

All Companies
STATEMENT
July 20, 2018

DON BREWINGTON

BROKER #: 247
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DON BREWINGTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/20/2018	# O'LEARLY, JOHN	MELINTA THERAPEUTICS INC	3,989.50	0.00	75.0	0.00	0.00	0.00	0.00
06/20/2018	# HALLIGAN, PAT	PARATEK PHARMACEUTICALS INC COM	2,860.00	0.00	75.0	0.00	0.00	0.00	0.00
06/20/2018	# O'LEARLY, JOHN	RBB FD INC	2,067.95	0.00	75.0	0.00	0.00	0.00	0.00
06/20/2018	# HALLIGAN, PAT	SECTOR SPDR - FINANCIAL	2,730.92	0.00	75.0	0.00	0.00	0.00	0.00
06/20/2018	# HALLIGAN, PAT	SECTOR SPDR - FINANCIAL	2,733.68	0.00	75.0	0.00	0.00	0.00	0.00
06/20/2018	# O'LEARLY, JOHN	SECTOR SPDR - FINANCIAL	2,733.60	0.00	75.0	0.00	0.00	0.00	0.00
06/20/2018	# O'LEARLY, JOHN	TETRAPHASE PHARMACEUTICALS INC COM	2,140.50	0.00	75.0	0.00	0.00	0.00	0.00
* STOCKS SUBTOTAL *			\$19,256.15	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/30/2021	#922213354 HALLIGAN, JOSEPH	LINCOLN	0.00	22.75	90.0	0.00	20.48	0.00	20.48
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$22.75		\$0.00	\$20.48	\$0.00	\$20.48
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/08/2018	# FINNELL, FERGUS	DAIN TRAILER	0.00	1.92	90.0	0.00	1.73	0.00	1.73
06/11/2018	# FINNELL, FERGUS	DAIN TRAILER	0.00	1.03	90.0	0.00	0.93	0.00	0.93
06/11/2018	# FINNELL, FERGUS	DAIN TRAILER	0.00	2.02	90.0	0.00	1.82	0.00	1.82
06/11/2018	# FINNELL, FERGUS	DAIN TRAILER	0.00	2.29	90.0	0.00	2.06	0.00	2.06
06/11/2018	# FINNELL, FERGUS	DAIN TRAILER	0.00	2.13	90.0	0.00	1.92	0.00	1.92
06/11/2018	# FINNELL, FERGUS	DAIN TRAILER	0.00	4.97	90.0	0.00	4.47	0.00	4.47
06/11/2018	# FINNELL, FERGUS	DAIN TRAILER	0.00	4.36	90.0	0.00	3.92	0.00	3.92
06/11/2018	# FINNELL, FERGUS	DAIN TRAILER	0.00	4.32	90.0	0.00	3.89	0.00	3.89
06/11/2018	# FINNELL, FERGUS	DAIN TRAILER	0.00	2.15	90.0	0.00	1.94	0.00	1.94
06/11/2018	# PHARES, BECKY	DAIN TRAILER	0.00	2.01	90.0	0.00	1.81	0.00	1.81
06/11/2018	# PHARES, BECKY	DAIN TRAILER	0.00	4.73	90.0	0.00	4.26	0.00	4.26
06/11/2018	# PHARES, BECKY	DAIN TRAILER	0.00	4.62	90.0	0.00	4.16	0.00	4.16
06/11/2018	# PHARES, BECKY	DAIN TRAILER	0.00	3.76	90.0	0.00	3.38	0.00	3.38
06/11/2018	# PHARES, BECKY	DAIN TRAILER	0.00	4.44	90.0	0.00	4.00	0.00	4.00
06/11/2018	# PHARES, BECKY	DAIN TRAILER	0.00	3.89	90.0	0.00	3.50	0.00	3.50

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<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/11/2018 # PHARES, BECKY		DAIN TRAILER	0.00	4.96	90.0	0.00	4.46	0.00	4.46
06/11/2018 # PHARES, BECKY		DAIN TRAILER	0.00	1.96	90.0	0.00	1.76	0.00	1.76
06/11/2018 # PHARES, BECKY		DAIN TRAILER	0.00	3.86	90.0	0.00	3.47	0.00	3.47
06/11/2018 # FINNELL, FERGUS		DAIN TRAILER	0.00	3.74	90.0	0.00	3.37	0.00	3.37
06/11/2018 # FINNELL, FERGUS		DAIN TRAILER	0.00	2.54	90.0	0.00	2.29	0.00	2.29
06/11/2018 # FINNELL, FERGUS		DAIN TRAILER	0.00	4.20	90.0	0.00	3.78	0.00	3.78
06/11/2018 # FINNELL, FERGUS		DAIN TRAILER	0.00	4.52	90.0	0.00	4.07	0.00	4.07
06/20/2018 # FINNELL, FERGUS		DAIN TRAILER	10,000.00	0.00	90.0	0.00	0.00	0.00	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$10,000.00	\$74.42		\$0.00	\$66.99	\$0.00	\$66.99
*** BROKER TRANSACTIONS TOTAL ***			\$29,256.15	\$97.17		\$0.00	\$87.47	\$0.00	\$87.47

ADJUSTMENTS

07/20/2018	ADMIN FEE: ADMIN FEE	-390.00
07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
07/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-28.36
	DEBIT BALANCE	
07/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-3.81
	DEBIT BALANCE	
07/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-8.24
	DEBIT BALANCE	
*** ADJUSTMENTS TOTAL ***		\$-609.41

BROKER COMMISSION TOTALS

Broker Transactions	\$87.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-609.41
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-622.21
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-1,144.15
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