

COMMISSION STATEMENT

January 31, 2018

KINGSBURY INC.

BROKER #: 86

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/02/2018	75597024	PRESKILL, RICHARD	FRK UTIL FND - CL A	S	10,000.00	0.00	0.00	0.00	100.0	0.00
01/11/2018	75597035	PERKINSON, DOLORES	FRANKLIN U.S. GOVERNMENT SECURITIES	S	1,500.00	0.00	0.00	0.00	100.0	0.00
01/24/2018	75597067	REZNICEK, DELWYN	MAIN STREET FUND C	S	10,000.00	0.00	0.00	0.00	100.0	0.00
01/19/2018	75597091	BOL, BEVERLY	CAPITAL APPRECIATION A	S	344.00	0.00	0.00	0.00	100.0	0.00
01/08/2018	75597104	WILKOS, JEANETTE	THE INVESTMENT COMPANY OF AMERICA-A	S	3,500.00	0.00	0.00	0.00	100.0	0.00
01/26/2018	75597119	GREENE, M HOWARD	FRANKLIN U.S. GOVERNMENT SECURITIES	S	2,512.00	0.00	0.00	0.00	100.0	0.00
01/23/2018	75597122	AMBERG, JOHN	AMCAP FUND-C	B	2,000.00	20.00	0.00	20.00	100.0	20.00
01/23/2018	75597122	AMBERG, JOHN	AMERICAN BALANCED FUND-C	B	2,000.00	20.00	0.00	20.00	100.0	20.00
01/23/2018	75597122	AMBERG, JOHN	AMERICAN FDS PORTFOLIO SER GLOBAL G	B	500.00	5.00	0.00	5.00	100.0	5.00
01/23/2018	75597122	AMBERG, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	B	1,000.00	10.00	0.00	10.00	100.0	10.00
01/23/2018	75597122	AMBERG, JOHN	EUROPACIFIC GROWTH FUND CLASS C	B	1,000.00	10.00	0.00	10.00	100.0	10.00
01/23/2018	75597122	AMBERG, JOHN	FUNDAMENTAL INVESTORS-C	B	1,000.00	10.00	0.00	10.00	100.0	10.00
01/23/2018	75597122	AMBERG, JOHN	NEW PERSPECTIVE FUND-C	B	2,000.00	20.00	0.00	20.00	100.0	20.00
01/23/2018	75597122	AMBERG, JOHN	THE NEW ECONOMY FUND-C	B	500.00	5.00	0.00	5.00	100.0	5.00
01/18/2018	75597145	WEBSTER, JAMES	BLACKROCK HEALTH SCIENCES FUND CLAS	S	23,000.00	0.00	0.00	0.00	100.0	0.00
01/22/2018	75597173	NELSON, CAROLE	MAINSTAY LARGE CAP GROWTH FUND CLAS	S	800.00	0.00	0.00	0.00	100.0	0.00
01/19/2018	75597185	BOL, BEVERLY	PIONEER FUND A	S	231.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$61,887.00	\$100.00	\$0.00	\$100.00		\$100.00

PRODUCT CATEGORY: STOCKS

12/29/2017	75597001	VELLON, JOSEPH		INVIVO THERAPEUTICS HOLDINGS CORP C S		785.30	50.00	12.00	38.00 100.0	38.00
01/09/2018	75597004	MOUGHAN, PATRICK		ISHARES NASDAQ BIOTECHNOLOGY INDEX	B	83,479.92	50.00	12.00	38.00 100.0	38.00
01/09/2018	75597005	MOUGHAN, PATRICK		PAYPAL HOLDINGS INC COM	B	63,384.00	50.00	12.00	38.00 100.0	38.00
12/28/2017	75597137	VEKOE PARTNERS LLC		MATINAS BIOPHARMA HLDGS INC COM	S	6,295.50	35.00	12.00	23.00 100.0	23.00
12/28/2017	75597137	VEKOE PARTNERS LLC		MATINAS BIOPHARMA HLDGS INC COM	S	5,407.25	35.00	12.00	23.00 100.0	23.00
01/05/2018	75597150	BLITZ, EDWIN		MATINAS BIOPHARMA HLDGS INC COM	S	6,117.60	20.00	12.00	8.00 100.0	8.00
01/05/2018	75597150	BLITZ, EDWIN		MATINAS BIOPHARMA HLDGS INC COM	S	6,157.01	20.01	12.00	8.01 100.0	8.01
12/29/2017	75597169	KNIGHT, JOHN		INVIVO THERAPEUTICS HOLDINGS CORP C S		4,057.50	50.00	12.00	38.00 100.0	38.00
12/29/2017	75597169	KNIGHT, JOHN		INVIVO THERAPEUTICS HOLDINGS CORP C S		4,057.50	50.00	12.00	38.00 100.0	38.00
01/10/2018	75597190	TEMPLE JUDEA MIZPAH		GRUPO FINANCIERO GALICIA SA SPONSOR S		3,428.51	50.00	12.00	38.00 100.0	38.00
01/08/2018	75597193	RIZZO, MICHAEL		CORBUS PHARMACEUTICALS HOLDINGS INC S		32,618.00	250.00	12.00	238.00 100.0	238.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
01/08/2018	75597193	RIZZO, MICHAEL	GENERAL ELECTRIC CO	S	3,644.90	40.00	12.00	28.00	100.0	28.00
01/08/2018	75597193	RIZZO, MICHAEL	UNITED STATES OIL FUND LP UNITS ETF	S	3,912.48	85.00	12.00	73.00	100.0	73.00
* STOCKS SUBTOTAL *					\$223,345.47	\$785.01	\$156.00	\$629.01		\$629.01
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/10/2018	75597002	WEINER, MARK	IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	3.85	0.00	3.85	100.0	3.85
01/10/2018	75597002	WEINER, MARK	VIRTUS SMALL CAP SUSTAINABLE GROWTH	B	0.00	3.12	0.00	3.12	100.0	3.12
01/10/2018	75597002	WEINER, MARK	WELLS FARGO FDS SPL SMALL CAP VALUE	B	0.00	2.75	0.00	2.75	100.0	2.75
01/10/2018	75597002	WEINER, MARK	WELLS FARGO SPECIAL MID CAP VALUE F	B	0.00	2.58	0.00	2.58	100.0	2.58
01/10/2018	75597003	WEINER, MARK	**VOYA EQUITY TR	B	0.00	4.42	0.00	4.42	100.0	4.42
01/10/2018	75597003	WEINER, MARK	CALAMOS EVOLVING WORLD GROWTH FUND	B	0.00	13.30	0.00	13.30	100.0	13.30
01/10/2018	75597003	WEINER, MARK	IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	7.36	0.00	7.36	100.0	7.36
01/10/2018	75597003	WEINER, MARK	JANUS INVT FD HENDERSON INTL OPRTN	B	0.00	6.95	0.00	6.95	100.0	6.95
01/10/2018	75597003	WEINER, MARK	NEW WORLD FUND-A	B	0.00	4.66	0.00	4.66	100.0	4.66
01/10/2018	75597003	WEINER, MARK	WELLS FARGO FDS SPL SMALL CAP VALUE	B	0.00	6.33	0.00	6.33	100.0	6.33
01/10/2018	75597003	WEINER, MARK	WELLS FARGO SPECIAL MID CAP VALUE F	B	0.00	10.51	0.00	10.51	100.0	10.51
01/26/2018	75597003	WEINER, MARK	VICTORY SYCAMORE	B	0.00	10.83	0.00	10.83	100.0	10.83
01/26/2018	75597003	WEINER, MARK	VICTORY SYCAMORE SMALL	B	0.00	6.54	0.00	6.54	100.0	6.54
01/10/2018	75597005	MOUGHAN, PATRICK	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	18.60	0.00	18.60	100.0	18.60
01/10/2018	75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS BANKING FD CL A	B	0.00	23.48	0.00	23.48	100.0	23.48
01/10/2018	75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS FINL SVCS FD CL A	B	0.00	22.96	0.00	22.96	100.0	22.96
01/10/2018	75597007	BRENT, NANCY	CAPITAL INCOME A	B	0.00	20.82	0.00	20.82	100.0	20.82
01/10/2018	75597008	VELLON, MICHAEL	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	11.60	0.00	11.60	100.0	11.60
01/10/2018	75597008	VELLON, MICHAEL	RYDEX SER FUNDS BANKING FD CL A	B	0.00	14.36	0.00	14.36	100.0	14.36
01/10/2018	75597008	VELLON, MICHAEL	RYDEX SER FUNDS FINL SVCS FD CL A	B	0.00	14.16	0.00	14.16	100.0	14.16
01/10/2018	75597009	ARNOLD, GENE	TEMP GLOBAL SM CO FND - CL A	B	0.00	12.78	0.00	12.78	100.0	12.78
01/10/2018	75597009	ARNOLD, GENE	TEMP WORLD FND - CL A	B	0.00	11.01	0.00	11.01	100.0	11.01
01/10/2018	75597013	DANTONIO, JUSTIN	FIDELITY ADVISOR EMERGING MARKETS F	B	0.00	0.67	0.00	0.67	100.0	0.67
01/10/2018	75597013	DANTONIO, JUSTIN	FIDELITY COMWLTH TR FIDELITY ADV EV	B	0.00	0.86	0.00	0.86	100.0	0.86
01/10/2018	75597014	PAMELA J EDWARDS TTEE	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	10.36	0.00	10.36	100.0	10.36
01/10/2018	75597017	ANDRE, BRUCE	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	10.76	0.00	10.76	100.0	10.76
01/10/2018	75597024	PRESKILL, RICHARD	EATON VANCE HIGH YIELD MUNICIPAL IN	B	0.00	10.86	0.00	10.86	100.0	10.86
01/10/2018	75597024	PRESKILL, RICHARD	FRANKLIN FEDERAL TAX FREE INCOME FU	B	0.00	7.87	0.00	7.87	100.0	7.87
01/10/2018	75597024	PRESKILL, RICHARD	FRANKLIN HIGH-YIELD TAX-FREE INCOME	B	0.00	3.03	0.00	3.03	100.0	3.03
01/10/2018	75597024	PRESKILL, RICHARD	FRK AGE HIGH INC FND - CL A	B	0.00	5.01	0.00	5.01	100.0	5.01
01/10/2018	75597024	PRESKILL, RICHARD	FRK FL TF INC FND - CL A	B	0.00	13.15	0.00	13.15	100.0	13.15
01/10/2018	75597024	PRESKILL, RICHARD	FRK UTIL FND - CL A	B	0.00	36.28	0.00	36.28	100.0	36.28
01/10/2018	75597028	DUBINSKY, ALEKSANDER	AIM ENERGY FUND - CLASS C	B	0.00	32.12	0.00	32.12	100.0	32.12
01/10/2018	75597028	DUBINSKY, ALEKSANDER	AIM GOLD & PRECIOUS METALS FD - C	B	0.00	15.58	0.00	15.58	100.0	15.58
01/10/2018	75597028	DUBINSKY, ALEKSANDER	AIM GOLD & PRECIOUS METALS FD - A	B	0.00	1.68	0.00	1.68	100.0	1.68

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018	75597028	DUBINSKY, ALEKSANDER	AIM INTERNATIONAL GROWTH FUND - CL B	0.00	10.57	0.00	10.57	100.0	10.57
01/10/2018	75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER CHINA GROWTH FU B	0.00	3.49	0.00	3.49	100.0	3.49
01/10/2018	75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER INDIA FUND-CL B B	0.00	0.64	0.00	0.64	100.0	0.64
01/10/2018	75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER INDIA FUND-CL A B	0.00	2.51	0.00	2.51	100.0	2.51
01/10/2018	75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR LARGE CAP FUND-CL B	0.00	2.68	0.00	2.68	100.0	2.68
01/10/2018	75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR FUNDS 315918326 B	0.00	12.23	0.00	12.23	100.0	12.23
01/10/2018	75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	10.24	0.00	10.24	100.0	10.24
01/10/2018	75597028	DUBINSKY, ALEKSANDER	FIDELITY INVESTMENT TRUST ADVISOR J B	0.00	8.79	0.00	8.79	100.0	8.79
01/10/2018	75597028	DUBINSKY, ALEKSANDER	MAINSTAY FDS LARGE CAP GROWTH FD CL B	0.00	7.29	0.00	7.29	100.0	7.29
01/10/2018	75597029	MOSES, GAIL	AIM ASIA PACIFIC GROWTH FUND - CL A B	0.00	11.64	0.00	11.64	100.0	11.64
01/10/2018	75597029	MOSES, GAIL	AIM GLOBAL HEALTH CARE FUND CLASS A B	0.00	37.94	0.00	37.94	100.0	37.94
01/10/2018	75597029	MOSES, GAIL	AIM TECHNOLOGY FUND - CLASS A B	0.00	1.53	0.00	1.53	100.0	1.53
01/10/2018	75597029	MOSES, GAIL	FRANKLIN GROWTH FUND CLASS A B	0.00	14.09	0.00	14.09	100.0	14.09
01/10/2018	75597029	MOSES, GAIL	FRANKLIN INDIA GROWTH FD FD CL A B	0.00	3.54	0.00	3.54	100.0	3.54
01/10/2018	75597029	MOSES, GAIL	GLOBAL FUND A B	0.00	13.66	0.00	13.66	100.0	13.66
01/10/2018	75597029	MOSES, GAIL	MAIN STREET FUND A B	0.00	7.09	0.00	7.09	100.0	7.09
01/10/2018	75597029	MOSES, GAIL	TEMP DEV MRKTS TR FND - CL A B	0.00	2.35	0.00	2.35	100.0	2.35
01/10/2018	75597030	MOSES, GAIL	FUNDAMENTAL INVESTORS-A B	0.00	18.57	0.00	18.57	100.0	18.57
01/10/2018	75597030	MOSES, GAIL	NEW PERSPECTIVE FUND-A B	0.00	0.88	0.00	0.88	100.0	0.88
01/10/2018	75597030	MOSES, GAIL	NEW WORLD FUND-A B	0.00	11.60	0.00	11.60	100.0	11.60
01/10/2018	75597030	MOSES, GAIL	THE GROWTH FUND OF AMERICA-A B	0.00	15.00	0.00	15.00	100.0	15.00
01/10/2018	75597030	MOSES, GAIL	THE INVESTMENT COMPANY OF AMERICA-A B	0.00	15.70	0.00	15.70	100.0	15.70
01/10/2018	75597031	MORGAN, STEVEN	FRANKLIN INCOME FUND CLASS A B	0.00	2.48	0.00	2.48	100.0	2.48
01/10/2018	75597032	SCHIPFER, RYAN	AMCAP FUND-C B	0.00	9.27	0.00	9.27	100.0	9.27
01/10/2018	75597032	SCHIPFER, RYAN	GLOBAL BALANCED FUND-C B	0.00	6.58	0.00	6.58	100.0	6.58
01/10/2018	75597032	SCHIPFER, RYAN	INVESTMENT COMPANY OF AMERICA CLASS B	0.00	9.37	0.00	9.37	100.0	9.37
01/10/2018	75597032	SCHIPFER, RYAN	WASHINGTON MUTUAL INVESTORS FUND-C B	0.00	9.44	0.00	9.44	100.0	9.44
01/10/2018	75597034	PERKINSON, DOLORES	DEUTSCHE GOLD & PRECIOUS METALS FUN B	0.00	40.76	0.00	40.76	100.0	40.76
01/10/2018	75597034	PERKINSON, DOLORES	DEUTSCHE REAL ASSETS FUND C B	0.00	14.10	0.00	14.10	100.0	14.10
01/10/2018	75597034	PERKINSON, DOLORES	MAINSTAY FDS TR FLTG RATE FD CL C B	0.00	3.53	0.00	3.53	100.0	3.53
01/10/2018	75597035	PERKINSON, DOLORES	BLACKROCK FUNDS-LOW DURATION BOND P B	0.00	0.12	0.00	0.12	100.0	0.12
01/10/2018	75597035	PERKINSON, DOLORES	BLACKROCK ENERGY & RESOURCES PORTFO B	0.00	3.87	0.00	3.87	100.0	3.87
01/10/2018	75597035	PERKINSON, DOLORES	BLACKROCK GLOBAL ALLOCATION FD INC B	0.00	9.70	0.00	9.70	100.0	9.70
01/10/2018	75597035	PERKINSON, DOLORES	FRANKLIN U.S. GOVERNMENT SECURITIES B	0.00	1.21	0.00	1.21	100.0	1.21
01/10/2018	75597036	ROBERTSON, DANIEL	BOND-DEBENTURE FUND CLASS A B	0.00	2.92	0.00	2.92	100.0	2.92
01/10/2018	75597036	ROBERTSON, DANIEL	LORD ABBETT CALIBRATED DIVIDEND GRO B	0.00	3.24	0.00	3.24	100.0	3.24
01/10/2018	75597036	ROBERTSON, DANIEL	LORD ABBETT ALPHA STRATEGY FUND CL B	0.00	5.91	0.00	5.91	100.0	5.91
01/10/2018	75597036	ROBERTSON, DANIEL	LORD ABBETT INVT TR FLTG RATE FD CL B	0.00	3.15	0.00	3.15	100.0	3.15
01/10/2018	75597041	ZIMBALIST, NAOMI	AIM DEVELOPING MARKETS FUND CLASS A B	0.00	30.42	0.00	30.42	100.0	30.42
01/10/2018	75597041	ZIMBALIST, NAOMI	AIM TECHNOLOGY FUND - CLASS A B	0.00	22.17	0.00	22.17	100.0	22.17

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/10/2018	75597041	ZIMBALIST, NAOMI	FINANCIAL INDUSTRIES A	B	0.00	10.94	0.00	10.94	100.0	10.94
01/10/2018	75597041	ZIMBALIST, NAOMI	GOLD & SPECIAL MINERALS A	B	0.00	1.09	0.00	1.09	100.0	1.09
01/10/2018	75597041	ZIMBALIST, NAOMI	JOHN HANCOCK FDS III GLOBAL SHAREHO	B	0.00	3.50	0.00	3.50	100.0	3.50
01/10/2018	75597041	ZIMBALIST, NAOMI	OPPENHEIMER GLOBAL VALUE FD SHS CL	B	0.00	4.72	0.00	4.72	100.0	4.72
01/10/2018	75597041	ZIMBALIST, NAOMI	OPPENHEIMER AMT FREE MUNICIPALS FUN	B	0.00	64.43	0.00	64.43	100.0	64.43
01/10/2018	75597041	ZIMBALIST, NAOMI	REGIONAL BANK A	B	0.00	17.60	0.00	17.60	100.0	17.60
01/10/2018	75597042	ZIMBALIST, NAOMI	TOUCHSTONE INVT TRUST ACTIVE BOND F	B	0.00	4.13	0.00	4.13	100.0	4.13
01/10/2018	75597044	RIZZO, MICHAEL	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	0.58	0.00	0.58	100.0	0.58
01/10/2018	75597044	RIZZO, MICHAEL	EUROPACIFIC GROWTH FUND CLASS C	B	0.00	1.33	0.00	1.33	100.0	1.33
01/10/2018	75597044	RIZZO, MICHAEL	GROWTH FUND OF AMERICA CLASS F	B	0.00	0.81	0.00	0.81	100.0	0.81
01/10/2018	75597044	RIZZO, MICHAEL	NEW PERSPECTIVE FUND INC CL F1	B	0.00	0.65	0.00	0.65	100.0	0.65
01/10/2018	75597044	RIZZO, MICHAEL	NEW WORLD FUND-C	B	0.00	2.20	0.00	2.20	100.0	2.20
01/10/2018	75597044	RIZZO, MICHAEL	VIRTUS MID-CAP GROWTH FUND CLASS A	B	0.00	2.94	0.00	2.94	100.0	2.94
01/10/2018	75597044	RIZZO, MICHAEL	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.32	0.00	1.32	100.0	1.32
01/10/2018	75597045	MATEGRANO, ALBERT	MAINSTAY HIGH-YIELD CORPORATE BOND	B	0.00	0.51	0.00	0.51	100.0	0.51
01/10/2018	75597046	MENZ, SUSAN	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	3.04	0.00	3.04	100.0	3.04
01/10/2018	75597046	MENZ, SUSAN	BOND-DEBENTURE FUND CLASS A	B	0.00	3.64	0.00	3.64	100.0	3.64
01/18/2018	75597047	MENZ, SUSAN	FINANCIAL INVS TR	B	0.00	12.76	0.00	12.76	100.0	12.76
01/10/2018	75597048	HESELBERG, SHIRLEY	FRANKLIN FEDERAL TAX FREE INCOME FU	B	0.00	1.43	0.00	1.43	100.0	1.43
01/10/2018	75597048	HESELBERG, SHIRLEY	GUGGENHEIM FDS TRUST	B	0.00	10.12	0.00	10.12	100.0	10.12
01/10/2018	75597048	HESELBERG, SHIRLEY	INVESTMENT COMPANY OF AMERICA CLASS	B	0.00	18.20	0.00	18.20	100.0	18.20
01/10/2018	75597048	HESELBERG, SHIRLEY	IVY FDS INC LARGE CAP GROWTH FD CL	B	0.00	19.06	0.00	19.06	100.0	19.06
01/10/2018	75597048	HESELBERG, SHIRLEY	THORNBURG INVT TR INTL VALUE FD CL	B	0.00	3.64	0.00	3.64	100.0	3.64
01/10/2018	75597048	HESELBERG, SHIRLEY	TOUCHSTONE STRATEGIC TR SMALL CO FD	B	0.00	7.50	0.00	7.50	100.0	7.50
01/10/2018	75597049	ELLIS, SHARON	INTERMEDIATE BOND FUND AMER CL F1	B	0.00	2.78	0.00	2.78	100.0	2.78
01/10/2018	75597049	ELLIS, SHARON	PUTNAM 746745108	B	0.00	6.92	0.00	6.92	100.0	6.92
01/10/2018	75597049	ELLIS, SHARON	PUTNAM 746802206	B	0.00	2.68	0.00	2.68	100.0	2.68
01/10/2018	75597049	ELLIS, SHARON	PUTNAM NEW OPPORTUNITIES FUND CLASS	B	0.00	3.49	0.00	3.49	100.0	3.49
01/10/2018	75597054	HARRIS, REBECCA	**VOYA EQUITY TR	B	0.00	2.57	0.00	2.57	100.0	2.57
01/10/2018	75597054	HARRIS, REBECCA	AIG FOCUSED DIVIDEND STRATEGY FUND	B	0.00	3.97	0.00	3.97	100.0	3.97
01/10/2018	75597054	HARRIS, REBECCA	CALAMOS EVOLVING WORLD GROWTH FUND	B	0.00	4.57	0.00	4.57	100.0	4.57
01/10/2018	75597054	HARRIS, REBECCA	EMERGING GROWTH FUND A	B	0.00	4.24	0.00	4.24	100.0	4.24
01/10/2018	75597054	HARRIS, REBECCA	IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	1.51	0.00	1.51	100.0	1.51
01/10/2018	75597054	HARRIS, REBECCA	THE HARTFORD DIVIDEND & GROWTH FUND	B	0.00	3.97	0.00	3.97	100.0	3.97
01/10/2018	75597054	HARRIS, REBECCA	WELLS FARGO FDS SPL SMALL CAP VALUE	B	0.00	2.70	0.00	2.70	100.0	2.70
01/10/2018	75597054	HARRIS, REBECCA	WELLS FARGO SPECIAL MID CAP VALUE F	B	0.00	4.09	0.00	4.09	100.0	4.09
01/10/2018	75597055	HARRIS, REBECCA	**VOYA EQUITY TR	B	0.00	0.38	0.00	0.38	100.0	0.38
01/10/2018	75597055	HARRIS, REBECCA	AIG FOCUSED DIVIDEND STRATEGY FUND	B	0.00	0.56	0.00	0.56	100.0	0.56
01/10/2018	75597055	HARRIS, REBECCA	EMERGING GROWTH FUND A	B	0.00	0.57	0.00	0.57	100.0	0.57
01/10/2018	75597055	HARRIS, REBECCA	THE HARTFORD DIVIDEND & GROWTH FUND	B	0.00	0.64	0.00	0.64	100.0	0.64

