



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2017

08/14/2017
 Page No. 1

FRED CHASALOW
 2523 Hastings Drive

BROKER #: C121 Terminated 12/31/2015
 BRANCH: FRED CHASALOW

Belmont, CA 94002-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/07/2017	ALLEN, JEFFREY	FIDELITY SELECT HEALTH CARE FUND	45,000.00	0.00	22.00	-22.00	0.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$45,000.00	\$0.00	\$22.00	\$-22.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
08/07/2017	ALLEN, JEFFREY	AT&T INC COM	30,798.88	307.99	23.20	284.79	0.0	0.00
08/07/2017	ALLEN, JEFFREY	KIMBERLY CLARK CORP	60,711.35	607.11	22.75	584.36	0.0	0.00
		* STOCKS SUBTOTAL *	\$91,510.23	\$915.10	\$45.95	\$869.15		\$0.00
<u>PRODUCT CATEGORY: OPTIONS</u>								
08/07/2017	ALLEN, JEFFREY	CALL 100 AT&T INC COM EXP 01-19-18@	-616.00	6.16	26.00	-19.84	0.0	0.00
08/07/2017	ALLEN, JEFFREY	CALL 100 KIMBERLY CLARK CORP EXP 01	-1,200.00	12.00	24.50	-12.50	0.0	0.00
		* OPTIONS SUBTOTAL *	\$-1,816.00	\$18.16	\$50.50	\$-32.34		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/14/2017	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	7.26	0.00	7.26	0.0	0.00
07/14/2017	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	1.08	0.00	1.08	0.0	0.00
07/14/2017	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	4.69	0.00	4.69	0.0	0.00
07/17/2017	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.43	0.00	13.43	0.0	0.00
07/17/2017	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.66	0.00	6.66	0.0	0.00
07/17/2017	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.66	0.00	6.66	0.0	0.00
07/17/2017	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.78	0.00	3.78	0.0	0.00
07/17/2017	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.36	0.00	5.36	0.0	0.00
07/17/2017	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.79	0.00	1.79	0.0	0.00
07/17/2017	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.70	0.00	2.70	0.0	0.00
07/20/2017	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	1.13	0.00	1.13	0.0	0.00
07/14/2017	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	2.63	0.00	2.63	0.0	0.00
07/14/2017	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	8.69	0.00	8.69	0.0	0.00
07/18/2017	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	1.92	0.00	1.92	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/11/2017	COHEN, JOEL	WILLISTON BASIN/MID- NORTH AMERICA	0.00	11.01	0.00	11.01	0.0	0.00
07/11/2017	MCDONALD, MARY	WILLISTON BASIN/MID- NORTH AMERICA	0.00	5.68	0.00	5.68	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$84.47	\$0.00	\$84.47		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$134,694.23	\$1,017.73	\$118.45	\$899.28		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$5,859.48
Year-to-date Earnings	\$0.00

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