



COMMISSION STATEMENT

April 30, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/23/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	AMERICAN FUNDS MORTGAGE FD CL C BUY 1538 Units @9.7500	15,000.00	168.00	18.00	150.00	0.0	0.00
04/23/2018	***-**-43IR - FRANZ, WAYNE ACCOUNT #: LSR012602	AMERICAN GLOBAL BALANCED CL C BUY 154 Units @32.4900	5,000.02	68.00	18.00	50.00	0.0	0.00
04/24/2018	***-**-382K - SATTERLY, NEIL ACCOUNT #: LSR010875	AMERICAN GLOBAL BALANCED CL C BUY 155 Units @32.3600	5,000.01	68.00	18.00	50.00	0.0	0.00
04/16/2018	***-**-0069 - KRECIDLO, ACCOUNT #: 00083510637	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.2400	75.00	0.75	0.00	0.75	0.0	0.00
04/16/2018	***-**-2855 - KRECIDLO, MARTA ACCOUNT #: 00083510745	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.2400	75.00	0.75	0.00	0.75	0.0	0.00
04/16/2018	***-**-680I - HARRELL, DARYL ACCOUNT #: 00083996385	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.2400	50.00	0.50	0.00	0.50	0.0	0.00
04/23/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	EATON VANCE FLOATING RATE ADVANTAGE BUY 1370 Units @10.9500	15,000.00	168.00	18.00	150.00	0.0	0.00
04/16/2018	***-**-680I - HARRELL, DARYL ACCOUNT #: 00083996385	FUNDAMENTAL INVESTORS-C BUY 1 Units @62.4400	50.00	0.50	0.00	0.50	0.0	0.00
04/26/2018	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	FUNDAMENTAL INVESTORS-C SELL 16 Units @61.9000	999.99	18.00	18.00	0.00	0.0	0.00
04/26/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	FUNDAMENTAL INVESTORS-C SELL 16 Units @61.9000	999.99	18.00	18.00	0.00	0.0	0.00
04/24/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	LORD ABBETT MULTI ASSET BALANCED OP BUY 433 Units @11.5600	5,000.00	19.99	6.00	13.99	0.0	0.00
04/23/2018	***-**-43IR - FRANZ, WAYNE ACCOUNT #: LSR012602	LORD ABBETT SHORT DURATION INCOME C BUY 711 Units @4.2200	3,000.00	48.00	18.00	30.00	0.0	0.00
04/24/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	LORD ABBETT SHORT DURATION INCOME C SELL 1185 Units @4.2200	5,000.00	0.00	6.00	-6.00	100.0	-6.00
04/24/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	LORD ABBETT SHORT DURATION INCOME C BUY 18957 Units @4.2200	80,000.00	818.00	18.00	800.00	0.0	0.00
04/23/2018	***-**-06IN - SATTERLY, ACCOUNT #: 08191336006	MFS 08191336006 BUY 3 Units @31.1900	100.00	1.00	0.00	1.00	0.0	0.00
04/24/2018	***-**-382K - SATTERLY, NEIL ACCOUNT #: LSR010875	MFS CONSERVATIVE ALLOCATION CL C BUY 331 Units @15.1200	5,000.00	68.00	18.00	50.00	0.0	0.00



COMMISSION STATEMENT

April 30, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/17/2018	***-**-53RI - FALCHI, VALERIE	MFS GLOBAL TOTAL RETURN FUND-B	75.00	3.00	0.00	3.00	0.0	0.00
	ACCOUNT #: 08191172002	BUY 4 Units @18.5400						
04/20/2018	***-**-7617 - ESCHE, BEVERLY	MFS GLOBAL TOTAL RETURN FUND-B	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191154979	BUY 3 Units @18.3200						
04/26/2018	***-**-881J - EBERLEY, SUSAN	MFS GLOBAL TOTAL RETURN FUND-B	1,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR010856	SELL 55 Units @18.1900						
04/26/2018	***-**-960J - MASTRANGELO,	MFS GLOBAL TOTAL RETURN FUND-B	1,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR010966	SELL 55 Units @18.1900						
04/20/2018	***-**-7617 - ESCHE, BEVERLY	MFS INTERNATIONAL NEW DISCOVERY FUN	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191154979	BUY 1 Units @34.7100						
04/23/2018	***-**-861I - SANGER, LAWRENCE	MFS LIFETIME INCOME CLASS A	1,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011095	SELL 82 Units @12.2600						
04/16/2018	***-**-213I - GRUENEWALD,	MFS NEW DISCOVERY FUND-B	100.00	4.00	0.00	4.00	0.0	0.00
	ACCOUNT #: 08190131132	BUY 4 Units @23.4000						
04/23/2018	***-**-43IR - FRANZ, WAYNE	MFS TECHNOLOGY CLASS C	4,500.01	63.00	18.00	45.00	0.0	0.00
	ACCOUNT #: LSR012602	BUY 129 Units @34.8600						
04/16/2018	***-**-213I - GRUENEWALD,	MFS TECHNOLOGY FUND-B	100.00	4.00	0.00	4.00	0.0	0.00
	ACCOUNT #: 08190131132	BUY 3 Units @34.7900						
04/20/2018	***-**-06IN - SATTERLY,	MFS TECHNOLOGY FUND-B	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191173302	BUY 1 Units @35.0900						
04/26/2018	***-**-881J - EBERLEY, SUSAN	MFS TOTAL RETURN FUND-B	1,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR010856	SELL 54 Units @18.6300						
04/16/2018	***-**-094I - CIRILLO, CARL	MFS UTILITIES FUND-B	35.00	1.40	0.00	1.40	0.0	0.00
	ACCOUNT #: 08190127967	BUY 2 Units @19.8400						
04/16/2018	***-**-606I - LEWIS, TAMARA	MFS UTILITIES FUND-B	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191352846	BUY 3 Units @19.8400						
04/20/2018	***-**-7617 - ESCHE, BEVERLY	MFS UTILITIES FUND-B	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191154979	BUY 3 Units @19.8200						
04/16/2018	***-**-680I - HARRELL, DARYL	NEW WORLD FUND-C	50.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: 00083996385	BUY 1 Units @65.2100						
04/23/2018	***-**-861I - SANGER, LAWRENCE	PUTNAM ABSOLUTE RETURN 700-A	1,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011095	SELL 84 Units @11.9400						



COMMISSION STATEMENT

April 30, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/26/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	PUTNAM EQUITY INCOME FUND-B SELL 41 Units @24.1200	999.99	18.00	18.00	0.00	0.0	0.00
04/26/2018	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	PUTNAM UTILITIES GR & INC-B SELL 75 Units @13.4000	1,000.00	18.00	18.00	0.00	0.0	0.00
04/26/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	RESOURCE CREDIT INCOME FUND C BUY 2686 Units @11.1700	30,000.00	318.00	18.00	300.00	0.0	0.00
04/16/2018	***-**-680I - HARRELL, DARYL ACCOUNT #: 00083996385	THE GROWTH FUND OF AMERICA-C BUY 1 Units @47.9100	50.00	0.50	0.00	0.50	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$182,510.01	\$1,995.89	\$336.00	\$1,659.89		\$-6.00
PRODUCT CATEGORY: STOCKS								
04/24/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	CAMECO CORP COM NPV ISIN #CA13321L1 BUY 600 Units @10.1700	6,102.00	97.49	27.00	70.49	0.0	0.00
04/26/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	ENERGY FUELS INC COM NEW ISIN #CA29 BUY 2500 Units @1.8200	4,550.00	67.49	65.00	2.49	0.0	0.00
04/23/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	GENERAL ELECTRIC CO UNSOLICITED ORD BUY 250 Units @14.5000	3,625.00	54.25	20.00	34.25	0.0	0.00
04/25/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	GLAXOSMITHKLINE ADR EACH CNV INTO 2 BUY 200 Units @39.1000	7,820.00	77.49	19.00	58.49	0.0	0.00
04/23/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	NATIONAL GRID SPON ADR EACH REP 5 O BUY 300 Units @56.0000	16,800.00	186.00	21.00	165.00	0.0	0.00
04/24/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	NORDIC AMERICAN TANKERS LIMITE COM BUY 8000 Units @2.1000	16,800.00	167.49	175.00	-7.51	100.0	-7.51
04/23/2018	***-**-420T - DEMETRI, AMELIA ACCOUNT #: LSR010994	WEIGHT WATCHERS INTL INC NEW SELL 50 Units @70.4610	3,523.05	53.67	16.00	37.67	0.0	0.00
* STOCKS SUBTOTAL *			\$59,220.05	\$703.88	\$343.00	\$360.88		\$-7.51
PRODUCT CATEGORY: 529 PLAN								
04/16/2018	***-**-5375 - CARTER, LISA ACCOUNT #: 00083996363	AMERICAN BALANCED FUND-529C BUY 11 Units @26.9700	300.00	3.00	0.00	3.00	0.0	0.00
04/30/2018	***-**-473J - HUSTON, ACCOUNT #: 77852732401	NYA JPM 529 AGGR PORTI C BUY 0 Units @0.0000	-132.52	-1.39	0.00	-1.39	0.0	0.00



