

COMMISSION STATEMENT

November 30, 2017

ANDREW LINDNER
37 GOLDENEYE LANEBROKER #: 4
BRANCH: HOME OFFICE

PORT MONMOUTH, NJ 07758

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
11/02/2017	QGN005274	OLSTEIN ALL CAP	DISCOVERY COMMUNICATIONS INC COM SE B	337,408.00	600.00	6.00	594.00	50.0	297.00
11/13/2017	QGN005274	OLSTEIN ALL CAP	UNIVERSAL HEALTH SVCS INC CL B	193,000.00	60.00	6.00	54.00	50.0	27.00
11/14/2017	QGN005274	OLSTEIN ALL CAP	HENRY SCHEIN INC	394,454.40	180.00	6.00	174.00	50.0	87.00
11/15/2017	QGN005274	OLSTEIN ALL CAP	EATON CORPORATION PLC	456,112.80	180.00	6.00	174.00	50.0	87.00
11/27/2017	QGN005274	OLSTEIN ALL CAP	WINNEBAGO INDUSTRIES INC	-78,000.00	45.00	6.00	39.00	50.0	19.50
11/27/2017	QGN005282	OPPORTUNITIES,	WINNEBAGO INDUSTRIES INC	-38,896.00	22.44	6.00	16.44	50.0	8.22
11/28/2017	QGN005282	OPPORTUNITIES,	DISCOVERY COMMUNICATIONS INC COM SE B	178,704.41	327.00	6.00	321.00	50.0	160.50
11/28/2017	QGN005308	DIOCESE OF ARLINGTON	DISCOVERY COMMUNICATIONS INC COM SE B	9,017.20	26.31	6.00	20.31	50.0	10.16
* STOCKS SUBTOTAL *				\$1,451,800.81	\$1,440.75	\$48.00	\$1,392.75		\$696.38

PRODUCT CATEGORY: BONDS

11/27/2017	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS BDS 2.500% 02/1 B	47,570.31	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN001018	UNION OF OPERATING,	UNITED STATES TREAS BDS 2.500% 02/1 B	9,514.06	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN001075	UNION OF OPERATING,	UNITED STATES TREAS BDS 2.500% 02/1 B	23,804.69	50.00	5.00	45.00	50.0	22.50
10/31/2017	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS BDS 2.750% 08/1 B	197,437.50	62.50	5.00	57.50	50.0	28.75
10/31/2017	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 1.750% 05/1 B	147,421.88	50.00	5.00	45.00	50.0	22.50
10/31/2017	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 2.250% 02/1 B	99,125.00	50.00	5.00	45.00	50.0	22.50
10/31/2017	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 2.000% 04/3 B	98,937.50	50.00	5.00	45.00	50.0	22.50
10/31/2017	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 2.125% 09/3 B	248,593.75	78.13	5.00	73.13	50.0	36.57
11/27/2017	QGN001117	ASSOCIATES, STACEY	UNITED STATES TREAS BDS 2.500% 02/1 B	14,271.09	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 2.250% 08/1 B	99,312.50	50.00	5.00	45.00	50.0	22.50
11/13/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 1.875% 01/3 B	49,781.25	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.250% 08/1 B	297,562.50	93.75	5.00	88.75	50.0	44.38
11/28/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.250% 08/1 B	173,796.88	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN001216	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	19,043.75	50.00	5.00	45.00	50.0	22.50
11/06/2017	QGN001273	PUBLIC EMPLOYEES	UNITED STATES TREAS BDS 2.750% 11/1 B	125,000.00	50.00	5.00	45.00	50.0	22.50
11/06/2017	QGN001273	PUBLIC EMPLOYEES	UNITED STATES TREAS BDS 2.500% 02/1 B	118,222.66	50.00	5.00	45.00	50.0	22.50
11/06/2017	QGN001273	PUBLIC EMPLOYEES	UNITED STATES TREAS NTS 1.875% 02/2 B	199,656.25	62.50	5.00	57.50	50.0	28.75
11/13/2017	QGN001273	PUBLIC EMPLOYEES	UNITED STATES TREAS BDS 2.750% 08/1 S	-24,640.63	50.00	5.00	45.00	50.0	22.50
11/13/2017	QGN001273	PUBLIC EMPLOYEES	UNITED STATES TREAS BDS 2.875% 05/1 S	-75,539.06	50.00	5.00	45.00	50.0	22.50
11/13/2017	QGN001273	PUBLIC EMPLOYEES	UNITED STATES TREAS NTS 2.250% 02/1 S	-148,171.88	50.00	5.00	45.00	50.0	22.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
11/27/2017	QGN001273	PUBLIC EMPLOYEES	UNITED STATES TREAS BDS 2.500% 02/1 B	23,785.16	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN001315	STATE COURT CLERKS,	UNITED STATES TREAS NTS 2.250% 08/1 B	14,878.13	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN001364	LOCAL 300 CIVIL	UNITED STATES TREAS NTS 2.250% 08/1 B	198,750.00	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN001380	TEAMSTERS LOCAL 445	UNITED STATES TREAS BDS 2.500% 02/1 B	23,785.16	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN001463	COUNCIL, TEAMSTER	UNITED STATES TREAS BDS 2.500% 02/1 B	23,785.16	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN001463	COUNCIL, TEAMSTER	UNITED STATES TREAS BDS 2.500% 02/1 B	47,546.88	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS BDS 2.500% 02/1 B	47,570.31	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS BDS 2.500% 02/1 B	23,804.69	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.250% 08/1 B	99,312.50	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 08/1 B	247,968.75	78.13	5.00	73.13	50.0	36.57
11/28/2017	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 08/1 B	297,937.50	93.75	5.00	88.75	50.0	44.38
11/28/2017	QGN001711	OPPENHEIMER & CO	UNITED STATES TREAS BDS 2.500% 02/1 B	23,773.44	50.00	5.00	45.00	50.0	22.50
11/02/2017	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 1.375% 09/1 B	495,468.75	156.25	5.00	151.25	50.0	75.63
11/03/2017	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 1.250% 05/3 B	248,808.59	78.13	5.00	73.13	50.0	36.57
11/27/2017	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 1.500% 04/1 B	496,562.50	156.25	5.00	151.25	50.0	75.63
11/28/2017	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 1.375% 01/1 B	297,750.00	93.75	5.00	88.75	50.0	44.38
11/28/2017	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 1.375% 07/3 B	298,406.25	93.75	5.00	88.75	50.0	44.38
11/02/2017	QGN001901	IBT, LOCAL	UNITED STATES TREAS NTS 1.375% 09/1 B	99,093.75	50.00	5.00	45.00	50.0	22.50
10/31/2017	QGN001968	NO1, BRICKLAYERS	UNITED STATES TREAS BDS 2.500% 02/1 S	-9,306.25	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS NTS 2.250% 08/1 B	99,187.50	50.00	5.00	45.00	50.0	22.50
11/06/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS NTS 2.000% 08/1 B	295,171.88	93.75	5.00	88.75	50.0	44.38
