



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2017

08/14/2017
Page No. 1

R122-RICHARD/MARK RODRIGUEZ/HUBER
2340 S. Arlington Heights Rd.
Suite 440
Arlington Heights, IL 60005

BROKER #: R122
BRANCH: CIS HOME OFFICE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
07/30/2017	CIPPARRONE, NANCY	BR TOTAL INC & REAL ESTATE FUND A	0.00	4.36	0.00	4.36	0.0	0.00
07/30/2017	NARDINI TRUST, RONALD	BR TOTAL INC & REAL ESTATE FUND A	0.00	5.31	0.00	5.31	0.0	0.00
07/30/2017	NARDINI TRUST, BARBARA	BR TOTAL INC & REAL ESTATE FUND A	0.00	5.31	0.00	5.31	0.0	0.00
07/30/2017	NARDINI, BARBARA	BR TOTAL INC & REAL ESTATE FUND A	0.00	19.06	0.00	19.06	0.0	0.00
07/30/2017	NARDINI, RONALD	BR TOTAL INC & REAL ESTATE FUND A	0.00	20.35	0.00	20.35	0.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$54.39	\$0.00	\$54.39		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/02/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	1,100.00	19.25	0.00	19.25	0.0	0.00
08/02/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	237.70	4.16	0.00	4.16	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,337.70	\$23.41	\$0.00	\$23.41		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/17/2017	WOLF, XANDER	ALGER SPECTRA A	0.00	0.91	0.00	0.91	0.0	0.00
07/17/2017	WOLF, AADRA	ALGER SPECTRA A	0.00	0.91	0.00	0.91	0.0	0.00
07/17/2017	WOLF, XANDER	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	0.99	0.00	0.99	0.0	0.00
07/17/2017	WOLF, AADRA	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.12	0.00	1.12	0.0	0.00
07/18/2017	BATIO, COURTNEY	AM FUNDS AMERICAN BALANCED A	0.00	0.49	0.00	0.49	0.0	0.00
07/18/2017	BATIO, COURTNEY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.40	0.00	0.40	0.0	0.00
07/18/2017	BATIO, COURTNEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.58	0.00	0.58	0.0	0.00
07/18/2017	BATIO, COURTNEY	AM FUNDS ICA A	0.00	0.54	0.00	0.54	0.0	0.00
07/18/2017	BATIO, COURTNEY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.46	0.00	0.46	0.0	0.00
07/18/2017	BATIO, JESSICA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.36	0.00	0.36	0.0	0.00
07/21/2017	WOLF, XANDER	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.49	0.00	0.49	0.0	0.00
07/21/2017	WOLF, AADRA	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.49	0.00	0.49	0.0	0.00
07/28/2017	WOLF, XANDER	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.11	0.00	1.11	0.0	0.00
07/28/2017	WOLF, AADRA	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.25	0.00	1.25	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/28/2017	WOLF, XANDER	BLK HEALTH SCIENCES A	0.00	0.87	0.00	0.87	0.0	0.00
07/28/2017	WOLF, AADRA	BLK HEALTH SCIENCES A	0.00	0.87	0.00	0.87	0.0	0.00
07/17/2017	FERN, SARAH	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.42	0.00	5.42	0.0	0.00
07/17/2017	UKRAINIAN CHURCH, ST ANDREWS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.37	0.00	16.37	0.0	0.00
07/17/2017	UKRAINIAN CHURCH, ST ANDREWS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	23.60	0.00	23.60	0.0	0.00
07/17/2017	TRIPP, MAXX	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.09	0.00	6.09	0.0	0.00
07/17/2017	TRIPP, MAXX	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.37	0.00	10.37	0.0	0.00
07/17/2017	TRIPP, ABBY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.60	0.00	11.60	0.0	0.00
07/17/2017	WOLF, XANDER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.05	0.00	4.05	0.0	0.00
07/17/2017	WOLF, AADRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.05	0.00	4.05	0.0	0.00
07/19/2017	UDCHIK, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.02	0.00	8.02	0.0	0.00
07/14/2017	WOLF, XANDER	IVY SCIENCE AND TECHNOLOGY FUND A	0.00	1.66	0.00	1.66	0.0	0.00
07/14/2017	WOLF, AADRA	IVY SCIENCE AND TECHNOLOGY FUND A	0.00	1.66	0.00	1.66	0.0	0.00
07/18/2017	VAUGHN, MEREDITH	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.87	0.00	1.87	0.0	0.00
07/18/2017	VAUGHN, MEREDITH	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	5.59	0.00	5.59	0.0	0.00
07/18/2017	WOLF, XANDER	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.52	0.00	0.52	0.0	0.00
07/18/2017	WOLF, AADRA	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.52	0.00	0.52	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$113.23	\$0.00	\$113.23		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$1,337.70	\$191.03	\$0.00	\$191.03		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$1,471.82
Year-to-date Earnings	\$0.00