

All Companies
STATEMENT
May 20, 2018

RICHARD KLEIN - LPCM
24 Aster Court

BROKER #: 3292
BRANCH: LIBERTY PARTNERS CAP

Commack, NY 11725-

COMMISSION STATEMENT for RICHARD KLEIN - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
/ /	#538462 TCA KLEIN VAR	LPCM AGGR 40/40/20	B	104,698.13	213.76	0.00	0.00	213.76	85.0	213.76
/ /	#538462 TCA KLEIN VAR	LPCM MOD 30/30/40	B	105,375.44	215.14	0.00	0.00	215.14	85.0	215.14
05/01/2018	#538462 TCA KLEIN VAR	LPCM AGGR 40/40/20	B	104,698.13	-52.34	0.00	0.00	-52.34	85.0	-52.34
05/01/2018	#538462 TCA KLEIN VAR	LPCM MOD 30/30/40	B	105,375.44	-52.68	0.00	0.00	-52.68	85.0	-52.68
* RIA SUBTOTAL *				<u>\$420,147.14</u>	<u>\$323.88</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$323.88</u>		<u>\$323.88</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$420,147.14</u>	<u>\$323.88</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$323.88</u>		<u>\$323.88</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$323.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$323.88
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