



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
 June 15, 2017

06/13/2017  
 Page No. 1

DANIEL RAUPP  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: R132  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2017	RASMUSSEN, MELANIE	AM FUNDS AMCAP 529E	0.00	4.93	0.00	4.93	100.0	4.93
06/01/2017	RASMUSSEN, MELANIE	AM FUNDS AMCAP 529E	0.00	4.93	0.00	4.93	100.0	4.93
06/01/2017	RASMUSSEN, MELANIE	AM FUNDS GROWTH FUND OF AMERICA-529	0.00	5.19	0.00	5.19	100.0	5.19
06/01/2017	RASMUSSEN, MELANIE	AM FUNDS GROWTH FUND OF AMERICA-529	0.00	5.19	0.00	5.19	100.0	5.19
06/01/2017	RASMUSSEN, MELANIE	CAPITAL INCOME BUILDER-529E	0.00	4.03	0.00	4.03	100.0	4.03
06/01/2017	RASMUSSEN, MELANIE	CAPITAL INCOME BUILDER-529E	0.00	4.03	0.00	4.03	100.0	4.03
06/01/2017	RASMUSSEN, MELANIE	FUNDAMENTAL INVESTORS-529E	0.00	5.15	0.00	5.15	100.0	5.15
06/01/2017	RASMUSSEN, MELANIE	FUNDAMENTAL INVESTORS-529E	0.00	5.15	0.00	5.15	100.0	5.15
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$38.60	\$0.00	\$38.60		\$38.60
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/02/2017	K BELL ASSOC PENSION	GWL GRP ANNUITY 401K	618,173.03	525.02	0.00	525.02	100.0	525.02
05/31/2017	TRAINOR, EDWARD	JNL PERSPECTIVE L	64,479.42	53.73	0.00	53.73	100.0	53.73
05/29/2017	TRAINOR, EDWARD	TRANSAMERICA LIBERTY	55,420.85	46.18	0.00	46.18	100.0	46.18
* VARIABLE ANNUITIES SUBTOTAL *			\$738,073.30	\$624.93	\$0.00	\$624.93		\$624.93
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/10/2017	RAUPP, DANIEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.12	0.00	3.12	100.0	3.12
05/10/2017	LACASSE, RAYMOND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.05	0.00	5.05	100.0	5.05
05/16/2017	LACASSE, CHRISTINE	WELLS FARGO ADVANTAGE ABSOLUTE RETU	0.00	1.99	0.00	1.99	100.0	1.99
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$10.16	\$0.00	\$10.16		\$10.16
*** BROKER TRANSACTIONS TOTAL ***			\$738,073.30	\$673.69	\$0.00	\$673.69		\$673.69

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251</u></b>								
05/08/2017	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.57	0.00	2.57	100.0	2.57
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$2.57	\$0.00	\$2.57		\$2.57
<b><u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u></b>								
05/08/2017	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.25	0.00	1.25	100.0	1.25
05/08/2017	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.60	0.00	0.60	100.0	0.60
05/08/2017	WYFFELS, ALAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.59	0.00	3.59	100.0	3.59
05/08/2017	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.25	0.00	1.25	100.0	1.25
05/17/2017	VICTOR, ELLEN	JP MORGAN GROWTH ADV A	0.00	0.63	0.00	0.63	100.0	0.63
05/10/2017	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.28	0.00	0.28	100.0	0.28
05/10/2017	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.22	0.00	0.22	100.0	0.22
05/10/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.24	0.00	1.24	100.0	1.24
05/10/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.30	0.00	0.30	100.0	0.30
05/10/2017	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.32	0.00	0.32	100.0	0.32
05/10/2017	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.16	0.00	0.16	100.0	0.16
05/22/2017	VICTOR, ELLEN	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	0.59	0.00	0.59	100.0	0.59
05/24/2017	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.10	0.00	0.10	100.0	0.10
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$0.00	\$10.53	\$0.00	\$10.53		\$10.53
<b><u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u></b>								
05/08/2017	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.90	0.00	0.90	100.0	0.90
05/08/2017	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.09	0.00	0.09	100.0	0.09
05/08/2017	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.96	0.00	0.96	100.0	0.96
05/22/2017	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.51	0.00	0.51	100.0	0.51
05/22/2017	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	2.02	0.00	2.02	100.0	2.02
05/11/2017	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	1.20	0.00	1.20	100.0	1.20
05/23/2017	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	0.46	0.00	0.46	100.0	0.46
05/23/2017	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	0.76	0.00	0.76	100.0	0.76
05/17/2017	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.31	0.00	0.31	100.0	0.31
05/10/2017	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.50	0.00	0.50	100.0	0.50
05/10/2017	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.27	0.00	0.27	100.0	0.27
05/10/2017	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.74	0.00	0.74	100.0	0.74
05/10/2017	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.10	0.00	0.10	100.0	0.10
05/10/2017	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.57	0.00	0.57	100.0	0.57

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u></b>								
05/10/2017	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.38	0.00	0.38	100.0	0.38
05/10/2017	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.21	0.00	0.21	100.0	0.21
05/10/2017	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.47	0.00	0.47	100.0	0.47
05/17/2017	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	5.12	0.00	5.12	100.0	5.12
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			\$0.00	\$15.57	\$0.00	\$15.57		\$15.57
<b><u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u></b>								
05/18/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.08	0.00	0.08	100.0	0.08
05/17/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.60	0.00	0.60	100.0	0.60
05/17/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.53	0.00	0.53	100.0	0.53
05/17/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.24	0.00	0.24	100.0	0.24
05/17/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.21	0.00	0.21	100.0	0.21
05/17/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.41	0.00	0.41	100.0	0.41
05/17/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	4.30	0.00	4.30	100.0	4.30
05/17/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	0.41	0.00	0.41	100.0	0.41
06/12/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	-5,482.32	7.70	7.70	0.00	100.0	0.00
05/17/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.25	0.00	0.25	100.0	0.25
05/17/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.27	0.00	0.27	100.0	0.27
05/17/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.20	0.00	0.20	100.0	0.20
05/10/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.16	0.00	0.16	100.0	0.16
05/11/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.34	0.00	0.34	100.0	0.34
05/11/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.55	0.00	1.55	100.0	1.55
05/23/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.72	0.00	0.72	100.0	0.72
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$-5,482.32	\$17.97	\$7.70	\$10.27		\$10.27
<b><u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u></b>								
05/30/2017	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.10	0.00	2.10	100.0	2.10
05/23/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.19	0.00	6.19	100.0	6.19
05/23/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.24	0.00	5.24	100.0	5.24
05/23/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.74	0.00	15.74	100.0	15.74
05/23/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.10	0.00	5.10	100.0	5.10
05/23/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.32	0.00	15.32	100.0	15.32
05/17/2017	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	1.59	0.00	1.59	100.0	1.59
05/17/2017	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	2.10	0.00	2.10	100.0	2.10

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u></b>								
05/17/2017	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.52	0.00	1.52	100.0	1.52
05/10/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.45	0.00	0.45	100.0	0.45
05/10/2017	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.71	0.00	9.71	100.0	9.71
05/10/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.35	0.00	0.35	100.0	0.35
05/11/2017	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	64.92	0.00	64.92	100.0	64.92
<b>* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$130.33</b>	<b>\$0.00</b>	<b>\$130.33</b>		<b>\$130.33</b>
<b><u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u></b>								
05/08/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.83	0.00	1.83	100.0	1.83
05/31/2017	RYDER, THOMAS	EXCHANGE TRADED CONCEPTS TR YIELDSH	49,022.50	625.00	12.87	612.13	100.0	612.13
05/10/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	6.87	0.00	6.87	100.0	6.87
05/10/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.78	0.00	2.78	100.0	2.78
05/10/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.47	0.00	1.47	100.0	1.47
05/10/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.90	0.00	4.90	100.0	4.90
05/10/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.25	0.00	1.25	100.0	1.25
05/10/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.64	0.00	5.64	100.0	5.64
05/10/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.79	0.00	1.79	100.0	1.79
05/10/2017	BENJAMIN, WAYNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.03	0.00	1.03	100.0	1.03
05/10/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.24	0.00	4.24	100.0	4.24
05/31/2017	RYDER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	75,000.00	375.00	11.00	364.00	100.0	364.00
05/10/2017	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	4.47	0.00	4.47	100.0	4.47
05/10/2017	STARK, LINDA	MAINSTAY MAP A	0.00	0.87	0.00	0.87	100.0	0.87
05/10/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	0.83	0.00	0.83	100.0	0.83
05/11/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.04	0.00	4.04	100.0	4.04
06/07/2017	HENNEFORTH, PAUL	NEW YORK REIT INC COM	-25,923.90	125.00	13.24	111.76	100.0	111.76
05/22/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	5.02	0.00	5.02	100.0	5.02
05/12/2017	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.15	0.00	0.15	100.0	0.15
05/12/2017	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.39	0.00	0.39	100.0	0.39
05/23/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.39	0.00	0.39	100.0	0.39
<b>* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *</b>			<b>\$98,098.60</b>	<b>\$1,172.96</b>	<b>\$37.11</b>	<b>\$1,135.85</b>		<b>\$1,135.85</b>
<b><u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u></b>								
05/15/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.07	0.00	0.07	100.0	0.07
05/08/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.14	0.00	2.14	100.0	2.14

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u></b>								
05/08/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.34	0.00	0.34	100.0	0.34
05/08/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.14	0.00	1.14	100.0	1.14
05/08/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.58	0.00	1.58	100.0	1.58
06/01/2017	BRANDT, ROSEMARY	COLONY NORTHSTAR INC CL A COM	-22,847.18	62.50	6.10	56.40	100.0	56.40
05/24/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.84	0.00	0.84	100.0	0.84
05/17/2017	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	1.77	0.00	1.77	100.0	1.77
06/01/2017	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	-37,039.73	0.00	0.00	0.00	100.0	0.00
05/17/2017	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	2.66	0.00	2.66	100.0	2.66
06/01/2017	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	-53,028.73	0.00	0.00	0.00	100.0	0.00
05/17/2017	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	1.73	0.00	1.73	100.0	1.73
06/01/2017	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	-34,787.95	0.00	0.00	0.00	100.0	0.00
05/17/2017	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.67	0.00	1.67	100.0	1.67
06/01/2017	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	-32,749.72	0.00	0.00	0.00	100.0	0.00
05/10/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.47	0.00	2.47	100.0	2.47
05/10/2017	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.01	0.00	0.01	100.0	0.01
05/10/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.42	0.00	1.42	100.0	1.42
05/10/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.96	0.00	0.96	100.0	0.96
05/11/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.27	0.00	2.27	100.0	2.27
05/16/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.72	0.00	0.72	100.0	0.72
05/16/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.64	0.00	0.64	100.0	0.64
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$-180,453.31	\$84.93	\$6.10	\$78.83		\$78.83
<b><u>BROKER: G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP #258</u></b>								
05/23/2017	KISSIL, GEORGE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.21	0.00	1.21	100.0	1.21
05/30/2017	PICCIRILLO, ALEXANDER	LFG CHOICEPLUS ASSURANCE	455,204.33	6,543.56	0.00	6,543.56	100.0	6,543.56
* G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP SUBTOTAL *			\$455,204.33	\$6,544.77	\$0.00	\$6,544.77		\$6,544.77
<b><u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u></b>								
05/08/2017	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.58	0.00	0.58	100.0	0.58
05/10/2017	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.71	0.00	0.71	100.0	0.71
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$1.29	\$0.00	\$1.29		\$1.29

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u></b>								
05/17/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.47	0.00	0.47	100.0	0.47
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			<b>\$0.00</b>	<b>\$0.47</b>	<b>\$0.00</b>	<b>\$0.47</b>		<b>\$0.47</b>
<b><u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u></b>								
05/15/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.00	0.00	0.00	100.0	0.00
05/19/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.51	0.00	0.51	100.0	0.51
05/19/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.95	0.00	1.95	100.0	1.95
05/19/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.29	0.00	1.29	100.0	1.29
05/19/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.93	0.00	0.93	100.0	0.93
05/23/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.15	0.00	0.15	100.0	0.15
05/23/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.37	0.00	0.37	100.0	0.37
05/23/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.02	0.00	0.02	100.0	0.02
05/23/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.06	0.00	0.06	100.0	0.06
05/25/2017	FLAHERTY, STEPHEN	GPB AUTOMOTIVE PORTFOLIO LP	200,000.00	2,100.00	0.00	2,100.00	100.0	2,100.00
05/26/2017	FLAHERTY, STEPHEN	GPB AUTOMOTIVE PORTFOLIO LP	200,000.00	0.00	0.00	0.00	100.0	0.00
06/09/2017	FLAHERTY, PATRICIA	GPB WASTE MANAGEMENT LP	50,000.00	525.00	0.00	525.00	100.0	525.00
06/01/2017	FLAHERTY, PATRICIA	GPB WASTE MANAGEMENT LP CLASS A	50,000.00	0.00	0.00	0.00	100.0	0.00
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			<b>\$500,000.00</b>	<b>\$2,630.28</b>	<b>\$0.00</b>	<b>\$2,630.28</b>		<b>\$2,630.28</b>
<b><u>BROKER: M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR #277</u></b>								
05/23/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.27	0.00	1.27	100.0	1.27
05/23/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.81	0.00	3.81	100.0	3.81
* M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR SUBTOTAL *			<b>\$0.00</b>	<b>\$5.08</b>	<b>\$0.00</b>	<b>\$5.08</b>		<b>\$5.08</b>
<b><u>BROKER: P128-ROB/DAN/JON PAU FT-PECHA/RAUPP #379</u></b>								
06/12/2017	KRESLAVSKIY, DIMITRY	GPB WASTE MANAGEMENT LP CLASS A	140,000.00	0.00	0.00	0.00	100.0	0.00
* P128-ROB/DAN/JON PAU FT-PECHA/RAUPP SUBTOTAL *			<b>\$140,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
*** SPLIT TRANSACTIONS TOTAL ***			<b>\$1,007,367.30</b>	<b>\$10,616.75</b>	<b>\$50.91</b>	<b>\$10,565.84</b>		<b>\$10,565.84</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JON PAUL CIRELLI #246 </u></b>								
05/31/2017	ONE PARKING	JOHN HANCOCK LIFE INS CO	0.00	187.94	0.00	187.94	95.0	9.40
		* JON PAUL CIRELLI SUBTOTAL *	\$0.00	\$187.94	\$0.00	\$187.94		\$9.40
<b><u> BROKER: MARC LINSKY #263 </u></b>								
06/01/2017	BENDICK, ETHEL	AM FUNDS AMCAP 529A	0.00	2.66	0.00	2.66	90.0	0.05
06/01/2017	CANUSO, CANDACE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.23	0.00	1.23	90.0	0.02
06/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.53	0.00	0.53	90.0	0.01
06/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.18	0.00	1.18	90.0	0.02
06/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.18	0.00	1.18	90.0	0.02
06/01/2017	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.85	0.00	0.85	90.0	0.01
06/01/2017	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.85	0.00	0.85	90.0	0.01
06/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	9.73	0.00	9.73	90.0	0.17
06/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	0.00	97.20	0.00	97.20	90.0	1.65
06/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.43	0.00	3.43	90.0	0.06
06/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.43	0.00	3.43	90.0	0.06
06/01/2017	MORETTI, VINCENT	AM FUNDS AMERICAN BALANCED A	0.00	3.30	0.00	3.30	90.0	0.06
06/01/2017	MORETTI, GINA	AM FUNDS AMERICAN BALANCED A	0.00	3.41	0.00	3.41	90.0	0.06
06/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.52	0.00	0.52	90.0	0.01
06/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.23	0.00	1.23	90.0	0.02
06/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.23	0.00	1.23	90.0	0.02
06/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	1,000.00	10.00	0.00	10.00	90.0	0.17
06/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	0.00	101.04	0.00	101.04	90.0	1.72
06/01/2017	CANUSO, CANDACE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.82	0.00	0.82	90.0	0.01
06/01/2017	ALTMAN, SHARON	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.74	0.00	1.74	90.0	0.03
06/01/2017	BENDICK, ETHEL	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.72	0.00	1.72	90.0	0.03
06/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	5.84	0.00	5.84	90.0	0.10
06/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.98	0.00	0.98	90.0	0.02
06/01/2017	ALTMAN, SHARON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.96	0.00	1.96	90.0	0.03
06/01/2017	BENDICK, ETHEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.08	0.00	2.08	90.0	0.04
06/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	6.61	0.00	6.61	90.0	0.11
06/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.09	0.00	1.09	90.0	0.02
06/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.11	0.00	7.11	90.0	0.12
06/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.39	0.00	7.39	90.0	0.13
06/01/2017	ALTMAN, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.42	0.00	3.42	90.0	0.06

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARC LINSKY #263</u></b>								
06/01/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.66	0.00	0.66	90.0	0.01
06/01/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.39	0.00	0.39	90.0	0.01
06/01/2017	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.12	0.00	1.12	90.0	0.02
06/01/2017	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.12	0.00	1.12	90.0	0.02
06/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	11.92	0.00	11.92	90.0	0.20
06/01/2017	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	92.22	0.00	92.22	90.0	1.57
06/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.50	0.00	3.50	90.0	0.06
06/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.50	0.00	3.50	90.0	0.06
06/01/2017	CENTANNI, RICHARD	AM FUNDS COLLEGE 2030 FUND 529A	0.00	7.14	0.00	7.14	90.0	0.12
06/01/2017	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	8.09	0.00	8.09	90.0	0.14
06/01/2017	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.69	0.00	0.69	90.0	0.01
06/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
06/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.46	0.00	7.46	90.0	0.13
06/01/2017	CANUSO, CANDACE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	7.64	0.00	7.64	90.0	0.13
06/01/2017	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.14	0.00	3.14	90.0	0.05
06/01/2017	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.90	0.00	2.90	90.0	0.05
06/01/2017	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.83	0.00	0.83	90.0	0.01
06/01/2017	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.83	0.00	0.83	90.0	0.01
06/01/2017	MORETTI, VINCENT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.76	0.00	3.76	90.0	0.06
06/01/2017	MORETTI, GINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.96	0.00	3.96	90.0	0.07
06/01/2017	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.66	0.00	0.66	90.0	0.01
06/01/2017	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.66	0.00	0.66	90.0	0.01
06/01/2017	ALTMAN, SHARON	AM FUNDS INTL GROWTH AND INCOME 529	0.00	1.31	0.00	1.31	90.0	0.02
06/01/2017	ALTMAN, SHARON	AM FUNDS NEW ECONOMY 529A	0.00	2.94	0.00	2.94	90.0	0.05
06/01/2017	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.60	0.00	0.60	90.0	0.01
06/01/2017	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.60	0.00	0.60	90.0	0.01
06/01/2017	CANUSO, CANDACE	AM FUNDS NEW WORLD 529A	0.00	6.01	0.00	6.01	90.0	0.10
06/01/2017	CANUSO, CANDACE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.42	0.00	0.42	90.0	0.01
06/01/2017	ALTMAN, SHARON	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.14	0.00	1.14	90.0	0.02
06/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	6.04	0.00	6.04	90.0	0.10
06/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.54	0.00	2.54	90.0	0.04
06/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.54	0.00	2.54	90.0	0.04
06/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.21	0.00	6.21	90.0	0.11

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b>BROKER: MARC LINSKY #263</b>								
06/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.45	0.00	6.45	90.0	0.11
06/01/2017	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.01
06/01/2017	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.01
06/09/2017	MCLEAN, WAYNE	BLUEROCK TOTAL INC & REAL ESTATE FU	25,000.00	250.00	22.00	228.00	90.0	3.88
06/09/2017	PETTI, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	33,300.00	333.00	22.00	311.00	90.0	5.29
06/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	6.87	0.00	6.87	90.0	0.12
06/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.48	0.00	2.48	90.0	0.04
06/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.48	0.00	2.48	90.0	0.04
06/09/2017	MCLEAN, WAYNE	GRIFFIN INST'L ACCESS R E FUND C	25,000.00	250.00	22.00	228.00	90.0	3.88
06/09/2017	PETTI, JOHN	GRIFFIN INST'L ACCESS R E FUND C	33,300.00	333.00	22.00	311.00	90.0	5.29
05/22/2017	GUERRIERI, CHARLES	ING ACCESS	0.00	62.10	0.00	62.10	90.0	1.06
06/05/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
05/31/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	259,070.85	215.89	0.00	215.89	90.0	3.67
05/31/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	260,222.84	216.85	0.00	216.85	90.0	3.69
05/31/2017	NANI, JOSEPH	JNL PERSPECTIVE L	408,104.98	340.09	0.00	340.09	90.0	5.78
05/31/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	517,275.72	431.06	0.00	431.06	90.0	7.33
05/31/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	104,788.72	87.32	0.00	87.32	90.0	1.48
05/31/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,060.12	2.93	0.00	2.93	90.0	0.05
05/31/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	205,307.28	171.09	0.00	171.09	90.0	2.91
06/01/2017	PISANI, NICHOLAS	LFG LNCTV679U	250.00	10.00	0.00	10.00	90.0	0.17
06/01/2017	PISANI, CARLA	LFG LNDEV679U	541.00	25.70	0.00	25.70	90.0	0.44
05/18/2017	CORRIGAN, KELLYANN	METLIFE SHEILD LEVEL SELECTOR EQTY	49,393.67	2,963.62	0.00	2,963.62	90.0	50.38
06/05/2017	FARBMAN, SANDRA	METLIFE SHEILD LEVEL SELECTOR EQTY	200,000.00	6,000.00	0.00	6,000.00	90.0	102.00
05/30/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	145.36	0.00	145.36	90.0	2.47
06/09/2017	MCLEAN, WAYNE	RESOURCE REAL ESTATE DIVERSIFIED IN	25,000.00	250.00	22.00	228.00	90.0	3.88
06/09/2017	PETTI, JOHN	RESOURCE REAL ESTATE DIVERSIFIED IN	33,300.00	333.00	22.00	311.00	90.0	5.29
05/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	111,316.52	92.76	0.00	92.76	90.0	1.58
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	8.77	0.00	8.77	90.0	0.15
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.34	0.00	0.34	90.0	0.01
05/30/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.13
05/30/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
/ /	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	0.00	6.71	0.00	6.71	90.0	0.11
05/30/2017	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	200.00	5.00	0.00	5.00	90.0	0.09

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>ADJUSTMENTS</b>								
		* MARC LINSKY SUBTOTAL *	\$2,307,406.70	\$13,073.87	\$132.00	\$12,941.87		\$220.05
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$2,307,406.70	\$13,261.81	\$132.00	\$13,129.81		\$229.45

**ADJUSTMENTS**

06/15/2017		*EO INS: CURRENT MONTH INS	0.00					-75.00
06/15/2017		*EO INS: CURRENT MONTH INS	0.00					-45.00
06/15/2017		*EO INS: CURRENT MONTH-T THOMPSON	0.00					-45.00
06/15/2017		*EO INS: N ELIAS	0.00					-45.00
06/15/2017		*FEDERAL EXPRESS: 5-826-43265 6/7	0.00					-67.01
06/15/2017		*FINRA: FBI FINGERPRINT FEE FULMER	0.00					-7.50
06/15/2017		*FINRA: MEMBER ELEC FINGERPRINT FUL	0.00					-7.50
06/15/2017		*FINRA: NV IND. REG. FEE	0.00					-125.00
06/15/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-45.00
06/15/2017		*MISC: 6/15 OVERNIGHT FEE	0.00					-20.00
06/15/2017		*MISC: C FULMER, A LEEDS K141	0.00					540.00
06/15/2017		*MISC: C FULMER, A LEEDS C141	0.00					540.00
06/15/2017		*MISC: GOLF EVENT	0.00					-375.00
06/15/2017		*MISC: GOLF EVENT	0.00					-600.00
06/15/2017		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
06/15/2017		*MISC: ROY, AFLAC, 1031DST	0.00					1,326.00
06/15/2017		*OVERRIDE: BRANCH -KIESNOSKI	0.00					128.13
06/15/2017		*OVERRIDE: BRANCH-CIRELLI	0.00					259.26
06/15/2017		*OVERRIDE: CIRELLI OVERRIDE	0.00					1,044.88
06/15/2017		*OVERRIDE: KIESNOSKI OVERRIDE	0.00					624.53
06/15/2017		*OVERRIDE: LINSKY OVERRIDE	0.00					260.51
06/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.50
06/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-0.38
06/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-0.90
06/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.78
06/15/2017		*PERSHING: MARK UPS	0.00					10.50
06/15/2017		*PERSHING: MARK UPS	0.00					45.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
06/15/2017		*PERSHING: MARK UPS	0.00					7.50
06/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.78
06/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.39
06/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.78
06/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.53
06/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.23
06/15/2017		*PERSHING: STREAMING QUOTES	0.00					-68.00
06/15/2017		*TECH: ADMIN FULMER	0.00					-50.00
06/15/2017		*TECH: ADMIN-AMY LEEDS	0.00					-34.00
06/15/2017		*TECH: CURRENT MONTH TECH	0.00					-68.75
06/15/2017		*TECH: CURRENT MONTH TECH	0.00					-41.25
06/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					-41.25
06/15/2017		*TECH: N ELIAS	0.00					-41.25
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$4,104.53</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$673.69
Split Transactions	\$10,565.84
Override Transactions	\$229.45
Adjustments	\$4,104.53
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$15,573.51
Year-to-date Gross	\$528,653.19
Year-to-date Earnings	\$539,522.22

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed