

# Bill Payment Stub

Check Date:	5/7/2018
Check No.:	41487
Check Amount:	2,929.19

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: CHIP KLEINBROOK  
2817 S. MILFORD RD., STE 101  
HIGHLAND, MI 48357

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
4/30/2018	Bill	COMMISSIONS	3,049.19	3,049.19		3,049.19
5/1/2018	Credit	E&O	-120.00	-120.00		-120.00

# COMMISSION STATEMENT

April 30, 2018

CHIP KLEINBROOK-D037

BROKER #: D037

BRANCH: DMN

, TX

## COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>CLEARING TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
04/20/2018 368277889	MARTIN, THERESA	MF FIDELITY ADV ASSET MANAGER	B	-4,977.55	0.00	5.00	-5.00	80.0	-4.00
04/20/2018 429840911	MCLENNAN REV TRUST,	MF FIDELITY INTERNATIONAL	S	492.45	0.00	2.50	-2.50	80.0	-2.00
03/29/2018 646509508	ROUSSEYTTTEE, DAVE	MF PUTNAM ABSOLUTE RETURN 300 FD	S	227.45	2.25	2.50	-0.25	80.0	-0.20
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$-4,257.65</b>	<b>\$2.25</b>	<b>\$10.00</b>	<b>\$-7.75</b>		<b>\$-6.20</b>
<b>PRODUCT CATEGORY: STOCKS</b>									
04/13/2018 368329987	LIM, ENG	S SANDRIDGE PERMIAN TR	B	442.35	40.00	15.00	25.00	80.0	20.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$442.35</b>	<b>\$40.00</b>	<b>\$15.00</b>	<b>\$25.00</b>		<b>\$20.00</b>
<b>PRODUCT CATEGORY: MISCELLANEOUS</b>									
04/23/2018 a03587889	KLEINBROOK-D037,	MI MUTUAL FUND MISC FEE 368277889	S	0.00	20.00	0.00	20.00	80.0	16.00
<b>* MISCELLANEOUS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$20.00</b>	<b>\$0.00</b>	<b>\$20.00</b>		<b>\$16.00</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
04/09/2018 mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MULTI-ASSET	B	0.00	6.28	0.00	6.28	80.0	5.02
04/20/2018 mfc587889	KLEINBROOK 587889	MFT AB BALANCED WEALTH STRATEGY A	B	0.00	8.92	0.00	8.92	80.0	7.14
04/20/2018 mfc587889	KLEINBROOK 587889	MFT AB HIGH INCOME FD C	B	0.00	20.07	0.00	20.07	80.0	16.06
04/20/2018 mfc587889	KLEINBROOK 587889	MFT AB WEALTH PRES STRAT FD C	B	0.00	55.41	0.00	55.41	80.0	44.33
04/20/2018 mfc587889	KLEINBROOK 587889	MFT AIG FOCUS DIVIDEND STRAT FD C	B	0.00	31.38	0.00	31.38	80.0	25.10
04/20/2018 mfc587889	KLEINBROOK 587889	MFT AIG FOCUS DIVIDEND STRAT FD C	B	0.00	62.75	0.00	62.75	80.0	50.20
04/20/2018 mfc587889	KLEINBROOK 587889	MFT AIM DIVERSIFIED DIVIDEND FUND -	B	0.00	5.63	0.00	5.63	80.0	4.50
04/20/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.81	0.00	0.81	80.0	0.65
04/20/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.92	0.00	0.92	80.0	0.74
04/20/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.57	0.00	1.57	80.0	1.26
04/20/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	11.78	0.00	11.78	80.0	9.42
04/20/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.87	0.00	1.87	80.0	1.50
04/20/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	5.34	0.00	5.34	80.0	4.27
04/20/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	15.51	0.00	15.51	80.0	12.41
04/20/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	2.69	0.00	2.69	80.0	2.15
04/20/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	1.97	0.00	1.97	80.0	1.58
04/20/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	3.48	0.00	3.48	80.0	2.78
04/20/2018 mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	8.45	0.00	8.45	80.0	6.76

