

## COMMISSION STATEMENT

February 28, 2018

RICHARD BELZ  
40 Wall Street

New York, NY 10005

BROKER #: 77  
BRANCH: HOME OFFICE

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

01/30/2018	000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763	B	237.50	8.46	0.00	8.46	90.0	7.61
02/06/2018	0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
02/15/2018	0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
01/30/2018	0000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	500.00	4.75	0.00	4.75	90.0	4.28
02/06/2018	0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	362.50	9.64	0.00	9.64	90.0	8.68
02/15/2018	0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	362.50	9.64	0.00	9.64	90.0	8.68
02/06/2018	0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	145.00	3.86	0.00	3.86	90.0	3.47
02/15/2018	0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	145.00	3.86	0.00	3.86	90.0	3.47
01/30/2018	0000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	125.00	5.94	0.00	5.94	90.0	5.35
02/16/2018	0000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	125.00	5.94	0.00	5.94	90.0	5.35
01/30/2018	000015813591680	SAVELIS, LAILA	FRANKLIN RISING DIVIDENDS FD CLASS	B	237.50	8.46	0.00	8.46	90.0	7.61
02/06/2018	000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
02/15/2018	000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
01/30/2018	000090230176100	SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	125.00	4.75	0.00	4.75	90.0	4.28
02/16/2018	000090230176100	SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	125.00	4.75	0.00	4.75	90.0	4.28
02/05/2018	00056956375	FERRADO, MICHAEL	AMCAP FUND-A	B	200.00	8.07	0.00	8.07	90.0	7.26
02/12/2018	00063004460	SCHENK, STEVEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	4.46	0.00	4.46	90.0	4.01
02/05/2018	00064802351	SCHENK, ERIC	THE GROWTH FUND OF AMERICA-A	B	29.00	1.04	0.00	1.04	90.0	0.94
02/05/2018	00064818808	SCHENK, ERIC	AMCAP FUND-A	B	20.00	0.71	0.00	0.71	90.0	0.64
02/06/2018	00075280585	MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	225.00	5.88	0.00	5.88	90.0	5.29
02/06/2018	00075280585	MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	225.00	5.88	0.00	5.88	90.0	5.29
02/15/2018	00084040075	WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME FUN B	B	100.00	4.04	0.00	4.04	90.0	3.64
02/15/2018	00084040075	WILLIAMS, ALYSSA	WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	4.04	0.00	4.04	90.0	3.64
02/15/2018	00088094822	WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C	B	50.00	0.47	0.00	0.47	90.0	0.42
01/22/2018	00453533309	BURNS, KATHLEEN	PUTNAM 746933100	B	150.00	3.92	0.00	3.92	90.0	3.53
01/22/2018	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	B	150.00	3.92	0.00	3.92	90.0	3.53
02/06/2018	00453533309	BURNS, KATHLEEN	PUTNAM 746933100	B	150.00	3.92	0.00	3.92	90.0	3.53
02/06/2018	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	B	150.00	3.92	0.00	3.92	90.0	3.53
02/12/2018	00487862253	PERUGINI, MILDRED	PUTNAM 746745108	B	100.00	2.61	0.00	2.61	90.0	2.35
02/12/2018	00487862253	PERUGINI, MILDRED	PUTNAM 746802800	B	150.00	3.92	0.00	3.92	90.0	3.53
02/12/2018	00487862253	PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS B	B	150.00	3.92	0.00	3.92	90.0	3.53

