

**COMMISSION STATEMENT****July 15, 2018**

MATT, B116 BRANSTETTER
 PO Box 250
 111 S. Main Street
 Noble, OK 73068

BROKER #: B116
BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
06/28/2018	STANFORD, DAVID	CHESAPEAKE ENERGY CORP	-10,100.00	49.00	80.0	39.20	25.00	14.20
06/29/2018	STANFORD, DAVID	DIREXION SHS ETF TR DIREXION DAILY	10,140.00	49.00	80.0	39.20	22.60	16.60
* STOCKS SUBTOTAL *			\$40.00	\$98.00		\$78.40	\$47.60	\$30.80
*** CLEARING TRANSACTIONS TOTAL ***			\$40.00	\$98.00		\$78.40	\$47.60	\$30.80

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/25/2018	EVERETT, BRIAN	AM FUNDS AMERICAN BALANCED C	50.00	0.50	80.0	0.40	0.00	0.40
07/05/2018	FOX, JERALDINE	AM FUNDS CAPITAL INCOME BUILDER C	120.00	1.20	80.0	0.96	0.00	0.96
07/02/2018	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	80.0	4.00	0.00	4.00
07/03/2018	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	300.00	12.75	80.0	10.20	0.00	10.20
06/18/2018	CASTLE, DAVID	PUTNAM EQUITY SPECTRUM A	100.00	5.00	80.0	4.00	0.00	4.00
06/20/2018	MYERS, AMANDA	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
06/20/2018	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	50.00	0.50	80.0	0.40	0.00	0.40
06/25/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
06/25/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
06/25/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
06/25/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
06/25/2018	DOCTORMAN, EDGE	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
06/25/2018	DOCTORMAN, AIMES	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
06/18/2018	DOWNING, JOHN	PUTNAM MULTI-CAP GROWTH M	75.00	2.25	80.0	1.80	0.00	1.80
06/18/2018	POORE, NANCY	PUTNAM SMALL CAP GROWTH-C	40.00	0.40	80.0	0.32	0.00	0.32
06/25/2018	MORIELS, FELICIA	PUTNAM U.S. GOVT INCOME TR-M	100.00	3.00	80.0	2.40	0.00	2.40
06/20/2018	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	250.00	0.20	80.0	0.16	0.00	0.16
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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DIRECT TRANSACTIONSPRODUCT CATEGORY: VARIABLE ANNUITIES

* MUTUAL FUNDS SUBTOTAL *	\$1,435.00	\$33.30	\$26.64	\$0.00	\$26.64
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PRODUCT CATEGORY: VARIABLE ANNUITIES

06/20/2018	ARRINGTON, NANCY	JNL ELITE ACCESS	200.00	10.50	80.0	8.40	0.00	8.40
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* VARIABLE ANNUITIES SUBTOTAL *	\$200.00	\$10.50	\$8.40	\$0.00	\$8.40
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PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/15/2018	SWENSON, ANNE	AM FUNDS AMCAP A	0.00	1.29	80.0	1.03	0.00	1.03
06/15/2018	KRAUS, AMANDA	AM FUNDS AMERICAN BALANCED C	0.00	8.00	80.0	6.40	0.00	6.40
06/15/2018	PAUL, MARY	AM FUNDS AMERICAN MUTUAL A	0.00	1.76	80.0	1.41	0.00	1.41
06/15/2018	PAUL, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.83	80.0	1.46	0.00	1.46
06/15/2018	SWENSON, ANNE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.67	80.0	1.34	0.00	1.34
06/15/2018	PAUL, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.54	80.0	1.23	0.00	1.23
06/15/2018	REEVES, KATHLEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.00	80.0	0.80	0.00	0.80
06/15/2018	PAUL, MARY	AM FUNDS NEW ECONOMY A	0.00	1.26	80.0	1.01	0.00	1.01
06/15/2018	REEVES, KATHLEEN	AM FUNDS NEW ECONOMY A	0.00	3.71	80.0	2.97	0.00	2.97
06/15/2018	KRAUS, AMANDA	AM FUNDS NEW ECONOMY C	0.00	8.94	80.0	7.15	0.00	7.15
06/15/2018	SWENSON, WALTER	AM FUNDS NEW PERSPECTIVE A	0.00	1.24	80.0	0.99	0.00	0.99
06/15/2018	LAYFIELD-ALBRITTON, KIMBERLY	AM FUNDS NEW PERSPECTIVE A	0.00	1.51	80.0	1.21	0.00	1.21
06/18/2018	LAYFIELD-ALBRITTON, KIMBERLY	FRANKLIN SMALL CAP GROWTH C	0.00	1.22	80.0	0.98	0.00	0.98
/ /	WHITETREE, THOMAS	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	CASTLE, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.16	80.0	0.13	0.00	0.13
/ /	LIVELY, ELIZABETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	LIVELY, DUSTIN	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	HUNTER, TIMOTHY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	PUCKETT, CHERI	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	HARRALD, DONALD	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	SALLEE, MARY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.23	80.0	0.18	0.00	0.18
/ /	BACON, RICHARD	GUGGENHEIM GOV'T LONG BOND 1.2X STG	0.00	0.28	80.0	0.22	0.00	0.22
/ /	WHITETREE, THOMAS	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	CASTLE, DAVID	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	LIVELY, ELIZABETH	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.09	80.0	0.07	0.00	0.07

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	LIVELY, DUSTIN	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HUNTER, TIMOTHY	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	PUCKETT, CHERI	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	HARRALD, DONALD	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	SALLEE, MARY	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.16	80.0	0.13	0.00	0.13
06/18/2018	KRAUS, TIM	OPPENHEIMER DEVELOPING MARKETS C	0.00	12.06	80.0	9.65	0.00	9.65
06/13/2018	STANFORD, DAVID	POWER DIVIDEND INDEX FUND CLASS C	0.00	7.61	80.0	6.09	0.00	6.09
06/13/2018	STANFORD, DAVID	POWER DIVIDEND INDEX FUND CLASS C	0.00	0.29	80.0	0.23	0.00	0.23
06/13/2018	REEVES, KATHLEEN	POWER DIVIDEND INDEX FUND CLASS C	0.00	9.55	80.0	7.64	0.00	7.64
06/13/2018	REEVES, KATHLEEN	POWER DIVIDEND INDEX FUND CLASS C	0.00	0.62	80.0	0.50	0.00	0.50
06/21/2018	STANFORD, DAVID	POWER DIVIDEND INDEX FUND CLASS C	0.00	-0.29	80.0	-0.23	0.00	-0.23
06/21/2018	REEVES, KATHLEEN	POWER DIVIDEND INDEX FUND CLASS C	0.00	-0.62	80.0	-0.50	0.00	-0.50
07/02/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 100-A	0.00	0.48	80.0	0.38	0.00	0.38
07/02/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 300-A	0.00	0.49	80.0	0.39	0.00	0.39
07/02/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 500-A	0.00	0.19	80.0	0.15	0.00	0.15
07/02/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 700-A	0.00	1.11	80.0	0.89	0.00	0.89
07/02/2018	MORIELS, FELICIA	PUTNAM AMERICAN GOVT INCOME-M	0.00	0.09	80.0	0.07	0.00	0.07
07/02/2018	ALLGIRE, ERNESTINE	PUTNAM EQUITY INCOME A	0.00	1.87	80.0	1.50	0.00	1.50
07/02/2018	ALLGIRE, ERNESTINE	PUTNAM EQUITY SPECTRUM A	0.00	2.27	80.0	1.82	0.00	1.82
07/02/2018	DOWDY, LINDA	PUTNAM EQUITY SPECTRUM A	0.00	0.03	80.0	0.02	0.00	0.02
07/02/2018	MOORE, TONI	PUTNAM EQUITY SPECTRUM A	0.00	2.84	80.0	2.27	0.00	2.27
07/02/2018	PLAN	PUTNAM EQUITY SPECTRUM A	0.00	3.40	80.0	2.72	0.00	2.72
07/02/2018	CASTLE, DAVID	PUTNAM EQUITY SPECTRUM A	0.00	0.26	80.0	0.21	0.00	0.21
07/02/2018	BACON-HILL, MICHELE	PUTNAM EUROPE EQUITY A	0.00	1.14	80.0	0.91	0.00	0.91
07/02/2018	BACON-HILL, MICHELE	PUTNAM GLOBAL CONSUMER A	0.00	1.56	80.0	1.25	0.00	1.25
07/02/2018	ALLGIRE, ERNESTINE	PUTNAM GLOBAL CONSUMER A	0.00	1.79	80.0	1.43	0.00	1.43
07/02/2018	FAIRLESS, BENJAMIN	PUTNAM GLOBAL CONSUMER A	0.00	2.10	80.0	1.68	0.00	1.68
07/02/2018	YOSS, JAY	PUTNAM GLOBAL CONSUMER A	0.00	1.39	80.0	1.11	0.00	1.11
07/02/2018	HIPP, DEBRA	PUTNAM GLOBAL CONSUMER A	0.00	0.65	80.0	0.52	0.00	0.52
07/02/2018	HIPP, RONALD	PUTNAM GLOBAL CONSUMER A	0.00	0.73	80.0	0.58	0.00	0.58
07/02/2018	DOWDY, JIMMIE	PUTNAM GLOBAL CONSUMER A	0.00	0.56	80.0	0.45	0.00	0.45
07/02/2018	MOORE, TONI	PUTNAM GLOBAL CONSUMER A	0.00	2.99	80.0	2.39	0.00	2.39
07/02/2018	CASTLE, DAVID	PUTNAM GLOBAL CONSUMER A	0.00	0.56	80.0	0.45	0.00	0.45

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	HOUSE, CONNIE	PUTNAM GLOBAL CONSUMER A	0.00	0.83	80.0	0.66	0.00	0.66
07/02/2018	GREGORY, ERIC	PUTNAM GLOBAL CONSUMER A	0.00	0.37	80.0	0.30	0.00	0.30
07/02/2018	GREGORY, ERIC	PUTNAM GLOBAL CONSUMER B	0.00	0.28	80.0	0.22	0.00	0.22
07/02/2018	DOWDY, JIMMIE	PUTNAM GLOBAL CONSUMER C	0.00	0.33	80.0	0.26	0.00	0.26
07/02/2018	DOWNING, JOHN	PUTNAM GLOBAL CONSUMER M	0.00	2.60	80.0	2.08	0.00	2.08
07/02/2018	WILLIAMS, JESSIE	PUTNAM GLOBAL INDUSTRIALS-A	0.00	2.36	80.0	1.89	0.00	1.89
07/02/2018	PLAN	PUTNAM GLOBAL INDUSTRIALS-A	0.00	0.29	80.0	0.23	0.00	0.23
07/02/2018	ALLGIRE, ERNESTINE	PUTNAM GLOBAL INDUSTRIALS-A	0.00	4.73	80.0	3.78	0.00	3.78
07/02/2018	PLAN	PUTNAM GLOBAL INDUSTRIALS-A	0.00	0.59	80.0	0.47	0.00	0.47
07/02/2018	FAIRLESS, BENJAMIN	PUTNAM GLOBAL INDUSTRIALS-A	0.00	2.55	80.0	2.04	0.00	2.04
07/02/2018	YOSS, JAY	PUTNAM GLOBAL INDUSTRIALS-A	0.00	1.82	80.0	1.46	0.00	1.46
07/02/2018	HIPP, DEBRA	PUTNAM GLOBAL INDUSTRIALS-A	0.00	0.60	80.0	0.48	0.00	0.48
07/02/2018	HIPP, RONALD	PUTNAM GLOBAL INDUSTRIALS-A	0.00	1.08	80.0	0.86	0.00	0.86
07/02/2018	DOWDY, JIMMIE	PUTNAM GLOBAL INDUSTRIALS-A	0.00	0.50	80.0	0.40	0.00	0.40
07/02/2018	GREGORY, MANDY	PUTNAM GLOBAL INDUSTRIALS-A	0.00	0.91	80.0	0.73	0.00	0.73
07/02/2018	MOORE, TONI	PUTNAM GLOBAL INDUSTRIALS-A	0.00	2.95	80.0	2.36	0.00	2.36
07/02/2018	PLAN	PUTNAM GLOBAL INDUSTRIALS-A	0.00	7.10	80.0	5.68	0.00	5.68
07/02/2018	CASTLE, DAVID	PUTNAM GLOBAL INDUSTRIALS-A	0.00	0.10	80.0	0.08	0.00	0.08
07/02/2018	HOUSE, CONNIE	PUTNAM GLOBAL INDUSTRIALS-A	0.00	1.10	80.0	0.88	0.00	0.88
07/02/2018	HIPP, DEBRA	PUTNAM GLOBAL INDUSTRIALS-B	0.00	0.47	80.0	0.38	0.00	0.38
07/02/2018	CASTLE, DAVID	PUTNAM GLOBAL INDUSTRIALS-B	0.00	0.85	80.0	0.68	0.00	0.68
07/02/2018	DOWDY, JIMMIE	PUTNAM GLOBAL INDUSTRIALS-C	0.00	1.31	80.0	1.05	0.00	1.05
07/02/2018	DOWNING, JOHN	PUTNAM GLOBAL INDUSTRIALS-M	0.00	3.86	80.0	3.09	0.00	3.09
07/02/2018	BACON-HILL, MICHELE	PUTNAM GLOBAL NAT RESOURCES-A	0.00	0.51	80.0	0.41	0.00	0.41
07/02/2018	ALLGIRE, ERNESTINE	PUTNAM GLOBAL TECH A	0.00	3.99	80.0	3.19	0.00	3.19
07/02/2018	WILLIAMS, JESSIE	PUTNAM GLOBAL TECH A	0.00	3.09	80.0	2.47	0.00	2.47
07/02/2018	PLAN	PUTNAM GLOBAL TECH A	0.00	0.26	80.0	0.21	0.00	0.21
07/02/2018	ALLGIRE, ERNESTINE	PUTNAM GLOBAL TECH A	0.00	6.30	80.0	5.04	0.00	5.04
07/02/2018	PLAN	PUTNAM GLOBAL TECH A	0.00	1.04	80.0	0.83	0.00	0.83
07/02/2018	FAIRLESS, BENJAMIN	PUTNAM GLOBAL TECH A	0.00	3.20	80.0	2.56	0.00	2.56
07/02/2018	YOSS, JAY	PUTNAM GLOBAL TECH A	0.00	1.98	80.0	1.58	0.00	1.58
07/02/2018	HIPP, DEBRA	PUTNAM GLOBAL TECH A	0.00	1.51	80.0	1.21	0.00	1.21
07/02/2018	HIPP, RONALD	PUTNAM GLOBAL TECH A	0.00	0.90	80.0	0.72	0.00	0.72

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	DOWDY, JIMMIE	PUTNAM GLOBAL TECH A	0.00	1.65	80.0	1.32	0.00	1.32
07/02/2018	DOWNING, JOHN	PUTNAM GLOBAL TECH A	0.00	1.79	80.0	1.43	0.00	1.43
07/02/2018	MOORE, TONI	PUTNAM GLOBAL TECH A	0.00	6.14	80.0	4.91	0.00	4.91
07/02/2018	MICHENER, DAVID	PUTNAM GLOBAL TECH A	0.00	3.29	80.0	2.63	0.00	2.63
07/02/2018	PLAN	PUTNAM GLOBAL TECH A	0.00	7.93	80.0	6.34	0.00	6.34
07/02/2018	HOUSE, CONNIE	PUTNAM GLOBAL TECH A	0.00	1.49	80.0	1.19	0.00	1.19
07/02/2018	CANFIELD, LORI	PUTNAM GLOBAL TECH A	0.00	0.67	80.0	0.54	0.00	0.54
07/02/2018	GREGORY, ERIC	PUTNAM GLOBAL TECH A	0.00	1.45	80.0	1.16	0.00	1.16
07/02/2018	HIPP, RONALD	PUTNAM GLOBAL TECH B	0.00	0.65	80.0	0.52	0.00	0.52
07/02/2018	CASTLE, DAVID	PUTNAM GLOBAL TECH B	0.00	1.19	80.0	0.95	0.00	0.95
07/02/2018	GRIMMETT, JAMES	PUTNAM GLOBAL TECH C	0.00	17.29	80.0	13.83	0.00	13.83
07/02/2018	CANFIELD, LORI	PUTNAM GLOBAL TECH C	0.00	2.44	80.0	1.95	0.00	1.95
07/02/2018	KING, REPAULA	PUTNAM GROWTH OPP A	0.00	1.11	80.0	0.89	0.00	0.89
07/02/2018	KING, REPAULA	PUTNAM GROWTH OPP A	0.00	1.11	80.0	0.89	0.00	0.89
07/02/2018	BACON-HILL, MICHELE	PUTNAM GROWTH OPP A	0.00	1.30	80.0	1.04	0.00	1.04
07/02/2018	WILLIAMS, JESSIE	PUTNAM GROWTH OPP A	0.00	2.32	80.0	1.86	0.00	1.86
07/02/2018	PLAN	PUTNAM GROWTH OPP A	0.00	0.18	80.0	0.14	0.00	0.14
07/02/2018	ALLGIRE, ERNESTINE	PUTNAM GROWTH OPP A	0.00	3.68	80.0	2.94	0.00	2.94
07/02/2018	PLAN	PUTNAM GROWTH OPP A	0.00	0.68	80.0	0.54	0.00	0.54
07/02/2018	FAIRLESS, BENJAMIN	PUTNAM GROWTH OPP A	0.00	2.88	80.0	2.30	0.00	2.30
07/02/2018	YOSS, JAY	PUTNAM GROWTH OPP A	0.00	1.90	80.0	1.52	0.00	1.52
07/02/2018	HIPP, DEBRA	PUTNAM GROWTH OPP A	0.00	1.53	80.0	1.22	0.00	1.22
07/02/2018	HIPP, RONALD	PUTNAM GROWTH OPP A	0.00	0.41	80.0	0.33	0.00	0.33
07/02/2018	DOWDY, JIMMIE	PUTNAM GROWTH OPP A	0.00	0.69	80.0	0.55	0.00	0.55
07/02/2018	HERNDON, JAMES	PUTNAM GROWTH OPP A	0.00	1.04	80.0	0.83	0.00	0.83
07/02/2018	DOWNING, JOHN	PUTNAM GROWTH OPP A	0.00	0.01	80.0	0.01	0.00	0.01
07/02/2018	GREGORY, MANDY	PUTNAM GROWTH OPP A	0.00	0.95	80.0	0.76	0.00	0.76
07/02/2018	MOORE, TONI	PUTNAM GROWTH OPP A	0.00	3.02	80.0	2.42	0.00	2.42
07/02/2018	PLAN	PUTNAM GROWTH OPP A	0.00	4.89	80.0	3.91	0.00	3.91
07/02/2018	HOUSE, CONNIE	PUTNAM GROWTH OPP A	0.00	1.14	80.0	0.91	0.00	0.91
07/02/2018	HYER, LISA	PUTNAM GROWTH OPP A	0.00	0.15	80.0	0.12	0.00	0.12
07/02/2018	UNIF TRANSFER TO M/A, U/OK	PUTNAM GROWTH OPP C	0.00	2.97	80.0	2.38	0.00	2.38
07/02/2018	TAYLOR, CATHERINE	PUTNAM GROWTH OPP C	0.00	5.71	80.0	4.57	0.00	4.57

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	CAMPBELL, CHANDLER	PUTNAM GROWTH OPP C	0.00	0.09	80.0	0.07	0.00	0.07
07/02/2018	GRIMMETT, JAMES	PUTNAM GROWTH OPP C	0.00	8.14	80.0	6.51	0.00	6.51
07/02/2018	DOWDY, JIMMIE	PUTNAM GROWTH OPP C	0.00	0.40	80.0	0.32	0.00	0.32
07/02/2018	HYER, LISA	PUTNAM GROWTH OPP C	0.00	5.82	80.0	4.66	0.00	4.66
07/02/2018	HIPP, RONALD	PUTNAM GROWTH OPPORTUNITIES B	0.00	1.06	80.0	0.85	0.00	0.85
07/02/2018	CASTLE, DAVID	PUTNAM GROWTH OPPORTUNITIES B	0.00	1.05	80.0	0.84	0.00	0.84
07/02/2018	DOWNING, JOHN	PUTNAM GROWTH OPPORTUNITIES-M	0.00	4.64	80.0	3.71	0.00	3.71
07/02/2018	BRANCH, MARY	PUTNAM INCOME A	0.00	0.02	80.0	0.02	0.00	0.02
07/02/2018	BACON-HILL, MICHELE	PUTNAM INTL CAPITAL OPPS-A	0.00	1.86	80.0	1.49	0.00	1.49
07/02/2018	NEWTON, MAX	PUTNAM INTL CAPITAL OPPS-A	0.00	0.33	80.0	0.26	0.00	0.26
07/02/2018	MYERS, JON	PUTNAM INTL CAPITAL OPPS-A	0.00	0.45	80.0	0.36	0.00	0.36
07/02/2018	MYERS, AMANDA	PUTNAM INTL CAPITAL OPPS-A	0.00	0.47	80.0	0.38	0.00	0.38
07/02/2018	COLE, CHRISTOPHER	PUTNAM INTL CAPITAL OPPS-A	0.00	0.01	80.0	0.01	0.00	0.01
07/02/2018	BRANSTETTER, MATT	PUTNAM INTL CAPITAL OPPS-A	0.00	0.01	80.0	0.01	0.00	0.01
07/02/2018	WALL-POLLAN, LEAH	PUTNAM INTL CAPITAL OPPS-C	0.00	0.33	80.0	0.26	0.00	0.26
07/02/2018	MYERS, JON	PUTNAM INTL CAPITAL OPPS-C	0.00	49.63	80.0	39.70	0.00	39.70
07/02/2018	MYERS, AMANDA	PUTNAM INTL CAPITAL OPPS-C	0.00	57.39	80.0	45.91	0.00	45.91
07/02/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	0.00	5.56	80.0	4.45	0.00	4.45
07/02/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	0.00	5.48	80.0	4.38	0.00	4.38
07/02/2018	DOCTORMAN, EDGE	PUTNAM INTL CAPITAL OPPS-C	0.00	2.39	80.0	1.91	0.00	1.91
07/02/2018	DOCTORMAN, AIMES	PUTNAM INTL CAPITAL OPPS-C	0.00	2.39	80.0	1.91	0.00	1.91
07/02/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	0.00	9.13	80.0	7.30	0.00	7.30
07/02/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	0.00	9.13	80.0	7.30	0.00	7.30
07/02/2018	NOBLE PUBLIC SCHOOLS,	PUTNAM INTL CAPITAL OPPS-C	0.00	0.46	80.0	0.37	0.00	0.37
07/02/2018	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	0.00	4.21	80.0	3.37	0.00	3.37
07/02/2018	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	0.00	1.94	80.0	1.55	0.00	1.55
07/02/2018	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	0.00	0.17	80.0	0.14	0.00	0.14
07/02/2018	MORIELS, FELICIA	PUTNAM INTL CAPITAL OPPS-M	0.00	0.03	80.0	0.02	0.00	0.02
07/02/2018	COLE, CHRISTOPHER	PUTNAM INTL CAPITAL OPPS-M	0.00	0.39	80.0	0.31	0.00	0.31
07/02/2018	GERHARDT, LEE	PUTNAM MULTI CAP GROWTH A	0.00	6.58	80.0	5.26	0.00	5.26
07/02/2018	LLTD INVESTMENTS LLC	PUTNAM MULTI CAP GROWTH A	0.00	0.53	80.0	0.42	0.00	0.42
07/02/2018	WILLIAMS, JESSIE	PUTNAM MULTI CAP GROWTH A	0.00	3.24	80.0	2.59	0.00	2.59
07/02/2018	PLAN	PUTNAM MULTI CAP GROWTH A	0.00	0.10	80.0	0.08	0.00	0.08

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	ALLGIRE, ERNESTINE	PUTNAM MULTI CAP GROWTH A	0.00	5.86	80.0	4.69	0.00	4.69
07/02/2018	PLAN	PUTNAM MULTI CAP GROWTH A	0.00	0.60	80.0	0.48	0.00	0.48
07/02/2018	FAIRLESS, BENJAMIN	PUTNAM MULTI CAP GROWTH A	0.00	2.79	80.0	2.23	0.00	2.23
07/02/2018	YOSS, JAY	PUTNAM MULTI CAP GROWTH A	0.00	1.90	80.0	1.52	0.00	1.52
07/02/2018	HIPP, DEBRA	PUTNAM MULTI CAP GROWTH A	0.00	0.94	80.0	0.75	0.00	0.75
07/02/2018	HIPP, RONALD	PUTNAM MULTI CAP GROWTH A	0.00	1.19	80.0	0.95	0.00	0.95
07/02/2018	DOWDY, JIMMIE	PUTNAM MULTI CAP GROWTH A	0.00	0.79	80.0	0.63	0.00	0.63
07/02/2018	DOWNING, JOHN	PUTNAM MULTI CAP GROWTH A	0.00	1.02	80.0	0.82	0.00	0.82
07/02/2018	MOORE, TONI	PUTNAM MULTI CAP GROWTH A	0.00	4.73	80.0	3.78	0.00	3.78
07/02/2018	PLAN	PUTNAM MULTI CAP GROWTH A	0.00	6.86	80.0	5.49	0.00	5.49
07/02/2018	HOUSE, CONNIE	PUTNAM MULTI CAP GROWTH A	0.00	1.11	80.0	0.89	0.00	0.89
07/02/2018	BRANCH, MARY	PUTNAM MULTI CAP GROWTH A	0.00	0.99	80.0	0.79	0.00	0.79
07/02/2018	GREGORY, ERIC	PUTNAM MULTI CAP GROWTH A	0.00	0.97	80.0	0.78	0.00	0.78
07/02/2018	CASTLE, DAVID	PUTNAM MULTI-CAP CORE	0.00	0.64	80.0	0.51	0.00	0.51
07/02/2018	PLAN	PUTNAM MULTI-CAP CORE FUND A	0.00	0.14	80.0	0.11	0.00	0.11
07/02/2018	FAIRLESS, BENJAMIN	PUTNAM MULTI-CAP CORE FUND A	0.00	1.90	80.0	1.52	0.00	1.52
07/02/2018	YOSS, JAY	PUTNAM MULTI-CAP CORE FUND A	0.00	1.08	80.0	0.86	0.00	0.86
07/02/2018	HIPP, RONALD	PUTNAM MULTI-CAP CORE FUND A	0.00	0.66	80.0	0.53	0.00	0.53
07/02/2018	MOORE, TONI	PUTNAM MULTI-CAP CORE FUND A	0.00	1.54	80.0	1.23	0.00	1.23
07/02/2018	GRIMMETT, JAMES	PUTNAM MULTI-CAP CORE FUND C	0.00	8.04	80.0	6.43	0.00	6.43
07/02/2018	DOWNING, JOHN	PUTNAM MULTI-CAP CORE FUND-M	0.00	1.89	80.0	1.51	0.00	1.51
07/02/2018	CASTLE, DAVID	PUTNAM MULTI-CAP GROWTH B	0.00	1.02	80.0	0.82	0.00	0.82
07/02/2018	GERHARDT, LEE	PUTNAM MULTI-CAP GROWTH C	0.00	18.75	80.0	15.00	0.00	15.00
07/02/2018	GRIMMETT, JAMES	PUTNAM MULTI-CAP GROWTH C	0.00	8.47	80.0	6.78	0.00	6.78
07/02/2018	DOWDY, JIMMIE	PUTNAM MULTI-CAP GROWTH C	0.00	0.46	80.0	0.37	0.00	0.37
07/02/2018	BRANCH, MARY	PUTNAM MULTI-CAP GROWTH C	0.00	2.40	80.0	1.92	0.00	1.92
07/02/2018	POORE, NANCY	PUTNAM MULTI-CAP GROWTH C	0.00	2.10	80.0	1.68	0.00	1.68
07/02/2018	DOWNING, JOHN	PUTNAM MULTI-CAP GROWTH M	0.00	0.53	80.0	0.42	0.00	0.42
07/02/2018	MCFERRON, LARRY	PUTNAM MULTI-CAP GROWTH M	0.00	2.12	80.0	1.70	0.00	1.70
07/02/2018	HUFFMAN, KRISTOPHER	PUTNAM MULTI-CAP GROWTH M	0.00	0.96	80.0	0.77	0.00	0.77
07/02/2018	STANFORD, DAVID	PUTNAM MULTI-CAP GROWTH M	0.00	3.61	80.0	2.89	0.00	2.89
07/02/2018	FAIRLESS, BENJAMIN	PUTNAM RESEARCH A	0.00	1.91	80.0	1.53	0.00	1.53
07/02/2018	YOSS, JAY	PUTNAM RESEARCH A	0.00	1.21	80.0	0.97	0.00	0.97

