

**COMMISSION STATEMENT****October 31, 2017****L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU**11617 Coldwater Road
Suite 103
Fort Wayne, IN 46845**BROKER #: L131**

BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^10/11/2017	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	0.00	43.37	0.00	43.37	90.0	0.00
^09/30/2017	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	0.00	43.69	0.00	43.69	90.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$87.06	\$0.00	\$87.06		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^10/16/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	0.00
^10/24/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	200.00	10.00	22.00	-12.00	90.0	0.00
^10/24/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	300.00	15.00	22.00	-7.00	90.0	0.00
^10/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	25.00	0.00	25.00	90.0	0.00
^10/16/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	10.00	0.00	10.00	90.0	0.00
^10/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	25.00	0.00	25.00	90.0	0.00
^ / /	LINN, ROSANNA	ASCENSUS 529-2034 ENROLLMENT PF CL	0.00	0.02	0.00	0.02	90.0	0.00
^ / /	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.23	0.00	0.23	90.0	0.00
^10/13/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	50.00	2.38	0.00	2.38	90.0	0.00
^ / /	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	1.33	0.00	1.33	90.0	0.00
^ / /	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	1.39	0.00	1.39	90.0	0.00
^10/13/2017	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	2.38	0.00	2.38	90.0	0.00
^ / /	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	2.17	0.00	2.17	90.0	0.00
^ / /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	23.92	0.00	23.92	90.0	0.00
^ / /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	17.81	0.00	17.81	90.0	0.00
^10/20/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^10/20/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^ / /	CRAWFORD, JOHN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.03	0.00	0.03	90.0	0.00
^ / /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.42	0.00	0.42	90.0	0.00
^ / /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	4.95	0.00	4.95	90.0	0.00
^ / /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.01	0.00	1.01	90.0	0.00
^ / /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	3.42	0.00	3.42	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^ / /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.63	0.00	1.63	90.0	0.00
^10/09/2017	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	50.00	1.63	0.00	1.63	90.0	0.00
^ / /	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.55	0.00	0.55	90.0	0.00
^10/20/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.39	0.00	0.39	90.0	0.00
^ / /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	4.56	0.00	4.56	90.0	0.00
^ / /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.51	0.00	0.51	90.0	0.00
^10/20/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^ / /	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	1.17	0.00	1.17	90.0	0.00
^ / /	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	4.43	0.00	4.43	90.0	0.00
^ / /	LINN, ROSANNA	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	0.80	0.00	0.80	90.0	0.00
^ / /	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	1.05	0.00	1.05	90.0	0.00
^ / /	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	1.51	0.00	1.51	90.0	0.00
^10/20/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^ / /	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	2.74	0.00	2.74	90.0	0.00
^ / /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	1.61	0.00	1.61	90.0	0.00
^ / /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	1.61	0.00	1.61	90.0	0.00
^10/20/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	1.83	0.00	1.83	90.0	0.00
^10/20/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^10/20/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^ / /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	3.78	0.00	3.78	90.0	0.00
^ / /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	2.09	0.00	2.09	90.0	0.00
^ / /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.10	0.00	0.10	90.0	0.00
^ / /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	2.39	0.00	2.39	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$2,180.00	\$190.68	\$44.00	\$146.68		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$2,180.00	\$277.74	\$44.00	\$233.74		\$0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
10/16/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.50	0.00	1.50	90.0	1.35
10/24/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	200.00	3.00	6.60	-3.60	90.0	-3.24
10/24/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	300.00	4.50	6.60	-2.10	90.0	-1.89
10/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	7.50	0.00	7.50	90.0	6.75
10/16/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.00	0.00	3.00	90.0	2.70
10/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	7.50	0.00	7.50	90.0	6.75
/ /	LINN, ROSANNA	ASCENSUS 529-2034 ENROLLMENT PF CL	0.00	0.01	0.00	0.01	90.0	0.01
/ /	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.07	0.00	0.07	90.0	0.06
10/13/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	50.00	0.71	0.00	0.71	90.0	0.64
/ /	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.40	0.00	0.40	90.0	0.36
/ /	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.42	0.00	0.42	90.0	0.38
10/13/2017	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	0.71	0.00	0.71	90.0	0.64
/ /	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	0.65	0.00	0.65	90.0	0.59
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	7.18	0.00	7.18	90.0	6.46
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	5.34	0.00	5.34	90.0	4.81
10/20/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
10/20/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
/ /	CRAWFORD, JOHN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.13	0.00	0.13	90.0	0.12
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.49	0.00	1.49	90.0	1.34
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.30	0.00	0.30	90.0	0.27
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.03	0.00	1.03	90.0	0.93
/ /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.49	0.00	0.49	90.0	0.44
10/09/2017	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	50.00	0.49	0.00	0.49	90.0	0.44
/ /	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.17	0.00	0.17	90.0	0.15
10/20/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.12	0.00	0.12	90.0	0.11
/ /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	1.37	0.00	1.37	90.0	1.23
/ /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.15	0.00	0.15	90.0	0.14
10/20/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
/ /	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.35	0.00	0.35	90.0	0.32
/ /	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	1.33	0.00	1.33	90.0	1.20
/ /	LINN, ROSANNA	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	0.24	0.00	0.24	90.0	0.22
/ /	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.32	0.00	0.32	90.0	0.29

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
/ /	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.45	0.00	0.45	90.0	0.41
10/20/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
/ /	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.82	0.00	0.82	90.0	0.74
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.48	0.00	0.48	90.0	0.43
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.48	0.00	0.48	90.0	0.43
10/20/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.55	0.00	0.55	90.0	0.50
10/20/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
10/20/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
10/11/2017	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	0.00	13.01	0.00	13.01	90.0	11.71
09/30/2017	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.11	0.00	13.11	90.0	11.80
/ /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	1.13	0.00	1.13	90.0	1.02
/ /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	0.63	0.00	0.63	90.0	0.57
/ /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.03	0.00	0.03	90.0	0.03
/ /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	0.72	0.00	0.72	90.0	0.65
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$2,180.00	\$83.35	\$13.20	\$70.15		\$63.18
*** SPLIT TRANSACTIONS TOTAL ***			\$2,180.00	\$83.35	\$13.20	\$70.15		\$63.18

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$63.18
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$53.41
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$116.59
Year-to-date Gross	\$1,611.81
Year-to-date Earnings	\$1,308.64