



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**January 15, 2018**

01/12/2018  
Page No. 1

JOHN ROSS  
7261 W. Central Ave.

BROKER #: R119  
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/02/2018	ZENK, NICHOLAS	CLEVELAND-CLIFFS INC NEW COM	1,577.00	50.00	22.50	27.50	92.0	25.30
		* STOCKS SUBTOTAL *	\$1,577.00	\$50.00	\$22.50	\$27.50		\$25.30
		*** CLEARING TRANSACTIONS TOTAL ***	\$1,577.00	\$50.00	\$22.50	\$27.50		\$25.30

<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
01/02/2018	HUNTER, DEBORAH	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.13	0.00	2.13	92.0	1.96
01/05/2018	WAGONER JR, JOHN	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	5.00	0.00	5.00	92.0	4.60
01/02/2018	HUNTER, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	92.0	1.96
		* 529 PLANS SUBTOTAL *	\$200.00	\$9.26	\$0.00	\$9.26		\$8.52

<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/26/2017	MARTIN, RACHEL	AM FUNDS AMERICAN BALANCED A	105.00	3.94	0.00	3.94	92.0	3.62
01/02/2018	KRAUS, JENNIFER	AM FUNDS AMERICAN HIGH-INCOME TRUST	150.00	4.50	0.00	4.50	92.0	4.14
01/03/2018	YOUNG, KEITH	AM FUNDS BALANCED PORT A	30,000.00	825.00	0.00	825.00	92.0	759.00
01/02/2018	RUMSCHLAG, DOUGLAS	AM FUNDS EUROPACIFIC GROWTH FUND A	77.64	2.14	0.00	2.14	92.0	1.97
12/26/2017	MARTIN, RACHEL	AM FUNDS FUNDAMENTAL INVESTORS A	105.00	3.94	0.00	3.94	92.0	3.62
01/03/2018	YOUNG, KEITH	AM FUNDS GROWTH AND INCOME A	30,000.00	825.00	0.00	825.00	92.0	759.00
01/02/2018	RUMSCHLAG, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	77.64	2.14	0.00	2.14	92.0	1.97
12/26/2017	MARTIN, RACHEL	AM FUNDS INCOME FUND OF AMERICA A	105.00	3.94	0.00	3.94	92.0	3.62
01/03/2018	YOUNG, KEITH	AM FUNDS INCOME PORTFOLIO A	38,487.06	1,058.39	0.00	1,058.39	92.0	973.72
12/28/2017	HILKENS, CHRISTOPHER	AM FUNDS SHORT TERM BND FUND OF AM	65.00	1.30	0.00	1.30	92.0	1.20
12/26/2017	MARTIN, RACHEL	AM FUNDS SMALLCAP WORLD A	35.00	1.31	0.00	1.31	92.0	1.21
12/26/2017	VALADE, JOHN	AM FUNDS TAX-EXEMPT BOND FUND OF AM	1,000.00	27.50	0.00	27.50	92.0	25.30
12/26/2017	PLAN	PUTNAM MULTI CAP GROWTH A	25.00	1.25	0.00	1.25	92.0	1.15
12/26/2017	PLAN	PUTNAM MULTI CAP GROWTH A	25.00	1.25	0.00	1.25	92.0	1.15

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
* MUTUAL FUNDS SUBTOTAL *			\$100,257.34	\$2,761.60	\$0.00	\$2,761.60		\$2,540.67
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/29/2017	SMOOT, DOROTHY	ALLIANZ VALUEMARK II	129,702.37	81.06	0.00	81.06	92.0	74.58
12/29/2017	DULINSKY, SHERRY	ALLIANZ VALUEMARK III	3,892.78	2.43	0.00	2.43	92.0	2.24
12/29/2017	WALLS, DIANE	ALLIANZ VALUEMARK III	92,250.25	57.66	0.00	57.66	92.0	53.05
12/29/2017	BENSCH, ARLYN	ALLIANZ VALUEMARK III	796.26	0.50	0.00	0.50	92.0	0.46
12/29/2017	BENSCH, CANDACE	ALLIANZ VALUEMARK III	799.91	0.50	0.00	0.50	92.0	0.46
12/29/2017	KRALL, JERRY	ALLIANZ VALUEMARK IV	2,787.27	1.74	0.00	1.74	92.0	1.60
12/29/2017	BRAITHWAITE, MICHAEL	ALLIANZ VALUEMARK IV	8,933.18	5.58	0.00	5.58	92.0	5.13
12/29/2017	WASSERMAN, JULIE	ALLIANZ VALUEMARK IV	6,199.46	3.87	0.00	3.87	92.0	3.56
12/29/2017	DIXON, RANDALL	ALLIANZ VALUEMARK IV	39,339.67	24.59	0.00	24.59	92.0	22.62
12/29/2017	KRALL, GARY	ALLIANZ VALUEMARK IV	17,685.82	11.05	0.00	11.05	92.0	10.17
12/29/2017	AUBRY, PATRICIA	AXA ACCUM ELITE II (NQ)	19,991.22	49.98	0.00	49.98	92.0	45.98
12/29/2017	JANICKI, KATHERINE	HARTFORD LEADERS (ILA)	8,201.88	5.13	0.00	5.13	92.0	4.72
12/29/2017	BRAGG, TYLER	HARTFORD LEADERS (ILA)	31,523.91	19.70	0.00	19.70	92.0	18.12
12/29/2017	KOZIARSKI, RICHARD	HARTFORD LEADERS OUTLOOK (ILA)	822.99	2.06	0.00	2.06	92.0	1.90
12/29/2017	AUBRY, PATRICIA	HARTFORD LEADERS OUTLOOK (ILA)	1,075.72	2.69	0.00	2.69	92.0	2.47
12/29/2017	AUBRY, PATRICIA	HARTFORD LEADERS OUTLOOK (ILA)	1,095.96	2.74	0.00	2.74	92.0	2.52
12/29/2017	IRWIN, JOHN	HARTFORD LEADERS OUTLOOK (ILA)	2,600.97	6.50	0.00	6.50	92.0	5.98
12/29/2017	ROSS, DANIEL	HARTFORD LEADERS OUTLOOK 2	53,951.33	134.88	0.00	134.88	92.0	124.09
12/29/2017	KIEHL, VERNON	HARTFORD LEADERS OUTLOOK 2	29,019.78	72.55	0.00	72.55	92.0	66.75
12/29/2017	MILLS, SARAH	HARTFORD LEADERS OUTLOOK 2	13,229.54	33.07	0.00	33.07	92.0	30.42
12/31/2017	IRWIN, JOHN	JNL ELITE ACCESS	157,331.94	32.78	0.00	32.78	92.0	30.16
12/31/2017	DEMKO, VERNA	JNL PERSPECTIVE	50,138.24	10.45	0.00	10.45	92.0	9.61
12/31/2017	LONG, PAUL	JNL PERSPECTIVE II 05/05	3,421.74	1.43	0.00	1.43	92.0	1.32
12/31/2017	LONG, LORI	JNL PERSPECTIVE L	14,828.34	12.36	0.00	12.36	92.0	11.37
12/31/2017	BENSCH, ARLYN	JNL PERSPECTIVE L	34,318.73	28.60	0.00	28.60	92.0	26.31
12/31/2017	BENSCH, CANDACE	JNL PERSPECTIVE L	29,169.76	24.31	0.00	24.31	92.0	22.37
12/31/2017	KRALL, JERRY	JOHN HANCOCK VENTURE III	42,406.15	35.34	0.00	35.34	92.0	32.51
12/31/2017	KEEFE, GERALD	JOHN HANCOCK VENTURE III	14,710.09	12.26	0.00	12.26	92.0	11.28
12/31/2017	HEINAMAN, ROBERT	JOHN HANCOCK VENTURE III	113,272.61	94.39	0.00	94.39	92.0	86.84
12/31/2017	KRAUS, JUDITH	JOHN HANCOCK VENTURE III	37,032.88	30.86	0.00	30.86	92.0	28.39
12/31/2017	GARDNER, WM	JOHN HANCOCK VENTURE III	132,844.83	110.70	0.00	110.70	92.0	101.84

