

**COMMISSION STATEMENT****June 15, 2018**

DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PFL  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: G3G  
 BRANCH: FORTITUDE INVESTMENT

| DATE   | CLIENT             | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE  | BROKER<br>COMMISSION |
|--|--------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                            |                    |                                     |                      |                     |                    |                   |       |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>      |                    |                                     |                      |                     |                    |                   |       |                      |
| ^05/18/2018  | FENELON, DICKENS   | FA 529 PORT 2030 T                  | 0.00                 | 0.24                | 0.00               | 0.24              | 100.0 | 0.00                 |
| ^05/17/2018  | MCCLARNON, BRENDAN | GRIFFIN INSTITUTIONAL ACCESS REAL E | 0.00                 | 0.60                | 0.00               | 0.60              | 100.0 | 0.00                 |
| ^05/30/2018  | LALAMA, MARY       | JP MORGAN EQUITY INCOME FUND CLASS  | 0.00                 | 1.70                | 0.00               | 1.70              | 100.0 | 0.00                 |
| ^05/30/2018  | CIMMINO, VICTORIA  | JP MORGAN GROWTH ADV A              | 0.00                 | 1.45                | 0.00               | 1.45              | 100.0 | 0.00                 |
| ^05/30/2018  | KEYSER, LISA       | JP MORGAN INVESTOR GROWTH FUND CLAS | 0.00                 | 8.24                | 0.00               | 8.24              | 100.0 | 0.00                 |
| ^05/30/2018  | PLUME, KRISTA      | JP MORGAN INVESTOR GROWTH FUND CLAS | 0.00                 | 7.31                | 0.00               | 7.31              | 100.0 | 0.00                 |
| ^05/30/2018  | CIMMINO, VICTORIA  | JP MORGAN VALUE ADVANTAGE FUND CLAS | 0.00                 | 0.59                | 0.00               | 0.59              | 100.0 | 0.00                 |
| ^05/21/2018  | PFLEGER, CLIFFORD  | MAINSTAY CUSHING MLP PREMIER FUND C | 0.00                 | 0.38                | 0.00               | 0.38              | 100.0 | 0.00                 |
| ^05/14/2018  | LALAMA, MARY       | MILLER CONVERTIBLE BOND FUND CLASS  | 0.00                 | 1.02                | 0.00               | 1.02              | 100.0 | 0.00                 |
| ^05/14/2018  | KEYSER, LISA       | MILLER CONVERTIBLE BOND FUND CLASS  | 0.00                 | 4.48                | 0.00               | 4.48              | 100.0 | 0.00                 |
| ^05/23/2018  | BARRY, ROBERT      | THE GABELLI UTILITIES FUND CLASS A  | 0.00                 | 0.32                | 0.00               | 0.32              | 100.0 | 0.00                 |
| <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>                  |                    |                                     | <b>\$0.00</b>        | <b>\$26.33</b>      | <b>\$0.00</b>      | <b>\$26.33</b>    |       | <b>\$0.00</b>        |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b> |                    |                                     |                      |                     |                    |                   |       |                      |
| ^05/31/2018  | PPJ LLC            | JOHN HANCOCK LIFE INS CO            | 240,596.29           | 100.01              | 0.00               | 100.01            | 100.0 | 0.00                 |
| <b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>             |                    |                                     | <b>\$240,596.29</b>  | <b>\$100.01</b>     | <b>\$0.00</b>      | <b>\$100.01</b>   |       | <b>\$0.00</b>        |
| <b>*** DIRECT TRANSACTIONS TOTAL ***</b>                     |                    |                                     | <b>\$240,596.29</b>  | <b>\$126.34</b>     | <b>\$0.00</b>      | <b>\$126.34</b>   |       | <b>\$0.00</b>        |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

| DATE                      | CLIENT | INVESTMENT                        | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------|--------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| ADJUSTMENTS               |        |                                   |                      |                     |                    |                   |      |                      |
| 06/15/2018                |        | *PERSHING: ETF PROSPECTUS MAILING | 0.00                 |                     |                    |                   |      | -0.01                |
| 06/15/2018                |        | *PERSHING: MF PROSPECTUS MAILING  | 0.00                 |                     |                    |                   |      | -0.01                |
| 06/15/2018                |        | *PERSHING: BOOKS & RECORDS        | 0.00                 |                     |                    |                   |      | 0.00                 |
| *** ADJUSTMENTS TOTAL *** |        |                                   | \$0.00               | \$0.00              | \$0.00             | \$0.00            |      | \$-0.02              |

BROKER COMMISSION TOTALS

|                       |         |
|-----------------------|---------|
| Broker Transactions   | \$0.00  |
| Split Transactions    | \$0.00  |
| Override Transactions | \$0.00  |
| Adjustments           | \$-0.02 |
| Payroll Draw          | \$0.00  |
| Base Salary           | \$0.00  |
| Prior Period Balance  | \$-0.01 |
| FINRA Assessment      | \$0.00  |
| SIPC Assessment       | \$0.00  |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                       |         |
|-----------------------|---------|
| Balance Forward       | \$-0.03 |
| Year-to-date Gross    | \$0.00  |
| Year-to-date Earnings | \$0.00  |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed