

## COMMISSION STATEMENT

November 30, 2017

JOHN BOYCE  
15408 DUNCAN HILL ROADBROKER #: 41  
BRANCH: HOME OFFICE

BULTER, MD 21023

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/02/2017	75500360	BOYCE, ANN HAGERTY	ALPHABET INC CLASS C CAPITAL STOCK B	5,081.95	10.00	10.00	0.00	70.0	-3.00
11/07/2017	75500360	BOYCE, ANN HAGERTY	PARK HOTELS & RESORTS INC COM B	14,752.35	40.00	10.00	30.00	70.0	18.00
11/14/2017	75500360	BOYCE, ANN HAGERTY	ARCONIC INC COM S	18,737.20	80.00	10.00	70.00	70.0	46.00
11/16/2017	75500360	BOYCE, ANN HAGERTY	BLACKROCK UTIL & INFRASTRCTURE COM S	24,800.31	30.00	10.00	20.00	70.0	11.00
11/16/2017	75500360	BOYCE, ANN HAGERTY	BP P L C SPONSORED ADR (FRM BP AMOC B	7,785.80	30.00	10.00	20.00	70.0	11.00
11/16/2017	75500360	BOYCE, ANN HAGERTY	SQUARE INC CLASS A COM B	12,452.10	30.00	10.00	20.00	70.0	11.00
11/17/2017	75500360	BOYCE, ANN HAGERTY	SHOPIFY INC CL A ISIN#CA82509L1076 B	10,455.94	30.00	10.00	20.00	70.0	11.00
11/17/2017	75500360	BOYCE, ANN HAGERTY	STITCH FIX INC CLASS A COMMON STOCK B	5,080.50	30.00	10.00	20.00	70.0	11.00
10/31/2017	75500361	BOYCE, JOHN C	VANECK VECTORS ETF TR GOLD MINERS E S	44,964.00	20.00	10.00	10.00	70.0	4.00
11/08/2017	75500361	BOYCE, JOHN C	GLOBAL BLOCKCHAIN TECHNOLOGY CORP CO B	2,280.00	25.00	10.00	15.00	70.0	7.50
11/10/2017	75500361	BOYCE, JOHN C	GAMCO GLOBAL GOLD NATURAL RESOURCES S	21,391.25	58.88	10.00	48.88	70.0	31.22
11/13/2017	75500361	BOYCE, JOHN C	GAMCO GLOBAL GOLD NATURAL RESOURCES S	5,858.75	16.13	10.00	6.13	70.0	1.29
11/13/2017	75500361	BOYCE, JOHN C	GRUPO AEROPORTUARIO DEL PACIFICO S S	8,920.16	20.00	10.00	10.00	70.0	4.00
11/13/2017	75500361	BOYCE, JOHN C	NATIONAL BEVERAGE CORP S	9,608.02	20.00	10.00	10.00	70.0	4.00
11/13/2017	75500361	BOYCE, JOHN C	RANDGOLD RESOURCES LTD ADR B	60,000.00	525.00	10.00	515.00	70.0	357.50
11/13/2017	75500361	BOYCE, JOHN C	RANDGOLD RESOURCES LTD ADR S	-60,000.00	-525.00	-10.00	-515.00	70.0	-357.50 C
11/13/2017	75500361	BOYCE, JOHN C	RANDGOLD RESOURCES LTD ADR B	60,000.00	40.00	10.00	30.00	70.0	18.00
11/15/2017	75500361	BOYCE, JOHN C	TEXAS ROADHOUSE INC S	14,886.12	40.00	10.00	30.00	70.0	18.00
11/17/2017	75500361	BOYCE, JOHN C	CISCO SYS INC B	17,922.00	30.00	10.00	20.00	70.0	11.00
11/20/2017	75500361	BOYCE, JOHN C	GLOBAL BLOCKCHAIN TECHNOLOGY CORP CO B	2,030.00	20.00	10.00	10.00	70.0	4.00
11/20/2017	75500361	BOYCE, JOHN C	HECLA MINING COMPANY B	9,100.00	40.00	10.00	30.00	70.0	18.00
11/28/2017	75500361	BOYCE, JOHN C	HECLA MINING COMPANY B	17,500.00	25.00	10.00	15.00	70.0	7.50
11/27/2017	75500366	BOYCE, JOHN C	BAOZUN INC AMERICAN DEPOSITARY SHAR B	1,846.97	15.00	10.00	5.00	70.0	0.50
11/01/2017	75500368	PETR, THOMAS	BAIDU INC SPONSORED ADR REPSTG ORD B	496,759.80	100.00	10.00	90.00	70.0	60.00
11/01/2017	75500368	PETR, THOMAS	FACEBOOK INC CL A B	362,257.20	100.00	10.00	90.00	70.0	60.00
11/10/2017	75500368	PETR, THOMAS	HORTONWORKS INC B	184,945.47	250.00	10.00	240.00	70.0	165.00
11/17/2017	75500368	PETR, THOMAS	SHOPIFY INC CL A ISIN#CA82509L1076 B	208,876.00	120.00	10.00	110.00	70.0	74.00
11/17/2017	75500368	PETR, THOMAS	STRATA OIL & GAS INC S	450.00	50.00	10.00	40.00	70.0	25.00
11/17/2017	75500368	PETR, THOMAS	TENCENT HOLDINGS LIMITED UNSPONSORE B	261,899.90	300.00	10.00	290.00	70.0	200.00
11/17/2017	75500368	PETR, THOMAS	TVI PACIFIC INC S	280.00	30.00	10.00	20.00	70.0	11.00
11/20/2017	75500368	PETR, THOMAS	BLACKSTONE GSO SENIOR FLOATING RATE S	17,686.38	61.62	10.00	51.62	70.0	33.13

