

STATEMENT

August 20, 2018

EDWARD MCCLOUD
2720 Bella Vista Dr

BROKER #: 151
BRANCH:

Davenport, FL 33897

COMMISSION STATEMENT for EDWARD MCCLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/20/2018	#02560006765	TO STA TOD	INTERNATIONAL VALUE FUND C	30.00	0.30	95.0	0.00	0.29	0.00	0.29
06/20/2018	#07650692505	WISSEL, RICHARD	OPPENHEIMER CAPITAL APPRECIATION FU	25.00	1.00	95.0	0.00	0.95	0.00	0.95
06/20/2018	#08820012023	WISSEL, RICHARD	OPPENHEIMER INTERNATIONAL BOND FUND	25.00	0.25	95.0	0.00	0.24	0.00	0.24
06/26/2018	#07651047508	WALDORF 30,	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	95.0	0.00	2.26	0.00	2.26
06/28/2018	#07002685510	ALLRED, CORBIN	OPPENHEIMER MAIN STREET FUND CL A	458.00	13.74	95.0	0.00	13.05	0.00	13.05
07/02/2018	#04000604491	MO/TOD	CAPITAL WORLD GROWTH & INCOME FUND	50.00	2.50	95.0	0.00	2.38	0.00	2.38
07/02/2018	#00085575331	LEIMKUEHLER,	CAPITAL WORLD GROWTH & INCOME FUND	50.00	0.50	95.0	0.00	0.48	0.00	0.48
07/02/2018	#02365509009	ALERD, M	QUEST OPPORTUNITY VALUE FUND A	50.00	1.50	95.0	0.00	1.43	0.00	1.43
07/16/2018	#00085968220	KELLER, RACHEL	CAPITAL INCOME BLDR FUND CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
07/16/2018	#00089844148	HARRYLEAN	CAPITAL INCOME BLDR FUND CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
07/16/2018	#04000859326		INVESTMENT CO AMER CLASS A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
07/16/2018	#00087408983	UNTIL AGE 21,	NEW ECONOMY FUND SBI CLASS A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
07/16/2018	#00085968135	KELLER, RACHEL	NEW WORLD FUND INC NEW CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
07/16/2018	#00088720593	UNTIL AGE 21,	NEW WORLD FUND INC NEW CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
07/16/2018	#00085968135	KELLER, RACHEL	THE NEW ECONOMY FUND-C	50.00	0.50	95.0	0.00	0.48	0.00	0.48

* MUTUAL FUNDS SUBTOTAL *	\$1,138.00	\$30.17	\$0.00	\$28.71	\$0.00	\$28.71
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PRODUCT CATEGORY: STOCKS

06/29/2018	#	HARTLEY, TODD	VEREIT INC COM	1,188.26	42.00	95.0	0.00	39.90	19.95	19.95
07/16/2018	#	RIGGS, KEVIN	KINDER MORGAN INC	4,292.40	50.00	95.0	0.00	47.50	19.95	27.55
07/17/2018	#	WHEELER, JEANIE	VEREIT INC COM	934.58	42.00	95.0	0.00	39.90	19.95	19.95
07/17/2018	#	CARTER, SANDRA	VEREIT INC COM	1,383.17	48.00	95.0	0.00	45.60	19.95	25.65
07/18/2018	#	MCCLOUD, ED	VEREIT INC COM	2,514.84	40.00	95.0	0.00	38.00	19.95	18.05
07/23/2018	#	REDFORD, FRANCES	ORACLE CORP	2,173.50	42.00	95.0	0.00	39.90	19.95	19.95
07/27/2018	#	BARRETH, PAMELA	COLUMBIA PROPERTY TRUST INC	69,420.00	50.00	95.0	0.00	47.50	19.95	27.55
07/27/2018	#	BARRETH, PAMELA	COLUMBIA PROPERTY TRUST INC	42,299.92	40.00	95.0	0.00	38.00	19.95	18.05
07/27/2018	#	BARRETH, PAMELA	VEREIT INC COM	36,193.52	40.00	95.0	0.00	38.00	19.95	18.05
07/27/2018	#	BARRETH, PAMELA	VEREIT INC COM	74,795.00	55.00	95.0	0.00	52.25	19.95	32.30

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
* STOCKS SUBTOTAL *			\$235,195.19	\$449.00		\$0.00	\$426.55	\$199.50	\$227.05
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/01/0018	#E1512167 SMITH, BETTY	PRUDETIAL TRAILS	0.00	56.41	95.0	0.00	53.59	0.00	53.59
05/01/0018	#E1391003 AHRENS, MARILYN	PRUDETIAL TRAILS	0.00	7.42	95.0	0.00	7.05	0.00	7.05
05/01/0018	#E1370788 MCCUE, DANA	PRUDETIAL TRAILS	0.00	10.27	95.0	0.00	9.76	0.00	9.76
05/01/0018	#E1398877 AHRENS, MARILYN	PRUDETIAL TRAILS	0.00	8.30	95.0	0.00	7.89	0.00	7.89
05/01/0018	#E1023693 RIFFLE, DONALD	PRUDETIAL TRAILS	0.00	7.49	95.0	0.00	7.12	0.00	7.12
05/01/0018	#E1453545 WHEELER, MICHAEL	PRUDETIAL TRAILS	0.00	11.26	95.0	0.00	10.70	0.00	10.70
05/01/0018	#E1136566 KELLY, KELLY	PRUDETIAL TRAILS	0.00	8.61	95.0	0.00	8.18	0.00	8.18
05/01/0018	#E1288049 WHEELER, MICHAEL	PRUDETIAL TRAILS	0.00	76.49	95.0	0.00	72.67	0.00	72.67
05/01/0018	#E1216132 SWITALA, DONALD	PRUDETIAL TRAILS	0.00	8.50	95.0	0.00	8.08	0.00	8.08
05/01/0018	#E1216981 HOBBS, KAREN	PRUDETIAL TRAILS	0.00	6.47	95.0	0.00	6.15	0.00	6.15
05/01/0018	#E1145757 LEIMKUEHLER,	PRUDETIAL TRAILS	0.00	37.77	95.0	0.00	35.88	0.00	35.88
05/01/0018	#E1711242 BALLARD, BRUCE	PRUDETIAL TRAILS	0.00	65.59	95.0	0.00	62.31	0.00	62.31
05/01/0018	#E1349206 CHANDLER, STANLEY	PRUDETIAL TRAILS	0.00	27.74	95.0	0.00	26.35	0.00	26.35
05/01/0018	#E1478805 BURBEE, CAROLYNN	PRUDETIAL TRAILS	0.00	3.23	95.0	0.00	3.07	0.00	3.07
05/01/0018	#E1432944 LATTIMER, MARILYN	PRUDETIAL TRAILS	0.00	33.67	95.0	0.00	31.99	0.00	31.99
05/01/0018	#E1471617 MORGAN, LARRY	PRUDETIAL TRAILS	0.00	11.41	95.0	0.00	10.84	0.00	10.84
05/01/0018	#E1470659 KELLY, KELLY	PRUDETIAL TRAILS	0.00	10.43	95.0	0.00	9.91	0.00	9.91
05/01/0018	#E1471589 MORGAN, CAROLYN	PRUDETIAL TRAILS	0.00	3.77	95.0	0.00	3.58	0.00	3.58
05/01/0018	#E1023703 RIFFLE, GAIL	PRUDETIAL TRAILS	0.00	16.20	95.0	0.00	15.39	0.00	15.39
05/01/0018	#E1305719 CHANDLER, STANLEY	PRUDETIAL TRAILS	0.00	24.39	95.0	0.00	23.17	0.00	23.17
05/01/0018	#E1434414 HINNAH, DALE	PRUDETIAL TRAILS	0.00	21.61	95.0	0.00	20.53	0.00	20.53
05/01/0018	#E1519518 HOBBS, CURT	PRUDETIAL TRAILS	0.00	3.81	95.0	0.00	3.62	0.00	3.62
05/01/0018	#E1489481 SMITH, BETTY	PRUDETIAL TRAILS	0.00	12.47	95.0	0.00	11.85	0.00	11.85
05/01/0018	#E0905302 HARTLEY, TODD	PRUDETIAL TRAILS	0.00	19.88	95.0	0.00	18.89	0.00	18.89
05/01/0018	#E0783016 JAMES, TODD	PRUDETIAL TRAILS	0.00	26.49	95.0	0.00	25.17	0.00	25.17
05/01/0018	#E0819400 KELLER, MARY	PRUDETIAL TRAILS	0.00	4.68	95.0	0.00	4.45	0.00	4.45
05/01/0018	#E0675180 LATTIMER, MARILYN	PRUDETIAL TRAILS	0.00	11.50	95.0	0.00	10.93	0.00	10.93
05/01/0018	#E0811297	PRUDETIAL TRAILS	0.00	27.26	95.0	0.00	25.90	0.00	25.90
05/01/0018	#E0806197 KELLER, MARY	PRUDETIAL TRAILS	0.00	110.30	95.0	0.00	104.79	0.00	104.79
05/01/0018	#E0797192 MCMANNAMA, LINDA	PRUDETIAL TRAILS	0.00	18.97	95.0	0.00	18.02	0.00	18.02
05/01/0018	#E0681442 ROBLES, ALICIA	PRUDETIAL TRAILS	0.00	146.65	95.0	0.00	139.32	0.00	139.32
05/01/0018	#E0938227 MCCLLOUD, JOHN	PRUDETIAL TRAILS	0.00	5.28	95.0	0.00	5.02	0.00	5.02
05/01/0018	#E0678003 SMITH, GARY	PRUDETIAL TRAILS	0.00	62.10	95.0	0.00	59.00	0.00	59.00
05/01/0018	#E0669594 GENTRY, ELLIS	PRUDETIAL TRAILS	0.00	13.94	95.0	0.00	13.24	0.00	13.24
05/01/0018	#E0669594 GENTRY, ELLIS	PRUDETIAL TRAILS	0.00	41.81	95.0	0.00	39.72	0.00	39.72
05/01/0018	#E0751810 GROSSENBURG,	PRUDETIAL TRAILS	0.00	3.77	95.0	0.00	3.58	0.00	3.58
05/01/0018	#E0788888 SWITALA, MYRA	PRUDETIAL TRAILS	0.00	7.25	95.0	0.00	6.89	0.00	6.89

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/01/0018	#E0752215	GROSSENBURG, DAVID	PRUDETIAL TRAILS	0.00	5.97	95.0	0.00	5.67	5.67
05/01/0018	#E0547168	PETERS, ROBERT	PRUDETIAL TRAILS	0.00	17.27	95.0	0.00	16.41	16.41
05/01/0018	#E0561607	MCNABB, JAMES	PRUDETIAL TRAILS	0.00	21.62	95.0	0.00	20.54	20.54
05/01/0018	#E0562383	MCNABB, CHARLA	PRUDETIAL TRAILS	0.00	10.00	95.0	0.00	9.50	9.50
05/01/0018	#E0745755	CARTER, SANDRA	PRUDETIAL TRAILS	0.00	69.45	95.0	0.00	65.98	65.98
05/01/0018	#E0937777	URBAN, CAROL	PRUDETIAL TRAILS	0.00	33.89	95.0	0.00	32.20	32.20
05/01/0018	#E0937815	URBAN, JEFFREY	PRUDETIAL TRAILS	0.00	12.92	95.0	0.00	12.27	12.27
05/01/0018	#E0691275	SMITH, SUSAN	PRUDETIAL TRAILS	0.00	6.98	95.0	0.00	6.63	6.63
05/01/0018	#E0901812	MCCLOUD, VICKIE	PRUDETIAL TRAILS	0.00	7.08	95.0	0.00	6.73	6.73
05/01/0018	#E0691225	DONZETTA TURNBOW	PRUDETIAL TRAILS	0.00	5.78	95.0	0.00	5.49	5.49
05/01/0018	#E0595236	RIGGS, PAMELA	PRUDETIAL TRAILS	0.00	2.28	95.0	0.00	2.17	2.17
05/01/0018	#E0596667	LATTIMER, MARTIN	PRUDETIAL TRAILS	0.00	3.20	95.0	0.00	3.04	3.04
05/01/0018	#000460739	TURNBOW DDS APC,	PRUDETIAL TRAILS	0.00	1.05	95.0	0.00	1.00	1.00
05/01/0018	#E0895873	SWAIN, THOMAS	PRUDETIAL TRAILS	0.00	80.36	95.0	0.00	76.34	76.34
05/01/0018	#E0831799	CORN, KELLY	PRUDETIAL TRAILS	0.00	37.52	95.0	0.00	35.64	35.64
05/01/0018	#E0503759	BAKER, CAROL	PRUDETIAL TRAILS	0.00	12.01	95.0	0.00	11.41	11.41
05/01/0018	#E0503759	BAKER, CAROL	PRUDETIAL TRAILS	0.00	36.03	95.0	0.00	34.23	34.23
05/01/0018	#E0538766	MCMANNAMA, LINDA	PRUDETIAL TRAILS	0.00	4.54	95.0	0.00	4.31	4.31
05/01/0018	#E0539143	FLORA, JOHN	PRUDETIAL TRAILS	0.00	52.39	95.0	0.00	49.77	49.77
05/01/0018	#E0539143	FLORA, JOHN	PRUDETIAL TRAILS	0.00	157.16	95.0	0.00	149.30	149.30
05/01/0018	#E0476729	NAYLOR, THOMAS	PRUDETIAL TRAILS	0.00	50.91	95.0	0.00	48.36	48.36
05/01/0018	#E0476729	NAYLOR, THOMAS	PRUDETIAL TRAILS	0.00	152.74	95.0	0.00	145.10	145.10
05/01/0018	#E0985978	RIGGS, KEVIN	PRUDETIAL TRAILS	0.00	6.43	95.0	0.00	6.11	6.11
05/01/0018	#E0905399	HARTLEY, WENDY	PRUDETIAL TRAILS	0.00	12.72	95.0	0.00	12.08	12.08
05/01/0018	#E0902192	MCCLOUD, ED	PRUDETIAL TRAILS	0.00	2.37	95.0	0.00	2.25	2.25
05/01/0018	#E0525026	CAMPBELL, HAROLD	PRUDETIAL TRAILS	0.00	10.37	95.0	0.00	9.85	9.85
05/01/0018	#E0525026	CAMPBELL, HAROLD	PRUDETIAL TRAILS	0.00	31.10	95.0	0.00	29.55	29.55
05/01/0018	#E0525044	CAMPBELL, VIRGINIA	PRUDETIAL TRAILS	0.00	9.24	95.0	0.00	8.78	8.78
05/01/0018	#E0525044	CAMPBELL, VIRGINIA	PRUDETIAL TRAILS	0.00	27.71	95.0	0.00	26.32	26.32
05/01/0018	#E0585193	GROSSENBURG, DAVID	PRUDETIAL TRAILS	0.00	66.08	95.0	0.00	62.78	62.78
05/01/0018	#E0874940	HOOPER DECEA,	PRUDETIAL TRAILS	0.00	10.79	95.0	0.00	10.25	10.25
05/01/0018	#E0886340	MCKINLEY, RICK	PRUDETIAL TRAILS	0.00	9.83	95.0	0.00	9.34	9.34
05/01/0018	#E0680847	ROBLES, ALICIA	PRUDETIAL TRAILS	0.00	42.22	95.0	0.00	40.11	40.11
05/01/0018	#E0680847	ROBLES, ALICIA	PRUDETIAL TRAILS	0.00	14.07	95.0	0.00	13.37	13.37
05/01/0018	#E0726196	RARDON, SHARON	PRUDETIAL TRAILS	0.00	26.54	95.0	0.00	25.21	25.21
07/02/0018	#C1387180W	POWER, LINDA	VOYA	100.00	4.75	95.0	0.00	4.51	4.51

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/02/0018	#C1387490W	POWER, DANIEL	VOYA	100.00	4.75	95.0	0.00	4.51	4.51
07/03/0018	#C1387490W	POWER, DANIEL	VOYA	0.00	4.93	95.0	0.00	4.68	4.68
07/03/0018	#C1460430W	SWENSON, JANETTE	VOYA	0.00	126.61	95.0	0.00	120.28	120.28
07/03/0018	#C1739820W	SCHALK, HAROLD	VOYA	0.00	15.07	95.0	0.00	14.32	14.32
07/03/0018	#C2294210W	ELLIOTT, VICKI	VOYA	0.00	14.45	95.0	0.00	13.73	13.73
07/05/0018	#C1873270W	KELLY, KELLY	VOYA	0.00	33.53	95.0	0.00	31.85	31.85
07/05/0018	#C1873480W	KELLY, KELLY	VOYA	0.00	21.16	95.0	0.00	20.10	20.10
07/05/0018	#C1939860W	WALLER TEN COM,	VOYA	0.00	50.85	95.0	0.00	48.31	48.31
07/07/0018	#E1942155	SCHROER, MARK	PRUDENTIAL	61,891.34	3,094.57	95.0	0.00	2,939.84	2,939.84
07/07/0018	#E1942157	SCHROER, MARK	PRUDENTIAL	150,067.36	7,503.37	95.0	0.00	7,128.20	7,128.20
07/05/0020	#C1141420W	SCHROER, MARK	VOYA	0.00	25.94	95.0	0.00	24.64	24.64
07/05/0040	#C1398040W	SWAIN, ELIZABETH	VOYA	0.00	86.36	95.0	0.00	82.04	82.04
07/05/0080	#C0802380W	HAWLEY, MARLYN	VOYA	0.00	12.74	95.0	0.00	12.10	12.10
07/05/0090	#C1398290W	SWAIN, THOMAS	VOYA	0.00	79.23	95.0	0.00	75.27	75.27
07/20/0201	#E1470659	KELLY, KELLY	PRUDENTIAL	225.00	10.69	95.0	0.00	10.16	10.16
07/05/1900	#C1587300W	SHULL, ROBERT	VOYA	0.00	177.37	95.0	0.00	168.50	168.50
07/01/2018	#C1387180W	POWER, LINDA	VOYA	0.00	38.39	95.0	0.00	36.47	36.47
* VARIABLE ANNUITIES SUBTOTAL *			\$212,383.70	\$13,328.57		\$0.00	\$12,662.20	\$0.00	\$12,662.20

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018	#08670003300	VARIOUS MCCLOUD	AMT-FREE MUNICIPALS C	0.00	24.45	95.0	0.00	23.23	23.23
06/30/2018	#07860009702	WISSEL, RICHARD	DEVELOPING MARKETS FUND B	0.00	0.02	95.0	0.00	0.02	0.02
06/30/2018	#07860049390	KELLY UTMA MO,	DEVELOPING MARKETS FUND B	0.00	0.03	95.0	0.00	0.03	0.03
06/30/2018	#02320399190	VARIOUS MCCLOUD	GLOBAL STRATEGIC INCOME FUND C	0.00	35.08	95.0	0.00	33.33	33.33
06/30/2018	#08150305866	VARIOUS MCCLOUD	INTERNATIONAL SMALL COMPANY FUND A	0.00	14.73	95.0	0.00	13.99	13.99
06/30/2018	#08150499906	VARIOUS MCCLOUD	INTERNATIONAL SMALL COMPANY FUND A	0.00	59.25	95.0	0.00	56.29	56.29
06/30/2018	#08150821326	TRANS MIN ACT	INTERNATIONAL SMALL COMPANY FUND A	0.00	19.20	95.0	0.00	18.24	18.24
06/30/2018	#08151084897	VARIOUS MCCLOUD	INTERNATIONAL SMALL COMPANY FUND A	0.00	29.92	95.0	0.00	28.42	28.42
06/30/2018	#08152058562	VARIOUS MCCLOUD	INTERNATIONAL SMALL COMPANY FUND A	0.00	1.63	95.0	0.00	1.55	1.55
06/30/2018	#08160052206	TRANS MIN ACT	INTERNATIONAL SMALL COMPANY FUND B	0.00	0.04	95.0	0.00	0.04	0.04
06/30/2018	#02540311608	VARIOUS MCCLOUD	INTERNATIONAL VALUE FUND A	0.00	17.66	95.0	0.00	16.78	16.78
06/30/2018	#02560006765	TO STA TOD	INTERNATIONAL VALUE FUND C	0.00	10.13	95.0	0.00	9.62	9.62
06/30/2018	#07011431881	MCCLOUD, SAMUEL	MAIN STREET FUND B	0.00	0.05	95.0	0.00	0.05	0.05
06/30/2018	#03101478466	VARIOUS MCCLOUD	OPPENHEIMER AMT FREE MUNICIPALS CL	0.00	26.79	95.0	0.00	25.45	25.45
06/30/2018	#03101518858	VARIOUS MCCLOUD	OPPENHEIMER AMT FREE MUNICIPALS CL	0.00	50.45	95.0	0.00	47.93	47.93
06/30/2018	#03101518891	VARIOUS MCCLOUD	OPPENHEIMER AMT FREE MUNICIPALS CL	0.00	50.45	95.0	0.00	47.93	47.93

