

COMMISSION STATEMENT

January 31, 2018

ABRAHAM HELLER
30 WOODSHIRE TERRACEBROKER #: 1
BRANCH: HOME OFFICE

TOWACO, NJ 07082

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/25/2018	75500549 KOKULAK, JOHN	KINERJAPAY CORP COM	B	2,708.60	25.00	7.00	18.00	80.0	13.00
01/12/2018	75500550 KOKULAK, JOHN	PIONEER NATURAL RESOURCES COMPANY	S	42,797.44	25.00	7.00	18.00	80.0	13.00
01/25/2018	75500550 KOKULAK, JOHN	PACIFIC BIOSCIENCES OF CALIFORNIA I	B	3,070.00	25.00	7.00	18.00	80.0	13.00
01/26/2018	75500550 KOKULAK, JOHN	FIREEYE INC COM	B	15,680.00	25.00	7.00	18.00	80.0	13.00
12/22/2017	75500554 JOSEPH, BRUCE	SQUARE INC CLASS A COM	B	-50,011.00	-30.00	-7.00	-23.00	80.0	-17.00 C
12/22/2017	75500554 JOSEPH, BRUCE	SQUARE INC CLASS A COM	S	45,214.00	30.00	7.00	23.00	80.0	17.00
01/02/2018	75500554 JOSEPH, BRUCE	AUTODESK INC	B	10,672.00	30.00	7.00	23.00	80.0	17.00
01/16/2018	75500554 JOSEPH, BRUCE	COMSCORE INC COM	B	6,062.50	30.00	7.00	23.00	80.0	17.00
01/19/2018	75500554 JOSEPH, BRUCE	ALLERGAN PLC	B	29,662.03	30.00	7.00	23.00	80.0	17.00
01/22/2018	75500554 JOSEPH, BRUCE	SNAP INC CLASS A COMMON STOCK	S	27,660.00	32.00	7.00	25.00	80.0	18.60
01/25/2018	75500554 JOSEPH, BRUCE	CENTURYTEL INC	B	26,805.00	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW001463 MERKIN VENTURE	FACEBOOK INC CL A	S	-14,621.60	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW001463 MERKIN VENTURE	ALIBABA GROUP HOLDING LTD SPONSORED	B	29,184.73	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW001463 MERKIN VENTURE	ALIBABA GROUP HOLDING LTD SPONSORED	S	-29,324.59	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW001463 MERKIN VENTURE	ALIBABA GROUP HOLDING LTD SPONSORED	B	-29,184.73	-32.00	-7.00	-25.00	80.0	-18.60 C
01/04/2018	QGW001463 MERKIN VENTURE	ALIBABA GROUP HOLDING LTD SPONSORED	S	29,324.59	-32.00	-7.00	-25.00	80.0	-18.60 C
01/04/2018	QGW001463 MERKIN VENTURE	CHANTICLEER HLDGS INC COM PAR \$0.00	B	5,520.00	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW001463 MERKIN VENTURE	CREDIT SUISSE AG NASSAU BRH VELOCIT	B	6,709.00	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW001463 MERKIN VENTURE	ALIBABA GROUP HOLDING LTD SPONSORED	S	-43,426.78	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW001463 MERKIN VENTURE	CHANTICLEER HLDGS INC COM PAR \$0.00	S	-5,840.00	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW001463 MERKIN VENTURE	CREDIT SUISSE AG NASSAU BRH VELOCIT	B	5,224.59	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW001463 MERKIN VENTURE	DENALI THERAPEUTICS INC COM	B	6,633.72	32.00	7.00	25.00	80.0	18.60
01/09/2018	QGW001463 MERKIN VENTURE	ALIBABA GROUP HOLDING LTD SPONSORED	B	43,400.98	32.00	7.00	25.00	80.0	18.60
01/09/2018	QGW001463 MERKIN VENTURE	CREDIT SUISSE AG NASSAU BRH VELOCIT	S	-12,759.73	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW001463 MERKIN VENTURE	HELIOS & MATHESON ANALYTICS INC COM	B	11,630.96	32.00	7.00	25.00	80.0	18.60
01/12/2018	QGW001463 MERKIN VENTURE	ALLERGAN PLC	B	68,000.00	50.00	7.00	43.00	80.0	33.00
01/16/2018	QGW001463 MERKIN VENTURE	ALLERGAN PLC	S	-71,179.88	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW001463 MERKIN VENTURE	TWITTER INC	B	25,180.20	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW001463 MERKIN VENTURE	TWITTER INC	S	-25,077.60	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW001463 MERKIN VENTURE	DENALI THERAPEUTICS INC COM	S	-32,081.34	32.00	7.00	25.00	80.0	18.60
01/19/2018	QGW001463 MERKIN VENTURE	FACEBOOK INC CL A	S	-36,000.00	50.00	7.00	43.00	80.0	33.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/19/2018	QGW001463	MERKIN VENTURE							
		NEW RESIDENTIAL INVESTMENT CORP	B	34,399.80	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW001463	MERKIN VENTURE							
		NEW RESIDENTIAL INVESTMENT CORP	S	-17,520.00	33.00	7.00	26.00	80.0	19.40
01/24/2018	QGW001463	MERKIN VENTURE							
		HELIOS & MATHESON ANALYTICS INC COM S		-7,252.07	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW001463	MERKIN VENTURE							
		HELIOS & MATHESON ANALYTICS INC COM B		26,693.47	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW001463	MERKIN VENTURE							
		UNIVERSAL DISPLAY CORPORATION	B	100,226.17	34.00	7.00	27.00	80.0	20.20
01/25/2018	QGW001463	MERKIN VENTURE							
		UNIVERSAL DISPLAY CORPORATION	B	40,955.50	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW001463	MERKIN VENTURE							
		NEW RESIDENTIAL INVESTMENT CORP	S	-14,296.00	32.00	7.00	25.00	80.0	18.60
01/29/2018	QGW001463	MERKIN VENTURE							
		ALLERGAN PLC	B	48,851.69	32.00	7.00	25.00	80.0	18.60
01/29/2018	QGW001463	MERKIN VENTURE							
		HELIOS & MATHESON ANALYTICS INC COM S		-16,695.53	32.00	7.00	25.00	80.0	18.60
12/28/2017	QGW001471	MERKIN, JONATHAN							
		ALLERGAN PLC	B	8,195.81	32.00	7.00	25.00	80.0	18.60
12/29/2017	QGW001471	MERKIN, JONATHAN							
		GAIN CAP HLDGS INC COM	S	-9,226.82	32.00	7.00	25.00	80.0	18.60
12/29/2017	QGW001471	MERKIN, JONATHAN							
		GAIN CAP HLDGS INC COM	B	8,541.70	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW001471	MERKIN, JONATHAN							
		CREDIT SUISSE NASSAU BRH VELOCITY S B		8,074.32	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW001471	MERKIN, JONATHAN							
		CREDIT SUISSE NASSAU BRH VELOCITY S S		-8,424.93	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW001471	MERKIN, JONATHAN							
		DIREXION SHARES ETF TRUST DAILY SMA B		11,986.48	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW001471	MERKIN, JONATHAN							
		DIREXION SHARES ETF TRUST DAILY SMA S		-12,441.00	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW001471	MERKIN, JONATHAN							
		OVERSTOCK COM INC DEL COM	S	-16,515.59	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW001471	MERKIN, JONATHAN							
		OVERSTOCK COM INC DEL COM	B	15,613.58	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW001471	MERKIN, JONATHAN							
		ALLERGAN PLC	B	16,890.60	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW001471	MERKIN, JONATHAN							
		TEEKAY CORP SHS ISIN#MHY8564W1030	S	-4,670.00	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW001471	MERKIN, JONATHAN							
		TEEKAY CORP SHS ISIN#MHY8564W1030	B	23,299.75	32.00	7.00	25.00	80.0	18.60
12/28/2017	QGW019598	MERKIN, SOLOMON							
		U S SILICA HOLDINGS INC	B	21,357.24	34.00	7.00	27.00	80.0	20.20
12/29/2017	QGW019598	MERKIN, SOLOMON							
		GAIN CAP HLDGS INC COM	B	35,365.45	36.00	7.00	29.00	80.0	21.80
12/29/2017	QGW019598	MERKIN, SOLOMON							
		GAIN CAP HLDGS INC COM	S	-38,202.05	36.00	7.00	29.00	80.0	21.80
12/29/2017	QGW019598	MERKIN, SOLOMON							
		U S SILICA HOLDINGS INC	B	18,827.44	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019598	MERKIN, SOLOMON							
		U S SILICA HOLDINGS INC	B	21,521.27	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019598	MERKIN, SOLOMON							
		HELIOS & MATHESON ANALYTICS INC COM B		5,535.92	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019598	MERKIN, SOLOMON							
		RIOT BLOCKCHAIN INC COM	B	22,835.48	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019598	MERKIN, SOLOMON							
		ETF MANAGERS TR ETFMG ALTERNATIVE H B		14,642.74	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019598	MERKIN, SOLOMON							
		HELIOS & MATHESON ANALYTICS INC COM B		8,777.88	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW019598	MERKIN, SOLOMON							
		RIOT BLOCKCHAIN INC COM	S	-17,666.98	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW019598	MERKIN, SOLOMON							
		RIOT BLOCKCHAIN INC COM	B	17,471.47	32.00	7.00	25.00	80.0	18.60
01/08/2018	QGW019598	MERKIN, SOLOMON							
		ALLERGAN PLC	S	-85,457.20	32.00	7.00	25.00	80.0	18.60
01/08/2018	QGW019598	MERKIN, SOLOMON							
		ALLERGAN PLC	B	84,323.45	32.00	7.00	25.00	80.0	18.60
01/09/2018	QGW019598	MERKIN, SOLOMON							
		HELIOS & MATHESON ANALYTICS INC COM B		12,133.07	32.00	7.00	25.00	80.0	18.60
01/09/2018	QGW019598	MERKIN, SOLOMON							
		RIOT BLOCKCHAIN INC COM	S	-24,254.21	32.00	7.00	25.00	80.0	18.60
01/09/2018	QGW019598	MERKIN, SOLOMON							
		RIOT BLOCKCHAIN INC COM	B	14,879.40	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW019598	MERKIN, SOLOMON							
		GAIN CAP HLDGS INC COM	B	103,554.33	100.00	7.00	93.00	80.0	73.00
01/10/2018	QGW019598	MERKIN, SOLOMON							
		HELIOS & MATHESON ANALYTICS INC COM S		-127,724.29	125.00	7.00	118.00	80.0	93.00
01/10/2018	QGW019598	MERKIN, SOLOMON							
		WEIBO CORP SPONS ADR ISIN#US9485961 S		-71,994.00	32.00	7.00	25.00	80.0	18.60

