



COMMISSION STATEMENT

August 20, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/15/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	AMCAP FUND-A BUY 3 Units @34.8200	90.00	2.48	0.00	2.48	70.0	1.74
08/17/2018	***-**-8325 - BUECHEL, ACCOUNT #: 00088244527	AMERICAN BALANCED FUND-A BUY 7 Units @28.2700	191.25	3.06	0.00	3.06	70.0	2.14
08/17/2018	***-**-8325 - BUECHEL, ACCOUNT #: 00088244527	AMERICAN BALANCED FUND-A BUY 7 Units @28.2700	191.25	3.06	0.00	3.06	70.0	2.14
08/17/2018	***-**-8346 - SCOLLAN, JAMIE ACCOUNT #: 04000941955	AMERICAN FUNDS 2040 TARGET DATE-A BUY 7 Units @15.9900	110.76	1.77	0.00	1.77	70.0	1.24
08/17/2018	***-**-8346 - SCOLLAN, JAMIE ACCOUNT #: 04000941955	AMERICAN FUNDS 2040 TARGET DATE-A BUY 7 Units @15.9900	110.76	1.77	0.00	1.77	70.0	1.24
08/17/2018	***-**-4858 - GODOFSKY, ACCOUNT #: 04000349982	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 17 Units @19.4300	323.08	5.17	0.00	5.17	70.0	3.62
08/17/2018	***-**-4858 - GODOFSKY, ACCOUNT #: 04000349982	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 7 Units @19.4300	138.46	2.22	0.00	2.22	70.0	1.55
08/15/2018	***-**-62UT - MAINVILLE UTMA ACCOUNT #: LSR011645	AMERICAN FUNDS INCOME PORT CL A BUY 14 Units @13.2900	180.00	9.00	0.00	9.00	70.0	6.30
08/15/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	AMERICAN MUTUAL FUND-A BUY 1 Units @43.3200	50.00	1.38	0.00	1.38	70.0	0.97
08/15/2018	***-**-3987 - LINGE, MARY ACCOUNT #: 08351856019	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	100.00	4.75	0.00	4.75	70.0	3.33
08/15/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	FUNDAMENTAL INVESTORS-A BUY 1 Units @65.6000	50.00	1.38	0.00	1.38	70.0	0.97
08/01/2018	***-**-8034 - DEBONIS, ALBERT ACCOUNT #: 00011265210	MAINSTAY CONSERVATIV ALLOCATION CL BUY 11 Units @12.4900	138.12	2.76	0.00	2.76	70.0	1.93
08/01/2018	***-**-8034 - DEBONIS, ALBERT ACCOUNT #: 00011265210	MAINSTAY CONSERVATIV ALLOCATION CL BUY 69 Units @12.4900	865.58	17.31	0.00	17.31	70.0	12.12
08/01/2018	***-**-8034 - DEBONIS, ALBERT ACCOUNT #: 00011265210	MAINSTAY MODERATE ALLOCATION CL A BUY 5 Units @14.1800	74.38	1.49	0.00	1.49	70.0	1.04
08/01/2018	***-**-8034 - DEBONIS, ALBERT ACCOUNT #: 00011265210	MAINSTAY MODERATE ALLOCATION CL A BUY 33 Units @14.1800	466.08	9.32	0.00	9.32	70.0	6.52
08/17/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 00075179061	NEW PERSPECTIVE FUND-A BUY 1 Units @46.4700	33.12	0.53	0.00	0.53	70.0	0.37



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/17/2018	***-**-2633 - BUTLER, JAIME	NEW PERSPECTIVE FUND-A	24.84	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 1 Units @46.4700						
08/17/2018	***-**-9064 - GUERRERO, NIGEL	NEW PERSPECTIVE FUND-A	46.39	0.74	0.00	0.74	70.0	0.52
	ACCOUNT #: 00088394220	BUY 1 Units @46.4700						
08/17/2018	***-**-9064 - GUERRERO, NIGEL	NEW PERSPECTIVE FUND-A	46.39	0.74	0.00	0.74	70.0	0.52
	ACCOUNT #: 00088394220	BUY 1 Units @46.4700						
08/15/2018	***-**-3987 - LINGE, MARY	OPPENHEIMER CORP. BOND FUND A	50.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 00100031714	BUY 0 Units @0.0000						
