

**COMMISSION STATEMENT****October 15, 2017**KEITH LAYMAN
4321 FlagstaffBROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/03/2017	ORT, LEON	JEFFERSON NATIONAL	88,571.78	332.14	0.00	332.14	90.0	298.93
10/06/2017	GUNSOLLEY, MARY	JEFFERSON NATIONAL	44,127.72	165.48	0.00	165.48	90.0	148.93
10/06/2017	DILLIE, MAXIE	JEFFERSON NATIONAL	43,482.93	163.06	0.00	163.06	90.0	146.75
10/04/2017	WILLING, PATRICIA	PERSHING	0.00	924.01	0.00	924.01	90.0	831.61
10/04/2017	JONES, TIM	PERSHING	0.00	243.67	0.00	243.67	90.0	219.30
10/04/2017	SIEBER, AARON	PERSHING	0.00	631.68	0.00	631.68	90.0	568.51
10/04/2017	JOUPPI, SHARON	PERSHING	0.00	946.06	0.00	946.06	90.0	851.45
10/04/2017	DAILEY, RITA	PERSHING	0.00	1,134.10	0.00	1,134.10	90.0	1,020.69
10/04/2017	GRIME, MARK	PERSHING	0.00	304.23	0.00	304.23	90.0	273.81
10/04/2017	KLITZMAN, RANDALL	PERSHING	0.00	244.07	0.00	244.07	90.0	219.66
10/04/2017	KLITZMAN, MARY	PERSHING	0.00	820.44	0.00	820.44	90.0	738.40
10/04/2017	FEDLER, CHARLES	PERSHING	0.00	716.27	0.00	716.27	90.0	644.64
10/04/2017	DODGE, CAROLYN	PERSHING	0.00	711.47	0.00	711.47	90.0	640.32
10/04/2017	TROUT, CHARLOTTE	PERSHING	0.00	88.70	0.00	88.70	90.0	79.83
10/04/2017	OAR, RITA	PERSHING	0.00	172.27	0.00	172.27	90.0	155.04
10/04/2017	SAHARIAN, CAROLYN	PERSHING	0.00	213.56	0.00	213.56	90.0	192.20
10/04/2017	SHERBURNE, STEVEN	PERSHING	0.00	862.03	0.00	862.03	90.0	775.83
10/04/2017	ZINN, ROBERT	PERSHING	0.00	528.38	0.00	528.38	90.0	475.54
10/04/2017	JAMSCO INC	PERSHING	0.00	422.90	0.00	422.90	90.0	380.61
10/04/2017	BERBER, CATHY	PERSHING	0.00	1,115.37	0.00	1,115.37	90.0	1,003.83
10/04/2017	PEPPLE, GLEN	PERSHING	0.00	168.71	0.00	168.71	90.0	151.84
10/04/2017	RICHEY, BECKY	PERSHING	0.00	68.29	0.00	68.29	90.0	61.46
10/04/2017	ASHBAUGH, JOHN	PERSHING	0.00	342.35	0.00	342.35	90.0	308.12
10/04/2017	ZEEDYK, JANET	PERSHING	0.00	301.68	0.00	301.68	90.0	271.51
10/04/2017	ASHBAUGH, ALAN	PERSHING	0.00	344.43	0.00	344.43	90.0	309.99
10/04/2017	WILCOX, TIMOTHY	PERSHING	0.00	273.11	0.00	273.11	90.0	245.80

