

COMMISSION STATEMENT

June 30, 2018

JENNIFER HIEBER

171 EAST 84TH STREET APT 33C

NEW YORK, NY 10028-

BROKER #: R43

BRANCH: FULCRUM GROUP

COMMISSION STATEMENT for JENNIFER HIEBER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

| | | | | | | | | | | |
|------------|-----------|------------------|---------------------------------------|---|-------------|----------|------|----------|------|----------|
| 05/30/2018 | QGW037236 | JACOBSON, HARVEY | ALTRIA GROUP INC | B | 7,319.87 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 05/30/2018 | QGW037236 | JACOBSON, HARVEY | BLACKSTONE GROUP L P (THE) COMMON U S | | -12,709.28 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 05/30/2018 | QGW037236 | JACOBSON, HARVEY | SQUARE INC CLASS A COM | B | 7,523.63 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 05/31/2018 | QGW037236 | JACOBSON, HARVEY | FACEBOOK INC CL A | B | 7,679.53 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/04/2018 | QGW037236 | JACOBSON, HARVEY | APPLE INC | B | 6,739.25 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/13/2018 | QGW037236 | JACOBSON, HARVEY | EDWARDS LIFESCIENCES CRP | B | 6,054.56 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/25/2018 | QGW037236 | JACOBSON, HARVEY | AT&T INC | B | 6,993.05 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/12/2018 | QGW037269 | EINHORN, GERALD | USG CORP (NEW) COMMON STOCK | S | -4,326.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/01/2018 | QGW037277 | HEIBER, JEAN | SQUARE INC CLASS A COM | S | -482,080.00 | 2,000.00 | 0.00 | 2,000.00 | 60.0 | 1,200.00 |
| 06/01/2018 | QGW037277 | HEIBER, JEAN | TWILIO INC CL A | S | -57,250.00 | 1,000.00 | 0.00 | 1,000.00 | 60.0 | 600.00 |
| 06/12/2018 | QGW037277 | HEIBER, JEAN | USG CORP (NEW) COMMON STOCK | S | -43,260.00 | 500.00 | 0.00 | 500.00 | 60.0 | 300.00 |
| 06/19/2018 | QGW037277 | HEIBER, JEAN | GERON CORP | B | 39,400.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/01/2018 | QGW037285 | HEIBER, JEAN | AMAZON.COM INC | S | -82,262.50 | 500.00 | 0.00 | 500.00 | 60.0 | 300.00 |
| 06/01/2018 | QGW037285 | HEIBER, JEAN | FACEBOOK INC CL A | S | -194,250.00 | 1,000.00 | 0.00 | 1,000.00 | 60.0 | 600.00 |
| 06/11/2018 | QGW037285 | HEIBER, JEAN | CRISPR THERAPEUTICS AG NAMEN-AKT IS B | | 188,489.70 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/11/2018 | QGW037285 | HEIBER, JEAN | GNC HLDGS INC COM CL A | S | -36,500.00 | 500.00 | 0.00 | 500.00 | 60.0 | 300.00 |
| 06/12/2018 | QGW037285 | HEIBER, JEAN | USG CORP (NEW) COMMON STOCK | S | -43,260.00 | 500.00 | 0.00 | 500.00 | 60.0 | 300.00 |
| 06/19/2018 | QGW037285 | HEIBER, JEAN | SAREPTA THERAPEUTICS INC COM | B | 245,164.95 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/19/2018 | QGW037285 | HEIBER, JEAN | SQUARE INC CLASS A COM | B | 130,396.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/19/2018 | QGW037285 | HEIBER, JEAN | SQUARE INC CLASS A COM | S | -131,824.80 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/20/2018 | QGW037285 | HEIBER, JEAN | CRISPR THERAPEUTICS AG NAMEN-AKT IS S | | -193,650.00 | 1,000.00 | 0.00 | 1,000.00 | 60.0 | 600.00 |
| 06/20/2018 | QGW037285 | HEIBER, JEAN | LIGHTBRIDGE CORP COM NEW | B | 14,366.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 05/30/2018 | QGW037301 | JACOBSON, HARVEY | CORNING INC | B | 19,200.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 05/30/2018 | QGW037301 | JACOBSON, HARVEY | NEWELL BRANDS INC SHS | B | 6,452.19 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 05/30/2018 | QGW037301 | JACOBSON, HARVEY | TWITTER INC | S | -13,713.36 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/01/2018 | QGW037301 | JACOBSON, HARVEY | PAYPAL HOLDINGS INC COM | S | -8,286.50 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/04/2018 | QGW037301 | JACOBSON, HARVEY | GENERAL MOTORS COMPANY | S | -12,988.17 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/04/2018 | QGW037301 | JACOBSON, HARVEY | TWITTER INC | B | 5,602.02 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/04/2018 | QGW037301 | JACOBSON, HARVEY | UNDER ARMOUR INC CL A | S | -7,805.04 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/06/2018 | QGW037301 | JACOBSON, HARVEY | UNDER ARMOUR INC CL A | S | -9,758.68 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/07/2018 | QGW037301 | JACOBSON, HARVEY | GNC HLDGS INC COM CL A | B | 3,178.20 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |

COMMISSION STATEMENT for JENNIFER HIEBER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|-----------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 06/11/2018 | QGW037301 | JACOBSON, HARVEY | | | | | | | |
| | | PULTEGROUP INC COM | B | 7,096.47 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/20/2018 | QGW037301 | JACOBSON, HARVEY | | | | | | | |
| | | QUALCOMM INC | B | 6,443.43 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/20/2018 | QGW037301 | JACOBSON, HARVEY | | | | | | | |
| | | SCHLUMBERGER LTD | B | 31,000.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/20/2018 | QGW037301 | JACOBSON, HARVEY | | | | | | | |
| | | TEVA PHARMACEUTICAL INDUSTRIES LIM | S | -12,145.90 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/22/2018 | QGW037301 | JACOBSON, HARVEY | | | | | | | |
| | | CANOPY GROWTH CORP COM ISIN# CA1380 | B | 6,963.96 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/25/2018 | QGW037301 | JACOBSON, HARVEY | | | | | | | |
| | | AT&T INC | B | 6,363.26 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/26/2018 | QGW037301 | JACOBSON, HARVEY | | | | | | | |
| | | GILEAD SCIENCES INC | B | 6,983.32 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/27/2018 | QGW037301 | JACOBSON, HARVEY | | | | | | | |
| | | DENBURY RESOURCES INC NEW HOLDING C | S | -8,144.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 05/30/2018 | QGW037418 | PARTNERS, JACOBSON | | | | | | | |
| | | PFIZER INCORPORATED | B | 6,867.74 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/05/2018 | QGW037418 | PARTNERS, JACOBSON | | | | | | | |
| | | STARBUCKS CORP | B | 7,243.09 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/11/2018 | QGW037418 | PARTNERS, JACOBSON | | | | | | | |
| | | TARGET CORP | S | -7,917.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/11/2018 | QGW037418 | PARTNERS, JACOBSON | | | | | | | |
| | | TARGET CORP | S | -9,492.60 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/13/2018 | QGW037418 | PARTNERS, JACOBSON | | | | | | | |
| | | WALGREEN BOOTS ALLIANCE INC COM | B | 7,036.37 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/27/2018 | QGW037418 | PARTNERS, JACOBSON | | | | | | | |
| | | ALIBABA GROUP HOLDING LTD SPONSORED | B | 6,624.80 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/12/2018 | QGW037426 | BIERLEY, KIMBERLEY | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | S | -4,326.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/12/2018 | QGW037459 | HIBER, CHRISTINA | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | S | -21,630.00 | 300.00 | 0.00 | 300.00 | 60.0 | 180.00 |
| 06/12/2018 | QGW037467 | GIGANTE, SANDRA | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | S | -4,326.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/14/2018 | QGW037483 | HOLBROOK, WILLIAM | | | | | | | |
| | | COCA COLA COMPANY (THE) | S | -43,601.80 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/05/2018 | QGW037517 | JABLOW FAMILY TRUST, | | | | | | | |
| | | WELLS FARGO & CO | B | 27,250.00 | 350.00 | 0.00 | 350.00 | 60.0 | 210.00 |
| 06/12/2018 | QGW037517 | JABLOW FAMILY TRUST, | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | S | -21,630.00 | 300.00 | 0.00 | 300.00 | 60.0 | 180.00 |
| 06/14/2018 | QGW037525 | HOLBROOK, WILLIAM | | | | | | | |
| | | GENERAL ELECTRIC CO | S | -13,860.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/14/2018 | QGW037525 | HOLBROOK, WILLIAM | | | | | | | |
| | | GENERAL MILLS INC | S | -44,700.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/14/2018 | QGW037525 | HOLBROOK, WILLIAM | | | | | | | |
| | | PFIZER INCORPORATED | S | -36,210.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/22/2018 | QGW037525 | HOLBROOK, WILLIAM | | | | | | | |
| | | DOWDUPONT INC COMMON STOCK | B | 66,672.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/26/2018 | QGW037525 | HOLBROOK, WILLIAM | | | | | | | |
| | | DOWDUPONT INC COMMON STOCK | S | -66,550.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/26/2018 | QGW037541 | 2011 TRUST, | | | | | | | |
| | | FACEBOOK INC CL A | S | -9,933.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/28/2018 | QGW037541 | 2011 TRUST, | | | | | | | |
| | | WAL-MART STORES INC | S | -60,917.50 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/05/2018 | QGW037566 | WAINE 1992 IRREV TR, | | | | | | | |
| | | WELLS FARGO & CO | B | 27,250.00 | 350.00 | 0.00 | 350.00 | 60.0 | 210.00 |
| 06/12/2018 | QGW037566 | WAINE 1992 IRREV TR, | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | S | -21,630.00 | 300.00 | 0.00 | 300.00 | 60.0 | 180.00 |
| 06/12/2018 | QGW037616 | PAYFER, KELLY | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | S | -4,326.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/12/2018 | QGW037640 | RESCH, ROBERT | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | S | -4,326.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/12/2018 | QGW037665 | BAKAY, TRACEY | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | S | -4,326.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/01/2018 | QGW037707 | SWEZEY, SALLY | | | | | | | |
| | | CRISPR THERAPEUTICS AG NAMEN-AKT IS | B | 132,597.20 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/01/2018 | QGW037707 | SWEZEY, SALLY | | | | | | | |
| | | CRISPR THERAPEUTICS AG NAMEN-AKT IS | S | -133,873.40 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/12/2018 | QGW037780 | JARET, FREDERICA | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | S | -4,326.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/12/2018 | QGW037822 | LEWIS, PAUL | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | S | -4,326.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/12/2018 | QGW037830 | EATON, MAURICE | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | S | -4,326.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/12/2018 | QGW037848 | LYSTAD, WYNN | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | S | -4,326.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/12/2018 | QGW037855 | EATON, GEORGANNE | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | S | -21,630.00 | 300.00 | 0.00 | 300.00 | 60.0 | 180.00 |
| 06/12/2018 | QGW037863 | EATON, MAURICE | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | S | -4,326.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |

COMMISSION STATEMENT for JENNIFER HIEBER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------|---------------------|-----|---------------------------------------|---------------------|----------------------|--------------------|---------------|--------------------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 06/07/2018 | QGW037897 | BYPASS TRUST, | | GENERAL ELECTRIC CO | B | 8,896.03 | 150.00 | 0.00 | 150.00 60.0 90.00 |
| 06/12/2018 | QGW037913 | CHALLENGER JT TEN, | | USG CORP (NEW) COMMON STOCK | S | -4,326.00 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/12/2018 | QGW037921 | HIEBER TRUST, JEAN | | USG CORP (NEW) COMMON STOCK | S | -4,326.00 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/12/2018 | QGW037939 | HIEBER TRUST, JEAN | | USG CORP (NEW) COMMON STOCK | S | -4,326.00 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/01/2018 | QGW037962 | FORTUNA, DANIEL | | TWILIO INC CL A | S | -5,725.00 | 200.00 | 0.00 | 200.00 60.0 120.00 |
| 06/12/2018 | QGW037970 | MENELL, AMY | | USG CORP (NEW) COMMON STOCK | S | -4,326.00 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/01/2018 | QGW037988 | MILAZZO, EVAN | | TWILIO INC CL A | S | -5,725.00 | 200.00 | 0.00 | 200.00 60.0 120.00 |
| 06/08/2018 | QGW038069 | HIEBER TRADING #2, | | GERON CORP | B | 38,370.00 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/13/2018 | QGW038069 | HIEBER TRADING #2, | | ULTRALIFE CORP COM | S | -9,375.00 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/15/2018 | QGW038069 | HIEBER TRADING #2, | | GERON CORP | S | -42,400.00 | 500.00 | 0.00 | 500.00 60.0 300.00 |
| 06/15/2018 | QGW038069 | HIEBER TRADING #2, | | GERON CORP | B | 20,600.00 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/21/2018 | QGW038168 | PACA TRUST, BARBARA | | DOWDUPONT INC COMMON STOCK | B | 65,523.00 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/22/2018 | QGW038168 | PACA TRUST, BARBARA | | DOWDUPONT INC COMMON STOCK | S | -66,850.00 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/25/2018 | QGW039281 | COLGATE UNIVERSITY | | WORLD WRESTLING ENTERTAINMENT INC C S | S | -7,981.37 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/22/2018 | QGW039976 | VACCARO, JILLIAN | | ALTRIA GROUP INC | B | 5,709.00 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/22/2018 | QGW039976 | VACCARO, JILLIAN | | CORNING INC | B | 2,842.00 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/22/2018 | QGW039976 | VACCARO, JILLIAN | | GERON CORP | B | 1,800.00 | 90.00 | 0.00 | 90.00 60.0 54.00 |
| 06/26/2018 | QGW039984 | FORTUNA, DANIEL | | ALTRIA GROUP INC | B | 5,637.62 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/26/2018 | QGW039984 | FORTUNA, DANIEL | | CATERPILLAR INC | B | 13,610.00 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/26/2018 | QGW039984 | FORTUNA, DANIEL | | CRONOS GROUP INC COM ISIN#CA22717L1 B | B | 3,443.70 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/22/2018 | QGW039992 | VACCARO, JILLIAN | | 22ND CENTY GROUP INC COM | B | 2,149.90 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/22/2018 | QGW039992 | VACCARO, JILLIAN | | CATERPILLAR INC | B | 14,037.00 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| 06/22/2018 | QGW039992 | VACCARO, JILLIAN | | WELLS FARGO & CO | B | 5,408.96 | 100.00 | 0.00 | 100.00 60.0 60.00 |
| | | | | * STOCKS SUBTOTAL * | | \$-837,432.10 | \$17,540.00 | \$0.00 | \$17,540.00 \$10,524.00 |
| <u>PRODUCT CATEGORY: OPTIONS</u> | | | | | | | | | |
| 05/31/2018 | QGW037236 | JACOBSON, HARVEY | | CALL 100 FACEBOOK INC CL A EXP 08-1 S | | -1,630.00 | 70.00 | 0.00 | 70.00 60.0 42.00 |
| 05/31/2018 | QGW037236 | JACOBSON, HARVEY | | CALL 100 FACEBOOK INC CL A EXP 08-1 S | | 1,630.00 | -70.00 | 0.00 | -70.00 60.0 -42.00 C |
| 05/31/2018 | QGW037236 | JACOBSON, HARVEY | | CALL 100 FACEBOOK INC CL A EXP 08-1 S | | -1,630.00 | 70.00 | 0.00 | 70.00 60.0 42.00 |
| 06/18/2018 | QGW037236 | JACOBSON, HARVEY | | PUT 100 FACEBOOK INC CL A EXP 08-17 B | | 538.00 | 70.00 | 0.00 | 70.00 60.0 42.00 |
| 06/18/2018 | QGW037236 | JACOBSON, HARVEY | | PUT 100 FACEBOOK INC CL A EXP 08-17 S | | -1,872.00 | 70.00 | 0.00 | 70.00 60.0 42.00 |
| 06/25/2018 | QGW037236 | JACOBSON, HARVEY | | CALL 100 AT&T INC COM EXP 01-18-19@ S | | -445.00 | 70.00 | 0.00 | 70.00 60.0 42.00 |
| 06/25/2018 | QGW037236 | JACOBSON, HARVEY | | PUT 100 AT&T INC COM EXP 07-20-18@3 B | | 4,578.00 | 70.00 | 0.00 | 70.00 60.0 42.00 |
| 06/25/2018 | QGW037236 | JACOBSON, HARVEY | | PUT 100 AT&T INC COM EXP 01-18-19@3 S | | -1,920.00 | 70.00 | 0.00 | 70.00 60.0 42.00 |
| 06/01/2018 | QGW037301 | JACOBSON, HARVEY | | CALL 100 PAYPAL HLDGS INC COM EXP 0 B | | 1,320.00 | 70.00 | 0.00 | 70.00 60.0 42.00 |
| 06/01/2018 | QGW037301 | JACOBSON, HARVEY | | PUT 100 PAYPAL HLDGS INC COM EXP 10 S | | -1,860.00 | 70.00 | 0.00 | 70.00 60.0 42.00 |
| 06/04/2018 | QGW037301 | JACOBSON, HARVEY | | CALL 100 GENERAL MTRS CO COM EXP 12 B | | 2,862.00 | 70.00 | 0.00 | 70.00 60.0 42.00 |
| 06/04/2018 | QGW037301 | JACOBSON, HARVEY | | CALL 100 UNDER ARMOUR INC CL A EXP B | | 2,052.00 | 70.00 | 0.00 | 70.00 60.0 42.00 |
| 06/04/2018 | QGW037301 | JACOBSON, HARVEY | | PUT 100 GENERAL MTRS CO COM EXP 09- B | | 618.00 | 70.00 | 0.00 | 70.00 60.0 42.00 |
| 06/04/2018 | QGW037301 | JACOBSON, HARVEY | | PUT 100 GENERAL MTRS CO COM EXP 09- S | | -2,004.00 | 70.00 | 0.00 | 70.00 60.0 42.00 |

