



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 31, 2018

04/03/2018
Page No. 1

MARK-S141 REG SMITH

BROKER #: S141
BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
03/21/2018	CORLEY, WILBUR	BR TOTAL INC & REAL ESTATE FUND A	20,575.70	3.95	0.00	3.95	0.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$20,575.70	\$3.95	\$0.00	\$3.95		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.20
03/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	48.0	1.20
03/15/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	48.0	1.20
03/15/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	48.0	1.20
03/15/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.20
03/15/2018	UNTIL AGE 21, TX/UTMA	AM FUNDS GROWTH PORT A	50.00	1.88	0.00	1.88	48.0	0.90
		* MUTUAL FUNDS SUBTOTAL *	\$300.00	\$14.38	\$0.00	\$14.38		\$6.90
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/16/2018	CORLEY, WILBUR	SBL ADVISOR PROGRAM	26,552.21	265.51	0.00	265.51	48.0	127.44
		* VARIABLE ANNUITIES SUBTOTAL *	\$26,552.21	\$265.51	\$0.00	\$265.51		\$127.44
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	SCHONFELD, NANCY	VAN ECK INTERNATIONAL INVESTORS GOL	0.00	7.06	0.00	7.06	48.0	3.39
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$7.06	\$0.00	\$7.06		\$3.39
		*** DIRECT TRANSACTIONS TOTAL ***	\$47,427.91	\$290.90	\$0.00	\$290.90		\$137.73

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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Override Transactions

BROKER: MARK-S148 SMITH #401

03/05/2018	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	300.00	3.00	0.00	3.00	0.0	1.44
* MARK-S148 SMITH SUBTOTAL *			\$300.00	\$3.00	\$0.00	\$3.00		\$1.44
*** OVERRIDE TRANSACTIONS TOTAL ***			\$300.00	\$3.00	\$0.00	\$3.00		\$1.44

BROKER COMMISSION TOTALS

Broker Transactions	\$137.73
Split Transactions	\$0.00
Override Transactions	\$1.44
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-345.93
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-206.76
Year-to-date Gross	\$24,682.50
Year-to-date Earnings	\$11,816.26

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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