08/15/2018	***-**-3987 - LINGE, MARY	OPPENHEIMER INTERNATIONAL DIVERSIFI	50.00	2.38	0.00	2.38	70.0	1.67
	ACCOUNT #: 01952249841	BUY 0 Units @0.0000						
08/15/2018	***-**-3569 - ANDERSON,	THE BOND FUND OF AMERICA-A	75.00	2.06	0.00	2.06	70.0	1.44
	ACCOUNT #: 00088334300	BUY 6 Units @13.0500						
08/17/2018	***-**-2633 - BUTLER, JAIME	THE BOND FUND OF AMERICA-A	33.12	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075179061	BUY 3 Units @12.8400						
08/17/2018	***-**-2633 - BUTLER, JAIME	THE BOND FUND OF AMERICA-A	24.84	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 2 Units @12.8400						
08/17/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	15.46	0.25	0.00	0.25	70.0	0.18
	ACCOUNT #: 00088394220	BUY 1 Units @12.8400						
08/17/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	15.46	0.25	0.00	0.25	70.0	0.18
	ACCOUNT #: 00088394220	BUY 1 Units @12.8400						
08/15/2018	***-**-3569 - ANDERSON,	THE GROWTH FUND OF AMERICA-A	70.00	1.93	0.00	1.93	70.0	1.35
	ACCOUNT #: 00088334300	BUY 1 Units @56.4800						
08/17/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	61.85	0.99	0.00	0.99	70.0	0.69
	ACCOUNT #: 00088394220	BUY 1 Units @55.7700						
08/17/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	61.85	0.99	0.00	0.99	70.0	0.69
	ACCOUNT #: 00088394220	BUY 1 Units @55.7700						
08/15/2018	***-**-3569 - ANDERSON,	THE INCOME FUND OF AMERICA-A	50.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: 00088334300	BUY 2 Units @23.8500						
08/17/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	33.12	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075179061	BUY 1 Units @23.7000						
08/17/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	24.82	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 1 Units @23.7000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/17/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	30.92	0.49	0.00	0.49	70.0	0.34
	ACCOUNT #: 00088394220	BUY 1 Units @23.7000						
08/17/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	30.92	0.49	0.00	0.49	70.0	0.34
	ACCOUNT #: 00088394220	BUY 1 Units @23.7000						
08/17/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	33.10	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075179061	BUY 1 Units @42.1700						
08/17/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	24.84	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 1 Units @42.1700						
08/15/2018	***-**-3569 - ANDERSON,	THE NEW ECONOMY FUND-A	65.00	1.79	0.00	1.79	70.0	1.25
	ACCOUNT #: 00088334300	BUY 1 Units @49.5600						
* MUTUAL FUNDS SUBTOTAL *			\$3,980.76	\$87.12	\$0.00	\$87.12		\$60.99
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	***-**-2471 - COLUCCI, ATHENA	AF MODERATE GROWTH AND INCOME-A	0.00	8.08	0.00	8.08	70.0	5.66
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
/ /	***-**-53IO - GIL, OMER	AMCAP FUND-A	0.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
/ /	***-**-47FB - TORRES, SHARI	AMCAP FUND-A	0.00	12.99	0.00	12.99	70.0	9.09
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
/ /	***-**-53IO - MOSHER, JEANINE	AMCAP FUND-A	0.00	5.22	0.00	5.22	70.0	3.65
	ACCOUNT #: LSR011815	BUY 1 Units @0.0000						
/ /	***-**-82IO - AGUIAR, DANA	AMCAP FUND-A	0.00	0.54	0.00	0.54	70.0	0.38
	ACCOUNT #: LSR011880	BUY 1 Units @0.0000						
/ /	***-**-57IR - GERTY, AUDRA	AMCAP FUND-A	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR012440	BUY 1 Units @0.0000						
/ /	***-**-460I - MILLS, ALEXIS	AMERICAN 2055 TARGET DATE RETIREMEN	0.00	0.25	0.00	0.25	70.0	0.18
	ACCOUNT #: LSR012769	BUY 1 Units @0.0000						
/ /	***-**-091I - GLASSFORD,	AMERICAN BALANCED FUND-A	0.00	2.37	0.00	2.37	70.0	1.66
	ACCOUNT #: LSR011526	BUY 1 Units @0.0000						
/ /	***-**-47FB - TORRES, SHARI	AMERICAN BALANCED FUND-A	0.00	15.10	0.00	15.10	70.0	10.57
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
/ /	***-**-53IO - MOSHER, JEANINE	AMERICAN BALANCED FUND-A	0.00	5.27	0.00	5.27	70.0	3.69
	ACCOUNT #: LSR011815	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-82IO - AGUIAR, DANA	AMERICAN BALANCED FUND-A	0.00	1.23	0.00	1.23	70.0	0.86
	ACCOUNT #: LSR011880	BUY 1 Units @0.0000						
/ /	***-**-91IR - GLASSFORD,	AMERICAN BALANCED FUND-A	0.00	1.04	0.00	1.04	70.0	0.73
	ACCOUNT #: LSR012316	BUY 1 Units @0.0000						
/ /	***-**-57IR - GERTY, AUDRA	AMERICAN BALANCED FUND-A	0.00	0.08	0.00	0.08	70.0	0.06
	ACCOUNT #: LSR012440	BUY 1 Units @0.0000						
/ /	***-**-461I - FISCHER,	AMERICAN CORPORATE BOND FUND A	0.00	0.57	0.00	0.57	70.0	0.40
	ACCOUNT #: LSR012487	BUY 1 Units @0.0000						
/ /	***-**-2471 - COLUCCI, ATHENA	AMERICAN CORPORATE BOND FUND A	0.00	0.33	0.00	0.33	70.0	0.23
	ACCOUNT #: LSR012592	BUY 1 Units @0.0000						
/ /	***-**-00IO - DEWEY, JAN	AMERICAN CORPORATE BOND FUND A	0.00	26.44	0.00	26.44	70.0	18.51
	ACCOUNT #: LSR012701	BUY 1 Units @0.0000						
/ /	***-**-42IR - COOKE, ROBERT	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	0.20	0.00	0.20	70.0	0.14
	ACCOUNT #: LSR011395	BUY 1 Units @0.0000						
/ /	***-**-569K - ANDERSON,	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	1.74	0.00	1.74	70.0	1.22
	ACCOUNT #: LSR011745	BUY 1 Units @0.0000						
/ /	***-**-24UT - FARKAS, ALLISYN	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	1.49	0.00	1.49	70.0	1.04
	ACCOUNT #: LSR011790	BUY 1 Units @0.0000						
/ /	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	8.64	0.00	8.64	70.0	6.05
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
/ /	***-**-09IO - FRANCIS, TERESA	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: LSR012472	BUY 1 Units @0.0000						
/ /	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	13.81	0.00	13.81	70.0	9.67
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
/ /	***-**-02IO - FISCHER, ALLYSE	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	0.72	0.00	0.72	70.0	0.50
	ACCOUNT #: LSR012367	BUY 1 Units @0.0000						
/ /	***-**-09IO - FRANCIS, TERESA	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	0.64	0.00	0.64	70.0	0.45
	ACCOUNT #: LSR012472	BUY 1 Units @0.0000						
/ /	***-**-19IR - SHEEHAN, KEELY	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	0.62	0.00	0.62	70.0	0.43
	ACCOUNT #: LSR012754	BUY 1 Units @0.0000						
/ /	***-**-42IR - COOKE, ROBERT	AMERICAN FUNDS INCOME PORT CL A	0.00	0.12	0.00	0.12	70.0	0.08
