

COMMISSION STATEMENT

June 15, 2018

DALE HESTER-D346

BROKER #: D346

BRANCH: DMN

, TX

COMMISSION STATEMENT for DALE HESTER-D346

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/15/2018 (None)	ARMSTRONG	MF HARTFORD SMART	B	500.00	12.50	0.00	12.50	90.0	11.25
05/15/2018 (None)	ARMSTRONG	MF HARTFORD SMART	B	500.00	12.50	0.00	12.50	90.0	11.25
05/15/2018 (None)	HAILEY	MF HARTFORD SMART	B	50.00	1.25	0.00	1.25	90.0	1.13
06/04/2018 00777153252	TERRY, SHANE	MF INVESCO BALANCED-RISK	B	450.00	4.50	0.00	4.50	90.0	4.05
06/04/2018 00777153252	TERRY, SHANE	MF INVESCO BALANCED-RISK	B	270.00	2.70	0.00	2.70	90.0	2.43
06/04/2018 00777153254	FISHER, JENNIFER	MF INVESCO BALANCED-RISK	B	89.16	0.89	0.00	0.89	90.0	0.80
06/04/2018 00777153254	FISHER, JENNIFER	MF INVESCO BALANCED-RISK	B	89.16	0.89	0.00	0.89	90.0	0.80
06/04/2018 00777153255	TERRY, MELINDA	MF INVESCO BALANCED-RISK	B	25.00	0.25	0.00	0.25	90.0	0.23
06/04/2018 00777153255	TERRY, MELINDA	MF INVESCO BALANCED-RISK	B	15.00	0.15	0.00	0.15	90.0	0.14
* MUTUAL FUNDS SUBTOTAL *				\$1,988.32	\$35.63	\$0.00	\$35.63		\$32.08
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/31/2018 (None) 3360098133		MFT DREYFUS 12B-1	B	50,495.50	10.72	0.00	10.72	90.0	9.65
06/01/2018 00058241136	HAWKINS, DOROTHY	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2018 00069209726	DEKKERS, CARRI	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	44.84	0.00	44.84	90.0	40.36
06/01/2018 04000837225	HICKMAN, JULIE	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.82	0.00	2.82	90.0	2.54
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$50,495.50	\$58.57	\$0.00	\$58.57		\$52.72
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
05/26/2018 (None) PRATUMRAT, PAIROJ		VAT AMERICAN GENERAL	B	0.00	1,658.61	0.00	1,658.61	90.0	1,492.75
05/26/2018 (None) KOTLARZ, CHUCK		VAT AMERICAN GENERAL	B	0.00	180.89	0.00	180.89	90.0	162.80
05/28/2018 (None) LEE, JOE		VAT AMERICAN GENERAL	B	0.00	0.23	0.00	0.23	90.0	0.21
05/30/2018 (None) OXFORD, PAT		VAT AMERICAN GENERAL	B	0.00	1,253.57	0.00	1,253.57	90.0	1,128.21
05/30/2018 (None) SOAP, WALTER		VAT DELAWARE LIFE	B	93,284.42	233.21	0.00	233.21	90.0	209.89
06/01/2018 (None) MACY, DANIEL		VAT AMERICAN GENERAL	B	0.00	2.49	0.00	2.49	90.0	2.24
06/02/2018 (None) HOFFMAN, JAMES		VAT AMERICAN GENERAL	B	0.00	3.67	0.00	3.67	90.0	3.30
06/02/2018 (None) KOTLARZ, PATRICIA		VAT AMERICAN GENERAL	B	0.00	210.73	0.00	210.73	90.0	189.66
06/08/2018 (None) DAVIS, ELTON		VAT AMERICAN GENERAL	B	0.00	8.61	0.00	8.61	90.0	7.75
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$93,284.42	\$3,552.01	\$0.00	\$3,552.01		\$3,196.81
*** DIRECT TRANSACTIONS TOTAL ***				\$145,768.24	\$3,646.21	\$0.00	\$3,646.21		\$3,281.61

BROKER COMMISSION TOTALS

Broker Transactions	\$3,281.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,281.61
Year-to-date Commissions	\$83,235.07