



COMMISSION STATEMENT

April 16, 2018

JOHN AMBRUSCO
317 Pequot Avenue
PO Box 528
Southport, CT 06890

BROKER #: 468
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/02/2018	***-**-185P - SOKOLOWSKA, ACCOUNT #: 01487146209	FIDELITY ADV MF 040813 BUY 8 Units @20.8200	175.00	8.75	0.00	8.75	50.0	4.38
04/02/2018	***-**-185P - SOKOLOWSKA, ACCOUNT #: 01468375868	FIDELITY ADV MF 040813 BUY 8 Units @20.8200	175.00	8.75	0.00	8.75	50.0	4.38
04/02/2018	***-**-185P - SOKOLOWSKA, ACCOUNT #: 01469206344	FIDELITY ADV MF 040813 BUY 8 Units @20.8200	175.00	8.75	0.00	8.75	50.0	4.38
* MUTUAL FUNDS SUBTOTAL *			\$525.00	\$26.25	\$0.00	\$26.25		\$13.14
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/11/2018	***-**-88IN - CARSON, RICHARD ACCOUNT #: 0616685542	DREYFUS MF TRAILS BUY 0 Units @0.0000	0.00	2.83	0.00	2.83	50.0	1.42
04/11/2018	***-**-8866 - PJURA, ANNE T ACCOUNT #: 3357369994	DREYFUS MF TRAILS BUY 0 Units @0.0000	0.00	27.08	0.00	27.08	50.0	13.54
04/16/2018	***-**-56IN - GUERRERA-SAPONE, ACCOUNT #: 0013963731	DREYFUS MF TRAILS BUY 0 Units @0.0000	0.00	17.06	0.00	17.06	50.0	8.53
03/31/2018	***-**-33IN - PIROLI, DONNA ACCOUNT #: 03308118319	GLOBAL FUND A BUY 0 Units @0.0000	0.00	9.22	0.00	9.22	50.0	4.61
03/31/2018	***-**-33IN - PIROLI, DONNA ACCOUNT #: 05003614820	OPPENHEIMER DISCOVERY CLASS A BUY 0 Units @0.0000	0.00	6.89	0.00	6.89	50.0	3.45
04/16/2018	***-**-765I - CHERUBINI, BUY 0 Units @0.0000	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.74	0.00	0.74	50.0	0.37
04/16/2018	***-**-615P - AMBRUSCO, JOHN BUY 0 Units @0.0000	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	3.56	0.00	3.56	50.0	1.78
04/16/2018	***-**-977I - RUSSELL, TIMOTHY BUY 0 Units @0.0000	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	4.01	0.00	4.01	50.0	2.01
04/16/2018	***-**-952I - SMART, BARBARA BUY 0 Units @0.0000	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	3.24	0.00	3.24	50.0	1.62
04/16/2018	***-**-866I - PJURA, ANNE BUY 0 Units @0.0000	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	22.67	0.00	22.67	50.0	11.34
04/16/2018	***-**-5545 - AMBRUSCO, DENISE ACCOUNT #: 32279280	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	195.45	0.00	195.45	50.0	97.73
04/16/2018	***-**-388H - CARSON, RICHARD BUY 0 Units @0.0000	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	40.42	0.00	40.42	50.0	20.21



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/16/2018	***-**-388H - CARSON, RICHARD	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	18.11	0.00	18.11	50.0	9.06
04/16/2018	***-**-977I - RUSSELL, TIMOTHY	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	23.88	0.00	23.88	50.0	11.94
04/16/2018	***-**-952I - SMART, BARBARA	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	23.98	0.00	23.98	50.0	11.99
04/16/2018	***-**-765H - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.42	0.00	0.42	50.0	0.21
04/16/2018	***-**-615P - AMBRUSCO, JOHN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	10.40	0.00	10.40	50.0	5.20
04/16/2018	***-**-866I - PJURA, ANNE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	9.19	0.00	9.19	50.0	4.60
04/16/2018	***-**-1058 - LIPTON, DAVID	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	275.44	0.00	275.44	50.0	137.72
04/16/2018	***-**-1058 - LIPTON, DAVID	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	86.47	0.00	86.47	50.0	43.24
04/16/2018	***-**-977I - RUSSELL, TIMOTHY	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	15.18	0.00	15.18	50.0	7.59
04/16/2018	***-**-952I - SMART, BARBARA	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	15.24	0.00	15.24	50.0	7.62
04/16/2018	***-**-2319 - MARTIN, DIANE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	11.22	0.00	11.22	50.0	5.61
04/16/2018	***-**-765I - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.39	0.00	0.39	50.0	0.20
04/16/2018	***-**-615P - AMBRUSCO, JOHN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	10.17	0.00	10.17	50.0	5.09
04/16/2018	***-**-977I - RUSSELL, TIMOTHY	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	15.09	0.00	15.09	50.0	7.55
04/16/2018	***-**-952I - SMART, BARBARA	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	15.18	0.00	15.18	50.0	7.59
04/16/2018	***-**-765H - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.23	0.00	0.23	50.0	0.12



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/16/2018	***-**-615P - AMBRUSCO, JOHN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	8.10	0.00	8.10	50.0	4.05
04/16/2018	***-**-765I - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.26	0.00	0.26	50.0	0.13
04/16/2018	***-**-765I - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.26	0.00	0.26	50.0	0.13
04/16/2018	***-**-615P - AMBRUSCO, JOHN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	8.20	0.00	8.20	50.0	4.10
04/16/2018	***-**-866I - PJURA, ANNE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	5.09	0.00	5.09	50.0	2.55
04/16/2018	***-**-977I - RUSSELL, TIMOTHY	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	12.90	0.00	12.90	50.0	6.45
04/16/2018	***-**-952I - SMART, BARBARA	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	12.99	0.00	12.99	50.0	6.50
04/16/2018	***-**-866I - PJURA, ANNE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	5.95	0.00	5.95	50.0	2.98
04/16/2018	***-**-615P - AMBRUSCO, JOHN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	10.31	0.00	10.31	50.0	5.16
04/16/2018	***-**-507R - COMPANY,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	46.00	0.00	46.00	50.0	23.00
04/16/2018	***-**-001I -	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	15.52	0.00	15.52	50.0	7.76
04/16/2018	***-**-837I - RUSSELL, GEORGE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	38.02	0.00	38.02	50.0	19.01
04/16/2018	***-**-837I - RUSSELL, GEORGE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	10.70	0.00	10.70	50.0	5.35
04/16/2018	***-**-765I - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.72	0.00	0.72	50.0	0.36
04/16/2018	***-**-615P - AMBRUSCO, JOHN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	12.44	0.00	12.44	50.0	6.22
04/16/2018	***-**-765I - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.45	0.00	0.45	50.0	0.23



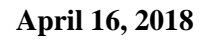
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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/16/2018	***-**-765I - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.45	0.00	0.45	50.0	0.23
04/16/2018	***-**-765H - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.48	0.00	0.48	50.0	0.24
04/16/2018	***-**-866I - PJURA, ANNE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	26.71	0.00	26.71	50.0	13.36
04/16/2018	***-**-61CL - AMBRUSCO,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	14.68	0.00	14.68	50.0	7.34
04/16/2018	***-**-1704 - CAPPETTA ACCOUNT #: IRK121845	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	47.59	0.00	47.59	50.0	23.80
03/31/2018	***-**-88IN - CARSON, RICHARD ACCOUNT #: 02322977898	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	0.00	24.85	0.00	24.85	50.0	12.43
03/31/2018	***-**-88IN - CARSON, RICHARD ACCOUNT #: 02220639980	U.S. GOVERNMENT TRUST C BUY 0 Units @0.0000	0.00	10.89	0.00	10.89	50.0	5.45
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,177.32	\$0.00	\$1,177.32		\$588.77
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
04/11/2018	***-**-160K - DIGITAL INC, GM ACCOUNT #: MM837763	MASS MUTUAL VA TRAILS BUY 1 Units @0.0000	0.00	21.60	0.00	21.60	50.0	10.80
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$21.60	\$0.00	\$21.60		\$10.80
*** BROKER TRANSACTIONS TOTAL ***			\$525.00	\$1,225.17	\$0.00	\$1,225.17		\$612.71



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				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
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						BROKER COMMISSION TOTALS	
						Broker Transactions	\$612.71
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$84.99
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$697.70
						Year-to-date Earnings	\$1,879.69