



Concorde Investment Services, LLC

12/15/2017

Page No. 1

COMMISSION STATEMENT

December 15, 2017

K146-JASON KEMBLE
4106 E. Doe Creek Drive

BROKER #: K146
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65202-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/24/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
11/24/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$10.00	\$0.00	\$10.00		\$9.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/01/2017	HANSEL, NAOMI	AM FUNDS AMERICAN BALANCED A	0.00	5.13	0.00	5.13	90.0	4.62
12/01/2017	JACOBS, JANET	AM FUNDS AMERICAN BALANCED A	0.00	1.35	0.00	1.35	90.0	1.22
12/01/2017	SEBA, FRED	AM FUNDS AMERICAN BALANCED A	0.00	1.39	0.00	1.39	90.0	1.25
12/01/2017	JACOBS, JANET	AM FUNDS GLOBAL BALANCED A	0.00	1.37	0.00	1.37	90.0	1.23
12/01/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.24	0.00	0.24	90.0	0.22
12/01/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	0.00	0.24	0.00	0.24	90.0	0.22
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$9.72	\$0.00	\$9.72		\$8.76
*** DIRECT TRANSACTIONS TOTAL ***			\$200.00	\$19.72	\$0.00	\$19.72		\$17.76

SPLIT TRANSACTIONS

<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
11/20/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	69.55	0.32	0.00	0.32	90.0	0.29
11/20/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	69.55	0.32	0.00	0.32	90.0	0.29
12/01/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	0.00	0.76	0.00	0.76	90.0	0.68
11/20/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	64.61	0.29	0.00	0.29	90.0	0.26
11/20/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	64.61	0.29	0.00	0.29	90.0	0.26
11/20/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	24.39	0.11	0.00	0.11	90.0	0.10
11/20/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	24.39	0.11	0.00	0.11	90.0	0.10
11/20/2017	THOMPSON, MARCIA	AM FUNDS AMERICAN BALANCED A	738.47	5.32	0.00	5.32	90.0	4.79
12/01/2017	THOMAS, FRANK	AM FUNDS AMERICAN BALANCED A	0.00	2.11	0.00	2.11	90.0	1.90
12/01/2017	THOMAS, DIXIE	AM FUNDS AMERICAN BALANCED A	0.00	2.11	0.00	2.11	90.0	1.90

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
12/01/2017	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	0.00	0.16	0.00	0.16	90.0	0.14
12/01/2017	THOMPSON, MARCIA	AM FUNDS AMERICAN BALANCED A	0.00	0.02	0.00	0.02	90.0	0.02
12/01/2017	FIEGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	2.91	0.00	2.91	90.0	2.62
12/01/2017	FIEGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	2.11	0.00	2.11	90.0	1.90
12/01/2017	BAILEY, JUDITH	AM FUNDS AMERICAN BALANCED A	0.00	1.05	0.00	1.05	90.0	0.95
12/01/2017	CLARK-LAIRD, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	8.22	0.00	8.22	90.0	7.40
12/01/2017	CARTER, STANLEY	AM FUNDS AMERICAN BALANCED A	0.00	13.75	0.00	13.75	90.0	12.38
12/01/2017	MO/TOD	AM FUNDS AMERICAN BALANCED A	0.00	8.31	0.00	8.31	90.0	7.48
12/01/2017	MO/TOD	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.14
12/01/2017	BAILEY, JUDITH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.54	0.00	1.54	90.0	1.39
11/20/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.08	0.00	0.08	90.0	0.07
11/20/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.10	0.00	0.10	90.0	0.09
11/20/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	8.40	0.04	0.00	0.04	90.0	0.04
11/20/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
11/20/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	51.97	0.23	0.00	0.23	90.0	0.21
11/20/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	51.97	0.23	0.00	0.23	90.0	0.21
11/20/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.05	0.00	0.05	90.0	0.05
11/20/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.05	0.00	0.05	90.0	0.05
11/20/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	64.50	0.29	0.00	0.29	90.0	0.26
11/20/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	64.50	0.29	0.00	0.29	90.0	0.26
11/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.13	0.00	1.13	90.0	1.02
12/01/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.91	0.00	0.91	90.0	0.82
12/01/2017	JOHNSON, KASEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
12/01/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.09	0.00	0.09	90.0	0.08
12/01/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
12/01/2017	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.78	0.00	0.78	90.0	0.70
12/01/2017	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
12/01/2017	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
12/01/2017	WHITE, PAYTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.13	0.00	0.13	90.0	0.12
12/04/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	80.90	0.36	0.00	0.36	90.0	0.32
12/04/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	48.54	0.22	0.00	0.22	90.0	0.20
12/05/2017	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	250.00	1.80	0.00	1.80	90.0	1.62
11/20/2017	ZELLMER, RIANNON	AM FUNDS ICA A	12.83	0.06	0.00	0.06	90.0	0.05

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
11/20/2017	ZELLMER, RIANNON	AM FUNDS ICA A	12.83	0.06	0.00	0.06	90.0	0.05
11/20/2017	WAHLIG, KACEY	AM FUNDS ICA A	24.40	0.11	0.00	0.11	90.0	0.10
11/20/2017	WAHLIG, KACEY	AM FUNDS ICA A	24.40	0.11	0.00	0.11	90.0	0.10
11/20/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	17.61	0.08	0.00	0.08	90.0	0.07
11/20/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	17.62	0.08	0.00	0.08	90.0	0.07
11/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	1.13	0.00	1.13	90.0	1.02
12/01/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	0.00	0.03	0.00	0.03	90.0	0.03
12/04/2017	HAGUE, VICTORIA	AM FUNDS ICA A	66.61	0.30	0.00	0.30	90.0	0.27
12/04/2017	HAGUE, VICTORIA	AM FUNDS ICA A	400.00	1.80	0.00	1.80	90.0	1.62
12/01/2017	CLARK-LAIRD, BARBARA	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.75	0.00	2.75	90.0	2.48
12/01/2017	THOMPSON, TERRY	AM FUNDS NEW ECONOMY A	0.00	0.79	0.00	0.79	90.0	0.71
12/01/2017	WHITE, PAYTON	AM FUNDS NEW ECONOMY A	0.00	0.13	0.00	0.13	90.0	0.12
12/01/2017	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	0.00	0.01	0.00	0.01	90.0	0.01
12/05/2017	THOMPSON, TERRY	AM FUNDS NEW ECONOMY A	250.00	1.80	0.00	1.80	90.0	1.62
12/05/2017	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	60.00	1.35	0.00	1.35	90.0	1.22
11/20/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.55	0.00	0.55	90.0	0.50
11/20/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	1,000.00	4.50	0.00	4.50	90.0	4.05
12/01/2017	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	0.00	0.03	0.00	0.03	90.0	0.03
12/01/2017	WHITE, PAYTON	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	90.0	0.12
11/20/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.08	0.00	0.08	90.0	0.07
11/20/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.10	0.00	0.10	90.0	0.09
12/01/2017	THOMPSON, TERRY	AM FUNDS NEW WORLD A	0.00	0.77	0.00	0.77	90.0	0.69
12/01/2017	WHITE, PAYTON	AM FUNDS NEW WORLD A	0.00	0.13	0.00	0.13	90.0	0.12
12/05/2017	THOMPSON, TERRY	AM FUNDS NEW WORLD A	250.00	1.80	0.00	1.80	90.0	1.62
11/20/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	17.62	0.08	0.00	0.08	90.0	0.07
11/20/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	17.61	0.08	0.00	0.08	90.0	0.07
11/20/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	64.50	0.29	0.00	0.29	90.0	0.26
11/20/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	64.50	0.29	0.00	0.29	90.0	0.26
12/01/2017	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	0.00	0.05	0.00	0.05	90.0	0.05
12/01/2017	THOMPSON, TERRY	AM FUNDS SMALLCAP WORLD A	0.00	0.77	0.00	0.77	90.0	0.69
12/01/2017	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	0.00	0.04	0.00	0.04	90.0	0.04
12/04/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	16.65	0.08	0.00	0.08	90.0	0.07
12/04/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	100.00	0.45	0.00	0.45	90.0	0.41

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
12/04/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	48.54	0.22	0.00	0.22	90.0	0.20
12/04/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	80.90	0.36	0.00	0.36	90.0	0.32
12/05/2017	THOMPSON, TERRY	AM FUNDS SMALLCAP WORLD A	250.00	1.80	0.00	1.80	90.0	1.62
12/01/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	90.0	0.15
11/28/2017	CARSON, SEDEL	PAC LIFE VUL	0.00	12.38	0.00	12.38	90.0	11.14
11/28/2017	CARSON, MATTHEW	PAC LIFE VUL	0.00	1.71	0.00	1.71	90.0	1.54
11/28/2017	CARSON, MATTHEW	PAC LIFE VUL	0.00	8.01	0.00	8.01	90.0	7.21
11/28/2017	CARSON, SEDEL	PAC LIFE VUL	0.00	12.38	0.00	12.38	90.0	11.14
11/28/2017	CARSON, MATTHEW	PAC LIFE VUL	0.00	1.71	0.00	1.71	90.0	1.54
11/28/2017	CARSON, MATTHEW	PAC LIFE VUL	0.00	8.01	0.00	8.01	90.0	7.21
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$4,808.37	\$124.53	\$0.00	\$124.53		\$112.14
*** SPLIT TRANSACTIONS TOTAL ***			\$4,808.37	\$124.53	\$0.00	\$124.53		\$112.14

ADJUSTMENTS

12/15/2017	*EO INS: CURRENT MONTH INS-KEMBLE	0.00						-300.00
12/15/2017	*TECH: CURRENT MONTH TECH-KEMBLE	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$17.76
Split Transactions	\$112.14
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-245.78
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-690.88
Year-to-date Gross	\$42,911.59
Year-to-date Earnings	\$38,621.35