

All Companies
STATEMENT
June 20, 2018

MALVIN JOHNSON - LPCM

BROKER #: 3036
BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

05/10/2021	#NY4711918 HORNE, FRANCES	JEFFERSON	0.00	4,085.18	90.0	0.00	3,676.66	0.00	3,676.66
06/01/2018	#514160 CARTER, JOANNE	LPCM AGGR 40/40/20	183,679.21	183.68	100.0	0.00	183.68	0.00	183.68
06/01/2018	#514199 KEENE, JEANIVIC	LPCM AGGR 40/40/20	68,848.57	91.80	100.0	0.00	91.80	0.00	91.80
06/01/2018	#514311 CARTER, IAN	LPCM AGGR 40/40/20	226,131.62	226.13	100.0	0.00	226.13	0.00	226.13
06/01/2018	#528098 GAY-ROBBINS, SEILA	LPCM AGGR 40/40/20	90,487.85	120.65	100.0	0.00	120.65	0.00	120.65
06/01/2018	#529749 EDWARDS, ELLEN	LPCM AGGR 40/40/20	73,770.49	86.07	100.0	0.00	86.07	0.00	86.07
06/01/2018	#529755 EDWARDS & ASSOCIATES	LPCM AGGR 40/40/20	30,119.79	35.14	100.0	0.00	35.14	0.00	35.14
06/01/2018	#531251 CARTER, JOANNE	LPCM AGGR 40/40/20	245,785.06	225.30	100.0	0.00	225.30	0.00	225.30
06/01/2018	#514160 CARTER, JOANNE	LPCM AGGR 40/40/20	183,679.21	-91.83	100.0	0.00	-91.83	0.00	-91.83
06/01/2018	#514199 KEENE, JEANIVIC	LPCM AGGR 40/40/20	68,848.57	-34.42	100.0	0.00	-34.42	0.00	-34.42
06/01/2018	#514311 CARTER, IAN	LPCM AGGR 40/40/20	226,131.62	-113.06	100.0	0.00	-113.06	0.00	-113.06
06/01/2018	#528098 GAY-ROBBINS, SEILA	LPCM AGGR 40/40/20	90,487.85	-45.24	100.0	0.00	-45.24	0.00	-45.24
06/01/2018	#529749 EDWARDS, ELLEN	LPCM AGGR 40/40/20	73,770.49	-36.88	100.0	0.00	-36.88	0.00	-36.88
06/01/2018	#529755 EDWARDS & ASSOCIATES	LPCM AGGR 40/40/20	30,119.79	-15.05	100.0	0.00	-15.05	0.00	-15.05
06/01/2018	#531251 CARTER, JOANNE	LPCM AGGR 40/40/20	245,785.06	-122.89	100.0	0.00	-122.89	0.00	-122.89
06/01/2018	#514072 SIMMONS, SHARON	LPCM CONS 20/20/60	116,771.66	170.29	100.0	0.00	170.29	0.00	170.29
06/01/2018	#514093 VENOSDEL, HELEN	LPCM CONS 20/20/60	139,859.45	163.17	100.0	0.00	163.17	0.00	163.17
06/01/2018	#514108 PALMER TRUST,	LPCM CONS 20/20/60	36,596.67	48.80	100.0	0.00	48.80	0.00	48.80
06/01/2018	#514122 WESTON, RAYMOND	LPCM CONS 20/20/60	29,958.44	39.94	100.0	0.00	39.94	0.00	39.94
06/01/2018	#514151 GEORGE, CHERYL	LPCM CONS 20/20/60	65,285.75	76.17	100.0	0.00	76.17	0.00	76.17
06/01/2018	#514200 WESTON, RAYMOND	LPCM CONS 20/20/60	61,374.13	89.51	100.0	0.00	89.51	0.00	89.51
06/01/2018	#514203 WESTON, RAYMOND	LPCM CONS 20/20/60	63,142.99	92.08	100.0	0.00	92.08	0.00	92.08
06/01/2018	#514285 PALMER TRUST,	LPCM CONS 20/20/60	63,562.02	84.75	100.0	0.00	84.75	0.00	84.75
06/01/2018	#514295 POUNCEY, HARRY	LPCM CONS 20/20/60	22,501.82	33.75	100.0	0.00	33.75	0.00	33.75
06/01/2018	#514331 ABLE, ERIC H WESTON	LPCM CONS 20/20/60	109,971.74	146.63	100.0	0.00	146.63	0.00	146.63
06/01/2018	#529295 BJORNSEN, LONA	LPCM CONS 20/20/60	230,356.86	211.16	100.0	0.00	211.16	0.00	211.16
06/01/2018	#514072 SIMMONS, SHARON	LPCM CONS 20/20/60	116,771.66	-58.38	100.0	0.00	-58.38	0.00	-58.38
06/01/2018	#514093 VENOSDEL, HELEN	LPCM CONS 20/20/60	139,859.45	-69.92	100.0	0.00	-69.92	0.00	-69.92

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
06/01/2018	#514108 PALMER TRUST,	LPCM CONS 20/20/60	36,596.67	-18.29	100.0	0.00	-18.29	0.00	-18.29
06/01/2018	#514122 WESTON, RAYMOND	LPCM CONS 20/20/60	29,958.44	-14.97	100.0	0.00	-14.97	0.00	-14.97
06/01/2018	#514151 GEORGE, CHERYL	LPCM CONS 20/20/60	65,285.75	-32.64	100.0	0.00	-32.64	0.00	-32.64
06/01/2018	#514200 WESTON, RAYMOND	LPCM CONS 20/20/60	61,374.13	-30.68	100.0	0.00	-30.68	0.00	-30.68
06/01/2018	#514203 WESTON, RAYMOND	LPCM CONS 20/20/60	63,142.99	-31.57	100.0	0.00	-31.57	0.00	-31.57
06/01/2018	#514285 PALMER TRUST,	LPCM CONS 20/20/60	63,562.02	-31.78	100.0	0.00	-31.78	0.00	-31.78
06/01/2018	#514295 POUNCEY, HARRY	LPCM CONS 20/20/60	22,501.82	-11.25	100.0	0.00	-11.25	0.00	-11.25
06/01/2018	#514331 ABLE, ERIC H WESTON	LPCM CONS 20/20/60	109,971.74	-54.98	100.0	0.00	-54.98	0.00	-54.98
06/01/2018	#529295 BJORNSEN, LONA	LPCM CONS 20/20/60	230,356.86	-115.17	100.0	0.00	-115.17	0.00	-115.17
06/01/2018	#513049 HASTINGS, JOANNE	LPCM MOD 30/30/40	175,639.32	175.64	100.0	0.00	175.64	0.00	175.64
06/01/2018	#513051 HASTINGS, JOHN	LPCM MOD 30/30/40	134,723.14	134.73	100.0	0.00	134.73	0.00	134.73
06/01/2018	#514069 HORNE, FRANCES	LPCM MOD 30/30/40	440,749.75	404.02	100.0	0.00	404.02	0.00	404.02
06/01/2018	#514082 PORTER, FANNIE	LPCM MOD 30/30/40	152,392.80	139.69	100.0	0.00	139.69	0.00	139.69
06/01/2018	#514087 WALKER, JERRY	LPCM MOD 30/30/40	252,924.94	252.93	100.0	0.00	252.93	0.00	252.93
06/01/2018	#514132 RAMSEUR, PATRICIA	LPCM MOD 30/30/40	122,711.65	184.07	100.0	0.00	184.07	0.00	184.07
06/01/2018	#514148 GEORGE, DAVID	LPCM MOD 30/30/40	130,443.75	152.19	100.0	0.00	152.19	0.00	152.19
06/01/2018	#514155 LAMBERT, INEZ	LPCM MOD 30/30/40	35,545.91	41.47	100.0	0.00	41.47	0.00	41.47
06/01/2018	#514169 WALKER, SUSAN	LPCM MOD 30/30/40	14,333.39	14.33	100.0	0.00	14.33	0.00	14.33
06/01/2018	#514175 MONTGOMERY, PATRICE	LPCM MOD 30/30/40	161,914.13	188.90	100.0	0.00	188.90	0.00	188.90
06/01/2018	#514198 LAMBERT, INEZ	LPCM MOD 30/30/40	183,291.40	213.84	100.0	0.00	213.84	0.00	213.84
06/01/2018	#514222 GEORGE, DAVID	LPCM MOD 30/30/40	43,899.97	51.22	100.0	0.00	51.22	0.00	51.22
06/01/2018	#514250 POUNCEY, SONJA	LPCM MOD 30/30/40	108,316.84	99.29	100.0	0.00	99.29	0.00	99.29
06/01/2018	#514258 EDWARDS, ELLEN	LPCM MOD 30/30/40	49,128.66	57.32	100.0	0.00	57.32	0.00	57.32
06/01/2018	#514260 WALKER, JERRY	LPCM MOD 30/30/40	155,208.76	155.21	100.0	0.00	155.21	0.00	155.21
06/01/2018	#514274 WALKER, SUSAN	LPCM MOD 30/30/40	74,042.27	74.04	100.0	0.00	74.04	0.00	74.04
06/01/2018	#514316 EDWARDS, ELLEN	LPCM MOD 30/30/40	81,815.85	95.45	100.0	0.00	95.45	0.00	95.45
06/01/2018	#514318 HORNE, FRANCES	LPCM MOD 30/30/40	529,736.16	485.59	100.0	0.00	485.59	0.00	485.59
06/01/2018	#552860 POUNCEY, SONJA	LPCM MOD 30/30/40	118,247.37	137.96	100.0	0.00	137.96	0.00	137.96
06/01/2018	#513049 HASTINGS, JOANNE	LPCM MOD 30/30/40	175,639.32	-87.81	100.0	0.00	-87.81	0.00	-87.81
06/01/2018	#513051 HASTINGS, JOHN	LPCM MOD 30/30/40	134,723.14	-67.36	100.0	0.00	-67.36	0.00	-67.36
06/01/2018	#514069 HORNE, FRANCES	LPCM MOD 30/30/40	440,749.75	-220.37	100.0	0.00	-220.37	0.00	-220.37
06/01/2018	#514082 PORTER, FANNIE	LPCM MOD 30/30/40	152,392.80	-76.19	100.0	0.00	-76.19	0.00	-76.19
06/01/2018	#514087 WALKER, JERRY	LPCM MOD 30/30/40	252,924.94	-126.46	100.0	0.00	-126.46	0.00	-126.46
06/01/2018	#514132 RAMSEUR, PATRICIA	LPCM MOD 30/30/40	122,711.65	-61.35	100.0	0.00	-61.35	0.00	-61.35
06/01/2018	#514148 GEORGE, DAVID	LPCM MOD 30/30/40	130,443.75	-65.22	100.0	0.00	-65.22	0.00	-65.22
06/01/2018	#514155 LAMBERT, INEZ	LPCM MOD 30/30/40	35,545.91	-17.77	100.0	0.00	-17.77	0.00	-17.77

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
06/01/2018	#514169 WALKER, SUSAN	LPCM MOD 30/30/40	14,333.39	-7.16	100.0	0.00	-7.16	0.00	-7.16
06/01/2018	#514175 MONTGOMERY, PATRICE	LPCM MOD 30/30/40	161,914.13	-80.95	100.0	0.00	-80.95	0.00	-80.95
06/01/2018	#514198 LAMBERT, INEZ	LPCM MOD 30/30/40	183,291.40	-91.64	100.0	0.00	-91.64	0.00	-91.64
06/01/2018	#514222 GEORGE, DAVID	LPCM MOD 30/30/40	43,899.97	-21.94	100.0	0.00	-21.94	0.00	-21.94
06/01/2018	#514250 POUNCEY, SONJA	LPCM MOD 30/30/40	108,316.84	-54.15	100.0	0.00	-54.15	0.00	-54.15
06/01/2018	#514258 EDWARDS, ELLEN	LPCM MOD 30/30/40	49,128.66	-24.56	100.0	0.00	-24.56	0.00	-24.56
06/01/2018	#514260 WALKER, JERRY	LPCM MOD 30/30/40	155,208.76	-77.60	100.0	0.00	-77.60	0.00	-77.60
