

STATEMENT

August 20, 2018

STEVE GULA

17 Galahad Dr

Suite 100

Manalapan, NJ 07726-2613

BROKER #: 182

BRANCH: LA BRANCH

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/05/2018	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	0.00	2.98
07/05/2018	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
07/05/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	30.00	0.48	93.0	0.00	0.45	0.00	0.45
07/05/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.00	0.74
07/05/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
07/05/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	13.13	0.21	93.0	0.00	0.20	0.00	0.20
07/05/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.00	0.43
07/05/2018	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
07/05/2018	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	4.00	0.06	93.0	0.00	0.06	0.00	0.06
07/05/2018	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
07/05/2018	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	4.00	0.06	93.0	0.00	0.06	0.00	0.06
07/05/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	13.13	0.21	93.0	0.00	0.20	0.00	0.20
07/05/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.00	0.43
07/05/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.00	0.39
07/05/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
07/05/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	2.00	0.03	93.0	0.00	0.03	0.00	0.03
07/05/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
07/05/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	0.00	3.31
07/05/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
07/05/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
07/05/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
07/05/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
07/05/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
07/05/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	150.00	5.63	93.0	0.00	5.24	0.00	5.24
07/05/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
07/05/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
07/05/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
07/05/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/05/2018	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
07/05/2018	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
07/05/2018	#00086957305 CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	13.11	0.21	93.0	0.00	0.20	0.00	0.20
07/05/2018	#00086957312 CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.00	0.43
07/05/2018	#00086957363 WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
07/05/2018	#00087729341 ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	6.00	0.10	93.0	0.00	0.09	0.00	0.09
07/05/2018	#00087729341 ALFONZONEL	GLOBAL BALANCED FUND-A	4.00	0.06	93.0	0.00	0.06	0.00	0.06
07/05/2018	#00086971602 DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
07/05/2018	#00086957363 WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
07/05/2018	#00086957286 DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	10.00	0.16	93.0	0.00	0.15	0.00	0.15
07/05/2018	#00086957317 BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
07/05/2018	#00086971602 DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
07/05/2018	#00086957363 WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.00	0.44
07/05/2018	#00086971602 DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.00	0.89
07/05/2018	#00086957286 DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	10.00	0.16	93.0	0.00	0.15	0.00	0.15
07/05/2018	#00086957305 CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	13.13	0.21	93.0	0.00	0.20	0.00	0.20
07/05/2018	#00086957312 CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.00	0.43
07/09/2018	#00086832263 BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
07/09/2018	#00086832260 MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
07/09/2018	#00086832265 MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
07/09/2018	#00084744733 SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	0.00	2.19
07/09/2018	#00084744740 ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
07/09/2018	#00084744740 ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
07/09/2018	#00084744733 SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	0.00	4.38
07/09/2018	#00083636074 SMITH, S	INVESTMENT CO AMER CLASS A	11,767.85	441.29	93.0	0.00	410.40	0.00	410.40
07/09/2018	#00084744733 SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	0.00	1.59
07/09/2018	#00084744740 ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
07/10/2018	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	0.00	3.31
07/10/2018	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
07/10/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
07/10/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
07/10/2018	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
07/10/2018	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
07/10/2018	#01464755717 SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
07/10/2018	#01464755717 SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	150.00	5.63	93.0	0.00	5.24	0.00	5.24
07/10/2018	#01471830404 JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/10/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
07/10/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
07/10/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
07/10/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
07/10/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
07/11/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
07/11/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
07/11/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
07/11/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	2.19
07/11/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.42
07/11/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
07/11/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	4.38
07/11/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	1.59
07/11/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
07/16/2018	#00087510606	OLARTENEID	AMCAP FUND INC CLASS A	12.58	0.25	93.0	0.00	0.23	0.23
07/16/2018	#00087510609	CHRISTOGEN	AMERICAN BALANCED FUND INC CLASS A	43.23	0.86	93.0	0.00	0.80	0.80
07/16/2018	#00087510595	HANSENCYNT	BOND FUND OF AMERICA INC CL A	18.37	0.37	93.0	0.00	0.34	0.34
07/16/2018	#00087510609	CHRISTOGEN	BOND FUND OF AMERICA INC CL A	21.61	0.43	93.0	0.00	0.40	0.40
07/16/2018	#00087510606	OLARTENEID	CAPITAL WORLD GROWTH & INCOME FUND	25.14	0.50	93.0	0.00	0.47	0.47
07/16/2018	#00087510595	HANSENCYNT	FUNDAMENTAL INVESTORS INC CLASS A	55.11	1.10	93.0	0.00	1.02	1.02
07/16/2018	#00087510606	OLARTENEID	FUNDAMENTAL INVESTORS INC CLASS A	50.28	1.01	93.0	0.00	0.94	0.94
07/16/2018	#00087510609	CHRISTOGEN	FUNDAMENTAL INVESTORS INC CLASS A	43.23	0.86	93.0	0.00	0.80	0.80
07/16/2018	#00087510595	HANSENCYNT	INCOME FUND OF AMERICA INC CLASS A	18.37	0.37	93.0	0.00	0.34	0.34
07/16/2018	#00087510606	OLARTENEID	INCOME FUND OF AMERICA INC CLASS A	50.28	1.01	93.0	0.00	0.94	0.94
07/16/2018	#00084721881	BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-A	231.08	4.62	93.0	0.00	4.30	4.30
07/16/2018	#00084721881	BILLINGS, MARY	INVESTMENT CO AMER CL C	99.03	0.99	93.0	0.00	0.92	0.92
07/16/2018	#00087510595	HANSENCYNT	INVESTMENT CO AMER CLASS A	73.49	1.47	93.0	0.00	1.37	1.37
07/16/2018	#00087510606	OLARTENEID	INVESTMENT CO AMER CLASS A	100.56	2.01	93.0	0.00	1.87	1.87
07/16/2018	#00087510609	CHRISTOGEN	INVESTMENT CO AMER CLASS A	86.46	1.73	93.0	0.00	1.61	1.61
07/16/2018	#04000749029	LAPIDOW,	INVESTMENT CO AMER CLASS A	415.50	8.31	93.0	0.00	7.73	7.73
07/16/2018	#00087510595	HANSENCYNT	NEW PERSPECTIVE FUND INC CL A	18.37	0.37	93.0	0.00	0.34	0.34
07/16/2018	#00087510606	OLARTENEID	NEW PERSPECTIVE FUND INC CL A	12.57	0.25	93.0	0.00	0.23	0.23
07/16/2018	#00087510609	CHRISTOGEN	NEW PERSPECTIVE FUND INC CL A	21.61	0.43	93.0	0.00	0.40	0.40
07/16/2018	#00084721874	BOSTICK, ELENA	U.S. GOVERNMENT SECURITIES FUND-C	329.17	3.29	93.0	0.00	3.06	3.06
07/17/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
07/17/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/17/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
07/17/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	0.00	2.19
07/17/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
07/17/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
07/17/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	0.00	4.38
07/17/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	0.00	1.59
07/17/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
07/18/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	0.00	3.31
07/18/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
07/18/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
07/18/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
07/18/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	150.00	5.63	93.0	0.00	5.24	0.00	5.24
07/18/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
07/18/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
07/18/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
07/18/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
07/18/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
07/18/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
07/18/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
07/19/2018	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	0.00	2.98
07/19/2018	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
07/19/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	30.00	0.48	93.0	0.00	0.45	0.00	0.45
07/19/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.00	0.74
07/19/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
07/19/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	12.18	0.19	93.0	0.00	0.18	0.00	0.18
07/19/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.00	0.43
07/19/2018	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
07/19/2018	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	4.00	0.06	93.0	0.00	0.06	0.00	0.06
07/19/2018	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
07/19/2018	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	4.00	0.06	93.0	0.00	0.06	0.00	0.06
07/19/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	12.18	0.19	93.0	0.00	0.18	0.00	0.18
07/19/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.00	0.43
07/19/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.00	0.39
07/19/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
07/19/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	2.00	0.03	93.0	0.00	0.03	0.00	0.03
07/19/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	12.18	0.19	93.0	0.00	0.18	0.00	0.18

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/19/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
07/19/2018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
07/19/2018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	6.00	0.10	93.0	0.00	0.09	0.09
07/19/2018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	4.00	0.06	93.0	0.00	0.06	0.06
07/19/2018	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
07/19/2018	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
07/19/2018	#00086957286	DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	10.00	0.16	93.0	0.00	0.15	0.15
07/19/2018	#00086957317	BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	2.86
07/19/2018	#00086971602	DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
07/19/2018	#00086957363	WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.44
07/19/2018	#00086971602	DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.89
07/19/2018	#00086957286	DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	10.00	0.16	93.0	0.00	0.15	0.15
07/19/2018	#00086957305	CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	12.18	0.19	93.0	0.00	0.18	0.18
07/19/2018	#00086957312	CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.43
07/25/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
07/25/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
07/25/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
07/25/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.42
07/25/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	2.19
07/25/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
07/25/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	4.38
07/25/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	1.59
07/25/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
* MUTUAL FUNDS SUBTOTAL *			\$19,648.87	\$624.09		\$0.00	\$580.46	\$0.00	\$580.46
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018	#02300748352	SMITH, S	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.79	93.0	0.00	1.66	1.66
06/30/2018	#07000429330	SMITH, S	OPPENHEIMER MAIN STREET FUND CL A	0.00	14.42	93.0	0.00	13.41	13.41
07/09/2018	#01456912318	VARIOUS GULA	FIDELITY ADV HIGH INCOME-CL A	0.00	6.80	93.0	0.00	6.32	6.32
07/09/2018	#01456912318	VARIOUS GULA	FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	10.54	93.0	0.00	9.80	9.80
07/09/2018	#01447415207	VARIOUS GULA	FIDELITY ADV DIVIDEND GROWTH FD-CL	0.00	0.44	93.0	0.00	0.41	0.41
07/09/2018	#01456912318	VARIOUS GULA	FIDELITY ADV EQUITY INCOME-CL A	0.00	7.46	93.0	0.00	6.94	6.94
07/09/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	0.00	4.25	93.0	0.00	3.95	3.95
07/09/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	0.00	4.93	93.0	0.00	4.58	4.58
07/09/2018	#01464755600	VARIOUS GULA	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.43	93.0	0.00	0.40	0.40
07/09/2018	#01464755626	VARIOUS GULA	FIDELITY ADV FREEDOM 2030-CL A	0.00	1.46	93.0	0.00	1.36	1.36

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/09/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	0.00	0.39	93.0	0.00	0.36	0.36
07/09/2018	#01464755691	VARIOUS GULA	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.04	93.0	0.00	0.04	0.04
07/09/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.68	93.0	0.00	0.63	0.63
07/09/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.44	93.0	0.00	0.41	0.41
07/09/2018	#01447415207	VARIOUS GULA	FIDELITY ADV GOVERNMENT INCOME-CL A	0.00	1.50	93.0	0.00	1.40	1.40
07/09/2018	#00618339899	VARIOUS GULA	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.95	93.0	0.00	0.88	0.88
07/09/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	0.00	6.42	93.0	0.00	5.97	5.97
07/09/2018	#01464755600	VARIOUS GULA	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.60	93.0	0.00	0.56	0.56
07/09/2018	#00656045705	VARIOUS GULA	FIDELITY ADV HEALTH CARE-CL A	0.00	1.40	93.0	0.00	1.30	1.30
07/09/2018	#01447415207	VARIOUS GULA	FIDELITY ADV INVESTMENT GRADE BOND-	0.00	0.54	93.0	0.00	0.50	0.50
07/09/2018	#00656045705	VARIOUS GULA	FIDELITY ADV LARGE CAP-CL A	0.00	0.91	93.0	0.00	0.85	0.85
07/09/2018	#00689730083	VARIOUS GULA	FIDELITY ADV LARGE CAP-CL A	0.00	2.26	93.0	0.00	2.10	2.10
07/09/2018	#00656045705	VARIOUS GULA	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.45	93.0	0.00	0.42	0.42
07/09/2018	#01447415207	VARIOUS GULA	FIDELITY ADV UTILITIES-CL A	0.00	0.47	93.0	0.00	0.44	0.44
07/09/2018	#00618339899	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.60	93.0	0.00	0.56	0.56
07/09/2018	#00656045705	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.22	93.0	0.00	1.13	1.13
07/09/2018	#00689730083	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	2.16	93.0	0.00	2.01	2.01
07/09/2018	#00618339899	VARIOUS GULA	FIDELITY ADVISOR HIGH INCOME ADVANT	0.00	0.21	93.0	0.00	0.20	0.20
07/09/2018	#00656045705	VARIOUS GULA	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	1.67	93.0	0.00	1.55	1.55
07/09/2018	#00689730083	VARIOUS GULA	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.54	93.0	0.00	0.50	0.50
07/09/2018	#01456912318	VARIOUS GULA	FIDELITY ADVISORS BALANCED	0.00	7.15	93.0	0.00	6.65	6.65
07/09/2018	#01485158974		FREEDOM 2050 FUND	0.00	0.03	93.0	0.00	0.03	0.03
07/09/2018	#00689730083	VARIOUS GULA	TREASURY FUND ADVISOR-CL C	0.00	1.55	93.0	0.00	1.44	1.44
07/11/2018	#	CIRILLO, FRANCES	DAIN TRAILER	0.00	1.26	93.0	0.00	1.17	1.17
07/11/2018	#	EDITH RELLI REV TRUS	DAIN TRAILER	0.00	2.89	93.0	0.00	2.69	2.69
07/11/2018	#	EDITH RELLI REV TRUS	DAIN TRAILER	0.00	9.33	93.0	0.00	8.68	8.68
07/11/2018	#	EDITH RELLI REV TRUS	DAIN TRAILER	0.00	1.21	93.0	0.00	1.13	1.13
07/11/2018	#	EDITH RELLI REV TRUS	DAIN TRAILER	0.00	3.86	93.0	0.00	3.59	3.59
07/11/2018	#	EDITH RELLI REV TRUS	DAIN TRAILER	0.00	0.42	93.0	0.00	0.39	0.39
07/11/2018	#	EDITH RELLI REV TRUS	DAIN TRAILER	0.00	2.50	93.0	0.00	2.33	2.33
07/11/2018	#	EDITH RELLI REV TRUS	DAIN TRAILER	0.00	3.14	93.0	0.00	2.92	2.92
07/11/2018	#	EDITH RELLI REV TRUS	DAIN TRAILER	0.00	12.19	93.0	0.00	11.34	11.34
07/11/2018	#	EDITH RELLI REV TRUS	DAIN TRAILER	0.00	15.87	93.0	0.00	14.76	14.76
07/11/2018	#	ROGERS, BARBARA	DAIN TRAILER	0.00	4.98	93.0	0.00	4.63	4.63
07/11/2018	#	ROGERS, BARBARA	DAIN TRAILER	0.00	1.50	93.0	0.00	1.40	1.40
07/11/2018	#	ROGERS, BARBARA	DAIN TRAILER	0.00	11.48	93.0	0.00	10.68	10.68

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #	ROGERS, BARBARA	DAIN TRAILER	0.00	15.42	93.0	0.00	14.34	0.00	14.34
07/11/2018 #	ROGERS, BARBARA	DAIN TRAILER	0.00	5.09	93.0	0.00	4.73	0.00	4.73
07/11/2018 #	ROGERS, BARBARA	DAIN TRAILER	0.00	7.62	93.0	0.00	7.09	0.00	7.09
07/11/2018 #	ROGERS, BARBARA	DAIN TRAILER	0.00	14.92	93.0	0.00	13.88	0.00	13.88
07/11/2018 #	DURDEN, LEEANNE	DAIN TRAILER	0.00	0.53	93.0	0.00	0.49	0.00	0.49
07/11/2018 #	LUBERTO, CHRISTINE	DAIN TRAILER	0.00	2.01	93.0	0.00	1.87	0.00	1.87
07/11/2018 #	LUBERTO, CHRISTINE	DAIN TRAILER	0.00	4.34	93.0	0.00	4.04	0.00	4.04
07/11/2018 #	ROGERS, SAMANTHA	DAIN TRAILER	0.00	0.68	93.0	0.00	0.63	0.00	0.63
07/11/2018 #	ROGERS, SAMANTHA	DAIN TRAILER	0.00	1.73	93.0	0.00	1.61	0.00	1.61
07/11/2018 #	VALVO, JOHN	DAIN TRAILER	0.00	4.92	93.0	0.00	4.58	0.00	4.58
07/11/2018 #	VALVO, JOHN	DAIN TRAILER	0.00	0.46	93.0	0.00	0.43	0.00	0.43
07/11/2018 #	RELLI, LINDA	DAIN TRAILER	0.00	0.35	93.0	0.00	0.33	0.00	0.33
07/11/2018 #	BOYD, KENNETH	DAIN TRAILER	0.00	9.10	93.0	0.00	8.46	0.00	8.46
07/11/2018 #	BOYD, KENNETH	DAIN TRAILER	0.00	17.24	93.0	0.00	16.03	0.00	16.03
07/11/2018 #	MULLALLY, JOHN	DAIN TRAILER	0.00	2.57	93.0	0.00	2.39	0.00	2.39
07/11/2018 #	MULLALLY, JOHN	DAIN TRAILER	0.00	5.52	93.0	0.00	5.13	0.00	5.13
07/11/2018 #	MULLALLY, JOHN	DAIN TRAILER	0.00	6.05	93.0	0.00	5.63	0.00	5.63
07/11/2018 #	CIRILLO, WILLIAM	DAIN TRAILER	0.00	1.36	93.0	0.00	1.26	0.00	1.26
07/11/2018 #	CIRILLO, WILLIAM	DAIN TRAILER	0.00	3.95	93.0	0.00	3.67	0.00	3.67
07/11/2018 #	CIRILLO, WILLIAM	DAIN TRAILER	0.00	3.00	93.0	0.00	2.79	0.00	2.79
07/11/2018 #	CIRILLO, WILLIAM	DAIN TRAILER	0.00	2.35	93.0	0.00	2.19	0.00	2.19
07/11/2018 #	GHANEY, ROBERT	DAIN TRAILER	0.00	1.79	93.0	0.00	1.66	0.00	1.66
07/11/2018 #	GHANEY, ROBERT	DAIN TRAILER	0.00	2.34	93.0	0.00	2.18	0.00	2.18
07/11/2018 #	GHANEY, ROBERT	DAIN TRAILER	0.00	1.07	93.0	0.00	1.00	0.00	1.00
07/11/2018 #	MULLALLY, JOHN	DAIN TRAILER	0.00	7.87	93.0	0.00	7.32	0.00	7.32
07/13/2018 #	VARIES, CUSTOMER	DAIN TRAILER	0.00	0.99	93.0	0.00	0.92	0.00	0.92
07/13/2018 #	VARIES, CUSTOMER	DAIN TRAILER	0.00	6.12	93.0	0.00	5.69	0.00	5.69
07/13/2018 #	VARIES, CUSTOMER	DAIN TRAILER	0.00	0.99	93.0	0.00	0.92	0.00	0.92
07/13/2018 #	VARIES, CUSTOMER	DAIN TRAILER	0.00	2.28	93.0	0.00	2.12	0.00	2.12
07/24/2018 #	MULLALLY, JOHN	DAIN TRAILER	0.00	2.50	93.0	0.00	2.33	0.00	2.33
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$290.49		\$0.00	\$270.18	\$0.00	\$270.18
*** BROKER TRANSACTIONS TOTAL ***			\$19,648.87	\$914.58		\$0.00	\$850.64	\$0.00	\$850.64

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

08/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
08/20/2018		ADMIN FEE: ADMIN FEE							-390.00
*** ADJUSTMENTS TOTAL ***									<u>\$-569.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$850.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<u>\$281.64</u>
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