



All Companies
COMMISSION STATEMENT
June 01, 2018

05/30/2018
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CHRISTOPHER SNOW
6336 WARWICK HILLS DR

BROKER #: 4854
BRANCH: HOME OFFICE

FORT WORTH, TX 76132-

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/30/2018	TRAILS		-VIRTUS FUNDS	B	5.35	5.35	0.00	5.35	70.0	3.75	
04/30/2018	TRAILS		BLACK ROCK	B	3.10	3.10	0.00	3.10	70.0	2.17	
05/07/2018	00067005104	TURNAGE, EASTON	WASHINGTON MUTUAL INVESTORS FUND-52	B	50.00	2.13	0.00	2.13	70.0	1.49	
05/07/2018	00067005105	TURNAGE, EASTON	WASHINGTON MUTUAL INVESTORS FUND-52	B	50.00	2.13	0.00	2.13	70.0	1.49	
05/15/2018	00089517055	MCKIMMEY, KENDALL	AMCAP FUND-529A	B	115.00	5.75	0.00	5.75	70.0	4.03	
	W.										
05/14/2018	02000171308	CENTURY	AMERICAN FUNDS AMCAP FD	B	15.18	0.15	0.00	0.15	70.0	0.11	
	ENGINEERING										
05/14/2018	02000171308	CENTURY	AMERICAN FUNDS BALANCED FD	B	15.18	0.15	0.00	0.15	70.0	0.11	
	ENGINEERING										
05/14/2018	02000171308	CENTURY	AMERICAN FUNDS BD FD OF AMER	FD	B	15.18	0.15	0.00	0.15	70.0	0.11
	ENGINEERING										
05/14/2018	02000171308	CENTURY	AMERICAN FUNDS CAPITAL WORLD	GROWT	B	15.14	0.15	0.00	0.15	70.0	0.11
	ENGINEERING										
05/14/2018	02000171308	CENTURY	AMERICAN FUNDS EURO PACIFIC	GROWT	B	15.18	0.15	0.00	0.15	70.0	0.11
	ENGINEERING										
05/14/2018	02000171308	CENTURY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	15.18	0.15	0.00	0.15	70.0	0.11	
	ENGINEERING										
05/14/2018	02000171308	CENTURY	AMERICAN FUNDS INCOME FD OF	AMERI	B	15.18	0.15	0.00	0.15	70.0	0.11
	ENGINEERING										
05/14/2018	02000171308	CENTURY	AMERICAN FUNDS INTERM BD FD OF AMER	B	7.59	0.08	0.00	0.08	70.0	0.06	
	ENGINEERING										
05/14/2018	02000171308	CENTURY	AMERICAN FUNDS INVESTMENT COMP OF A	B	15.18	0.15	0.00	0.15	70.0	0.11	
	ENGINEERING										
05/14/2018	02000171308	CENTURY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	15.18	0.15	0.00	0.15	70.0	0.11	
	ENGINEERING										
05/14/2018	02000171308	CENTURY	AMERICAN FUNDS WA MUTUAL	INVES	B	7.59	0.08	0.00	0.08	70.0	0.06
	ENGINEERING										
05/14/2018	02000171317	ALL CALLS TO X	AMERICAN FUNDS BD FD OF AMER	FD	B	30.00	0.30	0.00	0.30	70.0	0.21
	#8076, TRANSFER										
05/14/2018	02000171317	ALL CALLS TO X	AMERICAN FUNDS INCOME FD OF	AMERI	B	30.00	0.30	0.00	0.30	70.0	0.21

