



Concorde Investment Services, LLC

05/02/2018

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COMMISSION STATEMENT**April 30, 2018**DAVID, K123 KERN
4321 Flagstaff CoveBROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	DAVIS, PATRICIA	PROFUNDS MONEY MARKET-SVC	0.00	2.79	0.00	2.79	90.0	2.51
/ /	COPELAND, DANIEL	PROFUNDS MONEY MARKET-SVC	0.00	0.55	0.00	0.55	90.0	0.50
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$3.34	\$0.00	\$3.34		\$3.01
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/16/2018	KERN, LEESA	AMERITAS LOWLOAD VUL	342.56	0.03	0.00	0.03	90.0	0.03
04/17/2018	KERN, HELMBERGER	AMERITAS LOWLOAD VUL	508.91	0.04	0.00	0.04	90.0	0.04
04/12/2018	KERN, DAVID	JNL ELITE ACCESS	6,500.00	243.75	0.00	243.75	90.0	219.38
04/12/2018	HOVERMAN, ANDREA	JNL ELITE ACCESS	6,500.00	81.25	0.00	81.25	90.0	73.13
04/12/2018	HOVERMAN, BRYAN	JNL ELITE ACCESS	6,500.00	81.25	0.00	81.25	90.0	73.13
04/16/2018	FORTNEY, ELIZABETH	JNL ELITE ACCESS	400.00	5.00	0.00	5.00	90.0	4.50
04/18/2018	WHITELEATHER, KEVIN	JNL ELITE ACCESS	6,500.00	81.25	0.00	81.25	90.0	73.13
04/16/2018	FREISTROFFER, HENRY	JNL PERSPECTIVE L	3,000.00	120.00	0.00	120.00	90.0	108.00
/ /	MARATHON LABELS 401K	NWL FLEX ADVANTAGE	376,006.86	77.26	0.00	77.26	90.0	69.53
/ /	NEW HAVEN PRINT &	NWL FLEX ADVANTAGE	802,378.36	197.85	0.00	197.85	90.0	178.07
/ /	MARATHON LABELS 401K	NWL POOLED FXD	13,807.61	2.84	0.00	2.84	90.0	2.56
/ /	NEW HAVEN PRINT &	NWL POOLED FXD	3,338.57	0.82	0.00	0.82	90.0	0.74
* VARIABLE ANNUITIES SUBTOTAL *			\$1,225,782.87	\$891.34	\$0.00	\$891.34		\$802.24
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	PAYNE, MARTHA	GUGGENHEIM DIVERSIFIED INCOME C	0.00	3.04	0.00	3.04	90.0	2.74
/ /	PAYNE, MARTHA	GUGGENHEIM GOV'T LONG BOND 1.2X STG	0.00	3.04	0.00	3.04	90.0	2.74
/ /	PAYNE, MARTHA	GUGGENHEIM RBP LARGE CAP DEFENSIVE	0.00	1.19	0.00	1.19	90.0	1.07
/ /	PAYNE, MARTHA	LARGE CAP VALUE C	0.00	0.90	0.00	0.90	90.0	0.81
/ /	DAVIS, PATRICIA	PROFUNDS MONEY MARKET-SVC	0.00	1.11	0.00	1.11	90.0	1.00
/ /	COPELAND, DANIEL	PROFUNDS MONEY MARKET-SVC	0.00	0.22	0.00	0.22	90.0	0.20
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$9.50	\$0.00	\$9.50		\$8.56

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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SPLIT TRANSACTIONS

*** DIRECT TRANSACTIONS TOTAL ***	\$1,225,782.87	\$904.18	\$0.00	\$904.18	\$813.81
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BROKER COMMISSION TOTALS

Broker Transactions	\$813.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$813.81
Year-to-date Gross	\$46,322.98
Year-to-date Earnings	\$41,706.99

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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