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	HIPP, RONALD	PUTNAM RESEARCH A	0.00	0.66	80.0	0.53	0.00	0.53
07/02/2018	DOWNING, JOHN	PUTNAM RESEARCH A	0.00	0.99	80.0	0.79	0.00	0.79
07/02/2018	CASTLE, DAVID	PUTNAM RESEARCH A	0.00	0.36	80.0	0.29	0.00	0.29
07/02/2018	CASTLE, DAVID	PUTNAM RESEARCH B	0.00	0.27	80.0	0.22	0.00	0.22
07/02/2018	VARNELL, HOPE	PUTNAM SHORT DURATION INCOME A	0.00	0.16	80.0	0.13	0.00	0.13
07/02/2018	WILLIAMS, JESSIE	PUTNAM SHORT DURATION INCOME A	0.00	4.87	80.0	3.90	0.00	3.90
07/02/2018	GRIMMETT, JAMES	PUTNAM SHORT DURATION INCOME A	0.00	0.71	80.0	0.57	0.00	0.57
07/02/2018	PLAN	PUTNAM SHORT DURATION INCOME A	0.00	1.82	80.0	1.46	0.00	1.46
07/02/2018	ALLGIRE, ERNESTINE	PUTNAM SHORT DURATION INCOME A	0.00	7.99	80.0	6.39	0.00	6.39
07/02/2018	PLAN	PUTNAM SHORT DURATION INCOME A	0.00	0.25	80.0	0.20	0.00	0.20
07/02/2018	BRANSTETTER, MATT	PUTNAM SHORT DURATION INCOME A	0.00	0.21	80.0	0.17	0.00	0.17
07/02/2018	STANFORD, DAVID	PUTNAM SHORT DURATION INCOME A	0.00	0.55	80.0	0.44	0.00	0.44
07/02/2018	MOORE, TONI	PUTNAM SHORT DURATION INCOME A	0.00	8.97	80.0	7.18	0.00	7.18
07/02/2018	PLAN	PUTNAM SHORT DURATION INCOME A	0.00	9.34	80.0	7.47	0.00	7.47
07/02/2018	SNYDER, LESTER	PUTNAM SMALL CAP GROWTH-A	0.00	2.66	80.0	2.13	0.00	2.13
07/02/2018	VANDEBURG, JENNY	PUTNAM SMALL CAP GROWTH-A	0.00	0.79	80.0	0.63	0.00	0.63
07/02/2018	RIPLEY, ANTHONY	PUTNAM SMALL CAP GROWTH-A	0.00	0.04	80.0	0.03	0.00	0.03
07/02/2018	BRANCH, MARY	PUTNAM SMALL CAP GROWTH-A	0.00	0.18	80.0	0.14	0.00	0.14
07/02/2018	SNYDER, LESTER	PUTNAM SMALL CAP GROWTH-C	0.00	57.95	80.0	46.36	0.00	46.36
07/02/2018	VANDEBURG, JENNY	PUTNAM SMALL CAP GROWTH-C	0.00	0.45	80.0	0.36	0.00	0.36
07/02/2018	BRANCH, MARY	PUTNAM SMALL CAP GROWTH-C	0.00	0.10	80.0	0.08	0.00	0.08
07/02/2018	HYER, LISA	PUTNAM SMALL CAP GROWTH-C	0.00	0.65	80.0	0.52	0.00	0.52
07/02/2018	ALLGIRE, ERNESTINE	PUTNAM SMALL CAP VALUE A	0.00	1.20	80.0	0.96	0.00	0.96
07/02/2018	WILLIAMS, JESSIE	PUTNAM SMALL CAP VALUE A	0.00	1.32	80.0	1.06	0.00	1.06
07/02/2018	ALLGIRE, ERNESTINE	PUTNAM SMALL CAP VALUE A	0.00	2.96	80.0	2.37	0.00	2.37
07/02/2018	PLAN	PUTNAM SMALL CAP VALUE A	0.00	0.41	80.0	0.33	0.00	0.33
07/02/2018	FAIRLESS, BENJAMIN	PUTNAM SMALL CAP VALUE A	0.00	2.06	80.0	1.65	0.00	1.65
07/02/2018	YOSS, JAY	PUTNAM SMALL CAP VALUE A	0.00	1.37	80.0	1.10	0.00	1.10
07/02/2018	HIPP, RONALD	PUTNAM SMALL CAP VALUE A	0.00	0.67	80.0	0.54	0.00	0.54
07/02/2018	DOWNING, JOHN	PUTNAM SMALL CAP VALUE A	0.00	0.98	80.0	0.78	0.00	0.78
07/02/2018	MOORE, TONI	PUTNAM SMALL CAP VALUE A	0.00	2.66	80.0	2.13	0.00	2.13
07/02/2018	PLAN	PUTNAM SMALL CAP VALUE A	0.00	4.00	80.0	3.20	0.00	3.20
07/02/2018	CASTLE, DAVID	PUTNAM SMALL CAP VALUE A	0.00	0.22	80.0	0.18	0.00	0.18

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	CASTLE, DAVID	PUTNAM SMALL CAP VALUE B	0.00	0.41	80.0	0.33	0.00	0.33
07/02/2018	GRIMMETT, JAMES	PUTNAM SMALL CAP VALUE C	0.00	7.59	80.0	6.07	0.00	6.07
07/02/2018	MORIELS, FELICIA	PUTNAM U.S. GOVT INCOME TR-M	0.00	0.44	80.0	0.35	0.00	0.35
/ /	ROLLINS, LISA	RYDEX DOW 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX DOW 2X STRATEGY C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	TREADWAY, PAMELA	RYDEX DOW 2X STRATEGY C	0.00	0.55	80.0	0.44	0.00	0.44
/ /	DOWNING, JOHN	RYDEX DOW 2X STRATEGY C	0.00	3.34	80.0	2.67	0.00	2.67
/ /	ACOSTA, JF	RYDEX DOW 2X STRATEGY C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	BENSON, KARLA	RYDEX DOW 2X STRATEGY C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	VOCI, ROBERTO	RYDEX DOW 2X STRATEGY C	0.00	1.77	80.0	1.42	0.00	1.42
/ /	WRIGHT, MARK	RYDEX DOW 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX DOW 2X STRATEGY C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	POTTER, RK	RYDEX DOW 2X STRATEGY C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	HOLMAN, KENLEE	RYDEX DOW 2X STRATEGY C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HOLMAN, MEEGAN	RYDEX DOW 2X STRATEGY C	0.00	0.40	80.0	0.32	0.00	0.32
/ /	BACON, RICHARD	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.38	80.0	0.30	0.00	0.30
/ /	WHITETREE, THOMAS	RYDEX GUGG ELECTRONICS A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	CASTLE, DAVID	RYDEX GUGG ELECTRONICS A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	LIVELY, ELIZABETH	RYDEX GUGG ELECTRONICS A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	LIVELY, DUSTIN	RYDEX GUGG ELECTRONICS A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HUNTER, TIMOTHY	RYDEX GUGG ELECTRONICS A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	PUCKETT, CHERI	RYDEX GUGG ELECTRONICS A	0.00	0.16	80.0	0.13	0.00	0.13
/ /	HARRALD, DONALD	RYDEX GUGG ELECTRONICS A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	SALLEE, MARY	RYDEX GUGG ELECTRONICS A	0.00	0.20	80.0	0.16	0.00	0.16
/ /	ROLLINS, LISA	RYDEX GUGG ELECTRONICS C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX GUGG ELECTRONICS C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	TREADWAY, PAMELA	RYDEX GUGG ELECTRONICS C	0.00	0.46	80.0	0.37	0.00	0.37
/ /	DOWNING, JOHN	RYDEX GUGG ELECTRONICS C	0.00	2.84	80.0	2.27	0.00	2.27
/ /	ACOSTA, JF	RYDEX GUGG ELECTRONICS C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	BENSON, KARLA	RYDEX GUGG ELECTRONICS C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	VOCI, ROBERTO	RYDEX GUGG ELECTRONICS C	0.00	1.50	80.0	1.20	0.00	1.20
/ /	WRIGHT, MARK	RYDEX GUGG ELECTRONICS C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX GUGG ELECTRONICS C	0.00	0.18	80.0	0.14	0.00	0.14

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	POTTER, RK	RYDEX GUGG ELECTRONICS C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	BACON, RICHARD	RYDEX GUGG ELECTRONICS C	0.00	0.31	80.0	0.25	0.00	0.25
/ /	HOLMAN, KENLEE	RYDEX GUGG ELECTRONICS C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	HOLMAN, MEEGAN	RYDEX GUGG ELECTRONICS C	0.00	0.34	80.0	0.27	0.00	0.27
/ /	ROLLINS, LISA	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	BACON-HILL, MICHELE	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	TREADWAY, PAMELA	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.65	80.0	0.52	0.00	0.52
/ /	MORIELS, FELICIA	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	3.35	80.0	2.68	0.00	2.68
/ /	ACOSTA, JF	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	BENSON, KARLA	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	2.10	80.0	1.68	0.00	1.68
/ /	WRIGHT, MARK	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.25	80.0	0.20	0.00	0.20
/ /	POTTER, RK	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	BACON, RICHARD	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	1.70	80.0	1.36	0.00	1.36
/ /	HOLMAN, KENLEE	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	HOLMAN, MEEGAN	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.47	80.0	0.38	0.00	0.38
/ /	WHITETREE, THOMAS	RYDEX GUGG TITAN 500 A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	CASTLE, DAVID	RYDEX GUGG TITAN 500 A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	LIVELY, ELIZABETH	RYDEX GUGG TITAN 500 A	0.00	0.11	80.0	0.09	0.00	0.09

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	LIVELY, DUSTIN	RYDEX GUGG TITAN 500 A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	HUNTER, TIMOTHY	RYDEX GUGG TITAN 500 A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	PUCKETT, CHERI	RYDEX GUGG TITAN 500 A	0.00	0.15	80.0	0.12	0.00	0.12
/ /	HARRALD, DONALD	RYDEX GUGG TITAN 500 A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	SALLEE, MARY	RYDEX GUGG TITAN 500 A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	WHITETREE, THOMAS	RYDEX GUGG VELOCITY 100 A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	CASTLE, DAVID	RYDEX GUGG VELOCITY 100 A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	LIVELY, ELIZABETH	RYDEX GUGG VELOCITY 100 A	0.00	0.16	80.0	0.13	0.00	0.13
/ /	LIVELY, DUSTIN	RYDEX GUGG VELOCITY 100 A	0.00	0.17	80.0	0.14	0.00	0.14
/ /	HUNTER, TIMOTHY	RYDEX GUGG VELOCITY 100 A	0.00	0.15	80.0	0.12	0.00	0.12
/ /	PUCKETT, CHERI	RYDEX GUGG VELOCITY 100 A	0.00	0.28	80.0	0.22	0.00	0.22
/ /	HARRALD, DONALD	RYDEX GUGG VELOCITY 100 A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	SALLEE, MARY	RYDEX GUGG VELOCITY 100 A	0.00	0.27	80.0	0.22	0.00	0.22
/ /	KINNEY, ERICK	RYDEX GUGGENHEIM NASDAQ-100-A	0.00	0.35	80.0	0.28	0.00	0.28
/ /	ROLLINS, LISA	RYDEX JAPAN 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX JAPAN 2X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	TREADWAY, PAMELA	RYDEX JAPAN 2X STRATEGY C	0.00	0.28	80.0	0.22	0.00	0.22
/ /	DOWNING, JOHN	RYDEX JAPAN 2X STRATEGY C	0.00	1.73	80.0	1.38	0.00	1.38
/ /	ACOSTA, JF	RYDEX JAPAN 2X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BENSON, KARLA	RYDEX JAPAN 2X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	VOCI, ROBERTO	RYDEX JAPAN 2X STRATEGY C	0.00	0.91	80.0	0.73	0.00	0.73
/ /	WRIGHT, MARK	RYDEX JAPAN 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX JAPAN 2X STRATEGY C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	POTTER, RK	RYDEX JAPAN 2X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BACON, RICHARD	RYDEX JAPAN 2X STRATEGY C	0.00	0.33	80.0	0.26	0.00	0.26
/ /	HOLMAN, KENLEE	RYDEX JAPAN 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HOLMAN, MEEGAN	RYDEX JAPAN 2X STRATEGY C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	WHITETREE, THOMAS	RYDEX JAPAN 2X STRATEGY H	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX JAPAN 2X STRATEGY H	0.00	0.08	80.0	0.06	0.00	0.06
/ /	LIVELY, ELIZABETH	RYDEX JAPAN 2X STRATEGY H	0.00	0.07	80.0	0.06	0.00	0.06
/ /	LIVELY, DUSTIN	RYDEX JAPAN 2X STRATEGY H	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HUNTER, TIMOTHY	RYDEX JAPAN 2X STRATEGY H	0.00	0.06	80.0	0.05	0.00	0.05
/ /	PUCKETT, CHERI	RYDEX JAPAN 2X STRATEGY H	0.00	0.10	80.0	0.08	0.00	0.08

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	HARRALD, DONALD	RYDEX JAPAN 2X STRATEGY H	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX JAPAN 2X STRATEGY H	0.00	0.12	80.0	0.10	0.00	0.10
/ /	ROLLINS, LISA	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	TREADWAY, PAMELA	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.37	80.0	0.30	0.00	0.30
/ /	DOWNING, JOHN	RYDEX MID CAP 1.5X STRATEGY C	0.00	2.26	80.0	1.81	0.00	1.81
/ /	ACOSTA, JF	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	BENSON, KARLA	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	VOCI, ROBERTO	RYDEX MID CAP 1.5X STRATEGY C	0.00	1.20	80.0	0.96	0.00	0.96
/ /	WRIGHT, MARK	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	POTTER, RK	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	HOLMAN, KENLEE	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HOLMAN, MEEGAN	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.27	80.0	0.22	0.00	0.22
/ /	ROLLINS, LISA	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.11	80.0	0.09	0.00	0.09
/ /	TREADWAY, PAMELA	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.35	80.0	0.28	0.00	0.28
/ /	DOWNING, JOHN	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	1.72	80.0	1.38	0.00	1.38
/ /	ACOSTA, JF	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.13	80.0	0.10	0.00	0.10
/ /	BENSON, KARLA	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.10	80.0	0.08	0.00	0.08
/ /	VOCI, ROBERTO	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	1.12	80.0	0.90	0.00	0.90
/ /	WRIGHT, MARK	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.13	80.0	0.10	0.00	0.10
/ /	POTTER, RK	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.13	80.0	0.10	0.00	0.10

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	BACON, RICHARD	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.44	80.0	0.35	0.00	0.35
/ /	HOLMAN, KENLEE	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HOLMAN, MEEGAN	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.25	80.0	0.20	0.00	0.20
/ /	WHITETREE, THOMAS	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.05	80.0	0.04	0.00	0.04
/ /	CASTLE, DAVID	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.10	80.0	0.08	0.00	0.08
/ /	LIVELY, ELIZABETH	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.09	80.0	0.07	0.00	0.07
/ /	LIVELY, DUSTIN	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HUNTER, TIMOTHY	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.08	80.0	0.06	0.00	0.06
/ /	PUCKETT, CHERI	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HARRALD, DONALD	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.15	80.0	0.12	0.00	0.12
/ /	ROLLINS, LISA	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	TREADWAY, PAMELA	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.45	80.0	0.36	0.00	0.36
/ /	DOWNING, JOHN	RYDEX S&P 500 2X STRATEGY FUND C	0.00	2.72	80.0	2.18	0.00	2.18
/ /	ACOSTA, JF	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	BENSON, KARLA	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	VOCI, ROBERTO	RYDEX S&P 500 2X STRATEGY FUND C	0.00	1.46	80.0	1.17	0.00	1.17
/ /	WRIGHT, MARK	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	POTTER, RK	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	HOLMAN, KENLEE	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	HOLMAN, MEEGAN	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.33	80.0	0.26	0.00	0.26

