



Concorde Investment Services, LLC
COMMISSION STATEMENT
December 31, 2017

12/28/2017
Page No. 1

K146-JASON KEMBLE
4106 E. Doe Creek Drive

BROKER #: K146
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65202-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
12/13/2017	KEMBLE, JASON	AM FUNDS GROWTH FUND OF AMERICA A	500.00	25.00	0.00	25.00	90.0	22.50
12/13/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	250.00	12.50	0.00	12.50	90.0	11.25
12/15/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
12/13/2017	MO/TOD	AM FUNDS NEW ECONOMY A	250.00	12.50	0.00	12.50	90.0	11.25
12/15/2017	MO/TOD	AM FUNDS NEW ECONOMY A	50.00	2.50	0.00	2.50	90.0	2.25
12/11/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	90.0	4.50
12/13/2017	KEMBLE, JASON	AMERICAN FUND GLOBAL GROWTH PORTFOL	500.00	25.00	0.00	25.00	90.0	22.50
* MUTUAL FUNDS SUBTOTAL *			\$1,800.00	\$90.00	\$0.00	\$90.00		\$81.00
*** DIRECT TRANSACTIONS TOTAL ***			\$1,800.00	\$90.00	\$0.00	\$90.00		\$81.00

SPLIT TRANSACTIONS

BROKER: JEFF/JASON KAUFMAN/KEMBLE #349

12/15/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	51.55	0.23	0.00	0.23	90.0	0.21
12/15/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	51.55	0.23	0.00	0.23	90.0	0.21
12/12/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	33.84	0.65	0.00	0.65	90.0	0.59
12/12/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	1,041.67	19.92	0.00	19.92	90.0	17.93
12/01/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	22.59	0.10	0.00	0.10	90.0	0.09
12/01/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	22.59	0.10	0.00	0.10	90.0	0.09
12/15/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	18.09	0.08	0.00	0.08	90.0	0.07
12/15/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	18.09	0.08	0.00	0.08	90.0	0.07
12/20/2017	HERREN, SARA	AM FUNDS AMERICAN BALANCED A	4,165.07	93.71	0.00	93.71	90.0	84.34
11/28/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
12/01/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.60	0.09	0.00	0.09	90.0	0.08
12/01/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.80	0.11	0.00	0.11	90.0	0.10

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
12/01/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	6.54	0.03	0.00	0.03	90.0	0.03
12/01/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
12/01/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	49.09	0.22	0.00	0.22	90.0	0.20
12/01/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	49.09	0.22	0.00	0.22	90.0	0.20
12/01/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	13.30	0.06	0.00	0.06	90.0	0.05
12/01/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	13.30	0.06	0.00	0.06	90.0	0.05
12/01/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	45.75	0.21	0.00	0.21	90.0	0.19
12/01/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	45.75	0.21	0.00	0.21	90.0	0.19
12/12/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	833.34	15.94	0.00	15.94	90.0	14.35
12/12/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	136.61	2.61	0.00	2.61	90.0	2.35
12/15/2017	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	56.45	0.25	0.00	0.25	90.0	0.23
12/15/2017	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	56.45	0.25	0.00	0.25	90.0	0.23
12/15/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.10	0.00	0.10	90.0	0.09
12/15/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.08	0.00	0.08	90.0	0.07
12/15/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	50.59	0.23	0.00	0.23	90.0	0.21
12/15/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	50.59	0.23	0.00	0.23	90.0	0.21
12/15/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.05	0.00	0.05	90.0	0.05
12/15/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.05	0.00	0.05	90.0	0.05
12/15/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	42.00	0.19	0.00	0.19	90.0	0.17
12/15/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	42.00	0.19	0.00	0.19	90.0	0.17
12/15/2017	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
12/15/2017	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
12/15/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
12/15/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	4.62	0.02	0.00	0.02	90.0	0.02
12/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.13	0.00	1.13	90.0	1.02
12/01/2017	ZELLMER, RIANNON	AM FUNDS ICA A	13.84	0.06	0.00	0.06	90.0	0.05
12/01/2017	ZELLMER, RIANNON	AM FUNDS ICA A	13.84	0.06	0.00	0.06	90.0	0.05
12/01/2017	WAHLIG, KACEY	AM FUNDS ICA A	22.60	0.10	0.00	0.10	90.0	0.09
12/01/2017	WAHLIG, KACEY	AM FUNDS ICA A	22.60	0.10	0.00	0.10	90.0	0.09
12/01/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	20.04	0.09	0.00	0.09	90.0	0.08
12/01/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	20.04	0.09	0.00	0.09	90.0	0.08
12/15/2017	ZELLMER, RIANNON	AM FUNDS ICA A	14.85	0.07	0.00	0.07	90.0	0.06
12/15/2017	ZELLMER, RIANNON	AM FUNDS ICA A	14.85	0.07	0.00	0.07	90.0	0.06

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: JEFF/JASON KAUFMAN/KEMBLE #349								
12/15/2017	WAHLIG, KACEY	AM FUNDS ICA A	18.10	0.08	0.00	0.08	90.0	0.07
12/15/2017	WAHLIG, KACEY	AM FUNDS ICA A	18.10	0.08	0.00	0.08	90.0	0.07
12/15/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.09	0.00	0.09	90.0	0.08
12/15/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.09	0.00	0.09	90.0	0.08
12/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	1.13	0.00	1.13	90.0	1.02
12/01/2017	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	1.13	0.00	1.13	90.0	1.02
12/01/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.55	0.00	0.55	90.0	0.50
12/01/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	1,000.00	4.50	0.00	4.50	90.0	4.05
12/15/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	1,000.00	4.50	0.00	4.50	90.0	4.05
12/15/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.55	0.00	0.55	90.0	0.50
12/01/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.60	0.09	0.00	0.09	90.0	0.08
12/01/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.80	0.11	0.00	0.11	90.0	0.10
12/15/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.10	0.00	0.10	90.0	0.09
12/15/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.08	0.00	0.08	90.0	0.07
12/01/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	20.04	0.09	0.00	0.09	90.0	0.08
12/01/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	20.04	0.09	0.00	0.09	90.0	0.08
12/01/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	45.75	0.21	0.00	0.21	90.0	0.19
12/01/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	45.75	0.21	0.00	0.21	90.0	0.19
12/15/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.09	0.00	0.09	90.0	0.08
12/15/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.09	0.00	0.09	90.0	0.08
12/15/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	42.00	0.19	0.00	0.19	90.0	0.17
12/15/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	42.00	0.19	0.00	0.19	90.0	0.17
12/15/2017	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	1.13	0.00	1.13	90.0	1.02
12/12/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	208.33	3.98	0.00	3.98	90.0	3.58
12/12/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.15	0.65	0.00	0.65	90.0	0.59
12/04/2017	MCNERNEY, DAVID	PAC LIFE VUL	0.00	9.00	0.00	9.00	90.0	8.10
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$10,586.79	\$174.12	\$0.00	\$174.12		\$156.77
*** SPLIT TRANSACTIONS TOTAL ***			\$10,586.79	\$174.12	\$0.00	\$174.12		\$156.77

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BROKER COMMISSION TOTALS

Broker Transactions	\$81.00
Split Transactions	\$156.77
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-690.88
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-453.11
Year-to-date Gross	\$43,166.71
Year-to-date Earnings	\$38,859.12