

**COMMISSION STATEMENT****October 15, 2017**JENNIFER DONAIS
78955 Via TriesteBROKER #: D134
BRANCH: TRUWEALTH FINANCIAL

LaQuinta, CA 92253-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/12/2017	SINNESS, LOYE	HARTFORD CAPITAL APPRECIATION A	0.00	1.61	0.00	1.61	90.0	1.45
09/12/2017	SINNESS, LOYE	MFS VALUE A	0.00	0.80	0.00	0.80	90.0	0.72
09/12/2017	SINNESS, LOYE	PUTNAM MULTI CAP GROWTH A	0.00	2.86	0.00	2.86	90.0	2.57
09/12/2017	DONAI, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	3.50	0.00	3.50	90.0	3.15
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$8.77	\$0.00	\$8.77		\$7.89
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/30/2017	LARAMIE, CECILIA	JOHN HANCOCK VENTURE	74,162.44	15.45	0.00	15.45	90.0	13.91
* VARIABLE ANNUITIES SUBTOTAL *			\$74,162.44	\$15.45	\$0.00	\$15.45		\$13.91
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/18/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.62	0.00	0.62	90.0	0.56
09/18/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.96	0.00	2.96	90.0	2.66
09/18/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.33	0.00	0.33	90.0	0.30
09/18/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.04	0.00	3.04	90.0	2.74
09/18/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.81	0.00	2.81	90.0	2.53
09/18/2017	DONAI, JEREMY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.51	0.00	1.51	90.0	1.36
09/18/2017	DONAI, BRADLEY	AM FUNDS NEW PERSPECTIVE 529C	0.00	1.46	0.00	1.46	90.0	1.31
09/18/2017	DONAI, BRADLEY	AM FUNDS NEW PERSPECTIVE 529C	0.00	1.62	0.00	1.62	90.0	1.46
09/18/2017	DONAI, JEREMY	PRINCIPAL INVESTORS INCOME A	0.00	1.17	0.00	1.17	90.0	1.05
09/18/2017	DONAI, JEREMY	PRINCIPAL LARGE CAP S&P 500 INDEX FU	0.00	4.54	0.00	4.54	90.0	4.09
09/18/2017	DONAI, JEREMY	PRINCIPAL SMALLCAP FUND CLASS A	0.00	3.19	0.00	3.19	90.0	2.87
09/18/2017	DONAI, JEREMY	PRINICPAL MIDCAP BLEND A	0.00	2.65	0.00	2.65	90.0	2.39
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$25.90	\$0.00	\$25.90		\$23.32
*** BROKER TRANSACTIONS TOTAL ***			\$74,162.44	\$50.12	\$0.00	\$50.12		\$45.12

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
09/18/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.27	0.00	1.27	90.0	1.14
09/26/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.36	0.00	0.36	90.0	0.32
09/20/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.59	0.00	0.59	90.0	0.53
10/02/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	-1,000.00	0.00	0.00	0.00	90.0	0.00
09/18/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.09	0.00	0.09	90.0	0.08
09/18/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.81	0.00	0.81	90.0	0.73
09/18/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	3.97	0.00	3.97	90.0	3.57
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$-1,000.00	\$7.09	\$0.00	\$7.09		\$6.37
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
10/02/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	1.51	0.00	1.51	90.0	1.36
10/02/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	2.59	0.00	2.59	90.0	2.33
10/02/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	1.72	0.00	1.72	90.0	1.55
10/02/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	1.33	0.00	1.33	90.0	1.20
10/04/2017	HEEN, JEREMY	HPI FUND V	60,000.00	720.00	0.00	720.00	90.0	648.00
09/28/2017	HEEN, JEREMY	HPI REAL ESTATE FUND V LLC	60,000.00	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	SHOPOFF COMMERCAL GROWTH AND INCOME	60,000.00	0.00	0.00	0.00	90.0	0.00
10/04/2017	HEEN, JEREMY	SHOPOFF COMMERCIAL GRWTH & INC FD 3	60,000.00	840.00	0.00	840.00	90.0	756.00
09/01/2017	LEE-NORBY-DONAIS, VARIOUS-BBJ	VOYA 529 AGE 5-8 OPTION CL A	50.00	0.43	0.00	0.43	90.0	0.39
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$241,070.00	\$1,567.58	\$0.00	\$1,567.58		\$1,410.83
*** SPLIT TRANSACTIONS TOTAL ***			\$240,070.00	\$1,574.67	\$0.00	\$1,574.67		\$1,417.20

ADJUSTMENTS

10/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
10/15/2017		*CONCIERGE SVC: 199917,199942-HEEN	0.00					-20.00
10/15/2017		*CONCIERGE SVC: 199960,200666-HEEN	0.00					-20.00
10/15/2017		*CONCIERGE SVC: 200109-ALEXANDER	0.00					-39.00
10/15/2017		*CONCIERGE SVC: 200201-GANTVERG	0.00					-39.00
10/15/2017		*CONCIERGE SVC: 200676-HEEN	0.00					-10.00
10/15/2017		*CONCIERGE SVC: 200745,200748-KRING	0.00					-20.00
10/15/2017		*CONCIERGE SVC: 200910-MONETTE	0.00					-10.00
10/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00

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<u>ADJUSTMENTS</u>								
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-2.01
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.80
10/15/2017		*PERSHING: ETF PROSPECTUS	0.00					-3.00
10/15/2017		*RBC: CURRENT MONTH	0.00					-25.00
10/15/2017		*RBC: WRITE OFF-7A502924	0.00					-2.48
10/15/2017		*RBC: WRITE OFF-7A502925	0.00					-2.54
10/15/2017		*RBC: WRITE OFF-7A502936	0.00					-5.22
10/15/2017		*RBC: WRITE OFF-7A502948	0.00					-1.90
10/15/2017		*RBC: WRITE OFF-7A502950	0.00					-61.89
10/15/2017		*RBC: WRITE OFF-7A502960	0.00					-4.34
10/15/2017		*RBC: WRITE OFF-7A502961	0.00					-1.14
10/15/2017		*RBC: WRITE OFF-7A502964	0.00					-0.21
10/15/2017		*RBC: WRITE OFF-7A502967	0.00					-1.51
10/15/2017		*RBC: WRITE OFF-7A502985	0.00					-38.84
10/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-796.88

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BROKER COMMISSION TOTALS

Broker Transactions	\$45.12
Split Transactions	\$1,417.20
Override Transactions	\$0.00
Adjustments	\$-796.88
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$83.77
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$749.21
Year-to-date Gross	\$21,681.03
Year-to-date Earnings	\$18,843.17