

**COMMISSION STATEMENT****March 15, 2018**

ROY, P123 PFLEGER II
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: P123
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
02/16/2018	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.41	0.00	1.41	75.0	1.06
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.41	\$0.00	\$1.41		\$1.06
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$1.41	\$0.00	\$1.41		\$1.06

<u>SPLIT TRANSACTIONS</u>								
BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254								
03/03/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	134,591.15	19.58	0.00	19.58	75.0	14.69
02/20/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.09	0.00	0.09	75.0	0.07
02/16/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.22	0.00	0.22	75.0	0.17
02/16/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.36	0.00	0.36	75.0	0.27
02/21/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.65	0.00	0.65	75.0	0.49
02/21/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.53	0.00	0.53	75.0	0.40
02/21/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	3.11	0.00	3.11	75.0	2.33
02/21/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.76	0.00	2.76	75.0	2.07
02/21/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.23	0.00	0.23	75.0	0.17
02/14/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.37	0.00	0.37	75.0	0.28
02/14/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.65	0.00	1.65	75.0	1.24
02/22/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.74	0.00	0.74	75.0	0.56
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$134,591.15	\$30.29	\$0.00	\$30.29		\$22.74
BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381								
02/22/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.68	0.00	0.68	75.0	0.51
02/14/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.79	0.00	1.79	75.0	1.34
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$0.00	\$2.47	\$0.00	\$2.47		\$1.85
*** SPLIT TRANSACTIONS TOTAL ***			\$134,591.15	\$32.76	\$0.00	\$32.76		\$24.59

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
03/15/2018		*EO INS: CURRENT MONTH	0.00					-105.00
03/15/2018		*MISC: OVERNIGHT FEES	0.00					-13.33
03/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.54
03/15/2018		*TECHNOLOGY: CURRENT MONTH	0.00					-96.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-215.12

BROKER COMMISSION TOTALS

Broker Transactions	\$1.06
Split Transactions	\$24.59
Override Transactions	\$0.00
Adjustments	\$-215.12
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-189.47
Year-to-date Gross	\$85,590.25
Year-to-date Earnings	\$64,175.38

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