

**COMMISSION STATEMENT****April 15, 2018**

DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PFLE
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: G3G
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^04/06/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	-9,319.47	22.00	22.00	0.00	100.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$-9,319.47	\$22.00	\$22.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^04/06/2018	BARRY, ROBERT	VANGUARD WORLD FD VANGUARD MEGA CAP	11,476.02	0.00	22.50	-22.50	100.0	0.00
		* STOCKS SUBTOTAL *	\$11,476.02	\$0.00	\$22.50	\$-22.50		\$0.00
		*** CLEARING TRANSACTIONS TOTAL ***	\$2,156.55	\$22.00	\$44.50	\$-22.50		\$0.00
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^03/20/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.22	0.00	0.22	100.0	0.00
^03/19/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.56	0.00	0.56	100.0	0.00
^03/19/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.13	0.00	0.13	100.0	0.00
^03/19/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.62	0.00	1.62	100.0	0.00
^03/19/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.35	0.00	1.35	100.0	0.00
^03/19/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	7.80	0.00	7.80	100.0	0.00
^03/19/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	6.92	0.00	6.92	100.0	0.00
^03/19/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.57	0.00	0.57	100.0	0.00
^03/23/2018	PFLEGER, CLIFFORD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.38	0.00	0.38	100.0	0.00
^03/12/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.94	0.00	0.94	100.0	0.00
^03/12/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.17	0.00	4.17	100.0	0.00
^ / /	MORROUN, STEVEN	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.41	0.00	0.41	100.0	0.00
^ / /	MORROUN, STEVEN	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.54	0.00	0.54	100.0	0.00
^03/22/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	1.79	0.00	1.79	100.0	0.00
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$27.40	\$0.00	\$27.40		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
		*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$27.40	\$0.00	\$27.40		\$0.00
<u>ADJUSTMENTS</u>								
04/15/2018		*PERSHING: PERSHING MARKUP	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - BATTAGLIA	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - BUZZETTA	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - CALAMAS	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - GALGANO	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - HENNEFORTH	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - JOHNSON	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - PAVIS	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - PLUME	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - SHEA III	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - SINISGALLI	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - SKOLNICK	0.00					0.00
04/15/2018		*PERSHING: BOOKS & RECORDS	0.00					0.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

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** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00