



# COMMISSION STATEMENT

## July 02, 2018

RICHARD SOWERS  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

| DATE  | CLIENT                         | INVESTMENT                             | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|--------------------------------|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                       |                                |  |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>      |                                |  |                      |                     |                    |                   |      |                      |
| 06/28/2018  | ***-**-8880 - SULLIVAN, YVONNE | SBL 814121364                          | 100.00               | 1.50                | 0.00               | 1.50              | 90.0 | 1.35                 |
|   | ACCOUNT #: 8030806             | BUY 0 Units @0.0000                    |                      |                     |                    |                   |      |                      |
|   |                                | <b>* VARIABLE ANNUITIES SUBTOTAL *</b> | <b>\$100.00</b>      | <b>\$1.50</b>       | <b>\$0.00</b>      | <b>\$1.50</b>     |      | <b>\$1.35</b>        |
| <b><u>PRODUCT CATEGORY: RIA</u></b>                     |                                |  |                      |                     |                    |                   |      |                      |
| 07/02/2018  | ***-**-27FB - GRAY, PEGGY      | LIFEMARK INVESTMENT ADVISORY FEE       | 81.18                | 81.18               | 0.00               | 81.18             | 90.0 | 73.06                |
|   | ACCOUNT #: 925330602           | BUY 0 Units @0.0000                    |                      |                     |                    |                   |      |                      |
| 07/02/2018  | ***-**-27FB - GRAY, PEGGY      | LIFEMARK INVESTMENT ADVISORY FEE       | 32.35                | 32.35               | 0.00               | 32.35             | 90.0 | 29.12                |
|   | ACCOUNT #: 925330644           | BUY 0 Units @0.0000                    |                      |                     |                    |                   |      |                      |
| 07/02/2018  | ***-**-99FB - MAY, DAVID       | LIFEMARK INVESTMENT ADVISORY FEE       | 123.20               | 123.20              | 0.00               | 123.20            | 90.0 | 110.88               |
|   | ACCOUNT #: 925396322           | BUY 0 Units @0.0000                    |                      |                     |                    |                   |      |                      |
| 07/02/2018  | ***-**-62FB - KEYS, RAMONA     | LIFEMARK INVESTMENT ADVISORY FEE       | 95.02                | 95.02               | 0.00               | 95.02             | 90.0 | 85.52                |
|   | ACCOUNT #: 925408288           | BUY 0 Units @0.0000                    |                      |                     |                    |                   |      |                      |
| 07/02/2018  | ***-**-33FB - PIERSON, KAREN   | LIFEMARK INVESTMENT ADVISORY FEE       | 455.15               | 455.15              | 0.00               | 455.15            | 90.0 | 409.64               |
|   | ACCOUNT #: 925425941           | BUY 0 Units @0.0000                    |                      |                     |                    |                   |      |                      |
|   |                                | <b>* RIA SUBTOTAL *</b>                | <b>\$786.90</b>      | <b>\$786.90</b>     | <b>\$0.00</b>      | <b>\$786.90</b>   |      | <b>\$708.22</b>      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                                |  |                      |                     |                    |                   |      |                      |
| 07/02/2018  | ***-**-643J - CRAFT, LOIS      | FIDELITY GOVT MMKT CAPITAL RESERVES    | 0.00                 | 0.00                | 0.00               | 0.00              | 90.0 | 0.00                 |
|   | ACCOUNT #: LSR011738           | BUY 1 Units @0.0000                    |                      |                     |                    |                   |      |                      |
| 07/02/2018  | ***-**-351I - SOWERS, FBO      | FIDELITY GOVT MMKT DAILY MONEY CLAS    | 0.00                 | 0.07                | 0.00               | 0.07              | 90.0 | 0.06                 |
|   | ACCOUNT #: LSF000278           | BUY 1 Units @0.0000                    |                      |                     |                    |                   |      |                      |
| 07/02/2018  | ***-**-60IO - BLACK, JENNIFER  | FIDELITY GOVT MMKT DAILY MONEY CLAS    | 0.00                 | 0.06                | 0.00               | 0.06              | 90.0 | 0.05                 |
|   | ACCOUNT #: LSH000019           | BUY 1 Units @0.0000                    |                      |                     |                    |                   |      |                      |
| 07/02/2018  | ***-**-60I2 - BLACK, JENNIFER  | FIDELITY GOVT MMKT DAILY MONEY CLAS    | 0.00                 | 0.20                | 0.00               | 0.20              | 90.0 | 0.18                 |
|   | ACCOUNT #: LSM000043           | BUY 1 Units @0.0000                    |                      |                     |                    |                   |      |                      |
| 07/02/2018  | ***-**-935I - LOWE, JAMES      | FIDELITY GOVT MMKT DAILY MONEY CLAS    | 0.00                 | 0.01                | 0.00               | 0.01              | 90.0 | 0.01                 |
|   | ACCOUNT #: LSR008460           | BUY 1 Units @0.0000                    |                      |                     |                    |                   |      |                      |
| 07/02/2018  | ***-**-13IN - CHARD, DANIELLE  | FIDELITY GOVT MMKT DAILY MONEY CLAS    | 0.00                 | 0.11                | 0.00               | 0.11              | 90.0 | 0.10                 |
|   | ACCOUNT #: LSR010090           | BUY 1 Units @0.0000                    |                      |                     |                    |                   |      |                      |
| 07/02/2018  | ***-**-13IO - CHARD, DANIELLE  | FIDELITY GOVT MMKT DAILY MONEY CLAS    | 0.00                 | 0.10                | 0.00               | 0.10              | 90.0 | 0.09                 |
|   | ACCOUNT #: LSR010092           | BUY 1 Units @0.0000                    |                      |                     |                    |                   |      |                      |



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| DATE   | CLIENT                        | INVESTMENT                                       | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|-------------------------------|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>                       |                               |  |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b> |                               |  |                      |                     |                    |                   |      |                      |
| 07/02/2018   | ***-**-521I - WILLIAMS, DOYLE | FIDELITY GOVT MMKT DAILY MONEY CLAS              | 0.00                 | 0.01                | 0.00               | 0.01              | 90.0 | 0.01                 |
|  | ACCOUNT #: LSR010095          | BUY 1 Units @0.0000                              |                      |                     |                    |                   |      |                      |
| 07/02/2018   | ***-**-559I - HUBBARD, JAMES  | FIDELITY GOVT MMKT DAILY MONEY CLAS              | 0.00                 | 0.07                | 0.00               | 0.07              | 90.0 | 0.06                 |
|  | ACCOUNT #: LSR010601          | BUY 1 Units @0.0000                              |                      |                     |                    |                   |      |                      |
|  |                               | <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>      | <b>\$0.00</b>        | <b>\$0.63</b>       | <b>\$0.00</b>      | <b>\$0.63</b>     |      | <b>\$0.56</b>        |
| <b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>        |                               |  |                      |                     |                    |                   |      |                      |
| 06/29/2018   | ***-**-240P - CAVIN, EMMA     | ALLIANZ VA TRAILS 018817403                      | 0.00                 | 0.53                | 0.00               | 0.53              | 90.0 | 0.48                 |
|  | ACCOUNT #: DA460485           | BUY 0 Units @0.0000                              |                      |                     |                    |                   |      |                      |
|  |                               | <b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b> | <b>\$0.00</b>        | <b>\$0.53</b>       | <b>\$0.00</b>      | <b>\$0.53</b>     |      | <b>\$0.48</b>        |
|  |                               | <b>*** BROKER TRANSACTIONS TOTAL ***</b>         | <b>\$886.90</b>      | <b>\$789.56</b>     | <b>\$0.00</b>      | <b>\$789.56</b>   |      | <b>\$710.61</b>      |

## BROKER COMMISSION TOTALS

|                       |          |
|-----------------------|----------|
| Broker Transactions   | \$710.61 |
| Split Transactions    | \$0.00   |
| Override Transactions | \$0.00   |
| Adjustments           | \$0.00   |
| Payroll Draw          | \$0.00   |
| Base Salary           | \$0.00   |
| Prior Period Balance  | \$0.00   |
| FINRA Assessment      | \$0.00   |
| SIPC Assessment       | \$0.00   |

|                       |             |
|-----------------------|-------------|
| Net Commission Earned | \$710.61    |
| Year-to-date Earnings | \$27,220.97 |