



COMMISSION STATEMENT

March 05, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/20/2018	***-**-716I - PAPST, JASON	AMCAP FUND-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 3 Units @34.2000						
02/27/2018	***-**-968X - GAW, LELAND	AMCAP FUND-A	32,845.12	18.00	18.00	0.00	80.0	0.00
	ACCOUNT #: LSR010777	SELL 984 Units @33.3700						
02/27/2018	***-**-552X - FAMIGLIETTI,	AMCAP FUND-A	15,030.78	18.00	18.00	0.00	80.0	0.00
	ACCOUNT #: LSR010780	SELL 450 Units @33.3700						
03/01/2018	***-**-325X - WARD, JAMES	AMERICAN 2035 TARGET DATE RETIREMNT	99.99	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010789	BUY 7 Units @14.7200						
03/01/2018	***-**-007X - MONACO, CARMIN	AMERICAN 2035 TARGET DATE RETIREMNT	1,325.01	13.25	3.00	10.25	80.0	8.20
	ACCOUNT #: LSR010800	BUY 90 Units @14.7200						
03/01/2018	***-**-310X - HARDWICK, TODD	AMERICAN 2035 TARGET DATE RETIREMNT	90.00	0.90	3.00	-2.10	100.0	-2.10
	ACCOUNT #: LSR011310	BUY 6 Units @14.7200						
02/20/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	154.64	4.25	0.00	4.25	80.0	3.40
	ACCOUNT #: 04000563712	BUY 5 Units @28.1800						
02/20/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	46.39	1.28	0.00	1.28	80.0	1.02
	ACCOUNT #: 04000563712	BUY 2 Units @28.1800						
02/20/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00083064667	BUY 1 Units @28.1800						
02/20/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	32.76	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 00083064667	BUY 1 Units @28.1800						
02/23/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.9300						
02/23/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.9300						
02/23/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.9300						
02/23/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.9300						
02/23/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.9300						
02/23/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.9300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/23/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.9300						
02/23/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.9300						
02/20/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.78	0.57	0.00	0.57	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.0700						
02/20/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00087513340	BUY 2 Units @13.0700						
03/01/2018	***-**-968X - GAW, LELAND	AMERICAN FUNDS 2030 TARGET DATE-C	1,220.00	12.20	3.00	9.20	80.0	7.36
	ACCOUNT #: LSR010777	BUY 86 Units @14.2500						
03/01/2018	***-**-552X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	800.00	8.00	3.00	5.00	80.0	4.00
	ACCOUNT #: LSR010780	BUY 56 Units @14.2500						
03/01/2018	***-**-558X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	100.01	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010788	BUY 7 Units @14.2500						
02/20/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	43.27	1.19	0.00	1.19	80.0	0.95
	ACCOUNT #: 04000563724	BUY 3 Units @15.6900						
02/20/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	115.38	3.17	0.00	3.17	80.0	2.54
	ACCOUNT #: 04000563724	BUY 7 Units @15.6900						
02/20/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @16.0300						
02/20/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	48.83	1.34	0.00	1.34	80.0	1.07
	ACCOUNT #: 04000563702	BUY 3 Units @16.0300						
02/20/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 12 Units @16.0300						
02/20/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	44.09	1.21	0.00	1.21	80.0	0.97
	ACCOUNT #: 00081526588	BUY 3 Units @16.0300						
02/20/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	33.75	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 00085621852	BUY 2 Units @15.3100						
02/20/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @15.3100						
02/20/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	34.29	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 00085621852	BUY 2 Units @15.3100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/20/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @15.3100	45.00	0.45	0.00	0.45	80.0	0.36
02/20/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @15.3100	240.50	2.41	0.00	2.41	80.0	1.93
02/20/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 4 Units @15.3100	65.58	0.66	0.00	0.66	80.0	0.53
02/20/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 4 Units @15.3100	65.58	0.66	0.00	0.66	80.0	0.53
02/20/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @15.3100	240.50	2.41	0.00	2.41	80.0	1.93
02/20/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3100	25.00	0.25	0.00	0.25	80.0	0.20
02/20/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3100	25.00	0.25	0.00	0.25	80.0	0.20
02/20/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @15.3100	15.94	0.16	0.00	0.16	80.0	0.13
02/20/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3100	25.00	0.25	0.00	0.25	80.0	0.20
02/27/2018	***-**-968I - GAW, LELAND ACCOUNT #: LSR011682	AMERICAN FUNDS INCOME PORT CL A SELL 15607 Units @12.6700	197,744.45	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	AMERICAN MUTUAL FUND-A SELL 143 Units @41.3700	5,936.43	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	AMERICAN MUTUAL FUND-A SELL 124 Units @41.3700	5,114.28	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	CAPITAL INCOME BUILDER-A SELL 76 Units @62.3100	4,731.07	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	CAPITAL INCOME BUILDER-A SELL 65 Units @62.3100	4,061.55	18.00	18.00	0.00	80.0	0.00
02/20/2018	***-**-716I - PAPST, JASON ACCOUNT #: 00067533376	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @54.4200	100.00	2.75	0.00	2.75	80.0	2.20
