

## COMMISSION STATEMENT

March 31, 2018

LOUIS CIAVARELLA  
21 MILITIA HILL DRIVE

BROKER #: 47  
BRANCH: FULCRUM GROUP

CHESTERBROOK, PA 19087

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

03/22/2018 75501679	SOIGNOLI, ANTHONY	FIDELITY ADVISOR FUNDS 315918524	B	7,590.35	0.00	0.00	0.00	50.0	0.00
03/22/2018 75501679	SOIGNOLI, ANTHONY	FIDELITY MUN TR ADV MUN INCOME FD C S		7,590.35	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	BLACKROCK GLOBAL ALLOCATION FD INC S		1,311.88	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	BLACKROCK GLOBAL ALLOCATION FD INC S		1,300.13	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	BLACKROCK FUNDS MID CAP GROWTH PORT B		1,300.13	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	BLACKROCK CAP APPRECIATION FD INC C B		1,311.88	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	FIDELITY ADV TECHNOLOGY CL T	B	1,950.52	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	FIDELITY ADVISOR FOCUS FUNDS FINANC B		1,950.52	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	FIDELITY ADV SMALL CAP CL T	S	2,038.84	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	FIDELITY ADV SMALL CAP CL T	S	2,029.35	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	FIDELITY ADV SMALL CAP CL T	S	2,029.35	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	FIDELITY ADVISOR FOCUS FUNDS HEALTH B		1,950.52	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	FIDELITY ADV MID CAP II - CL T	B	2,029.35	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	FIDELITY ADVISOR SMALL CAP GROWTH F B		2,038.84	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	FIDELITY ADV NEW INSIGHTS-CL T	S	1,950.52	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	FIDELITY ADV NEW INSIGHTS-CL T	S	1,950.52	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	FIDELITY ADV NEW INSIGHTS-CL T	S	1,950.52	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	FIDELITY ADV EQUITY GROWTH CL T	B	2,029.35	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	FIDELITY INVT TR ADVISOR CANADA FD S		2,577.00	0.00	0.00	0.00	50.0	0.00
03/21/2018 75501701	RICKERT, RUSSELL	FIDELITY INVT TR EMERGING EUR MIDDL B		2,577.00	0.00	0.00	0.00	50.0	0.00
03/09/2018 75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR SER VIII EMERGING B		11,023.47	0.00	0.00	0.00	50.0	0.00
03/09/2018 75501746	CIAVARELLA, LOUIS	FIDELITY INVT TR FIDELITY ADV EUROP S		11,023.47	0.00	0.00	0.00	50.0	0.00

* MUTUAL FUNDS SUBTOTAL *	\$71,503.86	\$0.00	\$0.00	\$0.00		\$0.00
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PRODUCT CATEGORY: STOCKS

03/22/2018 75501681	SOIGNOLI, ANTHONY	CAI INTL INC RED PERP PFD SER A% FI B		10,000.00	0.00	0.00	0.00	50.0	0.00
03/22/2018 75501681	SOIGNOLI, ANTHONY	NUVEEN SHORT DURATION CREDIT OPPORT S		16,420.18	50.96	0.00	50.96	50.0	25.48
03/09/2018 75501746	CIAVARELLA, LOUIS	CELGENE CORP	S	1,842.65	50.10	0.00	50.10	50.0	25.05
03/09/2018 75501746	CIAVARELLA, LOUIS	NETFLIX COM INC	B	3,291.50	50.04	0.00	50.04	50.0	25.02
02/27/2018 75501762	HALL, JOAN	GENERAL ELECTRIC CO	S	1,654.25	15.00	0.00	15.00	50.0	0.00
02/28/2018 75501762	HALL, JOAN	AMN HEALTHCARE SVCS INC	B	1,570.80	53.16	0.00	53.16	50.0	26.58

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
02/28/2018	75501764 HALL, JOAN	COSTCO WHOLESALE CORP-NEW	S	1,739.54	15.00	0.00	15.00	50.0	0.00
02/28/2018	75501764 HALL, JOAN	PPG INDUSTRIES INC	B	1,714.35	56.04	0.00	56.04	50.0	28.02
03/27/2018	75501765 HALL, JAMES	SALESFORCE.COM INC	S	17,669.25	150.00	0.00	150.00	50.0	75.00
03/15/2018	75501767 HALL, JAMES	ALIBABA GROUP HOLDING LTD SPONSORED	S	44,000.00	50.08	0.00	50.08	50.0	25.04
03/20/2018	75501767 HALL, JAMES	ABIOMED INC	B	14,703.50	105.00	0.00	105.00	50.0	52.50
03/20/2018	75501767 HALL, JAMES	AMAZON.COM INC	B	15,749.00	105.00	0.00	105.00	50.0	52.50
03/20/2018	75501767 HALL, JAMES	AMN HEALTHCARE SVCS INC	B	2,942.50	77.96	0.00	77.96	50.0	38.98
<b>* STOCKS SUBTOTAL *</b>				<b>\$133,297.52</b>	<b>\$778.34</b>	<b>\$0.00</b>	<b>\$778.34</b>		<b>\$374.17</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
03/23/2018	(None) VARIOUS, CIAVARELLA	DELAWARE LIFE	B	0.00	165.81	0.00	165.81	50.0	82.91
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$165.81</b>	<b>\$0.00</b>	<b>\$165.81</b>		<b>\$82.91</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
01/17/2018	75501737 HENDRIX, GREG	FAS FEES PT. III/III	B	-120.75	120.75	0.00	120.75	50.0	60.38
01/17/2018	75501740 GIOMMI MCDOWELL,	FAS FEES PT. III/III	B	-583.57	583.57	0.00	583.57	50.0	291.79
01/17/2018	75501741 GIOMMI MCDOWELL,	FAS FEES PT. III/III	B	-249.05	249.05	0.00	249.05	50.0	124.53
01/17/2018	75501742 CIAVARELLA, LOUIS	FAS FEES PT. III/III	B	-34.39	34.39	0.00	34.39	50.0	17.20
01/17/2018	75501749 CIAVARELLA, MELISSA	FAS FEES PT. III/III	B	-83.55	83.55	0.00	83.55	50.0	41.78
01/17/2018	75501752 CIAVARELLA, JAMES	FAS FEES PT. III/III	B	-17.52	17.52	0.00	17.52	50.0	0.00
01/17/2018	75501754 CIAVARELLA, JAMES	FAS FEES PT. III/III	B	-41.13	41.13	0.00	41.13	50.0	20.57
01/17/2018	75501755 MANFREDI, MICHAEL	FAS FEES PT. III/III	B	-295.40	295.40	0.00	295.40	50.0	147.70
01/17/2018	75501757 BERGEN, MIMI	FAS FEES PT. III/III	B	-55.10	55.10	0.00	55.10	50.0	27.55
<b>* RIA SUBTOTAL *</b>				<b>\$-1,480.46</b>	<b>\$1,480.46</b>	<b>\$0.00</b>	<b>\$1,480.46</b>		<b>\$731.50</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
03/31/2018		FEE COUNT - 137		0.00	435.71	0.00	435.71	50.0	205.34
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$435.71</b>	<b>\$0.00</b>	<b>\$435.71</b>		<b>\$205.34</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$203,320.92</b>	<b>\$2,860.32</b>	<b>\$0.00</b>	<b>\$2,860.32</b>		<b>\$1,393.92</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,393.92
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.58
SIPC Assessment	\$-7.15

<b>Check Amount</b>	<b>\$1,383.19</b>
<b>Year-to-date Earnings</b>	<b>\$6,647.02</b>