



All Companies

COMMISSION STATEMENT

February 01, 2018

JON D. JOHNSON
6630 Dark Canyon Road

BROKER #: 9604
BRANCH: HOME OFFICE

Rapid City, SD 57702-

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/31/2017	TRAILS	-FIDELITY ADVISOR FUNDS	B	132.01	132.01	0.00	132.01	90.0	118.81
01/02/2018	KENDALL, DOUGLAS	-PRINCIPAL FUNDS	B	1,633.23	77.57	0.00	77.57	90.0	69.81
12/29/2017	TRAILS	-PRUDENTIAL MUTUAL FUND	B	25.58	25.58	0.00	25.58	90.0	23.02
12/31/2017	TRAILS	-PUTNAM	B	30.29	30.29	0.00	30.29	90.0	27.26
01/16/2018	PETERSON, JEFF W.	-PUTNAM	B	114.00	4.28	0.00	4.28	90.0	3.85
12/31/2017	TRAILS	CALAMOS INVESTMENTS	B	28.34	18.34	0.00	18.34	90.0	16.51
01/15/2018	PRECISION PHYS THERAPY	NATIONWIDE QUALIFIED PLAN	B	3,088.94	90.77	0.00	90.77	90.0	81.69
01/16/2018	000000002736585 WEISCHEDEL, SCOTT	MUTUAL BEACON FND - CL A	B	110.00	3.08	0.00	3.08	90.0	2.77
01/16/2018	000001977715529 MILLER, VALERIE A.	TEMPLETON GROWTH FD CL 1	B	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	000002974389195 WEISCHEDEL, SCOTT	TEMPLETON WORLD FD CL I	B	110.00	3.08	0.00	3.08	90.0	2.77
01/16/2018	000006983387835 NILLES, VICKIE	TEMPLETON GLOBAL BD FD CL I	B	500.00	20.00	0.00	20.00	90.0	18.00
01/16/2018	000019818050371 WEISCHEDEL, SCOTT	FRANKLIN SMALL CAP GROWTH FD CL I	B	110.00	3.08	0.00	3.08	90.0	2.77
01/08/2018	00035825027 FOWLER, RANDY S.	IVY DIVIDEND OPP A	B	25.00	1.25	0.00	1.25	90.0	1.13
01/08/2018	00035825027 FOWLER, RANDY S.	IVY HIGH INCOME A	B	25.00	1.25	0.00	1.25	90.0	1.13
01/08/2018	00035825032 FOWLER, MARY B.	IVY ASSET STRATEGY A	B	50.00	2.50	0.00	2.50	90.0	2.25
01/08/2018	00035876969 STELLWAGEN, JAY	IVY BALANCED A	B	2,459.00	68.85	0.00	68.85	90.0	61.97
01/10/2018	00035926510 ROSETTER, LUANN	IVY BALANCED A	B	114.00	4.56	0.00	4.56	90.0	4.10
01/10/2018	00035926510 ROSETTER, LUANN	IVY CUNDILL GLOBAL VALUE A	B	114.00	4.56	0.00	4.56	90.0	4.10
01/10/2018	00035926510 ROSETTER, LUANN	IVY LARGE CAP GROWTH A	B	115.00	4.60	0.00	4.60	90.0	4.14
01/10/2018	00035926510 ROSETTER, LUANN	IVY SCIENCE & TECH A	B	115.00	4.60	0.00	4.60	90.0	4.14
01/02/2018	00036207246 SAWYER, ALEXANDER	IVY LARGE CAP GROWTH A	B	458.00	12.82	0.00	12.82	90.0	11.54
01/02/2018	00036207269 SAWYER, MADELYN E.	IVY CORE EQUITY A	B	458.00	12.82	0.00	12.82	90.0	11.54
01/02/2018	00036338714 JOHNSON, JACOB F.	IVY LARGE CAP GROWTH A	B	228.93	11.45	0.00	11.45	90.0	10.31
01/02/2018	00036338714 JOHNSON, JACOB F.	IVY MID CAP GROWTH A	B	229.00	11.45	0.00	11.45	90.0	10.31
01/16/2018	00063960504 LECY, DOUG	AMERICAN FUNDS AMCAP FD	B	115.00	2.30	0.00	2.30	90.0	2.07
01/16/2018	00063960504 LECY, DOUG	AMERICAN FUNDS AMERICAN MUTUAL FD	B	115.00	2.30	0.00	2.30	90.0	2.07
01/16/2018	00063960504 LECY, DOUG	AMERICAN FUNDS INCOME FD OF AMERI	B	114.00	2.28	0.00	2.28	90.0	2.05

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/16/2018	00063960504	LECY, DOUG	AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.00	2.28	0.00	2.28	90.0	2.05
01/16/2018	00069075893	BARBER, PEGGY	AMERICAN FUNDS BD FD OF AMER	FD B	50.00	1.50	0.00	1.50	90.0	1.35
01/16/2018	00069075893	BARBER, PEGGY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.88	0.00	1.88	90.0	1.69
01/17/2018	00075013619	BECK, EMILY	AMERICAN FUNDS AMCAP FD	B	76.25	2.10	0.00	2.10	90.0	1.89
01/17/2018	00075013619	BECK, EMILY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	76.25	2.10	0.00	2.10	90.0	1.89
01/17/2018	00075013619	BECK, EMILY	GLOBAL BALANCED FUND-A	B	76.25	2.10	0.00	2.10	90.0	1.89
01/16/2018	00081152428	LECY, ANN	AMERICAN FUNDS AMCAP FD	B	115.00	2.30	0.00	2.30	90.0	2.07
01/16/2018	00081152428	LECY, ANN	AMERICAN FUNDS CAPITAL WORLD	GROWT B	114.00	2.28	0.00	2.28	90.0	2.05
01/16/2018	00081152428	LECY, ANN	AMERICAN FUNDS INCOME FD OF	AMERI B	115.00	2.30	0.00	2.30	90.0	2.07
01/16/2018	00081152428	LECY, ANN	NEW WORLD FUND-A	B	114.00	2.28	0.00	2.28	90.0	2.05
01/17/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS FUNDAMENTAL	INVES B	76.25	2.10	0.00	2.10	90.0	1.89
01/17/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS GROWTH FUND OF AMERI	B	76.25	2.10	0.00	2.10	90.0	1.89
01/17/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	76.25	2.10	0.00	2.10	90.0	1.89
01/10/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS CAPITAL WORLD	GROWT B	50.00	1.38	0.00	1.38	90.0	1.24
01/10/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.38	0.00	1.38	90.0	1.24
01/10/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS INVESTMENT COMP OF A	B	50.00	1.38	0.00	1.38	90.0	1.24
01/10/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.38	0.00	1.38	90.0	1.24
01/10/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS CAPITAL WORLD	GROWT B	50.00	1.38	0.00	1.38	90.0	1.24
01/10/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.38	0.00	1.38	90.0	1.24
01/10/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS INVESTMENT COMP OF A	B	50.00	1.38	0.00	1.38	90.0	1.24
01/10/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.38	0.00	1.38	90.0	1.24
01/10/2018	00082960130	REGIER, STEPHANIE	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	2.13	0.00	2.13	90.0	1.92
01/10/2018	00082960130	REGIER, STEPHANIE	AMERICAN FUNDS EURO PACIFIC	GROWT B	50.00	2.13	0.00	2.13	90.0	1.92
01/10/2018	00082960130	REGIER, STEPHANIE	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	2.13	0.00	2.13	90.0	1.92
01/10/2018	00082960172	REGIER, DARYL	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
01/10/2018	00082960172	REGIER, DARYL	AMERICAN FUNDS CAPITAL WORLD	GROWT B	50.00	2.13	0.00	2.13	90.0	1.92
01/10/2018	00082960172	REGIER, DARYL	AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.13	0.00	2.13	90.0	1.92
01/08/2018	00084627739	GESINGER, BLAINE	AMERICAN FUNDS BALANCED FD	B	50.00	1.00	0.00	1.00	90.0	0.90
01/08/2018	00084627739	GESINGER, BLAINE	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	1.00	0.00	1.00	90.0	0.90
01/18/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	2.00	0.00	2.00	90.0	1.80
01/18/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS CAPITAL INCOME BUILD	B	100.00	2.00	0.00	2.00	90.0	1.80
01/18/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS CAPITAL WORLD	GROWT B	100.00	2.00	0.00	2.00	90.0	1.80
01/18/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	2.00	0.00	2.00	90.0	1.80
01/18/2018	00084775331	GESINGER, BLAINE	NEW WORLD FUND-A	B	100.00	2.00	0.00	2.00	90.0	1.80
01/12/2018	00085443655	ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD	B	63.12	2.37	0.00	2.37	90.0	2.13
01/17/2018	00086734816	JACOBS, COREY	AMERICAN MUTUAL FUND-C	B	167.00	1.67	0.00	1.67	90.0	1.50
01/17/2018	00086734816	JACOBS, COREY	CAPITAL INCOME BUILDER-C	B	167.00	1.67	0.00	1.67	90.0	1.50
01/17/2018	00086734816	JACOBS, COREY	CAPITAL INCOME BUILDER-C	B	167.00	1.67	0.00	1.67	90.0	1.50
01/17/2018	00086734849	JACOBS, CONNIE	AMERICAN FUNDS AMCAP FD	B	183.00	6.86	0.00	6.86	90.0	6.17
01/17/2018	00086734849	JACOBS, CONNIE	AMERICAN FUNDS AMCAP FD	B	366.00	13.73	0.00	13.73	90.0	12.36

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/17/2018	00086734849	JACOBS, CONNIE	AMERICAN FUNDS CAPITAL WORLD	GROWT B	183.00	6.86	0.00	6.86	90.0	6.17
01/17/2018	00086734849	JACOBS, CONNIE	AMERICAN FUNDS CAPITAL WORLD	GROWT B	366.00	13.73	0.00	13.73	90.0	12.36
01/16/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS FUNDAMENTAL	INVES B	114.58	3.15	0.00	3.15	90.0	2.84
01/16/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS INCOME FD OF	AMERI B	114.58	3.15	0.00	3.15	90.0	2.84
01/16/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS INVESTMENT COMP OF A	B	114.58	3.15	0.00	3.15	90.0	2.84
01/16/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS SMALL CAP WORLD FD	B	114.58	3.15	0.00	3.15	90.0	2.84
01/16/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	114.58	3.15	0.00	3.15	90.0	2.84
01/16/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.58	3.15	0.00	3.15	90.0	2.84
01/16/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.58	3.15	0.00	3.15	90.0	2.84
01/16/2018	00086832145	MARCOV, SARAH F.	NEW WORLD FUND-A	B	114.58	3.15	0.00	3.15	90.0	2.84
01/12/2018	00086957629	WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	31.78	1.19	0.00	1.19	90.0	1.07
01/09/2018	00087056600	OUKROP, NANETTE	AMERICAN FUNDS AMCAP FD	B	150.00	4.13	0.00	4.13	90.0	3.72
01/09/2018	00087056600	OUKROP, NANETTE	AMERICAN FUNDS WA MUTUAL	INVES B	150.00	4.13	0.00	4.13	90.0	3.72
01/17/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS AMCAP FD	B	55.00	2.34	0.00	2.34	90.0	2.11
01/17/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS CAPITAL WORLD	GROWT B	55.00	2.34	0.00	2.34	90.0	2.11
01/17/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS GROWTH FUND OF AMERI	B	55.00	2.34	0.00	2.34	90.0	2.11
01/17/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS WA MUTUAL	INVES B	55.00	2.34	0.00	2.34	90.0	2.11
01/16/2018	00087295220	DELES DERNIER, DOUGLAS	AMERICAN FUNDS AMCAP FD	B	100.00	4.25	0.00	4.25	90.0	3.83
01/16/2018	00087295220	DELES DERNIER, DOUGLAS	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	4.25	0.00	4.25	90.0	3.83
01/16/2018	00087295220	DELES DERNIER, DOUGLAS	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
01/16/2018	00087295220	DELES DERNIER, DOUGLAS	GLOBAL BALANCED FUND-A	B	100.00	4.25	0.00	4.25	90.0	3.83
01/16/2018	00087374237	KING, TIMOTHY	AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	00087374237	KING, TIMOTHY	AMERICAN FUNDS CAPITAL WORLD	GROWT B	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	00087374237	KING, TIMOTHY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	00087374237	KING, TIMOTHY	AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	00087391906	WILSON, DONNELLE	GLOBAL BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00087391906	WILSON, DONNELLE	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/10/2018	00087396195	DAHL, DAVID	AMERICAN FUNDS FUNDAMENTAL	INVES B	53.00	1.99	0.00	1.99	90.0	1.79
01/10/2018	00087396195	DAHL, DAVID	AMERICAN FUNDS GROWTH FUND OF AMERI	B	53.00	1.99	0.00	1.99	90.0	1.79
01/10/2018	00087396195	DAHL, DAVID	AMERICAN FUNDS NEW ECONOMY FD	B	53.00	1.99	0.00	1.99	90.0	1.79
01/10/2018	00087396195	DAHL, DAVID	NEW WORLD FUND-A	B	70.00	2.63	0.00	2.63	90.0	2.37
01/10/2018	00087396210	DAHL, AMANDA	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
01/10/2018	00087396210	DAHL, AMANDA	AMERICAN FUNDS CAPITAL WORLD	GROWT B	65.00	2.44	0.00	2.44	90.0	2.20
01/10/2018	00087396210	DAHL, AMANDA	AMERICAN FUNDS SMALL CAP WORLD FD	B	64.00	2.40	0.00	2.40	90.0	2.16
01/10/2018	00087396210	DAHL, AMANDA	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	1.88	0.00	1.88	90.0	1.69
01/16/2018	00087436654	LYONS, ASHLEY	AMERICAN FUNDS AMCAP FD	B	125.00	6.25	0.00	6.25	90.0	5.63

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/16/2018	00087436654 LYONS, ASHLEY	AMERICAN FUNDS CAPITAL WORLD	GROWT B	125.00	6.25	0.00	6.25	90.0	5.63
01/09/2018	00087574970 BANE, REBEKAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	7,468.00	205.37	0.00	205.37	90.0	184.83
01/09/2018	00087578524 BANE, DANIEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	7,468.00	205.37	0.00	205.37	90.0	184.83
01/16/2018	00087709550 GUNDERSON, SUSAN/DILLON	GROWTH PORTFOLIO-529A	B	400.00	17.00	0.00	17.00	90.0	15.30
01/18/2018	00087793439 ROSENBAUM, BENJAMIN	AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0	2.25
01/18/2018	00087793439 ROSENBAUM, BENJAMIN	AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.50	0.00	2.50	90.0	2.25
01/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS EURO PACIFIC	GROWT B	112.00	2.24	0.00	2.24	90.0	2.02
01/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS INCOME FD OF	AMERI B	112.00	2.24	0.00	2.24	90.0	2.02
01/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS INVESTMENT COMP OF A	B	113.00	2.26	0.00	2.26	90.0	2.03
01/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS NEW ECONOMY FD	B	113.00	2.26	0.00	2.26	90.0	2.03
01/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS AMCAP FD	B	112.00	2.24	0.00	2.24	90.0	2.02
01/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS AMERICAN MUTUAL FD	B	112.00	2.24	0.00	2.24	90.0	2.02
01/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS CAPITAL WORLD	GROWT B	113.00	2.26	0.00	2.26	90.0	2.03
01/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS SMALL CAP WORLD FD	B	113.00	2.26	0.00	2.26	90.0	2.03
01/10/2018	00088093369 FRERICH, CORA	AMERICAN FUNDS BALANCED FD	B	100.00	5.00	0.00	5.00	90.0	4.50
01/12/2018	00088216102 WEISBECK, CORISSA A.	AMERICAN MUTUAL FUND-C	B	30.00	0.30	0.00	0.30	90.0	0.27
01/10/2018	00088267226 KEFFELER, TRACY L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
01/17/2018	00088298154 ALEXANDER, MARILYN	AMERICAN FUNDS BALANCED FD	B	1,000.00	27.50	0.00	27.50	90.0	24.75
01/12/2018	00088306950 RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	37.99	0.38	0.00	0.38	90.0	0.34
01/12/2018	00088306950 RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	37.98	0.38	0.00	0.38	90.0	0.34
01/16/2018	00088320633 PACHL/AUTH PER, JESSICA L.	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
01/17/2018	00088397974 LEWIS, MICAH	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	2.50	0.00	2.50	90.0	2.25
01/08/2018	00088502628 SUTLIFF-GROSS, SABRINA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	150.00	7.50	0.00	7.50	90.0	6.75
01/16/2018	00088504226 SUTLIFF-GROSS, SABRINA	CAPITAL INCOME BUILDER-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
01/16/2018	00088565229 LIMKE, JESSICA	AMERICAN FUNDS INVESTMENT COMP OF A	B	200.00	8.50	0.00	8.50	90.0	7.65
01/16/2018	00088586617 O'BRIEN, EMILY R.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	57.00	2.14	0.00	2.14	90.0	1.93
01/16/2018	00088586617 O'BRIEN, EMILY R.	AMERICAN FUNDS CAPITAL WORLD	GROWT B	57.00	2.14	0.00	2.14	90.0	1.93
01/16/2018	00088586617 O'BRIEN, EMILY R.	AMERICAN FUNDS EURO PACIFIC	GROWT B	57.00	2.14	0.00	2.14	90.0	1.93
01/16/2018	00088586617 O'BRIEN, EMILY R.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	57.00	2.14	0.00	2.14	90.0	1.93

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/16/2018	00088586636	O'BRIEN, RYAN A.	AMERICAN FUNDS BALANCED FD	B	166.00	6.23	0.00	6.23	90.0	5.61
01/16/2018	00088586646	O'BRIEN, RYAN A.	AMERICAN FUNDS AMCAP FD	B	57.00	2.14	0.00	2.14	90.0	1.93
01/16/2018	00088586646	O'BRIEN, RYAN A.	AMERICAN FUNDS FUNDAMENTAL	INVES B	57.00	2.14	0.00	2.14	90.0	1.93
01/16/2018	00088586646	O'BRIEN, RYAN A.	AMERICAN FUNDS INCOME FD OF	AMERI B	57.00	2.14	0.00	2.14	90.0	1.93
01/16/2018	00088586646	O'BRIEN, RYAN A.	NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0	1.93
01/16/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS BALANCED FD	B	62.50	1.25	0.00	1.25	90.0	1.13
01/16/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS FUNDAMENTAL	INVES B	62.50	1.25	0.00	1.25	90.0	1.13
01/16/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS INCOME FD OF	AMERI B	62.50	1.25	0.00	1.25	90.0	1.13
01/16/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS NEW PERSPECTIVE FD	B	62.50	1.25	0.00	1.25	90.0	1.13
01/16/2018	00088605154	LYONS, CAMERON	WASHINGTON MUTUAL INVESTORS FUND-52	B	100.00	5.00	0.00	5.00	90.0	4.50
01/10/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS AMCAP FD	B	100.00	3.75	0.00	3.75	90.0	3.38
01/10/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	100.00	3.75	0.00	3.75	90.0	3.38
01/10/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS FUNDAMENTAL	INVES B	100.00	3.75	0.00	3.75	90.0	3.38
01/10/2018	00088618305	LORD, TIMOTHY R.	NEW WORLD FUND-A	B	100.00	3.75	0.00	3.75	90.0	3.38
01/10/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	100.00	3.75	0.00	3.75	90.0	3.38
01/10/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	3.75	0.00	3.75	90.0	3.38
01/10/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS INCOME FD OF	AMERI B	100.00	3.75	0.00	3.75	90.0	3.38
01/10/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS INVESTMENT COMP OF A	B	100.00	3.75	0.00	3.75	90.0	3.38
01/16/2018	00088631279	FLOTTMEYER, KEVIN	AMERICAN FUNDS CAPITAL WORLD GROWT	B	125.00	6.25	0.00	6.25	90.0	5.63
A.										
01/16/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	00088648517	BOWEN, LANA L.	NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50	90.0	2.25
01/10/2018	00088738575	SPITZER, BRUCE A.	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
01/10/2018	00088738575	SPITZER, BRUCE A.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88	90.0	1.69
01/10/2018	00088738575	SPITZER, BRUCE A.	AMERICAN FUNDS EURO PACIFIC GROWT	B	50.00	1.88	0.00	1.88	90.0	1.69
01/16/2018	00088828419	HOP, DANIEL J.	WASHINGTON MUTUAL INVESTORS FUND-52	B	50.00	2.50	0.00	2.50	90.0	2.25
01/08/2018	00088883631	LEWIS, MICAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	116.32	5.82	0.00	5.82	90.0	5.24
01/08/2018	00088883631	LEWIS, MICAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	116.32	5.82	0.00	5.82	90.0	5.24
01/12/2018	00088896833	KAUK, LARRY	AMERICAN FUNDS BALANCED FD	B	600.00	25.50	0.00	25.50	90.0	22.95
01/12/2018	00088896833	KAUK, LARRY	AMERICAN FUNDS BALANCED FD	B	180.00	7.65	0.00	7.65	90.0	6.89
01/12/2018	00088896852	KAUK, RUTH ANN	AMERICAN FUNDS WA MUTUAL	INVES B	60.00	2.55	0.00	2.55	90.0	2.30
01/12/2018	00088896852	KAUK, RUTH ANN	AMERICAN FUNDS WA MUTUAL	INVES B	200.00	8.50	0.00	8.50	90.0	7.65
01/16/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS AMCAP FD	B	57.30	2.44	0.00	2.44	90.0	2.20
01/16/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS CAPITAL INCOME BUILD	B	57.30	2.44	0.00	2.44	90.0	2.20
01/16/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS FUNDAMENTAL	INVES B	57.30	2.44	0.00	2.44	90.0	2.20
01/16/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	57.30	2.44	0.00	2.44	90.0	2.20
01/16/2018	00088934030	HAFFNER, RONALD L.	AMERICAN MUTUAL FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00088934030	HAFFNER, RONALD L.	CAPITAL WORLD GROWTH AND INCOME FUN	B	50.00	0.50	0.00	0.50	90.0	0.45

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/16/2018	00088934030	HAFFNER, RONALD L. NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00088934030	HAFFNER, RONALD L. THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00088934072	HAFFNER, ELLEN AMCAP FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00088934072	HAFFNER, ELLEN AMERICAN MUTUAL FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00088934072	HAFFNER, ELLEN CAPITAL INCOME BUILDER-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00088934072	HAFFNER, ELLEN SMALLCAP WORLD FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00089019546	HOLLEARN, JAMES R. AMERICAN FUNDS BALANCED FD	B	100.00	5.00	0.00	5.00	90.0	4.50
01/16/2018	00089019593	HOLLEARN, SEAN R. AMERICAN FUNDS AMCAP FD	B	57.00	2.85	0.00	2.85	90.0	2.57
01/16/2018	00089019593	HOLLEARN, SEAN R. AMERICAN FUNDS AMERICAN MUTUAL FD	B	56.00	2.80	0.00	2.80	90.0	2.52
01/16/2018	00089019593	HOLLEARN, SEAN R. AMERICAN FUNDS CAPITAL INCOME BUILD B	B	56.00	2.80	0.00	2.80	90.0	2.52
01/16/2018	00089019593	HOLLEARN, SEAN R. AMERICAN FUNDS SMALL CAP WORLD FD	B	56.00	2.80	0.00	2.80	90.0	2.52
01/16/2018	00089019699	HOLLEARN, AMERICAN FUNDS GROWTH FUND OF AMERI B	B	75.00	3.75	0.00	3.75	90.0	3.38
	CHRISTINA M.								
01/16/2018	00089019699	HOLLEARN, AMERICAN FUNDS INCOME FD OF AMERI B	B	50.00	2.50	0.00	2.50	90.0	2.25
	CHRISTINA M.								
01/16/2018	00089049697	WARREN, BRIANNA M. AMERICAN FUNDS FUNDAMENTAL	INVEST B	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	00089123660	TEN EYCK, SHEILA AMERICAN FUNDS INCOME FD OF AMERI B	B	75.00	2.81	0.00	2.81	90.0	2.53
	T.								
01/16/2018	00089123704	TEN EYCK, JOHN C. AMERICAN FUNDS AMCAP FD	B	75.00	2.81	0.00	2.81	90.0	2.53
01/18/2018	00089123815	KULESA, CASSIDY R. AMERICAN FUNDS CAPITAL WORLD GROWT B	B	81.25	3.45	0.00	3.45	90.0	3.11
01/18/2018	00089123815	KULESA, CASSIDY R. AMERICAN FUNDS GROWTH FUND OF AMERI B	B	81.25	3.45	0.00	3.45	90.0	3.11
01/18/2018	00089123815	KULESA, CASSIDY R. AMERICAN FUNDS INVESTMENT COMP OF A B	B	81.25	3.45	0.00	3.45	90.0	3.11
01/18/2018	00089123815	KULESA, CASSIDY R. AMERICAN FUNDS NEW PERSPECTIVE FD	B	81.25	3.45	0.00	3.45	90.0	3.11
01/16/2018	00089163089	CIOCARLAN, AMERICAN FUNDS GROWTH FUND OF AMERI B	B	55.00	1.51	0.00	1.51	90.0	1.36
	CHRISTOPHER J.								
01/16/2018	00089163089	CIOCARLAN, NEW WORLD FUND-A	B	55.00	1.51	0.00	1.51	90.0	1.36
	CHRISTOPHER J.								
01/16/2018	00089163184	CIOCARLAN, AMERICAN FUNDS WA MUTUAL	INVEST B	100.00	2.75	0.00	2.75	90.0	2.48
	CHRISTOPHER J.								
01/16/2018	00089163224	CIOCARLAN, AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	2.75	0.00	2.75	90.0	2.48
	CHRISTOPHER J.								
01/16/2018	00089249484	WILLIAMS, DONALD AMERICAN FUNDS INCOME FD OF AMERI B	B	0.32	0.01	0.00	0.01	90.0	0.01
01/16/2018	00089249484	WILLIAMS, DONALD AMERICAN FUNDS INCOME FD OF AMERI B	B	291.00	8.00	0.00	8.00	90.0	7.20
01/16/2018	00089249498	WILLIAMS, AMERICAN FUNDS BALANCED FD	B	323.23	8.89	0.00	8.89	90.0	8.00
	GERALDINE								
01/16/2018	00089249498	WILLIAMS, AMERICAN FUNDS BALANCED FD	B	180.00	4.95	0.00	4.95	90.0	4.46
	GERALDINE								
01/16/2018	00089296173	WILLIAMS, KEVIN M. AMERICAN FUNDS GROWTH FUND OF AMERI B	B	439.95	12.10	0.00	12.10	90.0	10.89
01/16/2018	00089296173	WILLIAMS, KEVIN M. AMERICAN FUNDS GROWTH FUND OF AMERI B	B	221.99	6.10	0.00	6.10	90.0	5.49
01/16/2018	00089303112	MASSIE, KATEY AMERICAN FUNDS AMCAP FD	B	58.00	2.18	0.00	2.18	90.0	1.96

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/16/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS SMALL CAP WORLD FD	B	57.00	2.14	0.00	2.14	90.0	1.93
01/16/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS WA MUTUAL	INVES B	57.00	2.14	0.00	2.14	90.0	1.93
01/16/2018	00089303112	MASSIE, KATEY	NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0	1.93
01/02/2018	00089387418	BROWN, JAMIE L.	AMERICAN FUNDS AMCAP FD	B	-100.00	-5.00	0.00	-5.00	90.0	-4.50
01/16/2018	00089406954	KAUFMAN, EDWARD R.	AMERICAN FUNDS 2020 TARGET DATE-A	B	69.60	1.91	0.00	1.91	90.0	1.72
01/16/2018	00089406954	KAUFMAN, EDWARD R.	AMERICAN FUNDS 2020 TARGET DATE-A	B	92.40	2.54	0.00	2.54	90.0	2.29
01/12/2018	00089436042	WANNER, NIKKI L.	AMERICAN FUNDS 2035 TARGET DATE-A	B	72.09	3.06	0.00	3.06	90.0	2.75
01/12/2018	00089436042	WANNER, NIKKI L.	AMERICAN FUNDS 2035 TARGET DATE-A	B	72.09	3.06	0.00	3.06	90.0	2.75
01/12/2018	00089436102	BOHLMANN, JACOB B.	AMERICAN FUNDS BALANCED FD	B	54.23	2.03	0.00	2.03	90.0	1.83
01/08/2018	00089449545	ELLINGSON, KRISTEN	AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
	K.									
01/16/2018	00089449545	ELLINGSON, KRISTEN	AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
	K.									
01/16/2018	00089449609	THOURON, MARIA E.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	104.25	5.21	0.00	5.21	90.0	4.69
01/16/2018	00089449609	THOURON, MARIA E.	AMERICAN FUNDS EURO PACIFIC GROWT	B	104.25	5.21	0.00	5.21	90.0	4.69
01/16/2018	00089449609	THOURON, MARIA E.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	104.25	5.21	0.00	5.21	90.0	4.69
01/16/2018	00089449609	THOURON, MARIA E.	AMERICAN FUNDS INCOME FD OF AMERI	B	104.25	5.21	0.00	5.21	90.0	4.69
01/16/2018	00089491398	KOKOSZKA, KENNETH	AMERICAN FUNDS 2025 TARGET DATE-A	B	220.00	11.00	0.00	11.00	90.0	9.90
	J.									
01/16/2018	00089491398	KOKOSZKA, KENNETH	AMERICAN FUNDS 2025 TARGET DATE-A	B	33.00	1.65	0.00	1.65	90.0	1.49
	J.									
01/16/2018	00089491403	WELLS, DENISE M.	AMERICAN FUNDS 2050 TARGET DATE-A	B	480.00	24.00	0.00	24.00	90.0	21.60
01/16/2018	00089491403	WELLS, DENISE M.	AMERICAN FUNDS 2050 TARGET DATE-A	B	180.00	9.00	0.00	9.00	90.0	8.10
01/16/2018	00089492335	HODI, LAITH	AMERICAN FUNDS 2040 TARGET DATE-A	B	906.09	18.12	0.00	18.12	90.0	16.31
01/16/2018	00089492335	HODI, LAITH	AMERICAN FUNDS 2040 TARGET DATE-A	B	620.69	12.41	0.00	12.41	90.0	11.17
01/16/2018	00089492453	GIANFORTONE, MARYELLEN	AMERICAN FUNDS 2020 TARGET DATE-A	B	157.50	3.15	0.00	3.15	90.0	2.84
	MARYELLEN									
01/16/2018	00089492453	GIANFORTONE, MARYELLEN	AMERICAN FUNDS 2020 TARGET DATE-A	B	315.00	6.30	0.00	6.30	90.0	5.67
	MARYELLEN									
01/16/2018	00089492461	KENYON, MELODY A.	AMERICAN FUNDS 2030 TARGET DATE-A	B	180.00	3.60	0.00	3.60	90.0	3.24
01/16/2018	00089492461	KENYON, MELODY A.	AMERICAN FUNDS 2030 TARGET DATE-A	B	360.00	7.20	0.00	7.20	90.0	6.48
01/16/2018	00089493316	FOLSOM, KEITH	AMERICAN FUNDS 2050 TARGET DATE-A	B	213.75	4.28	0.00	4.28	90.0	3.85
01/16/2018	00089493316	FOLSOM, KEITH	AMERICAN FUNDS 2050 TARGET DATE-A	B	213.75	4.28	0.00	4.28	90.0	3.85
01/16/2018	00089493327	SCHMIDT, JEFFREY	AMERICAN FUNDS 2050 TARGET DATE-A	B	261.00	5.22	0.00	5.22	90.0	4.70
01/16/2018	00089493327	SCHMIDT, JEFFREY	AMERICAN FUNDS 2050 TARGET DATE-A	B	261.00	5.22	0.00	5.22	90.0	4.70
01/16/2018	00089493354	LUNG, KYLE	AMERICAN FUNDS 2050 TARGET DATE-A	B	180.00	3.60	0.00	3.60	90.0	3.24
01/16/2018	00089493354	LUNG, KYLE	AMERICAN FUNDS 2050 TARGET DATE-A	B	240.00	4.80	0.00	4.80	90.0	4.32
01/16/2018	00089493363	ZARATE, DIEGO	AMERICAN FUNDS 2045 TARGET DATE-A	B	360.00	7.20	0.00	7.20	90.0	6.48
01/16/2018	00089493363	ZARATE, DIEGO	AMERICAN FUNDS 2045 TARGET DATE-A	B	360.00	7.20	0.00	7.20	90.0	6.48
01/02/2018	00089511173	BROWN, JUSTIN	AMERICAN FUNDS GROWTH FUND OF AMERI	B	-100.00	-5.00	0.00	-5.00	90.0	-4.50

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/16/2018	00089518172	SCHNITZLER, AMERICAN FUNDS 2025 TARGET DATE-A	B	315.00	6.30	0.00	6.30	90.0	5.67
	DEBBORA A.								
01/16/2018	00089518172	SCHNITZLER, AMERICAN FUNDS 2025 TARGET DATE-A	B	420.00	8.40	0.00	8.40	90.0	7.56
	DEBBORA A.								
01/08/2018	00089549050	COTTINGHAM, MYLIE AMERICAN FUNDS AMCAP FD	B	50.29	2.51	0.00	2.51	90.0	2.26
	J.								
01/08/2018	00089549050	COTTINGHAM, MYLIE AMERICAN FUNDS AMCAP FD	B	50.29	2.51	0.00	2.51	90.0	2.26
	J.								
01/08/2018	00089549050	COTTINGHAM, MYLIE AMERICAN FUNDS WA MUTUAL	INVES B	50.28	2.51	0.00	2.51	90.0	2.26
	J.								
01/08/2018	00089549050	COTTINGHAM, MYLIE AMERICAN FUNDS WA MUTUAL	INVES B	50.28	2.51	0.00	2.51	90.0	2.26
	J.								
01/16/2018	00089549071	LEMASTER, FREDRICK AMERICAN FUNDS 2020 TARGET DATE-C	B	405.00	4.05	0.00	4.05	90.0	3.65
	P.								
01/16/2018	00089549071	LEMASTER, FREDRICK AMERICAN FUNDS 2020 TARGET DATE-C	B	1,000.00	10.00	0.00	10.00	90.0	9.00
	P.								
01/16/2018	00089549237	HENRY, STEVEN L. AMCAP FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00089549237	HENRY, STEVEN L. WASHINGTON MUTUAL INVESTORS FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00089549281	HENRY, NICOLE M. FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00089549281	HENRY, NICOLE M. THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00089552763	KRAUSE, JAMES M. AMERICAN FUNDS 2030 TARGET DATE-A	B	337.50	6.75	0.00	6.75	90.0	6.08
01/16/2018	00089552763	KRAUSE, JAMES M. AMERICAN FUNDS 2030 TARGET DATE-A	B	900.00	18.00	0.00	18.00	90.0	16.20
01/16/2018	00089558063	WATKINS, ROBERT R. AMERICAN FUNDS 2025 TARGET DATE-A	B	378.75	7.58	0.00	7.58	90.0	6.82
01/16/2018	00089558063	WATKINS, ROBERT R. AMERICAN FUNDS 2025 TARGET DATE-A	B	631.24	12.62	0.00	12.62	90.0	11.36
01/16/2018	00089558070	MORGAN, MICHAEL G. AMERICAN FUNDS 2045 TARGET DATE-A	B	150.00	3.00	0.00	3.00	90.0	2.70
01/16/2018	00089558070	MORGAN, MICHAEL G. AMERICAN FUNDS 2045 TARGET DATE-A	B	150.00	3.00	0.00	3.00	90.0	2.70
01/16/2018	00089558075	BOYCE, ROBERT J. AMERICAN FUNDS 2030 TARGET DATE-A	B	382.50	7.65	0.00	7.65	90.0	6.89
01/16/2018	00089558075	BOYCE, ROBERT J. AMERICAN FUNDS 2030 TARGET DATE-A	B	382.50	7.65	0.00	7.65	90.0	6.89
01/16/2018	00089558083	ANDERSON, CORY W. AMERICAN FUNDS 2030 TARGET DATE-A	B	315.00	6.30	0.00	6.30	90.0	5.67
01/16/2018	00089558083	ANDERSON, CORY W. AMERICAN FUNDS 2030 TARGET DATE-A	B	630.00	12.60	0.00	12.60	90.0	11.34
01/16/2018	00089606497	DELES DERNIER, AMERICAN FUNDS FUNDAMENTAL	INVES B	250.00	10.63	0.00	10.63	90.0	9.57
	CONNIE								
01/16/2018	00089606506	DELES DERNIER, AMERICAN FUNDS INVESTMENT COMP OF A	B	50.00	2.13	0.00	2.13	90.0	1.92
	DOUGLAS								
01/16/2018	00089606506	DELES DERNIER, AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.13	0.00	2.13	90.0	1.92
	DOUGLAS								
01/16/2018	00089621757	MORTON, BRET E. THE GROWTH FUND OF AMERICA-C	B	75.00	0.75	0.00	0.75	90.0	0.68
01/16/2018	00089621765	MORTON, LINDA A. AMCAP FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
01/18/2018	00089679500	KULESA, ADAM M. AMCAP FUND-C	B	109.00	1.09	0.00	1.09	90.0	0.98
01/18/2018	00089679500	KULESA, ADAM M. CAPITAL WORLD GROWTH AND INCOME FUN	B	108.00	1.08	0.00	1.08	90.0	0.97

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/18/2018	00089679500	KULESA, ADAM M.	WASHINGTON MUTUAL INVESTORS FUND-C	B	108.00	1.08	0.00	1.08	90.0	0.97
01/16/2018	00089891103	RENICK, MATTHEW	AMERICAN FUNDS 2045 TARGET DATE-A	B	228.00	4.56	0.00	4.56	90.0	4.10
01/16/2018	00089891103	RENICK, MATTHEW	AMERICAN FUNDS 2045 TARGET DATE-A	B	228.00	4.56	0.00	4.56	90.0	4.10
01/16/2018	00089961444	WEISS, CHRIS D.	AMCAP FUND-C	B	114.00	1.14	0.00	1.14	90.0	1.03
01/16/2018	00089961444	WEISS, CHRIS D.	AMERICAN MUTUAL FUND-C	B	114.00	1.14	0.00	1.14	90.0	1.03
01/16/2018	00089961444	WEISS, CHRIS D.	NEW WORLD FUND-C	B	115.00	1.15	0.00	1.15	90.0	1.04
01/16/2018	00089961444	WEISS, CHRIS D.	SMALLCAP WORLD FUND-C	B	115.00	1.15	0.00	1.15	90.0	1.04
01/16/2018	00201000577	GUNDERSON, SUSAN M.	COLUMBIA CONTRARIAN CORE FD A	B	114.00	4.28	0.00	4.28	90.0	3.85
01/16/2018	00201000577	GUNDERSON, SUSAN M.	COLUMBIA MARSICO GLOBAL FUND A	B	115.00	4.31	0.00	4.31	90.0	3.88
01/16/2018	00505047984	PETERSON, JEFF	PUTNAM CAPITAL OPPORTUNITIES FUND	B	114.00	4.28	0.00	4.28	90.0	3.85
01/16/2018	00505047984	PETERSON, JEFF	PUTNAM GLOBAL GROWTH FD CL A	B	114.00	4.28	0.00	4.28	90.0	3.85
01/16/2018	00505047984	PETERSON, JEFF	PUTNAM GROWTH OPPORTUNITIES FD CL A B	B	109.00	4.09	0.00	4.09	90.0	3.68
01/16/2018	00932927612	KENDALL, REBECCA	AMERICAN FUNDS INVESTMENT COMP OF A B	B	50.00	1.38	0.00	1.38	90.0	1.24
01/10/2018	01000170691	WRIGHT, EDWARD	AIM DEVELOPING MARKETS FUND - CLASS B	B	37.50	0.38	0.00	0.38	90.0	0.34
01/10/2018	01000170691	WRIGHT, EDWARD	AIM GLOBAL AGGRESSIVE GROWTH FD CL B	B	37.50	0.38	0.00	0.38	90.0	0.34
01/10/2018	01000170691	WRIGHT, EDWARD	AIM UTILITIES FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
01/10/2018	01000170691	WRIGHT, EDWARD	IVK AMERICAN VALUE FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
01/04/2018	0100035696	WOODYARD, LISA	OPPENHEIMER MAIN ST SMALL CAP FUND	B	200.00	6.00	0.00	6.00	90.0	5.40
01/04/2018	0100035696	WOODYARD, LISA	OPPENHEIMER MAIN ST SMALL CAP FUND	B	125.00	3.75	0.00	3.75	90.0	3.38
01/04/2018	0100035707	WOODYARD, LISA	OPPENHEIMER MAIN ST SMALL CAP FUND	B	300.00	9.00	0.00	9.00	90.0	8.10
01/02/2018	0100071050	FISCHER, DACIA M.	OPPENHEIMER MAIN ST SMALL CAP FUND	B	87.50	3.50	0.00	3.50	90.0	3.15
01/16/2018	01001342204	DERKSEN, NATHAN P.	IVK COMSTOCK FUND - CLASS A	B	100.00	5.00	0.00	5.00	90.0	4.50
01/16/2018	01001342204	DERKSEN, NATHAN P.	IVK SMALL CAP GROWTH FUND - CLASS A B	B	100.00	5.00	0.00	5.00	90.0	4.50
01/08/2018	01001396396	BENTLEY, BRANDON	IVK AMERICAN FRANCHISE FUND - CLASS B	B	250.00	12.50	0.00	12.50	90.0	11.25
01/16/2018	01240555562	GUNDERSON, SUSAN M.	COLUMBIA MIDCAP GROWTH FUND A	B	114.00	4.28	0.00	4.28	90.0	3.85
01/16/2018	01390000410	GUNDERSON, KELLY M.	COLUMBIA TECHNOLOGY FUND A	B	114.00	4.28	0.00	4.28	90.0	3.85
01/16/2018	01793555613	GUNDERSON, KELLY M.	COLUMBIA ACORN INTERNATIONAL	B	114.00	4.28	0.00	4.28	90.0	3.85
01/16/2018	01981555565	GUNDERSON, KELLY M.	COLUMBIA MIDCAP VALUE	B	115.00	4.31	0.00	4.31	90.0	3.88
01/10/2018	03809167046	WRIGHT, SANDRA	AIM DIVERSIFIED DIVIDEND FUND - CL	B	67.62	2.03	0.00	2.03	90.0	1.83
01/10/2018	03809167046	WRIGHT, SANDRA	AIM GLOBAL REAL ESTATE FUND - CLASS B	B	67.62	0.68	0.00	0.68	90.0	0.61
01/10/2018	03809167046	WRIGHT, SANDRA	AIM UTILITIES FUND - CLASS C	B	67.62	0.68	0.00	0.68	90.0	0.61
01/10/2018	03809167046	WRIGHT, SANDRA	IVK AMERICAN FRANCHISE FUND - CLASS B	B	67.62	0.68	0.00	0.68	90.0	0.61
01/17/2018	04000012576	JACOBS, COREY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	41.75	1.57	0.00	1.57	90.0	1.41
01/17/2018	04000012576	JACOBS, COREY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	83.50	3.13	0.00	3.13	90.0	2.82

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/17/2018	04000012576 JACOBS, COREY	AMERICAN FUNDS GROWTH FUND OF AMERI B		41.75	1.57	0.00	1.57	90.0	1.41
01/17/2018	04000012576 JACOBS, COREY	AMERICAN FUNDS GROWTH FUND OF AMERI B		83.50	3.13	0.00	3.13	90.0	2.82
01/17/2018	04000012576 JACOBS, COREY	AMERICAN FUNDS INCOME FD OF AMERI B		41.75	1.57	0.00	1.57	90.0	1.41
01/17/2018	04000012576 JACOBS, COREY	AMERICAN FUNDS INCOME FD OF AMERI B		83.50	3.13	0.00	3.13	90.0	2.82
01/17/2018	04000012576 JACOBS, COREY	NEW WORLD FUND-A	B	41.75	1.57	0.00	1.57	90.0	1.41
01/17/2018	04000012576 JACOBS, COREY	NEW WORLD FUND-A	B	83.50	3.13	0.00	3.13	90.0	2.82
01/08/2018	04000128174 YOUNG-JOHNSON, TAMMY	AMERICAN FUNDS AMCAP FD	B	100.00	5.00	0.00	5.00	90.0	4.50
01/12/2018	04000162783 KAUK, TIMOTHY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	198.00	8.42	0.00	8.42	90.0	7.58
01/12/2018	04000162783 KAUK, TIMOTHY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	198.00	8.42	0.00	8.42	90.0	7.58
01/12/2018	04000166653 PALMER, ANTHONY	AMERICAN FUNDS 2020 TARGET DATE-C	B	101.16	1.01	0.00	1.01	90.0	0.91
01/12/2018	04000166653 PALMER, ANTHONY	AMERICAN FUNDS 2020 TARGET DATE-C	B	120.00	1.20	0.00	1.20	90.0	1.08
01/16/2018	04000166931 KAUK, LISA M.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	75.00	3.75	0.00	3.75	90.0	3.38
01/16/2018	04000166931 KAUK, LISA M.	AMERICAN FUNDS CAPITAL WORLD GROWT B		75.00	3.75	0.00	3.75	90.0	3.38
01/16/2018	04000166931 KAUK, LISA M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		75.00	3.75	0.00	3.75	90.0	3.38
01/16/2018	04000166931 KAUK, LISA M.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	75.00	3.75	0.00	3.75	90.0	3.38
01/16/2018	04000167035 KAUK, TIMOTHY	AMERICAN FUNDS BALANCED FD	B	75.00	3.75	0.00	3.75	90.0	3.38
01/16/2018	04000167035 KAUK, TIMOTHY	AMERICAN FUNDS FUNDAMENTAL INVES B		75.00	3.75	0.00	3.75	90.0	3.38
01/16/2018	04000167035 KAUK, TIMOTHY	AMERICAN FUNDS GROWTH FUND OF AMERI B		75.00	3.75	0.00	3.75	90.0	3.38
01/16/2018	04000167035 KAUK, TIMOTHY	NEW WORLD FUND-A	B	75.00	3.75	0.00	3.75	90.0	3.38
01/16/2018	04000211352 NEMEC, ERIN E.	AMERICAN FUNDS AMCAP FD	B	91.75	4.59	0.00	4.59	90.0	4.13
01/16/2018	04000211352 NEMEC, ERIN E.	AMERICAN FUNDS CAPITAL WORLD GROWT B		91.75	4.59	0.00	4.59	90.0	4.13
01/16/2018	04000211352 NEMEC, ERIN E.	AMERICAN FUNDS INCOME FD OF AMERI B		91.75	4.59	0.00	4.59	90.0	4.13
01/16/2018	04000211352 NEMEC, ERIN E.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	91.75	4.59	0.00	4.59	90.0	4.13
01/16/2018	04000211366 NEMEC, LANCE L.	AMERICAN FUNDS EURO PACIFIC GROWT B		114.50	5.73	0.00	5.73	90.0	5.16
01/16/2018	04000211366 NEMEC, LANCE L.	AMERICAN FUNDS FUNDAMENTAL INVES B		114.50	5.73	0.00	5.73	90.0	5.16
01/16/2018	04000211366 NEMEC, LANCE L.	AMERICAN FUNDS GROWTH FUND OF AMERI B		114.50	5.73	0.00	5.73	90.0	5.16
01/16/2018	04000211366 NEMEC, LANCE L.	AMERICAN FUNDS SMALL CAP WORLD FD	B	114.50	5.73	0.00	5.73	90.0	5.16
01/08/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI B		15.94	0.80	0.00	0.80	90.0	0.72
01/09/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI B		55.05	2.75	0.00	2.75	90.0	2.48
01/10/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI B		9.51	0.48	0.00	0.48	90.0	0.43
01/11/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI B		29.32	1.47	0.00	1.47	90.0	1.32
01/17/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI B		44.49	2.22	0.00	2.22	90.0	2.00
01/08/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		15.94	0.80	0.00	0.80	90.0	0.72

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/09/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		55.05	2.75	0.00	2.75	90.0	2.48
01/10/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		9.51	0.48	0.00	0.48	90.0	0.43
01/11/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		29.33	1.47	0.00	1.47	90.0	1.32
01/17/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		44.50	2.23	0.00	2.23	90.0	2.01
01/08/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		16.43	0.82	0.00	0.82	90.0	0.74
01/09/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		56.72	2.84	0.00	2.84	90.0	2.56
01/10/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		9.80	0.49	0.00	0.49	90.0	0.44
01/11/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		30.22	1.51	0.00	1.51	90.0	1.36
01/17/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		45.85	2.29	0.00	2.29	90.0	2.06
01/16/2018	04000405895 TAYLOR, ERIC	AMERICAN FUNDS 2035 TARGET DATE-A B		367.50	7.35	0.00	7.35	90.0	6.62
01/16/2018	04000405895 TAYLOR, ERIC	AMERICAN FUNDS 2035 TARGET DATE-A B		1,937.49	38.75	0.00	38.75	90.0	34.88
01/16/2018	04000452410 PACHL, JESSICA/EVE	GROWTH PORTFOLIO-A B		100.00	4.25	0.00	4.25	90.0	3.83
01/16/2018	04000669878 KAU, TIMOTHY	GLOBAL GROWTH PORTFOLIO-C B		83.50	0.84	0.00	0.84	90.0	0.76
01/16/2018	04000735550 KETZNER, KARISSA/KOLBIE	AMERICAN FUNDS GROWTH FUND OF AMERI B		2,000.00	55.00	0.00	55.00	90.0	49.50
01/19/2018	04000744496 DYKSTRA, TYLER A.	AMERICAN FUNDS BALANCED FD B		250.00	12.50	0.00	12.50	90.0	11.25
01/16/2018	15375555562 GUNDERSON, SUSAN M.	COLUMBIA SELECT SMALLER-CAP VALUE F B		115.00	4.31	0.00	4.31	90.0	3.88
01/04/2018	1953048892 WOODYARD, LISA	INTERNATIONAL DIVERSIFIED FUND A B		200.00	6.00	0.00	6.00	90.0	5.40
01/04/2018	1953048892 WOODYARD, LISA	INTERNATIONAL DIVERSIFIED FUND A B		125.00	3.75	0.00	3.75	90.0	3.38
01/04/2018	1953048903 WOODYARD, LISA	INTERNATIONAL DIVERSIFIED FUND A B		300.00	9.00	0.00	9.00	90.0	8.10
01/02/2018	2252419461 JOHNSON, TINA	OPPENHEIMER QUEST VALUE FD CL A B		166.67	6.67	0.00	6.67	90.0	6.00
01/02/2018	2254439479 NIDA, AARON R.	OPPENHEIMER QUEST VALUE FD CL A B		200.00	8.00	0.00	8.00	90.0	7.20
01/02/2018	2514890897 FISCHER, BRANDON S.	OPPENHEIMER QUEST SMALL CAP VALUE B		50.00	2.00	0.00	2.00	90.0	1.80
01/02/2018	4206645562 FISCHER, BRANDON S.	OPPENHEIMER MAIN STREET INCOME & GR B		50.00	2.00	0.00	2.00	90.0	1.80
01/02/2018	5004750427 JOHNSON, TINA	OPPENHEIMER DISCOVERY FD CL A B		166.67	6.67	0.00	6.67	90.0	6.00
01/16/2018	51830000605 GUNDERSON, KELLY M.	COLUMBIA LARGE CORE QUAN FUND A B		115.00	4.31	0.00	4.31	90.0	3.88
01/05/2018	5550848155 WRIGHT, EDWARD	PORTFOLIO SERIES: EQUITY INVESTOR F B		1,607.14	76.34	0.00	76.34	90.0	68.71
01/05/2018	5550848155 WRIGHT, EDWARD	PORTFOLIO SERIES: EQUITY INVESTOR F B		150.59	7.15	0.00	7.15	90.0	6.44
01/02/2018	6870194370 FISCHER, BRANDON S.	OPPENHEIMER GLOBAL VALUE FUND A B		50.00	2.00	0.00	2.00	90.0	1.80

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/02/2018	7006154393 RUTSTEIN, ANDREA/XAVIEN G.	OPPENHEIMER MAIN STREET INCOME & GR B		75.00	3.56	0.00	3.56	90.0	3.20
01/04/2018	7210829081 WOODYARD, LISA	SMALL- & MID-CAP GROWTH FUND A	B	200.00	6.00	0.00	6.00	90.0	5.40
01/04/2018	7210829081 WOODYARD, LISA	SMALL- & MID-CAP GROWTH FUND A	B	125.00	3.75	0.00	3.75	90.0	3.38
01/04/2018	7210829092 WOODYARD, LISA	SMALL- & MID-CAP GROWTH FUND A	B	300.00	9.00	0.00	9.00	90.0	8.10
01/02/2018	7210901109 FISCHER, DACIA M.	SMALL- & MID-CAP GROWTH FUND A	B	87.50	3.50	0.00	3.50	90.0	3.15
01/02/2018	7860088551 JOHNSON, TINA	OPPENHEIMER DEVELOPING MKT FD CL A	B	166.67	6.67	0.00	6.67	90.0	6.00
01/02/2018	8259166608 FISCHER, DACIA M.	OPPENHEIMER INTL GROWTH FD CL A	B	87.50	3.50	0.00	3.50	90.0	3.15
01/02/2018	8351505449 RUTSTEIN, MILES	OPPENHEIMER QUEST CAPITAL	VALUE B	75.00	3.56	0.00	3.56	90.0	3.20
01/04/2018	8352833303 WOODYARD, LISA	OPPENHEIMER QUEST CAPITAL	VALUE B	200.00	6.00	0.00	6.00	90.0	5.40
01/04/2018	8352833303 WOODYARD, LISA	OPPENHEIMER QUEST CAPITAL	VALUE B	125.00	3.75	0.00	3.75	90.0	3.38
01/04/2018	8352833314 WOODYARD, LISA	OPPENHEIMER QUEST CAPITAL	VALUE B	300.00	9.00	0.00	9.00	90.0	8.10
01/02/2018	8353044635 FISCHER, DACIA M.	OPPENHEIMER QUEST CAPITAL	VALUE B	87.50	3.50	0.00	3.50	90.0	3.15
01/02/2018	8477461344 FISCHER, BRANDON S.	MAIN STREET SMALL CAP FUND A	B	50.00	2.00	0.00	2.00	90.0	1.80
01/02/2018	8805969062 JOHNSON, TINA	OPPENHEIMER INTL BD FD CL A	B	166.65	6.25	0.00	6.25	90.0	5.63
* MUTUAL FUNDS SUBTOTAL *				\$73,800.66	\$2,429.31	\$0.00	\$2,429.31		\$2,186.79
<u>PRODUCT CATEGORY: STOCKS</u>									
01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	549.85	549.85	0.00	549.85	90.0	494.87
* STOCKS SUBTOTAL *				\$549.85	\$549.85	\$0.00	\$549.85		\$494.87
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/31/2017	TRAILS	-JACKSON NATIONAL	B	21.29	21.29	0.00	21.29	90.0	19.16
01/05/2018	TRAILS	-JOHN HANCOCK	B	69.27	69.27	0.00	69.27	90.0	62.34
12/18/2017	TRAILS	BRIGHTHOUSE FINANCIAL	B	48.67	48.67	0.00	48.67	90.0	43.80
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	1,261.63	1,261.63	0.00	1,261.63	90.0	1,135.47
* VARIABLE ANNUITIES SUBTOTAL *				\$1,400.86	\$1,400.86	\$0.00	\$1,400.86		\$1,260.77
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>									
01/17/2018	PATTEN, PHILLIP D.	NATIONWIDE LIFE	B	95.96	0.96	0.00	0.96	90.0	0.86
* LIFE INSURANCE SUBTOTAL *				\$95.96	\$0.96	\$0.00	\$0.96		\$0.86
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	10597815 HOWE, BARBARA A.	SIGNAL SECURITIES RIA	B	0.00	264.00	0.00	264.00	90.0	237.60
01/12/2018	10771324 GREGG, JOHN A.	SIGNAL SECURITIES RIA	B	0.00	6.67	0.00	6.67	90.0	6.00
01/12/2018	11760371 LONG, MICHAEL A	SIGNAL SECURITIES RIA	B	0.00	177.33	0.00	177.33	90.0	159.60
01/12/2018	12153707 STRUWE, TIM R	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	90.0	6.60
01/12/2018	12445993 PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	388.67	0.00	388.67	90.0	349.80
01/12/2018	12520657 ABRAMS, LAURA JEANNE	SIGNAL SECURITIES RIA	B	0.00	125.67	0.00	125.67	90.0	113.10
01/12/2018	12976261 HINDMAN, ANTHONY J	SIGNAL SECURITIES RIA	B	0.00	273.33	0.00	273.33	90.0	246.00
01/12/2018	13142828 GARGIULO, HELEN	SIGNAL SECURITIES RIA	B	0.00	113.00	0.00	113.00	90.0	101.70

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
PATRICIA P.									
01/12/2018 14348417	HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	17.67	0.00	17.67	90.0	15.90
01/12/2018 14381829	WERNER, JANET F	SIGNAL SECURITIES RIA	B	0.00	36.67	0.00	36.67	90.0	33.00
01/12/2018 14429709	QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	26.67	0.00	26.67	90.0	24.00
01/12/2018 15089284	ANDERSON, BOB J & LILLIAN	SIGNAL SECURITIES RIA	B	0.00	176.67	0.00	176.67	90.0	159.00
01/12/2018 15091367	HANSON, KAREN M	SIGNAL SECURITIES RIA	B	0.00	178.67	0.00	178.67	90.0	160.80
01/12/2018 15467725	BAUMANN, PATRICK J	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
01/12/2018 15820668	GROSS, SHERI M	SIGNAL SECURITIES RIA	B	0.00	80.00	0.00	80.00	90.0	72.00
01/12/2018 15820668	GROSS, SHERI M	SIGNAL SECURITIES RIA	B	0.00	71.67	0.00	71.67	90.0	64.50
01/12/2018 15820668	GROSS, SHERI M	SIGNAL SECURITIES RIA	B	0.00	11.33	0.00	11.33	90.0	10.20
01/12/2018 16270038	MUTCHLER, DAVID	SIGNAL SECURITIES RIA	B	0.00	157.00	0.00	157.00	90.0	141.30
01/12/2018 16412029	MILLER, BARBARA A	SIGNAL SECURITIES RIA	B	0.00	41.67	0.00	41.67	90.0	37.50
01/12/2018 17118785	HENRICKSON, LINDA M	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
01/12/2018 17352141	CHRISTENSEN, DAVE	SIGNAL SECURITIES RIA	B	0.00	27.00	0.00	27.00	90.0	24.30
01/12/2018 17440148	EIRING, DEBORAH F	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
01/12/2018 17456904	SHOUP, MICHAEL	SIGNAL SECURITIES RIA	B	0.00	92.33	0.00	92.33	90.0	83.10
01/12/2018 17662068	GLOECKLER, BEVERLY SUE	SIGNAL SECURITIES RIA	B	0.00	165.67	0.00	165.67	90.0	149.10
01/12/2018 18212150	KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	129.67	0.00	129.67	90.0	116.70
01/12/2018 19492576	CHAFEE, CHRIS T	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80
01/12/2018 20144207	BETSON, RONALD J	SIGNAL SECURITIES RIA	B	0.00	85.67	0.00	85.67	90.0	77.10
01/12/2018 20206228	YAMASHITA, MARK M	SIGNAL SECURITIES RIA	B	0.00	324.67	0.00	324.67	90.0	292.20
01/12/2018 20556395	HOWE, DENISE L	SIGNAL SECURITIES RIA	B	0.00	69.00	0.00	69.00	90.0	62.10
01/12/2018 22504665	WILLIAMS, MARIE CLAUDE	SIGNAL SECURITIES RIA	B	0.00	141.33	0.00	141.33	90.0	127.20
01/12/2018 23584507	KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	229.67	0.00	229.67	90.0	206.70
01/12/2018 23996577	TORPEY, GLENNIS A	SIGNAL SECURITIES RIA	B	0.00	28.00	0.00	28.00	90.0	25.20
01/12/2018 24030675	MCGINLEY, RONALD B	SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33	90.0	5.70
01/12/2018 24184005	MILLER, WAYNE G	SIGNAL SECURITIES RIA	B	0.00	98.33	0.00	98.33	90.0	88.50
01/12/2018 25436755	NILLES, VICKIE	SIGNAL SECURITIES RIA	B	0.00	177.67	0.00	177.67	90.0	159.90
01/12/2018 26598701	STAHL, DONALD EUGENE	SIGNAL SECURITIES RIA	B	0.00	124.00	0.00	124.00	90.0	111.60
01/12/2018 28007804	KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	28.33	0.00	28.33	90.0	25.50
01/12/2018 28346788	BAUMANN, GINGER G	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
01/12/2018 28373035	REEVES, JAMES & MICHAELA	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
01/12/2018 28433693	ZITNAK, STEPHEN W	SIGNAL SECURITIES RIA	B	0.00	47.00	0.00	47.00	90.0	42.30
01/12/2018 28684378	HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	203.67	0.00	203.67	90.0	183.30
01/12/2018 28705104	JOHNSON, JERRY D	SIGNAL SECURITIES RIA	B	0.00	3.00	0.00	3.00	90.0	2.70

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	29556870 HRUSKA, CATHERINE E	SIGNAL SECURITIES RIA	B	0.00	113.67	0.00	113.67	90.0	102.30
01/12/2018	29589313 HOWE, DENISE L	SIGNAL SECURITIES RIA	B	0.00	121.00	0.00	121.00	90.0	108.90
01/12/2018	29650218 PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
01/12/2018	29746730 WILLIAMS, DONALD/GERALDIN	SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67	90.0	20.40
01/12/2018	30072989 PYRIH, LUBA DARIA	SIGNAL SECURITIES RIA	B	0.00	159.00	0.00	159.00	90.0	143.10
01/12/2018	30322937 SWEENEY, MARY T	SIGNAL SECURITIES RIA	B	0.00	199.33	0.00	199.33	90.0	179.40
01/12/2018	30347787 BLACK HILLS CORP, FBO DAVID SAMUE	SIGNAL SECURITIES RIA	B	0.00	52.00	0.00	52.00	90.0	46.80
01/12/2018	30647698 MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
01/12/2018	30677407 PITMAN, TOM	SIGNAL SECURITIES RIA	B	0.00	43.67	0.00	43.67	90.0	39.30
01/12/2018	30878907 QUINLISK, ERNIE J	SIGNAL SECURITIES RIA	B	0.00	295.33	0.00	295.33	90.0	265.80
01/12/2018	31781509 PLATT, ALAN B	SIGNAL SECURITIES RIA	B	0.00	140.00	0.00	140.00	90.0	126.00
01/12/2018	32417594 QUADE, JEAN M.	SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33	90.0	3.00
01/12/2018	32899427 WOOD, KRAIG A	SIGNAL SECURITIES RIA	B	0.00	61.33	0.00	61.33	90.0	55.20
01/12/2018	32902956 CHAFEE, RICHARD/CHRIS	SIGNAL SECURITIES RIA	B	0.00	226.00	0.00	226.00	90.0	203.40
01/12/2018	32989023 TENOLD, DARON L	SIGNAL SECURITIES RIA	B	0.00	139.00	0.00	139.00	90.0	125.10
01/12/2018	33413216 PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	0.33	0.00	0.33	90.0	0.30
01/12/2018	33603400 PEDERSEN/SCHMELTZER, SIGNE R & LOUIS	SIGNAL SECURITIES RIA	B	0.00	45.67	0.00	45.67	90.0	41.10
01/12/2018	33769726 WOOD, HEATHER C	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
01/12/2018	34262259 JOHNSON, VICTORIA L	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
01/12/2018	34296234 QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	17.33	0.00	17.33	90.0	15.60
01/12/2018	34299521 HARRISON, PAUL & JOAN	SIGNAL SECURITIES RIA	B	0.00	473.33	0.00	473.33	90.0	426.00
01/12/2018	35039653 LAMB, DONALD P	SIGNAL SECURITIES RIA	B	0.00	52.33	0.00	52.33	90.0	47.10
01/12/2018	35359393 KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	189.67	0.00	189.67	90.0	170.70
01/12/2018	36133640 GARGIULO, TIMOTHY FRANCIS	SIGNAL SECURITIES RIA	B	0.00	410.33	0.00	410.33	90.0	369.30
01/12/2018	37594469 KAHLER, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
01/12/2018	37802064 MILLER, BARBARA A	SIGNAL SECURITIES RIA	B	0.00	55.67	0.00	55.67	90.0	50.10
01/12/2018	38007046 TENOLD, AMY J	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	90.0	12.00
01/12/2018	38167769 QUINLISK, KAREN T	SIGNAL SECURITIES RIA	B	0.00	114.67	0.00	114.67	90.0	103.20
01/12/2018	38488926 BEITELSPACHER, NICOLE A.	SIGNAL SECURITIES RIA	B	0.00	416.33	0.00	416.33	90.0	374.70
01/12/2018	38489479 GLOECKLER, BEVERLY SUE	SIGNAL SECURITIES RIA	B	0.00	166.00	0.00	166.00	90.0	149.40
01/12/2018	38652695 GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	106.00	0.00	106.00	90.0	95.40

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	39033876 TENOLD, DARON L	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
01/12/2018	39663311 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	24.67	0.00	24.67	90.0	22.20
01/12/2018	39961103 WEBER, MARK D	SIGNAL SECURITIES RIA	B	0.00	289.00	0.00	289.00	90.0	260.10
01/12/2018	40257767 HESTON, WILLIAM K.	SIGNAL SECURITIES RIA	B	0.00	215.00	0.00	215.00	90.0	193.50
01/12/2018	40334505 LONG, MICHAEL A	SIGNAL SECURITIES RIA	B	0.00	30.67	0.00	30.67	90.0	27.60
01/12/2018	41168136 WILSON, JEFFERY & VICKY	SIGNAL SECURITIES RIA	B	0.00	84.33	0.00	84.33	90.0	75.90
01/12/2018	41176823 SUNDINE/WHEATLAKE, JUDD/LINDA	SIGNAL SECURITIES RIA	B	0.00	118.67	0.00	118.67	90.0	106.80
01/12/2018	41306013 REEVES, JAMES & MICAELA	SIGNAL SECURITIES RIA	B	0.00	97.00	0.00	97.00	90.0	87.30
01/12/2018	41561872 9225 W 44TH PROP.LLC	SIGNAL SECURITIES RIA	B	0.00	342.00	0.00	342.00	90.0	307.80
01/12/2018	42294828 HINDMAN, AMY	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
01/12/2018	42576807 MAAG, MONICA A	SIGNAL SECURITIES RIA	B	0.00	56.00	0.00	56.00	90.0	50.40
01/12/2018	42754170 ROMERO, JULIE KAY	SIGNAL SECURITIES RIA	B	0.00	1,064.00	0.00	1,064.00	90.0	957.60
01/12/2018	42758212 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	106.33	0.00	106.33	90.0	95.70
01/12/2018	42775183 EIRING, JOHN & DEBORAH	SIGNAL SECURITIES RIA	B	0.00	144.67	0.00	144.67	90.0	130.20
01/12/2018	43202964 CARLSON, RANDY N	SIGNAL SECURITIES RIA	B	0.00	35.67	0.00	35.67	90.0	32.10
01/12/2018	43438628 JENSEN, DENISE L	SIGNAL SECURITIES RIA	B	0.00	81.00	0.00	81.00	90.0	72.90
01/12/2018	43795301 HOLLEARN, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
01/12/2018	43835783 STARK, KATHLEEN A	SIGNAL SECURITIES RIA	B	0.00	75.67	0.00	75.67	90.0	68.10
01/12/2018	43903697 LEIVESTAD, DALE FBO MARISS	SIGNAL SECURITIES RIA	B	0.00	20.33	0.00	20.33	90.0	18.30
01/12/2018	43957902 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	26.33	0.00	26.33	90.0	23.70
01/12/2018	44129020 PIERCE, JULIE M	SIGNAL SECURITIES RIA	B	0.00	32.33	0.00	32.33	90.0	29.10
01/12/2018	45307716 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	90.0	12.00
01/12/2018	45460890 MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	47.33	0.00	47.33	90.0	42.60
01/12/2018	45973210 TENOLD, AMY FBO DACE T	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
01/12/2018	46518151 WERNER, CORWYN & JANET	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
01/12/2018	46614088 CAMMACK, RYAN L	SIGNAL SECURITIES RIA	B	0.00	153.00	0.00	153.00	90.0	137.70
01/12/2018	46830951 VEAZEY, PAMELA D.	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
01/12/2018	46838476 GARGIULO, PAMELA A	SIGNAL SECURITIES RIA	B	0.00	383.67	0.00	383.67	90.0	345.30
01/12/2018	47144653 PFISTERER, TIMOTHY J	SIGNAL SECURITIES RIA	B	0.00	64.67	0.00	64.67	90.0	58.20
01/12/2018	47378557 WOOD, KRAIG A	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
01/12/2018	47487556 GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	81.33	0.00	81.33	90.0	73.20
01/12/2018	47601105 KRANTZ,	SIGNAL SECURITIES RIA	B	0.00	209.33	0.00	209.33	90.0	188.40

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
RICHARD/SANDRA									
01/12/2018 47914778	JENSEN, RONALD & DENISE	SIGNAL SECURITIES RIA	B	0.00	89.00	0.00	89.00	90.0	80.10
01/12/2018 48682692	CONNOLLY, BRIAN	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
01/12/2018 49346016	SHOUP, LONI J	SIGNAL SECURITIES RIA	B	0.00	256.00	0.00	256.00	90.0	230.40
01/12/2018 49397928	NIELSON, DORIS	SIGNAL SECURITIES RIA	B	0.00	60.67	0.00	60.67	90.0	54.60
01/26/2018 49397928	NIELSON, DORIS	SIGNAL SECURITIES RIA	B	0.00	-10.11	0.00	-10.11	90.0	-9.10
01/12/2018 49831675	TENOLD, AMY J	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
01/12/2018 49985458	HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	61.33	0.00	61.33	90.0	55.20
01/12/2018 49998746	MILLER, WAYNE G	SIGNAL SECURITIES RIA	B	0.00	338.00	0.00	338.00	90.0	304.20
01/12/2018 50721833	KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	53.33	0.00	53.33	90.0	48.00
01/12/2018 52829344	YORK, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	58.67	0.00	58.67	90.0	52.80
01/12/2018 53704449	KAHLER, JANET C	SIGNAL SECURITIES RIA	B	0.00	90.33	0.00	90.33	90.0	81.30
01/12/2018 53709669	TORPEY, GLENNIS A	SIGNAL SECURITIES RIA	B	0.00	321.67	0.00	321.67	90.0	289.50
01/12/2018 54158431	PIERCE, JOHN FB	SIGNAL SECURITIES RIA	B	0.00	14.67	0.00	14.67	90.0	13.20
ABIGAIL									
01/12/2018 54865811	THORELL, KRISTIE J T.	SIGNAL SECURITIES RIA	B	0.00	440.67	0.00	440.67	90.0	396.60
01/12/2018 54941170	KAHLER, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	207.33	0.00	207.33	90.0	186.60
01/12/2018 55526612	STRUWE, TIM R	SIGNAL SECURITIES RIA	B	0.00	180.00	0.00	180.00	90.0	162.00
01/12/2018 56096498	BUREMAN, MICHAEL & RITA	SIGNAL SECURITIES RIA	B	0.00	124.00	0.00	124.00	90.0	111.60
01/12/2018 56292092	PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	104.33	0.00	104.33	90.0	93.90
01/12/2018 56595062	LAMB, LEANN	SIGNAL SECURITIES RIA	B	0.00	46.00	0.00	46.00	90.0	41.40
01/12/2018 56816203	JACKSON, LISA M	SIGNAL SECURITIES RIA	B	0.00	85.33	0.00	85.33	90.0	76.80
01/12/2018 58281647	ZARATE, JAIMEE E Z.	SIGNAL SECURITIES RIA	B	0.00	63.00	0.00	63.00	90.0	56.70
01/12/2018 59576874	HAYMAN, WILLIAM & VICKI	SIGNAL SECURITIES RIA	B	0.00	242.67	0.00	242.67	90.0	218.40
01/12/2018 60169490	GLOECKLER, BEVERLY SUE	SIGNAL SECURITIES RIA	B	0.00	57.33	0.00	57.33	90.0	51.60
01/12/2018 60197288	KOENIG, ROBERT A	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
01/12/2018 60253386	WILSON, JEFFERY & VICKY	SIGNAL SECURITIES RIA	B	0.00	100.33	0.00	100.33	90.0	90.30
01/12/2018 60791579	SATTERLEE, WARREN E	SIGNAL SECURITIES RIA	B	0.00	425.33	0.00	425.33	90.0	382.80
01/12/2018 61099660	JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	149.00	0.00	149.00	90.0	134.10
01/12/2018 61542054	MAAG, MONICA A	SIGNAL SECURITIES RIA	B	0.00	213.00	0.00	213.00	90.0	191.70
01/12/2018 62004058	BAUMANN, PATRICK J	SIGNAL SECURITIES RIA	B	0.00	59.00	0.00	59.00	90.0	53.10
01/12/2018 62382731	DIAMOND, NOAH	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
01/12/2018 62650715	KOENIG, RUTH A	SIGNAL SECURITIES RIA	B	0.00	38.67	0.00	38.67	90.0	34.80
01/12/2018 63023683	JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	69.00	0.00	69.00	90.0	62.10

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	63140775 MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00	90.0	59.40
01/12/2018	63781985 CAMMACK, RYAN L	SIGNAL SECURITIES RIA	B	0.00	97.33	0.00	97.33	90.0	87.60
01/12/2018	64110138 KINSDALE, JANE	SIGNAL SECURITIES RIA	B	0.00	116.67	0.00	116.67	90.0	105.00
01/12/2018	64404215 STARK, KATHLEEN A	SIGNAL SECURITIES RIA	B	0.00	152.00	0.00	152.00	90.0	136.80
01/12/2018	64833041 EIRING, JOHN & DEBORAH	SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67	90.0	20.40
01/12/2018	65226021 GARGIULO, KAITLIN	SIGNAL SECURITIES RIA	B	0.00	176.67	0.00	176.67	90.0	159.00
01/12/2018	65557704 MCGINLEY, RONALD B	SIGNAL SECURITIES RIA	B	0.00	255.33	0.00	255.33	90.0	229.80
01/12/2018	66425418 MACOLINI, FRANK & RUTHANN	SIGNAL SECURITIES RIA	B	0.00	450.33	0.00	450.33	90.0	405.30
01/12/2018	66917531 BUREMAN, RITA L.	SIGNAL SECURITIES RIA	B	0.00	266.33	0.00	266.33	90.0	239.70
01/12/2018	68495356 JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	22.33	0.00	22.33	90.0	20.10
01/12/2018	68521713 ANDERSON, BOB J & LILLIAN	SIGNAL SECURITIES RIA	B	0.00	181.33	0.00	181.33	90.0	163.20
01/12/2018	68548550 KRANTZ, SANDRA L	SIGNAL SECURITIES RIA	B	0.00	91.67	0.00	91.67	90.0	82.50
01/12/2018	68732372 BERG, RONALD	SIGNAL SECURITIES RIA	B	0.00	700.33	0.00	700.33	90.0	630.30
01/12/2018	69503797 PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	34.00	0.00	34.00	90.0	30.60
01/12/2018	69769351 EIRING, DEBORAH F	SIGNAL SECURITIES RIA	B	0.00	260.33	0.00	260.33	90.0	234.30
01/12/2018	70053665 HOLLEARN, RITA	SIGNAL SECURITIES RIA	B	0.00	15.67	0.00	15.67	90.0	14.10
01/12/2018	70228215 ECHTER, SHELLY K	SIGNAL SECURITIES RIA	B	0.00	338.00	0.00	338.00	90.0	304.20
01/12/2018	71751206 KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	23.33	0.00	23.33	90.0	21.00
01/12/2018	71785581 GREGG, JOHN A.	SIGNAL SECURITIES RIA	B	0.00	77.67	0.00	77.67	90.0	69.90
01/12/2018	71981417 KAHLER, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	66.33	0.00	66.33	90.0	59.70
01/12/2018	72180753 RHODES, MARK L	SIGNAL SECURITIES RIA	B	0.00	387.67	0.00	387.67	90.0	348.90
01/12/2018	73270490 CONNOLLY, BRIAN	SIGNAL SECURITIES RIA	B	0.00	3.00	0.00	3.00	90.0	2.70
01/12/2018	73463753 QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	34.33	0.00	34.33	90.0	30.90
01/12/2018	73606431 STEPHENS, VALERIE	SIGNAL SECURITIES RIA	B	0.00	2,078.00	0.00	2,078.00	90.0	1,870.20
01/12/2018	73984739 ACKERMAN, LAVONNE L	SIGNAL SECURITIES RIA	B	0.00	239.67	0.00	239.67	90.0	215.70
01/12/2018	74090733 BAUMANN, GINGER G	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
01/12/2018	74134537 JOHNSON, RUTHANNE	SIGNAL SECURITIES RIA	B	0.00	24.67	0.00	24.67	90.0	22.20
01/12/2018	74561646 JOHNSON, JEFFREY D	SIGNAL SECURITIES RIA	B	0.00	42.67	0.00	42.67	90.0	38.40
01/12/2018	74832638 STAHL, DONALD EUGENE	SIGNAL SECURITIES RIA	B	0.00	72.67	0.00	72.67	90.0	65.40
01/12/2018	75152036 STEELE, DAVID A	SIGNAL SECURITIES RIA	B	0.00	59.67	0.00	59.67	90.0	53.70
01/12/2018	75826019 WILLIAMS, GERALDINE	SIGNAL SECURITIES RIA	B	0.00	147.00	0.00	147.00	90.0	132.30
01/12/2018	76522415 HOLLEARN, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	162.33	0.00	162.33	90.0	146.10
01/12/2018	76748838 YORK, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	20.67	0.00	20.67	90.0	18.60
01/12/2018	76891257 GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	137.00	0.00	137.00	90.0	123.30
01/12/2018	78957791 ECHTER, SHELLY K	SIGNAL SECURITIES RIA	B	0.00	359.67	0.00	359.67	90.0	323.70

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	78986866 GUENZI, CAROL JO	SIGNAL SECURITIES RIA	B	0.00	310.00	0.00	310.00	90.0	279.00
01/12/2018	79112732 DODGE, BRIAN D	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80
01/12/2018	79301514 WERNER, CORWYN & JANET	SIGNAL SECURITIES RIA	B	0.00	65.00	0.00	65.00	90.0	58.50
01/12/2018	79495850 RAPID CITY MEDICAL CTR	SIGNAL SECURITIES RIA	B	0.00	499.67	0.00	499.67	90.0	449.70
01/12/2018	79828155 BARKER/STEVENS, KERRY/STEPHANIE	SIGNAL SECURITIES RIA	B	0.00	10.67	0.00	10.67	90.0	9.60
01/12/2018	80391965 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	1.67	0.00	1.67	90.0	1.50
01/12/2018	80875540 ROMERO, DALE E.	SIGNAL SECURITIES RIA	B	0.00	251.67	0.00	251.67	90.0	226.50
01/12/2018	81096523 HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	18.33	0.00	18.33	90.0	16.50
01/12/2018	81501198 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	382.67	0.00	382.67	90.0	344.40
01/12/2018	81836304 PLATT, ALAN B	SIGNAL SECURITIES RIA	B	0.00	44.33	0.00	44.33	90.0	39.90
01/12/2018	82190461 TENOLD, AMY FBO DAWSON	SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33	90.0	2.10
01/12/2018	82997248 HARRISON, PAUL & JOAN	SIGNAL SECURITIES RIA	B	0.00	163.00	0.00	163.00	90.0	146.70
01/12/2018	83018285 WEBER, DARLENE A.	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
01/12/2018	83255347 WEBER, DARLENE A.	SIGNAL SECURITIES RIA	B	0.00	217.00	0.00	217.00	90.0	195.30
01/12/2018	83298368 DODGE, SALLY A	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80
01/12/2018	83344292 BORK, WALLACE D	SIGNAL SECURITIES RIA	B	0.00	496.00	0.00	496.00	90.0	446.40
01/12/2018	83355639 PEDERSEN/SCHMELTZER, SIGNE R & LOUIS	SIGNAL SECURITIES RIA	B	0.00	58.33	0.00	58.33	90.0	52.50
01/12/2018	83433608 TENOLD, AMY FBO DEON R	SIGNAL SECURITIES RIA	B	0.00	16.33	0.00	16.33	90.0	14.70
01/12/2018	83754130 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	134.33	0.00	134.33	90.0	120.90
01/12/2018	84354375 QUADE, JEAN M.	SIGNAL SECURITIES RIA	B	0.00	16.33	0.00	16.33	90.0	14.70
01/12/2018	84359588 HOWE, BARBARA A.	SIGNAL SECURITIES RIA	B	0.00	199.33	0.00	199.33	90.0	179.40
01/12/2018	85064966 JACOBS, COREY T	SIGNAL SECURITIES RIA	B	0.00	164.33	0.00	164.33	90.0	147.90
01/12/2018	85082521 JENSEN, RONALD & DENISE	SIGNAL SECURITIES RIA	B	0.00	80.33	0.00	80.33	90.0	72.30
01/12/2018	85822210 KAHLER, JANET C	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
01/12/2018	85996569 PIERCE, JULIE M	SIGNAL SECURITIES RIA	B	0.00	0.33	0.00	0.33	90.0	0.30
01/12/2018	86018805 TENOLD, AMY FBO DUALY O	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
01/12/2018	86057224 EIRING, JOHN & DEBORAH	SIGNAL SECURITIES RIA	B	0.00	12.67	0.00	12.67	90.0	11.40
01/12/2018	86469888 KOENIG, ROBERT A	SIGNAL SECURITIES RIA	B	0.00	139.33	0.00	139.33	90.0	125.40
01/12/2018	87210372 STEPHENS, VALERIE	SIGNAL SECURITIES RIA	B	0.00	18.67	0.00	18.67	90.0	16.80
01/12/2018	87411379 JOHNSON, JEFFREY D	SIGNAL SECURITIES RIA	B	0.00	172.00	0.00	172.00	90.0	154.80
01/12/2018	87992733 LAMB, DONALD P	SIGNAL SECURITIES RIA	B	0.00	57.67	0.00	57.67	90.0	51.90

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	88251717 KETZNER, TODD J	SIGNAL SECURITIES RIA	B	0.00	91.00	0.00	91.00	90.0	81.90
01/12/2018	88260407 PITMAN, TOM	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
01/12/2018	88353473 CHAFEE, RICHARD/CHRIS	SIGNAL SECURITIES RIA	B	0.00	159.33	0.00	159.33	90.0	143.40
01/12/2018	89139909 LAMB, LEANN	SIGNAL SECURITIES RIA	B	0.00	53.33	0.00	53.33	90.0	48.00
01/12/2018	89348302 GARGIULO, TIMOTHY FRANCIS	SIGNAL SECURITIES RIA	B	0.00	603.00	0.00	603.00	90.0	542.70
01/12/2018	89790665 VEAZEY, PAMELA D.	SIGNAL SECURITIES RIA	B	0.00	853.67	0.00	853.67	90.0	768.30
01/12/2018	91013710 KOENIG, RUTH A	SIGNAL SECURITIES RIA	B	0.00	28.33	0.00	28.33	90.0	25.50
01/12/2018	92186431 WILLIAMS, DONALD/GERALDIN	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
01/12/2018	92202296 HINDMAN, ANTHONY J	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
01/12/2018	92327955 ABRAMS, LAURA JEANNE	SIGNAL SECURITIES RIA	B	0.00	33.67	0.00	33.67	90.0	30.30
01/12/2018	92545805 PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
01/12/2018	92927312 LEIVESTAD, DALE L	SIGNAL SECURITIES RIA	B	0.00	37.33	0.00	37.33	90.0	33.60
01/12/2018	93010997 KINSDALE, JANE	SIGNAL SECURITIES RIA	B	0.00	425.67	0.00	425.67	90.0	383.10
01/12/2018	93015086 STRUWE, CAROLINE A	SIGNAL SECURITIES RIA	B	0.00	18.67	0.00	18.67	90.0	16.80
01/12/2018	93046418 WILLIAMS, DONALD/GERALDIN	SIGNAL SECURITIES RIA	B	0.00	309.33	0.00	309.33	90.0	278.40
01/12/2018	94507995 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
01/12/2018	94629096 GUENZI, CAROL JO	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	90.0	6.60
01/12/2018	94635069 PFISTERER, MICHELLE L	SIGNAL SECURITIES RIA	B	0.00	288.33	0.00	288.33	90.0	259.50
01/12/2018	95008736 LEIVESTAD, DALE L	SIGNAL SECURITIES RIA	B	0.00	222.00	0.00	222.00	90.0	199.80
01/12/2018	95368200 REEVES, MICAELA S	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
01/12/2018	95392118 O'NEILL, WILLIAM C	SIGNAL SECURITIES RIA	B	0.00	256.00	0.00	256.00	90.0	230.40
01/12/2018	95605369 BARKER/STEVENS, KERRY/STEPHANIE	SIGNAL SECURITIES RIA	B	0.00	71.00	0.00	71.00	90.0	63.90
01/12/2018	95863247 JOHNSON, RUTHANNE	SIGNAL SECURITIES RIA	B	0.00	35.33	0.00	35.33	90.0	31.80
01/12/2018	96072766 PROFFITT, SUSAN N.	SIGNAL SECURITIES RIA	B	0.00	143.33	0.00	143.33	90.0	129.00
01/12/2018	96167222 HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	129.67	0.00	129.67	90.0	116.70
01/12/2018	96706135 WOLF, KATHRYN	SIGNAL SECURITIES RIA	B	0.00	134.33	0.00	134.33	90.0	120.90
01/12/2018	96806247 DIAMOND, KENNETH C.	SIGNAL SECURITIES RIA	B	0.00	274.00	0.00	274.00	90.0	246.60
01/12/2018	96937079 REEVES, JAMES & MICAELA	SIGNAL SECURITIES RIA	B	0.00	24.67	0.00	24.67	90.0	22.20
01/12/2018	96946210 HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	211.00	0.00	211.00	90.0	189.90
01/12/2018	97095581 SCHMIDT, GERALD & JUDITH	SIGNAL SECURITIES RIA	B	0.00	58.00	0.00	58.00	90.0	52.20
01/12/2018	97641202 HAYMAN, WILLIAM & VICKI	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	90.0	14.40
01/12/2018	97680079 STEVENS, STEPHANIE A	SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00	90.0	10.80
01/12/2018	97927074 GARGIULO, HELEN	SIGNAL SECURITIES RIA	B	0.00	10.67	0.00	10.67	90.0	9.60

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
PATRICIA P.									
01/12/2018	98361164 SANDER, RANDY L	SIGNAL SECURITIES RIA	B	0.00	154.67	0.00	154.67	90.0	139.20
01/12/2018	98617795 STRUWE, CAROLINE A	SIGNAL SECURITIES RIA	B	0.00	125.00	0.00	125.00	90.0	112.50
01/12/2018	98834954 KRANTZ, SANDRA L	SIGNAL SECURITIES RIA	B	0.00	37.00	0.00	37.00	90.0	33.30
01/12/2018	98954969 GARGIULO, KAITLIN	SIGNAL SECURITIES RIA	B	0.00	95.00	0.00	95.00	90.0	85.50
01/12/2018	99111980 WILSON, VICKY L	SIGNAL SECURITIES RIA	B	0.00	121.33	0.00	121.33	90.0	109.20
01/12/2018	99353116 DODGE, SALLY A	SIGNAL SECURITIES RIA	B	0.00	66.67	0.00	66.67	90.0	60.00
01/12/2018	99580715 BUREMAN, MICHAEL&	SIGNAL SECURITIES RIA	B	0.00	22.00	0.00	22.00	90.0	19.80
RITA									

* RIA SUBTOTAL *	\$0.00	\$31,797.88	\$0.00	\$31,797.88	\$28,618.10
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PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES	0.00	0.16	0.00	0.16	90.0	0.15
02/01/2018	TRAIL COMMISSIONS	IVY FUNDS FEES	0.00	1,246.09	0.00	1,246.09	90.0	1,121.59
02/01/2018	TRAIL COMMISSIONS	PIONEER SERVICES CORPORATION FEES	0.00	1.52	0.00	1.52	90.0	1.37
02/01/2018	TRAIL COMMISSIONS	INVESCO LTD FEES	0.00	1,054.32	0.00	1,054.32	90.0	948.97
02/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES	0.00	16.46	0.00	16.46	90.0	14.82
02/01/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES	0.00	908.86	0.00	908.86	90.0	817.97
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3,227.41	\$0.00	\$3,227.41	\$2,904.87
*** BROKER TRANSACTIONS TOTAL ***				\$75,847.33	\$39,406.27	\$0.00	\$39,406.27	\$35,466.26

OVERRIDE TRANSACTIONS**BROKER: KELSEY MCDANIEL #9660**

01/08/2018	04000711583	WRIGHT, BLAKE J.	AMERICAN FUNDS GROWTH FUND OF AMERI B	14,223.87	711.19	0.00	711.19	142.24
01/05/2018	3212111891	GORTMAKER, DEREK A.	OPPENHEIMER CAPITAL APPREC FD CL A B	1,382.31	65.66	0.00	65.66	13.13
01/05/2018	3212111891	GORTMAKER, DEREK A.	OPPENHEIMER CAPITAL APPREC FD CL A B	123.20	5.85	0.00	5.85	1.17
* KELSEY MCDANIEL SUBTOTAL *				\$15,729.38	\$782.70	\$0.00	\$782.70	\$156.54
*** OVERRIDE TRANSACTIONS TOTAL ***				\$15,729.38	\$782.70	\$0.00	\$782.70	\$156.54

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

02/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
02/01/2018		STATE REG: WYOMING		0.00	0.00	0.00	0.00		-50.00
02/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-177.50

BROKER COMMISSION TOTALS

Broker Transactions	\$35,466.26
Split Transactions	\$0.00
Override Transactions	\$156.54
Adjustments	\$-177.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$35,445.30
Year-to-date Earnings	\$71,725.88