

COMMISSION STATEMENT

April 03, 2017

LOUIS CIAVARELLA
21 MILITIA HILL DRIVE

BROKER #:
BRANCH: HOME OFFICE

CHESTERBROOK, PA 19087

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

03/24/2017 75501737	HENDRIX, GREG	FIDELITY ADVISOR CHINA REGION FD IN B		2,000.00	0.00	0.00	0.00	50.0	0.00
03/24/2017 75501737	HENDRIX, GREG	FIDELITY ADVISOR EQUITY GROWTH FUND B		2,000.00	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502018	FEHER, FRANK	EATON VANCE INCOME FD BOSTON INC IN S		4,226.98	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502018	FEHER, FRANK	FIDELITY ADVISOR FINANCIAL SERVICES S		10,084.30	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502018	FEHER, FRANK	FIDELITY ADVISOR INDUSTRIALS CL I S		2,578.95	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502018	FEHER, FRANK	FIDELITY ADVISOR MATERIALS FUND CL S		2,584.26	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502019	FEHER, SUSAN	EATON VANCE INCOME FD BOSTON INC IN S		4,226.98	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502019	FEHER, SUSAN	FIDELITY ADV STOCK SELECTOR MID CAP S		4,041.88	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502019	FEHER, SUSAN	FIDELITY ADVISOR INDUSTRIALS CL I S		1,988.96	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502019	FEHER, SUSAN	FIDELITY ADVISOR MID CAP VALUE FD C S		2,084.16	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502019	FEHER, SUSAN	FIDELITY ADVISOR STOCK SELECTOR SMA S		3,649.42	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502019	FEHER, SUSAN	MFS SER TR IX MID CAP VALUE FD CL I S		2,197.22	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502019	FEHER, SUSAN	PACIFIC FDS FLTG RATE INCOME FD ADV S		3,978.03	0.00	0.00	0.00	50.0	0.00
03/08/2017 75502020	FEHER, FRANK	EATON VANCE PENNSYLVANIA MUNICIPAL S		5,250.67	0.00	0.00	0.00	50.0	0.00
03/08/2017 75502020	FEHER, FRANK	FIDELITY ADV STRATEGIC DIV & INCOME S		4,093.68	0.00	0.00	0.00	50.0	0.00
03/08/2017 75502020	FEHER, FRANK	MFS TOTAL RETURN FUND-C	S	6,178.76	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$61,164.25	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

03/24/2017 75501700	DALPORTO, ANN	AMAZON.COM INC	S	1,698.09	55.72	0.00	55.72	50.0	27.86
03/24/2017 75501700	DALPORTO, ANN	APPLE INC	S	2,688.12	74.42	0.00	74.42	50.0	37.21
03/24/2017 75501700	DALPORTO, ANN	EATON VANCE TAX ADVANTAGED DIVIDEND S		3,067.69	107.93	0.00	107.93	50.0	53.97
03/24/2017 75501700	DALPORTO, ANN	KRAFT HEINZ COMPANY (THE) COMMON ST S		1,650.24	54.76	0.00	54.76	50.0	27.38
03/24/2017 75501700	DALPORTO, ANN	MONDELEZ INTERNATIONAL INC COM	S	2,375.47	69.32	0.00	69.32	50.0	34.66
03/24/2017 75501700	DALPORTO, ANN	NETFLIX COM INC	S	3,992.80	92.56	0.00	92.56	50.0	46.28
03/03/2017 75501737	HENDRIX, GREG	COTY INC COM CL A	S	7,095.26	0.00	0.00	0.00	50.0	0.00
03/03/2017 75501740	GIOMMI MCDOWELL,	COTY INC COM CL A	S	7,106.84	0.00	0.00	0.00	50.0	0.00
03/09/2017 75501742	CIAVARELLA, LOUIS	SILICON MOTION TECHNOLOGY CORPORATI B		2,210.75	0.00	0.00	0.00	50.0	0.00
03/15/2017 75501742	CIAVARELLA, LOUIS	NEW YORK REIT INC COM	B	2,905.98	0.00	0.00	0.00	50.0	0.00
03/15/2017 75501742	CIAVARELLA, LOUIS	PIMCO GLOBAL STOCKPLUS & INCOME FUN S		3,016.98	0.00	0.00	0.00	50.0	0.00
03/03/2017 75501752	CIAVARELLA, JAMES	COTY INC COM CL A	S	2,502.60	0.00	0.00	0.00	50.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
03/22/2017	75501767	HALL, JAMES		CITIGROUP INC COM	B	11,379.77	210.00	0.00	210.00	50.0	105.00
03/22/2017	75501767	HALL, JAMES		SELECT SECTOR SPDR TRUST THE HEALTH S		11,552.93	15.00	0.00	15.00	50.0	0.00
03/22/2017	75501768	HALL, JAMES		JOHNSON & JOHNSON	S	7,615.20	15.00	0.00	15.00	50.0	0.00
03/06/2017	75502018	FEHER, FRANK		ALLIANZGI CONV & INCOME FD II COM	S	3,100.05	0.00	0.00	0.00	50.0	0.00
03/06/2017	75502018	FEHER, FRANK		GAMCO GLOBAL GOLD NATURAL RESOURCES S		2,118.81	0.00	0.00	0.00	50.0	0.00
03/06/2017	75502018	FEHER, FRANK		GENERAL ELECTRIC CO	S	2,995.34	0.00	0.00	0.00	50.0	0.00
03/06/2017	75502018	FEHER, FRANK		ISHARES RUSSELL 2000 ETF	S	2,193.80	0.00	0.00	0.00	50.0	0.00
03/06/2017	75502018	FEHER, FRANK		ISHARES S&P SMALL CAP 600 VALUE ETF S		2,221.92	0.00	0.00	0.00	50.0	0.00
03/06/2017	75502018	FEHER, FRANK		ISHARES S&P SMALL CAP 600 GROWTH ET S		2,282.70	0.00	0.00	0.00	50.0	0.00
03/06/2017	75502018	FEHER, FRANK		ISHARES S&P MID CAP 400 VALUE ETF	S	2,239.24	0.00	0.00	0.00	50.0	0.00
03/06/2017	75502018	FEHER, FRANK		OPKO HEALTH INC	S	2,242.02	0.00	0.00	0.00	50.0	0.00
03/06/2017	75502018	FEHER, FRANK		SPDR SERIES TRUST SPDR BLOOMBERG BA S		502.73	0.00	0.00	0.00	50.0	0.00
03/06/2017	75502018	FEHER, FRANK		STANDARD & POORS MIDCAP 400 SPDR S& S		2,821.70	0.00	0.00	0.00	50.0	0.00
03/06/2017	75502019	FEHER, SUSAN		AT&T INC	S	4,180.01	0.00	0.00	0.00	50.0	0.00
03/06/2017	75502019	FEHER, SUSAN		GAMCO GLOBAL GOLD NATURAL RESOURCES S		1,651.02	0.00	0.00	0.00	50.0	0.00
03/06/2017	75502019	FEHER, SUSAN		ISHARES RUSSELL 2000 ETF	S	3,016.92	0.00	0.00	0.00	50.0	0.00
				* STOCKS SUBTOTAL *		\$102,424.98	\$694.71	\$0.00	\$694.71		\$332.36
PRODUCT CATEGORY: RIA											
03/23/2017	75502018	FEHER, FRANK		FAS FEES	B	0.00	-13.51	0.00	-13.51	50.0	0.00
03/23/2017	75502019	FEHER, SUSAN		FAS FEES	B	0.00	-9.36	0.00	-9.36	50.0	0.00
				* RIA SUBTOTAL *		\$0.00	\$-22.87	\$0.00	\$-22.87		\$0.00
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS											
03/08/2017	75502018	FEHER, FRANK		UIT FIRST TRUST ELECTION PORT SER 2 S		1,509.81	0.00	0.00	0.00	50.0	0.00
03/08/2017	75502019	FEHER, SUSAN		UIT FIRST TRUST ELECTION PORT SER 2 S		1,509.81	0.00	0.00	0.00	50.0	0.00
				* UNIT INVESTMENT TRUSTS SUBTOTAL *		\$3,019.62	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
03/10/2017	75501549	ALEXANDER, RUTH		**VIRTUS REAL ESTATE SEC FDS	B	0.00	1.23	0.00	1.23	50.0	0.62
03/10/2017	75501549	ALEXANDER, RUTH		**VIRTUS STRATEGIC GROWTH FUND	B	0.00	1.28	0.00	1.28	50.0	0.64
03/10/2017	75501549	ALEXANDER, RUTH		OPPENHEIMER ROCHEST PENNSYLVANIA MU B		0.00	1.63	0.00	1.63	50.0	0.82
03/10/2017	75501549	ALEXANDER, RUTH		ROCHESTER NATIONAL MUNICIPALS A	B	0.00	2.65	0.00	2.65	50.0	1.33
03/10/2017	75501665	PILKO, THOMAS		FEDERATED EQUITY FDS PRUDENT BEAR F B		0.00	8.30	0.00	8.30	50.0	4.15
03/10/2017	75501665	PILKO, THOMAS		SENTINEL GROUP FDS INC SHORT DURATI B		0.00	13.66	0.00	13.66	50.0	6.83
03/10/2017	75501666	CARR, CHARLES		FIDELITY ADVISOR MID CAP II FUND CL B		0.00	0.51	0.00	0.51	50.0	0.00
03/10/2017	75501666	CARR, CHARLES		FIDELITY INVT TR ADVISOR LATIN AMER B		0.00	0.64	0.00	0.64	50.0	0.00
03/10/2017	75501670	CARR, MARGARET		MFS PENNSYLVANIA MUNICIPAL BOND FUN B		0.00	0.71	0.00	0.71	50.0	0.00
03/10/2017	75501671	CARR, CHARLES		FIDELITY ADVISOR MUNICIPAL INCOME F B		0.00	1.18	0.00	1.18	50.0	0.59
03/10/2017	75501671	CARR, CHARLES		FIDELITY ADVISOR ENERGY CL A	B	0.00	2.17	0.00	2.17	50.0	1.09
03/10/2017	75501671	CARR, CHARLES		FIDELITY ADVISOR SMALL CAP GROWTH F B		0.00	2.97	0.00	2.97	50.0	1.49

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/10/2017	75501671	CARR, CHARLES	FIDELITY FINL TR ADVISOR CONV SECS B	0.00	1.88	0.00	1.88	50.0	0.94
03/10/2017	75501671	CARR, CHARLES	FIDELITY SECS FD REAL ESTATE INCOME B	0.00	1.12	0.00	1.12	50.0	0.56
03/10/2017	75501673	CARR, MARGARET	FIDELITY ADVISOR LARGE CAP STOCK CL B	0.00	0.65	0.00	0.65	50.0	0.00
03/10/2017	75501673	CARR, MARGARET	FIDELITY ADVISOR SMALL CAP GROWTH F B	0.00	0.36	0.00	0.36	50.0	0.00
03/10/2017	75501673	CARR, MARGARET	FIDELITY ADVISOR GOLD FUND CL A B	0.00	0.45	0.00	0.45	50.0	0.00
03/10/2017	75501674	CARR, MARGARET	**FIDELITYADVISOR SER VII B	0.00	2.93	0.00	2.93	50.0	1.47
03/10/2017	75501674	CARR, MARGARET	BLACKROCK BALANCED CAP FD CL A B	0.00	1.21	0.00	1.21	50.0	0.61
03/10/2017	75501674	CARR, MARGARET	EATON VANCE INCOME FUND OF BOSTON C B	0.00	5.78	0.00	5.78	50.0	2.89
03/10/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR LARGE CAP FUND-CL B	0.00	4.67	0.00	4.67	50.0	2.34
03/10/2017	75501674	CARR, MARGARET	FIDELITY ADV MID CAP II - CL T B	0.00	4.38	0.00	4.38	50.0	2.19
03/10/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR SER VII FA SEMICON B	0.00	1.62	0.00	1.62	50.0	0.81
03/10/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR UTILITIES CL T B	0.00	0.62	0.00	0.62	50.0	0.00
03/10/2017	75501674	CARR, MARGARET	FIDELITY ADV TECHNOLOGY CL T B	0.00	3.68	0.00	3.68	50.0	1.84
03/10/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR INDUSTRIALS CL T B	0.00	1.00	0.00	1.00	50.0	0.50
03/10/2017	75501674	CARR, MARGARET	FIDELITY FINL TR STRATEGIC DIVID & B	0.00	12.13	0.00	12.13	50.0	6.07
03/10/2017	75501675	BONNES, CHELSEA	**VIRTUS EQUITY TREND FUND B	0.00	0.38	0.00	0.38	50.0	0.00
03/10/2017	75501675	BONNES, CHELSEA	BLACKROCK MULTI ASSET INCOME PORTFO B	0.00	1.49	0.00	1.49	50.0	0.75
03/10/2017	75501675	BONNES, CHELSEA	FIDELITY ADVISOR GROWTH & INCOME FU B	0.00	1.99	0.00	1.99	50.0	1.00
03/10/2017	75501675	BONNES, CHELSEA	FIDELITY ADVISOR FUNDS 316071505 B	0.00	2.40	0.00	2.40	50.0	1.20
03/10/2017	75501675	BONNES, CHELSEA	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	0.57	0.00	0.57	50.0	0.00
03/10/2017	75501675	BONNES, CHELSEA	FRANKLIN INCOME FUND CLASS C B	0.00	0.66	0.00	0.66	50.0	0.00
03/10/2017	75501676	REBER, WINCHELL	FIDELITY ADV STRATEGIC INCOME CL C B	0.00	1.00	0.00	1.00	50.0	0.50
03/10/2017	75501676	REBER, WINCHELL	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	0.88	0.00	0.88	50.0	0.00
03/10/2017	75501676	REBER, WINCHELL	FIDELITY ADVISOR CONSUMER STAPLES F B	0.00	0.80	0.00	0.80	50.0	0.00
03/10/2017	75501676	REBER, WINCHELL	FIDELITY SECS FD REAL ESTATE INCOME B	0.00	0.96	0.00	0.96	50.0	0.00
03/10/2017	75501676	REBER, WINCHELL	FRANKLIN INCOME FUND CLASS C B	0.00	1.23	0.00	1.23	50.0	0.62
03/10/2017	75501676	REBER, WINCHELL	TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.84	0.00	0.84	50.0	0.00
03/10/2017	75501677	BONNES, MATTHEW	FIDELITY ADVISOR FUNDS 315918367 B	0.00	1.15	0.00	1.15	50.0	0.58
03/10/2017	75501677	BONNES, MATTHEW	FIDELITY ADVISOR FUNDS 316071505 B	0.00	4.21	0.00	4.21	50.0	2.11
03/10/2017	75501677	BONNES, MATTHEW	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	2.13	0.00	2.13	50.0	1.07
03/10/2017	75501679	SOIGNOLI, ANTHONY	DELAWARE GROUP TAX FREE USA FUND-CL B	0.00	11.88	0.00	11.88	50.0	5.94
03/10/2017	75501679	SOIGNOLI, ANTHONY	FEDERATED STRATEGIC INCOME FUND B	0.00	0.83	0.00	0.83	50.0	0.00
03/10/2017	75501679	SOIGNOLI, ANTHONY	FIDELITY ADVISOR MUNICIPAL INCOME F B	0.00	5.68	0.00	5.68	50.0	2.84
03/10/2017	75501679	SOIGNOLI, ANTHONY	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	7.06	0.00	7.06	50.0	3.53
03/10/2017	75501679	SOIGNOLI, ANTHONY	FRANKLIN INCOME FUND CLASS C B	0.00	1.86	0.00	1.86	50.0	0.93
03/10/2017	75501679	SOIGNOLI, ANTHONY	WESTERN ASSET SHORT DURATION MUNICI B	0.00	1.19	0.00	1.19	50.0	0.60
03/10/2017	75501680	REBER, WINCHELL	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	1.10	0.00	1.10	50.0	0.55
03/10/2017	75501680	REBER, WINCHELL	FRANKLIN INCOME FUND CLASS C B	0.00	0.74	0.00	0.74	50.0	0.00
03/10/2017	75501680	REBER, WINCHELL	TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.29	0.00	0.29	50.0	0.00
03/10/2017	75501681	SOIGNOLI, ANTHONY	CAPITAL WORLD GROWTH & INCOME FUND B	0.00	1.20	0.00	1.20	50.0	0.60

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/10/2017	75501681	SOIGNOLI, ANTHONY		COLUMBIA GLOBAL STRATEGIC EQUITY FU B	0.00	1.42	0.00	1.42	50.0	0.71
03/10/2017	75501681	SOIGNOLI, ANTHONY		FEDERATED STRATEGIC INCOME FUND B	0.00	3.32	0.00	3.32	50.0	1.66
03/10/2017	75501681	SOIGNOLI, ANTHONY		FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	12.27	0.00	12.27	50.0	6.14
03/10/2017	75501681	SOIGNOLI, ANTHONY		FIDELITY ADVISOR MATERIALS FUND CL B	0.00	4.14	0.00	4.14	50.0	2.07
03/10/2017	75501681	SOIGNOLI, ANTHONY		FRANKLIN INCOME FUND CLASS C B	0.00	3.09	0.00	3.09	50.0	1.55
03/10/2017	75501681	SOIGNOLI, ANTHONY		FRANKLIN TEMPLETON FUND REAL ESTATE B	0.00	3.21	0.00	3.21	50.0	1.61
03/10/2017	75501681	SOIGNOLI, ANTHONY		SENTINEL GROUP FDS INC SHORT DURATI B	0.00	6.81	0.00	6.81	50.0	3.41
03/10/2017	75501682	REBER, WINCHELL		**CLEARBRIDGE APPRECIATION B	0.00	4.46	0.00	4.46	50.0	2.23
03/10/2017	75501682	REBER, WINCHELL		**CLEARBRIDGE LARGE CAP GROWTH B	0.00	3.96	0.00	3.96	50.0	1.98
03/10/2017	75501682	REBER, WINCHELL		FIDELITY SECS FD REAL ESTATE INCOME B	0.00	6.38	0.00	6.38	50.0	3.19
03/10/2017	75501682	REBER, WINCHELL		PUTNAM 746792100 B	0.00	0.67	0.00	0.67	50.0	0.00
03/10/2017	75501682	REBER, WINCHELL		WESTERN ASSET SHORT DURATION MUNICI B	0.00	4.23	0.00	4.23	50.0	2.12
03/10/2017	75501683	GROSSO, MARK		IVY MID CAP GROWTH FUND CLASS A B	0.00	1.42	0.00	1.42	50.0	0.71
03/10/2017	75501684	SOIGNOLI, LINDA		COLUMBIA GLOBAL STRATEGIC EQUITY FU B	0.00	2.38	0.00	2.38	50.0	1.19
03/10/2017	75501684	SOIGNOLI, LINDA		FIDELITY ADVISOR LIMITED TERM BOND B	0.00	1.14	0.00	1.14	50.0	0.57
03/10/2017	75501684	SOIGNOLI, LINDA		FIDELITY ADVISOR ELECTRONICS FUND C B	0.00	2.14	0.00	2.14	50.0	1.07
03/10/2017	75501684	SOIGNOLI, LINDA		FIDELITY ADV TECHNOLOGY CL A B	0.00	2.07	0.00	2.07	50.0	1.04
03/10/2017	75501684	SOIGNOLI, LINDA		FIDELITY ADVISOR INDUSTRIALS CL A B	0.00	0.97	0.00	0.97	50.0	0.00
03/10/2017	75501684	SOIGNOLI, LINDA		FIDELITY ADV NEW INSIGHTS-CL A B	0.00	0.80	0.00	0.80	50.0	0.00
03/10/2017	75501684	SOIGNOLI, LINDA		FIDELITY ADVISOR FUNDS 316145408 B	0.00	1.81	0.00	1.81	50.0	0.91
03/10/2017	75501684	SOIGNOLI, LINDA		FIDELITY ADVISOR MATERIALS FUND CL B	0.00	0.96	0.00	0.96	50.0	0.00
03/10/2017	75501684	SOIGNOLI, LINDA		FIDELITY ADVISOR CONSUMER STAPLES F B	0.00	2.21	0.00	2.21	50.0	1.11
03/10/2017	75501685	REBER, CAROLYN		FIDELITY ADV STRATEGIC INCOME CL C B	0.00	0.83	0.00	0.83	50.0	0.00
03/10/2017	75501685	REBER, CAROLYN		FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	0.88	0.00	0.88	50.0	0.00
03/10/2017	75501685	REBER, CAROLYN		FIDELITY ADVISOR EMERGING MARKETS I B	0.00	0.84	0.00	0.84	50.0	0.00
03/10/2017	75501685	REBER, CAROLYN		FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	1.07	0.00	1.07	50.0	0.54
03/10/2017	75501685	REBER, CAROLYN		FRANKLIN INCOME FUND CLASS C B	0.00	1.23	0.00	1.23	50.0	0.62
03/10/2017	75501685	REBER, CAROLYN		TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.53	0.00	0.53	50.0	0.00
03/10/2017	75501686	REBER, CAROLYN		FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	1.50	0.00	1.50	50.0	0.75
03/10/2017	75501686	REBER, CAROLYN		FRANKLIN INCOME FUND CLASS C B	0.00	0.86	0.00	0.86	50.0	0.00
03/10/2017	75501686	REBER, CAROLYN		TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.39	0.00	0.39	50.0	0.00
03/10/2017	75501687	DALEY, BARBARA		FIDELITY ADVISOR FUNDS 315918367 B	0.00	0.81	0.00	0.81	50.0	0.00
03/10/2017	75501687	DALEY, BARBARA		FIDELITY ADVISOR FUNDS 315918474 B	0.00	0.51	0.00	0.51	50.0	0.00
03/10/2017	75501687	DALEY, BARBARA		FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	0.60	0.00	0.60	50.0	0.00
03/10/2017	75501687	DALEY, BARBARA		FIDELITY ADVISOR FUNDS 316071505 B	0.00	0.05	0.00	0.05	50.0	0.00
03/10/2017	75501687	DALEY, BARBARA		FRANKLIN INCOME FUND CLASS C B	0.00	0.64	0.00	0.64	50.0	0.00
03/10/2017	75501688	BERRY, THOMAS		FIDELITY ADVISOR TELECOMMUNICATIONS B	0.00	0.86	0.00	0.86	50.0	0.00
03/10/2017	75501689	BERRY, THOMAS		**GAMCO GROWTH FD INC B	0.00	1.50	0.00	1.50	50.0	0.75
03/10/2017	75501689	BERRY, THOMAS		IVY ASSET STRATEGY FUND CLASS A B	0.00	0.92	0.00	0.92	50.0	0.00
03/10/2017	75501690	EBERSOLE, DWAYNE		EATON VANCE MUT FDS TR FLTG RATE AD B	0.00	0.68	0.00	0.68	50.0	0.00

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/10/2017	75501691	BARGER, WILLIAM	**PRUDENTIAL HIGH YIELD FUND	B	0.00	2.37	0.00	2.37	50.0	1.19
03/10/2017	75501691	BARGER, WILLIAM	**VIRTUS OPPORTUNITIES TR	B	0.00	2.45	0.00	2.45	50.0	1.23
03/10/2017	75501691	BARGER, WILLIAM	BLACKROCK GLOBAL ALLOCATION FD INC	B	0.00	8.46	0.00	8.46	50.0	4.23
03/10/2017	75501691	BARGER, WILLIAM	EATON VANCE INCOME FUND BOSTON INC	B	0.00	2.46	0.00	2.46	50.0	1.23
03/10/2017	75501691	BARGER, WILLIAM	FIDELITY ADVISOR EMERGING MARKETS I	B	0.00	3.85	0.00	3.85	50.0	1.93
03/10/2017	75501691	BARGER, WILLIAM	LOOMIS SAYLES STRTEGC INC FD CL C	B	0.00	2.24	0.00	2.24	50.0	1.12
03/10/2017	75501691	BARGER, WILLIAM	THE NEW ECONOMY FUND-C	B	0.00	7.48	0.00	7.48	50.0	3.74
03/10/2017	75501691	BARGER, WILLIAM	WELLS FARGO FDS HIGH YIELD BD FD CL	B	0.00	1.52	0.00	1.52	50.0	0.76
03/10/2017	75501692	WARD, MARY	JOHN HANCOCK CLASSIC VALUE FUND CLA	B	0.00	1.83	0.00	1.83	50.0	0.92
03/10/2017	75501693	KRAUS, MICHELLE	**GABELLI SMALL CAP GROWTH	B	0.00	0.02	0.00	0.02	50.0	0.00
03/10/2017	75501693	KRAUS, MICHELLE	**GABELLI UTILITIES FUND	B	0.00	0.35	0.00	0.35	50.0	0.00
03/10/2017	75501693	KRAUS, MICHELLE	GABELLI VALUE 25 FD INC	B	0.00	0.02	0.00	0.02	50.0	0.00
03/10/2017	75501693	KRAUS, MICHELLE	GAMCO GOLD FD	B	0.00	0.01	0.00	0.01	50.0	0.00
03/10/2017	75501694	WARD, MARY	TAX-FREE BOND A	B	0.00	11.43	0.00	11.43	50.0	5.72
03/20/2017	75501695	WARD, EDMOND	TOCQUEVILLE GOLD FUND	B	0.00	1.23	0.00	1.23	50.0	0.62
03/10/2017	75501697	WARD, EDMOND	AMERICAN MUTUAL FUND-A	B	0.00	20.34	0.00	20.34	50.0	10.17
03/10/2017	75501698	GROSSO, BETH ANN	EATON VANCE INCOME FUND OF BOSTON C	B	0.00	0.28	0.00	0.28	50.0	0.00
03/10/2017	75501698	GROSSO, BETH ANN	FIDELITY ADVISOR LARGE CAP STOCK CL	B	0.00	0.32	0.00	0.32	50.0	0.00
03/10/2017	75501698	GROSSO, BETH ANN	IVY MID CAP GROWTH FUND CLASS A	B	0.00	0.97	0.00	0.97	50.0	0.00
03/10/2017	75501698	GROSSO, BETH ANN	NEW PERSPECTIVE FUND-A	B	0.00	1.04	0.00	1.04	50.0	0.52
