



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 31, 2017

05/25/2017
 Page No. 1

R122-RICHARD/MARK RODRIGUEZ/HUBER
 2340 S. Arlington Heights Rd.
 Suite 440
 Arlington Heights, IL 60005

BROKER #: R122
 BRANCH: CIS HOME OFFICE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/15/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	1,100.00	19.25	0.00	19.25	0.0	0.00
05/15/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	237.70	4.16	0.00	4.16	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,337.70	\$23.41	\$0.00	\$23.41		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/18/2017	WOLF, XANDER	ALGER SPECTRA A	0.00	0.88	0.00	0.88	0.0	0.00
04/18/2017	WOLF, AADRA	ALGER SPECTRA A	0.00	0.88	0.00	0.88	0.0	0.00
04/18/2017	WOLF, XANDER	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.01	0.00	1.01	0.0	0.00
04/18/2017	WOLF, AADRA	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.13	0.00	1.13	0.0	0.00
04/18/2017	BATIO, COURTNEY	AM FUNDS AMERICAN BALANCED A	0.00	0.49	0.00	0.49	0.0	0.00
04/18/2017	BATIO, COURTNEY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.40	0.00	0.40	0.0	0.00
04/18/2017	BATIO, COURTNEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.57	0.00	0.57	0.0	0.00
04/18/2017	BATIO, COURTNEY	AM FUNDS ICA A	0.00	0.55	0.00	0.55	0.0	0.00
04/18/2017	BATIO, COURTNEY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.47	0.00	0.47	0.0	0.00
04/18/2017	BATIO, JESSICA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.36	0.00	0.36	0.0	0.00
04/20/2017	WOLF, XANDER	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.49	0.00	0.49	0.0	0.00
04/20/2017	WOLF, AADRA	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.49	0.00	0.49	0.0	0.00
04/27/2017	WOLF, XANDER	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.08	0.00	1.08	0.0	0.00
04/27/2017	WOLF, AADRA	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.22	0.00	1.22	0.0	0.00
04/27/2017	WOLF, XANDER	BLK HEALTH SCIENCES A	0.00	0.84	0.00	0.84	0.0	0.00
04/27/2017	WOLF, AADRA	BLK HEALTH SCIENCES A	0.00	0.84	0.00	0.84	0.0	0.00
04/12/2017	FERN, SARAH	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.48	0.00	5.48	0.0	0.00
04/12/2017	UKRAINIAN CHURCH, ST ANDREWS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.56	0.00	16.56	0.0	0.00
04/12/2017	UKRAINIAN CHURCH, ST ANDREWS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	23.87	0.00	23.87	0.0	0.00
04/12/2017	TRIPP, MAXX	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.16	0.00	6.16	0.0	0.00
04/12/2017	TRIPP, MAXX	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.49	0.00	10.49	0.0	0.00
04/12/2017	TRIPP, ABBY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.73	0.00	11.73	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/12/2017	WOLF, XANDER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.09	0.00	4.09	0.0	0.00
04/12/2017	WOLF, AADRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.09	0.00	4.09	0.0	0.00
04/17/2017	UDCHIK, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	7.83	0.00	7.83	0.0	0.00
04/13/2017	WOLF, XANDER	IVY SCIENCE AND TECHNOLOGY FUND A	0.00	1.52	0.00	1.52	0.0	0.00
04/13/2017	WOLF, AADRA	IVY SCIENCE AND TECHNOLOGY FUND A	0.00	1.52	0.00	1.52	0.0	0.00
04/18/2017	VAUGHN, MEREDITH	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.78	0.00	2.78	0.0	0.00
04/18/2017	VAUGHN, MEREDITH	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	11.09	0.00	11.09	0.0	0.00
04/18/2017	BRAUCHLA, KELLY	MFS LIFETIME 2030 FUND A	0.00	0.64	0.00	0.64	0.0	0.00
04/20/2017	PALIS, NANCY	PAC LIFE PORT OPTIMIZATION AGG	0.00	4.16	0.00	4.16	0.0	0.00
04/17/2017	WOLF, XANDER	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.52	0.00	0.52	0.0	0.00
04/17/2017	WOLF, AADRA	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.52	0.00	0.52	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$124.75	\$0.00	\$124.75		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$1,337.70	\$148.16	\$0.00	\$148.16		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$730.98
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed