

All Companies
STATEMENT
January 20, 2018

JAMES MALAFRONTTE

BROKER #: 040
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for JAMES MALAFRONTTE

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | POSTAGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------------------|----------------|-----|----------------------|---------------------|--------------------|---------------|--------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | | | |
| 12/04/2017 | #1020682899 KANNADAN, KIKILY | PERSP II 01/16 | B | 105,625.28 | 7,393.77 | 0.00 | 0.00 | 7,393.77 | 85.0 | 6,284.70 |
| 12/20/2017 | #1020681183 PETERKIN, NANCY | PERSP II 01/16 | B | 40,241.17 | 2,816.88 | 0.00 | 0.00 | 2,816.88 | 85.0 | 2,394.35 |
| 12/21/2017 | #1020682899 KANNADAN, KIKILY | PERSP II 01/16 | B | 75,484.35 | 5,283.90 | 0.00 | 0.00 | 5,283.90 | 85.0 | 4,491.32 |
| 12/22/2017 | #1020862374 JOSEPH, EWLAD | PERSP II 01/16 | B | 117,098.13 | 8,196.87 | 0.00 | 0.00 | 8,196.87 | 85.0 | 6,967.34 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | | \$338,448.93 | \$23,691.42 | \$0.00 | \$0.00 | \$23,691.42 | | \$20,137.71 |
| *** BROKER TRANSACTIONS TOTAL *** | | | | \$338,448.93 | \$23,691.42 | \$0.00 | \$0.00 | \$23,691.42 | | \$20,137.71 |

ADJUSTMENTS

| | | |
|----------------------------------|------------------|---------------------|
| 01/20/2018 | ADVANCE: ADVANCE | -5,646.16 |
| 01/20/2018 | ADVANCE: ADVANCE | -13,853.00 |
| *** ADJUSTMENTS TOTAL *** | | \$-19,499.16 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------------|
| Broker Transactions | \$20,137.71 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-19,499.16 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-638.54 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------|---------------|
| Check Amount | \$0.01 |
|---------------------|---------------|