



Concorde Investment Services, LLC

03/14/2017
Page No. 1

COMMISSION STATEMENT March 15, 2017

JAMES MAHER
3082 Quail Ridge Circle

BROKER #: M127
BRANCH: JAMES MAHER

Rochester Hills, MI 48309-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	25.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	25.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	0.00	8.50	0.00	8.50	90.0	7.65
03/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	0.00	8.50	0.00	8.50	90.0	7.65
02/09/2017	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	1.69	0.00	1.69	90.0	1.52
02/09/2017	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	0.53	0.00	0.53	90.0	0.48
02/09/2017	PALMER, TODD	AM FUNDS AMCAP C	0.00	21.05	0.00	21.05	90.0	18.95
02/09/2017	SIMON, MICHAEL	AM FUNDS AMCAP C	0.00	6.52	0.00	6.52	90.0	5.87
02/09/2017	MAHER, MAIGHDLIN	AM FUNDS AMCAP C	0.00	1.39	0.00	1.39	90.0	1.25
02/02/2017	NUNEZ TRUST, LYNDIA	AM FUNDS AMERICAN BALANCED C	50,000.00	500.00	0.00	500.00	90.0	450.00
02/09/2017	ALLEN, MARY	AM FUNDS AMERICAN BALANCED C	0.00	5.74	0.00	5.74	90.0	5.17
02/09/2017	PIZZO, SALVATORE	AM FUNDS AMERICAN BALANCED C	0.00	3.12	0.00	3.12	90.0	2.81
02/09/2017	PIZZO, SALVATORE	AM FUNDS AMERICAN BALANCED C	50.00	20.00	0.00	20.00	90.0	18.00
03/01/2017	WALSH, KIMBERLY	AM FUNDS AMERICAN BALANCED C	0.00	1.24	0.00	1.24	90.0	1.12
03/01/2017	KALLEBERG, JUSTIN	AM FUNDS AMERICAN BALANCED C	0.00	1.04	0.00	1.04	90.0	0.94
02/09/2017	PALMERI, DANIEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.38	0.00	0.38	90.0	0.34
03/01/2017	ANTHONY, NICHOLAS	AM FUNDS AMERICAN MUTUAL A	0.00	9.79	0.00	9.79	90.0	8.81
02/09/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.20	0.00	2.20	90.0	1.98
02/09/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.85	0.00	2.85	90.0	2.57
02/09/2017	GILLESPIE, HEATHER	AM FUNDS BOND FUND OF AMERICA A	0.00	4.33	0.00	4.33	90.0	3.90
03/01/2017	ANTHONY, NICHOLAS	AM FUNDS BOND FUND OF AMERICA A	0.00	4.51	0.00	4.51	90.0	4.06
02/22/2017	PALMER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
02/28/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
02/28/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
03/01/2017	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	6.20	0.00	6.20	90.0	5.58
03/01/2017	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	6.20	0.00	6.20	90.0	5.58
03/01/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	16.88	0.00	16.88	90.0	15.19
03/01/2017	CARLINO, GIANNI	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	20.53	0.00	20.53	90.0	18.48
03/01/2017	CARLINO, GIANNI	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	20.53	0.00	20.53	90.0	18.48
03/01/2017	HOLMES, KAYLA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	9.04	0.00	9.04	90.0	8.14
03/01/2017	PALMER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	18.55	0.00	18.55	90.0	16.70
03/01/2017	MARSHALL, DEMETRIA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	13.16	0.00	13.16	90.0	11.84
03/01/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	5.02	0.00	5.02	90.0	4.52
03/01/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	5.02	0.00	5.02	90.0	4.52
03/01/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	20.12	0.00	20.12	90.0	18.11
03/01/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	17.51	0.00	17.51	90.0	15.76
03/01/2017	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	15.68	0.00	15.68	90.0	14.11
03/01/2017	PEVOS, DEBRA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.34	0.00	1.34	90.0	1.21
03/01/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	16.31	0.00	16.31	90.0	14.68
03/01/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	16.31	0.00	16.31	90.0	14.68
03/01/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.30	0.00	2.30	90.0	2.07
03/01/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.30	0.00	2.30	90.0	2.07
03/01/2017	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.23	0.00	1.23	90.0	1.11
02/09/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.80	0.00	1.80	90.0	1.62
02/09/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.86	0.00	1.86	90.0	1.67
03/01/2017	PALMERI, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.38	0.00	1.38	90.0	1.24
02/09/2017	PEVOS, DEBRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.92	0.00	10.92	90.0	9.83
02/09/2017	PLASTIRAS, JULIANA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.40	0.00	10.40	90.0	9.36
02/09/2017	MARKHAM, ELIZABETH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.74	0.00	1.74	90.0	1.57
02/09/2017	RUSSO, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.90	0.00	1.90	90.0	1.71
02/09/2017	ALLEN, MARY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.69	0.00	5.69	90.0	5.12
02/09/2017	PROKOP, STANLEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	129.88	0.00	129.88	90.0	116.89
02/09/2017	KOTT, DIANE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.36	0.00	5.36	90.0	4.82
02/09/2017	STONE, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.26	0.00	11.26	90.0	10.13
02/09/2017	O'CONNOR, HOLLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.98	0.00	5.98	90.0	5.38
02/09/2017	O'DONNELL, JUDITH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.25	0.00	8.25	90.0	7.43

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2017	BUSSELL, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.78	0.00	5.78	90.0	5.20
02/09/2017	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	35.95	0.00	35.95	90.0	32.36
02/09/2017	FRASER, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.68	0.00	8.68	90.0	7.81
02/09/2017	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.57	0.00	5.57	90.0	5.01
02/09/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	33.31	0.00	33.31	90.0	29.98
02/09/2017	HEIDLOFF, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.29	0.00	3.29	90.0	2.96
02/09/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.22	0.00	4.22	90.0	3.80
02/09/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.92	0.00	6.92	90.0	6.23
02/09/2017	MAHER, KEIRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.50	0.00	1.50	90.0	1.35
02/09/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.03	0.00	11.03	90.0	9.93
02/09/2017	PIZZO, REBECCA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.25	0.00	5.25	90.0	4.73
02/09/2017	FOSTER SP TRUST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.42	0.00	2.42	90.0	2.18
02/09/2017	NUNEZ TRUST, LYNDA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	22.87	0.00	22.87	90.0	20.58
02/09/2017	EBERHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.69	0.00	8.69	90.0	7.82
02/09/2017	GUMBLETON, CARRIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.87	0.00	9.87	90.0	8.88
02/09/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.26	0.00	6.26	90.0	5.63
02/09/2017	HENKE, FRANK	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.93	0.00	11.93	90.0	10.74
02/09/2017	KORESKY, JOHN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.74	0.00	4.74	90.0	4.27
02/09/2017	WOLVERINE HUMAN SCV	AM FUNDS CAPITAL INCOME BUILDER C	0.00	111.25	0.00	111.25	90.0	100.13
02/09/2017	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.29	0.00	1.29	90.0	1.16
02/22/2017	WOLVERINE HUMAN SCV	AM FUNDS CAPITAL INCOME BUILDER C	133,706.51	20.00	0.00	20.00	90.0	18.00
03/01/2017	WALSH, KIMBERLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.01	0.00	3.01	90.0	2.71
03/01/2017	KALLEBERG, JUSTIN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	0.81	0.00	0.81	90.0	0.73
02/09/2017	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.19	0.00	1.19	90.0	1.07
02/09/2017	PIZZO, MICHELE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.75	0.00	1.75	90.0	1.58
02/09/2017	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.22	0.00	0.22	90.0	0.20
02/09/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.14	0.00	1.14	90.0	1.03
03/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	0.50	0.00	0.50	90.0	0.45
03/01/2017	JAMES, ANGELA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.81	0.00	0.81	90.0	0.73
03/01/2017	SCACCIA, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	21.37	0.00	21.37	90.0	19.23
03/01/2017	CARLINO, GIANNI	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	17.80	0.00	17.80	90.0	16.02

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	CARLINO, GIANNI	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	17.80	0.00	17.80	90.0	16.02
03/01/2017	JAMES, ANGELA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.67	0.00	2.67	90.0	2.40
03/01/2017	MARSHALL, DEMETRIA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	13.72	0.00	13.72	90.0	12.35
03/01/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	5.15	0.00	5.15	90.0	4.64
03/01/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	5.15	0.00	5.15	90.0	4.64
03/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.26	0.00	7.26	90.0	6.53
03/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.26	0.00	7.26	90.0	6.53
03/01/2017	SCACCIA, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	25.41	0.00	25.41	90.0	22.87
03/01/2017	SCACCIA, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	19.37	0.00	19.37	90.0	17.43
03/01/2017	JAMES, ANGELA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.34	0.00	3.34	90.0	3.01
03/01/2017	JAMES, ANGELA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.34	0.00	3.34	90.0	3.01
03/01/2017	SIMON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	16.54	0.00	16.54	90.0	14.89
03/01/2017	SIMON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	16.54	0.00	16.54	90.0	14.89
02/09/2017	HOPPE, KYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.60	0.00	1.60	90.0	1.44
02/09/2017	PALMERI, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.63	0.00	0.63	90.0	0.57
02/09/2017	OLIVER, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2017	PALMERI, MARIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.14	0.00	1.14	90.0	1.03
02/09/2017	JACK, STANLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	17.13	0.00	17.13	90.0	15.42
02/09/2017	LOUGHLIN, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.88	0.00	1.88	90.0	1.69
02/09/2017	MAHER, HENRY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	19.04	0.00	19.04	90.0	17.14
02/09/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	76.85	0.00	76.85	90.0	69.17
02/09/2017	LUJAN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	6.76	0.00	6.76	90.0	6.08
02/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.67	0.00	3.67	90.0	3.30
02/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.05	0.00	1.05	90.0	0.95
02/09/2017	MARKHAM, JASON	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.92	0.00	4.92	90.0	4.43
02/09/2017	BANNICK, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.31	0.00	8.31	90.0	7.48
02/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.32	0.00	4.32	90.0	3.89
02/09/2017	MAHER, SEAMUS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.40	0.00	1.40	90.0	1.26
02/09/2017	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	51.07	0.00	51.07	90.0	45.96
02/09/2017	QUSSAR, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	7.30	0.00	7.30	90.0	6.57
02/09/2017	WOLVERINE HUMAN SCV	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	63.80	0.00	63.80	90.0	57.42
02/09/2017	VIAR, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.79	0.00	4.79	90.0	4.31
02/22/2017	WOLVERINE HUMAN SCV	AM FUNDS CAPITAL WORLD GRTH & INC C	77,428.34	20.00	0.00	20.00	90.0	18.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	WALSH, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.07	0.00	2.07	90.0	1.86
03/01/2017	KALLEBERG, JUSTIN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.83	0.00	0.83	90.0	0.75
02/22/2017	PALMER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND 52	50.00	0.50	0.00	0.50	90.0	0.45
03/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	CARLINO, GIANNI	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	15.35	0.00	15.35	90.0	13.82
03/01/2017	CARLINO, GIANNI	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	15.35	0.00	15.35	90.0	13.82
03/01/2017	JAMES, ANGELA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	2.45	0.00	2.45	90.0	2.21
03/01/2017	PALMER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	17.51	0.00	17.51	90.0	15.76
03/01/2017	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	9.79	0.00	9.79	90.0	8.81
03/01/2017	MARSHALL, DEMETRIA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	11.17	0.00	11.17	90.0	10.05
03/01/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	4.21	0.00	4.21	90.0	3.79
03/01/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	4.21	0.00	4.21	90.0	3.79
03/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	6.40	0.00	6.40	90.0	5.76
03/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	6.40	0.00	6.40	90.0	5.76
03/01/2017	ANTHONY, NICHOLAS	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	1.69	0.00	1.69	90.0	1.52
03/01/2017	ANTHONY, NICHOLAS	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	1.69	0.00	1.69	90.0	1.52
03/01/2017	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	14.58	0.00	14.58	90.0	13.12
03/01/2017	JAMES, ANGELA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	3.07	0.00	3.07	90.0	2.76
03/01/2017	JAMES, ANGELA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	3.07	0.00	3.07	90.0	2.76
03/01/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	15.80	0.00	15.80	90.0	14.22
03/01/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	15.80	0.00	15.80	90.0	14.22
02/09/2017	LINDSEY, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.54	0.00	0.54	90.0	0.49
02/09/2017	HOPPE, KYLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.18	0.00	1.18	90.0	1.06
03/01/2017	ANTHONY, NICHOLAS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.23	0.00	2.23	90.0	2.01
02/09/2017	JAMES, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.23	0.00	8.23	90.0	7.41
02/09/2017	DARR, CRAIG	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.65	0.00	9.65	90.0	8.69
02/09/2017	KOTT, DIANE	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.08	0.00	8.08	90.0	7.27
02/09/2017	LOUGHLIN, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	18.21	0.00	18.21	90.0	16.39
02/09/2017	O'DONNELL, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.16	0.00	7.16	90.0	6.44
02/09/2017	O'DONNELL, SHERYL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.59	0.00	3.59	90.0	3.23
02/09/2017	PALMERI, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.39	0.00	2.39	90.0	2.15

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2017	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	29.09	0.00	29.09	90.0	26.18
02/09/2017	COLASUONNO, RALPH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.81	0.00	9.81	90.0	8.83
02/09/2017	PIASCIK, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	24.06	0.00	24.06	90.0	21.65
02/09/2017	QUEDNAU, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	17.01	0.00	17.01	90.0	15.31
02/09/2017	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.63	0.00	8.63	90.0	7.77
02/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	18.03	0.00	18.03	90.0	16.23
02/09/2017	VEIT, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.97	0.00	8.97	90.0	8.07
02/09/2017	MARKHAM, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.12	0.00	6.12	90.0	5.51
02/09/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.86	0.00	6.86	90.0	6.17
02/09/2017	BANNICK, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.07	0.00	7.07	90.0	6.36
02/09/2017	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.68	0.00	5.68	90.0	5.11
02/09/2017	BIONDO, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.49	0.00	6.49	90.0	5.84
02/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.58	0.00	3.58	90.0	3.22
02/09/2017	MAHER, KEIRA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.90	0.00	0.90	90.0	0.81
02/09/2017	MAHER, MAIGHDLIN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.92	0.00	0.92	90.0	0.83
02/09/2017	MAHER, SEAMUS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.90	0.00	0.90	90.0	0.81
02/09/2017	NUNEZ, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	19.25	0.00	19.25	90.0	17.33
02/09/2017	NUNEZ TRUST, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	44.33	0.00	44.33	90.0	39.90
02/09/2017	EBERHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.21	0.00	7.21	90.0	6.49
02/09/2017	HOLMES, KAYLA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.11	0.00	1.11	90.0	1.00
02/09/2017	HOLMES, JACK PATRICK	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.02	0.00	1.02	90.0	0.92
02/09/2017	QUSSAR, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.88	0.00	4.88	90.0	4.39
02/09/2017	KORESKY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.64	0.00	3.64	90.0	3.28
02/09/2017	PAGANO, MAUREEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.25	0.00	8.25	90.0	7.43
02/09/2017	MAHER, OWEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.96	0.00	1.96	90.0	1.76
02/09/2017	WOLVERINE HUMAN SCV	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	16.75	0.00	16.75	90.0	15.08
02/09/2017	VIAR, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.43	0.00	1.43	90.0	1.29
02/22/2017	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	200.00	0.00	0.00	0.00	90.0	0.00
02/22/2017	WOLVERINE HUMAN SCV	AM FUNDS EUROPACIFIC GROWTH FUND C	20,330.32	20.00	0.00	20.00	90.0	18.00
03/01/2017	WALSH, KIMBERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.75	0.00	1.75	90.0	1.58
02/09/2017	NORRIS, CHRISTOPHER	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	2.45	0.00	2.45	90.0	2.21
03/01/2017	MOTOR CITY COMPUTER	AM FUNDS EUROPACIFIC GROWTH FUND-R2	0.00	116.51	0.00	116.51	90.0	104.86
02/09/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.67	0.00	1.67	90.0	1.50

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.91	0.00	1.91	90.0	1.72
02/09/2017	PROKOP, STANLEY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	177.31	0.00	177.31	90.0	159.58
03/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
03/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
03/01/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	10.59	0.00	10.59	90.0	9.53
03/01/2017	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	10.59	0.00	10.59	90.0	9.53
03/01/2017	SCACCIA, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	26.01	0.00	26.01	90.0	23.41
03/01/2017	CARLINO, GIANNI	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	29.17	0.00	29.17	90.0	26.25
03/01/2017	CARLINO, GIANNI	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	29.17	0.00	29.17	90.0	26.25
03/01/2017	JAMES, ANGELA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.06	0.00	3.06	90.0	2.75
03/01/2017	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	14.79	0.00	14.79	90.0	13.31
03/01/2017	MARSHALL, DEMETRIA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	18.55	0.00	18.55	90.0	16.70
03/01/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	29.67	0.00	29.67	90.0	26.70
03/01/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	29.67	0.00	29.67	90.0	26.70
03/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.90	0.00	8.90	90.0	8.01
03/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.90	0.00	8.90	90.0	8.01
03/01/2017	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.29	0.00	2.29	90.0	2.06
03/01/2017	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.29	0.00	2.29	90.0	2.06
03/01/2017	SCACCIA, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	26.42	0.00	26.42	90.0	23.78
03/01/2017	SCACCIA, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	23.97	0.00	23.97	90.0	21.57
03/01/2017	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	17.18	0.00	17.18	90.0	15.46
03/01/2017	JAMES, ANGELA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.80	0.00	3.80	90.0	3.42
03/01/2017	JAMES, ANGELA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.80	0.00	3.80	90.0	3.42
03/01/2017	PEVOS, DEBRA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.56	0.00	1.56	90.0	1.40
03/01/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	18.91	0.00	18.91	90.0	17.02
03/01/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	18.91	0.00	18.91	90.0	17.02
03/01/2017	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.31	0.00	1.31	90.0	1.18
03/02/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	0.75	0.00	0.75	90.0	0.68
02/09/2017	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.37	0.00	9.37	90.0	8.43

