

**COMMISSION STATEMENT****January 31, 2018**JOEL WOODS
1412 Blue Quail RunBROKER #: W143
BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
12/31/2017	SCOGGIN, HELEN	GRIFFIN CAPITAL ESSENTIAL ASSET II	17,299.69	43.60	0.00	43.60	92.0	40.11
12/31/2017	SHERRIEB, HAROLD	GRIFFIN CAPITAL ESSENTIAL ASSET II	71,289.95	179.69	0.00	179.69	92.0	165.31
* REIT SUBTOTAL *			\$88,589.64	\$223.29	\$0.00	\$223.29		\$205.42
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
01/02/2018	TOMLINSON, HAROLD	BLUEROCK TOTAL INC & RE FUND	1,000.00	10.00	0.00	10.00	92.0	9.20
01/19/2018	EUBANKS, MILDRED	BLUEROCK TOTAL INC & RE FUND	20,185.91	17.15	0.00	17.15	92.0	15.78
01/19/2018	OXFORD, RONALD	BR TOTAL INC & REAL ESTATE FUND A	25,241.20	21.44	0.00	21.44	92.0	19.72
01/19/2018	MIRES, LINDA K OXFORD-	BR TOTAL INC & REAL ESTATE FUND A	25,197.19	21.40	0.00	21.40	92.0	19.69
01/19/2018	RECTOR, RICHARD	BR TOTAL INC & REAL ESTATE FUND A	20,143.69	17.11	0.00	17.11	92.0	15.74
01/19/2018	LYBBERT, BLAIR	BR TOTAL INC & REAL ESTATE FUND A	43,092.91	36.60	0.00	36.60	92.0	33.67
01/19/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND A	62,144.70	13.20	0.00	13.20	92.0	12.14
01/19/2018	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	13,759.86	11.68	0.00	11.68	92.0	10.75
01/19/2018	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	16,188.09	13.75	0.00	13.75	92.0	12.65
01/19/2018	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	74,958.38	63.65	0.00	63.65	92.0	58.56
01/19/2018	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	30,121.06	9.89	0.00	9.89	92.0	9.10
01/16/2018	WOLFE, EDWARD	GRIFFIN INST'L ACCESS R E FUND C	10,000.00	100.00	0.00	100.00	92.0	92.00
01/19/2018	MCFADDEN, PHILIP	GRIFFIN INST'L ACCESS R E FUND C	20,000.00	200.00	0.00	200.00	92.0	184.00
* INTERVAL FUNDS SUBTOTAL *			\$362,032.99	\$535.87	\$0.00	\$535.87		\$493.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
01/16/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
01/10/2018	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	90.0	1.92
01/08/2018	GRAVES, BENJAMIN	AMERICAN FUNDS COLLEGE 2033 FUND-52	10.00	0.35	0.00	0.35	90.0	0.32

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
01/16/2018	GRAVES, BENJAMIN	AMERICAN FUNDS COLLEGE 2033 FUND-52	10.00	0.35	0.00	0.35	90.0	0.32
* 529 PLANS SUBTOTAL *			\$270.00	\$12.83	\$0.00	\$12.83		\$11.56
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/05/2018	GORDON, JEFFREY	ALLIANZ	0.00	7.50	0.00	7.50	90.0	6.75
01/05/2018	GORDON, JEFFREY	ALLIANZ	0.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	90.0	2.25
01/03/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	125.00	1.25	0.00	1.25	90.0	1.13
01/03/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
01/03/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	125.00	1.25	0.00	1.25	90.0	1.13
01/03/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
01/03/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	125.00	1.25	0.00	1.25	90.0	1.13
01/03/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
01/03/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
01/03/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
01/03/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
01/03/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
01/03/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
01/03/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
* MUTUAL FUNDS SUBTOTAL *			\$1,000.00	\$22.00	\$0.00	\$22.00		\$19.83
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/16/2018	MCELROY, MARGARET	JNL PERSPECTIVE II 04/14	48,500.00	3,395.00	0.00	3,395.00	90.0	3,055.50
01/22/2018	ZELLERS, JANE	JNL PERSPECTIVE II 04/14	20,000.00	1,400.00	0.00	1,400.00	90.0	1,260.00
* VARIABLE ANNUITIES SUBTOTAL *			\$68,500.00	\$4,795.00	\$0.00	\$4,795.00		\$4,315.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	SOMMERS, MARY	CALAMOS GLOBAL GROWTH & INCOME C	0.00	2.20	0.00	2.20	90.0	1.98
/ /	SOMMERS, MARY	CALAMOS GROWTH C	0.00	29.84	0.00	29.84	90.0	26.86
01/01/2018	TMICO TRUST CO	INVESCO BALANCED RISK ALLOCATION A	0.00	5.95	0.00	5.95	90.0	5.36
01/01/2018	ROGERS, HELEN	INVESCO BALANCED RISK ALLOCATION A	0.00	29.26	0.00	29.26	90.0	26.33
01/01/2018	CONTE, CYNTHIA	INVESCO BALANCED RISK ALLOCATION A	0.00	4.77	0.00	4.77	90.0	4.29
01/01/2018	CONTE, CYNTHIA	INVESCO BALANCED RISK ALLOCATION A	0.00	3.27	0.00	3.27	90.0	2.94
01/01/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	0.00	37.46	0.00	37.46	90.0	33.71

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/01/2018	MCELVAIN, CHAD	INVESCO BALANCED-RISK ALLOCATION-C	0.00	2.65	0.00	2.65	90.0	2.39
01/01/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	0.00	3.81	0.00	3.81	90.0	3.43
01/01/2018	HEZEL, KATHLEEN	INVESCO BALANCED-RISK ALLOCATION-C	0.00	102.59	0.00	102.59	90.0	92.33
01/01/2018	VECCHIO, JOSEPH	INVESCO BALANCED-RISK ALLOCATION-C	0.00	31.02	0.00	31.02	90.0	27.92
01/01/2018	KMAK, SHANNON	INVESCO BALANCED-RISK ALLOCATION-C	0.00	31.32	0.00	31.32	90.0	28.19
01/01/2018	HAMPTON, JARROTT	INVESCO BALANCED-RISK ALLOCATION-C	0.00	36.22	0.00	36.22	90.0	32.60
01/01/2018	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	15.08	0.00	15.08	90.0	13.57
01/01/2018	HUGGETT, PAUL	INVESCO BALANCED-RISK ALLOCATION-C	0.00	92.26	0.00	92.26	90.0	83.03
01/01/2018	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	3.49	0.00	3.49	90.0	3.14
01/01/2018	KACHMAN UTMA/TX, VICTORIA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	16.56	0.00	16.56	90.0	14.90
01/01/2018	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	29.30	0.00	29.30	90.0	26.37
01/01/2018	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	116.64	0.00	116.64	90.0	104.98
01/01/2018	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	115.15	0.00	115.15	90.0	103.64
01/01/2018	WILSON, TED	INVESCO BALANCED-RISK ALLOCATION-C	0.00	11.43	0.00	11.43	90.0	10.29
01/01/2018	PHIPPS, CHRISTEEN	INVESCO BALANCED-RISK ALLOCATION-C	0.00	0.18	0.00	0.18	90.0	0.16
01/01/2018	TMICO CUSTODIAN	INVESCO BALANCED-RISK ALLOCATION-C	0.00	0.43	0.00	0.43	90.0	0.39
01/02/2018	VECCHIO, SANDRA	PUTNAM GROWTH OPP A	0.00	1.71	0.00	1.71	90.0	1.54
01/16/2018	KLAHM, BEN	SIERRA INCOME CORPORATION A	0.00	11.24	0.00	11.24	90.0	10.12
01/03/2018	RADEMAKERS, DAVID	TRANSAMERICA MLP & ENERGY INC - C	0.00	4.67	0.00	4.67	90.0	4.20
01/03/2018	RADEMAKERS, DAVID	TRANSAMERICA MLP & ENERGY INC - C	0.00	17.31	0.00	17.31	90.0	15.58
01/03/2018	PERRY CHILD TRUST, RUDOLPH	TRANSAMERICA MLP & ENERGY INC - C	0.00	13.24	0.00	13.24	90.0	11.92
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$769.05	\$0.00	\$769.05		\$692.16
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/01/2018	THREADGILL, VICKY	MFS CORE EQUITY A	0.00	3.01	0.00	3.01	90.0	2.71
01/01/2018	THREADGILL, VICKY	MFS TOTAL RETURN A	0.00	5.61	0.00	5.61	90.0	5.05
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$8.62	\$0.00	\$8.62		\$7.76
*** DIRECT TRANSACTIONS TOTAL ***			\$520,392.63	\$6,366.66	\$0.00	\$6,366.66		\$5,745.23

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARK-S141 REG SMITH #367 </u>								
01/04/2018	SMITH, ROGER	ALGER CAPITAL APPRECIATION A	0.00	3.95	0.00	3.95	48.0	1.66
01/16/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.05
01/16/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	48.0	1.05
01/16/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	48.0	1.05
01/16/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	48.0	1.05
01/16/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.05
01/16/2018	UNTIL AGE 21, TX/UTMA	AM FUNDS GROWTH PORT A	50.00	1.88	0.00	1.88	48.0	0.79
01/01/2018	WHISTLER, ROBERT	INVESCO ASIA PACIFIC GROWTH C	0.00	8.26	0.00	8.26	48.0	3.47
01/01/2018	BRIDGES, JANIS	INVESCO BALANCED RISK ALLOCATION A	0.00	13.94	0.00	13.94	48.0	5.85
01/01/2018	TMICO	INVESCO BALANCED RISK ALLOCATION A	0.00	5.13	0.00	5.13	48.0	2.15
01/01/2018	TMICO	INVESCO BALANCED RISK ALLOCATION A	0.00	15.12	0.00	15.12	48.0	6.35
01/01/2018	WALLACE, SHEILA	INVESCO BALANCED RISK ALLOCATION A	0.00	2.65	0.00	2.65	48.0	1.11
01/01/2018	GODWIN, SANDRA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	39.37	0.00	39.37	48.0	16.54
01/01/2018	TMICO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	43.63	0.00	43.63	48.0	18.32
01/01/2018	WHISTLER, ROBERT	INVESCO BALANCED-RISK ALLOCATION-C	0.00	17.09	0.00	17.09	48.0	7.18
01/01/2018	WALLACE, CHARLES	INVESCO BALANCED-RISK ALLOCATION-C	0.00	27.15	0.00	27.15	48.0	11.40
01/01/2018	DODD, AIMEE	INVESCO BALANCED-RISK ALLOCATION-C	0.00	58.24	0.00	58.24	48.0	24.46
01/01/2018	WHISTLER, ROBERT	INVESCO DIV INC 00142F519	0.00	18.85	0.00	18.85	48.0	7.92
01/01/2018	WHISTLER, ROBERT	INVESCO GLOBAL HEALTH CARE C	0.00	6.95	0.00	6.95	48.0	2.92
01/01/2018	WHISTLER, ROBERT	INVESCO MID CAP GROWTH FUND - CLASS	0.00	5.43	0.00	5.43	48.0	2.28
01/19/2018	GILMOR, JULIE	NATIONWIDE DESTINATION LS 96	0.00	131.12	0.00	131.12	48.0	55.07
01/04/2018	WARD, SHERRY	PIMCO INCOME FUND C	0.00	2.54	0.00	2.54	48.0	1.07
01/04/2018	WARD, SHERRY	PIMCO INCOME FUND C	0.00	6.61	0.00	6.61	48.0	2.78
01/04/2018	MASHBURN, PHYLLIS	PIMCO INCOME FUND C	0.00	0.09	0.00	0.09	48.0	0.04
01/04/2018	MASHBURN, PHYLLIS	PIMCO INCOME FUND C	0.00	0.22	0.00	0.22	48.0	0.09
12/31/2017	TMICO	PRUDENTIAL TRAILS	4,133.79	2.61	0.00	2.61	48.0	1.10
12/31/2017	WHISTLER, ROBERT M & LIND	PRUDENTIAL TRAILS	7,200.04	4.53	0.00	4.53	48.0	1.90
01/02/2018	HORNE, CHARLES	PUTNAM EQUITY INCOME A	0.00	3.32	0.00	3.32	48.0	1.39
01/02/2018	HORNE, CHARLES	PUTNAM GLOBAL HEALTH CARE A	0.00	3.20	0.00	3.20	48.0	1.34
01/02/2018	HORNE, CHARLES	PUTNAM HIGH YIELD ADVANTAGE A	0.00	2.38	0.00	2.38	48.0	1.00
01/02/2018	HORNE, CHARLES	PUTNAM MULTI-CAP VALUE A	0.00	2.96	0.00	2.96	48.0	1.24
01/02/2018	AARY, HARLEY	PUTNAM SMALL CAP GROWTH-A	0.00	0.31	0.00	0.31	48.0	0.13
01/02/2018	HORNE, CHARLES	PUTNAM SMALL CAP VALUE A	0.00	1.03	0.00	1.03	48.0	0.43

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARK-S141 REG SMITH #367</u>								
01/02/2018	HORNE, CHARLES	PUTNAM U.S. GOVT INCOME TR-A	0.00	0.49	0.00	0.49	48.0	0.21
/ /	BONGIORNO, JANET	RYDEX BIOTECHNOLOGY A	0.00	0.04	0.00	0.04	48.0	0.02
/ /	BONGIORNO, JANET	RYDEX GUGG REAL ESTATE A	0.00	0.10	0.00	0.10	48.0	0.04
/ /	BONGIORNO, JANET	RYDEX GUGGENHEIM UTILITIES A	0.00	0.08	0.00	0.08	48.0	0.03
/ /	BONGIORNO, JANET	RYDEX HEALTH CARE-A	0.00	0.05	0.00	0.05	48.0	0.02
01/03/2018	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	11.05	0.00	11.05	48.0	4.64
01/03/2018	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.41	0.00	4.41	48.0	1.85
* MARK-S141 REG SMITH SUBTOTAL *			\$11,633.83	\$457.28	\$0.00	\$457.28		\$192.04
<u>BROKER: MARK-S142 ALTS SMITH #368</u>								
01/19/2018	BONGIORNO, VALERIE	BLUEROCK TOTAL INC & RE FUND	1,043.13	0.22	0.00	0.22	49.0	0.09
01/19/2018	TMICO	BLUEROCK TOTAL INC & RE FUND	8,078.79	1.72	0.00	1.72	49.0	0.74
01/19/2018	CORLEY, WILBUR	BLUEROCK TOTAL INC & RE FUND	20,405.01	4.33	0.00	4.33	49.0	1.86
01/19/2018	TMICO	BLUEROCK TOTAL INC & RE FUND	22,518.25	4.78	0.00	4.78	49.0	2.06
01/19/2018	WILSON TRUST, WOODROW & JOANN	BLUEROCK TOTAL INC & RE FUND	10,172.70	2.16	0.00	2.16	49.0	0.93
01/19/2018	TMICO	BLUEROCK TOTAL INC & RE FUND	38,851.34	33.00	0.00	33.00	49.0	14.19
01/19/2018	TMICO	BLUEROCK TOTAL INC & RE FUND	33,478.73	28.44	0.00	28.44	49.0	12.23
01/19/2018	TMICO	BLUEROCK TOTAL INC & RE FUND	21,569.01	18.32	0.00	18.32	49.0	7.88
01/19/2018	TMICO	BLUEROCK TOTAL INC & RE FUND	16,037.35	13.63	0.00	13.63	49.0	5.86
01/19/2018	WISE TRUST, LUCILE	BLUEROCK TOTAL INC & RE FUND	12,331.30	10.47	0.00	10.47	49.0	4.50
* MARK-S142 ALTS SMITH SUBTOTAL *			\$184,485.61	\$117.07	\$0.00	\$117.07		\$50.34
*** OVERRIDE TRANSACTIONS TOTAL ***			\$196,119.44	\$574.35	\$0.00	\$574.35		\$242.38

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$5,745.23
Split Transactions	\$0.00
Override Transactions	\$242.38
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,987.61
Year-to-date Gross	\$10,798.18
Year-to-date Earnings	\$15,931.94