

STATEMENT

May 20, 2018

STEVE GULA

17 Galahad Dr

Suite 100

Manalapan, NJ 07726-2613

BROKER #: 182

BRANCH: LA BRANCH

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/03/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
04/03/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
04/03/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
04/03/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
04/03/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	0.00	2.19
04/03/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
04/03/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	0.00	4.38
04/03/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	0.00	1.59
04/03/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
04/09/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
04/09/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	0.00	3.31
04/09/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
04/09/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
04/09/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
04/09/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
04/09/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
04/09/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	120.00	4.50	93.0	0.00	4.19	0.00	4.19
04/09/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
04/09/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
04/09/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
04/09/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
04/09/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
04/09/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
04/11/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
04/11/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
04/11/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
04/11/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
04/11/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	0.00	2.19

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/11/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
04/11/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	3.31
04/11/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
04/11/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
04/11/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
04/11/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
04/11/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
04/11/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	120.00	4.50	93.0	0.00	4.19	4.19
04/11/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
04/11/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
04/11/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
04/11/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
04/11/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
04/11/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
04/11/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
04/11/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	4.38
04/11/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	1.59
04/11/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
04/12/2018	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
04/12/2018	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
04/12/2018	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	2.98
04/12/2018	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	2.98
04/12/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	120.00	1.92	93.0	0.00	1.79	1.79
04/12/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	120.00	1.92	93.0	0.00	1.79	1.79
04/12/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.74
04/12/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.74
04/12/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	2.86
04/12/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	2.86
04/12/2018	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
04/12/2018	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
04/12/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
04/12/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
04/12/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	11.94	0.19	93.0	0.00	0.18	0.18
04/12/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	10.67	0.17	93.0	0.00	0.16	0.16
04/12/2018	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	4.64	0.07	93.0	0.00	0.07	0.07
04/12/2018	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	5.32	0.09	93.0	0.00	0.08	0.08

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/12/2018	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	4.64	0.07	93.0	0.00	0.07	0.00	0.07
04/12/2018	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	5.32	0.09	93.0	0.00	0.08	0.00	0.08
04/12/2018	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/12/2018	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/12/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	10.67	0.17	93.0	0.00	0.16	0.00	0.16
04/12/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.00	0.43
04/12/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.00	0.43
04/12/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	11.94	0.19	93.0	0.00	0.18	0.00	0.18
04/12/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	2.32	0.04	93.0	0.00	0.04	0.00	0.04
04/12/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	2.66	0.04	93.0	0.00	0.04	0.00	0.04
04/12/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/12/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/12/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.00	0.39
04/12/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.00	0.39
04/12/2018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	6.96	0.11	93.0	0.00	0.10	0.00	0.10
04/12/2018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	7.98	0.13	93.0	0.00	0.12	0.00	0.12
04/12/2018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
04/12/2018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
04/12/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.00	0.43
04/12/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.00	0.43
04/12/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	11.94	0.19	93.0	0.00	0.18	0.00	0.18
04/12/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	10.65	0.17	93.0	0.00	0.16	0.00	0.16
04/12/2018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	5.32	0.09	93.0	0.00	0.08	0.00	0.08
04/12/2018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	4.64	0.07	93.0	0.00	0.07	0.00	0.07
04/12/2018	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/12/2018	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/12/2018	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
04/12/2018	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
04/12/2018	#00086957317	BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
04/12/2018	#00086957317	BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
04/12/2018	#00086957286	DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	40.00	0.64	93.0	0.00	0.60	0.00	0.60
04/12/2018	#00086957286	DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	40.00	0.64	93.0	0.00	0.60	0.00	0.60
04/12/2018	#00086971602	DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/12/2018	#00086971602	DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/12/2018	#00086957363	WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.00	0.44
04/12/2018	#00086971602	DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.00	0.89

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/12/2018	#00086971602 DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/12/2018	#00086957363 WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.00	0.44
04/12/2018	#00086957286 DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	40.00	0.64	93.0	0.00	0.60	0.00	0.60
04/12/2018	#00086957286 DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	40.00	0.64	93.0	0.00	0.60	0.00	0.60
04/12/2018	#00086957312 CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.00	0.43
04/12/2018	#00086957312 CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.00	0.43
04/12/2018	#00086957305 CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	11.94	0.19	93.0	0.00	0.18	0.00	0.18
04/12/2018	#00086957305 CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	10.67	0.17	93.0	0.00	0.16	0.00	0.16
04/16/2018	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
04/16/2018	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	0.00	3.31
04/16/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
04/16/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
04/16/2018	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
04/16/2018	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
04/16/2018	#01464755717 SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
04/16/2018	#01464755717 SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	150.00	5.63	93.0	0.00	5.24	0.00	5.24
04/16/2018	#01471830404 JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
04/16/2018	#01471830404 JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
04/16/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
04/16/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
04/16/2018	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
04/16/2018	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
04/18/2018	# MATAGRANO, RITA	EATON VANCE DIVIDEND BUILDER	1,300.00	70.00	93.0	0.00	65.10	20.00	45.10
04/19/2018	#00086832263 BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
04/19/2018	#00086832260 MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
04/19/2018	#00086832265 MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
04/19/2018	#00084744733 SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	0.00	2.19
04/19/2018	#00084744740 ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
04/19/2018	#00084744740 ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
04/19/2018	#00084744733 SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	0.00	4.38
04/19/2018	#00084744733 SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	0.00	1.59
04/19/2018	#00084744740 ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
04/23/2018	#00087510606 OLARTENEID	AMCAP FUND INC CLASS A	251.41	5.03	93.0	0.00	4.68	0.00	4.68
04/23/2018	#00087510606 OLARTENEID	AMCAP FUND INC CLASS A	251.41	5.03	93.0	0.00	4.68	0.00	4.68
04/23/2018	#00084721881 BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-A	330.11	6.60	93.0	0.00	6.14	0.00	6.14
04/23/2018	#00084721881 BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-A	330.11	6.60	93.0	0.00	6.14	0.00	6.14

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/23/2018	#00087510595	HANSENCYNT	INVESTMENT CO AMER CLASS A	183.71	3.67	93.0	0.00	3.41	3.41
04/23/2018	#00087510595	HANSENCYNT	INVESTMENT CO AMER CLASS A	183.71	3.67	93.0	0.00	3.41	3.41
04/23/2018	#00087510609	CHRISTOGEN	INVESTMENT CO AMER CLASS A	216.14	4.32	93.0	0.00	4.02	4.02
04/23/2018	#00087510609	CHRISTOGEN	INVESTMENT CO AMER CLASS A	216.14	4.32	93.0	0.00	4.02	4.02
04/23/2018	#04000749029	LAPIDOW,	INVESTMENT CO AMER CLASS A	415.50	8.31	93.0	0.00	7.73	7.73
04/23/2018	#04000749029	LAPIDOW,	INVESTMENT CO AMER CLASS A	415.50	8.31	93.0	0.00	7.73	7.73
04/23/2018	#00084721874	BOSTICK, ELENA	U.S. GOVERNMENT SECURITIES FUND-C	329.17	3.29	93.0	0.00	3.06	3.06
04/23/2018	#00084721874	BOSTICK, ELENA	U.S. GOVERNMENT SECURITIES FUND-C	329.17	3.29	93.0	0.00	3.06	3.06
04/24/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
04/24/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
04/24/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
04/24/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	2.19
04/24/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	26.62	0.73	93.0	0.00	0.68	0.68
04/24/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	3.31
04/24/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
04/24/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
04/24/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
04/24/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
04/24/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
04/24/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	150.00	5.63	93.0	0.00	5.24	5.24
04/24/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
04/24/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
04/24/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
04/24/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
04/24/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
04/24/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
04/24/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
04/24/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	25.83	0.52	93.0	0.00	0.48	0.48
04/24/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	4.38
04/24/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	1.59
04/24/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	25.83	0.52	93.0	0.00	0.48	0.48
04/26/2018	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	2.98
04/26/2018	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
04/26/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	30.00	0.48	93.0	0.00	0.45	0.45
04/26/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.74
04/26/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	2.86

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/26/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	10.91	0.17	93.0	0.00	0.16	0.00	0.16
04/26/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.00	0.43
04/26/2018	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
04/26/2018	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	5.92	0.09	93.0	0.00	0.08	0.00	0.08
04/26/2018	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/26/2018	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	5.92	0.09	93.0	0.00	0.08	0.00	0.08
04/26/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	10.91	0.17	93.0	0.00	0.16	0.00	0.16
04/26/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.00	0.43
04/26/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.00	0.39
04/26/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/26/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	2.96	0.05	93.0	0.00	0.05	0.00	0.05
04/26/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	10.89	0.17	93.0	0.00	0.16	0.00	0.16
04/26/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.00	0.43
04/26/2018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
04/26/2018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	8.88	0.14	93.0	0.00	0.13	0.00	0.13
04/26/2018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	5.92	0.09	93.0	0.00	0.08	0.00	0.08
04/26/2018	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/26/2018	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
04/26/2018	#00086957286	DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	10.00	0.16	93.0	0.00	0.15	0.00	0.15
04/26/2018	#00086957317	BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
04/26/2018	#00086971602	DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/26/2018	#00086957363	WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.00	0.44
04/26/2018	#00086971602	DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/26/2018	#00086957286	DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	10.00	0.16	93.0	0.00	0.15	0.00	0.15
04/26/2018	#00086957305	CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	10.91	0.17	93.0	0.00	0.16	0.00	0.16
04/26/2018	#00086957312	CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.00	0.43
* MUTUAL FUNDS SUBTOTAL *			\$13,217.36	\$335.87		\$0.00	\$312.40	\$20.00	\$292.40	

PRODUCT CATEGORY: STOCKS

04/18/2018	#	VALVO, JOHN	BANK OF AMERICA CORP	2,957.50	81.26	85.0	0.00	69.07	19.95	49.12
04/18/2018	#	VALVO, JOHN	CITIGROUP INC	1,379.20	50.00	85.0	0.00	42.50	19.95	22.55
04/18/2018	#	VALVO, JOHN	THE TRAVELERS COMPANIES INC	1,760.49	56.97	85.0	0.00	48.42	19.95	28.47
04/18/2018	#	GHANEY, ROBERT	VANECK VECTORS ETF TR OIL SVCS ETF	13,587.50	289.47	85.0	0.00	246.05	19.95	226.10
04/18/2018	#	VALVO, JOHN	WELLS FARGO & CO	1,458.99	50.92	85.0	0.00	43.28	19.95	23.33
04/25/2018	#	GHANEY, WILLIAM	FIDELITY MSCI ENERGY INDEX ETF	14,200.20	317.75	85.0	0.00	270.09	19.95	250.14
04/25/2018	#	VALVO, JOHN	POWERSHARES EXCHANGE TRADED FUND TR	11,349.48	210.00	85.0	0.00	178.50	19.95	158.55

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/25/2018 #	CIRILLO, WILLIAM	VANECK VECTORS ETF TR OIL SVCS ETF	13,441.50	287.34	85.0	0.00	244.24	19.95	224.29
* STOCKS SUBTOTAL *			\$60,134.86	\$1,343.71		\$0.00	\$1,142.15	\$159.60	\$982.55
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/06/0018 #016364602	GHANEY, ROBERT	NATIONWIDE DESTINATION	44,389.52	3,218.24	93.0	0.00	2,992.96	0.00	2,992.96
04/07/0018 #016364514	LAPERSONERIE,	NATIONWIDE DESTINATION	53,375.91	3,869.76	93.0	0.00	3,598.88	0.00	3,598.88
* VARIABLE ANNUITIES SUBTOTAL *			\$97,765.43	\$7,088.00		\$0.00	\$6,591.84	\$0.00	\$6,591.84
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/06/2018 #01456912318	VARIOUS GULA	FIDELITY ADV HIGH INCOME-CL A	0.00	6.99	93.0	0.00	6.50	0.00	6.50
04/06/2018 #01456912318	VARIOUS GULA	FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	10.78	93.0	0.00	10.03	0.00	10.03
04/06/2018 #01447415207	VARIOUS GULA	FIDELITY ADV DIVIDEND GROWTH FD-CL	0.00	0.47	93.0	0.00	0.44	0.00	0.44
04/06/2018 #01456912318	VARIOUS GULA	FIDELITY ADV EQUITY INCOME-CL A	0.00	7.69	93.0	0.00	7.15	0.00	7.15
04/06/2018 #01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	0.00	4.03	93.0	0.00	3.75	0.00	3.75
04/06/2018 #01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	0.00	4.87	93.0	0.00	4.53	0.00	4.53
04/06/2018 #01464755600	VARIOUS GULA	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.44	93.0	0.00	0.41	0.00	0.41
04/06/2018 #01464755626	VARIOUS GULA	FIDELITY ADV FREEDOM 2030-CL A	0.00	1.49	93.0	0.00	1.39	0.00	1.39
04/06/2018 #01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	0.00	0.69	93.0	0.00	0.64	0.00	0.64
04/06/2018 #01464755691	VARIOUS GULA	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.04	93.0	0.00	0.04	0.00	0.04
04/06/2018 #01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.24	93.0	0.00	0.22	0.00	0.22
04/06/2018 #01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.32	93.0	0.00	0.30	0.00	0.30
04/06/2018 #01447415207	VARIOUS GULA	FIDELITY ADV GOVERNMENT INCOME-CL A	0.00	1.59	93.0	0.00	1.48	0.00	1.48
04/06/2018 #00618339899	VARIOUS GULA	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.90	93.0	0.00	0.84	0.00	0.84
04/06/2018 #01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	0.00	5.89	93.0	0.00	5.48	0.00	5.48
04/06/2018 #01464755600	VARIOUS GULA	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.57	93.0	0.00	0.53	0.00	0.53
04/06/2018 #00656045705	VARIOUS GULA	FIDELITY ADV HEALTH CARE-CL A	0.00	1.66	93.0	0.00	1.54	0.00	1.54
04/06/2018 #01447415207	VARIOUS GULA	FIDELITY ADV INVESTMENT GRADE BOND-	0.00	0.57	93.0	0.00	0.53	0.00	0.53
04/06/2018 #00656045705	VARIOUS GULA	FIDELITY ADV LARGE CAP-CL A	0.00	0.92	93.0	0.00	0.86	0.00	0.86
04/06/2018 #00689730083	VARIOUS GULA	FIDELITY ADV LARGE CAP-CL A	0.00	2.27	93.0	0.00	2.11	0.00	2.11
04/06/2018 #00656045705	VARIOUS GULA	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.75	93.0	0.00	0.70	0.00	0.70
04/06/2018 #01447415207	VARIOUS GULA	FIDELITY ADV UTILITIES-CL A	0.00	0.48	93.0	0.00	0.45	0.00	0.45
04/06/2018 #00618339899	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.59	93.0	0.00	0.55	0.00	0.55
04/06/2018 #00656045705	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.20	93.0	0.00	1.12	0.00	1.12
04/06/2018 #00689730083	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	2.12	93.0	0.00	1.97	0.00	1.97
04/06/2018 #00618339899	VARIOUS GULA	FIDELITY ADVISOR HIGH INCOME ADVANT	0.00	0.21	93.0	0.00	0.20	0.00	0.20
04/06/2018 #00656045705	VARIOUS GULA	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	1.67	93.0	0.00	1.55	0.00	1.55

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/06/2018	#00689730083	VARIOUS GULA	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.54	93.0	0.00	0.50	0.50
04/06/2018	#01456912318	VARIOUS GULA	FIDELITY ADVISORS BALANCED	0.00	7.22	93.0	0.00	6.71	6.71
04/06/2018	#01485158974		FREEDOM 2050 FUND	0.00	0.10	93.0	0.00	0.09	0.09
04/06/2018	#00689730083	VARIOUS GULA	TREASURY FUND ADVISOR-CL C	0.00	1.60	93.0	0.00	1.49	1.49
04/09/2018	#	DURDEN, LEEANNE	DAIN TRAILER	2,500.00	15.00	93.0	0.00	13.95	13.95
04/10/2018	#	VALVO, JOHN	DAIN TRAILER	0.00	4.83	93.0	0.00	4.49	4.49
04/10/2018	#	VALVO, JOHN	DAIN TRAILER	0.00	1.82	93.0	0.00	1.69	1.69
04/10/2018	#	RELLI, LINDA	DAIN TRAILER	0.00	0.75	93.0	0.00	0.70	0.70
04/10/2018	#	BOYD, KENNETH	DAIN TRAILER	0.00	9.28	93.0	0.00	8.63	8.63
04/10/2018	#	BOYD, KENNETH	DAIN TRAILER	0.00	16.74	93.0	0.00	15.57	15.57
04/10/2018	#	MULLALLY, JOHN	DAIN TRAILER	0.00	2.70	93.0	0.00	2.51	2.51
04/10/2018	#	MULLALLY, JOHN	DAIN TRAILER	0.00	5.57	93.0	0.00	5.18	5.18
04/10/2018	#	MULLALLY, JOHN	DAIN TRAILER	0.00	5.72	93.0	0.00	5.32	5.32
04/10/2018	#	MULLALLY, JOHN	DAIN TRAILER	0.00	8.02	93.0	0.00	7.46	7.46
04/10/2018	#	EDITH RELI REV TRUS	DAIN TRAILER	0.00	2.94	93.0	0.00	2.73	2.73
04/10/2018	#	EDITH RELI REV TRUS	DAIN TRAILER	0.00	9.45	93.0	0.00	8.79	8.79
04/10/2018	#	EDITH RELI REV TRUS	DAIN TRAILER	0.00	0.41	93.0	0.00	0.38	0.38
04/10/2018	#	EDITH RELI REV TRUS	DAIN TRAILER	0.00	2.56	93.0	0.00	2.38	2.38
04/10/2018	#	EDITH RELI REV TRUS	DAIN TRAILER	0.00	3.29	93.0	0.00	3.06	3.06
04/10/2018	#	EDITH RELI REV TRUS	DAIN TRAILER	0.00	12.19	93.0	0.00	11.34	11.34
04/10/2018	#	EDITH RELI REV TRUS	DAIN TRAILER	0.00	15.83	93.0	0.00	14.72	14.72
04/10/2018	#	ROGERS, BARBARA	DAIN TRAILER	0.00	5.09	93.0	0.00	4.73	4.73
04/10/2018	#	ROGERS, BARBARA	DAIN TRAILER	0.00	11.82	93.0	0.00	10.99	10.99
04/10/2018	#	ROGERS, BARBARA	DAIN TRAILER	0.00	15.70	93.0	0.00	14.60	14.60
04/10/2018	#	ROGERS, BARBARA	DAIN TRAILER	0.00	5.19	93.0	0.00	4.83	4.83
04/10/2018	#	ROGERS, BARBARA	DAIN TRAILER	0.00	7.62	93.0	0.00	7.09	7.09
04/10/2018	#	ROGERS, BARBARA	DAIN TRAILER	0.00	14.88	93.0	0.00	13.84	13.84
04/10/2018	#	LUBERTO, CHRISTINE	DAIN TRAILER	0.00	0.39	93.0	0.00	0.36	0.36
04/10/2018	#	LUBERTO, CHRISTINE	DAIN TRAILER	0.00	0.77	93.0	0.00	0.72	0.72
04/10/2018	#	ROGERS, SAMANTHA	DAIN TRAILER	0.00	0.70	93.0	0.00	0.65	0.65
04/10/2018	#	ROGERS, SAMANTHA	DAIN TRAILER	0.00	1.76	93.0	0.00	1.64	1.64
04/10/2018	#	DURDEN, LEEANNE	DAIN TRAILER	0.00	1.10	93.0	0.00	1.02	1.02
04/13/2018	#	VARIES, CUSTOMER	DAIN TRAILER	0.00	1.30	93.0	0.00	1.21	1.21
04/13/2018	#	VARIES, CUSTOMER	DAIN TRAILER	0.00	6.58	93.0	0.00	6.12	6.12
04/13/2018	#	VARIES, CUSTOMER	DAIN TRAILER	0.00	1.31	93.0	0.00	1.22	1.22
04/13/2018	#	VARIES, CUSTOMER	DAIN TRAILER	0.00	2.63	93.0	0.00	2.45	2.45

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/24/2018 # MULLALLY, JOHN	DAIN TRAILER	0.00	2.47	93.0	0.00	2.30	0.00	2.30
04/26/2018 # RELI, LINDA	DAIN TRAILER	1,800.00	10.00	93.0	0.00	9.30	0.00	9.30
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$4,300.00	\$275.31		\$0.00	\$256.07	\$0.00	\$256.07
*** BROKER TRANSACTIONS TOTAL ***		\$175,417.65	\$9,042.89		\$0.00	\$8,302.46	\$179.60	\$8,122.86

ADJUSTMENTS

05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
05/20/2018	ADMIN FEE: ADMIN FEE	-390.00
*** ADJUSTMENTS TOTAL ***		\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$8,122.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$7,553.86
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