03/10/2017	75501698	GROSSO, BETH ANN	THE NEW ECONOMY FUND-A	B	0.00	1.09	0.00	1.09	50.0	0.55
03/10/2017	75501699	WARD, EDMOND	AMERICAN MUTUAL FUND-C	B	0.00	11.00	0.00	11.00	50.0	5.50
03/10/2017	75501699	WARD, EDMOND	EATON VANCE PENNSYLVANIA MUNICIPAL	B	0.00	45.13	0.00	45.13	50.0	22.57
03/10/2017	75501699	WARD, EDMOND	FIDELITY ADVISOR FUNDS 315920850	B	0.00	1.10	0.00	1.10	50.0	0.55
03/10/2017	75501699	WARD, EDMOND	FIDELITY ADVISOR FUNDS 316145408	B	0.00	1.49	0.00	1.49	50.0	0.75
03/10/2017	75501701	RICKERT, RUSSELL	BLACKROCK GLOBAL ALLOCATION FD INC	B	0.00	1.83	0.00	1.83	50.0	0.92
03/10/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV SMALL CAP CL T	B	0.00	2.06	0.00	2.06	50.0	1.03
03/10/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV GROWTH & INCOME CL T	B	0.00	0.13	0.00	0.13	50.0	0.00
03/10/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	2.38	0.00	2.38	50.0	1.19
03/10/2017	75501701	RICKERT, RUSSELL	FIDELITY INVT TR ADVISOR CANADA FD	B	0.00	1.51	0.00	1.51	50.0	0.76
03/10/2017	75501738	MCDOWELL, CARL	**GABELLI UTILITIES FUND	B	0.00	0.83	0.00	0.83	50.0	0.00
03/10/2017	75501738	MCDOWELL, CARL	FIDELITY ADVISOR (FOCUS) HEALTH CAR	B	0.00	0.42	0.00	0.42	50.0	0.00
03/10/2017	75501741	GIOMMI MCDOWELL,	NEW PERSPECTIVE FUND INC CL F1	B	0.00	0.72	0.00	0.72	50.0	0.00
03/10/2017	75501743	CIAVARELLA, LOUIS	FIDELITY ADVISOR FOCUS FUNDS FINANC	B	0.00	0.09	0.00	0.09	50.0	0.00
03/10/2017	75501743	CIAVARELLA, LOUIS	FIDELITY CAP TR ADV STOCK SELECTOR	B	0.00	0.12	0.00	0.12	50.0	0.00
03/10/2017	75501745	CIAVARELLA, LOUIS	FIDELITY ADV LEVERAGED CO STOCK CL	B	0.00	0.16	0.00	0.16	50.0	0.00
03/10/2017	75501745	CIAVARELLA, LOUIS	FIDELITY ADVISOR MATERIALS FUND CL	B	0.00	0.16	0.00	0.16	50.0	0.00
03/10/2017	75501745	CIAVARELLA, LOUIS	FIDELITY ADVISOR GOLD FUND CL A	B	0.00	0.10	0.00	0.10	50.0	0.00
03/10/2017	75501745	CIAVARELLA, LOUIS	FRANKLIN DYNATECH FUND CLASS A	B	0.00	0.22	0.00	0.22	50.0	0.00
03/10/2017	75501746	CIAVARELLA, LOUIS	**VIRTUS ENHANCED CORE EQUITY	B	0.00	0.20	0.00	0.20	50.0	0.00

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/10/2017	75501746	CIAVARELLA, LOUIS	**VIRTUS OPPORTUNITIES TR	B	0.00	0.01	0.00	0.01	50.0	0.00
03/10/2017	75501746	CIAVARELLA, LOUIS	**VIRTUS STRATEGIC GROWTH FUND	B	0.00	0.01	0.00	0.01	50.0	0.00
03/10/2017	75501746	CIAVARELLA, LOUIS	BLACKROCK HEALTH SCIENCES FUND CLAS B	B	0.00	4.84	0.00	4.84	50.0	2.42
03/10/2017	75501746	CIAVARELLA, LOUIS	COLUMBIA CONTRARIAN CORE FD CL A	B	0.00	3.74	0.00	3.74	50.0	1.87
03/10/2017	75501746	CIAVARELLA, LOUIS	DREYFUS PREMIER LARGE CAP GROWTH FU B	B	0.00	1.90	0.00	1.90	50.0	0.95
03/10/2017	75501746	CIAVARELLA, LOUIS	EATON VANCE LARGE CAP VALUE FUND CL B	B	0.00	1.83	0.00	1.83	50.0	0.92
03/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR LARGE CAP STOCK CL B	B	0.00	1.98	0.00	1.98	50.0	0.99
03/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR MID CAP STOCK CL A B	B	0.00	2.01	0.00	2.01	50.0	1.01
03/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR FUNDS 315807834	B	0.00	0.63	0.00	0.63	50.0	0.00
03/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR REAL ESTATE FUND C B	B	0.00	0.01	0.00	0.01	50.0	0.00
03/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADV TECHNOLOGY CL A	B	0.00	0.50	0.00	0.50	50.0	0.00
03/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR FOCUS FUNDS FINANC B	B	0.00	1.97	0.00	1.97	50.0	0.99
03/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR INDUSTRIALS CL A	B	0.00	1.97	0.00	1.97	50.0	0.99
03/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR SMALL CAP VALUE FD B	B	0.00	1.92	0.00	1.92	50.0	0.96
03/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY CAP TR ADV STOCK SELECTOR B	B	0.00	1.95	0.00	1.95	50.0	0.98
03/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY DEVONSHIRE TR ADVISOR MID B	B	0.00	1.97	0.00	1.97	50.0	0.99
03/10/2017	75501746	CIAVARELLA, LOUIS	FRK SM CAP GRWTH FND II - CL A	B	0.00	0.75	0.00	0.75	50.0	0.00
03/10/2017	75501746	CIAVARELLA, LOUIS	JANUS INVT FD TRITON FD CL A	B	0.00	0.20	0.00	0.20	50.0	0.00
03/10/2017	75501746	CIAVARELLA, LOUIS	THORNBURG GLOBAL OPPORTUNITIES FUND B	B	0.00	1.89	0.00	1.89	50.0	0.95
03/10/2017	75501746	CIAVARELLA, LOUIS	VIRTUS QUALITY SMALL CAP	B	0.00	0.21	0.00	0.21	50.0	0.00
03/10/2017	75501756	MANFREDI, MICHAEL	**CLEARBRIDGE AGGRESSIVE	B	0.00	0.52	0.00	0.52	50.0	0.00
03/10/2017	75501756	MANFREDI, MICHAEL	**CLEARBRIDGE DIVIDEND	B	0.00	1.03	0.00	1.03	50.0	0.52
03/10/2017	75501757	BERGEN, MIMI	**GABELLI UTILS FD	B	0.00	0.25	0.00	0.25	50.0	0.00
03/10/2017	75501757	BERGEN, MIMI	FEDERATED STRATEGIC INCOME FUND CLA B	B	0.00	0.61	0.00	0.61	50.0	0.00
03/10/2017	75501758	BERGEN, MIMI	BLACKROCK EQUITY DIVIDEND FUND CL A B	B	0.00	0.41	0.00	0.41	50.0	0.00
03/10/2017	75501758	BERGEN, MIMI	BLACKROCK STRATEGIC INCOME OPPORTUN B	B	0.00	0.70	0.00	0.70	50.0	0.00
03/10/2017	75501758	BERGEN, MIMI	BLK 091928846	B	0.00	0.34	0.00	0.34	50.0	0.00
03/10/2017	75501760	BERGEN, MIMI	FEDERATED STRATEGIC INCOME FUND CLA B	B	0.00	2.72	0.00	2.72	50.0	1.36
03/10/2017	75501764	HALL, JOAN	**GABELLI UTILITIES FUND	B	0.00	1.72	0.00	1.72	50.0	0.86
03/10/2017	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918367	B	0.00	4.54	0.00	4.54	50.0	2.27
03/10/2017	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918524	B	0.00	7.21	0.00	7.21	50.0	3.61
03/10/2017	75501764	HALL, JOAN	FIDELITY ADV STRATEGIC DIV & INCOME B	B	0.00	4.63	0.00	4.63	50.0	2.32
03/10/2017	75501976	WARD, BRANDON	**HENDERSON INTERNATIONAL	B	0.00	7.13	0.00	7.13	50.0	3.57
03/10/2017	75501976	WARD, BRANDON	EATON VANCE GLOBAL MACRO ABSOLUTE R B	B	0.00	11.59	0.00	11.59	50.0	5.80
03/10/2017	75501976	WARD, BRANDON	OPPENHEIMERFUNDS 68380E601	B	0.00	3.74	0.00	3.74	50.0	1.87
03/10/2017	75501976	WARD, BRANDON	OPPENHEIMER GOLD & SPECIAL MINERALS B	B	0.00	19.34	0.00	19.34	50.0	9.67
03/10/2017	75502020	FEHER, FRANK	FIDELITY ADV STRATEGIC DIV & INCOME B	B	0.00	0.10	0.00	0.10	50.0	0.00
03/10/2017	75502020	FEHER, FRANK	MFS TOTAL RETURN FUND-C	B	0.00	0.10	0.00	0.10	50.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$452.87	\$0.00	\$452.87		\$209.60

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

*** BROKER TRANSACTIONS TOTAL ***	\$166,608.85	\$1,124.71	\$0.00	\$1,124.71	\$541.96
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BROKER COMMISSION TOTALS

Broker Transactions	\$541.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.41
SIPC Assessment	\$-21.09

Check Amount	\$519.46
Year-to-date Earnings	\$1,290.21