

## COMMISSION STATEMENT

August 31, 2018

VAN DREGGE OLMSTEAD  
10 RICHARDS DRIVEBROKER #: A01 V01  
BRANCH: FULCRUM GROUP

WILMINGTON, DE 19810

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
08/09/2018 (None)	VARIOUS, OLMSTEAD	JOHN HANCOCK FREEDOM 529 VARIOUS	B	0.00	209.37	0.00	209.37	70.0	146.56
08/20/2018 75501735	GARNETT, ALFRED	DAVIS NEW YORK VENTURE CLASS A	S	1,000.00	0.00	0.00	0.00	70.0	0.00
08/01/2018 75502039	SMITH, DAYNE	**VIRTUS MULTI ASSET TREND	S	2,800.00	0.00	0.00	0.00	70.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$3,800.00</b>	<b>\$209.37</b>	<b>\$0.00</b>	<b>\$209.37</b>		<b>\$146.56</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
08/02/2018 75501887	KAYLOR, KEVIN	APPLE INC	S	20,812.68	105.00	0.00	105.00	70.0	73.50
<b>* STOCKS SUBTOTAL *</b>				<b>\$20,812.68</b>	<b>\$105.00</b>	<b>\$0.00</b>	<b>\$105.00</b>		<b>\$73.50</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
08/01/2018 75501726	PENN, SHELDON	PUT HE 12/21/18 35	S	360.00	37.50	0.00	37.50	70.0	26.25
08/06/2018 75501726	PENN, SHELDON	CALL CTXS 12/21/18 105	B	3,069.00	78.34	0.00	78.34	70.0	54.84
08/06/2018 75501726	PENN, SHELDON	CALL SEDG 09/21/18 49	S	1,062.00	39.83	0.00	39.83	70.0	27.88
08/13/2018 75501726	PENN, SHELDON	CALL BBY 12/21/18 75	B	2,490.00	72.26	0.00	72.26	70.0	50.58
08/20/2018 75501726	PENN, SHELDON	CALL KR 01/18/19 31	B	1,350.00	50.63	0.00	50.63	70.0	35.44
08/20/2018 75501726	PENN, SHELDON	CALL M 11/16/18 36	S	840.00	37.50	0.00	37.50	70.0	26.25
08/20/2018 75501726	PENN, SHELDON	PUT WBA 10/19/18 67.50	S	318.00	37.50	0.00	37.50	70.0	26.25
08/27/2018 75501726	PENN, SHELDON	CALL UAL 12/21/18 82.50	B	2,070.00	65.93	0.00	65.93	70.0	46.15
08/29/2018 75501726	PENN, SHELDON	PUT GOV 12/21/18 17.50	S	420.00	37.50	0.00	37.50	70.0	26.25
08/29/2018 75501726	PENN, SHELDON	PUT GOV 09/21/18 17.50	B	220.00	37.50	0.00	37.50	70.0	26.25
08/30/2018 75501726	PENN, SHELDON	PUT ALNY 01/18/19 95	B	879.00	37.50	0.00	37.50	70.0	26.25
08/30/2018 75501726	PENN, SHELDON	PUT ALNY 03/15/19 110	S	2,673.00	74.21	0.00	74.21	70.0	51.95
<b>* OPTIONS SUBTOTAL *</b>				<b>\$15,751.00</b>	<b>\$606.20</b>	<b>\$0.00</b>	<b>\$606.20</b>		<b>\$424.34</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
08/03/2018 (None)	VARIOUS, OLMSTEAD	NATIONWIDE	B	0.00	41.87	0.00	41.87	70.0	29.31
08/20/2018 (None)	VARIOUS, OLMSTEAD	NATIONWIDE	B	0.00	38.92	0.00	38.92	70.0	27.24
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$80.79</b>	<b>\$0.00</b>	<b>\$80.79</b>		<b>\$56.55</b>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018	75501391 BORISH, MURIEL	FAS FEES PT. II/III	B	-561.89	186.28	0.00	186.28	70.0	130.40
07/01/2018	75501727 PENN, SHELDON	FAS FEES PT. II/III	B	-1,821.74	603.95	0.00	603.95	70.0	422.77
07/01/2018	75501733 OLMSTEAD, CHRISTYN	FAS FEES PT. II/III	B	-718.90	238.33	0.00	238.33	70.0	166.83
07/01/2018	75501772 OLMSTEAD, ELIZABETH	FAS FEES PT. II/III	B	-231.91	76.88	0.00	76.88	70.0	53.82
07/01/2018	75501773 SMITH, GLENN	FAS FEES PT. II/III	B	-1,096.04	363.36	0.00	363.36	70.0	254.35
07/01/2018	75501774 SMITH, GLENN	FAS FEES PT. II/III	B	-953.35	316.06	0.00	316.06	70.0	221.24
07/01/2018	75501778 VORMWALD, G ROBERT	FAS FEES PT. II/III	B	-2,843.35	942.63	0.00	942.63	70.0	659.84
07/01/2018	75501779 OLMSTEAD, VAN	FAS FEES PT. II/III	B	-1,084.02	359.38	0.00	359.38	70.0	251.57
07/01/2018	75501780 OLMSTEAD, VAN	FAS FEES PT. II/III	B	-494.13	163.81	0.00	163.81	70.0	114.67
07/01/2018	75501781 HALLAM, DONALD	FAS FEES PT. II/III	B	-420.36	139.36	0.00	139.36	70.0	97.55
07/01/2018	75501782 HALLAM, DONALD	FAS FEES PT. II/III	B	-339.33	112.50	0.00	112.50	70.0	78.75
07/01/2018	75501783 HALLAM, DONALD	FAS FEES PT. II/III	B	-4,230.43	1,402.48	0.00	1,402.48	70.0	981.74
07/01/2018	75501784 HALLAM, DONALD	FAS FEES PT. II/III	B	-1,403.72	465.36	0.00	465.36	70.0	325.75
07/01/2018	75501805 BARBARAS, GLEN	FAS FEES PT. II/III	B	-1,187.03	393.53	0.00	393.53	70.0	275.47
07/01/2018	75501807 WRIGHT, PAMELA	FAS FEES PT. II/III	B	-1,693.73	561.51	0.00	561.51	70.0	393.06
07/01/2018	75501808 WRIGHT, PAMELA	FAS FEES PT. II/III	B	-819.68	271.74	0.00	271.74	70.0	190.22
07/01/2018	75501817 GELLIS, HOWARD	FAS FEES PT. II/III	B	-5,120.80	1,697.66	0.00	1,697.66	70.0	1,188.36
07/01/2018	75501820 KLAHN, ROBERT	FAS FEES PT. II/III	B	-993.22	329.27	0.00	329.27	70.0	230.49
07/01/2018	75501822 KLAHN, ROBERT	FAS FEES PT. II/III	B	-1,281.91	424.98	0.00	424.98	70.0	297.49
07/01/2018	75501857 REMINGTON, THOMAS	FAS FEES PT. II/III	B	-275.68	91.39	0.00	91.39	70.0	63.97
07/01/2018	75501858 REMINGTON, THOMAS	FAS FEES PT. II/III	B	-2,773.82	919.58	0.00	919.58	70.0	643.71
07/01/2018	75501859 LOVE, MARGARET	FAS FEES PT. II/III	B	-515.96	171.05	0.00	171.05	70.0	119.74
07/01/2018	75501860 LOVE, MARGARET	FAS FEES PT. II/III	B	-1,086.88	360.32	0.00	360.32	70.0	252.22
07/01/2018	75501861 BENJAMIN, WAYNE	FAS FEES PT. II/III	B	-1,381.89	458.13	0.00	458.13	70.0	320.69
07/27/2018	75501861 BENJAMIN, WAYNE	FAS FEES PT. II/III	B	0.00	-458.13	0.00	-458.13	70.0	-320.69
07/01/2018	75501862 BENJAMIN, WAYNE	FAS FEES PT. II/III	B	-342.05	113.40	0.00	113.40	70.0	79.38
07/27/2018	75501862 BENJAMIN, WAYNE	FAS FEES PT. II/III	B	0.00	-113.40	0.00	-113.40	70.0	-79.38
07/01/2018	75501866 WELAISH, JEFFREY	FAS FEES PT. II/III	B	-1,521.70	504.48	0.00	504.48	70.0	353.14
07/01/2018	75501870 ESKENAZI, PHOEBE	FAS FEES PT. II/III	B	-775.82	257.20	0.00	257.20	70.0	180.04
07/01/2018	75501884 MARTIN, KATHY	FAS FEES PT. II/III	B	-2,013.62	667.56	0.00	667.56	70.0	467.29
07/01/2018	75501885 MARTIN, KATHY	FAS FEES PT. II/III	B	-769.76	255.19	0.00	255.19	70.0	178.63
07/01/2018	75501888 KAYLOR, KEVIN	FAS FEES PT. II/III	B	-383.15	127.02	0.00	127.02	70.0	88.91
07/01/2018	75501889 KAYLOR, KEVIN	FAS FEES PT. II/III	B	-568.13	188.35	0.00	188.35	70.0	131.85
07/01/2018	75501890 KAYLOR, KEVIN	FAS FEES PT. II/III	B	-2,523.10	836.46	0.00	836.46	70.0	585.52
07/01/2018	75501914 NATASHA RAJ AHUJA	FAS FEES PT. II/III	B	-1,284.20	425.74	0.00	425.74	70.0	298.02
07/01/2018	75501925 HOWELL, WILLIAM	FAS FEES PT. II/III	B	-266.51	88.35	0.00	88.35	70.0	61.85
07/01/2018	75501926 KILPATRICK, CHARLES	FAS FEES PT. II/III	B	-1,043.23	345.85	0.00	345.85	70.0	242.10
07/01/2018	75501946 ANYA P AHUJA 1999	FAS FEES PT. II/III	B	-1,283.63	425.55	0.00	425.55	70.0	297.89
07/01/2018	75501952 HOWELL, WILLIAM	FAS FEES PT. II/III	B	-362.08	120.04	0.00	120.04	70.0	84.03
07/01/2018	75501953 MCCRAY, ROBIN	FAS FEES PT. II/III	B	-608.52	201.74	0.00	201.74	70.0	141.22

