

COMMISSION STATEMENT

June 15, 2018

DREW NIXON-D350

BROKER #: D350

BRANCH: DMV

, TX

COMMISSION STATEMENT for DREW NIXON-D350

TRADE DATE	CLIENT	INVESTMENT							B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS															
PRODUCT CATEGORY: MUTUAL FUNDS															
05/29/2018	000000002350888	BAGLECASE	MF	FRK	TEMP	FOUNDING	FUNDS	ALLOC	B	300.00	8.40	0.00	8.40	90.0	7.56
05/29/2018	000000002350888	BAGLECASE	MF	FRK	TEMP	FOUNDING	FUNDS	ALLOC	B	1,000.00	28.00	0.00	28.00	90.0	25.20
05/29/2018	000000002350938	SHARPBRANP	MF	FRK	TEMP	FOUNDING	FUNDS	ALLOC	B	240.00	6.72	0.00	6.72	90.0	6.05
05/29/2018	000000002350938	SHARPBRANP	MF	FRK	TEMP	FOUNDING	FUNDS	ALLOC	B	800.00	22.40	0.00	22.40	90.0	20.16
05/29/2018	000000002356588	BAGLERICK	MF	FRK	TEMP	FOUNDING	FUNDS	ALLOC	B	240.00	4.80	0.00	4.80	90.0	4.32
05/29/2018	000000002356588	BAGLERICK	MF	FRK	TEMP	FOUNDING	FUNDS	ALLOC	B	800.00	16.00	0.00	16.00	90.0	14.40
05/29/2018	000000005446430	LUTTRTOMM	MF	FRK	TEMP	FOUNDING	FUNDS	ALLOC	B	36.47	1.82	0.00	1.82	90.0	1.64
05/29/2018	000000005446430	LUTTRTOMM	MF	FRK	TEMP	FOUNDING	FUNDS	ALLOC	B	60.78	3.04	0.00	3.04	90.0	2.74
05/29/2018	000000005944703	BAGLEBEAT	MF	FRK	TEMP	FOUNDING	FUNDS	ALLOC	B	12.00	0.24	0.00	0.24	90.0	0.22
05/29/2018	000000005944703	BAGLEBEAT	MF	FRK	TEMP	FOUNDING	FUNDS	ALLOC	B	40.00	0.80	0.00	0.80	90.0	0.72
05/29/2018	000000006153163	GARCIJUAN	MF	FRK	TEMP	FOUNDING	FUNDS	ALLOC	B	88.02	4.40	0.00	4.40	90.0	3.96
05/29/2018	000000006153163	GARCIJUAN	MF	FRK	TEMP	FOUNDING	FUNDS	ALLOC	B	293.40	14.67	0.00	14.67	90.0	13.20
* MUTUAL FUNDS SUBTOTAL *										\$3,910.67	\$111.29	\$0.00	\$111.29		\$100.17
PRODUCT CATEGORY: VARIABLE ANNUITIES															
05/31/2018	1008334745	WEDDEL, LOIS	VA	PERSPECTIVE L					B	80,015.64	93.35	0.00	93.35	90.0	84.02
* VARIABLE ANNUITIES SUBTOTAL *										\$80,015.64	\$93.35	\$0.00	\$93.35		\$84.02

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2018	00059877466	WEDGEWORTH, DORIS	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.60	0.00	5.60	90.0	5.04
06/01/2018	00062135600	BAGLEY, HIRAM	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.92	0.00	5.92	90.0	5.33
06/01/2018	00063249990	CARTER, PAULA	MFT CAPITAL INCOME BUILDER-A	B	0.00	44.55	0.00	44.55	90.0	40.10
06/01/2018	00063249990	CARTER, PAULA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	50.02	0.00	50.02	90.0	45.02
06/01/2018	00063249990	CARTER, PAULA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.89	0.00	2.89	90.0	2.60
06/01/2018	00063249990	CARTER, PAULA	MFT NEW PERSPECTIVE FUND-A	B	0.00	13.13	0.00	13.13	90.0	11.82
06/01/2018	00063249990	CARTER, PAULA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	50.49	0.00	50.49	90.0	45.44
06/01/2018	00063249990	CARTER, PAULA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.78	0.00	2.78	90.0	2.50
06/01/2018	00063509725	JOHNSON, MARKEAL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	26.52	0.00	26.52	90.0	23.87
06/01/2018	00063534299	RAY, BRANDICE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.95	0.00	4.95	90.0	4.46
06/01/2018	00063817540	LEWIS, CHARLES	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.41	0.00	3.41	90.0	3.07
06/01/2018	00063865504	WOODFIN, JASON	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.18	0.00	0.18	90.0	0.16
06/01/2018	00064060924	MABERRY, CHARLENE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.99	0.00	2.99	90.0	2.69

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06/01/2018 00064461334	BLAIR, REBEKAH	MFT THE INVESTMENT COMPANY OF	B	0.00	8.06	0.00	8.06	90.0	7.25
06/01/2018 00068124392	DOSSABHOY, BEHRAM	MFT AMERICAN MUTUAL FUND-529A	B	0.00	49.83	0.00	49.83	90.0	44.85
06/01/2018 00068124392	DOSSABHOY, BEHRAM	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	91.85	0.00	91.85	90.0	82.67
06/01/2018 00068124392	DOSSABHOY, BEHRAM	MFT THE INCOME FUND OF AMERICA-529A	B	0.00	40.66	0.00	40.66	90.0	36.59
06/01/2018 00081262034	DUBOSE, SAMANTHA	MFT SHORT-TERM BOND FUND OF	B	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2018 00081262120	DUBOSE, SAMANTHA	MFT SHORT-TERM BOND FUND OF	B	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2018 00082539213	HOWARD, LINDSAY	MFT CAPITAL INCOME BUILDER-529A	B	0.00	4.82	0.00	4.82	90.0	4.34
06/01/2018 00082539213	HOWARD, LINDSAY	MFT CAPITAL INCOME BUILDER-529C	B	0.00	17.17	0.00	17.17	90.0	15.45
06/01/2018 00085423196	HARVEY, PAUL	MFT THE BOND FUND OF AMERICA-A	B	0.00	5.97	0.00	5.97	90.0	5.37
06/01/2018 01008837014	TWOMEY, PATRICIA	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.35	0.00	2.35	90.0	2.12
06/01/2018 01008837014	TWOMEY, PATRICIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.74	0.00	4.74	90.0	4.27
06/01/2018 01008837014	TWOMEY, PATRICIA	MFT NEW WORLD FUND-A	B	0.00	2.30	0.00	2.30	90.0	2.07
06/01/2018 01008837015	TWOMEY, HENRY	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.35	0.00	2.35	90.0	2.12
06/01/2018 01008837015	TWOMEY, HENRY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.14	0.00	5.14	90.0	4.63
06/01/2018 01008837015	TWOMEY, HENRY	MFT NEW WORLD FUND-A	B	0.00	2.76	0.00	2.76	90.0	2.48
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$452.13	\$0.00	\$452.13		\$406.95
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
05/29/2018 (None)	NORRIS, LAVEDA	VAT AMERICAN GENERAL	B	0.00	45.64	0.00	45.64	90.0	41.08
05/29/2018 (None)	ALLEMAN, MARTIN	VAT AMERICAN GENERAL	B	0.00	1.42	0.00	1.42	90.0	1.28
06/01/2018 (None)	LOVIL, GEORGE	VAT AMERICAN GENERAL	B	0.00	34.19	0.00	34.19	90.0	30.77
06/03/2018 (None)	AZBELL, WANDA	VAT AMERICAN GENERAL	B	0.00	41.51	0.00	41.51	90.0	37.36
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$122.76	\$0.00	\$122.76		\$110.49
*** DIRECT TRANSACTIONS TOTAL ***				\$83,926.31	\$779.53	\$0.00	\$779.53		\$701.63

OVERRIDE TRANSACTIONSBROKER: JIM HUGHES-D349 #138

06/01/2018 000000001642697	RODGKATH	MF FRK TEMP FOUNDING FUNDS ALLOC	B	100.00	1.00	0.00	1.00		0.10
06/01/2018 000000007053662	DUFREMAXA	MF CUSIP 645769696	B	100.00	5.00	0.00	5.00		0.50
06/01/2018 000000012760498	POLLOSTEP	MF FRANKLIN INCOME FUND CLASS C	B	458.33	4.58	0.00	4.58		0.46
06/05/2018 000000012879742	HUFFMMICHR	MF FRANKLIN INCOME FUND CLASS C	B	200.00	2.00	0.00	2.00		0.20
06/08/2018 000080193409135	BURNE, CLAUL	MF FRANKLIN DYNATECH FUND CLASS A	B	40.00	2.00	0.00	2.00		0.20
06/08/2018 000080193409135	BURNE, CLAUL	MF FRANKLIN DYNATECH FUND CLASS A	B	30.85	1.54	0.00	1.54		0.15
06/01/2018 000090237684460	ADAMSJOSCN	MF FRANKLIN INCOME FUND CLASS A	B	300.00	12.00	0.00	12.00		1.20
06/01/2018 (None)	ELWELL, JOSEPH	VA SECURITY BENEFIT LIFE	B	0.00	28.67	0.00	28.67		2.87
06/01/2018 01076259519	MARY E GILLIS	MFT THE INVESTMENT COMPANY OF	B	0.00	1.86	0.00	1.86		0.19
05/30/2018 (None)	BALDWIN, PATRICIA	VAT SECURITY BENEFIT LIFE	B	0.00	0.56	0.00	0.56		0.06
05/30/2018 (None)	ELWELL, JOSEPH	VAT SECURITY BENEFIT LIFE	B	0.00	29.75	0.00	29.75		2.98
05/30/2018 (None)	FISHBACK, CECILE	VAT SECURITY BENEFIT LIFE	B	0.00	0.72	0.00	0.72		0.07
05/30/2018 (None)	POWELL, CANDACE	VAT SECURITY BENEFIT LIFE	B	0.00	10.63	0.00	10.63		1.06
05/30/2018 (None)	POWELL, CANDACE	VAT SECURITY BENEFIT LIFE	B	0.00	65.76	0.00	65.76		6.58
05/30/2018 (None)	TAYLOR, JEWELL	VAT SECURITY BENEFIT LIFE	B	0.00	1.10	0.00	1.10		0.11

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06/08/2018 (None)	ADVANTAGE	VAT MASS MUTUAL	B	0.00	38.05	0.00	38.05		3.81
06/08/2018 (None)	ADVANTAGE	VAT MASS MUTUAL	B	0.00	16.36	0.00	16.36		1.64
06/08/2018 (None)	ADVANTAGE	VAT MASS MUTUAL	B	0.00	0.92	0.00	0.92		0.09
* JIM HUGHES-D349 SUBTOTAL *				\$1,229.18	\$222.50	\$0.00	\$222.50		\$22.27
*** OVERRIDE TRANSACTIONS TOTAL ***				\$1,229.18	\$222.50	\$0.00	\$222.50		\$22.27

BROKER COMMISSION TOTALS

Broker Transactions	\$701.63
Split Transactions	\$0.00
Override Transactions	\$22.27
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$723.90
Year-to-date Commissions	\$47,926.47