

**COMMISSION STATEMENT****October 15, 2017**

G0C-JEFF/DAN/JON PAU FT-NY KIESNO/RAUPP/C
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: G0C
 BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^09/18/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.29	0.00	0.29	100.0	0.00
^09/07/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	8.79	0.00	8.79	100.0	0.00
^09/07/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.41	0.00	1.41	100.0	0.00
^09/07/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.70	0.00	4.70	100.0	0.00
^09/07/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	6.49	0.00	6.49	100.0	0.00
^09/18/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	3.41	0.00	3.41	100.0	0.00
^09/19/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.05	0.00	4.05	100.0	0.00
^09/19/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.00	0.00	9.00	100.0	0.00
^09/19/2017	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.51	0.00	0.51	100.0	0.00
^09/19/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.17	0.00	5.17	100.0	0.00
^09/19/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.59	0.00	3.59	100.0	0.00
^09/15/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	9.53	0.00	9.53	100.0	0.00
^09/15/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	3.02	0.00	3.02	100.0	0.00
^09/15/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	2.92	0.00	2.92	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$62.88	\$0.00	\$62.88		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$62.88	\$0.00	\$62.88		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.05
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.05
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00