

All Companies
STATEMENT
May 20, 2018

DON BREWINGTON

BROKER #: 247
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DON BREWINGTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/20/2018 #	FINNELL, FERGUS	JOHN HANCOCK FINANCIAL INDUSTRIES F	5,000.00	187.50	90.0	0.00	168.75	20.00	148.75
04/20/2018 #	FINNELL, FERGUS	JOHN HANCOCK FINANCIAL INDUSTRIES F	22,000.00	825.00	90.0	0.00	742.50	20.00	722.50
* MUTUAL FUNDS SUBTOTAL *			\$27,000.00	\$1,012.50		\$0.00	\$911.25	\$40.00	\$871.25
<u>PRODUCT CATEGORY: STOCKS</u>									
04/10/2018 #	FINNELL, FERGUS	FACEBOOK INC	26,385.74	210.00	75.0	0.00	157.50	19.95	137.55
* STOCKS SUBTOTAL *			\$26,385.74	\$210.00		\$0.00	\$157.50	\$19.95	\$137.55
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
03/01/0018 #922213354	HALLIGAN, JOSEPH	LINCOLN CPP	0.00	22.13	90.0	0.00	19.92	0.00	19.92
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$22.13		\$0.00	\$19.92	\$0.00	\$19.92
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/06/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	2.03	90.0	0.00	1.83	0.00	1.83
04/10/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	4.42	90.0	0.00	3.98	0.00	3.98
04/10/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	4.01	90.0	0.00	3.61	0.00	3.61
04/10/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	4.89	90.0	0.00	4.40	0.00	4.40
04/10/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	3.80	90.0	0.00	3.42	0.00	3.42
04/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	3.70	90.0	0.00	3.33	0.00	3.33
04/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	2.47	90.0	0.00	2.22	0.00	2.22
04/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	4.14	90.0	0.00	3.73	0.00	3.73
04/10/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	12.08	90.0	0.00	10.87	0.00	10.87
04/10/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	4.68	90.0	0.00	4.21	0.00	4.21
04/10/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	4.57	90.0	0.00	4.11	0.00	4.11
04/10/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	3.66	90.0	0.00	3.29	0.00	3.29
04/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	6.38	90.0	0.00	5.74	0.00	5.74
04/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	6.16	90.0	0.00	5.54	0.00	5.54
04/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	2.04	90.0	0.00	1.84	0.00	1.84
04/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	2.27	90.0	0.00	2.04	0.00	2.04

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
04/10/2018 # FINNELL, FERGUS		DAIN TRAILER	0.00	2.17	90.0	0.00	1.95	0.00	1.95
04/10/2018 # FINNELL, FERGUS		DAIN TRAILER	0.00	4.91	90.0	0.00	4.42	0.00	4.42
04/10/2018 # FINNELL, FERGUS		DAIN TRAILER	0.00	4.32	90.0	0.00	3.89	0.00	3.89
04/10/2018 # FINNELL, FERGUS		DAIN TRAILER	0.00	4.26	90.0	0.00	3.83	0.00	3.83
04/10/2018 # FINNELL, FERGUS		DAIN TRAILER	0.00	2.09	90.0	0.00	1.88	0.00	1.88
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$89.05		\$0.00	\$80.13	\$0.00	\$80.13
*** BROKER TRANSACTIONS TOTAL ***			\$53,385.74	\$1,333.68		\$0.00	\$1,168.80	\$59.95	\$1,108.85

ADJUSTMENTS

05/20/2018	ADMIN FEE: ADMIN FEE	-390.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,108.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$539.85
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