



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 15, 2018

03/13/2018
Page No. 1

JON PAUL, C141 CIRELLI
14255 US Hwy One
Suite 283
Juno Beach, FL 33408

BROKER #: C141
BRANCH: FORTITUDE-JUNO BEACH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
02/09/2018	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	21.66	0.00	21.66	95.0	20.58
		* REIT SUBTOTAL *	\$0.00	\$21.66	\$0.00	\$21.66		\$20.58
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
01/31/2018	KISSIL, GEORGE	GRIFFIN INST'L ACCESS R E FUND C	16,342.77	3.47	0.00	3.47	95.0	3.30
		* INTERVAL FUNDS SUBTOTAL *	\$16,342.77	\$3.47	\$0.00	\$3.47		\$3.30
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/16/2018	WAGNER, DENNIS	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.71	0.00	5.71	95.0	5.42
02/16/2018	JARDIN, DEAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	10.52	0.00	10.52	95.0	9.99
02/22/2018	DODGE, CHERYL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	6.19	0.00	6.19	95.0	5.88
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$22.42	\$0.00	\$22.42		\$21.29
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/03/2018	ONE PARKING	JOHN HANCOCK LIFE INS CO	471,881.03	196.16	0.00	196.16	95.0	186.35
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$471,881.03	\$196.16	\$0.00	\$196.16		\$186.35
		*** DIRECT TRANSACTIONS TOTAL ***	\$488,223.80	\$243.71	\$0.00	\$243.71		\$231.52

SPLIT TRANSACTIONS

<u>BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251</u>								
02/05/2018	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.78	0.00	2.78	95.0	2.64
02/22/2018	SEITZ & CO INC, DONALD	GPB WASTE MANAGEMENT LP	100,000.00	2,310.00	0.00	2,310.00	95.0	2,194.50
		* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *	\$100,000.00	\$2,312.78	\$0.00	\$2,312.78		\$2,197.14
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
03/03/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	134,591.15	8.39	0.00	8.39	95.0	7.97
02/20/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.04	0.00	0.04	95.0	0.04

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
02/16/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.09	0.00	0.09	95.0	0.09
02/16/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.15	0.00	0.15	95.0	0.14
02/21/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.28	0.00	0.28	95.0	0.27
02/21/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.23	0.00	0.23	95.0	0.22
02/21/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.33	0.00	1.33	95.0	1.26
02/21/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.18	0.00	1.18	95.0	1.12
02/21/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.10	0.00	0.10	95.0	0.10
02/14/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.16	0.00	0.16	95.0	0.15
02/14/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.71	0.00	0.71	95.0	0.67
02/22/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.32	0.00	0.32	95.0	0.30
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$134,591.15	\$12.98	\$0.00	\$12.98		\$12.33
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
02/22/2018	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.29	0.00	2.29	95.0	2.18
02/16/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.38	0.00	5.38	95.0	5.11
02/16/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.14	0.00	16.14	95.0	15.33
02/16/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.44	0.00	3.44	95.0	3.27
02/16/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.33	0.00	10.33	95.0	9.81
02/16/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.29	0.00	5.29	95.0	5.03
02/16/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.88	0.00	15.88	95.0	15.09
02/16/2018	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.24	0.00	2.24	95.0	2.13
02/21/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	2.13	0.00	2.13	95.0	2.02
03/01/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	64,000.00	656.00	11.00	645.00	95.0	612.75
02/21/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	2.60	0.00	2.60	95.0	2.47
03/01/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	75,000.00	768.75	11.00	757.75	95.0	719.86
02/21/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.81	0.00	1.81	95.0	1.72
03/01/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	51,000.00	522.75	11.00	511.75	95.0	486.16
02/22/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.46	0.00	0.46	95.0	0.44
02/22/2018	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.67	0.00	9.67	95.0	9.19
03/01/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	24,000.00	570.00	11.00	559.00	95.0	531.05
02/22/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.39	0.00	0.39	95.0	0.37
02/14/2018	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	71.84	0.00	71.84	95.0	68.25
02/22/2018	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	2.33	0.00	2.33	95.0	2.21
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			\$214,000.00	\$2,669.72	\$44.00	\$2,625.72		\$2,494.44

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
02/09/2018	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	4.55	0.00	4.55	95.0	4.32
02/05/2018	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.38	0.00	3.38	95.0	3.21
02/05/2018	DOLCE, JENNIFER	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	6.81	0.00	6.81	95.0	6.47
02/16/2018	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.51	0.00	0.51	95.0	0.48
02/22/2018	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.39	0.00	3.39	95.0	3.22
02/22/2018	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.39	0.00	1.39	95.0	1.32
02/22/2018	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.26	0.00	2.26	95.0	2.15
02/22/2018	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.62	0.00	0.62	95.0	0.59
02/22/2018	PAVIS, NANCY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.99	0.00	4.99	95.0	4.74
02/22/2018	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.81	0.00	2.81	95.0	2.67
02/22/2018	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.82	0.00	0.82	95.0	0.78
02/22/2018	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.46	0.00	4.46	95.0	4.24
02/22/2018	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.48	0.00	2.48	95.0	2.36
02/22/2018	STARK, LINDA	MAINSTAY MAP A	0.00	0.50	0.00	0.50	95.0	0.48
02/22/2018	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	2.49	0.00	2.49	95.0	2.37
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$41.46	\$0.00	\$41.46		\$39.40
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
02/21/2018	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.08	0.00	0.08	95.0	0.08
02/05/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.38	0.00	2.38	95.0	2.26
02/05/2018	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.38	0.00	0.38	95.0	0.36
02/05/2018	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.27	0.00	1.27	95.0	1.21
02/05/2018	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.76	0.00	1.76	95.0	1.67
02/23/2018	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.85	0.00	0.85	95.0	0.81
02/22/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.11	0.00	1.11	95.0	1.05
02/22/2018	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.46	0.00	2.46	95.0	2.34
02/22/2018	CAVEGN, JOSEPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.39	0.00	0.39	95.0	0.37
02/22/2018	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.14	0.00	0.14	95.0	0.13
02/22/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.52	0.00	1.52	95.0	1.44
02/22/2018	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.86	0.00	0.86	95.0	0.82
02/14/2018	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.52	0.00	2.52	95.0	2.39
02/16/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.75	0.00	0.75	95.0	0.71
02/16/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.81	0.00	0.81	95.0	0.77
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$0.00	\$17.28	\$0.00	\$17.28		\$16.41

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
02/05/2018	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.77	0.00	3.77	95.0	3.58
02/22/2018	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.77	0.00	0.77	95.0	0.73
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$4.54	\$0.00	\$4.54		\$4.31
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
02/21/2018	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.24	0.00	0.24	95.0	0.23
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.24	\$0.00	\$0.24		\$0.23
<u>BROKER: P124-JONP/DAN/J FT-FL JUNO CIRE #273</u>								
02/21/2018	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.09	0.00	0.09	95.0	0.09
02/16/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.97	0.00	2.97	95.0	2.82
02/16/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	7.19	0.00	7.19	95.0	6.83
02/16/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.74	0.00	4.74	95.0	4.50
02/16/2018	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.43	0.00	3.43	95.0	3.26
02/16/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.26	0.00	0.26	95.0	0.25
02/16/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.26	0.00	0.26	95.0	0.25
02/28/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	2,704.67	40.44	10.12	30.32	95.0	28.80
02/28/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	2,705.60	49.78	10.12	39.66	95.0	37.68
02/16/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.23	0.00	1.23	95.0	1.17
02/16/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.71	0.00	3.71	95.0	3.52
02/16/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.54	0.00	1.54	95.0	1.46
02/16/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.64	0.00	4.64	95.0	4.41
02/16/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.04	0.00	1.04	95.0	0.99
02/16/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.10	0.00	3.10	95.0	2.95
02/16/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.23	0.00	1.23	95.0	1.17
02/16/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.71	0.00	3.71	95.0	3.52
02/16/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.33	0.00	4.33	95.0	4.11
02/16/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.00	0.00	13.00	95.0	12.35
02/16/2018	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.79	0.00	0.79	95.0	0.75
02/16/2018	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.22	0.00	1.22	95.0	1.16
02/16/2018	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.11	0.00	2.11	95.0	2.00
02/16/2018	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.69	0.00	1.69	95.0	1.61
02/16/2018	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.42	0.00	1.42	95.0	1.35
02/16/2018	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.02	0.00	2.02	95.0	1.92

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: P124-JONP/DAN/J FT-FL JUNO CIRE #273</u>								
02/16/2018	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.00	0.00	6.00	95.0	5.70
02/22/2018	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	0.00	0.16	0.00	0.16	95.0	0.15
02/22/2018	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	0.00	0.16	0.00	0.16	95.0	0.15
02/28/2018	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	2,702.37	24.87	10.12	14.75	95.0	14.01
02/28/2018	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	2,702.37	24.87	10.12	14.75	95.0	14.01
* P124-JONP/DAN/J FT-FL JUNO CIRE SUBTOTAL *			\$10,815.01	\$212.00	\$40.48	\$171.52		\$162.94
<u>BROKER: R138 DAN/JON PAUL FT-RAUPP/CIRELLI #350</u>								
02/27/2018	AMARCORD INC	INLAND ZERO COUPON PHARM'Y VIII DST	31,150.00	389.38	0.00	389.38	95.0	369.91
* R138 DAN/JON PAUL FT-RAUPP/CIRELLI SUBTOTAL *			\$31,150.00	\$389.38	\$0.00	\$389.38		\$369.91
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
02/22/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.43	0.00	0.43	95.0	0.41
02/14/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.12	0.00	1.12	95.0	1.06
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$0.00	\$1.55	\$0.00	\$1.55		\$1.47
<u>BROKER: C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR #400</u>								
02/16/2018	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.83	0.00	0.83	95.0	0.79
02/16/2018	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.59	0.00	0.59	95.0	0.56
* C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR SUBTOTAL *			\$0.00	\$1.42	\$0.00	\$1.42		\$1.35
*** SPLIT TRANSACTIONS TOTAL ***			\$490,556.16	\$5,663.35	\$84.48	\$5,578.87		\$5,299.93

VERRIDE TRANSACTIONS

<u>BROKER: MARC, L134 LINSKY #10</u>								
03/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	1,000.00	10.00	0.00	10.00	90.0	0.16
03/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	0.24
03/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	0.24
02/28/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	400.00	10.00	0.00	10.00	90.0	0.16
02/28/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.12
02/28/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
* MARC, L134 LINSKY SUBTOTAL *			\$2,650.00	\$61.25	\$0.00	\$61.25		\$0.98

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC, L134 LINSKY #263</u>								
03/01/2018	BENDICK, ETHEL	529 AMCAP FUND CLASS A	0.00	3.37	0.00	3.37	90.0	0.05
02/12/2018	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.97	0.00	0.97	90.0	0.02
02/12/2018	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	14.10	0.00	14.10	90.0	0.23
02/16/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.54	0.00	4.54	90.0	0.07
02/21/2018	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	4.28	0.00	4.28	90.0	0.07
02/21/2018	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	7.12	0.00	7.12	90.0	0.11
02/21/2018	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.47	0.00	0.47	90.0	0.01
03/01/2018	CANUSO, CANDACE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.33	0.00	1.33	90.0	0.02
03/01/2018	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.33	0.00	1.33	90.0	0.02
03/01/2018	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.61	0.00	0.61	90.0	0.01
03/01/2018	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.41	0.00	1.41	90.0	0.02
03/01/2018	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.41	0.00	1.41	90.0	0.02
03/01/2018	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.93	0.00	0.93	90.0	0.01
03/01/2018	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.93	0.00	0.93	90.0	0.01
03/01/2018	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	5.20	0.00	5.20	90.0	0.08
03/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	0.00	125.26	0.00	125.26	90.0	2.00
03/01/2018	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.73	0.00	3.73	90.0	0.06
03/01/2018	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.73	0.00	3.73	90.0	0.06
02/21/2018	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.58	0.00	1.58	90.0	0.03
02/21/2018	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.52	0.00	0.52	90.0	0.01
02/21/2018	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.52	0.00	0.52	90.0	0.01
02/21/2018	APPLEGATE, PAMAELA	AM FUNDS AMERICAN BALANCED A	0.00	1.06	0.00	1.06	90.0	0.02
03/01/2018	MORETTI, VINCENT	AM FUNDS AMERICAN BALANCED A	0.00	3.58	0.00	3.58	90.0	0.06
03/01/2018	MORETTI, GINA	AM FUNDS AMERICAN BALANCED A	0.00	3.70	0.00	3.70	90.0	0.06
03/01/2018	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.61	0.00	0.61	90.0	0.01
03/01/2018	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.51	0.00	1.51	90.0	0.02
03/01/2018	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.51	0.00	1.51	90.0	0.02
03/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	0.00	132.87	0.00	132.87	90.0	2.13
03/01/2018	CANUSO, CANDACE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.81	0.00	0.81	90.0	0.01
03/01/2018	ALTMAN, SHARON	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.72	0.00	1.72	90.0	0.03
03/01/2018	BENDICK, ETHEL	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.90	0.00	1.90	90.0	0.03
03/01/2018	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	6.21	0.00	6.21	90.0	0.10
03/01/2018	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.04	0.00	1.04	90.0	0.02

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
03/01/2018	ALTMAN, SHARON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.09	0.00	2.09	90.0	0.03
03/01/2018	BENDICK, ETHEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.42	0.00	2.42	90.0	0.04
02/21/2018	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.04	0.00	1.04	90.0	0.02
02/21/2018	APPLEGATE, PAMAEALA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.85	0.00	0.85	90.0	0.01
03/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD BOND 529A	0.00	0.85	0.00	0.85	90.0	0.01
03/01/2018	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.55	0.00	7.55	90.0	0.12
03/01/2018	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.25	0.00	1.25	90.0	0.02
03/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	9.35	0.00	9.35	90.0	0.15
03/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	8.45	0.00	8.45	90.0	0.14
03/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.88	0.00	1.88	90.0	0.03
03/01/2018	ALTMAN, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.91	0.00	3.91	90.0	0.06
03/01/2018	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.80	0.00	0.80	90.0	0.01
03/01/2018	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.50	0.00	0.50	90.0	0.01
03/01/2018	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.43	0.00	1.43	90.0	0.02
03/01/2018	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.43	0.00	1.43	90.0	0.02
03/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	6.05	0.00	6.05	90.0	0.10
03/01/2018	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	127.14	0.00	127.14	90.0	2.03
03/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.02	0.00	4.02	90.0	0.06
03/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.02	0.00	4.02	90.0	0.06
02/21/2018	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.21	0.00	4.21	90.0	0.07
02/21/2018	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.35	0.00	3.35	90.0	0.05
02/21/2018	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.40	0.00	1.40	90.0	0.02
02/21/2018	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.79	0.00	0.79	90.0	0.01
02/21/2018	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.06	0.00	3.06	90.0	0.05
03/01/2018	CENTANNI, RICHARD	AM FUNDS COLLEGE 2030 FUND 529A	0.00	7.72	0.00	7.72	90.0	0.12
02/21/2018	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.39	0.00	14.39	90.0	0.23
03/01/2018	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	9.26	0.00	9.26	90.0	0.15
03/01/2018	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.79	0.00	0.79	90.0	0.01
02/21/2018	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.72	0.00	1.72	90.0	0.03
03/01/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.18
03/01/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	12.81	0.00	12.81	90.0	0.20
03/01/2018	CANUSO, CANDACE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.90	0.00	8.90	90.0	0.14
03/01/2018	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.66	0.00	3.66	90.0	0.06

