

**COMMISSION STATEMENT****April 30, 2017**

ALAN GEIER  
56 Dutchess Ave.  
Apt. 1  
Poughkeepsie, NY 12601

BROKER #: G122  
BRANCH: ALAN GEIER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
04/19/2017	KLEIN, NINA	SAWTOOTH	0.00	614.34	0.00	614.34	80.0	491.47
04/19/2017	KLEIN, NINA	SAWTOOTH	0.00	123.51	0.00	123.51	80.0	98.81
04/19/2017	KLEIN, NINA	SAWTOOTH	0.00	1,590.65	0.00	1,590.65	80.0	1,272.52
* RIA SUBTOTAL *			\$0.00	\$2,328.50	\$0.00	\$2,328.50		\$1,862.80
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$2,328.50	\$0.00	\$2,328.50		\$1,862.80
<b><u>ADJUSTMENTS</u></b>								
04/30/2017		*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00					-14.34
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-14.34

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,862.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-14.34
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,848.46
Year-to-date Gross	\$4,420.23
Year-to-date Earnings	\$3,536.18