



Concorde Investment Services, LLC

05/11/2018

Page No. 1

COMMISSION STATEMENT**May 15, 2018**

JEN-BRENT-BRION TRU-JBB DONAIS-L

BROKER #: JBB

BRANCH: TRUWEALTH FINANCIAL-

, MI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>CLEARING TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^05/09/2018 | NEWBERRY TRUST, GLORIA | FROST TOTAL RETURN BOND FUND INVEST | -5,000.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^05/09/2018 | NEWBERRY TRUST, GLORIA | VANGUARD INFL-PROTECTED SECS | -5,982.19 | 0.00 | 22.00 | -22.00 | 100.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$-10,982.19 | \$0.00 | \$22.00 | \$-22.00 | | \$0.00 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | \$-10,982.19 | \$0.00 | \$22.00 | \$-22.00 | | \$0.00 |

| | | | | | | | | |
|--|--------------------|----------------------------|-----------|--------|------|--------|-------|------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| ^01/08/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 1,734.70 | 39.03 | 0.00 | 39.03 | 100.0 | 0.00 |
| ^01/08/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 641.42 | 14.44 | 0.00 | 14.44 | 100.0 | 0.00 |
| ^01/08/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 554.78 | 12.48 | 0.00 | 12.48 | 100.0 | 0.00 |
| ^01/08/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 538.50 | 12.11 | 0.00 | 12.11 | 100.0 | 0.00 |
| ^01/08/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | -1,734.70 | -39.03 | 0.00 | -39.03 | 100.0 | 0.00 |
| ^01/17/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 1,777.40 | 39.99 | 0.00 | 39.99 | 100.0 | 0.00 |
| ^01/17/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 675.28 | 15.19 | 0.00 | 15.19 | 100.0 | 0.00 |
| ^01/17/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 616.43 | 13.89 | 0.00 | 13.89 | 100.0 | 0.00 |
| ^01/17/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 485.69 | 10.91 | 0.00 | 10.91 | 100.0 | 0.00 |
| ^01/17/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | -1,777.40 | -39.99 | 0.00 | -39.99 | 100.0 | 0.00 |
| ^01/23/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 633.30 | 14.26 | 0.00 | 14.26 | 100.0 | 0.00 |
| ^01/23/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 587.74 | 13.21 | 0.00 | 13.21 | 100.0 | 0.00 |
| ^01/23/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 578.98 | 13.03 | 0.00 | 13.03 | 100.0 | 0.00 |
| ^01/23/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | -1,800.02 | -40.50 | 0.00 | -40.50 | 100.0 | 0.00 |
| ^01/23/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 1,800.02 | 40.50 | 0.00 | 40.50 | 100.0 | 0.00 |
| ^01/29/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 1,774.27 | 39.92 | 0.00 | 39.92 | 100.0 | 0.00 |
| ^01/29/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 650.39 | 14.64 | 0.00 | 14.64 | 100.0 | 0.00 |
| ^01/29/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 593.62 | 13.36 | 0.00 | 13.36 | 100.0 | 0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------|----------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| ^01/29/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 530.26 | 11.92 | 0.00 | 11.92 | 100.0 | 0.00 |
| ^01/29/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | -1,774.27 | -39.92 | 0.00 | -39.92 | 100.0 | 0.00 |
| ^02/06/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 1,748.66 | 39.34 | 0.00 | 39.34 | 100.0 | 0.00 |
| ^02/06/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 617.83 | 13.90 | 0.00 | 13.90 | 100.0 | 0.00 |
| ^02/06/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 592.57 | 13.33 | 0.00 | 13.33 | 100.0 | 0.00 |
| ^02/06/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 538.26 | 12.11 | 0.00 | 12.11 | 100.0 | 0.00 |
| ^02/06/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | -1,748.66 | -39.34 | 0.00 | -39.34 | 100.0 | 0.00 |
| ^02/12/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 626.12 | 14.07 | 0.00 | 14.07 | 100.0 | 0.00 |
| ^02/12/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 580.09 | 13.07 | 0.00 | 13.07 | 100.0 | 0.00 |
| ^02/12/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 502.63 | 11.31 | 0.00 | 11.31 | 100.0 | 0.00 |
| ^02/12/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | -1,708.84 | -38.45 | 0.00 | -38.45 | 100.0 | 0.00 |
| ^02/12/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 1,708.84 | 38.45 | 0.00 | 38.45 | 100.0 | 0.00 |
| ^02/20/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 1,619.06 | 36.43 | 0.00 | 36.43 | 100.0 | 0.00 |
| ^02/20/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 632.20 | 14.23 | 0.00 | 14.23 | 100.0 | 0.00 |
| ^02/20/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 523.52 | 11.77 | 0.00 | 11.77 | 100.0 | 0.00 |
| ^02/20/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 463.34 | 10.43 | 0.00 | 10.43 | 100.0 | 0.00 |
| ^02/20/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | -1,619.06 | -36.43 | 0.00 | -36.43 | 100.0 | 0.00 |
| ^02/27/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 1,445.98 | 32.53 | 0.00 | 32.53 | 100.0 | 0.00 |
| ^02/27/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 517.65 | 11.64 | 0.00 | 11.64 | 100.0 | 0.00 |
| ^02/27/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 465.05 | 10.46 | 0.00 | 10.46 | 100.0 | 0.00 |
| ^02/27/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 463.28 | 10.43 | 0.00 | 10.43 | 100.0 | 0.00 |
| ^02/27/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | -1,445.98 | -32.53 | 0.00 | -32.53 | 100.0 | 0.00 |
| ^02/28/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 406,481.19 | 254.06 | 0.00 | 254.06 | 100.0 | 0.00 |
| ^02/28/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 233,755.41 | 146.09 | 0.00 | 146.09 | 100.0 | 0.00 |
| ^02/28/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 47,108.49 | 29.44 | 0.00 | 29.44 | 100.0 | 0.00 |
| ^03/06/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 513.90 | 11.57 | 0.00 | 11.57 | 100.0 | 0.00 |
| ^03/06/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 513.21 | 11.54 | 0.00 | 11.54 | 100.0 | 0.00 |
| ^03/06/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 461.43 | 10.38 | 0.00 | 10.38 | 100.0 | 0.00 |
| ^03/13/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 1,244.52 | 28.00 | 0.00 | 28.00 | 100.0 | 0.00 |
| ^03/13/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 466.34 | 10.50 | 0.00 | 10.50 | 100.0 | 0.00 |
| ^03/13/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 410.14 | 9.22 | 0.00 | 9.22 | 100.0 | 0.00 |
| ^03/19/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 1,512.49 | 34.03 | 0.00 | 34.03 | 100.0 | 0.00 |
| ^03/19/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 1,309.55 | 29.46 | 0.00 | 29.46 | 100.0 | 0.00 |

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|------------------------|-------------------------------------|-----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| ^03/19/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 1,203.74 | 27.09 | 0.00 | 27.09 | 100.0 | 0.00 |
| ^03/26/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 1,272.78 | 28.66 | 0.00 | 28.66 | 100.0 | 0.00 |
| ^03/26/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 277.51 | 6.23 | 0.00 | 6.23 | 100.0 | 0.00 |
| ^03/26/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 263.16 | 5.91 | 0.00 | 5.91 | 100.0 | 0.00 |
| ^03/31/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 412,213.69 | 309.19 | 0.00 | 309.19 | 100.0 | 0.00 |
| ^03/31/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 321,193.41 | 240.89 | 0.00 | 240.89 | 100.0 | 0.00 |
| ^03/31/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 37,372.60 | 28.02 | 0.00 | 28.02 | 100.0 | 0.00 |
| ^03/31/2018 | ZAK MEDICAL CORP | PRINCIPAL LIFE FLEX INV VA | 323,713.58 | 323.73 | 0.00 | 323.73 | 100.0 | 0.00 |
| ^03/31/2018 | ZAK MEDICAL CORP | PRINCIPAL LIFE FLEX INV VA | 245,300.53 | 245.29 | 0.00 | 245.29 | 100.0 | 0.00 |
| ^03/31/2018 | ZAK MEDICAL CORP | PRINCIPAL LIFE FLEX INV VA | 38,124.32 | 38.12 | 0.00 | 38.12 | 100.0 | 0.00 |
| ^04/02/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 1,199.39 | 26.99 | 0.00 | 26.99 | 100.0 | 0.00 |
| ^04/02/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 292.93 | 6.59 | 0.00 | 6.59 | 100.0 | 0.00 |
| ^04/02/2018 | RED RIVER OILFIELD | PRINCIPAL LIFE FLEX INV VA | 278.18 | 6.26 | 0.00 | 6.26 | 100.0 | 0.00 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$2,090,091.42 | \$2,173.45 | \$0.00 | \$2,173.45 | | \$0.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| ^04/19/2018 | GALINDO, TAMORA | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 1.67 | 0.00 | 1.67 | 100.0 | 0.00 |
| ^04/19/2018 | ERNST, TERRI | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 0.37 | 0.00 | 0.37 | 100.0 | 0.00 |
| ^04/19/2018 | GALINDO, TAMORA | BLK HIGH YIELD BOND A | 0.00 | 0.47 | 0.00 | 0.47 | 100.0 | 0.00 |
| ^04/20/2018 | GALINDO, TAMORA | FIDELITY ADVISOR STRATEGIC DIVIDEND | 0.00 | 0.78 | 0.00 | 0.78 | 100.0 | 0.00 |
| ^04/18/2018 | NEWBERRY TRUST, GLORIA | INVESCO GROWTH AND INCOME FUND CLAS | 0.00 | 0.55 | 0.00 | 0.55 | 100.0 | 0.00 |
| ^04/17/2018 | NEWBERRY, GLORIA | PRINCIPAL INVESTORS INCOME A | 0.00 | 0.61 | 0.00 | 0.61 | 100.0 | 0.00 |
| ^04/17/2018 | NEWBERRY, GLORIA | PRINCIPAL S A M CONSERVATIVE BALANC | 0.00 | 5.15 | 0.00 | 5.15 | 100.0 | 0.00 |
| ^04/25/2018 | ERNST, TERRI | TOUCHSTONE SMALL COMPANY FUND CLASS | 0.00 | 0.50 | 0.00 | 0.50 | 100.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$10.10 | \$0.00 | \$10.10 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$2,090,091.42 | \$2,183.55 | \$0.00 | \$2,183.55 | | \$0.00 |

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** - Indicates adjustment is not included in Totals or Commissions

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BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.07 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|---------------|
| Balance Forward | \$0.07 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |