

COMMISSION STATEMENT

April 03, 2017

HENRY HACKEL

179 EAST 70TH STREET

APT 6B

NEW YORK, NY 10021

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

03/01/2017	00069036587	ARDAYFIO, IMANI	THE GROWTH FUND OF AMERICA-529A	B	45.00	1.91	0.00	1.91	80.0	1.53
03/17/2017	00075458097	VANDENHEUVEL, RYAN	EUROPACIFIC GROWTH FUND-A	B	83.33	3.12	0.00	3.12	80.0	2.50
03/17/2017	00075458097	VANDENHEUVEL, RYAN	NEW PERSPECTIVE FUND-A	B	83.33	3.12	0.00	3.12	80.0	2.50
03/17/2017	00075458097	VANDENHEUVEL, RYAN	THE GROWTH FUND OF AMERICA-A	B	83.33	3.12	0.00	3.12	80.0	2.50
03/17/2017	00075458097	VANDENHEUVEL, RYAN	THE INCOME FUND OF AMERICA-A	B	83.33	3.12	0.00	3.12	80.0	2.50
03/17/2017	00075458097	VANDENHEUVEL, RYAN	THE INVESTMENT COMPANY OF AMERICA-A	B	83.33	3.12	0.00	3.12	80.0	2.50
02/28/2017	00084739455	SHABAZZ, JAHZARA	AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	80.0	1.60
03/17/2017	00085327415	VANDENHEUVEL,	AMCAP FUND-A	B	100.00	3.75	0.00	3.75	80.0	3.00
03/17/2017	00085327415	VANDENHEUVEL,	AMERICAN FUND-45956T105	B	100.00	3.75	0.00	3.75	80.0	3.00
03/17/2017	00085327415	VANDENHEUVEL,	FUNDAMENTAL INVESTORS-A	B	100.00	3.75	0.00	3.75	80.0	3.00
03/17/2017	00085327415	VANDENHEUVEL,	THE GROWTH FUND OF AMERICA-A	B	100.00	3.75	0.00	3.75	80.0	3.00
03/17/2017	00085327415	VANDENHEUVEL,	THE INVESTMENT COMPANY OF AMERICA-A	B	50.00	1.88	0.00	1.88	80.0	1.50
03/17/2017	00085327415	VANDENHEUVEL,	THE NEW ECONOMY FUND-A	B	50.00	1.88	0.00	1.88	80.0	1.50
02/28/2017	00085890289	DAS, SPANDAN	AMERICAN HIGH-INCOME TRUST-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
02/28/2017	00085890289	DAS, SPANDAN	NEW WORLD FUND-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
02/28/2017	00085890289	DAS, SPANDAN	SMALLCAP WORLD FUND-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
02/28/2017	00085890289	DAS, SPANDAN	THE GROWTH FUND OF AMERICA-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
02/28/2017	00085890289	DAS, SPANDAN	THE INVESTMENT COMPANY OF AMERICA-5	B	150.00	4.13	0.00	4.13	80.0	3.30
03/20/2017	00086531491	DAS, SPANDAN	AMERICAN HIGH-INCOME TRUST-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
03/20/2017	00086531491	DAS, SPANDAN	NEW WORLD FUND-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
03/20/2017	00086531491	DAS, SPANDAN	SMALLCAP WORLD FUND-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
03/20/2017	00086531491	DAS, SPANDAN	THE GROWTH FUND OF AMERICA-529A	B	250.00	6.88	0.00	6.88	80.0	5.50
03/20/2017	00086531491	DAS, SPANDAN	THE INVESTMENT COMPANY OF AMERICA-5	B	200.00	5.50	0.00	5.50	80.0	4.40
03/03/2017	00087121704	JOGLEKAR, SHAYNE	AMERICAN BALANCED FUND-529A	B	500.00	13.75	0.00	13.75	80.0	11.00
03/03/2017	00087122294	JOGLEKAR, SHAYNE	AMERICAN BALANCED FUND-529A	B	500.00	13.75	0.00	13.75	80.0	11.00
03/20/2017	00087149656	CHANDELA, AJAY	AMERICAN BALANCED FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
03/20/2017	00087149667	CHANDELA, AJAY	NEW WORLD FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
03/20/2017	00087149689	CHANDELA, AJAY	NEW WORLD FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
03/20/2017	00087149729	CHANDELA, AJAY	AMERICAN BALANCED FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
03/06/2017	00087205674	MORRELL, CHARLES	THE GROWTH FUND OF AMERICA-529A	B	300.00	15.00	0.00	15.00	80.0	12.00
02/28/2017	00087630417	SHABAZZ, JAHZARA	AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	80.0	1.60

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/15/2017	00089081756 FOSTER, JAKE	AMERICAN FUND-02629M587	B	200.00	7.00	0.00	7.00	80.0	5.60
03/15/2017	00089084643 FOSTER, JAKE	AMERICAN FUND-02629M587	B	200.00	7.00	0.00	7.00	80.0	5.60
03/10/2017	01204161104 PASQUALONE,	THE INVESTMENT COMPANY OF AMERICA-5 B	B	150.00	7.50	0.00	7.50	80.0	6.00
03/10/2017	01204463227 PASQUALONE,	THE GROWTH FUND OF AMERICA-529A	B	150.00	7.50	0.00	7.50	80.0	6.00
03/10/2017	01205067051 PASQUALONE,	NEW PERSPECTIVE FUND-529A	B	150.00	7.50	0.00	7.50	80.0	6.00
* MUTUAL FUNDS SUBTOTAL *				\$9,011.65	\$200.55	\$0.00	\$200.55		\$160.43
<u>PRODUCT CATEGORY: STOCKS</u>									
03/17/2017	75500426 MIGLIORATO, DOMENICK	APPLE INC	B	375,000.00	120.00	0.00	120.00	80.0	96.00
03/23/2017	75500426 MIGLIORATO, DOMENICK	SPDR S&P 500 ETF TRUST	S	117,395.45	40.00	0.00	40.00	80.0	32.00
03/01/2017	75500603 LAWRENCE ADAMS LTD	BANK OF AMERICA CORP	B	153,540.00	180.00	0.00	180.00	80.0	144.00
03/01/2017	75500603 LAWRENCE ADAMS LTD	VALIDIAN CORPORATION	S	12,440.00	186.00	0.00	186.00	80.0	148.80
03/10/2017	75501276 GALLO, ANTONIO	GLAXOSMITHKLINE PLC SPONSORED ADR(F B	B	9,913.58	20.00	0.00	20.00	80.0	16.00
03/06/2017	75501455 HACKEL, HENRY	VANECK VECTORS ETF TR GOLD MINERS E B	B	429,200.00	0.00	0.00	0.00	80.0	0.00
03/10/2017	75501455 HACKEL, HENRY	VANECK VECTORS ETF TR GOLD MINERS E B	B	432,600.00	0.00	0.00	0.00	80.0	0.00
03/16/2017	75501455 HACKEL, HENRY	VANECK VECTORS ETF TR GOLD MINERS E S	S	466,000.00	0.00	0.00	0.00	80.0	0.00
03/21/2017	75501455 HACKEL, HENRY	VANECK VECTORS ETF TR GOLD MINERS E S	S	461,200.00	0.00	0.00	0.00	80.0	0.00
03/15/2017	QGW001042 GRAYMAN, EZRA	TANGOE INC COMMON STOCK	B	46,300.00	20.00	0.00	20.00	80.0	16.00
03/21/2017	QGW001042 GRAYMAN, EZRA	TANGOE INC COMMON STOCK	S	-25,750.00	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW001091 HACKEL, DEBBIE	ALERE INC	B	25,690.00	10.00	0.00	10.00	80.0	8.00
03/17/2017	QGW001091 HACKEL, DEBBIE	DIREXION SHS ETF TR DAILY JR GOLD M B	B	12,000.00	10.00	0.00	10.00	80.0	8.00
03/17/2017	QGW001091 HACKEL, DEBBIE	MEDICINES CO COM	S	-10,500.00	6.00	0.00	6.00	80.0	4.80
03/17/2017	QGW001091 HACKEL, DEBBIE	MEDICINES CO COM	S	-7,000.00	4.00	0.00	4.00	80.0	3.20
03/17/2017	QGW001091 HACKEL, DEBBIE	MOBILEYE N V ORD SHS	B	21,206.47	10.00	0.00	10.00	80.0	8.00
03/27/2017	QGW001091 HACKEL, DEBBIE	PINNACLE WEST CAP CORP COM	B	33,763.20	10.00	0.00	10.00	80.0	8.00
02/24/2017	QGW001166 KOONDEL	ALPHABET INC CLASS C CAPITAL STOCK	S	-81,750.00	5.85	0.00	5.85	80.0	4.68
02/24/2017	QGW001166 KOONDEL	ALPHABET INC CLASS C CAPITAL STOCK	B	81,500.00	10.85	0.00	10.85	80.0	8.68
02/24/2017	QGW001166 KOONDEL	ALPHABET INC CLASS C CAPITAL STOCK	S	-81,750.00	5.85	0.00	5.85	80.0	4.68
02/24/2017	QGW001166 KOONDEL	FORD MOTOR CO PAR \$0.01	B	12,500.00	18.50	0.00	18.50	80.0	14.80
02/28/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	B	29,466.00	22.75	0.00	22.75	80.0	18.20
02/28/2017	QGW001166 KOONDEL	BANK OF AMERICA CORP	S	-11,750.00	14.25	0.00	14.25	80.0	11.40
03/01/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	B	1,950.00	10.85	0.00	10.85	80.0	8.68
03/01/2017	QGW001166 KOONDEL	FORD MOTOR CO PAR \$0.01	S	-12,727.00	18.50	0.00	18.50	80.0	14.80
03/01/2017	QGW001166 KOONDEL	OPKO HEALTH INC	B	2,085.25	12.13	0.00	12.13	80.0	9.70
03/02/2017	QGW001166 KOONDEL	OPKO HEALTH INC	B	8,632.35	19.56	0.00	19.56	80.0	15.65
03/03/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	B	18,675.25	18.29	0.00	18.29	80.0	14.63
03/03/2017	QGW001166 KOONDEL	BANK OF AMERICA CORP	S	-24,000.00	15.17	0.00	15.17	80.0	12.14
03/03/2017	QGW001166 KOONDEL	BANK OF AMERICA CORP	S	-11,750.00	7.58	0.00	7.58	80.0	6.06
03/06/2017	QGW001166 KOONDEL	ALLIQUA BIOMEDICAL INC COM NEW	S	-3,100.80	44.00	0.00	44.00	80.0	35.20
03/06/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	B	2,839.76	11.28	0.00	11.28	80.0	9.02

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/06/2017	QGW001166 KOONDEL	OPKO HEALTH INC	B	3,169.01	13.61	0.00	13.61	80.0	10.89
03/08/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	B	8,481.51	13.83	0.00	13.83	80.0	11.06
03/08/2017	QGW001166 KOONDEL	OPKO HEALTH INC	S	-2,800.00	12.98	0.00	12.98	80.0	10.38
03/09/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	B	2,338.83	11.06	0.00	11.06	80.0	8.85
03/10/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	B	8,368.25	13.83	0.00	13.83	80.0	11.06
03/10/2017	QGW001166 KOONDEL	BANK OF AMERICA CORP	S	-24,500.00	18.50	0.00	18.50	80.0	14.80
03/14/2017	QGW001166 KOONDEL	OPKO HEALTH INC	S	-9,245.25	19.56	0.00	19.56	80.0	15.65
03/15/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	S	-9,538.25	14.25	0.00	14.25	80.0	11.40
03/17/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	S	-2,866.50	11.28	0.00	11.28	80.0	9.02
03/17/2017	QGW001166 KOONDEL	FORD MOTOR CO PAR \$0.01	B	12,500.00	18.50	0.00	18.50	80.0	14.80
03/20/2017	QGW001166 KOONDEL	ALLIQUA BIOMEDICAL INC COM NEW	S	-2,160.00	35.50	0.00	35.50	80.0	28.40
03/21/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	B	7,969.52	13.61	0.00	13.61	80.0	10.89
03/21/2017	QGW001166 KOONDEL	OPKO HEALTH INC	B	3,500.73	13.83	0.00	13.83	80.0	11.06
03/22/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	B	3,244.75	11.49	0.00	11.49	80.0	9.19
03/24/2017	QGW001166 KOONDEL	BANK OF AMERICA CORP	B	24,500.00	18.50	0.00	18.50	80.0	14.80
03/24/2017	QGW001166 KOONDEL	QUALCOMM INC	B	28,750.00	14.25	0.00	14.25	80.0	11.40
03/27/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	B	7,868.49	13.61	0.00	13.61	80.0	10.89
03/28/2017	QGW001166 KOONDEL	OPKO HEALTH INC	S	-3,721.50	13.83	0.00	13.83	80.0	11.06
03/08/2017	QGW001174 KOONDEL	LADENBURG THALMANN FINL SVCS	B	624.00	12.55	0.00	12.55	80.0	10.04
03/09/2017	QGW001174 KOONDEL	LADENBURG THALMANN FINL SVCS	B	413.00	11.70	0.00	11.70	80.0	9.36
03/24/2017	QGW001174 KOONDEL	FORD MOTOR CO PAR \$0.01	B	12,500.00	18.50	0.00	18.50	80.0	14.80
03/28/2017	QGW001174 KOONDEL	LADENBURG THALMANN FINL SVCS	S	-1,275.00	14.25	0.00	14.25	80.0	11.40
02/27/2017	QGW001265 EVEREST LIMITED INC	WOUND MGMT TECHNOLOGIES INC COM	S	-79.20	50.00	0.00	50.00	80.0	40.00
02/27/2017	QGW001265 EVEREST LIMITED INC	WOUND MGMT TECHNOLOGIES INC COM	S	79.20	-50.00	0.00	-50.00	80.0	-40.00 C
02/27/2017	QGW001265 EVEREST LIMITED INC	WOUND MGMT TECHNOLOGIES INC COM	S	-79.20	45.00	0.00	45.00	80.0	36.00
03/02/2017	QGW001737 HEATH, KIMBERLY	OPKO HEALTH INC	S	-7,603.10	20.00	0.00	20.00	80.0	16.00
03/02/2017	QGW001760 HEATH, KIMBERLY	OPKO HEALTH INC	S	-7,603.10	20.00	0.00	20.00	80.0	16.00
03/13/2017	QGW001828 BRIMBERG BIOTECH	EXELIXIS INC	S	-32,775.00	50.00	0.00	50.00	80.0	40.00
03/13/2017	QGW001828 BRIMBERG BIOTECH	EXELIXIS INC	B	43,300.00	50.00	0.00	50.00	80.0	40.00
03/28/2017	QGW001828 BRIMBERG BIOTECH	ASPEN GROUP INC COM NEW	B	2,165.00	50.00	0.00	50.00	80.0	40.00
03/28/2017	QGW001828 BRIMBERG BIOTECH	EXELIXIS INC	B	21,369.50	50.00	0.00	50.00	80.0	40.00
03/28/2017	QGW001828 BRIMBERG BIOTECH	EXELIXIS INC	S	-23,419.00	25.00	0.00	25.00	80.0	20.00
03/28/2017	QGW001828 BRIMBERG BIOTECH	NABORS INDUSTRIES LTD	S	-6,210.55	25.00	0.00	25.00	80.0	20.00
03/28/2017	QGW001828 BRIMBERG BIOTECH	NABORS INDUSTRIES LTD	S	-6,225.55	25.00	0.00	25.00	80.0	20.00
03/01/2017	QGW005225 GOLDBERG, RONALD	HUDSON TECHNOLOGIES INC	S	-7,210.10	20.00	0.00	20.00	80.0	16.00
03/07/2017	QGW005225 GOLDBERG, RONALD	HUDSON TECHNOLOGIES INC	S	-14,180.00	20.00	0.00	20.00	80.0	16.00
02/28/2017	QGW005936 RABBITO, RICHARD	BIOSTAGE INC COM	S	-1,925.50	35.00	0.00	35.00	80.0	28.00
03/03/2017	QGW005936 RABBITO, RICHARD	CASTLE BRANDS INC COM	B	2,020.00	35.00	0.00	35.00	80.0	28.00
03/07/2017	QGW005936 RABBITO, RICHARD	ABATTIS BIOCEUTICALS CORP COM ISIN#	S	-363.00	35.00	0.00	35.00	80.0	28.00
03/07/2017	QGW005936 RABBITO, RICHARD	DELCATH SYS INC COM PAR \$0.01	S	-408.30	35.00	0.00	35.00	80.0	28.00

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
03/07/2017	QGW005936	RABBITO, RICHARD		GREENGRO TECHNOLOGIES INC COM	S	-390.00	35.00	0.00	35.00 80.0 28.00
03/09/2017	QGW005936	RABBITO, RICHARD		BIOSTAR PHARMACEUTICALS INC COM PAR S	S	-2,011.40	35.00	0.00	35.00 80.0 28.00
03/14/2017	QGW005936	RABBITO, RICHARD		BIOSTAGE INC COM	B	1,520.40	35.00	0.00	35.00 80.0 28.00
03/15/2017	QGW005936	RABBITO, RICHARD		BIOSTAGE INC COM	S	-1,440.00	35.00	0.00	35.00 80.0 28.00
03/15/2017	QGW005936	RABBITO, RICHARD		CASTLE BRANDS INC COM	S	-2,144.60	35.00	0.00	35.00 80.0 28.00
03/15/2017	QGW005936	RABBITO, RICHARD		RENTECH INC COM NEW	B	1,522.00	35.00	0.00	35.00 80.0 28.00
03/16/2017	QGW005936	RABBITO, RICHARD		COPSYNC INC COM NEW	B	1,510.40	35.00	0.00	35.00 80.0 28.00
03/16/2017	QGW005936	RABBITO, RICHARD		RENTECH INC COM NEW	S	-1,161.60	35.00	0.00	35.00 80.0 28.00
03/22/2017	QGW005936	RABBITO, RICHARD		COPSYNC INC COM NEW	S	-1,337.54	35.00	0.00	35.00 80.0 28.00
03/22/2017	QGW005936	RABBITO, RICHARD		PROTALIX BIOTHERAPEUTICS INC	B	1,116.50	35.00	0.00	35.00 80.0 28.00
03/27/2017	QGW005936	RABBITO, RICHARD		PROTALIX BIOTHERAPEUTICS INC	S	-1,181.00	35.00	0.00	35.00 80.0 28.00
03/28/2017	QGW005936	RABBITO, RICHARD		SECOND SIGHT MED PRODS INC COM	B	1,370.00	35.00	0.00	35.00 80.0 28.00
03/03/2017	QGW006371	TRAN, SCOTT		SNAP INC CLASS A COMMON STOCK	B	2,696.46	50.00	0.00	50.00 80.0 40.00
03/06/2017	QGW006371	TRAN, SCOTT		SOUTHWEST AIRLINES CO	S	-4,700.00	50.00	0.00	50.00 80.0 40.00
03/17/2017	QGW006371	TRAN, SCOTT		COMPUTER SCIENCES CORP	S	-12,000.00	50.00	0.00	50.00 80.0 40.00
03/03/2017	QGW008203	MCGOWAN, DIANE		OPKO HEALTH INC	B	3,709.55	50.00	0.00	50.00 80.0 40.00
03/03/2017	QGW008203	MCGOWAN, DIANE		ZIOPHARM ONCOLOGY INC	B	3,209.60	50.00	0.00	50.00 80.0 40.00
02/24/2017	QGW009730	SHARTSIS, JAY		SPDR S&P 500 ETF TRUST	B	117,500.00	20.00	0.00	20.00 80.0 16.00
02/24/2017	QGW009730	SHARTSIS, JAY		SPDR S&P 500 ETF TRUST	S	-233,000.00	20.00	0.00	20.00 80.0 16.00
02/27/2017	QGW009730	SHARTSIS, JAY		SPDR S&P 500 ETF TRUST	B	118,253.25	20.00	0.00	20.00 80.0 16.00
03/01/2017	QGW009730	SHARTSIS, JAY		ALERE INC	B	25,550.00	20.00	0.00	20.00 80.0 16.00
03/03/2017	QGW009730	SHARTSIS, JAY		POST HOLDINGS INC	B	42,368.70	20.00	0.00	20.00 80.0 16.00
03/08/2017	QGW009730	SHARTSIS, JAY		POST HOLDINGS INC	S	-41,939.85	20.00	0.00	20.00 80.0 16.00
03/09/2017	QGW009730	SHARTSIS, JAY		WESTMORELAND COAL CO COM	B	7,200.00	20.00	0.00	20.00 80.0 16.00
03/09/2017	QGW009730	SHARTSIS, JAY		WESTMORELAND COAL CO COM	B	-7,200.00	-20.00	0.00	-20.00 80.0 -16.00 C
03/09/2017	QGW009730	SHARTSIS, JAY		WESTMORELAND COAL CO COM	B	7,200.00	20.00	0.00	20.00 80.0 16.00
03/10/2017	QGW009730	SHARTSIS, JAY		ZUMIEZ INC COM	B	1,759.99	20.00	0.00	20.00 80.0 16.00
03/14/2017	QGW009730	SHARTSIS, JAY		RPM INTL INC	B	38,674.93	20.00	0.00	20.00 80.0 16.00
03/14/2017	QGW009730	SHARTSIS, JAY		RPM INTL INC	B	-38,674.93	-20.00	0.00	-20.00 80.0 -16.00 C
03/14/2017	QGW009730	SHARTSIS, JAY		RPM INTL INC	B	38,674.93	20.00	0.00	20.00 80.0 16.00
03/15/2017	QGW009730	SHARTSIS, JAY		DELTA AIR LINES INC DEL COM	B	45,620.00	20.00	0.00	20.00 80.0 16.00
03/15/2017	QGW009730	SHARTSIS, JAY		DELTA AIR LINES INC DEL COM	S	-18,672.40	20.00	0.00	20.00 80.0 16.00
03/15/2017	QGW009730	SHARTSIS, JAY		PROSHARES TR II PROSHARES SHORT VIX S	S	-44,000.00	20.00	0.00	20.00 80.0 16.00
03/15/2017	QGW009730	SHARTSIS, JAY		RPM INTL INC	S	-38,906.00	20.00	0.00	20.00 80.0 16.00
03/17/2017	QGW009730	SHARTSIS, JAY		LAS VEGAS SANDS CORP	S	-50,000.00	20.00	0.00	20.00 80.0 16.00
03/17/2017	QGW009730	SHARTSIS, JAY		LAS VEGAS SANDS CORP	B	27,500.00	20.00	0.00	20.00 80.0 16.00
03/17/2017	QGW009730	SHARTSIS, JAY		MOBILEYE N V ORD SHS	B	30,292.50	20.00	0.00	20.00 80.0 16.00
03/17/2017	QGW009730	SHARTSIS, JAY		PROSHARES TR II PROSHARES SHORT VIX B	B	13,000.00	20.00	0.00	20.00 80.0 16.00
03/17/2017	QGW009730	SHARTSIS, JAY		PROSHARES TR II PROSHARES SHORT VIX S	S	-11,000.00	20.00	0.00	20.00 80.0 16.00
03/22/2017	QGW009730	SHARTSIS, JAY		BANK OF AMERICA CORP	B	45,520.00	20.00	0.00	20.00 80.0 16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/22/2017	QGW009730	SHARTSIS, JAY		EATON CORPORATION PLC	B	43,509.18	20.00	0.00	20.00 80.0 16.00
03/23/2017	QGW009730	SHARTSIS, JAY		EATON CORPORATION PLC	S	-43,732.26	20.00	0.00	20.00 80.0 16.00
03/24/2017	QGW009730	SHARTSIS, JAY		ADVANCED MICRO DEVICES INC	B	27,219.80	20.00	0.00	20.00 80.0 16.00
03/24/2017	QGW009730	SHARTSIS, JAY		ADVANCED MICRO DEVICES INC	S	-27,500.00	20.00	0.00	20.00 80.0 16.00
03/24/2017	QGW009730	SHARTSIS, JAY		HALLIBURTON COMPANY	B	24,753.35	20.00	0.00	20.00 80.0 16.00
03/24/2017	QGW009730	SHARTSIS, JAY		HALLIBURTON COMPANY	S	-24,300.00	10.00	0.00	10.00 80.0 8.00
03/24/2017	QGW009730	SHARTSIS, JAY		HALLIBURTON COMPANY	S	-24,395.00	10.00	0.00	10.00 80.0 8.00
03/27/2017	QGW009730	SHARTSIS, JAY		ASTRAZENECA PLC EACH ADS REPRESENTI	B	31,866.10	20.00	0.00	20.00 80.0 16.00
03/27/2017	QGW009730	SHARTSIS, JAY		PINNACLE WEST CAP CORP COM	B	16,862.22	6.67	0.00	6.67 80.0 5.34
03/27/2017	QGW009730	SHARTSIS, JAY		PINNACLE WEST CAP CORP COM	B	33,709.04	13.33	0.00	13.33 80.0 10.66
03/28/2017	QGW009730	SHARTSIS, JAY		HALLIBURTON COMPANY	B	11,981.50	10.00	0.00	10.00 80.0 8.00
03/28/2017	QGW009730	SHARTSIS, JAY		HALLIBURTON COMPANY	B	12,007.50	10.00	0.00	10.00 80.0 8.00
03/28/2017	QGW009730	SHARTSIS, JAY		HALLIBURTON COMPANY	S	-23,865.00	20.00	0.00	20.00 80.0 16.00
03/28/2017	QGW009730	SHARTSIS, JAY		RED HAT INC	S	-25,965.00	20.00	0.00	20.00 80.0 16.00
03/28/2017	QGW009730	SHARTSIS, JAY		SONIC CORP COM	S	-23,740.00	20.00	0.00	20.00 80.0 16.00
03/28/2017	QGW009730	SHARTSIS, JAY		SONIC CORP COM	B	23,505.80	20.00	0.00	20.00 80.0 16.00
02/24/2017	QGW011751	CAPOLINO, MICHAEL		CANNABIS SCIENCE INC	S	-1,606.86	50.00	0.00	50.00 80.0 40.00
02/24/2017	QGW011751	CAPOLINO, MICHAEL		SEQUANS COMMUNICATIONS S A SPONS AD B		1,503.65	50.00	0.00	50.00 80.0 40.00
02/24/2017	QGW011926	MERDINGER, STANLEY		NEW WORLD GOLD CORP COM	S	-1,890.68	75.00	0.00	75.00 80.0 60.00
02/27/2017	QGW011926	MERDINGER, STANLEY		NEW WORLD GOLD CORP COM	S	-3,109.32	75.00	0.00	75.00 80.0 60.00
03/24/2017	QGW011926	MERDINGER, STANLEY		NEXT GROUP HLDGS INC COM	S	-175.00	75.00	0.00	75.00 80.0 60.00
03/03/2017	QGW011975	RINGER, DENNIS		PROVISION HLDG INC COM	B	1,750.00	65.00	0.00	65.00 80.0 52.00
03/02/2017	QGW012718	DEPALMA, RALPH		SNAP INC CLASS A COMMON STOCK	B	12,480.00	50.00	0.00	50.00 80.0 40.00
02/24/2017	QGW012783	GALLO, TERESA		UNITED STATES STL CORP NEW	S	-48,882.17	20.00	0.00	20.00 80.0 16.00
02/27/2017	QGW012783	GALLO, TERESA		UNITED STATES STL CORP NEW	B	49,047.13	20.00	0.00	20.00 80.0 16.00
03/13/2017	QGW012809	GILPIN, DOUGLAS		ISHARES GOLD TRUST	B	4,643.80	20.00	0.00	20.00 80.0 16.00
03/13/2017	QGW012809	GILPIN, DOUGLAS		TERRA TECH CORP COM	B	1,362.50	20.00	0.00	20.00 80.0 16.00
03/13/2017	QGW012809	GILPIN, DOUGLAS		UNDER ARMOUR INC CLASS C COMMON STO B		5,285.97	20.00	0.00	20.00 80.0 16.00
03/14/2017	QGW012809	GILPIN, DOUGLAS		VALEANT PHARMACEUTICALS INTERNATION B		4,294.00	20.00	0.00	20.00 80.0 16.00
03/20/2017	QGW012809	GILPIN, DOUGLAS		VALEANT PHARMACEUTICALS INTERNATION S		-4,345.40	20.00	0.00	20.00 80.0 16.00
03/02/2017	QGW013005	QUINONES, ALBERT		SNAP INC CLASS A COMMON STOCK	B	720.00	20.00	0.00	20.00 80.0 16.00
03/02/2017	QGW013013	QUINONES, CAROL		SNAP INC CLASS A COMMON STOCK	B	720.00	10.00	0.00	10.00 80.0 8.00
03/02/2017	QGW013013	QUINONES, CAROL		SNAP INC CLASS A COMMON STOCK	B	480.00	10.00	0.00	10.00 80.0 8.00
03/10/2017	QGW013161	GALLO, ANTONIO		BRISTOL MYERS SQUIBB CO	S	-9,655.14	20.00	0.00	20.00 80.0 16.00
03/10/2017	QGW013161	GALLO, ANTONIO		GLAXOSMITHKLINE PLC SPONSORED ADR(F B		9,507.74	20.00	0.00	20.00 80.0 16.00
03/10/2017	QGW013179	GALLO, ANTONIO		BRISTOL MYERS SQUIBB CO	S	-9,656.80	20.00	0.00	20.00 80.0 16.00
03/10/2017	QGW013179	GALLO, ANTONIO		GLAXOSMITHKLINE PLC SPONSORED ADR(F B		9,507.74	20.00	0.00	20.00 80.0 16.00
03/02/2017	QGW013260	HACKEL, HENRY		ACTELION SHS SPONSORED ADR REP 1/4T B		121,241.16	36.00	0.00	36.00 80.0 28.80
03/02/2017	QGW013260	HACKEL, HENRY		ACTELION SHS SPONSORED ADR REP 1/4T B		-121,241.16	-36.00	0.00	-36.00 80.0 -28.80 C
03/07/2017	QGW013328	REILLY, DAVID		BANK OF AMERICA CORP	S	-6,652.93	20.00	0.00	20.00 80.0 16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/09/2017	QGW013328 REILLY, DAVID	BANK OF AMERICA CORP	S	-2,539.05	20.00	0.00	20.00	80.0	16.00
02/24/2017	QGW013484 HACKEL, DEBBIE	WILLIAMS SONOMA INC	S	-70,950.00	10.00	0.00	10.00	80.0	8.00
02/24/2017	QGW013484 HACKEL, DEBBIE	WILLIAMS SONOMA INC	B	23,810.00	10.00	0.00	10.00	80.0	8.00
02/27/2017	QGW013484 HACKEL, DEBBIE	UNIVERSAL HEALTH SVCS INC CL B	S	-25,220.00	10.00	0.00	10.00	80.0	8.00
02/27/2017	QGW013484 HACKEL, DEBBIE	WEIGHT WATCHERS INTL INC NEW	B	13,195.00	10.00	0.00	10.00	80.0	8.00
02/28/2017	QGW013484 HACKEL, DEBBIE	DICKS SPORTING GOODS INC	B	9,802.00	10.00	0.00	10.00	80.0	8.00
02/28/2017	QGW013484 HACKEL, DEBBIE	FIREEYE INC COM	S	-33,408.90	10.00	0.00	10.00	80.0	8.00
02/28/2017	QGW013484 HACKEL, DEBBIE	TARGET CORP	S	-17,735.01	10.00	0.00	10.00	80.0	8.00
02/28/2017	QGW013484 HACKEL, DEBBIE	TARGET CORP	B	17,280.00	10.00	0.00	10.00	80.0	8.00
02/28/2017	QGW013484 HACKEL, DEBBIE	UNIVERSAL HEALTH SVCS INC CL B	B	25,066.48	10.00	0.00	10.00	80.0	8.00
02/28/2017	QGW013484 HACKEL, DEBBIE	WEIGHT WATCHERS INTL INC NEW	S	-13,350.00	10.00	0.00	10.00	80.0	8.00
03/01/2017	QGW013484 HACKEL, DEBBIE	DICKS SPORTING GOODS INC	S	-50,006.20	10.00	0.00	10.00	80.0	8.00
03/01/2017	QGW013484 HACKEL, DEBBIE	DICKS SPORTING GOODS INC	B	39,912.00	10.00	0.00	10.00	80.0	8.00
03/01/2017	QGW013484 HACKEL, DEBBIE	FIREEYE INC COM	B	33,849.00	10.00	0.00	10.00	80.0	8.00
03/01/2017	QGW013484 HACKEL, DEBBIE	URBAN OUTFITTERS INC	S	-25,980.10	10.00	0.00	10.00	80.0	8.00
03/01/2017	QGW013484 HACKEL, DEBBIE	URBAN OUTFITTERS INC	B	25,529.90	10.00	0.00	10.00	80.0	8.00
03/02/2017	QGW013484 HACKEL, DEBBIE	AMERICAN OUTDOOR BRANDS CORP COM	S	-36,604.00	10.00	0.00	10.00	80.0	8.00
03/02/2017	QGW013484 HACKEL, DEBBIE	KVH INDS INC COM	B	6,663.30	10.00	0.00	10.00	80.0	8.00
03/02/2017	QGW013484 HACKEL, DEBBIE	KVH INDS INC COM	S	-6,860.00	10.00	0.00	10.00	80.0	8.00
03/03/2017	QGW013484 HACKEL, DEBBIE	AMERICAN OUTDOOR BRANDS CORP COM	B	36,380.60	10.00	0.00	10.00	80.0	8.00
03/06/2017	QGW013484 HACKEL, DEBBIE	CASEYS GENERAL STORES INC	S	-21,801.50	10.00	0.00	10.00	80.0	8.00
03/07/2017	QGW013484 HACKEL, DEBBIE	CASEYS GENERAL STORES INC	B	21,649.98	10.00	0.00	10.00	80.0	8.00
03/07/2017	QGW013484 HACKEL, DEBBIE	WINNEBAGO INDUSTRIES INC	S	-33,300.00	10.00	0.00	10.00	80.0	8.00
03/07/2017	QGW013484 HACKEL, DEBBIE	WINNEBAGO INDUSTRIES INC	B	33,180.00	10.00	0.00	10.00	80.0	8.00
03/08/2017	QGW013484 HACKEL, DEBBIE	FINISAR CORP COM NEW	S	-34,728.20	10.00	0.00	10.00	80.0	8.00
03/08/2017	QGW013484 HACKEL, DEBBIE	FINISAR CORP COM NEW	B	34,899.00	10.00	0.00	10.00	80.0	8.00
03/08/2017	QGW013484 HACKEL, DEBBIE	MOVADO GROUP INC COM	B	22,800.00	10.00	0.00	10.00	80.0	8.00
03/08/2017	QGW013484 HACKEL, DEBBIE	MOVADO GROUP INC COM	S	-23,550.00	10.00	0.00	10.00	80.0	8.00
03/08/2017	QGW013484 HACKEL, DEBBIE	TAILORED BRANDS INC COM SHS	S	-8,777.00	10.00	0.00	10.00	80.0	8.00
03/08/2017	QGW013484 HACKEL, DEBBIE	TAILORED BRANDS INC COM SHS	B	8,950.00	10.00	0.00	10.00	80.0	8.00
03/08/2017	QGW013484 HACKEL, DEBBIE	TAILORED BRANDS INC COM SHS	S	8,777.00	-10.00	0.00	-10.00	80.0	-8.00 C
03/08/2017	QGW013484 HACKEL, DEBBIE	TAILORED BRANDS INC COM SHS	S	-8,777.00	10.00	0.00	10.00	80.0	8.00
03/08/2017	QGW013484 HACKEL, DEBBIE	TAILORED BRANDS INC COM SHS	B	-8,950.00	-10.00	0.00	-10.00	80.0	-8.00 C
03/08/2017	QGW013484 HACKEL, DEBBIE	TAILORED BRANDS INC COM SHS	B	8,950.00	10.00	0.00	10.00	80.0	8.00
03/09/2017	QGW013484 HACKEL, DEBBIE	FINISAR CORP COM NEW	S	-14,907.00	10.00	0.00	10.00	80.0	8.00
03/09/2017	QGW013484 HACKEL, DEBBIE	FINISAR CORP COM NEW	B	14,500.00	10.00	0.00	10.00	80.0	8.00
03/09/2017	QGW013484 HACKEL, DEBBIE	TAILORED BRANDS INC COM SHS	S	-8,247.00	10.00	0.00	10.00	80.0	8.00
03/09/2017	QGW013484 HACKEL, DEBBIE	TAILORED BRANDS INC COM SHS	B	8,223.00	10.00	0.00	10.00	80.0	8.00
03/14/2017	QGW013484 HACKEL, DEBBIE	NEOPHOTONICS CORP COM	S	-7,014.00	10.00	0.00	10.00	80.0	8.00
03/15/2017	QGW013484 HACKEL, DEBBIE	GOPRO INC CL A	B	15,950.00	10.00	0.00	10.00	80.0	8.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/15/2017	QGW013484 HACKEL, DEBBIE	GOPRO INC CL A	B	-15,950.00	-10.00	0.00	-10.00	80.0	-8.00 C
03/15/2017	QGW013484 HACKEL, DEBBIE	GOPRO INC CL A	B	15,950.00	10.00	0.00	10.00	80.0	8.00
03/15/2017	QGW013484 HACKEL, DEBBIE	NEOPHOTONICS CORP COM	B	7,173.80	10.00	0.00	10.00	80.0	8.00
03/15/2017	QGW013484 HACKEL, DEBBIE	TWITTER INC	B	15,109.90	10.00	0.00	10.00	80.0	8.00
03/15/2017	QGW013484 HACKEL, DEBBIE	TWITTER INC	S	-15,220.00	10.00	0.00	10.00	80.0	8.00
03/16/2017	QGW013484 HACKEL, DEBBIE	GOPRO INC CL A	S	-16,962.20	10.00	0.00	10.00	80.0	8.00
03/20/2017	QGW013484 HACKEL, DEBBIE	FOSSIL GROUP INC COM	S	-16,500.10	10.00	0.00	10.00	80.0	8.00
03/20/2017	QGW013484 HACKEL, DEBBIE	FOSSIL GROUP INC COM	B	16,615.00	10.00	0.00	10.00	80.0	8.00
03/21/2017	QGW013484 HACKEL, DEBBIE	EXPEDITORS INTL WASH INC	S	-55,500.00	10.00	0.00	10.00	80.0	8.00
03/21/2017	QGW013484 HACKEL, DEBBIE	EXPEDITORS INTL WASH INC	B	44,480.00	10.00	0.00	10.00	80.0	8.00
03/21/2017	QGW013484 HACKEL, DEBBIE	NASDAQ SUPER MONTAGE TEST SYMBOL-12 B		10,050.00	10.00	0.00	10.00	80.0	8.00
03/21/2017	QGW013484 HACKEL, DEBBIE	NASDAQ SUPER MONTAGE TEST SYMBOL-12 B		-10,050.00	-10.00	0.00	-10.00	80.0	-8.00 C
03/22/2017	QGW013484 HACKEL, DEBBIE	EXPEDITORS INTL WASH INC	B	11,168.00	10.00	0.00	10.00	80.0	8.00
03/22/2017	QGW013484 HACKEL, DEBBIE	NASDAQ SUPER MONTAGE TEST SYMBOL-12 B		10,014.30	10.00	0.00	10.00	80.0	8.00
03/22/2017	QGW013484 HACKEL, DEBBIE	NASDAQ SUPER MONTAGE TEST SYMBOL-12 S		-10,010.00	10.00	0.00	10.00	80.0	8.00
03/22/2017	QGW013484 HACKEL, DEBBIE	VF CORPORATION	B	53,458.00	10.00	0.00	10.00	80.0	8.00
03/23/2017	QGW013484 HACKEL, DEBBIE	MICRON TECHNOLOGY INC	S	-28,450.00	10.00	0.00	10.00	80.0	8.00
03/23/2017	QGW013484 HACKEL, DEBBIE	MICRON TECHNOLOGY INC	B	27,442.00	10.00	0.00	10.00	80.0	8.00
03/23/2017	QGW013484 HACKEL, DEBBIE	SILICON MOTION TECHNOLOGY CORPORATI B		44,890.00	10.00	0.00	10.00	80.0	8.00
03/23/2017	QGW013484 HACKEL, DEBBIE	VF CORPORATION	S	-53,802.20	10.00	0.00	10.00	80.0	8.00
03/24/2017	QGW013484 HACKEL, DEBBIE	FOOT LOCKER INC	S	-36,340.15	10.00	0.00	10.00	80.0	8.00
03/24/2017	QGW013484 HACKEL, DEBBIE	FOOT LOCKER INC	B	36,709.51	10.00	0.00	10.00	80.0	8.00
03/24/2017	QGW013484 HACKEL, DEBBIE	SILICON MOTION TECHNOLOGY CORPORATI S		-45,510.00	10.00	0.00	10.00	80.0	8.00
03/28/2017	QGW013484 HACKEL, DEBBIE	CHEESE CAKE FACTORY INC COM	S	-30,630.00	10.00	0.00	10.00	80.0	8.00
03/28/2017	QGW013484 HACKEL, DEBBIE	CHEESE CAKE FACTORY INC COM	B	30,675.00	10.00	0.00	10.00	80.0	8.00
03/28/2017	QGW013484 HACKEL, DEBBIE	SNAP INC CLASS A COMMON STOCK	S	-24,000.00	10.00	0.00	10.00	80.0	8.00
03/28/2017	QGW013484 HACKEL, DEBBIE	SNAP INC CLASS A COMMON STOCK	B	22,839.90	10.00	0.00	10.00	80.0	8.00
02/24/2017	QGW013492 HACKEL, DEBBIE	EMERGE ENERGY SVCS LP COM UNIT REPS S		-18,669.40	5.00	0.00	5.00	80.0	4.00
02/24/2017	QGW013492 HACKEL, DEBBIE	EMERGE ENERGY SVCS LP COM UNIT REPS S		-18,800.00	5.00	0.00	5.00	80.0	4.00
02/24/2017	QGW013492 HACKEL, DEBBIE	EMERGE ENERGY SVCS LP COM UNIT REPS S		-18,570.00	5.00	0.00	5.00	80.0	4.00
02/24/2017	QGW013492 HACKEL, DEBBIE	EMERGE ENERGY SVCS LP COM UNIT REPS S		-18,450.00	5.00	0.00	5.00	80.0	4.00
02/24/2017	QGW013492 HACKEL, DEBBIE	EMERGE ENERGY SVCS LP COM UNIT REPS B		71,592.00	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW013492 HACKEL, DEBBIE	ENVISION HEALTHCARE CORPORATION COM S		-128,274.40	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW013492 HACKEL, DEBBIE	ENVISION HEALTHCARE CORPORATION COM B		126,355.00	20.00	0.00	20.00	80.0	16.00
03/02/2017	QGW013492 HACKEL, DEBBIE	COMMUNITY HEALTH SYSTEM INC	B	37,079.20	20.00	0.00	20.00	80.0	16.00
03/08/2017	QGW013492 HACKEL, DEBBIE	BROOKDALE SR LIVING INC	B	10,272.00	20.00	0.00	20.00	80.0	16.00
03/08/2017	QGW013492 HACKEL, DEBBIE	BROOKDALE SR LIVING INC	B	-10,272.00	-20.00	0.00	-20.00	80.0	-16.00 C
03/08/2017	QGW013492 HACKEL, DEBBIE	BROOKDALE SR LIVING INC	B	10,272.00	20.00	0.00	20.00	80.0	16.00
03/10/2017	QGW013492 HACKEL, DEBBIE	VERSAR INC COM	S	-8,546.28	20.00	0.00	20.00	80.0	16.00
03/13/2017	QGW013492 HACKEL, DEBBIE	MACYS INC	B	15,575.00	10.00	0.00	10.00	80.0	8.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/13/2017	QGW013492	HACKEL, DEBBIE							
		MACYS INC	B	15,428.10	10.00	0.00	10.00	80.0	8.00
03/13/2017	QGW013492	HACKEL, DEBBIE							
		VERSAR INC COM	S	-1,040.30	20.00	0.00	20.00	80.0	16.00
03/16/2017	QGW013492	HACKEL, DEBBIE							
		MACYS INC	S	-29,963.70	20.00	0.00	20.00	80.0	16.00
03/20/2017	QGW013492	HACKEL, DEBBIE							
		MPLX LP COM UNIT REPSTG LTD PARTNER	B	70,800.00	20.00	0.00	20.00	80.0	16.00
03/21/2017	QGW013492	HACKEL, DEBBIE							
		VERSAR INC COM	S	-11,253.20	20.00	0.00	20.00	80.0	16.00
03/22/2017	QGW013492	HACKEL, DEBBIE							
		COMMUNITY HEALTH SYSTEM INC	B	16,120.00	20.00	0.00	20.00	80.0	16.00
03/27/2017	QGW013492	HACKEL, DEBBIE							
		BROOKDALE SR LIVING INC	B	2,537.98	20.00	0.00	20.00	80.0	16.00
03/27/2017	QGW013492	HACKEL, DEBBIE							
		COMMERCIAL METALS CO	B	35,200.00	20.00	0.00	20.00	80.0	16.00
03/24/2017	QGW014045	AIG WINE & SPIRITS							
		ALJ REGIONAL HOLDINGS	S	-11,600.00	10.00	0.00	10.00	80.0	8.00
03/24/2017	QGW014045	AIG WINE & SPIRITS							
		AMERICAN INTERNATIONAL GROUP INC	S	-303.35	10.00	0.00	10.00	80.0	8.00
03/24/2017	QGW014045	AIG WINE & SPIRITS							
		CHARTER COMMUNICATIONS INC NEW CL A S		-1,623.08	10.00	0.00	10.00	80.0	8.00
03/24/2017	QGW014045	AIG WINE & SPIRITS							
		INTEL CORP	S	-3,514.36	10.00	0.00	10.00	80.0	8.00
03/24/2017	QGW014045	AIG WINE & SPIRITS							
		MOTOROLA SOLUTIONS INC	S	-5,928.93	10.00	0.00	10.00	80.0	8.00
03/24/2017	QGW014045	AIG WINE & SPIRITS							
		SIRIUS XM HOLDINGS INC COM	S	-32,301.63	10.00	0.00	10.00	80.0	8.00
03/24/2017	QGW014045	AIG WINE & SPIRITS							
		SYMANTEC CORPORATION	S	-6,080.00	10.00	0.00	10.00	80.0	8.00
03/24/2017	QGW014045	AIG WINE & SPIRITS							
		TIME WARNER INC	S	-4,863.90	10.00	0.00	10.00	80.0	8.00
03/24/2017	QGW014045	AIG WINE & SPIRITS							
		WTS AMERICAN INTERNATIONAL GROUP IN S		-39.57	5.00	0.00	5.00	80.0	4.00
02/24/2017	QGW014391	DARWISH, MENACHEM							
		TEVA PHARMACEUTICAL INDUSTRIES LIM B	B	35,347.40	35.00	0.00	35.00	80.0	28.00
03/01/2017	QGW014391	DARWISH, MENACHEM							
		FIRST SOLAR INC	S	-35,050.00	35.00	0.00	35.00	80.0	28.00
03/06/2017	QGW014391	DARWISH, MENACHEM							
		GENERAL ELECTRIC CO	S	-300,000.00	35.00	0.00	35.00	80.0	28.00
03/08/2017	QGW014391	DARWISH, MENACHEM							
		TESORO CORPORATION	B	83,530.00	35.00	0.00	35.00	80.0	28.00
03/08/2017	QGW014391	DARWISH, MENACHEM							
		UNITED CONTINENTAL HLDGS INC	B	73,000.00	35.00	0.00	35.00	80.0	28.00
03/17/2017	QGW014391	DARWISH, MENACHEM							
		FACEBOOK INC CL A	B	260,000.00	35.00	0.00	35.00	80.0	28.00
02/28/2017	QGW014466	SHAVER, RONALD							
		TRANS LUX CORPORATION	S	-246.50	50.00	0.00	50.00	80.0	40.00
03/23/2017	QGW020513	FORST, BARRY							
		STRATEGIC ENVIRONMENTAL & ENERGY RE B		2,640.00	20.00	0.00	20.00	80.0	16.00
03/13/2017	QGW021156	SIEGEL, STUART							
		MEDICAL PROPERTIES TRUST INC	B	3,728.73	20.00	0.00	20.00	80.0	16.00
03/17/2017	QGW021156	SIEGEL, STUART							
		ABBVIE INC	B	13,121.88	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	800.00	40.00	0.00	40.00	80.0	32.00
03/03/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	990.00	9.92	0.00	9.92	80.0	7.94
03/03/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	1,550.00	15.03	0.00	15.03	80.0	12.02
03/03/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	1,550.00	15.05	0.00	15.05	80.0	12.04
03/06/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	1,550.00	40.00	0.00	40.00	80.0	32.00
03/07/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	1,500.00	40.00	0.00	40.00	80.0	32.00
03/17/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	800.00	40.00	0.00	40.00	80.0	32.00
03/23/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	825.00	40.00	0.00	40.00	80.0	32.00
03/01/2017	QGW021743	HANNIGAN, PAMELA							
		BANCO SANTANDER S A SPONSORED ADR	S	-1,448.28	50.78	0.00	50.78	80.0	40.62
03/01/2017	QGW021743	HANNIGAN, PAMELA							
		FIRST DATA CORP NEW COM CL A	B	6,840.16	55.00	0.00	55.00	80.0	44.00
03/01/2017	QGW021743	HANNIGAN, PAMELA							
		INTELLIPHARMACEUTICS INTERNATIONAL	S	-31.49	8.00	0.00	8.00	80.0	6.40
02/24/2017	QGW022287	GALLO, TERESA							
		UNITED STATES STL CORP NEW	S	-40,712.43	20.00	0.00	20.00	80.0	16.00
02/27/2017	QGW022287	GALLO, TERESA							
		UNITED STATES STL CORP NEW	B	40,519.27	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/08/2017	QGW022287	GALLO, TERESA							
		FRONTIER COMMUNICATIONS CORP	B	2,626.30	20.00	0.00	20.00	80.0	16.00
03/08/2017	QGW022303	GALLO, TERESA							
		FRONTIER COMMUNICATIONS CORP	B	2,626.30	20.00	0.00	20.00	80.0	16.00
02/24/2017	QGW022329	REDA, ASSUNTA							
		UNITED STATES STL CORP NEW	S	-14,242.19	20.00	0.00	20.00	80.0	16.00
02/27/2017	QGW022329	REDA, ASSUNTA							
		UNITED STATES STL CORP NEW	B	13,787.44	20.00	0.00	20.00	80.0	16.00
03/17/2017	QGW023160	RAFANELLO, KRISTA							
		HEMACARE CORP COM	S	-866.40	18.05	0.00	18.05	80.0	14.44
03/23/2017	QGW023160	RAFANELLO, KRISTA							
		STRATEGIC ENVIRONMENTAL & ENERGY RE B		869.70	20.00	0.00	20.00	80.0	16.00
03/09/2017	QGW023897	GALLO, ANTONIO							
		XEROX CORP	B	5,973.20	20.00	0.00	20.00	80.0	16.00
03/15/2017	QGW029019	SHARTSIS, JAY							
		PROSHARES TR II PROSHARES SHORT VIX S		-55,000.00	20.00	0.00	20.00	80.0	16.00
03/27/2017	QGW029019	SHARTSIS, JAY							
		GOLAR LNG LIMITED COM STK USD1.00	B	2,652.00	20.00	0.00	20.00	80.0	16.00
02/27/2017	QGW029209	RICCIOTTI, JAMES							
		SAFETEK INTL INC COM PAR \$0 00001 N S		-90.00	50.00	0.00	50.00	80.0	40.00
03/01/2017	QGW030298	CHERNOFF, GLENN							
		HUDSON TECHNOLOGIES INC	S	-7,210.10	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW030298	CHERNOFF, GLENN							
		NOVA MEASURING INSTRUMENTS LTD COM	S	-3,704.80	4.40	0.00	4.40	80.0	3.52
03/01/2017	QGW030298	CHERNOFF, GLENN							
		NOVA MEASURING INSTRUMENTS LTD COM	S	-6,387.80	7.60	0.00	7.60	80.0	6.08
03/01/2017	QGW030298	CHERNOFF, GLENN							
		NOVA MEASURING INSTRUMENTS LTD COM	S	-6,732.00	8.00	0.00	8.00	80.0	6.40
03/06/2017	QGW030298	CHERNOFF, GLENN							
		ALASKA AIR GROUP INC	B	34,142.47	20.00	0.00	20.00	80.0	16.00
03/15/2017	QGW030298	CHERNOFF, GLENN							
		COHERENT INC	B	18,969.00	20.00	0.00	20.00	80.0	16.00
03/16/2017	QGW030298	CHERNOFF, GLENN							
		HUDSON TECHNOLOGIES INC	S	-13,667.20	20.00	0.00	20.00	80.0	16.00
03/27/2017	QGW030298	CHERNOFF, GLENN							
		APPLIED OPTOELECTRONICS INC COM	S	-40,246.01	20.00	0.00	20.00	80.0	16.00
03/27/2017	QGW030298	CHERNOFF, GLENN							
		APPLIED OPTOELECTRONICS INC COM	B	37,695.00	20.00	0.00	20.00	80.0	16.00
03/07/2017	QGW030306	HERMAN, PAUL							
		BANK OF AMERICA CORP DEP SHS REPSTG S		-19,957.69	75.00	0.00	75.00	80.0	60.00
03/07/2017	QGW030306	HERMAN, PAUL							
		BED BATH & BEYOND INC	S	-37,786.46	100.00	0.00	100.00	80.0	80.00
03/07/2017	QGW030306	HERMAN, PAUL							
		CYNOSURE INC CL A	S	-32,112.45	100.00	0.00	100.00	80.0	80.00
03/07/2017	QGW030306	HERMAN, PAUL							
		DELL TECHNOLOGIES INC CLASS V COMMO S		-8,540.30	50.00	0.00	50.00	80.0	40.00
03/07/2017	QGW030306	HERMAN, PAUL							
		DR PEPPER SNAPPLE GROUP INC COM ISI S		-45,954.90	100.00	0.00	100.00	80.0	80.00
03/07/2017	QGW030306	HERMAN, PAUL							
		E I DUPONT DE NEMOURS \$4.50PFD	S	-14,892.01	75.00	0.00	75.00	80.0	60.00
03/07/2017	QGW030306	HERMAN, PAUL							
		HSBC HOLDINGS PLC ADS 6.20% NON CUM S		-12,398.59	50.00	0.00	50.00	80.0	40.00
03/07/2017	QGW030306	HERMAN, PAUL							
		INVESCO MORTGAGE CAPITAL INC COM	S	-14,928.93	75.00	0.00	75.00	80.0	60.00
03/07/2017	QGW030306	HERMAN, PAUL							
		ISHARES IBOXX \$ INVESTMENT GRADE CO B		99,947.02	100.00	0.00	100.00	80.0	80.00
03/07/2017	QGW030306	HERMAN, PAUL							
		ISHARES MBS ETF	B	99,991.30	100.00	0.00	100.00	80.0	80.00
03/07/2017	QGW030306	HERMAN, PAUL							
		MOSAIC COMPANY	S	-28,287.74	100.00	0.00	100.00	80.0	80.00
03/07/2017	QGW030306	HERMAN, PAUL							
		ONE GAS INC COM	S	-15,880.20	50.00	0.00	50.00	80.0	40.00
03/07/2017	QGW030306	HERMAN, PAUL							
		ONEOK INC	S	-55,187.20	100.00	0.00	100.00	80.0	80.00
03/07/2017	QGW030306	HERMAN, PAUL							
		POWERSHARES EXCHANGE-TRADED FD TR I B		99,974.53	100.00	0.00	100.00	80.0	80.00
03/07/2017	QGW030306	HERMAN, PAUL							
		POWERSHARES PREFERRED PORTFOLIO	B	99,984.52	100.00	0.00	100.00	80.0	80.00
03/07/2017	QGW030306	HERMAN, PAUL							
		POWERSHARES GLOBAL EXCHANGE TRADED	B	99,996.09	100.00	0.00	100.00	80.0	80.00
03/07/2017	QGW030306	HERMAN, PAUL							
		RESOLUTE FST PRODS INC COM	S	-6,358.45	50.00	0.00	50.00	80.0	40.00
03/07/2017	QGW030306	HERMAN, PAUL							
		ROYAL BK SCOTLAND GROUP PLC SPONSOR S		-6,116.48	50.00	0.00	50.00	80.0	40.00
03/07/2017	QGW030306	HERMAN, PAUL							
		SCRIPPS NETWORKS INTERACTIVE INC CL S		-15,594.10	50.00	0.00	50.00	80.0	40.00
03/07/2017	QGW030306	HERMAN, PAUL							
		SSGA ACTIVE ETF TR SPDR DOBLELINE T B		99,998.22	100.00	0.00	100.00	80.0	80.00
03/07/2017	QGW030306	HERMAN, PAUL							
		VANGUARD INTERMEDIATE TERM BOND ETF B		99,925.83	100.00	0.00	100.00	80.0	80.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/07/2017	QGW030306 HERMAN, PAUL	VF CORPORATION	S	-40,835.35	100.00	0.00	100.00	80.0	80.00
03/07/2017	QGW030306 HERMAN, PAUL	WHOLE FOODS MKT INC	S	-21,552.37	100.00	0.00	100.00	80.0	80.00
02/24/2017	QGW030397 CORPORATION, VAR	PARETEUM CORPORATION COM	B	1,399.00	20.00	0.00	20.00	80.0	16.00
02/28/2017	QGW030397 CORPORATION, VAR	PARETEUM CORPORATION COMMON STOCK	S	-1,228.80	20.00	0.00	20.00	80.0	16.00
03/03/2017	QGW030397 CORPORATION, VAR	PETROTECH OIL & GAS INC COM	S	-2.00	0.99	0.00	0.99	80.0	0.79
02/24/2017	QGW030421 PARTNERS, FHANNA	DIREXION SHS ETF TR DAILY SEMICONDU	S	-6,205.01	20.00	0.00	20.00	80.0	16.00
02/24/2017	QGW030421 PARTNERS, FHANNA	IDEXX LABORATORIES CORP	S	-35,756.63	20.00	0.00	20.00	80.0	16.00
02/24/2017	QGW030421 PARTNERS, FHANNA	IDEXX LABORATORIES CORP	B	42,948.00	20.00	0.00	20.00	80.0	16.00
02/24/2017	QGW030421 PARTNERS, FHANNA	PARETEUM CORPORATION COM	B	2,098.50	20.00	0.00	20.00	80.0	16.00
02/24/2017	QGW030421 PARTNERS, FHANNA	SPDR SER TR S&P RETAIL ETF	B	30,037.00	20.00	0.00	20.00	80.0	16.00
02/24/2017	QGW030421 PARTNERS, FHANNA	U S AUTO PTS NETWORK INC COM	S	-6,815.00	20.00	0.00	20.00	80.0	16.00
02/27/2017	QGW030421 PARTNERS, FHANNA	IDEXX LABORATORIES CORP	S	-42,820.14	20.00	0.00	20.00	80.0	16.00
02/27/2017	QGW030421 PARTNERS, FHANNA	URANIUM RES INC COM PAR \$.001 2016	B	8,280.00	20.00	0.00	20.00	80.0	16.00
02/28/2017	QGW030421 PARTNERS, FHANNA	PARETEUM CORPORATION COMMON STOCK	S	-3,492.18	20.00	0.00	20.00	80.0	16.00
02/28/2017	QGW030421 PARTNERS, FHANNA	URANIUM RES INC COM PAR \$.001 2016	S	-8,163.20	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW030421 PARTNERS, FHANNA	EVERI HOLDGS INC COM STK	B	40,320.00	20.00	0.00	20.00	80.0	16.00
03/02/2017	QGW030421 PARTNERS, FHANNA	DIREXION SHS ETF TR DAILY EMERGING	B	29,431.65	20.00	0.00	20.00	80.0	16.00
03/02/2017	QGW030421 PARTNERS, FHANNA	DIREXION SHARES ETF TRUST DAILY SMA	B	17,800.00	20.00	0.00	20.00	80.0	16.00
03/02/2017	QGW030421 PARTNERS, FHANNA	DIREXION SHARES ETF TRUST DAILY FIN	B	26,503.95	20.00	0.00	20.00	80.0	16.00
03/02/2017	QGW030421 PARTNERS, FHANNA	MICRON TECHNOLOGY INC	B	14,826.00	20.00	0.00	20.00	80.0	16.00
03/03/2017	QGW030421 PARTNERS, FHANNA	ADVANCED MICRO DEVICES INC	S	-9,303.98	20.00	0.00	20.00	80.0	16.00
03/03/2017	QGW030421 PARTNERS, FHANNA	ADVANCED MICRO DEVICES INC	B	9,506.00	20.00	0.00	20.00	80.0	16.00
03/03/2017	QGW030421 PARTNERS, FHANNA	DIREXION SHS ETF TR DAILY EMERGING	S	-29,110.05	20.00	0.00	20.00	80.0	16.00
03/03/2017	QGW030421 PARTNERS, FHANNA	DIREXION SHARES ETF TRUST DAILY SMA	S	-17,788.50	20.00	0.00	20.00	80.0	16.00
03/03/2017	QGW030421 PARTNERS, FHANNA	DIREXION SHARES ETF TRUST DAILY FIN	S	-26,395.50	20.00	0.00	20.00	80.0	16.00
03/03/2017	QGW030421 PARTNERS, FHANNA	EVERI HOLDGS INC COM STK	S	-13,007.20	20.00	0.00	20.00	80.0	16.00
03/03/2017	QGW030421 PARTNERS, FHANNA	MICRON TECHNOLOGY INC	S	-15,043.80	20.00	0.00	20.00	80.0	16.00
03/15/2017	QGW030421 PARTNERS, FHANNA	EVERI HOLDGS INC COM STK	S	-3,840.00	20.00	0.00	20.00	80.0	16.00
02/28/2017	QGW030702 RUDOLPH, MARTIN	DIREXION SHS ETF TR DAILY JR GOLD M	S	-8,280.10	20.00	0.00	20.00	80.0	16.00
02/28/2017	QGW030702 RUDOLPH, MARTIN	DIREXION SHS ETF TR DAILY JR GOLD M	B	7,990.00	20.00	0.00	20.00	80.0	16.00
02/28/2017	QGW030702 RUDOLPH, MARTIN	PHILIP MORRIS INTERNATIONAL INC	S	-4,706.35	20.00	0.00	20.00	80.0	16.00
03/06/2017	QGW030702 RUDOLPH, MARTIN	DIREXION SHS ETF TR DAILY JR GOLD M	B	5,459.70	20.00	0.00	20.00	80.0	16.00
03/10/2017	QGW030702 RUDOLPH, MARTIN	DIREXION SHS ETF TR DAILY JR GOLD M	S	-6,200.00	20.00	0.00	20.00	80.0	16.00
03/14/2017	QGW030702 RUDOLPH, MARTIN	DIREXION SHS ETF TR DAILY JR GOLD M	B	5,768.50	20.00	0.00	20.00	80.0	16.00
03/15/2017	QGW030702 RUDOLPH, MARTIN	DIREXION SHS ETF TR DAILY JR GOLD M	S	-6,510.00	20.00	0.00	20.00	80.0	16.00
03/23/2017	QGW030702 RUDOLPH, MARTIN	DIREXION SHS ETF TR DAILY JR GOLD M	B	6,700.00	20.00	0.00	20.00	80.0	16.00
02/27/2017	QGW030728 RUDOLPH, MARTIN	HAMILTON BANCORP INC COMMON STOCK	S	-1,545.00	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW030728 RUDOLPH, MARTIN	HAMILTON BANCORP INC COMMON STOCK	S	-4,804.80	15.60	0.00	15.60	80.0	12.48
03/01/2017	QGW030728 RUDOLPH, MARTIN	HAMILTON BANCORP INC COMMON STOCK	S	-1,346.40	4.40	0.00	4.40	80.0	3.52
03/02/2017	QGW030728 RUDOLPH, MARTIN	SNAP INC CLASS A COMMON STOCK	B	24,000.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
03/02/2017	QGW030728	RUDOLPH, MARTIN	SNAP INC CLASS A COMMON STOCK	S	-24,905.60	20.00	0.00	20.00	80.0	16.00
03/24/2017	QGW030827	TO B CAPITAL SPECIAL	MARLIN GOLD MNG LTD COM NEW ISIN#CA B		2,529.50	176.52	0.00	176.52	80.0	141.22
03/08/2017	QGW030835	SEXER LEVY, RHONDA	MYOS RENS TECHNOLOGY INC COM	B	1,668.50	20.00	0.00	20.00	80.0	16.00
03/09/2017	QGW030835	SEXER LEVY, RHONDA	MYOS RENS TECHNOLOGY INC COM	S	-1,490.10	20.00	0.00	20.00	80.0	16.00
03/15/2017	QGW030835	SEXER LEVY, RHONDA	AURINIA PHARMACEUTICALS INC COM ISI B		1,550.00	20.00	0.00	20.00	80.0	16.00
03/15/2017	QGW030835	SEXER LEVY, RHONDA	CERULEAN PHARMA INC COM	S	-855.00	20.00	0.00	20.00	80.0	16.00
03/17/2017	QGW030835	SEXER LEVY, RHONDA	CERULEAN PHARMA INC COM	S	-345.00	20.00	0.00	20.00	80.0	16.00
03/20/2017	QGW030835	SEXER LEVY, RHONDA	IMMUNOMEDICS INC COM	B	1,294.00	20.00	0.00	20.00	80.0	16.00
03/21/2017	QGW030835	SEXER LEVY, RHONDA	AURINIA PHARMACEUTICALS INC COM ISI S		-867.00	20.00	0.00	20.00	80.0	16.00
03/24/2017	QGW030835	SEXER LEVY, RHONDA	AURINIA PHARMACEUTICALS INC COM ISI S		-820.00	20.00	0.00	20.00	80.0	16.00
03/24/2017	QGW030835	SEXER LEVY, RHONDA	IMMUNOMEDICS INC COM	S	-1,368.20	20.00	0.00	20.00	80.0	16.00
03/28/2017	QGW030835	SEXER LEVY, RHONDA	AKERS BIOSCIENCES INC COM	B	720.00	20.00	0.00	20.00	80.0	16.00
02/28/2017	QGW031049	WINNICK, CHARLES	ELLIS PERRY INTERNATIONAL INC	B	46,430.60	20.00	0.00	20.00	80.0	16.00
03/02/2017	QGW031049	WINNICK, CHARLES	ENERGY SVCS ACQUISITION CORP COM	B	6,170.15	20.00	0.00	20.00	80.0	16.00
03/03/2017	QGW031049	WINNICK, CHARLES	HOUSTON WIRE & CABLE CO COM	B	34,875.00	20.00	0.00	20.00	80.0	16.00
03/06/2017	QGW031049	WINNICK, CHARLES	CENTRAL FED CORP COM NEW	B	11,351.41	20.00	0.00	20.00	80.0	16.00
03/07/2017	QGW031049	WINNICK, CHARLES	ELLIS PERRY INTERNATIONAL INC	B	44,924.80	20.00	0.00	20.00	80.0	16.00
03/08/2017	QGW031049	WINNICK, CHARLES	VISHAY PRECISION GROUP INC	B	31,450.00	20.00	0.00	20.00	80.0	16.00
03/09/2017	QGW031049	WINNICK, CHARLES	ELLIS PERRY INTERNATIONAL INC	B	45,759.40	20.00	0.00	20.00	80.0	16.00
03/10/2017	QGW031049	WINNICK, CHARLES	CROCS INC	B	50,330.25	20.00	0.00	20.00	80.0	16.00
03/10/2017	QGW031049	WINNICK, CHARLES	ROCKY BRANDS INC COM	B	21,364.80	20.00	0.00	20.00	80.0	16.00
03/16/2017	QGW031049	WINNICK, CHARLES	CROCS INC	B	67,200.00	20.00	0.00	20.00	80.0	16.00
03/24/2017	QGW031049	WINNICK, CHARLES	BLUE VY BAN CORP OC-COM	B	18,360.00	20.00	0.00	20.00	80.0	16.00
03/27/2017	QGW031049	WINNICK, CHARLES	ELLIS PERRY INTERNATIONAL INC	B	41,718.40	20.00	0.00	20.00	80.0	16.00
03/28/2017	QGW031049	WINNICK, CHARLES	BBX CAP CORP NEW CL A	B	25,562.00	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW031585	WARD, HEATHER	SPDR SER TR S&P OIL & GAS EXPL & PR S		-7,778.18	50.00	0.00	50.00	80.0	40.00
03/03/2017	QGW031585	WARD, HEATHER	SPDR SER TR S&P OIL & GAS EXPL & PR B		7,580.00	52.00	0.00	52.00	80.0	41.60
03/06/2017	QGW031585	WARD, HEATHER	FREEMPORT MCMORAN INC	B	1,280.65	51.00	0.00	51.00	80.0	40.80
03/15/2017	QGW031585	WARD, HEATHER	FREEMPORT MCMORAN INC	S	-1,290.00	30.00	0.00	30.00	80.0	24.00
03/15/2017	QGW031585	WARD, HEATHER	PARNELL PHARMACEUTICALS HLDGS LTD S B		938.50	40.00	0.00	40.00	80.0	32.00
03/15/2017	QGW031585	WARD, HEATHER	SPDR SER TR S&P OIL & GAS EXPL & PR S		-7,296.40	50.00	0.00	50.00	80.0	40.00
03/22/2017	QGW031585	WARD, HEATHER	SPDR SER TR S&P OIL & GAS EXPL & PR B		7,090.00	52.00	0.00	52.00	80.0	41.60
03/27/2017	QGW031585	WARD, HEATHER	AK STEEL HOLDING CORP	S	-10,605.15	100.00	0.00	100.00	80.0	80.00
03/27/2017	QGW031585	WARD, HEATHER	J P MORGAN CHASE & CO	S	-8,725.40	100.00	0.00	100.00	80.0	80.00
03/16/2017	QGW031601	ALIMI, HOJABR	PULMATRIX INC COM STK	S	-56,234.28	1,645.26	0.00	1,645.26	80.0	1,316.21
03/21/2017	QGW031601	ALIMI, HOJABR	PULMATRIX INC COM STK	S	-58,328.14	1,749.84	0.00	1,749.84	80.0	1,399.87
03/21/2017	QGW031601	ALIMI, HOJABR	PULMATRIX INC COM STK	S	58,328.14	-1,749.84	0.00	-1,749.84	80.0	-1,399.87 C
03/21/2017	QGW031601	ALIMI, HOJABR	PULMATRIX INC COM STK	S	-58,328.14	1,749.84	0.00	1,749.84	80.0	1,399.87
03/16/2017	QGW031619	HARISH, SAMEER	PULMATRIX INC COM STK	S	-23,682.68	677.60	0.00	677.60	80.0	542.08
03/03/2017	QGW034183	CROCKETT, RICHARD	CYTODYN INC COM	B	3,450.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
03/09/2017	QGW035024	PEDROZA, DAVID	BIONIK LABORATORIES CORP	B	7,975.00	10.00	0.00	10.00	80.0	8.00
03/16/2017	QGW035263	DREISMANN, HEINRICH	GENENEWS LIMITED COM NEW ISIN#CA3687	S	-32,267.00	322.71	0.00	322.71	80.0	258.17
03/17/2017	QGW036451	BELZ, RICHARD	TRANSOCEAN LTD US LISTED	B	39,000.00	20.00	0.00	20.00	80.0	16.00
03/02/2017	QGW036550	FATTORUSO, PHYLLIS	AVIANCA HOLDINGS SA SPONSORED ADR	S	-6,912.80	20.00	0.00	20.00	80.0	16.00
03/13/2017	QGW036709	GILPIN, DOUGLAS	WHOLE FOODS MKT INC	B	5,791.00	106.96	0.00	106.96	80.0	85.57
03/17/2017	QGW036709	GILPIN, DOUGLAS	ENSCO PLC NEW CLASS A ORD	S	-2,143.35	65.19	0.00	65.19	80.0	52.15
03/17/2017	QGW036709	GILPIN, DOUGLAS	TARGET CORP	B	4,363.19	65.20	0.00	65.20	80.0	52.16
03/17/2017	QGW036709	GILPIN, DOUGLAS	VANECK VECTORS ETF TR GOLD MINERS E B	B	2,954.89	65.54	0.00	65.54	80.0	52.43
03/20/2017	QGW036709	GILPIN, DOUGLAS	HAIN CELESTIAL GROUP INC	B	3,731.95	61.15	0.00	61.15	80.0	48.92
03/21/2017	QGW036709	GILPIN, DOUGLAS	CHIPOTLE MEXICAN GRILL INC COMMON S S	S	-4,051.30	62.11	0.00	62.11	80.0	49.69
03/07/2017	QGW036840	MURPHY, DENNIS	ARROW FINL CORP	B	6,939.00	35.00	0.00	35.00	80.0	28.00
03/07/2017	QGW036840	MURPHY, DENNIS	ISHARES IBOXX \$ INVESTMENT GRADE CO B	B	5,835.40	40.00	0.00	40.00	80.0	32.00
03/07/2017	QGW036840	MURPHY, DENNIS	ISHARES MBS ETF	B	5,289.20	40.00	0.00	40.00	80.0	32.00
03/07/2017	QGW036840	MURPHY, DENNIS	POWERSHARES EXCHANGE-TRADED FD TR I B	B	5,849.13	40.00	0.00	40.00	80.0	32.00
03/07/2017	QGW036840	MURPHY, DENNIS	VANGUARD INTERMEDIATE TERM BOND ETF B	B	4,973.16	40.00	0.00	40.00	80.0	32.00
03/07/2017	QGW036857	MURPHY, MARYLUISE	ARROW FINL CORP	B	6,930.00	35.00	0.00	35.00	80.0	28.00
03/07/2017	QGW036857	MURPHY, MARYLUISE	ISHARES IBOXX \$ INVESTMENT GRADE CO B	B	5,835.40	40.00	0.00	40.00	80.0	32.00
03/07/2017	QGW036857	MURPHY, MARYLUISE	ISHARES MBS ETF	B	5,289.20	40.00	0.00	40.00	80.0	32.00
03/07/2017	QGW036857	MURPHY, MARYLUISE	POWERSHARES EXCHANGE-TRADED FD TR I B	B	5,849.13	40.00	0.00	40.00	80.0	32.00
03/07/2017	QGW036857	MURPHY, MARYLUISE	VANGUARD INTERMEDIATE TERM BOND ETF B	B	4,973.16	40.00	0.00	40.00	80.0	32.00
03/08/2017	QGW036865	MURPHY, DENNIS	GENERAL ELECTRIC CO	B	8,958.00	40.00	0.00	40.00	80.0	32.00
* STOCKS SUBTOTAL *					\$3,471,804.58	\$14,996.33	\$0.00	\$14,996.33		\$11,997.04
PRODUCT CATEGORY: OPTIONS										
03/01/2017	75500426	MIGLIORATO, DOMENICK	CALL SPY 06/16/17 246	S	6,120.00	80.00	0.00	80.00	80.0	64.00
03/01/2017	75500426	MIGLIORATO, DOMENICK	PUT SPY 04/21/17 238	B	10,850.00	140.00	0.00	140.00	80.0	112.00
03/14/2017	75500426	MIGLIORATO, DOMENICK	PUT SPY 05/19/17 232	B	7,975.00	50.00	0.00	50.00	80.0	40.00
03/23/2017	75500426	MIGLIORATO, DOMENICK	CALL SPY 06/16/17 246	B	880.00	40.00	0.00	40.00	80.0	32.00
03/23/2017	75500426	MIGLIORATO, DOMENICK	CALL SPY 06/16/17 246	S	-880.00	-40.00	0.00	-40.00	80.0	-32.00
03/23/2017	75500426	MIGLIORATO, DOMENICK	CALL SPY 06/16/17 246	B	1,760.00	40.00	0.00	40.00	80.0	32.00
03/23/2017	75500426	MIGLIORATO, DOMENICK	PUT SPY 04/21/17 238	S	4,260.00	40.00	0.00	40.00	80.0	32.00
03/01/2017	QGW001091	HACKEL, DEBBIE	CALL 100 DIREXION SHS ETF TR DAILY	S	-750.00	10.00	0.00	10.00	80.0	8.00
03/03/2017	QGW001091	HACKEL, DEBBIE	CALL 100 DIREXION SHS ETF TR DAILY	B	75.00	10.00	0.00	10.00	80.0	8.00
03/03/2017	QGW001091	HACKEL, DEBBIE	CALL 100 DIREXION SHS ETF TR DAILY	S	-1,275.00	10.00	0.00	10.00	80.0	8.00
03/13/2017	QGW001091	HACKEL, DEBBIE	PUT 100 RESOLUTE ENERGY CORP COM NE S	S	-352.00	10.00	0.00	10.00	80.0	8.00
03/17/2017	QGW001091	HACKEL, DEBBIE	CALL 100 SODASTREAM INTERNATIONAL L B	B	2,950.00	10.00	0.00	10.00	80.0	8.00
03/22/2017	QGW001091	HACKEL, DEBBIE	PUT 100 SELECT SECTOR SPDR TR FINAN S	S	-1,425.00	10.00	0.00	10.00	80.0	8.00
03/24/2017	QGW001091	HACKEL, DEBBIE	CALL 100 APPLE INC COM EXP 05-19-17 S	S	-2,305.00	10.00	0.00	10.00	80.0	8.00
03/24/2017	QGW001091	HACKEL, DEBBIE	CALL 100 APPLE INC COM EXP 05-19-17 B	B	555.00	10.00	0.00	10.00	80.0	8.00
03/24/2017	QGW001091	HACKEL, DEBBIE	CALL 100 INTERNATIONAL BUSINESS MAC B	B	975.00	10.00	0.00	10.00	80.0	8.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/24/2017	QGW001091 HACKEL, DEBBIE	CALL 100 INTERNATIONAL BUSINESS MAC S		-3,405.00	10.00	0.00	10.00	80.0	8.00
03/24/2017	QGW001091 HACKEL, DEBBIE	CALL 100 NETFLIX INC COM EXP 05-19- S		-4,335.00	10.00	0.00	10.00	80.0	8.00
03/24/2017	QGW001091 HACKEL, DEBBIE	CALL 100 NETFLIX INC COM EXP 05-19- B		2,160.00	10.00	0.00	10.00	80.0	8.00
03/29/2017	QGW001091 HACKEL, DEBBIE	PUT 100 PROSHARES TR II PROSHARES S S		-1,440.00	10.00	0.00	10.00	80.0	8.00
03/01/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 S		-28,988.04	20.20	0.00	20.20	80.0	16.16
03/01/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 B		18,128.04	20.20	0.00	20.20	80.0	16.16
03/01/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 04- S		-11,155.95	22.75	0.00	22.75	80.0	18.20
03/01/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 11- B		2,600.00	23.60	0.00	23.60	80.0	18.88
03/01/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 11- B		2,886.94	21.90	0.00	21.90	80.0	17.52
03/02/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- S		-8,817.00	22.75	0.00	22.75	80.0	18.20
03/02/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- B		8,309.10	33.84	0.00	33.84	80.0	27.07
03/02/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- B		1,638.00	6.76	0.00	6.76	80.0	5.41
03/02/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- B		-1,638.00	-6.76	0.00	-6.76	80.0	-5.41 C
03/02/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- B		1,638.00	6.76	0.00	6.76	80.0	5.41
03/02/2017	QGW001166 KOONDEL	PUT 100 BANK AMER CORP COM EXP 03-1 S		-170.00	18.50	0.00	18.50	80.0	14.80
03/03/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 B		8,050.00	11.70	0.00	11.70	80.0	9.36
03/03/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 S		-4,795.00	11.70	0.00	11.70	80.0	9.36
03/03/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-17-17@ S		-864.00	12.55	0.00	12.55	80.0	10.04
03/06/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ S		-2,750.00	52.50	0.00	52.50	80.0	42.00
03/06/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ B		3,300.00	52.50	0.00	52.50	80.0	42.00
03/06/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ S		-2,300.00	52.50	0.00	52.50	80.0	42.00
03/06/2017	QGW001166 KOONDEL	PUT 100 BANK AMER CORP COM EXP 03-2 S		-260.00	18.50	0.00	18.50	80.0	14.80
03/07/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- S		-2,395.05	22.75	0.00	22.75	80.0	18.20
03/07/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 03- B		1,380.00	18.50	0.00	18.50	80.0	14.80
03/07/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 03- B		600.00	18.50	0.00	18.50	80.0	14.80
03/07/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 03- B		-1,380.00	-18.50	0.00	-18.50	80.0	-14.80 C
03/07/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 03- B		1,380.00	18.50	0.00	18.50	80.0	14.80
03/08/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 04- S		-24,863.85	38.05	0.00	38.05	80.0	30.44
03/08/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- S		-14,761.10	38.90	0.00	38.90	80.0	31.12
03/08/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- B		26,617.04	89.12	0.00	89.12	80.0	71.30
03/08/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- B		2,208.00	7.58	0.00	7.58	80.0	6.06
03/08/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 03- S		-1,670.00	18.50	0.00	18.50	80.0	14.80
03/08/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 03- B		1,820.00	18.50	0.00	18.50	80.0	14.80
03/08/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- B		-26,617.04	-89.12	0.00	-89.12	80.0	-71.30 C
03/08/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- B		23,219.12	86.50	0.00	86.50	80.0	69.20
03/08/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- B		-2,208.00	-7.58	0.00	-7.58	80.0	-6.06 C
03/08/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- B		2,208.00	7.58	0.00	7.58	80.0	6.06
03/08/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- B		3,397.92	2.62	0.00	2.62	80.0	2.10
03/08/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ S		-1,200.00	31.25	0.00	31.25	80.0	25.00
03/09/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-17-17@ S		-404.00	13.40	0.00	13.40	80.0	10.72

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/09/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-17-17@ S		-423.00	12.55	0.00	12.55	80.0	10.04
03/09/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-17-17@ S		-594.00	12.55	0.00	12.55	80.0	10.04
03/10/2017	QGW001166 KOONDEL	CALL 100 CHICAGO BRIDGE & IRON CO N B		2,729.00	14.25	0.00	14.25	80.0	11.40
03/10/2017	QGW001166 KOONDEL	CALL 100 CHICAGO BRIDGE & IRON CO N S		-94.00	14.25	0.00	14.25	80.0	11.40
03/13/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-7,956.99	12.55	0.00	12.55	80.0	10.04
03/13/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		195.00	12.55	0.00	12.55	80.0	10.04
03/13/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 B		10,967.00	14.25	0.00	14.25	80.0	11.40
03/13/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 S		-8,653.00	14.25	0.00	14.25	80.0	11.40
03/13/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 B		-10,967.00	-14.25	0.00	-14.25	80.0	-11.40 C
03/13/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 B		10,967.00	14.25	0.00	14.25	80.0	11.40
03/13/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 S		8,653.00	-14.25	0.00	-14.25	80.0	-11.40 C
03/13/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 S		-8,653.00	14.25	0.00	14.25	80.0	11.40
03/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-21-17 S		-9,334.98	6.64	0.00	6.64	80.0	5.31
03/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-21-17 S		-49,533.99	36.51	0.00	36.51	80.0	29.21
03/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-21-17 B		18,924.84	36.52	0.00	36.52	80.0	29.22
03/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-21-17 B		3,720.00	6.63	0.00	6.63	80.0	5.30
03/15/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-12,500.00	14.25	0.00	14.25	80.0	11.40
03/15/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		50.00	14.25	0.00	14.25	80.0	11.40
03/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 S		-12,029.04	30.40	0.00	30.40	80.0	24.32
03/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 S		-2,120.00	13.40	0.00	13.40	80.0	10.72
03/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 B		2,455.05	19.00	0.00	19.00	80.0	15.20
03/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 B		1,433.97	11.40	0.00	11.40	80.0	9.12
03/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 B		720.00	13.40	0.00	13.40	80.0	10.72
03/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 S		-263.97	17.65	0.00	17.65	80.0	14.12
03/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-21-17 S		9,334.98	-6.64	0.00	-6.64	80.0	-5.31 C
03/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-21-17 S		-9,334.98	6.64	0.00	6.64	80.0	5.31
03/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-21-17 B		-3,720.00	-6.63	0.00	-6.63	80.0	-5.30 C
03/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-21-17 B		3,720.00	6.63	0.00	6.63	80.0	5.30
03/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 B		-1,433.97	-11.40	0.00	-11.40	80.0	-9.12 C
03/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 B		1,433.97	11.40	0.00	11.40	80.0	9.12
03/16/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 B		15,820.98	15.10	0.00	15.10	80.0	12.08
03/16/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 S		-13,020.00	15.10	0.00	15.10	80.0	12.08
03/16/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 S		-3,171.00	15.10	0.00	15.10	80.0	12.08
03/16/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 B		1,065.00	15.10	0.00	15.10	80.0	12.08
03/16/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-13-17 B		4,979.94	27.85	0.00	27.85	80.0	22.28
03/16/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-13-17 B		2,835.00	22.75	0.00	22.75	80.0	18.20
03/16/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-13-17 S		-1,281.00	27.85	0.00	27.85	80.0	22.28
03/16/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-13-17 S		-690.00	22.75	0.00	22.75	80.0	18.20
03/16/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-28-17 B		5,664.00	22.75	0.00	22.75	80.0	18.20
03/16/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-28-17 S		-2,538.00	22.75	0.00	22.75	80.0	18.20

