

COMMISSION STATEMENT

July 13, 2018

STEPHEN GORDON
One DTC
5251 DTC Parkway, Suite 990
Greenwood Village, CO 80111

BROKER #: 589
BRANCH: #70038 - GORDONS

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: CUE Financial Group, Inc

BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

| | | | | | | | |
|-----------------------------------|----------------------|-----------|---------------|-------------------|---------------|-------------------|-------------------|
| 07/11/2018 | GORDON (589) PERIODI | FEE BASED | 0.00 | 561.78 | 0.00 | 561.78 | 505.60 |
| 07/11/2018 | MALLER, CAROL | FEE BASED | 0.00 | 131.78 | 0.00 | 131.78 | 118.60 |
| 07/11/2018 | GORDON (589) PERIODI | FEE BASED | 0.00 | 887.65 | 0.00 | 887.65 | 798.89 |
| 07/11/2018 | MALLER, CAROL | FEE BASED | 0.00 | 631.92 | 0.00 | 631.92 | 568.73 |
| * RIA SUBTOTAL * | | | <u>\$0.00</u> | <u>\$2,213.13</u> | <u>\$0.00</u> | <u>\$2,213.13</u> | <u>\$1,991.82</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | <u>\$0.00</u> | <u>\$2,213.13</u> | <u>\$0.00</u> | <u>\$2,213.13</u> | <u>\$1,991.82</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$1,991.82 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Prior Period Balance | \$0.00 |
| NASD Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD
Broker's Commissions Linked\Paid To GORDON, STEPHEN

| | |
|-----------------------|---------------|
| Balance Forward | <u>\$0.00</u> |
| Year-to-date Earnings | <u>\$0.00</u> |