



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 15, 2017

06/13/2017
Page No. 1

JOHN BEAN
6641 N. High Street
Suite 203
Worthington, OH 43085

BROKER #: B117 Terminated 05/22/2015
BRANCH: THE FINANCIAL SOLUTI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 05/15/2017 | HODGE, WENDY | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.32 | 0.00 | 0.32 | 0.0 | 0.00 |
| 05/15/2017 | DANIEL, GREGORY | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.07 | 0.00 | 0.07 | 0.0 | 0.00 |
| 05/15/2017 | DANIEL, MILLER | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.07 | 0.00 | 0.07 | 0.0 | 0.00 |
| 05/15/2017 | DANIEL, GREGORY | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.07 | 0.00 | 0.07 | 0.0 | 0.00 |
| 05/15/2017 | HODGE, WENDY | AM FUNDS CAPITAL WORLD BOND A | 0.00 | 0.26 | 0.00 | 0.26 | 0.0 | 0.00 |
| 05/15/2017 | DANIEL, GREGORY | AM FUNDS CAPITAL WORLD BOND A | 0.00 | 0.05 | 0.00 | 0.05 | 0.0 | 0.00 |
| 05/15/2017 | DANIEL, MILLER | AM FUNDS CAPITAL WORLD BOND A | 0.00 | 0.05 | 0.00 | 0.05 | 0.0 | 0.00 |
| 05/15/2017 | DANIEL, GREGORY | AM FUNDS CAPITAL WORLD BOND A | 0.00 | 0.05 | 0.00 | 0.05 | 0.0 | 0.00 |
| 05/15/2017 | HODGE, WENDY | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.73 | 0.00 | 0.73 | 0.0 | 0.00 |
| 05/15/2017 | DANIEL, GREGORY | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.15 | 0.00 | 0.15 | 0.0 | 0.00 |
| 05/15/2017 | DANIEL, MILLER | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.15 | 0.00 | 0.15 | 0.0 | 0.00 |
| 05/15/2017 | DANIEL, GREGORY | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.15 | 0.00 | 0.15 | 0.0 | 0.00 |
| 05/19/2017 | HASENAUER, CAROL | CALAMOS EVOLVING WORLD GROWTH A | 0.00 | 0.19 | 0.00 | 0.19 | 0.0 | 0.00 |
| 05/19/2017 | HASENAUER, CAROL | CALAMOS HIGH INCOME A | 0.00 | 0.12 | 0.00 | 0.12 | 0.0 | 0.00 |
| 05/19/2017 | HODGE, WENDY | CALAMOS HIGH INCOME A | 0.00 | 0.17 | 0.00 | 0.17 | 0.0 | 0.00 |
| 05/18/2017 | KING, TROY | CALVERT EQUITY PORTFOLIO FUND CLASS | 0.00 | 0.61 | 0.00 | 0.61 | 0.0 | 0.00 |
| 05/18/2017 | KING, JODY | CALVERT EQUITY PORTFOLIO FUND CLASS | 0.00 | 0.52 | 0.00 | 0.52 | 0.0 | 0.00 |
| 05/18/2017 | KING, TROY | CALVERT INCOME FUND CLASS A | 0.00 | 0.44 | 0.00 | 0.44 | 0.0 | 0.00 |
| 05/18/2017 | KING, JODY | CALVERT INCOME FUND CLASS A | 0.00 | 0.41 | 0.00 | 0.41 | 0.0 | 0.00 |
| 05/18/2017 | KING, JODY | CALVERT INTERNATIONAL EQUITY FUND C | 0.00 | 0.08 | 0.00 | 0.08 | 0.0 | 0.00 |
| 05/18/2017 | KING, TROY | CALVERT SMALL CAP FUND CLASS A | 0.00 | 0.30 | 0.00 | 0.30 | 0.0 | 0.00 |
| 05/18/2017 | KING, JODY | CALVERT SMALL CAP FUND CLASS A | 0.00 | 0.14 | 0.00 | 0.14 | 0.0 | 0.00 |
| 05/11/2017 | HASENAUER, CAROL | FRANKLIN INCOME A | 0.00 | 0.08 | 0.00 | 0.08 | 0.0 | 0.00 |
| 05/22/2017 | THOMAS, MARILYN | OPPENHEIMER GLOBAL STRATEGIC INC A | 0.00 | 1.01 | 0.00 | 1.01 | 0.0 | 0.00 |
| 05/22/2017 | THOMAS, MARILYN | OPPENHEIMER MAIN STREET A | 0.00 | 4.70 | 0.00 | 4.70 | 0.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$10.89 | \$0.00 | \$10.89 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|

SPLIT TRANSACTIONS

| | | | | | |
|-----------------------------------|--------|---------|--------|---------|--------|
| *** BROKER TRANSACTIONS TOTAL *** | \$0.00 | \$10.89 | \$0.00 | \$10.89 | \$0.00 |
|-----------------------------------|--------|---------|--------|---------|--------|

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$2,761.51 |
| Year-to-date Earnings | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed