



COMMISSION STATEMENT

July 02, 2018

ALAN FISHMAN
3 Buckley Place
Hartsdale, NY 10530

BROKER #: 851
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/29/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.50	0.00	0.50	75.0	0.38
06/29/2018	***-**-067J - SOMMO, WILLIAM	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.16	0.00	0.16	75.0	0.12
06/29/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS BUY 0 Units @0.0000	0.00	1.35	0.00	1.35	75.0	1.01
06/29/2018	***-**-955P - VICARI,	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.63	0.00	0.63	75.0	0.47
06/29/2018	***-**-9623 - SELKIN, ARTHUR	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.79	0.00	0.79	75.0	0.59
06/29/2018	***-**-690I - LEIFER, JONATHAN	VICTORY TRAILS BUY 0 Units @0.0000	0.00	70.17	0.00	70.17	75.0	52.63
06/29/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS BUY 0 Units @0.0000	0.00	1.39	0.00	1.39	75.0	1.04
06/29/2018	***-**-067J - SOMMO, WILLIAM	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.02	0.00	0.02	75.0	0.02
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$75.01	\$0.00	\$75.01		\$56.26

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

06/29/2018	***-**-430I - BLUMEN, ROBERT	ALLIANZ 018817155 ACCOUNT #: DAX25472 BUY 0 Units @0.0000	0.00	357.91	0.00	357.91	75.0	268.43
06/29/2018	***-**-430I - BLUMEN, ROBERT	ALLIANZ 018817155 ACCOUNT #: DAX25507 BUY 0 Units @0.0000	0.00	479.50	0.00	479.50	75.0	359.63
06/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817502 ACCOUNT #: DA737088 BUY 0 Units @0.0000	0.00	16.97	0.00	16.97	75.0	12.73
06/29/2018	***-**-3921 - BRADY, MICHAEL	ALLIANZ TRAILS 018817866 ACCOUNT #: DA309184 BUY 0 Units @0.0000	0.00	144.15	0.00	144.15	75.0	108.11
06/29/2018	***-**-3921 - BRADY, MICHAEL	ALLIANZ TRAILS 018817866 ACCOUNT #: DA310294 BUY 0 Units @0.0000	0.00	354.85	0.00	354.85	75.0	266.14
06/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866 ACCOUNT #: DA929914 BUY 0 Units @0.0000	0.00	89.59	0.00	89.59	75.0	67.19
06/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866 ACCOUNT #: DA930883 BUY 0 Units @0.0000	0.00	163.79	0.00	163.79	75.0	122.84



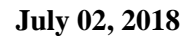
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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
06/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	180.84	0.00	180.84	75.0	135.63
	ACCOUNT #: DA930884	BUY 0 Units @0.0000						
06/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	753.53	0.00	753.53	75.0	565.15
	ACCOUNT #: DA937680	BUY 0 Units @0.0000						
06/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	37.98	0.00	37.98	75.0	28.49
	ACCOUNT #: DA941620	BUY 0 Units @0.0000						
06/29/2018	***-**-535P - KOZLOWSKI,	ALLIANZ TRAILS 018817882	0.00	104.19	0.00	104.19	75.0	78.14
	ACCOUNT #: DA370020	BUY 0 Units @0.0000						
06/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	86.55	0.00	86.55	75.0	64.91
	ACCOUNT #: DA370747	BUY 0 Units @0.0000						
06/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	39.79	0.00	39.79	75.0	29.84
	ACCOUNT #: DA371348	BUY 0 Units @0.0000						
06/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	47.08	0.00	47.08	75.0	35.31
	ACCOUNT #: DA371349	BUY 0 Units @0.0000						
06/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	51.90	0.00	51.90	75.0	38.93
	ACCOUNT #: DA371350	BUY 0 Units @0.0000						
06/29/2018	***-**-195P - BLUMEN, IAN	ALLIANZ TRAILS 018817882	0.00	120.61	0.00	120.61	75.0	90.46
	ACCOUNT #: DA371477	BUY 0 Units @0.0000						
06/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	44.02	0.00	44.02	75.0	33.02
	ACCOUNT #: DA371478	BUY 0 Units @0.0000						
06/29/2018	***-**-2171 - LIEPOLD, GERHARD	ALLIANZ TRAILS 018817882	0.00	132.85	0.00	132.85	75.0	99.64
	ACCOUNT #: DA372127	BUY 0 Units @0.0000						
06/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	34.35	0.00	34.35	75.0	25.76
	ACCOUNT #: DA372611	BUY 0 Units @0.0000						
06/29/2018	***-**-2171 - LIEPOLD, GERHARD	ALLIANZ TRAILS 018817882	0.00	98.37	0.00	98.37	75.0	73.78
	ACCOUNT #: DA372612	BUY 0 Units @0.0000						
07/02/2018	***-**-6538 - KOZLOWSKI, MARIA	QUALIFIED PLAN SUMMARY	0.00	183.33	0.00	183.33	75.0	137.50
	ACCOUNT #: DA370021	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,522.15	\$0.00	\$3,522.15		\$2,641.63
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$3,597.16	\$0.00	\$3,597.16		\$2,697.89



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BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$2,697.89
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,697.89
						Year-to-date Earnings		\$14,958.81