



All Companies

03/14/2018

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COMMISSION STATEMENT

March 15, 2018

JON D. JOHNSON
6630 Dark Canyon Road

BROKER #: 9604
BRANCH: HOME OFFICE

Rapid City, SD 57702-

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/12/2018	LURZ, AMANDA M.	-AMERICAN FUNDS TRAILS	B	2.41	2.41	0.00	2.41	90.0	2.17
02/28/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	121.78	121.78	0.00	121.78	90.0	109.60
02/28/2018	PRECISION PHYS THERAPY	NATIONWIDE QUALIFIED PLAN	B	3,131.91	80.61	0.00	80.61	90.0	72.55
02/27/2018	000000000150045	BERNDT, KARSEN FRANKLIN BALANCED FUND - CLASS C	B	2,800.00	28.00	0.00	28.00	90.0	25.20
02/27/2018	000000000150058	BERNDT, KONNER FRANKLIN BALANCED FUND - CLASS C	B	4,300.00	43.00	0.00	43.00	90.0	38.70
02/27/2018	000000000511131	KRAUSCH, FRANKLIN EQUITY INCOME FD CL II	B	3,000.00	30.00	0.00	30.00	90.0	27.00
	DALLAS R.								
02/27/2018	000000000806582	KRAUSCH, FRANKLIN UTILITIES FD CL II	B	3,000.00	30.00	0.00	30.00	90.0	27.00
	DALLAS R.								
02/20/2018	000000001127897	KELSON, FRANKLIN MUTUAL FINCL SERVICES FD C	B	50.00	2.50	0.00	2.50	90.0	2.25
	MELISSA I.								
03/01/2018	000000001254933	HEIBERGER, FRK SM CAP GRWTH FND II - CL A	B	45.00	1.69	0.00	1.69	90.0	1.52
	JOHN R.								
02/27/2018	000000001267057	KRAUSCH, FRANKLIN 102 AGE HIGH INCOME FD	B	3,000.00	30.00	0.00	30.00	90.0	27.00
	DALLAS R.								
02/20/2018	000000001632482	JOHNSEN, LACEY FRK SM CAP GRWTH FND II - CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
02/27/2018	000000004549554	KRAUSCH, FRANKLIN MUTUAL DISCOVERY FD 2	B	3,000.00	30.00	0.00	30.00	90.0	27.00
	DALLAS R.								
02/20/2018	000000006924512	JOHNSEN, LACEY MUTUAL DISC FND - CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
02/20/2018	000000006951519	PARKS, JUDY MUTUAL DISC FND - CL A	B	200.00	5.60	0.00	5.60	90.0	5.04
03/01/2018	000000007332416	HEIBERGER, MUTUAL DISC FND - CL A	B	34.00	1.28	0.00	1.28	90.0	1.15
	JOHN R.								
02/20/2018	000000007699440	FOSSSEN, NAOMI FRANKLIN MUTUAL SHARES FD CL 1	B	115.00	5.75	0.00	5.75	90.0	5.18
	J.								
03/01/2018	000000008260349	HEIBERGER, TEMPLETON DEVELOPING MARKETS TRUST	B	71.00	2.66	0.00	2.66	90.0	2.39
	JOHN R.								
02/20/2018	000000008776484	FOSSSEN, NAOMI MUTUAL DISC FND - CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
	J.								
03/01/2018	000013912608568	HEIBERGER, FRANKLIN SPECIAL EQUITY INCOME FD	B	129.00	4.84	0.00	4.84	90.0	4.36
	JOHN								
02/20/2018	000015813753367	JOHNSEN, LACEY FRANKLIN RISING DIVIDEND FD CL I	B	115.00	5.75	0.00	5.75	90.0	5.18

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/01/2018	000015813926201 HEIBERGER, JOHN R.	FRANKLIN RISING DIVIDEND FD CL I	B	34.00	1.28	0.00	1.28	90.0	1.15
02/20/2018	000019818141653 FOSSEN, NAOMI J.	FRANKLIN SMALL CAP GROWTH FD CL I	B	114.00	5.70	0.00	5.70	90.0	5.13
03/01/2018	000028212458892 HEIBERGER, JOHN	FRANKLIN VALUE FD CL 1	B	129.00	4.84	0.00	4.84	90.0	4.36
02/20/2018	000028212528031 FOSSEN, NAOMI J.	FRANKLIN VALUE FD CL 1	B	115.00	5.75	0.00	5.75	90.0	5.18
02/20/2018	000028212612217 JOHNSON, LACEY M.	FRANKLIN VALUE FD CL 1	B	114.00	5.70	0.00	5.70	90.0	5.13
03/01/2018	000060177413865 HEIBERGER, JOHN	FRANKLIN GROWTH FD CL I	B	129.00	4.84	0.00	4.84	90.0	4.36
02/20/2018	000060177612639 KELSON, MELISSA I.	FRANKLIN GROWTH FD CL I	B	50.00	2.50	0.00	2.50	90.0	2.25
03/01/2018	000080192865093 HEIBERGER, JOHN R.	FRANKLIN DYNATECH FD CL I	B	34.00	1.28	0.00	1.28	90.0	1.15
02/20/2018	00035718011 PATTEN, PHILIP	IVY LARGE CAP GROWTH A	B	152.76	7.64	0.00	7.64	90.0	6.88
02/20/2018	00035718011 PATTEN, PHILIP	IVY MID CAP GROWTH A	B	152.76	7.64	0.00	7.64	90.0	6.88
02/20/2018	00035718011 PATTEN, PHILIP	IVY SCIENCE & TECH A	B	152.76	7.64	0.00	7.64	90.0	6.88
02/20/2018	00035820046 HERMAN, BARRY	IVY DIVIDEND OPP A	B	500.00	25.00	0.00	25.00	90.0	22.50
02/26/2018	00035825032 FOWLER, MARY B.	IVY ASSET STRATEGY A	B	4,000.00	180.00	0.00	180.00	90.0	162.00
02/26/2018	00035888441 MITCHELL, RANI/BRIAN	IVY BALANCED C	B	300.00	3.00	0.00	3.00	90.0	2.70
02/20/2018	00035993369 GESINGER, AUSTIN	IVY ASSET STRATEGY A	B	100.00	5.00	0.00	5.00	90.0	4.50
02/20/2018	00035993369 GESINGER, AUSTIN	IVY SCIENCE & TECH A	B	100.00	5.00	0.00	5.00	90.0	4.50
02/28/2018	00036080289 DUNN, ANGELA	IVY CORE EQUITY A	B	50.00	2.50	0.00	2.50	90.0	2.25
02/28/2018	00073331273 LEIVESTAD, DALE L.	CAPITAL WORLD GROWTH AND INCOME FUN	B	82.50	0.83	0.00	0.83	90.0	0.75
02/28/2018	00073331273 LEIVESTAD, DALE L.	CAPITAL WORLD GROWTH AND INCOME FUN	B	82.50	0.83	0.00	0.83	90.0	0.75
02/28/2018	00073331273 LEIVESTAD, DALE L.	THE GROWTH FUND OF AMERICA-C	B	85.00	0.85	0.00	0.85	90.0	0.77
02/28/2018	00073331273 LEIVESTAD, DALE L.	THE GROWTH FUND OF AMERICA-C	B	85.00	0.85	0.00	0.85	90.0	0.77
02/28/2018	00073331273 LEIVESTAD, DALE L.	WASHINGTON MUTUAL INVESTORS FUND-C	B	82.50	0.83	0.00	0.83	90.0	0.75
02/28/2018	00073331273 LEIVESTAD, DALE L.	WASHINGTON MUTUAL INVESTORS FUND-C	B	82.50	0.83	0.00	0.83	90.0	0.75
03/01/2018	00073968645 BECKER, RYAN	AMERICAN FUNDS BALANCED FD	B	100.00	3.75	0.00	3.75	90.0	3.38
02/20/2018	00073988659 KELLER, JANELLE FLOTTME	AMERICAN FUNDS INVESTMENT COMP OF A	B	75.00	2.06	0.00	2.06	90.0	1.85
02/20/2018	00073988659 KELLER, JANELLE FLOTTME	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	2.75	0.00	2.75	90.0	2.48
02/20/2018	00073988659 KELLER, JANELLE FLOTTME	AMERICAN FUNDS SMALL CAP WORLD FD	B	75.00	2.06	0.00	2.06	90.0	1.85
02/20/2018	00073990739 KELLER, JANELLE	AMERICAN FUNDS BALANCED FD	B	250.00	6.88	0.00	6.88	90.0	6.19

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
FLOTTME										
03/09/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS AMCAP FD	B	75.00	1.50	0.00	1.50	90.0	1.35
03/09/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS BD FD OF AMER FD	B	60.00	1.20	0.00	1.20	90.0	1.08
03/09/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS BD FD OF AMER FD	B	336.00	6.72	0.00	6.72	90.0	6.05
03/09/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS FUNDAMENTAL	INVES B	45.00	0.90	0.00	0.90	90.0	0.81
03/09/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS FUNDAMENTAL	INVES B	288.00	5.76	0.00	5.76	90.0	5.18
03/09/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS GROWTH FUND OF AMERI	B	144.00	2.88	0.00	2.88	90.0	2.59
03/09/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS INTERM BD FD OF AMER	B	30.00	0.60	0.00	0.60	90.0	0.54
03/09/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS INTERM BD FD OF AMER	B	144.00	2.88	0.00	2.88	90.0	2.59
03/09/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS NEW PERSPECTIVE FD	B	45.00	0.90	0.00	0.90	90.0	0.81
03/09/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS NEW PERSPECTIVE FD	B	144.00	2.88	0.00	2.88	90.0	2.59
03/09/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS SMALL CAP WORLD FD	B	45.00	0.90	0.00	0.90	90.0	0.81
03/09/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS SMALL CAP WORLD FD	B	144.00	2.88	0.00	2.88	90.0	2.59
03/09/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS AMCAP FD	B	68.42	1.37	0.00	1.37	90.0	1.23
03/09/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS AMCAP FD	B	68.42	1.37	0.00	1.37	90.0	1.23
03/09/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS BALANCED FD	B	38.01	0.76	0.00	0.76	90.0	0.68
03/09/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS BALANCED FD	B	38.01	0.76	0.00	0.76	90.0	0.68
03/09/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS INTERM BD FD OF AMER	B	22.80	0.46	0.00	0.46	90.0	0.41
03/09/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS INTERM BD FD OF AMER	B	22.80	0.46	0.00	0.46	90.0	0.41
03/09/2018	00074802242	REGAN-HAMILTON, JENNIFER	NEW WORLD FUND-A	B	22.81	0.46	0.00	0.46	90.0	0.41
03/09/2018	00074802242	REGAN-HAMILTON, JENNIFER	NEW WORLD FUND-A	B	22.81	0.46	0.00	0.46	90.0	0.41
02/20/2018	00075013619	BECK, EMILY	AMERICAN FUNDS AMCAP FD	B	76.25	2.10	0.00	2.10	90.0	1.89
03/05/2018	00075013619	BECK, EMILY	AMERICAN FUNDS AMCAP FD	B	76.25	2.10	0.00	2.10	90.0	1.89
02/20/2018	00075013619	BECK, EMILY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	76.25	2.10	0.00	2.10	90.0	1.89
03/05/2018	00075013619	BECK, EMILY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	76.25	2.10	0.00	2.10	90.0	1.89
02/20/2018	00075013619	BECK, EMILY	GLOBAL BALANCED FUND-A	B	76.25	2.10	0.00	2.10	90.0	1.89
03/05/2018	00075013619	BECK, EMILY	GLOBAL BALANCED FUND-A	B	76.25	2.10	0.00	2.10	90.0	1.89
02/20/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS FUNDAMENTAL	INVES B	76.25	2.10	0.00	2.10	90.0	1.89
03/05/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS FUNDAMENTAL	INVES B	76.25	2.10	0.00	2.10	90.0	1.89
02/20/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS GROWTH FUND OF AMERI	B	76.25	2.10	0.00	2.10	90.0	1.89
03/05/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS GROWTH FUND OF AMERI	B	76.25	2.10	0.00	2.10	90.0	1.89
02/20/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	76.25	2.10	0.00	2.10	90.0	1.89

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/05/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	76.25	2.10	0.00	2.10	90.0	1.89
03/06/2018	00081782572	LEWIS, BRENDA R.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	150.00	7.50	0.00	7.50	90.0	6.75
02/28/2018	00081964721	JOHNSON, DARIN	AMERICAN FUNDS CAPITAL WORLD GROWT	B	30.00	1.50	0.00	1.50	90.0	1.35
02/28/2018	00081964721	JOHNSON, DARIN	AMERICAN FUNDS WA MUTUAL	INVES B	20.00	1.00	0.00	1.00	90.0	0.90
02/20/2018	00082638926	YAMASHITA, MARK/EMILY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	200.00	8.50	0.00	8.50	90.0	7.65
03/01/2018	00083183068	BECKER, GLYNIS	AMERICAN FUNDS BALANCED FD	B	100.00	2.75	0.00	2.75	90.0	2.48
03/01/2018	00083271700	STEVENSON, SIERRA	AMERICAN FUNDS GROWTH FUND OF AMERI	B	200.00	10.00	0.00	10.00	90.0	9.00
03/09/2018	00084405798	JOHNSON, DARIN	AMERICAN FUNDS AMCAP FD	B	73.50	2.76	0.00	2.76	90.0	2.48
03/09/2018	00084405798	JOHNSON, DARIN	AMERICAN FUNDS NEW PERSPECTIVE FD	B	110.25	4.13	0.00	4.13	90.0	3.72
03/08/2018	00084627739	GESINGER, BLAINE	AMERICAN FUNDS BALANCED FD	B	50.00	1.00	0.00	1.00	90.0	0.90
03/08/2018	00084627739	GESINGER, BLAINE	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	1.00	0.00	1.00	90.0	0.90
02/20/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	2.00	0.00	2.00	90.0	1.80
02/20/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS CAPITAL INCOME BUILD	B	100.00	2.00	0.00	2.00	90.0	1.80
02/20/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS CAPITAL WORLD GROWT	B	100.00	2.00	0.00	2.00	90.0	1.80
02/20/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	2.00	0.00	2.00	90.0	1.80
02/20/2018	00084775331	GESINGER, BLAINE	NEW WORLD FUND-A	B	100.00	2.00	0.00	2.00	90.0	1.80
03/05/2018	00084800513	GESINGER, DEEDRA	AMERICAN FUNDS AMCAP FD	B	136.00	2.72	0.00	2.72	90.0	2.45
03/05/2018	00084800513	GESINGER, DEEDRA	AMERICAN FUNDS CAPITAL INCOME BUILD	B	135.00	2.70	0.00	2.70	90.0	2.43
03/05/2018	00084800513	GESINGER, DEEDRA	AMERICAN FUNDS CAPITAL WORLD GROWT	B	135.00	2.70	0.00	2.70	90.0	2.43
03/05/2018	00084800513	GESINGER, DEEDRA	AMERICAN FUNDS EURO PACIFIC GROWT	B	67.50	1.35	0.00	1.35	90.0	1.22
03/05/2018	00084800513	GESINGER, DEEDRA	NEW WORLD FUND-A	B	67.50	1.35	0.00	1.35	90.0	1.22
03/09/2018	00084818800	DIAMOND, KARI	AMERICAN FUNDS AMCAP FD	B	26.40	0.53	0.00	0.53	90.0	0.48
03/09/2018	00084818800	DIAMOND, KARI	AMERICAN FUNDS AMCAP FD	B	400.00	8.00	0.00	8.00	90.0	7.20
03/09/2018	00084818800	DIAMOND, KARI	AMERICAN FUNDS BD FD OF AMER FD	B	13.20	0.26	0.00	0.26	90.0	0.23
03/09/2018	00084818800	DIAMOND, KARI	AMERICAN FUNDS BD FD OF AMER FD	B	200.00	4.00	0.00	4.00	90.0	3.60
03/09/2018	00084818800	DIAMOND, KARI	NEW WORLD FUND-A	B	26.40	0.53	0.00	0.53	90.0	0.48
03/09/2018	00084818800	DIAMOND, KARI	NEW WORLD FUND-A	B	400.00	8.00	0.00	8.00	90.0	7.20
03/01/2018	00085191838	WILEN, BRETT	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
03/01/2018	00085192010	WILEN, DEBBIE L.	AMERICAN FUNDS BALANCED FD	B	50.00	2.13	0.00	2.13	90.0	1.92
03/05/2018	00085231984	HOLLAND, GREGG	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
03/05/2018	00085232020	HOLLAND, RANA	AMERICAN FUNDS BALANCED FD	B	67.00	2.51	0.00	2.51	90.0	2.26
03/05/2018	00085232020	HOLLAND, RANA	AMERICAN FUNDS EURO PACIFIC GROWT	B	66.00	2.48	0.00	2.48	90.0	2.23
03/05/2018	00085232020	HOLLAND, RANA	AMERICAN FUNDS INCOME FD OF AMERI	B	67.00	2.51	0.00	2.51	90.0	2.26
02/26/2018	00085443655	ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD	B	63.12	2.37	0.00	2.37	90.0	2.13
03/09/2018	00085443655	ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD	B	63.12	2.37	0.00	2.37	90.0	2.13
02/26/2018	00085493543	BARBER, PEGGY	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
02/26/2018	00085493586	BARBER, PEGGY	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
02/26/2018	00085574413	MAKOWESKI, MARCIA	AMERICAN FUNDS AMCAP FD	B	100.00	2.00	0.00	2.00	90.0	1.80
02/26/2018	00085574413	MAKOWESKI, MARCIA	AMERICAN FUNDS CAPITAL WORLD GROWT	B	100.00	2.00	0.00	2.00	90.0	1.80

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
02/26/2018	00085574413	MAKOWESKI, MARCIA	AMERICAN FUNDS INTERM BD FD OF AMER B	241.00	4.82	0.00	4.82	90.0	4.34
02/26/2018	00085574413	MAKOWESKI, MARCIA	GLOBAL BALANCED FUND-A	B	100.00	2.00	0.00	2.00	1.80
02/20/2018	00085574568	MAKOWESKI, PETE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	135.25	2.71	0.00	2.71	2.44
02/20/2018	00085574568	MAKOWESKI, PETE	AMERICAN FUNDS BD FD OF AMER FD	B	135.25	2.71	0.00	2.71	2.44
02/20/2018	00085574568	MAKOWESKI, PETE	AMERICAN FUNDS INCOME SER US GOVT	B	135.25	2.71	0.00	2.71	2.44
02/20/2018	00085574568	MAKOWESKI, PETE	GLOBAL BALANCED FUND-A	B	135.25	2.71	0.00	2.71	2.44
03/09/2018	00085837449	CLARK, CHERYL	AMERICAN MUTUAL FUND-C	B	750.00	7.50	0.00	7.50	6.75
03/09/2018	00085837449	CLARK, CHERYL	CAPITAL WORLD GROWTH AND INCOME FUN	B	750.00	7.50	0.00	7.50	6.75
03/09/2018	00085837449	CLARK, CHERYL	THE GROWTH FUND OF AMERICA-C	B	750.00	7.50	0.00	7.50	6.75
03/09/2018	00085837449	CLARK, CHERYL	WASHINGTON MUTUAL INVESTORS FUND-C	B	750.00	7.50	0.00	7.50	6.75
03/01/2018	00085889464	TRIBBY, TYLER M.	THE GROWTH FUND OF AMERICA-C	B	2,000.00	20.00	0.00	20.00	18.00
03/01/2018	00085928713	LECY/AUTH PER, DOUGLAS	AMERICAN FUNDS AMCAP FD	B	100.00	2.00	0.00	2.00	1.80
03/01/2018	00085928713	LECY/AUTH PER, DOUGLAS	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	2.00	0.00	2.00	1.80
02/20/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	76.39	2.86	0.00	2.86	2.57
03/05/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	76.39	2.86	0.00	2.86	2.57
02/20/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS CAPITAL WORLD GROWT	B	76.39	2.86	0.00	2.86	2.57
03/05/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS CAPITAL WORLD GROWT	B	76.39	2.86	0.00	2.86	2.57
02/20/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	76.39	2.86	0.00	2.86	2.57
03/05/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	76.39	2.86	0.00	2.86	2.57
03/09/2018	00086593760	DARROW, LINETTE	AMCAP FUND-C	B	143.45	1.43	0.00	1.43	1.29
03/09/2018	00086593760	DARROW, LINETTE	AMCAP FUND-C	B	143.45	1.43	0.00	1.43	1.29
02/20/2018	00086689358	YAMASHITA, SUZANNE	AMERICAN FUNDS WA MUTUAL	INVES B	150.00	6.38	0.00	6.38	5.74
03/01/2018	00086832083	MARCOV, ERIK	AMERICAN FUNDS CAPITAL WORLD	GROWT B	50.00	1.38	0.00	1.38	1.24
03/01/2018	00086832083	MARCOV, ERIK	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.38	0.00	1.38	1.24
02/20/2018	00086842890	BROWN, TAMI	AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	0.68
02/26/2018	00086957629	WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	31.78	1.19	0.00	1.19	1.07
03/09/2018	00086957629	WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	31.78	1.19	0.00	1.19	1.07
03/05/2018	00087056600	OUKROP, NANETTE	AMERICAN FUNDS AMCAP FD	B	55.00	1.51	0.00	1.51	1.36
03/05/2018	00087056600	OUKROP, NANETTE	AMERICAN FUNDS WA MUTUAL	INVES B	55.00	1.51	0.00	1.51	1.36
03/05/2018	00087056638	OUKROP, SHELDON	AMERICAN FUNDS GROWTH FUND OF AMERI	B	55.00	1.51	0.00	1.51	1.36
03/05/2018	00087056638	OUKROP, SHELDON	NEW WORLD FUND-A	B	55.00	1.51	0.00	1.51	1.36
02/20/2018	00087062366	KRANTZ/SHOWALTER, SANDRA L/KAMDEN	WASHINGTON MUTUAL INVESTORS FUND-52	B	50.00	2.50	0.00	2.50	2.25
02/20/2018	00087062378	SHOWALTER, DELANIE	FUNDAMENTAL INVESTORS-529A	B	50.00	2.50	0.00	2.50	2.25
02/20/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS AMCAP FD	B	55.00	2.34	0.00	2.34	2.11
03/05/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS AMCAP FD	B	55.00	2.34	0.00	2.34	2.11
02/20/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS CAPITAL WORLD	GROWT B	55.00	2.34	0.00	2.34	2.11
03/05/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS CAPITAL WORLD	GROWT B	55.00	2.34	0.00	2.34	2.11

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/20/2018	00087108999	FLOTTMEYER, BRENDA AMERICAN FUNDS GROWTH FUND OF AMERI B		55.00	2.34	0.00	2.34	90.0	2.11
03/05/2018	00087108999	FLOTTMEYER, BRENDA AMERICAN FUNDS GROWTH FUND OF AMERI B		55.00	2.34	0.00	2.34	90.0	2.11
02/20/2018	00087108999	FLOTTMEYER, BRENDA AMERICAN FUNDS WA MUTUAL INVES B		55.00	2.34	0.00	2.34	90.0	2.11
03/05/2018	00087108999	FLOTTMEYER, BRENDA AMERICAN FUNDS WA MUTUAL INVES B		55.00	2.34	0.00	2.34	90.0	2.11
02/26/2018	00087313331	HINMAN, KATHERINE GLOBAL BALANCED FUND-A	B	75.00	2.81	0.00	2.81	90.0	2.53
02/26/2018	00087313382	HINMAN, MICHAEL AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
03/01/2018	00087351491	BEITELSPACHER/AUTH AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
	PER, AMANDA								
03/01/2018	00087351561	BEITELSPACHER/AUTH AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
	PER, AMANDA								
02/28/2018	00087391906	WILSON, DONNELLE GLOBAL BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/28/2018	00087391906	WILSON, DONNELLE THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/26/2018	00087396195	DAHL, DAVID AMERICAN FUNDS FUNDAMENTAL INVES B		53.00	1.99	0.00	1.99	90.0	1.79
02/26/2018	00087396195	DAHL, DAVID AMERICAN FUNDS GROWTH FUND OF AMERI B		53.00	1.99	0.00	1.99	90.0	1.79
02/26/2018	00087396195	DAHL, DAVID AMERICAN FUNDS NEW ECONOMY FD	B	53.00	1.99	0.00	1.99	90.0	1.79
02/26/2018	00087396195	DAHL, DAVID NEW WORLD FUND-A	B	70.00	2.63	0.00	2.63	90.0	2.37
02/26/2018	00087396210	DAHL, AMANDA AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
02/26/2018	00087396210	DAHL, AMANDA AMERICAN FUNDS CAPITAL WORLD GROWT B		65.00	2.44	0.00	2.44	90.0	2.20
02/26/2018	00087396210	DAHL, AMANDA AMERICAN FUNDS SMALL CAP WORLD FD	B	64.00	2.40	0.00	2.40	90.0	2.16
02/26/2018	00087396210	DAHL, AMANDA AMERICAN FUNDS WA MUTUAL INVES B		50.00	1.88	0.00	1.88	90.0	1.69
03/01/2018	00087429551	BEITELSPACHER, AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	3.75	0.00	3.75	90.0	3.38
	AMANDA								
03/01/2018	00087537035	JUENEMAN, CORY AMERICAN FUNDS AMCAP FD	B	100.00	5.00	0.00	5.00	90.0	4.50
03/09/2018	00087574970	BANE, REBEKAH AMERICAN FUNDS FUNDAMENTAL INVES B		60.00	1.65	0.00	1.65	90.0	1.49
03/09/2018	00087574970	BANE, REBEKAH AMERICAN FUNDS FUNDAMENTAL INVES B		600.00	16.50	0.00	16.50	90.0	14.85
03/09/2018	00087574970	BANE, REBEKAH AMERICAN FUNDS GROWTH FUND OF AMERI B		60.00	1.65	0.00	1.65	90.0	1.49
03/09/2018	00087578524	BANE, DANIEL AMERICAN FUNDS NEW PERSPECTIVE FD	B	60.00	1.65	0.00	1.65	90.0	1.49
03/09/2018	00087578524	BANE, DANIEL AMERICAN FUNDS SMALL CAP WORLD FD	B	60.00	1.65	0.00	1.65	90.0	1.49
03/09/2018	00087578524	BANE, DANIEL AMERICAN FUNDS SMALL CAP WORLD FD	B	600.00	16.50	0.00	16.50	90.0	14.85
02/26/2018	00087753902	BARBER, PEGGY NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
03/01/2018	00087785552	LECY/AUTH PER, AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	2.00	0.00	2.00	90.0	1.80
	DOUG								
03/01/2018	00087785552	LECY/AUTH PER, AMERICAN FUNDS GROWTH FUND OF AMERI B		100.00	2.00	0.00	2.00	90.0	1.80
	DOUG								
02/20/2018	00087793439	ROSENBAUM, AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0	2.25
	BENJAMIN								
02/20/2018	00087793439	ROSENBAUM, AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.50	0.00	2.50	90.0	2.25
	BENJAMIN								
03/09/2018	00087795670	CLARK, MICHAEL AMCAP FUND-C	B	750.00	7.50	0.00	7.50	90.0	6.75
03/09/2018	00087795670	CLARK, MICHAEL CAPITAL INCOME BUILDER-C	B	750.00	7.50	0.00	7.50	90.0	6.75

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/09/2018	00087795670	CLARK, MICHAEL		EUROPACIFIC GROWTH FUND-C	B	750.00	7.50	0.00	7.50 90.0 6.75
03/09/2018	00087795670	CLARK, MICHAEL		THE INVESTMENT COMPANY OF AMERICA-C	B	750.00	7.50	0.00	7.50 90.0 6.75
02/23/2018	00087863239	RABELL, HANSEL		AMERICAN FUNDS AMCAP FD	B	114.58	4.30	0.00	4.30 90.0 3.87
02/23/2018	00087863239	RABELL, HANSEL		AMERICAN FUNDS INVESTMENT COMP OF A	B	114.58	4.30	0.00	4.30 90.0 3.87
02/23/2018	00087863239	RABELL, HANSEL		AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.58	4.30	0.00	4.30 90.0 3.87
02/23/2018	00087863239	RABELL, HANSEL		NEW WORLD FUND-A	B	114.58	4.30	0.00	4.30 90.0 3.87
02/23/2018	00087863278	RABELL/AUTH PER, JUANITA		AMERICAN FUNDS GROWTH FUND OF AMERI	B	83.33	3.12	0.00	3.12 90.0 2.81
02/23/2018	00087863278	RABELL/AUTH PER, JUANITA		AMERICAN FUNDS WA MUTUAL	INVEST B	83.33	3.12	0.00	3.12 90.0 2.81
02/23/2018	00087863341	RABELL, JUANITA		AMERICAN FUNDS AMERICAN MUTUAL FD	B	114.58	4.30	0.00	4.30 90.0 3.87
02/23/2018	00087863341	RABELL, JUANITA		AMERICAN FUNDS CAPITAL WORLD GROWT	B	114.58	4.30	0.00	4.30 90.0 3.87
02/23/2018	00087863341	RABELL, JUANITA		AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.58	4.30	0.00	4.30 90.0 3.87
02/23/2018	00087863341	RABELL, JUANITA		AMERICAN FUNDS INCOME FD OF AMERI	B	114.58	4.30	0.00	4.30 90.0 3.87
03/05/2018	00087898062	LAMB, LEANN/COLE		CAPITAL INCOME BUILDER-C	B	100.00	1.00	0.00	1.00 90.0 0.90
03/05/2018	00087898079	LAMB, LEANN/LUKE		THE INCOME FUND OF AMERICA-C	B	300.00	3.00	0.00	3.00 90.0 2.70
02/20/2018	00087985974	MCGINLEY, CONSTANCE		AMERICAN FUNDS EURO PACIFIC GROWT	B	112.00	2.24	0.00	2.24 90.0 2.02
02/20/2018	00087985974	MCGINLEY, CONSTANCE		AMERICAN FUNDS INCOME FD OF AMERI	B	112.00	2.24	0.00	2.24 90.0 2.02
02/20/2018	00087985974	MCGINLEY, CONSTANCE		AMERICAN FUNDS INVESTMENT COMP OF A	B	113.00	2.26	0.00	2.26 90.0 2.03
02/20/2018	00087985974	MCGINLEY, CONSTANCE		AMERICAN FUNDS NEW ECONOMY FD	B	113.00	2.26	0.00	2.26 90.0 2.03
03/02/2018	00087985987	MCGINLEY/AUTH PER, JOHN		AMERICAN FUNDS INVESTMENT COMP OF A	B	50.00	1.00	0.00	1.00 90.0 0.90
03/02/2018	00087985987	MCGINLEY/AUTH PER, JOHN		AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.00	0.00	1.00 90.0 0.90
03/02/2018	00087986056	MCGINLEY/AUTH PER, JOHN		AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.00	0.00	1.00 90.0 0.90
03/02/2018	00087986056	MCGINLEY/AUTH PER, JOHN		AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.00	0.00	1.00 90.0 0.90
02/20/2018	00087989188	MCGINLEY, JOHN		AMERICAN FUNDS AMCAP FD	B	112.00	2.24	0.00	2.24 90.0 2.02
02/20/2018	00087989188	MCGINLEY, JOHN		AMERICAN FUNDS AMERICAN MUTUAL FD	B	112.00	2.24	0.00	2.24 90.0 2.02
02/20/2018	00087989188	MCGINLEY, JOHN		AMERICAN FUNDS CAPITAL WORLD GROWT	B	113.00	2.26	0.00	2.26 90.0 2.03
02/20/2018	00087989188	MCGINLEY, JOHN		AMERICAN FUNDS SMALL CAP WORLD FD	B	113.00	2.26	0.00	2.26 90.0 2.03
02/23/2018	00088026559	BAKKE, MARC		GROWTH PORTFOLIO-A	B	20,452.03	869.21	0.00	869.21 90.0 782.29
02/26/2018	00088216102	WEISBECK, CORISSA A.		AMERICAN MUTUAL FUND-C	B	30.00	0.30	0.00	0.30 90.0 0.27
03/09/2018	00088216102	WEISBECK, CORISSA		AMERICAN MUTUAL FUND-C	B	30.00	0.30	0.00	0.30 90.0 0.27

