



COMMISSION STATEMENT

January 08, 2018

DENNIS CONNOR
9 Woodland Rd.
Miller Place, NY 11764

BROKER #: A87
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/29/2017	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	BLACKROCK 09251M108 SELL 220 Units @22.7100	4,999.99	18.00	18.00	0.00	75.0	0.00
12/29/2017	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	BLACKROCK HIGH YIELD BOND FUND CLAS SELL 827 Units @7.8000	6,450.28	18.00	18.00	0.00	75.0	0.00
12/29/2017	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	EATON VANCE GLOBAL SMALL CAP CLASS SELL 411 Units @15.0200	6,169.68	18.00	18.00	0.00	75.0	0.00
12/27/2017	***-**-4110 - KAVANAGH, ACCOUNT #: 08352452703	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
12/27/2017	***-**-02IN - MANCHESTER, ACCOUNT #: 08352452714	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	75.00	3.00	0.00	3.00	75.0	2.25
12/27/2017	***-**-52IN - METZLER, JANET ACCOUNT #: 02154900346	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
12/27/2017	***-**-0075 - MEHIT, LAURA ACCOUNT #: 02156080382	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	21.25	1.01	0.00	1.01	75.0	0.76
12/27/2017	***-**-52IN - METZLER, JANET ACCOUNT #: 08251985687	INTERNATIONAL GROWTH FUND A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
12/27/2017	***-**-02IN - MANCHESTER, ACCOUNT #: 08152119953	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	75.00	3.00	0.00	3.00	75.0	2.25
12/27/2017	***-**-4110 - KAVANAGH, ACCOUNT #: 08152121988	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
12/29/2017	***-**-8973 - MIRABELL, ACCOUNT #: 00500433776	LARGECAP GROWTH - CLASS A BUY 2 Units @17.9900	30.00	1.50	0.00	1.50	75.0	1.13
12/27/2017	***-**-0075 - MEHIT, LAURA ACCOUNT #: 07005702293	MAIN STREET FUND A BUY 0 Units @0.0000	41.25	1.96	0.00	1.96	75.0	1.47
12/27/2017	***-**-0075 - MEHIT, LAURA ACCOUNT #: 07859297629	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	21.25	1.01	0.00	1.01	75.0	0.76
12/27/2017	***-**-02IN - MANCHESTER, ACCOUNT #: 08477443689	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	75.00	3.00	0.00	3.00	75.0	2.25
12/27/2017	***-**-4110 - KAVANAGH, ACCOUNT #: 02514874144	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
12/29/2017	***-**-3919 - CASTELLANO, ACCOUNT #: 06207028619	PIONEER INDEPENDENCE FUND A BUY 5 Units @19.3100	100.00	5.00	0.00	5.00	75.0	3.75



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
12/27/2017	***-**-0075 - MEHIT, LAURA	REAL ESTATE FUND A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 05900733095	BUY 0 Units @0.0000						
12/27/2017	***-**-52IN - METZLER, JANET	SELECT VALUE FUND A	37.50	1.78	0.00	1.78	75.0	1.34
	ACCOUNT #: 06000341495	BUY 0 Units @0.0000						
12/27/2017	***-**-52IN - METZLER, JANET	SMALL- & MID-CAP GROWTH FUND A	37.50	1.78	0.00	1.78	75.0	1.34
	ACCOUNT #: 07210302126	BUY 0 Units @0.0000						
12/27/2017	***-**-4110 - KAVANAGH,	SMALL- & MID-CAP GROWTH FUND A	37.50	1.78	0.00	1.78	75.0	1.34
	ACCOUNT #: 07210729069	BUY 0 Units @0.0000						
12/27/2017	***-**-02IN - MANCHESTER,	SMALL- & MID-CAP GROWTH FUND A	75.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 07210729080	BUY 0 Units @0.0000						
12/27/2017	***-**-0075 - MEHIT, LAURA	SMALL- & MID-CAP GROWTH FUND A	21.25	1.01	0.00	1.01	75.0	0.76
	ACCOUNT #: 07210834460	BUY 0 Units @0.0000						
12/29/2017	***-**-3590 - HEALY, THOMAS	VOYA LARGE CAP VALUE CLASS A	6,774.18	18.00	18.00	0.00	75.0	0.00
	ACCOUNT #: LSR011524	SELL 549 Units @12.3400						
12/29/2017	***-**-3590 - HEALY, THOMAS	VOYA MIDCAP OPPORTUNITIES CL A	7,519.17	18.00	18.00	0.00	75.0	0.00
	ACCOUNT #: LSR011524	SELL 336 Units @22.3500						
12/29/2017	***-**-3590 - HEALY, THOMAS	VOYA SMALL COMPANY CLASS A	7,637.85	18.00	18.00	0.00	75.0	0.00
	ACCOUNT #: LSR011524	SELL 536 Units @14.2400						
* MUTUAL FUNDS SUBTOTAL *			\$40,406.15	\$146.68	\$108.00	\$38.68		\$29.06
<u>PRODUCT CATEGORY: 529 PLAN</u>								
01/08/2018	***-**-7319 - ROTONDI, LAURA	NYA JPM 529 MOD AGE-BASED A	250.00	11.88	0.00	11.88	75.0	8.91
	ACCOUNT #: 77946247201	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$250.00	\$11.88	\$0.00	\$11.88		\$8.91
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/04/2018	***-**-2479 - HALE,	BLACKROCK TRAILS	0.00	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 49702509	BUY 0 Units @0.0000						
01/04/2018	***-**-2635 - HALE, JONATHAN	BLACKROCK TRAILS	0.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 49702517	BUY 0 Units @0.0000						
01/04/2018	***-**-4535 - RATHGABER,	BLACKROCK TRAILS	0.00	1.29	0.00	1.29	75.0	0.97
	ACCOUNT #: 36592400	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/04/2018	***-**-2479 - HALE, ACCOUNT #: 49702509	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	0.94	0.00	0.94	75.0	0.71
01/04/2018	***-**-2635 - HALE, JONATHAN ACCOUNT #: 49702517	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	1.58	0.00	1.58	75.0	1.19
01/04/2018	***-**-2479 - HALE, ACCOUNT #: 49702509	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	2.25	0.00	2.25	75.0	1.69
01/04/2018	***-**-2635 - HALE, JONATHAN ACCOUNT #: 49702517	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	2.77	0.00	2.77	75.0	2.08
01/04/2018	***-**-4535 - RATHGABER, ACCOUNT #: 36592400	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	3.38	0.00	3.38	75.0	2.54
01/04/2018	***-**-2479 - HALE, ACCOUNT #: 49702509	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	1.82	0.00	1.82	75.0	1.37
01/04/2018	***-**-2635 - HALE, JONATHAN ACCOUNT #: 49702517	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	2.25	0.00	2.25	75.0	1.69
01/04/2018	***-**-2479 - HALE, ACCOUNT #: 49702509	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	3.08	0.00	3.08	75.0	2.31
01/04/2018	***-**-2635 - HALE, JONATHAN ACCOUNT #: 49702517	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	3.81	0.00	3.81	75.0	2.86
01/04/2018	***-**-5281 - CALERARO, ACCOUNT #: 4950382	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	0.73	0.00	0.73	75.0	0.55
01/04/2018	***-**-2635 - HALE, JONATHAN ACCOUNT #: 49702517	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	1.10	0.00	1.10	75.0	0.83
01/04/2018	***-**-2479 - HALE, ACCOUNT #: 49702509	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	1.66	0.00	1.66	75.0	1.25
01/04/2018	***-**-2635 - HALE, JONATHAN ACCOUNT #: 49702517	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	2.03	0.00	2.03	75.0	1.52
01/04/2018	***-**-4535 - RATHGABER, ACCOUNT #: 36592400	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	1.03	0.00	1.03	75.0	0.77
01/04/2018	***-**-2479 - HALE, ACCOUNT #: 49702509	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	1.32	0.00	1.32	75.0	0.99
01/04/2018	***-**-2635 - HALE, JONATHAN ACCOUNT #: 49702517	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	1.62	0.00	1.62	75.0	1.22



