

All Companies  
**STATEMENT**  
March 20, 2018

DEAN WELLS  
689 W Morgan St  
SUITE 620  
Spencer, IN 47460

BROKER #: 156  
BRANCH:

COMMISSION STATEMENT for DEAN WELLS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
02/04/0018	#62664558602	BLANDFORD, COLLEGE PORTFOLIO CLASS C	200.00	2.00	90.0	0.00	1.80	0.00	1.80
02/04/0018	#62281123602	BLANDFORD, LARGE CAP INDEX PORTFOLIO CLASS A	25.00	1.19	90.0	0.00	1.07	0.00	1.07
02/16/2018	#00214767874	PUTNAM EQUITY INCOME FUND NEW-CL A	25.00	1.25	90.0	0.00	1.13	0.00	1.13
02/16/2018	#00214738435	PUTNAM GROWTH OPPORTUNITIES FUND-CL	25.00	1.25	90.0	0.00	1.13	0.00	1.13
02/20/2018	# YAPP, BERNADETTE	ARTISAN FUNDS INC MID CAP FUND	300.00	0.00	90.0	0.00	0.00	20.00	-20.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$575.00</b>	<b>\$5.69</b>		<b>\$0.00</b>	<b>\$5.13</b>	<b>\$20.00</b>	<b>\$-14.87</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
02/05/0018	#00007718267	BRINSON, BRIGHTHOUSE/METLIFE	11.79	0.18	90.0	0.00	0.16	0.00	0.16
02/05/0018	#00007718268	BRINSON, BRIGHTHOUSE/METLIFE	25.87	0.39	90.0	0.00	0.35	0.00	0.35
02/05/0018	#00007718269	BRINSON, BRIGHTHOUSE/METLIFE	23.40	0.35	90.0	0.00	0.32	0.00	0.32
12/28/2017	#007054852	WELLS, INA BRIGHTHOUSE METLIFE	0.00	1.65	90.0	0.00	1.49	0.00	1.49
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$61.06</b>	<b>\$2.57</b>		<b>\$0.00</b>	<b>\$2.32</b>	<b>\$0.00</b>	<b>\$2.32</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
02/02/2018	#08900012357	MONIX, EDWARD PRINCIPAL FDS INC GLOBAL DIVERSIFIE	0.00	0.33	90.0	0.00	0.30	0.00	0.30
02/02/2018	#08900012357	MONIX, EDWARD PRINCIPAL FDS INC SML MIDCAP DIVIDE	0.00	0.42	90.0	0.00	0.38	0.00	0.38
02/02/2018	#08900039892	WELLS UTMA IN, PRINCIPAL INVS FD INC	0.00	0.13	90.0	0.00	0.12	0.00	0.12
02/02/2018	#08900039893	WELLS UTMA IN, PRINCIPAL INVS FD INC	0.00	0.13	90.0	0.00	0.12	0.00	0.12
02/02/2018	#08900039898	WELLS UTMA IN, PRINCIPAL INVS FD INC	0.00	0.10	90.0	0.00	0.09	0.00	0.09
02/02/2018	#08900012357	MONIX, EDWARD PRINCIPAL INVS FD INC CAPITAL APPRE	0.00	0.43	90.0	0.00	0.39	0.00	0.39
02/02/2018	#08900012357	MONIX, EDWARD PRINCIPAL INVS FD INC EQUITY INCOME	0.00	0.40	90.0	0.00	0.36	0.00	0.36
02/02/2018	#08900012357	MONIX, EDWARD PRINCIPAL INVS FD INC MIDCAP BLEND	0.00	0.44	90.0	0.00	0.40	0.00	0.40
02/02/2018	#08900012357	MONIX, EDWARD PRINCIPAL INVS FD INC REAL ESTATE S	0.00	0.32	90.0	0.00	0.29	0.00	0.29
02/02/2018	#00015232446	FYAN, THOMAS PRINCIPAL INVS FD INC STRTC ASST MG	0.00	2.94	90.0	0.00	2.65	0.00	2.65
02/02/2018	#08900023334	DUNCAN, LACEY PRINCIPAL INVS FD INC STRTC ASST MG	0.00	0.90	90.0	0.00	0.81	0.00	0.81
02/02/2018	#08900044381	BLANDFORD, PRINCIPAL INVS FD INC STRTC ASST MG	0.00	1.55	90.0	0.00	1.40	0.00	1.40
02/02/2018	#08900044535	BLANDFORD, PRINCIPAL INVS FD INC STRTC ASST MG	0.00	1.26	90.0	0.00	1.13	0.00	1.13
02/02/2018	#08900056028	WELLS, MICHELLE PRINCIPAL INVS FD INC STRTIC ASST M	0.00	1.31	90.0	0.00	1.18	0.00	1.18

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<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
02/02/2018	#08900014069 WILLIAMS,	S A M BALANCED PORTFOLIO (C)	0.00	13.96	90.0	0.00	12.56	0.00	12.56
02/02/2018	#08900032969 MCKINZIE, SARAH	S A M CONSERVATIVE GROWTH PORT (C)	0.00	2.91	90.0	0.00	2.62	0.00	2.62
02/02/2018	#08900032968 MCKINZIE,	S A M STRATEGIC GROWTH PORTFOLIO (C	0.00	9.70	90.0	0.00	8.73	0.00	8.73
02/09/2018	# WELLS, INA	DAIN TRAILER	0.00	2.96	90.0	0.00	2.66	0.00	2.66
02/09/2018	# BUELL, ROBERTA	DAIN TRAILER	0.00	3.19	90.0	0.00	2.87	0.00	2.87
02/09/2018	# BUELL, ROBERTA	DAIN TRAILER	0.00	2.34	90.0	0.00	2.11	0.00	2.11
02/09/2018	# LEFFEL, KEVIN	DAIN TRAILER	0.00	15.51	90.0	0.00	13.96	0.00	13.96
02/09/2018	# CRAIG, CHERYL	DAIN TRAILER	0.00	1.65	90.0	0.00	1.49	0.00	1.49
02/09/2018	# YAPP, BERNADETTE	DAIN TRAILER	0.00	0.47	90.0	0.00	0.42	0.00	0.42
02/09/2018	# MCCALLISTER, ROBIN	DAIN TRAILER	0.00	0.66	90.0	0.00	0.59	0.00	0.59
02/09/2018	# MCCALLISTER, ROBIN	DAIN TRAILER	0.00	1.11	90.0	0.00	1.00	0.00	1.00
02/09/2018	# MCCALLISTER, ROBIN	DAIN TRAILER	0.00	0.90	90.0	0.00	0.81	0.00	0.81
02/09/2018	# BUELL, ROBERTA	DAIN TRAILER	0.00	2.39	90.0	0.00	2.15	0.00	2.15
02/16/2018	# CRAIG, CHERYL	DAIN TRAILER	0.00	0.46	90.0	0.00	0.41	0.00	0.41
02/21/2018	# LEFFEL, KEVIN	DAIN TRAILER	0.00	20.83	90.0	0.00	18.75	0.00	18.75
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$89.70</b>		<b>\$0.00</b>	<b>\$80.75</b>	<b>\$0.00</b>	<b>\$80.75</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$636.06</b>	<b>\$97.96</b>		<b>\$0.00</b>	<b>\$88.20</b>	<b>\$20.00</b>	<b>\$68.20</b>

**ADJUSTMENTS**

03/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
03/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
03/20/2018	CIRCLEBLACK: CIRCLEBLACK	-175.00
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-50.00
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-50.00
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-50.00
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$-824.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$68.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-824.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,840.17
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-2,595.97</b>
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