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	#8076, TRANSFER								
05/14/2018	02000171317 ALL CALLS TO X	AMERICAN FUNDS INTERM BD FD OF AMER B		30.00	0.30	0.00	0.30	70.0	0.21
	#8076, TRANSFER								
05/14/2018	02000171317 ALL CALLS TO X	AMERICAN FUNDS WA MUTUAL	INVES B	15.00	0.15	0.00	0.15	70.0	0.11
	#8076, TRANSFER								
05/14/2018	02001077857 ALL CALLS TO X	AMERICAN FUNDS AMCAP FD	B	2.00	0.02	0.00	0.02	70.0	0.01
	#8076, TRANSFER								
05/14/2018	02001077857 ALL CALLS TO X	AMERICAN FUNDS BD FD OF AMER FD B		3.00	0.03	0.00	0.03	70.0	0.02
	#8076, TRANSFER								
05/14/2018	02001077857 ALL CALLS TO X	AMERICAN FUNDS EURO PACIFIC GROWT B		2.00	0.02	0.00	0.02	70.0	0.01
	#8076, TRANSFER								
05/14/2018	02001077857 ALL CALLS TO X	AMERICAN FUNDS GROWTH FUND OF AMERI B		2.00	0.02	0.00	0.02	70.0	0.01
	#8076, TRANSFER								
05/14/2018	02001077857 ALL CALLS TO X	AMERICAN FUNDS INTERM BD FD OF AMER B		3.00	0.03	0.00	0.03	70.0	0.02
	#8076, TRANSFER								
05/14/2018	02001077857 ALL CALLS TO X	AMERICAN FUNDS INVESTMENT COMP OF A B		3.00	0.03	0.00	0.03	70.0	0.02
	#8076, TRANSFER								
05/14/2018	02001077857 ALL CALLS TO X	AMERICAN FUNDS WA MUTUAL	INVES B	3.00	0.03	0.00	0.03	70.0	0.02
	#8076, TRANSFER								
05/15/2018	04000205238 MCKIMMEY, KENDALL W.	CAPITAL INCOME BUILDER-529A	B	115.00	5.75	0.00	5.75	70.0	4.03
		* MUTUAL FUNDS SUBTOTAL *		\$613.21	\$26.95	\$0.00	\$26.95		\$18.92
<u>PRODUCT CATEGORY: STOCKS</u>									
05/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	766.69	766.69	0.00	766.69	70.0	536.68
		* STOCKS SUBTOTAL *		\$766.69	\$766.69	\$0.00	\$766.69		\$536.68
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/04/2018	TRAILS	-JOHN HANCOCK	B	334.74	334.74	0.00	334.74	70.0	234.32
05/14/2018	PEPPER, HELEN	-NATIONWIDE LIFE	B	64.68	4.69	0.00	4.69	70.0	3.28
04/15/2018	COMP USA	METROPOLITAN LIFE AND AFFILIATED CO B		5,180.86	518.09	0.00	518.09	70.0	362.66
04/30/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	364.71	364.71	0.00	364.71	70.0	255.30
05/17/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	255.87	255.87	0.00	255.87	70.0	179.11
05/16/2018	TRAILS	VOYA INSURANCE AND ANNUITY	B	384.59	384.59	0.00	384.59	70.0	269.21
		* VARIABLE ANNUITIES SUBTOTAL *		\$6,585.45	\$1,862.69	\$0.00	\$1,862.69		\$1,303.88
<u>PRODUCT CATEGORY: RIA</u>									
04/17/2018	11124600 GOODMAN, PAUL R	SIGNAL SECURITIES RIA	B	0.00	281.00	0.00	281.00	70.0	196.70
04/17/2018	12390799 SINGLETON, MARION B & STEV	SIGNAL SECURITIES RIA	B	0.00	39.33	0.00	39.33	70.0	27.53
04/17/2018	18300233 MOORE, RUSSELL ALLAN	SIGNAL SECURITIES RIA	B	0.00	132.67	0.00	132.67	70.0	92.87

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/17/2018	18987768 TREADWELL, TONEY ELLEN	SIGNAL SECURITIES RIA	B	0.00	30.67	0.00	30.67	70.0	21.47
04/17/2018	22527639 ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES RIA	B	0.00	9.67	0.00	9.67	70.0	6.77
04/17/2018	27525617 NYUL, PAUL RYAN	SIGNAL SECURITIES RIA	B	0.00	344.33	0.00	344.33	70.0	241.03
04/17/2018	27562425 MCKINNEY, HAROLD LOUIS	SIGNAL SECURITIES RIA	B	0.00	14.67	0.00	14.67	70.0	10.27
04/17/2018	28409072 RITTBY, MAGNUS LENNART	SIGNAL SECURITIES RIA	B	0.00	691.00	0.00	691.00	70.0	483.70
04/17/2018	28442209 GUTH, ERIC C.	SIGNAL SECURITIES RIA	B	0.00	489.00	0.00	489.00	70.0	342.30
04/17/2018	29294822 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	23.33	0.00	23.33	70.0	16.33
04/17/2018	30917351 SCHEIDEMAN, SUMMER SHARIE	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	70.0	1.40
04/17/2018	32062105 TRIMARCO, JOHN R.	SIGNAL SECURITIES RIA	B	0.00	212.67	0.00	212.67	70.0	148.87
04/17/2018	37406476 WALES, CHRIS & ANDREA	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	70.0	13.77
04/17/2018	39194204 THORSON, CRAIG ADAM	SIGNAL SECURITIES RIA	B	0.00	420.33	0.00	420.33	70.0	294.23
04/17/2018	39923272 MOORE, RUSSELL ALLAN	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	70.0	5.13
05/15/2018	401K SRNKA, DAVID	SIGNAL SECURITIES RIA	B	0.00	90.33	0.00	90.33	70.0	63.23
04/17/2018	40772483 MCKINNEY, JUDITH ANN	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	70.0	6.07
04/17/2018	41231228 HAGAN, SHERYL	SIGNAL SECURITIES RIA	B	0.00	1,299.67	0.00	1,299.67	70.0	909.77
04/17/2018	44998184 FENLEY, REBECCA K	SIGNAL SECURITIES RIA	B	0.00	217.33	0.00	217.33	70.0	152.13
04/17/2018	45505681 D'ONOFRIO, JUN & BROOKE	SIGNAL SECURITIES RIA	B	0.00	164.33	0.00	164.33	70.0	115.03
04/17/2018	45603849 HOWARD REV. TRUST, CAROLYN COX	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	70.0	9.10
04/17/2018	50429988 SINGLETON, MARION B & STEV	SIGNAL SECURITIES RIA	B	0.00	1,875.33	0.00	1,875.33	70.0	1,312.73
04/17/2018	50676897 MERLO, CHRIS J.	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	70.0	1.40
04/17/2018	50869265 MERLO, CHRIS J.	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	70.0	3.97
04/17/2018	52520997 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	70.0	7.23
04/17/2018	52610132 SIMONE, GARY & PENELOPE	SIGNAL SECURITIES RIA	B	0.00	128.00	0.00	128.00	70.0	89.60
04/17/2018	56345160 MCKIMMEY, DREW & KENDALL	SIGNAL SECURITIES RIA	B	0.00	12.67	0.00	12.67	70.0	8.87
04/17/2018	57264459 KELLERMAN, MICHAEL E	SIGNAL SECURITIES RIA	B	0.00	11.67	0.00	11.67	70.0	8.17
04/17/2018	60684203 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33	70.0	2.33
04/17/2018	61324514 MORGAN, HELGA I	SIGNAL SECURITIES RIA	B	0.00	36.00	0.00	36.00	70.0	25.20
04/17/2018	62479593 MCKIMMEY, DREW & KENDALL	SIGNAL SECURITIES RIA	B	0.00	17.67	0.00	17.67	70.0	12.37
04/17/2018	63085125 SIMONE, PENELOPE ANN	SIGNAL SECURITIES RIA	B	0.00	295.00	0.00	295.00	70.0	206.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
OW									
04/17/2018	71324279 TREADWELL, TONEY ELLEN	SIGNAL SECURITIES RIA	B	0.00	562.00	0.00	562.00	70.0	393.40
04/17/2018	72500561 GUTH, ERIC C.	SIGNAL SECURITIES RIA	B	0.00	0.33	0.00	0.33	70.0	0.23
04/17/2018	73189180 WIMBERLY, MELISSA BROWNING	SIGNAL SECURITIES RIA	B	0.00	87.33	0.00	87.33	70.0	61.13
04/17/2018	74389400 ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES RIA	B	0.00	9.33	0.00	9.33	70.0	6.53
04/17/2018	74395342 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	9.33	0.00	9.33	70.0	6.53
04/17/2018	76521383 HENDERSON, CLIFFORD & KATH	SIGNAL SECURITIES RIA	B	0.00	112.67	0.00	112.67	70.0	78.87
04/17/2018	77103397 UHR, MARGARET K	SIGNAL SECURITIES RIA	B	0.00	128.33	0.00	128.33	70.0	89.83
04/17/2018	77901571 MCKIMMEY, DREW & KENDALL	SIGNAL SECURITIES RIA	B	0.00	48.67	0.00	48.67	70.0	34.07
04/17/2018	78113997 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	61.67	0.00	61.67	70.0	43.17
04/17/2018	78797347 WALES, CHRIS & ANDREA	SIGNAL SECURITIES RIA	B	0.00	70.67	0.00	70.67	70.0	49.47
04/17/2018	78947702 SIMONE, GARY & PENELOPE	SIGNAL SECURITIES RIA	B	0.00	253.67	0.00	253.67	70.0	177.57
04/17/2018	80337453 TREADWELL, TONEY ELLEN	SIGNAL SECURITIES RIA	B	0.00	108.33	0.00	108.33	70.0	75.83
04/17/2018	82350222 ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES RIA	B	0.00	239.67	0.00	239.67	70.0	167.77
04/17/2018	82374695 KELLERMAN, MICHAEL E	SIGNAL SECURITIES RIA	B	0.00	181.67	0.00	181.67	70.0	127.17
04/17/2018	83025761 SIMONE, PENELOPE ANN OW	SIGNAL SECURITIES RIA	B	0.00	131.67	0.00	131.67	70.0	92.17
04/17/2018	83130926 ZEIGLER, KATHY JO	SIGNAL SECURITIES RIA	B	0.00	75.33	0.00	75.33	70.0	52.73
04/17/2018	87845699 KELLERMAN, NANCY/MICHAEL	SIGNAL SECURITIES RIA	B	0.00	617.00	0.00	617.00	70.0	431.90
04/17/2018	92989374 SCHEIDEMAN, JOHN PARKER	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	70.0	0.47
04/17/2018	93302124 SCHEIDEMAN, JOHN PARKER	SIGNAL SECURITIES RIA	B	0.00	62.33	0.00	62.33	70.0	43.63
04/17/2018	95235681 STARE INVESTMENTS LP	SIGNAL SECURITIES RIA	B	0.00	480.67	0.00	480.67	70.0	336.47
04/17/2018	97573277 GARZA, RICARDO C	SIGNAL SECURITIES RIA	B	0.00	436.67	0.00	436.67	70.0	305.67
04/17/2018	98761332 LOPEZ, VICTOR	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	70.0	6.30
04/17/2018	99462551 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	219.33	0.00	219.33	70.0	153.53
* RIA SUBTOTAL *				\$0.00	\$10,815.01	\$0.00	\$10,815.01		\$7,570.51
*** BROKER TRANSACTIONS TOTAL ***				\$7,965.35	\$13,471.34	\$0.00	\$13,471.34		\$9,429.99

