

# STATEMENT

## May 20, 2018

RON RENSBERGER  
707 E 41st Street  
Suite 226  
Sioux Falls, SD 57105

BROKER #: 076  
BRANCH:

### COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
04/02/2018	#00084595526	LETELLIER, COLLEGE 2024 FUND 529A	100.00	3.50	95.0	0.00	3.33	0.00	3.33
04/02/2018	#00036307156	METZJOAN INVESTED AGGRESSIVE PORT A	100.00	2.00	95.0	0.00	1.90	0.00	1.90
04/02/2018	#00035543848	SPENNY, DAVID INVESTED CONSERVATIVE PORTFOLIO A	25.00	0.50	95.0	0.00	0.48	0.00	0.48
04/02/2018	#00035485711	WANG, DAVID INVESTED GROWTH PORTFOLIO A	480.00	4.80	95.0	0.00	4.56	0.00	4.56
04/02/2018	#00036218685	METZKELL INVESTED GROWTH PORTFOLIO A	100.00	2.00	95.0	0.00	1.90	0.00	1.90
04/02/2018	#00036009200	VARIOUS IVY BALANCED A	42.76	1.20	95.0	0.00	1.14	0.00	1.14
04/02/2018	#00035492588	EMERSON, BECKY IVY CORE EQUITY FUND CLASS A	125.00	2.50	95.0	0.00	2.38	0.00	2.38
04/02/2018	#00036009200	VARIOUS IVY CORE EQUITY FUND CLASS A	42.80	1.20	95.0	0.00	1.14	0.00	1.14
04/02/2018	#00036009200	VARIOUS IVY ENERGY A	21.38	0.60	95.0	0.00	0.57	0.00	0.57
04/02/2018	#00035492588	EMERSON, BECKY IVY EUROPEAN OPPORTUNITIES A	25.00	0.50	95.0	0.00	0.48	0.00	0.48
04/02/2018	#00036009200	VARIOUS IVY EUROPEAN OPPORTUNITIES A	21.38	0.60	95.0	0.00	0.57	0.00	0.57
04/02/2018	#00032423005	SMOOK, CURTIS IVY EUROPEAN OPPORTUNITIES A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
04/02/2018	#00036009200	VARIOUS IVY FD BD FD CL A	42.76	1.20	95.0	0.00	1.14	0.00	1.14
04/02/2018	#00035492588	EMERSON, BECKY IVY FDS INC ASSET STRATEGY FD CL A	110.00	2.20	95.0	0.00	2.09	0.00	2.09
04/02/2018	#00032423005	SMOOK, CURTIS IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
04/02/2018	#00036143265	HOGUETRAC TL IVY FDS INC ASSET STRATEGY FD CL A	300.00	12.00	95.0	0.00	11.40	0.00	11.40
04/02/2018	#00035631725	WEBER, JESSICA IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
04/02/2018	#00035746714	STACEY, JON IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
04/02/2018	#00036027743	TRAUT, TODD IVY FDS INC ASSET STRATEGY FD CL A	25.00	1.25	95.0	0.00	1.19	0.00	1.19
04/02/2018	#00035492588	EMERSON, BECKY IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.00	0.95
04/02/2018	#00036009200	VARIOUS IVY FDS INC HIGH INCOME FD CL A	21.38	0.60	95.0	0.00	0.57	0.00	0.57
04/02/2018	#00032418293	SMOOK, MARY IVY FDS INC HIGH INCOME FD CL A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
04/02/2018	#00032423005	SMOOK, CURTIS IVY FDS INC HIGH INCOME FD CL A	75.00	3.00	95.0	0.00	2.85	0.00	2.85
04/02/2018	#00036143265	HOGUETRAC TL IVY FDS INC HIGH INCOME FD CL A	125.00	5.00	95.0	0.00	4.75	0.00	4.75
04/02/2018	#00036009200	VARIOUS IVY FDS INC LARGE CAP GROWTH FD CL	42.76	1.20	95.0	0.00	1.14	0.00	1.14
04/02/2018	#00032374436	RENTSCHLER, IVY FDS INC LARGE CAP GROWTH FD CL	50.00	2.50	95.0	0.00	2.38	0.00	2.38
04/02/2018	#00035492588	EMERSON, BECKY IVY FDS INC SCIENCE & TECH FD CL A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
04/02/2018	#00036009200	VARIOUS IVY FDS INC SCIENCE & TECH FD CL A	85.53	2.39	95.0	0.00	2.27	0.00	2.27

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/02/2018	#00032418293	SMOOK, MARY	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.00	95.0	0.00	1.90	1.90
04/02/2018	#00032423005	SMOOK, CURTIS	IVY FDS INC SCIENCE & TECH FD CL A	75.00	3.00	95.0	0.00	2.85	2.85
04/02/2018	#00036143265	HOGUETRAC TL	IVY FDS INC SCIENCE & TECH FD CL A	425.00	17.00	95.0	0.00	16.15	16.15
04/02/2018	#00036187511	BEANEDALEF	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.25	95.0	0.00	2.14	2.14
04/02/2018	#00032374436	RENTSCHLER,	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
04/02/2018	#00032375891	SPENNY, DAVID	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	1.19
04/02/2018	#00032384266	SKORCZEWSKI,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	1.19
04/02/2018	#00035631725	WEBER, JESSICA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
04/02/2018	#00035492588	EMERSON, BECKY	IVY GLOBAL NATURAL RESOURCES FUND-C	125.00	2.50	95.0	0.00	2.38	2.38
04/02/2018	#00032423005	SMOOK, CURTIS	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.00	95.0	0.00	1.90	1.90
04/02/2018	#00032370316	CLINTON, PAUL	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.50	95.0	0.00	2.38	2.38
04/02/2018	#00036009200	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	21.38	0.60	95.0	0.00	0.57	0.57
04/02/2018	#00036009200	VARIOUS	IVY REAL ESTATE SECURITIES A	85.53	2.39	95.0	0.00	2.27	2.27
04/02/2018	#00036143265	HOGUETRAC TL	IVY REAL ESTATE SECURITIES A	75.00	3.00	95.0	0.00	2.85	2.85
04/02/2018	#00036143265	HOGUETRAC TL	IVY SMALL CAP GROWTH A	75.00	3.00	95.0	0.00	2.85	2.85
04/03/2018	#00035579219	BAKER, JANIE	INVESTED INCOME	25.00	0.50	95.0	0.00	0.48	0.48
04/03/2018	#00032398031	KEMP, DANIEL	IVY CUNDILL GLOBAL VALUE A	82.50	0.83	95.0	0.00	0.79	0.79
04/03/2018	#00032398032	KEMP, MICHELE	IVY CUNDILL GLOBAL VALUE A	82.50	0.83	95.0	0.00	0.79	0.79
04/03/2018	#00032398031	KEMP, DANIEL	IVY EUROPEAN OPPORTUNITIES A	48.75	0.49	95.0	0.00	0.47	0.47
04/03/2018	#00032398032	KEMP, MICHELE	IVY EUROPEAN OPPORTUNITIES A	48.75	0.49	95.0	0.00	0.47	0.47
04/03/2018	#00032398031	KEMP, DANIEL	IVY FD BD FD CL A	82.50	0.83	95.0	0.00	0.79	0.79
04/03/2018	#00032398032	KEMP, MICHELE	IVY FD BD FD CL A	82.50	0.83	95.0	0.00	0.79	0.79
04/03/2018	#00032398031	KEMP, DANIEL	IVY FDS INC ASSET STRATEGY FD CL A	101.25	1.01	95.0	0.00	0.96	0.96
04/03/2018	#00032398032	KEMP, MICHELE	IVY FDS INC ASSET STRATEGY FD CL A	101.25	1.01	95.0	0.00	0.96	0.96
04/03/2018	#00035578513	CHRISTOPHER,	IVY FDS INC ASSET STRATEGY FD CL A	185.95	5.21	95.0	0.00	4.95	4.95
04/03/2018	#00032398031	KEMP, DANIEL	IVY FDS INC HIGH INCOME FD CL A	71.25	0.71	95.0	0.00	0.67	0.67
04/03/2018	#00032398032	KEMP, MICHELE	IVY FDS INC HIGH INCOME FD CL A	26.25	0.26	95.0	0.00	0.25	0.25
04/03/2018	#00032398031	KEMP, DANIEL	IVY FDS INC LARGE CAP GROWTH FD CL	48.75	0.49	95.0	0.00	0.47	0.47
04/03/2018	#00032398032	KEMP, MICHELE	IVY FDS INC LARGE CAP GROWTH FD CL	48.75	0.49	95.0	0.00	0.47	0.47
04/03/2018	#00035578513	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	185.95	5.21	95.0	0.00	4.95	4.95
04/03/2018	#00032398031	KEMP, DANIEL	IVY MID CAP GROWTH FUND CLASS A	78.75	0.79	95.0	0.00	0.75	0.75
04/03/2018	#00032398032	KEMP, MICHELE	IVY MID CAP GROWTH FUND CLASS A	33.75	0.34	95.0	0.00	0.32	0.32
04/03/2018	#00032398032	KEMP, MICHELE	IVY PACIFIC OPPORTUNITIES A	90.00	0.90	95.0	0.00	0.86	0.86
04/03/2018	#00035578513	CHRISTOPHER,	IVY REAL ESTATE SECURITIES A	186.02	5.21	95.0	0.00	4.95	4.95
04/03/2018	#00032398031	KEMP, DANIEL	IVY SMALL CAP VALUE A	26.25	0.26	95.0	0.00	0.25	0.25
04/03/2018	#00032398032	KEMP, MICHELE	IVY SMALL CAP VALUE A	26.25	0.26	95.0	0.00	0.25	0.25

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/05/2018	#00086459796	GORHAM,	AMERICAN BALANCED FUND INC CLASS A	100.00	3.75	95.0	0.00	3.56	3.56
04/05/2018	#00086459796	GORHAM,	BOND FUND OF AMERICA INC CL A	75.00	2.25	95.0	0.00	2.14	2.14
04/05/2018	#00086459796	GORHAM,	CAPITAL WORLD GROWTH & INCOME FUND	100.00	3.75	95.0	0.00	3.56	3.56
04/05/2018	#00086459796	GORHAM,	GROWTH FUND AMERICA INC CLASS A	50.00	1.88	95.0	0.00	1.79	1.79
04/05/2018	#00035579226	BAKER, JANIE	INVESTED CONSERVATIVE PORTFOLIO A	25.00	0.50	95.0	0.00	0.48	0.48
04/05/2018	#00036140009	BAKER, JANIE	INVESTED GROWTH PORTFOLIO A	50.00	1.00	95.0	0.00	0.95	0.95
04/05/2018	#00035998467	GORHAM,	IVY BALANCED A	100.00	1.20	95.0	0.00	1.14	1.14
04/05/2018	#00036208319	TAYLOR, NICK	IVY BALANCED A	76.00	3.04	95.0	0.00	2.89	2.89
04/05/2018	#00036208332	TAYLOCALI	IVY BALANCED A	76.00	3.04	95.0	0.00	2.89	2.89
04/05/2018	#00036098588	LEVEDCRYS	IVY BALANCED A	50.00	2.50	95.0	0.00	2.38	2.38
04/05/2018	#00032398033	ALLEN, KENNETH	IVY CORE EQUITY FUND CLASS A	50.00	2.50	95.0	0.00	2.38	2.38
04/05/2018	#00032398033	ALLEN, KENNETH	IVY FD BD FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
04/05/2018	#00035998467	GORHAM,	IVY FDS INC ASSET STRATEGY FD CL A	200.00	2.40	95.0	0.00	2.28	2.28
04/05/2018	#00036098588	LEVEDCRYS	IVY FDS INC ASSET STRATEGY FD CL A	150.00	7.50	95.0	0.00	7.13	7.13
04/05/2018	#00036208319	TAYLOR, NICK	IVY FDS INC HIGH INCOME FD CL A	78.00	3.12	95.0	0.00	2.96	2.96
04/05/2018	#00036208332	TAYLOCALI	IVY FDS INC HIGH INCOME FD CL A	78.00	3.12	95.0	0.00	2.96	2.96
04/05/2018	#00036208319	TAYLOR, NICK	IVY FDS INC LARGE CAP GROWTH FD CL	76.00	3.04	95.0	0.00	2.89	2.89
04/05/2018	#00036208332	TAYLOCALI	IVY FDS INC LARGE CAP GROWTH FD CL	76.00	3.04	95.0	0.00	2.89	2.89
04/05/2018	#00035998467	GORHAM,	IVY FDS INC SCIENCE & TECH FD CL A	200.00	2.40	95.0	0.00	2.28	2.28
04/05/2018	#00032387551	ROBERTSON,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.67
04/05/2018	#00036208319	TAYLOR, NICK	IVY FDS INC SCIENCE & TECH FD CL A	76.00	3.04	95.0	0.00	2.89	2.89
04/05/2018	#00036208332	TAYLOCALI	IVY FDS INC SCIENCE & TECH FD CL A	76.00	3.04	95.0	0.00	2.89	2.89
04/05/2018	#00032398033	ALLEN, KENNETH	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
04/05/2018	#00036098588	LEVEDCRYS	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
04/05/2018	#00036264275	WIPFCAROK	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	4.75
04/05/2018	#00032387551	ROBERTSON,	IVY GLOBAL NATURAL RESOURCES FUND-C	25.00	0.70	95.0	0.00	0.67	0.67
04/05/2018	#00036208319	TAYLOR, NICK	IVY GLOBAL NATURAL RESOURCES FUND-C	76.00	3.04	95.0	0.00	2.89	2.89
04/05/2018	#00036208332	TAYLOCALI	IVY GLOBAL NATURAL RESOURCES FUND-C	76.00	3.04	95.0	0.00	2.89	2.89
04/05/2018	#00032398033	ALLEN, KENNETH	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.50	95.0	0.00	2.38	2.38
04/05/2018	#00036208319	TAYLOR, NICK	IVY REAL ESTATE SECURITIES A	76.00	3.04	95.0	0.00	2.89	2.89
04/05/2018	#00036208332	TAYLOCALI	IVY REAL ESTATE SECURITIES A	76.00	3.04	95.0	0.00	2.89	2.89
04/05/2018	#00086459796	GORHAM,	NEW WORLD FUND INC NEW	100.00	3.75	95.0	0.00	3.56	3.56
04/06/2018	#00035492585	EMERSON, SHAWN	IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
04/06/2018	#00035492585	EMERSON, SHAWN	IVY FDS INC SCIENCE & TECH FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
04/06/2018	#00035492585	EMERSON, SHAWN	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	1.00	95.0	0.00	0.95	0.95
04/10/2018	#00035378852	VARIOUS	IVY CORE EQUITY FUND CLASS A	25.00	1.00	95.0	0.00	0.95	0.95

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/10/2018	#00032421732	BEANEDALEF	IVY FDS INC ASSET STRATEGY FD CL A	200.00	9.00	95.0	0.00	8.55	8.55
04/10/2018	#00035378852	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.00	95.0	0.00	1.90	1.90
04/16/2018	#00036325146	TELLI, TERRL	IVY BALANCED A	1,000.00	20.00	95.0	0.00	19.00	19.00
04/16/2018	#00036325146	TELLI, TERRL	IVY ENERGY A	2,000.00	40.00	95.0	0.00	38.00	38.00
04/16/2018	#00036325146	TELLI, TERRL	IVY EUROPEAN OPPORTUNITIES A	1,000.00	20.00	95.0	0.00	19.00	19.00
04/16/2018	#00036325146	TELLI, TERRL	IVY FD BD FD CL A	2,000.00	40.00	95.0	0.00	38.00	38.00
04/16/2018	#00036150657	JOHNSON, JOSEPH	IVY FDS INC ASSET STRATEGY FD CL A	100.00	4.50	95.0	0.00	4.28	4.28
04/16/2018	#00032411317	ANDREWS,	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
04/16/2018	#00035502928	ANDREWS, SARA	IVY FDS INC ASSET STRATEGY FD CL A	75.00	3.75	95.0	0.00	3.56	3.56
04/16/2018	#00036077173	BACKHROGEL	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
04/16/2018	#00036325146	TELLI, TERRL	IVY FDS INC HIGH INCOME FD CL A	2,000.00	40.00	95.0	0.00	38.00	38.00
04/16/2018	#00036150657	JOHNSON, JOSEPH	IVY FDS INC HIGH INCOME FD CL A	79.00	3.56	95.0	0.00	3.38	3.38
04/16/2018	#00036325146	TELLI, TERRL	IVY FDS INC LARGE CAP GROWTH FD CL	3,000.00	60.00	95.0	0.00	57.00	57.00
04/16/2018	#00032408864	DEMPSEY, DARLA	IVY FDS INC SCIENCE & TECH FD CL A	75.00	1.50	95.0	0.00	1.43	1.43
04/16/2018	#00036325146	TELLI, TERRL	IVY FDS INC SCIENCE & TECH FD CL A	2,968.08	59.36	95.0	0.00	56.39	56.39
04/16/2018	#00036150657	JOHNSON, JOSEPH	IVY FDS INC SCIENCE & TECH FD CL A	100.00	4.50	95.0	0.00	4.28	4.28
04/16/2018	#00035864350	HALL, JASON	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
04/16/2018	#00036149962	SPENNY, DAVID	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
04/16/2018	#00036276384	HOITEN, LAURA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
04/16/2018	#00036306920	BRYAN, CLAIN	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	4.75
04/16/2018	#00036306920	BRYAN, CLAIN	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	4.75
04/16/2018	#00036326947	EMERSOLIV	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
04/16/2018	#00036325146	TELLI, TERRL	IVY GLOBAL NATURAL RESOURCES FUND-C	2,000.00	40.00	95.0	0.00	38.00	38.00
04/16/2018	#00036325146	TELLI, TERRL	IVY INTERNATIONAL CORE EQUITY A	1,000.00	20.00	95.0	0.00	19.00	19.00
04/16/2018	#00036325146	TELLI, TERRL	IVY REAL ESTATE SECURITIES A	3,000.00	60.00	95.0	0.00	57.00	57.00
04/16/2018	#00036150657	JOHNSON, JOSEPH	IVY REAL ESTATE SECURITIES A	100.00	4.50	95.0	0.00	4.28	4.28
04/16/2018	#00035864350	HALL, JASON	IVY REAL ESTATE SECURITIES A	50.00	2.50	95.0	0.00	2.38	2.38
04/16/2018	#00036149954	SPENNIDA, L	IVY REAL ESTATE SECURITIES A	50.00	2.50	95.0	0.00	2.38	2.38
04/16/2018	#00036150657	JOHNSON, JOSEPH	IVY SMALL CAP GROWTH A	79.00	3.56	95.0	0.00	3.38	3.38
04/17/2018	#00036077197	LYKKEN, ARLO	IVY BALANCED A	100.00	2.80	95.0	0.00	2.66	2.66
04/17/2018	#00036077197	LYKKEN, ARLO	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
04/17/2018	#00036077197	LYKKEN, ARLO	IVY GLOBAL NATURAL RESOURCES FUND-C	100.00	2.80	95.0	0.00	2.66	2.66
04/17/2018	#00036077197	LYKKEN, ARLO	IVY REAL ESTATE SECURITIES A	100.00	2.80	95.0	0.00	2.66	2.66
04/20/2018	#00035763248	GLOVER, JESSICA	IVY ASSET STRATEGY E	150.00	3.00	95.0	0.00	2.85	2.85
04/20/2018	#00032396121	COOPER TRACY,	IVY BALANCED A	200.00	5.60	95.0	0.00	5.32	5.32
04/20/2018	#00035633441	KOCOUREK,	IVY BALANCED A	60.00	3.00	95.0	0.00	2.85	2.85

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/20/2018	#00032396121	COOPER TRACY, IVY ENERGY A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
04/20/2018	#00036343490	O'BRIEN, IVY ENERGY A	200.00	10.00	95.0	0.00	9.50	0.00	9.50
04/20/2018	#00032388137	CHRISTOPHER, IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
04/20/2018	#00032396121	COOPER TRACY, IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
04/20/2018	#00035202865	GLOVER, JESSICA IVY FDS INC ASSET STRATEGY FD CL A	50.00	1.40	95.0	0.00	1.33	0.00	1.33
04/20/2018	#00035633441	KOCOUREK, IVY FDS INC ASSET STRATEGY FD CL A	60.00	3.00	95.0	0.00	2.85	0.00	2.85
04/20/2018	#00035492585	EMERSON, SHAWN IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.00	0.95
04/20/2018	#00032388137	CHRISTOPHER, IVY FDS INC HIGH INCOME FD CL A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
04/20/2018	#00035202865	GLOVER, JESSICA IVY FDS INC HIGH INCOME FD CL A	50.00	1.40	95.0	0.00	1.33	0.00	1.33
04/20/2018	#00035436381	GLOVER, KEITH IVY FDS INC HIGH INCOME FD CL A	50.00	1.40	95.0	0.00	1.33	0.00	1.33
04/20/2018	#00036343490	O'BRIEN, IVY FDS INC HIGH INCOME FD CL A	200.00	10.00	95.0	0.00	9.50	0.00	9.50
04/20/2018	#00032396121	COOPER TRACY, IVY FDS INC LARGE CAP GROWTH FD CL	200.00	5.60	95.0	0.00	5.32	0.00	5.32
04/20/2018	#00036343490	O'BRIEN, IVY FDS INC LARGE CAP GROWTH FD CL	200.00	10.00	95.0	0.00	9.50	0.00	9.50
04/20/2018	#00035492585	EMERSON, SHAWN IVY FDS INC SCIENCE & TECH FD CL A	50.00	1.00	95.0	0.00	0.95	0.00	0.95
04/20/2018	#00032388137	CHRISTOPHER, IVY FDS INC SCIENCE & TECH FD CL A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
04/20/2018	#00032396121	COOPER TRACY, IVY FDS INC SCIENCE & TECH FD CL A	200.00	5.60	95.0	0.00	5.32	0.00	5.32
04/20/2018	#00035202865	GLOVER, JESSICA IVY FDS INC SCIENCE & TECH FD CL A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
04/20/2018	#00035436381	GLOVER, KEITH IVY FDS INC SCIENCE & TECH FD CL A	75.00	2.10	95.0	0.00	2.00	0.00	2.00
04/20/2018	#00032410232	CHRISTOPHER, IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	0.00	1.19
04/20/2018	#00035633441	KOCOUREK, IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	0.00	2.85
04/20/2018	#00036343490	O'BRIEN, IVY FDS INC SCIENCE & TECH FD CL A	200.00	10.00	95.0	0.00	9.50	0.00	9.50
04/20/2018	#00035492585	EMERSON, SHAWN IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	1.00	95.0	0.00	0.95	0.00	0.95
04/20/2018	#00032396121	COOPER TRACY, IVY INTERNATIONAL CORE EQUITY A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
04/20/2018	#00035202865	GLOVER, JESSICA IVY MID CAP GROWTH FUND CLASS A	158.00	4.42	95.0	0.00	4.20	0.00	4.20
04/20/2018	#00035436381	GLOVER, KEITH IVY MID CAP GROWTH FUND CLASS A	92.00	2.58	95.0	0.00	2.45	0.00	2.45
04/20/2018	#00032396121	COOPER TRACY, IVY REAL ESTATE SECURITIES A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
04/20/2018	#00036130945	CHRIS, JANE IVY REAL ESTATE SECURITIES A	80.00	2.24	95.0	0.00	2.13	0.00	2.13
04/23/2018	#00036366694	PETER, ROSS IVY BALANCED A	975.00	43.88	95.0	0.00	41.69	0.00	41.69
04/23/2018	#00032408419	DEMPSEY, STEVEN IVY CORE EQUITY FUND CLASS A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
04/23/2018	#00035826948	VARIOUS IVY CORE EQUITY FUND CLASS A	1,300.00	58.50	95.0	0.00	55.58	0.00	55.58
04/23/2018	#00036366694	PETER, ROSS IVY CORE EQUITY FUND CLASS A	650.00	29.25	95.0	0.00	27.79	0.00	27.79
04/23/2018	#00032408419	DEMPSEY, STEVEN IVY CUNDILL GLOBAL VALUE A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
04/23/2018	#00035826948	VARIOUS IVY FD BD FD CL A	1,300.00	58.50	95.0	0.00	55.58	0.00	55.58
04/23/2018	#00036366694	PETER, ROSS IVY FD BD FD CL A	975.00	43.88	95.0	0.00	41.69	0.00	41.69
04/23/2018	#00032408419	DEMPSEY, STEVEN IVY FDS INC HIGH INCOME FD CL A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
04/23/2018	#00035826948	VARIOUS IVY FDS INC HIGH INCOME FD CL A	1,300.00	58.50	95.0	0.00	55.58	0.00	55.58

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/23/2018	#00036366694	PETER, ROSS	IVY FDS INC HIGH INCOME FD CL A	650.00	29.25	95.0	0.00	27.79	27.79
04/23/2018	#00036366694	PETER, ROSS	IVY FDS INC LARGE CAP GROWTH FD CL	650.00	29.25	95.0	0.00	27.79	27.79
04/23/2018	#00032408419	DEMPSEY, STEVEN	IVY FDS INC SCIENCE & TECH FD CL A	75.00	1.50	95.0	0.00	1.43	1.43
04/23/2018	#00035826948	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	1,300.00	58.50	95.0	0.00	55.58	55.58
04/23/2018	#00036366694	PETER, ROSS	IVY FDS INC SCIENCE & TECH FD CL A	975.00	43.88	95.0	0.00	41.69	41.69
04/23/2018	#00036366694	PETER, ROSS	IVY LIMITED-TERM BOND A	650.00	13.00	95.0	0.00	12.35	12.35
04/23/2018	#00035826948	VARIOUS	IVY REAL ESTATE SECURITIES A	1,300.00	58.50	95.0	0.00	55.58	55.58
04/23/2018	#00036366694	PETER, ROSS	IVY REAL ESTATE SECURITIES A	975.00	43.88	95.0	0.00	41.69	41.69
04/25/2018	#00085194319	GREGORY, NANCY	GROWTH FUND AMERICA INC CLASS A	50.00	2.13	95.0	0.00	2.02	2.02
04/25/2018	#00035578623	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.67
04/25/2018	#00035578629	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.67
04/25/2018	#00032397882	REEKERS, DONNA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
04/26/2018	#00036253281	REEKERS, DONNA	IVY FDS INC ASSET STRATEGY FD CL A	92.32	4.62	95.0	0.00	4.39	4.39
04/26/2018	#00036253281	REEKERS, DONNA	IVY FDS INC ASSET STRATEGY FD CL A	92.32	4.62	95.0	0.00	4.39	4.39
04/26/2018	#00036193565	EVANS, LARRL	IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
04/26/2018	#00036247691	OKUWEZAKA	IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
04/26/2018	#00036247691	OKUWEZAKA	IVY FDS INC SCIENCE & TECH FD CL A	58.92	2.95	95.0	0.00	2.80	2.80
04/27/2018	#00035572064	KEMP, DANIEL	IVY CUNDILL GLOBAL VALUE A	500.00	5.00	95.0	0.00	4.75	4.75
04/27/2018	#00035572064	KEMP, DANIEL	IVY FD BD FD CL A	200.00	2.00	95.0	0.00	1.90	1.90
04/27/2018	#00035572064	KEMP, DANIEL	IVY FDS INC ASSET STRATEGY FD CL A	400.00	4.00	95.0	0.00	3.80	3.80
04/27/2018	#00035572064	KEMP, DANIEL	IVY FDS INC HIGH INCOME FD CL A	200.00	2.00	95.0	0.00	1.90	1.90
04/27/2018	#00035572064	KEMP, DANIEL	IVY FDS INC LARGE CAP GROWTH FD CL	500.00	5.00	95.0	0.00	4.75	4.75
04/27/2018	#00035572064	KEMP, DANIEL	IVY FDS INC SCIENCE & TECH FD CL A	200.00	2.00	95.0	0.00	1.90	1.90
04/27/2018	#00035649857	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.00	95.0	0.00	1.90	1.90
04/27/2018	#00036192778	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	100.00	4.00	95.0	0.00	3.80	3.80
04/30/2018	#00035492588	EMERSON, BECKY	IVY CORE EQUITY FUND CLASS A	125.00	2.50	95.0	0.00	2.38	2.38
04/30/2018	#00035492588	EMERSON, BECKY	IVY EUROPEAN OPPORTUNITIES A	25.00	0.50	95.0	0.00	0.48	0.48
04/30/2018	#00035492588	EMERSON, BECKY	IVY FDS INC ASSET STRATEGY FD CL A	110.00	2.20	95.0	0.00	2.09	2.09
04/30/2018	#00035492588	EMERSON, BECKY	IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
04/30/2018	#00035492588	EMERSON, BECKY	IVY FDS INC SCIENCE & TECH FD CL A	75.00	1.50	95.0	0.00	1.43	1.43
04/30/2018	#00035492588	EMERSON, BECKY	IVY GLOBAL NATURAL RESOURCES FUND-C	125.00	2.50	95.0	0.00	2.38	2.38
04/30/2018	#00032370316	CLINTON, PAUL	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.50	95.0	0.00	2.38	2.38
* MUTUAL FUNDS SUBTOTAL *			\$49,531.22	\$1,457.46		\$0.00	\$1,384.92	\$0.00	\$1,384.92

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
04/13/2018 #	FIECHTNER, MARK	DISCOVERY GOLD CORPORATION	19.50	0.00	85.0	0.00	0.00	19.95	-19.95
		* STOCKS SUBTOTAL *	\$19.50	\$0.00		\$0.00	\$0.00	\$19.95	\$-19.95
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/12/2018 #00036307156	METZJOAN	INVESTED AGGRESSIVE PORT A	0.00	1.04	95.0	0.00	0.99	0.00	0.99
04/12/2018 #00035543848	SPENNY, DAVID	INVESTED CONSERVATIVE PORTFOLIO A	0.00	3.37	95.0	0.00	3.20	0.00	3.20
04/12/2018 #00035579226	BAKER, JANIE	INVESTED CONSERVATIVE PORTFOLIO A	0.00	3.61	95.0	0.00	3.43	0.00	3.43
04/12/2018 #00035485711	WANG, DAVID	INVESTED GROWTH PORTFOLIO A	0.00	53.74	95.0	0.00	51.05	0.00	51.05
04/12/2018 #00036069316	VARIOUS	INVESTED GROWTH PORTFOLIO A	0.00	20.08	95.0	0.00	19.08	0.00	19.08
04/12/2018 #00036089150	VARIOUS	INVESTED GROWTH PORTFOLIO A	0.00	1.33	95.0	0.00	1.26	0.00	1.26
04/12/2018 #00036103479	VARIOUS	INVESTED GROWTH PORTFOLIO A	0.00	0.92	95.0	0.00	0.87	0.00	0.87
04/12/2018 #00036103483	VARIOUS	INVESTED GROWTH PORTFOLIO A	0.00	0.92	95.0	0.00	0.87	0.00	0.87
04/12/2018 #00036140009	BAKER, JANIE	INVESTED GROWTH PORTFOLIO A	0.00	1.90	95.0	0.00	1.81	0.00	1.81
04/12/2018 #00036218685	METZKELL	INVESTED GROWTH PORTFOLIO A	0.00	5.11	95.0	0.00	4.85	0.00	4.85
04/12/2018 #00035565823	VARIOUS	INVESTED INCOME	0.00	9.01	95.0	0.00	8.56	0.00	8.56
04/12/2018 #00035579219	BAKER, JANIE	INVESTED INCOME	0.00	3.87	95.0	0.00	3.68	0.00	3.68
04/12/2018 #00035580054	VARIOUS	IVY ADVANTUS	0.00	69.91	95.0	0.00	66.41	0.00	66.41
04/12/2018 #00035763248	GLOVER, JESSICA	IVY ASSET STRATEGY E	0.00	3.50	95.0	0.00	3.33	0.00	3.33
04/12/2018 #00036149731	VARIOUS	IVY ASSET STRATEGY FD CL C	0.00	2.80	95.0	0.00	2.66	0.00	2.66
04/12/2018 #00036149737	VARIOUS	IVY ASSET STRATEGY FD CL C	0.00	2.19	95.0	0.00	2.08	0.00	2.08
04/12/2018 #00032375891	SPENNY, DAVID	IVY BALANCED A	0.00	0.71	95.0	0.00	0.67	0.00	0.67
04/12/2018 #00032381393	VARIOUS	IVY BALANCED A	0.00	4.02	95.0	0.00	3.82	0.00	3.82
04/12/2018 #00032386705	VARIOUS	IVY BALANCED A	0.00	7.91	95.0	0.00	7.51	0.00	7.51
04/12/2018 #00032390050	VARIOUS	IVY BALANCED A	0.00	2.77	95.0	0.00	2.63	0.00	2.63
04/12/2018 #00032396121	COOPER TRACY,	IVY BALANCED A	0.00	4.28	95.0	0.00	4.07	0.00	4.07
04/12/2018 #00032398536	VARIOUS	IVY BALANCED A	0.00	1.97	95.0	0.00	1.87	0.00	1.87
04/12/2018 #00032399652	VARIOUS	IVY BALANCED A	0.00	1.05	95.0	0.00	1.00	0.00	1.00
04/12/2018 #00032400094	VARIOUS	IVY BALANCED A	0.00	5.12	95.0	0.00	4.86	0.00	4.86
04/12/2018 #00032401984	ANN D HAVILAND,	IVY BALANCED A	0.00	8.20	95.0	0.00	7.79	0.00	7.79
04/12/2018 #00032409103	VARIOUS	IVY BALANCED A	0.00	1.30	95.0	0.00	1.24	0.00	1.24
04/12/2018 #00032418731	VARIOUS	IVY BALANCED A	0.00	7.24	95.0	0.00	6.88	0.00	6.88
04/12/2018 #00032418732	VARIOUS	IVY BALANCED A	0.00	1.11	95.0	0.00	1.05	0.00	1.05
04/12/2018 #00035376555	VARIOUS	IVY BALANCED A	0.00	7.26	95.0	0.00	6.90	0.00	6.90
04/12/2018 #00035376560	VARIOUS	IVY BALANCED A	0.00	2.65	95.0	0.00	2.52	0.00	2.52
04/12/2018 #00035419793	VARIOUS	IVY BALANCED A	0.00	3.27	95.0	0.00	3.11	0.00	3.11
04/12/2018 #00035491952	VARIOUS	IVY BALANCED A	0.00	0.98	95.0	0.00	0.93	0.00	0.93

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035494498	VARIOUS	IVY BALANCED A	0.00	3.82	95.0	0.00	3.63	3.63
04/12/2018	#00035522407	HARSH, RAYMOND	IVY BALANCED A	0.00	2.21	95.0	0.00	2.10	2.10
04/12/2018	#00035522691	GRAVES, HELEN	IVY BALANCED A	0.00	3.24	95.0	0.00	3.08	3.08
04/12/2018	#00035589263	JOHNSON, DONALD	IVY BALANCED A	0.00	2.24	95.0	0.00	2.13	2.13
04/12/2018	#00035633441	KOCOUREK,	IVY BALANCED A	0.00	1.56	95.0	0.00	1.48	1.48
04/12/2018	#00035649857	VARIOUS	IVY BALANCED A	0.00	1.53	95.0	0.00	1.45	1.45
04/12/2018	#00035709381	VARIOUS	IVY BALANCED A	0.00	0.04	95.0	0.00	0.04	0.04
04/12/2018	#00035740156	VARIOUS	IVY BALANCED A	0.00	11.80	95.0	0.00	11.21	11.21
04/12/2018	#00035746115	VARIOUS	IVY BALANCED A	0.00	1.51	95.0	0.00	1.43	1.43
04/12/2018	#00035812240	VARIOUS	IVY BALANCED A	0.00	5.11	95.0	0.00	4.85	4.85
04/12/2018	#00035812257	VARIOUS	IVY BALANCED A	0.00	2.04	95.0	0.00	1.94	1.94
04/12/2018	#00035812274	VARIOUS	IVY BALANCED A	0.00	0.68	95.0	0.00	0.65	0.65
04/12/2018	#00035825644	VARIOUS	IVY BALANCED A	0.00	2.35	95.0	0.00	2.23	2.23
04/12/2018	#00035830897	VARIOUS	IVY BALANCED A	0.00	1.02	95.0	0.00	0.97	0.97
04/12/2018	#00035888536	VARIOUS	IVY BALANCED A	0.00	2.74	95.0	0.00	2.60	2.60
04/12/2018	#00035895678	VARIOUS	IVY BALANCED A	0.00	43.27	95.0	0.00	41.11	41.11
04/12/2018	#00035899205	VARIOUS	IVY BALANCED A	0.00	0.83	95.0	0.00	0.79	0.79
04/12/2018	#00035920047	VARIOUS	IVY BALANCED A	0.00	4.79	95.0	0.00	4.55	4.55
04/12/2018	#00035998467	GORHAM,	IVY BALANCED A	0.00	6.02	95.0	0.00	5.72	5.72
04/12/2018	#00036009200	VARIOUS	IVY BALANCED A	0.00	8.91	95.0	0.00	8.46	8.46
04/12/2018	#00036016083	VARIOUS	IVY BALANCED A	0.00	8.99	95.0	0.00	8.54	8.54
04/12/2018	#00036022546	VARIOUS	IVY BALANCED A	0.00	1.41	95.0	0.00	1.34	1.34
04/12/2018	#00036022549	VARIOUS	IVY BALANCED A	0.00	2.77	95.0	0.00	2.63	2.63
04/12/2018	#00036027743	TRAUT, TODD	IVY BALANCED A	0.00	1.47	95.0	0.00	1.40	1.40
04/12/2018	#00036029241	VARIOUS	IVY BALANCED A	0.00	2.02	95.0	0.00	1.92	1.92
04/12/2018	#00036067833	VARIOUS	IVY BALANCED A	0.00	16.09	95.0	0.00	15.29	15.29
04/12/2018	#00036075428	VARIOUS	IVY BALANCED A	0.00	1.08	95.0	0.00	1.03	1.03
04/12/2018	#00036075904	VARIOUS	IVY BALANCED A	0.00	6.92	95.0	0.00	6.57	6.57
04/12/2018	#00036077188	VARIOUS	IVY BALANCED A	0.00	1.36	95.0	0.00	1.29	1.29
04/12/2018	#00036077197	LYKKEN, ARLO	IVY BALANCED A	0.00	1.02	95.0	0.00	0.97	0.97
04/12/2018	#00036078347	VARIOUS	IVY BALANCED A	0.00	7.15	95.0	0.00	6.79	6.79
04/12/2018	#00036087285	VARIOUS	IVY BALANCED A	0.00	0.90	95.0	0.00	0.86	0.86
04/12/2018	#00036087317	VARIOUS	IVY BALANCED A	0.00	1.22	95.0	0.00	1.16	1.16
04/12/2018	#00036098588	LEVEDCRYS	IVY BALANCED A	0.00	1.92	95.0	0.00	1.82	1.82
04/12/2018	#00036102027	VARIOUS	IVY BALANCED A	0.00	1.79	95.0	0.00	1.70	1.70
04/12/2018	#00036102550	VARIOUS	IVY BALANCED A	0.00	1.75	95.0	0.00	1.66	1.66



COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00036110221	VARIOUS	IVY BALANCED A	0.00	7.71	95.0	0.00	7.32	7.32
04/12/2018	#00036136229	VARIOUS	IVY BALANCED A	0.00	9.06	95.0	0.00	8.61	8.61
04/12/2018	#00036140579	VARIOUS	IVY BALANCED A	0.00	1.01	95.0	0.00	0.96	0.96
04/12/2018	#00036140585	VARIOUS	IVY BALANCED A	0.00	0.59	95.0	0.00	0.56	0.56
04/12/2018	#00036140592	VARIOUS	IVY BALANCED A	0.00	1.29	95.0	0.00	1.23	1.23
04/12/2018	#00036143432	VARIOUS	IVY BALANCED A	0.00	1.57	95.0	0.00	1.49	1.49
04/12/2018	#00036143435	VARIOUS	IVY BALANCED A	0.00	1.05	95.0	0.00	1.00	1.00
04/12/2018	#00036143438	VARIOUS	IVY BALANCED A	0.00	1.20	95.0	0.00	1.14	1.14
04/12/2018	#00036144075	VARIOUS	IVY BALANCED A	0.00	0.49	95.0	0.00	0.47	0.47
04/12/2018	#00036144736	VARIOUS	IVY BALANCED A	0.00	0.56	95.0	0.00	0.53	0.53
04/12/2018	#00036144737	VARIOUS	IVY BALANCED A	0.00	0.56	95.0	0.00	0.53	0.53
04/12/2018	#00036149117	VARIOUS	IVY BALANCED A	0.00	3.18	95.0	0.00	3.02	3.02
04/12/2018	#00036149954	SPENNIDA, L	IVY BALANCED A	0.00	1.33	95.0	0.00	1.26	1.26
04/12/2018	#00036149956	VARIOUS	IVY BALANCED A	0.00	2.54	95.0	0.00	2.41	2.41
04/12/2018	#00036150657	JOHNSON, JOSEPH	IVY BALANCED A	0.00	3.35	95.0	0.00	3.18	3.18
04/12/2018	#00036150731	VARIOUS	IVY BALANCED A	0.00	0.75	95.0	0.00	0.71	0.71
04/12/2018	#00036150735	VARIOUS	IVY BALANCED A	0.00	0.75	95.0	0.00	0.71	0.71
04/12/2018	#00036163019	VARIOUS	IVY BALANCED A	0.00	0.48	95.0	0.00	0.46	0.46
04/12/2018	#00036163036	VARIOUS	IVY BALANCED A	0.00	5.00	95.0	0.00	4.75	4.75
04/12/2018	#00036171938	VARIOUS	IVY BALANCED A	0.00	1.03	95.0	0.00	0.98	0.98
04/12/2018	#00036175845	VARIOUS	IVY BALANCED A	0.00	28.01	95.0	0.00	26.61	26.61
04/12/2018	#00036185398	VARIOUS	IVY BALANCED A	0.00	0.52	95.0	0.00	0.49	0.49
04/12/2018	#00036187511	BEANEDALEF	IVY BALANCED A	0.00	1.92	95.0	0.00	1.82	1.82
04/12/2018	#00036191000	VARIOUS	IVY BALANCED A	0.00	7.80	95.0	0.00	7.41	7.41
04/12/2018	#00036196256	VARIOUS	IVY BALANCED A	0.00	1.67	95.0	0.00	1.59	1.59
04/12/2018	#00036200166	VARIOUS	IVY BALANCED A	0.00	1.08	95.0	0.00	1.03	1.03
04/12/2018	#00036200421	VARIOUS	IVY BALANCED A	0.00	14.69	95.0	0.00	13.96	13.96
04/12/2018	#00036200467	VARIOUS	IVY BALANCED A	0.00	0.52	95.0	0.00	0.49	0.49
04/12/2018	#00036204717	VARIOUS	IVY BALANCED A	0.00	0.78	95.0	0.00	0.74	0.74
04/12/2018	#00036208319	TAYLOR, NICK	IVY BALANCED A	0.00	2.04	95.0	0.00	1.94	1.94
04/12/2018	#00036208332	TAYLOCALI	IVY BALANCED A	0.00	2.04	95.0	0.00	1.94	1.94
04/12/2018	#00036209650	VARIOUS	IVY BALANCED A	0.00	0.57	95.0	0.00	0.54	0.54
04/12/2018	#00036209658	VARIOUS	IVY BALANCED A	0.00	0.53	95.0	0.00	0.50	0.50
04/12/2018	#00036254373	VARIOUS	IVY BALANCED A	0.00	1.49	95.0	0.00	1.42	1.42
04/12/2018	#00036256712	VARIOUS	IVY BALANCED A	0.00	0.90	95.0	0.00	0.86	0.86
04/12/2018	#00036265357	VARIOUS	IVY BALANCED A	0.00	0.61	95.0	0.00	0.58	0.58

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00036265974	VARIOUS	IVY BALANCED A	0.00	1.67	95.0	0.00	1.59	1.59
04/12/2018	#00036266208	VARIOUS	IVY BALANCED A	0.00	0.83	95.0	0.00	0.79	0.79
04/12/2018	#00036271912	VARIOUS	IVY BALANCED A	0.00	0.69	95.0	0.00	0.66	0.66
04/12/2018	#00036272612	VARIOUS	IVY BALANCED A	0.00	1.00	95.0	0.00	0.95	0.95
04/12/2018	#00036285574	VARIOUS	IVY BALANCED A	0.00	1.67	95.0	0.00	1.59	1.59
04/12/2018	#00036302624	VARIOUS	IVY BALANCED A	0.00	7.18	95.0	0.00	6.82	6.82
04/12/2018	#00036325146	TELLI, TERRL	IVY BALANCED A	0.00	11.34	95.0	0.00	10.77	10.77
04/12/2018	#00036325628	VARIOUS	IVY BALANCED A	0.00	20.62	95.0	0.00	19.59	19.59
04/12/2018	#00036331945	VARIOUS	IVY BALANCED A	0.00	0.71	95.0	0.00	0.67	0.67
04/12/2018	#00036351929	(TOD), COREY	IVY BALANCED A	0.00	0.86	95.0	0.00	0.82	0.82
04/12/2018	#00035580054	VARIOUS	IVY BALANCED FUND	0.00	57.32	95.0	0.00	54.45	54.45
04/12/2018	#00036149737	VARIOUS	IVY BALANCED FUND	0.00	2.61	95.0	0.00	2.48	2.48
04/12/2018	#00032366772	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	1.54	95.0	0.00	1.46	1.46
04/12/2018	#00032367069	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	0.76	95.0	0.00	0.72	0.72
04/12/2018	#00032372557	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	7.56	95.0	0.00	7.18	7.18
04/12/2018	#00032377142	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	1.20	95.0	0.00	1.14	1.14
04/12/2018	#00032377143	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	1.68	95.0	0.00	1.60	1.60
04/12/2018	#00032381218	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	1.49	95.0	0.00	1.42	1.42
04/12/2018	#00032381219	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	1.48	95.0	0.00	1.41	1.41
04/12/2018	#00032381393	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	5.32	95.0	0.00	5.05	5.05
04/12/2018	#00032382061	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	2.21	95.0	0.00	2.10	2.10
04/12/2018	#00032382774	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	4.91	95.0	0.00	4.66	4.66
04/12/2018	#00032387551	ROBERTSON,	IVY CORE EQUITY FUND CLASS A	0.00	1.48	95.0	0.00	1.41	1.41
04/12/2018	#00032390050	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	16.40	95.0	0.00	15.58	15.58
04/12/2018	#00032392205	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	4.20	95.0	0.00	3.99	3.99
04/12/2018	#00032396121	COOPER TRACY,	IVY CORE EQUITY FUND CLASS A	0.00	8.10	95.0	0.00	7.70	7.70
04/12/2018	#00032397882	REEKERS, DONNA	IVY CORE EQUITY FUND CLASS A	0.00	0.79	95.0	0.00	0.75	0.75
04/12/2018	#00032398033	ALLEN, KENNETH	IVY CORE EQUITY FUND CLASS A	0.00	6.01	95.0	0.00	5.71	5.71
04/12/2018	#00032400094	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	3.46	95.0	0.00	3.29	3.29
04/12/2018	#00032401984	ANN D HAVILAND,	IVY CORE EQUITY FUND CLASS A	0.00	3.29	95.0	0.00	3.13	3.13
04/12/2018	#00032405010	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	4.47	95.0	0.00	4.25	4.25
04/12/2018	#00032408419	DEMPSEY, STEVEN	IVY CORE EQUITY FUND CLASS A	0.00	11.16	95.0	0.00	10.60	10.60
04/12/2018	#00032408864	DEMPSEY, DARLA	IVY CORE EQUITY FUND CLASS A	0.00	0.88	95.0	0.00	0.84	0.84
04/12/2018	#00032428411	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	28.96	95.0	0.00	27.51	27.51
04/12/2018	#00035335795	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	22.87	95.0	0.00	21.73	21.73
04/12/2018	#00035346023	ANN D HAVILAND,	IVY CORE EQUITY FUND CLASS A	0.00	7.51	95.0	0.00	7.13	7.13

COMMISSION STATEMENT for RON RENSBERGER

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035376555	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	8.04	95.0	0.00	7.64	7.64
04/12/2018	#00035377025	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	2.95	95.0	0.00	2.80	2.80
04/12/2018	#00035378852	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	6.81	95.0	0.00	6.47	6.47
04/12/2018	#00035381389	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	0.93	95.0	0.00	0.88	0.88
04/12/2018	#00035419793	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	2.67	95.0	0.00	2.54	2.54
04/12/2018	#00035419838	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	2.25	95.0	0.00	2.14	2.14
04/12/2018	#00035461171	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	23.26	95.0	0.00	22.10	22.10
04/12/2018	#00035461175	JOHNSON, DONALD	IVY CORE EQUITY FUND CLASS A	0.00	3.64	95.0	0.00	3.46	3.46
04/12/2018	#00035474804	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	0.75	95.0	0.00	0.71	0.71
04/12/2018	#00035478180	COOPER TRACY,	IVY CORE EQUITY FUND CLASS A	0.00	8.14	95.0	0.00	7.73	7.73
04/12/2018	#00035492585	EMERSON, SHAWN	IVY CORE EQUITY FUND CLASS A	0.00	1.43	95.0	0.00	1.36	1.36
04/12/2018	#00035492588	EMERSON, BECKY	IVY CORE EQUITY FUND CLASS A	0.00	17.36	95.0	0.00	16.49	16.49
04/12/2018	#00035494498	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	25.09	95.0	0.00	23.84	23.84
04/12/2018	#00035500850	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	4.54	95.0	0.00	4.31	4.31
04/12/2018	#00035500866	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	1.52	95.0	0.00	1.44	1.44
04/12/2018	#00035500870	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	1.53	95.0	0.00	1.45	1.45
04/12/2018	#00035502928	ANDREWS, SARA	IVY CORE EQUITY FUND CLASS A	0.00	1.78	95.0	0.00	1.69	1.69
04/12/2018	#00035505447	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	33.45	95.0	0.00	31.78	31.78
04/12/2018	#00035521755	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	1.17	95.0	0.00	1.11	1.11
04/12/2018	#00035522407	HARSH, RAYMOND	IVY CORE EQUITY FUND CLASS A	0.00	1.26	95.0	0.00	1.20	1.20
04/12/2018	#00035522691	GRAVES, HELEN	IVY CORE EQUITY FUND CLASS A	0.00	6.51	95.0	0.00	6.18	6.18
04/12/2018	#00035529678	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	7.63	95.0	0.00	7.25	7.25
04/12/2018	#00035565309	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	5.15	95.0	0.00	4.89	4.89
04/12/2018	#00035572013	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	6.08	95.0	0.00	5.78	5.78
04/12/2018	#00035589263	JOHNSON, DONALD	IVY CORE EQUITY FUND CLASS A	0.00	49.21	95.0	0.00	46.75	46.75
04/12/2018	#00035606261	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	5.51	95.0	0.00	5.23	5.23
04/12/2018	#00035637930	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	4.13	95.0	0.00	3.92	3.92
04/12/2018	#00035637933	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	0.70	95.0	0.00	0.67	0.67
04/12/2018	#00035746667	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	0.64	95.0	0.00	0.61	0.61
04/12/2018	#00036009200	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	9.14	95.0	0.00	8.68	8.68
04/12/2018	#00036100908	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	30.40	95.0	0.00	28.88	28.88
04/12/2018	#00036110221	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	8.70	95.0	0.00	8.27	8.27
04/12/2018	#00036149117	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	2.39	95.0	0.00	2.27	2.27
04/12/2018	#00036325628	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	22.54	95.0	0.00	21.41	21.41
04/12/2018	#00036331945	VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	0.74	95.0	0.00	0.70	0.70
04/12/2018	#00032366772	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	5.16	95.0	0.00	4.90	4.90

COMMISSION STATEMENT for RON RENSBERGER

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00032366773	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	5.28	95.0	0.00	5.02	5.02
04/12/2018	#00032374436	RENTSCHLER,	IVY CUNDILL GLOBAL VALUE A	0.00	0.90	95.0	0.00	0.86	0.86
04/12/2018	#00032381218	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	1.70	95.0	0.00	1.62	1.62
04/12/2018	#00032381219	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	1.68	95.0	0.00	1.60	1.60
04/12/2018	#00032386705	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	2.51	95.0	0.00	2.38	2.38
04/12/2018	#00032390050	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	15.29	95.0	0.00	14.53	14.53
04/12/2018	#00032398031	KEMP, DANIEL	IVY CUNDILL GLOBAL VALUE A	0.00	10.24	95.0	0.00	9.73	9.73
04/12/2018	#00032398032	KEMP, MICHELE	IVY CUNDILL GLOBAL VALUE A	0.00	9.56	95.0	0.00	9.08	9.08
04/12/2018	#00032399652	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.77	95.0	0.00	0.73	0.73
04/12/2018	#00032400094	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	4.82	95.0	0.00	4.58	4.58
04/12/2018	#00032401125	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.80	95.0	0.00	0.76	0.76
04/12/2018	#00032405010	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	5.78	95.0	0.00	5.49	5.49
04/12/2018	#00032405628	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.60	95.0	0.00	0.57	0.57
04/12/2018	#00032408419	DEMPSEY, STEVEN	IVY CUNDILL GLOBAL VALUE A	0.00	8.23	95.0	0.00	7.82	7.82
04/12/2018	#00032409103	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.89	95.0	0.00	0.85	0.85
04/12/2018	#00032418731	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	1.49	95.0	0.00	1.42	1.42
04/12/2018	#00032418732	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	1.49	95.0	0.00	1.42	1.42
04/12/2018	#00032428411	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	1.79	95.0	0.00	1.70	1.70
04/12/2018	#00035335795	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	6.43	95.0	0.00	6.11	6.11
04/12/2018	#00035376545	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	2.02	95.0	0.00	1.92	1.92
04/12/2018	#00035376555	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	5.42	95.0	0.00	5.15	5.15
04/12/2018	#00035376560	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	2.15	95.0	0.00	2.04	2.04
04/12/2018	#00035377025	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	3.98	95.0	0.00	3.78	3.78
04/12/2018	#00035383226	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.61	95.0	0.00	0.58	0.58
04/12/2018	#00035409359	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.69	95.0	0.00	0.66	0.66
04/12/2018	#00035419793	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.98	95.0	0.00	0.93	0.93
04/12/2018	#00035419838	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	4.25	95.0	0.00	4.04	4.04
04/12/2018	#00035461171	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	10.64	95.0	0.00	10.11	10.11
04/12/2018	#00035491952	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	2.39	95.0	0.00	2.27	2.27
04/12/2018	#00035492585	EMERSON, SHAWN	IVY CUNDILL GLOBAL VALUE A	0.00	0.76	95.0	0.00	0.72	0.72
04/12/2018	#00035500866	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.63	95.0	0.00	0.60	0.60
04/12/2018	#00035500870	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.64	95.0	0.00	0.61	0.61
04/12/2018	#00035505447	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	39.17	95.0	0.00	37.21	37.21
04/12/2018	#00035522407	HARSH, RAYMOND	IVY CUNDILL GLOBAL VALUE A	0.00	1.17	95.0	0.00	1.11	1.11
04/12/2018	#00035522691	GRAVES, HELEN	IVY CUNDILL GLOBAL VALUE A	0.00	4.80	95.0	0.00	4.56	4.56
04/12/2018	#00035529678	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	3.01	95.0	0.00	2.86	2.86

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035565309	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	3.44	95.0	0.00	3.27	3.27
04/12/2018	#00035571940	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	8.03	95.0	0.00	7.63	7.63
04/12/2018	#00035572013	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	2.38	95.0	0.00	2.26	2.26
04/12/2018	#00035572064	KEMP, DANIEL	IVY CUNDILL GLOBAL VALUE A	0.00	74.64	95.0	0.00	70.91	70.91
04/12/2018	#00035572237	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	1.92	95.0	0.00	1.82	1.82
04/12/2018	#00035572251	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	10.56	95.0	0.00	10.03	10.03
04/12/2018	#00035578513	CHRISTOPHER,	IVY CUNDILL GLOBAL VALUE A	0.00	9.40	95.0	0.00	8.93	8.93
04/12/2018	#00035584889	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	4.00	95.0	0.00	3.80	3.80
04/12/2018	#00035614936	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.97	95.0	0.00	0.92	0.92
04/12/2018	#00035619764	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	14.49	95.0	0.00	13.77	13.77
04/12/2018	#00035644796	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	3.42	95.0	0.00	3.25	3.25
04/12/2018	#00035709381	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.03	95.0	0.00	0.03	0.03
04/12/2018	#00035740156	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.63	95.0	0.00	0.60	0.60
04/12/2018	#00035742403	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	3.87	95.0	0.00	3.68	3.68
04/12/2018	#00035746115	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.80	95.0	0.00	0.76	0.76
04/12/2018	#00035746667	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.71	95.0	0.00	0.67	0.67
04/12/2018	#00035747495	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	2.22	95.0	0.00	2.11	2.11
04/12/2018	#00036077188	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	1.39	95.0	0.00	1.32	1.32
04/12/2018	#00036100908	VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	9.75	95.0	0.00	9.26	9.26
04/12/2018	#00032398536	VARIOUS	IVY DIVIDEND OPPORTUNITIES CL A	0.00	1.42	95.0	0.00	1.35	1.35
04/12/2018	#00035491952	VARIOUS	IVY DIVIDEND OPPORTUNITIES CL A	0.00	1.97	95.0	0.00	1.87	1.87
04/12/2018	#00035511829	VARIOUS	IVY DIVIDEND OPPORTUNITIES CL A	0.00	0.48	95.0	0.00	0.46	0.46
04/12/2018	#00035888536	VARIOUS	IVY DIVIDEND OPPORTUNITIES CL A	0.00	1.68	95.0	0.00	1.60	1.60
04/12/2018	#00032381218	VARIOUS	IVY ENERGY A	0.00	0.68	95.0	0.00	0.65	0.65
04/12/2018	#00032381219	VARIOUS	IVY ENERGY A	0.00	0.67	95.0	0.00	0.64	0.64
04/12/2018	#00032390050	VARIOUS	IVY ENERGY A	0.00	2.30	95.0	0.00	2.19	2.19
04/12/2018	#00032396121	COOPER TRACY,	IVY ENERGY A	0.00	2.86	95.0	0.00	2.72	2.72
04/12/2018	#00032400094	VARIOUS	IVY ENERGY A	0.00	5.19	95.0	0.00	4.93	4.93
04/12/2018	#00032401984	ANN D HAVILAND,	IVY ENERGY A	0.00	4.64	95.0	0.00	4.41	4.41
04/12/2018	#00032409103	VARIOUS	IVY ENERGY A	0.00	1.80	95.0	0.00	1.71	1.71
04/12/2018	#00035376555	VARIOUS	IVY ENERGY A	0.00	3.68	95.0	0.00	3.50	3.50
04/12/2018	#00035377025	VARIOUS	IVY ENERGY A	0.00	1.32	95.0	0.00	1.25	1.25
04/12/2018	#00035381389	VARIOUS	IVY ENERGY A	0.00	0.62	95.0	0.00	0.59	0.59
04/12/2018	#00035409359	VARIOUS	IVY ENERGY A	0.00	0.44	95.0	0.00	0.42	0.42
04/12/2018	#00035521755	VARIOUS	IVY ENERGY A	0.00	0.66	95.0	0.00	0.63	0.63
04/12/2018	#00035522407	HARSH, RAYMOND	IVY ENERGY A	0.00	4.21	95.0	0.00	4.00	4.00

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035522691	GRAVES, HELEN	IVY ENERGY A	0.00	5.56	95.0	0.00	5.28	5.28
04/12/2018	#00035747495	VARIOUS	IVY ENERGY A	0.00	1.48	95.0	0.00	1.41	1.41
04/12/2018	#00035895678	VARIOUS	IVY ENERGY A	0.00	17.96	95.0	0.00	17.06	17.06
04/12/2018	#00035920047	VARIOUS	IVY ENERGY A	0.00	1.30	95.0	0.00	1.24	1.24
04/12/2018	#00036009200	VARIOUS	IVY ENERGY A	0.00	4.39	95.0	0.00	4.17	4.17
04/12/2018	#00036087285	VARIOUS	IVY ENERGY A	0.00	0.77	95.0	0.00	0.73	0.73
04/12/2018	#00036100908	VARIOUS	IVY ENERGY A	0.00	1.84	95.0	0.00	1.75	1.75
04/12/2018	#00036149117	VARIOUS	IVY ENERGY A	0.00	1.77	95.0	0.00	1.68	1.68
04/12/2018	#00036163019	VARIOUS	IVY ENERGY A	0.00	8.83	95.0	0.00	8.39	8.39
04/12/2018	#00036163036	VARIOUS	IVY ENERGY A	0.00	3.02	95.0	0.00	2.87	2.87
04/12/2018	#00036175845	VARIOUS	IVY ENERGY A	0.00	6.33	95.0	0.00	6.01	6.01
04/12/2018	#00036200421	VARIOUS	IVY ENERGY A	0.00	14.58	95.0	0.00	13.85	13.85
04/12/2018	#00036254373	VARIOUS	IVY ENERGY A	0.00	1.58	95.0	0.00	1.50	1.50
04/12/2018	#00036265357	VARIOUS	IVY ENERGY A	0.00	1.58	95.0	0.00	1.50	1.50
04/12/2018	#00036265974	VARIOUS	IVY ENERGY A	0.00	1.62	95.0	0.00	1.54	1.54
04/12/2018	#00036272612	VARIOUS	IVY ENERGY A	0.00	0.87	95.0	0.00	0.83	0.83
04/12/2018	#00036285574	VARIOUS	IVY ENERGY A	0.00	1.48	95.0	0.00	1.41	1.41
04/12/2018	#00036302624	VARIOUS	IVY ENERGY A	0.00	5.45	95.0	0.00	5.18	5.18
04/12/2018	#00036319206	VARIOUS	IVY ENERGY A	0.00	2.33	95.0	0.00	2.21	2.21
04/12/2018	#00036325146	TELLI, TERRL	IVY ENERGY A	0.00	23.91	95.0	0.00	22.71	22.71
04/12/2018	#00036325628	VARIOUS	IVY ENERGY A	0.00	10.67	95.0	0.00	10.14	10.14
04/12/2018	#00036331945	VARIOUS	IVY ENERGY A	0.00	0.81	95.0	0.00	0.77	0.77
04/12/2018	#00036343490	O'BRIEN,	IVY ENERGY A	0.00	0.24	95.0	0.00	0.23	0.23
04/12/2018	#00036351929	(TOD), COREY	IVY ENERGY A	0.00	0.53	95.0	0.00	0.50	0.50
04/12/2018	#00032367069	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.81	95.0	0.00	0.77	0.77
04/12/2018	#00032386705	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	8.37	95.0	0.00	7.95	7.95
04/12/2018	#00032387551	ROBERTSON,	IVY EUROPEAN OPPORTUNITIES A	0.00	0.63	95.0	0.00	0.60	0.60
04/12/2018	#00032390050	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.82	95.0	0.00	1.73	1.73
04/12/2018	#00032392205	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	2.10	95.0	0.00	2.00	2.00
04/12/2018	#00032398031	KEMP, DANIEL	IVY EUROPEAN OPPORTUNITIES A	0.00	6.75	95.0	0.00	6.41	6.41
04/12/2018	#00032398032	KEMP, MICHELE	IVY EUROPEAN OPPORTUNITIES A	0.00	6.32	95.0	0.00	6.00	6.00
04/12/2018	#00032398536	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.79	95.0	0.00	0.75	0.75
04/12/2018	#00032400094	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	7.12	95.0	0.00	6.76	6.76
04/12/2018	#00032401984	ANN D HAVILAND,	IVY EUROPEAN OPPORTUNITIES A	0.00	2.85	95.0	0.00	2.71	2.71
04/12/2018	#00032409103	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.97	95.0	0.00	1.87	1.87
04/12/2018	#00032418293	SMOOK, MARY	IVY EUROPEAN OPPORTUNITIES A	0.00	1.73	95.0	0.00	1.64	1.64

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00032418731	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.64	95.0	0.00	1.56	1.56
04/12/2018	#00032418732	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	2.55	95.0	0.00	2.42	2.42
04/12/2018	#00032423005	SMOOK, CURTIS	IVY EUROPEAN OPPORTUNITIES A	0.00	4.72	95.0	0.00	4.48	4.48
04/12/2018	#00032428411	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	14.04	95.0	0.00	13.34	13.34
04/12/2018	#00032435738	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	8.34	95.0	0.00	7.92	7.92
04/12/2018	#00035335795	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	5.45	95.0	0.00	5.18	5.18
04/12/2018	#00035376545	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	3.23	95.0	0.00	3.07	3.07
04/12/2018	#00035376555	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	7.03	95.0	0.00	6.68	6.68
04/12/2018	#00035376560	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	6.57	95.0	0.00	6.24	6.24
04/12/2018	#00035377025	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	5.40	95.0	0.00	5.13	5.13
04/12/2018	#00035381389	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.87	95.0	0.00	1.78	1.78
04/12/2018	#00035402325	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.61	95.0	0.00	0.58	0.58
04/12/2018	#00035419793	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	2.04	95.0	0.00	1.94	1.94
04/12/2018	#00035419838	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.45	95.0	0.00	1.38	1.38
04/12/2018	#00035461171	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	9.54	95.0	0.00	9.06	9.06
04/12/2018	#00035461175	JOHNSON, DONALD	IVY EUROPEAN OPPORTUNITIES A	0.00	6.84	95.0	0.00	6.50	6.50
04/12/2018	#00035491952	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	3.85	95.0	0.00	3.66	3.66
04/12/2018	#00035492585	EMERSON, SHAWN	IVY EUROPEAN OPPORTUNITIES A	0.00	2.24	95.0	0.00	2.13	2.13
04/12/2018	#00035492588	EMERSON, BECKY	IVY EUROPEAN OPPORTUNITIES A	0.00	3.67	95.0	0.00	3.49	3.49
04/12/2018	#00035494498	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	7.47	95.0	0.00	7.10	7.10
04/12/2018	#00035495624	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.98	95.0	0.00	1.88	1.88
04/12/2018	#00035500866	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.42	95.0	0.00	1.35	1.35
04/12/2018	#00035500870	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.43	95.0	0.00	1.36	1.36
04/12/2018	#00035511829	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.51	95.0	0.00	0.48	0.48
04/12/2018	#00035521755	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.52	95.0	0.00	0.49	0.49
04/12/2018	#00035522691	GRAVES, HELEN	IVY EUROPEAN OPPORTUNITIES A	0.00	5.66	95.0	0.00	5.38	5.38
04/12/2018	#00035564881	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	3.48	95.0	0.00	3.31	3.31
04/12/2018	#00035565309	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.84	95.0	0.00	1.75	1.75
04/12/2018	#00035569396	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.00	95.0	0.00	0.95	0.95
04/12/2018	#00035571940	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.86	95.0	0.00	0.82	0.82
04/12/2018	#00035572251	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.57	95.0	0.00	0.54	0.54
04/12/2018	#00035578513	CHRISTOPHER,	IVY EUROPEAN OPPORTUNITIES A	0.00	10.55	95.0	0.00	10.02	10.02
04/12/2018	#00035589263	JOHNSON, DONALD	IVY EUROPEAN OPPORTUNITIES A	0.00	12.41	95.0	0.00	11.79	11.79
04/12/2018	#00035593601	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.19	95.0	0.00	1.13	1.13
04/12/2018	#00035593604	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	2.18	95.0	0.00	2.07	2.07
04/12/2018	#00035601977	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	4.08	95.0	0.00	3.88	3.88

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035606261	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	2.17	95.0	0.00	2.06	2.06
04/12/2018	#00035614936	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.90	95.0	0.00	0.86	0.86
04/12/2018	#00035619764	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	7.73	95.0	0.00	7.34	7.34
04/12/2018	#00035633441	KOCUREK,	IVY EUROPEAN OPPORTUNITIES A	0.00	0.76	95.0	0.00	0.72	0.72
04/12/2018	#00035637930	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	2.21	95.0	0.00	2.10	2.10
04/12/2018	#00035637933	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.14	95.0	0.00	1.08	1.08
04/12/2018	#00035644796	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	3.89	95.0	0.00	3.70	3.70
04/12/2018	#00035649857	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.68	95.0	0.00	1.60	1.60
04/12/2018	#00035709381	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.34	95.0	0.00	1.27	1.27
04/12/2018	#00035740156	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.68	95.0	0.00	0.65	0.65
04/12/2018	#00035742403	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	3.16	95.0	0.00	3.00	3.00
04/12/2018	#00035746115	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.82	95.0	0.00	0.78	0.78
04/12/2018	#00035746667	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.70	95.0	0.00	0.67	0.67
04/12/2018	#00035747495	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	2.18	95.0	0.00	2.07	2.07
04/12/2018	#00035762704	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	4.02	95.0	0.00	3.82	3.82
04/12/2018	#00035799004	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	3.76	95.0	0.00	3.57	3.57
04/12/2018	#00035823816	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.38	95.0	0.00	1.31	1.31
04/12/2018	#00035888536	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.10	95.0	0.00	1.05	1.05
04/12/2018	#00035943714	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.41	95.0	0.00	1.34	1.34
04/12/2018	#00035959118	(TOD), ARTHY	IVY EUROPEAN OPPORTUNITIES A	0.00	2.10	95.0	0.00	2.00	2.00
04/12/2018	#00036009200	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	4.49	95.0	0.00	4.27	4.27
04/12/2018	#00036067833	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	9.20	95.0	0.00	8.74	8.74
04/12/2018	#00036075428	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.39	95.0	0.00	1.32	1.32
04/12/2018	#00036075904	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	5.66	95.0	0.00	5.38	5.38
04/12/2018	#00036077188	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.42	95.0	0.00	1.35	1.35
04/12/2018	#00036100908	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	9.55	95.0	0.00	9.07	9.07
04/12/2018	#00036102550	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.18	95.0	0.00	1.12	1.12
04/12/2018	#00036140579	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.98	95.0	0.00	0.93	0.93
04/12/2018	#00036140585	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.57	95.0	0.00	0.54	0.54
04/12/2018	#00036140592	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.55	95.0	0.00	1.47	1.47
04/12/2018	#00036143432	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.92	95.0	0.00	1.82	1.82
04/12/2018	#00036150657	JOHNSON, JOSEPH	IVY EUROPEAN OPPORTUNITIES A	0.00	3.18	95.0	0.00	3.02	3.02
04/12/2018	#00036163036	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	8.95	95.0	0.00	8.50	8.50
04/12/2018	#00036171938	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.05	95.0	0.00	1.00	1.00
04/12/2018	#00036175845	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	13.07	95.0	0.00	12.42	12.42
04/12/2018	#00036185398	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.52	95.0	0.00	0.49	0.49



COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00036187511	BEANEDALEF	IVY EUROPEAN OPPORTUNITIES A	0.00	2.03	95.0	0.00	1.93	1.93
04/12/2018	#00036191000	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	8.44	95.0	0.00	8.02	8.02
04/12/2018	#00036196256	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.77	95.0	0.00	1.68	1.68
04/12/2018	#00036200421	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	30.64	95.0	0.00	29.11	29.11
04/12/2018	#00036204717	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.82	95.0	0.00	0.78	0.78
04/12/2018	#00036208319	TAYLOR, NICK	IVY EUROPEAN OPPORTUNITIES A	0.00	0.75	95.0	0.00	0.71	0.71
04/12/2018	#00036208332	TAYLOCALI	IVY EUROPEAN OPPORTUNITIES A	0.00	0.75	95.0	0.00	0.71	0.71
04/12/2018	#00036209658	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.55	95.0	0.00	0.52	0.52
04/12/2018	#00036256712	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.80	95.0	0.00	0.76	0.76
04/12/2018	#00036265357	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.34	95.0	0.00	1.27	1.27
04/12/2018	#00036265974	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.83	95.0	0.00	1.74	1.74
04/12/2018	#00036266208	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.35	95.0	0.00	1.28	1.28
04/12/2018	#00036272612	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.68	95.0	0.00	1.60	1.60
04/12/2018	#00036285574	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	2.85	95.0	0.00	2.71	2.71
04/12/2018	#00036319206	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	2.62	95.0	0.00	2.49	2.49
04/12/2018	#00036325146	TELLI, TERRL	IVY EUROPEAN OPPORTUNITIES A	0.00	18.68	95.0	0.00	17.75	17.75
04/12/2018	#00036328297	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.40	95.0	0.00	0.38	0.38
04/12/2018	#00036331945	VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.72	95.0	0.00	0.68	0.68
04/12/2018	#00032372557	VARIOUS	IVY FD BD FD CL A	0.00	11.47	95.0	0.00	10.90	10.90
04/12/2018	#00032375891	SPENNY, DAVID	IVY FD BD FD CL A	0.00	0.56	95.0	0.00	0.53	0.53
04/12/2018	#00032381218	VARIOUS	IVY FD BD FD CL A	0.00	4.00	95.0	0.00	3.80	3.80
04/12/2018	#00032381219	VARIOUS	IVY FD BD FD CL A	0.00	3.93	95.0	0.00	3.73	3.73
04/12/2018	#00032381393	VARIOUS	IVY FD BD FD CL A	0.00	3.56	95.0	0.00	3.38	3.38
04/12/2018	#00032382061	VARIOUS	IVY FD BD FD CL A	0.00	0.65	95.0	0.00	0.62	0.62
04/12/2018	#00032382774	VARIOUS	IVY FD BD FD CL A	0.00	1.52	95.0	0.00	1.44	1.44
04/12/2018	#00032385108	PETERSON,	IVY FD BD FD CL A	0.00	2.75	95.0	0.00	2.61	2.61
04/12/2018	#00032387551	ROBERTSON,	IVY FD BD FD CL A	0.00	0.51	95.0	0.00	0.48	0.48
04/12/2018	#00032390050	VARIOUS	IVY FD BD FD CL A	0.00	19.26	95.0	0.00	18.30	18.30
04/12/2018	#00032398031	KEMP, DANIEL	IVY FD BD FD CL A	0.00	9.99	95.0	0.00	9.49	9.49
04/12/2018	#00032398032	KEMP, MICHELE	IVY FD BD FD CL A	0.00	9.30	95.0	0.00	8.84	8.84
04/12/2018	#00032398033	ALLEN, KENNETH	IVY FD BD FD CL A	0.00	4.59	95.0	0.00	4.36	4.36
04/12/2018	#00032398536	VARIOUS	IVY FD BD FD CL A	0.00	0.32	95.0	0.00	0.30	0.30
04/12/2018	#00032401984	ANN D HAVILAND,	IVY FD BD FD CL A	0.00	2.52	95.0	0.00	2.39	2.39
04/12/2018	#00032405010	VARIOUS	IVY FD BD FD CL A	0.00	4.58	95.0	0.00	4.35	4.35
04/12/2018	#00032409103	VARIOUS	IVY FD BD FD CL A	0.00	2.61	95.0	0.00	2.48	2.48
04/12/2018	#00032410240	VARIOUS	IVY FD BD FD CL A	0.00	1.04	95.0	0.00	0.99	0.99

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/12/2018	#00032415136	VARIOUS	IVY FD BD FD CL A	0.00	0.67	95.0	0.00	0.64	0.64
04/12/2018	#00032418731	VARIOUS	IVY FD BD FD CL A	0.00	1.39	95.0	0.00	1.32	1.32
04/12/2018	#00032418732	VARIOUS	IVY FD BD FD CL A	0.00	1.93	95.0	0.00	1.83	1.83
04/12/2018	#00032428411	VARIOUS	IVY FD BD FD CL A	0.00	16.10	95.0	0.00	15.30	15.30
04/12/2018	#00032435738	VARIOUS	IVY FD BD FD CL A	0.00	0.62	95.0	0.00	0.59	0.59
04/12/2018	#00035335795	VARIOUS	IVY FD BD FD CL A	0.00	2.83	95.0	0.00	2.69	2.69
04/12/2018	#00035346023	ANN D HAVILAND,	IVY FD BD FD CL A	0.00	11.28	95.0	0.00	10.72	10.72
04/12/2018	#00035376545	VARIOUS	IVY FD BD FD CL A	0.00	3.40	95.0	0.00	3.23	3.23
04/12/2018	#00035376555	VARIOUS	IVY FD BD FD CL A	0.00	5.15	95.0	0.00	4.89	4.89
04/12/2018	#00035376560	VARIOUS	IVY FD BD FD CL A	0.00	3.78	95.0	0.00	3.59	3.59
04/12/2018	#00035378852	VARIOUS	IVY FD BD FD CL A	0.00	0.55	95.0	0.00	0.52	0.52
04/12/2018	#00035402325	VARIOUS	IVY FD BD FD CL A	0.00	2.21	95.0	0.00	2.10	2.10
04/12/2018	#00035409359	VARIOUS	IVY FD BD FD CL A	0.00	0.73	95.0	0.00	0.69	0.69
04/12/2018	#00035422292	VARIOUS	IVY FD BD FD CL A	0.00	4.15	95.0	0.00	3.94	3.94
04/12/2018	#00035461171	VARIOUS	IVY FD BD FD CL A	0.00	22.49	95.0	0.00	21.37	21.37
04/12/2018	#00035461175	JOHNSON, DONALD	IVY FD BD FD CL A	0.00	8.42	95.0	0.00	8.00	8.00
04/12/2018	#00035478180	COOPER TRACY,	IVY FD BD FD CL A	0.00	13.03	95.0	0.00	12.38	12.38
04/12/2018	#00035494498	VARIOUS	IVY FD BD FD CL A	0.00	6.98	95.0	0.00	6.63	6.63
04/12/2018	#00035500850	VARIOUS	IVY FD BD FD CL A	0.00	5.06	95.0	0.00	4.81	4.81
04/12/2018	#00035521755	VARIOUS	IVY FD BD FD CL A	0.00	0.81	95.0	0.00	0.77	0.77
04/12/2018	#00035522407	HARSH, RAYMOND	IVY FD BD FD CL A	0.00	11.30	95.0	0.00	10.74	10.74
04/12/2018	#00035522691	GRAVES, HELEN	IVY FD BD FD CL A	0.00	9.19	95.0	0.00	8.73	8.73
04/12/2018	#00035569396	VARIOUS	IVY FD BD FD CL A	0.00	1.49	95.0	0.00	1.42	1.42
04/12/2018	#00035571940	VARIOUS	IVY FD BD FD CL A	0.00	6.97	95.0	0.00	6.62	6.62
04/12/2018	#00035572064	KEMP, DANIEL	IVY FD BD FD CL A	0.00	35.13	95.0	0.00	33.37	33.37
04/12/2018	#00035572237	VARIOUS	IVY FD BD FD CL A	0.00	1.46	95.0	0.00	1.39	1.39
04/12/2018	#00035572251	VARIOUS	IVY FD BD FD CL A	0.00	4.80	95.0	0.00	4.56	4.56
04/12/2018	#00035578513	CHRISTOPHER,	IVY FD BD FD CL A	0.00	6.64	95.0	0.00	6.31	6.31
04/12/2018	#00035580054	VARIOUS	IVY FD BD FD CL A	0.00	0.91	95.0	0.00	0.86	0.86
04/12/2018	#00035584889	VARIOUS	IVY FD BD FD CL A	0.00	5.10	95.0	0.00	4.85	4.85
04/12/2018	#00035589263	JOHNSON, DONALD	IVY FD BD FD CL A	0.00	10.62	95.0	0.00	10.09	10.09
04/12/2018	#00035593601	VARIOUS	IVY FD BD FD CL A	0.00	0.48	95.0	0.00	0.46	0.46
04/12/2018	#00035593604	VARIOUS	IVY FD BD FD CL A	0.00	1.98	95.0	0.00	1.88	1.88
04/12/2018	#00035606261	VARIOUS	IVY FD BD FD CL A	0.00	5.16	95.0	0.00	4.90	4.90
04/12/2018	#00035644796	VARIOUS	IVY FD BD FD CL A	0.00	1.17	95.0	0.00	1.11	1.11
04/12/2018	#00035649857	VARIOUS	IVY FD BD FD CL A	0.00	2.00	95.0	0.00	1.90	1.90

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035709381	VARIOUS	IVY FD BD FD CL A	0.00	0.59	95.0	0.00	0.56	0.56
04/12/2018	#00035742403	VARIOUS	IVY FD BD FD CL A	0.00	2.66	95.0	0.00	2.53	2.53
04/12/2018	#00035746667	VARIOUS	IVY FD BD FD CL A	0.00	0.64	95.0	0.00	0.61	0.61
04/12/2018	#00035799004	VARIOUS	IVY FD BD FD CL A	0.00	4.72	95.0	0.00	4.48	4.48
04/12/2018	#00035826948	VARIOUS	IVY FD BD FD CL A	0.00	2.32	95.0	0.00	2.20	2.20
04/12/2018	#00035836837	VARIOUS	IVY FD BD FD CL A	0.00	1.22	95.0	0.00	1.16	1.16
04/12/2018	#00035888536	VARIOUS	IVY FD BD FD CL A	0.00	1.19	95.0	0.00	1.13	1.13
04/12/2018	#00035895678	VARIOUS	IVY FD BD FD CL A	0.00	38.97	95.0	0.00	37.02	37.02
04/12/2018	#00035920047	VARIOUS	IVY FD BD FD CL A	0.00	5.07	95.0	0.00	4.82	4.82
04/12/2018	#00035943714	VARIOUS	IVY FD BD FD CL A	0.00	0.94	95.0	0.00	0.89	0.89
04/12/2018	#00035959118	(TOD), ARTHY	IVY FD BD FD CL A	0.00	1.48	95.0	0.00	1.41	1.41
04/12/2018	#00036009200	VARIOUS	IVY FD BD FD CL A	0.00	8.51	95.0	0.00	8.08	8.08
04/12/2018	#00036027743	TRAUT, TODD	IVY FD BD FD CL A	0.00	1.08	95.0	0.00	1.03	1.03
04/12/2018	#00036067833	VARIOUS	IVY FD BD FD CL A	0.00	10.17	95.0	0.00	9.66	9.66
04/12/2018	#00036075428	VARIOUS	IVY FD BD FD CL A	0.00	0.52	95.0	0.00	0.49	0.49
04/12/2018	#00036075904	VARIOUS	IVY FD BD FD CL A	0.00	2.92	95.0	0.00	2.77	2.77
04/12/2018	#00036077188	VARIOUS	IVY FD BD FD CL A	0.00	4.18	95.0	0.00	3.97	3.97
04/12/2018	#00036100908	VARIOUS	IVY FD BD FD CL A	0.00	21.18	95.0	0.00	20.12	20.12
04/12/2018	#00036200421	VARIOUS	IVY FD BD FD CL A	0.00	12.71	95.0	0.00	12.07	12.07
04/12/2018	#00036264275	WIPFCAROK	IVY FD BD FD CL A	0.00	1.24	95.0	0.00	1.18	1.18
04/12/2018	#00036265357	VARIOUS	IVY FD BD FD CL A	0.00	0.54	95.0	0.00	0.51	0.51
04/12/2018	#00036266208	VARIOUS	IVY FD BD FD CL A	0.00	0.75	95.0	0.00	0.71	0.71
04/12/2018	#00036272612	VARIOUS	IVY FD BD FD CL A	0.00	0.90	95.0	0.00	0.86	0.86
04/12/2018	#00036285574	VARIOUS	IVY FD BD FD CL A	0.00	1.50	95.0	0.00	1.43	1.43
04/12/2018	#00036325146	TELLI, TERRL	IVY FD BD FD CL A	0.00	21.43	95.0	0.00	20.36	20.36
04/12/2018	#00036328297	VARIOUS	IVY FD BD FD CL A	0.00	0.37	95.0	0.00	0.35	0.35
04/12/2018	#00036331945	VARIOUS	IVY FD BD FD CL A	0.00	0.65	95.0	0.00	0.62	0.62
04/12/2018	#00032381218	VARIOUS	IVY FD VALUE FD CL A	0.00	0.83	95.0	0.00	0.79	0.79
04/12/2018	#00032381219	VARIOUS	IVY FD VALUE FD CL A	0.00	0.82	95.0	0.00	0.78	0.78
04/12/2018	#00035521755	VARIOUS	IVY FD VALUE FD CL A	0.00	1.07	95.0	0.00	1.02	1.02
04/12/2018	#00035522407	HARSH, RAYMOND	IVY FD VALUE FD CL A	0.00	7.14	95.0	0.00	6.78	6.78
04/12/2018	#00035522691	GRAVES, HELEN	IVY FD VALUE FD CL A	0.00	4.61	95.0	0.00	4.38	4.38
04/12/2018	#00035564881	VARIOUS	IVY FD VALUE FD CL A	0.00	8.24	95.0	0.00	7.83	7.83
04/12/2018	#00035614936	VARIOUS	IVY FD VALUE FD CL A	0.00	1.40	95.0	0.00	1.33	1.33
04/12/2018	#00035644796	VARIOUS	IVY FD VALUE FD CL A	0.00	7.26	95.0	0.00	6.90	6.90
04/12/2018	#00035649857	VARIOUS	IVY FD VALUE FD CL A	0.00	2.83	95.0	0.00	2.69	2.69

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035742403	VARIOUS	IVY FD VALUE FD CL A	0.00	7.83	95.0	0.00	7.44	7.44
04/12/2018	#00032366772	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.02	95.0	0.00	1.92	1.92
04/12/2018	#00032370316	CLINTON, PAUL	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.50	95.0	0.00	1.43	1.43
04/12/2018	#00032372557	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	10.28	95.0	0.00	9.77	9.77
04/12/2018	#00032375891	SPENNY, DAVID	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.54	95.0	0.00	0.51	0.51
04/12/2018	#00032381218	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.49	95.0	0.00	2.37	2.37
04/12/2018	#00032381219	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.47	95.0	0.00	2.35	2.35
04/12/2018	#00032381393	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.62	95.0	0.00	2.49	2.49
04/12/2018	#00032385108	PETERSON,	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.88	95.0	0.00	2.74	2.74
04/12/2018	#00032386705	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	11.11	95.0	0.00	10.55	10.55
04/12/2018	#00032387551	ROBERTSON,	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.12	95.0	0.00	1.06	1.06
04/12/2018	#00032388137	CHRISTOPHER,	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.91	95.0	0.00	3.71	3.71
04/12/2018	#00032390050	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	20.90	95.0	0.00	19.86	19.86
04/12/2018	#00032396121	COOPER TRACY,	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.94	95.0	0.00	2.79	2.79
04/12/2018	#00032397882	REEKERS, DONNA	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.29	95.0	0.00	1.23	1.23
04/12/2018	#00032398031	KEMP, DANIEL	IVY FDS INC ASSET STRATEGY FD CL A	0.00	12.52	95.0	0.00	11.89	11.89
04/12/2018	#00032398032	KEMP, MICHELE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	11.76	95.0	0.00	11.17	11.17
04/12/2018	#00032398536	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.80	95.0	0.00	3.61	3.61
04/12/2018	#00032399652	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.95	95.0	0.00	0.90	0.90
04/12/2018	#00032400094	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.76	95.0	0.00	2.62	2.62
04/12/2018	#00032401984	ANN D HAVILAND,	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.76	95.0	0.00	2.62	2.62
04/12/2018	#00032405010	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	4.70	95.0	0.00	4.47	4.47
04/12/2018	#00032408419	DEMPSEY, STEVEN	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.66	95.0	0.00	0.63	0.63
04/12/2018	#00032408864	DEMPSEY, DARLA	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.81	95.0	0.00	0.77	0.77
04/12/2018	#00032409103	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	4.63	95.0	0.00	4.40	4.40
04/12/2018	#00032410239	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	4.98	95.0	0.00	4.73	4.73
04/12/2018	#00032410240	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.80	95.0	0.00	1.71	1.71
04/12/2018	#00032411317	ANDREWS,	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.83	95.0	0.00	2.69	2.69
04/12/2018	#00032415135	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.75	95.0	0.00	1.66	1.66
04/12/2018	#00032415136	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.96	95.0	0.00	1.86	1.86
04/12/2018	#00032418293	SMOOK, MARY	IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.12	95.0	0.00	4.86	4.86
04/12/2018	#00032418731	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	9.62	95.0	0.00	9.14	9.14
04/12/2018	#00032418732	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.98	95.0	0.00	5.68	5.68
04/12/2018	#00032421732	BEANEDALEF	IVY FDS INC ASSET STRATEGY FD CL A	0.00	18.06	95.0	0.00	17.16	17.16
04/12/2018	#00032423005	SMOOK, CURTIS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	6.08	95.0	0.00	5.78	5.78
04/12/2018	#00032428411	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	23.21	95.0	0.00	22.05	22.05

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00032431392	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.70	95.0	0.00	0.67	0.67
04/12/2018	#00032434345	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.77	95.0	0.00	0.73	0.73
04/12/2018	#00032434346	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.77	95.0	0.00	0.73	0.73
04/12/2018	#00032435738	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	18.70	95.0	0.00	17.77	17.77
04/12/2018	#00035202865	GLOVER, JESSICA	IVY FDS INC ASSET STRATEGY FD CL A	0.00	7.52	95.0	0.00	7.14	7.14
04/12/2018	#00035335795	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	18.79	95.0	0.00	17.85	17.85
04/12/2018	#00035376545	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	8.58	95.0	0.00	8.15	8.15
04/12/2018	#00035376555	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	10.80	95.0	0.00	10.26	10.26
04/12/2018	#00035376560	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	4.34	95.0	0.00	4.12	4.12
04/12/2018	#00035377025	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	7.96	95.0	0.00	7.56	7.56
04/12/2018	#00035381389	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.96	95.0	0.00	0.91	0.91
04/12/2018	#00035402325	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	4.23	95.0	0.00	4.02	4.02
04/12/2018	#00035409359	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.46	95.0	0.00	0.44	0.44
04/12/2018	#00035419793	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.20	95.0	0.00	4.94	4.94
04/12/2018	#00035422292	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.44	95.0	0.00	5.17	5.17
04/12/2018	#00035436381	GLOVER, KEITH	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.92	95.0	0.00	3.72	3.72
04/12/2018	#00035461171	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	20.20	95.0	0.00	19.19	19.19
04/12/2018	#00035461175	JOHNSON, DONALD	IVY FDS INC ASSET STRATEGY FD CL A	0.00	12.59	95.0	0.00	11.96	11.96
04/12/2018	#00035478180	COOPER TRACY,	IVY FDS INC ASSET STRATEGY FD CL A	0.00	7.14	95.0	0.00	6.78	6.78
04/12/2018	#00035491952	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.94	95.0	0.00	2.79	2.79
04/12/2018	#00035492588	EMERSON, BECKY	IVY FDS INC ASSET STRATEGY FD CL A	0.00	11.78	95.0	0.00	11.19	11.19
04/12/2018	#00035494498	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	7.67	95.0	0.00	7.29	7.29
04/12/2018	#00035502928	ANDREWS, SARA	IVY FDS INC ASSET STRATEGY FD CL A	0.00	6.03	95.0	0.00	5.73	5.73
04/12/2018	#00035505447	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.11	95.0	0.00	2.95	2.95
04/12/2018	#00035511829	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.66	95.0	0.00	0.63	0.63
04/12/2018	#00035521755	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.60	95.0	0.00	0.57	0.57
04/12/2018	#00035522407	HARSH, RAYMOND	IVY FDS INC ASSET STRATEGY FD CL A	0.00	7.91	95.0	0.00	7.51	7.51
04/12/2018	#00035522691	GRAVES, HELEN	IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.60	95.0	0.00	5.32	5.32
04/12/2018	#00035529678	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.68	95.0	0.00	5.40	5.40
04/12/2018	#00035534884	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.53	95.0	0.00	0.50	0.50
04/12/2018	#00035546501	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.98	95.0	0.00	3.78	3.78
04/12/2018	#00035564881	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	7.00	95.0	0.00	6.65	6.65
04/12/2018	#00035565309	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.70	95.0	0.00	5.42	5.42
04/12/2018	#00035571940	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	9.92	95.0	0.00	9.42	9.42
04/12/2018	#00035572013	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.29	95.0	0.00	1.23	1.23
04/12/2018	#00035572064	KEMP, DANIEL	IVY FDS INC ASSET STRATEGY FD CL A	0.00	73.68	95.0	0.00	70.00	70.00

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035572237	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.33	95.0	0.00	1.26	1.26
04/12/2018	#00035572251	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	10.50	95.0	0.00	9.98	9.98
04/12/2018	#00035578513	CHRISTOPHER,	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.01	95.0	0.00	2.86	2.86
04/12/2018	#00035580054	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.20	95.0	0.00	1.14	1.14
04/12/2018	#00035584889	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.76	95.0	0.00	1.67	1.67
04/12/2018	#00035589263	JOHNSON, DONALD	IVY FDS INC ASSET STRATEGY FD CL A	0.00	37.18	95.0	0.00	35.32	35.32
04/12/2018	#00035601977	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	4.48	95.0	0.00	4.26	4.26
04/12/2018	#00035606261	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.65	95.0	0.00	3.47	3.47
04/12/2018	#00035614936	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.32	95.0	0.00	1.25	1.25
04/12/2018	#00035619764	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	6.04	95.0	0.00	5.74	5.74
04/12/2018	#00035631124	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.52	95.0	0.00	0.49	0.49
04/12/2018	#00035631138	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.59	95.0	0.00	0.56	0.56
04/12/2018	#00035631725	WEBER, JESSICA	IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.62	95.0	0.00	5.34	5.34
04/12/2018	#00035633441	KOCOUREK,	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.13	95.0	0.00	2.97	2.97
04/12/2018	#00035637930	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.57	95.0	0.00	3.39	3.39
04/12/2018	#00035637933	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.75	95.0	0.00	0.71	0.71
04/12/2018	#00035644796	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	8.03	95.0	0.00	7.63	7.63
04/12/2018	#00035646466	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.48	95.0	0.00	0.46	0.46
04/12/2018	#00035649857	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	9.75	95.0	0.00	9.26	9.26
04/12/2018	#00035676896	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.49	95.0	0.00	1.42	1.42
04/12/2018	#00035709381	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.55	95.0	0.00	1.47	1.47
04/12/2018	#00035740156	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	16.35	95.0	0.00	15.53	15.53
04/12/2018	#00035742403	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	25.17	95.0	0.00	23.91	23.91
04/12/2018	#00035746115	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	15.04	95.0	0.00	14.29	14.29
04/12/2018	#00035746667	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.53	95.0	0.00	0.50	0.50
04/12/2018	#00035746714	STACEY, JON	IVY FDS INC ASSET STRATEGY FD CL A	0.00	4.34	95.0	0.00	4.12	4.12
04/12/2018	#00035762704	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	11.71	95.0	0.00	11.12	11.12
04/12/2018	#00035790917	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.54	95.0	0.00	0.51	0.51
04/12/2018	#00035799004	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	12.07	95.0	0.00	11.47	11.47
04/12/2018	#00035812238	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.43	95.0	0.00	1.36	1.36
04/12/2018	#00035812240	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	12.76	95.0	0.00	12.12	12.12
04/12/2018	#00035812251	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.05	95.0	0.00	1.95	1.95
04/12/2018	#00035812257	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.33	95.0	0.00	2.21	2.21
04/12/2018	#00035812274	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.58	95.0	0.00	2.45	2.45
04/12/2018	#00035823816	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.23	95.0	0.00	3.07	3.07
04/12/2018	#00035825644	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.73	95.0	0.00	3.54	3.54

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035826948	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.80	95.0	0.00	2.66	2.66
04/12/2018	#00035830897	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	9.84	95.0	0.00	9.35	9.35
04/12/2018	#00035836837	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.27	95.0	0.00	1.21	1.21
04/12/2018	#00035864350	HALL, JASON	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.35	95.0	0.00	3.18	3.18
04/12/2018	#00035888536	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	8.26	95.0	0.00	7.85	7.85
04/12/2018	#00035893702	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.81	95.0	0.00	1.72	1.72
04/12/2018	#00035895678	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	38.04	95.0	0.00	36.14	36.14
04/12/2018	#00035899205	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.71	95.0	0.00	1.62	1.62
04/12/2018	#00035920047	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.95	95.0	0.00	5.65	5.65
04/12/2018	#00035943714	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.70	95.0	0.00	0.67	0.67
04/12/2018	#00035952048	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.69	95.0	0.00	1.61	1.61
04/12/2018	#00035959118 (TOD), ARTHY		IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.33	95.0	0.00	2.21	2.21
04/12/2018	#00035998467	GORHAM,	IVY FDS INC ASSET STRATEGY FD CL A	0.00	42.18	95.0	0.00	40.07	40.07
04/12/2018	#00036009200	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	12.42	95.0	0.00	11.80	11.80
04/12/2018	#00036016083	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	9.86	95.0	0.00	9.37	9.37
04/12/2018	#00036022546	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.14	95.0	0.00	1.08	1.08
04/12/2018	#00036022549	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.32	95.0	0.00	2.20	2.20
04/12/2018	#00036026483	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.73	95.0	0.00	1.64	1.64
04/12/2018	#00036027743	TRAUT, TODD	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.67	95.0	0.00	2.54	2.54
04/12/2018	#00036028948	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.81	95.0	0.00	1.72	1.72
04/12/2018	#00036029241	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.67	95.0	0.00	1.59	1.59
04/12/2018	#00036067833	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	9.88	95.0	0.00	9.39	9.39
04/12/2018	#00036072694	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.89	95.0	0.00	0.85	0.85
04/12/2018	#00036074620	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.10	95.0	0.00	1.05	1.05
04/12/2018	#00036075428	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.44	95.0	0.00	1.37	1.37
04/12/2018	#00036075904	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	7.04	95.0	0.00	6.69	6.69
04/12/2018	#00036077173	BACKHROGEL	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.89	95.0	0.00	1.80	1.80
04/12/2018	#00036077188	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.46	95.0	0.00	2.34	2.34
04/12/2018	#00036077197	LYKKEN, ARLO	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.94	95.0	0.00	0.89	0.89
04/12/2018	#00036078347	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.97	95.0	0.00	5.67	5.67
04/12/2018	#00036087317	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.41	95.0	0.00	1.34	1.34
04/12/2018	#00036093484	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.91	95.0	0.00	1.81	1.81
04/12/2018	#00036098588	LEVEDCRYS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.34	95.0	0.00	5.07	5.07
04/12/2018	#00036100908	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	15.42	95.0	0.00	14.65	14.65
04/12/2018	#00036100946	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.66	95.0	0.00	1.58	1.58
04/12/2018	#00036102027	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.46	95.0	0.00	1.39	1.39

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00036102550	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.48	95.0	0.00	3.31	3.31
04/12/2018	#00036105310	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.36	95.0	0.00	1.29	1.29
04/12/2018	#00036110221	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	6.57	95.0	0.00	6.24	6.24
04/12/2018	#00036130197	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.99	95.0	0.00	0.94	0.94
04/12/2018	#00036136229	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	28.34	95.0	0.00	26.92	26.92
04/12/2018	#00036138925	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	4.84	95.0	0.00	4.60	4.60
04/12/2018	#00036139635	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.43	95.0	0.00	5.16	5.16
04/12/2018	#00036140579	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.25	95.0	0.00	1.19	1.19
04/12/2018	#00036140585	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.72	95.0	0.00	0.68	0.68
04/12/2018	#00036140592	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.59	95.0	0.00	1.51	1.51
04/12/2018	#00036143265	HOGUETRAC TL	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.83	95.0	0.00	2.69	2.69
04/12/2018	#00036143432	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.78	95.0	0.00	2.64	2.64
04/12/2018	#00036143435	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.14	95.0	0.00	1.08	1.08
04/12/2018	#00036143438	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.80	95.0	0.00	0.76	0.76
04/12/2018	#00036144075	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.62	95.0	0.00	0.59	0.59
04/12/2018	#00036144736	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.48	95.0	0.00	0.46	0.46
04/12/2018	#00036144737	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.48	95.0	0.00	0.46	0.46
04/12/2018	#00036149117	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.11	95.0	0.00	2.95	2.95
04/12/2018	#00036149956	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.62	95.0	0.00	2.49	2.49
04/12/2018	#00036149962	SPENNY, DAVID	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.02	95.0	0.00	0.97	0.97
04/12/2018	#00036150657	JOHNSON, JOSEPH	IVY FDS INC ASSET STRATEGY FD CL A	0.00	7.58	95.0	0.00	7.20	7.20
04/12/2018	#00036150662	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.58	95.0	0.00	0.55	0.55
04/12/2018	#00036150731	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.70	95.0	0.00	0.67	0.67
04/12/2018	#00036150735	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.70	95.0	0.00	0.67	0.67
04/12/2018	#00036163019	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	9.74	95.0	0.00	9.25	9.25
04/12/2018	#00036163036	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	16.19	95.0	0.00	15.38	15.38
04/12/2018	#00036171938	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.33	95.0	0.00	1.26	1.26
04/12/2018	#00036175845	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	16.38	95.0	0.00	15.56	15.56
04/12/2018	#00036185398	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.54	95.0	0.00	0.51	0.51
04/12/2018	#00036185416	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.52	95.0	0.00	0.49	0.49
04/12/2018	#00036187511	BEANEDALEF	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.58	95.0	0.00	2.45	2.45
04/12/2018	#00036191000	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	7.13	95.0	0.00	6.77	6.77
04/12/2018	#00036192778	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.53	95.0	0.00	0.50	0.50
04/12/2018	#00036194732	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.06	95.0	0.00	0.06	0.06
04/12/2018	#00036196256	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.30	95.0	0.00	2.19	2.19
04/12/2018	#00036200166	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.95	95.0	0.00	0.90	0.90



COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00036200421	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	28.75	95.0	0.00	27.31	27.31
04/12/2018	#00036200467	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.54	95.0	0.00	0.51	0.51
04/12/2018	#00036204717	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.47	95.0	0.00	0.45	0.45
04/12/2018	#00036208319	TAYLOR, NICK	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.73	95.0	0.00	0.69	0.69
04/12/2018	#00036208332	TAYLOCALI	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.73	95.0	0.00	0.69	0.69
04/12/2018	#00036209658	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.44	95.0	0.00	0.42	0.42
04/12/2018	#00036253281	REEKERS, DONNA	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.98	95.0	0.00	0.93	0.93
04/12/2018	#00036254373	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.30	95.0	0.00	1.24	1.24
04/12/2018	#00036259618	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.90	95.0	0.00	0.86	0.86
04/12/2018	#00036266208	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.26	95.0	0.00	1.20	1.20
04/12/2018	#00036272612	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.59	95.0	0.00	1.51	1.51
04/12/2018	#00036285574	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.18	95.0	0.00	2.07	2.07
04/12/2018	#00036302624	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	15.56	95.0	0.00	14.78	14.78
04/12/2018	#00036325628	VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	21.64	95.0	0.00	20.56	20.56
04/12/2018	#00035202865	GLOVER, JESSICA	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.11	95.0	0.00	0.10	0.10
04/12/2018	#00035436381	GLOVER, KEITH	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.05	95.0	0.00	0.05	0.05
04/12/2018	#00032366772	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	4.31	95.0	0.00	4.09	4.09
04/12/2018	#00032366773	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	4.10	95.0	0.00	3.90	3.90
04/12/2018	#00032367069	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.51	95.0	0.00	0.48	0.48
04/12/2018	#00032370316	CLINTON, PAUL	IVY FDS INC HIGH INCOME FD CL A	0.00	1.56	95.0	0.00	1.48	1.48
04/12/2018	#00032372557	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	11.67	95.0	0.00	11.09	11.09
04/12/2018	#00032375891	SPENNY, DAVID	IVY FDS INC HIGH INCOME FD CL A	0.00	0.63	95.0	0.00	0.60	0.60
04/12/2018	#00032381218	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	3.61	95.0	0.00	3.43	3.43
04/12/2018	#00032381219	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	3.57	95.0	0.00	3.39	3.39
04/12/2018	#00032381393	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	2.81	95.0	0.00	2.67	2.67
04/12/2018	#00032382061	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.06	95.0	0.00	1.01	1.01
04/12/2018	#00032382774	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	2.16	95.0	0.00	2.05	2.05
04/12/2018	#00032385108	PETERSON,	IVY FDS INC HIGH INCOME FD CL A	0.00	2.93	95.0	0.00	2.78	2.78
04/12/2018	#00032386705	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	8.23	95.0	0.00	7.82	7.82
04/12/2018	#00032387551	ROBERTSON,	IVY FDS INC HIGH INCOME FD CL A	0.00	1.40	95.0	0.00	1.33	1.33
04/12/2018	#00032388137	CHRISTOPHER,	IVY FDS INC HIGH INCOME FD CL A	0.00	4.13	95.0	0.00	3.92	3.92
04/12/2018	#00032390050	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	25.17	95.0	0.00	23.91	23.91
04/12/2018	#00032390799	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	4.58	95.0	0.00	4.35	4.35
04/12/2018	#00032390801	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	5.28	95.0	0.00	5.02	5.02
04/12/2018	#00032390802	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.18	95.0	0.00	1.12	1.12
04/12/2018	#00032392205	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	6.37	95.0	0.00	6.05	6.05

COMMISSION STATEMENT for RON RENSBERGER

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00032397882	REEKERS, DONNA	IVY FDS INC HIGH INCOME FD CL A	0.00	1.52	95.0	0.00	1.44	1.44
04/12/2018	#00032398031	KEMP, DANIEL	IVY FDS INC HIGH INCOME FD CL A	0.00	5.56	95.0	0.00	5.28	5.28
04/12/2018	#00032398032	KEMP, MICHELE	IVY FDS INC HIGH INCOME FD CL A	0.00	4.39	95.0	0.00	4.17	4.17
04/12/2018	#00032398536	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	6.23	95.0	0.00	5.92	5.92
04/12/2018	#00032400094	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	18.95	95.0	0.00	18.00	18.00
04/12/2018	#00032401125	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	2.10	95.0	0.00	2.00	2.00
04/12/2018	#00032401984	ANN D HAVILAND,	IVY FDS INC HIGH INCOME FD CL A	0.00	6.25	95.0	0.00	5.94	5.94
04/12/2018	#00032405628	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.74	95.0	0.00	0.70	0.70
04/12/2018	#00032408419	DEMPSEY, STEVEN	IVY FDS INC HIGH INCOME FD CL A	0.00	8.49	95.0	0.00	8.07	8.07
04/12/2018	#00032409103	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	5.15	95.0	0.00	4.89	4.89
04/12/2018	#00032411317	ANDREWS,	IVY FDS INC HIGH INCOME FD CL A	0.00	1.71	95.0	0.00	1.62	1.62
04/12/2018	#00032415136	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.11	95.0	0.00	1.05	1.05
04/12/2018	#00032418293	SMOOK, MARY	IVY FDS INC HIGH INCOME FD CL A	0.00	3.22	95.0	0.00	3.06	3.06
04/12/2018	#00032418731	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	9.37	95.0	0.00	8.90	8.90
04/12/2018	#00032418732	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	4.39	95.0	0.00	4.17	4.17
04/12/2018	#00032423005	SMOOK, CURTIS	IVY FDS INC HIGH INCOME FD CL A	0.00	6.02	95.0	0.00	5.72	5.72
04/12/2018	#00032428411	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	28.61	95.0	0.00	27.18	27.18
04/12/2018	#00032434345	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.97	95.0	0.00	0.92	0.92
04/12/2018	#00032434346	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.97	95.0	0.00	0.92	0.92
04/12/2018	#00032435738	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	11.34	95.0	0.00	10.77	10.77
04/12/2018	#00035202865	GLOVER, JESSICA	IVY FDS INC HIGH INCOME FD CL A	0.00	10.03	95.0	0.00	9.53	9.53
04/12/2018	#00035335795	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	9.01	95.0	0.00	8.56	8.56
04/12/2018	#00035376555	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	10.53	95.0	0.00	10.00	10.00
04/12/2018	#00035377025	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	4.51	95.0	0.00	4.28	4.28
04/12/2018	#00035378852	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	2.05	95.0	0.00	1.95	1.95
04/12/2018	#00035381389	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.90	95.0	0.00	0.86	0.86
04/12/2018	#00035383226	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.57	95.0	0.00	0.54	0.54
04/12/2018	#00035402325	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.68	95.0	0.00	0.65	0.65
04/12/2018	#00035409359	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.68	95.0	0.00	0.65	0.65
04/12/2018	#00035419793	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	8.56	95.0	0.00	8.13	8.13
04/12/2018	#00035419838	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	4.97	95.0	0.00	4.72	4.72
04/12/2018	#00035436381	GLOVER, KEITH	IVY FDS INC HIGH INCOME FD CL A	0.00	5.07	95.0	0.00	4.82	4.82
04/12/2018	#00035461171	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	19.69	95.0	0.00	18.71	18.71
04/12/2018	#00035461175	JOHNSON, DONALD	IVY FDS INC HIGH INCOME FD CL A	0.00	14.73	95.0	0.00	13.99	13.99
04/12/2018	#00035474804	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.10	95.0	0.00	1.05	1.05
04/12/2018	#00035478180	COOPER TRACY,	IVY FDS INC HIGH INCOME FD CL A	0.00	11.03	95.0	0.00	10.48	10.48

COMMISSION STATEMENT for RON RENSBERGER

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035491952	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.33	95.0	0.00	1.26	1.26
04/12/2018	#00035492585	EMERSON, SHAWN	IVY FDS INC HIGH INCOME FD CL A	0.00	8.55	95.0	0.00	8.12	8.12
04/12/2018	#00035492588	EMERSON, BECKY	IVY FDS INC HIGH INCOME FD CL A	0.00	9.89	95.0	0.00	9.40	9.40
04/12/2018	#00035494498	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	15.20	95.0	0.00	14.44	14.44
04/12/2018	#00035500866	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	2.92	95.0	0.00	2.77	2.77
04/12/2018	#00035500870	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	2.94	95.0	0.00	2.79	2.79
04/12/2018	#00035502928	ANDREWS, SARA	IVY FDS INC HIGH INCOME FD CL A	0.00	3.13	95.0	0.00	2.97	2.97
04/12/2018	#00035505447	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	41.61	95.0	0.00	39.53	39.53
04/12/2018	#00035511829	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.77	95.0	0.00	0.73	0.73
04/12/2018	#00035521755	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.01	95.0	0.00	0.96	0.96
04/12/2018	#00035522407	HARSH, RAYMOND	IVY FDS INC HIGH INCOME FD CL A	0.00	7.71	95.0	0.00	7.32	7.32
04/12/2018	#00035522691	GRAVES, HELEN	IVY FDS INC HIGH INCOME FD CL A	0.00	7.19	95.0	0.00	6.83	6.83
04/12/2018	#00035529678	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	3.20	95.0	0.00	3.04	3.04
04/12/2018	#00035534884	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.55	95.0	0.00	0.52	0.52
04/12/2018	#00035564881	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	4.14	95.0	0.00	3.93	3.93
04/12/2018	#00035565309	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	7.14	95.0	0.00	6.78	6.78
04/12/2018	#00035571940	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	8.21	95.0	0.00	7.80	7.80
04/12/2018	#00035572013	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	3.96	95.0	0.00	3.76	3.76
04/12/2018	#00035572064	KEMP, DANIEL	IVY FDS INC HIGH INCOME FD CL A	0.00	51.13	95.0	0.00	48.57	48.57
04/12/2018	#00035572237	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.99	95.0	0.00	0.94	0.94
04/12/2018	#00035572251	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	7.29	95.0	0.00	6.93	6.93
04/12/2018	#00035572728	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.72	95.0	0.00	0.68	0.68
04/12/2018	#00035578513	CHRISTOPHER,	IVY FDS INC HIGH INCOME FD CL A	0.00	11.53	95.0	0.00	10.95	10.95
04/12/2018	#00035580054	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.93	95.0	0.00	0.88	0.88
04/12/2018	#00035589263	JOHNSON, DONALD	IVY FDS INC HIGH INCOME FD CL A	0.00	27.60	95.0	0.00	26.22	26.22
04/12/2018	#00035601977	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	9.66	95.0	0.00	9.18	9.18
04/12/2018	#00035614936	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.93	95.0	0.00	0.88	0.88
04/12/2018	#00035619764	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	2.99	95.0	0.00	2.84	2.84
04/12/2018	#00035633441	KOCOUREK,	IVY FDS INC HIGH INCOME FD CL A	0.00	0.77	95.0	0.00	0.73	0.73
04/12/2018	#00035637930	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.74	95.0	0.00	1.65	1.65
04/12/2018	#00035644796	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	3.47	95.0	0.00	3.30	3.30
04/12/2018	#00035649857	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	5.69	95.0	0.00	5.41	5.41
04/12/2018	#00035740156	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.03	95.0	0.00	0.98	0.98
04/12/2018	#00035742403	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	9.06	95.0	0.00	8.61	8.61
04/12/2018	#00035746115	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	12.58	95.0	0.00	11.95	11.95
04/12/2018	#00035746667	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.56	95.0	0.00	0.53	0.53

COMMISSION STATEMENT for RON RENSBERGER

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035762704	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	2.52	95.0	0.00	2.39	2.39
04/12/2018	#00035790917	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.56	95.0	0.00	0.53	0.53
04/12/2018	#00035799004	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	17.51	95.0	0.00	16.63	16.63
04/12/2018	#00035812240	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	6.88	95.0	0.00	6.54	6.54
04/12/2018	#00035812274	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.71	95.0	0.00	0.67	0.67
04/12/2018	#00035825644	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	4.37	95.0	0.00	4.15	4.15
04/12/2018	#00035826948	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	3.03	95.0	0.00	2.88	2.88
04/12/2018	#00035830897	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	4.63	95.0	0.00	4.40	4.40
04/12/2018	#00035888536	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	3.23	95.0	0.00	3.07	3.07
04/12/2018	#00035895678	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	42.08	95.0	0.00	39.98	39.98
04/12/2018	#00035899205	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.93	95.0	0.00	0.88	0.88
04/12/2018	#00035920047	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	4.38	95.0	0.00	4.16	4.16
04/12/2018	#00035943714	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.55	95.0	0.00	0.52	0.52
04/12/2018	#00035959118	(TOD), ARTHY	IVY FDS INC HIGH INCOME FD CL A	0.00	1.83	95.0	0.00	1.74	1.74
04/12/2018	#00036009200	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	7.34	95.0	0.00	6.97	6.97
04/12/2018	#00036016083	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	8.40	95.0	0.00	7.98	7.98
04/12/2018	#00036022546	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.26	95.0	0.00	1.20	1.20
04/12/2018	#00036022549	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	2.47	95.0	0.00	2.35	2.35
04/12/2018	#00036026483	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.56	95.0	0.00	1.48	1.48
04/12/2018	#00036028948	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	3.75	95.0	0.00	3.56	3.56
04/12/2018	#00036029241	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.77	95.0	0.00	1.68	1.68
04/12/2018	#00036067833	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	9.93	95.0	0.00	9.43	9.43
04/12/2018	#00036074615	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.59	95.0	0.00	0.56	0.56
04/12/2018	#00036075428	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.43	95.0	0.00	1.36	1.36
04/12/2018	#00036075904	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	6.32	95.0	0.00	6.00	6.00
04/12/2018	#00036077188	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	3.53	95.0	0.00	3.35	3.35
04/12/2018	#00036078347	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	10.05	95.0	0.00	9.55	9.55
04/12/2018	#00036087285	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.92	95.0	0.00	0.87	0.87
04/12/2018	#00036087317	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.14	95.0	0.00	1.08	1.08
04/12/2018	#00036093484	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	2.20	95.0	0.00	2.09	2.09
04/12/2018	#00036100908	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	16.49	95.0	0.00	15.67	15.67
04/12/2018	#00036100946	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.86	95.0	0.00	1.77	1.77
04/12/2018	#00036102027	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.66	95.0	0.00	1.58	1.58
04/12/2018	#00036105310	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.53	95.0	0.00	1.45	1.45
04/12/2018	#00036110221	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	6.98	95.0	0.00	6.63	6.63
04/12/2018	#00036136229	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	15.09	95.0	0.00	14.34	14.34

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/12/2018	#00036138925	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	3.81	95.0	0.00	3.62	0.00	3.62
04/12/2018	#00036140579	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.25	95.0	0.00	1.19	0.00	1.19
04/12/2018	#00036140585	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.82	95.0	0.00	0.78	0.00	0.78
04/12/2018	#00036140592	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.23	95.0	0.00	1.17	0.00	1.17
04/12/2018	#00036143265	HOGUETRACTL	IVY FDS INC HIGH INCOME FD CL A	0.00	0.93	95.0	0.00	0.88	0.00	0.88
04/12/2018	#00036143432	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.99	95.0	0.00	1.89	0.00	1.89
04/12/2018	#00036143435	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.02	95.0	0.00	0.97	0.00	0.97
04/12/2018	#00036143438	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.19	95.0	0.00	1.13	0.00	1.13
04/12/2018	#00036144075	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.54	95.0	0.00	0.51	0.00	0.51
04/12/2018	#00036149117	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	3.09	95.0	0.00	2.94	0.00	2.94
04/12/2018	#00036149956	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	2.43	95.0	0.00	2.31	0.00	2.31
04/12/2018	#00036149962	SPENNY, DAVID	IVY FDS INC HIGH INCOME FD CL A	0.00	1.07	95.0	0.00	1.02	0.00	1.02
04/12/2018	#00036150657	JOHNSON, JOSEPH	IVY FDS INC HIGH INCOME FD CL A	0.00	4.34	95.0	0.00	4.12	0.00	4.12
04/12/2018	#00036150662	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.94	95.0	0.00	0.89	0.00	0.89
04/12/2018	#00036150731	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.84	95.0	0.00	0.80	0.00	0.80
04/12/2018	#00036150735	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.84	95.0	0.00	0.80	0.00	0.80
04/12/2018	#00036163036	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	8.78	95.0	0.00	8.34	0.00	8.34
04/12/2018	#00036171938	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.00	95.0	0.00	0.95	0.00	0.95
04/12/2018	#00036175845	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	28.67	95.0	0.00	27.24	0.00	27.24
04/12/2018	#00036185398	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.52	95.0	0.00	0.49	0.00	0.49
04/12/2018	#00036185416	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.63	95.0	0.00	0.60	0.00	0.60
04/12/2018	#00036187511	BEANEDALEF	IVY FDS INC HIGH INCOME FD CL A	0.00	1.95	95.0	0.00	1.85	0.00	1.85
04/12/2018	#00036191000	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	8.05	95.0	0.00	7.65	0.00	7.65
04/12/2018	#00036196256	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.71	95.0	0.00	1.62	0.00	1.62
04/12/2018	#00036200166	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.11	95.0	0.00	1.05	0.00	1.05
04/12/2018	#00036200421	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	15.61	95.0	0.00	14.83	0.00	14.83
04/12/2018	#00036200467	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.52	95.0	0.00	0.49	0.00	0.49
04/12/2018	#00036204717	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.81	95.0	0.00	0.77	0.00	0.77
04/12/2018	#00036208319	TAYLOR, NICK	IVY FDS INC HIGH INCOME FD CL A	0.00	2.11	95.0	0.00	2.00	0.00	2.00
04/12/2018	#00036208332	TAYLOCALI	IVY FDS INC HIGH INCOME FD CL A	0.00	2.11	95.0	0.00	2.00	0.00	2.00
04/12/2018	#00036209650	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.59	95.0	0.00	0.56	0.00	0.56
04/12/2018	#00036209658	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.55	95.0	0.00	0.52	0.00	0.52
04/12/2018	#00036253281	REEKERS, DONNA	IVY FDS INC HIGH INCOME FD CL A	0.00	1.01	95.0	0.00	0.96	0.00	0.96
04/12/2018	#00036259618	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.02	95.0	0.00	0.97	0.00	0.97
04/12/2018	#00036265357	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.49	95.0	0.00	0.47	0.00	0.47
04/12/2018	#00036266208	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.87	95.0	0.00	0.83	0.00	0.83

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00036272612	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.00	95.0	0.00	0.95	0.95
04/12/2018	#00036284255	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.53	95.0	0.00	0.50	0.50
04/12/2018	#00036285574	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.68	95.0	0.00	1.60	1.60
04/12/2018	#00036292781	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.97	95.0	0.00	0.92	0.92
04/12/2018	#00036302624	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	6.91	95.0	0.00	6.56	6.56
04/12/2018	#00036319206	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	3.00	95.0	0.00	2.85	2.85
04/12/2018	#00036325146	TELLI, TERRL	IVY FDS INC HIGH INCOME FD CL A	0.00	15.62	95.0	0.00	14.84	14.84
04/12/2018	#00036325628	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	19.80	95.0	0.00	18.81	18.81
04/12/2018	#00036328297	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.38	95.0	0.00	0.36	0.36
04/12/2018	#00036331945	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.68	95.0	0.00	0.65	0.65
04/12/2018	#00036343490	O'BRIEN,	IVY FDS INC HIGH INCOME FD CL A	0.00	0.25	95.0	0.00	0.24	0.24
04/12/2018	#00036351929	(TOD), COREY	IVY FDS INC HIGH INCOME FD CL A	0.00	0.87	95.0	0.00	0.83	0.83
04/12/2018	#00032366773	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	4.18	95.0	0.00	3.97	3.97
04/12/2018	#00032374436	RENTSCHLER,	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	6.41	95.0	0.00	6.09	6.09
04/12/2018	#00032381393	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.94	95.0	0.00	0.89	0.89
04/12/2018	#00032382061	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	6.07	95.0	0.00	5.77	5.77
04/12/2018	#00032382774	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	11.74	95.0	0.00	11.15	11.15
04/12/2018	#00032385108	PETERSON,	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	3.87	95.0	0.00	3.68	3.68
04/12/2018	#00032386705	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	14.73	95.0	0.00	13.99	13.99
04/12/2018	#00032387551	ROBERTSON,	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	1.42	95.0	0.00	1.35	1.35
04/12/2018	#00032390050	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	51.67	95.0	0.00	49.09	49.09
04/12/2018	#00032392205	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	11.02	95.0	0.00	10.47	10.47
04/12/2018	#00032396121	COOPER TRACY,	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	7.69	95.0	0.00	7.31	7.31
04/12/2018	#00032397882	REEKERS, DONNA	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.15	95.0	0.00	2.04	2.04
04/12/2018	#00032398031	KEMP, DANIEL	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	13.87	95.0	0.00	13.18	13.18
04/12/2018	#00032398032	KEMP, MICHELE	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	12.33	95.0	0.00	11.71	11.71
04/12/2018	#00032398536	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	3.99	95.0	0.00	3.79	3.79
04/12/2018	#00032400094	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	8.17	95.0	0.00	7.76	7.76
04/12/2018	#00032401125	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.91	95.0	0.00	2.76	2.76
04/12/2018	#00032401984	ANN D HAVILAND,	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	1.88	95.0	0.00	1.79	1.79
04/12/2018	#00032405010	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	3.11	95.0	0.00	2.95	2.95
04/12/2018	#00032411317	ANDREWS,	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.50	95.0	0.00	2.38	2.38
04/12/2018	#00032418731	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.91	95.0	0.00	2.76	2.76
04/12/2018	#00032418732	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.91	95.0	0.00	2.76	2.76
04/12/2018	#00032435738	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	4.76	95.0	0.00	4.52	4.52
04/12/2018	#00035335795	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	23.19	95.0	0.00	22.03	22.03

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035376555	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	11.54	95.0	0.00	10.96	10.96
04/12/2018	#00035376560	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	6.67	95.0	0.00	6.34	6.34
04/12/2018	#00035377025	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	11.91	95.0	0.00	11.31	11.31
04/12/2018	#00035378852	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	9.01	95.0	0.00	8.56	8.56
04/12/2018	#00035381389	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.93	95.0	0.00	0.88	0.88
04/12/2018	#00035383226	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	3.88	95.0	0.00	3.69	3.69
04/12/2018	#00035402325	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	1.52	95.0	0.00	1.44	1.44
04/12/2018	#00035419793	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	7.56	95.0	0.00	7.18	7.18
04/12/2018	#00035422292	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	6.41	95.0	0.00	6.09	6.09
04/12/2018	#00035461171	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	31.65	95.0	0.00	30.07	30.07
04/12/2018	#00035461175	JOHNSON, DONALD	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	11.07	95.0	0.00	10.52	10.52
04/12/2018	#00035478180	COOPER TRACY,	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	15.50	95.0	0.00	14.73	14.73
04/12/2018	#00035494498	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	7.47	95.0	0.00	7.10	7.10
04/12/2018	#00035500850	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	3.51	95.0	0.00	3.33	3.33
04/12/2018	#00035522691	GRAVES, HELEN	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	1.45	95.0	0.00	1.38	1.38
04/12/2018	#00035571940	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	12.64	95.0	0.00	12.01	12.01
04/12/2018	#00035572064	KEMP, DANIEL	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	124.63	95.0	0.00	118.40	118.40
04/12/2018	#00035572237	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.81	95.0	0.00	2.67	2.67
04/12/2018	#00035572251	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	17.83	95.0	0.00	16.94	16.94
04/12/2018	#00035572771	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.43	95.0	0.00	2.31	2.31
04/12/2018	#00035578513	CHRISTOPHER,	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	24.84	95.0	0.00	23.60	23.60
04/12/2018	#00035589263	JOHNSON, DONALD	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	41.41	95.0	0.00	39.34	39.34
04/12/2018	#00035606261	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	6.51	95.0	0.00	6.18	6.18
04/12/2018	#00035614936	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.04	95.0	0.00	1.94	1.94
04/12/2018	#00035619764	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	10.08	95.0	0.00	9.58	9.58
04/12/2018	#00035633441	KOCOUREK,	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	1.39	95.0	0.00	1.32	1.32
04/12/2018	#00035709381	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	1.35	95.0	0.00	1.28	1.28
04/12/2018	#00035740156	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	9.84	95.0	0.00	9.35	9.35
04/12/2018	#00035746115	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	13.25	95.0	0.00	12.59	12.59
04/12/2018	#00035746667	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.78	95.0	0.00	0.74	0.74
04/12/2018	#00035790917	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.75	95.0	0.00	0.71	0.71
04/12/2018	#00035799004	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	25.87	95.0	0.00	24.58	24.58
04/12/2018	#00035812274	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.84	95.0	0.00	0.80	0.80
04/12/2018	#00035826948	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	5.28	95.0	0.00	5.02	5.02
04/12/2018	#00035830897	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	1.48	95.0	0.00	1.41	1.41
04/12/2018	#00035836837	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.49	95.0	0.00	2.37	2.37

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035888536	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.80	95.0	0.00	2.66	2.66
04/12/2018	#00035920047	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	7.05	95.0	0.00	6.70	6.70
04/12/2018	#00035959118	(TOD), ARTHY	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	4.26	95.0	0.00	4.05	4.05
04/12/2018	#00036009200	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	9.25	95.0	0.00	8.79	8.79
04/12/2018	#00036016083	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	12.50	95.0	0.00	11.88	11.88
04/12/2018	#00036067833	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	5.75	95.0	0.00	5.46	5.46
04/12/2018	#00036075428	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.70	95.0	0.00	0.67	0.67
04/12/2018	#00036075904	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	6.37	95.0	0.00	6.05	6.05
04/12/2018	#00036077188	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	17.10	95.0	0.00	16.25	16.25
04/12/2018	#00036078347	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	8.79	95.0	0.00	8.35	8.35
04/12/2018	#00036087285	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	1.09	95.0	0.00	1.04	1.04
04/12/2018	#00036093484	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.24	95.0	0.00	2.13	2.13
04/12/2018	#00036100908	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	31.79	95.0	0.00	30.20	30.20
04/12/2018	#00036140579	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	1.33	95.0	0.00	1.26	1.26
04/12/2018	#00036140585	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	1.16	95.0	0.00	1.10	1.10
04/12/2018	#00036140592	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.55	95.0	0.00	2.42	2.42
04/12/2018	#00036143432	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	3.96	95.0	0.00	3.76	3.76
04/12/2018	#00036143435	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	1.45	95.0	0.00	1.38	1.38
04/12/2018	#00036143438	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	1.42	95.0	0.00	1.35	1.35
04/12/2018	#00036149117	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	5.04	95.0	0.00	4.79	4.79
04/12/2018	#00036149956	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.42	95.0	0.00	2.30	2.30
04/12/2018	#00036150657	JOHNSON, JOSEPH	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	6.86	95.0	0.00	6.52	6.52
04/12/2018	#00036150662	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	1.03	95.0	0.00	0.98	0.98
04/12/2018	#00036163019	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	11.79	95.0	0.00	11.20	11.20
04/12/2018	#00036163036	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	14.89	95.0	0.00	14.15	14.15
04/12/2018	#00036171938	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.01	95.0	0.00	1.91	1.91
04/12/2018	#00036175845	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	39.12	95.0	0.00	37.16	37.16
04/12/2018	#00036185398	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.67	95.0	0.00	0.64	0.64
04/12/2018	#00036187511	BEANEDALEF	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	3.69	95.0	0.00	3.51	3.51
04/12/2018	#00036191000	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	9.97	95.0	0.00	9.47	9.47
04/12/2018	#00036196256	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	3.19	95.0	0.00	3.03	3.03
04/12/2018	#00036200421	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	35.76	95.0	0.00	33.97	33.97
04/12/2018	#00036200467	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.67	95.0	0.00	0.64	0.64
04/12/2018	#00036208319	TAYLOR, NICK	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.48	95.0	0.00	2.36	2.36
04/12/2018	#00036208332	TAYLOCALI	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.23	95.0	0.00	2.12	2.12
04/12/2018	#00036209650	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.71	95.0	0.00	0.67	0.67



COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00036209658	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.62	95.0	0.00	0.59	0.59
04/12/2018	#00036254373	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.40	95.0	0.00	0.38	0.38
04/12/2018	#00036256712	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.90	95.0	0.00	0.86	0.86
04/12/2018	#00036264275	WIPFCAROK	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	1.61	95.0	0.00	1.53	1.53
04/12/2018	#00036265357	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	2.06	95.0	0.00	1.96	1.96
04/12/2018	#00036271912	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.85	95.0	0.00	0.81	0.81
04/12/2018	#00036284255	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.65	95.0	0.00	0.62	0.62
04/12/2018	#00036292781	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	1.17	95.0	0.00	1.11	1.11
04/12/2018	#00036319206	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	4.24	95.0	0.00	4.03	4.03
04/12/2018	#00036325146	TELLI, TERRL	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	29.71	95.0	0.00	28.22	28.22
04/12/2018	#00036325628	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	23.23	95.0	0.00	22.07	22.07
04/12/2018	#00036328297	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.44	95.0	0.00	0.42	0.42
04/12/2018	#00036331945	VARIOUS	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.76	95.0	0.00	0.72	0.72
04/12/2018	#00036343490	O'BRIEN,	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	0.26	95.0	0.00	0.25	0.25
04/12/2018	#00036351929	(TOD), COREY	IVY FDS INC LARGE CAP GROWTH FD CL	0.00	1.17	95.0	0.00	1.11	1.11
04/12/2018	#00032366772	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	9.26	95.0	0.00	8.80	8.80
04/12/2018	#00032366773	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	8.83	95.0	0.00	8.39	8.39
04/12/2018	#00032367069	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.39	95.0	0.00	1.32	1.32
04/12/2018	#00032370316	CLINTON, PAUL	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.90	95.0	0.00	1.81	1.81
04/12/2018	#00032372557	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	7.55	95.0	0.00	7.17	7.17
04/12/2018	#00032374436	RENTSCHLER,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	6.19	95.0	0.00	5.88	5.88
04/12/2018	#00032375891	SPENNY, DAVID	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.84	95.0	0.00	1.75	1.75
04/12/2018	#00032377142	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.44	95.0	0.00	1.37	1.37
04/12/2018	#00032377143	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.92	95.0	0.00	0.87	0.87
04/12/2018	#00032381218	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.68	95.0	0.00	2.55	2.55
04/12/2018	#00032381219	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.65	95.0	0.00	2.52	2.52
04/12/2018	#00032381393	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	5.04	95.0	0.00	4.79	4.79
04/12/2018	#00032384266	SKORCZEWSKI,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	6.62	95.0	0.00	6.29	6.29
04/12/2018	#00032385108	PETERSON,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.61	95.0	0.00	3.43	3.43
04/12/2018	#00032386705	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	19.04	95.0	0.00	18.09	18.09
04/12/2018	#00032387551	ROBERTSON,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	6.06	95.0	0.00	5.76	5.76
04/12/2018	#00032388137	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	12.62	95.0	0.00	11.99	11.99
04/12/2018	#00032390050	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	41.20	95.0	0.00	39.14	39.14
04/12/2018	#00032392205	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	7.54	95.0	0.00	7.16	7.16
04/12/2018	#00032396121	COOPER TRACY,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.97	95.0	0.00	4.72	4.72
04/12/2018	#00032397882	REEKERS, DONNA	IVY FDS INC SCIENCE & TECH FD CL A	0.00	5.40	95.0	0.00	5.13	5.13

