



Concorde Investment Services, LLC

07/27/2017
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COMMISSION STATEMENT

July 31, 2017

JOSEPH (TERRY) MANN
219 E. 31st Street

BROKER #: M132
BRANCH: JOSEPH "TERRY" MANN

Savannah, GA 31401

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/05/2017	MANN, JASON	ALLIANZ GLOBAL WATER A	0.00	0.73	0.00	0.73	91.0	0.66
07/05/2017	MANN, JASON	ALLIANZ RCM GLOBAL SMALL CAP A	0.00	2.58	0.00	2.58	91.0	2.35
07/05/2017	MANN, JASON	ALLIANZGI FOCUSED GROWTH A	0.00	1.57	0.00	1.57	91.0	1.43
07/10/2017	BAILEY, PATRICIA	AM FUNDS AMCAP A	150.00	7.50	0.00	7.50	91.0	6.83
07/17/2017	COLLINS, REGENA	AM FUNDS AMCAP A	65.00	2.44	0.00	2.44	91.0	2.22
07/17/2017	COLLINS, JAMES	AM FUNDS AMCAP A	60.00	2.25	0.00	2.25	91.0	2.05
07/17/2017	PAI, YOGISH	AM FUNDS AMCAP C	125.00	1.25	0.00	1.25	91.0	1.14
07/17/2017	NEUHAUSER, JOSEPH	AM FUNDS AMCAP C	100.00	1.00	0.00	1.00	91.0	0.91
07/17/2017	VERMA, ADI	AM FUNDS BOND FUND OF AMERICA A	200.00	6.00	0.00	6.00	91.0	5.46
07/17/2017	VERMA, ADI	AM FUNDS BOND FUND OF AMERICA A	200.00	6.00	0.00	6.00	91.0	5.46
07/10/2017	BAILEY, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND A	150.00	7.50	0.00	7.50	91.0	6.83
07/17/2017	MOORE, MAX	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	2.75	0.00	2.75	91.0	2.50
07/17/2017	COLLINS, REGENA	AM FUNDS EUROPACIFIC GROWTH FUND A	60.00	2.25	0.00	2.25	91.0	2.05
07/17/2017	COLLINS, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	60.00	2.25	0.00	2.25	91.0	2.05
07/17/2017	ARCHER, ISABEL	AM FUNDS EUROPACIFIC GROWTH FUND C	125.00	1.25	0.00	1.25	91.0	1.14
07/17/2017	ARCHER, JACOB	AM FUNDS EUROPACIFIC GROWTH FUND C	900.00	9.00	0.00	9.00	91.0	8.19
07/17/2017	NEUHAUSER, MITCH	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.38	0.00	1.38	91.0	1.26
07/17/2017	DUNLAP, TONY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	91.0	1.71
07/20/2017	POHLMANN, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	91.0	1.94
07/17/2017	ARCHER, ISABEL	AM FUNDS GROWTH FUND OF AMERICA C	50.00	0.50	0.00	0.50	91.0	0.46
07/17/2017	HEALEY, VAUGHN	AM FUNDS GROWTH PORT C	50.00	0.50	0.00	0.50	91.0	0.46
07/17/2017	HEALEY, ASHLEY	AM FUNDS GROWTH PORT C	50.00	0.50	0.00	0.50	91.0	0.46
07/17/2017	PAI, YOGISH	AM FUNDS NEW ECONOMY C	125.00	1.25	0.00	1.25	91.0	1.14
07/17/2017	COLLINS, REGENA	AM FUNDS NEW PERSPECTIVE A	60.00	2.25	0.00	2.25	91.0	2.05
07/17/2017	COLLINS, JAMES	AM FUNDS NEW PERSPECTIVE A	65.00	2.44	0.00	2.44	91.0	2.22
07/17/2017	ARCHER, ISABEL	AM FUNDS NEW PERSPECTIVE C	125.00	1.25	0.00	1.25	91.0	1.14

