

All Companies
STATEMENT
August 20, 2018

LPFS HOUSE

BROKER #: ZZQ
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/01/0018	#2000344105	SANCHEZ, REBECCA	A - DISCOVERY PORT	0.00	0.24	100.0	0.00	0.24	0.00	0.24
05/01/0018	#2000256393	MILKS, SEAN	AGES 12-14 YEARS A	0.00	1.97	100.0	0.00	1.97	0.00	1.97
05/01/0018	#2000270551	SANCHEZ, REBECCA	AGES 18 AND OVER A	0.00	0.11	100.0	0.00	0.11	0.00	0.11
05/01/0018	#2000256393	MILKS, SEAN	CAPITAL PERSEVATION A	0.00	0.53	100.0	0.00	0.53	0.00	0.53
05/01/0018	#2000256391	MILKS, SEAN	MODERATELY AGGRESSIVE A	0.00	2.04	100.0	0.00	2.04	0.00	2.04
05/01/0018	#2000256393	MILKS, SEAN	MODERATELY AGGRESSIVE A	0.00	2.19	100.0	0.00	2.19	0.00	2.19
05/01/0018	#2000266181	KOESTER	MODERATELY AGGRESSIVE A	0.00	1.51	100.0	0.00	1.51	0.00	1.51
05/01/0018	#2000266182	KOESTER	MODERATELY AGGRESSIVE A	0.00	1.51	100.0	0.00	1.51	0.00	1.51
05/01/0018	#2000256391	MILKS, SEAN	SCHOLARS EDGE 64710R404	0.00	7.34	100.0	0.00	7.34	0.00	7.34
06/09/0018	#1003016152	SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.58	100.0	0.00	1.58	0.00	1.58
06/09/0018	#1003016152	SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.19	100.0	0.00	1.19	0.00	1.19
06/09/0018	#1003016152	SEICK (IRA),	GUGGENHEIM RYDEX	0.00	0.80	100.0	0.00	0.80	0.00	0.80
06/09/0018	#1003016152	SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.08	100.0	0.00	1.08	0.00	1.08
06/09/0018	#1003023420	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	6.80	100.0	0.00	6.80	0.00	6.80
06/09/0018	#1003023420	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	5.14	100.0	0.00	5.14	0.00	5.14
06/09/0018	#1003023420	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	3.44	100.0	0.00	3.44	0.00	3.44
06/09/0018	#1003023420	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	4.66	100.0	0.00	4.66	0.00	4.66
06/09/0018	#1003023422	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.44	100.0	0.00	0.44	0.00	0.44
06/09/0018	#1003023422	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.33	100.0	0.00	0.33	0.00	0.33
06/09/0018	#1003023422	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.22	100.0	0.00	0.22	0.00	0.22
06/09/0018	#1003023422	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.30	100.0	0.00	0.30	0.00	0.30
06/09/0018	#1003029611	CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.46	100.0	0.00	0.46	0.00	0.46
06/09/0018	#1003029611	CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.35	100.0	0.00	0.35	0.00	0.35
06/09/0018	#1003029611	CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.23	100.0	0.00	0.23	0.00	0.23
06/09/0018	#1003029611	CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.32	100.0	0.00	0.32	0.00	0.32
06/09/0018	#1003029964	MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.26	100.0	0.00	0.26	0.00	0.26
06/09/0018	#1003029964	MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.20	100.0	0.00	0.20	0.00	0.20
06/09/0018	#1003029964	MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.13	100.0	0.00	0.13	0.00	0.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/09/0018	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.18	100.0	0.00	0.18	0.00	0.18
06/09/0018	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	2.08	100.0	0.00	2.08	0.00	2.08
06/09/0018	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.57	100.0	0.00	1.57	0.00	1.57
06/09/0018	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.05	100.0	0.00	1.05	0.00	1.05
06/09/0018	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.42	100.0	0.00	1.42	0.00	1.42
06/09/0018	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	2.67	100.0	0.00	2.67	0.00	2.67
06/09/0018	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	2.02	100.0	0.00	2.02	0.00	2.02
06/09/0018	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.35	100.0	0.00	1.35	0.00	1.35
06/09/0018	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.83	100.0	0.00	1.83	0.00	1.83
06/09/0018	#1001454918 KOESTER	PAC FUNDS POMA	0.00	2.03	100.0	0.00	2.03	0.00	2.03
06/09/0018	#1001755709 MILKS, SEAN	PAC FUNDS POMA	0.00	3.04	100.0	0.00	3.04	0.00	3.04
06/09/0018	#1001454909 JENK	PAC FUNDS POMA	0.00	11.99	100.0	0.00	11.99	0.00	11.99
06/09/0018	#1001454909 JENK	PAC FUNDS POMA	0.00	40.84	100.0	0.00	40.84	0.00	40.84
06/09/0018	#2000188592 SWEARENGIN, RUTH	PAC FUNDS POMA	0.00	6.05	100.0	0.00	6.05	0.00	6.05
06/09/0018	#1001382434 CLANCY, DENNIS	PAC FUNDS POMA	0.00	26.86	100.0	0.00	26.86	0.00	26.86
06/09/0018	#1001876384 MYERS, STANLEY	PAC FUNDS POMA	0.00	23.22	100.0	0.00	23.22	0.00	23.22
06/09/0018	#1001395938 CLANCY, BETH	PAC FUNDS POMA	0.00	5.30	100.0	0.00	5.30	0.00	5.30
06/09/0018	#1001881298 HAAR-KRISM	PAC FUNDS POMA	0.00	7.53	100.0	0.00	7.53	0.00	7.53
06/09/0018	#1001881305 HAAR-KRISM	PAC FUNDS POMA	0.00	7.53	100.0	0.00	7.53	0.00	7.53
06/09/0018	#2000222849 STEVEN, KNOX	PAC FUNDS POMA	0.00	1.56	100.0	0.00	1.56	0.00	1.56
06/09/0018	#1001444270 BOYD, DENAL	PAC FUNDS POMA	0.00	11.81	100.0	0.00	11.81	0.00	11.81
07/07/0018	#1001755709 MILKS, SEAN	PL PORTFOLIO OPTIMIZATION AGGRESSIV	100.00	4.75	100.0	0.00	4.75	0.00	4.75
04/30/0201	#2000344105 SANCHEZ, REBECCA	A - DISCOVERY PORT	0.00	0.21	100.0	0.00	0.21	0.00	0.21
04/30/0201	#2000256393 MILKS, SEAN	AGES 12-14 YEARS A	0.00	1.89	100.0	0.00	1.89	0.00	1.89
04/30/0201	#2000270551 SANCHEZ, REBECCA	AGES 18 AND OVER A	0.00	0.10	100.0	0.00	0.10	0.00	0.10
04/30/0201	#2000256393 MILKS, SEAN	CAPITAL PERSEVATION A	0.00	0.51	100.0	0.00	0.51	0.00	0.51
04/30/0201	#2000256391 MILKS, SEAN	MODERATELY AGGRESSIVE A	0.00	1.93	100.0	0.00	1.93	0.00	1.93
04/30/0201	#2000256393 MILKS, SEAN	MODERATELY AGGRESSIVE A	0.00	2.08	100.0	0.00	2.08	0.00	2.08
04/30/0201	#2000266181 KOESTER	MODERATELY AGGRESSIVE A	0.00	1.44	100.0	0.00	1.44	0.00	1.44
04/30/0201	#2000266182 KOESTER	MODERATELY AGGRESSIVE A	0.00	1.44	100.0	0.00	1.44	0.00	1.44
04/30/0201	#2000256391 MILKS, SEAN	SCHOLARS EDGE 64710R404	0.00	7.08	100.0	0.00	7.08	0.00	7.08
06/30/0201	#2000344105 SANCHEZ, REBECCA	A - DISCOVERY PORT	0.00	0.24	100.0	0.00	0.24	0.00	0.24
06/30/0201	#3084670	ACCESS FLEX HIGH YIELD-SVC	0.00	1.49	100.0	0.00	1.49	0.00	1.49
06/30/0201	#3264064	ACCESS FLEX HIGH YIELD-SVC	0.00	0.29	100.0	0.00	0.29	0.00	0.29
06/30/0201	#3301583	ACCESS FLEX HIGH YIELD-SVC	0.00	0.80	100.0	0.00	0.80	0.00	0.80
06/30/0201	#3301850	ACCESS FLEX HIGH YIELD-SVC	0.00	0.87	100.0	0.00	0.87	0.00	0.87

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/30/0201	#3301874	ACCESS FLEX HIGH YIELD-SVC	0.00	11.63	100.0	0.00	11.63	0.00	11.63
06/30/0201	#3301898	ACCESS FLEX HIGH YIELD-SVC	0.00	6.41	100.0	0.00	6.41	0.00	6.41
06/30/0201	#3084670	ACCESS FLEX HIGH YIELD-SVC	0.00	4.48	100.0	0.00	4.48	0.00	4.48
06/30/0201	#3264064	ACCESS FLEX HIGH YIELD-SVC	0.00	0.87	100.0	0.00	0.87	0.00	0.87
06/30/0201	#3301583	ACCESS FLEX HIGH YIELD-SVC	0.00	2.40	100.0	0.00	2.40	0.00	2.40
06/30/0201	#3301850	ACCESS FLEX HIGH YIELD-SVC	0.00	2.62	100.0	0.00	2.62	0.00	2.62
06/30/0201	#3301874	ACCESS FLEX HIGH YIELD-SVC	0.00	34.90	100.0	0.00	34.90	0.00	34.90
06/30/0201	#3301898	ACCESS FLEX HIGH YIELD-SVC	0.00	19.22	100.0	0.00	19.22	0.00	19.22
06/30/0201	#2000256393 MILKS, SEAN	AGES 12-14 YEARS A	0.00	1.92	100.0	0.00	1.92	0.00	1.92
06/30/0201	#2000270551 SANCHEZ, REBECCA	AGES 18 AND OVER A	0.00	0.11	100.0	0.00	0.11	0.00	0.11
06/30/0201	#008003935637 FIMBREZ,	ALGER 015565831	0.00	0.87	100.0	0.00	0.87	0.00	0.87
06/30/0201	#008003935637 FIMBREZ,	ALGER FUND-CAPITAL APPRECIATION FUN	0.00	8.23	100.0	0.00	8.23	0.00	8.23
06/30/0201	#008003935637 FIMBREZ,	ALGER GROWTH OPPORTUNITIES FUND CL	0.00	1.09	100.0	0.00	1.09	0.00	1.09
06/30/0201	#008003935637 FIMBREZ,	ALGER SPECTRA FUND CL A	0.00	6.08	100.0	0.00	6.08	0.00	6.08
06/30/0201	#2000256393 MILKS, SEAN	CAPITAL PERSEVATION A	0.00	0.51	100.0	0.00	0.51	0.00	0.51
06/30/0201	#074480010277 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	2.83	100.0	0.00	2.83	0.00	2.83
06/30/0201	#6000067683	DREYFUS CALIFORNIA AMT-FREE MUNI BO	0.00	4.15	100.0	0.00	4.15	0.00	4.15
06/30/0201	#002072166941 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.60	100.0	0.00	0.60	0.00	0.60
06/30/0201	#002072166983 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.28	100.0	0.00	0.28	0.00	0.28
06/30/0201	#002072167021 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.28	100.0	0.00	0.28	0.00	0.28
06/30/0201	#002072167063 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.28	100.0	0.00	0.28	0.00	0.28
06/30/0201	#2000256393 MILKS, SEAN	MODERATELY AGGRESSIVE A	0.00	2.15	100.0	0.00	2.15	0.00	2.15
06/30/0201	#2000266181 KOESTER	MODERATELY AGGRESSIVE A	0.00	1.48	100.0	0.00	1.48	0.00	1.48
06/30/0201	#2000266182 KOESTER	MODERATELY AGGRESSIVE A	0.00	1.48	100.0	0.00	1.48	0.00	1.48
06/30/0201	#2000256391 MILKS, SEAN	MODERATELY AGGRESSIVE A	0.00	2.00	100.0	0.00	2.00	0.00	2.00
06/30/0201	#77720991001 SMITH, ELVIN	NY ADVISOR GUIDED 64981A627	0.00	1.08	100.0	0.00	1.08	0.00	1.08
06/30/0201	#77720991001 SMITH, ELVIN	NYADVI JPM 529 CONSV AGE-BA A	0.00	0.17	100.0	0.00	0.17	0.00	0.17
06/30/0201	#071502332454 GROSSDALE	PORTFOLIO 2025-2028 A	0.00	4.81	100.0	0.00	4.81	0.00	4.81
06/30/0201	#071502332483 GROSSDALE	PORTFOLIO 2025-2028 A	0.00	5.42	100.0	0.00	5.42	0.00	5.42
06/30/0201	#2000256391 MILKS, SEAN	SCHOLARS EDGE 64710R404	0.00	7.14	100.0	0.00	7.14	0.00	7.14
07/10/0201	#2000344105 SANCHEZ, REBECCA	A - DISCOVERY PORT	25.00	0.75	100.0	0.00	0.75	0.00	0.75
07/20/0201	#77720991001 SMITH, ELVIN	NY ADVISOR GUIDED 64981A627	125.00	5.06	100.0	0.00	5.06	0.00	5.06
06/19/2018	#05470420794 MASTER, S	OPPEN FUNDS	75.00	0.75	100.0	0.00	0.75	0.00	0.75
06/19/2018	#05520920700 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	350.00	3.50	100.0	0.00	3.50	0.00	3.50
06/19/2018	#05520921393 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	225.00	2.25	100.0	0.00	2.25	0.00	2.25
06/19/2018	#05451039973	PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	0.00	6.65

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/19/2018	#02870523776	VARIOUS HOUSE	TOTAL RETURN BOND FUND C	75.00	0.75	100.0	0.00	0.75	0.75
06/19/2018	#02870524601	MASTER, S	TOTAL RETURN BOND FUND C	25.00	0.25	100.0	0.00	0.25	0.25
06/25/2018	#02570974985	DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	2.38
06/25/2018	#03202920181	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	2.38
06/26/2018	#05020480458	KORETZ, S	DISCOVERY FUND C	12.50	0.13	100.0	0.00	0.13	0.13
06/26/2018	#07024242711	KORETZ, S	MAIN STREET FUND C	12.50	0.13	100.0	0.00	0.13	0.13
06/26/2018	#07024265492	LUVERA, V	MAIN STREET FUND C	312.50	3.13	100.0	0.00	3.13	3.13
06/26/2018	#05420223784	MARIAN, S	OPPENHEIMER FUNDS	187.50	1.88	100.0	0.00	1.88	1.88
06/26/2018	#05520920700	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	1,750.00	17.50	100.0	0.00	17.50	17.50
06/26/2018	#05520921393	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	1,125.00	11.25	100.0	0.00	11.25	11.25
06/26/2018	#05520923714	KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	25.00	0.25	100.0	0.00	0.25	0.25
06/26/2018	#05520944680	MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	562.50	5.63	100.0	0.00	5.63	5.63
06/26/2018	#05520950642	LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	625.00	6.25	100.0	0.00	6.25	6.25
06/26/2018	#05920334191	LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	312.50	3.13	100.0	0.00	3.13	3.13
06/26/2018	#02870523776	VARIOUS HOUSE	TOTAL RETURN BOND FUND C	375.00	3.75	100.0	0.00	3.75	3.75
06/27/2018	#05451039973		PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	6.65
06/29/2018	#08185496308	HOLLE, RAYMOND	MFS GLOBAL TOTAL RETURN FUND-A	181.00	5.43	100.0	0.00	5.43	5.43
06/29/2018	#08185496304	HOLLE, RAYMOND	MFS GROWTH FUND CL A	181.00	5.43	100.0	0.00	5.43	5.43
06/29/2018	#08185496308	HOLLE, RAYMOND	MFS TOTAL RETURN FUND-A	181.00	5.43	100.0	0.00	5.43	5.43
07/02/2018	#00085191928	MONTERO,	AMCAP FUND-529A	50.00	1.38	100.0	0.00	1.38	1.38
07/02/2018	#08270310475	BELCASTRO,	INTERNATIONAL GROWTH FUND C	100.00	1.00	100.0	0.00	1.00	1.00
07/02/2018	#00032425931	STILLSARA	IVY PACIFIC OPPORTUNITIES A	100.00	5.00	100.0	0.00	5.00	5.00
07/02/2018	#07023037287	BELCASTRO,	MAIN STREET FUND C	100.00	1.00	100.0	0.00	1.00	1.00
07/02/2018	#00060225535	STILEKRISL	MFS UTILITIES FUND-CL A	458.33	13.75	100.0	0.00	13.75	13.75
07/02/2018	#02380716551	BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	100.00	1.00	100.0	0.00	1.00	1.00
07/02/2018	#05520921393	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	353.37	3.53	100.0	0.00	3.53	3.53
07/02/2018	#1001881298	HAAR-KRISM	PL PORTFOLIO OPTIMIZATION AGGRESSIV	450.00	21.38	100.0	0.00	21.38	21.38
07/02/2018	#1001881305	HAAR-KRISM	PL PORTFOLIO OPTIMIZATION AGGRESSIV	450.00	21.38	100.0	0.00	21.38	21.38
07/02/2018	#07150233245	GROSSDALE	PORTFOLIO 2025-2028 A	150.00	6.38	100.0	0.00	6.38	6.38
07/02/2018	#07150233248	GROSSDALE	PORTFOLIO 2025-2028 A	150.00	6.38	100.0	0.00	6.38	6.38
07/02/2018	#07230016643	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	100.00	1.00	100.0	0.00	1.00	1.00
07/03/2018	#5294788558		BLCKRCK	0.00	0.37	100.0	0.00	0.37	0.37
07/03/2018	#5294788533		BLCKRCK	0.00	0.36	100.0	0.00	0.36	0.36
07/03/2018	#5294788582		BLCKRCK	0.00	0.69	100.0	0.00	0.69	0.69
07/05/2018	#00085008254	NEFF, WESTON	CAPITAL WORLD GROWTH AND INCOME-529	50.00	0.50	100.0	0.00	0.50	0.50
07/09/2018	#00700332646	BAPTISTE, MARIE	FIDELITY ADV TECHNOLOGY-CL T	100.00	3.00	100.0	0.00	3.00	3.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/09/2018	#02570974985	DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	2.38
07/09/2018	#03202920181	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	2.38
07/09/2018	#1001382434	CLANCY, DENNIS	PACIFIC FUNDS POMC	50.00	2.38	100.0	0.00	2.38	2.38
07/10/2018	#00689848828		FIDELITY ADV EQUITY VALUE-CL A	250.00	6.88	100.0	0.00	6.88	6.88
07/16/2018	#04000010563	GARRISONJU	AM FUNDS	200.00	10.00	100.0	0.00	10.00	10.00
07/16/2018	#00075427348	MONTERO,	AMCAP FUND-529A	50.00	1.38	100.0	0.00	1.38	1.38
07/16/2018	#00075427348	MONTERO,	AMCAP FUND-529A	50.00	1.38	100.0	0.00	1.38	1.38
07/16/2018	#00082239930	MONTERO,	AMCAP FUND-529A	50.00	1.38	100.0	0.00	1.38	1.38
07/16/2018	#00082239930	MONTERO,	AMCAP FUND-529A	50.00	1.38	100.0	0.00	1.38	1.38
07/16/2018	#00085191928	MONTERO,	AMCAP FUND-529A	50.00	1.38	100.0	0.00	1.38	1.38
07/16/2018	#00089764435	CHALLASURE	AMCAP FUND-529A	100.00	4.25	100.0	0.00	4.25	4.25
07/16/2018	#00089764458	CHALLASURE	AMCAP FUND-529A	100.00	4.25	100.0	0.00	4.25	4.25
07/16/2018	#04000010563	GARRISONJU	AMCAP FUND-529A	200.00	10.00	100.0	0.00	10.00	10.00
07/16/2018	#00089764435	CHALLASURE	AMERICAN BALANCED FUND-529A	100.00	4.25	100.0	0.00	4.25	4.25
07/16/2018	#00089764458	CHALLASURE	AMERICAN BALANCED FUND-529A	100.00	4.25	100.0	0.00	4.25	4.25
07/16/2018	#04000010563	GARRISONJU	AMERICAN FUNDS 02629M58	200.00	7.00	100.0	0.00	7.00	7.00
07/16/2018	#00074892517	THURMAN, JEREMY	AMR\ERICAN FUNDS 02629M645	200.00	4.00	100.0	0.00	4.00	4.00
07/16/2018	#00064989411	CHAVEZTAMI	CAPITAL WORLD GROWTH & INCOME FUND	38.25	0.77	100.0	0.00	0.77	0.77
07/16/2018	#00064989411	CHAVEZTAMI	CAPITAL WORLD GROWTH & INCOME FUND	38.25	0.77	100.0	0.00	0.77	0.77
07/16/2018	#00689433449	ARMAND, JUDITH	FIDELITY ADV EQUITY GROWTH-CL T	100.00	3.00	100.0	0.00	3.00	3.00
07/16/2018	#00089764435	CHALLASURE	FUNDAMENTAL INVESTORS-529A	100.00	4.25	100.0	0.00	4.25	4.25
07/16/2018	#00089764458	CHALLASURE	FUNDAMENTAL INVESTORS-529A	100.00	4.25	100.0	0.00	4.25	4.25
07/16/2018	#04000010563	GARRISONJU	FUNDAMENTAL INVESTORS-529A	200.00	10.00	100.0	0.00	10.00	10.00
07/16/2018	#00064989411	CHAVEZTAMI	GROWTH FUND AMERICA INC CLASS A	38.25	0.77	100.0	0.00	0.77	0.77
07/16/2018	#00064989411	CHAVEZTAMI	GROWTH FUND AMERICA INC CLASS A	38.25	0.77	100.0	0.00	0.77	0.77
07/16/2018	#00087188339	MCDOWAN, GEL	NEW ECONOMY FUND SBI CLASS A	50.00	2.50	100.0	0.00	2.50	2.50
07/16/2018	#00089764435	CHALLASURE	NEW PERSPECTIVE FUND-529A	100.00	4.25	100.0	0.00	4.25	4.25
07/16/2018	#00089764458	CHALLASURE	NEW PERSPECTIVE FUND-529A	100.00	4.25	100.0	0.00	4.25	4.25
07/16/2018	#04000010563	GARRISONJU	THE GROWTH FUND OF AMERICA-529A	200.00	10.00	100.0	0.00	10.00	10.00
07/16/2018	#00089764435	CHALLASURE	THE INCOME FUND OF AMERICA-529A	100.00	4.25	100.0	0.00	4.25	4.25
07/16/2018	#00089764458	CHALLASURE	THE INCOME FUND OF AMERICA-529A	100.00	4.25	100.0	0.00	4.25	4.25
07/16/2018	#00064989411	CHAVEZTAMI	WASHINGTON MUTUAL INVS FD CLASS A	38.25	0.77	100.0	0.00	0.77	0.77
07/16/2018	#00064989411	CHAVEZTAMI	WASHINGTON MUTUAL INVS FD CLASS A	38.25	0.77	100.0	0.00	0.77	0.77
07/20/2018	#00086791991	SANTOS, MARILU	GROWTH FUND AMER INC CL C	50.00	0.50	100.0	0.00	0.50	0.50
07/25/2018	#00067575889	REYES, JUAN	AMERICAN BALANCED FUND INC CL C	18.40	0.18	100.0	0.00	0.18	0.18
07/25/2018	#00067575889	REYES, JUAN	AMERICAN BALANCED FUND INC CL C	50.00	0.50	100.0	0.00	0.50	0.50

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/30/2018	#00032425931	STILLSARA	IVY PACIFIC OPPORTUNITIES A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
* MUTUAL FUNDS SUBTOTAL *				\$13,382.60	\$687.42		\$0.00	\$687.42	\$0.00	\$687.42
PRODUCT CATEGORY: STOCKS										
07/16/2018	#	JOOS, JODI	DAYBREAK OIL & GAS INC	3.38	0.00	100.0	0.00	0.00	0.00	0.00
07/24/2018	#	DICKINSON-KIDDER, KAREN	STMICROELECTRONICS N V NY REGISTRY	475.60	50.00	100.0	0.00	50.00	0.00	50.00
* STOCKS SUBTOTAL *				\$478.98	\$50.00		\$0.00	\$50.00	\$0.00	\$50.00
PRODUCT CATEGORY: BONDS										
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	15,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	-15,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	5,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	10,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	15,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	20,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	25,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	15,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	-23,422.50	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	25,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	-11,242.80	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	12,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	-9,369.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	10,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	-4,684.50	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	5,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	-5,621.40	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	6,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	23,037.50	0.00	100.0	0.00	0.00	0.00	0.00
06/29/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	23,422.67	0.00	100.0	0.00	0.00	0.00	0.00
06/29/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	-23,422.70	0.00	100.0	0.00	0.00	0.00	0.00
06/29/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	25,000.00	0.00	100.0	0.00	0.00	0.00	0.00
07/16/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	14,250.00	0.00	100.0	0.00	0.00	0.00	0.00
07/16/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	5,000.00	0.00	100.0	0.00	0.00	0.00	0.00
07/16/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	5,000.00	0.00	100.0	0.00	0.00	0.00	0.00
07/16/2018	#	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	5,000.00	0.00	100.0	0.00	0.00	0.00	0.00
07/25/2018	#	NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#G30452	47,558.73	0.00	100.0	0.00	0.00	0.00	0.00

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
07/25/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#G30452	48,747.71	0.00	100.0	0.00	0.00	0.00	0.00
07/25/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#G30452	252,724.31	0.00	100.0	0.00	0.00	0.00	0.00
07/25/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#G30452	246,796.96	0.00	100.0	0.00	0.00	0.00	0.00
07/25/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#G30452	37,908.65	0.00	100.0	0.00	0.00	0.00	0.00
07/25/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#G30452	37,019.54	0.00	100.0	0.00	0.00	0.00	0.00
07/27/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5334M	64,635.00	0.00	100.0	0.00	0.00	0.00	0.00
07/27/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5334M	66,250.92	0.00	100.0	0.00	0.00	0.00	0.00
07/27/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5334M	64,635.00	0.00	100.0	0.00	0.00	0.00	0.00
07/27/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5334M	66,250.92	0.00	100.0	0.00	0.00	0.00	0.00
07/27/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5334M	36,626.50	0.00	100.0	0.00	0.00	0.00	0.00
07/27/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5334M	37,542.19	0.00	100.0	0.00	0.00	0.00	0.00
07/27/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5334M	23,699.50	0.00	100.0	0.00	0.00	0.00	0.00
07/27/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5334M	24,292.01	0.00	100.0	0.00	0.00	0.00	0.00
07/27/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5334M	23,699.50	0.00	100.0	0.00	0.00	0.00	0.00
07/27/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5334M	24,292.01	0.00	100.0	0.00	0.00	0.00	0.00
07/27/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5334M	43,090.00	0.00	100.0	0.00	0.00	0.00	0.00
07/27/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5334M	44,167.28	0.00	100.0	0.00	0.00	0.00	0.00
* BONDS SUBTOTAL *			\$1,360,884.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00

PRODUCT CATEGORY: VARIABLE ANNUITIES

01/05/0018	#1436339767 JACKSON, W	METLIFE AFFILIATED	261.42	2.61	100.0	0.00	2.61	0.00	2.61
02/05/0018	#1436339767 JACKSON, W	METLIFE AFFILIATED	261.42	2.61	100.0	0.00	2.61	0.00	2.61
03/05/0018	#1436339767 JACKSON, W	METLIFE AFFILIATED	261.42	2.61	100.0	0.00	2.61	0.00	2.61
04/05/0018	#1436339767 JACKSON, W	METLIFE AFFILIATED	261.42	2.61	100.0	0.00	2.61	0.00	2.61
05/01/0018	#DAV18326 KOESTER	ALLIANZ VA	500.00	17.50	100.0	0.00	17.50	0.00	17.50
05/01/0018	#E1080714 CHADWICK, DIANE	PRUDETIAL TRAILS	0.00	19.79	100.0	0.00	19.79	0.00	19.79
05/01/0018	#E1226791 ARTHUR, ROBERT	PRUDETIAL TRAILS	0.00	8.60	100.0	0.00	8.60	0.00	8.60
05/01/0018	#E1229810 ARTHUR, ROBERT	PRUDETIAL TRAILS	0.00	8.24	100.0	0.00	8.24	0.00	8.24
05/01/0018	#E0648375 SCHNEIDER,	PRUDETIAL TRAILS	0.00	3.13	100.0	0.00	3.13	0.00	3.13
05/01/0018	#E0648375 SCHNEIDER,	PRUDETIAL TRAILS	0.00	9.40	100.0	0.00	9.40	0.00	9.40
05/01/0018	#E0840605 SCHNEIDER,	PRUDETIAL TRAILS	0.00	19.72	100.0	0.00	19.72	0.00	19.72
05/01/0018	#E0840605 SCHNEIDER,	PRUDETIAL TRAILS	0.00	59.16	100.0	0.00	59.16	0.00	59.16
05/01/0018	#E0810598 POWELL, MARC	PRUDETIAL TRAILS	0.00	2.96	100.0	0.00	2.96	0.00	2.96
05/01/0018	#E0810598 POWELL, MARC	PRUDETIAL TRAILS	0.00	11.83	100.0	0.00	11.83	0.00	11.83
05/01/0018	#E0847719 CARLSON, KENNETH	PRUDETIAL TRAILS	0.00	7.67	100.0	0.00	7.67	0.00	7.67
05/01/0018	#E0847719 CARLSON, KENNETH	PRUDETIAL TRAILS	0.00	30.70	100.0	0.00	30.70	0.00	30.70

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/01/0018	#E0746868 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	5.85	100.0	0.00	5.85	0.00	5.85
05/01/0018	#E0746868 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	17.54	100.0	0.00	17.54	0.00	17.54
05/01/0018	#E0791963 POWELL, MARC	PRUDETIAL TRAILS	0.00	2.08	100.0	0.00	2.08	0.00	2.08
05/01/0018	#E0791963 POWELL, MARC	PRUDETIAL TRAILS	0.00	8.33	100.0	0.00	8.33	0.00	8.33
05/01/0018	#E0454848 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	8.40	100.0	0.00	8.40	0.00	8.40
05/01/0018	#E0454848 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	33.61	100.0	0.00	33.61	0.00	33.61
05/01/0018	#E0892527 BAIRD, SCOTT	PRUDETIAL TRAILS	0.00	0.02	100.0	0.00	0.02	0.00	0.02
05/01/0018	#E0892527 BAIRD, SCOTT	PRUDETIAL TRAILS	0.00	0.02	100.0	0.00	0.02	0.00	0.02
05/01/0018	#000611043 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	14.19	100.0	0.00	14.19	0.00	14.19
05/01/0018	#000611043 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	42.58	100.0	0.00	42.58	0.00	42.58
05/01/0018	#000611048 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	15.00	100.0	0.00	15.00	0.00	15.00
05/01/0018	#000611048 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	45.00	100.0	0.00	45.00	0.00	45.00
05/05/0018	#1436339767 JACKSON, W	METLIFE AFFILIATED	261.42	2.61	100.0	0.00	2.61	0.00	2.61
06/04/0018	#124409LB8 VAIANELLA	TRANSAMERICA FLDP08	0.00	324.43	100.0	0.00	324.43	0.00	324.43
06/04/0018	#132926LB8 VAIANELLA	TRANSAMERICA FLDP08	0.00	68.59	100.0	0.00	68.59	0.00	68.59
06/05/0018	#1436339767 JACKSON, W	METLIFE AFFILIATED	261.42	2.61	100.0	0.00	2.61	0.00	2.61
06/05/0018	#151587LK8 VAIANELLA	TRANSAMERICA FLDP08	0.00	96.85	100.0	0.00	96.85	0.00	96.85
06/08/0018	#507707700444397 MORGAN,	DELAWARE SLFMFX11	0.00	27.86	100.0	0.00	27.86	0.00	27.86
06/09/0018	#ALL0098263 SPALINGER, DAVID	ALLSTATE APP3CI	0.00	53.49	100.0	0.00	53.49	0.00	53.49
06/09/0018	#ALL0098264 SPALINGER, DAVID	ALLSTATE APP3CI	0.00	13.91	100.0	0.00	13.91	0.00	13.91
06/09/0018	#C1750060X MARTIN, ESTELL	VOYA	0.00	69.26	100.0	0.00	69.26	0.00	69.26
07/02/0018	#500002AEO OILFIELD, TK	TRANSAMERICA FIN LIFE	0.00	0.04	100.0	0.00	0.04	0.00	0.04
07/02/0018	#500002BBB VISTA, ,OUNTAIN	TRANSAMERICA FIN LIFE	0.00	22.04	100.0	0.00	22.04	0.00	22.04
07/02/0018	#500002BCO GONZA, AMPARO	TRANSAMERICA FIN LIFE	0.00	0.07	100.0	0.00	0.07	0.00	0.07
07/02/0018	#500002BKK HECKMA, DEVON	TRANSAMERICA FIN LIFE	0.00	1.99	100.0	0.00	1.99	0.00	1.99
07/02/0018	#500002BLY LA, MICHAEL	TRANSAMERICA FIN LIFE	0.00	0.13	100.0	0.00	0.13	0.00	0.13
07/02/0018	#500002BMD ASAP TOWING	TRANSAMERICA FIN LIFE	0.00	6.86	100.0	0.00	6.86	0.00	6.86
07/02/0018	#500002T37 RI, GABRIEL	TRANSAMERICA FIN LIFE	0.00	11.63	100.0	0.00	11.63	0.00	11.63
07/02/0018	#500002T83 ACE APPRAOSAL	TRANSAMERICA FIN LIFE	0.00	45.89	100.0	0.00	45.89	0.00	45.89
07/03/0018	#15N6042523 BRADLEY, LINDA	TRANSAMERICA PRE	252,991.78	632.48	100.0	0.00	632.48	0.00	632.48
07/07/0018	#15N6033648 BOWSER, PATRICIA	TRANSAMERICA PRE	19,353.15	48.38	100.0	0.00	48.38	0.00	48.38
07/08/0018	#15N6033650 SAWYER, JOHN	TRANSAMERICA PRE	81,673.17	204.18	100.0	0.00	204.18	0.00	204.18
07/09/0018	#VR00057244 COLVIN, TORY	PACIFIC LIFE TRAILS	0.00	40.34	100.0	0.00	40.34	0.00	40.34
07/09/0018	#VR02018564 GILLILAND, KEVIN	PACIFIC LIFE TRAILS	0.00	5.92	100.0	0.00	5.92	0.00	5.92
07/09/0018	#VR02018565 GILLILAND,	PACIFIC LIFE TRAILS	0.00	5.92	100.0	0.00	5.92	0.00	5.92
07/09/0018	#VM15005941 PEREZ, EMILY	PACIFIC LIFE TRAILS	0.00	20.28	100.0	0.00	20.28	0.00	20.28

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/09/0018	#VR0102666 SPALINGER, MELODY	PACIFIC LIFE TRAILS	0.00	11.28	100.0	0.00	11.28	0.00	11.28
06/20/0201	#DAV18326 KOESTER	ALLIANZ VA	500.00	17.50	100.0	0.00	17.50	0.00	17.50
06/30/0201	#712633917 ARCHER, FORREST	HARTFORD LIFE	0.00	73.04	100.0	0.00	73.04	0.00	73.04
06/30/0201	#366020516 MOFFITT, PATRICIA	HARTFORD LIFE	0.00	0.59	100.0	0.00	0.59	0.00	0.59
06/30/0201	#366029290 MOFFITT, PATRICIA	HARTFORD LIFE	0.00	5.54	100.0	0.00	5.54	0.00	5.54
06/30/0201	#510029960 LEBLANC, GERALD	HARTFORD LIFE	0.00	24.17	100.0	0.00	24.17	0.00	24.17
06/30/0201	#510029965 LEBLANC, GERALD	HARTFORD LIFE	0.00	3.91	100.0	0.00	3.91	0.00	3.91
06/30/0201	#711035186 LEBLANC, GERALD	HARTFORD LIFE	0.00	162.16	100.0	0.00	162.16	0.00	162.16
06/30/0201	#711201663 LEBLANC, GERALD	HARTFORD LIFE	0.00	15.61	100.0	0.00	15.61	0.00	15.61
06/30/0201	#712139932 LEBLANC, GERALD	HARTFORD LIFE	0.00	3.88	100.0	0.00	3.88	0.00	3.88
06/30/0201	#712502581 LEBLANC, GERALD	HARTFORD LIFE	0.00	23.85	100.0	0.00	23.85	0.00	23.85
06/30/0201	#712575936 LEBLANC, GERALD	HARTFORD LIFE	0.00	310.79	100.0	0.00	310.79	0.00	310.79
06/30/0201	#712652487 LEBLANC, GERALD	HARTFORD LIFE	0.00	74.00	100.0	0.00	74.00	0.00	74.00
06/30/0201	#712659413 LEBLANC, GERALD	HARTFORD LIFE	0.00	263.95	100.0	0.00	263.95	0.00	263.95
06/30/0201	#711598959 LEBLANC, GERALD	HARTFORD LIFE	0.00	30.06	100.0	0.00	30.06	0.00	30.06
06/30/0201	#510011827 LEBLANC, GERALD	HARTFORD LIFE	0.00	17.86	100.0	0.00	17.86	0.00	17.86
06/30/0201	#510011828 LEBLANC, GERALD	HARTFORD LIFE	0.00	56.99	100.0	0.00	56.99	0.00	56.99
06/30/0201	#70051358 THOMPSON, GEORGE	JOHN HANCOCK LIFE TRAILS	0.00	72.65	100.0	0.00	72.65	0.00	72.65
06/30/0201	#23028285 , JOE	PHOENIX	0.00	33.75	100.0	0.00	33.75	0.00	33.75
07/20/0201	#DAV18326 KOESTER	ALLIANZ VA	500.00	17.50	100.0	0.00	17.50	0.00	17.50
07/30/0201	#B16632107134807134 MILANA,	SECURITY BENEFIT	0.00	5.00	100.0	0.00	5.00	0.00	5.00
07/30/0201	#B16632107077700242 RIZZO	SECURITY BENEFIT	0.00	3.76	100.0	0.00	3.76	0.00	3.76
07/30/0201	#B16557104099429268 BARNES,	SECURITY BENEFIT	0.00	15.16	100.0	0.00	15.16	0.00	15.16
07/30/0201	#B16557104085646439 BARNES,	SECURITY BENEFIT	0.00	6.13	100.0	0.00	6.13	0.00	6.13
07/30/0201	#B16632107134807134 MILANA,	SECURITY BENEFIT	0.00	2.86	100.0	0.00	2.86	0.00	2.86
07/30/0201	#B16683107133661824 FL, UTMA	SECURITY BENEFIT	0.00	0.48	100.0	0.00	0.48	0.00	0.48
07/30/0201	#B16632107077700242 RIZZO	SECURITY BENEFIT	0.00	2.10	100.0	0.00	2.10	0.00	2.10
07/30/0201	#B16683107069663772 MILANA,	SECURITY BENEFIT	0.00	3.81	100.0	0.00	3.81	0.00	3.81
07/30/0201	#B16557107106726917 MERCADO,	SECURITY BENEFIT	0.00	78.75	100.0	0.00	78.75	0.00	78.75
07/30/0201	#B16650007102702501 KELLY,	SECURITY BENEFIT	0.00	0.13	100.0	0.00	0.13	0.00	0.13
07/30/0201	#B16557107085585407 ARROYO,	SECURITY BENEFIT	0.00	2.65	100.0	0.00	2.65	0.00	2.65
07/30/0201	#B16557107068705771 BARNES,	SECURITY BENEFIT	0.00	5.94	100.0	0.00	5.94	0.00	5.94
07/30/0201	#B16683104096622808 MILANA,	SECURITY BENEFIT	0.00	1.23	100.0	0.00	1.23	0.00	1.23
07/30/0201	#B16683107062661009 DEMASI,	SECURITY BENEFIT	0.00	3.13	100.0	0.00	3.13	0.00	3.13
07/02/2018	#3202055110 STRAIN, JEFFERY	BRIGHTHOUSE HCAPAL1	0.00	22.29	100.0	0.00	22.29	0.00	22.29
07/02/2018	#3202229009 BANKS, DAVID	BRIGHTHOUSE HCAPAL1	0.00	75.17	100.0	0.00	75.17	0.00	75.17

COMMISSION STATEMENT for LPFS HOUSE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/02/2018	#3202229017	BANKS, DAVID	BRIGHTHOUSE HCAPAL1	0.00	76.91	100.0	0.00	76.91	76.91
07/02/2018	#15N6043020	REED, WILDMA	TRANSAMERICA PRE	0.00	66.22	100.0	0.00	66.22	66.22
07/02/2018	#15N6043020	REED, WILDMA	TRANSAMERICA PRE	0.00	66.22	100.0	0.00	66.22	66.22
07/02/2018	#15N6043020	REED, WILDMA	TRANSAMERICA PRE	0.00	-66.22	100.0	0.00	-66.22	-66.22
07/05/2018	#C1740890X	KENNEDY, RICHARD	VOYA	0.00	84.94	100.0	0.00	84.94	84.94
07/06/2018	#308633441	MALTESE, THERESA	AXA	11,301.04	28.25	100.0	0.00	28.25	28.25
07/06/2018	#307742802	HAKEMAN, PATRICIA	AXA	13,588.36	15.29	100.0	0.00	15.29	15.29
07/06/2018	#307742804	HAKEMAN	AXA	255,981.50	287.98	100.0	0.00	287.98	287.98
07/06/2018	#2486143	WILLIAMS, KATRINA	JOHN HANCOCK LIFE TRAILS	0.00	10.91	100.0	0.00	10.91	10.91
07/06/2018	#2486144	WILLIAMS, KELLY	JOHN HANCOCK LIFE TRAILS	0.00	5.34	100.0	0.00	5.34	5.34
07/06/2018	#2557053	VILLALON, MITZI	JOHN HANCOCK LIFE TRAILS	0.00	39.64	100.0	0.00	39.64	39.64
07/06/2018	#2557056	GREEN, JILL	JOHN HANCOCK LIFE TRAILS	0.00	1.93	100.0	0.00	1.93	1.93
07/06/2018	#2580265	SHARMA, RAHUL	JOHN HANCOCK LIFE TRAILS	0.00	73.31	100.0	0.00	73.31	73.31
07/06/2018	#2589636	LINDE, RYAN	JOHN HANCOCK LIFE TRAILS	0.00	10.32	100.0	0.00	10.32	10.32
07/06/2018	#2790498	YUNGMAN, WENDELIN	JOHN HANCOCK LIFE TRAILS	0.00	17.47	100.0	0.00	17.47	17.47
07/06/2018	#95021964	YUNGMAN, WENDELIN	JOHN HANCOCK LIFE TRAILS	0.00	24.72	100.0	0.00	24.72	24.72
07/06/2018	#2527481	MAYER, LEOTA	JOHN HANCOCK LIFE TRAILS	0.00	101.88	100.0	0.00	101.88	101.88
07/06/2018	#2620179	ALVAREZ, ROBERT	JOHN HANCOCK LIFE TRAILS	0.00	41.58	100.0	0.00	41.58	41.58
07/06/2018	#8038175	ALVES, JOHN	JOHN HANCOCK LIFE TRAILS	0.00	95.54	100.0	0.00	95.54	95.54
07/06/2018	#8048120	WEIZEL, KENNETH	JOHN HANCOCK LIFE TRAILS	0.00	88.85	100.0	0.00	88.85	88.85
07/06/2018	#2504052	KOONCE, JANICE	JOHN HANCOCK LIFE TRAILS	0.00	32.05	100.0	0.00	32.05	32.05
07/06/2018	#2538574	GRALA, MERIA	JOHN HANCOCK LIFE TRAILS	0.00	29.52	100.0	0.00	29.52	29.52
07/06/2018	#40007096LK2	VAIANELLA	TRANS LIFE FE22MA	0.00	19.11	100.0	0.00	19.11	19.11
07/06/2018	#40005742LK2	VAIANELLA	TRANS LIFE FE22MC	0.00	56.61	100.0	0.00	56.61	56.61
07/06/2018	#127893W2K	GOODWIN, FRED	TRANS LIFE FE2DR1	0.00	0.32	100.0	0.00	0.32	0.32
07/06/2018	#123639LK8	VAIANELLA	TRANS LIFE FL10MS	0.00	25.10	100.0	0.00	25.10	25.10
07/06/2018	#123641LK8	VAIANELLA	TRANS LIFE FL10MS	0.00	24.30	100.0	0.00	24.30	24.30
07/06/2018	#123973LK8	VAIANELLA	TRANS LIFE FL10MS	0.00	24.77	100.0	0.00	24.77	24.77
07/06/2018	#123974LK8	VAIANELLA	TRANS LIFE FL10MS	0.00	59.85	100.0	0.00	59.85	59.85
07/06/2018	#124709LK8	VAIANELLA	TRANS LIFE FL10MS	0.00	83.59	100.0	0.00	83.59	83.59
07/06/2018	#119794TAL	HAHN, IRWIN	TRANS LIFE FTLB01	0.00	39.22	100.0	0.00	39.22	39.22
07/06/2018	#100818TAL	BERBERIAN, JOSEPH	TRANS LIFE FTLB04	0.00	216.99	100.0	0.00	216.99	216.99
07/06/2018	#111219TAL	BROPHY, SUSAN	TRANS LIFE FTLB06	0.00	67.62	100.0	0.00	67.62	67.62
07/06/2018	#119229TAL	BOGUCHWAL, SHERRY	TRANS LIFE FTLB06	0.00	50.89	100.0	0.00	50.89	50.89
07/06/2018	#105766LB8	PARTNOYUTE, LAUR	TRANS LIFEFLDP26	0.00	285.23	100.0	0.00	285.23	285.23
07/06/2018	#105767LB8	SHEYNIN, MICHAEL	TRANS LIFEFLDP26	0.00	300.95	100.0	0.00	300.95	300.95

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/06/2018	#110865LB8 PEREZ, HECTOR	TRANS LIFEFLDP26	0.00	76.60	100.0	0.00	76.60	0.00	76.60
07/06/2018	#130283LB8 WILLIAMSON	TRANS LIFEFLDP26	0.00	88.46	100.0	0.00	88.46	0.00	88.46
07/08/2018	#P55D3526975 MYERS, STANLEY	AMERICAN GEN TRAILS	0.00	96.63	100.0	0.00	96.63	0.00	96.63
07/09/2018	#P55D2519688 MYERS, STANLEY	AMERICAN GEN TRAILS	0.00	61.43	100.0	0.00	61.43	0.00	61.43
07/31/2018	#1017364452 VESCUSO	ELITE ACCESS	7,364.85	6.14	100.0	0.00	6.14	0.00	6.14
07/31/2018	#1017364320 VESCUSO	ELITE ACCESS	9,733.26	8.11	100.0	0.00	8.11	0.00	8.11
07/31/2018	#009420996A LYON, LEIGH	RTMT LAT	173,946.91	72.48	100.0	0.00	72.48	0.00	72.48
07/31/2018	#009421048A RYAN, THOMAS	RTMT LAT	22,329.87	9.30	100.0	0.00	9.30	0.00	9.30
* VARIABLE ANNUITIES SUBTOTAL *			\$851,332.41	\$6,361.54		\$0.00	\$6,361.54	\$0.00	\$6,361.54
<u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u>									
07/23/2018	# NCIAL SVCS LLC, LIBERTY	GOLDMAN SACHS BANK USA 23	29,400.00	0.00	100.0	0.00	0.00	0.00	0.00
07/23/2018	# NCIAL SVCS LLC, LIBERTY	GOLDMAN SACHS BANK USA 23	30,000.00	0.00	100.0	0.00	0.00	0.00	0.00
* CERTIFICATES OF DEPOSIT SUBTOTAL *			\$59,400.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
07/10/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT TARGET HIGH QUALITY	15,350.00	0.00	100.0	0.00	0.00	19.95	-19.95
07/10/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT TARGET HIGH QUALITY	15,158.13	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST	134,076.84	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST	134,076.84	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST CORPORATE TAX SER 3	83,217.76	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST CORPORATE TAX SER 3	83,217.76	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED INCOME	9,349.66	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED INCOME	10,497.28	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED INCOME	24,313.63	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED INCOME	44,160.57	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED SER 201	26,720.95	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED SER 201	26,720.95	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVID GROWTH PORT S	10,857.81	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVID GROWTH PORT S	10,857.81	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST ENERGY SELECT PORTF	5,760.67	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST ENERGY SELECT PORTF	5,760.67	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST HIGH DIVID SER 33 E	52,198.59	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST HIGH DIVID SER 33 E	52,198.59	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST HIGH DIVID SER 33 E	104,287.47	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST HIGH DIVID SER 33 E	208,684.66	0.00	100.0	0.00	0.00	19.95	-19.95

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST UTILS SELECT PORT S	5,405.54	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST UTILS SELECT PORT S	5,405.54	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT ENERGY SELECT PORT SER 65 SE	54,977.67	0.00	100.0	0.00	0.00	19.95	-19.95
07/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT ENERGY SELECT PORT SER 65 SE	54,977.67	0.00	100.0	0.00	0.00	19.95	-19.95
07/20/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT TARGET HIGH QUALITY	995.50	0.00	100.0	0.00	0.00	19.95	-19.95
07/20/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT TARGET HIGH QUALITY	983.05	0.00	100.0	0.00	0.00	19.95	-19.95
07/23/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT TARGET HIGH QUALITY	8,965.84	0.00	100.0	0.00	0.00	19.95	-19.95
07/23/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT TARGET HIGH QUALITY	8,853.80	0.00	100.0	0.00	0.00	19.95	-19.95
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$1,198,031.25	\$0.00		\$0.00	\$0.00	\$558.60	\$-558.60

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018	#05502675233	VARIOUS HOUSE	ACTIVE ALLOCATION CLASS A	0.00	0.02	100.0	0.00	0.02	0.00	0.02
06/30/2018	#05502293786	VARIOUS HOUSE	ACTIVE ALLOCATION CLASS A	0.00	3.37	100.0	0.00	3.37	0.00	3.37
06/30/2018	#05502345849	VARIOUS HOUSE	ACTIVE ALLOCATION CLASS A	0.00	27.41	100.0	0.00	27.41	0.00	27.41
06/30/2018	#05502357773	VARIOUS HOUSE	ACTIVE ALLOCATION CLASS A	0.00	22.14	100.0	0.00	22.14	0.00	22.14
06/30/2018	#07603485922	VARIOUS HOUSE	CASH RESERVES A	0.00	0.00	100.0	0.00	0.00	0.00	0.00
06/30/2018	#07603894451	VARIOUS HOUSE	CASH RESERVES A	0.00	0.00	100.0	0.00	0.00	0.00	0.00
06/30/2018	#07621365430		CASH RESERVES C	0.00	0.00	100.0	0.00	0.00	0.00	0.00
06/30/2018	#07621045902	VARIOUS HOUSE	CASH RESERVES C	0.00	0.00	100.0	0.00	0.00	0.00	0.00
06/30/2018	#02850995709	VARIOUS HOUSE	CORE BOND FUND A	0.00	0.86	100.0	0.00	0.86	0.00	0.86
06/30/2018	#02851910579		CORE BOND FUND A	0.00	2.16	100.0	0.00	2.16	0.00	2.16
06/30/2018	#02852078186	VARIOUS HOUSE	CORE BOND FUND A	0.00	0.72	100.0	0.00	0.72	0.00	0.72
06/30/2018	#05020414194	VARIOUS HOUSE	DISCOVERY FUND C	0.00	17.91	100.0	0.00	17.91	0.00	17.91
06/30/2018	#05020480458	KORETZ, S	DISCOVERY FUND C	0.00	0.00	100.0	0.00	0.00	0.00	0.00
06/30/2018	#08370689337	VARIOUS HOUSE	EQUITY INCOME FUND, INC. C	0.00	23.03	100.0	0.00	23.03	0.00	23.03
06/30/2018	#05550738815	VARIOUS HOUSE	EQUITY INVESTORS FUND	0.00	3.08	100.0	0.00	3.08	0.00	3.08
06/30/2018	#02574109413	VARIOUS HOUSE	GLOBAL ALLOCATION FUND A	0.00	1.88	100.0	0.00	1.88	0.00	1.88
06/30/2018	#02570974985	DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	0.00	0.68	100.0	0.00	0.68	0.00	0.68
06/30/2018	#02572169717	999HOUSE	GLOBAL ALLOCATION FUND A	0.00	1.24	100.0	0.00	1.24	0.00	1.24
06/30/2018	#02574720870	VARIOUS HOUSE	GLOBAL ALLOCATION FUND A	0.00	12.01	100.0	0.00	12.01	0.00	12.01
06/30/2018	#02577706743	VARIOUS HOUSE	GLOBAL ALLOCATION FUND A	0.00	4.12	100.0	0.00	4.12	0.00	4.12
06/30/2018	#03322595890	VARIOUS HOUSE	GLOBAL FUND C	0.00	15.87	100.0	0.00	15.87	0.00	15.87
06/30/2018	#02322581040		GLOBAL STRATEGIC INCOME FUND C	0.00	24.60	100.0	0.00	24.60	0.00	24.60
06/30/2018	#08270310475	BELCASTRO,	INTERNATIONAL GROWTH FUND C	0.00	38.68	100.0	0.00	38.68	0.00	38.68
06/30/2018	#08270873631	BELCASTRO,	INTERNATIONAL GROWTH FUND C	0.00	4.12	100.0	0.00	4.12	0.00	4.12
06/30/2018	#08551225257	VARIOUS HOUSE	LIMITED-TERM GOVERNMENT FUND A	0.00	0.47	100.0	0.00	0.47	0.00	0.47

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018	#07023037287	BELCASTRO, MAIN STREET FUND C	0.00	42.88	100.0	0.00	42.88	0.00	42.88
06/30/2018	#07023495030	VARIOUS HOUSE MAIN STREET FUND C	0.00	3.54	100.0	0.00	3.54	0.00	3.54
06/30/2018	#07024319018	BELCASTRO, MAIN STREET FUND C	0.00	4.26	100.0	0.00	4.26	0.00	4.26
06/30/2018	#07024242711	KORETZ, S MAIN STREET FUND C	0.00	0.00	100.0	0.00	0.00	0.00	0.00
06/30/2018	#07024265492	LUVERA, V MAIN STREET FUND C	0.00	17.70	100.0	0.00	17.70	0.00	17.70
06/30/2018	#08481464287	MAIN STREET SMALL- & MID-CAP FUND B	0.00	0.15	100.0	0.00	0.15	0.00	0.15
06/30/2018	#08481192565	VARIOUS HOUSE MAIN STREET SMALL- & MID-CAP FUND B	0.00	0.04	100.0	0.00	0.04	0.00	0.04
06/30/2018	#08481482679	VARIOUS HOUSE MAIN STREET SMALL- & MID-CAP FUND B	0.00	0.04	100.0	0.00	0.04	0.00	0.04
06/30/2018	#05470420772	ALONGE, A OPPEN FUNDS	0.00	0.00	100.0	0.00	0.00	0.00	0.00
06/30/2018	#05470420794	MASTER, S OPPEN FUNDS	0.00	61.82	100.0	0.00	61.82	0.00	61.82
06/30/2018	#03210187397	VARIOUS HOUSE OPPENHEIMER CAPITAL APPRECIATION FU	0.00	4.04	100.0	0.00	4.04	0.00	4.04
06/30/2018	#03211283646	LAMAR, K OPPENHEIMER CAPITAL APPRECIATION FU	0.00	7.56	100.0	0.00	7.56	0.00	7.56
06/30/2018	#03202920181	VARIOUS HOUSE OPPENHEIMER CAPITAL APPRECIATION FU	0.00	0.73	100.0	0.00	0.73	0.00	0.73
06/30/2018	#03203908729	VARIOUS HOUSE OPPENHEIMER CAPITAL APPRECIATION FU	0.00	1.68	100.0	0.00	1.68	0.00	1.68
06/30/2018	#03207695347	VARIOUS HOUSE OPPENHEIMER CAPITAL APPRECIATION FU	0.00	57.46	100.0	0.00	57.46	0.00	57.46
06/30/2018	#03208617796	VARIOUS HOUSE OPPENHEIMER CAPITAL APPRECIATION FU	0.00	2.68	100.0	0.00	2.68	0.00	2.68
06/30/2018	#03211300982	VARIOUS HOUSE OPPENHEIMER CAPITAL APPRECIATION FU	0.00	0.01	100.0	0.00	0.01	0.00	0.01
06/30/2018	#03008351684	VARIOUS HOUSE OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	38.93	100.0	0.00	38.93	0.00	38.93
06/30/2018	#03008730909	VARIOUS HOUSE OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	0.39	100.0	0.00	0.39	0.00	0.39
06/30/2018	#03020569580	VARIOUS HOUSE OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	22.06	100.0	0.00	22.06	0.00	22.06
06/30/2018	#03001893529	VARIOUS HOUSE OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	0.97	100.0	0.00	0.97	0.00	0.97
06/30/2018	#03009123334	VARIOUS HOUSE OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	6.92	100.0	0.00	6.92	0.00	6.92
06/30/2018	#03020519211	VARIOUS HOUSE OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	102.79	100.0	0.00	102.79	0.00	102.79
06/30/2018	#07871831929	VARIOUS HOUSE OPPENHEIMER DEVELOPING MARKETS FUND	0.00	10.53	100.0	0.00	10.53	0.00	10.53
06/30/2018	#07859653215	VARIOUS HOUSE OPPENHEIMER DEVELOPING MARKETS FUND	0.00	4.66	100.0	0.00	4.66	0.00	4.66
06/30/2018	#07453279639	VARIOUS HOUSE OPPENHEIMER DISCOVERY FUND CL A	0.00	12.81	100.0	0.00	12.81	0.00	12.81
06/30/2018	#02050184063	999HOUSE OPPENHEIMER EQUITY INCOME FUND INC-	0.00	2.12	100.0	0.00	2.12	0.00	2.12
06/30/2018	#08350850883	VARIOUS HOUSE OPPENHEIMER EQUITY INCOME FUND INC-	0.00	10.33	100.0	0.00	10.33	0.00	10.33
06/30/2018	#02380716551	BELCASTRO, OPPENHEIMER FLEXIBLE STRATEGIES FUN	0.00	25.31	100.0	0.00	25.31	0.00	25.31
06/30/2018	#02381168002	BELCASTRO, OPPENHEIMER FLEXIBLE STRATEGIES FUN	0.00	3.46	100.0	0.00	3.46	0.00	3.46
06/30/2018	#05420218691	VARIOUS HOUSE OPPENHEIMER FUNDS	0.00	290.97	100.0	0.00	290.97	0.00	290.97
06/30/2018	#05420223784	MARIAN, S OPPENHEIMER FUNDS	0.00	32.35	100.0	0.00	32.35	0.00	32.35
06/30/2018	#03306023481	VARIOUS HOUSE OPPENHEIMER GLOBAL FUND CL A	0.00	2.32	100.0	0.00	2.32	0.00	2.32
06/30/2018	#03307227277	LAMAR, K OPPENHEIMER GLOBAL FUND CL A	0.00	2.49	100.0	0.00	2.49	0.00	2.49
06/30/2018	#02155172178	999HOUSE OPPENHEIMER GLOBAL OPPORTUNITIES FD	0.00	4.39	100.0	0.00	4.39	0.00	4.39
06/30/2018	#02171603063	999HOUSE OPPENHEIMER GLOBAL OPPORTUNITIES FD	0.00	21.25	100.0	0.00	21.25	0.00	21.25

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018	#01903455793	VARIOUS HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.20	100.0	0.00	1.20	1.20
06/30/2018	#02302408376	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	0.53	100.0	0.00	0.53	0.53
06/30/2018	#02305532970	VARIOUS HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	11.91	100.0	0.00	11.91	11.91
06/30/2018	#02309851666	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.41	100.0	0.00	1.41	1.41
06/30/2018	#02317123600	VARIOUS HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	0.08	100.0	0.00	0.08	0.08
06/30/2018	#02302402491	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	0.21	100.0	0.00	0.21	0.21
06/30/2018	#02306336619	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.90	100.0	0.00	1.90	1.90
06/30/2018	#02306346530	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	2.20	100.0	0.00	2.20	2.20
06/30/2018	#02306400683	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	8.23	100.0	0.00	8.23	8.23
06/30/2018	#02306429338	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	3.50	100.0	0.00	3.50	3.50
06/30/2018	#02306429393	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.32	100.0	0.00	1.32	1.32
06/30/2018	#02306443374	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	20.54	100.0	0.00	20.54	20.54
06/30/2018	#02306538458	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	36.62	100.0	0.00	36.62	36.62
06/30/2018	#02306588926	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.17	100.0	0.00	1.17	1.17
06/30/2018	#02306606757	VARIOUS HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	62.75	100.0	0.00	62.75	62.75
06/30/2018	#02301553181	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	0.11	100.0	0.00	0.11	0.11
06/30/2018	#04101480149	VARIOUS HOUSE	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	15.73	100.0	0.00	15.73	15.73
06/30/2018	#04102312618	VARIOUS HOUSE	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	4.29	100.0	0.00	4.29	4.29
06/30/2018	#04103850352	VARIOUS HOUSE	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	3.25	100.0	0.00	3.25	3.25
06/30/2018	#08804954972	VARIOUS HOUSE	OPPENHEIMER INTERNATIONAL BOND FUND	0.00	1.45	100.0	0.00	1.45	1.45
06/30/2018	#08257831714	VARIOUS HOUSE	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	1.42	100.0	0.00	1.42	1.42
06/30/2018	#08252216247	VARIOUS HOUSE	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	4.98	100.0	0.00	4.98	4.98
06/30/2018	#08252179144	VARIOUS HOUSE	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	0.16	100.0	0.00	0.16	0.16
06/30/2018	#01952749945	999HOUSE	OPPENHEIMER INTL DIVERISIFIED FD CL	0.00	14.16	100.0	0.00	14.16	14.16
06/30/2018	#08476885593	VARIOUS HOUSE	OPPENHEIMER MAIN STR SMALL & MID CA	0.00	3.66	100.0	0.00	3.66	3.66
06/30/2018	#08475055182	VARIOUS HOUSE	OPPENHEIMER MAIN STR SMALL & MID CA	0.00	6.17	100.0	0.00	6.17	6.17
06/30/2018	#08476230411	VARIOUS HOUSE	OPPENHEIMER MAIN STR SMALL & MID CA	0.00	35.04	100.0	0.00	35.04	35.04
06/30/2018	#08476886286	VARIOUS HOUSE	OPPENHEIMER MAIN STR SMALL & MID CA	0.00	21.53	100.0	0.00	21.53	21.53
06/30/2018	#08477285586	VARIOUS HOUSE	OPPENHEIMER MAIN STR SMALL & MID CA	0.00	0.01	100.0	0.00	0.01	0.01
06/30/2018	#07005386821	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	0.83	100.0	0.00	0.83	0.83
06/30/2018	#07002648550	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	3.48	100.0	0.00	3.48	3.48
06/30/2018	#07003127743	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	17.20	100.0	0.00	17.20	17.20
06/30/2018	#04206741284	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	3.29	100.0	0.00	3.29	3.29
06/30/2018	#04206741295	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	1.92	100.0	0.00	1.92	1.92
06/30/2018	#04206741449	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	1.02	100.0	0.00	1.02	1.02
06/30/2018	#04206741460	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	3.97	100.0	0.00	3.97	3.97

COMMISSION STATEMENT for LPFS HOUSE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018	#04206741471	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	22.18	100.0	0.00	22.18	22.18
06/30/2018	#07005994736	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	15.16	100.0	0.00	15.16	15.16
06/30/2018	#04205457859	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	0.43	100.0	0.00	0.43	0.43
06/30/2018	#02521058376	VARIOUS HOUSE	OPPENHEIMER MID CAP VALUE	0.00	0.16	100.0	0.00	0.16	0.16
06/30/2018	#02520789503	999HOUSE	OPPENHEIMER MID CAP VALUE	0.00	0.04	100.0	0.00	0.04	0.04
06/30/2018	#02521084028	999HOUSE	OPPENHEIMER MID CAP VALUE	0.00	0.04	100.0	0.00	0.04	0.04
06/30/2018	#05400542861	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER	0.00	0.69	100.0	0.00	0.69	0.69
06/30/2018	#05520920700	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	0.00	73.84	100.0	0.00	73.84	73.84
06/30/2018	#05520921393	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	0.00	68.82	100.0	0.00	68.82	68.82
06/30/2018	#05520923714	KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	0.00	0.00	100.0	0.00	0.00	0.00
06/30/2018	#05520944680	MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	0.00	111.67	100.0	0.00	111.67	111.67
06/30/2018	#05520950642	LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	0.00	67.67	100.0	0.00	67.67	67.67
06/30/2018	#05920334191	LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	0.00	50.40	100.0	0.00	50.40	50.40
06/30/2018	#02252910468	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	1.14	100.0	0.00	1.14	1.14
06/30/2018	#02252060278	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	3.11	100.0	0.00	3.11	3.11
06/30/2018	#02253961529	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	5.16	100.0	0.00	5.16	5.16
06/30/2018	#02254195873	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	0.01	100.0	0.00	0.01	0.01
06/30/2018	#02254706086	VARIOUS HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	5.13	100.0	0.00	5.13	5.13
06/30/2018	#02254706097	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	2.99	100.0	0.00	2.99	2.99
06/30/2018	#02254706328	VARIOUS HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	1.58	100.0	0.00	1.58	1.58
06/30/2018	#02254706339	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	6.18	100.0	0.00	6.18	6.18
06/30/2018	#02254706350	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	34.34	100.0	0.00	34.34	34.34
06/30/2018	#02254825326	ULBRICHT,	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	2.17	100.0	0.00	2.17	2.17
06/30/2018	#06000215281	VARIOUS HOUSE	OPPENHEIMER SELECT VALUE FD CL A	0.00	0.30	100.0	0.00	0.30	0.30
06/30/2018	#02911117985	VARIOUS HOUSE	OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	1.20	100.0	0.00	1.20	1.20
06/30/2018	#02912709091	VARIOUS HOUSE	OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	0.33	100.0	0.00	0.33	0.33
06/30/2018	#02912733588	VARIOUS HOUSE	OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	38.66	100.0	0.00	38.66	38.66
06/30/2018	#02912889183	VARIOUS HOUSE	OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	1.31	100.0	0.00	1.31	1.31
06/30/2018	#02912939046	VARIOUS HOUSE	OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	70.20	100.0	0.00	70.20	70.20
06/30/2018	#07210628232	VARIOUS HOUSE	OPPENHEIMER SMALL & MIDCAP GROWTH F	0.00	4.60	100.0	0.00	4.60	4.60
06/30/2018	#07210785389	VARIOUS HOUSE	OPPENHEIMER SMALL & MIDCAP GROWTH F	0.00	0.01	100.0	0.00	0.01	0.01
06/30/2018	#05450984335	VARIOUS HOUSE	PORTFOLIO SERIES: MODERATE INVESTOR	0.00	0.43	100.0	0.00	0.43	0.43
06/30/2018	#05451039973		PORTFOLIO SERIES: MODERATE INVESTOR	0.00	13.29	100.0	0.00	13.29	13.29
06/30/2018	#02365336419	999HOUSE	QUEST OPPORTUNITY VALUE FUND A	0.00	3.75	100.0	0.00	3.75	3.75
06/30/2018	#02365616017	ULBRICHT,	QUEST OPPORTUNITY VALUE FUND A	0.00	1.41	100.0	0.00	1.41	1.41
06/30/2018	#05910068090	VARIOUS HOUSE	REAL ESTATE FUND	0.00	0.10	100.0	0.00	0.10	0.10

COMMISSION STATEMENT for LPFS HOUSE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018	#05900977834	REAL ESTATE FUND A	0.00	12.32	100.0	0.00	12.32	0.00	12.32
06/30/2018	#05900498553	VARIOUS HOUSE	REAL ESTATE FUND A	0.00	0.80	100.0	0.00	0.80	0.80
06/30/2018	#02271101903	999HOUSE	RISING DIVIDENDS FUND C	0.00	25.47	100.0	0.00	25.47	25.47
06/30/2018	#02513153304	999HOUSE	SMALL- & MID- CAP VALUE FUND A	0.00	1.83	100.0	0.00	1.83	1.83
06/30/2018	#02514086478		SMALL- & MID- CAP VALUE FUND A	0.00	2.05	100.0	0.00	2.05	2.05
06/30/2018	#02514387427		SMALL- & MID- CAP VALUE FUND A	0.00	3.34	100.0	0.00	3.34	3.34
06/30/2018	#02510621665	999HOUSE	SMALL- & MID- CAP VALUE FUND A	0.00	2.27	100.0	0.00	2.27	2.27
06/30/2018	#02513760196	999HOUSE	SMALL- & MID- CAP VALUE FUND A	0.00	26.40	100.0	0.00	26.40	26.40
06/30/2018	#02514388186	999HOUSE	SMALL- & MID- CAP VALUE FUND A	0.00	25.48	100.0	0.00	25.48	25.48
06/30/2018	#07230016643	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	0.00	49.88	100.0	0.00	49.88	49.88
06/30/2018	#07230242748	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	0.00	4.66	100.0	0.00	4.66	4.66
06/30/2018	#02870478698	VARIOUS HOUSE	TOTAL RETURN BOND FUND C	0.00	18.57	100.0	0.00	18.57	18.57
06/30/2018	#02870523776	VARIOUS HOUSE	TOTAL RETURN BOND FUND C	0.00	29.66	100.0	0.00	29.66	29.66
06/30/2018	#02870524579	ALONGE, A	TOTAL RETURN BOND FUND C	0.00	0.00	100.0	0.00	0.00	0.00
06/30/2018	#02870524601	MASTER, S	TOTAL RETURN BOND FUND C	0.00	16.29	100.0	0.00	16.29	16.29
06/30/2018	#02201598025	999HOUSE	U.S. GOVERNMENT TRUST A	0.00	0.59	100.0	0.00	0.59	0.59
06/30/2018	#02203570875	999HOUSE	U.S. GOVERNMENT TRUST A	0.00	2.16	100.0	0.00	2.16	2.16
06/30/2018	#02220547382		U.S. GOVERNMENT TRUST C	0.00	15.47	100.0	0.00	15.47	15.47
07/01/2018	#00008570580	VARIOUS HOUSE	INVESCO AMERICAN FRANCHISE FUND CLA	0.00	20.38	100.0	0.00	20.38	20.38
07/01/2018	#00008570580	VARIOUS HOUSE	INVESCO ASIA PACIFIC GROWTH FD - CL	0.00	22.64	100.0	0.00	22.64	22.64
07/01/2018	#01000085248	VARIOUS HOUSE	INVESCO ASIA PACIFIC GROWTH FD - CL	0.00	6.74	100.0	0.00	6.74	6.74
07/01/2018	#00091516140	VARIOUS HOUSE	INVESCO BALANCED-RISK ALLOCATION FD	0.00	122.75	100.0	0.00	122.75	122.75
07/01/2018	#05062851042	VARIOUS HOUSE	INVESCO CASH RESERVE SHARES	0.00	0.21	100.0	0.00	0.21	0.21
07/01/2018	#05062851067	VARIOUS HOUSE	INVESCO CASH RESERVE SHARES	0.00	14.70	100.0	0.00	14.70	14.70
07/01/2018	#00008570580	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	3.10	100.0	0.00	3.10	3.10
07/01/2018	#00008799260	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	6.31	100.0	0.00	6.31	6.31
07/01/2018	#00008799261	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	4.21	100.0	0.00	4.21	4.21
07/01/2018	#00008799262	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	3.58	100.0	0.00	3.58	3.58
07/01/2018	#00011105680	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	86.55	100.0	0.00	86.55	86.55
07/01/2018	#00011105683	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	31.41	100.0	0.00	31.41	31.41
07/01/2018	#00011273660	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	66.66	100.0	0.00	66.66	66.66
07/01/2018	#00011922940	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	79.70	100.0	0.00	79.70	79.70
07/01/2018	#00011922941	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	74.10	100.0	0.00	74.10	74.10
07/01/2018	#00012675680	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	93.43	100.0	0.00	93.43	93.43
07/01/2018	#00012675681	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	11.03	100.0	0.00	11.03	11.03
07/01/2018	#00090422960	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	27.63	100.0	0.00	27.63	27.63

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/01/2018	#00091636200	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	5.46	100.0	0.00	5.46	0.00	5.46
07/01/2018	#00091947180	MARKEL JT TEN,	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	0.29	100.0	0.00	0.29	0.00	0.29
07/01/2018	#00092032461	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	14.39	100.0	0.00	14.39	0.00	14.39
07/01/2018	#00093069040	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	6.63	100.0	0.00	6.63	0.00	6.63
07/01/2018	#00097113210	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	0.03	100.0	0.00	0.03	0.00	0.03
07/01/2018	#00097118440	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	6.16	100.0	0.00	6.16	0.00	6.16
07/01/2018	#00098021830	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	87.65	100.0	0.00	87.65	0.00	87.65
07/01/2018	#00093076621	VARIOUS HOUSE	INVESCO ENERGY FUND - INVESTOR CLAS	0.00	1.06	100.0	0.00	1.06	0.00	1.06
07/01/2018	#00008570580	VARIOUS HOUSE	INVESCO ENERGY FUND CLASS A	0.00	10.99	100.0	0.00	10.99	0.00	10.99
07/01/2018	#01000085248	VARIOUS HOUSE	INVESCO ENERGY FUND CLASS A	0.00	2.61	100.0	0.00	2.61	0.00	2.61
07/01/2018	#00011273660	VARIOUS HOUSE	INVESCO ENERGY FUND CLASS C	0.00	13.79	100.0	0.00	13.79	0.00	13.79
07/01/2018	#00092032461	VARIOUS HOUSE	INVESCO ENERGY FUND CLASS C	0.00	2.07	100.0	0.00	2.07	0.00	2.07
07/01/2018	#01000190434	VARIOUS HOUSE	INVESCO ENERGY FUND CLASS C	0.00	1.37	100.0	0.00	1.37	0.00	1.37
07/01/2018	#01000190434	VARIOUS HOUSE	INVESCO EUROPEAN GROWTH FUND -CLASS	0.00	2.97	100.0	0.00	2.97	0.00	2.97
07/01/2018	#00093069040	VARIOUS HOUSE	INVESCO EUROPEAN GROWTH FUND-INVEST	0.00	3.14	100.0	0.00	3.14	0.00	3.14
07/01/2018	#06900161469	VARIOUS HOUSE	INVESCO GLOBAL CORE EQUITY FD CL A	0.00	0.30	100.0	0.00	0.30	0.00	0.30
07/01/2018	#00008799260	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	7.30	100.0	0.00	7.30	0.00	7.30
07/01/2018	#00008799261	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	1.22	100.0	0.00	1.22	0.00	1.22
07/01/2018	#00008799262	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	1.22	100.0	0.00	1.22	0.00	1.22
07/01/2018	#00011105680	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	14.48	100.0	0.00	14.48	0.00	14.48
07/01/2018	#00011105683	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	5.76	100.0	0.00	5.76	0.00	5.76
07/01/2018	#00093069040	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	7.81	100.0	0.00	7.81	0.00	7.81
07/01/2018	#00095609450	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	3.03	100.0	0.00	3.03	0.00	3.03
07/01/2018	#00097118440	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	0.91	100.0	0.00	0.91	0.00	0.91
07/01/2018	#00097118480	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	0.92	100.0	0.00	0.92	0.00	0.92
07/01/2018	#00099259558	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	0.06	100.0	0.00	0.06	0.00	0.06
07/01/2018	#00100236907	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	0.94	100.0	0.00	0.94	0.00	0.94
07/01/2018	#00007957462	VARIOUS HOUSE	INVESCO GOLD & PRECIOUS METALS FD -	0.00	1.18	100.0	0.00	1.18	0.00	1.18
07/01/2018	#00008570580	VARIOUS HOUSE	INVESCO GOLD & PRECIOUS METALS FD -	0.00	14.13	100.0	0.00	14.13	0.00	14.13
07/01/2018	#01000190434	VARIOUS HOUSE	INVESCO GOLD & PRECIOUS METALS FD -	0.00	0.87	100.0	0.00	0.87	0.00	0.87
07/01/2018	#00011105680	VARIOUS HOUSE	INVESCO GOLD & PRECIOUS METALS FD-I	0.00	8.93	100.0	0.00	8.93	0.00	8.93
07/01/2018	#00091636200	VARIOUS HOUSE	INVESCO GOLD & PRECIOUS METALS FD-I	0.00	1.12	100.0	0.00	1.12	0.00	1.12
07/01/2018	#00093076621	VARIOUS HOUSE	INVESCO GOLD & PRECIOUS METALS FD-I	0.00	0.55	100.0	0.00	0.55	0.00	0.55
07/01/2018	#06900161127	VARIOUS HOUSE	INVESCO HIGH YIELD FUND CL A SHS	0.00	0.87	100.0	0.00	0.87	0.00	0.87
07/01/2018	#06900161169	VARIOUS HOUSE	INVESCO SHORT TERM BOND FUND -CLASS	0.00	0.32	100.0	0.00	0.32	0.00	0.32
07/01/2018	#00011105680	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	30.67	100.0	0.00	30.67	0.00	30.67

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/01/2018	#00011105683	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	5.20	100.0	0.00	5.20	5.20
07/01/2018	#00093069040	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	5.26	100.0	0.00	5.26	5.26
07/01/2018	#00095884160	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	1.03	100.0	0.00	1.03	1.03
07/01/2018	#00097118440	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	2.56	100.0	0.00	2.56	2.56
07/01/2018	#00097118480	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	1.06	100.0	0.00	1.06	1.06
07/01/2018	#00012675680	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND - INV	0.00	2.03	100.0	0.00	2.03	2.03
07/01/2018	#00012675681	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND - INV	0.00	9.95	100.0	0.00	9.95	9.95
07/01/2018	#00670261596	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	6.61	100.0	0.00	6.61	6.61
07/01/2018	#00670270679	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	7.79	100.0	0.00	7.79	7.79
07/01/2018	#00670272548	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	4.74	100.0	0.00	4.74	4.74
07/01/2018	#00670312783	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	0.10	100.0	0.00	0.10	0.10
07/01/2018	#00670328691	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	37.09	100.0	0.00	37.09	37.09
07/01/2018	#04400087310	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	4.57	100.0	0.00	4.57	4.57
07/01/2018	#01000190434	VARIOUS HOUSE	INVESCO UTILITIES FUND - CLASS C	0.00	3.52	100.0	0.00	3.52	3.52
07/01/2018	#00098021830	VARIOUS HOUSE	INVESCO UTILITIES FUND - INVESTOR C	0.00	14.18	100.0	0.00	14.18	14.18
07/01/2018	#00777066860	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	17.79	100.0	0.00	17.79	17.79
07/01/2018	#01001311198	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	2.57	100.0	0.00	2.57	2.57
07/01/2018	#03809509833	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	1.50	100.0	0.00	1.50	1.50
07/01/2018	#03809670312	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	31.64	100.0	0.00	31.64	31.64
07/01/2018	#03810674295	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	2.46	100.0	0.00	2.46	2.46
07/01/2018	#04039748928	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	7.23	100.0	0.00	7.23	7.23
07/01/2018	#05018554864	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	0.24	100.0	0.00	0.24	0.24
07/01/2018	#05053697941	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	6.75	100.0	0.00	6.75	6.75
07/01/2018	#05053697990	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	7.16	100.0	0.00	7.16	7.16
07/01/2018	#05057015314	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	18.56	100.0	0.00	18.56	18.56
07/01/2018	#06900160418	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	0.76	100.0	0.00	0.76	0.76
07/01/2018	#06900160093	VARIOUS HOUSE	INVESCO VAN KAMPEN COMSTOCK FUND CL	0.00	2.01	100.0	0.00	2.01	2.01
07/01/2018	#00005943040	VARIOUS HOUSE	INVESCO VAN KAMPEN CORPORATE CLASS	0.00	24.85	100.0	0.00	24.85	24.85
07/01/2018	#00012675680	VARIOUS HOUSE	INVESCO VAN KAMPEN CORPORATE CLASS	0.00	4.61	100.0	0.00	4.61	4.61
07/01/2018	#00012675681	VARIOUS HOUSE	INVESCO VAN KAMPEN CORPORATE CLASS	0.00	22.33	100.0	0.00	22.33	22.33
07/01/2018	#00007957462	VARIOUS HOUSE	INVESTCO ASIA PACIFIC GROWTH	0.00	4.87	100.0	0.00	4.87	4.87
07/01/2018	#00008570580	VARIOUS HOUSE	INVESTCO ASIA PACIFIC GROWTH	0.00	92.97	100.0	0.00	92.97	92.97
07/01/2018	#00007957462	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	17.27	100.0	0.00	17.27	17.27
07/01/2018	#00011273660	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	144.12	100.0	0.00	144.12	144.12
07/01/2018	#00090678760	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	7.08	100.0	0.00	7.08	7.08
07/01/2018	#00090762300	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	8.65	100.0	0.00	8.65	8.65

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/01/2018	#00091636200	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	24.00	100.0	0.00	24.00	24.00
07/01/2018	#00092032461	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	47.57	100.0	0.00	47.57	47.57
07/01/2018	#00097126440	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	22.94	100.0	0.00	22.94	22.94
07/01/2018	#00818790926	ORTOLI, COLLEEN	MASSACHUSETTS INVESTORS GROWTH STOC	0.00	6.74	100.0	0.00	6.74	6.74
07/01/2018	#08188423131	ELLEGAARD	MASSACHUSETTS INVESTORS TRUST-CL A	0.00	13.44	100.0	0.00	13.44	13.44
07/01/2018	#08188363436	GOETTSCHKE,	MFS CORE EQUITY FD CL A	0.00	5.38	100.0	0.00	5.38	5.38
07/01/2018	#00060460856	WILLIAMS,	MFS EMERGING MARKETS EQUITY CL A	0.00	11.92	100.0	0.00	11.92	11.92
07/01/2018	#08191201332	WILLIS, MELANIE	MFS EMERGING MARKETS EQUITY CL A	0.00	0.10	100.0	0.00	0.10	0.10
07/01/2018	#08185496308	HOLLE, RAYMOND	MFS GLOBAL TOTAL RETURN FUND-A	0.00	20.71	100.0	0.00	20.71	20.71
07/01/2018	#00060364285	ASSOCIATES SEP	MFS GROWTH FUND CL A	0.00	7.54	100.0	0.00	7.54	7.54
07/01/2018	#00818790927	ORTOLI, UMBERTO	MFS GROWTH FUND CL A	0.00	6.24	100.0	0.00	6.24	6.24
07/01/2018	#08185496304	HOLLE, RAYMOND	MFS GROWTH FUND CL A	0.00	33.32	100.0	0.00	33.32	33.32
07/01/2018	#00000951952	LANG, MARTHA	MFS MID CAP GROWTH FUND-A	0.00	2.40	100.0	0.00	2.40	2.40
07/01/2018	#08188363432	GOETTSCHKE,	MFS MID CAP GROWTH FUND-A	0.00	4.40	100.0	0.00	4.40	4.40
07/01/2018	#08191201332	WILLIS, MELANIE	MFS MID CAP VALUE FUND-A	0.00	0.48	100.0	0.00	0.48	0.48
07/01/2018	#00060118943	MATSON, JAMES	MFS MUNICIPAL INCOME FUND CL A	0.00	12.49	100.0	0.00	12.49	12.49
07/01/2018	#08188215322	PRECISION SEP	MFS NEW DISCOVERY FUND-A	0.00	2.41	100.0	0.00	2.41	2.41
07/01/2018	#08188363428	GOETTSCHKE,	MFS NEW DISCOVERY FUND-A	0.00	6.01	100.0	0.00	6.01	6.01
07/01/2018	#00060225535	STILEKRISL	MFS RESEARCH FUND-CL A	0.00	72.45	100.0	0.00	72.45	72.45
07/01/2018	#00060273077	MARCH, KATHLEEN	MFS RESEARCH FUND-CL A	0.00	13.51	100.0	0.00	13.51	13.51
07/01/2018	#00060459741	WILLIAMS,	MFS RESEARCH FUND-CL A	0.00	16.56	100.0	0.00	16.56	16.56
07/01/2018	#08188363424	GOETTSCHKE,	MFS RESEARCH INTERNATIONAL FUND-A	0.00	4.13	100.0	0.00	4.13	4.13
07/01/2018	#00060273786	MARCH, KATHLEEN	MFS TOTAL RETURN FUND-A	0.00	2.24	100.0	0.00	2.24	2.24
07/01/2018	#00060274030	MARCH, KATHLEEN	MFS TOTAL RETURN FUND-A	0.00	14.60	100.0	0.00	14.60	14.60
07/01/2018	#00060305487	SCHMITT, ROGER	MFS TOTAL RETURN FUND-A	0.00	40.47	100.0	0.00	40.47	40.47
07/01/2018	#00060612816	PLUMBING	MFS TOTAL RETURN FUND-A	0.00	5.61	100.0	0.00	5.61	5.61
07/01/2018	#00090147148	WILLIAMS,	MFS TOTAL RETURN FUND-A	0.00	0.13	100.0	0.00	0.13	0.13
07/01/2018	#08185496308	HOLLE, RAYMOND	MFS TOTAL RETURN FUND-A	0.00	21.76	100.0	0.00	21.76	21.76
07/01/2018	#00060225535	STILEKRISL	MFS UTILITIES FUND-CL A	0.00	30.94	100.0	0.00	30.94	30.94
07/01/2018	#00060460370	WILLIAMS,	MFS UTILITIES FUND-CL A	0.00	18.44	100.0	0.00	18.44	18.44
07/01/2018	#00090051384	UGMA	MFS UTILITIES FUND-CL A	0.00	0.39	100.0	0.00	0.39	0.39
07/01/2018	#08191201332	WILLIS, MELANIE	MFS UTILITIES FUND-CL A	0.00	0.29	100.0	0.00	0.29	0.29
07/01/2018	#00060274220	MARCH, KATHLEEN	MFS VALUE FUND A	0.00	21.75	100.0	0.00	21.75	21.75
07/01/2018	#00060613023	PLUMBING	MFS VALUE FUND A	0.00	5.85	100.0	0.00	5.85	5.85
07/02/2018	#VR02020599		694474883	0.00	4.13	100.0	0.00	4.13	4.13
07/03/2018	#07500259493		PIONEER 723556106	0.00	65.08	100.0	0.00	65.08	65.08

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/03/2018	#07516602827	PIONEER 723556106	0.00	63.56	100.0	0.00	63.56	0.00	63.56
07/03/2018	#07516602845	PIONEER 723556106	0.00	9.51	100.0	0.00	9.51	0.00	9.51
07/03/2018	#07516602916	PIONEER 723556106	0.00	5.02	100.0	0.00	5.02	0.00	5.02
07/03/2018	#07516603206	PIONEER 723556106	0.00	5.03	100.0	0.00	5.03	0.00	5.03
07/03/2018	#07500222323	PIONEER 72366V108	0.00	6.46	100.0	0.00	6.46	0.00	6.46
07/03/2018	#07500259737	PIONEER 72366V108	0.00	28.38	100.0	0.00	28.38	0.00	28.38
07/03/2018	#07501100159	PIONEER 72366V108	0.00	88.52	100.0	0.00	88.52	0.00	88.52
07/03/2018	#07501100234	PIONEER 72366V108	0.00	44.45	100.0	0.00	44.45	0.00	44.45
07/03/2018	#07501100414	PIONEER 72366V108	0.00	7.92	100.0	0.00	7.92	0.00	7.92
07/03/2018	#07501100585	PIONEER 72366V108	0.00	86.65	100.0	0.00	86.65	0.00	86.65
07/03/2018	#07501100944	PIONEER 72366V108	0.00	0.04	100.0	0.00	0.04	0.00	0.04
07/03/2018	#07501101298	PIONEER 72366V108	0.00	5.69	100.0	0.00	5.69	0.00	5.69
07/03/2018	#07501102060	PIONEER 72366V108	0.00	1.58	100.0	0.00	1.58	0.00	1.58
07/03/2018	#07501102566	PIONEER 72366V108	0.00	23.51	100.0	0.00	23.51	0.00	23.51
07/03/2018	#07501102829	PIONEER 72366V108	0.00	127.02	100.0	0.00	127.02	0.00	127.02
07/03/2018	#07504901245	PIONEER 72366V306	0.00	29.02	100.0	0.00	29.02	0.00	29.02
07/03/2018	#00621176582	PIONEER 723682308	0.00	35.29	100.0	0.00	35.29	0.00	35.29
07/03/2018	#07504101108	PIONEER 723682308	0.00	30.30	100.0	0.00	30.30	0.00	30.30
07/03/2018	#07504101236	PIONEER 723682308	0.00	143.59	100.0	0.00	143.59	0.00	143.59
07/03/2018	#00621110802	PIONEER 72369B109	0.00	35.17	100.0	0.00	35.17	0.00	35.17
07/03/2018	#07500219897	PIONEER 72369B109	0.00	8.39	100.0	0.00	8.39	0.00	8.39
07/03/2018	#07500229361	PIONEER 72369B109	0.00	17.27	100.0	0.00	17.27	0.00	17.27
07/03/2018	#07500257059	PIONEER 72369B109	0.00	0.73	100.0	0.00	0.73	0.00	0.73
07/03/2018	#07500257375	PIONEER 72369B109	0.00	1.74	100.0	0.00	1.74	0.00	1.74
07/03/2018	#07500260071	PIONEER 72369B109	0.00	7.81	100.0	0.00	7.81	0.00	7.81
07/03/2018	#07500261681	PIONEER 72369B109	0.00	15.20	100.0	0.00	15.20	0.00	15.20
07/03/2018	#07501900056	PIONEER 72369B109	0.00	28.50	100.0	0.00	28.50	0.00	28.50
07/03/2018	#07501900570	PIONEER 72369B109	0.00	1.79	100.0	0.00	1.79	0.00	1.79
07/03/2018	#07501901077	PIONEER 72369B109	0.00	6.09	100.0	0.00	6.09	0.00	6.09
07/03/2018	#07501901320	PIONEER 72369B109	0.00	8.68	100.0	0.00	8.68	0.00	8.68
07/03/2018	#07501903278	PIONEER 72369B109	0.00	1.59	100.0	0.00	1.59	0.00	1.59
07/03/2018	#04030012159	PIONEER 723709101	0.00	28.49	100.0	0.00	28.49	0.00	28.49
07/03/2018	#07500222322	PIONEER 723709101	0.00	3.21	100.0	0.00	3.21	0.00	3.21
07/03/2018	#07500260760	PIONEER 723709101	0.00	10.18	100.0	0.00	10.18	0.00	10.18
07/03/2018	#07500702384	PIONEER 723709101	0.00	6.89	100.0	0.00	6.89	0.00	6.89
07/03/2018	#07500702399	PIONEER 723709101	0.00	16.87	100.0	0.00	16.87	0.00	16.87

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/03/2018	#07500702465	PIONEER 723709101	0.00	0.02	100.0	0.00	0.02	0.00	0.02
07/03/2018	#07500702532	PIONEER 723709101	0.00	0.98	100.0	0.00	0.98	0.00	0.98
07/03/2018	#07504500529	PIONEER 723709309	0.00	36.71	100.0	0.00	36.71	0.00	36.71
07/03/2018	#07504500602	PIONEER 723709309	0.00	16.73	100.0	0.00	16.73	0.00	16.73
07/03/2018	#00621117401	PIONEER 72375Q306	0.00	64.26	100.0	0.00	64.26	0.00	64.26
07/03/2018	#07504800414	PIONEER 72375Q306	0.00	9.09	100.0	0.00	9.09	0.00	9.09
07/03/2018	#00621117401	PIONEER 723848305	0.00	58.49	100.0	0.00	58.49	0.00	58.49
07/03/2018	#07505000161	PIONEER 723848305	0.00	8.53	100.0	0.00	8.53	0.00	8.53
07/03/2018	#07505000434	PIONEER 723848305	0.00	23.13	100.0	0.00	23.13	0.00	23.13
07/03/2018	#07505000679	PIONEER 723848305	0.00	7.46	100.0	0.00	7.46	0.00	7.46
07/03/2018	#07523400543	PIONEER 72387N887	0.00	11.70	100.0	0.00	11.70	0.00	11.70
07/03/2018	#07523401396	PIONEER 72387N887	0.00	22.84	100.0	0.00	22.84	0.00	22.84
07/03/2018	#07523401414	PIONEER 72387N887	0.00	9.55	100.0	0.00	9.55	0.00	9.55
07/03/2018	#07523401468	PIONEER 72387N887	0.00	37.34	100.0	0.00	37.34	0.00	37.34
07/03/2018	#00621124290	PIONEER 72387P106	0.00	56.40	100.0	0.00	56.40	0.00	56.40
07/03/2018	#07521100956	PIONEER 72387P106	0.00	46.45	100.0	0.00	46.45	0.00	46.45
07/03/2018	#07521101043	PIONEER 72387P106	0.00	5.35	100.0	0.00	5.35	0.00	5.35
07/03/2018	#07521101147	PIONEER 72387P106	0.00	11.62	100.0	0.00	11.62	0.00	11.62
07/03/2018	#07521101152	PIONEER 72387P106	0.00	12.68	100.0	0.00	12.68	0.00	12.68
07/03/2018	#07521300431	PIONEER 72387P304	0.00	8.94	100.0	0.00	8.94	0.00	8.94
07/03/2018	#07521300542	PIONEER 72387P304	0.00	159.38	100.0	0.00	159.38	0.00	159.38
07/03/2018	#07521300590	PIONEER 72387P304	0.00	82.65	100.0	0.00	82.65	0.00	82.65
07/03/2018	#07521300643	PIONEER 72387P304	0.00	173.77	100.0	0.00	173.77	0.00	173.77
07/03/2018	#00621110821	PIONEER 72387S407	0.00	3.57	100.0	0.00	3.57	0.00	3.57
07/03/2018	#00621117401	PIONEER 72387W606	0.00	75.97	100.0	0.00	75.97	0.00	75.97
07/03/2018	#07518100078	PIONEER 72387W606	0.00	5.88	100.0	0.00	5.88	0.00	5.88
07/03/2018	#07518101040	PIONEER 72387W606	0.00	10.65	100.0	0.00	10.65	0.00	10.65
07/03/2018	#07518101345	PIONEER 72387W606	0.00	76.27	100.0	0.00	76.27	0.00	76.27
07/03/2018	#07518101871	PIONEER 72387W606	0.00	528.06	100.0	0.00	528.06	0.00	528.06
07/03/2018	#07518102218	PIONEER 72387W606	0.00	84.06	100.0	0.00	84.06	0.00	84.06
07/03/2018	#07518500023	PIONEER 72387W887	0.00	0.05	100.0	0.00	0.05	0.00	0.05
07/03/2018	#07523601710	PIONEER 723922308	0.00	15.39	100.0	0.00	15.39	0.00	15.39
07/03/2018	#07523601953	PIONEER 723922308	0.00	49.71	100.0	0.00	49.71	0.00	49.71
07/03/2018	#07500221286	PIONEER 724010103	0.00	3.53	100.0	0.00	3.53	0.00	3.53
07/03/2018	#07500400372	PIONEER 724010103	0.00	7.08	100.0	0.00	7.08	0.00	7.08
07/03/2018	#07500400380	PIONEER 724010103	0.00	11.83	100.0	0.00	11.83	0.00	11.83

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/03/2018	#07500400871	PIONEER 724010103	0.00	0.85	100.0	0.00	0.85	0.00	0.85
07/03/2018	#07500401403	PIONEER 724010103	0.00	1.44	100.0	0.00	1.44	0.00	1.44
07/03/2018	#04030012065	PIONEER BOND FUND A	0.00	0.06	100.0	0.00	0.06	0.00	0.06
07/03/2018	#04030012295	PIONEER BOND FUND A	0.00	4.79	100.0	0.00	4.79	0.00	4.79
07/03/2018	#07500210439	PIONEER BOND FUND A	0.00	35.79	100.0	0.00	35.79	0.00	35.79
07/03/2018	#07500221287	PIONEER BOND FUND A	0.00	2.86	100.0	0.00	2.86	0.00	2.86
07/03/2018	#07500222321	PIONEER BOND FUND A	0.00	3.04	100.0	0.00	3.04	0.00	3.04
07/03/2018	#07500229358	PIONEER BOND FUND A	0.00	9.47	100.0	0.00	9.47	0.00	9.47
07/03/2018	#07500260438	PIONEER BOND FUND A	0.00	21.22	100.0	0.00	21.22	0.00	21.22
07/03/2018	#07500500222	PIONEER BOND FUND A	0.00	18.22	100.0	0.00	18.22	0.00	18.22
07/03/2018	#07500500388	PIONEER BOND FUND A	0.00	2.54	100.0	0.00	2.54	0.00	2.54
07/03/2018	#07500500567	PIONEER BOND FUND A	0.00	37.01	100.0	0.00	37.01	0.00	37.01
07/03/2018	#07500500576 EWELLGARYD	PIONEER BOND FUND A	0.00	63.01	100.0	0.00	63.01	0.00	63.01
07/03/2018	#07500501332	PIONEER BOND FUND A	0.00	18.00	100.0	0.00	18.00	0.00	18.00
07/03/2018	#07500502474	PIONEER BOND FUND A	0.00	5.76	100.0	0.00	5.76	0.00	5.76
07/03/2018	#07500503200	PIONEER BOND FUND A	0.00	1.71	100.0	0.00	1.71	0.00	1.71
07/03/2018	#04030012159	PIONEER DISCIPLINED VALUE FD CL A	0.00	84.30	100.0	0.00	84.30	0.00	84.30
07/03/2018	#07500260096	PIONEER DISCIPLINED VALUE FD CL A	0.00	4.12	100.0	0.00	4.12	0.00	4.12
07/03/2018	#07500262210	PIONEER DISCIPLINED VALUE FD CL A	0.00	1.74	100.0	0.00	1.74	0.00	1.74
07/03/2018	#07520500046	PIONEER DISCIPLINED VALUE FD CL A	0.00	0.04	100.0	0.00	0.04	0.00	0.04
07/03/2018	#07520501109	PIONEER DISCIPLINED VALUE FD CL A	0.00	2.28	100.0	0.00	2.28	0.00	2.28
07/03/2018	#07520503890	PIONEER DISCIPLINED VALUE FD CL A	0.00	1.79	100.0	0.00	1.79	0.00	1.79
07/03/2018	#07500221285	PIONEER FUND INC-CL A	0.00	3.28	100.0	0.00	3.28	0.00	3.28
07/03/2018	#07500222320	PIONEER FUND INC-CL A	0.00	5.41	100.0	0.00	5.41	0.00	5.41
07/03/2018	#07500256962	PIONEER FUND INC-CL A	0.00	2.24	100.0	0.00	2.24	0.00	2.24
07/03/2018	#07500256963	PIONEER FUND INC-CL A	0.00	9.55	100.0	0.00	9.55	0.00	9.55
07/03/2018	#07500260035	PIONEER FUND INC-CL A	0.00	4.20	100.0	0.00	4.20	0.00	4.20
07/03/2018	#07500260676	PIONEER FUND INC-CL A	0.00	19.27	100.0	0.00	19.27	0.00	19.27
07/03/2018	#07500300039	PIONEER FUND INC-CL A	0.00	33.59	100.0	0.00	33.59	0.00	33.59
07/03/2018	#07500300453	PIONEER FUND INC-CL A	0.00	76.95	100.0	0.00	76.95	0.00	76.95
07/03/2018	#07500300668	PIONEER FUND INC-CL A	0.00	39.58	100.0	0.00	39.58	0.00	39.58
07/03/2018	#07500300761	PIONEER FUND INC-CL A	0.00	119.30	100.0	0.00	119.30	0.00	119.30
07/03/2018	#07500301257	PIONEER FUND INC-CL A	0.00	3.34	100.0	0.00	3.34	0.00	3.34
07/03/2018	#07500301270	PIONEER FUND INC-CL A	0.00	9.60	100.0	0.00	9.60	0.00	9.60
07/03/2018	#07500301577	PIONEER FUND INC-CL A	0.00	13.40	100.0	0.00	13.40	0.00	13.40
07/03/2018	#07500305283	PIONEER FUND INC-CL A	0.00	1.14	100.0	0.00	1.14	0.00	1.14

COMMISSION STATEMENT for LPFS HOUSE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/03/2018	#07500306321	PIONEER FUND INC-CL A	0.00	6.30	100.0	0.00	6.30	0.00	6.30
07/03/2018	#07500307483	PIONEER FUND INC-CL A	0.00	1.53	100.0	0.00	1.53	0.00	1.53
07/03/2018	#07522900171	PIONEER FUNDAMENTAL GROWTH	0.00	127.43	100.0	0.00	127.43	0.00	127.43
07/03/2018	#07522900172	PIONEER FUNDAMENTAL GROWTH	0.00	10.66	100.0	0.00	10.66	0.00	10.66
07/03/2018	#07522900858	PIONEER FUNDAMENTAL GROWTH	0.00	0.89	100.0	0.00	0.89	0.00	0.89
07/03/2018	#07522901129	PIONEER FUNDAMENTAL GROWTH	0.00	0.02	100.0	0.00	0.02	0.00	0.02
07/03/2018	#07522901214	PIONEER FUNDAMENTAL GROWTH	0.00	12.38	100.0	0.00	12.38	0.00	12.38
07/03/2018	#07522901874	PIONEER FUNDAMENTAL GROWTH	0.00	12.31	100.0	0.00	12.31	0.00	12.31
07/03/2018	#07522902568	PIONEER FUNDAMENTAL GROWTH	0.00	10.09	100.0	0.00	10.09	0.00	10.09
07/03/2018	#07523100061	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	83.43	100.0	0.00	83.43	0.00	83.43
07/03/2018	#07523100228	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	6.16	100.0	0.00	6.16	0.00	6.16
07/03/2018	#07523100229	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	239.57	100.0	0.00	239.57	0.00	239.57
07/03/2018	#07523100252	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	16.69	100.0	0.00	16.69	0.00	16.69
07/03/2018	#07523100375	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	85.36	100.0	0.00	85.36	0.00	85.36
07/03/2018	#07523100600	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	29.25	100.0	0.00	29.25	0.00	29.25
07/03/2018	#07520600559	PIONEER FUNDAMENTAL VALUE FD CL C	0.00	0.24	100.0	0.00	0.24	0.00	0.24
07/03/2018	#04030012112	PIONEER GLOBAL EQUITY FUND A	0.00	0.47	100.0	0.00	0.47	0.00	0.47
07/03/2018	#04030012159	PIONEER GLOBAL EQUITY FUND A	0.00	24.00	100.0	0.00	24.00	0.00	24.00
07/03/2018	#04030012295	PIONEER GLOBAL EQUITY FUND A	0.00	5.51	100.0	0.00	5.51	0.00	5.51
07/03/2018	#07500260806	PIONEER GLOBAL EQUITY FUND A	0.00	10.07	100.0	0.00	10.07	0.00	10.07
07/03/2018	#07523201638	PIONEER GLOBAL EQUITY FUND A	0.00	2.20	100.0	0.00	2.20	0.00	2.20
07/03/2018	#07523201691	PIONEER GLOBAL EQUITY FUND A	0.00	0.05	100.0	0.00	0.05	0.00	0.05
07/03/2018	#07523201733	PIONEER GLOBAL EQUITY FUND A	0.00	2.53	100.0	0.00	2.53	0.00	2.53
07/03/2018	#07523201851	PIONEER GLOBAL EQUITY FUND A	0.00	1.44	100.0	0.00	1.44	0.00	1.44
07/03/2018	#07523204172	PIONEER GLOBAL EQUITY FUND A	0.00	61.05	100.0	0.00	61.05	0.00	61.05
07/03/2018	#07523204205	PIONEER GLOBAL EQUITY FUND A	0.00	1.60	100.0	0.00	1.60	0.00	1.60
07/03/2018	#07523204218	PIONEER GLOBAL EQUITY FUND A	0.00	2.53	100.0	0.00	2.53	0.00	2.53
07/03/2018	#07523204223	PIONEER GLOBAL EQUITY FUND A	0.00	3.26	100.0	0.00	3.26	0.00	3.26
07/03/2018	#07523204246	PIONEER GLOBAL EQUITY FUND A	0.00	0.68	100.0	0.00	0.68	0.00	0.68
07/03/2018	#07523204253	PIONEER GLOBAL EQUITY FUND A	0.00	18.47	100.0	0.00	18.47	0.00	18.47
07/03/2018	#07523204254	PIONEER GLOBAL EQUITY FUND A	0.00	2.56	100.0	0.00	2.56	0.00	2.56
07/03/2018	#07523204255	PIONEER GLOBAL EQUITY FUND A	0.00	2.73	100.0	0.00	2.73	0.00	2.73
07/03/2018	#07523204262	EWELLGARYD PIONEER GLOBAL EQUITY FUND A	0.00	64.94	100.0	0.00	64.94	0.00	64.94
07/03/2018	#07523204285	PIONEER GLOBAL EQUITY FUND A	0.00	0.69	100.0	0.00	0.69	0.00	0.69
07/03/2018	#07523204340	PIONEER GLOBAL EQUITY FUND A	0.00	10.44	100.0	0.00	10.44	0.00	10.44
07/03/2018	#07523204357	PIONEER GLOBAL EQUITY FUND A	0.00	1.60	100.0	0.00	1.60	0.00	1.60

COMMISSION STATEMENT for LPFS HOUSE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/03/2018	#07523204416	PIONEER GLOBAL EQUITY FUND A	0.00	3.14	100.0	0.00	3.14	0.00	3.14
07/03/2018	#07500261695	PIONEER GLOBAL HIGH YIELD FD CL A	0.00	7.40	100.0	0.00	7.40	0.00	7.40
07/03/2018	#07500261711	PIONEER GLOBAL HIGH YIELD FD CL A	0.00	13.82	100.0	0.00	13.82	0.00	13.82
07/03/2018	#07502300721	PIONEER GLOBAL HIGH YIELD FD CL A	0.00	5.27	100.0	0.00	5.27	0.00	5.27
07/03/2018	#07502300799	PIONEER GLOBAL HIGH YIELD FD CL A	0.00	1.46	100.0	0.00	1.46	0.00	1.46
07/03/2018	#07505900251	PIONEER GLOBAL HIGH YIELD FD CL C	0.00	27.58	100.0	0.00	27.58	0.00	27.58
07/03/2018	#00621124290	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	58.76	100.0	0.00	58.76	0.00	58.76
07/03/2018	#00621256444	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	0.40	100.0	0.00	0.40	0.00	0.40
07/03/2018	#04030012019	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	9.17	100.0	0.00	9.17	0.00	9.17
07/03/2018	#04030012112	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	6.12	100.0	0.00	6.12	0.00	6.12
07/03/2018	#04030012295	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	15.56	100.0	0.00	15.56	0.00	15.56
07/03/2018	#07500257091	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	11.93	100.0	0.00	11.93	0.00	11.93
07/03/2018	#07517904598	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	18.67	100.0	0.00	18.67	0.00	18.67
07/03/2018	#07517904715	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	2.64	100.0	0.00	2.64	0.00	2.64
07/03/2018	#07517904737	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	3.59	100.0	0.00	3.59	0.00	3.59
07/03/2018	#07517904834	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	8.61	100.0	0.00	8.61	0.00	8.61
07/03/2018	#07517904985	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	0.05	100.0	0.00	0.05	0.00	0.05
07/03/2018	#07517905113	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	2.59	100.0	0.00	2.59	0.00	2.59
07/03/2018	#07517905140	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	10.42	100.0	0.00	10.42	0.00	10.42
07/03/2018	#07517905352	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	16.92	100.0	0.00	16.92	0.00	16.92
07/03/2018	#07517905542	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	2.08	100.0	0.00	2.08	0.00	2.08
07/03/2018	#07517907896	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	8.75	100.0	0.00	8.75	0.00	8.75
07/03/2018	#07517908019	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	10.85	100.0	0.00	10.85	0.00	10.85
07/03/2018	#07517908815	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	84.61	100.0	0.00	84.61	0.00	84.61
07/03/2018	#07517908875	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	7.73	100.0	0.00	7.73	0.00	7.73
07/03/2018	#07517908885	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	8.43	100.0	0.00	8.43	0.00	8.43
07/03/2018	#07517908892	EWELLGARYD PIONEER GROWTH OPPORTUNITIES FUND A	0.00	111.57	100.0	0.00	111.57	0.00	111.57
07/03/2018	#07517909848	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	68.67	100.0	0.00	68.67	0.00	68.67
07/03/2018	#00621110821	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	1.05	100.0	0.00	1.05	0.00	1.05
07/03/2018	#07504005448	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	15.85	100.0	0.00	15.85	0.00	15.85
07/03/2018	#07504005555	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	3.10	100.0	0.00	3.10	0.00	3.10
07/03/2018	#07504011460	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	4.44	100.0	0.00	4.44	0.00	4.44
07/03/2018	#07504011754	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	46.48	100.0	0.00	46.48	0.00	46.48
07/03/2018	#07504011949	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	10.32	100.0	0.00	10.32	0.00	10.32
07/03/2018	#00621256444	PIONEER MID CAP VALUE FUND A	0.00	0.09	100.0	0.00	0.09	0.00	0.09
07/03/2018	#04030012019	PIONEER MID CAP VALUE FUND A	0.00	7.05	100.0	0.00	7.05	0.00	7.05

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/03/2018	#07500219895	PIONEER MID CAP VALUE FUND A	0.00	4.88	100.0	0.00	4.88	0.00	4.88
07/03/2018	#07500257006	PIONEER MID CAP VALUE FUND A	0.00	1.39	100.0	0.00	1.39	0.00	1.39
07/03/2018	#07500259724	PIONEER MID CAP VALUE FUND A	0.00	11.11	100.0	0.00	11.11	0.00	11.11
07/03/2018	#07500260048	PIONEER MID CAP VALUE FUND A	0.00	12.13	100.0	0.00	12.13	0.00	12.13
07/03/2018	#07500260681	PIONEER MID CAP VALUE FUND A	0.00	2.59	100.0	0.00	2.59	0.00	2.59
07/03/2018	#07501020807	PIONEER MID CAP VALUE FUND A	0.00	0.04	100.0	0.00	0.04	0.00	0.04
07/03/2018	#07501021670	PIONEER MID CAP VALUE FUND A	0.00	1.48	100.0	0.00	1.48	0.00	1.48
07/03/2018	#07501022022	PIONEER MID CAP VALUE FUND A	0.00	2.69	100.0	0.00	2.69	0.00	2.69
07/03/2018	#07501022901	PIONEER MID CAP VALUE FUND A	0.00	1.73	100.0	0.00	1.73	0.00	1.73
07/03/2018	#07501024021	PIONEER MID CAP VALUE FUND A	0.00	13.68	100.0	0.00	13.68	0.00	13.68
07/03/2018	#07501024982	PIONEER MID CAP VALUE FUND A	0.00	2.68	100.0	0.00	2.68	0.00	2.68
07/03/2018	#04030012019	PIONEER REAL ESTATE SHARES A	0.00	15.96	100.0	0.00	15.96	0.00	15.96
07/03/2018	#07500229359	PIONEER REAL ESTATE SHARES A	0.00	20.19	100.0	0.00	20.19	0.00	20.19
07/03/2018	#07501200014	PIONEER REAL ESTATE SHARES A	0.00	7.93	100.0	0.00	7.93	0.00	7.93
07/03/2018	#07501200195	PIONEER REAL ESTATE SHARES A	0.00	2.59	100.0	0.00	2.59	0.00	2.59
07/03/2018	#07501200420	PIONEER REAL ESTATE SHARES A	0.00	10.16	100.0	0.00	10.16	0.00	10.16
07/03/2018	#07501200569	PIONEER REAL ESTATE SHARES A	0.00	14.31	100.0	0.00	14.31	0.00	14.31
07/03/2018	#07501200670	EWELLGARYD PIONEER REAL ESTATE SHARES A	0.00	82.59	100.0	0.00	82.59	0.00	82.59
07/03/2018	#07501200864	PIONEER REAL ESTATE SHARES A	0.00	0.06	100.0	0.00	0.06	0.00	0.06
07/03/2018	#07501201932	PIONEER REAL ESTATE SHARES A	0.00	1.07	100.0	0.00	1.07	0.00	1.07
07/03/2018	#07501202084	PIONEER REAL ESTATE SHARES A	0.00	1.60	100.0	0.00	1.60	0.00	1.60
07/03/2018	#07501202673	PIONEER REAL ESTATE SHARES A	0.00	5.30	100.0	0.00	5.30	0.00	5.30
07/03/2018	#07501202827	PIONEER REAL ESTATE SHARES A	0.00	8.10	100.0	0.00	8.10	0.00	8.10
07/03/2018	#07518300167	PIONEER SER TR II AMT FREE MUNICIPA	0.00	1.24	100.0	0.00	1.24	0.00	1.24
07/03/2018	#07500260179	PIONEER SHORT TERM INCOME FUND A	0.00	6.80	100.0	0.00	6.80	0.00	6.80
07/03/2018	#00621110802	PIONEER STRATEGIC INCOME FD CL A	0.00	34.30	100.0	0.00	34.30	0.00	34.30
07/03/2018	#04030012065	PIONEER STRATEGIC INCOME FD CL A	0.00	0.07	100.0	0.00	0.07	0.00	0.07
07/03/2018	#04030012112	PIONEER STRATEGIC INCOME FD CL A	0.00	0.87	100.0	0.00	0.87	0.00	0.87
07/03/2018	#07500259755	PIONEER STRATEGIC INCOME FD CL A	0.00	20.21	100.0	0.00	20.21	0.00	20.21
07/03/2018	#07500260063	PIONEER STRATEGIC INCOME FD CL A	0.00	7.11	100.0	0.00	7.11	0.00	7.11
07/03/2018	#07500261575	PIONEER STRATEGIC INCOME FD CL A	0.00	4.91	100.0	0.00	4.91	0.00	4.91
07/03/2018	#07500261602	PIONEER STRATEGIC INCOME FD CL A	0.00	12.22	100.0	0.00	12.22	0.00	12.22
07/03/2018	#07501700392	PIONEER STRATEGIC INCOME FD CL A	0.00	2.72	100.0	0.00	2.72	0.00	2.72
07/03/2018	#07501700694	PIONEER STRATEGIC INCOME FD CL A	0.00	0.03	100.0	0.00	0.03	0.00	0.03
07/03/2018	#07501702261	PIONEER STRATEGIC INCOME FD CL A	0.00	5.01	100.0	0.00	5.01	0.00	5.01
07/03/2018	#07501702790	PIONEER STRATEGIC INCOME FD CL A	0.00	2.76	100.0	0.00	2.76	0.00	2.76

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/03/2018	#07505501214	PIONEER STRATEGIC INCOME FD CL C	0.00	47.73	100.0	0.00	47.73	0.00	47.73
07/03/2018	#07505501277	PIONEER STRATEGIC INCOME FD CL C	0.00	82.98	100.0	0.00	82.98	0.00	82.98
07/05/2018	#07050080761	PIMCO TOTAL RETURN FUND-CL A	0.00	14.72	100.0	0.00	14.72	0.00	14.72
07/05/2018	#07050080761	PIMCO TOTAL RETURN FUND-CL C	0.00	0.82	100.0	0.00	0.82	0.00	0.82
07/05/2018	#07050080761	PIMCO TOTAL RETURN FUND-CL C	0.00	2.14	100.0	0.00	2.14	0.00	2.14
07/06/2018	#VM15002829	69448B191	0.00	159.59	100.0	0.00	159.59	0.00	159.59
07/09/2018	#01468970379	VARIOUS HOUSE FIDELITY ADV HIGH INCOME-CL A	0.00	24.37	100.0	0.00	24.37	0.00	24.37
07/09/2018	#01456449279	VARIOUS FIDELITY ADV HIGH INCOME-CL A	0.00	0.62	100.0	0.00	0.62	0.00	0.62
07/09/2018	#01485263345	VARIOUS FIDELITY ADV HIGH INCOME-CL A	0.00	0.79	100.0	0.00	0.79	0.00	0.79
07/09/2018	#00700876071	VARIOUS HOUSE FA 529 COLLEGE PORT-CL D	0.00	1.98	100.0	0.00	1.98	0.00	1.98
07/09/2018	#00704201797	FRANK X FA 529 PORT 2022 CL A	0.00	16.57	100.0	0.00	16.57	0.00	16.57
07/09/2018	#00511602831	VARIOUS HOUSE FA ADVISOR SHORT TERM BOND FUND	0.00	4.74	100.0	0.00	4.74	0.00	4.74
07/09/2018	#01456449279	VARIOUS FA ADVISOR SHORT TERM BOND FUND	0.00	0.29	100.0	0.00	0.29	0.00	0.29
07/09/2018	#01468970379	VARIOUS HOUSE FA REAL ESTATE INCOME FUND	0.00	90.88	100.0	0.00	90.88	0.00	90.88
07/09/2018	#00500331723	VARIOUS HOUSE FA SHORT TERM BOND C	0.00	1.29	100.0	0.00	1.29	0.00	1.29
07/09/2018	#00689683829	VARIOUS HOUSE FIDELITY ADV BALANCED-CL T	0.00	0.48	100.0	0.00	0.48	0.00	0.48
07/09/2018	#00501330088	VARIOUS HOUSE FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.94	100.0	0.00	0.94	0.00	0.94
07/09/2018	#00501330088	VARIOUS HOUSE FIDELITY ADV COMM EQUIPMENT-CL A	0.00	0.21	100.0	0.00	0.21	0.00	0.21
07/09/2018	#00063440929	VARIOUS HOUSE FIDELITY ADV EMERGING MRKTS INC-CL	0.00	0.78	100.0	0.00	0.78	0.00	0.78
07/09/2018	#01456449279	VARIOUS FIDELITY ADV EMERGING MRKTS INC-CL	0.00	0.59	100.0	0.00	0.59	0.00	0.59
07/09/2018	#00655923407	VARIOUS HOUSE FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.83	100.0	0.00	0.83	0.00	0.83
07/09/2018	#00689433449	ARMAND, JUDITH FIDELITY ADV EQUITY GROWTH-CL T	0.00	13.79	100.0	0.00	13.79	0.00	13.79
07/09/2018	#00689505493	VARIOUS HOUSE FIDELITY ADV EQUITY GROWTH-CL T	0.00	19.88	100.0	0.00	19.88	0.00	19.88
07/09/2018	#00689698231	VARIOUS HOUSE FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.50	100.0	0.00	0.50	0.00	0.50
07/09/2018	#00700332646	BAPTISTE, MARIE FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.56	100.0	0.00	0.56	0.00	0.56
07/09/2018	#00700381551	VARIOUS HOUSE FIDELITY ADV EQUITY GROWTH-CL T	0.00	3.73	100.0	0.00	3.73	0.00	3.73
07/09/2018	#00006803894	VARIOUS HOUSE FIDELITY ADV EQUITY INCOME-CL A	0.00	25.18	100.0	0.00	25.18	0.00	25.18
07/09/2018	#00063113120	VARIOUS HOUSE FIDELITY ADV EQUITY INCOME-CL A	0.00	0.11	100.0	0.00	0.11	0.00	0.11
07/09/2018	#00689697233	VARIOUS HOUSE FIDELITY ADV EQUITY INCOME-CL A	0.00	1.15	100.0	0.00	1.15	0.00	1.15
07/09/2018	#00689698223	LORD, SUSAN FIDELITY ADV EQUITY INCOME-CL A	0.00	1.17	100.0	0.00	1.17	0.00	1.17
07/09/2018	#00689698231	VARIOUS HOUSE FIDELITY ADV EQUITY INCOME-CL T	0.00	2.30	100.0	0.00	2.30	0.00	2.30
07/09/2018	#00689848828	FIDELITY ADV EQUITY VALUE-CL A	0.00	17.27	100.0	0.00	17.27	0.00	17.27
07/09/2018	#00063440911	VARIOUS HOUSE FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.33	100.0	0.00	0.33	0.00	0.33
07/09/2018	#00063440929	VARIOUS HOUSE FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.33	100.0	0.00	0.33	0.00	0.33
07/09/2018	#00689698223	LORD, SUSAN FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.07	100.0	0.00	0.07	0.00	0.07
07/09/2018	#00689848828	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.16	100.0	0.00	0.16	0.00	0.16

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/09/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.30	100.0	0.00	0.30	0.30
07/09/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADV FLOATING RATE HIGH INC	0.00	0.15	100.0	0.00	0.15	0.15
07/09/2018	#01456449279	VARIOUS	FIDELITY ADV FLOATING RATE HIGH INC	0.00	0.76	100.0	0.00	0.76	0.76
07/09/2018	#01456449279	VARIOUS	FIDELITY ADV GOVERNMENT INCOME-CL A	0.00	0.64	100.0	0.00	0.64	0.64
07/09/2018	#01485263345	VARIOUS	FIDELITY ADV GOVERNMENT INCOME-CL A	0.00	0.72	100.0	0.00	0.72	0.72
07/09/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.12	100.0	0.00	0.12	0.12
07/09/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.27	100.0	0.00	0.27	0.27
07/09/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.24	100.0	0.00	0.24	0.24
07/09/2018	#01485263345	VARIOUS	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.42	100.0	0.00	0.42	0.42
07/09/2018	#00062804968	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	2.71	100.0	0.00	2.71	2.71
07/09/2018	#00062990338	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.14	100.0	0.00	0.14	0.14
07/09/2018	#00618796379	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	1.58	100.0	0.00	1.58	1.58
07/09/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.24	100.0	0.00	0.24	0.24
07/09/2018	#01485263345	VARIOUS	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.46	100.0	0.00	0.46	0.46
07/09/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.06	100.0	0.00	0.06	0.06
07/09/2018	#00063272603	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.14	100.0	0.00	0.14	0.14
07/09/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	1.90	100.0	0.00	1.90	1.90
07/09/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	1.90	100.0	0.00	1.90	1.90
07/09/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	3.50	100.0	0.00	3.50	3.50
07/09/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.62	100.0	0.00	0.62	0.62
07/09/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.61	100.0	0.00	0.61	0.61
07/09/2018	#00655979805	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.18	100.0	0.00	0.18	0.18
07/09/2018	#00689848828		FIDELITY ADV HEALTH CARE-CL A	0.00	0.37	100.0	0.00	0.37	0.37
07/09/2018	#00689853968	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL T	0.00	0.31	100.0	0.00	0.31	0.31
07/09/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADV HIGH INCOME	0.00	82.19	100.0	0.00	82.19	82.19
07/09/2018	#01456449279	VARIOUS	FIDELITY ADV INFLATION PROTECTED BD	0.00	0.61	100.0	0.00	0.61	0.61
07/09/2018	#01485263345	VARIOUS	FIDELITY ADV INFLATION PROTECTED BD	0.00	0.69	100.0	0.00	0.69	0.69
07/09/2018	#00510641756	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	1.25	100.0	0.00	1.25	1.25
07/09/2018	#01456449279	VARIOUS	FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	0.50	100.0	0.00	0.50	0.50
07/09/2018	#00700381551	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL T	0.00	0.19	100.0	0.00	0.19	0.19
07/09/2018	#00700467251	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL T	0.00	1.09	100.0	0.00	1.09	1.09
07/09/2018	#00065009839	VARIOUS HOUSE	FIDELITY ADV INTERNATIONAL VALUE-CL	0.00	0.15	100.0	0.00	0.15	0.15
07/09/2018	#00062778436	VARIOUS HOUSE	FIDELITY ADV LARGE CAP-CL A	0.00	4.17	100.0	0.00	4.17	4.17
07/09/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADV LARGE CAP-CL T	0.00	0.54	100.0	0.00	0.54	0.54
07/09/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	1.77	100.0	0.00	1.77	1.77
07/09/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.44	100.0	0.00	0.44	0.44

COMMISSION STATEMENT for LPFS HOUSE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/09/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.44	100.0	0.00	0.44	0.44
07/09/2018	#00501330088	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.21	100.0	0.00	0.21	0.21
07/09/2018	#00618264410	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	2.12	100.0	0.00	2.12	2.12
07/09/2018	#00655540011	LAMARRE, MARIE	FIDELITY ADV MID CAP-CL A	0.00	9.31	100.0	0.00	9.31	9.31
07/09/2018	#00689697225	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.65	100.0	0.00	0.65	0.65
07/09/2018	#00700516297	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.03	100.0	0.00	0.03	0.03
07/09/2018	#00700431885	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL T	0.00	19.77	100.0	0.00	19.77	19.77
07/09/2018	#01487227504	TRUST,	FIDELITY ADV MID CAP-CL T	0.00	4.08	100.0	0.00	4.08	4.08
07/09/2018	#01487228155	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL T	0.00	0.07	100.0	0.00	0.07	0.07
07/09/2018	#01485263345	VARIOUS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.43	100.0	0.00	0.43	0.43
07/09/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV OVERSEAS-CL A	0.00	0.29	100.0	0.00	0.29	0.29
07/09/2018	#01485263345	VARIOUS	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	0.41	100.0	0.00	0.41	0.41
07/09/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	85.59	100.0	0.00	85.59	85.59
07/09/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	1.51	100.0	0.00	1.51	1.51
07/09/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.96	100.0	0.00	0.96	0.96
07/09/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.74	100.0	0.00	0.74	0.74
07/09/2018	#00618721112	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.58	100.0	0.00	0.58	0.58
07/09/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.39	100.0	0.00	0.39	0.39
07/09/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	38.22	100.0	0.00	38.22	38.22
07/09/2018	#00655540011	LAMARRE, MARIE	FIDELITY ADV TECHNOLOGY-CL A	0.00	7.68	100.0	0.00	7.68	7.68
07/09/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.18	100.0	0.00	0.18	0.18
07/09/2018	#00655711414	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.03	100.0	0.00	0.03	0.03
07/09/2018	#00655979805	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.28	100.0	0.00	0.28	0.28
07/09/2018	#00689848828		FIDELITY ADV TECHNOLOGY-CL A	0.00	0.21	100.0	0.00	0.21	0.21
07/09/2018	#00701252348	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.51	100.0	0.00	0.51	0.51
07/09/2018	#00655923407	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	0.45	100.0	0.00	0.45	0.45
07/09/2018	#00689853968	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	0.78	100.0	0.00	0.78	0.78
07/09/2018	#00700332646	BAPTISTE, MARIE	FIDELITY ADV TECHNOLOGY-CL T	0.00	2.12	100.0	0.00	2.12	2.12
07/09/2018	#00700431885	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	11.78	100.0	0.00	11.78	11.78
07/09/2018	#01456449279	VARIOUS	FIDELITY ADV TOTAL BOND-CL A	0.00	1.42	100.0	0.00	1.42	1.42
07/09/2018	#01485263345	VARIOUS	FIDELITY ADV TOTAL BOND-CL A	0.00	0.80	100.0	0.00	0.80	0.80
07/09/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADV UTILITIES-CL A	0.00	5.41	100.0	0.00	5.41	5.41
07/09/2018	#00689848828		FIDELITY ADV UTILITIES-CL A	0.00	0.24	100.0	0.00	0.24	0.24
07/09/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV UTILITIES-CL T	0.00	0.90	100.0	0.00	0.90	0.90
07/09/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	0.38	100.0	0.00	0.38	0.38
07/09/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	1.72	100.0	0.00	1.72	1.72

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/09/2018	#00700381551	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	3.52	100.0	0.00	3.52	3.52
07/09/2018	#00700467251	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	8.27	100.0	0.00	8.27	8.27
07/09/2018	#01475366801	VARIOUS HOUSE	FIDELITY ADVISOR EMERGING MARKETS F	0.00	1.41	100.0	0.00	1.41	1.41
07/09/2018	#00689960367	VARIOUS HOUSE	FIDELITY ADVISOR ENERGY CL A	0.00	1.00	100.0	0.00	1.00	1.00
07/09/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.43	100.0	0.00	0.43	0.43
07/09/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.37	100.0	0.00	0.37	0.37
07/09/2018	#00501330088	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.64	100.0	0.00	1.64	1.64
07/09/2018	#00618721112	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.27	100.0	0.00	0.27	0.27
07/09/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.30	100.0	0.00	0.30	0.30
07/09/2018	#00618796379	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.84	100.0	0.00	1.84	1.84
07/09/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	11.97	100.0	0.00	11.97	11.97
07/09/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.28	100.0	0.00	0.28	0.28
07/09/2018	#00655610640	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.42	100.0	0.00	0.42	0.42
07/09/2018	#00689697225	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.02	100.0	0.00	1.02	1.02
07/09/2018	#00689698223	LORD, SUSAN	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.25	100.0	0.00	0.25	0.25
07/09/2018	#01485263345	VARIOUS	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.43	100.0	0.00	0.43	0.43
07/09/2018	#00700516297	VARIOUS HOUSE	FIDELITY ADVISOR SER II MID CAP II	0.00	7.23	100.0	0.00	7.23	7.23
07/09/2018	#00062990338	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.20	100.0	0.00	0.20	0.20
07/09/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.11	100.0	0.00	0.11	0.11
07/09/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	1.36	100.0	0.00	1.36	1.36
07/09/2018	#00655071025	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	5.77	100.0	0.00	5.77	5.77
07/09/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	6.54	100.0	0.00	6.54	6.54
07/09/2018	#00655540011	LAMARRE, MARIE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	7.47	100.0	0.00	7.47	7.47
07/09/2018	#00689697225	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.67	100.0	0.00	0.67	0.67
07/09/2018	#00655923407	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	2.35	100.0	0.00	2.35	2.35
07/09/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.58	100.0	0.00	0.58	0.58
07/09/2018	#00700467251	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	10.44	100.0	0.00	10.44	10.44
07/09/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	26.06	100.0	0.00	26.06	26.06
07/09/2018	#01456449279	VARIOUS	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	1.57	100.0	0.00	1.57	1.57
07/09/2018	#01485263345	VARIOUS	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.87	100.0	0.00	0.87	0.87
07/09/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.12	100.0	0.00	0.12	0.12
07/09/2018	#00064878069	VARIOUS HOUSE	FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.47	100.0	0.00	0.47	0.47
07/09/2018	#00510641756	VARIOUS HOUSE	FIDELITY ADVISORS VALUE STRATEGIES	0.00	3.50	100.0	0.00	3.50	3.50
07/09/2018	#00689698223	LORD, SUSAN	FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.98	100.0	0.00	0.98	0.98
07/09/2018	#00689848828		FIDELITY ADVISORS VALUE STRATEGIES	0.00	4.60	100.0	0.00	4.60	4.60
07/09/2018	#00063113120	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.29	100.0	0.00	0.29	0.29

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/09/2018	#00064946619	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	9.93	100.0	0.00	9.93	9.93
07/09/2018	#00656147402	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.01	100.0	0.00	0.01	0.01
07/09/2018	#01445570219	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.14	100.0	0.00	0.14	0.14
07/09/2018	#01485263345	VARIOUS	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.35	100.0	0.00	0.35	0.35
07/09/2018	#00510641756	VARIOUS HOUSE	FIDELITY INVT TR	0.00	1.04	100.0	0.00	1.04	1.04
07/09/2018	#01468970379	VARIOUS HOUSE	FIDELITY SECS FD REAL ESTATE INCOME	0.00	27.50	100.0	0.00	27.50	27.50
07/09/2018	#01468970379	VARIOUS HOUSE	FMR 316203777	0.00	83.02	100.0	0.00	83.02	83.02
07/09/2018	#01468970379	VARIOUS HOUSE	FMR 316203793	0.00	25.69	100.0	0.00	25.69	25.69
07/09/2018	#VR07068305	POOR, DEBORAH	PL	0.00	34.29	100.0	0.00	34.29	34.29
07/09/2018	#VR03046675	JONES, KENNETH	PL.1	0.00	203.55	100.0	0.00	203.55	203.55
07/09/2018	#00065427064	VARIOUS HOUSE	TREASURY FUND - DAILY MONEY CLASS	0.00	0.36	100.0	0.00	0.36	0.36
07/11/2018	# WICK, CHRISTOPHER		DAIN TRAILER	0.00	8.91	100.0	0.00	8.91	8.91
07/11/2018	# HERZICK, JERRY		DAIN TRAILER	0.00	4.03	100.0	0.00	4.03	4.03
07/11/2018	# HERZICK, JERRY		DAIN TRAILER	0.00	18.98	100.0	0.00	18.98	18.98
07/11/2018	# MAYER, LEOTA		DAIN TRAILER	0.00	12.11	100.0	0.00	12.11	12.11
07/11/2018	# CASTRO, NANCY		DAIN TRAILER	0.00	7.21	100.0	0.00	7.21	7.21
07/11/2018	# GONZALEZ, GEORGE		DAIN TRAILER	0.00	4.48	100.0	0.00	4.48	4.48
07/11/2018	# GONZALEZ, GEORGE		DAIN TRAILER	0.00	9.61	100.0	0.00	9.61	9.61
07/11/2018	# MCGARRAH, DENNIS		DAIN TRAILER	0.00	1.62	100.0	0.00	1.62	1.62
07/11/2018	# MCGARRAH, DEBRA		DAIN TRAILER	0.00	0.46	100.0	0.00	0.46	0.46
07/11/2018	# MCGARRAH, DEBRA		DAIN TRAILER	0.00	1.07	100.0	0.00	1.07	1.07
07/11/2018	# MCGARRAH, DEBRA		DAIN TRAILER	0.00	0.76	100.0	0.00	0.76	0.76
07/11/2018	# MCGARRAH, DEBRA		DAIN TRAILER	0.00	1.13	100.0	0.00	1.13	1.13
07/11/2018	# HORTON, KATHY		DAIN TRAILER	0.00	23.75	100.0	0.00	23.75	23.75
07/11/2018	# ALVES, ELMIRA		DAIN TRAILER	0.00	7.52	100.0	0.00	7.52	7.52
07/11/2018	# BOWLEY, KAREN		DAIN TRAILER	0.00	1.08	100.0	0.00	1.08	1.08
07/11/2018	# BOWLEY, KAREN		DAIN TRAILER	0.00	0.36	100.0	0.00	0.36	0.36
07/11/2018	# BOWLEY, KAREN		DAIN TRAILER	0.00	0.95	100.0	0.00	0.95	0.95
07/11/2018	# BOWLEY, KAREN		DAIN TRAILER	0.00	2.42	100.0	0.00	2.42	2.42
07/11/2018	# MARTIN, JILL		DAIN TRAILER	0.00	5.19	100.0	0.00	5.19	5.19
07/11/2018	# MARTIN, JILL		DAIN TRAILER	0.00	6.67	100.0	0.00	6.67	6.67
07/11/2018	# MARTIN, JILL		DAIN TRAILER	0.00	5.51	100.0	0.00	5.51	5.51
07/11/2018	# MARTIN, JILL		DAIN TRAILER	0.00	0.40	100.0	0.00	0.40	0.40
07/11/2018	# MARTIN, JILL		DAIN TRAILER	0.00	1.47	100.0	0.00	1.47	1.47
07/11/2018	# BOHANAN, LINDA		DAIN TRAILER	0.00	4.20	100.0	0.00	4.20	4.20
07/11/2018	# SMITH, MARGARET		DAIN TRAILER	0.00	3.74	100.0	0.00	3.74	3.74

