

All Companies
STATEMENT
August 20, 2018

MICHAEL GORMAN - LPCM
3030 River Birch

BROKER #: 3278
BRANCH: LIBERTY PARTNERS CAP

Pearland, TX 77584-

COMMISSION STATEMENT for MICHAEL GORMAN - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
07/17/2018 #	NG, BRIAN	IAFEES	B	-223.67	156.57	0.00	0.00	156.57	92.0	144.04
07/17/2018 #	DVORAK, STEVEN	IAFEES	B	-262.42	167.95	0.00	0.00	167.95	92.0	154.51
07/17/2018 #	DURHAM, PATRICIA	IAFEES	B	-527.98	404.78	0.00	0.00	404.78	92.0	372.40
07/17/2018 #	HANSEN, ROBERT	IAFEES	B	-1,175.38	846.27	0.00	0.00	846.27	92.0	778.57
07/17/2018 #	TUNNEY, JAMES	IAFEES	B	-2,476.29	1,864.50	0.00	0.00	1,864.50	92.0	1,715.34
07/17/2018 #	TUNNEY, JAMES	IAFEES	B	-449.87	0.00	0.00	0.00	0.00	92.0	0.00
07/17/2018 #	NGUYEN, EDWARD	IAFEES	B	-347.40	250.13	0.00	0.00	250.13	92.0	230.12
07/17/2018 #	REMOND, SANJAY	IAFEES	B	-542.17	352.41	0.00	0.00	352.41	92.0	324.22
07/17/2018 #	TUNNEY, JAMES	IAFEES	B	0.00	264.63	0.00	0.00	264.63	92.0	243.46
07/17/2018 #	NG, LISA	IAFEES	B	-293.93	191.06	0.00	0.00	191.06	92.0	175.78
07/17/2018 #	REMOND, SANJAY	IAFEES	B	-85.92	47.26	0.00	0.00	47.26	92.0	43.48
07/17/2018 #	DALTON, DAVID	IAFEES	B	-582.19	419.17	0.00	0.00	419.17	92.0	385.64
07/17/2018 #	REMOND, MARIA DE LOURDE	IAFEES	B	-173.56	95.45	0.00	0.00	95.45	92.0	87.81
* MUTUAL FUNDS SUBTOTAL *				\$-7,140.78	\$5,060.18	\$0.00	\$0.00	\$5,060.18		\$4,655.37

PRODUCT CATEGORY: RIA

08/01/2018 #516006	TCA GORMAN VAR	LPCM MOD 30/30/40	B	29,160.07	36.45	0.00	0.00	36.45	92.0	36.45
08/01/2018 #516010	TCA GORMAN VAR	LPCM MOD 30/30/40	B	18,007.70	22.51	0.00	0.00	22.51	92.0	22.51
08/01/2018 #516013	TCA GORMAN VAR	LPCM MOD 30/30/40	B	67,842.06	84.80	0.00	0.00	84.80	92.0	84.80
08/01/2018 #516021	TCA GORMAN VAR	LPCM MOD 30/30/40	B	18,537.62	15.45	0.00	0.00	15.45	92.0	15.45
08/01/2018 #516023	TCA GORMAN VAR	LPCM MOD 30/30/40	B	16,869.63	21.09	0.00	0.00	21.09	92.0	21.09
08/01/2018 #516026	TCA GORMAN VAR	LPCM MOD 30/30/40	B	31,128.36	38.91	0.00	0.00	38.91	92.0	38.91
08/01/2018 #516044	TCA GORMAN VAR	LPCM MOD 30/30/40	B	35,210.27	35.21	0.00	0.00	35.21	92.0	35.21
08/01/2018 #516834	TCA GORMAN VAR	LPCM MOD 30/30/40	B	25,705.28	21.42	0.00	0.00	21.42	92.0	21.42
08/01/2018 #516006	TCA GORMAN VAR	LPCM MOD 30/30/40	B	29,160.07	-14.58	0.00	0.00	-14.58	92.0	-14.58
08/01/2018 #516010	TCA GORMAN VAR	LPCM MOD 30/30/40	B	18,007.70	-9.00	0.00	0.00	-9.00	92.0	-9.00
08/01/2018 #516013	TCA GORMAN VAR	LPCM MOD 30/30/40	B	67,842.06	-33.92	0.00	0.00	-33.92	92.0	-33.92
08/01/2018 #516021	TCA GORMAN VAR	LPCM MOD 30/30/40	B	18,537.62	-9.26	0.00	0.00	-9.26	92.0	-9.26
08/01/2018 #516023	TCA GORMAN VAR	LPCM MOD 30/30/40	B	16,869.63	-8.43	0.00	0.00	-8.43	92.0	-8.43
08/01/2018 #516026	TCA GORMAN VAR	LPCM MOD 30/30/40	B	31,128.36	-15.56	0.00	0.00	-15.56	92.0	-15.56
08/01/2018 #516044	TCA GORMAN VAR	LPCM MOD 30/30/40	B	35,210.27	-17.60	0.00	0.00	-17.60	92.0	-17.60

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
08/01/2018	#516834 TCA GORMAN VAR	LPCM MOD 30/30/40	B	25,705.28	-12.85	0.00	0.00	-12.85	92.0	-12.85
08/01/2018	#516030 TCA GORMAN VAR	TCA NULL MODEL	B	3,933.30	-1.14	0.00	0.00	-1.14	92.0	-1.14
08/01/2018	#516012 TCA GORMAN VAR	TCA NULL MODEL	B	1,429.54	-0.41	0.00	0.00	-0.41	92.0	-0.41
08/01/2018	#516024 TCA GORMAN VAR	TCA NULL MODEL	B	1,429.54	-0.41	0.00	0.00	-0.41	92.0	-0.41
08/01/2018	#516836 TCA GORMAN VAR	TCA NULL MODEL	B	37,863.29	-11.04	0.00	0.00	-11.04	92.0	-11.04
* RIA SUBTOTAL *				\$529,577.65	\$141.64	\$0.00	\$0.00	\$141.64		\$141.64
*** BROKER TRANSACTIONS TOTAL ***				\$522,436.87	\$5,201.82	\$0.00	\$0.00	\$5,201.82		\$4,797.01

BROKER COMMISSION TOTALS

Broker Transactions	\$4,797.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,797.01
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