



Concorde Investment Services, LLC

04/12/2017  
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## COMMISSION STATEMENT

April 15, 2017

JAY MCCLELLAN  
8801 N. Main Street  
Ste. 201  
Dayton, OH 45415

BROKER #: M124 Terminated 11/14/2016  
BRANCH: ASSET MANAGEMENT SYS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.35	0.00	2.35	0.0	0.00
04/01/2017	DICKEY, CYNTHIA	INVESCO CHARTER FUND A	0.00	5.20	0.00	5.20	0.0	0.00
04/01/2017	ZEHRINGER, SHARON	INVESCO DEVELOPING MARKETS A	0.00	19.51	0.00	19.51	0.0	0.00
04/01/2017	ZEHRINGER, JAMES	INVESCO DEVELOPING MARKETS A	0.00	17.47	0.00	17.47	0.0	0.00
04/01/2017	ZEHRINGER, SHARON	INVESCO DEVELOPING MARKETS A	0.00	15.76	0.00	15.76	0.0	0.00
04/01/2017	ZEHRINGER, CJAMES	INVESCO DEVELOPING MARKETS A	0.00	15.83	0.00	15.83	0.0	0.00
04/01/2017	ZEHRINGER, JAMES	INVESCO DEVELOPING MARKETS A	0.00	1.05	0.00	1.05	0.0	0.00
04/01/2017	PETKERVICH TRUST, MARY	INVESCO MIDCAP GROWTH A	0.00	9.85	0.00	9.85	0.0	0.00
04/01/2017	PETKERVICH TRUST, MARY	INVESCO SMALL CAP GROWTH FUND-INV C	0.00	1.85	0.00	1.85	0.0	0.00
04/01/2017	ZEHRINGER TRUST	INVESCO TECHNOLOGY A	0.00	0.55	0.00	0.55	0.0	0.00
/ /	WEST, PAMELA	OPPENHEIMER DEVELOPING MARKETS A	0.00	1.19	0.00	1.19	0.0	0.00
/ /	WEST, PAMELA	OPPENHEIMER EQUITY A	0.00	2.32	0.00	2.32	0.0	0.00
/ /	WEST, PAMELA	OPPENHEIMER GLOBAL A	0.00	5.13	0.00	5.13	0.0	0.00
/ /	WEST, PAMELA	OPPENHEIMER INTL BOND A	0.00	1.64	0.00	1.64	0.0	0.00
/ /	WEST, PAMELA	OPPENHEIMER MAIN STREET A	0.00	0.51	0.00	0.51	0.0	0.00
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.53	0.00	1.53	0.0	0.00
04/04/2017	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	10.64	0.00	10.64	0.0	0.00
04/04/2017	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	6.49	0.00	6.49	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$118.87	\$0.00	\$118.87		\$0.00
<b><u>PRODUCT CATEGORY: BONDS</u></b>								
03/30/2017	UPADHYAYA, SAVITA	PROSPECT CAP CORP FIXED RT 7.000% 0	-10,064.10	0.00	0.00	0.00	0.0	0.00
* BONDS SUBTOTAL *			\$-10,064.10	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/31/2017	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	18,736.60	6.25	0.00	6.25	0.0	0.00
03/31/2017	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	137,970.45	86.23	0.00	86.23	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/31/2017	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	137,970.45	258.69	0.00	258.69	0.0	0.00
03/31/2017	FORTKAMP, MARY	PRU AS XTRA CREDIT SIX	36,572.58	22.86	0.00	22.86	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$331,250.08</b>	<b>\$374.03</b>	<b>\$0.00</b>	<b>\$374.03</b>		<b>\$0.00</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$321,185.98</b>	<b>\$492.90</b>	<b>\$0.00</b>	<b>\$492.90</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$2,435.67</b>
<b>Year-to-date Earnings</b>	<b>\$1,800.42</b>

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