

**COMMISSION STATEMENT****January 15, 2018**DAVID KOTZ
601 Mine Brook Rd

BROKER #: K135 Terminated 05/04/2017

BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.45	0.00	4.45	0.0	0.00
12/11/2017	NAGY, ZOLLAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.99	0.00	0.99	0.0	0.00
12/11/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	26.72	0.00	26.72	0.0	0.00
12/11/2017	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.22	0.00	10.22	0.0	0.00
12/11/2017	WHITTLESEY, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.20	0.00	7.20	0.0	0.00
12/11/2017	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.07	0.00	3.07	0.0	0.00
12/11/2017	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.92	0.00	0.92	0.0	0.00
12/11/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.73	0.00	2.73	0.0	0.00
12/11/2017	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.79	0.00	1.79	0.0	0.00
12/11/2017	NAGY, ZOLLAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.45	0.00	2.45	0.0	0.00
12/11/2017	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	2.11	0.00	2.11	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$62.65	\$0.00	\$62.65		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$62.65	\$0.00	\$62.65		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$62.65
Year-to-date Earnings	\$0.00