



COMMISSION STATEMENT

March 12, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2018	***-**-31RI - BRUNO, CAROL	PUTNAM EQUITY INCOME FUND-A	100.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 00551361268	BUY 4 Units @25.7400						
		* MUTUAL FUNDS SUBTOTAL *	\$100.00	\$5.00	\$0.00	\$5.00		\$3.50
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
03/12/2018	***-**-76IN - FENGLER, KERI	SBL TRAILS	0.00	10.88	0.00	10.88	70.0	7.62
	ACCOUNT #: 46762776	BUY 1 Units @0.0000						
		* VARIABLE UNIVERSAL LIFE SUBTOTAL *	\$0.00	\$10.88	\$0.00	\$10.88		\$7.62
<u>PRODUCT CATEGORY: 529 PLAN</u>								
03/12/2018	***-**-5134 - KOONTZ, JOSEPH	CHET 529	50.00	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: 68455548301	BUY 0 Units @0.0000						
03/12/2018	***-**-26IN - SANTOS, FATIMA	CTA AGGR GROWTH PORT A	150.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 68526609201	BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$200.00	\$5.50	\$0.00	\$5.50		\$3.85
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	***-**-495P - TALLO, ROSE	CAPITAL INCOME BUILDER-529A	0.00	0.45	0.00	0.45	70.0	0.32
	ACCOUNT #: 00083957499	BUY 0 Units @0.0000						
03/01/2018	***-**-495P - TALLO, ROSE	CAPITAL INCOME BUILDER-529A	0.00	0.56	0.00	0.56	70.0	0.39
	ACCOUNT #: 00085732525	BUY 0 Units @0.0000						
03/01/2018	***-**-495P - TALLO, ROSE	CAPITAL INCOME BUILDER-529C	0.00	2.25	0.00	2.25	70.0	1.58
	ACCOUNT #: 00083957499	BUY 0 Units @0.0000						
03/12/2018	***-**-26IN - SANTOS, FATIMA	CHET ADVISOR 529 TRAILS	0.00	3.34	0.00	3.34	70.0	2.34
	ACCOUNT #: 68526609201	BUY 0 Units @0.0000						
03/12/2018	***-**-925P - FENGLER, DANIEL	CHET ADVISOR 529 TRAILS	0.00	1.77	0.00	1.77	70.0	1.24
	ACCOUNT #: 68939901201	BUY 0 Units @0.0000						
03/07/2018	***-**-7210 - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	0.36	0.00	0.36	70.0	0.25
	ACCOUNT #: 01488781368	BUY 0 Units @0.0000						
03/07/2018	***-**-7210 - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	0.73	0.00	0.73	70.0	0.51
	ACCOUNT #: 01488781475	BUY 0 Units @0.0000						
03/07/2018	***-**-7210 - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	1.01	0.00	1.01	70.0	0.71
	ACCOUNT #: 01488781509	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/07/2018	***-**-7210 - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	1.00	0.00	1.00	70.0	0.70
	ACCOUNT #: 01488781533	BUY 0 Units @0.0000						
03/07/2018	***-**-49IN - TALLO, ROSE	FA 529 MID CAP PORT - CL A	0.00	0.41	0.00	0.41	70.0	0.29
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
03/07/2018	***-**-49IN - TALLO, ROSE	FA 529 NEW INSIGHTS PORT-CL A	0.00	1.00	0.00	1.00	70.0	0.70
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
03/07/2018	***-**-49IN - TALLO, ROSE	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.69	0.00	1.69	70.0	1.18
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
03/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV MF A1	0.00	2.07	0.00	2.07	70.0	1.45
	ACCOUNT #: 01445267972	BUY 0 Units @0.0000						
03/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV MF A1	0.00	1.23	0.00	1.23	70.0	0.86
	ACCOUNT #: 01445268004	BUY 0 Units @0.0000						
03/07/2018	***-**-472I - PARADIS, URSULA	FIDELITY ADV STRATEGIC INCOME CL A	0.00	2.67	0.00	2.67	70.0	1.87
	ACCOUNT #: 01455315984	BUY 0 Units @0.0000						
03/07/2018	***-**-1111 - ROMANOVSKY,	FIDELITY ADV TOTAL BOND-CL T	0.00	2.60	0.00	2.60	70.0	1.82
	ACCOUNT #: 01456178423	BUY 0 Units @0.0000						
03/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV TRAILS 31580T877	0.00	0.07	0.00	0.07	70.0	0.05
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
03/08/2018	***-**-52IN - BRODER, RUTH	RESOURCE REAL ESTATE TRAILS	0.00	3.19	0.00	3.19	70.0	2.23
		BUY 0 Units @0.0000						
03/08/2018	***-**-543I - RISKALLA,	RESOURCE REAL ESTATE TRAILS	0.00	2.93	0.00	2.93	70.0	2.05
	ACCOUNT #: 20033401	BUY 0 Units @0.0000						
03/08/2018	***-**-76TR - STEELE	RESOURCE REAL ESTATE TRAILS	0.00	2.06	0.00	2.06	70.0	1.44
	ACCOUNT #: 20033530	BUY 0 Units @0.0000						
03/08/2018	***-**-846I - BREEN, KENNETH	RESOURCE REAL ESTATE TRAILS	0.00	3.45	0.00	3.45	70.0	2.42
	ACCOUNT #: 020033838	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$34.84	\$0.00	\$34.84		\$24.40
*** BROKER TRANSACTIONS TOTAL ***			\$300.00	\$56.22	\$0.00	\$56.22		\$39.37



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		INVESTMENT	GROSS	CLEARING	NET	BROKER
DATE	CLIENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	COMMISSION
BROKER COMMISSION TOTALS						
				Broker Transactions		\$39.37
				Split Transactions		\$0.00
				Override Transactions		\$0.00
				Adjustments		\$0.00
				Payroll Draw		\$0.00
				Base Salary		\$0.00
				Prior Period Balance		\$0.00
				FINRA Assessment		\$0.00
				SIPC Assessment		\$0.00
Please Retain for Your Records				Balance Forward		\$0.00
THERE WILL BE NO PAYMENT THIS PERIOD				Year-to-date Earnings		\$0.00