

COMMISSION STATEMENT

August 31, 2017

ERIC BELZ

1802 LITTLE JOHN STREET

MONTGOMERY, TX 77316

BROKER #: 26

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

08/01/2017 (None)	VARIOUS, BELZ	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	3,600.00	12.00	3,588.00	90.0	3,228.00
08/01/2017 (None)	VARIOUS, BELZ	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	22.67	12.00	10.67	90.0	8.40
08/01/2017 (None)	VARIOUS, BELZ	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	919.95	12.00	907.95	90.0	815.96
08/01/2017 (None)	VARIOUS, BELZ	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	2,116.77	12.00	2,104.77	90.0	1,893.09
08/01/2017 (None)	VARIOUS, BELZ	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	1.15	12.00	-10.85	90.0	-10.85
06/27/2017 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	108.75	3.05	12.00	-8.95	90.0	-8.95
08/08/2017 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	108.75	3.05	12.00	-8.95	90.0	-8.95
06/27/2017 0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B		362.50	10.15	12.00	-1.85	90.0	-1.85
08/08/2017 0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B		362.50	10.15	12.00	-1.85	90.0	-1.85
06/27/2017 0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	145.00	4.06	12.00	-7.94	90.0	-7.94
08/08/2017 0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	145.00	4.06	12.00	-7.94	90.0	-7.94
06/27/2017 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	108.75	3.05	12.00	-8.95	90.0	-8.95
08/08/2017 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	108.75	3.05	12.00	-8.95	90.0	-8.95
08/03/2017 00056956375	FERRADO, MICHAEL	AMCAP FUND-A	B	300.00	12.75	12.00	0.75	90.0	0.75
08/17/2017 00061842239	SCHENK, STEVEN	AMCAP FUND-A	B	100.00	3.75	12.00	-8.25	90.0	-8.25
08/03/2017 00063004460	SCHENK, STEVEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	4.69	12.00	-7.31	90.0	-7.31
08/15/2017 00084040075	WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME FUN B		100.00	4.25	12.00	-7.75	90.0	-7.75
08/15/2017 00084040075	WILLIAMS, ALYSSA	WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	4.25	12.00	-7.75	90.0	-7.75
08/15/2017 00088094822	WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C	B	50.00	0.50	12.00	-11.50	90.0	-11.50
08/11/2017 00487862253	PERUGINI, MILDRED	PUTNAM 746745108	B	100.00	2.75	12.00	-9.25	90.0	-9.25
08/11/2017 00487862253	PERUGINI, MILDRED	PUTNAM 746802800	B	150.00	4.13	12.00	-7.87	90.0	-7.87
08/11/2017 00487862253	PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS B		150.00	4.13	12.00	-7.87	90.0	-7.87
08/10/2017 QGW031783	JACKSON, FRANK	DREYFUS RESH GROWTH FD INC SHS CL A S		-13,018.22	0.00	12.00	-12.00	90.0	-12.00
* MUTUAL FUNDS SUBTOTAL *				\$-10,393.22	\$6,742.36	\$276.00	\$6,466.36		\$5,800.42

PRODUCT CATEGORY: STOCKS

07/27/2017 QGW031783	JACKSON, FRANK	AMAZON.COM INC	S	-27,769.82	155.00	12.00	143.00	90.0	127.50
07/27/2017 QGW034415	CHAIMOVITCH, BERNARD	AMAZON.COM INC	S	-27,774.08	175.00	12.00	163.00	90.0	145.50
08/18/2017 QGW035040	THUILLOT, CHARLES	SNAP INC CLASS A COMMON STOCK	B	22,500.00	180.00	12.00	168.00	90.0	150.00
08/18/2017 QGW035180	PUE, MARYANN	SNAP INC CLASS A COMMON STOCK	B	13,500.00	135.00	12.00	123.00	90.0	109.50
08/18/2017 QGW035198	WULFF, HANS	SNAP INC CLASS A COMMON STOCK	B	15,000.00	195.00	12.00	183.00	90.0	163.50

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
08/28/2017	QGW035198 WULFF, HANS	COHERUS BIOSCIENCES INC COM	B	29,600.00	345.00	12.00	333.00	90.0	298.50
08/17/2017	QGW035206 SKAHILL, ROBERT	ALCOA CORPORATION COMMON STOCK	S	-8,538.90	90.00	12.00	78.00	90.0	69.00
08/18/2017	QGW035222 BIANCO, BARBARA	SNAP INC CLASS A COMMON STOCK	B	13,500.00	135.00	12.00	123.00	90.0	109.50
08/18/2017	QGW035248 BELZ, ERIC	KRATOS DEFENSE & SEC SOLUTIONS	S	-15,000.00	165.00	12.00	153.00	90.0	136.50
08/18/2017	QGW035347 MODUGNO, ROCCO	SNAP INC CLASS A COMMON STOCK	B	16,000.00	200.00	12.00	188.00	90.0	168.00
08/17/2017	QGW035388 FAY, WALTER	ALCOA CORPORATION COMMON STOCK	S	-12,808.35	95.00	12.00	83.00	90.0	73.50
08/17/2017	QGW035388 FAY, WALTER	ARCONIC INC COM	S	-24,810.00	165.00	12.00	153.00	90.0	136.50
08/18/2017	QGW035388 FAY, WALTER	ATLAS AIR WORLDWIDE HLDGS INC FORME S	S	-40,250.00	342.00	12.00	330.00	90.0	295.80
08/18/2017	QGW035396 MOYNIHAN, MICHAEL	SNAP INC CLASS A COMMON STOCK	B	15,000.00	188.00	12.00	176.00	90.0	157.20
08/17/2017	QGW035404 RICCA, MARIA	ALCOA CORPORATION COMMON STOCK	S	-7,700.70	75.00	12.00	63.00	90.0	55.50
08/18/2017	QGW035404 RICCA, MARIA	TWITTER INC	B	7,600.00	142.08	12.00	130.08	90.0	115.87
08/17/2017	QGW035487 O'BRIEN, THOMAS	ALCOA CORPORATION COMMON STOCK	S	-8,489.14	90.00	12.00	78.00	90.0	69.00
08/17/2017	QGW035487 O'BRIEN, THOMAS	ARCONIC INC COM	S	-16,350.44	185.00	12.00	173.00	90.0	154.50
08/18/2017	QGW035511 LYDEN, MARY	SNAP INC CLASS A COMMON STOCK	B	15,000.00	188.00	12.00	176.00	90.0	157.20
07/28/2017	QGW035537 LYDEN, MARY	TWITTER INC	B	5,400.00	110.04	12.00	98.04	90.0	87.04
08/17/2017	QGW035537 LYDEN, MARY	ALCOA CORPORATION COMMON STOCK	S	-8,475.94	95.00	12.00	83.00	90.0	73.50
08/17/2017	QGW035537 LYDEN, MARY	ARCONIC INC COM	S	-16,317.51	155.00	12.00	143.00	90.0	127.50
08/18/2017	QGW035537 LYDEN, MARY	TWITTER INC	B	27,000.00	230.00	12.00	218.00	90.0	195.00
08/18/2017	QGW035545 KMIECIAK, INES	POTASH CORP OF SASKATCHEWAN INC CAN B	B	35,000.00	298.00	12.00	286.00	90.0	256.20
08/18/2017	QGW035594 DUSATKO, ANNE	ATLAS AIR WORLDWIDE HLDGS INC FORME S	S	-57,500.00	345.00	12.00	333.00	90.0	298.50
08/18/2017	QGW035594 DUSATKO, ANNE	SNAP INC CLASS A COMMON STOCK	B	18,000.00	225.00	12.00	213.00	90.0	190.50
08/25/2017	QGW035610 DAVIS, VICTORIA	POTASH CORP OF SASKATCHEWAN INC CAN B	B	21,000.00	262.00	12.00	250.00	90.0	223.80
08/17/2017	QGW035636 SEIDEL, ANNE	ALCOA CORPORATION COMMON STOCK	S	-8,533.01	125.00	12.00	113.00	90.0	100.50
08/17/2017	QGW035636 SEIDEL, ANNE	ARCONIC INC COM	S	-16,532.38	85.00	12.00	73.00	90.0	64.50
08/18/2017	QGW035644 MONGELUZZI, LINDA	SNAP INC CLASS A COMMON STOCK	B	15,000.00	188.00	12.00	176.00	90.0	157.20
08/18/2017	QGW035669 KOLLAR, ROBERT	KRATOS DEFENSE & SEC SOLUTIONS	S	-10,000.00	145.00	12.00	133.00	90.0	118.50
08/18/2017	QGW035669 KOLLAR, ROBERT	SNAP INC CLASS A COMMON STOCK	B	15,000.00	188.00	12.00	176.00	90.0	157.20
08/18/2017	QGW035685 EICHENHOLZ, SONDR	SNAP INC CLASS A COMMON STOCK	B	12,000.00	150.00	12.00	138.00	90.0	123.00
07/27/2017	QGW035719 FAMILY 2011	AMAZON.COM INC	S	-18,163.46	122.00	12.00	110.00	90.0	97.80
08/18/2017	QGW035719 FAMILY 2011	SNAP INC CLASS A COMMON STOCK	B	12,800.00	165.00	12.00	153.00	90.0	136.50
08/18/2017	QGW035735 STELLATO, MICHAEL	SNAP INC CLASS A COMMON STOCK	B	7,500.00	105.00	12.00	93.00	90.0	82.50
08/18/2017	QGW035776 ZIMMERMAN, FRANCINE	NABORS INDUSTRIES LTD	B	14,000.00	175.00	12.00	163.00	90.0	145.50
08/18/2017	QGW035776 ZIMMERMAN, FRANCINE	SNAP INC CLASS A COMMON STOCK	B	22,500.00	282.00	12.00	270.00	90.0	241.80
07/28/2017	QGW035784 SHEEHAN, DAVID	TWITTER INC	B	14,400.00	192.00	12.00	180.00	90.0	160.80
08/18/2017	QGW035784 SHEEHAN, DAVID	ATLAS AIR WORLDWIDE HLDGS INC FORME S	S	-28,750.00	245.00	12.00	233.00	90.0	208.50
08/11/2017	QGW035834 CHAUDHRY, JAHANZEB	VUZIX CORP COM NEW	B	11,100.00	81.00	12.00	69.00	90.0	60.90
08/18/2017	QGW035834 CHAUDHRY, JAHANZEB	SNAP INC CLASS A COMMON STOCK	B	15,000.00	188.00	12.00	176.00	90.0	157.20
08/28/2017	QGW035883 BUDKEVICS, YANA	ALCOA CORPORATION COMMON STOCK	S	-8,676.32	145.00	12.00	133.00	90.0	118.50
08/28/2017	QGW035883 BUDKEVICS, YANA	ARCONIC INC COM	S	-15,778.46	225.00	12.00	213.00	90.0	190.50
08/18/2017	QGW035891 DICKIE, JAYNE	SNAP INC CLASS A COMMON STOCK	B	9,000.00	126.00	12.00	114.00	90.0	101.40

