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All Companies

BROKER #: M10 M15

BRANCH: HOME OFFICE

## **COMMISSION STATEMENT** July 31, 2018

EZRA GRAYMAN

7 EDGEWOOD AVE

R.F. Lafferty & Co., Inc.

SPRINGFIELD, NJ 07081

Est. 1946

### COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER	TRANS	ACTIONS					
PRODUCT CATEGORY: STOCKS								
06/28/2018 QGV006216 LION	BJS WHSL CLUB INC COM	S	-4,250.00	20.00	10.00	10.00	50.0	5.00
06/28/2018 QGV006216 LION	BRIGHTVIEW HLDGS INC COM	S	-10,625.00	20.00	10.00	10.00	50.0	5.00
06/28/2018 QGV006216 LION	BRIGHTVIEW HLDGS INC COM	S	-360,081.25	169.45	10.00	159.45	50.0	79.73
06/28/2018 QGV006216 LION	FORTY SEVEN INC COM	S	-15,010.00	20.00	10.00	10.00	50.0	5.00
06/28/2018 QGV006216 LION	FORTY SEVEN INC COM	S	-19,513.00	20.00	10.00	10.00	50.0	5.00
06/28/2018 QGV006216 LION	HL ACQUISITIONS CORP UNITS CONS	ISTI S	-60,300.00	60.00	10.00	50.00	50.0	25.00
06/28/2018 QGV006216 LION	KURA ONCOLOGY INC COM	S	-85,000.00	50.00	10.00	40.00	50.0	20.00
06/28/2018 QGV006216 LION	NEURONETICS INC COM	S	-57,500.00	23.00	10.00	13.00	50.0	6.50
06/28/2018 QGV006216 LION	NEW FRONTIER CORP UNIT 1 COM & 3	L WT S	-10,040.00	20.00	10.00	10.00	50.0	5.00
06/28/2018 QGV006216 LION	TRANSLATE BIO INC COM	S	-11,500.00	20.00	10.00	10.00	50.0	5.00
06/28/2018 QGV006216 LION	ZAFGEN INC COM	S	-43,890.00	55.00	10.00	45.00	50.0	22.50
06/29/2018 QGV006216 LION	DOMO INC CL B	S	-33,320.00	20.00	10.00	10.00	50.0	5.00
06/29/2018 QGV006216 LION	DOMO INC CL B	S	-8,330.00	20.00	10.00	10.00	50.0	5.00
06/29/2018 QGV006216 LION	PROFIRE ENERGY INC COM	S	-39,705.60	110.00	10.00	100.00	50.0	50.00
06/29/2018 QGV006216 LION	ZAFGEN INC COM	В	10,000.00	20.00	10.00	10.00	50.0	5.00
07/02/2018 QGV006216 LION	IBIO INC COM NEW	S	-23,500.00	100.00	10.00	90.00	50.0	45.00
07/02/2018 QGV006216 LION	VERITONE INC COM	S	-135,000.00	100.00	10.00	90.00	50.0	45.00
07/06/2018 QGV006216 LION	ADVANCED MICRO DEVICES INC	В	1,295,000.00	300.00	10.00	290.00	50.0	145.00
07/06/2018 QGV006216 LION	IBIO INC COM NEW	В	5,015.52	58.32	10.00	48.32	50.0	24.16
07/10/2018 QGV006216 LION	ADVANCED MICRO DEVICES INC	В	326,598.00	100.00	10.00	90.00	50.0	45.00
07/10/2018 QGV006216 LION	ELECTROCORE INC COM	В	37,059.88	26.00	10.00	16.00	50.0	8.00
07/10/2018 QGV006216 LION	HYRECAR INC COM	В	8,500.00	20.00	10.00	10.00	50.0	5.00
07/10/2018 QGV006216 LION	IBIO INC COM NEW	В	4,249.50	50.00	10.00	40.00	50.0	20.00
07/10/2018 QGV006216 LION	LOXO ONCOLOGY INC COM	В	353,500.00	100.00	10.00	90.00	50.0	45.00
07/10/2018 QGV006216 LION	MAMMOTH ENERGY SVCS INC COM	В	74,500.00	20.00	10.00	10.00	50.0	5.00
07/10/2018 QGV006216 LION	MAMMOTH ENERGY SVCS INC COM	S	-152,960.00	40.00	10.00	30.00	50.0	15.00
07/11/2018 QGV006216 LION	ADESTO TECHNOLOGIES CORP COM	S	-28,125.00	45.00	10.00	35.00	50.0	17.50
07/11/2018 QGV006216 LION	ARQULE INC COM	S	-64,396.20	110.00	10.00	100.00	50.0	50.00
07/11/2018 QGV006216 LION	ELECTROCORE INC COM	В	101,874.75	75.00	10.00	65.00	50.0	32.50
07/11/2018 QGV006216 LION	IBIO INC COM NEW	В	4,100.00	50.00	10.00	40.00	50.0	20.00
07/11/2018 QGV006216 LION	LOXO ONCOLOGY INC COM	S	-358,000.00	100.00	10.00	90.00	50.0	45.00

COMMISSION STATEMENT for EZRA GRAYMAN

### DEFOUNCT CATRORY: \$TOCKS  ### 27/21/2218 QUYOS218 LOSU  ### 27/21/218 QUYOS218 LOSU  ### 27/21/218 QUYOS218 QUYOS218 LOSU  ### 27/21/218 Q	TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT	GROSS	CLEARING	NET COMMISSION	ם אינים	BROKER
### PRODUCT CATEGORY: STOCKS  07.12/2018 GOVID06216 LIDIO ADMANCHED RICCORD BUYCERS INC S	TRADE DATE CHIENT	INVESTMENT 270	AMOUNI	COMMISSION	CHARGE	COMMISSION	KAIE	COMMISSION
### PRODUCT CATEGORY: STOCKS  07.12/2018 GOVID06216 LIDIO ADMANCHED RICCORD BUYCERS INC S		BROKER TRANS	SACTIONS					
07/13/2018   GAVORGETIE LILON	PRODUCT CATEGORY: STOCKS	<del></del>						
07/11/2018 GOVUNDELLE LIGHT ASSEMBLY ENGINEERING N		ACELRX PHARMACEUTICALS INC S	-7,500.00	25.00	10.00	15.00	50.0	7.50
07/13/2018 GRV006216 LICHO 07/13/2018 GRV006216	07/12/2018 QGV006216 LION							
07/12/2018 GWY006216 LICHM CACTUS INC CL A B 1,1,872.00 20.00 10.00 10.00 50.0 5.00 07/12/2018 GWY006216 LICHM MATERA INC COM B 2,2,001.00 20.00 10.00 10.00 50.0 5.00 07/12/2018 GWY006216 LICHM MATERA INC COM B 22,001.00 20.00 10.00 10.00 50.0 5.00 07/12/2018 GWY006216 LICHM MATERA INC COM B 2,2,001.00 20.00 10.00 10.00 50.0 5.00 07/11/2018 GWY006216 LICHM MATERA INC COM S -24,281.00 20.00 10.00 10.00 50.0 5.00 07/11/2018 GWY006216 LICHM MATERA INC COM S -24,281.00 20.00 10.00 10.00 50.0 5.00 07/11/2018 GWY006216 LICHM MATERA INC COM S -75,000.00 50.00 10.00 40.00 50.0 50.0 50/11/2018 GWY006216 LICHM MATERA INC COM S -75,000.00 50.00 10.00 40.00 50.0 50.0 50/11/2018 GWY006216 LICHM MATERA INC COM S -75,000.00 50.00 10.00 20.00 10.00 50.0 50.0 50/11/2018 GWY006216 LICHM ADVANCED INC COM S -75,000.00 50.00 10.00 20.00 50.00 50.0 50/11/2018 GWY006216 LICHM ADVANCED INC COM S -74,281.00 00 300.00 10.00 20.00 50.0 50.0 50/11/2018 GWY006216 LICHM RECTECORE INC COM S -74,281.00 00 300.00 10.00 20.00 50.0 50.0 50/11/2018 GWY006216 LICHM RECTECORE INC COM S -74,281.20 50.00 10.00 50.00 50.0 50.0 50/11/2018 GWY006216 LICHM RECTECORE INC COM S -74,281.20 50.00 10.00 50.00 50.0 50.0 50/11/2018 GWY006216 LICHM RECTECORE INC COM S -74,281.20 50.00 10.00 50.00 50.0 50.0 50.0 50/11/2018 GWY006216 LICHM RECTECORE INC COM S -74,281.20 50.00 10.00 50.00 50.0 50.0 50.0 50/11/2018 GWY006216 LICHM ADESTO TECHNOLOGIES CORP COM S -74,281.20 50.00 10.00 50.00 50.0 50.0 50/11/2018 GWY006216 LICHM ADESTO TECHNOLOGIES CORP COM S -74,059.20 43.66 10.00 10.00 50.0 50.0 50.0 50/11/2018 GWY006216 LICHM ADESTO TECHNOLOGIES CORP COM S -72,575.00 20.00 10.00 10.00 50.0 50.0 50/11/2018 GWY006216 LICHM ADESTO TECHNOLOGIES CORP COM S -72,575.00 20.00 10.00 10.00 50.0 50.0 50/11/2018 GWY006216 LICHM ADESTO TECHNOLOGIES CORP COM S -72,575.00 20.00 10.00 10.00 50.0 50.0 50/11/2018 GWY006216 LICHM ADESTO TECHNOLOGIES CORP COM S -72,575.00 20.00 10.00 10.00 50.0 50.0 50/11/2018 GWY006216 LICHM ADESTO TECHNOLOGIES CORP COM S -72,575.00 20.00 10.00 10.00	07/12/2018 QGV006216 LION	ASSEMBLY BIOSCIENCES INC COM S	-55,999.95	20.00	10.00	10.00	50.0	5.00
07/12/2018 QCV006216 LION MATERA INC COM 8 - 24,992.00 20.00 10.00 10.00 50.0 5.00 07/12/2018 QCV006216 LION MATERA INC COM 8 - 24,293.00 20.00 10.00 10.00 50.0 5.00 07/12/2018 QCV006216 LION CYTONK THERAPBUTICS INC COM 8 - 24,283.60 20.00 10.00 10.00 50.0 50.0 5.00 07/13/2018 QCV006216 LION CYTONK THERAPBUTICS INC COM 8 - 75,000.00 50.00 10.00 10.00 50.0 50.0 07/13/2018 QCV006216 LION MYDVANT SCIENCES INC COM 8 - 75,000.00 50.00 10.00 10.00 50.0 50.0 07/13/2018 QCV006216 LION MYDVANT SCIENCES INC COM 8 - 75,000.00 20.00 10.00 10.00 50.0 50.0 50.0 07/13/2018 QCV006216 LION MYDVANT SCIENCES INC COM 8 - 75,000.00 20.00 10.00 10.00 50.0 50.0 50.0 07/16/2018 QCV006216 LION MYDVANT SCIENCES INC COM 8 - 116,799.84 100.00 10.00 90.00 50.0 50.0 145.00 07/16/2018 QCV006216 LION ELECTROCOME INC COM 8 - 24,235.20 20.00 10.00 10.00 50.0 50.0 50.0 07/16/2018 QCV006216 LION ELECTROCOME INC COM 8 - 24,235.20 20.00 10.00 10.00 50.0 50.0 50.0 07/16/2018 QCV006216 LION HYBRORA INC COM 8 - 24,235.20 20.00 10.00 10.00 50.0 50.0 50.0 07/16/2018 QCV006216 LION HYBRORA INC COM 8 - 24,235.20 20.00 10.00 10.00 50.0 50.0 50.0 07/16/2018 QCV006216 LION ADSENT TEMBOLOGIES COMP COM 8 - 12,834.00 20.00 10.00 10.00 50.0 50.0 50.0 07/17/2018 QCV006216 LION ADSENT TEMBOLOGIES COMP COM 8 - 12,834.00 20.00 10.00 10.00 50.0 50.0 07/17/2018 QCV006216 LION ADSENT TEMBOLOGIES COMP COM 8 - 12,835.00 62.00 10.00 10.00 10.00 50.0 50.0 07/17/2018 QCV006216 LION ADSENT TEMBOLOGIES COMP COM 8 - 24,725.00 20.00 10.00 10.00 50.0 50.0 50.0 07/17/2018 QCV006216 LION ADSENT TEMBOLOGIES COMP COM 8 - 24,725.00 20.00 10.00 10.00 50.0 50.0 50.0 07/17/2018 QCV006216 LION ADSENT TEMBOLOGIES COMP COM 8 - 24,725.00 20.00 10.00 10.00 50.0 50.0 50.0 07/18/2018 QCV006216 LION ADSENT TEMBOLOGIES COMP COM 8 - 24,725.00 20.00 10.00 10.00 50.0 50.0 50.0 07/18/2018 QCV006216 LION ADSENT TEMBOLOGIES COMP COM 8 - 24,725.00 20.00 10.00 10.00 50.0 50.0 50.0 07/18/2018 QCV006216 LION ADSENT TEMBOLOGIES COMP COM 8 - 24,725.00 20.00 10.00 10.00 50.0 50.0 50.0 07/18/2018 QCV006216 LI	07/12/2018 QGV006216 LION	CACTUS INC CL A S	-17,185.00	20.00	10.00	10.00	50.0	5.00
07/12/2018 QGW006216 LION NATERA INC COM B 22,000.00 20.00 10.00 10.00 50.0 5.00 07/12/2018 GGW006216 LION NATERA INC COM S -22,750.00 20.00 10.00 10.00 50.0 5.00 07/12/2018 QGW006216 LION CYTOKX THERAPBUTICS INC COM S -24,283.60 20.00 10.00 10.00 50.0 5.00 07/13/2018 QGW006216 LION ELECTROCORE INC COM S -75,000.00 50.00 10.00 40.00 50.0 20.00 07/13/2018 QGW006216 LION MYOVANT SCIENCES LTD REGISTERED SHS S -6,900.00 20.00 10.00 10.00 50.0 50.0 07/13/2018 QGW006216 LION ADVANCED HICRO DEVICES INC B 1,338,400.00 300.00 10.00 290.00 50.0 145.00 07/16/2018 QGW006216 LION ELECTROCORE INC COM S -116,799.84 100.00 10.00 90.00 50.0 10.00 70.00 50.0 70/16/2018 QGW006216 LION ELECTROCORE INC COM B 24,232.80 70.00 10.00 60.00 50.0 50.0 70/16/2018 QGW006216 LION HYBECAR INC COM B 24,232.80 70.00 10.00 60.00 50.0 30.00 07/16/2018 QGW006216 LION HYBECAR INC COM B 4,000 50.00 50.00 10.00 60.00 50.0 30.00 07/16/2018 QGW006216 LION HYBECAR INC COM B 4,000 50.00 10.00 60.00 50.0 30.00 07/16/2018 QGW006216 LION ADBSTO TECHNOLOGIES CORP COM B 1,000 50.00 10.00 10.00 60.00 50.0 30.00 07/17/2018 QGW006216 LION ADBSTO TECHNOLOGIES CORP COM B 1,000 50.55.00 10.00 10.00 10.00 50.0 50.0	07/12/2018 QGV006216 LION	CACTUS INC CL A B	11,872.00	20.00	10.00	10.00	50.0	5.00
07/13/2018 QCV006216 LICN CTYOMA THERAFEUTICS INC COM S -22,750.00 20.00 10.00 10.00 50.0 5.00 07/13/2018 QCV006216 LICN CTYOMA THERAFEUTICS INC COM S -24,283.60 20.00 10.00 10.00 40.00 50.0 20.00 07/13/2018 QCV006216 LICN MOVANT SCIENCES LTD REDISTRED SHS S -56,000.00 20.00 10.00 10.00 40.00 50.0 20.00 07/13/2018 QCV006216 LICN MOVANT SCIENCES LTD REDISTRED SHS S -6,900.00 20.00 10.00 10.00 290.00 50.0 145.00 07/16/2018 QCV006216 LICN HIGHER CORP LECTROCORE INC COM S -116,799.84 100.00 10.00 99.00 50.0 45.00 07/16/2018 QCV006216 LICN HIGHER COM S -14,232.80 70.00 10.00 10.00 99.00 50.0 30.0 07/16/2018 QCV006216 LICN HIGHER COM S -14,232.80 70.00 10.00 10.00 90.00 50.0 30.0 07/16/2018 QCV006216 LICN HIGHER COM S -44,232.80 70.00 10.00 40.00 50.0 30.00 07/16/2018 QCV006216 LICN HIGHER COM S -44,232.80 70.00 10.00 40.00 50.0 20.00 07/16/2018 QCV006216 LICN HIGHER COM S -44,232.80 70.00 10.00 40.00 50.0 30.00 07/16/2018 QCV006216 LICN HIGHER COM S -44,232.80 70.00 10.00 40.00 50.0 30.00 07/16/2018 QCV006216 LICN ALBESTO TECHNOLOGIES COMP COM S -40,535.87 200.00 10.00 40.00 50.0 20.00 07/17/2018 QCV006216 LICN ALBESTO TECHNOLOGIES COMP COM S -160,535.87 200.00 10.00 1190.00 50.0 50.0 07/17/2018 QCV006216 LICN ALBESTO TECHNOLOGIES COMP COM S -25,275.00 20.00 10.00 10.00 190.00 50.0 50.0 07/18/2018 QCV006216 LICN ALBESTO TECHNOLOGIES COMP COM S -25,275.00 20.00 10.00 10.00 240.00 50.0 50.0 07/18/2018 QCV006216 LICN ALBESTO TECHNOLOGIES COMP COM S -25,275.00 20.00 10.00 10.00 240.00 50.0 50.0 07/18/2018 QCV006216 LICN ALBESTO TECHNOLOGIES COMP COM S -25,5275.00 20.00 10.00 10.00 33.66 50.0 07/18/2018 QCV006216 LICN ALBESTO TECHNOLOGIES COMP COM S -27,093.20 43.66 10.00 33.66 50.0 50.0 07/18/2018 QCV006216 LICN ALBESTO TECHNOLOGIES COMP COM S -27,093.20 43.66 10.00 10.00 50.0 50.0 50.0 07/18/2018 QCV006216 LICN ALBESTO TECHNOLOGIES COMP COM S -27,093.00 20.00 10.00 10.00 50.0 50.0 50.0 07/18/2018 QCV006216 LICN ALBESTO TECHNOLOGIES COMP COM S -27,093.00 20.00 10.00 10.00 50.0 50.0 50.0 07/18/2018 QCV006216 LICN ALBESTO	07/12/2018 QGV006216 LION	CACTUS INC CL A S	-54,992.00	20.00	10.00	10.00	50.0	5.00
07/13/2018 QCY006216 LICN COM S -22,750.00 20.00 10.00 10.00 50.0 5.00 07/13/2018 QCY006216 LICN CYTONK THERAPEUTICS INC COM S -24,283.60 20.00 10.00 10.00 50.0 50.0 07/13/2018 QCY006216 LICN ELECTROCOME INC COM S -75,000.00 50.00 10.00 10.00 50.0 50.0 07/13/2018 QCY006216 LICN MICVART SCIENCES LITD REGISTREED SHS S -56,000.00 20.00 10.00 10.00 50.0 50.0 50.0 07/16/2018 QCY006216 LICN MICVART SCIENCES LITD REGISTREED SHS S -6,000.00 20.00 10.00 10.00 50.0 50.0 50.0 07/16/2018 QCY006216 LICN ELECTROCORE INC COM S -116,799.84 100.00 10.00 90.00 50.0 45.00 07/16/2018 QCY006216 LICN ELECTROCORE INC COM B 24,236.20 20.00 10.00 10.00 90.00 50.0 45.00 07/16/2018 QCY006216 LICN HITRLEAR INC COM B 24,236.20 20.00 10.00 10.00 90.00 50.0 50.0 07/16/2018 QCY006216 LICN HITRLEAR INC COM B 24,236.20 20.00 10.00 10.00 60.00 50.0 20.00 07/16/2018 QCY006216 LICN HITRLEAR INC COM B 24,236.20 70.00 10.00 10.00 60.00 50.0 20.00 07/16/2018 QCY006216 LICN HITRLEAR INC COM NEW B 12,842.10 22.53 10.00 10.00 40.00 50.0 20.00 07/17/2018 QCY006216 LICN ADSETD TECHNOLOGIES CORP COM B 12,842.10 22.53 10.00 12.00 12.00 12.00 07/17/2018 QCY006216 LICN ADSETD TECHNOLOGIES CORP COM S 1-60,535.87 200.00 10.00 12.00 50.0 50.0 07/17/2018 QCY006216 LICN ADVANCED MICRO DEVICES INC B 1,003.980.00 250.0 10.00 10.00 240.00 50.0 50.0 07/18/2018 QCY006216 LICN ADVANCED MICRO DEVICES INC B 1,003.980.00 250.0 10.00 10.00 50.0 50.0 07/18/2018 QCY006216 LICN ADVANCED MICRO DEVICES INC B 666,200.00 20.00 10.00 10.00 50.0 50.0 07/18/2018 QCY006216 LICN ADVANCED MICRO DEVICES INC B 666,200.00 20.00 10.00 10.00 50.0 50.0 50.0 07/18/2018 QCY006216 LICN ADVANCED MICRO DEVICES INC B 666,200.00 20.00 10.00 10.00 50.0 50.0 50.0 07/18/2018 QCY006216 LICN COMPAN COM	-							
97/13/2018 QCV006216 LICN	-							
07/13/2018 QCV006216 LION ELECTROCORE INC COM S -75,000.00 50.00 10.00 40.00 50.0 20.00 07/13/2018 QCV006216 LION MYCVART SCIENCES LITD REDISTERED SHS S -6,090.00 20.00 10.00 10.00 50.0 50.0 3.00 07/16/2018 QCV006216 LION ELECTROCORE INC COM S -116,799.84 100.00 10.00 50.0 50.0 45.00 07/16/2018 QCV006216 LION ELECTROCORE INC COM B 24,236.20 20.00 10.00 10.00 50.0 50.0 45.00 07/16/2018 QCV006216 LION ELECTROCORE INC COM B 24,236.20 20.00 10.00 10.00 50.0 50.0 30.00 07/16/2018 QCV006216 LION HYRECER INC COM B 3-44,232.80 70.00 10.00 60.00 50.0 50.0 07/16/2018 QCV006216 LION HYRECER INC COM B 4,000.00 50.00 10.00 60.00 50.0 50.0 07/16/2018 QCV006216 LION BID INC COM NEN B 14,000.00 50.00 10.00 40.00 50.0 50.0 07/16/2018 QCV006216 LION ADESTO TECHNOLOGIES CORP COM B 12,421.0 22.53 10.00 12.53 50.0 6.77 07/17/2018 QCV006216 LION ADESTO TECHNOLOGIES CORP COM B 10,03,998.00 10.00 10.00 10.00 50.0 50.0 95.00 07/17/2018 QCV006216 LION ADESTO TECHNOLOGIES CORP COM S -160,535.87 200.00 10.00 10.00 50.0 50.0 95.00 07/17/2018 QCV006216 LION ADESTO TECHNOLOGIES CORP COM S -25,75.00 20.00 10.00 10.00 50.0 50.0 10.00 07/18/2018 QCV006216 LION ADESTO TECHNOLOGIES CORP COM S -25,75.00 20.00 10.00 10.00 50.0 50.0 10.00 07/18/2018 QCV006216 LION ADESTO TECHNOLOGIES CORP COM S -27,069.20 43.66 10.00 33.66 50.0 15.00 07/18/2018 QCV006216 LION ADESTO TECHNOLOGIES CORP COM S -27,069.20 43.66 10.00 33.66 50.0 15.00 07/18/2018 QCV006216 LION ADESTO TECHNOLOGIES CORP COM S -20,069.20 43.66 10.00 33.66 50.0 15.00 07/18/2018 QCV006216 LION ADESTO TECHNOLOGIES CORP COM S -20,069.20 43.66 10.00 33.66 50.0 15.00 07/18/2018 QCV006216 LION ADESTO TECHNOLOGIES CORP COM S -20,069.20 43.66 10.00 33.66 50.0 15.00 07/18/2018 QCV006216 LION CONSTAL FIRL CORP WA COM NEW S -16,550.00 20.00 10.00 10.00 50.0 50.0 50.0 07/18/2018 QCV006216 LION CONSTAL FIRL CORP WA COM NEW S -16,550.00 20.00 10.00 10.00 50.0 50.0 50.0 07/18/2018 QCV006216 LION CONSTAL FIRL CORP WA COM NEW S -24,800.00 10.00 10.00 50.0 50.0 50.0 07/18/2018 QCV006216 LION CONSTAL FIRL CORP	-							
07/13/2018 QGYU006216 LION AUVANCE MICRO DEVICES INC B 1,338,400.00 20.00 10.00 290.00 50.0 145.00 07/16/2018 QGYU006216 LION ELECTROCORE INC COM S -1.16,799.84 100.00 10.00 990.00 50.0 45.00 07/16/2018 QGYU006216 LION ELECTROCORE INC COM B 24,236.20 20.00 10.00 10.00 990.00 50.0 45.00 07/16/2018 QGYU006216 LION HYEKCAR INC COM B 24,236.20 20.00 10.00 10.00 60.00 50.0 50.0 07/16/2018 QGYU006216 LION HYEKCAR INC COM B 24,236.20 20.00 10.00 10.00 60.00 50.0 50.0 07/16/2018 QGYU006216 LION HYEKCAR INC COM B 24,236.20 20.00 10.00 10.00 60.00 50.0 07/16/2018 QGYU006216 LION HYEKCAR INC COM B 12,842.10 22.53 10.00 12.00 60.00 50.0 07/17/2018 QGYU006216 LION ADESTO TECHNOLOGIES CORP COM B 12,842.10 22.53 10.00 12.00 120.00 07/17/2018 QGYU006216 LION ADESTO TECHNOLOGIES CORP COM S -1.60,53.87 200.00 10.00 190.00 50.0 50.0 07/17/2018 QGYU006216 LION ADESTO TECHNOLOGIES CORP COM S -1.60,53.87 200.00 10.00 190.00 50.0 50.0 07/17/2018 QGYU006216 LION ADESTO TECHNOLOGIES CORP COM S -25.27.00 20.00 10.00 10.00 10.00 50.0 50.0 07/17/2018 QGYU006216 LION ADESTO TECHNOLOGIES CORP COM S -25.27.00 20.00 10.00 10.00 10.00 50.0 50.0 07/18/2018 QGYU006216 LION ADESTO TECHNOLOGIES CORP COM S -25.27.00 20.00 10.00 10.00 50.0 50.0 07/18/2018 QGYU006216 LION ADESTO TECHNOLOGIES CORP COM S -25.27.00 20.00 10.00 10.00 50.0 50.0 07/18/2018 QGYU006216 LION ADESTO TECHNOLOGIES CORP COM S -25.27.00 20.00 10.00 10.00 50.0 50.0 07/18/2018 QGYU006216 LION ADESTO TECHNOLOGIES CORP COM S -25.27.00 20.00 10.00 10.00 50.0 50.0 07/18/2018 QGYU006216 LION ADESTO TECHNOLOGIES CORP COM S -25.27.00 20.00 10.00 10.00 50.0 50.0 50.0 07/18/2018 QGYU006216 LION ADESTO TECHNOLOGIES CORP COM S -25.27.00 20.00 10.00 10.00 50.0 50.0 50.0 07/18/2018 QGYU006216 LION ADESTO TECHNOLOGIES CORP COM S -25.27.00 20.00 10.00 10.00 50.0 50.0 50.0 07/18/2018 QGYU006216 LION CRINETICS PHARMACEUTICALS INC COM S -25.40 20.00 10.00 10.00 50.0 50.0 50.0 07/18/2018 QGYU006216 LION ROMAN COMPAN S -25.40 20.00 10.00 10.00 50.0 50.0 50.0 07/18/2018 QGYU006216 LION ROMAN COMPAN S -2	-							
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07/16/2018 QSV006216 LION	-		•					
07/16/2018 QSV006216 LION ADESTO TECHNOLOGIES CORP COM B 12,842.10 22.53 10.00 12.53 50.0 6.27 07/17/2018 QSV006216 LION ADESTO TECHNOLOGIES CORP COM B 12,842.10 22.53 10.00 12.53 50.0 6.27 07/17/2018 QSV006216 LION ADESTO TECHNOLOGIES CORP COM S -160,535.87 200.00 10.00 190.00 50.0 95.00 07/17/2018 QSV006216 LION ADVANCED MICRO DEVICES INC B 1,003,998.00 250.00 10.00 240.00 50.0 120.00 07/17/2018 QSV006216 LION ELECTROCORE INC COM S -22,275.00 20.00 10.00 10.00 33.66 50.0 50.0 07/18/2018 QSV006216 LION ADVANCED MICRO DEVICES INC B 666,200.00 200.00 10.00 10.00 50.0 50.0 07/18/2018 QSV006216 LION ADVANCED MICRO DEVICES INC B 666,200.00 200.00 10.00 10.00 50.0 50.0 07/18/2018 QSV006216 LION ADVANCED MICRO DEVICES INC B 666,200.00 200.00 10.00 10.00 50.0 50.0 07/18/2018 QSV006216 LION COASTAL FINL CORP MA COM NEN S -16,250.00 20.00 10.00 10.00 50.0 50.0 07/18/2018 QSV006216 LION CRINETICS PHARMACEUTICALS INC COM S -20,981.00 20.00 10.00 10.00 50.0 50.0 07/18/2018 QSV006216 LION CRINETICS PHARMACEUTICALS INC COM S -248,808.00 100.00 10.00 10.00 50.0 50.0 07/18/2018 QSV006216 LION BIDIO INC COM NEW S -5,468.32 62.14 10.00 52.14 50.0 26.07 07/18/2018 QSV006216 LION IBIO INC COM NEW S -5,468.32 62.14 10.00 52.14 50.0 26.07 07/18/2018 QSV006216 LION RUMBLEON INC CL B B 8.432.80 20.00 10.00 10.00 50.0 50.0 07/18/2018 QSV006216 LION RUMBLEON INC CL B B 8.432.80 20.00 10.00 10.00 50.0 50.0 07/18/2018 QSV006216 LION RUMBLEON INC CL B S 8.432.80 20.00 10.00 10.00 50.0 50.0 07/18/2018 QSV006216 LION SPERO THERAPEUTICS INC COM S -21,132.18 20.00 10.00 10.00 50.0 50.0 07/19/2018 QSV006216 LION CARA THERAPEUTICS INC COM S -24,700.00 20.00 10.00 10.00 50.0 50.0 07/19/2018 QSV006216 LION CARA THERAPEUTICS INC COM S -24,700.00 20.00 10.00 10.00 50.0 50.0 07/19/2018 QSV006216 LION CONSTELLATION PHARMACEUTICALS INC C B 130,925.20 115.00 10.00 10.00 50.0 50.0 07/19/2018 QSV006216 LION CONSTELLATION PHARMACEUTICALS INC C B -132,251.15 115.00 10.00 50.0 50.0 50.0 07/19/2018 QSV006216 LION MAMMOTH EMERGY SVCS INC COM B 110,850.00 30.00	_							
07/17/2018 GGV006216 LION ADESTO TECHNOLOGIES CORP COM B 12,842.10 22.53 10.00 12.53 50.0 6.27 07/17/2018 GGV006216 LION ADESTO TECHNOLOGIES CORP COM S -160,535.87 200.00 10.00 190.00 50.0 95.00 07/17/2018 GGV006216 LION ADVANCEM NICRO DEVICES INC B 1,003,999.00 250.00 10.00 240.00 50.0 120.00 07/17/2018 GGV006216 LION ELECTROCORE INC COM S -25,275.00 20.00 10.00 10.00 33.66 50.0 16.83 07/18/2018 GGV006216 LION ADESTO TECHNOLOGIES CORP COM S -27,069.20 43.66 10.00 33.66 50.0 16.83 07/18/2018 GGV006216 LION ADESTO TECHNOLOGIES CORP COM S -27,069.20 43.66 10.00 33.66 50.0 16.83 07/18/2018 GGV006216 LION ADVANCED MICRO DEVICES INC B 666,200.00 20.00 10.00 190.00 50.0 95.00 07/18/2018 GGV006216 LION COASTAL FINL CORP WA COM NEW S -16,250.00 20.00 10.00 10.00 50.0 50.0 07/18/2018 GGV006216 LION CRINETICS PHARMACEUTICALS INC COM S -20,981.00 20.00 10.00 10.00 50.0 50.0 07/18/2018 GGV006216 LION ENERGY TRANSFER PARTNERS LP NEW CUM S -248,808.00 100.00 10.00 90.00 50.0 45.00 07/18/2018 GGV006216 LION BIETO INC COM NEW S -5,468.32 62.14 10.00 52.14 50.0 26.07 07/18/2018 GGV006216 LION NANOSTRING TECHNOLOGIES INC S -12,001.40 20.00 10.00 10.00 50.0 50.0 07/18/2018 GGV006216 LION RUMBLEON INC CL B B B 8,432.80 20.00 10.00 10.00 50.0 50.0 07/18/2018 GGV006216 LION RUMBLEON INC CL B S 8,432.80 20.00 10.00 10.00 50.0 50.0 07/18/2018 GGV006216 LION RUMBLEON INC CL B S -6,200.00 20.00 10.00 10.00 50.0 50.0 07/19/2018 GGV006216 LION RUMBLEON INC CL B S -6,200.00 20.00 10.00 10.00 50.0 50.0 07/19/2018 GGV006216 LION SPERO THERAPEUTICS INC COM S -21,132.18 20.00 10.00 10.00 50.0 50.0 07/19/2018 GGV006216 LION CARA THERAPEUTICS INC COM S -21,132.18 20.00 10.00 10.00 50.0 50.0 07/19/2018 GGV006216 LION CARA THERAPEUTICS INC COM S -24,700.0 20.00 10.00 10.00 50.0 50.0 07/19/2018 GGV006216 LION CARA THERAPEUTICS INC COM S -24,700.0 20.00 10.00 10.00 50.0 50.0 50.0 07/19/2018 GGV006216 LION CARA THERAPEUTICS INC COM S -24,700.0 20.00 10.00 10.00 50.0 50.0 50.0 07/19/2018 GGV006216 LION CARA THERAPEUTICS INC COM S -24,700.0 20.00 10.00	-							
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07/19/2018 QGV006216 LION       EVOLUS INC COM       S       -129,187.50       65.00       10.00       55.00       50.0       27.50         07/19/2018 QGV006216 LION       MAMMOTH ENERGY SVCS INC COM       B       110,850.00       30.00       10.00       20.00       50.0       10.00         07/19/2018 QGV006216 LION       PLYMOUTH INDL REIT INC COM       S       -61,105.20       40.00       10.00       30.00       50.0       15.00         07/19/2018 QGV006216 LION       PLYMOUTH INDL REIT INC COM       B       30,600.00       20.00       10.00       10.00       50.0       5.00	-							
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07/19/2018 QGV006216 LION REDFIN CORP COM S -7,350.00 20.00 10.00 50.0 5.00								
	07/19/2018 QGV006216 LION	REDFIN CORP COM S	-7,350.00	20.00	10.00	10.00	50.0	5.00

B/S

INVESTMENT

AMOUNT

GROSS

COMMISSION

CLEARING

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NET

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3

BROKER

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### COMMISSION STATEMENT for EZRA GRAYMAN

INVESTMENT

TRADE DATE

CLIENT

	BROKER TRANS.	ACTIONS					
PRODUCT CATEGORY: STOCKS		<del></del> -					
07/19/2018 QGV006216 LION	RUMBLEON INC CL B S	-8,394.95	20.00	10.00	10.00	50.0	5.00
07/19/2018 QGV006216 LION	SPORTSMANS WHSE HLDGS INC COM S	-149,352.00	300.00	10.00	290.00	50.0	145.00
07/19/2018 QGV006216 LION	TILRAY INC COM S	-901,000.00	400.00	10.00	390.00	50.0	195.00
07/19/2018 QGV006216 LION	TILRAY INC COM B	207,500.00	100.00	10.00	90.00	50.0	45.00
07/20/2018 QGV006216 LION	ADVANCED MICRO DEVICES INC B	922,234.50	100.00	10.00	90.00	50.0	45.00
07/20/2018 QGV006216 LION	MAMMOTH ENERGY SVCS INC COM S	-352,532.90	150.00	10.00	140.00	50.0	70.00
07/20/2018 QGV006216 LION	MANHATTAN BRDG CAP INC COM S	-10,513.65	20.00	10.00	10.00	50.0	5.00
07/20/2018 QGV006216 LION	REPLIMUNE GROUP INC COM S	-16,000.00	20.00	10.00	10.00	50.0	5.00
07/20/2018 QGV006216 LION	ZYNERBA PHARMACEUTICALS INC COM S	-19,676.50	25.00	10.00	15.00	50.0	7.50
07/23/2018 QGV006216 LION	ADVANCED MICRO DEVICES INC B	816,090.00	200.00	10.00	190.00	50.0	95.00
07/23/2018 QGV006216 LION	MAMMOTH ENERGY SVCS INC COM B	37,250.00	20.00	10.00	10.00	50.0	5.00
07/24/2018 QGV006216 LION	ADVANCED MICRO DEVICES INC B	499,620.00	100.00	10.00	90.00	50.0	45.00
07/24/2018 QGV006216 LION	BEASLEY BROADCAST GROUP INC CL A S	-2,685.00	20.00	10.00	10.00	50.0	5.00
07/24/2018 QGV006216 LION	BEASLEY BROADCAST GROUP INC CL A S	-37,000.00	50.00	10.00	40.00	50.0	20.00
07/24/2018 QGV006216 LION	IBIO INC COM NEW S	-4,400.00	50.00	10.00	40.00	50.0	20.00
07/24/2018 QGV006216 LION	MATERIALISE NV SPONSORED ADS REPSTG S	-137,129.08	104.50	10.00	94.50	50.0	47.25
07/24/2018 QGV006216 LION	TELADOC INC COM B	131,000.00	20.00	10.00	10.00	50.0	5.00
07/24/2018 QGV006216 LION	TELADOC INC COM S	-127,000.00	20.00	10.00	10.00	50.0	5.00
07/25/2018 QGV006216 LION	ADVANCED MICRO DEVICES INC B	966,066.00	300.00	10.00	290.00	50.0	145.00
07/25/2018 QGV006216 LION	AQUESTIVE THERAPEUTICS INC COM B	7,500.00	0.00	10.00	-10.00	50.0	-5.00
07/25/2018 QGV006216 LION	AQUESTIVE THERAPEUTICS INC COM S	-101,587.50	67.50	10.00	57.50	50.0	28.75
07/25/2018 QGV006216 LION	BEASLEY BROADCAST GROUP INC CL A S	-73,000.00	100.00	10.00	90.00	50.0	45.00
07/25/2018 QGV006216 LION	BLUEBIRD BIO INC COM S	-165,000.00	20.00	10.00	10.00	50.0	5.00
07/25/2018 QGV006216 LION	IBIO INC COM NEW S	-9,135.28	103.81	10.00	93.81	50.0	46.91
07/25/2018 QGV006216 LION	REATA PHARMACEUTICALS INC CL A S	-263,086.56	68.00	10.00	58.00	50.0	29.00
07/25/2018 QGV006216 LION	REATA PHARMACEUTICALS INC CL A B	147,500.00	40.00	10.00	30.00	50.0	15.00
07/26/2018 QGV006216 LION	ADVANCED MICRO DEVICES INC B	705,900.00	250.00	10.00	240.00	50.0	120.00
07/26/2018 QGV006216 LION	BEASLEY BROADCAST GROUP INC CL A B	33,612.15	47.01	10.00	37.01	50.0	18.51
07/26/2018 QGV006216 LION	BEASLEY BROADCAST GROUP INC CL A S	-36,500.00	50.00	10.00	40.00	50.0	20.00
07/26/2018 QGV006216 LION	BERRY PETE CORP COM B	105,000.00	0.00	10.00	-10.00	50.0	-5.00
07/26/2018 QGV006216 LION	BERRY PETE CORP COM S	-98,082.00	75.00	10.00	65.00	50.0	32.50
07/26/2018 QGV006216 LION	BERRY PETE CORP COM S	-19,616.40	20.00	10.00	10.00	50.0	5.00
07/26/2018 QGV006216 LION	FOCUS FINL PARTNERS INC CL A COM S	-28,720.00	20.00	10.00	10.00	50.0	5.00
07/26/2018 QGV006216 LION	IBIO INC COM NEW S	-6,654.94	75.47	10.00	65.47	50.0	32.74
07/26/2018 QGV006216 LION	LIQUIDIA TECHNOLOGIES INC COM S	-3,112.50	20.00	10.00	10.00	50.0	5.00
07/26/2018 QGV006216 LION	MERIT MEDICAL SYS INC S	-273,855.50	50.00	10.00	40.00	50.0	20.00
07/26/2018 QGV006216 LION	NATIONAL VISION HLDGS INC COM B	78,499.00	20.00	10.00	10.00	50.0	5.00
07/26/2018 QGV006216 LION	NATIONAL VISION HLDGS INC COM S	-318,526.40	80.00	10.00	70.00	50.0	35.00
07/26/2018 QGV006216 LION	PINDUODUO INC SPONS ADR ISIN#US7223 S	-556,500.00	210.00	10.00	200.00	50.0	100.00
07/26/2018 QGV006216 LION	PINDUODUO INC SPONS ADR ISIN#US7223 S	-219,950.00	83.00	10.00	73.00	50.0	36.50