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/17/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 10-20-17 B		4,116.00	20.20	0.00	20.20	80.0	16.16
03/17/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 10-20-17 S		-2,811.00	20.20	0.00	20.20	80.0	16.16
03/17/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 S		-4,662.00	17.65	0.00	17.65	80.0	14.12
03/17/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 B		1,488.96	17.65	0.00	17.65	80.0	14.12
03/17/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-28-17 B		9,057.00	35.50	0.00	35.50	80.0	28.40
03/17/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-28-17 S		-3,783.00	35.50	0.00	35.50	80.0	28.40
03/17/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 10-20-17 B		1,336.00	13.40	0.00	13.40	80.0	10.72
03/17/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 10-20-17 S		-912.00	13.40	0.00	13.40	80.0	10.72
03/17/2017	QGW001166 KOONDEL	PUT 100 BANK AMER CORP COM EXP 04-0 S		-310.00	18.50	0.00	18.50	80.0	14.80
03/17/2017	QGW001166 KOONDEL	PUT 100 QUALCOMM INC EXP 03-24-17@5 S		-135.00	14.25	0.00	14.25	80.0	11.40
03/17/2017	QGW001166 KOONDEL	PUT 100 QUALCOMM INC EXP 03-31-17@5 S		-152.00	13.40	0.00	13.40	80.0	10.72
03/20/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 S		-8,860.00	13.40	0.00	13.40	80.0	10.72
03/20/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 B		9,820.00	13.40	0.00	13.40	80.0	10.72
03/20/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 S		-7,242.00	22.75	0.00	22.75	80.0	18.20
03/20/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 B		1,956.00	22.75	0.00	22.75	80.0	18.20
03/20/2017	QGW001166 KOONDEL	PUT 100 BANK AMER CORP COM EXP 04-2 S		-260.00	18.50	0.00	18.50	80.0	14.80
03/20/2017	QGW001166 KOONDEL	PUT 100 OCCIDENTAL PETE CORP COM EX S		-156.00	13.40	0.00	13.40	80.0	10.72
03/21/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 S		-2,326.00	13.40	0.00	13.40	80.0	10.72
03/21/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 B		758.00	13.40	0.00	13.40	80.0	10.72
03/21/2017	QGW001166 KOONDEL	PUT 100 FORD MOTOR CO DEL COM PAR E S		-140.00	18.50	0.00	18.50	80.0	14.80
03/21/2017	QGW001166 KOONDEL	PUT 100 FORD MOTOR CO DEL COM PAR E S		-210.00	18.50	0.00	18.50	80.0	14.80
03/21/2017	QGW001166 KOONDEL	PUT 100 FORD MOTOR CO DEL COM PAR E S		-270.00	18.50	0.00	18.50	80.0	14.80
03/22/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 S		-5,069.02	19.35	0.00	19.35	80.0	15.48
03/22/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 B		1,408.00	19.35	0.00	19.35	80.0	15.48
03/22/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-13-17 S		-3,342.01	15.95	0.00	15.95	80.0	12.76
03/22/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-13-17 B		3,353.00	15.95	0.00	15.95	80.0	12.76
03/24/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 S		-9,604.00	13.40	0.00	13.40	80.0	10.72
03/24/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 B		7,477.02	15.10	0.00	15.10	80.0	12.08
03/24/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-3 S		-1,064.00	13.40	0.00	13.40	80.0	10.72
03/24/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-3 B		906.00	15.10	0.00	15.10	80.0	12.08
03/24/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 05-0 B		1,796.00	10.85	0.00	10.85	80.0	8.68
03/24/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 05-0 S		-1,388.00	10.85	0.00	10.85	80.0	8.68
03/27/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 S		-6,121.00	14.25	0.00	14.25	80.0	11.40
03/27/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 B		6,905.00	14.25	0.00	14.25	80.0	11.40
03/28/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 S		-2,257.00	11.70	0.00	11.70	80.0	9.36
03/28/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 B		2,557.00	11.70	0.00	11.70	80.0	9.36
03/02/2017	QGW001174 KOONDEL	PUT 100 BANK AMER CORP COM EXP 03-1 S		-105.00	14.25	0.00	14.25	80.0	11.40
03/09/2017	QGW001174 KOONDEL	PUT 100 FORD MOTOR CO DEL COM PAR E S		-210.00	18.50	0.00	18.50	80.0	14.80
03/02/2017	QGW001190 ASSOCIATES, BKI	PUT 100 BANK AMER CORP COM EXP 03-1 S		-105.00	14.25	0.00	14.25	80.0	11.40
03/01/2017	QGW004863 CARROLL, DAVID	PUT 100 INTEL CORP COM EXP 06-16-17 B		13,160.00	50.00	0.00	50.00	80.0	40.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/01/2017	QGW004863	CARROLL, DAVID	PUT 100 INTEL CORP COM EXP 06-15-18 S	-16,000.00	50.00	0.00	50.00	80.0	40.00
03/02/2017	QGW004863	CARROLL, DAVID	CALL 100 INTEL CORP COM EXP 06-15-1 S	-2,280.00	50.00	0.00	50.00	80.0	40.00
03/02/2017	QGW004863	CARROLL, DAVID	CALL 100 INTEL CORP COM EXP 06-15-1 S	2,280.00	-50.00	0.00	-50.00	80.0	-40.00 C
03/02/2017	QGW004863	CARROLL, DAVID	CALL 100 INTEL CORP COM EXP 06-15-1 S	-2,280.00	50.00	0.00	50.00	80.0	40.00
03/14/2017	QGW005894	JT/WROS, MINNA	CALL 100 DISNEY WALT CO DISNEY COM B	522.00	30.00	0.00	30.00	80.0	24.00
03/10/2017	QGW005936	RABBITO, RICHARD	PUT 100 PHILLIPS 66 COM EXP 04-21-1 B	390.00	35.00	0.00	35.00	80.0	28.00
03/24/2017	QGW005936	RABBITO, RICHARD	PUT 100 PHILLIPS 66 COM EXP 04-21-1 S	-375.00	35.00	0.00	35.00	80.0	28.00
03/30/2017	QGW005936	RABBITO, RICHARD	CALL 100 MICROSOFT CORP COM EXP 05- B	475.00	35.00	0.00	35.00	80.0	28.00
03/01/2017	QGW009730	SHARTSIS, JAY	CALL 100 DYNAVAX TECHNOLOGIES CORP S	-1,250.00	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW009730	SHARTSIS, JAY	CALL 100 NVIDIA CORP COM EXP 04-21- S	-2,061.99	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW009730	SHARTSIS, JAY	CALL 100 NVIDIA CORP COM EXP 04-21- S	-1,233.00	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW009730	SHARTSIS, JAY	CALL 100 NVIDIA CORP COM EXP 04-21- B	948.00	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW009730	SHARTSIS, JAY	CALL 100 NVIDIA CORP COM EXP 04-21- S	2,061.99	-20.00	0.00	-20.00	80.0	-16.00 C
03/01/2017	QGW009730	SHARTSIS, JAY	CALL 100 NVIDIA CORP COM EXP 04-21- S	-2,061.99	20.00	0.00	20.00	80.0	16.00
03/02/2017	QGW009730	SHARTSIS, JAY	CALL 100 NVIDIA CORP COM EXP 04-21- B	1,695.00	20.00	0.00	20.00	80.0	16.00
03/02/2017	QGW009730	SHARTSIS, JAY	CALL 100 NVIDIA CORP COM EXP 04-21- S	-312.00	20.00	0.00	20.00	80.0	16.00
03/03/2017	QGW009730	SHARTSIS, JAY	PUT 100 RESOLUTE ENERGY CORP COM NE S	-1,600.00	20.00	0.00	20.00	80.0	16.00
03/03/2017	QGW009730	SHARTSIS, JAY	PUT 100 VANECK VECTORS ETF TR JR GO S	-3,240.00	20.00	0.00	20.00	80.0	16.00
03/07/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET S	-3,000.00	20.00	0.00	20.00	80.0	16.00
03/07/2017	QGW009730	SHARTSIS, JAY	PUT 100 DELTA AIRLINES INC COM NEW S	-720.00	20.00	0.00	20.00	80.0	16.00
03/07/2017	QGW009730	SHARTSIS, JAY	PUT 100 HALLIBURTON CO COM EXP 04-2 S	-591.00	20.00	0.00	20.00	80.0	16.00
03/08/2017	QGW009730	SHARTSIS, JAY	CALL 100 INTERNATIONAL BUSINESS MAC S	-8,500.00	20.00	0.00	20.00	80.0	16.00
03/08/2017	QGW009730	SHARTSIS, JAY	CALL 100 INTERNATIONAL BUSINESS MAC B	1,940.00	20.00	0.00	20.00	80.0	16.00
03/08/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B	1,640.00	20.00	0.00	20.00	80.0	16.00
03/08/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET S	-2,250.00	20.00	0.00	20.00	80.0	16.00
03/09/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET S	-2,840.00	20.00	0.00	20.00	80.0	16.00
03/09/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B	1,190.00	20.00	0.00	20.00	80.0	16.00
03/10/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B	1,330.00	20.00	0.00	20.00	80.0	16.00
03/13/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B	1,530.00	20.00	0.00	20.00	80.0	16.00
03/15/2017	QGW009730	SHARTSIS, JAY	CALL 100 ADVANCED MICRO DEVICES INC S	-2,160.00	20.00	0.00	20.00	80.0	16.00
03/15/2017	QGW009730	SHARTSIS, JAY	CALL 100 ADVANCED MICRO DEVICES INC B	900.00	20.00	0.00	20.00	80.0	16.00
03/15/2017	QGW009730	SHARTSIS, JAY	CALL 100 INTERNATIONAL BUSINESS MAC B	1,125.00	20.00	0.00	20.00	80.0	16.00
03/15/2017	QGW009730	SHARTSIS, JAY	CALL 100 INTERNATIONAL BUSINESS MAC S	-175.00	20.00	0.00	20.00	80.0	16.00
03/15/2017	QGW009730	SHARTSIS, JAY	PUT 100 VANECK VECTORS ETF TR JR GO B	700.00	20.00	0.00	20.00	80.0	16.00
03/16/2017	QGW009730	SHARTSIS, JAY	CALL 100 GOLDMAN SACHS GROUP INC CO B	455.00	20.00	0.00	20.00	80.0	16.00
03/16/2017	QGW009730	SHARTSIS, JAY	CALL 100 INTERNATIONAL BUSINESS MAC B	1,555.00	20.00	0.00	20.00	80.0	16.00
03/17/2017	QGW009730	SHARTSIS, JAY	CALL 100 TESLA INC EXP 06-16-17 @26 S	-5,175.00	20.00	0.00	20.00	80.0	16.00
03/22/2017	QGW009730	SHARTSIS, JAY	PUT 100 CITIGROUP INC COM NEW ISIN# S	-693.00	20.00	0.00	20.00	80.0	16.00
03/22/2017	QGW009730	SHARTSIS, JAY	PUT 100 SELECT SECTOR SPDR TR FINAN S	-1,125.00	20.00	0.00	20.00	80.0	16.00
03/23/2017	QGW009730	SHARTSIS, JAY	CALL 100 APPLE INC COM EXP 05-19-17 S	-7,125.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: OPTIONS									
03/23/2017	QGW009730	SHARTSIS, JAY	CALL 100 APPLE INC COM EXP 05-19-17 B	1,710.00	20.00	0.00	20.00	80.0	16.00
03/27/2017	QGW009730	SHARTSIS, JAY	CALL 100 INTERNATIONAL BUSINESS MAC B	1,200.00	20.00	0.00	20.00	80.0	16.00
03/27/2017	QGW009730	SHARTSIS, JAY	CALL 100 SNAP INC CL A EXP 04-21-17 S	-1,550.00	20.00	0.00	20.00	80.0	16.00
03/27/2017	QGW009730	SHARTSIS, JAY	CALL 100 TESLA INC EXP 06-16-17 @26 B	1,740.00	20.00	0.00	20.00	80.0	16.00
03/28/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B	720.00	20.00	0.00	20.00	80.0	16.00
03/29/2017	QGW009730	SHARTSIS, JAY	PUT 100 NEWMONT MNG CORP COM EXP 06 S	-3,000.00	20.00	0.00	20.00	80.0	16.00
03/29/2017	QGW009730	SHARTSIS, JAY	PUT 100 PROSHARES TR II PROSHARES S S	-3,600.00	20.00	0.00	20.00	80.0	16.00
03/13/2017	QGW014391	DARWISH, MENACHEM	CALL 100 INTEL CORP COM EXP 01-18-1 B	20,840.10	35.00	0.00	35.00	80.0	28.00
03/27/2017	QGW014391	DARWISH, MENACHEM	CALL 100 VODAFONE GROUP PLC NEW SPO B	9,170.00	35.00	0.00	35.00	80.0	28.00
03/17/2017	QGW021156	SIEGEL, STUART	CALL 100 ABBVIE INC COM EXP 08-18-1 S	-262.00	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW029019	SHARTSIS, JAY	CALL 100 DIREXION SHS ETF TR DAILY S	-1,200.00	20.00	0.00	20.00	80.0	16.00
03/07/2017	QGW029019	SHARTSIS, JAY	PUT 100 NAVISTAR INTL CORP NEW COM S	-750.00	20.00	0.00	20.00	80.0	16.00
03/13/2017	QGW029019	SHARTSIS, JAY	CALL 100 SANDSTORM GOLD LTD COM NEW S	-800.00	20.00	0.00	20.00	80.0	16.00
03/29/2017	QGW029019	SHARTSIS, JAY	PUT 100 PROSHARES TR II PROSHARES S S	-3,600.00	20.00	0.00	20.00	80.0	16.00
03/02/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 EXXON MOBIL CORP COM EXP 0 S	-504.00	20.00	0.00	20.00	80.0	16.00
03/03/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 PHILIP MORRIS INTL INC COM S	-1,160.00	20.00	0.00	20.00	80.0	16.00
03/03/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 PHILIP MORRIS INTL INC COM B	3,285.00	20.00	0.00	20.00	80.0	16.00
03/08/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 BANK AMER CORP COM EXP 06- S	-385.00	20.00	0.00	20.00	80.0	16.00
03/27/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 DIREXION SHS ETF TR DAILY S	-300.00	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 APPLE INC COM EXP 10-20-17 S	-581.00	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW030728	RUDOLPH, MARTIN	PUT 100 APPLE INC COM EXP 01-19-18@ S	-1,222.00	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW030728	RUDOLPH, MARTIN	PUT 100 APPLE INC COM EXP 10-20-17@ B	1,142.00	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW030728	RUDOLPH, MARTIN	PUT 100 PHILIP MORRIS INTL INC COM B	270.00	20.00	0.00	20.00	80.0	16.00
03/01/2017	QGW030728	RUDOLPH, MARTIN	PUT 100 PHILIP MORRIS INTL INC COM S	-1,110.00	20.00	0.00	20.00	80.0	16.00
03/02/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 EXXON MOBIL CORP COM EXP 0 S	-504.00	20.00	0.00	20.00	80.0	16.00
03/03/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 BRISTOL MYERS SQUIBB CO CO S	-480.00	20.00	0.00	20.00	80.0	16.00
03/16/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 VANECK VECTORS ETF TR GOLD S	-450.00	20.00	0.00	20.00	80.0	16.00
03/17/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 VANECK VECTORS ETF TR GOLD B	325.00	20.00	0.00	20.00	80.0	16.00
03/17/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 VANECK VECTORS ETF TR GOLD S	-300.00	20.00	0.00	20.00	80.0	16.00
03/30/2017	QGW030793	RUDOLPH, HOWARD	CALL 100 PHILIP MORRIS INTL INC COM B	955.00	20.00	0.00	20.00	80.0	16.00
03/03/2017	QGW031049	WINNICK, CHARLES	PUT 100 STAPLES INC COM EXP 09-15-1 S	-8,500.00	20.00	0.00	20.00	80.0	16.00
03/06/2017	QGW031049	WINNICK, CHARLES	PUT 100 CROCS INC COM EXP 09-15-17@ S	-9,000.00	20.00	0.00	20.00	80.0	16.00
03/09/2017	QGW031049	WINNICK, CHARLES	PUT 100 ELLIS PERRY INTL INC COM EX S	-19,000.00	20.00	0.00	20.00	80.0	16.00
03/17/2017	QGW031049	WINNICK, CHARLES	PUT 100 CROCS INC COM EXP 09-15-17@ S	-10,000.00	20.00	0.00	20.00	80.0	16.00
03/02/2017	QGW031288	CAPATOSTO, STEVEN	CALL 100 BARRICK GOLD CORP COM ISIN B	380.00	20.00	0.00	20.00	80.0	16.00
* OPTIONS SUBTOTAL *				\$-126,339.00	\$4,001.20	\$0.00	\$4,001.20		\$3,200.96