02/27/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	CAPITAL WORLD GROWTH AND INCOME FUN SELL 484 Units @52.8300	25,563.33	18.00	18.00	0.00	80.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/27/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	CAPITAL WORLD GROWTH AND INCOME FUN SELL 225 Units @52.8300	11,885.96	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	FRANKLIN RISING DIVIDENDS FD CLASS SELL 520 Units @61.5200	31,986.95	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	FRANKLIN RISING DIVIDENDS FD CLASS SELL 0 Units @61.5200	0.49	0.00	18.00	-18.00	100.0	-18.00
02/27/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	FRK LOW DURATION TOTAL RETURN FND - SELL 464 Units @9.7000	4,500.54	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	FRK STRAT INC FND - CL A SELL 490 Units @9.7100	4,761.05	18.00	18.00	0.00	80.0	0.00
02/23/2018	***-**-078I - PELLETIER, ACCOUNT #: 000000000017588	FT 2015 RETIREMENT TARGET FUND - CL BUY 44 Units @11.4900	500.00	18.75	0.00	18.75	80.0	15.00
02/27/2018	***-**-552I - FAMIGLIETTI, ACCOUNT #: LSR011127	IVY BOND FUND CLASS A SELL 1141 Units @10.5400	12,022.81	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	IVY GLOBAL EQUITY INCOME CLASS A SELL 2307 Units @14.1900	32,731.86	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-552I - FAMIGLIETTI, ACCOUNT #: LSR011127	IVY GLOBAL EQUITY INCOME CLASS A SELL 1723 Units @14.1900	24,452.28	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	MASSACHUSETTS INVESTORS TRUST-A SELL 986 Units @33.1100	32,658.68	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-552I - FAMIGLIETTI, ACCOUNT #: LSR011127	MASSACHUSETTS INVESTORS TRUST-A SELL 945 Units @33.1100	31,283.06	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	MFS LIMITED MATURITY FUND-A SELL 2246 Units @5.9100	13,276.20	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-552I - FAMIGLIETTI, ACCOUNT #: LSR011127	MFS RESEARCH BOND FUND CLASS A SELL 1120 Units @10.4600	11,719.89	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	MFS STRATEGIC INCOME FUND-A SELL 3379 Units @6.4700	21,860.00	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	MFS STRATEGIC INCOME FUND-A SELL 3702 Units @6.4700	23,953.52	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	NEW PERSPECTIVE FUND-A SELL 134 Units @45.3300	6,085.37	18.00	18.00	0.00	80.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/27/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	NEW PERSPECTIVE FUND-A SELL 116 Units @45.3300	5,243.14	18.00	18.00	0.00	80.0	0.00
03/05/2018	***-**-078I - PELLETIER, ACCOUNT #: 7200000132	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	22.90	0.00	22.90	80.0	18.32
03/05/2018	***-**-365I - HENDRICKS, ACCOUNT #: 7200000751	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	52.75	0.00	52.75	80.0	42.20
03/05/2018	***-**-8503 - DEPINHO, MARY ACCOUNT #: 7200004964	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	30.60	0.00	30.60	80.0	24.48
03/05/2018	***-**-230I - ADAMAITIS, JOY ACCOUNT #: 7200005523	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	34.45	0.00	34.45	80.0	27.56
03/05/2018	***-**-069I - PIRES, DAVID ACCOUNT #: 7200006689	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	17.41	0.00	17.41	80.0	13.93
03/05/2018	***-**-124I - YOUNG, SANDRA ACCOUNT #: 7200017871	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	20.70	0.00	20.70	80.0	16.56
03/01/2018	***-**-102I - DIPAOLO, EVELYN ACCOUNT #: LSR011455	T ROWE PRICE RET 2020 FUND BUY 333 Units @22.5000	7,499.99	3.00	3.00	0.00	80.0	0.00
02/27/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	TEMP GLOBAL BOND FND - CL A SELL 419 Units @11.9600	5,008.15	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	THE INCOME FUND OF AMERICA-A SELL 220 Units @23.3100	5,117.64	18.00	18.00	0.00	80.0	0.00
02/27/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	THE INCOME FUND OF AMERICA-A SELL 189 Units @23.3100	4,397.04	18.00	18.00	0.00	80.0	0.00
02/20/2018	***-**-716I - PAPST, JASON ACCOUNT #: 00067533376	U.S. GOVERNMENT SECURITIES FUND CLA BUY 7 Units @13.8700	100.00	2.75	0.00	2.75	80.0	2.20
02/23/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.6700	30.00	0.48	0.00	0.48	80.0	0.38
02/23/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.6700	30.00	0.48	0.00	0.48	80.0	0.38
02/20/2018	***-**-716I - PAPST, JASON ACCOUNT #: 00067533376	WASHINGTON MUTUAL INVESTORS FUND-A BUY 2 Units @47.9400	100.00	2.75	0.00	2.75	80.0	2.20
02/27/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	WASHINGTON MUTUAL INVESTORS FUND-A SELL 672 Units @46.6200	31,320.20	18.00	18.00	0.00	80.0	0.00



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
02/27/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	WASHINGTON MUTUAL INVESTORS FUND-A SELL 306 Units @46.6200	14,267.03	18.00	18.00	0.00	80.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$634,362.39	\$800.63	\$543.00	\$257.63		\$201.29
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
02/28/2018	***-**-72IN - ROSEN, THOMAS ACCOUNT #: 009102606A	JACKSON PERSEPCTIVE ADVANTAGE A BUY 0 Units @0.0000	0.00	33.70	0.00	33.70	80.0	26.96
02/28/2018	***-**-3912 - LAFUENTE, CARLOS ACCOUNT #: EVN46018411	MASS MUTUAL VA DTCC TRAILS BUY 0 Units @0.0000	0.00	204.93	0.00	204.93	80.0	163.94
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$238.63	\$0.00	\$238.63		\$190.90
*** BROKER TRANSACTIONS TOTAL ***			\$634,362.39	\$1,039.26	\$543.00	\$496.26		\$392.19

BROKER COMMISSION TOTALS

Broker Transactions	\$392.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$0.00