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00032398033 ALLEN, KENNETH	IVY FDS INC SCIENCE & TECH FD CL A	0.00	8.08	95.0	0.00	7.68	0.00	7.68
04/12/2018	#00032398536 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	7.12	95.0	0.00	6.76	0.00	6.76
04/12/2018	#00032399652 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.03	95.0	0.00	3.83	0.00	3.83
04/12/2018	#00032400094 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	28.01	95.0	0.00	26.61	0.00	26.61
04/12/2018	#00032401125 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.87	95.0	0.00	1.78	0.00	1.78
04/12/2018	#00032401984 ANN D HAVILAND,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.52	95.0	0.00	4.29	0.00	4.29
04/12/2018	#00032405010 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.32	95.0	0.00	1.25	0.00	1.25
04/12/2018	#00032405628 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.75	95.0	0.00	0.71	0.00	0.71
04/12/2018	#00032408419 DEMPSEY, STEVEN	IVY FDS INC SCIENCE & TECH FD CL A	0.00	12.97	95.0	0.00	12.32	0.00	12.32
04/12/2018	#00032408864 DEMPSEY, DARLA	IVY FDS INC SCIENCE & TECH FD CL A	0.00	8.17	95.0	0.00	7.76	0.00	7.76
04/12/2018	#00032409103 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	9.96	95.0	0.00	9.46	0.00	9.46
04/12/2018	#00032410232 CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	5.18	95.0	0.00	4.92	0.00	4.92
04/12/2018	#00032410239 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	10.19	95.0	0.00	9.68	0.00	9.68
04/12/2018	#00032410240 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	11.12	95.0	0.00	10.56	0.00	10.56
04/12/2018	#00032411317 ANDREWS,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.92	95.0	0.00	4.67	0.00	4.67
04/12/2018	#00032418293 SMOOK, MARY	IVY FDS INC SCIENCE & TECH FD CL A	0.00	5.33	95.0	0.00	5.06	0.00	5.06
04/12/2018	#00032418731 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	16.08	95.0	0.00	15.28	0.00	15.28
04/12/2018	#00032418732 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	7.69	95.0	0.00	7.31	0.00	7.31
04/12/2018	#00032423005 SMOOK, CURTIS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	8.49	95.0	0.00	8.07	0.00	8.07
04/12/2018	#00032428411 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	43.49	95.0	0.00	41.32	0.00	41.32
04/12/2018	#00032435738 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	28.78	95.0	0.00	27.34	0.00	27.34
04/12/2018	#00032436812 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.43	95.0	0.00	3.26	0.00	3.26
04/12/2018	#00035202865 GLOVER, JESSICA	IVY FDS INC SCIENCE & TECH FD CL A	0.00	24.54	95.0	0.00	23.31	0.00	23.31
04/12/2018	#00035335795 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	21.23	95.0	0.00	20.17	0.00	20.17
04/12/2018	#00035346023 ANN D HAVILAND,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.31	95.0	0.00	1.24	0.00	1.24
04/12/2018	#00035376545 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	21.97	95.0	0.00	20.87	0.00	20.87
04/12/2018	#00035376555 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	20.66	95.0	0.00	19.63	0.00	19.63
04/12/2018	#00035376560 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	13.34	95.0	0.00	12.67	0.00	12.67
04/12/2018	#00035377025 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	23.44	95.0	0.00	22.27	0.00	22.27
04/12/2018	#00035378852 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	9.48	95.0	0.00	9.01	0.00	9.01
04/12/2018	#00035381389 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.61	95.0	0.00	3.43	0.00	3.43
04/12/2018	#00035383226 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.69	95.0	0.00	0.66	0.00	0.66
04/12/2018	#00035402325 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.05	95.0	0.00	1.00	0.00	1.00
04/12/2018	#00035409359 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.59	95.0	0.00	0.56	0.00	0.56
04/12/2018	#00035419793 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	8.35	95.0	0.00	7.93	0.00	7.93
04/12/2018	#00035419838 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	8.13	95.0	0.00	7.72	0.00	7.72

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035436381	GLOVER, KEITH	IVY FDS INC SCIENCE & TECH FD CL A	0.00	28.72	95.0	0.00	27.28	27.28
04/12/2018	#00035461171	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	25.01	95.0	0.00	23.76	23.76
04/12/2018	#00035461175	JOHNSON, DONALD	IVY FDS INC SCIENCE & TECH FD CL A	0.00	19.19	95.0	0.00	18.23	18.23
04/12/2018	#00035478180	COOPER TRACY,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	10.47	95.0	0.00	9.95	9.95
04/12/2018	#00035491952	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	11.24	95.0	0.00	10.68	10.68
04/12/2018	#00035492585	EMERSON, SHAWN	IVY FDS INC SCIENCE & TECH FD CL A	0.00	12.08	95.0	0.00	11.48	11.48
04/12/2018	#00035492588	EMERSON, BECKY	IVY FDS INC SCIENCE & TECH FD CL A	0.00	17.79	95.0	0.00	16.90	16.90
04/12/2018	#00035494498	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	25.70	95.0	0.00	24.42	24.42
04/12/2018	#00035495624	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.62	95.0	0.00	3.44	3.44
04/12/2018	#00035500866	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	5.35	95.0	0.00	5.08	5.08
04/12/2018	#00035500870	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	5.39	95.0	0.00	5.12	5.12
04/12/2018	#00035502928	ANDREWS, SARA	IVY FDS INC SCIENCE & TECH FD CL A	0.00	12.79	95.0	0.00	12.15	12.15
04/12/2018	#00035505447	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	84.74	95.0	0.00	80.50	80.50
04/12/2018	#00035511829	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.09	95.0	0.00	1.99	1.99
04/12/2018	#00035521755	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	6.84	95.0	0.00	6.50	6.50
04/12/2018	#00035522407	HARSH, RAYMOND	IVY FDS INC SCIENCE & TECH FD CL A	0.00	10.12	95.0	0.00	9.61	9.61
04/12/2018	#00035522691	GRAVES, HELEN	IVY FDS INC SCIENCE & TECH FD CL A	0.00	17.73	95.0	0.00	16.84	16.84
04/12/2018	#00035529678	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	10.94	95.0	0.00	10.39	10.39
04/12/2018	#00035546501	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	7.28	95.0	0.00	6.92	6.92
04/12/2018	#00035564881	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	14.34	95.0	0.00	13.62	13.62
04/12/2018	#00035565309	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	8.47	95.0	0.00	8.05	8.05
04/12/2018	#00035569396	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.93	95.0	0.00	1.83	1.83
04/12/2018	#00035571940	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	12.83	95.0	0.00	12.19	12.19
04/12/2018	#00035572013	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	6.57	95.0	0.00	6.24	6.24
04/12/2018	#00035572064	KEMP, DANIEL	IVY FDS INC SCIENCE & TECH FD CL A	0.00	117.31	95.0	0.00	111.44	111.44
04/12/2018	#00035572237	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.92	95.0	0.00	1.82	1.82
04/12/2018	#00035572251	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	9.25	95.0	0.00	8.79	8.79
04/12/2018	#00035572728	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	13.47	95.0	0.00	12.80	12.80
04/12/2018	#00035572734	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	7.72	95.0	0.00	7.33	7.33
04/12/2018	#00035578513	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.76	95.0	0.00	3.57	3.57
04/12/2018	#00035578623	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	5.32	95.0	0.00	5.05	5.05
04/12/2018	#00035578629	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.86	95.0	0.00	4.62	4.62
04/12/2018	#00035580054	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.81	95.0	0.00	0.77	0.77
04/12/2018	#00035584889	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.57	95.0	0.00	2.44	2.44
04/12/2018	#00035589263	JOHNSON, DONALD	IVY FDS INC SCIENCE & TECH FD CL A	0.00	69.73	95.0	0.00	66.24	66.24
04/12/2018	#00035593601	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.53	95.0	0.00	2.40	2.40

COMMISSION STATEMENT for RON RENSBERGER

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035593604	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.45	95.0	0.00	4.23	4.23
04/12/2018	#00035601977	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	30.90	95.0	0.00	29.36	29.36
04/12/2018	#00035606261	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	6.94	95.0	0.00	6.59	6.59
04/12/2018	#00035614936	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.53	95.0	0.00	2.40	2.40
04/12/2018	#00035619764	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	11.18	95.0	0.00	10.62	10.62
04/12/2018	#00035631124	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.35	95.0	0.00	1.28	1.28
04/12/2018	#00035631138	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.48	95.0	0.00	1.41	1.41
04/12/2018	#00035631141	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.97	95.0	0.00	0.92	0.92
04/12/2018	#00035631725	WEBER, JESSICA	IVY FDS INC SCIENCE & TECH FD CL A	0.00	10.41	95.0	0.00	9.89	9.89
04/12/2018	#00035633441	KOCOUREK,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.50	95.0	0.00	3.33	3.33
04/12/2018	#00035637930	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	6.48	95.0	0.00	6.16	6.16
04/12/2018	#00035637933	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.36	95.0	0.00	1.29	1.29
04/12/2018	#00035644796	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	13.43	95.0	0.00	12.76	12.76
04/12/2018	#00035646466	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.92	95.0	0.00	0.87	0.87
04/12/2018	#00035649857	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	15.79	95.0	0.00	15.00	15.00
04/12/2018	#00035709381	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.68	95.0	0.00	2.55	2.55
04/12/2018	#00035740156	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	12.54	95.0	0.00	11.91	11.91
04/12/2018	#00035742403	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	19.02	95.0	0.00	18.07	18.07
04/12/2018	#00035746115	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	6.22	95.0	0.00	5.91	5.91
04/12/2018	#00035746667	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.77	95.0	0.00	0.73	0.73
04/12/2018	#00035747495	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	14.73	95.0	0.00	13.99	13.99
04/12/2018	#00035762704	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	6.72	95.0	0.00	6.38	6.38
04/12/2018	#00035790917	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.78	95.0	0.00	0.74	0.74
04/12/2018	#00035799004	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	23.32	95.0	0.00	22.15	22.15
04/12/2018	#00035812238	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.61	95.0	0.00	0.58	0.58
04/12/2018	#00035812240	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	14.34	95.0	0.00	13.62	13.62
04/12/2018	#00035812241	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.22	95.0	0.00	0.21	0.21
04/12/2018	#00035812257	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	6.15	95.0	0.00	5.84	5.84
04/12/2018	#00035812274	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.80	95.0	0.00	0.76	0.76
04/12/2018	#00035823816	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.69	95.0	0.00	4.46	4.46
04/12/2018	#00035825644	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	6.72	95.0	0.00	6.38	6.38
04/12/2018	#00035826948	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	5.55	95.0	0.00	5.27	5.27
04/12/2018	#00035830897	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	9.85	95.0	0.00	9.36	9.36
04/12/2018	#00035836837	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.68	95.0	0.00	1.60	1.60
04/12/2018	#00035864350	HALL, JASON	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.35	95.0	0.00	3.18	3.18
04/12/2018	#00035888536	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.92	95.0	0.00	1.82	1.82

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035893702	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.78	95.0	0.00	3.59	3.59
04/12/2018	#00035895678	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	104.87	95.0	0.00	99.63	99.63
04/12/2018	#00035899205	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.53	95.0	0.00	2.40	2.40
04/12/2018	#00035920047	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	7.45	95.0	0.00	7.08	7.08
04/12/2018	#00035943714	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.86	95.0	0.00	0.82	0.82
04/12/2018	#00035959118	(TOD), ARTHY	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.22	95.0	0.00	4.01	4.01
04/12/2018	#00035964475	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	9.01	95.0	0.00	8.56	8.56
04/12/2018	#00035998467	GORHAM,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	20.72	95.0	0.00	19.68	19.68
04/12/2018	#00036009200	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	24.90	95.0	0.00	23.66	23.66
04/12/2018	#00036016083	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	8.94	95.0	0.00	8.49	8.49
04/12/2018	#00036022546	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.15	95.0	0.00	2.04	2.04
04/12/2018	#00036022549	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.24	95.0	0.00	4.03	4.03
04/12/2018	#00036026483	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.01	95.0	0.00	2.86	2.86
04/12/2018	#00036027743	TRAUT, TODD	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.68	95.0	0.00	1.60	1.60
04/12/2018	#00036067833	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	22.16	95.0	0.00	21.05	21.05
04/12/2018	#00036074615	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.21	95.0	0.00	1.15	1.15
04/12/2018	#00036074619	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.00	95.0	0.00	0.95	0.95
04/12/2018	#00036075428	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.79	95.0	0.00	1.70	1.70
04/12/2018	#00036075904	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	10.80	95.0	0.00	10.26	10.26
04/12/2018	#00036077188	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.01	95.0	0.00	2.86	2.86
04/12/2018	#00036078347	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	9.18	95.0	0.00	8.72	8.72
04/12/2018	#00036087285	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.17	95.0	0.00	1.11	1.11
04/12/2018	#00036087317	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.11	95.0	0.00	2.00	2.00
04/12/2018	#00036091641	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.13	95.0	0.00	0.12	0.12
04/12/2018	#00036093484	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.15	95.0	0.00	2.04	2.04
04/12/2018	#00036098588	LEVEDCRYS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.42	95.0	0.00	2.30	2.30
04/12/2018	#00036100908	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	33.81	95.0	0.00	32.12	32.12
04/12/2018	#00036100946	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	13.77	95.0	0.00	13.08	13.08
04/12/2018	#00036102027	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.80	95.0	0.00	3.61	3.61
04/12/2018	#00036102550	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.36	95.0	0.00	4.14	4.14
04/12/2018	#00036105310	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.89	95.0	0.00	1.80	1.80
04/12/2018	#00036110221	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	8.01	95.0	0.00	7.61	7.61
04/12/2018	#00036130197	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.25	95.0	0.00	1.19	1.19
04/12/2018	#00036136229	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	34.27	95.0	0.00	32.56	32.56
04/12/2018	#00036138925	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	6.75	95.0	0.00	6.41	6.41
04/12/2018	#00036139635	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	8.93	95.0	0.00	8.48	8.48

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00036140579	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.04	95.0	0.00	1.94	1.94
04/12/2018	#00036140585	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.84	95.0	0.00	0.80	0.80
04/12/2018	#00036140592	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.05	95.0	0.00	1.95	1.95
04/12/2018	#00036143265	HOGUETRACTL	IVY FDS INC SCIENCE & TECH FD CL A	0.00	5.46	95.0	0.00	5.19	5.19
04/12/2018	#00036143432	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.94	95.0	0.00	4.69	4.69
04/12/2018	#00036143435	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.66	95.0	0.00	1.58	1.58
04/12/2018	#00036143438	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.23	95.0	0.00	1.17	1.17
04/12/2018	#00036144075	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.65	95.0	0.00	0.62	0.62
04/12/2018	#00036149117	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.68	95.0	0.00	4.45	4.45
04/12/2018	#00036149954	SPENNIDA, L	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.91	95.0	0.00	0.86	0.86
04/12/2018	#00036149956	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.62	95.0	0.00	2.49	2.49
04/12/2018	#00036149962	SPENNY, DAVID	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.18	95.0	0.00	3.02	3.02
04/12/2018	#00036150657	JOHNSON, JOSEPH	IVY FDS INC SCIENCE & TECH FD CL A	0.00	10.15	95.0	0.00	9.64	9.64
04/12/2018	#00036150662	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.64	95.0	0.00	1.56	1.56
04/12/2018	#00036150731	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.06	95.0	0.00	1.01	1.01
04/12/2018	#00036150735	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.06	95.0	0.00	1.01	1.01
04/12/2018	#00036155645	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.99	95.0	0.00	0.94	0.94
04/12/2018	#00036163019	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	13.57	95.0	0.00	12.89	12.89
04/12/2018	#00036163036	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	21.00	95.0	0.00	19.95	19.95
04/12/2018	#00036171938	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.65	95.0	0.00	1.57	1.57
04/12/2018	#00036175845	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	50.24	95.0	0.00	47.73	47.73
04/12/2018	#00036185398	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.63	95.0	0.00	0.60	0.60
04/12/2018	#00036185416	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.74	95.0	0.00	0.70	0.70
04/12/2018	#00036187511	BEANEDALEF	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.95	95.0	0.00	4.70	4.70
04/12/2018	#00036191000	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	9.32	95.0	0.00	8.85	8.85
04/12/2018	#00036191199	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	6.88	95.0	0.00	6.54	6.54
04/12/2018	#00036192778	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.21	95.0	0.00	2.10	2.10
04/12/2018	#00036193565	EVANS, LARRL	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.62	95.0	0.00	4.39	4.39
04/12/2018	#00036196256	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.05	95.0	0.00	2.90	2.90
04/12/2018	#00036197304	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.81	95.0	0.00	0.77	0.77
04/12/2018	#00036200166	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.27	95.0	0.00	1.21	1.21
04/12/2018	#00036200421	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	73.43	95.0	0.00	69.76	69.76
04/12/2018	#00036200467	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.63	95.0	0.00	0.60	0.60
04/12/2018	#00036204717	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.61	95.0	0.00	0.58	0.58
04/12/2018	#00036208319	TAYLOR, NICK	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.56	95.0	0.00	2.43	2.43
04/12/2018	#00036208332	TAYLOCALI	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.56	95.0	0.00	2.43	2.43

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00036209650	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.67	95.0	0.00	0.64	0.64
04/12/2018	#00036209658	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.62	95.0	0.00	0.59	0.59
04/12/2018	#00036210514	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.48	95.0	0.00	0.46	0.46
04/12/2018	#00036247691	OKUWEZAKA	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.67	95.0	0.00	2.54	2.54
04/12/2018	#00036253281	REEKERS, DONNA	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.31	95.0	0.00	1.24	1.24
04/12/2018	#00036254373	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.42	95.0	0.00	2.30	2.30
04/12/2018	#00036256712	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.95	95.0	0.00	0.90	0.90
04/12/2018	#00036259618	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.18	95.0	0.00	1.12	1.12
04/12/2018	#00036264275	WIPFCAROK	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.29	95.0	0.00	3.13	3.13
04/12/2018	#00036265282	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.46	95.0	0.00	3.29	3.29
04/12/2018	#00036265357	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.86	95.0	0.00	2.72	2.72
04/12/2018	#00036265974	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.04	95.0	0.00	1.94	1.94
04/12/2018	#00036266208	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.71	95.0	0.00	2.57	2.57
04/12/2018	#00036271912	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.89	95.0	0.00	0.85	0.85
04/12/2018	#00036272612	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	16.45	95.0	0.00	15.63	15.63
04/12/2018	#00036276384	HOITEN, LAURA	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.95	95.0	0.00	0.90	0.90
04/12/2018	#00036284255	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.66	95.0	0.00	0.63	0.63
04/12/2018	#00036285574	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.18	95.0	0.00	3.02	3.02
04/12/2018	#00036292781	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.21	95.0	0.00	1.15	1.15
04/12/2018	#00036293375	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.79	95.0	0.00	0.75	0.75
04/12/2018	#00036302624	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	17.58	95.0	0.00	16.70	16.70
04/12/2018	#00036306920	BRYAN, CLAIN	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.70	95.0	0.00	1.62	1.62
04/12/2018	#00036319206	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.95	95.0	0.00	2.80	2.80
04/12/2018	#00036325146	TELLI, TERRL	IVY FDS INC SCIENCE & TECH FD CL A	0.00	36.56	95.0	0.00	34.73	34.73
04/12/2018	#00036325628	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	34.59	95.0	0.00	32.86	32.86
04/12/2018	#00036326947	EMERSOLIV	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.98	95.0	0.00	0.93	0.93
04/12/2018	#00036328297	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.89	95.0	0.00	0.85	0.85
04/12/2018	#00036331945	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.76	95.0	0.00	0.72	0.72
04/12/2018	#00036343490	O'BRIEN,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.33	95.0	0.00	0.31	0.31
04/12/2018	#00036351929	(TOD), COREY	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.16	95.0	0.00	1.10	1.10
04/12/2018	#00032385108	PETERSON,	IVY GLOBAL BOND A	0.00	0.46	95.0	0.00	0.44	0.44
04/12/2018	#00032401984	ANN D HAVILAND,	IVY GLOBAL BOND A	0.00	2.71	95.0	0.00	2.57	2.57
04/12/2018	#00032415136	VARIOUS	IVY GLOBAL BOND A	0.00	0.59	95.0	0.00	0.56	0.56
04/12/2018	#00035376555	VARIOUS	IVY GLOBAL BOND A	0.00	2.10	95.0	0.00	2.00	2.00
04/12/2018	#00035478180	COOPER TRACY,	IVY GLOBAL BOND A	0.00	11.58	95.0	0.00	11.00	11.00
04/12/2018	#00035534884	VARIOUS	IVY GLOBAL BOND A	0.00	0.70	95.0	0.00	0.67	0.67

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035580054	VARIOUS	IVY GLOBAL BOND A	0.00	0.77	95.0	0.00	0.73	0.73
04/12/2018	#00035826948	VARIOUS	IVY GLOBAL BOND A	0.00	2.21	95.0	0.00	2.10	2.10
04/12/2018	#00035959118	(TOD), ARTHY	IVY GLOBAL BOND A	0.00	1.36	95.0	0.00	1.29	1.29
04/12/2018	#00032370316	CLINTON, PAUL	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.51	95.0	0.00	2.38	2.38
04/12/2018	#00032372557	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.70	95.0	0.00	3.52	3.52
04/12/2018	#00032375891	SPENNY, DAVID	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.44	95.0	0.00	0.42	0.42
04/12/2018	#00032386705	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	4.59	95.0	0.00	4.36	4.36
04/12/2018	#00032387551	ROBERTSON,	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.30	95.0	0.00	2.19	2.19
04/12/2018	#00032390050	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	8.14	95.0	0.00	7.73	7.73
04/12/2018	#00032392205	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.25	95.0	0.00	1.19	1.19
04/12/2018	#00032397882	REEKERS, DONNA	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.94	95.0	0.00	0.89	0.89
04/12/2018	#00032398033	ALLEN, KENNETH	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.89	95.0	0.00	1.80	1.80
04/12/2018	#00032398536	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.24	95.0	0.00	1.18	1.18
04/12/2018	#00032399652	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.54	95.0	0.00	0.51	0.51
04/12/2018	#00032400094	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.84	95.0	0.00	3.65	3.65
04/12/2018	#00032401125	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.20	95.0	0.00	1.14	1.14
04/12/2018	#00032401984	ANN D HAVILAND,	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.73	95.0	0.00	3.54	3.54
04/12/2018	#00032408419	DEMPSEY, STEVEN	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.50	95.0	0.00	0.48	0.48
04/12/2018	#00032408864	DEMPSEY, DARLA	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.52	95.0	0.00	0.49	0.49
04/12/2018	#00032411317	ANDREWS,	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.56	95.0	0.00	0.53	0.53
04/12/2018	#00032418293	SMOOK, MARY	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.02	95.0	0.00	1.92	1.92
04/12/2018	#00032418731	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.82	95.0	0.00	0.78	0.78
04/12/2018	#00032418732	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.27	95.0	0.00	1.21	1.21
04/12/2018	#00032423005	SMOOK, CURTIS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.09	95.0	0.00	2.94	2.94
04/12/2018	#00032428411	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	9.15	95.0	0.00	8.69	8.69
04/12/2018	#00032435738	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	5.13	95.0	0.00	4.87	4.87
04/12/2018	#00035335795	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.61	95.0	0.00	3.43	3.43
04/12/2018	#00035346023	ANN D HAVILAND,	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.32	95.0	0.00	1.25	1.25
04/12/2018	#00035376545	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.38	95.0	0.00	1.31	1.31
04/12/2018	#00035376555	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	5.68	95.0	0.00	5.40	5.40
04/12/2018	#00035376560	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	8.79	95.0	0.00	8.35	8.35
04/12/2018	#00035377025	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.99	95.0	0.00	3.79	3.79
04/12/2018	#00035378852	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.20	95.0	0.00	3.04	3.04
04/12/2018	#00035381389	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.70	95.0	0.00	0.67	0.67
04/12/2018	#00035383226	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.46	95.0	0.00	0.44	0.44
04/12/2018	#00035419793	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.44	95.0	0.00	1.37	1.37



COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035419838	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.94	95.0	0.00	0.89	0.89
04/12/2018	#00035461171	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	5.86	95.0	0.00	5.57	5.57
04/12/2018	#00035478180	COOPER TRACY,	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	5.24	95.0	0.00	4.98	4.98
04/12/2018	#00035491952	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.88	95.0	0.00	1.79	1.79
04/12/2018	#00035492585	EMERSON, SHAWN	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	5.73	95.0	0.00	5.44	5.44
04/12/2018	#00035492588	EMERSON, BECKY	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	8.73	95.0	0.00	8.29	8.29
04/12/2018	#00035494498	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.31	95.0	0.00	3.14	3.14
04/12/2018	#00035495624	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.36	95.0	0.00	3.19	3.19
04/12/2018	#00035500866	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.38	95.0	0.00	1.31	1.31
04/12/2018	#00035500870	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.39	95.0	0.00	1.32	1.32
04/12/2018	#00035521755	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.48	95.0	0.00	0.46	0.46
04/12/2018	#00035522691	GRAVES, HELEN	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.47	95.0	0.00	1.40	1.40
04/12/2018	#00035529678	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.45	95.0	0.00	1.38	1.38
04/12/2018	#00035564881	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.94	95.0	0.00	1.84	1.84
04/12/2018	#00035572771	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.67	95.0	0.00	0.64	0.64
04/12/2018	#00035578513	CHRISTOPHER,	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	6.45	95.0	0.00	6.13	6.13
04/12/2018	#00035589263	JOHNSON, DONALD	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	8.38	95.0	0.00	7.96	7.96
04/12/2018	#00035601977	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.97	95.0	0.00	1.87	1.87
04/12/2018	#00035606261	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.25	95.0	0.00	1.19	1.19
04/12/2018	#00035614936	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.55	95.0	0.00	0.52	0.52
04/12/2018	#00035619764	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	4.81	95.0	0.00	4.57	4.57
04/12/2018	#00035631141	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.54	95.0	0.00	0.51	0.51
04/12/2018	#00035633441	KOCOUREK,	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.62	95.0	0.00	0.59	0.59
04/12/2018	#00035637930	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.41	95.0	0.00	1.34	1.34
04/12/2018	#00035637933	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.67	95.0	0.00	0.64	0.64
04/12/2018	#00035644796	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.55	95.0	0.00	2.42	2.42
04/12/2018	#00035649857	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.79	95.0	0.00	3.60	3.60
04/12/2018	#00035683176	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.09	95.0	0.00	1.04	1.04
04/12/2018	#00035709381	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.86	95.0	0.00	0.82	0.82
04/12/2018	#00035740156	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.43	95.0	0.00	3.26	3.26
04/12/2018	#00035742403	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	4.62	95.0	0.00	4.39	4.39
04/12/2018	#00035746115	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	5.08	95.0	0.00	4.83	4.83
04/12/2018	#00035746667	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.53	95.0	0.00	0.50	0.50
04/12/2018	#00035747495	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.56	95.0	0.00	3.38	3.38
04/12/2018	#00035762704	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.75	95.0	0.00	2.61	2.61
04/12/2018	#00035799004	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	4.23	95.0	0.00	4.02	4.02

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035812240	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	9.04	95.0	0.00	8.59	8.59
04/12/2018	#00035830897	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.32	95.0	0.00	1.25	1.25
04/12/2018	#00035836837	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.46	95.0	0.00	0.44	0.44
04/12/2018	#00035864350	HALL, JASON	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.30	95.0	0.00	1.24	1.24
04/12/2018	#00035888536	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.90	95.0	0.00	0.86	0.86
04/12/2018	#00035895678	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	20.49	95.0	0.00	19.47	19.47
04/12/2018	#00035920047	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.67	95.0	0.00	2.54	2.54
04/12/2018	#00035959118	(TOD), ARTHY	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.95	95.0	0.00	0.90	0.90
04/12/2018	#00035998467	GORHAM,	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	6.25	95.0	0.00	5.94	5.94
04/12/2018	#00036009200	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.60	95.0	0.00	2.47	2.47
04/12/2018	#00036067833	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	7.19	95.0	0.00	6.83	6.83
04/12/2018	#00036075428	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.99	95.0	0.00	0.94	0.94
04/12/2018	#00036075904	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.67	95.0	0.00	3.49	3.49
04/12/2018	#00036077188	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.41	95.0	0.00	2.29	2.29
04/12/2018	#00036077197	LYKKEN, ARLO	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.11	95.0	0.00	1.05	1.05
04/12/2018	#00036078347	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	4.27	95.0	0.00	4.06	4.06
04/12/2018	#00036087317	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.88	95.0	0.00	0.84	0.84
04/12/2018	#00036100908	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	5.02	95.0	0.00	4.77	4.77
04/12/2018	#00036100946	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.38	95.0	0.00	1.31	1.31
04/12/2018	#00036102027	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.79	95.0	0.00	0.75	0.75
04/12/2018	#00036102550	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.77	95.0	0.00	0.73	0.73
04/12/2018	#00036136229	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	12.49	95.0	0.00	11.87	11.87
04/12/2018	#00036138925	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	4.00	95.0	0.00	3.80	3.80
04/12/2018	#00036139635	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	8.37	95.0	0.00	7.95	7.95
04/12/2018	#00036140579	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.68	95.0	0.00	0.65	0.65
04/12/2018	#00036140585	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.53	95.0	0.00	0.50	0.50
04/12/2018	#00036140592	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.12	95.0	0.00	1.06	1.06
04/12/2018	#00036143432	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.83	95.0	0.00	1.74	1.74
04/12/2018	#00036143435	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.75	95.0	0.00	0.71	0.71
04/12/2018	#00036143438	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.89	95.0	0.00	0.85	0.85
04/12/2018	#00036144075	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.53	95.0	0.00	0.50	0.50
04/12/2018	#00036144736	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.49	95.0	0.00	0.47	0.47
04/12/2018	#00036144737	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.49	95.0	0.00	0.47	0.47
04/12/2018	#00036149954	SPENNIDA, L	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.71	95.0	0.00	0.67	0.67
04/12/2018	#00036150657	JOHNSON, JOSEPH	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.49	95.0	0.00	2.37	2.37
04/12/2018	#00036150731	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.47	95.0	0.00	0.45	0.45

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00036150735	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.47	95.0	0.00	0.45	0.45
04/12/2018	#00036163036	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	6.20	95.0	0.00	5.89	5.89
04/12/2018	#00036171938	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.66	95.0	0.00	0.63	0.63
04/12/2018	#00036175845	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	5.05	95.0	0.00	4.80	4.80
04/12/2018	#00036185398	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.52	95.0	0.00	0.49	0.49
04/12/2018	#00036185416	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.51	95.0	0.00	0.48	0.48
04/12/2018	#00036187511	BEANEDALEF	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.59	95.0	0.00	1.51	1.51
04/12/2018	#00036191000	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	6.52	95.0	0.00	6.19	6.19
04/12/2018	#00036191199	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	5.52	95.0	0.00	5.24	5.24
04/12/2018	#00036196256	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.47	95.0	0.00	1.40	1.40
04/12/2018	#00036200421	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.52	95.0	0.00	0.49	0.49
04/12/2018	#00036200467	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.52	95.0	0.00	0.49	0.49
04/12/2018	#00036204717	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.66	95.0	0.00	0.63	0.63
04/12/2018	#00036208319	TAYLOR, NICK	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.80	95.0	0.00	1.71	1.71
04/12/2018	#00036208332	TAYLOCALI	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.00	95.0	0.00	1.90	1.90
04/12/2018	#00036209658	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.46	95.0	0.00	0.44	0.44
04/12/2018	#00036259618	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.91	95.0	0.00	0.86	0.86
04/12/2018	#00036302624	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	12.80	95.0	0.00	12.16	12.16
04/12/2018	#00036325146	TELLI, TERRL	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	9.69	95.0	0.00	9.21	9.21
04/12/2018	#00036325628	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	10.70	95.0	0.00	10.17	10.17
04/12/2018	#00036328297	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.43	95.0	0.00	0.41	0.41
04/12/2018	#00036331945	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.77	95.0	0.00	0.73	0.73
04/12/2018	#00035202865	GLOVER, JESSICA	IVY HIGH INCOME B	0.00	0.14	95.0	0.00	0.13	0.13
04/12/2018	#00035436381	GLOVER, KEITH	IVY HIGH INCOME B	0.00	0.07	95.0	0.00	0.07	0.07
04/12/2018	#00036149737	VARIOUS	IVY HIGH INCOME C	0.00	2.45	95.0	0.00	2.33	2.33
04/12/2018	#00032381393	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	2.94	95.0	0.00	2.79	2.79
04/12/2018	#00032387551	ROBERTSON,	IVY INTERNATIONAL CORE EQUITY A	0.00	1.49	95.0	0.00	1.42	1.42
04/12/2018	#00032396121	COOPER TRACY,	IVY INTERNATIONAL CORE EQUITY A	0.00	1.99	95.0	0.00	1.89	1.89
04/12/2018	#00032399652	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.20	95.0	0.00	1.14	1.14
04/12/2018	#00032400094	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	5.10	95.0	0.00	4.85	4.85
04/12/2018	#00032418731	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	7.71	95.0	0.00	7.32	7.32
04/12/2018	#00032418732	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.18	95.0	0.00	1.12	1.12
04/12/2018	#00035376555	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	4.54	95.0	0.00	4.31	4.31
04/12/2018	#00035474804	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.21	95.0	0.00	1.15	1.15
04/12/2018	#00035491952	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.10	95.0	0.00	1.05	1.05
04/12/2018	#00035494498	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.56	95.0	0.00	1.48	1.48

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035522691	GRAVES, HELEN	IVY INTERNATIONAL CORE EQUITY A	0.00	3.31	95.0	0.00	3.14	3.14
04/12/2018	#00035746115	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	0.87	95.0	0.00	0.83	0.83
04/12/2018	#00035888536	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.17	95.0	0.00	1.11	1.11
04/12/2018	#00036009200	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	4.48	95.0	0.00	4.26	4.26
04/12/2018	#00036016083	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	9.17	95.0	0.00	8.71	8.71
04/12/2018	#00036175845	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	8.04	95.0	0.00	7.64	7.64
04/12/2018	#00036200421	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	32.01	95.0	0.00	30.41	30.41
04/12/2018	#00036254373	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.61	95.0	0.00	1.53	1.53
04/12/2018	#00036256712	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	0.82	95.0	0.00	0.78	0.78
04/12/2018	#00036264275	WIPFCAROK	IVY INTERNATIONAL CORE EQUITY A	0.00	1.48	95.0	0.00	1.41	1.41
04/12/2018	#00036265357	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.39	95.0	0.00	1.32	1.32
04/12/2018	#00036266208	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.36	95.0	0.00	1.29	1.29
04/12/2018	#00036271912	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	0.79	95.0	0.00	0.75	0.75
04/12/2018	#00036272612	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.64	95.0	0.00	1.56	1.56
04/12/2018	#00036285574	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	2.78	95.0	0.00	2.64	2.64
04/12/2018	#00036319206	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	2.57	95.0	0.00	2.44	2.44
04/12/2018	#00036325146	TELLI, TERRL	IVY INTERNATIONAL CORE EQUITY A	0.00	18.30	95.0	0.00	17.39	17.39
04/12/2018	#00036325628	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	10.57	95.0	0.00	10.04	10.04
04/12/2018	#00036328297	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	0.40	95.0	0.00	0.38	0.38
04/12/2018	#00036331945	VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	0.72	95.0	0.00	0.68	0.68
04/12/2018	#00032372557	VARIOUS	IVY LIMITED-TERM BOND A	0.00	5.21	95.0	0.00	4.95	4.95
04/12/2018	#00032390050	VARIOUS	IVY LIMITED-TERM BOND A	0.00	3.82	95.0	0.00	3.63	3.63
04/12/2018	#00032390799	VARIOUS	IVY LIMITED-TERM BOND A	0.00	14.08	95.0	0.00	13.38	13.38
04/12/2018	#00032390800	VARIOUS	IVY LIMITED-TERM BOND A	0.00	2.56	95.0	0.00	2.43	2.43
04/12/2018	#00032390801	VARIOUS	IVY LIMITED-TERM BOND A	0.00	5.49	95.0	0.00	5.22	5.22
04/12/2018	#00032390802	VARIOUS	IVY LIMITED-TERM BOND A	0.00	3.67	95.0	0.00	3.49	3.49
04/12/2018	#00032401984	ANN D HAVILAND,	IVY LIMITED-TERM BOND A	0.00	2.48	95.0	0.00	2.36	2.36
04/12/2018	#00032415135	VARIOUS	IVY LIMITED-TERM BOND A	0.00	1.19	95.0	0.00	1.13	1.13
04/12/2018	#00032415136	VARIOUS	IVY LIMITED-TERM BOND A	0.00	2.17	95.0	0.00	2.06	2.06
04/12/2018	#00032418732	VARIOUS	IVY LIMITED-TERM BOND A	0.00	1.06	95.0	0.00	1.01	1.01
04/12/2018	#00032434345	VARIOUS	IVY LIMITED-TERM BOND A	0.00	0.79	95.0	0.00	0.75	0.75
04/12/2018	#00032434346	VARIOUS	IVY LIMITED-TERM BOND A	0.00	0.79	95.0	0.00	0.75	0.75
04/12/2018	#00035346023	ANN D HAVILAND,	IVY LIMITED-TERM BOND A	0.00	0.13	95.0	0.00	0.12	0.12
04/12/2018	#00035376555	VARIOUS	IVY LIMITED-TERM BOND A	0.00	1.74	95.0	0.00	1.65	1.65
04/12/2018	#00035478180	COOPER TRACY,	IVY LIMITED-TERM BOND A	0.00	11.01	95.0	0.00	10.46	10.46
04/12/2018	#00035534884	VARIOUS	IVY LIMITED-TERM BOND A	0.00	3.66	95.0	0.00	3.48	3.48

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035580054	VARIOUS	IVY LIMITED-TERM BOND A	0.00	1.00	95.0	0.00	0.95	0.95
04/12/2018	#00035589263	JOHNSON, DONALD	IVY LIMITED-TERM BOND A	0.00	0.31	95.0	0.00	0.29	0.29
04/12/2018	#00035590168	VARIOUS	IVY LIMITED-TERM BOND A	0.00	1.53	95.0	0.00	1.45	1.45
04/12/2018	#00035649857	VARIOUS	IVY LIMITED-TERM BOND A	0.00	1.51	95.0	0.00	1.43	1.43
04/12/2018	#00035830897	VARIOUS	IVY LIMITED-TERM BOND A	0.00	2.34	95.0	0.00	2.22	2.22
04/12/2018	#00035895678	VARIOUS	IVY LIMITED-TERM BOND A	0.00	28.78	95.0	0.00	27.34	27.34
04/12/2018	#00035943714	VARIOUS	IVY LIMITED-TERM BOND A	0.00	0.75	95.0	0.00	0.71	0.71
04/12/2018	#00036009200	VARIOUS	IVY LIMITED-TERM BOND A	0.00	2.23	95.0	0.00	2.12	2.12
04/12/2018	#00036325146	TELLI, TERRL	IVY LIMITED-TERM BOND A	0.00	12.64	95.0	0.00	12.01	12.01
04/12/2018	#00036325628	VARIOUS	IVY LIMITED-TERM BOND A	0.00	19.79	95.0	0.00	18.80	18.80
04/12/2018	#00036331945	VARIOUS	IVY LIMITED-TERM BOND A	0.00	0.67	95.0	0.00	0.64	0.64
04/12/2018	#00035202865	GLOVER, JESSICA	IVY MID CAP GROWTH B	0.00	0.47	95.0	0.00	0.45	0.45
04/12/2018	#00035436381	GLOVER, KEITH	IVY MID CAP GROWTH B	0.00	0.27	95.0	0.00	0.26	0.26
04/12/2018	#00032385108	PETERSON,	IVY MID CAP GROWTH FUND CLASS A	0.00	0.62	95.0	0.00	0.59	0.59
04/12/2018	#00032396121	COOPER TRACY,	IVY MID CAP GROWTH FUND CLASS A	0.00	4.43	95.0	0.00	4.21	4.21
04/12/2018	#00032398031	KEMP, DANIEL	IVY MID CAP GROWTH FUND CLASS A	0.00	9.01	95.0	0.00	8.56	8.56
04/12/2018	#00032398032	KEMP, MICHELE	IVY MID CAP GROWTH FUND CLASS A	0.00	7.40	95.0	0.00	7.03	7.03
04/12/2018	#00032400094	VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	13.19	95.0	0.00	12.53	12.53
04/12/2018	#00032401984	ANN D HAVILAND,	IVY MID CAP GROWTH FUND CLASS A	0.00	2.54	95.0	0.00	2.41	2.41
04/12/2018	#00032410240	VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	1.76	95.0	0.00	1.67	1.67
04/12/2018	#00035202865	GLOVER, JESSICA	IVY MID CAP GROWTH FUND CLASS A	0.00	30.44	95.0	0.00	28.92	28.92
04/12/2018	#00035376545	VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	12.82	95.0	0.00	12.18	12.18
04/12/2018	#00035376555	VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	5.09	95.0	0.00	4.84	4.84
04/12/2018	#00035376560	VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	8.88	95.0	0.00	8.44	8.44
04/12/2018	#00035436381	GLOVER, KEITH	IVY MID CAP GROWTH FUND CLASS A	0.00	20.51	95.0	0.00	19.48	19.48
04/12/2018	#00035461171	VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	15.92	95.0	0.00	15.12	15.12
04/12/2018	#00035461175	JOHNSON, DONALD	IVY MID CAP GROWTH FUND CLASS A	0.00	12.29	95.0	0.00	11.68	11.68
04/12/2018	#00035478180	COOPER TRACY,	IVY MID CAP GROWTH FUND CLASS A	0.00	13.11	95.0	0.00	12.45	12.45
04/12/2018	#00035492585	EMERSON, SHAWN	IVY MID CAP GROWTH FUND CLASS A	0.00	6.54	95.0	0.00	6.21	6.21
04/12/2018	#00035500866	VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	2.28	95.0	0.00	2.17	2.17
04/12/2018	#00035500870	VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	2.30	95.0	0.00	2.19	2.19
04/12/2018	#00035564881	VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	1.38	95.0	0.00	1.31	1.31
04/12/2018	#00035569396	VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	1.15	95.0	0.00	1.09	1.09
04/12/2018	#00035572251	VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	0.61	95.0	0.00	0.58	0.58
04/12/2018	#00035572728	VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	5.41	95.0	0.00	5.14	5.14
04/12/2018	#00035572734	VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	7.07	95.0	0.00	6.72	6.72

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035578513 CHRISTOPHER,	IVY MID CAP GROWTH FUND CLASS A	0.00	8.02	95.0	0.00	7.62	0.00	7.62
04/12/2018	#00035584889 VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	6.62	95.0	0.00	6.29	0.00	6.29
04/12/2018	#00035589263 JOHNSON, DONALD	IVY MID CAP GROWTH FUND CLASS A	0.00	3.27	95.0	0.00	3.11	0.00	3.11
04/12/2018	#00035593601 VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	2.48	95.0	0.00	2.36	0.00	2.36
04/12/2018	#00035593604 VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	3.63	95.0	0.00	3.45	0.00	3.45
04/12/2018	#00035614936 VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	1.37	95.0	0.00	1.30	0.00	1.30
04/12/2018	#00035709381 VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	0.12	95.0	0.00	0.11	0.00	0.11
04/12/2018	#00035742403 VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	6.72	95.0	0.00	6.38	0.00	6.38
04/12/2018	#00035790917 VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	0.81	95.0	0.00	0.77	0.00	0.77
04/12/2018	#00035826948 VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	4.58	95.0	0.00	4.35	0.00	4.35
04/12/2018	#00035959118 (TOD), ARTHY	IVY MID CAP GROWTH FUND CLASS A	0.00	3.44	95.0	0.00	3.27	0.00	3.27
04/12/2018	#00036026244 VARIOUS	IVY MUNICIPAL BOND A	0.00	3.37	95.0	0.00	3.20	0.00	3.20
04/12/2018	#00036028948 VARIOUS	IVY MUNICIPAL BOND A	0.00	3.61	95.0	0.00	3.43	0.00	3.43
04/12/2018	#00035825644 VARIOUS	IVY MUNICIPAL HIGH INCOME A	0.00	3.80	95.0	0.00	3.61	0.00	3.61
04/12/2018	#00036102550 VARIOUS	IVY MUNICIPAL HIGH INCOME A	0.00	1.61	95.0	0.00	1.53	0.00	1.53
04/12/2018	#00032367069 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.30	95.0	0.00	1.24	0.00	1.24
04/12/2018	#00032370316 CLINTON, PAUL	IVY PACIFIC OPPORTUNITIES A	0.00	0.86	95.0	0.00	0.82	0.00	0.82
04/12/2018	#00032382061 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	5.11	95.0	0.00	4.85	0.00	4.85
04/12/2018	#00032386705 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	5.96	95.0	0.00	5.66	0.00	5.66
04/12/2018	#00032387551 ROBERTSON,	IVY PACIFIC OPPORTUNITIES A	0.00	1.83	95.0	0.00	1.74	0.00	1.74
04/12/2018	#00032390050 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	6.85	95.0	0.00	6.51	0.00	6.51
04/12/2018	#00032398031 KEMP, DANIEL	IVY PACIFIC OPPORTUNITIES A	0.00	0.82	95.0	0.00	0.78	0.00	0.78
04/12/2018	#00032398032 KEMP, MICHELE	IVY PACIFIC OPPORTUNITIES A	0.00	3.32	95.0	0.00	3.15	0.00	3.15
04/12/2018	#00032398536 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	8.23	95.0	0.00	7.82	0.00	7.82
04/12/2018	#00032401984 ANN D HAVILAND,	IVY PACIFIC OPPORTUNITIES A	0.00	6.72	95.0	0.00	6.38	0.00	6.38
04/12/2018	#00032408419 DEMPSEY, STEVEN	IVY PACIFIC OPPORTUNITIES A	0.00	0.83	95.0	0.00	0.79	0.00	0.79
04/12/2018	#00032408864 DEMPSEY, DARLA	IVY PACIFIC OPPORTUNITIES A	0.00	1.04	95.0	0.00	0.99	0.00	0.99
04/12/2018	#00032409103 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	2.18	95.0	0.00	2.07	0.00	2.07
04/12/2018	#00032418731 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	0.88	95.0	0.00	0.84	0.00	0.84
04/12/2018	#00032428411 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	29.33	95.0	0.00	27.86	0.00	27.86
04/12/2018	#00035335795 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	8.78	95.0	0.00	8.34	0.00	8.34
04/12/2018	#00035376545 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	10.26	95.0	0.00	9.75	0.00	9.75
04/12/2018	#00035376555 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	5.21	95.0	0.00	4.95	0.00	4.95
04/12/2018	#00035376560 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	10.00	95.0	0.00	9.50	0.00	9.50
04/12/2018	#00035377025 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	7.72	95.0	0.00	7.33	0.00	7.33
04/12/2018	#00035461171 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	20.15	95.0	0.00	19.14	0.00	19.14

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035461175	JOHNSON, DONALD	IVY PACIFIC OPPORTUNITIES A	0.00	5.27	95.0	0.00	5.01	5.01
04/12/2018	#00035474804	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.53	95.0	0.00	1.45	1.45
04/12/2018	#00035492585	EMERSON, SHAWN	IVY PACIFIC OPPORTUNITIES A	0.00	3.67	95.0	0.00	3.49	3.49
04/12/2018	#00035492588	EMERSON, BECKY	IVY PACIFIC OPPORTUNITIES A	0.00	1.49	95.0	0.00	1.42	1.42
04/12/2018	#00035494498	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	9.77	95.0	0.00	9.28	9.28
04/12/2018	#00035529678	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	3.34	95.0	0.00	3.17	3.17
04/12/2018	#00035564881	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	4.23	95.0	0.00	4.02	4.02
04/12/2018	#00035572013	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.39	95.0	0.00	1.32	1.32
04/12/2018	#00035589263	JOHNSON, DONALD	IVY PACIFIC OPPORTUNITIES A	0.00	14.16	95.0	0.00	13.45	13.45
04/12/2018	#00035614936	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.45	95.0	0.00	1.38	1.38
04/12/2018	#00035637930	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	2.14	95.0	0.00	2.03	2.03
04/12/2018	#00035637933	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	0.90	95.0	0.00	0.86	0.86
04/12/2018	#00035644796	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	4.77	95.0	0.00	4.53	4.53
04/12/2018	#00035649857	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	6.23	95.0	0.00	5.92	5.92
04/12/2018	#00035709381	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.58	95.0	0.00	1.50	1.50
04/12/2018	#00035742403	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	4.52	95.0	0.00	4.29	4.29
04/12/2018	#00035746115	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	8.62	95.0	0.00	8.19	8.19
04/12/2018	#00035747495	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	2.67	95.0	0.00	2.54	2.54
04/12/2018	#00035762704	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	4.46	95.0	0.00	4.24	4.24
04/12/2018	#00035790917	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	0.81	95.0	0.00	0.77	0.77
04/12/2018	#00035799004	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	3.16	95.0	0.00	3.00	3.00
04/12/2018	#00035826948	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	2.06	95.0	0.00	1.96	1.96
04/12/2018	#00035830897	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.52	95.0	0.00	1.44	1.44
04/12/2018	#00035888536	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.68	95.0	0.00	1.60	1.60
04/12/2018	#00035895678	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	37.76	95.0	0.00	35.87	35.87
04/12/2018	#00035920047	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	4.12	95.0	0.00	3.91	3.91
04/12/2018	#00035952048	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	2.25	95.0	0.00	2.14	2.14
04/12/2018	#00035998467	GORHAM,	IVY PACIFIC OPPORTUNITIES A	0.00	5.45	95.0	0.00	5.18	5.18
04/12/2018	#00036067833	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	20.04	95.0	0.00	19.04	19.04
04/12/2018	#00036075428	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.22	95.0	0.00	1.16	1.16
04/12/2018	#00036075904	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	8.85	95.0	0.00	8.41	8.41
04/12/2018	#00036077188	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.31	95.0	0.00	1.24	1.24
04/12/2018	#00036078347	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	4.45	95.0	0.00	4.23	4.23
04/12/2018	#00036087317	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.13	95.0	0.00	1.07	1.07
04/12/2018	#00036136229	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	21.71	95.0	0.00	20.62	20.62
04/12/2018	#00036138925	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	7.24	95.0	0.00	6.88	6.88

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00036139635	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	2.34	95.0	0.00	2.22	2.22
04/12/2018	#00036140579	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.24	95.0	0.00	1.18	1.18
04/12/2018	#00036140585	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	0.72	95.0	0.00	0.68	0.68
04/12/2018	#00036140592	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.93	95.0	0.00	1.83	1.83
04/12/2018	#00036143432	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.92	95.0	0.00	1.82	1.82
04/12/2018	#00036143435	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.29	95.0	0.00	1.23	1.23
04/12/2018	#00036143438	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.49	95.0	0.00	1.42	1.42
04/12/2018	#00036144075	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	0.76	95.0	0.00	0.72	0.72
04/12/2018	#00036149956	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	2.55	95.0	0.00	2.42	2.42
04/12/2018	#00036150657	JOHNSON, JOSEPH	IVY PACIFIC OPPORTUNITIES A	0.00	2.08	95.0	0.00	1.98	1.98
04/12/2018	#00036163036	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	7.71	95.0	0.00	7.32	7.32
04/12/2018	#00036171938	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	0.81	95.0	0.00	0.77	0.77
04/12/2018	#00036175845	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	4.15	95.0	0.00	3.94	3.94
04/12/2018	#00036187511	BEANEDALEF	IVY PACIFIC OPPORTUNITIES A	0.00	1.21	95.0	0.00	1.15	1.15
04/12/2018	#00036191000	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	9.88	95.0	0.00	9.39	9.39
04/12/2018	#00036196256	VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.03	95.0	0.00	0.98	0.98
04/12/2018	#00032370316	CLINTON, PAUL	IVY REAL ESTATE SECURITIES A	0.00	1.40	95.0	0.00	1.33	1.33
04/12/2018	#00032375891	SPENNY, DAVID	IVY REAL ESTATE SECURITIES A	0.00	0.63	95.0	0.00	0.60	0.60
04/12/2018	#00032381393	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	3.67	95.0	0.00	3.49	3.49
04/12/2018	#00032386705	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	4.37	95.0	0.00	4.15	4.15
04/12/2018	#00032390050	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	7.28	95.0	0.00	6.92	6.92
04/12/2018	#00032396121	COOPER TRACY,	IVY REAL ESTATE SECURITIES A	0.00	2.81	95.0	0.00	2.67	2.67
04/12/2018	#00032398536	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.99	95.0	0.00	0.94	0.94
04/12/2018	#00032399652	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.00	95.0	0.00	0.95	0.95
04/12/2018	#00032400094	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	6.88	95.0	0.00	6.54	6.54
04/12/2018	#00032401984	ANN D HAVILAND,	IVY REAL ESTATE SECURITIES A	0.00	2.51	95.0	0.00	2.38	2.38
04/12/2018	#00032408419	DEMPSEY, STEVEN	IVY REAL ESTATE SECURITIES A	0.00	0.62	95.0	0.00	0.59	0.59
04/12/2018	#00032408864	DEMPSEY, DARLA	IVY REAL ESTATE SECURITIES A	0.00	0.77	95.0	0.00	0.73	0.73
04/12/2018	#00032409103	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	5.57	95.0	0.00	5.29	5.29
04/12/2018	#00032428411	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	18.15	95.0	0.00	17.24	17.24
04/12/2018	#00032435738	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.56	95.0	0.00	2.43	2.43
04/12/2018	#00035335795	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	10.86	95.0	0.00	10.32	10.32
04/12/2018	#00035376555	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	4.17	95.0	0.00	3.96	3.96
04/12/2018	#00035376560	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	4.85	95.0	0.00	4.61	4.61
04/12/2018	#00035377025	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	4.01	95.0	0.00	3.81	3.81
04/12/2018	#00035402325	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.82	95.0	0.00	1.73	1.73



COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035419793	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.83	95.0	0.00	2.69	2.69
04/12/2018	#00035491952	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.92	95.0	0.00	0.87	0.87
04/12/2018	#00035492585	EMERSON, SHAWN	IVY REAL ESTATE SECURITIES A	0.00	1.40	95.0	0.00	1.33	1.33
04/12/2018	#00035492588	EMERSON, BECKY	IVY REAL ESTATE SECURITIES A	0.00	2.12	95.0	0.00	2.01	2.01
04/12/2018	#00035494498	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	11.30	95.0	0.00	10.74	10.74
04/12/2018	#00035500850	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	4.51	95.0	0.00	4.28	4.28
04/12/2018	#00035511829	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.61	95.0	0.00	0.58	0.58
04/12/2018	#00035521755	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.85	95.0	0.00	0.81	0.81
04/12/2018	#00035522407	HARSH, RAYMOND	IVY REAL ESTATE SECURITIES A	0.00	1.96	95.0	0.00	1.86	1.86
04/12/2018	#00035522691	GRAVES, HELEN	IVY REAL ESTATE SECURITIES A	0.00	4.34	95.0	0.00	4.12	4.12
04/12/2018	#00035529678	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	5.40	95.0	0.00	5.13	5.13
04/12/2018	#00035564881	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	7.49	95.0	0.00	7.12	7.12
04/12/2018	#00035565309	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	3.19	95.0	0.00	3.03	3.03
04/12/2018	#00035578513	CHRISTOPHER,	IVY REAL ESTATE SECURITIES A	0.00	2.83	95.0	0.00	2.69	2.69
04/12/2018	#00035580054	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.81	95.0	0.00	0.77	0.77
04/12/2018	#00035584889	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.91	95.0	0.00	2.76	2.76
04/12/2018	#00035589263	JOHNSON, DONALD	IVY REAL ESTATE SECURITIES A	0.00	19.24	95.0	0.00	18.28	18.28
04/12/2018	#00035614936	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.71	95.0	0.00	0.67	0.67
04/12/2018	#00035619764	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	7.30	95.0	0.00	6.94	6.94
04/12/2018	#00035633441	KOCOUREK,	IVY REAL ESTATE SECURITIES A	0.00	1.05	95.0	0.00	1.00	1.00
04/12/2018	#00035637930	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.54	95.0	0.00	2.41	2.41
04/12/2018	#00035637933	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.53	95.0	0.00	0.50	0.50
04/12/2018	#00035644796	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	5.75	95.0	0.00	5.46	5.46
04/12/2018	#00035649857	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	3.53	95.0	0.00	3.35	3.35
04/12/2018	#00035709381	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.71	95.0	0.00	0.67	0.67
04/12/2018	#00035740156	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	11.92	95.0	0.00	11.32	11.32
04/12/2018	#00035742403	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	7.92	95.0	0.00	7.52	7.52
04/12/2018	#00035746115	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.90	95.0	0.00	1.81	1.81
04/12/2018	#00035799004	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.33	95.0	0.00	2.21	2.21
04/12/2018	#00035812240	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	12.45	95.0	0.00	11.83	11.83
04/12/2018	#00035812257	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	3.50	95.0	0.00	3.33	3.33
04/12/2018	#00035812274	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.62	95.0	0.00	0.59	0.59
04/12/2018	#00035825644	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.26	95.0	0.00	2.15	2.15
04/12/2018	#00035864350	HALL, JASON	IVY REAL ESTATE SECURITIES A	0.00	0.41	95.0	0.00	0.39	0.39
04/12/2018	#00035888536	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.23	95.0	0.00	1.17	1.17
04/12/2018	#00035899205	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.88	95.0	0.00	0.84	0.84

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035920047	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.39	95.0	0.00	2.27	2.27
04/12/2018	#00036009200	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	15.77	95.0	0.00	14.98	14.98
04/12/2018	#00036016083	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	5.74	95.0	0.00	5.45	5.45
04/12/2018	#00036022546	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.31	95.0	0.00	1.24	1.24
04/12/2018	#00036022549	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.58	95.0	0.00	2.45	2.45
04/12/2018	#00036026483	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.99	95.0	0.00	1.89	1.89
04/12/2018	#00036027743	TRAUT, TODD	IVY REAL ESTATE SECURITIES A	0.00	1.37	95.0	0.00	1.30	1.30
04/12/2018	#00036028948	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.35	95.0	0.00	2.23	2.23
04/12/2018	#00036029241	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.89	95.0	0.00	1.80	1.80
04/12/2018	#00036067833	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	11.69	95.0	0.00	11.11	11.11
04/12/2018	#00036075428	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.20	95.0	0.00	1.14	1.14
04/12/2018	#00036075904	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	5.12	95.0	0.00	4.86	4.86
04/12/2018	#00036077188	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	3.63	95.0	0.00	3.45	3.45
04/12/2018	#00036077197	LYKKEN, ARLO	IVY REAL ESTATE SECURITIES A	0.00	1.04	95.0	0.00	0.99	0.99
04/12/2018	#00036078347	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	7.04	95.0	0.00	6.69	6.69
04/12/2018	#00036087285	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.76	95.0	0.00	0.72	0.72
04/12/2018	#00036087317	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.48	95.0	0.00	1.41	1.41
04/12/2018	#00036093484	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.00	95.0	0.00	1.90	1.90
04/12/2018	#00036100946	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.91	95.0	0.00	1.81	1.81
04/12/2018	#00036102027	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.30	95.0	0.00	2.19	2.19
04/12/2018	#00036102550	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.66	95.0	0.00	1.58	1.58
04/12/2018	#00036130945	CHRIS, JANE	IVY REAL ESTATE SECURITIES A	0.00	2.58	95.0	0.00	2.45	2.45
04/12/2018	#00036136229	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	38.06	95.0	0.00	36.16	36.16
04/12/2018	#00036138925	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	6.32	95.0	0.00	6.00	6.00
04/12/2018	#00036139635	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	7.37	95.0	0.00	7.00	7.00
04/12/2018	#00036140579	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.52	95.0	0.00	0.49	0.49
04/12/2018	#00036143265	HOGUETRAC TL	IVY REAL ESTATE SECURITIES A	0.00	0.69	95.0	0.00	0.66	0.66
04/12/2018	#00036143432	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.63	95.0	0.00	1.55	1.55
04/12/2018	#00036143435	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.04	95.0	0.00	0.99	0.99
04/12/2018	#00036143438	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.02	95.0	0.00	0.97	0.97
04/12/2018	#00036144075	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.73	95.0	0.00	0.69	0.69
04/12/2018	#00036149117	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.82	95.0	0.00	2.68	2.68
04/12/2018	#00036149954	SPENNIDA, L	IVY REAL ESTATE SECURITIES A	0.00	2.52	95.0	0.00	2.39	2.39
04/12/2018	#00036149956	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.57	95.0	0.00	0.54	0.54
04/12/2018	#00036150657	JOHNSON, JOSEPH	IVY REAL ESTATE SECURITIES A	0.00	2.60	95.0	0.00	2.47	2.47
04/12/2018	#00036150731	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.54	95.0	0.00	0.51	0.51

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00036150735	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.54	95.0	0.00	0.51	0.51
04/12/2018	#00036163019	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	9.69	95.0	0.00	9.21	9.21
04/12/2018	#00036163036	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	11.34	95.0	0.00	10.77	10.77
04/12/2018	#00036171938	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.51	95.0	0.00	0.48	0.48
04/12/2018	#00036175845	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	23.90	95.0	0.00	22.71	22.71
04/12/2018	#00036185398	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.53	95.0	0.00	0.50	0.50
04/12/2018	#00036185416	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.58	95.0	0.00	0.55	0.55
04/12/2018	#00036187511	BEANEDALEF	IVY REAL ESTATE SECURITIES A	0.00	0.91	95.0	0.00	0.86	0.86
04/12/2018	#00036191000	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	7.16	95.0	0.00	6.80	6.80
04/12/2018	#00036192778	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.55	95.0	0.00	0.52	0.52
04/12/2018	#00036196256	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.71	95.0	0.00	0.67	0.67
04/12/2018	#00036200166	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.98	95.0	0.00	0.93	0.93
04/12/2018	#00036200421	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	37.84	95.0	0.00	35.95	35.95
04/12/2018	#00036200467	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.60	95.0	0.00	0.57	0.57
04/12/2018	#00036204717	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.47	95.0	0.00	0.45	0.45
04/12/2018	#00036208319	TAYLOR, NICK	IVY REAL ESTATE SECURITIES A	0.00	1.73	95.0	0.00	1.64	1.64
04/12/2018	#00036208332	TAYLOCALI	IVY REAL ESTATE SECURITIES A	0.00	1.73	95.0	0.00	1.64	1.64
04/12/2018	#00036209650	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.54	95.0	0.00	0.51	0.51
04/12/2018	#00036209658	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.50	95.0	0.00	0.48	0.48
04/12/2018	#00036254373	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.34	95.0	0.00	1.27	1.27
04/12/2018	#00036256712	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.63	95.0	0.00	0.60	0.60
04/12/2018	#00036259618	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.89	95.0	0.00	0.85	0.85
04/12/2018	#00036265357	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.27	95.0	0.00	1.21	1.21
04/12/2018	#00036265974	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.43	95.0	0.00	1.36	1.36
04/12/2018	#00036271912	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.59	95.0	0.00	0.56	0.56
04/12/2018	#00036302624	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	6.37	95.0	0.00	6.05	6.05
04/12/2018	#00036319206	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	3.43	95.0	0.00	3.26	3.26
04/12/2018	#00036325146	TELLI, TERRL	IVY REAL ESTATE SECURITIES A	0.00	30.27	95.0	0.00	28.76	28.76
04/12/2018	#00036325628	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	18.29	95.0	0.00	17.38	17.38
04/12/2018	#00036328297	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.73	95.0	0.00	0.69	0.69
04/12/2018	#00036331945	VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.63	95.0	0.00	0.60	0.60
04/12/2018	#00036351929	(TOD), COREY	IVY REAL ESTATE SECURITIES A	0.00	1.12	95.0	0.00	1.06	1.06
04/12/2018	#00035202865	GLOVER, JESSICA	IVY SCIENCE AND TECHNOLOGY B	0.00	0.42	95.0	0.00	0.40	0.40
04/12/2018	#00035436381	GLOVER, KEITH	IVY SCIENCE AND TECHNOLOGY B	0.00	0.41	95.0	0.00	0.39	0.39
04/12/2018	#00035580054	VARIOUS	IVY SCIENCE AND TECHNOLOGY C	0.00	101.17	95.0	0.00	96.11	96.11
04/12/2018	#00036149737	VARIOUS	IVY SCIENCE AND TECHNOLOGY C	0.00	3.16	95.0	0.00	3.00	3.00

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00032386705	VARIOUS	IVY SMALL CAP GROWTH A	0.00	4.81	95.0	0.00	4.57	4.57
04/12/2018	#00032390050	VARIOUS	IVY SMALL CAP GROWTH A	0.00	6.56	95.0	0.00	6.23	6.23
04/12/2018	#00032397882	REEKERS, DONNA	IVY SMALL CAP GROWTH A	0.00	1.90	95.0	0.00	1.81	1.81
04/12/2018	#00032398536	VARIOUS	IVY SMALL CAP GROWTH A	0.00	1.23	95.0	0.00	1.17	1.17
04/12/2018	#00032401984	ANN D HAVILAND,	IVY SMALL CAP GROWTH A	0.00	4.28	95.0	0.00	4.07	4.07
04/12/2018	#00032435738	VARIOUS	IVY SMALL CAP GROWTH A	0.00	2.07	95.0	0.00	1.97	1.97
04/12/2018	#00035376555	VARIOUS	IVY SMALL CAP GROWTH A	0.00	6.68	95.0	0.00	6.35	6.35
04/12/2018	#00035377025	VARIOUS	IVY SMALL CAP GROWTH A	0.00	3.78	95.0	0.00	3.59	3.59
04/12/2018	#00035494498	VARIOUS	IVY SMALL CAP GROWTH A	0.00	1.50	95.0	0.00	1.43	1.43
04/12/2018	#00035505447	VARIOUS	IVY SMALL CAP GROWTH A	0.00	32.96	95.0	0.00	31.31	31.31
04/12/2018	#00035522691	GRAVES, HELEN	IVY SMALL CAP GROWTH A	0.00	3.44	95.0	0.00	3.27	3.27
04/12/2018	#00035565309	VARIOUS	IVY SMALL CAP GROWTH A	0.00	4.97	95.0	0.00	4.72	4.72
04/12/2018	#00035572013	VARIOUS	IVY SMALL CAP GROWTH A	0.00	5.03	95.0	0.00	4.78	4.78
04/12/2018	#00035742403	VARIOUS	IVY SMALL CAP GROWTH A	0.00	8.99	95.0	0.00	8.54	8.54
04/12/2018	#00035762704	VARIOUS	IVY SMALL CAP GROWTH A	0.00	6.06	95.0	0.00	5.76	5.76
04/12/2018	#00035790917	VARIOUS	IVY SMALL CAP GROWTH A	0.00	0.73	95.0	0.00	0.69	0.69
04/12/2018	#00035799004	VARIOUS	IVY SMALL CAP GROWTH A	0.00	3.08	95.0	0.00	2.93	2.93
04/12/2018	#00035812257	VARIOUS	IVY SMALL CAP GROWTH A	0.00	0.79	95.0	0.00	0.75	0.75
04/12/2018	#00035826948	VARIOUS	IVY SMALL CAP GROWTH A	0.00	3.34	95.0	0.00	3.17	3.17
04/12/2018	#00035830897	VARIOUS	IVY SMALL CAP GROWTH A	0.00	2.64	95.0	0.00	2.51	2.51
04/12/2018	#00035888536	VARIOUS	IVY SMALL CAP GROWTH A	0.00	1.40	95.0	0.00	1.33	1.33
04/12/2018	#00035920047	VARIOUS	IVY SMALL CAP GROWTH A	0.00	3.20	95.0	0.00	3.04	3.04
04/12/2018	#00035959118	(TOD), ARTHY	IVY SMALL CAP GROWTH A	0.00	2.46	95.0	0.00	2.34	2.34
04/12/2018	#00036009200	VARIOUS	IVY SMALL CAP GROWTH A	0.00	5.17	95.0	0.00	4.91	4.91
04/12/2018	#00036067833	VARIOUS	IVY SMALL CAP GROWTH A	0.00	10.46	95.0	0.00	9.94	9.94
04/12/2018	#00036075428	VARIOUS	IVY SMALL CAP GROWTH A	0.00	0.94	95.0	0.00	0.89	0.89
04/12/2018	#00036075904	VARIOUS	IVY SMALL CAP GROWTH A	0.00	6.32	95.0	0.00	6.00	6.00
04/12/2018	#00036078347	VARIOUS	IVY SMALL CAP GROWTH A	0.00	6.76	95.0	0.00	6.42	6.42
04/12/2018	#00036100908	VARIOUS	IVY SMALL CAP GROWTH A	0.00	11.38	95.0	0.00	10.81	10.81
04/12/2018	#00036136229	VARIOUS	IVY SMALL CAP GROWTH A	0.00	20.66	95.0	0.00	19.63	19.63
04/12/2018	#00036138925	VARIOUS	IVY SMALL CAP GROWTH A	0.00	6.94	95.0	0.00	6.59	6.59
04/12/2018	#00036139635	VARIOUS	IVY SMALL CAP GROWTH A	0.00	4.74	95.0	0.00	4.50	4.50
04/12/2018	#00036140579	VARIOUS	IVY SMALL CAP GROWTH A	0.00	0.84	95.0	0.00	0.80	0.80
04/12/2018	#00036140592	VARIOUS	IVY SMALL CAP GROWTH A	0.00	0.62	95.0	0.00	0.59	0.59
04/12/2018	#00036143265	HOGUETRAC TL	IVY SMALL CAP GROWTH A	0.00	1.53	95.0	0.00	1.45	1.45
04/12/2018	#00036143432	VARIOUS	IVY SMALL CAP GROWTH A	0.00	2.07	95.0	0.00	1.97	1.97

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00036144075	VARIOUS	IVY SMALL CAP GROWTH A	0.00	0.61	95.0	0.00	0.58	0.58
04/12/2018	#00036149962	SPENNY, DAVID	IVY SMALL CAP GROWTH A	0.00	1.48	95.0	0.00	1.41	1.41
04/12/2018	#00036150657	JOHNSON, JOSEPH	IVY SMALL CAP GROWTH A	0.00	2.98	95.0	0.00	2.83	2.83
04/12/2018	#00036163036	VARIOUS	IVY SMALL CAP GROWTH A	0.00	10.25	95.0	0.00	9.74	9.74
04/12/2018	#00036171938	VARIOUS	IVY SMALL CAP GROWTH A	0.00	0.67	95.0	0.00	0.64	0.64
04/12/2018	#00036175845	VARIOUS	IVY SMALL CAP GROWTH A	0.00	2.24	95.0	0.00	2.13	2.13
04/12/2018	#00036185398	VARIOUS	IVY SMALL CAP GROWTH A	0.00	0.63	95.0	0.00	0.60	0.60
04/12/2018	#00036187511	BEANEDALEF	IVY SMALL CAP GROWTH A	0.00	1.18	95.0	0.00	1.12	1.12
04/12/2018	#00036191000	VARIOUS	IVY SMALL CAP GROWTH A	0.00	9.67	95.0	0.00	9.19	9.19
04/12/2018	#00036196256	VARIOUS	IVY SMALL CAP GROWTH A	0.00	1.05	95.0	0.00	1.00	1.00
04/12/2018	#00036200421	VARIOUS	IVY SMALL CAP GROWTH A	0.00	19.38	95.0	0.00	18.41	18.41
04/12/2018	#00036200467	VARIOUS	IVY SMALL CAP GROWTH A	0.00	0.67	95.0	0.00	0.64	0.64
04/12/2018	#00036204717	VARIOUS	IVY SMALL CAP GROWTH A	0.00	0.59	95.0	0.00	0.56	0.56
04/12/2018	#00036319206	VARIOUS	IVY SMALL CAP GROWTH A	0.00	1.37	95.0	0.00	1.30	1.30
04/12/2018	#00036149737	VARIOUS	IVY SMALL CAP GROWTH C	0.00	3.06	95.0	0.00	2.91	2.91
04/12/2018	#00032381218	VARIOUS	IVY SMALL CAP VALUE A	0.00	0.84	95.0	0.00	0.80	0.80
04/12/2018	#00032381219	VARIOUS	IVY SMALL CAP VALUE A	0.00	0.83	95.0	0.00	0.79	0.79
04/12/2018	#00032382061	VARIOUS	IVY SMALL CAP VALUE A	0.00	1.27	95.0	0.00	1.21	1.21
04/12/2018	#00032382774	VARIOUS	IVY SMALL CAP VALUE A	0.00	2.82	95.0	0.00	2.68	2.68
04/12/2018	#00032387551	ROBERTSON,	IVY SMALL CAP VALUE A	0.00	0.59	95.0	0.00	0.56	0.56
04/12/2018	#00032390050	VARIOUS	IVY SMALL CAP VALUE A	0.00	14.07	95.0	0.00	13.37	13.37
04/12/2018	#00032398031	KEMP, DANIEL	IVY SMALL CAP VALUE A	0.00	5.56	95.0	0.00	5.28	5.28
04/12/2018	#00032398032	KEMP, MICHELE	IVY SMALL CAP VALUE A	0.00	5.27	95.0	0.00	5.01	5.01
04/12/2018	#00032398536	VARIOUS	IVY SMALL CAP VALUE A	0.00	2.25	95.0	0.00	2.14	2.14
04/12/2018	#00032399652	VARIOUS	IVY SMALL CAP VALUE A	0.00	1.84	95.0	0.00	1.75	1.75
04/12/2018	#00032401984	ANN D HAVILAND,	IVY SMALL CAP VALUE A	0.00	1.89	95.0	0.00	1.80	1.80
04/12/2018	#00032408419	DEMPSEY, STEVEN	IVY SMALL CAP VALUE A	0.00	0.71	95.0	0.00	0.67	0.67
04/12/2018	#00032408864	DEMPSEY, DARLA	IVY SMALL CAP VALUE A	0.00	0.88	95.0	0.00	0.84	0.84
04/12/2018	#00032409103	VARIOUS	IVY SMALL CAP VALUE A	0.00	2.66	95.0	0.00	2.53	2.53
04/12/2018	#00032411317	ANDREWS,	IVY SMALL CAP VALUE A	0.00	1.96	95.0	0.00	1.86	1.86
04/12/2018	#00032418731	VARIOUS	IVY SMALL CAP VALUE A	0.00	1.81	95.0	0.00	1.72	1.72
04/12/2018	#00032418732	VARIOUS	IVY SMALL CAP VALUE A	0.00	2.23	95.0	0.00	2.12	2.12
04/12/2018	#00032428411	VARIOUS	IVY SMALL CAP VALUE A	0.00	17.41	95.0	0.00	16.54	16.54
04/12/2018	#00032435738	VARIOUS	IVY SMALL CAP VALUE A	0.00	1.79	95.0	0.00	1.70	1.70
04/12/2018	#00032436812	VARIOUS	IVY SMALL CAP VALUE A	0.00	0.57	95.0	0.00	0.54	0.54
04/12/2018	#00035335795	VARIOUS	IVY SMALL CAP VALUE A	0.00	19.68	95.0	0.00	18.70	18.70

COMMISSION STATEMENT for RON RENSBERGER

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035376545	VARIOUS	IVY SMALL CAP VALUE A	0.00	14.28	95.0	0.00	13.57	13.57
04/12/2018	#00035376555	VARIOUS	IVY SMALL CAP VALUE A	0.00	6.39	95.0	0.00	6.07	6.07
04/12/2018	#00035377025	VARIOUS	IVY SMALL CAP VALUE A	0.00	6.19	95.0	0.00	5.88	5.88
04/12/2018	#00035381389	VARIOUS	IVY SMALL CAP VALUE A	0.00	1.47	95.0	0.00	1.40	1.40
04/12/2018	#00035419793	VARIOUS	IVY SMALL CAP VALUE A	0.00	4.27	95.0	0.00	4.06	4.06
04/12/2018	#00035461171	VARIOUS	IVY SMALL CAP VALUE A	0.00	17.98	95.0	0.00	17.08	17.08
04/12/2018	#00035461175	JOHNSON, DONALD	IVY SMALL CAP VALUE A	0.00	12.76	95.0	0.00	12.12	12.12
04/12/2018	#00035491952	VARIOUS	IVY SMALL CAP VALUE A	0.00	3.75	95.0	0.00	3.56	3.56
04/12/2018	#00035492585	EMERSON, SHAWN	IVY SMALL CAP VALUE A	0.00	2.41	95.0	0.00	2.29	2.29
04/12/2018	#00035492588	EMERSON, BECKY	IVY SMALL CAP VALUE A	0.00	2.08	95.0	0.00	1.98	1.98
04/12/2018	#00035494498	VARIOUS	IVY SMALL CAP VALUE A	0.00	14.26	95.0	0.00	13.55	13.55
04/12/2018	#00035495624	VARIOUS	IVY SMALL CAP VALUE A	0.00	0.91	95.0	0.00	0.86	0.86
04/12/2018	#00035511829	VARIOUS	IVY SMALL CAP VALUE A	0.00	1.10	95.0	0.00	1.05	1.05
04/12/2018	#00035521755	VARIOUS	IVY SMALL CAP VALUE A	0.00	0.61	95.0	0.00	0.58	0.58
04/12/2018	#00035522407	HARSH, RAYMOND	IVY SMALL CAP VALUE A	0.00	7.22	95.0	0.00	6.86	6.86
04/12/2018	#00035522691	GRAVES, HELEN	IVY SMALL CAP VALUE A	0.00	2.57	95.0	0.00	2.44	2.44
04/12/2018	#00035529678	VARIOUS	IVY SMALL CAP VALUE A	0.00	6.15	95.0	0.00	5.84	5.84
04/12/2018	#00035564881	VARIOUS	IVY SMALL CAP VALUE A	0.00	7.39	95.0	0.00	7.02	7.02
04/12/2018	#00035572251	VARIOUS	IVY SMALL CAP VALUE A	0.00	0.63	95.0	0.00	0.60	0.60
04/12/2018	#00035578513	CHRISTOPHER,	IVY SMALL CAP VALUE A	0.00	9.82	95.0	0.00	9.33	9.33
04/12/2018	#00035589263	JOHNSON, DONALD	IVY SMALL CAP VALUE A	0.00	3.33	95.0	0.00	3.16	3.16
04/12/2018	#00035606261	VARIOUS	IVY SMALL CAP VALUE A	0.00	3.56	95.0	0.00	3.38	3.38
04/12/2018	#00035619764	VARIOUS	IVY SMALL CAP VALUE A	0.00	9.35	95.0	0.00	8.88	8.88
04/12/2018	#00035637930	VARIOUS	IVY SMALL CAP VALUE A	0.00	3.20	95.0	0.00	3.04	3.04
04/12/2018	#00035637933	VARIOUS	IVY SMALL CAP VALUE A	0.00	0.67	95.0	0.00	0.64	0.64
04/12/2018	#00035644796	VARIOUS	IVY SMALL CAP VALUE A	0.00	8.51	95.0	0.00	8.08	8.08
04/12/2018	#00035742403	VARIOUS	IVY SMALL CAP VALUE A	0.00	7.38	95.0	0.00	7.01	7.01
04/12/2018	#00035746115	VARIOUS	IVY SMALL CAP VALUE A	0.00	2.07	95.0	0.00	1.97	1.97
04/12/2018	#00035747495	VARIOUS	IVY SMALL CAP VALUE A	0.00	3.35	95.0	0.00	3.18	3.18
04/12/2018	#00035799004	VARIOUS	IVY SMALL CAP VALUE A	0.00	8.97	95.0	0.00	8.52	8.52
04/12/2018	#00035895678	VARIOUS	IVY SMALL CAP VALUE A	0.00	38.37	95.0	0.00	36.45	36.45
04/12/2018	#00035920047	VARIOUS	IVY SMALL CAP VALUE A	0.00	1.89	95.0	0.00	1.80	1.80
04/12/2018	#00035943714	VARIOUS	IVY SMALL CAP VALUE A	0.00	1.49	95.0	0.00	1.42	1.42
04/12/2018	#00036077188	VARIOUS	IVY SMALL CAP VALUE A	0.00	8.55	95.0	0.00	8.12	8.12
04/12/2018	#00036100908	VARIOUS	IVY SMALL CAP VALUE A	0.00	12.17	95.0	0.00	11.56	11.56
04/12/2018	#00032398536	VARIOUS	WADDELL & REED 465899631	0.00	0.97	95.0	0.00	0.92	0.92

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/12/2018	#00035491952	VARIOUS	WADDELL & REED 465899631	0.00	1.34	95.0	0.00	1.27	0.00	1.27
04/12/2018	#00035511829	VARIOUS	WADDELL & REED 465899631	0.00	0.33	95.0	0.00	0.31	0.00	0.31
04/12/2018	#00035888536	VARIOUS	WADDELL & REED 465899631	0.00	1.14	95.0	0.00	1.08	0.00	1.08
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$10,154.20		\$0.00	\$9,646.78	\$0.00	\$9,646.78
*** BROKER TRANSACTIONS TOTAL ***				\$49,550.72	\$11,611.66		\$0.00	\$11,031.70	\$19.95	\$11,011.75

ADJUSTMENTS

05/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		<hr/> \$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$11,011.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,442.75
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