



COMMISSION STATEMENT

July 09, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/26/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063412117	BUY 3 Units @33.8000						
06/26/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063451518	BUY 3 Units @33.8000						
06/28/2018	***-**-8208 - KARNS, SHANNON	AMCAP FUND-C	19.75	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 04000409714	BUY 1 Units @29.1600						
06/28/2018	***-**-8208 - KARNS, SHANNON	AMCAP FUND-C	600.00	6.00	0.00	6.00	80.0	4.80
	ACCOUNT #: 04000409714	BUY 21 Units @29.1600						
06/29/2018	***-**-9501 - SHALLENBERGER,	AMCAP FUND-C	16.65	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 00086494801	BUY 1 Units @29.2500						
06/29/2018	***-**-511I - ROOD, SCOTT	AMCAP FUND-C	13.59	0.14	0.00	0.14	80.0	0.11
	ACCOUNT #: 00088607840	BUY 0 Units @29.2500						
06/29/2018	***-**-511I - ROOD, SCOTT	AMCAP FUND-C	42.42	0.42	0.00	0.42	80.0	0.34
	ACCOUNT #: 00088607840	BUY 1 Units @29.2500						
06/29/2018	***-**-965P - SHAFER, PAUL	AMERICAN BALANCED FUND-A	21.25	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 00073549401	BUY 1 Units @27.6900						
06/29/2018	***-**-625P - BORRELLI, LOUIS	AMERICAN BALANCED FUND-A	17.10	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00073550085	BUY 1 Units @27.6900						
06/29/2018	***-**-625P - BORRELLI, LOUIS	AMERICAN BALANCED FUND-A	80.00	1.28	0.00	1.28	80.0	1.02
	ACCOUNT #: 00073550085	BUY 3 Units @27.6900						
06/25/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 1 Units @26.8700						
06/25/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @26.8700						
06/25/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	1.91	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @26.8700						
06/25/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	6.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00085904181	BUY 0 Units @26.8700						
06/25/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	16.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00085904210	BUY 1 Units @26.8700						
06/25/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	4.18	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @26.8700						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/25/2018	***-**-398I - GUERRIERI, PAUL	AMERICAN BALANCED FUND-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00086040659	BUY 4 Units @26.8700						
06/25/2018	***-**-8208 - KARNS, SHANNON	AMERICAN BALANCED FUND-C	1,000.00	10.00	0.00	10.00	80.0	8.00
	ACCOUNT #: 04000886858	BUY 37 Units @26.8700						
06/26/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087435959	BUY 2 Units @26.9100						
06/26/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089045192	BUY 1 Units @26.9100						
06/26/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00089051066	BUY 2 Units @26.9100						
06/26/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	16.32	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00089051066	BUY 1 Units @26.9100						
06/26/2018	***-**-312S - DISCH, JASMINE	AMERICAN BALANCED FUND-C	17.65	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00089583477	BUY 1 Units @26.9100						
06/26/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00089910177	BUY 4 Units @26.9100						
06/28/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	2.31	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @26.8900						
06/29/2018	***-**-3834 - SWARTWOUT,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089957234	BUY 2 Units @26.9700						
06/29/2018	***-**-6000 - SMITH, KENNETH	AMERICAN BALANCED FUND-C	21.54	0.22	0.00	0.22	80.0	0.18
	ACCOUNT #: 00083081099	BUY 1 Units @26.9700						
06/29/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	42.50	0.43	0.00	0.43	80.0	0.34
	ACCOUNT #: 00086979418	BUY 2 Units @26.9700						
06/29/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00086979418	BUY 1 Units @26.9700						
06/29/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	16.32	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00089051066	BUY 1 Units @26.9700						
06/29/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	61.20	0.61	0.00	0.61	80.0	0.49
	ACCOUNT #: 00089051066	BUY 2 Units @26.9700						
06/29/2018	***-**-0962 - TRETYAK,	AMERICAN BALANCED FUND-C	23.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 00089399972	BUY 1 Units @26.9700						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/29/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	AMERICAN BALANCED FUND-C BUY 4 Units @26.9700	103.55	1.04	0.00	1.04	80.0	0.83
07/02/2018	***-**-581R - HINDERLAND, GARY ACCOUNT #: LSR011249	AMERICAN BALANCED FUND-C BUY 11 Units @26.9700	299.99	3.00	3.00	0.00	80.0	0.00
06/25/2018	***-**-4478 - YACONO, JOSEPH ACCOUNT #: 04000820464	AMERICAN FUNDS 2055 TARGET DATE-C BUY 2 Units @18.8500	41.94	0.42	0.00	0.42	80.0	0.34
06/25/2018	***-**-4478 - YACONO, JOSEPH ACCOUNT #: 04000820464	AMERICAN FUNDS 2055 TARGET DATE-C BUY 0 Units @18.8500	6.99	0.07	0.00	0.07	80.0	0.06
06/26/2018	***-**-5838 - SPOLETA, BRENDA ACCOUNT #: 00088037501	AMERICAN GLOBAL BALANCED CL C BUY 3 Units @31.7700	100.00	1.00	0.00	1.00	80.0	0.80
06/29/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMERICAN GLOBAL BALANCED CL C BUY 0 Units @31.9200	11.66	0.12	0.00	0.12	80.0	0.10
06/29/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @31.9200	36.36	0.36	0.00	0.36	80.0	0.29
06/26/2018	***-**-5838 - SPOLETA, BRENDA ACCOUNT #: 00087435959	AMERICAN HIGH INCOME MUNI BOND CLAS BUY 3 Units @15.7700	50.00	0.50	0.00	0.50	80.0	0.40
