



Concorde Investment Services, LLC

05/25/2017
Page No. 1

COMMISSION STATEMENT

May 31, 2017

ALAN LICHTENSTEIN
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| 05/19/2017 | WITKIN, CAROL ANN | CENTURYLINK INC COM | -6,898.04 | 0.00 | 22.50 | -22.50 | 75.0 | -16.88 |
| 05/19/2017 | WITKIN, CAROL ANN | GENERAL MTRS CO COM | -3,845.02 | 0.00 | 22.50 | -22.50 | 75.0 | -16.88 |
| 05/19/2017 | WITKIN, CAROL ANN | INTEL CORP COM | -355.12 | 0.00 | 22.50 | -22.50 | 75.0 | -16.88 |
| * STOCKS SUBTOTAL * | | | \$-11,098.18 | \$0.00 | \$67.50 | \$-67.50 | | \$-50.64 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 04/18/2017 | RUSSO REV TR, EUGENIE | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 4.18 | 0.00 | 4.18 | 75.0 | 3.14 |
| 04/27/2017 | RUSSO REV TR, EUGENIE | BLK HIGH YIELD BOND A | 0.00 | 3.13 | 0.00 | 3.13 | 75.0 | 2.35 |
| 04/20/2017 | WITKIN, CAROL ANN | FRANKLIN INCOME A | 0.00 | 0.97 | 0.00 | 0.97 | 75.0 | 0.73 |
| 04/13/2017 | RUSSO REV TR, EUGENIE | LOOMIS SAYLES STRATEGIC INCOME FUND | 0.00 | 11.25 | 0.00 | 11.25 | 75.0 | 8.44 |
| 04/18/2017 | RUSSO REV TR, EUGENIE | PRINCIPAL FUNDS GLOBAL DIVERSIFIED | 0.00 | 2.08 | 0.00 | 2.08 | 75.0 | 1.56 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$21.61 | \$0.00 | \$21.61 | | \$16.22 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$-11,098.18 | \$21.61 | \$67.50 | \$-45.89 | | \$-34.42 |
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 05/31/2017 | | *MISC: 5/15 OVERNIGHT FEE | 0.00 | | | | | -13.33 |
| 05/31/2017 | | *PERSHING: MARK UPS | 0.00 | | | | | 90.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$76.67 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$-34.42 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$76.67 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|--------------------|
| Balance Forward | \$42.25 |
| Year-to-date Gross | \$16,696.61 |
| Year-to-date Earnings | \$18,271.93 |