

**COMMISSION STATEMENT****November 15, 2017**

DANIEL BUKOVCIK
101 N. Main Street
Box 376
Ovid, MI 48866

BROKER #: B123
BRANCH: DANIEL BUKOVCIK

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|-------------------|------------------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 10/24/2017 | MARTIN, SCOTT | AM FUNDS CAPITAL INCOME BUILDER A | 86.06 | 3.23 | 90.0 | 2.91 | 0.00 | 2.91 |
| 11/02/2017 | LATZ, SONYA | AM FUNDS CAPITAL INCOME BUILDER A | 50.00 | 1.88 | 90.0 | 1.69 | 0.00 | 1.69 |
| 11/02/2017 | LATZ, JARRAH | AM FUNDS CAPITAL INCOME BUILDER A | 12.50 | 0.34 | 90.0 | 0.31 | 0.00 | 0.31 |
| 11/02/2017 | LATZ, JOSHUA | AM FUNDS CAPITAL WORLD BOND A | 40.00 | 1.10 | 90.0 | 0.99 | 0.00 | 0.99 |
| 10/24/2017 | MAHONEY, KRISTEN | AM FUNDS CAPITAL WORLD GRTH & INC | 30.00 | 1.13 | 90.0 | 1.02 | 0.00 | 1.02 |
| 11/02/2017 | LATZ, SONYA | AM FUNDS CAPITAL WORLD GRTH & INC | 50.00 | 1.88 | 90.0 | 1.69 | 0.00 | 1.69 |
| 11/02/2017 | LATZ, JOSHUA | AM FUNDS CAPITAL WORLD GRTH & INC | 80.00 | 2.20 | 90.0 | 1.98 | 0.00 | 1.98 |
| 11/02/2017 | ZALUD, BRIAN | AM FUNDS EUROPACIFIC GROWTH FUND A | 37.50 | 1.41 | 90.0 | 1.27 | 0.00 | 1.27 |
| 11/02/2017 | HERBLET, AMANDA | AM FUNDS EUROPACIFIC GROWTH FUND A | 25.00 | 1.25 | 90.0 | 1.13 | 0.00 | 1.13 |
| 10/25/2017 | MULDER, JAYDEN | AM FUNDS GROWTH AND INCOME A | 50.00 | 2.50 | 90.0 | 2.25 | 0.00 | 2.25 |
| 10/23/2017 | HAZLE, JESSICA | AM FUNDS GROWTH FUND OF AMERICA | 100.00 | 5.00 | 90.0 | 4.50 | 0.00 | 4.50 |
| 10/24/2017 | MARTIN, SCOTT | AM FUNDS GROWTH FUND OF AMERICA A | 172.13 | 6.45 | 90.0 | 5.81 | 0.00 | 5.81 |
| 10/24/2017 | BYRNE, JAMIE | AM FUNDS GROWTH FUND OF AMERICA A | 15.00 | 0.56 | 90.0 | 0.50 | 0.00 | 0.50 |
| 10/24/2017 | MAHONEY, KRISTEN | AM FUNDS GROWTH FUND OF AMERICA A | 30.00 | 1.13 | 90.0 | 1.02 | 0.00 | 1.02 |
| 10/24/2017 | ACKELS, JACOB | AM FUNDS GROWTH FUND OF AMERICA A | 200.00 | 10.00 | 90.0 | 9.00 | 0.00 | 9.00 |
| 10/30/2017 | EKEL, NICHOLAS | AM FUNDS GROWTH FUND OF AMERICA A | 50.00 | 2.50 | 90.0 | 2.25 | 0.00 | 2.25 |
| 10/30/2017 | SCHNEIDER, HANNAH | AM FUNDS GROWTH FUND OF AMERICA A | 100.00 | 5.00 | 90.0 | 4.50 | 0.00 | 4.50 |
| 11/01/2017 | HAUK, KALMAN | AM FUNDS GROWTH FUND OF AMERICA A | 166.00 | 6.23 | 90.0 | 5.61 | 0.00 | 5.61 |
| 11/01/2017 | BESKO, BRADLEY | AM FUNDS GROWTH FUND OF AMERICA A | 25.00 | 1.25 | 90.0 | 1.13 | 0.00 | 1.13 |
| 11/01/2017 | LOYNES, AUSTIN | AM FUNDS GROWTH FUND OF AMERICA A | 60.00 | 3.00 | 90.0 | 2.70 | 0.00 | 2.70 |
| 11/01/2017 | BUKOVCIK, GAVIN | AM FUNDS GROWTH FUND OF AMERICA A | 100.00 | 5.00 | 90.0 | 4.50 | 0.00 | 4.50 |
| 11/02/2017 | HERBLET, AMANDA | AM FUNDS GROWTH FUND OF AMERICA A | 25.00 | 1.25 | 90.0 | 1.13 | 0.00 | 1.13 |
| 11/02/2017 | LATZ, JOSHUA | AM FUNDS GROWTH FUND OF AMERICA A | 80.00 | 2.20 | 90.0 | 1.98 | 0.00 | 1.98 |
| 11/02/2017 | LATZ, JARRAH | AM FUNDS GROWTH FUND OF AMERICA A | 37.50 | 1.03 | 90.0 | 0.93 | 0.00 | 0.93 |
| 11/02/2017 | ZALUD, ANN | AM FUNDS GROWTH FUND OF AMERICA A | 37.50 | 1.41 | 90.0 | 1.27 | 0.00 | 1.27 |
| 10/23/2017 | HAZLE, JESSICA | AM FUNDS GROWTH PORT 529A | 100.00 | 5.00 | 90.0 | 4.50 | 0.00 | 4.50 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|--------------------|------------------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 10/23/2017 | HAZLE, JESSICA | AM FUNDS GROWTH PORT 529A | 100.00 | 5.00 | 90.0 | 4.50 | 0.00 | 4.50 |
| 10/24/2017 | WREGGELSWORTH, JOY | AM FUNDS ICA A | 275.00 | 11.69 | 90.0 | 10.52 | 0.00 | 10.52 |
| 10/24/2017 | MARTIN, SCOTT | AM FUNDS ICA A | 172.12 | 6.45 | 90.0 | 5.81 | 0.00 | 5.81 |
| 10/24/2017 | BYRNE, JAMIE | AM FUNDS ICA A | 15.00 | 0.56 | 90.0 | 0.50 | 0.00 | 0.50 |
| 11/02/2017 | ZALUD, BRIAN | AM FUNDS ICA A | 37.50 | 1.41 | 90.0 | 1.27 | 0.00 | 1.27 |
| 11/02/2017 | ZALUD, ANN | AM FUNDS ICA A | 37.50 | 1.41 | 90.0 | 1.27 | 0.00 | 1.27 |
| 10/24/2017 | WREGGELSWORTH, JOY | AM FUNDS WASHINGTON MUTUAL | 100.00 | 4.25 | 90.0 | 3.83 | 0.00 | 3.83 |
| 10/30/2017 | SCHNEIDER, HANNAH | AM FUNDS WASHINGTON MUTUAL | 100.00 | 5.00 | 90.0 | 4.50 | 0.00 | 4.50 |
| 11/01/2017 | BESKO, BRADLEY | AM FUNDS WASHINGTON MUTUAL | 25.00 | 1.25 | 90.0 | 1.13 | 0.00 | 1.13 |
| 10/24/2017 | ACKELS, EMERSON | AMERICAN FUNDS COLLEGE 2033 | 3,928.00 | 137.48 | 90.0 | 123.73 | 0.00 | 123.73 |
| 10/26/2017 | HOWARD, COHEN | AMERICAN FUNDS COLLEGE 2033 | 700.00 | 24.50 | 90.0 | 22.05 | 0.00 | 22.05 |
| 10/26/2017 | HOWARD, COHEN | AMERICAN FUNDS COLLEGE 2033 | 700.00 | 24.50 | 90.0 | 22.05 | 0.00 | 22.05 |
| 10/31/2017 | HUNT, BRUCE | FRANKLIN FOCUSED CORE EQUITY A | 0.00 | 4.29 | 90.0 | 3.86 | 0.00 | 3.86 |
| 10/31/2017 | HUNT, BRUCE | FRANKLIN GROWTH A | 0.00 | 5.03 | 90.0 | 4.53 | 0.00 | 4.53 |
| 10/31/2017 | HUNT, BRUCE | FRANKLIN GROWTH OPP A | 0.00 | 4.38 | 90.0 | 3.94 | 0.00 | 3.94 |
| 10/31/2017 | HUNT, BRUCE | FRANKLIN MUTUAL GLOBAL DISCOVERY A | 0.00 | 1.90 | 90.0 | 1.71 | 0.00 | 1.71 |
| 10/31/2017 | HUNT, BRUCE | FRANKLIN MUTUAL INTERNATIONAL A | 0.00 | 2.59 | 90.0 | 2.33 | 0.00 | 2.33 |
| 10/31/2017 | HUNT, BRUCE | FRANKLIN MUTUAL QUEST A | 0.00 | 1.84 | 90.0 | 1.66 | 0.00 | 1.66 |
| 10/31/2017 | HUNT, BRUCE | FRANKLIN MUTUAL SHARES A | 0.00 | 2.05 | 90.0 | 1.85 | 0.00 | 1.85 |
| 10/31/2017 | HUNT, BRUCE | FRANKLIN REAL RETURN A | 0.00 | 3.49 | 90.0 | 3.14 | 0.00 | 3.14 |
| 10/31/2017 | HUNT, BRUCE | FRANKLIN RISING DIVIDENDS A | 0.00 | 4.66 | 90.0 | 4.19 | 0.00 | 4.19 |
| 10/31/2017 | HUNT, BRUCE | FRANKLIN SMALL CAP GROWTH A | 0.00 | 4.67 | 90.0 | 4.20 | 0.00 | 4.20 |
| 10/31/2017 | HUNT, BRUCE | FRANKLIN TOTAL RETURN A | 0.00 | 1.38 | 90.0 | 1.24 | 0.00 | 1.24 |
| / / | LEE, EUSTAQUIA | HARTFORD 529 AGGRESSIVE GROWTH A | 0.00 | 0.51 | 90.0 | 0.46 | 0.00 | 0.46 |
| / / | STUTZMAN, KAREN | HARTFORD 529 CHECKS AND BAL A | 0.00 | 0.06 | 90.0 | 0.05 | 0.00 | 0.05 |
| / / | STUTZMAN, KAREN | HARTFORD 529 CHECKS AND BAL A | 0.00 | 0.08 | 90.0 | 0.07 | 0.00 | 0.07 |
| / / | MCHALLAM, MYA | HARTFORD 529 DIVIDEND AND GROWTH A | 0.00 | 12.96 | 90.0 | 11.66 | 0.00 | 11.66 |
| / / | STUTZMAN, KAREN | HARTFORD 529 EQUITY INCOME A | 0.00 | 1.39 | 90.0 | 1.25 | 0.00 | 1.25 |
| / / | STUTZMAN, KAREN | HARTFORD 529 EQUITY INCOME A | 0.00 | 0.36 | 90.0 | 0.32 | 0.00 | 0.32 |
| / / | STUTZMAN, KAREN | HARTFORD 529 EQUITY INCOME A | 0.00 | 2.67 | 90.0 | 2.40 | 0.00 | 2.40 |
| / / | STUTZMAN, KAREN | HARTFORD 529 EQUITY INCOME A | 0.00 | 0.52 | 90.0 | 0.47 | 0.00 | 0.47 |
| / / | O'MALEY, SHIRLEY | HARTFORD 529 STATIC GROWTH A | 0.00 | 0.37 | 90.0 | 0.33 | 0.00 | 0.33 |
| / / | O'MALEY, SHIRLEY | HARTFORD 529 STATIC GROWTH A | 0.00 | 0.37 | 90.0 | 0.33 | 0.00 | 0.33 |

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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|----------------------|---------------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| / / | O'MALEY, SHIRLEY | HARTFORD 529 STATIC GROWTH A | 0.00 | 2.22 | 90.0 | 2.00 | 0.00 | 2.00 |
| / / | O'MALEY, SHIRLEY | HARTFORD 529 STATIC GROWTH A | 0.00 | 0.37 | 90.0 | 0.33 | 0.00 | 0.33 |
| / / | O'MALEY, SHIRLEY | HARTFORD 529 STATIC GROWTH A | 0.00 | 0.33 | 90.0 | 0.30 | 0.00 | 0.30 |
| / / | BAESE, MARY BETH | HARTFORD BALANCED A | 0.00 | 0.18 | 90.0 | 0.16 | 0.00 | 0.16 |
| / / | DARLING, KIMBERLY | HARTFORD BALANCED A | 0.00 | 0.18 | 90.0 | 0.16 | 0.00 | 0.16 |
| / / | FOX, KERRI | HARTFORD BALANCED A | 0.00 | 0.22 | 90.0 | 0.20 | 0.00 | 0.20 |
| / / | KIEFFER, DUSTIN | HARTFORD BALANCED ALLOCATION A | 0.00 | 9.27 | 90.0 | 8.34 | 0.00 | 8.34 |
| / / | KIEFFER, KIRA | HARTFORD BALANCED ALLOCATION A | 0.00 | 9.02 | 90.0 | 8.12 | 0.00 | 8.12 |
| / / | EVANS, ROGER | HARTFORD BALANCED ALLOCATION A | 0.00 | 9.19 | 90.0 | 8.27 | 0.00 | 8.27 |
| / / | RADEMACHER, RICKEY | HARTFORD BALANCED ALLOCATION A | 0.00 | 2.09 | 90.0 | 1.88 | 0.00 | 1.88 |
| / / | KIEFFER, PATRICIA | HARTFORD BALANCED ALLOCATION A | 0.00 | 52.85 | 90.0 | 47.57 | 0.00 | 47.57 |
| / / | HASSE, RONALD | HARTFORD CAPITAL APP II A | 0.00 | 2.20 | 90.0 | 1.98 | 0.00 | 1.98 |
| / / | HILTON, CYNTHIA | HARTFORD CAPITAL APP II A | 0.00 | 4.08 | 90.0 | 3.67 | 0.00 | 3.67 |
| / / | BUKOVCIK, DANIEL | HARTFORD CAPITAL APP II A | 0.00 | 8.15 | 90.0 | 7.34 | 0.00 | 7.34 |
| / / | THELEN, TODD | HARTFORD CAPITAL APP II A | 0.00 | 0.46 | 90.0 | 0.41 | 0.00 | 0.41 |
| / / | THELEN, ROSANNE | HARTFORD CAPITAL APP II A | 0.00 | 0.86 | 90.0 | 0.77 | 0.00 | 0.77 |
| / / | HILTON, CYNTHIA | HARTFORD CAPITAL APPRECIATION A | 0.00 | 5.13 | 90.0 | 4.62 | 0.00 | 4.62 |
| / / | BUKOVCIK, DANIEL | HARTFORD CAPITAL APPRECIATION A | 0.00 | 26.61 | 90.0 | 23.95 | 0.00 | 23.95 |
| / / | BAESE, MARY BETH | HARTFORD CAPITAL APPRECIATION A | 0.00 | 2.19 | 90.0 | 1.97 | 0.00 | 1.97 |
| / / | PRICE, SARAH | HARTFORD CAPITAL APPRECIATION A | 0.00 | 0.95 | 90.0 | 0.86 | 0.00 | 0.86 |
| / / | ZUNIGA, AMANDA | HARTFORD CAPITAL APPRECIATION A | 0.00 | 14.88 | 90.0 | 13.39 | 0.00 | 13.39 |
| / / | HALFMAN, BRENDA | HARTFORD CAPITAL APPRECIATION A | 0.00 | 0.54 | 90.0 | 0.49 | 0.00 | 0.49 |
| / / | THORNBURG, FREDERICK | HARTFORD CAPITAL APPRECIATION A | 0.00 | 4.38 | 90.0 | 3.94 | 0.00 | 3.94 |
| / / | COOLEY, MARK | HARTFORD CAPITAL APPRECIATION A | 0.00 | 0.24 | 90.0 | 0.22 | 0.00 | 0.22 |
| / / | DOUBRAVA, THOMAS | HARTFORD CAPITAL APPRECIATION A | 0.00 | 1.13 | 90.0 | 1.02 | 0.00 | 1.02 |
| / / | RICHARDS, DEVIN | HARTFORD DISCIPLINED EQUITY A | 0.00 | 2.60 | 90.0 | 2.34 | 0.00 | 2.34 |
| / / | FEDEWA, MICHAEL | HARTFORD DISCIPLINED EQUITY A | 0.00 | 3.71 | 90.0 | 3.34 | 0.00 | 3.34 |
| / / | HILTON, CYNTHIA | HARTFORD DIVIDEND & GROWTH A | 0.00 | 6.19 | 90.0 | 5.57 | 0.00 | 5.57 |
| / / | RICHARDS, AMBER | HARTFORD DIVIDEND & GROWTH A | 0.00 | 1.22 | 90.0 | 1.10 | 0.00 | 1.10 |
| / / | RICHARDS, AMBER | HARTFORD DIVIDEND & GROWTH A | 0.00 | 0.89 | 90.0 | 0.80 | 0.00 | 0.80 |
| / / | WEISMILLER, MARK | HARTFORD DIVIDEND & GROWTH A | 0.00 | 3.45 | 90.0 | 3.11 | 0.00 | 3.11 |
| / / | LADISKI, BROOKE | HARTFORD DIVIDEND & GROWTH A | 0.00 | 0.60 | 90.0 | 0.54 | 0.00 | 0.54 |
| / / | WEISMILLER, MARK | HARTFORD DIVIDEND & GROWTH A | 0.00 | 1.83 | 90.0 | 1.65 | 0.00 | 1.65 |

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|--------------------------------|--------|-----------------------|----------------------------------|---------------------|-------|-------------------|--------------------|----------------------|-------|
| DIRECT TRANSACTIONS | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | |
| | / / | WEISMILLER, KELSEY | HARTFORD DIVIDEND & GROWTH A | 0.00 | 0.52 | 90.0 | 0.47 | 0.00 | 0.47 |
| | / / | THORNBURG, FREDERICK | HARTFORD DIVIDEND & GROWTH A | 0.00 | 6.72 | 90.0 | 6.05 | 0.00 | 6.05 |
| | / / | FEDEWA, MICHAEL | HARTFORD DIVIDEND & GROWTH A | 0.00 | 5.11 | 90.0 | 4.60 | 0.00 | 4.60 |
| | / / | THELEN, TODD | HARTFORD DIVIDEND & GROWTH A | 0.00 | 1.28 | 90.0 | 1.15 | 0.00 | 1.15 |
| | / / | THELEN, ROSANNE | HARTFORD DIVIDEND & GROWTH A | 0.00 | 2.41 | 90.0 | 2.17 | 0.00 | 2.17 |
| 11/01/2017 | | THORNBURG, FREDERICK | HARTFORD DIVIDEND & GROWTH A | 150.00 | 6.00 | 90.0 | 5.40 | 0.00 | 5.40 |
| | / / | BUKOVCIK/SEP, WILLIAM | HARTFORD GROWTH OPP A | 0.00 | 1.85 | 90.0 | 1.67 | 0.00 | 1.67 |
| | / / | SCHLIEF, MICHAEL | HARTFORD GROWTH OPP A | 0.00 | 6.25 | 90.0 | 5.63 | 0.00 | 5.63 |
| | / / | WOODRUFF, RONALD | HARTFORD GROWTH OPP A | 0.00 | 3.39 | 90.0 | 3.05 | 0.00 | 3.05 |
| | / / | SQUIRES, YVONNE | HARTFORD GROWTH OPP A | 0.00 | 12.78 | 90.0 | 11.50 | 0.00 | 11.50 |
| | / / | SQUIRES, LARRY | HARTFORD GROWTH OPP A | 0.00 | 12.80 | 90.0 | 11.52 | 0.00 | 11.52 |
| | / / | BUKOVCIK, DANIEL | HARTFORD GROWTH OPP A | 0.00 | 66.04 | 90.0 | 59.44 | 0.00 | 59.44 |
| | / / | BAESE, MARY BETH | HARTFORD GROWTH OPP A | 0.00 | 0.40 | 90.0 | 0.36 | 0.00 | 0.36 |
| | / / | LADISKI, BREANNA | HARTFORD GROWTH OPP A | 0.00 | 0.98 | 90.0 | 0.88 | 0.00 | 0.88 |
| | / / | BROWN, JACK | HARTFORD GROWTH OPP A | 0.00 | 1.38 | 90.0 | 1.24 | 0.00 | 1.24 |
| | / / | SIEFFERT, DONALD | HARTFORD GROWTH OPP A | 0.00 | 9.43 | 90.0 | 8.49 | 0.00 | 8.49 |
| | / / | FEDEWA, MICHAEL | HARTFORD GROWTH OPP A | 0.00 | 1.92 | 90.0 | 1.73 | 0.00 | 1.73 |
| | / / | BROWN, TUCKER | HARTFORD GROWTH OPP A | 0.00 | 1.19 | 90.0 | 1.07 | 0.00 | 1.07 |
| | / / | CASLER, SUSAN | HARTFORD GROWTH OPP A | 0.00 | 0.52 | 90.0 | 0.47 | 0.00 | 0.47 |
| | / / | DARLING, KIMBERLY | HARTFORD GROWTH OPP A | 0.00 | 10.25 | 90.0 | 9.23 | 0.00 | 9.23 |
| | / / | FOX, KERRI | HARTFORD GROWTH OPP A | 0.00 | 16.71 | 90.0 | 15.04 | 0.00 | 15.04 |
| | / / | SIEFFERT, DONALD | HARTFORD INFLATION PLUS A | 0.00 | 9.27 | 90.0 | 8.34 | 0.00 | 8.34 |
| | / / | HOLCOMB, BRUCE (DCD) | HARTFORD INFLATION PLUS A | 0.00 | 0.87 | 90.0 | 0.78 | 0.00 | 0.78 |
| | / / | THORNBURG, FREDERICK | HARTFORD INFLATION PLUS A | 0.00 | 3.19 | 90.0 | 2.87 | 0.00 | 2.87 |
| | / / | BATES, JAMES | HARTFORD INFLATION PLUS A | 0.00 | 6.35 | 90.0 | 5.72 | 0.00 | 5.72 |
| | / / | SCOTT, FRANCES | HARTFORD INFLATION PLUS A | 0.00 | 1.13 | 90.0 | 1.02 | 0.00 | 1.02 |
| | / / | WEISMILLER, MARK | HARTFORD INT'L GROWTH A | 0.00 | 2.28 | 90.0 | 2.05 | 0.00 | 2.05 |
| | / / | WEISMILLER, MARK | HARTFORD INT'L GROWTH A | 0.00 | 1.24 | 90.0 | 1.12 | 0.00 | 1.12 |
| | / / | WEISMILLER, KELSEY | HARTFORD INT'L GROWTH A | 0.00 | 0.39 | 90.0 | 0.35 | 0.00 | 0.35 |
| | / / | RICHARDS, AMBER | HARTFORD MIDCAP VALUE A | 0.00 | 1.87 | 90.0 | 1.68 | 0.00 | 1.68 |
| | / / | RICHARDS, AMBER | HARTFORD MIDCAP VALUE A | 0.00 | 1.43 | 90.0 | 1.29 | 0.00 | 1.29 |
| | / / | BAESE, MARY BETH | HARTFORD STRATEGIC INCOME FUND A | 0.00 | 0.31 | 90.0 | 0.28 | 0.00 | 0.28 |
| | / / | SIEFFERT, DONALD | HARTFORD TOTAL RETURN BOND A | 0.00 | 6.88 | 90.0 | 6.19 | 0.00 | 6.19 |

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|--|--------------------|----------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 10/31/2017 | HUNT, BRUCE | TEMPLETON EMERGING MARKETS | 0.00 | 1.45 | 90.0 | 1.31 | 0.00 | 1.31 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$8,099.31 | \$735.80 | | \$662.29 | \$0.00 | \$662.29 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 10/31/2017 | GOOSEN, JACQUELINE | JNL ELITE ACCESS | 21,636.44 | 4.51 | 90.0 | 4.06 | 0.00 | 4.06 |
| 10/31/2017 | STOCKING, ELAINE | JNL ELITE ACCESS | 5,939.13 | 1.24 | 90.0 | 1.12 | 0.00 | 1.12 |
| 10/31/2017 | SPITERI, JOHN | JNL ELITE ACCESS | 8,576.30 | 1.79 | 90.0 | 1.61 | 0.00 | 1.61 |
| 10/31/2017 | WAGGONER, SHEILA | JNL ELITE ACCESS | 18,504.98 | 3.86 | 90.0 | 3.47 | 0.00 | 3.47 |
| 10/31/2017 | PERRY, GREGORY | JNL ELITE ACCESS | 13,409.63 | 2.79 | 90.0 | 2.51 | 0.00 | 2.51 |
| 10/31/2017 | SPIESS, CHARLES | JNL PERSPECTIVE | 75,482.68 | 31.45 | 90.0 | 28.31 | 0.00 | 28.31 |
| 10/25/2017 | PARKER, MICHELLE | JNL PERSPECTIVE II 04/14 | 420.00 | 21.00 | 90.0 | 18.90 | 0.00 | 18.90 |
| 10/25/2017 | PARKER, KEVIN | JNL PERSPECTIVE II 04/14 | 420.00 | 21.00 | 90.0 | 18.90 | 0.00 | 18.90 |
| 10/31/2017 | RICHARDS, ROBERT | JNL PERSPECTIVE II 04/14 | 39,028.71 | 16.26 | 90.0 | 14.63 | 0.00 | 14.63 |
| 10/31/2017 | SCHOMISCH, RENEE | JNL PERSPECTIVE II 04/14 | 257,931.19 | 107.47 | 90.0 | 96.72 | 0.00 | 96.72 |
| 10/31/2017 | BURGESS, BEVERLY | JNL PERSPECTIVE II 04/14 | 18,757.09 | 7.82 | 90.0 | 7.04 | 0.00 | 7.04 |
| 10/31/2017 | ROY, JANICE | JNL PERSPECTIVE II 04/14 | 32,891.80 | 13.70 | 90.0 | 12.33 | 0.00 | 12.33 |
| 10/31/2017 | WINKLER, LORETTA | JNL PERSPECTIVE II 04/14 | 504,081.79 | 210.03 | 90.0 | 189.03 | 0.00 | 189.03 |
| 10/31/2017 | COOK, DONALD | JNL PERSPECTIVE II 04/14 | 159,091.40 | 66.29 | 90.0 | 59.66 | 0.00 | 59.66 |
| 10/31/2017 | VINCENT, LEROY | JNL PERSPECTIVE II 04/14 | 53,460.48 | 22.28 | 90.0 | 20.05 | 0.00 | 20.05 |
| 10/31/2017 | WOODEND, DAVID | JNL PERSPECTIVE II 04/14 | 328,088.73 | 136.70 | 90.0 | 123.03 | 0.00 | 123.03 |
| 10/31/2017 | HEHRER, SANDRA | JNL PERSPECTIVE II 04/14 | 36,942.93 | 15.39 | 90.0 | 13.85 | 0.00 | 13.85 |
| 10/31/2017 | BARBOUR, LARRY | JNL PERSPECTIVE II 04/14 | 54,586.01 | 22.74 | 90.0 | 20.47 | 0.00 | 20.47 |
| 10/31/2017 | SAVAGE, ELIZABETH | JNL PERSPECTIVE II 04/14 | 7,913.15 | 3.30 | 90.0 | 2.97 | 0.00 | 2.97 |
| 10/31/2017 | THELEN, NEIL | JNL PERSPECTIVE II 04/14 | 23,220.49 | 9.68 | 90.0 | 8.71 | 0.00 | 8.71 |
| 10/31/2017 | RICHARDS, AMBER | JNL PERSPECTIVE II 04/14 | 110,546.84 | 46.06 | 90.0 | 41.45 | 0.00 | 41.45 |
| 10/31/2017 | BESKO, DIRK | JNL PERSPECTIVE II 04/14 | 16,346.78 | 6.81 | 90.0 | 6.13 | 0.00 | 6.13 |
| 10/31/2017 | YOUNG, MARY | JNL PERSPECTIVE II 04/14 | 605,564.31 | 252.32 | 90.0 | 227.09 | 0.00 | 227.09 |
| 10/31/2017 | WAKEFIELD, RONALD | JNL PERSPECTIVE II 04/14 | 39,752.69 | 16.56 | 90.0 | 14.90 | 0.00 | 14.90 |
| 10/31/2017 | ADE, MARIE | JNL PERSPECTIVE II 04/14 | 11,422.50 | 4.76 | 90.0 | 4.28 | 0.00 | 4.28 |
| 10/31/2017 | PIGGOTT, PHILIP | JNL PERSPECTIVE II 04/14 | 340,806.14 | 142.00 | 90.0 | 127.80 | 0.00 | 127.80 |
| 10/31/2017 | SMITH, RICHARD | JNL PERSPECTIVE II 04/14 | 20,521.38 | 8.55 | 90.0 | 7.70 | 0.00 | 7.70 |
| 10/31/2017 | FEDEWA, LORETTA | JNL PERSPECTIVE II 04/14 | 50,051.21 | 20.85 | 90.0 | 18.77 | 0.00 | 18.77 |
| 10/31/2017 | STROMER, SCOTT | JNL PERSPECTIVE II 04/14 | 74,879.03 | 31.20 | 90.0 | 28.08 | 0.00 | 28.08 |
| 10/31/2017 | THELEN, ROSANNE | JNL PERSPECTIVE II 04/14 | 948,869.32 | 395.36 | 90.0 | 355.82 | 0.00 | 355.82 |

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** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|--------------------|--------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 10/31/2017 | THOMA, CHRIS | JNL PERSPECTIVE II 04/14 | 80,405.15 | 33.50 | 90.0 | 30.15 | 0.00 | 30.15 |
| 10/31/2017 | NIXON, KIMBERLY | JNL PERSPECTIVE II 04/14 | 93,030.64 | 38.76 | 90.0 | 34.88 | 0.00 | 34.88 |
| 10/31/2017 | WAKEFIELD, RONALD | JNL PERSPECTIVE II 04/14 | 91,065.46 | 37.94 | 90.0 | 34.15 | 0.00 | 34.15 |
| 10/31/2017 | WAGGONER, RUSSELL | JNL PERSPECTIVE II 04/14 | 91,826.44 | 38.26 | 90.0 | 34.43 | 0.00 | 34.43 |
| 10/31/2017 | REED, JOAN | JNL PERSPECTIVE II 04/14 | 32,554.54 | 13.56 | 90.0 | 12.20 | 0.00 | 12.20 |
| 10/31/2017 | REED, RICHARD | JNL PERSPECTIVE II 04/14 | 66,176.01 | 27.57 | 90.0 | 24.81 | 0.00 | 24.81 |
| 10/31/2017 | PENROSE, GARY | JNL PERSPECTIVE II 04/14 | 84,798.54 | 35.33 | 90.0 | 31.80 | 0.00 | 31.80 |
| 10/31/2017 | BERNATH, THOMAS | JNL PERSPECTIVE II 04/14 | 20,388.97 | 8.50 | 90.0 | 7.65 | 0.00 | 7.65 |
| 10/31/2017 | TRANN, LISA | JNL PERSPECTIVE II 04/14 | 8,713.33 | 3.63 | 90.0 | 3.27 | 0.00 | 3.27 |
| 10/31/2017 | HUMPHREY, PATRICIA | JNL PERSPECTIVE II 04/14 | 10,473.15 | 4.36 | 90.0 | 3.92 | 0.00 | 3.92 |
| 10/31/2017 | STEVENS, PATRICIA | JNL PERSPECTIVE II 04/14 | 154,834.30 | 64.51 | 90.0 | 58.06 | 0.00 | 58.06 |
| 10/31/2017 | BERNATH, MARGARET | JNL PERSPECTIVE II 04/14 | 37,398.69 | 15.58 | 90.0 | 14.02 | 0.00 | 14.02 |
| 10/31/2017 | BERNATH, MARGARET | JNL PERSPECTIVE II 04/14 | 30,149.75 | 12.56 | 90.0 | 11.30 | 0.00 | 11.30 |
| 10/31/2017 | RICHARD, LORI | JNL PERSPECTIVE II 04/14 | 33,035.55 | 13.76 | 90.0 | 12.38 | 0.00 | 12.38 |
| 10/31/2017 | RECK, CHARLES | JNL PERSPECTIVE II 04/14 | 408,068.24 | 170.03 | 90.0 | 153.03 | 0.00 | 153.03 |
| 10/31/2017 | RECK, MAXINE | JNL PERSPECTIVE II 04/14 | 258,014.58 | 107.51 | 90.0 | 96.76 | 0.00 | 96.76 |
| 10/31/2017 | HANUS, PHILLIP | JNL PERSPECTIVE II 04/14 | 163,277.84 | 68.03 | 90.0 | 61.23 | 0.00 | 61.23 |
| 10/31/2017 | HANUS, SHEILA | JNL PERSPECTIVE II 04/14 | 33,493.82 | 13.96 | 90.0 | 12.56 | 0.00 | 12.56 |
| 10/31/2017 | HANUS, PHILLIP | JNL PERSPECTIVE II 04/14 | 43,260.74 | 18.03 | 90.0 | 16.23 | 0.00 | 16.23 |
| 10/31/2017 | LATZ, MARK | JNL PERSPECTIVE II 04/14 | 596,581.11 | 248.58 | 90.0 | 223.72 | 0.00 | 223.72 |
| 10/31/2017 | BALLANCE, SUSAN | JNL PERSPECTIVE II 04/14 | 22,002.33 | 9.17 | 90.0 | 8.25 | 0.00 | 8.25 |
| 10/31/2017 | ROOF, ANNA | JNL PERSPECTIVE II 04/14 | 46,788.58 | 19.50 | 90.0 | 17.55 | 0.00 | 17.55 |
| 10/31/2017 | PARKER, JEFFERY | JNL PERSPECTIVE II 04/14 | 17,408.28 | 7.25 | 90.0 | 6.53 | 0.00 | 6.53 |
| 10/31/2017 | HELDRETH, DIANE | JNL PERSPECTIVE II 04/14 | 74,091.00 | 30.87 | 90.0 | 27.78 | 0.00 | 27.78 |
| 10/31/2017 | WOODBURY, JUDY | JNL PERSPECTIVE II 04/14 | 105,705.83 | 44.04 | 90.0 | 39.64 | 0.00 | 39.64 |
| 10/31/2017 | FAHEY, SHANNON | JNL PERSPECTIVE II 04/14 | 175,686.61 | 73.20 | 90.0 | 65.88 | 0.00 | 65.88 |
| 10/31/2017 | PLOWMAN, DENISE | JNL PERSPECTIVE II 04/14 | 21,339.71 | 8.89 | 90.0 | 8.00 | 0.00 | 8.00 |
| 10/31/2017 | ZUSSMAN, GABRIEL | JNL PERSPECTIVE II 04/14 | 33,754.81 | 14.06 | 90.0 | 12.65 | 0.00 | 12.65 |
| 10/31/2017 | DUBAY, SHARON | JNL PERSPECTIVE II 04/14 | 88,235.59 | 36.76 | 90.0 | 33.08 | 0.00 | 33.08 |
| 10/31/2017 | TEREMI, SANDRA | JNL PERSPECTIVE II 04/14 | 31,947.56 | 13.31 | 90.0 | 11.98 | 0.00 | 11.98 |
| 10/31/2017 | GRANT, REVA | JNL PERSPECTIVE II 04/14 | 26,524.48 | 11.05 | 90.0 | 9.95 | 0.00 | 9.95 |
| 10/31/2017 | CRUM, AMY | JNL PERSPECTIVE II 04/14 | 30,816.22 | 12.84 | 90.0 | 11.56 | 0.00 | 11.56 |
| 10/31/2017 | CARR, THOMAS | JNL PERSPECTIVE II 04/14 | 6,980.08 | 2.91 | 90.0 | 2.62 | 0.00 | 2.62 |

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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|-------------------------|--------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 10/31/2017 | CARROLL, DONNA | JNL PERSPECTIVE II 04/14 | 208,636.06 | 86.93 | 90.0 | 78.24 | 0.00 | 78.24 |
| 10/31/2017 | HULETT, DEBORAH | JNL PERSPECTIVE II 04/14 | 15,386.13 | 6.41 | 90.0 | 5.77 | 0.00 | 5.77 |
| 10/31/2017 | VANECEK, LYNNETTE | JNL PERSPECTIVE II 04/14 | 6,216.37 | 2.59 | 90.0 | 2.33 | 0.00 | 2.33 |
| 10/31/2017 | CARROLL, RICHARD | JNL PERSPECTIVE II 04/14 | 312,736.72 | 130.31 | 90.0 | 117.28 | 0.00 | 117.28 |
| 11/06/2017 | HILLIKER, MARY | JNL PERSPECTIVE II 04/14 | 144,696.51 | 7,234.83 | 90.0 | 6,511.35 | 0.00 | 6,511.35 |
| 10/30/2017 | HOGAN, JOSEPH | JNL PERSPECTIVE II 05/05 | 10,000.00 | 525.00 | 90.0 | 472.50 | 0.00 | 472.50 |
| 10/31/2017 | ASHBAUGH, ROBERT | JNL PERSPECTIVE II 05/05 | 351,645.42 | 146.52 | 90.0 | 131.87 | 0.00 | 131.87 |
| 10/31/2017 | MILLER, ALAN | JNL PERSPECTIVE II 05/05 | 35,529.03 | 14.80 | 90.0 | 13.32 | 0.00 | 13.32 |
| 10/31/2017 | BERNATH, THOMAS | JNL PERSPECTIVE II 05/05 | 8,548.95 | 3.56 | 90.0 | 3.20 | 0.00 | 3.20 |
| 10/31/2017 | PLESKO-BERNATH, CYNTHIA | JNL PERSPECTIVE II 05/05 | 9,167.45 | 3.82 | 90.0 | 3.44 | 0.00 | 3.44 |
| 10/31/2017 | SCHNEIDER, DAWN | JNL PERSPECTIVE II 05/05 | 29,306.04 | 12.21 | 90.0 | 10.99 | 0.00 | 10.99 |
| 10/31/2017 | DEBOER, DIANA | JNL PERSPECTIVE II 05/05 | 56,418.47 | 23.51 | 90.0 | 21.16 | 0.00 | 21.16 |
| 10/31/2017 | COZIK, MICHELLE | JNL PERSPECTIVE II 05/05 | 11,746.49 | 4.89 | 90.0 | 4.40 | 0.00 | 4.40 |
| 10/31/2017 | NETHAWAY, BARBARA | JNL PERSPECTIVE II 05/05 | 31,917.19 | 13.30 | 90.0 | 11.97 | 0.00 | 11.97 |
| 10/31/2017 | AUSTIN, CHARLENE | JNL PERSPECTIVE II 05/05 | 44,666.69 | 18.61 | 90.0 | 16.75 | 0.00 | 16.75 |
| 10/31/2017 | BUKOVCIK, DANIEL | JNL PERSPECTIVE II 05/05 | 179,305.93 | 74.71 | 90.0 | 67.24 | 0.00 | 67.24 |
| 10/31/2017 | KOERNER, NANCY | JNL PERSPECTIVE II 05/05 | 100,837.75 | 42.02 | 90.0 | 37.82 | 0.00 | 37.82 |
| 10/31/2017 | BUKOVCIK, GAVIN | JNL PERSPECTIVE II 05/05 | 49,346.05 | 20.56 | 90.0 | 18.50 | 0.00 | 18.50 |
| 10/31/2017 | BOETTCHER, BRETT | JNL PERSPECTIVE II 05/05 | 2,707.83 | 1.13 | 90.0 | 1.02 | 0.00 | 1.02 |
| 10/31/2017 | BOETTCHER, BRETT | JNL PERSPECTIVE II 05/05 | 19,434.50 | 8.10 | 90.0 | 7.29 | 0.00 | 7.29 |
| 10/31/2017 | BATORA, DELORES | JNL PERSPECTIVE II 05/05 | 31,273.65 | 13.03 | 90.0 | 11.73 | 0.00 | 11.73 |
| 10/31/2017 | STOCKING, RODNEY | JNL PERSPECTIVE II 05/05 | 294,680.21 | 122.78 | 90.0 | 110.50 | 0.00 | 110.50 |
| 10/31/2017 | MOORE, DONALD | JNL PERSPECTIVE II 05/05 | 13,208.63 | 5.50 | 90.0 | 4.95 | 0.00 | 4.95 |
| 10/31/2017 | PALEN, LINDA | JNL PERSPECTIVE II 05/05 | 21,083.48 | 8.78 | 90.0 | 7.90 | 0.00 | 7.90 |
| 10/31/2017 | HERBLET, SCOTT | JNL PERSPECTIVE II 05/05 | 33,576.28 | 13.99 | 90.0 | 12.59 | 0.00 | 12.59 |
| 10/31/2017 | DEVEREAUX, DARLENE | JNL PERSPECTIVE II 05/05 | 48,332.58 | 20.14 | 90.0 | 18.13 | 0.00 | 18.13 |
| 10/31/2017 | MEDINA, CESAR | JNL PERSPECTIVE II 05/05 | 46,288.61 | 19.29 | 90.0 | 17.36 | 0.00 | 17.36 |
| 10/31/2017 | BESKO, DIRK | JNL PERSPECTIVE II 05/05 | 10,987.23 | 4.58 | 90.0 | 4.12 | 0.00 | 4.12 |
| 10/31/2017 | BESKO, DIRK | JNL PERSPECTIVE II 05/05 | 117,580.06 | 48.99 | 90.0 | 44.09 | 0.00 | 44.09 |
| 10/31/2017 | LATZ, SONYA | JNL PERSPECTIVE II 05/05 | 5,469.68 | 2.28 | 90.0 | 2.05 | 0.00 | 2.05 |
| 10/31/2017 | HARROW, WENDY | JNL PERSPECTIVE II 05/05 | 4,074.82 | 1.70 | 90.0 | 1.53 | 0.00 | 1.53 |
| 10/31/2017 | BISHOP, DAVID | JNL PERSPECTIVE II 05/05 | 62,641.22 | 26.10 | 90.0 | 23.49 | 0.00 | 23.49 |
| 10/31/2017 | O'BERRY, MICHAEL | JNL PERSPECTIVE II 05/05 | 187,364.61 | 78.07 | 90.0 | 70.26 | 0.00 | 70.26 |