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SNOW/MOYNIHAN #9543</u>									
04/13/2018	47895792 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	252.40	0.00	252.40	70.0	176.68
04/13/2018	75756775 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	157.00	0.00	157.00	70.0	109.90
04/13/2018	83385351 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	22.00	0.00	22.00	70.0	15.40
04/13/2018	91616746 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	10.20	0.00	10.20	70.0	7.14
* SNOW/MOYNIHAN SUBTOTAL *				<u>\$0.00</u>	<u>\$441.60</u>	<u>\$0.00</u>	<u>\$441.60</u>		<u>\$309.12</u>
<u>BROKER: SINGLETON/SNOW #9575</u>									
04/13/2018	57689062 SINGLETON, STEVEN B	SIGNAL SECURITIES RIA	B	0.00	114.17	0.00	114.17	70.0	79.92
04/13/2018	97231190 CHRISTOFORETTI, II, ERIN/JOHN	SIGNAL SECURITIES RIA	B	0.00	165.67	0.00	165.67	70.0	115.97
* SINGLETON/SNOW SUBTOTAL *				<u>\$0.00</u>	<u>\$279.84</u>	<u>\$0.00</u>	<u>\$279.84</u>		<u>\$195.89</u>
<u>BROKER: PALMERSHEIM/SNOW #9613</u>									
05/15/2018	BUSH, ABE A. (JR.)	SIGNAL SECURITIES RIA	B	188.33	75.33	0.00	75.33	70.0	52.73
05/15/2018	00083950080 CUNNINGHAM, MARY	AMERICAN FUNDS EURO PACIFIC	GROWT B	175.00	3.50	0.00	3.50	70.0	2.45
04/13/2018	10556019 GILLILAND, JULIE DEANN	SIGNAL SECURITIES RIA	B	0.00	28.53	0.00	28.53	70.0	19.97
04/13/2018	10882572 BRUCE, JUDITH IRENE	SIGNAL SECURITIES RIA	B	0.00	88.40	0.00	88.40	70.0	61.88
04/13/2018	15447982 PEARSON, DIANNE H	SIGNAL SECURITIES RIA	B	0.00	110.80	0.00	110.80	70.0	77.56
04/13/2018	16695746 QUANBECK, REA DENISE	SIGNAL SECURITIES RIA	B	0.00	28.80	0.00	28.80	70.0	20.16
04/13/2018	18091956 BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	18.40	0.00	18.40	70.0	12.88
04/13/2018	20770029 BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	185.20	0.00	185.20	70.0	129.64
04/13/2018	20872135 SLAUGHTER, JUSTINE MARIE	SIGNAL SECURITIES RIA	B	0.00	58.40	0.00	58.40	70.0	40.88
04/13/2018	22163519 ABBOTT, JAMES ADDISON	SIGNAL SECURITIES RIA	B	0.00	13.87	0.00	13.87	70.0	9.71
04/13/2018	27933838 RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	19.20	0.00	19.20	70.0	13.44
04/13/2018	29192217 JOHNSON, TONYA WAYNETTE	SIGNAL SECURITIES RIA	B	0.00	57.07	0.00	57.07	70.0	39.95
04/13/2018	29329286 QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	B	0.00	236.93	0.00	236.93	70.0	165.85
04/13/2018	30561785 THOMAS, LARRY D	SIGNAL SECURITIES RIA	B	0.00	84.53	0.00	84.53	70.0	59.17
04/13/2018	31207916 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	74.80	0.00	74.80	70.0	52.36
04/13/2018	31528580 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	215.87	0.00	215.87	70.0	151.11
04/13/2018	31671856 THOMAS, POLLY S.	SIGNAL SECURITIES RIA	B	0.00	12.27	0.00	12.27	70.0	8.59
04/13/2018	33117987 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	12.93	0.00	12.93	70.0	9.05
04/13/2018	35080832 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	21.87	0.00	21.87	70.0	15.31

