



COMMISSION STATEMENT

March 31, 2017

KEITH LAYMAN
4321 Flagstaff

BROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/21/2017	FEDLER, CHARLES	DODGE & COX INCOME FUND	-2,500.00	0.00	0.00	0.00	90.0	0.00
03/21/2017	FEDLER, CHARLES	FIDELITY SELECT ENERGY PORTFOLIO	-5,000.00	0.00	0.00	0.00	90.0	0.00
03/21/2017	ORT, LEON	FIDELITY SELECT ENERGY PORTFOLIO	-8,000.00	0.00	0.00	0.00	90.0	0.00
03/21/2017	SIEBER, AARON	FIDELITY SELECT GOLD PORT	10,000.00	0.00	0.00	0.00	90.0	0.00
03/21/2017	GRIME, MARK	FIDELITY SELECT GOLD PORT	4,000.00	0.00	0.00	0.00	90.0	0.00
03/21/2017	FEDLER, CHARLES	FIDELITY SELECT GOLD PORT	10,000.00	0.00	0.00	0.00	90.0	0.00
03/21/2017	KLOC, CLIFFORD	FIDELITY SELECT GOLD PORT	10,000.00	0.00	0.00	0.00	90.0	0.00
03/21/2017	ORT, LEON	FIDELITY SELECT GOLD PORT	8,000.00	0.00	0.00	0.00	90.0	0.00
03/21/2017	VIELKIND, LAWRENCE	FIDELITY SELECT GOLD PORT	15,000.00	0.00	0.00	0.00	90.0	0.00
03/21/2017	BERNDT, TODD	FIDELITY SELECT GOLD PORT	10,000.00	0.00	0.00	0.00	90.0	0.00
03/21/2017	KAUFFMAN, JOHN	FIDELITY SELECT GOLD PORT	5,000.00	0.00	0.00	0.00	90.0	0.00
03/21/2017	HOUSER, DANIEL	FIDELITY SELECT GOLD PORT	5,000.00	0.00	0.00	0.00	90.0	0.00
03/21/2017	FEDLER, CHARLES	T ROWE PRICE GLOBAL MULTI-SECTOR BO	-2,500.00	0.00	0.00	0.00	90.0	0.00
03/21/2017	KLOC, CLIFFORD	T ROWE PRICE GLOBAL MULTI-SECTOR BO	-5,000.00	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$54,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
03/21/2017	GRIME, LAURA	AT&T INC COM	4,006.06	0.00	0.00	0.00	90.0	0.00
03/21/2017	HOUSER, DANIEL	BP PLC SPONS ADR	3,954.62	0.00	0.00	0.00	90.0	0.00
03/21/2017	GRIME, LAURA	COLUMBIA ETF TR CORE BD ETF	-2,047.82	0.00	0.00	0.00	90.0	0.00
03/21/2017	SIEBER, AARON	DISNEY WALT CO DISNEY COM	13,562.40	0.00	0.00	0.00	90.0	0.00
03/21/2017	ORT, LEON	DISNEY WALT CO DISNEY COM	5,078.25	0.00	0.00	0.00	90.0	0.00
03/21/2017	HOUSER, DANIEL	DISNEY WALT CO DISNEY COM	5,078.64	0.00	0.00	0.00	90.0	0.00
03/21/2017	SIEBER, AARON	EXXON MOBIL CORP COM	-16,343.07	0.00	0.00	0.00	90.0	0.00
03/21/2017	SIEBER, AARON	IRON MTN INC NEW COM	4,920.93	0.00	0.00	0.00	90.0	0.00
03/21/2017	HOUSER, DANIEL	IRON MTN INC NEW COM	4,023.05	0.00	0.00	0.00	90.0	0.00
03/21/2017	SIEBER, AARON	LINCOLN NATL CORP IND	-13,477.00	0.00	0.00	0.00	90.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
03/21/2017	HOUSER, DANIEL	MATTEL INC COM	4,956.22	0.00	0.00	0.00	90.0	0.00
03/21/2017	GRIME, MARK	POWERSHARES DWA CONSUMER STAPLES MO	-2,946.51	0.00	0.00	0.00	90.0	0.00
03/21/2017	VIELKIND, LAWRENCE	POWERSHARES DWA CONSUMER STAPLES MO	-4,995.15	0.00	0.00	0.00	90.0	0.00
03/21/2017	GRIME, MARK	POWERSHARES EXCHANGE-TRADED FD TR D	-1,993.86	0.00	0.00	0.00	90.0	0.00
03/21/2017	VIELKIND, LAWRENCE	POWERSHARES EXCHANGE-TRADED FD TR D	-19,624.86	0.00	0.00	0.00	90.0	0.00
03/21/2017	SIEBER, AARON	SOUTHERN CO COM	10,077.60	0.00	0.00	0.00	90.0	0.00
03/21/2017	GRIME, MARK	SOUTHERN CO COM	5,035.80	0.00	0.00	0.00	90.0	0.00
03/21/2017	KLOC, CLIFFORD	SOUTHERN CO COM	10,105.60	0.00	0.00	0.00	90.0	0.00
03/21/2017	HOUSER, DANIEL	SOUTHERN CO COM	4,033.52	0.00	0.00	0.00	90.0	0.00
03/21/2017	ORT, LEON	SPDR SER TR BARCLAYS HIGH YIELD BD	-4,979.27	0.00	0.00	0.00	90.0	0.00
03/21/2017	HOUSER, DANIEL	SPDR SER TR BARCLAYS TIIPS ETF	3,968.44	0.00	0.00	0.00	90.0	0.00
03/21/2017	OAR, RITA	SPDR SER TR S&P BIOTECH ETF	-2,357.85	0.00	0.00	0.00	90.0	0.00
03/21/2017	KLOC, CLIFFORD	SPDR SER TR S&P BIOTECH ETF	-5,208.62	0.00	0.00	0.00	90.0	0.00
03/27/2017	TROUT, CHARLOTTE	SPDR SER TR S&P BIOTECH ETF	-1,247.58	0.00	0.00	0.00	90.0	0.00
03/21/2017	GRIME, MARK	SPDR SER TR S&P PHARMACEUTICALS ETF	-4,073.43	0.00	0.00	0.00	90.0	0.00
03/21/2017	OAR, RITA	SPDR SER TR S&P PHARMACEUTICALS ETF	-2,048.69	0.00	0.00	0.00	90.0	0.00
03/21/2017	KLOC, CLIFFORD	SPDR SER TR S&P PHARMACEUTICALS ETF	-5,018.72	0.00	0.00	0.00	90.0	0.00
03/27/2017	TROUT, CHARLOTTE	SPDR SER TR S&P PHARMACEUTICALS ETF	-923.58	0.00	0.00	0.00	90.0	0.00
03/21/2017	AMBRIOLE, BETH	VANGUARD SCOTTSDALE FDS VANGUARD SH	-8,348.55	0.00	0.00	0.00	90.0	0.00
03/21/2017	KLOC, CLIFFORD	VANGUARD SCOTTSDALE FDS VANGUARD SH	-4,771.08	0.00	0.00	0.00	90.0	0.00
03/21/2017	GRIME, LAURA	VANGUARD SCOTTSDALE FDS VANGUARD SH	-1,988.30	0.00	0.00	0.00	90.0	0.00
* STOCKS SUBTOTAL *			\$-23,592.81	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
03/16/2017	BUNN, LINDA	CLS INVESTMENTS	2,413.43	4.23	0.00	4.23	90.0	3.81
03/16/2017	BUNN, LINDA	CLS INVESTMENTS	550.00	0.47	0.00	0.47	90.0	0.42
03/16/2017	CONTI, JAMES	CLS INVESTMENTS	22,636.57	45.89	0.00	45.89	90.0	41.30
03/16/2017	CONTI, JAMES	CLS INVESTMENTS	7,713.01	15.22	0.00	15.22	90.0	13.70
03/16/2017	CONTI, JAMES	CLS INVESTMENTS	11,997.55	22.36	0.00	22.36	90.0	20.12
03/16/2017	CONTI, JAMES	CLS INVESTMENTS	13.85	0.02	0.00	0.02	90.0	0.02
03/16/2017	COSTANZA, JOSEPH	CLS INVESTMENTS	7,582.49	11.22	0.00	11.22	90.0	10.10
03/16/2017	COSTANZA, JOSEPH	CLS INVESTMENTS	462.89	0.66	0.00	0.66	90.0	0.59
03/16/2017	COSTANZA, JOSEPH	CLS INVESTMENTS	11,660.93	15.97	0.00	15.97	90.0	14.37
03/16/2017	COSTANZA, JOSEPH	CLS INVESTMENTS	60.53	0.05	0.00	0.05	90.0	0.05

