



Concorde Investment Services, LLC

08/14/2017  
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# COMMISSION STATEMENT

August 15, 2017

WILLIAM CASILLAS, JR  
4120 Rio Bravo  
Ste. 307  
El Paso, TX 79902

BROKER #: C124  
BRANCH: WILLIAM CASILLAS

| DATE  | CLIENT              | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|---------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                       |                     |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>            |                     |                                     |                      |                     |                    |                   |      |                      |
| / /   | AGUILAR, VERONICA   | JH 529 PORT 2021-2024 A             | 0.00                 | 0.50                | 0.00               | 0.50              | 82.0 | 0.41                 |
| / /   | MENDEZ, JESUS       | JH 529 PORT 2021-2024 A             | 0.00                 | 6.18                | 0.00               | 6.18              | 82.0 | 5.07                 |
| 08/02/2017  | MENDEZ, JESUS       | JH 529 PORT 2021-2024 A             | 300.00               | 12.75               | 0.00               | 12.75             | 82.0 | 10.46                |
| / /   | MENDEZ, JESUS       | JH 529 PORT 2021-2024 B             | 0.00                 | 0.99                | 0.00               | 0.99              | 82.0 | 0.81                 |
| / /   | RODRIGUEZ, TRESHA   | JH 529 PORT 2029-2032 A             | 0.00                 | 0.50                | 0.00               | 0.50              | 82.0 | 0.41                 |
| * MUTUAL FUNDS SUBTOTAL *                               |                     |                                     | \$300.00             | \$20.92             | \$0.00             | \$20.92           |      | \$17.16              |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>      |                     |                                     |                      |                     |                    |                   |      |                      |
| 08/02/2017  | SPINE & REHAB, 401K | GWL GRP ANNUITY 401K                | 293,669.93           | 124.71              | 0.00               | 124.71            | 82.0 | 102.26               |
| 07/31/2017  | ZACOUR, PAUL        | JNL PERSPECTIVE L                   | 65,703.63            | 54.75               | 0.00               | 54.75             | 82.0 | 44.90                |
| 07/31/2017  | CASTILLO, JOSE      | JOHN HANCOCK VENTURE                | 674,871.46           | 421.79              | 0.00               | 421.79            | 82.0 | 345.87               |
| 07/31/2017  | CASTILLO, JOSE      | JOHN HANCOCK VENTURE                | 74,653.91            | 46.66               | 0.00               | 46.66             | 82.0 | 38.26                |
| 07/31/2017  | ALVARADO, JOSE      | JOHN HANCOCK VENTURE                | 17,395.67            | 3.62                | 0.00               | 3.62              | 82.0 | 2.97                 |
| * VARIABLE ANNUITIES SUBTOTAL *                         |                     |                                     | \$1,126,294.60       | \$651.53            | \$0.00             | \$651.53          |      | \$534.26             |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                     |                                     |                      |                     |                    |                   |      |                      |
| 07/28/2017  | BOCK, JOHN          | BLACKROCK EMERGING MARKETS DIVIDEND | 0.00                 | 91.49               | 0.00               | 91.49             | 82.0 | 75.02                |
| 07/13/2017  | BOCK, JOHN          | FRANKLIN INCOME C                   | 0.00                 | 78.39               | 0.00               | 78.39             | 82.0 | 64.28                |
| 07/21/2017  | BOCK, JOHN          | LORD ABBETT BOND DEBENTURE C        | 0.00                 | 98.71               | 0.00               | 98.71             | 82.0 | 80.94                |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL *                    |                     |                                     | \$0.00               | \$268.59            | \$0.00             | \$268.59          |      | \$220.24             |
| *** BROKER TRANSACTIONS TOTAL ***                       |                     |                                     | \$1,126,594.60       | \$941.04            | \$0.00             | \$941.04          |      | \$771.66             |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE                             | CLIENT | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|----------------------------------|--------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>ADJUSTMENTS</u></b>        |        |                                     |                      |                     |                    |                   |      |                      |
| 08/15/2017                       |        | *E & O INSURANCE: CURRENT MONTH INS | 0.00                 |                     |                    |                   |      | -300.00              |
| 08/15/2017                       |        | *TECH: ADMIN TECH - AUDREY TERRAZAS | 0.00                 |                     |                    |                   |      | -100.00              |
| 08/15/2017                       |        | *TECHNOLOGY: CURRENT MONTH TECH     | 0.00                 |                     |                    |                   |      | -275.00              |
| <b>*** ADJUSTMENTS TOTAL ***</b> |        |                                     | <b>\$0.00</b>        | <b>\$0.00</b>       | <b>\$0.00</b>      | <b>\$0.00</b>     |      | <b>\$-675.00</b>     |

**BROKER COMMISSION TOTALS**

|                       |           |
|-----------------------|-----------|
| Broker Transactions   | \$771.66  |
| Split Transactions    | \$0.00    |
| Override Transactions | \$0.00    |
| Adjustments           | \$-675.00 |
| Payroll Draw          | \$0.00    |
| Base Salary           | \$0.00    |
| Prior Period Balance  | \$0.00    |
| FINRA Assessment      | \$0.00    |
| SIPC Assessment       | \$0.00    |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |                    |
|------------------------------|--------------------|
| <b>Balance Forward</b>       | <b>\$96.66</b>     |
| <b>Year-to-date Gross</b>    | <b>\$28,139.96</b> |
| <b>Year-to-date Earnings</b> | <b>\$23,074.87</b> |

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 \*\* - Indicates adjustment is not included in Totals or Commissions  
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