

## COMMISSION STATEMENT

August 31, 2017

JOHN BOYCE  
15408 DUNCAN HILL ROADBROKER #: 41  
BRANCH: HOME OFFICE

BULTER, MD 21023

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/31/2017	75500360	BOYCE, ANN HAGERTY	ULTA SALON COSMETICS & FRAGRANCE IN S	12,269.70	30.00	0.00	30.00	70.0	21.00
08/08/2017	75500360	BOYCE, ANN HAGERTY	INTEL CORP B	10,945.98	40.00	0.00	40.00	70.0	28.00
08/09/2017	75500360	BOYCE, ANN HAGERTY	FERRELLGAS PARTNERS LP UNITS LTD PA S	9,520.00	40.00	0.00	40.00	70.0	28.00
08/22/2017	75500360	BOYCE, ANN HAGERTY	PIONEER NATURAL RESOURCES COMPANY B	92,500.00	30.00	0.00	30.00	70.0	21.00
08/25/2017	75500360	BOYCE, ANN HAGERTY	COTY INC COM CL A S	4,875.00	40.00	0.00	40.00	70.0	28.00
08/25/2017	75500360	BOYCE, ANN HAGERTY	ENBRIDGE ENERGY PARTNERS L P S	17,005.46	100.00	0.00	100.00	70.0	70.00
08/25/2017	75500360	BOYCE, ANN HAGERTY	ENBRIDGE ENERGY PARTNERS L P S	17,008.10	74.99	0.00	74.99	70.0	52.49
08/25/2017	75500360	BOYCE, ANN HAGERTY	MARTIN MIDSTREAM PARTNERS L P S	11,424.80	75.00	0.00	75.00	70.0	52.50
08/25/2017	75500360	BOYCE, ANN HAGERTY	PIONEER NATURAL RESOURCES COMPANY S	64,500.00	50.00	0.00	50.00	70.0	35.00
08/28/2017	75500360	BOYCE, ANN HAGERTY	ANDEAVOR COM S	13,113.95	50.00	0.00	50.00	70.0	35.00
07/28/2017	75500361	BOYCE, JOHN C	TENCENT HOLDINGS LIMITED UNSPONSORE S	11,814.00	244.32	0.00	244.32	70.0	171.02
08/02/2017	75500361	BOYCE, JOHN C	APPLIED OPTOELECTRONICS INC COM S	14,099.13	20.00	0.00	20.00	70.0	14.00
08/04/2017	75500361	BOYCE, JOHN C	APPLIED OPTOELECTRONICS INC COM S	7,281.08	30.00	0.00	30.00	70.0	21.00
08/09/2017	75500361	BOYCE, JOHN C	FERRELLGAS PARTNERS LP UNITS LTD PA S	9,520.00	40.00	0.00	40.00	70.0	28.00
08/15/2017	75500361	BOYCE, JOHN C	INTELLICHECK MOBILISA INC COM NEW B	2,550.00	15.00	0.00	15.00	70.0	10.50
08/15/2017	75500361	BOYCE, JOHN C	INTELLICHECK MOBILISA INC COM NEW B	5,200.00	30.00	0.00	30.00	70.0	21.00
08/18/2017	75500361	BOYCE, JOHN C	STRYKER CORP S	14,470.48	40.00	0.00	40.00	70.0	28.00
08/22/2017	75500361	BOYCE, JOHN C	ALIBABA GROUP HOLDING LTD SPONSORED B	8,583.38	30.00	0.00	30.00	70.0	21.00
08/24/2017	75500361	BOYCE, JOHN C	STRYKER CORP S	13,833.44	30.00	0.00	30.00	70.0	21.00
08/18/2017	75500365	BOYCE, ANN HAGERTY	SEABRIDGE GOLD INC S	5,000.00	50.00	0.00	50.00	70.0	35.00
08/18/2017	75500365	BOYCE, ANN HAGERTY	SEABRIDGE GOLD INC B	-5,000.00	-50.00	0.00	-50.00	70.0	-35.00 C
08/18/2017	75500365	BOYCE, ANN HAGERTY	SEABRIDGE GOLD INC S	5,000.00	30.00	0.00	30.00	70.0	21.00
08/21/2017	75500365	BOYCE, ANN HAGERTY	BAOZUN INC AMERICAN DEPOSITARY SHAR B	4,900.39	30.00	0.00	30.00	70.0	21.00
07/28/2017	75500366	BOYCE, JOHN C	TENCENT HOLDINGS LIMITED UNSPONSORE B	11,814.00	244.32	0.00	244.32	70.0	171.02
08/08/2017	75500366	BOYCE, JOHN C	APPLIED OPTOELECTRONICS INC COM B	4,328.25	20.00	0.00	20.00	70.0	14.00
08/15/2017	75500366	BOYCE, JOHN C	BAOZUN INC AMERICAN DEPOSITARY SHAR B	1,432.35	5.00	0.00	5.00	70.0	3.50
08/22/2017	75500366	BOYCE, JOHN C	BAOZUN INC AMERICAN DEPOSITARY SHAR B	1,610.94	15.00	0.00	15.00	70.0	10.50
08/02/2017	75500368	PETR, THOMAS	ADOBE SYSTEMS INC S	290,160.20	140.00	0.00	140.00	70.0	98.00
08/02/2017	75500368	PETR, THOMAS	APPLIED OPTOELECTRONICS INC COM S	937,839.00	500.00	0.00	500.00	70.0	350.00
08/02/2017	75500368	PETR, THOMAS	BAOZUN INC AMERICAN DEPOSITARY SHAR S	607,478.89	1,000.00	0.00	1,000.00	70.0	700.00
08/02/2017	75500368	PETR, THOMAS	BROADCOM LIMITED SHS S	505,684.00	140.00	0.00	140.00	70.0	98.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/07/2017	75500368	PETR, THOMAS		APPLIED OPTOELECTRONICS INC COM	B	212,870.00	210.00	0.00	210.00 70.0 147.00
08/07/2017	75500368	PETR, THOMAS		BAOZUN INC AMERICAN DEPOSITARY SHAR	B	168,073.50	350.00	0.00	350.00 70.0 245.00
08/11/2017	75500368	PETR, THOMAS		NVIDIA CORP	B	464,849.70	210.00	0.00	210.00 70.0 147.00
08/14/2017	75500368	PETR, THOMAS		APPLIED OPTOELECTRONICS INC COM	B	273,738.00	200.00	0.00	200.00 70.0 140.00
