

COMMISSION STATEMENT

March 31, 2018

JAY SHARTSIS
54 BIRCHWOOD LANEBROKER #: 37
BRANCH: HOME OFFICE

HARTSDALE, NY, NY 10530

COMMISSION STATEMENT for JAY SHARTSIS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

02/27/2018	75501786	WRIGHTON, BRYAN		BECTON DICKINSON & CO	S	4,519.40	50.00	10.00	40.00	50.0	20.00
02/27/2018	75501786	WRIGHTON, BRYAN		CISCO SYS INC	S	2,255.13	50.00	10.00	40.00	50.0	20.00
02/27/2018	75501786	WRIGHTON, BRYAN		HP INC COM	S	2,369.26	50.00	10.00	40.00	50.0	20.00
02/27/2018	75501786	WRIGHTON, BRYAN		RAYTHEON CO	S	2,205.40	50.00	10.00	40.00	50.0	20.00
03/08/2018	QGW008872	CINQUEMANI, FRANK		KROGER CO (THE)	B	4,643.52	50.00	6.00	44.00	50.0	22.00
03/01/2018	QGW009177	-, MICHAEL KRUEGER		KRONOS WORLDWIDE INC COM	B	11,249.95	50.00	6.00	44.00	50.0	22.00
02/27/2018	QGW009292	OSTOW, MARK		AXON ENTERPRISE INC COM STOCK	S	-27,788.50	125.00	6.00	119.00	50.0	59.50
03/08/2018	QGW009367	SCHIFF MINOR, NICOLE		KROGER CO (THE)	B	4,643.52	50.00	6.00	44.00	50.0	22.00
03/08/2018	QGW009490	PARTNERSHIP, 311		KROGER CO (THE)	B	11,608.80	75.00	6.00	69.00	50.0	34.50
03/08/2018	QGW009540	CINQUEMANI, FRANK		KROGER CO (THE)	B	23,217.60	125.00	6.00	119.00	50.0	59.50
03/22/2018	QGW009540	CINQUEMANI, FRANK		GERON CORP	B	6,396.00	74.00	6.00	68.00	50.0	34.00
02/27/2018	QGW009581	PRINDLE DDS		WASHINGTON PRIME GROUP NEW COM	S	-10,000.00	125.00	6.00	119.00	50.0	59.50
03/01/2018	QGW009581	PRINDLE DDS		WASHINGTON PRIME GROUP NEW COM	S	-14,000.00	175.00	6.00	169.00	50.0	84.50
03/08/2018	QGW021420	CINQUEMANI, FRANK		KROGER CO (THE)	B	11,608.80	75.00	6.00	69.00	50.0	34.50
03/16/2018	QGW021461	BURNS, RHONDIE		DIREXION SHARES ETF TRUST DIREXION	B	8,000.00	131.65	6.00	125.65	50.0	62.83
03/16/2018	QGW029514	HUNT, GREGORY		BARCLAYS BANK PLC IPATH S&P 500 VIX	B	84,000.00	74.00	6.00	68.00	50.0	34.00
03/16/2018	QGW029514	HUNT, GREGORY		BARCLAYS BANK PLC IPATH S&P 500 VIX	S	-80,000.00	74.00	6.00	68.00	50.0	34.00
03/12/2018	QGW029662	BALLEW, DOROTHY		BERKSHIRE HATHAWAY INC DEL CL B	B	52,937.48	125.00	6.00	119.00	50.0	59.50

* STOCKS SUBTOTAL *

\$97,866.36

\$1,528.65

\$124.00

\$1,404.65

\$702.33

PRODUCT CATEGORY: OPTIONS

03/14/2018	QGW009094	KANTO, WILLIAM		CALL 100 ISHARES TR BARCLAYS 20+ YR B		2,180.00	98.50	7.00	91.50	50.0	45.75
03/27/2018	QGW009094	KANTO, WILLIAM		PUT 100 GENERAL ELECTRIC CO COM EXP	S	-3,980.00	124.00	7.00	117.00	50.0	58.50
03/28/2018	QGW009151	KOBOLD, MICHAEL		PUT 100 FACEBOOK INC CL A EXP 04-20	B	4,930.00	139.01	7.00	132.01	50.0	66.01
03/01/2018	QGW009177	-, MICHAEL KRUEGER		CALL 100 BARCLAYS BK PLC IPATH S&P	S	-270.00	50.00	7.00	43.00	50.0	21.50
03/23/2018	QGW009177	-, MICHAEL KRUEGER		CALL 100 BARCLAYS BK PLC IPATH S&P	S	-275.00	50.00	7.00	43.00	50.0	21.50
03/27/2018	QGW009177	-, MICHAEL KRUEGER		CALL 100 BARCLAYS BK PLC IPATH S&P	B	310.00	50.00	7.00	43.00	50.0	21.50
03/05/2018	QGW009540	CINQUEMANI, FRANK		CALL 100 SANGAMO BIOSCIENCES INC CO B		550.00	50.00	7.00	43.00	50.0	21.50
03/02/2018	QGW029514	HUNT, GREGORY		CALL 100 NVIDIA CORP COM EXP 03-16-	S	-1,950.00	74.09	7.00	67.09	50.0	33.55
03/02/2018	QGW029514	HUNT, GREGORY		CALL 100 NVIDIA CORP COM EXP 03-16-	B	4,804.00	104.76	7.00	97.76	50.0	48.88
03/07/2018	QGW029514	HUNT, GREGORY		CALL 100 BARCLAYS BK PLC IPATH S&P	S	-1,620.00	102.37	7.00	95.37	50.0	47.69

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/07/2018	QGW029514 HUNT, GREGORY	CALL 100 BARCLAYS BK PLC IPATH S&P B		970.00	81.48	7.00	74.48	50.0	37.24
03/07/2018	QGW029514 HUNT, GREGORY	CALL 100 WHEATON PRECIOUS METALS CO B		2,700.00	116.93	7.00	109.93	50.0	54.97
03/22/2018	QGW029514 HUNT, GREGORY	CALL 100 BARCLAYS BK PLC IPATH S&P B		340.00	50.00	7.00	43.00	50.0	21.50
* OPTIONS SUBTOTAL *				<u>\$8,689.00</u>	<u>\$1,091.14</u>	<u>\$91.00</u>	<u>\$1,000.14</u>		<u>\$500.09</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/31/2018		FEE COUNT - 2		0.00	42.45	0.00	42.45	50.0	21.23
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$42.45</u>	<u>\$0.00</u>	<u>\$42.45</u>		<u>\$21.23</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$106,555.36</u>	<u>\$2,662.24</u>	<u>\$215.00</u>	<u>\$2,447.24</u>		<u>\$1,223.65</u>
<u>ADJUSTMENTS</u>									
03/31/2018		MISCELLANEOUS: VERIZON TELEPHONE		0.00	0.00	0.00	0.00		-26.25
03/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
03/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
03/31/2018		OTHER: OTHER--SALARY		0.00	0.00	0.00	0.00		500.00
03/31/2018		OTHER: CBOE		0.00	0.00	0.00	0.00		-250.00
03/31/2018		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-96.36
03/31/2018		OTHER: QUODD		0.00	0.00	0.00	0.00		-65.88
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$33.51</u>
<u>BROKER COMMISSION TOTALS</u>									
Broker Transactions									\$1,223.65
Split Transactions									\$0.00
Override Transactions									\$0.00
Adjustments									\$33.51
Payroll Draw									\$0.00
Base Salary									\$0.00
Prior Period Balance									\$0.00
FINRA Assessment									\$-3.33
SIPC Assessment									\$-6.66
Check Amount									\$1,247.17
Year-to-date Earnings									\$4,453.76