

**COMMISSION STATEMENT****March 31, 2018**

**JIM LUND**  
 2060 Huntington Drive  
 Suite 1  
 San Marino, CA 91108

**BROKER #: L119 Terminated 10/30/2015**  
**BRANCH: TWEED FINANCIAL**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
03/05/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	0.00
03/05/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	6.00	0.00	6.00	0.0	0.00
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	10.00	0.00	10.00	0.0	0.00
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$200.00</b>	<b>\$26.00</b>	<b>\$0.00</b>	<b>\$26.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/15/2018	WURMLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	0.00
03/05/2018	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	0.00
03/08/2018	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	0.00
03/09/2018	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	247.50	11.76	0.00	11.76	0.0	0.00
03/09/2018	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	82.50	3.92	0.00	3.92	0.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,030.00</b>	<b>\$33.18</b>	<b>\$0.00</b>	<b>\$33.18</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	GUTHRIE, JACOB	VAN ECK GLOBAL HARD ASSETS A	0.00	16.13	0.00	16.13	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$16.13</b>	<b>\$0.00</b>	<b>\$16.13</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$1,230.00</b>	<b>\$75.31</b>	<b>\$0.00</b>	<b>\$75.31</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$1,494.54</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>