



# COMMISSION STATEMENT

## May 21, 2018

JOHN KELLY  
2400 E. Arizona Biltmore Cir.  
Suite 1100  
Phoenix, AZ 85016

BROKER #: 279  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/11/2018	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	191.66	3.83	0.00	3.83	90.0	3.45
	ACCOUNT #: 00087877714	BUY 6 Units @34.6400						
05/11/2018	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	125.00	2.50	0.00	2.50	90.0	2.25
	ACCOUNT #: 00087877714	BUY 4 Units @34.6400						
05/10/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @29.7500						
05/10/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	39.84	0.40	0.00	0.40	90.0	0.36
	ACCOUNT #: 00089949168	BUY 3 Units @14.9600						
05/10/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @14.9600						
05/10/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @14.4100						
05/10/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.0300						
05/08/2018	***-**-835P - BARNETT, ROBERT	COLLEGE 2027 FUND-529A	100.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: 00089237420	BUY 8 Units @12.8300						
05/10/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @56.2300						
05/11/2018	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	271.49	5.43	0.00	5.43	90.0	4.89
	ACCOUNT #: 00087877714	BUY 5 Units @59.3500						
05/11/2018	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	177.08	3.54	0.00	3.54	90.0	3.19
	ACCOUNT #: 00087877714	BUY 3 Units @59.3500						
05/10/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.5000						
05/11/2018	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	63.89	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00087877714	BUY 1 Units @69.9900						
05/11/2018	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	41.67	0.83	0.00	0.83	90.0	0.75
	ACCOUNT #: 00087877714	BUY 1 Units @69.9900						
05/10/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @65.7900						
05/11/2018	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	79.86	1.60	0.00	1.60	90.0	1.44
	ACCOUNT #: 00087877714	BUY 1 Units @59.7300						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/11/2018	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	52.08	1.04	0.00	1.04	90.0	0.94
	ACCOUNT #: 00087877714	BUY 1 Units @59.7300						
05/10/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @50.8900						
05/11/2018	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	271.51	5.43	0.00	5.43	90.0	4.89
	ACCOUNT #: 00087877714	BUY 21 Units @12.8200						
05/11/2018	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	177.08	3.54	0.00	3.54	90.0	3.19
	ACCOUNT #: 00087877714	BUY 14 Units @12.8200						
05/10/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.4900						
05/11/2018	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	319.43	6.39	0.00	6.39	90.0	5.75
	ACCOUNT #: 00087877714	BUY 6 Units @54.6300						
05/11/2018	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	208.35	4.17	0.00	4.17	90.0	3.75
	ACCOUNT #: 00087877714	BUY 4 Units @54.6300						
05/10/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @49.2600						
05/11/2018	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	319.43	6.39	0.00	6.39	90.0	5.75
	ACCOUNT #: 00087877714	BUY 8 Units @42.0800						
05/11/2018	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	208.33	4.17	0.00	4.17	90.0	3.75
	ACCOUNT #: 00087877714	BUY 5 Units @42.0800						
05/10/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @43.8600						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$2,706.70</b>	<b>\$53.14</b>	<b>\$0.00</b>	<b>\$53.14</b>		<b>\$47.86</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
05/14/2018	***-**-8258 - IFG, KELLY,J	PACIFIC LIFE CHOICE DTCC	495.00	9.90	0.00	9.90	90.0	8.91
	ACCOUNT #: VP60358060	BUY 0 Units @0.0000						
05/15/2018	***-**-7979 - THOMPSON,	PACIFIC LIFE DTCC RENEWAL	500.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: VP60440630	BUY 0 Units @0.0000						
05/17/2018	***-**-655I - SWARTZ, MICHAEL	PACIFIC LIFE DTCC RENEWAL	100.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: VP60738000	BUY 0 Units @0.0000						
05/17/2018	***-**-0795 - SWARTZ, SHARI	PACIFIC LIFE DTCC RENEWAL	100.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: VP60737990	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)</b>								
05/17/2018	***-**-921I - OSBORNE, DARIN	PACIFIC LIFE DTCC RENEWAL	1,546.50	30.93	0.00	30.93	90.0	27.84
	ACCOUNT #: VP60570240	BUY 0 Units @0.0000						
05/17/2018	***-**-0304 - LOUGHRIDGE,	PACIFIC LIFE DTCC RENEWAL	1,666.00	24.99	0.00	24.99	90.0	22.49
	ACCOUNT #: VP60638610	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$4,407.50</b>	<b>\$77.82</b>	<b>\$0.00</b>	<b>\$77.82</b>		<b>\$70.04</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