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<u>SPLIT TRANSACTIONS</u>									
BROKER: PALMERSHEIM/SNOW #9613									
04/13/2018 35770153	RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	4.93	0.00	4.93	70.0	3.45
04/13/2018 39222483	REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	103.87	0.00	103.87	70.0	72.71
04/13/2018 39816703	WARREN, GLORIA CLARK	SIGNAL SECURITIES RIA	B	0.00	72.13	0.00	72.13	70.0	50.49
05/15/2018 401K OPTIMIZER	PUKIS, BARBARA ANN	SIGNAL SECURITIES RIA	B	240.00	96.00	0.00	96.00	70.0	67.20
04/13/2018 41540562	LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	8.53	0.00	8.53	70.0	5.97
04/13/2018 41540562	LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	149.73	0.00	149.73	70.0	104.81
04/13/2018 42458316	WARREN, WILLIAM& GLORIA	SIGNAL SECURITIES RIA	B	0.00	42.13	0.00	42.13	70.0	29.49
04/13/2018 49635286	BERNARD, DONNA GAY PANCA	SIGNAL SECURITIES RIA	B	0.00	17.20	0.00	17.20	70.0	12.04
04/13/2018 51260395	SUTHERLAND, MIKE DEAN	SIGNAL SECURITIES RIA	B	0.00	276.13	0.00	276.13	70.0	193.29
04/13/2018 51489395	FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	135.47	0.00	135.47	70.0	94.83
04/13/2018 52007712	CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	2.67	0.00	2.67	70.0	1.87
04/13/2018 56512595	BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	6.93	0.00	6.93	70.0	4.85
04/13/2018 58196619	STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	0.93	0.00	0.93	70.0	0.65
04/13/2018 59968411	KINUNEN, LEZLIE ANNE	SIGNAL SECURITIES RIA	B	0.00	89.07	0.00	89.07	70.0	62.35
04/13/2018 62652031	JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	103.73	0.00	103.73	70.0	72.61
04/13/2018 64024640	QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	B	0.00	25.73	0.00	25.73	70.0	18.01
04/13/2018 64679619	WARREN, WILLIAM& GLORIA	SIGNAL SECURITIES RIA	B	0.00	76.53	0.00	76.53	70.0	53.57
04/13/2018 67104851	JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	122.00	0.00	122.00	70.0	85.40
04/13/2018 68428952	HORN, JEFFREY	SIGNAL SECURITIES RIA	B	0.00	233.73	0.00	233.73	70.0	163.61
04/13/2018 68892175	LAWRENCE, JERROLD B	SIGNAL SECURITIES RIA	B	0.00	177.20	0.00	177.20	70.0	124.04
04/13/2018 70196330	JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	31.73	0.00	31.73	70.0	22.21
04/13/2018 71014745	ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	15.87	0.00	15.87	70.0	11.11
04/13/2018 72540730	BLALOCK III, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	156.13	0.00	156.13	70.0	109.29
04/13/2018 73959390	VOIRIN FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	16.67	0.00	16.67	70.0	11.67
04/13/2018 74609933	REESE, PEGGY M	SIGNAL SECURITIES RIA	B	0.00	8.80	0.00	8.80	70.0	6.16
04/13/2018 74970598	MCKEEL, GWEN F	SIGNAL SECURITIES RIA	B	0.00	17.60	0.00	17.60	70.0	12.32
04/13/2018 75970772	SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	20.67	0.00	20.67	70.0	14.47
04/13/2018 79512456	BRUCE, GRANVILLE WAYNE	SIGNAL SECURITIES RIA	B	0.00	54.67	0.00	54.67	70.0	38.27
04/13/2018 81184800	SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	191.20	0.00	191.20	70.0	133.84
04/13/2018 81184802	SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	31.07	0.00	31.07	70.0	21.75
04/13/2018 81944763	RENDER,	SIGNAL SECURITIES RIA	B	0.00	149.60	0.00	149.60	70.0	104.72

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
SPLIT TRANSACTIONS									
BROKER: PALMERSHEIM/SNOW #9613									
SYLVIA/RICHARD									
04/13/2018	82007581	KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	147.60	0.00	147.60	70.0 103.32
04/13/2018	85616643	STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	19.60	0.00	19.60	70.0 13.72
04/13/2018	87940275	HART, CHARLOTT/ROBERT	SIGNAL SECURITIES RIA	B	0.00	75.87	0.00	75.87	70.0 53.11
04/13/2018	89721188	HARRIS, SHARON K	SIGNAL SECURITIES RIA	B	0.00	0.53	0.00	0.53	70.0 0.37
04/13/2018	90768067	ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	106.40	0.00	106.40	70.0 74.48
04/13/2018	91118996	BRENNAN, JEAN S	SIGNAL SECURITIES RIA	B	0.00	7.47	0.00	7.47	70.0 5.23
04/13/2018	91789542	FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	30.00	0.00	30.00	70.0 21.00
04/13/2018	91886872	ABBOTT, NANCYE/JAMES	SIGNAL SECURITIES RIA	B	0.00	267.87	0.00	267.87	70.0 187.51
04/13/2018	95761721	KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	4.00	0.00	4.00	70.0 2.80
04/13/2018	96915588	JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	70.0 6.07
04/13/2018	97397744	REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	72.67	0.00	72.67	70.0 50.87
04/13/2018	99442454	CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	16.93	0.00	16.93	70.0 11.85
05/15/2018	FIDELITY 401K	KERN, NINA M	SIGNAL SECURITIES RIA	B	0.00	118.13	0.00	118.13	70.0 82.69
* PALMERSHEIM/SNOW SUBTOTAL *					\$603.33	\$4,661.09	\$0.00	\$4,661.09	\$3,262.76
BROKER: ERIC CHARLES GUTH #9617									
04/13/2018	11999298	BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	249.36	0.00	249.36	70.0 174.55
04/13/2018	13081653	FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	16.56	0.00	16.56	70.0 11.59
04/13/2018	17017159	RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	1.12	0.00	1.12	70.0 0.78
04/13/2018	24551097	HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	64.56	0.00	64.56	70.0 45.19
04/13/2018	27613913	RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	72.40	0.00	72.40	70.0 50.68
04/13/2018	29396124	COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	16.08	0.00	16.08	70.0 11.26
04/13/2018	32611628	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	18.24	0.00	18.24	70.0 12.77
04/13/2018	34872059	HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	208.64	0.00	208.64	70.0 146.05
04/13/2018	37351723	CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	208.16	0.00	208.16	70.0 145.71
04/13/2018	39099528	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	23.60	0.00	23.60	70.0 16.52
04/13/2018	52065317	EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	B	0.00	26.48	0.00	26.48	70.0 18.54
04/13/2018	58050029	RAINES, JOEY SHANKLAND	SIGNAL SECURITIES RIA	B	0.00	13.84	0.00	13.84	70.0 9.69
04/13/2018	68493114	CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	120.48	0.00	120.48	70.0 84.34
04/13/2018	84865050	SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	B	0.00	56.08	0.00	56.08	70.0 39.26
04/13/2018	86608828	FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	16.72	0.00	16.72	70.0 11.70
04/13/2018	89069414	COSTAS, PETER J &	SIGNAL SECURITIES RIA	B	0.00	0.80	0.00	0.80	70.0 0.56

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
	TAMMY M.								
04/13/2018	90329067	RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	94.24	0.00	94.24	70.0 65.97
04/13/2018	94409837	RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	5.84	0.00	5.84	70.0 4.09
04/13/2018	98008502	RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	10.32	0.00	10.32	70.0 7.22
04/13/2018	98257329	CONNERY, FRANK /E	SIGNAL SECURITIES RIA	B	0.00	218.16	0.00	218.16	70.0 152.71
	DIANNE								
04/13/2018	98569607	HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	15.04	0.00	15.04	70.0 10.53
		* ERIC CHARLES GUTH SUBTOTAL *			\$0.00	\$1,456.72	\$0.00	\$1,456.72	\$1,019.71
		*** SPLIT TRANSACTIONS TOTAL ***			\$603.33	\$6,839.25	\$0.00	\$6,839.25	\$4,787.48

VERRIDE TRANSACTIONS

<u>BROKER: KELLERMAN PALMERSHEIM/ #9534</u>									
05/15/2018	COLE, JAMES JEFFRY	SIGNAL SECURITIES RIA	B	0.00	158.00	0.00	158.00	39.50	
05/15/2018	COLE, JAMES JEFFRY	SIGNAL SECURITIES RIA	B	0.00	158.00	0.00	158.00	39.50	
* KELLERMAN PALMERSHEIM/ SUBTOTAL *				\$0.00	\$316.00	\$0.00	\$316.00	\$79.00	
<u>BROKER: THOMPSON/SIGNAL #9622</u>									
04/13/2018	35296442	DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA	B	0.00	5,145.67	0.00	5,145.67	283.01	
JERRY F									
* THOMPSON/SIGNAL SUBTOTAL *				\$0.00	\$5,145.67	\$0.00	\$5,145.67	\$283.01	
*** OVERRIDE TRANSACTIONS TOTAL ***				\$0.00	\$5,461.67	\$0.00	\$5,461.67	\$362.01	

ADJUSTMENTS

06/01/2018	HILLTOP: CLEARING CHARGES				0.00	0.00	0.00	0.00	-27.00
06/01/2018	HILLTOP: CUSTOMER WRITE OFF				0.00	0.00	0.00	0.00	-4.00
06/01/2018	MISC: 10% OVERRIDE 9533				0.00	0.00	0.00	0.00	194.10
		*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$163.10

BROKER COMMISSION TOTALS

Broker Transactions	\$9,429.99
Split Transactions	\$4,787.48
Override Transactions	\$362.01
Adjustments	\$163.10
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$14,742.58
Year-to-date Earnings	\$116,300.84