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/31/2017	SILLIMAN, GRACE	JOHN HANCOCK VENTURE III	67,876.66	56.56	0.00	56.56	92.0	52.04
12/31/2017	CURSON, BEVERLY	JOHN HANCOCK VENTURE III	63,293.16	52.74	0.00	52.74	92.0	48.52
12/31/2017	JANICKI, KATHERINE	JOHN HANCOCK VENTURE III	96,595.20	80.50	0.00	80.50	92.0	74.06
12/31/2017	MOORE, GARY	JOHN HANCOCK VENTURE III	88,223.48	73.52	0.00	73.52	92.0	67.64
12/31/2017	IRWIN, JOHN	JOHN HANCOCK VENTURE III	73,119.43	60.93	0.00	60.93	92.0	56.06
12/31/2017	WAGONER JR, JOHN	JOHN HANCOCK VENTURE III	60,127.63	50.11	0.00	50.11	92.0	46.10
12/31/2017	WOODRUFF, STEPHANIE	JOHN HANCOCK VENTURE III	59,750.80	49.79	0.00	49.79	92.0	45.81
12/31/2017	ROSS, VARIOUS	LINCOLN BENEFIT LIFE	0.00	108.46	0.00	108.46	92.0	99.78
12/27/2017	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
12/30/2017	FISCHER, DAVID	NATIONWIDE BOA FUTURE II 32	216,686.33	270.86	0.00	270.86	92.0	249.19
12/30/2017	DOUGHERTY, RICO	NATIONWIDE DESTINATION L2 01	96,913.47	242.28	0.00	242.28	92.0	222.90
12/30/2017	ZINK, ROBERT	NATIONWIDE DESTINATION L2 01	347,793.80	869.48	0.00	869.48	92.0	799.92
12/30/2017	SCHNEIDER, MARGARET	NATIONWIDE DESTINATION LS 96	170,684.96	426.71	0.00	426.71	92.0	392.57
12/30/2017	HAUSFELD, DAVID	NATIONWIDE DESTINATION LS 96	173,730.70	434.33	0.00	434.33	92.0	399.58
12/30/2017	GERMAN, MARILYN	NATIONWIDE DESTINATION LS 96	133,218.82	333.05	0.00	333.05	92.0	306.41
12/30/2017	COUSINO TRUST, SUSAN	NATIONWIDE DESTINATION LS 96	374,041.95	935.10	0.00	935.10	92.0	860.29
12/30/2017	PEAKE, CAROL	NATIONWIDE DESTINATION LS 96	125,967.52	314.92	0.00	314.92	92.0	289.73
12/30/2017	MOORE, GARY	NATIONWIDE DESTINATION LS 96	90,743.98	226.86	0.00	226.86	92.0	208.71
12/30/2017	HEINAMAN, ROBERT	NATIONWIDE DESTINATION LS 96	116,480.87	291.20	0.00	291.20	92.0	267.90
12/30/2017	STEDCKE III, CLYDE	NATIONWIDE DESTINATION LS 96	154,475.45	386.19	0.00	386.19	92.0	355.29
12/30/2017	BRAUN, CHARLENE	NATIONWIDE DESTINATION LS 96	159,710.81	399.28	0.00	399.28	92.0	367.34
12/30/2017	IRWIN, JOHN	NATIONWIDE DESTINATION LS 96	129,326.90	323.32	0.00	323.32	92.0	297.45
12/30/2017	DUBENDORFER, SUSAN	NATIONWIDE DESTINATION LS 96	129,792.56	324.48	0.00	324.48	92.0	298.52
12/30/2017	MCBROOM, DENNIS	NATIONWIDE DESTINATION LS 96	128,339.58	320.85	0.00	320.85	92.0	295.18
12/30/2017	BRAUN, WILLIAM	NATIONWIDE DESTINATION LS 96	191,040.11	477.60	0.00	477.60	92.0	439.39
12/30/2017	SZABO, BONITA	NATIONWIDE DESTINATION LS 96	24,434.21	61.09	0.00	61.09	92.0	56.20
12/14/2017	CUMMINGS, BARBARA	TRANSAMERICA LIBERTY	148,613.52	123.84	0.00	123.84	92.0	113.93
12/20/2017	DOUGHERTY, RICO	TRANSAMERICA LIBERTY	108,070.45	90.06	0.00	90.06	92.0	82.86
12/21/2017	DEMKO, VERNA	TRANSAMERICA LIBERTY	84,204.87	70.17	0.00	70.17	92.0	64.56
12/22/2017	HOLLOWAY, LINDA	TRANSAMERICA LIBERTY	196,137.24	163.45	0.00	163.45	92.0	150.37
12/27/2017	ROSS, SUSAN	TRANSAMERICA LIBERTY	4,529.72	3.77	0.00	3.77	92.0	3.47
12/29/2017	DULINSKY, SHERRY	TRANSAMERICA LIBERTY	28,112.55	23.43	0.00	23.43	92.0	21.56
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$4,935,552.31</b>	<b>\$8,564.39</b>	<b>\$0.00</b>	<b>\$8,564.39</b>		<b>\$7,879.23</b>

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	1.52	0.00	1.52	92.0	1.40
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	1.01	0.00	1.01	92.0	0.93
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.46	0.00	1.46	92.0	1.34
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.22	0.00	1.22	92.0	1.12
12/18/2017	MOORE, LINDA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.85	0.00	4.85	92.0	4.46
/ /	DUBOW, ERIC	OPPENHEIMER INTL SMALL CO A	0.00	8.32	0.00	8.32	92.0	7.65
/ /	MANN, JAMES	OPPENHEIMER MAIN STREET A	0.00	8.27	0.00	8.27	92.0	7.61
/ /	MANN, JAMES	OPPENHEIMER MAIN STREET A	0.00	0.32	0.00	0.32	92.0	0.29
12/18/2017	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.84	0.00	4.84	92.0	4.45
12/21/2017	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.14	0.00	0.14	92.0	0.13
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$31.95</b>	<b>\$0.00</b>	<b>\$31.95</b>		<b>\$29.38</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
01/10/2018	SMOOT, C STEVEN	LFG TRAILS	0.00	164.08	0.00	164.08	92.0	150.95
01/10/2018	HUNTER, DEBORAH	LFG TRAILS	0.00	15.66	0.00	15.66	92.0	14.41
01/10/2018	SWINDLE, CYNTHIA	LFG TRAILS	0.00	0.64	0.00	0.64	92.0	0.59
01/10/2018	KORAKAS, LARRY	LFG TRAILS	0.00	23.54	0.00	23.54	92.0	21.66
01/10/2018	FOX, JOSEPH	LFG LNCVV516N	0.00	13.03	0.00	13.03	92.0	11.99
01/10/2018	VALADE, JOHN	LFG LNCVV516N	0.00	8.53	0.00	8.53	92.0	7.85
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$225.48</b>	<b>\$0.00</b>	<b>\$225.48</b>		<b>\$207.45</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$5,036,009.65</b>	<b>\$11,592.68</b>	<b>\$0.00</b>	<b>\$11,592.68</b>		<b>\$10,665.25</b>

**OVERRIDE TRANSACTIONS****BROKER: THOMAS HALSEY #10**

12/18/2017	LEROUX, LAURA	BLACKROCK 67776G469	1,000.00	35.00	0.00	35.00	85.0	2.45
12/18/2017	BUEHRER, SARAH	BLACKROCK 67776G519	1,500.00	75.00	0.00	75.00	85.0	5.25
<b>* THOMAS HALSEY SUBTOTAL *</b>			<b>\$2,500.00</b>	<b>\$110.00</b>	<b>\$0.00</b>	<b>\$110.00</b>		<b>\$7.70</b>

**BROKER: THOMAS HALSEY #82**

12/28/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	0.18
01/02/2018	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	85.0	1.05
12/18/2017	BUEHRER, SARAH	BLACK ROCK 67776G485	1,500.00	75.00	0.00	75.00	85.0	5.25
01/04/2018	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	8.08	0.00	8.08	85.0	0.57

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: THOMAS HALSEY #82</u></b>								
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	3.78	0.00	3.78	85.0	0.26
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	5.27	0.00	5.27	85.0	0.37
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	6.79	0.00	6.79	85.0	0.48
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	4.64	0.00	4.64	85.0	0.32
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	4.49	0.00	4.49	85.0	0.31
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	6.47	0.00	6.47	85.0	0.45
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	7.27	0.00	7.27	85.0	0.51
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	9.63	0.00	9.63	85.0	0.67
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	3.65	0.00	3.65	85.0	0.26
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.53	0.00	5.53	85.0	0.39
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	6.59	0.00	6.59	85.0	0.46
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	6.47	0.00	6.47	85.0	0.45
01/02/2018	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	15.40	0.00	15.40	85.0	1.08
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	7.27	0.00	7.27	85.0	0.51
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	7.34	0.00	7.34	85.0	0.51
12/29/2017	DREEZE, MARTIN	HARTFORD LEADERS OUTLOOK (ILA)	965.26	2.41	0.00	2.41	85.0	0.17
12/31/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	192,250.77	160.21	0.00	160.21	85.0	11.21
12/31/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	19,354.75	16.13	0.00	16.13	85.0	1.13
12/31/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	54,590.59	45.49	0.00	45.49	85.0	3.18
12/31/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	43,169.82	35.97	0.00	35.97	85.0	2.52
12/27/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	0.39
12/27/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
12/30/2017	PRZYBYLSKI, DIANA	NATIONWIDE DESTINATION LS 96	93,501.41	233.75	0.00	233.75	85.0	16.36
01/03/2018	FRANTZ, JUDITH	PIIONEER CULLEN VALUE FUND A	0.00	18.27	0.00	18.27	85.0	1.28
01/03/2018	FRANTZ, JUDITH	PIIONEER EMERGING MARKETS A	0.00	2.48	0.00	2.48	85.0	0.17
01/03/2018	FRANTZ, JUDITH	PIIONEER EQUITY INCOME A	0.00	34.38	0.00	34.38	85.0	2.41
01/03/2018	FRANTZ, JUDITH	PIIONEER FUND A	0.00	4.21	0.00	4.21	85.0	0.29
01/03/2018	FRANTZ, JUDITH	PIIONEER GLOBAL EQUITY A	0.00	2.42	0.00	2.42	85.0	0.17
01/03/2018	FRANTZ, JUDITH	PIIONEER GROWTH OPPORTUNITIES A	0.00	4.57	0.00	4.57	85.0	0.32
01/03/2018	FRANTZ, JUDITH	PIIONEER VALUE A	0.00	35.23	0.00	35.23	85.0	2.47
05/08/2017	HALSEY, VARIOUS	TOUCHSTONE VA TRAILS	0.00	21.82	0.00	21.82	85.0	1.53
12/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	135,664.07	113.05	0.00	113.05	85.0	7.91
12/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	111,693.81	93.08	0.00	93.08	85.0	6.52

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: THOMAS HALSEY #82</u></b>								
12/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	84,653.94	70.54	0.00	70.54	85.0	4.94
12/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	241,157.14	200.96	0.00	200.96	85.0	14.07
12/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	103,776.25	86.48	0.00	86.48	85.0	6.05
12/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	145,749.03	121.46	0.00	121.46	85.0	8.50
12/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	152,866.24	127.39	0.00	127.39	85.0	8.92
12/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	196,423.83	163.69	0.00	163.69	85.0	11.46
12/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	249,372.13	207.81	0.00	207.81	85.0	14.55
12/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	137,050.77	114.21	0.00	114.21	85.0	7.99
12/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	180,649.39	150.54	0.00	150.54	85.0	10.54
12/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	112,583.94	93.82	0.00	93.82	85.0	6.57
12/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	97,164.78	80.97	0.00	80.97	85.0	5.67
12/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	91,004.00	75.84	0.00	75.84	85.0	5.31
12/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	141,112.52	117.59	0.00	117.59	85.0	8.23
12/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	68,392.62	56.99	0.00	56.99	85.0	3.99
12/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	29,780.88	24.82	0.00	24.82	85.0	1.74
12/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	228,112.13	190.09	0.00	190.09	85.0	13.31
12/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	71,088.52	59.24	0.00	59.24	85.0	4.15
12/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	71,088.52	59.24	0.00	59.24	85.0	4.15
12/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	71,088.52	59.24	0.00	59.24	85.0	4.15
12/20/2017	RICHARDS, STEPHEN	TRANSAMERICA LIBERTY	106,721.13	88.93	0.00	88.93	85.0	6.23
12/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	207,342.30	172.79	0.00	172.79	85.0	12.10
12/22/2017	AUGUSTYNIAC JR, ROGER	TRANSAMERICA LIBERTY	437,861.64	364.88	0.00	364.88	85.0	25.54
12/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	96,052.07	80.04	0.00	80.04	85.0	5.60
12/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	415,386.65	346.16	0.00	346.16	85.0	24.23
12/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	73,309.86	61.09	0.00	61.09	85.0	4.28
12/26/2017	ANNESSER, CAROL	TRANSAMERICA LIBERTY	113,092.26	94.24	0.00	94.24	85.0	6.60
12/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	125,427.21	104.52	0.00	104.52	85.0	7.32
12/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	29,477.04	24.56	0.00	24.56	85.0	1.72
12/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	68,687.33	57.24	0.00	57.24	85.0	4.01
12/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	37,250.33	31.04	0.00	31.04	85.0	2.17
12/30/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	49,578.97	41.32	0.00	41.32	85.0	2.89
* THOMAS HALSEY SUBTOTAL *			\$4,886,592.42	\$4,560.62	\$0.00	\$4,560.62		\$319.28

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ROBERT THOMPSON #83 </u></b>								
12/29/2017	FLECK, MARTHA	ALLIANZ VALUEMARK II	26,355.07	16.47	0.00	16.47	90.0	0.33
01/02/2018	MOORE, EILEEN	AM FUNDS BOND FUND OF AMERICA A	20,000.00	400.00	0.00	400.00	90.0	8.00
12/26/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
12/31/2017	RICHARDS, MILDRED	JNL PERSPECTIVE	34,581.29	7.20	0.00	7.20	90.0	0.14
01/08/2018	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
12/31/2017	FLECK, GERALD	JNL PERSPECTIVE II 05/05	2,143.44	1.34	0.00	1.34	90.0	0.03
12/31/2017	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	39,559.24	32.97	0.00	32.97	90.0	0.66
12/31/2017	SMITH, FRANK	JOHN HANCOCK VENTURE III	103,927.79	86.61	0.00	86.61	90.0	1.73
12/31/2017	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	25,863.16	21.55	0.00	21.55	90.0	0.43
12/31/2017	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	36,764.26	30.64	0.00	30.64	90.0	0.61
12/31/2017	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	127,432.02	106.19	0.00	106.19	90.0	2.12
12/31/2017	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	135,329.75	112.77	0.00	112.77	90.0	2.26
12/31/2017	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	170,896.97	142.41	0.00	142.41	90.0	2.85
12/31/2017	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	25,891.79	21.58	0.00	21.58	90.0	0.43
12/31/2017	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	44,037.96	36.70	0.00	36.70	90.0	0.73
12/31/2017	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	19,262.31	16.05	0.00	16.05	90.0	0.32
12/31/2017	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	23,167.42	19.31	0.00	19.31	90.0	0.39
12/31/2017	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	166,297.25	138.58	0.00	138.58	90.0	2.77
12/31/2017	ELWING, LOUISE	JOHN HANCOCK VENTURE III	156,415.98	130.35	0.00	130.35	90.0	2.61
12/31/2017	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	152,527.75	127.11	0.00	127.11	90.0	2.54
12/31/2017	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	222,142.06	185.12	0.00	185.12	90.0	3.70
12/31/2017	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	42,059.58	35.05	0.00	35.05	90.0	0.70
12/31/2017	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	121,138.21	100.95	0.00	100.95	90.0	2.02
12/31/2017	RICE, PAMELA	JOHN HANCOCK VENTURE III	113,229.84	94.36	0.00	94.36	90.0	1.89
12/31/2017	RINGGER, LINDA	JOHN HANCOCK VENTURE III	123,009.22	102.51	0.00	102.51	90.0	2.05
12/31/2017	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	24,443.19	20.37	0.00	20.37	90.0	0.41
12/31/2017	WODA, HELENE	JOHN HANCOCK VENTURE III	27,455.94	22.88	0.00	22.88	90.0	0.46
12/30/2017	BLADEL, JACQUELYN	NATIONWIDE DESTINATION L2 01	76,976.00	192.44	0.00	192.44	90.0	3.85
12/30/2017	OSTER, JOHN	NATIONWIDE DESTINATION L2 01	80,033.27	200.08	0.00	200.08	90.0	4.00
12/30/2017	SMITH, HELENE	NATIONWIDE DESTINATION LS 96	33,878.90	84.70	0.00	84.70	90.0	1.69
12/30/2017	RICE, SUSAN	NATIONWIDE DESTINATION LS 96	126,794.95	316.99	0.00	316.99	90.0	6.34
12/30/2017	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	92,639.02	231.60	0.00	231.60	90.0	4.63
12/30/2017	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	100,292.14	250.73	0.00	250.73	90.0	5.01

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: ROBERT THOMPSON #83</u></b>								
12/30/2017	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	89,192.49	222.98	0.00	222.98	90.0	4.46
12/30/2017	ROSSWURM, ELAINE	NATIONWIDE DESTINATION LS 96	214,049.12	535.12	0.00	535.12	90.0	10.70
12/30/2017	SHAFFER, EMILY	NATIONWIDE DESTINATION LS 96	93,216.89	233.04	0.00	233.04	90.0	4.66
12/30/2017	COOPER, MARK	NATIONWIDE DESTINATION LS 96	108,768.84	271.92	0.00	271.92	90.0	5.44
12/30/2017	JACOB, MARILYN	NATIONWIDE DESTINATION LS 96	94,098.15	235.25	0.00	235.25	90.0	4.71
12/30/2017	FLECK, GERALD	NATIONWIDE NEW HEIGHTS FIA	48,904.80	61.13	0.00	61.13	90.0	1.22
12/30/2017	FLECK, GERALD	NATIONWIDE NEW HEIGHTS FIA	73,384.21	91.73	0.00	91.73	90.0	1.83
12/30/2017	HALL, SCOTT	NATIONWIDE NEW HEIGHTS FIA	16,000.17	200.00	0.00	200.00	90.0	4.00
12/30/2017	HILEMAN, RONALD	NATIONWIDE NEW HEIGHTS FIA	94,814.52	94.81	0.00	94.81	90.0	1.90
12/30/2017	GRIM, SUSAN	NW DESTINATION B2 05	8,676.70	16.27	0.00	16.27	90.0	0.33
12/30/2017	WALTER, LAURA	NW DESTINATION B2 05	301,810.07	565.89	0.00	565.89	90.0	11.32
12/30/2017	GRUBB, BEVERLY	NWL DESTINATION EV2 01	72,609.71	45.38	0.00	45.38	90.0	0.91
/ /	FLECK, KATHRYN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	2.11	0.00	2.11	90.0	0.04
/ /	FLECK, KATHRYN	OPPENHEIMER MAIN STREET A	0.00	2.68	0.00	2.68	90.0	0.05
/ /	FLECK, KATHRYN	OPPENHEIMER QUEST OPP VALUE A	0.00	1.62	0.00	1.62	90.0	0.03
/ /	FLECK, KATHRYN	OPPENHEIMER SMALL MID CAP VALUE A	0.00	4.57	0.00	4.57	90.0	0.09
12/29/2017	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	58,925.14	61.38	0.00	61.38	90.0	1.23
12/02/2017	RINGGER, MARK	TRANSAMERICA LIBERTY	138,973.67	115.81	0.00	115.81	90.0	2.32
12/04/2017	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	4,750.57	3.96	0.00	3.96	90.0	0.08
12/05/2017	MOORE, EILEEN	TRANSAMERICA LIBERTY	264,203.20	220.17	0.00	220.17	90.0	4.40
12/05/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	24,615.63	20.51	0.00	20.51	90.0	0.41
12/07/2017	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	6,018.15	5.02	0.00	5.02	90.0	0.10
12/07/2017	FRAZIER, JULIE	TRANSAMERICA LIBERTY	57,154.82	47.63	0.00	47.63	90.0	0.95
12/08/2017	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	93,706.97	78.09	0.00	78.09	90.0	1.56
12/09/2017	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	48,318.64	40.27	0.00	40.27	90.0	0.81
12/12/2017	LABERDEE, LARRY	TRANSAMERICA LIBERTY	33,279.24	27.73	0.00	27.73	90.0	0.55
12/13/2017	WODA, HELENE	TRANSAMERICA LIBERTY	39,234.10	32.70	0.00	32.70	90.0	0.65
12/13/2017	FURNEY, DANNY	TRANSAMERICA LIBERTY	256,628.07	213.86	0.00	213.86	90.0	4.28
12/13/2017	MERZ, EDWIN	TRANSAMERICA LIBERTY	157,821.31	131.52	0.00	131.52	90.0	2.63
12/13/2017	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	80,430.20	67.03	0.00	67.03	90.0	1.34
12/15/2017	RICE, CHERYL	TRANSAMERICA LIBERTY	2,638.46	2.20	0.00	2.20	90.0	0.04
12/15/2017	JACOB, MARILYN	TRANSAMERICA LIBERTY	243,174.86	202.65	0.00	202.65	90.0	4.05
12/16/2017	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	114,480.64	95.40	0.00	95.40	90.0	1.91

