

COMMISSION STATEMENT

June 09, 2017

RICHARD BELLINFANTE
1570 PASEO GRANDE
APT. 3034
BULLHEAD, AZ 86442

BROKER #: 54
BRANCH: HOME OFFICE

COMMISSION STATEMENT for RICHARD BELLINFANTE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
04/13/2017	QGW035065	LIEBERT, JAMES	COLUMBIA CONVERTIBLE SECURITIES FD B	0.00	8.30	0.00	8.30	75.0	6.23
04/13/2017	QGW035065	LIEBERT, JAMES	COLUMBIA CONVERTIBLE SECURITIES FD B	0.00	8.30	0.00	8.30	75.0	6.23
04/17/2017	QGW035065	LIEBERT, JAMES	DELAWARE HEALTHCARE FUNDS CLASS A B	0.00	5.20	0.00	5.20	75.0	3.90
04/17/2017	QGW035065	LIEBERT, JAMES	DELAWARE HEALTHCARE FUNDS CLASS A B	0.00	5.20	0.00	5.20	75.0	3.90
04/17/2017	QGW035065	LIEBERT, JAMES	RYDEX TELECOMMUNICATIONS FUND CLASS B	0.00	10.32	0.00	10.32	75.0	7.74
04/17/2017	QGW035065	LIEBERT, JAMES	RYDEX TELECOMMUNICATIONS FUND CLASS B	0.00	10.32	0.00	10.32	75.0	7.74
04/20/2017	QGW035065	LIEBERT, JAMES	AMERICAN CENTURY GLOBAL GOLD FUND C B	0.00	2.17	0.00	2.17	75.0	1.63
04/20/2017	QGW035065	LIEBERT, JAMES	AMERICAN CENTURY GLOBAL GOLD FUND C B	0.00	2.17	0.00	2.17	75.0	1.63
04/20/2017	QGW035065	LIEBERT, JAMES	FRANKLIN/TEMPLETON FUNDS 88019R732 B	0.00	6.61	0.00	6.61	75.0	4.96
04/20/2017	QGW035065	LIEBERT, JAMES	FRANKLIN/TEMPLETON FUNDS 88019R732 B	0.00	6.61	0.00	6.61	75.0	4.96
04/21/2017	QGW035065	LIEBERT, JAMES	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	0.00	1.19	0.00	1.19	75.0	0.89
04/21/2017	QGW035065	LIEBERT, JAMES	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	0.00	1.19	0.00	1.19	75.0	0.89
04/27/2017	QGW035065	LIEBERT, JAMES	BLACKROCK REAL ESTATE SECURITIES FU B	0.00	9.96	0.00	9.96	75.0	7.47
04/27/2017	QGW035065	LIEBERT, JAMES	BLACKROCK REAL ESTATE SECURITIES FU B	0.00	9.96	0.00	9.96	75.0	7.47
05/11/2017	QGW035065	LIEBERT, JAMES	FRANKLIN/TEMPLETON FUNDS 88019R732 B	0.00	6.64	0.00	6.64	75.0	4.98
05/11/2017	QGW035065	LIEBERT, JAMES	FRANKLIN/TEMPLETON FUNDS 88019R732 B	0.00	6.64	0.00	6.64	75.0	4.98
05/11/2017	QGW035065	LIEBERT, JAMES	FRANKLIN/TEMPLETON FUNDS 88019R732 B	0.00	6.64	0.00	6.64	75.0	4.98
05/12/2017	QGW035065	LIEBERT, JAMES	DELAWARE HEALTHCARE FUNDS CLASS A B	0.00	6.72	0.00	6.72	75.0	5.04
05/12/2017	QGW035065	LIEBERT, JAMES	DELAWARE HEALTHCARE FUNDS CLASS A B	0.00	6.72	0.00	6.72	75.0	5.04
05/12/2017	QGW035065	LIEBERT, JAMES	DELAWARE HEALTHCARE FUNDS CLASS A B	0.00	6.72	0.00	6.72	75.0	5.04
05/17/2017	QGW035065	LIEBERT, JAMES	RYDEX TELECOMMUNICATIONS FUND CLASS B	0.00	9.93	0.00	9.93	75.0	7.45
05/19/2017	QGW035065	LIEBERT, JAMES	AMERICAN CENTURY GLOBAL GOLD FUND C B	0.00	2.17	0.00	2.17	75.0	1.63
05/22/2017	QGW035065	LIEBERT, JAMES	COLUMBIA CONVERTIBLE SECURITIES FD B	0.00	8.04	0.00	8.04	75.0	6.03
05/30/2017	QGW035065	LIEBERT, JAMES	BLACKROCK REAL ESTATE SECURITIES FU B	0.00	9.84	0.00	9.84	75.0	7.38
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$157.56	\$0.00	\$157.56		\$118.19
*** BROKER TRANSACTIONS TOTAL ***				\$0.00	\$157.56	\$0.00	\$157.56		\$118.19

COMMISSION STATEMENT for RICHARD BELLINFANTE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

06/09/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-15.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-15.00

BROKER COMMISSION TOTALS

Broker Transactions	\$118.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-15.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-88.05
FINRA Assessment	\$-0.20
SIPC Assessment	\$-2.95

Check Amount	\$11.99
Year-to-date Earnings	\$5,165.83