

STATEMENT

February 20, 2018

DON BREWINGTON

BROKER #: 247

BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DON BREWINGTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/23/2018 #	PHARES, BECKY	FIDELITY ADV BIOTECHNOLOGY-CL A	4,000.00	0.00	90.0	0.00	0.00	20.00	-20.00
* MUTUAL FUNDS SUBTOTAL *			\$4,000.00	\$0.00		\$0.00	\$0.00	\$20.00	\$-20.00
<u>PRODUCT CATEGORY: STOCKS</u>									
12/28/2017 #	FINNELL, FERGUS	CYBERARK SOFTWARE LTD ORDINARY SHAR	8,283.12	183.32	85.0	0.00	155.82	19.95	135.87
12/28/2017 #	PISCHKE, PATRICK	MELINTA THERAPEUTICS INC	2,916.00	0.00	85.0	0.00	0.00	0.00	0.00
12/28/2017 #	PISCHKE, PATRICK	PARATEK PHARMACEUTICALS INC COM	2,920.00	0.00	85.0	0.00	0.00	0.00	0.00
01/04/2018 #	FINNELL, FERGUS	PROSHARES ULTRA NASDAQ	18,600.75	354.41	85.0	0.00	301.25	19.95	281.30
01/05/2018 #	FINNELL, FERGUS	LAM RESEARCH CORP	9,762.63	105.00	85.0	0.00	89.25	19.95	69.30
01/08/2018 #	PISCHKE, PATRICK	NETFLIX COM INC	7,390.95	0.00	85.0	0.00	0.00	0.00	0.00
01/09/2018 #	FINNELL, FERGUS	***MASONITE INTL CORP NEW	7,419.90	105.00	85.0	0.00	89.25	19.95	69.30
01/09/2018 #	FINNELL, FERGUS	ULTA BEAUTY INC COMMON STOCK	6,896.10	105.00	85.0	0.00	89.25	19.95	69.30
01/09/2018 #	FINNELL, FERGUS	VAIL RESORTS INC	9,313.20	105.00	85.0	0.00	89.25	19.95	69.30
01/10/2018 #	FINNELL, FERGUS	SOUTHWESTERN ENERGY CO	9,327.90	321.24	85.0	0.00	273.05	19.95	253.10
01/10/2018 #	FINNELL, FERGUS	UNDER ARMOUR INC CLASS C COMMON STO	6,924.50	192.65	85.0	0.00	163.75	19.95	143.80
01/16/2018 #	FINNELL, FERGUS	BANK OF THE OZARKS INC	10,278.12	210.00	85.0	0.00	178.50	19.95	158.55
01/16/2018 #	FINNELL, FERGUS	ULTA BEAUTY INC COMMON STOCK	3,546.45	86.36	85.0	0.00	73.41	19.95	53.46
01/16/2018 #	FINNELL, FERGUS	UNDER ARMOUR INC CLASS C COMMON STO	6,409.10	185.16	85.0	0.00	157.39	19.95	137.44
01/22/2018 #	HALLIGAN, PAT	JD.COM INC SPONSORED ADR REPSTG COM	1,196.91	0.00	85.0	0.00	0.00	0.00	0.00
01/22/2018 #	PISCHKE, PATRICK	MELINTA THERAPEUTICS INC	75.50	0.00	85.0	0.00	0.00	0.00	0.00
01/22/2018 #	O'LEARLY, JOHN	MELINTA THERAPEUTICS INC	75.50	0.00	85.0	0.00	0.00	0.00	0.00
01/22/2018 #	FINNELL, FERGUS	VAIL RESORTS INC	10,469.70	105.00	85.0	0.00	89.25	19.95	69.30
01/23/2018 #	MCGEE, TAMARA	TETRAPHASE PHARMACEUTICALS INC COM	1,303.12	0.00	85.0	0.00	0.00	0.00	0.00
01/24/2018 #	FINNELL, FERGUS	AMERICAN TOWER CORPORATION NEW REIT	7,076.50	105.00	85.0	0.00	89.25	19.95	69.30
01/24/2018 #	FINNELL, FERGUS	CYBERARK SOFTWARE LTD ORDINARY SHAR	21,893.50	402.69	85.0	0.00	342.29	19.95	322.34
01/24/2018 #	FINNELL, FERGUS	ULTA BEAUTY INC COMMON STOCK	12,289.20	105.00	85.0	0.00	89.25	19.95	69.30
01/25/2018 #	FINNELL, FERGUS	SOUTHWEST AIRLINES CO	10,328.35	201.17	85.0	0.00	170.99	19.95	151.04
01/26/2018 #	FINNELL, FERGUS	SOUTHWESTERN ENERGY CO	8,491.50	309.09	85.0	0.00	262.73	19.95	242.78

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/29/2018 #	FINNELL, FERGUS	GOLDMAN SACHS GROUP INC	9,846.99	105.00	85.0	0.00	89.25	19.95	69.30
* STOCKS SUBTOTAL *			\$193,035.49	\$3,286.09		\$0.00	\$2,793.18	\$359.10	\$2,434.08
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/31/2017 #922213354	HALLIGAN, JOSEPH	LINCOLN	0.00	22.39	90.0	0.00	20.15	0.00	20.15
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$22.39		\$0.00	\$20.15	\$0.00	\$20.15
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	5.11	90.0	0.00	4.60	0.00	4.60
01/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	4.43	90.0	0.00	3.99	0.00	3.99
01/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	4.60	90.0	0.00	4.14	0.00	4.14
01/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	4.85	90.0	0.00	4.37	0.00	4.37
01/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	4.79	90.0	0.00	4.31	0.00	4.31
01/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	2.07	90.0	0.00	1.86	0.00	1.86
01/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	2.07	90.0	0.00	1.86	0.00	1.86
01/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	2.13	90.0	0.00	1.92	0.00	1.92
01/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	4.69	90.0	0.00	4.22	0.00	4.22
01/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	4.17	90.0	0.00	3.75	0.00	3.75
01/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	4.12	90.0	0.00	3.71	0.00	3.71
01/10/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	2.09	90.0	0.00	1.88	0.00	1.88
01/10/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	12.43	90.0	0.00	11.19	0.00	11.19
01/10/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	4.93	90.0	0.00	4.44	0.00	4.44
01/10/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	4.48	90.0	0.00	4.03	0.00	4.03
01/10/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	3.44	90.0	0.00	3.10	0.00	3.10
01/10/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	4.35	90.0	0.00	3.92	0.00	3.92
01/10/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	4.42	90.0	0.00	3.98	0.00	3.98
01/10/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	4.68	90.0	0.00	4.21	0.00	4.21
01/10/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	3.82	90.0	0.00	3.44	0.00	3.44
01/12/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	1.96	90.0	0.00	1.76	0.00	1.76
01/23/2018 #	PHARES, BECKY	DAIN TRAILER	4,000.00	0.00	90.0	0.00	0.00	0.00	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$4,000.00	\$89.63		\$0.00	\$80.68	\$0.00	\$80.68
*** BROKER TRANSACTIONS TOTAL ***			\$201,035.49	\$3,398.11		\$0.00	\$2,894.01	\$379.10	\$2,514.91

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ADJUSTMENTS

02/20/2018		ADMIN FEE: ADMIN FEE							-320.00
02/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,514.91
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,015.91
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