



COMMISSION STATEMENT

January 01, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/26/2017	***-**-568I - MARSHALL, BRUCE	BOND A	0.00	10.30	0.00	10.30	70.0	7.21
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
12/26/2017	***-**-1184 - SPORRER, SHIRLEY	FIRST EAGLE GOLD FUND CLASS A	0.00	0.92	0.00	0.92	70.0	0.64
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
12/26/2017	***-**-184I - SPORRER, SHIRLEY	FIRST EAGLE GOLD FUND CLASS A	0.00	1.50	0.00	1.50	70.0	1.05
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
12/26/2017	***-**-00IR - SPORRER, JERALD	FIRST EAGLE GOLD FUND CLASS A	0.00	1.74	0.00	1.74	70.0	1.22
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
12/26/2017	***-**-24IO - DEWITT, TRACY	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	5.72	0.00	5.72	70.0	4.00
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
12/26/2017	***-**-0383 - MARSHALL,	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	7.46	0.00	7.46	70.0	5.22
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
12/26/2017	***-**-568I - MARSHALL, BRUCE	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	0.54	0.00	0.54	70.0	0.38
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
12/26/2017	***-**-2425 - BRIAN N CALNAN,	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	3.79	0.00	3.79	70.0	2.65
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
12/26/2017	***-**-1184 - SPORRER, SHIRLEY	JOHN HANCOCK GL ABSO RETURN STRATS	0.00	0.54	0.00	0.54	70.0	0.38
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
12/26/2017	***-**-568J - MARSHALL, BRUCE	JOHN HANCOCK GLOBAL EQUITY FUND CLA	0.00	2.71	0.00	2.71	70.0	1.90
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
12/26/2017	***-**-5712 - RAMSEY, GUSSIE	JOHN HANCOCK TECHNICAL OPPS CL A	0.00	1.79	0.00	1.79	70.0	1.25
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
12/26/2017	***-**-32IO - VASQUEZ, CHARLES	LIFESTYLE BALANCED PORTFOLIO A	0.00	0.27	0.00	0.27	70.0	0.19
	ACCOUNT #: LSR006530	BUY 1 Units @0.0000						
12/26/2017	***-**-24IO - DEWITT, TRACY	LIFESTYLE BALANCED PORTFOLIO A	0.00	22.75	0.00	22.75	70.0	15.93
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
12/26/2017	***-**-0724 - STROMMESEN,	LIFESTYLE BALANCED PORTFOLIO A	0.00	5.93	0.00	5.93	70.0	4.15
	ACCOUNT #: LSR105090	BUY 1 Units @0.0000						
12/26/2017	***-**-1788 - STROMMESEN,	LIFESTYLE BALANCED PORTFOLIO A	0.00	3.82	0.00	3.82	70.0	2.67
	ACCOUNT #: LSR105104	BUY 1 Units @0.0000						
12/26/2017	***-**-00IR - SPORRER, JERALD	LIFESTYLE BALANCED PORTFOLIO A	0.00	0.88	0.00	0.88	70.0	0.62
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
12/26/2017	***-**-9761 - MCCARTHY, NANCY	LIFESTYLE BALANCED PORTFOLIO A	0.00	3.11	0.00	3.11	70.0	2.18
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
12/26/2017	***-**-568J - MARSHALL, BRUCE	LIFESTYLE BALANCED PORTFOLIO A	0.00	26.40	0.00	26.40	70.0	18.48
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
12/26/2017	***-**-0383 - MARSHALL,	LIFESTYLE BALANCED PORTFOLIO A	0.00	27.29	0.00	27.29	70.0	19.10
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
12/26/2017	***-**-9483 - WYCKOFF,	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.48	0.00	2.48	70.0	1.74
	ACCOUNT #: LSR106887	BUY 1 Units @0.0000						
12/26/2017	***-**-29IO - NORFLEET,	LIFESTYLE CONSERVATIVE PORTFOLIO A	0.00	10.49	0.00	10.49	70.0	7.34
	ACCOUNT #: LSR010116	BUY 1 Units @0.0000						
12/26/2017	***-**-76IO - SMOAKE, DAVID	LIFESTYLE GROWTH PORTFOLIO A	0.00	0.80	0.00	0.80	70.0	0.56
	ACCOUNT #: LSR011651	BUY 1 Units @0.0000						
12/26/2017	***-**-1184 - SPORRER, SHIRLEY	LIFESTYLE GROWTH PORTFOLIO A	0.00	0.87	0.00	0.87	70.0	0.61
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
12/26/2017	***-**-5594 - BISHOP, SHANNON	LIFESTYLE GROWTH PORTFOLIO A	0.00	1.24	0.00	1.24	70.0	0.87
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
12/26/2017	***-**-2425 - BRIAN N CALNAN,	LIFESTYLE GROWTH PORTFOLIO A	0.00	7.13	0.00	7.13	70.0	4.99
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
12/26/2017	***-**-37TR - SCHROEDER TRUST,	LIFESTYLE MODERATE PORTFOLIO A	0.00	9.56	0.00	9.56	70.0	6.69
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
12/26/2017	***-**-514I - BERGIN, JOSEPH	LIFESTYLE MODERATE PORTFOLIO A	0.00	6.46	0.00	6.46	70.0	4.52
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
12/26/2017	***-**-099X - JAMES L SMITH,	LIFESTYLE MODERATE PORTFOLIO A	0.00	0.27	0.00	0.27	70.0	0.19
	ACCOUNT #: LSR011494	BUY 1 Units @0.0000						
12/26/2017	***-**-087X - MACHRINA E	LIFESTYLE MODERATE PORTFOLIO A	0.00	0.27	0.00	0.27	70.0	0.19
	ACCOUNT #: LSR011495	BUY 1 Units @0.0000						
12/26/2017	***-**-703I - LEGROS, DAVID	LIFESTYLE MODERATE PORTFOLIO A	0.00	7.24	0.00	7.24	70.0	5.07
	ACCOUNT #: LSR011660	BUY 1 Units @0.0000						
12/26/2017	***-**-0737 - SCHROEDER, JACK	LIFESTYLE MODERATE PORTFOLIO A	0.00	5.19	0.00	5.19	70.0	3.63
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
12/26/2017	***-**-568I - MARSHALL, BRUCE	LIFESTYLE MODERATE PORTFOLIO A	0.00	44.15	0.00	44.15	70.0	30.91
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/26/2017	***-**-5712 - RAMSEY, GUSSIE	LIFESTYLE MODERATE PORTFOLIO A	0.00	24.00	0.00	24.00	70.0	16.80
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
12/26/2017	***-**-0237 - RAMSEY, WILLIAM	LIFESTYLE MODERATE PORTFOLIO A	0.00	3.98	0.00	3.98	70.0	2.79
	ACCOUNT #: LSR105937	BUY 1 Units @0.0000						
12/26/2017	***-**-5594 - BISHOP, SHANNON	MASS INVESTORS GROWTH STOCK FUND-A	0.00	0.97	0.00	0.97	70.0	0.68
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
12/26/2017	***-**-0737 - SCHROEDER, JACK	MFS DIVERSIFIED INCOME FD CL A	0.00	11.64	0.00	11.64	70.0	8.15
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
12/26/2017	***-**-5141 - BERGIN, JOSEPH	MFS EMERGING MARKETS DEBT FUND-A	0.00	0.78	0.00	0.78	70.0	0.55
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
12/26/2017	***-**-0737 - SCHROEDER, JACK	MFS EMERGING MARKETS DEBT FUND-A	0.00	3.20	0.00	3.20	70.0	2.24
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
12/26/2017	***-**-5712 - RAMSEY, GUSSIE	MFS EMERGING MARKETS DEBT FUND-A	0.00	1.30	0.00	1.30	70.0	0.91
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
12/26/2017	***-**-2425 - BRIAN N CALNAN,	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	6.51	0.00	6.51	70.0	4.56
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
12/26/2017	***-**-5141 - BERGIN, JOSEPH	PUTNAM ABSOLUTE RETURN 300 FUND CLA	0.00	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$276.34	\$0.00	\$276.34		\$193.46
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$276.34	\$0.00	\$276.34		\$193.46



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
							Broker Transactions	\$193.46
							Split Transactions	\$0.00
							Override Transactions	\$0.00
							Adjustments	\$0.00
							Payroll Draw	\$0.00
							Base Salary	\$0.00
							Prior Period Balance	\$53.38
							FINRA Assessment	\$0.00
							SIPC Assessment	\$0.00
							Net Commission Earned	\$246.84
							Year-to-date Earnings	\$246.84