### COMMISSION STATEMENT for EZRA GRAYMAN

INVESTMENT

GROSS

CLEARING

NET

BROKER

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BRO	OKER TRANSA	ACTIONS					
PRODUCT CAT	regory: stocks								
07/26/2018 Q	GV006216 LION	PINDUODUO INC SPONS ADR I	SIN#US7223 B	391,953.00	150.00	10.00	140.00	50.0	70.00
07/26/2018 Q	GV006216 LION	SAVARA INC COMMON STOCK	S	-11,500.00	20.00	10.00	10.00	50.0	5.00
07/26/2018 Q	GV006216 LION	TENABLE HLDGS INC COM	S	-3,300.00	20.00	10.00	10.00	50.0	5.00
07/26/2018 Q	GV006216 LION	VERACYTE INC	S	-63,750.00	60.00	10.00	50.00	50.0	25.00
07/26/2018 Q	GV006216 LION	WILLSCOT CORP COM	S	-1,675.17	20.00	10.00	10.00	50.0	5.00
07/26/2018 Q	GV006216 LION	WILLSCOT CORP COM	S	-23,452.38	20.00	10.00	10.00	50.0	5.00
07/27/2018 Q	GV006216 LION	ADVANCED MICRO DEVICES IN	В В	482,485.00	100.00	10.00	90.00	50.0	45.00
07/27/2018 Q	GV006216 LION	ADVANCED MICRO DEVICES IN	В В	961,230.00	200.00	10.00	190.00	50.0	95.00
07/27/2018 Q	GV006216 LION	CONTRAFECT CORP COM	S	-34,025.50	170.00	10.00	160.00	50.0	80.00
07/27/2018 Q	GV006216 LION	CONTRAFECT CORP COM	В	9,000.00	50.00	10.00	40.00	50.0	20.00
07/27/2018 Q	GV006216 LION	ENDAVA PLC ADR ISIN#US292	60V1052 S	-5,000.00	20.00	10.00	10.00	50.0	5.00
07/27/2018 Q	GV006216 LION	ENDAVA PLC ADR ISIN#US292	60V1052 S	-2,500.00	20.00	10.00	10.00	50.0	5.00
07/27/2018 Q	GV006216 LION	NABRIVA THERAPEUTICS PLC	REGISTERED S	-25,750.00	100.00	10.00	90.00	50.0	45.00
07/27/2018 Q	GV006216 LION	NABRIVA THERAPEUTICS PLC	REGISTERED B	12,200.00	50.00	10.00	40.00	50.0	20.00
07/27/2018 Q	GV006216 LION	NOODLES & CO COM CL A	S	-298,503.00	150.00	10.00	140.00	50.0	70.00
07/27/2018 Q	GV006216 LION	NOODLES & CO COM CL A	В	196,000.00	100.00	10.00	90.00	50.0	45.00
06/28/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, BJS WHSL CLUB INC COM	S	-12,750.00	20.00	10.00	10.00	50.0	5.00
06/28/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, BJS WHSL CLUB INC COM	S	-17,000.00	20.00	10.00	10.00	50.0	5.00
06/28/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, BRIGHTVIEW HLDGS INC COM	S	-44,625.00	21.00	10.00	11.00	50.0	5.50
06/28/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, EVERQUOTE INC CL A COM	S	-2,059.00	20.00	10.00	10.00	50.0	5.00
06/28/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, HL ACQUISITIONS CORP UNIT	S CONSISTI S	-10,050.00	20.00	10.00	10.00	50.0	5.00
06/28/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, KURA ONCOLOGY INC COM	S	-5,100.00	20.00	10.00	10.00	50.0	5.00
06/28/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, NEURONETICS INC COM	S	-25,000.00	20.00	10.00	10.00	50.0	5.00
06/28/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, NEW FRONTIER CORP UNIT 1	COM & 1 WTS	-5,020.00	20.00	10.00	10.00	50.0	5.00
06/28/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, ZAFGEN INC COM	S	-82,194.00	103.00	10.00	93.00	50.0	46.50
06/29/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, DOMO INC CL B	S	-11,900.00	20.00	10.00	10.00	50.0	5.00
06/29/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, PROFIRE ENERGY INC COM	S	-1,443.84	20.00	10.00	10.00	50.0	5.00
07/10/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, NEON THERAPEUTICS INC COM	В	7,410.00	20.00	10.00	10.00	50.0	5.00
07/11/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, ADESTO TECHNOLOGIES CORP	COM S	-96,875.00	100.00	10.00	90.00	50.0	45.00
07/11/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, ADVANCED MICRO DEVICES IN	c s	-164,500.00	100.00	10.00	90.00	50.0	45.00
07/11/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, ADVANCED MICRO DEVICES IN	С В	80,500.00	50.00	10.00	40.00	50.0	20.00
07/11/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, ARQULE INC COM	S	-5,854.20	20.00	10.00	10.00	50.0	5.00
07/11/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, NATIONAL STORAGE AFFILIAT	ES TR COM S	-30,200.00	20.00	10.00	10.00	50.0	5.00
07/12/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, ACELRX PHARMACEUTICALS IN	c s	-10,500.00	35.00	10.00	25.00	50.0	12.50
07/12/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, ACELRX PHARMACEUTICALS IN	c s	-1,500.00	20.00	10.00	10.00	50.0	5.00
07/12/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, CACTUS INC CL A	S	-13,748.00	20.00	10.00	10.00	50.0	5.00
07/12/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, FORTY SEVEN INC COM	S	-4,600.41	20.00	10.00	10.00	50.0	5.00
07/13/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, CYTOMX THERAPEUTICS INC CO	OM S	-36,425.40	20.00	10.00	10.00	50.0	5.00
07/13/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, MYOVANT SCIENCES LTD REGIS	STERED SHS S	-9,200.00	20.00	10.00	10.00	50.0	5.00
07/16/2018 Q	GV006224 DAVIS FAMI	LY OFFICE, MAMMOTH ENERGY SVCS INC C	OM S	-108,000.00	60.00	10.00	50.00	50.0	25.00

INVESTMENT

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### COMMISSION STATEMENT for EZRA GRAYMAN

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INVESTMENT

AMOUNT

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BROKER

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BROKER TRANS	ACTIONS					
PRODUCT CATEGORY: STOCKS						
07/16/2018 QGV006224 DAVIS FAMILY OFFICE, MAMMOTH ENERGY SVCS INC COM B	106,250.10	60.00	10.00	50.00	50.0	25.00
07/16/2018 QGV006224 DAVIS FAMILY OFFICE, NEON THERAPEUTICS INC COM B	3,159.87	20.00	10.00	10.00	50.0	5.00
07/17/2018 QGV006224 DAVIS FAMILY OFFICE, NEON THERAPEUTICS INC COM B	23,993.12	22.14	10.00	12.14	50.0	6.07
07/18/2018 QGV006224 DAVIS FAMILY OFFICE, COASTAL FINL CORP WA COM NEW S	-6,500.00	20.00	10.00	10.00	50.0	5.00
07/18/2018 QGV006224 DAVIS FAMILY OFFICE, ENERGY TRANSFER PARTNERS LP NEW CUM S	-124,404.00	50.00	10.00	40.00	50.0	20.00
07/18/2018 QGV006224 DAVIS FAMILY OFFICE, NANOSTRING TECHNOLOGIES INC S	-79,209.24	66.00	10.00	56.00	50.0	28.00
07/18/2018 QGV006224 DAVIS FAMILY OFFICE, NANOSTRING TECHNOLOGIES INC	71,200.20	60.00	10.00	50.00	50.0	25.00
07/18/2018 QGV006224 DAVIS FAMILY OFFICE, NEON THERAPEUTICS INC COM B	5,575.00	20.00	10.00	10.00	50.0	5.00
07/18/2018 QGV006224 DAVIS FAMILY OFFICE, SPERO THERAPEUTICS INC COM S	-10,566.09	20.00	10.00	10.00	50.0	5.00
07/19/2018 QGV006224 DAVIS FAMILY OFFICE, BANK AMER CORP DEP SHS REPSTG 1/100 S	-125,450.00	50.00	10.00	40.00	50.0	20.00
07/19/2018 QGV006224 DAVIS FAMILY OFFICE, BANK AMER CORP DEP SHS REPSTG 1/100 S	-62,725.00	25.00	10.00	15.00	50.0	7.50
07/19/2018 QGV006224 DAVIS FAMILY OFFICE, CARA THERAPEUTICS INC COM S	-2,375.00	20.00	10.00	10.00	50.0	5.00
07/19/2018 QGV006224 DAVIS FAMILY OFFICE, CARBONITE INC COM S	-45,685.68	20.00	10.00	10.00	50.0	5.00
07/19/2018 QGV006224 DAVIS FAMILY OFFICE, EVOLUS INC COM	-29,812.50	20.00	10.00	10.00	50.0	5.00
07/19/2018 QGV006224 DAVIS FAMILY OFFICE, REDFIN CORP COM	-10,412.50	20.00	10.00	10.00	50.0	5.00
07/20/2018 QGV006224 DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC S	-500,226.00	150.00	10.00	140.00	50.0	70.0
07/20/2018 QGV006224 DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	503,037.00	150.00	10.00	140.00	50.0	70.0
07/20/2018 QGV006224 DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	419,197.50	100.00	10.00	90.00	50.0	45.0
07/20/2018 QGV006224 DAVIS FAMILY OFFICE, REPLIMUNE GROUP INC COM	-4,800.00	20.00	10.00	10.00	50.0	5.00
07/20/2018 QGV006224 DAVIS FAMILY OFFICE, ZYNERBA PHARMACEUTICALS INC COM S	-19,676.50	25.00	10.00	15.00	50.0	7.5
07/20/2018 QGV006224 DAVIS FAMILY OFFICE, ZYNERBA PHARMACEUTICALS INC COM B	77,291.00	100.00	10.00	90.00	50.0	45.0
07/20/2018 QGV006224 DAVIS FAMILY OFFICE, ZYNERBA PHARMACEUTICALS INC COM S	-94,447.20	120.00	10.00	110.00	50.0	55.00
07/23/2018 QGV006224 DAVIS FAMILY OFFICE, NEON THERAPEUTICS INC COM S	-36,000.00	30.00	10.00	20.00	50.0	10.00
07/24/2018 QGV006224 DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	333,080.00	100.00	10.00	90.00	50.0	45.00
07/24/2018 QGV006224 DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	-421,250.00	100.00	10.00	90.00	50.0	45.00
07/24/2018 QGV006224 DAVIS FAMILY OFFICE, BEASLEY BROADCAST GROUP INC CL A B	51,159.76	0.00	10.00	-10.00	50.0	-5.00
07/24/2018 QGV006224 DAVIS FAMILY OFFICE, HYRECAR INC COM	28,177.13	73.10	10.00		50.0	31.5
07/24/2018 QGV006224 DAVIS FAMILY OFFICE, MAMMOTH ENERGY SVCS INC COM B	148,250.00	40.00	10.00	30.00	50.0	15.00
07/24/2018 QGV006224 DAVIS FAMILY OFFICE, MATERIALISE NV SPONSORED ADS REPSTG S	-6,561.20	20.00	10.00	10.00	50.0	5.00
07/24/2018 QGV006224 DAVIS FAMILY OFFICE, NEON THERAPEUTICS INC COM S	-7,200.00	20.00	10.00	10.00	50.0	5.00
07/24/2018 QGV006224 DAVIS FAMILY OFFICE, NEON THERAPEUTICS INC COM S	-4,800.00	20.00	10.00		50.0	5.0
07/25/2018 QGV006224 DAVIS FAMILY OFFICE, AQUESTIVE THERAPEUTICS INC COM S	-1,505.00	20.00	10.00		50.0	5.0
07/25/2018 OGV006224 DAVIS FAMILY OFFICE, HYRECAR INC COM	9,169.13	53.80	10.00			21.9
07/25/2018 QGV006224 DAVIS FAMILY OFFICE, REATA PHARMACEUTICALS INC CL A S	-23,213.52	20.00	10.00	10.00		5.00
07/26/2018 QGV006224 DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC S	-347,500.00	100.00	10.00		50.0	45.00
07/26/2018 QGV006224 DAVIS FAMILY OFFICE, BERRY PETE CORP COM S	-52,310.40	40.00	10.00		50.0	15.00
07/26/2018 QGV006224 DAVIS FAMILY OFFICE, FOCUS FINL PARTNERS INC CL A COM S	-89,750.00	25.00	10.00		50.0	7.50
07/26/2018 QGV006224 DAVIS FAMILY OFFICE, HYRECAR INC COM	15,040.00	50.00	10.00		50.0	20.00
07/26/2018 QGV006224 DAVIS FAMILY OFFICE, KEYCORP NEW DEP SHS REPSTG 1/40TH P S	-124,025.00	50.00	10.00		50.0	20.00
07/26/2018 QGV000224 DAVIS FAMILY OFFICE, KEYCORP NEW DEP SHS REPSIG 1/40TH P S	-124,025.00	50.00	10.00		50.0	20.00

INVESTMENT

TRADE DATE

CLIENT

### COMMISSION STATEMENT for EZRA GRAYMAN

B/S

INVESTMENT

AMOUNT

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BROKER

RATE COMMISSION

TRADE DATE	CLIENI	INAESIMENI	Б/ 5	AMOUNI	COMMISSION	CHARGE	COMMISSION	RAIL	COMMISSION
		BR	OKER TRANSA	CTIONS					
PRODUCT CAT	TEGORY: STOCKS								
07/26/2018 Q	GV006224 DAVIS FAMILY	OFFICE, NATIONAL VISION HLDGS INC	C COM S	-59,723.70	20.00	10.00	10.00	50.0	5.00
07/26/2018 Q	GV006224 DAVIS FAMILY	OFFICE, PINDUODUO INC SPONS ADR I	SIN#US7223 S	-140,450.00	53.00	10.00	43.00	50.0	21.50
07/26/2018 Q	GV006224 DAVIS FAMILY	OFFICE, TENABLE HLDGS INC COM	S	-6,600.00	20.00	10.00	10.00	50.0	5.00
07/26/2018 Q	GV006224 DAVIS FAMILY	OFFICE, VERACYTE INC	S	-10,625.00	20.00	10.00	10.00	50.0	5.00
07/26/2018 Q	GV006224 DAVIS FAMILY	OFFICE, WILLSCOT CORP COM	S	-27,305.27	20.00	10.00	10.00	50.0	5.00
07/27/2018 Q	GV006224 DAVIS FAMILY	OFFICE, ADVANCED MICRO DEVICES IN	IC B	484,915.00	100.00	10.00	90.00	50.0	45.00
07/27/2018 Q	GV006224 DAVIS FAMILY	OFFICE, BEASLEY BROADCAST GROUP I	NC CL A B	71,000.00	100.00	10.00	90.00	50.0	45.00
07/27/2018 Q	GV006224 DAVIS FAMILY	OFFICE, CONTRAFECT CORP COM	S	-5,003.75	25.00	10.00	15.00	50.0	7.50
07/27/2018 Q	GV006224 DAVIS FAMILY	OFFICE, ENDAVA PLC ADR ISIN#US292	860V1052 S	-7,500.00	20.00	10.00	10.00	50.0	5.00
07/27/2018 Q	GV006224 DAVIS FAMILY	OFFICE, PINDUODUO INC SPONS ADR I	SIN#US7223 B	261,000.00	100.00	10.00	90.00	50.0	45.00
07/27/2018 Q	GV006224 DAVIS FAMILY	OFFICE, PINDUODUO INC SPONS ADR I	SIN#US7223 S	-137,500.00	50.00	10.00	40.00	50.0	20.00
07/18/2018 Q	GV006257 LION	RUMBLEON INC CL B	S	-212,949.00	200.00	10.00	190.00	50.0	95.00
07/06/2018 Q	GV006414 MERRILL LYNC	H PROF LADENBG THAL FIN 6.5% NTS	FREQ QRTL S	-208,402.15	255.00	10.00	245.00	50.0	122.50
07/25/2018 Q	GV006414 MERRILL LYNC	H PROF LADENBG THAL FIN 6.5% NTS	FREQ QRTL S	-83,062.00	102.00	10.00	92.00	50.0	46.00
06/28/2018 Q	GW014847 ALBANESE, JO	HN BOX INC CL A	В	124,536.00	300.00	10.00	290.00	50.0	145.00
07/09/2018 Q	GW014847 ALBANESE, JO	HN BOX INC CL A	S	-265,347.00	600.00	10.00	590.00	50.0	295.00
07/10/2018 Q	GW014847 ALBANESE, JO	HN PATTERSON COS INC COM	В	347,205.00	900.00	10.00	890.00	50.0	445.00
07/19/2018 Q	GW014847 ALBANESE, JO	HN PATTERSON COS INC COM	В	344,779.50	900.00	10.00	890.00	50.0	445.00
07/20/2018 Q	GW015042 GINDI, RANDA	L TWITTER INC	S	-200,000.00	300.00	10.00	290.00	50.0	145.00
07/24/2018 Q	GW015042 GINDI, RANDA	L TWITTER INC	В	104,125.00	150.00	10.00	140.00	50.0	70.00
06/28/2018 Q	GW015075 RARE COIN GA	LLERIES, BOX INC CL A	В	124,536.00	300.00	10.00	290.00	50.0	145.00
07/06/2018 Q	GW015075 RARE COIN GA	LLERIES, BOX INC CL A	S	-260,019.00	600.00	10.00	590.00	50.0	295.00
07/19/2018 Q	GW015075 RARE COIN GA	LLERIES, HAIN CELESTIAL GROUP INC	В	356,246.25	750.00	10.00	740.00	50.0	370.00
07/25/2018 Q	GW015299 SCHNEIDER, W	ILLIAM SENDGRID INC COM	В	6,782.50	100.00	10.00	90.00	50.0	45.00
	GW025025 SCHNEIDER, W		В	6,782.50	100.00	10.00	90.00	50.0	45.00
	GW025033 SCHNEIDER, W		В	13,565.00	125.00	10.00	115.00	50.0	57.50
	GW036352 LAWRENCE ADA		S	-400,501.50	450.00	10.00	440.00	50.0	220.00
07/12/2018 Q	GW039000 LP, JGB	INTERCLOUD SYS INC COM PA	R \$0.0001 S	-2,770.55	124.67	10.00	114.67	50.0	57.34
07/13/2018 Q	GW039000 LP, JGB	INTERCLOUD SYS INC COM PA	R \$0.0001 S	-3,188.32	143.47	10.00	133.47	50.0	66.74
07/16/2018 Q	GW039000 LP, JGB	INTERCLOUD SYS INC COM PA	R \$0.0001 S	-3,068.34	138.08	10.00	128.08	50.0	64.04
07/18/2018 Q	GW039000 LP, JGB	INTERCLOUD SYS INC COM PA	R \$0.0001 S	-2,123.42	95.56	10.00	85.56	50.0	42.78
07/19/2018 Q	GW039000 LP, JGB	INTERCLOUD SYS INC COM PA	R \$0.0001 S	-2,066.36	92.99	10.00	82.99	50.0	41.50
07/20/2018 Q	GW039000 LP, JGB	INTERCLOUD SYS INC COM PA	R \$0.0001 S	-2,548.10	114.67	10.00	104.67	50.0	52.34
07/23/2018 Q	GW039000 LP, JGB	INTERCLOUD SYS INC COM PA	R \$0.0001 S	-1,645.66	74.05	10.00	64.05	50.0	32.03
	GW039000 LP, JGB	INTERCLOUD SYS INC COM PA		-1,889.84	85.04	10.00		50.0	37.52
	GW039000 LP, JGB	INTERCLOUD SYS INC COM PA		-2,017.25	90.78	10.00		50.0	40.39
	GW039000 LP, JGB	INTERCLOUD SYS INC COM PA		-1,709.35	76.92	10.00		50.0	33.46
	GW039000 LP, JGB	INTERCLOUD SYS INC COM PA		-1,613.80	72.62	10.00		50.0	31.31
_	GW040040 LP, JGB	INTERCLOUD SYS INC COM PA		-536.82	24.16	10.00		50.0	7.08
	GW040040 LP, JGB	INTERCLOUD SYS INC COM PA		-617.76	27.80	10.00		50.0	8.90
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COMMISSION STATEMENT for EZRA GRAYMAN

