

COMMISSION STATEMENT

June 09, 2017

EDWARD BYRNE

421 HANOVER CENTER ROAD

ETNA, NH 03750

BROKER #: 23

BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD BYRNE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/09/2017	QGN005720 MARIO CAMARA	VUZIX CORP COM NEW	B	29,212.50	400.00	17.00	383.00	75.0	283.00
05/09/2017	QGW031882 MICKES, THOMAS	VUZIX CORP COM NEW	B	14,625.00	250.00	17.00	233.00	75.0	170.50
05/16/2017	QGW031882 MICKES, THOMAS	VUZIX CORP COM NEW	S	-13,750.00	150.00	17.00	133.00	75.0	95.50
05/02/2017	QGW031957 COLE, PHILLIP	VUZIX CORP COM NEW	S	-2,850.00	40.00	17.00	23.00	75.0	13.00
05/11/2017	QGW031957 COLE, PHILLIP	VUZIX CORP COM NEW	B	18,545.10	350.00	17.00	333.00	75.0	245.50
* STOCKS SUBTOTAL *				\$45,782.60	\$1,190.00	\$85.00	\$1,105.00		\$807.50
<u>PRODUCT CATEGORY: OPTIONS</u>									
05/30/2017	QGW031833 CASSADY REVOCABLE	CALL 100 NEONODE INC COM NEW EXP 10 B		4,500.00	175.00	170.00	5.00	75.0	-38.75
05/23/2017	QGW031882 MICKES, THOMAS	CALL 100 VUZIX CORP COM NEW EXP 10- B		5,000.00	284.00	70.00	214.00	75.0	143.00
05/23/2017	QGW031882 MICKES, THOMAS	CALL 100 VUZIX CORP COM NEW EXP 10- B		-5,000.00	-284.00	-70.00	-214.00	75.0	-143.00 C
05/23/2017	QGW031882 MICKES, THOMAS	CALL 100 VUZIX CORP COM NEW EXP 10- B		5,000.00	245.00	70.00	175.00	75.0	113.75
05/24/2017	QGW031882 MICKES, THOMAS	CALL 100 NEONODE INC COM NEW EXP 10 B		3,483.00	175.00	170.00	5.00	75.0	-38.75
05/25/2017	QGW031882 MICKES, THOMAS	CALL 100 NEONODE INC COM NEW EXP 10 B		2,996.00	125.00	120.00	5.00	75.0	-26.25
05/24/2017	QGW031957 COLE, PHILLIP	CALL 100 VUZIX CORP COM NEW EXP 10- B		2,500.00	159.00	45.00	114.00	75.0	74.25
05/25/2017	QGW031957 COLE, PHILLIP	CALL 100 NEONODE INC COM NEW EXP 10 B		2,996.00	125.00	120.00	5.00	75.0	-26.25
* OPTIONS SUBTOTAL *				\$21,475.00	\$1,004.00	\$695.00	\$309.00		\$58.00
*** BROKER TRANSACTIONS TOTAL ***				\$67,257.60	\$2,194.00	\$780.00	\$1,414.00		\$865.50
<u>ADJUSTMENTS</u>									
06/09/2017		OTHER: OTHER-QUEST		0.00	0.00	0.00	0.00		-75.00
06/09/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
06/09/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
06/09/2017		:		0.00	0.00	0.00	0.00		0.00
06/09/2017		:		0.00	0.00	0.00	0.00		0.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-103.00

BROKER COMMISSION TOTALS

Broker Transactions	\$865.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-103.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.74
SIPC Assessment	\$-41.14

Check Amount	\$718.62
Year-to-date Earnings	\$8,496.46