

# Bill Payment Stub

Check Date:	3/7/2018
Check No.:	41426
Check Amount:	4,165.45

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: CHIP KLEINBROOK  
2817 S. MILFORD RD., STE 101  
HIGHLAND, MI 48357

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
2/28/2018	Bill	COMMISSIONS	4,285.45	4,285.45		4,285.45
3/1/2018	Credit	E&O	-120.00	-120.00		-120.00

**COMMISSION STATEMENT**

February 28, 2018

CHIP KLEINBROOK-D037

BROKER #: D037

BRANCH: DMN

, TX

**COMMISSION STATEMENT for CHIP KLEINBROOK-D037**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
02/09/2018 368318855	LEWIS, HANNAH	MF FIDELITY ADV STRATEGIC INCOME	B	-3,177.55	0.00	5.00	-5.00	80.0	-4.00
02/16/2018 368491291	SZEWCZYK, GREGORY	MF FIDELITY ADVISOR FREEDOM 2015	S	30,002.45	300.00	2.50	297.50	80.0	238.00
02/16/2018 368491291	SZEWCZYK, GREGORY	MF FIDELITY ADV ASSET MANAGER 70%	S	30,002.45	300.00	5.00	295.00	80.0	236.00
02/16/2018 368491291	SZEWCZYK, GREGORY	MF FIDELITY ADV BALANCED CL C	S	30,002.45	300.00	5.00	295.00	80.0	236.00
02/01/2018 429840911	MCLENNAN REV TRUST,	MF AMERICAN MUTUAL FUND-A	S	-39,842.80	0.00	5.00	-5.00	80.0	-4.00
02/01/2018 429840911	MCLENNAN REV TRUST,	MF FIDELITY TREND FD (NW)	S	-40,675.28	0.00	5.00	-5.00	80.0	-4.00
02/01/2018 429840911	MCLENNAN REV TRUST,	MF NEUBERGER BERMAN MIDCAP GROWTH	S	-2,116.48	0.00	5.00	-5.00	80.0	-4.00
02/01/2018 429840911	MCLENNAN REV TRUST,	MF SCHWAB S&P 500 INDEX FD SELECT	S	-22,636.72	0.00	5.00	-5.00	80.0	-4.00
02/08/2018 429840911	MCLENNAN REV TRUST,	MF FRANKLIN MI TAX FR INCOME FUND	S	44,502.45	445.00	2.50	442.50	80.0	354.00
02/08/2018 429840911	MCLENNAN REV TRUST,	MF PUTNAM TAX EXEMPT INCM C	S	44,502.45	445.00	2.50	442.50	80.0	354.00
02/15/2018 646242298	COSTANTINO, CHERYL	MF THE INVESTMENT COMPANY OF	S	-14,299.45	0.00	5.00	-5.00	80.0	-4.00
02/06/2018 646523875	ROUGEAU TTEE, JANE	MF FRANKLIN MI TAX FR INCOME FUND	B	-2,477.55	0.00	5.00	-5.00	80.0	-4.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$53,786.42</b>	<b>\$1,790.00</b>	<b>\$52.50</b>	<b>\$1,737.50</b>		<b>\$1,390.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
02/06/2018 368329987	LIM, ENG	S WISDOMTREE INDIA EARNINGS FUND	B	11,082.05	60.00	15.00	45.00	80.0	36.00
02/23/2018 368329987	LIM, ENG	S INFRACAP MLP ETF	B	3,222.41	40.00	15.00	25.00	80.0	20.00
02/08/2018 368491291	SZEWCZYK, GREGORY	S IHI CORP-UNSPON ADR	B	2,618.03	83.58	15.00	68.58	80.0	54.86
02/23/2018 461882172	LEUK-LIM TTEE,	S ISHARES RUSSELL 2000 VAL IND	S	-7,772.42	20.00	15.00	5.00	80.0	4.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$9,150.07</b>	<b>\$203.58</b>	<b>\$60.00</b>	<b>\$143.58</b>		<b>\$114.86</b>
<b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b>									
02/02/2018 a03587889	KLEINBROOK-D037,	MI MUTUAL FUND MISC FEE 429840911	S	0.00	20.00	0.00	20.00	80.0	16.00
02/02/2018 a03587889	KLEINBROOK-D037,	MI MUTUAL FUND MISC FEE 429840911	S	0.00	20.00	0.00	20.00	80.0	16.00
02/02/2018 a03587889	KLEINBROOK-D037,	MI MUTUAL FUND MISC FEE 429840911	S	0.00	20.00	0.00	20.00	80.0	16.00
02/02/2018 a03587889	KLEINBROOK-D037,	MI MUTUAL FUND MISC FEE 429840911	S	0.00	20.00	0.00	20.00	80.0	16.00
02/07/2018 a03587889	KLEINBROOK-D037,	MI MUTUAL FUND MISC FEE 646523875	S	0.00	20.00	0.00	20.00	80.0	16.00
02/12/2018 a03587889	KLEINBROOK-D037,	MI MUTUAL FUND MISC FEE 368318855	S	0.00	20.00	0.00	20.00	80.0	16.00
02/16/2018 a03587889	KLEINBROOK-D037,	MI MUTUAL FUND MISC FEE 646242298	S	0.00	20.00	0.00	20.00	80.0	16.00
<b>* MISCELLANEOUS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$140.00</b>	<b>\$0.00</b>	<b>\$140.00</b>		<b>\$112.00</b>

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/08/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MULTI-ASSET	B	0.00	6.54	0.00	6.54	80.0	5.23
02/22/2018	mfc587889	KLEINBROOK 587889	MFT AB BALANCED WEALTH STRATEGY A	B	0.00	9.21	0.00	9.21	80.0	7.37
02/22/2018	mfc587889	KLEINBROOK 587889	MFT AB GOVERNMENT MONEY MARKET	S	0.00	22.89	0.00	22.89	80.0	18.31
02/22/2018	mfc587889	KLEINBROOK 587889	MFT AB GOVERNMENT MONEY MKT	B	0.00	1.66	0.00	1.66	80.0	1.33
02/22/2018	mfc587889	KLEINBROOK 587889	MFT AB HIGH INCOME FD C	B	0.00	20.46	0.00	20.46	80.0	16.37
02/22/2018	mfc587889	KLEINBROOK 587889	MFT AB WEALTH PRES STRAT FD C	B	0.00	56.72	0.00	56.72	80.0	45.38
02/22/2018	mfc587889	KLEINBROOK 587889	MFT AIG FOCUS DIVIDEND STRAT FD C	B	0.00	33.26	0.00	33.26	80.0	26.61
02/22/2018	mfc587889	KLEINBROOK 587889	MFT AIG FOCUS DIVIDEND STRAT FD C	B	0.00	66.51	0.00	66.51	80.0	53.21
02/22/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.83	0.00	0.83	80.0	0.66
02/22/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.94	0.00	0.94	80.0	0.75
02/22/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.60	0.00	1.60	80.0	1.28
02/22/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	12.01	0.00	12.01	80.0	9.61
02/22/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.86	0.00	1.86	80.0	1.49
02/22/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	0.21	0.00	0.21	80.0	0.17
02/22/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	5.37	0.00	5.37	80.0	4.30
02/22/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	10.83	0.00	10.83	80.0	8.66
02/22/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	1.99	0.00	1.99	80.0	1.59
02/22/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	3.53	0.00	3.53	80.0	2.82
02/22/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	8.76	0.00	8.76	80.0	7.01
02/22/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	15.12	0.00	15.12	80.0	12.10
02/22/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	72.64	0.00	72.64	80.0	58.11
02/22/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	1.56	0.00	1.56	80.0	1.25
02/22/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANZ NFJ SMALL CAP VALUE FD	B	0.00	2.54	0.00	2.54	80.0	2.03
02/22/2018	mfc587889	KLEINBROOK 587889	MFT AMCAP FUND-A	B	0.00	1.28	0.00	1.28	80.0	1.02
02/22/2018	mfc587889	KLEINBROOK 587889	MFT AMERICAN MUTUAL FUND-A	B	0.00	8.37	0.00	8.37	80.0	6.70
02/22/2018	mfc587889	KLEINBROOK 587889	MFT AMERICAN MUTUAL FUND-A	B	0.00	8.37	0.00	8.37	80.0	6.70
02/22/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	15.80	0.00	15.80	80.0	12.64
02/22/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	31.60	0.00	31.60	80.0	25.28
02/22/2018	mfc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.04	0.00	0.04	80.0	0.03
02/22/2018	mfc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	7.36	0.00	7.36	80.0	5.89
02/22/2018	mfc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER-F1	B	0.00	4.48	0.00	4.48	80.0	3.58
02/22/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	1.14	0.00	1.14	80.0	0.91
02/22/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	2.36	0.00	2.36	80.0	1.89
02/22/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA THERMOSTAT FD A	B	0.00	7.02	0.00	7.02	80.0	5.62
02/22/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	4.47	0.00	4.47	80.0	3.58
02/22/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.88	0.00	5.88	80.0	4.70
02/22/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.90	0.00	5.90	80.0	4.72
02/22/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	6.89	0.00	6.89	80.0	5.51
02/22/2018	mfc587889	KLEINBROOK 587889	MFT EMERALD BANKING & FINANCE FD CL	B	0.00	35.19	0.00	35.19	80.0	28.15
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	24.43	0.00	24.43	80.0	19.54
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	31.84	0.00	31.84	80.0	25.47
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	51.34	0.00	51.34	80.0	41.07
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	14.67	0.00	14.67	80.0	11.74

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	37.38	0.00	37.38	80.0	29.90
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	6.35	0.00	6.35	80.0	5.08
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	1.04	0.00	1.04	80.0	0.83
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	6.30	0.00	6.30	80.0	5.04
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INC	B	0.00	19.66	0.00	19.66	80.0	15.73
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MUNI INCOME FD	B	0.00	67.28	0.00	67.28	80.0	53.82
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	3.54	0.00	3.54	80.0	2.83
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	9.09	0.00	9.09	80.0	7.27
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.31	0.00	1.31	80.0	1.05
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	12.30	0.00	12.30	80.0	9.84
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	20.50	0.00	20.50	80.0	16.40
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	4.43	0.00	4.43	80.0	3.54
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	22.52	0.00	22.52	80.0	18.02
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	24.32	0.00	24.32	80.0	19.46
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	29.97	0.00	29.97	80.0	23.98
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2030	B	0.00	25.15	0.00	25.15	80.0	20.12
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	75.86	0.00	75.86	80.0	60.69
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	3.96	0.00	3.96	80.0	3.17
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	32.68	0.00	32.68	80.0	26.14
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	64.22	0.00	64.22	80.0	51.38
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.31	0.00	2.31	80.0	1.85
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	16.65	0.00	16.65	80.0	13.32
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	18.48	0.00	18.48	80.0	14.78
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	12.17	0.00	12.17	80.0	9.74
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	17.83	0.00	17.83	80.0	14.26
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	24.42	0.00	24.42	80.0	19.54
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	30.09	0.00	30.09	80.0	24.07
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	5.48	0.00	5.48	80.0	4.38
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	17.20	0.00	17.20	80.0	13.76
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV GROWTH & INCOME CL	B	0.00	4.13	0.00	4.13	80.0	3.30
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	16.67	0.00	16.67	80.0	13.34
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	35.83	0.00	35.83	80.0	28.66
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	14.72	0.00	14.72	80.0	11.78
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	24.54	0.00	24.54	80.0	19.63
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	33.00	0.00	33.00	80.0	26.40
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	15.18	0.00	15.18	80.0	12.14
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	1.05	0.00	1.05	80.0	0.84
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.27	0.00	3.27	80.0	2.62
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.42	0.00	11.42	80.0	9.14
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	20.43	0.00	20.43	80.0	16.34
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	55.39	0.00	55.39	80.0	44.31
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR LTD TERM BOND	B	0.00	10.86	0.00	10.86	80.0	8.69
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 60%	B	0.00	58.54	0.00	58.54	80.0	46.83
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	5.88	0.00	5.88	80.0	4.70