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018	#03101518957	VARIOUS MCCLLOUD OPPENHEIMER	AMT FREE MUNICIPALS CL	0.00	27.51	95.0	0.00	26.13	26.13
06/30/2018	#03200790515	VARIOUS MCCLLOUD OPPENHEIMER	CAPITAL APPRECIATION FU	0.00	17.73	95.0	0.00	16.84	16.84
06/30/2018	#03205103868	VARIOUS MCCLLOUD OPPENHEIMER	CAPITAL APPRECIATION FU	0.00	7.36	95.0	0.00	6.99	6.99
06/30/2018	#03209267401	VARIOUS MCCLLOUD OPPENHEIMER	CAPITAL APPRECIATION FU	0.00	4.70	95.0	0.00	4.47	4.47
06/30/2018	#07650692505	WISSEL, RICHARD OPPENHEIMER	CAPITAL APPRECIATION FU	0.00	6.16	95.0	0.00	5.85	5.85
06/30/2018	#07650846021	VARIOUS MCCLLOUD OPPENHEIMER	CAPITAL APPRECIATION FU	0.00	31.22	95.0	0.00	29.66	29.66
06/30/2018	#07651047508	WALDORF 30, OPPENHEIMER	CAPITAL APPRECIATION FU	0.00	20.19	95.0	0.00	19.18	19.18
06/30/2018	#07660564597	WALDORF 30, OPPENHEIMER	CAPITAL APPRECIATION FU	0.00	0.01	95.0	0.00	0.01	0.01
06/30/2018	#02720132979	VARIOUS MCCLLOUD OPPENHEIMER	CAPITAL APPRECIATION FU	0.00	15.65	95.0	0.00	14.87	14.87
06/30/2018	#03007899925	VARIOUS MCCLLOUD OPPENHEIMER	CAPITAL INCOME FUND-CL	0.00	3.47	95.0	0.00	3.30	3.30
06/30/2018	#03450421146	VARIOUS MCCLLOUD OPPENHEIMER	CAPITAL INCOME FUND-CL	0.00	26.37	95.0	0.00	25.05	25.05
06/30/2018	#03020068453	VARIOUS MCCLLOUD OPPENHEIMER	CAPITAL INCOME FUND-CL	0.00	46.59	95.0	0.00	44.26	44.26
06/30/2018	#07850011528	VARIOUS MCCLLOUD OPPENHEIMER	DEVELOPING MARKETS FUND	0.00	34.34	95.0	0.00	32.62	32.62
06/30/2018	#07850049500	VARIOUS MCCLLOUD OPPENHEIMER	DEVELOPING MARKETS FUND	0.00	14.20	95.0	0.00	13.49	13.49
06/30/2018	#07850882200	WISSEL, RICHARD OPPENHEIMER	DEVELOPING MARKETS FUND	0.00	28.45	95.0	0.00	27.03	27.03
06/30/2018	#07851198659	KELLY UTMA MO, OPPENHEIMER	DEVELOPING MARKETS FUND	0.00	7.57	95.0	0.00	7.19	7.19
06/30/2018	#05003631606	VARIOUS MCCLLOUD OPPENHEIMER	DISCOVERY FUND CL A	0.00	14.85	95.0	0.00	14.11	14.11
06/30/2018	#05003633520	VARIOUS MCCLLOUD OPPENHEIMER	DISCOVERY FUND CL A	0.00	6.44	95.0	0.00	6.12	6.12
06/30/2018	#05003669600	VARIOUS MCCLLOUD OPPENHEIMER	DISCOVERY FUND CL A	0.00	1.76	95.0	0.00	1.67	1.67
06/30/2018	#07452864807	VARIOUS MCCLLOUD OPPENHEIMER	DISCOVERY FUND CL A	0.00	10.50	95.0	0.00	9.98	9.98
06/30/2018	#07452866798	VARIOUS MCCLLOUD OPPENHEIMER	DISCOVERY FUND CL A	0.00	4.62	95.0	0.00	4.39	4.39
06/30/2018	#08352425676	VARIOUS MCCLLOUD OPPENHEIMER	EQUITY INCOME FUND INC-	0.00	39.01	95.0	0.00	37.06	37.06
06/30/2018	#03302232694	VARIOUS MCCLLOUD OPPENHEIMER	GLOBAL FUND CL A	0.00	4.92	95.0	0.00	4.67	4.67
06/30/2018	#03303346939	NAYLOR, ALBERTA OPPENHEIMER	GLOBAL FUND CL A	0.00	13.03	95.0	0.00	12.38	12.38
06/30/2018	#03304004858	VARIOUS MCCLLOUD OPPENHEIMER	GLOBAL FUND CL A	0.00	7.29	95.0	0.00	6.93	6.93
06/30/2018	#03304031093	VARIOUS MCCLLOUD OPPENHEIMER	GLOBAL FUND CL A	0.00	31.07	95.0	0.00	29.52	29.52
06/30/2018	#03304031104	VARIOUS MCCLLOUD OPPENHEIMER	GLOBAL FUND CL A	0.00	61.78	95.0	0.00	58.69	58.69
06/30/2018	#03304083453	VARIOUS MCCLLOUD OPPENHEIMER	GLOBAL FUND CL A	0.00	20.37	95.0	0.00	19.35	19.35
06/30/2018	#03308214107	VARIOUS MCCLLOUD OPPENHEIMER	GLOBAL FUND CL A	0.00	6.71	95.0	0.00	6.37	6.37
06/30/2018	#02803636611	VARIOUS MCCLLOUD OPPENHEIMER	GLOBAL STRATEGIC INCOME	0.00	29.47	95.0	0.00	28.00	28.00
06/30/2018	#02803921907	VARIOUS MCCLLOUD OPPENHEIMER	GLOBAL STRATEGIC INCOME	0.00	14.38	95.0	0.00	13.66	13.66
06/30/2018	#08800178981	VARIOUS MCCLLOUD OPPENHEIMER	INTERNATIONAL BOND FUND	0.00	5.50	95.0	0.00	5.23	5.23
06/30/2018	#08800500984	VARIOUS MCCLLOUD OPPENHEIMER	INTERNATIONAL BOND FUND	0.00	29.83	95.0	0.00	28.34	28.34
06/30/2018	#08801070025	VARIOUS MCCLLOUD OPPENHEIMER	INTERNATIONAL BOND FUND	0.00	60.02	95.0	0.00	57.02	57.02
06/30/2018	#08801358269	VARIOUS MCCLLOUD OPPENHEIMER	INTERNATIONAL BOND FUND	0.00	29.70	95.0	0.00	28.22	28.22
06/30/2018	#08820012023	WISSEL, RICHARD OPPENHEIMER	INTERNATIONAL BOND FUND	0.00	5.29	95.0	0.00	5.03	5.03

COMMISSION STATEMENT for EDWARD MCCLLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018	#08820026433	VARIOUS MCCLLOUD OPPENHEIMER INTERNATIONAL BOND FUND	0.00	181.28	95.0	0.00	172.22	0.00	172.22
06/30/2018	#08820032109	VARIOUS MCCLLOUD OPPENHEIMER INTERNATIONAL BOND FUND	0.00	171.07	95.0	0.00	162.52	0.00	162.52
06/30/2018	#08822035880	VARIOUS MCCLLOUD OPPENHEIMER INTERNATIONAL BOND FUND	0.00	51.25	95.0	0.00	48.69	0.00	48.69
06/30/2018	#08251074854	VARIOUS MCCLLOUD OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	34.81	95.0	0.00	33.07	0.00	33.07
06/30/2018	#08472908884	VARIOUS MCCLLOUD OPPENHEIMER MAIN STR SMALL & MID CA	0.00	3.79	95.0	0.00	3.60	0.00	3.60
06/30/2018	#04202748922	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	12.62	95.0	0.00	11.99	0.00	11.99
06/30/2018	#07002670649	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	4.36	95.0	0.00	4.14	0.00	4.14
06/30/2018	#07002685510	ALLRED, CORBIN OPPENHEIMER MAIN STREET FUND CL A	0.00	31.40	95.0	0.00	29.83	0.00	29.83
06/30/2018	#07003228643	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	6.16	95.0	0.00	5.85	0.00	5.85
06/30/2018	#07003317215	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	24.73	95.0	0.00	23.49	0.00	23.49
06/30/2018	#07003317237	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	40.60	95.0	0.00	38.57	0.00	38.57
06/30/2018	#07003376246	MCCLLOUD, SAMUEL OPPENHEIMER MAIN STREET FUND CL A	0.00	24.64	95.0	0.00	23.41	0.00	23.41
06/30/2018	#07003458741	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	18.74	95.0	0.00	17.80	0.00	17.80
06/30/2018	#07003478387	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	12.12	95.0	0.00	11.51	0.00	11.51
06/30/2018	#07003690758	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	12.42	95.0	0.00	11.80	0.00	11.80
06/30/2018	#07003712153	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	53.83	95.0	0.00	51.14	0.00	51.14
06/30/2018	#07003712164	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	23.48	95.0	0.00	22.31	0.00	22.31
06/30/2018	#07003735572	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	29.16	95.0	0.00	27.70	0.00	27.70
06/30/2018	#07003794824	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	7.21	95.0	0.00	6.85	0.00	6.85
06/30/2018	#07004285468	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	48.37	95.0	0.00	45.95	0.00	45.95
06/30/2018	#07005287573	NAYLOR, THOMAS OPPENHEIMER MAIN STREET FUND CL A	0.00	75.96	95.0	0.00	72.16	0.00	72.16
06/30/2018	#07006214125	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	37.39	95.0	0.00	35.52	0.00	35.52
06/30/2018	#07006214158	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	22.86	95.0	0.00	21.72	0.00	21.72
06/30/2018	#07006616054	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	12.93	95.0	0.00	12.28	0.00	12.28
06/30/2018	#07008900842	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	28.96	95.0	0.00	27.51	0.00	27.51
06/30/2018	#07008960869	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	34.83	95.0	0.00	33.09	0.00	33.09
06/30/2018	#07950224180	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	128.97	95.0	0.00	122.52	0.00	122.52
06/30/2018	#07950619938	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	10.87	95.0	0.00	10.33	0.00	10.33
06/30/2018	#07951989361	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	148.53	95.0	0.00	141.10	0.00	141.10
06/30/2018	#07952313971	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	7.86	95.0	0.00	7.47	0.00	7.47
06/30/2018	#07952768359	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	13.29	95.0	0.00	12.63	0.00	12.63
06/30/2018	#07952800655	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	47.91	95.0	0.00	45.51	0.00	45.51
06/30/2018	#07952819850	SUBJECT TO STA OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	51.87	95.0	0.00	49.28	0.00	49.28
06/30/2018	#07970134706	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	49.18	95.0	0.00	46.72	0.00	46.72
06/30/2018	#07970145585	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	525.98	95.0	0.00	499.68	0.00	499.68
06/30/2018	#02912460227	BROWN, KEITH OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	11.04	95.0	0.00	10.49	0.00	10.49