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/20/2017	75500368	PETR, THOMAS		BLACKSTONE / GSO STRATEGIC CR FD CO S	32,521.94	123.96	10.00	113.96	76.77
11/20/2017	75500368	PETR, THOMAS		COLONY NORTHSTAR INC CL A COM	S	121,902.91	500.00	10.00	340.00
11/20/2017	75500368	PETR, THOMAS		GDL FUND	S	61,647.68	371.34	10.00	249.94
11/22/2017	75500368	PETR, THOMAS		A10 NETWORKS INC COM	B	32,033.60	200.00	10.00	130.00
11/22/2017	75500368	PETR, THOMAS		INTELLICHECK MOBILISA INC COM NEW	S	11,390.22	100.00	10.00	60.00
11/22/2017	75500368	PETR, THOMAS		SQUARE INC CLASS A COM	B	95,036.80	100.00	10.00	60.00
11/22/2017	75500368	PETR, THOMAS		VERINT SYS INC COM	S	42,645.00	60.00	10.00	32.00
11/22/2017	75500368	PETR, THOMAS		WESTERN DIGITAL CORP	S	92,650.70	60.00	10.00	32.00
11/01/2017	75500370	HUBARD, MARGARET		FERRELLGAS PARTNERS LP UNITS LTD PA B		12,024.90	243.50	10.00	160.45
11/24/2017	75500370	HUBARD, MARGARET		WHEATON PRECIOUS METALS CORP COM	B	33,000.00	545.81	10.00	372.07
11/24/2017	75500370	HUBARD, MARGARET		WHEATON PRECIOUS METALS CORP COM	S	-33,000.00	-545.81	-10.00	-372.07 C
11/24/2017	75500370	HUBARD, MARGARET		WHEATON PRECIOUS METALS CORP COM	B	33,000.00	50.00	10.00	25.00
11/27/2017	75500370	HUBARD, MARGARET		WHEATON PRECIOUS METALS CORP COM	B	3,000.00	50.00	10.00	25.00
11/27/2017	75500370	HUBARD, MARGARET		WHEATON PRECIOUS METALS CORP COM	S	-3,000.00	-50.00	-10.00	-25.00 C
11/27/2017	75500370	HUBARD, MARGARET		WHEATON PRECIOUS METALS CORP COM	B	3,000.00	15.00	10.00	0.50
11/07/2017	75500385	HUBARD, JOHN		APPLE INC	B	17,461.99	60.00	10.00	32.00
11/17/2017	75500385	HUBARD, JOHN		WALT DISNEY CO	S	54,000.00	630.00	10.00	431.00
11/17/2017	75500385	HUBARD, JOHN		WALT DISNEY CO	B	-54,000.00	-630.00	-10.00	-431.00 C
11/17/2017	75500385	HUBARD, JOHN		WALT DISNEY CO	S	54,000.00	80.00	10.00	46.00
11/14/2017	75500419	SANCHEZ, DEBRA		BP P L C SPONSORED ADR (FRM BP AMOC B		3,973.90	40.00	10.00	18.00
11/14/2017	75500419	SANCHEZ, DEBRA		CITIGROUP INC COM	B	7,112.90	40.00	10.00	18.00
11/14/2017	75500419	SANCHEZ, DEBRA		FRONTLINE LTD SHS NEW	S	17.20	0.00	10.00	-10.00
11/14/2017	75500419	SANCHEZ, DEBRA		GENERAL ELECTRIC CO	S	3,518.20	50.00	10.00	25.00
11/14/2017	75500419	SANCHEZ, DEBRA		INVITATION HOMES INC COM	B	2,590.20	40.00	10.00	18.00
11/14/2017	75500419	SANCHEZ, DEBRA		WHITE MOUNTAIN TITANIUM CORP	S	2.00	0.00	10.00	-10.00
11/08/2017	75500424	JOHN B HUBARD TTEE		CUI GLOBAL INC	S	2,811.40	40.00	10.00	18.00
11/08/2017	75500443	GOOD, TIMOTHY		NVIDIA CORP	B	10,399.00	50.00	10.00	25.00
11/08/2017	75500443	GOOD, TIMOTHY		TRANS LUX CORPORATION	S	1,890.00	20.00	10.00	4.00
10/30/2017	75500445	GOOD, TIMOTHY		CENTRAL FUND OF CANADA LTD CL-A NON B		3,298.80	25.00	10.00	7.50
10/30/2017	75500445	GOOD, TIMOTHY		CYPRESS SEMICONDUCTOR CORP	B	4,059.90	25.00	10.00	7.50
10/30/2017	75500445	GOOD, TIMOTHY		KNOWLES CORPORATION	B	20,296.25	75.00	10.00	42.50
11/13/2017	75500449	CLOUGH, JOHN		BLACKSTONE GROUP L P (THE) COMMON U S		80,960.00	506.00	10.00	344.20
11/13/2017	75500449	CLOUGH, JOHN		CUI GLOBAL INC	S	30,268.11	560.00	10.00	382.00
11/13/2017	75500449	CLOUGH, JOHN		PJT PARTNERS INC CLASS A COM	S	2,374.97	30.00	10.00	11.00
11/13/2017	75500449	CLOUGH, JOHN		WHITE MOUNTAIN TITANIUM CORP	S	8.00	0.00	10.00	-10.00
10/30/2017	75501269	KNOX, DAVID		AIR PRODUCTS & CHEMICALS INC	B	16,035.00	50.00	10.00	25.00
10/30/2017	75501269	KNOX, DAVID		GENERAL ELECTRIC CO	B	4,077.80	60.00	10.00	32.00
11/13/2017	75501269	KNOX, DAVID		GENERAL ELECTRIC CO	B	3,800.00	60.00	10.00	32.00
11/09/2017	75501337	COMPTON, ROBERT		CUI GLOBAL INC	S	1,311.15	50.00	10.00	25.00
11/09/2017	75501337	COMPTON, ROBERT		FREEMPORT MCMORAN INC	B	2,949.50	50.00	10.00	25.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/24/2017	75501338 BOYCE, ROGER F	SQUARE INC CLASS A COM	B	3,413.90	15.00	10.00	5.00	70.0	0.50
11/16/2017	75502090 BURGHART, ROBIN	AMAZON.COM INC	B	11,374.54	20.00	10.00	10.00	70.0	4.00
11/16/2017	75502090 BURGHART, ROBIN	GENERAL ELECTRIC CO	S	5,831.17	32.00	10.00	22.00	70.0	12.40
11/16/2017	75502118 BURGHART, ROBIN	AMAZON.COM INC	B	11,374.54	20.00	10.00	10.00	70.0	4.00
11/16/2017	75502118 BURGHART, ROBIN	GENERAL ELECTRIC CO	S	10,860.55	59.60	10.00	49.60	70.0	31.72
11/16/2017	75502122 BURGHART, ROBIN	AMAZON.COM INC	B	5,687.27	20.00	10.00	10.00	70.0	4.00
11/16/2017	75502125 BURGHART, ROBIN	SQUARE INC CLASS A COM	B	3,098.24	50.00	10.00	40.00	70.0	25.00
11/01/2017	QGV002942 ASSOCIATES, F/A/O	WILLIAMS PARTNERS L P NEW COM UNIT	B	310,248.50	326.00	10.00	316.00	70.0	218.20
11/07/2017	QGV002942 ASSOCIATES, F/A/O	SCRIPPS E W CO OHIO CL A NEW	S	-295,348.01	416.20	10.00	406.20	70.0	281.34
11/08/2017	QGV002942 ASSOCIATES, F/A/O	SCRIPPS E W CO OHIO CL A NEW	S	-169,168.80	480.60	10.00	470.60	70.0	326.42
11/08/2017	QGV002942 ASSOCIATES, F/A/O	SCRIPPS E W CO OHIO CL A NEW	S	-169,168.80	480.60	10.00	470.60	70.0	326.42
11/08/2017	QGV002942 ASSOCIATES, F/A/O	SCRIPPS E W CO OHIO CL A NEW	S	169,168.80	-480.60	-10.00	-470.60	70.0	-326.42 C
11/13/2017	QGV002942 ASSOCIATES, F/A/O	FOX FACTORY HOLDING CORP COM	S	-359,622.03	372.40	10.00	362.40	70.0	250.68
11/14/2017	QGV002942 ASSOCIATES, F/A/O	AMETEK INC NEW	B	240,867.50	139.20	10.00	129.20	70.0	87.44
11/15/2017	QGV002942 ASSOCIATES, F/A/O	LSC COMMUNICATIONS INC COM	S	-2,221.15	4.65	10.00	-5.35	70.0	-6.75
11/15/2017	QGV002942 ASSOCIATES, F/A/O	LSC COMMUNICATIONS INC COM	S	-19,113.16	39.93	10.00	29.93	70.0	17.95
11/15/2017	QGV002942 ASSOCIATES, F/A/O	NEWELL BRANDS INC SHS	S	-161,881.38	228.60	10.00	218.60	70.0	150.02
11/17/2017	QGV002942 ASSOCIATES, F/A/O	AVANGRID INC COM	S	-160,569.30	124.40	10.00	114.40	70.0	77.08
11/17/2017	QGV002942 ASSOCIATES, F/A/O	ENTEGRIS INC	S	-152,257.14	196.40	10.00	186.40	70.0	127.48
11/17/2017	QGV002942 ASSOCIATES, F/A/O	PACWEST BANCORP	B	65,617.48	58.12	10.00	48.12	70.0	30.68
11/17/2017	QGV002942 ASSOCIATES, F/A/O	PACWEST BANCORP	B	30,552.40	27.20	10.00	17.20	70.0	9.04
11/21/2017	QGV002942 ASSOCIATES, F/A/O	DOWDUPONT INC COMMON STOCK	B	80,625.47	45.08	10.00	35.08	70.0	21.56
11/21/2017	QGV002942 ASSOCIATES, F/A/O	DOWDUPONT INC COMMON STOCK	B	32,272.83	18.00	10.00	8.00	70.0	2.60
11/22/2017	QGV002942 ASSOCIATES, F/A/O	MEDEQUITIES REALTY TR INC COM	B	141,289.32	248.60	10.00	238.60	70.0	164.02
11/22/2017	QGV002942 ASSOCIATES, F/A/O	NETSCOUT SYS INC COM	B	138,486.02	180.80	10.00	170.80	70.0	116.56
11/28/2017	QGV002942 ASSOCIATES, F/A/O	DONNELLEY R R & SONS CO COM NEW	S	-2,350.87	5.48	10.00	-4.52	70.0	-6.16
11/28/2017	QGV002942 ASSOCIATES, F/A/O	DONNELLEY R R & SONS CO COM NEW	S	-30,593.55	71.00	10.00	61.00	70.0	39.70
11/07/2017	QGV002959 PERSHING LLC	SCRIPPS E W CO OHIO CL A NEW	S	-322,186.21	454.02	10.00	444.02	70.0	307.81
11/08/2017	QGV002959 PERSHING LLC	SCRIPPS E W CO OHIO CL A NEW	S	-184,572.10	524.36	10.00	514.36	70.0	357.05
11/08/2017	QGV002959 PERSHING LLC	SCRIPPS E W CO OHIO CL A NEW	S	184,572.10	-524.36	-10.00	-514.36	70.0	-357.05 C
11/08/2017	QGV002959 PERSHING LLC	SCRIPPS E W CO OHIO CL A NEW	S	-184,572.10	524.36	10.00	514.36	70.0	357.05
11/10/2017	QGV002959 PERSHING LLC	FOX FACTORY HOLDING CORP COM	S	-13,914.00	14.40	10.00	4.40	70.0	0.08
11/13/2017	QGV002959 PERSHING LLC	FOX FACTORY HOLDING CORP COM	S	-379,708.33	393.20	10.00	383.20	70.0	265.24
11/13/2017	QGV002959 PERSHING LLC	HILTON GRAND VACATIONS INC COMMON S S	S	-65,532.69	64.88	10.00	54.88	70.0	35.42
11/13/2017	QGV002959 PERSHING LLC	HILTON WORLDWIDE HLDGS INC COM NEW	S	-392,004.83	216.32	10.00	206.32	70.0	141.42
11/13/2017	QGV002959 PERSHING LLC	PARK HOTELS & RESORTS INC COM	S	-92,903.05	129.80	10.00	119.80	70.0	80.86
11/14/2017	QGV002959 PERSHING LLC	AMETEK INC NEW	B	40,767.52	23.56	10.00	13.56	70.0	6.49
11/15/2017	QGV002959 PERSHING LLC	LSC COMMUNICATIONS INC COM	S	-49,137.57	102.87	10.00	92.87	70.0	62.01
11/15/2017	QGV002959 PERSHING LLC	LSC COMMUNICATIONS INC COM	S	-7,352.32	15.36	10.00	5.36	70.0	0.75
11/16/2017	QGV002959 PERSHING LLC	EXTENDED STAY AMER INC PAIRED SHS C S	S	-301,242.54	691.28	10.00	681.28	70.0	473.90

