

COMMISSION STATEMENT

July 31, 2018

CHRISTOPHER HAMILTON
21 WEST STREET
APT 6J
NEW YORK, NY 10006

BROKER #: I19 K1H R70
BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
08/02/2018	75500766	HAMILTON, DOUGLAS		VANGUARD MMR PRIME	B	33,000.00	15.00	10.00	5.00 80.0 4.00
07/24/2018	75500796	KARP, BETH		VANGUARD EMERGING MARKETS STOCK IND S		61,785.82	50.00	10.00	40.00 80.0 32.00
08/02/2018	75502076	LIDSKY, SHAWNA		VANGUARD MMR PRIME	B	29,000.00	15.00	10.00	5.00 80.0 4.00
07/24/2018	QGW019903	KARP, SELWYN		VANGUARD EMERGING MARKETS STOCK IND S		-122,822.73	50.00	10.00	40.00 80.0 32.00
				* MUTUAL FUNDS SUBTOTAL *		\$963.09	\$130.00	\$40.00	\$90.00 \$72.00

PRODUCT CATEGORY: STOCKS

06/29/2018	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	67,820.00	0.00	10.00	-10.00	80.0	-8.00
07/02/2018	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	67,965.00	0.00	10.00	-10.00	80.0	-8.00
06/28/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		69,720.00	0.00	10.00	-10.00	80.0	-8.00
06/28/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		139,440.00	0.00	10.00	-10.00	80.0	-8.00
06/28/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T B		14,290.64	0.00	10.00	-10.00	80.0	-8.00
06/28/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T S		14,284.00	0.00	10.00	-10.00	80.0	-8.00
06/28/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP B		51,547.00	0.00	10.00	-10.00	80.0	-8.00
06/28/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP S		51,560.00	0.00	10.00	-10.00	80.0	-8.00
06/29/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B		69,492.00	0.00	10.00	-10.00	80.0	-8.00
06/29/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B		138,984.00	0.00	10.00	-10.00	80.0	-8.00
06/29/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T S		14,381.36	0.00	10.00	-10.00	80.0	-8.00
06/29/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	100,373.60	0.00	10.00	-10.00	80.0	-8.00
07/02/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		68,388.00	0.00	10.00	-10.00	80.0	-8.00
07/02/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	100,588.20	0.00	10.00	-10.00	80.0	-8.00
07/03/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B		68,304.00	0.00	10.00	-10.00	80.0	-8.00
07/03/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP B		35,945.00	0.00	10.00	-10.00	80.0	-8.00
07/05/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		68,196.00	0.00	10.00	-10.00	80.0	-8.00
07/05/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP S		36,353.10	0.00	10.00	-10.00	80.0	-8.00
07/06/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		68,640.00	0.00	10.00	-10.00	80.0	-8.00
07/06/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		68,640.00	0.00	10.00	-10.00	80.0	-8.00
07/10/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		69,324.00	0.00	10.00	-10.00	80.0	-8.00
07/11/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B		136,728.00	0.00	10.00	-10.00	80.0	-8.00
07/11/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B		136,728.00	0.00	10.00	-10.00	80.0	-8.00
07/12/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S		136,872.00	0.00	10.00	-10.00	80.0	-8.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/12/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	136,872.00	0.00	10.00	-10.00	80.0	-8.00
