

COMMISSION STATEMENT

July 31, 2017

STEPHEN MOSS
1336 MARYLAND AVENUE

HVERTOWN, PA 19082

BROKER #: 60
BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

06/05/2017	00089036403 PA/TOD	GROWTH PORTFOLIO-A	B	350.00	17.50	0.00	17.50	50.0	0.00
06/23/2017	00089036403 PA/TOD	GROWTH PORTFOLIO-A	B	350.00	17.50	0.00	17.50	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *				<u>\$700.00</u>	<u>\$35.00</u>	<u>\$0.00</u>	<u>\$35.00</u>		<u>\$0.00</u>

PRODUCT CATEGORY: STOCKS

06/30/2017	75501532 LUMSDEN, CECILIA	SPDR INDEX SHS FDS S&P WORLD EX-US	S	58.22	0.00	0.00	0.00	50.0	0.00
06/30/2017	75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA B		56.20	0.00	0.00	0.00	50.0	0.00
06/30/2017	75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA B		115.30	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	ISHARES MSCI EMERGING MARKETS ETF	S	663.04	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	ISHARES MSCI EAFE ETF	S	454.65	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	ISHARES S&P500 GROWTH INDEX FD	S	412.71	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	ISHARES TR S&P MIDCAP 400 GROWTH	B	2,367.48	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	ISHARES U S TECHNOLOGY ETF	S	565.68	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	ISHARES U S CONSUMER SERVICES ETF	S	5,052.46	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	ISHARES U S BASIC MATERIALS ETF	S	2,993.43	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	ISHARES U S UTILITIES ETF	S	5,170.40	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	ISHARES U S REAL ESTATE ETF	B	2,353.73	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	ISHARES U S INDUSTRIALS ETF	B	5,036.90	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	ISHARES U S HEALTHCARE ETF	B	4,980.30	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	POWERSHARES QQQ TRUST SERIES 1	S	831.20	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	POWERSHARES PREFERRED PORTFOLIO	S	530.34	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	SPDR DOW JONES INDL AVERAGE ETF TR	S	642.78	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	SPDR S&P 500 ETF TRUST	S	727.80	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	SPDR SER TR BLOOMBERG BARCLAYS INTL S		328.20	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	SPDR SERIES TRUST SPDR BLOOMBERG BA B		5,872.74	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501796 MOSS, KATHLEEN	VANECK VECTORS ETF TR HIGH YIELD MU S		5,935.41	0.00	0.00	0.00	50.0	0.00
07/14/2017	75501796 MOSS, KATHLEEN	MYND ANALYTICS INC COMMON STOCK	B	191.14	0.00	0.00	0.00	50.0	0.00
07/14/2017	75501796 MOSS, KATHLEEN	WTS MYND ANALYTICS INC WARRANT	B	0.38	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501797 HERTEN, TIMOTHY	ISHARES TR S&P MIDCAP 400 GROWTH	B	1,566.80	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501797 HERTEN, TIMOTHY	ISHARES U S CONSUMER SERVICES ETF	S	3,412.97	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501797 HERTEN, TIMOTHY	ISHARES U S BASIC MATERIALS ETF	S	1,972.52	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
07/06/2017	75501797	HERTEN, TIMOTHY	ISHARES U S UTILITIES ETF	S	3,471.39	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501797	HERTEN, TIMOTHY	ISHARES U S REAL ESTATE ETF	B	1,649.92	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501797	HERTEN, TIMOTHY	ISHARES U S INDUSTRIALS ETF	B	3,549.96	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501797	HERTEN, TIMOTHY	ISHARES U S HEALTHCARE ETF	B	3,477.39	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501797	HERTEN, TIMOTHY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		2,422.56	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501797	HERTEN, TIMOTHY	VANECK VECTORS ETF TR HIGH YIELD MU S		2,303.44	0.00	0.00	0.00	50.0	0.00
07/13/2017	75501798	HERTEN, TIMOTHY	OPKO HEALTH INC	S	657.09	0.00	0.00	0.00	50.0	0.00
07/14/2017	75501798	HERTEN, TIMOTHY	MYND ANALYTICS INC COMMON STOCK	B	1,498.64	0.00	0.00	0.00	50.0	0.00
07/14/2017	75501798	HERTEN, TIMOTHY	WTS MYND ANALYTICS INC WARRANT	B	2.86	0.00	0.00	0.00	50.0	0.00
07/19/2017	75501798	HERTEN, TIMOTHY	FIRST SOLAR INC	S	1,137.06	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	ISHARES MSCI EMERGING MARKETS ETF	S	787.55	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	ISHARES MSCI EAFE ETF	S	519.60	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	ISHARES S&P500 GROWTH INDEX FD	S	550.28	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	ISHARES TR S&P MIDCAP 400 GROWTH	B	2,958.30	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	ISHARES U S TECHNOLOGY ETF	S	424.17	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	ISHARES U S CONSUMER GOODS ETF	S	6,781.74	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	ISHARES U S BASIC MATERIALS ETF	S	3,626.80	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	ISHARES U S UTILITIES ETF	S	6,334.23	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	ISHARES U S REAL ESTATE ETF	B	2,981.77	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	ISHARES U S INDUSTRIALS ETF	B	6,229.85	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	ISHARES U S HEALTHCARE ETF	B	6,139.41	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	POWERSHARES QQQ TRUST SERIES 1	S	831.08	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	POWERSHARES PREFERRED PORTFOLIO	S	469.73	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	SPDR DOW JONES INDL AVERAGE ETF TR	S	642.78	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	SPDR S&P 500 ETF TRUST	S	727.76	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	SPDR SER TR BLOOMBERG BARCLAYS INTL S		218.80	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	SPDR SERIES TRUST SPDR BLOOMBERG BA B		7,264.51	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501802	MCELREAVEY, DANIEL	VANECK VECTORS ETF TR HIGH YIELD MU S		7,196.30	0.00	0.00	0.00	50.0	0.00
06/30/2017	75501813	IRWIN, LYNDA	SPDR INDEX SHS FDS S&P WORLD EX-US	S	87.33	0.00	0.00	0.00	50.0	0.00
06/30/2017	75501813	IRWIN, LYNDA	SPDR SERIES TRUST SPDR BLOOMBERG BA B		37.20	0.00	0.00	0.00	50.0	0.00
06/30/2017	75501813	IRWIN, LYNDA	SPDR SERIES TRUST SPDR BLOOMBERG BA B		56.20	0.00	0.00	0.00	50.0	0.00
06/30/2017	75501813	IRWIN, LYNDA	SPDR SERIES TRUST SPDR BLOOMBERG BA B		115.30	0.00	0.00	0.00	50.0	0.00
07/21/2017	75501813	IRWIN, LYNDA	SPDR INDEX SHS FDS S&P WORLD EX-US	S	1,873.62	0.00	0.00	0.00	50.0	0.00
07/21/2017	75501813	IRWIN, LYNDA	SPDR INDEX SHARES FUNDS SPDR S&P EM S		144.21	0.00	0.00	0.00	50.0	0.00
07/21/2017	75501813	IRWIN, LYNDA	SPDR INDEX SHS FDS S&P INTERNATIONAL S		238.63	0.00	0.00	0.00	50.0	0.00
07/21/2017	75501813	IRWIN, LYNDA	SPDR INDEX SHS FDS DOW JONES GLOBAL S		577.32	0.00	0.00	0.00	50.0	0.00
07/21/2017	75501813	IRWIN, LYNDA	SPDR INDEX SHS FDS S&P EMERGING MAR S		487.69	0.00	0.00	0.00	50.0	0.00
07/21/2017	75501813	IRWIN, LYNDA	SPDR RUSSELL 2000 ETF	S	505.80	0.00	0.00	0.00	50.0	0.00
07/21/2017	75501813	IRWIN, LYNDA	SPDR RUSSELL 1000 ETF	S	2,193.93	0.00	0.00	0.00	50.0	0.00
07/21/2017	75501813	IRWIN, LYNDA	SPDR SERIES TRUST SPDR BLOOMBERG BA S		634.44	0.00	0.00	0.00	50.0	0.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
07/21/2017	75501813	IRWIN, LYNDA		SPDR SERIES TRUST SPDR BLOOMBERG BA S	675.60	0.00	0.00	0.00	50.0	0.00
07/21/2017	75501813	IRWIN, LYNDA		SPDR SERIES TRUST SPDR BLOOMBERG BA S	2,487.98	0.00	0.00	0.00	50.0	0.00
07/21/2017	75501813	IRWIN, LYNDA		SPDR SERIES TRUST SPDR BLOOMBERG BA S	182.88	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		ISHARES MSCI EMERGING MARKETS ETF S	1,203.21	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		ISHARES MSCI EAFE ETF S	455.39	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		ISHARES S&P500 GROWTH INDEX FD S	548.56	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		ISHARES TR S&P MIDCAP 400 GROWTH B	5,922.60	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		ISHARES U S CONSUMER SERVICES ETF S	12,413.27	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		ISHARES U S BASIC MATERIALS ETF S	7,172.81	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		ISHARES U S UTILITIES ETF S	12,505.25	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		ISHARES U S REAL ESTATE ETF B	6,074.49	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		ISHARES U S INDUSTRIALS ETF B	12,587.49	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		ISHARES U S HEALTHCARE ETF B	12,557.25	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		POWERSHARES QQQ TRUST SERIES 1 S	962.45	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		POWERSHARES PREFERRED PORTFOLIO S	287.71	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		SPDR DOW JONES INDL AVERAGE ETF TR S	1,287.24	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		SPDR S&P 500 ETF TRUST S	970.88	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		SPDR SER TR BLOOMBERG BARCLAYS INTL S	109.40	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		SPDR SERIES TRUST SPDR BLOOMBERG BA B	8,602.82	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501873	BESETH, DAVID		VANECK VECTORS ETF TR HIGH YIELD MU S	8,340.44	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		ISHARES MSCI EMERGING MARKETS ETF S	1,867.50	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		ISHARES MSCI EAFE ETF S	1,169.75	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		ISHARES S&P500 GROWTH INDEX FD S	1,101.60	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		ISHARES TR S&P MIDCAP 400 GROWTH B	8,078.23	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		ISHARES U S CONSUMER GOODS ETF S	17,520.38	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		ISHARES U S BASIC MATERIALS ETF S	9,789.12	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		ISHARES U S UTILITIES ETF S	17,142.38	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		ISHARES U S REAL ESTATE ETF B	8,127.25	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		ISHARES U S INDUSTRIALS ETF B	16,986.87	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		ISHARES U S HEALTHCARE ETF B	17,087.29	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		POWERSHARES QQQ TRUST SERIES 1 S	1,804.69	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		POWERSHARES PREFERRED PORTFOLIO S	833.39	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		SPDR DOW JONES INDL AVERAGE ETF TR S	1,713.28	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		SPDR S&P 500 ETF TRUST S	1,698.52	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		SPDR SER TR BLOOMBERG BARCLAYS INTL S	437.28	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		SPDR SERIES TRUST SPDR BLOOMBERG BA B	19,893.22	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501875	RALPH G BARTO TRUST		VANECK VECTORS ETF TR HIGH YIELD MU S	19,417.76	0.00	0.00	0.00	50.0	0.00
06/30/2017	75501876	ROHRBACH, EDWARD		SPDR INDEX SHS FDS S&P INTERNATIONAL B	33.38	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501877	MORRIS WEISMAN TTEE		ISHARES MSCI EMERGING MARKETS ETF S	2,488.21	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501877	MORRIS WEISMAN TTEE		ISHARES MSCI EAFE ETF S	844.61	0.00	0.00	0.00	50.0	0.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
07/10/2017	75501877	MORRIS WEISMAN TTEE	ISHARES S&P500 GROWTH INDEX FD	S	1,238.13	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501877	MORRIS WEISMAN TTEE	ISHARES TR S&P MIDCAP 400 GROWTH	B	13,190.28	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S CONSUMER SERVICES ETF	S	26,532.10	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S BASIC MATERIALS ETF	S	15,584.92	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S UTILITIES ETF	S	27,066.90	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S REAL ESTATE ETF	B	13,126.95	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S INDUSTRIALS ETF	B	27,454.39	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S HEALTHCARE ETF	B	27,510.85	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501877	MORRIS WEISMAN TTEE	POWERSHARES QQQ TRUST SERIES 1	S	2,079.94	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501877	MORRIS WEISMAN TTEE	POWERSHARES PREFERRED PORTFOLIO	S	409.12	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501877	MORRIS WEISMAN TTEE	SPDR DOW JONES INDL AVERAGE ETF TR	S	2,569.08	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501877	MORRIS WEISMAN TTEE	SPDR S&P 500 ETF TRUST	S	2,667.67	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501877	MORRIS WEISMAN TTEE	SPDR SERIES TRUST SPDR BLOOMBERG BA B		18,673.95	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501877	MORRIS WEISMAN TTEE	VANECK VECTORS ETF TR HIGH YIELD MU S		17,909.89	0.00	0.00	0.00	50.0	0.00
07/14/2017	75501877	MORRIS WEISMAN TTEE	MYND ANALYTICS INC COMMON STOCK	B	995.94	0.00	0.00	0.00	50.0	0.00
07/14/2017	75501877	MORRIS WEISMAN TTEE	WTS MYND ANALYTICS INC WARRANT	B	1.98	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES MSCI EMERGING MARKETS ETF	S	663.68	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES MSCI EAFE ETF	S	389.92	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES TR S&P MIDCAP 400 GROWTH	B	3,153.76	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S CONSUMER SERVICES ETF	S	6,839.81	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S BASIC MATERIALS ETF	S	3,719.11	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S UTILITIES ETF	S	6,449.01	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S REAL ESTATE ETF	B	3,208.56	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S INDUSTRIALS ETF	B	6,636.50	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S HEALTHCARE ETF	B	6,630.40	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501878	MORRIS WEISMAN TTEE	POWERSHARES QQQ TRUST SERIES 1	S	555.05	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501878	MORRIS WEISMAN TTEE	POWERSHARES PREFERRED PORTFOLIO	S	75.76	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501878	MORRIS WEISMAN TTEE	SPDR DOW JONES INDL AVERAGE ETF TR	S	642.69	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501878	MORRIS WEISMAN TTEE	SPDR S&P 500 ETF TRUST	S	485.22	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501878	MORRIS WEISMAN TTEE	SPDR SERIES TRUST SPDR BLOOMBERG BA B		4,532.70	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501878	MORRIS WEISMAN TTEE	VANECK VECTORS ETF TR HIGH YIELD MU S		4,339.05	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES FLOATING RATE BOND ETF	S	10,272.71	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES IBOX \$ HIGH YIELD CORPORAT S		10,610.90	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES MSCI EMERGING MARKETS ETF	B	3,250.05	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES MSCI EAFE ETF	B	3,503.27	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES RUSSELL 2000 ETF	S	4,750.14	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES S&P500 GROWTH INDEX FD	B	3,407.50	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES S&P SMALL CAP 600 VALUE ETF S		4,717.50	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES S&P