



# COMMISSION STATEMENT

January 08, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/26/2017	***-**-5144 - WINTER, LAURA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	85.0	2.13
	ACCOUNT #: 00086895596	BUY 2 Units @28.7900						
12/29/2017	***-**-207I - SHOCKEY, CHELSEA	AMERICAN BALANCED FUND-A	150.00	7.50	0.00	7.50	85.0	6.38
	ACCOUNT #: 00088283660	BUY 5 Units @28.8100						
12/21/2017	***-**-5144 - WINTER, LAURA	AMERICAN BALANCED FUND-C	15,000.00	150.00	0.00	150.00	85.0	127.50
	ACCOUNT #: 00084354308	BUY 556 Units @26.9600						
12/20/2017	***-**-153J - SHOCKEY, JEFFREY	AMERICAN FUNDS 2025 TARGET DATE-A	1,700.00	46.75	0.00	46.75	85.0	39.74
	ACCOUNT #: 00075824133	BUY 120 Units @14.2000						
12/18/2017	***-**-36IR - HERDSON, JOHN	CAPITAL APPRECIATION C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 03222003518	BUY 0 Units @0.0000						
12/29/2017	***-**-207I - SHOCKEY, CHELSEA	CAPITAL INCOME BUILDER-A	100.00	5.00	0.00	5.00	85.0	4.25
	ACCOUNT #: 00088283660	BUY 2 Units @66.6500						
12/19/2017	***-**-54IN - SCHMIDT, JENNY	CAPITAL WORLD GROWTH AND INCOME FUN	150.00	1.50	0.00	1.50	85.0	1.28
	ACCOUNT #: 00082865142	BUY 3 Units @52.9200						
12/18/2017	***-**-36IR - HERDSON, JOHN	EQUITY INCOME FUND, INC. C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 08370183502	BUY 0 Units @0.0000						
12/29/2017	***-**-207I - SHOCKEY, CHELSEA	FUNDAMENTAL INVESTORS-A	150.00	7.50	0.00	7.50	85.0	6.38
	ACCOUNT #: 00088283660	BUY 2 Units @66.0100						
12/21/2017	***-**-5144 - WINTER, LAURA	FUNDAMENTAL INVESTORS-C	15,000.00	150.00	0.00	150.00	85.0	127.50
	ACCOUNT #: 00084354308	BUY 242 Units @61.9300						
01/02/2018	***-**-830J - STRAUB, JANE	HARTFORD BALANCED INCOME FD CL C	1,000.00	10.00	0.00	10.00	85.0	8.50
	ACCOUNT #: LSR011950	BUY 69 Units @14.4300						
12/19/2017	***-**-54IN - SCHMIDT, JENNY	INCOME FUND OF AMERICA CLASS C	100.00	1.00	0.00	1.00	85.0	0.85
	ACCOUNT #: 00082865142	BUY 4 Units @22.9700						
12/28/2017	***-**-036I - CROWL, LINDA	INVESCO MULTI ASSET INCOME FD CL C	1,750.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010466	SELL 160 Units @10.9300						
12/18/2017	***-**-30IN - WEAVER, NICOLE	INVESTMENT COMPANY OF AMERICA CLASS	460.00	4.60	0.00	4.60	85.0	3.91
	ACCOUNT #: 00073181035	BUY 12 Units @39.9500						
12/29/2017	***-**-207I - SHOCKEY, CHELSEA	NEW PERSPECTIVE FUND-A	100.00	5.00	0.00	5.00	85.0	4.25
	ACCOUNT #: 00088283660	BUY 2 Units @45.7900						
12/28/2017	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	1,750.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010466	SELL 141 Units @12.3900						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
12/18/2017	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	65.28	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
12/18/2017	***-**-09IR - WILSON, EDWARD	THE GROWTH FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333515	BUY 4 Units @54.9600						
12/18/2017	***-**-09IR - WILSON, EDWARD	THE INCOME FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333515	BUY 8 Units @24.8300						
01/03/2018	***-**-184I - BEAVERSON, ROYCE	THE INCOME FUND OF AMERICA-A	5,000.00	8.00	18.00	-10.00	100.0	-10.00
	ACCOUNT #: LSR010468	SELL 213 Units @23.4800						
* MUTUAL FUNDS SUBTOTAL *			<b>\$43,052.00</b>	<b>\$412.26</b>	<b>\$18.00</b>	<b>\$394.26</b>		<b>\$333.66</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
01/02/2018	***-**-635I - TOBIN, MAUREEN	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011912	BUY 1 Units @0.0000						
01/02/2018	***-**-830J - STRAUB, JANE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011950	BUY 1 Units @0.0000						
01/02/2018	***-**-881I - HOUSER, LORI	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
01/02/2018	***-**-65IR - NORNHOLD,	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR012005	BUY 1 Units @0.0000						
01/02/2018	***-**-13IR - LOYCH, ALICE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR012051	BUY 1 Units @0.0000						
01/02/2018	***-**-376X - WAGNER, LINDA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.13	0.00	0.13	85.0	0.11
	ACCOUNT #: LSR010451	BUY 1 Units @0.0000						
01/02/2018	***-**-036I - CROWL, LINDA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.93	0.00	1.93	85.0	1.64
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
01/02/2018	***-**-184I - BEAVERSON, ROYCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	85.0	0.03
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
01/02/2018	***-**-228I - BUCHANAN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	85.0	0.02
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
01/02/2018	***-**-949I - LICHT, FBO MS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.33	0.00	0.33	85.0	0.28
	ACCOUNT #: LSR010542	BUY 1 Units @0.0000						
01/02/2018	***-**-992I - SMITH, FBO MR	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.22	0.00	0.22	85.0	0.19
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/02/2018	***-**-276I - GASSWINT, SUSAN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	85.0	0.01
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
01/02/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	0.00	43.16	0.00	43.16	85.0	36.69
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
01/02/2018	***-**-831I - REED, JACK	PIMCO INCOME FUND CL C	0.00	9.10	0.00	9.10	85.0	7.74
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
01/02/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	0.00	16.60	0.00	16.60	85.0	14.11
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
01/02/2018	***-**-831I - REED, JACK	PIMCO INCOME FUND CL C	0.00	3.50	0.00	3.50	85.0	2.98
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
01/08/2018	***-**-30IN - STRAUB, JANE	SAMMONS MF TRAILS	0.00	13.51	0.00	13.51	85.0	11.48
	ACCOUNT #: 7200028004	BUY 0 Units @0.0000						
	<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$88.54</b>	<b>\$0.00</b>	<b>\$88.54</b>		<b>\$75.28</b>

## PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

12/31/2017	***-**-30IN - STRAUB, JANE	AS ADVISORS PLAN III	0.00	78.56	0.00	78.56	85.0	66.78
	ACCOUNT #: E0939841	BUY 0 Units @0.0000						
12/31/2017	***-**-30IN - STRAUB, JANE	AS ADVISORS PLAN III	0.00	78.56	0.00	78.56	85.0	66.78
	ACCOUNT #: E0939841	BUY 0 Units @0.0000						
12/31/2017	***-**-461I - WOOD, VIRGINIA	AS ADVISORS PLAN III	0.00	64.05	0.00	64.05	85.0	54.44
	ACCOUNT #: E0963275	BUY 0 Units @0.0000						
12/31/2017	***-**-461I - WOOD, VIRGINIA	AS ADVISORS PLAN III	0.00	64.05	0.00	64.05	85.0	54.44
	ACCOUNT #: E0963275	BUY 0 Units @0.0000						
12/31/2017	***-**-167I - NORNHOLD, DENNIS	AS ADVISORS PLAN III	0.00	98.06	0.00	98.06	85.0	83.35
	ACCOUNT #: E0964895	BUY 0 Units @0.0000						
12/31/2017	***-**-167I - NORNHOLD, DENNIS	AS ADVISORS PLAN III	0.00	98.06	0.00	98.06	85.0	83.35
	ACCOUNT #: E0964895	BUY 0 Units @0.0000						
12/31/2017	***-**-959I - HACKMAN, BRANDT	AS ADVISORS PLAN III	0.00	42.19	0.00	42.19	85.0	35.86
	ACCOUNT #: E1063045	BUY 0 Units @0.0000						
12/31/2017	***-**-959I - HACKMAN, BRANDT	AS ADVISORS PLAN III	0.00	42.19	0.00	42.19	85.0	35.86
	ACCOUNT #: E1063045	BUY 0 Units @0.0000						
12/31/2017	***-**-897I - PLAPPERT, KAREN	AS ADVISORS PLAN III	0.00	37.05	0.00	37.05	85.0	31.49
	ACCOUNT #: E1024452	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-897I - PLAPPERT, KAREN	AS ADVISORS PLAN III	0.00	37.05	0.00	37.05	85.0	31.49
	ACCOUNT #: E1024452	BUY 0 Units @0.0000						
12/31/2017	***-**-67IN - SIPES, RAE	AS ADVISORS PLAN III	0.00	90.79	0.00	90.79	85.0	77.17
	ACCOUNT #: E1056844	BUY 0 Units @0.0000						
12/31/2017	***-**-67IN - SIPES, RAE	AS ADVISORS PLAN III	0.00	90.79	0.00	90.79	85.0	77.17
	ACCOUNT #: E1056844	BUY 0 Units @0.0000						
12/31/2017	***-**-752I - HARBAUGH, KELLY	AS ADVISORS PLAN III	0.00	67.37	0.00	67.37	85.0	57.26
	ACCOUNT #: E1011510	BUY 0 Units @0.0000						
12/31/2017	***-**-752I - HARBAUGH, KELLY	AS ADVISORS PLAN III	0.00	67.37	0.00	67.37	85.0	57.26
	ACCOUNT #: E1011510	BUY 0 Units @0.0000						
12/31/2017	***-**-871I - WILLIAMS, VALLI	AS ADVISORS PLAN III	0.00	29.04	0.00	29.04	85.0	24.68
	ACCOUNT #: E0882649	BUY 0 Units @0.0000						
12/31/2017	***-**-871I - WILLIAMS, VALLI	AS ADVISORS PLAN III	0.00	29.04	0.00	29.04	85.0	24.68
	ACCOUNT #: E0882649	BUY 0 Units @0.0000						
12/31/2017	***-**-11IN - GRAHAM, ARTHUR	AS ADVISORS PLAN III	0.00	52.86	0.00	52.86	85.0	44.93
	ACCOUNT #: E0923647	BUY 0 Units @0.0000						
12/31/2017	***-**-11IN - GRAHAM, ARTHUR	AS ADVISORS PLAN III	0.00	52.86	0.00	52.86	85.0	44.93
	ACCOUNT #: E0923647	BUY 0 Units @0.0000						
12/31/2017	***-**-597I - LEAR, DIANE	AS ADVISORS PLAN III	0.00	53.07	0.00	53.07	85.0	45.11
	ACCOUNT #: E0811893	BUY 0 Units @0.0000						
12/31/2017	***-**-597I - LEAR, DIANE	AS ADVISORS PLAN III	0.00	53.07	0.00	53.07	85.0	45.11
	ACCOUNT #: E0811893	BUY 0 Units @0.0000						
12/31/2017	***-**-334I - MCKENNA, EDWARD	AS ADVISORS PLAN III	0.00	22.60	0.00	22.60	85.0	19.21
