

**COMMISSION STATEMENT****April 30, 2018**KEITH, L128 LAYMAN
4321 FlagstaffBROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
04/16/2018	JORDAN, NEAL	CLS INVESTMENTS	18,935.31	47.21	0.00	47.21	80.0	37.77
04/16/2018	JORDAN, NEAL	CLS INVESTMENTS	6,460.07	14.26	0.00	14.26	80.0	11.41
04/16/2018	JORDAN, NEAL	CLS INVESTMENTS	20,644.85	53.31	0.00	53.31	80.0	42.65
04/16/2018	JORDAN, PAM	CLS INVESTMENTS	19,605.47	48.88	0.00	48.88	80.0	39.10
04/16/2018	AMBRIOLE, WESLEY	CLS INVESTMENTS	13,324.57	33.22	0.00	33.22	80.0	26.58
04/16/2018	AMBRIOLE, WESLEY	CLS INVESTMENTS	4,094.28	10.21	0.00	10.21	80.0	8.17
04/16/2018	AMBRIOLE, WESLEY	CLS INVESTMENTS	4,993.39	10.75	0.00	10.75	80.0	8.60
04/16/2018	AMBRIOLE, WESLEY	CLS INVESTMENTS	49,999.99	126.36	0.00	126.36	80.0	101.09
04/16/2018	ARCHBOLD, DANNY	CLS INVESTMENTS	7,450.56	18.58	0.00	18.58	80.0	14.86
04/16/2018	ARCHBOLD, PAMELA	CLS INVESTMENTS	14,251.93	35.53	0.00	35.53	80.0	28.42
04/16/2018	ARCHBOLD, PAMELA	CLS INVESTMENTS	10,372.65	25.86	0.00	25.86	80.0	20.69
04/16/2018	ARCHBOLD, DANNY	CLS INVESTMENTS	4,915.99	12.26	0.00	12.26	80.0	9.81
04/16/2018	BAILEY, KEVIN	CLS INVESTMENTS	59,689.21	138.64	0.00	138.64	80.0	110.91
04/16/2018	BAILEY, KEVIN	CLS INVESTMENTS	49,999.99	134.83	0.00	134.83	80.0	107.86
04/16/2018	BAILEY, BRUCE	CLS INVESTMENTS	58,768.26	142.23	0.00	142.23	80.0	113.78
04/16/2018	BAILEY, BRUCE	CLS INVESTMENTS	24,303.17	64.88	0.00	64.88	80.0	51.90
04/16/2018	BAILEY, LOIS	CLS INVESTMENTS	62,138.28	150.39	0.00	150.39	80.0	120.31
04/16/2018	BAILEY, LOIS	CLS INVESTMENTS	25,696.82	68.60	0.00	68.60	80.0	54.88
04/16/2018	BUNN, LINDA	CLS INVESTMENTS	8,419.23	20.99	0.00	20.99	80.0	16.79
04/16/2018	BYRUM, GERALD	CLS INVESTMENTS	98,879.99	238.89	0.00	238.89	80.0	191.11
04/16/2018	BYRUM, GERALD	CLS INVESTMENTS	25,748.10	71.83	0.00	71.83	80.0	57.46
04/16/2018	BYRUM, GERALD	CLS INVESTMENTS	93,134.13	225.00	0.00	225.00	80.0	180.00
04/16/2018	BYRUM, GERALD	CLS INVESTMENTS	24,251.89	67.66	0.00	67.66	80.0	54.13
04/16/2018	CONTI, JAMES	CLS INVESTMENTS	41,082.09	102.42	0.00	102.42	80.0	81.94
04/16/2018	DAVID, SCOTT	CLS INVESTMENTS	24,293.53	65.51	0.00	65.51	80.0	52.41
04/16/2018	DAVID, SCOTT	CLS INVESTMENTS	30,696.81	71.30	0.00	71.30	80.0	57.04