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/04/2017	NICOLET, CHERYL	PERSHING	0.00	1,176.90	0.00	1,176.90	90.0	1,059.21
10/04/2017	LOVETT, DAVID	PERSHING	0.00	2.59	0.00	2.59	90.0	2.33
10/04/2017	RUSK, JULIA	PERSHING	0.00	269.18	0.00	269.18	90.0	242.26
10/04/2017	GALENTINE, JOSHUA	PERSHING	0.00	247.04	0.00	247.04	90.0	222.34
10/04/2017	BRZYCKI, MARTIN	PERSHING	0.00	512.58	0.00	512.58	90.0	461.32
10/04/2017	BULLER, DOUG	PERSHING	0.00	44.65	0.00	44.65	90.0	40.19
10/04/2017	GUZIK, ROBERT	PERSHING	0.00	471.33	0.00	471.33	90.0	424.20
10/04/2017	KLOC, CLIFFORD	PERSHING	0.00	1,212.72	0.00	1,212.72	90.0	1,091.45
10/04/2017	DEGLER, DONNA	PERSHING	0.00	249.30	0.00	249.30	90.0	224.37
10/04/2017	HARDESTY, MARGARET	PERSHING	0.00	541.71	0.00	541.71	90.0	487.54
10/04/2017	HARDESTY, MARGARET	PERSHING	0.00	62.42	0.00	62.42	90.0	56.18
10/04/2017	HUESMAN, PATRICIA	PERSHING	0.00	1,097.43	0.00	1,097.43	90.0	987.69
10/04/2017	LIPSCOMB, SAMUEL	PERSHING	0.00	0.63	0.00	0.63	90.0	0.57
10/04/2017	DELANEY, DIANA	PERSHING	0.00	102.47	0.00	102.47	90.0	92.22
10/04/2017	DELANEY, MATTHEW	PERSHING	0.00	115.19	0.00	115.19	90.0	103.67
10/04/2017	KAUZLICK, MICHAEL	PERSHING	0.00	541.68	0.00	541.68	90.0	487.51
10/04/2017	ORT, LEON	PERSHING	0.00	374.09	0.00	374.09	90.0	336.68
10/04/2017	BUNN, LINDA	PERSHING	0.00	432.89	0.00	432.89	90.0	389.60
10/04/2017	HAHAJ, JUDITH	PERSHING	0.00	126.49	0.00	126.49	90.0	113.84
10/04/2017	MARCH, MELODY	PERSHING	0.00	507.35	0.00	507.35	90.0	456.62
10/04/2017	CLARK, KATHLEEN	PERSHING	0.00	97.51	0.00	97.51	90.0	87.76
10/04/2017	GRIME, LAURA	PERSHING	0.00	208.59	0.00	208.59	90.0	187.73
10/04/2017	VIELKIND, LAWRENCE	PERSHING	0.00	1,229.69	0.00	1,229.69	90.0	1,106.72
10/04/2017	NOLL, PRISCILLA	PERSHING	0.00	1,464.78	0.00	1,464.78	90.0	1,318.30
10/04/2017	KAUZLICK, SHAWN	PERSHING	0.00	208.40	0.00	208.40	90.0	187.56
10/04/2017	KLITZMAN, RANDALL	PERSHING	0.00	393.80	0.00	393.80	90.0	354.42
10/04/2017	LEWIS, FRANCES	PERSHING	0.00	49.15	0.00	49.15	90.0	44.24
10/04/2017	RICHEY, BECKY	PERSHING	0.00	1,674.51	0.00	1,674.51	90.0	1,507.06
10/04/2017	COMMUNITY GRACE	PERSHING	0.00	338.14	0.00	338.14	90.0	304.33
10/04/2017	KAUFFMAN, JOHN	PERSHING	0.00	275.54	0.00	275.54	90.0	247.99
10/04/2017	ZAMZOW, RONALD	PERSHING	0.00	103.96	0.00	103.96	90.0	93.56
10/04/2017	ZAMZOW, CARRIE	PERSHING	0.00	116.92	0.00	116.92	90.0	105.23
10/04/2017	TUTTLE, THOMAS	PERSHING	0.00	389.08	0.00	389.08	90.0	350.17

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/04/2017	TILFORD, DARRELL	PERSHING	0.00	272.56	0.00	272.56	90.0	245.30
10/04/2017	TILFORD, DARRELL	PERSHING	0.00	637.64	0.00	637.64	90.0	573.88
10/04/2017	DRUHOT, SCOTT	PERSHING	0.00	373.29	0.00	373.29	90.0	335.96
10/04/2017	WEIDES, LISA	PERSHING	0.00	572.79	0.00	572.79	90.0	515.51
10/04/2017	IGNEY, SHELLEY	PERSHING	0.00	454.52	0.00	454.52	90.0	409.07
10/04/2017	BERNDT, BARBARA	PERSHING	0.00	158.43	0.00	158.43	90.0	142.59
10/04/2017	HOUSER, DANIEL	PERSHING	0.00	118.54	0.00	118.54	90.0	106.69
10/04/2017	ISLEY, TERRY	PERSHING	0.00	128.18	0.00	128.18	90.0	115.36
10/04/2017	AMBRIOLE, WESLEY	PERSHING	0.00	559.21	0.00	559.21	90.0	503.29
10/04/2017	REED, VICTORIA	PERSHING	0.00	839.32	0.00	839.32	90.0	755.39
10/04/2017	NOLL, PRISCILLA	PERSHING	0.00	58.43	0.00	58.43	90.0	52.59
10/04/2017	THORNTON, PAUL	PERSHING	0.00	1,058.44	0.00	1,058.44	90.0	952.60
10/04/2017	KING, PATRICIA	PERSHING	0.00	190.28	0.00	190.28	90.0	171.25
10/04/2017	KING, PATRICIA	PERSHING	0.00	342.36	0.00	342.36	90.0	308.12
10/04/2017	VIELKIND, LAWRENCE	PERSHING	0.00	216.84	0.00	216.84	90.0	195.16
10/04/2017	LIPSCOMB, JOAN	PERSHING	0.00	228.98	0.00	228.98	90.0	206.08
10/04/2017	LIPSCOMB, SAMUEL	PERSHING	0.00	229.65	0.00	229.65	90.0	206.69
10/04/2017	LALLO, GARY	PERSHING	0.00	874.57	0.00	874.57	90.0	787.11
10/04/2017	THORNTON, PAUL	PERSHING	0.00	750.36	0.00	750.36	90.0	675.32
10/04/2017	SHERBURNE, STEVEN	PERSHING	0.00	525.46	0.00	525.46	90.0	472.91
* RIA SUBTOTAL *			\$176,182.43	\$35,467.02	\$0.00	\$35,467.02		\$31,920.33
*** BROKER TRANSACTIONS TOTAL ***			\$176,182.43	\$35,467.02	\$0.00	\$35,467.02		\$31,920.33

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

10/04/2017	HAMM, GAIL	PERSHING	0.00	222.17	0.00	222.17	90.0	199.95
10/04/2017	HAMM, GAIL	PERSHING	0.00	133.03	0.00	133.03	90.0	119.73
10/04/2017	HAMM, GAIL	PERSHING	0.00	155.30	0.00	155.30	90.0	139.77
10/04/2017	EISERLE, DAVID	PERSHING	0.00	23.57	0.00	23.57	90.0	21.21
10/04/2017	NOVELL, DEANA	PERSHING	0.00	139.30	0.00	139.30	90.0	125.37
10/04/2017	GROTE, DONALD	PERSHING	0.00	147.32	0.00	147.32	90.0	132.59
10/04/2017	GROTE, DONALD	PERSHING	0.00	40.35	0.00	40.35	90.0	36.32

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
10/04/2017	CHIDDISTER, LISA	PERSHING	0.00	0.13	0.00	0.13	90.0	0.12
10/04/2017	KAISER, ROBIN	PERSHING	0.00	62.58	0.00	62.58	90.0	56.32
10/04/2017	KAISER, ROBERT	PERSHING	0.00	20.68	0.00	20.68	90.0	18.61
10/04/2017	GAY, RICHARD	PERSHING	0.00	30.99	0.00	30.99	90.0	27.89
10/04/2017	SORG, RICHARD	PERSHING	0.00	72.41	0.00	72.41	90.0	65.17
10/04/2017	BURTNETTE, PATRICIA	PERSHING	0.00	362.24	0.00	362.24	90.0	326.02
10/04/2017	BAILEY, LAURIE	PERSHING	0.00	60.30	0.00	60.30	90.0	54.27
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$0.00	\$1,470.37	\$0.00	\$1,470.37		\$1,323.34
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$1,470.37	\$0.00	\$1,470.37		\$1,323.34

ADJUSTMENTS

10/15/2017	*FINRA: NJ RA REG. FEE	0.00						-50.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$-50.00

BROKER COMMISSION TOTALS

Broker Transactions	\$31,920.33
Split Transactions	\$1,323.34
Override Transactions	\$0.00
Adjustments	\$-50.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$33,193.67
Year-to-date Gross	\$300,147.30
Year-to-date Earnings	\$270,309.22

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