

COMMISSION STATEMENT

July 15, 2018

 ERIC FOREMAN-D023
 628 Tupper Drive

 BROKER #: D023
 BRANCH: DME

Gallatin, TN 37066

COMMISSION STATEMENT for ERIC FOREMAN-D023

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
06/25/2018	00068637021	MCQUIDDY, LINDA	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
06/25/2018	00068637022	MCQUIDDY, LESLIE	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
07/02/2018	00074185860	JOHNSON, JESSICA	MF	AMERICAN BALANCED FUND-A	B	50.00	2.13	0.00	2.13	70.0	1.49
07/02/2018	00074185860	JOHNSON, JESSICA	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	70.0	1.49
06/25/2018	00074205491	WALKER, SIDNEY	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	70.0	1.32
06/25/2018	00074205491	WALKER, SIDNEY	MF	THE INVESTMENT COMPANY OF	B	50.00	1.88	0.00	1.88	70.0	1.32
06/28/2018	00074518547	BUCHANAN, ERNEST	MF	WASHINGTON MUTUAL INVESTORS	B	50.00	2.50	0.00	2.50	70.0	1.75
06/25/2018	00075947998	ROUSE, MIRIAM	MF	FUNDAMENTAL INVESTORS-A	B	25.00	1.25	0.00	1.25	70.0	0.88
06/25/2018	00075947998	ROUSE, MIRIAM	MF	NEW WORLD FUND-A	B	25.00	1.25	0.00	1.25	70.0	0.88
06/25/2018	00082530761	SHAW, JOSHUA	MF	NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50	70.0	1.75
06/25/2018	00085343084	JOHNSON, SKYE	MF	AMERICAN MUTUAL FUND-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
06/25/2018	00085343084	JOHNSON, SKYE	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
06/25/2018	00087031501	HIBBLER, ALANA	MF	CAPITAL WORLD GROWTH AND INCOME	B	83.33	3.54	0.00	3.54	70.0	2.48
06/25/2018	00087031501	HIBBLER, ALANA	MF	NEW WORLD FUND-A	B	83.33	3.54	0.00	3.54	70.0	2.48
06/25/2018	00087031501	HIBBLER, ALANA	MF	THE GROWTH FUND OF AMERICA-A	B	83.33	3.54	0.00	3.54	70.0	2.48
06/25/2018	00087031501	HIBBLER, ALANA	MF	THE INCOME FUND OF AMERICA-A	B	83.35	3.54	0.00	3.54	70.0	2.48
06/25/2018	00087031501	HIBBLER, ALANA	MF	THE INVESTMENT COMPANY OF	B	83.33	3.54	0.00	3.54	70.0	2.48
07/02/2018	03900189311	ANTOINE D LANE	MF	FA 529 GROWTH OPPORTUNITIES	B	50.00	1.50	0.00	1.50	70.0	1.05
07/02/2018	03900189311	ANTOINE D LANE	MF	FA 529 NEW INSIGHTS PORT CL A	B	50.00	1.50	0.00	1.50	70.0	1.05
07/02/2018	04000984601	LEWIS, JADE	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
07/02/2018	04000984601	LEWIS, JADE	MF	THE NEW ECONOMY FUND-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
* MUTUAL FUNDS SUBTOTAL *						\$1,166.67	\$51.22	\$0.00	\$51.22	\$35.88	

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/01/2018	00060505043	CRATE, ERNESTINE	MFT	MFS GLOBAL GROWTH FUND-A	B	0.00	1.68	0.00	1.68	70.0	1.18
07/01/2018	00060506848	CRATE, ERNESTINE	MFT	MFS MID CAP GROWTH FUND-A	B	0.00	1.10	0.00	1.10	70.0	0.77
07/01/2018	00060506956	CRATE, ERNESTINE	MFT	MFS MID CAP GROWTH FUND-A	B	0.00	3.47	0.00	3.47	70.0	2.43
07/01/2018	00060507859	CRATE, ERNESTINE	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	1.26	0.00	1.26	70.0	0.88
07/01/2018	00060507868	CRATE, ERNESTINE	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	7.32	0.00	7.32	70.0	5.12
07/01/2018	00060508053	CRATE, ERNESTINE	MFT	MFS TECHNOLOGY FUND-A	B	0.00	2.22	0.00	2.22	70.0	1.55
07/01/2018	00060508102	CRATE, ERNESTINE	MFT	MFS TECHNOLOGY FUND-A	B	0.00	6.33	0.00	6.33	70.0	4.43

