

All Companies
STATEMENT
March 20, 2018

THOMAS MCGUIRE
16 Wiltshire Dr
SUITE 620
Hattiesburg, MS 39402

BROKER #: 131
BRANCH:

COMMISSION STATEMENT for THOMAS MCGUIRE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/31/2018 #	YAWN, FAITH	JH BALANCED FUND CLASS A	5,000.00	0.00	93.0	0.00	0.00	20.00	-20.00
02/20/2018 #08188849698	KNIGHT, THOMAS	MFS TOTAL RETURN FUND-B	50.00	2.00	93.0	0.00	1.86	0.00	1.86
* MUTUAL FUNDS SUBTOTAL *			\$5,050.00	\$2.00		\$0.00	\$1.86	\$20.00	\$-18.14
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/01/0018 #549627	SPARKS, MARRETA	PRUDETIAL TRAILS	0.00	27.31	93.0	0.00	25.40	0.00	25.40
01/01/0018 #549627	SPARKS, MARRETA	PRUDETIAL TRAILS	0.00	27.31	93.0	0.00	25.40	0.00	25.40
02/07/0018 #2020012433	RAYBURN,	SECURITY BENEFIT SBGTRL	0.00	45.89	93.0	0.00	42.68	0.00	42.68
02/07/0018 #2020015967	LOTT, LOUIS	SECURITY BENEFIT SBGTRL	0.00	59.92	93.0	0.00	55.73	0.00	55.73
02/07/0018 #2020016514	SPARKS, MARRETA	SECURITY BENEFIT SBGTRL	0.00	56.53	93.0	0.00	52.57	0.00	52.57
02/07/0018 #2020012509	RAYBURN,	SECURITY BENEFIT SBGTRL	0.00	37.70	93.0	0.00	35.06	0.00	35.06
02/07/0018 #2020012081	RAYBURN,	SECURITY BENEFIT SBGTRL	0.00	42.84	93.0	0.00	39.84	0.00	39.84
01/30/0201 #2020012081	RAYBURN,	SECURITY BENEFIT SDVA	0.00	44.10	93.0	0.00	41.01	0.00	41.01
01/30/0201 #2020012433	RAYBURN,	SECURITY BENEFIT SDVA	0.00	47.23	93.0	0.00	43.92	0.00	43.92
01/30/0201 #2020012509	RAYBURN,	SECURITY BENEFIT SDVA	0.00	38.79	93.0	0.00	36.07	0.00	36.07
01/30/0201 #2020015967	LOTT, LOUIS	SECURITY BENEFIT SDVA	0.00	61.99	93.0	0.00	57.65	0.00	57.65
01/30/0201 #2020016514	SPARKS, MARRETA	SECURITY BENEFIT SDVA	0.00	57.88	93.0	0.00	53.83	0.00	53.83
02/10/0201 #C064980LM	HUNT, MINNIE	VOYA	0.00	210.55	93.0	0.00	195.81	0.00	195.81
02/28/2018 #1018873628	DAVIS, MARY	ELITE ACCESS L	41,300.70	34.42	93.0	0.00	32.01	0.00	32.01
02/28/2018 #009629647A	GRAY, LARRY	L SERIES	100,834.89	109.24	93.0	0.00	101.59	0.00	101.59
* VARIABLE ANNUITIES SUBTOTAL *			\$142,135.59	\$901.70		\$0.00	\$838.57	\$0.00	\$838.57
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/06/2018 #	SPARKS, THOMAS	DAIN TRAILER	0.00	34.96	93.0	0.00	32.51	0.00	32.51
02/09/2018 #	DAUGHDRILL, MARY	DAIN TRAILER	0.00	0.98	93.0	0.00	0.91	0.00	0.91
02/09/2018 #	DAUGHDRILL, MARY	DAIN TRAILER	0.00	3.74	93.0	0.00	3.48	0.00	3.48
02/09/2018 #	MOSS, WILLIAM	DAIN TRAILER	0.00	2.69	93.0	0.00	2.50	0.00	2.50
02/09/2018 #	MOSS, WILLIAM	DAIN TRAILER	0.00	5.60	93.0	0.00	5.21	0.00	5.21
02/09/2018 #	MOSS, CARLA	DAIN TRAILER	0.00	46.43	93.0	0.00	43.18	0.00	43.18

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<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	# MOSS, CARLA	DAIN TRAILER	0.00	5.82	93.0	0.00	5.41	0.00	5.41
02/09/2018	# MOSS, CARLA	DAIN TRAILER	0.00	65.17	93.0	0.00	60.61	0.00	60.61
02/09/2018	# MOSS, CARLA	DAIN TRAILER	0.00	46.51	93.0	0.00	43.25	0.00	43.25
02/09/2018	# MOSS, CARLA	DAIN TRAILER	0.00	22.50	93.0	0.00	20.93	0.00	20.93
02/09/2018	# MOSS, CARLA	DAIN TRAILER	0.00	13.80	93.0	0.00	12.83	0.00	12.83
02/09/2018	# MOSS, CARLA	DAIN TRAILER	0.00	30.19	93.0	0.00	28.08	0.00	28.08
02/09/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	3.41	93.0	0.00	3.17	0.00	3.17
02/09/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	2.58	93.0	0.00	2.40	0.00	2.40
02/09/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	2.26	93.0	0.00	2.10	0.00	2.10
02/09/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	2.16	93.0	0.00	2.01	0.00	2.01
02/09/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	3.28	93.0	0.00	3.05	0.00	3.05
02/09/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	2.50	93.0	0.00	2.33	0.00	2.33
02/09/2018	# YAWN, FAITH	DAIN TRAILER	0.00	5.21	93.0	0.00	4.85	0.00	4.85
02/09/2018	# YAWN, FAITH	DAIN TRAILER	0.00	4.85	93.0	0.00	4.51	0.00	4.51
02/09/2018	# YAWN, FAITH	DAIN TRAILER	0.00	5.63	93.0	0.00	5.24	0.00	5.24
02/09/2018	# YAWN, FAITH	DAIN TRAILER	0.00	4.37	93.0	0.00	4.06	0.00	4.06
02/09/2018	# YAWN, FAITH	DAIN TRAILER	0.00	11.50	93.0	0.00	10.70	0.00	10.70
02/09/2018	# HUNT, MINNIE	DAIN TRAILER	0.00	60.93	93.0	0.00	56.66	0.00	56.66
02/09/2018	# LYNN, JOHN	DAIN TRAILER	0.00	0.64	93.0	0.00	0.60	0.00	0.60
02/09/2018	# LYNN, JOHN	DAIN TRAILER	0.00	1.38	93.0	0.00	1.28	0.00	1.28
02/09/2018	# LYNN, JOHN	DAIN TRAILER	0.00	1.98	93.0	0.00	1.84	0.00	1.84
02/09/2018	# LYNN, JOHN	DAIN TRAILER	0.00	2.86	93.0	0.00	2.66	0.00	2.66
02/09/2018	# LYNN, JOHN	DAIN TRAILER	0.00	0.70	93.0	0.00	0.65	0.00	0.65
02/09/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	4.29	93.0	0.00	3.99	0.00	3.99
02/09/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	3.07	93.0	0.00	2.86	0.00	2.86
02/09/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	2.42	93.0	0.00	2.25	0.00	2.25
02/09/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	3.83	93.0	0.00	3.56	0.00	3.56
02/09/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	24.18	93.0	0.00	22.49	0.00	22.49
02/09/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	19.91	93.0	0.00	18.52	0.00	18.52
02/09/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	13.83	93.0	0.00	12.86	0.00	12.86
02/09/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	69.37	93.0	0.00	64.51	0.00	64.51
02/09/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	123.95	93.0	0.00	115.27	0.00	115.27
02/09/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	81.62	93.0	0.00	75.91	0.00	75.91
02/09/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	16.64	93.0	0.00	15.48	0.00	15.48
02/09/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	1.13	93.0	0.00	1.05	0.00	1.05
02/09/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	29.56	93.0	0.00	27.49	0.00	27.49

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PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
02/09/2018 # SPARKS, THOMAS		DAIN TRAILER	0.00	6.96	93.0	0.00	6.47	0.00	6.47
02/09/2018 # MCGEHEE, DONNA		DAIN TRAILER	0.00	5.34	93.0	0.00	4.97	0.00	4.97
02/09/2018 # MCGEHEE, DONNA		DAIN TRAILER	0.00	15.52	93.0	0.00	14.43	0.00	14.43
02/09/2018 # MCGEHEE, DONNA		DAIN TRAILER	0.00	33.50	93.0	0.00	31.16	0.00	31.16
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$849.75		\$0.00	\$790.28	\$0.00	\$790.28
*** BROKER TRANSACTIONS TOTAL ***			\$147,185.59	\$1,753.45		\$0.00	\$1,630.71	\$20.00	\$1,610.71

ADJUSTMENTS

03/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
03/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-44.02
	DEBIT BALANCE	
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-50.00
	DEBIT BALANCE	
*** ADJUSTMENTS TOTAL ***		\$-593.02

BROKER COMMISSION TOTALS

Broker Transactions	\$1,610.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-593.02
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00
Check Amount	\$1,017.69