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	24.40	0.00	24.40	80.0	19.52
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STK SEL ALL	B	0.00	41.43	0.00	41.43	80.0	33.14
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	8.35	0.00	8.35	80.0	6.68
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	10.74	0.00	10.74	80.0	8.59
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	15.31	0.00	15.31	80.0	12.25
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	65.71	0.00	65.71	80.0	52.57
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	12.12	0.00	12.12	80.0	9.70
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	18.54	0.00	18.54	80.0	14.83
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.32	0.00	0.32	80.0	0.26
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.87	0.00	0.87	80.0	0.70
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2025	B	0.00	58.46	0.00	58.46	80.0	46.77
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	24.39	0.00	24.39	80.0	19.51
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	44.48	0.00	44.48	80.0	35.58
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	10.99	0.00	10.99	80.0	8.79
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.49	0.00	7.49	80.0	5.99
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	4.25	0.00	4.25	80.0	3.40
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.49	0.00	6.49	80.0	5.19
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.49	0.00	6.49	80.0	5.19
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	9.54	0.00	9.54	80.0	7.63
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	14.00	0.00	14.00	80.0	11.20
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	22.00	0.00	22.00	80.0	17.60
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	25.29	0.00	25.29	80.0	20.23
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	34.27	0.00	34.27	80.0	27.42
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	81.62	0.00	81.62	80.0	65.30
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	83.71	0.00	83.71	80.0	66.97
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	85.24	0.00	85.24	80.0	68.19
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI INSD TAX FREE	B	0.00	1.00	0.00	1.00	80.0	0.80
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	7.69	0.00	7.69	80.0	6.15
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	43.76	0.00	43.76	80.0	35.01
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	87.01	0.00	87.01	80.0	69.61
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	6.58	0.00	6.58	80.0	5.26
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	6.77	0.00	6.77	80.0	5.42
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	56.80	0.00	56.80	80.0	45.44
02/22/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	4.06	0.00	4.06	80.0	3.25
02/22/2018	mfc587889	KLEINBROOK 587889	MFT GEORGE PUTNAM BALANCED FUND-A	B	0.00	0.66	0.00	0.66	80.0	0.53
02/22/2018	mfc587889	KLEINBROOK 587889	MFT GUGGENHEIM STYLEPLUS LARGE CORE	B	0.00	55.84	0.00	55.84	80.0	44.67
02/22/2018	mfc587889	KLEINBROOK 587889	MFT JOHN HANCOCK STRATEGIC GROWTH A	B	0.00	5.97	0.00	5.97	80.0	4.78
02/22/2018	mfc587889	KLEINBROOK 587889	MFT MAINSTAY TAX FREE BOND FD CL A	B	0.00	1.46	0.00	1.46	80.0	1.17
02/22/2018	mfc587889	KLEINBROOK 587889	MFT MERGER FD	B	0.00	0.79	0.00	0.79	80.0	0.63
02/22/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	12.87	0.00	12.87	80.0	10.30
02/22/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	30.98	0.00	30.98	80.0	24.78
02/22/2018	mfc587889	KLEINBROOK 587889	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	4.60	0.00	4.60	80.0	3.68
02/22/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-C	B	0.00	20.09	0.00	20.09	80.0	16.07
02/22/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.03	0.00	0.03	80.0	0.02

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
02/22/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.04	0.00	0.04	80.0	0.03
02/22/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.14	0.00	0.14	80.0	0.11
02/22/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.66	0.00	0.66	80.0	0.53
02/22/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	15.99	0.00	15.99	80.0	12.79
02/22/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	19.41	0.00	19.41	80.0	15.53
02/22/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	187.39	0.00	187.39	80.0	149.91
02/22/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD FD CL A	B	0.00	0.06	0.00	0.06	80.0	0.05
02/22/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.03	0.00	0.03	80.0	0.02
02/22/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.05	0.00	0.05	80.0	0.04
02/22/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.05	0.00	0.05	80.0	0.04
02/22/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.05	0.00	0.05	80.0	0.04
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC CORE INCOME FD C	B	0.00	0.48	0.00	0.48	80.0	0.38
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC SHORT DURATION INC FD C	B	0.00	0.32	0.00	0.32	80.0	0.26
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC STRATEGIC INCOME FD C	B	0.00	0.79	0.00	0.79	80.0	0.63
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	0.24	0.00	0.24	80.0	0.19
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	4.94	0.00	4.94	80.0	3.95
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	17.51	0.00	17.51	80.0	14.01
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL QMA MIDCAP VALUE FD	B	0.00	16.77	0.00	16.77	80.0	13.42
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	50.57	0.00	50.57	80.0	40.46
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746444108	B	0.00	3.11	0.00	3.11	80.0	2.49
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	3.58	0.00	3.58	80.0	2.86
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	20.63	0.00	20.63	80.0	16.50
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746802206	B	0.00	6.17	0.00	6.17	80.0	4.94
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	3.18	0.00	3.18	80.0	2.54
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	10.90	0.00	10.90	80.0	8.72
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.27	0.00	0.27	80.0	0.22
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.57	0.00	1.57	80.0	1.26
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	2.53	0.00	2.53	80.0	2.02
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	7.41	0.00	7.41	80.0	5.93
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.88	0.00	9.88	80.0	7.90
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.88	0.00	9.88	80.0	7.90
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	19.25	0.00	19.25	80.0	15.40
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	38.03	0.00	38.03	80.0	30.42
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	62.89	0.00	62.89	80.0	50.31
02/22/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	68.87	0.00	68.87	80.0	55.10
02/22/2018	mfc587889	KLEINBROOK 587889	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	0.66	0.00	0.66	80.0	0.53
02/22/2018	mfc587889	KLEINBROOK 587889	MFT TEMP GRWTH FND - CL C	B	0.00	19.51	0.00	19.51	80.0	15.61
02/22/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	3.96	0.00	3.96	80.0	3.17
02/22/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	10.21	0.00	10.21	80.0	8.17
02/22/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.87	0.00	7.87	80.0	6.30
02/22/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.88	0.00	7.88	80.0	6.30
02/22/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	31.25	0.00	31.25	80.0	25.00
02/22/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.79	0.00	4.79	80.0	3.83
02/22/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	5.24	0.00	5.24	80.0	4.19

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
02/22/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	11.51	0.00	11.51	80.0	9.21
02/22/2018	mfc587889 KLEINBROOK 587889	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.80	0.00	7.80	80.0	6.24
02/22/2018	mfc587889 KLEINBROOK 587889	MFT THE INCOME FUND OF AMERICA-A	B	0.00	7.13	0.00	7.13	80.0	5.70
02/22/2018	mfc587889 KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	B	0.00	1.33	0.00	1.33	80.0	1.06
02/22/2018	mfc587889 KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	B	0.00	1.80	0.00	1.80	80.0	1.44
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$3,316.91</b>	<b>\$0.00</b>	<b>\$3,316.91</b>		<b>\$2,653.51</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$62,936.49</b>	<b>\$5,450.49</b>	<b>\$112.50</b>	<b>\$5,337.99</b>		<b>\$4,270.37</b>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

02/15/2018	(None) VARIOUS, KLEINBROOK	MF FIDELITY ADVISOR FDS	B	0.00	13.51	0.00	13.51	80.0	10.81
02/15/2018	(None) JACOBFADI	MF PRUDENTIAL MUTUAL FUNDS	B	0.00	0.50	0.00	0.50	80.0	0.40
02/12/2018	000000000221299 FURMAN, VICKI	MF FRANKLIN MI TAX FR INCOME FUND	B	200.00	2.00	0.00	2.00	80.0	1.60
02/12/2018	00068622447 GLORIA D PARRISH	MF THE GROWTH FUND OF AMERICA-A	B	20.00	0.85	0.00	0.85	80.0	0.68
02/12/2018	00083533648 GLORIA D PARRISH	MF CAPITAL WORLD GROWTH AND INCOME	B	40.00	1.70	0.00	1.70	80.0	1.36
02/15/2018	00089905459 CHHEN, KIMBERLY	MF AMERICAN BALANCED FUND-C	B	425.00	4.25	0.00	4.25	80.0	3.40
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$685.00</b>	<b>\$22.81</b>	<b>\$0.00</b>	<b>\$22.81</b>		<b>\$18.25</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/31/2018	(None) ZAJAC, KARE	MFT COLUMBIA THREADNEEDLE	B	0.00	27.50	0.00	27.50	80.0	22.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$27.50</b>	<b>\$0.00</b>	<b>\$27.50</b>		<b>\$22.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$685.00</b>	<b>\$50.31</b>	<b>\$0.00</b>	<b>\$50.31</b>		<b>\$40.25</b>

ADJUSTMENTS

02/28/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
02/28/2018	:	CUSTOMER WRITE OFF 646273030		0.00	0.00	0.00	0.00		-4.80
02/28/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
02/28/2018	:	FEDEX - PACIFIC FUNDS 12-20		0.00	0.00	0.00	0.00		-33.66
02/28/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		68.29
<b>*** ADJUSTMENTS TOTAL ***</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-25.17</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,310.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.17
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$4,285.45</b>
<b>Year-to-date Commissions</b>	<b>\$19,529.25</b>