	ACCOUNT #: LSR011395	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-62UT - MAINVILLE UTMA	AMERICAN FUNDS INCOME PORT CL A	0.00	0.28	0.00	0.28	70.0	0.20
	ACCOUNT #: LSR011645	BUY 1 Units @0.0000						
/ /	***-**-569K - ANDERSON,	AMERICAN FUNDS INCOME PORT CL A	0.00	1.50	0.00	1.50	70.0	1.05
	ACCOUNT #: LSR011745	BUY 1 Units @0.0000						
/ /	***-**-976J - KILLIAN, JAMES	AMERICAN FUNDS T/E PRESERVATION POR	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR011547	BUY 1 Units @0.0000						
/ /	***-**-943J - DAILY, MATTHEW	AMERICAN FUNDS T/E PRESERVATION POR	0.00	6.07	0.00	6.07	70.0	4.25
	ACCOUNT #: LSR011610	BUY 1 Units @0.0000						
/ /	***-**-24UT - FARKAS, ALLISYN	AMERICAN FUNDS T/E PRESERVATION POR	0.00	0.84	0.00	0.84	70.0	0.59
	ACCOUNT #: LSR011790	BUY 1 Units @0.0000						
/ /	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS T/E PRESERVATION POR	0.00	10.21	0.00	10.21	70.0	7.15
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
/ /	***-**-976J - KILLIAN, JAMES	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	0.90	0.00	0.90	70.0	0.63
	ACCOUNT #: LSR011547	BUY 1 Units @0.0000						
/ /	***-**-943J - DAILY, MATTHEW	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	1.40	0.00	1.40	70.0	0.98
	ACCOUNT #: LSR011610	BUY 1 Units @0.0000						
/ /	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	13.51	0.00	13.51	70.0	9.46
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
/ /	***-**-2447 - FISCHER, MAX	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	3.13	0.00	3.13	70.0	2.19
	ACCOUNT #: LSR012557	BUY 1 Units @0.0000						
/ /	***-**-50IN - MOHR, HERBERT	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	4.23	0.00	4.23	70.0	2.96
	ACCOUNT #: LSR012756	BUY 1 Units @0.0000						
/ /	***-**-091I - GLASSFORD,	AMERICAN HIGH-INCOME TRUST-A	0.00	1.94	0.00	1.94	70.0	1.36
	ACCOUNT #: LSR011526	BUY 1 Units @0.0000						
/ /	***-**-55IO - KOMAR, EDWARD	AMERICAN HIGH-INCOME TRUST-A	0.00	52.79	0.00	52.79	70.0	36.95
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
/ /	***-**-47FB - TORRES, SHARI	AMERICAN HIGH-INCOME TRUST-A	0.00	3.49	0.00	3.49	70.0	2.44
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
/ /	***-**-82IO - AGUIAR, DANA	AMERICAN HIGH-INCOME TRUST-A	0.00	0.46	0.00	0.46	70.0	0.32
	ACCOUNT #: LSR011880	BUY 1 Units @0.0000						
/ /	***-**-91IR - GLASSFORD,	AMERICAN HIGH-INCOME TRUST-A	0.00	1.02	0.00	1.02	70.0	0.71
	ACCOUNT #: LSR012316	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-461I - FISCHER, ACCOUNT #: LSR012487	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	0.23	0.00	0.23	70.0	0.16
/ /	***-**-247I - COLUCCI, ATHENA ACCOUNT #: LSR012592	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	70.0	0.05
/ /	***-**-00IO - DEWEY, JAN ACCOUNT #: LSR012701	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	6.62	0.00	6.62	70.0	4.63
/ /	***-**-53IO - GIL, OMER ACCOUNT #: LSR011536	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	1.05	0.00	1.05	70.0	0.74
/ /	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	52.42	0.00	52.42	70.0	36.69
/ /	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	15.61	0.00	15.61	70.0	10.93