11/27/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS BDS 2.500% 02/1 B	142,710.94	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS BDS 2.500% 02/1 B	119,023.44	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS BDS 2.500% 02/1 B	23,773.44	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS BDS 2.500% 02/1 B	47,570.31	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS BDS 2.500% 02/1 B	33,282.81	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS NTS 2.250% 08/1 B	9,918.75	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS BDS 2.500% 02/1 B	9,509.38	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN002313	LABORERS LOCAL 235	UNITED STATES TREAS NTS 2.250% 08/1 B	19,862.50	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN002362	LOCAL 804 PENSION	UNITED STATES TREAS NTS 2.250% 08/1 B	19,837.50	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN002362	LOCAL 804 PENSION	UNITED STATES TREAS NTS 2.250% 08/1 B	19,862.50	50.00	5.00	45.00	50.0	22.50
10/31/2017	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 1.500% 08/1 S	-32,681.25	50.00	5.00	45.00	50.0	22.50
11/13/2017	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS BDS 2.875% 08/1 S	-50,171.88	50.00	5.00	45.00	50.0	22.50
11/13/2017	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS NTS 2.250% 11/1 B	49,718.75	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS BDS 2.500% 02/1 B	28,542.19	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS BDS 2.500% 02/1 B	95,093.75	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 1.375% 02/1 B	24,835.94	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 1.875% 04/3 B	24,906.25	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 1.125% 08/3 B	24,312.50	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 2.250% 08/1 B	14,878.13	50.00	5.00	45.00	50.0	22.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
11/28/2017	QGN002610	GROSS, ARTHUR	UNITED STATES TREAS NTS 2.250% 08/1 B	4,965.63	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN002800	TEACHERS,	UNITED STATES TREAS NTS 1.500% 12/3 S	-24,945.31	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN002800	TEACHERS,	UNITED STATES TREAS NTS 2.250% 11/3 S	-9,996.88	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN002800	TEACHERS,	UNITED STATES TREAS NTS 1.125% 12/3 S	-39,475.00	50.00	5.00	45.00	50.0	22.50
11/17/2017	QGN003279	VERNON FEDERATION OF	UNITED STATES TREAS NTS 2.000% 02/1 S	-34,825.00	50.00	5.00	45.00	50.0	22.50
11/02/2017	QGN004228	ROSS & JOAN K ROSS,	UNITED STATES TREAS NTS 1.375% 09/1 B	9,909.38	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS BDS TREAS INFLA S	-5,953.20	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS BDS 30 YR TREAS S	-7,700.19	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS BDS TREAS INFLA S	-2,969.03	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS BDS TREAS INFLA S	-2,915.24	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS BDS 30 YEAR TIP B	18,179.25	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 10YR TRES T S	-3,859.75	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 05 YR TREAS S	-6,825.49	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 0.250% 01/1 S	-3,896.02	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS TREA TIPS 0 S	-4,943.82	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 10YR TRES T S	-3,870.40	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS INFLATION I S	-4,889.34	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS INFLATION I S	-3,909.48	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS INFLATION I S	-3,954.68	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 10 YR TREAS S	-3,917.83	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 10 YR TREAS S	-3,945.69	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NOTES INFL IDX S	-3,883.22	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS TREAS TIPS S	-2,999.67	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS TREAS TIPS S	-5,845.45	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 0.375% 07/1 B	74,536.60	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 05 YR TREAS S	6,825.49	-50.00	-5.00	-45.00	50.0	-22.50 C
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 05 YR TREAS S	-6,828.90	50.00	5.00	45.00	50.0	22.50
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS INFLATION I S	3,954.68	-50.00	-5.00	-45.00	50.0	-22.50 C
11/01/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS INFLATION I S	-3,962.08	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN005175	OPPENHEIMER & CO	UNITED STATES TREAS BDS 2.500% 02/1 B	9,514.06	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN005241	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 2.250% 08/1 B	24,796.88	50.00	5.00	45.00	50.0	22.50
11/15/2017	QGN005373	HATCHER, CHARLES	FLORIDA HIGHER EDL FACS FING AUTH R B	25,876.25	50.00	5.00	45.00	50.0	22.50
11/15/2017	QGN005373	HATCHER, CHARLES	FLORIDA ST RFDG-DEPT TRANSN-RIGHT-O B	49,964.00	50.00	5.00	45.00	50.0	22.50
11/06/2017	QGN005407	OPPENHEIMER & CO	MERCK & CO INC NEW FXD RT NT 2.750% B	25,125.00	50.00	5.00	45.00	50.0	22.50
11/06/2017	QGN005407	OPPENHEIMER & CO	UNITED STATES BANCORP MEDIUM U S BA B	25,125.00	50.00	5.00	45.00	50.0	22.50
11/06/2017	QGN005407	OPPENHEIMER & CO	UNITED STATES BANCORP MEDIUM U S BA B	-25,125.00	-50.00	-5.00	-45.00	50.0	-22.50 C
