



# COMMISSION STATEMENT

June 04, 2018

JOHN KELLY  
2400 E. Arizona Biltmore Cir.  
Suite 1100  
Phoenix, AZ 85016

BROKER #: 279  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/25/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @29.9700						
05/25/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	40.45	0.40	0.00	0.40	90.0	0.36
	ACCOUNT #: 00089949168	BUY 3 Units @14.9200						
05/25/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @14.9200						
05/25/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @14.3800						
05/25/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.1600						
05/25/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @55.5300						
05/25/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.5000						
05/25/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @64.7800						
05/25/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @51.2400						
05/25/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.5300						
05/25/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @49.3100						
05/25/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @44.1700						
* MUTUAL FUNDS SUBTOTAL *			\$100.45	\$1.00	\$0.00	\$1.00		\$0.92
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/21/2018	***-**-8258 - IFG, KELLY,J	PACIFIC LIFE DTCC RENEWAL	412.00	8.24	0.00	8.24	90.0	7.42
	ACCOUNT #: VP60088260	BUY 0 Units @0.0000						
05/21/2018	***-**-209I - SPARACO, MICHAEL	PACIFIC LIFE DTCC RENEWAL	1,510.67	30.21	0.00	30.21	90.0	27.19
	ACCOUNT #: VP60570220	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$1,922.67	\$38.45	\$0.00	\$38.45		\$34.61



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/31/2018	***-**-0726 - DAHL, KEVIN	FEDERATED KAUFMAN SMALL-CAP FUND CL	0.00	2.17	0.00	2.17	90.0	1.95
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
05/31/2018	***-**-7034 - DAHL, ROXANNE	FEDERATED KAUFMAN SMALL-CAP FUND CL	0.00	4.53	0.00	4.53	90.0	4.08
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
05/31/2018	***-**-0726 - DAHL, KEVIN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.46	0.00	0.46	90.0	0.41
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
05/31/2018	***-**-7034 - DAHL, ROXANNE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.26	0.00	1.26	90.0	1.13
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
05/31/2018	***-**-0726 - DAHL, KEVIN	FRANKLIN INCOME FUND CLASS C	0.00	107.85	0.00	107.85	90.0	97.07
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$116.27	\$0.00	\$116.27		\$104.64
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
05/20/2018	***-**-0304 - LOUGHRIDGE,	PACIFIC LIFE DTCC RENEWAL	0.00	513.99	0.00	513.99	90.0	462.59
	ACCOUNT #: VP60638610	BUY 0 Units @0.0000						
05/24/2018	***-**-7600 - HOFHEINZ, JAMES	PACIFIC LIFE DTCC RENEWAL	0.00	0.13	0.00	0.13	90.0	0.12
	ACCOUNT #: VP60357760	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$514.12	\$0.00	\$514.12		\$462.71
	*** BROKER TRANSACTIONS TOTAL ***		\$2,023.12	\$669.84	\$0.00	\$669.84		\$602.88



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			INVESTMENT	GROSS	CLEARING	NET	BROKER
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
<div>BROKER COMMISSION TOTALS</div>							
						Broker Transactions	\$602.88
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$14.16
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
Net Commission Earned							\$617.04
Year-to-date Earnings							\$150,357.64