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
A.									
02/20/2018	00088298154	ALEXANDER, MARILYN	AMERICAN FUNDS BALANCED FD	B	1,000.00	27.50	0.00	27.50	90.0 24.75
02/26/2018	00088306950	RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	37.26	0.37	0.00	0.37	90.0 0.33
03/09/2018	00088306950	RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	36.44	0.36	0.00	0.36	90.0 0.32
02/26/2018	00088306950	RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	37.26	0.37	0.00	0.37	90.0 0.33
03/09/2018	00088306950	RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	36.44	0.36	0.00	0.36	90.0 0.32
02/20/2018	00088397974	LEWIS, MICAH	AMERICAN FUNDS WA MUTUAL	INVEST B	50.00	2.50	0.00	2.50	90.0 2.25
02/20/2018	00088419853	SCHMIDT, ROSS L.	AMERICAN FUNDS BALANCED FD	B	200.00	10.00	0.00	10.00	90.0 9.00
03/09/2018	00088502628	SUTLIFF-GROSS,	AMERICAN FUNDS AMERICAN MUTUAL FD	B	150.00	7.50	0.00	7.50	90.0 6.75
SABRINA									
03/01/2018	00088586617	O'BRIEN, EMILY R.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	57.00	2.14	0.00	2.14	90.0 1.93
03/01/2018	00088586617	O'BRIEN, EMILY R.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	57.00	2.14	0.00	2.14	90.0 1.93
03/01/2018	00088586617	O'BRIEN, EMILY R.	AMERICAN FUNDS EURO PACIFIC GROWT	B	57.00	2.14	0.00	2.14	90.0 1.93
03/01/2018	00088586617	O'BRIEN, EMILY R.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	57.00	2.14	0.00	2.14	90.0 1.93
03/01/2018	00088586646	O'BRIEN, RYAN A.	AMERICAN FUNDS AMCAP FD	B	57.00	2.14	0.00	2.14	90.0 1.93
03/01/2018	00088586646	O'BRIEN, RYAN A.	AMERICAN FUNDS FUNDAMENTAL	INVEST B	57.00	2.14	0.00	2.14	90.0 1.93
03/01/2018	00088586646	O'BRIEN, RYAN A.	AMERICAN FUNDS INCOME FD OF	AMERI B	57.00	2.14	0.00	2.14	90.0 1.93
03/01/2018	00088586646	O'BRIEN, RYAN A.	NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0 1.93
02/28/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS BALANCED FD	B	62.50	1.25	0.00	1.25	90.0 1.13
02/28/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS FUNDAMENTAL	INVEST B	62.50	1.25	0.00	1.25	90.0 1.13
02/28/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS INCOME FD OF	AMERI B	62.50	1.25	0.00	1.25	90.0 1.13
02/28/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS NEW PERSPECTIVE FD	B	62.50	1.25	0.00	1.25	90.0 1.13
02/21/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS AMCAP FD	B	375.00	14.06	0.00	14.06	90.0 12.65
02/21/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	375.00	14.06	0.00	14.06	90.0 12.65
02/21/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS FUNDAMENTAL	INVEST B	375.00	14.06	0.00	14.06	90.0 12.65
02/21/2018	00088618305	LORD, TIMOTHY R.	NEW WORLD FUND-A	B	375.00	14.06	0.00	14.06	90.0 12.65
02/21/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	375.00	14.06	0.00	14.06	90.0 12.65
02/21/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	375.00	14.06	0.00	14.06	90.0 12.65
02/21/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS INCOME FD OF	AMERI B	375.00	14.06	0.00	14.06	90.0 12.65
02/21/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS INVESTMENT COMP OF A	B	375.00	14.06	0.00	14.06	90.0 12.65
03/01/2018	00088631278	FLOTTMEYER, SUSAN	AMERICAN FUNDS INVESTMENT COMP OF A	B	100.00	5.00	0.00	5.00	90.0 4.50
J.									
03/05/2018	00088631455	DYKSTRA, TYLER A.	AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0 2.25
03/05/2018	00088631490	DYKSTRA, TYLER A.	AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0 2.25
03/05/2018	00088631520	DYKSTRA, TYLER A.	AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0 2.25
03/05/2018	00088631530	DYKSTRA, AYREN K.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	2.50	0.00	2.50	90.0 2.25
03/01/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0 2.25
03/01/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0 2.25
03/01/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	2.50	0.00	2.50	90.0 2.25
03/01/2018	00088648517	BOWEN, LANA L.	NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50	90.0 2.25

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/05/2018	00088677711	CAPPS, JANE	AMERICAN FUNDS AMCAP FD	B	50.00	1.38	0.00	1.38	90.0	1.24
03/05/2018	00088677720	CAPPS, DAVID D.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.38	0.00	1.38	90.0	1.24
02/20/2018	00088761427	BROWN, PAULA A.	AMERICAN FUNDS AMCAP FD	B	200.00	10.00	0.00	10.00	90.0	9.00
03/05/2018	00088883631	LEWIS, MICAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	69.56	3.48	0.00	3.48	90.0	3.13
03/05/2018	00088883631	LEWIS, MICAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	69.56	3.48	0.00	3.48	90.0	3.13
03/09/2018	00088896833	KAUK, LARRY	AMERICAN FUNDS BALANCED FD	B	90.00	3.83	0.00	3.83	90.0	3.45
03/09/2018	00088896833	KAUK, LARRY	AMERICAN FUNDS BALANCED FD	B	300.00	12.75	0.00	12.75	90.0	11.48
03/09/2018	00088896852	KAUK, RUTH ANN	AMERICAN FUNDS WA MUTUAL	INVES B	30.00	1.28	0.00	1.28	90.0	1.15
03/09/2018	00088896852	KAUK, RUTH ANN	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
02/28/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS AMCAP FD	B	57.30	2.44	0.00	2.44	90.0	2.20
02/28/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS CAPITAL INCOME BUILD	B	57.30	2.44	0.00	2.44	90.0	2.20
02/28/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS FUNDAMENTAL	INVES B	57.30	2.44	0.00	2.44	90.0	2.20
02/28/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	57.30	2.44	0.00	2.44	90.0	2.20
03/05/2018	00089007318	WUDEL, JOSHUA J.	AMCAP FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
03/05/2018	00089007351	WUDEL, KATELYN J.	WASHINGTON MUTUAL INVESTORS FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
02/23/2018	00089032683	SAMUELSON, JOSEPH	AMCAP FUND-C	B	125.00	1.25	0.00	1.25	90.0	1.13
	P.									
02/23/2018	00089032683	SAMUELSON, JOSEPH	CAPITAL WORLD GROWTH AND INCOME FUN	B	125.00	1.25	0.00	1.25	90.0	1.13
	P.									
02/23/2018	00089032683	SAMUELSON, JOSEPH	NEW PERSPECTIVE FUND-C	B	125.00	1.25	0.00	1.25	90.0	1.13
	P.									
02/23/2018	00089032683	SAMUELSON, JOSEPH	THE INCOME FUND OF AMERICA-C	B	125.00	1.25	0.00	1.25	90.0	1.13
	P.									
02/20/2018	00089123815	KULESA, CASSIDY R.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	81.25	3.45	0.00	3.45	90.0	3.11
02/20/2018	00089123815	KULESA, CASSIDY R.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	81.25	3.45	0.00	3.45	90.0	3.11
02/20/2018	00089123815	KULESA, CASSIDY R.	AMERICAN FUNDS INVESTMENT COMP OF A	B	81.25	3.45	0.00	3.45	90.0	3.11
02/20/2018	00089123815	KULESA, CASSIDY R.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	81.25	3.45	0.00	3.45	90.0	3.11
02/26/2018	00089145111	BENGS, RONALD A.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	3.75	0.00	3.75	90.0	3.38
02/26/2018	00089145111	BENGS, RONALD A.	INTERNATIONAL GROWTH AND INCOME A	B	100.00	3.75	0.00	3.75	90.0	3.38
02/26/2018	00089179098	OLSON, TREVIS A.	AMERICAN BALANCED FUND-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
02/26/2018	00089179098	OLSON, TREVIS A.	FUNDAMENTAL INVESTORS-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
03/01/2018	00089187466	BUREMAN, KEVIN	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	2.13	0.00	2.13	90.0	1.92
03/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS AMCAP FD	B	58.00	2.18	0.00	2.18	90.0	1.96
03/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS SMALL CAP WORLD FD	B	57.00	2.14	0.00	2.14	90.0	1.93
03/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS WA MUTUAL	INVES B	57.00	2.14	0.00	2.14	90.0	1.93
03/02/2018	00089303112	MASSIE, KATEY	NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0	1.93
03/05/2018	00089408180	HAAN, LUCAS J.	AMERICAN FUNDS FUNDAMENTAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
03/05/2018	00089408180	HAAN, LUCAS J.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	4.25	0.00	4.25	90.0	3.83
03/05/2018	00089408180	HAAN, LUCAS J.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	4.25	0.00	4.25	90.0	3.83
03/05/2018	00089408180	HAAN, LUCAS J.	AMERICAN FUNDS SMALL CAP WORLD FD	B	100.00	4.25	0.00	4.25	90.0	3.83

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
03/05/2018	00089408276	HAAN, LUCAS J. AMERICAN FUNDS WA MUTUAL	INVES B	167.00	7.10	0.00	7.10	90.0	6.39
02/20/2018	00089423246	YAMASHITA, MARK M. AMERICAN FUNDS WA MUTUAL	INVES B	75.00	3.19	0.00	3.19	90.0	2.87
02/26/2018	00089436102	BOHLMANN, JACOB B. AMERICAN FUNDS BALANCED FD	B	53.79	2.02	0.00	2.02	90.0	1.82
03/09/2018	00089436102	BOHLMANN, JACOB B. AMERICAN FUNDS BALANCED FD	B	53.08	1.99	0.00	1.99	90.0	1.79
02/21/2018	00089449545	ELLINGSON, KRISTEN AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
	K.								
02/28/2018	00089449545	ELLINGSON, KRISTEN AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
	K.								
03/07/2018	00089449545	ELLINGSON, KRISTEN AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
	K.								
02/28/2018	00089449562	THOURON, JEFFREY AMERICAN FUNDS AMCAP FD	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.								
02/28/2018	00089449562	THOURON, JEFFREY AMERICAN FUNDS CAPITAL INCOME BUILD B	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.								
02/28/2018	00089449562	THOURON, JEFFREY AMERICAN FUNDS CAPITAL WORLD GROWT B	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.								
02/28/2018	00089449562	THOURON, JEFFREY AMERICAN FUNDS NEW PERSPECTIVE FD	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.								
02/20/2018	00089470922	SPITZER, BETH E. AMERICAN FUNDS FUNDAMENTAL	INVES B	50.00	1.88	0.00	1.88	90.0	1.69
02/20/2018	00089470922	SPITZER, BETH E. AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	1.88	0.00	1.88	90.0	1.69
02/26/2018	00089560352	BLAIN, SABRINA K. AMERICAN FUNDS FUNDAMENTAL	INVES B	75.00	3.75	0.00	3.75	90.0	3.38
02/26/2018	00089560352	BLAIN, SABRINA K. AMERICAN FUNDS GROWTH FUND OF AMERI B	B	75.00	3.75	0.00	3.75	90.0	3.38
02/26/2018	00089560473	BLAIN, AUSTIN W. AMERICAN FUNDS AMCAP FD	B	75.00	3.75	0.00	3.75	90.0	3.38
02/26/2018	00089560473	BLAIN, AUSTIN W. AMERICAN FUNDS AMERICAN MUTUAL FD	B	75.00	3.75	0.00	3.75	90.0	3.38
02/20/2018	00089679500	KULESA, ADAM M. AMCAP FUND-C	B	109.00	1.09	0.00	1.09	90.0	0.98
02/20/2018	00089679500	KULESA, ADAM M. CAPITAL WORLD GROWTH AND INCOME FUN B	B	108.00	1.08	0.00	1.08	90.0	0.97
02/20/2018	00089679500	KULESA, ADAM M. WASHINGTON MUTUAL INVESTORS FUND-C	B	108.00	1.08	0.00	1.08	90.0	0.97
03/01/2018	00089822720	SIGMAN, KARINA AMERICAN FUNDS AMCAP FD	B	125.00	6.25	0.00	6.25	90.0	5.63
03/01/2018	00089822720	SIGMAN, KARINA AMERICAN FUNDS AMERICAN MUTUAL FD	B	125.00	6.25	0.00	6.25	90.0	5.63
03/01/2018	00089822751	SIGMAN, JOSHUA AMERICAN FUNDS GROWTH FUND OF AMERI B	B	125.00	6.25	0.00	6.25	90.0	5.63
03/01/2018	00089822751	SIGMAN, JOSHUA AMERICAN FUNDS WA MUTUAL	INVES B	125.00	6.25	0.00	6.25	90.0	5.63
03/05/2018	00089840115	ATKINS, KEVIN AMERICAN FUNDS CAPITAL INCOME BUILD B	B	50.00	1.88	0.00	1.88	90.0	1.69
03/05/2018	00089840115	ATKINS, KEVIN AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.88	0.00	1.88	90.0	1.69
03/05/2018	00089896690	HAAN, MARY AMERICAN FUNDS AMCAP FD	B	100.00	4.25	0.00	4.25	90.0	3.83
03/05/2018	00089896690	HAAN, MARY AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	4.25	0.00	4.25	90.0	3.83
03/05/2018	00089896690	HAAN, MARY AMERICAN FUNDS CAPITAL INCOME BUILD B	B	100.00	4.25	0.00	4.25	90.0	3.83
03/05/2018	00089896690	HAAN, MARY AMERICAN FUNDS EURO PACIFIC GROWT B	B	100.00	4.25	0.00	4.25	90.0	3.83
03/02/2018	00089903044	GUENZI, CAROL JO AMERICAN FUNDS BALANCED FD	B	1,000.00	50.00	0.00	50.00	90.0	45.00
03/02/2018	00089944246	VAN DYKE, SCOTT AMERICAN FUNDS 2025 TARGET DATE-A	B	1,000.00	50.00	0.00	50.00	90.0	45.00
03/07/2018	00927850848	SCHAUER, AMIE AMERICAN FUNDS AMCAP FD	B	25.00	0.69	0.00	0.69	90.0	0.62

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/07/2018	00927850850	MG TRUST, MG		AMERICAN FUNDS AMCAP FD	B	35.00	1.75	0.00	1.75 90.0 1.58
03/07/2018	00927850853	MG TRUST, MG		AMERICAN FUNDS AMCAP FD	B	28.75	0.79	0.00	0.79 90.0 0.71
03/07/2018	00930305469	SCHAUER, AMIE		AMERICAN FUNDS AMERICAN MUTUAL FD	B	25.00	1.25	0.00	1.25 90.0 1.13
03/07/2018	00938506074	SCHAUER, AMIE		AMERICAN FUNDS INCOME FD OF AMERI	B	25.00	1.25	0.00	1.25 90.0 1.13
03/07/2018	00940999894	SCHAUER, AMIE		AMERICAN FUNDS NEW PERSPECTIVE FD	B	25.00	0.69	0.00	0.69 90.0 0.62
03/07/2018	00950644891	MG TRUST, MG		AMERICAN FUNDS BALANCED FD	B	28.75	0.79	0.00	0.79 90.0 0.71
03/07/2018	00955344971	MG TRUST, MG		AMERICAN FUNDS NEW ECONOMY FD	B	35.00	1.75	0.00	1.75 90.0 1.58
03/07/2018	00955361781	MG TRUST, MG		AMERICAN FUNDS NEW ECONOMY FD	B	28.75	0.79	0.00	0.79 90.0 0.71
03/07/2018	00978061831	MG TRUST, MG		AMERICAN FUNDS CAPITAL WORLD GROWT	B	30.00	1.50	0.00	1.50 90.0 1.35
03/07/2018	00978061834	MG TRUST, MG		AMERICAN FUNDS CAPITAL WORLD GROWT	B	28.75	0.79	0.00	0.79 90.0 0.71
02/20/2018	01000170691	WRIGHT, EDWARD		AIM DEVELOPING MARKETS FUND - CLASS	B	37.50	0.38	0.00	0.38 90.0 0.34
02/20/2018	01000170691	WRIGHT, EDWARD		AIM GLOBAL AGGRESSIVE GROWTH FD CL	B	37.50	0.38	0.00	0.38 90.0 0.34
02/20/2018	01000170691	WRIGHT, EDWARD		AIM UTILITIES FUND - CLASS C	B	37.50	0.38	0.00	0.38 90.0 0.34
02/20/2018	01000170691	WRIGHT, EDWARD		IVK AMERICAN VALUE FUND - CLASS C	B	37.50	0.38	0.00	0.38 90.0 0.34
02/20/2018	0100094370	ROLAND, DAVID R.		OPPENHEIMER MAIN ST SMALL CAP FUND	B	271.00	5.42	0.00	5.42 90.0 4.88
03/01/2018	01001178157	DOBBS, ROBERT		AIM 81 GLOBAL AGGRESSIVE GROWTH FD	B	112.50	3.38	0.00	3.38 90.0 3.04
03/01/2018	01001178157	DOBBS, ROBERT		AIM ENERGY FUND - CLASS A	B	112.50	3.38	0.00	3.38 90.0 3.04
03/01/2018	01001178157	DOBBS, ROBERT		IVK AMERICAN FRANCHISE FUND - CLASS	B	112.50	3.38	0.00	3.38 90.0 3.04
02/26/2018	01001213096	GRIENCEWIC, LANA		IVK AMERICAN FRANCHISE FUND - CLASS	B	458.00	13.74	0.00	13.74 90.0 12.37
03/01/2018	01001328204	DOBBS, ROBERT T.		AIM UTILITIES FUND - CLASS A	B	112.50	3.38	0.00	3.38 90.0 3.04
02/28/2018	01001349524	WOOD, REMINGTON W.		AIM DIVERSIFIED DIVIDEND FUND - CL	B	50.00	2.50	0.00	2.50 90.0 2.25
02/28/2018	01001349524	WOOD, REMINGTON W.		AIM EUROPEAN DEVELOPMENT FD CL A	B	50.00	2.50	0.00	2.50 90.0 2.25
02/28/2018	01001349524	WOOD, REMINGTON W.		INVESCO ENDEAVOR FUND - CLASS A	B	50.00	2.50	0.00	2.50 90.0 2.25
02/28/2018	01001349524	WOOD, REMINGTON W.		IVK SMALL CAP GROWTH FUND - CLASS A	B	50.00	2.50	0.00	2.50 90.0 2.25
02/21/2018	01001362660	VAN ASMA, STEPHANIE R.		AIM ASIAN GROWTH FD CL A	B	114.00	5.70	0.00	5.70 90.0 5.13
02/21/2018	01001362660	VAN ASMA, STEPHANIE R.		AIM DIVERSIFIED DIVIDEND FUND - CL	B	115.00	5.75	0.00	5.75 90.0 5.18
02/21/2018	01001362660	VAN ASMA, STEPHANIE R.		IVK MID CAP GROWTH FUND - CLASS A	B	115.00	5.75	0.00	5.75 90.0 5.18
02/21/2018	01001362660	VAN ASMA, STEPHANIE R.		IVK SMALL CAP GROWTH FUND - CLASS A	B	114.00	5.70	0.00	5.70 90.0 5.13
02/21/2018	01001362691	VAN ASMA, NICHOLAS A.		AIM EUROPEAN DEVELOPMENT FD CL A	B	114.00	5.70	0.00	5.70 90.0 5.13
02/21/2018	01001362691	VAN ASMA, NICHOLAS A.		AIM MID CAP GROWTH FD CL A	B	115.00	5.75	0.00	5.75 90.0 5.18
02/21/2018	01001362691	VAN ASMA, NICHOLAS A.		AIM SMALL CAP EQUITY FUND - CLASS A	B	114.00	5.70	0.00	5.70 90.0 5.13
02/21/2018	01001362691	VAN ASMA, NICHOLAS A.		AIM UTILITIES FUND - CLASS A	B	115.00	5.75	0.00	5.75 90.0 5.18

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/28/2018	01001373221 HARRIS, ALAN/KATHLEEN	AIM ASIAN GROWTH FD CL C	B	75.00	0.75	0.00	0.75	90.0	0.68
02/28/2018	01001373221 HARRIS, ALAN/KATHLEEN	INVESCO DIVERSIFIED DIVIDEND FD -CL B		75.00	0.75	0.00	0.75	90.0	0.68
02/28/2018	01001373221 HARRIS, ALAN/KATHLEEN	INVESCO MULTI-ASSET INFLATION FUND- B		75.00	0.75	0.00	0.75	90.0	0.68
02/28/2018	01001373221 HARRIS, ALAN/KATHLEEN	IVK MID CAP GROWTH FUND - CLASS C	B	75.00	0.75	0.00	0.75	90.0	0.68
03/01/2018	01001380933 VAN ASMA, STEVEN K.	AIM ASIAN GROWTH FD CL C	B	114.50	1.15	0.00	1.15	90.0	1.04
03/01/2018	01001380933 VAN ASMA, STEVEN K.	INVESCO DIVERSIFIED DIVIDEND FD -CL B		114.50	1.15	0.00	1.15	90.0	1.04
03/01/2018	01001380933 VAN ASMA, STEVEN K.	INVESCO SMALL CAP DISCOVERY FUND-CL B		114.50	1.15	0.00	1.15	90.0	1.04
03/01/2018	01001380933 VAN ASMA, STEVEN K.	IVK AMERICAN VALUE FUND - CLASS C	B	114.50	1.15	0.00	1.15	90.0	1.04
02/21/2018	01001381289 VAN ASMA, NICHOLAS A.	INVESCO S&P 500 INDEX FUND - CLASS B		250.00	2.50	0.00	2.50	90.0	2.25
02/21/2018	01001381289 VAN ASMA, NICHOLAS A.	INVESCO S&P 500 INDEX FUND - CLASS B		250.00	2.50	0.00	2.50	90.0	2.25
03/07/2018	01334056911 DUTTON, MARCIA A	AMERICAN FUNDS 2015 TARGET DATE-A	B	75.00	3.75	0.00	3.75	90.0	3.38
02/26/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADV DYNAMIC STRATEGIES-CL	B	100.00	3.75	0.00	3.75	90.0	3.38
02/26/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADV STRATEGIC DIV & INCOME	B	100.00	3.75	0.00	3.75	90.0	3.38
02/26/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADVISORS EQUITY GRWTH FD C	B	100.00	3.75	0.00	3.75	90.0	3.38
02/26/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADVISORS TECHNOLOGY FD CL	B	100.00	3.75	0.00	3.75	90.0	3.38
02/20/2018	03809167046 WRIGHT, SANDRA	AIM DIVERSIFIED DIVIDEND FUND - CL	B	25.00	0.75	0.00	0.75	90.0	0.68
02/20/2018	03809167046 WRIGHT, SANDRA	AIM GLOBAL REAL ESTATE FUND - CLASS	B	25.00	0.25	0.00	0.25	90.0	0.23
02/20/2018	03809167046 WRIGHT, SANDRA	AIM UTILITIES FUND - CLASS C	B	25.00	0.25	0.00	0.25	90.0	0.23
02/20/2018	03809167046 WRIGHT, SANDRA	IVK AMERICAN FRANCHISE FUND - CLASS	B	25.00	0.25	0.00	0.25	90.0	0.23
02/20/2018	04000083040 RADKE, MICHELLE L.	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
02/20/2018	04000083120 RADKE, MICHELLE L.	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
03/01/2018	04000092124 CAMMACK, RYAN L.	THE GROWTH FUND OF AMERICA-529A	B	400.00	20.00	0.00	20.00	90.0	18.00
03/01/2018	04000092197 CAMMACK, RYAN L.	AMCAP FUND-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
02/20/2018	04000100624 BUREMAN, JANA	AMERICAN FUNDS INVESTMENT COMP OF A	B	100.00	5.00	0.00	5.00	90.0	4.50
03/01/2018	04000100852 O'BRIEN, RYAN A.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	166.00	8.30	0.00	8.30	90.0	7.47
03/05/2018	04000120125 SCHAEFFER, STACEY J.	THE GROWTH FUND OF AMERICA-529C	B	167.00	1.67	0.00	1.67	90.0	1.50
03/05/2018	04000128174 YOUNG-JOHNSON, TAMMY	AMERICAN FUNDS AMCAP FD	B	100.00	5.00	0.00	5.00	90.0	4.50
03/01/2018	04000135598 JESSEN, ALEX	AMCAP FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/01/2018	04000135598 JESSEN, ALEX	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/09/2018	04000162783 KAUK, TIMOTHY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	132.00	5.61	0.00	5.61	90.0	5.05
03/09/2018	04000162783 KAUK, TIMOTHY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	132.00	5.61	0.00	5.61	90.0	5.05
03/09/2018	04000166653 PALMER, ANTHONY	AMERICAN FUNDS 2020 TARGET DATE-C	B	49.00	0.49	0.00	0.49	90.0	0.44
03/09/2018	04000166653 PALMER, ANTHONY	AMERICAN FUNDS 2020 TARGET DATE-C	B	60.00	0.60	0.00	0.60	90.0	0.54
03/01/2018	04000182059 MARCOV, ERIK	AMERICAN FUNDS AMCAP FD	B	125.00	3.44	0.00	3.44	90.0	3.10
03/01/2018	04000182059 MARCOV, ERIK	AMERICAN FUNDS EURO PACIFIC GROWT	B	125.00	3.44	0.00	3.44	90.0	3.10
03/01/2018	04000182059 MARCOV, ERIK	AMERICAN FUNDS NEW PERSPECTIVE FD	B	125.00	3.44	0.00	3.44	90.0	3.10
03/01/2018	04000182059 MARCOV, ERIK	AMERICAN FUNDS WA MUTUAL INVES	B	125.00	3.44	0.00	3.44	90.0	3.10
02/23/2018	04000211973 SIMBURGER, SHANDA J.	FUNDAMENTAL INVESTORS-C	B	114.58	1.15	0.00	1.15	90.0	1.04
02/23/2018	04000211973 SIMBURGER, SHANDA J.	NEW PERSPECTIVE FUND-C	B	114.58	1.15	0.00	1.15	90.0	1.04
02/23/2018	04000211973 SIMBURGER, SHANDA J.	NEW WORLD FUND-C	B	114.58	1.15	0.00	1.15	90.0	1.04
02/23/2018	04000211973 SIMBURGER, SHANDA J.	THE GROWTH FUND OF AMERICA-C	B	114.58	1.15	0.00	1.15	90.0	1.04
02/20/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI	B	95.42	4.77	0.00	4.77	90.0	4.29
02/22/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI	B	5.94	0.30	0.00	0.30	90.0	0.27
02/27/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI	B	8.10	0.41	0.00	0.41	90.0	0.37
03/02/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI	B	25.86	1.29	0.00	1.29	90.0	1.16
02/20/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A	B	95.43	4.77	0.00	4.77	90.0	4.29
02/22/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A	B	5.94	0.30	0.00	0.30	90.0	0.27
02/27/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A	B	8.10	0.41	0.00	0.41	90.0	0.37
03/02/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A	B	25.86	1.29	0.00	1.29	90.0	1.16
02/20/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD	B	98.32	4.92	0.00	4.92	90.0	4.43
02/22/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD	B	6.12	0.31	0.00	0.31	90.0	0.28
02/27/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD	B	8.35	0.42	0.00	0.42	90.0	0.38
03/02/2018	04000219045 VEAZEY,	AMERICAN FUNDS NEW PERSPECTIVE FD	B	26.65	1.33	0.00	1.33	90.0	1.20

