



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2018

08/14/2018
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RANDY STOLTZ
14617 N. 45th Place

BROKER #: S149
BRANCH: RANDY STOLTZ

Phoenix, AZ 85032-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
08/08/2018	MAU, MILENA	PIMCO CORPORATE & INCOME STRATEGY F	4,420.25	22.00	22.50	-0.50	90.0	-0.45
		* STOCKS SUBTOTAL *	\$4,420.25	\$22.00	\$22.50	\$-0.50		\$-0.45
		*** CLEARING TRANSACTIONS TOTAL ***	\$4,420.25	\$22.00	\$22.50	\$-0.50		\$-0.45

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: NON-TRADED BDC</u>								
08/02/2018	GIBBONS, THOMAS	FS INVESTMENT CORP IV CLASS T	0.00	87.83	0.00	87.83	90.0	79.05
08/02/2018	MATSON, FRED	FS INVESTMENT CORP IV CLASS T	0.00	43.06	0.00	43.06	90.0	38.75
08/02/2018	GESKE, GREGG	FS INVESTMENT CORP IV CLASS T	0.00	31.10	0.00	31.10	90.0	27.99
		* NON-TRADED BDC SUBTOTAL *	\$0.00	\$161.99	\$0.00	\$161.99		\$145.79

<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/23/2018	09/27/2013, UDT	AM FUNDS ICA A	7,500.00	281.25	0.00	281.25	90.0	253.13
07/23/2018	09/27/2013, UDT	AM FUNDS ICA A	-7,500.00	-281.25	0.00	-281.25	90.0	-253.13
07/31/2018	09/27/2013, UDT	AM FUNDS ICA A	10,500.00	393.75	0.00	393.75	90.0	354.38
07/23/2018	09/27/2013, UDT	AM FUNDS INCOME FUND OF AMERICA A	2,500.00	93.75	0.00	93.75	90.0	84.38
07/23/2018	09/27/2013, UDT	AM FUNDS INCOME FUND OF AMERICA A	-2,500.00	-93.75	0.00	-93.75	90.0	-84.38
07/31/2018	09/27/2013, UDT	AM FUNDS INCOME FUND OF AMERICA A	3,500.00	131.25	0.00	131.25	90.0	118.13
		* MUTUAL FUNDS SUBTOTAL *	\$14,000.00	\$525.00	\$0.00	\$525.00		\$472.51

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/17/2018	FINANCIAL PARTNERS 401K,	AM FUNDS AMCAP A	0.00	0.52	0.00	0.52	90.0	0.47
07/17/2018	FLEMING, JACQUELINE	AM FUNDS BOND FUND OF AMERICA A	0.00	1.01	0.00	1.01	90.0	0.91
07/17/2018	FLEMING, JACQUELINE	AM FUNDS ICA A	0.00	8.15	0.00	8.15	90.0	7.34
07/24/2018	BENDER, DANA	BLK LOW DURATION BOND A	0.00	1.22	0.00	1.22	90.0	1.10
/ /	PERSON DEETTE D PERSON, LARRY	FIDELITY ADV NEW INSIGHTS C	0.00	8.12	0.00	8.12	90.0	7.31