COMMISSION STATEMENT

April 30, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: 529 PLAN (continued)								
04/30/2018	***-**-473J - HUSTON, ACCOUNT #: 77852732401	NYA JPM 529 AGGR PORTI C BUY 0 Units @0.0000	400.00	4.00	0.00	4.00	0.0	0.00
04/30/2018	***-**-635P - LASCALA, DEBORAH ACCOUNT #: 77699193301	NYA JPM 529 ALL FIXED INCOME PO A BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	0.0	0.00
04/30/2018	***-**-20IR - DEMETRI, AMELIA ACCOUNT #: 77854436501	NYA JPM 529 ALL FIXED INCOME PO A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	0.0	0.00
04/30/2018	***-**-0767 - DOVI, ACCOUNT #: 77579193101	NYA JPM 529 MOD GROWTH POR C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	0.0	0.00
04/30/2018	***-**-473J - HUSTON, ACCOUNT #: 77852732401	NYA JPM 529 MOD GROWTH POR C BUY 0 Units @0.0000	138.52	1.39	0.00	1.39	0.0	0.00
04/30/2018	***-**-473J - HUSTON, ACCOUNT #: 77852732401	NYA JPM 529 MOD GROWTH POR C BUY 0 Units @0.0000	400.00	4.00	0.00	4.00	0.0	0.00
04/30/2018	***-**-473J - HUSTON, ACCOUNT #: 77852732401	NYA JPM 529 MOD GROWTH POR C BUY 0 Units @0.0000	-400.00	-4.00	0.00	-4.00	0.0	0.00
04/20/2018	***-**-755P - SHEPPARD, ACCOUNT #: 00084018452	THE GROWTH FUND OF AMERICA-529C BUY 1 Units @48.4800	50.00	0.50	0.00	0.50	0.0	0.00
* 529 PLAN SUBTOTAL *			\$881.00	\$10.63	\$0.00	\$10.63		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/26/2018	***-**-6604 - REYNOLDS, DIANE ACCOUNT #: 5290810273	BLACKROCK FUNDS BUY 0 Units @0.0000	0.00	4.69	0.00	4.69	0.0	0.00
04/26/2018	***-**-9440 - MAYO, DEBORAH ACCOUNT #: 5034930049	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	0.19	0.00	0.19	0.0	0.00
04/26/2018	***-**-9440 - MAYO, DEBORAH ACCOUNT #: 5034930049	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	0.0	0.00
04/30/2018	***-**-287I - HINCKLEY, ACCOUNT #: LSR010843	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	0.0	0.00
04/30/2018	***-**-00IO - LEE, GARY ACCOUNT #: LSR011059	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	0.0	0.00
04/30/2018	***-**-1320 - EVANS, THOMAS ACCOUNT #: LSR011074	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	0.0	0.00
04/30/2018	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	0.0	0.00



COMMISSION STATEMENT

April 30, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/30/2018	***-**-808J - GORMAN, RANDY	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
04/30/2018	***-**-326J - GRANDE, MR	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
04/30/2018	***-**-48IO - ANACKER, FBO MRS	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
04/30/2018	***-**-664J - PFAFF, GEORGE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
04/30/2018	***-**-64IO - PFAFF, GEORGE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
04/30/2018	***-**-633J -	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011732	BUY 1 Units @0.0000						
04/30/2018	***-**-31IO - JOSEPH, ANN	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR012337	BUY 1 Units @0.0000						
04/30/2018	***-**-52IN - ROBERTS, LILLIAN	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR012352	BUY 1 Units @0.0000						
04/30/2018	***-**-188I - BRADLEY, WAYNE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR012484	BUY 1 Units @0.0000						
04/30/2018	***-**-617I - ESCHE, BEVERLY	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR012614	BUY 1 Units @0.0000						
04/30/2018	***-**-32IO - WITT, DEBORAH	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: LSR012285	BUY 1 Units @0.0000						
04/30/2018	***-**-44IO - MAYO, DEBORAH	LORD ABBETT SHORT DURATION INCOME C	0.00	19.03	0.00	19.03	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
04/30/2018	***-**-579J - RHYMESTINE,	LORD ABBETT SHORT DURATION INCOME C	0.00	9.68	0.00	9.68	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
04/30/2018	***-**-09IO - BATES, FBO MRS	LORD ABBETT SHORT DURATION INCOME C	0.00	12.82	0.00	12.82	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
04/30/2018	***-**-89IN - LEE, ROGER	LORD ABBETT SHORT DURATION INCOME C	0.00	24.41	0.00	24.41	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
04/30/2018	***-**-439J - MCCARTHY, JAMES	LORD ABBETT SHORT DURATION INCOME C	0.00	1.90	0.00	1.90	0.0	0.00
	ACCOUNT #: LSR011205	BUY 1 Units @0.0000						



