



All Companies  
**COMMISSION STATEMENT**  
**August 01, 2018**

07/30/2018  
 Page No. 1

**RICHARD E. FENGLER**  
 513 HARBOR LANE

**BROKER #: 2990**  
 BRANCH: HOME OFFICE

Hickory Creek, TX 75065-7546

COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
06/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	1,532.79	1,532.79	0.00	1,532.79	85.0	1,302.87
06/30/2018	TRAILS	-PRINCIPAL FUNDS	B	250.05	250.05	0.00	250.05	85.0	212.54
06/29/2018	TRAILS	-VOYA	B	725.78	725.78	0.00	725.78	85.0	616.91
07/13/2018	DILL, SYLVIA	-VOYA	B	500.00	23.75	0.00	23.75	85.0	20.19
07/09/2018	00002404574 BLACKWOOD, PATRICIA J	LIFESTYLE GROWTH PORTFOLIO C	B	-400.00	-4.00	0.00	-4.00	85.0	-3.40
07/09/2018	00002404574 BLACKWOOD, PATRICIA J	LIFESTYLE GROWTH PORTFOLIO C	B	400.00	4.00	0.00	4.00	85.0	3.40
07/13/2018	00002404574 BLACKWOOD, PATRICIA J	LIFESTYLE GROWTH PORTFOLIO C	B	400.00	4.00	0.00	4.00	85.0	3.40
07/02/2018	00689654523 BOYLE, JOHN /MARION	-FIDELITY ADVISOR FUNDS	B	1,500.00	30.00	0.00	30.00	85.0	25.50
07/10/2018	00700914989 EPPERSON, JOANN	-FIDELITY ADVISOR FUNDS	B	100.00	2.50	0.00	2.50	85.0	2.13
07/09/2018	00701154544 DUNFORD, GINA	-FIDELITY ADVISOR FUNDS	B	100.00	5.00	0.00	5.00	85.0	4.25
07/02/2018	00704636216 MICHAEL A SCHOEMEHL, BENE	FA 529 PORT 2025-CL A	B	50.00	1.50	0.00	1.50	85.0	1.28
07/09/2018	01481958690 KLING, MELISSA K.	-FIDELITY ADVISOR FUNDS	B	400.00	4.00	0.00	4.00	85.0	3.40
07/09/2018	01481958690 KLING, MELISSA K.	-FIDELITY ADVISOR FUNDS	B	400.00	4.00	0.00	4.00	85.0	3.40
07/09/2018	01488408731 BEGNOCHE, RACHEL SANTCHI	-FIDELITY ADVISOR FUNDS	B	200.00	10.00	0.00	10.00	85.0	8.50
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$6,158.62</b>	<b>\$2,593.37</b>	<b>\$0.00</b>	<b>\$2,593.37</b>		<b>\$2,204.37</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
07/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	212.29	212.29	0.00	212.29	85.0	180.45
<b>* STOCKS SUBTOTAL *</b>				<b>\$212.29</b>	<b>\$212.29</b>	<b>\$0.00</b>	<b>\$212.29</b>		<b>\$180.45</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
07/06/2018	TRAILS	JOHN HANCOCK	B	9.05	9.05	0.00	9.05	85.0	7.69
07/05/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	956.83	956.83	0.00	956.83	85.0	813.31
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$965.88</b>	<b>\$965.88</b>	<b>\$0.00</b>	<b>\$965.88</b>		<b>\$821.00</b>

COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

07/13/2018	12982384	BEGNOCHE, KELLEN & RACHEL	SIGNAL SECURITIES RIA	B	0.00	15.33	0.00	15.33	85.0	13.03
07/13/2018	48690341	STOCKGLAUSNER, ERIC V	SIGNAL SECURITIES RIA	B	0.00	203.67	0.00	203.67	85.0	173.12
07/13/2018	85905056	WILLIAMS, DIANA R	SIGNAL SECURITIES RIA	B	0.00	498.00	0.00	498.00	85.0	423.30
* RIA SUBTOTAL *					\$0.00	\$717.00	\$0.00	\$717.00		\$609.45

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/01/2018 TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES	0.00	24.64	0.00	24.64	85.0	20.94
08/01/2018 TRAIL COMMISSIONS	JOHN HANCOCK FEES	0.00	333.88	0.00	333.88	85.0	283.80
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$358.52	\$0.00	\$358.52		\$304.74
*** BROKER TRANSACTIONS TOTAL ***		\$7,336.79	\$4,847.06	\$0.00	\$4,847.06		\$4,120.01

ADJUSTMENTS

08/01/2018	E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00	-100.00
08/01/2018	TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$4,120.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$3,992.51
Year-to-date Earnings	\$37,449.70