11/06/2017	QGN005407	OPPENHEIMER & CO	UNITED STATES BANCORP MEDIUM U S BA B	25,406.25	50.00	5.00	45.00	50.0	22.50
11/06/2017	QGN005415	OPPENHEIMER & CO	MERCK & CO INC NEW FXD RT NT 2.750% B	25,125.00	50.00	5.00	45.00	50.0	22.50
11/06/2017	QGN005456	OPPENHEIMER & CO	MERCK & CO INC NEW FXD RT NT 2.750% B	20,100.00	50.00	5.00	45.00	50.0	22.50
11/16/2017	QGN005456	OPPENHEIMER & CO	KEY WEST FLA UTIL BRD ELEC REV RFDG B	15,515.85	50.00	5.00	45.00	50.0	22.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
11/28/2017	QGN005597	LEGAL, CONNECTICUT	UNITED STATES TREAS BDS 2.500% 02/1 B	9,509.38	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREAS BDS 2.500% 02/1 B	14,271.09	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 2.250% 08/1 B	19,862.50	50.00	5.00	45.00	50.0	22.50
11/02/2017	QGN006272	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 1.375% 09/1 B	24,773.44	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN026064	YORK STATE COURT	UNITED STATES TREAS NTS 2.250% 08/1 B	9,931.25	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN026114	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 2.250% 08/1 B	14,878.13	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN026122	OF DELAWARE LOCAL	UNITED STATES TREAS BDS 2.500% 02/1 B	14,282.81	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN026205	DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 2.250% 08/1 B	99,312.50	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN026239	PNC BANK	UNITED STATES TREAS BDS 2.500% 02/1 B	47,570.31	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN026239	PNC BANK	UNITED STATES TREAS BDS 2.500% 02/1 B	47,546.88	50.00	5.00	45.00	50.0	22.50
11/13/2017	QGN026627	STACEY BRAUN	UNITED STATES TREAS BDS 2.875% 05/1 S	-25,179.69	50.00	5.00	45.00	50.0	22.50
11/13/2017	QGN026627	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 S	-24,859.38	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS BDS 2.500% 02/1 B	47,570.31	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN026957	LOCAL 177 UNION	UNITED STATES TREAS BDS 2.500% 02/1 B	28,565.63	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS BDS 2.500% 02/1 B	47,570.31	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS BDS 2.500% 02/1 B	47,546.88	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS BDS 2.500% 02/1 B	161,739.06	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 2.250% 08/1 B	99,187.50	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS BDS 2.500% 02/1 B	118,867.19	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN027187	SAVASTA, MR	UNITED STATES TREAS BDS 2.500% 02/1 B	23,785.16	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 2.250% 08/1 B	49,593.75	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 2.250% 08/1 B	99,312.50	50.00	5.00	45.00	50.0	22.50
11/13/2017	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS BDS 2.500% 02/1 S	-23,304.69	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.250% 08/1 B	298,125.00	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS BDS 2.500% 02/1 B	95,218.75	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.500% 02/1 B	142,710.94	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.500% 02/1 B	119,023.44	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS BDS 2.500% 02/1 B	23,785.16	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS BDS 2.500% 02/1 B	33,326.56	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 2.375% 06/3 S	-75,375.00	50.00	5.00	45.00	50.0	22.50
11/27/2017	QGN027484	SETTERS LOCAL 84,	UNITED STATES TREAS BDS 2.500% 02/1 B	14,271.09	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN027484	SETTERS LOCAL 84,	UNITED STATES TREAS BDS 2.500% 02/1 B	19,018.75	50.00	5.00	45.00	50.0	22.50
11/28/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.250% 08/1 B	49,656.25	50.00	5.00	45.00	50.0	22.50
* BONDS SUBTOTAL *				<u>\$7,555,103.01</u>	<u>\$6,890.64</u>	<u>\$635.00</u>	<u>\$6,255.64</u>		<u>\$3,127.87</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$9,006,903.82</u>	<u>\$8,331.39</u>	<u>\$683.00</u>	<u>\$7,648.39</u>		<u>\$3,824.25</u>

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
11/30/2017		:		0.00	0.00	0.00	0.00		0.00
11/30/2017		OTHER: BLOOMBERG		0.00	0.00	0.00	0.00		-996.21
11/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
11/30/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-15.00
11/30/2017		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-212.50
11/30/2017		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-54.33
11/30/2017		OTHER: EZ CASTLE		0.00	0.00	0.00	0.00		-55.56
11/30/2017		OTHER: PIVOT		0.00	0.00	0.00	0.00		-68.05
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-1,421.65

BROKER COMMISSION TOTALS

Broker Transactions	\$3,824.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,421.65
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-10.41
SIPC Assessment	\$-20.83

Check Amount	\$2,371.36
Year-to-date Earnings	\$18,030.24