B	0.00	3.27	0.00	0.00	0.00	3.27 85.0 2.78
02/08/0018	#104771	SUMMIT BEHAVIORAL	FRANKLIN SMALL CAP VALUE FUND CLASS B	B	0.00	4.70	0.00	0.00	0.00	4.70 85.0 4.00
02/08/0018	#104771	SUMMIT BEHAVIORAL	MAIN STREET MID CAP FUND	B	0.00	0.83	0.00	0.00	0.00	0.83 85.0 0.71
02/08/0018	#104771	SUMMIT BEHAVIORAL	PRUDENTIAL HIGH YIELD CL R	B	0.00	0.61	0.00	0.00	0.00	0.61 85.0 0.52
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP	00143M679	B	0.00	1.29	0.00	0.00	1.29 85.0 1.10
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP	25159L802	B	0.00	0.29	0.00	0.00	0.29 85.0 0.25
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792317	B	0.00	13.51	0.00	0.00	13.51 85.0 11.48
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792366	B	0.00	20.68	0.00	0.00	20.68 85.0 17.58
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792499	B	0.00	13.51	0.00	0.00	13.51 85.0 11.48
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792507	B	0.00	4.57	0.00	0.00	4.57 85.0 3.88
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792556	B	0.00	0.39	0.00	0.00	0.39 85.0 0.33
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792713	B	0.00	15.66	0.00	0.00	15.66 85.0 13.31
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792762	B	0.00	6.07	0.00	0.00	6.07 85.0 5.16
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792820	B	0.00	0.19	0.00	0.00	0.19 85.0 0.16
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP	315792879	B	0.00	0.38	0.00	0.00	0.38 85.0 0.32
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP	315793794	B	0.00	3.12	0.00	0.00	3.12 85.0 2.65
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP	315918318	B	0.00	1.68	0.00	0.00	1.68 85.0 1.43
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP	353496698	B	0.00	2.33	0.00	0.00	2.33 85.0 1.98
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP	35472P802	B	0.00	0.86	0.00	0.00	0.86 85.0 0.73

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>										
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 38145L224	B	0.00	1.34	0.00	0.00	1.34	85.0 1.14
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 46X220XX3	B	0.00	0.44	0.00	0.00	0.44	85.0 0.37
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 47103C340	B	0.00	2.03	0.00	0.00	2.03	85.0 1.73
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 552986796	B	0.00	7.04	0.00	0.00	7.04	85.0 5.98
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 68380L506	B	0.00	7.86	0.00	0.00	7.86	85.0 6.68
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 72201F441	B	0.00	5.90	0.00	0.00	5.90	85.0 5.02
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP 85744A513	B	0.00	49.90	0.00	0.00	49.90	85.0 42.42
03/01/0018	#104771	SUMMIT BEHAVIORAL	ADP315792440	B	0.00	3.93	0.00	0.00	3.93	85.0 3.34
03/01/0018	#004560022854	COMPUANAL	ALGER 015570872	B	0.00	40.84	0.00	0.00	40.84	85.0 34.71
03/01/0018	#7230001150	SER, PAI	AMERICAN CENTURY	B	0.00	2.28	0.00	0.00	2.28	85.0 1.94
03/01/0018	#0051922870	PAI TRUST	BLCKRCK	B	0.00	11.04	0.00	0.00	11.04	85.0 9.38
03/01/0018	#0051666527	JONATHAN P	BLCKRCK	B	0.00	0.50	0.00	0.00	0.50	85.0 0.43
03/01/0018	#0051922870	PAI TRUST	BLCKRCK	B	0.00	11.04	0.00	0.00	11.04	85.0 9.38
03/01/0018	#104771	SUMMIT BEHAVIORAL	FIDELITY ADV EQUITY INCOME-CL T	B	0.00	3.65	0.00	0.00	3.65	85.0 3.10
03/01/0018	#104771	SUMMIT BEHAVIORAL	FIDELITY ADV LARGE CAP-CL T	B	0.00	3.69	0.00	0.00	3.69	85.0 3.14
03/01/0018	#104771	SUMMIT BEHAVIORAL	FRANKLIN SMALL CAP VALUE FUND CLASS B	B	0.00	2.35	0.00	0.00	2.35	85.0 2.00
03/01/0018	#104771	SUMMIT BEHAVIORAL	MAIN STREET MID CAP FUND	B	0.00	1.05	0.00	0.00	1.05	85.0 0.89
03/01/0018	#070344107084	MSDS-CONSU	NATIONWIDE FUNDS	B	0.00	23.92	0.00	0.00	23.92	85.0 20.33
03/01/0018	#104771	SUMMIT BEHAVIORAL	PRUDENTIAL HIGH YIELD CL R	B	0.00	0.72	0.00	0.00	0.72	85.0 0.61
<b>* MUTUAL FUNDS SUBTOTAL *</b>					<b>\$0.00</b>	<b>\$510.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$510.00</b>	<b>\$433.54</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

03/31/2018	#03230186648	LOGEMANN, PAM	CAPITAL APPRECIATION FUND CLASS R	B	0.00	67.18	0.00	0.00	67.18	85.0 57.10
03/31/2018	#03030051623	LOGEMANN, PAM	CAPITAL INCOME FUND	B	0.00	22.09	0.00	0.00	22.09	85.0 18.78
03/31/2018	#05430038918	LOGEMANN, PAM	CONSERVATIVE INVESTOR FUND	B	0.00	48.08	0.00	0.00	48.08	85.0 40.87
03/31/2018	#05030031394	LOGEMANN, PAM	DISCOVERY FUND	B	0.00	161.42	0.00	0.00	161.42	85.0 137.21
03/31/2018	#02430023925	LOGEMANN, PAM	EQUITY INCOME FUND CLASS R	B	0.00	151.45	0.00	0.00	151.45	85.0 128.73
03/31/2018	#02180082060	LOGEMANN, PAM	GLOBAL OPPORTUNITY	B	0.00	120.18	0.00	0.00	120.18	85.0 102.15
03/31/2018	#01930050545	LOGEMANN, PAM	GLOBAL STRATEGIC FUND	B	0.00	3.57	0.00	0.00	3.57	85.0 3.03
03/31/2018	#07630161590		GOVERNMENT CASH RESERVES	B	0.00	0.00	0.00	0.00	0.00	85.0 0.00
03/31/2018	#07630245355	LOGEMANN, PAM	GOVERNMENT CASH RESERVES	B	0.00	0.00	0.00	0.00	0.00	85.0 0.00
03/31/2018	#08790129492	LOGEMANN, PAM	INTERNATIONAL BOND FUND	B	0.00	126.29	0.00	0.00	126.29	85.0 107.35
03/31/2018	#02790026510	LOGEMANN, PAM	INTERNATIONAL EQUITY FUND CLASS R	B	0.00	116.50	0.00	0.00	116.50	85.0 99.03
03/31/2018	#08580081279	LOGEMANN, PAM	LIMITED TERM GOVERNMENT	B	0.00	13.35	0.00	0.00	13.35	85.0 11.35
03/31/2018	#04230035882	LOGEMANN, PAM	MAIN STREET FUND CLASS R	B	0.00	343.33	0.00	0.00	343.33	85.0 291.83
03/31/2018	#08510155265	LOGEMANN, PAM	MAIN STREET MID CAP FUND	B	0.00	184.20	0.00	0.00	184.20	85.0 156.57
03/31/2018	#02690103807	LOGEMANN, PAM	MID CAP VALUE FUND CLASS R	B	0.00	372.61	0.00	0.00	372.61	85.0 316.72
03/31/2018	#05930126500	LOGEMANN, PAM	OPPENHEIMER 68382F408	B	0.00	0.02	0.00	0.00	0.02	85.0 0.02
03/31/2018	#03330179685	LOGEMANN, PAM	OPPENHEIMER GLOBAL FUND	B	0.00	305.68	0.00	0.00	305.68	85.0 259.83
03/31/2018	#02157500427	PLAN, PROFIT	OPPENHEIMER GLOBAL OPPORTUNITIES FD B	B	0.00	9.63	0.00	0.00	9.63	85.0 8.19

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<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>										
03/31/2018	#05480075955	LOGEMANN, PAM		PORTFOLIO SERIES MODERATE	B	0.00	78.17	0.00	0.00	78.17 85.0 66.44
04/01/2018	#07122988138	LOGEMANN, PAM		INVESCO SHORT TERM BOND FUND CLASS	B	0.00	0.16	0.00	0.00	0.16 85.0 0.14
04/01/2018	#00090000199	COMPUANAL		MFS 55275E499	B	0.00	209.70	0.00	0.00	209.70 85.0 178.25
04/01/2018	#00090000205	COMPUANAL		MFS 55275E598	B	0.00	192.93	0.00	0.00	192.93 85.0 163.99
04/01/2018	#00090000216	COMPUANAL		MFS 55275E697	B	0.00	229.53	0.00	0.00	229.53 85.0 195.10
04/01/2018	#09886112833	COMPUANAL		MFS 55275E804	B	0.00	103.22	0.00	0.00	103.22 85.0 87.74
04/01/2018	#00090000686	COMPUANAL		MFS 552986689	B	0.00	112.12	0.00	0.00	112.12 85.0 95.30
04/01/2018	#00090000084	COMPUANAL		MID CAP VALUE FUND	B	0.00	303.38	0.00	0.00	303.38 85.0 257.87
04/04/2018	#01240122695	PLAN, PROFIT		PIMCO REAL RETURN R	B	0.00	0.05	0.00	0.00	0.05 85.0 0.04
04/04/2018	#04505016698	LOGEMANN, PAM		PIMCO TOTAL RETURN R	B	0.00	7.99	0.00	0.00	7.99 85.0 6.79
04/04/2018	#04505016698	LOGEMANN, PAM		PIMCO TOTAL RETURN R	B	0.00	7.99	0.00	0.00	7.99 85.0 6.79
04/04/2018	#01240122695	PLAN, PROFIT		PIMCO TOTAL RETURN R	B	0.00	9.68	0.00	0.00	9.68 85.0 8.23
04/06/2018	#01468689839	COMPUANAL		FIDELITY ADVISOR ENERGY CL A	B	0.00	39.62	0.00	0.00	39.62 85.0 33.68
04/06/2018	#01468689839	COMPUANAL		FIDELITY ADVISOR SER VII ADVISOR RE B	B	0.00	59.52	0.00	0.00	59.52 85.0 50.59
04/06/2018	#00485888168	PARK-B-SMI		FIDELITY FMR1205	B	0.00	0.50	0.00	0.00	0.50 85.0 0.43
04/06/2018	#00485888168	PARK-B-SMI		FIDELITY FMR1291	B	0.00	0.04	0.00	0.00	0.04 85.0 0.03
04/06/2018	#00485888168	PARK-B-SMI		FIDELITY FMR1321	B	0.00	0.53	0.00	0.00	0.53 85.0 0.45
04/06/2018	#00485888168	PARK-B-SMI		FIDELITY FMR186	B	0.00	0.76	0.00	0.00	0.76 85.0 0.65
04/06/2018	#00485888168	PARK-B-SMI		FIDELITY FMR2443	B	0.00	0.74	0.00	0.00	0.74 85.0 0.63
04/06/2018	#00485888168	PARK-B-SMI		FIDELITY FMR251	B	0.00	0.75	0.00	0.00	0.75 85.0 0.64
04/06/2018	#00485888168	PARK-B-SMI		FIDELITY FMR258	B	0.00	4.78	0.00	0.00	4.78 85.0 4.06
04/06/2018	#00485888168	PARK-B-SMI		FIDELITY FMR2709	B	0.00	0.49	0.00	0.00	0.49 85.0 0.42
04/06/2018	#00485888168	PARK-B-SMI		FMR1128	B	0.00	2.47	0.00	0.00	2.47 85.0 2.10
04/06/2018	#00485888168	PARK-B-SMI		FMR1184	B	0.00	28.22	0.00	0.00	28.22 85.0 23.99
04/06/2018	#00485888168	PARK-B-SMI		FMR1189	B	0.00	85.88	0.00	0.00	85.88 85.0 73.00
04/06/2018	#00485888168	PARK-B-SMI		FMR1194	B	0.00	22.11	0.00	0.00	22.11 85.0 18.79
04/06/2018	#00485888168	PARK-B-SMI		FMR1199	B	0.00	1.71	0.00	0.00	1.71 85.0 1.45
04/06/2018	#00485888168	PARK-B-SMI		FMR1296	B	0.00	8.75	0.00	0.00	8.75 85.0 7.44
04/06/2018	#00485888168	PARK-B-SMI		FMR1302	B	0.00	72.55	0.00	0.00	72.55 85.0 61.67
04/06/2018	#00485888168	PARK-B-SMI		FMR1307	B	0.00	86.96	0.00	0.00	86.96 85.0 73.92
04/06/2018	#00485888168	PARK-B-SMI		FMR1397	B	0.00	2.17	0.00	0.00	2.17 85.0 1.84
04/06/2018	#00485888168	PARK-B-SMI		FMR1599	B	0.00	16.02	0.00	0.00	16.02 85.0 13.62
04/06/2018	#00485888168	PARK-B-SMI		FMR1605	B	0.00	2.56	0.00	0.00	2.56 85.0 2.18
04/06/2018	#00485888168	PARK-B-SMI		FMR1816	B	0.00	3.19	0.00	0.00	3.19 85.0 2.71
04/06/2018	#00485888168	PARK-B-SMI		FMR246	B	0.00	2.45	0.00	0.00	2.45 85.0 2.08
04/06/2018	#00485888168	PARK-B-SMI		FMR260	B	0.00	5.97	0.00	0.00	5.97 85.0 5.07
04/06/2018	#00485888168	PARK-B-SMI		FMR272	B	0.00	2.91	0.00	0.00	2.91 85.0 2.47
04/06/2018	#00485888168	PARK-B-SMI		FMR2740	B	0.00	55.79	0.00	0.00	55.79 85.0 47.42
04/06/2018	#00485888168	PARK-B-SMI		FMR294	B	0.00	2.85	0.00	0.00	2.85 85.0 2.42
				<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$3,810.79</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$3,810.79 \$3,239.19</b>

COMMISSION STATEMENT for KENNETH STOKES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
*** BROKER TRANSACTIONS TOTAL ***				\$0.00	\$4,320.79	\$0.00	\$0.00	\$4,320.79		\$3,672.73

ADJUSTMENTS

05/20/2018	ADMIN FEE: ADMIN FEE									-320.00
05/20/2018	E & O INSURANCE: E & O INSURANCE									-179.00
*** ADJUSTMENTS TOTAL ***										\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,672.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,173.73
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