



Concorde Investment Services, LLC

09/13/2017  
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# COMMISSION STATEMENT

September 15, 2017

DOUGLAS SNIDER  
1622 170th Pl SE

BROKER #: S123  
BRANCH: DOUGLAS SNIDER

Bothell, WA 98012

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/06/2017	CARLSON, JANET	IVY GLOBAL RISK-MANAGED REAL ESTATE	-20,000.00	22.00	22.00	0.00	80.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-20,000.00	\$22.00	\$22.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/05/2017	ROSE TRUST, HEATHER	GENWORTH CHOICE 03 (UNBUN GUAR 1.5)	195,164.51	162.64	0.00	162.64	80.0	130.11
09/05/2017	MANLY, NORMAN	GENWORTH CHOICE 03 (UNBUN GUAR 1.5)	210,213.38	87.59	0.00	87.59	80.0	70.07
09/05/2017	BELLAMY, GARLAND	GENWORTH CHOICE 03 (UNBUN GUAR 1.5)	80,429.19	33.51	0.00	33.51	80.0	26.81
* VARIABLE ANNUITIES SUBTOTAL *			\$485,807.08	\$283.74	\$0.00	\$283.74		\$226.99
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/15/2017	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.87	0.00	2.87	80.0	2.30
08/15/2017	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.49	0.00	15.49	80.0	12.39
08/17/2017	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.79	0.00	1.79	80.0	1.43
08/17/2017	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.82	0.00	3.82	80.0	3.06
08/15/2017	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	8.97	0.00	8.97	80.0	7.18
08/15/2017	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	24.38	0.00	24.38	80.0	19.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$57.32	\$0.00	\$57.32		\$45.86
*** BROKER TRANSACTIONS TOTAL ***			\$465,807.08	\$363.06	\$22.00	\$341.06		\$272.85

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
09/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
09/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-1.55
09/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-576.55</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$272.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-576.55
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-303.70</b>
<b>Year-to-date Gross</b>	<b>\$10,042.22</b>
<b>Year-to-date Earnings</b>	<b>\$7,927.80</b>

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