

All Companies
STATEMENT
July 20, 2018

JEFF HAGYARD
5060 CALIFORNIA AVE
SUITE 620
BAKERSFIELD, CA 93309

BROKER #: 214
BRANCH: LA BRANCH

COMMISSION STATEMENT for JEFF HAGYARD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/01/0018	#012470001601 HARY-MARG	487300840	0.00	2.42	75.0	0.00	1.82	0.00	1.82
05/01/0018	#08900008280 BENEGARY	PRINCIPAL EQUITY INCOME FUND	20,379.12	17.31	75.0	0.00	12.98	0.00	12.98
05/01/0018	#06008444561 BENEGARY	S A M CONSERVATIVE GROWTH PORT (C)	24,139.16	20.50	75.0	0.00	15.38	0.00	15.38
05/01/0018	#08900008280 BENEGARY	S A M CONSERVATIVE GROWTH PORT (C)	8,409.50	7.14	75.0	0.00	5.36	0.00	5.36
* MUTUAL FUNDS SUBTOTAL *			\$52,927.78	\$47.37		\$0.00	\$35.54	\$0.00	\$35.54
<u>PRODUCT CATEGORY: STOCKS</u>									
06/11/2018	# HAGYARD, SHIRLEY	DENISON MINES CORP	2,700.50	135.03	75.0	0.00	101.27	19.95	81.32
06/19/2018	# HAGYARD, SHIRLEY	***IQIYI INC	22,211.50	405.77	75.0	0.00	304.33	19.95	284.38
06/20/2018	# HAGYARD, SHIRLEY	CANOPY GROWTH CORPORATION COM	31,953.20	532.59	75.0	0.00	399.44	19.95	379.49
06/21/2018	# HAGYARD, SHIRLEY	BLACKROCK RESOURCES & COMMODITIES S	36.72	0.00	75.0	0.00	0.00	19.95	-19.95
06/21/2018	# HAGYARD, SHIRLEY	BLACKROCK RESOURCES & COMMODITIES S	18,323.28	471.86	75.0	0.00	353.90	19.95	333.95
* STOCKS SUBTOTAL *			\$75,225.20	\$1,545.25		\$0.00	\$1,158.94	\$99.75	\$1,059.19
<u>PRODUCT CATEGORY: OPTIONS</u>									
06/12/2018	# HAGYARD, SHIRLEY	CALL NWL 01/18/19 24	2,394.00	119.70	75.0	0.00	89.78	31.65	58.13
* OPTIONS SUBTOTAL *			\$2,394.00	\$119.70		\$0.00	\$89.78	\$31.65	\$58.13
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00068036692	AMERICAN BALANCED FUND-529A	0.00	11.84	75.0	0.00	8.88	0.00	8.88
06/01/2018	#00073012450	AMERICAN BALANCED FUND-529A	0.00	0.60	75.0	0.00	0.45	0.00	0.45
06/01/2018	#00086893142	AMERICAN BALANCED FUND-529A	0.00	8.38	75.0	0.00	6.29	0.00	6.29
06/01/2018	#00086893161	AMERICAN BALANCED FUND-529A	0.00	8.38	75.0	0.00	6.29	0.00	6.29
06/01/2018	#00086893167	AMERICAN BALANCED FUND-529A	0.00	8.38	75.0	0.00	6.29	0.00	6.29
06/01/2018	#00082019249 MAZZEO, ROBERT	AMERICAN BALANCED FUND-F1	0.00	7.79	75.0	0.00	5.84	0.00	5.84
06/01/2018	#00082019249 MAZZEO, ROBERT	CAPITAL WORLD GROWTH & INCOME FUND	0.00	7.02	75.0	0.00	5.27	0.00	5.27
06/01/2018	#00068036692	CAPITAL WORLD GROWTH AND INCOME-529	0.00	12.15	75.0	0.00	9.11	0.00	9.11
06/01/2018	#00073012450	CAPITAL WORLD GROWTH AND INCOME-529	0.00	0.60	75.0	0.00	0.45	0.00	0.45
06/01/2018	#00086893142	CAPITAL WORLD GROWTH AND INCOME-529	0.00	8.83	75.0	0.00	6.62	0.00	6.62

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00086893161	CAPITAL WORLD GROWTH AND INCOME-529	0.00	8.83	75.0	0.00	6.62	0.00	6.62
06/01/2018	#00086893167	CAPITAL WORLD GROWTH AND INCOME-529	0.00	8.83	75.0	0.00	6.62	0.00	6.62
06/01/2018	#00082019249 MAZZEO, ROBERT	GROWTH FUND AMER INC CL F1	0.00	9.32	75.0	0.00	6.99	0.00	6.99
06/01/2018	#00081170825 BULLOCK,	GROWTH FUND AMERICA INC CLASS A	0.00	23.35	75.0	0.00	17.51	0.00	17.51
06/01/2018	#00068036692	NEW PERSPECTIVE FUND-529A	0.00	13.18	75.0	0.00	9.89	0.00	9.89
06/01/2018	#00068036694	NEW PERSPECTIVE FUND-529A	0.00	5.05	75.0	0.00	3.79	0.00	3.79
06/01/2018	#00073012450	NEW PERSPECTIVE FUND-529A	0.00	0.71	75.0	0.00	0.53	0.00	0.53
06/01/2018	#00086893142	NEW PERSPECTIVE FUND-529A	0.00	9.67	75.0	0.00	7.25	0.00	7.25
06/01/2018	#00086893161	NEW PERSPECTIVE FUND-529A	0.00	9.67	75.0	0.00	7.25	0.00	7.25
06/01/2018	#00086893167	NEW PERSPECTIVE FUND-529A	0.00	9.67	75.0	0.00	7.25	0.00	7.25
06/01/2018	#00068036692	THE GROWTH FUND OF AMERICA-529A	0.00	40.19	75.0	0.00	30.14	0.00	30.14
06/01/2018	#00068036694	THE GROWTH FUND OF AMERICA-529A	0.00	35.33	75.0	0.00	26.50	0.00	26.50
06/01/2018	#00073012450	THE GROWTH FUND OF AMERICA-529A	0.00	1.08	75.0	0.00	0.81	0.00	0.81
06/01/2018	#00086893142	THE GROWTH FUND OF AMERICA-529A	0.00	11.30	75.0	0.00	8.48	0.00	8.48
06/01/2018	#00086893161	THE GROWTH FUND OF AMERICA-529A	0.00	11.30	75.0	0.00	8.48	0.00	8.48
06/01/2018	#00086893167	THE GROWTH FUND OF AMERICA-529A	0.00	11.30	75.0	0.00	8.48	0.00	8.48
06/01/2018	#00082019249 MAZZEO, ROBERT	THE INVESTMENT COMPANY OF AMERICA F	0.00	7.86	75.0	0.00	5.90	0.00	5.90
06/01/2018	#00068036692	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	36.81	75.0	0.00	27.61	0.00	27.61
06/01/2018	#00068036694	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	19.34	75.0	0.00	14.51	0.00	14.51
06/01/2018	#00073012450	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	0.91	75.0	0.00	0.68	0.00	0.68
06/01/2018	#00086893142	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	9.77	75.0	0.00	7.33	0.00	7.33
06/01/2018	#00086893161	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	9.77	75.0	0.00	7.33	0.00	7.33
06/01/2018	#00086893167	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	9.77	75.0	0.00	7.33	0.00	7.33
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$376.98		\$0.00	\$282.77	\$0.00	\$282.77
*** BROKER TRANSACTIONS TOTAL ***			\$130,546.98	\$2,089.30		\$0.00	\$1,567.03	\$131.40	\$1,435.63

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ADJUSTMENTS

07/20/2018		ADMIN FEE: ADMIN FEE							-390.00
07/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,435.63
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$866.63
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