

COMMISSION STATEMENT July 31, 2018

RIX/RANDY SMITH/SMITH-D081

BROKER #: D081

BRANCH: DMA

, TX

COMMISSION STATEMENT for RIX/RANDY SMITH/SMITH-D081

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/29/2018	676365887	SMITH, RANDALL	S	SPECTRA ENERGY PARTNERS LP	S	-24,619.31	0.00	0.00	0.00 100.0 0.00
07/10/2018	676365887	SMITH, RANDALL	S	FRONTIER COMM CORP	S	-161.08	0.00	0.00	0.00 100.0 0.00
07/20/2018	676365887	SMITH, RANDALL	S	GEO GROUP INC NEW/ THE	B	10,048.55	0.00	0.00	0.00 100.0 0.00
				* STOCKS SUBTOTAL *		\$-14,731.84	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: BONDS</u>									
07/18/2018	676346300	SMITH TTEE, RONALD	B	SB ONE BK FRANKLIN NJ	B	100,000.00	0.00	0.00	0.00 100.0 0.00
07/09/2018	676356642	SMITH, RANDALL	B	BEDFORD TX GO REF IMPT BDS 2018	B	39,721.14	0.00	0.00	0.00 100.0 0.00
07/19/2018	676356642	SMITH, RANDALL	B	TEXAS STATE WOMANS UNIV REV	B	46,530.67	0.00	0.00	0.00 100.0 0.00
				* BONDS SUBTOTAL *		\$186,251.81	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u>									
07/05/2018	492637897	PENNY-MCELROY TTEE, CD		AFFINITY FED CR UN BASKING	B	100,000.00	0.00	0.00	0.00 100.0 0.00
07/05/2018	492637897	PENNY-MCELROY TTEE, CD		AFFINITY FED CR UN BASKING	B	-100,000.00	0.00	0.00	0.00 100.0 0.00
07/09/2018	492637897	PENNY-MCELROY TTEE, CD		SAFRA NATIONAL BANK NEW YORK	B	100,000.00	0.00	0.00	0.00 100.0 0.00
07/09/2018	492637897	PENNY-MCELROY TTEE, CD		UNITED BANKERS BANK BLOOMINGTON	B	129,000.00	0.00	0.00	0.00 100.0 0.00
07/03/2018	676346300	SMITH TTEE, RONALD	CD	FIRST TECHNOLOGY FEDERAL	B	100,000.00	0.00	0.00	0.00 100.0 0.00
07/03/2018	676346300	SMITH TTEE, RONALD	CD	FIRST TECHNOLOGY FEDERAL	B	-100,000.00	0.00	0.00	0.00 100.0 0.00
07/06/2018	676346300	SMITH TTEE, RONALD	CD	BANK OF CHINA NEW YORK CITY BRH	B	100,000.00	0.00	0.00	0.00 100.0 0.00
07/09/2018	676346300	SMITH TTEE, RONALD	CD	METABANK SIOUX FALLS S D	B	100,000.00	0.00	0.00	0.00 100.0 0.00
07/10/2018	676346300	SMITH TTEE, RONALD	CD	SAFRA NATIONAL BANK NEW YORK	B	100,000.00	0.00	0.00	0.00 100.0 0.00
				* CERTIFICATES OF DEPOSIT SUBTOTAL *		\$529,000.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/23/2018	mfc913901	SMITH 913901		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.00	0.00	0.00 100.0 0.00
07/23/2018	mfc913901	SMITH 913901		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.00	0.00	0.00 100.0 0.00
07/23/2018	mfc913901	SMITH 913901		MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.00	0.00	0.00 100.0 0.00
07/23/2018	mfc913901	SMITH 913901		MFT GLOBAL BALANCED FUND-A	B	0.00	0.00	0.00	0.00 100.0 0.00
07/23/2018	mfc913901	SMITH 913901		MFT INTERNATIONAL GROWTH AND	B	0.00	0.00	0.00	0.00 100.0 0.00
07/23/2018	mfc913901	SMITH 913901		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.00	0.00	0.00 100.0 0.00
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** CLEARING TRANSACTIONS TOTAL ***				\$700,519.97	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00