

COMMISSION STATEMENT

May 31, 2018

BARRY FORST
122 BROOKLYN AVEBROKER #: P71 P72
BRANCH: HOME OFFICE

LAVALLETTE, NJ 07921

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

05/02/2018	QGV001100	AND EDWARD FRENCH	NOVOCURE LIMITED SHS ISIN#JE00BYSS4 B	13,857.50	73.00	0.00	73.00	40.0	29.20
05/02/2018	QGV001100	AND EDWARD FRENCH	TELADOC INC COM B	8,850.00	73.00	0.00	73.00	40.0	29.20
05/04/2018	QGV001100	AND EDWARD FRENCH	SALESFORCE.COM INC B	12,558.22	73.00	0.00	73.00	40.0	29.20
05/07/2018	QGV001100	AND EDWARD FRENCH	FLOOR & DECOR HLDGS INC COM CL A S	-8,878.20	73.00	0.00	73.00	40.0	29.20
05/08/2018	QGV001100	AND EDWARD FRENCH	NOVOCURE LIMITED SHS ISIN#JE00BYSS4 B	8,460.00	73.00	0.00	73.00	40.0	29.20
05/08/2018	QGV001100	AND EDWARD FRENCH	SQUARE INC CLASS A COM B	10,585.36	73.00	0.00	73.00	40.0	29.20
05/08/2018	QGV001100	AND EDWARD FRENCH	TG THERAPEUTICS INC COM S	-9,640.00	73.00	0.00	73.00	40.0	29.20
05/10/2018	QGV001100	AND EDWARD FRENCH	IQIYI INC SPONS ADR ISIN#US46267X10 B	10,123.10	73.00	0.00	73.00	40.0	29.20
05/11/2018	QGV001100	AND EDWARD FRENCH	HERON THERAPEUTICS INC COM S	-9,110.01	73.00	0.00	73.00	40.0	29.20
05/11/2018	QGV001100	AND EDWARD FRENCH	TELADOC INC COM B	9,580.00	73.00	0.00	73.00	40.0	29.20
05/16/2018	QGV001100	AND EDWARD FRENCH	HEMACARE CORP COM S	-6,100.00	73.00	0.00	73.00	40.0	29.20
05/16/2018	QGV001100	AND EDWARD FRENCH	THESCORE INC CL A SUB VTG SHS B	1,032.00	73.00	0.00	73.00	40.0	29.20
05/21/2018	QGV001100	AND EDWARD FRENCH	PROOFPOINT INC S	-12,102.20	73.00	0.00	73.00	40.0	29.20
05/21/2018	QGV001100	AND EDWARD FRENCH	SCIENTIFIC GAMES CORP COM B	12,377.00	73.00	0.00	73.00	40.0	29.20
05/24/2018	QGV001100	AND EDWARD FRENCH	CANNAROYALTY CORP COM ISIN# CA13764 S	-4,110.80	73.00	0.00	73.00	40.0	29.20
05/24/2018	QGV001100	AND EDWARD FRENCH	EMERALD HEALTH THERAPEUTICS INC COM S	-3,320.00	73.00	0.00	73.00	40.0	29.20
05/07/2018	QGV002439	NA, CITIBANK	CVS HEALTH CORPORATION B	543,729.12	261.00	0.00	261.00	40.0	104.40
05/09/2018	QGW002404	MAHARISHI UNIVERSITY	ABBVIE INC S	-20,231.60	75.00	0.00	75.00	40.0	30.00
05/14/2018	QGW002636	XAVERIAN HIGH SCHOOL	SYMANTEC CORPORATION S	-1,070.05	75.00	0.00	75.00	40.0	30.00
05/24/2018	QGW002701	HIDEAWAY FARM	DIREXION SHS ETF TR DAILY 20+ YR TR S	-27,223.75	75.00	0.00	75.00	40.0	30.00
05/03/2018	QGW002925	BATHRICK, CHARLES	WHIRLPOOL CORP B	14,998.50	75.00	0.00	75.00	40.0	30.00
05/04/2018	QGW002925	BATHRICK, CHARLES	ABBVIE INC B	9,821.88	75.00	0.00	75.00	40.0	30.00
05/04/2018	QGW002925	BATHRICK, CHARLES	BANK OF AMERICA CORP S	-11,665.56	75.00	0.00	75.00	40.0	30.00
05/04/2018	QGW002925	BATHRICK, CHARLES	CSX CORPORATION COM S	-17,799.60	75.00	0.00	75.00	40.0	30.00
05/04/2018	QGW002925	BATHRICK, CHARLES	HEWLETT PACKARD ENTERPRISE COMPANY S	-5,094.78	75.00	0.00	75.00	40.0	30.00
05/07/2018	QGW002925	BATHRICK, CHARLES	NANOSTRING TECHNOLOGIES INC S	-4,990.50	75.00	0.00	75.00	40.0	30.00
05/11/2018	QGW002925	BATHRICK, CHARLES	3-D SYSTEMS CORP-DEL B	6,010.00	75.00	0.00	75.00	40.0	30.00
05/18/2018	QGW002925	BATHRICK, CHARLES	CENTENNIAL RESOURCE DEV INC CL A CO B	5,864.55	75.00	0.00	75.00	40.0	30.00
05/22/2018	QGW002925	BATHRICK, CHARLES	3-D SYSTEMS CORP-DEL B	6,243.10	75.00	0.00	75.00	40.0	30.00
05/22/2018	QGW002925	BATHRICK, CHARLES	UNION PACIFIC CORP B	14,366.83	75.00	0.00	75.00	40.0	30.00
05/23/2018	QGW002925	BATHRICK, CHARLES	AURINIA PHARMACEUTICALS INC COM ISI B	2,924.95	75.00	0.00	75.00	40.0	30.00

