BROKER

Signal SECURITIES Our significance is you.

COMMISSION STATEMENT

July 02, 2018

BROKER #: 9613

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

, TX

PALMERSHEIM/SNOW

COMMISSION STATEMENT for PALMERSHEIM/SNOW

INVESTMENT

| | | | D/5 | INVESTMENT | GROSS | CLEARING | NET | | BROKER |
|--------------------------------|-------------------------------|-----------------------------|---------|------------|------------|----------|------------|-------|------------|
| TRADE DATE | CLIENT | INVESTMENT | B/S | AMOUNT | COMMISSION | CHARGE | COMMISSION | RATE | COMMISSION |
| | | | | | | | | | |
| BROKER TRANSACTIONS | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | |
| 06/15/2018 0 | 0083950080 CUNNINGHAM, MARY | AMERICAN FUNDS EURO PACIFIC | GROWT B | 175.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| | | * MUTUAL FUNDS SUB | TOTAL * | \$175.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| PRODUCT CA | TEGORY: RIA | | | | | | | | |
| 06/19/2018 | BUSH, ABE A. (JR.) | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| 04/13/2018 1 | 0556019 GILLILAND, JULIE | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| D | EANN | | | | | | | | |
| 04/13/2018 1 | 0882572 BRUCE, JUDITH IRENE | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| 04/13/2018 1 | 5447982 PEARSON, DIANNE H | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| 04/13/2018 1 | 6695746 QUANBECK, REA DENISE | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| 04/13/2018 1 | 8091956 BRENNAN, JONATHAN & | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| J | EAN | | | | | | | | |
| 04/13/2018 2 | 0770029 BRENNAN, JONATHAN & | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| J | EAN | | | | | | | | |
| 04/13/2018 2 | 0872135 SLAUGHTER, JUSTINE | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| M | IARIE | | | | | | | | |
| 04/13/2018 2 | 2163519 ABBOTT, JAMES ADDISON | N SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| 04/13/2018 2 | 7933838 RUSCH, GIGI | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| 04/13/2018 2 | 9192217 JOHNSON, TONYA | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| W | AYNETTE | | | | | | | | |
| 04/13/2018 2 | 9329286 QUANBECK, GORDON | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| (| HAL) | | | | | | | | |
| 04/13/2018 3 | 0561785 THOMAS, LARRY D | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| 04/13/2018 3 | 1207916 JACKSON, DIANE LYNN | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| 04/13/2018 3 | 1528580 STELLY, PAUL | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| 04/13/2018 3 | 1671856 THOMAS, POLLY S. | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| 04/13/2018 3 | 3117987 STELLY, PAUL | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| 04/13/2018 3 | 5080832 SHIRLEY, RALPH W. | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| 04/13/2018 3 | 5770153 RUSCH, GIGI | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| 04/13/2018 3 | 9222483 REESE, ANDREW / PEGGY | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| 04/13/2018 3 | 9816703 WARREN, GLORIA CLARK | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| | | | | | | | | | |