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/16/2018	DAVID, SCOTT	CLS INVESTMENTS	25,706.46	69.32	0.00	69.32	80.0	55.46
04/16/2018	DAVID, SCOTT	CLS INVESTMENTS	29,009.58	67.38	0.00	67.38	80.0	53.90
04/16/2018	EAGLESON, ROSS	CLS INVESTMENTS	20,965.35	46.74	0.00	46.74	80.0	37.39
04/16/2018	EAGLESON, ROSS	CLS INVESTMENTS	49,999.99	130.18	0.00	130.18	80.0	104.14
04/16/2018	EAGLESON, ROSS	CLS INVESTMENTS	29,803.58	70.41	0.00	70.41	80.0	56.33
04/16/2018	EAGLESON, ROSS	CLS INVESTMENTS	15,987.00	43.74	0.00	43.74	80.0	34.99
04/16/2018	EAGLESON, MARTHA	CLS INVESTMENTS	26,584.95	62.81	0.00	62.81	80.0	50.25
04/16/2018	EAGLESON, MARTHA	CLS INVESTMENTS	14,260.49	39.03	0.00	39.03	80.0	31.22
04/16/2018	EAGLESON, MARTHA	CLS INVESTMENTS	36,823.36	87.00	0.00	87.00	80.0	69.60
04/16/2018	EAGLESON, MARTHA	CLS INVESTMENTS	19,752.50	54.05	0.00	54.05	80.0	43.24
04/16/2018	EAGLESON, SAMUEL	CLS INVESTMENTS	28,950.36	65.32	0.00	65.32	80.0	52.26
04/16/2018	EAGLESON, SAMUEL	CLS INVESTMENTS	49,999.99	131.51	0.00	131.51	80.0	105.21
04/16/2018	FISCHER, HELEN	CLS INVESTMENTS	6,798.19	14.72	0.00	14.72	80.0	11.78
04/16/2018	FISCHER, HELEN	CLS INVESTMENTS	49,999.99	126.90	0.00	126.90	80.0	101.52
04/16/2018	FORTE, ANDREW	CLS INVESTMENTS	1,288.53	3.21	0.00	3.21	80.0	2.57
04/16/2018	GALENTINE, ASHLEY	CLS INVESTMENTS	47,549.49	112.47	0.00	112.47	80.0	89.98
04/16/2018	GALENTINE, ASHLEY	CLS INVESTMENTS	49,999.99	130.73	0.00	130.73	80.0	104.58
04/16/2018	GALENTINE, STEPHANIE	CLS INVESTMENTS	25,587.53	63.79	0.00	63.79	80.0	51.03
04/16/2018	GEVERINK, ANN	CLS INVESTMENTS	7,718.40	16.86	0.00	16.86	80.0	13.49
04/16/2018	GEVERINK, ANN	CLS INVESTMENTS	36,253.64	92.77	0.00	92.77	80.0	74.22
04/16/2018	GEVERINK, RAYMOND	CLS INVESTMENTS	2,926.60	6.39	0.00	6.39	80.0	5.11
04/16/2018	GEVERINK, RAYMOND	CLS INVESTMENTS	13,746.35	35.17	0.00	35.17	80.0	28.14
04/16/2018	GOULD, NORMAN	CLS INVESTMENTS	11,969.03	27.43	0.00	27.43	80.0	21.94
04/16/2018	GOULD, NORMAN	CLS INVESTMENTS	49,999.99	127.06	0.00	127.06	80.0	101.65
04/16/2018	GREENWALT, ROBIN	CLS INVESTMENTS	48,356.64	120.56	0.00	120.56	80.0	96.45
04/16/2018	HOLLIS, JAMES	CLS INVESTMENTS	33,806.73	78.87	0.00	78.87	80.0	63.10
04/16/2018	HOLLIS, JAMES	CLS INVESTMENTS	25,369.81	68.67	0.00	68.67	80.0	54.94
04/16/2018	HOLLIS, JAMES	CLS INVESTMENTS	32,821.14	76.56	0.00	76.56	80.0	61.25
04/16/2018	HOLLIS, JAMES	CLS INVESTMENTS	24,630.18	66.67	0.00	66.67	80.0	53.34
04/16/2018	HOLLIS, TONYUA	CLS INVESTMENTS	44,200.51	110.20	0.00	110.20	80.0	88.16
04/16/2018	HOUSER, TRACY	CLS INVESTMENTS	37,697.34	88.62	0.00	88.62	80.0	70.90
04/16/2018	HOUSER, TRACY	CLS INVESTMENTS	49,999.99	130.01	0.00	130.01	80.0	104.01
04/16/2018	ISLEY, CURTIS	CLS INVESTMENTS	34,349.61	85.64	0.00	85.64	80.0	68.51

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/16/2018	JOHNSON, JAMES	CLS INVESTMENTS	17,215.23	42.92	0.00	42.92	80.0	34.34
04/16/2018	JOHNSON, AMY	CLS INVESTMENTS	6,432.58	16.04	0.00	16.04	80.0	12.83
04/16/2018	KAUFFMAN, KATIE	CLS INVESTMENTS	36,133.94	90.09	0.00	90.09	80.0	72.07
04/16/2018	KAUFFMAN, KATIE	CLS INVESTMENTS	9,880.08	24.63	0.00	24.63	80.0	19.70
04/16/2018	LESHER, DAVID	CLS INVESTMENTS	52,967.56	125.65	0.00	125.65	80.0	100.52
04/16/2018	LESHER, DAVID	CLS INVESTMENTS	25,330.86	69.57	0.00	69.57	80.0	55.66
04/16/2018	LESHER, DAVID	CLS INVESTMENTS	51,583.86	122.37	0.00	122.37	80.0	97.90
04/16/2018	LESHER, DAVID	CLS INVESTMENTS	24,669.13	67.75	0.00	67.75	80.0	54.20
04/16/2018	LIPSCOMB, SAMUEL	CLS INVESTMENTS	69,955.03	163.50	0.00	163.50	80.0	130.80
04/16/2018	LIPSCOMB, SAMUEL	CLS INVESTMENTS	49,999.99	135.56	0.00	135.56	80.0	108.45
04/16/2018	MAIERS, GREGORY	CLS INVESTMENTS	3,173.25	7.17	0.00	7.17	80.0	5.74
04/16/2018	MAIERS, GREGORY	CLS INVESTMENTS	49,999.99	125.39	0.00	125.39	80.0	100.31
04/16/2018	MARTIN, REBECCA	CLS INVESTMENTS	28,281.34	70.51	0.00	70.51	80.0	56.41
04/16/2018	MURPHY, SUSAN	CLS INVESTMENTS	46,423.63	115.74	0.00	115.74	80.0	92.59
04/16/2018	PARROT, CHARLES	CLS INVESTMENTS	37,769.57	94.17	0.00	94.17	80.0	75.34
04/16/2018	PARROT, JUDITH	CLS INVESTMENTS	17,438.18	43.47	0.00	43.47	80.0	34.78
04/16/2018	PEE, MICHAEL	CLS INVESTMENTS	27,363.36	63.81	0.00	63.81	80.0	51.05
04/16/2018	PEE, MICHAEL	CLS INVESTMENTS	49,999.99	129.06	0.00	129.06	80.0	103.25
04/16/2018	PEPPLE, GLEN	CLS INVESTMENTS	168,236.86	405.02	0.00	405.02	80.0	324.02
04/16/2018	PEPPLE, GLEN	CLS INVESTMENTS	49,999.99	139.07	0.00	139.07	80.0	111.26
04/16/2018	RAMEY, TRAVIS	CLS INVESTMENTS	516.88	1.29	0.00	1.29	80.0	1.03
04/16/2018	RAMEY, JENNIFER	CLS INVESTMENTS	513.17	1.28	0.00	1.28	80.0	1.02
04/16/2018	RAMEY, TRAVIS	CLS INVESTMENTS	43,500.95	108.46	0.00	108.46	80.0	86.77
04/16/2018	REESE, BARRY	CLS INVESTMENTS	33,783.45	84.23	0.00	84.23	80.0	67.38
04/16/2018	REMENSCHNEIDER, MARY	CLS INVESTMENTS	5,553.03	12.48	0.00	12.48	80.0	9.98
04/16/2018	REMENSCHNEIDER, MARY	CLS INVESTMENTS	10,560.45	27.70	0.00	27.70	80.0	22.16
04/16/2018	REMENSCHNEIDER, MARY	CLS INVESTMENTS	20,738.58	46.62	0.00	46.62	80.0	37.30
04/16/2018	REMENSCHNEIDER, MARY	CLS INVESTMENTS	39,439.54	103.41	0.00	103.41	80.0	82.73
04/16/2018	RUSK, RANDALL	CLS INVESTMENTS	27,121.42	67.62	0.00	67.62	80.0	54.10
04/16/2018	SCHISLER, SHARON	CLS INVESTMENTS	15,369.24	38.32	0.00	38.32	80.0	30.66
04/16/2018	SENGER, LYNDIA	CLS INVESTMENTS	1,370.69	2.92	0.00	2.92	80.0	2.34
04/16/2018	SENGER, LYNDIA	CLS INVESTMENTS	49,999.99	125.15	0.00	125.15	80.0	100.12
04/16/2018	SHEARIN, DAVID	CLS INVESTMENTS	74,934.30	179.34	0.00	179.34	80.0	143.47

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/16/2018	SHEARIN, DAVID	CLS INVESTMENTS	49,999.99	132.13	0.00	132.13	80.0	105.70
04/16/2018	SHERBURNE, SUSAN	CLS INVESTMENTS	49,252.92	122.79	0.00	122.79	80.0	98.23
04/16/2018	SIEBER, AARON	CLS INVESTMENTS	109,904.13	265.44	0.00	265.44	80.0	212.35
04/16/2018	SIEBER, AARON	CLS INVESTMENTS	49,999.99	133.22	0.00	133.22	80.0	106.58
04/16/2018	SLIGER, RUSTY	CLS INVESTMENTS	12,411.42	30.94	0.00	30.94	80.0	24.75
04/16/2018	SORG, BECKY	CLS INVESTMENTS	15,415.35	35.08	0.00	35.08	80.0	28.06
04/16/2018	SORG, BECKY	CLS INVESTMENTS	21,515.99	57.00	0.00	57.00	80.0	45.60
04/16/2018	SORG, BECKY	CLS INVESTMENTS	20,407.66	46.43	0.00	46.43	80.0	37.14
04/16/2018	SORG, BECKY	CLS INVESTMENTS	28,484.00	75.46	0.00	75.46	80.0	60.37
04/16/2018	TRACEY, JAMES	CLS INVESTMENTS	28,871.58	65.14	0.00	65.14	80.0	52.11
04/16/2018	TRACEY, JAMES	CLS INVESTMENTS	49,999.99	131.50	0.00	131.50	80.0	105.20
04/16/2018	TRESSLER, KENT	CLS INVESTMENTS	12,216.36	26.78	0.00	26.78	80.0	21.42
04/16/2018	TRESSLER, KENT	CLS INVESTMENTS	49,999.99	128.32	0.00	128.32	80.0	102.66
04/16/2018	TULLOCH, CAROLYN	CLS INVESTMENTS	33,026.54	82.34	0.00	82.34	80.0	65.87
04/16/2018	VAN AUKEN, KAREN	CLS INVESTMENTS	34,068.04	79.89	0.00	79.89	80.0	63.91
04/16/2018	VAN AUKEN, KAREN	CLS INVESTMENTS	49,999.99	129.71	0.00	129.71	80.0	103.77
04/16/2018	WEAVER, JEFFREY	CLS INVESTMENTS	15,084.43	37.61	0.00	37.61	80.0	30.09
04/16/2018	WEIDES, MARK	CLS INVESTMENTS	9,989.11	24.90	0.00	24.90	80.0	19.92
04/16/2018	WEIDES, MARK	CLS INVESTMENTS	29,104.29	69.16	0.00	69.16	80.0	55.33
04/16/2018	WEIDES, MARK	CLS INVESTMENTS	25,550.47	67.09	0.00	67.09	80.0	53.67
04/16/2018	WEIDES, LISA	CLS INVESTMENTS	16,010.18	38.05	0.00	38.05	80.0	30.44
04/16/2018	WEIDES, LISA	CLS INVESTMENTS	14,055.24	36.91	0.00	36.91	80.0	29.53
04/16/2018	WEIDES, LISA	CLS INVESTMENTS	12,245.18	30.53	0.00	30.53	80.0	24.42
04/16/2018	WILLING, AARON	CLS INVESTMENTS	39,961.45	99.63	0.00	99.63	80.0	79.70
04/25/2018	ALLAN, BETTY	ITS MANAGEMENT	41,786.82	78.14	0.00	78.14	80.0	62.51
04/25/2018	BLAIR, BRIAN	ITS MANAGEMENT	38,969.60	97.16	0.00	97.16	80.0	77.73
04/25/2018	BRUNTON, RYAN	ITS MANAGEMENT	57,200.07	106.96	0.00	106.96	80.0	85.57
04/25/2018	CLARK, KATHLEEN	ITS MANAGEMENT	23,814.20	44.53	0.00	44.53	80.0	35.62
04/25/2018	SMITH, DAWN	ITS MANAGEMENT	38,427.98	95.81	0.00	95.81	80.0	76.65
04/25/2018	DISLER, SHARON	ITS MANAGEMENT	32,112.25	60.05	0.00	60.05	80.0	48.04
04/25/2018	ELLIOTT, RANDALL	ITS MANAGEMENT	73,207.75	91.26	0.00	91.26	80.0	73.01
04/25/2018	HUESMAN, PATRICIA	ITS MANAGEMENT	279,537.71	522.70	0.00	522.70	80.0	418.16
04/25/2018	JACKSON, NICK	ITS MANAGEMENT	41,626.94	103.78	0.00	103.78	80.0	83.02

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/25/2018	JAMSCO INC	ITS MANAGEMENT	229,416.05	285.99	0.00	285.99	80.0	228.79
04/25/2018	LAYMAN, ROBERT	ITS MANAGEMENT	3,063.63	3.78	0.00	3.78	80.0	3.02
04/25/2018	MCBRIDE, KEITH	ITS MANAGEMENT	58,428.69	145.67	0.00	145.67	80.0	116.54
04/25/2018	MYERS, HELEN	ITS MANAGEMENT	14,496.50	18.07	0.00	18.07	80.0	14.46
04/25/2018	OAR, WARREN	ITS MANAGEMENT	196,550.66	612.54	0.00	612.54	80.0	490.03
04/25/2018	RICHARDSON, AMY	ITS MANAGEMENT	69,258.65	129.51	0.00	129.51	80.0	103.61
04/25/2018	RICHARDSON, JARRED	ITS MANAGEMENT	67,734.32	126.66	0.00	126.66	80.0	101.33
04/25/2018	ROBINSON, DAVID	ITS MANAGEMENT	28,837.94	35.59	0.00	35.59	80.0	28.47
04/25/2018	SMITH, BRENT	ITS MANAGEMENT	23,905.20	44.70	0.00	44.70	80.0	35.76
04/25/2018	SMITH, NICOLE	ITS MANAGEMENT	44,252.46	110.33	0.00	110.33	80.0	88.26
04/25/2018	STENDAHL, RANDALL	ITS MANAGEMENT	31,406.46	58.73	0.00	58.73	80.0	46.98
04/25/2018	WILLIAMS, BARBARA	ITS MANAGEMENT	32,802.11	40.89	0.00	40.89	80.0	32.71
04/20/2018	PEE, MICHAEL	QUARTERLY FEE	0.00	185.28	0.00	185.28	80.0	148.22
04/13/2018	BERNDT, JAMIE	TD AMERITRADE	33,330.74	41.55	0.00	41.55	80.0	33.24
04/13/2018	BERNDT, TODD	TD AMERITRADE	242,950.96	302.86	0.00	302.86	80.0	242.29
04/13/2018	REMENSCHNEIDER, DAVID	TD AMERITRADE	348,658.22	869.26	0.00	869.26	80.0	695.41
04/13/2018	GALENTINE, JOSHUA	TD AMERITRADE	63,518.15	237.54	0.00	237.54	80.0	190.03
04/13/2018	WITMER, NEIL	TD AMERITRADE	50,048.43	187.17	0.00	187.17	80.0	149.74
04/16/2018	ARCHBOLD, DANNY	TD AMERITRADE	0.00	955.79	0.00	955.79	80.0	764.63
04/16/2018	BAILEY, BRUCE	TD AMERITRADE	0.00	730.37	0.00	730.37	80.0	584.30
04/16/2018	BERCOT, THERESA	TD AMERITRADE	0.00	413.71	0.00	413.71	80.0	330.97
04/16/2018	BERGER, LARRY	TD AMERITRADE	0.00	519.42	0.00	519.42	80.0	415.54
04/16/2018	BOYD, LARRY	TD AMERITRADE	0.00	171.53	0.00	171.53	80.0	137.22
04/16/2018	BOYD, BONNE	TD AMERITRADE	0.00	127.67	0.00	127.67	80.0	102.14
04/16/2018	BOYD, BONNE	TD AMERITRADE	0.00	113.01	0.00	113.01	80.0	90.41
04/16/2018	BOYD, LARRY	TD AMERITRADE	0.00	123.63	0.00	123.63	80.0	98.90
04/16/2018	BRUNTON, WILLIAM	TD AMERITRADE	0.00	349.72	0.00	349.72	80.0	279.78
04/16/2018	BUSH, RANDALL	TD AMERITRADE	0.00	402.71	0.00	402.71	80.0	322.17
04/16/2018	BUSH, SANDRA	TD AMERITRADE	0.00	494.47	0.00	494.47	80.0	395.58
04/16/2018	BYRUM JR, GERALD	TD AMERITRADE	0.00	144.75	0.00	144.75	80.0	115.80
04/16/2018	CALKINS, BARBARA	TD AMERITRADE	0.00	60.54	0.00	60.54	80.0	48.43
04/16/2018	CLARK, PATRICIA	TD AMERITRADE	0.00	127.38	0.00	127.38	80.0	101.90
04/16/2018	CLARK, PATRICIA	TD AMERITRADE	0.00	131.87	0.00	131.87	80.0	105.50

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/16/2018	COLCORD, ALNETTA	TD AMERITRADE	0.00	872.21	0.00	872.21	80.0	697.77
04/16/2018	DAILEY, RITA	TD AMERITRADE	0.00	505.27	0.00	505.27	80.0	404.22
04/16/2018	DELAGARZA, MARK	TD AMERITRADE	0.00	263.96	0.00	263.96	80.0	211.17
04/16/2018	DERBYSHIRE, KAREN	TD AMERITRADE	0.00	511.00	0.00	511.00	80.0	408.80
04/16/2018	DERBYSHIRE, JAMES	TD AMERITRADE	0.00	255.80	0.00	255.80	80.0	204.64
04/16/2018	DIETSCH, RHONDA	TD AMERITRADE	0.00	170.16	0.00	170.16	80.0	136.13
04/16/2018	DIETSCH, DANIEL	TD AMERITRADE	0.00	183.93	0.00	183.93	80.0	147.14
04/16/2018	DILLIE, MAXIE	TD AMERITRADE	0.00	74.72	0.00	74.72	80.0	59.78
04/16/2018	DODGE, CAROLYN	TD AMERITRADE	0.00	577.46	0.00	577.46	80.0	461.97
04/16/2018	DURKES, DEBRA	TD AMERITRADE	0.00	102.76	0.00	102.76	80.0	82.21
04/16/2018	FLORES, PATRICIA	TD AMERITRADE	0.00	371.37	0.00	371.37	80.0	297.10
04/16/2018	GEVERINK, ANN	TD AMERITRADE	0.00	142.60	0.00	142.60	80.0	114.08
04/16/2018	GREATHEAD, PHILIP	TD AMERITRADE	0.00	142.98	0.00	142.98	80.0	114.38
04/16/2018	GRIDER, SHARON	TD AMERITRADE	0.00	88.51	0.00	88.51	80.0	70.81
04/16/2018	GRIDER, SHARON	TD AMERITRADE	0.00	186.67	0.00	186.67	80.0	149.34
04/16/2018	GUNSOLLEY, MARY	TD AMERITRADE	0.00	338.68	0.00	338.68	80.0	270.94
04/16/2018	HARMEYER, SHARYN	TD AMERITRADE	0.00	688.20	0.00	688.20	80.0	550.56
04/16/2018	HINCKS, ELIZABETH A LIF	TD AMERITRADE	0.00	283.44	0.00	283.44	80.0	226.75
04/16/2018	HINCKS, ELIZABETH A LIF	TD AMERITRADE	0.00	207.88	0.00	207.88	80.0	166.30
04/16/2018	HODAPP, CYNTHIA	TD AMERITRADE	0.00	247.25	0.00	247.25	80.0	197.80
04/16/2018	IGNEY, SHELLEY	TD AMERITRADE	0.00	219.63	0.00	219.63	80.0	175.70
04/16/2018	IGNEY, SHELLEY	TD AMERITRADE	0.00	114.31	0.00	114.31	80.0	91.45
04/16/2018	ISLEY, MICHAEL	TD AMERITRADE	0.00	677.58	0.00	677.58	80.0	542.06
04/16/2018	JORDAN, NEAL	TD AMERITRADE	0.00	521.47	0.00	521.47	80.0	417.18
04/16/2018	JORDAN, PAM	TD AMERITRADE	0.00	542.05	0.00	542.05	80.0	433.64
04/16/2018	KANOST, RUTH	TD AMERITRADE	0.00	204.40	0.00	204.40	80.0	163.52
04/16/2018	KEMP, DAVID	TD AMERITRADE	0.00	202.21	0.00	202.21	80.0	161.77
04/16/2018	KLITZMAN, RANDALL	TD AMERITRADE	0.00	214.94	0.00	214.94	80.0	171.95
04/16/2018	KLITZMAN, MARY	TD AMERITRADE	0.00	235.53	0.00	235.53	80.0	188.42
04/16/2018	LIPSCOMB, JOAN	TD AMERITRADE	0.00	590.26	0.00	590.26	80.0	472.21
04/16/2018	LOVETT, DAVID	TD AMERITRADE	0.00	128.63	0.00	128.63	80.0	102.90
04/16/2018	LOWDEN, JAMES	TD AMERITRADE	0.00	745.79	0.00	745.79	80.0	596.63
04/16/2018	LOWDEN TRUST, KATHERINE	TD AMERITRADE	0.00	376.20	0.00	376.20	80.0	300.96

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/16/2018	MAIERS, NANCY	TD AMERITRADE	0.00	174.82	0.00	174.82	80.0	139.86
04/16/2018	MAIERS, GREGORY	TD AMERITRADE	0.00	140.75	0.00	140.75	80.0	112.60
04/16/2018	MARTIN, NINA	TD AMERITRADE	0.00	201.78	0.00	201.78	80.0	161.42
04/16/2018	MAYER, PEGGY	TD AMERITRADE	0.00	207.60	0.00	207.60	80.0	166.08
04/16/2018	MILLER, REBECCA	TD AMERITRADE	0.00	137.08	0.00	137.08	80.0	109.66
04/16/2018	MILLER, THOMAS	TD AMERITRADE	0.00	215.34	0.00	215.34	80.0	172.27
04/16/2018	MILLS, ROBERT	TD AMERITRADE	0.00	147.34	0.00	147.34	80.0	117.87
04/16/2018	MULDOON, DANIEL	TD AMERITRADE	0.00	281.67	0.00	281.67	80.0	225.34
04/16/2018	MURPHY, WILLIAM	TD AMERITRADE	0.00	1,345.56	0.00	1,345.56	80.0	1,076.45
04/16/2018	MURPHY, SUSAN	TD AMERITRADE	0.00	714.32	0.00	714.32	80.0	571.46
04/16/2018	PEE, MICHAEL	TD AMERITRADE	0.00	740.26	0.00	740.26	80.0	592.21
04/16/2018	PEE, MICHAEL	TD AMERITRADE	0.00	172.43	0.00	172.43	80.0	137.94
04/16/2018	PEPPLE, GLEN	TD AMERITRADE	0.00	322.10	0.00	322.10	80.0	257.68
04/16/2018	PEPPLE, NICOLE	TD AMERITRADE	0.00	122.06	0.00	122.06	80.0	97.65
04/16/2018	PIER, SPENCER	TD AMERITRADE	0.00	53.21	0.00	53.21	80.0	42.57
04/16/2018	RAABE, RICHARD	TD AMERITRADE	0.00	134.62	0.00	134.62	80.0	107.70
04/16/2018	REINHART, RUSSELL	TD AMERITRADE	0.00	300.02	0.00	300.02	80.0	240.02
04/16/2018	REINHART, ROCHELLE	TD AMERITRADE	0.00	5.48	0.00	5.48	80.0	4.38
04/16/2018	REMENSCHNEIDER, MARY	TD AMERITRADE	0.00	645.59	0.00	645.59	80.0	516.47
04/16/2018	ROTHGEB, CRAIG	TD AMERITRADE	0.00	265.25	0.00	265.25	80.0	212.20
04/16/2018	RUSK, RANDALL	TD AMERITRADE	0.00	453.49	0.00	453.49	80.0	362.79
04/16/2018	SAMMETINGER, EVELYN	TD AMERITRADE	0.00	187.97	0.00	187.97	80.0	150.38
04/16/2018	SAMMETINGER, EVELYN	TD AMERITRADE	0.00	143.78	0.00	143.78	80.0	115.02
04/16/2018	SAUTER, DOROTHY	TD AMERITRADE	0.00	32.71	0.00	32.71	80.0	26.17
04/16/2018	SAUTER, DOROTHY	TD AMERITRADE	0.00	216.25	0.00	216.25	80.0	173.00
04/16/2018	SAUTER, JOHN	TD AMERITRADE	0.00	39.73	0.00	39.73	80.0	31.78
04/16/2018	SCHAEFER, LOLA	TD AMERITRADE	0.00	27.20	0.00	27.20	80.0	21.76
04/16/2018	SENGER, SALLY	TD AMERITRADE	0.00	343.28	0.00	343.28	80.0	274.62
04/16/2018	SENGER, SALLY	TD AMERITRADE	0.00	56.61	0.00	56.61	80.0	45.29
04/16/2018	SENGER, LYNDA	TD AMERITRADE	0.00	347.74	0.00	347.74	80.0	278.19
04/16/2018	SHANK, DEAN	TD AMERITRADE	0.00	408.08	0.00	408.08	80.0	326.46
04/16/2018	SIEBER, AARON	TD AMERITRADE	0.00	350.68	0.00	350.68	80.0	280.54
04/16/2018	SIMPSON, DAYNA	TD AMERITRADE	0.00	130.10	0.00	130.10	80.0	104.08

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/16/2018	SIMPSON, DAYNA	TD AMERITRADE	0.00	135.21	0.00	135.21	80.0	108.17
04/16/2018	SORG, BECKY	TD AMERITRADE	0.00	434.87	0.00	434.87	80.0	347.90
04/16/2018	STANSBERRY, NANCY	TD AMERITRADE	0.00	629.30	0.00	629.30	80.0	503.44
04/16/2018	STOPPENHAGEN, DENNIS	TD AMERITRADE	0.00	678.14	0.00	678.14	80.0	542.51
04/16/2018	SULLIVAN, DIAN	TD AMERITRADE	0.00	282.40	0.00	282.40	80.0	225.92
04/16/2018	THOMAS, THELMA	TD AMERITRADE	0.00	232.04	0.00	232.04	80.0	185.63
04/16/2018	TULLOCH, CAROLYN	TD AMERITRADE	0.00	125.89	0.00	125.89	80.0	100.71
04/16/2018	TULLOCH, DAVID	TD AMERITRADE	0.00	511.84	0.00	511.84	80.0	409.47
04/16/2018	TYGER, CATHIE	TD AMERITRADE	0.00	502.69	0.00	502.69	80.0	402.15
04/16/2018	WALLACE, FREDERICK	TD AMERITRADE	0.00	193.64	0.00	193.64	80.0	154.91
04/16/2018	WEBER, KAY	TD AMERITRADE	0.00	325.77	0.00	325.77	80.0	260.62
04/16/2018	WEIMER, THOMAS	TD AMERITRADE	0.00	697.24	0.00	697.24	80.0	557.79
04/16/2018	WILLING, PATRICIA	TD AMERITRADE	0.00	230.64	0.00	230.64	80.0	184.51
04/16/2018	WILLING, LEONARD	TD AMERITRADE	0.00	111.51	0.00	111.51	80.0	89.21
* RIA SUBTOTAL *			\$5,874,236.74	\$43,893.76	\$0.00	\$43,893.76		\$35,115.03
*** DIRECT TRANSACTIONS TOTAL ***			\$5,874,236.74	\$43,893.76	\$0.00	\$43,893.76		\$35,115.03

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

04/16/2018	ADAMS, TERRY	CLS INVESTMENTS	11,451.81	12.49	0.00	12.49	80.0	9.99
04/16/2018	BURLESON, CATHERINE	CLS INVESTMENTS	72,187.68	62.99	0.00	62.99	80.0	50.39
04/16/2018	COSTANZA, JOSEPH	CLS INVESTMENTS	6,796.65	5.93	0.00	5.93	80.0	4.74
04/16/2018	HAMMAN, RONALD	CLS INVESTMENTS	40,155.39	35.04	0.00	35.04	80.0	28.03
04/16/2018	SCHENKEL, ROBERT	CLS INVESTMENTS	43,295.99	37.78	0.00	37.78	80.0	30.22
04/25/2018	BECKER, PATRICIA	ITS MANAGEMENT	33,312.84	29.07	0.00	29.07	80.0	23.26
04/25/2018	GATER, CAREY	ITS MANAGEMENT	46,194.25	30.24	0.00	30.24	80.0	24.19
04/12/2018	FIRE POLICE CITY, 401K	PRINCIPAL LIFE INS	0.00	718.18	0.00	718.18	80.0	574.54
04/16/2018	VAN CLEAVE, BLAKE	TD AMERITRADE	0.00	130.65	0.00	130.65	80.0	104.52
04/16/2018	EISERLE, DAVID	TD AMERITRADE	0.00	235.88	0.00	235.88	80.0	188.70
04/16/2018	FOLTZ, SCOTT	TD AMERITRADE	0.00	25.26	0.00	25.26	80.0	20.21
04/16/2018	FOLTZ, KATHRYN	TD AMERITRADE	0.00	42.24	0.00	42.24	80.0	33.79
04/16/2018	JACOBS, BARRY	TD AMERITRADE	0.00	81.00	0.00	81.00	80.0	64.80

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
04/16/2018	KLINE, THOMAS	TD AMERITRADE	0.00	36.61	0.00	36.61	80.0	29.29
04/16/2018	MOUNSEY, CHRISTINE	TD AMERITRADE	0.00	121.84	0.00	121.84	80.0	97.47
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$253,394.61	\$1,605.20	\$0.00	\$1,605.20		\$1,284.14
*** SPLIT TRANSACTIONS TOTAL ***			\$253,394.61	\$1,605.20	\$0.00	\$1,605.20		\$1,284.14

<u> OVERRIDE TRANSACTIONS</u>								
<u>BROKER: WILLIAM, L129 LAYMAN #207</u>								
04/16/2018	BROWN, MATTHEW	CLS INVESTMENTS	187,390.11	452.44	0.00	452.44	45.0	203.60
04/16/2018	BROWN, MATTHEW	CLS INVESTMENTS	49,999.99	139.42	0.00	139.42	45.0	62.74
04/16/2018	BRUNTON, EMILY	CLS INVESTMENTS	50,800.53	120.37	0.00	120.37	45.0	54.17
04/16/2018	BRUNTON, EMILY	CLS INVESTMENTS	49,999.99	130.93	0.00	130.93	45.0	58.92
04/16/2018	COLE, TIMOTHY	CLS INVESTMENTS	4,016.98	9.08	0.00	9.08	45.0	4.09
04/16/2018	COLE, TIMOTHY	CLS INVESTMENTS	49,999.99	125.58	0.00	125.58	45.0	56.51
04/16/2018	HUNNICUTT, MICHELLE	CLS INVESTMENTS	7,386.62	18.42	0.00	18.42	45.0	8.29
04/16/2018	REHM, TAMARA	CLS INVESTMENTS	15,893.45	39.63	0.00	39.63	45.0	17.83
04/16/2018	ZIMMERMAN, PHYLLIS	CLS INVESTMENTS	19,644.69	45.46	0.00	45.46	45.0	20.46
04/16/2018	ZIMMERMAN, PHYLLIS	CLS INVESTMENTS	49,999.99	128.17	0.00	128.17	45.0	57.68
* WILLIAM, L129 LAYMAN SUBTOTAL *			\$485,132.34	\$1,209.50	\$0.00	\$1,209.50		\$544.29
*** OVERRIDE TRANSACTIONS TOTAL ***			\$485,132.34	\$1,209.50	\$0.00	\$1,209.50		\$544.29

<u>ADJUSTMENTS</u>									
04/30/2018	*MANAGED FEE EXPENSE: ACCT. MIN.		0.00						-32.63
	FEE								
	*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-32.63

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BROKER COMMISSION TOTALS

Broker Transactions	\$35,115.03
Split Transactions	\$1,284.14
Override Transactions	\$544.29
Adjustments	\$-32.63
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$36,910.83
Year-to-date Gross	\$175,416.90
Year-to-date Earnings	\$141,492.04