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/23/2018	QGW002925	BATHRICK, CHARLES							
		CENTENNIAL RESOURCE DEV INC CL A CO B		3,863.24	75.00	0.00	75.00	40.0	30.00
05/23/2018	QGW002925	BATHRICK, CHARLES							
		CIENA CORPORATION	B	4,895.00	75.00	0.00	75.00	40.0	30.00
04/30/2018	QGW002974	CAIN, ANTHONY							
		ANADARKO PETROLEUM CORP	B	135,113.80	350.00	0.00	350.00	40.0	140.00
04/30/2018	QGW002974	CAIN, ANTHONY							
		PROSHARES TRUST PROSHARES ULTRASHOR B		39,677.00	150.00	0.00	150.00	40.0	60.00
05/02/2018	QGW002974	CAIN, ANTHONY							
		PROSHARES TRUST PROSHARES ULTRASHOR S		-161,128.80	400.00	0.00	400.00	40.0	160.00
05/02/2018	QGW002974	CAIN, ANTHONY							
		WHIRLPOOL CORP	B	15,394.90	100.00	0.00	100.00	40.0	40.00
05/02/2018	QGW002974	CAIN, ANTHONY							
		WHIRLPOOL CORP	B	-15,394.90	-100.00	0.00	-100.00	40.0	-40.00 C
05/02/2018	QGW002974	CAIN, ANTHONY							
		WHIRLPOOL CORP	B	15,394.90	100.00	0.00	100.00	40.0	40.00
05/03/2018	QGW002974	CAIN, ANTHONY							
		U S SILICA HOLDINGS INC	B	30,302.00	150.00	0.00	150.00	40.0	60.00
05/03/2018	QGW002974	CAIN, ANTHONY							
		WILDHORSE RESOURCE DEV CORP COM	B	25,612.00	150.00	0.00	150.00	40.0	60.00
05/07/2018	QGW002974	CAIN, ANTHONY							
		CENTENNIAL RESOURCE DEV INC CL A CO B		19,009.90	150.00	0.00	150.00	40.0	60.00
05/07/2018	QGW002974	CAIN, ANTHONY							
		WILDHORSE RESOURCE DEV CORP COM	S	-27,051.00	150.00	0.00	150.00	40.0	60.00
05/08/2018	QGW002974	CAIN, ANTHONY							
		WILDHORSE RESOURCE DEV CORP COM	B	52,605.00	250.00	0.00	250.00	40.0	100.00
05/09/2018	QGW002974	CAIN, ANTHONY							
		CENTENNIAL RESOURCE DEV INC CL A CO S		-20,446.40	200.00	0.00	200.00	40.0	80.00
05/09/2018	QGW002974	CAIN, ANTHONY							
		U S SILICA HOLDINGS INC	S	-32,716.80	200.00	0.00	200.00	40.0	80.00
05/09/2018	QGW002974	CAIN, ANTHONY							
		WEIBO CORP SPONS ADR ISIN#US9485961 B		111,336.60	250.00	0.00	250.00	40.0	100.00
05/09/2018	QGW002974	CAIN, ANTHONY							
		WEIBO CORP SPONS ADR ISIN#US9485961 S		-112,260.10	200.00	0.00	200.00	40.0	80.00
05/09/2018	QGW002974	CAIN, ANTHONY							
		WEIBO CORP SPONS ADR ISIN#US9485961 B		110,011.60	250.00	0.00	250.00	40.0	100.00
05/09/2018	QGW002974	CAIN, ANTHONY							
		WILDHORSE RESOURCE DEV CORP COM	S	-55,825.20	250.00	0.00	250.00	40.0	100.00
05/10/2018	QGW002974	CAIN, ANTHONY							
		FRANCO-NEVADA CORPORATION	B	73,604.80	200.00	0.00	200.00	40.0	80.00
05/10/2018	QGW002974	CAIN, ANTHONY							
		WEIBO CORP SPONS ADR ISIN#US9485961 S		-112,825.00	250.00	0.00	250.00	40.0	100.00
05/10/2018	QGW002974	CAIN, ANTHONY							
		WILDHORSE RESOURCE DEV CORP COM	B	26,537.20	150.00	0.00	150.00	40.0	60.00
05/11/2018	QGW002974	CAIN, ANTHONY							
		CANOPY GROWTH CORP COM ISIN# CA1380 B		23,681.90	150.00	0.00	150.00	40.0	60.00
05/11/2018	QGW002974	CAIN, ANTHONY							
		WEIBO CORP SPONS ADR ISIN#US9485961 B		108,694.90	250.00	0.00	250.00	40.0	100.00
05/14/2018	QGW002974	CAIN, ANTHONY							
		CANOPY GROWTH CORP COM ISIN# CA1380 S		-25,637.10	150.00	0.00	150.00	40.0	60.00
05/14/2018	QGW002974	CAIN, ANTHONY							
		ENCANA CORP	B	13,337.00	150.00	0.00	150.00	40.0	60.00
05/14/2018	QGW002974	CAIN, ANTHONY							
		WEIBO CORP SPONS ADR ISIN#US9485961 S		-108,205.00	200.00	0.00	200.00	40.0	80.00
05/15/2018	QGW002974	CAIN, ANTHONY							
		CENTENNIAL RESOURCE DEV INC CL A CO B		39,258.40	250.00	0.00	250.00	40.0	100.00
05/15/2018	QGW002974	CAIN, ANTHONY							
		OCCIDENTAL PETE CORP	B	84,200.00	250.00	0.00	250.00	40.0	100.00
05/15/2018	QGW002974	CAIN, ANTHONY							
		WILDHORSE RESOURCE DEV CORP COM	B	24,733.00	150.00	0.00	150.00	40.0	60.00
05/17/2018	QGW002974	CAIN, ANTHONY							
		ANGLOGOLD ASHANTI LTD (NEW) SPONSOR S		-16,465.00	200.00	0.00	200.00	40.0	80.00
05/17/2018	QGW002974	CAIN, ANTHONY							
		FLOTEK INDS INC DEL COM	B	3,646.50	100.00	0.00	100.00	40.0	40.00
05/17/2018	QGW002974	CAIN, ANTHONY							
		FRANCO-NEVADA CORPORATION	S	-70,508.00	150.00	0.00	150.00	40.0	60.00
05/17/2018	QGW002974	CAIN, ANTHONY							
		VANECK VECTORS ETF TR GOLD MINERS E S		-22,154.00	150.00	0.00	150.00	40.0	60.00
05/18/2018	QGW002974	CAIN, ANTHONY							
		CANOPY GROWTH CORP COM ISIN# CA1380 B		26,700.00	150.00	0.00	150.00	40.0	60.00
05/18/2018	QGW002974	CAIN, ANTHONY							
		OCCIDENTAL PETE CORP	B	85,759.90	250.00	0.00	250.00	40.0	100.00
05/18/2018	QGW002974	CAIN, ANTHONY							
		U S SILICA HOLDINGS INC	B	33,098.70	150.00	0.00	150.00	40.0	60.00
05/21/2018	QGW002974	CAIN, ANTHONY							
		CANOPY GROWTH CORP COM ISIN# CA1380 S		-30,653.60	200.00	0.00	200.00	40.0	80.00
05/22/2018	QGW002974	CAIN, ANTHONY							
		RANGE RES CORP COM	B	16,287.60	150.00	0.00	150.00	40.0	60.00
05/22/2018	QGW002974	CAIN, ANTHONY							
		WILDHORSE RESOURCE DEV CORP COM	S	-27,026.80	150.00	0.00	150.00	40.0	60.00

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/23/2018	QGW002974	CAIN, ANTHONY		WHITING PETROLEUM CORPORATION COMMO B	35,121.31	150.00	0.00	150.00	40.0 60.00
05/29/2018	QGW002974	CAIN, ANTHONY		ORGANOVO HOLDINGS INC S	-5,362.80	200.00	0.00	200.00	40.0 80.00
05/30/2018	QGW003378	PUMA, JOHN		CANADIAN DOLLAR CURRENCY S	294,678.54	229.87	0.00	229.87	40.0 91.95
05/09/2018	QGW003568	SZTELLE, MATTHEW		TANZANIAN ROYALTY EXPLORATION CORPO B	3,838.03	75.00	0.00	75.00	40.0 30.00
05/02/2018	QGW004392	VALENTINE, OLIVIA		WHIRLPOOL CORP B	15,384.40	100.00	0.00	100.00	40.0 40.00
05/02/2018	QGW004392	VALENTINE, OLIVIA		WHIRLPOOL CORP B	-15,384.40	-100.00	0.00	-100.00	40.0 -40.00 C
05/02/2018	QGW004392	VALENTINE, OLIVIA		WHIRLPOOL CORP B	15,384.40	100.00	0.00	100.00	40.0 40.00
05/10/2018	QGW004392	VALENTINE, OLIVIA		LOCKHEED MARTIN CORP B	163,790.10	250.00	0.00	250.00	40.0 100.00
05/16/2018	QGW004392	VALENTINE, OLIVIA		OCCIDENTAL PETE CORP B	84,225.00	250.00	0.00	250.00	40.0 100.00
05/22/2018	QGW004392	VALENTINE, OLIVIA		LOCKHEED MARTIN CORP S	-158,983.75	200.00	0.00	200.00	40.0 80.00
05/22/2018	QGW004392	VALENTINE, OLIVIA		MICROSOFT CORP B	48,698.30	150.00	0.00	150.00	40.0 60.00
05/18/2018	QGW004665	JOSEPH FRY		FIRST COBALT CORP SHS ISIN#CA319702 B	12,000.00	150.00	0.00	150.00	40.0 60.00
05/25/2018	QGW008468	DRUERY, MARIE		AT&T INC B	20,028.21	250.00	0.00	250.00	40.0 100.00
05/25/2018	QGW008468	DRUERY, MARIE		UNITED TECHNOLOGIES CORP S	-13,336.87	250.00	0.00	250.00	40.0 100.00
05/02/2018	QGW014458	B, MICHELLE		ABBVIE INC B	5,728.92	100.00	0.00	100.00	40.0 40.00
05/02/2018	QGW014458	B, MICHELLE		WHIRLPOOL CORP B	10,091.25	100.00	0.00	100.00	40.0 40.00
05/03/2018	QGW021990	STEINMETZ, GEORGE		SELECT SECTOR SPDR TRUST SHS BEN IN S	-29,109.28	60.00	0.00	60.00	40.0 24.00
05/07/2018	QGW021990	STEINMETZ, GEORGE		CVS HEALTH CORPORATION B	18,749.28	60.00	0.00	60.00	40.0 24.00
05/09/2018	QGW021990	STEINMETZ, GEORGE		SELECT SECTOR SPDR TRUST THE FINANC B	56,011.00	60.00	0.00	60.00	40.0 24.00
05/11/2018	QGW021990	STEINMETZ, GEORGE		CELGENE CORP B	16,939.80	60.00	0.00	60.00	40.0 24.00
05/17/2018	QGW021990	STEINMETZ, GEORGE		CELGENE CORP S	-16,028.34	60.00	0.00	60.00	40.0 24.00
05/21/2018	QGW021990	STEINMETZ, GEORGE		PROSHARES ULTRA S&P500 ETF B	45,262.00	60.00	0.00	60.00	40.0 24.00
05/29/2018	QGW021990	STEINMETZ, GEORGE		BROADCOM INC COMMON STOCK S	-24,971.50	60.00	0.00	60.00	40.0 24.00
05/29/2018	QGW021990	STEINMETZ, GEORGE		PROSHARES ULTRA S&P500 ETF S	-43,241.12	60.00	0.00	60.00	40.0 24.00
05/14/2018	QGW029290	BAUMHARDT, DANECA		FIRST MAJESTIC SILVER CORP S	-14,545.60	75.00	0.00	75.00	40.0 30.00
05/22/2018	QGW029290	BAUMHARDT, DANECA		IMPALA PLTUM HLD LTD SPONSORED ADR B	3,360.00	75.00	0.00	75.00	40.0 30.00
05/25/2018	QGW029290	BAUMHARDT, DANECA		IMPALA PLTUM HLD LTD SPONSORED ADR B	3,180.00	75.00	0.00	75.00	40.0 30.00
				* STOCKS SUBTOTAL *	\$1,366,010.08	\$12,788.87	\$0.00	\$12,788.87	\$5,115.55
<u>PRODUCT CATEGORY: OPTIONS</u>									
05/07/2018	QGW002180	FRENCH, JOHN		CALL 100 SALESFORCE.COM INC COM STO B	1,182.99	73.00	0.00	73.00	40.0 29.20
				* OPTIONS SUBTOTAL *	\$1,182.99	\$73.00	\$0.00	\$73.00	\$29.20
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/31/2018				FEE COUNT - 4	0.00	14.31	0.00	14.31	40.0 5.73
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$14.31	\$0.00	\$14.31	\$5.73
				*** BROKER TRANSACTIONS TOTAL ***	\$1,367,193.07	\$12,876.18	\$0.00	\$12,876.18	\$5,150.48

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

05/31/2018		COMMISSION INCOME: QGW014458 WHR &		0.00	0.00	0.00	0.00		-40.00
05/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-60.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,150.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-60.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.10
SIPC Assessment	\$-32.19

Check Amount	\$5,042.19
Year-to-date Earnings	\$33,159.99