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
08/17/2017	QGW035925	MOTT, LINDA		ALCOA CORPORATION COMMON STOCK	S	-9,386.39	95.00	12.00	83.00 90.0 73.50
08/18/2017	QGW035925	MOTT, LINDA		SNAP INC CLASS A COMMON STOCK	B	12,000.00	150.00	12.00	138.00 90.0 123.00
08/18/2017	QGW035982	PANASUK, JOHN		SNAP INC CLASS A COMMON STOCK	B	16,000.00	193.00	12.00	181.00 90.0 161.70
08/17/2017	QGW035990	HERDTER, RICHARD		ALCOA CORPORATION COMMON STOCK	S	-8,530.02	90.00	12.00	78.00 90.0 69.00
08/18/2017	QGW035990	HERDTER, RICHARD		ATLAS AIR WORLDWIDE HLDGS INC FORME S	S	-57,500.00	345.00	12.00	333.00 90.0 298.50
08/18/2017	QGW035990	HERDTER, RICHARD		SNAP INC CLASS A COMMON STOCK	B	11,200.00	140.00	12.00	128.00 90.0 114.00
08/18/2017	QGW036006	WALLNER, ERIC		ATLAS AIR WORLDWIDE HLDGS INC FORME S	S	-11,500.00	144.00	12.00	132.00 90.0 117.60
08/18/2017	QGW036014	TUNJIAN, CRAIG		SNAP INC CLASS A COMMON STOCK	B	12,000.00	150.00	12.00	138.00 90.0 123.00
07/28/2017	QGW036030	BALLO, CATHERINE		JD.COM INC SPONSORED ADR REPSTG COM S	S	-64,500.00	452.00	12.00	440.00 90.0 394.80
08/18/2017	QGW036030	BALLO, CATHERINE		COHERUS BIOSCIENCES INC COM	B	31,250.00	266.00	12.00	254.00 90.0 227.40
08/18/2017	QGW036030	BALLO, CATHERINE		SNAP INC CLASS A COMMON STOCK	B	24,000.00	195.00	12.00	183.00 90.0 163.50
08/18/2017	QGW036048	FITZPATRICK, BARRY		SNAP INC CLASS A COMMON STOCK	B	13,500.00	169.00	12.00	157.00 90.0 140.10
08/18/2017	QGW036063	PICCININNI,		SNAP INC CLASS A COMMON STOCK	B	7,500.00	105.00	12.00	93.00 90.0 82.50
08/18/2017	QGW036089	HENNEMANN, PATRICIA		SNAP INC CLASS A COMMON STOCK	B	15,000.00	188.00	12.00	176.00 90.0 157.20
07/27/2017	QGW036154	CALLAHAN, PATRICK		AMAZON.COM INC	S	-11,751.30	97.00	12.00	85.00 90.0 75.30
08/18/2017	QGW036238	LENTO, JOHN		SNAP INC CLASS A COMMON STOCK	B	12,800.00	160.00	12.00	148.00 90.0 132.00
08/18/2017	QGW036311	FAST, KENNETH		SNAP INC CLASS A COMMON STOCK	B	15,000.00	188.00	12.00	176.00 90.0 157.20
08/18/2017	QGW036899	SARLO, JESSICA		COHERUS BIOSCIENCES INC COM	B	15,000.00	188.00	12.00	176.00 90.0 157.20
08/18/2017	QGW036899	SARLO, JESSICA		TWITTER INC	B	12,800.00	140.00	12.00	128.00 90.0 114.00
08/18/2017	QGW036956	BROCHARD, THOMAS		SNAP INC CLASS A COMMON STOCK	B	15,000.00	188.00	12.00	176.00 90.0 157.20
08/18/2017	QGW037087	01/19/2016, U/A		SNAP INC CLASS A COMMON STOCK	B	12,800.00	160.00	12.00	148.00 90.0 132.00
* STOCKS SUBTOTAL *				\$86,863.78	\$11,700.12	\$792.00	\$10,908.12		\$9,738.11
PRODUCT CATEGORY: OPTIONS									
08/11/2017	QGW031783	JACKSON, FRANK		CALL 100 OPKO HEALTH INC COM EXP 09 S		-300.00	31.00	15.75	15.25 90.0 12.15
08/23/2017	QGW031783	JACKSON, FRANK		CALL 100 SNAP INC CL A EXP 11-17-17 S		-672.00	70.00	13.50	56.50 90.0 49.50
08/01/2017	QGW035040	THUILOT, CHARLES		PUT 100 VUZIX CORP COM NEW EXP 01-1 S		-1,500.00	99.00	15.75	83.25 90.0 73.35
08/22/2017	QGW035040	THUILOT, CHARLES		CALL 100 SNAP INC CL A EXP 10-20-17 S		-765.00	74.00	15.75	58.25 90.0 50.85
08/03/2017	QGW035123	BOWLEY, KEVIN		CALL 100 TRANSOCEAN LTD REG SHS ISI S		-450.00	48.00	15.75	32.25 90.0 27.45
08/21/2017	QGW035123	BOWLEY, KEVIN		CALL 100 SIRIUS XM HLDGS INC COM EX S		-340.00	33.00	17.00	16.00 90.0 12.70
08/22/2017	QGW035180	PUE, MARYANN		CALL 100 SNAP INC CL A EXP 10-20-17 S		-459.00	48.00	14.25	33.75 90.0 28.95
08/08/2017	QGW035198	WULFF, HANS		CALL 100 COHERUS BIOSCIENCES INC CO S		-2,000.00	99.00	17.00	82.00 90.0 72.10
08/22/2017	QGW035198	WULFF, HANS		CALL 100 SNAP INC CL A EXP 09-15-17 S		-250.00	29.00	14.50	14.50 90.0 11.60
08/28/2017	QGW035198	WULFF, HANS		CALL 100 COHERUS BIOSCIENCES INC CO S		-600.00	59.00	17.00	42.00 90.0 36.10
08/29/2017	QGW035198	WULFF, HANS		CALL 100 TRANSOCEAN LTD REG SHS ISI S		-280.00	28.00	17.00	11.00 90.0 8.20
08/01/2017	QGW035206	SKAHILL, ROBERT		PUT 100 VUZIX CORP COM NEW EXP 10-2 S		-700.00	72.00	15.50	56.50 90.0 49.30
08/22/2017	QGW035214	BIANCO, BARBARA		CALL 100 KRATOS DEFENSE & SEC SOLUT S		-250.00	25.00	13.25	11.75 90.0 9.25
08/24/2017	QGW035214	BIANCO, BARBARA		CALL 100 KRATOS DEFENSE & SEC SOLUT S		-400.00	40.00	14.00	26.00 90.0 22.00
08/22/2017	QGW035222	BIANCO, BARBARA		CALL 100 SNAP INC CL A EXP 10-20-17 S		-459.00	46.00	14.25	31.75 90.0 27.15
08/03/2017	QGW035248	BELZ, ERIC		CALL 100 TRANSOCEAN LTD REG SHS ISI S		-450.00	48.00	15.75	32.25 90.0 27.45

