

**COMMISSION STATEMENT****April 30, 2017**DAVID KERN
4321 Flagstaff CoveBROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/20/2017	PICCIANO, DEAN	PACIFIC FINANCIAL	114,168.06	84.73	0.00	84.73	90.0	76.26
04/19/2017	RIEBE, ALAN	SAWTOOTH	0.00	517.15	0.00	517.15	90.0	465.44
* RIA SUBTOTAL *			\$114,168.06	\$601.88	\$0.00	\$601.88		\$541.70
*** BROKER TRANSACTIONS TOTAL ***			\$114,168.06	\$601.88	\$0.00	\$601.88		\$541.70

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$541.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$541.70
Year-to-date Gross	\$2,461.17
Year-to-date Earnings	\$2,215.06

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed