



Concorde Investment Services, LLC

05/02/2018

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COMMISSION STATEMENT**April 30, 2018**NATHANIEL, K134 KUHN
612 S. Bristol LaneBROKER #: K134
BRANCH: KUHN FINANCIAL, INC

Arlington Heights, IL 60005-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
04/02/2018	WILSON, JOE	FT GROWTH ALLOC A	50.00	2.50	0.00	2.50	90.0	2.25
* 529 PLANS SUBTOTAL *			\$50.00	\$2.50	\$0.00	\$2.50		\$2.25
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/20/2018	STETTER, MARIA	AM FUNDS AMCAP A	50.00	1.88	0.00	1.88	90.0	1.69
04/13/2018	ATKINSON, ANGELA	AM FUNDS AMERICAN BALANCED A	1,625.00	60.94	0.00	60.94	90.0	54.85
04/20/2018	STETTER, MARIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
04/10/2018	GIOVANNINI TRUST, VICTOR	AM FUNDS AMERICAN BALANCED C	100.00	1.00	0.00	1.00	90.0	0.90
04/16/2018	GOODMAN, MICHAEL	AM FUNDS BALANCED PORT A	50.00	2.50	0.00	2.50	90.0	2.25
04/10/2018	GIOVANNINI TRUST, VICTOR	AM FUNDS BOND FUND OF AMERICA C	50.00	0.50	0.00	0.50	90.0	0.45
04/13/2018	ATKINSON, ANGELA	AM FUNDS CAPITAL WORLD GRTH & INC A	1,625.00	60.94	0.00	60.94	90.0	54.85
04/10/2018	GIOVANNINI TRUST, VICTOR	AM FUNDS CAPITAL WORLD GRTH & INC C	50.00	0.50	0.00	0.50	90.0	0.45
04/10/2018	MARASOVICH, THOMAS	AM FUNDS GLOBAL BALANCED A	50.00	2.13	0.00	2.13	90.0	1.92
04/10/2018	MARASOVICH, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
04/13/2018	ATKINSON, ANGELA	AM FUNDS GROWTH FUND OF AMERICA A	1,625.00	60.94	0.00	60.94	90.0	54.85
04/16/2018	SANTOS, JAIMEE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
04/16/2018	TOLLEFSON, LOUANN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
04/16/2018	WILLIS, JUDE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
04/10/2018	GIOVANNINI TRUST, VICTOR	AM FUNDS GROWTH FUND OF AMERICA C	125.00	1.25	0.00	1.25	90.0	1.13
04/13/2018	ATKINSON, ANGELA	AM FUNDS ICA A	1,625.00	60.94	0.00	60.94	90.0	54.85
04/10/2018	GIOVANNINI TRUST, VICTOR	AM FUNDS ICA C	125.00	1.25	0.00	1.25	90.0	1.13
04/10/2018	GIOVANNINI TRUST, VICTOR	AM FUNDS NEW WORLD C	50.00	0.50	0.00	0.50	90.0	0.45
04/16/2018	SANTOS, JAIMEE	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
04/02/2018	LADUCA, BRIAN	FT CONSERVATIVE ALLOCATION C	50.00	0.50	0.00	0.50	90.0	0.45
04/16/2018	SANTOS, JAIMEE	HARTFORD FLOATING RATE C	100.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *			\$7,600.00	\$270.41	\$0.00	\$270.41		\$243.40

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
04/10/2018	DUPUIS, ROGER	GUARDIAN LIFE INS TRAILS	168,242.42	105.15	0.00	105.15	90.0	94.64
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$168,242.42	\$105.15	\$0.00	\$105.15		\$94.64
*** DIRECT TRANSACTIONS TOTAL ***			\$175,892.42	\$378.06	\$0.00	\$378.06		\$340.29

BROKER COMMISSION TOTALS

Broker Transactions	\$340.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$340.29
Year-to-date Gross	\$148,496.30
Year-to-date Earnings	\$133,647.33

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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