

# COMMISSION STATEMENT

June 30, 2018

MARK HENTEMANN-D034

BROKER #: D034

BRANCH: DMT

, TX

## COMMISSION STATEMENT for MARK HENTEMANN-D034

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
06/12/2018	00063544324	GRIFFITH, JAMES	MF	FIDELITY ADVISOR GROWTH AND	B	25.00	0.94	0.00	0.94 75.0 0.71
06/12/2018	00063544324	GRIFFITH, JAMES	MF	FIDELITY ADVISOR GROWTH AND	B	25.00	0.94	0.00	0.94 75.0 0.71
06/12/2018	00063544324	GRIFFITH, JAMES	MF	FIDELITY ADVISOR GROWTH AND	B	25.00	0.94	0.00	0.94 75.0 0.71
06/12/2018	00063544324	GRIFFITH, JAMES	MF	FIDELITY ADVISOR GROWTH AND	B	25.00	0.94	0.00	0.94 75.0 0.71
06/12/2018	00063544373	CARPENTER, LEE	MF	FIDELITY ADVISOR GROWTH AND	B	30.00	0.83	0.00	0.83 75.0 0.62
06/12/2018	00063544373	CARPENTER, LEE	MF	FIDELITY ADVISOR GROWTH AND	B	30.00	0.83	0.00	0.83 75.0 0.62
06/12/2018	00063544373	CARPENTER, LEE	MF	FIDELITY ADVISOR GROWTH AND	B	30.00	0.83	0.00	0.83 75.0 0.62
06/12/2018	00063544373	CARPENTER, LEE	MF	FIDELITY ADVISOR GROWTH AND	B	30.00	0.83	0.00	0.83 75.0 0.62
06/12/2018	00063544381	CARPENTER, JERRY	MF	FIDELITY ADVISOR GROWTH AND	B	30.00	0.83	0.00	0.83 75.0 0.62
06/12/2018	00063544381	CARPENTER, JERRY	MF	FIDELITY ADVISOR GROWTH AND	B	30.00	0.83	0.00	0.83 75.0 0.62
06/12/2018	00063544381	CARPENTER, JERRY	MF	FIDELITY ADVISOR GROWTH AND	B	30.00	0.83	0.00	0.83 75.0 0.62
06/12/2018	00063544381	CARPENTER, JERRY	MF	FIDELITY ADVISOR GROWTH AND	B	30.00	0.83	0.00	0.83 75.0 0.62
06/11/2018	00074147989	LUKE, RICHARD	MF	CAPITAL WORLD GROWTH AND INCOME	B	230.76	6.35	0.00	6.35 75.0 4.76
06/11/2018	00074147989	LUKE, RICHARD	MF	THE GROWTH FUND OF AMERICA-A	B	230.76	6.35	0.00	6.35 75.0 4.76
06/11/2018	00074147989	LUKE, RICHARD	MF	THE INVESTMENT COMPANY OF	B	230.77	6.35	0.00	6.35 75.0 4.76
06/11/2018	00074148433	CLARK, DAN	MF	AMERICAN BALANCED FUND-A	B	64.88	1.78	0.00	1.78 75.0 1.34
06/11/2018	00074148433	CLARK, DAN	MF	THE GROWTH FUND OF AMERICA-A	B	151.39	4.16	0.00	4.16 75.0 3.12
06/11/2018	00088990408	OAKS, SAMUEL	MF	CAPITAL WORLD GROWTH AND INCOME	B	31.04	0.85	0.00	0.85 75.0 0.64
06/11/2018	00088990408	OAKS, SAMUEL	MF	THE GROWTH FUND OF AMERICA-A	B	36.21	1.00	0.00	1.00 75.0 0.75
06/11/2018	00088990408	OAKS, SAMUEL	MF	THE INVESTMENT COMPANY OF	B	36.22	1.00	0.00	1.00 75.0 0.75
06/11/2018	00088990432	BRESSERS, AMANDA	MF	AMERICAN BALANCED FUND-A	B	48.39	1.33	0.00	1.33 75.0 1.00
06/11/2018	00088990432	BRESSERS, AMANDA	MF	CAPITAL WORLD GROWTH AND INCOME	B	29.04	0.80	0.00	0.80 75.0 0.60
06/11/2018	00088990432	BRESSERS, AMANDA	MF	FUNDAMENTAL INVESTORS-A	B	38.71	1.06	0.00	1.06 75.0 0.80
06/11/2018	00088990432	BRESSERS, AMANDA	MF	THE GROWTH FUND OF AMERICA-A	B	38.71	1.06	0.00	1.06 75.0 0.80
06/11/2018	00088990432	BRESSERS, AMANDA	MF	THE INVESTMENT COMPANY OF	B	38.72	1.06	0.00	1.06 75.0 0.80
06/11/2018	00088990439	VANBEELEN, JORDAN	MF	CAPITAL WORLD GROWTH AND INCOME	B	16.91	0.47	0.00	0.47 75.0 0.35
06/11/2018	00088990439	VANBEELEN, JORDAN	MF	FUNDAMENTAL INVESTORS-A	B	16.91	0.47	0.00	0.47 75.0 0.35
06/11/2018	00088990439	VANBEELEN, JORDAN	MF	THE GROWTH FUND OF AMERICA-A	B	25.36	0.70	0.00	0.70 75.0 0.53
06/11/2018	00088990439	VANBEELEN, JORDAN	MF	THE INVESTMENT COMPANY OF	B	25.35	0.70	0.00	0.70 75.0 0.53
06/11/2018	00088990540	MONROE, LACEY	MF	AMERICAN BALANCED FUND-A	B	18.69	0.51	0.00	0.51 75.0 0.38
06/11/2018	00088990540	MONROE, LACEY	MF	FUNDAMENTAL INVESTORS-A	B	18.69	0.51	0.00	0.51 75.0 0.38

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
06/11/2018	00088990540	MONROE, LACEY	MF	THE GROWTH FUND OF AMERICA-A	B	28.04	0.77	0.00	0.77	75.0	0.58
06/11/2018	00088990540	MONROE, LACEY	MF	THE INVESTMENT COMPANY OF	B	28.05	0.77	0.00	0.77	75.0	0.58
06/11/2018	00088990639	HILER, STEVEN	MF	AMERICAN BALANCED FUND-A	B	48.35	1.33	0.00	1.33	75.0	1.00
06/11/2018	00088990639	HILER, STEVEN	MF	THE GROWTH FUND OF AMERICA-A	B	48.34	1.33	0.00	1.33	75.0	1.00
06/11/2018	00088991330	HART, JACOB	MF	CAPITAL WORLD GROWTH AND INCOME	B	32.14	0.88	0.00	0.88	75.0	0.66
06/11/2018	00088991330	HART, JACOB	MF	THE GROWTH FUND OF AMERICA-A	B	32.14	0.88	0.00	0.88	75.0	0.66
06/11/2018	00088991330	HART, JACOB	MF	THE INVESTMENT COMPANY OF	B	33.10	0.91	0.00	0.91	75.0	0.68
06/11/2018	00089942792	BELLOLI, EMILY	MF	AMERICAN BALANCED FUND-A	B	108.00	2.97	0.00	2.97	75.0	2.23
06/11/2018	00089942792	BELLOLI, EMILY	MF	THE GROWTH FUND OF AMERICA-A	B	104.83	2.88	0.00	2.88	75.0	2.16
06/11/2018	00089942792	BELLOLI, EMILY	MF	THE INVESTMENT COMPANY OF	B	104.83	2.88	0.00	2.88	75.0	2.16
06/12/2018	01436454399	KROLL, SY	MF	FIDELITY ADVISOR GROWTH AND	B	40.00	2.00	0.00	2.00	75.0	1.50
06/12/2018	01436454399	KROLL, SY	MF	FIDELITY ADVISOR GROWTH AND	B	40.00	2.00	0.00	2.00	75.0	1.50
06/12/2018	01436454399	KROLL, SY	MF	FIDELITY ADVISOR GROWTH AND	B	40.00	2.00	0.00	2.00	75.0	1.50
06/12/2018	01436454399	KROLL, SY	MF	FIDELITY ADVISOR GROWTH AND	B	40.00	2.00	0.00	2.00	75.0	1.50
* MUTUAL FUNDS SUBTOTAL *						\$2,395.33	\$70.51	\$0.00	\$70.51		\$52.91
*** DIRECT TRANSACTIONS TOTAL ***						\$2,395.33	\$70.51	\$0.00	\$70.51		\$52.91

LINKED CLEA TRANSACTIONS

06/21/2018	mfc262440	HENTEMANN 262440	MFT	PNC MULTI FACTOR SMALL CAP	B	0.00	0.84	0.00	0.84		0.63
06/21/2018	mfc262440	HENTEMANN 262440	MFT	PNC MULTI-FACTOR LARGE CAP	B	0.00	2.22	0.00	2.22		1.67
				<b>* SMITH HENTEMANN-D087 SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$3.06</b>	<b>\$0.00</b>	<b>\$3.06</b>		<b>\$2.30</b>
				<b>*** LINKED CLEA TRANSACTIONS TOTAL ***</b>		<b>\$0.00</b>	<b>\$3.06</b>	<b>\$0.00</b>	<b>\$3.06</b>		<b>\$2.30</b>

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

06/30/2018		:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
06/30/2018		:	E&O		0.00	0.00	0.00	0.00		-120.00
06/30/2018		:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
				<b>* SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-175.00</b>
				<b>*** ADJUSTMENTS TRANSACTIONS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-175.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$55.21
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-175.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-119.79</b>
<b>Year-to-date Commissions</b>	<b>\$5,010.88</b>