



# COMMISSION STATEMENT

April 30, 2017

REBECCA FREEMAN  
125 High Rock Ave  
Suite 103  
Saratoga Springs, NY 12866

BROKER #: F124  
BRANCH: REBECCA FREEMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
04/19/2017	ALPER, PETER	ASSETMARK	104,442.21	325.47	0.00	325.47	90.0	292.92
04/19/2017	ALPER, PETER	ASSETMARK	168,429.79	209.95	0.00	209.95	90.0	188.96
04/19/2017	ALPER, PETER	ASSETMARK	26,134.12	81.44	0.00	81.44	90.0	73.30
04/19/2017	IPPOLITO, LISA	ASSETMARK	330,344.10	782.37	0.00	782.37	90.0	704.13
04/19/2017	IPPOLITO, LISA	ASSETMARK	312,347.67	389.34	0.00	389.34	90.0	350.41
04/19/2017	LUNT, MARAJORIE	ASSETMARK	386,208.54	481.41	0.00	481.41	90.0	433.27
04/19/2017	LUNT, MARAJORIE	ASSETMARK	94,589.26	231.33	0.00	231.33	90.0	208.20
04/19/2017	LUNT, MARAJORIE	ASSETMARK	20,261.47	50.51	0.00	50.51	90.0	45.46
04/19/2017	ANDERSON, CAROLYN	SAWTOOTH	0.00	210.19	0.00	210.19	90.0	189.17
04/19/2017	BERNARD, CRAIG	SAWTOOTH	0.00	192.50	0.00	192.50	90.0	173.25
04/19/2017	BERNARD, CRAIG	SAWTOOTH	0.00	676.82	0.00	676.82	90.0	609.14
04/19/2017	BRENNAN, WILLIAM	SAWTOOTH	0.00	1,275.98	0.00	1,275.98	90.0	1,148.38
04/19/2017	COATS, STEVEN	SAWTOOTH	0.00	1,189.27	0.00	1,189.27	90.0	1,070.34
04/19/2017	COATS, STEVEN	SAWTOOTH	0.00	1,052.44	0.00	1,052.44	90.0	947.20
04/19/2017	FOX, JENNIFER	SAWTOOTH	0.00	1,447.55	0.00	1,447.55	90.0	1,302.80
04/19/2017	FOX, KURT	SAWTOOTH	0.00	888.19	0.00	888.19	90.0	799.37
04/19/2017	HALL, WILMA	SAWTOOTH	0.00	57.09	0.00	57.09	90.0	51.38
04/19/2017	HAWKE, SHARON	SAWTOOTH	0.00	736.65	0.00	736.65	90.0	662.99
04/19/2017	HAWKE, SHARON	SAWTOOTH	0.00	80.88	0.00	80.88	90.0	72.79
04/19/2017	HIGGINS, ALEXANDRA	SAWTOOTH	0.00	73.54	0.00	73.54	90.0	66.19
04/19/2017	HIGGINS, ALEXANDRA	SAWTOOTH	0.00	45.64	0.00	45.64	90.0	41.08
04/19/2017	HIGGINS, ALEXANDRA	SAWTOOTH	0.00	473.17	0.00	473.17	90.0	425.85
04/19/2017	HIGGINS, ANNE	SAWTOOTH	0.00	741.63	0.00	741.63	90.0	667.47
04/19/2017	KNOWLTON, JACKSON	SAWTOOTH	0.00	109.76	0.00	109.76	90.0	98.78
04/19/2017	MARTINEZ, YVONNE	SAWTOOTH	0.00	31.67	0.00	31.67	90.0	28.50
04/19/2017	MCCLANAHAN, ARTHUR	SAWTOOTH	0.00	1,155.68	0.00	1,155.68	90.0	1,040.11

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
04/19/2017	RADER, FRANK	SAWTOOTH	0.00	804.78	0.00	804.78	90.0	724.30
04/19/2017	STERN, AMELIA	SAWTOOTH	0.00	535.39	0.00	535.39	90.0	481.85
04/19/2017	STERN, JONATHAN	SAWTOOTH	0.00	313.81	0.00	313.81	90.0	282.43
04/19/2017	WILSON, GEORGE	SAWTOOTH	0.00	41.68	0.00	41.68	90.0	37.51
04/19/2017	WILSON, GEORGE	SAWTOOTH	0.00	1,054.74	0.00	1,054.74	90.0	949.27
04/19/2017	WILSON, DELORES	SAWTOOTH	0.00	55.19	0.00	55.19	90.0	49.67
<b>* RIA SUBTOTAL *</b>			<b>\$1,442,757.16</b>	<b>\$15,796.06</b>	<b>\$0.00</b>	<b>\$15,796.06</b>		<b>\$14,216.47</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,442,757.16</b>	<b>\$15,796.06</b>	<b>\$0.00</b>	<b>\$15,796.06</b>		<b>\$14,216.47</b>

**ADJUSTMENTS**

04/30/2017	*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00						-27.98
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$-27.98

**BROKER COMMISSION TOTALS**

Broker Transactions	\$14,216.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.98
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$14,188.49</b>
<b>Year-to-date Gross</b>	<b>\$33,267.16</b>
<b>Year-to-date Earnings</b>	<b>\$29,940.51</b>

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