



COMMISSION STATEMENT

June 11, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/05/2018	***-**-7960 - CARVAJAL, JUDITH AF	MODERATE GROWTH AND INCOME-A	600.01	30.00	3.00	27.00	80.0	21.60
	ACCOUNT #: LSR011337	BUY 38 Units @15.8900						
05/30/2018	***-**-593X - WOODY, ROBERT	AMERICAN 2020 TARGET DATE RETIREMNT	-305.00	-3.05	-3.00	-0.05	80.0	-0.04
	ACCOUNT #: LSR010802	CANCEL 25 Units @12.4000						
06/01/2018	***-**-3083 - SWIRSKI, KATHY	AMERICAN 2040 TARGET DATE RETIREMNT	45.84	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 04000939769	BUY 3 Units @15.3600						
05/30/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	20.90	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 04000812765	BUY 1 Units @28.8800						
06/01/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.8000						
06/01/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.8000						
06/01/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.8000						
06/01/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.8000						
06/01/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.8000						
06/01/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.8000						
06/01/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.8000						
06/01/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.8000						
06/01/2018	***-**-524X - CONLEY, WILLIAM	AMERICAN BALANCED FUND-C	174.99	1.75	3.00	-1.25	100.0	-1.25
	ACCOUNT #: LSR012483	BUY 6 Units @27.0300						
06/01/2018	***-**-0474 - PEPICE, VITO	AMERICAN BALANCED FUND-C	57.72	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 04000939776	BUY 2 Units @27.0300						
05/30/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 24 Units @15.5200						
06/01/2018	***-**-3991 - ROBERTS, STEPHEN	AMERICAN FUNDS 2030 TARGET DATE-A	250.00	12.50	0.00	12.50	80.0	10.00
	ACCOUNT #: 04000939783	BUY 16 Units @15.5600						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/01/2018	***-**-7250 - RAJKOWSKI, DIANE	AMERICAN FUNDS 2030 TARGET DATE-C	48.80	0.49	0.00	0.49	80.0	0.39
	ACCOUNT #: 04000939806	BUY 3 Units @14.4100						
06/01/2018	***-**-0216 - STEINBACHER,	AMERICAN FUNDS 2030 TARGET DATE-C	37.13	0.37	0.00	0.37	80.0	0.30
	ACCOUNT #: 04000939792	BUY 3 Units @14.4100						
06/01/2018	***-**-4768 - MSADOQUIS,	AMERICAN FUNDS 2030 TARGET DATE-C	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 04000939762	BUY 2 Units @14.4100						
05/30/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 23 Units @16.1100						
05/30/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 18 Units @16.5300						
05/30/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.5300						
06/01/2018	***-**-3266 - DIDOMINZIO,	AMERICAN FUNDS 2040 TARGET DATE-A	50.57	2.53	0.00	2.53	80.0	2.02
	ACCOUNT #: 04000939801	BUY 3 Units @16.5900						
06/01/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000827629	BUY 6 Units @13.0200						
06/01/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000827629	BUY 6 Units @13.0200						
05/25/2018	***-**-01IN - PELLETIER, KELLY	FRANKLIN GROWTH FUND CLASS A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000060177222593	BUY 1 Units @103.1800						
05/25/2018	***-**-01IN - PELLETIER, KELLY	FRANKLIN INCOME FUND CLASS A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000090236508494	BUY 31 Units @2.3900						
05/25/2018	***-**-078I - PELLETIER,	FT 2015 RETIREMENT TARGET FUND - CL	500.00	18.75	0.00	18.75	80.0	15.00
	ACCOUNT #: 000000000017588	BUY 44 Units @11.4100						
05/25/2018	***-**-01IN - PELLETIER, KELLY	MUTUAL GLOBAL DISCOVERY FUND - CLAS	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000000007745197	BUY 2 Units @33.0300						
05/25/2018	***-**-01IN - PELLETIER, KELLY	TEMP GLOBAL BOND FND - CL A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000006984020841	BUY 6 Units @12.2200						
06/01/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.6300						
06/01/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.6300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)								
		* MUTUAL FUNDS SUBTOTAL *	\$4,075.20	\$145.72	\$3.00	\$142.72		\$113.92
PRODUCT CATEGORY: VARIABLE ANNUITIES								
06/05/2018	***-**-72IN - ROSEN, THOMAS	JACKSON PERSEPCTIVE ADVANTAGE A	10,000.00	500.00	0.00	500.00	80.0	400.00
	ACCOUNT #: 009102606A	BUY 0 Units @0.0000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$10,000.00	\$500.00	\$0.00	\$500.00		\$400.00
PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES								
05/08/2018	***-**-552I - FAMIGLIETTI,	AMERICAN LANDMARK 5	100,319.21	3,761.97	0.00	3,761.97	100.0	3,761.97
		BUY 1 Units @100000.000						
		* EQUITY INDEXED ANNUITIES SUBTOTAL *	\$100,319.21	\$3,761.97	\$0.00	\$3,761.97		\$3,761.97
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/11/2018	***-**-657Q - EDWARD SEGAL	QUALIFIED PLAN SUMMARY	0.00	365.95	0.00	365.95	80.0	292.77
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
06/11/2018	***-**-447I - BELVAL, PAUL	SAMMONS MF TRAILS	0.00	71.90	0.00	71.90	80.0	57.52
	ACCOUNT #: 7200002672	BUY 0 Units @0.0000						
06/11/2018	***-**-700I - NIHILL, TODD	SAMMONS MF TRAILS	0.00	34.66	0.00	34.66	80.0	27.73
	ACCOUNT #: 7200019445	BUY 0 Units @0.0000						
06/11/2018	***-**-358I - KALLIPOLITES,	SAMMONS MF TRAILS	0.00	46.12	0.00	46.12	80.0	36.90
	ACCOUNT #: 7200022756	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$518.63	\$0.00	\$518.63		\$414.92
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
05/31/2018	***-**-72IN - ROSEN, THOMAS	JACKSON PERSEPCTIVE ADVANTAGE A	0.00	33.96	0.00	33.96	80.0	27.17
	ACCOUNT #: 009102606A	BUY 0 Units @0.0000						
05/31/2018	***-**-3912 - LAFUENTE, CARLOS MASS	MUTUAL VA DTCC TRAILS	0.00	199.65	0.00	199.65	80.0	159.72
	ACCOUNT #: EVN46018411	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$233.61	\$0.00	\$233.61		\$186.89
		*** BROKER TRANSACTIONS TOTAL ***	\$114,394.41	\$5,159.93	\$3.00	\$5,156.93		\$4,877.70



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$4,591.71
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$4,877.70
						Year-to-date Earnings		\$40,195.05