

COMMISSION STATEMENT

January 15, 2018

DREW NIXON-D350

BROKER #: D350

BRANCH: DMV

, TX

COMMISSION STATEMENT for DREW NIXON-D350

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/26/2017	000000000454443	WOODFIN,	MF	FRK DYNATECH FND - CL C	B	200.00	2.00	0.00	2.00 90.0 1.80
12/26/2017	0000000002350888	BAGLECASE	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	1,000.00	28.00	0.00	28.00 90.0 25.20
12/26/2017	0000000002350888	BAGLECASE	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	300.00	8.40	0.00	8.40 90.0 7.56
12/26/2017	0000000002350938	SHARPBRANP	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	800.00	22.40	0.00	22.40 90.0 20.16
12/26/2017	0000000002350938	SHARPBRANP	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	240.00	6.72	0.00	6.72 90.0 6.05
12/26/2017	0000000002356588	BAGLERICK	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	240.00	4.80	0.00	4.80 90.0 4.32
12/26/2017	0000000002356588	BAGLERICK	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	800.00	16.00	0.00	16.00 90.0 14.40
12/26/2017	0000000005446430	LUTTRTOMM	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	107.53	5.38	0.00	5.38 90.0 4.84
12/26/2017	0000000005446430	LUTTRTOMM	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	64.52	3.23	0.00	3.23 90.0 2.91
12/26/2017	0000000005944703	BAGLEBEAT	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	40.00	0.80	0.00	0.80 90.0 0.72
12/26/2017	0000000005944703	BAGLEBEAT	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	12.00	0.24	0.00	0.24 90.0 0.22
12/26/2017	0000000006153163	GARCIJUAN	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	123.68	6.18	0.00	6.18 90.0 5.56
12/26/2017	0000000006153163	GARCIJUAN	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	412.25	20.61	0.00	20.61 90.0 18.55
12/26/2017	00063249990	CARTER, PAULA	MF	CAPITAL INCOME BUILDER-A	B	212.50	4.25	0.00	4.25 90.0 3.83
12/26/2017	00063249990	CARTER, PAULA	MF	CAPITAL WORLD GROWTH AND INCOME	B	212.50	4.25	0.00	4.25 90.0 3.83
12/26/2017	00063249990	CARTER, PAULA	MF	NEW PERSPECTIVE FUND-A	B	212.50	4.25	0.00	4.25 90.0 3.83
12/26/2017	00063249990	CARTER, PAULA	MF	THE INCOME FUND OF AMERICA-A	B	212.50	4.25	0.00	4.25 90.0 3.83
12/26/2017	00063865504	WOODFIN, JASON	MF	WASHINGTON MUTUAL INVESTORS	B	300.00	8.25	0.00	8.25 90.0 7.43
				* MUTUAL FUNDS SUBTOTAL *		\$5,489.98	\$150.01	\$0.00	\$150.01 \$135.04
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/28/2017	(None)	COOPER, TINA	VA	DELAWARE LIFE	B	0.00	36.17	0.00	36.17 90.0 32.55
12/28/2017	(None)	WILLIAMS, DOUGLAS	VA	DELAWARE LIFE	B	0.00	17.64	0.00	17.64 90.0 15.88
12/31/2017	1008334745	WEDDEL, LOIS	VA	PERSPECTIVE L	B	81,884.39	95.53	0.00	95.53 90.0 85.98
				* VARIABLE ANNUITIES SUBTOTAL *		\$81,884.39	\$149.34	\$0.00	\$149.34 \$134.41
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017	(None)	NIXON, VARIOUS	MFT	OPPENHEIMER	B	0.00	71.48	0.00	71.48 90.0 64.33
01/01/2018	00060135336	DUBOSE, ONEIDA	MFT	MFS HIGH INCOME FUND-A	B	0.00	8.38	0.00	8.38 90.0 7.54
01/01/2018	00670045780	WALTON, LINDA	MFT	AIM TECHNOLOGY FUND - CLASS A	B	0.00	1.23	0.00	1.23 90.0 1.11
01/01/2018	00670141894	SCOTT,	MFT	AIM INTERMEDIATE GOVERNMENT FD	B	0.00	23.86	0.00	23.86 90.0 21.47
01/03/2018	00966833112	ITES, DAWN	MFT	PIONEER VALUE FUND A	B	0.00	2.87	0.00	2.87 90.0 2.58

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01/01/2018	03809425006 ALLGOOD, CATHY	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	8.24	0.00	8.24	90.0	7.42
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$116.06	\$0.00	\$116.06		\$104.45
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
12/26/2017	(None) ANDRUS, JAMES	VAT AMERICAN GENERAL	B	0.00	32.15	0.00	32.15	90.0	28.94
12/29/2017	(None) CHERRY, BETTY	VAT AMERICAN GENERAL	B	0.00	38.64	0.00	38.64	90.0	34.78
12/30/2017	(None) OESTREICH, JAME	VAT AMERICAN GENERAL	B	0.00	1.38	0.00	1.38	90.0	1.24
12/31/2017	(None) MCMILLAN, SHELBY	VAT LINCOLN NATL LIFE	B	0.00	50.25	0.00	50.25	90.0	45.23
01/02/2018	(None) WEDGEWORTH, JOHN	VAT AMERICAN GENERAL	B	0.00	41.33	0.00	41.33	90.0	37.20
01/03/2018	(None) ODEN, SANDRA	VAT AMERICAN GENERAL	B	0.00	50.44	0.00	50.44	90.0	45.40
12/31/2017	00711345641 HANSZEN, JERRY	VAT HARTFORD LIFE	B	0.00	2.05	0.00	2.05	90.0	1.85
12/31/2017	00711345641 COOPER, TINA	VAT HARTFORD LIFE	B	0.00	72.84	0.00	72.84	90.0	65.56
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$289.08	\$0.00	\$289.08		\$260.20
		*** DIRECT TRANSACTIONS TOTAL ***		\$87,374.37	\$704.49	\$0.00	\$704.49		\$634.10

OVERRIDE TRANSACTIONS**BROKER: JIM HUGHES-D349 #138**

12/26/2017	000000000055083	MEDLEY, DONNA	MF	FRANKLIN REAL RETURN	B	250.00	2.50	0.00	2.50	0.25
01/02/2018	0000000000380547	ROBINEDDIR	MF	FRNKLN FLOATING RATE DLY ACCESS	B	200.00	2.00	0.00	2.00	0.20
01/02/2018	0000000001642697	RODGKATH	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	100.00	1.00	0.00	1.00	0.10
12/26/2017	0000000003912312	ADAMS, MICH	MF	MUTUAL SHRS FND - CL C	B	300.00	3.00	0.00	3.00	0.30
12/26/2017	0000000004070696	MEDLEY, DONNA	MF	MUTUAL DISC FND - CL C	B	250.00	2.50	0.00	2.50	0.25
01/02/2018	0000000007053662	DUFREMAXA	MF	CUSIP 645769696	B	100.00	5.00	0.00	5.00	0.50
01/02/2018	0000000012760498	POLLOSTEP	MF	FRANKLIN INCOME FUND CLASS C	B	458.33	4.58	0.00	4.58	0.46
01/05/2018	0000000012879742	HUFFMMICHR	MF	FRANKLIN INCOME FUND CLASS C	B	200.00	2.00	0.00	2.00	0.20
01/03/2018	(None)	ELWELL, JOSEPH	VA	SECURITY BENEFIT LIFE	B	0.00	28.00	0.00	28.00	2.80
01/05/2018	(None)	ADVANTAGE	VA	MASS MUTUAL	B	0.00	36.00	0.00	36.00	3.60
01/05/2018	(None)	ADVANTAGE	VA	MASS MUTUAL	B	0.00	1.84	0.00	1.84	0.18
01/05/2018	(None)	ADVANTAGE	VA	MASS MUTUAL	B	0.00	781.35	0.00	781.35	78.14
01/05/2018	(None)	WEAVERMOT	VA	MASS MUTUAL	B	0.00	3,470.46	0.00	3,470.46	347.05
12/28/2017	(None)	HUGHES, VARIOUS	VAT	SECURITY BENEFIT LIFE	B	0.00	107.15	0.00	107.15	10.72
12/31/2017	00712280202	HENNIGAN, DEBORAH	VAT	HARTFORD LIFE	B	0.00	0.55	0.00	0.55	0.06
12/31/2017	00712280202	WAGSTAFF, PATRICE	VAT	HARTFORD LIFE	B	0.00	127.05	0.00	127.05	12.71
12/31/2017	00712280202	COX, DENISE	VAT	HARTFORD LIFE	B	0.00	18.98	0.00	18.98	1.90
12/31/2017	00712280202	DURSO, LEE	VAT	HARTFORD LIFE	B	0.00	1.18	0.00	1.18	0.12
12/31/2017	00712280202	PETERS, DAN	VAT	HARTFORD LIFE	B	0.00	154.47	0.00	154.47	15.45
12/31/2017	00712280202	PETERS, TANYA	VAT	HARTFORD LIFE	B	0.00	143.65	0.00	143.65	14.37
12/31/2017	00712280202	REYNOLDS, RANDY	VAT	HARTFORD LIFE	B	0.00	15.10	0.00	15.10	1.51
12/31/2017	00712280202	DARDER, TWYLA	VAT	HARTFORD LIFE	B	0.00	5.09	0.00	5.09	0.51
12/31/2017	00712280202	SIMMONS, JULIE	VAT	HARTFORD LIFE	B	0.00	0.71	0.00	0.71	0.07
12/31/2017	00712280202	SIMMONS, MARK	VAT	HARTFORD LIFE	B	0.00	16.22	0.00	16.22	1.62
12/31/2017	00712280202	GILLIS, MARY	VAT	HARTFORD LIFE	B	0.00	12.10	0.00	12.10	1.21

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12/31/2017	00712280202	SHANE, DARLA	VAT HARTFORD LIFE	B	0.00	17.35	0.00	17.35	1.74
12/31/2017	00712280202	EVERETT, PAMELA	VAT HARTFORD LIFE	B	0.00	15.64	0.00	15.64	1.56
12/31/2017	00712280202	KARR, LANDA	VAT HARTFORD LIFE	B	0.00	80.04	0.00	80.04	8.00
12/31/2017	00712280202	LATIOLAIS, PAMELA	VAT HARTFORD LIFE	B	0.00	6.47	0.00	6.47	0.65
12/31/2017	00712280202	KARR, LARRY	VAT HARTFORD LIFE	B	0.00	49.23	0.00	49.23	4.92
12/31/2017	00712280202	WEST, JAMES	VAT HARTFORD LIFE	B	0.00	0.05	0.00	0.05	0.01
12/31/2017	00712280202	SANDERS, ELAINE	VAT HARTFORD LIFE	B	0.00	4.70	0.00	4.70	0.47
12/31/2017	00712280202	JONES, JEFFERY	VAT HARTFORD LIFE	B	0.00	13.16	0.00	13.16	1.32
12/31/2017	00712280202	FOMBY, KRISTIN	VAT HARTFORD LIFE	B	0.00	10.14	0.00	10.14	1.01
12/31/2017	00712280202	WOMACK, JOHN	VAT HARTFORD LIFE	B	0.00	31.47	0.00	31.47	3.15
12/31/2017	00712280202	STIVENDER,	VAT HARTFORD LIFE	B	0.00	4.27	0.00	4.27	0.43
12/31/2017	00712280202	FISSETTE, BRADLEY	VAT HARTFORD LIFE	B	0.00	2.22	0.00	2.22	0.22
12/31/2017	00712280202	GARCIA, MAGDALENO	VAT HARTFORD LIFE	B	0.00	1.81	0.00	1.81	0.18
12/31/2017	00712280202	LOVELADY, JERRY	VAT HARTFORD LIFE	B	0.00	12.75	0.00	12.75	1.28
12/31/2017	00712280202	ESTER, NORMAN	VAT HARTFORD LIFE	B	0.00	16.22	0.00	16.22	1.62
12/31/2017	00712280202	BELLOMY, GAVIN	VAT HARTFORD LIFE	B	0.00	2.82	0.00	2.82	0.28
01/02/2018	VM14004968	WOMACK, JOHN	VAT PACIFIC LIFE VA 69448B191	B	0.00	126.00	0.00	126.00	12.60
01/02/2018	VM14004972	EVERETT, PAMELA	VAT PACIFIC LIFE VA 69448B191	B	0.00	67.34	0.00	67.34	6.73
01/02/2018	VM14004976	FISCHER, SHANE	VAT PACIFIC LIFE VA 69448B191	B	0.00	42.13	0.00	42.13	4.21
01/02/2018	VM14004980	FORE, WANDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	55.33	0.00	55.33	5.53
01/02/2018	VM14004984	GUILLORY, CHASITY	VAT PACIFIC LIFE VA 69448B191	B	0.00	35.49	0.00	35.49	3.55
01/02/2018	VM14004988	SHANE, DARLA	VAT PACIFIC LIFE VA 69448B191	B	0.00	38.22	0.00	38.22	3.82
01/02/2018	VM14005893	WADE, RENDA	VAT PACIFIC LIFE VA 69448B191	B	0.00	46.59	0.00	46.59	4.66
01/02/2018	VM16000353	THOMPSON, SANDRA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.92	0.00	2.92	0.29
01/02/2018	VM16000357	WALSTON, FAITH	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.49	0.00	2.49	0.25
01/02/2018	VM16000361	GARESS, LAURA	VAT PACIFIC LIFE VA 69448B175	B	0.00	6.49	0.00	6.49	0.65
01/02/2018	VM16000365	HENSARLING, SHAWNA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.29	0.00	2.29	0.23
01/02/2018	VM16000385	CLOWERS, SUNNIE	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.26	0.00	1.26	0.13
01/02/2018	VM16000393	DALTON, MELISSA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.64	0.00	2.64	0.26
01/02/2018	VM16005144	BRONSTAD, LORI	VAT PACIFIC LIFE VA 69448B191	B	0.00	6.89	0.00	6.89	0.69
* JIM HUGHES-D349 SUBTOTAL *					<u>\$1,858.33</u>	<u>\$5,646.90</u>	<u>\$0.00</u>	<u>\$5,646.90</u>	<u>\$564.72</u>
*** OVERRIDE TRANSACTIONS TOTAL ***					<u>\$1,858.33</u>	<u>\$5,646.90</u>	<u>\$0.00</u>	<u>\$5,646.90</u>	<u>\$564.72</u>

REP LINK TRANSACTIONS**BROKER: DREW NIXON-D352 #137**

01/05/2018	(None)	KELLEY, JUDY	VAT AMERICAN GENERAL	B	0.00	11.02	0.00	11.02	9.92
12/31/2017	00711345641	BAGLEY, HIRAM	VAT HARTFORD LIFE	B	0.00	37.76	0.00	37.76	33.98
12/31/2017	00711345641	CHERRY, MIKE	VAT HARTFORD LIFE	B	0.00	32.72	0.00	32.72	29.45
12/31/2017	00711345641	NIXON, DREW	VAT HARTFORD LIFE	B	0.00	24.34	0.00	24.34	21.91
* DREW NIXON-D352 SUBTOTAL *					<u>\$0.00</u>	<u>\$105.84</u>	<u>\$0.00</u>	<u>\$105.84</u>	<u>\$95.26</u>
*** REP LINK TRANSACTIONS TOTAL ***					<u>\$0.00</u>	<u>\$105.84</u>	<u>\$0.00</u>	<u>\$105.84</u>	<u>\$95.26</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$729.36
Split Transactions	\$0.00
Override Transactions	\$564.72
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,294.08
Year-to-date Commissions	\$2,412.98