

**COMMISSION STATEMENT****June 15, 2018**

JEFF/DAN/JON PAU FT-G0C NY KIESNO/RAU  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: G0C  
 BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
^06/11/2018	CAVEGN, JOSEPH	HPI REAL ESTATE FUND VI LLC	50,000.00	3,000.00	0.00	3,000.00	100.0	0.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$50,000.00	\$3,000.00	\$0.00	\$3,000.00		\$0.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
^05/23/2018	GALGANO, ROBERT	PRU PREMIER RETIREMENT VA B SERIES	250,000.00	17,500.00	0.00	17,500.00	100.0	0.00
		* VARIABLE ANNUITIES SUBTOTAL *	\$250,000.00	\$17,500.00	\$0.00	\$17,500.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^05/15/2018	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.31	0.00	0.31	100.0	0.00
^05/07/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	9.73	0.00	9.73	100.0	0.00
^05/07/2018	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.40	0.00	1.40	100.0	0.00
^05/07/2018	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.65	0.00	4.65	100.0	0.00
^05/07/2018	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	6.43	0.00	6.43	100.0	0.00
^05/21/2018	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	3.10	0.00	3.10	100.0	0.00
^05/21/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.73	0.00	3.73	100.0	0.00
^05/21/2018	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.28	0.00	8.28	100.0	0.00
^05/21/2018	CAVEGN, JOSEPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.28	0.00	1.28	100.0	0.00
^05/21/2018	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.47	0.00	0.47	100.0	0.00
^05/21/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.58	0.00	5.58	100.0	0.00
^05/21/2018	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.20	0.00	3.20	100.0	0.00
^05/14/2018	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	9.63	0.00	9.63	100.0	0.00
^05/11/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	2.88	0.00	2.88	100.0	0.00
^05/11/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	3.02	0.00	3.02	100.0	0.00
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$63.69	\$0.00	\$63.69		\$0.00
		*** DIRECT TRANSACTIONS TOTAL ***	\$300,000.00	\$20,563.69	\$0.00	\$20,563.69		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
06/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					0.01
06/15/2018		*PERSHING: BOOKS & RECORDS	0.00					0.01
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.02</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.02
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.02</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>

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 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed