



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2018

08/14/2018
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R145-RICHARD RODRIGUEZ, JR.
3854 E. County Road 466
Suite A
Oxford, FL 34484

BROKER #: R145
BRANCH: RICHARD RODRIGUEZ JR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
06/12/2018	MINTZ, DOUGLAS	BOURNE SENIORS HOUSING IV	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
* PRIVATE PLACEMENT SUBTOTAL *			\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$3,150.00
<u>PRODUCT CATEGORY: REIT</u>								
07/13/2018	ALLEN, SUSAN	CARTER VALIDUS MC REIT II CLASS T	0.00	20.96	0.00	20.96	90.0	18.86
07/13/2018	DURBANO, LAURA	CARTER VALIDUS MC REIT II CLASS T	0.00	16.77	0.00	16.77	90.0	15.09
07/13/2018	OSWALD, DOREEN	CARTER VALIDUS MC REIT II CLASS T	0.00	18.08	0.00	18.08	90.0	16.27
* REIT SUBTOTAL *			\$0.00	\$55.81	\$0.00	\$55.81		\$50.22
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	HALL, MICHAEL	JNL PERSPECTIVE L	0.00	113.27	0.00	113.27	90.0	101.94
07/31/2018	HALL, MICHAEL	JNL PERSPECTIVE L	0.00	114.74	0.00	114.74	90.0	103.27
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$228.01	\$0.00	\$228.01		\$205.21
*** DIRECT TRANSACTIONS TOTAL ***			\$50,000.00	\$3,783.82	\$0.00	\$3,783.82		\$3,405.43

ADJUSTMENTS

08/15/2018	*AI INSIGHT: AI INSIGHT	0.00	-7.00
08/15/2018	*ALBRIDGE: FULL USER	0.00	-176.00
08/15/2018	*DOCUSIGN: DOCUSIGN	0.00	-18.00
08/15/2018	*EO INS: CURRENT MONTH	0.00	-300.00
08/15/2018	*FINRA: C. MASIH-DAS FBI FINGERPRINT FEE	0.00	-10.00
08/15/2018	*FINRA: C. MASIH-DAS FINRA MEMBER ELEC FINGERPRINT	0.00	-15.00
08/15/2018	*FINRA: K. CIOMA-JAMES FBI FINGERPRINT FEE	0.00	-10.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
08/15/2018		*FINRA: K. CIOMA-JAMES FINRA MEMBER ELEC FINGERPRINT	0.00					-15.00
08/15/2018		*LASER APP: LASER APP	0.00					-18.00
08/15/2018		*MARKETING PRO: PRO USER	0.00					-45.00
08/15/2018		*PERSHING: COST BASIS TRACKING 3 ACCTS	0.00					-3.00
08/15/2018		*TECHNOLOGY: ADMIN - C. MASIH-DAS	0.00					-60.00
08/15/2018		*TECHNOLOGY: ADMIN - K NEWCOMB	0.00					-130.00
08/15/2018		*TECHNOLOGY: ADMIN - P. WALLACH TECH	0.00					-100.00
08/15/2018		*TECHNOLOGY: BASIC	0.00					-180.00
08/15/2018		*TECHNOLOGY: NEW SETUP P. WALLACH	0.00					-300.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,387.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,405.43
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,387.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,018.43
Year-to-date Gross	\$55,913.42
Year-to-date Earnings	\$50,322.06

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