

COMMISSION STATEMENT

July 31, 2018

WILLIAM DIPENICE
110 SOUTH BUCKHOUT STREET

BROKER #: A08 W15
BRANCH: FULCRUM GROUP

IRVINGTON, NY 10533

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

07/12/2018 75502048	FERRUZZI, KENNETH	MAXLINEAR INC COMMON STOCK	S	731.16	0.00	0.00	0.00	80.0	0.00
07/25/2018 75502048	FERRUZZI, KENNETH	NEVRO CORP COM	S	823.70	0.00	0.00	0.00	80.0	0.00
07/27/2018 75502048	FERRUZZI, KENNETH	ACADIA HEALTHCARE CO INC COM	B	575.29	0.00	0.00	0.00	80.0	0.00
07/11/2018 75502050	DIPENICE, WILLIAM	KEURIG DR PEPPER INC COMMON STOCK	S	784.17	0.00	0.00	0.00	80.0	0.00
07/19/2018 75502050	DIPENICE, WILLIAM	RED HAT INC	B	1,915.09	0.00	0.00	0.00	80.0	0.00
07/23/2018 75502050	DIPENICE, WILLIAM	KANSAS CITY SOUTHERN IND	S	1,904.93	0.00	0.00	0.00	80.0	0.00
07/24/2018 75502050	DIPENICE, WILLIAM	SCHLUMBERGER LTD	B	3,178.45	0.00	0.00	0.00	80.0	0.00
07/27/2018 75502050	DIPENICE, WILLIAM	EQUIFAX INC	B	1,354.96	0.00	0.00	0.00	80.0	0.00
08/02/2018 75502050	DIPENICE, WILLIAM	CHARTER COMMUNICATIONS INC NEW CL A B		595.80	0.00	0.00	0.00	80.0	0.00
08/02/2018 75502050	DIPENICE, WILLIAM	MARTIN MARIETTA MATLS INC	B	810.33	0.00	0.00	0.00	80.0	0.00
08/02/2018 75502050	DIPENICE, WILLIAM	PFIZER INCORPORATED	S	1,519.31	0.00	0.00	0.00	80.0	0.00
08/02/2018 75502050	DIPENICE, WILLIAM	PHILLIPS 66 COM	S	852.14	0.00	0.00	0.00	80.0	0.00
08/02/2018 75502050	DIPENICE, WILLIAM	ZAYO GROUP HOLDINGS INC COM	B	672.47	0.00	0.00	0.00	80.0	0.00
08/10/2018 75502050	DIPENICE, WILLIAM	ANALOG DEVICES INC	S	1,796.80	0.00	0.00	0.00	80.0	0.00
08/10/2018 75502050	DIPENICE, WILLIAM	SUNRUN INC COM	B	1,288.98	0.00	0.00	0.00	80.0	0.00
07/12/2018 75502051	DIPENICE, NINA	MAXLINEAR INC COMMON STOCK	S	1,445.31	0.00	0.00	0.00	80.0	0.00
07/25/2018 75502051	DIPENICE, NINA	NEVRO CORP COM	S	1,765.06	0.00	0.00	0.00	80.0	0.00
07/27/2018 75502051	DIPENICE, NINA	ACADIA HEALTHCARE CO INC COM	B	1,106.34	0.00	0.00	0.00	80.0	0.00
07/12/2018 75502083	MAISELL, ADRIANE	MAXLINEAR INC COMMON STOCK	S	646.14	0.00	0.00	0.00	80.0	0.00
07/25/2018 75502083	MAISELL, ADRIANE	NEVRO CORP COM	S	764.86	0.00	0.00	0.00	80.0	0.00
07/27/2018 75502083	MAISELL, ADRIANE	ACADIA HEALTHCARE CO INC COM	B	575.29	0.00	0.00	0.00	80.0	0.00

* STOCKS SUBTOTAL *				\$25,106.58	\$0.00	\$0.00	\$0.00		\$0.00
---------------------	--	--	--	-------------	--------	--------	--------	--	--------

PRODUCT CATEGORY: RIA

07/01/2018 75502048	FERRUZZI, KENNETH	FAS FEES PT. I/III	B	-576.18	97.07	0.00	97.07	80.0	77.66
07/01/2018 75502049	MORRIS, MICHAEL	FAS FEES PT. I/III	B	-730.43	136.73	0.00	136.73	80.0	109.38
07/01/2018 75502050	DIPENICE, WILLIAM	FAS FEES PT. I/III	B	-474.69	79.97	0.00	79.97	80.0	63.98
07/01/2018 75502051	DIPENICE, NINA	FAS FEES PT. I/III	B	-1,162.61	214.15	0.00	214.15	80.0	171.32
07/01/2018 75502052	DIPENICE, WILLIAM	FAS FEES PT. I/III	B	-118.17	29.86	0.00	29.86	80.0	23.89
07/01/2018 75502083	MAISELL, ADRIANE	FAS FEES PT. I/III	B	-516.96	87.09	0.00	87.09	80.0	69.67

* RIA SUBTOTAL *				\$-3,579.04	\$644.87	\$0.00	\$644.87		\$515.90
------------------	--	--	--	-------------	----------	--------	----------	--	----------

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/31/2018	FEE COUNT - 2			0.00	0.13	0.00	0.13	80.0	0.00
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.13	\$0.00	\$0.13		\$0.00
	*** BROKER TRANSACTIONS TOTAL ***			\$21,527.54	\$645.00	\$0.00	\$645.00		\$515.90

ADJUSTMENTS

07/31/2018	E & O INSURANCE: E & O INSURANCE			0.00	0.00	0.00	0.00		-250.00
07/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY			0.00	0.00	0.00	0.00		-20.00
07/31/2018	OTHER: OTHER-360 OFFICE			0.00	0.00	0.00	0.00		-8.00
07/31/2018	OTHER: RBC- WEALTH STATION			0.00	0.00	0.00	0.00		-25.00
	*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-303.00

BROKER COMMISSION TOTALS

Broker Transactions	\$515.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-303.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.81
SIPC Assessment	\$-1.61

Check Amount	\$210.48
Year-to-date Earnings	\$2,292.59