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/17/2017	ARCHER, ISABEL	AM FUNDS NEW PERSPECTIVE C	50.00	0.50	0.00	0.50	91.0	0.46
07/17/2017	ARCHER, JACOB	AM FUNDS NEW PERSPECTIVE C	50.00	0.50	0.00	0.50	91.0	0.46
07/17/2017	DUNLAP, TONY	AM FUNDS NEW WORLD A	50.00	1.88	0.00	1.88	91.0	1.71
07/17/2017	PAI, YOGISH	AM FUNDS SMALLCAP WORLD C	125.00	1.25	0.00	1.25	91.0	1.14
07/17/2017	GASPAROTTI, ABIGAIL	AM FUNDS SMALLCAP WORLD C	50.00	0.50	0.00	0.50	91.0	0.46
07/17/2017	GALLAGHER, MICHAEL	AMERICAN FD GLOBAL GROWTH PORTFOLIO	50.00	1.38	0.00	1.38	91.0	1.26
07/17/2017	NEUHAUSER, MITCHELL	AMERICAN FUNDS GLOBAL GROWTH PF C	50.00	0.50	0.00	0.50	91.0	0.46
07/17/2017	NEUHAUSER, MITCHELL	AMERICAN FUNDS GLOBAL GROWTH PF C	100.00	1.00	0.00	1.00	91.0	0.91
07/12/2017	GODLESKI, KEVIN	CALVERT ULTRA SHORT INCOME FD CL A	0.00	1.52	0.00	1.52	91.0	1.38
06/30/2017	HEALEY, BRIAN	EATON VANCE NATL MUNI INCOME C	0.00	0.20	0.00	0.20	91.0	0.18
06/30/2017	HEALEY, BRIAN	EATON VANCE NATL MUNI INCOME C	0.00	0.60	0.00	0.60	91.0	0.55
06/30/2017	GODLESKI, KEVIN	EATON VANCE TAX MANAGED GROWTH A	0.00	1.24	0.00	1.24	91.0	1.13
06/30/2017	GODLESKI, KEVIN	EATON VANCE TAX MANAGED GROWTH C	0.00	6.78	0.00	6.78	91.0	6.17
06/30/2017	GODLESKI, KEVIN	EATON VANCE TAX MANAGED GROWTH C	0.00	2.26	0.00	2.26	91.0	2.06
06/30/2017	GODLESKI, KEVIN	EATON VANCE TAX MANAGED GROWTH FD 1	0.00	8.82	0.00	8.82	91.0	8.03
06/30/2017	GODLESKI, KEVIN	EATON VANCE TAX MNGD INTL EQUITY C	0.00	2.84	0.00	2.84	91.0	2.58
06/30/2017	GODLESKI, KEVIN	EATON VANCE TAX MNGD INTL EQUITY C	0.00	0.95	0.00	0.95	91.0	0.86
06/30/2017	GODLESKI, KEVIN	EATON VANCE TAX MNGD SMALLCAP C	0.00	2.39	0.00	2.39	91.0	2.17
06/30/2017	GODLESKI, KEVIN	EATON VANCE TAX MNGD SMALLCAP C	0.00	7.18	0.00	7.18	91.0	6.53
06/30/2017	GODLESKI, KEVIN	EATON VANCE TAX MNGD VALUE C	0.00	2.33	0.00	2.33	91.0	2.12
06/30/2017	GODLESKI, KEVIN	EATON VANCE TAX MNGD VALUE C	0.00	6.98	0.00	6.98	91.0	6.35
/ /	MOORE, THOMAS	FIDELITY ADV MATERIALS C	0.00	1.94	0.00	1.94	91.0	1.77
07/20/2017	MOORE, THOMAS	FIDELITY ADV MATERIALS C	250.00	2.50	0.00	2.50	91.0	2.28
/ /	MOORE, THOMAS	FIDELITY ADV MEGA CAP STOCK	0.00	3.06	0.00	3.06	91.0	2.78
07/20/2017	MOORE, THOMAS	FIDELITY ADV MEGA CAP STOCK	250.00	2.50	0.00	2.50	91.0	2.28
07/01/2017	MOSS, KAREN	INVESCO BALANCED RISK ALLOCATION A	0.00	2.81	0.00	2.81	91.0	2.56
07/01/2017	DUNLAP, TONY	INVESCO DEVELOPING MKTS C	0.00	3.29	0.00	3.29	91.0	2.99
07/01/2017	DUNLAP, TONY	INVESCO DIVERSIFIED DIVIDEND FD-CL	0.00	23.94	0.00	23.94	91.0	21.79
07/01/2017	HOFFMAN, LISA	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	4.43	0.00	4.43	91.0	4.03
07/01/2017	MILLER, MEREDITH	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	1.00	0.00	1.00	91.0	0.91
07/01/2017	KASIAN, JUSTIN PETER	INVESCO EQUALLY-WGHTED S&P 500 FD-C	0.00	15.62	0.00	15.62	91.0	14.21
07/01/2017	KASIAN, JUSTIN PETER	INVESCO INTERNATIONAL CORE EQUITY A	0.00	0.37	0.00	0.37	91.0	0.34
07/01/2017	HOFFMAN, LISA	INVESCO INTERNATIONAL GROWTH A	0.00	0.25	0.00	0.25	91.0	0.23

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/01/2017	MOSS, JANICE	INVESCO MONEY MARKET C	0.00	15.56	0.00	15.56	91.0	14.16
07/01/2017	HRITZ, GAIL	INVESCO MONEY MARKET C	0.00	0.64	0.00	0.64	91.0	0.58
07/01/2017	HOFFMAN, LISA	INVESCO SMALL COMPANIES FD -A	0.00	4.44	0.00	4.44	91.0	4.04
07/03/2017	GAMBRELL, PERRY	OPPENHEIMER DISCOVERY C	292.38	2.92	0.00	2.92	91.0	2.66
07/03/2017	HUNSTIGER, SELINA	PIMCO ALL ASSET ALL AUTHORITY A	0.00	1.66	0.00	1.66	91.0	1.51
07/03/2017	HUNSTIGER, SELINA	PIMCO ALL ASSET ALL AUTHORITY FUND	0.00	0.77	0.00	0.77	91.0	0.70
07/03/2017	HUNSTIGER, SELINA	PIMCO ALL ASSET ALL AUTHORITY FUND	0.00	2.00	0.00	2.00	91.0	1.82
07/03/2017	BECKNER, LINS A	PIMCO ALL ASSET ALL AUTHORITY FUND	0.00	1.34	0.00	1.34	91.0	1.22
07/03/2017	BECKNER, LINS A	PIMCO ALL ASSET ALL AUTHORITY FUND	0.00	3.48	0.00	3.48	91.0	3.17
07/03/2017	HUNSTIGER, SELINA	PIMCO FUNDAMENTAL INDEXPLUS AR C	0.00	1.17	0.00	1.17	91.0	1.06
07/03/2017	HUNSTIGER, SELINA	PIMCO FUNDAMENTAL INDEXPLUS AR C	0.00	3.03	0.00	3.03	91.0	2.76
07/03/2017	HUNSTIGER, SELINA	PIMCO FUNDAMENTAL INDEXPLUS AR FUND	0.00	2.63	0.00	2.63	91.0	2.39
07/03/2017	BECKNER, LINS A	PIMCO INCOME FUND C	0.00	1.18	0.00	1.18	91.0	1.07
07/03/2017	BECKNER, LINS A	PIMCO INCOME FUND C	0.00	3.06	0.00	3.06	91.0	2.78
07/03/2017	BLANCHARD JR, MALCOLM	PIMCO INCOME FUND C	0.00	7.72	0.00	7.72	91.0	7.03
07/03/2017	BLANCHARD JR, MALCOLM	PIMCO INCOME FUND C	0.00	20.06	0.00	20.06	91.0	18.25
07/03/2017	MANN, JASON	PIMCO INTL STOCK PLUS TR STRATEGY A	0.00	2.86	0.00	2.86	91.0	2.60
07/03/2017	BECKNER, LINS A	PIMCO INVESTMENT GRADE CORP BOND C	0.00	5.98	0.00	5.98	91.0	5.44
07/03/2017	BECKNER, LINS A	PIMCO INVESTMENT GRADE CORP BOND C	0.00	15.54	0.00	15.54	91.0	14.14
07/03/2017	MANN, JASON	PIMCO STOCK PLUS FUND A	0.00	2.44	0.00	2.44	91.0	2.22
07/03/2017	BECKNER, LINS A	PIMCO STOCKSPUS C	0.00	0.20	0.00	0.20	91.0	0.18
07/03/2017	BECKNER, LINS A	PIMCO STOCKSPUS C	0.00	0.36	0.00	0.36	91.0	0.33
07/03/2017	BECKNER, LINS A	PIMCO TOTAL RETURN C	0.00	0.20	0.00	0.20	91.0	0.18
07/03/2017	BECKNER, LINS A	PIMCO TOTAL RETURN C	0.00	0.52	0.00	0.52	91.0	0.47
* MUTUAL FUNDS SUBTOTAL *			\$4,287.38	\$283.47	\$0.00	\$283.47		\$258.01
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
07/10/2017	MANN, JOSEPH(TERRY)	PAC LIFE VUL	300.00	6.00	0.00	6.00	91.0	5.46
07/12/2017	GALLAGHER, MICHAEL	PAC LIFE VUL	475.00	9.50	0.00	9.50	91.0	8.65
07/13/2017	DUNLAP, TONY	PAC LIFE VUL	90.00	1.80	0.00	1.80	91.0	1.64
07/13/2017	VERMA, ANAND	PAC LIFE VUL	500.00	10.00	0.00	10.00	91.0	9.10
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$1,365.00	\$27.30	\$0.00	\$27.30		\$24.85
*** BROKER TRANSACTIONS TOTAL ***			\$5,652.38	\$310.77	\$0.00	\$310.77		\$282.86

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BROKER COMMISSION TOTALS

Broker Transactions	\$282.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$282.86
Year-to-date Gross	\$40,187.80
Year-to-date Earnings	\$36,673.75