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC, L134 LINSKY #263</u>								
03/01/2018	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.38	0.00	3.38	90.0	0.05
03/01/2018	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.97	0.00	0.97	90.0	0.02
02/21/2018	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.00	0.00	1.00	90.0	0.02
02/21/2018	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.11	0.00	5.11	90.0	0.08
02/21/2018	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.69	0.00	1.69	90.0	0.03
02/21/2018	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.99	0.00	6.99	90.0	0.11
02/21/2018	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.29	0.00	5.29	90.0	0.08
02/21/2018	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.00	0.00	2.00	90.0	0.03
02/21/2018	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.43	0.00	0.43	90.0	0.01
02/21/2018	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.06	0.00	1.06	90.0	0.02
02/21/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.59	0.00	1.59	90.0	0.03
02/21/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.73	0.00	0.73	90.0	0.01
02/21/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.18	0.00	1.18	90.0	0.02
02/21/2018	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.72	0.00	0.72	90.0	0.01
03/01/2018	MORETTI, VINCENT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.37	0.00	4.37	90.0	0.07
03/01/2018	MORETTI, GINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.61	0.00	4.61	90.0	0.07
02/21/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.01	0.00	3.01	90.0	0.05
02/21/2018	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.96	0.00	2.96	90.0	0.05
02/21/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.07	0.00	6.07	90.0	0.10
02/21/2018	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.16	0.00	6.16	90.0	0.10
02/21/2018	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.66	0.00	1.66	90.0	0.03
02/21/2018	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	9.92	0.00	9.92	90.0	0.16
02/21/2018	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	0.38	0.00	0.38	90.0	0.01
02/21/2018	LINSKY, MARC	AM FUNDS ICA A	0.00	0.47	0.00	0.47	90.0	0.01
02/21/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.63	0.00	0.63	90.0	0.01
02/21/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	1.02	0.00	1.02	90.0	0.02
02/21/2018	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.62	0.00	0.62	90.0	0.01
02/21/2018	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.64	0.00	0.64	90.0	0.01
02/21/2018	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.64	0.00	0.64	90.0	0.01
02/21/2018	APPLEGATE, PAMAEALA	AM FUNDS ICA A	0.00	1.11	0.00	1.11	90.0	0.02
02/21/2018	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.95	0.00	5.95	90.0	0.10
02/21/2018	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	13.92	0.00	13.92	90.0	0.22
02/21/2018	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	5.40	0.00	5.40	90.0	0.09

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
02/21/2018	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	5.17	0.00	5.17	90.0	0.08
02/21/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.28	0.00	5.28	90.0	0.08
02/21/2018	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.63	0.00	4.63	90.0	0.07
02/21/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.67	0.00	21.67	90.0	0.35
02/21/2018	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.31	0.00	3.31	90.0	0.05
03/01/2018	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.71	0.00	0.71	90.0	0.01
03/01/2018	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.71	0.00	0.71	90.0	0.01
03/01/2018	ALTMAN, SHARON	AM FUNDS INTL GROWTH AND INCOME 529	0.00	1.50	0.00	1.50	90.0	0.02
03/01/2018	ALTMAN, SHARON	AM FUNDS NEW ECONOMY 529A	0.00	3.57	0.00	3.57	90.0	0.06
03/01/2018	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.70	0.00	0.70	90.0	0.01
02/21/2018	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.84	0.00	0.84	90.0	0.01
02/21/2018	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.84	0.00	0.84	90.0	0.01
02/21/2018	APPLEGATE, PAMAEALA	AM FUNDS NEW PERSPECTIVE A	0.00	1.19	0.00	1.19	90.0	0.02
03/01/2018	CANUSO, CANDACE	AM FUNDS NEW WORLD 529A	0.00	7.04	0.00	7.04	90.0	0.11
02/21/2018	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.70	0.00	1.70	90.0	0.03
03/01/2018	CANUSO, CANDACE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.41	0.00	0.41	90.0	0.01
03/01/2018	CAMPAGNA, MARIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.60	0.00	0.60	90.0	0.01
03/01/2018	ALTMAN, SHARON	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.11	0.00	1.11	90.0	0.02
03/01/2018	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	3.46	0.00	3.46	90.0	0.06
03/01/2018	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.48	0.00	2.48	90.0	0.04
03/01/2018	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.48	0.00	2.48	90.0	0.04
02/21/2018	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.36	0.00	6.36	90.0	0.10
02/21/2018	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.37	0.00	0.37	90.0	0.01
02/21/2018	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.60	0.00	1.60	90.0	0.03
02/21/2018	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.46	0.00	0.46	90.0	0.01
02/21/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.63	0.00	0.63	90.0	0.01
02/21/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.02	0.00	1.02	90.0	0.02
02/21/2018	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.62	0.00	0.62	90.0	0.01
03/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.29	0.00	8.29	90.0	0.13
03/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.32	0.00	7.32	90.0	0.12
03/01/2018	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.68	0.00	0.68	90.0	0.01
02/16/2018	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	2.90	0.00	2.90	90.0	0.05
02/22/2018	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	19.84	0.00	19.84	90.0	0.32