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	PERSON DEETTE D PERSON, LARRY	FIDELITY STRATEGIC DIV & INCOME C	0.00	7.34	0.00	7.34	90.0	6.61
07/31/2018	RAMSDEN, JENNIFER	FRANKLIN INCOME A	0.00	35.83	0.00	35.83	90.0	32.25
/ /	SEPICH, WENDY	HARTFORD CAPITAL APPRECIATION A	0.00	1.08	0.00	1.08	90.0	0.97
/ /	SEPICH, WENDY	HARTFORD GROWTH OPP A	0.00	3.47	0.00	3.47	90.0	3.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$66.74	\$0.00	\$66.74		\$60.08
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	REVOCABLE, HELM	JNL ELITE ACCESS W/ LIQUIDITY	0.00	198.81	0.00	198.81	90.0	178.93
07/31/2018	MART, KEVIN	JNL ELITE ACCESS W/ LIQUIDITY	0.00	10.61	0.00	10.61	90.0	9.55
07/31/2018	MART, JACQUELINE	JNL ELITE ACCESS W/ LIQUIDITY	0.00	25.38	0.00	25.38	90.0	22.84
07/31/2018	SIZER, CHARLES	JNL PERSPECTIVE II 04/14	0.00	15.80	0.00	15.80	90.0	14.22
07/31/2018	SCHOUTEN, DONALD	JNL PERSPECTIVE II 04/14	0.00	54.05	0.00	54.05	90.0	48.65
07/31/2018	KIDDER, CHRIS	JNL PERSPECTIVE II 04/14	0.00	46.09	0.00	46.09	90.0	41.48
07/31/2018	WENDELBOE IV, NECOLAI	JNL PERSPECTIVE II 04/14	0.00	49.60	0.00	49.60	90.0	44.64
07/31/2018	DEMERS, DAWN	JNL PERSPECTIVE II 04/14	0.00	17.55	0.00	17.55	90.0	15.80
07/31/2018	SCHRODER, JANET	JNL PERSPECTIVE II 05/05	0.00	17.94	0.00	17.94	90.0	16.15
07/31/2018	ZYRIEK, LISA	JNL PERSPECTIVE II 05/05	0.00	27.82	0.00	27.82	90.0	25.04
07/31/2018	BEMMERL, JANE	JNL PERSPECTIVE L	0.00	219.16	0.00	219.16	90.0	197.24
07/31/2018	NEWPORT, JERRY	JNL PERSPECTIVE L	0.00	51.88	0.00	51.88	90.0	46.69
07/31/2018	KANE, DEMETRA	JNL PERSPECTIVE L	0.00	89.53	0.00	89.53	90.0	80.58
07/31/2018	RIZZO, STEVE	JNL PERSPECTIVE L	0.00	34.04	0.00	34.04	90.0	30.64
07/31/2018	MOODY, ROBERT	JNL PERSPECTIVE L	0.00	79.56	0.00	79.56	90.0	71.60
07/31/2018	BADIE, CLARK	JNL PERSPECTIVE L	0.00	157.65	0.00	157.65	90.0	141.89
07/31/2018	BADIE, CLARK	JNL PERSPECTIVE L	0.00	44.64	0.00	44.64	90.0	40.18
07/31/2018	GIBBONS, CHRISTIA	JNL PERSPECTIVE L	0.00	41.15	0.00	41.15	90.0	37.04
07/31/2018	HARWOOD, JODY	JNL PERSPECTIVE L	0.00	21.73	0.00	21.73	90.0	19.56
07/31/2018	CALLANAN, MOLLIE	JNL PERSPECTIVE L	0.00	20.30	0.00	20.30	90.0	18.27
07/31/2018	LEE, CHARLIE	JNL PERSPECTIVE L	0.00	146.72	0.00	146.72	90.0	132.05
07/31/2018	LEE, JOANNE	JNL PERSPECTIVE L	0.00	79.86	0.00	79.86	90.0	71.87
07/31/2018	FLEMING, JACQUELINE	JNL PERSPECTIVE L	0.00	233.15	0.00	233.15	90.0	209.84
07/31/2018	BARTON, CINDY	JNL PERSPECTIVE L	0.00	44.55	0.00	44.55	90.0	40.10
07/31/2018	RATAY, SCOTT	JNL PERSPECTIVE L	0.00	219.87	0.00	219.87	90.0	197.88
07/31/2018	YOUNG, DARYL	JNL PERSPECTIVE L	0.00	118.01	0.00	118.01	90.0	106.21
07/31/2018	CROUCH, MARC	JNL PERSPECTIVE L	0.00	281.40	0.00	281.40	90.0	253.26

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	CARVER, DONALD	JNL PERSPECTIVE L	0.00	238.17	0.00	238.17	90.0	214.35
07/31/2018	GREGORY, DAWN	JNL PERSPECTIVE L	0.00	217.59	0.00	217.59	90.0	195.83
07/31/2018	GREGORY, KENNETH	JNL PERSPECTIVE L	0.00	52.71	0.00	52.71	90.0	47.44
07/31/2018	GARWICK, JOHN	JNL PERSPECTIVE L	0.00	57.52	0.00	57.52	90.0	51.77
07/31/2018	KING, SCOTT	JNL PERSPECTIVE L	0.00	34.80	0.00	34.80	90.0	31.32
07/31/2018	KING, JOCELYN	JNL PERSPECTIVE L	0.00	20.32	0.00	20.32	90.0	18.29
07/31/2018	STILES, CAROL	JNL PERSPECTIVE L	0.00	39.01	0.00	39.01	90.0	35.11
07/31/2018	HULLENAAR, ALLEN	JNL PERSPECTIVE L	0.00	347.65	0.00	347.65	90.0	312.89
07/31/2018	HULLENAAR, JENNIFER	JNL PERSPECTIVE L	0.00	283.88	0.00	283.88	90.0	255.49
07/31/2018	MATSON, GLENDA	JNL PERSPECTIVE L	0.00	393.79	0.00	393.79	90.0	354.41
07/31/2018	WEBSTER, DENISE	JNL PERSPECTIVE L	0.00	81.63	0.00	81.63	90.0	73.47
07/31/2018	TYRREL, SUSAN	JNL PERSPECTIVE L	0.00	66.51	0.00	66.51	90.0	59.86
07/31/2018	LIPPERT, ANNE	JNL PERSPECTIVE L	0.00	41.85	0.00	41.85	90.0	37.67
07/31/2018	BOR, ALBERT	JNL PERSPECTIVE L	0.00	44.61	0.00	44.61	90.0	40.15
07/31/2018	SALSBURY, WILLIAM &	JNL PERSPECTIVE L	0.00	83.54	0.00	83.54	90.0	75.19
07/31/2018	FLEMING, JACQUELINE	JNL PERSPECTIVE L	0.00	30.18	0.00	30.18	90.0	27.16
07/31/2018	BOOTHROYD, CHRISTOPHER	JNL PERSPECTIVE L	0.00	12.47	0.00	12.47	90.0	11.22
07/31/2018	GARWICK, CYNTHIA	JNL PERSPECTIVE L	0.00	13.60	0.00	13.60	90.0	12.24
07/31/2018	ALBER, TIMOTHY	JNL PERSPECTIVE L	0.00	5.04	0.00	5.04	90.0	4.54
07/31/2018	MYLLO, CHRISTOPHER	JNL PERSPECTIVE L	0.00	9.21	0.00	9.21	90.0	8.29
07/31/2018	PRICE, DAN	PRU AS APEX II	0.00	18.80	0.00	18.80	90.0	16.92
07/31/2018	PRICE, DAN	PRU AS APEX II	0.00	75.21	0.00	75.21	90.0	67.69
07/31/2018	RANDOCK, CRAIG	PRU AS APEX II	0.00	48.93	0.00	48.93	90.0	44.04
07/31/2018	RANDOCK, CRAIG	PRU AS APEX II	0.00	195.72	0.00	195.72	90.0	176.15
07/31/2018	YOUNG, LYNDA	PRU AS APEX II	0.00	11.15	0.00	11.15	90.0	10.04
07/31/2018	YOUNG, LYNDA	PRU AS APEX II	0.00	44.60	0.00	44.60	90.0	40.14
07/31/2018	SALSBURY, WILLIAM	PRU AS APEX II	0.00	26.61	0.00	26.61	90.0	23.95
07/31/2018	SALSBURY, WILLIAM	PRU AS APEX II	0.00	106.45	0.00	106.45	90.0	95.81
07/31/2018	KING, SCOTT	PRU AS APEX II	0.00	47.40	0.00	47.40	90.0	42.66
07/31/2018	KING, SCOTT	PRU AS APEX II	0.00	189.61	0.00	189.61	90.0	170.65
07/31/2018	CHILTON, MICHAEL	PRU AS APEX II	0.00	72.60	0.00	72.60	90.0	65.34
07/31/2018	CHILTON, MICHAEL	PRU AS APEX II	0.00	290.39	0.00	290.39	90.0	261.35
07/31/2018	HIGGINS, JOHN	PRU AS APEX II	0.00	69.31	0.00	69.31	90.0	62.38

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	HIGGINS, JOHN	PRU AS APEX II	0.00	277.26	0.00	277.26	90.0	249.53
07/31/2018	GIBBONS, TOM	PRU AS APEX II	0.00	24.73	0.00	24.73	90.0	22.26
07/31/2018	GIBBONS, TOM	PRU AS APEX II	0.00	98.93	0.00	98.93	90.0	89.04
07/31/2018	BOWLIN, STACY	PRU AS APEX II	0.00	21.04	0.00	21.04	90.0	18.94
07/31/2018	BOWLIN, STACY	PRU AS APEX II	0.00	84.15	0.00	84.15	90.0	75.74
07/31/2018	HIGGINS, DINA	PRU AS APEX II	0.00	6.29	0.00	6.29	90.0	5.66
07/31/2018	HIGGINS, DINA	PRU AS APEX II	0.00	25.14	0.00	25.14	90.0	22.63
07/31/2018	BARRY, PHILIP	PRU AS APEX II	0.00	57.98	0.00	57.98	90.0	52.18
07/31/2018	BARRY, PHILIP	PRU AS APEX II	0.00	231.90	0.00	231.90	90.0	208.71
07/31/2018	KORY, EVYANN	PRU AS APEX II	0.00	3.53	0.00	3.53	90.0	3.18
07/31/2018	KORY, EVYANN	PRU AS APEX II	0.00	14.13	0.00	14.13	90.0	12.72
07/31/2018	BARRY, WENDY	PRU AS APEX II	0.00	6.95	0.00	6.95	90.0	6.26
07/31/2018	BARRY, WENDY	PRU AS APEX II	0.00	27.82	0.00	27.82	90.0	25.04
07/31/2018	BARRY, PHILIP	PRU AS APEX II	0.00	5.16	0.00	5.16	90.0	4.64
07/31/2018	BARRY, PHILIP	PRU AS APEX II	0.00	20.63	0.00	20.63	90.0	18.57
07/31/2018	JONES, CECILIA	PRU AS APEX II	0.00	111.31	0.00	111.31	90.0	100.18
07/31/2018	JONES, CECILIA	PRU AS APEX II	0.00	445.23	0.00	445.23	90.0	400.71
07/31/2018	HUM, BILLY	PRU AS APEX II	0.00	56.06	0.00	56.06	90.0	50.45
07/31/2018	HUM, BILLY	PRU AS APEX II	0.00	224.25	0.00	224.25	90.0	201.83
07/31/2018	SCHROEDER, ROBERT	PRU AS APEX II	0.00	258.94	0.00	258.94	90.0	233.05
07/31/2018	SCHROEDER, ROBERT	PRU AS APEX II	0.00	1,035.75	0.00	1,035.75	90.0	932.18
07/31/2018	RAMSDEN, JENNIFER	PRU AS APEX II	0.00	37.16	0.00	37.16	90.0	33.44
07/31/2018	RAMSDEN, JENNIFER	PRU AS APEX II	0.00	148.66	0.00	148.66	90.0	133.79
07/31/2018	PAGE, STEPHEN	PRU PREMIER RETIREMENT L	0.00	651.36	0.00	651.36	90.0	586.22
07/31/2018	BUCEK, MICHAEL	PRU PREMIER RETIREMENT L	0.00	251.29	0.00	251.29	90.0	226.16
07/31/2018	STILES, CAROL	PRU PREMIER RETIREMENT L	0.00	91.81	0.00	91.81	90.0	82.63
07/31/2018	SCHOUTEN, DONALD	PRU PREMIER RETIREMENT L	0.00	49.94	0.00	49.94	90.0	44.95
07/31/2018	SCHOUTEN, DONALD	PRU PREMIER RETIREMENT L	0.00	8.44	0.00	8.44	90.0	7.60
07/31/2018	PADULA, SUSAN	PRU PREMIER RETIREMENT L	0.00	26.13	0.00	26.13	90.0	23.52
07/31/2018	RIZZO, TRACY	PRU PREMIER RETIREMENT L	0.00	25.84	0.00	25.84	90.0	23.26
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$9,945.52	\$0.00	\$9,945.52		\$8,951.08
*** DIRECT TRANSACTIONS TOTAL ***			\$14,000.00	\$10,699.25	\$0.00	\$10,699.25		\$9,629.46

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<u>ADJUSTMENTS</u>								
08/15/2018		*AI INSIGHT: MONTHLY	0.00					-7.00
08/15/2018		*ALBRIDGE: FULL USER	0.00					-176.00
08/15/2018		*EO INS: MONTHLY FEE	0.00					-300.00
08/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
08/15/2018		*LASER APP: MONTHLY	0.00					-18.00
08/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-3.85
08/15/2018		*TECHNOLOGY: ADMIN - BELANGER	0.00					-130.00
08/15/2018		*TECHNOLOGY: MONTHLY BASIC - STOLTZ	0.00					-145.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-794.85

BROKER COMMISSION TOTALS

Broker Transactions	\$9,629.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-794.85
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,834.16
Year-to-date Gross	\$143,877.26
Year-to-date Earnings	\$128,643.92

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