07/16/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	138,185.76	0.00	10.00	-10.00	80.0	-8.00
07/16/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	69,094.44	0.00	10.00	-10.00	80.0	-8.00
07/17/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	139,368.00	0.00	10.00	-10.00	80.0	-8.00
07/17/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	69,689.63	0.00	10.00	-10.00	80.0	-8.00
07/17/2018	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR GOLD MINERS E	B	21,746.00	0.00	10.00	-10.00	80.0	-8.00
07/17/2018	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR GOLD MINERS E	S	21,834.00	0.00	10.00	-10.00	80.0	-8.00
07/18/2018	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR GOLD MINERS E	B	43,492.00	0.00	10.00	-10.00	80.0	-8.00
07/18/2018	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR GOLD MINERS E	S	43,648.00	0.00	10.00	-10.00	80.0	-8.00
07/19/2018	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR GOLD MINERS E	B	43,152.00	0.00	10.00	-10.00	80.0	-8.00
07/19/2018	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR GOLD MINERS E	S	43,160.00	0.00	10.00	-10.00	80.0	-8.00
07/23/2018	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND	B	60,174.50	0.00	10.00	-10.00	80.0	-8.00
07/23/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	31,486.20	0.00	10.00	-10.00	80.0	-8.00
07/24/2018	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND	S	59,840.00	0.00	10.00	-10.00	80.0	-8.00
07/24/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	31,578.00	0.00	10.00	-10.00	80.0	-8.00
07/26/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	71,100.00	0.00	10.00	-10.00	80.0	-8.00
07/26/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	142,200.00	0.00	10.00	-10.00	80.0	-8.00
07/30/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	70,776.00	0.00	10.00	-10.00	80.0	-8.00
08/01/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	141,384.00	0.00	10.00	-10.00	80.0	-8.00
08/01/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	31,872.00	0.00	10.00	-10.00	80.0	-8.00
08/02/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	32,196.00	0.00	10.00	-10.00	80.0	-8.00
08/03/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	140,544.00	0.00	10.00	-10.00	80.0	-8.00
08/06/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	139,512.00	0.00	10.00	-10.00	80.0	-8.00
08/07/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	70,344.00	0.00	10.00	-10.00	80.0	-8.00
07/19/2018	75500520	FAKLER FAMILY FEDEX CORP	B	33,161.10	331.00	10.00	321.00	80.0	256.80
06/29/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	97,660.80	50.00	10.00	40.00	80.0	32.00
07/02/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	97,869.60	50.00	10.00	40.00	80.0	32.00
07/10/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	111,573.36	50.00	10.00	40.00	80.0	32.00
08/01/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	112,323.96	50.00	10.00	40.00	80.0	32.00
07/09/2018	75500583	HAMILTON, WILLIAM COMCAST CORP CL A	B	66,994.20	0.00	10.00	-10.00	80.0	-8.00
08/01/2018	75500766	HAMILTON, DOUGLAS KAYNE ANDERSON MLP INVT CO	B	7,531.96	15.00	10.00	5.00	80.0	4.00
06/29/2018	75500796	KARP, BETH BANK OF AMERICA CORP	B	115,584.00	120.00	10.00	110.00	80.0	88.00
07/11/2018	75500796	KARP, BETH J P MORGAN CHASE & CO	B	106,765.00	50.00	10.00	40.00	80.0	32.00
07/23/2018	75500796	KARP, BETH CITIZENS FINANCIAL GROUP INC COM	B	121,705.08	90.00	10.00	80.00	80.0	64.00
07/24/2018	75500796	KARP, BETH ABERDEEN EMERGING MARKETS EQUITY IN	S	29,212.08	120.00	10.00	110.00	80.0	88.00
07/24/2018	75500796	KARP, BETH CITIZENS FINANCIAL GROUP INC COM	B	80,056.00	60.00	10.00	50.00	80.0	40.00
07/24/2018	75500796	KARP, BETH VANGUARD FTSE EMERGING MARKETS ETF	S	25,145.32	50.00	10.00	40.00	80.0	32.00
07/26/2018	75500796	KARP, BETH CITIZENS FINANCIAL GROUP INC COM	B	80,055.50	60.00	10.00	50.00	80.0	40.00
08/03/2018	75500796	KARP, BETH CITIZENS FINANCIAL GROUP INC COM	B	72,610.20	50.00	10.00	40.00	80.0	32.00
08/06/2018	75500796	KARP, BETH CITIZENS FINANCIAL GROUP INC COM	B	80,256.00	60.00	10.00	50.00	80.0	40.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/03/2018	75500829	GRAFSTEIN, ALLEN	ASTRAZENECA PLC EACH ADS REPRESENTI S	154,600.00	120.00	10.00	110.00	80.0	88.00
08/03/2018	75500829	GRAFSTEIN, ALLEN	PROCTER & GAMBLE CO S	40,944.80	35.43	10.00	25.43	80.0	20.34
08/06/2018	75500829	GRAFSTEIN, ALLEN	PROCTER & GAMBLE CO S	16,840.20	14.58	10.00	4.58	80.0	3.66
08/07/2018	75500829	GRAFSTEIN, ALLEN	BP P L C SPONSORED ADR (FRM BP AMOC S	45,000.00	50.00	10.00	40.00	80.0	32.00
08/08/2018	75500886	STRAUSS, HELENE	CITIZENS FINANCIAL GROUP INC COM B	12,250.98	50.00	10.00	40.00	80.0	32.00
08/08/2018	75500887	STRAUSS, HELENE	CITIZENS FINANCIAL GROUP INC COM B	8,167.32	50.00	10.00	40.00	80.0	32.00
07/09/2018	75500913	KARP, RONALD	IMPINJ INC COM S	35,925.00	50.00	10.00	40.00	80.0	32.00
07/11/2018	75500913	KARP, RONALD	ARBUTUS BIOPHARMA CORP COM S	20,200.00	50.00	10.00	40.00	80.0	32.00
07/12/2018	75500913	KARP, RONALD	ARBUTUS BIOPHARMA CORP COM S	23,100.00	50.00	10.00	40.00	80.0	32.00
07/18/2018	75500913	KARP, RONALD	AFFIMED N V COM ISIN#NL0010872420 B	23,358.40	100.00	10.00	90.00	80.0	72.00
07/18/2018	75500913	KARP, RONALD	AFFIMED N V COM ISIN#NL0010872420 B	3,582.60	0.00	10.00	-10.00	80.0	-8.00
08/10/2018	75500913	KARP, RONALD	INSEEGO CORP COMMON STOCK S	25,604.97	50.00	10.00	40.00	80.0	32.00
06/29/2018	75500921	CZECH, ERIC	SPDR S&P 500 ETF TRUST B	48,830.40	25.00	10.00	15.00	80.0	12.00
07/02/2018	75500921	CZECH, ERIC	SPDR S&P 500 ETF TRUST S	48,934.80	25.00	10.00	15.00	80.0	12.00
07/06/2018	75500931	RON KARP AND/OR	ARBUTUS BIOPHARMA CORP COM S	4,600.00	12.50	10.00	2.50	80.0	2.00
07/11/2018	75500931	RON KARP AND/OR	ARBUTUS BIOPHARMA CORP COM S	13,875.00	50.00	10.00	40.00	80.0	32.00
07/12/2018	75500931	RON KARP AND/OR	ARBUTUS BIOPHARMA CORP COM S	22,950.00	50.00	10.00	40.00	80.0	32.00
08/10/2018	75500931	RON KARP AND/OR	INSEEGO CORP COMMON STOCK S	16,971.81	50.00	10.00	40.00	80.0	32.00
07/19/2018	75500948	BIEL, LYNN	MICROSOFT CORP S	30,262.95	846.00	10.00	836.00	80.0	668.80
07/12/2018	75500978	LERNER, SUSAN	MINERALS TECHNOLOGIES INC B	7,560.00	50.00	10.00	40.00	80.0	32.00
07/12/2018	75500978	LERNER, SUSAN	SALLY BEAUTY HOLDINGS INC B	1,631.66	25.00	10.00	15.00	80.0	12.00
07/16/2018	75501006	GRAFSTEIN, ALLEN	WALT DISNEY CO S	22,050.00	50.00	10.00	40.00	80.0	32.00
08/03/2018	75501006	GRAFSTEIN, ALLEN	ASTRAZENECA PLC EACH ADS REPRESENTI S	57,975.00	50.00	10.00	40.00	80.0	32.00
08/03/2018	75501006	GRAFSTEIN, ALLEN	PROCTER & GAMBLE CO S	22,618.70	50.00	10.00	40.00	80.0	32.00
07/19/2018	75501009	L BIEL & S	ANHEUSER-BUSCH INBEV SA SPONSORED A B	30,745.89	337.00	10.00	327.00	80.0	261.60
07/16/2018	75501024	GRAFSTEIN, ALLEN	WALT DISNEY CO S	11,025.00	50.00	10.00	40.00	80.0	32.00
08/03/2018	75501024	GRAFSTEIN, ALLEN	ASTRAZENECA PLC EACH ADS REPRESENTI S	57,975.00	50.00	10.00	40.00	80.0	32.00
08/03/2018	75501024	GRAFSTEIN, ALLEN	PROCTER & GAMBLE CO S	16,840.20	50.00	10.00	40.00	80.0	32.00
06/28/2018	75501084	ROTH, JOSEPH	KINDER MORGAN INC B	8,749.50	50.00	10.00	40.00	80.0	32.00
06/28/2018	75501084	ROTH, JOSEPH	SIRIUS XM HOLDINGS INC COM S	6,784.29	50.00	10.00	40.00	80.0	32.00
07/12/2018	75501171	LERNER, PETER	DYNAVAX TECHNOLOGIES CORP B	3,035.00	25.00	10.00	15.00	80.0	12.00
08/03/2018	75501192	ALLEN GRAFSTEIN	J P MORGAN CHASE & CO S	117,100.00	50.00	10.00	40.00	80.0	32.00
08/03/2018	75501192	ALLEN GRAFSTEIN	PROCTER & GAMBLE CO S	82,550.00	50.00	10.00	40.00	80.0	32.00
08/02/2018	75501341	GRAFSTEIN, LEO	KRAFT HEINZ COMPANY (THE) COMMON ST B	29,319.00	50.00	10.00	40.00	80.0	32.00
07/30/2018	75502076	LIDSKY, SHAWNA	TORTOISE ENERGY INFRASTRUCTURE CORP B	5,532.80	25.00	10.00	15.00	80.0	12.00
06/29/2018	QGW019903	KARP, SELWYN	BANK OF AMERICA CORP B	115,507.20	120.00	10.00	110.00	80.0	88.00
07/11/2018	QGW019903	KARP, SELWYN	J P MORGAN CHASE & CO B	266,949.75	75.00	10.00	65.00	80.0	52.00
07/23/2018	QGW019903	KARP, SELWYN	CITIZENS FINANCIAL GROUP INC COM B	202,724.50	150.00	10.00	140.00	80.0	112.00
07/24/2018	QGW019903	KARP, SELWYN	CITIZENS FINANCIAL GROUP INC COM B	80,018.00	60.00	10.00	50.00	80.0	40.00
07/24/2018	QGW019903	KARP, SELWYN	ISHARES INC MSCI MALAYSIA ETF NEW S	-32,375.00	50.00	10.00	40.00	80.0	32.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/25/2018	QGW019903 KARP, SELWYN	SYNCHRONY FINL COM	B	100,255.50	90.00	10.00	80.00	80.0	64.00
07/26/2018	QGW019903 KARP, SELWYN	CITIZENS FINANCIAL GROUP INC COM	B	80,079.80	60.00	10.00	50.00	80.0	40.00
06/29/2018	QGW019929 KARP, JEFFREY	ANGLOGOLD ASHANTI LTD (NEW) SPONSOR S	S	-65,680.80	100.00	10.00	90.00	80.0	72.00
07/03/2018	QGW019929 KARP, JEFFREY	COEUR MINING INC	S	-31,520.40	100.00	10.00	90.00	80.0	72.00
07/03/2018	QGW019929 KARP, JEFFREY	FERROGLOBE PLC SHS ISIN#GB00BYW6GV6 B	B	16,378.00	60.00	10.00	50.00	80.0	40.00
07/03/2018	QGW019929 KARP, JEFFREY	GAMESTOP CORP NEW CLASS A	B	56,827.20	100.00	10.00	90.00	80.0	72.00
07/05/2018	QGW019929 KARP, JEFFREY	BRF SA SPONSORED ADR	S	-22,000.40	80.00	10.00	70.00	80.0	56.00
07/05/2018	QGW019929 KARP, JEFFREY	FERROGLOBE PLC SHS ISIN#GB00BYW6GV6 B	B	16,139.60	60.00	10.00	50.00	80.0	40.00
07/06/2018	QGW019929 KARP, JEFFREY	NEXA RES S A COM ISIN#LU1701428291 B	B	22,019.80	50.00	10.00	40.00	80.0	32.00
07/11/2018	QGW019929 KARP, JEFFREY	ANGLOGOLD ASHANTI LTD (NEW) SPONSOR S	S	-69,520.80	100.00	10.00	90.00	80.0	72.00
07/12/2018	QGW019929 KARP, JEFFREY	VEDANTA LIMITED AMERICAN DEPOSTARY B	B	24,659.80	50.00	10.00	40.00	80.0	32.00
07/13/2018	QGW019929 KARP, JEFFREY	NEXA RES S A COM ISIN#LU1701428291 S	S	-23,400.20	50.00	10.00	40.00	80.0	32.00
07/17/2018	QGW019929 KARP, JEFFREY	TATA MOTORS LTD SPONSORED ADR	B	37,642.00	50.00	10.00	40.00	80.0	32.00
07/24/2018	QGW019929 KARP, JEFFREY	TAHOE RES INC COM ISIN#CA8738681037 B	B	34,943.20	80.00	10.00	70.00	80.0	56.00
07/26/2018	QGW019929 KARP, JEFFREY	COEUR MINING INC	B	57,599.20	100.00	10.00	90.00	80.0	72.00
07/27/2018	QGW019929 KARP, JEFFREY	HECLA MINING COMPANY	B	25,408.00	100.00	10.00	90.00	80.0	72.00
07/27/2018	QGW019929 KARP, JEFFREY	TATA MOTORS LTD SPONSORED ADR	S	-39,320.60	60.00	10.00	50.00	80.0	40.00
07/27/2018	QGW019929 KARP, JEFFREY	VEDANTA LIMITED AMERICAN DEPOSTARY S	S	-25,940.20	50.00	10.00	40.00	80.0	32.00
06/29/2018	QGW019937 KARP, BARBARA	BANK OF AMERICA CORP	B	52,307.82	54.00	10.00	44.00	80.0	35.20
07/23/2018	QGW019937 KARP, BARBARA	CITIZENS FINANCIAL GROUP INC COM	B	32,437.52	50.00	10.00	40.00	80.0	32.00
* STOCKS SUBTOTAL *				\$6,815,946.35	\$5,795.51	\$1,240.00	\$4,555.51		\$3,644.40
<u>PRODUCT CATEGORY: BONDS</u>									
07/19/2018	75500520 FAKLER FAMILY	FORD MTR CR CO	B	51,437.50	375.00	10.00	365.00	80.0	292.00
07/19/2018	75500520 FAKLER FAMILY	PHILIP MORRIS INTL INC NT	B	51,812.50	375.00	10.00	365.00	80.0	292.00
* BONDS SUBTOTAL *				\$103,250.00	\$750.00	\$20.00	\$730.00		\$584.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
08/08/2018	75500527 KALOS, PAUL	CALL PNC 11/16/18 135	S	13,500.00	50.00	10.00	40.00	80.0	32.00
08/08/2018	75500527 KALOS, PAUL	CALL PNC 08/17/18 135	B	11,440.00	50.00	10.00	40.00	80.0	32.00
08/08/2018	75500528 KALOS, LINDA	CALL OXY 11/16/18 75	S	6,960.00	50.00	10.00	40.00	80.0	32.00
08/08/2018	75500528 KALOS, LINDA	CALL OXY 08/17/18 75	B	5,630.00	50.00	10.00	40.00	80.0	32.00
07/10/2018	75500529 KALOS, PAUL	CALL SPY 07/20/18 271	B	3,344.00	50.00	10.00	40.00	80.0	32.00
08/01/2018	75500529 KALOS, PAUL	CALL SPY 08/31/18 277	S	2,476.00	50.00	10.00	40.00	80.0	32.00
* OPTIONS SUBTOTAL *				\$43,350.00	\$300.00	\$60.00	\$240.00		\$192.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/31/2018		FEE COUNT - 68		0.00	961.70	0.00	961.70	80.0	769.31
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$961.70	\$0.00	\$961.70		\$769.31
*** BROKER TRANSACTIONS TOTAL ***				\$6,963,509.44	\$7,937.21	\$1,360.00	\$6,577.21		\$5,261.71

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
07/31/2018		MISCELLANEOUS: WRITE OFF 75501095		0.00	0.00	0.00	0.00		-1.00
07/31/2018		MISCELLANEOUS: WRITE OFF 75500877		0.00	0.00	0.00	0.00		-1.00
07/31/2018		MISCELLANEOUS: WRITE OFF 75500798		0.00	0.00	0.00	0.00		-1.00
07/31/2018		OTHER: CFA & NY SECURITY ANALYSTS D		0.00	0.00	0.00	0.00		-575.00
07/31/2018		COMMISSION INCOME: SULLIVAN OVERRID		0.00	0.00	0.00	0.00		924.00
07/31/2018		OTHER: FED INS JULY 2018		0.00	0.00	0.00	0.00		-412.12
07/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
07/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-12.00
07/31/2018		OTHER: OTHER-DISABLITY INSURANCE		0.00	0.00	0.00	0.00		-2.04
07/31/2018		OTHER: OTHER-WORKMAN COMP		0.00	0.00	0.00	0.00		-133.34
07/31/2018		OTHER: THOMSON		0.00	0.00	0.00	0.00		-112.86
07/31/2018		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-55.66
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-402.02</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$5,261.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-402.02
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-9.92
SIPC Assessment	\$-19.84

Check Amount	\$4,829.93
Year-to-date Earnings	\$50,021.77