

COMMISSION STATEMENT

July 31, 2018

 LANCE WALSTON-D358
 1109 South Abe

 BROKER #: D358
 BRANCH: DMN

San Angelo, TX 76903

COMMISSION STATEMENT for LANCE WALSTON-D358

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-----------|------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>CLEARING TRANSACTIONS</u> | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | | |
| 07/23/2018 | mfc881708 | VARIOUS, WALSTON | MFT AIM GLOBAL VALUE FUND - CLASS A B | 0.00 | 1.09 | 0.00 | 1.09 | 90.0 | 0.98 |
| 07/23/2018 | mfc881708 | VARIOUS, WALSTON | MFT BLACKROCK LARGE CAP VALUE FD C B | 0.00 | 5.42 | 0.00 | 5.42 | 90.0 | 4.88 |
| 07/23/2018 | mfc881708 | VARIOUS, WALSTON | MFT CAPITAL INCOME BUILDER-A B | 0.00 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 07/23/2018 | mfc881708 | VARIOUS, WALSTON | MFT CAPITAL INCOME BUILDER-A B | 0.00 | 3.01 | 0.00 | 3.01 | 90.0 | 2.71 |
| 07/23/2018 | mfc881708 | VARIOUS, WALSTON | MFT CAPITAL INCOME BUILDER-F1 B | 0.00 | 1.13 | 0.00 | 1.13 | 90.0 | 1.02 |
| 07/23/2018 | mfc881708 | VARIOUS, WALSTON | MFT FEDERATED HIGH INCOME BOND FUND B | 0.00 | 1.35 | 0.00 | 1.35 | 90.0 | 1.22 |
| 07/23/2018 | mfc881708 | VARIOUS, WALSTON | MFT FEDERATED HIGH INCOME BOND FUND B | 0.00 | 1.35 | 0.00 | 1.35 | 90.0 | 1.22 |
| 07/23/2018 | mfc881708 | VARIOUS, WALSTON | MFT FRANKLIN INCOME FUND CLASS C B | 0.00 | 2.75 | 0.00 | 2.75 | 90.0 | 2.48 |
| 07/23/2018 | mfc881708 | VARIOUS, WALSTON | MFT FUNDAMENTAL INVESTORS-A B | 0.00 | 3.37 | 0.00 | 3.37 | 90.0 | 3.03 |
| 07/23/2018 | mfc881708 | VARIOUS, WALSTON | MFT MUTUAL DISC FND - CL C B | 0.00 | 3.78 | 0.00 | 3.78 | 90.0 | 3.40 |
| 07/23/2018 | mfc881708 | VARIOUS, WALSTON | MFT OPPENHEIMER SMALL & MID-CAP B | 0.00 | 6.55 | 0.00 | 6.55 | 90.0 | 5.90 |
| 07/23/2018 | mfc881708 | VARIOUS, WALSTON | MFT THE GROWTH FUND OF AMERICA-A B | 0.00 | 3.61 | 0.00 | 3.61 | 90.0 | 3.25 |
| 07/23/2018 | mfc881708 | VARIOUS, WALSTON | MFT THE INCOME FUND OF AMERICA-A B | 0.00 | 3.11 | 0.00 | 3.11 | 90.0 | 2.80 |
| 07/23/2018 | mfc881708 | VARIOUS, WALSTON | MFT THE INCOME FUND OF AMERICA-A B | 0.00 | 3.11 | 0.00 | 3.11 | 90.0 | 2.80 |
| 07/23/2018 | mfc881708 | VARIOUS, WALSTON | MFT VALUE OPPORTUNITIES A B | 0.00 | 1.29 | 0.00 | 1.29 | 90.0 | 1.16 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$40.94 | \$0.00 | \$40.94 | | \$36.87 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | | \$0.00 | \$40.94 | \$0.00 | \$40.94 | | \$36.87 |

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | |
|----------------------------------|-------------|----------------------|-----------------------------------|-----------------|---------------|---------------|---------------|------|---------------|
| 07/10/2018 | 00977664224 | ANDRENACCI, PETER MF | CAPITAL WORLD GROWTH AND INCOME B | 100.00 | 5.00 | 0.00 | 5.00 | 90.0 | 4.50 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$100.00 | \$5.00 | \$0.00 | \$5.00 | | \$4.50 |

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

| | | | | | | | | | |
|--|--------|----------------|-----------------------|-----------------|-----------------|---------------|-----------------|------|-----------------|
| 07/17/2018 | (None) | GARZA, EDUARDO | VAT PROTECTIVE LIFE B | 0.00 | 110.59 | 0.00 | 110.59 | 90.0 | 99.53 |
| 07/20/2018 | (None) | TAYLOR, MARY | VAT PROTECTIVE LIFE B | 0.00 | 88.83 | 0.00 | 88.83 | 90.0 | 79.95 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$199.42 | \$0.00 | \$199.42 | | \$179.48 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$100.00 | \$204.42 | \$0.00 | \$204.42 | | \$183.98 |

COMMISSION STATEMENT for LANCE WALSTON-D358

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

ADJUSTMENTS TRANSACTIONS

BROKER: #0

| | | | | | | | | | |
|--|---|---------------------------|--|--------|--------|--------|--------|--|-----------|
| 07/31/2018 | : | BROKER WORKSTATION | | 0.00 | 0.00 | 0.00 | 0.00 | | -30.00 |
| 07/31/2018 | : | BROKER WORKSTATION-ARNOLD | | 0.00 | 0.00 | 0.00 | 0.00 | | -30.00 |
| 07/31/2018 | : | E&O | | 0.00 | 0.00 | 0.00 | 0.00 | | -130.00 |
| 07/31/2018 | : | E-MAIL ACCOUNT | | 0.00 | 0.00 | 0.00 | 0.00 | | -25.00 |
| 07/31/2018 | : | E-MAIL ACCOUNT-ARNOLD | | 0.00 | 0.00 | 0.00 | 0.00 | | -25.00 |
| 07/31/2018 | : | ESIGNAL | | 0.00 | 0.00 | 0.00 | 0.00 | | -87.08 |
| * SUBTOTAL * | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-327.08 |
| *** ADJUSTMENTS TRANSACTIONS TOTAL *** | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-327.08 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$220.85 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-327.08 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|--------------------------|------------|
| Balance Forward | \$-106.23 |
| Year-to-date Commissions | \$4,417.48 |