



All Companies

06/28/2018

Page No. 1

COMMISSION STATEMENT

July 02, 2018

DAVID SCOTT RAPOPORT
5546 Rainbow Circle

BROKER #: 9076
BRANCH: HOME OFFICE

Santa Rosa, CA 95409

COMMISSION STATEMENT for DAVID SCOTT RAPOPORT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/11/2018	000000000041792	RODE, PETER	MUTUAL INTERNATIONAL CL A	B	72.00	2.70	0.00	2.70	65.0	1.76
06/11/2018	0000000000812545	RODE, PETER	FRANKLIN MUTUAL FINCL SERVICES FD C B		72.00	2.70	0.00	2.70	65.0	1.76
06/11/2018	00000000008801843	RODE, PETER	MUTUAL DISC FND - CL A	B	72.00	2.70	0.00	2.70	65.0	1.76
06/15/2018	000080193000975	PISENTI, SARAH	FRANKLIN DYNATECH FD CL I	B	300.00	15.00	0.00	15.00	65.0	9.75
06/15/2018	00086994400	SHELDON, EMMETT/CHRISTIN	AMERICAN FUNDS SMALL CAP WORLD FD	B	250.00	6.88	0.00	6.88	65.0	4.47
06/15/2018	00088458875	UNTIL AGE 18, CA/UTMA	AMERICAN FUNDS SMALL CAP WORLD FD	B	100.00	2.75	0.00	2.75	65.0	1.79
06/08/2018	7006770522	WEIN, TAMRAH G	OPPENHEIMER MAIN STREET INCOME & GR B		550.00	11.00	0.00	11.00	65.0	7.15
06/08/2018	8473403367	GOLDSTEIN, FRANNE C	MAIN STREET SMALL CAP FUND A	B	100.00	4.75	0.00	4.75	65.0	3.09
06/08/2018	8475633672	WEIN, TAMRAH	MAIN STREET SMALL CAP FUND A	B	450.00	9.00	0.00	9.00	65.0	5.85
* MUTUAL FUNDS SUBTOTAL *					<u>\$1,966.00</u>	<u>\$57.48</u>	<u>\$0.00</u>	<u>\$57.48</u>		<u>\$37.38</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/02/2018 TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES	0.00	16.58	0.00	16.58	65.0	10.77
07/02/2018 TRAIL COMMISSIONS	THORNBURG FUNDS FEES	0.00	65.31	0.00	65.31	65.0	42.45
07/02/2018 TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES	0.00	45.38	0.00	45.38	65.0	29.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$127.27	\$0.00	\$127.27		\$82.72
*** BROKER TRANSACTIONS TOTAL ***		\$1,966.00	\$184.75	\$0.00	\$184.75		\$120.10

ADJUSTMENTS

07/02/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-27.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$120.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$92.60
Year-to-date Earnings	\$5,772.73