



# COMMISSION STATEMENT

## January 22, 2018

**SAMUEL BARBER**  
327 Church St  
Naugatuck, CT 06770

**BROKER #: B06**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/17/2018	***-**-20IO - BARBER, SAMUEL	AMPLIFY ETF TR BLOCKCHAIN LDR	1,000.00	46.50	9.49	37.01	75.0	27.76
	ACCOUNT #: LSF000307	BUY 50 Units @20.0000						
01/11/2018	***-**-20IO - BARBER, SAMUEL	BITCOIN INVT TR SHS	1,885.00	54.00	8.99	45.01	75.0	33.76
	ACCOUNT #: LSF000307	BUY 1 Units @1885.0000						
01/17/2018	***-**-20IO - BARBER, SAMUEL	BITCOIN INVT TR SHS	1,470.00	54.00	8.99	45.01	75.0	33.76
	ACCOUNT #: LSF000307	BUY 1 Units @1470.0000						
01/17/2018	***-**-20IO - BARBER, SAMUEL	GENERAL ELECTRIC CO UNSOLICITED ORD	1,049.94	47.35	9.59	37.76	75.0	28.32
	ACCOUNT #: LSF000307	BUY 60 Units @17.4990						
01/17/2018	***-**-79IO - BARBER,	GENERAL ELECTRIC CO UNSOLICITED ORD	1,041.90	47.21	16.20	31.01	75.0	23.26
	ACCOUNT #: LSR012068	BUY 60 Units @17.3650						
01/17/2018	***-**-20IO - BARBER, SAMUEL	REALITY SHS ETF TR NSD NXGN ECO ETF	965.60	45.92	9.39	36.53	75.0	27.40
	ACCOUNT #: LSF000307	BUY 40 Units @24.1400						
<b>* STOCKS SUBTOTAL *</b>			<b>\$7,412.44</b>	<b>\$294.98</b>	<b>\$62.65</b>	<b>\$232.33</b>		<b>\$174.26</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/18/2018	***-**-24FB - IMBRIALE, DONALD	MORNINGSTAR ADVISOR FEE	0.00	282.06	0.00	282.06	75.0	211.55
		BUY 1 Units @0.0000						
01/18/2018	***-**-57FB - LINDSEY, HEATHER	MORNINGSTAR ADVISOR FEE	0.00	395.54	0.00	395.54	75.0	296.66
		BUY 1 Units @0.0000						
01/18/2018	***-**-34FB - RODESCHINI,	MORNINGSTAR ADVISOR FEE	0.00	1,206.57	0.00	1,206.57	75.0	904.93
		BUY 1 Units @0.0000						
01/18/2018	***-**-04FB - WARD, DANIELLE	MORNINGSTAR ADVISOR FEE	0.00	194.65	0.00	194.65	75.0	145.99
		BUY 1 Units @0.0000						
01/18/2018	***-**-31FB - BARNARD,	MORNINGSTAR ADVISOR FEE	0.00	507.12	0.00	507.12	75.0	380.34
		BUY 1 Units @0.0000						
01/18/2018	***-**-45FB - RODESCHINI, LISA	MORNINGSTAR ADVISOR FEE	0.00	128.12	0.00	128.12	75.0	96.09
		BUY 1 Units @0.0000						
<b>* RIA SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$2,714.06</b>	<b>\$0.00</b>	<b>\$2,714.06</b>		<b>\$2,035.56</b>



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
01/19/2018	***-**-20CL - BARBER, CLIENTS	CHET 529	500.00	5.04	0.00	5.04	75.0	3.78
	ACCOUNT #: 68971123701	BUY 0 Units @0.0000						
01/19/2018	***-**-7606 - DUFFY, RYAN	CHET 529	750.00	7.52	0.00	7.52	75.0	5.64
	ACCOUNT #: 68971123703	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			<b>\$1,250.00</b>	<b>\$12.56</b>	<b>\$0.00</b>	<b>\$12.56</b>		<b>\$9.42</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/22/2018	***-**-7340 - RODESCHINI,	CLEARBRIDGE AGGRESSIVE GROWTH C	0.00	8.61	0.00	8.61	75.0	6.46
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
01/16/2018	***-**-63IO - BARBER,	FIRST EAGLE GLOBAL FUND CLASS C	0.00	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
01/16/2018	***-**-67IO - FERNANDEZ, ANA	FIRST EAGLE GLOBAL FUND CLASS C	0.00	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
01/22/2018	***-**-7340 - RODESCHINI,	FUNDAMENTAL INVESTORS-C	0.00	6.52	0.00	6.52	75.0	4.89
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
01/22/2018	***-**-71IO - ALBERTO, MICHAEL	INTERNATIONAL EMERGING MARKETS (A)	0.00	0.41	0.00	0.41	75.0	0.31
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
01/16/2018	***-**-71IO - ALBERTO, MICHAEL	IVY ASSET STRATEGY FUND CLASS A	0.00	1.37	0.00	1.37	75.0	1.03
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
01/16/2018	***-**-17IR - REAGAN, MATTHEW	IVY ASSET STRATEGY FUND CLASS A	0.00	1.58	0.00	1.58	75.0	1.19
	ACCOUNT #: LSR011947	BUY 1 Units @0.0000						
01/22/2018	***-**-2440 - RICHTER, DIANA	PRINCIPAL EQUITY INC CL C	0.00	25.43	0.00	25.43	75.0	19.07
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
01/22/2018	***-**-63IO - BARBER,	PRINCIPAL GLOBAL DIVERSIFIED INCOME	0.00	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
01/22/2018	***-**-7340 - RODESCHINI,	PRINCIPAL GLOBAL DIVERSIFIED INCOME	0.00	8.39	0.00	8.39	75.0	6.29
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
01/22/2018	***-**-2440 - RICHTER, DIANA	PRINCIPAL GLOBAL DIVERSIFIED INCOME	0.00	47.53	0.00	47.53	75.0	35.65
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
01/22/2018	***-**-17IR - REAGAN, MATTHEW	PRINCIPAL GLOBAL MULTI-STRATEGY CL	0.00	0.80	0.00	0.80	75.0	0.60
	ACCOUNT #: LSR011947	BUY 1 Units @0.0000						
01/22/2018	***-**-71IO - ALBERTO, MICHAEL	PRINCIPAL INVESTORS SAM CONSERV GRO	0.00	20.09	0.00	20.09	75.0	15.07
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/22/2018	***-**-17IR - REAGAN, MATTHEW	PRINCIPAL INVESTORS SAM STRATEGIC G	0.00	2.28	0.00	2.28	75.0	1.71
	ACCOUNT #: LSR011947	BUY 1 Units @0.0000						
01/22/2018	***-**-7340 - RODESCHINI,	THE GROWTH FUND OF AMERICA-C	0.00	18.48	0.00	18.48	75.0	13.86
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
01/22/2018	***-**-63IO - BARBER,	WESTERN ASSET CORE BOND FUND CL C	0.00	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
01/22/2018	***-**-2440 - RICHTER, DIANA	WESTERN ASSET MANAGED MUNI CL C	0.00	14.77	0.00	14.77	75.0	11.08
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$157.79</b>	<b>\$0.00</b>	<b>\$157.79</b>		<b>\$118.37</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$8,662.44</b>	<b>\$3,179.39</b>	<b>\$62.65</b>	<b>\$3,116.74</b>		<b>\$2,337.61</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$2,337.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$2,337.61</b>
<b>Year-to-date Earnings</b>	<b>\$8,184.54</b>