SMALL CAP 600 GROWTH ET S		4,798.80	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES S&P MID CAP 400 VALUE ETF	S	4,576.92	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES TR S&P MIDCAP 400 GROWTH	B	3,134.72	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES U S TECHNOLOGY ETF	B	278.08	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES U S CONSUMER GOODS ETF	B	6,750.66	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES U S BASIC MATERIALS ETF	S	5,924.82	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES U S FINANCIALS ETF	S	6,169.82	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES U S REAL ESTATE ETF	B	3,222.91	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES U S INDUSTRIALS ETF	B	6,709.04	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	ISHARES U S HEALTHCARE ETF	B	663.04	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	POWERSHARES QQQ TRUST SERIES 1	B	3,415.69	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	POWERSHARES PREFERRED PORTFOLIO	B	7,714.91	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	SPDR DOW JONES INDL AVERAGE ETF TR	B	3,416.80	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	SPDR S&P 500 ETF TRUST	B	3,135.86	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	SPDR SER TR BLOOMBERG BARCLAYS INTL B	B	7,721.53	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	SPDR SERIES TRUST SPDR BLOOMBERG BA B	B	7,909.94	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	SPDR SERIES TRUST SPDR BLOOMBERG BA S	S	10,285.78	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501922	HAFENMAIER, HELEN	STANDARD & POORS MIDCAP 400 SPDR S& S	S	4,744.69	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	ISHARES MSCI EMERGING MARKETS ETF	S	946.91	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	ISHARES MSCI EAFE ETF	S	389.32	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	ISHARES S&P500 GROWTH INDEX FD	S	409.32	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	ISHARES TR S&P MIDCAP 400 GROWTH	B	4,917.75	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	ISHARES U S TECHNOLOGY ETF	B	556.88	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	ISHARES U S CONSUMER SERVICES ETF	S	10,081.98	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	ISHARES U S BASIC MATERIALS ETF	S	5,850.65	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	ISHARES U S UTILITIES ETF	S	10,286.41	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	ISHARES U S REAL ESTATE ETF	B	4,961.72	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	ISHARES U S INDUSTRIALS ETF	B	10,428.78	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	ISHARES U S HEALTHCARE ETF	B	10,288.90	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	POWERSHARES QQQ TRUST SERIES 1	S	820.04	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	POWERSHARES PREFERRED PORTFOLIO	S	181.71	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	SPDR DOW JONES INDL AVERAGE ETF TR	S	855.64	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	SPDR S&P 500 ETF TRUST	S	966.68	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	SPDR SERIES TRUST SPDR BLOOMBERG BA B	B	7,085.54	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501924	CUNNINGHAM, WENDY	VANECK VECTORS ETF TR HIGH YIELD MU S	S	6,853.55	0.00	0.00	0.00	50.0	0.00
06/29/2017	75501932	CUNICELLI, EDWARD	SPDR INDEX SHS FDS S&P WORLD EX-US	B	29.10	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	ISHARES MSCI EMERGING MARKETS ETF	S	1,242.90	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	ISHARES MSCI EAFE ETF	S	454.91	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	ISHARES S&P500 GROWTH INDEX FD	S	547.88	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	ISHARES TR S&P MIDCAP 400 GROWTH	B	6,504.30	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	ISHARES U S TECHNOLOGY ETF	B	698.90	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	ISHARES U S CONSUMER SERVICES ETF	S	13,367.03	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
07/05/2017	75501937	BERG, ELIZABETH	ISHARES U S BASIC MATERIALS ETF	S	7,712.48	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	ISHARES U S UTILITIES ETF	S	13,507.21	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	ISHARES U S REAL ESTATE ETF	B	6,544.22	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	ISHARES U S INDUSTRIALS ETF	B	13,765.43	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	ISHARES U S HEALTHCARE ETF	B	13,706.30	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	POWERSHARES QQQ TRUST SERIES 1	S	960.77	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	POWERSHARES PREFERRED PORTFOLIO	S	302.85	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	SPDR DOW JONES INDL AVERAGE ETF TR	S	1,286.22	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	SPDR S&P 500 ETF TRUST	S	1,212.30	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	SPDR SER TR BLOOMBERG BARCLAYS INTL S		109.36	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	SPDR SERIES TRUST SPDR BLOOMBERG BA B		9,379.54	0.00	0.00	0.00	50.0	0.00
07/05/2017	75501937	BERG, ELIZABETH	VANECK VECTORS ETF TR HIGH YIELD MU S		9,057.50	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501937	BERG, ELIZABETH	ISHARES MSCI EMERGING MARKETS ETF	S	415.20	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501937	BERG, ELIZABETH	ISHARES MSCI EAFE ETF	S	389.62	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501937	BERG, ELIZABETH	ISHARES S&P500 GROWTH INDEX FD	S	412.44	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501937	BERG, ELIZABETH	ISHARES TR S&P MIDCAP 400 GROWTH	S	393.24	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501937	BERG, ELIZABETH	ISHARES U S CONSUMER GOODS ETF	S	726.74	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501937	BERG, ELIZABETH	ISHARES U S REAL ESTATE ETF	S	235.84	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501937	BERG, ELIZABETH	ISHARES U S INDUSTRIALS ETF	S	793.74	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501937	BERG, ELIZABETH	ISHARES U S HEALTHCARE ETF	S	663.08	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501937	BERG, ELIZABETH	POWERSHARES QQQ TRUST SERIES 1	S	414.91	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501937	BERG, ELIZABETH	POWERSHARES PREFERRED PORTFOLIO	S	515.19	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501937	BERG, ELIZABETH	SPDR DOW JONES INDL AVERAGE ETF TR	S	428.38	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501937	BERG, ELIZABETH	SPDR S&P 500 ETF TRUST	S	484.82	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501937	BERG, ELIZABETH	SPDR SER TR BLOOMBERG BARCLAYS INTL S		492.12	0.00	0.00	0.00	50.0	0.00
07/10/2017	75501937	BERG, ELIZABETH	SPDR SERIES TRUST SPDR BLOOMBERG BA S		547.25	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES FLOATING RATE BOND ETF	S	8,493.64	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES IBOX \$ HIGH YIELD CORPORAT S		8,776.34	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES MSCI EMERGING MARKETS ETF	B	4,366.06	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES MSCI EAFE ETF	B	4,672.07	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES RUSSELL 2000 ETF	S	6,309.58	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES S&P500 GROWTH INDEX FD	B	4,638.62	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES S&P SMALL CAP 600 VALUE ETF S		5,987.07	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES S&P SMALL CAP 600 GROWTH ET S		6,213.20	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES S&P MID CAP 400 VALUE ETF	S	6,078.35	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES TR S&P MIDCAP 400 GROWTH	B	4,325.20	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES U S TECHNOLOGY ETF	B	695.55	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES U S CONSUMER GOODS ETF	B	9,055.31	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES U S BASIC MATERIALS ETF	S	7,910.32	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES U S FINANCIALS ETF	S	8,029.19	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES U S REAL ESTATE ETF	B	4,333.31	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES U S INDUSTRIALS ETF	B	8,973.27	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	ISHARES U S HEALTHCARE ETF	B	829.35	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	POWERSHARES QQQ TRUST SERIES 1	B	4,647.38	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	POWERSHARES PREFERRED PORTFOLIO	B	5,998.05	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	SPDR DOW JONES INDL AVERAGE ETF TR	B	4,703.38	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	SPDR S&P 500 ETF TRUST	B	4,348.80	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	SPDR SER TR BLOOMBERG BARCLAYS INTL B		6,017.35	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA B		6,142.61	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA S		8,502.80	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501944	EDWARD W CUNICELLI	STANDARD & POORS MIDCAP 400 SPDR S& S		6,032.74	0.00	0.00	0.00	50.0	0.00
06/29/2017	75501945	EDWARD W CUNICELLI	SPDR RUSSELL 1000 ETF	B	113.65	0.00	0.00	0.00	50.0	0.00
06/29/2017	75501945	EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA B		57.75	0.00	0.00	0.00	50.0	0.00
06/30/2017	75501945	EDWARD W CUNICELLI	SPDR INDEX SHS FDS S&P WORLD EX-US	S	87.33	0.00	0.00	0.00	50.0	0.00
06/30/2017	75501945	EDWARD W CUNICELLI	SPDR INDEX SHS FDS S&P INTERNATIONAL	S	33.32	0.00	0.00	0.00	50.0	0.00
06/30/2017	75501945	EDWARD W CUNICELLI	SPDR INDEX SHS FDS DOW JONES GLOBAL	B	47.69	0.00	0.00	0.00	50.0	0.00
06/30/2017	75501945	EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA B		37.20	0.00	0.00	0.00	50.0	0.00
06/30/2017	75501945	EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA B		45.75	0.00	0.00	0.00	50.0	0.00
06/29/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		56.37	0.00	0.00	0.00	50.0	0.00
06/29/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		57.75	0.00	0.00	0.00	50.0	0.00
06/30/2017	75501994	MCCOACH, MARY	SPDR INDEX SHS FDS S&P WORLD EX-US	S	58.22	0.00	0.00	0.00	50.0	0.00
06/30/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		37.20	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501994	MCCOACH, MARY	SPDR INDEX SHS FDS S&P WORLD EX-US	S	144.75	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501994	MCCOACH, MARY	SPDR INDEX SHARES FUNDS SPDR S&P EM	S	46.51	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501994	MCCOACH, MARY	SPDR INDEX SHS FDS S&P INTERNATIONAL	S	32.98	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501994	MCCOACH, MARY	SPDR INDEX SHS FDS DOW JONES GLOBAL	S	47.03	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501994	MCCOACH, MARY	SPDR INDEX SHS FDS S&P EMERGING MAR	S	66.31	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501994	MCCOACH, MARY	SPDR RUSSELL 1000 ETF	S	226.22	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA S		73.90	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA S		111.76	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA S		229.20	0.00	0.00	0.00	50.0	0.00
07/06/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA S		45.71	0.00	0.00	0.00	50.0	0.00
06/30/2017	75502094	VERBITSKI, MAUREEN	SPDR INDEX SHS FDS S&P WORLD EX-US	S	116.44	0.00	0.00	0.00	50.0	0.00
06/30/2017	75502094	VERBITSKI, MAUREEN	SPDR INDEX SHARES FUNDS SPDR S&P EM	B	46.75	0.00	0.00	0.00	50.0	0.00
06/30/2017	75502094	VERBITSKI, MAUREEN	SPDR INDEX SHS FDS S&P INTERNATIONAL	S	33.32	0.00	0.00	0.00	50.0	0.00
06/30/2017	75502094	VERBITSKI, MAUREEN	SPDR INDEX SHS FDS DOW JONES GLOBAL	B	47.69	0.00	0.00	0.00	50.0	0.00
06/30/2017	75502094	VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA B		57.65	0.00	0.00	0.00	50.0	0.00
06/30/2017	75502094	VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA B		45.75	0.00	0.00	0.00	50.0	0.00
07/14/2017	75502095	VERBITSKI, THOMAS	MYND ANALYTICS INC COMMON STOCK	B	1,996.91	0.00	0.00	0.00	50.0	0.00
07/14/2017	75502095	VERBITSKI, THOMAS	WTS MYND ANALYTICS INC WARRANT	B	3.97	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: RIA

* STOCKS SUBTOTAL *	\$1,087,169.41	\$0.00	\$0.00	\$0.00	\$0.00
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PRODUCT CATEGORY: RIA

07/18/2017 75501796 MOSS, KATHLEEN	FAS FEES	B	-158.10	158.10	0.00	158.10	50.0	79.05
07/18/2017 75501797 HERTEN, TIMOTHY	FAS FEES	B	-104.90	104.90	0.00	104.90	50.0	52.45
07/18/2017 75501799 HERTEN, TIMOTHY	FAS FEES	B	-110.10	110.10	0.00	110.10	50.0	55.05
07/18/2017 75501801 JOHN W SCHULTZ TTEE	FAS FEES	B	-317.35	317.35	0.00	317.35	50.0	158.68
07/18/2017 75501802 MCELREAVEY, DANIEL	FAS FEES	B	-224.77	224.77	0.00	224.77	50.0	112.39
07/18/2017 75501873 BESETH, DAVID	FAS FEES	B	-398.46	398.46	0.00	398.46	50.0	199.23
07/18/2017 75501875 RALPH G BARTO TRUST	FAS FEES	B	-570.88	570.88	0.00	570.88	50.0	285.44
07/18/2017 75501877 MORRIS WEISMAN TTEE	FAS FEES	B	-1,505.66	1,505.66	0.00	1,505.66	50.0	752.83
07/18/2017 75501878 MORRIS WEISMAN TTEE	FAS FEES	B	-196.12	196.12	0.00	196.12	50.0	98.06
07/18/2017 75501907 HESTON, EUGENE	FAS FEES	B	-367.86	367.86	0.00	367.86	50.0	183.93
07/18/2017 75501922 HAFENMAIER, HELEN	FAS FEES	B	-252.07	252.07	0.00	252.07	50.0	126.04
07/18/2017 75501924 CUNNINGHAM, WENDY	FAS FEES	B	-327.48	327.48	0.00	327.48	50.0	163.74
07/18/2017 75501937 BERG, ELIZABETH	FAS FEES	B	-433.14	433.14	0.00	433.14	50.0	216.57
07/18/2017 75501944 EDWARD W CUNICELLI	FAS FEES	B	-265.01	265.01	0.00	265.01	50.0	132.51
07/18/2017 75502025 THIEU, MINH	FAS FEES	B	-440.00	440.00	0.00	440.00	50.0	220.00
07/18/2017 75502095 VERBITSKI, THOMAS	FAS FEES	B	-847.17	847.17	0.00	847.17	50.0	423.59
* RIA SUBTOTAL *			\$-6,519.07	\$6,519.07	\$0.00	\$6,519.07		\$3,259.56

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2017 00089036403 PA/TOD	GROWTH PORTFOLIO-A	B	0.00	1.42	0.00	1.42	50.0	0.00
06/01/2017 01209597006 WEISMAN, ELI	THE GROWTH FUND OF AMERICA-529A	B	0.00	0.24	0.00	0.24	50.0	0.00
06/01/2017 01209597006 WEISMAN, ELI	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	7.79	0.00	7.79	50.0	3.90
07/12/2017 75501795 SPILLANE, TIMOTHY	FRANKLIN MODERATE ALLOCATION FD CL	B	0.00	0.66	0.00	0.66	50.0	0.00
07/12/2017 75501815 TAMBURRO, ALBERT	**JPMORGAN TR I	B	0.00	1.17	0.00	1.17	50.0	0.00
07/12/2017 75501874 ULIRCUIS, WILLIAM	MFS PENNSYLVANIA MUNICIPAL BOND FUN	B	0.00	0.30	0.00	0.30	50.0	0.00
07/12/2017 75502016 WEST, JEFFREY	**GABELLI EQUITY SERIES FUNDS	B	0.00	0.46	0.00	0.46	50.0	0.00
07/12/2017 75502016 WEST, JEFFREY	TEMP GLOBAL BOND FND - CL A	B	0.00	0.15	0.00	0.15	50.0	0.00
07/12/2017 75502017 WEST, KELLY	**GABELLI EQUITY SERIES FUNDS	B	0.00	0.46	0.00	0.46	50.0	0.00
07/12/2017 75502017 WEST, KELLY	TEMP GLOBAL BOND FND - CL A	B	0.00	0.15	0.00	0.15	50.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$12.80	\$0.00	\$12.80		\$3.90
*** BROKER TRANSACTIONS TOTAL ***			\$1,081,350.34	\$6,566.87	\$0.00	\$6,566.87		\$3,263.46

BROKER COMMISSION TOTALS

Broker Transactions	\$3,263.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-25.13
FINRA Assessment	\$-8.21
SIPC Assessment	\$-16.42

Check Amount	\$3,213.70
Year-to-date Earnings	\$3,238.83