



Concorde Investment Services, LLC

10/11/2017

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COMMISSION STATEMENT

October 15, 2017

BRENT LEE

13423 Kings View Lane

Williston, ND 58801-

BROKER #: L137

BRANCH: TRUWEALTH FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/30/2017	KUHN, KENNETH	JNL PERSPECTIVE L	253,854.32	211.55	0.00	211.55	90.0	190.40
09/30/2017	KUHN, KRISTINE	JNL PERSPECTIVE L	136,828.33	114.02	0.00	114.02	90.0	102.62
09/30/2017	FREIH, DIANE	JNL PERSPECTIVE L	108,207.04	90.17	0.00	90.17	90.0	81.15
09/30/2017	KEMPER, SHERRY	JNL PERSPECTIVE L	216,149.20	180.12	0.00	180.12	90.0	162.11
09/30/2017	RETZLAFF, SANDRA	JNL PERSPECTIVE L	37,758.03	31.47	0.00	31.47	90.0	28.32
09/30/2017	GRANT, GREGORY	JNL PERSPECTIVE L	94,507.03	78.76	0.00	78.76	90.0	70.88
09/30/2017	MONETTE, RONALD	JNL PERSPECTIVE L	60,185.37	50.15	0.00	50.15	90.0	45.14
09/30/2017	LIESENER, MARTY	JNL PERSPECTIVE L	43,409.38	36.17	0.00	36.17	90.0	32.55
09/30/2017	BARTINGALE, JAMES	JNL PERSPECTIVE L	62,343.00	51.95	0.00	51.95	90.0	46.76
09/30/2017	MONETTE, RONALD	JNL PERSPECTIVE L	204,946.56	170.79	0.00	170.79	90.0	153.71
09/30/2017	BARTINGALE, JAMES	PRU PREMIER RETIREMENT B	124,632.34	155.79	0.00	155.79	90.0	140.21
09/30/2017	SIKOLE, ROBERT	PRU PREMIER RETIREMENT B	35,248.30	22.03	0.00	22.03	90.0	19.83
* VARIABLE ANNUITIES SUBTOTAL *			\$1,378,068.90	\$1,192.97	\$0.00	\$1,192.97		\$1,073.68
*** BROKER TRANSACTIONS TOTAL ***			\$1,378,068.90	\$1,192.97	\$0.00	\$1,192.97		\$1,073.68

SPLIT TRANSACTIONS

BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332

10/02/2017	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
10/02/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	180.00	3.15	0.00	3.15	90.0	2.84
10/02/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	160.00	2.80	0.00	2.80	90.0	2.52
10/10/2017	SIKOLE, ROBERT	COTTONWOOD MULTIFAMILY REIT II, INC	86,500.00	0.00	0.00	0.00	90.0	0.00
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$87,065.00	\$9.89	\$0.00	\$9.89		\$8.91

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
09/18/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.18	0.00	0.18	90.0	0.16
09/26/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
09/20/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.09	0.00	0.09	90.0	0.08
10/02/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	-1,000.00	0.00	0.00	0.00	90.0	0.00
09/18/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.02	0.00	0.02	90.0	0.02
09/18/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.12	0.00	0.12	90.0	0.11
09/18/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.56	0.00	0.56	90.0	0.50
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$-1,000.00	\$1.02	\$0.00	\$1.02		\$0.92
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
10/02/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	3.01	0.00	3.01	90.0	2.71
10/02/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	5.18	0.00	5.18	90.0	4.66
10/02/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	3.43	0.00	3.43	90.0	3.09
10/02/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	2.66	0.00	2.66	90.0	2.39
10/04/2017	HEEN, JEREMY	HPI FUND V	60,000.00	1,440.00	0.00	1,440.00	90.0	1,296.00
09/28/2017	HEEN, JEREMY	HPI REAL ESTATE FUND V LLC	60,000.00	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	SHOPOFF COMMERCIAL GROWTH AND INCOME	60,000.00	0.00	0.00	0.00	90.0	0.00
10/04/2017	HEEN, JEREMY	SHOPOFF COMMERCIAL GRWTH & INC FD 3	60,000.00	1,680.00	0.00	1,680.00	90.0	1,512.00
09/01/2017	LEE-NORBY-DONAIS, VARIOUS-BBJ	VOYA 529 AGE 5-8 OPTION CL A	50.00	0.85	0.00	0.85	90.0	0.77
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$241,070.00	\$3,135.13	\$0.00	\$3,135.13		\$2,821.62
<u>BROKER: TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L #336</u>								
10/03/2017	HENNEN, STUART	DEERE & CO	-5,633.32	0.00	2.92	-2.92	90.0	-2.63
10/03/2017	HENNEN, STUART	EXXON MOBIL CORP COM	-4,750.78	0.00	2.92	-2.92	90.0	-2.63
10/03/2017	HENNEN, STUART	FIDELITY FREEDOM 2020 FUND	-11,381.80	0.00	2.86	-2.86	90.0	-2.57
10/03/2017	HENNEN, STUART	FIDELITY FREEDOM TWENTY THIRTY FUND	-4,123.05	0.00	2.86	-2.86	90.0	-2.57
10/03/2017	HENNEN, STUART	MOSAIC COMPANY NEW	-2,715.43	0.00	2.92	-2.92	90.0	-2.63
* TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L SUBTOTAL *			\$-28,604.38	\$0.00	\$14.48	\$-14.48		\$-13.03
*** SPLIT TRANSACTIONS TOTAL ***			\$298,530.62	\$3,146.04	\$14.48	\$3,131.56		\$2,818.42

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
10/15/2017		*CONCIERGE SVC: 199917,199942-HEEN	0.00					-40.00
10/15/2017		*CONCIERGE SVC: 199960,200666-HEEN	0.00					-40.00
10/15/2017		*CONCIERGE SVC: 200109-ALEXANDER	0.00					-5.50
10/15/2017		*CONCIERGE SVC: 200201-GANTVERG	0.00					-5.50
10/15/2017		*CONCIERGE SVC: 200676-HEEN	0.00					-20.00
10/15/2017		*CONCIERGE SVC: 200745,200748-KRING	0.00					-40.00
10/15/2017		*CONCIERGE SVC: 200910-MONETTE	0.00					-20.00
10/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.50
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.50
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-0.51
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-3.60
10/15/2017		*PERSHING: ETF PROSPECTUS	0.00					-0.42
10/15/2017		*RBC: WRITE OFF-7A502924	0.00					-0.35
10/15/2017		*RBC: WRITE OFF-7A502925	0.00					-0.36
10/15/2017		*RBC: WRITE OFF-7A502936	0.00					-0.74
10/15/2017		*RBC: WRITE OFF-7A502948	0.00					-0.27
10/15/2017		*RBC: WRITE OFF-7A502950	0.00					-8.73
10/15/2017		*RBC: WRITE OFF-7A502960	0.00					-0.61
10/15/2017		*RBC: WRITE OFF-7A502961	0.00					-0.16
10/15/2017		*RBC: WRITE OFF-7A502964	0.00					-0.03
10/15/2017		*RBC: WRITE OFF-7A502967	0.00					-0.21
10/15/2017		*RBC: WRITE OFF-7A502985	0.00					-5.48
10/15/2017		*REGISTRATION FEE: AZ-INDIVIDUAL	0.00					-45.00
10/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-815.47

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BROKER COMMISSION TOTALS

Broker Transactions	\$1,073.68
Split Transactions	\$2,818.42
Override Transactions	\$0.00
Adjustments	\$-815.47
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$80.78
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,157.41
Year-to-date Gross	\$47,913.12
Year-to-date Earnings	\$43,050.46