



Concorde Investment Services, LLC

01/13/2017

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COMMISSION STATEMENT**January 17, 2017**

JAY MCCLELLAN
8801 N. Main Street
Ste. 201
Dayton, OH 45415

BROKER #: M124 Terminated 11/14/2016
BRANCH: ASSET MANAGEMENT SYS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: FIXED INDEX ANNUITIES</u>								
01/01/2017	OVERHOLSER, DONALD	ALLIANZ 360 FIXED	0.00	124.30	0.00	124.30	90.0	111.87
* FIXED INDEX ANNUITIES SUBTOTAL *			\$0.00	\$124.30	\$0.00	\$124.30		\$111.87
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/15/2016	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	0.50	0.00	0.50	90.0	0.45
12/31/2016	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.09	0.00	2.09	90.0	1.88
/ /	WEST, PAMELA	OPPENHEIMER DEVELOPING MARKETS A	0.00	1.18	0.00	1.18	90.0	1.06
/ /	WEST, PAMELA	OPPENHEIMER EQUITY A	0.00	2.69	0.00	2.69	90.0	2.42
/ /	WEST, PAMELA	OPPENHEIMER GLOBAL A	0.00	4.89	0.00	4.89	90.0	4.40
/ /	WEST, PAMELA	OPPENHEIMER INTL BOND A	0.00	1.66	0.00	1.66	90.0	1.49
12/15/2016	FOUGHT, PAUL	PIONEER FUND A	25.00	1.25	0.00	1.25	90.0	1.13
12/27/2016	HUTTON JT TEN, JANET	PIONEER FUND A	30.00	1.50	0.00	1.50	90.0	1.35
12/15/2016	SHORT, DAWN	PIONEER MULTI-ASSET REAL RETURN A	500.00	15.00	0.00	15.00	90.0	13.50
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.43	0.00	1.43	90.0	1.29
12/27/2016	CURTIS, VIRGINIA	TEMPLETON GROWTH A	199.73	5.59	0.00	5.59	90.0	5.03
01/04/2017	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	10.20	0.00	10.20	90.0	9.18
01/04/2017	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	6.22	0.00	6.22	90.0	5.60
* MUTUAL FUNDS SUBTOTAL *			\$804.73	\$54.20	\$0.00	\$54.20		\$48.78
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	17,645.17	5.88	0.00	5.88	90.0	5.29
12/31/2016	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	136,031.67	85.02	0.00	85.02	90.0	76.52
12/31/2016	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	136,031.67	255.06	0.00	255.06	90.0	229.55
12/31/2016	FORTKAMP, MARY	PRU AS XTRA CREDIT SIX	34,252.77	21.41	0.00	21.41	90.0	19.27
* VARIABLE ANNUITIES SUBTOTAL *			\$323,961.28	\$367.37	\$0.00	\$367.37		\$330.63
*** BROKER TRANSACTIONS TOTAL ***			\$324,766.01	\$545.87	\$0.00	\$545.87		\$491.28

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
01/17/2017		*MISC: 11/30 COMMISSIONS	0.00					37.24
01/17/2017		*MISC: 12/15 COMMISSIONS	0.00					205.44
01/17/2017		*MISC: 12/31 COMMISSIONS	0.00					34.61
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$277.29

BROKER COMMISSION TOTALS

Broker Transactions	\$491.28
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$277.29
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-270.11
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$498.46
Year-to-date Gross	\$421.57
Year-to-date Earnings	\$491.28

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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