

COMMISSION STATEMENT
April 26, 2018

STEVEN COOK
3200 N. Central Ave.
Suite 200
Phoenix, AZ 85012

BROKER #: 73756
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: CUE Financial Group, Inc

ADJUSTMENTS

PRIOR PERIOD BALANCE(SEE BELOW)							0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$-15.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-15.00
Year-to-date Earnings	\$0.00

COMMISSION STATEMENT for STEVEN COOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities AmericaBROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

04/26/2018	COOK (73756), STEVE	SAI PERSHING CHARGES	0.00	-175.00	0.00	-175.00	-88.90
04/26/2018	COOK (73756), STEVE	SAI SECURITES INCOME	0.00	150.00	0.00	150.00	76.20
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$-25.00</u>	<u>\$0.00</u>	<u>\$-25.00</u>	<u>\$-12.70</u>

PRODUCT CATEGORY: STOCKS

04/26/2018	COOK (73756), STEVE	SAI PERSHING CHARGES	0.00	-11.13	0.00	-11.13	-5.65
* STOCKS SUBTOTAL *			<u>\$0.00</u>	<u>\$-11.13</u>	<u>\$0.00</u>	<u>\$-11.13</u>	<u>\$-5.65</u>

PRODUCT CATEGORY: RIA

04/26/2018	COOK (73756), STEVE	SAI ADVISORY INCOME (CUE RIA)	0.00	79,109.55	0.00	79,109.55	40,187.65
04/26/2018	COOK (73756), STEVE	SAI ADVISORY INCOME (CUE RIA)	0.00	-973.05	0.00	-973.05	-494.31
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$78,136.50</u>	<u>\$0.00</u>	<u>\$78,136.50</u>	<u>\$39,693.34</u>

PRODUCT CATEGORY: TRAILERS

04/26/2018	COOK (73756), STEVE	SAI.TRAILS	0.00	78.97	0.00	78.97	40.12
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$78.97</u>	<u>\$0.00</u>	<u>\$78.97</u>	<u>\$40.12</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$78,179.34</u>	<u>\$0.00</u>	<u>\$78,179.34</u>	<u>\$39,715.11</u>

ADJUSTMENTS

15. SAI SIPC PE 4/20/18							-7.87
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-7.87</u>

COMMISSION STATEMENT for STEVEN COOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							BROKER COMMISSION TOTALS
							Broker Transactions \$39,715.11
							Split Transactions \$0.00
							Override Transactions \$0.00
							Adjustments \$-7.87
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							Check Amount \$39,707.24
							Year-to-date Earnings \$95,323.10