



Concorde Investment Services, LLC

02/27/2018

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COMMISSION STATEMENT**February 28, 2018**DAVID MIKE, B132 BREEDLOVE
1024 Pruitt PlaceBROKER #: B132
BRANCH: DAVID MICHAEL BREEDL

Tyler, TX 75703

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/15/2018	HENDERSON, SUE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	90.0	1.69	0.00	1.69
		* MUTUAL FUNDS SUBTOTAL *	\$50.00	\$1.88		\$1.69	\$0.00	\$1.69
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/10/2016	SMITH, BETTY	AC ALTERNATIVES MARKET NEUTRAL	0.00	0.37	90.0	0.33	0.00	0.33
02/08/2016	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.03	90.0	1.83	0.00	1.83
02/08/2016	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.77	90.0	0.69	0.00	0.69
02/08/2016	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.68	90.0	0.61	0.00	0.61
03/18/2016	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.17	90.0	1.95	0.00	1.95
03/18/2016	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.82	90.0	0.74	0.00	0.74
03/18/2016	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.73	90.0	0.66	0.00	0.66
04/14/2016	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.18	90.0	1.96	0.00	1.96
04/14/2016	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.83	90.0	0.75	0.00	0.75
04/14/2016	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.73	90.0	0.66	0.00	0.66
05/19/2016	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.06	90.0	1.85	0.00	1.85
05/19/2016	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.78	90.0	0.70	0.00	0.70
05/19/2016	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.69	90.0	0.62	0.00	0.62
06/23/2016	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.28	90.0	2.05	0.00	2.05
06/23/2016	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.86	90.0	0.77	0.00	0.77
06/23/2016	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.76	90.0	0.68	0.00	0.68
07/11/2016	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.15	90.0	1.94	0.00	1.94
07/11/2016	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.81	90.0	0.73	0.00	0.73
07/11/2016	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.72	90.0	0.65	0.00	0.65
08/15/2016	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.24	90.0	2.02	0.00	2.02
08/15/2016	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.85	90.0	0.77	0.00	0.77
08/15/2016	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.75	90.0	0.68	0.00	0.68
09/12/2016	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.25	90.0	2.03	0.00	2.03

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/12/2016	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.85	90.0	0.77	0.00	0.77
09/12/2016	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.75	90.0	0.68	0.00	0.68
10/14/2016	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.18	90.0	1.96	0.00	1.96
10/14/2016	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.82	90.0	0.74	0.00	0.74
10/14/2016	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.73	90.0	0.66	0.00	0.66
10/14/2016	CUSSEN, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE	0.00	20.74	90.0	18.67	0.00	18.67
10/14/2016	CUSSEN, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.42	90.0	0.38	0.00	0.38
11/17/2016	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.26	90.0	2.03	0.00	2.03
11/17/2016	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.86	90.0	0.77	0.00	0.77
11/17/2016	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.76	90.0	0.68	0.00	0.68
11/17/2016	CUSSEN, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE	0.00	37.99	90.0	34.19	0.00	34.19
11/17/2016	CUSSEN, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.78	90.0	0.70	0.00	0.70
12/12/2016	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.21	90.0	1.99	0.00	1.99
12/12/2016	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.83	90.0	0.75	0.00	0.75
12/12/2016	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.74	90.0	0.67	0.00	0.67
12/12/2016	CUSSEN, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE	0.00	37.03	90.0	33.33	0.00	33.33
12/12/2016	CUSSEN, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.76	90.0	0.68	0.00	0.68
06/14/2016	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	0.61	90.0	0.55	0.00	0.55
07/20/2016	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	2.29	90.0	2.06	0.00	2.06
08/17/2016	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	2.44	90.0	2.20	0.00	2.20
09/16/2016	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	2.42	90.0	2.18	0.00	2.18
10/14/2016	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	2.32	90.0	2.09	0.00	2.09
11/15/2016	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	2.36	90.0	2.12	0.00	2.12
12/15/2016	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	2.28	90.0	2.05	0.00	2.05
06/14/2016	SMITH, BETTY	FRANKLIN INCOME A	0.00	0.11	90.0	0.10	0.00	0.10
06/20/2016	SMITH, BETTY	JP MORGAN HEDGED EQUITY FUND CLASS	0.00	0.22	90.0	0.20	0.00	0.20
06/21/2016	SMITH, BETTY	LORD ABBETT SHORT DURATION INCOME	0.00	0.23	90.0	0.21	0.00	0.21
05/18/2016	BARRETT, JOHN	PIMCO INCOME FUND CLASS A	0.00	45.01	90.0	40.51	0.00	40.51
06/16/2016	BARRETT, JOHN	PIMCO INCOME FUND CLASS A	0.00	155.36	90.0	139.82	0.00	139.82
06/16/2016	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	3.66	90.0	3.29	0.00	3.29
06/16/2016	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	9.71	90.0	8.74	0.00	8.74
07/15/2016	BARRETT, JOHN	PIMCO INCOME FUND CLASS A	0.00	150.99	90.0	135.89	0.00	135.89
07/15/2016	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	4.08	90.0	3.67	0.00	3.67

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/15/2016	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	11.24	90.0	10.12	0.00	10.12
08/12/2016	BARRETT, JOHN	PIMCO INCOME FUND CLASS A	0.00	158.12	90.0	142.31	0.00	142.31
08/12/2016	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	4.28	90.0	3.85	0.00	3.85
08/12/2016	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	11.77	90.0	10.59	0.00	10.59
08/12/2016	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	0.00	1.37	90.0	1.23	0.00	1.23
09/15/2016	BARRETT, JOHN	PIMCO INCOME FUND CLASS A	0.00	159.20	90.0	143.28	0.00	143.28
09/15/2016	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	4.31	90.0	3.88	0.00	3.88
09/15/2016	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	11.85	90.0	10.67	0.00	10.67
09/15/2016	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	0.00	1.65	90.0	1.49	0.00	1.49
09/15/2016	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	13.99	90.0	12.59	0.00	12.59
09/15/2016	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	15.75	90.0	14.18	0.00	14.18
09/15/2016	CAPEL TRUST, NARLENE FORTENB	PIMCO INCOME FUND CLASS A	0.00	16.76	90.0	15.08	0.00	15.08
09/15/2016	CAPEL, BETTYE	PIMCO INCOME FUND CLASS A	0.00	9.36	90.0	8.42	0.00	8.42
10/21/2016	BARRETT, JOHN	PIMCO INCOME FUND CLASS A	0.00	2.82	90.0	2.54	0.00	2.54
10/21/2016	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	4.19	90.0	3.77	0.00	3.77
10/21/2016	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	11.53	90.0	10.38	0.00	10.38
10/21/2016	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	0.00	1.60	90.0	1.44	0.00	1.44
10/21/2016	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	14.99	90.0	13.49	0.00	13.49
10/21/2016	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	20.39	90.0	18.35	0.00	18.35
10/21/2016	CAPEL TRUST, NARLENE FORTENB	PIMCO INCOME FUND CLASS A	0.00	14.46	90.0	13.01	0.00	13.01
10/21/2016	CAPEL, BETTYE	PIMCO INCOME FUND CLASS A	0.00	10.04	90.0	9.04	0.00	9.04
11/18/2016	BARRETT, JOHN	PIMCO INCOME FUND CLASS A	0.00	3.61	90.0	3.25	0.00	3.25
11/18/2016	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	4.37	90.0	3.93	0.00	3.93
11/18/2016	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	12.02	90.0	10.82	0.00	10.82
11/18/2016	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	0.00	1.67	90.0	1.50	0.00	1.50
11/18/2016	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	15.57	90.0	14.01	0.00	14.01
11/18/2016	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	21.18	90.0	19.06	0.00	19.06
11/18/2016	CAPEL TRUST, NARLENE FORTENB	PIMCO INCOME FUND CLASS A	0.00	15.01	90.0	13.51	0.00	13.51
11/18/2016	CAPEL, BETTYE	PIMCO INCOME FUND CLASS A	0.00	10.42	90.0	9.38	0.00	9.38
12/14/2016	BARRETT, JOHN	PIMCO INCOME FUND CLASS A	0.00	4.14	90.0	3.73	0.00	3.73
12/14/2016	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	4.22	90.0	3.80	0.00	3.80
12/14/2016	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	11.61	90.0	10.45	0.00	10.45
12/14/2016	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	0.00	1.61	90.0	1.45	0.00	1.45

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/14/2016	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	14.97	90.0	13.47	0.00	13.47
12/14/2016	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	20.37	90.0	18.33	0.00	18.33
12/14/2016	CAPEL TRUST, NARLENE FORTENB	PIMCO INCOME FUND CLASS A	0.00	14.44	90.0	13.00	0.00	13.00
12/14/2016	CAPEL, BETTYE	PIMCO INCOME FUND CLASS A	0.00	10.03	90.0	9.03	0.00	9.03
12/14/2016	LADNER TRUST	PIMCO INCOME FUND CLASS A	0.00	30.18	90.0	27.16	0.00	27.16
05/18/2016	BARRETT, JOHN	PIMCO INVESTMENT GRADE CORP BOND A	0.00	22.57	90.0	20.31	0.00	20.31
06/16/2016	BARRETT, JOHN	PIMCO INVESTMENT GRADE CORP BOND A	0.00	77.99	90.0	70.19	0.00	70.19
06/16/2016	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	1.83	90.0	1.65	0.00	1.65
06/16/2016	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	4.85	90.0	4.37	0.00	4.37
07/15/2016	BARRETT, JOHN	PIMCO INVESTMENT GRADE CORP BOND A	0.00	76.48	90.0	68.83	0.00	68.83
07/15/2016	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	2.06	90.0	1.85	0.00	1.85
07/15/2016	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	5.67	90.0	5.10	0.00	5.10
08/12/2016	BARRETT, JOHN	PIMCO INVESTMENT GRADE CORP BOND A	0.00	80.81	90.0	72.73	0.00	72.73
08/12/2016	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	2.18	90.0	1.96	0.00	1.96
08/12/2016	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	5.99	90.0	5.39	0.00	5.39
09/15/2016	BARRETT, JOHN	PIMCO INVESTMENT GRADE CORP BOND A	0.00	81.24	90.0	73.12	0.00	73.12
09/15/2016	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	2.19	90.0	1.97	0.00	1.97
09/15/2016	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	6.02	90.0	5.42	0.00	5.42
10/21/2016	BARRETT, JOHN	PIMCO INVESTMENT GRADE CORP BOND A	0.00	0.94	90.0	0.85	0.00	0.85
10/21/2016	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	2.12	90.0	1.91	0.00	1.91
10/21/2016	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	5.83	90.0	5.25	0.00	5.25
11/18/2016	BARRETT, JOHN	PIMCO INVESTMENT GRADE CORP BOND A	0.00	1.21	90.0	1.09	0.00	1.09
11/18/2016	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	2.19	90.0	1.97	0.00	1.97
11/18/2016	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	6.03	90.0	5.43	0.00	5.43
12/14/2016	BARRETT, JOHN	PIMCO INVESTMENT GRADE CORP BOND A	0.00	1.34	90.0	1.21	0.00	1.21
12/14/2016	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	2.07	90.0	1.86	0.00	1.86
12/14/2016	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	5.70	90.0	5.13	0.00	5.13
12/14/2016	LADNER TRUST	PIMCO INVESTMENT GRADE CORP BOND A	0.00	20.00	90.0	18.00	0.00	18.00
05/18/2016	BARRETT, JOHN	PIMCO TOTAL RETURN A	0.00	22.57	90.0	20.31	0.00	20.31
06/16/2016	BARRETT, JOHN	PIMCO TOTAL RETURN A	0.00	77.98	90.0	70.18	0.00	70.18
06/16/2016	SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	1.83	90.0	1.65	0.00	1.65
06/16/2016	SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	4.85	90.0	4.37	0.00	4.37
07/15/2016	BARRETT, JOHN	PIMCO TOTAL RETURN A	0.00	75.94	90.0	68.35	0.00	68.35

