

**COMMISSION STATEMENT****December 15, 2017****G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PFLE/KIE**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

BROKER #: G3G

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^12/01/2017	VOGLIO, ANNAMARIE	GENERAL ELECTRIC CO COM	448.00	25.00	22.50	2.50	100.0	0.00
^12/01/2017	VOGLIO, ANNAMARIE	ISHARES TR NORTH AMERN TECH ETF	1,002.96	25.00	22.50	2.50	100.0	0.00
* STOCKS SUBTOTAL *			\$1,450.96	\$50.00	\$45.00	\$5.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$1,450.96	\$50.00	\$45.00	\$5.00		\$0.00

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^11/20/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.24	0.00	0.24	100.0	0.00
^11/16/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.62	0.00	0.62	100.0	0.00
^11/16/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.03	0.00	1.03	100.0	0.00
^11/22/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.93	0.00	0.93	100.0	0.00
^11/22/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.70	0.00	1.70	100.0	0.00
^11/22/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.74	0.00	0.74	100.0	0.00
^11/22/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.72	0.00	0.72	100.0	0.00
^11/22/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.43	0.00	1.43	100.0	0.00
^11/22/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	8.95	0.00	8.95	100.0	0.00
^11/22/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	7.94	0.00	7.94	100.0	0.00
^11/22/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.81	0.00	0.81	100.0	0.00
^11/22/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.86	0.00	0.86	100.0	0.00
^11/22/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.61	0.00	0.61	100.0	0.00
^11/20/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.46	0.00	0.46	100.0	0.00
^11/10/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.03	0.00	1.03	100.0	0.00
^11/10/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.71	0.00	4.71	100.0	0.00
^11/22/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.17	0.00	2.17	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$34.95	\$0.00	\$34.95		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$34.95	\$0.00	\$34.95		\$0.00

<u>ADJUSTMENTS</u>								
12/15/2017		*PERSHING: MARKUP TICKET CHARGES 2	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.04
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.04
Year-to-date Gross	\$57.00
Year-to-date Earnings	\$57.00

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 ** - Indicates adjustment is not included in Totals or Commissions
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