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
02/09/2017	O'DONNELL, SHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.01	0.00	4.01	90.0	3.61
02/09/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.84	0.00	0.84	90.0	0.76
02/09/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.51	0.00	11.51	90.0	10.36
02/09/2017	HOLMES, KAYLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.50	0.00	5.50	90.0	4.95
02/09/2017	HOLMES, JACK PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.56	0.00	4.56	90.0	4.10
02/09/2017	MAHER, KYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.71	0.00	3.71	90.0	3.34
02/09/2017	MAHER, OWEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.76	0.00	1.76	90.0	1.58
03/01/2017	PALMERI, MARIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.12	0.00	1.12	90.0	1.01
03/01/2017	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.14	0.00	4.14	90.0	3.73
03/01/2017	UNTIL AGE 21, MI/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.81	0.00	2.81	90.0	2.53
03/01/2017	UNTIL AGE 21, MI/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.41	0.00	2.41	90.0	2.17
03/01/2017	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.57	0.00	10.57	90.0	9.51
02/09/2017	DARR, CRAIG	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.22	0.00	12.22	90.0	11.00
02/09/2017	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.03	0.00	4.03	90.0	3.63
02/09/2017	PLASTIRAS, JULIANA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.98	0.00	11.98	90.0	10.78
02/09/2017	SCACCIA, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.45	0.00	9.45	90.0	8.51
02/09/2017	HICKSON, JORDAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.31	0.00	16.31	90.0	14.68
02/09/2017	PALMER, TODD	AM FUNDS GROWTH FUND OF AMERICA C	0.00	24.09	0.00	24.09	90.0	21.68
02/09/2017	BOLUS, ELLA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	21.76	0.00	21.76	90.0	19.58
02/09/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.09	0.00	15.09	90.0	13.58
02/09/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.27	0.00	10.27	90.0	9.24
02/09/2017	JACK, STANLEY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.03	0.00	5.03	90.0	4.53
02/09/2017	LOUGHLIN, DENNIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	27.42	0.00	27.42	90.0	24.68
02/09/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	82.73	0.00	82.73	90.0	74.46
02/09/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.75	0.00	3.75	90.0	3.38
02/09/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.61	0.00	2.61	90.0	2.35
02/09/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	42.49	0.00	42.49	90.0	38.24
02/09/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.57	0.00	10.57	90.0	9.51
02/09/2017	GILLESPIE, HEATHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.13	0.00	16.13	90.0	14.52
02/09/2017	FRASER, SHARON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.22	0.00	7.22	90.0	6.50
02/09/2017	COLASUONNO, RALPH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.08	0.00	16.08	90.0	14.47
02/09/2017	PIASCIK, SHAWN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	26.23	0.00	26.23	90.0	23.61
02/09/2017	QUEDNAU, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	89.39	0.00	89.39	90.0	80.45

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2017	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.05	0.00	13.05	90.0	11.75
02/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.42	0.00	8.42	90.0	7.58
02/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.10	0.00	12.10	90.0	10.89
02/09/2017	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.05	0.00	10.05	90.0	9.05
02/09/2017	HEIDLOFF, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.74	0.00	3.74	90.0	3.37
02/09/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.76	0.00	4.76	90.0	4.28
02/09/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.03	0.00	14.03	90.0	12.63
02/09/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.36	0.00	11.36	90.0	10.22
02/09/2017	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.32	0.00	11.32	90.0	10.19
02/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.31	0.00	4.31	90.0	3.88
02/09/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.61	0.00	15.61	90.0	14.05
02/09/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.27	0.00	8.27	90.0	7.44
02/09/2017	KNOPF, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.48	0.00	1.48	90.0	1.33
02/09/2017	NUNEZ TRUST, LYNDA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	93.96	0.00	93.96	90.0	84.56
02/09/2017	EBERHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.45	0.00	11.45	90.0	10.31
02/09/2017	GUMBLETON, CARRIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.93	0.00	17.93	90.0	16.14
02/09/2017	KEYES LIV TRUST, MURIEL WATSON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.21	0.00	4.21	90.0	3.79
02/09/2017	HENKE, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.37	0.00	14.37	90.0	12.93
02/09/2017	QUSSAR, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.45	0.00	8.45	90.0	7.61
02/09/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.99	0.00	9.99	90.0	8.99
02/09/2017	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.61	0.00	1.61	90.0	1.45
02/09/2017	HEIDLOFF, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA C	50.00	20.00	0.00	20.00	90.0	18.00
03/01/2017	WALSH, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.60	0.00	3.60	90.0	3.24
02/09/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.06	0.00	2.06	90.0	1.85
02/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.34	0.00	4.34	90.0	3.91
02/09/2017	CARLINO, AMY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.86	0.00	2.86	90.0	2.57
02/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.67	0.00	1.67	90.0	1.50
02/09/2017	PIZZO, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.84	0.00	0.84	90.0	0.76
02/09/2017	PIZZO, FRANCESCO	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.15	0.00	0.15	90.0	0.14
02/09/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.62	0.00	1.62	90.0	1.46
02/09/2017	KNOPF, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.93	0.00	0.93	90.0	0.84
02/09/2017	KEYES LIV TRUST, MURIEL WATSON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.64	0.00	2.64	90.0	2.38
02/09/2017	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.24	0.00	2.24	90.0	2.02

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/01/2017	MOTOR CITY COMPUTER	AM FUNDS GROWTH FUND OF AMERICA R2	0.00	231.65	0.00	231.65	90.0	208.49
03/01/2017	JAMES, ANGELA	AM FUNDS ICA 529A	0.00	0.47	0.00	0.47	90.0	0.42
03/01/2017	JACK, KELLY	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	BANNICK, ROBERT	AM FUNDS ICA 529C	0.00	7.10	0.00	7.10	90.0	6.39
03/01/2017	BANNICK, ROBERT	AM FUNDS ICA 529C	0.00	7.10	0.00	7.10	90.0	6.39
03/02/2017	QUSSAR, JAMES	AM FUNDS ICA 529C	75.00	0.75	0.00	0.75	90.0	0.68
02/09/2017	LINDSEY, CHARLES	AM FUNDS ICA A	0.00	0.77	0.00	0.77	90.0	0.69
02/09/2017	HOPPE, KYLE	AM FUNDS ICA A	0.00	1.27	0.00	1.27	90.0	1.14
02/09/2017	CARROLL, EILEEN	AM FUNDS ICA A	0.00	9.46	0.00	9.46	90.0	8.51
03/01/2017	PALMERI, BRENT	AM FUNDS ICA A	0.00	9.49	0.00	9.49	90.0	8.54
02/09/2017	HOPPE, KYLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.40	0.00	1.40	90.0	1.26
02/09/2017	CARROLL, EILEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.21	0.00	3.21	90.0	2.89
02/09/2017	STONE, JANE	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.13	0.00	7.13	90.0	6.42
02/09/2017	GILLESPIE, HEATHER	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.45	0.00	8.45	90.0	7.61
03/01/2017	PALMERI, MARIA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.59	0.00	1.59	90.0	1.43
02/02/2017	NUNEZ TRUST, LYNDA	AM FUNDS INCOME FUND OF AMERICA C	35,000.00	370.00	0.00	370.00	90.0	333.00
02/09/2017	PROKOP, STANLEY	AM FUNDS INCOME FUND OF AMERICA C	0.00	153.62	0.00	153.62	90.0	138.26
02/09/2017	BELONGIE, GINGER	AM FUNDS INCOME FUND OF AMERICA C	0.00	15.65	0.00	15.65	90.0	14.09
02/09/2017	MARSACK, KAREN	AM FUNDS INCOME FUND OF AMERICA C	0.00	10.52	0.00	10.52	90.0	9.47
02/09/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	37.50	0.00	37.50	90.0	33.75
02/09/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	20.92	0.00	20.92	90.0	18.83
02/09/2017	DAVIS, ALTON	AM FUNDS INCOME FUND OF AMERICA C	0.00	18.55	0.00	18.55	90.0	16.70
02/09/2017	PIASCIK, SHAWN	AM FUNDS INCOME FUND OF AMERICA C	0.00	37.08	0.00	37.08	90.0	33.37
02/09/2017	QUEDNAU, KELLY	AM FUNDS INCOME FUND OF AMERICA C	0.00	26.34	0.00	26.34	90.0	23.71
02/09/2017	SIMON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.23	0.00	7.23	90.0	6.51
02/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.55	0.00	1.55	90.0	1.40
02/09/2017	KNOPF, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	0.12	0.00	0.12	90.0	0.11
02/09/2017	NUNEZ TRUST, LYNDA	AM FUNDS INCOME FUND OF AMERICA C	0.00	55.21	0.00	55.21	90.0	49.69
02/09/2017	EBERHARD, DAVID	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.74	0.00	7.74	90.0	6.97
02/09/2017	GUMBLETON, CARRIE	AM FUNDS INCOME FUND OF AMERICA C	0.00	13.38	0.00	13.38	90.0	12.04
02/09/2017	MAHER TRUST, MARY	AM FUNDS INCOME FUND OF AMERICA C	0.00	9.84	0.00	9.84	90.0	8.86
02/09/2017	WOLVERINE HUMAN SCV	AM FUNDS INCOME FUND OF AMERICA C	0.00	73.57	0.00	73.57	90.0	66.21
02/21/2017	WOLLACK TRUST, ROBERT	AM FUNDS INCOME FUND OF AMERICA C	25,000.00	270.00	0.00	270.00	90.0	243.00

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
02/22/2017	WOLVERINE HUMAN SCV	AM FUNDS INCOME FUND OF AMERICA C	88,513.72	20.00	0.00	20.00	90.0	18.00
03/01/2017	WALSH, KIMBERLY	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.12	0.00	1.12	90.0	1.01
02/09/2017	NORRIS, CHRISTOPHER	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	11.57	0.00	11.57	90.0	10.41
02/09/2017	BANNICK, ROBERT	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.92	0.00	0.92	90.0	0.83
02/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.68	0.00	0.68	90.0	0.61
02/09/2017	KNOPF, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.07	0.00	0.07	90.0	0.06
03/01/2017	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	25.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	0.00	16.48	0.00	16.48	90.0	14.83
02/09/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
02/09/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.27	0.00	1.27	90.0	1.14
03/01/2017	JAMES, ANGELA	AM FUNDS NEW ECONOMY 529C	0.00	2.72	0.00	2.72	90.0	2.45
03/01/2017	HOLMES, KAYLA	AM FUNDS NEW ECONOMY 529C	0.00	9.17	0.00	9.17	90.0	8.25
03/01/2017	JAMES, ANGELA	AM FUNDS NEW ECONOMY 529C	0.00	3.40	0.00	3.40	90.0	3.06
03/01/2017	JAMES, ANGELA	AM FUNDS NEW ECONOMY 529C	0.00	3.40	0.00	3.40	90.0	3.06
02/09/2017	MAHER, HENRY	AM FUNDS NEW ECONOMY C	0.00	26.00	0.00	26.00	90.0	23.40
02/09/2017	SIMON, MICHAEL	AM FUNDS NEW ECONOMY C	0.00	6.45	0.00	6.45	90.0	5.81
03/01/2017	SCACCIA, KELLY	AM FUNDS NEW PERSPECTIVE 529C	0.00	23.49	0.00	23.49	90.0	21.14
03/01/2017	SCACCIA, KELLY	AM FUNDS NEW PERSPECTIVE 529C	0.00	19.63	0.00	19.63	90.0	17.67
02/09/2017	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	0.00	16.33	0.00	16.33	90.0	14.70
03/01/2017	CARLINO, GIANNI	AM FUNDS NEW WORLD 529C	0.00	13.58	0.00	13.58	90.0	12.22
03/01/2017	CARLINO, GIANNI	AM FUNDS NEW WORLD 529C	0.00	13.58	0.00	13.58	90.0	12.22
02/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS NEW WORLD C	0.00	12.84	0.00	12.84	90.0	11.56
02/09/2017	HICKSON, ANDREW	AM FUNDS NEW WORLD C	0.00	4.42	0.00	4.42	90.0	3.98
03/01/2017	ANTHONY, NICHOLAS	AM FUNDS SMALLCAP WORLD A	0.00	5.66	0.00	5.66	90.0	5.09
02/09/2017	MAHER, HENRY	AM FUNDS SMALLCAP WORLD C	0.00	14.84	0.00	14.84	90.0	13.36
02/09/2017	HICKSON, ANDREW	AM FUNDS SMALLCAP WORLD C	0.00	3.06	0.00	3.06	90.0	2.75
02/09/2017	MAHER, SEAMUS	AM FUNDS SMALLCAP WORLD C	0.00	2.09	0.00	2.09	90.0	1.88
02/09/2017	HOLMES, KAYLA	AM FUNDS SMALLCAP WORLD C	0.00	1.39	0.00	1.39	90.0	1.25
02/09/2017	HOLMES, JACK PATRICK	AM FUNDS SMALLCAP WORLD C	0.00	1.33	0.00	1.33	90.0	1.20
02/09/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.30	0.00	2.30	90.0	2.07
02/09/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.05	0.00	1.05	90.0	0.95
02/09/2017	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	34.19	0.00	34.19	90.0	30.77
03/01/2017	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	0.25	0.00	0.25	90.0	0.23

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/01/2017	CARROLL, EILEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.79	0.00	3.79	90.0	3.41
03/01/2017	UNTIL AGE 21, MI/UTMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.00	0.00	2.00	90.0	1.80
03/01/2017	UNTIL AGE 21, MI/UTMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.02	0.00	2.02	90.0	1.82
02/09/2017	BANNICK, ROBERT	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.84	0.00	0.84	90.0	0.76
02/09/2017	VIAR, DAVID	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.38	0.00	2.38	90.0	2.14
03/02/2017	QUSSAR, JAMES	AMERICAN FUNDS GRWTH & INC PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
03/02/2017	QUSSAR, JAMES	AMERICAN FUNDS INCOME PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
02/09/2017	MAHER, SEAMUS	BLK BASIC VALUE	0.00	1.09	0.00	1.09	90.0	0.98
02/09/2017	HOLMES, KAYLA	BLK BASIC VALUE	0.00	0.93	0.00	0.93	90.0	0.84
02/09/2017	MAHER, KYLE	BLK BASIC VALUE	0.00	1.66	0.00	1.66	90.0	1.49
02/09/2017	MAHER, HENRY	BLK GLOBAL ALLOCATION A	0.00	11.24	0.00	11.24	90.0	10.12
02/09/2017	SIZEMORE, KATHERINE	BLK WORLD INCOME A	0.00	0.13	0.00	0.13	90.0	0.12
02/07/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	17.79	0.00	17.79	90.0	16.01
02/07/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	13.30	0.00	13.30	90.0	11.97
02/07/2017	MAHER, HENRY	CATALYST MAP GLOBAL CAP APP	0.00	36.37	0.00	36.37	90.0	32.73
02/07/2017	WOLVERINE HUMAN SCV	CATALYST MAP GLOBAL CAP APP	0.00	66.09	0.00	66.09	90.0	59.48
02/09/2017	JAMES, THOMAS	COLUMBIA FDS SER TR II MASS SELECT	0.00	9.68	0.00	9.68	90.0	8.71
02/09/2017	MAHER, HENRY	COLUMBIA FDS SER TR II MASS SELECT	0.00	39.28	0.00	39.28	90.0	35.35
02/09/2017	LUJAN-LABADIE, JENNIFER	COLUMBIA FDS SER TR II MASS SELECT	0.00	6.98	0.00	6.98	90.0	6.28
02/09/2017	KARAS, MICHAEL	COLUMBIA FDS SER TR II MASS SELECT	0.00	4.64	0.00	4.64	90.0	4.18
02/09/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.27	0.00	7.27	90.0	6.54
02/09/2017	JAMES, THOMAS	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	9.11	0.00	9.11	90.0	8.20
02/09/2017	DARR, CRAIG	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	10.28	0.00	10.28	90.0	9.25
02/09/2017	NUNEZ TRUST, LYNDIA	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	21.60	0.00	21.60	90.0	19.44
02/09/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	6.79	0.00	6.79	90.0	6.11
02/22/2017	WOLVERINE HUMAN SCV	COLUMBIA FLOATING RATE FUND-C	51,129.73	20.00	0.00	20.00	90.0	18.00
02/09/2017	NUNEZ TRUST, LYNDIA	COLUMBIA SER TR II MASS	0.00	22.00	0.00	22.00	90.0	19.80
02/17/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	13.75	0.14	0.00	0.14	90.0	0.13
03/03/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	0.00	1.44	0.00	1.44	90.0	1.30
02/21/2017	MAHER, HENRY	DAVIS FINANCIAL A	0.00	7.20	0.00	7.20	90.0	6.48
02/09/2017	MAHER, HENRY	DELAWARE CORPORATE BOND A	0.00	10.91	0.00	10.91	90.0	9.82
02/09/2017	MAHER, HENRY	FEDERATED WORLD INVT SER INC INTL B	0.00	17.71	0.00	17.71	90.0	15.94
02/09/2017	ALBIN, SHELLEY	FRANKLIN BALANCED C	0.00	0.38	0.00	0.38	90.0	0.34

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2017	ALBIN, FREDERICK	FRANKLIN BALANCED C	0.00	0.38	0.00	0.38	90.0	0.34
02/09/2017	BUSSELL, JEFFREY	FRANKLIN GOLD & PRECIOUS METALS C	0.00	4.97	0.00	4.97	90.0	4.47
02/09/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN GOLD & PRECIOUS METALS C	0.00	11.07	0.00	11.07	90.0	9.96
02/09/2017	QUSSAR, JAMES	FRANKLIN GOLD & PRECIOUS METALS C	0.00	6.90	0.00	6.90	90.0	6.21
02/09/2017	MAHER, HENRY	FRANKLIN HIGH INCOME C	0.00	47.90	0.00	47.90	90.0	43.11
02/09/2017	HOLMES, KAYLA	FRANKLIN HIGH INCOME C	0.00	1.92	0.00	1.92	90.0	1.73
02/09/2017	HOLMES, JACK PATRICK	FRANKLIN HIGH INCOME C	0.00	2.39	0.00	2.39	90.0	2.15
02/09/2017	JAMES, THOMAS	FRANKLIN INCOME C	0.00	17.33	0.00	17.33	90.0	15.60
02/09/2017	PEVOS, DEBRA	FRANKLIN INCOME C	0.00	20.39	0.00	20.39	90.0	18.35
02/09/2017	DILAY, DENISE	FRANKLIN INCOME C	0.00	21.42	0.00	21.42	90.0	19.28
02/09/2017	DARR, CRAIG	FRANKLIN INCOME C	0.00	19.62	0.00	19.62	90.0	17.66
02/09/2017	HOPPE, KYLE	FRANKLIN INCOME C	0.00	22.67	0.00	22.67	90.0	20.40
02/09/2017	SIZEMORE, KATHERINE	FRANKLIN INCOME C	0.00	17.79	0.00	17.79	90.0	16.01
02/09/2017	BJERK JR, JOHN	FRANKLIN INCOME C	0.00	17.14	0.00	17.14	90.0	15.43
02/09/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	29.94	0.00	29.94	90.0	26.95
02/09/2017	HICKSON, JORDAN	FRANKLIN INCOME C	0.00	25.06	0.00	25.06	90.0	22.55
02/09/2017	PALMER, TODD	FRANKLIN INCOME C	0.00	45.51	0.00	45.51	90.0	40.96
02/09/2017	WHEELER, WILLARD	FRANKLIN INCOME C	0.00	50.24	0.00	50.24	90.0	45.22
02/09/2017	ALLEN, MARY	FRANKLIN INCOME C	0.00	3.96	0.00	3.96	90.0	3.56
02/09/2017	PROKOP, STANLEY	FRANKLIN INCOME C	0.00	283.41	0.00	283.41	90.0	255.07
02/09/2017	KOTT, DIANE	FRANKLIN INCOME C	0.00	20.00	0.00	20.00	90.0	18.00
02/09/2017	KOTT, DIANE	FRANKLIN INCOME C	0.00	14.02	0.00	14.02	90.0	12.62
02/09/2017	PALMERI, BEVERLY	FRANKLIN INCOME C	0.00	21.98	0.00	21.98	90.0	19.78
02/09/2017	JACK, STANLEY	FRANKLIN INCOME C	0.00	18.15	0.00	18.15	90.0	16.34
02/09/2017	MARSHALL, RONALD	FRANKLIN INCOME C	0.00	35.85	0.00	35.85	90.0	32.27
02/09/2017	TAYLOR, JAMIE	FRANKLIN INCOME C	0.00	41.94	0.00	41.94	90.0	37.75
02/09/2017	LOUGHLIN, DENNIS	FRANKLIN INCOME C	0.00	19.49	0.00	19.49	90.0	17.54
02/09/2017	BELONGIE, GINGER	FRANKLIN INCOME C	0.00	16.90	0.00	16.90	90.0	15.21
02/09/2017	STONE, EDWARD	FRANKLIN INCOME C	0.00	20.95	0.00	20.95	90.0	18.86
02/09/2017	MARSACK, KAREN	FRANKLIN INCOME C	0.00	12.15	0.00	12.15	90.0	10.94
02/09/2017	NORRIS, CHRISTOPHER	FRANKLIN INCOME C	0.00	254.38	0.00	254.38	90.0	228.94
02/09/2017	O'CONNOR, HOLLY	FRANKLIN INCOME C	0.00	9.31	0.00	9.31	90.0	8.38
02/09/2017	O'DONNELL, JUDITH	FRANKLIN INCOME C	0.00	18.09	0.00	18.09	90.0	16.28

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2017	PALMERI, PAUL	FRANKLIN INCOME C	0.00	30.07	0.00	30.07	90.0	27.06
02/09/2017	MAHER, HENRY	FRANKLIN INCOME C	0.00	40.38	0.00	40.38	90.0	36.34
02/09/2017	GILLESPIE, HEATHER	FRANKLIN INCOME C	0.00	15.88	0.00	15.88	90.0	14.29
02/09/2017	DAVIS, ALTON	FRANKLIN INCOME C	0.00	34.71	0.00	34.71	90.0	31.24
02/09/2017	COLASUONNO, RALPH	FRANKLIN INCOME C	0.00	26.97	0.00	26.97	90.0	24.27
02/09/2017	PIASCIK, SHAWN	FRANKLIN INCOME C	0.00	39.15	0.00	39.15	90.0	35.24
02/09/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	22.28	0.00	22.28	90.0	20.05
02/09/2017	LUJAN, DEBORAH	FRANKLIN INCOME C	0.00	19.13	0.00	19.13	90.0	17.22
02/09/2017	MARKHAM, JASON	FRANKLIN INCOME C	0.00	12.63	0.00	12.63	90.0	11.37
02/09/2017	BANNICK, ROBERT	FRANKLIN INCOME C	0.00	6.12	0.00	6.12	90.0	5.51
02/09/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN INCOME C	0.00	2.90	0.00	2.90	90.0	2.61
02/09/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN INCOME C	0.00	34.67	0.00	34.67	90.0	31.20
02/09/2017	PIZZO, REBECCA	FRANKLIN INCOME C	0.00	8.36	0.00	8.36	90.0	7.52
02/09/2017	NUNEZ TRUST, LYNDA	FRANKLIN INCOME C	0.00	208.10	0.00	208.10	90.0	187.29
02/09/2017	EBERHARD, DAVID	FRANKLIN INCOME C	0.00	13.59	0.00	13.59	90.0	12.23
02/09/2017	FAIRLEY, JAMES	FRANKLIN INCOME C	0.00	41.34	0.00	41.34	90.0	37.21
02/09/2017	GUMBLETON, CARRIE	FRANKLIN INCOME C	0.00	25.25	0.00	25.25	90.0	22.73
02/09/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN INCOME C	0.00	25.33	0.00	25.33	90.0	22.80
02/09/2017	SCACCIA, KELLY	FRANKLIN INCOME C	0.00	8.57	0.00	8.57	90.0	7.71
02/09/2017	HENKE, FRANK	FRANKLIN INCOME C	0.00	25.51	0.00	25.51	90.0	22.96
02/09/2017	QUSSAR, JAMES	FRANKLIN INCOME C	0.00	14.19	0.00	14.19	90.0	12.77
02/09/2017	PAGANO, MAUREEN	FRANKLIN INCOME C	0.00	12.94	0.00	12.94	90.0	11.65
02/09/2017	WOLVERINE HUMAN SCV	FRANKLIN INCOME C	0.00	93.10	0.00	93.10	90.0	83.79
02/22/2017	WOLVERINE HUMAN SCV	FRANKLIN INCOME C	40,000.00	20.00	0.00	20.00	90.0	18.00
02/09/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN INVS SECS TR REAL RETURN F	0.00	9.00	0.00	9.00	90.0	8.10
02/09/2017	NORRIS, CHRISTOPHER	FRANKLIN MI TAX FR INCOME C	0.00	97.28	0.00	97.28	90.0	87.55
02/09/2017	NORRIS, CHRISTOPHER	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	82.23	0.00	82.23	90.0	74.01
02/09/2017	EBERHARD, DAVID	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	29.61	0.00	29.61	90.0	26.65
02/09/2017	PALMERI, DANIEL	FRANKLIN NATURAL RESOURCES C	0.00	3.79	0.00	3.79	90.0	3.41
02/09/2017	COLASUONNO, RALPH	FRANKLIN NATURAL RESOURCES C	0.00	17.21	0.00	17.21	90.0	15.49
02/09/2017	MARKHAM, JASON	FRANKLIN NATURAL RESOURCES C	0.00	12.99	0.00	12.99	90.0	11.69
02/09/2017	GUMBLETON, CARRIE	FRANKLIN NATURAL RESOURCES C	0.00	17.36	0.00	17.36	90.0	15.62
02/09/2017	HEILMANN, MAUREEN	FRANKLIN RISING DIVIDENDS A	0.00	3.66	0.00	3.66	90.0	3.29

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2017	MARINI, ZAREFA	FRANKLIN RISING DIVIDENDS A	0.00	0.73	0.00	0.73	90.0	0.66
02/09/2017	MAHER, KEIRA	FRANKLIN RISING DIVIDENDS A	0.00	3.83	0.00	3.83	90.0	3.45
02/09/2017	MAHER, MAIGHDLIN	FRANKLIN RISING DIVIDENDS A	0.00	6.38	0.00	6.38	90.0	5.74
02/09/2017	MAHER, SEAMUS	FRANKLIN RISING DIVIDENDS A	0.00	1.96	0.00	1.96	90.0	1.76
02/02/2017	NUNEZ TRUST, LYNDA	FRANKLIN RISING DIVIDENDS C	55,000.00	550.00	0.00	550.00	90.0	495.00
02/09/2017	JAMES, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	30.08	0.00	30.08	90.0	27.07
02/09/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	18.96	0.00	18.96	90.0	17.06
02/09/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	35.80	0.00	35.80	90.0	32.22
02/09/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	31.60	0.00	31.60	90.0	28.44
02/09/2017	MCCRACKEN, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	37.45	0.00	37.45	90.0	33.71
02/09/2017	DILAY, DENISE	FRANKLIN RISING DIVIDENDS C	0.00	37.17	0.00	37.17	90.0	33.45
02/09/2017	LINDSEY, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	23.22	0.00	23.22	90.0	20.90
02/09/2017	CORNWELL, VICTORIA	FRANKLIN RISING DIVIDENDS C	0.00	29.37	0.00	29.37	90.0	26.43
02/09/2017	DARR, CRAIG	FRANKLIN RISING DIVIDENDS C	0.00	41.05	0.00	41.05	90.0	36.95
02/09/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	30.50	0.00	30.50	90.0	27.45
02/09/2017	BUREK, CANDACE	FRANKLIN RISING DIVIDENDS C	0.00	18.48	0.00	18.48	90.0	16.63
02/09/2017	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	8.08	0.00	8.08	90.0	7.27
02/09/2017	HOPPE, KYLE	FRANKLIN RISING DIVIDENDS C	0.00	39.32	0.00	39.32	90.0	35.39
02/09/2017	SIZEMORE, KATHERINE	FRANKLIN RISING DIVIDENDS C	0.00	51.78	0.00	51.78	90.0	46.60
02/09/2017	BJERK JR, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	27.48	0.00	27.48	90.0	24.73
02/09/2017	PLASTIRAS, JULIANA	FRANKLIN RISING DIVIDENDS C	0.00	34.35	0.00	34.35	90.0	30.92
02/09/2017	MARKHAM, ELIZABETH	FRANKLIN RISING DIVIDENDS C	0.00	15.39	0.00	15.39	90.0	13.85
02/09/2017	BALDWIN, JASON	FRANKLIN RISING DIVIDENDS C	0.00	21.55	0.00	21.55	90.0	19.40
02/09/2017	HICKSON, MARK	FRANKLIN RISING DIVIDENDS C	0.00	88.10	0.00	88.10	90.0	79.29
02/09/2017	BUREK, ROSANNE	FRANKLIN RISING DIVIDENDS C	0.00	57.00	0.00	57.00	90.0	51.30
02/09/2017	ALBIN, SHELLEY	FRANKLIN RISING DIVIDENDS C	0.00	17.29	0.00	17.29	90.0	15.56
02/09/2017	ALBIN, FREDERICK	FRANKLIN RISING DIVIDENDS C	0.00	17.29	0.00	17.29	90.0	15.56
02/09/2017	PALMER, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	28.41	0.00	28.41	90.0	25.57
02/09/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	73.16	0.00	73.16	90.0	65.84
02/09/2017	RUSSO, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	2.85	0.00	2.85	90.0	2.57
02/09/2017	BOLUS, ELLA	FRANKLIN RISING DIVIDENDS C	0.00	23.58	0.00	23.58	90.0	21.22
02/09/2017	RUSSO, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	0.82	0.00	0.82	90.0	0.74
02/09/2017	ALLEN, MARY	FRANKLIN RISING DIVIDENDS C	0.00	6.89	0.00	6.89	90.0	6.20

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2017	KESSOR, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	1.18	0.00	1.18	90.0	1.06
02/09/2017	POUPORE, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	0.94	0.00	0.94	90.0	0.85
02/09/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	64.45	0.00	64.45	90.0	58.01
02/09/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	28.85	0.00	28.85	90.0	25.97
02/09/2017	PALMERI, SAMUEL	FRANKLIN RISING DIVIDENDS C	0.00	42.26	0.00	42.26	90.0	38.03
02/09/2017	PALMERI, BEVERLY	FRANKLIN RISING DIVIDENDS C	0.00	46.18	0.00	46.18	90.0	41.56
02/09/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	68.42	0.00	68.42	90.0	61.58
02/09/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	2.74	0.00	2.74	90.0	2.47
02/09/2017	LOUGHLIN, DENNIS	FRANKLIN RISING DIVIDENDS C	0.00	57.09	0.00	57.09	90.0	51.38
02/09/2017	STONE, JANE	FRANKLIN RISING DIVIDENDS C	0.00	17.30	0.00	17.30	90.0	15.57
02/09/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	34.22	0.00	34.22	90.0	30.80
02/09/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	16.65	0.00	16.65	90.0	14.99
02/09/2017	MARSACK, KAREN	FRANKLIN RISING DIVIDENDS C	0.00	78.78	0.00	78.78	90.0	70.90
02/09/2017	O'CONNOR, HOLLY	FRANKLIN RISING DIVIDENDS C	0.00	19.48	0.00	19.48	90.0	17.53
02/09/2017	O'DONNELL, JUDITH	FRANKLIN RISING DIVIDENDS C	0.00	21.25	0.00	21.25	90.0	19.13
02/09/2017	PALMERI, DANIEL	FRANKLIN RISING DIVIDENDS C	0.00	4.04	0.00	4.04	90.0	3.64
02/09/2017	BUSSELL, JEFFREY	FRANKLIN RISING DIVIDENDS C	0.00	6.29	0.00	6.29	90.0	5.66
02/09/2017	PALMERI, PAUL	FRANKLIN RISING DIVIDENDS C	0.00	17.30	0.00	17.30	90.0	15.57
02/09/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	22.56	0.00	22.56	90.0	20.30
02/09/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	158.25	0.00	158.25	90.0	142.43
02/09/2017	JACK, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	0.29	0.00	0.29	90.0	0.26
02/09/2017	FRASER, SHARON	FRANKLIN RISING DIVIDENDS C	0.00	54.37	0.00	54.37	90.0	48.93
02/09/2017	DAVIS, ALTON	FRANKLIN RISING DIVIDENDS C	0.00	56.09	0.00	56.09	90.0	50.48
02/09/2017	COLASUONNO, RALPH	FRANKLIN RISING DIVIDENDS C	0.00	66.09	0.00	66.09	90.0	59.48
02/09/2017	MAES, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	39.27	0.00	39.27	90.0	35.34
02/09/2017	LUJAN, DEBORAH	FRANKLIN RISING DIVIDENDS C	0.00	61.76	0.00	61.76	90.0	55.58
02/09/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	10.22	0.00	10.22	90.0	9.20
02/09/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	68.67	0.00	68.67	90.0	61.80
02/09/2017	NIXON, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	2.70	0.00	2.70	90.0	2.43
02/09/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	24.82	0.00	24.82	90.0	22.34
02/09/2017	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	25.27	0.00	25.27	90.0	22.74
02/09/2017	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	26.51	0.00	26.51	90.0	23.86
02/09/2017	HEIDLOFF, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	11.84	0.00	11.84	90.0	10.66

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	45.85	0.00	45.85	90.0	41.27
02/09/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	69.62	0.00	69.62	90.0	62.66
02/09/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	34.27	0.00	34.27	90.0	30.84
02/09/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	22.49	0.00	22.49	90.0	20.24
02/09/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	0.92	0.00	0.92	90.0	0.83
02/09/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	154.26	0.00	154.26	90.0	138.83
02/09/2017	PIZZO, PIETRO	FRANKLIN RISING DIVIDENDS C	0.00	7.42	0.00	7.42	90.0	6.68
02/09/2017	PIZZO, REBECCA	FRANKLIN RISING DIVIDENDS C	0.00	20.01	0.00	20.01	90.0	18.01
02/09/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	13.74	0.00	13.74	90.0	12.37
02/09/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	6.56	0.00	6.56	90.0	5.90
02/09/2017	FOSTER SP TRUST, PATRICIA	FRANKLIN RISING DIVIDENDS C	0.00	49.04	0.00	49.04	90.0	44.14
02/09/2017	KNOPF, KATHRYN	FRANKLIN RISING DIVIDENDS C	0.00	0.26	0.00	0.26	90.0	0.23
02/09/2017	NUNEZ TRUST, LYNDA	FRANKLIN RISING DIVIDENDS C	0.00	111.55	0.00	111.55	90.0	100.40
02/09/2017	EBERHARD, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	18.16	0.00	18.16	90.0	16.34
02/09/2017	BEDARD, MARY	FRANKLIN RISING DIVIDENDS C	0.00	28.69	0.00	28.69	90.0	25.82
02/09/2017	SCACCIA, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	35.09	0.00	35.09	90.0	31.58
02/09/2017	HENKE, FRANK	FRANKLIN RISING DIVIDENDS C	0.00	37.94	0.00	37.94	90.0	34.15
02/09/2017	HOLMES, JACK PATRICK	FRANKLIN RISING DIVIDENDS C	0.00	4.96	0.00	4.96	90.0	4.46
02/09/2017	ALBIN, FREDERICK	FRANKLIN RISING DIVIDENDS C	0.00	72.54	0.00	72.54	90.0	65.29
02/09/2017	QUSSAR, JAMES	FRANKLIN RISING DIVIDENDS C	0.00	11.52	0.00	11.52	90.0	10.37
02/09/2017	STOKES, RICHARD	FRANKLIN RISING DIVIDENDS C	0.00	11.62	0.00	11.62	90.0	10.46
02/09/2017	MAHER TRUST, MARY	FRANKLIN RISING DIVIDENDS C	0.00	63.68	0.00	63.68	90.0	57.31
02/09/2017	PAGANO, MAUREEN	FRANKLIN RISING DIVIDENDS C	0.00	22.43	0.00	22.43	90.0	20.19
02/09/2017	MAHER, OWEN	FRANKLIN RISING DIVIDENDS C	0.00	14.53	0.00	14.53	90.0	13.08
02/09/2017	WOLVERINE HUMAN SCV	FRANKLIN RISING DIVIDENDS C	0.00	517.66	0.00	517.66	90.0	465.89
02/09/2017	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	15.38	0.00	15.38	90.0	13.84
02/21/2017	WOLLACK TRUST, ROBERT	FRANKLIN RISING DIVIDENDS C	25,000.00	270.00	0.00	270.00	90.0	243.00
02/09/2017	PAGANO, MAUREEN	FRANKLIN SMALL CAP VALUE C	0.00	14.59	0.00	14.59	90.0	13.13
02/09/2017	PROKOP, STANLEY	FRANKLIN STRATEGIC INCOME C	0.00	227.55	0.00	227.55	90.0	204.80
02/09/2017	O'CONNOR, AARON	FRANKLIN UTILITIES C	0.00	10.60	0.00	10.60	90.0	9.54
02/09/2017	MAHER, HENRY	FRANKLIN UTILITIES C	0.00	59.65	0.00	59.65	90.0	53.69
02/09/2017	DAVIS, ALTON	FRANKLIN UTILITIES C	0.00	34.39	0.00	34.39	90.0	30.95
02/09/2017	PAGANO, MAUREEN	FRANKLIN UTILITIES C	0.00	10.31	0.00	10.31	90.0	9.28

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2017	WOLVERINE HUMAN SCV	FRANKLIN UTILITIES C	0.00	136.35	0.00	136.35	90.0	122.72
02/22/2017	WOLVERINE HUMAN SCV	FRANKLIN UTILITIES C	113,578.93	20.00	0.00	20.00	90.0	18.00
02/09/2017	NIXON, KELLY	FRANKLIN VALUE INVS TR MIDCAP VALUE	0.00	2.86	0.00	2.86	90.0	2.57
02/09/2017	GLINES, DAVID	FT FOUNDING FDS ALLOC C	0.00	8.24	0.00	8.24	90.0	7.42
02/09/2017	GLINES, WILBERT	FT FOUNDING FDS ALLOC C	0.00	23.12	0.00	23.12	90.0	20.81
02/09/2017	ZANONI, CARRIE	FT FOUNDING FDS ALLOC C	0.00	15.32	0.00	15.32	90.0	13.79
02/09/2017	PIASCIK, SHAWN	FT FOUNDING FDS ALLOC C	0.00	13.86	0.00	13.86	90.0	12.47
02/21/2017	MAHER, HENRY	IVY FDS INC ASSET STRATEGY FD CL B	0.00	6.17	0.00	6.17	90.0	5.55
02/21/2017	MAHER, MAIGHDLIN	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.26	0.00	0.26	90.0	0.23
02/21/2017	MAHER, SEAMUS	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.27	0.00	0.27	90.0	0.24
02/21/2017	HOLMES, KAYLA	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.27	0.00	0.27	90.0	0.24
02/21/2017	HOLMES, JACK PATRICK	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.31	0.00	0.31	90.0	0.28
02/09/2017	MAHER, SEAMUS	LORD ABBETT AFFILIATED A	0.00	2.95	0.00	2.95	90.0	2.66
02/09/2017	MAHER, OWEN	LORD ABBETT AFFILIATED A	0.00	3.81	0.00	3.81	90.0	3.43
02/09/2017	KORESKEY, JOHN	LORD ABBETT EQUITY TR CALIBRTD LARG	0.00	81.87	0.00	81.87	90.0	73.68
02/09/2017	HOLMES, KAYLA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	4.07	0.00	4.07	90.0	3.66
02/09/2017	PEVOS, DEBRA	LORD ABBETT INCOME FUND CL C	0.00	21.32	0.00	21.32	90.0	19.19
02/09/2017	NORRIS, CHRISTOPHER	LORD ABBETT INCOME FUND CL C	0.00	63.29	0.00	63.29	90.0	56.96
02/09/2017	BUSSELL, JEFFREY	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	18.51	0.00	18.51	90.0	16.66
02/09/2017	MARIN REV TRUST, NICHOLAS	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	64.86	0.00	64.86	90.0	58.37
02/09/2017	MAHER, HENRY	MAINSTAY MAP A	0.00	3.76	0.00	3.76	90.0	3.38
02/21/2017	HOLMES, JACK PATRICK	MFS RESEARCH INTL A	0.00	0.19	0.00	0.19	90.0	0.17
02/21/2017	HOLMES, JACK PATRICK	MFS SER TR I RESEARCH INTL FD CL B	0.00	0.29	0.00	0.29	90.0	0.26
02/21/2017	HOLMES, JACK PATRICK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.34	0.00	0.34	90.0	0.31
02/21/2017	BUREK, ROSANNE	OPPENHEIMER ROCHESTER NATIONAL MUNI	0.00	1.53	0.00	1.53	90.0	1.38
02/03/2017	BELONGIE, GINGER	PIMCO REAL RETURN C	8,000.00	20.00	0.00	20.00	90.0	18.00
02/17/2017	MOTOR CITY COMPUTER	PIONEER BOND FUND A	99.00	0.99	0.00	0.99	90.0	0.89
02/21/2017	MAHER, HENRY	PIONEER MID CAP VALUE A	0.00	7.91	0.00	7.91	90.0	7.12
02/09/2017	GILLESPIE, HEATHER	ROYCE PENNSYLVANIA MUTUAL	0.00	18.89	0.00	18.89	90.0	17.00
02/09/2017	NIXON, GREGORY	TEMPLETON DEVELOPING MARKETS TRUST-	0.00	1.47	0.00	1.47	90.0	1.32
02/09/2017	MARINI, ZAREFA	TEMPLETON DEVELOPING MKTS A	0.00	0.18	0.00	0.18	90.0	0.16
02/09/2017	PIASCIK, SHAWN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	71.37	0.00	71.37	90.0	64.23
02/09/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	59.71	0.00	59.71	90.0	53.74

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2017	JACK, KELLY	TEMPLETON FOREIGN FUND C	0.00	0.48	0.00	0.48	90.0	0.43
02/09/2017	PALMERI, PAUL	TEMPLETON GLOBAL BOND A	0.00	5.50	0.00	5.50	90.0	4.95
02/09/2017	NIXON, GREGORY	TEMPLETON GLOBAL BOND C	0.00	1.31	0.00	1.31	90.0	1.18
02/09/2017	BANNICK, ROBERT	TEMPLETON GLOBAL BOND C	0.00	17.14	0.00	17.14	90.0	15.43
02/09/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GLOBAL BOND C	0.00	4.18	0.00	4.18	90.0	3.76
02/09/2017	MARIN REV TRUST, NICHOLAS	TEMPLETON GLOBAL BOND C	0.00	33.44	0.00	33.44	90.0	30.10
02/09/2017	NUNEZ TRUST, LYNDA	TEMPLETON GLOBAL BOND C	0.00	17.68	0.00	17.68	90.0	15.91
02/09/2017	EBERHARD, DAVID	TEMPLETON GLOBAL BOND C	0.00	20.08	0.00	20.08	90.0	18.07
02/09/2017	GUMBLETON, CARRIE	TEMPLETON GLOBAL BOND C	0.00	16.10	0.00	16.10	90.0	14.49
02/09/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON GLOBAL BOND C	0.00	45.06	0.00	45.06	90.0	40.55
02/09/2017	QUSSAR, KELLY	TEMPLETON GLOBAL BOND C	0.00	9.04	0.00	9.04	90.0	8.14
02/09/2017	JACK, KELLY	TEMPLETON GROWTH C	0.00	0.69	0.00	0.69	90.0	0.62
02/09/2017	ZANONI, CARRIE	TEMPLETON GROWTH C	0.00	13.39	0.00	13.39	90.0	12.05
02/09/2017	MARKHAM, JASON	TEMPLETON GROWTH C	0.00	26.30	0.00	26.30	90.0	23.67
02/09/2017	BANNICK, ROBERT	TEMPLETON GROWTH C	0.00	4.71	0.00	4.71	90.0	4.24
02/09/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GROWTH C	0.00	6.27	0.00	6.27	90.0	5.64
02/09/2017	PIZZO, SALVATORE	TEMPLETON GROWTH C	0.00	13.92	0.00	13.92	90.0	12.53
02/09/2017	GUMBLETON, CARRIE	TEMPLETON GROWTH C	0.00	31.89	0.00	31.89	90.0	28.70
02/09/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	37.60	0.00	37.60	90.0	33.84
02/09/2017	SIMON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	7.97	0.00	7.97	90.0	7.17
02/21/2017	SIMON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	7.97	0.00	7.97	90.0	7.17
02/09/2017	JACK, STANLEY	TRANSAMERICA AA MOD GROWTH C	0.00	5.46	0.00	5.46	90.0	4.91
02/09/2017	LOUGHLIN, DENNIS	TRANSAMERICA AA MOD GROWTH C	0.00	12.30	0.00	12.30	90.0	11.07
02/09/2017	PIASCIK, SHAWN	TRANSAMERICA AA MOD GROWTH C	0.00	34.95	0.00	34.95	90.0	31.46
02/09/2017	GUMBLETON, CARRIE	TRANSAMERICA AA MOD GROWTH C	0.00	15.37	0.00	15.37	90.0	13.83
02/21/2017	JACK, STANLEY	TRANSAMERICA AA MOD GROWTH C	0.00	5.46	0.00	5.46	90.0	4.91
02/21/2017	LOUGHLIN, DENNIS	TRANSAMERICA AA MOD GROWTH C	0.00	12.30	0.00	12.30	90.0	11.07
02/21/2017	PIASCIK, SHAWN	TRANSAMERICA AA MOD GROWTH C	0.00	34.95	0.00	34.95	90.0	31.46
02/21/2017	GUMBLETON, CARRIE	TRANSAMERICA AA MOD GROWTH C	0.00	15.37	0.00	15.37	90.0	13.83
02/09/2017	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	0.00	11.19	0.00	11.19	90.0	10.07
02/09/2017	MARSACK, KAREN	TRANSAMERICA AA MODERATE C	0.00	11.60	0.00	11.60	90.0	10.44
02/09/2017	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	0.00	7.73	0.00	7.73	90.0	6.96
02/09/2017	BANNICK, ROBERT	TRANSAMERICA AA MODERATE C	0.00	7.38	0.00	7.38	90.0	6.64

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2017	PIZZO, PIETRO	TRANSAMERICA AA MODERATE C	0.00	7.72	0.00	7.72	90.0	6.95
02/09/2017	PIZZO, MICHELE	TRANSAMERICA AA MODERATE C	0.00	0.11	0.00	0.11	90.0	0.10
02/09/2017	PIZZO, FRANCESCO	TRANSAMERICA AA MODERATE C	0.00	7.92	0.00	7.92	90.0	7.13
02/21/2017	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	0.00	11.19	0.00	11.19	90.0	10.07
02/21/2017	MARSACK, KAREN	TRANSAMERICA AA MODERATE C	0.00	11.60	0.00	11.60	90.0	10.44
02/21/2017	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	0.00	7.73	0.00	7.73	90.0	6.96
02/21/2017	BANNICK, ROBERT	TRANSAMERICA AA MODERATE C	0.00	7.38	0.00	7.38	90.0	6.64
02/21/2017	PIZZO, PIETRO	TRANSAMERICA AA MODERATE C	0.00	7.72	0.00	7.72	90.0	6.95
02/21/2017	PIZZO, MICHELE	TRANSAMERICA AA MODERATE C	0.00	0.11	0.00	0.11	90.0	0.10
02/21/2017	PIZZO, FRANCESCO	TRANSAMERICA AA MODERATE C	0.00	7.92	0.00	7.92	90.0	7.13
02/09/2017	ROGERS, NICOLE	VOYA CORP LEADERS 100 A	0.00	2.34	0.00	2.34	90.0	2.11
02/09/2017	MAHER, HENRY	VOYA INTL VALUE EQUITY A	0.00	9.09	0.00	9.09	90.0	8.18
* MUTUAL FUNDS SUBTOTAL *			\$724,200.30	\$13,898.68	\$0.00	\$13,898.68		\$12,509.16
<u>PRODUCT CATEGORY: BONDS</u>								
02/14/2017	WHEELER, WILLARD	AGOEN NV	43,450.00	50.00	28.00	22.00	90.0	19.80
02/14/2017	WHEELER, WILLARD	BERKSHIRE HATHAWAY FIN CORP	52,291.50	50.00	28.00	22.00	90.0	19.80
02/14/2017	WHEELER, WILLARD	CONSUMERS ENERGY CO SR NT SER-A AMB	46,827.45	49.95	28.00	21.95	90.0	19.76
02/14/2017	WHEELER, WILLARD	HALLIBURTON CO	43,875.60	50.00	28.00	22.00	90.0	19.80
* BONDS SUBTOTAL *			\$186,444.55	\$199.95	\$112.00	\$87.95		\$79.16
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/02/2017	ATTENDANT CARE, 401K	GWL GRP ANNUITY 401K	159,262.18	91.63	0.00	91.63	90.0	82.47
03/02/2017	RACHWAL, BARTON	GWL GRP ANNUITY 401K	352,204.72	135.09	0.00	135.09	90.0	121.58
03/02/2017	GURSTEN, KOLTONOW	GWL NET ASSET VALUE 401K	7,098,565.93	1,361.37	0.00	1,361.37	90.0	1,225.23
03/02/2017	CHRISTENSEN PLLC, DAVID	GWL NET ASSET VALUE 401K	350,138.92	134.30	0.00	134.30	90.0	120.87
02/28/2017	RACHWAL, BARTON	JNL PERSPECTIVE II 05/05	166,752.08	34.74	0.00	34.74	90.0	31.27
02/28/2017	MAHER, HENRY	JNL PERSPECTIVE II 05/05	101,765.34	42.40	0.00	42.40	90.0	38.16
02/28/2017	HICKSON, ANDREW	JNL PERSPECTIVE II 05/05	45,974.94	19.16	0.00	19.16	90.0	17.24
02/28/2017	VEIT, BEVERLY	JNL PERSPECTIVE L	18,417.52	15.35	0.00	15.35	90.0	13.82
02/28/2017	PALMERI, BEVERLY	JNL PERSPECTIVE L	102,030.72	85.03	0.00	85.03	90.0	76.53
02/28/2017	FRASER, SHARON	JNL PERSPECTIVE L	41,131.86	34.28	0.00	34.28	90.0	30.85
02/28/2017	TAYLOR, JAMIE	JNL PERSPECTIVE L	42,850.75	35.71	0.00	35.71	90.0	32.14
02/28/2017	FLOWERS, EDWARD	JNL PERSPECTIVE L	141,343.71	117.79	0.00	117.79	90.0	106.01

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2017	STOKES, RICHARD	JNL PERSPECTIVE L	76,282.06	63.57	0.00	63.57	90.0	57.21
02/28/2017	STONE, EDWARD	JNL PERSPECTIVE L	88,636.93	73.86	0.00	73.86	90.0	66.47
02/28/2017	PALMERI, SAMUEL	JNL PERSPECTIVE L	101,364.33	84.47	0.00	84.47	90.0	76.02
02/28/2017	STEINMETZ JR, JAMES	JNL PERSPECTIVE L	106,309.45	88.59	0.00	88.59	90.0	79.73
02/28/2017	VITALE, PETER	JNL PERSPECTIVE L	132,816.73	110.68	0.00	110.68	90.0	99.61
02/28/2017	BROEREN, ANTHONY	JNL PERSPECTIVE L	179,264.44	149.39	0.00	149.39	90.0	134.45
02/28/2017	CORNWELL, VICTORIA	JNL PERSPECTIVE L	207,122.26	172.60	0.00	172.60	90.0	155.34
* VARIABLE ANNUITIES SUBTOTAL *			\$9,512,234.87	\$2,850.01	\$0.00	\$2,850.01		\$2,565.00
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
02/13/2017	VITALE, MICHELE	UIT ADVISORS ASSET MANAGEMENT INSUR	11,961.92	50.00	22.00	28.00	90.0	25.20
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$11,961.92	\$50.00	\$22.00	\$28.00		\$25.20
*** BROKER TRANSACTIONS TOTAL ***			\$10,434,841.64	\$16,998.64	\$134.00	\$16,864.64		\$15,178.52
<u>ADJUSTMENTS</u>								
03/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2017		*RBC: ORDER ENTRY	0.00					-65.00
03/15/2017		*RBC: WEALTHSTATION ADMIN	0.00					-25.00
03/15/2017		*TECHNOLOGY: ADMIN - ZAREFA MARINI	0.00					-100.00
03/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-765.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$15,178.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-765.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14,413.52
Year-to-date Gross	\$82,947.95
Year-to-date Earnings	\$74,393.09