



All Companies
COMMISSION STATEMENT
August 15, 2018

08/14/2018
Page No. 1

JON D. JOHNSON
6630 Dark Canyon Road

BROKER #: 9604
BRANCH: HOME OFFICE

Rapid City, SD 57702-

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	140.35	140.35	0.00	140.35	90.0	126.32
06/30/2018	TRAILS	CALAMOS INVESTMENTS	B	19.67	19.67	0.00	19.67	90.0	17.70
07/31/2018	PRECISION PHYS THERAPY	NATIONWIDE QUALIFIED PLAN	B	6,228.23	110.80	0.00	110.80	90.0	99.72
08/01/2018	000000001254933 HEIBERGER, JOHN R.	FRK SM CAP GRWTH FND II - CL A	B	45.00	1.69	0.00	1.69	90.0	1.52
08/01/2018	000000007332416 HEIBERGER, JOHN R.	MUTUAL DISC FND - CL A	B	34.00	1.28	0.00	1.28	90.0	1.15
08/01/2018	000000008260349 HEIBERGER, JOHN R.	TEMPLETON DEVELOPING MARKETS TRUST B	B	71.00	2.66	0.00	2.66	90.0	2.39
08/01/2018	000013912608568 HEIBERGER, JOHN	FRANKLIN SPECIAL EQUITY INCOME FD C B	B	129.00	4.84	0.00	4.84	90.0	4.36
08/01/2018	000015813926201 HEIBERGER, JOHN R.	FRANKLIN RISING DIVIDEND FD CL I	B	34.00	1.28	0.00	1.28	90.0	1.15
07/23/2018	00002407595 SWANSON, JOHN H.	FUNDAMENTAL ALL CAP CORE C	B	99.00	0.99	0.00	0.99	90.0	0.89
07/23/2018	00002407597 SHOUP, MICHAEL	LARGE CAP EQUITY C	B	163.73	1.64	0.00	1.64	90.0	1.48
08/01/2018	000028212458892 HEIBERGER, JOHN	FRANKLIN VALUE FD CL 1	B	129.00	4.84	0.00	4.84	90.0	4.36
07/23/2018	00003378327 LAWRENCE, ANDRE X.	MULTIMANAGER 2060 LIFETIME PORT A	B	99.00	4.21	0.00	4.21	90.0	3.79
08/01/2018	000060177413865 HEIBERGER, JOHN	FRANKLIN GROWTH FD CL I	B	129.00	4.84	0.00	4.84	90.0	4.36
08/01/2018	000080192865093 HEIBERGER, JOHN R.	FRANKLIN DYNATECH FD CL I	B	34.00	1.28	0.00	1.28	90.0	1.15
07/20/2018	00035718011 PATTEN, PHILIP	IVY LARGE CAP GROWTH A	B	152.76	7.64	0.00	7.64	90.0	6.88
07/20/2018	00035718011 PATTEN, PHILIP	IVY MID CAP GROWTH A	B	152.76	7.64	0.00	7.64	90.0	6.88
07/20/2018	00035718011 PATTEN, PHILIP	IVY SCIENCE & TECH A	B	152.76	7.64	0.00	7.64	90.0	6.88
07/31/2018	00035824933 HERMAN, BARRY	IVY INTERNATIONAL BALANCED A	B	500.00	25.00	0.00	25.00	90.0	22.50
07/16/2018	00035837032 WEISCHEDEL, VANESSA BRANDLI	IVY ASSET STRATEGY A	B	110.00	4.95	0.00	4.95	90.0	4.46
07/16/2018	00035837032 WEISCHEDEL, VANESSA BRANDLI	IVY INTERNATIONAL CORE EQUITY A	B	110.00	4.95	0.00	4.95	90.0	4.46
07/16/2018	00035837032 WEISCHEDEL,	IVY VALUE A	B	110.00	4.95	0.00	4.95	90.0	4.46

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
VANESSA BRANDLI									
07/25/2018	00035888441 MITCHELL, RANI/BRIAN	IVY BALANCED C	B	500.00	5.00	0.00	5.00	90.0	4.50
07/16/2018	00035932391 KILEY, ELIZABETH	IVY LARGE CAP GROWTH A	B	50.00	2.50	0.00	2.50	90.0	2.25
07/20/2018	00035993369 GESINGER, AUSTIN	IVY ASSET STRATEGY A	B	100.00	5.00	0.00	5.00	90.0	4.50
07/20/2018	00035993369 GESINGER, AUSTIN	IVY SCIENCE & TECH A	B	100.00	5.00	0.00	5.00	90.0	4.50
07/30/2018	00036080289 DUNN, ANGELA	IVY CORE EQUITY A	B	50.00	2.50	0.00	2.50	90.0	2.25
07/16/2018	00036173189 TRANS MIN ACT NM, UNIF	IVY CORE EQUITY A	B	163.61	3.27	0.00	3.27	90.0	2.94
07/16/2018	00036182725 KILEY, EMILY/CHANDRA	IVY VALUE A	B	50.00	2.50	0.00	2.50	90.0	2.25
07/16/2018	00036277542 STELLWAGEN, JAY T.	IVY GLOBAL EQUITY INCOME A	B	167.00	3.34	0.00	3.34	90.0	3.01
07/16/2018	00036277546 STELLWAGEN, JAY T.	IVY GLOBAL EQUITY INCOME A	B	167.00	3.34	0.00	3.34	90.0	3.01
08/03/2018	00073331273 LEIVESTAD, DALE L.	CAPITAL WORLD GROWTH AND INCOME FUN B		82.50	0.83	0.00	0.83	90.0	0.75
08/03/2018	00073331273 LEIVESTAD, DALE L.	CAPITAL WORLD GROWTH AND INCOME FUN B		82.50	0.83	0.00	0.83	90.0	0.75
08/03/2018	00073331273 LEIVESTAD, DALE L.	THE GROWTH FUND OF AMERICA-C	B	85.00	0.85	0.00	0.85	90.0	0.77
08/03/2018	00073331273 LEIVESTAD, DALE L.	THE GROWTH FUND OF AMERICA-C	B	85.00	0.85	0.00	0.85	90.0	0.77
08/03/2018	00073331273 LEIVESTAD, DALE L.	WASHINGTON MUTUAL INVESTORS FUND-C	B	82.50	0.83	0.00	0.83	90.0	0.75
08/03/2018	00073331273 LEIVESTAD, DALE L.	WASHINGTON MUTUAL INVESTORS FUND-C	B	82.50	0.83	0.00	0.83	90.0	0.75
08/01/2018	00073968645 BECKER, RYAN	AMERICAN FUNDS BALANCED FD	B	100.00	3.75	0.00	3.75	90.0	3.38
07/27/2018	00075982164 ASHEIM, KENNETH	AMERICAN FUNDS CAPITAL INCOME BUILD B		69.30	1.39	0.00	1.39	90.0	1.25
07/27/2018	00075982164 ASHEIM, KENNETH	AMERICAN FUNDS CAPITAL INCOME BUILD B		660.00	13.20	0.00	13.20	90.0	11.88
07/27/2018	00075982164 ASHEIM, KENNETH	AMERICAN FUNDS CAPITAL WORLD GROWT B		69.30	1.39	0.00	1.39	90.0	1.25
07/27/2018	00075982164 ASHEIM, KENNETH	AMERICAN FUNDS CAPITAL WORLD GROWT B		660.00	13.20	0.00	13.20	90.0	11.88
07/27/2018	00075982164 ASHEIM, KENNETH	AMERICAN FUNDS GROWTH FUND OF AMERI B		71.40	1.43	0.00	1.43	90.0	1.29
07/27/2018	00075982164 ASHEIM, KENNETH	AMERICAN FUNDS GROWTH FUND OF AMERI B		680.00	13.60	0.00	13.60	90.0	12.24
07/27/2018	00081201789 AKERS, DENICE	NEW WORLD FUND-A	B	75.00	1.50	0.00	1.50	90.0	1.35
07/27/2018	00081201789 AKERS, DENICE	NEW WORLD FUND-A	B	75.00	1.50	0.00	1.50	90.0	1.35
07/31/2018	00081964721 JOHNSON, DARIN	AMERICAN FUNDS CAPITAL WORLD GROWT B		30.00	1.50	0.00	1.50	90.0	1.35
07/31/2018	00081964721 JOHNSON, DARIN	AMERICAN FUNDS WA MUTUAL INVES B		20.00	1.00	0.00	1.00	90.0	0.90
08/01/2018	00083183068 BECKER, GLYNIS	AMERICAN FUNDS BALANCED FD	B	100.00	2.75	0.00	2.75	90.0	2.48
08/01/2018	00083271700 STEVENSON, SIERRA	AMERICAN FUNDS GROWTH FUND OF AMERI B		200.00	8.50	0.00	8.50	90.0	7.65
08/01/2018	00085191838 WILEN, BRETT	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
08/01/2018	00085192010 WILEN, DEBBIE L.	AMERICAN FUNDS BALANCED FD	B	50.00	2.13	0.00	2.13	90.0	1.92
07/27/2018	00085443655 ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD	B	63.12	1.74	0.00	1.74	90.0	1.57
07/27/2018	00085443655 ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD	B	63.12	1.74	0.00	1.74	90.0	1.57
07/26/2018	00085493543 BARBER, PEGGY	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
07/26/2018	00085493586 BARBER, PEGGY	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
08/01/2018	00085889464 TRIBBY, TYLER M.	THE GROWTH FUND OF AMERICA-C	B	2,000.00	20.00	0.00	20.00	90.0	18.00
08/01/2018	00085928713 LECY/AUTH PER,	AMERICAN FUNDS AMCAP FD	B	100.00	2.00	0.00	2.00	90.0	1.80

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
DOUGLAS									
08/01/2018	00085928713	LECY/AUTH PER,	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	2.00	0.00	2.00	90.0 1.80
DOUGLAS									
08/01/2018	00086832083	MARCOV, ERIK	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	1.38	0.00	1.38	90.0 1.24
08/01/2018	00086832083	MARCOV, ERIK	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	1.38	0.00	1.38	90.0 1.24
07/27/2018	00086957629	WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	63.55	1.75	0.00	1.75	90.0 1.58
07/27/2018	00086957629	WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	63.55	1.75	0.00	1.75	90.0 1.58
07/27/2018	00087044454	PACHL, JESSICA	AMERICAN FUNDS AMCAP FD	B	218.18	9.27	0.00	9.27	90.0 8.34
07/27/2018	00087044454	PACHL, JESSICA	AMERICAN FUNDS AMCAP FD	B	218.18	9.27	0.00	9.27	90.0 8.34
08/03/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS AMCAP FD	B	55.00	2.34	0.00	2.34	90.0 2.11
08/03/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS CAPITAL WORLD GROWT B		55.00	2.34	0.00	2.34	90.0 2.11
08/03/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS GROWTH FUND OF AMERI B		55.00	2.34	0.00	2.34	90.0 2.11
08/03/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS WA MUTUAL INVES B		55.00	2.34	0.00	2.34	90.0 2.11
07/25/2018	00087313331	HINMAN, KATHERINE	GLOBAL BALANCED FUND-A	B	75.00	2.81	0.00	2.81	90.0 2.53
07/25/2018	00087313382	HINMAN, MICHAEL	AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0 0.68
08/01/2018	00087351491	BEITELSPACHER/AUTH	AMERICAN FUNDS AMCAP FD	B	50.00	1.38	0.00	1.38	90.0 1.24
PER, AMANDA									
08/01/2018	00087351561	BEITELSPACHER/AUTH	AMERICAN FUNDS AMCAP FD	B	50.00	1.38	0.00	1.38	90.0 1.24
PER, AMANDA									
07/30/2018	00087391906	WILSON, DONNELLE	GLOBAL BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0 0.45
07/30/2018	00087391906	WILSON, DONNELLE	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0 0.45
07/24/2018	00087396195	DAHL, DAVID	AMERICAN FUNDS FUNDAMENTAL INVES B		53.00	1.99	0.00	1.99	90.0 1.79
07/24/2018	00087396195	DAHL, DAVID	AMERICAN FUNDS GROWTH FUND OF AMERI B		53.00	1.99	0.00	1.99	90.0 1.79
07/24/2018	00087396195	DAHL, DAVID	AMERICAN FUNDS NEW ECONOMY FD	B	53.00	1.99	0.00	1.99	90.0 1.79
07/24/2018	00087396195	DAHL, DAVID	NEW WORLD FUND-A	B	70.00	2.63	0.00	2.63	90.0 2.37
07/24/2018	00087396210	DAHL, AMANDA	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0 1.69
07/24/2018	00087396210	DAHL, AMANDA	AMERICAN FUNDS CAPITAL WORLD GROWT B		65.00	2.44	0.00	2.44	90.0 2.20
07/24/2018	00087396210	DAHL, AMANDA	AMERICAN FUNDS SMALL CAP WORLD FD	B	64.00	2.40	0.00	2.40	90.0 2.16
07/24/2018	00087396210	DAHL, AMANDA	AMERICAN FUNDS WA MUTUAL INVES B		50.00	1.88	0.00	1.88	90.0 1.69
08/01/2018	00087429551	BEITELSPACHER,	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	2.75	0.00	2.75	90.0 2.48
AMANDA									
07/27/2018	00087493891	ASHEIM, SHIRLEY	AMERICAN FUNDS BALANCED FD	B	150.00	3.00	0.00	3.00	90.0 2.70
07/27/2018	00087493891	ASHEIM, SHIRLEY	AMERICAN FUNDS BALANCED FD	B	2,000.00	40.00	0.00	40.00	90.0 36.00
08/01/2018	00087537035	JUENEMAN, CORY	AMERICAN FUNDS AMCAP FD	B	100.00	5.00	0.00	5.00	90.0 4.50
07/26/2018	00087753902	BARBER, PEGGY	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	90.0 1.69
08/01/2018	00087785552	LECY/AUTH PER,	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	2.00	0.00	2.00	90.0 1.80
DOUG									
08/01/2018	00087785552	LECY/AUTH PER,	AMERICAN FUNDS GROWTH FUND OF AMERI B		100.00	2.00	0.00	2.00	90.0 1.80
DOUG									
07/23/2018	00087863239	RABELL, HANSEL	AMERICAN FUNDS AMCAP FD	B	114.58	4.30	0.00	4.30	90.0 3.87

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/23/2018	00087863239	RABELL, HANSEL		AMERICAN FUNDS INVESTMENT COMP OF A B	114.58	4.30	0.00	4.30	90.0 3.87
07/23/2018	00087863239	RABELL, HANSEL		AMERICAN FUNDS NEW PERSPECTIVE FD B	114.58	4.30	0.00	4.30	90.0 3.87
07/23/2018	00087863239	RABELL, HANSEL		NEW WORLD FUND-A B	114.58	4.30	0.00	4.30	90.0 3.87
07/23/2018	00087863278	RABELL/AUTH PER, JUANITA		AMERICAN FUNDS GROWTH FUND OF AMERI B	83.33	3.12	0.00	3.12	90.0 2.81
07/23/2018	00087863278	RABELL/AUTH PER, JUANITA		AMERICAN FUNDS WA MUTUAL INVES B	83.33	3.12	0.00	3.12	90.0 2.81
07/23/2018	00087863341	RABELL, JUANITA		AMERICAN FUNDS AMERICAN MUTUAL FD B	114.58	4.30	0.00	4.30	90.0 3.87
07/23/2018	00087863341	RABELL, JUANITA		AMERICAN FUNDS CAPITAL WORLD GROWT B	114.58	4.30	0.00	4.30	90.0 3.87
07/23/2018	00087863341	RABELL, JUANITA		AMERICAN FUNDS GROWTH FUND OF AMERI B	114.58	4.30	0.00	4.30	90.0 3.87
07/23/2018	00087863341	RABELL, JUANITA		AMERICAN FUNDS INCOME FD OF AMERI B	114.58	4.30	0.00	4.30	90.0 3.87
08/03/2018	00087898062	LAMB, LEANN/COLE		CAPITAL INCOME BUILDER-C B	100.00	1.00	0.00	1.00	90.0 0.90
08/03/2018	00087898079	LAMB, LEANN/LUKE		THE INCOME FUND OF AMERICA-C B	300.00	3.00	0.00	3.00	90.0 2.70
08/02/2018	00087985987	MCGINLEY/AUTH PER, JOHN		AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	1.00	0.00	1.00	90.0 0.90
08/02/2018	00087985987	MCGINLEY/AUTH PER, JOHN		AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	1.00	0.00	1.00	90.0 0.90
08/02/2018	00087986056	MCGINLEY/AUTH PER, JOHN		AMERICAN FUNDS AMERICAN MUTUAL FD B	50.00	1.00	0.00	1.00	90.0 0.90
08/02/2018	00087986056	MCGINLEY/AUTH PER, JOHN		AMERICAN FUNDS GROWTH FUND OF AMERI B	50.00	1.00	0.00	1.00	90.0 0.90
07/27/2018	00088216102	WEISBECK, CORISSA A.		AMERICAN MUTUAL FUND-C B	45.00	0.45	0.00	0.45	90.0 0.41
07/27/2018	00088216102	WEISBECK, CORISSA A.		AMERICAN MUTUAL FUND-C B	45.00	0.45	0.00	0.45	90.0 0.41
07/27/2018	00088306950	RICE, LOIS G.		AMERICAN BALANCED FUND-C B	29.62	0.30	0.00	0.30	90.0 0.27
07/27/2018	00088306950	RICE, LOIS G.		AMERICAN BALANCED FUND-C B	59.25	0.59	0.00	0.59	90.0 0.53
07/27/2018	00088306950	RICE, LOIS G.		THE GROWTH FUND OF AMERICA-C B	29.63	0.30	0.00	0.30	90.0 0.27
07/27/2018	00088306950	RICE, LOIS G.		THE GROWTH FUND OF AMERICA-C B	59.25	0.59	0.00	0.59	90.0 0.53
07/30/2018	00088586746	MATTHEW, SHANNON		AMERICAN FUNDS BALANCED FD B	62.50	1.25	0.00	1.25	90.0 1.13
07/30/2018	00088586746	MATTHEW, SHANNON		AMERICAN FUNDS FUNDAMENTAL INVES B	62.50	1.25	0.00	1.25	90.0 1.13
07/30/2018	00088586746	MATTHEW, SHANNON		AMERICAN FUNDS INCOME FD OF AMERI B	62.50	1.25	0.00	1.25	90.0 1.13
07/30/2018	00088586746	MATTHEW, SHANNON		AMERICAN FUNDS NEW PERSPECTIVE FD B	62.50	1.25	0.00	1.25	90.0 1.13
08/01/2018	00088631278	FLOTTMEYER, SUSAN J.		AMERICAN FUNDS INVESTMENT COMP OF A B	100.00	5.00	0.00	5.00	90.0 4.50
08/01/2018	00088648517	BOWEN, LANA L.		AMERICAN FUNDS AMCAP FD B	50.00	2.13	0.00	2.13	90.0 1.92
08/01/2018	00088648517	BOWEN, LANA L.		AMERICAN FUNDS BALANCED FD B	50.00	2.13	0.00	2.13	90.0 1.92
08/01/2018	00088648517	BOWEN, LANA L.		AMERICAN FUNDS CAPITAL INCOME BUILD B	50.00	2.13	0.00	2.13	90.0 1.92
08/01/2018	00088648517	BOWEN, LANA L.		NEW WORLD FUND-A B	50.00	2.13	0.00	2.13	90.0 1.92
08/03/2018	00088677711	CAPPS, JANE		AMERICAN FUNDS AMCAP FD B	50.00	1.38	0.00	1.38	90.0 1.24

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
08/03/2018	00088677720	CAPPS, DAVID D.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.38	0.00	1.38	90.0	1.24
07/31/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS AMCAP FD	B	57.30	2.44	0.00	2.44	90.0	2.20
	M.									
07/31/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS CAPITAL INCOME BUILD	B	57.30	2.44	0.00	2.44	90.0	2.20
	M.									
07/31/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS FUNDAMENTAL	INVES B	57.30	2.44	0.00	2.44	90.0	2.20
	M.									
07/31/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	57.30	2.44	0.00	2.44	90.0	2.20
	M.									
07/25/2018	00089145111	BENGIS, RONALD A.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	3.75	0.00	3.75	90.0	3.38
07/25/2018	00089145111	BENGIS, RONALD A.	INTERNATIONAL GROWTH AND INCOME A	B	100.00	3.75	0.00	3.75	90.0	3.38
07/25/2018	00089179098	OLSON, TREVIS A.	AMERICAN BALANCED FUND-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
07/25/2018	00089179098	OLSON, TREVIS A.	FUNDAMENTAL INVESTORS-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
08/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS AMCAP FD	B	58.00	2.18	0.00	2.18	90.0	1.96
08/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS SMALL CAP WORLD FD	B	57.00	2.14	0.00	2.14	90.0	1.93
08/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS WA MUTUAL	INVES B	57.00	2.14	0.00	2.14	90.0	1.93
08/02/2018	00089303112	MASSIE, KATEY	NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0	1.93
07/27/2018	00089436102	BOHLMANN, JACOB B.	AMERICAN FUNDS BALANCED FD	B	55.93	1.54	0.00	1.54	90.0	1.39
07/27/2018	00089436102	BOHLMANN, JACOB B.	AMERICAN FUNDS BALANCED FD	B	55.93	1.54	0.00	1.54	90.0	1.39
07/23/2018	00089449545	ELLINGSON, KRISTEN	AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
	K.									
07/30/2018	00089449545	ELLINGSON, KRISTEN	AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
	K.									
07/30/2018	00089449562	THOURON, JEFFREY	AMERICAN FUNDS AMCAP FD	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.									
07/30/2018	00089449562	THOURON, JEFFREY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.									
07/30/2018	00089449562	THOURON, JEFFREY	AMERICAN FUNDS CAPITAL WORLD	GROWT B	104.25	5.21	0.00	5.21	90.0	4.69
	J.									
07/30/2018	00089449562	THOURON, JEFFREY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.									
07/26/2018	00089560352	BLAIN, SABRINA K.	AMERICAN FUNDS FUNDAMENTAL	INVES B	75.00	3.75	0.00	3.75	90.0	3.38
07/26/2018	00089560352	BLAIN, SABRINA K.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	75.00	3.75	0.00	3.75	90.0	3.38
07/26/2018	00089560473	BLAIN, AUSTIN W.	AMERICAN FUNDS AMCAP FD	B	75.00	3.75	0.00	3.75	90.0	3.38
07/26/2018	00089560473	BLAIN, AUSTIN W.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	75.00	3.75	0.00	3.75	90.0	3.38
07/27/2018	00089859202	LABINE, JENNIFER	AMERICAN FUNDS AMCAP FD	B	60.00	1.20	0.00	1.20	90.0	1.08
	N.									
07/27/2018	00089859202	LABINE, JENNIFER	AMERICAN FUNDS AMCAP FD	B	60.00	1.20	0.00	1.20	90.0	1.08
	N.									
07/27/2018	00089903044	GUENZI, CAROL JO	AMERICAN FUNDS BALANCED FD	B	3,000.00	150.00	0.00	150.00	90.0	135.00

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/27/2018	00089944246	VAN DYKE, SCOTT	AMERICAN FUNDS 2025 TARGET DATE-A	B	3,000.00	150.00	0.00	150.00	90.0	135.00
08/01/2018	00927850848	SCHAUER, AMIE	AMERICAN FUNDS AMCAP FD	B	25.00	0.69	0.00	0.69	90.0	0.62
08/01/2018	00927850850	MG TRUST, MG	AMERICAN FUNDS AMCAP FD	B	35.00	1.75	0.00	1.75	90.0	1.58
08/01/2018	00927850853	MG TRUST, MG	AMERICAN FUNDS AMCAP FD	B	28.75	0.79	0.00	0.79	90.0	0.71
08/01/2018	00930305469	SCHAUER, AMIE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	25.00	1.25	0.00	1.25	90.0	1.13
08/01/2018	00938506074	SCHAUER, AMIE	AMERICAN FUNDS INCOME FD OF AMERI	B	25.00	1.25	0.00	1.25	90.0	1.13
08/01/2018	00940999894	SCHAUER, AMIE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	25.00	0.69	0.00	0.69	90.0	0.62
08/01/2018	00950644891	MG TRUST, MG	AMERICAN FUNDS BALANCED FD	B	28.75	0.79	0.00	0.79	90.0	0.71
08/01/2018	00955344971	MG TRUST, MG	AMERICAN FUNDS NEW ECONOMY FD	B	35.00	1.75	0.00	1.75	90.0	1.58
08/01/2018	00955361781	MG TRUST, MG	AMERICAN FUNDS NEW ECONOMY FD	B	28.75	0.79	0.00	0.79	90.0	0.71
08/01/2018	00978061831	MG TRUST, MG	AMERICAN FUNDS CAPITAL WORLD GROWT	B	30.00	1.50	0.00	1.50	90.0	1.35
08/01/2018	00978061834	MG TRUST, MG	AMERICAN FUNDS CAPITAL WORLD GROWT	B	28.75	0.79	0.00	0.79	90.0	0.71
07/18/2018	0100094370	ROLAND, DAVID R.	OPPENHEIMER MAIN ST SMALL CAP FUND	B	271.00	5.42	0.00	5.42	90.0	4.88
08/01/2018	01001178157	DOBBS, ROBERT	AIM 81 GLOBAL AGGRESSIVE GROWTH FD	B	112.50	3.38	0.00	3.38	90.0	3.04
08/01/2018	01001178157	DOBBS, ROBERT	AIM ENERGY FUND - CLASS A	B	112.50	3.38	0.00	3.38	90.0	3.04
08/01/2018	01001178157	DOBBS, ROBERT	IVK AMERICAN FRANCHISE FUND - CLASS	B	112.50	3.38	0.00	3.38	90.0	3.04
07/25/2018	01001213096	GRIENCEWIC, LANA	IVK AMERICAN FRANCHISE FUND - CLASS	B	458.00	13.74	0.00	13.74	90.0	12.37
08/01/2018	01001328204	DOBBS, ROBERT T.	AIM UTILITIES FUND - CLASS A	B	112.50	3.38	0.00	3.38	90.0	3.04
07/30/2018	01001349524	WOOD, REMINGTON W.	AIM DIVERSIFIED DIVIDEND FUND - CL	B	50.00	2.50	0.00	2.50	90.0	2.25
07/30/2018	01001349524	WOOD, REMINGTON W.	AIM EUROPEAN DEVELOPMENT FD CL A	B	50.00	2.50	0.00	2.50	90.0	2.25
07/30/2018	01001349524	WOOD, REMINGTON W.	INVESCO ENDEAVOR FUND - CLASS A	B	50.00	2.50	0.00	2.50	90.0	2.25
07/30/2018	01001349524	WOOD, REMINGTON W.	IVK SMALL CAP GROWTH FUND - CLASS A	B	50.00	2.50	0.00	2.50	90.0	2.25
07/23/2018	01001362660	VAN ASMA, STEPHANIE R.	AIM ASIAN GROWTH FD CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
07/23/2018	01001362660	VAN ASMA, STEPHANIE R.	AIM DIVERSIFIED DIVIDEND FUND - CL	B	115.00	5.75	0.00	5.75	90.0	5.18
07/23/2018	01001362660	VAN ASMA, STEPHANIE R.	IVK MID CAP GROWTH FUND - CLASS A	B	115.00	5.75	0.00	5.75	90.0	5.18
07/23/2018	01001362660	VAN ASMA, STEPHANIE R.	IVK SMALL CAP GROWTH FUND - CLASS A	B	114.00	5.70	0.00	5.70	90.0	5.13
07/23/2018	01001362691	VAN ASMA, NICHOLAS A.	AIM EUROPEAN DEVELOPMENT FD CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
07/23/2018	01001362691	VAN ASMA, NICHOLAS A.	AIM MID CAP GROWTH FD CL A	B	115.00	5.75	0.00	5.75	90.0	5.18
07/23/2018	01001362691	VAN ASMA, NICHOLAS A.	AIM SMALL CAP EQUITY FUND - CLASS A	B	114.00	5.70	0.00	5.70	90.0	5.13
07/23/2018	01001362691	VAN ASMA, NICHOLAS A.	AIM UTILITIES FUND - CLASS A	B	115.00	5.75	0.00	5.75	90.0	5.18
07/30/2018	01001373221	HARRIS, ALAN/KATHLEEN	AIM ASIAN GROWTH FD CL C	B	75.00	0.75	0.00	0.75	90.0	0.68

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/30/2018	01001373221 HARRIS, ALAN/KATHLEEN	INVESCO DIVERSIFIED DIVIDEND FD -CL B		75.00	0.75	0.00	0.75	90.0	0.68
07/30/2018	01001373221 HARRIS, ALAN/KATHLEEN	INVESCO MULTI-ASSET INFLATION FUND- B		75.00	0.75	0.00	0.75	90.0	0.68
07/30/2018	01001373221 HARRIS, ALAN/KATHLEEN	IVK MID CAP GROWTH FUND - CLASS C B		75.00	0.75	0.00	0.75	90.0	0.68
08/01/2018	01001380933 VAN ASMA, STEVEN K.	AIM ASIAN GROWTH FD CL C	B	114.50	1.15	0.00	1.15	90.0	1.04
08/01/2018	01001380933 VAN ASMA, STEVEN K.	INVESCO DIVERSIFIED DIVIDEND FD -CL B		114.50	1.15	0.00	1.15	90.0	1.04
08/01/2018	01001380933 VAN ASMA, STEVEN K.	INVESCO SMALL CAP DISCOVERY FUND-CL B		114.50	1.15	0.00	1.15	90.0	1.04
08/01/2018	01001380933 VAN ASMA, STEVEN K.	IVK AMERICAN VALUE FUND - CLASS C B		114.50	1.15	0.00	1.15	90.0	1.04
07/25/2018	01457245916 PRINTZ, JUSTIN	-FIDELITY ADVISOR FUNDS	B	100.00	3.75	0.00	3.75	90.0	3.38
07/25/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADV STRATEGIC DIV & INCOME B		100.00	3.75	0.00	3.75	90.0	3.38
07/25/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADVISORS EQUITY GRWTH FD C B		100.00	3.75	0.00	3.75	90.0	3.38
07/25/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADVISORS TECHNOLOGY FD CL B		100.00	3.75	0.00	3.75	90.0	3.38
08/01/2018	04000092124 CAMMACK, RYAN L.	THE GROWTH FUND OF AMERICA-529A	B	400.00	20.00	0.00	20.00	90.0	18.00
08/01/2018	04000092197 CAMMACK, RYAN L.	AMCAP FUND-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
08/01/2018	04000135598 JESSEN, ALEX	AMCAP FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
08/01/2018	04000135598 JESSEN, ALEX	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
08/01/2018	04000182059 MARCOV, ERIK	AMERICAN FUNDS AMCAP FD	B	125.00	3.44	0.00	3.44	90.0	3.10
08/01/2018	04000182059 MARCOV, ERIK	AMERICAN FUNDS EURO PACIFIC GROWT B		125.00	3.44	0.00	3.44	90.0	3.10
08/01/2018	04000182059 MARCOV, ERIK	AMERICAN FUNDS NEW PERSPECTIVE FD B		125.00	3.44	0.00	3.44	90.0	3.10
08/01/2018	04000182059 MARCOV, ERIK	AMERICAN FUNDS WA MUTUAL INVES B		125.00	3.44	0.00	3.44	90.0	3.10
07/23/2018	04000211973 SIMBURGER, SHANDA J.	FUNDAMENTAL INVESTORS-C	B	114.58	1.15	0.00	1.15	90.0	1.04
07/23/2018	04000211973 SIMBURGER, SHANDA J.	NEW PERSPECTIVE FUND-C	B	114.58	1.15	0.00	1.15	90.0	1.04
07/23/2018	04000211973 SIMBURGER, SHANDA J.	NEW WORLD FUND-C	B	114.58	1.15	0.00	1.15	90.0	1.04
07/23/2018	04000211973 SIMBURGER, SHANDA J.	THE GROWTH FUND OF AMERICA-C	B	114.58	1.15	0.00	1.15	90.0	1.04
07/23/2018	04000486090 CRILE, JODI	GROWTH PORTFOLIO-A	B	458.00	22.90	0.00	22.90	90.0	20.61
07/23/2018	04000489063 CRILE, JOHN M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		114.50	5.73	0.00	5.73	90.0	5.16
07/23/2018	04000489063 CRILE, JOHN M.	AMERICAN FUNDS SMALL CAP WORLD FD B		114.50	5.73	0.00	5.73	90.0	5.16
07/23/2018	04000489063 CRILE, JOHN M.	AMERICAN FUNDS WA MUTUAL INVES B		114.50	5.73	0.00	5.73	90.0	5.16
07/23/2018	04000489063 CRILE, JOHN M.	NEW WORLD FUND-A	B	114.50	5.73	0.00	5.73	90.0	5.16
08/01/2018	04000537184 HENRY, MAYLEN N.	AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/01/2018	04000537195 HENRY, COHEN E.	AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/30/2018	04000590000 POND, CAMERON L.	AMERICAN FUNDS FUNDAMENTAL	INVES B	80.00	4.00	0.00	4.00	90.0	3.60
07/30/2018	04000590000 POND, CAMERON L.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	80.00	4.00	0.00	4.00	90.0	3.60
07/30/2018	04000906988 BRINK, LANDAN A.	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
08/01/2018	04001067370 JORGENSEN, MATTHEW	EUROPACIFIC GROWTH FUND-C	B	114.50	1.15	0.00	1.15	90.0	1.04
	E.								
08/01/2018	04001067370 JORGENSEN, MATTHEW	FUNDAMENTAL INVESTORS-C	B	114.50	1.15	0.00	1.15	90.0	1.04
	E.								
08/01/2018	04001067370 JORGENSEN, MATTHEW	NEW PERSPECTIVE FUND-C	B	114.50	1.15	0.00	1.15	90.0	1.04
	E.								
08/01/2018	04001067370 JORGENSEN, MATTHEW	THE GROWTH FUND OF AMERICA-C	B	114.50	1.15	0.00	1.15	90.0	1.04
	E.								
08/01/2018	04001067505 JORGENSEN, MATTHEW	AMCAP FUND-C	B	680.67	6.81	0.00	6.81	90.0	6.13
	E.								
08/01/2018	04001067505 JORGENSEN, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	B	680.67	6.81	0.00	6.81	90.0	6.13
	E.								
08/01/2018	04001067505 JORGENSEN, MATTHEW	NEW WORLD FUND-C	B	680.67	6.81	0.00	6.81	90.0	6.13
	E.								
07/18/2018	2155239124 ROLAND `, ANGELA B.	OPPENHEIMER GLOBAL INCOME & GROWTH	B	114.50	2.29	0.00	2.29	90.0	2.06
07/20/2018	2514869381 HURD, JASON D.	OPPENHEIMER QUEST SMALL CAP	VALUE B	50.00	2.38	0.00	2.38	90.0	2.14
07/18/2018	2514915922 ROLAND `, ANGELA B.	OPPENHEIMER QUEST SMALL CAP	VALUE B	114.50	2.29	0.00	2.29	90.0	2.06
07/18/2018	3208894842 ROLAND, DAVID R.	OPPENHEIMER CAPITAL APPREC FD CL A	B	114.50	2.29	0.00	2.29	90.0	2.06
07/16/2018	4206310777 RUTSTEIN, ANDREA	OPPENHEIMER MAIN STREET INCOME & GR	B	100.00	4.75	0.00	4.75	90.0	4.28
07/16/2018	5470548812 PLATT, CYNTHIA L.	PORTFOLIO SERIES: MODERATE INVESTOR	B	4,533.33	45.33	0.00	45.33	90.0	40.80
07/20/2018	5550848155 WRIGHT, EDWARD	PORTFOLIO SERIES: EQUITY INVESTOR F	B	147.14	6.99	0.00	6.99	90.0	6.29
07/18/2018	5901188814 ROLAND, DAVID R.	REAL ESTATE FUND A	B	114.50	2.29	0.00	2.29	90.0	2.06
07/18/2018	7005978745 ROLAND `, ANGELA B.	OPPENHEIMER MAIN STREET INCOME & GR	B	114.50	2.29	0.00	2.29	90.0	2.06
07/20/2018	7007956861 HURD, JASON D.	OPPENHEIMER MAIN STREET INCOME & GR	B	50.00	2.38	0.00	2.38	90.0	2.14
07/23/2018	7021921711 SMITH, ELLA J.	OPPENHEIMER MAIN STREET INCOME& GRO	B	300.00	3.00	0.00	3.00	90.0	2.70
07/20/2018	7210873719 HURD, JASON D.	SMALL- & MID-CAP GROWTH FUND A	B	50.00	2.38	0.00	2.38	90.0	2.14
07/18/2018	7210955361 ROLAND, DAVID R.	SMALL- & MID-CAP GROWTH FUND A	B	114.50	2.29	0.00	2.29	90.0	2.06
07/16/2018	7211015300 RUTSTEIN, ROBERT	SMALL- & MID-CAP GROWTH FUND A	B	100.00	4.75	0.00	4.75	90.0	4.28
07/18/2018	7315596613 ROLAND, DAVID R.	MAIN STREET OPPORTUNITY FUND A	B	271.00	5.42	0.00	5.42	90.0	4.88
07/18/2018	8259515891 ROLAND, DAVID R.	OPPENHEIMER INTL GROWTH FD CL A	B	271.00	5.42	0.00	5.42	90.0	4.88
07/20/2018	8259592627 HURD, JASON D.	OPPENHEIMER INTL GROWTH FD CL A	B	50.00	2.38	0.00	2.38	90.0	2.14
07/18/2018	8353137442 ROLAND, DAVID R.	OPPENHEIMER QUEST CAPITAL	VALUE B	271.00	5.42	0.00	5.42	90.0	4.88
07/18/2018	8477487359 ROLAND `, ANGELA B.	MAIN STREET SMALL CAP FUND A	B	114.50	2.29	0.00	2.29	90.0	2.06
* MUTUAL FUNDS SUBTOTAL *				\$47,173.98	\$1,444.37	\$0.00	\$1,444.37		\$1,300.32

