

COMMISSION STATEMENT  
February 01, 2018

SYLVIA LUM  
209 Broadway Avenue  
Millbrae, CA 94030

BROKER #: 73896  
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>Company: Securities America</u>							

ADJUSTMENTS

38. SAI SIPC FOR PE 01/26/2018							-0.04
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$-0.04	\$0.00	\$-0.04

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-0.04
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-0.04
Year-to-date Earnings	\$279.42