



Concorde Investment Services, LLC
COMMISSION STATEMENT
September 30, 2017

09/28/2017
Page No. 1

K146-JASON KEMBLE
4106 E. Doe Creek Drive

Columbia, MO 65202-

BROKER #: K146
BRANCH: JEFFREY KAUFMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
09/01/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	0.00	0.01	0.00	0.01	90.0	0.01
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$0.02	\$0.00	\$0.02		\$0.02
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/11/2017	GATTORNA, BENE OF DOMIN,	PAC LIFE PACIFIC CHOICE	0.00	0.68	0.00	0.68	90.0	0.61
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.68	\$0.00	\$0.68		\$0.61
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$0.70	\$0.00	\$0.70		\$0.63

SPLIT TRANSACTIONS

<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
09/01/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	0.00	0.03	0.00	0.03	90.0	0.03
09/19/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	1,041.67	19.92	0.00	19.92	90.0	17.93
09/19/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	33.84	0.65	0.00	0.65	90.0	0.59
09/18/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	60.86	0.27	0.00	0.27	90.0	0.24
09/18/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	60.86	0.27	0.00	0.27	90.0	0.24
09/01/2017	CLARK-LAIRD, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	0.97	0.00	0.97	90.0	0.87
09/01/2017	CARTER, STANLEY	AM FUNDS AMERICAN BALANCED A	0.00	0.59	0.00	0.59	90.0	0.53
09/18/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	25.37	0.11	0.00	0.11	90.0	0.10
09/18/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	25.37	0.11	0.00	0.11	90.0	0.10
09/01/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
09/01/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.00	0.00	0.00	90.0	0.00
09/01/2017	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.00	0.00	0.00	90.0	0.00
09/01/2017	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.00	0.00	0.00	90.0	0.00
09/01/2017	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.00	0.00	0.00	90.0	0.00
09/15/2017	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
09/15/2017	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
09/18/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.10	0.00	0.10	90.0	0.09
09/18/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.08	0.00	0.08	90.0	0.07
09/18/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
09/18/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	7.74	0.04	0.00	0.04	90.0	0.04
09/18/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	48.79	0.22	0.00	0.22	90.0	0.20
09/18/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	48.79	0.22	0.00	0.22	90.0	0.20
09/18/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.05	0.00	0.05	90.0	0.05
09/18/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.05	0.00	0.05	90.0	0.05
09/18/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.14	0.00	0.14	90.0	0.13
09/18/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.14	0.00	0.14	90.0	0.13
09/19/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	833.34	15.94	0.00	15.94	90.0	14.35
09/19/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	136.61	2.61	0.00	2.61	90.0	2.35
09/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.13	0.00	1.13	90.0	1.02
09/18/2017	ZELLMER, RIANNON	AM FUNDS ICA A	9.45	0.04	0.00	0.04	90.0	0.04
09/18/2017	ZELLMER, RIANNON	AM FUNDS ICA A	9.45	0.04	0.00	0.04	90.0	0.04
09/18/2017	WAHLIG, KACEY	AM FUNDS ICA A	25.37	0.11	0.00	0.11	90.0	0.10
09/18/2017	WAHLIG, KACEY	AM FUNDS ICA A	25.37	0.11	0.00	0.11	90.0	0.10
09/18/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.09	0.00	0.09	90.0	0.08
09/18/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.09	0.00	0.09	90.0	0.08
09/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	1.13	0.00	1.13	90.0	1.02
09/01/2017	CLARK-LAIRD, BARBARA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.32	0.00	0.32	90.0	0.29
09/01/2017	THOMPSON, TERRY	AM FUNDS NEW ECONOMY A	0.00	0.00	0.00	0.00	90.0	0.00
09/18/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	1,000.00	4.50	0.00	4.50	90.0	4.05
09/18/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.55	0.00	0.55	90.0	0.50
09/01/2017	THOMPSON, TERRY	AM FUNDS NEW WORLD A	0.00	0.00	0.00	0.00	90.0	0.00
09/18/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.10	0.00	0.10	90.0	0.09
09/18/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.08	0.00	0.08	90.0	0.07
09/01/2017	THOMPSON, TERRY	AM FUNDS SMALLCAP WORLD A	0.00	0.00	0.00	0.00	90.0	0.00
09/01/2017	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	0.00	0.00	0.00	0.00	90.0	0.00
09/15/2017	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	1.13	0.00	1.13	90.0	1.02
09/18/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.09	0.00	0.09	90.0	0.08
09/18/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.09	0.00	0.09	90.0	0.08

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
09/18/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.14	0.00	0.14	90.0	0.13
09/18/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.14	0.00	0.14	90.0	0.13
09/01/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.01	0.00	0.01	90.0	0.01
09/19/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.15	0.65	0.00	0.65	90.0	0.59
09/19/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	208.33	3.98	0.00	3.98	90.0	3.58
09/05/2017	MCNERNEY, DAVID	PAC LIFE VUL	1,000.00	9.00	0.00	9.00	90.0	8.10
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$5,414.92	\$70.61	\$0.00	\$70.61		\$63.63
<u>BROKER: JASON KEMBLE #394</u>								
09/11/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.00	0.00	3.00	90.0	2.70
09/11/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	3.00	0.00	3.00	90.0	2.70
* JASON KEMBLE SUBTOTAL *			\$200.00	\$6.00	\$0.00	\$6.00		\$5.40
*** SPLIT TRANSACTIONS TOTAL ***			\$5,614.92	\$76.61	\$0.00	\$76.61		\$69.03

BROKER COMMISSION TOTALS

Broker Transactions	\$0.63
Split Transactions	\$69.03
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$69.66
Year-to-date Gross	\$40,751.31
Year-to-date Earnings	\$36,676.85

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