



COMMISSION STATEMENT

March 19, 2018

DENNIS CONNOR
9 Woodland Rd.
Miller Place, NY 11764

BROKER #: A87
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/15/2018	***-**-30IR - BARBAGALLO, ACCOUNT #: 06206550010	PIONEER FUND A BUY 9 Units @30.7800	270.83	8.12	0.00	8.12	75.0	6.09
03/15/2018	***-**-30IR - BARBAGALLO, ACCOUNT #: 06206550010	PIONEER GROWTH OPPORTUNITIES FUND A BUY 6 Units @46.3300	270.83	8.12	0.00	8.12	75.0	6.09
03/15/2018	***-**-30IR - BARBAGALLO, ACCOUNT #: 06206033026	PIONEER MID CAP VALUE FUND A BUY 4 Units @25.7300	100.00	3.00	0.00	3.00	75.0	2.25
* MUTUAL FUNDS SUBTOTAL *			\$641.66	\$19.24	\$0.00	\$19.24		\$14.43
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/19/2018	***-**-1810 - STEVEN A ACCOUNT #: LSR011388	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	2.45	0.00	2.45	75.0	1.84
03/19/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	1.49	0.00	1.49	75.0	1.12
03/19/2018	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	1.70	0.00	1.70	75.0	1.28
03/19/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	1.13	0.00	1.13	75.0	0.85
03/19/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	75.0	1.09
03/19/2018	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	COLUMBIA HIGH YIELD BOND CL A BUY 1 Units @0.0000	0.00	1.36	0.00	1.36	75.0	1.02
03/19/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	COLUMBIA INCOME BUILDER FUND CL A BUY 1 Units @0.0000	0.00	1.18	0.00	1.18	75.0	0.89
03/19/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	COLUMBIA INCOME OPPT FD CL A BUY 1 Units @0.0000	0.00	1.15	0.00	1.15	75.0	0.86
03/19/2018	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	COLUMBIA INCOME OPPT FD CL A BUY 1 Units @0.0000	0.00	1.35	0.00	1.35	75.0	1.01
03/16/2018	***-**-6935 - FINK, GEORGE	COLUMBIA MF TRAILS BUY 0 Units @0.0000	0.00	14.64	0.00	14.64	75.0	10.98
03/16/2018	***-**-3754 - MAHER, EDWARD ACCOUNT #: 00440000117	COLUMBIA MF TRAILS BUY 0 Units @0.0000	0.00	2.13	0.00	2.13	75.0	1.60
03/16/2018	***-**-3590 - HEALY, THOMAS	COLUMBIA MF TRAILS BUY 0 Units @0.0000	0.00	12.75	0.00	12.75	75.0	9.56



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/16/2018	***-**-3754 - MAHER, EDWARD	COLUMBIA MF TRAILS	0.00	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: 07690002482	BUY 0 Units @0.0000						
03/16/2018	***-**-3904 - DENNIS, CONNOR	COLUMBIA MF TRAILS	0.00	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 07930002508	BUY 0 Units @0.0000						
03/16/2018	***-**-3754 - MAHER, EDWARD	COLUMBIA MF TRAILS	0.00	1.10	0.00	1.10	75.0	0.83
	ACCOUNT #: 07950002135	BUY 0 Units @0.0000						
03/16/2018	***-**-3904 - DENNIS, CONNOR	COLUMBIA MF TRAILS	0.00	0.70	0.00	0.70	75.0	0.53
	ACCOUNT #: 50380000382	BUY 0 Units @0.0000						
03/16/2018	***-**-3904 - DENNIS, CONNOR	COLUMBIA MF TRAILS	0.00	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: 50730000249	BUY 0 Units @0.0000						
03/16/2018	***-**-3590 - HEALY, THOMAS	COLUMBIA MF TRAILS	0.00	31.61	0.00	31.61	75.0	23.71
		BUY 0 Units @0.0000						
03/19/2018	***-**-572I - MELIN, LUCILLE	COLUMBIA SELECT LRG CAP GROWTH CLAS	0.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: LSR011508	BUY 1 Units @0.0000						
03/19/2018	***-**-101I - LEMOINE, STEVEN	COLUMBIA SMALLCAP CORE FUND-A	0.00	3.02	0.00	3.02	75.0	2.27
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/19/2018	***-**-04CL - CONNOR, CLIENTS	DELAWARE INV MF TRAILS	0.00	0.17	0.00	0.17	75.0	0.13
	ACCOUNT #: 6000181415	BUY 0 Units @0.0000						
03/19/2018	***-**-04CL - CONNOR, CLIENTS	DELAWARE INV MF TRAILS	0.00	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 6000181415	BUY 0 Units @0.0000						
03/19/2018	***-**-033I - KATZENBERGER,	DIAMOND HILL FINANCIAL LONG SHORT F	0.00	2.54	0.00	2.54	75.0	1.91
	ACCOUNT #: LSR011507	BUY 1 Units @0.0000						
03/19/2018	***-**-3590 - HEALY, THOMAS	EATON VANCE GROWTH CLASS A	0.00	1.53	0.00	1.53	75.0	1.15
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
03/19/2018	***-**-572I - MELIN, LUCILLE	EATON VANCE HIGH INCOME FUND CLASS	0.00	2.34	0.00	2.34	75.0	1.76
	ACCOUNT #: LSR011508	BUY 1 Units @0.0000						
03/19/2018	***-**-3590 - HEALY, THOMAS	EATON VANCE STOCK FUND A	0.00	1.32	0.00	1.32	75.0	0.99
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
03/19/2018	***-**-101I - LEMOINE, STEVEN	EATON VANCE WORLDWIDE HEALTH SCIENC	0.00	2.69	0.00	2.69	75.0	2.02
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/19/2018	***-**-1810 - STEVEN A	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	2.78	0.00	2.78	75.0	2.09
	ACCOUNT #: LSR011388	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 1 Units @0.0000	0.00	7.88	0.00	7.88	75.0	5.91
03/19/2018	***-**-572I - MELIN, LUCILLE ACCOUNT #: LSR011508	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 1 Units @0.0000	0.00	2.60	0.00	2.60	75.0	1.95
03/19/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	FIDELITY ADV HEALTH CARE-CL A BUY 1 Units @0.0000	0.00	4.13	0.00	4.13	75.0	3.10
03/19/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	FIDELITY ADV HIGH INCOME-CL A BUY 1 Units @0.0000	0.00	2.30	0.00	2.30	75.0	1.73
03/19/2018	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	FIDELITY ADV MATERIALS-CL A BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	75.0	1.09
03/19/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	FIDELITY ADV SMALL CAP-CL A BUY 1 Units @0.0000	0.00	4.00	0.00	4.00	75.0	3.00
03/19/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	FIDELITY ADV STRATEGIC INCOME CL A BUY 1 Units @0.0000	0.00	2.24	0.00	2.24	75.0	1.68
03/19/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	FIDELITY ADVISOR CANADA FUND CLASS BUY 1 Units @0.0000	0.00	0.94	0.00	0.94	75.0	0.71
03/19/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	FIDELITY ADVISOR CONSUMER STAPLES F BUY 1 Units @0.0000	0.00	5.20	0.00	5.20	75.0	3.90
03/19/2018	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	FIDELITY ADVISOR CORP BOND CLASS A BUY 1 Units @0.0000	0.00	1.30	0.00	1.30	75.0	0.98
03/19/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	FIDELITY ADVISOR EMERGING ASIA FUND BUY 1 Units @0.0000	0.00	3.48	0.00	3.48	75.0	2.61
03/19/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	FIDELITY ADVISOR EMERGING ASIA FUND BUY 1 Units @0.0000	0.00	9.92	0.00	9.92	75.0	7.44
03/19/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	FIDELITY ADVISORS TRAILS BUY 1 Units @0.0000	0.00	4.17	0.00	4.17	75.0	3.13
03/19/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	JPMORGAN MID CAP VALUE FUND CLASS A BUY 1 Units @0.0000	0.00	11.77	0.00	11.77	75.0	8.83
03/19/2018	***-**-033I - KATZENBERGER, ACCOUNT #: LSR011507	JPMORGAN MID CAP VALUE FUND CLASS A BUY 1 Units @0.0000	0.00	4.87	0.00	4.87	75.0	3.65
03/16/2018	***-**-3904 - DENNIS, CONNOR ACCOUNT #: 7514101049	OAK RIDGE TRAILS BUY 0 Units @0.0000	0.00	1.27	0.00	1.27	75.0	0.95



