



COMMISSION STATEMENT

May 14, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CRAIG ST. CYR</u>								
05/14/2018	***-**-9431 - FISHBEIN, ACCOUNT #: 26702	JOHN HANCOCK VA TRAILS-ST.CYR BUY 1 Units @0.0000	0.00	289.66	0.00	289.66	0.5	1.45
05/14/2018	***-**-3717 - DOVETAILED ACCOUNT #: 23717	JOHN HANCOCK VA TRAILS-ST.CYR BUY 1 Units @0.0000	0.00	111.58	0.00	111.58	0.5	0.56
* CRAIG ST. CYR SUBTOTAL *			\$0.00	\$401.24	\$0.00	\$401.24		\$2.01
<u>BROKER: GARRETT MORETZ</u>								
05/14/2018	***-**-36CL - MORETZ, CLIENTS	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	1,352.04	0.00	1,352.04	0.5	6.76
05/15/2018	***-**-29FB - ANTHONY, APRIL ACCOUNT #: RB5070200L	EQIS ADVISOR FEES BUY 0 Units @0.0000	78.19	78.19	0.00	78.19	0.5	0.39
05/15/2018	***-**-86FB - ARRINGTON, ROGER ACCOUNT #: RH0813100D	EQIS ADVISOR FEES BUY 0 Units @0.0000	88.30	88.30	0.00	88.30	0.5	0.44
05/15/2018	***-**-86FB - ARRINGTON, ROGER ACCOUNT #: RH24513009	EQIS ADVISOR FEES BUY 0 Units @0.0000	67.48	67.48	0.00	67.48	0.5	0.34
05/15/2018	***-**-71FB - BEDDOW, DONALD ACCOUNT #: RE1889900Z	EQIS ADVISOR FEES BUY 0 Units @0.0000	112.29	112.29	0.00	112.29	0.5	0.56
05/15/2018	***-**-71FB - BEDDOW, DONALD ACCOUNT #: RE1910700J	EQIS ADVISOR FEES BUY 0 Units @0.0000	43.25	43.25	0.00	43.25	0.5	0.22
05/15/2018	***-**-40FB - BOHNAKER, ANGELA ACCOUNT #: RC6490700F	EQIS ADVISOR FEES BUY 0 Units @0.0000	41.37	41.37	0.00	41.37	0.5	0.21
05/15/2018	***-**-95FB - BROWN, SUSAN ACCOUNT #: RD9347700L	EQIS ADVISOR FEES BUY 0 Units @0.0000	79.80	79.80	0.00	79.80	0.5	0.40
05/15/2018	***-**-57FB - CAMERY, DALE ACCOUNT #: RD90457005	EQIS ADVISOR FEES BUY 0 Units @0.0000	89.89	89.89	0.00	89.89	0.5	0.45
05/15/2018	***-**-57FB - CAMERY, DALE ACCOUNT #: RD9898400H	EQIS ADVISOR FEES BUY 0 Units @0.0000	172.12	172.12	0.00	172.12	0.5	0.86
05/15/2018	***-**-71FB - CARPENTIER, ACCOUNT #: RC9591500B	EQIS ADVISOR FEES BUY 0 Units @0.0000	26.26	26.26	0.00	26.26	0.5	0.13
05/15/2018	***-**-77FB - CLAYTON, HORACE ACCOUNT #: RD9998300X	EQIS ADVISOR FEES BUY 0 Units @0.0000	182.45	182.45	0.00	182.45	0.5	0.91
05/15/2018	***-**-68FB - CLAYTON, LINDA ACCOUNT #: RE0183300B	EQIS ADVISOR FEES BUY 0 Units @0.0000	40.10	40.10	0.00	40.10	0.5	0.20



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: GARRETT MORETZ (continued)</u>								
05/15/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	29.27	29.27	0.00	29.27	0.5	0.15
	ACCOUNT #: RE18633003	BUY 0 Units @0.0000						
05/15/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	37.50	37.50	0.00	37.50	0.5	0.19
	ACCOUNT #: RE3069500F	BUY 0 Units @0.0000						
05/15/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	41.49	41.49	0.00	41.49	0.5	0.21
	ACCOUNT #: RH0640000T	BUY 0 Units @0.0000						
05/15/2018	***-**-34FB - CLYMER, BETTY	EQIS ADVISOR FEES	12.50	12.50	0.00	12.50	0.5	0.06
	ACCOUNT #: RB3961400D	BUY 0 Units @0.0000						
05/15/2018	***-**-58FB - CLYMER, DEFOREST	EQIS ADVISOR FEES	9.08	9.08	0.00	9.08	0.5	0.05
	ACCOUNT #: RB48104005	BUY 0 Units @0.0000						
05/15/2018	***-**-41FB - COOPER, JOHN	EQIS ADVISOR FEES	31.58	31.58	0.00	31.58	0.5	0.16
	ACCOUNT #: RD5958000D	BUY 0 Units @0.0000						
05/15/2018	***-**-01FB - DAGENHART, JAMES	EQIS ADVISOR FEES	51.90	51.90	0.00	51.90	0.5	0.26
	ACCOUNT #: RD06254009	BUY 0 Units @0.0000						
05/15/2018	***-**-01FB - DAGENHART, JAMES	EQIS ADVISOR FEES	45.49	45.49	0.00	45.49	0.5	0.23
	ACCOUNT #: RD0777800L	BUY 0 Units @0.0000						
05/15/2018	***-**-21FB - DAVIS, SIMONE	EQIS ADVISOR FEES	233.00	233.00	0.00	233.00	0.5	1.17
	ACCOUNT #: RD9797800H	BUY 0 Units @0.0000						
05/15/2018	***-**-26FB - FARZALO, STEVE	EQIS ADVISOR FEES	14.45	14.45	0.00	14.45	0.5	0.07
	ACCOUNT #: RG9748700J	BUY 0 Units @0.0000						
05/15/2018	***-**-34FB - FOWLER, MILTON	EQIS ADVISOR FEES	140.62	140.62	0.00	140.62	0.5	0.70
	ACCOUNT #: RH1017400L	BUY 0 Units @0.0000						
05/15/2018	***-**-32FB - FRAZIER, WILLIAM	EQIS ADVISOR FEES	6.19	6.19	0.00	6.19	0.5	0.03
	ACCOUNT #: RB33734009	BUY 0 Units @0.0000						
05/15/2018	***-**-32FB - FRAZIER, WILLIAM	EQIS ADVISOR FEES	30.27	30.27	0.00	30.27	0.5	0.15
	ACCOUNT #: RB3372800H	BUY 0 Units @0.0000						
05/15/2018	***-**-39FB - GRINDLE, ROBERT	EQIS ADVISOR FEES	124.99	124.99	0.00	124.99	0.5	0.62
	ACCOUNT #: RE0934000F	BUY 0 Units @0.0000						
05/15/2018	***-**-77FB - HARRIS,	EQIS ADVISOR FEES	49.35	49.35	0.00	49.35	0.5	0.25
	ACCOUNT #: RE25241003	BUY 0 Units @0.0000						
05/15/2018	***-**-77FB - HARRIS,	EQIS ADVISOR FEES	127.82	127.82	0.00	127.82	0.5	0.64
	ACCOUNT #: RE3069400N	BUY 0 Units @0.0000						



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<u>VERRIDE TRANSACTIONS (continued)</u>								
<u>BROKER: GARRETT MORETZ (continued)</u>								
05/15/2018	***-**-48FB - HEFNER, EULA	EQIS ADVISOR FEES	23.41	23.41	0.00	23.41	0.5	0.12
	ACCOUNT #: RH0641100H	BUY 0 Units @0.0000						
05/15/2018	***-**-03FB - HINES, WALTER	EQIS ADVISOR FEES	21.00	21.00	0.00	21.00	0.5	0.11
	ACCOUNT #: RD57517005	BUY 0 Units @0.0000						
05/15/2018	***-**-84FB - HOLDER, DERRICK	EQIS ADVISOR FEES	110.71	110.71	0.00	110.71	0.5	0.55
	ACCOUNT #: RA4189700Z	BUY 0 Units @0.0000						
05/15/2018	***-**-84FB - HOLDER, DERRICK	EQIS ADVISOR FEES	53.71	53.71	0.00	53.71	0.5	0.27
	ACCOUNT #: RA41927007	BUY 0 Units @0.0000						
05/15/2018	***-**-98FB - HUNTER, JEFFREY	EQIS ADVISOR FEES	17.59	17.59	0.00	17.59	0.5	0.09
	ACCOUNT #: RH0076800D	BUY 0 Units @0.0000						
05/15/2018	***-**-26FB - KOMINEK, DAVID	EQIS ADVISOR FEES	112.98	112.98	0.00	112.98	0.5	0.56
	ACCOUNT #: RD9045800X	BUY 0 Units @0.0000						
05/15/2018	***-**-27FB - KULIKOWSKI, SARA	EQIS ADVISOR FEES	11.14	11.14	0.00	11.14	0.5	0.06
	ACCOUNT #: RC4594100B	BUY 0 Units @0.0000						
05/15/2018	***-**-94FB - MARQUIS, ALAN	EQIS ADVISOR FEES	40.95	40.95	0.00	40.95	0.5	0.20
	ACCOUNT #: RE3062300N	BUY 0 Units @0.0000						
05/15/2018	***-**-65FB - MCINTYRE, SHARON	EQIS ADVISOR FEES	42.88	42.88	0.00	42.88	0.5	0.21
	ACCOUNT #: RD90434001	BUY 0 Units @0.0000						
05/15/2018	***-**-65FB - MCINTYRE, SHARON	EQIS ADVISOR FEES	87.06	87.06	0.00	87.06	0.5	0.44
	ACCOUNT #: RD9897700X	BUY 0 Units @0.0000						
05/15/2018	***-**-77FB - MCKAIG, MARK	EQIS ADVISOR FEES	20.12	20.12	0.00	20.12	0.5	0.10
	ACCOUNT #: RD5247000H	BUY 0 Units @0.0000						
05/15/2018	***-**-77FB - MCKAIG, MARK	EQIS ADVISOR FEES	19.11	19.11	0.00	19.11	0.5	0.10
	ACCOUNT #: RD52495005	BUY 0 Units @0.0000						
05/15/2018	***-**-59FB - MCKAIG, PATRICIA	EQIS ADVISOR FEES	58.95	58.95	0.00	58.95	0.5	0.29
	ACCOUNT #: RD5092500P	BUY 0 Units @0.0000						
05/15/2018	***-**-59FB - MCKAIG, PATRICIA	EQIS ADVISOR FEES	13.62	13.62	0.00	13.62	0.5	0.07
	ACCOUNT #: RD5249700P	BUY 0 Units @0.0000						
05/15/2018	***-**-64FB - MERLO, RALPH	EQIS ADVISOR FEES	34.95	34.95	0.00	34.95	0.5	0.17
	ACCOUNT #: RB5869400H	BUY 0 Units @0.0000						
05/15/2018	***-**-64FB - MERLO, RALPH	EQIS ADVISOR FEES	10.33	10.33	0.00	10.33	0.5	0.05
	ACCOUNT #: RB5866400T	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: GARRETT MORETZ (continued)</u>								
05/15/2018	***-**-70FB - FERRELL, ACCOUNT #: RB50708009	EQIS ADVISOR FEES BUY 0 Units @0.0000	50.62	50.62	0.00	50.62	0.5	0.25
05/15/2018	***-**-759I - MERRILL, SARA ACCOUNT #: RB5875400D	EQIS ADVISOR FEES BUY 0 Units @0.0000	24.17	24.17	0.00	24.17	0.5	0.12
05/15/2018	***-**-58FB - NELSON-GAINES, ACCOUNT #: RH0166600L	EQIS ADVISOR FEES BUY 0 Units @0.0000	13.21	13.21	0.00	13.21	0.5	0.07
05/15/2018	***-**-06FB - PARSONS, CLARE ACCOUNT #: RG9534800V	EQIS ADVISOR FEES BUY 0 Units @0.0000	71.95	71.95	0.00	71.95	0.5	0.36
05/15/2018	***-**-06FB - PARSONS, CLARE ACCOUNT #: RG9885700V	EQIS ADVISOR FEES BUY 0 Units @0.0000	23.09	23.09	0.00	23.09	0.5	0.12
05/15/2018	***-**-77FB - PECK, GORDON ACCOUNT #: RE14731003	EQIS ADVISOR FEES BUY 0 Units @0.0000	33.71	33.71	0.00	33.71	0.5	0.17
05/15/2018	***-**-98FB - PFYFFER, ALBERT ACCOUNT #: RE1500600F	EQIS ADVISOR FEES BUY 0 Units @0.0000	40.54	40.54	0.00	40.54	0.5	0.20
05/15/2018	***-**-60FB - PLANK, MICHAEL ACCOUNT #: RG9882800Z	EQIS ADVISOR FEES BUY 0 Units @0.0000	17.69	17.69	0.00	17.69	0.5	0.09
05/15/2018	***-**-60FB - PLANK, MICHAEL ACCOUNT #: RG9885300R	EQIS ADVISOR FEES BUY 0 Units @0.0000	11.92	11.92	0.00	11.92	0.5	0.06
05/15/2018	***-**-43FB - RODES, KENNETH ACCOUNT #: RE1686200F	EQIS ADVISOR FEES BUY 0 Units @0.0000	87.53	87.53	0.00	87.53	0.5	0.44
05/15/2018	***-**-13FB - RUSSELL, MARK ACCOUNT #: RG9722700B	EQIS ADVISOR FEES BUY 0 Units @0.0000	32.34	32.34	0.00	32.34	0.5	0.16
05/15/2018	***-**-52FB - SCHNABEL, ACCOUNT #: RH00769005	EQIS ADVISOR FEES BUY 0 Units @0.0000	69.83	69.83	0.00	69.83	0.5	0.35
05/15/2018	***-**-94FB - SWAN, ANDREW ACCOUNT #: RE0718400R	EQIS ADVISOR FEES BUY 0 Units @0.0000	34.35	34.35	0.00	34.35	0.5	0.17
05/15/2018	***-**-97FB - SWAN, MELANIE ACCOUNT #: RE0718300Z	EQIS ADVISOR FEES BUY 0 Units @0.0000	23.60	23.60	0.00	23.60	0.5	0.12
05/15/2018	***-**-66FB - WHITE, BARBARA ACCOUNT #: RE0718500J	EQIS ADVISOR FEES BUY 0 Units @0.0000	5.65	5.65	0.00	5.65	0.5	0.03
05/15/2018	***-**-72FB - YOUNG, TAMMY ACCOUNT #: RH03043009	EQIS ADVISOR FEES BUY 0 Units @0.0000	174.97	174.97	0.00	174.97	0.5	0.87



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
		* GARRETT MORETZ SUBTOTAL *	\$3,400.68	\$4,752.72	\$0.00	\$4,752.72		\$23.78
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$3,400.68	\$5,153.96	\$0.00	\$5,153.96		\$25.79

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$25.79
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$89.16
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$114.95
Year-to-date Earnings	\$8,423.65