08/22/2017	75500368	PETR, THOMAS		BAOZUN INC AMERICAN DEPOSITARY SHAR	S	131,254.00	250.00	0.00	250.00 70.0 175.00
08/23/2017	75500368	PETR, THOMAS		ALIBABA GROUP HOLDING LTD SPONSORED	B	521,722.00	210.00	0.00	210.00 70.0 147.00
08/23/2017	75500368	PETR, THOMAS		BAIDU INC SPONSORED ADR REPSTG ORD	B	674,249.70	210.00	0.00	210.00 70.0 147.00
08/23/2017	75500368	PETR, THOMAS		BAOZUN INC AMERICAN DEPOSITARY SHAR	B	140,671.00	250.00	0.00	250.00 70.0 175.00
08/25/2017	75500368	PETR, THOMAS		INPHI CORP COM	B	190,862.00	300.00	0.00	300.00 70.0 210.00
08/25/2017	75500368	PETR, THOMAS		INTERNATIONAL BUSINESS MACHINES COR	B	288,035.30	100.00	0.00	100.00 70.0 70.00
08/25/2017	75500370	HUBARD, MARGARET		ENBRIDGE ENERGY PARTNERS L P	S	44,243.04	175.00	0.00	175.00 70.0 122.50
08/25/2017	75500370	HUBARD, MARGARET		MARTIN MIDSTREAM PARTNERS L P	S	52,839.70	175.00	0.00	175.00 70.0 122.50
08/28/2017	75500378	CLOUGH, CHARLES EVANS		ANDEAVOR COM	S	2,653.56	20.00	0.00	20.00 70.0 14.00
08/28/2017	75500385	HUBARD, JOHN		ANDEAVOR COM	S	5,899.81	50.00	0.00	50.00 70.0 35.00
08/02/2017	75500391	NIEDRINGHAUS, WALLACE		FREEMPORT MCMORAN INC	S	14,320.00	70.00	0.00	70.00 70.0 49.00
08/28/2017	75500409	SANCHEZ, SADIE		ANDEAVOR COM	S	196.98	0.00	0.00	0.00 70.0 0.00
08/28/2017	75500419	SANCHEZ, DEBRA		ANDEAVOR COM	S	3,445.05	40.00	0.00	40.00 70.0 28.00
08/10/2017	75500424	JOHN B HUBARD TTEE		ENBRIDGE ENERGY PARTNERS L P	S	29,302.16	125.00	0.00	125.00 70.0 87.50
08/28/2017	75500424	JOHN B HUBARD TTEE		ANDEAVOR COM	S	39,320.44	100.00	0.00	100.00 70.0 70.00
08/16/2017	75500443	GOOD, TIMOTHY		PIONEER NATURAL RESOURCES COMPANY	B	19,000.00	105.00	0.00	105.00 70.0 73.50
08/25/2017	75500443	GOOD, TIMOTHY		PIONEER NATURAL RESOURCES COMPANY	B	76,000.00	420.00	0.00	420.00 70.0 294.00
08/25/2017	75500443	GOOD, TIMOTHY		PIONEER NATURAL RESOURCES COMPANY	S	-76,000.00	-420.00	0.00	-420.00 70.0 -294.00 C
08/25/2017	75500443	GOOD, TIMOTHY		PIONEER NATURAL RESOURCES COMPANY	B	76,000.00	50.00	0.00	50.00 70.0 35.00
08/28/2017	75500444	GOOD, TIMOTHY		ANDEAVOR COM	S	11,903.38	40.00	0.00	40.00 70.0 28.00
07/28/2017	75502118	BURGHART, ROBIN		BLACKSTONE GROUP L P (THE) COMMON	U B	2,851.74	15.00	0.00	15.00 70.0 10.50
08/18/2017	75502122	BURGHART, ROBIN		BLACKSTONE GROUP L P (THE) COMMON	U B	31,329.70	150.00	0.00	150.00 70.0 105.00
07/28/2017	75502125	BURGHART, ROBIN		BLACKSTONE GROUP L P (THE) COMMON	U B	12,133.91	70.00	0.00	70.00 70.0 49.00
07/27/2017	QGV002942	ASSOCIATES, F/A/O		NATUS MEDICAL INC DEL	B	978,753.12	1,091.60	0.00	1,091.60 70.0 764.12
07/31/2017	QGV002942	ASSOCIATES, F/A/O		FOX FACTORY HOLDING CORP COM	S	-131,298.59	136.80	0.00	136.80 70.0 95.76
07/31/2017	QGV002942	ASSOCIATES, F/A/O		SCRIPPS NETWORKS INTERACTIVE INC CL	S	-142,647.01	65.20	0.00	65.20 70.0 45.64
08/01/2017	QGV002942	ASSOCIATES, F/A/O		EASTGROUP PPTYS INC COM	S	-170,191.13	78.00	0.00	78.00 70.0 54.60
08/02/2017	QGV002942	ASSOCIATES, F/A/O		BROADRIDGE FINANCIAL SOLUTIONS INC	S	-47,684.70	25.20	0.00	25.20 70.0 17.64
08/02/2017	QGV002942	ASSOCIATES, F/A/O		EATON CORPORATION PLC	S	-45,700.20	24.80	0.00	24.80 70.0 17.36
08/02/2017	QGV002942	ASSOCIATES, F/A/O		HUNTINGTON BANCSHARES INC	B	36,464.73	55.00	0.00	55.00 70.0 38.50
08/02/2017	QGV002942	ASSOCIATES, F/A/O		INTEL CORP	B	39,173.00	43.00	0.00	43.00 70.0 30.10
08/02/2017	QGV002942	ASSOCIATES, F/A/O		NATIONAL INSTRUMENTS CORP	S	-78,766.97	77.20	0.00	77.20 70.0 54.04
08/02/2017	QGV002942	ASSOCIATES, F/A/O		PFIZER INCORPORATED	B	51,117.46	62.40	0.00	62.40 70.0 43.68
08/02/2017	QGV002942	ASSOCIATES, F/A/O		VERIZON COMMUNICATIONS	B	38,606.48	32.00	0.00	32.00 70.0 22.40
08/03/2017	QGV002942	ASSOCIATES, F/A/O		BROADRIDGE FINANCIAL SOLUTIONS INC	S	-4,918.95	2.60	0.00	2.60 70.0 1.82
08/03/2017	QGV002942	ASSOCIATES, F/A/O		EATON CORPORATION PLC	S	-5,869.26	3.20	0.00	3.20 70.0 2.24

