

# COMMISSION STATEMENT August 15, 2018

RON ROCCA FORTE-D055

 BROKER #: D055 Terminated 12/31/2017  
 BRANCH: DMK

, TX

**COMMISSION STATEMENT for RON ROCCA FORTE-D055**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
08/07/2018	00655443885	ABALOS, PEDRO	MFT FIDELITY ADV EQUITY GROWTH CL T B	0.00	1.95	0.00	1.95	0.0	0.00
08/07/2018	00655443893	ABALOS, NATHAN	MFT FIDELITY ADV EQUITY GROWTH CL T B	0.00	1.95	0.00	1.95	0.0	0.00
08/07/2018	00689739571	MEZA, ALBERT	MFT FIDELITY ADV EQUITY GROWTH CL A B	0.00	1.08	0.00	1.08	0.0	0.00
08/07/2018	00689857290	SMITH UTMA-TX,	MFT FIDELITY ADV TECHNOLOGY CL A B	0.00	0.93	0.00	0.93	0.0	0.00
08/07/2018	00704852615	JONATHAN Z	MFT FA 529 PORT 2022 CL A B	0.00	7.38	0.00	7.38	0.0	0.00
08/07/2018	00704852615	JONATHAN Z	MFT FA 529 PORT 2022 CL C B	0.00	1.44	0.00	1.44	0.0	0.00
08/07/2018	00704852623	CONNOR Z	MFT FA 529 PORT 2022 CL A B	0.00	7.36	0.00	7.36	0.0	0.00
08/07/2018	00704852623	CONNOR Z	MFT FA 529 PORT 2022 CL C B	0.00	1.44	0.00	1.44	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$23.53</b>	<b>\$0.00</b>	<b>\$23.53</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$0.00</b>	<b>\$23.53</b>	<b>\$0.00</b>	<b>\$23.53</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$1,419.92</b>