



COMMISSION STATEMENT

January 01, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/28/2017	***-**-078I - PELLETIER, ACCOUNT #: 7200000132	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	458.33	4.58	0.00	4.58	80.0	3.66
12/28/2017	***-**-90SI - MACARON, SHADY ACCOUNT #: 7200000120	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	70.14	0.00	70.14	80.0	56.11
12/28/2017	***-**-187I - HENEBERY, KEVIN ACCOUNT #: 7200000440	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	31.98	0.00	31.98	80.0	25.58
12/28/2017	***-**-027I - ADAMAITIS, ACCOUNT #: 7200011626	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	9.26	0.00	9.26	80.0	7.41
12/28/2017	***-**-788I - LIPEIKA, RONALD ACCOUNT #: 7200016493	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	45.34	0.00	45.34	80.0	36.27
12/28/2017	***-**-118I - MORRO, CHARLOTTE ACCOUNT #: 7200021809	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	8.08	0.00	8.08	80.0	6.46
* MUTUAL FUNDS SUBTOTAL *			\$458.33	\$169.38	\$0.00	\$169.38		\$135.49
<u>PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES</u>								
12/29/2017	***-**-963I - MORRO, JAMES	AMERICAN LANDMARK 5 BUY 1 Units @0.0000	0.00	3,750.00	0.00	3,750.00	100.0	3,750.00
* EQUITY INDEXED ANNUITIES SUBTOTAL *			\$0.00	\$3,750.00	\$0.00	\$3,750.00		\$3,750.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/26/2017	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	CAPITAL APPRECIATION A BUY 1 Units @0.0000	0.00	3.99	0.00	3.99	80.0	3.19
12/26/2017	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	6.37	0.00	6.37	80.0	5.10
12/26/2017	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	GLOBAL FUND A BUY 1 Units @0.0000	0.00	4.53	0.00	4.53	80.0	3.62
12/26/2017	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	INTERNATIONAL BOND A BUY 1 Units @0.0000	0.00	2.74	0.00	2.74	80.0	2.19
12/26/2017	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	MASSACHUSETTS INVESTORS TRUST-A BUY 1 Units @0.0000	0.00	6.31	0.00	6.31	80.0	5.05
12/26/2017	***-**-552I - FAMIGLIETTI, ACCOUNT #: LSR011127	MASSACHUSETTS INVESTORS TRUST-A BUY 1 Units @0.0000	0.00	6.41	0.00	6.41	80.0	5.13



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
12/26/2017	***-**-968X - GAW, LELAND	MFS LIMITED MATURITY FUND-A	0.00	1.65	0.00	1.65	80.0	1.32
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
12/26/2017	***-**-552I - FAMIGLIETTI,	MFS RESEARCH BOND FUND CLASS A	0.00	1.48	0.00	1.48	80.0	1.18
	ACCOUNT #: LSR011127	BUY 1 Units @0.0000						
12/26/2017	***-**-968X - GAW, LELAND	MFS STRATEGIC INCOME FUND-A	0.00	4.57	0.00	4.57	80.0	3.66
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
12/26/2017	***-**-552X - FAMIGLIETTI,	MFS STRATEGIC INCOME FUND-A	0.00	5.01	0.00	5.01	80.0	4.01
	ACCOUNT #: LSR010780	BUY 1 Units @0.0000						
12/26/2017	***-**-862I - CARBONE, WILLIAM	OPPENHEIMER CORE BOND FUND A	0.00	5.02	0.00	5.02	80.0	4.02
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
12/26/2017	***-**-862I - CARBONE, WILLIAM	OPPENHEIMER U.S. GOVT TR CLASS A	0.00	4.88	0.00	4.88	80.0	3.90
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$52.96	\$0.00	\$52.96		\$42.37
	*** BROKER TRANSACTIONS TOTAL ***		\$458.33	\$3,972.34	\$0.00	\$3,972.34		\$3,927.86

BROKER COMMISSION TOTALS

Broker Transactions	\$3,927.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$3,927.86
Year-to-date Earnings	\$3,927.86