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
07/01/2018	75501954 MCCRAY, GAIL	FAS FEES PT. II/III	B	-272.03	90.18	0.00	90.18	70.0	63.13
07/01/2018	75501960 BLUE PELICAN LLC	FAS FEES PT. II/III	B	-4,335.11	1,437.18	0.00	1,437.18	70.0	1,006.03
07/01/2018	75502088 KLAHN, ROBERT	FAS FEES PT. II/III	B	-1,114.08	369.34	0.00	369.34	70.0	258.54
<b>* RIA SUBTOTAL *</b>				<b>\$-52,796.49</b>	<b>\$16,931.64</b>	<b>\$0.00</b>	<b>\$16,931.64</b>		<b>\$11,852.20</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
08/31/2018		FEE COUNT - 86		0.00	840.15	0.00	840.15	70.0	584.44
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$840.15</b>	<b>\$0.00</b>	<b>\$840.15</b>		<b>\$584.44</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$-12,432.81</b>	<b>\$18,773.15</b>	<b>\$0.00</b>	<b>\$18,773.15</b>		<b>\$13,137.59</b>

**ADJUSTMENTS**

08/31/2018	FEE ACTIVITY: CA INDIVIDUAL REG. FE	0.00	0.00	0.00	0.00	-25.00
08/31/2018	FEE ACTIVITY: CA RA REGISTRATION FE	0.00	0.00	0.00	0.00	-25.00
08/31/2018	OTHER: AAM- 2Q 2/3	0.00	0.00	0.00	0.00	-2,746.36
08/31/2018	OTHER: AAM- 3Q 2/3	0.00	0.00	0.00	0.00	-2,727.05
08/31/2018	OTHER: EMPIRICAL- BAL PD FULL	0.00	0.00	0.00	0.00	-825.08
08/31/2018	OTHER: UNBUNDLED FEES 2/3	0.00	0.00	0.00	0.00	-2,595.76
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-8,944.25</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$13,137.59
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-8,944.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-23.47
SIPC Assessment	\$-46.93

Check Amount	\$4,122.94
Year-to-date Earnings	\$103,484.66