

**COMMISSION STATEMENT****February 28, 2018**JOEL, W143 WOODS
1412 Blue Quail RunBROKER #: W143
BRANCH: WOODS FINANCIAL

Crowley, TX 76036--

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
01/31/2018	ZELLERS, JANE	CIM COMMERCIAL TRUST SERIES A	20,000.00	1,000.00	0.00	1,000.00	92.0	920.00
02/20/2018	ENSMINGER, SANDRA	CIM COMMERCIAL TRUST SERIES A	25,000.00	1,250.00	0.00	1,250.00	92.0	1,150.00
* REIT SUBTOTAL *			\$45,000.00	\$2,250.00	\$0.00	\$2,250.00		\$2,070.00
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
02/07/2018	ENSMINGER, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	25,000.00	250.00	0.00	250.00	92.0	230.00
* INTERVAL FUNDS SUBTOTAL *			\$25,000.00	\$250.00	\$0.00	\$250.00		\$230.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
02/05/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	50.00	0.50	0.00	0.50	90.0	0.45
02/05/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	750.00	7.50	0.00	7.50	90.0	6.75
02/15/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
02/12/2018	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	90.0	1.92
02/08/2018	GRAVES, BENJAMIN	AMERICAN FUNDS COLLEGE 2033 FUND-52	10.00	0.35	0.00	0.35	90.0	0.32
02/15/2018	GRAVES, BENJAMIN	COLLEGE 2033 FUND-529A	10.00	0.35	0.00	0.35	90.0	0.32
* 529 PLANS SUBTOTAL *			\$1,070.00	\$20.83	\$0.00	\$20.83		\$18.76
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/15/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	90.0	2.25
* MUTUAL FUNDS SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$4.50
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/06/2018	EUBANKS, RICKY	JNL PERSPECTIVE II 04/14	6,000.00	420.00	0.00	420.00	90.0	378.00
02/14/2018	RAYFIELD, JEREL	JNL PERSPECTIVE II 04/14	12,252.06	857.64	0.00	857.64	90.0	771.88

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARK-S141 REG SMITH #367 </u>								
		* VARIABLE ANNUITIES SUBTOTAL *	\$18,252.06	\$1,277.64	\$0.00	\$1,277.64		\$1,149.88
		*** DIRECT TRANSACTIONS TOTAL ***	\$89,422.06	\$3,803.47	\$0.00	\$3,803.47		\$3,473.14

<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARK-S141 REG SMITH #367 </u>								
02/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.05
02/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	48.0	1.05
02/15/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	48.0	1.05
02/15/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	48.0	1.05
02/15/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.05
02/15/2018	UNTIL AGE 21, TX/UTMA	AM FUNDS GROWTH PORT A	50.00	1.88	0.00	1.88	48.0	0.79
02/16/2018	KEYS, NANCY	AM GEN POLARIS PLATINUM III	132,148.68	8,259.29	0.00	8,259.29	48.0	3,468.90
/ /	BONGIORNO, JANET	RYDEX BIOTECHNOLOGY A	0.00	0.04	0.00	0.04	48.0	0.02
/ /	BONGIORNO, JANET	RYDEX GUGG REAL ESTATE A	0.00	0.09	0.00	0.09	48.0	0.04
/ /	BONGIORNO, JANET	RYDEX GUGGENHEIM UTILITIES A	0.00	0.07	0.00	0.07	48.0	0.03
/ /	BONGIORNO, JANET	RYDEX HEALTH CARE-A	0.00	0.05	0.00	0.05	48.0	0.02
		* MARK-S141 REG SMITH SUBTOTAL *	\$132,448.68	\$8,273.92	\$0.00	\$8,273.92		\$3,475.05
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$132,448.68	\$8,273.92	\$0.00	\$8,273.92		\$3,475.05

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$3,473.14
Split Transactions	\$0.00
Override Transactions	\$3,475.05
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,948.19
Year-to-date Gross	\$30,531.84
Year-to-date Earnings	\$37,369.31