06/25/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @10.1800	4.55	0.05	0.00	0.05	80.0	0.04
06/25/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 10 Units @10.1800	105.00	1.05	0.00	1.05	80.0	0.84
06/26/2018	***-**-5838 - SPOLETA, BRENDA ACCOUNT #: 00087435959	AMERICAN HIGH-INCOME TRUST-C BUY 5 Units @10.1700	50.00	0.50	0.00	0.50	80.0	0.40
07/03/2018	***-**-051O - KIMBLE, LONNY ACCOUNT #: LSR011472	AMERICAN HIGH-INCOME TRUST-C SELL 46 Units @10.1200	463.42	0.00	0.00	0.00	80.0	0.00
06/25/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN MUTUAL FUND-C BUY 0 Units @39.8700	1.59	0.02	0.00	0.02	80.0	0.02
06/25/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN MUTUAL FUND-C BUY 0 Units @39.8700	5.00	0.05	0.00	0.05	80.0	0.04
06/25/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	AMERICAN MUTUAL FUND-C BUY 0 Units @39.8700	5.00	0.05	0.00	0.05	80.0	0.04
06/28/2018	***-**-6781 - KARNS, DANIELLE ACCOUNT #: 04000409710	AMERICAN MUTUAL FUND-C BUY 0 Units @39.8900	9.63	0.10	0.00	0.10	80.0	0.08



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/28/2018	***-**-6781 - KARNS, DANIELLE	AMERICAN MUTUAL FUND-C	72.26	0.72	0.00	0.72	80.0	0.58
	ACCOUNT #: 04000409710	BUY 2 Units @39.8900						
06/29/2018	***-**-5111 - ROOD, SCOTT	AMERICAN MUTUAL FUND-C	13.60	0.14	0.00	0.14	80.0	0.11
	ACCOUNT #: 00088607840	BUY 0 Units @40.0500						
06/29/2018	***-**-5111 - ROOD, SCOTT	AMERICAN MUTUAL FUND-C	42.42	0.42	0.00	0.42	80.0	0.34
	ACCOUNT #: 00088607840	BUY 1 Units @40.0500						
06/21/2018	***-**-7291 - GIAMBRONE, KELLY	CAPITAL APPRECIATION C	61.61	0.62	0.00	0.62	80.0	0.50
	ACCOUNT #: 03222325444	BUY 0 Units @0.0000						
06/22/2018	***-**-7330 - JOHNSON, DONALD	CAPITAL APPRECIATION C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 03220387068	BUY 0 Units @0.0000						
06/22/2018	***-**-6603 - JOHNSON, TERRY	CAPITAL APPRECIATION C	68.00	0.68	0.00	0.68	80.0	0.54
	ACCOUNT #: 03222192157	BUY 0 Units @0.0000						
06/22/2018	***-**-1834 - BUTLER, KIM	CAPITAL APPRECIATION C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 03222200176	BUY 0 Units @0.0000						
06/26/2018	***-**-5838 - SPOLETA, BRENDA	CAPITAL INCOME BUILDER CLASS C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00088037501	BUY 2 Units @59.7800						
06/29/2018	***-**-7171 - GIUDICE, JUSTIN	CAPITAL INCOME BUILDER CLASS C	19.57	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00086494794	BUY 0 Units @60.0300						
06/29/2018	***-**-7171 - GIUDICE, JUSTIN	CAPITAL INCOME BUILDER CLASS C	57.75	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00086494794	BUY 1 Units @60.0300						
06/29/2018	***-**-0962 - TRETYAK,	CAPITAL INCOME BUILDER CLASS C	23.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 00089399972	BUY 0 Units @60.0300						
06/29/2018	***-**-0962 - TRETYAK,	CAPITAL INCOME BUILDER CLASS C	103.55	1.04	0.00	1.04	80.0	0.83
	ACCOUNT #: 00089399972	BUY 2 Units @60.0300						
06/29/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL INCOME BUILDER-A	17.10	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00073550085	BUY 0 Units @61.1600						
06/29/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL INCOME BUILDER-A	80.00	1.28	0.00	1.28	80.0	1.02
	ACCOUNT #: 00073550085	BUY 1 Units @61.1600						
06/26/2018	***-**-425P - DELGAIZO, LOUISA	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 1 Units @19.7300						
06/26/2018	***-**-535P - DELGAIZO,	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063451518	BUY 1 Units @19.7300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/25/2018	***-**-398I - GUERRIERI, PAUL	CAPITAL WORLD BOND FUND-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00086040659	BUY 5 Units @19.1400						
06/25/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	96.40	0.96	0.00	0.96	80.0	0.77
	ACCOUNT #: 00087038420	BUY 2 Units @50.0000						
06/25/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	3.60	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00087038420	BUY 0 Units @50.0000						
06/26/2018	***-**-425P - MEEKS, PERDITA	CAPITAL WORLD GROWTH AND INCOME FUN	12.50	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 00074210167	BUY 0 Units @53.7000						
06/26/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089045192	BUY 1 Units @50.0800						
06/26/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @50.0800						
06/29/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL WORLD GROWTH AND INCOME FUN	17.10	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00073550085	BUY 0 Units @51.8500						
06/29/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL WORLD GROWTH AND INCOME FUN	80.00	1.28	0.00	1.28	80.0	1.02
	ACCOUNT #: 00073550085	BUY 2 Units @51.8500						
06/29/2018	***-**-4036 - AMES, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	24.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 00083414092	BUY 0 Units @50.2700						
06/29/2018	***-**-4036 - AMES, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00083414092	BUY 1 Units @50.2700						
06/29/2018	***-**-4926 - HAUN, GARY	CAPITAL WORLD GROWTH AND INCOME FUN	21.15	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 04000492822	BUY 0 Units @50.2700						
06/29/2018	***-**-4926 - HAUN, GARY	CAPITAL WORLD GROWTH AND INCOME FUN	105.75	1.06	0.00	1.06	80.0	0.85
	ACCOUNT #: 04000492822	BUY 2 Units @50.2700						
06/22/2018	***-**-85IN - MAZZOLA, BARBARA	EMERGING MARKETS INNOVATORS FUND C	7.50	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00100051019	BUY 0 Units @0.0000						
06/19/2018	***-**-6188 - ROBERTS,	EQUITY INCOME FUND, INC. C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 08370627385	BUY 0 Units @0.0000						
06/21/2018	***-**-729I - GIAMBRONE, KELLY	EQUITY INCOME FUND, INC. C	46.21	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 08370596090	BUY 0 Units @0.0000						
06/26/2018	***-**-425P - DELGAIZO, LOUISA	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @54.1400						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/26/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	EUROPACIFIC GROWTH FUND-A BUY 1 Units @54.1400	66.75	0.80	0.00	0.80	80.0	0.64
06/26/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	FUNDAMENTAL INVESTORS-A BUY 2 Units @63.2700	133.50	1.60	0.00	1.60	80.0	1.28
06/26/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	FUNDAMENTAL INVESTORS-A BUY 2 Units @63.2700	133.50	1.60	0.00	1.60	80.0	1.28
06/29/2018	***-**-925P - BURNS, JASON ACCOUNT #: 00073550035	FUNDAMENTAL INVESTORS-A BUY 0 Units @63.8900	22.28	0.36	0.00	0.36	80.0	0.29
06/29/2018	***-**-925P - BURNS, JASON ACCOUNT #: 00073550035	FUNDAMENTAL INVESTORS-A BUY 2 Units @63.8900	133.65	2.14	0.00	2.14	80.0	1.71
06/25/2018	***-**-615P - LE, TU ACCOUNT #: 00075107985	FUNDAMENTAL INVESTORS-C BUY 2 Units @61.8700	150.00	1.50	0.00	1.50	80.0	1.20
06/25/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	FUNDAMENTAL INVESTORS-C BUY 0 Units @61.8700	2.40	0.02	0.00	0.02	80.0	0.02
06/25/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	FUNDAMENTAL INVESTORS-C BUY 0 Units @61.8700	9.00	0.09	0.00	0.09	80.0	0.07
06/25/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	FUNDAMENTAL INVESTORS-C BUY 0 Units @61.8700	18.00	0.18	0.00	0.18	80.0	0.14
06/25/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	FUNDAMENTAL INVESTORS-C BUY 0 Units @61.8700	1.91	0.02	0.00	0.02	80.0	0.02
06/25/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	FUNDAMENTAL INVESTORS-C BUY 0 Units @61.8700	5.00	0.05	0.00	0.05	80.0	0.04
06/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @61.9900	20.40	0.20	0.00	0.20	80.0	0.16
06/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @61.9900	8.16	0.08	0.00	0.08	80.0	0.06
06/28/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @61.8900	1.16	0.01	0.00	0.01	80.0	0.01
06/28/2018	***-**-6171 - ROWE, KEVIN ACCOUNT #: 04000409801	FUNDAMENTAL INVESTORS-C BUY 10 Units @61.8900	600.00	6.00	0.00	6.00	80.0	4.80
06/28/2018	***-**-6171 - ROWE, KEVIN ACCOUNT #: 04000409801	FUNDAMENTAL INVESTORS-C BUY 1 Units @61.8900	66.25	0.66	0.00	0.66	80.0	0.53



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/29/2018	***-**-7171 - GIUDICE, JUSTIN	FUNDAMENTAL INVESTORS-C	19.57	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00086494794	BUY 0 Units @62.2800						
06/29/2018	***-**-7171 - GIUDICE, JUSTIN	FUNDAMENTAL INVESTORS-C	57.75	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00086494794	BUY 1 Units @62.2800						
06/29/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	8.16	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @62.2800						
06/29/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	30.60	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00089051066	BUY 0 Units @62.2800						
07/02/2018	***-**-92IR - CHEN, CYNTHIA	GLOBAL OPPORTUNITIES A	299.99	14.25	3.00	11.25	80.0	9.00
	ACCOUNT #: LSR011412	BUY 4 Units @73.7800						
06/19/2018	***-**-2620 - CUSIMANO, MEGAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02171800557	BUY 0 Units @0.0000						
06/21/2018	***-**-729I - GIAMBRONE, KELLY	GLOBAL OPPORTUNITIES FUND C	77.02	0.77	0.00	0.77	80.0	0.62
	ACCOUNT #: 02171875445	BUY 0 Units @0.0000						
06/22/2018	***-**-85IN - MAZZOLA, BARBARA	GLOBAL OPPORTUNITIES FUND C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 02171893331	BUY 0 Units @0.0000						
06/22/2018	***-**-7330 - JOHNSON, DONALD	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02171798599	BUY 0 Units @0.0000						
06/26/2018	***-**-90FB - DIODATO, CAROL	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02171777391	BUY 0 Units @0.0000						
06/26/2018	***-**-9530 - MILAM, IAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02171852708	BUY 0 Units @0.0000						
06/25/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	1.95	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087236607	BUY 0 Units @22.3400						
06/25/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00087236607	BUY 2 Units @22.3400						
07/03/2018	***-**-05IO - KIMBLE, LONNY	INCOME FUND OF AMERICA CLASS C	463.40	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR011472	SELL 21 Units @22.3800						
06/25/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00085902249	BUY 1 Units @39.6900						
06/25/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	3.74	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @39.6900						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/25/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @39.6900						
06/25/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	1.05	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904210	BUY 0 Units @39.6900						
06/25/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089563161	BUY 0 Units @39.6900						
06/26/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	17.12	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 00089583477	BUY 0 Units @39.7300						
06/28/2018	***-**-3727 - D'ANGELO, ANDREW	INVESTMENT COMPANY OF AMERICA CLASS	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 04000409699	BUY 1 Units @39.8000						
06/28/2018	***-**-3727 - D'ANGELO, ANDREW	INVESTMENT COMPANY OF AMERICA CLASS	16.25	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 04000409699	BUY 0 Units @39.8000						