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/03/2017	QGV002942	ASSOCIATES, F/A/O							
		HUNTINGTON BANCSHARES INC	B	4,217.57	6.40	0.00	6.40	70.0	4.48
08/03/2017	QGV002942	ASSOCIATES, F/A/O							
		INTEL CORP	B	5,262.28	5.80	0.00	5.80	70.0	4.06
08/03/2017	QGV002942	ASSOCIATES, F/A/O							
		NATIONAL INSTRUMENTS CORP	S	-8,559.73	8.40	0.00	8.40	70.0	5.88
08/03/2017	QGV002942	ASSOCIATES, F/A/O							
		PFIZER INCORPORATED	B	6,534.08	7.80	0.00	7.80	70.0	5.46
08/03/2017	QGV002942	ASSOCIATES, F/A/O							
		VERIZON COMMUNICATIONS	B	4,604.27	3.80	0.00	3.80	70.0	2.66
08/07/2017	QGV002942	ASSOCIATES, F/A/O							
		INC RESEARCH HOLDINGS INC CLASS A C S		-274,960.89	197.20	0.00	197.20	70.0	138.04
08/08/2017	QGV002942	ASSOCIATES, F/A/O							
		BORG WARNER AUTOMOTIVE INC	B	46,401.04	39.20	0.00	39.20	70.0	27.44
08/09/2017	QGV002942	ASSOCIATES, F/A/O							
		ENTEGRIS INC	S	-143,864.20	235.20	0.00	235.20	70.0	164.64
08/10/2017	QGV002942	ASSOCIATES, F/A/O							
		BROADRIDGE FINANCIAL SOLUTIONS INC	S	-108,360.00	60.00	0.00	60.00	70.0	42.00
08/14/2017	QGV002942	ASSOCIATES, F/A/O							
		CITIGROUP INC COM	B	72,326.52	42.52	0.00	42.52	70.0	29.76
08/14/2017	QGV002942	ASSOCIATES, F/A/O							
		MC DONALDS CORP	S	-81,528.10	20.80	0.00	20.80	70.0	14.56
08/14/2017	QGV002942	ASSOCIATES, F/A/O							
		MC DONALDS CORP	S	-8,770.72	2.24	0.00	2.24	70.0	1.57
08/14/2017	QGV002942	ASSOCIATES, F/A/O							
		STRYKER CORP	S	-71,414.56	19.60	0.00	19.60	70.0	13.72
08/15/2017	QGV002942	ASSOCIATES, F/A/O							
		CITIGROUP INC COM	B	295,689.64	173.20	0.00	173.20	70.0	121.24
08/15/2017	QGV002942	ASSOCIATES, F/A/O							
		FIDELITY NATIONAL FINANCIAL INC	S	-109,485.22	92.40	0.00	92.40	70.0	64.68
08/16/2017	QGV002942	ASSOCIATES, F/A/O							
		ADVANCED DISP SVCS INC DEL COM	B	95,810.22	165.20	0.00	165.20	70.0	115.64
08/16/2017	QGV002942	ASSOCIATES, F/A/O							
		FOX FACTORY HOLDING CORP COM	S	-169,462.52	184.80	0.00	184.80	70.0	129.36
08/17/2017	QGV002942	ASSOCIATES, F/A/O							
		CITIGROUP INC COM	B	34,475.59	20.60	0.00	20.60	70.0	14.42
08/17/2017	QGV002942	ASSOCIATES, F/A/O							
		FIDELITY NATIONAL FINANCIAL INC	S	-13,116.51	11.00	0.00	11.00	70.0	7.70
08/17/2017	QGV002942	ASSOCIATES, F/A/O							
		GENERAL ELECTRIC CO	B	39,296.94	62.80	0.00	62.80	70.0	43.96
08/17/2017	QGV002942	ASSOCIATES, F/A/O							
		STRYKER CORP	S	-7,299.53	2.00	0.00	2.00	70.0	1.40
08/18/2017	QGV002942	ASSOCIATES, F/A/O							
		AMN HEALTHCARE SVCS INC	B	112,848.95	116.80	0.00	116.80	70.0	81.76
08/23/2017	QGV002942	ASSOCIATES, F/A/O							
		ANALOGIC CORP NEW COM PAR 0.05	S	-358,876.21	206.00	0.00	206.00	70.0	144.20
08/23/2017	QGV002942	ASSOCIATES, F/A/O							
		BRUNSWICK CORP	B	81,170.57	62.80	0.00	62.80	70.0	43.96
08/23/2017	QGV002942	ASSOCIATES, F/A/O							
		CHEVRON CORPORATION	B	76,856.47	28.80	0.00	28.80	70.0	20.16
08/23/2017	QGV002942	ASSOCIATES, F/A/O							
		CINEMARK HLDGS INC	B	110,131.23	132.00	0.00	132.00	70.0	92.40
08/23/2017	QGV002942	ASSOCIATES, F/A/O							
		CISCO SYS INC	B	72,828.85	94.00	0.00	94.00	70.0	65.80
08/23/2017	QGV002942	ASSOCIATES, F/A/O							
		LITTELFUSE INC	S	-325,680.00	73.60	0.00	73.60	70.0	51.52
08/23/2017	QGV002942	ASSOCIATES, F/A/O							
		MACOM TECHNOLOGY SOLUTIONS HLDGS IN B		91,627.45	91.00	0.00	91.00	70.0	63.70
08/23/2017	QGV002942	ASSOCIATES, F/A/O							
		MSA SAFETY INC COM	S	-185,295.95	106.00	0.00	106.00	70.0	74.20
08/23/2017	QGV002942	ASSOCIATES, F/A/O							
		NATIONAL INSTRUMENTS CORP	S	-187,909.94	189.00	0.00	189.00	70.0	132.30
08/23/2017	QGV002942	ASSOCIATES, F/A/O							
		OMNICOM GROUP INC	S	-516,877.50	281.60	0.00	281.60	70.0	197.12
08/23/2017	QGV002942	ASSOCIATES, F/A/O							
		ROGERS CORP	B	445,337.54	154.80	0.00	154.80	70.0	108.36
08/23/2017	QGV002942	ASSOCIATES, F/A/O							
		ROYAL DUTCH SHELL PLC SPONSORED ADR B		71,688.63	52.00	0.00	52.00	70.0	36.40
08/23/2017	QGV002942	ASSOCIATES, F/A/O							
		SEALED AIR CORP NEW	B	101,904.98	90.00	0.00	90.00	70.0	63.00
08/23/2017	QGV002942	ASSOCIATES, F/A/O							
		STRYKER CORP	S	-376,459.38	108.40	0.00	108.40	70.0	75.88
08/23/2017	QGV002942	ASSOCIATES, F/A/O							
		STRYKER CORP	S	-95,150.81	27.48	0.00	27.48	70.0	19.24
08/28/2017	QGV002942	ASSOCIATES, F/A/O							
		BMC STK HLDGS INC COM	B	95,738.62	196.40	0.00	196.40	70.0	137.48
07/27/2017	QGV002959	PERSHING LLC							
		NATUS MEDICAL INC DEL	B	1,063,394.29	1,186.00	0.00	1,186.00	70.0	830.20
07/31/2017	QGV002959	PERSHING LLC							
		FOX FACTORY HOLDING CORP COM	S	-138,592.95	144.40	0.00	144.40	70.0	101.08

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/01/2017	QGV002959	PERSHING LLC		EASTGROUP PPTYS INC COM	S	-535,011.08	245.20	0.00	245.20 70.0 171.64
08/02/2017	QGV002959	PERSHING LLC		BROADRIDGE FINANCIAL SOLUTIONS INC	S	-15,138.00	8.00	0.00	8.00 70.0 5.60
08/02/2017	QGV002959	PERSHING LLC		EATON CORPORATION PLC	S	-16,953.30	9.20	0.00	9.20 70.0 6.44
08/02/2017	QGV002959	PERSHING LLC		HUNTINGTON BANCSHARES INC	B	14,055.49	21.20	0.00	21.20 70.0 14.84
08/02/2017	QGV002959	PERSHING LLC		INTEL CORP	B	16,762.40	18.40	0.00	18.40 70.0 12.88
08/02/2017	QGV002959	PERSHING LLC		NATIONAL INSTRUMENTS CORP	S	-29,384.57	28.80	0.00	28.80 70.0 20.16
08/02/2017	QGV002959	PERSHING LLC		PFIZER INCORPORATED	B	19,660.56	24.00	0.00	24.00 70.0 16.80
08/02/2017	QGV002959	PERSHING LLC		VERIZON COMMUNICATIONS	B	14,960.01	12.40	0.00	12.40 70.0 8.68
08/03/2017	QGV002959	PERSHING LLC		BROADRIDGE FINANCIAL SOLUTIONS INC	S	-157,784.88	83.40	0.00	83.40 70.0 58.38
08/03/2017	QGV002959	PERSHING LLC		EATON CORPORATION PLC	S	-198,821.05	108.40	0.00	108.40 70.0 75.88
08/03/2017	QGV002959	PERSHING LLC		HUNTINGTON BANCSHARES INC	B	131,140.01	199.00	0.00	199.00 70.0 139.30
08/03/2017	QGV002959	PERSHING LLC		INTEL CORP	B	159,320.12	175.60	0.00	175.60 70.0 122.92
08/03/2017	QGV002959	PERSHING LLC		NATIONAL INSTRUMENTS CORP	S	-275,541.66	270.40	0.00	270.40 70.0 189.28
08/03/2017	QGV002959	PERSHING LLC		PFIZER INCORPORATED	B	185,969.96	222.00	0.00	222.00 70.0 155.40
08/03/2017	QGV002959	PERSHING LLC		VERIZON COMMUNICATIONS	B	141,520.72	116.80	0.00	116.80 70.0 81.76
08/04/2017	QGV002959	PERSHING LLC		EATON CORPORATION PLC	S	-18,615.03	10.00	0.00	10.00 70.0 7.00
08/04/2017	QGV002959	PERSHING LLC		HUNTINGTON BANCSHARES INC	B	12,265.50	18.50	0.00	18.50 70.0 12.95
08/04/2017	QGV002959	PERSHING LLC		NATIONAL INSTRUMENTS CORP	S	-26,632.37	26.20	0.00	26.20 70.0 18.34
08/04/2017	QGV002959	PERSHING LLC		PFIZER INCORPORATED	B	17,149.77	20.40	0.00	20.40 70.0 14.28
08/07/2017	QGV002959	PERSHING LLC		INC RESEARCH HOLDINGS INC CLASS A C S	S	-290,577.33	208.40	0.00	208.40 70.0 145.88
08/09/2017	QGV002959	PERSHING LLC		ENTEGRIS INC	S	-151,693.54	248.00	0.00	248.00 70.0 173.60
08/10/2017	QGV002959	PERSHING LLC		BABCOCK & WILCOX ENTERPRISES INC	S	-651.20	3.20	0.00	3.20 70.0 2.24
08/14/2017	QGV002959	PERSHING LLC		MC DONALDS CORP	S	-301,023.64	76.88	0.00	76.88 70.0 53.82
08/14/2017	QGV002959	PERSHING LLC		MC DONALDS CORP	S	-29,789.11	7.60	0.00	7.60 70.0 5.32
08/14/2017	QGV002959	PERSHING LLC		STRYKER CORP	S	-26,233.92	7.20	0.00	7.20 70.0 5.04
08/15/2017	QGV002959	PERSHING LLC		FIDELITY NATIONAL FINANCIAL INC	S	-42,182.62	35.60	0.00	35.60 70.0 24.92
08/16/2017	QGV002959	PERSHING LLC		ADVANCED DISP SVCS INC DEL COM	B	105,553.63	182.00	0.00	182.00 70.0 127.40
08/16/2017	QGV002959	PERSHING LLC		FOX FACTORY HOLDING CORP COM	S	-178,999.38	195.20	0.00	195.20 70.0 136.64
08/17/2017	QGV002959	PERSHING LLC		CITIGROUP INC COM	B	1,045,313.38	624.60	0.00	624.60 70.0 437.22
08/17/2017	QGV002959	PERSHING LLC		FIDELITY NATIONAL FINANCIAL INC	S	-439,522.33	368.60	0.00	368.60 70.0 258.02
08/17/2017	QGV002959	PERSHING LLC		GENERAL ELECTRIC CO	B	17,020.33	27.20	0.00	27.20 70.0 19.04
08/17/2017	QGV002959	PERSHING LLC		STRYKER CORP	S	-264,972.76	72.60	0.00	72.60 70.0 50.82
08/18/2017	QGV002959	PERSHING LLC		AMN HEALTHCARE SVCS INC	B	127,534.77	132.00	0.00	132.00 70.0 92.40
08/23/2017	QGV002959	PERSHING LLC		ANALOGIC CORP NEW COM PAR 0.05	S	-96,861.73	55.60	0.00	55.60 70.0 38.92
08/23/2017	QGV002959	PERSHING LLC		BRUNSWICK CORP	B	22,748.44	17.60	0.00	17.60 70.0 12.32
08/23/2017	QGV002959	PERSHING LLC		CHEVRON CORPORATION	B	21,349.02	8.00	0.00	8.00 70.0 5.60
08/23/2017	QGV002959	PERSHING LLC		CINEMARK HLDGS INC	B	30,035.79	36.00	0.00	36.00 70.0 25.20
08/23/2017	QGV002959	PERSHING LLC		CISCO SYS INC	B	19,834.24	25.60	0.00	25.60 70.0 17.92
08/23/2017	QGV002959	PERSHING LLC		LITTELFUSE INC	S	-86,730.00	19.60	0.00	19.60 70.0 13.72
08/23/2017	QGV002959	PERSHING LLC		MACOM TECHNOLOGY SOLUTIONS HLDGS IN B		25,373.75	25.20	0.00	25.20 70.0 17.64

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/23/2017	QGV002959	PERSHING LLC		MSA SAFETY INC COM	S	-47,547.64	27.20	0.00	27.20 70.0 19.04
08/23/2017	QGV002959	PERSHING LLC		NATIONAL INSTRUMENTS CORP	S	-50,705.86	51.00	0.00	51.00 70.0 35.70
08/23/2017	QGV002959	PERSHING LLC		OMNICOM GROUP INC	S	-138,617.15	75.52	0.00	75.52 70.0 52.86
08/23/2017	QGV002959	PERSHING LLC		ROGERS CORP	B	119,677.27	41.60	0.00	41.60 70.0 29.12
08/23/2017	QGV002959	PERSHING LLC		ROYAL DUTCH SHELL PLC SPONSORED ADR	B	19,300.79	14.00	0.00	14.00 70.0 9.80
08/23/2017	QGV002959	PERSHING LLC		SEALED AIR CORP NEW	B	27,627.57	24.40	0.00	24.40 70.0 17.08
08/23/2017	QGV002959	PERSHING LLC		STRYKER CORP	S	-97,935.00	28.20	0.00	28.20 70.0 19.74
08/23/2017	QGV002959	PERSHING LLC		STRYKER CORP	S	-266,754.66	77.04	0.00	77.04 70.0 53.93
08/28/2017	QGV002959	PERSHING LLC		BMC STK HLDGS INC COM	B	104,318.05	214.00	0.00	214.00 70.0 149.80
07/27/2017	QGV006034	BANK OF AMERICA		NATUS MEDICAL INC DEL	B	83,565.22	93.20	0.00	93.20 70.0 65.24
07/31/2017	QGV006034	BANK OF AMERICA		FOX FACTORY HOLDING CORP COM	S	-7,678.28	8.00	0.00	8.00 70.0 5.60
08/02/2017	QGV006034	BANK OF AMERICA		BROADRIDGE FINANCIAL SOLUTIONS INC	S	-5,298.30	2.80	0.00	2.80 70.0 1.96
08/02/2017	QGV006034	BANK OF AMERICA		EATON CORPORATION PLC	S	-6,265.35	3.40	0.00	3.40 70.0 2.38
08/02/2017	QGV006034	BANK OF AMERICA		HUNTINGTON BANCSHARES INC	B	3,712.77	5.60	0.00	5.60 70.0 3.92
08/02/2017	QGV006034	BANK OF AMERICA		INTEL CORP	B	4,372.80	4.80	0.00	4.80 70.0 3.36
08/02/2017	QGV006034	BANK OF AMERICA		NATIONAL INSTRUMENTS CORP	S	-8,366.44	8.20	0.00	8.20 70.0 5.74
08/02/2017	QGV006034	BANK OF AMERICA		PFIZER INCORPORATED	B	4,915.14	6.00	0.00	6.00 70.0 4.20
08/02/2017	QGV006034	BANK OF AMERICA		VERIZON COMMUNICATIONS	B	4,150.20	3.44	0.00	3.44 70.0 2.41
08/07/2017	QGV006034	BANK OF AMERICA		INC RESEARCH HOLDINGS INC CLASS A C	S	-16,174.17	11.60	0.00	11.60 70.0 8.12
08/09/2017	QGV006034	BANK OF AMERICA		ENTEGRIS INC	S	-8,563.35	14.00	0.00	14.00 70.0 9.80
08/10/2017	QGV006034	BANK OF AMERICA		BABCOCK & WILCOX ENTERPRISES INC	S	-6,043.95	29.70	0.00	29.70 70.0 20.79
08/14/2017	QGV006034	BANK OF AMERICA		MC DONALDS CORP	S	-9,407.09	2.40	0.00	2.40 70.0 1.68
08/14/2017	QGV006034	BANK OF AMERICA		STRYKER CORP	S	-7,287.20	2.00	0.00	2.00 70.0 1.40
08/15/2017	QGV006034	BANK OF AMERICA		CITIGROUP INC COM	B	30,729.87	18.00	0.00	18.00 70.0 12.60
08/15/2017	QGV006034	BANK OF AMERICA		FIDELITY NATIONAL FINANCIAL INC	S	-11,375.09	9.60	0.00	9.60 70.0 6.72
08/16/2017	QGV006034	BANK OF AMERICA		ADVANCED DISP SVCS INC DEL COM	B	9,395.43	16.20	0.00	16.20 70.0 11.34
08/16/2017	QGV006034	BANK OF AMERICA		FOX FACTORY HOLDING CORP COM	S	-9,903.65	10.80	0.00	10.80 70.0 7.56
08/17/2017	QGV006034	BANK OF AMERICA		GENERAL ELECTRIC CO	B	2,753.29	4.40	0.00	4.40 70.0 3.08
08/18/2017	QGV006034	BANK OF AMERICA		AMN HEALTHCARE SVCS INC	B	5,990.27	6.20	0.00	6.20 70.0 4.34
08/18/2017	QGV006034	BANK OF AMERICA		CHEVRON CORPORATION	B	34,124.48	12.80	0.00	12.80 70.0 8.96
08/18/2017	QGV006034	BANK OF AMERICA		CITIGROUP INC COM	B	110,478.47	65.20	0.00	65.20 70.0 45.64
08/18/2017	QGV006034	BANK OF AMERICA		CITIGROUP INC COM	B	108,848.47	65.20	0.00	65.20 70.0 45.64
08/18/2017	QGV006034	BANK OF AMERICA		CITIGROUP INC COM	B	-110,478.47	-65.20	0.00	-65.20 70.0 -45.64 C
08/18/2017	QGV006034	BANK OF AMERICA		FIDELITY NATIONAL FINANCIAL INC	S	-45,963.06	38.80	0.00	38.80 70.0 27.16
08/18/2017	QGV006034	BANK OF AMERICA		HALLIBURTON COMPANY	B	25,773.00	26.40	0.00	26.40 70.0 18.48
08/18/2017	QGV006034	BANK OF AMERICA		HUNTINGTON BANCSHARES INC	B	33,945.15	53.60	0.00	53.60 70.0 37.52
08/18/2017	QGV006034	BANK OF AMERICA		MC DONALDS CORP	S	-48,944.91	12.40	0.00	12.40 70.0 8.68
08/18/2017	QGV006034	BANK OF AMERICA		MSA SAFETY INC COM	S	-45,467.73	26.40	0.00	26.40 70.0 18.48
08/18/2017	QGV006034	BANK OF AMERICA		PEBBLEBROOK HOTEL TRUST	B	11,027.66	14.00	0.00	14.00 70.0 9.80
08/18/2017	QGV006034	BANK OF AMERICA		PFIZER INCORPORATED	B	16,036.03	19.60	0.00	19.60 70.0 13.72

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/23/2017	QGV006034	BANK OF AMERICA		ANALOGIC CORP NEW COM PAR 0.05	S	-178,392.83	102.40	0.00	102.40 70.0 71.68
08/23/2017	QGV006034	BANK OF AMERICA		BRUNSWICK CORP	B	41,360.80	32.00	0.00	32.00 70.0 22.40
08/23/2017	QGV006034	BANK OF AMERICA		CHEVRON CORPORATION	B	38,428.24	14.40	0.00	14.40 70.0 10.08
08/23/2017	QGV006034	BANK OF AMERICA		CINEMARK HLDGS INC	B	55,065.62	66.00	0.00	66.00 70.0 46.20
08/23/2017	QGV006034	BANK OF AMERICA		CISCO SYS INC	B	34,864.88	45.00	0.00	45.00 70.0 31.50
08/23/2017	QGV006034	BANK OF AMERICA		LITTELFUSE INC	S	-159,300.00	36.00	0.00	36.00 70.0 25.20
08/23/2017	QGV006034	BANK OF AMERICA		MACOM TECHNOLOGY SOLUTIONS HLDGS IN B		46,719.93	46.40	0.00	46.40 70.0 32.48
08/23/2017	QGV006034	BANK OF AMERICA		MSA SAFETY INC COM	S	-90,899.90	52.00	0.00	52.00 70.0 36.40
08/23/2017	QGV006034	BANK OF AMERICA		NATIONAL INSTRUMENTS CORP	S	-92,463.62	93.00	0.00	93.00 70.0 65.10
08/23/2017	QGV006034	BANK OF AMERICA		OMNICOM GROUP INC	S	-255,501.95	139.20	0.00	139.20 70.0 97.44
08/23/2017	QGV006034	BANK OF AMERICA		ROGERS CORP	B	220,367.28	76.60	0.00	76.60 70.0 53.62
08/23/2017	QGV006034	BANK OF AMERICA		ROYAL DUTCH SHELL PLC SPONSORED ADR B		35,844.32	26.00	0.00	26.00 70.0 18.20
08/23/2017	QGV006034	BANK OF AMERICA		SEALED AIR CORP NEW	B	50,952.49	45.00	0.00	45.00 70.0 31.50
08/23/2017	QGV006034	BANK OF AMERICA		STRYKER CORP	S	-75,280.37	21.60	0.00	21.60 70.0 15.12
08/23/2017	QGV006034	BANK OF AMERICA		STRYKER CORP	S	-184,062.24	53.00	0.00	53.00 70.0 37.10
08/24/2017	QGV006034	BANK OF AMERICA		ABBOTT LABORATORIES	S	-41,753.56	33.80	0.00	33.80 70.0 23.66
08/24/2017	QGV006034	BANK OF AMERICA		CINEMARK HLDGS INC	S	-25,857.98	31.40	0.00	31.40 70.0 21.98
08/24/2017	QGV006034	BANK OF AMERICA		CISCO SYS INC	S	-52,274.17	66.80	0.00	66.80 70.0 46.76
08/24/2017	QGV006034	BANK OF AMERICA		DOUGLAS DYNAMICS INC COM	S	-36,313.75	41.80	0.00	41.80 70.0 29.26
08/24/2017	QGV006034	BANK OF AMERICA		DU PONT E I DE NEMOURS & CO	S	-41,678.66	20.20	0.00	20.20 70.0 14.14
08/24/2017	QGV006034	BANK OF AMERICA		EATON CORPORATION PLC	S	-53,780.11	30.40	0.00	30.40 70.0 21.28
08/24/2017	QGV006034	BANK OF AMERICA		FIDELITY NATIONAL FINANCIAL INC	S	-24,389.12	20.40	0.00	20.40 70.0 14.28
08/24/2017	QGV006034	BANK OF AMERICA		GENERAL ELECTRIC CO	S	-18,969.68	31.20	0.00	31.20 70.0 21.84
08/24/2017	QGV006034	BANK OF AMERICA		HALLIBURTON COMPANY	S	-22,881.32	23.60	0.00	23.60 70.0 16.52
08/24/2017	QGV006034	BANK OF AMERICA		HORACE MANN EDUCATORS CORP	S	-36,879.80	40.00	0.00	40.00 70.0 28.00
08/24/2017	QGV006034	BANK OF AMERICA		HUNTINGTON BANCSHARES INC	S	-28,134.93	44.30	0.00	44.30 70.0 31.01
08/24/2017	QGV006034	BANK OF AMERICA		IBERIABANK CORP COM	S	-61,285.04	32.00	0.00	32.00 70.0 22.40
08/24/2017	QGV006034	BANK OF AMERICA		INTEL CORP	S	-31,397.62	36.20	0.00	36.20 70.0 25.34
08/24/2017	QGV006034	BANK OF AMERICA		KNOLL INC COM NEW	S	-20,886.12	47.20	0.00	47.20 70.0 33.04
08/24/2017	QGV006034	BANK OF AMERICA		MARSH & MCLENNAN COMPANIES INC	S	-55,447.27	28.80	0.00	28.80 70.0 20.16
08/24/2017	QGV006034	BANK OF AMERICA		MCGRATH RENTCORP	S	-21,264.27	21.80	0.00	21.80 70.0 15.26
08/24/2017	QGV006034	BANK OF AMERICA		MEREDITH CORP	S	-35,408.82	26.00	0.00	26.00 70.0 18.20
08/24/2017	QGV006034	BANK OF AMERICA		NATIONAL INSTRUMENTS CORP	S	-29,960.19	30.40	0.00	30.40 70.0 21.28
08/24/2017	QGV006034	BANK OF AMERICA		PACWEST BANCORP	S	-56,873.09	49.80	0.00	49.80 70.0 34.86
08/24/2017	QGV006034	BANK OF AMERICA		PEBBLEBROOK HOTEL TRUST	S	-44,118.74	55.20	0.00	55.20 70.0 38.64
08/24/2017	QGV006034	BANK OF AMERICA		PFIZER INCORPORATED	S	-41,053.01	49.40	0.00	49.40 70.0 34.58
08/24/2017	QGV006034	BANK OF AMERICA		ROYAL DUTCH SHELL PLC SPONSORED ADR S		-36,662.71	26.60	0.00	26.60 70.0 18.62
08/24/2017	QGV006034	BANK OF AMERICA		SONOCO PRODS CO COM	S	-26,154.76	22.20	0.00	22.20 70.0 15.54
08/24/2017	QGV006034	BANK OF AMERICA		TEXAS INSTRUMENTS INCORPORATED	S	-66,709.79	32.80	0.00	32.80 70.0 22.96
08/24/2017	QGV006034	BANK OF AMERICA		VERIZON COMMUNICATIONS	S	-31,156.53	25.80	0.00	25.80 70.0 18.06

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/28/2017	QGV006034	BANK OF AMERICA	BMC STK HLDGS INC COM	B	5,654.62	11.60	0.00	11.60	70.0	8.12
* STOCKS SUBTOTAL *					\$3,694,525.38	\$19,813.05	\$0.00	\$19,813.05		\$13,869.13
PRODUCT CATEGORY: OPTIONS										
08/03/2017	75500360	BOYCE, ANN HAGERTY	PUT GOLD 09/15/17 100	B	6,320.00	30.00	0.00	30.00	70.0	21.00
08/03/2017	75500360	BOYCE, ANN HAGERTY	PUT GOLD 03/16/18 100	S	11,300.00	30.00	0.00	30.00	70.0	21.00
08/03/2017	75500360	BOYCE, ANN HAGERTY	PUT GS 06/15/18 240	S	13,155.00	25.00	0.00	25.00	70.0	17.50
08/03/2017	75500360	BOYCE, ANN HAGERTY	PUT GS 01/19/18 240	B	10,675.00	25.00	0.00	25.00	70.0	17.50
08/03/2017	75500360	BOYCE, ANN HAGERTY	PUT NVDA 06/15/18 150	S	7,950.00	25.00	0.00	25.00	70.0	17.50
08/03/2017	75500360	BOYCE, ANN HAGERTY	PUT NVDA 01/19/18 150	B	5,290.00	25.00	0.00	25.00	70.0	17.50
08/22/2017	75500360	BOYCE, ANN HAGERTY	PUT UAA 01/19/18 20	B	4,120.00	15.00	0.00	15.00	70.0	10.50
08/23/2017	75500360	BOYCE, ANN HAGERTY	PUT KITE 04/20/18 120	S	6,350.00	30.00	0.00	30.00	70.0	21.00
08/23/2017	75500360	BOYCE, ANN HAGERTY	PUT KITE 01/19/18 100	B	2,100.00	30.00	0.00	30.00	70.0	21.00
08/23/2017	75500360	BOYCE, ANN HAGERTY	PUT SYK 01/19/18 100	B	2,100.00	30.00	0.00	30.00	70.0	21.00
08/23/2017	75500360	BOYCE, ANN HAGERTY	PUT SYK 01/19/18 100	S	-2,100.00	-30.00	0.00	-30.00	70.0	-21.00
08/28/2017	75500360	BOYCE, ANN HAGERTY	PUT KITE 04/20/18 120	B	100.00	15.00	0.00	15.00	70.0	10.50
08/02/2017	75500361	BOYCE, JOHN C	CALL SPY 01/20/17 222	B	9,690.00	25.00	0.00	25.00	70.0	17.50
08/03/2017	75500361	BOYCE, JOHN C	PUT BABA 06/15/18 145	S	14,040.00	30.00	0.00	30.00	70.0	21.00
08/10/2017	75500361	BOYCE, JOHN C	PUT FIZZ 01/19/18 93.50	B	2,830.00	20.00	0.00	20.00	70.0	14.00
08/11/2017	75500361	BOYCE, JOHN C	CALL VMC 02/16/18 130	S	730.00	15.00	0.00	15.00	70.0	10.50
08/11/2017	75500361	BOYCE, JOHN C	CALL VMC 01/19/18 135	B	378.00	15.00	0.00	15.00	70.0	10.50
08/11/2017	75500361	BOYCE, JOHN C	PUT TXRH 03/16/18 50	S	2,325.00	50.00	0.00	50.00	70.0	35.00
08/11/2017	75500361	BOYCE, JOHN C	PUT TXRH 12/15/17 50	B	1,825.00	50.00	0.00	50.00	70.0	35.00
08/22/2017	75500361	BOYCE, JOHN C	CALL BP 01/19/18 36	B	63.00	0.00	0.00	0.00	70.0	0.00
08/22/2017	75500361	BOYCE, JOHN C	PUT BABA 06/15/18 145	B	7,600.00	40.00	0.00	40.00	70.0	28.00
08/22/2017	75500361	BOYCE, JOHN C	PUT BABA 01/18/19 150	S	20,700.00	40.00	0.00	40.00	70.0	28.00
08/23/2017	75500361	BOYCE, JOHN C	CALL BP 01/19/18 36	B	252.00	15.00	0.00	15.00	70.0	10.50
08/15/2017	75500366	BOYCE, JOHN C	CALL GDXJ 09/29/17 35	S	1,827.90	20.00	0.00	20.00	70.0	14.00
08/15/2017	75500366	BOYCE, JOHN C	CALL GDXJ 09/29/17 35	B	-1,827.90	-20.00	0.00	-20.00	70.0	-14.00
08/15/2017	75500366	BOYCE, JOHN C	CALL GDXJ 09/29/17 35	S	1,827.90	20.00	0.00	20.00	70.0	14.00
08/09/2017	75500370	HUBARD, MARGARET	PUT RIO 06/15/18 45	S	4,520.00	40.00	0.00	40.00	70.0	28.00
08/09/2017	75500370	HUBARD, MARGARET	PUT RIO 01/19/18 45	B	2,750.00	40.00	0.00	40.00	70.0	28.00
08/10/2017	75500370	HUBARD, MARGARET	PUT BABA 06/15/18 145	S	11,600.00	40.00	0.00	40.00	70.0	28.00
08/10/2017	75500370	HUBARD, MARGARET	PUT BABA 01/19/18 140	B	7,410.00	40.00	0.00	40.00	70.0	28.00
07/31/2017	75500385	HUBARD, JOHN	PUT BABA 06/15/18 145	S	13,910.00	40.00	0.00	40.00	70.0	28.00
07/31/2017	75500385	HUBARD, JOHN	PUT BABA 01/19/18 145	B	9,200.00	40.00	0.00	40.00	70.0	28.00
07/31/2017	75500385	HUBARD, JOHN	PUT HSY 01/19/18 115	B	11,300.00	40.00	0.00	40.00	70.0	28.00
08/10/2017	75500424	JOHN B HUBARD TTEE	PUT PF 03/16/18 62.50	S	6,600.00	40.00	0.00	40.00	70.0	28.00
08/10/2017	75500424	JOHN B HUBARD TTEE	PUT PF 09/15/17 60	B	2,650.00	40.00	0.00	40.00	70.0	28.00
08/10/2017	75500424	JOHN B HUBARD TTEE	PUT VSM 01/19/18 35	B	3,900.00	45.00	0.00	45.00	70.0	31.50

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: OPTIONS

08/10/2017	75500424	JOHN B HUBARD TTEE	PUT VSM	03/16/18	40	S	9,000.00	45.00	0.00	45.00	70.0	31.50
08/28/2017	75500424	JOHN B HUBARD TTEE	PUT KITE	01/19/18	100	B	200.00	15.00	0.00	15.00	70.0	10.50
08/28/2017	75500443	GOOD, TIMOTHY	PUT KITE	01/19/18	100	B	200.00	15.00	0.00	15.00	70.0	10.50
08/16/2017	75501338	BOYCE, ROGER F	PUT FMC	01/19/18	75	B	170.00	15.00	0.00	15.00	70.0	10.50
08/16/2017	75501338	BOYCE, ROGER F	PUT NVDA	03/16/18	160	S	1,730.00	15.00	0.00	15.00	70.0	10.50
* OPTIONS SUBTOTAL *							<u>\$214,760.90</u>	<u>\$1,080.00</u>	<u>\$0.00</u>	<u>\$1,080.00</u>		<u>\$756.00</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/09/2017	75500378	CLOUGH, CHARLES EVANS THE INVESTMENT COMPANY OF AMERICA-A B					0.00	48.17	0.00	48.17	70.0	33.72
08/09/2017	75500389	STEM, RICHARD	COLUMBIA FDS SER TR II MASS SELIGMA B				0.00	3.71	0.00	3.71	70.0	2.60
08/09/2017	75500389	STEM, RICHARD	COLUMBIA SELIGMAN COMMUNICATIONS AN B				0.00	7.72	0.00	7.72	70.0	5.40
08/09/2017	75500394	SKELTON, NANCY	NEW WORLD FUND-A			B	0.00	4.88	0.00	4.88	70.0	3.42
08/09/2017	75502109	BARBARA L FEGLEY PERS	MAINSTAY S&P 500 INDEX FUND CLASS A B				0.00	104.46	0.00	104.46	70.0	73.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *							<u>\$0.00</u>	<u>\$168.94</u>	<u>\$0.00</u>	<u>\$168.94</u>		<u>\$118.26</u>
*** BROKER TRANSACTIONS TOTAL ***							<u>\$3,909,286.28</u>	<u>\$21,061.99</u>	<u>\$0.00</u>	<u>\$21,061.99</u>		<u>\$14,743.39</u>

ADJUSTMENTS

08/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY					0.00	0.00	0.00	0.00		-20.00
08/31/2017		OTHER: OTHER-360 OFFICE					0.00	0.00	0.00	0.00		-8.00
08/31/2017		OTHER: OTHER-TICKETS					0.00	0.00	0.00	0.00		-2,630.00
*** ADJUSTMENTS TOTAL ***							<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-2,658.00</u>



**BROKER COMMISSION TOTALS**

Broker Transactions	\$14,743.39
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,658.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-26.33
SIPC Assessment	\$-52.65

<b>Check Amount</b>	<b>\$12,006.41</b>
<b>Year-to-date Earnings</b>	<b>\$31,578.65</b>