	ACCOUNT #: E0812305	BUY 0 Units @0.0000						
12/31/2017	***-**-334I - MCKENNA, EDWARD	AS ADVISORS PLAN III	0.00	22.60	0.00	22.60	85.0	19.21
	ACCOUNT #: E0812305	BUY 0 Units @0.0000						
12/31/2017	***-**-36IN - CROWL, LINDA	AS ADVISORS PLAN III	0.00	15.72	0.00	15.72	85.0	13.36
	ACCOUNT #: E0908044	BUY 0 Units @0.0000						
12/31/2017	***-**-36IN - CROWL, LINDA	AS ADVISORS PLAN III	0.00	15.72	0.00	15.72	85.0	13.36
	ACCOUNT #: E0908044	BUY 0 Units @0.0000						
12/31/2017	***-**-36IN - CROWL, LINDA	AS ADVISORS PLAN III	0.00	49.20	0.00	49.20	85.0	41.82
	ACCOUNT #: E0908041	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-36IN - CROWL, LINDA	AS ADVISORS PLAN III	0.00	49.20	0.00	49.20	85.0	41.82
	ACCOUNT #: E0908041	BUY 0 Units @0.0000						
12/31/2017	***-**-36IN - CROWL, LINDA	AS ADVISORS PLAN III	0.00	11.18	0.00	11.18	85.0	9.50
	ACCOUNT #: E0908039	BUY 0 Units @0.0000						
12/31/2017	***-**-36IN - CROWL, LINDA	AS ADVISORS PLAN III	0.00	11.18	0.00	11.18	85.0	9.50
	ACCOUNT #: E0908039	BUY 0 Units @0.0000						
12/31/2017	***-**-90IN - BARLUP, DIANE	AS ADVISORS PLAN III	0.00	33.64	0.00	33.64	85.0	28.59
	ACCOUNT #: E0770790	BUY 0 Units @0.0000						
12/31/2017	***-**-26IN - CROWL, ROBERT	AS ADVISORS PLAN III	0.00	25.47	0.00	25.47	85.0	21.65
	ACCOUNT #: E0905532	BUY 0 Units @0.0000						
12/31/2017	***-**-26IN - CROWL, ROBERT	AS ADVISORS PLAN III	0.00	25.47	0.00	25.47	85.0	21.65
	ACCOUNT #: E0905532	BUY 0 Units @0.0000						
12/31/2017	***-**-184I - BEAVERSON, ROYCE	AS ADVISORS PLAN III	0.00	54.47	0.00	54.47	85.0	46.30
	ACCOUNT #: E0781866	BUY 0 Units @0.0000						
12/31/2017	***-**-184I - BEAVERSON, ROYCE	AS ADVISORS PLAN III	0.00	54.47	0.00	54.47	85.0	46.30
	ACCOUNT #: E0781866	BUY 0 Units @0.0000						
12/31/2017	***-**-069I - MEHALKO,	AS ADVISORS PLAN III	0.00	30.42	0.00	30.42	85.0	25.86
	ACCOUNT #: E0778173	BUY 0 Units @0.0000						
12/31/2017	***-**-069I - MEHALKO,	AS ADVISORS PLAN III	0.00	30.42	0.00	30.42	85.0	25.86
	ACCOUNT #: E0778173	BUY 0 Units @0.0000						
12/31/2017	***-**-28IR - BUCHANAN,	AS ADVISORS PLAN III	0.00	67.95	0.00	67.95	85.0	57.76
	ACCOUNT #: E0827834	BUY 0 Units @0.0000						
12/31/2017	***-**-28IR - BUCHANAN,	AS ADVISORS PLAN III	0.00	67.95	0.00	67.95	85.0	57.76
	ACCOUNT #: E0827834	BUY 0 Units @0.0000						
12/31/2017	***-**-64IN - SHIFFLET,	AS ADVISORS PLAN III	0.00	52.50	0.00	52.50	85.0	44.63
	ACCOUNT #: E0950826	BUY 0 Units @0.0000						
12/31/2017	***-**-64IN - SHIFFLET,	AS ADVISORS PLAN III	0.00	52.50	0.00	52.50	85.0	44.63
	ACCOUNT #: E0950826	BUY 0 Units @0.0000						
12/31/2017	***-**-184I - BEAVERSON, ROYCE	AS ADVISORS PLAN III	0.00	55.92	0.00	55.92	85.0	47.53
	ACCOUNT #: E0713242	BUY 0 Units @0.0000						
12/31/2017	***-**-184I - BEAVERSON, ROYCE	AS ADVISORS PLAN III	0.00	55.92	0.00	55.92	85.0	47.53
	ACCOUNT #: E0713242	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-48IN - JOHNSON, MARY	AS ADVISORS PLAN III	0.00	18.72	0.00	18.72	85.0	15.91
	ACCOUNT #: E0809973	BUY 0 Units @0.0000						
12/31/2017	***-**-48IN - JOHNSON, MARY	AS ADVISORS PLAN III	0.00	18.72	0.00	18.72	85.0	15.91
	ACCOUNT #: E0809973	BUY 0 Units @0.0000						
12/31/2017	***-**-153I - SHOCKEY, JEFFREY	AS ADVISORS PLAN III	0.00	62.94	0.00	62.94	85.0	53.50
	ACCOUNT #: E0810476	BUY 0 Units @0.0000						
12/31/2017	***-**-153I - SHOCKEY, JEFFREY	AS ADVISORS PLAN III	0.00	62.94	0.00	62.94	85.0	53.50
	ACCOUNT #: E0810476	BUY 0 Units @0.0000						
12/31/2017	***-**-67IN - SIPES, RAE	AS ADVISORS PLAN III	0.00	27.16	0.00	27.16	85.0	23.09
	ACCOUNT #: E0809876	BUY 0 Units @0.0000						
12/31/2017	***-**-67IN - SIPES, RAE	AS ADVISORS PLAN III	0.00	27.16	0.00	27.16	85.0	23.09
	ACCOUNT #: E0809876	BUY 0 Units @0.0000						
12/31/2017	***-**-64IN - BURG, WAYNE	AS ADVISORS PLAN III	0.00	12.88	0.00	12.88	85.0	10.95
	ACCOUNT #: E0757210	BUY 0 Units @0.0000						
12/31/2017	***-**-32IN - BURG, THERESA	AS ADVISORS PLAN III	0.00	21.80	0.00	21.80	85.0	18.53
	ACCOUNT #: E0757025	BUY 0 Units @0.0000						
12/31/2017	***-**-32IN - BURG, THERESA	AS ADVISORS PLAN III	0.00	21.80	0.00	21.80	85.0	18.53
	ACCOUNT #: E0757025	BUY 0 Units @0.0000						
12/31/2017	***-**-26IN - CROWL, ROBERT	AS ADVISORS PLAN III	0.00	15.56	0.00	15.56	85.0	13.23
	ACCOUNT #: E0905702	BUY 0 Units @0.0000						
12/31/2017	***-**-26IN - CROWL, ROBERT	AS ADVISORS PLAN III	0.00	15.56	0.00	15.56	85.0	13.23
	ACCOUNT #: E0905702	BUY 0 Units @0.0000						
12/31/2017	***-**-322I - SHOCKEY, DEBORAH	AS ADVISORS PLAN III	0.00	45.66	0.00	45.66	85.0	38.81
	ACCOUNT #: E0809906	BUY 0 Units @0.0000						
12/31/2017	***-**-322I - SHOCKEY, DEBORAH	AS ADVISORS PLAN III	0.00	45.66	0.00	45.66	85.0	38.81
	ACCOUNT #: E0809906	BUY 0 Units @0.0000						
12/31/2017	***-**-15IN - STEVENSON,	AS ADVISORS PLAN III	0.00	29.88	0.00	29.88	85.0	25.40
	ACCOUNT #: E0829712	BUY 0 Units @0.0000						
12/31/2017	***-**-15IN - STEVENSON,	AS ADVISORS PLAN III	0.00	29.88	0.00	29.88	85.0	25.40
	ACCOUNT #: E0829712	BUY 0 Units @0.0000						
12/31/2017	***-**-84IN - LEVON, FRANCES	AS ADVISORS PLAN III	0.00	12.15	0.00	12.15	85.0	10.33
	ACCOUNT #: E0831446	BUY 0 Units @0.0000						



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MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-84IN - LEVON, FRANCES ACCOUNT #: E0831446	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	12.15	0.00	12.15	85.0	10.33
12/31/2017	***-**-32IN - BURG, THERESA ACCOUNT #: E0756666	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	65.13	0.00	65.13	85.0	55.36
12/31/2017	***-**-32IN - BURG, THERESA ACCOUNT #: E0756666	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	65.13	0.00	65.13	85.0	55.36
12/31/2017	***-**-64IN - BURG, WAYNE ACCOUNT #: E0757210	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	12.88	0.00	12.88	85.0	10.95
12/31/2017	***-**-26IN - CROWL, ROBERT ACCOUNT #: E0905290	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	49.20	0.00	49.20	85.0	41.82
12/31/2017	***-**-26IN - CROWL, ROBERT ACCOUNT #: E0905290	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	49.20	0.00	49.20	85.0	41.82
12/31/2017	***-**-842I - GREENHALGH, ACCOUNT #: E0833773	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	37.70	0.00	37.70	85.0	32.05
12/31/2017	***-**-842I - GREENHALGH, ACCOUNT #: E0833773	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	37.70	0.00	37.70	85.0	32.05
12/31/2017	***-**-486I - EDELIN, ACCOUNT #: E0912228	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	10.11	0.00	10.11	85.0	8.59
12/31/2017	***-**-486I - EDELIN, ACCOUNT #: E0912228	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	10.11	0.00	10.11	85.0	8.59
12/31/2017	***-**-375I - EDELIN, ANTHONY ACCOUNT #: E0911624	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	10.38	0.00	10.38	85.0	8.82
12/31/2017	***-**-375I - EDELIN, ANTHONY ACCOUNT #: E0911624	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	10.38	0.00	10.38	85.0	8.82
12/31/2017	***-**-54IN - GAROFALO, ACCOUNT #: E0790269	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	60.12	0.00	60.12	85.0	51.10
12/31/2017	***-**-54IN - GAROFALO, ACCOUNT #: E0790269	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	60.12	0.00	60.12	85.0	51.10
12/31/2017	***-**-92IN - SMITH, JOHN ACCOUNT #: E0790239	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	49.72	0.00	49.72	85.0	42.26
12/31/2017	***-**-92IN - SMITH, JOHN ACCOUNT #: E0790239	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	49.72	0.00	49.72	85.0	42.26



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-244I - REED, GENEVIEVE	AS ADVISORS PLAN III	0.00	78.10	0.00	78.10	85.0	66.39
	ACCOUNT #: E0590671	BUY 0 Units @0.0000						
12/31/2017	***-**-244I - REED, GENEVIEVE	AS ADVISORS PLAN III	0.00	78.10	0.00	78.10	85.0	66.39
	ACCOUNT #: E0590671	BUY 0 Units @0.0000						
12/31/2017	***-**-65IN - BARLUP, DAVID	AS ADVISORS PLAN III	0.00	77.61	0.00	77.61	85.0	65.97
	ACCOUNT #: E0590925	BUY 0 Units @0.0000						
12/31/2017	***-**-65IN - BARLUP, DAVID	AS ADVISORS PLAN III	0.00	77.61	0.00	77.61	85.0	65.97
	ACCOUNT #: E0590925	BUY 0 Units @0.0000						
12/31/2017	***-**-30IN - PLOCKI, BARBARA	AS ADVISORS PLAN III	0.00	73.04	0.00	73.04	85.0	62.08
	ACCOUNT #: E0604667	BUY 0 Units @0.0000						
12/31/2017	***-**-30IN - PLOCKI, BARBARA	AS ADVISORS PLAN III	0.00	73.04	0.00	73.04	85.0	62.08
	ACCOUNT #: E0604667	BUY 0 Units @0.0000						
12/31/2017	***-**-48IN - KANE, VIRGINIA	AS ADVISORS PLAN III	0.00	21.66	0.00	21.66	85.0	18.41
	ACCOUNT #: E0985416	BUY 0 Units @0.0000						
12/31/2017	***-**-48IN - KANE, VIRGINIA	AS ADVISORS PLAN III	0.00	21.66	0.00	21.66	85.0	18.41
	ACCOUNT #: E0985416	BUY 0 Units @0.0000						
12/31/2017	***-**-653I - MYERS, MARVIN	AS ADVISORS PLAN III	0.00	17.00	0.00	17.00	85.0	14.45
	ACCOUNT #: E0626846	BUY 0 Units @0.0000						
12/31/2017	***-**-653I - MYERS, MARVIN	AS ADVISORS PLAN III	0.00	17.00	0.00	17.00	85.0	14.45
	ACCOUNT #: E0626846	BUY 0 Units @0.0000						
12/31/2017	***-**-35IN - TOBIN, MAUREEN	AS ADVISORS PLAN III	0.00	31.34	0.00	31.34	85.0	26.64
	ACCOUNT #: E0627974	BUY 0 Units @0.0000						
12/31/2017	***-**-35IN - TOBIN, MAUREEN	AS ADVISORS PLAN III	0.00	31.34	0.00	31.34	85.0	26.64
	ACCOUNT #: E0627974	BUY 0 Units @0.0000						
12/31/2017	***-**-305I - WAGNER, KEITH	AS ADVISORS PLAN III	0.00	62.08	0.00	62.08	85.0	52.77
	ACCOUNT #: E0849513	BUY 0 Units @0.0000						
12/31/2017	***-**-305I - WAGNER, KEITH	AS ADVISORS PLAN III	0.00	62.08	0.00	62.08	85.0	52.77
	ACCOUNT #: E0849513	BUY 0 Units @0.0000						
12/31/2017	***-**-23IN - SMITH, BARBARA	AS ADVISORS PLAN III	0.00	81.73	0.00	81.73	85.0	69.47
	ACCOUNT #: E0735670	BUY 0 Units @0.0000						
12/31/2017	***-**-23IN - SMITH, BARBARA	AS ADVISORS PLAN III	0.00	81.73	0.00	81.73	85.0	69.47
	ACCOUNT #: E0735670	BUY 0 Units @0.0000						





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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-11IN - GRAHAM, ARTHUR ACCOUNT #: E0985384	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	24.62	0.00	24.62	85.0	20.93
12/31/2017	***-**-11IN - GRAHAM, ARTHUR ACCOUNT #: E0985384	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	24.62	0.00	24.62	85.0	20.93
12/31/2017	***-**-227I - ZELLERS, ACCOUNT #: E0871645	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	56.68	0.00	56.68	85.0	48.18
12/31/2017	***-**-227I - ZELLERS, ACCOUNT #: E0871645	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	56.68	0.00	56.68	85.0	48.18
12/31/2017	***-**-97IN - LEAR, DIANE ACCOUNT #: E0744523	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	112.26	0.00	112.26	85.0	95.42
12/31/2017	***-**-97IN - LEAR, DIANE ACCOUNT #: E0744523	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	112.26	0.00	112.26	85.0	95.42
12/31/2017	***-**-683I - SCHWARTZ, EMILY ACCOUNT #: E0750396	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	80.54	0.00	80.54	85.0	68.46
12/31/2017	***-**-30IN - STRAUB, JANE ACCOUNT #: E0980058	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	42.56	0.00	42.56	85.0	36.18
12/31/2017	***-**-30IN - STRAUB, JANE ACCOUNT #: E0980058	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	42.56	0.00	42.56	85.0	36.18
12/31/2017	***-**-180I - LEAR, WILLIAM ACCOUNT #: E0744858	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	31.93	0.00	31.93	85.0	27.14
12/31/2017	***-**-180I - LEAR, WILLIAM ACCOUNT #: E0744858	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	31.93	0.00	31.93	85.0	27.14
12/31/2017	***-**-683I - SCHWARTZ, EMILY ACCOUNT #: E0750396	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	80.54	0.00	80.54	85.0	68.46
12/31/2017	***-**-376I - WAGNER, LINDA ACCOUNT #: E0621993	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	47.97	0.00	47.97	85.0	40.77
12/31/2017	***-**-376I - WAGNER, LINDA ACCOUNT #: E0621993	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	47.97	0.00	47.97	85.0	40.77
01/03/2018	***-**-859I - CAMPBELL, GERALD ACCOUNT #: R073277-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	17.53	0.00	17.53	85.0	14.90
12/31/2017	***-**-597I - LEAR, DIANE ACCOUNT #: 1014504202	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	18.74	0.00	18.74	85.0	15.93



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-36IN - CROWL, LINDA ACCOUNT #: 1016264217	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	211.46	0.00	211.46	85.0	179.74
12/31/2017	***-**-94IR - VITALE, LAURIE ACCOUNT #: 1016299231	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	15.75	0.00	15.75	85.0	13.39
12/31/2017	***-**-20IN - GARMAN, KARL ACCOUNT #: 1017012386	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	7.73	0.00	7.73	85.0	6.57
12/31/2017	***-**-36IR - HERDSON, JOHN ACCOUNT #: 1012521924	JACKSON ELITE ACCESS E BUY 0 Units @0.0000	0.00	41.05	0.00	41.05	85.0	34.89
12/31/2017	***-**-632I - BURG, THERESA ACCOUNT #: 1015747249	JACKSON ELITE ACCESS E BUY 0 Units @0.0000	0.00	69.75	0.00	69.75	85.0	59.29
12/31/2017	***-**-648I - KANE, VIRGINIA ACCOUNT #: 1015810986	JACKSON ELITE ACCESS E BUY 0 Units @0.0000	0.00	20.47	0.00	20.47	85.0	17.40
12/31/2017	***-**-305I - WAGNER, KEITH ACCOUNT #: 1015946705	JACKSON ELITE ACCESS E BUY 0 Units @0.0000	0.00	48.16	0.00	48.16	85.0	40.94
12/31/2017	***-**-62IN - MCKINNEY, ACCOUNT #: 1013706413	JACKSON NATIONAL CUSIP 468495577-NF BUY 0 Units @0.0000	0.00	206.50	0.00	206.50	85.0	175.53
12/31/2017	***-**-228J - BUCHANAN, ACCOUNT #: 1006011280	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	45.90	0.00	45.90	85.0	39.02
12/31/2017	***-**-85IN - GRAHAM, BARBARA ACCOUNT #: 1012657141	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	56.16	0.00	56.16	85.0	47.74
12/31/2017	***-**-814I - MCKENNA, DONNA ACCOUNT #: 1013003528	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	149.67	0.00	149.67	85.0	127.22
12/31/2017	***-**-400I - ROMIG, MARK ACCOUNT #: 1013168853	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	61.67	0.00	61.67	85.0	52.42
12/31/2017	***-**-276I - GASSWINT, SUSAN ACCOUNT #: 1013258658	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	81.08	0.00	81.08	85.0	68.92
12/31/2017	***-**-837I - KUNKEL, JANET ACCOUNT #: 1013306490	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	41.43	0.00	41.43	85.0	35.22
12/31/2017	***-**-228J - BUCHANAN, ACCOUNT #: 1013442998	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	64.99	0.00	64.99	85.0	55.24
12/31/2017	***-**-227I - ZELLERS, ACCOUNT #: 1013578113	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	62.62	0.00	62.62	85.0	53.23



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</u></b>								
12/31/2017	***-**-364J - SHIFFLET, ACCOUNT #: 1013759851	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	56.80	0.00	56.80	85.0	48.28
12/31/2017	***-**-697I - ENEDY, EMILY ACCOUNT #: 1014092982	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	20.48	0.00	20.48	85.0	17.41
12/31/2017	***-**-53IN - SHOCKEY, JEFFREY ACCOUNT #: 1014308870	JACKSON NATIONAL PERSPECTIVE II BUY 0 Units @0.0000	0.00	37.35	0.00	37.35	85.0	31.75
12/31/2017	***-**-113I - PIEMANI, JOHN ACCOUNT #: 1014761337	JACKSON NATIONAL PERSPECTIVE II BUY 0 Units @0.0000	0.00	49.74	0.00	49.74	85.0	42.28
12/31/2017	***-**-39IN - KNOUFF, GILBERT ACCOUNT #: 1012530010	JACKSON VA TRAILS DTCC BUY 0 Units @0.0000	0.00	31.72	0.00	31.72	85.0	26.96
12/31/2017	***-**-39IN - KNOUFF, GILBERT ACCOUNT #: 1012530311	JACKSON VA TRAILS DTCC BUY 0 Units @0.0000	0.00	40.81	0.00	40.81	85.0	34.69
12/31/2017	***-**-582I - PLOCKI, EDWARD ACCOUNT #: 1012599060	JACKSON VA TRAILS DTCC BUY 0 Units @0.0000	0.00	239.90	0.00	239.90	85.0	203.92
12/31/2017	***-**-56IN - REYNOLDS, ACCOUNT #: 959788496	LINCOLN AM LEGACY III PLUS BUY 0 Units @0.0000	0.00	37.06	0.00	37.06	85.0	31.50
01/03/2018	***-**-582I - PLOCKI, EDWARD ACCOUNT #: LBLA150363	LINCOLN BENEFIT LIFE 53301E682 BUY 0 Units @0.0000	0.00	56.37	0.00	56.37	85.0	47.91
12/31/2017	***-**-842I - GREENHALGH, ACCOUNT #: 959942592	LINCOLN FIN VA TRAILS 534222443 BUY 0 Units @0.0000	0.00	204.01	0.00	204.01	85.0	173.41
12/31/2017	***-**-36IN - CROWL, LINDA ACCOUNT #: 959762252	LINCOLN FIN VA TRAILS DTCC 53422260 BUY 0 Units @0.0000	0.00	15.61	0.00	15.61	85.0	13.27
12/31/2017	***-**-26IN - CROWL, ROBERT ACCOUNT #: 959762253	LINCOLN FIN VA TRAILS DTCC 53422260 BUY 0 Units @0.0000	0.00	15.61	0.00	15.61	85.0	13.27
12/31/2017	***-**-30IN - STRAUB, JANE ACCOUNT #: 959798358	LINCOLN FIN VA TRAILS DTCC 53422260 BUY 0 Units @0.0000	0.00	8.15	0.00	8.15	85.0	6.93
12/30/2017	***-**-13IN - STONESIFER, ACCOUNT #: 016312869	NATIONWIDE CUSIP 638662262-NSCC BUY 0 Units @0.0000	0.00	68.47	0.00	68.47	85.0	58.20
12/30/2017	***-**-92IN - ZEBLEY, ACCOUNT #: 016314665	NATIONWIDE CUSIP 638662262-NSCC BUY 0 Units @0.0000	0.00	113.13	0.00	113.13	85.0	96.16
12/30/2017	***-**-364I - SHIFFLET, RUSSEL ACCOUNT #: 016316152	NATIONWIDE CUSIP 638662262-NSCC BUY 0 Units @0.0000	0.00	448.88	0.00	448.88	85.0	381.55



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
12/30/2017	***-**-97IN - LEAR, DIANE	NATIONWIDE CUSIP 63866W167-NFS	0.00	318.33	0.00	318.33	85.0	270.58
	ACCOUNT #: 016212503	BUY 0 Units @0.0000						
12/30/2017	***-**-20IN - GARMAN, KARL	NATIONWIDE CUSIP 63866W167-NFS	0.00	565.84	0.00	565.84	85.0	480.96
	ACCOUNT #: 016212504	BUY 0 Units @0.0000						
12/30/2017	***-**-30IN - STRAUB, JANE	NATIONWIDE CUSIP 63866W167-NFS	0.00	201.54	0.00	201.54	85.0	171.31
	ACCOUNT #: 016216518	BUY 0 Units @0.0000						
12/30/2017	***-**-37IN - KUNKEL, JANET	NATIONWIDE CUSIP 63866W167-NFS	0.00	317.50	0.00	317.50	85.0	269.88
	ACCOUNT #: 016224903	BUY 0 Units @0.0000						
12/30/2017	***-**-614I - WILLIAMS, RONALD	NATIONWIDE CUSIP 63890A132-NSCC	0.00	176.77	0.00	176.77	85.0	150.25
	ACCOUNT #: 016233902	BUY 0 Units @0.0000						
12/30/2017	***-**-36IN - HERDSON, JOHN	NATIONWIDE CUSIP 63890A173-NSCC	0.00	38.25	0.00	38.25	85.0	32.51
	ACCOUNT #: 016321152	BUY 0 Units @0.0000						