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018	# SULLIVAN, JOE	DAIN TRAILER	0.00	2.68	100.0	0.00	2.68	0.00	2.68
07/11/2018	# SULLIVAN, JOE	DAIN TRAILER	0.00	2.53	100.0	0.00	2.53	0.00	2.53
07/11/2018	# WROTSLAVSKY, MAGDA	DAIN TRAILER	0.00	0.95	100.0	0.00	0.95	0.00	0.95
07/11/2018	# VANDEVANter, SARAH	DAIN TRAILER	0.00	6.97	100.0	0.00	6.97	0.00	6.97
07/11/2018	# VANDEVANter, SARAH	DAIN TRAILER	0.00	0.78	100.0	0.00	0.78	0.00	0.78
07/11/2018	# VANDEVANter, SARAH	DAIN TRAILER	0.00	0.53	100.0	0.00	0.53	0.00	0.53
07/11/2018	# MEDALEN, TERRY	DAIN TRAILER	0.00	2.52	100.0	0.00	2.52	0.00	2.52
07/11/2018	# MARTINO, JOSEPH	DAIN TRAILER	0.00	2.43	100.0	0.00	2.43	0.00	2.43
07/11/2018	# MARTINO, JOSEPH	DAIN TRAILER	0.00	25.63	100.0	0.00	25.63	0.00	25.63
07/11/2018	# MARTINO, JOSEPH	DAIN TRAILER	0.00	80.73	100.0	0.00	80.73	0.00	80.73
07/11/2018	# MARTINO, JOSEPH	DAIN TRAILER	0.00	52.42	100.0	0.00	52.42	0.00	52.42
07/11/2018	# BECKLES, LIONEL	DAIN TRAILER	0.00	0.51	100.0	0.00	0.51	0.00	0.51
07/11/2018	# BECKLES, LIONEL	DAIN TRAILER	0.00	2.66	100.0	0.00	2.66	0.00	2.66
07/11/2018	# BECKLES, LIONEL	DAIN TRAILER	0.00	0.26	100.0	0.00	0.26	0.00	0.26
07/11/2018	# BARRERA, ADRIANA	DAIN TRAILER	0.00	7.28	100.0	0.00	7.28	0.00	7.28
07/11/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	73.40	100.0	0.00	73.40	0.00	73.40
07/11/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	1.08	100.0	0.00	1.08	0.00	1.08
07/11/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	2.11	100.0	0.00	2.11	0.00	2.11
07/11/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	26.46	100.0	0.00	26.46	0.00	26.46
07/11/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	1.35	100.0	0.00	1.35	0.00	1.35
07/11/2018	# ARASE, ANNABEL	DAIN TRAILER	0.00	0.81	100.0	0.00	0.81	0.00	0.81
07/11/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.41	100.0	0.00	1.41	0.00	1.41
07/11/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.23	100.0	0.00	1.23	0.00	1.23
07/11/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.80	100.0	0.00	1.80	0.00	1.80
07/11/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	2.05	100.0	0.00	2.05	0.00	2.05
07/11/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.89	100.0	0.00	1.89	0.00	1.89
07/11/2018	# BOCK, MARION	DAIN TRAILER	0.00	1.13	100.0	0.00	1.13	0.00	1.13
07/11/2018	# GALLENTINE, GENE	DAIN TRAILER	0.00	0.28	100.0	0.00	0.28	0.00	0.28
07/11/2018	# JOHNSON, DANIEL	DAIN TRAILER	0.00	3.04	100.0	0.00	3.04	0.00	3.04
07/11/2018	# JOHNSON, DANIEL	DAIN TRAILER	0.00	3.12	100.0	0.00	3.12	0.00	3.12
07/11/2018	# HAVENER, RANDAL	DAIN TRAILER	0.00	5.15	100.0	0.00	5.15	0.00	5.15
07/11/2018	# HUSTON, TOLLIVER	DAIN TRAILER	0.00	7.83	100.0	0.00	7.83	0.00	7.83
07/11/2018	# VANZILE, DEREK	DAIN TRAILER	0.00	2.53	100.0	0.00	2.53	0.00	2.53
07/11/2018	# WHITE, SHELBY	DAIN TRAILER	0.00	11.59	100.0	0.00	11.59	0.00	11.59
07/11/2018	# WHITE, SHELBY	DAIN TRAILER	0.00	3.26	100.0	0.00	3.26	0.00	3.26
07/11/2018	# WILLIS, BARBARA	DAIN TRAILER	0.00	0.47	100.0	0.00	0.47	0.00	0.47

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018	# WILLIS, BARBARA	DAIN TRAILER	0.00	0.53	100.0	0.00	0.53	0.00	0.53
07/11/2018	# KEAU, ALEXIS	DAIN TRAILER	0.00	2.54	100.0	0.00	2.54	0.00	2.54
07/11/2018	# ARMSTRONG, MERILEE	DAIN TRAILER	0.00	0.02	100.0	0.00	0.02	0.00	0.02
07/11/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.39	100.0	0.00	0.39	0.00	0.39
07/11/2018	# AL MEIER LIVING TRUS	DAIN TRAILER	0.00	2.47	100.0	0.00	2.47	0.00	2.47
07/11/2018	# RUTTER, DAVID	DAIN TRAILER	0.00	0.91	100.0	0.00	0.91	0.00	0.91
07/11/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	3.90	100.0	0.00	3.90	0.00	3.90
07/11/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	0.63	100.0	0.00	0.63	0.00	0.63
07/11/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	3.29	100.0	0.00	3.29	0.00	3.29
07/11/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	1.74	100.0	0.00	1.74	0.00	1.74
07/11/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	19.64	100.0	0.00	19.64	0.00	19.64
07/11/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	3.08	100.0	0.00	3.08	0.00	3.08
07/11/2018	# SALES, MIRIAM	DAIN TRAILER	0.00	3.90	100.0	0.00	3.90	0.00	3.90
07/11/2018	# SALES, MIRIAM	DAIN TRAILER	0.00	0.64	100.0	0.00	0.64	0.00	0.64
07/11/2018	# SCOTT, JIM	DAIN TRAILER	0.00	12.51	100.0	0.00	12.51	0.00	12.51
07/11/2018	# GUARANTEE & TRUST CO	DAIN TRAILER	0.00	2.24	100.0	0.00	2.24	0.00	2.24
07/11/2018	# THORPE, JANE	DAIN TRAILER	0.00	1.53	100.0	0.00	1.53	0.00	1.53
07/11/2018	# BRYANT, MORGAN	DAIN TRAILER	0.00	4.99	100.0	0.00	4.99	0.00	4.99
07/11/2018	# FRENCH, KENNER	DAIN TRAILER	0.00	0.28	100.0	0.00	0.28	0.00	0.28
07/11/2018	# HOFFMAN, ROBERTA	DAIN TRAILER	0.00	0.80	100.0	0.00	0.80	0.00	0.80
07/11/2018	# HOFFMAN, ROBERTA	DAIN TRAILER	0.00	0.48	100.0	0.00	0.48	0.00	0.48
07/11/2018	# HOFFMAN, ROBERTA	DAIN TRAILER	0.00	0.74	100.0	0.00	0.74	0.00	0.74
07/11/2018	# HOFFMAN, ROBERTA	DAIN TRAILER	0.00	0.19	100.0	0.00	0.19	0.00	0.19
07/11/2018	# HOFFMAN, ROBERTA	DAIN TRAILER	0.00	0.24	100.0	0.00	0.24	0.00	0.24
07/11/2018	# GALLAGHER, JAMES	DAIN TRAILER	0.00	5.62	100.0	0.00	5.62	0.00	5.62
07/11/2018	# JARVIS, RACHEL	DAIN TRAILER	0.00	7.07	100.0	0.00	7.07	0.00	7.07
07/11/2018	# STOUT, RHONDA	DAIN TRAILER	0.00	1.96	100.0	0.00	1.96	0.00	1.96
07/11/2018	# STOUT, RHONDA	DAIN TRAILER	0.00	7.85	100.0	0.00	7.85	0.00	7.85
07/11/2018	# JARVIS, RACHEL	DAIN TRAILER	0.00	3.76	100.0	0.00	3.76	0.00	3.76
07/11/2018	# JARVIS, RACHEL	DAIN TRAILER	0.00	3.72	100.0	0.00	3.72	0.00	3.72
07/11/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.34	100.0	0.00	0.34	0.00	0.34
07/11/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.71	100.0	0.00	0.71	0.00	0.71
07/11/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.96	100.0	0.00	0.96	0.00	0.96
07/11/2018	# SMITH, ROBERT	DAIN TRAILER	0.00	2.98	100.0	0.00	2.98	0.00	2.98
07/11/2018	# SMITH, ROBERT	DAIN TRAILER	0.00	3.67	100.0	0.00	3.67	0.00	3.67
07/11/2018	# ANN CURRY TTEE	DAIN TRAILER	0.00	0.72	100.0	0.00	0.72	0.00	0.72

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018	# ANN CURRY TTEE	DAIN TRAILER	0.00	1.40	100.0	0.00	1.40	0.00	1.40
07/11/2018	# ANN CURRY TTEE	DAIN TRAILER	0.00	0.55	100.0	0.00	0.55	0.00	0.55
07/11/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.95	100.0	0.00	2.95	0.00	2.95
07/11/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.33	100.0	0.00	2.33	0.00	2.33
07/11/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.51	100.0	0.00	2.51	0.00	2.51
07/11/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	1.83	100.0	0.00	1.83	0.00	1.83
07/11/2018	# LAURA CAVALUZZO TTEE	DAIN TRAILER	0.00	1.79	100.0	0.00	1.79	0.00	1.79
07/11/2018	# LAURA CAVALUZZO TTEE	DAIN TRAILER	0.00	1.76	100.0	0.00	1.76	0.00	1.76
07/11/2018	# CRAWFORD, JOSHUA	DAIN TRAILER	0.00	0.67	100.0	0.00	0.67	0.00	0.67
07/11/2018	# CRAWFORD, JOSHUA	DAIN TRAILER	0.00	1.15	100.0	0.00	1.15	0.00	1.15
07/12/2018	#VR01011472 TRUDELL, CAROLYN	PL.1	0.00	22.00	100.0	0.00	22.00	0.00	22.00
07/13/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	5.22	100.0	0.00	5.22	0.00	5.22
07/13/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	1.46	100.0	0.00	1.46	0.00	1.46
07/13/2018	#00035529535 VARIOUS HOUSE	IVY BALANCED A	0.00	1.37	100.0	0.00	1.37	0.00	1.37
07/13/2018	#00026707075 VARIOUS HOUSE	IVY CORE EQUITY FUND CLASS A	0.00	2.46	100.0	0.00	2.46	0.00	2.46
07/13/2018	#00032423751 VARIOUS HOUSE	IVY CORE EQUITY FUND CLASS A	0.00	2.49	100.0	0.00	2.49	0.00	2.49
07/13/2018	#00035529535 VARIOUS HOUSE	IVY CORE EQUITY FUND CLASS A	0.00	2.06	100.0	0.00	2.06	0.00	2.06
07/13/2018	#00032423751 VARIOUS HOUSE	IVY CUNDILL GLOBAL VALUE A	0.00	1.66	100.0	0.00	1.66	0.00	1.66
07/13/2018	#00035529535 VARIOUS HOUSE	IVY CUNDILL GLOBAL VALUE A	0.00	1.27	100.0	0.00	1.27	0.00	1.27
07/13/2018	#00035700837 VARIOUS HOUSE	IVY CUNDILL GLOBAL VALUE A	0.00	2.54	100.0	0.00	2.54	0.00	2.54
07/13/2018	#00035948969 VARIOUS HOUSE	IVY CUNDILL GLOBAL VALUE A	0.00	5.60	100.0	0.00	5.60	0.00	5.60
07/13/2018	#00036191699 VARIOUS HOUSE	IVY ENERGY A	0.00	2.45	100.0	0.00	2.45	0.00	2.45
07/13/2018	#00032423751 VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.70	100.0	0.00	1.70	0.00	1.70
07/13/2018	#00035529533 VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.23	100.0	0.00	1.23	0.00	1.23
07/13/2018	#00035890872 VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.93	100.0	0.00	3.93	0.00	3.93
07/13/2018	#00035927149 VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.89	100.0	0.00	0.89	0.00	0.89
07/13/2018	#00035944054 VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	34.98	100.0	0.00	34.98	0.00	34.98
07/13/2018	#00035948969 VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.64	100.0	0.00	5.64	0.00	5.64
07/13/2018	#00032423751 VARIOUS HOUSE	IVY FDS INC HIGH INCOME FD CL A	0.00	2.17	100.0	0.00	2.17	0.00	2.17
07/13/2018	#00035529535 VARIOUS HOUSE	IVY FDS INC HIGH INCOME FD CL A	0.00	1.81	100.0	0.00	1.81	0.00	1.81
07/13/2018	#00035927149 VARIOUS HOUSE	IVY FDS INC HIGH INCOME FD CL A	0.00	2.62	100.0	0.00	2.62	0.00	2.62
07/13/2018	#00035948969 VARIOUS HOUSE	IVY FDS INC HIGH INCOME FD CL A	0.00	6.76	100.0	0.00	6.76	0.00	6.76
07/13/2018	#00035951824 VARIOUS HOUSE	IVY FDS INC HIGH INCOME FD CL A	0.00	0.94	100.0	0.00	0.94	0.00	0.94
07/13/2018	#00036087593 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.01	100.0	0.00	0.01	0.00	0.01
07/13/2018	#00032425931 STILLARSARA	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.07	100.0	0.00	1.07	0.00	1.07
07/13/2018	#00035529535 VARIOUS HOUSE	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.28	100.0	0.00	3.28	0.00	3.28

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/13/2018	#00036257440 REED, JOHN	IVY FDS INC SCIENCE & TECH FD CL A	0.00	6.12	100.0	0.00	6.12	0.00	6.12
07/13/2018	#00035777858 CARIOLA,	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.84	100.0	0.00	0.84	0.00	0.84
07/13/2018	#00035890872 VARIOUS HOUSE	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.12	100.0	0.00	1.12	0.00	1.12
07/13/2018	#00035924285 VARIOUS HOUSE	IVY HIGH INCOME C	0.00	5.64	100.0	0.00	5.64	0.00	5.64
07/13/2018	#00032425931 STILL SARA	IVY PACIFIC OPPORTUNITIES A	0.00	6.30	100.0	0.00	6.30	0.00	6.30
07/13/2018	#00035890872 VARIOUS HOUSE	IVY PACIFIC OPPORTUNITIES A	0.00	2.60	100.0	0.00	2.60	0.00	2.60
07/13/2018	#00035948969 VARIOUS HOUSE	IVY PACIFIC OPPORTUNITIES A	0.00	7.20	100.0	0.00	7.20	0.00	7.20
07/13/2018	#00036257436 VARIOUS HOUSE	IVY PACIFIC OPPORTUNITIES A	0.00	1.62	100.0	0.00	1.62	0.00	1.62
07/13/2018	#00032423751 VARIOUS HOUSE	WADDELL & REED 465899631	0.00	1.05	100.0	0.00	1.05	0.00	1.05
07/16/2018	#00002132507 VARIOUS BARRONE	JOHN HANCOCK FDS II LIFESTYLE CONSE	0.00	3.43	100.0	0.00	3.43	0.00	3.43
07/16/2018	#00026023772 VARIOUS HOUSE	US GLOBAL LEADERS GROWTH A	0.00	3.88	100.0	0.00	3.88	0.00	3.88
07/17/2018	# BOWLEY, KAREN	DAIN TRAILER	0.00	0.62	100.0	0.00	0.62	0.00	0.62
07/24/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	1.31	100.0	0.00	1.31	0.00	1.31
07/24/2018	# WILLARD SYLVAN GROVE	DAIN TRAILER	0.00	22.99	100.0	0.00	22.99	0.00	22.99
07/27/2018	#VR06074952 SHAW, SONYA	PL	0.00	29.11	100.0	0.00	29.11	0.00	29.11
07/27/2018	#VR01011472 TRUDELL, CAROLYN	PL.1	0.00	22.00	100.0	0.00	22.00	0.00	22.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$11,499.70		\$0.00	\$11,499.70	\$0.00	\$11,499.70
*** BROKER TRANSACTIONS TOTAL ***			\$3,483,509.24	\$18,598.66		\$0.00	\$18,598.66	\$558.60	\$18,040.06

VERRIDE TRANSACTIONS

GLEDHILL, MIKE - TERMINATED	80.09	80.09
HEADRICK, JEFF - TERMINATED	17.53	17.53
MCELRAVY, STEVE - TERMINATED	1.88	1.88
MILLER, DWIGHT - TERMINATED	28.88	28.88
SANCHEZ, ISAAC - TERMINATED	15.64	15.64
SIMON, TYLER - TERMINATED	26.16	26.16
SUTTON, VIC - TERMINATED	35.11	35.11
TONG, KAM - TERMINATED	58.89	58.89
MCTIER, MAHARI - TERMINATED	6.89	6.89

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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Override Transactions

KOESTER, CHASE - TERMINATED	9.90	9.90
STROUD, JOSHUA - TERMINATED	56.20	56.20
GERSON - HOUSE, GLENN	1.38	1.38
*** OVERRIDE TRANSACTIONS TOTAL ***	\$338.55	\$338.55

ADJUSTMENTS

08/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-0.04
08/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-2.00
08/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-2.00
08/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-2.00
08/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-4.00
08/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-2.00
*** ADJUSTMENTS TOTAL ***		\$-12.04

BROKER COMMISSION TOTALS

Broker Transactions	\$18,040.06
Split Transactions	\$0.00
Override Transactions	\$338.55
Adjustments	\$-12.04
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$18,366.57
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