



# COMMISSION STATEMENT

April 23, 2018

GRADY GORMAN  
7105 West 44th Ave  
Wheat Ridge, CO 80033

BROKER #: A97  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
04/19/2018	***-**-6680 - BACA, PHILIP	HELIX TCS INC COM	790.00	24.75	18.00	6.75	75.0	5.06
	ACCOUNT #: LSR012642	SELL 500 Units @1.5800						
04/19/2018	***-**-6680 - BACA, PHILIP	HELIX TCS INC COM	2,430.00	74.25	0.00	74.25	75.0	55.69
	ACCOUNT #: LSR012642	SELL 1500 Units @1.6200						
* STOCKS SUBTOTAL *			<b>\$3,220.00</b>	<b>\$99.00</b>	<b>\$18.00</b>	<b>\$81.00</b>		<b>\$60.75</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/23/2018	***-**-667I - BENDER, RYAN	AMCAP FUND-A	0.00	1.74	0.00	1.74	75.0	1.31
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
04/23/2018	***-**-08IR - CAPUNE, MEGHAN	AMCAP FUND-A	0.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: LSR012363	BUY 1 Units @0.0000						
04/23/2018	***-**-408I - CAPUNE, MEGHAN	AMCAP FUND-A	0.00	1.66	0.00	1.66	75.0	1.25
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
04/23/2018	***-**-408I - CAPUNE, MEGHAN	AMERICAN MUTUAL FUND-A	0.00	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
04/23/2018	***-**-408I - CAPUNE, MEGHAN	CAPITAL INCOME BUILDER-A	0.00	0.46	0.00	0.46	75.0	0.35
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
04/23/2018	***-**-667I - BENDER, RYAN	EUROPACIFIC GROWTH FUND-A	0.00	1.07	0.00	1.07	75.0	0.80
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
04/23/2018	***-**-408I - CAPUNE, MEGHAN	EUROPACIFIC GROWTH FUND-A	0.00	0.59	0.00	0.59	75.0	0.44
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
04/23/2018	***-**-667I - BENDER, RYAN	FUNDAMENTAL INVESTORS-A	0.00	1.69	0.00	1.69	75.0	1.27
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
04/23/2018	***-**-408I - CAPUNE, MEGHAN	FUNDAMENTAL INVESTORS-A	0.00	1.10	0.00	1.10	75.0	0.83
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
04/23/2018	***-**-463I - MACKEY, LAURA	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	2.12	0.00	2.12	75.0	1.59
	ACCOUNT #: LSR011920	BUY 1 Units @0.0000						
04/23/2018	***-**-463I - MACKEY, LAURA	HCM TACTICAL GROWTH FND CL A-NFS	0.00	2.25	0.00	2.25	75.0	1.69
	ACCOUNT #: LSR011920	BUY 1 Units @0.0000						
04/23/2018	***-**-408I - CAPUNE, MEGHAN	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
04/23/2018	***-**-408I - CAPUNE, MEGHAN	NEW PERSPECTIVE FUND-A	0.00	0.42	0.00	0.42	75.0	0.32
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/23/2018	***-**-667I - BENDER, RYAN	SMALLCAP WORLD FUND-A	0.00	1.52	0.00	1.52	75.0	1.14
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
04/23/2018	***-**-408I - CAPUNE, MEGHAN	SMALLCAP WORLD FUND-A	0.00	0.39	0.00	0.39	75.0	0.29
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
04/23/2018	***-**-408I - CAPUNE, MEGHAN	THE BOND FUND OF AMERICA-A	0.00	0.17	0.00	0.17	75.0	0.13
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
04/23/2018	***-**-408I - CAPUNE, MEGHAN	THE GROWTH FUND OF AMERICA-A	0.00	0.51	0.00	0.51	75.0	0.38
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
04/23/2018	***-**-08IR - CAPUNE, MEGHAN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.73	0.00	0.73	75.0	0.55
	ACCOUNT #: LSR012363	BUY 1 Units @0.0000						
04/23/2018	***-**-408I - CAPUNE, MEGHAN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.84	0.00	0.84	75.0	0.63
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
	<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$19.54</b>	<b>\$0.00</b>	<b>\$19.54</b>		<b>\$14.69</b>
	<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$3,220.00</b>	<b>\$118.54</b>	<b>\$18.00</b>	<b>\$100.54</b>		<b>\$75.44</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$75.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$29.40
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$104.84
Year-to-date Earnings	\$2,494.57