

**COMMISSION STATEMENT****May 15, 2018****L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU**11617 Coldwater Road
Suite 103
Fort Wayne, IN 46845**BROKER #: L131**

BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^04/25/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	52,996.03	45.01	0.00	45.01	90.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$52,996.03	\$45.01	\$0.00	\$45.01		\$0.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
^04/24/2018	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	40.00	3.25	0.00	3.25	90.0	0.00
^04/30/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	1.19	0.00	1.19	90.0	0.00
^04/30/2018	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.30	0.00	0.30	90.0	0.00
^05/04/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^05/04/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^05/04/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	90.0	0.00
^ / /	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	0.00	0.70	0.00	0.70	90.0	0.00
		* 529 PLANS SUBTOTAL *	\$265.00	\$8.82	\$0.00	\$8.82		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^04/30/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	7.50	0.00	7.50	90.0	0.00
^04/30/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	5.19	0.00	5.19	90.0	0.00
^04/30/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	128.43	4.82	0.00	4.82	90.0	0.00
^04/30/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	128.43	4.82	0.00	4.82	90.0	0.00
^04/30/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	5.19	0.00	5.19	90.0	0.00
^04/30/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	10.38	0.00	10.38	90.0	0.00
^04/27/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	6.25	0.00	6.25	90.0	0.00
^05/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	0.00
^04/30/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	0.00
^04/30/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	17.50	0.00	17.50	90.0	0.00
^ / /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.70	0.00	0.70	90.0	0.00
^05/04/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^04/30/2018	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	1.19	0.00	1.19	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^ / /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	1.63	0.00	1.63	90.0	0.00
^05/04/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.39	0.00	0.39	90.0	0.00
^05/04/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	1.83	0.00	1.83	90.0	0.00
^05/04/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^05/04/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^04/30/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	2.50	0.30	0.00	0.30	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,718.20	\$75.34	\$0.00	\$75.34		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^04/19/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.90	0.00	0.90	90.0	0.00
^04/19/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.61	0.00	0.61	90.0	0.00
^04/19/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.34	0.00	0.34	90.0	0.00
^04/19/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.39	0.00	0.39	90.0	0.00
^04/19/2018	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	1.25	0.00	1.25	90.0	0.00
^04/19/2018	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.48	0.00	0.48	90.0	0.00
^04/19/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.95	0.00	0.95	90.0	0.00
^04/19/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.72	0.00	0.72	90.0	0.00
^04/19/2018	CLINE, DAVIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	90.0	0.00
^04/19/2018	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.41	0.00	0.41	90.0	0.00
^04/19/2018	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.60	0.00	0.60	90.0	0.00
^04/19/2018	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.56	0.00	0.56	90.0	0.00
^04/19/2018	CLINE, DAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.13	0.00	0.13	90.0	0.00
^04/19/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.54	0.00	0.54	90.0	0.00
^04/19/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.74	0.00	0.74	90.0	0.00
^04/19/2018	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.56	0.00	0.56	90.0	0.00
^04/18/2018	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	3.66	0.00	3.66	90.0	0.00
^04/20/2018	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	14.42	0.00	14.42	90.0	0.00
^04/19/2018	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.54	0.00	0.54	90.0	0.00
^04/19/2018	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	1.99	0.00	1.99	90.0	0.00
^04/19/2018	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.93	0.00	0.93	90.0	0.00
^04/20/2018	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	3.71	0.00	3.71	90.0	0.00
^04/30/2018	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	16.41	0.00	16.41	90.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$50.91	\$0.00	\$50.91		\$0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
*** DIRECT TRANSACTIONS TOTAL ***			\$54,979.23	\$180.08	\$0.00	\$180.08		\$0.00
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10</u>								
04/24/2018	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	40.00	0.98	0.00	0.98	90.0	0.88
04/30/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.36	0.00	0.36	90.0	0.32
04/30/2018	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.09	0.00	0.09	90.0	0.08
05/04/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
05/04/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
05/04/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.71	0.00	0.71	90.0	0.64
/ /	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	0.00	0.21	0.00	0.21	90.0	0.19
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$265.00	\$2.65	\$0.00	\$2.65		\$2.39
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
04/30/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.25	0.00	2.25	90.0	2.03
04/30/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.56	0.00	1.56	90.0	1.40
04/30/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	128.43	1.45	0.00	1.45	90.0	1.31
04/30/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	128.43	1.45	0.00	1.45	90.0	1.31
04/30/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.56	0.00	1.56	90.0	1.40
04/30/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.11	0.00	3.11	90.0	2.80
04/19/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.27	0.00	0.27	90.0	0.24
04/19/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.18	0.00	0.18	90.0	0.16
04/19/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.10	0.00	0.10	90.0	0.09
04/19/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.12	0.00	0.12	90.0	0.11
04/19/2018	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.38	0.00	0.38	90.0	0.34
04/19/2018	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.14	0.00	0.14	90.0	0.13
04/27/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	1.88	0.00	1.88	90.0	1.69
04/19/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.29	0.00	0.29	90.0	0.26
04/19/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.22	0.00	0.22	90.0	0.20
04/19/2018	CLINE, DAVIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.02	0.00	0.02	90.0	0.02
04/19/2018	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.12	0.00	0.12	90.0	0.11
04/19/2018	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.18	0.00	0.18	90.0	0.16
05/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.75	0.00	0.75	90.0	0.68
04/19/2018	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.17	0.00	0.17	90.0	0.15

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/			#209					
04/19/2018	CLINE, DAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
04/30/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.75	0.00	0.75	90.0	0.68
04/30/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	5.25	0.00	5.25	90.0	4.73
04/19/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.16	0.00	0.16	90.0	0.14
04/19/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.22	0.00	0.22	90.0	0.20
04/19/2018	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	90.0	0.15
/ /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.21	0.00	0.21	90.0	0.19
05/04/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
04/30/2018	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.36	0.00	0.36	90.0	0.32
04/25/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	52,996.03	13.50	0.00	13.50	90.0	12.15
04/18/2018	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.10	0.00	1.10	90.0	0.99
04/20/2018	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.33	0.00	4.33	90.0	3.90
04/19/2018	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.16	0.00	0.16	90.0	0.14
04/19/2018	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.60	0.00	0.60	90.0	0.54
/ /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	0.49	0.00	0.49	90.0	0.44
05/04/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.12	0.00	0.12	90.0	0.11
05/04/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.55	0.00	0.55	90.0	0.50
05/04/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
05/04/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
04/30/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	2.50	0.09	0.00	0.09	90.0	0.08
04/19/2018	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.28	0.00	0.28	90.0	0.25
04/20/2018	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.11	0.00	1.11	90.0	1.00
04/30/2018	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	4.92	0.00	4.92	90.0	4.43
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$54,714.23	\$51.41	\$0.00	\$51.41		\$46.28
*** SPLIT TRANSACTIONS TOTAL ***			\$54,979.23	\$54.06	\$0.00	\$54.06		\$48.67

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$48.67
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$48.67
Year-to-date Gross	\$617.30
Year-to-date Earnings	\$544.10