



Concorde Investment Services, LLC

11/13/2017

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COMMISSION STATEMENT

November 15, 2017

RICHARD CODY
1010 Highway 71

BROKER #: C135 Terminated 07/29/2016

BRANCH: JILL CODY

Spring Lake, NJ 07762

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/31/2017	SYVERSEN, AMY	MARSICO FLEXIBLE CAPITAL FUND	0.00	0.58	0.00	0.58	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.58	\$0.00	\$0.58		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$0.58	\$0.00	\$0.58		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$146.90
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed