



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 31, 2017

04/18/2017
Page No. 1

ROBIN EDGAR
1161 WAYZATA BLVD E
#400
Wayzata, MN 55391

BROKER #: E119
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
03/09/2017	FIRSCHING, DENNIS	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
03/09/2017	LEONARD TRUST, REA	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
03/09/2017	FIRSCHING, DENNIS	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
03/09/2017	LEONARD TRUST, REA	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
02/24/2017	GOCHE, ANN	SHOPOFF LAND FUND V	28,000.00	1,960.00	0.00	1,960.00	90.0	1,764.00
03/15/2017	GOCHE, ANN	TRILINC GLOBAL IMPACT FUND A	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
* PRIVATE PLACEMENT SUBTOTAL *			\$278,000.00	\$19,460.00	\$0.00	\$19,460.00		\$17,514.00
<u>PRODUCT CATEGORY: REIT</u>								
03/16/2017	GOCHE, ANN	COTTONWOOD MULTIFAMILY REIT I-REG A	50,000.00	3,000.00	0.00	3,000.00	90.0	2,700.00
* REIT SUBTOTAL *			\$50,000.00	\$3,000.00	\$0.00	\$3,000.00		\$2,700.00
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
03/20/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	4.89	0.00	4.89	90.0	4.40
03/20/2017	TORNQUIST, SCOTT	MULTI STRATEGY GROWTH & INCOME FUND	0.00	13.83	0.00	13.83	90.0	12.45
03/20/2017	KONEFES, ALISON	MULTI STRATEGY GROWTH & INCOME FUND	0.00	8.40	0.00	8.40	90.0	7.56
03/20/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	4.02	0.00	4.02	90.0	3.62
03/20/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	7.01	0.00	7.01	90.0	6.31
03/20/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.03	0.00	5.03	90.0	4.53
03/20/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	10.06	0.00	10.06	90.0	9.05
03/20/2017	ENGBRETSON, ROGER	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.16	0.00	5.16	90.0	4.64
03/20/2017	EDGAR, ROBIN	MULTI STRATEGY GROWTH & INCOME FUND	0.00	2.18	0.00	2.18	90.0	1.96
03/20/2017	SCHLICHTING, LAURA	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.16	0.00	5.16	90.0	4.64
03/20/2017	GROSS, ROBERT	MULTI STRATEGY GROWTH & INCOME FUND	0.00	21.05	0.00	21.05	90.0	18.95
03/20/2017	STRIPLING, KERRY	MULTI STRATEGY GROWTH & INCOME FUND	0.00	6.56	0.00	6.56	90.0	5.90
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$93.35	\$0.00	\$93.35		\$84.01

