



COMMISSION STATEMENT

April 02, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/19/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	AMCAP FUND-A BUY 3 Units @33.9700	89.00	1.07	0.00	1.07	80.0	0.86
03/19/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	AMCAP FUND-A BUY 3 Units @33.9700	89.00	1.07	0.00	1.07	80.0	0.86
03/19/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	AMCAP FUND-A BUY 3 Units @33.9700	89.00	1.07	0.00	1.07	80.0	0.86
03/19/2018	***-**-395P - DIVASTA, ANTHONY ACCOUNT #: 00059597416	AMERICAN BALANCED FUND-A BUY 2 Units @28.2100	50.00	1.88	0.00	1.88	80.0	1.50
03/19/2018	***-**-3834 - SWARTWOUT, ACCOUNT #: 00089957234	AMERICAN BALANCED FUND-C BUY 2 Units @26.7800	50.00	0.50	0.00	0.50	80.0	0.40
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @26.7800	40.80	0.41	0.00	0.41	80.0	0.33
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 0 Units @26.7800	4.00	0.04	0.00	0.04	80.0	0.03
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @26.7800	40.80	0.41	0.00	0.41	80.0	0.33
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 0 Units @26.7800	4.00	0.04	0.00	0.04	80.0	0.03
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @26.7800	40.80	0.41	0.00	0.41	80.0	0.33
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 0 Units @26.7800	4.00	0.04	0.00	0.04	80.0	0.03
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @26.7800	20.00	0.20	0.00	0.20	80.0	0.16
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @26.7800	20.00	0.20	0.00	0.20	80.0	0.16
03/19/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	AMERICAN BALANCED FUND-C BUY 1 Units @26.7800	16.00	0.16	0.00	0.16	80.0	0.13
03/19/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	AMERICAN BALANCED FUND-C BUY 0 Units @26.7800	4.61	0.05	0.00	0.05	80.0	0.04
03/19/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN BALANCED FUND-C BUY 0 Units @26.7800	1.75	0.02	0.00	0.02	80.0	0.02



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/19/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	6.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00085904181	BUY 0 Units @26.7800						
03/19/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 1 Units @26.7800						
03/19/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @26.7800						
03/19/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00089910177	BUY 4 Units @26.7800						
03/19/2018	***-**-312S - DISCH, JASMINE	AMERICAN BALANCED FUND-C	21.03	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00089583477	BUY 1 Units @26.7800						
03/22/2018	***-**-3834 - SWARTWOUT,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089957234	BUY 2 Units @26.4500						
03/22/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00089051066	BUY 2 Units @26.4500						
03/22/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00089051066	BUY 0 Units @26.4500						
03/22/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00089051066	BUY 2 Units @26.4500						
03/22/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00089051066	BUY 0 Units @26.4500						
03/22/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089045192	BUY 1 Units @26.4500						
03/22/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00089910177	BUY 5 Units @26.4500						
03/26/2018	***-**-19IN - LIPPA, MARISSA	AMERICAN BALANCED FUND-C	149.99	1.50	3.00	-1.50	100.0	-1.50
	ACCOUNT #: LSR011011	BUY 6 Units @26.5800						
03/19/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	63.86	0.64	0.00	0.64	80.0	0.51
	ACCOUNT #: 04000820464	BUY 3 Units @18.9300						
03/19/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	10.64	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 04000820464	BUY 1 Units @18.9300						
03/19/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	105.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 00087236607	BUY 10 Units @10.2300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @10.2300	4.55	0.05	0.00	0.05	80.0	0.04
03/19/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN MUTUAL FUND-C BUY 0 Units @39.9000	5.00	0.05	0.00	0.05	80.0	0.04
03/19/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	AMERICAN MUTUAL FUND-C BUY 0 Units @39.9000	5.00	0.05	0.00	0.05	80.0	0.04
03/19/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN MUTUAL FUND-C BUY 0 Units @39.9000	1.46	0.01	0.00	0.01	80.0	0.01
03/21/2018	***-**-9611 - MACDUFFIE, LINDA ACCOUNT #: 00087299599	CAPITAL INCOME BUILDER CLASS C BUY 5 Units @61.0700	300.00	3.00	0.00	3.00	80.0	2.40
03/20/2018	***-**-0447 - LEVINE, JACQUE ACCOUNT #: 00084996765	CAPITAL INCOME BUILDER-A BUY 2 Units @63.7500	100.00	3.75	0.00	3.75	80.0	3.00
03/19/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	CAPITAL WORLD BOND FUND-A BUY 1 Units @20.5900	22.25	0.27	0.00	0.27	80.0	0.22
03/19/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	CAPITAL WORLD BOND FUND-A BUY 1 Units @20.5900	22.25	0.27	0.00	0.27	80.0	0.22
03/19/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	CAPITAL WORLD BOND FUND-A BUY 1 Units @20.5900	22.25	0.27	0.00	0.27	80.0	0.22
03/19/2018	***-**-425P - MEEKS, PERDITA ACCOUNT #: 00074210167	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @55.2800	12.50	0.63	0.00	0.63	80.0	0.50
03/19/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.5600	40.00	0.40	0.00	0.40	80.0	0.32
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.5600	15.00	0.15	0.00	0.15	80.0	0.12
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.5600	15.00	0.15	0.00	0.15	80.0	0.12
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.5600	3.60	0.04	0.00	0.04	80.0	0.03
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @51.5600	96.40	0.96	0.00	0.96	80.0	0.77
03/22/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @50.5100	40.00	0.40	0.00	0.40	80.0	0.32