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|--|--------------------|--------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 10/31/2017 | WINKLER, JOHN | JNL PERSPECTIVE II 05/05 | 440,669.57 | 183.61 | 90.0 | 165.25 | 0.00 | 165.25 |
| 10/31/2017 | HOOKER, LINDA | JNL PERSPECTIVE II 05/05 | 131,976.27 | 54.99 | 90.0 | 49.49 | 0.00 | 49.49 |
| 10/31/2017 | BISHOP, DAVID | JNL PERSPECTIVE II 05/05 | 22,919.47 | 9.55 | 90.0 | 8.60 | 0.00 | 8.60 |
| 10/31/2017 | DARLING, GARY | JNL PERSPECTIVE II 05/05 | 142,907.38 | 59.54 | 90.0 | 53.59 | 0.00 | 53.59 |
| 10/31/2017 | GALECKA, CYRIL | JNL PERSPECTIVE II 05/05 | 135,830.51 | 56.60 | 90.0 | 50.94 | 0.00 | 50.94 |
| 10/31/2017 | BURNS, KAREN | JNL PERSPECTIVE II 05/05 | 150,121.13 | 62.55 | 90.0 | 56.30 | 0.00 | 56.30 |
| 10/31/2017 | COOK, DONALD | JNL PERSPECTIVE II 05/05 | 4,357.65 | 1.82 | 90.0 | 1.64 | 0.00 | 1.64 |
| 10/31/2017 | GREEN, STEVE | JNL PERSPECTIVE II 05/05 | 62,674.76 | 26.11 | 90.0 | 23.50 | 0.00 | 23.50 |
| 10/31/2017 | HECKMAN, RUSSELL | JNL PERSPECTIVE II 05/05 | 98,476.84 | 41.03 | 90.0 | 36.93 | 0.00 | 36.93 |
| 10/31/2017 | HECKMAN, VICKI | JNL PERSPECTIVE II 05/05 | 5,813.38 | 2.42 | 90.0 | 2.18 | 0.00 | 2.18 |
| 10/31/2017 | HECKMAN, VICKI | JNL PERSPECTIVE II 05/05 | 231,239.04 | 96.35 | 90.0 | 86.72 | 0.00 | 86.72 |
| 10/31/2017 | VINCENT, DAVID | JNL PERSPECTIVE II 05/05 | 4,297.03 | 1.79 | 90.0 | 1.61 | 0.00 | 1.61 |
| 10/31/2017 | VINCENT, JAMIE | JNL PERSPECTIVE II 05/05 | 20,914.91 | 8.71 | 90.0 | 7.84 | 0.00 | 7.84 |
| 10/31/2017 | BERNATH, THOMAS | JNL PERSPECTIVE II 05/05 | 17,277.74 | 7.20 | 90.0 | 6.48 | 0.00 | 6.48 |
| 10/31/2017 | KANTHE, LINDA | JNL PERSPECTIVE II 05/05 | 67,643.30 | 28.18 | 90.0 | 25.36 | 0.00 | 25.36 |
| 10/31/2017 | COOK, JANET | JNL PERSPECTIVE II 05/05 | 63,144.62 | 26.31 | 90.0 | 23.68 | 0.00 | 23.68 |
| 10/31/2017 | COOK, DONALD | JNL PERSPECTIVE II 05/05 | 149,804.27 | 62.42 | 90.0 | 56.18 | 0.00 | 56.18 |
| 10/31/2017 | ADE, MARIE | JNL PERSPECTIVE II 05/05 | 53,867.88 | 22.44 | 90.0 | 20.20 | 0.00 | 20.20 |
| 10/31/2017 | COOK, DONALD | JNL PERSPECTIVE II 05/05 | 104,611.52 | 43.59 | 90.0 | 39.23 | 0.00 | 39.23 |
| 10/31/2017 | BUKOVCIK, SUSAN | JNL PERSPECTIVE II 05/05 | 15,810.09 | 6.59 | 90.0 | 5.93 | 0.00 | 5.93 |
| 10/31/2017 | BUKOVCIK, DANIEL | JNL PERSPECTIVE II 05/05 | 16,280.66 | 6.78 | 90.0 | 6.10 | 0.00 | 6.10 |
| 10/31/2017 | KING, MAXINE | JNL PERSPECTIVE II 05/05 | 90,148.76 | 37.56 | 90.0 | 33.80 | 0.00 | 33.80 |
| 10/31/2017 | ATKINS, RONALD | JNL PERSPECTIVE II 05/05 | 61,911.45 | 25.80 | 90.0 | 23.22 | 0.00 | 23.22 |
| 10/31/2017 | MCCABE, DAVID | JNL PERSPECTIVE II 05/05 | 86,493.39 | 36.04 | 90.0 | 32.44 | 0.00 | 32.44 |
| 10/31/2017 | SMITH, DOUGLAS | JNL PERSPECTIVE II 05/05 | 13,925.54 | 5.80 | 90.0 | 5.22 | 0.00 | 5.22 |
| 10/31/2017 | BUSH, VICTORIA | JNL PERSPECTIVE II 05/05 | 28,987.02 | 12.08 | 90.0 | 10.87 | 0.00 | 10.87 |
| 10/31/2017 | COSCARELLI, ARTHUR | JNL PERSPECTIVE II 05/05 | 14,745.68 | 6.14 | 90.0 | 5.53 | 0.00 | 5.53 |
| 10/31/2017 | BERNATH, THOMAS | JNL PERSPECTIVE II 05/05 | 146,224.19 | 60.93 | 90.0 | 54.84 | 0.00 | 54.84 |
| 10/31/2017 | FEDEWA, NEIL | JNL PERSPECTIVE II 05/05 | 12,177.76 | 5.07 | 90.0 | 4.56 | 0.00 | 4.56 |
| 10/31/2017 | PALEN, LINDA | JNL PERSPECTIVE II 05/05 | 170,228.61 | 70.93 | 90.0 | 63.84 | 0.00 | 63.84 |
| 10/31/2017 | CONKLIN, SANDRA | JNL PERSPECTIVE II 05/05 | 14,049.09 | 5.85 | 90.0 | 5.27 | 0.00 | 5.27 |
| 10/31/2017 | CONKLIN, DOUG | JNL PERSPECTIVE II 05/05 | 65,318.08 | 27.22 | 90.0 | 24.50 | 0.00 | 24.50 |
| 10/31/2017 | ATKINS, RONALD | JNL PERSPECTIVE II 05/05 | 7,185.01 | 2.99 | 90.0 | 2.69 | 0.00 | 2.69 |

