



# COMMISSION STATEMENT

## February 12, 2018

**TIMOTHY STATES**  
 1629 K ST NW STE 300  
 SUITE 300  
 WASHINGTON, DC 20001

**BROKER #: A70**  
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/06/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	AIM DIVERSIFIED DIVIDEND FUND - CL SELL 636 Units @19.8700	12,628.76	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	AIM DIVERSIFIED DIVIDEND FUND - CL SELL 484 Units @19.8700	9,624.99	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	AIM DIVERSIFIED DIVIDEND FUND - CL SELL 581 Units @19.8700	11,535.69	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	FUNDAMENTAL INVESTORS-A SELL 371 Units @63.1100	23,427.69	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-100I - BRITT, FBO RUTH ACCOUNT #: LSR010568	GLOBAL OPPORTUNITIES A SELL 156 Units @72.2500	11,242.75	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	GLOBAL OPPORTUNITIES A SELL 419 Units @72.2500	30,278.89	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-587I - KEARNEY, FBO MS ACCOUNT #: LSR010590	GLOBAL OPPORTUNITIES A SELL 117 Units @72.2500	8,440.82	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-537I - EDELIN, FBO MS ACCOUNT #: LSR010592	GLOBAL OPPORTUNITIES A SELL 161 Units @72.2500	11,616.21	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-58IN - LUTZ, SAMUEL ACCOUNT #: LSR010594	GLOBAL OPPORTUNITIES A SELL 330 Units @72.2500	23,813.38	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-735I - ANTOINE, FBO MS ACCOUNT #: LSR010596	GLOBAL OPPORTUNITIES A SELL 175 Units @72.2500	12,667.01	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-35IN - ANTOINE, MS ACCOUNT #: LSR010597	GLOBAL OPPORTUNITIES A SELL 141 Units @72.2500	10,164.20	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-91IN - RICHARDS, RMR ACCOUNT #: LSR010600	GLOBAL OPPORTUNITIES A SELL 117 Units @72.2500	8,440.82	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	GLOBAL OPPORTUNITIES A SELL 241 Units @72.2500	17,424.17	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	GLOBAL OPPORTUNITIES A SELL 546 Units @72.2500	39,477.54	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-820X - HIGHSMITH, ACCOUNT #: LSR010767	GLOBAL OPPORTUNITIES A SELL 87 Units @72.2500	6,294.28	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	GLOBAL OPPORTUNITIES A SELL 463 Units @72.2500	33,464.03	0.00	18.00	-18.00	100.0	-18.00



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
02/06/2018	***-**-736X - HIGHSMITH SR, ACCOUNT #: LSR010791	GLOBAL OPPORTUNITIES A SELL 278 Units @72.2500	20,099.81	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-423I - JONES, BRENDA ACCOUNT #: LSR010837	GLOBAL OPPORTUNITIES A SELL 161 Units @72.2500	11,616.21	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	IVK GROWTH AND INCOME FUND - CLASS SELL 455 Units @27.2600	12,392.94	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-58IN - LUTZ, SAMUEL ACCOUNT #: LSR010594	IVK GROWTH AND INCOME FUND - CLASS SELL 455 Units @27.2600	12,392.94	0.00	18.00	-18.00	100.0	-18.00
02/06/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	WASHINGTON MUTUAL INVESTORS FUND-A SELL 232 Units @45.9600	10,683.63	0.00	18.00	-18.00	100.0	-18.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$337,726.76</b>	<b>\$0.00</b>	<b>\$378.00</b>	<b>\$-378.00</b>		<b>\$-378.00</b>
<b>PRODUCT CATEGORY: STOCKS</b>								
02/02/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	APPLE INC UNSOLICITED ORDER SELL 100 Units @165.4700	16,547.00	35.00	18.00	17.00	75.0	12.75
02/02/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	APPLE INC UNSOLICITED ORDER SELL 100 Units @164.9900	16,499.00	35.00	18.00	17.00	75.0	12.75
02/02/2018	***-**-587I - KEARNEY, FBO MS ACCOUNT #: LSR010590	APPLE INC UNSOLICITED ORDER SELL 100 Units @165.3400	16,534.00	150.00	18.00	132.00	75.0	99.00
02/02/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	APPLE INC UNSOLICITED ORDER SELL 4 Units @165.7700	663.08	1.92	18.00	-16.08	100.0	-16.08
02/02/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	APPLE INC UNSOLICITED ORDER SELL 100 Units @165.8100	16,581.00	48.08	0.00	48.08	75.0	36.06
02/02/2018	***-**-573O - LYNCH, MARTA ACCOUNT #: LSR012415	BANK OF AMERICA CORP UNSOLICITED OR BUY 200 Units @32.2550	6,451.00	125.00	19.00	106.00	75.0	79.50
02/02/2018	***-**-573O - LYNCH, MARTA ACCOUNT #: LSR012415	NEKTAR THERAPEUTICS BUY 100 Units @89.4520	8,945.20	135.00	17.00	118.00	75.0	88.50
<b>* STOCKS SUBTOTAL *</b>			<b>\$82,220.28</b>	<b>\$530.00</b>	<b>\$108.00</b>	<b>\$422.00</b>		<b>\$312.48</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$419,947.04</b>	<b>\$530.00</b>	<b>\$486.00</b>	<b>\$44.00</b>		<b>\$-65.52</b>



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<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$-65.52
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					Balance Forward			\$-65.52
					Year-to-date Earnings			\$-65.52