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
01/10/2018	QGW019598	MERKIN, SOLOMON	WEIBO CORP SPONS ADR ISIN#US9485961 B	70,796.40	32.00	7.00	25.00	80.0	18.60
01/11/2018	QGW019598	MERKIN, SOLOMON	ETF MANAGERS TR ETFMG ALTERNATIVE H B	11,939.72	32.00	7.00	25.00	80.0	18.60
01/11/2018	QGW019598	MERKIN, SOLOMON	GAIN CAP HLDGS INC COM B	16,873.54	33.00	7.00	26.00	80.0	19.40
01/11/2018	QGW019598	MERKIN, SOLOMON	U S SILICA HOLDINGS INC S	-73,048.56	32.00	7.00	25.00	80.0	18.60
01/12/2018	QGW019598	MERKIN, SOLOMON	GAIN CAP HLDGS INC COM B	21,486.80	32.00	7.00	25.00	80.0	18.60
01/12/2018	QGW019598	MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM B	15,928.72	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019598	MERKIN, SOLOMON	ALIBABA GROUP HOLDING LTD SPONSORED S	-91,631.90	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019598	MERKIN, SOLOMON	ALIBABA GROUP HOLDING LTD SPONSORED B	93,796.85	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019598	MERKIN, SOLOMON	ETF MANAGERS TR ETFMG ALTERNATIVE H S	-27,034.81	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019598	MERKIN, SOLOMON	RIOT BLOCKCHAIN INC COM B	14,383.92	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW019598	MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM B	11,205.26	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW019598	MERKIN, SOLOMON	OVERSTOCK COM INC DEL COM B	52,045.26	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW019598	MERKIN, SOLOMON	OVERSTOCK COM INC DEL COM S	-55,051.97	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW019598	MERKIN, SOLOMON	RIOT BLOCKCHAIN INC COM B	10,787.85	32.00	7.00	25.00	80.0	18.60
01/18/2018	QGW019598	MERKIN, SOLOMON	RIOT BLOCKCHAIN INC COM S	-40,859.25	32.00	7.00	25.00	80.0	18.60
01/18/2018	QGW019598	MERKIN, SOLOMON	TWITTER INC B	52,592.76	32.00	7.00	25.00	80.0	18.60
01/19/2018	QGW019598	MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM B	24,479.70	32.00	7.00	25.00	80.0	18.60
01/19/2018	QGW019598	MERKIN, SOLOMON	TWITTER INC B	66,000.00	60.00	7.00	53.00	80.0	41.00
01/23/2018	QGW019598	MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM S	-61,285.49	64.00	7.00	57.00	80.0	44.20
01/24/2018	QGW019598	MERKIN, SOLOMON	LAS VEGAS SANDS CORP B	43,056.90	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW019598	MERKIN, SOLOMON	LAS VEGAS SANDS CORP S	-44,673.34	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW019598	MERKIN, SOLOMON	TWITTER INC B	18,925.25	32.00	7.00	25.00	80.0	18.60
01/25/2018	QGW019598	MERKIN, SOLOMON	NEWLINK GENETICS CORP S	-17,010.00	32.00	7.00	25.00	80.0	18.60
01/25/2018	QGW019598	MERKIN, SOLOMON	TWITTER INC S	-23,194.50	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW019598	MERKIN, SOLOMON	TWITTER INC S	-21,750.48	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW019598	MERKIN, SOLOMON	TWITTER INC S	-95,810.44	40.00	7.00	33.00	80.0	25.00
01/29/2018	QGW019598	MERKIN, SOLOMON	ALLERGAN PLC B	137,348.39	32.00	7.00	25.00	80.0	18.60
01/29/2018	QGW019598	MERKIN, SOLOMON	ALLERGAN PLC S	-88,684.11	32.00	7.00	25.00	80.0	18.60
01/29/2018	QGW019598	MERKIN, SOLOMON	GAIN CAP HLDGS INC COM B	10,041.82	32.00	7.00	25.00	80.0	18.60
01/29/2018	QGW019598	MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM B	24,622.00	34.00	7.00	27.00	80.0	20.20
01/29/2018	QGW019598	MERKIN, SOLOMON	NEWLINK GENETICS CORP S	-8,175.88	32.00	7.00	25.00	80.0	18.60
01/29/2018	QGW019598	MERKIN, SOLOMON	U S SILICA HOLDINGS INC B	31,429.98	32.00	7.00	25.00	80.0	18.60
12/28/2017	QGW019606	MERKIN FAMILY TRUST, GAIN CAP HLDGS INC COM B	36,596.45	40.00	7.00	33.00	80.0	25.00	
12/28/2017	QGW019606	MERKIN FAMILY TRUST, NET ELEMENT INC COM PAR \$0.0001 B	1,263.66	32.00	7.00	25.00	80.0	18.60	
12/29/2017	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED S	-62,074.73	32.00	7.00	25.00	80.0	18.60	
12/29/2017	QGW019606	MERKIN FAMILY TRUST, DENALI THERAPEUTICS INC COM B	14,839.79	32.00	7.00	25.00	80.0	18.60	
12/29/2017	QGW019606	MERKIN FAMILY TRUST, GAIN CAP HLDGS INC COM B	40,395.00	40.00	7.00	33.00	80.0	25.00	
12/29/2017	QGW019606	MERKIN FAMILY TRUST, HI-CRUSH PARTNERS LP COM UNIT PARTN S	-7,918.00	32.00	7.00	25.00	80.0	18.60	
12/29/2017	QGW019606	MERKIN FAMILY TRUST, NEWLINK GENETICS CORP S	-41,023.52	48.00	7.00	41.00	80.0	31.40	
01/02/2018	QGW019606	MERKIN FAMILY TRUST, CHANTICLEER HLDGS INC COM PAR \$0.00 B	8,249.20	32.00	7.00	25.00	80.0	18.60	

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/02/2018	QGW019606	MERKIN FAMILY TRUST, DENALI THERAPEUTICS INC COM	B	19,447.20	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019606	MERKIN FAMILY TRUST, ETF MANAGERS TR ETFMG ALTERNATIVE H B		29,856.71	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019606	MERKIN FAMILY TRUST, GAIN CAP HLDGS INC COM	B	23,634.93	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM B		14,181.25	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019606	MERKIN FAMILY TRUST, NET ELEMENT INC COM PAR \$0.0001	S	-11,159.04	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019606	MERKIN FAMILY TRUST, RIOT BLOCKCHAIN INC COM	B	43,093.12	34.00	7.00	27.00	80.0	20.20
01/03/2018	QGW019606	MERKIN FAMILY TRUST, CHANTICLEER HLDGS INC COM PAR \$0.00 B		1,618.50	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019606	MERKIN FAMILY TRUST, ETF MANAGERS TR ETFMG ALTERNATIVE H B		17,131.67	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019606	MERKIN FAMILY TRUST, FIREEYE INC COM	B	29,640.00	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019606	MERKIN FAMILY TRUST, GAIN CAP HLDGS INC COM	B	8,956.98	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019606	MERKIN FAMILY TRUST, HI-CRUSH PARTNERS LP COM UNIT PARTN S		-7,718.00	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019606	MERKIN FAMILY TRUST, NVIDIA CORP	S	-53,106.60	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019606	MERKIN FAMILY TRUST, RIOT BLOCKCHAIN INC COM	B	17,280.90	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-54,215.85	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019606	MERKIN FAMILY TRUST, CARTESIAN INC COM	B	7,163.26	65.00	7.00	58.00	80.0	45.00
01/04/2018	QGW019606	MERKIN FAMILY TRUST, DENALI THERAPEUTICS INC COM	S	-8,768.09	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019606	MERKIN FAMILY TRUST, FIREEYE INC COM	B	11,999.92	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019606	MERKIN FAMILY TRUST, GAIN CAP HLDGS INC COM	B	9,154.70	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019606	MERKIN FAMILY TRUST, NVIDIA CORP	B	10,682.50	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019606	MERKIN FAMILY TRUST, NVIDIA CORP	B	16,024.50	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-33,453.54	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW019606	MERKIN FAMILY TRUST, CARTESIAN INC COM	B	3,198.11	36.00	7.00	29.00	80.0	21.80
01/05/2018	QGW019606	MERKIN FAMILY TRUST, CHANTICLEER HLDGS INC COM PAR \$0.00 S		-8,741.09	34.00	7.00	27.00	80.0	20.20
01/05/2018	QGW019606	MERKIN FAMILY TRUST, NEWLINK GENETICS CORP	B	16,760.70	32.00	7.00	25.00	80.0	18.60
01/08/2018	QGW019606	MERKIN FAMILY TRUST, ETF MANAGERS TR ETFMG ALTERNATIVE H S		-49,696.10	38.00	7.00	31.00	80.0	23.40
01/08/2018	QGW019606	MERKIN FAMILY TRUST, GAIN CAP HLDGS INC COM	B	25,391.45	34.00	7.00	27.00	80.0	20.20
01/08/2018	QGW019606	MERKIN FAMILY TRUST, NVIDIA CORP	B	28,016.25	32.00	7.00	25.00	80.0	18.60
01/08/2018	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-74,401.80	32.00	7.00	25.00	80.0	18.60
01/09/2018	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-32,237.70	34.00	7.00	27.00	80.0	20.20
01/09/2018	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-19,950.21	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM S		-77,475.01	78.00	7.00	71.00	80.0	55.40
01/10/2018	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM B		39,728.34	40.00	7.00	33.00	80.0	25.00
01/11/2018	QGW019606	MERKIN FAMILY TRUST, CREDIT SUISSE NASSAU BRH VELOCITY S B		34,487.28	32.00	7.00	25.00	80.0	18.60
01/11/2018	QGW019606	MERKIN FAMILY TRUST, CREDIT SUISSE NASSAU BRH VELOCITY S S		-15,660.00	32.00	7.00	25.00	80.0	18.60
01/11/2018	QGW019606	MERKIN FAMILY TRUST, RIOT BLOCKCHAIN INC COM	B	11,058.26	33.00	7.00	26.00	80.0	19.40
01/12/2018	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	85,000.00	50.00	7.00	43.00	80.0	33.00
01/12/2018	QGW019606	MERKIN FAMILY TRUST, CREDIT SUISSE NASSAU BRH VELOCITY S B		18,702.31	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED S		-27,489.57	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED B		28,139.06	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-88,974.85	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/16/2018	QGW019606	MERKIN FAMILY TRUST, DENALI THERAPEUTICS INC COM	S	-17,963.93	34.00	7.00	27.00	80.0	20.20
01/17/2018	QGW019606	MERKIN FAMILY TRUST, CREDIT SUISSE NASSAU BRH VELOCITY S B		6,008.13	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW019606	MERKIN FAMILY TRUST, DENALI THERAPEUTICS INC COM	S	-49,092.56	34.00	7.00	27.00	80.0	20.20
01/17/2018	QGW019606	MERKIN FAMILY TRUST, GAIN CAP HLDGS INC COM	B	26,161.08	36.00	7.00	29.00	80.0	21.80
01/17/2018	QGW019606	MERKIN FAMILY TRUST, NUTANIX INC CL A	B	41,388.00	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW019606	MERKIN FAMILY TRUST, NUTANIX INC CL A	S	-43,821.12	34.00	7.00	27.00	80.0	20.20
01/17/2018	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	71,327.73	36.00	7.00	29.00	80.0	21.80
01/18/2018	QGW019606	MERKIN FAMILY TRUST, CREDIT SUISSE NASSAU BRH VELOCITY S B		10,911.63	32.00	7.00	25.00	80.0	18.60
01/18/2018	QGW019606	MERKIN FAMILY TRUST, CREDIT SUISSE NASSAU BRH VELOCITY S S		-21,811.32	34.00	7.00	27.00	80.0	20.20
01/18/2018	QGW019606	MERKIN FAMILY TRUST, RIOT BLOCKCHAIN INC COM	S	-19,291.38	32.00	7.00	25.00	80.0	18.60
01/18/2018	QGW019606	MERKIN FAMILY TRUST, SCHLUMBERGER LTD	B	35,114.70	32.00	7.00	25.00	80.0	18.60
01/18/2018	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	B	52,592.76	32.00	7.00	25.00	80.0	18.60
01/19/2018	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED B		126,000.00	55.00	7.00	48.00	80.0	37.00
01/19/2018	QGW019606	MERKIN FAMILY TRUST, CREDIT SUISSE NASSAU BRH VELOCITY S S		-8,994.60	32.00	7.00	25.00	80.0	18.60
01/19/2018	QGW019606	MERKIN FAMILY TRUST, CREDIT SUISSE NASSAU BRH VELOCITY S B		11,495.00	32.00	7.00	25.00	80.0	18.60
01/19/2018	QGW019606	MERKIN FAMILY TRUST, DENALI THERAPEUTICS INC COM	S	-28,138.60	36.00	7.00	29.00	80.0	21.80
01/19/2018	QGW019606	MERKIN FAMILY TRUST, FIREEYE INC COM	B	46,800.00	60.00	7.00	53.00	80.0	41.00
01/19/2018	QGW019606	MERKIN FAMILY TRUST, GAIN CAP HLDGS INC COM	B	14,472.00	32.00	7.00	25.00	80.0	18.60
01/19/2018	QGW019606	MERKIN FAMILY TRUST, SCHLUMBERGER LTD	S	-35,194.42	32.00	7.00	25.00	80.0	18.60
01/19/2018	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	B	63,989.46	32.00	7.00	25.00	80.0	18.60
01/22/2018	QGW019606	MERKIN FAMILY TRUST, NETFLIX COM INC	B	108,720.92	36.00	7.00	29.00	80.0	21.80
01/22/2018	QGW019606	MERKIN FAMILY TRUST, NETFLIX COM INC	S	-116,343.25	36.00	7.00	29.00	80.0	21.80
01/22/2018	QGW019606	MERKIN FAMILY TRUST, RIOT BLOCKCHAIN INC COM	S	-35,768.55	34.00	7.00	27.00	80.0	20.20
01/22/2018	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	B	42,280.06	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019606	MERKIN FAMILY TRUST, ALIBABA GROUP HOLDING LTD SPONSORED S		-131,377.33	34.00	7.00	27.00	80.0	20.20
01/23/2018	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	91,959.92	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019606	MERKIN FAMILY TRUST, CREDIT SUISSE NASSAU BRH VELOCITY S B		13,752.28	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM S		-67,706.86	70.00	7.00	63.00	80.0	49.00
01/23/2018	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	B	29,590.21	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019606	MERKIN FAMILY TRUST, UNIVERSAL DISPLAY CORPORATION	B	63,451.25	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW019606	MERKIN FAMILY TRUST, FIREEYE INC COM	S	-56,598.16	37.00	7.00	30.00	80.0	22.60
01/24/2018	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM B		11,607.45	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM S		-59,303.40	54.00	7.00	47.00	80.0	36.20
01/24/2018	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	B	47,892.38	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW019606	MERKIN FAMILY TRUST, PROSHARES TRUST II PROSHARES ULTRA B		3,131.77	32.00	7.00	25.00	80.0	18.60
01/25/2018	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-143,286.18	36.00	7.00	29.00	80.0	21.80
01/25/2018	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	51,086.64	32.00	7.00	25.00	80.0	18.60
01/25/2018	QGW019606	MERKIN FAMILY TRUST, CANOPY GROWTH CORP COM ISIN# CA1380 B		25,426.64	32.00	7.00	25.00	80.0	18.60
01/25/2018	QGW019606	MERKIN FAMILY TRUST, FIREEYE INC COM	S	-41,483.07	36.00	7.00	29.00	80.0	21.80
01/25/2018	QGW019606	MERKIN FAMILY TRUST, NEWLINK GENETICS CORP	S	-15,318.18	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/25/2018	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	B	22,200.00	32.00	7.00	25.00	80.0	18.60
01/25/2018	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	S	-66,390.30	36.00	7.00	29.00	80.0	21.80
01/26/2018	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	130,305.70	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW019606	MERKIN FAMILY TRUST, CANOPY GROWTH CORP COM ISIN# CA1380	S	-16,612.38	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW019606	MERKIN FAMILY TRUST, DERMIRA INC COM	B	21,735.00	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	S	-11,460.00	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	S	-86,930.45	38.00	7.00	31.00	80.0	23.40
01/26/2018	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	S	-31,300.49	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	75,410.58	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW019606	MERKIN FAMILY TRUST, UNIVERSAL DISPLAY CORPORATION	S	-126,040.20	36.00	7.00	29.00	80.0	21.80
01/26/2018	QGW019606	MERKIN FAMILY TRUST, UNIVERSAL DISPLAY CORPORATION	B	113,794.52	36.00	7.00	29.00	80.0	21.80
01/29/2018	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM	S	-16,695.53	32.00	7.00	25.00	80.0	18.60
01/29/2018	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM	S	16,695.53	-32.00	-7.00	-25.00	80.0	-18.60 C
01/29/2018	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	S	-58,238.23	32.00	7.00	25.00	80.0	18.60
12/28/2017	QGW019622	MERKIN, SHARON ALLERGAN PLC	B	36,717.23	32.00	7.00	25.00	80.0	18.60
12/28/2017	QGW019622	MERKIN, SHARON DENALI THERAPEUTICS INC COM	B	28,237.33	34.00	7.00	27.00	80.0	20.20
12/28/2017	QGW019622	MERKIN, SHARON GAIN CAP HLDGS INC COM	B	36,606.38	40.00	7.00	33.00	80.0	25.00
12/28/2017	QGW019622	MERKIN, SHARON NET ELEMENT INC COM PAR \$0.0001	B	1,273.85	32.00	7.00	25.00	80.0	18.60
12/28/2017	QGW019622	MERKIN, SHARON RIOT BLOCKCHAIN INC COM	B	8,965.42	32.00	7.00	25.00	80.0	18.60
