

**COMMISSION STATEMENT****October 15, 2017**DAVID KOTZ
601 Mine Brook RdBROKER #: K135 Terminated 05/04/2017
BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/12/2017	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.52	0.00	1.52	0.0	0.00
09/12/2017	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	7.07	0.00	7.07	0.0	0.00
09/12/2017	KREISWIRTH, SUZANNE	SUNAMERICA US GOVT SECURITIES CL C	0.00	9.31	0.00	9.31	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$17.90	\$0.00	\$17.90		\$0.00
<u>PRODUCT CATEGORY: BONDS</u>								
09/07/2017	LOMONACO, ANDREA	***ROYAL BANK OF CANADA	8,407.00	28.00	28.00	0.00	0.0	0.00
* BONDS SUBTOTAL *			\$8,407.00	\$28.00	\$28.00	\$0.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$8,407.00	\$45.90	\$28.00	\$17.90		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$5,574.23
Year-to-date Earnings	\$3,904.27

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed