

COMMISSION STATEMENT June 30, 2018

DREW NIXON-D350

BROKER #: D350

BRANCH: DMV

, TX

COMMISSION STATEMENT for DREW NIXON-D350

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
CLEARING TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
06/07/2018	368460236	COPELAND, JOAN	MF FRK AGE HIGH INC FND - CL C	S	1,302.45	13.00	2.50	10.50	90.0	9.45
06/07/2018	368460236	COPELAND, JOAN	MF FRK AGE HIGH INC FND - CL C	S	-1,302.45	-13.00	-2.50	-10.50	90.0	-9.45
06/07/2018	368460236	COPELAND, JOAN	MF FRK AGE HIGH INC FND - CL C	B	-1,297.55	0.00	5.00	-5.00	90.0	-4.50
* MUTUAL FUNDS SUBTOTAL *					\$-1,297.55	\$0.00	\$5.00	\$-5.00		\$-4.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/21/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	3.81	0.00	3.81	90.0	3.43
06/21/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	18.38	0.00	18.38	90.0	16.54
06/21/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	7.91	0.00	7.91	90.0	7.12
06/21/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	7.91	0.00	7.91	90.0	7.12
06/21/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	15.03	0.00	15.03	90.0	13.53
06/21/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	18.50	0.00	18.50	90.0	16.65
06/21/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	18.98	0.00	18.98	90.0	17.08
06/21/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	0.57	0.00	0.57	90.0	0.51
06/21/2018	mfc533651	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL C	B	0.00	21.94	0.00	21.94	90.0	19.75
06/21/2018	mfc533651	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	1.20	0.00	1.20	90.0	1.08
06/21/2018	mfc533651	NIXON, VARIOUS	MFT FRK CA TF INC FND - CL A	B	0.00	2.21	0.00	2.21	90.0	1.99
06/21/2018	mfc533651	NIXON, VARIOUS	MFT FRK TOTAL RETURN FND - CL A	B	0.00	1.60	0.00	1.60	90.0	1.44
06/21/2018	mfc533651	NIXON, VARIOUS	MFT JOHN HANCOCK TECHNICAL OPPORT	B	0.00	0.46	0.00	0.46	90.0	0.41
06/21/2018	mfc533651	NIXON, VARIOUS	MFT PUTNAM 746802800	B	0.00	0.93	0.00	0.93	90.0	0.84
06/21/2018	mfc533651	NIXON, VARIOUS	MFT STRATEGIC INCOME A	B	0.00	2.04	0.00	2.04	90.0	1.84
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$121.47	\$0.00	\$121.47		\$109.33
*** CLEARING TRANSACTIONS TOTAL ***					\$-1,297.55	\$121.47	\$5.00	\$116.47		\$104.83

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
06/18/2018	0000000002350888	BAGLECASE	MF FRK TEMP FOUNDING FUNDS ALLOC	B	1,000.00	28.00	0.00	28.00	90.0	25.20
06/18/2018	0000000002350888	BAGLECASE	MF FRK TEMP FOUNDING FUNDS ALLOC	B	300.00	8.40	0.00	8.40	90.0	7.56
06/18/2018	0000000002350938	SHARPBRANP	MF FRK TEMP FOUNDING FUNDS ALLOC	B	800.00	22.40	0.00	22.40	90.0	20.16
06/18/2018	0000000002350938	SHARPBRANP	MF FRK TEMP FOUNDING FUNDS ALLOC	B	240.00	6.72	0.00	6.72	90.0	6.05
06/18/2018	0000000002356588	BAGLERICK	MF FRK TEMP FOUNDING FUNDS ALLOC	B	800.00	16.00	0.00	16.00	90.0	14.40
06/18/2018	0000000002356588	BAGLERICK	MF FRK TEMP FOUNDING FUNDS ALLOC	B	240.00	4.80	0.00	4.80	90.0	4.32
06/18/2018	0000000005944703	BAGLEBEAT	MF FRK TEMP FOUNDING FUNDS ALLOC	B	40.00	0.80	0.00	0.80	90.0	0.72
06/18/2018	0000000005944703	BAGLEBEAT	MF FRK TEMP FOUNDING FUNDS ALLOC	B	12.00	0.24	0.00	0.24	90.0	0.22
06/18/2018	0000000006153163	GARCIJUAN	MF FRK TEMP FOUNDING FUNDS ALLOC	B	285.30	14.27	0.00	14.27	90.0	12.84
06/18/2018	0000000006153163	GARCIJUAN	MF FRK TEMP FOUNDING FUNDS ALLOC	B	85.59	4.28	0.00	4.28	90.0	3.85
* MUTUAL FUNDS SUBTOTAL *					\$3,802.89	\$105.91	\$0.00	\$105.91		\$95.32
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
05/31/2018	(None) NIXON, VARIOUS	MFT JOHN HANCOCK MUTUAL FUND		B	0.00	127.55	0.00	127.55	90.0	114.80
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$127.55	\$0.00	\$127.55		\$114.80
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS										
06/12/2018	(None) HONEYCUTT, OTIS	VAT AMERICAN GENERAL		B	0.00	0.60	0.00	0.60	90.0	0.54
06/17/2018	(None) CLEMENTS, CHARLES	VAT AMERICAN GENERAL		B	0.00	53.53	0.00	53.53	90.0	48.18
06/22/2018	(None) KELLEY, BLANE	VAT AMERICAN GENERAL		B	0.00	41.11	0.00	41.11	90.0	37.00
06/22/2018	(None) KELLEY, SHANE	VAT AMERICAN GENERAL		B	0.00	41.11	0.00	41.11	90.0	37.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *					\$0.00	\$136.35	\$0.00	\$136.35		\$122.72
*** DIRECT TRANSACTIONS TOTAL ***					\$3,802.89	\$369.81	\$0.00	\$369.81		\$332.84

 OVERRIDE TRANSACTIONS**BROKER: JIM HUGHES-D349 #138**

05/31/2018	(None) HUGHES, VARIOUS	MF MAINSTAY		B	0.00	34.16	0.00	34.16		3.42
06/11/2018	0000000002367136	JONES, JESS	MF FRK TEMP FOUNDING FUNDS ALLOC	B	150.00	1.50	0.00	1.50		0.15
06/11/2018	0000000002367163	JONES, JOHN	MF FRK TEMP FOUNDING FUNDS ALLOC	B	450.00	4.50	0.00	4.50		0.45
06/22/2018	0000000006172934	BURNE, CLAUL	MF FRK TEMP FOUNDING FUNDS ALLOC	B	40.00	2.00	0.00	2.00		0.20
06/22/2018	0000000006172934	BURNE, CLAUL	MF FRK TEMP FOUNDING FUNDS ALLOC	B	33.60	1.68	0.00	1.68		0.17
06/22/2018	(None) ADVANTAGE	VAT MASS MUTUAL		B	0.00	38.29	0.00	38.29		3.83
06/22/2018	(None) ADVANTAGE	VAT MASS MUTUAL		B	0.00	0.92	0.00	0.92		0.09
06/18/2018	VM15001220	MATTHEWS, LATISHA	VAT PACIFIC LIFE VA 69448B191	B	0.00	10.58	0.00	10.58		1.06
06/21/2018	VM16000353	THOMPSON, SANDRA	VAT PACIFIC LIFE VA 69448B175	B	0.00	3.34	0.00	3.34		0.33
06/21/2018	VM16000357	WALSTON, FAITH	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.75	0.00	2.75		0.28
06/21/2018	VM16000361	GARESS, LAURA	VAT PACIFIC LIFE VA 69448B175	B	0.00	7.53	0.00	7.53		0.75
06/21/2018	VM16000365	HENSARLING, SHAWNA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.56	0.00	2.56		0.26

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06/21/2018 VM16000385	CLOWERS, SUNNIE	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.60	0.00	1.60		0.16
06/21/2018 VM16000393	DALTON, MELISSA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.11	0.00	2.11		0.21
06/21/2018 VM16005144	BRONSTAD, LORI	VAT PACIFIC LIFE VA 69448B191	B	0.00	8.51	0.00	8.51		0.85
06/18/2018 VM17002103	WEBB, BRIAN	VAT PACIFIC LIFE VA 69448B191	B	0.00	14.92	0.00	14.92		1.49
06/18/2018 VM17004814	DAVIES, ANDREW	VAT PACIFIC LIFE VA 69448B191	B	0.00	8.75	0.00	8.75		0.88
06/18/2018 VM17004943	WINN, TYLER	VAT PACIFIC LIFE VA 69448B191	B	0.00	9.37	0.00	9.37		0.94
06/18/2018 VR11009288	DRAKE, JORDAN	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	20.83	0.00	20.83		2.08
06/18/2018 VR11010756	OGDEN, KRISTI	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	13.73	0.00	13.73		1.37
* JIM HUGHES-D349 SUBTOTAL *				\$673.60	\$189.63	\$0.00	\$189.63		\$18.97
*** OVERRIDE TRANSACTIONS TOTAL ***				\$673.60	\$189.63	\$0.00	\$189.63		\$18.97

LINKED CLEA TRANSACTIONS

06/12/2018 123385782	AKINS, SAMMY	MF FRANKLIN DYNATECH FUND CLASS A	S	37,002.45	0.00	2.50	-2.50		-2.25
06/12/2018 123385782	AKINS, SAMMY	MF FRANKLIN GROWTH FUND CLASS A	S	20,007.45	0.00	2.50	-2.50		-2.25
06/12/2018 368300127	NIXON, DREW	MF FRANKLIN GROWTH FUND CLASS A	S	50,007.45	0.00	5.00	-5.00		-4.50
06/12/2018 677625042	KING, TRAVIS	MF FRANKLIN DYNATECH FUND CLASS A	S	15,007.45	0.00	2.50	-2.50		-2.25
06/12/2018 368460939	BLAKE, TERRY	S DCP MIDSTREAM PARTNERS LP	S	-20,357.29	15.00	15.00	0.00		0.00
06/13/2018 368460939	BLAKE, TERRY	S GENERAL ELECTRIC COMPANY	S	-6,917.96	15.00	15.00	0.00		0.00
06/13/2018 a03533881	VARIOUS-NIXON,	MI MUTUAL FUND MISC FEE 368300127	S	0.00	5.00	0.00	5.00		4.50
06/13/2018 a03533881	VARIOUS-NIXON,	MI MUTUAL FUND MISC FEE 123385782	S	0.00	5.00	0.00	5.00		4.50
06/13/2018 a03533881	VARIOUS-NIXON,	MI MUTUAL FUND MISC FEE 677625042	S	0.00	5.00	0.00	5.00		4.50
06/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	9.30	0.00	9.30		8.37
06/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	12.40	0.00	12.40		11.16
06/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	15.93	0.00	15.93		14.34
06/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	18.73	0.00	18.73		16.86
06/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN DYNATECH FUND CLASS A	B	0.00	34.93	0.00	34.93		31.44
06/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	9.46	0.00	9.46		8.51
06/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	15.81	0.00	15.81		14.23
06/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	23.64	0.00	23.64		21.28
06/21/2018 mfc533881	NIXON, VARIOUS	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	31.52	0.00	31.52		28.37
06/21/2018 mfc533881	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	4.20	0.00	4.20		3.78
06/21/2018 mfc533881	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	8.28	0.00	8.28		7.45
06/21/2018 mfc533881	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	9.09	0.00	9.09		8.18
06/21/2018 mfc533881	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	10.15	0.00	10.15		9.14
* DREW NIXON-D352 SUBTOTAL *				\$94,749.55	\$248.44	\$42.50	\$205.94		\$185.36
*** LINKED CLEA TRANSACTIONS TOTAL ***				\$94,749.55	\$248.44	\$42.50	\$205.94		\$185.36

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONSBROKER: #0

06/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
06/30/2018	:	E&O		0.00	0.00	0.00	0.00		-120.00
06/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
06/30/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		90.94
06/30/2018	:	SHAREFILE		0.00	0.00	0.00	0.00		-13.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-97.06</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-97.06</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$623.03
Split Transactions	\$0.00
Override Transactions	\$18.97
Adjustments	\$-97.06
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	<u>\$544.94</u>
Year-to-date Commissions	<u>\$48,471.41</u>