



Concorde Investment Services, LLC

11/13/2017

Page No. 1

COMMISSION STATEMENT**November 15, 2017**

B151-JASON/MARIA BAIRD/BONGIOVANNI

BROKER #: B151 Terminated 12/31/2016

BRANCH: SECURE ASSET FINANCI

, MI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-----------------------|--------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 10/31/2017 | WAX, JESSICA | FRANKLIN SMALL-MIDCAP GROWTH A | 0.00 | 2.95 | 0.00 | 2.95 | 0.0 | 0.00 |
| 10/31/2017 | POLL, ROGOR | FT EQUITY INC CL C | 0.00 | 49.11 | 0.00 | 49.11 | 0.0 | 0.00 |
| / / | CHISHOLM, DANIEL | JH 529 PORT 2029-2032 A | 0.00 | 3.55 | 0.00 | 3.55 | 0.0 | 0.00 |
| 10/24/2017 | COCHRILL, MELANIE | TRANSAMERICA AA MOD GROWTH A | 125.00 | 5.94 | 0.00 | 5.94 | 0.0 | 0.00 |
| 11/02/2017 | CROCKETT, DAVID | TRANSAMERICA AA MOD GROWTH C | 0.00 | 4.29 | 0.00 | 4.29 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$125.00 | \$65.84 | \$0.00 | \$65.84 | | \$0.00 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 10/22/2017 | BAIRD, JASON | NWL NEXT GEN II FP VUL | 144.00 | 2.88 | 0.00 | 2.88 | 0.0 | 0.00 |
| 11/02/2017 | GREENBLATT JR, ROLAND | NWL YOURLIFE ACCUM VUL | 350.00 | 1.75 | 0.00 | 1.75 | 0.0 | 0.00 |
| 11/03/2017 | ONDOSKEY, TARA | NWL YOURLIFE ACCUM VUL | 125.00 | 1.25 | 0.00 | 1.25 | 0.0 | 0.00 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$619.00 | \$5.88 | \$0.00 | \$5.88 | | \$0.00 |
| <u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u> | | | | | | | | |
| 10/17/2017 | JOHNSTONE, WILLIAM | PAC LIFE VUL | 152.00 | 1.52 | 0.00 | 1.52 | 0.0 | 0.00 |
| * VARIABLE UNIVERSAL LIFE SUBTOTAL * | | | \$152.00 | \$1.52 | \$0.00 | \$1.52 | | \$0.00 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 10/28/2017 | MILLER, MARTIN | METLIFE TRAILS | 0.00 | 26.82 | 0.00 | 26.82 | 0.0 | 0.00 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$26.82 | \$0.00 | \$26.82 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$896.00 | \$100.06 | \$0.00 | \$100.06 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$7,150.22 |
| Year-to-date Earnings | \$0.00 |