



All Companies

04/27/2018
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COMMISSION STATEMENT

May 01, 2018

ERIC CHARLES GUTH
3603 Labrador Bay

BROKER #: 9617
BRANCH: HOME OFFICE

Grapevine, TX 76051-

COMMISSION STATEMENT for ERIC CHARLES GUTH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

04/13/2018	11999298	BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	408.80	0.00	408.80	90.0	367.92
04/13/2018	11999298	BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	415.60	0.00	415.60	90.0	374.04
04/13/2018	13081653	FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	27.60	0.00	27.60	90.0	24.84
04/13/2018	17017159	RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	1.87	0.00	1.87	90.0	1.68
04/13/2018	24551097	HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	569.20	0.00	569.20	90.0	512.28
04/13/2018	24551097	HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	107.60	0.00	107.60	90.0	96.84
04/13/2018	27613913	RAINES, GEORGE	SIGNAL SECURITIES RIA	B	0.00	770.80	0.00	770.80	90.0	693.72
		JEFFREY								
04/13/2018	27613913	RAINES, GEORGE	SIGNAL SECURITIES RIA	B	0.00	120.67	0.00	120.67	90.0	108.60
		JEFFREY								
04/13/2018	29396124	COSTAS, PETER J &	SIGNAL SECURITIES RIA	B	0.00	26.80	0.00	26.80	90.0	24.12
		TAMMY M.								
04/13/2018	32611628	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	30.40	0.00	30.40	90.0	27.36
04/13/2018	34872059	HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	588.00	0.00	588.00	90.0	529.20
04/13/2018	34872059	HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	347.73	0.00	347.73	90.0	312.96
04/13/2018	37351723	CONNERY, FRANK /E	SIGNAL SECURITIES RIA	B	0.00	346.93	0.00	346.93	90.0	312.24
		DIANNE								
04/13/2018	39099528	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	39.33	0.00	39.33	90.0	35.40
04/19/2018	401K	OPTIMIZER BROWN, THEODORE	SIGNAL SECURITIES RIA	B	0.00	268.00	0.00	268.00	90.0	241.20
		A								
04/13/2018	52065317	EWALD, JENNIFER	SIGNAL SECURITIES RIA	B	0.00	486.00	0.00	486.00	90.0	437.40
		JUDITH								
04/13/2018	52065317	EWALD, JENNIFER	SIGNAL SECURITIES RIA	B	0.00	44.13	0.00	44.13	90.0	39.72
		JUDITH								
04/13/2018	58050029	RAINES, JOEY	SIGNAL SECURITIES RIA	B	0.00	23.07	0.00	23.07	90.0	20.76
		SHANKLAND								
04/13/2018	68493114	CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	440.00	0.00	440.00	90.0	396.00
04/13/2018	68493114	CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	200.80	0.00	200.80	90.0	180.72
04/13/2018	84865050	SHANKLAND, SCOTT	SIGNAL SECURITIES RIA	B	0.00	392.40	0.00	392.40	90.0	353.16
		GARBER								
04/13/2018	84865050	SHANKLAND, SCOTT	SIGNAL SECURITIES RIA	B	0.00	93.47	0.00	93.47	90.0	84.12

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
GARBER									
04/13/2018	86608828 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	27.87	0.00	27.87	90.0	25.08
04/13/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	502.40	0.00	502.40	90.0	452.16
04/13/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	1.33	0.00	1.33	90.0	1.20
04/13/2018	90329067 RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	157.07	0.00	157.07	90.0	141.36
04/13/2018	94409837 RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	9.73	0.00	9.73	90.0	8.76
04/13/2018	98008502 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	17.20	0.00	17.20	90.0	15.48
04/13/2018	98257329 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	363.60	0.00	363.60	90.0	327.24
04/13/2018	98569607 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	25.07	0.00	25.07	90.0	22.56
* RIA SUBTOTAL *				<u>\$0.00</u>	<u>\$6,853.47</u>	<u>\$0.00</u>	<u>\$6,853.47</u>		<u>\$6,168.12</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$6,853.47</u>	<u>\$0.00</u>	<u>\$6,853.47</u>		<u>\$6,168.12</u>

ADJUSTMENTS

05/01/2018	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
05/01/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-127.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$6,168.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-127.50

Check Amount	\$5,913.12
Year-to-date Earnings	\$17,693.37