



All Companies

06/14/2018  
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# COMMISSION STATEMENT

June 15, 2018

SIGNAL POOL

BROKER #: 9623  
BRANCH: HOME OFFICE

, TX

## COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
06/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	27.02	0.00	27.02	100.0	27.02
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$27.02</b>	<b>\$0.00</b>	<b>\$27.02</b>		<b>\$27.02</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$0.00</b>	<b>\$27.02</b>	<b>\$0.00</b>	<b>\$27.02</b>		<b>\$27.02</b>

## SPLIT TRANSACTIONS

**BROKER: ROTHSCHILD/POOL #9629**

05/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	115.02	46.01	0.00	46.01	100.0	46.01
05/25/2018	0000000000003549 CAMAGANACAN, SIXTO/ROSALINA	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	0.20	0.00	0.20	100.0	0.20
06/05/2018	0000000000026245 BUSA, JOSEPHINE JANE	-FRANKLIN TEMPLETON	B	50.00	0.20	0.00	0.20	100.0	0.20
05/25/2018	00000000000817890 TEN, JT	FRANKLIN VALUE FD CL II	B	100.00	0.40	0.00	0.40	100.0	0.40
05/25/2018	0000000001223650 TEN, JT	FRANKLIN 102 AGE HIGH INCOME FD	C B	50.00	0.20	0.00	0.20	100.0	0.20
05/25/2018	00000000003564376 TEN, JT	TEMP GLOBAL BOND FND - CL C	B	50.00	0.20	0.00	0.20	100.0	0.20
06/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	1,932.14	0.00	1,932.14	100.0	1,932.14
06/01/2018	00064082782 KRAATZ, MATTHEW	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	50.00	0.85	0.00	0.85	100.0	0.85
05/31/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS INVESTMENT COMP OF A B	B	100.00	1.70	0.00	1.70	100.0	1.70
05/31/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	1.70	0.00	1.70	100.0	1.70
05/31/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	1.70	0.00	1.70	100.0	1.70
06/07/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	104.00	1.77	0.00	1.77	100.0	1.77
06/07/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS INVESTMENT COMP OF A B	B	208.00	3.54	0.00	3.54	100.0	3.54
06/07/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS NEW PERSPECTIVE FD	B	105.00	1.78	0.00	1.78	100.0	1.78
05/21/2018	00087441316 DAMRON/HUPP, MARTHA/ABIGAIL	COLLEGE ENROLLMENT FUND-529A	B	50.00	0.40	0.00	0.40	100.0	0.40
05/21/2018	00088056101 PYLE, DYLAN	AMERICAN FUNDS INVESTMENT COMP OF A B	B	45.00	0.76	0.00	0.76	100.0	0.76
06/01/2018	08191365977 ATCHISON, STEPHEN	MFS LIFETIME 2025 FUND-B	B	100.00	1.60	0.00	1.60	100.0	1.60

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JUDE RAMON L. BARCENAS #9620 </u>									
		* ROTHSCHILD/POOL SUBTOTAL *		\$1,377.02	\$1,995.15	\$0.00	\$1,995.15		\$1,995.15
		*** SPLIT TRANSACTIONS TOTAL ***		\$1,377.02	\$1,995.15	\$0.00	\$1,995.15		\$1,995.15
<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JUDE RAMON L. BARCENAS #9620 </u>									
05/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	163.20	163.20	0.00	163.20		1.63
05/28/2018	TRAILS	-HARTFORD LIFE	B	49.87	49.87	0.00	49.87		0.50
05/24/2018	DEVEZA, MICHAEL NORMAN	-PACIFIC LIFE INSURANCE	B	29.25	0.88	0.00	0.88		0.01
05/24/2018	DEVEZA, NOEMLINA ONG	-PACIFIC LIFE INSURANCE	B	26.92	0.81	0.00	0.81		0.01
05/21/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	43.97	2.64	0.00	2.64		0.03
04/30/2018	TRAILS	SECURITY LIFE OF DENVER	B	4.58	4.58	0.00	4.58		0.05
05/31/2018	TRAILS	SECURITY LIFE OF DENVER	B	4.66	4.66	0.00	4.66		0.05
06/05/2018	000000000011193 CABRERA, IVY/CHARLES	-FRANKLIN TEMPLETON	B	200.00	2.00	0.00	2.00		0.02
05/21/2018	0000000000894129 BUSA, JOSEPHINE JANE	FRANKLIN VALUE FD CL II	B	50.00	0.50	0.00	0.50		0.01
06/01/2018	00059867817 AUSTRIA, FELIX	AMERICAN FUNDS INVESTMENT COMP OF A B		0.00	2.53	0.00	2.53		0.03
06/01/2018	00059942585 SEGOVIA, ARTURO	AMERICAN FUNDS EURO PACIFIC GROWT B		0.00	3.81	0.00	3.81		0.04
06/01/2018	00059942585 SEGOVIA, ARTURO	AMERICAN FUNDS GROWTH FUND OF AMERI B		0.00	5.26	0.00	5.26		0.05
06/01/2018	00059962528 SAPUNTO, CECILIA	AMERICAN FUNDS AMERICAN MUTUAL FD B		0.00	6.13	0.00	6.13		0.06
06/01/2018	00059962528 SAPUNTO, CECILIA	AMERICAN FUNDS GROWTH FUND OF AMERI B		0.00	19.32	0.00	19.32		0.19
06/01/2018	00059962528 SAPUNTO, CECILIA	AMERICAN FUNDS INVESTMENT COMP OF A B		0.00	11.79	0.00	11.79		0.12
06/01/2018	00060555032 JOSE, ANTONIO C.	AMERICAN FUNDS NEW ECONOMY FD	B	0.00	24.78	0.00	24.78		0.25
06/01/2018	00060555033 JOSE, ANICIA P.	AMERICAN FUNDS AMERICAN MUTUAL FD B		0.00	21.10	0.00	21.10		0.21
06/01/2018	00060664510 LIJAUCO, ROMARICO	AMERICAN FUNDS AMERICAN MUTUAL FD B		0.00	57.50	0.00	57.50		0.58
06/01/2018	00060664510 LIJAUCO, ROMARICO	NEW WORLD FUND-A	B	0.00	44.75	0.00	44.75		0.45
06/01/2018	00060805753 HONRALES, EDWIN	AMERICAN FUNDS FUNDAMENTAL INVES B		0.00	19.81	0.00	19.81		0.20
06/01/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS AMERICAN MUTUAL FD B		0.00	13.45	0.00	13.45		0.13
06/01/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS CAPITAL WORLD BD FD B		0.00	8.40	0.00	8.40		0.08
06/01/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS HIGH INCOME TR FD B		0.00	9.47	0.00	9.47		0.09
06/01/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS INCOME FD OF AMERI B		0.00	11.60	0.00	11.60		0.12
06/01/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS NEW ECONOMY FD	B	0.00	16.22	0.00	16.22		0.16
06/01/2018	00061689866 JAVELOSA, SANDRA M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		0.00	30.43	0.00	30.43		0.30
06/01/2018	00061881018 FILOTEO-GONZALES, JUDELIA	AMERICAN FUNDS AMERICAN MUTUAL FD B		0.00	0.95	0.00	0.95		0.01
06/01/2018	00061881018 FILOTEO-GONZALES, JUDELIA	AMERICAN FUNDS CAPITAL WORLD BD FD B		0.00	0.62	0.00	0.62		0.01

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<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: JUDE RAMON L. BARCENAS #9620</u>									
06/01/2018	00061881018	FILOTEO-GONZALES, AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	0.70	0.00	0.70		0.01
	JUDELIA								
06/01/2018	00061881018	FILOTEO-GONZALES, AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	0.86	0.00	0.86		0.01
	JUDELIA								
06/01/2018	00061881018	FILOTEO-GONZALES, AMERICAN FUNDS NEW ECONOMY FD	B	0.00	1.23	0.00	1.23		0.01
	JUDELIA								
06/01/2018	00062049074	CALUNGSUD, KELLY AMERICAN FUNDS CAPITAL WORLD GROWT	B	0.00	6.52	0.00	6.52		0.07
	M.								
06/01/2018	00062049074	CALUNGSUD, KELLY AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	8.18	0.00	8.18		0.08
	M.								
06/01/2018	00062049074	CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	5.07	0.00	5.07		0.05
	M.								
06/01/2018	00062049074	CALUNGSUD, KELLY AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	2.72	0.00	2.72		0.03
	M.								
06/01/2018	00062049074	CALUNGSUD, KELLY NEW WORLD FUND-A	B	0.00	5.56	0.00	5.56		0.06
	M.								
06/01/2018	00062241870	CRUZ, HARRY AMERICAN FUNDS FUNDAMENTAL INVES	B	0.00	2.37	0.00	2.37		0.02
06/01/2018	00062241870	CRUZ, HARRY AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	1.44	0.00	1.44		0.01
06/01/2018	00062356543	MAZA, LEMUEL AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	18.15	0.00	18.15		0.18
06/01/2018	00062720294	MAZA, LEANDRO AMERICAN FUNDS CAPITAL WORLD GROWT	B	0.00	2.18	0.00	2.18		0.02
06/01/2018	00062720294	MAZA, LEANDRO AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	1.34	0.00	1.34		0.01
06/01/2018	00062720294	MAZA, LEANDRO AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	2.14	0.00	2.14		0.02
06/01/2018	00062720294	MAZA, LEANDRO NEW WORLD FUND-A	B	0.00	1.27	0.00	1.27		0.01
06/01/2018	00063253307	PAGADUAN, JOY AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	3.80	0.00	3.80		0.04
06/01/2018	00063669126	FILOTEO-GONZALES, AMERICAN FUNDS BD FD OF AMER	B	0.00	6.59	0.00	6.59		0.07
	JUDELIA								
06/01/2018	00063669126	FILOTEO-GONZALES, AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	8.26	0.00	8.26		0.08
	JUDELIA								
06/01/2018	00063669126	FILOTEO-GONZALES, AMERICAN FUNDS INCOME SER US GOVT	B	0.00	6.09	0.00	6.09		0.06
	JUDELIA								
06/01/2018	00063669126	FILOTEO-GONZALES, AMERICAN FUNDS INTERM BD FD OF AMER	B	0.00	5.90	0.00	5.90		0.06
	JUDELIA								
06/01/2018	00063669126	FILOTEO-GONZALES, AMERICAN FUNDS SMALL CAP WORLD FD	B	0.00	12.53	0.00	12.53		0.13
	JUDELIA								
06/01/2018	00063819382	UNTIL AGE 18, AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	1.78	0.00	1.78		0.02
	CA/UTMA								
06/01/2018	00064071443	LABRADOR, AMERICAN FUNDS NEW ECONOMY FD	B	0.00	0.74	0.00	0.74		0.01
	THERESA/DANIEL								
06/01/2018	00064568964	EVASCO, FLORENIE AMERICAN FUNDS CAPITAL INCOME BUILD	B	0.00	0.57	0.00	0.57		0.01
06/01/2018	00064568964	EVASCO, FLORENIE AMERICAN FUNDS EURO PACIFIC GROWT	B	0.00	0.56	0.00	0.56		0.01

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<u> OVERRIDE TRANSACTIONS </u>									
<b>BROKER: JUDE RAMON L. BARCENAS #9620</b>									
06/01/2018	00064568964	EVASCO, FLORENIE	AMERICAN FUNDS GROWTH FUND OF AMERI B	0.00	0.83	0.00	0.83		0.01
06/01/2018	00064568964	EVASCO, FLORENIE	AMERICAN FUNDS HIGH INCOME TR FD B	0.00	0.54	0.00	0.54		0.01
06/01/2018	00064568964	EVASCO, FLORENIE	NEW WORLD FUND-A B	0.00	0.55	0.00	0.55		0.01
06/01/2018	00066945809	BARCENAS, RODOLFO	AMERICAN FUNDS AMERICAN MUTUAL FD B	0.00	2.09	0.00	2.09		0.02
		L.							
06/01/2018	00066945809	BARCENAS, RODOLFO	AMERICAN FUNDS CAPITAL WORLD BD FD B	0.00	1.23	0.00	1.23		0.01
		L.							
06/01/2018	00066945809	BARCENAS, RODOLFO	AMERICAN FUNDS HIGH INCOME TR FD B	0.00	1.42	0.00	1.42		0.01
		L.							
06/01/2018	00066945809	BARCENAS, RODOLFO	AMERICAN FUNDS INCOME FD OF AMERI B	0.00	1.82	0.00	1.82		0.02
		L.							
06/01/2018	00066945809	BARCENAS, RODOLFO	AMERICAN FUNDS NEW ECONOMY FD B	0.00	2.49	0.00	2.49		0.02
		L.							
06/01/2018	00066945809	BARCENAS, RODOLFO	AMERICAN HIGH-INCOME TRUST-C B	0.00	16.10	0.00	16.10		0.16
		L.							
06/01/2018	00066945809	BARCENAS, RODOLFO	AMERICAN MUTUAL FUND-C B	0.00	21.71	0.00	21.71		0.22
		L.							
06/01/2018	00066945809	BARCENAS, RODOLFO	CAPITAL WORLD BOND FUND-C B	0.00	13.69	0.00	13.69		0.14
		L.							
06/01/2018	00066945809	BARCENAS, RODOLFO	THE INCOME FUND OF AMERICA-C B	0.00	18.74	0.00	18.74		0.19
		L.							
06/01/2018	00066945809	BARCENAS, RODOLFO	THE NEW ECONOMY FUND-C B	0.00	25.85	0.00	25.85		0.26
		L.							
06/01/2018	00067188831	CHUA, MARIPAZ	AMERICAN FUNDS AMERICAN MUTUAL FD B	0.00	2.00	0.00	2.00		0.02
06/01/2018	00067188831	CHUA, MARIPAZ	AMERICAN FUNDS CAPITAL WORLD BD FD B	0.00	0.50	0.00	0.50		0.01
06/01/2018	00067188831	CHUA, MARIPAZ	AMERICAN FUNDS HIGH INCOME TR FD B	0.00	0.63	0.00	0.63		0.01
06/01/2018	00067188831	CHUA, MARIPAZ	AMERICAN FUNDS INCOME FD OF AMERI B	0.00	1.70	0.00	1.70		0.02
06/01/2018	00067188831	CHUA, MARIPAZ	AMERICAN FUNDS NEW ECONOMY FD B	0.00	1.15	0.00	1.15		0.01
06/01/2018	00067188833	LILY M CHUA, MARIA	AMERICAN FUNDS AMERICAN MUTUAL FD B	0.00	1.11	0.00	1.11		0.01
06/01/2018	00067188833	LILY M CHUA, MARIA	AMERICAN FUNDS CAPITAL WORLD BD FD B	0.00	0.80	0.00	0.80		0.01
06/01/2018	00067188833	LILY M CHUA, MARIA	AMERICAN FUNDS HIGH INCOME TR FD B	0.00	0.87	0.00	0.87		0.01
06/01/2018	00067188833	LILY M CHUA, MARIA	AMERICAN FUNDS INCOME FD OF AMERI B	0.00	0.99	0.00	0.99		0.01
06/01/2018	00067188833	LILY M CHUA, MARIA	AMERICAN FUNDS NEW ECONOMY FD B	0.00	1.23	0.00	1.23		0.01
06/01/2018	00068666177	HONRALES,	AMCAP FUND-529A B	0.00	1.52	0.00	1.52		0.02
		EDWIN/RICK							
06/01/2018	00068666177	HONRALES,	AMERICAN BALANCED FUND-529A B	0.00	1.26	0.00	1.26		0.01
		EDWIN/RICK							
06/01/2018	00068666177	HONRALES,	CAPITAL WORLD GROWTH AND INCOME-529 B	0.00	7.66	0.00	7.66		0.08
		EDWIN/RICK							
06/01/2018	00068666177	HONRALES,	EUROPACIFIC GROWTH FUND-529A B	0.00	8.06	0.00	8.06		0.08

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<u> OVERRIDE TRANSACTIONS </u>									
<b>BROKER: JUDE RAMON L. BARCENAS #9620</b>									
	EDWIN/RICK								
06/01/2018	00068666177 HONRALES,	NEW WORLD FUND-529A	B	0.00	6.52	0.00	6.52		0.07
	EDWIN/RICK								
06/01/2018	00068666177 HONRALES,	THE GROWTH FUND OF AMERICA-529A	B	0.00	9.83	0.00	9.83		0.10
	EDWIN/RICK								
06/01/2018	00068666177 HONRALES,	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	1.16	0.00	1.16		0.01
	EDWIN/RICK								
06/01/2018	00068666179 HONRALES, EDWIN	AMCAP FUND-529A	B	0.00	2.94	0.00	2.94		0.03
06/01/2018	00068666179 HONRALES, EDWIN	AMERICAN BALANCED FUND-529A	B	0.00	2.01	0.00	2.01		0.02
06/01/2018	00068666179 HONRALES, EDWIN	CAPITAL WORLD GROWTH AND INCOME-529 B		0.00	10.42	0.00	10.42		0.10
06/01/2018	00068666179 HONRALES, EDWIN	EUROPACIFIC GROWTH FUND-529A	B	0.00	9.34	0.00	9.34		0.09
06/01/2018	00068666179 HONRALES, EDWIN	NEW WORLD FUND-529A	B	0.00	9.12	0.00	9.12		0.09
06/01/2018	00068666179 HONRALES, EDWIN	THE GROWTH FUND OF AMERICA-529A	B	0.00	13.51	0.00	13.51		0.14
06/01/2018	00068666179 HONRALES, EDWIN	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	2.21	0.00	2.21		0.02
06/01/2018	00068666181 HONRALES,	AMCAP FUND-529A	B	0.00	2.72	0.00	2.72		0.03
	EDWIN/MARK								
06/01/2018	00068666181 HONRALES,	AMERICAN BALANCED FUND-529A	B	0.00	1.82	0.00	1.82		0.02
	EDWIN/MARK								
06/01/2018	00068666181 HONRALES,	CAPITAL WORLD GROWTH AND INCOME-529 B		0.00	13.91	0.00	13.91		0.14
	EDWIN/MARK								
06/01/2018	00068666181 HONRALES,	EUROPACIFIC GROWTH FUND-529A	B	0.00	15.20	0.00	15.20		0.15
	EDWIN/MARK								
06/01/2018	00068666181 HONRALES,	NEW WORLD FUND-529A	B	0.00	11.97	0.00	11.97		0.12
	EDWIN/MARK								
06/01/2018	00068666181 HONRALES,	THE GROWTH FUND OF AMERICA-529A	B	0.00	18.22	0.00	18.22		0.18
	EDWIN/MARK								
06/01/2018	00068666181 HONRALES,	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	2.06	0.00	2.06		0.02
	EDWIN/MARK								
06/01/2018	00073447265 JUMAO-AS, LAUREN	AMERICAN FUNDS - GENERAL	B	0.00	0.78	0.00	0.78		0.01
06/01/2018	00073447265 JUMAO-AS, LAUREN	AMERICAN MUTUAL FUND-529A	B	0.00	0.56	0.00	0.56		0.01
06/01/2018	00073447265 JUMAO-AS, LAUREN	THE INCOME FUND OF AMERICA-529A	B	0.00	1.51	0.00	1.51		0.02
06/01/2018	00073447265 JUMAO-AS, LAUREN	THE NEW ECONOMY FUND-529A	B	0.00	1.02	0.00	1.02		0.01
06/01/2018	00073580088 FILOTEO-GONZALES,	AMERICAN FUNDS - GENERAL	B	0.00	5.69	0.00	5.69		0.06
	JUDELIA/ISABEL								
06/01/2018	00073580088 FILOTEO-GONZALES,	AMERICAN MUTUAL FUND-529A	B	0.00	4.42	0.00	4.42		0.04
	JUDELIA/ISABEL								
06/01/2018	00073580088 FILOTEO-GONZALES,	CAPITAL WORLD BOND FUND-529A	B	0.00	5.08	0.00	5.08		0.05
	JUDELIA/ISABEL								
06/01/2018	00073580088 FILOTEO-GONZALES,	THE INCOME FUND OF AMERICA-529A	B	0.00	5.66	0.00	5.66		0.06
	JUDELIA/ISABEL								

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<u>VERRIDE TRANSACTIONS</u>									
<b>BROKER: JUDE RAMON L. BARCENAS #9620</b>									
06/01/2018	00073580088	FILOTEO-GONZALES, THE NEW ECONOMY FUND-529A	B	0.00	8.67	0.00	8.67		0.09
		JUDELIA/ISABEL							
06/01/2018	00075871895	SEGUNDO, CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	7.33	0.00	7.33		0.07
		LORENCE/DANIEL							
06/01/2018	00075871895	SEGUNDO, NEW WORLD FUND-529A	B	0.00	0.84	0.00	0.84		0.01
		LORENCE/DANIEL							
06/01/2018	00075871895	SEGUNDO, THE GROWTH FUND OF AMERICA-529A	B	0.00	1.05	0.00	1.05		0.01
		LORENCE/DANIEL							
05/21/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50		0.02
06/01/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	2.72	0.00	2.72		0.03
05/21/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS CAPITAL WORLD BD FD	B	40.00	1.20	0.00	1.20		0.01
06/01/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS CAPITAL WORLD BD FD	B	0.00	1.32	0.00	1.32		0.01
05/21/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20		0.01
06/01/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	1.53	0.00	1.53		0.02
05/21/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS INCOME FD OF AMERI	B	40.00	1.50	0.00	1.50		0.02
06/01/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	2.38	0.00	2.38		0.02
05/21/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50		0.02
06/01/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS NEW ECONOMY FD	B	0.00	2.64	0.00	2.64		0.03
05/21/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50		0.02
06/01/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	7.29	0.00	7.29		0.07
05/21/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS CAPITAL WORLD BD FD	B	40.00	1.20	0.00	1.20		0.01
06/01/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS CAPITAL WORLD BD FD	B	0.00	4.78	0.00	4.78		0.05
05/21/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20		0.01
06/01/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	5.37	0.00	5.37		0.05
05/21/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS INCOME FD OF AMERI	B	40.00	1.50	0.00	1.50		0.02
06/01/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	6.41	0.00	6.41		0.06
05/21/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50		0.02
06/01/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS NEW ECONOMY FD	B	0.00	8.75	0.00	8.75		0.09
06/01/2018	00081663389	BUSA, JOSEPHINE AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	1.99	0.00	1.99		0.02
		JANE							
06/01/2018	00081663389	BUSA, JOSEPHINE AMERICAN FUNDS CAPITAL WORLD BD FD	B	0.00	1.21	0.00	1.21		0.01
		JANE							
06/01/2018	00081663389	BUSA, JOSEPHINE AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	3.35	0.00	3.35		0.03
		JANE							
06/01/2018	00081663389	BUSA, JOSEPHINE AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	1.73	0.00	1.73		0.02
		JANE							
06/01/2018	00081663389	BUSA, JOSEPHINE AMERICAN FUNDS NEW ECONOMY FD	B	0.00	5.02	0.00	5.02		0.05
		JANE							
06/01/2018	00082591851	POLLARD, PARKER CAPITAL INCOME BUILDER-529A	B	0.00	9.78	0.00	9.78		0.10
06/01/2018	00082591851	POLLARD, PARKER CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	11.91	0.00	11.91		0.12

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>									
<b><u> BROKER: JUDE RAMON L. BARCENAS #9620 </u></b>									
06/01/2018	00082732703	CABRERA, IVY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	5.63	0.00	5.63	0.06
06/01/2018	00082732703	CABRERA, IVY	AMERICAN FUNDS CAPITAL WORLD BD FD	B	0.00	1.85	0.00	1.85	0.02
06/01/2018	00082732703	CABRERA, IVY	AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	2.09	0.00	2.09	0.02
06/01/2018	00082732703	CABRERA, IVY	AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	2.56	0.00	2.56	0.03
06/01/2018	00082732703	CABRERA, IVY	AMERICAN FUNDS NEW ECONOMY FD	B	0.00	3.66	0.00	3.66	0.04
06/01/2018	00083580212	MASINAG, NELSON/KEVIN	AMERICAN FUNDS - GENERAL	B	0.00	6.24	0.00	6.24	0.06
06/01/2018	00083580212	MASINAG, NELSON/KEVIN	AMERICAN MUTUAL FUND-529A	B	0.00	8.02	0.00	8.02	0.08
06/01/2018	00083580212	MASINAG, NELSON/KEVIN	CAPITAL WORLD BOND FUND-529A	B	0.00	5.66	0.00	5.66	0.06
06/01/2018	00083580212	MASINAG, NELSON/KEVIN	THE INCOME FUND OF AMERICA-529A	B	0.00	7.15	0.00	7.15	0.07
06/01/2018	00083580212	MASINAG, NELSON/KEVIN	THE NEW ECONOMY FUND-529A	B	0.00	9.45	0.00	9.45	0.09
06/01/2018	00083678452	SUANTE, SOPHIA	AMERICAN HIGH-INCOME TRUST-529C	B	0.00	1.62	0.00	1.62	0.02
06/01/2018	00083678452	SUANTE, SOPHIA	AMERICAN MUTUAL FUND-529C	B	0.00	2.38	0.00	2.38	0.02
06/01/2018	00083678452	SUANTE, SOPHIA	CAPITAL WORLD BOND FUND-529C	B	0.00	1.42	0.00	1.42	0.01
06/01/2018	00083678452	SUANTE, SOPHIA	THE INCOME FUND OF AMERICA-529C	B	0.00	2.04	0.00	2.04	0.02
06/01/2018	00083678452	SUANTE, SOPHIA	THE NEW ECONOMY FUND-529C	B	0.00	2.90	0.00	2.90	0.03
06/01/2018	00083740054	RYAN, JEFF/CATHERINE	AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	0.94	0.00	0.94	0.01
06/01/2018	00083864020	SEGOVIA, ARTURO	AMERICAN FUNDS CAPITAL WORLD BD FD	B	0.00	2.58	0.00	2.58	0.03
06/01/2018	00083864020	SEGOVIA, ARTURO	AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	3.68	0.00	3.68	0.04
06/01/2018	00083864020	SEGOVIA, ARTURO	AMERICAN FUNDS NEW ECONOMY FD	B	0.00	5.29	0.00	5.29	0.05
06/01/2018	00084044565	HESKES, ALMA	AMERICAN FUNDS BD FD OF AMER FD	B	0.00	6.49	0.00	6.49	0.06
06/01/2018	00084044565	HESKES, ALMA	AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	1.77	0.00	1.77	0.02
06/01/2018	00084044565	HESKES, ALMA	AMERICAN FUNDS INCOME SER US GOVT	B	0.00	6.05	0.00	6.05	0.06
06/01/2018	00084044565	HESKES, ALMA	AMERICAN FUNDS INTERM BD FD OF AMER	B	0.00	7.45	0.00	7.45	0.07
06/01/2018	00084044565	HESKES, ALMA	AMERICAN FUNDS SMALL CAP WORLD FD	B	0.00	0.87	0.00	0.87	0.01
06/01/2018	00084417702	GOULD, GAYLE	AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	0.62	0.00	0.62	0.01
06/01/2018	00086483831	KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	0.00	2.79	0.00	2.79	0.03
06/04/2018	00086483831	KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	1.88	0.00	1.88	0.02
06/01/2018	00086483831	KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	0.00	2.38	0.00	2.38	0.02
06/04/2018	00086483831	KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50	0.02
06/01/2018	00086483831	KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	0.00	2.93	0.00	2.93	0.03
06/04/2018	00086483831	KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	1.88	0.00	1.88	0.02
06/01/2018	00086483831	KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	0.00	3.11	0.00	3.11	0.03
06/04/2018	00086483831	KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	0.02
06/01/2018	00086483831	KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	0.00	3.50	0.00	3.50	0.04

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
<u> OVERRIDE TRANSACTIONS </u>										
<b>BROKER: JUDE RAMON L. BARCENAS #9620</b>										
06/04/2018	00086483831	KIM, ANDREW		THE NEW ECONOMY FUND-529A	B	50.00	1.88	0.00	1.88	0.02
06/01/2018	00086483875	KIM, ANDREW		AMERICAN BALANCED FUND-529A	B	0.00	2.79	0.00	2.79	0.03
06/04/2018	00086483875	KIM, ANDREW		AMERICAN BALANCED FUND-529A	B	50.00	1.88	0.00	1.88	0.02
06/01/2018	00086483875	KIM, ANDREW		AMERICAN FUNDS - GENERAL	B	0.00	2.38	0.00	2.38	0.02
06/04/2018	00086483875	KIM, ANDREW		AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50	0.02
06/01/2018	00086483875	KIM, ANDREW		AMERICAN MUTUAL FUND-529A	B	0.00	2.93	0.00	2.93	0.03
06/04/2018	00086483875	KIM, ANDREW		AMERICAN MUTUAL FUND-529A	B	50.00	1.88	0.00	1.88	0.02
06/01/2018	00086483875	KIM, ANDREW		NEW PERSPECTIVE FUND-529A	B	0.00	3.11	0.00	3.11	0.03
06/04/2018	00086483875	KIM, ANDREW		NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	0.02
06/01/2018	00086483875	KIM, ANDREW		THE NEW ECONOMY FUND-529A	B	0.00	3.50	0.00	3.50	0.04
06/04/2018	00086483875	KIM, ANDREW		THE NEW ECONOMY FUND-529A	B	50.00	1.88	0.00	1.88	0.02
06/01/2018	00086615870	MELLIZA, MARIE-MAY		AMERICAN HIGH-INCOME TRUST-C	B	0.00	3.24	0.00	3.24	0.03
06/01/2018	00086615870	MELLIZA, MARIE-MAY		AMERICAN MUTUAL FUND-C	B	0.00	4.39	0.00	4.39	0.04
06/01/2018	00086615870	MELLIZA, MARIE-MAY		CAPITAL WORLD BOND FUND-C	B	0.00	2.86	0.00	2.86	0.03
06/01/2018	00086615870	MELLIZA, MARIE-MAY		THE INCOME FUND OF AMERICA-C	B	0.00	3.93	0.00	3.93	0.04
06/01/2018	00086615870	MELLIZA, MARIE-MAY		THE NEW ECONOMY FUND-C	B	0.00	5.64	0.00	5.64	0.06
06/01/2018	00086618081	BARROS, FLORA		AMERICAN HIGH-INCOME TRUST-C	B	0.00	20.90	0.00	20.90	0.21
06/01/2018	00086618081	BARROS, FLORA		AMERICAN MUTUAL FUND-C	B	0.00	36.87	0.00	36.87	0.37
06/01/2018	00086618081	BARROS, FLORA		CAPITAL WORLD BOND FUND-C	B	0.00	16.74	0.00	16.74	0.17
06/01/2018	00086618081	BARROS, FLORA		THE INCOME FUND OF AMERICA-C	B	0.00	29.68	0.00	29.68	0.30
06/01/2018	00086618081	BARROS, FLORA		THE NEW ECONOMY FUND-C	B	0.00	46.65	0.00	46.65	0.47
06/01/2018	00086861357	ROMERO, ADRIENNE		AMCAP FUND-529A	B	0.00	4.16	0.00	4.16	0.04
06/01/2018	00086861357	ROMERO, ADRIENNE		AMERICAN BALANCED FUND-529A	B	0.00	3.76	0.00	3.76	0.04
06/01/2018	00086861357	ROMERO, ADRIENNE		AMERICAN FUNDS - GENERAL	B	0.00	4.16	0.00	4.16	0.04
06/01/2018	00086861357	ROMERO, ADRIENNE		AMERICAN MUTUAL FUND-529A	B	0.00	9.71	0.00	9.71	0.10
06/01/2018	00086861357	ROMERO, ADRIENNE		CAPITAL INCOME BUILDER-529A	B	0.00	3.36	0.00	3.36	0.03
06/01/2018	00086861357	ROMERO, ADRIENNE		CAPITAL WORLD BOND FUND-529A	B	0.00	3.67	0.00	3.67	0.04
06/01/2018	00086861357	ROMERO, ADRIENNE		THE INCOME FUND OF AMERICA-529A	B	0.00	8.65	0.00	8.65	0.09
06/01/2018	00086861357	ROMERO, ADRIENNE		THE NEW ECONOMY FUND-529A	B	0.00	7.32	0.00	7.32	0.07
06/01/2018	00086861387	ROMERO, ADRIENNE		AMCAP FUND-529A	B	0.00	4.16	0.00	4.16	0.04
06/01/2018	00086861387	ROMERO, ADRIENNE		AMERICAN BALANCED FUND-529A	B	0.00	3.76	0.00	3.76	0.04
06/01/2018	00086861387	ROMERO, ADRIENNE		AMERICAN FUNDS - GENERAL	B	0.00	4.16	0.00	4.16	0.04
06/01/2018	00086861387	ROMERO, ADRIENNE		AMERICAN MUTUAL FUND-529A	B	0.00	9.71	0.00	9.71	0.10
06/01/2018	00086861387	ROMERO, ADRIENNE		CAPITAL INCOME BUILDER-529A	B	0.00	3.36	0.00	3.36	0.03
06/01/2018	00086861387	ROMERO, ADRIENNE		CAPITAL WORLD BOND FUND-529A	B	0.00	3.67	0.00	3.67	0.04
06/01/2018	00086861387	ROMERO, ADRIENNE		THE INCOME FUND OF AMERICA-529A	B	0.00	8.65	0.00	8.65	0.09
06/01/2018	00086861387	ROMERO, ADRIENNE		THE NEW ECONOMY FUND-529A	B	0.00	7.32	0.00	7.32	0.07
06/01/2018	00087191120	ALONZO, KELLY		AMERICAN FUNDS AMCAP FD	B	0.00	2.02	0.00	2.02	0.02
06/01/2018	00087191120	ALONZO, KELLY		AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	1.87	0.00	1.87	0.02
06/01/2018	00087191120	ALONZO, KELLY		AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	1.41	0.00	1.41	0.01



COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>									
<b><u> BROKER: JUDE RAMON L. BARCENAS #9620 </u></b>									
06/01/2018	00087191120 ALONZO, KELLY	AMERICAN FUNDS INCOME FD OF AMERI B		0.00	1.66	0.00	1.66		0.02
06/01/2018	00087191120 ALONZO, KELLY	AMERICAN FUNDS NEW PERSPECTIVE FD B		0.00	1.89	0.00	1.89		0.02
06/01/2018	00087340727 ROMERO, CASEY	AMERICAN FUNDS AMCAP FD B		0.00	1.08	0.00	1.08		0.01
06/01/2018	00087340727 ROMERO, CASEY	AMERICAN FUNDS AMERICAN MUTUAL FD B		0.00	0.98	0.00	0.98		0.01
06/01/2018	00087340727 ROMERO, CASEY	AMERICAN FUNDS CAPITAL INCOME BUILD B		0.00	0.78	0.00	0.78		0.01
06/01/2018	00087340727 ROMERO, CASEY	AMERICAN FUNDS HIGH INCOME TR FD B		0.00	0.71	0.00	0.71		0.01
06/01/2018	00087340727 ROMERO, CASEY	AMERICAN FUNDS NEW ECONOMY FD B		0.00	1.19	0.00	1.19		0.01
06/01/2018	00087489395 AGNES, NATHAN A CHUA	AMERICAN FUNDS AMCAP FD B		0.00	5.36	0.00	5.36		0.05
06/01/2018	00087489395 AGNES, NATHAN A CHUA	AMERICAN FUNDS AMERICAN MUTUAL FD B		0.00	4.89	0.00	4.89		0.05
06/01/2018	00087489395 AGNES, NATHAN A CHUA	AMERICAN FUNDS CAPITAL INCOME BUILD B		0.00	4.26	0.00	4.26		0.04
06/01/2018	00087489395 AGNES, NATHAN A CHUA	AMERICAN FUNDS HIGH INCOME TR FD B		0.00	4.08	0.00	4.08		0.04
06/01/2018	00087489395 AGNES, NATHAN A CHUA	AMERICAN FUNDS NEW ECONOMY FD B		0.00	5.74	0.00	5.74		0.06
06/01/2018	00087696729 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A B		0.00	3.14	0.00	3.14		0.03
06/01/2018	00087696759 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A B		0.00	3.05	0.00	3.05		0.03
06/01/2018	00088170452 CONSULTING, NEW	AMERICAN FUNDS HIGH INCOME TR FD B		0.00	5.03	0.00	5.03		0.05
06/01/2018	00088170452 CONSULTING, NEW	AMERICAN FUNDS INTERM BD FD OF AMER B		0.00	2.29	0.00	2.29		0.02
05/22/2018	00089156141 KYSER, DICK/MARGIE	PUTNAM NEW OPPORTUNITIES FD CL A B		220.00	11.00	0.00	11.00		0.11
06/01/2018	00089231910 CAVA, NIEVES N.	AMERICAN FUNDS CAPITAL WORLD GROWT B		0.00	1.18	0.00	1.18		0.01
06/01/2018	00089231910 CAVA, NIEVES N.	AMERICAN FUNDS GROWTH FUND OF AMERI B		0.00	1.46	0.00	1.46		0.01
06/01/2018	00089231910 CAVA, NIEVES N.	AMERICAN FUNDS HIGH INCOME TR FD B		0.00	0.88	0.00	0.88		0.01
06/01/2018	00089231910 CAVA, NIEVES N.	AMERICAN FUNDS INCOME FD OF AMERI B		0.00	1.14	0.00	1.14		0.01
06/01/2018	00089231910 CAVA, NIEVES N.	NEW WORLD FUND-A B		0.00	0.94	0.00	0.94		0.01
<b> * JUDE RAMON L. BARCENAS SUBTOTAL * </b>				<b>\$1,692.45</b>	<b>\$1,522.08</b>	<b>\$0.00</b>	<b>\$1,522.08</b>		<b>\$15.35</b>
<b><u> BROKER: MATTHEW THOMAS KUNZ #9631 </u></b>									
05/31/2018	TRAILS	CHET ADVISOR 529 PLAN B		1.05	1.05	0.00	1.05		0.01
06/01/2018	GIANNINO, RICHARD	CHET ADVISOR 529 PLAN B		50.00	1.26	0.00	1.26		0.01
06/01/2018	00087242535 VOGEL, VICKE	AMERICAN FUNDS AMERICAN MUTUAL FD B		0.00	0.95	0.00	0.95		0.01
06/01/2018	00087242535 VOGEL, VICKE	AMERICAN FUNDS CAPITAL INCOME BUILD B		0.00	0.96	0.00	0.96		0.01
06/01/2018	00087242535 VOGEL, VICKE	AMERICAN FUNDS GROWTH FUND OF AMERI B		0.00	1.15	0.00	1.15		0.01
06/01/2018	00087242535 VOGEL, VICKE	GLOBAL BALANCED FUND-A B		0.00	1.00	0.00	1.00		0.01
06/01/2018	04000012560 VOGEL, VICKE L.	AMERICAN FUNDS AMCAP FD B		0.00	10.42	0.00	10.42		0.10
06/01/2018	04000012560 VOGEL, VICKE L.	AMERICAN FUNDS BALANCED FD B		0.00	9.43	0.00	9.43		0.09