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/31/2018	TRAILS	-JACKSON NATIONAL	B	24.13	24.13	0.00	24.13	90.0	21.72
		* VARIABLE ANNUITIES SUBTOTAL *		\$24.13	\$24.13	\$0.00	\$24.13		\$21.72
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	2,066.66	0.00	2,066.66	90.0	1,860.10
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$2,066.66	\$0.00	\$2,066.66		\$1,860.10
		*** BROKER TRANSACTIONS TOTAL ***		\$47,198.11	\$3,535.16	\$0.00	\$3,535.16		\$3,182.14
<u>VERRIDE TRANSACTIONS</u>									
<u>BROKER: KELSEY MCDANIEL #9660</u>									
07/31/2018	000000000024380 BINGAMAN, BRIANNE N.	MUTUAL INTERNATIONAL CL A	B	0.00	1.12	0.00	1.12		0.22
07/31/2018	000000000027449 BINGAMAN, BRIANNE N.	FRK FOCUSED CORE EQUITY FUND - CLAS B		0.00	1.46	0.00	1.46		0.29
07/31/2018	0000000000194074 KORNEMAN, WACEY C.	FRANKLIN BALANCED FUND - CLASS A	B	0.00	1.61	0.00	1.61		0.32
07/31/2018	0000000008581643 KORNEMAN, HEATHER	MUTUAL DISC FND - CL A	B	0.00	1.63	0.00	1.63		0.33
07/31/2018	0000000008607754 BINGAMAN, BRIANNE N.	MUTUAL DISC FND - CL A	B	0.00	1.42	0.00	1.42		0.28
07/31/2018	000001977715529 MILLER, VALERIE A.	TEMPLETON GROWTH FD CL 1	B	0.00	1.92	0.00	1.92		0.38
07/31/2018	000001979391988 MILLER, VALERIE A.	TEMPLETON GROWTH FD CL 1	B	0.00	2.09	0.00	2.09		0.42
07/31/2018	000004978673778 KORNEMAN, WACEY C.	TEMPLETON FOREIGN FD CL I	B	0.00	1.65	0.00	1.65		0.33
07/31/2018	000015815160601 KORNEMAN, HEATHER	FRANKLIN RISING DIVIDEND FD CL I	B	0.00	1.82	0.00	1.82		0.36
07/31/2018	000015815180488 BINGAMAN, BRIANNE N.	FRANKLIN RISING DIVIDEND FD CL I	B	0.00	1.39	0.00	1.39		0.28
07/31/2018	000019818084410 KORNEMAN, HEATHER	FRANKLIN SMALL CAP GROWTH FD CL I	B	0.00	1.83	0.00	1.83		0.37
07/31/2018	000019818091694 BINGAMAN, BRIANNE N.	FRANKLIN SMALL CAP GROWTH FD CL I	B	0.00	1.54	0.00	1.54		0.31
07/31/2018	000028212511175 BINGAMAN, BRIANNE N.	FRANKLIN VALUE FD CL 1	B	0.00	1.39	0.00	1.39		0.28
07/31/2018	000028212612320 KORNEMAN, HEATHER	FRANKLIN VALUE FD CL 1	B	0.00	1.67	0.00	1.67		0.33

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
BROKER: KELSEY MCDANIEL #9660									
07/31/2018	000060177396363 LARSON, MELISSA	FRANKLIN GROWTH FD CL I	B	0.00	0.62	0.00	0.62		0.12
07/31/2018	000060177578725 BINGAMAN, BRIANNE N.	FRANKLIN GROWTH FD CL I	B	0.00	1.76	0.00	1.76		0.35
07/31/2018	000060177716907 KORNEMAN, WACEY C.	FRANKLIN GROWTH FD CL I	B	0.00	1.93	0.00	1.93		0.39
07/31/2018	000070188170178 KORNEMAN, WACEY C.	FRANKLIN UTILITIES FD CL I	B	0.00	0.99	0.00	0.99		0.20
07/31/2018	000080193151721 BINGAMAN, BRIANNE N.	FRANKLIN DYNATECH FD CL I	B	0.00	1.70	0.00	1.70		0.34
07/25/2018	00067038919 MINOR, BRUCE	AMERICAN FUNDS INVESTMENT COMP OF A B		155.00	4.26	0.00	4.26		0.85
07/25/2018	00067038919 MINOR, BRUCE	AMERICAN FUNDS INVESTMENT COMP OF A B		93.00	2.56	0.00	2.56		0.51
08/01/2018	04000711583 WRIGHT, BLAKE J.	AMERICAN FUNDS GROWTH FUND OF AMERI B		500.00	25.00	0.00	25.00		5.00
07/30/2018	04000753810 POTTER III, DONALD	AMERICAN FUNDS BALANCED FD	B	100.00	5.00	0.00	5.00		1.00
07/30/2018	04000753810 POTTER III, DONALD	AMERICAN FUNDS GROWTH FUND OF AMERI B		100.00	5.00	0.00	5.00		1.00
07/30/2018	04000753810 POTTER III, DONALD	AMERICAN FUNDS SMALL CAP WORLD FD	B	100.00	5.00	0.00	5.00		1.00
07/30/2018	04000753810 POTTER III, DONALD	NEW WORLD FUND-A	B	100.00	5.00	0.00	5.00		1.00
08/01/2018	04000828904 BROWN, MARK K.	AMERICAN FUNDS BALANCED FD	B	125.00	4.69	0.00	4.69		0.94
08/01/2018	04000828904 BROWN, MARK K.	AMERICAN FUNDS GROWTH FUND OF AMERI B		125.00	4.69	0.00	4.69		0.94
08/03/2018	04000928595 VALLETTE, TINA L.	AMERICAN FUNDS GROWTH FUND OF AMERI B		100.00	5.00	0.00	5.00		1.00
07/23/2018	04000988556 WINTER, WHITNEY W.	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	2.50	0.00	2.50		0.50
07/23/2018	04000988556 WINTER, WHITNEY W.	AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.50	0.00	2.50		0.50
07/23/2018	04000988556 WINTER, WHITNEY W.	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	2.50	0.00	2.50		0.50
07/23/2018	04000988998 WINTER, SARAH M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	2.50	0.00	2.50		0.50
07/23/2018	04000988998 WINTER, SARAH M.	AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.50	0.00	2.50		0.50
07/23/2018	04000988998 WINTER, SARAH M.	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	2.50	0.00	2.50		0.50
07/30/2018	04001094341 HANELINE, ANNA N.	AMERICAN FUNDS NEW ECONOMY FD	B	1,500.00	75.00	0.00	75.00		15.00
07/30/2018	04001094341 HANELINE, ANNA N.	AMERICAN FUNDS WA MUTUAL	INVES B	1,500.00	75.00	0.00	75.00		15.00
07/20/2018	2157907097 YOUNG, TANNER D.	OPPENHEIMER GLOBAL INCOME & GROWTH	B	19.80	0.94	0.00	0.94		0.19
07/20/2018	2514980140 YOUNG, TANNER D.	OPPENHEIMER QUEST SMALL CAP	VALUE B	19.80	0.94	0.00	0.94		0.19
07/20/2018	3211903903 YOUNG, TANNER D.	OPPENHEIMER CAPITAL APPREC FD CL A	B	19.80	0.94	0.00	0.94		0.19
07/20/2018	3212111891 GORTMAKER, DEREK A.	OPPENHEIMER CAPITAL APPREC FD CL A	B	119.88	5.69	0.00	5.69		1.14
07/20/2018	7211034352 YOUNG, TANNER D.	SMALL- & MID-CAP GROWTH FUND A	B	19.80	0.94	0.00	0.94		0.19
* KELSEY MCDANIEL SUBTOTAL *				\$4,997.08	\$270.19	\$0.00	\$270.19		\$54.04
*** OVERRIDE TRANSACTIONS TOTAL ***				\$4,997.08	\$270.19	\$0.00	\$270.19		\$54.04

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

08/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
08/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-127.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$3,182.14
Split Transactions	\$0.00
Override Transactions	\$54.04
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	<u>\$0.00</u>

Check Amount	\$3,108.68
Year-to-date Earnings	\$341,391.13