



Concorde Investment Services, LLC

12/28/2017

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COMMISSION STATEMENT**December 31, 2017**DAVID KERN
4321 Flagstaff CoveBROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	DAVIS, PATRICIA	PROFUNDS BASIC MATERIALS SVC	0.00	0.16	0.00	0.16	90.0	0.14
/ /	COPELAND, DANIEL	PROFUNDS BASIC MATERIALS SVC	0.00	0.03	0.00	0.03	90.0	0.03
/ /	DAVIS, PATRICIA	PROFUNDS OIL & GAS SVC	0.00	0.04	0.00	0.04	90.0	0.04
/ /	COPELAND, DANIEL	PROFUNDS OIL & GAS SVC	0.00	0.01	0.00	0.01	90.0	0.01
/ /	DAVIS, PATRICIA	PROFUNDS OIL EQUIP SVCS & DIST SVC	0.00	0.25	0.00	0.25	90.0	0.23
/ /	COPELAND, DANIEL	PROFUNDS OIL EQUIP SVCS & DIST SVC	0.00	0.05	0.00	0.05	90.0	0.05
/ /	DAVIS, PATRICIA	PROFUNDS PRECIOUS METALS SVC	0.00	1.33	0.00	1.33	90.0	1.20
/ /	COPELAND, DANIEL	PROFUNDS PRECIOUS METALS SVC	0.00	0.26	0.00	0.26	90.0	0.23
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$2.13	\$0.00	\$2.13		\$1.93
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/18/2017	KERN, HELMBERGER	AMERITAS LOWLOAD VUL	506.37	0.04	0.00	0.04	90.0	0.04
12/15/2017	FORTNEY, ELIZABETH	JNL ELITE ACCESS	400.00	5.00	0.00	5.00	90.0	4.50
12/15/2017	FREISTROFFER, HENRY	JNL PERSPECTIVE L	3,000.00	120.00	0.00	120.00	90.0	108.00
/ /	MARATHON LABELS 401K	NWL FLEX ADVANTAGE	315,011.26	64.73	0.00	64.73	90.0	58.26
/ /	NEW HAVEN PRINT &	NWL FLEX ADVANTAGE	848,377.16	209.19	0.00	209.19	90.0	188.27
/ /	MARATHON LABELS 401K	NWL POOLED FXD	51,976.44	10.68	0.00	10.68	90.0	9.61
/ /	NEW HAVEN PRINT &	NWL POOLED FXD	3,190.30	0.79	0.00	0.79	90.0	0.71
* VARIABLE ANNUITIES SUBTOTAL *			\$1,222,461.53	\$410.43	\$0.00	\$410.43		\$369.39
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	PAYNE, MARTHA	GUGGENHEIM DIVERSIFIED INCOME C	0.00	2.09	0.00	2.09	90.0	1.88
/ /	PAYNE, MARTHA	GUGGENHEIM GOV'T LONG BOND 1.2X STG	0.00	3.15	0.00	3.15	90.0	2.84
/ /	PAYNE, MARTHA	GUGGENHEIM RBP LARGE CAP DEFENSIVE	0.00	1.11	0.00	1.11	90.0	1.00
/ /	DAVIS, PATRICIA	PROFUNDS BASIC MATERIALS SVC	0.00	0.49	0.00	0.49	90.0	0.44
/ /	COPELAND, DANIEL	PROFUNDS BASIC MATERIALS SVC	0.00	0.10	0.00	0.10	90.0	0.09
/ /	DAVIS, PATRICIA	PROFUNDS OIL & GAS SVC	0.00	0.12	0.00	0.12	90.0	0.11

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	COPELAND, DANIEL	PROFUNDS OIL & GAS SVC	0.00	0.02	0.00	0.02	90.0	0.02
/ /	DAVIS, PATRICIA	PROFUNDS OIL EQUIP SVCS & DIST SVC	0.00	0.74	0.00	0.74	90.0	0.67
/ /	COPELAND, DANIEL	PROFUNDS OIL EQUIP SVCS & DIST SVC	0.00	0.15	0.00	0.15	90.0	0.14
/ /	DAVIS, PATRICIA	PROFUNDS PRECIOUS METALS SVC	0.00	3.99	0.00	3.99	90.0	3.59
/ /	COPELAND, DANIEL	PROFUNDS PRECIOUS METALS SVC	0.00	0.79	0.00	0.79	90.0	0.71
/ /	KERN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	4.26	0.00	4.26	90.0	3.83
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$17.01	\$0.00	\$17.01		\$15.32
*** DIRECT TRANSACTIONS TOTAL ***			\$1,222,461.53	\$429.57	\$0.00	\$429.57		\$386.64

BROKER COMMISSION TOTALS

Broker Transactions	\$386.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$386.64
Year-to-date Gross	\$108,770.13
Year-to-date Earnings	\$97,931.24

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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