



All Companies

01/30/2018  
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# COMMISSION STATEMENT February 01, 2018

DANIEL WILLIAM ASHLEY  
10809 Pebblecreek Court

BROKER #: 5276  
BRANCH: HOME OFFICE

Benbrook, TX 76126

## COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

12/31/2017	TRAILS	-FIDELITY ADVISOR FUNDS	B	170.57	170.57	0.00	170.57	70.0	119.40
12/31/2017	TRAILS	-JOHN HANCOCK FREEDOM 529	B	50.00	4.82	0.00	4.82	70.0	3.37
01/12/2018	00089239225 LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	75.00	0.75	0.00	0.75	70.0	0.53
01/12/2018	00089239225 LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
01/12/2018	00089239238 LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	75.00	0.75	0.00	0.75	70.0	0.53
01/12/2018	00089239238 LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	480.00	4.80	0.00	4.80	70.0	3.36
01/10/2018	00089262606 PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/10/2018	00089262606 PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/12/2018	00089262606 PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/12/2018	00089262606 PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/19/2018	00089262606 PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/19/2018	00089262606 PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/10/2018	00089262606 PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/10/2018	00089262606 PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/12/2018	00089262606 PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/12/2018	00089262606 PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/19/2018	00089262606 PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/19/2018	00089262606 PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/10/2018	00089262606 PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/10/2018	00089262606 PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/12/2018	00089262606 PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/12/2018	00089262606 PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/19/2018	00089262606 PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/19/2018	00089262606 PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/10/2018	00089262606 PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/10/2018	00089262606 PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/12/2018	00089262606 PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/12/2018	00089262606 PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/19/2018	00089262606 PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/19/2018	00089262606 PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/10/2018	00089262606 PETERSON, SCOTT S.	THE NEW ECONOMY FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/10/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/12/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/12/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/19/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/19/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/10/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
01/10/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
01/12/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
01/12/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
01/19/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
01/19/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
01/10/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
01/10/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
01/12/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
01/12/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
01/19/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
01/19/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
01/10/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	11.76	0.12	0.00	0.12	70.0	0.08
01/10/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	19.60	0.20	0.00	0.20	70.0	0.14
01/12/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	56.35	0.56	0.00	0.56	70.0	0.39
01/12/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	33.81	0.34	0.00	0.34	70.0	0.24
01/19/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	32.71	0.33	0.00	0.33	70.0	0.23
01/19/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	54.51	0.55	0.00	0.55	70.0	0.39
01/10/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/10/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	33.00	0.33	0.00	0.33	70.0	0.23
01/12/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/12/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	33.00	0.33	0.00	0.33	70.0	0.23
01/19/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/19/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	33.00	0.33	0.00	0.33	70.0	0.23
01/10/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	40.64	0.41	0.00	0.41	70.0	0.29
01/10/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	30.24	0.30	0.00	0.30	70.0	0.21
01/12/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	25.20	0.25	0.00	0.25	70.0	0.18
01/12/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	46.46	0.46	0.00	0.46	70.0	0.32
01/19/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	48.43	0.48	0.00	0.48	70.0	0.34
01/19/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	25.20	0.25	0.00	0.25	70.0	0.18
01/10/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	23.70	0.24	0.00	0.24	70.0	0.17
01/10/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
01/12/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
01/12/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
01/19/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	24.00	0.24	0.00	0.24	70.0	0.17

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/19/2018	000893330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
01/10/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS 2025	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/10/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS 2025	TARGET DATE-C	B	21.95	0.22	0.00	0.22	70.0	0.15
01/12/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS 2025	TARGET DATE-C	B	17.81	0.18	0.00	0.18	70.0	0.13
01/12/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS 2025	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/19/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS 2025	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/19/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS 2025	TARGET DATE-C	B	21.66	0.22	0.00	0.22	70.0	0.15
01/10/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS 2040	TARGET DATE-C	B	18.68	0.19	0.00	0.19	70.0	0.13
01/10/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
01/12/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
01/12/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS 2040	TARGET DATE-C	B	23.88	0.24	0.00	0.24	70.0	0.17
01/19/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS 2040	TARGET DATE-C	B	18.00	0.18	0.00	0.18	70.0	0.13
01/19/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
* MUTUAL FUNDS SUBTOTAL *					\$3,931.66	\$212.59	\$0.00	\$212.59		\$148.93
PRODUCT CATEGORY: STOCKS										
01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES		B	216.47	216.47	0.00	216.47	70.0	151.53
* STOCKS SUBTOTAL *					\$216.47	\$216.47	\$0.00	\$216.47		\$151.53
PRODUCT CATEGORY: VARIABLE ANNUITIES										
01/05/2018	TRAILS	-JOHN HANCOCK		B	30.05	30.05	0.00	30.05	70.0	21.04
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL		B	216.19	216.19	0.00	216.19	70.0	151.33
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL		B	1,263.47	1,263.47	0.00	1,263.47	70.0	884.43
* VARIABLE ANNUITIES SUBTOTAL *					\$1,509.71	\$1,509.71	\$0.00	\$1,509.71		\$1,056.80
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES			0.00	23.91	0.00	23.91	70.0	16.74
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$23.91	\$0.00	\$23.91		\$16.74
*** BROKER TRANSACTIONS TOTAL ***					\$5,657.84	\$1,962.68	\$0.00	\$1,962.68		\$1,374.00

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

02/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
02/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-8.25
02/01/2018		HILLTOP: CUSTOMER WRITE OFF		0.00	0.00	0.00	0.00		-4.00
02/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-139.75

BROKER COMMISSION TOTALS

Broker Transactions	\$1,374.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-139.75
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,234.25
Year-to-date Earnings	\$2,107.51