

# COMMISSION STATEMENT July 15, 2018

GREG PHILLIPS-D366

 BROKER #: D366  
 BRANCH: DMD

, TX

## COMMISSION STATEMENT for GREG PHILLIPS-D366

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
06/22/2018 (None)	HARAZINOVA, TIFFANY	MF COLLEGE CHOICE ADVISOR	B	150.00	7.13	0.00	7.13	72.5	5.17
06/28/2018 00082600419	WELLS, KELLY	MF THE INVESTMENT COMPANY OF	B	50.00	1.88	0.00	1.88	72.5	1.36
07/02/2018 00082600607	WELLS, TIMOTHY	MF THE INVESTMENT COMPANY OF	B	50.00	1.88	0.00	1.88	72.5	1.36
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$250.00</b>	<b>\$10.89</b>	<b>\$0.00</b>	<b>\$10.89</b>		<b>\$7.89</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
06/30/2018 1017327263	AKE, LILIANA	VA ELITE ACCESS	B	158,470.11	33.01	0.00	33.01	72.5	23.93
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$158,470.11</b>	<b>\$33.01</b>	<b>\$0.00</b>	<b>\$33.01</b>		<b>\$23.93</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
06/30/2018 (None)	VARIOUS, PHILLIPS	MFT OPPENHEIMER	B	0.00	344.70	0.00	344.70	72.5	249.91
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$344.70</b>	<b>\$0.00</b>	<b>\$344.70</b>		<b>\$249.91</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$158,720.11</b>	<b>\$388.60</b>	<b>\$0.00</b>	<b>\$388.60</b>		<b>\$281.73</b>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$281.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-127.20
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$154.53</b>
<b>Year-to-date Commissions</b>	<b>\$2,071.16</b>