



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**October 15, 2017**

10/11/2017  
Page No. 1

G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRELLI/  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: G0B  
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
^09/14/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	17.98	0.00	17.98	100.0	0.00
		<b>* REIT SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$17.98</b>	<b>\$0.00</b>	<b>\$17.98</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^10/04/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	-14,366.66	22.00	22.00	0.00	100.0	0.00
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$-14,366.66</b>	<b>\$22.00</b>	<b>\$22.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
^09/26/2017	RYDER, THOMAS	EXCHANGE TRADED CONCEPTS TR YIELDSH	-49,280.75	1,000.00	25.75	974.25	100.0	0.00
^10/04/2017	MARSH, LAURIE	GLOBAL NET LEASE INC COM	-15,776.49	0.00	23.07	-23.07	100.0	0.00
^09/27/2017	RYDER, THOMAS	NEW YORK REIT INC COM	-10,673.74	75.00	24.07	50.93	100.0	0.00
^09/29/2017	SIMONELLI, CHRISTINA	NEW YORK REIT INC COM	-16,861.63	25.00	25.24	-0.24	100.0	0.00
		<b>* STOCKS SUBTOTAL *</b>	<b>\$-92,592.61</b>	<b>\$1,100.00</b>	<b>\$98.13</b>	<b>\$1,001.87</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^09/07/2017	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	55.76	0.00	55.76	100.0	0.00
^09/07/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	5.38	0.00	5.38	100.0	0.00
^09/20/2017	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.02	0.00	2.02	100.0	0.00
^09/19/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	12.49	0.00	12.49	100.0	0.00
^09/19/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.09	0.00	5.09	100.0	0.00
^09/19/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.69	0.00	2.69	100.0	0.00
^09/19/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.59	0.00	8.59	100.0	0.00
^09/19/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.27	0.00	2.27	100.0	0.00
^09/19/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.29	0.00	10.29	100.0	0.00
^09/19/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.13	0.00	3.13	100.0	0.00
^09/19/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.73	0.00	7.73	100.0	0.00
^09/19/2017	STARK, LINDA	MAINSTAY MAP A	0.00	1.91	0.00	1.91	100.0	0.00
^09/19/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	1.79	0.00	1.79	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^09/15/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	8.48	0.00	8.48	100.0	0.00
^09/21/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	10.43	0.00	10.43	100.0	0.00
^09/11/2017	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.33	0.00	0.33	100.0	0.00
^09/11/2017	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.85	0.00	0.85	100.0	0.00
^09/22/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.83	0.00	0.83	100.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$140.06</b>	<b>\$0.00</b>	<b>\$140.06</b>		<b>\$0.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$-106,959.27</b>	<b>\$1,280.04</b>	<b>\$120.13</b>	<b>\$1,159.91</b>		<b>\$0.00</b>

**ADJUSTMENTS**

10/15/2017	*PERSHING: MARK UPS	0.00						0.00
10/15/2017	*TECH: ADMIN FULMER	0.00						0.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.11
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.11</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>

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 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed