



COMMISSION STATEMENT

June 11, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/29/2018	***-**-87IN - HALL, J	AMCAP FUND-A	119.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00084955124	BUY 3 Units @34.8800						
05/29/2018	***-**-87IN - HALL, J	CAPITAL WORLD GROWTH AND INCOME FUN	119.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00084955124	BUY 2 Units @53.2200						
05/29/2018	***-**-87IN - HALL, J	FUNDAMENTAL INVESTORS-A	119.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00084955124	BUY 2 Units @64.6500						
05/29/2018	***-**-87IN - HALL, J	WASHINGTON MUTUAL INVESTORS FUND-A	119.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00084955124	BUY 3 Units @47.3000						
		* MUTUAL FUNDS SUBTOTAL *	\$476.00	\$13.08	\$0.00	\$13.08		\$11.76
<u>PRODUCT CATEGORY: STOCKS</u>								
06/05/2018	***-**-351I - SOWERS, FBO	HIGHCOM GLOBAL SEC INC COM	660.00	8.99	8.99	0.00	90.0	0.00
	ACCOUNT #: LSF000278	SELL 60000 Units @0.0110						
		* STOCKS SUBTOTAL *	\$660.00	\$8.99	\$8.99	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/11/2018	***-**-93IN - WARD, WILLIAM	SAMMONS MF TRAILS	0.00	21.39	0.00	21.39	90.0	19.25
	ACCOUNT #: 7200013199	BUY 0 Units @0.0000						
06/11/2018	***-**-36IN - CLAWSON,	SAMMONS MF TRAILS	0.00	5.73	0.00	5.73	90.0	5.16
	ACCOUNT #: 7200017170	BUY 0 Units @0.0000						
06/11/2018	***-**-9117 - RAY, EILEEN	SAMMONS MF TRAILS	0.00	4.93	0.00	4.93	90.0	4.44
	ACCOUNT #: 7200037826	BUY 0 Units @0.0000						
06/11/2018	***-**-3994 - RAY, CLYDE	SAMMONS MF TRAILS	0.00	5.04	0.00	5.04	90.0	4.54
	ACCOUNT #: 7200037829	BUY 0 Units @0.0000						
06/11/2018	***-**-217I - VITALE, ESTHER	SAMMONS MF TRAILS	0.00	7.19	0.00	7.19	90.0	6.47
	ACCOUNT #: 7200011021	BUY 0 Units @0.0000						
06/11/2018	***-**-339I - COBIAN, NANCY	SAMMONS MF TRAILS	0.00	17.61	0.00	17.61	90.0	15.85
	ACCOUNT #: 7200019226	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$61.89	\$0.00	\$61.89		\$55.71



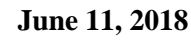
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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
05/31/2018	***-**-9350 - LOWE, JAMES	GENWORTH 37250G277	0.00	198.75	0.00	198.75	90.0	178.88
	ACCOUNT #: T06438138	BUY 0 Units @0.0000						
05/31/2018	***-**-395I - PIERSON, GEORGE	GENWORTH 37250G277	0.00	56.91	0.00	56.91	90.0	51.22
	ACCOUNT #: T06441035	BUY 0 Units @0.0000						
05/31/2018	***-**-43FB - TORONTALI,	GENWORTH 37250G277	0.00	2.65	0.00	2.65	90.0	2.39
	ACCOUNT #: T06441263	BUY 0 Units @0.0000						
05/31/2018	***-**-9350 - LOWE, JAMES	GENWORTH 37250G277	0.00	84.57	0.00	84.57	90.0	76.11
	ACCOUNT #: T06441278	BUY 0 Units @0.0000						
06/01/2018	***-**-4155 - OUELLETTE, JOYCE	GENWORTH 37250G277	0.00	38.98	0.00	38.98	90.0	35.08
	ACCOUNT #: T06422061	BUY 0 Units @0.0000						
06/03/2018	***-**-454I - STRONACH,	ING ADVANTAGE SELECT	0.00	9.75	0.00	9.75	90.0	8.78
	ACCOUNT #: R078802-ZS	BUY 0 Units @0.0000						
05/31/2018	***-**-32RI - MITCHEN, DORIS	JACKSON ELITE ACCESS OPTION A	0.00	31.14	0.00	31.14	90.0	28.03
	ACCOUNT #: 1015309900	BUY 0 Units @0.0000						
05/31/2018	***-**-94NQ - REGULSKI, SUSAN	JACKSON ELITE ACCESS OPTION A	0.00	6.51	0.00	6.51	90.0	5.86
	ACCOUNT #: 1015441751	BUY 0 Units @0.0000						
05/31/2018	***-**-36IN - CLAWSON,	JACKSON ELITE ACCESS OPTION A	0.00	5.46	0.00	5.46	90.0	4.91
	ACCOUNT #: 1016486066	BUY 0 Units @0.0000						
05/31/2018	***-**-559I - HUBBARD, JAMES	JACKSON NATIONAL PERSPECTIVE II	0.00	32.62	0.00	32.62	90.0	29.36
	ACCOUNT #: 1013454350	BUY 0 Units @0.0000						
05/31/2018	***-**-21IN - KELLER, TERESA	JOHN HANCOCK 41014C168	0.00	7.06	0.00	7.06	90.0	6.35
	ACCOUNT #: 2110977	BUY 0 Units @0.0000						
06/11/2018	***-**-2960 - KELLEY, BRENDA	MIDLAND LIVEWELL VA	0.00	38.59	0.00	38.59	90.0	34.73
	ACCOUNT #: 7100008985	BUY 1 Units @0.0000						
06/11/2018	***-**-3199 - MAY, DAVID	OHIO NATIONAL TRAILS	0.00	161.24	0.00	161.24	90.0	145.12
		BUY 1 Units @0.0000						
05/31/2018	***-**-3199 - MAY, DAVID	PERSPECTIVE II DTCC	0.00	40.06	0.00	40.06	90.0	36.05
	ACCOUNT #: 1019236311	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$714.29	\$0.00	\$714.29		\$642.87
*** BROKER TRANSACTIONS TOTAL ***			\$1,136.00	\$798.25	\$8.99	\$789.26		\$710.34



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$710.34
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$710.34
						Year-to-date Earnings		\$24,874.52