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
03/10/2017	75501118	BYRNE, MARY	PIONEER CULLEN VALUE FUND CLASS A	B	0.00	2.75	0.00	2.75	80.0	2.20
03/10/2017	75501118	BYRNE, MARY	PIONEER HIGH YIELD FUND A	B	0.00	4.71	0.00	4.71	80.0	3.77
03/10/2017	75502062	SCRUGGS, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	0.08	0.00	0.08	80.0	0.06
03/10/2017	75502067	SCRUGGS, SUSAN	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	0.02	0.00	0.02	80.0	0.02
03/10/2017	75502067	SCRUGGS, SUSAN	THE BOND FUND OF AMERICA-A	B	0.00	1.24	0.00	1.24	80.0	0.99
03/10/2017	75502067	SCRUGGS, SUSAN	THE GROWTH FUND OF AMERICA-A	B	0.00	3.08	0.00	3.08	80.0	2.46
03/10/2017	75502068	SCRUGGS, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	0.02	0.00	0.02	80.0	0.02
03/10/2017	75502069	SCRUGGS, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	0.02	0.00	0.02	80.0	0.02
03/10/2017	QGW005472	KRELL, MOSHE	MAINSTAY CUSHING MLP PREMIER FUND C	B	0.00	25.96	0.00	25.96	80.0	20.77
03/15/2017	QGW005472	KRELL, MOSHE	EUROPACIFIC GROWTH FUND CLASS C	B	0.00	15.08	0.00	15.08	80.0	12.06
03/17/2017	QGW005472	KRELL, MOSHE	HENDERSON GLOBAL EQUITY INCOME FUND	B	0.00	24.29	0.00	24.29	80.0	19.43
03/17/2017	QGW005472	KRELL, MOSHE	PRINCIPAL PREFERRED SECURITIES FUND	B	0.00	17.08	0.00	17.08	80.0	13.66
03/17/2017	QGW005472	KRELL, MOSHE	PRINCIPAL GLOBAL DIVERSIFIED INCOME	B	0.00	37.16	0.00	37.16	80.0	29.73
03/21/2017	QGW005472	KRELL, MOSHE	COHEN & STEERS GLOBAL REALTY SHARES	B	0.00	29.66	0.00	29.66	80.0	23.73
03/22/2017	QGW005472	KRELL, MOSHE	OPPENHEIMERFUNDS 683940886	B	0.00	13.12	0.00	13.12	80.0	10.50
03/22/2017	QGW005472	KRELL, MOSHE	OPPENHEIMER ROCHESTER LIMITED TERM	B	0.00	34.42	0.00	34.42	80.0	27.54
03/09/2017	QGW006884	VOGEL, NEALA	MFS RESEARCH FUND-A	B	0.00	2.88	0.00	2.88	80.0	2.30
03/13/2017	QGW006884	VOGEL, NEALA	DAVIS NEW YORK VENTURE CLASS A	B	0.00	3.33	0.00	3.33	80.0	2.66
03/13/2017	QGW006884	VOGEL, NEALA	TEMPLETON GROWTH FUND CLASS A	B	0.00	2.72	0.00	2.72	80.0	2.18
03/17/2017	QGW006884	VOGEL, NEALA	PUTNAM 746809102	B	0.00	2.20	0.00	2.20	80.0	1.76
03/20/2017	QGW006884	VOGEL, NEALA	COLUMBIA SELIGMAN COMMUNICATIONS AN	B	0.00	4.82	0.00	4.82	80.0	3.86
03/20/2017	QGW007411	OPPELT, MARION	WELLS FARGO OPPORTUNITY FD ADMIN	B	0.00	1.64	0.00	1.64	80.0	1.31
03/13/2017	QGW008203	MCGOWAN, DIANE	FRK FL TF INC FND - CL A	B	0.00	1.04	0.00	1.04	80.0	0.83
03/22/2017	QGW008203	MCGOWAN, DIANE	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	1.37	0.00	1.37	80.0	1.10
03/14/2017	QGW022154	MANOS, WAYNE	FINANCIAL INDUSTRIES A	B	0.00	5.33	0.00	5.33	80.0	4.26
03/15/2017	QGW022154	MANOS, WAYNE	GOLDMAN SACHS CAPITAL GROWTH FUND C	B	0.00	6.47	0.00	6.47	80.0	5.18
03/17/2017	QGW022154	MANOS, WAYNE	HENDERSON EUROPEAN FOCUS FUND CLASS	B	0.00	8.70	0.00	8.70	80.0	6.96
03/17/2017	QGW022154	MANOS, WAYNE	PUTNAM 746802800	B	0.00	0.52	0.00	0.52	80.0	0.42
03/09/2017	QGW023731	REILLY, DAVID	ALLIANCEBERNSTEIN GROWTH AND INC A	B	0.00	0.64	0.00	0.64	80.0	0.51
03/09/2017	QGW023731	REILLY, DAVID	MFS INTERNATIONAL NEW DISCOVERY FUN	B	0.00	0.20	0.00	0.20	80.0	0.16
03/09/2017	QGW023731	REILLY, DAVID	MFS TOTAL RETURN FUND-A	B	0.00	0.59	0.00	0.59	80.0	0.47
03/13/2017	QGW023731	REILLY, DAVID	TEMP FRGN FND - CL A	B	0.00	0.27	0.00	0.27	80.0	0.22
03/14/2017	QGW023731	REILLY, DAVID	FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	0.52	0.00	0.52	80.0	0.42
03/15/2017	QGW023731	REILLY, DAVID	THE GROWTH FUND OF AMERICA-A	B	0.00	0.83	0.00	0.83	80.0	0.66
03/15/2017	QGW023731	REILLY, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.95	0.00	0.95	80.0	0.76
03/17/2017	QGW023731	REILLY, DAVID	DELAWARE REAL ESTATE INVESTMENT TRU	B	0.00	0.25	0.00	0.25	80.0	0.20
03/20/2017	QGW023731	REILLY, DAVID	WELLS FARGO ADVANTAGE SMALL-CAP VAL	B	0.00	0.46	0.00	0.46	80.0	0.37
03/22/2017	QGW023731	REILLY, DAVID	CAPITAL INCOME A	B	0.00	1.69	0.00	1.69	80.0	1.35
03/22/2017	QGW023731	REILLY, DAVID	GLOBAL FUND A	B	0.00	0.36	0.00	0.36	80.0	0.29
03/15/2017	QGW028250	SAG LLC	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	19.68	0.00	19.68	80.0	15.74

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$276.15	\$0.00	\$276.15	\$220.93
*** BROKER TRANSACTIONS TOTAL ***	\$3,354,477.23	\$19,474.23	\$0.00	\$19,474.23	\$15,579.36

BROKER COMMISSION TOTALS

Broker Transactions	\$15,579.36
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-24.34
SIPC Assessment	\$-365.14

Check Amount	\$15,189.88
Year-to-date Earnings	\$53,014.78