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018	75597055 HARRIS, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	1.68	0.00	1.68	100.0	1.68
01/10/2018	75597055 HARRIS, REBECCA	WELLS FARGO FDS SPL SMALL CAP VALUE B		0.00	0.41	0.00	0.41	100.0	0.41
01/10/2018	75597055 HARRIS, REBECCA	WELLS FARGO SPECIAL MID CAP VALUE F B		0.00	0.55	0.00	0.55	100.0	0.55
01/10/2018	75597059 LUKASIK, CAROLINE	FRANKLIN GROWTH FUND CLASS A	B	0.00	17.45	0.00	17.45	100.0	17.45
01/10/2018	75597059 LUKASIK, CAROLINE	TEMP GLOBAL BOND FND - CL A	B	0.00	6.32	0.00	6.32	100.0	6.32
01/10/2018	75597060 BROOKS, JOYCE	PIONEER VALUE FUND A	B	0.00	1.66	0.00	1.66	100.0	1.66
01/10/2018	75597062 RAGHIAN, PARISA	AMERICAN BALANCED FUND-A	B	0.00	1.50	0.00	1.50	100.0	1.50
01/10/2018	75597062 RAGHIAN, PARISA	AMERICAN FUNDS 02629W106	B	0.00	1.20	0.00	1.20	100.0	1.20
01/10/2018	75597062 RAGHIAN, PARISA	FUNDAMENTAL INVESTORS-A	B	0.00	1.75	0.00	1.75	100.0	1.75
01/10/2018	75597062 RAGHIAN, PARISA	NEW PERSPECTIVE FUND-A	B	0.00	1.53	0.00	1.53	100.0	1.53
01/10/2018	75597062 RAGHIAN, PARISA	THE GROWTH FUND OF AMERICA-A	B	0.00	1.81	0.00	1.81	100.0	1.81
01/10/2018	75597062 RAGHIAN, PARISA	U.S. GOVERNMENT SECURITIES FUND CLA B		0.00	0.70	0.00	0.70	100.0	0.70
01/10/2018	75597063 PASCUA, MICHAEL	FUNDAMENTAL INVESTORS-C	B	0.00	0.54	0.00	0.54	100.0	0.54
01/10/2018	75597063 PASCUA, MICHAEL	NEW PERSPECTIVE FUND-C	B	0.00	0.53	0.00	0.53	100.0	0.53
01/10/2018	75597067 REZNICEK, DELWYN	MAIN STREET FUND C	B	0.00	78.61	0.00	78.61	100.0	78.61
01/10/2018	75597068 SACKHEIM, KAREN	CLEARBRIDGE MID CAP FUND CLASS C	B	0.00	6.80	0.00	6.80	100.0	6.80
01/10/2018	75597068 SACKHEIM, KAREN	DAVIS NEW YORK VENTURE CLASS C	B	0.00	12.38	0.00	12.38	100.0	12.38
01/10/2018	75597068 SACKHEIM, KAREN	LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	7.80	0.00	7.80	100.0	7.80
01/10/2018	75597069 SACKHEIM, KAREN	BLACKROCK GLOBAL ALLOCATION FD INC	B	0.00	7.34	0.00	7.34	100.0	7.34
01/10/2018	75597069 SACKHEIM, KAREN	DAVIS NEW YORK VENTURE CLASS C	B	0.00	3.42	0.00	3.42	100.0	3.42
01/10/2018	75597072 JAMES R MENZ TTEE	BLACKROCK HEALTH SCIENCES FUND CLAS B		0.00	11.90	0.00	11.90	100.0	11.90
01/10/2018	75597072 JAMES R MENZ TTEE	BOND-DEBENTURE FUND CLASS A	B	0.00	5.92	0.00	5.92	100.0	5.92
01/10/2018	75597074 WILKOS, STANLEY	GOLDMAN SACHS GROWTH & INCOME FUND	B	0.00	2.89	0.00	2.89	100.0	2.89
01/10/2018	75597074 WILKOS, STANLEY	GOLDMAN SACHS CAPITAL GROWTH FUND C B		0.00	6.24	0.00	6.24	100.0	6.24
01/10/2018	75597075 VONEY, SUSAN	AMERICAN BALANCED FUND INC CL F1	B	0.00	7.18	0.00	7.18	100.0	7.18
01/10/2018	75597075 VONEY, SUSAN	FRANKLIN CONVERTIBLE SECS FUND CLAS B		0.00	7.04	0.00	7.04	100.0	7.04
01/10/2018	75597075 VONEY, SUSAN	FRANKLIN INCOME FUND CLASS A	B	0.00	4.73	0.00	4.73	100.0	4.73
01/10/2018	75597075 VONEY, SUSAN	FRANKLIN TEMPLETON FUNDS CUST FDS U B		0.00	6.16	0.00	6.16	100.0	6.16
01/10/2018	75597075 VONEY, SUSAN	FRK UTIL FND - CL A	B	0.00	3.66	0.00	3.66	100.0	3.66
01/10/2018	75597075 VONEY, SUSAN	INVESTMENT CO AMER CL F1	B	0.00	8.42	0.00	8.42	100.0	8.42
01/10/2018	75597075 VONEY, SUSAN	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	13.43	0.00	13.43	100.0	13.43
01/10/2018	75597079 OWERKO, JOSEPH	AMERICAN MUTUAL FUND-C	B	0.00	1.30	0.00	1.30	100.0	1.30
01/10/2018	75597079 OWERKO, JOSEPH	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	2.25	0.00	2.25	100.0	2.25
01/10/2018	75597082 LAYSTROM, JAMES	BLACKROCK HEALTH SCIENCES FUND CLAS B		0.00	4.26	0.00	4.26	100.0	4.26
01/10/2018	75597082 LAYSTROM, JAMES	LORD ABBETT MULTI ASSET GLOBAL OPPO B		0.00	2.62	0.00	2.62	100.0	2.62
01/10/2018	75597084 MAYBERRY, DWIGHT	AMERICAN BALANCED FUND-A	B	0.00	3.36	0.00	3.36	100.0	3.36
01/10/2018	75597087 FLANNERY JR, ROBERT	FIDELITY ADV EQUITY GROWTH CL A	B	0.00	54.99	0.00	54.99	100.0	54.99
01/10/2018	75597087 FLANNERY JR, ROBERT	FIDELITY ADVISOR FUNDS 315807834	B	0.00	36.56	0.00	36.56	100.0	36.56
01/10/2018	75597087 FLANNERY JR, ROBERT	FIDELITY ADVISOR SER VII BIOTECHNOL B		0.00	36.85	0.00	36.85	100.0	36.85
01/10/2018	75597087 FLANNERY JR, ROBERT	FIDELITY ADVISOR VALUE STRATEGIES C B		0.00	27.93	0.00	27.93	100.0	27.93

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/10/2018	75597089	BORKHOVA, ALLA	AIM ENERGY FUND - CLASS C	B	0.00	9.67	0.00	9.67	100.0	9.67
01/10/2018	75597089	BORKHOVA, ALLA	AIM GOLD & PRECIOUS METALS FD - C	B	0.00	12.80	0.00	12.80	100.0	12.80
01/10/2018	75597089	BORKHOVA, ALLA	EATON VANCE GREATER CHINA GROWTH FU B		0.00	1.99	0.00	1.99	100.0	1.99
01/10/2018	75597089	BORKHOVA, ALLA	EATON VANCE GREATER INDIA FUND-CL A B		0.00	1.73	0.00	1.73	100.0	1.73
01/10/2018	75597089	BORKHOVA, ALLA	FIDELITY ADVISOR FUNDS 315807834	B	0.00	1.24	0.00	1.24	100.0	1.24
01/10/2018	75597089	BORKHOVA, ALLA	FIDELITY ADVISOR INTL SMALL CAP FUN B		0.00	1.04	0.00	1.04	100.0	1.04
01/10/2018	75597089	BORKHOVA, ALLA	FIDELITY ADVISOR SER VII BIOTECHNOL B		0.00	1.70	0.00	1.70	100.0	1.70
01/10/2018	75597089	BORKHOVA, ALLA	FIDELITY ADVISOR FOCUS FUNDS HEALTH B		0.00	2.02	0.00	2.02	100.0	2.02
01/10/2018	75597090	MONAGHAN, JOYCE	CAPITAL INCOME A	B	0.00	12.31	0.00	12.31	100.0	12.31
01/10/2018	75597090	MONAGHAN, JOYCE	FRANKLIN U.S. GOVERNMENT SECURITIES B		0.00	6.45	0.00	6.45	100.0	6.45
01/10/2018	75597090	MONAGHAN, JOYCE	NEW PERSPECTIVE FUND-A	B	0.00	18.10	0.00	18.10	100.0	18.10
01/10/2018	75597090	MONAGHAN, JOYCE	THE INVESTMENT COMPANY OF AMERICA-A B		0.00	20.72	0.00	20.72	100.0	20.72
01/10/2018	75597091	BOL, BEVERLY	CAPITAL APPRECIATION A	B	0.00	8.24	0.00	8.24	100.0	8.24
01/10/2018	75597091	BOL, BEVERLY	MAIN STREET FUND A	B	0.00	9.04	0.00	9.04	100.0	9.04
01/10/2018	75597092	CLARK JR, PHILLIP	FRK STRAT INC FND - CL A	B	0.00	2.42	0.00	2.42	100.0	2.42
01/10/2018	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR SMALL CAP FUND CLA B		0.00	1.45	0.00	1.45	100.0	1.45
01/10/2018	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR MID CAP II FUND CL B		0.00	1.42	0.00	1.42	100.0	1.42
01/10/2018	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR INDUSTRIALS CL A B		0.00	1.54	0.00	1.54	100.0	1.54
01/10/2018	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR DIVERSIFIED INTL F B		0.00	1.88	0.00	1.88	100.0	1.88
01/10/2018	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR SMALL CAP FUND CLA B		0.00	2.85	0.00	2.85	100.0	2.85
01/10/2018	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR MID CAP II FUND CL B		0.00	3.08	0.00	3.08	100.0	3.08
01/10/2018	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR INDUSTRIALS CL A B		0.00	3.39	0.00	3.39	100.0	3.39
01/10/2018	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR DIVERSIFIED INTL F B		0.00	2.50	0.00	2.50	100.0	2.50
01/10/2018	75597095	BRENT, GEORGE	CAPITAL INCOME A	B	0.00	11.31	0.00	11.31	100.0	11.31
01/10/2018	75597097	ZILZ, SUSAN	JPMORGAN INVESTOR GROWTH FD CL A B		0.00	8.14	0.00	8.14	100.0	8.14
01/10/2018	75597099	VERENSKI, DANIEL	FRANKLIN FEDERAL TAX FREE INCOME FU B		0.00	17.80	0.00	17.80	100.0	17.80
01/10/2018	75597100	BRUNO, CAROL	FRANKLIN INCOME FUND CLASS A	B	0.00	8.30	0.00	8.30	100.0	8.30
01/10/2018	75597101	STEINBORN, DEBRA	AMCAP FUND-A	B	0.00	4.88	0.00	4.88	100.0	4.88
01/10/2018	75597101	STEINBORN, DEBRA	AMERICAN BALANCED FUND-A	B	0.00	7.59	0.00	7.59	100.0	7.59
01/10/2018	75597101	STEINBORN, DEBRA	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	3.20	0.00	3.20	100.0	3.20
01/10/2018	75597102	COHEN, MITCHELL	JOHN HANCOCK FDS III STRATEGIC GROW B		0.00	1.55	0.00	1.55	100.0	1.55
01/10/2018	75597103	LUKASIK, ALEXANDER	FRANKLIN FEDERAL TAX FREE INCOME FU B		0.00	58.70	0.00	58.70	100.0	58.70
01/10/2018	75597104	WILKOS, JEANETTE	FRK AGE HIGH INC FND - CL A	B	0.00	15.24	0.00	15.24	100.0	15.24
01/10/2018	75597104	WILKOS, JEANETTE	REGIONAL BANK A	B	0.00	1.77	0.00	1.77	100.0	1.77
01/10/2018	75597104	WILKOS, JEANETTE	THE INVESTMENT COMPANY OF AMERICA-A B		0.00	39.22	0.00	39.22	100.0	39.22
01/10/2018	75597104	WILKOS, JEANETTE	U.S. GOVERNMENT SECURITIES FUND CLA B		0.00	8.58	0.00	8.58	100.0	8.58
01/10/2018	75597104	WILKOS, JEANETTE	WASHINGTON MUTUAL INVESTORS FUND-A B		0.00	28.81	0.00	28.81	100.0	28.81
01/10/2018	75597109	KROL, DANIELLE	AIM TECHNOLOGY FUND - CLASS A	B	0.00	8.51	0.00	8.51	100.0	8.51
01/10/2018	75597109	KROL, DANIELLE	WELLS FARGO ENTERPRISE FUND CL A B		0.00	45.61	0.00	45.61	100.0	45.61
01/10/2018	75597112	HOWELL, DONALD	FRANKLIN GROWTH FUND CLASS A	B	0.00	11.21	0.00	11.21	100.0	11.21

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/10/2018	75597112	HOWELL, DONALD	FRK VAL FND - CL A	B	0.00	6.37	0.00	6.37	100.0	6.37
01/10/2018	75597112	HOWELL, DONALD	MASS INVESTORS GROWTH STOCK FUND-A	B	0.00	29.16	0.00	29.16	100.0	29.16
01/10/2018	75597112	HOWELL, DONALD	MFS EMERGING GROWTH FUND CLASS A	B	0.00	2.53	0.00	2.53	100.0	2.53
01/10/2018	75597112	HOWELL, DONALD	MFS NEW DISCOVERY FUND-A	B	0.00	51.27	0.00	51.27	100.0	51.27
01/10/2018	75597114	SPALDING, MARY	FRANKLIN INCOME FUND CLASS A	B	0.00	37.85	0.00	37.85	100.0	37.85
01/10/2018	75597118	GREENE, M HOWARD	FRANKLIN HIGH-YIELD TAX-FREE INCOME	B	0.00	22.80	0.00	22.80	100.0	22.80
01/10/2018	75597118	GREENE, M HOWARD	FRANKLIN/TEMPLETON FUNDS 353612690	B	0.00	7.12	0.00	7.12	100.0	7.12
01/10/2018	75597118	GREENE, M HOWARD	LORD ABBETT SHORT DURATION INCOME F	B	0.00	7.34	0.00	7.34	100.0	7.34
01/10/2018	75597119	GREENE, M HOWARD	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	2.15	0.00	2.15	100.0	2.15
01/10/2018	75597120	KINGERY, DEBORAH	**PRUDENTIAL GLOBAL REAL	B	0.00	0.33	0.00	0.33	100.0	0.33
01/10/2018	75597120	KINGERY, DEBORAH	**PRUDENTIAL JENNISON NATURAL	B	0.00	0.34	0.00	0.34	100.0	0.34
01/10/2018	75597120	KINGERY, DEBORAH	CAPITAL INCOME BUILDER-A	B	0.00	0.37	0.00	0.37	100.0	0.37
01/10/2018	75597120	KINGERY, DEBORAH	FIRST EAGLE OVERSEAS FUND CLASS A	B	0.00	1.11	0.00	1.11	100.0	1.11
01/10/2018	75597120	KINGERY, DEBORAH	FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	1.11	0.00	1.11	100.0	1.11
01/10/2018	75597120	KINGERY, DEBORAH	LORD ABBETT SECS TR VALUE OPPTYS F	B	0.00	0.76	0.00	0.76	100.0	0.76
01/10/2018	75597120	KINGERY, DEBORAH	OPPENHEIMER SMALL & MID CAP VALUE F	B	0.00	0.99	0.00	0.99	100.0	0.99
01/10/2018	75597120	KINGERY, DEBORAH	THE GROWTH FUND OF AMERICA-A	B	0.00	0.79	0.00	0.79	100.0	0.79
01/10/2018	75597123	AMBERG, JOHN	ALLIANZ NFJ SMALL CAP VALUE FUND CL	B	0.00	0.52	0.00	0.52	100.0	0.52
01/10/2018	75597123	AMBERG, JOHN	AMERICAN BALANCED FUND-C	B	0.00	1.18	0.00	1.18	100.0	1.18
01/10/2018	75597123	AMBERG, JOHN	FUNDAMENTAL INVESTORS-A	B	0.00	1.22	0.00	1.22	100.0	1.22
01/10/2018	75597123	AMBERG, JOHN	FUNDAMENTAL INVESTORS-C	B	0.00	1.34	0.00	1.34	100.0	1.34
01/10/2018	75597123	AMBERG, JOHN	INVESCO AMERICAN VALUE FUND CLASS A	B	0.00	2.04	0.00	2.04	100.0	2.04
01/10/2018	75597123	AMBERG, JOHN	INVESTMENT COMPANY OF AMERICA CLASS	B	0.00	2.63	0.00	2.63	100.0	2.63
01/10/2018	75597123	AMBERG, JOHN	JOHN HANCOCK REGIONAL BANK FUND CL	B	0.00	1.53	0.00	1.53	100.0	1.53
01/10/2018	75597123	AMBERG, JOHN	JOHN HANCOCK FINANCIAL INDS FUND CL	B	0.00	1.21	0.00	1.21	100.0	1.21
01/10/2018	75597123	AMBERG, JOHN	JOHN HANCOCK FDS II MLTMNGR LFSTYL	B	0.00	2.19	0.00	2.19	100.0	2.19
01/10/2018	75597123	AMBERG, JOHN	JOHN HANCOCK FDS II MLTMNGR LFSTYL	B	0.00	4.52	0.00	4.52	100.0	4.52
01/10/2018	75597123	AMBERG, JOHN	LIFESTYLE MODERATE PORTFOLIO C	B	0.00	2.64	0.00	2.64	100.0	2.64
01/10/2018	75597123	AMBERG, JOHN	NEW PERSPECTIVE FUND-A	B	0.00	1.69	0.00	1.69	100.0	1.69
01/10/2018	75597123	AMBERG, JOHN	NEW PERSPECTIVE FUND-C	B	0.00	1.21	0.00	1.21	100.0	1.21
01/10/2018	75597123	AMBERG, JOHN	THE GROWTH FUND OF AMERICA-A	B	0.00	1.22	0.00	1.22	100.0	1.22
01/10/2018	75597123	AMBERG, JOHN	THE GROWTH FUND OF AMERICA-C	B	0.00	1.36	0.00	1.36	100.0	1.36
01/10/2018	75597123	AMBERG, JOHN	THE NEW ECONOMY FUND-A	B	0.00	0.60	0.00	0.60	100.0	0.60
01/10/2018	75597123	AMBERG, JOHN	VOYA GLOBAL EQUITY FUND CLASS A	B	0.00	0.78	0.00	0.78	100.0	0.78
01/10/2018	75597123	AMBERG, JOHN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.04	0.00	2.04	100.0	2.04
01/10/2018	75597123	AMBERG, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	5.16	0.00	5.16	100.0	5.16
01/10/2018	75597124	BROOKS, JOYCE	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	2.78	0.00	2.78	100.0	2.78
01/10/2018	75597127	WALDMAN, ALVIN	DEUTSCHE GNMA FUND A	B	0.00	7.09	0.00	7.09	100.0	7.09
01/10/2018	75597129	ELLIS, HARRY	FRK GOLD FUND - CLASS A	B	0.00	0.96	0.00	0.96	100.0	0.96
01/10/2018	75597129	ELLIS, HARRY	JOHN HANCOCK REGIONAL BANK FUND CL	B	0.00	138.96	0.00	138.96	100.0	138.96