COMMISSION STATEMENT for EDWARD MCCLLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018	#02920616814	BROWN, KEITH	OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	0.12	95.0	0.00	0.11	0.11
06/30/2018	#00100028040	DAY, MARY	OPPENHEIMER SR FLOATING RATE PLUS F	0.00	69.13	95.0	0.00	65.67	65.67
06/30/2018	#00100024443	MCCLLOUD, ED	OPPENHEIMER SR FLOATING RATE PLUS F	0.00	28.97	95.0	0.00	27.52	27.52
06/30/2018	#02363255142	VARIOUS MCCLLOUD	QUEST OPPORTUNITY VALUE FUND A	0.00	26.76	95.0	0.00	25.42	25.42
06/30/2018	#02363480675	VARIOUS MCCLLOUD	QUEST OPPORTUNITY VALUE FUND A	0.00	2.48	95.0	0.00	2.36	2.36
06/30/2018	#02365509009	ALERD, M	QUEST OPPORTUNITY VALUE FUND A	0.00	0.80	95.0	0.00	0.76	0.76
06/30/2018	#02270945791	VARIOUS MCCLLOUD	RISING DIVIDENDS FUND C	0.00	113.75	95.0	0.00	108.06	108.06
06/30/2018	#02271219317	VARIOUS MCCLLOUD	RISING DIVIDENDS FUND C	0.00	31.72	95.0	0.00	30.13	30.13
06/30/2018	#00100015720	TO STA TOD	SENIOR FLOATING RATE FUND	0.00	11.30	95.0	0.00	10.74	10.74
06/30/2018	#00100026885	MCCLLOUD, GENE	SENIOR FLOATING RATE FUND	0.00	8.84	95.0	0.00	8.40	8.40
06/30/2018	#00100026896	MCCLLOUD,	SENIOR FLOATING RATE FUND	0.00	2.24	95.0	0.00	2.13	2.13
06/30/2018	#00100026907	HOBBS, LEONA	SENIOR FLOATING RATE FUND	0.00	7.28	95.0	0.00	6.92	6.92
06/30/2018	#00100026918	LEONA HOBBS	SENIOR FLOATING RATE FUND	0.00	11.00	95.0	0.00	10.45	10.45
06/30/2018	#00100032946	SWENSON,	SENIOR FLOATING RATE FUND	0.00	22.12	95.0	0.00	21.01	21.01
06/30/2018	#00100034145	WISSEL, RICHARD	SENIOR FLOATING RATE FUND	0.00	0.68	95.0	0.00	0.65	0.65
06/30/2018	#00100026929	ALLRED G H	SENIOR FLOATING RATE FUND	0.00	28.58	95.0	0.00	27.15	27.15
06/30/2018	#00100035069	SUBJECT TO STA	SENIOR FLOATING RATE FUND	0.00	52.93	95.0	0.00	50.28	50.28
06/30/2018	#00100059192	HOBBS, CURT	STEELPATH MLP ALPHA PLUS FUND	0.00	0.20	95.0	0.00	0.19	0.19
06/30/2018	#02220116699	VARIOUS MCCLLOUD	U.S. GOVERNMENT TRUST C	0.00	24.82	95.0	0.00	23.58	23.58
07/01/2018	#03810383384	FORRESTER,	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	6.01	95.0	0.00	5.71	5.71
07/09/2018	#	POINTER, ANDREW	DAIN TRAILER	6,015.00	12.00	95.0	0.00	11.40	11.40
07/09/2018	#00006844764	VARIOUS MCCLLOUD	FIDELITY ADV EQUITY GROWTH-CL T	0.00	10.32	95.0	0.00	9.80	9.80
07/09/2018	#00006844768	VARIOUS MCCLLOUD	FIDELITY ADV EQUITY GROWTH-CL T	0.00	19.18	95.0	0.00	18.22	18.22
07/09/2018	#00006855896	VARIOUS MCCLLOUD	FIDELITY ADV EQUITY GROWTH-CL T	0.00	2.30	95.0	0.00	2.19	2.19
07/09/2018	#00006986168	VARIOUS MCCLLOUD	FIDELITY ADV EQUITY GROWTH-CL T	0.00	4.59	95.0	0.00	4.36	4.36
07/09/2018	#00006857424	VARIOUS MCCLLOUD	FIDELITY ADV EQUITY INCOME-CL T	0.00	6.76	95.0	0.00	6.42	6.42
07/09/2018	#00009042526	VARIOUS MCCLLOUD	FIDELITY ADV HEALTH CARE-CL A	0.00	10.28	95.0	0.00	9.77	9.77
07/09/2018	#00006999746	VARIOUS MCCLLOUD	FIDELITY ADV MID CAP-CL A	0.00	8.97	95.0	0.00	8.52	8.52
07/09/2018	#00009029533	VARIOUS MCCLLOUD	FIDELITY ADV MID CAP-CL A	0.00	2.18	95.0	0.00	2.07	2.07
07/09/2018	#00009042526	VARIOUS MCCLLOUD	FIDELITY ADV MID CAP-CL A	0.00	9.56	95.0	0.00	9.08	9.08
07/09/2018	#00006844764	VARIOUS MCCLLOUD	FIDELITY ADV TECHNOLOGY-CL A	0.00	2.56	95.0	0.00	2.43	2.43
07/09/2018	#00009042526	VARIOUS MCCLLOUD	FIDELITY ADV TECHNOLOGY-CL A	0.00	12.33	95.0	0.00	11.71	11.71
07/09/2018	#00006844764	VARIOUS MCCLLOUD	FIDELITY ADVISOR ENERGY CL A	0.00	3.75	95.0	0.00	3.56	3.56
07/09/2018	#00006844768	VARIOUS MCCLLOUD	FIDELITY ADVISOR ENERGY CL A	0.00	7.79	95.0	0.00	7.40	7.40
07/09/2018	#00009029533	VARIOUS MCCLLOUD	FIDELITY ADVISOR ENERGY CL A	0.00	1.15	95.0	0.00	1.09	1.09
07/09/2018	#00009034826	STOUT, JOHN	FIDELITY ADVISOR ENERGY CL A	0.00	9.81	95.0	0.00	9.32	9.32

COMMISSION STATEMENT for EDWARD MCCLOUD

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/09/2018	#00063304257	VARIOUS MCCLOUD	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	10.94	95.0	0.00	10.39	10.39
07/09/2018	#00006857424	VARIOUS MCCLOUD	FIDELITY ADVISOR HIGH INCOME ADVANT	0.00	2.99	95.0	0.00	2.84	2.84
07/09/2018	#00009029533	VARIOUS MCCLOUD	FIDELITY ADVISOR HIGH INCOME ADVANT	0.00	5.72	95.0	0.00	5.43	5.43
07/11/2018	# BURBEE, STEVE	DAIN TRAILER		0.00	35.40	95.0	0.00	33.63	33.63
07/11/2018	# BURBEE, STEVE	DAIN TRAILER		0.00	18.78	95.0	0.00	17.84	17.84
07/11/2018	# BURBEE, CAROLYNN	DAIN TRAILER		0.00	20.13	95.0	0.00	19.12	19.12
07/11/2018	# BURBEE, CAROLYNN	DAIN TRAILER		0.00	16.46	95.0	0.00	15.64	15.64
07/11/2018	# BURBEE, CAROLYNN	DAIN TRAILER		0.00	29.26	95.0	0.00	27.80	27.80
07/11/2018	# BURBEE, CAROLYNN	DAIN TRAILER		0.00	8.64	95.0	0.00	8.21	8.21
07/11/2018	# BURBEE, CAROLYNN	DAIN TRAILER		0.00	6.07	95.0	0.00	5.77	5.77
07/11/2018	# BURBEE, CAROLYNN	DAIN TRAILER		0.00	5.38	95.0	0.00	5.11	5.11
07/11/2018	# ELLIOTT, VICKI	DAIN TRAILER		0.00	1.59	95.0	0.00	1.51	1.51
07/11/2018	# ELLIOTT, VICKI	DAIN TRAILER		0.00	2.13	95.0	0.00	2.02	2.02
07/11/2018	# ELLIOTT, VICKI	DAIN TRAILER		0.00	0.81	95.0	0.00	0.77	0.77
07/11/2018	# REDFORD, FRANCES	DAIN TRAILER		0.00	4.77	95.0	0.00	4.53	4.53
07/11/2018	# REDFORD, FRANCES	DAIN TRAILER		0.00	7.45	95.0	0.00	7.08	7.08
07/11/2018	# REDFORD, FRANCES	DAIN TRAILER		0.00	8.11	95.0	0.00	7.70	7.70
07/11/2018	# REDFORD, FRANCES	DAIN TRAILER		0.00	287.88	95.0	0.00	273.49	273.49
07/11/2018	# POINTER, ANDREW	DAIN TRAILER		0.00	5.05	95.0	0.00	4.80	4.80
07/11/2018	# POINTER, ANDREW	DAIN TRAILER		0.00	1.54	95.0	0.00	1.46	1.46
07/11/2018	# WHEELER, JEANIE	DAIN TRAILER		0.00	2.51	95.0	0.00	2.38	2.38
07/11/2018	# AHRENS, DARRELL	DAIN TRAILER		0.00	3.43	95.0	0.00	3.26	3.26
07/11/2018	# AHRENS, DARRELL	DAIN TRAILER		0.00	10.33	95.0	0.00	9.81	9.81
07/11/2018	# AHRENS, DARRELL	DAIN TRAILER		0.00	5.68	95.0	0.00	5.40	5.40
07/11/2018	# T CHURCH, FIRST UNITED ME	DAIN TRAILER		0.00	19.23	95.0	0.00	18.27	18.27
07/11/2018	# T CHURCH, FIRST UNITED ME	DAIN TRAILER		0.00	5.52	95.0	0.00	5.24	5.24
07/11/2018	# T CHURCH, FIRST UNITED ME	DAIN TRAILER		0.00	4.15	95.0	0.00	3.94	3.94
07/11/2018	# CAMPBELL, VIRGINIA	DAIN TRAILER		0.00	61.09	95.0	0.00	58.04	58.04
07/11/2018	# CAMPBELL, HAROLD	DAIN TRAILER		0.00	16.77	95.0	0.00	15.93	15.93
07/11/2018	# CAMPBELL, HAROLD	DAIN TRAILER		0.00	50.58	95.0	0.00	48.05	48.05
07/11/2018	# POINTER, JILLIAN	DAIN TRAILER		0.00	1.49	95.0	0.00	1.42	1.42
07/11/2018	# POINTER, JILLIAN	DAIN TRAILER		0.00	2.31	95.0	0.00	2.19	2.19
07/11/2018	# SWITALA, DONALD	DAIN TRAILER		0.00	42.19	95.0	0.00	40.08	40.08
07/11/2018	# BARRETH, PAMELA	DAIN TRAILER		0.00	25.55	95.0	0.00	24.27	24.27
07/11/2018	# BARRETH, PAMELA	DAIN TRAILER		0.00	44.40	95.0	0.00	42.18	42.18
07/11/2018	# SCHROER, MARK	DAIN TRAILER		0.00	3.71	95.0	0.00	3.52	3.52

COMMISSION STATEMENT for EDWARD MCCLLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #	SCHROER, MARK	DAIN TRAILER	0.00	0.47	95.0	0.00	0.45	0.00	0.45
07/11/2018 #	SCHROER, MARK	DAIN TRAILER	0.00	1.13	95.0	0.00	1.07	0.00	1.07
07/11/2018 #	SCHROER, MARK	DAIN TRAILER	0.00	1.02	95.0	0.00	0.97	0.00	0.97
07/11/2018 #	HAWKINS, M FAYE	DAIN TRAILER	0.00	14.88	95.0	0.00	14.14	0.00	14.14
07/11/2018 #	HAWKINS, M FAYE	DAIN TRAILER	0.00	21.64	95.0	0.00	20.56	0.00	20.56
07/11/2018 #	HOEFlickER, SHARON	DAIN TRAILER	0.00	14.61	95.0	0.00	13.88	0.00	13.88
07/11/2018 #	SHULL, ROBERT	DAIN TRAILER	0.00	6.10	95.0	0.00	5.80	0.00	5.80
07/11/2018 #	SHULL, ROBERT	DAIN TRAILER	0.00	11.65	95.0	0.00	11.07	0.00	11.07
07/11/2018 #	MCCLLOUD, JOHN	DAIN TRAILER	0.00	75.63	95.0	0.00	71.85	0.00	71.85
07/11/2018 #	RIGGS, KEVIN	DAIN TRAILER	0.00	18.44	95.0	0.00	17.52	0.00	17.52
07/11/2018 #	RIGGS, KEVIN	DAIN TRAILER	0.00	20.21	95.0	0.00	19.20	0.00	19.20
07/11/2018 #	RIGGS, KEVIN	DAIN TRAILER	0.00	89.93	95.0	0.00	85.43	0.00	85.43
07/11/2018 #	KELLER, MARY	DAIN TRAILER	0.00	38.37	95.0	0.00	36.45	0.00	36.45
07/11/2018 #	KELLER, MARY	DAIN TRAILER	0.00	37.14	95.0	0.00	35.28	0.00	35.28
07/11/2018 #	KELLER, MARY	DAIN TRAILER	0.00	39.18	95.0	0.00	37.22	0.00	37.22
07/11/2018 #	SHULL, ROSS	DAIN TRAILER	0.00	5.53	95.0	0.00	5.25	0.00	5.25
07/11/2018 #	SHULL, ROSS	DAIN TRAILER	0.00	5.85	95.0	0.00	5.56	0.00	5.56
07/11/2018 #	MCGLAUGHLIN, TEMPE	DAIN TRAILER	0.00	9.89	95.0	0.00	9.40	0.00	9.40
07/11/2018 #	MCGLAUGHLIN, TEMPE	DAIN TRAILER	0.00	16.51	95.0	0.00	15.68	0.00	15.68
07/11/2018 #	FULKS, MARK	DAIN TRAILER	0.00	201.10	95.0	0.00	191.05	0.00	191.05
07/11/2018 #	RARDON, SHARON	DAIN TRAILER	0.00	201.10	95.0	0.00	191.05	0.00	191.05
07/11/2018 #	MCCUE, LARRY	DAIN TRAILER	0.00	17.50	95.0	0.00	16.63	0.00	16.63
07/11/2018 #	MCCUE, LARRY	DAIN TRAILER	0.00	17.72	95.0	0.00	16.83	0.00	16.83
07/11/2018 #	MCCUE, LARRY	DAIN TRAILER	0.00	20.06	95.0	0.00	19.06	0.00	19.06
07/11/2018 #	MCCUE, LARRY	DAIN TRAILER	0.00	19.87	95.0	0.00	18.88	0.00	18.88
07/11/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	17.32	95.0	0.00	16.45	0.00	16.45
07/11/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	25.62	95.0	0.00	24.34	0.00	24.34
07/11/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	4.26	95.0	0.00	4.05	0.00	4.05
07/11/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	83.40	95.0	0.00	79.23	0.00	79.23
07/11/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	4.31	95.0	0.00	4.09	0.00	4.09
07/11/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	5.69	95.0	0.00	5.41	0.00	5.41
07/11/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	6.44	95.0	0.00	6.12	0.00	6.12
07/11/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	4.13	95.0	0.00	3.92	0.00	3.92
07/11/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	3.79	95.0	0.00	3.60	0.00	3.60
07/11/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	6.43	95.0	0.00	6.11	0.00	6.11
07/11/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	18.87	95.0	0.00	17.93	0.00	17.93