COMMISSION STATEMENT

April 30, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/30/2018	***-**-45IO - JOHNSON, GREGORY	LORD ABBETT SHORT DURATION INCOME C	0.00	12.77	0.00	12.77	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
04/30/2018	***-**-14IO - BUSHEY, MATTHEW	LORD ABBETT SHORT DURATION INCOME C	0.00	9.51	0.00	9.51	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
04/16/2018	***-**-213I - GRUENEWALD,	MFS GLOBAL NEW DISCOVERY FUND CL B	100.00	4.00	0.00	4.00	0.0	0.00
	ACCOUNT #: 08190131132	BUY 5 Units @19.2400						
04/30/2018	***-**-235I - AUGUSTINE III,	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	5.87	0.00	5.87	0.0	0.00
	ACCOUNT #: LSR010845	BUY 1 Units @0.0000						
04/30/2018	***-**-881J - EBERLEY, SUSAN	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	6.93	0.00	6.93	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
04/30/2018	***-**-980I - ACEE, ELIZABETH	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	5.05	0.00	5.05	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
04/30/2018	***-**-031O - MCVOY, ROBERT	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	6.51	0.00	6.51	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
04/30/2018	***-**-959I - FRANZ, PAUL	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	11.65	0.00	11.65	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
04/30/2018	***-**-73TR - WESTCOTT IRRVOC	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	5.65	0.00	5.65	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
04/30/2018	***-**-73TR - WESTCOTT IRRVOC	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	5.44	0.00	5.44	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
04/30/2018	***-**-44IO - MAYO, DEBORAH	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	6.70	0.00	6.70	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
04/30/2018	***-**-09IO - BATES, FBO MRS	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	10.78	0.00	10.78	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
04/30/2018	***-**-89IN - LEE, ROGER	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	12.22	0.00	12.22	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
04/30/2018	***-**-11IO - LYNN G.	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	10.38	0.00	10.38	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
04/30/2018	***-**-80IO - EVANS, RICHARD	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	6.95	0.00	6.95	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
04/30/2018	***-**-45IO - JOHNSON, GREGORY	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	8.77	0.00	8.77	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						



COMMISSION STATEMENT

April 30, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/30/2018	***-**-14IO - BUSHEY, MATTHEW	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	9.94	0.00	9.94	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
04/30/2018	***-**-287J - HINCKLEY,	TRANSAMERICA SHORT TERM BOND CL C	0.00	13.23	0.00	13.23	0.0	0.00
	ACCOUNT #: LSR010841	BUY 1 Units @0.0000						
04/30/2018	***-**-48IO - CLARK, PATRICK	TRANSAMERICA SHORT TERM BOND CL C	0.00	10.30	0.00	10.30	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
04/30/2018	***-**-11IO - LYNN G.	TRANSAMERICA SHORT TERM BOND CL C	0.00	15.35	0.00	15.35	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
04/30/2018	***-**-680I - HARRELL, DARYL	VOYA GLOBAL EQUITY DIVIDEND CLASS A	0.00	1.86	0.00	1.86	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
04/30/2018	***-**-702I - KOCHER, MICHAEL	VOYA GLOBAL REAL ESTATE CLASS A	0.00	0.93	0.00	0.93	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
04/30/2018	***-**-959I - FRANZ, PAUL	VOYA GLOBAL REAL ESTATE CLASS A	0.00	0.09	0.00	0.09	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
04/30/2018	***-**-30TR - AND SANDRA	VOYA GLOBAL REAL ESTATE CLASS A	0.00	0.79	0.00	0.79	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
04/30/2018	***-**-13IN - RHYMESTINE,	VOYA REAL ESTATE CLASS C	0.00	4.49	0.00	4.49	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$100.00	\$259.79	\$0.00	\$259.79		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$242,711.06	\$2,970.19	\$679.00	\$2,291.19		\$-13.51



BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$-13.51
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$-163.17
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD						Balance Forward		\$-176.68
						Year-to-date Earnings		\$0.00