



# COMMISSION STATEMENT

## February 12, 2018

BERT GOLDBERG  
1048 W. Myrna Lane  
Tempe, AZ 85284

BROKER #: 698  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/02/2018	***-**-0400 - SPORRER, JERALD	AMCAP FUND-A	1,999.99	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR105287	SELL 60 Units @33.2600						
02/07/2018	***-**-703I - LEGROS, DAVID	LIFESTYLE MODERATE PORTFOLIO A	35,405.70	18.00	18.00	0.00	70.0	0.00
	ACCOUNT #: LSR011660	SELL 2575 Units @13.7500						
02/02/2018	***-**-184I - SPORRER, SHIRLEY	THE INCOME FUND OF AMERICA-A	4,000.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR105252	SELL 169 Units @23.6800						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$41,405.69</b>	<b>\$18.00</b>	<b>\$54.00</b>	<b>\$-36.00</b>		<b>\$-36.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/06/2018	***-**-33IO - AUDY, JAMES	NATIONWIDE CUSIP 63866W340-NSCC	311,204.00	10,892.14	0.00	10,892.14	70.0	7,624.50
	ACCOUNT #: 031318686	BUY 0 Units @0.0000						
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$311,204.00</b>	<b>\$10,892.14</b>	<b>\$0.00</b>	<b>\$10,892.14</b>		<b>\$7,624.50</b>
<b><u>PRODUCT CATEGORY: LIFE INSURANCE</u></b>								
02/08/2018	***-**-60IN - HUNGERFORD,	NATIONWIDE YOURLIFE PROTECTION VUL	150.00	1.50	0.00	1.50	70.0	1.05
	ACCOUNT #: N550070100	BUY 1 Units @150.0000						
02/08/2018	***-**-60IN - HUNGERFORD,	NATIONWIDE YOURLIFE PROTECTION VUL	150.00	1.50	0.00	1.50	70.0	1.05
	ACCOUNT #: N550070100	BUY 1 Units @150.0000						
		<b>* LIFE INSURANCE SUBTOTAL *</b>	<b>\$300.00</b>	<b>\$3.00</b>	<b>\$0.00</b>	<b>\$3.00</b>		<b>\$2.10</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
02/12/2018	***-**-0737 - SCHROEDER, JACK	DELAWARE EXTENDED DURATION BOND FUN	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
02/12/2018	***-**-5594 - BISHOP, SHANNON	MASS INVESTORS GROWTH STOCK FUND-A	0.00	1.08	0.00	1.08	70.0	0.76
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
02/12/2018	***-**-0737 - SCHROEDER, JACK	MFS DIVERSIFIED INCOME FD CL A	0.00	12.11	0.00	12.11	70.0	8.48
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
02/12/2018	***-**-514I - BERGIN, JOSEPH	MFS EMERGING MARKETS DEBT FUND-A	0.00	0.82	0.00	0.82	70.0	0.57
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
02/12/2018	***-**-0737 - SCHROEDER, JACK	MFS EMERGING MARKETS DEBT FUND-A	0.00	3.36	0.00	3.36	70.0	2.35
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
02/12/2018	***-**-5712 - RAMSEY, GUSSIE	MFS EMERGING MARKETS DEBT FUND-A	0.00	1.36	0.00	1.36	70.0	0.95
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						



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		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$18.76	\$0.00	\$18.76		\$13.13
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
02/12/2018	***-**-0235 - RKS, PLUMBING & ACCOUNT #: 26983	JOHN HANCOCK VA TRAILS BUY 1 Units @0.0000	0.00	816.53	0.00	816.53	70.0	571.57
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$816.53	\$0.00	\$816.53		\$571.57
		*** BROKER TRANSACTIONS TOTAL ***	\$352,909.69	\$11,748.43	\$54.00	\$11,694.43		\$8,175.30

## BROKER COMMISSION TOTALS

Broker Transactions	\$8,175.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$8,175.30
Year-to-date Earnings	\$8,175.30