



Concorde Investment Services, LLC

09/13/2017
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COMMISSION STATEMENT **September 15, 2017**

JONATHAN CREIGHTON
350 Lincoln Street
Suite 2400
Hingham, MA 02043

BROKER #: C117
BRANCH: JACK CREIGHTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/17/2017	CAMPBELL, ROGER	EATON VANCE CORE PLUS BOND FUND CLA	0.00	2.54	0.00	2.54	90.0	2.29
08/17/2017	ARSENIAN, CAROL	EATON VANCE CORE PLUS BOND FUND CLA	0.00	3.65	0.00	3.65	90.0	3.29
08/17/2017	MURPHY, PATRICIA	EATON VANCE GREATER INDIA FUND CLAS	0.00	2.05	0.00	2.05	90.0	1.85
08/17/2017	LONARDO, LISA	EATON VANCE GREATER INDIA FUND CLAS	0.00	4.35	0.00	4.35	90.0	3.92
08/17/2017	EATON, GUY	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	1.28	0.00	1.28	90.0	1.15
08/17/2017	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	5.36	0.00	5.36	90.0	4.82
08/17/2017	ARSENIAN, CAROL	FIDELITY ADV STRATEGIC INCOME A	0.00	7.94	0.00	7.94	90.0	7.15
08/17/2017	ZONIS, MELVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.28	0.00	4.28	90.0	3.85
08/17/2017	MURPHY, PATRICIA	FIDELITY ADV STRATEGIC INCOME A	0.00	5.98	0.00	5.98	90.0	5.38
08/14/2017	MURPHY, PATRICIA	FT FOUNDING FUNDS ALLOC A	0.00	0.04	0.00	0.04	90.0	0.04
08/18/2017	ARSENIAN, CAROL	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	11.27	0.00	11.27	90.0	10.14
08/18/2017	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	9.59	0.00	9.59	90.0	8.63
08/18/2017	MURPHY, PATRICIA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	3.62	0.00	3.62	90.0	3.26
08/18/2017	LONARDO, LISA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	2.63	0.00	2.63	90.0	2.37
08/17/2017	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.96	0.00	5.96	90.0	5.36
08/17/2017	ARSENIAN, CAROL	MFS INTERNATIONAL DIVERSIFICATION A	0.00	7.66	0.00	7.66	90.0	6.89
08/17/2017	MURPHY, PATRICIA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.51	0.00	4.51	90.0	4.06
08/17/2017	LONARDO, LISA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	7.75	0.00	7.75	90.0	6.98
08/17/2017	LONARDO, LISA	MFS LIFETIME 2030 FUND A	0.00	9.56	0.00	9.56	90.0	8.60
08/17/2017	ZONIS, MELVIN	MFS LIMITED MATURITY A	0.00	1.83	0.00	1.83	90.0	1.65
08/17/2017	LONARDO, LISA	MFS LIMITED MATURITY A	0.00	4.22	0.00	4.22	90.0	3.80
08/17/2017	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	11.73	0.00	11.73	90.0	10.56
08/17/2017	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	1.57	0.00	1.57	90.0	1.41
08/17/2017	MURPHY, PATRICIA	MFS MODERATE ALLOCATION A	0.00	0.60	0.00	0.60	90.0	0.54
08/17/2017	ROSENTHAL, MARY ELLEN	MFS MODERATE ALLOCATION A	0.00	5.17	0.00	5.17	90.0	4.65
08/17/2017	ROSENTHAL, JONATHAN	MFS MODERATE ALLOCATION A	0.00	8.18	0.00	8.18	90.0	7.36

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/17/2017	LONARDO, LISA	MFS TOTAL RETURN A	0.00	4.90	0.00	4.90	90.0	4.41
08/17/2017	ROSENTHAL, MARY ELLEN	MFS VALUE A	0.00	2.56	0.00	2.56	90.0	2.30
08/21/2017	ALBERTI, ANTHONY	OPPENHEIMER STEELPATH SELECT 40 C	0.00	36.39	0.00	36.39	90.0	32.75
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$177.17	\$0.00	\$177.17		\$159.46
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$177.17	\$0.00	\$177.17		\$159.46

ADJUSTMENTS

09/15/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
09/15/2017	*MARKETING LIBRARY: MARKETING LIBRA	0.00						-45.00
09/15/2017	*TECHNOLOGY: ADMIN - JILL DARGO	0.00						-100.00
09/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-720.00

BROKER COMMISSION TOTALS

Broker Transactions	\$159.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-720.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$63.05
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-497.49
Year-to-date Gross	\$449,393.77
Year-to-date Earnings	\$404,395.09

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