



Concorde Investment Services, LLC

06/29/2017
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COMMISSION STATEMENT

June 30, 2017

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
11617 Coldwater Road
Suite 103
Fort Wayne, IN 46845

BROKER #: L131
BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^06/15/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	44.56	0.00	44.56	90.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$44.56	\$0.00	\$44.56		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^06/15/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	0.00
^06/23/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	6.25	0.00	6.25	90.0	0.00
^06/19/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	18.75	0.00	18.75	90.0	0.00
^06/15/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	10.00	0.00	10.00	90.0	0.00
^06/19/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	18.75	0.00	18.75	90.0	0.00
^06/20/2017	LINN, ROSANNA	ASCENSUS 529-2034 ENROLLMENT PF CL	25.00	1.19	0.00	1.19	90.0	0.00
^06/14/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	50.00	2.38	0.00	2.38	90.0	0.00
^06/14/2017	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	2.38	0.00	2.38	90.0	0.00
^06/16/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^06/16/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^06/14/2017	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	50.00	1.63	0.00	1.63	90.0	0.00
^06/23/2017	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	50.00	1.63	0.00	1.63	90.0	0.00
^06/16/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.39	0.00	0.39	90.0	0.00
^06/16/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^06/20/2017	LINN, ROSANNA	ASCENSUS INA TIPS PORTFOLIO CL A	25.00	0.81	0.00	0.81	90.0	0.00
^06/16/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^06/16/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	1.83	0.00	1.83	90.0	0.00
^06/16/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^06/16/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,655.00	\$75.83	\$0.00	\$75.83		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$1,655.00	\$120.39	\$0.00	\$120.39		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
06/15/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.50	0.00	1.50	90.0	1.35
06/23/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	1.88	0.00	1.88	90.0	1.69
06/19/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	5.63	0.00	5.63	90.0	5.07
06/15/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.00	0.00	3.00	90.0	2.70
06/19/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	5.63	0.00	5.63	90.0	5.07
06/20/2017	LINN, ROSANNA	ASCENSUS 529-2034 ENROLLMENT PF CL	25.00	0.36	0.00	0.36	90.0	0.32
06/14/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	50.00	0.71	0.00	0.71	90.0	0.64
06/14/2017	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	0.71	0.00	0.71	90.0	0.64
06/16/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
06/16/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
06/14/2017	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	50.00	0.49	0.00	0.49	90.0	0.44
06/23/2017	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	50.00	0.49	0.00	0.49	90.0	0.44
06/16/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.12	0.00	0.12	90.0	0.11
06/16/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
06/20/2017	LINN, ROSANNA	ASCENSUS INA TIPS PORTFOLIO CL A	25.00	0.24	0.00	0.24	90.0	0.22
06/16/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
06/16/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.55	0.00	0.55	90.0	0.50
06/16/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
06/16/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
06/15/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.37	0.00	13.37	90.0	12.03
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,655.00	\$36.14	\$0.00	\$36.14		\$32.53
*** SPLIT TRANSACTIONS TOTAL ***			\$1,655.00	\$36.14	\$0.00	\$36.14		\$32.53

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$32.53
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$32.53
Year-to-date Gross	\$938.91
Year-to-date Earnings	\$750.35