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/13/2017	POST, KENNETH	AM FUNDS AMCAP A	120.32	3.31	0.00	3.31	90.0	2.98
03/13/2017	POST, KENNETH	AM FUNDS AMCAP A	120.32	3.31	0.00	3.31	90.0	2.98
03/06/2017	DAHL, NANCY JOHNSON	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	90.0	3.38
03/06/2017	DAHL, JORGEN	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
03/06/2017	DAHL, ERIK	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
03/13/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	22.85	0.63	0.00	0.63	90.0	0.57
03/13/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	22.85	0.63	0.00	0.63	90.0	0.57
03/15/2017	WEBER, PAUL	AM FUNDS AMERICAN BALANCED A	200.00	4.00	0.00	4.00	90.0	3.60
03/15/2017	GOCHE, ANN	AM FUNDS AMERICAN BALANCED A	100.00	1.60	0.00	1.60	90.0	1.44
03/13/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	22.85	0.63	0.00	0.63	90.0	0.57
03/13/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	22.85	0.63	0.00	0.63	90.0	0.57
03/15/2017	WEBER, PAUL	AM FUNDS AMERICAN MUTUAL A	300.00	6.00	0.00	6.00	90.0	5.40
03/13/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	1.29	0.00	1.29	90.0	1.16
03/13/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	1.29	0.00	1.29	90.0	1.16
03/13/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	119.22	3.28	0.00	3.28	90.0	2.95
03/13/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	119.22	3.28	0.00	3.28	90.0	2.95
03/06/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	200.00	3.20	0.00	3.20	90.0	2.88
03/06/2017	BUSS, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	500.00	5.00	0.00	5.00	90.0	4.50
03/10/2017	REIDEL, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	100.00	2.75	0.00	2.75	90.0	2.48
03/15/2017	WEBER, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	200.00	4.00	0.00	4.00	90.0	3.60
03/15/2017	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	100.00	1.60	0.00	1.60	90.0	1.44
03/06/2017	DAHL, NANCY JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
03/06/2017	BUSS, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	5.00	0.00	5.00	90.0	4.50
03/13/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	35.33	0.97	0.00	0.97	90.0	0.87
03/13/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	70.65	1.94	0.00	1.94	90.0	1.75
03/15/2017	WEBER, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	200.00	4.00	0.00	4.00	90.0	3.60
03/15/2017	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	1.60	0.00	1.60	90.0	1.44
03/17/2017	BRUNS, MARCUS	AM FUNDS CAPITAL WORLD GRTH & INC A	150.00	4.13	0.00	4.13	90.0	3.72
03/15/2017	WEBER, PAUL	AM FUNDS FUNDAMENTAL INVESTORS A	200.00	4.00	0.00	4.00	90.0	3.60
03/10/2017	REIDEL, DENNIS	AM FUNDS GLOBAL BALANCED A	200.00	5.50	0.00	5.50	90.0	4.95
03/06/2017	GROTHE, CHRISTI	AM FUNDS GROWTH AND INCOME A	250.00	2.50	0.00	2.50	90.0	2.25
03/06/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH AND INCOME A	150.00	7.50	0.00	7.50	90.0	6.75
03/13/2017	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	151.14	4.16	0.00	4.16	90.0	3.74

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/13/2017	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	151.14	4.16	0.00	4.16	90.0	3.74
03/20/2017	DAHL, ERIK	AM FUNDS GROWTH AND INCOME A	100.00	5.00	0.00	5.00	90.0	4.50
03/22/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
03/22/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38
03/22/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
03/22/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38
03/22/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	31.25	1.17	0.00	1.17	90.0	1.05
03/22/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	53.65	2.01	0.00	2.01	90.0	1.81
03/22/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	31.25	1.17	0.00	1.17	90.0	1.05
03/22/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	53.65	2.01	0.00	2.01	90.0	1.81
03/22/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	34.37	1.29	0.00	1.29	90.0	1.16
03/22/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	35.40	1.33	0.00	1.33	90.0	1.20
03/22/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	41.87	1.57	0.00	1.57	90.0	1.41
03/22/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	43.13	1.62	0.00	1.62	90.0	1.46
03/13/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	35.33	0.97	0.00	0.97	90.0	0.87
03/13/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	70.65	1.94	0.00	1.94	90.0	1.75
03/13/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	23.54	0.65	0.00	0.65	90.0	0.59
03/13/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	23.54	0.65	0.00	0.65	90.0	0.59
03/15/2017	WEBER, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	200.00	4.00	0.00	4.00	90.0	3.60
03/06/2017	HEMINGSEN, LAURA	AM FUNDS GROWTH PORT A	300.00	3.00	0.00	3.00	90.0	2.70
03/06/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH PORT A	150.00	7.50	0.00	7.50	90.0	6.75
03/06/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	47.26	1.77	0.00	1.77	90.0	1.59
03/06/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	28.36	1.06	0.00	1.06	90.0	0.95
03/06/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	18.68	0.70	0.00	0.70	90.0	0.63
03/06/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	31.13	1.17	0.00	1.17	90.0	1.05
03/13/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	46.55	1.75	0.00	1.75	90.0	1.58
03/13/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	27.93	1.05	0.00	1.05	90.0	0.95
03/13/2017	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	170.64	4.69	0.00	4.69	90.0	4.22
03/13/2017	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	284.41	7.82	0.00	7.82	90.0	7.04
03/13/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	31.97	1.20	0.00	1.20	90.0	1.08
03/13/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	19.18	0.72	0.00	0.72	90.0	0.65
03/20/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	27.50	1.03	0.00	1.03	90.0	0.93
03/20/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	45.84	1.72	0.00	1.72	90.0	1.55

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/20/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	16.82	0.63	0.00	0.63	90.0	0.57
03/20/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	28.03	1.05	0.00	1.05	90.0	0.95
03/22/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
03/22/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
03/22/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
03/22/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
03/22/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	90.00	3.38	0.00	3.38	90.0	3.04
03/22/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	154.50	5.79	0.00	5.79	90.0	5.21
03/22/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	90.00	3.38	0.00	3.38	90.0	3.04
03/22/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	154.50	5.79	0.00	5.79	90.0	5.21
03/13/2017	FIELD, BARRY	AM FUNDS ICA A	35.33	0.97	0.00	0.97	90.0	0.87
03/13/2017	FIELD, BARRY	AM FUNDS ICA A	70.65	1.94	0.00	1.94	90.0	1.75
03/06/2017	DAHL, NANCY JOHNSON	AM FUNDS INCOME FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
03/13/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	35.31	0.97	0.00	0.97	90.0	0.87
03/13/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	70.65	1.94	0.00	1.94	90.0	1.75
03/15/2017	GOCHE, ANN	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	90.0	1.44
03/06/2017	BUSS, KAREN	AM FUNDS INTL GROWTH AND INCOME A	500.00	5.00	0.00	5.00	90.0	4.50
03/06/2017	DAHL, NANCY JOHNSON	AM FUNDS NEW PERSPECTIVE A	50.00	1.88	0.00	1.88	90.0	1.69
03/06/2017	BUSS, KAREN	AM FUNDS NEW PERSPECTIVE A	500.00	5.00	0.00	5.00	90.0	4.50
03/17/2017	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	150.00	4.13	0.00	4.13	90.0	3.72
03/10/2017	REIDEL, DENNIS	AM FUNDS NEW WORLD A	200.00	5.50	0.00	5.50	90.0	4.95
03/15/2017	WEBER, PAUL	AM FUNDS NEW WORLD A	200.00	4.00	0.00	4.00	90.0	3.60
03/17/2017	BRUNS, MARCUS	AM FUNDS NEW WORLD A	150.00	4.13	0.00	4.13	90.0	3.72
03/10/2017	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	150.00	1.80	0.00	1.80	90.0	1.62
03/06/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	21.72	0.81	0.00	0.81	90.0	0.73
03/06/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	21.72	0.81	0.00	0.81	90.0	0.73
03/06/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	59.35	2.23	0.00	2.23	90.0	2.01
03/06/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	29.67	1.11	0.00	1.11	90.0	1.00
03/06/2017	GROTHER, CHRISTI	AMERICAN FUND GLOBAL GROWTH PORTFOL	250.00	2.50	0.00	2.50	90.0	2.25
03/06/2017	DAHL, ERIK	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	5.00	0.00	5.00	90.0	4.50
03/07/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	224.00	8.40	0.00	8.40	90.0	7.56
03/07/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	96.00	3.60	0.00	3.60	90.0	3.24
03/13/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	18.24	0.68	0.00	0.68	90.0	0.61

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/13/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	18.24	0.68	0.00	0.68	90.0	0.61
03/13/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	61.19	2.29	0.00	2.29	90.0	2.06
03/13/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	30.60	1.15	0.00	1.15	90.0	1.04
03/13/2017	ENGLISH, WILLIAM	AMERICAN FUND GLOBAL GROWTH PORTFOL	125.00	3.44	0.00	3.44	90.0	3.10
03/13/2017	ENGLISH, WILLIAM	AMERICAN FUND GLOBAL GROWTH PORTFOL	125.00	3.44	0.00	3.44	90.0	3.10
03/20/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	17.04	0.64	0.00	0.64	90.0	0.58
03/20/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	17.04	0.64	0.00	0.64	90.0	0.58
03/20/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	30.60	1.15	0.00	1.15	90.0	1.04
03/20/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	61.19	2.29	0.00	2.29	90.0	2.06
03/22/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	31.25	1.17	0.00	1.17	90.0	1.05
03/22/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	53.64	2.01	0.00	2.01	90.0	1.81
03/22/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	31.25	1.17	0.00	1.17	90.0	1.05
03/22/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	53.64	2.01	0.00	2.01	90.0	1.81
03/22/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	57.94	2.17	0.00	2.17	90.0	1.95
03/22/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	198.92	7.46	0.00	7.46	90.0	6.71
03/22/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	61.32	2.30	0.00	2.30	90.0	2.07
03/22/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	210.51	7.89	0.00	7.89	90.0	7.10
03/22/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	34.38	1.29	0.00	1.29	90.0	1.16
03/22/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	35.41	1.33	0.00	1.33	90.0	1.20
03/22/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	41.88	1.57	0.00	1.57	90.0	1.41
03/22/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	43.13	1.62	0.00	1.62	90.0	1.46
03/06/2017	KETTWICK, MICHAEL	AMERICAN FUNDS DEVELOPING WORLD GRO	2,000.00	20.00	0.00	20.00	90.0	18.00
03/10/2017	REIDEL, DENNIS	AMERICAN FUNDS DEVELOPING WORLD GRO	100.00	2.75	0.00	2.75	90.0	2.48
03/15/2017	WEBER, PAUL	AMERICAN FUNDS DEVELOPING WORLD GRO	300.00	6.00	0.00	6.00	90.0	5.40
03/24/2017	REIDEL, DENNIS	AMERICAN FUNDS DEVELOPING WORLD GRO	407.00	11.19	0.00	11.19	90.0	10.07
* MUTUAL FUNDS SUBTOTAL *			\$15,267.48	\$364.12	\$0.00	\$364.12		\$327.79
<u>PRODUCT CATEGORY: STOCKS</u>								
03/21/2017	KOSS-WINN BANC ESOP	ALPHABET INC CAP STK CL C	49,768.64	50.00	22.50	27.50	90.0	24.75
03/15/2017	KOSS-WINN BANC ESOP	CATCHMARK TIMBER TR INC CL A	-4,300.52	50.00	22.60	27.40	90.0	24.66
03/15/2017	KOSS-WINN BANC ESOP	COLONY NORTHSTAR INC CL A COM	-765.02	50.00	22.50	27.50	90.0	24.75
03/15/2017	KOSS-WINN BANC ESOP	COLONY NORTHSTAR INC CL A COM	-1,538.83	50.00	22.50	27.50	90.0	24.75
03/15/2017	KOSS-WINN BANC ESOP	COLONY NORTHSTAR INC CL A COM	-3,870.85	50.00	22.50	27.50	90.0	24.75
03/15/2017	KOSS-WINN BANC ESOP	COLONY NORTHSTAR INC CL A COM	-6,214.90	50.00	22.70	27.30	90.0	24.57

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
03/15/2017	KOSS-WINN BANC ESOP	COLONY NORTHSTAR INC CL A COM	-767.92	50.00	22.50	27.50	90.0	24.75
03/15/2017	KOSS-WINN BANC ESOP	COLONY NORTHSTAR INC CL A COM	-1,543.82	50.00	22.50	27.50	90.0	24.75
03/15/2017	KOSS-WINN BANC ESOP	COLONY NORTHSTAR INC CL A COM	765.02	-50.00	-22.50	-27.50	90.0	-24.75
03/15/2017	KOSS-WINN BANC ESOP	COLONY NORTHSTAR INC CL A COM	-765.02	38.25	22.50	15.75	90.0	14.18
03/15/2017	KOSS-WINN BANC ESOP	COLONY NORTHSTAR INC CL A COM	767.92	-50.00	-22.50	-27.50	90.0	-24.75
03/15/2017	KOSS-WINN BANC ESOP	COLONY NORTHSTAR INC CL A COM	-767.92	35.64	22.50	13.14	90.0	11.83
03/14/2017	SWIFT, SHEILA	MONOGRAM RESIDENTIAL TR INC COM	-28,803.33	50.00	26.33	23.67	90.0	21.30
03/15/2017	KONEFES, ALISON	MONOGRAM RESIDENTIAL TR INC COM	-29,121.12	50.00	26.33	23.67	90.0	21.30
03/15/2017	KOSS-WINN BANC ESOP	MONOGRAM RESIDENTIAL TR INC COM	-25,643.52	50.00	25.82	24.18	90.0	21.76
03/15/2017	KOSS-WINN BANC ESOP	MONOGRAM RESIDENTIAL TR INC COM	-12,470.69	50.00	23.86	26.14	90.0	23.53
03/15/2017	KOSS-WINN BANC ESOP	MONOGRAM RESIDENTIAL TR INC COM	-32,086.52	50.00	26.77	23.23	90.0	20.91
03/15/2017	GERZEMA, MARLENE	MONOGRAM RESIDENTIAL TR INC COM	-60,498.60	50.00	27.00	23.00	90.0	20.70
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	-208.59	50.00	22.50	27.50	90.0	24.75
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	-429.81	50.00	22.50	27.50	90.0	24.75
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	-1,092.05	50.00	22.50	27.50	90.0	24.75
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	-1,742.65	50.00	22.50	27.50	90.0	24.75
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	-208.59	50.00	22.50	27.50	90.0	24.75
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	-429.57	50.00	22.50	27.50	90.0	24.75
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	208.59	-50.00	-22.50	-27.50	90.0	-24.75
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	-208.59	7.67	22.50	-14.83	90.0	-13.35
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	208.59	-50.00	-22.50	-27.50	90.0	-24.75
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	-208.59	7.67	22.50	-14.83	90.0	-13.35
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	429.57	-50.00	-22.50	-27.50	90.0	-24.75
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	-429.57	18.72	22.50	-3.78	90.0	-3.40
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	208.59	-7.67	-22.50	14.83	90.0	13.35
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	-208.59	22.00	22.50	-0.50	90.0	-0.45
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	429.81	-50.00	-22.50	-27.50	90.0	-24.75
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	-429.81	22.00	22.50	-0.50	90.0	-0.45
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	208.59	-7.67	-22.50	14.83	90.0	13.35
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	-208.59	22.00	22.50	-0.50	90.0	-0.45
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	429.57	-18.72	-22.50	3.78	90.0	3.40
03/15/2017	KOSS-WINN BANC ESOP	NORTHSTAR RLTY EUROPE CORP COM	-429.57	22.00	22.50	-0.50	90.0	-0.45
03/15/2017	KOSS-WINN BANC ESOP	SIRIUS XM HLDGS INC COM	-1,072.70	50.00	22.50	27.50	90.0	24.75

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
03/13/2017	KOSS-WINN BANC	ESOP	WINNEBAGO INDUSTRIES INC	28,775.00	50.00	23.50	26.50	90.0 23.85
03/16/2017	KOSS-WINN BANC	ESOP	WINNEBAGO INDUSTRIES INC	-30,110.00	50.00	23.50	26.50	90.0 23.85
			* STOCKS SUBTOTAL *	\$-164,375.96	\$1,011.89	\$540.91	\$470.98	\$423.89
			*** BROKER TRANSACTIONS TOTAL ***	\$178,891.52	\$23,929.36	\$540.91	\$23,388.45	\$21,049.69

BROKER COMMISSION TOTALS

Broker Transactions	\$21,049.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$21,049.69
Year-to-date Gross	\$73,468.95
Year-to-date Earnings	\$64,919.96

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