	_ ,-	INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE CLIENT	INVESTMENT B/S	S AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
	BROKER TRAN	SACTIONS					
PRODUCT CATEGORY: STOCKS							
07/16/2018 QGW040040 LP, JGB	INTERCLOUD SYS INC COM PAR \$0.0001 S	-594.50	26.75	10.00	16.75	50.0	8.38
07/18/2018 QGW040040 LP, JGB	INTERCLOUD SYS INC COM PAR \$0.0001 S	-411.42	18.52	10.00	8.52	50.0	4.26
07/19/2018 QGW040040 LP, JGB	INTERCLOUD SYS INC COM PAR \$0.0001 S	-400.37	18.02	10.00	8.02	50.0	4.01
07/20/2018 QGW040040 LP, JGB	INTERCLOUD SYS INC COM PAR \$0.0001 S	-493.70	50.00	10.00	40.00	50.0	20.00
07/23/2018 QGW040040 LP, JGB	INTERCLOUD SYS INC COM PAR \$0.0001 S	-318.86	50.00	10.00	40.00	50.0	20.00
07/24/2018 QGW040040 LP, JGB	INTERCLOUD SYS INC COM PAR \$0.0001 S	-366.16	50.00	10.00	40.00	50.0	20.00
07/25/2018 QGW040040 LP, JGB	INTERCLOUD SYS INC COM PAR \$0.0001 S	-390.85	50.00	10.00	40.00	50.0	20.00
07/26/2018 QGW040040 LP, JGB	INTERCLOUD SYS INC COM PAR \$0.0001 S	-331.19	50.00	10.00	40.00	50.0	20.00
07/27/2018 QGW040040 LP, JGB	INTERCLOUD SYS INC COM PAR \$0.0001 S	-312.68	50.00	10.00	40.00	50.0	20.00
07/12/2018 QGW040057 YALINEWITZ, BOAZ	INTERCLOUD SYS INC COM PAR \$0.0001 S	-1,911.63	77.42	10.00	67.42	50.0	33.71
07/13/2018 QGW040057 YALINEWITZ, BOAZ	INTERCLOUD SYS INC COM PAR \$0.0001 S	-2,199.92	99.00	10.00	89.00	50.0	44.50
07/16/2018 QGW040057 YALINEWITZ, BOAZ	INTERCLOUD SYS INC COM PAR \$0.0001 S	-2,117.16	95.27	10.00	85.27	50.0	42.64
07/18/2018 QGW040057 YALINEWITZ, BOAZ	INTERCLOUD SYS INC COM PAR \$0.0001 S	-1,465.16	65.93	10.00	55.93	50.0	27.97
07/19/2018 QGW040057 YALINEWITZ, BOAZ	INTERCLOUD SYS INC COM PAR \$0.0001 S	-1,425.76	64.16	10.00	54.16	50.0	27.08
07/20/2018 QGW040057 YALINEWITZ, BOAZ	INTERCLOUD SYS INC COM PAR \$0.0001 S	-1,758.19	79.12	10.00	69.12	50.0	34.56
07/23/2018 QGW040057 YALINEWITZ, BOAZ	INTERCLOUD SYS INC COM PAR \$0.0001 S	-1,135.48	51.10	10.00	41.10	50.0	20.55
07/24/2018 QGW040057 YALINEWITZ, BOAZ	INTERCLOUD SYS INC COM PAR \$0.0001 S	-1,303.99	58.68	10.00	48.68	50.0	24.34
07/25/2018 QGW040057 YALINEWITZ, BOAZ	INTERCLOUD SYS INC COM PAR \$0.0001 S	-1,391.90	0.00	10.00	-10.00	50.0	-5.00
07/25/2018 QGW040057 YALINEWITZ, BOAZ	INTERCLOUD SYS INC COM PAR \$0.0001 S	1,391.90	0.00	-10.00	10.00	50.0	5.00
07/25/2018 QGW040057 YALINEWITZ, BOAZ	INTERCLOUD SYS INC COM PAR \$0.0001 S	-1,391.90	62.64	10.00	52.64	50.0	26.32
07/26/2018 QGW040057 YALINEWITZ, BOAZ	INTERCLOUD SYS INC COM PAR \$0.0001 S	-1,179.45	53.08	10.00	43.08	50.0	21.54
07/27/2018 QGW040057 YALINEWITZ, BOAZ	INTERCLOUD SYS INC COM PAR \$0.0001 S	-1,113.52	50.10	10.00	40.10	50.0	20.05
	* STOCKS SUBTOTAL *	\$4,597,930.18	\$20,799.03	\$2,510.00	\$18,289.03		\$9,144.58
PRODUCT CATEGORY: BONDS							
07/10/2018 QGV001076 CREDIT SUISSE	JPMORGAN CHASE & CO DEP SHS REPSTG S	-2,024,000.00	1,000.00	10.00	990.00	50.0	495.00
	* BONDS SUBTOTAL *	\$-2,024,000.00	\$1,000.00	\$10.00	\$990.00		\$495.00
PRODUCT CATEGORY: OPTIONS							
	CALL 100 DULTDED INC COM EVD 00 21 C	11 000 00	350.00	10.00	340.00	50.0	170.00
07/30/2018 QGW015042 GINDI, RANDAL	CALL 100 TWITTER INC COM EXP 09-21-S CALL 100 BANK OF AMERICA CORPORATIO B	-11,900.00 64,000.00	350.00	10.00	340.00	50.0	170.00
06/29/2018 QGW036352 LAWRENCE ADAMS LTD 07/23/2018 QGW036352 LAWRENCE ADAMS LTD	CALL 100 BANK OF AMERICA CORPORATIO B	137,899.50	525.00	10.00	515.00	50.0	257.50
07/26/2018 QGW036352 LAWRENCE ADAMS LTD						50.0	82.50
07/20/2010 QGW030332 LAWRENCE ADAMS LID		39,550.00	175.00	10.00	165.00	50.0	
	* OPTIONS SUBTOTAL *	\$229,549.50	\$1,400.00	\$40.00	\$1,360.00		\$680.00
PRODUCT CATEGORY: MUTUAL FUND 12B1							
07/31/2018	FEE COUNT - 8	0.00	61.83	0.00	61.83	50.0	30.93
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$61.83	\$0.00	\$61.83		\$30.93
	*** BROKER TRANSACTIONS TOTAL ***	\$2,803,479.68	\$23,260.86	\$2,560.00	\$20,700.86		\$10,350.51

# 08/13/2018 Page No. 8 COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		ADJUSTM	ENTS					
07/31/2018		COMMISSION INCOME: AQST	0.00	0.00	0.00	0.00		49.61
07/31/2018		COMMISSION INCOME: BRY QGV006216	0.00	0.00	0.00	0.00		597.37
07/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00		-20.00
07/31/2018		OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00		-8.00
07/31/2018		OTHER: OTHER-EXCHANGE FEES	0.00	0.00	0.00	0.00		-108.77
07/31/2018		OTHER: OTHER-CORE TECH	0.00	0.00	0.00	0.00		-119.80
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$390.41
					<u> 1</u>	BROKER COMMI	SSION	TOTALS
					Broke	r Transactions		\$10,350.51
					Spli	t Transactions		\$0.00
					Overrid	e Transactions		\$0.00
						Adjustments		\$390.41
						Payroll Draw		\$0.00
						Base Salary		\$0.00
					Prior	Period Balance		\$0.00
					FI	NRA Assessment		\$-29.08
					S	IPC Assessment		\$-58.15
						Check Amount		\$10,653.69

Year-to-date Earnings

\$122,745.61