

COMMISSION STATEMENT

January 15, 2018

TIM GILLETTE-D027

BROKER #: D027

BRANCH: DME

, TX

COMMISSION STATEMENT for TIM GILLETTE-D027

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
12/26/2017	00087352283	PERRY/AUTH PER,	MF	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	70.0	0.35
* MUTUAL FUNDS SUBTOTAL *					\$50.00	\$0.50	\$0.00	\$0.50			\$0.35
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
01/08/2018	(None)	VARIOUS, GILLETTE		MFT FIDELITY ADVISOR FDS	B	0.00	503.06	0.00	503.06	70.0	352.14
01/03/2018	00099843468	KAISE, JOHN		MFT TRANS AMERICA IDEX12	B	0.00	8.52	0.00	8.52	70.0	5.96
01/03/2018	00099843468	KAISE, JOHN		MFT TRANS AMERICA IDEX 14	B	0.00	9.53	0.00	9.53	70.0	6.67
01/03/2018	00099843468	KAISE, JOHN		MFT TRANSAMERICA GLOBAL EQUITY - A	B	0.00	8.92	0.00	8.92	70.0	6.24
01/03/2018	00099843468	KAISE, JOHN		MFT TRANSAMERICA ASSET ALLC-GROWTH	B	0.00	6.37	0.00	6.37	70.0	4.46
01/03/2018	00099843468	KAISE, JOHN		MFT TRANSAMERICA GLOBAL EQUITY - C	B	0.00	9.64	0.00	9.64	70.0	6.75
01/03/2018	00099929632	HAMMOND, LORI		MFT TRANSAMERICA-IDEX 893961813	B	0.00	3.33	0.00	3.33	70.0	2.33
01/03/2018	00933003779	MULLIGAN, COLLEEN		MFT TRANSAMERICA-IDEX 893962415	B	0.00	0.01	0.00	0.01	70.0	0.01
01/03/2018	00933008364	KAISE, JOHN		MFT TRANSAMERICA-IDEX 893962415	B	0.00	2.93	0.00	2.93	70.0	2.05
01/03/2018	06500128097	KAISE, JOHN		MFT TRANSAMERICA GLOBAL EQUITY - B	B	0.00	11.18	0.00	11.18	70.0	7.83
01/03/2018	06500165331	KAISE, JOHN		MFT TRANS AMERICA IDEX 14	B	0.00	3.26	0.00	3.26	70.0	2.28
01/03/2018	09815000303	KAISE, JOHN		MFT TRANSAMERICA SMALL/MID CAP	B	0.00	2.96	0.00	2.96	70.0	2.07
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$569.71	\$0.00	\$569.71			\$398.79
*** DIRECT TRANSACTIONS TOTAL ***					\$50.00	\$570.21	\$0.00	\$570.21			\$399.14

BROKER COMMISSION TOTALS

Broker Transactions	\$399.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$399.14
Year-to-date Commissions	\$1,016.78