



COMMISSION STATEMENT

June 04, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 05/24/2018 | ***-**-06IO - MARVIN, BOBBI ACCOUNT #: LSR012683 | AMERICAN BALANCED FUND-A BUY 175 Units @28.6000 | 5,000.00 | 212.50 | 18.00 | 194.50 | 75.0 | 145.88 |
| 05/25/2018 | ***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487 | AMERICAN BALANCED FUND-A BUY 4 Units @28.1200 | 116.00 | 3.19 | 0.00 | 3.19 | 75.0 | 2.39 |
| 05/25/2018 | ***-**-7449 - JONES, D ACCOUNT #: 00087990221 | AMERICAN BALANCED FUND-A BUY 4 Units @28.4200 | 115.00 | 4.31 | 0.00 | 4.31 | 75.0 | 3.23 |
| 05/21/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340 | AMERICAN HIGH-INCOME TRUST-A BUY 7 Units @10.5000 | 75.00 | 2.06 | 0.00 | 2.06 | 75.0 | 1.55 |
| 05/24/2018 | ***-**-06IO - MARVIN, BOBBI ACCOUNT #: LSR012683 | AMERICAN HIGH-INCOME TRUST-A BUY 285 Units @10.5200 | 3,000.00 | 90.00 | 18.00 | 72.00 | 75.0 | 54.00 |
| 05/15/2018 | ***-**-989I - WOODCOCK, | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 62,000.00 | 1,240.00 | 0.00 | 1,240.00 | 75.0 | 930.00 |
| 05/21/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340 | CAPITAL INCOME BUILDER-A BUY 2 Units @63.5300 | 100.00 | 2.75 | 0.00 | 2.75 | 75.0 | 2.06 |
| 05/25/2018 | ***-**-7449 - JONES, D ACCOUNT #: 00087990221 | CAPITAL INCOME BUILDER-A BUY 1 Units @63.6300 | 50.00 | 1.88 | 0.00 | 1.88 | 75.0 | 1.41 |
| 05/21/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340 | CAPITAL WORLD BOND FUND-A BUY 4 Units @20.2300 | 75.00 | 2.06 | 0.00 | 2.06 | 75.0 | 1.55 |
| 05/15/2018 | ***-**-989I - WOODCOCK, | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 24,500.00 | 490.00 | 0.00 | 490.00 | 75.0 | 367.50 |
| 05/21/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @54.6900 | 125.00 | 3.44 | 0.00 | 3.44 | 75.0 | 2.58 |
| 05/24/2018 | ***-**-06IO - MARVIN, BOBBI ACCOUNT #: LSR012683 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 45 Units @55.1500 | 2,500.00 | 106.25 | 18.00 | 88.25 | 75.0 | 66.19 |
| 05/25/2018 | ***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487 | EUROPACIFIC GROWTH FUND-A BUY 1 Units @59.0200 | 85.00 | 2.34 | 0.00 | 2.34 | 75.0 | 1.76 |
| / / | ***-**-989I - WOODCOCK, | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 60,000.00 | 1,200.00 | 0.00 | 1,200.00 | 75.0 | 900.00 |
| 05/24/2018 | ***-**-06IO - MARVIN, BOBBI ACCOUNT #: LSR012683 | FUNDAMENTAL INVESTORS-A BUY 67 Units @66.6700 | 4,500.02 | 191.25 | 18.00 | 173.25 | 75.0 | 129.94 |
| / / | ***-**-989I - WOODCOCK, | GROWTH FUND OF AMERICA CLASS A BUY 0 Units @0.0000 | 36,000.00 | 720.00 | 0.00 | 720.00 | 75.0 | 540.00 |