INVESTMENT

GROSS

CLEARING

NET

2

BROKER

COMMISSION STATEMENT for PALMERSHEIM/SNOW

| FRADE DATE CLIENT | INVESTMENT | B/S | AMOUNT | COMMISSION | CHARGE | COMMISSION RATE | COMMISSION |
|--|-------------------------|---------------------|----------|------------|--------|-----------------|------------|
| | BR | OKER TRANS <i>I</i> | ACTIONS | | | | |
| PRODUCT CATEGORY: RIA | <u>=</u> | 011211 1112101 | 10110110 | | | | |
| 06/18/2018 401K OPTIMIZER PUKIS, BARBARA | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| ANN | | | | | | | |
| 04/13/2018 41540562 LOCKER, PAMELA LAYTO | N SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 41540562 LOCKER, PAMELA LAYTO | N SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 42458316 WARREN, WILLIAM& GLORIA | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 49635286 BERNARD, DONNA GAY PANCA | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 51260395 SUTHERLAND, MIKE DEA | N SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 51489395 FRIEDMANN, LINDA L | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 52007712 CHRISTY, MARYLOU B | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 56512595 BRENNAN, JONATHAN & JEAN | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 58196619 STELLY, TIMOTHY & HALEY | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 4/13/2018 59968411 KINUNEN, LEZLIE ANNE | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 4/13/2018 62652031 JACKSON, DIANE LYNN | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 64024640 QUANBECK, GORDON (HAL) | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 64679619 WARREN, WILLIAM& GLORIA | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 67104851 JENSEN, ANN MARIE | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 68428952 HORN, JEFFREY | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 68892175 LAWRENCE, JERROLD B | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 70196330 JACKSON, DIANE LYNN | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 71014745 ELLIOTT, SUSAN HUDSO | N SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
|)4/13/2018 72540730 BLALOCK III, WILLIAM J | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 73959390 VOIRIN FAMILY TRUST | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 74609933 REESE, PEGGY M | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 74970598 MCKEEL, GWEN F | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 4/13/2018 75970772 SHIRLEY, RALPH W. | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.0 |
| 4/13/2018 79512456 BRUCE, GRANVILLE WAYNE | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.0 |
| 4/13/2018 81184800 SHIRLEY, RALPH W. | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.0 |
| 4/13/2018 81184802 SHIRLEY, RALPH W. | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 81944763 RENDER, SYLVIA/RICHARD | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 82007581 KEOGH, FRANCIS JOSEP | H SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |
| 04/13/2018 85616643 STELLY, TIMOTHY & | SIGNAL SECURITIES RIA | В | 0.00 | 0.00 | 0.00 | 0.00 100.0 | 0.00 |

06/28/2018

Page No. COMMISSION STATEMENT for PALMERSHEIM/SNOW INVESTMENT CLEARING NET GROSS BROKER TRADE DATE CLIENT INVESTMENT B/S AMOUNT COMMISSION CHARGE COMMISSION RATE COMMISSION BROKER TRANSACTIONS PRODUCT CATEGORY: RIA HALEY 04/13/2018 87940275 HART, CHARLOTT/ROBERT SIGNAL SECURITIES RIA В 0.00 0.00 0.00 0.00 100.0 0.00 0.00 04/13/2018 89721188 HARRIS, SHARON K В 0.00 0.00 0.00 0.00 100.0 SIGNAL SECURITIES RIA 04/13/2018 90768067 ELLIOTT, SUSAN HUDSON SIGNAL SECURITIES RIA В 0.00 0.00 0.00 0.00 100.0 0.00 04/13/2018 91118996 BRENNAN, JEAN S SIGNAL SECURITIES RIA В 0.00 0.00 0.00 0.00 100.0 0.00 04/13/2018 91789542 FRIEDMANN, LINDA L SIGNAL SECURITIES RIA В 0.00 0.00 0.00 0.00 100.0 0.00 0.00 0.00 0.00 0.00 100.0 0.00 04/13/2018 91886872 ABBOTT, NANCYE/JAMES SIGNAL SECURITIES RIA В 04/13/2018 95761721 KEOGH, FRANCIS JOSEPH SIGNAL SECURITIES RIA В 0.00 0.00 0.00 0.00 100.0 0.00 0.00 04/13/2018 96915588 JENSEN, ANN MARIE SIGNAL SECURITIES RIA В 0.00 0.00 0.00 100.0 0.00 04/13/2018 97397744 REESE, ANDREW / PEGGY SIGNAL SECURITIES RIA В 0.00 0.00 0.00 0.00 100.0 0.00 04/13/2018 99442454 CHRISTY, MARYLOU B В 0.00 0.00 0.00 0.00 100.0 0.00 SIGNAL SECURITIES RIA В 06/18/2018 FIDELITY 401K KERN, NINA M SIGNAL SECURITIES RIA 0.00 0.00 0.00 0.00 100.0 0.00

\$0.00

\$175.00

* RIA SUBTOTAL *

*** BROKER TRANSACTIONS TOTAL ***

| | BROKER COMMISSION | N TOTALS | | | |
|--|-----------------------|----------|--|--|--|
| | Broker Transactions | \$0.00 | | | |
| | Split Transactions | \$0.00 | | | |
| | Override Transactions | \$0.00 | | | |
| | \$0.00 | | | | |
| | Payroll Draw | | | | |
| | Base Salary | | | | |
| | Prior Period Balance | \$0.00 | | | |
| Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD | Balance Forward | \$0.00 | | | |
| | Year-to-date Earnings | \$0.00 | | | |

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00