

**COMMISSION STATEMENT****October 15, 2017****BARRY LEMAY**

2242 Camden Ave

Suite 102

San Jose, CA 95124

**BROKER #: L122**

BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
09/13/2017	SARMIENTO, ZOLIO	GPB AUTOMOTIVE PORTFOLIO LP	56,000.00	3,920.00	0.00	3,920.00	90.0	3,528.00
09/13/2017	SARMIENTO, ZOLIO	GPB WASTE MANAGEMENT LP	56,000.00	3,920.00	0.00	3,920.00	90.0	3,528.00
10/04/2017	CHAN, CHRISTINA	HPI FUND V	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
<b>* PRIVATE PLACEMENT SUBTOTAL *</b>			<b>\$137,000.00</b>	<b>\$9,340.00</b>	<b>\$0.00</b>	<b>\$9,340.00</b>		<b>\$8,406.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/31/2017	MACKENZIE, MICHELLE	BLK GLOBAL ALLOCATION C	0.00	14.69	0.00	14.69	90.0	13.22
08/31/2017	MACKENZIE, MICHELLE	BLK GLOBAL ALLOCATION C	0.00	4.90	0.00	4.90	90.0	4.41
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$19.59</b>	<b>\$0.00</b>	<b>\$19.59</b>		<b>\$17.63</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
09/25/2017	LEMAY, MONIQUE	ALTEGRIS WINSTON FUTURES	0.00	16.05	0.00	16.05	90.0	14.45
09/25/2017	VIZIR, VITALI	ALTEGRIS WINSTON FUTURES	0.00	80.27	0.00	80.27	90.0	72.24
09/25/2017	BARDELL, PAULA	ALTEGRIS WINSTON FUTURES	0.00	19.64	0.00	19.64	90.0	17.68
09/15/2017	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.96	0.00	10.96	90.0	9.86
09/15/2017	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.07	0.00	1.07	90.0	0.96
09/20/2017	DINAPOLI TRUST, BENNY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.03	0.00	1.03	90.0	0.93
09/18/2017	KACHULIS, CASSANDRA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.68	0.00	1.68	90.0	1.51
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$130.70</b>	<b>\$0.00</b>	<b>\$130.70</b>		<b>\$117.63</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$137,000.00</b>	<b>\$9,490.29</b>	<b>\$0.00</b>	<b>\$9,490.29</b>		<b>\$8,541.26</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
10/15/2017		*CONCIERGE SVC: 199752-CHUI	0.00					-50.00
10/15/2017		*CONCIERGE SVC: 200921-CHAN	0.00					-50.00
10/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*TECHNOLOGY: ALBRIDGE FULL	0.00					-100.00
10/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-775.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$8,541.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-775.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$7,766.26</b>
<b>Year-to-date Gross</b>	<b>\$182,126.01</b>
<b>Year-to-date Earnings</b>	<b>\$163,360.93</b>

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