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @50.5100	15.00	0.15	0.00	0.15	80.0	0.12
03/19/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	EUROPACIFIC GROWTH FUND-A BUY 1 Units @58.3000	66.75	0.80	0.00	0.80	80.0	0.64
03/19/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	EUROPACIFIC GROWTH FUND-A BUY 1 Units @58.3000	66.75	0.80	0.00	0.80	80.0	0.64
03/19/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	EUROPACIFIC GROWTH FUND-A BUY 1 Units @58.3000	66.75	0.80	0.00	0.80	80.0	0.64
03/19/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	FUNDAMENTAL INVESTORS-A BUY 2 Units @63.9100	133.50	1.60	0.00	1.60	80.0	1.28
03/19/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	FUNDAMENTAL INVESTORS-A BUY 2 Units @63.9100	133.50	1.60	0.00	1.60	80.0	1.28
03/19/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	FUNDAMENTAL INVESTORS-A BUY 2 Units @63.9100	133.50	1.60	0.00	1.60	80.0	1.28
03/19/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	FUNDAMENTAL INVESTORS-C BUY 0 Units @62.6300	5.00	0.05	0.00	0.05	80.0	0.04
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @62.6300	20.40	0.20	0.00	0.20	80.0	0.16
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @62.6300	2.00	0.02	0.00	0.02	80.0	0.02
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @62.6300	20.40	0.20	0.00	0.20	80.0	0.16
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @62.6300	2.00	0.02	0.00	0.02	80.0	0.02
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @62.6300	20.40	0.20	0.00	0.20	80.0	0.16
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @62.6300	2.00	0.02	0.00	0.02	80.0	0.02
03/19/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	FUNDAMENTAL INVESTORS-C BUY 0 Units @62.6300	18.00	0.18	0.00	0.18	80.0	0.14
03/19/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	FUNDAMENTAL INVESTORS-C BUY 0 Units @62.6300	2.30	0.02	0.00	0.02	80.0	0.02



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/19/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	9.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00085902226	BUY 0 Units @62.6300						
03/19/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	2.40	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @62.6300						
03/22/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @61.3300						
03/22/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @61.3300						
03/22/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @61.3300						
03/22/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @61.3300						
03/19/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00087236607	BUY 2 Units @22.5800						
03/19/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	1.95	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087236607	BUY 0 Units @22.5800						
03/19/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	20.41	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089583477	BUY 1 Units @40.4000						
03/19/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089563161	BUY 0 Units @40.4000						
03/19/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @40.4000						
03/19/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	1.15	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904210	BUY 0 Units @40.4000						
03/19/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00085902249	BUY 1 Units @40.4000						
03/19/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	3.74	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @40.4000						
03/19/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 0 Units @45.7800						
03/19/2018	***-**-535P - DELGAIZO,	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063451518	BUY 0 Units @45.7800						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/19/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	NEW PERSPECTIVE FUND-A BUY 0 Units @45.7800	22.25	0.27	0.00	0.27	80.0	0.22
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @43.2500	20.40	0.20	0.00	0.20	80.0	0.16
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @43.2500	2.00	0.02	0.00	0.02	80.0	0.02
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @43.2500	20.40	0.20	0.00	0.20	80.0	0.16
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @43.2500	2.00	0.02	0.00	0.02	80.0	0.02
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @43.2500	20.40	0.20	0.00	0.20	80.0	0.16
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @43.2500	2.00	0.02	0.00	0.02	80.0	0.02
03/19/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW PERSPECTIVE FUND-C BUY 0 Units @43.2500	21.00	0.21	0.00	0.21	80.0	0.17
03/19/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW PERSPECTIVE FUND-C BUY 0 Units @43.2500	2.69	0.03	0.00	0.03	80.0	0.02
03/19/2018	***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231	NEW PERSPECTIVE FUND-C BUY 0 Units @43.2500	2.50	0.03	0.00	0.03	80.0	0.02
03/19/2018	***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231	NEW PERSPECTIVE FUND-C BUY 0 Units @43.2500	2.50	0.03	0.00	0.03	80.0	0.02
03/19/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW PERSPECTIVE FUND-C BUY 0 Units @43.2500	10.50	0.11	0.00	0.11	80.0	0.09
03/19/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW PERSPECTIVE FUND-C BUY 0 Units @43.2500	2.80	0.03	0.00	0.03	80.0	0.02
03/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @42.4900	20.40	0.20	0.00	0.20	80.0	0.16
03/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @42.4900	2.00	0.02	0.00	0.02	80.0	0.02
03/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @42.4900	20.40	0.20	0.00	0.20	80.0	0.16



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @42.4900	2.00	0.02	0.00	0.02	80.0	0.02
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	NEW WORLD FUND-C BUY 0 Units @66.1200	1.80	0.02	0.00	0.02	80.0	0.02
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	NEW WORLD FUND-C BUY 1 Units @66.1200	48.20	0.48	0.00	0.48	80.0	0.38
03/19/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW WORLD FUND-C BUY 0 Units @66.1200	2.69	0.03	0.00	0.03	80.0	0.02
03/19/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW WORLD FUND-C BUY 0 Units @66.1200	21.00	0.21	0.00	0.21	80.0	0.17
03/19/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @66.1200	10.50	0.11	0.00	0.11	80.0	0.09
03/19/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @66.1200	2.80	0.03	0.00	0.03	80.0	0.02
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @50.4000	20.40	0.20	0.00	0.20	80.0	0.16
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @50.4000	2.00	0.02	0.00	0.02	80.0	0.02
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @50.4000	20.40	0.20	0.00	0.20	80.0	0.16
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @50.4000	2.00	0.02	0.00	0.02	80.0	0.02
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @50.4000	20.40	0.20	0.00	0.20	80.0	0.16
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @50.4000	2.00	0.02	0.00	0.02	80.0	0.02
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 0 Units @50.4000	1.80	0.02	0.00	0.02	80.0	0.02
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 1 Units @50.4000	48.20	0.48	0.00	0.48	80.0	0.38
03/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.8300	20.40	0.20	0.00	0.20	80.0	0.16



COMMISSION STATEMENT

April 02, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.8300	2.00	0.02	0.00	0.02	80.0	0.02
03/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.8300	20.40	0.20	0.00	0.20	80.0	0.16
03/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.8300	2.00	0.02	0.00	0.02	80.0	0.02
03/19/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE BOND FUND OF AMERICA-A BUY 3 Units @12.7700	44.50	0.53	0.00	0.53	80.0	0.42
03/19/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE BOND FUND OF AMERICA-A BUY 3 Units @12.7700	44.50	0.53	0.00	0.53	80.0	0.42
03/19/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE BOND FUND OF AMERICA-A BUY 3 Units @12.7700	44.50	0.53	0.00	0.53	80.0	0.42
03/19/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5800	2.63	0.03	0.00	0.03	80.0	0.02
03/19/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 1 Units @12.5800	9.00	0.09	0.00	0.09	80.0	0.07
03/19/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 2 Units @12.5800	28.05	0.28	0.00	0.28	80.0	0.22
03/19/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5800	3.63	0.04	0.00	0.04	80.0	0.03
03/19/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.2900	66.75	0.80	0.00	0.80	80.0	0.64
03/19/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.2900	66.75	0.80	0.00	0.80	80.0	0.64
03/19/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.2900	66.75	0.80	0.00	0.80	80.0	0.64
03/19/2018	***-**-425P - MEEKS, PERDITA ACCOUNT #: 00074210167	THE GROWTH FUND OF AMERICA-A BUY 0 Units @55.6900	12.50	0.63	0.00	0.63	80.0	0.50
03/23/2018	***-**-975P - COTTONE, MARIA ACCOUNT #: 00086606929	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.9000	51.84	1.94	0.00	1.94	80.0	1.55
03/23/2018	***-**-975P - COTTONE, MARIA ACCOUNT #: 00086606929	THE GROWTH FUND OF AMERICA-A BUY 4 Units @52.9000	200.00	7.50	0.00	7.50	80.0	6.00



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 0 Units @48.6100	15.00	0.15	0.00	0.15	80.0	0.12
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 0 Units @48.6100	15.00	0.15	0.00	0.15	80.0	0.12
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	THE GROWTH FUND OF AMERICA-C BUY 0 Units @48.6100	1.80	0.02	0.00	0.02	80.0	0.02
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	THE GROWTH FUND OF AMERICA-C BUY 1 Units @48.6100	48.20	0.48	0.00	0.48	80.0	0.38
03/19/2018	***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231	THE GROWTH FUND OF AMERICA-C BUY 0 Units @48.6100	2.50	0.03	0.00	0.03	80.0	0.02
03/19/2018	***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231	THE GROWTH FUND OF AMERICA-C BUY 0 Units @48.6100	2.50	0.03	0.00	0.03	80.0	0.02
03/19/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	THE GROWTH FUND OF AMERICA-C BUY 0 Units @48.6100	20.41	0.20	0.00	0.20	80.0	0.16
03/19/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	THE GROWTH FUND OF AMERICA-C BUY 0 Units @48.6100	10.00	0.10	0.00	0.10	80.0	0.08
03/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 0 Units @47.6500	15.00	0.15	0.00	0.15	80.0	0.12
03/20/2018	***-**-8776 - LEVINE, TODD ACCOUNT #: 00067996697	THE INCOME FUND OF AMERICA-A BUY 4 Units @23.9200	100.00	3.75	0.00	3.75	80.0	3.00
03/19/2018	***-**-395P - DIVASTA, ANTHONY ACCOUNT #: 00059597416	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @42.7200	50.00	1.88	0.00	1.88	80.0	1.50
03/19/2018	***-**-9024 - HACKETT, ETHAN ACCOUNT #: 00088123299	THE NEW ECONOMY FUND-C BUY 1 Units @43.7000	25.00	0.25	0.00	0.25	80.0	0.20
03/19/2018	***-**-279J - HERRMANN, KEVIN ACCOUNT #: 00086046167	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 10 Units @12.7900	125.00	1.25	0.00	1.25	80.0	1.00
03/19/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @44.9600	5.00	0.05	0.00	0.05	80.0	0.04
03/19/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @44.9600	40.00	0.40	0.00	0.40	80.0	0.32
03/22/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @43.9600	40.00	0.40	0.00	0.40	80.0	0.32



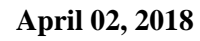
COMMISSION STATEMENT

April 02, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN (continued)</u>								
		* MUTUAL FUNDS SUBTOTAL *	\$4,562.96	\$64.49	\$3.00	\$61.49		\$48.93
<u>PRODUCT CATEGORY: 529 PLAN</u>								
03/28/2018	***-**-3758 - CONNORS, DAVID	NYA JPM 529 AGGR AGE-BASE C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 77162702001	BUY 0 Units @0.0000						
03/28/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	138.52	1.39	0.00	1.39	80.0	1.11
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
04/02/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	413.52	2.90	0.00	2.90	80.0	2.32
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
04/02/2018	***-**-80IN - MOYER, COLLEEN	NYA JPM 529 MOD GROWTH AGE A	25.00	0.76	0.00	0.76	80.0	0.61
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
03/28/2018	***-**-275P - ROBERTS,	NYA JPM 529 MOD GROWTH POR C	25.00	0.25	0.00	0.25	80.0	0.20
		BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$627.04	\$5.55	\$0.00	\$5.55		\$4.44
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/02/2018	***-**-44IR - ROBERTSON,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR010558	BUY 1 Units @0.0000						
04/02/2018	***-**-20IN - GIANGRECO, TERRY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR010603	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
		*** BROKER TRANSACTIONS TOTAL ***	\$5,190.00	\$70.04	\$3.00	\$67.04		\$53.37



BROKER #: 417
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				GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
								</