COMMISSION STATEMENT for SIGNAL POOL

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<b><u> OVERRIDE TRANSACTIONS </u></b>									
<b><u> BROKER: MATTHEW THOMAS KUNZ #9631 </u></b>									
06/01/2018	04000012560	VOGEL, VICKE L.	AMERICAN FUNDS CAPITAL WORLD	GROWT B	0.00	10.28	0.00	10.28	0.10
06/01/2018	04000012560	VOGEL, VICKE L.	AMERICAN FUNDS FUNDAMENTAL	INVES B	0.00	10.15	0.00	10.15	0.10
* MATTHEW THOMAS KUNZ SUBTOTAL *				\$51.05	\$46.65	\$0.00	\$46.65		\$0.45
<b><u> BROKER: JOHN HOWARD RUSSELL #9632 </u></b>									
06/01/2018	TRAILS	-JOHN HANCOCK		B	15.33	15.33	0.00	15.33	0.15
06/01/2018	00059296818	KUETHE, LOURDES R.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	11.83	0.00	11.83	0.12
06/01/2018	00059296818	KUETHE, LOURDES R.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	0.00	6.04	0.00	6.04	0.06
06/01/2018	00059296818	KUETHE, LOURDES R.	AMERICAN FUNDS INVESTMENT COMP OF A	B	0.00	12.35	0.00	12.35	0.12
06/01/2018	00060781247	STINNETT, DOROTHY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	9.66	0.00	9.66	0.10
06/01/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	0.63	0.00	0.63	0.01
06/01/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL INCOME BUILD	B	0.00	21.62	0.00	21.62	0.22
06/01/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD	GROWT B	0.00	79.29	0.00	79.29	0.79
06/01/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	INVES B	0.00	111.34	0.00	111.34	1.11
06/01/2018	00064112804	FORD, LAVOID	AMERICAN FUNDS CAPITAL WORLD	GROWT B	0.00	65.03	0.00	65.03	0.65
06/04/2018	00064112804	FORD, LAVOID	AMERICAN FUNDS CAPITAL WORLD	GROWT B	333.33	9.17	0.00	9.17	0.09
06/01/2018	00066788819	DUNN, CHESTER R	AMERICAN FUNDS EURO PACIFIC	GROWT B	0.00	43.71	0.00	43.71	0.44
06/01/2018	00066848275	DUNN, BECKY	AMERICAN FUNDS FUNDAMENTAL	INVES B	0.00	58.58	0.00	58.58	0.59
06/01/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC	GROWT B	0.00	52.29	0.00	52.29	0.52
06/01/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS NEW ECONOMY FD	B	0.00	59.66	0.00	59.66	0.60
06/01/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	0.00	0.86	0.00	0.86	0.01
06/01/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	0.00	56.54	0.00	56.54	0.57
06/01/2018	00067781164	THUETT, RONALD/CAELAN	AMERICAN BALANCED FUND-529A	B	0.00	4.91	0.00	4.91	0.05
06/01/2018	00067781165	THUETT, RONALD/CAELAN	AMERICAN BALANCED FUND-529C	B	0.00	13.23	0.00	13.23	0.13
06/01/2018	00067852430	SADLER, PAULA/TAYLOR	CAPITAL INCOME BUILDER-529A	B	0.00	4.35	0.00	4.35	0.04
06/01/2018	00067852431	SADLER, PAULA/HAYDEN	CAPITAL INCOME BUILDER-529A	B	0.00	6.49	0.00	6.49	0.06
06/01/2018	00067852432	SADLER, PAULA/MICHAEL	CAPITAL INCOME BUILDER-529A	B	0.00	4.35	0.00	4.35	0.04
06/01/2018	00068322473	BOZEMAN, HELEN J.	AMERICAN FUNDS AMCAP FD	B	0.00	29.41	0.00	29.41	0.29
06/01/2018	00068332091	BOZEMAN, LARRY K.	AMERICAN FUNDS FUNDAMENTAL	INVES B	0.00	21.64	0.00	21.64	0.22
06/01/2018	00068523161	HILDEBRAND, IRMA C	AMERICAN FUNDS CAPITAL INCOME BUILD	B	0.00	6.19	0.00	6.19	0.06
06/01/2018	00068523162	HILDEBRAND, LEROY H	AMERICAN FUNDS CAPITAL INCOME BUILD	B	0.00	6.19	0.00	6.19	0.06
06/01/2018	00068646098	SADLER, PAULA/NATALIE	CAPITAL INCOME BUILDER-529A	B	0.00	4.33	0.00	4.33	0.04
06/01/2018	00069051773	SHELBY,	AMERICAN MUTUAL FUND-529A	B	0.00	5.02	0.00	5.02	0.05

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JOHN HOWARD RUSSELL #9632 </u>									
	LETITIA/ELLEN								
06/01/2018	00069051773 SHELBY,	THE INCOME FUND OF AMERICA-529A	B	0.00	12.77	0.00	12.77		0.13
	LETITIA/ELLEN								
06/01/2018	00069051774 SHELBY,	AMERICAN MUTUAL FUND-529A	B	0.00	10.06	0.00	10.06		0.10
	LETITIA/ESTER								
06/01/2018	00069051774 SHELBY,	THE INCOME FUND OF AMERICA-529A	B	0.00	8.51	0.00	8.51		0.09
	LETITIA/ESTER								
06/01/2018	00069051775 SHELBY,	AMERICAN MUTUAL FUND-529A	B	0.00	10.06	0.00	10.06		0.10
	LETITIA/JESAJA								
06/01/2018	00069051775 SHELBY,	THE INCOME FUND OF AMERICA-529A	B	0.00	8.51	0.00	8.51		0.09
	LETITIA/JESAJA								
06/01/2018	00073302989 RUSSELL,	CAPITAL INCOME BUILDER-529C	B	0.00	18.15	0.00	18.15		0.18
	DAVID/NICKY								
06/01/2018	00073302989 RUSSELL,	FUNDAMENTAL INVESTORS-529C	B	0.00	64.51	0.00	64.51		0.65
	DAVID/NICKY								
06/01/2018	00073302989 RUSSELL,	THE GROWTH FUND OF AMERICA-529A	B	0.00	5.58	0.00	5.58		0.06
	DAVID/NICKY								
06/01/2018	00073302989 RUSSELL,	THE GROWTH FUND OF AMERICA-529C	B	0.00	72.62	0.00	72.62		0.73
	DAVID/NICKY								
06/01/2018	00073396487 HAMILTON,	AMERICAN MUTUAL FUND-529C	B	0.00	17.46	0.00	17.46		0.17
	JAMES/REED								
06/01/2018	00073396487 HAMILTON,	THE INCOME FUND OF AMERICA-529C	B	0.00	51.16	0.00	51.16		0.51
	JAMES/REED								
06/01/2018	00073404499 SULLIVAN/POTTS,	NEW WORLD FUND-529A	B	0.00	4.74	0.00	4.74		0.05
	SHERRY/MEGAN								
06/01/2018	00073404574 SULLIVAN/POTTS,	NEW WORLD FUND-529A	B	0.00	3.06	0.00	3.06		0.03
	SHERRY/JADEN								
06/01/2018	00073548656 ZOBECK,	AMERICAN BALANCED FUND-529A	B	0.00	1.60	0.00	1.60		0.02
	VIRGINIA/MICHAEL								
06/01/2018	00073548656 ZOBECK,	FUNDAMENTAL INVESTORS-529A	B	0.00	37.25	0.00	37.25		0.37
	VIRGINIA/MICHAEL								
06/01/2018	00073548656 ZOBECK,	NEW WORLD FUND-529A	B	0.00	10.84	0.00	10.84		0.11
	VIRGINIA/MICHAEL								
06/01/2018	00073548656 ZOBECK,	THE BOND FUND OF AMERICA-529A	B	0.00	4.24	0.00	4.24		0.04
	VIRGINIA/MICHAEL								
06/01/2018	00073548656 ZOBECK,	THE INCOME FUND OF AMERICA-529A	B	0.00	10.84	0.00	10.84		0.11
	VIRGINIA/MICHAEL								
06/01/2018	00073552220 BARR, CHESTER A	CAPITAL WORLD GROWTH AND INCOME-529 B		0.00	17.60	0.00	17.60		0.18
06/01/2018	00073552220 BARR, CHESTER A	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	17.88	0.00	17.88		0.18
06/01/2018	00073622389 SULLIVAN, EDWARD P	AMERICAN FUNDS CAPITAL INCOME BUILD B		0.00	4.24	0.00	4.24		0.04

