



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 30, 2017

04/27/2017
 Page No. 1

DELMAR (BO)-M143 MCKINNEY
 5 Red Bud Trail

BROKER #: M143
 BRANCH: EAGLE WEALTH MANAGEM

Round Rock, TX 78655-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/25/2017	LUERSEN, JENNIFER	AM FUNDS AMCAP A	-16,897.47	22.00	22.00	0.00	90.0	0.00
04/25/2017	LUERSEN, JENNIFER	AM FUNDS AMERICAN MUTUAL A	-16,917.89	22.00	22.00	0.00	90.0	0.00
04/20/2017	BRUECKNER, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	1.60	0.00	1.60	90.0	1.44
04/17/2017	BRUECKNER, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.20	0.00	3.20	90.0	2.88
04/25/2017	LUERSEN, JENNIFER	AM FUNDS NEW PERSPECTIVE A	-17,170.56	22.00	22.00	0.00	90.0	0.00
04/17/2017	MICHNA, DENISE	AM FUNDS WASHINGTON MUTUAL INVESTOR	4,500.00	225.00	0.00	225.00	90.0	202.50
04/20/2017	BRUECKNER, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	1.60	0.00	1.60	90.0	1.44
04/25/2017	LUERSEN, JENNIFER	AMER FUNDS THE INVESTMENT COMPANY O	-3,242.61	0.00	0.00	0.00	90.0	0.00
04/25/2017	LUERSEN, JENNIFER	ARTISAN MID CAP VALUE FUND ADVISOR	-5,343.65	0.00	0.00	0.00	90.0	0.00
04/25/2017	LUERSEN, JENNIFER	BLACKROCK FUNDS US GOVERNMENT PORTE	-27,252.97	0.00	0.00	0.00	90.0	0.00
04/25/2017	LUERSEN, JENNIFER	COLUMBIA INCOME OPPORTUNITIES FUND	-5,186.60	0.00	0.00	0.00	90.0	0.00
04/25/2017	LUERSEN, JENNIFER	COLUMBIA STRATEGIC INCOME A	-41,582.66	0.00	0.00	0.00	90.0	0.00
04/25/2017	LUERSEN, JENNIFER	COLUMBIA US GOV MORTGAGE A	-43,017.63	0.00	0.00	0.00	90.0	0.00
04/25/2017	LUERSEN, JENNIFER	GOLDMAN SACHS FINANCIAL SQUARED GOV	-919.52	22.00	22.00	0.00	90.0	0.00
04/11/2017	PAULSEN, ROGER	GRIFFIN INSTITUTIONAL ACCESS REAL E	6,000.00	300.00	22.00	278.00	90.0	250.20
04/25/2017	LUERSEN, JENNIFER	HARBOR INTERNATIONAL FUND INSTITUTI	-6,698.92	22.00	22.00	0.00	90.0	0.00
04/13/2017	RAESZ TTEE, BARBARA	HARTFORD GROWTH ALLOCATION A	-1,100.00	0.00	0.00	0.00	90.0	0.00
04/25/2017	LUERSEN, JENNIFER	JENSEN QUALITY GROWTH FUND CLASS I	-5,696.91	22.00	22.00	0.00	90.0	0.00
04/25/2017	LUERSEN, JENNIFER	JP MORGAN GOVERNMENT BOND FUND CLAS	-39,529.09	0.00	0.00	0.00	90.0	0.00
04/25/2017	LUERSEN, JENNIFER	LEGG MASON BW GLOBAL OPPORTUNITY BO	-2,101.65	22.00	22.00	0.00	90.0	0.00
04/25/2017	LUERSEN, JENNIFER	MAINSTAY HIGH YIELD CORPORATE BOND	-3,249.18	22.00	22.00	0.00	90.0	0.00
04/25/2017	LUERSEN, JENNIFER	MUTUAL SERIES SHARES CLASS Z	-4,691.26	0.00	0.00	0.00	90.0	0.00
04/25/2017	LUERSEN, JENNIFER	NATIONWIDE GENEVA MID CAP GROWTH FU	-2,169.61	0.00	0.00	0.00	90.0	0.00
04/25/2017	LUERSEN, JENNIFER	NEUBERGER & BERMAN GENESIS FUND INS	-2,344.30	22.00	22.00	0.00	90.0	0.00
04/25/2017	LUERSEN, JENNIFER	PRUDENTIAL TOTAL RETURN BOND FUND C	-10,930.26	22.00	22.00	0.00	90.0	0.00
04/25/2017	LUERSEN, JENNIFER	WELLS FARGO INCOME PLUS A	-43,121.23	0.00	0.00	0.00	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
* MUTUAL FUNDS SUBTOTAL *			\$-288,263.97	\$751.40	\$242.00	\$509.40		\$458.46
<u>PRODUCT CATEGORY: STOCKS</u>								
04/18/2017	NORMAN, ISAAC	ENERGY TRANSFER PARTNERS L P UNIT L	35,116.50	249.00	23.50	225.50	90.0	202.95
04/25/2017	LUERSEN, JENNIFER	ISHARES TR CORE TOTAL U S BD MKT ET	-15,141.76	22.00	22.50	-0.50	90.0	-0.45
04/25/2017	LUERSEN, JENNIFER	ISHARES TR MSCI EAFE INDEX FD	-8,963.29	22.00	22.50	-0.50	90.0	-0.45
04/25/2017	LUERSEN, JENNIFER	ISHARES TR MSCI EAFE SMALL CAP ETF	-2,186.03	22.00	22.50	-0.50	90.0	-0.45
04/25/2017	LUERSEN, JENNIFER	ISHARES TR RUSSELL 2000 INDEX FD	-3,655.91	22.00	22.50	-0.50	90.0	-0.45
04/25/2017	LUERSEN, JENNIFER	VANGUARD BD INDEX FD INC TOTAL BD M	-14,158.99	22.00	22.50	-0.50	90.0	-0.45
04/25/2017	LUERSEN, JENNIFER	VANGUARD INDEX FDS VANGUARD LARGE-C	-11,386.24	22.00	22.50	-0.50	90.0	-0.45
* STOCKS SUBTOTAL *			\$-20,375.72	\$381.00	\$158.50	\$222.50		\$200.25
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/15/2017	SPECKELS, WARREN	AM GEN POLARIS FLEX PYMT DEF ANNUIT	14,851.08	14.85	0.00	14.85	90.0	13.37
04/15/2017	SPECKELS, MELBA	AM GEN POLARIS FLEX PYMT DEF ANNUIT	14,777.77	14.78	0.00	14.78	90.0	13.30
04/20/2017	STOLTE, VERNALINE	AM GEN POLARIS FLEX PYMT DEF ANNUIT	6,802.96	6.80	0.00	6.80	90.0	6.12
04/20/2017	HERNANDEZ, JOHN	AM GEN POLIRIS II FLEXIBLE	19,053.61	19.05	0.00	19.05	90.0	17.15
04/14/2017	DAWSON, SUANNE	ANCHOR ADVISOR 11 FLEX	698.30	1.75	0.00	1.75	90.0	1.58
04/17/2017	MICHNA, JIMMY	METLIFE SERIES XC	2,500.00	50.00	0.00	50.00	90.0	45.00
* VARIABLE ANNUITIES SUBTOTAL *			\$58,683.72	\$107.23	\$0.00	\$107.23		\$96.52
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
04/25/2017	LUERSEN, JENNIFER	FT UNIT 6398 U S EQUITY CLOSED-END	30,003.27	705.16	0.00	705.16	90.0	634.64
04/25/2017	LUERSEN, JENNIFER	FT UNIT 6490 STONEBRIDGE PFD INCOME	30,007.66	705.14	0.00	705.14	90.0	634.63
04/25/2017	LUERSEN, JENNIFER	FT UNIT 6554 MLP; CLOSED END PORT	30,007.40	705.32	0.00	705.32	90.0	634.79
04/25/2017	LUERSEN, JENNIFER	FT UNIT 6572 DIVID & INCOME PLUS CL	30,010.02	667.58	0.00	667.58	90.0	600.82
04/25/2017	LUERSEN, JENNIFER	FT UNIT 6590 TARGET GLOBAL DVD LEAD	30,001.12	450.09	0.00	450.09	90.0	405.08
04/25/2017	LUERSEN, JENNIFER	FT UNIT 6615 EQUITY CLOSED END PORT	30,006.63	705.19	0.00	705.19	90.0	634.67
04/25/2017	LUERSEN, JENNIFER	FT UNIT 6619 COVERED CALL SELECT CL	30,003.88	705.19	0.00	705.19	90.0	634.67
04/25/2017	LUERSEN, JENNIFER	FT UNIT 6620 GLOBAL; EQTY INC	30,006.15	705.17	0.00	705.17	90.0	634.65
04/25/2017	LUERSEN, JENNIFER	FT UNIT 6623 PREFERRED INCOME PORT	30,005.13	705.03	0.00	705.03	90.0	634.53
04/25/2017	LUERSEN, JENNIFER	FT UNIT 6627 CLOSED END FD & ENERGY	30,009.44	705.18	0.00	705.18	90.0	634.66
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$300,060.70	\$6,759.05	\$0.00	\$6,759.05		\$6,083.14
*** BROKER TRANSACTIONS TOTAL ***			\$50,104.73	\$7,998.68	\$400.50	\$7,598.18		\$6,838.37

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BROKER COMMISSION TOTALS

Broker Transactions	\$6,838.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,838.37
Year-to-date Gross	\$72,370.98
Year-to-date Earnings	\$64,390.02