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
08/11/2017	QGW035248	BELZ, ERIC	PUT 100 OPKO HEALTH INC COM EXP 09- S	-625.00	55.00	18.25	36.75	90.0	31.25
08/28/2017	QGW035248	BELZ, ERIC	CALL 100 NABORS INDS LTD SHS ISIN#B S	-300.00	29.00	17.00	12.00	90.0	9.10
08/03/2017	QGW035255	BROCHARD, MARIA	PUT 100 VUZIX CORP COM NEW EXP 10-2 S	-1,000.00	92.00	17.00	75.00	90.0	65.80
08/01/2017	QGW035347	MODUGNO, ROCCO	PUT 100 VUZIX CORP COM NEW EXP 10-2 S	-1,500.00	99.00	19.50	79.50	90.0	69.60
08/08/2017	QGW035347	MODUGNO, ROCCO	CALL 100 COHERUS BIOSCIENCES INC CO S	-1,350.00	89.10	14.25	74.85	90.0	65.94
08/22/2017	QGW035347	MODUGNO, ROCCO	CALL 100 SNAP INC CL A EXP 10-20-17 S	-500.00	51.00	14.50	36.50	90.0	31.40
08/22/2017	QGW035396	MOYNIHAN, MICHAEL	CALL 100 SNAP INC CL A EXP 10-20-17 S	-640.00	66.00	14.50	51.50	90.0	44.90
08/24/2017	QGW035412	AMENITA, PATRICIA	CALL 100 KRATOS DEFENSE & SEC SOLUT S	-1,000.00	99.00	17.00	82.00	90.0	72.10
08/29/2017	QGW035412	AMENITA, PATRICIA	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-300.00	31.00	17.00	14.00	90.0	10.90
08/28/2017	QGW035479	ROBERTS, KATHLEEN	CALL 100 COHERUS BIOSCIENCES INC CO S	-350.00	33.00	14.50	18.50	90.0	15.20
08/23/2017	QGW035503	SIMPSON, DAVID	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-1,400.00	99.00	15.50	83.50	90.0	73.60
08/01/2017	QGW035511	LYDEN, MARY	CALL 100 VUZIX CORP COM NEW EXP 10- B	625.00	53.00	18.25	34.75	90.0	29.45
08/03/2017	QGW035511	LYDEN, MARY	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-600.00	61.00	17.00	44.00	90.0	37.90
08/22/2017	QGW035511	LYDEN, MARY	CALL 100 SNAP INC CL A EXP 09-15-17 S	-250.00	29.00	14.50	14.50	90.0	11.60
08/29/2017	QGW035511	LYDEN, MARY	CALL 100 TWITTER INC COM EXP 09-15- S	-300.00	29.00	14.50	14.50	90.0	11.60
08/21/2017	QGW035545	KMIECIAK, INES	CALL 100 POTASH CORP OF SASKATCHEWA S	-360.00	37.00	17.00	20.00	90.0	16.30
08/25/2017	QGW035545	KMIECIAK, INES	CALL 100 OPKO HEALTH INC COM EXP 09 S	-200.00	23.00	17.00	6.00	90.0	3.70
08/29/2017	QGW035545	KMIECIAK, INES	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-300.00	31.00	17.00	14.00	90.0	10.90
08/28/2017	QGW035552	KMIECIAK, ZBIGNIEW	CALL 100 COHERUS BIOSCIENCES INC CO S	-350.00	34.00	14.50	19.50	90.0	16.10
08/21/2017	QGW035560	AMENITA, FREDERICK	CALL 100 SIRIUS XM HLDGS INC COM EX S	-510.00	55.00	19.50	35.50	90.0	30.00
07/31/2017	QGW035594	DUSATKO, ANNE	CALL 100 OPKO HEALTH INC COM EXP 08 S	-50.00	6.33	13.25	-6.92	90.0	-6.92
08/01/2017	QGW035594	DUSATKO, ANNE	CALL 100 OPKO HEALTH INC COM EXP 08 S	-250.00	31.66	18.25	13.41	90.0	10.24
08/08/2017	QGW035594	DUSATKO, ANNE	CALL 100 VUZIX CORP COM NEW EXP 10- S	-150.00	15.00	13.50	1.50	90.0	0.00
08/10/2017	QGW035594	DUSATKO, ANNE	PUT 100 OPKO HEALTH INC COM EXP 09- S	-600.00	61.00	19.50	41.50	90.0	35.40
08/15/2017	QGW035594	DUSATKO, ANNE	CALL 100 VUZIX CORP COM NEW EXP 01- S	-500.00	49.28	14.50	34.78	90.0	29.85
08/16/2017	QGW035594	DUSATKO, ANNE	CALL 100 VUZIX CORP COM NEW EXP 01- S	-200.00	19.70	13.00	6.70	90.0	4.73
08/21/2017	QGW035594	DUSATKO, ANNE	CALL 100 TWITTER INC COM EXP 09-15- S	-420.00	49.00	20.75	28.25	90.0	23.35
08/22/2017	QGW035594	DUSATKO, ANNE	CALL 100 SNAP INC CL A EXP 10-20-17 S	-600.00	61.00	15.00	46.00	90.0	39.90
08/28/2017	QGW035594	DUSATKO, ANNE	CALL 100 COHERUS BIOSCIENCES INC CO S	-550.00	52.00	14.50	37.50	90.0	32.30
08/28/2017	QGW035594	DUSATKO, ANNE	CALL 100 NABORS INDS LTD SHS ISIN#B S	-375.00	38.00	18.25	19.75	90.0	15.95
08/28/2017	QGW035594	DUSATKO, ANNE	CALL 100 OPKO HEALTH INC COM EXP 09 S	-300.00	29.00	19.50	9.50	90.0	6.60
08/29/2017	QGW035594	DUSATKO, ANNE	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-300.00	31.00	17.00	14.00	90.0	10.90
08/29/2017	QGW035594	DUSATKO, ANNE	PUT 100 ARCONIC INC COM EXP 09-15-1 S	-600.00	59.00	17.00	42.00	90.0	36.10
08/29/2017	QGW035594	DUSATKO, ANNE	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-2,400.00	99.00	17.00	82.00	90.0	72.10
08/28/2017	QGW035610	DAVIS, VICTORIA	CALL 100 POTASH CORP OF SASKATCHEWA S	-360.00	38.00	15.00	23.00	90.0	19.20
08/29/2017	QGW035636	SEIDEL, ANNE	CALL 100 OPKO HEALTH INC COM EXP 10 S	-300.00	28.00	17.00	11.00	90.0	8.20
08/29/2017	QGW035636	SEIDEL, ANNE	CALL 100 TWITTER INC COM EXP 09-15- S	-180.00	21.00	14.50	6.50	90.0	4.40
08/22/2017	QGW035644	MONGELUZZI, LINDA	CALL 100 SNAP INC CL A EXP 10-20-17 S	-600.00	60.00	14.50	45.50	90.0	39.50
08/21/2017	QGW035669	KOLLAR, ROBERT	CALL 100 KRATOS DEFENSE & SEC SOLUT S	-1,500.00	99.00	17.00	82.00	90.0	72.10
08/22/2017	QGW035669	KOLLAR, ROBERT	CALL 100 SNAP INC CL A EXP 10-20-17 S	-600.00	61.00	14.50	46.50	90.0	40.40

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
08/11/2017	QGW035677	KOLLAR, MAURA	CALL 100 OPKO HEALTH INC COM EXP 09 S	-300.00	32.00	17.00	15.00	90.0	11.80
08/10/2017	QGW035685	EICHENHOLZ, SONDR	PUT 100 OPKO HEALTH INC COM EXP 09- S	-500.00	49.00	17.00	32.00	90.0	27.10
08/22/2017	QGW035685	EICHENHOLZ, SONDR	CALL 100 SNAP INC CL A EXP 10-20-17 S	-488.00	50.00	14.00	36.00	90.0	31.00
08/04/2017	QGW035693	MASSA, WILLIAM	CALL 100 BANK AMER CORP COM EXP 09- S	-266.00	35.00	15.50	19.50	90.0	16.00
08/28/2017	QGW035693	MASSA, WILLIAM	CALL 100 NABORS INDS LTD SHS ISIN#B S	-300.00	29.00	17.00	12.00	90.0	9.10
08/01/2017	QGW035701	SZARKA, MICHAEL	CALL 100 VUZIX CORP COM NEW EXP 10- S	-400.00	41.00	17.00	24.00	90.0	19.90
08/22/2017	QGW035719	FAMILY 2011	CALL 100 SNAP INC CL A EXP 10-20-17 S	-504.00	50.00	14.00	36.00	90.0	31.00
08/22/2017	QGW035719	FAMILY 2011	CALL 100 SNAP INC CL A EXP 10-20-17 S	504.00	-50.00	-14.00	-36.00	90.0	-31.00 C
08/22/2017	QGW035719	FAMILY 2011	CALL 100 SNAP INC CL A EXP 10-20-17 S	-504.00	50.00	14.00	36.00	90.0	31.00
08/22/2017	QGW035727	HERDTER, CYNTHIA	CALL 100 SIRIUS XM HLDGS INC COM EX S	-510.00	49.00	19.50	29.50	90.0	24.60
08/03/2017	QGW035768	MASSA, PATRICIA	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-600.00	65.00	17.00	48.00	90.0	41.50
08/04/2017	QGW035768	MASSA, PATRICIA	CALL 100 BANK AMER CORP COM EXP 09- S	-380.00	37.14	17.00	20.14	90.0	16.43
08/21/2017	QGW035768	MASSA, PATRICIA	PUT 100 BANK AMER CORP COM EXP 09-1 S	-980.00	98.00	17.00	81.00	90.0	71.20
08/21/2017	QGW035768	MASSA, PATRICIA	PUT 100 BANK AMER CORP COM EXP 09-1 S	980.00	-98.00	-17.00	-81.00	90.0	-71.20 C
08/21/2017	QGW035768	MASSA, PATRICIA	PUT 100 BANK AMER CORP COM EXP 09-1 S	-980.00	98.00	17.00	81.00	90.0	71.20
08/24/2017	QGW035768	MASSA, PATRICIA	PUT 100 OPKO HEALTH INC COM EXP 09- S	-600.00	64.00	19.50	44.50	90.0	38.10
08/24/2017	QGW035768	MASSA, PATRICIA	PUT 100 OPKO HEALTH INC COM EXP 09- S	600.00	-64.00	-19.50	-44.50	90.0	-38.10 C
08/24/2017	QGW035768	MASSA, PATRICIA	PUT 100 OPKO HEALTH INC COM EXP 09- S	-600.00	64.00	19.50	44.50	90.0	38.10
08/28/2017	QGW035768	MASSA, PATRICIA	CALL 100 COHERUS BIOSCIENCES INC CO S	-500.00	52.00	14.50	37.50	90.0	32.30
08/28/2017	QGW035768	MASSA, PATRICIA	CALL 100 NABORS INDS LTD SHS ISIN#B S	-300.00	29.00	17.00	12.00	90.0	9.10
08/17/2017	QGW035776	ZIMMERMAN, FRANCINE	PUT 100 OPKO HEALTH INC COM EXP 09- S	-500.00	51.00	18.25	32.75	90.0	27.65
08/22/2017	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 SNAP INC CL A EXP 10-20-17 S	-735.00	72.00	15.75	56.25	90.0	49.05
08/25/2017	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 COHERUS BIOSCIENCES INC CO S	-750.00	74.00	14.50	59.50	90.0	52.10
08/01/2017	QGW035784	SHEEHAN, DAVID	CALL 100 VUZIX CORP COM NEW EXP 10- S	-400.00	41.00	17.00	24.00	90.0	19.90
08/01/2017	QGW035800	SHEEHAN, MARY	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-600.00	61.00	13.50	47.50	90.0	41.40
08/08/2017	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 OPKO HEALTH INC COM EXP 09- S	-700.00	68.00	17.00	51.00	90.0	44.20
08/11/2017	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 VUZIX CORP COM NEW EXP 01- S	-700.00	69.00	17.00	52.00	90.0	45.10
08/15/2017	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 OPKO HEALTH INC COM EXP 09- S	-375.00	48.00	18.25	29.75	90.0	24.95
08/15/2017	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 OPKO HEALTH INC COM EXP 09- S	375.00	-48.00	-18.25	-29.75	90.0	-24.95 C
08/15/2017	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 OPKO HEALTH INC COM EXP 09- S	-375.00	48.00	18.25	29.75	90.0	24.95
08/22/2017	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 SNAP INC CL A EXP 09-15-17 S	-250.00	29.00	14.50	14.50	90.0	11.60
08/23/2017	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 TWITTER INC COM EXP 09-15- S	-330.00	32.00	15.75	16.25	90.0	13.05
08/23/2017	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 TWITTER INC COM EXP 09-15- S	330.00	-32.00	-15.75	-16.25	90.0	-13.05 C
08/23/2017	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 TWITTER INC COM EXP 09-15- S	-330.00	32.00	15.75	16.25	90.0	13.05
08/23/2017	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 HEICO CORP NEW COM EXP 09-1 S	-630.00	68.00	15.50	52.50	90.0	45.70
08/25/2017	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 ARCONIC INC COM EXP 09-15-1 S	-625.00	51.00	18.25	32.75	90.0	27.65
08/25/2017	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 HEICO CORP NEW COM EXP 09-1 S	-975.00	99.00	15.75	83.25	90.0	73.35
08/28/2017	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 NABORS INDS LTD SHS ISIN#B S	-300.00	30.00	17.00	13.00	90.0	10.00
08/28/2017	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-2,400.00	99.00	17.00	82.00	90.0	72.10
08/23/2017	QGW035891	DICKIE, JAYNE	CALL 100 SNAP INC CL A EXP 11-17-17 S	-672.00	70.00	13.50	56.50	90.0	49.50

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
08/22/2017	QGW035925	MOTT, LINDA	CALL 100 SNAP INC CL A EXP 10-20-17 S	-504.00	51.00	14.00	37.00	90.0	31.90
08/01/2017	QGW035982	PANASUK, JOHN	CALL 100 VUZIX CORP COM NEW EXP 10- S	-400.00	41.00	17.00	24.00	90.0	19.90
08/22/2017	QGW035982	PANASUK, JOHN	CALL 100 SNAP INC CL A EXP 10-20-17 S	-300.00	32.00	14.50	17.50	90.0	14.30
08/22/2017	QGW035982	PANASUK, JOHN	CALL 100 TWITTER INC COM EXP 09-15- S	-325.00	35.00	18.25	16.75	90.0	13.25
08/28/2017	QGW035982	PANASUK, JOHN	CALL 100 COHERUS BIOSCIENCES INC CO S	-960.00	91.00	15.00	76.00	90.0	66.90
08/29/2017	QGW035982	PANASUK, JOHN	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-640.00	65.00	22.00	43.00	90.0	36.50
08/22/2017	QGW035990	HERDTER, RICHARD	CALL 100 KRATOS DEFENSE & SEC SOLUT S	-1,680.00	99.00	17.25	81.75	90.0	71.85
08/22/2017	QGW035990	HERDTER, RICHARD	CALL 100 SNAP INC CL A EXP 10-20-17 S	-210.00	25.00	13.75	11.25	90.0	8.75
08/24/2017	QGW035990	HERDTER, RICHARD	PUT 100 POTASH CORP OF SASKATCHEWAN S	-465.00	49.00	15.75	33.25	90.0	28.35
08/22/2017	QGW036014	TUNJIAN, CRAIG	CALL 100 SNAP INC CL A EXP 10-20-17 S	-400.00	39.00	14.00	25.00	90.0	21.10
08/01/2017	QGW036030	BALLO, CATHERINE	CALL 100 VUZIX CORP COM NEW EXP 10- S	-340.00	38.00	16.25	21.75	90.0	17.95
08/01/2017	QGW036030	BALLO, CATHERINE	PUT 100 OPKO HEALTH INC COM EXP 09- S	-900.00	91.00	19.50	71.50	90.0	62.40
08/15/2017	QGW036030	BALLO, CATHERINE	PUT 100 POTASH CORP OF SASKATCHEWAN S	-540.00	55.00	17.00	38.00	90.0	32.50
08/22/2017	QGW036030	BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 10-20-17 S	-750.00	76.00	15.75	60.25	90.0	52.65
08/22/2017	QGW036030	BALLO, CATHERINE	CALL 100 TWITTER INC COM EXP 09-15- S	-300.00	31.00	15.75	15.25	90.0	12.15
08/25/2017	QGW036030	BALLO, CATHERINE	CALL 100 COHERUS BIOSCIENCES INC CO S	-1,875.00	99.00	18.25	80.75	90.0	70.85
08/29/2017	QGW036030	BALLO, CATHERINE	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-600.00	59.00	22.00	37.00	90.0	31.10
08/22/2017	QGW036048	FITZPATRICK, BARRY	CALL 100 SNAP INC CL A EXP 10-20-17 S	-450.00	46.00	14.25	31.75	90.0	27.15
08/25/2017	QGW036048	FITZPATRICK, BARRY	CALL 100 COHERUS BIOSCIENCES INC CO S	-750.00	72.00	14.50	57.50	90.0	50.30
08/11/2017	QGW036063	PICCININNI,	CALL 100 KRATOS DEFENSE & SEC SOLUT S	-400.00	44.00	17.00	27.00	90.0	22.60
08/22/2017	QGW036063	PICCININNI,	CALL 100 SNAP INC CL A EXP 11-17-17 S	-410.00	48.00	13.25	34.75	90.0	29.95
08/24/2017	QGW036063	PICCININNI,	CALL 100 KRATOS DEFENSE & SEC SOLUT S	-1,000.00	99.00	17.00	82.00	90.0	72.10
08/21/2017	QGW036071	HENNEMANN, DENNIS	CALL 100 SIRIUS XM HLDGS INC COM EX S	-480.00	42.00	19.50	22.50	90.0	18.30
08/21/2017	QGW036089	HENNEMANN, PATRICIA	CALL 100 SIRIUS XM HLDGS INC COM EX S	-480.00	42.00	19.50	22.50	90.0	18.30
08/21/2017	QGW036089	HENNEMANN, PATRICIA	CALL 100 SIRIUS XM HLDGS INC COM EX S	480.00	-42.00	-19.50	-22.50	90.0	-18.30 C
08/22/2017	QGW036089	HENNEMANN, PATRICIA	CALL 100 KRATOS DEFENSE & SEC SOLUT S	-2,000.00	99.00	18.25	80.75	90.0	70.85
08/22/2017	QGW036089	HENNEMANN, PATRICIA	CALL 100 SNAP INC CL A EXP 10-20-17 S	-300.00	29.00	14.50	14.50	90.0	11.60
08/22/2017	QGW036238	LENTO, JOHN	CALL 100 SNAP INC CL A EXP 11-17-17 S	-640.00	60.00	14.00	46.00	90.0	40.00
08/22/2017	QGW036311	FAST, KENNETH	CALL 100 SNAP INC CL A EXP 10-20-17 S	-510.00	51.00	14.50	36.50	90.0	31.40
08/01/2017	QGW036329	WOLF, KIM	CALL 100 VUZIX CORP COM NEW EXP 10- S	-400.00	41.00	17.00	24.00	90.0	19.90
08/01/2017	QGW036329	WOLF, KIM	PUT 100 BANK AMER CORP COM EXP 09-1 S	-882.00	90.00	16.50	73.50	90.0	64.50
08/21/2017	QGW036329	WOLF, KIM	CALL 100 SIRIUS XM HLDGS INC COM EX S	-425.00	42.00	18.25	23.75	90.0	19.55
08/28/2017	QGW036329	WOLF, KIM	CALL 100 COHERUS BIOSCIENCES INC CO S	-800.00	79.00	14.50	64.50	90.0	56.60
08/21/2017	QGW036899	SARLO, JESSICA	CALL 100 COHERUS BIOSCIENCES INC CO S	-550.00	22.00	14.50	7.50	90.0	5.30
08/22/2017	QGW036899	SARLO, JESSICA	CALL 100 TWITTER INC COM EXP 09-15- S	-408.00	41.00	14.00	27.00	90.0	22.90
08/22/2017	QGW036956	BROCHARD, THOMAS	CALL 100 SNAP INC CL A EXP 10-20-17 S	-480.00	50.00	14.50	35.50	90.0	30.50
08/30/2017	QGW036956	BROCHARD, THOMAS	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-1,500.00	99.00	15.00	84.00	90.0	74.10
08/04/2017	QGW037087	01/19/2016, U/A	PUT 100 OPKO HEALTH INC COM EXP 09- S	-900.00	81.00	19.50	61.50	90.0	53.40
08/22/2017	QGW037087	01/19/2016, U/A	CALL 100 SNAP INC CL A EXP 10-20-17 S	-528.00	50.00	14.00	36.00	90.0	31.00
* OPTIONS SUBTOTAL *				\$-74,492.00	\$6,655.21	\$1,989.75	\$4,665.46		\$4,000.57

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/31/2017 (None)	VARIOUS, BELZ	529 AMERICAN MUTUAL FUND	B	0.00	33.06	0.00	33.06	90.0	29.75
08/31/2017 (None)	VARIOUS, BELZ	AMERICAN FUND	B	0.00	0.00	0.00	0.00	90.0	0.00
07/31/2017 000000000016865	711635	FRANKLIN 354713513	B	0.00	360.37	0.00	360.37	90.0	324.33
07/31/2017 0000000000032716	711635	FRANKLIN 353496698	B	0.00	40.15	0.00	40.15	90.0	36.14
07/31/2017 0000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763	B	0.00	12.88	0.00	12.88	90.0	11.59
07/31/2017 0000000000258208	HEUBISH, LAURA	FRK TEMP COREFOLIO ALLOC FND CL - C	B	0.00	17.48	0.00	17.48	90.0	15.73
07/31/2017 0000000000277071	RICCA, MARIA	FRANKLIN/TEMPLETON FUNDS 88019R732	B	0.00	6.73	0.00	6.73	90.0	6.06
07/31/2017 0000000000361788	INVESTMENTS,	FRANKLIN/TEMPLETON FUNDS 88019R732	B	0.00	11.28	0.00	11.28	90.0	10.15
07/31/2017 0000000000426914	T/O/D	FRANKLIN NEW YORK TAX FREE CLASS C	B	0.00	5.93	0.00	5.93	90.0	5.34
07/31/2017 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	0.00	13.64	0.00	13.64	90.0	12.28
07/31/2017 0000000002077360	LOEBER,	MUTUAL DISC FND - CL A	B	0.00	8.11	0.00	8.11	90.0	7.30
07/31/2017 0000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	392.18	0.00	392.18	90.0	352.96
07/31/2017 0000000003359989	HEUBISH,	FRK TEMP FOUNDING FUNDS ALLOC FND C	B	0.00	16.07	0.00	16.07	90.0	14.46
07/31/2017 0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C	B	0.00	41.44	0.00	41.44	90.0	37.30
07/31/2017 0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	0.00	16.86	0.00	16.86	90.0	15.17
07/31/2017 0000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	0.00	11.96	0.00	11.96	90.0	10.76
07/31/2017 0000000008022865	RICCA, MARIA	TEMP DEV MRKTS TR FND - CL A	B	0.00	25.02	0.00	25.02	90.0	22.52
07/31/2017 0000000008954531	INVESTMENTS,	MUTUAL DISC FND - CL A	B	0.00	14.39	0.00	14.39	90.0	12.95
07/31/2017 000003971304233	HEUBISH,	TEMP GLOBAL SM CO FND - CL A	B	0.00	0.71	0.00	0.71	90.0	0.64
07/31/2017 000003971304245	HEUBISH, LAURA	TEMP GLOBAL SM CO FND - CL A	B	0.00	0.71	0.00	0.71	90.0	0.64
07/31/2017 000004978738854	INVESTMENTS,	TEMP FRGN FND - CL A	B	0.00	14.46	0.00	14.46	90.0	13.01
07/31/2017 000010151061192	KOWAL, WALTER	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	10.16	0.00	10.16	90.0	9.14
07/31/2017 000010162712627	JTTEN	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	42.12	0.00	42.12	90.0	37.91
07/31/2017 000010162808557	JTTEN	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	0.55	0.00	0.55	90.0	0.50
07/31/2017 000010165978787	CHAN, MARGIE	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	15.87	0.00	15.87	90.0	14.28
07/31/2017 000010166165101	TREZZA,	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	17.09	0.00	17.09	90.0	15.38
07/31/2017 000010166317332	ROBBINS, JAMES	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	3.82	0.00	3.82	90.0	3.44
07/31/2017 000010166460031	LEICHNER,	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	14.27	0.00	14.27	90.0	12.84
07/31/2017 000010166514456	VALENTI,	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	62.56	0.00	62.56	90.0	56.30
07/31/2017 000010166566645	MAUCELI, PAUL	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	1.85	0.00	1.85	90.0	1.67
07/31/2017 000010169430031	SILVERMAN,	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	8.60	0.00	8.60	90.0	7.74
07/31/2017 000010182629462	TREZZA, JOANN	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	9.33	0.00	9.33	90.0	8.40
07/31/2017 000010182882303	FUSCO, CAROL	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	1.38	0.00	1.38	90.0	1.24
07/31/2017 000010183914378	TEN, JT	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	3.15	0.00	3.15	90.0	2.84
07/31/2017 000011501729106	KOWAL, WALTER	FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	6.84	0.00	6.84	90.0	6.16
07/31/2017 000011502696714	FERRADO,	FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	0.92	0.00	0.92	90.0	0.83
07/31/2017 000011502716492	CHAN, MARGIE	FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	53.84	0.00	53.84	90.0	48.46
07/31/2017 000011515879705	GRIFFIN,	FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	0.31	0.00	0.31	90.0	0.28
07/31/2017 000011516945378	INVESTMENTS,	FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	2.65	0.00	2.65	90.0	2.39
07/31/2017 000015812450173	LOEBER,	FRANKLIN RISING DIVIDENDS FD CLASS	B	0.00	7.24	0.00	7.24	90.0	6.52

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/31/2017	000015813591680	SAVELIS, LAILA	FRANKLIN RISING DIVIDENDS FD CLASS B	0.00	13.26	0.00	13.26	90.0	11.93
07/31/2017	000015815003585	RICCA, MARIA	FRANKLIN RISING DIVIDENDS FD CLASS B	0.00	6.70	0.00	6.70	90.0	6.03
07/31/2017	000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	0.00	10.69	0.00	10.69	9.62
07/31/2017	000019812558017	VERALDI,	FRANKLIN SMALL-MID CAP GROWTH FUND B	0.00	2.64	0.00	2.64	90.0	2.38
07/31/2017	000019818164030	HEUBISH,	FRANKLIN SMALL-MID CAP GROWTH FUND B	0.00	0.69	0.00	0.69	90.0	0.62
07/31/2017	000019818164067	HEUBISH, LAURA	FRANKLIN SMALL-MID CAP GROWTH FUND B	0.00	0.69	0.00	0.69	90.0	0.62
07/31/2017	000090230176100	SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	0.00	6.95	0.00	6.95	6.26
08/14/2017	QGW031783	JACKSON, FRANK	FRANKLIN GROWTH FUND CLASS A	B	0.00	3.89	0.00	3.89	3.50
08/17/2017	QGW031783	JACKSON, FRANK	DREYFUS RESH GROWTH FD INC SHS CL A B	0.00	2.80	0.00	2.80	90.0	2.52
08/16/2017	QGW034035	FAMILY 2012 IRREV	PUTNAM 746745207	B	0.00	20.73	0.00	20.73	18.66
08/16/2017	QGW034035	FAMILY 2012 IRREV	PUTNAM 746802511	B	0.00	10.06	0.00	10.06	9.05
08/21/2017	QGW035503	SIMPSON, DAVID	GLOBAL OPPORTUNITIES A	B	0.00	1.04	0.00	1.04	0.94
08/08/2017	QGW036774	STOCKWELL, GLENN	ALLIANCEBERNSTEIN GROWTH AND INC A B	0.00	3.86	0.00	3.86	90.0	3.47
08/08/2017	QGW036774	STOCKWELL, GLENN	ALLIANCEBERNSTEIN LARGE-CAP GROWTH B	0.00	10.75	0.00	10.75	90.0	9.68
08/17/2017	QGW036774	STOCKWELL, GLENN	DREYFUS RESH GROWTH FD INC SHS CL A B	0.00	9.09	0.00	9.09	90.0	8.18
08/21/2017	QGW036980	MARCOLINI, COREY	ROCHESTER FUND MUNICIPALS A	B	0.00	21.31	0.00	21.31	19.18
08/14/2017	QGW037111	GILMARTIN, MARGARET	FRANKLIN INCOME FUND CLASS A	B	0.00	1.32	0.00	1.32	1.19
08/15/2017	QGW037111	GILMARTIN, MARGARET	CAPITAL INCOME BUILDER-A	B	0.00	2.07	0.00	2.07	1.86
08/15/2017	QGW037111	GILMARTIN, MARGARET	PRINCIPAL PREFERRED SECURITIES FUND B	0.00	1.29	0.00	1.29	90.0	1.16
08/16/2017	QGW037111	GILMARTIN, MARGARET	THE HARTFORD BALANCED INCOME FUND C B	0.00	1.92	0.00	1.92	90.0	1.73
08/17/2017	QGW037111	GILMARTIN, MARGARET	AC ALTERNATIVES DISCIPLINED LONG SH B	0.00	1.58	0.00	1.58	90.0	1.42
08/17/2017	QGW037111	GILMARTIN, MARGARET	AC ALTERNATIVES MARKET NEUTRAL VALU B	0.00	0.86	0.00	0.86	90.0	0.77
08/17/2017	QGW037111	GILMARTIN, MARGARET	AMERICAN CENTURY HERITAGE FUND CLAS B	0.00	1.47	0.00	1.47	90.0	1.32
08/18/2017	QGW037111	GILMARTIN, MARGARET	GABELLI UTILITIES FUND CLASS A	B	0.00	0.93	0.00	0.93	0.84
08/14/2017	QGW037129	GILMARTIN, MARGARET	FRANKLIN INCOME FUND CLASS C	B	0.00	3.18	0.00	3.18	2.86
08/15/2017	QGW037129	GILMARTIN, MARGARET	PRINCIPAL PREFERRED SECURITIES FUND B	0.00	4.58	0.00	4.58	90.0	4.12
08/16/2017	QGW037129	GILMARTIN, MARGARET	THE HARTFORD CAPITAL APPRECIATION F B	0.00	2.41	0.00	2.41	90.0	2.17
08/17/2017	QGW038002	WICKERS, SCOTT	FIDELITY ADVISOR BALANCED CLASS A	B	0.00	1.32	0.00	1.32	1.19
08/17/2017	QGW038002	WICKERS, SCOTT	FIDELITY ADVISOR EQUITY INCOME CL A B	0.00	1.40	0.00	1.40	90.0	1.26
08/25/2017	QGW038002	WICKERS, SCOTT	BLACKROCK HIGH YIELD BOND FUND CLAS B	0.00	0.77	0.00	0.77	90.0	0.69
08/25/2017	QGW038002	WICKERS, SCOTT	BLACKROCK BASIC VALUE FUND INC CLAS B	0.00	1.47	0.00	1.47	90.0	1.32
08/25/2017	QGW038002	WICKERS, SCOTT	BLACKROCK EMERGING MARKETS FUND, IN B	0.00	1.45	0.00	1.45	90.0	1.31
08/25/2017	QGW038002	WICKERS, SCOTT	BLACKROCK CAP APPRECIATION FD INC C B	0.00	1.68	0.00	1.68	90.0	1.51
08/25/2017	QGW038002	WICKERS, SCOTT	BLACKROCK GLOBAL ALLOCATION FUND IN B	0.00	1.31	0.00	1.31	90.0	1.18
08/25/2017	QGW038002	WICKERS, SCOTT	BLACKROCK VALUE OPPORTUNITIES FUND B	0.00	0.63	0.00	0.63	90.0	0.57
08/25/2017	QGW038002	WICKERS, SCOTT	BLACKROCK SMALL CAP GROWTH FUND II B	0.00	0.64	0.00	0.64	90.0	0.58
08/25/2017	QGW038002	WICKERS, SCOTT	BLACKROCK STRATEGIC INCOME OPPORTUN B	0.00	0.63	0.00	0.63	90.0	0.57
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,464.04	\$0.00	\$1,464.04		\$1,317.66
*** BROKER TRANSACTIONS TOTAL ***				\$1,978.56	\$26,561.73	\$3,057.75	\$23,503.98		\$20,856.76

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
08/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-17.00
08/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-17.00
08/31/2017		OTHER: OTHER-TICKETS CHARGES ON MUT		0.00	0.00	0.00	0.00		276.00
08/31/2017		OTHER: OTHER-TELEPHONE		0.00	0.00	0.00	0.00		-65.00
08/31/2017		OTHER: OTHER-RF LAFFERTY COMMISSION		0.00	0.00	0.00	0.00		-8.95
08/31/2017		OTHER: TECHNOLOGY		0.00	0.00	0.00	0.00		-300.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-131.95</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$20,856.76
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-131.95
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-33.20
SIPC Assessment	\$-66.40

Check Amount	\$20,625.21
Year-to-date Earnings	\$45,708.68