

# COMMISSION STATEMENT June 30, 2018

CHARLES BELL-D004

BROKER #: D004

BRANCH: DMN

, TX

**COMMISSION STATEMENT for CHARLES BELL-D004**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**CLEARING TRANSACTIONS**
**PRODUCT CATEGORY: MUTUAL FUNDS**

05/29/2018 368432242	PUTNAM, ANNA	MF	PIONEER EQUITY INCOME FUND A	S	-5,012.45	-150.30	-2.50	-147.80	87.5	-129.33
06/21/2018 368432242	PUTNAM, ANNA	MF	PIONEER EQUITY INCOME FUND A	B	-5,047.55	0.00	5.00	-5.00	87.5	-4.38
				<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$-10,060.00</b>	<b>\$-150.30</b>	<b>\$2.50</b>	<b>\$-152.80</b>		<b>\$-133.71</b>

**PRODUCT CATEGORY: STOCKS**

06/19/2018 214748816	DUNN, WANDA	S	APPLIED MATERIALS INC	S	-42,623.28	699.89	15.00	684.89	87.5	599.28
06/19/2018 214748816	DUNN, WANDA	S	DREYFUS STRATEGIC MUNICIPALS	S	-87,740.10	1,386.96	15.00	1,371.96	87.5	1,200.47
06/19/2018 214748816	DUNN, WANDA	S	LOCKHEED MARTIN CORPORATION	S	-167,089.21	2,595.34	15.00	2,580.34	87.5	2,257.80
06/19/2018 214748816	DUNN, WANDA	S	NETFLIX.COM INC	S	-81,907.39	1,298.14	15.00	1,283.14	87.5	1,122.75
06/13/2018 214757684	DUNN, MILBY	S	APPLIED MATERIALS INC	S	-22,146.05	388.05	15.00	373.05	87.5	326.42
06/13/2018 214757684	DUNN, MILBY	S	CATERPILLAR INC	S	-22,089.57	387.19	15.00	372.19	87.5	325.67
06/13/2018 214757684	DUNN, MILBY	S	MASTERCARD INC-CL A	S	-13,820.23	261.26	15.00	246.26	87.5	215.48
06/13/2018 214757684	DUNN, MILBY	S	MICROCHIP TECHNOLOGY INC	S	-13,585.39	257.69	15.00	242.69	87.5	212.35
06/13/2018 214757684	DUNN, MILBY	S	VALERO ENERGY CORP	S	-42,618.00	699.81	15.00	684.81	87.5	599.21
06/13/2018 214757684	DUNN, MILBY	S	VISA INC-CLASS A SHRS	S	-49,163.70	799.49	15.00	784.49	87.5	686.43
06/13/2018 214766903	DUNN, MILBY	S	MICROCHIP TECHNOLOGY INC	S	-36,892.00	612.61	15.00	597.61	87.5	522.91
06/13/2018 214766903	DUNN, MILBY	S	MICROSOFT CORPORATION	S	-100,111.87	1,575.36	15.00	1,560.36	87.5	1,365.32
06/13/2018 214766903	DUNN, MILBY	S	VISA INC-CLASS A SHRS	S	-133,326.61	2,081.18	15.00	2,066.18	87.5	1,807.91
06/21/2018 214766903	DUNN, MILBY	S	BOEING COMPANY	S	-43,336.72	710.76	15.00	695.76	87.5	608.79
06/21/2018 214766903	DUNN, MILBY	S	GENERAL DYNAMICS CORPORATION	S	-86,897.76	1,374.13	15.00	1,359.13	87.5	1,189.24
06/21/2018 214766903	DUNN, MILBY	S	LOCKHEED MARTIN CORPORATION	S	-19,231.00	343.66	15.00	328.66	87.5	287.58
06/21/2018 214766903	DUNN, MILBY	S	NORTHROP GRUMMAN CORP	S	-102,429.00	1,610.65	15.00	1,595.65	87.5	1,396.19
06/21/2018 214766903	DUNN, MILBY	S	RAYTHEON COMPANY NEW	S	-88,376.32	1,396.65	15.00	1,381.65	87.5	1,208.94
06/19/2018 214807310	DUNN TTEE, WANDA	S	DREYFUS STRATEGIC MUNICIPALS	S	-101,899.14	1,602.58	15.00	1,587.58	87.5	1,389.13
06/19/2018 214807310	DUNN TTEE, WANDA	S	HASBRO INC	S	-175,783.10	2,727.73	15.00	2,712.73	87.5	2,373.64
06/19/2018 214807310	DUNN TTEE, WANDA	S	NETFLIX.COM INC	S	-52,850.29	855.64	15.00	840.64	87.5	735.56
06/19/2018 214807310	DUNN TTEE, WANDA	S	WALGREENS BOOTS ALLIANCE INC	S	-74,664.14	1,187.83	15.00	1,172.83	87.5	1,026.23
				<b>* STOCKS SUBTOTAL *</b>	<b>\$-1,558,580.87</b>	<b>\$24,852.60</b>	<b>\$330.00</b>	<b>\$24,522.60</b>		<b>\$21,457.30</b>

COMMISSION STATEMENT for CHARLES BELL-D004

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
PRODUCT CATEGORY: MISCELLANEOUS										
05/30/2018	a03815987	BELL-D004, CHARLES MI	MUTUAL FUND MISC FEE 368432242	S	0.00	10.00	0.00	10.00	87.5	8.75
* MISCELLANEOUS SUBTOTAL *					\$0.00	\$10.00	\$0.00	\$10.00		\$8.75
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/21/2018	mfc815987	BELL 815987	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.21	0.00	1.21	87.5	1.06
06/21/2018	mfc815987	BELL 815987	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	18.16	0.00	18.16	87.5	15.89
06/21/2018	mfc815987	BELL 815987	MFT AMERICAN BALANCED FUND-A	B	0.00	8.03	0.00	8.03	87.5	7.03
06/21/2018	mfc815987	BELL 815987	MFT CAN SLIM SELECT GROWTH FD	B	0.00	7.14	0.00	7.14	87.5	6.25
06/21/2018	mfc815987	BELL 815987	MFT CAN SLIM SELECT GROWTH FD	B	0.00	13.20	0.00	13.20	87.5	11.55
06/21/2018	mfc815987	BELL 815987	MFT EHV 277911772	B	0.00	39.19	0.00	39.19	87.5	34.29
06/21/2018	mfc815987	BELL 815987	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	3.01	0.00	3.01	87.5	2.63
06/21/2018	mfc815987	BELL 815987	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	4.65	0.00	4.65	87.5	4.07
06/21/2018	mfc815987	BELL 815987	MFT FRK AGE HIGH INC FND - CL A	B	0.00	3.86	0.00	3.86	87.5	3.38
06/21/2018	mfc815987	BELL 815987	MFT FUNDAMENTAL INVESTORS-A	B	0.00	5.30	0.00	5.30	87.5	4.64
06/21/2018	mfc815987	BELL 815987	MFT FUNDAMENTAL INVESTORS-A	B	0.00	12.03	0.00	12.03	87.5	10.53
06/21/2018	mfc815987	BELL 815987	MFT PIONEER EQUITY INCOME FUND A	B	0.00	21.36	0.00	21.36	87.5	18.69
06/21/2018	mfc815987	BELL 815987	MFT PIONEER EQUITY INCOME FUND A	B	0.00	46.49	0.00	46.49	87.5	40.68
06/21/2018	mfc815987	BELL 815987	MFT PIONEER FUND A	B	0.00	20.08	0.00	20.08	87.5	17.57
06/21/2018	mfc815987	BELL 815987	MFT PIONEER FUND A	B	0.00	37.31	0.00	37.31	87.5	32.65
06/21/2018	mfc815987	BELL 815987	MFT PIONEER INDEPENDENCE FD CL A	B	0.00	43.60	0.00	43.60	87.5	38.15
06/21/2018	mfc815987	BELL 815987	MFT PIONEER SELECT MID CAP GROWTH	B	0.00	62.59	0.00	62.59	87.5	54.77
06/21/2018	mfc815987	BELL 815987	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.44	0.00	5.44	87.5	4.76
06/21/2018	mfc815987	BELL 815987	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	9.65	0.00	9.65	87.5	8.44
06/21/2018	mfc815987	BELL 815987	MFT THE INVESTMENT COMPANY OF	B	0.00	5.24	0.00	5.24	87.5	4.59
06/21/2018	mfc815987	BELL 815987	MFT THE INVESTMENT COMPANY OF	B	0.00	6.99	0.00	6.99	87.5	6.12
06/21/2018	mfc815987	BELL 815987	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.28	0.00	5.28	87.5	4.62
06/21/2018	mfc815987	BELL 815987	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.90	0.00	5.90	87.5	5.16
06/21/2018	mfc815987	BELL 815987	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	10.00	0.00	10.00	87.5	8.75
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$395.71	\$0.00	\$395.71		\$346.27
*** CLEARING TRANSACTIONS TOTAL ***					\$-1,568,640.87	\$25,108.01	\$332.50	\$24,775.51		\$21,678.61

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
05/31/2018 (None)	SEGAL, CAROL BYER	MFT EATON VANCE	B	0.00	16.59	0.00	16.59	87.5	14.52	
05/31/2018 (None)	SEGAL, CAROL BYER	MFT EATON VANCE	B	0.00	76.31	0.00	76.31	87.5	66.77	
05/31/2018 (None)	SEGAL, CAROL BYER	MFT EATON VANCE	B	0.00	6.46	0.00	6.46	87.5	5.65	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$99.36	\$0.00	\$99.36		\$86.94	
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$99.36	\$0.00	\$99.36		\$86.94	

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ADJUSTMENTS TRANSACTIONSBROKER: #0

06/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
06/30/2018	:	E&O		0.00	0.00	0.00	0.00		-120.00
06/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
06/30/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		186.15
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$11.15</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$11.15</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$21,765.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$11.15
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$21,776.70
Year-to-date Commissions	\$112,833.50