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<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: JOHN HOWARD RUSSELL #9632</u>									
06/01/2018	00073622480 SULLIVAN, SHERRY	AMERICAN FUNDS CAPITAL INCOME BUILD B		0.00	4.97	0.00	4.97		0.05
06/01/2018	00073627721 STINNETT, DOROTHY/FRANKLI	NEW PERSPECTIVE FUND-529A	B	0.00	16.38	0.00	16.38		0.16
06/01/2018	00073759982 ADCOX, JAMES C/KRISTEN	FUNDAMENTAL INVESTORS-529A	B	0.00	22.34	0.00	22.34		0.22
06/01/2018	00073759982 ADCOX, JAMES C/KRISTEN	FUNDAMENTAL INVESTORS-529C	B	0.00	89.42	0.00	89.42		0.89
06/01/2018	00073939725 OWEN, SYLVIA/JOHN	AMCAP FUND-529A	B	0.00	9.52	0.00	9.52		0.10
06/01/2018	00073939725 OWEN, SYLVIA/JOHN	CAPITAL INCOME BUILDER-529A	B	0.00	5.86	0.00	5.86		0.06
06/01/2018	00073939725 OWEN, SYLVIA/JOHN	NEW PERSPECTIVE FUND-529A	B	0.00	9.34	0.00	9.34		0.09
06/01/2018	00073939725 OWEN, SYLVIA/JOHN	THE BOND FUND OF AMERICA-529A	B	0.00	0.76	0.00	0.76		0.01
06/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	AMCAP FUND-529A	B	0.00	4.85	0.00	4.85		0.05
06/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	AMERICAN MUTUAL FUND-529A	B	0.00	4.01	0.00	4.01		0.04
06/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	FUNDAMENTAL INVESTORS-529A	B	0.00	2.72	0.00	2.72		0.03
06/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	FUNDAMENTAL INVESTORS-529C	B	0.00	8.42	0.00	8.42		0.08
06/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	NEW PERSPECTIVE FUND-529A	B	0.00	2.67	0.00	2.67		0.03
06/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	NEW PERSPECTIVE FUND-529C	B	0.00	8.30	0.00	8.30		0.08
06/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	THE GROWTH FUND OF AMERICA-529A	B	0.00	6.18	0.00	6.18		0.06
06/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	THE GROWTH FUND OF AMERICA-529C	B	0.00	17.42	0.00	17.42		0.17
06/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	THE INCOME FUND OF AMERICA-529A	B	0.00	4.81	0.00	4.81		0.05
06/01/2018	00074657443 SULLIVAN/POTTS, SHERRY/GRANT	NEW WORLD FUND-529A	B	0.00	2.33	0.00	2.33		0.02
06/01/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	0.00	4.60	0.00	4.60		0.05
06/01/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL INCOME BUILD B		0.00	1.60	0.00	1.60		0.02
06/01/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL WORLD GROWT B		0.00	2.67	0.00	2.67		0.03
06/01/2018	00075700317 BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B		0.00	40.42	0.00	40.42		0.40
06/01/2018	00075700317 BOZEMAN, LARRY K.	AMERICAN FUNDS FUNDAMENTAL INVES B		0.00	44.08	0.00	44.08		0.44
06/01/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	6.50	0.00	6.50		0.07

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<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JOHN HOWARD RUSSELL #9632 </u>									
06/01/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS CAPITAL INCOME BUILD B	0.00	2.70	0.00	2.70		0.03
06/01/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS EURO PACIFIC GROWT B	0.00	5.64	0.00	5.64		0.06
06/01/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B	0.00	19.11	0.00	19.11		0.19
06/01/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS GROWTH FUND OF AMERI B	0.00	7.82	0.00	7.82		0.08
06/01/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B	0.00	6.65	0.00	6.65		0.07
06/01/2018	00075700331	BOZEMAN, HEATH	NEW WORLD FUND-A B	0.00	10.51	0.00	10.51		0.11
06/01/2018	00075700360	PRUITT, CAROLE	AMERICAN FUNDS CAPITAL INCOME BUILD B	0.00	12.96	0.00	12.96		0.13
06/01/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B	0.00	0.87	0.00	0.87		0.01
06/01/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B	0.00	0.87	0.00	0.87		0.01
06/01/2018	00081122536	ESTILL, WILLIAM/COLTON	CAPITAL WORLD GROWTH AND INCOME-529 B	0.00	11.21	0.00	11.21		0.11
06/01/2018	00081122562	ESTILL, WILLIAM/CARTER	CAPITAL WORLD GROWTH AND INCOME-529 B	0.00	7.29	0.00	7.29		0.07
06/01/2018	00081122597	ESTILL, WILLIAM/CADEN	CAPITAL WORLD GROWTH AND INCOME-529 B	0.00	7.77	0.00	7.77		0.08
06/01/2018	00081532724	ADCOX, JAMES C/COLTON	FUNDAMENTAL INVESTORS-529A B	0.00	15.19	0.00	15.19		0.15
06/01/2018	00081532724	ADCOX, JAMES C/COLTON	FUNDAMENTAL INVESTORS-529C B	0.00	84.09	0.00	84.09		0.84
06/01/2018	00081860172	BISBEE/QUIJADA, MELANIE/JAMES	AMERICAN MUTUAL FUND-529A B	0.00	25.45	0.00	25.45		0.25
06/01/2018	00081860172	BISBEE/QUIJADA, MELANIE/JAMES	THE INCOME FUND OF AMERICA-529A B	0.00	18.40	0.00	18.40		0.18
06/01/2018	00081860436	08/17/2006, UDT	AMERICAN MUTUAL FUND-529A B	0.00	24.03	0.00	24.03		0.24
06/01/2018	00081860436	08/17/2006, UDT	THE INCOME FUND OF AMERICA-529A B	0.00	20.24	0.00	20.24		0.20
06/01/2018	00081860460	08/17/2006, UDT	AMERICAN MUTUAL FUND-F1 B	0.00	4.96	0.00	4.96		0.05
06/01/2018	00081860460	08/17/2006, UDT	THE INCOME FUND OF AMERICA-F1 B	0.00	26.74	0.00	26.74		0.27
06/01/2018	00082170622	SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B	0.00	1.16	0.00	1.16		0.01
06/01/2018	00082170687	BOZEMAN, HELEN J.	AMERICAN FUNDS AMCAP FD B	0.00	0.50	0.00	0.50		0.01
06/01/2018	00082170687	BOZEMAN, HELEN J.	AMERICAN FUNDS CAPITAL INCOME BUILD B	0.00	0.98	0.00	0.98		0.01
06/01/2018	00082281256	BRADBERRY, JEFFERY	AMERICAN FUNDS CAPITAL INCOME BUILD B	0.00	8.16	0.00	8.16		0.08
06/01/2018	00082281256	BRADBERRY, JEFFERY	AMERICAN FUNDS CAPITAL WORLD GROWT B	0.00	10.12	0.00	10.12		0.10
06/01/2018	00082281256	BRADBERRY, JEFFERY	AMERICAN FUNDS FUNDAMENTAL INVES B	0.00	12.28	0.00	12.28		0.12
06/01/2018	00082474109	KUETHE, ALLAN	AMERICAN MUTUAL FUND-C B	0.00	1.10	0.00	1.10		0.01
06/01/2018	00082933133	ADCOX, KRISTEN	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	10.06	0.00	10.06		0.10
06/01/2018	00082933133	ADCOX, KRISTEN	CAPITAL WORLD GROWTH AND INCOME-F1 B	0.00	5.39	0.00	5.39		0.05
06/01/2018	00082933133	ADCOX, KRISTEN	SMALLCAP WORLD FUND-C B	0.00	3.03	0.00	3.03		0.03

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<u> OVERRIDE TRANSACTIONS </u>										
<b>BROKER: JOHN HOWARD RUSSELL #9632</b>										
06/01/2018	00082933133	ADCOX, KRISTEN		SMALLCAP WORLD FUND-F1	B	0.00	1.48	0.00	1.48	0.01
06/01/2018	00082933133	ADCOX, KRISTEN		THE GROWTH FUND OF AMERICA-C	B	0.00	17.27	0.00	17.27	0.17
06/01/2018	00082933133	ADCOX, KRISTEN		THE GROWTH FUND OF AMERICA-F1	B	0.00	9.22	0.00	9.22	0.09
06/01/2018	00082933155	ADCOX, JAMES		CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	10.12	0.00	10.12	0.10
		COLTON								
06/01/2018	00082933155	ADCOX, JAMES		CAPITAL WORLD GROWTH AND INCOME-F1	B	0.00	5.40	0.00	5.40	0.05
		COLTON								
06/01/2018	00082933155	ADCOX, JAMES		SMALLCAP WORLD FUND-C	B	0.00	3.04	0.00	3.04	0.03
		COLTON								
06/01/2018	00082933155	ADCOX, JAMES		SMALLCAP WORLD FUND-F1	B	0.00	1.49	0.00	1.49	0.01
		COLTON								
06/01/2018	00082933155	ADCOX, JAMES		THE GROWTH FUND OF AMERICA-C	B	0.00	17.32	0.00	17.32	0.17
		COLTON								
06/01/2018	00082933155	ADCOX, JAMES		THE GROWTH FUND OF AMERICA-F1	B	0.00	9.23	0.00	9.23	0.09
		COLTON								
06/01/2018	00083008236	RUSSWURM, CLAYTON		AMERICAN FUNDS EURO PACIFIC	GROWT B	0.00	12.09	0.00	12.09	0.12
		R								
06/01/2018	00083008236	RUSSWURM, CLAYTON		AMERICAN FUNDS GROWTH FUND OF AMERI B		0.00	50.32	0.00	50.32	0.50
		R								
06/01/2018	00083008373	BOZEMAN, DEREK K		AMERICAN FUNDS CAPITAL WORLD	GROWT B	0.00	23.43	0.00	23.43	0.23
06/01/2018	00083008373	BOZEMAN, DEREK K		AMERICAN FUNDS FUNDAMENTAL	INVES B	0.00	28.09	0.00	28.09	0.28
05/22/2018	00083499515	SEYMORE, BENJAMIN		CAPITAL WORLD GROWTH AND INCOME FUN B		200.00	2.00	0.00	2.00	0.02
06/01/2018	00083499515	SEYMORE, BENJAMIN		CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	42.23	0.00	42.23	0.42
06/08/2018	00083499515	SEYMORE, BENJAMIN		CAPITAL WORLD GROWTH AND INCOME FUN B		200.00	2.00	0.00	2.00	0.02
06/01/2018	00083626829	SEYMORE, ROBERT		CAPITAL WORLD GROWTH AND INCOME FUN B		150.00	1.50	0.00	1.50	0.02
06/01/2018	00083626829	SEYMORE, ROBERT		CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	19.20	0.00	19.20	0.19
06/01/2018	00083759268	WARD, STACY/JORDAN		THE INCOME FUND OF AMERICA-529C	B	0.00	5.46	0.00	5.46	0.05
06/01/2018	00083759277	WARD,		THE INCOME FUND OF AMERICA-529C	B	0.00	13.20	0.00	13.20	0.13
		STACY/CHRISTIAN								
06/01/2018	00084602015	BOZEMAN, AMBER		THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	7.81	0.00	7.81	0.08
06/01/2018	00084635934	GIBSON/KIDD,		CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	23.92	0.00	23.92	0.24
		ANTHONY/RYLAN								
06/01/2018	00084635934	GIBSON/KIDD,		FUNDAMENTAL INVESTORS-529C	B	0.00	2.30	0.00	2.30	0.02
		ANTHONY/RYLAN								
06/05/2018	00084635934	GIBSON/KIDD,		FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	0.02
		ANTHONY/RYLAN								
06/01/2018	00084758983	HOWARD/BEAUDOIN,		GLOBAL BALANCED FUND-529A	B	0.00	6.78	0.00	6.78	0.07
		IRENE/LAUREN.								
06/01/2018	00084759195	HOWARD/BEAUDOIN,		GLOBAL BALANCED FUND-529A	B	0.00	5.63	0.00	5.63	0.06
		IRENE/LANDON								

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<b>BROKER: JOHN HOWARD RUSSELL #9632</b>									
06/01/2018	00084759209 HOWARD/BEAUDOIN, IRENE/AUSTIN	GLOBAL BALANCED FUND-529A	B	0.00	9.41	0.00	9.41		0.09
06/01/2018	00084759232 HOWARD/BEAUDOIN, IRENE/DAKOTA	CAPITAL INCOME BUILDER-529A	B	0.00	0.80	0.00	0.80		0.01
06/01/2018	00084759232 HOWARD/BEAUDOIN, IRENE/DAKOTA	THE INCOME FUND OF AMERICA-529A	B	0.00	2.11	0.00	2.11		0.02
06/01/2018	00085119175 YOUNG, VICTORIA JOBE	AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	26.03	0.00	26.03		0.26
06/01/2018	00085209795 SULLIVAN/MCGRAFF, SHERRY/REECE	NEW WORLD FUND-529A	B	0.00	1.98	0.00	1.98		0.02
06/01/2018	00085561362 ELLIOTT, ANNE/MADILYN	AMERICAN MUTUAL FUND-529A	B	0.00	5.03	0.00	5.03		0.05
06/01/2018	00085561362 ELLIOTT, ANNE/MADILYN	CAPITAL INCOME BUILDER-529A	B	0.00	5.13	0.00	5.13		0.05
06/01/2018	00085561362 ELLIOTT, ANNE/MADILYN	NEW WORLD FUND-529A	B	0.00	0.94	0.00	0.94		0.01
06/01/2018	00085614632 BOZEMAN, AMBER	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	5.04	0.00	5.04		0.05
06/01/2018	00085618467 ELLIOTT, ANNE/MADILYN	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	1.22	0.00	1.22		0.01
06/01/2018	00085618467 ELLIOTT, ANNE/MADILYN	AMERICAN FUNDS CAPITAL INCOME BUILD	B	0.00	4.12	0.00	4.12		0.04
05/21/2018	00085797272 RUSSELL/PEEPLES, DAVID/HAVEN	AMERICAN BALANCED FUND-529A	B	50.00	1.38	0.00	1.38		0.01
06/01/2018	00085797272 RUSSELL/PEEPLES, DAVID/HAVEN	AMERICAN BALANCED FUND-529A	B	0.00	9.18	0.00	9.18		0.09
05/21/2018	00085797295 RUSSELL/PEEPLES, DAVID/KATE	NEW PERSPECTIVE FUND-529A	B	50.00	1.38	0.00	1.38		0.01
06/01/2018	00085797295 RUSSELL/PEEPLES, DAVID/KATE	NEW PERSPECTIVE FUND-529A	B	0.00	4.02	0.00	4.02		0.04
05/21/2018	00085797300 RUSSELL/PEEPLES, DAVID/ELLE	NEW PERSPECTIVE FUND-529A	B	50.00	1.38	0.00	1.38		0.01
06/01/2018	00085797300 RUSSELL/PEEPLES, DAVID/ELLE	NEW PERSPECTIVE FUND-529A	B	0.00	4.02	0.00	4.02		0.04
05/21/2018	00087000587 MORGAN, CHERIE/CHASE	CAPITAL INCOME BUILDER-529C	B	100.00	1.00	0.00	1.00		0.01
06/01/2018	00087000587 MORGAN, CHERIE/CHASE	CAPITAL INCOME BUILDER-529C	B	0.00	30.40	0.00	30.40		0.30
05/21/2018	00087000599 MORGAN, CHERIE/PIERCE	CAPITAL INCOME BUILDER-529C	B	100.00	1.00	0.00	1.00		0.01
06/01/2018	00087000599 MORGAN,	CAPITAL INCOME BUILDER-529C	B	0.00	30.40	0.00	30.40		0.30

COMMISSION STATEMENT for SIGNAL POOL

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<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: JOHN HOWARD RUSSELL #9632</u>									
CHERIE/PIERCE									
06/01/2018	00087214046	WATSON, CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	1.77	0.00	1.77		0.02
CROSBY/BETSY									
06/01/2018	00087534865	CRACKEN, KAJUN AMERICAN MUTUAL FUND-529A	B	0.00	1.64	0.00	1.64		0.02
06/01/2018	00087534865	CRACKEN, KAJUN CAPITAL INCOME BUILDER-529A	B	0.00	1.79	0.00	1.79		0.02
06/01/2018	00087621936	MORRIS, JACKSON FUNDAMENTAL INVESTORS-C	B	0.00	1.90	0.00	1.90		0.02
06/01/2018	00087723524	KUETHE, ALLAN THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	13.40	0.00	13.40		0.13
06/01/2018	00087723529	KUETHE, ALLAN THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	13.40	0.00	13.40		0.13
06/01/2018	00087723531	KUETHE, ALLAN THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	13.40	0.00	13.40		0.13
06/01/2018	00087897269	ASHCRAFT OGDEN, FUNDAMENTAL INVESTORS-529C	B	0.00	33.92	0.00	33.92		0.34
ZOIE									
06/01/2018	00088263914	GREESON, JOHN D. CAPITAL INCOME BUILDER-C	B	0.00	2.52	0.00	2.52		0.03
06/01/2018	00088263914	GREESON, JOHN D. EUROPACIFIC GROWTH FUND-C	B	0.00	16.65	0.00	16.65		0.17
06/01/2018	00088263934	DUNN, JOSEPH C. FUNDAMENTAL INVESTORS-C	B	0.00	37.34	0.00	37.34		0.37
06/01/2018	00088263934	DUNN, JOSEPH C. NEW PERSPECTIVE FUND-C	B	0.00	36.99	0.00	36.99		0.37
06/01/2018	00088263943	BISHOP, MASON W. EUROPACIFIC GROWTH FUND-C	B	0.00	9.66	0.00	9.66		0.10
06/01/2018	00088263943	BISHOP, MASON W. NEW PERSPECTIVE FUND-C	B	0.00	10.25	0.00	10.25		0.10
06/01/2018	00088263951	ABNEY, JENNIFER R. FUNDAMENTAL INVESTORS-C	B	0.00	1.92	0.00	1.92		0.02
06/01/2018	00088263951	ABNEY, JENNIFER R. NEW PERSPECTIVE FUND-C	B	0.00	1.72	0.00	1.72		0.02
06/01/2018	00088263961	GENTRY, ANGIE L. EUROPACIFIC GROWTH FUND-C	B	0.00	14.51	0.00	14.51		0.15
06/01/2018	00088263961	GENTRY, ANGIE L. NEW PERSPECTIVE FUND-C	B	0.00	15.44	0.00	15.44		0.15
06/01/2018	00088263979	MESEY, BRIAN K. FUNDAMENTAL INVESTORS-C	B	0.00	9.42	0.00	9.42		0.09
06/01/2018	00088263979	MESEY, BRIAN K. THE GROWTH FUND OF AMERICA-C	B	0.00	14.61	0.00	14.61		0.15
06/01/2018	00088270587	MILLER, CLIFFORD NEW PERSPECTIVE FUND-C	B	0.00	20.25	0.00	20.25		0.20
C.									
06/01/2018	00088270587	MILLER, CLIFFORD THE GROWTH FUND OF AMERICA-C	B	0.00	21.09	0.00	21.09		0.21
C.									
06/01/2018	00088272149	DUNN, BECKY CAPITAL INCOME BUILDER-C	B	0.00	23.84	0.00	23.84		0.24
06/01/2018	00088272149	DUNN, BECKY THE BOND FUND OF AMERICA-C	B	0.00	21.77	0.00	21.77		0.22
05/25/2018	00088272160	DUNN, CHESTER R. SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14		0.01
05/25/2018	00088272160	DUNN, CHESTER R. SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14		0.01
06/01/2018	00088272160	DUNN, CHESTER R. SMALLCAP WORLD FUND-C	B	0.00	129.34	0.00	129.34		1.29
06/04/2018	00088272160	DUNN, CHESTER R. SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14		0.01
06/04/2018	00088272160	DUNN, CHESTER R. SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14		0.01
06/01/2018	00088495479	SECHRIST, STEVE CAPITAL INCOME BUILDER-C	B	0.00	4.33	0.00	4.33		0.04
06/01/2018	00088495479	SECHRIST, STEVE CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	6.41	0.00	6.41		0.06
06/01/2018	00088495479	SECHRIST, STEVE FUNDAMENTAL INVESTORS-C	B	0.00	1.86	0.00	1.86		0.02
06/01/2018	00088495479	SECHRIST, STEVE THE GROWTH FUND OF AMERICA-C	B	0.00	7.17	0.00	7.17		0.07
06/01/2018	00088560502	GROSSI, ERINN FUNDAMENTAL INVESTORS-C	B	0.00	53.05	0.00	53.05		0.53
06/01/2018	00088560514	GROSSI, ERINN P. FUNDAMENTAL INVESTORS-C	B	0.00	36.96	0.00	36.96		0.37



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OVERRIDE TRANSACTIONS									
BROKER: JOHN HOWARD RUSSELL #9632									
06/01/2018	00088562280	GROSSI, CARY	CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	18.78	0.00	18.78	0.19
06/01/2018	00088562280	GROSSI, CARY	EUROPACIFIC GROWTH FUND-529A	B	0.00	2.44	0.00	2.44	0.02
06/01/2018	00088562292	GROSSI, CARY	EUROPACIFIC GROWTH FUND-529A	B	0.00	2.88	0.00	2.88	0.03
06/01/2018	00088562292	GROSSI, CARY	SMALLCAP WORLD FUND-529A	B	0.00	7.21	0.00	7.21	0.07
06/01/2018	00088562292	GROSSI, CARY	THE GROWTH FUND OF AMERICA-529A	B	0.00	7.90	0.00	7.90	0.08
06/01/2018	00088569757	CANTU, SHERRYLE L	FUNDAMENTAL INVESTORS-529C	B	0.00	10.83	0.00	10.83	0.11
06/01/2018	00088577640	BELL, STEVEN B.	FUNDAMENTAL INVESTORS-C	B	0.00	1.34	0.00	1.34	0.01
06/01/2018	00088750083	MCMILLAN, MICHELLE	COLLEGE 2030 FUND-529A	B	0.00	3.59	0.00	3.59	0.04
05/25/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
05/25/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
06/04/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
06/04/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
06/01/2018	00088800264	BOZEMAN, AMBER	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	1.97	0.00	1.97	0.02
06/01/2018	00088829160	TOTI, CARL/GLORIA	THE INCOME FUND OF AMERICA-529C	B	0.00	47.71	0.00	47.71	0.48
06/01/2018	00088829167	CRAMBLET, VICKI	CAPITAL INCOME BUILDER-529A	B	0.00	2.78	0.00	2.78	0.03
CHURCHWEL									
06/01/2018	00088860885	MEARES, JAN	EUROPACIFIC GROWTH FUND-C	B	0.00	18.01	0.00	18.01	0.18
06/01/2018	00088873429	JANIS, STACY D.	NEW PERSPECTIVE FUND-529A	B	0.00	1.62	0.00	1.62	0.02
06/01/2018	00088976786	DANIEL, KRISTIN H.	EUROPACIFIC GROWTH FUND-C	B	0.00	50.53	0.00	50.53	0.51
06/01/2018	00089141187	ZOBECK, MARK C.	AMERICAN BALANCED FUND-529A	B	0.00	13.18	0.00	13.18	0.13
06/01/2018	00089141187	ZOBECK, MARK C.	FUNDAMENTAL INVESTORS-529A	B	0.00	37.53	0.00	37.53	0.38
06/01/2018	00089141187	ZOBECK, MARK C.	NEW WORLD FUND-529A	B	0.00	7.06	0.00	7.06	0.07
06/01/2018	00089141187	ZOBECK, MARK C.	THE BOND FUND OF AMERICA-529A	B	0.00	2.49	0.00	2.49	0.02
06/01/2018	00089141187	ZOBECK, MARK C.	THE INCOME FUND OF AMERICA-529A	B	0.00	6.66	0.00	6.66	0.07
06/01/2018	00089433187	SULLIVAN/WATSON,	NEW WORLD FUND-529A	B	0.00	0.54	0.00	0.54	0.01
SHERRY/ASHYR									
06/01/2018	00089506836	HAWKINS, SAMUEL L.	AMERICAN FUNDS FUNDAMENTAL	INVES B	0.00	2.60	0.00	2.60	0.03
06/01/2018	00089506836	HAWKINS, SAMUEL L.	AMERICAN FUNDS NEW ECONOMY FD	B	0.00	2.86	0.00	2.86	0.03
06/01/2018	00089514707	HAMILTON, JAMES	THE NEW ECONOMY FUND-529A	B	0.00	0.83	0.00	0.83	0.01
06/01/2018	00089680678	CHAMPION, AUBREY	THE NEW ECONOMY FUND-C	B	0.00	17.86	0.00	17.86	0.18
J.									
06/01/2018	00089985511	YOUNG, VICTORIA	AMERICAN MUTUAL FUND-C	B	0.00	17.52	0.00	17.52	0.18
JOBE									
06/01/2018	00089985511	YOUNG, VICTORIA	AMERICAN MUTUAL FUND-F1	B	0.00	0.90	0.00	0.90	0.01
JOBE									
06/01/2018	00089985511	YOUNG, VICTORIA	FUNDAMENTAL INVESTORS-C	B	100.00	1.00	0.00	1.00	0.01
JOBE									
06/01/2018	00089985511	YOUNG, VICTORIA	FUNDAMENTAL INVESTORS-C	B	0.00	1.51	0.00	1.51	0.02
JOBE									
06/01/2018	00089985511	YOUNG, VICTORIA	THE INCOME FUND OF AMERICA-C	B	0.00	12.26	0.00	12.26	0.12

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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OVERRIDE TRANSACTIONS

**BROKER: JOHN HOWARD RUSSELL #9632**

JOBE									
06/01/2018	00089985511	YOUNG, VICTORIA	THE INCOME FUND OF AMERICA-F1	B	0.00	0.58	0.00	0.58	0.01
JOBE									
06/01/2018	00089986176	YOUNG, MARCUS	FUNDAMENTAL INVESTORS-529C	B	0.00	1.35	0.00	1.35	0.01
06/01/2018	01287072185	GROSSI, CARY	AMERICAN FUNDS BALANCED FD	B	0.00	13.20	0.00	13.20	0.13
06/01/2018	04000150405	BLAKE, MATTHEW	THE GROWTH FUND OF AMERICA-C	B	0.00	1.62	0.00	1.62	0.02
06/01/2018	04000175390	MCADAMS, NICHOLAS	THE GROWTH FUND OF AMERICA-C	B	0.00	1.10	0.00	1.10	0.01
W.									
06/01/2018	04000234902	OTT, BRIAN L.	FUNDAMENTAL INVESTORS-529A	B	0.00	1.37	0.00	1.37	0.01
06/04/2018	04000234902	OTT, BRIAN L.	FUNDAMENTAL INVESTORS-529A	B	250.00	12.50	0.00	12.50	0.13
05/22/2018	04000273061	TORREZ, HEATHER	FUNDAMENTAL INVESTORS-529C	B	375.00	3.75	0.00	3.75	0.04
06/01/2018	04000273061	TORREZ, HEATHER	FUNDAMENTAL INVESTORS-529C	B	0.00	4.20	0.00	4.20	0.04
* JOHN HOWARD RUSSELL SUBTOTAL *					\$2,875.90	\$3,193.09	\$0.00	\$3,193.09	\$31.92
*** OVERRIDE TRANSACTIONS TOTAL ***					\$4,619.40	\$4,761.82	\$0.00	\$4,761.82	\$47.72

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,954.69
Split Transactions	\$67.48
Override Transactions	\$47.72
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,069.89
Year-to-date Earnings	\$14,773.75