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
02/21/2018	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.63	0.00	1.63	90.0	0.03
02/21/2018	DUBIN, JON	CALAMOS GROWTH A	0.00	5.11	0.00	5.11	90.0	0.08
03/01/2018	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	3.61	0.00	3.61	90.0	0.06
03/01/2018	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.55	0.00	2.55	90.0	0.04
03/01/2018	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.55	0.00	2.55	90.0	0.04
03/01/2018	ARMSTRONG, CARTER	COLLEGE 2033 FUND-529A	0.00	3.56	0.00	3.56	90.0	0.06
03/01/2018	CENTANNI, RICHARD	COLLEGE 2033 FUND-529A	0.00	6.30	0.00	6.30	90.0	0.10
03/01/2018	CAMARDO, SHANE	COLLEGE 2033 FUND-529A	0.00	0.54	0.00	0.54	90.0	0.01
02/15/2018	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.19	0.00	1.19	90.0	0.02
02/15/2018	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	16.12	0.00	16.12	90.0	0.26
02/15/2018	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	8.34	0.00	8.34	90.0	0.13
02/15/2018	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	3.01	0.00	3.01	90.0	0.05
02/15/2018	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.20	0.00	1.20	90.0	0.02
02/15/2018	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.33	0.00	0.33	90.0	0.01
02/15/2018	MEDIA ENTERPRISES	COLUMBIA LARGE CAP GROWTH A	0.00	0.37	0.00	0.37	90.0	0.01
02/15/2018	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	1.03	0.00	1.03	90.0	0.02
02/15/2018	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.61	0.00	2.61	90.0	0.04
02/15/2018	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.15	0.00	1.15	90.0	0.02
02/15/2018	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	12.52	0.00	12.52	90.0	0.20
02/15/2018	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.46	0.00	2.46	90.0	0.04
02/16/2018	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.47	0.00	0.47	90.0	0.01
02/16/2018	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.62	0.00	0.62	90.0	0.01
02/15/2018	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.69	0.00	3.69	90.0	0.06
02/15/2018	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	64.09	0.00	64.09	90.0	1.03
02/14/2018	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.81	0.00	0.81	90.0	0.01
02/16/2018	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.13	0.00	1.13	90.0	0.02
02/16/2018	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.39	0.00	0.39	90.0	0.01
02/23/2018	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.98	0.00	7.98	90.0	0.13
02/20/2018	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	9.08	0.00	9.08	90.0	0.15
02/20/2018	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.56	0.00	1.56	90.0	0.02
02/20/2018	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	6.21	0.00	6.21	90.0	0.10
02/20/2018	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.33	0.00	2.33	90.0	0.04
02/22/2018	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	14.26	0.00	14.26	90.0	0.23

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
02/22/2018	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	3.26	0.00	3.26	90.0	0.05
02/22/2018	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.19	0.00	1.19	90.0	0.02
02/22/2018	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.58	0.00	5.58	90.0	0.09
02/22/2018	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.82	0.00	0.82	90.0	0.01
02/22/2018	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.61	0.00	0.61	90.0	0.01
02/22/2018	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.86	0.00	2.86	90.0	0.05
02/16/2018	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	17.95	0.00	17.95	90.0	0.29
02/16/2018	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.71	0.00	0.71	90.0	0.01
01/31/2018	LINSKY, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	54,833.28	46.57	0.00	46.57	90.0	0.75
02/16/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.72	0.00	0.72	90.0	0.01
02/16/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.15	0.00	2.15	90.0	0.03
02/16/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.92	0.00	4.92	90.0	0.08
02/16/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.75	0.00	14.75	90.0	0.24
02/16/2018	HASTINGS TURST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.29	0.00	3.29	90.0	0.05
02/16/2018	HASTINGS TURST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.87	0.00	9.87	90.0	0.16
02/16/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.51	0.00	6.51	90.0	0.10
02/16/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	19.52	0.00	19.52	90.0	0.31
02/16/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.36	0.00	2.36	90.0	0.04
02/16/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.07	0.00	7.07	90.0	0.11
02/16/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.03	0.00	15.03	90.0	0.24
02/16/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.09	0.00	45.09	90.0	0.72
02/16/2018	BACHMAN, ALLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.38	0.00	3.38	90.0	0.05
02/16/2018	BACHMAN, ALLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.13	0.00	10.13	90.0	0.16
02/16/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.52	0.00	4.52	90.0	0.07
02/16/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.55	0.00	13.55	90.0	0.22
02/16/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.55	0.00	45.55	90.0	0.73
02/16/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	136.65	0.00	136.65	90.0	2.19
02/16/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.38	0.00	14.38	90.0	0.23
02/16/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	43.13	0.00	43.13	90.0	0.69
02/16/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.49	0.00	7.49	90.0	0.12
02/16/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	22.48	0.00	22.48	90.0	0.36
02/16/2018	FARBMAN TRUST, DANIEL & SANDRAGRIFFIN	INST'L ACCESS R E FUND C	0.00	5.12	0.00	5.12	90.0	0.08
02/16/2018	FARBMAN TRUST, DANIEL & SANDRAGRIFFIN	INST'L ACCESS R E FUND C	0.00	15.37	0.00	15.37	90.0	0.25