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/16/2018	00493247534	PLAN	PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0 4.82
01/29/2018	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102	B	25.00	1.19	0.00	1.19	90.0 1.07
01/29/2018	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	25.00	1.19	0.00	1.19	90.0 1.07
02/12/2018	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102	B	25.00	1.19	0.00	1.19	90.0 1.07
02/12/2018	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	25.00	1.19	0.00	1.19	90.0 1.07
01/22/2018	00514953902	STELLATO, BRIAN	PUTNAM 746809102	B	100.00	3.56	0.00	3.56	90.0 3.20
01/22/2018	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	100.00	3.56	0.00	3.56	90.0 3.20
02/06/2018	00514953902	STELLATO, BRIAN	PUTNAM 746809102	B	100.00	3.56	0.00	3.56	90.0 3.20
02/06/2018	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	100.00	3.56	0.00	3.56	90.0 3.20
01/29/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	258.00	6.74	0.00	6.74	90.0 6.07
01/29/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	174.00	4.55	0.00	4.55	90.0 4.10
01/29/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	168.00	4.39	0.00	4.39	90.0 3.95
02/12/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	258.00	6.74	0.00	6.74	90.0 6.07
02/12/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	174.00	4.55	0.00	4.55	90.0 4.10
02/12/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	168.00	4.39	0.00	4.39	90.0 3.95
02/16/2018	00550827572	PLAN	PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0 4.82
01/22/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	25.00	1.19	0.00	1.19	90.0 1.07
01/22/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	50.00	2.37	0.00	2.37	90.0 2.13
01/22/2018	00551218283	C GARRUPPO,	PUTNAM-746764109	B	25.00	1.19	0.00	1.19	90.0 1.07
02/06/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	25.00	1.19	0.00	1.19	90.0 1.07
02/06/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	50.00	2.37	0.00	2.37	90.0 2.13
02/06/2018	00551218283	C GARRUPPO,	PUTNAM-746764109	B	25.00	1.19	0.00	1.19	90.0 1.07
01/29/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	50.00	2.37	0.00	2.37	90.0 2.13
01/29/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	40.00	1.90	0.00	1.90	90.0 1.71
01/29/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	50.00	2.37	0.00	2.37	90.0 2.13
01/29/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	60.00	2.85	0.00	2.85	90.0 2.57
02/12/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	50.00	2.37	0.00	2.37	90.0 2.13
02/12/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	40.00	1.90	0.00	1.90	90.0 1.71
02/12/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	50.00	2.37	0.00	2.37	90.0 2.13
02/12/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	60.00	2.85	0.00	2.85	90.0 2.57
01/22/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.19	0.00	1.19	90.0 1.07
01/22/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	40.00	1.90	0.00	1.90	90.0 1.71
01/22/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.66	0.00	1.66	90.0 1.49
02/06/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.19	0.00	1.19	90.0 1.07
02/06/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	40.00	1.90	0.00	1.90	90.0 1.71
02/06/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.66	0.00	1.66	90.0 1.49
* MUTUAL FUNDS SUBTOTAL *					<u>\$7,799.00</u>	<u>\$241.41</u>	<u>\$0.00</u>	<u>\$241.41</u>	<u>\$217.28</u>

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/02/2018	QGW034415	CHAIMOVITCH, BERNARD	SACHEM CAPITAL CORP COMMON SHARES	B	27,293.24	485.45	11.40	474.05	90.0 425.51
02/16/2018	QGW035214	BIANCO, BARBARA	KRATOS DEFENSE & SEC SOLUTIONS	S	-15,000.00	183.82	11.40	172.42	90.0 154.04
02/22/2018	QGW035214	BIANCO, BARBARA	SACHEM CAPITAL CORP COMMON SHARES	B	22,352.00	432.25	11.40	420.85	90.0 377.63
01/31/2018	QGW035230	SCIARRINO, RUTHELLEN	SACHEM CAPITAL CORP COMMON SHARES	B	4,393.00	120.17	11.40	108.77	90.0 96.75
01/31/2018	QGW035503	SIMPSON, DAVID	SACHEM CAPITAL CORP COMMON SHARES	B	8,977.00	245.57	11.40	234.17	90.0 209.61
01/31/2018	QGW035511	LYDEN, MARY	FAT BRANDS INC COMMON STOCK	S	-3,037.50	78.55	11.40	67.15	90.0 59.30
01/31/2018	QGW035552	KMIECIAK, ZBIGNIEW	PAYPAL HOLDINGS INC COM	B	25,426.53	175.75	11.40	164.35	90.0 146.78
02/16/2018	QGW035644	MONGELUZZI, LINDA	SNAP INC CLASS A COMMON STOCK	S	-16,000.00	196.08	11.40	184.68	90.0 165.07
01/31/2018	QGW035651	YONGE, DAVID	NINTENDO CO LTD-ADR NEW	S	-17,333.55	23.75	11.40	12.35	90.0 9.98
01/31/2018	QGW035651	YONGE, DAVID	SACHEM CAPITAL CORP COMMON SHARES	B	17,483.53	19.00	11.40	7.60	90.0 5.70
01/31/2018	QGW035677	KOLLAR, MAURA	SACHEM CAPITAL CORP COMMON SHARES	B	371.00	6.76	11.40	-4.64	90.0 -5.32
02/07/2018	QGW035719	FAMILY 2011	SNAP INC CLASS A COMMON STOCK	S	-15,320.08	185.25	11.40	173.85	90.0 155.33
02/20/2018	QGW035719	FAMILY 2011	BANK OF AMERICA CORP	S	-36,860.61	251.75	11.40	240.35	90.0 215.18
02/20/2018	QGW035719	FAMILY 2011	MANHATTAN BRDG CAP INC COM	S	-12,200.00	147.25	11.40	135.85	90.0 121.13
02/20/2018	QGW035719	FAMILY 2011	NABORS INDUSTRIES LTD	S	-13,204.80	104.50	11.40	93.10	90.0 82.65
02/20/2018	QGW035719	FAMILY 2011	NINTENDO CO LTD-ADR NEW	S	-54,830.00	280.25	11.40	268.85	90.0 240.83
02/20/2018	QGW035719	FAMILY 2011	STARWOOD PROPERTY TRUST INC	S	-12,570.19	175.75	11.40	164.35	90.0 146.78
02/20/2018	QGW035719	FAMILY 2011	SUNOCO LP COMMON UNITS REPRESENTING	S	-15,178.15	147.25	11.40	135.85	90.0 121.13
02/21/2018	QGW035719	FAMILY 2011	SACHEM CAPITAL CORP COMMON SHARES	S	-17,492.61	233.32	11.40	221.92	90.0 198.59
02/21/2018	QGW035719	FAMILY 2011	VUZIX CORP COM NEW	S	-16,400.00	159.60	11.40	148.20	90.0 132.24
02/22/2018	QGW035719	FAMILY 2011	SACHEM CAPITAL CORP COMMON SHARES	S	-12,629.76	170.43	11.40	159.03	90.0 141.99
01/31/2018	QGW035768	MASSA, PATRICIA	FAT BRANDS INC COMMON STOCK	S	-10,672.20	229.83	11.40	218.43	90.0 195.45
01/31/2018	QGW035768	MASSA, PATRICIA	PAYPAL HOLDINGS INC COM	B	25,821.00	199.50	11.40	188.10	90.0 168.15
01/31/2018	QGW035768	MASSA, PATRICIA	SACHEM CAPITAL CORP COMMON SHARES	B	14,168.49	361.84	11.40	350.44	90.0 314.26
01/31/2018	QGW035776	ZIMMERMAN, FRANCINE	FAT BRANDS INC COMMON STOCK	S	-5,547.94	47.50	11.40	36.10	90.0 31.35
02/05/2018	QGW035776	ZIMMERMAN, FRANCINE	SACHEM CAPITAL CORP COMMON SHARES	B	18,600.00	285.00	11.40	273.60	90.0 245.10
01/31/2018	QGW035834	CHAUDHRY, JAHANZEB	PAYPAL HOLDINGS INC COM	B	25,836.30	204.25	11.40	192.85	90.0 172.43
01/31/2018	QGW035842	SZABO, JOSEPH	FAT BRANDS INC COMMON STOCK	S	-4,061.50	52.25	11.40	40.85	90.0 35.63
02/01/2018	QGW035842	SZABO, JOSEPH	SACHEM CAPITAL CORP COMMON SHARES	B	4,192.50	66.80	11.40	55.40	90.0 48.72
02/05/2018	QGW035842	SZABO, JOSEPH	SACHEM CAPITAL CORP COMMON SHARES	B	7,440.00	228.00	11.40	216.60	90.0 193.80
01/31/2018	QGW035982	PANASUK, JOHN	FAT BRANDS INC COMMON STOCK	S	-12,198.15	249.88	11.40	238.48	90.0 213.49
02/16/2018	QGW035982	PANASUK, JOHN	SNAP INC CLASS A COMMON STOCK	S	-16,000.00	196.08	11.40	184.68	90.0 165.07
01/31/2018	QGW035990	HERDTER, RICHARD	PAYPAL HOLDINGS INC COM	B	25,827.30	204.25	11.40	192.85	90.0 172.43
02/16/2018	QGW036063	PICCININNI,	SNAP INC CLASS A COMMON STOCK	S	-7,750.00	106.76	11.40	95.36	90.0 84.68
01/31/2018	QGW036071	HENNEMANN, DENNIS	PAYPAL HOLDINGS INC COM	B	25,499.07	133.00	11.40	121.60	90.0 108.30
02/16/2018	QGW036089	HENNEMANN, PATRICIA	SNAP INC CLASS A COMMON STOCK	S	-16,000.00	196.08	11.40	184.68	90.0 165.07
01/31/2018	QGW036139	MCCARTHY, MARCIA	SACHEM CAPITAL CORP COMMON SHARES	B	32,470.00	888.25	11.40	876.85	90.0 788.03
01/31/2018	QGW036139	MCCARTHY, MARCIA	SACHEM CAPITAL CORP COMMON SHARES	B	-32,470.00	-888.25	-11.40	-876.85	90.0 -788.03 C
02/07/2018	QGW036311	FAST, KENNETH	SNAP INC CLASS A COMMON STOCK	S	-19,300.10	185.25	11.40	173.85	90.0 155.33
02/08/2018	QGW036311	FAST, KENNETH	TWITTER INC	S	-75,536.16	270.75	11.40	259.35	90.0 232.28

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
01/31/2018	QGW036899	SARLO, JESSICA	FAT BRANDS INC COMMON STOCK	S	-8,123.00	71.25	11.40	59.85	90.0	52.73
01/31/2018	QGW036899	SARLO, JESSICA	SACHEM CAPITAL CORP COMMON SHARES	B	2,412.80	15.20	11.40	3.80	90.0	2.28
02/16/2018	QGW036956	BROCHARD, THOMAS	SNAP INC CLASS A COMMON STOCK	S	-16,000.00	196.08	11.40	184.68	90.0	165.07
01/31/2018	QGW039216	MCCARTHY, MARCIA	SACHEM CAPITAL CORP COMMON SHARES	B	32,470.00	888.25	11.40	876.85	90.0	788.03
* STOCKS SUBTOTAL *					\$-160,682.54	\$8,210.30	\$478.80	\$7,731.50		\$6,910.55

**PRODUCT CATEGORY: OPTIONS**

02/02/2018	QGW031783	JACKSON, FRANK	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-3,300.00	133.00	13.77	119.23	90.0	105.93
02/02/2018	QGW034415	CHAIMOVITCH, BERNARD	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-3,700.00	137.75	16.15	121.60	90.0	107.83
02/02/2018	QGW035172	O'NEILL, RORY	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-2,405.00	94.05	14.49	79.56	90.0	70.16
02/23/2018	QGW035206	SKAHILL, ROBERT	CALL 100 ENERGOUS CORP COM EXP 05-1 S		-840.00	68.40	12.82	55.58	90.0	48.74
02/14/2018	QGW035248	BELZ, ERIC	CALL 100 ENERGOUS CORP COM EXP 02-1 S		-400.00	33.25	13.77	19.48	90.0	16.16
02/02/2018	QGW035255	BROCHARD, MARIA	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-3,800.00	152.00	16.15	135.85	90.0	120.65
02/07/2018	QGW035396	MOYNIHAN, MICHAEL	CALL 100 SNAP INC CL A EXP 04-20-18 S		-1,780.00	94.05	13.77	80.28	90.0	70.88
02/26/2018	QGW035412	AMENITA, PATRICIA	CALL 100 KRATOS DEFENSE & SEC SOLUT S		-1,020.00	94.05	16.15	77.90	90.0	68.50
02/14/2018	QGW035511	LYDEN, MARY	CALL 100 ENERGOUS CORP COM EXP 02-1 S		-600.00	61.75	14.96	46.79	90.0	40.62
02/02/2018	QGW035537	LYDEN, MARY	CALL 100 VUZIX CORP COM NEW EXP 07- S		-3,800.00	152.00	16.15	135.85	90.0	120.65
01/31/2018	QGW035545	KMIECIAK, INES	CALL 100 TWITTER INC COM EXP 06-15- S		-3,360.96	152.00	17.10	134.90	90.0	119.70
01/31/2018	QGW035545	KMIECIAK, INES	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-3,400.00	118.75	13.30	105.45	90.0	93.58
01/31/2018	QGW035545	KMIECIAK, INES	PUT 100 ENERGOUS CORP COM EXP 05-18 S		3,400.00	-118.75	-13.30	-105.45	90.0	-93.58 C
01/31/2018	QGW035545	KMIECIAK, INES	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-3,400.00	118.75	13.30	105.45	90.0	93.58
01/31/2018	QGW035552	KMIECIAK, ZBIGNIEW	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-4,000.00	175.75	13.77	161.98	90.0	144.41
02/01/2018	QGW035552	KMIECIAK, ZBIGNIEW	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-2,000.00	94.05	16.15	77.90	90.0	68.50
02/23/2018	QGW035594	DUSATKO, ANNE	CALL 100 ENERGOUS CORP COM EXP 05-1 S		-2,175.00	94.05	14.96	79.09	90.0	69.69
02/20/2018	QGW035677	KOLLAR, MAURA	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-2,800.00	109.25	13.77	95.48	90.0	84.56
01/31/2018	QGW035685	EICHENHOLZ, SONDR	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-4,000.00	175.75	13.77	161.98	90.0	144.41
01/31/2018	QGW035693	MASSA, WILLIAM	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-4,050.00	185.25	13.77	171.48	90.0	152.96
02/09/2018	QGW035693	MASSA, WILLIAM	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-5,400.00	175.75	14.96	160.79	90.0	143.22
02/01/2018	QGW035701	SZARKA, MICHAEL	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-3,600.00	152.00	16.15	135.85	90.0	120.65
02/14/2018	QGW035701	SZARKA, MICHAEL	CALL 100 ENERGOUS CORP COM EXP 02-1 S		-450.00	40.85	13.77	27.08	90.0	23.00
02/26/2018	QGW035701	SZARKA, MICHAEL	CALL 100 ENERGOUS CORP COM EXP 05-1 S		-1,900.00	94.05	13.77	80.28	90.0	70.88
02/21/2018	QGW035719	FAMILY 2011	CALL 100 VUZIX CORP COM NEW EXP 04- B		2,700.00	94.05	16.15	77.90	90.0	68.50
02/14/2018	QGW035735	STELLATO, MICHAEL	CALL 100 ENERGOUS CORP COM EXP 02-1 S		-350.00	33.25	13.77	19.48	90.0	16.16
02/05/2018	QGW035776	ZIMMERMAN, FRANCINE	PUT 100 VUZIX CORP COM NEW EXP 10-1 S		-5,000.00	175.75	16.15	159.60	90.0	142.03
02/02/2018	QGW035800	SHEEHAN, MARY	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-3,700.00	152.00	16.15	135.85	90.0	120.65
02/07/2018	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 SNAP INC CL A EXP 04-20-18 S		-2,180.00	94.05	13.77	80.28	90.0	70.88
02/14/2018	QGW035859	SZABO, JOSEPH	CALL 100 ENERGOUS CORP COM EXP 02-1 S		-400.00	33.25	13.77	19.48	90.0	16.16
02/02/2018	QGW035883	BUDKEVICS, YANA	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-1,675.00	94.05	12.59	81.46	90.0	72.06
02/02/2018	QGW035883	BUDKEVICS, YANA	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-2,200.00	104.50	16.15	88.35	90.0	77.90
02/02/2018	QGW035982	PANASUK, JOHN	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-2,300.00	94.05	16.15	77.90	90.0	68.50

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
02/06/2018	QGW035982	PANASUK, JOHN	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-2,600.00	118.75	16.15	102.60	90.0	90.73
02/14/2018	QGW035982	PANASUK, JOHN	CALL 100 ENERGOUS CORP COM EXP 02-1 S	-800.00	57.00	16.15	40.85	90.0	35.15
01/31/2018	QGW035990	HERDTER, RICHARD	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-4,250.00	185.25	13.77	171.48	90.0	152.96
02/01/2018	QGW036030	BALLO, CATHERINE	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-5,400.00	180.50	14.96	165.54	90.0	147.49
02/14/2018	QGW036030	BALLO, CATHERINE	CALL 100 ENERGOUS CORP COM EXP 02-1 S	-600.00	52.25	14.96	37.29	90.0	32.07
01/31/2018	QGW036048	FITZPATRICK, BARRY	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-4,000.00	185.25	13.77	171.48	90.0	152.96
02/05/2018	QGW036048	FITZPATRICK, BARRY	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-3,900.00	152.00	16.15	135.85	90.0	120.65
02/07/2018	QGW036063	PICCININNI,	CALL 100 KRATOS DEFENSE & SEC SOLUT S	-2,100.00	94.05	16.15	77.90	90.0	68.50
02/15/2018	QGW036063	PICCININNI,	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-1,125.00	94.05	14.96	79.09	90.0	69.69
02/01/2018	QGW036071	HENNMANN, DENNIS	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-2,000.00	94.05	16.15	77.90	90.0	68.50
02/01/2018	QGW036071	HENNMANN, DENNIS	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	2,000.00	-94.05	-16.15	-77.90	90.0	-68.50 C
02/01/2018	QGW036089	HENNMANN, PATRICIA	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-2,000.00	94.05	16.15	77.90	90.0	68.50
01/31/2018	QGW036105	ARDOLINO, SUSAN	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-2,700.00	109.25	13.77	95.48	90.0	84.56
02/02/2018	QGW036105	ARDOLINO, SUSAN	CALL 100 VUZIX CORP COM NEW EXP 07- S	-3,800.00	152.00	16.15	135.85	90.0	120.65
02/02/2018	QGW036105	ARDOLINO, SUSAN	CALL 100 VUZIX CORP COM NEW EXP 07- S	3,800.00	-152.00	-16.15	-135.85	90.0	-120.65 C
01/31/2018	QGW036121	CZACHOR, MICHAEL	CALL 100 NABORS INDS LTD SHS ISIN#B S	-400.00	36.10	13.77	22.33	90.0	18.72
01/31/2018	QGW036121	CZACHOR, MICHAEL	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-2,400.00	80.75	12.82	67.93	90.0	59.86
02/02/2018	QGW036154	CALLAHAN, PATRICK	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-3,800.00	152.00	16.15	135.85	90.0	120.65
02/08/2018	QGW036311	FAST, KENNETH	CALL 100 SNAP INC CL A EXP 04-20-18 B	4,330.00	38.00	13.77	24.23	90.0	20.43
01/31/2018	QGW036329	WOLF, KIM	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-1,975.00	94.05	12.59	81.46	90.0	72.06
01/31/2018	QGW036394	LIPANI, CHRISTINE	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-4,050.00	175.75	13.77	161.98	90.0	144.41
01/31/2018	QGW036956	BROCHARD, THOMAS	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-2,000.00	94.05	12.59	81.46	90.0	72.06
02/07/2018	QGW036956	BROCHARD, THOMAS	CALL 100 SNAP INC CL A EXP 04-20-18 S	-2,100.00	94.05	13.77	80.28	90.0	70.88
02/07/2018	QGW036956	BROCHARD, THOMAS	CALL 100 SNAP INC CL A EXP 04-20-18 S	2,100.00	-94.05	-13.77	-80.28	90.0	-70.88 C
02/02/2018	QGW037103	BURNS, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-3,800.00	152.00	16.15	135.85	90.0	120.65
02/06/2018	QGW037103	BURNS, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-2,000.00	94.05	13.77	80.28	90.0	70.88
02/14/2018	QGW037103	BURNS, KATHLEEN	CALL 100 ENERGOUS CORP COM EXP 02-1 S	-350.00	28.50	13.77	14.73	90.0	11.88
02/01/2018	QGW039117	CUPAILOLO, JOSEPH	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-1,995.00	96.90	15.91	80.99	90.0	71.30
<b>* OPTIONS SUBTOTAL *</b>				<b>\$-121,800.96</b>	<b>\$5,827.30</b>	<b>\$780.07</b>	<b>\$5,047.23</b>		<b>\$4,464.68</b>
<b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b>									
02/28/2018	VARIOUS, BELZ RICHARD	ADVISOR GUIDED	B	0.00	13.76	0.00	13.76	90.0	12.38
02/28/2018	VARIOUS, BELZ RICHARD	GWG	B	0.00	15,162.00	0.00	15,162.00	90.0	13,645.80
02/28/2018	VARIOUS, BELZ RICHARD	GWG	B	0.00	7,125.00	0.00	7,125.00	90.0	6,412.50
02/28/2018	VARIOUS, BELZ RICHARD	HANCOCK	B	0.00	1.23	0.00	1.23	90.0	1.11
02/28/2018	VARIOUS, BELZ RICHARD	OPPENHEIMER	B	0.00	1,247.56	0.00	1,247.56	90.0	1,122.80
02/28/2018	VARIOUS, BELZ RICHARD	OPPENHEIMER	B	0.00	974.41	0.00	974.41	90.0	876.97
<b>* MISCELLANEOUS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$24,523.96</b>	<b>\$0.00</b>	<b>\$24,523.96</b>		<b>\$22,071.56</b>

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/31/2018	0000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS	353533763 B	0.00	14.78	0.00	14.78	90.0	13.30
01/31/2018	0000000000258208	HEUBISH, LAURA FRK TEMP COREFOLIO ALLOC FND CL - C	B	0.00	18.59	0.00	18.59	90.0	16.73
01/31/2018	0000000000277071	RICCA, MARIA FRANKLIN/TEMPLETON FUNDS	88019R732 B	0.00	6.94	0.00	6.94	90.0	6.25
01/31/2018	0000000000361788	INVESTMENTS, FRANKLIN/TEMPLETON FUNDS	88019R732 B	0.00	12.58	0.00	12.58	90.0	11.32
01/31/2018	0000000000426914	T/O/D FRANKLIN NEW YORK TAX FREE CLASS C	B	0.00	5.79	0.00	5.79	90.0	5.21
01/31/2018	0000000000888774	COLEMAN, FRK AGGRESSIVE GRWTH - CL A	B	0.00	15.29	0.00	15.29	90.0	13.76
01/31/2018	0000000002077360	LOEBER, MUTUAL DISC FND - CL A	B	0.00	7.90	0.00	7.90	90.0	7.11
01/31/2018	0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	422.41	0.00	422.41	90.0	380.17
01/31/2018	0000000003359989	HEUBISH, FRK TEMP FOUNDING FUNDS ALLOC FND C	B	0.00	16.53	0.00	16.53	90.0	14.88
01/31/2018	0000000004328098	COLEMAN, FRK TEMP FOUNDING FUNDS ALLOC FND C	B	0.00	43.78	0.00	43.78	90.0	39.40
01/31/2018	0000000004468094	COLEMAN, MUTUAL DISC FND - CL A	B	0.00	17.54	0.00	17.54	90.0	15.79
01/31/2018	0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A	B	0.00	12.27	0.00	12.27	90.0	11.04
01/31/2018	0000000008022865	RICCA, MARIA TEMP DEV MRKTS TR FND - CL A	B	0.00	27.45	0.00	27.45	90.0	24.71
01/31/2018	0000000008954531	INVESTMENTS, MUTUAL DISC FND - CL A	B	0.00	15.38	0.00	15.38	90.0	13.84
01/31/2018	000003971304233	HEUBISH, TEMP GLOBAL SM CO FND - CL A	B	0.00	0.76	0.00	0.76	90.0	0.68
01/31/2018	000003971304245	HEUBISH, LAURA TEMP GLOBAL SM CO FND - CL A	B	0.00	0.76	0.00	0.76	90.0	0.68
01/31/2018	000004978738854	INVESTMENTS, TEMP FRGN FND - CL A	B	0.00	15.73	0.00	15.73	90.0	14.16
01/31/2018	000010151061192	KOWAL, WALTER FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	9.68	0.00	9.68	90.0	8.71
01/31/2018	000010162712627	JTTEN FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	39.41	0.00	39.41	90.0	35.47
01/31/2018	000010162808557	JTTEN FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	0.89	0.00	0.89	90.0	0.80
01/31/2018	000010165978787	CHAN, MARGIE FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	14.62	0.00	14.62	90.0	13.16
01/31/2018	000010166165101	TREZZA, FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	15.72	0.00	15.72	90.0	14.15
01/31/2018	000010166317332	ROBBINS, JAMES FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	3.38	0.00	3.38	90.0	3.04
01/31/2018	000010166460031	LEICHNER, FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	13.27	0.00	13.27	90.0	11.94
01/31/2018	000010166514456	VALENTI, FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	57.72	0.00	57.72	90.0	51.95
01/31/2018	000010169430031	SILVERMAN, FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	8.16	0.00	8.16	90.0	7.34
01/31/2018	000010182629462	TREZZA, JOANN FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	8.86	0.00	8.86	90.0	7.97
01/31/2018	000010182882303	FUSCO, CAROL FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	1.31	0.00	1.31	90.0	1.18
01/31/2018	000010183914378	TEN, JT FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	2.99	0.00	2.99	90.0	2.69
01/31/2018	000011501729106	KOWAL, WALTER FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	6.56	0.00	6.56	90.0	5.90
01/31/2018	000011502696714	FERRADO, FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	0.88	0.00	0.88	90.0	0.79
01/31/2018	000011502716492	CHAN, MARGIE FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	51.82	0.00	51.82	90.0	46.64
01/31/2018	000011515879705	GRIFFIN, FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	0.29	0.00	0.29	90.0	0.26
01/31/2018	000011516945378	INVESTMENTS, FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	1.50	0.00	1.50	90.0	1.35
01/31/2018	000015812450173	LOEBER, FRANKLIN RISING DIVIDENDS FD CLASS	B	0.00	7.67	0.00	7.67	90.0	6.90
01/31/2018	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS	B	0.00	15.24	0.00	15.24	90.0	13.72
01/31/2018	000015815003585	RICCA, MARIA FRANKLIN RISING DIVIDENDS FD CLASS	B	0.00	7.10	0.00	7.10	90.0	6.39
01/31/2018	000019411906629	COLEMAN, FRK STRAT INC FND - CL A	B	0.00	11.07	0.00	11.07	90.0	9.96
01/31/2018	000019812558017	VERALDI, FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	2.77	0.00	2.77	90.0	2.49
01/31/2018	000019818164030	HEUBISH, FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	0.73	0.00	0.73	90.0	0.66

