



All Companies

04/12/2018

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COMMISSION STATEMENT

April 16, 2018

BRIAN VAN MATTSON
1020 HIDDEN VALLEY CT.

BROKER #: 9116
BRANCH: HOME OFFICE

McGregor, TX 76657-

COMMISSION STATEMENT for BRIAN VAN MATTSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/31/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	32.79	32.79	0.00	32.79	90.0	29.51
03/31/2018	TRAILS	-OPPENHEIMER FUNDS	B	463.13	463.13	0.00	463.13	90.0	416.82
03/31/2018	TRAILS	-OPPENHEIMER FUNDS	B	5.18	5.18	0.00	5.18	90.0	4.66
04/02/2018	00007218289 OWENS, DOROTHY	THE HARTFORD DIVIDEND & GROWTH FUND B	B	100.00	4.75	0.00	4.75	90.0	4.28
03/27/2018	00007230661 HESTON, JIM	THE HARTFORD CAPITAL APPRECIATION F B	B	166.66	6.67	0.00	6.67	90.0	6.00
03/29/2018	00061547521 NEMEC, ERIC C	AMERICAN FUNDS NEW PERSPECTIVE FD B	B	5,200.00	104.00	0.00	104.00	90.0	93.60
04/02/2018	00062023436 OWENS, WILLIAM	AMERICAN FUNDS NEW ECONOMY FD	B	100.00	2.00	0.00	2.00	90.0	1.80
04/02/2018	00062023436 OWENS, WILLIAM	AMERICAN FUNDS NEW PERSPECTIVE FD B	B	100.00	2.00	0.00	2.00	90.0	1.80
04/02/2018	00062023436 OWENS, WILLIAM	AMERICAN FUNDS WA MUTUAL INVES B	B	100.00	2.00	0.00	2.00	90.0	1.80
04/02/2018	00068810837 PENA, JULIE C.	AMERICAN FUNDS INVESTMENT COMP OF A B	B	50.00	2.50	0.00	2.50	90.0	2.25
04/06/2018	00075549792 LEITZELL, CAROL	AMERICAN FUNDS NEW PERSPECTIVE FD B	B	5,000.00	187.50	0.00	187.50	90.0	168.75
04/05/2018	00082719940 ARRENDELL, LANE R	AMERICAN MUTUAL FUND-C	B	125.00	1.25	0.00	1.25	90.0	1.13
04/05/2018	00082719940 ARRENDELL, LANE R	CAPITAL INCOME BUILDER-C	B	200.00	2.00	0.00	2.00	90.0	1.80
04/05/2018	00082719940 ARRENDELL, LANE R	CAPITAL WORLD GROWTH AND INCOME FUN B	B	125.00	1.25	0.00	1.25	90.0	1.13
04/02/2018	00083579116 FELKNER, PAMELA/PRESTON	CAPITAL WORLD GROWTH AND INCOME-529 B	B	150.00	6.38	0.00	6.38	90.0	5.74
04/02/2018	00083579116 FELKNER, PAMELA/PRESTON	FUNDAMENTAL INVESTORS-529A	B	150.00	6.38	0.00	6.38	90.0	5.74
04/02/2018	00085911713 NEMEC, ERIC	AMCAP FUND-529A	B	100.00	2.00	0.00	2.00	90.0	1.80
04/02/2018	00085911713 NEMEC, ERIC	AMERICAN BALANCED FUND-529A	B	150.00	3.00	0.00	3.00	90.0	2.70
04/02/2018	00085911713 NEMEC, ERIC	THE GROWTH FUND OF AMERICA-529A	B	100.00	2.00	0.00	2.00	90.0	1.80
04/02/2018	00085911713 NEMEC, ERIC	THE INCOME FUND OF AMERICA-529A	B	150.00	3.00	0.00	3.00	90.0	2.70
04/03/2018	00086066788 CA/TOD	THE INVESTMENT COMPANY OF AMERICA-C B	B	50.00	0.50	0.00	0.50	90.0	0.45
04/02/2018	00087882822 CALLEN, JULIE MASSINGIL	CAPITAL WORLD GROWTH AND INCOME-529 B	B	200.00	2.00	0.00	2.00	90.0	1.80
04/02/2018	00087882822 CALLEN, JULIE MASSINGIL	THE GROWTH FUND OF AMERICA-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
04/02/2018	00087889028 HOWELL, MICHAEL	AMERICAN BALANCED FUND-C	B	80.00	0.80	0.00	0.80	90.0	0.72
04/02/2018	00087889028 HOWELL, MICHAEL	CAPITAL INCOME BUILDER-C	B	90.00	0.90	0.00	0.90	90.0	0.81
04/02/2018	00087889028 HOWELL, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN B	B	80.00	0.80	0.00	0.80	90.0	0.72
04/02/2018	00088435772 ARRENDELL, LANE R	THE INVESTMENT COMPANY OF AMERICA-5 B	B	100.00	1.00	0.00	1.00	90.0	0.90

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
04/05/2018	00089014200	BROWN, DENISE		AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
04/05/2018	00089014200	BROWN, DENISE		CAPITAL WORLD GROWTH AND INCOME FUN B	B	100.00	1.00	0.00	1.00	90.0	0.90
04/02/2018	00089544664	RASBERRY, RICKY		AMERICAN BALANCED FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
04/02/2018	00089544664	RASBERRY, RICKY		AMERICAN BALANCED FUND-529C	B	500.00	5.00	0.00	5.00	90.0	4.50
04/02/2018	00089544673	ARRENDELL, LANE R		THE INVESTMENT COMPANY OF AMERICA-5 B	B	100.00	1.00	0.00	1.00	90.0	0.90
03/29/2018	00089909217	KOCHMAN, ANDREW B.		AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
03/27/2018	04000594082	DAVIS, CHRISTOPHER		AMERICAN BALANCED FUND-C	B	200.00	2.00	0.00	2.00	90.0	1.80
03/27/2018	04000605805	COLLINS, BIANCA		AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
03/28/2018	2171440263	MARAK, MICHELE		OPPENHEIMER GLOBAL INCOME & GROWTH B	B	99.00	0.99	0.00	0.99	90.0	0.89
03/28/2018	2270564619	MARAK, MICHELE		OPPENHEIMER QUEST VALUE FD CL C B	B	99.00	0.99	0.00	0.99	90.0	0.89
03/28/2018	2322125057	MARAK, MICHELE		OPPENHEIMER STRATEGIC INCOME FD CL B	B	102.00	1.02	0.00	1.02	90.0	0.92
* MUTUAL FUNDS SUBTOTAL *						\$14,967.76	\$863.78	\$0.00	\$863.78		\$777.41
PRODUCT CATEGORY: VARIABLE ANNUITIES											
03/31/2018	TRAILS			-HARTFORD LIFE	B	2,019.63	2,019.63	0.00	2,019.63	90.0	1,817.67
03/22/2009	TRAILS			ALLIANZ - VARIABLE ANNUITY	B	512.07	512.07	0.00	512.07	90.0	460.86
* VARIABLE ANNUITIES SUBTOTAL *						\$2,531.70	\$2,531.70	\$0.00	\$2,531.70		\$2,278.53
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
04/16/2018	TRAIL COMMISSIONS			HARTFORD MUTUAL FUNDS FEES		0.00	206.26	0.00	206.26	90.0	185.65
04/16/2018	TRAIL COMMISSIONS			INVESCO FAMILY OF FUNDS FEES		0.00	5.19	0.00	5.19	90.0	4.67
04/16/2018	TRAIL COMMISSIONS			INVESCO LTD FEES		0.00	9.38	0.00	9.38	90.0	8.44
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$220.83	\$0.00	\$220.83		\$198.76
*** BROKER TRANSACTIONS TOTAL ***						\$17,499.46	\$3,616.31	\$0.00	\$3,616.31		\$3,254.70
ADJUSTMENTS											
04/16/2018				TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-7.50
*** ADJUSTMENTS TOTAL ***						\$0.00	\$0.00	\$0.00	\$0.00		\$-7.50

BROKER COMMISSION TOTALS

Broker Transactions	\$3,254.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-7.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$3,247.20
Year-to-date Earnings	\$17,899.70