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/04/2018	***-**-528I - CALERARO, ACCOUNT #: 4950382	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	0.28	0.00	0.28	75.0	0.21
01/04/2018	***-**-4535 - RATHGABER, ACCOUNT #: 36592400	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	1.19	0.00	1.19	75.0	0.89
01/04/2018	***-**-528I - CALERARO, ACCOUNT #: 4950382	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	0.81	0.00	0.81	75.0	0.61
01/04/2018	***-**-528I - CALERARO, ACCOUNT #: 4950382	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	0.23	0.00	0.23	75.0	0.17
01/04/2018	***-**-2479 - HALE, ACCOUNT #: 49702509	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	1.51	0.00	1.51	75.0	1.13
01/04/2018	***-**-2479 - HALE, ACCOUNT #: 49702509	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	1.87	0.00	1.87	75.0	1.40
01/04/2018	***-**-528I - CALERARO, ACCOUNT #: 4950382	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	0.52	0.00	0.52	75.0	0.39
01/04/2018	***-**-2635 - HALE, JONATHAN ACCOUNT #: 49702517	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	1.11	0.00	1.11	75.0	0.83
01/04/2018	***-**-4535 - RATHGABER, ACCOUNT #: 36592400	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	0.68	0.00	0.68	75.0	0.51
01/04/2018	***-**-2635 - HALE, JONATHAN ACCOUNT #: 49702517	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	1.29	0.00	1.29	75.0	0.97
01/04/2018	***-**-5398 - PECAN, JEFFREY ACCOUNT #: 36784882	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	9.84	0.00	9.84	75.0	7.38
01/04/2018	***-**-7574 - CRUIOKSHANK, ACCOUNT #: 44400034	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	10.58	0.00	10.58	75.0	7.94
01/02/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PIMCO EMERG MKTS BOND NFS BUY 1 Units @0.0000	0.00	7.08	0.00	7.08	75.0	5.31
01/02/2018	***-**-033I - KATZENBERGER, ACCOUNT #: LSR011507	PIMCO EMERG MKTS BOND NFS BUY 1 Units @0.0000	0.00	1.86	0.00	1.86	75.0	1.40
01/02/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PIMCO HIGH YIELD CLASS A BUY 1 Units @0.0000	0.00	6.64	0.00	6.64	75.0	4.98
01/02/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PIMCO LONG TERM US GOV'T BUY 1 Units @0.0000	0.00	6.92	0.00	6.92	75.0	5.19



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/02/2018	***-**-70IN - CUTILLO, JAMES	PIMCO REAL ESTATE REAL	0.00	1.92	0.00	1.92	75.0	1.44
	ACCOUNT #: LSR011647	BUY 1 Units @0.0000						
01/02/2018	***-**-6710 - EVA JAYNE	PIMCO STOCKSPUS SMALL FUND A	0.00	6.65	0.00	6.65	75.0	4.99
	ACCOUNT #: LSR011403	BUY 1 Units @0.0000						
01/02/2018	***-**-3590 - HEALY, THOMAS	PIMCO STOCKSPUS SMALL FUND A	0.00	6.93	0.00	6.93	75.0	5.20
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
01/03/2018	***-**-7560 - ELLEN, BEVERLY	PIONEER BOND FUND A	0.00	2.64	0.00	2.64	75.0	1.98
	ACCOUNT #: 04030008153	BUY 0 Units @0.0000						
01/03/2018	***-**-7753 - WERNER,	PIONEER CULLEN VALUE FUND CLASS A	0.00	9.22	0.00	9.22	75.0	6.92
	ACCOUNT #: 06205928678	BUY 0 Units @0.0000						
01/03/2018	***-**-7839 - MOONEY,	PIONEER EMERGING MARKETS FUND A	0.00	0.39	0.00	0.39	75.0	0.29
	ACCOUNT #: 06204817513	BUY 0 Units @0.0000						
01/03/2018	***-**-3904 - DENNIS, CONNOR	PIONEER EMERGING MARKETS FUND A	0.00	0.37	0.00	0.37	75.0	0.28
	ACCOUNT #: 07650017731	BUY 0 Units @0.0000						
01/03/2018	***-**-7998 - ROSENTHAL, BARRY	PIONEER EQUITY INCOME FUND A	0.00	34.50	0.00	34.50	75.0	25.88
	ACCOUNT #: 04030000846	BUY 0 Units @0.0000						
01/03/2018	***-**-2633 - CRONIN, BARBARA	PIONEER FUND A	0.00	15.91	0.00	15.91	75.0	11.93
	ACCOUNT #: 04030001891	BUY 0 Units @0.0000						
01/03/2018	***-**-7839 - MOONEY,	PIONEER FUND A	0.00	3.35	0.00	3.35	75.0	2.51
	ACCOUNT #: 06204817513	BUY 0 Units @0.0000						
01/03/2018	***-**-30IR - BARBAGALLO,	PIONEER FUND A	0.00	39.75	0.00	39.75	75.0	29.81
	ACCOUNT #: 06206550010	BUY 0 Units @0.0000						
01/03/2018	***-**-7998 - ROSENTHAL, BARRY	PIONEER GLOBAL EQUITY FUND A	0.00	26.02	0.00	26.02	75.0	19.52
	ACCOUNT #: 04030000846	BUY 0 Units @0.0000						
01/03/2018	***-**-2633 - CRONIN, BARBARA	PIONEER GLOBAL EQUITY FUND A	0.00	0.42	0.00	0.42	75.0	0.32
	ACCOUNT #: 04030001891	BUY 0 Units @0.0000						
01/03/2018	***-**-4303 - OSBORNE,	PIONEER GLOBAL EQUITY FUND A	0.00	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 04030001892	BUY 0 Units @0.0000						
01/03/2018	***-**-7839 - MOONEY,	PIONEER GLOBAL EQUITY FUND A	0.00	1.57	0.00	1.57	75.0	1.18
	ACCOUNT #: 06204817513	BUY 0 Units @0.0000						
01/03/2018	***-**-7839 - MOONEY,	PIONEER GLOBAL EQUITY FUND A	0.00	0.73	0.00	0.73	75.0	0.55
	ACCOUNT #: 06205225954	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/03/2018	***-**-4303 - OSBORNE, ACCOUNT #: 06205943875	PIONEER GLOBAL EQUITY FUND A BUY 0 Units @0.0000	0.00	2.17	0.00	2.17	75.0	1.63
01/03/2018	***-**-3904 - DENNIS, CONNOR ACCOUNT #: 07650017731	PIONEER GLOBAL EQUITY FUND A BUY 0 Units @0.0000	0.00	1.70	0.00	1.70	75.0	1.28
01/03/2018	***-**-7560 - ELLEN, BEVERLY ACCOUNT #: 04030008153	PIONEER GLOBAL HIGH YIELD FUND CLAS BUY 0 Units @0.0000	0.00	1.24	0.00	1.24	75.0	0.93
01/03/2018	***-**-7998 - ROSENTHAL, BARRY ACCOUNT #: 04030000846	PIONEER GROWTH OPPORTUNITIES FUND A BUY 0 Units @0.0000	0.00	1.69	0.00	1.69	75.0	1.27
01/03/2018	***-**-4535 - RATHGABER, ACCOUNT #: 04030002996	PIONEER GROWTH OPPORTUNITIES FUND A BUY 0 Units @0.0000	0.00	2.32	0.00	2.32	75.0	1.74
01/03/2018	***-**-7560 - ELLEN, BEVERLY ACCOUNT #: 04030008153	PIONEER GROWTH OPPORTUNITIES FUND A BUY 0 Units @0.0000	0.00	7.92	0.00	7.92	75.0	5.94
01/03/2018	***-**-2773 - DASARO, ANN ACCOUNT #: 04030008314	PIONEER GROWTH OPPORTUNITIES FUND A BUY 0 Units @0.0000	0.00	3.39	0.00	3.39	75.0	2.54
01/03/2018	***-**-7839 - MOONEY, ACCOUNT #: 06204817513	PIONEER GROWTH OPPORTUNITIES FUND A BUY 0 Units @0.0000	0.00	1.28	0.00	1.28	75.0	0.96
01/03/2018	***-**-30IR - BARBAGALLO, ACCOUNT #: 06206550010	PIONEER GROWTH OPPORTUNITIES FUND A BUY 0 Units @0.0000	0.00	45.42	0.00	45.42	75.0	34.07
01/03/2018	***-**-3919 - CASTELLANO, ACCOUNT #: 06207028619	PIONEER GROWTH OPPORTUNITIES FUND A BUY 0 Units @0.0000	0.00	3.87	0.00	3.87	75.0	2.90
01/03/2018	***-**-3904 - DENNIS, CONNOR ACCOUNT #: 07650017731	PIONEER GROWTH OPPORTUNITIES FUND A BUY 0 Units @0.0000	0.00	1.79	0.00	1.79	75.0	1.34
01/03/2018	***-**-7998 - ROSENTHAL, BARRY ACCOUNT #: 04030000846	PIONEER INDEPENDENCE FUND A BUY 0 Units @0.0000	0.00	27.40	0.00	27.40	75.0	20.55
01/03/2018	***-**-2633 - CRONIN, BARBARA ACCOUNT #: 04030001891	PIONEER INDEPENDENCE FUND A BUY 0 Units @0.0000	0.00	0.33	0.00	0.33	75.0	0.25
01/03/2018	***-**-4303 - OSBORNE, ACCOUNT #: 04030001892	PIONEER INDEPENDENCE FUND A BUY 0 Units @0.0000	0.00	0.70	0.00	0.70	75.0	0.53
01/03/2018	***-**-4535 - RATHGABER, ACCOUNT #: 04030002996	PIONEER INDEPENDENCE FUND A BUY 0 Units @0.0000	0.00	3.80	0.00	3.80	75.0	2.85
01/03/2018	***-**-2773 - DASARO, ANN ACCOUNT #: 04030008314	PIONEER INDEPENDENCE FUND A BUY 0 Units @0.0000	0.00	2.73	0.00	2.73	75.0	2.05



