



COMMISSION STATEMENT

August 13, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/30/2018	***-**-87IN - HALL, J	AMCAP FUND-A	126.00	2.52	0.00	2.52	90.0	2.27
	ACCOUNT #: 00084955124	BUY 4 Units @34.5100						
07/30/2018	***-**-87IN - HALL, J	CAPITAL WORLD GROWTH AND INCOME FUN	126.00	2.52	0.00	2.52	90.0	2.27
	ACCOUNT #: 00084955124	BUY 2 Units @53.0400						
07/30/2018	***-**-87IN - HALL, J	FUNDAMENTAL INVESTORS-A	126.00	2.52	0.00	2.52	90.0	2.27
	ACCOUNT #: 00084955124	BUY 2 Units @65.9300						
07/30/2018	***-**-87IN - HALL, J	WASHINGTON MUTUAL INVESTORS FUND-A	126.00	2.52	0.00	2.52	90.0	2.27
	ACCOUNT #: 00084955124	BUY 3 Units @46.9000						
* MUTUAL FUNDS SUBTOTAL *			\$504.00	\$10.08	\$0.00	\$10.08		\$9.08
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/13/2018	***-**-308I - KEENAN, PETER	COLUMBIA THREADNEEDLE TRAILS	0.00	0.06	0.00	0.06	90.0	0.05
		BUY 0 Units @0.0000						
08/13/2018	***-**-237I - PIERSON, JEFFREY	COLUMBIA THREADNEEDLE TRAILS	0.00	11.67	0.00	11.67	90.0	10.50
		BUY 0 Units @0.0000						
08/07/2018	***-**-6114 - HARBIN GRE	FIDELITY ADV EQUITY GROWTH CL A	0.00	4.65	0.00	4.65	90.0	4.19
	ACCOUNT #: 00655706638	BUY 0 Units @0.0000						
08/07/2018	***-**-114I - HARBIN, GREGORY	FIDELITY ADV EQUITY GROWTH CL A	0.00	2.44	0.00	2.44	90.0	2.20
	ACCOUNT #: 00704750850	BUY 0 Units @0.0000						
08/07/2018	***-**-9283 - HARBIN, JOANNA	FIDELITY ADV GROWTH & INCOME CL A	0.00	6.64	0.00	6.64	90.0	5.98
	ACCOUNT #: 00504394842	BUY 0 Units @0.0000						
08/13/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS	0.00	5.99	0.00	5.99	90.0	5.39
		BUY 0 Units @0.0000						
08/13/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS	0.00	5.03	0.00	5.03	90.0	4.53
		BUY 0 Units @0.0000						
08/13/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS	0.00	6.78	0.00	6.78	90.0	6.10
		BUY 0 Units @0.0000						
08/13/2018	***-**-012I - BALBIN DO, CATHY	PRINCIPAL FUNDS TRAILS	0.00	5.87	0.00	5.87	90.0	5.28
		BUY 0 Units @0.0000						
08/13/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS	0.00	9.74	0.00	9.74	90.0	8.77
		BUY 0 Units @0.0000						
08/13/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS	0.00	4.88	0.00	4.88	90.0	4.39
		BUY 0 Units @0.0000						



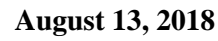
COMMISSION STATEMENT

August 13, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
08/13/2018	***-**-54IN - STOUT, LOUISE	RESOURCE REAL ESTATE TRAILS	0.00	2.47	0.00	2.47	90.0	2.22
	ACCOUNT #: 20033571	BUY 0 Units @0.0000						
08/13/2018	***-**-217I - VITALE, ESTHER	SAMMONS MF TRAILS	0.00	7.40	0.00	7.40	90.0	6.66
	ACCOUNT #: 7200011021	BUY 0 Units @0.0000						
08/13/2018	***-**-339I - COBIAN, NANCY	SAMMONS MF TRAILS	0.00	18.18	0.00	18.18	90.0	16.36
	ACCOUNT #: 7200019226	BUY 0 Units @0.0000						
08/13/2018	***-**-36IN - CLAWSON,	SAMMONS MF TRAILS	0.00	5.83	0.00	5.83	90.0	5.25
	ACCOUNT #: 7200017170	BUY 0 Units @0.0000						
08/13/2018	***-**-9117 - RAY, EILEEN	SAMMONS MF TRAILS	0.00	5.54	0.00	5.54	90.0	4.99
	ACCOUNT #: 7200037826	BUY 0 Units @0.0000						
08/13/2018	***-**-3994 - RAY, CLYDE	SAMMONS MF TRAILS	0.00	6.51	0.00	6.51	90.0	5.86
	ACCOUNT #: 7200037829	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$109.68	\$0.00	\$109.68		\$98.72
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
08/01/2018	***-**-4155 - OUELLETTE, JOYCE	GENWORTH 37250G277	0.00	38.83	0.00	38.83	90.0	34.95
	ACCOUNT #: T06422061	BUY 0 Units @0.0000						
08/13/2018	***-**-2960 - KELLEY, BRENDA	MIDLAND NATIONAL VA TRAILS	0.00	39.15	0.00	39.15	90.0	35.24
	ACCOUNT #: 7100008985	BUY 1 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$77.98	\$0.00	\$77.98		\$70.19
	*** BROKER TRANSACTIONS TOTAL ***		\$504.00	\$197.74	\$0.00	\$197.74		\$177.99



BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$177.99
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$177.99
						Year-to-date Earnings		\$34,339.69