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
01/31/2018	000019818164067	HEUBISH, LAURA FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	0.73	0.00	0.73	90.0	0.66
01/31/2018	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	0.00	7.22	0.00	7.22	90.0	6.50
02/22/2018	QGW031783	JACKSON, FRANK FRANKLIN GROWTH FUND CLASS A	B	0.00	4.26	0.00	4.26	90.0	3.83
02/21/2018	QGW034035	FAMILY 2012 IRREV PUTNAM 746745207	B	0.00	22.49	0.00	22.49	90.0	20.24
02/21/2018	QGW034035	FAMILY 2012 IRREV PUTNAM 746802511	B	0.00	10.39	0.00	10.39	90.0	9.35
02/22/2018	QGW035503	SIMPSON, DAVID GLOBAL OPPORTUNITIES A	B	0.00	1.26	0.00	1.26	90.0	1.13
02/12/2018	QGW036774	STOCKWELL, GLENN ALLIANCEBERNSTEIN GROWTH AND INC A	B	0.00	4.20	0.00	4.20	90.0	3.78
02/12/2018	QGW036774	STOCKWELL, GLENN ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	11.97	0.00	11.97	90.0	10.77
02/15/2018	QGW036774	STOCKWELL, GLENN DREYFUS RESH GROWTH FD INC SHS CL A	B	0.00	9.90	0.00	9.90	90.0	8.91
02/22/2018	QGW036980	MARCOLINI, COREY ROCHESTER FUND MUNICIPALS A	B	0.00	19.37	0.00	19.37	90.0	17.43
02/20/2018	QGW037129	GILMARTIN, MARGARET THE HARTFORD CAPITAL APPRECIATION F	B	0.00	5.46	0.00	5.46	90.0	4.91
02/20/2018	QGW038002	WICKERS, SCOTT FIDELITY ADVISOR BALANCED CLASS A	B	0.00	2.82	0.00	2.82	90.0	2.54
02/20/2018	QGW038002	WICKERS, SCOTT FIDELITY ADVISOR EQUITY INCOME CL A	B	0.00	3.04	0.00	3.04	90.0	2.74
02/22/2018	QGW038002	WICKERS, SCOTT BLACKROCK HIGH YIELD BOND FUND CLAS	B	0.00	1.66	0.00	1.66	90.0	1.49
02/22/2018	QGW038002	WICKERS, SCOTT BLACKROCK BASIC VALUE FUND INC CLAS	B	0.00	3.46	0.00	3.46	90.0	3.11
02/22/2018	QGW038002	WICKERS, SCOTT BLACKROCK EMERGING MARKETS FUND, IN	B	0.00	3.59	0.00	3.59	90.0	3.23
02/22/2018	QGW038002	WICKERS, SCOTT BLACKROCK CAP APPRECIATION FD INC C	B	0.00	4.06	0.00	4.06	90.0	3.65
02/22/2018	QGW038002	WICKERS, SCOTT BLACKROCK GLOBAL ALLOCATION FUND IN	B	0.00	2.94	0.00	2.94	90.0	2.65
02/22/2018	QGW038002	WICKERS, SCOTT BLACKROCK VALUE OPPORTUNITIES FUND	B	0.00	1.46	0.00	1.46	90.0	1.31
02/22/2018	QGW038002	WICKERS, SCOTT BLACKROCK SMALL CAP GROWTH FUND II	B	0.00	1.49	0.00	1.49	90.0	1.34
02/22/2018	QGW038002	WICKERS, SCOTT BLACKROCK STRATEGIC INCOME OPPORTUN	B	0.00	1.36	0.00	1.36	90.0	1.22
02/22/2018	QGW038556	LAPINTA, LEONARD GLOBAL FUND A	B	0.00	5.68	0.00	5.68	90.0	5.11
02/22/2018	QGW038556	LAPINTA, LEONARD OPPENHEIMER DEVELOPING MARKETS CLAS	B	0.00	6.96	0.00	6.96	90.0	6.26
02/22/2018	QGW038556	LAPINTA, LEONARD QUEST VALUE A	B	0.00	7.69	0.00	7.69	90.0	6.92
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$1,079.58</b>	<b>\$0.00</b>	<b>\$1,079.58</b>		<b>\$971.57</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$-274,684.50</b>	<b>\$39,882.55</b>	<b>\$1,258.87</b>	<b>\$38,623.68</b>		<b>\$34,635.64</b>
<b><u>ADJUSTMENTS</u></b>									
02/28/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
02/28/2018		OTHER: OTHER		0.00	0.00	0.00	0.00		-150.00
02/28/2018		OTHER: OTHER-TELEPHONE		0.00	0.00	0.00	0.00		-61.75
02/28/2018		OTHER: OTHER-ERROR SNAP		0.00	0.00	0.00	0.00		-406.88
<b>*** ADJUSTMENTS TOTAL ***</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-638.63</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$34,635.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-638.63
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-19.20
SIPC Assessment	\$-38.40

<b>Check Amount</b>	<b>\$33,939.41</b>
<b>Year-to-date Earnings</b>	<b>\$76,554.75</b>