

**COMMISSION STATEMENT****October 15, 2017**

FRANK DUNN
56328 Fireglass Loop
P.O. Box 3628
Bend, OR 97701

BROKER #: D116
BRANCH: FRANK DUNN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
09/29/2017	WETHINGTON, JUDITH	HPI FUND V	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
09/29/2017	WETHINGTON JR, ROBERT	HPI FUND V	27,000.00	1,620.00	0.00	1,620.00	90.0	1,458.00
09/29/2017	WETHINGTON JR, ROBERT	HPI FUND V	78,000.00	4,680.00	0.00	4,680.00	90.0	4,212.00
* PRIVATE PLACEMENT SUBTOTAL *			\$130,000.00	\$7,800.00	\$0.00	\$7,800.00		\$7,020.00
*** BROKER TRANSACTIONS TOTAL ***			\$130,000.00	\$7,800.00	\$0.00	\$7,800.00		\$7,020.00
<u>ADJUSTMENTS</u>								
10/15/2017		*CONCIERGE SVC: 200024,61-WETHINGTO	0.00					-100.00
10/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*FINRA: S65 LATE/NO SHOW EXAM FEE	0.00					-165.00
10/15/2017		*TECH-BASIC: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-840.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$7,020.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-840.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,180.00
Year-to-date Gross	\$76,650.46
Year-to-date Earnings	\$68,863.38