



All Companies

04/27/2018

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COMMISSION STATEMENT

May 01, 2018

DAVID SCOTT RAPOPORT
5546 Rainbow Circle

BROKER #: 9076
BRANCH: HOME OFFICE

Santa Rosa, CA 95409

COMMISSION STATEMENT for DAVID SCOTT RAPOPORT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/10/2018	000000000041792	RODE, PETER	MUTUAL INTERNATIONAL CL A	B	72.00	2.70	0.00	2.70	65.0	1.76
04/10/2018	0000000000812545	RODE, PETER	FRANKLIN MUTUAL FINCL SERVICES FD C B		72.00	2.70	0.00	2.70	65.0	1.76
04/10/2018	00000000008801843	RODE, PETER	MUTUAL DISC FND - CL A	B	72.00	2.70	0.00	2.70	65.0	1.76
04/16/2018	000080193000975	PISENTI, SARAH	FRANKLIN DYNATECH FD CL I	B	300.00	15.00	0.00	15.00	65.0	9.75
04/16/2018	00086994400	SHELDON, EMMETT/CHRISTIN	AMERICAN FUNDS SMALL CAP WORLD FD	B	250.00	6.88	0.00	6.88	65.0	4.47
04/16/2018	00088458875	UNTIL AGE 18, CA/UTMA	AMERICAN FUNDS SMALL CAP WORLD FD	B	100.00	2.75	0.00	2.75	65.0	1.79
04/02/2018	2913146902	DOMAN, DONNA	SENIOR FLOATING RATE FUND A	B	6,500.00	113.75	0.00	113.75	65.0	73.94
04/10/2018	7006770522	WEIN, TAMRAH G	OPPENHEIMER MAIN STREET INCOME & GR B		550.00	11.00	0.00	11.00	65.0	7.15
04/10/2018	8473403367	GOLDSTEIN, FRANNE C	MAIN STREET SMALL CAP FUND A	B	100.00	4.75	0.00	4.75	65.0	3.09
04/10/2018	8475633672	WEIN, TAMRAH	MAIN STREET SMALL CAP FUND A	B	450.00	9.00	0.00	9.00	65.0	5.85
* MUTUAL FUNDS SUBTOTAL *					\$8,466.00	\$171.23	\$0.00	\$171.23		\$111.32

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/01/2018 TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES	0.00	48.04	0.00	48.04	65.0	31.23
05/01/2018 TRAIL COMMISSIONS	PIONEER SERVICES CORPORATION FEES	0.00	91.53	0.00	91.53	65.0	59.49
05/01/2018 TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES	0.00	45.16	0.00	45.16	65.0	29.35
05/01/2018 TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES	0.00	98.93	0.00	98.93	65.0	64.30
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$283.66	\$0.00	\$283.66		\$184.37
*** BROKER TRANSACTIONS TOTAL ***		\$8,466.00	\$454.89	\$0.00	\$454.89		\$295.69

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ADJUSTMENTS

05/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$295.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$268.19
Year-to-date Earnings	\$3,567.59