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
02/16/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.43	0.00	4.43	90.0	0.07
02/16/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.26	0.00	6.26	90.0	0.10
02/16/2018	PERETTI, FRANCES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.22	0.00	4.22	90.0	0.07
02/16/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.26	0.00	5.26	90.0	0.08
02/21/2018	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.55	0.00	12.55	90.0	0.20
02/21/2018	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.26	0.00	4.26	90.0	0.07
02/20/2018	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	4.13	0.00	4.13	90.0	0.07
02/20/2018	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	93.99	0.00	93.99	90.0	1.50
02/20/2018	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	45.21	0.00	45.21	90.0	0.72
02/20/2018	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	40.61	0.00	40.61	90.0	0.65
02/20/2018	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	493.97	0.00	493.97	90.0	7.90
02/20/2018	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	12.43	0.00	12.43	90.0	0.20
02/20/2018	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	8.10	0.00	8.10	90.0	0.13
02/20/2018	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	8.63	0.00	8.63	90.0	0.14
02/20/2018	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	36.55	0.00	36.55	90.0	0.58
02/20/2018	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	34.12	0.00	34.12	90.0	0.55
02/20/2018	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	12.43	0.00	12.43	90.0	0.20
02/20/2018	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	26.14	0.00	26.14	90.0	0.42
02/20/2018	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	11.70	0.00	11.70	90.0	0.19
02/20/2018	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.98	0.00	2.98	90.0	0.05
02/20/2018	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.98	0.00	2.98	90.0	0.05
02/20/2018	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.47	0.00	4.47	90.0	0.07
02/20/2018	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	82.21	0.00	82.21	90.0	1.32
02/20/2018	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	51.27	0.00	51.27	90.0	0.82
02/20/2018	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	23.59	0.00	23.59	90.0	0.38
02/20/2018	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	16.21	0.00	16.21	90.0	0.26
02/20/2018	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	23.60	0.00	23.60	90.0	0.38
02/20/2018	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	2.53	0.00	2.53	90.0	0.04
02/20/2018	WILSON, KEVIN	HARTFORD DIVIDEND & GROWTH C	0.00	0.50	0.00	0.50	90.0	0.01
02/20/2018	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	48.63	0.00	48.63	90.0	0.78
02/20/2018	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	21.77	0.00	21.77	90.0	0.35
02/20/2018	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	11.11	0.00	11.11	90.0	0.18
02/20/2018	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.31	0.00	4.31	90.0	0.07

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02/27/2018	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.15	0.00	1.15	90.0	0.02
03/05/2018	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.34
02/28/2018	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	278,184.72	231.82	0.00	231.82	90.0	3.71
02/28/2018	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	287,043.23	239.20	0.00	239.20	90.0	3.83
02/28/2018	NANI, JOSEPH	JNL PERSPECTIVE L	412,797.89	344.00	0.00	344.00	90.0	5.50
02/28/2018	FELDNER, BARBARA	JNL PERSPECTIVE L	494,732.38	412.28	0.00	412.28	90.0	6.60
02/28/2018	STERR, CHRISTOPHER	JNL PERSPECTIVE L	110,903.50	92.42	0.00	92.42	90.0	1.48
02/28/2018	OTT, CAROL	JOHN HANCOCK VENTURE	13,998.30	2.92	0.00	2.92	90.0	0.05
02/28/2018	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	199,915.29	166.60	0.00	166.60	90.0	2.67
02/21/2018	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.68	0.00	0.68	90.0	0.01
03/01/2018	PISANI, CARLA	LINCOLN BENEFIT LIFE	541.00	25.70	0.00	25.70	90.0	0.41
03/01/2018	PISANI, NICHOLAS	LINCOLN BENEFIT LIFE	250.00	10.00	0.00	10.00	90.0	0.16
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	3.14	0.00	3.14	90.0	0.05
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.20	0.00	2.20	90.0	0.04
02/22/2018	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.92	0.00	2.92	90.0	0.05
02/22/2018	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	8.25	0.00	8.25	90.0	0.13
02/22/2018	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.65	0.00	2.65	90.0	0.04
02/22/2018	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	121.52	0.00	121.52	90.0	1.94
02/22/2018	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	124.50	0.00	124.50	90.0	1.99
02/22/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	29.92	0.00	29.92	90.0	0.48
02/22/2018	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	20.62	0.00	20.62	90.0	0.33
02/22/2018	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.99	0.00	0.99	90.0	0.02
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	7.03	0.00	7.03	90.0	0.11
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.89	0.00	0.89	90.0	0.01
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	8.80	0.00	8.80	90.0	0.14
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.96	0.00	1.96	90.0	0.03
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.27	0.00	1.27	90.0	0.02
02/22/2018	APPLEBAUM, RHEA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.73	0.00	3.73	90.0	0.06
03/08/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY A	1,008.86	50.44	22.00	28.44	90.0	0.46
02/22/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	11.49	0.00	11.49	90.0	0.18
02/22/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.25	0.00	6.25	90.0	0.10
02/22/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.52	0.00	6.52	90.0	0.10
02/22/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.30	0.00	15.30	90.0	0.24

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<u> BROKER: MARC, L134 LINSKY #263 </u>								
02/22/2018	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	3.09	0.00	3.09	90.0	0.05
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.40	0.00	3.40	90.0	0.05
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.50	0.00	0.50	90.0	0.01
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	4.07	0.00	4.07	90.0	0.07
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.74	0.00	0.74	90.0	0.01
02/22/2018	APPLEBAUM, RHEA	LORD ABBETT SHORT DURATION INCOME A	0.00	2.12	0.00	2.12	90.0	0.03
02/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.51	0.00	1.51	90.0	0.02
02/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.48	0.00	20.48	90.0	0.33
02/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.71	0.00	1.71	90.0	0.03
02/22/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.77	0.00	2.77	90.0	0.04
02/22/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.12	0.00	2.12	90.0	0.03
02/22/2018	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.25	0.00	11.25	90.0	0.18
02/22/2018	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	99.39	0.00	99.39	90.0	1.59
02/22/2018	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.36	0.00	11.36	90.0	0.18
02/22/2018	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	4.93	0.00	4.93	90.0	0.08
02/22/2018	BROSIOUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.44	0.00	6.44	90.0	0.10
02/22/2018	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	7.17	0.00	7.17	90.0	0.11
02/22/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	9.24	0.00	9.24	90.0	0.15
02/22/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.68	0.00	4.68	90.0	0.07
02/22/2018	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	5.89	0.00	5.89	90.0	0.09
02/22/2018	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	11.32	0.00	11.32	90.0	0.18
02/22/2018	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.16	0.00	3.16	90.0	0.05
02/22/2018	FARBMAN TRUST, DANIEL & SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.16	0.00	2.16	90.0	0.03
02/22/2018	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.50	0.00	0.50	90.0	0.01
02/22/2018	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.25	0.00	1.25	90.0	0.02
02/22/2018	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.79	0.00	0.79	90.0	0.01
02/13/2018	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	7.21	0.00	7.21	90.0	0.12
02/13/2018	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	17.98	0.00	17.98	90.0	0.29
02/13/2018	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	8.20	0.00	8.20	90.0	0.13
02/13/2018	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	17.38	0.00	17.38	90.0	0.28
02/13/2018	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	16.98	0.00	16.98	90.0	0.27
02/13/2018	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.74	0.00	0.74	90.0	0.01
02/13/2018	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	10.19	0.00	10.19	90.0	0.16

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC, L134 LINSKY #263</u>								
02/13/2018	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	24.38	0.00	24.38	90.0	0.39
02/13/2018	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	9.05	0.00	9.05	90.0	0.14
02/13/2018	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	21.33	0.00	21.33	90.0	0.34
02/13/2018	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	6.19	0.00	6.19	90.0	0.10
02/13/2018	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	6.52	0.00	6.52	90.0	0.10
02/13/2018	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	29.94	0.00	29.94	90.0	0.48
02/13/2018	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	12.93	0.00	12.93	90.0	0.21
02/13/2018	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	10.29	0.00	10.29	90.0	0.16
02/13/2018	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	16.01	0.00	16.01	90.0	0.26
02/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.35	0.00	1.35	90.0	0.02
02/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.29	0.00	1.29	90.0	0.02
02/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.44	0.00	0.44	90.0	0.01
02/22/2018	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.67	0.00	0.67	90.0	0.01
02/22/2018	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.62	0.00	2.62	90.0	0.04
02/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.38	0.00	3.38	90.0	0.05
02/22/2018	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	1.05	0.00	1.05	90.0	0.02
02/22/2018	PERETTI, DANIEL	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	42.87	0.00	42.87	90.0	0.69
02/22/2018	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.70	0.00	2.70	90.0	0.04
02/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.83	0.00	1.83	90.0	0.03
02/21/2018	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	269.98	0.00	269.98	90.0	4.32
02/12/2018	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	219.27	0.00	219.27	90.0	3.51
02/12/2018	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	55.71	0.00	55.71	90.0	0.89
02/27/2018	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	154.13	0.00	154.13	90.0	2.47
02/21/2018	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	15.09	0.00	15.09	90.0	0.24
02/21/2018	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	3.37	0.00	3.37	90.0	0.05
02/22/2018	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	11.39	0.00	11.39	90.0	0.18
02/22/2018	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.77	0.00	1.77	90.0	0.03
02/22/2018	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.38	0.00	0.38	90.0	0.01
02/22/2018	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.79	0.00	11.79	90.0	0.19
02/28/2018	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.68	0.00	1.68	90.0	0.03
02/24/2018	GALERMAN, ALAN	TRANSAMERICA LIBERTY	131,559.22	109.63	0.00	109.63	90.0	1.75
02/23/2018	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.54	0.00	0.54	90.0	0.01
02/28/2018	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	6.61	0.00	6.61	90.0	0.11

