

COMMISSION STATEMENT

July 31, 2017

ANDREW LINDNER
37 GOLDENEYE LANEBROKER #: 4
BRANCH: HOME OFFICE

PORT MONMOUTH, NJ 07758

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

07/31/2017 (None)	VARIOUS, LINDNER	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	50.00	0.00	50.00	50.0	25.00
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$50.00	\$0.00	\$50.00		\$25.00

PRODUCT CATEGORY: STOCKS

07/06/2017	QGN005274	OLSTEIN ALL CAP	VIACOM INC CLASS B	B	94,953.54	87.00	6.00	81.00	50.0	40.50
07/07/2017	QGN005274	OLSTEIN ALL CAP	GREENBRIER COMPANIES INC	B	233,206.73	159.93	6.00	153.93	50.0	76.97
07/07/2017	QGN005274	OLSTEIN ALL CAP	HARLEY DAVIDSON INC	B	158,048.10	90.00	6.00	84.00	50.0	42.00
07/11/2017	QGN005274	OLSTEIN ALL CAP	HARLEY DAVIDSON INC	B	155,983.20	90.00	6.00	84.00	50.0	42.00
07/12/2017	QGN005274	OLSTEIN ALL CAP	TWENTY FIRST CENTURY FOX INC CLASS	S	-141,250.00	150.00	6.00	144.00	50.0	72.00
07/14/2017	QGN005274	OLSTEIN ALL CAP	SEAWORLD ENTMT INC COM	B	449,403.00	900.00	6.00	894.00	50.0	447.00
07/17/2017	QGN005274	OLSTEIN ALL CAP	EBAY INC	S	-185,532.50	150.00	6.00	144.00	50.0	72.00
07/19/2017	QGN005274	OLSTEIN ALL CAP	EBAY INC	S	-185,027.00	150.00	6.00	144.00	50.0	72.00
07/19/2017	QGN005274	OLSTEIN ALL CAP	REGAL BELOIT CORP COM ISIN#US758750	S	-90,555.16	31.89	6.00	25.89	50.0	12.95
07/20/2017	QGN005274	OLSTEIN ALL CAP	BAXTER INTERNATIONAL INC	S	-251,003.20	120.00	6.00	114.00	50.0	57.00
07/25/2017	QGN005274	OLSTEIN ALL CAP	FRANKLIN RESOURCES INC (DEL)	S	-1,418,628.00	900.00	6.00	894.00	50.0	447.00
07/26/2017	QGN005274	OLSTEIN ALL CAP	FRANKLIN RESOURCES INC (DEL)	S	-237,500.00	150.00	6.00	144.00	50.0	72.00
07/06/2017	QGN005282	OPPORTUNITIES,	CECO ENVIRONMENTAL CORP	S	-24,675.00	70.50	6.00	64.50	50.0	32.25
07/07/2017	QGN005282	OPPORTUNITIES,	GREENBRIER COMPANIES INC	B	287,626.01	197.25	6.00	191.25	50.0	95.63
07/19/2017	QGN005282	OPPORTUNITIES,	FEDERAL SIGNAL CORP	S	-52,202.90	87.00	6.00	81.00	50.0	40.50
07/19/2017	QGN005282	OPPORTUNITIES,	REGAL BELOIT CORP COM ISIN#US758750	S	-127,782.45	45.00	6.00	39.00	50.0	19.50
07/19/2017	QGN005282	OPPORTUNITIES,	VISHAY INTERTECHNOLOGY INC	S	-261,701.44	429.00	6.00	423.00	50.0	211.50
07/21/2017	QGN005282	OPPORTUNITIES,	FEDERAL SIGNAL CORP	S	-20,075.00	33.00	6.00	27.00	50.0	13.50
07/06/2017	QGN005308	DIOCESE OF ARLINGTON	CECO ENVIRONMENTAL CORP	S	-1,575.00	4.50	6.00	-1.50	50.0	-1.50
07/07/2017	QGN005308	DIOCESE OF ARLINGTON	GREENBRIER COMPANIES INC	B	17,498.16	12.00	6.00	6.00	50.0	3.00
07/19/2017	QGN005308	DIOCESE OF ARLINGTON	FEDERAL SIGNAL CORP	S	-1,800.10	3.00	6.00	-3.00	50.0	-3.00
07/19/2017	QGN005308	DIOCESE OF ARLINGTON	VISHAY INTERTECHNOLOGY INC	S	-12,810.56	21.00	6.00	15.00	50.0	7.50
07/11/2017	QGV005689	MERRILL LYNCH PROF	HUMANA INC	S	-1,368,547.22	228.48	6.00	222.48	50.0	111.24
07/24/2017	QGV005689	MERRILL LYNCH PROF	GENOCEA BIOSCIENCES INC COM	S	-383,810.00	2,800.00	6.00	2,794.00	50.0	1,397.00
* STOCKS SUBTOTAL *					\$-3,367,756.79	\$6,909.55	\$144.00	\$6,765.55		\$3,380.54

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: BONDS										
07/18/2017	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS BDS 2.500%	02/1 B	23,203.13	50.00	7.50	42.50	50.0	21.25
07/19/2017	QGN001018	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.250%	02/1 B	34,956.25	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN001026	DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 1.500%	08/1 S	-9,287.50	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN001059	OF, INTERNATIONAL	UNITED STATES TREAS NTS 1.500%	08/1 S	-92,875.00	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN001059	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.250%	02/1 B	250,234.38	78.13	7.50	70.63	50.0	35.32
07/21/2017	QGN001059	OF, INTERNATIONAL	GENERAL ELEC CO DEB	S	-50,582.00	50.00	7.50	42.50	50.0	21.25
06/29/2017	QGN001075	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.000%	11/1 S	-24,445.31	50.00	7.50	42.50	50.0	21.25
07/19/2017	QGN001075	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.750%	02/2 S	-50,453.13	50.00	7.50	42.50	50.0	21.25
07/19/2017	QGN001075	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.250%	02/1 B	29,962.50	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN001083	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 1.500%	08/1 S	-23,218.75	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN001083	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 2.250%	02/1 B	35,032.81	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN001083	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 2.250%	02/1 B	25,031.25	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN001091	STACEY BRAUN	UNITED STATES TREAS BDS 2.750%	11/1 S	-34,015.63	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 1.500%	08/1 S	-23,207.03	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 0.750%	10/3 S	-24,960.94	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 1.375%	12/3 S	-75,070.31	50.00	7.50	42.50	50.0	21.25
07/19/2017	QGN001109	LOCAL 282 WELFARE	AMERICAN EXPRESS CO NT 7.000%	03/19 S	-124,021.20	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN001109	LOCAL 282 WELFARE	AT&T INC GLOBAL NT 5.600%	05/15/18 S	-103,007.00	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS BDS 2.500%	02/1 B	47,078.13	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN001117	ASSOCIATES, STACEY	UNITED STATES TREAS BDS 2.500%	02/1 B	14,123.44	50.00	7.50	42.50	50.0	21.25
06/30/2017	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 2.000%	11/1 S	-97,640.63	50.00	7.50	42.50	50.0	21.25
07/19/2017	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 2.250%	02/1 B	99,875.00	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 1.875%	10/3 S	-200,312.50	62.50	7.50	55.00	50.0	27.50
07/20/2017	QGN001273	PUBLIC EMPLOYEES	UNITED STATES TREAS NTS 2.250%	02/1 B	75,117.19	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN001273	PUBLIC EMPLOYEES	UNITED STATES TREAS NTS 2.250%	02/1 B	50,109.38	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN001307	CLERKS, NY	UNITED STATES TREAS NTS 1.750%	05/1 B	49,890.63	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN001307	CLERKS, NY	UNITED STATES TREAS NTS 2.250%	02/1 B	50,109.38	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN001315	STATE COURT CLERKS,	UNITED STATES TREAS NTS 1.750%	05/1 B	49,890.63	50.00	7.50	42.50	50.0	21.25
07/06/2017	QGN001356	804 IBT & LOCAL 447,	UNITED STATES TREAS NTS 2.000%	11/1 S	-242,031.25	78.13	7.50	70.63	50.0	35.32
07/07/2017	QGN001356	804 IBT & LOCAL 447,	UNITED STATES TREAS NTS 1.500%	08/1 S	-92,828.13	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN001356	804 IBT & LOCAL 447,	UNITED STATES TREAS BDS 2.500%	02/1 B	197,728.13	65.63	7.50	58.13	50.0	29.07
07/18/2017	QGN001364	LOCAL 300 CIVIL	UNITED STATES TREAS NTS 2.250%	02/1 B	99,953.13	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN001364	LOCAL 300 CIVIL	UNITED STATES TREAS NTS 2.250%	02/1 B	85,132.81	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN001364	LOCAL 300 CIVIL	UNITED STATES TREAS NTS 1.875%	10/3 S	-50,078.13	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN001380	TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 2.250%	02/1 B	25,031.25	50.00	7.50	42.50	50.0	21.25
06/29/2017	QGN001414	11, TEAMSTERS	UNITED STATES TREAS NTS 2.000%	11/1 S	-48,890.63	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN001414	11, TEAMSTERS	UNITED STATES TREAS BDS 2.500%	02/1 B	92,812.50	50.00	7.50	42.50	50.0	21.25
07/06/2017	QGN001422	WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.000%	11/1 S	-24,203.13	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN001422	WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.250%	02/1 B	24,976.56	50.00	7.50	42.50	50.0	21.25
06/29/2017	QGN001430	WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.000%	11/1 S	-14,667.19	50.00	7.50	42.50	50.0	21.25

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
07/21/2017	QGN001430	WORKERS LOCAL 12,	UNITED STATES TREAS NTS 1.000% 05/3 S	-24,953.13	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN001463	COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 1.500% 08/1 S	-32,506.25	50.00	7.50	42.50	50.0	21.25
06/29/2017	QGN001489	RETIREE, DOCTORS	UNITED STATES TREAS NTS 2.000% 11/1 S	-9,778.13	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN001497	DEL JERSEY, NECA,	UNITED STATES TREAS BDS 2.500% 02/1 B	18,562.50	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN001497	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 2.250% 02/1 B	9,975.00	50.00	7.50	42.50	50.0	21.25
06/30/2017	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.000% 11/1 S	-122,050.78	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.250% 02/1 B	199,906.25	62.50	7.50	55.00	50.0	27.50
07/19/2017	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS BDS 2.500% 02/1 B	93,218.75	50.00	7.50	42.50	50.0	21.25
07/19/2017	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.250% 02/1 B	99,875.00	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS BILLS 0.000% 11 S	-99,670.00	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 02/1 B	99,953.13	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN001620	LOCAL, WESTCHESTER	UNITED STATES TREAS NTS 1.500% 08/1 S	-23,218.75	50.00	7.50	42.50	50.0	21.25
06/30/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 S	-60,000.00	50.00	7.50	42.50	50.0	21.25
06/30/2017	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.000% 11/1 S	-268,511.72	85.94	7.50	78.44	50.0	39.22
07/07/2017	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 1.500% 08/1 S	-185,750.00	62.50	7.50	55.00	50.0	27.50
07/21/2017	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.250% 02/1 B	400,500.00	125.00	7.50	117.50	50.0	58.75
07/21/2017	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 1.375% 01/1 B	499,687.50	156.25	7.50	148.75	50.0	74.38
07/18/2017	QGN001828	INTERNS, COMMIOF	UNITED STATES TREAS BDS 2.500% 02/1 B	13,921.88	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN001901	IBT, LOCAL	UNITED STATES TREAS NTS 2.250% 02/1 B	30,028.13	50.00	7.50	42.50	50.0	21.25
05/11/2017	QGN001927	SERVICES EMPLOYEES	UNITED STATES TREAS BILLS 0.000% 11 B	-99,526.33	-50.00	-7.50	-42.50	50.0	-21.25 C
05/11/2017	QGN001927	SERVICES EMPLOYEES	UNITED STATES TREAS BILLS 0.000% 11 B	99,526.33	0.00	7.50	-7.50	50.0	-7.50
07/18/2017	QGN001968	NO1, BRICKLAYERS	UNITED STATES TREAS BDS 2.500% 02/1 B	9,281.25	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN001984	STACEY BRAUN ASSOC	UNITED STATES TREAS NTS 1.875% 10/3 S	-10,015.63	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN001992	INTERNS, COMMITTE	UNITED STATES TREAS NTS 1.875% 10/3 S	-50,078.13	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS NTS 2.250% 02/1 B	49,875.00	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS NTS 2.250% 02/1 B	50,109.38	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 1.500% 08/1 S	-18,575.00	50.00	7.50	42.50	50.0	21.25
06/29/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS NTS 2.000% 11/1 S	-48,890.63	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS BDS 2.500% 02/1 B	197,268.75	65.63	7.50	58.13	50.0	29.07
07/20/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS NTS 2.250% 02/1 B	300,468.75	93.75	7.50	86.25	50.0	43.13
07/21/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS BDS 2.500% 02/1 B	188,312.50	62.50	7.50	55.00	50.0	27.50
07/21/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS NTS 2.250% 02/1 B	100,218.75	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS BDS 2.750% 11/1 S	-24,296.88	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN002206	817 AFFILIATED WITH	JPMORGAN CHASE & CO NT 2.000% 08/15 S	-49,963.00	50.00	7.50	42.50	50.0	21.25
07/06/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 2.000% 11/1 S	-24,203.13	50.00	7.50	42.50	50.0	21.25
07/17/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 1.375% 02/2 S	-100,031.25	50.00	7.50	42.50	50.0	21.25
07/17/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 1.625% 11/1 S	-98,468.75	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN002248	LOCAL 1205 WELFARE	CAPITAL ONE BK USA NATL ASSN GLEN A S	-75,150.00	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 1.375% 02/2 S	-50,031.25	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS NTS 1.500% 08/1 S	-23,218.75	50.00	7.50	42.50	50.0	21.25

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: BONDS										
07/18/2017	QGN002271	HEALTH AND WELFARE	UNITED STATES	TREAS BDS 2.500% 02/1 B	18,562.50	50.00	7.50	42.50	50.0	21.25
06/30/2017	QGN002289	RETIREMENT PLAN OF,	UNITED STATES	TREAS NTS 1.500% 08/1 S	-14,034.38	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN002313	LABORERS LOCAL 235	UNITED STATES	TREAS NTS 2.250% 02/1 B	15,014.06	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN002347	ROCHELLE FEDERATION	UNITED STATES	TREAS NTS 1.500% 08/1 S	-23,207.03	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN002347	ROCHELLE FEDERATION	UNITED STATES	TREAS NTS 1.750% 05/1 B	49,890.63	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN002354	LOCAL UNION 491,	UNITED STATES	TREAS BDS 2.500% 02/1 B	9,281.25	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN002388	LESTER, AUGUSTUS	UNITED STATES	TREAS NTS 2.250% 02/1 B	34,983.59	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN002479	AND STONE MASONS,	UNITED STATES	TREAS BDS 2.500% 02/1 B	23,203.13	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN002479	AND STONE MASONS,	UNITED STATES	TREAS NTS 2.250% 02/1 B	35,032.81	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN002487	LEAGUE GRAPHIC ARTS, JPMORGAN CHASE & CO	NT 2.000% 08/15 S	-49,963.00	50.00	7.50	42.50	50.0	21.25	
07/18/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES	TREAS NTS 2.250% 02/1 B	14,962.50	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES	TREAS NTS 2.250% 02/1 B	15,018.75	50.00	7.50	42.50	50.0	21.25
06/29/2017	QGN002503	CITY EMPLOYEES UNION	UNITED STATES	TREAS NTS 2.000% 11/1 S	-24,445.31	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN002503	CITY EMPLOYEES UNION	UNITED STATES	TREAS NTS 1.500% 08/1 S	-18,575.00	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN002503	CITY EMPLOYEES UNION	UNITED STATES	TREAS NTS 2.250% 02/1 B	25,039.06	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN002636	ROSS FAMILY LTD	UNITED STATES	TREAS NTS 2.250% 02/1 B	10,009.38	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN003188	OPPENHEIMER & CO	UNITED STATES	TREAS NTS 2.250% 02/1 B	9,975.00	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN003212	ODONNELL, THOMAS	UNITED STATES	TREAS BDS 2.500% 02/1 B	9,281.25	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN003212	ODONNELL, THOMAS	UNITED STATES	TREAS NTS 2.250% 02/1 B	24,937.50	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN005175	OPPENHEIMER & CO	UNITED STATES	TREAS BDS 2.500% 02/1 B	13,921.88	50.00	7.50	42.50	50.0	21.25
06/28/2017	QGN005373	HATCHER, CHARLES	CROSSINGS AT FLEMING ISLAND CMNTY D B	10,207.90	50.00	7.50	42.50	50.0	21.25	
06/29/2017	QGN005373	HATCHER, CHARLES	LAKE WORTH FLA 3.000% 07/01/31 B/E B	25,000.00	50.00	7.50	42.50	50.0	21.25	
06/29/2017	QGN005373	HATCHER, CHARLES	MIAMI-DADE CNTY FLA SCH BRD CTFS PA B	25,062.50	50.00	7.50	42.50	50.0	21.25	
06/28/2017	QGN005399	OPPENHEIMER & CO	CROSSINGS AT FLEMING ISLAND CMNTY D B	15,311.85	50.00	7.50	42.50	50.0	21.25	
07/21/2017	QGN005597	LEGAL, CONNECTICUT	UNITED STATES	TREAS NTS 2.375% 06/3 S	-20,212.50	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN005597	LEGAL, CONNECTICUT	UNITED STATES	TREAS NTS 2.250% 02/1 B	15,018.75	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES	TREAS NTS 1.500% 08/1 S	-23,218.75	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES	TREAS NTS 2.250% 02/1 B	29,925.00	50.00	7.50	42.50	50.0	21.25
07/19/2017	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES	TREAS NTS 2.250% 02/1 B	24,968.75	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES	TREAS NTS 2.250% 02/1 B	20,025.00	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES	TREAS NTS 1.875% 10/3 S	-25,039.06	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN026064	YORK STATE COURT	UNITED STATES	TREAS NTS 2.250% 02/1 B	9,975.00	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN026098	DOCTORS COUNCIL	UNITED STATES	TREAS NTS 1.500% 08/1 S	-23,218.75	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN026114	DEL JERSEY, NECA,	UNITED STATES	TREAS BDS 2.500% 02/1 B	13,921.88	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN026122	OF DELAWARE LOCAL	UNITED STATES	TREAS BDS 2.500% 02/1 B	23,539.06	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN026122	OF DELAWARE LOCAL	UNITED STATES	TREAS NTS 1.875% 10/3 S	-25,039.06	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN026205	DEFINED CONTRIBUTION	UNITED STATES	TREAS NTS 2.250% 02/1 B	74,812.50	50.00	7.50	42.50	50.0	21.25
07/19/2017	QGN026205	DEFINED CONTRIBUTION	UNITED STATES	TREAS BDS 2.500% 02/1 B	93,218.75	50.00	7.50	42.50	50.0	21.25
07/19/2017	QGN026205	DEFINED CONTRIBUTION	UNITED STATES	TREAS NTS 1.875% 10/3 S	-250,390.63	78.13	7.50	70.63	50.0	35.32
07/21/2017	QGN026205	DEFINED CONTRIBUTION	UNITED STATES	TREAS NTS 0.750% 10/3 S	-49,921.88	50.00	7.50	42.50	50.0	21.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
07/18/2017	QGN026239 PNC BANK	UNITED STATES TREAS NTS 2.250%	02/1 B	124,882.81	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN026239 PNC BANK	UNITED STATES TREAS NTS 2.250%	02/1 B	50,109.38	50.00	7.50	42.50	50.0	21.25
06/30/2017	QGN026270 BROTHERHOOD OF	UNITED STATES TREAS BDS 2.750%	08/1 S	-148,312.50	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN026270 BROTHERHOOD OF	UNITED STATES TREAS BDS 2.500%	02/1 S	-91,656.25	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN026304 SALOMON SMITH BARNEY	UNITED STATES TREAS NTS 2.250%	02/1 B	9,975.00	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN026536 DRIVERS LOCAL 550	UNITED STATES TREAS NTS 1.500%	08/1 S	-46,437.50	50.00	7.50	42.50	50.0	21.25
07/19/2017	QGN026536 DRIVERS LOCAL 550	UNITED STATES TREAS NTS 2.250%	11/3 S	-50,171.88	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN026544 EMPLOYEES, NYS	UNITED STATES TREAS NTS 1.875%	10/3 S	-50,078.13	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN026627 STACEY BRAUN	UNITED STATES TREAS NTS 2.250%	02/1 B	49,976.56	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN026627 STACEY BRAUN	UNITED STATES TREAS NTS 2.250%	02/1 B	25,039.06	50.00	7.50	42.50	50.0	21.25
06/29/2017	QGN026643 LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 2.000%	11/1 S	-24,445.31	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN026643 LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 1.500%	08/1 S	-46,437.50	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN026643 LOCAL, PLUMBERS &	UNITED STATES TREAS BDS 2.500%	02/1 B	92,812.50	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN026643 LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 2.250%	02/1 B	99,750.00	50.00	7.50	42.50	50.0	21.25
07/19/2017	QGN026643 LOCAL, PLUMBERS &	UNITED STATES TREAS BDS 2.500%	02/1 B	186,437.50	62.50	7.50	55.00	50.0	27.50
07/21/2017	QGN026643 LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 1.875%	10/3 S	-75,117.19	50.00	7.50	42.50	50.0	21.25
07/06/2017	QGN026759 STACEY BRAUN	UNITED STATES TREAS NTS 2.000%	11/1 S	-48,406.25	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN026759 STACEY BRAUN	UNITED STATES TREAS NTS 1.000%	05/3 S	-74,859.38	50.00	7.50	42.50	50.0	21.25
06/30/2017	QGN026825 CRAFTSMEN,	UNITED STATES TREAS NTS 2.250%	02/1 S	-9,954.69	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN026825 CRAFTSMEN,	UNITED STATES TREAS NTS 2.250%	02/1 B	14,962.50	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN026916 LOCAL 804 ANNUITY	UNITED STATES TREAS NTS 1.500%	08/1 S	-46,414.06	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN026916 LOCAL 804 ANNUITY	UNITED STATES TREAS BDS 2.500%	02/1 B	272,418.75	90.63	7.50	83.13	50.0	41.57
07/20/2017	QGN026916 LOCAL 804 ANNUITY	UNITED STATES TREAS NTS 2.250%	02/1 B	100,156.25	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN026957 LOCAL 177 UNION	BANK AMER CORP SR NT SER L 2.000%	0 S	-55,000.00	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN027005 LOCAL UNION #313	UNITED STATES TREAS BDS 2.500%	02/1 B	125,296.88	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN027005 LOCAL UNION #313	UNITED STATES TREAS NTS 2.250%	02/1 B	124,687.50	50.00	7.50	42.50	50.0	21.25
07/19/2017	QGN027005 LOCAL UNION #313	UNITED STATES TREAS BDS 2.500%	02/1 B	93,218.75	50.00	7.50	42.50	50.0	21.25
07/19/2017	QGN027005 LOCAL UNION #313	UNITED STATES TREAS NTS 1.875%	10/3 S	-70,109.38	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN027005 LOCAL UNION #313	NUCOR CORP NT 5.750%	12/01/17 B/E D S	-101,258.00	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN027088 237, TEAMSTERS	UNITED STATES TREAS NTS 2.250%	02/1 B	349,671.88	109.38	7.50	101.88	50.0	50.94
07/19/2017	QGN027088 237, TEAMSTERS	UNITED STATES TREAS NTS 2.250%	02/1 B	209,737.50	65.63	7.50	58.13	50.0	29.07
07/20/2017	QGN027088 237, TEAMSTERS	UNITED STATES TREAS NTS 2.250%	02/1 B	140,218.75	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN027088 237, TEAMSTERS	UNITED STATES TREAS NTS 2.250%	02/1 B	200,437.50	62.50	7.50	55.00	50.0	27.50
07/20/2017	QGN027146 LOCAL UNION 43 &	UNITED STATES TREAS NTS 1.750%	05/1 B	199,562.50	62.50	7.50	55.00	50.0	27.50
06/29/2017	QGN027187 SAVASTA, MR	UNITED STATES TREAS NTS 2.000%	11/1 S	-48,890.63	50.00	7.50	42.50	50.0	21.25
07/18/2017	QGN027187 SAVASTA, MR	UNITED STATES TREAS NTS 2.250%	02/1 B	24,937.50	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN027187 SAVASTA, MR	UNITED STATES TREAS NTS 1.875%	10/3 S	-25,039.06	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN027187 SAVASTA, MR	UNITED STATES TREAS NTS 1.875%	10/3 S	25,039.06	-50.00	-7.50	-42.50	50.0	-21.25 C
07/18/2017	QGN027195 STACEY BRAUN	UNITED STATES TREAS NTS 2.250%	02/1 B	14,992.97	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN027195 STACEY BRAUN	UNITED STATES TREAS NTS 1.875%	10/3 S	-15,023.44	50.00	7.50	42.50	50.0	21.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
07/07/2017	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 1.500% 08/1 S	-23,207.03	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.250% 02/1 B	50,078.13	50.00	7.50	42.50	50.0	21.25
07/21/2017	QGN027229	OF DELAWARE LOCAL	DEERE JOHN CAP CORP MEDIUM TERM FIX S	-24,952.00	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 1.500% 08/1 S	-185,656.25	62.50	7.50	55.00	50.0	27.50
07/20/2017	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 1.750% 05/1 B	149,671.88	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.250% 02/1 B	200,312.50	62.50	7.50	55.00	50.0	27.50
07/21/2017	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 1.875% 10/3 S	-200,312.50	62.50	7.50	55.00	50.0	27.50
07/21/2017	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 0.750% 10/3 S	-99,843.75	50.00	7.50	42.50	50.0	21.25
06/30/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.500% 05/1 S	-46,515.63	50.00	7.50	42.50	50.0	21.25
07/06/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.000% 11/1 S	-48,406.25	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.500% 02/1 S	-68,742.19	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 1.500% 08/1 S	-46,437.50	50.00	7.50	42.50	50.0	21.25
07/20/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.250% 02/1 B	125,117.19	50.00	7.50	42.50	50.0	21.25
07/24/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.750% 02/2 S	-201,875.00	62.50	7.50	55.00	50.0	27.50
07/06/2017	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 2.000% 11/1 S	-96,812.50	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 1.500% 08/1 S	-69,621.09	50.00	7.50	42.50	50.0	21.25
06/30/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS BDS 2.750% 08/1 S	-24,718.75	50.00	7.50	42.50	50.0	21.25
07/06/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS BDS 2.500% 02/1 S	-22,992.19	50.00	7.50	42.50	50.0	21.25
07/07/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS BDS 2.750% 11/1 S	-24,296.88	50.00	7.50	42.50	50.0	21.25
* BONDS SUBTOTAL *				\$1,906,589.47	\$9,329.73	\$1,312.50	\$8,017.23		\$4,004.91
*** BROKER TRANSACTIONS TOTAL ***				\$-1,461,167.32	\$16,289.28	\$1,456.50	\$14,832.78		\$7,410.45
<u>ADJUSTMENTS</u>									
07/31/2017		OTHER: BLOOMBERG		0.00	0.00	0.00	0.00		-996.21
07/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
07/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-15.00
07/31/2017		OTHER: OTHER-STACEY BRAUN		0.00	0.00	0.00	0.00		-3,048.41
07/31/2017		OTHER: OTHER-PERSHING CHARGES		0.00	0.00	0.00	0.00		-23.15
07/31/2017		OTHER: OTHER-QGN		0.00	0.00	0.00	0.00		-3.98
07/31/2017		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-212.50
07/31/2017		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-54.33
07/31/2017		OTHER: EZ CASTLE		0.00	0.00	0.00	0.00		-55.56
07/31/2017		OTHER: PIVOT		0.00	0.00	0.00	0.00		-68.05
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-4,497.19

BROKER COMMISSION TOTALS

Broker Transactions	\$7,410.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-4,497.19
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-20.36
SIPC Assessment	\$-40.72

Check Amount	\$2,852.18
Year-to-date Earnings	\$12,388.96