

COMMISSION STATEMENT

September 29, 2017

KINGSBURY INC.

BROKER #: 86

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
09/11/2017	75597035 PERKINSON, DOLORES	FRANKLIN U.S. GOVERNMENT SECURITIES S		1,500.00	0.00	0.00	0.00	100.0	0.00
09/25/2017	75597072 JAMES R MENZ TTEE	BLACKROCK HEALTH SCIENCES FUND CLAS B		45,000.00	1,687.50	0.00	1,687.50	100.0	1,687.50
09/25/2017	75597075 VONEY, SUSAN	INVESTMENT COMPANY OF AMERICA CLASS B		3,568.00	35.68	0.00	35.68	100.0	35.68
09/20/2017	75597091 BOL, BEVERLY	CAPITAL APPRECIATION A	S	344.00	0.00	0.00	0.00	100.0	0.00
09/20/2017	75597105 DAVIS, DONALD	NEW PERSPECTIVE FUND-A	S	2,800.00	0.00	0.00	0.00	100.0	0.00
09/21/2017	75597123 AMBERG, JOHN	AMCAP FUND-C	B	5,000.00	50.00	0.00	50.00	100.0	50.00
09/21/2017	75597123 AMBERG, JOHN	AMERICAN BALANCED FUND-C	B	5,000.00	50.00	0.00	50.00	100.0	50.00
09/21/2017	75597123 AMBERG, JOHN	AMERICAN FDS TARGET DATE RETIREMENT B		5,000.00	50.00	0.00	50.00	100.0	50.00
09/21/2017	75597123 AMBERG, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN B		2,500.00	25.00	0.00	25.00	100.0	25.00
09/21/2017	75597123 AMBERG, JOHN	EUROPACIFIC GROWTH FUND CLASS C	B	2,500.00	25.00	0.00	25.00	100.0	25.00
09/21/2017	75597123 AMBERG, JOHN	FUNDAMENTAL INVESTORS-C	B	5,000.00	50.00	0.00	50.00	100.0	50.00
09/21/2017	75597123 AMBERG, JOHN	NEW PERSPECTIVE FUND-C	B	5,000.00	50.00	0.00	50.00	100.0	50.00
09/22/2017	75597173 NELSON, CAROLE	MAINSTAY LARGE CAP GROWTH FUND CLAS S		800.00	0.00	0.00	0.00	100.0	0.00
09/20/2017	75597185 BOL, BEVERLY	PIONEER FUND A	S	231.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$84,243.00	\$2,023.18	\$0.00	\$2,023.18		\$2,023.18

PRODUCT CATEGORY: STOCKS

09/26/2017	75597001 VELLON, JOSEPH	BLACKROCK CAPITAL INVESTMENT CORPOR B		3,672.99	90.00	12.00	78.00	100.0	78.00
09/25/2017	75597046 MENZ, SUSAN	CNO FINANCIAL GROUP INC	S	11,530.65	245.00	12.00	233.00	100.0	233.00
09/25/2017	75597046 MENZ, SUSAN	ROYAL DUTCH SHELL PLC SPONSORED ADR S		29,921.50	345.00	12.00	333.00	100.0	333.00
09/25/2017	75597047 MENZ, SUSAN	HERCULES CAPITAL INC	B	7,260.53	145.00	12.00	133.00	100.0	133.00
09/25/2017	75597047 MENZ, SUSAN	ROYAL DUTCH SHELL PLC SPONSORED ADR S		14,950.85	225.00	12.00	213.00	100.0	213.00
09/25/2017	75597061 LOIACANO, LAURETTE	ARES CAPITAL CORPORATION	S	12,989.70	250.00	12.00	238.00	100.0	238.00
09/25/2017	75597061 LOIACANO, LAURETTE	CORBUS PHARMACEUTICALS HOLDINGS INC S		3,378.40	75.00	12.00	63.00	100.0	63.00
09/25/2017	75597061 LOIACANO, LAURETTE	HERCULES CAPITAL INC	S	9,712.01	200.00	12.00	188.00	100.0	188.00
09/25/2017	75597070 MENZ, JAMES	ROYAL DUTCH SHELL PLC SPONSORED ADR S		6,884.29	144.66	12.00	132.66	100.0	132.66
09/25/2017	75597072 JAMES R MENZ TTEE	ARES CAPITAL CORPORATION	B	8,716.90	239.88	12.00	227.88	100.0	227.88
08/31/2017	75597134 KOSIR, B TED	MATINAS BIOPHARMA HLDGS INC COM	S	6,803.34	105.00	12.00	93.00	100.0	93.00
08/31/2017	75597134 KOSIR, B TED	MATINAS BIOPHARMA HLDGS INC COM	S	6,804.36	105.00	12.00	93.00	100.0	93.00
08/31/2017	75597134 KOSIR, B TED	MATINAS BIOPHARMA HLDGS INC COM	S	1,622.65	25.20	12.00	13.20	100.0	13.20
09/05/2017	75597134 KOSIR, B TED	MATINAS BIOPHARMA HLDGS INC COM	S	4,033.90	65.16	12.00	53.16	100.0	53.16

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/07/2017	75597134	KOSIR, B TED	MATINAS BIOPHARMA HLDGS INC COM	S	6,749.00	105.00	12.00	93.00 100.0	93.00
09/07/2017	75597134	KOSIR, B TED	MATINAS BIOPHARMA HLDGS INC COM	S	6,739.12	105.00	12.00	93.00 100.0	93.00
09/07/2017	75597134	KOSIR, B TED	MATINAS BIOPHARMA HLDGS INC COM	S	6,757.51	105.00	12.00	93.00 100.0	93.00
09/07/2017	75597134	KOSIR, B TED	MATINAS BIOPHARMA HLDGS INC COM	S	2,638.16	54.96	12.00	42.96 100.0	42.96
09/13/2017	75597150	BLITZ, EDWIN	NASDAQ INC COM	S	22,455.03	20.00	12.00	8.00 100.0	8.00
09/26/2017	75597159	MILES, GARY	BLACKROCK CAPITAL INVESTMENT CORPOR B		14,656.04	190.00	12.00	178.00 100.0	178.00
09/26/2017	75597159	MILES, GARY	CNO FINANCIAL GROUP INC	S	17,241.48	190.00	12.00	178.00 100.0	178.00
09/26/2017	75597160	MILES, GARY	BLACKROCK CAPITAL INVESTMENT CORPOR B		14,654.60	190.00	12.00	178.00 100.0	178.00
09/13/2017	75597166	KOE, LINDA	TESLA MOTORS INC	B	10,960.50	25.00	12.00	13.00 100.0	13.00
* STOCKS SUBTOTAL *				\$231,133.51	\$3,244.86	\$276.00	\$2,968.86		\$2,968.86
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
09/29/2017	VARIOUS, INC.	MARGIN INTEREST	B	0.00	784.75	12.00	772.75 100.0		772.75
09/29/2017	VARIOUS, INC.	POSTAGE AND HANDLING	B	0.00	187.00	12.00	175.00 100.0		175.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$971.75	\$24.00	\$947.75		\$947.75
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
09/06/2017	75597096	SCHRAM, STEWART	UIT GUGGENHEIM DEFINED PORTFOL SER B		5,004.47	81.72	12.00	69.72 100.0	69.72
09/06/2017	75597096	SCHRAM, STEWART	UIT GUGGENHEIM DEFINED PORTFOL SER S		-5,004.47	-81.72	-12.00	-69.72 100.0	-69.72 C
09/06/2017	75597096	SCHRAM, STEWART	UIT GUGGENHEIM DEFINED PORTFOL SER B		5,004.47	100.11	12.00	88.11 100.0	88.11
* UNIT INVESTMENT TRUSTS SUBTOTAL *				\$5,004.47	\$100.11	\$12.00	\$88.11		\$88.11
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/12/2017	75597002	WEINER, MARK	IVY INTERNATIONAL CORE EQUITY FUND B		0.00	3.65	0.00	3.65 100.0	3.65
09/12/2017	75597002	WEINER, MARK	VIRTUS SMALL CAP SUSTAINABLE GROWTH B		0.00	2.78	0.00	2.78 100.0	2.78
09/12/2017	75597002	WEINER, MARK	WELLS FARGO FDS SPL SMALL CAP VALUE B		0.00	2.44	0.00	2.44 100.0	2.44
09/12/2017	75597002	WEINER, MARK	WELLS FARGO SPECIAL MID CAP VALUE F B		0.00	2.41	0.00	2.41 100.0	2.41
09/12/2017	75597003	WEINER, MARK	**VOYA EQUITY TR	B	0.00	3.88	0.00	3.88 100.0	3.88
09/12/2017	75597003	WEINER, MARK	IVY INTERNATIONAL CORE EQUITY FUND B		0.00	6.97	0.00	6.97 100.0	6.97
09/12/2017	75597003	WEINER, MARK	JANUS INVT FD HENDERSON INTL OPPRTN B		0.00	6.65	0.00	6.65 100.0	6.65
09/12/2017	75597003	WEINER, MARK	NEW WORLD FUND-A	B	0.00	4.05	0.00	4.05 100.0	4.05
09/12/2017	75597003	WEINER, MARK	WELLS FARGO FDS SPL SMALL CAP VALUE B		0.00	5.62	0.00	5.62 100.0	5.62
09/12/2017	75597003	WEINER, MARK	WELLS FARGO SPECIAL MID CAP VALUE F B		0.00	9.81	0.00	9.81 100.0	9.81
09/26/2017	75597003	WEINER, MARK	VICTORY SYCAMORE	B	0.00	9.90	0.00	9.90 100.0	9.90
09/26/2017	75597003	WEINER, MARK	VICTORY SYCAMORE SMALL	B	0.00	5.86	0.00	5.86 100.0	5.86
09/12/2017	75597005	MOUGHAN, PATRICK	BLACKROCK HEALTH SCIENCES FUND CLAS B		0.00	17.60	0.00	17.60 100.0	17.60
09/12/2017	75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS BANKING FD CL A	B	0.00	17.06	0.00	17.06 100.0	17.06
09/12/2017	75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS FINL SVCS FD CL A	B	0.00	17.18	0.00	17.18 100.0	17.18
09/12/2017	75597007	BRENT, NANCY	CAPITAL INCOME A	B	0.00	20.31	0.00	20.31 100.0	20.31
09/12/2017	75597008	VELLON, MICHAEL	BLACKROCK HEALTH SCIENCES FUND CLAS B		0.00	10.96	0.00	10.96 100.0	10.96
09/12/2017	75597008	VELLON, MICHAEL	RYDEX SER FUNDS BANKING FD CL A	B	0.00	12.92	0.00	12.92 100.0	12.92

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/12/2017	75597008	VELLON, MICHAEL	RYDEX SER FUNDS FINL SVCS FD CL A	B	0.00	13.06	0.00	13.06	100.0	13.06
09/12/2017	75597009	ARNOLD, GENE	TEMP GLOBAL SM CO FND - CL A	B	0.00	11.63	0.00	11.63	100.0	11.63
09/12/2017	75597009	ARNOLD, GENE	TEMP WORLD FND - CL A	B	0.00	12.34	0.00	12.34	100.0	12.34
09/12/2017	75597013	DANTONIO, JUSTIN	FIDELITY ADVISOR EMERGING MARKETS F	B	0.00	0.61	0.00	0.61	100.0	0.61
09/12/2017	75597013	DANTONIO, JUSTIN	FIDELITY COMWLTH TR FIDELITY ADV EV	B	0.00	0.82	0.00	0.82	100.0	0.82
09/12/2017	75597014	PAMELA J EDWARDS TTEE	BOND-DEBENTURE FUND CLASS A	B	0.00	6.32	0.00	6.32	100.0	6.32
09/12/2017	75597017	ANDRE, BRUCE	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	10.50	0.00	10.50	100.0	10.50
09/12/2017	75597018	BERKSON, DEBORAH	STRATEGIC INCOME A	B	0.00	7.03	0.00	7.03	100.0	7.03
09/12/2017	75597021	BERKSON, MARC	FIDELITY ADVISOR HIGH INCOME ADVANT	B	0.00	8.54	0.00	8.54	100.0	8.54
09/12/2017	75597021	BERKSON, MARC	MAINSTAY CONVERTIBLE FUND A	B	0.00	4.29	0.00	4.29	100.0	4.29
09/12/2017	75597021	BERKSON, MARC	MFS TOTAL RETURN FUND-A	B	0.00	3.77	0.00	3.77	100.0	3.77
09/12/2017	75597021	BERKSON, MARC	STRATEGIC INCOME A	B	0.00	0.90	0.00	0.90	100.0	0.90
09/12/2017	75597021	BERKSON, MARC	THE INCOME FUND OF AMERICA-A	B	0.00	5.41	0.00	5.41	100.0	5.41
09/12/2017	75597021	BERKSON, MARC	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	6.59	0.00	6.59	100.0	6.59
09/12/2017	75597022	BERKSON, MARC	INVESTMENT GRADE BOND A	B	0.00	4.12	0.00	4.12	100.0	4.12
09/12/2017	75597022	BERKSON, MARC	STRATEGIC INCOME A	B	0.00	0.96	0.00	0.96	100.0	0.96
09/12/2017	75597024	PRESKILL, RICHARD	EATON VANCE HIGH YIELD MUNICIPAL IN	B	0.00	10.77	0.00	10.77	100.0	10.77
09/12/2017	75597024	PRESKILL, RICHARD	FRANKLIN FEDERAL TAX FREE INCOME FU	B	0.00	8.36	0.00	8.36	100.0	8.36
09/12/2017	75597024	PRESKILL, RICHARD	FRANKLIN HIGH-YIELD TAX-FREE INCOME	B	0.00	3.86	0.00	3.86	100.0	3.86
09/12/2017	75597024	PRESKILL, RICHARD	FRK AGE HIGH INC FND - CL A	B	0.00	5.04	0.00	5.04	100.0	5.04
09/12/2017	75597024	PRESKILL, RICHARD	FRK FL TF INC FND - CL A	B	0.00	13.40	0.00	13.40	100.0	13.40
09/12/2017	75597024	PRESKILL, RICHARD	FRK UTIL FND - CL A	B	0.00	37.09	0.00	37.09	100.0	37.09
09/12/2017	75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER CHINA GROWTH FU	B	0.00	3.35	0.00	3.35	100.0	3.35
09/12/2017	75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER INDIA FUND-CL	B	0.00	0.62	0.00	0.62	100.0	0.62
09/12/2017	75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER INDIA FUND-CL	A	0.00	2.39	0.00	2.39	100.0	2.39
09/12/2017	75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR LARGE CAP FUND-CL	B	0.00	2.46	0.00	2.46	100.0	2.46
09/12/2017	75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR FUNDS 315918326	B	0.00	12.04	0.00	12.04	100.0	12.04
09/12/2017	75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR FOCUS FUNDS HEALTH	B	0.00	9.94	0.00	9.94	100.0	9.94
09/12/2017	75597028	DUBINSKY, ALEKSANDER	FIDELITY INVESTMENT TRUST ADVISOR J	B	0.00	7.98	0.00	7.98	100.0	7.98
09/12/2017	75597028	DUBINSKY, ALEKSANDER	MAINSTAY FDS LARGE CAP GROWTH FD CL	B	0.00	7.06	0.00	7.06	100.0	7.06
09/12/2017	75597029	MOSES, GAIL	FRANKLIN GROWTH FUND CLASS A	B	0.00	12.90	0.00	12.90	100.0	12.90
09/12/2017	75597029	MOSES, GAIL	FRANKLIN INDIA GROWTH FD FD CL A	B	0.00	3.36	0.00	3.36	100.0	3.36
09/12/2017	75597029	MOSES, GAIL	GLOBAL FUND A	B	0.00	12.36	0.00	12.36	100.0	12.36
09/12/2017	75597029	MOSES, GAIL	MAIN STREET FUND A	B	0.00	6.73	0.00	6.73	100.0	6.73
09/12/2017	75597029	MOSES, GAIL	NEW PERSPECTIVE FUND-A	B	0.00	1.70	0.00	1.70	100.0	1.70
09/12/2017	75597029	MOSES, GAIL	TEMP DEV MRKTS TR FND - CL A	B	0.00	2.21	0.00	2.21	100.0	2.21
09/12/2017	75597030	MOSES, GAIL	FUNDAMENTAL INVESTORS-A	B	0.00	17.05	0.00	17.05	100.0	17.05
09/12/2017	75597030	MOSES, GAIL	NEW PERSPECTIVE FUND-A	B	0.00	0.83	0.00	0.83	100.0	0.83
09/12/2017	75597030	MOSES, GAIL	NEW WORLD FUND-A	B	0.00	10.80	0.00	10.80	100.0	10.80
09/12/2017	75597030	MOSES, GAIL	THE GROWTH FUND OF AMERICA-A	B	0.00	13.74	0.00	13.74	100.0	13.74

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/12/2017	75597030	MOSES, GAIL		THE INVESTMENT COMPANY OF AMERICA-A B	0.00	14.38	0.00	14.38	100.0 14.38
09/12/2017	75597031	MORGAN, STEVEN		FRANKLIN INCOME FUND CLASS A B	0.00	2.41	0.00	2.41	100.0 2.41
09/12/2017	75597032	SCHIPFER, RYAN		AMCAP FUND-C B	0.00	8.46	0.00	8.46	100.0 8.46
09/12/2017	75597032	SCHIPFER, RYAN		GLOBAL BALANCED FUND-C B	0.00	6.38	0.00	6.38	100.0 6.38
09/12/2017	75597032	SCHIPFER, RYAN		INVESTMENT COMPANY OF AMERICA CLASS B	0.00	8.60	0.00	8.60	100.0 8.60
09/12/2017	75597032	SCHIPFER, RYAN		WASHINGTON MUTUAL INVESTORS FUND-C B	0.00	8.66	0.00	8.66	100.0 8.66
09/12/2017	75597034	PERKINSON, DOLORES		MAINSTAY FDS TR FLTG RATE FD CL C B	0.00	3.50	0.00	3.50	100.0 3.50
09/12/2017	75597035	PERKINSON, DOLORES		BLACKROCK FUNDS-LOW DURATION BOND P B	0.00	0.12	0.00	0.12	100.0 0.12
09/12/2017	75597035	PERKINSON, DOLORES		BLACKROCK ENERGY & RESOURCES PORTFO B	0.00	3.39	0.00	3.39	100.0 3.39
09/12/2017	75597035	PERKINSON, DOLORES		BLACKROCK GLOBAL ALLOCATION FD INC B	0.00	9.43	0.00	9.43	100.0 9.43
09/12/2017	75597035	PERKINSON, DOLORES		FRANKLIN U.S. GOVERNMENT SECURITIES B	0.00	1.97	0.00	1.97	100.0 1.97
09/12/2017	75597036	ROBERTSON, DANIEL		BOND-DEBENTURE FUND CLASS A B	0.00	2.84	0.00	2.84	100.0 2.84
09/12/2017	75597036	ROBERTSON, DANIEL		LORD ABBETT CALIBRATED DIVIDEND GRO B	0.00	2.94	0.00	2.94	100.0 2.94
09/12/2017	75597036	ROBERTSON, DANIEL		LORD ABBETT ALPHA STRATEGY FUND CL B	0.00	5.37	0.00	5.37	100.0 5.37
09/12/2017	75597036	ROBERTSON, DANIEL		LORD ABBETT INVT TR FLTG RATE FD CL B	0.00	3.10	0.00	3.10	100.0 3.10
09/12/2017	75597041	ZIMBALIST, NAOMI		FINANCIAL INDUSTRIES A B	0.00	10.09	0.00	10.09	100.0 10.09
09/12/2017	75597041	ZIMBALIST, NAOMI		GOLD & SPECIAL MINERALS A B	0.00	1.11	0.00	1.11	100.0 1.11
09/12/2017	75597041	ZIMBALIST, NAOMI		JOHN HANCOCK FDS III GLOBAL SHAREHO B	0.00	3.33	0.00	3.33	100.0 3.33
09/12/2017	75597041	ZIMBALIST, NAOMI		OPPENHEIMER GLOBAL VALUE FD SHS CL B	0.00	4.57	0.00	4.57	100.0 4.57
09/12/2017	75597041	ZIMBALIST, NAOMI		OPPENHEIMER AMT FREE MUNICIPALS FUN B	0.00	65.93	0.00	65.93	100.0 65.93
09/12/2017	75597041	ZIMBALIST, NAOMI		REGIONAL BANK A B	0.00	15.69	0.00	15.69	100.0 15.69
09/12/2017	75597042	ZIMBALIST, NAOMI		TOUCHSTONE INVT TRUST ACTIVE BOND F B	0.00	4.68	0.00	4.68	100.0 4.68
09/12/2017	75597043	RIZZO, MICHAEL		MAINSTAY FDS TR FLTG RATE FD CL C B	0.00	23.65	0.00	23.65	100.0 23.65
09/12/2017	75597043	RIZZO, MICHAEL		VIRTUS MID-CAP GROWTH FUND CLASS A B	0.00	8.53	0.00	8.53	100.0 8.53
09/12/2017	75597044	RIZZO, MICHAEL		CAPITAL WORLD GROWTH & INCOME FUND B	0.00	0.55	0.00	0.55	100.0 0.55
09/12/2017	75597044	RIZZO, MICHAEL		EUROPACIFIC GROWTH FUND CLASS C B	0.00	1.26	0.00	1.26	100.0 1.26
09/12/2017	75597044	RIZZO, MICHAEL		GROWTH FUND OF AMERICA CLASS F B	0.00	0.74	0.00	0.74	100.0 0.74
09/12/2017	75597044	RIZZO, MICHAEL		NEW PERSPECTIVE FUND INC CL F1 B	0.00	0.61	0.00	0.61	100.0 0.61
09/12/2017	75597044	RIZZO, MICHAEL		NEW WORLD FUND-C B	0.00	2.05	0.00	2.05	100.0 2.05
09/12/2017	75597044	RIZZO, MICHAEL		VIRTUS MID-CAP GROWTH FUND CLASS A B	0.00	2.66	0.00	2.66	100.0 2.66
09/12/2017	75597044	RIZZO, MICHAEL		WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	1.21	0.00	1.21	100.0 1.21
09/12/2017	75597045	MATEGRANO, ALBERT		MAINSTAY HIGH-YIELD CORPORATE BOND B	0.00	0.50	0.00	0.50	100.0 0.50
09/12/2017	75597046	MENZ, SUSAN		BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	3.44	0.00	3.44	100.0 3.44
09/12/2017	75597046	MENZ, SUSAN		BOND-DEBENTURE FUND CLASS A B	0.00	3.54	0.00	3.54	100.0 3.54
09/12/2017	75597048	HESELBERG, SHIRLEY		FRANKLIN FEDERAL TAX FREE INCOME FU B	0.00	1.42	0.00	1.42	100.0 1.42
09/12/2017	75597048	HESELBERG, SHIRLEY		GUGGENHEIM FDS TRUST B	0.00	8.58	0.00	8.58	100.0 8.58
09/12/2017	75597048	HESELBERG, SHIRLEY		INVESTMENT COMPANY OF AMERICA CLASS B	0.00	16.72	0.00	16.72	100.0 16.72
09/12/2017	75597048	HESELBERG, SHIRLEY		IVY FDS INC LARGE CAP GROWTH FD CL B	0.00	17.46	0.00	17.46	100.0 17.46
09/12/2017	75597048	HESELBERG, SHIRLEY		SENTINEL GROUP FDS INC SENTINEL SMA B	0.00	6.30	0.00	6.30	100.0 6.30
09/12/2017	75597048	HESELBERG, SHIRLEY		THORNBURG INVT TR INTL VALUE FD CL B	0.00	3.47	0.00	3.47	100.0 3.47

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
09/12/2017 75597049	ELLIS, SHARON	INTERMEDIATE BOND FUND AMER CL F1	B	0.00	2.80	0.00	2.80	100.0	2.80
09/12/2017 75597049	ELLIS, SHARON	PUTNAM 746745108	B	0.00	6.33	0.00	6.33	100.0	6.33
09/12/2017 75597049	ELLIS, SHARON	PUTNAM 746802206	B	0.00	2.50	0.00	2.50	100.0	2.50
09/12/2017 75597049	ELLIS, SHARON	PUTNAM NEW OPPORTUNITIES FUND CLASS B	B	0.00	3.19	0.00	3.19	100.0	3.19
09/12/2017 75597054	HARRIS, REBECCA	**VOYA EQUITY TR	B	0.00	2.42	0.00	2.42	100.0	2.42
09/12/2017 75597054	HARRIS, REBECCA	AIG FOCUSED DIVIDEND STRATEGY FUND	B	0.00	3.52	0.00	3.52	100.0	3.52
09/12/2017 75597054	HARRIS, REBECCA	EMERGING GROWTH FUND A	B	0.00	3.84	0.00	3.84	100.0	3.84
09/12/2017 75597054	HARRIS, REBECCA	IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	1.43	0.00	1.43	100.0	1.43
09/12/2017 75597054	HARRIS, REBECCA	THE HARTFORD DIVIDEND & GROWTH FUND	B	0.00	3.64	0.00	3.64	100.0	3.64
09/12/2017 75597054	HARRIS, REBECCA	WELLS FARGO FDS SPL SMALL CAP VALUE	B	0.00	2.40	0.00	2.40	100.0	2.40
09/12/2017 75597054	HARRIS, REBECCA	WELLS FARGO SPECIAL MID CAP VALUE F	B	0.00	3.82	0.00	3.82	100.0	3.82
09/12/2017 75597055	HARRIS, REBECCA	**VOYA EQUITY TR	B	0.00	0.17	0.00	0.17	100.0	0.17
09/12/2017 75597055	HARRIS, REBECCA	AIG FOCUSED DIVIDEND STRATEGY FUND	B	0.00	0.50	0.00	0.50	100.0	0.50
09/12/2017 75597055	HARRIS, REBECCA	EMERGING GROWTH FUND A	B	0.00	0.51	0.00	0.51	100.0	0.51
09/12/2017 75597055	HARRIS, REBECCA	THE HARTFORD DIVIDEND & GROWTH FUND	B	0.00	0.58	0.00	0.58	100.0	0.58
09/12/2017 75597055	HARRIS, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	1.54	0.00	1.54	100.0	1.54
09/12/2017 75597055	HARRIS, REBECCA	WELLS FARGO FDS SPL SMALL CAP VALUE	B	0.00	0.37	0.00	0.37	100.0	0.37
09/12/2017 75597055	HARRIS, REBECCA	WELLS FARGO SPECIAL MID CAP VALUE F	B	0.00	0.51	0.00	0.51	100.0	0.51
09/12/2017 75597059	LUKASIK, CAROLINE	FRANKLIN GROWTH FUND CLASS A	B	0.00	15.98	0.00	15.98	100.0	15.98
09/12/2017 75597059	LUKASIK, CAROLINE	TEMP GLOBAL BOND FND - CL A	B	0.00	6.27	0.00	6.27	100.0	6.27
09/12/2017 75597060	BROOKS, JOYCE	PIONEER VALUE FUND A	B	0.00	1.50	0.00	1.50	100.0	1.50
09/12/2017 75597061	LOIACANO, LAURETTE	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	2.46	0.00	2.46	100.0	2.46
09/12/2017 75597062	RAGHIAN, PARISA	AMERICAN BALANCED FUND-A	B	0.00	1.42	0.00	1.42	100.0	1.42
09/12/2017 75597062	RAGHIAN, PARISA	AMERICAN FUNDS 02629W106	B	0.00	1.16	0.00	1.16	100.0	1.16
09/12/2017 75597062	RAGHIAN, PARISA	FUNDAMENTAL INVESTORS-A	B	0.00	1.60	0.00	1.60	100.0	1.60
09/12/2017 75597062	RAGHIAN, PARISA	NEW PERSPECTIVE FUND-A	B	0.00	1.43	0.00	1.43	100.0	1.43
09/12/2017 75597062	RAGHIAN, PARISA	THE GROWTH FUND OF AMERICA-A	B	0.00	1.66	0.00	1.66	100.0	1.66
09/12/2017 75597062	RAGHIAN, PARISA	U.S. GOVERNMENT SECURITIES FUND CLA	B	0.00	0.70	0.00	0.70	100.0	0.70
09/12/2017 75597063	PASCUA, MICHAEL	FUNDAMENTAL INVESTORS-C	B	0.00	0.50	0.00	0.50	100.0	0.50
09/12/2017 75597063	PASCUA, MICHAEL	NEW PERSPECTIVE FUND-C	B	0.00	0.50	0.00	0.50	100.0	0.50
09/12/2017 75597067	REZNICEK, DELWYN	MAIN STREET FUND C	B	0.00	74.83	0.00	74.83	100.0	74.83
09/12/2017 75597068	SACKHEIM, KAREN	CLEARBRIDGE MID CAP FUND CLASS C	B	0.00	6.39	0.00	6.39	100.0	6.39
09/12/2017 75597068	SACKHEIM, KAREN	DAVIS NEW YORK VENTURE CLASS C	B	0.00	11.26	0.00	11.26	100.0	11.26
09/12/2017 75597068	SACKHEIM, KAREN	LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	7.52	0.00	7.52	100.0	7.52
09/12/2017 75597069	SACKHEIM, KAREN	BLACKROCK GLOBAL ALLOCATION FD INC	B	0.00	7.14	0.00	7.14	100.0	7.14
09/12/2017 75597069	SACKHEIM, KAREN	DAVIS NEW YORK VENTURE CLASS C	B	0.00	3.11	0.00	3.11	100.0	3.11
09/12/2017 75597072	JAMES R MENZ TTEE	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	2.28	0.00	2.28	100.0	2.28
09/12/2017 75597072	JAMES R MENZ TTEE	BOND-DEBENTURE FUND CLASS A	B	0.00	5.76	0.00	5.76	100.0	5.76
09/12/2017 75597074	WILKOS, STANLEY	GOLDMAN SACHS GROWTH & INCOME FUND	B	0.00	3.21	0.00	3.21	100.0	3.21
09/12/2017 75597074	WILKOS, STANLEY	GOLDMAN SACHS CAPITAL GROWTH FUND C	B	0.00	5.70	0.00	5.70	100.0	5.70

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/12/2017	75597075	VONEY, SUSAN	AMERICAN BALANCED FUND INC CL F1	B	0.00	6.79	0.00	6.79	100.0	6.79
09/12/2017	75597075	VONEY, SUSAN	FRANKLIN CONVERTIBLE SECS FUND CLAS B		0.00	6.74	0.00	6.74	100.0	6.74
09/12/2017	75597075	VONEY, SUSAN	FRANKLIN INCOME FUND CLASS A	B	0.00	4.59	0.00	4.59	100.0	4.59
09/12/2017	75597075	VONEY, SUSAN	FRANKLIN TEMPLETON FUNDS CUST FDS U B		0.00	0.10	0.00	0.10	100.0	0.10
09/12/2017	75597075	VONEY, SUSAN	FRK UTIL FND - CL A	B	0.00	3.63	0.00	3.63	100.0	3.63
09/12/2017	75597075	VONEY, SUSAN	INVESTMENT CO AMER CL F1	B	0.00	7.71	0.00	7.71	100.0	7.71
09/12/2017	75597076	DAVIS, MARGARET	MID-CAP VALUE FUND CLASS A	B	0.00	3.29	0.00	3.29	100.0	3.29
09/12/2017	75597077	DAVIS, MARGARET	AMERICAN MUTUAL FUND-A	B	0.00	4.27	0.00	4.27	100.0	4.27
09/12/2017	75597077	DAVIS, MARGARET	FRANKLIN/TEMPLETON FUNDS 88020E100	B	0.00	1.82	0.00	1.82	100.0	1.82
09/12/2017	75597077	DAVIS, MARGARET	PUTNAM 746745108	B	0.00	7.05	0.00	7.05	100.0	7.05
09/12/2017	75597077	DAVIS, MARGARET	THE GROWTH FUND OF AMERICA-A	B	0.00	3.34	0.00	3.34	100.0	3.34
09/12/2017	75597078	DAVIS, MARGARET	AMERICAN BALANCED FUND-A	B	0.00	6.20	0.00	6.20	100.0	6.20
09/12/2017	75597078	DAVIS, MARGARET	GOLD & SPECIAL MINERALS A	B	0.00	0.58	0.00	0.58	100.0	0.58
09/12/2017	75597078	DAVIS, MARGARET	OPPENHEIMER SMALL & MID CAP VALUE F B		0.00	2.00	0.00	2.00	100.0	2.00
09/12/2017	75597078	DAVIS, MARGARET	THE INCOME FUND OF AMERICA-A	B	0.00	4.79	0.00	4.79	100.0	4.79
09/12/2017	75597079	OWERKO, JOSEPH	AMERICAN MUTUAL FUND-C	B	0.00	1.21	0.00	1.21	100.0	1.21
09/12/2017	75597079	OWERKO, JOSEPH	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	2.06	0.00	2.06	100.0	2.06
09/12/2017	75597082	LAYSTROM, JAMES	BLACKROCK HEALTH SCIENCES FUND CLAS B		0.00	4.04	0.00	4.04	100.0	4.04
09/12/2017	75597082	LAYSTROM, JAMES	LORD ABBETT MULTI ASSET GLOBAL OPPO B		0.00	2.52	0.00	2.52	100.0	2.52
09/12/2017	75597084	MAYBERRY, DWIGHT	AMERICAN BALANCED FUND-A	B	0.00	3.18	0.00	3.18	100.0	3.18
09/12/2017	75597087	FLANNERY JR, ROBERT	FIDELITY ADV EQUITY GROWTH CL A	B	0.00	51.04	0.00	51.04	100.0	51.04
09/12/2017	75597087	FLANNERY JR, ROBERT	FIDELITY ADVISOR FUNDS 315807834	B	0.00	33.59	0.00	33.59	100.0	33.59
09/12/2017	75597087	FLANNERY JR, ROBERT	FIDELITY ADVISOR SER VII BIOTECHNOL B		0.00	35.86	0.00	35.86	100.0	35.86
09/12/2017	75597087	FLANNERY JR, ROBERT	FIDELITY ADVISOR VALUE STRATEGIES C B		0.00	26.11	0.00	26.11	100.0	26.11
09/12/2017	75597089	BORKHOVA, ALLA	EATON VANCE GREATER CHINA GROWTH FU B		0.00	1.91	0.00	1.91	100.0	1.91
09/12/2017	75597089	BORKHOVA, ALLA	EATON VANCE GREATER INDIA FUND-CL A B		0.00	1.65	0.00	1.65	100.0	1.65
09/12/2017	75597089	BORKHOVA, ALLA	FIDELITY ADVISOR FOCUS FUNDS HEALTH B		0.00	1.96	0.00	1.96	100.0	1.96
09/12/2017	75597089	BORKHOVA, ALLA	MAINSTAY FDS LARGE CAP GROWTH FD CL B		0.00	7.36	0.00	7.36	100.0	7.36
09/12/2017	75597090	MONAGHAN, JOYCE	CAPITAL INCOME A	B	0.00	12.01	0.00	12.01	100.0	12.01
09/12/2017	75597090	MONAGHAN, JOYCE	FRANKLIN U.S. GOVERNMENT SECURITIES B		0.00	6.45	0.00	6.45	100.0	6.45
09/12/2017	75597090	MONAGHAN, JOYCE	NEW PERSPECTIVE FUND-A	B	0.00	17.00	0.00	17.00	100.0	17.00
09/12/2017	75597090	MONAGHAN, JOYCE	THE INVESTMENT COMPANY OF AMERICA-A B		0.00	18.98	0.00	18.98	100.0	18.98
09/12/2017	75597091	BOL, BEVERLY	CAPITAL APPRECIATION A	B	0.00	8.16	0.00	8.16	100.0	8.16
09/12/2017	75597091	BOL, BEVERLY	MAIN STREET FUND A	B	0.00	8.58	0.00	8.58	100.0	8.58
09/12/2017	75597092	CLARK JR, PHILLIP	FRK STRAT INC FND - CL A	B	0.00	2.41	0.00	2.41	100.0	2.41
09/12/2017	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR SMALL CAP FUND CLA B		0.00	1.33	0.00	1.33	100.0	1.33
09/12/2017	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR MID CAP II FUND CL B		0.00	1.28	0.00	1.28	100.0	1.28
09/12/2017	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR INDUSTRIALS CL A	B	0.00	1.37	0.00	1.37	100.0	1.37
09/12/2017	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR DIVERSIFIED INTL F B		0.00	1.79	0.00	1.79	100.0	1.79
09/12/2017	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR SMALL CAP FUND CLA B		0.00	2.62	0.00	2.62	100.0	2.62

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/12/2017	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR MID CAP II FUND CL B	0.00	2.79	0.00	2.79	100.0	2.79
09/12/2017	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR INDUSTRIALS CL A B	0.00	3.01	0.00	3.01	100.0	3.01
09/12/2017	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR DIVERSIFIED INTL F B	0.00	2.39	0.00	2.39	100.0	2.39
09/12/2017	75597095	BRENT, GEORGE	CAPITAL INCOME A B	0.00	11.04	0.00	11.04	100.0	11.04
09/12/2017	75597097	ZILZ, SUSAN	JPMORGAN INVESTOR GROWTH FD CL A B	0.00	7.53	0.00	7.53	100.0	7.53
09/12/2017	75597098	SODERBERG, VIRGINIA	FRANKLIN HIGH-YIELD TAX-FREE INCOME B	0.00	1.83	0.00	1.83	100.0	1.83
09/12/2017	75597098	SODERBERG, VIRGINIA	TOUCHSTONE INVT TRUST ACTIVE BOND F B	0.00	6.42	0.00	6.42	100.0	6.42
09/12/2017	75597099	VERENSKI, DANIEL	FRANKLIN FEDERAL TAX FREE INCOME FU B	0.00	17.73	0.00	17.73	100.0	17.73
09/12/2017	75597100	BRUNO, CAROL	FRANKLIN INCOME FUND CLASS A B	0.00	8.26	0.00	8.26	100.0	8.26
09/12/2017	75597101	STEINBORN, DEBRA	AMCAP FUND-A B	0.00	4.44	0.00	4.44	100.0	4.44
09/12/2017	75597101	STEINBORN, DEBRA	AMERICAN BALANCED FUND-A B	0.00	7.17	0.00	7.17	100.0	7.17
09/12/2017	75597101	STEINBORN, DEBRA	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	2.99	0.00	2.99	100.0	2.99
09/12/2017	75597102	COHEN, MITCHELL	JOHN HANCOCK FDS III STRATEGIC GROW B	0.00	1.42	0.00	1.42	100.0	1.42
09/12/2017	75597103	LUKASIK, ALEXANDER	FRANKLIN FEDERAL TAX FREE INCOME FU B	0.00	59.21	0.00	59.21	100.0	59.21
09/12/2017	75597104	WILKOS, JEANETTE	FRK AGE HIGH INC FND - CL A B	0.00	15.36	0.00	15.36	100.0	15.36
09/12/2017	75597104	WILKOS, JEANETTE	REGIONAL BANK A B	0.00	1.58	0.00	1.58	100.0	1.58
09/12/2017	75597104	WILKOS, JEANETTE	THE INVESTMENT COMPANY OF AMERICA-A B	0.00	35.93	0.00	35.93	100.0	35.93
09/12/2017	75597104	WILKOS, JEANETTE	U.S. GOVERNMENT SECURITIES FUND CLA B	0.00	8.66	0.00	8.66	100.0	8.66
09/12/2017	75597104	WILKOS, JEANETTE	WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	26.35	0.00	26.35	100.0	26.35
09/12/2017	75597105	DAVIS, DONALD	FRK GOLD FUND - CLASS A B	0.00	1.66	0.00	1.66	100.0	1.66
09/12/2017	75597105	DAVIS, DONALD	FUNDAMENTAL INVESTORS-A B	0.00	58.13	0.00	58.13	100.0	58.13
09/12/2017	75597105	DAVIS, DONALD	NEW PERSPECTIVE FUND-A B	0.00	60.39	0.00	60.39	100.0	60.39
09/12/2017	75597105	DAVIS, DONALD	REGIONAL BANK A B	0.00	25.32	0.00	25.32	100.0	25.32
09/12/2017	75597105	DAVIS, DONALD	STRATEGIC INCOME A B	0.00	11.17	0.00	11.17	100.0	11.17
09/12/2017	75597105	DAVIS, DONALD	THE NEW ECONOMY FUND-A B	0.00	54.56	0.00	54.56	100.0	54.56
09/12/2017	75597106	MORGAN, LESTER	AMCAP FUND-A B	0.00	15.09	0.00	15.09	100.0	15.09
09/12/2017	75597106	MORGAN, LESTER	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	18.18	0.00	18.18	100.0	18.18
09/12/2017	75597106	MORGAN, LESTER	FIRST EAGLE GOLD FUND CLASS A B	0.00	12.28	0.00	12.28	100.0	12.28
09/12/2017	75597106	MORGAN, LESTER	FRANKLIN HIGH-YIELD TAX-FREE INCOME B	0.00	13.50	0.00	13.50	100.0	13.50
09/12/2017	75597106	MORGAN, LESTER	HANCOCK JOHN CAP SER GRTR CHINA OPP B	0.00	12.79	0.00	12.79	100.0	12.79
09/12/2017	75597106	MORGAN, LESTER	NEW PERSPECTIVE FUND-A B	0.00	118.94	0.00	118.94	100.0	118.94
09/12/2017	75597106	MORGAN, LESTER	REGIONAL BANK A B	0.00	5.19	0.00	5.19	100.0	5.19
09/12/2017	75597106	MORGAN, LESTER	TAX-EXEMPT BOND FUND OF AMERICA B	0.00	20.13	0.00	20.13	100.0	20.13
09/12/2017	75597107	MORGAN, LESTER	AMCAP FUND-A B	0.00	6.42	0.00	6.42	100.0	6.42
09/12/2017	75597107	MORGAN, LESTER	THE INVESTMENT COMPANY OF AMERICA-A B	0.00	28.07	0.00	28.07	100.0	28.07
09/12/2017	75597109	KROL, DANIELLE	WELLS FARGO ENTERPRISE FUND CL A B	0.00	41.63	0.00	41.63	100.0	41.63
09/12/2017	75597110	KROL, DANIELLE	FIDELITY ADV EQUITY GROWTH CL A B	0.00	5.18	0.00	5.18	100.0	5.18
09/12/2017	75597112	HOWELL, DONALD	FRANKLIN GROWTH FUND CLASS A B	0.00	10.27	0.00	10.27	100.0	10.27
09/12/2017	75597112	HOWELL, DONALD	FRK VAL FND - CL A B	0.00	5.67	0.00	5.67	100.0	5.67
09/12/2017	75597112	HOWELL, DONALD	MASS INVESTORS GROWTH STOCK FUND-A B	0.00	26.59	0.00	26.59	100.0	26.59

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/12/2017	75597112	HOWELL, DONALD	MFS EMERGING GROWTH FUND CLASS A	B	0.00	2.33	0.00	2.33	100.0	2.33
09/12/2017	75597112	HOWELL, DONALD	MFS NEW DISCOVERY FUND-A	B	0.00	45.70	0.00	45.70	100.0	45.70
09/12/2017	75597114	SPALDING, MARY	FRANKLIN INCOME FUND CLASS A	B	0.00	39.11	0.00	39.11	100.0	39.11
09/12/2017	75597118	GREENE, M HOWARD	FRANKLIN HIGH-YIELD TAX-FREE INCOME B		0.00	22.84	0.00	22.84	100.0	22.84
09/12/2017	75597118	GREENE, M HOWARD	FRANKLIN/TEMPLETON FUNDS 353612690	B	0.00	7.13	0.00	7.13	100.0	7.13
09/12/2017	75597118	GREENE, M HOWARD	LORD ABBETT SHORT DURATION INCOME F	B	0.00	7.33	0.00	7.33	100.0	7.33
09/12/2017	75597119	GREENE, M HOWARD	FRANKLIN U.S. GOVERNMENT SECURITIES B		0.00	2.15	0.00	2.15	100.0	2.15
09/12/2017	75597120	KINGERY, DEBORAH	**PRUDENTIAL GLOBAL REAL	B	0.00	0.32	0.00	0.32	100.0	0.32
09/12/2017	75597120	KINGERY, DEBORAH	**PRUDENTIAL JENNISON NATURAL	B	0.00	0.30	0.00	0.30	100.0	0.30
09/12/2017	75597120	KINGERY, DEBORAH	CAPITAL INCOME BUILDER-A	B	0.00	0.36	0.00	0.36	100.0	0.36
09/12/2017	75597120	KINGERY, DEBORAH	FIRST EAGLE OVERSEAS FUND CLASS A	B	0.00	1.09	0.00	1.09	100.0	1.09
09/12/2017	75597120	KINGERY, DEBORAH	FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	1.06	0.00	1.06	100.0	1.06
09/12/2017	75597120	KINGERY, DEBORAH	LORD ABBETT SECS TR VALUE OPPTYS FD	B	0.00	0.70	0.00	0.70	100.0	0.70
09/12/2017	75597120	KINGERY, DEBORAH	OPPENHEIMER SMALL & MID CAP VALUE F	B	0.00	0.92	0.00	0.92	100.0	0.92
09/12/2017	75597120	KINGERY, DEBORAH	THE GROWTH FUND OF AMERICA-A	B	0.00	0.72	0.00	0.72	100.0	0.72
09/12/2017	75597123	AMBERG, JOHN	ALLIANZ NFJ SMALL CAP VALUE FUND CL	B	0.00	0.48	0.00	0.48	100.0	0.48
09/12/2017	75597123	AMBERG, JOHN	AMERICAN BALANCED FUND-C	B	0.00	1.12	0.00	1.12	100.0	1.12
09/12/2017	75597123	AMBERG, JOHN	FUNDAMENTAL INVESTORS-A	B	0.00	1.12	0.00	1.12	100.0	1.12
09/12/2017	75597123	AMBERG, JOHN	FUNDAMENTAL INVESTORS-C	B	0.00	1.24	0.00	1.24	100.0	1.24
09/12/2017	75597123	AMBERG, JOHN	INVESTMENT COMPANY OF AMERICA CLASS	B	0.00	2.41	0.00	2.41	100.0	2.41
09/12/2017	75597123	AMBERG, JOHN	JOHN HANCOCK REGIONAL BANK FUND CL	B	0.00	1.37	0.00	1.37	100.0	1.37
09/12/2017	75597123	AMBERG, JOHN	JOHN HANCOCK FINANCIAL INDS FUND CL	B	0.00	1.12	0.00	1.12	100.0	1.12
09/12/2017	75597123	AMBERG, JOHN	JOHN HANCOCK FDS II MLTMNGR LFSTYL	B	0.00	2.08	0.00	2.08	100.0	2.08
09/12/2017	75597123	AMBERG, JOHN	JOHN HANCOCK FDS II MLTMNGR LFSTYL	B	0.00	4.33	0.00	4.33	100.0	4.33
09/12/2017	75597123	AMBERG, JOHN	LIFESTYLE MODERATE PORTFOLIO C	B	0.00	2.57	0.00	2.57	100.0	2.57
09/12/2017	75597123	AMBERG, JOHN	NEW PERSPECTIVE FUND-A	B	0.00	1.59	0.00	1.59	100.0	1.59
09/12/2017	75597123	AMBERG, JOHN	NEW PERSPECTIVE FUND-C	B	0.00	1.14	0.00	1.14	100.0	1.14
09/12/2017	75597123	AMBERG, JOHN	THE GROWTH FUND OF AMERICA-A	B	0.00	1.12	0.00	1.12	100.0	1.12
09/12/2017	75597123	AMBERG, JOHN	THE GROWTH FUND OF AMERICA-C	B	0.00	1.25	0.00	1.25	100.0	1.25
09/12/2017	75597123	AMBERG, JOHN	THE NEW ECONOMY FUND-A	B	0.00	0.54	0.00	0.54	100.0	0.54
09/12/2017	75597123	AMBERG, JOHN	VOYA GLOBAL EQUITY FUND CLASS A	B	0.00	0.73	0.00	0.73	100.0	0.73
09/12/2017	75597123	AMBERG, JOHN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.87	0.00	1.87	100.0	1.87
09/12/2017	75597123	AMBERG, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	4.73	0.00	4.73	100.0	4.73
09/12/2017	75597124	BROOKS, JOYCE	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	2.59	0.00	2.59	100.0	2.59
09/12/2017	75597125	SHAVITZ, ERNEST	FRANKLIN TAX FREE TR FEDERAL INTER	B	0.00	111.46	0.00	111.46	100.0	111.46
09/12/2017	75597125	SHAVITZ, ERNEST	MAINSTAY FDS HIGH YIELD CORPORATE-C	B	0.00	41.48	0.00	41.48	100.0	41.48
09/12/2017	75597129	ELLIS, HARRY	FRK GOLD FUND - CLASS A	B	0.00	1.02	0.00	1.02	100.0	1.02
09/12/2017	75597129	ELLIS, HARRY	JOHN HANCOCK REGIONAL BANK FUND CL	B	0.00	124.12	0.00	124.12	100.0	124.12
09/12/2017	75597129	ELLIS, HARRY	OPPENHEIMER SENIOR FLOATING RATE FU	B	0.00	30.64	0.00	30.64	100.0	30.64
09/12/2017	75597129	ELLIS, HARRY	TEMP DEV MRKTS TR FND - CL A	B	0.00	4.04	0.00	4.04	100.0	4.04

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/12/2017	75597131	MICHAEL RIZZO TTEE	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	14.13	0.00	14.13	100.0	14.13	
09/12/2017	75597131	MICHAEL RIZZO TTEE	FUNDAMENTAL INVESTORS-A	B	0.00	18.21	0.00	18.21	100.0	18.21
09/12/2017	75597131	MICHAEL RIZZO TTEE	NEW PERSPECTIVE FUND-A	B	0.00	28.84	0.00	28.84	100.0	28.84
09/12/2017	75597131	MICHAEL RIZZO TTEE	THE GROWTH FUND OF AMERICA-A	B	0.00	41.87	0.00	41.87	100.0	41.87
09/12/2017	75597131	MICHAEL RIZZO TTEE	VIRTUS MID-CAP GROWTH FUND CLASS A	B	0.00	11.95	0.00	11.95	100.0	11.95
09/12/2017	75597132	SHAVITZ, EVELYN	FRANKLIN TAX FREE TR FEDERAL INTER	B	0.00	90.28	0.00	90.28	100.0	90.28
09/12/2017	75597135	JOHN W STEINBORN TTEE	AMCAP FUND-A	B	0.00	7.49	0.00	7.49	100.0	7.49
09/12/2017	75597135	JOHN W STEINBORN TTEE	AMERICAN BALANCED FUND-A	B	0.00	16.95	0.00	16.95	100.0	16.95
09/12/2017	75597135	JOHN W STEINBORN TTEE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	10.12	0.00	10.12	100.0	10.12
09/12/2017	75597135	JOHN W STEINBORN TTEE	FINANCIAL INDUSTRIES A	B	0.00	5.68	0.00	5.68	100.0	5.68
09/12/2017	75597135	JOHN W STEINBORN TTEE	JOHN HANCOCK INVT TR DISCIPLINED VA B	B	0.00	6.53	0.00	6.53	100.0	6.53
09/12/2017	75597135	JOHN W STEINBORN TTEE	LARGE CAP EQUITY A	B	0.00	20.94	0.00	20.94	100.0	20.94
09/12/2017	75597135	JOHN W STEINBORN TTEE	SMALLCAP WORLD FUND-A	B	0.00	20.17	0.00	20.17	100.0	20.17
09/12/2017	75597135	JOHN W STEINBORN TTEE	THE INCOME FUND OF AMERICA-A	B	0.00	6.39	0.00	6.39	100.0	6.39
09/12/2017	75597136	JAMES WEBSTER PLAN	BLACKROCK HEALTH SCIENCES FUND CLAS B	B	0.00	22.72	0.00	22.72	100.0	22.72
09/12/2017	75597136	JAMES WEBSTER PLAN	BOND-DEBENTURE FUND CLASS A	B	0.00	3.68	0.00	3.68	100.0	3.68
09/12/2017	75597136	JAMES WEBSTER PLAN	LORD ABBETT ALPHA STRATEGY FUND CL	B	0.00	21.24	0.00	21.24	100.0	21.24
09/12/2017	75597136	JAMES WEBSTER PLAN	RYDEX SER FUNDS BANKING FD CL A	B	0.00	21.42	0.00	21.42	100.0	21.42
09/12/2017	75597136	JAMES WEBSTER PLAN	RYDEX SER FUNDS FINL SVCS FD CL A	B	0.00	21.80	0.00	21.80	100.0	21.80
09/12/2017	75597138	WEINER, PATRICIA	IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	0.49	0.00	0.49	100.0	0.49
09/12/2017	75597138	WEINER, PATRICIA	PUTNAM GLOBAL EQUITY FUND CLASS A	B	0.00	0.19	0.00	0.19	100.0	0.19
09/12/2017	75597138	WEINER, PATRICIA	WELLS FARGO SPECIAL MID CAP VALUE F	B	0.00	0.48	0.00	0.48	100.0	0.48
09/12/2017	75597139	WEINER, PATRICIA	AIG FOCUSED DIVIDEND STRATEGY FUND	B	0.00	8.00	0.00	8.00	100.0	8.00
09/12/2017	75597139	WEINER, PATRICIA	EMERGING GROWTH FUND A	B	0.00	2.51	0.00	2.51	100.0	2.51
09/12/2017	75597139	WEINER, PATRICIA	IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	3.71	0.00	3.71	100.0	3.71
09/12/2017	75597139	WEINER, PATRICIA	LORD ABBETT SHORT DURATION INCOME F	B	0.00	1.30	0.00	1.30	100.0	1.30
09/12/2017	75597139	WEINER, PATRICIA	NEW WORLD FUND-A	B	0.00	1.01	0.00	1.01	100.0	1.01
09/12/2017	75597139	WEINER, PATRICIA	THE HARTFORD DIVIDEND & GROWTH FUND	B	0.00	7.37	0.00	7.37	100.0	7.37
09/12/2017	75597139	WEINER, PATRICIA	VIRTUS SMALL CAP SUSTAINABLE GROWTH	B	0.00	1.39	0.00	1.39	100.0	1.39
09/12/2017	75597139	WEINER, PATRICIA	WELLS FARGO FDS SPL SMALL CAP VALUE	B	0.00	2.44	0.00	2.44	100.0	2.44
09/12/2017	75597141	ADLER, JULIE	LIFESTYLE GROWTH PORTFOLIO A	B	0.00	1.11	0.00	1.11	100.0	1.11
09/12/2017	75597141	ADLER, JULIE	LIFESTYLE BALANCED PORTFOLIO A	B	0.00	1.06	0.00	1.06	100.0	1.06
09/12/2017	75597142	ADLER, JULIE	AMERICAN BALANCED FUND-C	B	0.00	1.12	0.00	1.12	100.0	1.12
09/12/2017	75597142	ADLER, JULIE	AMERICAN MUTUAL FUND-C	B	0.00	3.49	0.00	3.49	100.0	3.49
09/12/2017	75597142	ADLER, JULIE	FUNDAMENTAL INVESTORS-C	B	0.00	4.95	0.00	4.95	100.0	4.95
09/12/2017	75597142	ADLER, JULIE	INVESTMENT COMPANY OF AMERICA CLASS	B	0.00	4.80	0.00	4.80	100.0	4.80
09/12/2017	75597142	ADLER, JULIE	NEW PERSPECTIVE FUND-C	B	0.00	3.43	0.00	3.43	100.0	3.43
09/12/2017	75597142	ADLER, JULIE	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	4.79	0.00	4.79	100.0	4.79
09/12/2017	75597143	ADLER, JULIE	FUNDAMENTAL INVESTORS-A	B	0.00	0.64	0.00	0.64	100.0	0.64
09/12/2017	75597143	ADLER, JULIE	NEW PERSPECTIVE FUND-A	B	0.00	0.69	0.00	0.69	100.0	0.69