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ROBERT THOMPSON #83 </u></b>								
12/16/2017	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	2,688.23	2.24	0.00	2.24	90.0	0.04
12/16/2017	RICE, SUSAN	TRANSAMERICA LIBERTY	122,511.94	102.09	0.00	102.09	90.0	2.04
12/17/2017	OSTER, JENNIFER	TRANSAMERICA LIBERTY	27,162.71	22.64	0.00	22.64	90.0	0.45
12/21/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	101,543.76	84.62	0.00	84.62	90.0	1.69
12/22/2017	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	81,700.03	68.08	0.00	68.08	90.0	1.36
12/22/2017	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	40,857.72	34.05	0.00	34.05	90.0	0.68
12/22/2017	JEFFERS, DAVID	TRANSAMERICA LIBERTY	113,771.56	94.81	0.00	94.81	90.0	1.90
12/23/2017	SEITZINGER, MARK	TRANSAMERICA LIBERTY	72,630.83	60.53	0.00	60.53	90.0	1.21
12/23/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	100,695.73	83.91	0.00	83.91	90.0	1.68
12/24/2017	HALL, WAYNE	TRANSAMERICA LIBERTY	170,027.79	141.69	0.00	141.69	90.0	2.83
12/24/2017	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	215,774.03	179.81	0.00	179.81	90.0	3.60
12/26/2017	WENZ, JAMES	TRANSAMERICA LIBERTY	26,252.17	21.88	0.00	21.88	90.0	0.44
12/26/2017	FISH, SANDRA	TRANSAMERICA LIBERTY	77,261.84	64.38	0.00	64.38	90.0	1.29
12/26/2017	RICE, PAMELA	TRANSAMERICA LIBERTY	62,383.86	51.99	0.00	51.99	90.0	1.04
12/27/2017	DAVIS, HOWARD	TRANSAMERICA LIBERTY	30,921.64	25.77	0.00	25.77	90.0	0.52
12/28/2017	COOPER, MARK	TRANSAMERICA LIBERTY	116,941.59	97.45	0.00	97.45	90.0	1.95
12/29/2017	SHAFFER, EMILY	TRANSAMERICA LIBERTY	168,942.62	140.79	0.00	140.79	90.0	2.82
* ROBERT THOMPSON SUBTOTAL *			<b>\$6,866,793.16</b>	<b>\$8,533.03</b>	<b>\$0.00</b>	<b>\$8,533.03</b>		<b>\$170.64</b>
*** OVERRIDE TRANSACTIONS TOTAL ***			<b>\$11,755,885.58</b>	<b>\$13,203.65</b>	<b>\$0.00</b>	<b>\$13,203.65</b>		<b>\$497.62</b>

 **ADJUSTMENTS** 

01/15/2018	*ALBRIDGE: FULL USER	0.00						-176.00
01/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
01/15/2018	*PERSHING: BOOKS AND RECORDS	0.00						-1.98
01/15/2018	*PERSHING: MANDITORY REORG - IRWIN	0.00						-10.00
01/15/2018	*TECHNOLOGY: ADMIN - DEB RYAN 1/3R	0.00						-43.34
01/15/2018	*TECHNOLOGY: ADMIN - J BOYERS 1/3RD	0.00						-43.34
01/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-754.66</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$10,690.55
Split Transactions	\$0.00
Override Transactions	\$497.62
Adjustments	\$-754.66
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,433.51
Year-to-date Gross	\$11,642.68
Year-to-date Earnings	\$11,188.17