



COMMISSION STATEMENT

August 20, 2018

RICHARD PASCALE
321 Grover Avenue North
Massapequa Park, NY 11762

BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/06/2018	***-**-7975 - VAGLIO, JOSEPH	FA 529 COLLEGE PORT-CL C	250.00	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00701694614	BUY 16 Units @15.3600						
		* MUTUAL FUNDS SUBTOTAL *	\$250.00	\$1.25	\$0.00	\$1.25		\$1.13
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/11/2018	***-**-285P - MORMINO, STEVE	PACIFIC LIFE ABRB DTCC	181.67	1.82	0.00	1.82	90.0	1.64
	ACCOUNT #: VP71053490	BUY 0 Units @0.0000						
08/12/2018	***-**-1539 - SPADARO, VICTOR	PACIFIC LIFE ABRB DTCC	400.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: VP71039250	BUY 0 Units @0.0000						
08/14/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	1,000.00	15.00	0.00	15.00	90.0	13.50
	ACCOUNT #: VP71054510	BUY 0 Units @0.0000						
08/15/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	165.33	1.66	0.00	1.66	90.0	1.49
	ACCOUNT #: VP71058060	BUY 0 Units @0.0000						
08/15/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	155.75	1.56	0.00	1.56	90.0	1.40
	ACCOUNT #: VP71058080	BUY 0 Units @0.0000						
08/15/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	164.42	1.65	0.00	1.65	90.0	1.49
	ACCOUNT #: VP71058050	BUY 0 Units @0.0000						
08/15/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	113.58	1.14	0.00	1.14	90.0	1.03
	ACCOUNT #: VP71058070	BUY 0 Units @0.0000						
08/15/2018	***-**-8751 - QUEZADA, RITA	PACIFIC LIFE VA DTCC RENEWALS	150.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71049780	BUY 0 Units @0.0000						
08/15/2018	***-**-8751 - QUEZADA, RITA	PACIFIC LIFE VA DTCC RENEWALS	150.00	0.75	0.00	0.75	90.0	0.68
	ACCOUNT #: VP71049780	BUY 0 Units @0.0000						
08/15/2018	***-**-8751 - QUEZADA, RITA	PACIFIC LIFE VA DTCC RENEWALS	150.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71049780	BUY 0 Units @0.0000						
08/17/2018	***-**-8166 - GANZ, CARY	PACIFIC LIFE VA DTCC RENEWALS	1,600.00	8.00	0.00	8.00	90.0	7.20
	ACCOUNT #: VP71031550	BUY 0 Units @0.0000						
08/17/2018	***-**-8166 - GANZ, CARY	PACIFIC LIFE VA DTCC RENEWALS	1,600.00	8.00	0.00	8.00	90.0	7.20
	ACCOUNT #: VP71031550	BUY 0 Units @0.0000						
08/14/2018	***-**-7172 - WINBURN, WILL	PACIFIC LIFE VA TRAILS DTCC	180.00	1.80	0.00	1.80	90.0	1.62
	ACCOUNT #: VP65806640	BUY 0 Units @0.0000						
08/14/2018	***-**-7172 - WINBURN, WILL	PACIFIC LIFE VA TRAILS DTCC	180.00	1.80	0.00	1.80	90.0	1.62
	ACCOUNT #: VP65806640	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
* VARIABLE ANNUITIES SUBTOTAL *			\$6,190.75	\$45.94	\$0.00	\$45.94		\$41.35
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	***-**-8546 - KALANTZOPOULOS,	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: LSR101451	BUY 1 Units @0.0000						
/ /	***-**-3854 - TAYLOR, KATHLEEN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.74	0.00	4.74	90.0	4.27
	ACCOUNT #: LSR103144	BUY 1 Units @0.0000						
/ /	***-**-9101 - PASCALE, DEBRA	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	2.35	0.00	2.35	90.0	2.12
	ACCOUNT #: LSR107220	BUY 1 Units @0.0000						
/ /	***-**-9101 - PASCALE, DEBRA	ALLIANZ RCM GLOBAL SMALL-CAP FUND C	0.00	2.78	0.00	2.78	90.0	2.50
	ACCOUNT #: LSR107220	BUY 1 Units @0.0000						
/ /	***-**-711N - PASCALE, LYNNE	FIDELITY ADV HEALTH CARE-CL A	0.00	14.12	0.00	14.12	90.0	12.71
	ACCOUNT #: LSR003816	BUY 1 Units @0.0000						
/ /	***-**-711N - PASCALE, LYNNE	FIDELITY ADV LARGE CAP-CL A	0.00	3.09	0.00	3.09	90.0	2.78
	ACCOUNT #: LSR003816	BUY 1 Units @0.0000						
/ /	***-**-1469 - GIGLIO, SUSAN	FIDELITY ADV LARGE CAP-CL A	0.00	3.56	0.00	3.56	90.0	3.20
	ACCOUNT #: LSR102172	BUY 1 Units @0.0000						
/ /	***-**-3410 - PONSOT, DENIS	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	0.69	0.00	0.69	90.0	0.62
	ACCOUNT #: LSR100803	BUY 1 Units @0.0000						
/ /	***-**-1469 - GIGLIO, SUSAN	FIDELITY ADV MID CAP II-CL A	0.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: LSR102172	BUY 1 Units @0.0000						
/ /	***-**-3410 - PONSOT, DENIS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.86	0.00	0.86	90.0	0.77
	ACCOUNT #: LSR100803	BUY 1 Units @0.0000						
/ /	***-**-623I - LEIBOWITZ, IRWIN	FIDELITY ADVISOR CONSUMER STAPLES F	0.00	3.88	0.00	3.88	90.0	3.49
	ACCOUNT #: LSR102156	BUY 1 Units @0.0000						
/ /	***-**-1469 - GIGLIO, SUSAN	FIDELITY ADVISOR SMALL-CAP GROWTH F	0.00	3.40	0.00	3.40	90.0	3.06
	ACCOUNT #: LSR102172	BUY 1 Units @0.0000						
/ /	***-**-9101 - PASCALE, DEBRA	PUTNAM ASSET ALLOCATION BALANCED PO	0.00	1.07	0.00	1.07	90.0	0.96
	ACCOUNT #: LSR107220	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$43.82	\$0.00	\$43.82		\$39.43
*** BROKER TRANSACTIONS TOTAL ***			\$6,440.75	\$91.01	\$0.00	\$91.01		\$81.91



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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFFREY MORRISON</u>								
/ /	***-**-8826 - LAFRANCE, ACCOUNT #: LSR100145	ALLIANZ NFJ DIVIDEND VALUE FUND CLA BUY 1 Units @0.0000	0.00	0.61	0.00	0.61	90.0	0.55
08/14/2018	***-**-157X - COHEN, LISA ACCOUNT #: LSR012696	AMAZON.COM INC UNSOLICITED ORDER BUY 5 Units @1913.8890	9,569.45	27.00	9.00	18.00	90.0	16.20
/ /	***-**-553J - OLIVO, EILEEN ACCOUNT #: LSR101540	AMCAP FUND-A BUY 1 Units @0.0000	0.00	0.52	0.00	0.52	90.0	0.47
/ /	***-**-2838 - WATSON ACCOUNT #: LSR106100	AMCAP FUND-A BUY 1 Units @0.0000	0.00	0.46	0.00	0.46	90.0	0.41
/ /	***-**-9481 - WATSON ACCOUNT #: LSR106135	AMCAP FUND-A BUY 1 Units @0.0000	0.00	1.32	0.00	1.32	90.0	1.19
/ /	***-**-5165 - WATSON ACCOUNT #: LSR106151	AMCAP FUND-A BUY 1 Units @0.0000	0.00	0.63	0.00	0.63	90.0	0.57
/ /	***-**-1182 - WATSON ACCOUNT #: LSR106160	AMCAP FUND-A BUY 1 Units @0.0000	0.00	0.86	0.00	0.86	90.0	0.77
/ /	***-**-553J - OLIVO, EILEEN ACCOUNT #: LSR101540	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	0.50	0.00	0.50	90.0	0.45
/ /	***-**-1182 - WATSON ACCOUNT #: LSR106160	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	0.72	0.00	0.72	90.0	0.65
/ /	***-**-2838 - WATSON ACCOUNT #: LSR106100	AMERICAN NEW ECONOMY CLASS F1 BUY 1 Units @0.0000	0.00	0.57	0.00	0.57	90.0	0.51
/ /	***-**-9481 - WATSON ACCOUNT #: LSR106135	AMERICAN NEW ECONOMY CLASS F1 BUY 1 Units @0.0000	0.00	0.94	0.00	0.94	90.0	0.85
08/14/2018	***-**-157X - COHEN, LISA ACCOUNT #: LSR012696	AT&T INC COM BUY 100 Units @32.2800	3,228.00	27.00	8.50	18.50	90.0	16.65
08/14/2018	***-**-157X - COHEN, LISA ACCOUNT #: LSR012696	BERKSHIRE HATHAWAY INC DEL CL B NEW BUY 15 Units @205.9780	3,089.67	27.00	9.00	18.00	90.0	16.20
/ /	***-**-1182 - WATSON ACCOUNT #: LSR106160	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	0.41	0.00	0.41	90.0	0.37
08/07/2018	***-**-9976 - GANZ, BARBARA ACCOUNT #: 00700773088	FA 529 PORT 2022-CL A BUY 18 Units @28.0500	500.00	7.50	0.00	7.50	90.0	6.75
/ /	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 1 Units @0.0000	0.00	3.42	0.00	3.42	90.0	3.08



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
/ /	***-**-4673 - PEPE, JOANN	FIDELITY ADV DIVIDEND GROWTH FD CL	0.00	1.68	0.00	1.68	90.0	1.51
	ACCOUNT #: LSR105562	BUY 1 Units @0.0000						
/ /	***-**-9974 - JAKOBSEN, GLENN	FIDELITY ADV FINANCIAL SERVICES CL	0.00	2.62	0.00	2.62	90.0	2.36
	ACCOUNT #: LSR104710	BUY 1 Units @0.0000						
/ /	***-**-27TR - PELL TTEE,	FIDELITY ADV GROWTH & INCOME CL C	0.00	3.68	0.00	3.68	90.0	3.31
	ACCOUNT #: LSR010162	BUY 1 Units @0.0000						
/ /	***-**-27IR - PELL, ANDREA	FIDELITY ADV GROWTH & INCOME CL C	0.00	1.07	0.00	1.07	90.0	0.96
	ACCOUNT #: LSR010637	BUY 1 Units @0.0000						
/ /	***-**-85IN - YANKILEVICH,	FIDELITY ADV GROWTH & INCOME CL C	0.00	9.19	0.00	9.19	90.0	8.27
	ACCOUNT #: LSR010898	BUY 1 Units @0.0000						
/ /	***-**-27TR - PELL TTEE,	FIDELITY ADV HEALTH CARE CL C	0.00	3.88	0.00	3.88	90.0	3.49
	ACCOUNT #: LSR010162	BUY 1 Units @0.0000						
/ /	***-**-27IR - PELL, ANDREA	FIDELITY ADV HEALTH CARE CL C	0.00	1.13	0.00	1.13	90.0	1.02
	ACCOUNT #: LSR010637	BUY 1 Units @0.0000						
/ /	***-**-85IN - YANKILEVICH,	FIDELITY ADV HEALTH CARE CL C	0.00	10.59	0.00	10.59	90.0	9.53
	ACCOUNT #: LSR010898	BUY 1 Units @0.0000						
/ /	***-**-640K - OLLECH, MARCEL	FIDELITY ADV HEALTH CARE-CL A	0.00	0.68	0.00	0.68	90.0	0.61
	ACCOUNT #: LSR010819	BUY 1 Units @0.0000						
/ /	***-**-60TR - BRILL FAMILY	FIDELITY ADV HEALTH CARE-CL A	0.00	3.39	0.00	3.39	90.0	3.05
	ACCOUNT #: LSR011537	BUY 1 Units @0.0000						
/ /	***-**-88IN - HOVSEPIAN,	FIDELITY ADV HEALTH CARE-CL A	0.00	0.47	0.00	0.47	90.0	0.42
	ACCOUNT #: LSR011940	BUY 1 Units @0.0000						
/ /	***-**-464I - GAMINI, ARUNA	FIDELITY ADV HEALTH CARE-CL A	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR012623	BUY 1 Units @0.0000						
/ /	***-**-2771 - ZALINSKY,	FIDELITY ADV HEALTH CARE-CL A	0.00	0.62	0.00	0.62	90.0	0.56
	ACCOUNT #: LSR100013	BUY 1 Units @0.0000						
/ /	***-**-60TR - BRILL FAMILY	FIDELITY ADV INDUSTRIALS CL A	0.00	4.76	0.00	4.76	90.0	4.28
	ACCOUNT #: LSR011537	BUY 1 Units @0.0000						
/ /	***-**-640K - OLLECH, MARCEL	FIDELITY ADV INT'L CAPITAL APP CL A	0.00	2.56	0.00	2.56	90.0	2.30
	ACCOUNT #: LSR010819	BUY 1 Units @0.0000						
/ /	***-**-9974 - JAKOBSEN, GLENN	FIDELITY ADV LARGE CAP-CL A	0.00	9.60	0.00	9.60	90.0	8.64
	ACCOUNT #: LSR104710	BUY 1 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
/ /	***-**-9333 - SPINELLI, SONIA	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	0.53	0.00	0.53	90.0	0.48
	ACCOUNT #: LSR102431	BUY 1 Units @0.0000						
/ /	***-**-4673 - PEPE, JOANN	FIDELITY ADV MID CAP II-CL A	0.00	1.22	0.00	1.22	90.0	1.10
	ACCOUNT #: LSR105554	BUY 1 Units @0.0000						
/ /	***-**-9333 - SPINELLI, SONIA	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.74	0.00	0.74	90.0	0.67
	ACCOUNT #: LSR102431	BUY 1 Units @0.0000						
/ /	***-**-4673 - PEPE, JOANN	FIDELITY ADV NEW INSIGHTS-CL A	0.00	2.44	0.00	2.44	90.0	2.20
	ACCOUNT #: LSR105562	BUY 1 Units @0.0000						
/ /	***-**-60TR - BRILL FAMILY	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	2.65	0.00	2.65	90.0	2.39
	ACCOUNT #: LSR011537	BUY 1 Units @0.0000						
/ /	***-**-464I - GAMINI, ARUNA	FIDELITY ADV TECHNOLOGY CL A	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR012623	BUY 1 Units @0.0000						
/ /	***-**-60TR - BRILL FAMILY	FIDELITY ADV UTILITIES CL A	0.00	6.38	0.00	6.38	90.0	5.74
	ACCOUNT #: LSR011537	BUY 1 Units @0.0000						
/ /	***-**-60TR - BRILL FAMILY	FIDELITY ADVISOR CONSUMER STAPLES F	0.00	3.17	0.00	3.17	90.0	2.85
	ACCOUNT #: LSR011537	BUY 1 Units @0.0000						
/ /	***-**-60TR - BRILL FAMILY	FIDELITY ADVISOR CORP BOND CLASS A	0.00	2.63	0.00	2.63	90.0	2.37
	ACCOUNT #: LSR011537	BUY 1 Units @0.0000						
/ /	***-**-464I - GAMINI, ARUNA	FIDELITY ADVISOR EMERGING ASIA FUND	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR012623	BUY 1 Units @0.0000						
/ /	***-**-464I - GAMINI, ARUNA	FIDELITY ADVISOR FREEDOM 2040	0.00	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: LSR012623	BUY 1 Units @0.0000						
/ /	***-**-29IO - WHITE, SHIRLEY	FIDELITY ADVISOR MID CAP STOCK CL A	0.00	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: LSR012456	BUY 1 Units @0.0000						
/ /	***-**-60TR - BRILL FAMILY	FIDELITY ADVISOR REAL ESTATE FUND C	0.00	2.26	0.00	2.26	90.0	2.03
	ACCOUNT #: LSR011537	BUY 1 Units @0.0000						
/ /	***-**-4673 - PEPE, JOANN	FIDELITY ADVISOR SMALL-CAP GROWTH F	0.00	1.58	0.00	1.58	90.0	1.42
	ACCOUNT #: LSR105554	BUY 1 Units @0.0000						
/ /	***-**-7863 - MARK, ROBERT	FIRST EAGLE GLOBAL FUND CLASS C	0.00	14.48	0.00	14.48	90.0	13.03
	ACCOUNT #: LSR100102	BUY 1 Units @0.0000						
/ /	***-**-1182 - WATSON	FUNDAMENTAL INVESTORS-A	0.00	0.37	0.00	0.37	90.0	0.33
	ACCOUNT #: LSR106160	BUY 1 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
07/24/2018	***-**-485X - MAYER, RUSSELL	GRIFFIN HEALTHCARE REIT IV BUY 20 Units @1000.0000	20,100.00	301.50	0.00	301.50	90.0	271.35
/ /	***-**-2816 - CALDERA, ACCOUNT #: LSR103039	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	6.51	0.00	6.51	90.0	5.86
/ /	***-**-4434 - CALDERA, ACCOUNT #: LSR108855	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	3.72	0.00	3.72	90.0	3.35
/ /	***-**-242I - KOUNOUKLOS, ACCOUNT #: LSR005991	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	90.0	0.06
/ /	***-**-36IR - KOUNOUKLOS, ACCOUNT #: LSR006009	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.51	0.00	0.51	90.0	0.46
/ /	***-**-99IO - COHEN ACCOUNT #: LSR008370	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	2.15	0.00	2.15	90.0	1.94
/ /	***-**-06IN - MARK, SCOTT ACCOUNT #: LSR010056	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	7.67	0.00	7.67	90.0	6.90
/ /	***-**-17IR - TRAUTMAN, SHERRI ACCOUNT #: LSR010246	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.24	0.00	0.24	90.0	0.22
/ /	***-**-75TR - WATSON TTEE, ACCOUNT #: LSR010292	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	1.52	0.00	1.52	90.0	1.37
/ /	***-**-36IN - KOUNOUKLOS ACCOUNT #: LSR010363	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.05	0.00	0.05	90.0	0.05
/ /	***-**-236J - KOUNOUKLOS, ACCOUNT #: LSR010580	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	90.0	0.92
/ /	***-**-640K - OLLECH, MARCEL ACCOUNT #: LSR010819	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	1.01	0.00	1.01	90.0	0.91
/ /	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	10.13	0.00	10.13	90.0	9.12
/ /	***-**-24IO - REICH COHEN, ACCOUNT #: LSR011560	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	1.68	0.00	1.68	90.0	1.51
/ /	***-**-809I - YANKILEVICH, ACCOUNT #: LSR011625	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.46	0.00	0.46	90.0	0.41
/ /	***-**-88IN - HOVSEPIAN, ACCOUNT #: LSR011940	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.62	0.00	0.62	90.0	0.56



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
/ /	***-**-92IN - GIANNASCO, ACCOUNT #: LSR012648	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.15	0.00	0.15	90.0	0.14
/ /	***-**-0218 - MARK CUSTODIAN, ACCOUNT #: LSR100838	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.49	0.00	0.49	90.0	0.44
/ /	***-**-9659 - MARK CUSTODIAN, ACCOUNT #: LSR100854	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.49	0.00	0.49	90.0	0.44
07/13/2018	***-**-75TR - WATSON TTEE, ACCOUNT #: LSR103080	GWG L BOND - 2YR BUY 50 Units @1000.0000	50,000.00	1,000.00	0.00	1,000.00	90.0	900.00
/ /	***-**-00IR - WHITFIELD, FBO ACCOUNT #: LSR103080	MASSACHUSETTS INVESTORS TRUST-A BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	90.0	0.79
/ /	***-**-2838 - WATSON ACCOUNT #: LSR106100	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.76	0.00	0.76	90.0	0.68
/ /	***-**-9481 - WATSON ACCOUNT #: LSR106135	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	90.0	0.20
/ /	***-**-5165 - WATSON ACCOUNT #: LSR106151	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.26	0.00	0.26	90.0	0.23
/ /	***-**-1182 - WATSON ACCOUNT #: LSR106160	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.60	0.00	0.60	90.0	0.54
08/12/2018	***-**-809I - YANKILEVICH, ACCOUNT #: VP65924490	PACIFIC LIFE EXCE 5A DTCC BUY 0 Units @0.0000	350.00	3.50	0.00	3.50	90.0	3.15
08/15/2018	***-**-8599 - COHEN, STUART ACCOUNT #: VP65920220	PACIFIC LIFE EXCE 5A DTCC BUY 0 Units @0.0000	500.00	5.00	0.00	5.00	90.0	4.50
08/15/2018	***-**-9012 - GIUFFRE, RICHARD ACCOUNT #: VP65345830	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	250.00	1.25	0.00	1.25	90.0	1.13
08/15/2018	***-**-9012 - GIUFFRE, RICHARD ACCOUNT #: VP65345830	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	250.00	1.25	0.00	1.25	90.0	1.13
08/16/2018	***-**-6017 - DILL, ROWAN ACCOUNT #: VP65524490	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	210.00	1.05	0.00	1.05	90.0	0.95
08/16/2018	***-**-6017 - DILL, ROWAN ACCOUNT #: VP65524490	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	210.00	1.05	0.00	1.05	90.0	0.95
/ /	***-**-00IR - WHITFIELD, FBO ACCOUNT #: LSR103080	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 1 Units @0.0000	0.00	1.07	0.00	1.07	90.0	0.96



COMMISSION STATEMENT

August 20, 2018

RICHARD PASCALE
321 Grover Avenue North
Massapequa Park, NY 11762

BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
/ /	***-**-00IR - WHITFIELD, FBO	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	2.69	0.00	2.69	90.0	2.42
	ACCOUNT #: LSR103080	BUY 1 Units @0.0000						
/ /	***-**-9132 - WOLOWITZ, CAROL	THE BOND FUND OF AMERICA-C	0.00	1.04	0.00	1.04	90.0	0.94
	ACCOUNT #: LSR101753	BUY 1 Units @0.0000						
08/14/2018	***-**-157X - COHEN, LISA	WALMART STORES INC UNSOLICITED ORDE	3,632.64	27.00	7.90	19.10	90.0	17.19
	ACCOUNT #: LSR012696	BUY 40 Units @90.8160						
* JEFFREY MORRISON SUBTOTAL *			\$91,889.76	\$1,581.51	\$34.40	\$1,547.11		\$1,392.43
*** SPLIT TRANSACTIONS TOTAL ***			\$91,889.76	\$1,581.51	\$34.40	\$1,547.11		\$1,392.43
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: ALAN FISHMAN</u>								
08/10/2018	***-**-19IN - BLUMEN, IAN	AIM SMALL CAP GROWTH FUND - CLASS A	25.00	1.25	0.00	1.25	7.5	0.09
	ACCOUNT #: 05066338392	BUY 1 Units @44.4100						
* ALAN FISHMAN SUBTOTAL *			\$25.00	\$1.25	\$0.00	\$1.25		\$0.09
*** OVERRIDE TRANSACTIONS TOTAL ***			\$25.00	\$1.25	\$0.00	\$1.25		\$0.09



BROKER #: 981
BRANCH #01033 - 33 - PASCALE

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$81.91
						Split Transactions		\$1,392.43
						Override Transactions		\$0.09
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,474.43
						Year-to-date Earnings		\$41,477.45