12/29/2017	QGW019622	MERKIN, SHARON ALLERGAN PLC	S	-85,560.34	36.00	7.00	29.00	80.0	21.80
12/29/2017	QGW019622	MERKIN, SHARON FAIRMOUNT SANTROL HLDGS INC COM	B	4,860.15	32.00	7.00	25.00	80.0	18.60
12/29/2017	QGW019622	MERKIN, SHARON GAIN CAP HLDGS INC COM	B	40,395.00	40.00	7.00	33.00	80.0	25.00
12/29/2017	QGW019622	MERKIN, SHARON HELIOS & MATHESON ANALYTICS INC COM	B	14,482.70	32.00	7.00	25.00	80.0	18.60
12/29/2017	QGW019622	MERKIN, SHARON HI-CRUSH PARTNERS LP COM UNIT PARTN	S	-7,875.80	32.00	7.00	25.00	80.0	18.60
12/29/2017	QGW019622	MERKIN, SHARON NEWLINK GENETICS CORP	B	39,779.52	45.00	7.00	38.00	80.0	29.00
12/29/2017	QGW019622	MERKIN, SHARON NUTANIX INC CL A	S	-105,818.10	34.00	7.00	27.00	80.0	20.20
12/29/2017	QGW019622	MERKIN, SHARON RIOT BLOCKCHAIN INC COM	S	-19,228.22	32.00	7.00	25.00	80.0	18.60
12/29/2017	QGW019622	MERKIN, SHARON RIOT BLOCKCHAIN INC COM	B	9,290.70	32.00	7.00	25.00	80.0	18.60
12/29/2017	QGW019622	MERKIN, SHARON U S SILICA HOLDINGS INC	B	19,244.40	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019622	MERKIN, SHARON ALIBABA GROUP HOLDING LTD SPONSORED	S	-58,459.62	34.00	7.00	27.00	80.0	20.20
01/02/2018	QGW019622	MERKIN, SHARON CHANTICLEER HLDGS INC COM PAR \$0.00	B	8,249.20	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019622	MERKIN, SHARON DENALI THERAPEUTICS INC COM	B	12,964.80	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019622	MERKIN, SHARON FAIRMOUNT SANTROL HLDGS INC COM	B	8,886.60	34.00	7.00	27.00	80.0	20.20
01/02/2018	QGW019622	MERKIN, SHARON GAIN CAP HLDGS INC COM	B	23,634.93	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019622	MERKIN, SHARON NET ELEMENT INC COM PAR \$0.0001	S	-14,994.96	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019622	MERKIN, SHARON NET ELEMENT INC COM PAR \$0.0001	B	3,802.98	25.00	7.00	18.00	80.0	13.00
01/02/2018	QGW019622	MERKIN, SHARON NUTANIX INC CL A	B	24,229.42	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019622	MERKIN, SHARON RIOT BLOCKCHAIN INC COM	B	26,798.53	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019622	MERKIN, SHARON ALLERGAN PLC	B	62,948.54	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019622	MERKIN, SHARON CHANTICLEER HLDGS INC COM PAR \$0.00	B	1,621.82	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/03/2018	QGW019622	MERKIN, SHARON		DIGATRADE FINL CORP CL A ISIN#CA253 B	3,502.00	36.00	7.00	29.00	80.0 21.80
01/03/2018	QGW019622	MERKIN, SHARON		FAIRMOUNT SANTROL HLDGS INC COM	B 8,469.37	32.00	7.00	25.00	80.0 18.60
01/03/2018	QGW019622	MERKIN, SHARON		GAIN CAP HLDGS INC COM	B 8,956.98	32.00	7.00	25.00	80.0 18.60
01/03/2018	QGW019622	MERKIN, SHARON		NVIDIA CORP	S -53,106.60	32.00	7.00	25.00	80.0 18.60
01/03/2018	QGW019622	MERKIN, SHARON		RIOT BLOCKCHAIN INC COM	B 24,687.00	32.00	7.00	25.00	80.0 18.60
01/03/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC	S -36,143.90	32.00	7.00	25.00	80.0 18.60
01/04/2018	QGW019622	MERKIN, SHARON		ALIBABA GROUP HOLDING LTD SPONSORED B	29,184.73	32.00	7.00	25.00	80.0 18.60
01/04/2018	QGW019622	MERKIN, SHARON		ALIBABA GROUP HOLDING LTD SPONSORED S	-29,324.59	32.00	7.00	25.00	80.0 18.60
01/04/2018	QGW019622	MERKIN, SHARON		ALLERGAN PLC	S -102,053.86	38.00	7.00	31.00	80.0 23.40
01/04/2018	QGW019622	MERKIN, SHARON		ALLERGAN PLC	B 38,061.90	32.00	7.00	25.00	80.0 18.60
01/04/2018	QGW019622	MERKIN, SHARON		DIGATRADE FINL CORP CL A ISIN#CA253 B	2,990.00	36.00	7.00	29.00	80.0 21.80
01/04/2018	QGW019622	MERKIN, SHARON		ETF MANAGERS TR ETFMG ALTERNATIVE H B	19,970.57	32.00	7.00	25.00	80.0 18.60
01/04/2018	QGW019622	MERKIN, SHARON		GAIN CAP HLDGS INC COM	B 47,604.44	50.00	7.00	43.00	80.0 33.00
01/04/2018	QGW019622	MERKIN, SHARON		HELIOS & MATHESON ANALYTICS INC COM B	7,314.90	32.00	7.00	25.00	80.0 18.60
01/04/2018	QGW019622	MERKIN, SHARON		NUTANIX INC CL A	S -11,926.23	32.00	7.00	25.00	80.0 18.60
01/04/2018	QGW019622	MERKIN, SHARON		NVIDIA CORP	B 42,732.00	32.00	7.00	25.00	80.0 18.60
01/04/2018	QGW019622	MERKIN, SHARON		NVIDIA CORP	S -55,319.53	32.00	7.00	25.00	80.0 18.60
01/04/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC	S -25,725.07	32.00	7.00	25.00	80.0 18.60
01/04/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC	S -78,058.26	32.00	7.00	25.00	80.0 18.60
01/05/2018	QGW019622	MERKIN, SHARON		ALIBABA GROUP HOLDING LTD SPONSORED S	-58,909.38	32.00	7.00	25.00	80.0 18.60
01/05/2018	QGW019622	MERKIN, SHARON		CHANTICLEER HLDGS INC COM PAR \$0.00 S	-8,744.60	34.00	7.00	27.00	80.0 20.20
01/05/2018	QGW019622	MERKIN, SHARON		DENALI THERAPEUTICS INC COM	B 9,685.23	32.00	7.00	25.00	80.0 18.60
01/05/2018	QGW019622	MERKIN, SHARON		ETF MANAGERS TR ETFMG ALTERNATIVE H B	33,828.77	34.00	7.00	27.00	80.0 20.20
01/05/2018	QGW019622	MERKIN, SHARON		FAIRMOUNT SANTROL HLDGS INC COM	S -50,043.86	82.00	7.00	75.00	80.0 58.60
01/05/2018	QGW019622	MERKIN, SHARON		NEWLINK GENETICS CORP	B 20,485.30	32.00	7.00	25.00	80.0 18.60
01/05/2018	QGW019622	MERKIN, SHARON		NVIDIA CORP	B 77,439.10	36.00	7.00	29.00	80.0 21.80
01/05/2018	QGW019622	MERKIN, SHARON		NVIDIA CORP	S -50,389.05	32.00	7.00	25.00	80.0 18.60
01/05/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC	S -21,492.89	32.00	7.00	25.00	80.0 18.60
01/08/2018	QGW019622	MERKIN, SHARON		ALIBABA GROUP HOLDING LTD SPONSORED B	120,301.33	36.00	7.00	29.00	80.0 21.80
01/08/2018	QGW019622	MERKIN, SHARON		CREDIT SUISSE AG NASSAU BRH VELOCIT S	-29,072.25	32.00	7.00	25.00	80.0 18.60
01/08/2018	QGW019622	MERKIN, SHARON		CREDIT SUISSE AG NASSAU BRH VELOCIT B	39,785.19	34.00	7.00	27.00	80.0 20.20
01/08/2018	QGW019622	MERKIN, SHARON		DENALI THERAPEUTICS INC COM	S -6,012.00	32.00	7.00	25.00	80.0 18.60
01/08/2018	QGW019622	MERKIN, SHARON		NUTANIX INC CL A	S -51,048.67	36.00	7.00	29.00	80.0 21.80
01/08/2018	QGW019622	MERKIN, SHARON		NVIDIA CORP	B 56,624.36	32.00	7.00	25.00	80.0 18.60
01/08/2018	QGW019622	MERKIN, SHARON		NVIDIA CORP	B 40,135.38	32.00	7.00	25.00	80.0 18.60
01/08/2018	QGW019622	MERKIN, SHARON		TWITTER INC	B 34,439.86	32.00	7.00	25.00	80.0 18.60
01/08/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC	S -71,549.06	32.00	7.00	25.00	80.0 18.60
01/08/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC	S -55,801.35	32.00	7.00	25.00	80.0 18.60
01/09/2018	QGW019622	MERKIN, SHARON		CREDIT SUISSE AG NASSAU BRH VELOCIT S	-12,279.53	32.00	7.00	25.00	80.0 18.60
01/09/2018	QGW019622	MERKIN, SHARON		CREDIT SUISSE NASSAU BRH VELOCITY S B	7,501.13	32.00	7.00	25.00	80.0 18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/09/2018	QGW019622 MERKIN, SHARON	ETF MANAGERS TR ETFMG ALTERNATIVE H B		19,407.78	32.00	7.00	25.00	80.0	18.60
01/09/2018	QGW019622 MERKIN, SHARON	FIREEYE INC COM	B	16,302.00	34.00	7.00	27.00	80.0	20.20
01/09/2018	QGW019622 MERKIN, SHARON	GAIN CAP HLDGS INC COM	B	28,896.64	36.00	7.00	29.00	80.0	21.80
01/09/2018	QGW019622 MERKIN, SHARON	NVIDIA CORP	S	-153,353.28	36.00	7.00	29.00	80.0	21.80
01/09/2018	QGW019622 MERKIN, SHARON	NVIDIA CORP	B	96,384.88	32.00	7.00	25.00	80.0	18.60
01/09/2018	QGW019622 MERKIN, SHARON	TWITTER INC	B	34,111.42	34.00	7.00	27.00	80.0	20.20
01/09/2018	QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC	B	57,511.82	36.00	7.00	29.00	80.0	21.80
01/10/2018	QGW019622 MERKIN, SHARON	ACM RESH INC CL A	B	10,313.96	34.00	7.00	27.00	80.0	20.20
01/10/2018	QGW019622 MERKIN, SHARON	CREDIT SUISSE NASSAU BRH VELOCITY S B		12,246.05	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW019622 MERKIN, SHARON	CREDIT SUISSE NASSAU BRH VELOCITY S S		-20,556.83	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW019622 MERKIN, SHARON	EMERGE ENERGY SVCS LP COM UNIT REPS S		-18,177.89	33.00	7.00	26.00	80.0	19.40
01/10/2018	QGW019622 MERKIN, SHARON	FIREEYE INC COM	B	13,257.00	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW019622 MERKIN, SHARON	GLOBAL BLOCKCHAIN TECHNOLOGY CORP CO B		2,760.00	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM S		-244,835.95	245.00	7.00	238.00	80.0	189.00
01/10/2018	QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM B		55,473.60	54.00	7.00	47.00	80.0	36.20
01/10/2018	QGW019622 MERKIN, SHARON	TWITTER INC	B	16,911.93	32.00	7.00	25.00	80.0	18.60
01/11/2018	QGW019622 MERKIN, SHARON	CREDIT SUISSE NASSAU BRH VELOCITY S B		24,230.84	32.00	7.00	25.00	80.0	18.60
01/11/2018	QGW019622 MERKIN, SHARON	EMERGE ENERGY SVCS LP COM UNIT REPS S		-30,263.93	36.00	7.00	29.00	80.0	21.80
01/11/2018	QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM B		20,535.55	34.00	7.00	27.00	80.0	20.20
01/11/2018	QGW019622 MERKIN, SHARON	TRIVAGO N V SPONS ADS REPSTG CL A S B		11,493.86	32.00	7.00	25.00	80.0	18.60
01/12/2018	QGW019622 MERKIN, SHARON	ALLERGAN PLC	B	136,000.00	50.00	7.00	43.00	80.0	33.00
01/12/2018	QGW019622 MERKIN, SHARON	CREDIT SUISSE NASSAU BRH VELOCITY S B		32,688.18	32.00	7.00	25.00	80.0	18.60
01/12/2018	QGW019622 MERKIN, SHARON	DENALI THERAPEUTICS INC COM	S	-51,864.03	36.00	7.00	29.00	80.0	21.80
01/12/2018	QGW019622 MERKIN, SHARON	ETF MANAGERS TR ETFMG ALTERNATIVE H B		17,511.23	34.00	7.00	27.00	80.0	20.20
01/12/2018	QGW019622 MERKIN, SHARON	FACEBOOK INC CL A	B	125,688.43	34.00	7.00	27.00	80.0	20.20
01/12/2018	QGW019622 MERKIN, SHARON	FACEBOOK INC CL A	S	-72,421.64	32.00	7.00	25.00	80.0	18.60
01/12/2018	QGW019622 MERKIN, SHARON	FIREEYE INC COM	B	9,282.00	32.00	7.00	25.00	80.0	18.60
01/12/2018	QGW019622 MERKIN, SHARON	GAIN CAP HLDGS INC COM	B	45,430.20	54.00	7.00	47.00	80.0	36.20
01/12/2018	QGW019622 MERKIN, SHARON	TRIVAGO N V SPONS ADS REPSTG CL A S B		16,667.07	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019622 MERKIN, SHARON	ALIBABA GROUP HOLDING LTD SPONSORED S		-174,100.61	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019622 MERKIN, SHARON	ALIBABA GROUP HOLDING LTD SPONSORED B		178,214.02	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019622 MERKIN, SHARON	ALLERGAN PLC	S	-142,359.76	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019622 MERKIN, SHARON	CREDIT SUISSE NASSAU BRH VELOCITY S S		-57,325.40	36.00	7.00	29.00	80.0	21.80
01/16/2018	QGW019622 MERKIN, SHARON	DENALI THERAPEUTICS INC COM	S	-30,683.54	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B		4,113.80	40.00	7.00	33.00	80.0	25.00
01/16/2018	QGW019622 MERKIN, SHARON	FACEBOOK INC CL A	S	-54,368.40	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019622 MERKIN, SHARON	OVERSTOCK COM INC DEL COM	B	21,057.09	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019622 MERKIN, SHARON	TWITTER INC	B	284,536.26	105.00	7.00	98.00	80.0	77.00
01/16/2018	QGW019622 MERKIN, SHARON	TWITTER INC	S	-122,880.24	45.00	7.00	38.00	80.0	29.00
01/16/2018	QGW019622 MERKIN, SHARON	TWITTER INC	B	-284,536.26	-105.00	-7.00	-98.00	80.0	-77.00 C

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/16/2018	QGW019622	MERKIN, SHARON							
		TWITTER INC	B	259,356.06	95.00	7.00	88.00	80.0	69.00
01/16/2018	QGW019622	MERKIN, SHARON							
		TWITTER INC	S	122,880.24	-45.00	-7.00	-38.00	80.0	-29.00 C
01/16/2018	QGW019622	MERKIN, SHARON							
		TWITTER INC	S	-97,802.64	36.00	7.00	29.00	80.0	21.80
01/16/2018	QGW019622	MERKIN, SHARON							
		U S SILICA HOLDINGS INC	B	49,348.96	34.00	7.00	27.00	80.0	20.20
01/17/2018	QGW019622	MERKIN, SHARON							
		DIGATRADE FINL CORP CL A ISIN#CA253 B		3,492.72	40.00	7.00	33.00	80.0	25.00
01/17/2018	QGW019622	MERKIN, SHARON							
		EMERGE ENERGY SVCS LP COM UNIT REPS B		44,049.55	45.00	7.00	38.00	80.0	29.00
01/17/2018	QGW019622	MERKIN, SHARON							
		GAIN CAP HLDGS INC COM	B	18,939.04	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW019622	MERKIN, SHARON							
		OVERSTOCK COM INC DEL COM	S	-21,731.04	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW019622	MERKIN, SHARON							
		TWITTER INC	B	43,287.54	33.00	7.00	26.00	80.0	19.40
01/18/2018	QGW019622	MERKIN, SHARON							
		GAIN CAP HLDGS INC COM	S	-24,785.40	34.00	7.00	27.00	80.0	20.20
01/18/2018	QGW019622	MERKIN, SHARON							
		RIOT BLOCKCHAIN INC COM	S	-48,964.38	34.00	7.00	27.00	80.0	20.20
01/19/2018	QGW019622	MERKIN, SHARON							
		ALIBABA GROUP HOLDING LTD SPONSORED B		126,000.00	55.00	7.00	48.00	80.0	37.00
01/19/2018	QGW019622	MERKIN, SHARON							
		ETF MANAGERS TR ETFMG ALTERNATIVE H S		-37,085.74	32.00	7.00	25.00	80.0	18.60
01/19/2018	QGW019622	MERKIN, SHARON							
		GAIN CAP HLDGS INC COM	B	12,060.00	32.00	7.00	25.00	80.0	18.60
01/19/2018	QGW019622	MERKIN, SHARON							
		GLOBAL BLOCKCHAIN TECHNOLOGY CORP CO S		-2,120.40	32.00	7.00	25.00	80.0	18.60
01/22/2018	QGW019622	MERKIN, SHARON							
		ACM RESH INC CL A	S	-9,222.41	32.00	7.00	25.00	80.0	18.60
01/22/2018	QGW019622	MERKIN, SHARON							
		ALIBABA GROUP HOLDING LTD SPONSORED S		-128,275.98	34.00	7.00	27.00	80.0	20.20
01/22/2018	QGW019622	MERKIN, SHARON							
		ETF MANAGERS TR ETFMG ALTERNATIVE H S		-22,756.50	32.00	7.00	25.00	80.0	18.60
01/22/2018	QGW019622	MERKIN, SHARON							
		ETF MANAGERS TR ETFMG ALTERNATIVE H S		-33,749.01	32.00	7.00	25.00	80.0	18.60
01/22/2018	QGW019622	MERKIN, SHARON							
		FAIRMOUNT SANTROL HLDGS INC COM	B	15,803.64	34.00	7.00	27.00	80.0	20.20
01/22/2018	QGW019622	MERKIN, SHARON							
		TWITTER INC	S	-38,361.40	34.00	7.00	27.00	80.0	20.20
01/22/2018	QGW019622	MERKIN, SHARON							
		U S SILICA HOLDINGS INC	S	-59,980.00	34.00	7.00	27.00	80.0	20.20
01/23/2018	QGW019622	MERKIN, SHARON							
		ALLERGAN PLC	B	91,959.92	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019622	MERKIN, SHARON							
		CREDIT SUISSE NASSAU BRH VELOCITY S B		15,348.53	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019622	MERKIN, SHARON							
		FAIRMOUNT SANTROL HLDGS INC COM	B	15,740.73	34.00	7.00	27.00	80.0	20.20
01/23/2018	QGW019622	MERKIN, SHARON							
		FIREEYE INC COM	S	-39,754.00	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019622	MERKIN, SHARON							
		OVERSTOCK COM INC DEL COM	B	43,652.95	34.00	7.00	27.00	80.0	20.20
01/23/2018	QGW019622	MERKIN, SHARON							
		TWITTER INC	B	45,978.63	34.00	7.00	27.00	80.0	20.20
01/23/2018	QGW019622	MERKIN, SHARON							
		U S SILICA HOLDINGS INC	B	20,845.74	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019622	MERKIN, SHARON							
		UNIVERSAL DISPLAY CORPORATION	B	63,451.25	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW019622	MERKIN, SHARON							
		ALLERGAN PLC	B	70,310.61	34.00	7.00	27.00	80.0	20.20
01/24/2018	QGW019622	MERKIN, SHARON							
		CREDIT SUISSE NASSAU BRH VELOCITY S B		5,974.86	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW019622	MERKIN, SHARON							
		CREDIT SUISSE NASSAU BRH VELOCITY S S		-15,856.46	34.00	7.00	27.00	80.0	20.20
01/24/2018	QGW019622	MERKIN, SHARON							
		DIGATRADE FINL CORP CL A ISIN#CA253 S		-2,799.90	36.00	7.00	29.00	80.0	21.80
01/24/2018	QGW019622	MERKIN, SHARON							
		FAIRMOUNT SANTROL HLDGS INC COM	S	-31,752.00	50.00	7.00	43.00	80.0	33.00
01/24/2018	QGW019622	MERKIN, SHARON							
		HELIOS & MATHESON ANALYTICS INC COM B		50,044.00	50.00	7.00	43.00	80.0	33.00
01/24/2018	QGW019622	MERKIN, SHARON							
		OVERSTOCK COM INC DEL COM	B	32,035.04	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW019622	MERKIN, SHARON							
		U S SILICA HOLDINGS INC	B	24,667.04	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW019622	MERKIN, SHARON							
		UNIVERSAL DISPLAY CORPORATION	S	-195,869.52	34.00	7.00	27.00	80.0	20.20
01/24/2018	QGW019622	MERKIN, SHARON							
		UNIVERSAL DISPLAY CORPORATION	B	227,786.75	34.00	7.00	27.00	80.0	20.20

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/25/2018	QGW019622	MERKIN, SHARON		ALLERGAN PLC	S	-162,237.55	36.00	7.00	29.00 80.0 21.80
01/25/2018	QGW019622	MERKIN, SHARON		CANOPY GROWTH CORP COM ISIN# CA1380 B		45,741.05	34.00	7.00	27.00 80.0 20.20
01/25/2018	QGW019622	MERKIN, SHARON		CREDIT SUISSE NASSAU BRH VELOCITY S B		11,090.07	33.00	7.00	26.00 80.0 19.40
01/25/2018	QGW019622	MERKIN, SHARON		DIGATRADE FINL CORP CL A ISIN#CA253 S		-7,940.00	70.00	7.00	63.00 80.0 49.00
01/25/2018	QGW019622	MERKIN, SHARON		HELIOS & MATHESON ANALYTICS INC COM B		22,857.90	34.00	7.00	27.00 80.0 20.20
01/25/2018	QGW019622	MERKIN, SHARON		NEWLINK GENETICS CORP	S	-19,909.18	32.00	7.00	25.00 80.0 18.60
01/25/2018	QGW019622	MERKIN, SHARON		NUTANIX INC CL A	B	116,320.80	36.00	7.00	29.00 80.0 21.80
01/25/2018	QGW019622	MERKIN, SHARON		TRIVAGO N V SPONS ADS REPSTG CL A S S		-28,742.00	36.00	7.00	29.00 80.0 21.80
01/25/2018	QGW019622	MERKIN, SHARON		UNIVERSAL DISPLAY CORPORATION	B	102,388.74	32.00	7.00	25.00 80.0 18.60
01/26/2018	QGW019622	MERKIN, SHARON		ALLERGAN PLC	B	130,305.70	32.00	7.00	25.00 80.0 18.60
01/26/2018	QGW019622	MERKIN, SHARON		CANOPY GROWTH CORP COM ISIN# CA1380 S		-30,456.03	32.00	7.00	25.00 80.0 18.60
01/26/2018	QGW019622	MERKIN, SHARON		CREDIT SUISSE NASSAU BRH VELOCITY S B		8,931.67	32.00	7.00	25.00 80.0 18.60
01/26/2018	QGW019622	MERKIN, SHARON		DERMIRA INC COM	B	21,735.00	32.00	7.00	25.00 80.0 18.60
01/26/2018	QGW019622	MERKIN, SHARON		NUTANIX INC CL A	S	-43,708.08	34.00	7.00	27.00 80.0 20.20
01/26/2018	QGW019622	MERKIN, SHARON		TWITTER INC	S	-214,989.28	90.00	7.00	83.00 80.0 65.00
01/26/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC	B	50,273.72	32.00	7.00	25.00 80.0 18.60
01/29/2018	QGW019622	MERKIN, SHARON		DERMIRA INC COM	B	50,372.38	36.00	7.00	29.00 80.0 21.80
01/29/2018	QGW019622	MERKIN, SHARON		DERMIRA INC COM	S	-70,482.50	34.00	7.00	27.00 80.0 20.20
01/29/2018	QGW019622	MERKIN, SHARON		GAIN CAP HLDGS INC COM	S	-55,461.65	71.00	7.00	64.00 80.0 49.80
01/29/2018	QGW019622	MERKIN, SHARON		HELIOS & MATHESON ANALYTICS INC COM S		-16,695.53	32.00	7.00	25.00 80.0 18.60
01/29/2018	QGW019622	MERKIN, SHARON		OVERSTOCK COM INC DEL COM	S	-34,257.78	32.00	7.00	25.00 80.0 18.60
01/29/2018	QGW019622	MERKIN, SHARON		TWITTER INC	S	-26,241.72	34.00	7.00	27.00 80.0 20.20
01/29/2018	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC	B	30,731.54	32.00	7.00	25.00 80.0 18.60
01/29/2018	QGW019622	MERKIN, SHARON		UNIVERSAL DISPLAY CORPORATION	S	-163,097.50	34.00	7.00	27.00 80.0 20.20
01/29/2018	QGW019622	MERKIN, SHARON		UNIVERSAL DISPLAY CORPORATION	B	223,579.48	36.00	7.00	29.00 80.0 21.80
01/19/2018	QGW019648	MERKIN, SOLOMON		J P MORGAN CHASE & CO	S	-10,500.00	45.00	7.00	38.00 80.0 29.00
01/04/2018	QGW019655	MERKIN, DAPHNE		ALLERGAN PLC	B	10,149.84	32.00	7.00	25.00 80.0 18.60
01/04/2018	QGW019655	MERKIN, DAPHNE		FACEBOOK INC CL A	S	-18,585.06	32.00	7.00	25.00 80.0 18.60
01/11/2018	QGW019655	MERKIN, DAPHNE		U S SILICA HOLDINGS INC	S	-26,102.48	32.00	7.00	25.00 80.0 18.60
01/16/2018	QGW019655	MERKIN, DAPHNE		DENALI THERAPEUTICS INC COM	S	-7,867.58	32.00	7.00	25.00 80.0 18.60
01/23/2018	QGW019655	MERKIN, DAPHNE		ALLERGAN PLC	B	28,151.00	32.00	7.00	25.00 80.0 18.60
01/04/2018	QGW019663	MERKIN, DAVID		ALLERGAN PLC	B	10,149.84	32.00	7.00	25.00 80.0 18.60
01/04/2018	QGW019663	MERKIN, DAVID		FACEBOOK INC CL A	S	-16,699.50	32.00	7.00	25.00 80.0 18.60
01/04/2018	QGW019663	MERKIN, DAVID		U S SILICA HOLDINGS INC	S	-18,585.30	32.00	7.00	25.00 80.0 18.60
01/08/2018	QGW019663	MERKIN, DAVID		U S SILICA HOLDINGS INC	S	-15,062.96	32.00	7.00	25.00 80.0 18.60
01/16/2018	QGW019663	MERKIN, DAVID		DENALI THERAPEUTICS INC COM	S	-7,867.58	32.00	7.00	25.00 80.0 18.60
01/19/2018	QGW019663	MERKIN, DAVID		J P MORGAN CHASE & CO	S	-21,000.00	50.00	7.00	43.00 80.0 33.00
01/23/2018	QGW019663	MERKIN, DAVID		ALLERGAN PLC	B	33,781.19	32.00	7.00	25.00 80.0 18.60
01/26/2018	QGW019663	MERKIN, DAVID		AMAZON.COM INC	B	122,502.16	32.00	7.00	25.00 80.0 18.60
01/26/2018	QGW019663	MERKIN, DAVID		AMAZON.COM INC	S	-122,877.04	32.00	7.00	25.00 80.0 18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/11/2018	QGW019671 GERBER, DEBRA	U S SILICA HOLDINGS INC	S	-19,077.84	32.00	7.00	25.00	80.0	18.60
01/08/2018	QGW019697 KIFFEL, ELI	HI-CRUSH PARTNERS LP COM UNIT PARTN S	S	-10,441.20	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019697 KIFFEL, ELI	UNIVERSAL DISPLAY CORPORATION	B	30,764.24	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW019697 KIFFEL, ELI	UNIVERSAL DISPLAY CORPORATION	B	25,694.35	32.00	7.00	25.00	80.0	18.60
12/28/2017	QGW019713 MERKIN TRUST, SAMUEL ALLERGAN PLC		B	8,195.81	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019713 MERKIN TRUST, SAMUEL HI-CRUSH PARTNERS LP COM UNIT PARTN S		S	-10,728.71	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW019713 MERKIN TRUST, SAMUEL ALLERGAN PLC		B	12,037.89	32.00	7.00	25.00	80.0	18.60
01/08/2018	QGW019713 MERKIN TRUST, SAMUEL HI-CRUSH PARTNERS LP COM UNIT PARTN S		S	-6,930.00	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019713 MERKIN TRUST, SAMUEL DIREXION SHARES ETF TRUST DAILY SMA B		B	6,538.08	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019713 MERKIN TRUST, SAMUEL DIREXION SHARES ETF TRUST DAILY SMA S		S	-6,786.00	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019713 MERKIN TRUST, SAMUEL UNIVERSAL DISPLAY CORPORATION		B	38,455.30	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW019713 MERKIN TRUST, SAMUEL UNIVERSAL DISPLAY CORPORATION		B	21,867.53	32.00	7.00	25.00	80.0	18.60
01/29/2018	QGW019713 MERKIN TRUST, SAMUEL ALLERGAN PLC		S	-25,047.89	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019739 MERKIN TRUST, ESTHER FREEPORT MCMORAN INC		S	-5,096.00	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019739 MERKIN TRUST, ESTHER FREEPORT MCMORAN INC		S	-13,694.45	32.00	7.00	25.00	80.0	18.60
01/25/2018	QGW019739 MERKIN TRUST, ESTHER FREEPORT MCMORAN INC		S	-9,950.05	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019762 BROD TRUST, ZOE FREEPORT MCMORAN INC		S	-5,096.00	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019762 BROD TRUST, ZOE SCHLUMBERGER LTD		B	8,795.80	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019762 BROD TRUST, ZOE FREEPORT MCMORAN INC		S	-9,781.75	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW019762 BROD TRUST, ZOE TWITTER INC		B	10,971.00	32.00	7.00	25.00	80.0	18.60
01/25/2018	QGW019762 BROD TRUST, ZOE FREEPORT MCMORAN INC		S	-9,950.05	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW019762 BROD TRUST, ZOE TWITTER INC		S	-18,694.72	34.00	7.00	27.00	80.0	20.20
01/02/2018	QGW019788 MERKIN TRUST, SOPHIA FREEPORT MCMORAN INC		S	-5,880.00	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019788 MERKIN TRUST, SOPHIA FREEPORT MCMORAN INC		S	-5,869.05	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW019788 MERKIN TRUST, SOPHIA NATIONAL-OILWELL VARCO INC		B	7,244.68	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW019788 MERKIN TRUST, SOPHIA VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		B	7,369.95	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW019788 MERKIN TRUST, SOPHIA FREEPORT MCMORAN INC		S	-15,828.00	34.00	7.00	27.00	80.0	20.20
01/23/2018	QGW019788 MERKIN TRUST, SOPHIA VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		B	14,183.91	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019796 MERKIN TRUST, JENNY FREEPORT MCMORAN INC		S	-6,664.00	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019796 MERKIN TRUST, JENNY CARLYLE GROUP L P COM UNITS REPSTG S		S	-7,117.50	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019796 MERKIN TRUST, JENNY ALLERGAN PLC		B	9,304.02	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019796 MERKIN TRUST, JENNY FREEPORT MCMORAN INC		S	-13,694.45	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW019796 MERKIN TRUST, JENNY ALLERGAN PLC		B	15,477.29	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW019796 MERKIN TRUST, JENNY NATIONAL-OILWELL VARCO INC		B	18,302.35	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW019796 MERKIN TRUST, JENNY TWITTER INC		B	9,752.00	32.00	7.00	25.00	80.0	18.60
01/11/2018	QGW019796 MERKIN TRUST, JENNY CARLYLE GROUP L P COM UNITS REPSTG S		S	-4,950.00	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019796 MERKIN TRUST, JENNY CARLYLE GROUP L P COM UNITS REPSTG S		S	-7,680.00	32.00	7.00	25.00	80.0	18.60
01/25/2018	QGW019796 MERKIN TRUST, JENNY FREEPORT MCMORAN INC		S	-7,960.04	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW019796 MERKIN TRUST, JENNY TWITTER INC		S	-25,705.24	34.00	7.00	27.00	80.0	20.20
01/02/2018	QGW019804 MERKIN TRUST, Yael FREEPORT MCMORAN INC		S	-6,860.00	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
01/02/2018	QGW019804	MERKIN TRUST, Yael	SCHLUMBERGER LTD	B	12,178.80	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019804	MERKIN TRUST, Yael	CARLYLE GROUP L P COM UNITS REPSTG	S	-7,117.50	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019804	MERKIN TRUST, Yael	FREEPORT MCMORAN INC	S	-16,433.34	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW019804	MERKIN TRUST, Yael	VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		18,089.87	32.00	7.00	25.00	80.0	18.60
01/11/2018	QGW019804	MERKIN TRUST, Yael	CARLYLE GROUP L P COM UNITS REPSTG	S	-4,950.00	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019804	MERKIN TRUST, Yael	CARLYLE GROUP L P COM UNITS REPSTG	S	-7,680.00	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW019804	MERKIN TRUST, Yael	FREEPORT MCMORAN INC	S	-5,935.50	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019804	MERKIN TRUST, Yael	VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		9,455.94	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW019804	MERKIN TRUST, Yael	CARLYLE GROUP L P COM UNITS REPSTG	S	-7,515.00	32.00	7.00	25.00	80.0	18.60
01/25/2018	QGW019804	MERKIN TRUST, Yael	FREEPORT MCMORAN INC	S	-7,960.04	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019812	GERBER TRUST,	CARLYLE GROUP L P COM UNITS REPSTG	S	-4,745.00	32.00	7.00	25.00	80.0	18.60
01/08/2018	QGW019812	GERBER TRUST,	NAVIOS MARITIME MIDSTREAM PARTNERS	B	2,859.00	32.00	7.00	25.00	80.0	18.60
01/11/2018	QGW019812	GERBER TRUST,	CARLYLE GROUP L P COM UNITS REPSTG	S	-4,950.00	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW019812	GERBER TRUST,	CARLYLE GROUP L P COM UNITS REPSTG	S	-7,515.00	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019846	GERBER TRUST, JULIA	KKR & CO L P DEL COM UNITS	S	-15,683.90	32.00	7.00	25.00	80.0	18.60
01/09/2018	QGW019846	GERBER TRUST, JULIA	KKR & CO L P DEL COM UNITS	S	-13,506.06	32.00	7.00	25.00	80.0	18.60
01/08/2018	QGW019887	LIEBERMAN, ROBERT	BANK OF AMERICA CORP	S	-150,500.00	125.00	7.00	118.00	80.0	93.00
01/08/2018	QGW019887	LIEBERMAN, ROBERT	MICROSOFT CORP	B	176,305.00	75.00	7.00	68.00	80.0	53.00
01/25/2018	QGW019887	LIEBERMAN, ROBERT	MICROSOFT CORP	B	184,779.00	75.00	7.00	68.00	80.0	53.00
01/03/2018	QGW028763	MERKIN, SOLOMON	U S SILICA HOLDINGS INC	S	-23,719.55	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW028763	MERKIN, SOLOMON	CREDIT SUISSE AG NASSAU BRH VELOCIT B		13,418.00	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW028763	MERKIN, SOLOMON	CREDIT SUISSE AG NASSAU BRH VELOCIT B		6,075.11	32.00	7.00	25.00	80.0	18.60
01/09/2018	QGW028763	MERKIN, SOLOMON	CREDIT SUISSE AG NASSAU BRH VELOCIT S		-20,580.21	32.00	7.00	25.00	80.0	18.60
01/19/2018	QGW028763	MERKIN, SOLOMON	TWITTER INC	B	24,200.00	50.00	7.00	43.00	80.0	33.00
01/19/2018	QGW028763	MERKIN, SOLOMON	TWITTER INC	S	-11,803.05	32.00	7.00	25.00	80.0	18.60
01/22/2018	QGW028763	MERKIN, SOLOMON	TWITTER INC	B	8,827.70	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW028763	MERKIN, SOLOMON	TWITTER INC	S	-22,901.03	32.00	7.00	25.00	80.0	18.60
01/29/2018	QGW028763	MERKIN, SOLOMON	CANOPY GROWTH CORP COM ISIN# CA1380 B		21,253.56	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW028771	MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM S		-37,971.12	40.00	7.00	33.00	80.0	25.00
01/16/2018	QGW028771	MERKIN, SOLOMON	OVERSTOCK COM INC DEL COM	B	35,095.15	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW028771	MERKIN, SOLOMON	OVERSTOCK COM INC DEL COM	S	-36,480.00	32.00	7.00	25.00	80.0	18.60
01/19/2018	QGW028771	MERKIN, SOLOMON	GAIN CAP HLDGS INC COM	B	32,160.00	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW028771	MERKIN, SOLOMON	GAIN CAP HLDGS INC COM	S	-10,128.00	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW028771	MERKIN, SOLOMON	TWITTER INC	B	16,698.75	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW028771	MERKIN, SOLOMON	TWITTER INC	S	-17,325.00	32.00	7.00	25.00	80.0	18.60
* STOCKS SUBTOTAL *					\$875,905.78	\$16,149.00	\$3,178.00	\$12,971.00	\$9,741.20	

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/02/2018	QGW001463	MERKIN VENTURE	CALL 100 ALLERGAN PLC EXP 01-19-18 S	-4,239.99	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW001463	MERKIN VENTURE	PUT 100 TESLA INC EXP 04-20-18 @345 B	8,280.00	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW001463	MERKIN VENTURE	CALL 100 FACEBOOK INC CL A EXP 01-1 S	-900.00	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW001463	MERKIN VENTURE	CALL 100 TIME WARNER INC NEW COM NE S	-8,610.03	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW001463	MERKIN VENTURE	CALL 100 HELIOS & MATHESON ANALYTIC S	-2,238.60	36.00	7.00	29.00	80.0	21.80
01/12/2018	QGW001463	MERKIN VENTURE	CALL 100 ALLERGAN PLC EXP 01-12-18 B	2,885.64	32.00	7.00	25.00	80.0	18.60
01/30/2018	QGW001463	MERKIN VENTURE	CALL 100 HELIOS & MATHESON ANALYTIC B	4,876.63	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW001471	MERKIN, JONATHAN	CALL 100 UNIVERSAL DISPLAY CORP COM B	4,172.00	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW001471	MERKIN, JONATHAN	CALL 100 UNIVERSAL DISPLAY CORP COM S	-4,686.66	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019598	MERKIN, SOLOMON	CALL 100 ALLERGAN PLC EXP 01-19-18 S	-8,479.98	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019598	MERKIN, SOLOMON	CALL 100 ALIBABA GROUP HLDG LTD SPO S	-9,009.98	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019598	MERKIN, SOLOMON	CALL 100 ALLERGAN PLC EXP 01-12-18 B	4,372.50	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019598	MERKIN, SOLOMON	CALL 100 ALLERGAN PLC EXP 01-12-18 S	-5,115.00	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019598	MERKIN, SOLOMON	CALL 100 NEWLINK GENETICS CORP COM S	-6,980.00	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019598	MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 01-19- B	1,828.98	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW019598	MERKIN, SOLOMON	CALL 100 NEWLINK GENETICS CORP COM S	-1,500.00	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW019598	MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 01-19- B	1,190.00	32.00	7.00	25.00	80.0	18.60
01/08/2018	QGW019598	MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 01-19- B	1,648.00	32.00	7.00	25.00	80.0	18.60
01/09/2018	QGW019598	MERKIN, SOLOMON	PUT 100 TESLA INC EXP 04-20-18 @345 B	3,010.00	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW019598	MERKIN, SOLOMON	CALL 100 UNIVERSAL DISPLAY CORP COM B	12,516.00	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW019598	MERKIN, SOLOMON	CALL 100 UNIVERSAL DISPLAY CORP COM S	-14,059.98	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW019598	MERKIN, SOLOMON	CALL 100 UNIVERSAL DISPLAY CORP COM S	14,059.98	-32.00	-7.00	-25.00	80.0	-18.60 C
01/10/2018	QGW019598	MERKIN, SOLOMON	CALL 100 UNIVERSAL DISPLAY CORP COM S	-4,686.66	32.00	7.00	25.00	80.0	18.60
01/11/2018	QGW019598	MERKIN, SOLOMON	CALL 100 UNIVERSAL DISPLAY CORP COM S	-10,140.00	32.00	7.00	25.00	80.0	18.60
01/11/2018	QGW019598	MERKIN, SOLOMON	PUT 100 TESLA INC EXP 04-20-18 @345 B	5,650.00	32.00	7.00	25.00	80.0	18.60
01/12/2018	QGW019598	MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 01-19- S	-2,520.00	32.00	7.00	25.00	80.0	18.60
01/12/2018	QGW019598	MERKIN, SOLOMON	PUT 100 TESLA INC EXP 04-20-18 @345 B	6,120.00	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019598	MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 01-19- B	4,181.25	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019598	MERKIN, SOLOMON	PUT 100 TESLA INC EXP 04-20-18 @345 B	11,360.00	32.00	7.00	25.00	80.0	18.60
01/25/2018	QGW019598	MERKIN, SOLOMON	CALL 100 APACHE CORP COM EXP 02-16- B	5,864.98	32.00	7.00	25.00	80.0	18.60
01/25/2018	QGW019598	MERKIN, SOLOMON	PUT 100 TESLA INC EXP 04-20-18 @345 S	-5,800.00	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW019598	MERKIN, SOLOMON	PUT 100 TESLA INC EXP 04-20-18 @345 B	5,400.00	32.00	7.00	25.00	80.0	18.60
01/29/2018	QGW019598	MERKIN, SOLOMON	CALL 100 APPLE INC COM EXP 02-02-18 B	3,760.00	32.00	7.00	25.00	80.0	18.60
01/29/2018	QGW019598	MERKIN, SOLOMON	CALL 100 ALLERGAN PLC EXP 02-02-18 B	9,329.98	33.00	7.00	26.00	80.0	19.40
01/29/2018	QGW019598	MERKIN, SOLOMON	CALL 100 UNIVERSAL DISPLAY CORP COM B	4,440.00	32.00	7.00	25.00	80.0	18.60
01/30/2018	QGW019598	MERKIN, SOLOMON	CALL 100 APPLE INC COM EXP 02-02-18 B	3,710.00	32.00	7.00	25.00	80.0	18.60
01/30/2018	QGW019598	MERKIN, SOLOMON	CALL 100 ALLERGAN PLC EXP 02-02-18 B	2,140.00	32.00	7.00	25.00	80.0	18.60
01/30/2018	QGW019598	MERKIN, SOLOMON	CALL 100 ALLERGAN PLC EXP 02-02-18 S	-3,648.00	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100 ALIBABA GROUP HLDG LTD SPO S	-29,980.00	40.00	7.00	33.00	80.0	25.00
01/02/2018	QGW019606	MERKIN FAMILY TRUST,	CALL 100 FIREEYE INC COM EXP 01-19- B	1,503.00	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/02/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 OKTA INC CL A EXP 01-19-18 S		-1,300.00	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 U S SILICA HLDGS INC COM E S		-7,746.20	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 FIREEYE INC COM EXP 01-19- B		1,656.00	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 U S SILICA HLDGS INC COM E S		-1,440.00	32.00	7.00	25.00	80.0	18.60
01/08/2018	QGW019606	MERKIN FAMILY TRUST, PUT 100 TESLA INC EXP 04-20-18 @345 B		17,405.00	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALIBABA GROUP HLDG LTD SPO S		-2,100.00	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALIBABA GROUP HLDG LTD SPO B		5,848.50	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 HELIOS & MATHESON ANALYTIC S		-3,581.76	45.00	7.00	38.00	80.0	29.00
01/11/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALIBABA GROUP HLDG LTD SPO B		1,820.00	32.00	7.00	25.00	80.0	18.60
01/12/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALLERGAN PLC EXP 01-12-18 B		3,607.05	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALIBABA GROUP HLDG LTD SPO B		7,092.00	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 U S SILICA HLDGS INC COM E B		5,370.00	32.00	7.00	25.00	80.0	18.60
01/19/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALIBABA GROUP HLDG LTD SPO S		-3,926.20	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 U S SILICA HLDGS INC COM E B		8,090.04	34.00	7.00	27.00	80.0	20.20
01/23/2018	QGW019606	MERKIN FAMILY TRUST, PUT 100 TESLA INC EXP 04-20-18 @360 B		5,600.00	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 U S SILICA HLDGS INC COM E B		1,860.00	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW019606	MERKIN FAMILY TRUST, PUT 100 TESLA INC EXP 04-20-18 @360 S		-9,990.00	32.00	7.00	25.00	80.0	18.60
01/25/2018	QGW019606	MERKIN FAMILY TRUST, PUT 100 TESLA INC EXP 04-20-18 @360 S		-7,440.00	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 U S SILICA HLDGS INC COM E B		8,340.00	34.00	7.00	27.00	80.0	20.20
01/26/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 UNIVERSAL DISPLAY CORP COM S		-1,375.00	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 UNIVERSAL DISPLAY CORP COM B		1,500.00	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 UNIVERSAL DISPLAY CORP COM S		1,375.00	-32.00	-7.00	-25.00	80.0	-18.60 C
01/26/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 UNIVERSAL DISPLAY CORP COM B		-1,500.00	-32.00	-7.00	-25.00	80.0	-18.60 C
01/29/2018	QGW019606	MERKIN FAMILY TRUST, CALL 100 HELIOS & MATHESON ANALYTIC B		8,649.90	32.00	7.00	25.00	80.0	18.60
12/29/2017	QGW019622	MERKIN, SHARON CALL 100 ALLERGAN PLC EXP 01-19-18 B		5,125.00	34.00	7.00	27.00	80.0	20.20
12/29/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO B		1,450.00	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019622	MERKIN, SHARON CALL 100 ALLERGAN PLC EXP 01-19-18 S		-14,750.01	34.00	7.00	27.00	80.0	20.20
01/02/2018	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO S		-19,124.98	36.00	7.00	29.00	80.0	21.80
01/02/2018	QGW019622	MERKIN, SHARON CALL 100 FACEBOOK INC CL A EXP 01-1 S		-1,800.00	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019622	MERKIN, SHARON CALL 100 U S SILICA HLDGS INC COM E S		-7,380.00	34.00	7.00	27.00	80.0	20.20
01/02/2018	QGW019622	MERKIN, SHARON PUT 100 TESLA INC EXP 04-20-18 @345 B		12,420.00	32.00	7.00	25.00	80.0	18.60
01/02/2018	QGW019622	MERKIN, SHARON PUT 100 TESLA INC EXP 04-20-18 @360 B		5,025.00	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019622	MERKIN, SHARON CALL 100 FACEBOOK INC CL A EXP 01-1 S		-1,515.00	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019622	MERKIN, SHARON CALL 100 NEWLINK GENETICS CORP COM S		-5,894.69	95.00	7.00	88.00	80.0	69.00
01/03/2018	QGW019622	MERKIN, SHARON CALL 100 NUTANIX INC COM EXP 01-19- S		-5,560.00	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019622	MERKIN, SHARON CALL 100 U S SILICA HLDGS INC COM E S		-2,323.86	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019622	MERKIN, SHARON CALL 100 U S SILICA HLDGS INC COM E S		-2,779.95	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019622	MERKIN, SHARON PUT 100 TESLA INC EXP 04-20-18 @345 S		-16,790.00	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019622	MERKIN, SHARON CALL 100 NEWLINK GENETICS CORP COM S		-1,564.92	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019622	MERKIN, SHARON PUT 100 TESLA INC EXP 04-20-18 @345 S		-4,800.00	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/08/2018	QGW019622	MERKIN, SHARON	CALL 100 ALLERGAN PLC EXP 01-19-18 B	16,579.97	34.00	7.00	27.00	80.0	20.20
01/08/2018	QGW019622	MERKIN, SHARON	CALL 100 NUTANIX INC COM EXP 01-19- S	-9,119.99	36.00	7.00	29.00	80.0	21.80
01/08/2018	QGW019622	MERKIN, SHARON	CALL 100 TWITTER INC COM EXP 01-12- B	3,255.00	32.00	7.00	25.00	80.0	18.60
01/08/2018	QGW019622	MERKIN, SHARON	PUT 100 TESLA INC EXP 04-20-18 @345 B	17,405.00	32.00	7.00	25.00	80.0	18.60
01/09/2018	QGW019622	MERKIN, SHARON	CALL 100 ALLERGAN PLC EXP 01-19-18 S	-22,040.04	38.00	7.00	31.00	80.0	23.40
01/12/2018	QGW019622	MERKIN, SHARON	CALL 100 ALIBABA GROUP HLDG LTD SPO B	6,522.53	32.00	7.00	25.00	80.0	18.60
01/12/2018	QGW019622	MERKIN, SHARON	CALL 100 ALLERGAN PLC EXP 01-12-18 B	5,771.28	32.00	7.00	25.00	80.0	18.60
01/12/2018	QGW019622	MERKIN, SHARON	CALL 100 TWITTER INC COM EXP 01-12- S	-4,735.95	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW019622	MERKIN, SHARON	CALL 100 ALIBABA GROUP HLDG LTD SPO B	10,638.00	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW019622	MERKIN, SHARON	CALL 100 ALIBABA GROUP HLDG LTD SPO B	11,067.85	32.00	7.00	25.00	80.0	18.60
01/18/2018	QGW019622	MERKIN, SHARON	CALL 100 ALIBABA GROUP HLDG LTD SPO S	-2,155.00	32.00	7.00	25.00	80.0	18.60
01/18/2018	QGW019622	MERKIN, SHARON	CALL 100 ALIBABA GROUP HLDG LTD SPO S	-2,650.00	32.00	7.00	25.00	80.0	18.60
01/18/2018	QGW019622	MERKIN, SHARON	CALL 100 NEWLINK GENETICS CORP COM S	-5,360.04	34.00	7.00	27.00	80.0	20.20
01/19/2018	QGW019622	MERKIN, SHARON	CALL 100 ALIBABA GROUP HLDG LTD SPO S	-4,318.82	32.00	7.00	25.00	80.0	18.60
01/19/2018	QGW019622	MERKIN, SHARON	CALL 100 NEWLINK GENETICS CORP COM S	-2,280.00	32.00	7.00	25.00	80.0	18.60
01/19/2018	QGW019622	MERKIN, SHARON	CALL 100 NEWLINK GENETICS CORP COM S	-2,161.98	32.00	7.00	25.00	80.0	18.60
01/22/2018	QGW019622	MERKIN, SHARON	CALL 100 BIOMARIN PHARMACEUTICAL IN B	10,880.00	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019622	MERKIN, SHARON	CALL 100 ALIBABA GROUP HLDG LTD SPO S	-12,080.00	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019622	MERKIN, SHARON	CALL 100 BIOMARIN PHARMACEUTICAL IN S	-6,730.00	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019622	MERKIN, SHARON	CALL 100 NEWLINK GENETICS CORP COM S	-7,079.88	40.00	7.00	33.00	80.0	25.00
01/23/2018	QGW019622	MERKIN, SHARON	CALL 100 NEWLINK GENETICS CORP COM S	-2,250.00	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019622	MERKIN, SHARON	CALL 100 NEWLINK GENETICS CORP COM S	-1,250.00	32.00	7.00	25.00	80.0	18.60
01/23/2018	QGW019622	MERKIN, SHARON	CALL 100 TWITTER INC COM EXP 02-16- B	10,138.00	33.00	7.00	26.00	80.0	19.40
01/24/2018	QGW019622	MERKIN, SHARON	CALL 100 BIOMARIN PHARMACEUTICAL IN S	-6,170.00	32.00	7.00	25.00	80.0	18.60
01/24/2018	QGW019622	MERKIN, SHARON	CALL 100 TWITTER INC COM EXP 02-16- B	2,840.00	32.00	7.00	25.00	80.0	18.60
01/26/2018	QGW019622	MERKIN, SHARON	CALL 100 TWITTER INC COM EXP 02-16- S	-15,279.99	36.00	7.00	29.00	80.0	21.80
01/26/2018	QGW019622	MERKIN, SHARON	CALL 100 TWITTER INC COM EXP 02-02- S	-1,020.04	32.00	7.00	25.00	80.0	18.60
01/29/2018	QGW019622	MERKIN, SHARON	CALL 100 OVERSTOCK COM INC DEL COM S	-1,550.00	32.00	7.00	25.00	80.0	18.60
01/29/2018	QGW019622	MERKIN, SHARON	CALL 100 TWITTER INC COM EXP 02-02- S	-328.00	32.00	7.00	25.00	80.0	18.60
01/30/2018	QGW019622	MERKIN, SHARON	CALL 100 TWITTER INC COM EXP 02-02- B	356.00	32.00	7.00	25.00	80.0	18.60
01/30/2018	QGW019622	MERKIN, SHARON	PUT 100 TESLA INC EXP 04-20-18 @345 S	-5,500.00	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW019697	KIFFEL, ELI	CALL 100 UNIVERSAL DISPLAY CORP COM B	4,172.00	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW019697	KIFFEL, ELI	CALL 100 UNIVERSAL DISPLAY CORP COM S	-4,686.66	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW019697	KIFFEL, ELI	CALL 100 ALIBABA GROUP HLDG LTD SPO B	4,427.14	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW019697	KIFFEL, ELI	CALL 100 ALIBABA GROUP HLDG LTD SPO S	-5,078.00	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW019713	MERKIN TRUST, SAMUEL	CALL 100 U S SILICA HLDGS INC COM E B	5,370.00	32.00	7.00	25.00	80.0	18.60
01/17/2018	QGW019713	MERKIN TRUST, SAMUEL	CALL 100 U S SILICA HLDGS INC COM E S	-5,815.00	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019796	MERKIN TRUST, JENNY	CALL 100 CARLYLE GROUP L P COM UNIT S	-273.33	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW019796	MERKIN TRUST, JENNY	CALL 100 FREEPORT-MCMORAN INC CL B S	-300.00	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019804	MERKIN TRUST, Yael	CALL 100 CARLYLE GROUP L P COM UNIT S	-273.33	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
01/04/2018	QGW019804	MERKIN TRUST, YAEL	CALL 100	FREEPORT-MCMORAN INC CL B S	-300.00	32.00	7.00	25.00	80.0	18.60
01/03/2018	QGW019812	GERBER TRUST,	CALL 100	CARLYLE GROUP L P COM UNIT S	-273.33	32.00	7.00	25.00	80.0	18.60
01/08/2018	QGW019887	LIEBERMAN, ROBERT	CALL 100	MICROSOFT CORP COM EXP 06- S	-7,600.00	70.00	7.00	63.00	80.0	49.00
01/03/2018	QGW028763	MERKIN, SOLOMON	CALL 100	ALLERGAN PLC EXP 01-12-18 B	3,000.00	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW028763	MERKIN, SOLOMON	CALL 100	ALLERGAN PLC EXP 01-19-18 B	3,020.00	32.00	7.00	25.00	80.0	18.60
01/04/2018	QGW028763	MERKIN, SOLOMON	CALL 100	ALLERGAN PLC EXP 01-12-18 B	1,457.50	32.00	7.00	25.00	80.0	18.60
01/05/2018	QGW028763	MERKIN, SOLOMON	CALL 100	ALLERGAN PLC EXP 01-12-18 S	-5,310.00	32.00	7.00	25.00	80.0	18.60
01/08/2018	QGW028763	MERKIN, SOLOMON	CALL 100	ALLERGAN PLC EXP 01-19-18 S	-3,250.00	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW028763	MERKIN, SOLOMON	CALL 100	ALIBABA GROUP HLDG LTD SPO B	5,848.50	32.00	7.00	25.00	80.0	18.60
01/10/2018	QGW028763	MERKIN, SOLOMON	CALL 100	WEIBO CORP SPONS ADR ISIN# B	10,860.00	32.00	7.00	25.00	80.0	18.60
01/11/2018	QGW028763	MERKIN, SOLOMON	CALL 100	WEIBO CORP SPONS ADR ISIN# S	-6,460.02	32.00	7.00	25.00	80.0	18.60
01/12/2018	QGW028763	MERKIN, SOLOMON	CALL 100	ALIBABA GROUP HLDG LTD SPO B	6,522.53	32.00	7.00	25.00	80.0	18.60
01/12/2018	QGW028763	MERKIN, SOLOMON	CALL 100	WEIBO CORP SPONS ADR ISIN# S	-4,740.00	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW028763	MERKIN, SOLOMON	CALL 100	ALIBABA GROUP HLDG LTD SPO S	-7,050.00	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW028763	MERKIN, SOLOMON	CALL 100	TWITTER INC COM EXP 01-19- B	8,083.75	32.00	7.00	25.00	80.0	18.60
01/16/2018	QGW028763	MERKIN, SOLOMON	CALL 100	WEIBO CORP SPONS ADR ISIN# S	-3,320.00	32.00	7.00	25.00	80.0	18.60
01/18/2018	QGW028763	MERKIN, SOLOMON	CALL 100	ALIBABA GROUP HLDG LTD SPO S	-4,310.00	32.00	7.00	25.00	80.0	18.60
01/19/2018	QGW028763	MERKIN, SOLOMON	CALL 100	TWITTER INC COM EXP 01-19- S	-3,045.96	32.00	7.00	25.00	80.0	18.60
01/22/2018	QGW028763	MERKIN, SOLOMON	CALL 100	BIOMARIN PHARMACEUTICAL IN B	10,880.00	32.00	7.00	25.00	80.0	18.60
01/22/2018	QGW028763	MERKIN, SOLOMON	CALL 100	BIOMARIN PHARMACEUTICAL IN B	-10,880.00	-32.00	-7.00	-25.00	80.0	-18.60 C
01/29/2018	QGW028771	MERKIN, SOLOMON	CALL 100	HELIOS & MATHESON ANALYTIC B	8,649.90	32.00	7.00	25.00	80.0	18.60
01/30/2018	QGW028771	MERKIN, SOLOMON	CALL 100	HELIOS & MATHESON ANALYTIC B	4,433.30	32.00	7.00	25.00	80.0	18.60
* OPTIONS SUBTOTAL *					\$-37,592.13	\$4,456.00	\$938.00	\$3,518.00		\$2,626.80
*** BROKER TRANSACTIONS TOTAL ***					\$838,313.65	\$20,605.00	\$4,116.00	\$16,489.00		\$12,368.00

ADJUSTMENTS

01/31/2018	ADVANCE: ADVANCE	0.00	0.00	0.00	0.00	-5,000.00
01/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
01/31/2018	OTHER: OTHER	0.00	0.00	0.00	0.00	240.00
01/31/2018	OTHER: OTHER	0.00	0.00	0.00	0.00	-8.00
01/31/2018	OTHER: OTHER-REPAYMENT OF LOAN	0.00	0.00	0.00	0.00	-1,000.00
01/31/2018	OTHER: OTHER-PERSHING DEC 2017	0.00	0.00	0.00	0.00	116.36
01/31/2018	OTHER: OTHER-QGV896756	0.00	0.00	0.00	0.00	-50.20
01/31/2018	OTHER: OTHER-OPTIONS PRICES	0.00	0.00	0.00	0.00	-102.90
01/31/2018	OTHER: REAL TICK	0.00	0.00	0.00	0.00	-500.00
01/31/2018	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00	-54.44

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-6,379.18
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BROKER COMMISSION TOTALS

Broker Transactions	\$12,368.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-6,379.18
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-25.76
SIPC Assessment	\$-51.51

Check Amount	\$5,911.55
Year-to-date Earnings	\$5,911.55