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018 75597129	ELLIS, HARRY	OPPENHEIMER SENIOR FLOATING RATE FU B		0.00	30.92	0.00	30.92	100.0	30.92
01/10/2018 75597129	ELLIS, HARRY	TEMP DEV MRKTS TR FND - CL A	B	0.00	4.30	0.00	4.30	100.0	4.30
01/10/2018 75597131	MICHAEL RIZZO TTEE	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	15.13	0.00	15.13	100.0	15.13
01/10/2018 75597131	MICHAEL RIZZO TTEE	FUNDAMENTAL INVESTORS-A	B	0.00	19.83	0.00	19.83	100.0	19.83
01/10/2018 75597131	MICHAEL RIZZO TTEE	NEW PERSPECTIVE FUND-A	B	0.00	30.71	0.00	30.71	100.0	30.71
01/10/2018 75597131	MICHAEL RIZZO TTEE	THE GROWTH FUND OF AMERICA-A	B	0.00	45.71	0.00	45.71	100.0	45.71
01/10/2018 75597131	MICHAEL RIZZO TTEE	VIRTUS MID-CAP GROWTH FUND CLASS A	B	0.00	13.19	0.00	13.19	100.0	13.19
01/10/2018 75597135	JOHN W STEINBORN TTEE	AMCAP FUND-A	B	0.00	8.23	0.00	8.23	100.0	8.23
01/10/2018 75597135	JOHN W STEINBORN TTEE	AMERICAN BALANCED FUND-A	B	0.00	17.93	0.00	17.93	100.0	17.93
01/10/2018 75597135	JOHN W STEINBORN TTEE	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	10.84	0.00	10.84	100.0	10.84
01/10/2018 75597135	JOHN W STEINBORN TTEE	FINANCIAL INDUSTRIES A	B	0.00	6.16	0.00	6.16	100.0	6.16
01/10/2018 75597135	JOHN W STEINBORN TTEE	JOHN HANCOCK INVT TR DISCIPLINED VA B		0.00	6.98	0.00	6.98	100.0	6.98
01/10/2018 75597135	JOHN W STEINBORN TTEE	LARGE CAP EQUITY A	B	0.00	22.47	0.00	22.47	100.0	22.47
01/10/2018 75597135	JOHN W STEINBORN TTEE	SMALLCAP WORLD FUND-A	B	0.00	21.89	0.00	21.89	100.0	21.89
01/10/2018 75597135	JOHN W STEINBORN TTEE	THE INCOME FUND OF AMERICA-A	B	0.00	6.74	0.00	6.74	100.0	6.74
01/10/2018 75597136	JAMES WEBSTER PLAN	BLACKROCK HEALTH SCIENCES FUND CLAS B		0.00	24.02	0.00	24.02	100.0	24.02
01/10/2018 75597136	JAMES WEBSTER PLAN	LORD ABBETT ALPHA STRATEGY FUND CL B		0.00	23.34	0.00	23.34	100.0	23.34
01/10/2018 75597136	JAMES WEBSTER PLAN	RYDEX SER FUNDS BANKING FD CL A	B	0.00	29.76	0.00	29.76	100.0	29.76
01/10/2018 75597136	JAMES WEBSTER PLAN	RYDEX SER FUNDS FINL SVCS FD CL A	B	0.00	29.38	0.00	29.38	100.0	29.38
01/10/2018 75597138	WEINER, PATRICIA	CALAMOS EVOLVING WORLD GROWTH FUND B		0.00	2.34	0.00	2.34	100.0	2.34
01/10/2018 75597138	WEINER, PATRICIA	IVY INTERNATIONAL CORE EQUITY FUND B		0.00	0.51	0.00	0.51	100.0	0.51
01/10/2018 75597138	WEINER, PATRICIA	PUTNAM GLOBAL EQUITY FUND CLASS A	B	0.00	0.21	0.00	0.21	100.0	0.21
01/10/2018 75597138	WEINER, PATRICIA	WELLS FARGO SPECIAL MID CAP VALUE F B		0.00	0.52	0.00	0.52	100.0	0.52
01/10/2018 75597139	WEINER, PATRICIA	AIG FOCUSED DIVIDEND STRATEGY FUND B		0.00	9.01	0.00	9.01	100.0	9.01
01/10/2018 75597139	WEINER, PATRICIA	EMERGING GROWTH FUND A	B	0.00	2.78	0.00	2.78	100.0	2.78
01/10/2018 75597139	WEINER, PATRICIA	IVY INTERNATIONAL CORE EQUITY FUND B		0.00	3.92	0.00	3.92	100.0	3.92
01/10/2018 75597139	WEINER, PATRICIA	LORD ABBETT SHORT DURATION INCOME F B		0.00	1.31	0.00	1.31	100.0	1.31
01/10/2018 75597139	WEINER, PATRICIA	NEW WORLD FUND-A	B	0.00	1.08	0.00	1.08	100.0	1.08
01/10/2018 75597139	WEINER, PATRICIA	THE HARTFORD DIVIDEND & GROWTH FUND B		0.00	8.05	0.00	8.05	100.0	8.05
01/10/2018 75597139	WEINER, PATRICIA	VIRTUS SMALL CAP SUSTAINABLE GROWTH B		0.00	1.56	0.00	1.56	100.0	1.56
01/10/2018 75597139	WEINER, PATRICIA	WELLS FARGO FDS SPL SMALL CAP VALUE B		0.00	2.75	0.00	2.75	100.0	2.75
01/10/2018 75597141	ADLER, JULIE	LIFESTYLE GROWTH PORTFOLIO A	B	0.00	1.18	0.00	1.18	100.0	1.18
01/10/2018 75597141	ADLER, JULIE	LIFESTYLE BALANCED PORTFOLIO A	B	0.00	1.11	0.00	1.11	100.0	1.11
01/10/2018 75597142	ADLER, JULIE	AMERICAN BALANCED FUND-C	B	0.00	1.18	0.00	1.18	100.0	1.18
01/10/2018 75597142	ADLER, JULIE	AMERICAN MUTUAL FUND-C	B	0.00	3.77	0.00	3.77	100.0	3.77
01/10/2018 75597142	ADLER, JULIE	FUNDAMENTAL INVESTORS-C	B	0.00	5.37	0.00	5.37	100.0	5.37
01/10/2018 75597142	ADLER, JULIE	INVESTMENT COMPANY OF AMERICA CLASS B		0.00	5.23	0.00	5.23	100.0	5.23
01/10/2018 75597142	ADLER, JULIE	NEW PERSPECTIVE FUND-C	B	0.00	3.64	0.00	3.64	100.0	3.64
01/10/2018 75597142	ADLER, JULIE	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	5.23	0.00	5.23	100.0	5.23
01/10/2018 75597143	ADLER, JULIE	FUNDAMENTAL INVESTORS-A	B	0.00	0.70	0.00	0.70	100.0	0.70

