



Concorde Investment Services, LLC
COMMISSION STATEMENT
November 30, 2017

11/30/2017
Page No. 1

WILLIAM LAYMAN
4321 Flagstaff Cove

Fort Wayne, IN 46815

BROKER #: L129
BRANCH: APPLE TREE FINANCIAL

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------|----------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 11/15/2017 | RABER, AMY | AM FUNDS AMERICAN MUTUAL A | 300.00 | 15.00 | 0.00 | 15.00 | 45.0 | 6.75 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$300.00 | \$15.00 | \$0.00 | \$15.00 | | \$6.75 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$300.00 | \$15.00 | \$0.00 | \$15.00 | | \$6.75 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$6.75 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$69.81 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$76.56 |
| Year-to-date Gross | \$1,076.39 |
| Year-to-date Earnings | \$493.36 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed