LIBERTY PARTNERS

## **STATEMENT** February 20, 2018

PAT CORCORAN

BROKER #: 702

BRANCH: LG BRANCH

, NV -

## COMMISSION STATEMENT for PAT CORCORAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRANS	SACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND	<u>s</u>							
)1/08/2018	8 #03811057458 VARIOUS	INVESCO CHARTER FUND CL A	108.00	5.40	85.0	0.00	4.59	0.00	4.59
		* MUTUAL FUNDS SUBTOTAL *	\$108.00	\$5.40		\$0.00	\$4.59	\$0.00	\$4.59
PRODUCT	CATEGORY: STOCKS								
)1/02/2018	8 # MCGILL, SEAMUS	AMERIGAS PARTNERS LP	51,914.50	367.54	85.0	0.00	312.41	18.00	294.41
)1/08/2018	8 # RODRIGUEZ, VICTOR	EDUCATION REALTY TRUST INC COM NEW	6,790.68	100.00	85.0	0.00	85.00	18.00	67.00
1/09/2018	8 # CORCORAN, JAMES	KKR & CO L P DEL COM UNITS	34,279.50	249.85	85.0	0.00	212.37	18.00	194.37
1/10/2018	8 # MCGILL, SEAMUS	SAILPOINT TECHNOLOGIES	21,888.10	165.83	85.0	0.00	140.96	18.00	122.96
1/10/2018	8 # CORCORAN, PAT	SAILPOINT TECHNOLOGIES	54,550.30	50.00	85.0	0.00	42.50	18.00	24.50
1/10/2018	8 # LIV TRUST, AGNES	SAILPOINT TECHNOLOGIES	16,390.60	188.94	85.0	0.00	160.60	18.00	142.60
1/16/2018	8 # MCGILL, SEAMUS	GENERAL ELECTRIC CO	13,668.75	50.00	85.0	0.00	42.50	18.00	24.50
1/16/2018	8 # RICHARDSON, KYANN	GENERAL ELECTRIC CO	34,725.71	50.00	85.0	0.00	42.50	18.00	24.50
1/16/2018	8 # LIV TRUST, AGNES	GENERAL ELECTRIC CO	13,004.06	50.00	85.0	0.00	42.50	18.00	24.50
1/16/2018	8 # CORCORAN, PAT	SAILPOINT TECHNOLOGIES	32,993.52	37.54	85.0	0.00	31.91	18.00	13.91
1/17/2018	8 # MCGILL, SEAMUS	OMEGA HEALTHCARE INVESTORS INC	22,494.14	219.88	85.0	0.00	186.90	18.00	168.90
1/17/2018	8 # RICHARDSON, KYANN	OMEGA HEALTHCARE INVESTORS INC	22,989.74	193.56	85.0	0.00	164.53	18.00	146.53
1/17/2018	8 # LIV TRUST, AGNES	OMEGA HEALTHCARE INVESTORS INC	29,271.00	237.94	85.0	0.00	202.25	18.00	184.25
1/17/2018	8 # LIV TRUST, AGNES	POINTS INTERNATIONAL LTD	9,136.26	79.74	85.0	0.00	67.78	18.00	49.78
1/17/2018	8 # TEGTMEYER, KATHLEEN	SAILPOINT TECHNOLOGIES	17,249.32	174.83	85.0	0.00	148.61	18.00	130.61
1/17/2018	8 # TEGTMEYER, KATHLEEN	SAILPOINT TECHNOLOGIES	17,791.95	30.00	85.0	0.00	25.50	18.00	7.50
1/17/2018	8 # CORCORAN, PAT	SAILPOINT TECHNOLOGIES	19,781.94	50.00	85.0	0.00	42.50	18.00	24.50
1/17/2018	8 # CORCORAN, JAMES	SAILPOINT TECHNOLOGIES	15,903.15	167.92	85.0	0.00	142.73	18.00	124.73
1/17/2018	8 # RICHARDSON, KYANN	SAILPOINT TECHNOLOGIES	19,782.13	177.92	85.0	0.00	151.23	18.00	133.23
1/17/2018	8 # TEGTMEYER, KATHLEEN	STARWOOD PROPERTY TRUST INC	17,061.03	147.93	85.0	0.00	125.74	18.00	107.74
1/23/2018	8 # MCGILL, SEAMUS	OMEGA HEALTHCARE INVESTORS INC	23,350.35	151.52	85.0	0.00	128.79	18.00	110.79
1/23/2018	8 # RICHARDSON, SAMUEL	OMEGA HEALTHCARE INVESTORS INC	15,747.68	175.62	85.0	0.00	149.28	18.00	131.28
1/23/2018	8 # TEGTMEYER, KATHLEEN	OMEGA HEALTHCARE INVESTORS INC	10,902.64	115.91	85.0	0.00	98.52	18.00	80.52
1/23/2018	8 # RICHARDSON, KYANN	OMEGA HEALTHCARE INVESTORS INC	23,700.78	152.00	85.0	0.00	129.20	18.00	111.20

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRAN	SACTIONS						
PRODUCT	CATEGORY: STOCKS								
01/23/201	8 # LIV TRUST, AGNES	OMEGA HEALTHCARE INVESTORS INC	30,228.11	155.63	85.0	0.00	132.29	18.00	114.29
01/23/201	8 # TEGTMEYER, KATHLEEN	POINTS INTERNATIONAL LTD	20,840.25	166.82	85.0	0.00	141.80	18.00	123.80
01/23/201	8 # RICHARDSON, SAMUEL	SAILPOINT TECHNOLOGIES	16,290.25	172.63	85.0	0.00	146.74	18.00	128.74
01/23/201	8 # RICHARDSON, KYANN	SAILPOINT TECHNOLOGIES	41,974.41	247.93	85.0	0.00	210.74	18.00	192.74
01/24/201	8 # MCGILL, SEAMUS	FIREEYE INC COM	22,964.70	248.74	85.0	0.00	211.43	18.00	193.43
01/24/201	8 # TEGTMEYER, KATHLEEN	FIREEYE INC COM	16,839.35	165.00	85.0	0.00	140.25	18.00	122.25
01/24/201	8 # CORCORAN, PAT	FIREEYE INC COM	15,558.60	35.00	85.0	0.00	29.75	18.00	11.75
01/24/201	8 # CORCORAN, JAMES	FIREEYE INC COM	15,509.90	165.00	85.0	0.00	140.25	18.00	122.25
01/24/201	8 # RICHARDSON, KYANN	FIREEYE INC COM	23,009.55	248.74	85.0	0.00	211.43	18.00	193.43
01/24/201	8 # LIV TRUST, AGNES	FIREEYE INC COM	15,338.50	165.00	85.0	0.00	140.25	18.00	122.25
		* STOCKS SUBTOTAL *	\$763,921.45	\$5,154.96		\$0.00	\$4,381.74	\$612.00	\$3,769.74
PRODUCT	CATEGORY: MUTUAL FUND	12B1 TRAILS							
12/31/201	7 #04104570148 VARIOUS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.31	85.0	0.00	1.11	0.00	1.11
12/31/201	7 #08571110769 VARIOUS	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	59.16	85.0	0.00	50.29	0.00	50.29
12/31/201	7 #08571136839 VARIOUS	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	40.95	85.0	0.00	34.81	0.00	34.81
01/01/201	8 #03811057458 VARIOUS	INVESCO CHARTER FUND CL A	0.00	0.13	85.0	0.00	0.11	0.00	0.11
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$101.55	_	\$0.00	\$86.32	\$0.00	\$86.32
		*** BROKER TRANSACTIONS TOTAL ***	\$764,029.45	\$5,261.91		\$0.00	\$4,472.65	\$612.00	\$3,860.65
		ADJUSTM	ENTS						
02/20/201	8	BETA BACK OFFICE: ADMIN FEE							-289.00
02/20/201	0	E C O INCIDANCE. E C O INCIDANCE							170 00

02/20/2018	BETA BACK OFFICE: ADMIN FEE	-289.00
02/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00

\*\*\* ADJUSTMENTS TOTAL \*\*\* \$-468.00

## BROKER COMMISSION TOTALS

Broker Transactions \$3,860.65  Split Transactions \$0.00  Override Transactions \$0.00  Adjustments \$-468.00  Payroll Draw \$0.00  Base Salary \$0.00  Prior Period Balance \$0.00  FINRA Assessment \$0.00  SIPC Assessment \$0.00			
Override Transactions \$0.00  Adjustments \$-468.00  Payroll Draw \$0.00  Base Salary \$0.00  Prior Period Balance \$0.00  FINRA Assessment \$0.00	Broker	Transactions	\$3,860.65
Adjustments \$-468.00 Payroll Draw \$0.00 Base Salary \$0.00 Prior Period Balance \$0.00 FINRA Assessment \$0.00	Split	Transactions	\$0.00
Payroll Draw \$0.00 Base Salary \$0.00 Prior Period Balance \$0.00 FINRA Assessment \$0.00	Override	Transactions	\$0.00
Base Salary \$0.00 Prior Period Balance \$0.00 FINRA Assessment \$0.00		Adjustments	\$-468.00
Prior Period Balance \$0.00 FINRA Assessment \$0.00		Payroll Draw	\$0.00
FINRA Assessment \$0.00		Base Salary	\$0.00
+0.00	Prior Pe	eriod Balance	\$0.00
SIPC Assessment \$0.00	FINE	RA Assessment	\$0.00
	SII	PC Assessment	\$0.00

Check Amount \$3,392.65