



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 17, 2017

01/13/2017
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BRUCE BAXTER
10385 Wellington Blvd.

BROKER #: B121 Terminated 05/30/2015
BRANCH: BRUCE BAXTER

Powell, OH 43065

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/03/2017	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	KNEPPER, MAX	PRU AS ADVISOR PLAN III	26,744.01	16.72	0.00	16.72	0.0	0.00
12/31/2016	KNEPPER, MAX	PRU AS ADVISOR PLAN III	26,744.01	16.72	0.00	16.72	0.0	0.00
12/31/2016	ZUPP, ELEANOR	PRU AS LIFEVEST II	62,593.27	39.12	0.00	39.12	0.0	0.00
12/31/2016	ZUPP, ELEANOR	PRU AS LIFEVEST II	62,593.27	117.36	0.00	117.36	0.0	0.00
12/31/2016	QUELETTE, KAREN	PRU AS XTRA CREDIT SIX	69,871.79	43.67	0.00	43.67	0.0	0.00
12/31/2016	QUELETTE, KAREN	PRU AS XTRA CREDIT SIX	69,871.79	43.67	0.00	43.67	0.0	0.00
12/31/2016	MCGINNIS, KATHLEEN	PRU AS XTRA CREDIT SIX	219,894.57	137.43	0.00	137.43	0.0	0.00
12/31/2016	BUCKEYE, ALEN	PRU AS XTRA CREDIT SIX	59,835.79	37.40	0.00	37.40	0.0	0.00
12/31/2016	GORDON, DAVID	PRU AS XTRA CREDIT SIX	461,213.13	288.26	0.00	288.26	0.0	0.00
12/29/2016	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	15,966.48	5.99	0.00	5.99	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$1,075,328.11	\$746.34	\$0.00	\$746.34		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/23/2016	HUFFMAN, JUSTINE	PROFUNDS	0.00	14.35	0.00	14.35	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$14.35	\$0.00	\$14.35		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/27/2016	GOLSCH, INVESTMENT LTD	ING ACCESS	0.00	52.22	0.00	52.22	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$52.22	\$0.00	\$52.22		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$1,075,428.11	\$817.91	\$0.00	\$817.91		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$817.91
Year-to-date Earnings	\$0.00