



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**June 15, 2018**

06/15/2018  
Page No. 1

DAMIAN, D127 DERING  
165 Culver Blvd  
Suite A  
Playa Del Rey, CA 90293

BROKER #: D127  
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
06/06/2018	AMIN FOUNDATION	TRILINC GLOBAL IMPACT FUND C	0.00	20.96	0.00	20.96	92.0	19.28
		<b>* PRIVATE PLACEMENT SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$20.96</b>	<b>\$0.00</b>	<b>\$20.96</b>		<b>\$19.28</b>
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
05/25/2018	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
05/25/2018	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
05/25/2018	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
05/25/2018	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
05/25/2018	PEARSON, ROBERT	AMCAP FUND-529C	200.00	2.00	0.00	2.00	92.0	1.84
05/25/2018	PEARSON, ROBERT	AMCAP FUND-529C	200.00	2.00	0.00	2.00	92.0	1.84
/ /	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	0.00	2.00	0.00	2.00	92.0	1.84
05/31/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
05/31/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	200.00	6.38	0.00	6.38	92.0	5.87
		<b>* 529 PLANS SUBTOTAL *</b>	<b>\$1,350.00</b>	<b>\$24.76</b>	<b>\$0.00</b>	<b>\$24.76</b>		<b>\$22.78</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/05/2018	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	500.00	18.75	0.00	18.75	92.0	17.25
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$500.00</b>	<b>\$18.75</b>	<b>\$0.00</b>	<b>\$18.75</b>		<b>\$17.25</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/30/2018	FARFAN, FRANK	AM GEN SEASONS ELITE	83,331.95	208.33	0.00	208.33	92.0	191.66
05/31/2018	PUGH, CECILIA	JNL PERSPECTIVE L	43,513.12	36.26	0.00	36.26	92.0	33.36
05/31/2018	LAXER, JACK	JNL PERSPECTIVE L	54,292.67	45.24	0.00	45.24	92.0	41.62
05/31/2018	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	63,723.39	53.10	0.00	53.10	92.0	48.85
05/31/2018	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	69,415.99	57.85	0.00	57.85	92.0	53.22
05/31/2018	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	67,116.20	55.93	0.00	55.93	92.0	51.46
05/11/2018	BAUTISTA, MARYANN	TRANSAMERICA LIBERTY	194,590.77	162.16	0.00	162.16	92.0	149.19
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$575,984.09</b>	<b>\$618.87</b>	<b>\$0.00</b>	<b>\$618.87</b>		<b>\$569.36</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	KUSUNOKI, MIKE	AM FUNDS AMERICAN BALANCED 529A	0.00	2.78	0.00	2.78	92.0	2.56
06/01/2018	KUSUNOKI, MIKE	AM FUNDS AMERICAN BALANCED 529A	0.00	2.76	0.00	2.76	92.0	2.54
06/01/2018	BERNARD, AVA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.15	0.00	1.15	92.0	1.06
06/01/2018	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	0.28	0.00	0.28	92.0	0.26
06/01/2018	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	0.28	0.00	0.28	92.0	0.26
06/01/2018	BERNARD, AVA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.43	0.00	4.43	92.0	4.08
05/15/2018	SUTZ, JACK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.17	0.00	5.17	92.0	4.76
06/01/2018	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	47.03	0.00	47.03	92.0	43.27
06/01/2018	BERNARD, AVA	AM FUNDS NEW WORLD 529A	0.00	3.47	0.00	3.47	92.0	3.19
06/01/2018	BERNARD, JASON	AM FUNDS SMALLCAP WORLD A	0.00	2.29	0.00	2.29	92.0	2.11
06/01/2018	BERNARD, AVA	AM FUNDS SMALLCAP WORLD-529A	0.00	2.35	0.00	2.35	92.0	2.16
06/01/2018	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	0.00	0.14	0.00	0.14	92.0	0.13
06/01/2018	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	0.00	0.14	0.00	0.14	92.0	0.13
06/01/2018	BERNARD, AVA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.70	0.00	3.70	92.0	3.40
06/01/2018	PEARSON, ROBERT	AMCAP FUND-529C	0.00	0.29	0.00	0.29	92.0	0.27
06/01/2018	PEARSON, ROBERT	AMCAP FUND-529C	0.00	0.29	0.00	0.29	92.0	0.27
05/18/2018	SUTZ, JACK	FIDELITY DIVIDEND GROWTH A	0.00	1.86	0.00	1.86	92.0	1.71
05/17/2018	SUTZ, JACK	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.04	0.00	4.04	92.0	3.72
05/17/2018	SUTZ, JACK	GRIFFIN INST'L ACCESS R E FUND C	0.00	12.12	0.00	12.12	92.0	11.15
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	0.00	2.35	0.00	2.35	92.0	2.16
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.58	0.00	1.58	92.0	1.45
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.53	0.00	1.53	92.0	1.41
05/17/2018	SUTZ, JACK	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	3.46	0.00	3.46	92.0	3.18
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$103.49</b>	<b>\$0.00</b>	<b>\$103.49</b>		<b>\$95.23</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
05/22/2018	LILLARD, MILDRED	PACIFIC VOYAGES	0.00	10,656.00	0.00	10,656.00	92.0	9,803.52
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$10,656.00</b>	<b>\$0.00</b>	<b>\$10,656.00</b>		<b>\$9,803.52</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$577,834.09</b>	<b>\$11,442.83</b>	<b>\$0.00</b>	<b>\$11,442.83</b>		<b>\$10,527.42</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
06/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
06/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					697.00
06/15/2018		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
06/15/2018		*TECHNOLOGY: ADMIN - CHRYSANTHA COSBY	0.00					-135.00
06/15/2018		*TECHNOLOGY: ADMIN - ELIZABETH	0.00					-60.00
06/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$10,527.42
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,527.42
Year-to-date Gross	\$196,945.29
Year-to-date Earnings	\$181,040.66

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