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
04/20/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	14.56	0.00	14.56	80.0	11.65
04/20/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	69.67	0.00	69.67	80.0	55.74
04/20/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	1.62	0.00	1.62	80.0	1.30
04/20/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANZ NFJ SMALL CAP VALUE FD	B	0.00	2.42	0.00	2.42	80.0	1.94
04/20/2018	mfc587889	KLEINBROOK 587889	MFT AMCAP FUND-A	B	0.00	1.28	0.00	1.28	80.0	1.02
04/20/2018	mfc587889	KLEINBROOK 587889	MFT AMERICAN MUTUAL FUND-A	B	0.00	8.04	0.00	8.04	80.0	6.43
04/20/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	15.43	0.00	15.43	80.0	12.34
04/20/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	30.86	0.00	30.86	80.0	24.69
04/20/2018	mfc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	2.52	0.00	2.52	80.0	2.02
04/20/2018	mfc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER-FI	B	0.00	5.44	0.00	5.44	80.0	4.35
04/20/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	1.19	0.00	1.19	80.0	0.95
04/20/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	2.45	0.00	2.45	80.0	1.96
04/20/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA THERMOSTAT FD A	B	0.00	6.97	0.00	6.97	80.0	5.58
04/20/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	4.39	0.00	4.39	80.0	3.51
04/20/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.78	0.00	5.78	80.0	4.62
04/20/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.79	0.00	5.79	80.0	4.63
04/20/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	6.57	0.00	6.57	80.0	5.26
04/20/2018	mfc587889	KLEINBROOK 587889	MFT EMERALD BANKING & FINANCE FD CL	B	0.00	35.49	0.00	35.49	80.0	28.39
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	24.53	0.00	24.53	80.0	19.62
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	31.97	0.00	31.97	80.0	25.58
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	51.56	0.00	51.56	80.0	41.25
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	14.39	0.00	14.39	80.0	11.51
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	36.66	0.00	36.66	80.0	29.33
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	6.03	0.00	6.03	80.0	4.82
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	1.06	0.00	1.06	80.0	0.85
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	6.33	0.00	6.33	80.0	5.06
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INC	B	0.00	19.23	0.00	19.23	80.0	15.38
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MUNI INCOME FD	B	0.00	2.14	0.00	2.14	80.0	1.71
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	3.52	0.00	3.52	80.0	2.82
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	9.05	0.00	9.05	80.0	7.24
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.23	0.00	1.23	80.0	0.98
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	12.50	0.00	12.50	80.0	10.00
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	20.83	0.00	20.83	80.0	16.66
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	4.33	0.00	4.33	80.0	3.46
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	21.99	0.00	21.99	80.0	17.59
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	23.97	0.00	23.97	80.0	19.18
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	29.57	0.00	29.57	80.0	23.66
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2030	B	0.00	24.47	0.00	24.47	80.0	19.58
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	74.89	0.00	74.89	80.0	59.91
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	3.87	0.00	3.87	80.0	3.10
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	31.93	0.00	31.93	80.0	25.54
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	62.74	0.00	62.74	80.0	50.19
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.21	0.00	2.21	80.0	1.77
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	15.94	0.00	15.94	80.0	12.75

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04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	17.47	0.00	17.47	80.0	13.98
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	12.26	0.00	12.26	80.0	9.81
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	17.81	0.00	17.81	80.0	14.25
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	24.51	0.00	24.51	80.0	19.61
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	29.45	0.00	29.45	80.0	23.56
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	5.39	0.00	5.39	80.0	4.31
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	16.90	0.00	16.90	80.0	13.52
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV GROWTH & INCOME CL	B	0.00	3.89	0.00	3.89	80.0	3.11
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	15.69	0.00	15.69	80.0	12.55
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	33.73	0.00	33.73	80.0	26.98
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	14.86	0.00	14.86	80.0	11.89
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	24.77	0.00	24.77	80.0	19.82
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	32.44	0.00	32.44	80.0	25.95
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MUNI INCOME FD	B	0.00	64.11	0.00	64.11	80.0	51.29
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	14.51	0.00	14.51	80.0	11.61
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	1.04	0.00	1.04	80.0	0.83
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.21	0.00	3.21	80.0	2.57
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.20	0.00	11.20	80.0	8.96
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	19.98	0.00	19.98	80.0	15.98
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	54.24	0.00	54.24	80.0	43.39
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR LTD TERM BOND	B	0.00	10.79	0.00	10.79	80.0	8.63
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 60%	B	0.00	57.28	0.00	57.28	80.0	45.82
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	5.78	0.00	5.78	80.0	4.62
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	24.21	0.00	24.21	80.0	19.37
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STK SEL ALL	B	0.00	40.73	0.00	40.73	80.0	32.58
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	5.54	0.00	5.54	80.0	4.43
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	10.59	0.00	10.59	80.0	8.47
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	15.21	0.00	15.21	80.0	12.17
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	65.10	0.00	65.10	80.0	52.08
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	11.95	0.00	11.95	80.0	9.56
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	18.28	0.00	18.28	80.0	14.62
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.62	0.00	1.62	80.0	1.30
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2025	B	0.00	57.07	0.00	57.07	80.0	45.66
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	22.96	0.00	22.96	80.0	18.37
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	41.86	0.00	41.86	80.0	33.49
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	10.86	0.00	10.86	80.0	8.69
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.19	0.00	7.19	80.0	5.75
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	2.82	0.00	2.82	80.0	2.26
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.42	0.00	6.42	80.0	5.14
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.46	0.00	6.46	80.0	5.17
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	9.43	0.00	9.43	80.0	7.54
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	13.85	0.00	13.85	80.0	11.08
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	21.75	0.00	21.75	80.0	17.40
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	27.88	0.00	27.88	80.0	22.30

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04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	37.38	0.00	37.38	80.0	29.90
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	80.71	0.00	80.71	80.0	64.57
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	82.78	0.00	82.78	80.0	66.22
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	84.29	0.00	84.29	80.0	67.43
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI INSD TAX FREE	B	0.00	0.99	0.00	0.99	80.0	0.79
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	7.57	0.00	7.57	80.0	6.06
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	43.14	0.00	43.14	80.0	34.51
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	85.76	0.00	85.76	80.0	68.61
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	6.43	0.00	6.43	80.0	5.14
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	6.62	0.00	6.62	80.0	5.30
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	55.50	0.00	55.50	80.0	44.40
04/20/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	3.87	0.00	3.87	80.0	3.10
04/20/2018	mfc587889	KLEINBROOK 587889	MFT GEORGE PUTNAM BALANCED FUND-A	B	0.00	0.64	0.00	0.64	80.0	0.51
04/20/2018	mfc587889	KLEINBROOK 587889	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	0.01	0.00	0.01	80.0	0.01
04/20/2018	mfc587889	KLEINBROOK 587889	MFT GUGGENHEIM STYLEPLUS LARGE CORE	B	0.00	54.06	0.00	54.06	80.0	43.25
04/20/2018	mfc587889	KLEINBROOK 587889	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	15.55	0.00	15.55	80.0	12.44
04/20/2018	mfc587889	KLEINBROOK 587889	MFT JOHN HANCOCK STRATEGIC GROWTH A	B	0.00	5.93	0.00	5.93	80.0	4.74
04/20/2018	mfc587889	KLEINBROOK 587889	MFT MAINSTAY TAX FREE BOND FD CL A	B	0.00	1.44	0.00	1.44	80.0	1.15
04/20/2018	mfc587889	KLEINBROOK 587889	MFT MERGER FD	B	0.00	0.80	0.00	0.80	80.0	0.64
04/20/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	12.62	0.00	12.62	80.0	10.10
04/20/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	30.36	0.00	30.36	80.0	24.29
04/20/2018	mfc587889	KLEINBROOK 587889	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	4.69	0.00	4.69	80.0	3.75
04/20/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-C	B	0.00	19.22	0.00	19.22	80.0	15.38
04/20/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.04	0.00	0.04	80.0	0.03
04/20/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.07	0.00	0.07	80.0	0.06
04/20/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.13	0.00	0.13	80.0	0.10
04/20/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.84	0.00	0.84	80.0	0.67
04/20/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	19.17	0.00	19.17	80.0	15.34
04/20/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	75.88	0.00	75.88	80.0	60.70
04/20/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	185.01	0.00	185.01	80.0	148.01
04/20/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD FD CL A	B	0.00	0.06	0.00	0.06	80.0	0.05
04/20/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.04	0.00	0.04	80.0	0.03
04/20/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.07	0.00	0.07	80.0	0.06
04/20/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.07	0.00	0.07	80.0	0.06
04/20/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.07	0.00	0.07	80.0	0.06
04/20/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC CORE INCOME FD C	B	0.00	0.64	0.00	0.64	80.0	0.51
04/20/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC SHORT DURATION INC FD C	B	0.00	0.43	0.00	0.43	80.0	0.34
04/20/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC STRATEGIC INCOME FD C	B	0.00	1.03	0.00	1.03	80.0	0.82
04/20/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	0.39	0.00	0.39	80.0	0.31
04/20/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	4.88	0.00	4.88	80.0	3.90
04/20/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	17.26	0.00	17.26	80.0	13.81
04/20/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL QMA MIDCAP VALUE FD	B	0.00	15.87	0.00	15.87	80.0	12.70
04/20/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	49.55	0.00	49.55	80.0	39.64
04/20/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746444108	B	0.00	2.99	0.00	2.99	80.0	2.39

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/20/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	3.43	0.00	3.43	80.0	2.74
04/20/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	19.78	0.00	19.78	80.0	15.82
04/20/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM 746802206	B	0.00	5.97	0.00	5.97	80.0	4.78
04/20/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	3.39	0.00	3.39	80.0	2.71
04/20/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	10.96	0.00	10.96	80.0	8.77
04/20/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.27	0.00	0.27	80.0	0.22
04/20/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.57	0.00	1.57	80.0	1.26
04/20/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	2.51	0.00	2.51	80.0	2.01
04/20/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	7.35	0.00	7.35	80.0	5.88
04/20/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.80	0.00	9.80	80.0	7.84
04/20/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.86	0.00	9.86	80.0	7.89
04/20/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	19.10	0.00	19.10	80.0	15.28
04/20/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	37.73	0.00	37.73	80.0	30.18
04/20/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	62.44	0.00	62.44	80.0	49.95
04/20/2018	mfc587889 KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	68.33	0.00	68.33	80.0	54.66
04/20/2018	mfc587889 KLEINBROOK 587889	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	0.65	0.00	0.65	80.0	0.52
04/20/2018	mfc587889 KLEINBROOK 587889	MFT TEMP GRWTH FND - CL C	B	0.00	18.43	0.00	18.43	80.0	14.74
04/20/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	3.80	0.00	3.80	80.0	3.04
04/20/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	9.80	0.00	9.80	80.0	7.84
04/20/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.78	0.00	7.78	80.0	6.22
04/20/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.80	0.00	7.80	80.0	6.24
04/20/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	30.91	0.00	30.91	80.0	24.73
04/20/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.53	0.00	4.53	80.0	3.62
04/20/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.96	0.00	4.96	80.0	3.97
04/20/2018	mfc587889 KLEINBROOK 587889	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	11.41	0.00	11.41	80.0	9.13
04/20/2018	mfc587889 KLEINBROOK 587889	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.80	0.00	7.80	80.0	6.24
04/20/2018	mfc587889 KLEINBROOK 587889	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.87	0.00	6.87	80.0	5.50
04/20/2018	mfc587889 KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	B	0.00	1.29	0.00	1.29	80.0	1.03
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3,308.70	\$0.00	\$3,308.70		\$2,646.95
*** CLEARING TRANSACTIONS TOTAL ***				\$-3,815.30	\$3,370.95	\$25.00	\$3,345.95		\$2,676.75

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

04/16/2018	(None) VARIOUS, KLEINBROOK	MF FIDELITY ADVISOR FDS	B	0.00	13.51	0.00	13.51	80.0	10.81
04/13/2018	00073363516 DOSS, NICOLE	MF THE INVESTMENT COMPANY OF	B	5,500.00	151.25	0.00	151.25	80.0	121.00
04/16/2018	00089905459 CHHEN, KIMBERLY	MF AMERICAN BALANCED FUND-C	B	450.00	4.50	0.00	4.50	80.0	3.60
* MUTUAL FUNDS SUBTOTAL *				\$5,950.00	\$169.26	\$0.00	\$169.26		\$135.41

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018	(None) CHHEN, JEAN	MFT BLACKROCK	B	0.00	27.46	0.00	27.46	80.0	21.97
03/31/2018	(None) VARIOUS, KLEINBROOK	MFT COLUMBIA THREADNEEDLE	B	0.00	27.40	0.00	27.40	80.0	21.92
03/31/2018	(None) VARIOUS, KLEINBROOK	MFT PRUDENTIAL MUTUAL FUNDS	B	0.00	117.11	0.00	117.11	80.0	93.69

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/03/2018 (None)	CHHEN, JEAN	MFT BLACKROCK	B	0.00	9.15	0.00	9.15	80.0	7.32
04/09/2018 04700132585	KAREN ANN SPARKS,	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	3.20	0.00	3.20	80.0	2.56
04/09/2018 04700415762	ESA, COVERDELL	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.89	0.00	0.89	80.0	0.71
04/09/2018 04718897037	CHEN, SHAN	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.19	0.00	1.19	80.0	0.95
04/09/2018 04718897037	CHEN, SHAN	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.39	0.00	1.39	80.0	1.11
04/09/2018 04718897038	LAI, LICHUN	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.19	0.00	1.19	80.0	0.95
04/09/2018 04718897038	LAI, LICHUN	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.39	0.00	1.39	80.0	1.11
04/09/2018 04787871938	WAGG, BERNICE	MFT AB BALANCED WEALTH STRATEGY A	B	0.00	19.61	0.00	19.61	80.0	15.69
04/09/2018 04787871938	WAGG, BERNICE	MFT AB BALANCED WEALTH STRATEGY C	B	0.00	44.11	0.00	44.11	80.0	35.29
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$254.09</b>	<b>\$0.00</b>	<b>\$254.09</b>		<b>\$203.27</b>

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

04/06/2018 (None)	WAGG, THEADORE	VAT LINCOLN BENEFIT LIFE	B	0.00	24.15	0.00	24.15	80.0	19.32
04/06/2018 (None)	WAGG, THEADORE	VAT LINCOLN BENEFIT LIFE	B	0.00	24.47	0.00	24.47	80.0	19.58
04/06/2018 (None)	WAGG, THEADORE	VAT LINCOLN BENEFIT LIFE	B	0.00	24.93	0.00	24.93	80.0	19.94
04/06/2018 (None)	WAGG, BERNICE	VAT LINCOLN BENEFIT LIFE	B	0.00	12.23	0.00	12.23	80.0	9.78
04/06/2018 (None)	WAGG, BERNICE	VAT LINCOLN BENEFIT LIFE	B	0.00	12.55	0.00	12.55	80.0	10.04
04/06/2018 (None)	WAGG, BERNICE	VAT LINCOLN BENEFIT LIFE	B	0.00	12.63	0.00	12.63	80.0	10.10
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$110.96</b>	<b>\$0.00</b>	<b>\$110.96</b>		<b>\$88.76</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$5,950.00</b>	<b>\$534.31</b>	<b>\$0.00</b>	<b>\$534.31</b>		<b>\$427.44</b>

ADJUSTMENTS

04/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
04/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-55.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,104.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$3,049.19</b>
<b>Year-to-date Commissions</b>	<b>\$36,077.42</b>