05/21/2018	***-**-65IO - MAHAMA, NURUDEEN	AMCAP FUND-A	0.00	0.29	0.00	0.29	90.0	0.26
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
05/21/2018	***-**-0726 - DAHL, KEVIN	AMCAP FUND-A	0.00	1.31	0.00	1.31	90.0	1.18
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
05/21/2018	***-**-0726 - DAHL, KEVIN	BLACKROCK GLBL SCI & TECH OPPORT CL	0.00	1.15	0.00	1.15	90.0	1.04
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
05/21/2018	***-**-85TR - KELLY TRUST,	COLUMBIA SELIGMAN COM AND INFO CL A	0.00	9.47	0.00	9.47	90.0	8.52
	ACCOUNT #: LSR005290	BUY 1 Units @0.0000						
05/21/2018	***-**-970I - KLEVER, JAMES	DELAWARE INV MF TRAILS	0.00	3.35	0.00	3.35	90.0	3.02
	ACCOUNT #: 5014176478	BUY 0 Units @0.0000						
05/21/2018	***-**-970I - KLEVER, JAMES	DELAWARE INV MF TRAILS	0.00	4.45	0.00	4.45	90.0	4.01
	ACCOUNT #: 5014176478	BUY 0 Units @0.0000						
05/21/2018	***-**-0726 - DAHL, KEVIN	DREYFUS RESEARCH GROWTH FD CL A	0.00	1.81	0.00	1.81	90.0	1.63
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
05/21/2018	***-**-7034 - DAHL, ROXANNE	DREYFUS RESEARCH GROWTH FD CL A	0.00	3.65	0.00	3.65	90.0	3.29
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
05/21/2018	***-**-65IO - MAHAMA, NURUDEEN	EUROPACIFIC GROWTH FUND-A	0.00	0.81	0.00	0.81	90.0	0.73
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
05/21/2018	***-**-0726 - DAHL, KEVIN	EUROPACIFIC GROWTH FUND-A	0.00	1.05	0.00	1.05	90.0	0.95
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
05/21/2018	***-**-0726 - DAHL, KEVIN	GLOBAL FUND A	0.00	1.26	0.00	1.26	90.0	1.13
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
05/21/2018	***-**-7034 - DAHL, ROXANNE	GLOBAL FUND A	0.00	2.48	0.00	2.48	90.0	2.23
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
05/21/2018	***-**-83IN - BARNETT, ROBERT	INTERNATIONAL GROWTH FUND A	0.00	3.38	0.00	3.38	90.0	3.04
	ACCOUNT #: LSR010053	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/21/2018	***-**-0726 - DAHL, KEVIN	NEW WORLD FUND-A	0.00	0.23	0.00	0.23	90.0	0.21
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
05/21/2018	***-**-83IN - BARNETT, ROBERT	NEW WORLD FUND-F1	0.00	0.77	0.00	0.77	90.0	0.69
	ACCOUNT #: LSR010053	BUY 1 Units @0.0000						
05/21/2018	***-**-0726 - DAHL, KEVIN	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	1.23	0.00	1.23	90.0	1.11
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
05/21/2018	***-**-7034 - DAHL, ROXANNE	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	1.51	0.00	1.51	90.0	1.36
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
05/21/2018	***-**-98JK - VESTAR	QUALIFIED PLAN SUMMARY	0.00	175.45	0.00	175.45	90.0	157.90
	ACCOUNT #: 207247219	BUY 0 Units @0.0000						
05/21/2018	***-**-65IO - MAHAMA, NURUDEEN	SMALLCAP WORLD FUND-A	0.00	0.29	0.00	0.29	90.0	0.26
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
05/21/2018	***-**-0726 - DAHL, KEVIN	SMALLCAP WORLD FUND-A	0.00	0.42	0.00	0.42	90.0	0.38
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
05/21/2018	***-**-65IO - MAHAMA, NURUDEEN	THE BOND FUND OF AMERICA-A	0.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
05/21/2018	***-**-0726 - DAHL, KEVIN	THE BOND FUND OF AMERICA-A	0.00	0.95	0.00	0.95	90.0	0.86
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
05/21/2018	***-**-65IO - MAHAMA, NURUDEEN	THE GROWTH FUND OF AMERICA-A	0.00	0.90	0.00	0.90	90.0	0.81
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
05/21/2018	***-**-0726 - DAHL, KEVIN	THE GROWTH FUND OF AMERICA-A	0.00	1.94	0.00	1.94	90.0	1.75
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
05/21/2018	***-**-65IO - MAHAMA, NURUDEEN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.57	0.00	0.57	90.0	0.51
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
05/21/2018	***-**-0726 - DAHL, KEVIN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.82	0.00	1.82	90.0	1.64
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$221.20</b>	<b>\$0.00</b>	<b>\$221.20</b>		<b>\$199.10</b>



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
05/17/2018	***--125P - CANNADY, JAKE	PACIFIC LIFE EXEC II DTCC	0.00	124.23	0.00	124.23	90.0	111.81
	ACCOUNT #: VP61059840	BUY 0 Units @0.0000						
	<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$124.23</b>	<b>\$0.00</b>	<b>\$124.23</b>		<b>\$111.81</b>
	<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$7,114.20</b>	<b>\$476.39</b>	<b>\$0.00</b>	<b>\$476.39</b>		<b>\$428.81</b>

### **BROKER COMMISSION TOTALS**

Broker Transactions	\$308.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$428.81</b>
<b>Year-to-date Earnings</b>	<b>\$149,740.60</b>