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/15/2016	SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	2.05	90.0	1.85	0.00	1.85
07/15/2016	SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	5.63	90.0	5.07	0.00	5.07
08/12/2016	BARRETT, JOHN	PIMCO TOTAL RETURN A	0.00	79.62	90.0	71.66	0.00	71.66
08/12/2016	SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	2.15	90.0	1.94	0.00	1.94
08/12/2016	SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	5.90	90.0	5.31	0.00	5.31
09/15/2016	BARRETT, JOHN	PIMCO TOTAL RETURN A	0.00	79.59	90.0	71.63	0.00	71.63
09/15/2016	SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	2.15	90.0	1.94	0.00	1.94
09/15/2016	SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	5.90	90.0	5.31	0.00	5.31
10/21/2016	BARRETT, JOHN	PIMCO TOTAL RETURN A	0.00	0.79	90.0	0.71	0.00	0.71
10/21/2016	SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	2.08	90.0	1.87	0.00	1.87
10/21/2016	SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	5.71	90.0	5.14	0.00	5.14
11/18/2016	BARRETT, JOHN	PIMCO TOTAL RETURN A	0.00	0.93	90.0	0.84	0.00	0.84
11/18/2016	SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	2.15	90.0	1.94	0.00	1.94
11/18/2016	SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	5.89	90.0	5.30	0.00	5.30
12/14/2016	BARRETT, JOHN	PIMCO TOTAL RETURN A	0.00	1.03	90.0	0.93	0.00	0.93
12/14/2016	SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	2.04	90.0	1.84	0.00	1.84
12/14/2016	SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	5.61	90.0	5.05	0.00	5.05
02/08/2016	CMPG-2 INVESTMENTS	POWER INCOME FUND CLASS A	0.00	2.40	90.0	2.16	0.00	2.16
03/10/2016	CMPG-2 INVESTMENTS	POWER INCOME FUND CLASS A	0.00	2.55	90.0	2.30	0.00	2.30
04/13/2016	CMPG-2 INVESTMENTS	POWER INCOME FUND CLASS A	0.00	2.56	90.0	2.30	0.00	2.30
05/12/2016	CMPG-2 INVESTMENTS	POWER INCOME FUND CLASS A	0.00	2.43	90.0	2.19	0.00	2.19
06/09/2016	CMPG-2 INVESTMENTS	POWER INCOME FUND CLASS A	0.00	2.71	90.0	2.44	0.00	2.44
07/13/2016	CMPG-2 INVESTMENTS	POWER INCOME FUND CLASS A	0.00	2.55	90.0	2.30	0.00	2.30
08/11/2016	CMPG-2 INVESTMENTS	POWER INCOME FUND CLASS A	0.00	2.66	90.0	2.39	0.00	2.39
09/12/2016	CMPG-2 INVESTMENTS	POWER INCOME FUND CLASS A	0.00	2.68	90.0	2.41	0.00	2.41
10/11/2016	CMPG-2 INVESTMENTS	POWER INCOME FUND CLASS A	0.00	2.61	90.0	2.35	0.00	2.35
11/10/2016	CMPG-2 INVESTMENTS	POWER INCOME FUND CLASS A	0.00	2.69	90.0	2.42	0.00	2.42
12/12/2016	CMPG-2 INVESTMENTS	POWER INCOME FUND CLASS A	0.00	2.59	90.0	2.33	0.00	2.33
06/14/2016	SMITH, BETTY	TEMPLETON GLOBAL BOND A	0.00	0.41	90.0	0.37	0.00	0.37
06/22/2016	SMITH, BETTY	TOCQUEVILLE GOLD FUND	0.00	0.06	90.0	0.05	0.00	0.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,057.00		\$1,851.38	\$0.00	\$1,851.38
*** DIRECT TRANSACTIONS TOTAL ***			\$50.00	\$2,058.88		\$1,853.07	\$0.00	\$1,853.07

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,853.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-779.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,074.07
Year-to-date Gross	\$6,399.04
Year-to-date Earnings	\$5,759.26