

**COMMISSION STATEMENT****June 15, 2018****DAN/JEFFREY FT-1JR NY RAUPP/KIES**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

BROKER #: 1JR

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^05/30/2018	PIZZANO, GERALD	COLONY NORTHSTAR CR REAL ESTATE CL	-18,869.45	0.00	23.48	-23.48	50.0	0.00
^05/30/2018	PIZZANO, GERALD	NORTHSTAR RLTY EUROPE CORP COM	-2,170.53	0.00	22.50	-22.50	50.0	0.00
* STOCKS SUBTOTAL *			\$-21,039.98	\$0.00	\$45.98	\$-45.98		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-21,039.98	\$0.00	\$45.98	\$-45.98		\$0.00
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^05/07/2018	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.55	0.00	2.55	50.0	0.00
^05/07/2018	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	6.05	0.00	6.05	50.0	0.00
^05/07/2018	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.55	0.00	2.55	50.0	0.00
^05/14/2018	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	7.22	0.00	7.22	50.0	0.00
^05/21/2018	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.92	0.00	3.92	50.0	0.00
^05/17/2018	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.95	0.00	5.95	50.0	0.00
^05/21/2018	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.46	0.00	4.46	50.0	0.00
^05/21/2018	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.47	0.00	0.47	50.0	0.00
^05/21/2018	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.46	0.00	0.46	50.0	0.00
^05/21/2018	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.66	0.00	0.66	50.0	0.00
^05/21/2018	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.33	0.00	0.33	50.0	0.00
^05/25/2018	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.22	0.00	0.22	50.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$34.84	\$0.00	\$34.84		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$34.84	\$0.00	\$34.84		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
06/15/2018		*PERSHING: PERSHING MARKUP	0.00					0.00
06/15/2018		*PERSHING: BOOKS & RECORDS	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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