



Concorde Investment Services, LLC

02/14/2017
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COMMISSION STATEMENT February 15, 2017

THOMAS HALSEY
7261 W. Central Ave.

Toledo, OH 43617

BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/30/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	2.13
02/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	85.0	14.45
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.14	0.00	7.14	85.0	6.07
01/30/2017	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	2.91	0.00	2.91	85.0	2.47
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	4.09	0.00	4.09	85.0	3.48
/ /	KRAL, ADAM	FIDELITY ADV HEALTH CARE T	0.00	1.04	0.00	1.04	85.0	0.88
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	5.26	0.00	5.26	85.0	4.47
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	3.60	0.00	3.60	85.0	3.06
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	3.61	0.00	3.61	85.0	3.07
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	5.50	0.00	5.50	85.0	4.68
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	5.65	0.00	5.65	85.0	4.80
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	7.49	0.00	7.49	85.0	6.37
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	2.82	0.00	2.82	85.0	2.40
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.03	0.00	5.03	85.0	4.28
/ /	VESEY, ROBERT	FIDELITY EQUITY INCOME	0.00	3.74	0.00	3.74	85.0	3.18
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	5.97	0.00	5.97	85.0	5.07
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	5.90	0.00	5.90	85.0	5.02
/ /	KRAL, ADAM	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.04	0.00	0.04	85.0	0.03
/ /	KRAL, ADAM	FIDELITY MIDCAP T	0.00	0.90	0.00	0.90	85.0	0.77
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	13.76	0.00	13.76	85.0	11.70
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.56	0.00	6.56	85.0	5.58
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	5.17	0.00	5.17	85.0	4.39
01/31/2017	DESELMS, RAYMOND	FRANKLIN MI TAX FR INCOME A	0.00	62.92	0.00	62.92	85.0	53.48
01/31/2017	ZORNOW TRUST, BETTY	FRANKLIN MI TAX FR INCOME A	0.00	36.02	0.00	36.02	85.0	30.62
01/31/2017	DIENER, JUDITH	FRANKLIN MI TAX FR INCOME A	0.00	49.62	0.00	49.62	85.0	42.18
01/31/2017	ZORNOW TRUST, BETTY	FRANKLIN MI TAX FR INCOME A	0.00	25.32	0.00	25.32	85.0	21.52

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/31/2017	PRZBYLSKI, MICHAEL	FRANKLIN OH TAX FR INCOME FUND	0.00	15.60	0.00	15.60	85.0	13.26
01/31/2017	BUEHRER, DANIEL	FRANKLIN OH TAX FR INCOME FUND	0.00	63.68	0.00	63.68	85.0	54.13
01/31/2017	PRZYBYLSKI, JOSHUA	FRANKLIN OH TAX FR INCOME FUND	0.00	0.42	0.00	0.42	85.0	0.36
01/31/2017	BAKER, ELAINE	FRANKLIN OH TAX FR INCOME FUND	0.00	34.93	0.00	34.93	85.0	29.69
01/31/2017	MINCK, JOSEPH	FRANKLIN OH TAX FR INCOME FUND	0.00	36.19	0.00	36.19	85.0	30.76
01/31/2017	FISHER, EUGENE	FRANKLIN OH TAX FR INCOME FUND	0.00	9.48	0.00	9.48	85.0	8.06
01/31/2017	DESELMS, RAYMOND	TEMPLETON WORLD A	0.00	0.86	0.00	0.86	85.0	0.73
* MUTUAL FUNDS SUBTOTAL *			\$450.00	\$450.72	\$0.00	\$450.72		\$383.14
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	170,890.58	142.41	0.00	142.41	85.0	121.05
01/31/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	17,567.21	14.64	0.00	14.64	85.0	12.44
01/31/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	49,806.79	41.51	0.00	41.51	85.0	35.28
01/31/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	39,384.02	32.82	0.00	32.82	85.0	27.90
02/07/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
* VARIABLE ANNUITIES SUBTOTAL *			\$277,698.60	\$234.13	\$0.00	\$234.13		\$199.01
*** BROKER TRANSACTIONS TOTAL ***			\$278,148.60	\$684.85	\$0.00	\$684.85		\$582.15
<u>ADJUSTMENTS</u>								
02/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$582.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$7.15
Year-to-date Gross	\$4,859.98
Year-to-date Earnings	\$4,211.83