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|--|---------------------|--------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 10/31/2017 | ATKINS, DEB | JNL PERSPECTIVE II 05/05 | 8,148.24 | 3.40 | 90.0 | 3.06 | 0.00 | 3.06 |
| 10/31/2017 | ATKINS, RONALD | JNL PERSPECTIVE II 05/05 | 104,052.13 | 43.36 | 90.0 | 39.02 | 0.00 | 39.02 |
| 10/31/2017 | KURNCZ, KIMBERLY | JNL PERSPECTIVE II 05/05 | 848.88 | 0.35 | 90.0 | 0.32 | 0.00 | 0.32 |
| 10/31/2017 | MASSA, RODGER | JNL PERSPECTIVE II 05/05 | 94,100.88 | 39.21 | 90.0 | 35.29 | 0.00 | 35.29 |
| 10/31/2017 | WEISMILLER, SANDRA | JNL PERSPECTIVE II 05/05 | 990,736.85 | 412.81 | 90.0 | 371.53 | 0.00 | 371.53 |
| 10/31/2017 | BYWATER, SHEILA | JNL PERSPECTIVE II 05/05 | 302,778.94 | 126.16 | 90.0 | 113.54 | 0.00 | 113.54 |
| 10/31/2017 | PLOWMAN, DONNA | JNL PERSPECTIVE II 05/05 | 41,984.42 | 17.49 | 90.0 | 15.74 | 0.00 | 15.74 |
| 10/31/2017 | BUKOVCIK, SUSAN | JNL PERSPECTIVE II 05/05 | 82,846.07 | 34.52 | 90.0 | 31.07 | 0.00 | 31.07 |
| 10/31/2017 | BUKOVCIK, SUSAN | JNL PERSPECTIVE II 05/05 | 71,517.01 | 29.80 | 90.0 | 26.82 | 0.00 | 26.82 |
| 10/31/2017 | BUKOVCIK, DANIEL | JNL PERSPECTIVE II 05/05 | 69,330.88 | 28.89 | 90.0 | 26.00 | 0.00 | 26.00 |
| 10/31/2017 | RADEMACHER, SUZANNE | JNL PERSPECTIVE II 05/05 | 56,260.41 | 23.44 | 90.0 | 21.10 | 0.00 | 21.10 |
| 10/31/2017 | RADEMACHER, RICKEY | JNL PERSPECTIVE II 05/05 | 56,260.40 | 23.44 | 90.0 | 21.10 | 0.00 | 21.10 |
| 10/31/2017 | DARLING, KIMBERLY | JNL PERSPECTIVE II 05/05 | 41,337.52 | 17.22 | 90.0 | 15.50 | 0.00 | 15.50 |
| 10/31/2017 | EVANS, ROGER | JNL PERSPECTIVE II 05/05 | 43,960.75 | 18.32 | 90.0 | 16.49 | 0.00 | 16.49 |
| 10/31/2017 | BARKS, ERRON | JNL PERSPECTIVE II 05/05 | 33,064.58 | 13.78 | 90.0 | 12.40 | 0.00 | 12.40 |
| 10/31/2017 | BARKS, MARIE | JNL PERSPECTIVE II 05/05 | 42,528.84 | 17.72 | 90.0 | 15.95 | 0.00 | 15.95 |
| 10/31/2017 | BARKS, ERRON | JNL PERSPECTIVE II 05/05 | 46,753.15 | 19.48 | 90.0 | 17.53 | 0.00 | 17.53 |
| 10/31/2017 | BARKS, ERRON | JNL PERSPECTIVE II 05/05 | 318,918.30 | 132.88 | 90.0 | 119.59 | 0.00 | 119.59 |
| 10/31/2017 | BARKS, MARIE | JNL PERSPECTIVE II 05/05 | 46,753.15 | 19.48 | 90.0 | 17.53 | 0.00 | 17.53 |
| 10/31/2017 | FINCH, ARLENE | JNL PERSPECTIVE II 05/05 | 18,814.97 | 7.84 | 90.0 | 7.06 | 0.00 | 7.06 |
| 10/31/2017 | BUKOVCIK, DANIEL | JNL PERSPECTIVE II 05/05 | 84,436.58 | 35.18 | 90.0 | 31.66 | 0.00 | 31.66 |
| 10/31/2017 | ALLEN, DONNA | JNL PERSPECTIVE II 05/05 | 8,589.74 | 3.58 | 90.0 | 3.22 | 0.00 | 3.22 |
| 10/31/2017 | O'BERRY, CHARLES | JNL PERSPECTIVE II 05/05 | 107,869.31 | 44.95 | 90.0 | 40.46 | 0.00 | 40.46 |
| 10/31/2017 | RAMIREZ, JOAN | JNL PERSPECTIVE II 05/05 | 59,518.34 | 24.80 | 90.0 | 22.32 | 0.00 | 22.32 |
| 10/31/2017 | SWENSON, AMY | JNL PERSPECTIVE II 05/05 | 11,714.43 | 4.88 | 90.0 | 4.39 | 0.00 | 4.39 |
| 10/31/2017 | SWENSON, ERIC | JNL PERSPECTIVE II 05/05 | 9,836.99 | 4.10 | 90.0 | 3.69 | 0.00 | 3.69 |
| 10/31/2017 | SWENSON, ERIC | JNL PERSPECTIVE II 05/05 | 6,044.48 | 2.52 | 90.0 | 2.27 | 0.00 | 2.27 |
| 10/31/2017 | FOLTZ, TIMOTHY | JNL PERSPECTIVE II 05/05 | 6,939.39 | 2.89 | 90.0 | 2.60 | 0.00 | 2.60 |
| 10/31/2017 | MOORE, MARC | JNL PERSPECTIVE II 05/05 | 414.49 | 0.17 | 90.0 | 0.15 | 0.00 | 0.15 |
| 10/31/2017 | BEALS, TIMOTHY | JNL PERSPECTIVE II 05/05 | 33,483.84 | 13.95 | 90.0 | 12.56 | 0.00 | 12.56 |
| 10/31/2017 | O'BRIEN, MICHAEL | JNL PERSPECTIVE II 05/05 | 18,138.33 | 7.56 | 90.0 | 6.80 | 0.00 | 6.80 |
| 10/31/2017 | LEN, JURLINE | JNL PERSPECTIVE II 05/05 | 120,463.89 | 50.19 | 90.0 | 45.17 | 0.00 | 45.17 |
| 10/31/2017 | LEN, JURLINE | JNL PERSPECTIVE II 05/05 | 173,804.52 | 72.42 | 90.0 | 65.18 | 0.00 | 65.18 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|--------------------|--------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 10/31/2017 | HAZLE, JOHN | JNL PERSPECTIVE II 05/05 | 12,430.94 | 5.18 | 90.0 | 4.66 | 0.00 | 4.66 |
| 10/31/2017 | HARMON, RICHARD | JNL PERSPECTIVE II 05/05 | 149,606.60 | 62.34 | 90.0 | 56.11 | 0.00 | 56.11 |
| 10/31/2017 | KUS, DONNA | JNL PERSPECTIVE II 05/05 | 111,225.82 | 46.34 | 90.0 | 41.71 | 0.00 | 41.71 |
| 10/31/2017 | GOOSEN, JEROME | JNL PERSPECTIVE II 05/05 | 591,701.20 | 246.54 | 90.0 | 221.89 | 0.00 | 221.89 |
| 10/31/2017 | DARLING, TARIN | JNL PERSPECTIVE II 05/05 | 5,444.07 | 2.27 | 90.0 | 2.04 | 0.00 | 2.04 |
| 10/31/2017 | MILLER, PHILLIP | JNL PERSPECTIVE II 05/05 | 1,138.57 | 0.47 | 90.0 | 0.42 | 0.00 | 0.42 |
| 10/31/2017 | MILLER, VIVIAN | JNL PERSPECTIVE II 05/05 | 5,675.86 | 2.36 | 90.0 | 2.12 | 0.00 | 2.12 |
| 10/31/2017 | UNTERBRINK, PAUL | JNL PERSPECTIVE II 05/05 | 121,862.44 | 50.78 | 90.0 | 45.70 | 0.00 | 45.70 |
| 10/31/2017 | BLAIN, VICKIE | JNL PERSPECTIVE II 05/05 | 81,887.38 | 34.12 | 90.0 | 30.71 | 0.00 | 30.71 |
| 10/31/2017 | BLAIN, VICKIE | JNL PERSPECTIVE II 05/05 | 76,768.18 | 31.99 | 90.0 | 28.79 | 0.00 | 28.79 |
| 10/31/2017 | SIEFFERT, JUDITH | JNL PERSPECTIVE II 05/05 | 520,591.64 | 216.91 | 90.0 | 195.22 | 0.00 | 195.22 |
| 10/31/2017 | PAKSI, JOYCE | JNL PERSPECTIVE II 05/05 | 15,207.58 | 6.34 | 90.0 | 5.71 | 0.00 | 5.71 |
| 10/31/2017 | PAKSI, JOYCE | JNL PERSPECTIVE II 05/05 | 105,016.89 | 43.76 | 90.0 | 39.38 | 0.00 | 39.38 |
| 10/31/2017 | PAKSI, ROBERT | JNL PERSPECTIVE II 05/05 | 15,761.99 | 6.57 | 90.0 | 5.91 | 0.00 | 5.91 |
| 10/31/2017 | PAKSI, ROBERT | JNL PERSPECTIVE II 05/05 | 43,909.77 | 18.30 | 90.0 | 16.47 | 0.00 | 16.47 |
| 10/31/2017 | STUTZMAN, KAREN | JNL PERSPECTIVE II 05/05 | 41,774.56 | 17.41 | 90.0 | 15.67 | 0.00 | 15.67 |
| 10/31/2017 | SWANCHARA, KIM | JNL PERSPECTIVE II 05/05 | 45,597.76 | 19.00 | 90.0 | 17.10 | 0.00 | 17.10 |
| 10/31/2017 | SWANCHARA, BEN | JNL PERSPECTIVE II 05/05 | 45,597.76 | 19.00 | 90.0 | 17.10 | 0.00 | 17.10 |
| 10/31/2017 | BATORA, DELORES | JNL PERSPECTIVE II 05/05 | 178,061.43 | 74.19 | 90.0 | 66.77 | 0.00 | 66.77 |
| 10/31/2017 | DUNSMORE, CAROL | JNL PERSPECTIVE II 05/05 | 122,435.28 | 51.01 | 90.0 | 45.91 | 0.00 | 45.91 |
| 10/31/2017 | HOGAN, JOSEPH | JNL PERSPECTIVE II 05/05 | 265,430.95 | 110.60 | 90.0 | 99.54 | 0.00 | 99.54 |
| 10/31/2017 | STEHLIK, FRANCES | JNL PERSPECTIVE II 05/05 | 111,561.59 | 46.48 | 90.0 | 41.83 | 0.00 | 41.83 |
| 10/31/2017 | STEHLIK, FRANCES | JNL PERSPECTIVE II 05/05 | 35,510.92 | 14.80 | 90.0 | 13.32 | 0.00 | 13.32 |
| 10/31/2017 | WHALEY JR, RICHARD | JNL PERSPECTIVE II 05/05 | 46,737.84 | 19.47 | 90.0 | 17.52 | 0.00 | 17.52 |
| 10/31/2017 | GOOSEN, JEROME | JNL PERSPECTIVE II 05/05 | 15,061.58 | 6.28 | 90.0 | 5.65 | 0.00 | 5.65 |
| 10/31/2017 | BAILEY, THOMAS | JNL PERSPECTIVE II 05/05 | 11,775.24 | 4.91 | 90.0 | 4.42 | 0.00 | 4.42 |
| 10/31/2017 | BAILEY, THOMAS | JNL PERSPECTIVE II 05/05 | 115,891.98 | 48.29 | 90.0 | 43.46 | 0.00 | 43.46 |
| 10/31/2017 | BAILEY, WALLACE | JNL PERSPECTIVE II 05/05 | 138,644.35 | 57.77 | 90.0 | 51.99 | 0.00 | 51.99 |
| 10/31/2017 | BAILEY, SUSAN | JNL PERSPECTIVE II 05/05 | 29,212.85 | 12.17 | 90.0 | 10.95 | 0.00 | 10.95 |
| 10/31/2017 | HOGAN, JOSEPH | JNL PERSPECTIVE II 05/05 | 43,040.55 | 17.93 | 90.0 | 16.14 | 0.00 | 16.14 |
| 10/31/2017 | WOODMAN, ROBERT | JNL PERSPECTIVE II 05/05 | 89,223.46 | 37.18 | 90.0 | 33.46 | 0.00 | 33.46 |
| 10/31/2017 | COCKRUM, MARK | JNL PERSPECTIVE II 05/05 | 76,651.30 | 28.74 | 90.0 | 25.87 | 0.00 | 25.87 |
| 10/31/2017 | HOGAN, JOSEPH | JNL PERSPECTIVE II 05/05 | 139,916.04 | 58.30 | 90.0 | 52.47 | 0.00 | 52.47 |

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|--------------------|--------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 10/31/2017 | FOX, JAMES | JNL PERSPECTIVE II 05/05 | 162,926.26 | 67.89 | 90.0 | 61.10 | 0.00 | 61.10 |
| 10/31/2017 | PERRY, GREGORY | JNL PERSPECTIVE II 05/05 | 45,649.56 | 19.02 | 90.0 | 17.12 | 0.00 | 17.12 |
| 10/31/2017 | HOUSE, MATTHEW | JNL PERSPECTIVE II 05/05 | 13,550.35 | 5.65 | 90.0 | 5.09 | 0.00 | 5.09 |
| 10/31/2017 | SMALLDON, JANET | JNL PERSPECTIVE II 05/05 | 53,691.87 | 22.37 | 90.0 | 20.13 | 0.00 | 20.13 |
| 10/31/2017 | JOHNSON, DOLLY | JNL PERSPECTIVE II 05/05 | 7,964.37 | 3.32 | 90.0 | 2.99 | 0.00 | 2.99 |
| 10/31/2017 | FABER, KATHRYN | JNL PERSPECTIVE II 05/05 | 38,055.49 | 15.86 | 90.0 | 14.27 | 0.00 | 14.27 |
| 10/31/2017 | BUKOVCIK, RONALD | JNL PERSPECTIVE II 05/05 | 517,780.95 | 215.74 | 90.0 | 194.17 | 0.00 | 194.17 |
| 10/31/2017 | KING, MAXINE | JNL PERSPECTIVE II 05/05 | 175,368.49 | 73.07 | 90.0 | 65.76 | 0.00 | 65.76 |
| 10/31/2017 | BARTON, EVA | JNL PERSPECTIVE II 05/05 | 20,080.18 | 8.37 | 90.0 | 7.53 | 0.00 | 7.53 |
| 10/31/2017 | TALBOT, DOUG | JNL PERSPECTIVE II 05/05 | 13,424.78 | 5.59 | 90.0 | 5.03 | 0.00 | 5.03 |
| 10/31/2017 | TALBOT, DOUG | JNL PERSPECTIVE II 05/05 | 37,537.98 | 15.64 | 90.0 | 14.08 | 0.00 | 14.08 |
| 10/31/2017 | THELEN, NEIL | JNL PERSPECTIVE II 05/05 | 206,966.73 | 86.24 | 90.0 | 77.62 | 0.00 | 77.62 |
| 10/31/2017 | CONRAD, DOUGLAS | JNL PERSPECTIVE II 05/05 | 35,094.27 | 14.62 | 90.0 | 13.16 | 0.00 | 13.16 |
| 10/31/2017 | MARTIN, SCOTT | JNL PERSPECTIVE II 05/05 | 66,600.81 | 27.75 | 90.0 | 24.98 | 0.00 | 24.98 |
| 10/31/2017 | ROOF, ANNA | JNL PERSPECTIVE II 05/05 | 209,523.28 | 87.30 | 90.0 | 78.57 | 0.00 | 78.57 |
| 10/31/2017 | ROBERTS, MONICA | JNL PERSPECTIVE II 05/05 | 7,019.43 | 2.92 | 90.0 | 2.63 | 0.00 | 2.63 |
| 10/31/2017 | DOYLE, DENNIS | JNL PERSPECTIVE II 05/05 | 50,328.32 | 20.97 | 90.0 | 18.87 | 0.00 | 18.87 |
| 10/31/2017 | SCHRAUBEN, HOLLY | JNL PERSPECTIVE II 05/05 | 4,343.99 | 1.81 | 90.0 | 1.63 | 0.00 | 1.63 |
| 10/31/2017 | BURNS, KAREN | JNL PERSPECTIVE II 05/05 | 29,257.86 | 12.19 | 90.0 | 10.97 | 0.00 | 10.97 |
| 10/31/2017 | PEASE, STEVEN | JNL PERSPECTIVE II 05/05 | 60,161.37 | 25.07 | 90.0 | 22.56 | 0.00 | 22.56 |
| 10/31/2017 | THORNBURG, JANET | JNL PERSPECTIVE II 05/05 | 83,538.47 | 34.81 | 90.0 | 31.33 | 0.00 | 31.33 |
| 10/31/2017 | BAILEY, WALLACE | JNL PERSPECTIVE II 05/05 | 48,182.81 | 20.08 | 90.0 | 18.07 | 0.00 | 18.07 |
| 10/31/2017 | SCHULTZ, GARY | JNL PERSPECTIVE II 05/05 | 6,354.78 | 2.65 | 90.0 | 2.39 | 0.00 | 2.39 |
| 10/31/2017 | RITZ, VICKI | JNL PERSPECTIVE II 05/05 | 23,352.44 | 9.73 | 90.0 | 8.76 | 0.00 | 8.76 |
| 10/31/2017 | KIEFFER, DUSTIN | JNL PERSPECTIVE II 05/05 | 15,892.28 | 6.62 | 90.0 | 5.96 | 0.00 | 5.96 |
| 10/31/2017 | BAILEY, WALLACE | JNL PERSPECTIVE II 05/05 | 40,533.25 | 16.89 | 90.0 | 15.20 | 0.00 | 15.20 |
| 10/31/2017 | THORNBURG, RICHARD | JNL PERSPECTIVE II 05/05 | 295,386.79 | 123.08 | 90.0 | 110.77 | 0.00 | 110.77 |
| 10/31/2017 | MEADOWS, SANDRA | JNL PERSPECTIVE II 05/05 | 268,753.54 | 111.98 | 90.0 | 100.78 | 0.00 | 100.78 |
| 10/31/2017 | BERNATH, THOMAS | JNL PERSPECTIVE II 05/05 | 8,008.53 | 3.34 | 90.0 | 3.01 | 0.00 | 3.01 |
| 10/31/2017 | DANIEL, JOHN | JNL PERSPECTIVE II 05/05 | 57,379.55 | 23.91 | 90.0 | 21.52 | 0.00 | 21.52 |
| 10/31/2017 | WROBEL, GARY | JNL PERSPECTIVE II 05/05 | 406,018.49 | 169.17 | 90.0 | 152.25 | 0.00 | 152.25 |
| 10/31/2017 | ZUSSMAN, KELLI | JNL PERSPECTIVE II 05/05 | 453,274.79 | 188.86 | 90.0 | 169.97 | 0.00 | 169.97 |
| 10/31/2017 | EVANS, ROGER | JNL PERSPECTIVE II 05/05 | 9,478.59 | 3.95 | 90.0 | 3.56 | 0.00 | 3.56 |

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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|---------------------|--------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 10/31/2017 | EVANS, ROGER | JNL PERSPECTIVE II 05/05 | 47,216.01 | 19.67 | 90.0 | 17.70 | 0.00 | 17.70 |
| 10/31/2017 | LEIBY, PAMELA | JNL PERSPECTIVE II 05/05 | 94,262.97 | 39.28 | 90.0 | 35.35 | 0.00 | 35.35 |
| 10/31/2017 | LEIBY, PAMELA | JNL PERSPECTIVE II 05/05 | 29,436.12 | 12.27 | 90.0 | 11.04 | 0.00 | 11.04 |
| 10/31/2017 | SCHMITT, WILLIAM | JNL PERSPECTIVE II 05/05 | 31,100.37 | 12.96 | 90.0 | 11.66 | 0.00 | 11.66 |
| 10/31/2017 | SCHMITT, KELLEY | JNL PERSPECTIVE II 05/05 | 15,869.87 | 6.61 | 90.0 | 5.95 | 0.00 | 5.95 |
| 10/31/2017 | EKEL, MARY | JNL PERSPECTIVE II 05/05 | 10,042.59 | 4.18 | 90.0 | 3.76 | 0.00 | 3.76 |
| 10/31/2017 | SHETTLER, CARL | JNL PERSPECTIVE II 05/05 | 2,999.32 | 1.25 | 90.0 | 1.13 | 0.00 | 1.13 |
| 10/31/2017 | NUTTER, JACK | JNL PERSPECTIVE II 05/05 | 183,691.91 | 76.54 | 90.0 | 68.89 | 0.00 | 68.89 |
| 10/31/2017 | HUHN, JUDY | JNL PERSPECTIVE II 05/05 | 125,939.76 | 52.47 | 90.0 | 47.22 | 0.00 | 47.22 |
| 10/31/2017 | DOUBRAVA, STACY | JNL PERSPECTIVE II 05/05 | 259,297.01 | 108.04 | 90.0 | 97.24 | 0.00 | 97.24 |
| 10/31/2017 | CAIRNS, SCOTT | JNL PERSPECTIVE II 05/05 | 173,781.08 | 72.41 | 90.0 | 65.17 | 0.00 | 65.17 |
| 10/31/2017 | RADMACHER, ROGER | JNL PERSPECTIVE II 05/05 | 248,300.89 | 103.46 | 90.0 | 93.11 | 0.00 | 93.11 |
| 10/31/2017 | MASSA, RODGER | JNL PERSPECTIVE II 05/05 | 3,309.27 | 1.38 | 90.0 | 1.24 | 0.00 | 1.24 |
| 10/31/2017 | PAKSI, JAMES | JNL PERSPECTIVE II 05/05 | 223,365.11 | 93.07 | 90.0 | 83.76 | 0.00 | 83.76 |
| 10/31/2017 | MILLER, VIVIAN | JNL PERSPECTIVE II 05/05 | 65,416.92 | 27.26 | 90.0 | 24.53 | 0.00 | 24.53 |
| 10/31/2017 | STANLEY, REGINA | JNL PERSPECTIVE II 05/05 | 100,309.43 | 41.80 | 90.0 | 37.62 | 0.00 | 37.62 |
| 10/31/2017 | NORDER, MARSHA | JNL PERSPECTIVE II 05/05 | 27,941.71 | 11.64 | 90.0 | 10.48 | 0.00 | 10.48 |
| 10/31/2017 | COPESE, TAMERA | JNL PERSPECTIVE II 05/05 | 53,964.85 | 22.49 | 90.0 | 20.24 | 0.00 | 20.24 |
| 10/31/2017 | WINTERS, JODI | JNL PERSPECTIVE II 05/05 | 19,097.13 | 7.96 | 90.0 | 7.16 | 0.00 | 7.16 |
| 10/31/2017 | ASHBAUGH, ROBERT | JNL PERSPECTIVE II 05/05 | 16,168.05 | 6.74 | 90.0 | 6.07 | 0.00 | 6.07 |
| 10/31/2017 | BURKETT SR, RICHARD | JNL PERSPECTIVE II 05/05 | 3,517.28 | 1.47 | 90.0 | 1.32 | 0.00 | 1.32 |
| 10/31/2017 | HAZLE, JAYDEN | JNL PERSPECTIVE II 05/05 | 8,594.22 | 3.58 | 90.0 | 3.22 | 0.00 | 3.22 |
| 10/31/2017 | STOLL, MARY | JNL PERSPECTIVE II 05/05 | 3,895.89 | 1.62 | 90.0 | 1.46 | 0.00 | 1.46 |
| 10/31/2017 | ARTHUR, LOYAL | JNL PERSPECTIVE II 05/05 | 241,717.81 | 100.72 | 90.0 | 90.65 | 0.00 | 90.65 |
| 10/31/2017 | FOX, JAMES | JNL PERSPECTIVE II 05/05 | 31,522.94 | 13.13 | 90.0 | 11.82 | 0.00 | 11.82 |
| 10/31/2017 | SMALEC, CARLA | JNL PERSPECTIVE II 05/05 | 119,606.51 | 49.84 | 90.0 | 44.86 | 0.00 | 44.86 |
| 10/31/2017 | HEATHMAN, DAWN | JNL PERSPECTIVE II 05/05 | 84,816.08 | 35.34 | 90.0 | 31.81 | 0.00 | 31.81 |
| 10/31/2017 | RAMIREZ, NICOLAS | JNL PERSPECTIVE II 05/05 | 289,327.88 | 120.55 | 90.0 | 108.50 | 0.00 | 108.50 |
| 10/31/2017 | WALTER, SHIRLEY | JNL PERSPECTIVE II 05/05 | 77,447.21 | 32.27 | 90.0 | 29.04 | 0.00 | 29.04 |
| 10/31/2017 | WALTER, ROBERT | JNL PERSPECTIVE II 05/05 | 43,574.27 | 18.16 | 90.0 | 16.34 | 0.00 | 16.34 |
| 10/31/2017 | WALTER, ROBERT | JNL PERSPECTIVE II 05/05 | 158,066.90 | 65.86 | 90.0 | 59.27 | 0.00 | 59.27 |
| 10/31/2017 | WALTER, SHIRLEY | JNL PERSPECTIVE II 05/05 | 3,850.98 | 1.60 | 90.0 | 1.44 | 0.00 | 1.44 |
| 10/31/2017 | WALTER, SHIRLEY | JNL PERSPECTIVE II 05/05 | 46,597.75 | 19.42 | 90.0 | 17.48 | 0.00 | 17.48 |

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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|---|---------------------|---------------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 10/31/2017 | WALTER, ROBERT | JNL PERSPECTIVE II 05/05 | 3,879.91 | 1.62 | 90.0 | 1.46 | 0.00 | 1.46 |
| 10/31/2017 | LENON, DAWN | JNL PERSPECTIVE II 05/05 | 252,487.22 | 105.20 | 90.0 | 94.68 | 0.00 | 94.68 |
| 10/31/2017 | DEVEREAUX, ANDREW | JNL PERSPECTIVE II 05/05 | 22,738.65 | 9.47 | 90.0 | 8.52 | 0.00 | 8.52 |
| 10/31/2017 | MCCREERY, RODNEY | JNL PERSPECTIVE II 05/05 | 24,708.09 | 10.30 | 90.0 | 9.27 | 0.00 | 9.27 |
| 10/31/2017 | DEVEREAUX, DARLENE | JNL PERSPECTIVE II 05/05 | 3,571.04 | 1.49 | 90.0 | 1.34 | 0.00 | 1.34 |
| 10/31/2017 | CALVERLEY, ROBERT | JNL PERSPECTIVE II 05/05 | 140,328.84 | 58.47 | 90.0 | 52.62 | 0.00 | 52.62 |
| 10/31/2017 | WINKLER, DAVID | JNL PERSPECTIVE II 05/05 | 114,927.53 | 47.89 | 90.0 | 43.10 | 0.00 | 43.10 |
| 10/31/2017 | BATES, JAMES | JNL PERSPECTIVE II 05/05 | 223,495.87 | 93.12 | 90.0 | 83.81 | 0.00 | 83.81 |
| 10/31/2017 | BOETTCHER, DAWN | JNL PERSPECTIVE II 05/05 | 22,447.69 | 9.35 | 90.0 | 8.42 | 0.00 | 8.42 |
| 10/31/2017 | RITTER, DEVONA | JNL PERSPECTIVE II 05/05 | 25,260.89 | 10.53 | 90.0 | 9.48 | 0.00 | 9.48 |
| 11/01/2017 | COSCARIELLI, ARTHUR | JNL PERSPECTIVE II 05/05 | 50.00 | 2.63 | 90.0 | 2.37 | 0.00 | 2.37 |
| 11/01/2017 | COPE, TAMERA | JNL PERSPECTIVE II 05/05 | 6,500.00 | 341.25 | 90.0 | 307.13 | 0.00 | 307.13 |
| 11/01/2017 | HAZLE, JAYDEN | JNL PERSPECTIVE II 05/05 | 100.00 | 5.25 | 90.0 | 4.73 | 0.00 | 4.73 |
| 11/02/2017 | BURNS, KAREN | JNL PERSPECTIVE II 05/05 | 10,000.00 | 525.00 | 90.0 | 472.50 | 0.00 | 472.50 |
| 11/06/2017 | SCHNEIDER, DAWN | JNL PERSPECTIVE II 05/05 | 100.00 | 5.25 | 90.0 | 4.73 | 0.00 | 4.73 |
| 10/31/2017 | MARTENS, KEVIN | JNL PERSPECTIVE II 10/04 | 72,971.54 | 30.40 | 90.0 | 27.36 | 0.00 | 27.36 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$25,816,513.38 | \$19,348.91 | | \$17,414.08 | \$0.00 | \$17,414.08 |
| <u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u> | | | | | | | | |
| 10/27/2017 | KIRBY, NICOLE | LINCOLN LIFE | 0.00 | 55.36 | 90.0 | 49.82 | 0.00 | 49.82 |
| * VARIABLE UNIVERSAL LIFE SUBTOTAL * | | | \$0.00 | \$55.36 | | \$49.82 | \$0.00 | \$49.82 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$25,824,612.69 | \$20,140.07 | | \$18,126.19 | \$0.00 | \$18,126.19 |
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 11/15/2017 | | *E & O INSURANCE: CURRENT MONTH | 0.00 | | | | | -300.00 |
| 11/15/2017 | | *TECH: CURRENT MONTH TECH-GAVIN | 0.00 | | | | | -100.00 |
| 11/15/2017 | | *TECHNOLOGY: CURRENT MONTH TECH | 0.00 | | | | | -275.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | | \$-675.00 | \$0.00 | \$-675.00 |

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** - Indicates adjustment is not included in Totals or Commissions

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BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$18,126.19 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-675.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|--------------|
| Check Amount | \$17,451.19 |
| Year-to-date Gross | \$341,675.30 |
| Year-to-date Earnings | \$339,163.31 |