

**COMMISSION STATEMENT****January 31, 2017**

ALAN LICHTENSTEIN  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: L133  
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/13/2017	WITKIN, CAROL ANN	EQIS CAPITAL MGMT	24,444.56	10.19	0.00	10.19	75.0	7.64
* RIA SUBTOTAL *			\$24,444.56	\$10.19	\$0.00	\$10.19		\$7.64
*** BROKER TRANSACTIONS TOTAL ***			\$24,444.56	\$10.19	\$0.00	\$10.19		\$7.64

**BROKER COMMISSION TOTALS**

Broker Transactions	\$7.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$30.18
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$37.82
Year-to-date Gross	\$30.05
Year-to-date Earnings	\$22.53

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed