

COMMISSION STATEMENT

April 30, 2018

CYNTHIA MICHAEL-D181

BROKER #: D181

BRANCH: DMR

, TX

COMMISSION STATEMENT for CYNTHIA MICHAEL-D181

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/05/2018 306823802	GORDON, JUDITH	MF AMERICAN HIGH-INCOME TRUST-A	B	-4,992.55	0.00	5.00	-5.00	80.0	-4.00
04/05/2018 306823802	GORDON, JUDITH	MF THE INCOME FUND OF AMERICA-A	B	-4,992.55	0.00	5.00	-5.00	80.0	-4.00
04/05/2018 306823802	GORDON, JUDITH	MF THE INCOME FUND OF AMERICA-A	B	-992.55	0.00	5.00	-5.00	80.0	-4.00
04/12/2018 676350886	SMITH, CHARLES	MF MAINSTAY HIGH YIELD MUNI BD C	B	-597.55	0.00	5.00	-5.00	80.0	-4.00
* MUTUAL FUNDS SUBTOTAL *				\$-11,575.20	\$0.00	\$20.00	\$-20.00		\$-16.00
<u>PRODUCT CATEGORY: STOCKS</u>									
04/20/2018 368440829	CRIST MARITAL	S HONEYWELL INTERNATIONAL INC.	B	22,687.94	190.00	15.00	175.00	80.0	140.00
04/20/2018 368440829	CRIST MARITAL	S VERIZON COMMUNICATIONS INC	S	-23,599.00	200.00	15.00	185.00	80.0	148.00
* STOCKS SUBTOTAL *				\$-911.06	\$390.00	\$30.00	\$360.00		\$288.00
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
04/06/2018 a03495845	MICHAEL-D181,	MI MUTUAL FUND MISC FEE 306823802	S	0.00	5.00	0.00	5.00	80.0	4.00
04/06/2018 a03495845	MICHAEL-D181,	MI MUTUAL FUND MISC FEE 306823802	S	0.00	5.00	0.00	5.00	80.0	4.00
04/06/2018 a03495845	MICHAEL-D181,	MI MUTUAL FUND MISC FEE 306823802	S	0.00	5.00	0.00	5.00	80.0	4.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$15.00	\$0.00	\$15.00		\$12.00
<u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u>									
04/11/2018 276039976	FRIEDEL, MONICA	CD PINNACLE BANK NASHVILLE TN	B	200,002.45	255.00	20.00	235.00	80.0	188.00
04/11/2018 276039976	FRIEDEL, MONICA	CD SUNWEST BANK IRVINE CA	B	200,002.45	85.00	20.00	65.00	80.0	52.00
* CERTIFICATES OF DEPOSIT SUBTOTAL *				\$400,004.90	\$340.00	\$40.00	\$300.00		\$240.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/20/2018 mfc495845	MICHAEL CYNTHIA	MFT AIM GLOBAL VALUE FUND - CLASS A	B	0.00	13.19	0.00	13.19	80.0	10.55
04/20/2018 mfc495845	MICHAEL CYNTHIA	MFT AMCAP FUND-A	B	0.00	3.91	0.00	3.91	80.0	3.13
04/20/2018 mfc495845	MICHAEL CYNTHIA	MFT AMERICAN BALANCED FUND-F1	B	0.00	25.77	0.00	25.77	80.0	20.62
04/20/2018 mfc495845	MICHAEL CYNTHIA	MFT AMERICAN FDS ST TAX EXEMPT BOND	B	0.00	0.01	0.00	0.01	80.0	0.01
04/20/2018 mfc495845	MICHAEL CYNTHIA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	42.99	0.00	42.99	80.0	34.39
04/20/2018 mfc495845	MICHAEL CYNTHIA	MFT AMERICAN HIGH-INCOME MUNI BOND	B	0.00	0.10	0.00	0.10	80.0	0.08
04/20/2018 mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	7.78	0.00	7.78	80.0	6.22
04/20/2018 mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	16.14	0.00	16.14	80.0	12.91
04/20/2018 mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	35.59	0.00	35.59	80.0	28.47

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04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT BLACKROCK MULTI-ASSET INCOME FD B		0.00	5.38	0.00	5.38	80.0	4.30
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT BLACKROCK GLOBAL ALLOCATION FD B		0.00	14.50	0.00	14.50	80.0	11.60
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT BLACKROCK GLOBAL ALLOCATION B		0.00	4.49	0.00	4.49	80.0	3.59
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT BLACKROCK GLOBAL ALLOCATION B		0.00	15.49	0.00	15.49	80.0	12.39
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT CAPITAL INCOME BUILDER-A B		0.00	4.08	0.00	4.08	80.0	3.26
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT CAPITAL INCOME BUILDER-A B		0.00	4.96	0.00	4.96	80.0	3.97
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT CAPITAL INCOME BUILDER-A B		0.00	5.03	0.00	5.03	80.0	4.02
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT COLUMBIA TAX-EXEMPT FUND-A B		0.00	0.54	0.00	0.54	80.0	0.43
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT DEUTSCHE FLOATING RATE FD C B		0.00	19.86	0.00	19.86	80.0	15.89
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT DEUTSCHE FLOATING RATE FD C B		0.00	21.85	0.00	21.85	80.0	17.48
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C B		0.00	8.40	0.00	8.40	80.0	6.72
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C B		0.00	11.51	0.00	11.51	80.0	9.21
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C B		0.00	17.57	0.00	17.57	80.0	14.06
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C B		0.00	23.04	0.00	23.04	80.0	18.43
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C B		0.00	52.61	0.00	52.61	80.0	42.09
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C B		0.00	66.01	0.00	66.01	80.0	52.81
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C B		0.00	69.83	0.00	69.83	80.0	55.86
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A B		0.00	7.81	0.00	7.81	80.0	6.25
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A B		0.00	16.87	0.00	16.87	80.0	13.50
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT FUNDAMENTAL INVESTORS-A B		0.00	4.06	0.00	4.06	80.0	3.25
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT GROWTH FUND OF AMERICA CLASS F B		0.00	4.43	0.00	4.43	80.0	3.54
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT LOOMIS SAYLES GROWTH FD CL C B		0.00	16.50	0.00	16.50	80.0	13.20
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI B		0.00	24.40	0.00	24.40	80.0	19.52
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI B		0.00	50.61	0.00	50.61	80.0	40.49
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT MULTI-ASSET INCOME B		0.00	4.11	0.00	4.11	80.0	3.29
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT SHORT DURATION B		0.00	20.01	0.00	20.01	80.0	16.01
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT MAINSTAY HIGH YIELD MUNI BD C B		0.00	46.16	0.00	46.16	80.0	36.93
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT MFS GROWTH ALLOCATION FUND-C B		0.00	9.22	0.00	9.22	80.0	7.38
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT MID-CAP VALUE FUND CLASS A B		0.00	4.99	0.00	4.99	80.0	3.99
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT NEW PERSPECTIVE FUND-A B		0.00	3.69	0.00	3.69	80.0	2.95
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT NUVEEN SHORT DUR HIGH YLD MUNI B		0.00	14.90	0.00	14.90	80.0	11.92
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT TAX-EXEMPT BOND FUND OF AMERICA B		0.00	0.08	0.00	0.08	80.0	0.06
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A B		0.00	9.14	0.00	9.14	80.0	7.31
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A B		0.00	12.98	0.00	12.98	80.0	10.38
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A B		0.00	15.91	0.00	15.91	80.0	12.73
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A B		0.00	9.00	0.00	9.00	80.0	7.20
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A B		0.00	93.58	0.00	93.58	80.0	74.86
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT WASHINGTON MUTUAL INVESTORS B		0.00	3.78	0.00	3.78	80.0	3.02
04/20/2018	mfc495845 MICHAEL CYNTHIA	MFT WELLS FARGO ASSET ALLOCATION FD B		0.00	7.53	0.00	7.53	80.0	6.02
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$870.39	\$0.00	\$870.39		\$696.29
*** CLEARING TRANSACTIONS TOTAL ***				\$387,518.64	\$1,615.39	\$90.00	\$1,525.39		\$1,220.29

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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018 (None)	VARIOUS, MICHAEL	MFT COLLEGE BOUND	B	0.00	61.35	0.00	61.35	80.0	49.08
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$61.35	\$0.00	\$61.35		\$49.08
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$61.35	\$0.00	\$61.35		\$49.08

ADJUSTMENTS

04/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
04/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
04/30/2018	:	QUODD CHARGES		0.00	0.00	0.00	0.00		-130.37
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-185.37

BROKER COMMISSION TOTALS

Broker Transactions	\$1,269.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.37
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,084.00
Year-to-date Commissions	\$6,670.30