

COMMISSION STATEMENT**December 31, 2017**DAVID REILLY
8 ACORN TERRACEBROKER #: 21
BRANCH: HOME OFFICE

NEW CITY, NY 10956

COMMISSION STATEMENT for DAVID REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/21/2017	QGW008005	SCHULZ, CARL	ROCH. LIMITED TERM NEW YORK MUNICI	B	0.00	6.27	0.00	6.27	45.0 2.82
12/21/2017	QGW008005	SCHULZ, CARL	ROCHESTER FUND MUNICIPALS A	B	0.00	33.95	0.00	33.95	45.0 15.28
12/21/2017	QGW008039	STEFANO, MARGARET	ROCHESTER FUND MUNICIPALS A	B	0.00	1.58	0.00	1.58	45.0 0.71
12/15/2017	QGW008047	DOUGHERTY, JANE	HIGH YIELD A	B	0.00	0.54	0.00	0.54	45.0 0.24
12/21/2017	QGW008047	DOUGHERTY, JANE	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	3.21	0.00	3.21	45.0 1.44
12/21/2017	QGW023798	OLSSON, RITA	CAPITAL APPRECIATION A	B	0.00	0.26	0.00	0.26	45.0 0.12
12/15/2017	QGW023814	STEFANO, MARGARET	EUROPACIFIC GROWTH FUND-A	B	0.00	0.27	0.00	0.27	45.0 0.12
12/15/2017	QGW023814	STEFANO, MARGARET	THE GROWTH FUND OF AMERICA-A	B	0.00	1.21	0.00	1.21	45.0 0.54
12/11/2017	QGW023848	BYRNE, GERARD	MFS INTERNTL DIVERSIFICATION FUND-A	B	0.00	1.34	0.00	1.34	45.0 0.60
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$48.63	\$0.00	\$48.63		\$21.87
*** BROKER TRANSACTIONS TOTAL ***				\$0.00	\$48.63	\$0.00	\$48.63		\$21.87

ADJUSTMENTS

12/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$21.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.06
SIPC Assessment	\$-0.12

Check Amount	\$1.69
Year-to-date Earnings	\$778.59