



# COMMISSION STATEMENT

## July 09, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/02/2018	***-**-325X - WARD, JAMES	AMERICAN 2035 TARGET DATE RETIREMNT	100.00	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010789	BUY 7 Units @14.8100						
07/02/2018	***-**-007X - MONACO, CARMINE	AMERICAN 2035 TARGET DATE RETIREMNT	1,325.01	13.25	3.00	10.25	80.0	8.20
	ACCOUNT #: LSR010800	BUY 89 Units @14.8100						
07/02/2018	***-**-310X - HARDWICK, TODD	AMERICAN 2035 TARGET DATE RETIREMNT	90.00	0.90	3.00	-2.10	100.0	-2.10
	ACCOUNT #: LSR011310	BUY 6 Units @14.8100						
06/25/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.37	0.84	0.00	0.84	80.0	0.67
	ACCOUNT #: 00083064667	BUY 1 Units @28.0100						
06/25/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.0100						
06/25/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.0100						
06/25/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.0100						
06/27/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	27.36	1.37	0.00	1.37	80.0	1.10
	ACCOUNT #: 04000812765	BUY 1 Units @28.6400						
06/28/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.6100						
06/28/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.6100						
06/28/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.6100						
06/28/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.6100						
06/28/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.6100						
06/28/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.6100						
06/28/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.6100						
06/28/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.6100						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
06/28/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	28.21	1.06	0.00	1.06	80.0	0.85
	ACCOUNT #: 04000627501	BUY 1 Units @28.3400						
06/28/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	28.21	1.06	0.00	1.06	80.0	0.85
	ACCOUNT #: 04000627501	BUY 1 Units @28.3400						
07/02/2018	***-**-524X - CONLEY, WILLIAM	AMERICAN BALANCED FUND-C	175.01	1.75	3.00	-1.25	100.0	-1.25
	ACCOUNT #: LSR012483	BUY 6 Units @26.9700						
06/25/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @12.9900						
06/25/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @12.9900						
07/02/2018	***-**-968X - GAW, LELAND	AMERICAN FUNDS 2030 TARGET DATE-C	1,219.99	12.20	3.00	9.20	80.0	7.36
	ACCOUNT #: LSR010777	BUY 85 Units @14.2900						
07/02/2018	***-**-552X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	800.00	8.00	3.00	5.00	80.0	4.00
	ACCOUNT #: LSR010780	BUY 56 Units @14.2900						
07/02/2018	***-**-558X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	100.00	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010788	BUY 7 Units @14.2900						
06/25/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @15.6200						
06/25/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.6200						
06/27/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.3100						
06/28/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.1600						
06/28/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	34.24	1.28	0.00	1.28	80.0	1.02
	ACCOUNT #: 04000627489	BUY 2 Units @16.1600						
06/28/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.1600						
06/28/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	31.89	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000627489	BUY 2 Units @16.1600						
06/25/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @15.9700						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
06/25/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 12 Units @15.9700	187.00	5.14	0.00	5.14	80.0	4.11
06/25/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @15.9700	100.00	2.75	0.00	2.75	80.0	2.20
06/25/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @15.9700	50.30	1.38	0.00	1.38	80.0	1.10
06/28/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @12.8400	75.00	1.20	0.00	1.20	80.0	0.96
06/28/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @12.8400	75.00	1.20	0.00	1.20	80.0	0.96
06/25/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @15.1100	240.50	2.41	0.00	2.41	80.0	1.93
06/25/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 4 Units @15.1100	66.96	0.67	0.00	0.67	80.0	0.54
06/28/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.0800	25.00	0.25	0.00	0.25	80.0	0.20
06/28/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @15.0800	14.16	0.14	0.00	0.14	80.0	0.11
06/28/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.0800	25.00	0.25	0.00	0.25	80.0	0.20
06/28/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.0800	25.00	0.25	0.00	0.25	80.0	0.20
06/28/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @15.0800	240.50	2.41	0.00	2.41	80.0	1.93
06/28/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 4 Units @15.0800	66.96	0.67	0.00	0.67	80.0	0.54
06/28/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @15.0800	240.50	2.41	0.00	2.41	80.0	1.93
06/28/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 4 Units @15.0800	66.96	0.67	0.00	0.67	80.0	0.54
06/28/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.0800	37.35	0.37	0.00	0.37	80.0	0.30