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|--|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS (continued)</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u> | | | | | | | | |
| / / | ***-**-989I - WOODCOCK, | NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000 | 25,000.00 | 500.00 | 0.00 | 500.00 | 75.0 | 375.00 |
| 05/24/2018 | ***-**-06IO - MARVIN, BOBBI ACCOUNT #: LSR012683 | THE GROWTH FUND OF AMERICA-A BUY 89 Units @56.2100 | 4,999.99 | 212.50 | 18.00 | 194.50 | 75.0 | 145.88 |
| 05/25/2018 | ***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487 | THE GROWTH FUND OF AMERICA-A BUY 3 Units @55.2600 | 150.00 | 4.13 | 0.00 | 4.13 | 75.0 | 3.10 |
| 05/25/2018 | ***-**-7449 - JONES, D ACCOUNT #: 00087990221 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.8400 | 50.00 | 1.88 | 0.00 | 1.88 | 75.0 | 1.41 |
| 05/21/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340 | THE INCOME FUND OF AMERICA-A BUY 6 Units @23.9100 | 150.00 | 4.13 | 0.00 | 4.13 | 75.0 | 3.10 |
| 05/25/2018 | ***-**-7449 - JONES, D ACCOUNT #: 00087990221 | THE INCOME FUND OF AMERICA-A BUY 5 Units @24.0200 | 125.00 | 4.69 | 0.00 | 4.69 | 75.0 | 3.52 |
| 05/15/2018 | ***-**-989I - WOODCOCK, | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 34,545.40 | 690.91 | 0.00 | 690.91 | 75.0 | 518.18 |
| 05/25/2018 | ***-**-7449 - JONES, D ACCOUNT #: 00087990221 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 2 Units @48.4000 | 118.33 | 4.44 | 0.00 | 4.44 | 75.0 | 3.33 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$263,379.74 | \$5,694.71 | \$90.00 | \$5,604.71 | | \$4,203.56 |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | |
| 06/01/2018 | ***-**-25FB - DUNN, BRENDAN ACCOUNT #: 925287945 | LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000 | 59.29 | 59.29 | 0.00 | 59.29 | 75.0 | 44.47 |
| 06/01/2018 | ***-**-25FB - DUNN, BRENDAN ACCOUNT #: 925287941 | LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000 | 124.23 | 124.23 | 0.00 | 124.23 | 75.0 | 93.17 |
| 06/01/2018 | ***-**-52FB - DUNN, MICHELE ACCOUNT #: 925287955 | LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000 | 128.35 | 128.35 | 0.00 | 128.35 | 75.0 | 96.26 |
| * RIA SUBTOTAL * | | | \$311.87 | \$311.87 | \$0.00 | \$311.87 | | \$233.90 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 05/31/2018 | ***-**-108X - FOREST, NSPS ACCOUNT #: LSR010522 | FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000 | 0.00 | 0.81 | 0.00 | 0.81 | 75.0 | 0.61 |
| 05/31/2018 | ***-**-336J - GETCHELL, DIANNE ACCOUNT #: LSR011100 | FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000 | 0.00 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |



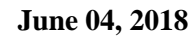
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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 05/31/2018 | ***-**-336I - GETCHELL, FBO | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 0.03 | 0.00 | 0.03 | 75.0 | 0.02 |
| | ACCOUNT #: LSR011101 | BUY 1 Units @0.0000 | | | | | | |
| 05/31/2018 | ***-**-06IO - MARVIN, BOBBI | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 0.23 | 0.00 | 0.23 | 75.0 | 0.17 |
| | ACCOUNT #: LSR012683 | BUY 1 Units @0.0000 | | | | | | |
| 05/31/2018 | ***-**-027I - DAVIS, KATHRYN | FRANKLIN INCOME FUND CLASS A | 0.00 | 1.03 | 0.00 | 1.03 | 75.0 | 0.77 |
| | ACCOUNT #: LSR010492 | BUY 1 Units @0.0000 | | | | | | |
| 05/31/2018 | ***-**-027I - DAVIS, KATHRYN | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 1.65 | 0.00 | 1.65 | 75.0 | 1.24 |
| | ACCOUNT #: LSR010492 | BUY 1 Units @0.0000 | | | | | | |
| 05/31/2018 | ***-**-027I - DAVIS, KATHRYN | FRK STRAT INC FND - CL A | 0.00 | 1.44 | 0.00 | 1.44 | 75.0 | 1.08 |
| | ACCOUNT #: LSR010492 | BUY 1 Units @0.0000 | | | | | | |
| 05/31/2018 | ***-**-027I - DAVIS, KATHRYN | MUTUAL GLOBAL DISCOVERY FUND - CLAS | 0.00 | 0.91 | 0.00 | 0.91 | 75.0 | 0.68 |
| | ACCOUNT #: LSR010492 | BUY 1 Units @0.0000 | | | | | | |
| 05/31/2018 | ***-**-027I - DAVIS, KATHRYN | TEMP GLOBAL BOND FND - CL A | 0.00 | 1.41 | 0.00 | 1.41 | 75.0 | 1.06 |
| | ACCOUNT #: LSR010492 | BUY 1 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$7.51 | \$0.00 | \$7.51 | | \$5.63 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$263,691.61 | \$6,014.09 | \$90.00 | \$5,924.09 | | \$4,443.09 |



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| BROKER COMMISSION TOTALS | | | | | | | | |
| | | | | | | Broker Transactions | | \$4,443.09 |
| | | | | | | Split Transactions | | \$0.00 |
| | | | | | | Override Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | | Prior Period Balance | | \$82.73 |
| | | | | | | FINRA Assessment | | \$0.00 |
| | | | | | | SIPC Assessment | | \$0.00 |
| | | | | | | Net Commission Earned | | \$4,525.82 |
| | | | | | | Year-to-date Earnings | | \$31,892.14 |