LIBERTY PARTNERS

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## STATEMENT March 20, 2018

MICHELLE LAWSON - LPCM

BROKER #: 3297

BRANCH: LIBERTY PARTNERS CAP

, CA

## COMMISSION STATEMENT for MICHELLE LAWSON - LPCM

| TRADE DATE CLIENT                   | INVESTMENT                        | B/S              | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | POSTAGE | NET<br>COMMISSION | RATE   | BROKER<br>COMMISSION |
|-------------------------------------|-----------------------------------|------------------|----------------------|---------------------|--------------------|---------|-------------------|--------|----------------------|
|                                     |                                   | BROKER TRANS     | ACTIONS              |                     |                    |         |                   |        |                      |
| PRODUCT CATEGORY: RIA               |                                   |                  |                      |                     |                    |         |                   |        |                      |
| 02/03/0018 #4683556 PENDLETON, GARY | JEFFERSON                         | В                | 0.00                 | 108.68              | 0.00               | 0.00    | 108.68            | 95.0   | 103.25               |
| 02/05/0018 #VP65733300 HODOR, BRIAN | FLEXPLAN                          | В                | 0.00                 | 29.73               | 0.00               | 0.00    | 29.73             | 95.0   | 28.24                |
| 02/08/0018 #4683550 DYER, LINDA     | JEFFERSON                         | В                | 0.00                 | 208.35              | 0.00               | 0.00    | 208.35            | 95.0   | 197.93               |
| 02/08/0018 #4684020 CRAIG, DOTTIE   | JEFFERSON                         | В                | 0.00                 | 48.09               | 0.00               | 0.00    | 48.09             | 95.0   | 45.69                |
| 02/08/0018 #4684023 CRAIG, DENNIS   | JEFFERSON                         | В                | 0.00                 | 31.01               | 0.00               | 0.00    | 31.01             | 95.0   | 29.46                |
| 02/09/2018 #4684018 RIGNEY, DONALD  | JEFFERSON                         | В                | 0.00                 | 121.64              | 0.00               | 0.00    | 121.64            | 95.0   | 115.56               |
| 03/01/2018 #532037 TCA HOUSE VAR    | LPCM CONS 20/20/60                | В                | 49.00                | 61.77               | 0.00               | 0.00    | 61.77             | 7 95.0 | 61.77                |
| 03/01/2018 #532037 TCA HOUSE VAR    | LPCM MOD 30/30/40                 | В                | 50.00                | 63.51               | 0.00               | 0.00    | 63.51             | 95.0   | 63.51                |
| 03/01/2018 #514107 RABAZA, MARIA    | TCA FEES                          | В                | 55.00                | 75.88               | 0.00               | 0.00    | 75.88             | 95.0   | 72.09                |
| 03/01/2018 #514107 RABAZA, MARIA    | TCA PLATFORM FEES                 | В                | 55.00                | -27.59              | 0.00               | 0.00    | -27.59            | 95.0   | -27.59               |
| 03/01/2018 #532037 TCA HOUSE VAR    | TCA PLATFORM FEES                 | В                | 49.00                | -24.71              | 0.00               | 0.00    | -24.71            | 95.0   | -24.71               |
| 03/01/2018 #532037 TCA HOUSE VAR    | TCA PLATFORM FEES                 | В                | 50.00                | -25.40              | 0.00               | 0.00    | -25.40            | 95.0   | -25.40               |
|                                     |                                   | * RIA SUBTOTAL * | \$308.00             | \$670.96            | \$0.00             | \$0.00  | \$670.96          | -      | \$639.80             |
|                                     | *** BROKER TRANSACTIONS TOTAL *** |                  | \$308.00             | \$670.96            | \$0.00             | \$0.00  | \$670.96          | -      | \$639.80             |

## BROKER COMMISSION TOTALS \$639.80 Broker Transactions \$0.00 Split Transactions \$0.00 Override Transactions Adjustments \$0.00 Payroll Draw \$0.00 Base Salary \$0.00 \$0.00 Prior Period Balance FINRA Assessment \$0.00 \$0.00

SIPC Assessment

| Check | Amount | \$639.80 |
|-------|--------|----------|
|       |        | 4        |