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
03/16/2017	DAVID, SCOTT	CLS INVESTMENTS	19,038.57	31.04	0.00	31.04	90.0	27.94
03/16/2017	DAVID, SCOTT	CLS INVESTMENTS	24,500.00	46.49	0.00	46.49	90.0	41.84
03/16/2017	DAVID, SCOTT	CLS INVESTMENTS	5,602.45	8.23	0.00	8.23	90.0	7.41
03/16/2017	DAVID, SCOTT	CLS INVESTMENTS	249.32	0.33	0.00	0.33	90.0	0.30
03/16/2017	DAVID, SCOTT	CLS INVESTMENTS	19,815.66	32.31	0.00	32.31	90.0	29.08
03/16/2017	DAVID, SCOTT	CLS INVESTMENTS	25,499.99	48.39	0.00	48.39	90.0	43.55
03/16/2017	DAVID, SCOTT	CLS INVESTMENTS	5,602.45	8.23	0.00	8.23	90.0	7.41
03/16/2017	DAVID, SCOTT	CLS INVESTMENTS	154.85	0.21	0.00	0.21	90.0	0.19
03/16/2017	FORTE, ANDREW	CLS INVESTMENTS	475.00	0.65	0.00	0.65	90.0	0.59
03/16/2017	FORTE, ANDREW	CLS INVESTMENTS	50.00	0.05	0.00	0.05	90.0	0.05
03/16/2017	ISLEY, CURTIS	CLS INVESTMENTS	33,065.07	35.33	0.00	35.33	90.0	31.80
03/16/2017	LESHER, DAVID	CLS INVESTMENTS	48,748.83	59.59	0.00	59.59	90.0	53.63
03/16/2017	LESHER, DAVID	CLS INVESTMENTS	25,003.50	35.38	0.00	35.38	90.0	31.84
03/16/2017	LESHER, DAVID	CLS INVESTMENTS	76.12	0.06	0.00	0.06	90.0	0.05
03/16/2017	LESHER, DAVID	CLS INVESTMENTS	48,735.14	59.56	0.00	59.56	90.0	53.60
03/16/2017	LESHER, DAVID	CLS INVESTMENTS	24,996.49	35.38	0.00	35.38	90.0	31.84
03/16/2017	LESHER, DAVID	CLS INVESTMENTS	268.61	0.23	0.00	0.23	90.0	0.21
03/16/2017	LESHER, DAVID	CLS INVESTMENTS	7.21	0.01	0.00	0.01	90.0	0.01
03/16/2017	LESHER, LINDA	CLS INVESTMENTS	51,351.74	78.93	0.00	78.93	90.0	71.04
03/16/2017	LESHER, LINDA	CLS INVESTMENTS	24,997.22	44.48	0.00	44.48	90.0	40.03
03/16/2017	LESHER, LINDA	CLS INVESTMENTS	8,250.24	12.53	0.00	12.53	90.0	11.28
03/16/2017	LESHER, LINDA	CLS INVESTMENTS	462.62	0.62	0.00	0.62	90.0	0.56
03/16/2017	LESHER, LINDA	CLS INVESTMENTS	51,363.15	78.94	0.00	78.94	90.0	71.05
03/16/2017	LESHER, LINDA	CLS INVESTMENTS	25,002.77	44.50	0.00	44.50	90.0	40.05
03/16/2017	LESHER, LINDA	CLS INVESTMENTS	8,058.22	12.24	0.00	12.24	90.0	11.02
03/16/2017	LESHER, LINDA	CLS INVESTMENTS	87.77	0.12	0.00	0.12	90.0	0.11
03/16/2017	PARROT, CHARLES	CLS INVESTMENTS	18,562.81	34.58	0.00	34.58	90.0	31.12
03/16/2017	PARROT, CHARLES	CLS INVESTMENTS	4,890.87	8.85	0.00	8.85	90.0	7.97
03/16/2017	PARROT, CHARLES	CLS INVESTMENTS	9,865.67	17.57	0.00	17.57	90.0	15.81
03/16/2017	PARROT, CHARLES	CLS INVESTMENTS	2,989.80	4.75	0.00	4.75	90.0	4.28
03/16/2017	PARROT, CHARLES	CLS INVESTMENTS	16.01	0.02	0.00	0.02	90.0	0.02
03/16/2017	PARROT, CHARLES	CLS INVESTMENTS	140.50	0.12	0.00	0.12	90.0	0.11
03/16/2017	PEPPLE, GLEN	CLS INVESTMENTS	52,593.93	89.48	0.00	89.48	90.0	80.53

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
03/16/2017	PEPPLE, GLEN	CLS INVESTMENTS	49,999.99	98.84	0.00	98.84	90.0	88.96
03/16/2017	PEPPLE, GLEN	CLS INVESTMENTS	10,690.14	17.79	0.00	17.79	90.0	16.01
03/16/2017	PEPPLE, GLEN	CLS INVESTMENTS	52,811.28	84.31	0.00	84.31	90.0	75.88
03/16/2017	PEPPLE, GLEN	CLS INVESTMENTS	21,200.87	29.57	0.00	29.57	90.0	26.61
03/16/2017	PEPPLE, GLEN	CLS INVESTMENTS	572.59	0.79	0.00	0.79	90.0	0.71
03/16/2017	REESE, BARRY	CLS INVESTMENTS	17,138.16	30.52	0.00	30.52	90.0	27.47
03/16/2017	REESE, BARRY	CLS INVESTMENTS	4,483.78	7.49	0.00	7.49	90.0	6.74
03/16/2017	REESE, BARRY	CLS INVESTMENTS	11,608.86	19.09	0.00	19.09	90.0	17.18
03/16/2017	REESE, BARRY	CLS INVESTMENTS	16.92	0.02	0.00	0.02	90.0	0.02
03/16/2017	RUSK, RANDALL	CLS INVESTMENTS	25,112.14	44.72	0.00	44.72	90.0	40.25
02/28/2017	GROTE, DONALD	JEFFERSON NATIONAL	138,993.02	347.48	0.00	347.48	90.0	312.73
* RIA SUBTOTAL *			\$938,241.58	\$1,625.91	\$0.00	\$1,625.91		\$1,463.36
*** BROKER TRANSACTIONS TOTAL ***			\$968,648.77	\$1,625.91	\$0.00	\$1,625.91		\$1,463.36

ADJUSTMENTS

03/31/2017	*PERSHING: ETF PROSPECTUS	0.00						-12.65
03/31/2017	*PERSHING: MF PROSPECTUS	0.00						-27.58
03/31/2017	*PERSHING: MF PROSPECTUS	0.00						-3.77
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-44.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$1,463.36
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-44.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,419.36
Year-to-date Gross	\$82,447.16
Year-to-date Earnings	\$74,060.81