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
CHRISTOPHER									
03/08/2018	04000226966 KUNTZ, DWIGHT	AMERICAN BALANCED FUND-C	B	1,500.00	15.00	0.00	15.00	90.0	13.50
03/08/2018	04000226966 KUNTZ, DWIGHT	AMERICAN BALANCED FUND-C	B	5,000.00	50.00	0.00	50.00	90.0	45.00
03/08/2018	04000235269 KUNTZ, MARGARET A.	AMERICAN FUNDS 2020 TARGET DATE-C	B	630.00	6.30	0.00	6.30	90.0	5.67
02/26/2018	04000253117 SAMUELSON, JOSEPH	AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
P.									
02/21/2018	04000486090 CRILE, JODI	GROWTH PORTFOLIO-A	B	458.00	22.90	0.00	22.90	90.0	20.61
02/21/2018	04000489063 CRILE, JOHN M.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	114.50	5.73	0.00	5.73	90.0	5.16
02/21/2018	04000489063 CRILE, JOHN M.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.50	5.73	0.00	5.73	90.0	5.16
02/21/2018	04000489063 CRILE, JOHN M.	AMERICAN FUNDS SMALL CAP WORLD FD	B	114.50	5.73	0.00	5.73	90.0	5.16
02/21/2018	04000489063 CRILE, JOHN M.	NEW WORLD FUND-A	B	114.50	5.73	0.00	5.73	90.0	5.16
03/01/2018	04000537184 HENRY, MAYLEN N.	AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/01/2018	04000537195 HENRY, COHEN E.	AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/05/2018	04000557891 BAKKE, MARC	AMERICAN FUNDS AMCAP FD	B	114.50	5.73	0.00	5.73	90.0	5.16
03/05/2018	04000557891 BAKKE, MARC	AMERICAN FUNDS AMERICAN MUTUAL FD	B	114.50	5.73	0.00	5.73	90.0	5.16
03/05/2018	04000557891 BAKKE, MARC	AMERICAN FUNDS CAPITAL WORLD GROWT	B	114.50	5.73	0.00	5.73	90.0	5.16
03/05/2018	04000557891 BAKKE, MARC	AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.50	5.73	0.00	5.73	90.0	5.16
03/05/2018	04000558446 BAKKE, MARC	AMERICAN FUNDS GROWTH FUND OF AMERI	B	250.00	12.50	0.00	12.50	90.0	11.25
02/28/2018	04000590000 POND, CAMERON L.	AMERICAN FUNDS FUNDAMENTAL INVES	B	80.00	4.00	0.00	4.00	90.0	3.60
02/28/2018	04000590000 POND, CAMERON L.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	80.00	4.00	0.00	4.00	90.0	3.60
03/05/2018	04000744496 DYKSTRA, TYLER A.	AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0	2.25
03/05/2018	04000836939 PUTZIER, REBECCA	GROWTH AND INCOME PORTFOLIO-A	B	2,800.00	119.00	0.00	119.00	90.0	107.10
03/09/2018	04000846751 WALLACE, KYRA	AMERICAN BALANCED FUND-C	B	15,000.00	150.00	0.00	150.00	90.0	135.00
02/26/2018	08000006896 KENDALL, DOUGLAS	PRINCIPAL INVESTORS SAM BALANCED (A	B	106.71	5.07	0.00	5.07	90.0	4.56
02/20/2018	2155239124 ROLAND `, ANGELA B.	OPPENHEIMER GLOBAL INCOME & GROWTH	B	114.50	2.29	0.00	2.29	90.0	2.06
02/28/2018	2252419461 JOHNSON, TINA	OPPENHEIMER QUEST VALUE FD	CL A B	166.67	6.67	0.00	6.67	90.0	6.00
02/20/2018	2514869381 HURD, JASON D.	OPPENHEIMER QUEST SMALL CAP	VALUE B	50.00	2.38	0.00	2.38	90.0	2.14
02/20/2018	2514915922 ROLAND `, ANGELA B.	OPPENHEIMER QUEST SMALL CAP	VALUE B	114.50	2.29	0.00	2.29	90.0	2.06
02/20/2018	3208894842 ROLAND, DAVID R.	OPPENHEIMER CAPITAL APPREC FD	CL A B	114.50	2.29	0.00	2.29	90.0	2.06
02/28/2018	5004750427 JOHNSON, TINA	OPPENHEIMER DISCOVERY FD	CL A B	166.67	6.67	0.00	6.67	90.0	6.00
02/16/2018	5550848155 WRIGHT, EDWARD	PORTFOLIO SERIES: EQUITY INVESTOR F	B	151.87	7.21	0.00	7.21	90.0	6.49
02/20/2018	5901188814 ROLAND, DAVID R.	REAL ESTATE FUND A	B	114.50	2.29	0.00	2.29	90.0	2.06
02/20/2018	7005978745 ROLAND `, ANGELA B.	OPPENHEIMER MAIN STREET INCOME & GR	B	114.50	2.29	0.00	2.29	90.0	2.06
02/20/2018	7007956861 HURD, JASON D.	OPPENHEIMER MAIN STREET INCOME & GR	B	50.00	2.38	0.00	2.38	90.0	2.14
02/21/2018	7021921711 SMITH, ELLA J.	OPPENHEIMER MAIN STREET INCOME& GRO	B	300.00	3.00	0.00	3.00	90.0	2.70
02/20/2018	7210873719 HURD, JASON D.	SMALL- & MID-CAP GROWTH FUND A	B	50.00	2.38	0.00	2.38	90.0	2.14
02/20/2018	7210955361 ROLAND, DAVID R.	SMALL- & MID-CAP GROWTH FUND A	B	114.50	2.29	0.00	2.29	90.0	2.06
02/20/2018	7315596613 ROLAND, DAVID R.	MAIN STREET OPPORTUNITY FUND A	B	271.00	5.42	0.00	5.42	90.0	4.88
02/28/2018	7860088551 JOHNSON, TINA	OPPENHEIMER DEVELOPING MKT FD	CL A B	166.67	6.67	0.00	6.67	90.0	6.00
02/20/2018	8259515891 ROLAND, DAVID R.	OPPENHEIMER INTL GROWTH FD	CL A B	271.00	5.42	0.00	5.42	90.0	4.88

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/20/2018	8259592627 HURD, JASON D.	OPPENHEIMER INTL GROWTH FD CL A	B	50.00	2.38	0.00	2.38	90.0	2.14
02/20/2018	8353137442 ROLAND, DAVID R.	OPPENHEIMER QUEST CAPITAL VALUE B		271.00	5.42	0.00	5.42	90.0	4.88
02/20/2018	8477487359 ROLAND `, ANGELA B.	MAIN STREET SMALL CAP FUND A	B	114.50	2.29	0.00	2.29	90.0	2.06
02/28/2018	8805969062 JOHNSON, TINA	OPPENHEIMER INTL BD FD CL A	B	166.65	6.25	0.00	6.25	90.0	5.63
* MUTUAL FUNDS SUBTOTAL *				\$125,972.32	\$3,402.47	\$0.00	\$3,402.47		\$3,062.63
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
02/28/2018	TRAILS	-JACKSON NATIONAL	B	21.10	21.10	0.00	21.10	90.0	18.99
03/12/2018	TRAILS	-JOHN HANCOCK	B	69.35	69.35	0.00	69.35	90.0	62.42
03/05/2018	STACY, KELLY A.	-NATIONWIDE LIFE	B	125.00	3.75	0.00	3.75	90.0	3.38
03/01/2018	TRAILS	VOYA INSURANCE AND ANNUITY	B	119.68	119.68	0.00	119.68	90.0	107.71
* VARIABLE ANNUITIES SUBTOTAL *				\$335.13	\$213.88	\$0.00	\$213.88		\$192.50
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>									
02/17/2018	PATTEN, PHILLIP D.	NATIONWIDE LIFE	B	95.96	0.96	0.00	0.96	90.0	0.86
* LIFE INSURANCE SUBTOTAL *				\$95.96	\$0.96	\$0.00	\$0.96		\$0.86
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/15/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	15.53	0.00	15.53	90.0	13.97
03/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	14,976.59	0.00	14,976.59	90.0	13,479.68
03/15/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	7.14	0.00	7.14	90.0	6.43
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$14,999.26	\$0.00	\$14,999.26		\$13,500.08
*** BROKER TRANSACTIONS TOTAL ***				\$126,403.41	\$18,616.57	\$0.00	\$18,616.57		\$16,756.07
<u>VERRIDE TRANSACTIONS</u>									
<u>BROKER: KELSEY MCDANIEL #9660</u>									
02/26/2018	00035709393 DYER, ROBBIE	IVY BALANCED A	B	100.00	5.00	0.00	5.00		1.00
03/01/2018	00067038919 MINOR, BRUCE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	10.46	0.00	10.46		2.09
03/01/2018	00067038919 MINOR, BRUCE	AMERICAN FUNDS FUNDAMENTAL INVES	B	0.00	10.53	0.00	10.53		2.11
02/20/2018	00067038919 MINOR, BRUCE	AMERICAN FUNDS INVESTMENT COMP OF A	B	155.00	4.26	0.00	4.26		0.85
02/20/2018	00067038919 MINOR, BRUCE	AMERICAN FUNDS INVESTMENT COMP OF A	B	93.00	2.56	0.00	2.56		0.51
03/01/2018	00067038919 MINOR, BRUCE	AMERICAN FUNDS INVESTMENT COMP OF A	B	0.00	11.19	0.00	11.19		2.24
03/01/2018	00067038919 MINOR, BRUCE	NEW WORLD FUND-A	B	0.00	10.39	0.00	10.39		2.08
03/01/2018	00075009261 PER, MICHAEL	AMERICAN FUNDS AMCAP FD	B	0.00	1.11	0.00	1.11		0.22
03/01/2018	00075009261 PER, MICHAEL	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	1.76	0.00	1.76		0.35
03/01/2018	00075009261 PER, MICHAEL	AMERICAN FUNDS BALANCED FD	B	0.00	2.91	0.00	2.91		0.58
03/01/2018	00075009261 PER, MICHAEL	AMERICAN FUNDS CAPITAL WORLD GROWT	B	0.00	1.00	0.00	1.00		0.20
03/01/2018	00082632644 KNOWLTON, CODY W.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	0.00	3.25	0.00	3.25		0.65
03/01/2018	00082632644 KNOWLTON, CODY W.	AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	3.73	0.00	3.73		0.75

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: KELSEY MCDANIEL #9660</u>									
03/01/2018	00082632644	KNOWLTON, CODY W.	AMERICAN FUNDS INVESTMENT COMP OF A B	0.00	4.16	0.00	4.16		0.83
03/01/2018	00083752072	FIESTER, JONATHAN	AMERICAN FUNDS CAPITAL WORLD GROWT B	0.00	0.16	0.00	0.16		0.03
		D.							
03/01/2018	00083752072	FIESTER, JONATHAN	AMERICAN FUNDS FUNDAMENTAL INVES B	0.00	0.21	0.00	0.21		0.04
		D.							
03/01/2018	00083752072	FIESTER, JONATHAN	AMERICAN FUNDS SMALL CAP WORLD FD B	0.00	0.06	0.00	0.06		0.01
		D.							
03/01/2018	00083752072	FIESTER, JONATHAN	AMERICAN FUNDS WA MUTUAL INVES B	0.00	0.18	0.00	0.18		0.04
		D.							
03/01/2018	00083817980	BROWN, LAURIE	AMERICAN BALANCED FUND-C B	0.00	9.71	0.00	9.71		1.94
03/01/2018	00083817980	BROWN, LAURIE	AMERICAN FUNDS AMERICAN MUTUAL FD B	0.00	0.83	0.00	0.83		0.17
03/01/2018	00083817980	BROWN, LAURIE	AMERICAN FUNDS BALANCED FD B	0.00	2.88	0.00	2.88		0.58
03/01/2018	00083817980	BROWN, LAURIE	AMERICAN FUNDS CAPITAL WORLD GROWT B	0.00	0.57	0.00	0.57		0.11
03/01/2018	00083817980	BROWN, LAURIE	AMERICAN FUNDS GROWTH FUND OF AMERI B	0.00	0.73	0.00	0.73		0.15
03/01/2018	00083817980	BROWN, LAURIE	GLOBAL BALANCED FUND-C B	0.00	8.54	0.00	8.54		1.71
03/01/2018	00083817980	BROWN, LAURIE	INTERNATIONAL GROWTH AND INCOME A B	0.00	0.50	0.00	0.50		0.10
03/01/2018	00085047843	WOODS, JEFFREY	AMERICAN FUNDS CAPITAL WORLD GROWT B	0.00	7.52	0.00	7.52		1.50
03/01/2018	00085047843	WOODS, JEFFREY	AMERICAN FUNDS GROWTH FUND OF AMERI B	0.00	18.29	0.00	18.29		3.66
03/01/2018	00085122821	TAGGART, KIRSTEN	AMERICAN FUNDS BALANCED FD B	0.00	1.33	0.00	1.33		0.27
03/01/2018	00085122821	TAGGART, KIRSTEN	AMERICAN FUNDS GROWTH FUND OF AMERI B	0.00	1.53	0.00	1.53		0.31
03/01/2018	00085261207	BAILEY, MARK	AMERICAN FUNDS GROWTH FUND OF AMERI B	0.00	7.54	0.00	7.54		1.51
03/01/2018	00085261207	BAILEY, MARK	AMERICAN FUNDS INCOME FD OF AMERI B	0.00	2.49	0.00	2.49		0.50
03/01/2018	00085591502	KLIPPENSTEIN, MARY	AMERICAN FUNDS CAPITAL WORLD GROWT B	0.00	5.20	0.00	5.20		1.04
03/01/2018	00085606206	BOWKER, ROBERTA L.	AMERICAN FUNDS AMCAP FD B	0.00	0.87	0.00	0.87		0.17
03/01/2018	00085619339	EVANS-DRYDEN,	AMERICAN FUNDS AMCAP FD B	0.00	20.06	0.00	20.06		4.01
		ROSAMARY							
03/01/2018	00085764474	ROOKS, SUSAN	AMERICAN FUNDS AMCAP FD B	0.00	18.51	0.00	18.51		3.70
03/01/2018	00086646883	MINOR, BRUCE	AMERICAN FUNDS AMCAP FD B	0.00	2.19	0.00	2.19		0.44
03/01/2018	00086646883	MINOR, BRUCE	AMERICAN FUNDS SMALL CAP WORLD FD B	0.00	2.02	0.00	2.02		0.40
03/01/2018	00086685263	MINOR, KRISTA	AMERICAN FUNDS WA MUTUAL INVES B	0.00	2.09	0.00	2.09		0.42
03/01/2018	00086685263	MINOR, KRISTA	GLOBAL BALANCED FUND-A B	0.00	1.55	0.00	1.55		0.31
03/01/2018	00087677954	RULAND, RICHARD	AMERICAN FUNDS 2035 TARGET DATE-A B	0.00	0.60	0.00	0.60		0.12
03/02/2018	00087677954	RULAND, RICHARD	AMERICAN FUNDS 2035 TARGET DATE-A B	433.77	21.69	0.00	21.69		4.34
03/02/2018	00087677954	RULAND, RICHARD	AMERICAN FUNDS 2035 TARGET DATE-A B	433.77	21.69	0.00	21.69		4.34
03/01/2018	00087677954	RULAND, RICHARD	AMERICAN FUNDS GROWTH FUND OF AMERI B	0.00	2.31	0.00	2.31		0.46
03/01/2018	00087677954	RULAND, RICHARD	AMERICAN FUNDS SMALL CAP WORLD FD B	0.00	1.85	0.00	1.85		0.37
03/01/2018	00087966762	COMER, MISTI	AMERICAN FUNDS BALANCED FD B	0.00	3.02	0.00	3.02		0.60
03/01/2018	00087966985	COMER, JOSHUA	AMERICAN FUNDS AMCAP FD B	0.00	1.18	0.00	1.18		0.24
03/01/2018	00087966985	COMER, JOSHUA	AMERICAN FUNDS AMERICAN MUTUAL FD B	0.00	1.18	0.00	1.18		0.24
03/01/2018	00087966985	COMER, JOSHUA	AMERICAN FUNDS CAPITAL WORLD GROWT B	0.00	1.11	0.00	1.11		0.22

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
Override Transactions										
BROKER: KELSEY MCDANIEL #9660										
03/01/2018	00087966985	COMER, JOSHUA		AMERICAN FUNDS SMALL CAP WORLD FD	B	0.00	1.19	0.00	1.19	0.24
03/01/2018	00087967037	COMER, MISTI		AMERICAN FUNDS CAPITAL INCOME BUILD	B	0.00	1.01	0.00	1.01	0.20
03/01/2018	00087967037	COMER, MISTI		AMERICAN FUNDS FUNDAMENTAL	INVES B	0.00	1.28	0.00	1.28	0.26
03/01/2018	00087967037	COMER, MISTI		AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	1.26	0.00	1.26	0.25
03/01/2018	00087967037	COMER, MISTI		NEW WORLD FUND-A	B	0.00	1.06	0.00	1.06	0.21
03/01/2018	00087974889	COMER, JOSHUA		NEW PERSPECTIVE FUND-529A	B	0.00	1.73	0.00	1.73	0.35
03/01/2018	00088016305	STANSBURY, KIMBERLY		AMERICAN FUNDS BALANCED FD	B	0.00	0.36	0.00	0.36	0.07
03/01/2018	00088016305	STANSBURY, KIMBERLY		AMERICAN FUNDS NEW PERSPECTIVE FD	B	0.00	0.39	0.00	0.39	0.08
03/01/2018	00088016305	STANSBURY, KIMBERLY		AMERICAN FUNDS WA MUTUAL	INVES B	0.00	0.36	0.00	0.36	0.07
03/01/2018	00088016305	STANSBURY, KIMBERLY		INTERNATIONAL GROWTH AND INCOME A	B	0.00	0.30	0.00	0.30	0.06
03/01/2018	00088016320	STANSBURY, KIMBERLY		AMERICAN FUNDS AMCAP FD	B	0.00	3.05	0.00	3.05	0.61
03/01/2018	00088016320	STANSBURY, KIMBERLY		AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	2.99	0.00	2.99	0.60
03/01/2018	00088016320	STANSBURY, KIMBERLY		AMERICAN FUNDS CAPITAL WORLD	GROWT B	0.00	2.75	0.00	2.75	0.55
03/01/2018	00088016320	STANSBURY, KIMBERLY		AMERICAN FUNDS SMALL CAP WORLD FD	B	0.00	2.96	0.00	2.96	0.59
03/01/2018	00088016326	STANSBURY, LANCE		AMERICAN FUNDS CAPITAL INCOME BUILD	B	0.00	0.38	0.00	0.38	0.08
03/01/2018	00088016326	STANSBURY, LANCE		AMERICAN FUNDS FUNDAMENTAL	INVES B	0.00	0.50	0.00	0.50	0.10
03/01/2018	00088016326	STANSBURY, LANCE		AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	0.47	0.00	0.47	0.09
03/01/2018	00088016326	STANSBURY, LANCE		NEW WORLD FUND-A	B	0.00	0.39	0.00	0.39	0.08
03/01/2018	00088174312	SD/TOD		AMERICAN FUNDS AMCAP FD	B	0.00	6.97	0.00	6.97	1.39
03/01/2018	00088174312	SD/TOD		AMERICAN FUNDS CAPITAL WORLD	GROWT B	0.00	6.66	0.00	6.66	1.33
03/01/2018	00088174312	SD/TOD		AMERICAN FUNDS NEW PERSPECTIVE FD	B	0.00	7.30	0.00	7.30	1.46
03/01/2018	00088174312	SD/TOD		NEW WORLD FUND-A	B	0.00	6.19	0.00	6.19	1.24
03/01/2018	00088371426	PLAN, SEP		AMERICAN BALANCED FUND-C	B	0.00	86.28	0.00	86.28	17.26
03/01/2018	00088376537	FREEOUF/AUTH PER, BRIAN A.		AMERICAN FUNDS BALANCED FD	B	0.00	1.54	0.00	1.54	0.31
03/01/2018	00088445871	BURGGRAFF, LYNN		AMERICAN FUNDS AMCAP FD	B	0.00	5.32	0.00	5.32	1.06
03/01/2018	00088568446	BREY, LORI D.		AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	2.79	0.00	2.79	0.56
03/01/2018	00088568446	BREY, LORI D.		AMERICAN FUNDS BALANCED FD	B	0.00	2.82	0.00	2.82	0.56
03/01/2018	00088568446	BREY, LORI D.		AMERICAN FUNDS CAPITAL WORLD	GROWT B	0.00	2.84	0.00	2.84	0.57
03/01/2018	00088674728	BREY, THOMAS E.		AMERICAN FUNDS AMCAP FD	B	0.00	1.03	0.00	1.03	0.21
03/01/2018	00088674728	BREY, THOMAS E.		AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	1.04	0.00	1.04	0.21
03/01/2018	00088674728	BREY, THOMAS E.		AMERICAN FUNDS INCOME FD OF	AMERI B	0.00	0.97	0.00	0.97	0.19

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>									
BROKER: KELSEY MCDANIEL #9660									
03/01/2018	00088674728 BREY, THOMAS E.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	0.00	1.08	0.00	1.08		0.22
03/01/2018	00089040033 KNOWLTON, CODY W.	AMERICAN FUNDS AMCAP FD	B	0.00	3.03	0.00	3.03		0.61
03/01/2018	00089040033 KNOWLTON, CODY W.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	0.00	2.95	0.00	2.95		0.59
03/01/2018	00089040033 KNOWLTON, CODY W.	AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	2.89	0.00	2.89		0.58
03/01/2018	00089040033 KNOWLTON, CODY W.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	0.00	3.08	0.00	3.08		0.62
03/01/2018	00089040086 KNOWLTON, TARA L.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	0.00	1.54	0.00	1.54		0.31
03/01/2018	00089040086 KNOWLTON, TARA L.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	1.83	0.00	1.83		0.37
03/01/2018	00089040086 KNOWLTON, TARA L.	AMERICAN FUNDS WA MUTUAL	INVES B	0.00	1.77	0.00	1.77		0.35
03/01/2018	00089040086 KNOWLTON, TARA L.	NEW WORLD FUND-A	B	0.00	1.71	0.00	1.71		0.34
03/01/2018	00089059148 WOEPPEL, LISA	AMERICAN FUNDS AMCAP FD	B	0.00	15.15	0.00	15.15		3.03
03/01/2018	00089257426 NEUBARTH, DANIEL	AMERICAN FUNDS CAPITAL WORLD GROWT	B	0.00	3.90	0.00	3.90		0.78
03/01/2018	00089257426 NEUBARTH, DANIEL	AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	4.07	0.00	4.07		0.81
03/01/2018	00089257426 NEUBARTH, DANIEL	AMERICAN FUNDS INVESTMENT COMP OF A	B	0.00	4.02	0.00	4.02		0.80
03/01/2018	00089257426 NEUBARTH, DANIEL	AMERICAN FUNDS NEW PERSPECTIVE FD	B	0.00	3.88	0.00	3.88		0.78
03/01/2018	00089285262 KITTELMANN, TENADORE J.	AMERICAN FUNDS AMCAP FD	B	0.00	2.21	0.00	2.21		0.44
03/01/2018	00089285262 KITTELMANN, TENADORE J.	AMERICAN FUNDS FUNDAMENTAL	INVES B	0.00	2.31	0.00	2.31		0.46
03/01/2018	00089285262 KITTELMANN, TENADORE J.	AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	2.02	0.00	2.02		0.40
03/01/2018	00089285262 KITTELMANN, TENADORE J.	AMERICAN FUNDS SMALL CAP WORLD FD	B	0.00	2.27	0.00	2.27		0.45
03/01/2018	00089285290 KITTELMANN, ELIN C.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	0.00	1.96	0.00	1.96		0.39
03/01/2018	00089285290 KITTELMANN, ELIN C.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	2.34	0.00	2.34		0.47
03/01/2018	00089285290 KITTELMANN, ELIN C.	AMERICAN FUNDS WA MUTUAL	INVES B	0.00	2.22	0.00	2.22		0.44
03/01/2018	00089285290 KITTELMANN, ELIN C.	NEW WORLD FUND-A	B	0.00	2.30	0.00	2.30		0.46
03/01/2018	00089332365 BLAND, ROBIN S.	AMERICAN FUNDS AMCAP FD	B	0.00	7.96	0.00	7.96		1.59
03/01/2018	00089332365 BLAND, ROBIN S.	AMERICAN FUNDS EURO PACIFIC GROWT	B	0.00	7.81	0.00	7.81		1.56
03/01/2018	00089332365 BLAND, ROBIN S.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	0.00	7.86	0.00	7.86		1.57
03/01/2018	00089332365 BLAND, ROBIN S.	AMERICAN FUNDS WA MUTUAL	INVES B	0.00	7.92	0.00	7.92		1.58
03/01/2018	00089549050 COTTINGHAM, MYLIE J.	AMERICAN FUNDS AMCAP FD	B	0.00	0.63	0.00	0.63		0.13
03/05/2018	00089549050 COTTINGHAM, MYLIE J.	AMERICAN FUNDS AMCAP FD	B	27.90	1.40	0.00	1.40		0.28
03/05/2018	00089549050 COTTINGHAM, MYLIE J.	AMERICAN FUNDS AMCAP FD	B	27.90	1.40	0.00	1.40		0.28

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
BROKER: KELSEY MCDANIEL #9660									
03/01/2018	00089549050	COTTINGHAM, MYLIE	AMERICAN FUNDS WA MUTUAL	INVES B	0.00	0.61	0.00	0.61	0.12
		J.							
03/05/2018	00089549050	COTTINGHAM, MYLIE	AMERICAN FUNDS WA MUTUAL	INVES B	27.90	1.40	0.00	1.40	0.28
		J.							
03/05/2018	00089549050	COTTINGHAM, MYLIE	AMERICAN FUNDS WA MUTUAL	INVES B	27.90	1.40	0.00	1.40	0.28
		J.							
03/01/2018	00089549201	HUINER, RYAN L.	AMERICAN FUNDS AMCAP FD	B	0.00	2.42	0.00	2.42	0.48
03/01/2018	00089549201	HUINER, RYAN L.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	0.00	2.39	0.00	2.39	0.48
03/01/2018	00089549201	HUINER, RYAN L.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	0.00	2.46	0.00	2.46	0.49
03/01/2018	00089549201	HUINER, RYAN L.	AMERICAN FUNDS WA MUTUAL	INVES B	0.00	2.39	0.00	2.39	0.48
03/01/2018	00089821797	QUAY, TROY D.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	5.03	0.00	5.03	1.01
03/01/2018	00089821797	QUAY, TROY D.	AMERICAN FUNDS INVESTMENT COMP OF A	B	0.00	4.76	0.00	4.76	0.95
03/01/2018	00089821797	QUAY, TROY D.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	0.00	4.98	0.00	4.98	1.00
03/01/2018	00089821797	QUAY, TROY D.	NEW WORLD FUND-A	B	0.00	4.92	0.00	4.92	0.98
03/01/2018	00089991660	RULAND, RICHARD	AMERICAN FUNDS AMCAP FD	B	0.00	2.29	0.00	2.29	0.46
03/01/2018	00089991660	RULAND, RICHARD	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	2.20	0.00	2.20	0.44
03/01/2018	00089991660	RULAND, RICHARD	AMERICAN FUNDS CAPITAL WORLD GROWT	B	0.00	2.26	0.00	2.26	0.45
03/01/2018	00089991660	RULAND, RICHARD	AMERICAN FUNDS EURO PACIFIC GROWT	B	0.00	2.29	0.00	2.29	0.46
03/01/2018	04000692266	FIESTER, JONATHAN	AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	1.28	0.00	1.28	0.26
		D.							
03/01/2018	04000699371	CADLE, JOSHUA D.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	2.30	0.00	2.30	0.46
03/01/2018	04000699395	CADLE, DANIELLE A.	AMERICAN FUNDS FUNDAMENTAL	INVES B	0.00	0.75	0.00	0.75	0.15
03/01/2018	04000699395	CADLE, DANIELLE A.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	0.78	0.00	0.78	0.16
03/01/2018	04000699395	CADLE, DANIELLE A.	NEW WORLD FUND-A	B	0.00	0.75	0.00	0.75	0.15
03/01/2018	04000711583	WRIGHT, BLAKE J.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	500.00	25.00	0.00	25.00	5.00
03/01/2018	04000711583	WRIGHT, BLAKE J.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	4.86	0.00	4.86	0.97
03/01/2018	04000753760	POTTER, JAMIE M.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	1.28	0.00	1.28	0.26
02/28/2018	04000753810	POTTER III, DONALD	AMERICAN FUNDS BALANCED FD	B	100.00	5.00	0.00	5.00	1.00
		W.							
02/28/2018	04000753810	POTTER III, DONALD	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	5.00	0.00	5.00	1.00
		W.							
02/28/2018	04000753810	POTTER III, DONALD	AMERICAN FUNDS SMALL CAP WORLD FD	B	100.00	5.00	0.00	5.00	1.00
		W.							
02/28/2018	04000753810	POTTER III, DONALD	NEW WORLD FUND-A	B	100.00	5.00	0.00	5.00	1.00
		W.							
03/01/2018	04000828904	BROWN, MARK K.	AMERICAN FUNDS BALANCED FD	B	125.00	6.25	0.00	6.25	1.25
03/01/2018	04000828904	BROWN, MARK K.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	125.00	6.25	0.00	6.25	1.25
02/16/2018	3212111891	GORTMAKER, DEREK A.	OPPENHEIMER CAPITAL APPREC FD CL A	B	121.90	5.79	0.00	5.79	1.16
* KELSEY MCDANIEL SUBTOTAL *					\$2,599.04	\$617.28	\$0.00	\$617.28	\$123.47

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

*** OVERRIDE TRANSACTIONS TOTAL ***				\$2,599.04	\$617.28	\$0.00	\$617.28		\$123.47
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ADJUSTMENTS

03/15/2018	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
03/15/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$16,756.07
Split Transactions	\$0.00
Override Transactions	\$123.47
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$16,752.04
Year-to-date Earnings	\$123,654.98