



Concorde Investment Services, LLC

09/28/2017
Page No. 1

COMMISSION STATEMENT

September 30, 2017

DAVID KERN
4321 Flagstaff Cove

BROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	VAN DRUNEN, CATHERINE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.26	0.00	3.26	90.0	2.93
09/01/2017	VAN DRUNEN, CATHERINE	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.60	0.00	2.60	90.0	2.34
09/01/2017	VAN DRUNEN, CATHERINE	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.35	0.00	2.35	90.0	2.12
09/01/2017	VAN DRUNEN, CATHERINE	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.78	0.00	1.78	90.0	1.60
09/01/2017	VAN DRUNEN, CATHERINE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	4.06	0.00	4.06	90.0	3.65
/ /	PAYNE, MARTHA	GUGGENHEIM DIVERSIFIED INCOME C	0.00	2.14	0.00	2.14	90.0	1.93
/ /	PAYNE, MARTHA	GUGGENHEIM GOV'T LONG BOND 1.2X STG	0.00	3.26	0.00	3.26	90.0	2.93
/ /	PAYNE, MARTHA	GUGGENHEIM RBP LARGE CAP DEFENSIVE	0.00	1.08	0.00	1.08	90.0	0.97
/ /	KERN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	2.28	0.00	2.28	90.0	2.05
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$22.81	\$0.00	\$22.81		\$20.52
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/15/2017	KERN, LEESA	AMERITAS LOWLOAD VUL	347.24	0.03	0.00	0.03	90.0	0.03
09/18/2017	KERN, HELMBERGER	AMERITAS LOWLOAD VUL	269.81	0.02	0.00	0.02	90.0	0.02
09/15/2017	FORTNEY, ELIZABETH	JNL ELITE ACCESS	400.00	5.00	0.00	5.00	90.0	4.50
09/15/2017	FREISTROFFER, HENRY	JNL PERSPECTIVE L	3,000.00	120.00	0.00	120.00	90.0	108.00
/ /	MARATHON LABELS 401K	NWL FLEX ADVANTAGE	296,094.66	60.84	0.00	60.84	90.0	54.76
/ /	NEW HAVEN PRINT &	NWL FLEX ADVANTAGE	845,187.36	208.40	0.00	208.40	90.0	187.56
/ /	MARATHON LABELS 401K	NWL POOLED FXD	51,783.87	10.64	0.00	10.64	90.0	9.58
/ /	NEW HAVEN PRINT &	NWL POOLED FXD	3,123.86	0.77	0.00	0.77	90.0	0.69
* VARIABLE ANNUITIES SUBTOTAL *			\$1,200,206.80	\$405.70	\$0.00	\$405.70		\$365.14
*** BROKER TRANSACTIONS TOTAL ***			\$1,200,206.80	\$428.51	\$0.00	\$428.51		\$385.66

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$385.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$385.66
Year-to-date Gross	\$80,704.38
Year-to-date Earnings	\$72,671.83