COMMISSION STATEMENT for EDWARD MCCLLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	11.48	95.0	0.00	10.91	0.00	10.91
07/11/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	20.80	95.0	0.00	19.76	0.00	19.76
07/11/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	8.32	95.0	0.00	7.90	0.00	7.90
07/11/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	7.69	95.0	0.00	7.31	0.00	7.31
07/11/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	1.46	95.0	0.00	1.39	0.00	1.39
07/11/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	11.79	95.0	0.00	11.20	0.00	11.20
07/11/2018 #	FULKS, MARK	DAIN TRAILER	0.00	2.93	95.0	0.00	2.78	0.00	2.78
07/11/2018 #	FULKS, MARK	DAIN TRAILER	0.00	3.59	95.0	0.00	3.41	0.00	3.41
07/11/2018 #	FULKS, MARK	DAIN TRAILER	0.00	3.82	95.0	0.00	3.63	0.00	3.63
07/11/2018 #	FULKS, CHAD	DAIN TRAILER	0.00	0.76	95.0	0.00	0.72	0.00	0.72
07/11/2018 #	FULKS, CHAD	DAIN TRAILER	0.00	4.33	95.0	0.00	4.11	0.00	4.11
07/11/2018 #	FULKS, CHAD	DAIN TRAILER	0.00	1.30	95.0	0.00	1.24	0.00	1.24
07/11/2018 #	FULKS, CHAD	DAIN TRAILER	0.00	5.73	95.0	0.00	5.44	0.00	5.44
07/11/2018 #	FULKS, CHAD	DAIN TRAILER	0.00	6.09	95.0	0.00	5.79	0.00	5.79
07/11/2018 #	FULKS, BLAKE	DAIN TRAILER	0.00	0.71	95.0	0.00	0.67	0.00	0.67
07/11/2018 #	FULKS, BLAKE	DAIN TRAILER	0.00	0.94	95.0	0.00	0.89	0.00	0.89
07/11/2018 #	FULKS, BLAKE	DAIN TRAILER	0.00	0.86	95.0	0.00	0.82	0.00	0.82
07/11/2018 #	BUSHEY, MICHELLE	DAIN TRAILER	0.00	1.97	95.0	0.00	1.87	0.00	1.87
07/11/2018 #	BUSHEY, MICHELLE	DAIN TRAILER	0.00	2.71	95.0	0.00	2.57	0.00	2.57
07/11/2018 #	BUSHEY, MICHELLE	DAIN TRAILER	0.00	2.96	95.0	0.00	2.81	0.00	2.81
07/11/2018 #	BUSHEY, STEFAN	DAIN TRAILER	0.00	1.01	95.0	0.00	0.96	0.00	0.96
07/11/2018 #	BUSHEY, STEFAN	DAIN TRAILER	0.00	0.87	95.0	0.00	0.83	0.00	0.83
07/11/2018 #	BUSHEY, STEFAN	DAIN TRAILER	0.00	1.18	95.0	0.00	1.12	0.00	1.12
07/11/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	20.61	95.0	0.00	19.58	0.00	19.58
07/11/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	16.48	95.0	0.00	15.66	0.00	15.66
07/11/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	30.11	95.0	0.00	28.60	0.00	28.60
07/11/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	16.43	95.0	0.00	15.61	0.00	15.61
07/11/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	36.52	95.0	0.00	34.69	0.00	34.69
07/11/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	46.39	95.0	0.00	44.07	0.00	44.07
07/11/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	52.57	95.0	0.00	49.94	0.00	49.94
07/11/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	44.29	95.0	0.00	42.08	0.00	42.08
07/11/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	6.17	95.0	0.00	5.86	0.00	5.86
07/11/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	18.73	95.0	0.00	17.79	0.00	17.79
07/11/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	13.17	95.0	0.00	12.51	0.00	12.51
07/11/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	16.28	95.0	0.00	15.47	0.00	15.47
07/11/2018 #	STOUT, OLIVE	DAIN TRAILER	0.00	2.93	95.0	0.00	2.78	0.00	2.78

COMMISSION STATEMENT for EDWARD MCCLLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #	STOUT, OLIVE	DAIN TRAILER	0.00	59.57	95.0	0.00	56.59	0.00	56.59
07/11/2018 #	STOUT, OLIVE	DAIN TRAILER	0.00	61.44	95.0	0.00	58.37	0.00	58.37
07/16/2018 #	BUSHEY, STEFAN	DAIN TRAILER	167.00	8.35	95.0	0.00	7.93	0.00	7.93
07/16/2018 #	MCCUE, LARRY	DAIN TRAILER	1,070.07	10.70	95.0	0.00	10.17	0.00	10.17
07/16/2018 #	BUSHEY, STEFAN	DAIN TRAILER	167.00	8.35	95.0	0.00	7.93	0.00	7.93
07/16/2018 #	BUSHEY, STEFAN	DAIN TRAILER	166.00	7.89	95.0	0.00	7.50	0.00	7.50
07/17/2018 #	FULKS, MARK	DAIN TRAILER	1,318.18	13.18	95.0	0.00	12.52	0.00	12.52
07/17/2018 #	RARDON, SHARON	DAIN TRAILER	1,318.13	13.18	95.0	0.00	12.52	0.00	12.52
07/19/2018 #	POINTER, JILLIAN	DAIN TRAILER	6,200.00	0.00	95.0	0.00	0.00	0.00	0.00
07/24/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	53.11	95.0	0.00	50.45	0.00	50.45
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$16,421.38	\$6,125.25		\$0.00	\$5,819.01	\$0.00	\$5,819.01
*** BROKER TRANSACTIONS TOTAL ***			\$465,138.27	\$19,932.99		\$0.00	\$18,936.47	\$199.50	\$18,736.97

VERRIDE TRANSACTIONS

HERRON, CYNTHIA	3,911.33	58.88
*** OVERRIDE TRANSACTIONS TOTAL ***	\$3,911.33	\$58.88

ADJUSTMENTS

08/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-24.85
	DEBIT BALANCE	
08/20/2018	ADMIN FEE: ADMIN FEE	-390.00
08/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
08/20/2018	MISCELLANEOUS: MISCELLANEOUS -	569.00
	INSPECTION CREDIT	
*** ADJUSTMENTS TOTAL ***		\$-24.85

BROKER COMMISSION TOTALS

Broker Transactions	\$18,736.97
Split Transactions	\$0.00
Override Transactions	\$58.88
Adjustments	\$-24.85
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$18,771.00
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