/ /	***-**-91IR - GLASSFORD, ACCOUNT #: LSR012316	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	1.04	0.00	1.04	70.0	0.73
/ /	***-**-57IR - GERTY, AUDRA ACCOUNT #: LSR012440	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	0.08	0.00	0.08	70.0	0.06
/ /	***-**-439I - DAILY, RONI ACCOUNT #: LSR012499	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	7.07	0.00	7.07	70.0	4.95
/ /	***-**-00IO - DEWEY, JAN ACCOUNT #: LSR012701	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	26.67	0.00	26.67	70.0	18.67
/ /	***-**-50IN - MOHR, HERBERT ACCOUNT #: LSR012756	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	6.70	0.00	6.70	70.0	4.69
/ /	***-**-2447 - FISCHER, MAX ACCOUNT #: LSR012557	AMERICAN TAX EXEMPT OF NEW YORK CL BUY 1 Units @0.0000	0.00	7.24	0.00	7.24	70.0	5.07
/ /	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT 131582454 BUY 1 Units @0.0000	0.00	4.86	0.00	4.86	70.0	3.40
08/02/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT 131582454 BUY 0 Units @0.0000	0.00	5.27	0.00	5.27	70.0	3.69
08/02/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00007223602	CALVERT 131618704 BUY 0 Units @0.0000	0.00	12.87	0.00	12.87	70.0	9.01
08/02/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00007223602	CALVERT 13161P805 BUY 0 Units @0.0000	0.00	5.56	0.00	5.56	70.0	3.89



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
08/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 13161T104	0.00	1.09	0.00	1.09	70.0	0.76
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
08/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649402	0.00	5.97	0.00	5.97	70.0	4.18
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
08/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649865	0.00	3.89	0.00	3.89	70.0	2.72
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
08/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649881	0.00	3.97	0.00	3.97	70.0	2.78
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
08/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT CAPITAL ACCUMULATION - A	0.00	3.82	0.00	3.82	70.0	2.67
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
/ /	***-**-162K - LANGTON,	CALVERT INTRNTL RESPBL INDEX A-NFS	0.00	13.97	0.00	13.97	70.0	9.78
	ACCOUNT #: LSR012318	BUY 1 Units @0.0000						
/ /	***-**-162K - LANGTON,	CALVERT LONG-TERM INCOME FUND	0.00	9.69	0.00	9.69	70.0	6.78
	ACCOUNT #: LSR012318	BUY 1 Units @0.0000						
08/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT LONG-TERM INCOME FUND	0.00	6.34	0.00	6.34	70.0	4.44
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
/ /	***-**-162K - LANGTON,	CALVERT MODERATE ALLOCATION FUND-A	0.00	3.22	0.00	3.22	70.0	2.25
	ACCOUNT #: LSR012318	BUY 1 Units @0.0000						
/ /	***-**-162K - LANGTON,	CALVERT SMALL CAP VALUE FUND	0.00	10.27	0.00	10.27	70.0	7.19
	ACCOUNT #: LSR012318	BUY 1 Units @0.0000						
08/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT SMALL CAP VALUE FUND	0.00	7.14	0.00	7.14	70.0	5.00
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
/ /	***-**-162K - LANGTON,	CALVERT SOCIAL INDEX A	0.00	9.91	0.00	9.91	70.0	6.94
	ACCOUNT #: LSR012318	BUY 1 Units @0.0000						
/ /	***-**-162K - LANGTON,	CALVERT SOCIAL INVESTMENT FD EQUITY	0.00	25.35	0.00	25.35	70.0	17.75
	ACCOUNT #: LSR012318	BUY 1 Units @0.0000						
08/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT SOCIAL INVESTMENT FD EQUITY	0.00	4.85	0.00	4.85	70.0	3.40
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
/ /	***-**-162K - LANGTON,	CALVERT SOCIAL INVESTMENT FUND BOND	0.00	24.58	0.00	24.58	70.0	17.21
	ACCOUNT #: LSR012318	BUY 1 Units @0.0000						
08/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT SOCIAL INVESTMENT FUND BOND	0.00	2.98	0.00	2.98	70.0	2.09
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						



COMMISSION STATEMENT

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WILLIAM FRANCIS
2 Bobrick Road
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BROKER #: A88
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-032I - JOHNSON, DARLENE	CAPITAL INCOME BUILDER-A	0.00	2.49	0.00	2.49	70.0	1.74
	ACCOUNT #: LSR011358	BUY 1 Units @0.0000						
/ /	***-**-55IO - KOMAR, EDWARD	CAPITAL INCOME BUILDER-A	0.00	47.73	0.00	47.73	70.0	33.41
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
/ /	***-**-57IR - GERTY, AUDRA	CAPITAL WORLD BOND FUND-A	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR012440	BUY 1 Units @0.0000						
/ /	***-**-00IO - DEWEY, JAN	CAPITAL WORLD BOND FUND-A	0.00	6.56	0.00	6.56	70.0	4.59
	ACCOUNT #: LSR012701	BUY 1 Units @0.0000						
/ /	***-**-55IO - KOMAR, EDWARD	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	54.31	0.00	54.31	70.0	38.02
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
/ /	***-**-439I - DAILY, RONI	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.44	0.00	3.44	70.0	2.41
	ACCOUNT #: LSR012499	BUY 1 Units @0.0000						
/ /	***-**-00IO - DEWEY, JAN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	15.65	0.00	15.65	70.0	10.96
	ACCOUNT #: LSR012701	BUY 1 Units @0.0000						
/ /	***-**-53IO - GIL, OMER	FUNDAMENTAL INVESTORS-A	0.00	0.85	0.00	0.85	70.0	0.60
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
/ /	***-**-53IO - MOSHER, JEANINE	FUNDAMENTAL INVESTORS-A	0.00	6.22	0.00	6.22	70.0	4.35
	ACCOUNT #: LSR011815	BUY 1 Units @0.0000						
/ /	***-**-82IO - AGUIAR, DANA	FUNDAMENTAL INVESTORS-A	0.00	1.55	0.00	1.55	70.0	1.09
	ACCOUNT #: LSR011880	BUY 1 Units @0.0000						
/ /	***-**-91IR - GLASSFORD,	FUNDAMENTAL INVESTORS-A	0.00	2.65	0.00	2.65	70.0	1.86
	ACCOUNT #: LSR012316	BUY 1 Units @0.0000						
/ /	***-**-39UT - CHAUVIN UTMA NY,	GLOBAL GROWTH PORTFOLIO-A	0.00	0.90	0.00	0.90	70.0	0.63
	ACCOUNT #: LSR011657	BUY 1 Units @0.0000						
/ /	***-**-02IO - FISCHER, ALLYSE	GLOBAL GROWTH PORTFOLIO-A	0.00	1.09	0.00	1.09	70.0	0.76
	ACCOUNT #: LSR012367	BUY 1 Units @0.0000						
/ /	***-**-53IO - GIL, OMER	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.22	0.00	0.22	70.0	0.15
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
08/20/2018	***-**-3281 - DEWITT JR, LEE	LORD ABBETT MUTUAL FUND TRAILS	0.00	4.00	0.00	4.00	70.0	2.80
	ACCOUNT #: 02400113961	BUY 0 Units @0.0000						
/ /	***-**-17IO - DEBONIS, BRENDA	MAINSTAY EPOCH GLOBAL EQUITY YLD A	0.00	5.63	0.00	5.63	70.0	3.94
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-17IO - DEBONIS, BRENDA	MAINSTAY HIGH-YIELD CORPORATE BOND	0.00	13.20	0.00	13.20	70.0	9.24
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
/ /	***-**-17IO - DEBONIS, BRENDA	MAINSTAY SHORT DURATION HIGH YLD A	0.00	6.42	0.00	6.42	70.0	4.49
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
/ /	***-**-17IO - DEBONIS, BRENDA	MAINSTAY TOTAL RETURN FUND A	0.00	5.85	0.00	5.85	70.0	4.10
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
/ /	***-**-548I - MOLONEY, JAMES	MAINSTAY UNCONSTRND BOND OPPORTUNIT	0.00	7.35	0.00	7.35	70.0	5.15
	ACCOUNT #: LSR011545	BUY 1 Units @0.0000						
/ /	***-**-548I - MOLONEY, JAMES	MAINSTAY US EQUITY OPPORTUNITIES CL	0.00	3.36	0.00	3.36	70.0	2.35
	ACCOUNT #: LSR011545	BUY 1 Units @0.0000						
/ /	***-**-548J - MOLONEY, JAMES	MAINSTAY US EQUITY OPPORTUNITIES CL	0.00	1.09	0.00	1.09	70.0	0.76
	ACCOUNT #: LSR011813	BUY 1 Units @0.0000						
/ /	***-**-53IO - GIL, OMER	NEW PERSPECTIVE FUND-A	0.00	0.82	0.00	0.82	70.0	0.57
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
/ /	***-**-42IR - COOKE, ROBERT	PRESERVATION PORTFOLIO-A	0.00	0.08	0.00	0.08	70.0	0.06
	ACCOUNT #: LSR011395	BUY 1 Units @0.0000						
/ /	***-**-09IO - FRANCIS, TERESA	PRESERVATION PORTFOLIO-A	0.00	0.18	0.00	0.18	70.0	0.13
	ACCOUNT #: LSR012472	BUY 1 Units @0.0000						
/ /	***-**-53IO - GIL, OMER	SMALLCAP WORLD FUND-A	0.00	0.51	0.00	0.51	70.0	0.36
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
/ /	***-**-57IR - GERTY, AUDRA	SMALLCAP WORLD FUND-A	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR012440	BUY 1 Units @0.0000						
/ /	***-**-439I - DAILY, RONI	SMALLCAP WORLD FUND-A	0.00	3.59	0.00	3.59	70.0	2.51
	ACCOUNT #: LSR012499	BUY 1 Units @0.0000						
/ /	***-**-2447 - FISCHER, MAX	TAX-EXEMPT BOND FUND OF AMERICA	0.00	10.36	0.00	10.36	70.0	7.25
	ACCOUNT #: LSR012557	BUY 1 Units @0.0000						
/ /	***-**-50IN - MOHR, HERBERT	TAX-EXEMPT BOND FUND OF AMERICA	0.00	20.63	0.00	20.63	70.0	14.44
	ACCOUNT #: LSR012756	BUY 1 Units @0.0000						
/ /	***-**-55IO - KOMAR, EDWARD	THE BOND FUND OF AMERICA-A	0.00	19.66	0.00	19.66	70.0	13.76
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
/ /	***-**-47FB - TORRES, SHARI	THE BOND FUND OF AMERICA-A	0.00	9.34	0.00	9.34	70.0	6.54
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-53IO - MOSHER, JEANINE	THE BOND FUND OF AMERICA-A	0.00	4.15	0.00	4.15	70.0	2.91
	ACCOUNT #: LSR011815	BUY 1 Units @0.0000						
/ /	***-**-82IO - AGUIAR, DANA	THE BOND FUND OF AMERICA-A	0.00	1.11	0.00	1.11	70.0	0.78
	ACCOUNT #: LSR011880	BUY 1 Units @0.0000						
/ /	***-**-91IR - GLASSFORD,	THE BOND FUND OF AMERICA-A	0.00	2.97	0.00	2.97	70.0	2.08
	ACCOUNT #: LSR012316	BUY 1 Units @0.0000						
/ /	***-**-439I - DAILY, RONI	THE BOND FUND OF AMERICA-A	0.00	10.45	0.00	10.45	70.0	7.32
	ACCOUNT #: LSR012499	BUY 1 Units @0.0000						
/ /	***-**-60IN - TALBOT, JENNIFER	THE GROWTH FUND OF AMERICA-A	0.00	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: LSR011386	BUY 1 Units @0.0000						
/ /	***-**-47FB - TORRES, SHARI	THE GROWTH FUND OF AMERICA-A	0.00	9.06	0.00	9.06	70.0	6.34
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
/ /	***-**-439I - DAILY, RONI	THE GROWTH FUND OF AMERICA-A	0.00	7.26	0.00	7.26	70.0	5.08
	ACCOUNT #: LSR012499	BUY 1 Units @0.0000						
/ /	***-**-247I - COLUCCI, ATHENA	THE GROWTH FUND OF AMERICA-A	0.00	0.36	0.00	0.36	70.0	0.25
	ACCOUNT #: LSR012592	BUY 1 Units @0.0000						
/ /	***-**-00IO - DEWEY, JAN	THE GROWTH FUND OF AMERICA-A	0.00	33.25	0.00	33.25	70.0	23.28
	ACCOUNT #: LSR012701	BUY 1 Units @0.0000						
/ /	***-**-032I - JOHNSON, DARLENE	THE INCOME FUND OF AMERICA-A	0.00	2.81	0.00	2.81	70.0	1.97
	ACCOUNT #: LSR011358	BUY 1 Units @0.0000						
/ /	***-**-53IO - GIL, OMER	THE INCOME FUND OF AMERICA-A	0.00	0.48	0.00	0.48	70.0	0.34
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
/ /	***-**-55IO - KOMAR, EDWARD	THE INCOME FUND OF AMERICA-A	0.00	27.75	0.00	27.75	70.0	19.43
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
/ /	***-**-91IR - GLASSFORD,	THE INCOME FUND OF AMERICA-A	0.00	1.52	0.00	1.52	70.0	1.06
	ACCOUNT #: LSR012316	BUY 1 Units @0.0000						
/ /	***-**-461I - FISCHER,	THE INCOME FUND OF AMERICA-A	0.00	0.57	0.00	0.57	70.0	0.40
	ACCOUNT #: LSR012487	BUY 1 Units @0.0000						
/ /	***-**-247I - COLUCCI, ATHENA	THE INCOME FUND OF AMERICA-A	0.00	0.20	0.00	0.20	70.0	0.14
	ACCOUNT #: LSR012592	BUY 1 Units @0.0000						
/ /	***-**-00IO - DEWEY, JAN	THE INCOME FUND OF AMERICA-A	0.00	23.67	0.00	23.67	70.0	16.57
	ACCOUNT #: LSR012701	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-50IN - MOHR, HERBERT	THE INCOME FUND OF AMERICA-A	0.00	10.67	0.00	10.67	70.0	7.47
	ACCOUNT #: LSR012756	BUY 1 Units @0.0000						
/ /	***-**-091I - GLASSFORD,	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.80	0.00	2.80	70.0	1.96
	ACCOUNT #: LSR011526	BUY 1 Units @0.0000						
/ /	***-**-53IO - MOSHER, JEANINE	THE NEW ECONOMY FUND-A	0.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: LSR011815	BUY 1 Units @0.0000						
/ /	***-**-57IR - GERTY, AUDRA	THE NEW ECONOMY FUND-A	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR012440	BUY 1 Units @0.0000						
/ /	***-**-439I - DAILY, RONI	THE NEW ECONOMY FUND-A	0.00	3.52	0.00	3.52	70.0	2.46
	ACCOUNT #: LSR012499	BUY 1 Units @0.0000						
/ /	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	12.38	0.00	12.38	70.0	8.67
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
/ /	***-**-461I - FISCHER,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.92	0.00	0.92	70.0	0.64
	ACCOUNT #: LSR012487	BUY 1 Units @0.0000						
/ /	***-**-247I - COLUCCI, ATHENA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.41	0.00	0.41	70.0	0.29
	ACCOUNT #: LSR012592	BUY 1 Units @0.0000						
08/02/2018	***-**-7748 - MCCANNA, GLORIA	WORLD VALUES INTERNATIONAL EQUITY -	0.00	12.00	0.00	12.00	70.0	8.40
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$927.47	\$0.00	\$927.47		\$649.30
*** BROKER TRANSACTIONS TOTAL ***			\$3,980.76	\$1,014.59	\$0.00	\$1,014.59		\$710.29



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$710.29
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$710.29
						Year-to-date Earnings		\$41,507.32