

FINANCIAL WEST GROUP

COMMISSION STATEMENT for DIRECT TRANSACTIONS

February 25, 2018

HOUSE-1

BROKER #: 1000 Terminated 01/01/1991

BRANCH: SPLIT BROKER NUMBERS

TRADE #	DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>							
<u>PRODUCT CATEGORY: ALTERNATE INVESTMENTS</u>							
12256654	12/30/2017	TRAILS,	ALPHA ENERGY PARTNERS DRILLING2010B	0.00	37.45	0.00	0.00
12256652	12/31/2017	TRAILS,	MILLBURN RIDGEFIELD	0.00	280.19	0.00	0.00
12256651	12/31/2017	TRAILS,	ROGERS INTERNATIONAL RAW MATERIALS	0.00	34.60	0.00	0.00
12256653	12/31/2017	TRAILS,	WHITESTONE REIT/ HARTMAN	0.00	58.90	0.00	0.00
* ALTERNATE INVESTMENTS SUBTOTAL *				\$0.00	\$411.14	\$0.00	\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND TRAILS</u>							
12256655	10/31/2017	TRAILS,	AMERICAN 12B-1	0.00	-2,950.07	0.00	0.00
12256657	07/31/2017	TRAILS,	AQUILA FUNDS 12B-1	0.00	-186.37	0.00	0.00
12256660	07/31/2017	TRAILS,	AQUILA FUNDS 12B-1	0.00	23.52	0.00	0.00
12256662	07/31/2017	TRAILS,	AQUILA FUNDS 12B-1	0.00	2.73	0.00	0.00
12256664	12/30/2017	TRAILS,	BTS FUNDS 12B-1	0.00	251.34	0.00	0.00
12256665	12/31/2017	TRAILS,	COLLEGE COUNTS 529 12B-1	0.00	13.39	0.00	0.00
12256666	12/31/2017	TRAILS,	INNOVATOR CAPITAL MANAGEMENT 12B1	0.00	35.78	0.00	0.00
12256668	01/15/2018	TRAILS,	TIMOTHY PARTNERS 12B-1	0.00	9.76	0.00	0.00
12256667	01/31/2018	TRAILS,	TIMOTHY PARTNERS 12B-1	0.00	22.02	0.00	0.00
* MUTUAL FUND TRAILS SUBTOTAL *				\$0.00	\$-2,777.90	\$0.00	\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY TRAILS</u>							
12256649	09/30/2017	TRAILS,	GENERAL AMERICA	0.00	-270.10	0.00	0.00
12256624	12/31/2017	TRAILS,	MINNESOTA LIFE ACCUSERVE	0.00	-103.95	0.00	0.00
12256638	09/28/2017	TRAILS,	SECURITY BENEFIT LIFE	0.00	0.06	0.00	0.00
12256641	09/28/2017	TRAILS,	SECURITY BENEFIT LIFE	0.00	47.28	0.00	0.00
12256642	09/28/2017	TRAILS,	SECURITY BENEFIT LIFE	0.00	7.06	0.00	0.00
12256644	09/28/2017	TRAILS,	SECURITY BENEFIT LIFE	0.00	4.23	0.00	0.00
12256645	09/28/2017	TRAILS,	SECURITY BENEFIT LIFE	0.00	30.86	0.00	0.00
12256632	09/30/2017	TRAILS,	SECURITY BENEFIT LIFE	0.00	-505.66	0.00	0.00
12256650	09/30/2017	TRAILS,	SECURITY BENEFIT LIFE	0.00	-161.92	0.00	0.00
* VARIABLE ANNUITY TRAILS SUBTOTAL *				\$0.00	\$-952.14	\$0.00	\$0.00

COMMISSION STATEMENT for HOUSE-1

TRADE #	DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			TOTALS TRANSACTIONS (continued)				
			*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$-3,318.90	\$0.00	\$0.00