

**COMMISSION STATEMENT****August 15, 2018**

DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PFLE
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: G3G
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^07/16/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.24	0.00	0.24	100.0	0.00
^07/16/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.60	0.00	0.60	100.0	0.00
^07/19/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.74	0.00	1.74	100.0	0.00
^07/19/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.57	0.00	1.57	100.0	0.00
^07/19/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	8.42	0.00	8.42	100.0	0.00
^07/19/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	7.47	0.00	7.47	100.0	0.00
^07/19/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.61	0.00	0.61	100.0	0.00
^07/27/2018	PFLEGER, CLIFFORD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.41	0.00	0.41	100.0	0.00
^07/13/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.03	0.00	1.03	100.0	0.00
^07/13/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.50	0.00	4.50	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$26.59	\$0.00	\$26.59		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
^07/31/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	259,079.78	107.70	0.00	107.70	100.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$259,079.78	\$107.70	\$0.00	\$107.70		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$259,079.78	\$134.29	\$0.00	\$134.29		\$0.00
<u>ADJUSTMENTS</u>								
08/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.01
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-0.01

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-0.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00