

# STATEMENT

## May 20, 2018

HENRY RAMSEY  
1224 Saint Michael St.

BROKER #: 082  
BRANCH:

Gonzales, TX 78629

### COMMISSION STATEMENT for HENRY RAMSEY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	------	---------	-------------------	--------------------	----------------------

### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

03/01/0018	#000024541118	ROWE, LIGHT	NUVEEN 670650889	0.00	88.32	75.0	0.00	66.24	0.00	66.24
04/05/2018	#01001300082	MONDRAGON, ABEL	INVESCO VAN KAMPEN HIGH YIELD MUNIC	600.00	24.00	75.0	0.00	18.00	0.00	18.00
04/09/2018	#00086010680	MONDRAGON, ABEL	AMERICAN BALANCED FUND INC CLASS A	1,850.00	50.88	75.0	0.00	38.16	0.00	38.16
04/09/2018	#00086010680	MONDRAGON, ABEL	AMERICAN BALANCED FUND INC CLASS A	504.00	13.86	75.0	0.00	10.40	0.00	10.40
04/16/2018	#01001300082	MONDRAGON, ABEL	INVESCO VAN KAMPEN HIGH YIELD MUNIC	7,000.00	227.50	75.0	0.00	170.63	0.00	170.63
04/20/2018	#06900204626	VARIOUS RAMSEY	INVESCO GOLD & PRECIOUS METALS CL A	40,000.00	700.00	75.0	0.00	525.00	0.00	525.00
* MUTUAL FUNDS SUBTOTAL *				<b>\$49,954.00</b>	<b>\$1,104.56</b>		<b>\$0.00</b>	<b>\$828.43</b>	<b>\$0.00</b>	<b>\$828.43</b>

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/01/2018	#06900204626	VARIOUS RAMSEY	INVESCO GOLD & PRECIOUS METALS CL A	0.00	155.50	75.0	0.00	116.63	0.00	116.63
04/01/2018	#06900206858	VARIOUS RAMSEY	INVESCO MONEY MARKET FUND - CLASS A	0.00	10.94	75.0	0.00	8.21	0.00	8.21
04/01/2018	#00672050453	VARIOUS RAMSEY	INVESCO U.S. GOVERNMENT FUND CL A	0.00	24.55	75.0	0.00	18.41	0.00	18.41
04/01/2018	#00672050863	VARIOUS RAMSEY	INVESCO U.S. GOVERNMENT FUND CL A	0.00	24.55	75.0	0.00	18.41	0.00	18.41
04/01/2018	#00672050453	VARIOUS RAMSEY	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	7.35	75.0	0.00	5.51	0.00	5.51
04/01/2018	#00672050863	VARIOUS RAMSEY	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	7.35	75.0	0.00	5.51	0.00	5.51
04/01/2018	#06900142636	VARIOUS RAMSEY	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	7.25	75.0	0.00	5.44	0.00	5.44
04/01/2018	#06900231444	VARIOUS RAMSEY	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	7.37	75.0	0.00	5.53	0.00	5.53
04/01/2018	#06900231445	VARIOUS RAMSEY	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	4.90	75.0	0.00	3.68	0.00	3.68
04/01/2018	#06900212605	VARIOUS RAMSEY	INVESCO VAN KAMPEN GROWTH & INCOME	0.00	66.45	75.0	0.00	49.84	0.00	49.84
04/01/2018	#01001362698	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	144.44	75.0	0.00	108.33	0.00	108.33
04/01/2018	#00061558072	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	178.78	75.0	0.00	134.09	0.00	134.09
04/01/2018	#01001249897	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	5.09	75.0	0.00	3.82	0.00	3.82
04/01/2018	#01001271347	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	140.84	75.0	0.00	105.63	0.00	105.63
04/01/2018	#01001300082	MONDRAGON, ABEL	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	56.67	75.0	0.00	42.50	0.00	42.50
04/01/2018	#06900213267	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	52.84	75.0	0.00	39.63	0.00	39.63
04/01/2018	#06910640475	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	316.31	75.0	0.00	237.23	0.00	237.23
04/01/2018	#00671330717	VARIOUS RAMSEY	INVESCO VAN KAMPEN MID CAP GROWTH F	0.00	0.50	75.0	0.00	0.38	0.00	0.38
04/01/2018	#06900143812	VARIOUS RAMSEY	INVESCO VAN KAMPEN MID CAP GROWTH F	0.00	5.05	75.0	0.00	3.79	0.00	3.79

COMMISSION STATEMENT for HENRY RAMSEY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
04/01/2018	#06900196722	VARIOUS RAMSEY	INVESCO VAN KAMPEN MID CAP GROWTH F	0.00	2.90	75.0	0.00	2.18	2.18
04/01/2018	#08190128296	SERVICES SIMPLE	MFS TOTAL RETURN FUND-A	0.00	77.90	75.0	0.00	58.43	58.43
04/01/2018	#08191187092	PETTIT, RONALD	MFS TOTAL RETURN FUND-A	0.00	11.28	75.0	0.00	8.46	8.46
04/03/2018	#00965249498		PIONEER 724010103	0.00	61.97	75.0	0.00	46.48	46.48
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,370.78	\$0.00	\$1,028.12	\$0.00	\$1,028.12
*** BROKER TRANSACTIONS TOTAL ***				\$49,954.00	\$2,475.34	\$0.00	\$1,856.55	\$0.00	\$1,856.55

ADJUSTMENTS

05/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,856.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-974.77
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00
Check Amount	\$382.78