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/12/2017	75597143	ADLER, JULIE	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.61	0.00	0.61	100.0	0.61
09/12/2017	75597145	WEBSTER, JAMES	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	7.00	0.00	7.00	100.0	7.00
09/12/2017	75597148	PARRY, CHARLENE	FRANKLIN FEDERAL TAX FREE INCOME FU	B	0.00	18.47	0.00	18.47	100.0	18.47
09/12/2017	75597149	PARRY, CHARLENE	FRANKLIN GROWTH FUND CLASS A	B	0.00	10.11	0.00	10.11	100.0	10.11
09/12/2017	75597151	BLITZ, EDWIN	GOLD & SPECIAL MINERALS A	B	0.00	2.44	0.00	2.44	100.0	2.44
09/12/2017	75597152	LEE, MICHELLE	CAPITAL INCOME BUILDER-A	B	0.00	3.30	0.00	3.30	100.0	3.30
09/12/2017	75597152	LEE, MICHELLE	CAPITAL WORLD BOND FUND-A	B	0.00	1.01	0.00	1.01	100.0	1.01
09/12/2017	75597152	LEE, MICHELLE	FUNDAMENTAL INVESTORS-A	B	0.00	4.17	0.00	4.17	100.0	4.17
09/12/2017	75597152	LEE, MICHELLE	NEW WORLD FUND-A	B	0.00	3.44	0.00	3.44	100.0	3.44
09/12/2017	75597152	LEE, MICHELLE	SMALLCAP WORLD FUND-A	B	0.00	4.71	0.00	4.71	100.0	4.71
09/12/2017	75597152	LEE, MICHELLE	THE GROWTH FUND OF AMERICA-A	B	0.00	1.97	0.00	1.97	100.0	1.97
09/12/2017	75597153	LEE, MICHELLE	FIDELITY ADVISOR FUNDS 315910661	B	0.00	1.27	0.00	1.27	100.0	1.27
09/26/2017	75597153	LEE, MICHELLE	VICTORY PORTF	B	0.00	1.10	0.00	1.10	100.0	1.10
09/12/2017	75597154	BLITZ, HERMAN	ALLIANCEBERNSTEIN GLO GOVT INC TRST	B	0.00	14.38	0.00	14.38	100.0	14.38
09/12/2017	75597154	BLITZ, HERMAN	ALLIANCEBERNSTEIN GLO GOVT INC TRST	B	0.00	29.12	0.00	29.12	100.0	29.12
09/12/2017	75597154	BLITZ, HERMAN	GOLD & SPECIAL MINERALS A	B	0.00	1.73	0.00	1.73	100.0	1.73
09/12/2017	75597154	BLITZ, HERMAN	LORD ABBETT INCOME FUND CL C	B	0.00	31.24	0.00	31.24	100.0	31.24
09/12/2017	75597154	BLITZ, HERMAN	LORD ABBETT SHORT DURATION INCOME F	B	0.00	74.54	0.00	74.54	100.0	74.54
09/12/2017	75597154	BLITZ, HERMAN	LORD ABBETT BOND-DEBENTURE FUND INC	B	0.00	66.96	0.00	66.96	100.0	66.96
09/12/2017	75597160	MILES, GARY	BOND-DEBENTURE FUND CLASS A	B	0.00	2.42	0.00	2.42	100.0	2.42
09/12/2017	75597161	STOTTS, ALLISON	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	9.86	0.00	9.86	100.0	9.86
09/12/2017	75597161	STOTTS, ALLISON	BOND-DEBENTURE FUND CLASS A	B	0.00	4.00	0.00	4.00	100.0	4.00
09/12/2017	75597161	STOTTS, ALLISON	LORD ABBETT EQUITY TR CALIBRTD MID	B	0.00	5.04	0.00	5.04	100.0	5.04
09/12/2017	75597167	SCHNEPF, CONSTANCE	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	13.52	0.00	13.52	100.0	13.52
09/12/2017	75597167	SCHNEPF, CONSTANCE	BOND-DEBENTURE FUND CLASS A	B	0.00	6.34	0.00	6.34	100.0	6.34
09/12/2017	75597170	BROOKS, JOYCE	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	2.50	0.00	2.50	100.0	2.50
09/12/2017	75597173	NELSON, CAROLE	MAINSTAY LARGE CAP GROWTH FUND CLAS	B	0.00	6.33	0.00	6.33	100.0	6.33
09/12/2017	75597175	DEBORAH A IRANI TTEE	FINANCIAL INDUSTRIES A	B	0.00	9.94	0.00	9.94	100.0	9.94
09/12/2017	75597175	DEBORAH A IRANI TTEE	FRANKLIN GROWTH FUND CLASS A	B	0.00	79.28	0.00	79.28	100.0	79.28
09/12/2017	75597175	DEBORAH A IRANI TTEE	FRANKLIN RISING DIVIDENDS FD CLASS	B	0.00	23.62	0.00	23.62	100.0	23.62
09/12/2017	75597175	DEBORAH A IRANI TTEE	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	16.74	0.00	16.74	100.0	16.74
09/12/2017	75597175	DEBORAH A IRANI TTEE	FRK UTIL FND - CL A	B	0.00	14.95	0.00	14.95	100.0	14.95
09/15/2017	75597175	DEBORAH A IRANI TTEE	VAN ECK GLOBAL HARD ASSETS FD CLASS	B	0.00	10.89	0.00	10.89	100.0	10.89
09/12/2017	75597176	TARNOW, BRENT	FIDELITY ADVISOR FUNDS 316145408	B	0.00	1.18	0.00	1.18	100.0	1.18
09/12/2017	75597177	CLARK JR, PHILLIP	FRK VAL FND - CL A	B	0.00	0.23	0.00	0.23	100.0	0.23
09/12/2017	75597181	BOL, BEVERLY	HANCOCK JOHN CAP SER GRTR CHINA OPP	B	0.00	6.26	0.00	6.26	100.0	6.26
09/12/2017	75597185	BOL, BEVERLY	PIONEER FUND A	B	0.00	4.57	0.00	4.57	100.0	4.57
09/12/2017	75597186	ORRIN PROPERTY	GLOBAL OPPORTUNITIES A	B	0.00	53.26	0.00	53.26	100.0	53.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3,519.81	\$0.00	\$3,519.81		\$3,519.81

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$320,380.98	\$9,859.71	\$312.00	\$9,547.71	\$9,547.71
-----------------------------------	--------------	------------	----------	------------	------------

ADJUSTMENTS

09/29/2017	OTHER: OTHER	0.00	0.00	0.00	0.00	-1,800.00
09/29/2017	OTHER: OTHER-WEALTH MANAGEMENT STAT	0.00	0.00	0.00	0.00	-315.00
09/29/2017	OTHER: OTHER-WRITES OFF	0.00	0.00	0.00	0.00	-3.00
09/29/2017	OTHER: OTHER ---ADJUSTMENT FOR FI	0.00	0.00	0.00	0.00	33.33
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-2,084.67

BROKER COMMISSION TOTALS

Broker Transactions	\$9,547.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,084.67
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-11.11
SIPC Assessment	\$-22.22

Check Amount	\$7,429.71
Year-to-date Earnings	\$18,633.02