

COMMISSION STATEMENT

April 30, 2017

MICHAEL BAROLOTTA
57 EISENHOWER DRIVEBROKER #:
BRANCH: HOME OFFICE

EAST QUOGUE, NY 11942

COMMISSION STATEMENT for MICHAEL BAROLOTTA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

03/30/2017	75502101	CORSO, JOSEPH	VANGUARD FTSE EMERGING MARKETS ETF	B	2,971.10	0.00	10.00	-10.00	80.0	0.00
03/30/2017	75502101	CORSO, JOSEPH	VANGUARD FTSE DEVELOPED MARKETS ETF	B	11,479.95	0.00	10.00	-10.00	80.0	0.00
03/30/2017	75502101	CORSO, JOSEPH	VANGUARD INDEX FUNDS VANGUARD EXTEN	B	3,785.98	0.00	10.00	-10.00	80.0	0.00
03/30/2017	75502101	CORSO, JOSEPH	VANGUARD INTERMEDIATE TERM BOND ETF	B	1,337.41	0.00	10.00	-10.00	80.0	0.00
03/30/2017	75502101	CORSO, JOSEPH	VANGUARD LONG TERM BOND ETF	B	1,081.93	0.00	10.00	-10.00	80.0	0.00
03/30/2017	75502101	CORSO, JOSEPH	VANGUARD SCOTTSDALE FUNDS VANGUARD	B	1,310.50	0.00	10.00	-10.00	80.0	0.00
03/30/2017	75502101	CORSO, JOSEPH	VANGUARD SHORT TERM BOND ETF	B	2,470.70	0.00	10.00	-10.00	80.0	0.00
03/30/2017	75502101	CORSO, JOSEPH	VANGUARD S&P 500 ETF	B	16,914.73	0.00	10.00	-10.00	80.0	0.00
03/30/2017	75502101	CORSO, JOSEPH	VANGUARD TOTAL INTERNATIONAL BOND E	B	2,654.58	0.00	10.00	-10.00	80.0	0.00
04/13/2017	75502101	CORSO, JOSEPH	VANGUARD S&P 500 ETF	B	427.97	0.00	10.00	-10.00	80.0	0.00
* STOCKS SUBTOTAL *					<u>\$44,434.85</u>	<u>\$0.00</u>	<u>\$100.00</u>	<u>\$-100.00</u>		<u>\$0.00</u>

PRODUCT CATEGORY: RIA

04/18/2017	75502093	FIDER, LOURDES	FAS FEES	B	-44.52	22.26	10.00	12.26	80.0	0.00
04/18/2017	75502101	CORSO, JOSEPH	FAS FEES	B	-55.96	27.98	10.00	17.98	80.0	0.00
* RIA SUBTOTAL *					<u>\$-100.48</u>	<u>\$50.24</u>	<u>\$20.00</u>	<u>\$30.24</u>		<u>\$0.00</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/11/2017	75501422	FROUXIDES, MENELAOS	AIM ENERGY FUND - CLASS A	B	0.00	2.40	0.00	2.40	80.0	1.92
04/11/2017	75501422	FROUXIDES, MENELAOS	AMERICAN BALANCED FUND INC CL F1	B	0.00	4.82	0.00	4.82	80.0	3.86
04/11/2017	75501422	FROUXIDES, MENELAOS	BLACKROCK EQUITY DIVIDEND FUND SVC	B	0.00	2.62	0.00	2.62	80.0	2.10
04/11/2017	75501422	FROUXIDES, MENELAOS	BOND FUND AMER INC CL F1	B	0.00	0.87	0.00	0.87	80.0	0.00
04/11/2017	75501422	FROUXIDES, MENELAOS	LORD ABBETT SHORT DURATION INCOME F	B	0.00	0.60	0.00	0.60	80.0	0.00
04/11/2017	75501422	FROUXIDES, MENELAOS	LORD ABBETT HIGH YIELD FUND CL-A	B	0.00	0.37	0.00	0.37	80.0	0.00
04/11/2017	75501422	FROUXIDES, MENELAOS	OPPENHEIMER SENIOR FLOATING RATE FU	B	0.00	1.09	0.00	1.09	80.0	0.87
04/11/2017	75501422	FROUXIDES, MENELAOS	PIMCO INCOME FUND CLASS D	B	0.00	3.52	0.00	3.52	80.0	2.82
04/11/2017	75501426	FROUXIDES, NATIA	INCOME FUND AMER INC CL F1	B	0.00	2.84	0.00	2.84	80.0	2.27
04/11/2017	75501426	FROUXIDES, NATIA	LORD ABBETT SHORT DURATION INCOME F	B	0.00	0.50	0.00	0.50	80.0	0.00
04/11/2017	75501426	FROUXIDES, NATIA	OPPENHEIMER STRATEGIC INCOME FD CL	B	0.00	0.97	0.00	0.97	80.0	0.00
04/12/2017	75501426	FROUXIDES, NATIA	**IVA FIDUCIARY TR	B	0.00	3.09	0.00	3.09	80.0	2.47
04/18/2017	75501426	FROUXIDES, NATIA	**ABERDEEN GLOBAL HIGH INCOME	B	0.00	0.59	0.00	0.59	80.0	0.00
04/11/2017	75501427	FROUXIDES, MENELAOS	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	2.89	0.00	2.89	80.0	2.31

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/11/2017	75501427	FROUXIDES, MENELAOS	CAPITAL INCOME BUILDER FD CL F-1	B	0.00	6.50	0.00	6.50	80.0	5.20
04/11/2017	75501427	FROUXIDES, MENELAOS	CAPITAL WORLD BOND FUND CL F1	B	0.00	2.35	0.00	2.35	80.0	1.88
04/11/2017	75501427	FROUXIDES, MENELAOS	FIDELITY ADVISOR ENERGY CL A	B	0.00	1.81	0.00	1.81	80.0	1.45
04/11/2017	75501427	FROUXIDES, MENELAOS	FIRST EAGLE OVERSEAS FUND CLASS A	B	0.00	16.30	0.00	16.30	80.0	13.04
04/11/2017	75501427	FROUXIDES, MENELAOS	OPPENHEIMER STRATEGIC INCOME FD CL	B	0.00	4.28	0.00	4.28	80.0	3.42
04/11/2017	75501427	FROUXIDES, MENELAOS	OPPENHEIMER STEELPATH MLP ALPHA FUN	B	0.00	0.91	0.00	0.91	80.0	0.00
04/18/2017	75501427	FROUXIDES, MENELAOS	**ABERDEEN TOTAL RETURNBOND	B	0.00	4.96	0.00	4.96	80.0	3.97
04/18/2017	75501427	FROUXIDES, MENELAOS	**ABERDEEN GLOBAL HIGH INCOME	B	0.00	3.49	0.00	3.49	80.0	2.79
04/11/2017	75501428	FROUXIDES, NATIA	BLACKROCK NAT RES TR CL A	B	0.00	1.46	0.00	1.46	80.0	1.17
04/11/2017	75501428	FROUXIDES, NATIA	DREYFUS INVT FDS STANDISH GLOBAL FI	B	0.00	3.76	0.00	3.76	80.0	3.01
04/11/2017	75501428	FROUXIDES, NATIA	DREYFUS NY TAX EXEMPT BOND FUND INC	B	0.00	2.61	0.00	2.61	80.0	2.09
04/11/2017	75501428	FROUXIDES, NATIA	FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	21.20	0.00	21.20	80.0	16.96
04/11/2017	75501428	FROUXIDES, NATIA	INCOME FUND AMER INC CL F1	B	0.00	11.85	0.00	11.85	80.0	9.48
04/11/2017	75501428	FROUXIDES, NATIA	LOOMIS SAYLES BOND FUND RETAIL CLAS	B	0.00	6.16	0.00	6.16	80.0	4.93
04/11/2017	75501428	FROUXIDES, NATIA	OPPENHEIMER SENIOR FLOATING RATE FU	B	0.00	5.27	0.00	5.27	80.0	4.22
04/11/2017	75501428	FROUXIDES, NATIA	OPPENHEIMER STEELPATH MLP INCOME FU	B	0.00	1.18	0.00	1.18	80.0	0.94
04/11/2017	75501428	FROUXIDES, NATIA	US GOVT FUND CLASS A	B	0.00	1.48	0.00	1.48	80.0	1.18
04/11/2017	75501428	FROUXIDES, NATIA	WESTERN ASSET SHORT DURATION HIGH I	B	0.00	1.36	0.00	1.36	80.0	1.09
04/11/2017	75501430	D'AGOSTINO, NICHOLAS	AMERICAN FUNDS 2025 TARGET DATE-A	B	0.00	2.37	0.00	2.37	80.0	1.90
04/11/2017	75501565	GIANNIZZERO, THOMAS	PUTNAM 746444108	B	0.00	8.79	0.00	8.79	80.0	7.03
04/11/2017	75501566	GIANNIZZERO, LAURE	MFS TOTAL RETURN FUND-A	B	0.00	7.70	0.00	7.70	80.0	6.16
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$142.96	\$0.00	\$142.96		\$110.53
*** BROKER TRANSACTIONS TOTAL ***					\$44,334.37	\$193.20	\$120.00	\$73.20		\$110.53
ADJUSTMENTS										
04/30/2017		:			0.00	0.00	0.00	0.00		-5.00
04/30/2017		E & O INSURANCE:	E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00
04/30/2017		OTHER:	COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
04/30/2017		OTHER:	OTHER-TRANSAMERICA		0.00	0.00	0.00	0.00		2,520.00
04/30/2017		OTHER:	OTHER-ADP		0.00	0.00	0.00	0.00		19,160.26
04/30/2017		OTHER:	OTHER-WEALTH MANAGEMENT		0.00	0.00	0.00	0.00		-25.00
04/30/2017		OTHER:	OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-5.00
04/30/2017		:			0.00	0.00	0.00	0.00		0.00
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$21,425.26

BROKER COMMISSION TOTALS

Broker Transactions	\$110.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$21,425.26
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.24
SIPC Assessment	\$-3.62

Check Amount	\$21,531.93
Year-to-date Earnings	\$39,848.74