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
06/28/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @15.0800	45.00	0.45	0.00	0.45	80.0	0.36
06/28/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.0800	36.24	0.36	0.00	0.36	80.0	0.29
06/28/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @15.0800	45.00	0.45	0.00	0.45	80.0	0.36
06/29/2018	***-**-078I - PELLETIER, ACCOUNT #: 000000000017588	FT 2015 RETIREMENT TARGET FUND - CL BUY 44 Units @11.3600	500.00	18.75	0.00	18.75	80.0	15.00
06/04/2018	***-**-3266 - DIDOMINZIO,	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	60,549.59	605.50	0.00	605.50	80.0	484.40
06/04/2018	***-**-3083 - SWIRSKI, KATHY	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	27,194.92	271.95	0.00	271.95	80.0	217.56
06/04/2018	***-**-0474 - PEPICE, VITO	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	150,750.21	1,507.50	0.00	1,507.50	80.0	1,206.00
06/28/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.6500	30.00	0.48	0.00	0.48	80.0	0.38
06/28/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.6500	30.00	0.48	0.00	0.48	80.0	0.38
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$246,328.58</b>	<b>\$2,507.17</b>	<b>\$21.00</b>	<b>\$2,486.17</b>		<b>\$1,987.46</b>

### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/03/2018	***-**-39IN - GRAZIOSA, ROBERT ACCOUNT #: 06206043710	PIONEER INDEPENDENCE FUND C BUY 0 Units @0.0000	0.00	11.90	0.00	11.90	80.0	9.52
07/03/2018	***-**-39IN - GRAZIOSA, ROBERT ACCOUNT #: 06206043710	PIONEER STRATEGIC INCOME FUND C BUY 0 Units @0.0000	0.00	5.11	0.00	5.11	80.0	4.09
07/09/2018	***-**-078I - PELLETIER, ACCOUNT #: 7200000132	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	24.15	0.00	24.15	80.0	19.32
07/09/2018	***-**-365I - HENDRICKS,	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	53.43	0.00	53.43	80.0	42.74
07/09/2018	***-**-8503 - DEPINHO, MARY ACCOUNT #: 7200004964	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	30.73	0.00	30.73	80.0	24.58
07/09/2018	***-**-8503 - DEPINHO, MARY ACCOUNT #: 7200004964	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	37.60	0.00	37.60	80.0	30.08



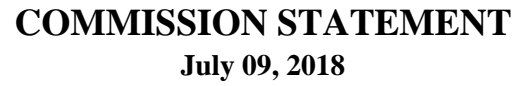
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<b>BROKER TRANSACTIONS (continued)</b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
07/09/2018	***-**-069I - PIRES, DAVID	SAMMONS MF TRAILS	0.00	17.61	0.00	17.61	80.0	14.09
	ACCOUNT #: 7200006689	BUY 0 Units @0.0000						
07/09/2018	***-**-124I - YOUNG, SANDRA	SAMMONS MF TRAILS	0.00	20.86	0.00	20.86	80.0	16.69
	ACCOUNT #: 7200017871	BUY 0 Units @0.0000						
07/09/2018	***-**-447I - BELVAL, PAUL	SAMMONS MF TRAILS	0.00	71.57	0.00	71.57	80.0	57.26
	ACCOUNT #: 7200002672	BUY 0 Units @0.0000						
07/09/2018	***-**-057I - DASILVA, JOSE	SAMMONS MF TRAILS	0.00	12.78	0.00	12.78	80.0	10.22
	ACCOUNT #: 7200010529	BUY 0 Units @0.0000						
07/09/2018	***-**-700I - NIHILL, TODD	SAMMONS MF TRAILS	0.00	34.75	0.00	34.75	80.0	27.80
	ACCOUNT #: 7200019445	BUY 0 Units @0.0000						
	<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$320.49</b>	<b>\$0.00</b>	<b>\$320.49</b>		<b>\$256.39</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
06/30/2018	***-**-72IN - ROSEN, THOMAS	JACKSON PERSEPCTIVE ADVANTAGE A	0.00	42.66	0.00	42.66	80.0	34.13
	ACCOUNT #: 009102606A	BUY 0 Units @0.0000						
06/30/2018	***-**-09IN - SILL, RONALD	LINCOLN FIN VA TRAILS DTCC 53422E51	0.00	245.14	0.00	245.14	80.0	196.11
	ACCOUNT #: 924094424	BUY 0 Units @0.0000						
06/30/2018	***-**-3912 - LAFUENTE, CARLOS	MASS MUTUAL VA DTCC TRAILS	0.00	200.40	0.00	200.40	80.0	160.32
	ACCOUNT #: EVN46018411	BUY 0 Units @0.0000						
	<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$488.20</b>	<b>\$0.00</b>	<b>\$488.20</b>		<b>\$390.56</b>
	<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$246,328.58</b>	<b>\$3,315.86</b>	<b>\$21.00</b>	<b>\$3,294.86</b>		<b>\$2,634.41</b>



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				INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT			AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
						<b><u>BROKER COMMISSION TOTALS</u></b>			
						Broker Transactions		\$2,634.41	
						Split Transactions		\$0.00	
						Override Transactions		\$0.00	
						Adjustments		\$0.00	
						Payroll Draw		\$0.00	
						Base Salary		\$0.00	
						Prior Period Balance		\$0.00	
						FINRA Assessment		\$0.00	
						SIPC Assessment		\$0.00	
						Net Commission Earned		\$2,634.41	
						Year-to-date Earnings		\$50,431.61	