

**COMMISSION STATEMENT****April 15, 2018**

**DANIEL, R132 RAUPP**  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

**BROKER #: R132**  
**BRANCH: FORTITUDE INVESTMENT**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ROY, P123 PFLEGER II #244</u></b>								
04/11/2018	ABRAHAM, BLESSY	SEI	12,831.81	32.08	0.00	32.08	75.0	4.01
04/11/2018	CIPRIANO, CARA	SEI	17,194.66	42.99	0.00	42.99	75.0	5.37
04/11/2018	DAVIS, BRIDGET	SEI	107,071.62	267.68	0.00	267.68	75.0	33.46
04/11/2018	O'HARA, LORRAINE	SEI	21,540.51	53.85	0.00	53.85	75.0	6.73
04/11/2018	LAURO, MICHAEL	SEI	3,013.23	7.53	0.00	7.53	75.0	0.94
04/11/2018	LOCASTRO, DONNA	SEI	5,460.51	13.65	0.00	13.65	75.0	1.71
04/11/2018	MASTROSERIO, MICHELLE	SEI	64,676.85	161.69	0.00	161.69	75.0	20.21
04/11/2018	O'HARA, LORRAINE	SEI	128,177.26	320.44	0.00	320.44	75.0	40.06
04/11/2018	O'HARA, LORRAINE	SEI	1,854.98	4.64	0.00	4.64	75.0	0.58
04/11/2018	RASMUSSEN JR, HARRY	SEI	4,324.48	10.81	0.00	10.81	75.0	1.35
04/11/2018	RASMUSSEN, MELANIE	SEI	16,309.56	40.78	0.00	40.78	75.0	5.10
<b>* ROY, P123 PFLEGER II SUBTOTAL *</b>			<b>\$382,455.47</b>	<b>\$956.14</b>	<b>\$0.00</b>	<b>\$956.14</b>		<b>\$119.52</b>
<b><u>BROKER: ALAN, L133 LICHTENSTEIN #249</u></b>								
04/11/2018	HECKER, THOMAS	SEI	53,435.34	100.19	0.00	100.19	75.0	12.52
04/11/2018	MOYKA, PAMELA	SEI	11,203.06	21.01	0.00	21.01	75.0	2.63
<b>* ALAN, L133 LICHTENSTEIN SUBTOTAL *</b>			<b>\$64,638.40</b>	<b>\$121.20</b>	<b>\$0.00</b>	<b>\$121.20</b>		<b>\$15.15</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$447,093.87</b>	<b>\$1,077.34</b>	<b>\$0.00</b>	<b>\$1,077.34</b>		<b>\$134.67</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$134.67
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$4.28
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$138.95
Year-to-date Gross	\$4,119.90
Year-to-date Earnings	\$4,394.35