06/01/2018	#514274 WALKER, SUSAN	LPCM MOD 30/30/40	74,042.27	-37.02	100.0	0.00	-37.02	0.00	-37.02
06/01/2018	#514316 EDWARDS, ELLEN	LPCM MOD 30/30/40	81,815.85	-40.90	100.0	0.00	-40.90	0.00	-40.90
06/01/2018	#514318 HORNE, FRANCES	LPCM MOD 30/30/40	529,736.16	-264.86	100.0	0.00	-264.86	0.00	-264.86
06/01/2018	#552860 POUNCEY, SONJA	LPCM MOD 30/30/40	118,247.37	-59.12	100.0	0.00	-59.12	0.00	-59.12
06/01/2018	#568135 TCA JOHNSON VAR	LPCM ULTRA CONS 10/10/80	48,538.21	36.40	100.0	0.00	36.40	0.00	36.40
06/01/2018	#568135 TCA JOHNSON VAR	LPCM ULTRA CONS 10/10/80	48,538.21	-24.26	100.0	0.00	-24.26	0.00	-24.26
06/01/2018	#514311 CARTER, IAN	TCA NULL MODEL	101,995.71	-50.99	100.0	0.00	-50.99	0.00	-50.99
06/01/2018	#662456 ROMAIN, PATRICK	TCA NULL MODEL	99,341.44	-49.67	100.0	0.00	-49.67	0.00	-49.67
06/01/2018	#514093 VENOSDEL, HELEN	TCA NULL MODEL	451.58	-0.22	100.0	0.00	-0.22	0.00	-0.22
06/01/2018	#514311 CARTER, IAN	TCA NULL MODEL	156,017.66	-78.00	100.0	0.00	-78.00	0.00	-78.00
06/01/2018	#514160 CARTER, JOANNE	TCA NULL MODEL	114,096.29	-57.04	100.0	0.00	-57.04	0.00	-57.04
06/01/2018	#514258 EDWARDS, ELLEN	TCA NULL MODEL	10,995.91	-5.49	100.0	0.00	-5.49	0.00	-5.49
06/01/2018	#514316 EDWARDS, ELLEN	TCA NULL MODEL	12,853.86	-6.42	100.0	0.00	-6.42	0.00	-6.42
06/01/2018	#514151 GEORGE, CHERYL	TCA NULL MODEL	41,553.11	-20.77	100.0	0.00	-20.77	0.00	-20.77
06/01/2018	#514148 GEORGE, DAVID	TCA NULL MODEL	11,971.36	-5.98	100.0	0.00	-5.98	0.00	-5.98
06/01/2018	#514069 HORNE, FRANCES	TCA NULL MODEL	75,925.09	-37.96	100.0	0.00	-37.96	0.00	-37.96
06/01/2018	#514318 HORNE, FRANCES	TCA NULL MODEL	47,563.28	-23.78	100.0	0.00	-23.78	0.00	-23.78
06/01/2018	#514175 MONTGOMERY, PATRICE	TCA NULL MODEL	3,353.05	-1.67	100.0	0.00	-1.67	0.00	-1.67
06/01/2018	#514285 PALMER TRUST,	TCA NULL MODEL	35,896.57	-17.94	100.0	0.00	-17.94	0.00	-17.94
06/01/2018	#514132 RAMSEUR, PATRICIA	TCA NULL MODEL	33,040.35	-16.52	100.0	0.00	-16.52	0.00	-16.52
06/01/2018	#514087 WALKER, JERRY	TCA NULL MODEL	87,248.89	-43.62	100.0	0.00	-43.62	0.00	-43.62
06/01/2018	#514203 WESTON, RAYMOND	TCA NULL MODEL	4,466.13	-2.23	100.0	0.00	-2.23	0.00	-2.23
06/01/2018	#514160 CARTER, JOANNE	VL AGGRESSIVE	205,776.99	205.78	100.0	0.00	205.78	0.00	205.78
06/01/2018	#514199 KEENE, JEANIVIC	VL AGGRESSIVE	77,013.23	102.68	100.0	0.00	102.68	0.00	102.68
06/01/2018	#514311 CARTER, IAN	VL AGGRESSIVE	253,226.43	253.23	100.0	0.00	253.23	0.00	253.23
06/01/2018	#528098 GAY-ROBBINS, SEILA	VL AGGRESSIVE	100,961.60	134.62	100.0	0.00	134.62	0.00	134.62
06/01/2018	#529749 EDWARDS, ELLEN	VL AGGRESSIVE	82,502.68	96.25	100.0	0.00	96.25	0.00	96.25
06/01/2018	#529755 EDWARDS & ASSOCIATES	VL AGGRESSIVE	33,762.33	39.39	100.0	0.00	39.39	0.00	39.39
06/01/2018	#531251 CARTER, JOANNE	VL AGGRESSIVE	274,850.66	251.95	100.0	0.00	251.95	0.00	251.95

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
06/01/2018	#514160 CARTER, JOANNE	VL AGGRESSIVE	205,776.99	-60.01	100.0	0.00	-60.01	0.00	-60.01
06/01/2018	#514199 KEENE, JEANIVIC	VL AGGRESSIVE	77,013.23	-22.46	100.0	0.00	-22.46	0.00	-22.46
06/01/2018	#514311 CARTER, IAN	VL AGGRESSIVE	253,226.43	-73.85	100.0	0.00	-73.85	0.00	-73.85
06/01/2018	#528098 GAY-ROBBINS, SEILA	VL AGGRESSIVE	100,961.60	-29.44	100.0	0.00	-29.44	0.00	-29.44
06/01/2018	#529749 EDWARDS, ELLEN	VL AGGRESSIVE	82,502.68	-24.06	100.0	0.00	-24.06	0.00	-24.06
06/01/2018	#529755 EDWARDS & ASSOCIATES	VL AGGRESSIVE	33,762.33	-9.84	100.0	0.00	-9.84	0.00	-9.84
06/01/2018	#531251 CARTER, JOANNE	VL AGGRESSIVE	274,850.66	-80.16	100.0	0.00	-80.16	0.00	-80.16
06/01/2018	#514108 PALMER TRUST,	VL CONSERV	39,220.08	52.29	100.0	0.00	52.29	0.00	52.29
06/01/2018	#514122 WESTON, RAYMOND	VL CONSERV	32,113.58	42.82	100.0	0.00	42.82	0.00	42.82
06/01/2018	#514151 GEORGE, CHERYL	VL CONSERV	69,873.86	81.52	100.0	0.00	81.52	0.00	81.52
06/01/2018	#514200 WESTON, RAYMOND	VL CONSERV	65,651.17	95.74	100.0	0.00	95.74	0.00	95.74
06/01/2018	#514203 WESTON, RAYMOND	VL CONSERV	67,663.48	98.68	100.0	0.00	98.68	0.00	98.68
06/01/2018	#514285 PALMER TRUST,	VL CONSERV	68,019.28	90.69	100.0	0.00	90.69	0.00	90.69
06/01/2018	#514331 ABLE, ERIC H WESTON	VL CONSERV	117,695.42	156.93	100.0	0.00	156.93	0.00	156.93
06/01/2018	#529295 BJORNSEN, LONA	VL CONSERV	246,470.67	225.93	100.0	0.00	225.93	0.00	225.93
06/01/2018	#514108 PALMER TRUST,	VL CONSERV	39,220.08	-11.43	100.0	0.00	-11.43	0.00	-11.43
06/01/2018	#514122 WESTON, RAYMOND	VL CONSERV	32,113.58	-9.36	100.0	0.00	-9.36	0.00	-9.36
06/01/2018	#514151 GEORGE, CHERYL	VL CONSERV	69,873.86	-20.37	100.0	0.00	-20.37	0.00	-20.37
06/01/2018	#514200 WESTON, RAYMOND	VL CONSERV	65,651.17	-19.14	100.0	0.00	-19.14	0.00	-19.14
06/01/2018	#514203 WESTON, RAYMOND	VL CONSERV	67,663.48	-19.73	100.0	0.00	-19.73	0.00	-19.73
06/01/2018	#514285 PALMER TRUST,	VL CONSERV	68,019.28	-19.83	100.0	0.00	-19.83	0.00	-19.83
06/01/2018	#514331 ABLE, ERIC H WESTON	VL CONSERV	117,695.42	-34.32	100.0	0.00	-34.32	0.00	-34.32
06/01/2018	#529295 BJORNSEN, LONA	VL CONSERV	246,470.67	-71.88	100.0	0.00	-71.88	0.00	-71.88
06/01/2018	#514072 SIMMONS, SHARON	VL INCOME	130,404.20	190.18	100.0	0.00	190.18	0.00	190.18
06/01/2018	#514093 VENOSDEL, HELEN	VL INCOME	156,249.39	182.29	100.0	0.00	182.29	0.00	182.29
06/01/2018	#514295 POUNCEY, HARRY	VL INCOME	25,163.08	37.75	100.0	0.00	37.75	0.00	37.75
06/01/2018	#514072 SIMMONS, SHARON	VL INCOME	130,404.20	-38.03	100.0	0.00	-38.03	0.00	-38.03
06/01/2018	#514093 VENOSDEL, HELEN	VL INCOME	156,249.39	-45.57	100.0	0.00	-45.57	0.00	-45.57
06/01/2018	#514295 POUNCEY, HARRY	VL INCOME	25,163.08	-7.33	100.0	0.00	-7.33	0.00	-7.33
06/01/2018	#513049 HASTINGS, JOANNE	VL MODERATE	206,525.13	206.52	100.0	0.00	206.52	0.00	206.52
06/01/2018	#513051 HASTINGS, JOHN	VL MODERATE	158,541.74	158.54	100.0	0.00	158.54	0.00	158.54
06/01/2018	#514069 HORNE, FRANCES	VL MODERATE	518,706.90	475.48	100.0	0.00	475.48	0.00	475.48
06/01/2018	#514082 PORTER, FANNIE	VL MODERATE	179,092.19	164.17	100.0	0.00	164.17	0.00	164.17
06/01/2018	#514087 WALKER, JERRY	VL MODERATE	297,791.00	297.79	100.0	0.00	297.79	0.00	297.79
06/01/2018	#514132 RAMSEUR, PATRICIA	VL MODERATE	144,256.17	216.38	100.0	0.00	216.38	0.00	216.38
06/01/2018	#514148 GEORGE, DAVID	VL MODERATE	147,243.56	171.78	100.0	0.00	171.78	0.00	171.78

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
06/01/2018	#514155 LAMBERT, INEZ	VL MODERATE	41,774.90	48.74	100.0	0.00	48.74	0.00	48.74
06/01/2018	#514169 WALKER, SUSAN	VL MODERATE	16,885.91	16.89	100.0	0.00	16.89	0.00	16.89
06/01/2018	#514175 MONTGOMERY, PATRICE	VL MODERATE	190,349.26	222.07	100.0	0.00	222.07	0.00	222.07
06/01/2018	#514198 LAMBERT, INEZ	VL MODERATE	215,673.09	251.62	100.0	0.00	251.62	0.00	251.62
06/01/2018	#514222 GEORGE, DAVID	VL MODERATE	51,664.06	60.27	100.0	0.00	60.27	0.00	60.27
06/01/2018	#514250 POUNCEY, SONJA	VL MODERATE	127,217.64	116.62	100.0	0.00	116.62	0.00	116.62
06/01/2018	#514258 EDWARDS, ELLEN	VL MODERATE	57,821.21	67.46	100.0	0.00	67.46	0.00	67.46
06/01/2018	#514260 WALKER, JERRY	VL MODERATE	161,507.97	161.51	100.0	0.00	161.51	0.00	161.51
06/01/2018	#514274 WALKER, SUSAN	VL MODERATE	87,030.20	87.03	100.0	0.00	87.03	0.00	87.03
06/01/2018	#514316 EDWARDS, ELLEN	VL MODERATE	96,173.48	112.20	100.0	0.00	112.20	0.00	112.20
06/01/2018	#514318 HORNE, FRANCES	VL MODERATE	622,079.74	570.24	100.0	0.00	570.24	0.00	570.24
06/01/2018	#552860 POUNCEY, SONJA	VL MODERATE	139,030.89	162.20	100.0	0.00	162.20	0.00	162.20
06/01/2018	#513049 HASTINGS, JOANNE	VL MODERATE	206,525.13	-60.23	100.0	0.00	-60.23	0.00	-60.23
06/01/2018	#513051 HASTINGS, JOHN	VL MODERATE	158,541.74	-46.24	100.0	0.00	-46.24	0.00	-46.24
06/01/2018	#514069 HORNE, FRANCES	VL MODERATE	518,706.90	-151.28	100.0	0.00	-151.28	0.00	-151.28
06/01/2018	#514082 PORTER, FANNIE	VL MODERATE	179,092.19	-52.23	100.0	0.00	-52.23	0.00	-52.23
06/01/2018	#514087 WALKER, JERRY	VL MODERATE	297,791.00	-86.85	100.0	0.00	-86.85	0.00	-86.85
06/01/2018	#514132 RAMSEUR, PATRICIA	VL MODERATE	144,256.17	-42.07	100.0	0.00	-42.07	0.00	-42.07
06/01/2018	#514148 GEORGE, DAVID	VL MODERATE	147,243.56	-42.94	100.0	0.00	-42.94	0.00	-42.94
06/01/2018	#514155 LAMBERT, INEZ	VL MODERATE	41,774.90	-12.18	100.0	0.00	-12.18	0.00	-12.18
06/01/2018	#514169 WALKER, SUSAN	VL MODERATE	16,885.91	-4.92	100.0	0.00	-4.92	0.00	-4.92
06/01/2018	#514175 MONTGOMERY, PATRICE	VL MODERATE	190,349.26	-55.51	100.0	0.00	-55.51	0.00	-55.51
06/01/2018	#514198 LAMBERT, INEZ	VL MODERATE	215,673.09	-62.90	100.0	0.00	-62.90	0.00	-62.90
06/01/2018	#514222 GEORGE, DAVID	VL MODERATE	51,664.06	-15.06	100.0	0.00	-15.06	0.00	-15.06
06/01/2018	#514250 POUNCEY, SONJA	VL MODERATE	127,217.64	-37.10	100.0	0.00	-37.10	0.00	-37.10
06/01/2018	#514258 EDWARDS, ELLEN	VL MODERATE	57,821.21	-16.86	100.0	0.00	-16.86	0.00	-16.86
06/01/2018	#514260 WALKER, JERRY	VL MODERATE	161,507.97	-47.10	100.0	0.00	-47.10	0.00	-47.10
06/01/2018	#514274 WALKER, SUSAN	VL MODERATE	87,030.20	-25.38	100.0	0.00	-25.38	0.00	-25.38
06/01/2018	#514316 EDWARDS, ELLEN	VL MODERATE	96,173.48	-28.05	100.0	0.00	-28.05	0.00	-28.05
06/01/2018	#514318 HORNE, FRANCES	VL MODERATE	622,079.74	-181.43	100.0	0.00	-181.43	0.00	-181.43
06/01/2018	#552860 POUNCEY, SONJA	VL MODERATE	139,030.89	-40.55	100.0	0.00	-40.55	0.00	-40.55
* RIA SUBTOTAL *			\$21,592,353.40	\$10,751.04		\$0.00	\$10,342.52	\$0.00	\$10,342.52
*** BROKER TRANSACTIONS TOTAL ***			\$21,592,353.40	\$10,751.04		\$0.00	\$10,342.52	\$0.00	\$10,342.52

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

06/20/2018		BETA BACK OFFICE: ADMIN FEE							-390.00
06/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-569.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$10,342.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$9,773.52
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