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/03/2018	***-**-3919 - CASTELLANO, ACCOUNT #: 06207028619	PIONEER INDEPENDENCE FUND A BUY 0 Units @0.0000	0.00	18.15	0.00	18.15	75.0	13.61
01/03/2018	***-**-4303 - OSBORNE, ACCOUNT #: 04030001892	PIONEER MID CAP VALUE FUND A BUY 0 Units @0.0000	0.00	0.58	0.00	0.58	75.0	0.44
01/03/2018	***-**-2773 - DASARO, ANN ACCOUNT #: 04030008314	PIONEER MID CAP VALUE FUND A BUY 0 Units @0.0000	0.00	4.08	0.00	4.08	75.0	3.06
01/03/2018	***-**-30IR - BARBAGALLO, ACCOUNT #: 06206033026	PIONEER MID CAP VALUE FUND A BUY 0 Units @0.0000	0.00	33.74	0.00	33.74	75.0	25.31
01/03/2018	***-**-3904 - DENNIS, CONNOR ACCOUNT #: 07650017731	PIONEER MID CAP VALUE FUND A BUY 0 Units @0.0000	0.00	2.69	0.00	2.69	75.0	2.02
01/03/2018	***-**-4535 - RATHGABER, ACCOUNT #: 04030002996	PIONEER REAL ESTATE SHARES A BUY 0 Units @0.0000	0.00	0.86	0.00	0.86	75.0	0.65
01/03/2018	***-**-7839 - MOONEY, ACCOUNT #: 06204817513	PIONEER REAL ESTATE SHARES A BUY 0 Units @0.0000	0.00	2.44	0.00	2.44	75.0	1.83
01/03/2018	***-**-3904 - DENNIS, CONNOR ACCOUNT #: 07650017731	PIONEER REAL ESTATE SHARES A BUY 0 Units @0.0000	0.00	2.04	0.00	2.04	75.0	1.53
01/03/2018	***-**-7560 - ELLEN, BEVERLY ACCOUNT #: 04030008153	PIONEER STRATEGIC INCOME FUND A BUY 0 Units @0.0000	0.00	3.66	0.00	3.66	75.0	2.75
01/03/2018	***-**-3904 - DENNIS, CONNOR ACCOUNT #: 06205051169	PIONEER STRATEGIC INCOME FUND A BUY 0 Units @0.0000	0.00	0.77	0.00	0.77	75.0	0.58
01/02/2018	***-**-70IR - CUTILLO, JAMES ACCOUNT #: LSR011648	SARATOGA TECHNOLOGY & COMM.CL A-NFS BUY 1 Units @0.0000	0.00	0.70	0.00	0.70	75.0	0.53
01/08/2018	***-**-255I - GRAHAM, DEBORAH ACCOUNT #: LSR011397	SUNAMERICA FOCUSED DIVIDEND STRAT C BUY 1 Units @0.0000	0.00	3.59	0.00	3.59	75.0	2.69
01/08/2018	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	SUNAMERICA FOCUSED DIVIDEND STRAT C BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	75.0	0.26
01/05/2018	***-**-3904 - DENNIS, CONNOR	VOYA TRAILS BUY 0 Units @0.0000	0.00	0.33	0.00	0.33	75.0	0.25
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$417.45	\$0.00	\$417.45		\$313.20



COMMISSION STATEMENT

January 08, 2018

DENNIS CONNOR
9 Woodland Rd.
Miller Place, NY 11764

BROKER #: A87
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/28/2017	***--4303 - OSBORNE, ACCOUNT #: 0200923820-01	SUN LIFE CUSIP 493313506-NFS BUY 0 Units @0.0000	0.00	4.94	0.00	4.94	75.0	3.71
12/28/2017	***--7063 - JOHNSON, CARTEZ ACCOUNT #: 0200793633-01	SUN LIFE CUSIP 493313704-NFS BUY 0 Units @0.0000	0.00	138.26	0.00	138.26	75.0	103.70
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$143.20	\$0.00	\$143.20		\$107.41
*** BROKER TRANSACTIONS TOTAL ***			\$40,656.15	\$719.21	\$108.00	\$611.21		\$458.58

BROKER COMMISSION TOTALS

Broker Transactions	\$458.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$458.58
Year-to-date Earnings	\$733.70