06/28/2018	***-**-6781 - KARNS, DANIELLE	INVESTMENT COMPANY OF AMERICA CLASS	9.64	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 04000409710	BUY 0 Units @39.8000						
06/28/2018	***-**-6781 - KARNS, DANIELLE	INVESTMENT COMPANY OF AMERICA CLASS	72.27	0.72	0.00	0.72	80.0	0.58
	ACCOUNT #: 04000409710	BUY 2 Units @39.8000						
06/19/2018	***-**-2620 - CUSIMANO, MEGAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024397338	BUY 0 Units @0.0000						
06/21/2018	***-**-729I - GIAMBRONE, KELLY	MAIN STREET FUND C	77.02	0.77	0.00	0.77	80.0	0.62
	ACCOUNT #: 07024326366	BUY 0 Units @0.0000						
06/22/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	66.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						
06/22/2018	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
06/26/2018	***-**-4980 - MOYER, COLLEEN	MAIN STREET FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 07024431823	BUY 0 Units @0.0000						
06/26/2018	***-**-90FB - DIODATO, CAROL	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024358024	BUY 0 Units @0.0000						
06/26/2018	***-**-9530 - MILAM, IAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024469113	BUY 0 Units @0.0000						
06/26/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 0 Units @45.5700						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/26/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	NEW PERSPECTIVE FUND-A BUY 0 Units @45.5700	22.25	0.27	0.00	0.27	80.0	0.22
06/29/2018	***-**-955P - ROSE, JUSTIN ACCOUNT #: 00073550044	NEW PERSPECTIVE FUND-A BUY 1 Units @46.0100	25.13	0.40	0.00	0.40	80.0	0.32
06/29/2018	***-**-955P - ROSE, JUSTIN ACCOUNT #: 00073550044	NEW PERSPECTIVE FUND-A BUY 4 Units @46.0100	187.50	3.00	0.00	3.00	80.0	2.40
06/25/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW PERSPECTIVE FUND-C BUY 0 Units @42.9200	2.80	0.03	0.00	0.03	80.0	0.02
06/25/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW PERSPECTIVE FUND-C BUY 0 Units @42.9200	10.50	0.11	0.00	0.11	80.0	0.09
06/25/2018	***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231	NEW PERSPECTIVE FUND-C BUY 0 Units @42.9200	2.50	0.03	0.00	0.03	80.0	0.02
06/25/2018	***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231	NEW PERSPECTIVE FUND-C BUY 0 Units @42.9200	2.50	0.03	0.00	0.03	80.0	0.02
06/25/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW PERSPECTIVE FUND-C BUY 0 Units @42.9200	21.00	0.21	0.00	0.21	80.0	0.17
06/25/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW PERSPECTIVE FUND-C BUY 0 Units @42.9200	2.23	0.02	0.00	0.02	80.0	0.02
06/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @42.9700	20.40	0.20	0.00	0.20	80.0	0.16
06/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @42.9700	8.15	0.08	0.00	0.08	80.0	0.06
06/28/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @42.7700	1.15	0.01	0.00	0.01	80.0	0.01
06/28/2018	***-**-1955 - MARCELLUS, JAMES ACCOUNT #: 04000409718	NEW PERSPECTIVE FUND-C BUY 1 Units @42.7700	28.85	0.29	0.00	0.29	80.0	0.23
06/28/2018	***-**-1955 - MARCELLUS, JAMES ACCOUNT #: 04000409718	NEW PERSPECTIVE FUND-C BUY 1 Units @42.7700	62.50	0.63	0.00	0.63	80.0	0.50
06/28/2018	***-**-9004 - MARCELLUS, ACCOUNT #: 04000409785	NEW PERSPECTIVE FUND-C BUY 1 Units @42.7700	21.63	0.22	0.00	0.22	80.0	0.18
06/28/2018	***-**-9004 - MARCELLUS, ACCOUNT #: 04000409785	NEW PERSPECTIVE FUND-C BUY 3 Units @42.7700	125.00	1.25	0.00	1.25	80.0	1.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/29/2018	***-**-6000 - SMITH, KENNETH	NEW PERSPECTIVE FUND-C	10.77	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00083081099	BUY 0 Units @43.1700						
06/29/2018	***-**-4036 - AMES, JOHN	NEW PERSPECTIVE FUND-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00083414092	BUY 0 Units @43.1700						
06/29/2018	***-**-4036 - AMES, JOHN	NEW PERSPECTIVE FUND-C	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00083414092	BUY 1 Units @43.1700						
06/29/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	8.15	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @43.1700						
06/29/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	30.60	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00089051066	BUY 1 Units @43.1700						
06/25/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @62.7000						
06/25/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @62.7000						
06/25/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @62.7000						
06/25/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	2.23	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @62.7000						
06/25/2018	***-**-398I - GUERRIERI, PAUL	NEW WORLD FUND-C	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00086040659	BUY 2 Units @62.7000						
06/25/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @62.7000						
06/25/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @62.7000						
06/29/2018	***-**-4036 - AMES, JOHN	NEW WORLD FUND-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00083414092	BUY 0 Units @62.6800						
06/29/2018	***-**-4036 - AMES, JOHN	NEW WORLD FUND-C	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00083414092	BUY 0 Units @62.6800						
06/22/2018	***-**-3407 - TUTTELL, PAULA	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 05470454740	BUY 0 Units @0.0000						
06/22/2018	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/22/2018	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	66.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