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/16/2018	***-**-3919 - CASTELLANO, ACCOUNT #: 6207028619	OAK RIDGE TRAILS BUY 0 Units @0.0000	0.00	1.01	0.00	1.01	75.0	0.76
03/16/2018	***-**-7839 - MOONEY, ACCOUNT #: 4030008153	OAK RIDGE TRAILS BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	75.0	0.13
03/16/2018	***-**-7839 - MOONEY, ACCOUNT #: 4030008153	OAK RIDGE TRAILS BUY 0 Units @0.0000	0.00	0.32	0.00	0.32	75.0	0.24
03/16/2018	***-**-2773 - DASARO, ANN ACCOUNT #: 4030008314	OAK RIDGE TRAILS BUY 0 Units @0.0000	0.00	3.35	0.00	3.35	75.0	2.51
03/16/2018	***-**-7560 - ELLEN, BEVERLY ACCOUNT #: 4030008153	OAK RIDGE TRAILS BUY 0 Units @0.0000	0.00	0.39	0.00	0.39	75.0	0.29
03/19/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PIONEER HIGH YIELD FUND A BUY 1 Units @0.0000	0.00	10.28	0.00	10.28	75.0	7.71
03/19/2018	***-**-1810 - STEVEN A ACCOUNT #: LSR011388	PRINCIPAL INVESTOR HIGH YIELD II CL BUY 1 Units @0.0000	0.00	2.14	0.00	2.14	75.0	1.61
03/19/2018	***-**-233I - COWAN, VERONICA ACCOUNT #: LSR011384	PRINCIPAL INVESTORS WEST COAST EQTY BUY 1 Units @0.0000	0.00	1.70	0.00	1.70	75.0	1.28
03/19/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PRINCIPAL INVESTORS WEST COAST EQTY BUY 1 Units @0.0000	0.00	1.80	0.00	1.80	75.0	1.35
03/19/2018	***-**-255I - GRAHAM, DEBORAH ACCOUNT #: LSR011397	SUNAMERICA FOCUSED DIVIDEND STRAT C BUY 1 Units @0.0000	0.00	3.22	0.00	3.22	75.0	2.42
03/19/2018	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	SUNAMERICA FOCUSED DIVIDEND STRAT C BUY 1 Units @0.0000	0.00	0.30	0.00	0.30	75.0	0.23
03/19/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	5.76	0.00	5.76	75.0	4.32
03/19/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.39	0.00	1.39	75.0	1.04
03/19/2018	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.04	0.00	1.04	75.0	0.78
03/19/2018	***-**-572I - MELIN, LUCILLE ACCOUNT #: LSR011508	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	2.84	0.00	2.84	75.0	2.13
03/19/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	VIRTUS EMERGING MRKT OPPORTUNITIES BUY 1 Units @0.0000	0.00	2.59	0.00	2.59	75.0	1.94



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/05/2018	***-**-5720 - MELIN, LUCILLE	WELLS FARGO 94984B223	0.00	4.04	0.00	4.04	75.0	3.03
	ACCOUNT #: 01007029270	BUY 0 Units @0.0000						
03/05/2018	***-**-0330 - KATZENBERGER,	WELLS FARGO 94984B223	0.00	0.20	0.00	0.20	75.0	0.15
	ACCOUNT #: 01007029314	BUY 0 Units @0.0000						
03/05/2018	***-**-5720 - MELIN, LUCILLE	WELLS FARGO 94984B389	0.00	2.14	0.00	2.14	75.0	1.61
	ACCOUNT #: 01007029270	BUY 0 Units @0.0000						
03/05/2018	***-**-3069 - CONNOR, ZENYA	WELLS FARGO 94984B389	0.00	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 01007075576	BUY 0 Units @0.0000						
03/05/2018	***-**-0804 - GALE, MARY	WELLS FARGO 94984B389	0.00	1.84	0.00	1.84	75.0	1.38
	ACCOUNT #: 01007327894	BUY 0 Units @0.0000						
03/05/2018	***-**-7193 - GALE, WALTER	WELLS FARGO 94984B389	0.00	1.05	0.00	1.05	75.0	0.79
	ACCOUNT #: 01007328456	BUY 0 Units @0.0000						
03/05/2018	***-**-3069 - CONNOR, ZENYA	WELLS FARGO 94985D251	0.00	0.20	0.00	0.20	75.0	0.15
	ACCOUNT #: 01007075576	BUY 0 Units @0.0000						
03/05/2018	***-**-3904 - DENNIS, CONNOR	WELLS FARGO 949915177	0.00	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 01007075530	BUY 0 Units @0.0000						
03/05/2018	***-**-3904 - DENNIS, CONNOR	WELLS FARGO DISCOVERY FD CL A	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 01007075530	BUY 0 Units @0.0000						
03/05/2018	***-**-5720 - MELIN, LUCILLE	WELLS FARGO GLOBAL OPPT FD CL A	0.00	2.19	0.00	2.19	75.0	1.64
	ACCOUNT #: 01007029270	BUY 0 Units @0.0000						
03/05/2018	***-**-0330 - KATZENBERGER,	WELLS FARGO GLOBAL OPPT FD CL A	0.00	0.61	0.00	0.61	75.0	0.46
	ACCOUNT #: 01007029314	BUY 0 Units @0.0000						
03/05/2018	***-**-3904 - DENNIS, CONNOR	WELLS FARGO GLOBAL OPPT FD CL A	0.00	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 01007075530	BUY 0 Units @0.0000						
03/05/2018	***-**-3069 - CONNOR, ZENYA	WELLS FARGO GLOBAL OPPT FD CL A	0.00	0.20	0.00	0.20	75.0	0.15
	ACCOUNT #: 01007075576	BUY 0 Units @0.0000						
03/19/2018	***-**-6710 - EVA JAYNE	WELLS FARGO MUNI BOND FUND CL A	0.00	31.62	0.00	31.62	75.0	23.72
	ACCOUNT #: LSR011403	BUY 1 Units @0.0000						
03/05/2018	***-**-5720 - MELIN, LUCILLE	WELLS FARGO OMEGA GRWTH FD CL A	0.00	3.35	0.00	3.35	75.0	2.51
	ACCOUNT #: 01007029270	BUY 0 Units @0.0000						
03/05/2018	***-**-0330 - KATZENBERGER,	WELLS FARGO OMEGA GRWTH FD CL A	0.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 01007029314	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/05/2018	***-**-3904 - DENNIS, CONNOR	WELLS FARGO OMEGA GRWTH FD CL A	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: 01007075530	BUY 0 Units @0.0000						
03/05/2018	***-**-4535 - RATHGABER,	WELLS FARGO SPECIAL SMALL CAP VALUE	0.00	0.31	0.00	0.31	75.0	0.23
	ACCOUNT #: 01330001337	BUY 0 Units @0.0000						
03/05/2018	***-**-5720 - MELIN, LUCILLE	WELLS FARGO SPECIAL SMALL CAP VALUE	0.00	2.56	0.00	2.56	75.0	1.92
	ACCOUNT #: 01007029270	BUY 0 Units @0.0000						
03/05/2018	***-**-0330 - KATZENBERGER,	WELLS FARGO SPECIAL SMALL CAP VALUE	0.00	1.32	0.00	1.32	75.0	0.99
	ACCOUNT #: 01007029314	BUY 0 Units @0.0000						
03/05/2018	***-**-0804 - GALE, MARY	WELLS FARGO SPECIAL SMALL CAP VALUE	0.00	3.60	0.00	3.60	75.0	2.70
	ACCOUNT #: 01007327894	BUY 0 Units @0.0000						
03/05/2018	***-**-7193 - GALE, WALTER	WELLS FARGO SPECIAL SMALL CAP VALUE	0.00	2.24	0.00	2.24	75.0	1.68
	ACCOUNT #: 01007328456	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$261.84	\$0.00	\$261.84		\$196.49
*** BROKER TRANSACTIONS TOTAL ***			\$641.66	\$281.08	\$0.00	\$281.08		\$210.92

BROKER COMMISSION TOTALS

Broker Transactions	\$210.92
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$0.00