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/17/2017	QGV002959	PERSHING LLC							
		AVANGRID INC COM	S	-61,956.00	48.00	10.00	38.00	70.0	23.60
11/17/2017	QGV002959	PERSHING LLC							
		ENTEGRIS INC	S	-158,148.96	204.00	10.00	194.00	70.0	132.80
11/17/2017	QGV002959	PERSHING LLC							
		PACWEST BANCORP	B	15,276.20	13.60	10.00	3.60	70.0	-0.48
11/17/2017	QGV002959	PERSHING LLC							
		PACWEST BANCORP	B	154,537.52	136.88	10.00	126.88	70.0	85.82
11/21/2017	QGV002959	PERSHING LLC							
		AEHR TEST SYS COM	S	-37,788.09	125.78	10.00	115.78	70.0	78.05
11/21/2017	QGV002959	PERSHING LLC							
		APPLIED MINERALS INC	S	-23,985.89	50.00	10.00	40.00	70.0	25.00
11/21/2017	QGV002959	PERSHING LLC							
		CIRCOR INTL INC COM	S	-15,575.40	13.60	10.00	3.60	70.0	-0.48
11/21/2017	QGV002959	PERSHING LLC							
		COGNEX CORP	S	-575,040.00	160.00	10.00	150.00	70.0	102.00
11/21/2017	QGV002959	PERSHING LLC							
		CYPRESS SEMICONDUCTOR CORP	S	-228,210.66	529.04	10.00	519.04	70.0	360.33
11/21/2017	QGV002959	PERSHING LLC							
		DOWDUPONT INC COMMON STOCK	B	16,495.00	9.20	10.00	-0.80	70.0	-3.56
11/21/2017	QGV002959	PERSHING LLC							
		FORUM ENERGY TECHNOLOGIES INC COM I S		-15,980.71	36.30	10.00	26.30	70.0	15.41
11/21/2017	QGV002959	PERSHING LLC							
		GLATFELTER P H CO COM	S	-20,135.30	40.00	10.00	30.00	70.0	18.00
11/21/2017	QGV002959	PERSHING LLC							
		MACOM TECHNOLOGY SOLUTIONS HLDGS IN S		-9,331.95	11.20	10.00	1.20	70.0	-2.16
11/21/2017	QGV002959	PERSHING LLC							
		NORDIC AMERICAN OFFSHORE LTD SHS US S		-9,403.20	75.82	10.00	65.82	70.0	43.07
11/21/2017	QGV002959	PERSHING LLC							
		NORDIC AMERICAN TANKERS LIMITED	S	-291,974.70	718.00	10.00	708.00	70.0	492.60
11/21/2017	QGV002959	PERSHING LLC							
		PURE CYCLE CORP COM	S	-114,224.37	163.32	10.00	153.32	70.0	104.32
11/21/2017	QGV002959	PERSHING LLC							
		RANGE RES CORP COM	S	-689,820.00	1,200.00	10.00	1,190.00	70.0	830.00
11/21/2017	QGV002959	PERSHING LLC							
		RUDOLPH TECHNOLOGIES INC COM	S	-814,499.45	1,220.00	10.00	1,210.00	70.0	844.00
11/21/2017	QGV002959	PERSHING LLC							
		SELECT ENERGY SVCS INC CL A COM	S	-23,406.46	46.20	10.00	36.20	70.0	22.34
11/22/2017	QGV002959	PERSHING LLC							
		AEHR TEST SYS COM	S	-92,583.73	309.80	10.00	299.80	70.0	206.86
11/22/2017	QGV002959	PERSHING LLC							
		APPLIED MINERALS INC	S	-21,762.00	27.00	10.00	17.00	70.0	8.90
11/22/2017	QGV002959	PERSHING LLC							
		CYPRESS SEMICONDUCTOR CORP	S	-895,172.46	2,070.96	10.00	2,060.96	70.0	1,439.67
11/22/2017	QGV002959	PERSHING LLC							
		MEDEQUITIES REALTY TR INC COM	B	434,791.47	765.02	10.00	755.02	70.0	525.51
11/22/2017	QGV002959	PERSHING LLC							
		NETSCOUT SYS INC COM	B	145,532.88	190.00	10.00	180.00	70.0	123.00
11/22/2017	QGV002959	PERSHING LLC							
		NORDIC AMERICAN TANKERS LIMITED	S	-335,359.25	824.10	10.00	814.10	70.0	566.87
11/22/2017	QGV002959	PERSHING LLC							
		PURE CYCLE CORP COM	S	-768,775.80	1,101.84	10.00	1,091.84	70.0	761.29
11/22/2017	QGV002959	PERSHING LLC							
		RUDOLPH TECHNOLOGIES INC COM	S	-388,073.65	580.00	10.00	570.00	70.0	396.00
11/24/2017	QGV002959	PERSHING LLC							
		NORDIC AMERICAN TANKERS LIMITED	S	-124,924.27	307.90	10.00	297.90	70.0	205.53
11/24/2017	QGV002959	PERSHING LLC							
		PURE CYCLE CORP COM	S	-70,938.00	100.00	10.00	90.00	70.0	60.00
11/27/2017	QGV002959	PERSHING LLC							
		AEHR TEST SYS COM	S	-37,920.26	132.26	10.00	122.26	70.0	82.58
11/27/2017	QGV002959	PERSHING LLC							
		APPLIED MINERALS INC	S	-26,263.64	50.00	10.00	40.00	70.0	25.00
11/27/2017	QGV002959	PERSHING LLC							
		PURE CYCLE CORP COM	S	-146,081.43	207.88	10.00	197.88	70.0	135.52
11/28/2017	QGV002959	PERSHING LLC							
		AEHR TEST SYS COM	S	-43,441.77	155.94	10.00	145.94	70.0	99.16
11/28/2017	QGV002959	PERSHING LLC							
		APPLIED MINERALS INC	S	-26,252.00	50.00	10.00	40.00	70.0	25.00
11/28/2017	QGV002959	PERSHING LLC							
		DONNELLEY R R & SONS CO COM NEW	S	-11,772.05	27.32	10.00	17.32	70.0	9.12
11/28/2017	QGV002959	PERSHING LLC							
		DONNELLEY R R & SONS CO COM NEW	S	-76,506.08	178.34	10.00	168.34	70.0	114.84
11/28/2017	QGV002959	PERSHING LLC							
		PURE CYCLE CORP COM	S	-376,218.37	536.78	10.00	526.78	70.0	365.75
11/01/2017	QGV006034	BANK OF AMERICA							
		WILLIAMS PARTNERS L P NEW COM UNIT	B	29,121.48	30.60	10.00	20.60	70.0	11.42
11/07/2017	QGV006034	BANK OF AMERICA							
		SCRIPPS E W CO OHIO CL A NEW	S	-28,229.08	39.78	10.00	29.78	70.0	17.85
11/08/2017	QGV006034	BANK OF AMERICA							
		SCRIPPS E W CO OHIO CL A NEW	S	-16,205.85	46.04	10.00	36.04	70.0	22.23

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
11/08/2017	QGV006034	BANK OF AMERICA	SCRIPPS E W CO OHIO CL A NEW	S	-16,205.85	46.04	10.00	36.04	70.0	22.23
11/08/2017	QGV006034	BANK OF AMERICA	SCRIPPS E W CO OHIO CL A NEW	S	16,205.85	-46.04	-10.00	-36.04	70.0	-22.23 C
11/13/2017	QGV006034	BANK OF AMERICA	FOX FACTORY HOLDING CORP COM	S	-33,412.79	34.60	10.00	24.60	70.0	14.22
11/14/2017	QGV006034	BANK OF AMERICA	AMETEK INC NEW	B	75,098.06	43.40	10.00	33.40	70.0	20.38
11/15/2017	QGV006034	BANK OF AMERICA	LSC COMMUNICATIONS INC COM	S	-861.60	1.80	10.00	-8.20	70.0	-8.74
11/17/2017	QGV006034	BANK OF AMERICA	AVANGRID INC COM	S	-10,326.00	8.00	10.00	-2.00	70.0	-4.40
11/17/2017	QGV006034	BANK OF AMERICA	ENTEGRIS INC	S	-14,264.42	18.40	10.00	8.40	70.0	2.88
11/17/2017	QGV006034	BANK OF AMERICA	PACWEST BANCORP	B	2,965.38	2.64	10.00	-7.36	70.0	-8.15
11/21/2017	QGV006034	BANK OF AMERICA	CALLON PETROLEUM CO-DEL	S	-27,135.06	52.90	10.00	42.90	70.0	27.03
11/21/2017	QGV006034	BANK OF AMERICA	CIRCOR INTL INC COM	S	-18,553.05	16.20	10.00	6.20	70.0	1.34
11/21/2017	QGV006034	BANK OF AMERICA	DOWDUPONT INC COMMON STOCK	B	3,585.87	2.00	10.00	-8.00	70.0	-8.60
11/21/2017	QGV006034	BANK OF AMERICA	FORUM ENERGY TECHNOLOGIES INC COM I S	S	-20,933.41	47.55	10.00	37.55	70.0	23.29
11/21/2017	QGV006034	BANK OF AMERICA	GLATFELTER P H CO COM	S	-26,204.40	52.20	10.00	42.20	70.0	26.54
11/21/2017	QGV006034	BANK OF AMERICA	MACOM TECHNOLOGY SOLUTIONS HLDGS IN S	S	-41,160.57	49.40	10.00	39.40	70.0	24.58
11/21/2017	QGV006034	BANK OF AMERICA	PHYSICIANS RLTY TR COM	S	-35,931.50	78.40	10.00	68.40	70.0	44.88
11/22/2017	QGV006034	BANK OF AMERICA	NETSCOUT SYS INC COM	B	13,174.56	17.20	10.00	7.20	70.0	2.04
11/28/2017	QGV006034	BANK OF AMERICA	DONNELLEY R R & SONS CO COM NEW	S	-1,335.77	3.10	10.00	-6.90	70.0	-7.83
* STOCKS SUBTOTAL *					\$-5,285,134.57	\$24,186.03	\$1,540.00	\$22,646.03		\$15,390.23

**PRODUCT CATEGORY: OPTIONS**

11/01/2017	75500360	BOYCE, ANN HAGERTY	PUT	AMZN	09/21/18	1000	S	10,678.00	25.00	10.00	15.00	70.0	7.50
11/02/2017	75500360	BOYCE, ANN HAGERTY	PUT	BAC	01/18/19	30	S	4,510.00	30.00	10.00	20.00	70.0	11.00
11/07/2017	75500360	BOYCE, ANN HAGERTY	CALL	BP	01/19/18	36	B	2,725.00	15.00	10.00	5.00	70.0	0.50
11/14/2017	75500360	BOYCE, ANN HAGERTY	PUT	NVDA	06/15/18	150	B	3,870.00	40.00	10.00	30.00	70.0	18.00
11/14/2017	75500360	BOYCE, ANN HAGERTY	PUT	NVDA	06/15/18	180	S	16,300.00	40.00	10.00	30.00	70.0	18.00
11/16/2017	75500360	BOYCE, ANN HAGERTY	PUT	UNH	09/21/18	200	S	10,700.00	35.00	10.00	25.00	70.0	14.50
11/16/2017	75500360	BOYCE, ANN HAGERTY	PUT	UNH	06/15/18	185	B	4,400.00	35.00	10.00	25.00	70.0	14.50
11/15/2017	75500361	BOYCE, JOHN C	PUT	ADBE	04/20/18	145	B	1,200.00	25.00	10.00	15.00	70.0	7.50
11/15/2017	75500361	BOYCE, JOHN C	PUT	BABA	06/15/18	145	B	2,832.00	25.00	10.00	15.00	70.0	7.50
11/17/2017	75500361	BOYCE, JOHN C	CALL	CSCO	02/16/18	35	B	990.00	20.00	10.00	10.00	70.0	4.00
11/24/2017	75500361	BOYCE, JOHN C	PUT	MUR	07/20/18	30	S	4,140.00	30.00	10.00	20.00	70.0	11.00
11/24/2017	75500361	BOYCE, JOHN C	PUT	MUR	01/19/18	30	B	1,125.00	20.00	10.00	10.00	70.0	4.00
11/17/2017	75500368	PETR, THOMAS	PUT	AAOI	06/15/18	60	S	23,500.00	40.00	10.00	30.00	70.0	18.00
11/17/2017	75500368	PETR, THOMAS	PUT	AAOI	12/15/17	60	B	16,500.00	40.00	10.00	30.00	70.0	18.00
11/01/2017	75500370	HUBARD, MARGARET	PUT	BABA	06/15/18	145	S	14,080.00	40.00	10.00	30.00	70.0	18.00
11/01/2017	75500370	HUBARD, MARGARET	PUT	BABA	06/15/18	145	B	2,900.00	40.00	10.00	30.00	70.0	18.00
11/01/2017	75500370	HUBARD, MARGARET	PUT	KHC	01/19/18	85	B	8,460.00	40.00	10.00	30.00	70.0	18.00
11/01/2017	75500370	HUBARD, MARGARET	PUT	KHC	01/18/19	85	S	11,200.00	40.00	10.00	30.00	70.0	18.00
11/06/2017	75500370	HUBARD, MARGARET	CALL	BP	01/19/18	36	B	5,090.00	25.00	10.00	15.00	70.0	7.50
11/07/2017	75500370	HUBARD, MARGARET	CALL	GE	03/16/18	24	B	132.00	20.00	10.00	10.00	70.0	4.00

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
11/07/2017	75500370	HUBARD, MARGARET	PUT	NVDA	06/15/18	150	B	4,470.00	40.00	10.00	30.00	70.0	18.00
11/07/2017	75500370	HUBARD, MARGARET	PUT	NVDA	06/15/18	180	S	17,670.00	40.00	10.00	30.00	70.0	18.00
10/31/2017	75500385	HUBARD, JOHN	PUT	NVDA	06/15/18	150	B	2,500.00	40.00	10.00	30.00	70.0	18.00
10/31/2017	75500385	HUBARD, JOHN	PUT	NVDA	06/15/18	180	S	7,515.00	40.00	10.00	30.00	70.0	18.00
11/07/2017	75500385	HUBARD, JOHN	PUT	AAPL	09/21/18	180	S	18,480.00	40.00	10.00	30.00	70.0	18.00
11/07/2017	75500385	HUBARD, JOHN	PUT	AAPL	02/16/18	160	B	3,300.00	40.00	10.00	30.00	70.0	18.00
11/21/2017	75500385	HUBARD, JOHN	PUT	AAOI	06/15/18	65	S	29,520.00	40.00	10.00	30.00	70.0	18.00
11/21/2017	75500385	HUBARD, JOHN	PUT	AAOI	01/19/18	95	B	27,500.00	40.00	10.00	30.00	70.0	18.00
11/21/2017	75500385	HUBARD, JOHN	PUT	PJT	06/15/18	45	S	5,000.00	40.00	10.00	30.00	70.0	18.00
11/21/2017	75500385	HUBARD, JOHN	PUT	PJT	12/15/17	45	B	2,280.00	30.00	10.00	20.00	70.0	11.00
11/21/2017	75500385	HUBARD, JOHN	PUT	PM	06/15/18	120	B	18,654.00	40.00	10.00	30.00	70.0	18.00
11/21/2017	75500385	HUBARD, JOHN	PUT	PM	01/18/19	120	S	21,320.00	40.00	10.00	30.00	70.0	18.00
10/31/2017	75500424	JOHN B HUBARD TTEE	PUT	PEP	01/19/18	115	B	9,225.00	40.00	10.00	30.00	70.0	18.00
10/31/2017	75500424	JOHN B HUBARD TTEE	PUT	PEP	09/21/18	115	S	14,550.00	40.00	10.00	30.00	70.0	18.00
11/08/2017	75500424	JOHN B HUBARD TTEE	PUT	APC	01/19/18	70	B	5,658.00	15.00	10.00	5.00	70.0	0.50
11/08/2017	75500424	JOHN B HUBARD TTEE	PUT	APC	09/21/18	55	S	7,750.00	40.00	10.00	30.00	70.0	18.00
11/08/2017	75500424	JOHN B HUBARD TTEE	PUT	SJM	01/19/18	135	B	32,440.00	40.00	10.00	30.00	70.0	18.00
11/08/2017	75500424	JOHN B HUBARD TTEE	PUT	SJM	01/19/18	135	S	31,200.00	40.00	10.00	30.00	70.0	18.00
11/08/2017	75500424	JOHN B HUBARD TTEE	PUT	SYI	05/18/18	55	S	3,210.00	40.00	10.00	30.00	70.0	18.00
11/22/2017	75500424	JOHN B HUBARD TTEE	PUT	AAOI	06/15/18	65	S	29,400.00	40.00	10.00	30.00	70.0	18.00
11/22/2017	75500424	JOHN B HUBARD TTEE	PUT	AAOI	01/19/18	95	B	27,650.00	35.00	10.00	25.00	70.0	14.50
11/08/2017	75500443	GOOD, TIMOTHY	PUT	NVDA	06/15/18	150	B	5,070.00	40.00	10.00	30.00	70.0	18.00
11/08/2017	75500443	GOOD, TIMOTHY	PUT	NVDA	06/15/18	190	S	17,149.00	40.00	10.00	30.00	70.0	18.00
11/24/2017	75501338	BOYCE, ROGER F	PUT	NVDA	06/15/18	200	S	1,644.00	20.00	10.00	10.00	70.0	4.00
11/24/2017	75501338	BOYCE, ROGER F	PUT	NVDA	03/16/18	160	B	170.00	10.00	10.00	0.00	70.0	-3.00
11/24/2017	75501338	BOYCE, ROGER F	PUT	SQ	06/15/18	50	S	1,720.00	20.00	10.00	10.00	70.0	4.00
* OPTIONS SUBTOTAL *							\$490,377.00	\$1,555.00	\$460.00	\$1,095.00	\$628.50		
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS													
11/09/2017	75500378	CLOUGH, CHARLES EVANS	THE INVESTMENT COMPANY OF AMERICA-A B					0.00	50.25	0.00	50.25	70.0	35.18
11/09/2017	75500389	STEM, RICHARD	COLUMBIA FDS SER TR II MASS SELIGMA B					0.00	4.01	0.00	4.01	70.0	2.81
11/09/2017	75500389	STEM, RICHARD	COLUMBIA SELIGMAN COMMUNICATIONS AN B					0.00	8.36	0.00	8.36	70.0	5.85
11/09/2017	75500394	SKELTON, NANCY	NEW WORLD FUND-A				B	0.00	5.21	0.00	5.21	70.0	3.65
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *							\$0.00	\$67.83	\$0.00	\$67.83	\$47.49		
*** BROKER TRANSACTIONS TOTAL ***							\$-4,794,757.57	\$25,808.86	\$2,000.00	\$23,808.86	\$16,066.22		

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

11/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
11/30/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
11/30/2017		OTHER: OTHER-COLLIDION		0.00	0.00	0.00	0.00		1,000.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$972.00

BROKER COMMISSION TOTALS

Broker Transactions	\$16,066.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$972.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-32.26
SIPC Assessment	\$-64.52

Check Amount	\$16,941.44
Year-to-date Earnings	\$62,901.79