12/30/2017	***-**-181O - ILGENFRITZ,	NATIONWIDE CUSIP 63890A413-NSCC	0.00	104.37	0.00	104.37	85.0	88.71
	ACCOUNT #: 016337315	BUY 0 Units @0.0000						
12/30/2017	***-**-373O - MANGANELLO, TIRA	NATIONWIDE CUSIP 63890A413-NSCC	0.00	99.17	0.00	99.17	85.0	84.29
	ACCOUNT #: 016337316	BUY 0 Units @0.0000						
12/30/2017	***-**-055O - ILGENFRITZ, TIM	NATIONWIDE CUSIP 63890A413-NSCC	0.00	103.25	0.00	103.25	85.0	87.76
	ACCOUNT #: 016337317	BUY 0 Units @0.0000						
12/30/2017	***-**-260O - ILGENFRITZ, TOD	NATIONWIDE CUSIP 63890A413-NSCC	0.00	99.97	0.00	99.97	85.0	84.97
	ACCOUNT #: 016337318	BUY 0 Units @0.0000						
12/31/2017	***-**-33IN - KNOBL, LISA	PERSPECTIVE II DTCC	0.00	31.57	0.00	31.57	85.0	26.83
	ACCOUNT #: 1018661027	BUY 0 Units @0.0000						
12/31/2017	***-**-136I - CONLON-SCOLTOCK,	PERSPECTIVE II DTCC	0.00	22.17	0.00	22.17	85.0	18.84
	ACCOUNT #: 1018710060	BUY 0 Units @0.0000						
12/31/2017	***-**-36IN - CROWL, LINDA	PERSPECTIVE II DTCC	0.00	221.32	0.00	221.32	85.0	188.12
	ACCOUNT #: 1018787969	BUY 0 Units @0.0000						
12/31/2017	***-**-52IN - KUNKEL, RAY	PERSPECTIVE II DTCC	0.00	113.21	0.00	113.21	85.0	96.23
	ACCOUNT #: 1018799355	BUY 0 Units @0.0000						
12/31/2017	***-**-99IR - BUCHANAN, STEVEN	PERSPECTIVE II DTCC	0.00	40.35	0.00	40.35	85.0	34.30
	ACCOUNT #: 1018892479	BUY 0 Units @0.0000						
12/31/2017	***-**-400I - ROMIG, MARK	PERSPECTIVE II DTCC	0.00	54.33	0.00	54.33	85.0	46.18
	ACCOUNT #: 1019057603	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 08, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-949I - LICHT, FBO MS	PERSPECTIVE II DTCC	0.00	35.51	0.00	35.51	85.0	30.18
	ACCOUNT #: 1019390361	BUY 0 Units @0.0000						
12/31/2017	***-**-962I - KLOKIS, ROBERT	PERSPECTIVE II DTCC	0.00	35.33	0.00	35.33	85.0	30.03
	ACCOUNT #: 1019390434	BUY 0 Units @0.0000						
12/31/2017	***-**-305I - WAGNER, KEITH	PRUDENTIAL AS ADVISORS PLAN III	0.00	7.34	0.00	7.34	85.0	6.24
	ACCOUNT #: E1226002	BUY 0 Units @0.0000						
12/31/2017	***-**-30IN - PLOCKI, BARBARA	PRUDENTIAL AS ADVISORS PLAN III	0.00	39.46	0.00	39.46	85.0	33.54
	ACCOUNT #: E1225914	BUY 0 Units @0.0000						
12/31/2017	***-**-683I - SCHWARTZ, EMILY	PRUDENTIAL AS ADVISORS PLAN III	0.00	76.30	0.00	76.30	85.0	64.86
	ACCOUNT #: E1176504	BUY 0 Units @0.0000						
12/31/2017	***-**-84IN - LEVON, FRANCES	PRUDENTIAL AS ADVISORS PLAN III	0.00	19.95	0.00	19.95	85.0	16.96
	ACCOUNT #: E1177122	BUY 0 Units @0.0000						
12/31/2017	***-**-582I - PLOCKI, EDWARD	PRUDENTIAL AS ADVISORS PLAN III	0.00	55.94	0.00	55.94	85.0	47.55
	ACCOUNT #: E1208408	BUY 0 Units @0.0000						
12/31/2017	***-**-84IN - METTEE, MICHAEL	PRUDENTIAL AS ADVISORS PLAN III	0.00	13.00	0.00	13.00	85.0	11.05
	ACCOUNT #: E1270801	BUY 0 Units @0.0000						
12/31/2017	***-**-508I - FREY, DONALD	PRUDENTIAL AS ADVISORS PLAN III	0.00	113.63	0.00	113.63	85.0	96.59
	ACCOUNT #: E1106050	BUY 0 Units @0.0000						
12/31/2017	***-**-01IN - MADDEN, GARY	PRUDENTIAL AS ADVISORS PLAN III	0.00	94.24	0.00	94.24	85.0	80.10
	ACCOUNT #: E1076733	BUY 0 Units @0.0000						
12/31/2017	***-**-799I - BUCHANAN, STEVEN	PRUDENTIAL AS ADVISORS PLAN III	0.00	120.46	0.00	120.46	85.0	102.39
	ACCOUNT #: E1115486	BUY 0 Units @0.0000						
12/31/2017	***-**-67IN - SIPES, RAE	PRUDENTIAL VA TRAILS 74430A227	0.00	94.02	0.00	94.02	85.0	79.92
	ACCOUNT #: E1056864	BUY 0 Units @0.0000						
12/31/2017	***-**-67IN - SIPES, RAE	PRUDENTIAL VA TRAILS 74430A227	0.00	94.02	0.00	94.02	85.0	79.92
	ACCOUNT #: E1056864	BUY 0 Units @0.0000						
12/31/2017	***-**-35IN - TOBIN, MAUREEN	PRUDENTIAL VA TRAILS 74430A227	0.00	83.09	0.00	83.09	85.0	70.63
	ACCOUNT #: E0790708	BUY 0 Units @0.0000						
12/31/2017	***-**-84IN - METTEE, MICHAEL	PRUDENTIAL VA TRAILS 74430A227	0.00	47.36	0.00	47.36	85.0	40.26
	ACCOUNT #: E0686738	BUY 0 Units @0.0000						
12/31/2017	***-**-84IN - METTEE, MICHAEL	PRUDENTIAL VA TRAILS 74430A227	0.00	47.36	0.00	47.36	85.0	40.26
	ACCOUNT #: E0686738	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

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BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-39IN - KNOUFF, GILBERT	PRUDENTIAL VA TRAILS 74430A227	0.00	126.43	0.00	126.43	85.0	107.47
	ACCOUNT #: E0682475	BUY 0 Units @0.0000						
12/31/2017	***-**-39IN - KNOUFF, GILBERT	PRUDENTIAL VA TRAILS 74430A227	0.00	126.43	0.00	126.43	85.0	107.47
	ACCOUNT #: E0682475	BUY 0 Units @0.0000						
12/31/2017	***-**-78IN - MADDEN, MARILYN	PRUDENTIAL VA TRAILS 74430A227	0.00	62.50	0.00	62.50	85.0	53.13
	ACCOUNT #: E0688957	BUY 0 Units @0.0000						
12/31/2017	***-**-78IN - MADDEN, MARILYN	PRUDENTIAL VA TRAILS 74430A227	0.00	62.50	0.00	62.50	85.0	53.13
	ACCOUNT #: E0688957	BUY 0 Units @0.0000						
12/31/2017	***-**-00IN - KNOUFF, HELEN	PRUDENTIAL VA TRAILS 74430A227	0.00	23.25	0.00	23.25	85.0	19.76
	ACCOUNT #: E0685699	BUY 0 Units @0.0000						
12/31/2017	***-**-00IN - KNOUFF, HELEN	PRUDENTIAL VA TRAILS 74430A227	0.00	23.25	0.00	23.25	85.0	19.76
	ACCOUNT #: E0685699	BUY 0 Units @0.0000						
12/31/2017	***-**-64IN - BURG, WAYNE	PRUDENTIAL VA TRAILS 74430A227	0.00	12.77	0.00	12.77	85.0	10.85
	ACCOUNT #: E0652393	BUY 0 Units @0.0000						
12/31/2017	***-**-64IN - BURG, WAYNE	PRUDENTIAL VA TRAILS 74430A227	0.00	12.77	0.00	12.77	85.0	10.85
	ACCOUNT #: E0652393	BUY 0 Units @0.0000						
12/31/2017	***-**-962I - KLOKIS, ROBERT	PRUDENTIAL VA TRAILS 74430A227	0.00	30.24	0.00	30.24	85.0	25.70
	ACCOUNT #: E0652796	BUY 0 Units @0.0000						
12/31/2017	***-**-962I - KLOKIS, ROBERT	PRUDENTIAL VA TRAILS 74430A227	0.00	30.24	0.00	30.24	85.0	25.70
	ACCOUNT #: E0652796	BUY 0 Units @0.0000						
12/31/2017	***-**-54IN - GAROFALO,	PRUDENTIAL VA TRAILS 74430A227	0.00	178.81	0.00	178.81	85.0	151.99
	ACCOUNT #: E0654831	BUY 0 Units @0.0000						
12/31/2017	***-**-54IN - GAROFALO,	PRUDENTIAL VA TRAILS 74430A227	0.00	178.81	0.00	178.81	85.0	151.99
	ACCOUNT #: E0654831	BUY 0 Units @0.0000						
12/31/2017	***-**-267I - HARBOLD, JERE	PRUDENTIAL VA TRAILS 74430A227	0.00	79.68	0.00	79.68	85.0	67.73
	ACCOUNT #: E0688861	BUY 0 Units @0.0000						
12/31/2017	***-**-267I - HARBOLD, JERE	PRUDENTIAL VA TRAILS 74430A227	0.00	79.68	0.00	79.68	85.0	67.73
	ACCOUNT #: E0688861	BUY 0 Units @0.0000						
12/31/2017	***-**-35IN - TOBIN, MAUREEN	PRUDENTIAL VA TRAILS 74430A227	0.00	83.09	0.00	83.09	85.0	70.63
	ACCOUNT #: E0790708	BUY 0 Units @0.0000						
12/31/2017	***-**-99IN - BUCHANAN, STEVEN	PRUDENTIAL VA TRAILS 74430A227	0.00	88.52	0.00	88.52	85.0	75.24
	ACCOUNT #: E0564240	BUY 0 Units @0.0000						



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BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-99IN - BUCHANAN, STEVEN	PRUDENTIAL VA TRAILS 74430A227	0.00	88.52	0.00	88.52	85.0	75.24
	ACCOUNT #: E0564240	BUY 0 Units @0.0000						
12/31/2017	***-**-057I - PLAPPERT,	PRUDENTIAL VA TRAILS 74430A227	0.00	64.17	0.00	64.17	85.0	54.54
	ACCOUNT #: E0752084	BUY 0 Units @0.0000						
12/31/2017	***-**-057I - PLAPPERT,	PRUDENTIAL VA TRAILS 74430A227	0.00	64.17	0.00	64.17	85.0	54.54
	ACCOUNT #: E0752084	BUY 0 Units @0.0000						
12/31/2017	***-**-897I - PLAPPERT, KAREN	PRUDENTIAL VA TRAILS 74430A227	0.00	58.74	0.00	58.74	85.0	49.93
	ACCOUNT #: E0752079	BUY 0 Units @0.0000						
12/31/2017	***-**-897I - PLAPPERT, KAREN	PRUDENTIAL VA TRAILS 74430A227	0.00	58.74	0.00	58.74	85.0	49.93
	ACCOUNT #: E0752079	BUY 0 Units @0.0000						
12/31/2017	***-**-67IN - REAM, PATRICIA	PRUDENTIAL VA TRAILS 74430A227	0.00	28.43	0.00	28.43	85.0	24.17
	ACCOUNT #: E0521809	BUY 0 Units @0.0000						
12/31/2017	***-**-67IN - REAM, PATRICIA	PRUDENTIAL VA TRAILS 74430A227	0.00	28.43	0.00	28.43	85.0	24.17
	ACCOUNT #: E0521809	BUY 0 Units @0.0000						
12/31/2017	***-**-48IN - JOHNSON, MARY	PRUDENTIAL VA TRAILS 74430A227	0.00	34.69	0.00	34.69	85.0	29.49
	ACCOUNT #: E0518461	BUY 0 Units @0.0000						
12/31/2017	***-**-48IN - JOHNSON, MARY	PRUDENTIAL VA TRAILS 74430A227	0.00	34.69	0.00	34.69	85.0	29.49
	ACCOUNT #: E0518461	BUY 0 Units @0.0000						
12/31/2017	***-**-48IN - JOHNSON, MARY	PRUDENTIAL VA TRAILS 74430A227	0.00	174.93	0.00	174.93	85.0	148.69
	ACCOUNT #: E0518446	BUY 0 Units @0.0000						
12/31/2017	***-**-48IN - JOHNSON, MARY	PRUDENTIAL VA TRAILS 74430A227	0.00	174.93	0.00	174.93	85.0	148.69
	ACCOUNT #: E0518446	BUY 0 Units @0.0000						
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$12,926.15</b>	<b>\$0.00</b>	<b>\$12,926.15</b>		<b>\$10,987.28</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$43,052.00</b>	<b>\$13,426.95</b>	<b>\$18.00</b>	<b>\$13,408.95</b>		<b>\$11,396.22</b>



BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
Broker Transactions							\$11,396.22	
Split Transactions							\$0.00	
Override Transactions							\$0.00	
Adjustments							\$0.00	
Payroll Draw							\$0.00	
Base Salary							\$0.00	
Prior Period Balance							\$0.00	
FINRA Assessment							\$0.00	
SIPC Assessment							\$0.00	
Net Commission Earned							\$11,396.22	
Year-to-date Earnings							\$12,328.72	