



# COMMISSION STATEMENT

## May 21, 2018

**RICHARD SOWERS**  
 2451 McMullen Booth Road  
 Suite 263  
 Clearwater, FL 33759

**BROKER #: 71**  
 BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/07/2018	***-**-3221 - PITTM, BARB	ALLIANCEBERNSTEIN GROWTH AND INC A	100.00	4.00	0.00	4.00	90.0	3.60
	ACCOUNT #: 00940010050	BUY 17 Units @6.0300						
04/24/2018	***-**-105P - RICHCREEK,	SAMMONS LIVEWELL MUTUAL FUND	10,453.98	104.54	0.00	104.54	90.0	94.09
		BUY 0 Units @0.0000						
05/21/2018	***-**-721I - CRAFT, LOIS	SAMMONS LIVEWELL MUTUAL FUND	0.00	17.27	0.00	17.27	90.0	15.54
	ACCOUNT #: 7200013710	BUY 0 Units @0.0000						
05/21/2018	***-**-82RI - MORGAN, BOBETTE	SAMMONS LIVEWELL MUTUAL FUND	0.00	56.50	0.00	56.50	90.0	50.85
		BUY 0 Units @0.0000						
05/21/2018	***-**-82RI - MORGAN, BOBETTE	SAMMONS LIVEWELL MUTUAL FUND	0.00	-56.50	0.00	-56.50	90.0	-50.85
	ACCOUNT #: 7200009544	BUY 0 Units @0.0000						
05/21/2018	***-**-559I - HUBBARD, JAMES	SAMMONS MF TRAILS	0.00	15.22	0.00	15.22	90.0	13.70
	ACCOUNT #: 7200005537	BUY 0 Units @0.0000						
05/21/2018	***-**-854I - SQUIRE, CAROLYN	SAMMONS MF TRAILS	0.00	3.64	0.00	3.64	90.0	3.28
	ACCOUNT #: 7200007202	BUY 0 Units @0.0000						
05/21/2018	***-**-7488 - SQUIRE, BARRY	SAMMONS MF TRAILS	0.00	7.12	0.00	7.12	90.0	6.41
	ACCOUNT #: 7200007204	BUY 0 Units @0.0000						
05/21/2018	***-**-7488 - SQUIRE, BARRY	SAMMONS MF TRAILS	0.00	56.50	0.00	56.50	90.0	50.85
	ACCOUNT #: 7200007204	BUY 0 Units @0.0000						
05/21/2018	***-**-282I - MILLS, BENNEY	SAMMONS MF TRAILS	0.00	7.22	0.00	7.22	90.0	6.50
	ACCOUNT #: 7200012025	BUY 0 Units @0.0000						
05/21/2018	***-**-594I - REGULSKI, SUSAN	SAMMONS MF TRAILS	0.00	79.79	0.00	79.79	90.0	71.81
	ACCOUNT #: 7200012141	BUY 0 Units @0.0000						
05/21/2018	***-**-52IN - LORD, GEORGE	SAMMONS MF TRAILS	0.00	26.24	0.00	26.24	90.0	23.62
	ACCOUNT #: 7200036994	BUY 0 Units @0.0000						
05/21/2018	***-**-7933 - PIERSON, KAREN	SAMMONS MF TRAILS	0.00	46.07	0.00	46.07	90.0	41.46
	ACCOUNT #: 7200024944	BUY 0 Units @0.0000						
05/07/2018	***-**-351C - SOWERS, CLIENTS	TEMPLETON GBL OPPS TR FUND - CLASS	50.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: 000000000255036	BUY 2 Units @21.8100						
05/14/2018	***-**-547J - BEST III, JOHN	THE INVESTMENT COMPANY OF AMERICA-A	18,850.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR010824	SELL 458 Units @41.1300						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$29,453.98</b>	<b>\$368.11</b>	<b>\$18.00</b>	<b>\$350.11</b>		<b>\$313.31</b>



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
05/11/2018	***-**-547J - BEST III, JOHN	APPLE INC UNSOLICITED ORDER	18,780.00	40.00	18.00	22.00	90.0	19.80
	ACCOUNT #: LSR010824	BUY 100 Units @187.8000						
		<b>* STOCKS SUBTOTAL *</b>	<b>\$18,780.00</b>	<b>\$40.00</b>	<b>\$18.00</b>	<b>\$22.00</b>		<b>\$19.80</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/14/2018	***-**-46IN - HANOS, MATTHEW	ALL VALUE FUND CLASS A	0.00	7.36	0.00	7.36	90.0	6.62
	ACCOUNT #: 02400048142	BUY 0 Units @0.0000						
05/14/2018	***-**-11UG - DI BENEDETTO,	BOND-DEBENTURE FUND CLASS A	0.00	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000774846	BUY 0 Units @0.0000						
05/21/2018	***-**-6540 - STOUT, LOUISE	CAPITAL INCOME BUILDER CLASS C	0.00	1.57	0.00	1.57	90.0	1.41
	ACCOUNT #: LSR011072	BUY 1 Units @0.0000						
05/21/2018	***-**-13IO - CHARD, DANIELLE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.83	0.00	2.83	90.0	2.55
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
05/21/2018	***-**-308I - KEENAN, PETER	COLUMBIA MF TRAILS	0.00	0.06	0.00	0.06	90.0	0.05
		BUY 0 Units @0.0000						
05/21/2018	***-**-237I - PIERSON, JEFFREY	COLUMBIA MF TRAILS	0.00	1.95	0.00	1.95	90.0	1.76
		BUY 0 Units @0.0000						
05/21/2018	***-**-237I - PIERSON, JEFFREY	COLUMBIA MF TRAILS	0.00	6.01	0.00	6.01	90.0	5.41
	ACCOUNT #: 08696900918	BUY 0 Units @0.0000						
05/21/2018	***-**-237I - PIERSON, JEFFREY	COLUMBIA MF TRAILS	0.00	3.19	0.00	3.19	90.0	2.87
		BUY 0 Units @0.0000						
05/21/2018	***-**-13IO - CHARD, DANIELLE	FUNDAMENTAL INVESTORS-A	0.00	2.98	0.00	2.98	90.0	2.68
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
05/21/2018	***-**-10IR - JANNEY, DONNA	FUNDAMENTAL INVESTORS-A	0.00	0.86	0.00	0.86	90.0	0.77
	ACCOUNT #: LSR011203	BUY 1 Units @0.0000						
05/21/2018	***-**-08IR - JANNEY, DAVID	FUNDAMENTAL INVESTORS-A	0.00	0.86	0.00	0.86	90.0	0.77
	ACCOUNT #: LSR011204	BUY 1 Units @0.0000						
05/21/2018	***-**-2960 - KELLEY, BRENDA	FUNDAMENTAL INVESTORS-C	0.00	6.05	0.00	6.05	90.0	5.45
	ACCOUNT #: LSR011834	BUY 1 Units @0.0000						
05/21/2018	***-**-935I - LOWE, JAMES	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	11.93	0.00	11.93	90.0	10.74
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
05/21/2018	***-**-935I - LOWE, JAMES	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	3.98	0.00	3.98	90.0	3.58
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/14/2018	***-**-42IN - TO DST TOD	GROWTH OPPORTUNITIES A	0.00	3.72	0.00	3.72	90.0	3.35
	ACCOUNT #: 02400037468	BUY 0 Units @0.0000						
05/14/2018	***-**-46IN - HANOS, MATTHEW	GROWTH OPPORTUNITIES A	0.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: 02400048142	BUY 0 Units @0.0000						
05/14/2018	***-**-7742 - WILLIAMS, BETTY	GROWTH OPPORTUNITIES A	0.00	3.42	0.00	3.42	90.0	3.08
	ACCOUNT #: 04002427568	BUY 0 Units @0.0000						
05/14/2018	***-**-6178 - WILLIAMS, PHIL	LORD ABBETT AFFILIATED FUND CLASS A	0.00	1.71	0.00	1.71	90.0	1.54
	ACCOUNT #: 04002393863	BUY 0 Units @0.0000						
05/14/2018	***-**-6526 - BAKER, ANTHONY	LORD ABBETT AFFILIATED FUND CLASS A	0.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04002420894	BUY 0 Units @0.0000						
05/14/2018	***-**-1147 - LOPEZ, JOSE	LORD ABBETT AFFILIATED FUND CLASS A	0.00	0.71	0.00	0.71	90.0	0.64
	ACCOUNT #: 04002424178	BUY 0 Units @0.0000						
05/14/2018	***-**-89IN - STRAUSS, RITA	LORD ABBETT TRAILS 543916555	0.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000546860	BUY 0 Units @0.0000						
05/21/2018	***-**-13IO - CHARD, DANIELLE	NEW PERSPECTIVE FUND-A	0.00	3.08	0.00	3.08	90.0	2.77
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
05/21/2018	***-**-13IR - CHARD, DANIELLE	NEW PERSPECTIVE FUND-A	0.00	1.43	0.00	1.43	90.0	1.29
	ACCOUNT #: LSR010093	BUY 1 Units @0.0000						
05/21/2018	***-**-547J - BEST III, JOHN	NEW PERSPECTIVE FUND-A	0.00	7.74	0.00	7.74	90.0	6.97
	ACCOUNT #: LSR010824	BUY 1 Units @0.0000						
05/21/2018	***-**-10IR - JANNEY, DONNA	NEW PERSPECTIVE FUND-A	0.00	0.84	0.00	0.84	90.0	0.76
	ACCOUNT #: LSR011203	BUY 1 Units @0.0000						
05/21/2018	***-**-08IR - JANNEY, DAVID	NEW PERSPECTIVE FUND-A	0.00	0.84	0.00	0.84	90.0	0.76
	ACCOUNT #: LSR011204	BUY 1 Units @0.0000						
05/21/2018	***-**-13IO - CHARD, DANIELLE	THE GROWTH FUND OF AMERICA-A	0.00	3.61	0.00	3.61	90.0	3.25
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
05/21/2018	***-**-13IR - CHARD, DANIELLE	THE GROWTH FUND OF AMERICA-A	0.00	1.01	0.00	1.01	90.0	0.91
	ACCOUNT #: LSR010093	BUY 1 Units @0.0000						
05/21/2018	***-**-2960 - KELLEY, BRENDA	THE GROWTH FUND OF AMERICA-C	0.00	4.98	0.00	4.98	90.0	4.48
	ACCOUNT #: LSR011834	BUY 1 Units @0.0000						
05/21/2018	***-**-547J - BEST III, JOHN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	7.45	0.00	7.45	90.0	6.71
	ACCOUNT #: LSR010824	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/21/2018	***-**-6540 - STOUT, LOUISE	THE NEW ECONOMY FUND-C	0.00	7.09	0.00	7.09	90.0	6.38
	ACCOUNT #: LSR011072	BUY 1 Units @0.0000						
05/14/2018	***-**-42IN - TO DST TOD	VALUE OPPORTUNITIES A	0.00	3.66	0.00	3.66	90.0	3.29
	ACCOUNT #: 02400037468	BUY 0 Units @0.0000						
05/14/2018	***-**-7742 - WILLIAMS, BETTY	VALUE OPPORTUNITIES A	0.00	3.36	0.00	3.36	90.0	3.02
	ACCOUNT #: 04002427568	BUY 0 Units @0.0000						
05/21/2018	***-**-13IO - CHARD, DANIELLE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.51	0.00	2.51	90.0	2.26
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
05/03/2018	***-**-2209 - LING, BENJAMIN	WELLS FGO AVTG ENTERPRISE FUND - A	0.00	9.40	0.00	9.40	90.0	8.46
	ACCOUNT #: 01006543750	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$124.00</b>	<b>\$0.00</b>	<b>\$124.00</b>		<b>\$111.61</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$48,233.98</b>	<b>\$532.11</b>	<b>\$36.00</b>	<b>\$496.11</b>		<b>\$444.72</b>

### BROKER COMMISSION TOTALS

Broker Transactions	\$444.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$444.72</b>
<b>Year-to-date Earnings</b>	<b>\$22,252.65</b>