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$683.61		\$546.87	\$0.00	\$546.87
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
06/30/2018	HERNDON, RONALD	JNL ELITE ACCESS	0.00	8.57	80.0	6.86	0.00	6.86
06/30/2018	BRANSTETTER, ERIK	JNL ELITE ACCESS	0.00	1.35	80.0	1.08	0.00	1.08
06/30/2018	BRANSTETTER, ERIK	JNL ELITE ACCESS	0.00	0.48	80.0	0.38	0.00	0.38
06/30/2018	CASTLE, DAVID	JNL ELITE ACCESS	0.00	0.61	80.0	0.49	0.00	0.49
06/30/2018	FIRST TRUST OF ONAGA	JNL ELITE ACCESS	0.00	0.66	80.0	0.53	0.00	0.53
06/30/2018	PYLE JR, JUSTIN	JNL ELITE ACCESS	0.00	6.56	80.0	5.25	0.00	5.25
06/30/2018	ALEXANDER, SUSAN	JNL PERSPECTIVE II 04/14	0.00	2.11	80.0	1.69	0.00	1.69
06/30/2018	ALLGIRE, ERNESTINE	JNL PERSPECTIVE II 04/14	0.00	23.36	80.0	18.69	0.00	18.69
06/30/2018	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	0.00	8.96	80.0	7.17	0.00	7.17
06/30/2018	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	0.00	12.79	80.0	10.23	0.00	10.23
06/30/2018	BRANSTETTER, TRACY	JNL PERSPECTIVE II 05/05	0.00	1.23	80.0	0.98	0.00	0.98
06/30/2018	HIPP, RONALD	JNL PERSPECTIVE II 05/05	0.00	9.28	80.0	7.42	0.00	7.42
06/30/2018	HOBBS, DONALD	JNL PERSPECTIVE II 05/05	0.00	1.88	80.0	1.50	0.00	1.50
06/30/2018	MCKIBBEN, SUSAN	JNL PERSPECTIVE II 05/05	0.00	17.63	80.0	14.10	0.00	14.10
06/30/2018	MCFERRON, LINDA	JNL PERSPECTIVE L	0.00	8.03	80.0	6.42	0.00	6.42
06/30/2018	MCFERRON, LINDA	JNL PERSPECTIVE L	0.00	15.42	80.0	12.34	0.00	12.34
06/30/2018	DOWDY, LINDA	JNL PERSPECTIVE L	0.00	33.16	80.0	26.53	0.00	26.53
06/30/2018	DOWDY, LINDA	JNL PERSPECTIVE L	0.00	30.67	80.0	24.54	0.00	24.54
06/30/2018	RICK, JOSEPH	JNL PERSPECTIVE L	0.00	8.47	80.0	6.78	0.00	6.78
06/30/2018	RICK, JOSEPH	JNL PERSPECTIVE L	0.00	16.79	80.0	13.43	0.00	13.43
06/30/2018	WILLIAMS, HARRY	JNL PERSPECTIVE L	0.00	6.04	80.0	4.83	0.00	4.83
06/30/2018	WILLIAMS, HARRY	JNL PERSPECTIVE L	0.00	13.09	80.0	10.47	0.00	10.47
06/30/2018	BRISCOE, TOMMIE	JNL PERSPECTIVE L	0.00	23.76	80.0	19.01	0.00	19.01
06/30/2018	WILSON, TIM	JNL PERSPECTIVE L	0.00	18.16	80.0	14.53	0.00	14.53
06/30/2018	WILSON, TIM	JNL PERSPECTIVE L	0.00	32.25	80.0	25.80	0.00	25.80
06/30/2018	HERNDON, RONALD	JNL PERSPECTIVE L	0.00	29.49	80.0	23.59	0.00	23.59
06/30/2018	CANFIELD, OWEN	JNL PERSPECTIVE L	0.00	23.18	80.0	18.54	0.00	18.54
06/30/2018	CANFIELD, OWEN	JNL PERSPECTIVE L	0.00	36.09	80.0	28.87	0.00	28.87
06/30/2018	MOORE, TONI	JNL PERSPECTIVE L	0.00	265.35	80.0	212.28	0.00	212.28
06/30/2018	MICHENER, DAVID	JNL PERSPECTIVE L	0.00	241.33	80.0	193.06	0.00	193.06
06/30/2018	LEMOND, MARY	JNL PERSPECTIVE L	0.00	23.91	80.0	19.13	0.00	19.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	BRANSTETTER, LINDA	JNL PERSPECTIVE L	0.00	2.98	80.0	2.38	0.00	2.38
06/30/2018	BRANSTETTER, TODD	JNL PERSPECTIVE L	0.00	15.93	80.0	12.74	0.00	12.74
06/30/2018	MCFERRON, LARRY	JNL PERSPECTIVE L	0.00	4.70	80.0	3.76	0.00	3.76
06/30/2018	MCFERRON, LARRY	JNL PERSPECTIVE L	0.00	22.47	80.0	17.98	0.00	17.98
06/30/2018	POORE, NANCY	JNL PERSPECTIVE L	0.00	2.37	80.0	1.90	0.00	1.90
06/30/2018	POORE, NANCY	JNL PERSPECTIVE L	0.00	56.73	80.0	45.38	0.00	45.38
06/30/2018	HUFFMAN, KRISTOPHER	JNL PERSPECTIVE L	0.00	65.45	80.0	52.36	0.00	52.36
06/30/2018	CASTLE, DAVID	JNL PERSPECTIVE L	0.00	8.82	80.0	7.06	0.00	7.06
06/30/2018	CASTLE, DAVID	JNL PERSPECTIVE L	0.00	121.18	80.0	96.94	0.00	96.94
06/30/2018	FARIS, THERESA ANN	JNL PERSPECTIVE L	0.00	31.45	80.0	25.16	0.00	25.16
06/30/2018	DOBELBOWER, JORGE LARRY	JNL PERSPECTIVE L	0.00	85.03	80.0	68.02	0.00	68.02
06/30/2018	MCKIDDY, BOBBY	JNL PERSPECTIVE L	0.00	17.22	80.0	13.78	0.00	13.78
06/30/2018	FOX, JERALDINE	JNL PERSPECTIVE L	0.00	91.40	80.0	73.12	0.00	73.12
06/30/2018	DOWNING, JOHN	JNL PERSPECTIVE L	0.00	11.15	80.0	8.92	0.00	8.92
06/30/2018	DOWDY, JIMMIE	JNL PERSPECTIVE L	0.00	14.10	80.0	11.28	0.00	11.28
06/30/2018	ACOSTA, ANNA	JNL PERSPECTIVE L	0.00	9.10	80.0	7.28	0.00	7.28
06/30/2018	STANFORD, DAVID	JOHN HANCOCK VENTURE	0.00	2.11	80.0	1.69	0.00	1.69
06/30/2018	POWERS, MIKE	NATIONWIDE BOA VISION GROUP 01	0.00	0.35	80.0	0.28	0.00	0.28
06/30/2018	WILSON, TIM	NATIONWIDE BOA VISION GROUP 01	0.00	2.63	80.0	2.10	0.00	2.10
06/30/2018	POWERS, MIKE	NATIONWIDE MARKETFLEX BB	0.00	1.95	80.0	1.56	0.00	1.56
06/18/2018	STEAD, ROBIN	PAC LIFE INNOVATIVE SELECT	0.00	50.04	80.0	40.03	0.00	40.03
06/18/2018	HOLMAN, MEEGAN	PAC LIFE INNOVATIVE SELECT	0.00	3.24	80.0	2.59	0.00	2.59
06/18/2018	HOLMAN, TIMOTHY	PAC LIFE INNOVATIVE SELECT	0.00	3.96	80.0	3.17	0.00	3.17
06/25/2018	MADEWELL, DOUGLAS	PAC LIFE INNOVATIVE SELECT	0.00	4.26	80.0	3.41	0.00	3.41
06/25/2018	HOWARD, JOSEPH	PAC LIFE PACIFIC CHOICE	0.00	2.94	80.0	2.35	0.00	2.35
06/25/2018	COLWELL, JEREMY	PAC LIFE VALUE	0.00	6.14	80.0	4.91	0.00	4.91
06/25/2018	PROCTOR, CHAD	PAC LIFE VALUE	0.00	7.68	80.0	6.14	0.00	6.14
06/25/2018	CRETSINGER, DON	PAC LIFE VALUE	0.00	11.01	80.0	8.81	0.00	8.81
06/25/2018	WHITE, JOANNE	PAC LIFE VALUE	0.00	8.45	80.0	6.76	0.00	6.76
06/25/2018	FISHER, SARA	PAC LIFE VALUE	0.00	8.40	80.0	6.72	0.00	6.72
06/30/2018	BRANCH, MARY	PRU AS LIFEVEST	0.00	8.28	80.0	6.62	0.00	6.62
06/30/2018	BRANCH, MARY	PRU AS LIFEVEST	0.00	24.83	80.0	19.86	0.00	19.86
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,627.01		\$1,301.58	\$0.00	\$1,301.58

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

*** DIRECT TRANSACTIONS TOTAL ***	\$1,635.00	\$2,354.42	\$1,883.49	\$0.00	\$1,883.49
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ADJUSTMENTS

07/15/2018	*AI INSIGHT: CURRENT MONTH	0.00			-7.00
07/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00			-300.00
07/15/2018	*GLOBAL RELAY: FACEBOOK	0.00			-5.00
07/15/2018	*GLOBAL RELAY: WEBSITE	0.00			-15.00
07/15/2018	*MARKETING PRO: MARKETING LIBRARY	0.00			-20.00
07/15/2018	*PERSHING: ETF PROSPECTUS MAILING	0.00			-3.10
07/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00			-180.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$-530.10	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,914.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-530.10
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,384.19
Year-to-date Gross	\$35,890.95
Year-to-date Earnings	\$27,989.04

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed