



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 31, 2017

01/27/2017
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NATHANIEL KUHN
612 S. Bristol Lane

BROKER #: K134
BRANCH: KUHN FINANCIAL, INC

Arlington Heights, IL 60005-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
01/11/2017	ROCHE TRUST, PAULA	HPI REAL ESTATE OPP FUND IV LLC	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$25,000.00	\$1,750.00	\$0.00	\$1,750.00		\$1,575.00
<u>PRODUCT CATEGORY: 1031 EXCHANGE</u>								
12/29/2016	BILLINGS TRUST, JULIE	INLAND NAT'L MULTI-FMLY PF I DST	300,000.00	11,265.00	0.00	11,265.00	90.0	10,138.50
12/29/2016	BILLINGS TRUST, JULIE	INLAND SELF-STORAGE PORTFOLIO II DS	200,000.00	7,500.00	0.00	7,500.00	90.0	6,750.00
		* 1031 EXCHANGE SUBTOTAL *	\$500,000.00	\$18,765.00	\$0.00	\$18,765.00		\$16,888.50
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS AMCAP A	50.00	1.38	0.00	1.38	90.0	1.24
01/17/2017	PARADISE, MICHAEL	AM FUNDS AMCAP A	63.00	1.73	0.00	1.73	90.0	1.56
01/17/2017	KENNEDY, RACHEL	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	90.0	2.25
01/20/2017	STETTER, MARIA	AM FUNDS AMCAP A	50.00	2.13	0.00	2.13	90.0	1.92
01/17/2017	KENNEDY, RACHEL	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
01/20/2017	STETTER, MARIA	AM FUNDS AMERICAN BALANCED A	50.00	2.13	0.00	2.13	90.0	1.92
01/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS AMERICAN BALANCED C	100.00	1.00	0.00	1.00	90.0	0.90
01/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS BOND FUND OF AMERICA C	50.00	0.50	0.00	0.50	90.0	0.45
01/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS CAPITAL WORLD GRTH & INC C	50.00	0.50	0.00	0.50	90.0	0.45
01/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	90.0	1.24
01/17/2017	WEIDENFELLER, KIRSTEN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	90.0	2.25
01/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.38	0.00	1.38	90.0	1.24
01/17/2017	LIM, SUSIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	2.25
01/10/2017	MARASOVICH, THOMAS	AM FUNDS GLOBAL BALANCED A	50.00	2.13	0.00	2.13	90.0	1.92
01/10/2017	MARASOVICH, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
01/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
01/17/2017	PARADISE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	62.00	1.71	0.00	1.71	90.0	1.54
01/17/2017	LIM, SUSIE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/17/2017	SANTOS, JAIMEE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
01/17/2017	OCHAL, VALERIE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
01/17/2017	TOLLEFSON, LOUANN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
01/17/2017	WEIDENFELLER, KIRSTEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
01/17/2017	WILLIS, JUDE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
01/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS GROWTH FUND OF AMERICA C	125.00	1.25	0.00	1.25	90.0	1.13
01/17/2017	PARADISE, MICHAEL	AM FUNDS ICA A	62.00	1.71	0.00	1.71	90.0	1.54
01/17/2017	WEIDENFELLER, KIRSTEN	AM FUNDS ICA A	50.00	2.50	0.00	2.50	90.0	2.25
01/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS ICA C	125.00	1.25	0.00	1.25	90.0	1.13
01/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS NEW WORLD C	50.00	0.50	0.00	0.50	90.0	0.45
01/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS SMALLCAP WORLD A	50.00	1.38	0.00	1.38	90.0	1.24
01/17/2017	PARADISE, MICHAEL	AM FUNDS SMALLCAP WORLD A	63.00	1.73	0.00	1.73	90.0	1.56
01/17/2017	LIM, SUSIE	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
01/17/2017	SANTOS, JAIMEE	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
01/17/2017	WILLIS, JUDE	HARTFORD BALANCED ALLOCATION FUND C	50.00	0.50	0.00	0.50	90.0	0.45
/ /	SANTOS, JAIMEE	HARTFORD FLOATING RATE C	0.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *			\$1,900.00	\$61.30	\$0.00	\$61.30		\$55.19
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/10/2017	DUPUIS, ROGER	GUARDIAN LIFE INS TRAILS	0.00	95.50	0.00	95.50	90.0	85.95
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$95.50	\$0.00	\$95.50		\$85.95
*** BROKER TRANSACTIONS TOTAL ***			\$526,900.00	\$20,671.80	\$0.00	\$20,671.80		\$18,604.64

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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BROKER COMMISSION TOTALS

Broker Transactions	\$18,604.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$18,604.64
Year-to-date Gross	\$22,485.50
Year-to-date Earnings	\$20,237.17