

**COMMISSION STATEMENT****April 15, 2018**WILLIAM, L129 LAYMAN
4321 Flagstaff Cove

BROKER #: L129

BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
12/13/2017	BOUTWELL, SHANE	AMERICAN FUNDS	0.00	43.73	0.00	43.73	45.0	19.68
12/13/2017	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	0.00	10.36	0.00	10.36	45.0	4.66
12/13/2017	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	0.00	12.58	0.00	12.58	45.0	5.66
12/13/2017	LAMOTT, KEVIN	AMERICAN FUNDS	0.00	0.06	0.00	0.06	45.0	0.03
03/13/2018	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	15,424.80	19.02	0.00	19.02	45.0	8.56
03/13/2018	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	17,357.05	21.40	0.00	21.40	45.0	9.63
03/13/2018	BOUTWELL, SHANE	AMERICAN FUNDS	67,936.22	83.76	0.00	83.76	45.0	37.69
03/13/2018	LAMOTT, KEVIN	AMERICAN FUNDS	309.86	0.38	0.00	0.38	45.0	0.17
* RIA SUBTOTAL *			\$101,027.93	\$191.29	\$0.00	\$191.29		\$86.08
*** DIRECT TRANSACTIONS TOTAL ***			\$101,027.93	\$191.29	\$0.00	\$191.29		\$86.08

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$86.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$2.48
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$88.56
Year-to-date Gross	\$1,365.09
Year-to-date Earnings	\$614.27