

**COMMISSION STATEMENT****July 31, 2018**DAVID, K123 KERN
4321 Flagstaff CoveBROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------------|-------------------|-----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | |
| 07/26/2018 | PICCIANO, DEAN | PACIFIC FINANCIAL | 127,448.00 | 78.57 | 0.00 | 78.57 | 90.0 | 70.71 |
| 07/12/2018 | FREISTROFFER, HENRY | TD AMERITRADE | 761,596.80 | 1,247.77 | 0.00 | 1,247.77 | 90.0 | 1,122.99 |
| 07/12/2018 | FREISTROFFER, HENRY | TD AMERITRADE | 40,579.16 | 66.48 | 0.00 | 66.48 | 90.0 | 59.83 |
| 07/12/2018 | HURLEY, JOHN | TD AMERITRADE | 83,526.24 | 136.85 | 0.00 | 136.85 | 90.0 | 123.17 |
| 07/12/2018 | HURLEY, JOHN | TD AMERITRADE | 83,583.80 | 136.94 | 0.00 | 136.94 | 90.0 | 123.25 |
| 07/12/2018 | WEIS, KIMBERLY | TD AMERITRADE | 83,449.05 | 136.72 | 0.00 | 136.72 | 90.0 | 123.05 |
| 07/12/2018 | WEIS, KIMBERLY | TD AMERITRADE | 83,586.44 | 136.94 | 0.00 | 136.94 | 90.0 | 123.25 |
| 07/12/2018 | FREISTROFFER, MERIDITH | TD AMERITRADE | 25,484.87 | 41.75 | 0.00 | 41.75 | 90.0 | 37.58 |
| 07/12/2018 | HURLEY, JOHN | TD AMERITRADE | 179,843.39 | 294.65 | 0.00 | 294.65 | 90.0 | 265.19 |
| 07/12/2018 | DAVIS, GARY | TD AMERITRADE | 50,000.49 | 81.92 | 0.00 | 81.92 | 90.0 | 73.73 |
| 07/17/2018 | RIEBE, ALAN | TD AMERITRADE | 0.00 | 534.35 | 0.00 | 534.35 | 90.0 | 480.92 |
| * RIA SUBTOTAL * | | | \$1,519,098.24 | \$2,892.94 | \$0.00 | \$2,892.94 | | \$2,603.67 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$1,519,098.24 | \$2,892.94 | \$0.00 | \$2,892.94 | | \$2,603.67 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$2,603.67 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$37.69 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|------------|
| Check Amount | \$2,641.36 |
| Year-to-date Gross | \$7,119.79 |
| Year-to-date Earnings | \$6,407.85 |