COMMISSION STATEMENT for JENNIFER HIEBER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|----------------------------------|------------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: OPTIONS</u> | | | | | | | | | |
| 06/04/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 MERCK & CO INC NEW COM EXP B | | 130.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/04/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 MERCK & CO INC NEW COM EXP B | | 305.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/04/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 MERCK & CO INC NEW COM EXP S | | -2,385.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/04/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 TWITTER INC COM EXP 09-21-1 S | | -2,400.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/04/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 UNDER ARMOUR INC CL A EXP 0 S | | -1,414.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/06/2018 | QGW037301 JACOBSON, HARVEY | CALL 100 UNDER ARMOUR INC CL A COM B | | 3,396.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/06/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 UNDER ARMOUR INC CL A COM E B | | 888.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/06/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 UNDER ARMOUR INC CL A EXP 1 B | | 540.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/06/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 UNDER ARMOUR INC CL A EXP 1 S | | -1,758.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/07/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 CANOPY GROWTH CORP COM ISIN S | | -1,650.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/11/2018 | QGW037301 JACOBSON, HARVEY | CALL 100 TEVA PHARMACEUTICAL INDUST B | | 951.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/11/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 PULTEGROUP INC COM EXP 07-2 B | | 2,016.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/11/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 PULTEGROUP INC COM EXP 01-1 S | | -1,960.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/11/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 TEVA PHARMACEUTICAL INDUST B | | 573.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/13/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 PAYPAL HLDGS INC COM EXP 07 B | | 88.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/13/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 PAYPAL HLDGS INC COM EXP 08 S | | -1,436.01 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/14/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 BB&T CORP COM EXP 09-21-18@ S | | -1,660.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/15/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 TWITTER INC COM EXP 09-21-1 B | | 891.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/15/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 TWITTER INC COM EXP 09-21-1 S | | -3,245.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/15/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 TWITTER INC COM EXP 12-21-1 B | | 882.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/18/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 INTEL CORP COM EXP 10-19-18 S | | -2,215.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/20/2018 | QGW037301 JACOBSON, HARVEY | CALL 100 TEVA PHARMACEUTICAL INDUST B | | 1,245.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/20/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 QUALCOMM INC EXP 07-20-18@6 B | | 1,024.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/22/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 CANOPY GROWTH CORP COM ISIN B | | 400.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/25/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 AT&T INC COM EXP 07-20-18@3 B | | 3,396.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/26/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 GILEAD SCIENCES INC EXP 08- B | | 3,831.99 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/27/2018 | QGW037301 JACOBSON, HARVEY | CALL 100 DENBURY RES INC COM NEW EX S | | -870.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/27/2018 | QGW037301 JACOBSON, HARVEY | PUT 100 DENBURY RES INC COM NEW EXP S | | -1,220.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/01/2018 | QGW037418 PARTNERS, JACOBSON | CALL 100 ALIBABA B | | 460.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/01/2018 | QGW037418 PARTNERS, JACOBSON | PUT 100 ALIBABA GROUP HLDG LTD SPON B | | 506.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/01/2018 | QGW037418 PARTNERS, JACOBSON | PUT 100 ALIBABA GROUP HLDG LTD SPON S | | -2,170.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/05/2018 | QGW037418 PARTNERS, JACOBSON | CALL 100 STARBUCKS CORP COM EXP 01- S | | -1,068.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/05/2018 | QGW037418 PARTNERS, JACOBSON | PUT 100 STARBUCKS CORP COM EXP 01-1 S | | -1,668.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/05/2018 | QGW037418 PARTNERS, JACOBSON | PUT 100 STARBUCKS CORP COM EXP 07-2 B | | 2,740.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/05/2018 | QGW037418 PARTNERS, JACOBSON | PUT 100 TARGET CORP COM EXP 07-20-1 B | | 516.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/11/2018 | QGW037418 PARTNERS, JACOBSON | PUT 100 TARGET CORP COM EXP 01-18-1 S | | -1,959.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/13/2018 | QGW037418 PARTNERS, JACOBSON | CALL 100 WALGREENS BOOTS ALLIANCE E S | | -1,240.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/13/2018 | QGW037418 PARTNERS, JACOBSON | PUT 100 WALGREENS BOOTS ALLIANCE EX S | | -2,548.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/13/2018 | QGW037418 PARTNERS, JACOBSON | PUT 100 WALGREENS BOOTS ALLIANCE EX B | | 5,336.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/27/2018 | QGW037418 PARTNERS, JACOBSON | CALL 100 ALIBABA GROUP HLDG LTD SPO S | | -1,640.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |

COMMISSION STATEMENT for JENNIFER HIEBER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------|--------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: OPTIONS</u> | | | | | | | | | |
| 06/27/2018 | QGW037418 | PARTNERS, JACOBSON | PUT 100 ALIBABA GROUP HLDG LTD SPON B | 4,282.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/27/2018 | QGW037418 | PARTNERS, JACOBSON | PUT 100 ALIBABA GROUP HLDG LTD SPON S | -3,650.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/27/2018 | QGW037418 | PARTNERS, JACOBSON | PUT 100 GENERAL MILLS INC COM EXP 0 B | 290.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 06/04/2018 | QGW038390 | LEE, DAVID | CALL 100 SPDR DOW JONES INDL AVERAG S | -6,250.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/04/2018 | QGW038390 | LEE, DAVID | CALL 100 SPDR S&P 500 ETF TR TR UNI S | -5,600.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/11/2018 | QGW038390 | LEE, DAVID | PUT 100 SPDR S&P 500 ETF TR TR UNIT B | 2,730.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/13/2018 | QGW038390 | LEE, DAVID | CALL 100 VISA INC COM CL A EXP 08-1 B | 4,450.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/18/2018 | QGW038390 | LEE, DAVID | CALL 100 FEDEX CORP COM EXP 06-22-1 B | 3,300.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/19/2018 | QGW038390 | LEE, DAVID | CALL 100 NVIDIA CORP COM EXP 06-29- B | 2,900.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/19/2018 | QGW038390 | LEE, DAVID | PUT 100 SPDR S&P 500 ETF TR TR UNIT S | -5,150.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/21/2018 | QGW038390 | LEE, DAVID | CALL 100 SPDR DOW JONES INDL AVERAG B | 2,660.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 06/11/2018 | QGW038424 | LEE, DAVID | CALL 100 DAVE & BUSTERS ENTMT INC C B | 3,990.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| * OPTIONS SUBTOTAL * | | | | \$1,797.98 | \$4,750.00 | \$0.00 | \$4,750.00 | | \$2,850.00 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | |
|---|--|---------------|--|----------------------|--------------------|---------------|--------------------|------|--------------------|
| 06/30/2018 | | FEE COUNT - 6 | | 0.00 | 44.78 | 0.00 | 44.78 | 60.0 | 26.86 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$44.78 | \$0.00 | \$44.78 | | \$26.86 |
| *** BROKER TRANSACTIONS TOTAL *** | | | | \$-835,634.12 | \$22,334.78 | \$0.00 | \$22,334.78 | | \$13,400.86 |

ADJUSTMENTS

| | | | | | | | | | |
|----------------------------------|--|------------------------------|--|---------------|---------------|---------------|---------------|--|--------------------|
| 06/30/2018 | | COMMISSION INCOME: QGW037558 | | 0.00 | 0.00 | 0.00 | 0.00 | | 115.17 |
| 06/30/2018 | | OTHER: OTHER-THOMAS ROSS | | 0.00 | 0.00 | 0.00 | 0.00 | | -2,500.00 |
| *** ADJUSTMENTS TOTAL *** | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-2,384.83 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$13,400.86 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-2,384.83 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$-27.92 |
| SIPC Assessment | \$-55.84 |

| | |
|------------------------------|--------------------|
| Check Amount | \$10,932.27 |
| Year-to-date Earnings | \$85,033.71 |