



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 30, 2018

05/02/2018
Page No. 1

JOHN, R119 ROSS
7261 W. Central Ave.

BROKER #: R119
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: REIT</u> | | | | | | | | |
| 03/31/2018 | SANDERSON, STEPHEN | GRIFFIN CAPITAL ESSENTIAL ASSET II | 9,551.44 | 23.55 | 0.00 | 23.55 | 92.0 | 21.67 |
| 03/31/2018 | YOUNG, KEITH | GRIFFIN CAPITAL ESSENTIAL ASSET II | 4,775.72 | 11.78 | 0.00 | 11.78 | 92.0 | 10.84 |
| * REIT SUBTOTAL * | | | \$14,327.16 | \$35.33 | \$0.00 | \$35.33 | | \$32.51 |
| <u>PRODUCT CATEGORY: INTERVAL FUNDS</u> | | | | | | | | |
| 03/31/2018 | LONG, PAUL | GRIFFIN INST'L ACCESS R E FUND | 5,528.54 | 1.17 | 0.00 | 1.17 | 92.0 | 1.08 |
| 03/31/2018 | SANDERSON, STEPHEN | GRIFFIN INST'L ACCESS R E FUND C | 9,914.74 | 8.43 | 0.00 | 8.43 | 92.0 | 7.76 |
| * INTERVAL FUNDS SUBTOTAL * | | | \$15,443.28 | \$9.60 | \$0.00 | \$9.60 | | \$8.84 |
| <u>PRODUCT CATEGORY: 529 PLANS</u> | | | | | | | | |
| 04/10/2018 | WAGONER JR, JOHN | AM FUNDS CAPITAL INCOME BUILDER 529 | 100.00 | 5.00 | 0.00 | 5.00 | 92.0 | 4.60 |
| * 529 PLANS SUBTOTAL * | | | \$100.00 | \$5.00 | \$0.00 | \$5.00 | | \$4.60 |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 04/18/2018 | KIEFER, SUSAN | AM FUNDS 2010 TARGET DATE-A | 2,912.57 | 80.10 | 0.00 | 80.10 | 92.0 | 73.69 |
| 04/18/2018 | MAWHORTER, TIMOTHY | AM FUNDS 2045 TARGET DATE-A | 7,811.27 | 214.81 | 0.00 | 214.81 | 92.0 | 197.63 |
| 04/18/2018 | KIEFER, SUSAN | AM FUNDS 2055 TARGET DATE-A | 970.86 | 26.70 | 0.00 | 26.70 | 92.0 | 24.56 |
| 04/18/2018 | TROUT, TINA | AM FUNDS 2055 TARGET DATE-A | 8,980.74 | 246.97 | 0.00 | 246.97 | 92.0 | 227.21 |
| 04/18/2018 | NICHOLSON, JAMES | AM FUNDS AMERICAN BALANCED A | 2,294.53 | 63.10 | 0.00 | 63.10 | 92.0 | 58.05 |
| 04/18/2018 | NICHOLSON, JAMES | AM FUNDS AMERICAN HIGH-INCOME TRUST | 2,294.53 | 63.10 | 0.00 | 63.10 | 92.0 | 58.05 |
| 04/18/2018 | NICHOLSON, JAMES | AM FUNDS AMERICAN MUTUAL A | 2,294.52 | 63.10 | 0.00 | 63.10 | 92.0 | 58.05 |
| 04/10/2018 | HENDERSON, BARBARA | AM FUNDS EUROPACIFIC GROWTH FUND A | 100.00 | 4.25 | 0.00 | 4.25 | 92.0 | 3.91 |
| 04/18/2018 | HAUSER, RONALD | AM FUNDS INCOME FUND OF AMERICA A | 30.00 | 0.48 | 0.00 | 0.48 | 92.0 | 0.44 |
| 04/18/2018 | TARRIS, CHRISTINE | AM FUNDS INCOME FUND OF AMERICA A | 300.00 | 4.80 | 0.00 | 4.80 | 92.0 | 4.42 |
| 04/18/2018 | NICHOLSON, JAMES | AM FUNDS INCOME FUND OF AMERICA A | 2,294.53 | 63.10 | 0.00 | 63.10 | 92.0 | 58.05 |
| 04/18/2018 | MCFARLAND, BRANDON | AM FUNDS INCOME FUND OF AMERICA A | 150.00 | 2.40 | 0.00 | 2.40 | 92.0 | 2.21 |
| 04/16/2018 | GURNEY, ERICA | AM FUNDS NEW WORLD A | 25.00 | 1.25 | 0.00 | 1.25 | 92.0 | 1.15 |
| 04/18/2018 | PELLIONI, PAUL | AM FUNDS SHORT TERM BND FUND OF AM | 7,648.43 | 152.97 | 0.00 | 152.97 | 92.0 | 140.73 |

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** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 04/10/2018 | HENDERSON, BARBARA | AM FUNDS SMALLCAP WORLD A | 50.00 | 2.13 | 0.00 | 2.13 | 92.0 | 1.96 |
| 04/18/2018 | NICHOLSON, JAMES | AM FUNDS U.S. GOVERNMENT SECURITIES | 2,294.53 | 63.10 | 0.00 | 63.10 | 92.0 | 58.05 |
| 04/11/2018 | DRUCKENMILLER, CHRISTINA | AMERICAN FUND GLOBAL GROWTH PORTFOL | 17,097.36 | 470.18 | 0.00 | 470.18 | 92.0 | 432.57 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$57,548.87 | \$1,522.54 | \$0.00 | \$1,522.54 | | \$1,400.73 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 04/01/2018 | HOLDINSKY, J JOHN | ALLIANZ VA TRAILS | 139,659.75 | 349.15 | 0.00 | 349.15 | 92.0 | 321.22 |
| 04/02/2018 | SCHLIEVERT, LYLE | BRIGHTHOUSE | 57,487.48 | 143.72 | 0.00 | 143.72 | 92.0 | 132.22 |
| 04/02/2018 | CURSON, BEVERLY | BRIGHTHOUSE | 56,671.36 | 141.68 | 0.00 | 141.68 | 92.0 | 130.35 |
| 04/02/2018 | COLEMAN, PARISS | BRIGHTHOUSE | 132,406.04 | 331.02 | 0.00 | 331.02 | 92.0 | 304.54 |
| 04/02/2018 | AUBRY, PATRICIA | BRIGHTHOUSE | 57,788.95 | 144.47 | 0.00 | 144.47 | 92.0 | 132.91 |
| 04/02/2018 | CURSON, FRANK | BRIGHTHOUSE | 122,065.38 | 305.16 | 0.00 | 305.16 | 92.0 | 280.75 |
| 04/02/2018 | HELMINIAK, CAROLYN | BRIGHTHOUSE | 55,258.64 | 138.15 | 0.00 | 138.15 | 92.0 | 127.10 |
| 04/02/2018 | SWINDLE, CYNTHIA | BRIGHTHOUSE | 12,347.92 | 30.87 | 0.00 | 30.87 | 92.0 | 28.40 |
| 04/02/2018 | ROSS, DANIEL | BRIGHTHOUSE | 21,378.18 | 53.45 | 0.00 | 53.45 | 92.0 | 49.17 |
| 04/02/2018 | VALADE, JANET | BRIGHTHOUSE | 57,869.95 | 144.67 | 0.00 | 144.67 | 92.0 | 133.10 |
| 04/02/2018 | MOORE, GARY | BRIGHTHOUSE | 126,557.31 | 316.39 | 0.00 | 316.39 | 92.0 | 291.08 |
| 04/02/2018 | GERMAN, MARIANNE | BRIGHTHOUSE | 10,801.22 | 27.00 | 0.00 | 27.00 | 92.0 | 24.84 |
| 04/02/2018 | AUBRY, PATRICIA | BRIGHTHOUSE | 45,837.94 | 114.59 | 0.00 | 114.59 | 92.0 | 105.42 |
| 04/02/2018 | VALIQUETTE, PAUL | BRIGHTHOUSE | 18,969.43 | 47.42 | 0.00 | 47.42 | 92.0 | 43.63 |
| 04/02/2018 | KRAUS, JUDITH | BRIGHTHOUSE | 54,209.34 | 135.52 | 0.00 | 135.52 | 92.0 | 124.68 |
| 04/02/2018 | HOLDINSKY, PATRICIA | BRIGHTHOUSE | 109,395.59 | 273.49 | 0.00 | 273.49 | 92.0 | 251.61 |
| 04/02/2018 | SMITH, SUZANNE | BRIGHTHOUSE | 221,161.54 | 552.90 | 0.00 | 552.90 | 92.0 | 508.67 |
| 04/02/2018 | KOZLOWSKI, KENNETH | BRIGHTHOUSE | 31,309.42 | 78.27 | 0.00 | 78.27 | 92.0 | 72.01 |
| 04/02/2018 | BOWSER, DANIEL | BRIGHTHOUSE | 49,753.75 | 124.38 | 0.00 | 124.38 | 92.0 | 114.43 |
| 04/02/2018 | IRWIN, JOHN | BRIGHTHOUSE | 125,769.20 | 314.42 | 0.00 | 314.42 | 92.0 | 289.27 |
| 04/02/2018 | GARDNER, WM | BRIGHTHOUSE | 11,885.57 | 29.71 | 0.00 | 29.71 | 92.0 | 27.33 |
| 04/02/2018 | WASSERMAN, RICHARD | BRIGHTHOUSE | 10,451.49 | 26.13 | 0.00 | 26.13 | 92.0 | 24.04 |
| 04/02/2018 | KOZIARSKI, RICHARD | BRIGHTHOUSE | 47,249.50 | 118.12 | 0.00 | 118.12 | 92.0 | 108.67 |
| 04/02/2018 | WAGONER JR, JOHN | BRIGHTHOUSE | 52,247.26 | 130.62 | 0.00 | 130.62 | 92.0 | 120.17 |
| 04/02/2018 | KRALL, GARY | BRIGHTHOUSE | 20,955.18 | 52.39 | 0.00 | 52.39 | 92.0 | 48.20 |
| 04/02/2018 | DRUCKENMILLER, CHRISTINA | BRIGHTHOUSE | 190,296.39 | 475.74 | 0.00 | 475.74 | 92.0 | 437.68 |
| 04/02/2018 | MOORE, LINDA | BRIGHTHOUSE | 12,552.34 | 31.38 | 0.00 | 31.38 | 92.0 | 28.87 |
| 04/02/2018 | SCHNITKEY, KAREN | BRIGHTHOUSE | 62,467.33 | 156.17 | 0.00 | 156.17 | 92.0 | 143.68 |

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|--|---------------------|-------------------------------------|-----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 04/02/2018 | HAMAKER, SCOTT | BRIGHTHOUSE | 55,252.22 | 138.13 | 0.00 | 138.13 | 92.0 | 127.08 |
| 04/02/2018 | WOODRUFF, STEPHANIE | BRIGHTHOUSE | 51,821.40 | 129.55 | 0.00 | 129.55 | 92.0 | 119.19 |
| 04/02/2018 | VALIQUETTE, MYRNA | BRIGHTHOUSE | 23,693.07 | 59.23 | 0.00 | 59.23 | 92.0 | 54.49 |
| 04/02/2018 | VALIQUETTE, MYRNA | BRIGHTHOUSE | 18,755.97 | 46.89 | 0.00 | 46.89 | 92.0 | 43.14 |
| 04/10/2018 | CARVER, DENISE | MML ARTISTRY | 50.00 | 2.75 | 0.00 | 2.75 | 92.0 | 2.53 |
| 04/10/2018 | SHOEMAKER, DENNIS | MML ARTISTRY | 25.00 | 1.38 | 0.00 | 1.38 | 92.0 | 1.27 |
| 04/17/2018 | REEVES, TERRENCE | MML ARTISTRY | 140.00 | 7.70 | 0.00 | 7.70 | 92.0 | 7.08 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$2,064,541.11 | \$5,172.61 | \$0.00 | \$5,172.61 | | \$4,758.82 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 04/09/2018 | WAGONER JR, JOHN | ALLIANCEBERNSTEIN GL THEMATIC GROWT | 0.00 | 3.41 | 0.00 | 3.41 | 92.0 | 3.14 |
| 04/09/2018 | WAGONER JR, JOHN | ALLIANCEBERNSTEIN LARGE CAP GROWTH | 0.00 | 3.14 | 0.00 | 3.14 | 92.0 | 2.89 |
| 04/05/2018 | ROSS, VARIOUS | AMERICAN FUNDS 8504AFRKD | 0.00 | 699.17 | 0.00 | 699.17 | 92.0 | 643.24 |
| 04/05/2018 | ROSS, VARIOUS | AMERICAN FUNDS 8504AFRKD | 0.00 | 1,000.03 | 0.00 | 1,000.03 | 92.0 | 920.03 |
| / / | MCLAUGHLIN, JAIME | FLEX MEEDER BALANCED FUND | 0.00 | 0.81 | 0.00 | 0.81 | 92.0 | 0.75 |
| / / | MCLAUGHLIN, JAIME | FLEX MEEDER UTILITIES AND INFRASTRU | 0.00 | 0.80 | 0.00 | 0.80 | 92.0 | 0.74 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$1,707.36 | \$0.00 | \$1,707.36 | | \$1,570.79 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 04/11/2018 | STEDCKE, EILEEN | ING PREMIUM PLUS | 23,436.31 | 14.65 | 0.00 | 14.65 | 92.0 | 13.48 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | \$23,436.31 | \$14.65 | \$0.00 | \$14.65 | | \$13.48 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$2,175,396.73 | \$8,467.09 | \$0.00 | \$8,467.09 | | \$7,789.77 |

OVERRIDE TRANSACTIONS**BROKER: THOMAS, H121 HALSEY #10**

| | | | | | | | | |
|---|---------------|---------------------|-------------------|----------------|---------------|----------------|------|---------------|
| 04/16/2018 | LEROUX, LAURA | BLACKROCK 67776G469 | 1,000.00 | 35.00 | 0.00 | 35.00 | 85.0 | 2.45 |
| 04/16/2018 | LEROUX, LAURA | BLACKROCK 67776G519 | 300.00 | 11.25 | 0.00 | 11.25 | 85.0 | 0.79 |
| * THOMAS, H121 HALSEY SUBTOTAL * | | | \$1,300.00 | \$46.25 | \$0.00 | \$46.25 | | \$3.24 |

BROKER: THOMAS, H121 HALSEY #82

| | | | | | | | | |
|------------|------------------|----------------------------------|----------|-------|------|-------|------|------|
| 04/16/2018 | BASSETT, ANTHONY | AM FUNDS AMERICAN BALANCED A | 1,300.00 | 55.25 | 0.00 | 55.25 | 85.0 | 3.87 |
| 04/16/2018 | BASSETT, ANTHONY | AM FUNDS FUNDAMENTAL INVESTORS A | 1,300.00 | 55.25 | 0.00 | 55.25 | 85.0 | 3.87 |
| 04/16/2018 | BASSETT, ANTHONY | AM FUNDS NEW PERSPECTIVE A | 1,300.00 | 55.25 | 0.00 | 55.25 | 85.0 | 3.87 |
| 04/16/2018 | BASSETT, ANTHONY | AM FUNDS SMALLCAP WORLD A | 1,300.00 | 55.25 | 0.00 | 55.25 | 85.0 | 3.87 |

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|---|---------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u>BROKER: THOMAS, H121 HALSEY #82</u> | | | | | | | | |
| 04/16/2018 | BASSETT, ANTHONY | AM FUNDS WASHINGTON MUTUAL INVESTOR | 1,300.00 | 55.25 | 0.00 | 55.25 | 85.0 | 3.87 |
| 03/31/2018 | LEROUX, LAURA | BLACKROCK 67776G469 | 0.00 | 8.51 | 0.00 | 8.51 | 85.0 | 0.60 |
| 03/31/2018 | BUEHRER, SARAH | BLACKROCK 67776G519 | 0.00 | 1.58 | 0.00 | 1.58 | 85.0 | 0.11 |
| 03/31/2018 | LEROUX, LAURA | BLACKROCK 67776G519 | 0.00 | 9.02 | 0.00 | 9.02 | 85.0 | 0.63 |
| 03/31/2018 | LEININGER, LISA | BLACKROCK 67776G535 | 0.00 | 0.52 | 0.00 | 0.52 | 85.0 | 0.04 |
| 03/31/2018 | LEININGER, LISA | BLACKROCK 67776G535 | 0.00 | 0.52 | 0.00 | 0.52 | 85.0 | 0.04 |
| 04/16/2018 | LEININGER, LISA | BLACKROCK 67776G535 | 25.00 | 1.25 | 0.00 | 1.25 | 85.0 | 0.09 |
| 04/16/2018 | LEININGER, LISA | BLACKROCK 67776G535 | 25.00 | 1.25 | 0.00 | 1.25 | 85.0 | 0.09 |
| 03/31/2018 | BUEHRER, SARAH | GLOBAL ALLOCATION FUND | 0.00 | 1.54 | 0.00 | 1.54 | 85.0 | 0.11 |
| 04/05/2018 | JOHNSON, CHARLES | MAINSTAY CORNERSTONE GRW FD | 0.00 | 0.66 | 0.00 | 0.66 | 85.0 | 0.05 |
| 04/05/2018 | JOHNSON, LINDA | MAINSTAY CORNERSTONE GRW FD | 0.00 | 0.64 | 0.00 | 0.64 | 85.0 | 0.04 |
| 04/05/2018 | JOHNSON, CHARLES | MAINSTAY CORNERSTONE GRW FD | 0.00 | 3.72 | 0.00 | 3.72 | 85.0 | 0.26 |
| 04/05/2018 | JOHNSON, CHARLES | MAINSTAY US SMALL CAP INV | 0.00 | 0.62 | 0.00 | 0.62 | 85.0 | 0.04 |
| 04/05/2018 | JOHNSON, LINDA | MAINSTAY US SMALL CAP INV | 0.00 | 0.60 | 0.00 | 0.60 | 85.0 | 0.04 |
| 04/05/2018 | JOHNSON, CHARLES | MAINSTAY US SMALL CAP INV | 0.00 | 4.90 | 0.00 | 4.90 | 85.0 | 0.34 |
| 04/17/2018 | FRANTZ, KIMBERLY | MML ARTISTRY | 50.00 | 2.75 | 0.00 | 2.75 | 85.0 | 0.19 |
| 04/04/2018 | PRZYBYLSKI, JOSHUA | PIMCO STOCK PLUS FUND A | 0.00 | 5.15 | 0.00 | 5.15 | 85.0 | 0.36 |
| 04/16/2018 | BUEHRER, SARAH | TRANSAMERICA LIBERTY | 6,500.00 | 260.00 | 0.00 | 260.00 | 85.0 | 18.20 |
| * THOMAS, H121 HALSEY SUBTOTAL * | | | \$13,100.00 | \$579.48 | \$0.00 | \$579.48 | | \$40.58 |
| <u>BROKER: ROBERT, T118 THOMPSON #83</u> | | | | | | | | |
| 04/11/2018 | PENNINGTON, SANDRA | AM FUNDS CAPITAL WORLD GRTH & INC A | 1,000.00 | 27.50 | 0.00 | 27.50 | 90.0 | 0.55 |
| 04/11/2018 | PENNINGTON, STEVE | AM FUNDS FUNDAMENTAL INVESTORS A | 1,300.00 | 35.75 | 0.00 | 35.75 | 90.0 | 0.72 |
| 04/05/2018 | THOMPSON, VARIOUS | AMERICAN FUNDS 8504AFRKD | 0.00 | 590.81 | 0.00 | 590.81 | 90.0 | 11.82 |
| 04/16/2018 | MICHALSKI, PAIGE | JNL PERSPECTIVE | 150.00 | 10.13 | 0.00 | 10.13 | 90.0 | 0.20 |
| 03/31/2018 | SCHMIDBAUER, JOSEPH | PHOENIX IND EDGE | 592.34 | 1.48 | 0.00 | 1.48 | 90.0 | 0.03 |
| * ROBERT, T118 THOMPSON SUBTOTAL * | | | \$3,042.34 | \$665.67 | \$0.00 | \$665.67 | | \$13.32 |
| *** OVERRIDE TRANSACTIONS TOTAL *** | | | \$17,442.34 | \$1,291.40 | \$0.00 | \$1,291.40 | | \$57.14 |

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BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$7,789.77 |
| Split Transactions | \$0.00 |
| Override Transactions | \$57.14 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$7,846.91 |
| Year-to-date Gross | \$54,619.98 |
| Year-to-date Earnings | \$52,752.92 |