



Concorde Investment Services, LLC

05/11/2017
Page No. 1

COMMISSION STATEMENT

May 15, 2017

BRENT LEE
13423 Kings View Lane

Williston, ND 58801-

BROKER #: L137
BRANCH: TRUWEALTH FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2017	KUHN, KENNETH	JNL PERSPECTIVE L	245,908.87	204.92	0.00	204.92	90.0	184.43
04/30/2017	KUHN, KRISTINE	JNL PERSPECTIVE L	132,599.79	110.50	0.00	110.50	90.0	99.45
04/30/2017	FREIH, DIANE	JNL PERSPECTIVE L	107,354.99	89.46	0.00	89.46	90.0	80.51
04/30/2017	KEMPER, SHERRY	JNL PERSPECTIVE L	211,558.49	176.30	0.00	176.30	90.0	158.67
04/30/2017	RETZLAFF, SANDRA	JNL PERSPECTIVE L	36,629.52	30.52	0.00	30.52	90.0	27.47
04/30/2017	GRANT, GREGORY	JNL PERSPECTIVE L	91,788.55	76.49	0.00	76.49	90.0	68.84
04/30/2017	MONETTE, RONALD	JNL PERSPECTIVE L	58,455.69	48.71	0.00	48.71	90.0	43.84
04/30/2017	LIESENER, MARTY	JNL PERSPECTIVE L	42,191.20	35.16	0.00	35.16	90.0	31.64
04/30/2017	BARTINGALE, JAMES	JNL PERSPECTIVE L	60,411.24	50.34	0.00	50.34	90.0	45.31
04/30/2017	MONETTE, RONALD	JNL PERSPECTIVE L	198,560.02	165.47	0.00	165.47	90.0	148.92
* VARIABLE ANNUITIES SUBTOTAL *			\$1,185,458.36	\$987.87	\$0.00	\$987.87		\$889.08
*** BROKER TRANSACTIONS TOTAL ***			\$1,185,458.36	\$987.87	\$0.00	\$987.87		\$889.08

SPLIT TRANSACTIONS

<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
05/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	180.00	3.37	0.00	3.37	90.0	3.03
05/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	225.00	4.22	0.00	4.22	90.0	3.80
05/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	280.00	5.25	0.00	5.25	90.0	4.73
05/02/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	160.00	3.00	0.00	3.00	90.0	2.70
04/14/2017	HELLER, VICKIE	HPI FUND V	34,500.00	1,035.00	0.00	1,035.00	90.0	931.50
04/14/2017	HELLER, VICKIE	SEALEY STRATEGIC EQUITY PARTNERS LP	68,000.00	2,380.00	0.00	2,380.00	90.0	2,142.00
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$103,345.00	\$3,430.84	\$0.00	\$3,430.84		\$3,087.76

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
04/13/2017	LUCENTI, CHRISTINA	JH LIFESTYLE BALANCED PF C	4,675.00	5.14	0.00	5.14	90.0	4.63
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$4,675.00	\$5.14	\$0.00	\$5.14		\$4.63
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
05/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	111.00	1.22	0.00	1.22	90.0	1.10
05/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	58.00	0.64	0.00	0.64	90.0	0.58
05/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	73.00	0.81	0.00	0.81	90.0	0.73
05/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	74.00	0.81	0.00	0.81	90.0	0.73
05/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	60.00	0.66	0.00	0.66	90.0	0.59
05/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.55	0.00	0.55	90.0	0.50
05/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.55	0.00	0.55	90.0	0.50
05/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	111.00	1.22	0.00	1.22	90.0	1.10
05/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	65.00	0.71	0.00	0.71	90.0	0.64
05/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	57.00	0.63	0.00	0.63	90.0	0.57
05/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	72.00	0.79	0.00	0.79	90.0	0.71
05/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	74.00	0.81	0.00	0.81	90.0	0.73
05/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	65.00	0.71	0.00	0.71	90.0	0.64
05/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.55	0.00	0.55	90.0	0.50
05/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.55	0.00	0.55	90.0	0.50
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$1,020.00	\$11.21	\$0.00	\$11.21		\$10.12
*** SPLIT TRANSACTIONS TOTAL ***			\$109,040.00	\$3,447.19	\$0.00	\$3,447.19		\$3,102.51

ADJUSTMENTS

05/15/2017		*CONCIERGE SVC: 189759 & 190583 AAF	0.00					-40.00
05/15/2017		*CONCIERGE SVC: 189781 & 190081 KAS	0.00					-40.00
05/15/2017		*CONCIERGE SVC: 190055,58,61,88,95	0.00					-125.00
05/15/2017		*CONCIERGE SVC: 191111 LARAMIE	0.00					-5.50
05/15/2017		*CONCIERGE SVC: CONCIERGE SVC	0.00					-25.00
05/15/2017		*EO INS: CURRENT MONTH E&O	0.00					-300.00
05/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-2.25
05/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-3.19
05/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-23.71

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<u>ADJUSTMENTS</u>								
05/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-39.00
05/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-20.76
05/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.78
05/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.17
05/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-4.23
05/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-904.59

BROKER COMMISSION TOTALS

Broker Transactions	\$889.08
Split Transactions	\$3,102.51
Override Transactions	\$0.00
Adjustments	\$-904.59
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,087.00
Year-to-date Gross	\$36,177.43
Year-to-date Earnings	\$32,553.60

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