



COMMISSION STATEMENT

July 23, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/16/2018	***-**-805P - IPPOLITO, NICOLE	AMCAP FUND-A	5.45	0.15	0.00	0.15	70.0	0.11
	ACCOUNT #: 00063922927	BUY 0 Units @35.2400						
07/16/2018	***-**-805P - IPPOLITO, NICOLE	AMCAP FUND-A	21.78	0.60	0.00	0.60	70.0	0.42
	ACCOUNT #: 00063922927	BUY 1 Units @35.2400						
07/16/2018	***-**-515P - BAUMANN, ROBERT	AMCAP FUND-A	4.26	0.12	0.00	0.12	70.0	0.08
	ACCOUNT #: 00063922931	BUY 0 Units @35.2400						
07/16/2018	***-**-515P - BAUMANN, ROBERT	AMCAP FUND-A	130.00	3.58	0.00	3.58	70.0	2.51
	ACCOUNT #: 00063922931	BUY 4 Units @35.2400						
07/16/2018	***-**-195P - BAUMANN, TERESA	AMCAP FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 5 Units @35.2400						
07/16/2018	***-**-805P - IPPOLITO, NICOLE	AMERICAN MUTUAL FUND-A	2.72	0.07	0.00	0.07	70.0	0.05
	ACCOUNT #: 00063922927	BUY 0 Units @43.1000						
07/16/2018	***-**-805P - IPPOLITO, NICOLE	AMERICAN MUTUAL FUND-A	10.88	0.30	0.00	0.30	70.0	0.21
	ACCOUNT #: 00063922927	BUY 0 Units @43.1000						
07/16/2018	***-**-515P - BAUMANN, ROBERT	AMERICAN MUTUAL FUND-A	4.24	0.12	0.00	0.12	70.0	0.08
	ACCOUNT #: 00063922931	BUY 0 Units @43.1000						
07/16/2018	***-**-515P - BAUMANN, ROBERT	AMERICAN MUTUAL FUND-A	130.00	3.58	0.00	3.58	70.0	2.51
	ACCOUNT #: 00063922931	BUY 3 Units @43.1000						
07/16/2018	***-**-195P - BAUMANN, TERESA	AMERICAN MUTUAL FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 4 Units @43.1000						
07/16/2018	***-**-515P - BAUMANN, ROBERT	CAPITAL INCOME BUILDER-A	3.91	0.11	0.00	0.11	70.0	0.08
	ACCOUNT #: 00063922931	BUY 0 Units @62.8100						
07/16/2018	***-**-515P - BAUMANN, ROBERT	CAPITAL INCOME BUILDER-A	120.00	3.30	0.00	3.30	70.0	2.31
	ACCOUNT #: 00063922931	BUY 2 Units @62.8100						
07/16/2018	***-**-805P - IPPOLITO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	2.72	0.07	0.00	0.07	70.0	0.05
	ACCOUNT #: 00063922927	BUY 0 Units @53.3900						
07/16/2018	***-**-805P - IPPOLITO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	10.88	0.30	0.00	0.30	70.0	0.21
	ACCOUNT #: 00063922927	BUY 0 Units @53.3900						
07/16/2018	***-**-805P - IPPOLITO, NICOLE	FUNDAMENTAL INVESTORS-A	5.44	0.15	0.00	0.15	70.0	0.11
	ACCOUNT #: 00063922927	BUY 0 Units @66.1900						
07/16/2018	***-**-805P - IPPOLITO, NICOLE	FUNDAMENTAL INVESTORS-A	21.76	0.60	0.00	0.60	70.0	0.42
	ACCOUNT #: 00063922927	BUY 0 Units @66.1900						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/16/2018	***-**-515P - BAUMANN, ROBERT	FUNDAMENTAL INVESTORS-A	3.91	0.11	0.00	0.11	70.0	0.08
	ACCOUNT #: 00063922931	BUY 0 Units @66.1900						
07/16/2018	***-**-515P - BAUMANN, ROBERT	FUNDAMENTAL INVESTORS-A	120.00	3.30	0.00	3.30	70.0	2.31
	ACCOUNT #: 00063922931	BUY 2 Units @66.1900						
07/16/2018	***-**-195P - BAUMANN, TERESA	FUNDAMENTAL INVESTORS-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 2 Units @66.1900						
07/16/2018	***-**-805P - IPPOLITO, NICOLE	THE GROWTH FUND OF AMERICA-A	5.44	0.15	0.00	0.15	70.0	0.11
	ACCOUNT #: 00063922927	BUY 0 Units @57.4900						
07/16/2018	***-**-805P - IPPOLITO, NICOLE	THE GROWTH FUND OF AMERICA-A	21.76	0.60	0.00	0.60	70.0	0.42
	ACCOUNT #: 00063922927	BUY 0 Units @57.4900						
07/16/2018	***-**-515P - BAUMANN, ROBERT	THE GROWTH FUND OF AMERICA-A	3.91	0.11	0.00	0.11	70.0	0.08
	ACCOUNT #: 00063922931	BUY 0 Units @57.4900						
07/16/2018	***-**-515P - BAUMANN, ROBERT	THE GROWTH FUND OF AMERICA-A	120.00	3.30	0.00	3.30	70.0	2.31
	ACCOUNT #: 00063922931	BUY 2 Units @57.4900						
07/16/2018	***-**-195P - BAUMANN, TERESA	THE GROWTH FUND OF AMERICA-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 3 Units @57.4900						
07/16/2018	***-**-195P - BAUMANN, TERESA	THE INCOME FUND OF AMERICA-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 7 Units @23.8500						
07/16/2018	***-**-515P - BAUMANN, ROBERT	THE INVESTMENT COMPANY OF AMERICA-A	4.24	0.12	0.00	0.12	70.0	0.08
	ACCOUNT #: 00063922931	BUY 0 Units @42.7900						
07/16/2018	***-**-515P - BAUMANN, ROBERT	THE INVESTMENT COMPANY OF AMERICA-A	130.00	3.58	0.00	3.58	70.0	2.51
	ACCOUNT #: 00063922931	BUY 3 Units @42.7900						
07/16/2018	***-**-195P - BAUMANN, TERESA	THE INVESTMENT COMPANY OF AMERICA-A	168.14	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 4 Units @42.7900						
07/16/2018	***-**-805P - IPPOLITO, NICOLE	THE NEW ECONOMY FUND-A	5.44	0.15	0.00	0.15	70.0	0.11
	ACCOUNT #: 00063922927	BUY 0 Units @51.4400						
07/16/2018	***-**-805P - IPPOLITO, NICOLE	THE NEW ECONOMY FUND-A	21.76	0.60	0.00	0.60	70.0	0.42
	ACCOUNT #: 00063922927	BUY 0 Units @51.4400						
07/16/2018	***-**-515P - BAUMANN, ROBERT	THE NEW ECONOMY FUND-A	3.91	0.11	0.00	0.11	70.0	0.08
	ACCOUNT #: 00063922931	BUY 0 Units @51.4400						
07/16/2018	***-**-515P - BAUMANN, ROBERT	THE NEW ECONOMY FUND-A	120.00	3.30	0.00	3.30	70.0	2.31
	ACCOUNT #: 00063922931	BUY 2 Units @51.4400						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/16/2018	***--195P - BAUMANN, TERESA	THE NEW ECONOMY FUND-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 3 Units @51.4400						
07/16/2018	***--515P - BAUMANN, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	4.24	0.12	0.00	0.12	70.0	0.08
	ACCOUNT #: 00063922931	BUY 0 Units @47.1800						
07/16/2018	***--515P - BAUMANN, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	130.00	3.58	0.00	3.58	70.0	2.51
	ACCOUNT #: 00063922931	BUY 3 Units @47.1800						
07/16/2018	***--195P - BAUMANN, TERESA	WASHINGTON MUTUAL INVESTORS FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 4 Units @47.1800						
	* MUTUAL FUNDS SUBTOTAL *		\$2,462.15	\$67.74	\$0.00	\$67.74		\$47.44
PRODUCT CATEGORY: VARIABLE ANNUITIES								
07/16/2018	***--66IN - ANDERSSOHN, MARY	PACIFIC LIFE VOYAGES	250.00	17.50	0.00	17.50	70.0	12.25
	ACCOUNT #: VR11014010	BUY 0 Units @0.0000						
	* VARIABLE ANNUITIES SUBTOTAL *		\$250.00	\$17.50	\$0.00	\$17.50		\$12.25
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/23/2018	***--654I - ROSTAD, BARBARA	AF MODERATE GROWTH AND INCOME-A	0.00	18.95	0.00	18.95	70.0	13.27
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
07/23/2018	***--755I - ROSTAD, HOWARD	AF MODERATE GROWTH AND INCOME-A	0.00	6.00	0.00	6.00	70.0	4.20
	ACCOUNT #: LSR012427	BUY 1 Units @0.0000						
07/23/2018	***--1184 - SPORRER, SHIRLEY	AMCAP FUND-A	0.00	2.19	0.00	2.19	70.0	1.53
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
07/23/2018	***--0400 - SPORRER, JERALD	AMCAP FUND-A	0.00	3.96	0.00	3.96	70.0	2.77
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
07/23/2018	***--00IR - SPORRER, JERALD	AMCAP FUND-A	0.00	4.24	0.00	4.24	70.0	2.97
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
07/23/2018	***--0437 - ALLEN, WAYNE	AMCAP FUND-A	0.00	2.53	0.00	2.53	70.0	1.77
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
07/23/2018	***--0376 - ALLEN, MISTY	AMCAP FUND-A	0.00	4.66	0.00	4.66	70.0	3.26
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
07/23/2018	***--37TR - SCHROEDER TRUST,	AMERICAN BALANCED FUND-A	0.00	11.62	0.00	11.62	70.0	8.13
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-579I - FREDERICK, ACCOUNT #: LSR011570	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	19.98	0.00	19.98	70.0	13.99
07/23/2018	***-**-0737 - SCHROEDER, JACK ACCOUNT #: LSR105112	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	12.91	0.00	12.91	70.0	9.04
07/23/2018	***-**-37TR - SCHROEDER TRUST, ACCOUNT #: LSR004375	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 1 Units @0.0000	0.00	11.07	0.00	11.07	70.0	7.75
07/17/2018	***-**-568I - MARSHALL, BRUCE ACCOUNT #: LSR105627	BOND A BUY 1 Units @0.0000	0.00	10.12	0.00	10.12	70.0	7.08
07/17/2018	***-**-0737 - SCHROEDER, JACK ACCOUNT #: LSR105112	DELAWARE EXTENDED DURATION BOND FUN BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	70.0	0.02
07/23/2018	***-**-5594 - BISHOP, SHANNON ACCOUNT #: LSR105392	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	1.01	0.00	1.01	70.0	0.71
07/23/2018	***-**-1184 - SPORRER, SHIRLEY ACCOUNT #: LSR105244	FIRST EAGLE GOLD FUND CLASS A BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	70.0	0.62
07/23/2018	***-**-184I - SPORRER, SHIRLEY ACCOUNT #: LSR105252	FIRST EAGLE GOLD FUND CLASS A BUY 1 Units @0.0000	0.00	1.42	0.00	1.42	70.0	0.99
07/23/2018	***-**-00IR - SPORRER, JERALD ACCOUNT #: LSR105317	FIRST EAGLE GOLD FUND CLASS A BUY 1 Units @0.0000	0.00	1.65	0.00	1.65	70.0	1.16
07/17/2018	***-**-0724 - STROMMESEN, ACCOUNT #: LSR105090	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	4.40	0.00	4.40	70.0	3.08
07/17/2018	***-**-1788 - STROMMESEN, ACCOUNT #: LSR105104	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	2.85	0.00	2.85	70.0	2.00
07/17/2018	***-**-9761 - MCCARTHY, NANCY ACCOUNT #: LSR105490	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	2.53	0.00	2.53	70.0	1.77
07/17/2018	***-**-568J - MARSHALL, BRUCE ACCOUNT #: LSR105600	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	7.04	0.00	7.04	70.0	4.93
07/17/2018	***-**-0383 - MARSHALL, ACCOUNT #: LSR105619	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	0.56	0.00	0.56	70.0	0.39
07/17/2018	***-**-5712 - RAMSEY, GUSSIE ACCOUNT #: LSR105929	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	13.15	0.00	13.15	70.0	9.21
07/17/2018	***-**-2425 - BRIAN N CALNAN, ACCOUNT #: LSR105945	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	70.0	0.62



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/17/2018	***-**-10TR - SAUDER TRUST	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	83.73	0.00	83.73	70.0	58.61
	ACCOUNT #: LSR010138	BUY 1 Units @0.0000						
07/17/2018	***-**-92IO - SAUDER	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	44.41	0.00	44.41	70.0	31.09
	ACCOUNT #: LSR105538	BUY 1 Units @0.0000						
07/17/2018	***-**-1184 - SPORRER, SHIRLEY	FRK GOLD FUND - CLASS A	0.00	0.18	0.00	0.18	70.0	0.13
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
07/17/2018	***-**-184I - SPORRER, SHIRLEY	FRK GOLD FUND - CLASS A	0.00	0.28	0.00	0.28	70.0	0.20
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
07/17/2018	***-**-0400 - SPORRER, JERALD	FRK GOLD FUND - CLASS A	0.00	0.29	0.00	0.29	70.0	0.20
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
07/17/2018	***-**-00IR - SPORRER, JERALD	FRK GOLD FUND - CLASS A	0.00	0.22	0.00	0.22	70.0	0.15
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
07/23/2018	***-**-654I - ROSTAD, BARBARA	GLOBAL GROWTH PORTFOLIO-A	0.00	6.38	0.00	6.38	70.0	4.47
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
07/23/2018	***-**-755I - ROSTAD, HOWARD	GLOBAL GROWTH PORTFOLIO-A	0.00	3.00	0.00	3.00	70.0	2.10
	ACCOUNT #: LSR012427	BUY 1 Units @0.0000						
07/17/2018	***-**-514I - BERGIN, JOSEPH	IVY ASSET STRATEGY FUND CLASS A	0.00	2.20	0.00	2.20	70.0	1.54
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
07/17/2018	***-**-9761 - MCCARTHY, NANCY	IVY ASSET STRATEGY FUND CLASS A	0.00	0.65	0.00	0.65	70.0	0.46
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
07/17/2018	***-**-568J - MARSHALL, BRUCE	IVY ASSET STRATEGY FUND CLASS A	0.00	22.31	0.00	22.31	70.0	15.62
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
07/17/2018	***-**-0383 - MARSHALL,	IVY ASSET STRATEGY FUND CLASS A	0.00	2.13	0.00	2.13	70.0	1.49
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
07/17/2018	***-**-568I - MARSHALL, BRUCE	IVY ASSET STRATEGY FUND CLASS A	0.00	3.73	0.00	3.73	70.0	2.61
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
07/17/2018	***-**-5712 - RAMSEY, GUSSIE	IVY ASSET STRATEGY FUND CLASS A	0.00	14.64	0.00	14.64	70.0	10.25
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
07/17/2018	***-**-2425 - BRIAN N CALNAN,	IVY ASSET STRATEGY FUND CLASS A	0.00	8.32	0.00	8.32	70.0	5.82
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
07/17/2018	***-**-24IO - DEWITT, TRACY	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	5.69	0.00	5.69	70.0	3.98
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/17/2018	***-**-0383 - MARSHALL, ACCOUNT #: LSR105619	JOHN HANCOCK ALTER ASSET ALLOC FUND BUY 1 Units @0.0000	0.00	7.42	0.00	7.42	70.0	5.19
07/17/2018	***-**-568I - MARSHALL, BRUCE ACCOUNT #: LSR105627	JOHN HANCOCK ALTER ASSET ALLOC FUND BUY 1 Units @0.0000	0.00	0.54	0.00	0.54	70.0	0.38
07/17/2018	***-**-2425 - BRIAN N CALNAN, ACCOUNT #: LSR105945	JOHN HANCOCK ALTER ASSET ALLOC FUND BUY 1 Units @0.0000	0.00	3.77	0.00	3.77	70.0	2.64
07/17/2018	***-**-1184 - SPORRER, SHIRLEY ACCOUNT #: LSR105244	JOHN HANCOCK GL ABSO RETURN STRATS BUY 1 Units @0.0000	0.00	0.53	0.00	0.53	70.0	0.37
07/17/2018	***-**-568J - MARSHALL, BRUCE ACCOUNT #: LSR105600	JOHN HANCOCK GLOBAL EQUITY FUND CLA BUY 1 Units @0.0000	0.00	2.71	0.00	2.71	70.0	1.90
07/17/2018	***-**-5712 - RAMSEY, GUSSIE ACCOUNT #: LSR105929	JOHN HANCOCK TECHNICAL OPPS CL A BUY 1 Units @0.0000	0.00	1.99	0.00	1.99	70.0	1.39
07/16/2018	***-**-579I - FREDERICK, ACCOUNT #: 00001676185	LIFESTYLE BALANCED PORTFOLIO A BUY 0 Units @0.0000	0.00	4.19	0.00	4.19	70.0	2.93
07/16/2018	***-**-492I - SELL, MICHAEL ACCOUNT #: 00001722478	LIFESTYLE BALANCED PORTFOLIO A BUY 0 Units @0.0000	0.00	1.98	0.00	1.98	70.0	1.39
07/16/2018	***-**-63IN - SYPHERS, ZACKARY ACCOUNT #: 00001827112	LIFESTYLE BALANCED PORTFOLIO A BUY 0 Units @0.0000	0.00	2.19	0.00	2.19	70.0	1.53
07/16/2018	***-**-280I - CURD, NANCY ACCOUNT #: 00001860711	LIFESTYLE BALANCED PORTFOLIO A BUY 0 Units @0.0000	0.00	1.15	0.00	1.15	70.0	0.81
07/16/2018	***-**-837I - CURTIN, PAUL ACCOUNT #: 00002116172	LIFESTYLE BALANCED PORTFOLIO A BUY 0 Units @0.0000	0.00	2.34	0.00	2.34	70.0	1.64
07/16/2018	***-**-180I - GOODMILLER, ACCOUNT #: 00002330736	LIFESTYLE BALANCED PORTFOLIO A BUY 0 Units @0.0000	0.00	6.06	0.00	6.06	70.0	4.24
07/17/2018	***-**-32IO - VASQUEZ, CHARLES ACCOUNT #: LSR006530	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	0.28	0.00	0.28	70.0	0.20
07/17/2018	***-**-24IO - DEWITT, TRACY ACCOUNT #: LSR008966	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	23.28	0.00	23.28	70.0	16.30
07/17/2018	***-**-0724 - STROMMESEN, ACCOUNT #: LSR105090	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	6.07	0.00	6.07	70.0	4.25
07/17/2018	***-**-1788 - STROMMESEN, ACCOUNT #: LSR105104	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	3.90	0.00	3.90	70.0	2.73



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1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/17/2018	***-**-00IR - SPORRER, JERALD	LIFESTYLE BALANCED PORTFOLIO A	0.00	0.91	0.00	0.91	70.0	0.64
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
07/17/2018	***-**-9761 - MCCARTHY, NANCY	LIFESTYLE BALANCED PORTFOLIO A	0.00	3.18	0.00	3.18	70.0	2.23
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
07/17/2018	***-**-568J - MARSHALL, BRUCE	LIFESTYLE BALANCED PORTFOLIO A	0.00	27.01	0.00	27.01	70.0	18.91
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
07/17/2018	***-**-0383 - MARSHALL,	LIFESTYLE BALANCED PORTFOLIO A	0.00	27.93	0.00	27.93	70.0	19.55
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
07/17/2018	***-**-9483 - WYCKOFF,	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.54	0.00	2.54	70.0	1.78
	ACCOUNT #: LSR106887	BUY 1 Units @0.0000						
07/17/2018	***-**-29IO - NORFLEET,	LIFESTYLE CONSERVATIVE PORTFOLIO A	0.00	10.41	0.00	10.41	70.0	7.29
	ACCOUNT #: LSR010116	BUY 1 Units @0.0000						
07/17/2018	***-**-76IO - SMOAKE, DAVID	LIFESTYLE GROWTH PORTFOLIO A	0.00	11.83	0.00	11.83	70.0	8.28
	ACCOUNT #: LSR011651	BUY 1 Units @0.0000						
07/17/2018	***-**-1184 - SPORRER, SHIRLEY	LIFESTYLE GROWTH PORTFOLIO A	0.00	0.90	0.00	0.90	70.0	0.63
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
07/17/2018	***-**-5594 - BISHOP, SHANNON	LIFESTYLE GROWTH PORTFOLIO A	0.00	1.29	0.00	1.29	70.0	0.90
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
07/17/2018	***-**-2425 - BRIAN N CALNAN,	LIFESTYLE GROWTH PORTFOLIO A	0.00	7.39	0.00	7.39	70.0	5.17
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
07/16/2018	***-**-36IN - PISARIK, MICHAEL	LIFESTYLE MODERATE PORTFOLIO A	0.00	4.90	0.00	4.90	70.0	3.43
	ACCOUNT #: 00001840495	BUY 0 Units @0.0000						
07/16/2018	***-**-9040 - WATSON, MARY	LIFESTYLE MODERATE PORTFOLIO A	0.00	9.31	0.00	9.31	70.0	6.52
	ACCOUNT #: 00002400791	BUY 0 Units @0.0000						
07/16/2018	***-**-4036 - PISARIK, MICHAEL	LIFESTYLE MODERATE PORTFOLIO A	0.00	0.60	0.00	0.60	70.0	0.42
	ACCOUNT #: 00002493848	BUY 0 Units @0.0000						
07/17/2018	***-**-37TR - SCHROEDER TRUST,	LIFESTYLE MODERATE PORTFOLIO A	0.00	9.62	0.00	9.62	70.0	6.73
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
07/17/2018	***-**-514I - BERGIN, JOSEPH	LIFESTYLE MODERATE PORTFOLIO A	0.00	6.50	0.00	6.50	70.0	4.55
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
07/17/2018	***-**-099X - JAMES L SMITH,	LIFESTYLE MODERATE PORTFOLIO A	0.00	0.27	0.00	0.27	70.0	0.19
	ACCOUNT #: LSR011494	BUY 1 Units @0.0000						



COMMISSION STATEMENT

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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/17/2018	***-**-087X - MACHRINA E	LIFESTYLE MODERATE PORTFOLIO A	0.00	0.27	0.00	0.27	70.0	0.19
	ACCOUNT #: LSR011495	BUY 1 Units @0.0000						
07/17/2018	***-**-0737 - SCHROEDER, JACK	LIFESTYLE MODERATE PORTFOLIO A	0.00	5.22	0.00	5.22	70.0	3.65
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
07/17/2018	***-**-568I - MARSHALL, BRUCE	LIFESTYLE MODERATE PORTFOLIO A	0.00	44.41	0.00	44.41	70.0	31.09
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
07/17/2018	***-**-5712 - RAMSEY, GUSSIE	LIFESTYLE MODERATE PORTFOLIO A	0.00	24.14	0.00	24.14	70.0	16.90
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
07/17/2018	***-**-0237 - RAMSEY, WILLIAM	LIFESTYLE MODERATE PORTFOLIO A	0.00	3.89	0.00	3.89	70.0	2.72
	ACCOUNT #: LSR105937	BUY 1 Units @0.0000						
07/23/2018	***-**-5594 - BISHOP, SHANNON	MASS INVESTORS GROWTH STOCK FUND-A	0.00	1.07	0.00	1.07	70.0	0.75
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
07/23/2018	***-**-0737 - SCHROEDER, JACK	MFS DIVERSIFIED INCOME FD CL A	0.00	11.55	0.00	11.55	70.0	8.09
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
07/23/2018	***-**-514I - BERGIN, JOSEPH	MFS EMERGING MARKETS DEBT FUND-A	0.00	0.75	0.00	0.75	70.0	0.53
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
07/23/2018	***-**-0737 - SCHROEDER, JACK	MFS EMERGING MARKETS DEBT FUND-A	0.00	3.08	0.00	3.08	70.0	2.16
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
07/23/2018	***-**-5712 - RAMSEY, GUSSIE	MFS EMERGING MARKETS DEBT FUND-A	0.00	1.24	0.00	1.24	70.0	0.87
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
07/23/2018	***-**-184I - SPORRER, SHIRLEY	NATIONWIDE INVESTOR DESTIN CONSERV	0.00	3.35	0.00	3.35	70.0	2.35
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
07/23/2018	***-**-0400 - SPORRER, JERALD	NATIONWIDE INVESTOR DESTIN CONSERV	0.00	0.97	0.00	0.97	70.0	0.68
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
07/23/2018	***-**-0437 - ALLEN, WAYNE	NEW PERSPECTIVE FUND-A	0.00	2.49	0.00	2.49	70.0	1.74
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
07/23/2018	***-**-0376 - ALLEN, MISTY	NEW PERSPECTIVE FUND-A	0.00	4.59	0.00	4.59	70.0	3.21
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
07/23/2018	***-**-654I - ROSTAD, BARBARA	PRESERVATION PORTFOLIO-A	0.00	6.29	0.00	6.29	70.0	4.40
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
07/23/2018	***-**-755I - ROSTAD, HOWARD	PRESERVATION PORTFOLIO-A	0.00	2.03	0.00	2.03	70.0	1.42
	ACCOUNT #: LSR012427	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-0437 - ALLEN, WAYNE	THE GROWTH FUND OF AMERICA-A	0.00	2.67	0.00	2.67	70.0	1.87
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
07/23/2018	***-**-0376 - ALLEN, MISTY	THE GROWTH FUND OF AMERICA-A	0.00	4.91	0.00	4.91	70.0	3.44
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
07/23/2018	***-**-184I - SPORRER, SHIRLEY	THE INCOME FUND OF AMERICA-A	0.00	4.08	0.00	4.08	70.0	2.86
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
07/23/2018	***-**-0400 - SPORRER, JERALD	THE INCOME FUND OF AMERICA-A	0.00	1.05	0.00	1.05	70.0	0.74
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
07/23/2018	***-**-00IR - SPORRER, JERALD	THE INCOME FUND OF AMERICA-A	0.00	7.48	0.00	7.48	70.0	5.24
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
07/23/2018	***-**-0437 - ALLEN, WAYNE	THE INCOME FUND OF AMERICA-A	0.00	1.80	0.00	1.80	70.0	1.26
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
07/23/2018	***-**-7347 - WYCKOFF, DAVID	THE INCOME FUND OF AMERICA-A	0.00	0.36	0.00	0.36	70.0	0.25
	ACCOUNT #: LSR105457	BUY 1 Units @0.0000						
07/23/2018	***-**-0376 - ALLEN, MISTY	THE INCOME FUND OF AMERICA-A	0.00	3.31	0.00	3.31	70.0	2.32
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
07/23/2018	***-**-184I - SPORRER, SHIRLEY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	3.13	0.00	3.13	70.0	2.19
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
07/23/2018	***-**-0400 - SPORRER, JERALD	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.49	0.00	2.49	70.0	1.74
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
07/23/2018	***-**-00IR - SPORRER, JERALD	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.80	0.00	1.80	70.0	1.26
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
07/23/2018	***-**-0437 - ALLEN, WAYNE	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.16	0.00	2.16	70.0	1.51
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
07/23/2018	***-**-0376 - ALLEN, MISTY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	3.98	0.00	3.98	70.0	2.79
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
07/23/2018	***-**-7347 - WYCKOFF, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.26	0.00	0.26	70.0	0.18
	ACCOUNT #: LSR105457	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$690.60	\$0.00	\$690.60		\$483.49
*** BROKER TRANSACTIONS TOTAL ***			\$2,712.15	\$775.84	\$0.00	\$775.84		\$543.18



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				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
BROKER COMMISSION TOTALS							
						Broker Transactions	\$543.18
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$97.86
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$641.04
						Year-to-date Earnings	\$35,577.50