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018	75597143	ADLER, JULIE	INVESCO BALANCED-RISK ALLOCATION FD B	0.00	18.19	0.00	18.19	100.0	18.19
01/10/2018	75597143	ADLER, JULIE	NEW PERSPECTIVE FUND-A B	0.00	0.73	0.00	0.73	100.0	0.73
01/10/2018	75597143	ADLER, JULIE	WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	0.66	0.00	0.66	100.0	0.66
01/10/2018	75597145	WEBSTER, JAMES	BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	7.42	0.00	7.42	100.0	7.42
01/10/2018	75597148	PARRY, CHARLENE	FRANKLIN FEDERAL TAX FREE INCOME FU B	0.00	15.84	0.00	15.84	100.0	15.84
01/10/2018	75597149	PARRY, CHARLENE	FRANKLIN GROWTH FUND CLASS A B	0.00	10.84	0.00	10.84	100.0	10.84
01/10/2018	75597151	BLITZ, EDWIN	GOLD & SPECIAL MINERALS A B	0.00	2.40	0.00	2.40	100.0	2.40
01/10/2018	75597152	LEE, MICHELLE	CAPITAL INCOME BUILDER-A B	0.00	3.42	0.00	3.42	100.0	3.42
01/10/2018	75597152	LEE, MICHELLE	CAPITAL WORLD BOND FUND-A B	0.00	1.00	0.00	1.00	100.0	1.00
01/10/2018	75597152	LEE, MICHELLE	FUNDAMENTAL INVESTORS-A B	0.00	4.55	0.00	4.55	100.0	4.55
01/10/2018	75597152	LEE, MICHELLE	NEW WORLD FUND-A B	0.00	3.69	0.00	3.69	100.0	3.69
01/10/2018	75597152	LEE, MICHELLE	SMALLCAP WORLD FUND-A B	0.00	5.11	0.00	5.11	100.0	5.11
01/10/2018	75597152	LEE, MICHELLE	THE GROWTH FUND OF AMERICA-A B	0.00	2.16	0.00	2.16	100.0	2.16
01/10/2018	75597153	LEE, MICHELLE	FIDELITY ADVISOR FUNDS 315910661 B	0.00	1.34	0.00	1.34	100.0	1.34
01/26/2018	75597153	LEE, MICHELLE	VICTORY PORTF B	0.00	1.20	0.00	1.20	100.0	1.20
01/10/2018	75597160	MILES, GARY	BOND-DEBENTURE FUND CLASS A B	0.00	2.48	0.00	2.48	100.0	2.48
01/18/2018	75597160	MILES, GARY	FINANCIAL INVS TR B	0.00	16.36	0.00	16.36	100.0	16.36
01/10/2018	75597161	STOTTS, ALLISON	BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	10.10	0.00	10.10	100.0	10.10
01/10/2018	75597161	STOTTS, ALLISON	BOND-DEBENTURE FUND CLASS A B	0.00	4.12	0.00	4.12	100.0	4.12
01/10/2018	75597161	STOTTS, ALLISON	LORD ABBETT EQUITY TR CALIBRTD MID B	0.00	5.38	0.00	5.38	100.0	5.38
01/10/2018	75597167	SCHNEFF, CONSTANCE	BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	14.32	0.00	14.32	100.0	14.32
01/10/2018	75597167	SCHNEFF, CONSTANCE	BOND-DEBENTURE FUND CLASS A B	0.00	6.50	0.00	6.50	100.0	6.50
01/10/2018	75597167	SCHNEFF, CONSTANCE	PIMCO GNMA FUND CL A B	0.00	68.46	0.00	68.46	100.0	68.46
01/18/2018	75597167	SCHNEFF, CONSTANCE	FINANCIAL INVS TR B	0.00	39.64	0.00	39.64	100.0	39.64
01/10/2018	75597170	BROOKS, JOYCE	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	2.67	0.00	2.67	100.0	2.67
01/10/2018	75597173	NELSON, CAROLE	MAINSTAY LARGE CAP GROWTH FUND CLAS B	0.00	6.16	0.00	6.16	100.0	6.16
01/10/2018	75597175	DEBORAH A IRANI TTEE	AIM TECHNOLOGY FUND - CLASS A B	0.00	16.01	0.00	16.01	100.0	16.01
01/10/2018	75597175	DEBORAH A IRANI TTEE	FINANCIAL INDUSTRIES A B	0.00	10.77	0.00	10.77	100.0	10.77
01/10/2018	75597175	DEBORAH A IRANI TTEE	FRANKLIN GROWTH FUND CLASS A B	0.00	86.80	0.00	86.80	100.0	86.80
01/10/2018	75597175	DEBORAH A IRANI TTEE	FRANKLIN RISING DIVIDENDS FD CLASS B	0.00	26.02	0.00	26.02	100.0	26.02
01/10/2018	75597175	DEBORAH A IRANI TTEE	FRANKLIN U.S. GOVERNMENT SECURITIES B	0.00	16.75	0.00	16.75	100.0	16.75
01/10/2018	75597175	DEBORAH A IRANI TTEE	FRK UTIL FND - CL A B	0.00	15.07	0.00	15.07	100.0	15.07
01/10/2018	75597175	DEBORAH A IRANI TTEE	INVESCO AMERICAN FRANCHISE FUND CLA B	0.00	57.49	0.00	57.49	100.0	57.49
01/10/2018	75597176	TARNOW, BRENT	FIDELITY ADVISOR FUNDS 316145408 B	0.00	1.24	0.00	1.24	100.0	1.24
01/10/2018	75597177	CLARK JR, PHILLIP	FRK VAL FND - CL A B	0.00	0.67	0.00	0.67	100.0	0.67
01/10/2018	75597185	BOL, BEVERLY	HANCOCK JOHN CAP SER GRTR CHINA OPP B	0.00	4.54	0.00	4.54	100.0	4.54
01/10/2018	75597185	BOL, BEVERLY	PIONEER FUND A B	0.00	4.77	0.00	4.77	100.0	4.77
01/10/2018	75597186	ORRIN PROPERTY	GLOBAL OPPORTUNITIES A B	0.00	114.22	0.00	114.22	100.0	114.22
01/10/2018	75597193	RIZZO, MICHAEL	MAINSTAY FDS TR FLTG RATE FD CL C B	0.00	23.84	0.00	23.84	100.0	23.84
01/10/2018	75597193	RIZZO, MICHAEL	VIRTUS MID-CAP GROWTH FUND CLASS A B	0.00	9.41	0.00	9.41	100.0	9.41

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/10/2018	75597194	EDWIN C BLITZ TTEE	GOLD & SPECIAL MINERALS A	B	0.00	1.12	0.00	1.12	100.0	1.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3,252.62	\$0.00	\$3,252.62		\$3,252.62
*** BROKER TRANSACTIONS TOTAL ***					\$285,232.47	\$4,137.63	\$156.00	\$3,981.63		\$3,981.63

ADJUSTMENTS

01/31/2018		OTHER: OTHER		0.00	0.00	0.00	0.00			-1,800.00
01/31/2018		OTHER: OTHER-WEALTH MANAGEMENT STAT		0.00	0.00	0.00	0.00			-315.00
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$-2,115.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,981.63
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,115.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.17
SIPC Assessment	\$-10.34

Check Amount	\$1,851.12
Year-to-date Earnings	\$1,851.12