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07/09/2018 00498153972	CRATE, ERNESTINE	MFT FIDELITY ADVISOR (FOCUS) HEALTH	B	0.00	0.56	0.00	0.56	70.0	0.39
07/09/2018 00498153972	CRATE, ERNESTINE	MFT FIDELITY ADV UTILITIES CL A	B	0.00	1.58	0.00	1.58	70.0	1.11
07/09/2018 00498153972	CRATE, ERNESTINE	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	2.01	0.00	2.01	70.0	1.41
07/09/2018 00498153972	CRATE, ERNESTINE	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.44	0.00	1.44	70.0	1.01
07/09/2018 00498153972	CRATE, ERNESTINE	MFT FIDELITY ADV MID CAP VALUE CL A	B	0.00	0.51	0.00	0.51	70.0	0.36
07/09/2018 00498153972	CRATE, ERNESTINE	MFT FIDELITY ADV JAPAN CL A	B	0.00	1.16	0.00	1.16	70.0	0.81
07/09/2018 00498153972	CRATE, ERNESTINE	MFT FIDELITY ADV STOCK SEL ALL CAP	B	0.00	1.68	0.00	1.68	70.0	1.18
07/09/2018 00498153972	CRATE, ERNESTINE	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	10.75	0.00	10.75	70.0	7.53
07/09/2018 00655762854	HIBBLER, AARIE	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	5.99	0.00	5.99	70.0	4.19
07/09/2018 00655914240	HIBBLER, ADRIANA	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.07	0.00	0.07	70.0	0.05
07/09/2018 00656193224	MATTHEWS UTMA-MS,	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	1.20	0.00	1.20	70.0	0.84
07/09/2018 00656193224	MATTHEWS UTMA-MS,	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	1.35	0.00	1.35	70.0	0.95
07/09/2018 00656210267	HIBBLER, EDDIE	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	0.95	0.00	0.95	70.0	0.67
07/09/2018 00656210267	HIBBLER, EDDIE	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	2.05	0.00	2.05	70.0	1.44
07/09/2018 00656210267	HIBBLER, EDDIE	MFT FIDELITY ADV JAPAN CL A	B	0.00	0.49	0.00	0.49	70.0	0.34
07/01/2018 00671919163	MORRIS, MATTIE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	26.28	0.00	26.28	70.0	18.40
07/01/2018 00671925648	CRYSTAL R	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.82	0.00	0.82	70.0	0.57
07/01/2018 00671925649	PHILIP D WILLIAMS	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	31.67	0.00	31.67	70.0	22.17
07/01/2018 00671955622	COLEMAN, MICHAEL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.40	0.00	0.40	70.0	0.28
07/01/2018 00672272920	TONYA D JOHNSON	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	1.15	0.00	1.15	70.0	0.81
07/09/2018 00700430697	HIBBLER UTMA-TN,	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	0.04	0.00	0.04	70.0	0.03
07/09/2018 00700430697	HIBBLER UTMA-TN,	MFT FIDELITY ADV JAPAN CL A	B	0.00	0.01	0.00	0.01	70.0	0.01
07/09/2018 00700455306	MATTHEWS,	MFT FIDELITY ADV UTILITIES CL A	B	0.00	0.61	0.00	0.61	70.0	0.43
07/09/2018 00700455306	MATTHEWS,	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	1.23	0.00	1.23	70.0	0.86
07/09/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV UTILITIES CL A	B	0.00	1.68	0.00	1.68	70.0	1.18
07/09/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	3.24	0.00	3.24	70.0	2.27
07/09/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADVISOR INTERNAT'L	B	0.00	0.78	0.00	0.78	70.0	0.55
07/09/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	1.32	0.00	1.32	70.0	0.92
07/09/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV TOTAL BOND CL A	B	0.00	0.84	0.00	0.84	70.0	0.59
07/09/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV SMALL CAP GROWTH	B	0.00	0.85	0.00	0.85	70.0	0.60
07/09/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV REAL ESTATE INCOME	B	0.00	0.68	0.00	0.68	70.0	0.48
07/09/2018 00702605080	CRATE, CHRISTINE	MFT FIDELITY ADV STOCK SEL ALL CAP	B	0.00	2.15	0.00	2.15	70.0	1.51
07/01/2018 01000058862	WHITAKER, STEPHEN	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	6.37	0.00	6.37	70.0	4.46
07/09/2018 01489893238	CRATE, ERNESTINE	MFT FIDELITY ADVISOR INTERNAT'L	B	0.00	0.78	0.00	0.78	70.0	0.55
07/09/2018 01489893238	CRATE, ERNESTINE	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	1.31	0.00	1.31	70.0	0.92
07/09/2018 01489893238	CRATE, ERNESTINE	MFT FIDELITY ADV TOTAL BOND CL A	B	0.00	0.83	0.00	0.83	70.0	0.58
07/09/2018 01489893238	CRATE, ERNESTINE	MFT FIDELITY ADV SMALL CAP GROWTH	B	0.00	0.85	0.00	0.85	70.0	0.60
07/09/2018 01489893238	CRATE, ERNESTINE	MFT FIDELITY ADV REAL ESTATE INCOME	B	0.00	0.68	0.00	0.68	70.0	0.48
07/09/2018 03900189311	ANTOINE D LANE	MFT FA 529 GROWTH OPPORTUNITIES	B	0.00	0.09	0.00	0.09	70.0	0.06
07/09/2018 03900189311	ANTOINE D LANE	MFT FA 529 NEW INSIGHTS PORT CL A	B	0.00	0.08	0.00	0.08	70.0	0.06
07/01/2018 03911296204	WHITAKER, STEPHEN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	6.05	0.00	6.05	70.0	4.24
07/01/2018 03912716713	WILLIAMS, PHILIP	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	46.51	0.00	46.51	70.0	32.56
07/01/2018 03912716721	WILLIAMS, TRACY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	40.09	0.00	40.09	70.0	28.06
07/01/2018 04040708101	DALY, SHEA	MFT AIM CHARTER FUND - CLASS A	B	0.00	3.95	0.00	3.95	70.0	2.77

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07/01/2018 04040823181	GRAHAM, CALVIN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.28	0.00	0.28	70.0	0.20
07/01/2018 04040823181	GRAHAM, CALVIN	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	0.18	0.00	0.18	70.0	0.13
07/01/2018 05002104213	TURNER, TONEY	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	5.26	0.00	5.26	70.0	3.68
07/01/2018 05002104213	TURNER, TONEY	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	7.54	0.00	7.54	70.0	5.28
07/01/2018 05025747998	TURNER, TONEY	MFT AIM CHARTER FUND - CLASS A	B	0.00	8.51	0.00	8.51	70.0	5.96
07/01/2018 05025747998	TURNER, TONEY	MFT AIM INTERNATIONAL GROWTH FUND -	B	0.00	3.18	0.00	3.18	70.0	2.23
07/01/2018 05025747998	TURNER, TONEY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	8.07	0.00	8.07	70.0	5.65
07/01/2018 05025747998	TURNER, TONEY	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	6.68	0.00	6.68	70.0	4.68
07/01/2018 05054711600	CALEB J GRAHAM	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.41	0.00	2.41	70.0	1.69
07/01/2018 05054711600	CALEB J GRAHAM	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	4.77	0.00	4.77	70.0	3.34
07/01/2018 06900173968	HIBBLER, EDDIE	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	0.22	0.00	0.22	70.0	0.15
07/01/2018 06900177268	HIBBLER, EDDIE	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	0.67	0.00	0.67	70.0	0.47
07/01/2018 06900189701	MATTHEWS,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.87	0.00	1.87	70.0	1.31
07/01/2018 06900191584	MATTHEWS,	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.57	0.00	0.57	70.0	0.40
07/01/2018 06900201994	CRATE, CHRISTINE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.87	0.00	0.87	70.0	0.61
07/01/2018 06900209024	CRATE, CHRISTINE	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	1.13	0.00	1.13	70.0	0.79
07/01/2018 06900212966	CRATE, CHRISTINE	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	1.06	0.00	1.06	70.0	0.74
07/01/2018 06900215249	CRATE, CHRISTINE	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.35	0.00	0.35	70.0	0.25
07/01/2018 06900216648	CRATE, CHRISTINE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.51	0.00	0.51	70.0	0.36
07/01/2018 06900217966	CRATE, CHRISTINE	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	0.16	0.00	0.16	70.0	0.11
07/01/2018 06900218177	CRATE, CHRISTINE	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	0.56	0.00	0.56	70.0	0.39
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$291.36	\$0.00	\$291.36		\$204.06
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
06/30/2018 (None)	VARIOUS, FOREMAN	VAT HARTFORD LIFE	B	0.00	515.18	0.00	515.18	70.0	360.63
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$515.18	\$0.00	\$515.18		\$360.63
*** DIRECT TRANSACTIONS TOTAL ***				\$1,166.67	\$857.76	\$0.00	\$857.76		\$600.57

BROKER COMMISSION TOTALS

Broker Transactions	\$600.57
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$600.57
Year-to-date Commissions	\$3,436.82