06/22/2018	***-**-85IN - MAZZOLA, BARBARA	OPPENHEIMER INTERNATIONAL DIVERSIFI	7.50	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 01971104114	BUY 0 Units @0.0000						
06/21/2018	***-**-729I - GIAMBRONE, KELLY	OPPENHEIMER MAIN STREET SMALL CAP F	46.20	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 08491596925	BUY 0 Units @0.0000						
06/19/2018	***-**-541P - SCHILLACI, AMY	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02271188506	BUY 0 Units @0.0000						
06/19/2018	***-**-655P - DAVIS, BERTHA	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02271237808	BUY 0 Units @0.0000						
06/21/2018	***-**-1314 - BENEDETTO,	OPPENHEIMER RISING DIVIDENDS FUND C	125.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 02270934318	BUY 0 Units @0.0000						
06/22/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02271182830	BUY 0 Units @0.0000						
06/26/2018	***-**-4980 - MOYER, COLLEEN	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02271217095	BUY 0 Units @0.0000						
06/21/2018	***-**-1314 - BENEDETTO,	QUEST BALANCED FUND C	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 02592292741	BUY 0 Units @0.0000						
06/27/2018	***-**-8706 - BOSCO, REN	QUEST BALANCED FUND C	500.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 02590094105	BUY 0 Units @0.0000						
06/19/2018	***-**-2620 - CUSIMANO, MEGAN	REAL ESTATE FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 05920400103	BUY 0 Units @0.0000						
06/22/2018	***-**-7330 - JOHNSON, DONALD	REAL ESTATE FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 05920398266	BUY 0 Units @0.0000						
06/26/2018	***-**-9530 - MILAM, IAN	REAL ESTATE FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 05920419496	BUY 0 Units @0.0000						
07/02/2018	***-**-92IR - CHEN, CYNTHIA	SELECT VALUE FUND A	300.01	14.25	3.00	11.25	80.0	9.00
	ACCOUNT #: LSR011412	BUY 12 Units @24.9300						
06/22/2018	***-**-1834 - BUTLER, KIM	SMALL- & MID- CAP VALUE FUND C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 02531162106	BUY 0 Units @0.0000						
06/26/2018	***-**-4980 - MOYER, COLLEEN	SMALL- & MID- CAP VALUE FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02531288969	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/29/2018	***-**-925P - BURNS, JASON	SMALLCAP WORLD FUND-A	22.28	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00073550035	BUY 0 Units @59.4800						
06/29/2018	***-**-925P - BURNS, JASON	SMALLCAP WORLD FUND-A	133.65	2.14	0.00	2.14	80.0	1.71
	ACCOUNT #: 00073550035	BUY 2 Units @59.4800						
06/25/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @51.4400						
06/25/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @51.4400						
06/26/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @51.5200						
06/26/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	8.16	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @51.5200						
06/28/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	1.16	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00089051066	BUY 0 Units @50.7500						
06/29/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	30.60	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00089051066	BUY 1 Units @51.0800						
06/29/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	8.16	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @51.0800						
06/21/2018	***-**-1314 - BENEDETTO,	STRATEGIC INCOME FUND C	125.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 02322751089	BUY 0 Units @0.0000						
06/22/2018	***-**-1834 - BUTLER, KIM	STRATEGIC INCOME FUND C	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 01920863291	BUY 0 Units @0.0000						
06/26/2018	***-**-425P - DELGAIZO, LOUISA	THE BOND FUND OF AMERICA-A	44.50	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 00063412117	BUY 3 Units @12.7200						
06/26/2018	***-**-535P - DELGAIZO,	THE BOND FUND OF AMERICA-A	44.50	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 00063451518	BUY 3 Units @12.7200						
06/25/2018	***-**-2163 - TRAPANI, JAMES	THE BOND FUND OF AMERICA-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 2 Units @12.5300						
06/25/2018	***-**-2163 - TRAPANI, JAMES	THE BOND FUND OF AMERICA-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @12.5300						
06/25/2018	***-**-3955 - EAST, MICHAEL	THE BOND FUND OF AMERICA-C	2.87	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @12.5300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/25/2018	***-**-3955 - EAST, MICHAEL	THE BOND FUND OF AMERICA-C	9.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00085904181	BUY 1 Units @12.5300						
06/26/2018	***-**-5838 - SPOLETA, BRENDA	THE BOND FUND OF AMERICA-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00088037501	BUY 8 Units @12.5300						
06/29/2018	***-**-7171 - GIUDICE, JUSTIN	THE BOND FUND OF AMERICA-C	20.16	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00086494794	BUY 2 Units @12.5500						
06/29/2018	***-**-7171 - GIUDICE, JUSTIN	THE BOND FUND OF AMERICA-C	59.50	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 00086494794	BUY 5 Units @12.5500						
06/26/2018	***-**-425P - DELGAIZO, LOUISA	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @54.7700						
06/26/2018	***-**-535P - DELGAIZO,	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063451518	BUY 1 Units @54.7700						
06/26/2018	***-**-425P - MEEKS, PERDITA	THE GROWTH FUND OF AMERICA-A	12.50	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 00074210167	BUY 0 Units @57.2400						
06/28/2018	***-**-995P - ARBORE, CARL	THE GROWTH FUND OF AMERICA-A	50.00	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 00081166789	BUY 1 Units @55.7600						
06/29/2018	***-**-965P - SHAFER, PAUL	THE GROWTH FUND OF AMERICA-A	21.25	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 00073549401	BUY 0 Units @55.1100						
06/29/2018	***-**-925P - BURNS, JASON	THE GROWTH FUND OF AMERICA-A	22.94	0.37	0.00	0.37	80.0	0.30
	ACCOUNT #: 00073550035	BUY 0 Units @55.1100						
06/29/2018	***-**-925P - BURNS, JASON	THE GROWTH FUND OF AMERICA-A	137.70	2.20	0.00	2.20	80.0	1.76
	ACCOUNT #: 00073550035	BUY 2 Units @55.1100						
06/29/2018	***-**-955P - ROSE, JUSTIN	THE GROWTH FUND OF AMERICA-A	25.13	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00073550044	BUY 0 Units @55.1100						
06/29/2018	***-**-955P - ROSE, JUSTIN	THE GROWTH FUND OF AMERICA-A	187.50	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: 00073550044	BUY 3 Units @55.1100						
06/29/2018	***-**-625P - BORRELLI, LOUIS	THE GROWTH FUND OF AMERICA-A	34.20	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00073550085	BUY 1 Units @55.1100						
06/29/2018	***-**-625P - BORRELLI, LOUIS	THE GROWTH FUND OF AMERICA-A	160.00	2.56	0.00	2.56	80.0	2.05
	ACCOUNT #: 00073550085	BUY 3 Units @55.1100						
06/25/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @49.6200						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/25/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @49.6200						
06/25/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @49.6200						
06/25/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @49.6200						
06/25/2018	***-**-482I - MARCONI, TODD	THE GROWTH FUND OF AMERICA-C	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089570936	BUY 0 Units @49.6200						
06/25/2018	***-**-7186 - VELLA, DOMENICO	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000248474	BUY 1 Units @49.6200						
06/25/2018	***-**-2678 - VELLA, VINCENZO	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000248491	BUY 1 Units @49.6200						
06/26/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089045192	BUY 1 Units @49.8500						
06/26/2018	***-**-312S - DISCH, JASMINE	THE GROWTH FUND OF AMERICA-C	17.13	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 00089583477	BUY 0 Units @49.8500						
06/28/2018	***-**-6375 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	125.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 04000598159	BUY 3 Units @49.7200						
06/28/2018	***-**-6375 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 04000598159	BUY 1 Units @49.7200						
06/28/2018	***-**-3727 - D'ANGELO, ANDREW	THE GROWTH FUND OF AMERICA-C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 04000409699	BUY 1 Units @49.7200						
06/28/2018	***-**-3727 - D'ANGELO, ANDREW	THE GROWTH FUND OF AMERICA-C	16.25	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 04000409699	BUY 0 Units @49.7200						
06/28/2018	***-**-8208 - KARNS, SHANNON	THE GROWTH FUND OF AMERICA-C	19.75	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 04000409714	BUY 0 Units @49.7200						
06/28/2018	***-**-8208 - KARNS, SHANNON	THE GROWTH FUND OF AMERICA-C	600.00	6.00	0.00	6.00	80.0	4.80
	ACCOUNT #: 04000409714	BUY 12 Units @49.7200						
06/28/2018	***-**-1955 - MARCELLUS, JAMES	THE GROWTH FUND OF AMERICA-C	28.85	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 04000409718	BUY 1 Units @49.7200						
06/28/2018	***-**-1955 - MARCELLUS, JAMES	THE GROWTH FUND OF AMERICA-C	62.50	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 04000409718	BUY 1 Units @49.7200						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/28/2018	***-**-9004 - MARCELLUS, ACCOUNT #: 04000409785	THE GROWTH FUND OF AMERICA-C BUY 0 Units @49.7200	21.62	0.22	0.00	0.22	80.0	0.18
06/28/2018	***-**-9004 - MARCELLUS, ACCOUNT #: 04000409785	THE GROWTH FUND OF AMERICA-C BUY 3 Units @49.7200	125.00	1.25	0.00	1.25	80.0	1.00
06/28/2018	***-**-6171 - ROWE, KEVIN ACCOUNT #: 04000409801	THE GROWTH FUND OF AMERICA-C BUY 12 Units @49.7200	600.00	6.00	0.00	6.00	80.0	4.80
06/28/2018	***-**-6171 - ROWE, KEVIN ACCOUNT #: 04000409801	THE GROWTH FUND OF AMERICA-C BUY 1 Units @49.7200	66.25	0.66	0.00	0.66	80.0	0.53
06/28/2018	***-**-5945 - INFANTINO, ACCOUNT #: 04000722726	THE GROWTH FUND OF AMERICA-C BUY 8 Units @49.7200	400.00	4.00	0.00	4.00	80.0	3.20
06/29/2018	***-**-6000 - SMITH, KENNETH ACCOUNT #: 00083081099	THE GROWTH FUND OF AMERICA-C BUY 0 Units @49.9000	10.76	0.11	0.00	0.11	80.0	0.09
06/29/2018	***-**-9501 - SHALLENBERGER, ACCOUNT #: 00086494801	THE GROWTH FUND OF AMERICA-C BUY 0 Units @49.9000	16.65	0.17	0.00	0.17	80.0	0.14
06/29/2018	***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822	THE GROWTH FUND OF AMERICA-C BUY 1 Units @49.9000	28.20	0.28	0.00	0.28	80.0	0.22
06/29/2018	***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822	THE GROWTH FUND OF AMERICA-C BUY 3 Units @49.9000	141.00	1.41	0.00	1.41	80.0	1.13
06/29/2018	***-**-278J - GUERRIERI, JOHN ACCOUNT #: 00084395514	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 156 Units @12.8200	2,000.00	20.00	0.00	20.00	80.0	16.00
06/29/2018	***-**-51IN - GUERRIERI, KIM ACCOUNT #: 00085399151	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 78 Units @12.8200	1,000.00	10.00	0.00	10.00	80.0	8.00
06/29/2018	***-**-75UG - GUERRIERI, SOFIA ACCOUNT #: 00085399166	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 20 Units @12.8200	250.00	2.50	0.00	2.50	80.0	2.00
06/25/2018	***-**-398I - GUERRIERI, PAUL ACCOUNT #: 00086040659	WASHINGTON MUTUAL INVESTORS FUND-C BUY 3 Units @43.7800	150.00	1.50	0.00	1.50	80.0	1.20
06/25/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @43.7800	5.00	0.05	0.00	0.05	80.0	0.04
06/26/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @43.7500	40.00	0.40	0.00	0.40	80.0	0.32
06/29/2018	***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822	WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @43.7700	21.15	0.21	0.00	0.21	80.0	0.17



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/29/2018	***-**-4926 - HAUN, GARY	WASHINGTON MUTUAL INVESTORS FUND-C	105.75	1.06	0.00	1.06	80.0	0.85
	ACCOUNT #: 04000492822	BUY 2 Units @43.7700						
		* MUTUAL FUNDS SUBTOTAL *	\$18,920.86	\$214.76	\$9.00	\$205.76		\$164.63
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/09/2018	***-**-526I - CAVALLARO,	DREYFUS MF TRAILS	0.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 3360045601	BUY 0 Units @0.0000						
06/22/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$25.00	\$1.32	\$0.00	\$1.32		\$1.06
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
06/30/2018	***-**-56IN - MALIN, RUTH	533787818	0.00	49.32	0.00	49.32	80.0	39.46
	ACCOUNT #: 921063935	BUY 0 Units @0.0000						
06/30/2018	***-**-7336 - DELONG, SHIRLEY	533787818	0.00	92.93	0.00	92.93	80.0	74.34
	ACCOUNT #: 921065495	BUY 0 Units @0.0000						
06/30/2018	***-**-0846 - MORROW, DONNA	533787818	0.00	83.88	0.00	83.88	80.0	67.10
	ACCOUNT #: 921069429	BUY 0 Units @0.0000						
06/30/2018	***-**-97IN - WAGNER, PAUL	533787818	0.00	63.14	0.00	63.14	80.0	50.51
	ACCOUNT #: 921070651	BUY 0 Units @0.0000						
06/30/2018	***-**-68IN - TASCHETTO,	533787818	0.00	67.48	0.00	67.48	80.0	53.98
	ACCOUNT #: 921073961	BUY 0 Units @0.0000						
06/30/2018	***-**-68IN - TASCHETTO,	533787818	0.00	235.34	0.00	235.34	80.0	188.27
	ACCOUNT #: 921074365	BUY 0 Units @0.0000						
06/30/2018	***-**-3939 - ARBORE, MARIA	533787818	0.00	1,956.35	0.00	1,956.35	80.0	1,565.08
	ACCOUNT #: 921078066	BUY 0 Units @0.0000						
06/30/2018	***-**-44IN - GIULIANO, GEORGE	AS ADVISORS PLAN III	0.00	9.16	0.00	9.16	80.0	7.33
	ACCOUNT #: E0582476	BUY 0 Units @0.0000						
06/30/2018	***-**-44IN - GIULIANO, GEORGE	AS ADVISORS PLAN III	0.00	9.16	0.00	9.16	80.0	7.33
	ACCOUNT #: E0582476	BUY 0 Units @0.0000						
06/30/2018	***-**-04IN - KUBIAK, ANN	CHOICEPLUS ASSURANCE BONUS	0.00	43.32	0.00	43.32	80.0	34.66
	ACCOUNT #: 921065887	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
06/30/2018	***-**-5242 - RUES TRUST, ACCOUNT #: 921067171	CHOICEPLUS ASSURANCE BONUS BUY 0 Units @0.0000	0.00	136.49	0.00	136.49	80.0	109.19
06/30/2018	***-**-306I - SINCLAIR, ACCOUNT #: 921071862	CHOICEPLUS ASSURANCE BONUS BUY 0 Units @0.0000	0.00	172.65	0.00	172.65	80.0	138.12
06/30/2018	***-**-22IN - WAGNER, LAUREL ACCOUNT #: 921071872	CHOICEPLUS ASSURANCE BONUS BUY 0 Units @0.0000	0.00	79.09	0.00	79.09	80.0	63.27
06/30/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 921075689	CHOICEPLUS ASSURANCE BONUS BUY 0 Units @0.0000	0.00	65.83	0.00	65.83	80.0	52.66
06/30/2018	***-**-0242 - COZZO, JOSEPHINE ACCOUNT #: 921077310	CHOICEPLUS ASSURANCE BONUS BUY 0 Units @0.0000	0.00	729.77	0.00	729.77	80.0	583.82
06/30/2018	***-**-04IN - DIGIOVANNI, ACCOUNT #: 921077311	CHOICEPLUS ASSURANCE BONUS BUY 0 Units @0.0000	0.00	134.00	0.00	134.00	80.0	107.20
06/30/2018	***-**-50IN - DIGIOVANNI, ACCOUNT #: 921077312	CHOICEPLUS ASSURANCE BONUS BUY 0 Units @0.0000	0.00	43.72	0.00	43.72	80.0	34.98
06/30/2018	***-**-18IO - TAYLOR, GREGORY ACCOUNT #: 921078308	CHOICEPLUS ASSURANCE BONUS BUY 0 Units @0.0000	0.00	471.70	0.00	471.70	80.0	377.36
06/30/2018	***-**-58IO - WATT, JAMES ACCOUNT #: 921078514	CHOICEPLUS ASSURANCE BONUS BUY 0 Units @0.0000	0.00	119.00	0.00	119.00	80.0	95.20
06/30/2018	***-**-7981 - D'ANGELO, ACCOUNT #: 921039927	LINCOLN FIN VA TRAILS DTCC 53378542 BUY 0 Units @0.0000	0.00	46.18	0.00	46.18	80.0	36.94
06/30/2018	***-**-606A - REUS, MARTHA ACCOUNT #: 921040463	LINCOLN FIN VA TRAILS DTCC 53378542 BUY 0 Units @0.0000	0.00	247.30	0.00	247.30	80.0	197.84
06/30/2018	***-**-42IN - SANFILIPPO, ACCOUNT #: 921055159	LINCOLN FIN VA TRAILS DTCC 53378542 BUY 0 Units @0.0000	0.00	556.04	0.00	556.04	80.0	444.83
06/30/2018	***-**-88VA - PRZYLUCHE, LAUREN ACCOUNT #: 921056190	LINCOLN FIN VA TRAILS DTCC 53378542 BUY 0 Units @0.0000	0.00	16.76	0.00	16.76	80.0	13.41
06/30/2018	***-**-0504 - DIGIOVANNI, ACCOUNT #: 921056263	LINCOLN FIN VA TRAILS DTCC 53378542 BUY 0 Units @0.0000	0.00	57.37	0.00	57.37	80.0	45.90
06/30/2018	***-**-966I - RAGO, RICHARD ACCOUNT #: 921056587	LINCOLN FIN VA TRAILS DTCC 53378542 BUY 0 Units @0.0000	0.00	405.10	0.00	405.10	80.0	324.08
06/30/2018	***-**-4856 - MALIN, RUTH ACCOUNT #: 921056600	LINCOLN FIN VA TRAILS DTCC 53378542 BUY 0 Units @0.0000	0.00	29.50	0.00	29.50	80.0	23.60



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
06/30/2018	***-**-4856 - MALIN, RUTH ACCOUNT #: 921056599	LINCOLN FIN VA TRAILS DTCC 53378545 BUY 0 Units @0.0000	0.00	29.26	0.00	29.26	80.0	23.41
06/30/2018	***-**-0510 - KIMBLE, LONNY ACCOUNT #: E1832087	PRUDENTIAL VA 74430T119 BUY 0 Units @0.0000	0.00	1,300.32	0.00	1,300.32	80.0	1,040.26
06/30/2018	***-**-20VA - ACCOUNT #: E1490657	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	167.52	0.00	167.52	80.0	134.02
06/30/2018	***-**-54VA - FANTAUZZO, EVA ACCOUNT #: E1438479	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	2.37	0.00	2.37	80.0	1.90
06/30/2018	***-**-503J - OBI, JEAN ACCOUNT #: E1441415	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	75.41	0.00	75.41	80.0	60.33
06/30/2018	***-**-7633 - FREDERICO, LEE ACCOUNT #: E1387199	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	50.36	0.00	50.36	80.0	40.29
06/30/2018	***-**-339I - SANFILIPPO, ACCOUNT #: E1313282	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	226.96	0.00	226.96	80.0	181.57
06/30/2018	***-**-7470 - SANFILIPPO, JOHN ACCOUNT #: E1313272	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	175.70	0.00	175.70	80.0	140.56
06/30/2018	***-**-928I - SPALLINA, ACCOUNT #: E1289944	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	41.31	0.00	41.31	80.0	33.05
06/30/2018	***-**-9360 - SPALLINA, DIANE ACCOUNT #: E1289869	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	37.77	0.00	37.77	80.0	30.22
06/30/2018	***-**-214I - RUSH, ROBERT ACCOUNT #: E1071856	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	47.06	0.00	47.06	80.0	37.65
06/30/2018	***-**-0242 - COZZO, JOSEPHINE ACCOUNT #: E1120418	PRUDENTIAL VA TRAILS 74429P128 BUY 0 Units @0.0000	0.00	47.57	0.00	47.57	80.0	38.06
06/30/2018	***-**-274I - ROBERTS, ADELLA ACCOUNT #: E0728348	PRUDENTIAL VA TRAILS 74430A227 BUY 0 Units @0.0000	0.00	17.59	0.00	17.59	80.0	14.07
06/30/2018	***-**-307I - SCARPULLA, MARY ACCOUNT #: E0559154	PRUDENTIAL VA TRAILS 74430A227 BUY 0 Units @0.0000	0.00	82.95	0.00	82.95	80.0	66.36
06/30/2018	***-**-307I - SCARPULLA, MARY ACCOUNT #: E0559154	PRUDENTIAL VA TRAILS 74430A227 BUY 0 Units @0.0000	0.00	82.95	0.00	82.95	80.0	66.36
06/30/2018	***-**-5564 - BAUSCH, LEE ACCOUNT #: E0397149	PRUDENTIAL VA TRAILS 74430A227 BUY 0 Units @0.0000	0.00	26.96	0.00	26.96	80.0	21.57



COMMISSION STATEMENT

July 09, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
06/30/2018	***-**-5564 - BAUSCH, LEE	PRUDENTIAL VA TRAILS 74430A227	0.00	26.96	0.00	26.96	80.0	21.57
	ACCOUNT #: E0397149	BUY 0 Units @0.0000						
06/30/2018	***-**-598P - OLIVERI, ANNA	PRUDENTIAL VA TRAILS 74430A227	0.00	4.64	0.00	4.64	80.0	3.71
	ACCOUNT #: 000640985	BUY 0 Units @0.0000						
06/30/2018	***-**-598P - OLIVERI, ANNA	PRUDENTIAL VA TRAILS 74430A227	0.00	4.64	0.00	4.64	80.0	3.71
	ACCOUNT #: 000640985	BUY 0 Units @0.0000						
06/30/2018	***-**-054P - FANTAUZZO, EVA	PRUDENTIAL VA TRAILS 74430A227	0.00	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 000619108	BUY 0 Units @0.0000						
06/30/2018	***-**-054P - FANTAUZZO, EVA	PRUDENTIAL VA TRAILS 74430A227	0.00	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 000619108	BUY 0 Units @0.0000						
06/30/2018	***-**-1926 - VERCRUYSE,	PRUDENTIAL VA TRAILS 74430A227	0.00	22.34	0.00	22.34	80.0	17.87
	ACCOUNT #: 000618899	BUY 0 Units @0.0000						
06/30/2018	***-**-1926 - VERCRUYSE,	PRUDENTIAL VA TRAILS 74430A227	0.00	22.34	0.00	22.34	80.0	17.87
	ACCOUNT #: 000618899	BUY 0 Units @0.0000						
06/30/2018	***-**-0008 - COZZO, LUIGI	PRUDENTIAL VA TRAILS 74430A235	0.00	269.33	0.00	269.33	80.0	215.46
	ACCOUNT #: E0977978	BUY 0 Units @0.0000						
06/30/2018	***-**-0008 - COZZO, LUIGI	PRUDENTIAL VA TRAILS 74430A235	0.00	67.33	0.00	67.33	80.0	53.86
	ACCOUNT #: E0977978	BUY 0 Units @0.0000						
06/30/2018	***-**-0242 - COZZO, JOSEPHINE	PRUDENTIAL VA TRAILS 74430A235	0.00	240.16	0.00	240.16	80.0	192.13
	ACCOUNT #: E0939612	BUY 0 Units @0.0000						
06/30/2018	***-**-0242 - COZZO, JOSEPHINE	PRUDENTIAL VA TRAILS 74430A235	0.00	60.04	0.00	60.04	80.0	48.03
	ACCOUNT #: E0939612	BUY 0 Units @0.0000						
06/30/2018	***-**-291A - HERTZEL,	PRUDENTIAL VA TRAILS 74430A235	0.00	133.66	0.00	133.66	80.0	106.93
	ACCOUNT #: E0781856	BUY 0 Units @0.0000						
06/30/2018	***-**-291A - HERTZEL,	PRUDENTIAL VA TRAILS 74430A235	0.00	44.55	0.00	44.55	80.0	35.64
	ACCOUNT #: E0781856	BUY 0 Units @0.0000						
06/30/2018	***-**-7981 - D'ANGELO,	PRUDENTIAL VA TRAILS 74430A235	0.00	694.88	0.00	694.88	80.0	555.90
	ACCOUNT #: E0731699	BUY 0 Units @0.0000						
06/30/2018	***-**-7981 - D'ANGELO,	PRUDENTIAL VA TRAILS 74430A235	0.00	173.72	0.00	173.72	80.0	138.98
	ACCOUNT #: E0731699	BUY 0 Units @0.0000						
07/09/2018	***-**-1314 - BENEDETTO,	QUALIFIED PLAN SUMMARY	0.00	94.90	0.00	94.90	80.0	75.92
	ACCOUNT #: 921065489	BUY 0 Units @0.0000						



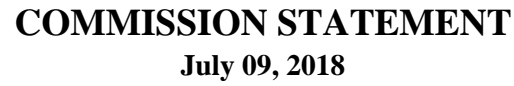
COMMISSION STATEMENT

July 09, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: WILLIAM ROBERTS (continued)</u>								
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$10,197.45	\$0.00	\$10,197.45		\$8,157.98
		*** BROKER TRANSACTIONS TOTAL ***	\$18,945.86	\$10,413.53	\$9.00	\$10,404.53		\$8,323.67
 <u>BROKER: WILLIAM ROBERTS</u>								
06/30/2018	***-**-904R - PRZYLUCHE, ACCOUNT #: E1434564	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	53.00	0.00	53.00	80.0	42.40
06/30/2018	***-**-904I - PRZYLUCHE, ACCOUNT #: E1331165	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	97.53	0.00	97.53	80.0	78.02
06/30/2018	***-**-47IN - SIDARE, ANDREA ACCOUNT #: E1190180	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	42.99	0.00	42.99	80.0	34.39
06/30/2018	***-**-729I - PRZYLUCHE, PHILIP ACCOUNT #: E1094963	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	4.57	0.00	4.57	80.0	3.66
06/30/2018	***-**-904I - PRZYLUCHE, ACCOUNT #: E1091145	PRUDENTIAL VA TRAILS 74429P110 BUY 0 Units @0.0000	0.00	35.96	0.00	35.96	80.0	28.77
		* WILLIAM ROBERTS SUBTOTAL *	\$0.00	\$234.05	\$0.00	\$234.05		\$187.24
		*** SPLIT TRANSACTIONS TOTAL ***	\$0.00	\$234.05	\$0.00	\$234.05		\$187.24



BROKER #: 417
BRANCH #01016 - 16 - CASPER

				GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
							Broker Transactions	\$8,323.67
							Split Transactions	\$187.24
							Override Transactions	\$0.00
							Adjustments	\$0.00
							Payroll Draw	\$0.00
							Base Salary	\$0.00
							Prior Period Balance	\$6.78
							FINRA Assessment	\$0.00
							SIPC Assessment	\$0.00
							Net Commission Earned	\$8,517.69
							Year-to-date Earnings	\$132,598.46