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
03/01/2018	KLEIN, CONSTANCE	WELLS FARGO & CO NEW COM	-18,694.20	30.00	22.50	7.50	90.0	0.12
02/16/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	10.63	0.00	10.63	90.0	0.17
02/16/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	23.64	0.00	23.64	90.0	0.38
02/16/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	11.33	0.00	11.33	90.0	0.18
02/16/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	19.51	0.00	19.51	90.0	0.31
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	7.01	0.00	7.01	90.0	0.11
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.59	0.00	0.59	90.0	0.01
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.57	0.00	0.57	90.0	0.01
* MARC, L134 LINSKY SUBTOTAL *			\$1,967,598.47	\$6,150.24	\$44.50	\$6,105.74		\$97.72
<u> BROKER: DANIEL, C142 CHARLEY #338 </u>								
02/28/2018	PREMIER IMMEDIATE ME	VOYA RETIREMENT & ANNUITY	10,822.33	27.77	0.00	27.77	90.0	0.44
* DANIEL, C142 CHARLEY SUBTOTAL *			\$10,822.33	\$27.77	\$0.00	\$27.77		\$0.44
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,981,070.80	\$6,239.26	\$44.50	\$6,194.76		\$99.14

 ADJUSTMENTS

03/15/2018	*: BRANCH OVERRIDES PAYABLE TO RAUP	0.00	-56.22
03/15/2018	*AI INSIGHT: AI INSIGHT - PECHA	0.00	-1.40
03/15/2018	*AI INSIGHT: AI INSIGHT - T THOMPSON	0.00	-3.50
03/15/2018	*EO INS: CURRENT MONTH CIERELLI	0.00	-300.00
03/15/2018	*EO INS: CURRENT MONTH INS	0.00	-60.00
03/15/2018	*EO INS: CURRENT MONTH INS	0.00	-138.00
03/15/2018	*EO INS: CURRENT MONTH-T THOMPSON	0.00	-150.00
03/15/2018	*EO INS: E&O WEATHERBIE	0.00	-150.00
03/15/2018	*FEDERAL EXPRESS: 6-095-43332 02/21	0.00	-12.03
03/15/2018	*FINRA: S63 EXAM - MILBERY	0.00	-135.00
03/15/2018	*LASER APP: LASER APP - PECHA	0.00	-3.60
03/15/2018	*MARKETING LIBRARY: MARKETING LIBRA	0.00	-45.00
03/15/2018	*MISC: C FULMER, A LEEDS	0.00	-540.00
03/15/2018	*MISC: MISC SARAH, FT L, 1031DST	0.00	-205.00
03/15/2018	*MISC: OVERNIGHT FEES	0.00	-40.00
03/15/2018	*MISC: ROY AFLAC 1031DST	0.00	-1,126.00

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<u>ADJUSTMENTS</u>								
03/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.23
03/15/2018		*PERSHING: MANDITORY REORG - JOHN SO	0.00					-2.50
03/15/2018		*PERSHING: PERSHING MARKUP	0.00					60.00
03/15/2018		*PERSHING: PERSHING MARKUP	0.00					55.20
03/15/2018		*PERSHING: MANDITORY REORG - MARDUL	0.00					-2.50
03/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES A	0.00					99.97
03/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES A	0.00					6.74
03/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES A	0.00					0.47
03/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES A	0.00					5.76
03/15/2018		*TECH: ADMIN FULMER	0.00					-25.00
03/15/2018		*TECH: ADMIN-AMY LEEDS	0.00					-33.00
03/15/2018		*TECH: BASIC - PECHA	0.00					-36.00
03/15/2018		*TECH: CURRENT MONTH TECH	0.00					-126.50
03/15/2018		*TECHNOLOGY: ADMIN - SARAH BURNS	0.00					-50.00
03/15/2018		*TECHNOLOGY: BASIC - T THOMPSON	0.00					-90.00
03/15/2018		*TECHNOLOGY: CURRENT MONTH CIRELLI	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-3,378.34

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BROKER COMMISSION TOTALS

Broker Transactions	\$231.52
Split Transactions	\$5,299.93
Override Transactions	\$99.14
Adjustments	\$-3,378.34
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,252.25
Year-to-date Gross	\$120,256.58
Year-to-date Earnings	\$116,037.00