

# Bill Payment Stub

Check Date:	6/7/2018
Check No.:	ACH
Check Amount:	406.55

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: GEORGE Q. OFFUTT  
P.O. BOX 3003  
MARBLE FALLS, TX 78654-3003

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
5/31/2018	Bill	COMMISSIONS	406.55	406.55		406.55

# COMMISSION STATEMENT May 31, 2018

GEORGE OFFUTT-D067

BROKER #: D067

BRANCH: DMT

, TX

**COMMISSION STATEMENT for GEORGE OFFUTT-D067**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
05/24/2018	276081855	CORPORATION, FOUR	S	QUICKLOGIC CORP	B	5,607.45	30.00	15.00	15.00 90.0 13.50
04/30/2018	306858156	CORPORATION, GO	S	CHENIERE ENERGY PARTNERS LP	B	3,125.45	30.00	15.00	15.00 90.0 13.50
04/30/2018	306858156	CORPORATION, GO	S	HIGHPOINT RES CORP	B	3,069.95	30.00	15.00	15.00 90.0 13.50
05/09/2018	306858156	CORPORATION, GO	S	COMSTOCK RESOURCES INC COM PAR	B	3,000.41	30.00	15.00	15.00 90.0 13.50
05/09/2018	306858156	CORPORATION, GO	S	FAIRMOUNT SANTROL HOLDINGS I	B	3,061.25	30.00	15.00	15.00 90.0 13.50
05/10/2018	306858156	CORPORATION, GO	S	TELLURIAN INC	B	3,095.65	30.00	15.00	15.00 90.0 13.50
05/24/2018	306858156	CORPORATION, GO	S	EVOLUTION PETROLEUM CORP	B	3,432.65	30.00	15.00	15.00 90.0 13.50
05/24/2018	306858156	CORPORATION, GO	S	QUICKLOGIC CORP	B	13,220.45	30.00	15.00	15.00 90.0 13.50
				<b>* STOCKS SUBTOTAL *</b>		<b>\$37,613.26</b>	<b>\$240.00</b>	<b>\$120.00</b>	<b>\$120.00 \$108.00</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

05/21/2018	mfc668438	OFFUTT 668438	MFT ALLIANCE BERNSTEIN	B	0.00	1.90	0.00	1.90 90.0 1.71
05/21/2018	mfc668438	OFFUTT 668438	MFT ALLIANCE BERNSTEIN	B	0.00	3.15	0.00	3.15 90.0 2.84
05/21/2018	mfc668438	OFFUTT 668438	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	2.59	0.00	2.59 90.0 2.33
05/21/2018	mfc668438	OFFUTT 668438	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	3.05	0.00	3.05 90.0 2.75
05/21/2018	mfc668438	OFFUTT 668438	MFT ALLIANZ NFJ SMALL CAP VALUE	B	0.00	0.63	0.00	0.63 90.0 0.57
05/21/2018	mfc668438	OFFUTT 668438	MFT AMERICAN BALANCED FUND-A	B	0.00	0.09	0.00	0.09 90.0 0.08
05/21/2018	mfc668438	OFFUTT 668438	MFT BLACKROCK GLOBAL RESOURCES FUND B	B	0.00	0.66	0.00	0.66 90.0 0.59
05/21/2018	mfc668438	OFFUTT 668438	MFT BLACKROCK GLOBAL RESOURCES FUND B	B	0.00	0.76	0.00	0.76 90.0 0.68
05/21/2018	mfc668438	OFFUTT 668438	MFT BLK 091928788	B	0.00	1.92	0.00	1.92 90.0 1.73
05/21/2018	mfc668438	OFFUTT 668438	MFT COLUMBIA SMALL CAP VALUE FUND I B	B	0.00	2.53	0.00	2.53 90.0 2.28
05/21/2018	mfc668438	OFFUTT 668438	MFT COLUMBIA SELECT INTL EQUITY	B	0.00	2.30	0.00	2.30 90.0 2.07
05/21/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A B	B	0.00	0.34	0.00	0.34 90.0 0.31
05/21/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A B	B	0.00	1.31	0.00	1.31 90.0 1.18
05/21/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A B	B	0.00	2.37	0.00	2.37 90.0 2.13
05/21/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A B	B	0.00	3.20	0.00	3.20 90.0 2.88
05/21/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A B	B	0.00	6.13	0.00	6.13 90.0 5.52
05/21/2018	mfc668438	OFFUTT 668438	MFT DELAWARE DIVIDEND INCOME FUND A B	B	0.00	2.43	0.00	2.43 90.0 2.19
05/21/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	3.08	0.00	3.08 90.0 2.77
05/21/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	4.19	0.00	4.19 90.0 3.77
05/21/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	4.57	0.00	4.57 90.0 4.11

COMMISSION STATEMENT for GEORGE OFFUTT-D067

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/21/2018	mfc668438 OFFUTT 668438	MFT DEUTSCHE CORE EQUITY FUND-A	B	0.00	3.58	0.00	3.58	90.0	3.22
05/21/2018	mfc668438 OFFUTT 668438	MFT DEUTSCHE REAL ESTATE SECURITIES	B	0.00	0.82	0.00	0.82	90.0	0.74
05/21/2018	mfc668438 OFFUTT 668438	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	1.56	0.00	1.56	90.0	1.40
05/21/2018	mfc668438 OFFUTT 668438	MFT EATON VANCE NATIONAL MUNICIPAL	B	0.00	10.67	0.00	10.67	90.0	9.60
05/21/2018	mfc668438 OFFUTT 668438	MFT EHV 277902813	B	0.00	1.11	0.00	1.11	90.0	1.00
05/21/2018	mfc668438 OFFUTT 668438	MFT IVY GLOBAL NATURAL RESOURCES	B	0.00	0.78	0.00	0.78	90.0	0.70
05/21/2018	mfc668438 OFFUTT 668438	MFT IVY SCIENCE AND TECHNOLOGY FUND	B	0.00	1.16	0.00	1.16	90.0	1.04
05/21/2018	mfc668438 OFFUTT 668438	MFT OPPENHEIMERFUNDS 68380E304	B	0.00	1.16	0.00	1.16	90.0	1.04
05/21/2018	mfc668438 OFFUTT 668438	MFT OPPENHEIMER SMALL & MID CAP	B	0.00	4.07	0.00	4.07	90.0	3.66
05/21/2018	mfc668438 OFFUTT 668438	MFT TEMP FRGN FND - CL A	B	0.00	0.90	0.00	0.90	90.0	0.81
05/21/2018	mfc668438 OFFUTT 668438	MFT TEMP WORLD FND - CL A	B	0.00	1.51	0.00	1.51	90.0	1.36
05/21/2018	mfc668438 OFFUTT 668438	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	1.13	0.00	1.13	90.0	1.02
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$75.65</b>	<b>\$0.00</b>	<b>\$75.65</b>		<b>\$68.08</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$37,613.26</b>	<b>\$315.65</b>	<b>\$120.00</b>	<b>\$195.65</b>		<b>\$176.08</b>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

05/07/2018	00000275988 JACKSON, TANYA	MF COLUMBIA HIGH YIELD BOND FUND-A	B	15.00	0.53	0.00	0.53	90.0	0.48
05/07/2018	07320103858 JACKSON, TANYA	MF COLUMBIA SELECT INTL EQUITY	B	20.00	0.75	0.00	0.75	90.0	0.68
05/07/2018	07790166208 JACKSON, TANYA	MF COLUMBIA GLOBAL EQUITY VALUE-A	B	40.00	1.50	0.00	1.50	90.0	1.35
05/07/2018	09690115201 JACKSON, TANYA	MF COLUMBIA SMALL CAP VALUE FUND I	B	25.00	0.94	0.00	0.94	90.0	0.85
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$100.00</b>	<b>\$3.72</b>	<b>\$0.00</b>	<b>\$3.72</b>		<b>\$3.36</b>

PRODUCT CATEGORY: VARIABLE ANNUITIES

05/11/2018	(None) OFFUTT, GEORGE	VA PRUDENTIAL LIFE	B	0.00	21.00	0.00	21.00	90.0	18.90
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$21.00</b>	<b>\$0.00</b>	<b>\$21.00</b>		<b>\$18.90</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/30/2018	(None) BLACK, JESSICA	MFT TEXAS 529 PLAN	B	0.00	1.38	0.00	1.38	90.0	1.24
05/03/2018	00000275988 JACKSON, TANYA	MFT COLUMBIA HIGH YIELD BOND FUND-A	B	0.00	1.90	0.00	1.90	90.0	1.71
05/03/2018	07320103858 JACKSON, TANYA	MFT COLUMBIA SELECT INTL EQUITY	B	0.00	1.92	0.00	1.92	90.0	1.73
05/03/2018	07790166208 JACKSON, TANYA	MFT COLUMBIA GLOBAL EQUITY VALUE-A	B	0.00	5.79	0.00	5.79	90.0	5.21
05/03/2018	09690115201 JACKSON, TANYA	MFT COLUMBIA SMALL CAP VALUE FUND I	B	0.00	5.43	0.00	5.43	90.0	4.89
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$16.42</b>	<b>\$0.00</b>	<b>\$16.42</b>		<b>\$14.78</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$100.00</b>	<b>\$41.14</b>	<b>\$0.00</b>	<b>\$41.14</b>		<b>\$37.04</b>

COMMISSION STATEMENT for GEORGE OFFUTT-D067

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

05/31/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
05/31/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
05/31/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		248.43
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$193.43

BROKER COMMISSION TOTALS

Broker Transactions	\$213.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$193.43
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$406.55
Year-to-date Commissions	\$7,383.87