

**COMMISSION STATEMENT****October 15, 2017****JOSEPH MICHALETZ**

41474 Judson Bottom Road

North Mankato, MI 56003-

BROKER #: M138

BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
09/29/2017	GILOW, HENRY	BOURNE SENIORS HOUSING III	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
09/29/2017	JONES, JERRY	GPB AUTOMOTIVE PORTFOLIO LP	70,000.00	4,900.00	0.00	4,900.00	93.0	4,557.00
09/29/2017	GILOW, HENRY	HPI FUND V	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
09/29/2017	JONES, JERRY	HPI FUND V	70,000.00	4,200.00	0.00	4,200.00	93.0	3,906.00
10/10/2017	BIRKHOLZ, DOUGLAS	HPI FUND V	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
09/25/2017	HUGHES, STEPHEN	SEALEY STRATEGIC EQUITY PARTNERS LP	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
* PRIVATE PLACEMENT SUBTOTAL *			\$390,000.00	\$25,600.00	\$0.00	\$25,600.00		\$23,808.00
<u>PRODUCT CATEGORY: OIL & GAS</u>								
09/25/2017	HUGHES, STEPHEN	WAVELAND RESOURCE PARTNERS IV	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
* OIL & GAS SUBTOTAL *			\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$3,255.00
<u>PRODUCT CATEGORY: REIT</u>								
09/27/2017	CHRISTENSON, AMY	COTTONWOOD MULTIFAMILY REIT II	27,000.00	1,620.00	0.00	1,620.00	93.0	1,506.60
09/27/2017	NAWROCKI TRUST, ALBIN	COTTONWOOD MULTIFAMILY REIT II	38,000.00	2,280.00	0.00	2,280.00	93.0	2,120.40
* REIT SUBTOTAL *			\$65,000.00	\$3,900.00	\$0.00	\$3,900.00		\$3,627.00
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
08/18/2017	SANGSTER, DONALD	BOURNE POCONO DST	274,118.14	17,817.68	0.00	17,817.68	93.0	16,570.44
10/04/2017	GREGOR, PATRICK	PASSCO LONGITUDE DST	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
10/04/2017	JONES, MICHAEL	PASSCO VOYAGER DST	122,000.00	7,320.00	0.00	7,320.00	93.0	6,807.60
09/29/2017	OLSON TRUST, DONALD & NYLEEN	RRTY CANADIAN RIVER ROYALTIES LLC	325,000.00	19,500.00	0.00	19,500.00	93.0	18,135.00
09/29/2017	JONES, JERRY	RRTY CANADIAN RIVER ROYALTIES LLC	60,000.00	3,600.00	0.00	3,600.00	93.0	3,348.00
09/29/2017	POLZIN, RICHARD	RRTY CANADIAN RIVER ROYALTIES LLC	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
10/02/2017	STEVENS, GEORGE	RRTY CANADIAN RIVER ROYALTIES LLC	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
10/04/2017	JONES, MICHAEL	RRTY CANADIAN RIVER ROYALTIES LLC	300,000.00	18,000.00	0.00	18,000.00	93.0	16,740.00
10/04/2017	WILLAERT, DIANNE	RRTY CANADIAN RIVER ROYALTIES LLC	200,000.00	12,000.00	0.00	12,000.00	93.0	11,160.00
10/04/2017	GREGOR, PATRICK	RRTY CANADIAN RIVER ROYALTIES LLC	155,846.02	9,350.76	0.00	9,350.76	93.0	8,696.21

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
10/04/2017	LARSON, CEASAR	RRTY CANADIAN RIVER ROYALTIES LLC	260,000.00	15,600.00	0.00	15,600.00	93.0	14,508.00
09/25/2017	LAVIN, COLLEEN	STARBOARD LEMON GROVE DST	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
* 1031 EXCHANGES SUBTOTAL *			\$2,046,964.16	\$124,188.44	\$0.00	\$124,188.44		\$115,495.25
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BEEBE, AUDREY	ASCENSUS COLLEGE SAVINGS NEA GRO ST	0.00	148.14	0.00	148.14	93.0	137.77
/ /	BEEBE, AUDREY	ASCENSUS NEA GROWTH STATIC A	0.00	3.12	0.00	3.12	93.0	2.90
08/31/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	0.00	1.10	0.00	1.10	93.0	1.02
08/31/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	0.00	3.31	0.00	3.31	93.0	3.08
09/18/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	25.00	0.25	0.00	0.25	93.0	0.23
/ /	WHITNEY, STANLEY	HARTFORD GROWTH OPP A	0.00	33.49	0.00	33.49	93.0	31.15
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	0.40	0.00	0.40	93.0	0.37
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	3.36	0.00	3.36	93.0	3.12
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	6.59	0.00	6.59	93.0	6.13
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	1.00	0.00	1.00	93.0	0.93
10/02/2017	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
10/02/2017	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
10/02/2017	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
09/27/2017	SCHLOMANN, DAVID	MFS MODERATE ALLOCATION C	-6,443.08	22.00	22.00	0.00	93.0	0.00
10/03/2017	BOESCH JT TEN, DEBRA	PIONEER INDEPENDENCE A	0.00	8.27	0.00	8.27	93.0	7.69
10/03/2017	GARTNER, MARIE	PIONEER VALUE A	0.00	22.34	0.00	22.34	93.0	20.78
10/02/2017	CORDS, SCOTT	PUTNAM CAPITAL SPECTRUM C	0.00	14.42	0.00	14.42	93.0	13.41
10/02/2017	CORDS, GRETCHEN	PUTNAM CAPITAL SPECTRUM C	0.00	42.74	0.00	42.74	93.0	39.75
10/02/2017	CORDS, RANDALL	PUTNAM EQUITY SPECTRUM C	0.00	44.26	0.00	44.26	93.0	41.16
10/02/2017	HINTON, EMILY	PUTNAM MULTI CAP GROWTH A	0.00	1.05	0.00	1.05	93.0	0.98
09/18/2017	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	1,166.66	11.67	0.00	11.67	93.0	10.85
09/18/2017	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	231.00	2.31	0.00	2.31	93.0	2.15
10/03/2017	KIECKER, MARK	TRANSAMERICA AA GROWTH C	0.00	34.04	0.00	34.04	93.0	31.66
10/03/2017	KIECKER, MARK	TRANSAMERICA AA GROWTH C	0.00	33.67	0.00	33.67	93.0	31.31
10/03/2017	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	0.00	36.46	0.00	36.46	93.0	33.91
10/03/2017	VOIGHT, KATHERINE	TRANSAMERICA AA GROWTH C	0.00	1.38	0.00	1.38	93.0	1.28
10/03/2017	VOIGHT, NICKOLAS	TRANSAMERICA AA GROWTH C	0.00	0.05	0.00	0.05	93.0	0.05
10/03/2017	THORP, MEGAN	TRANSAMERICA AA GROWTH C	0.00	1.78	0.00	1.78	93.0	1.66
10/03/2017	MICHALETZ, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	1.34	0.00	1.34	93.0	1.25

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/03/2017	FITTERER, JAMES	TRANSAMERICA AA GROWTH C	0.00	39.32	0.00	39.32	93.0	36.57
10/03/2017	ROBERTSON, KATELYN	TRANSAMERICA AA GROWTH C	0.00	4.23	0.00	4.23	93.0	3.93
10/03/2017	SCHOEN, JUDITH	TRANSAMERICA AA GROWTH C	0.00	18.04	0.00	18.04	93.0	16.78
10/03/2017	JONES, ERIN	TRANSAMERICA AA GROWTH C	0.00	4.70	0.00	4.70	93.0	4.37
10/03/2017	JONES, KEITH	TRANSAMERICA AA GROWTH C	0.00	4.70	0.00	4.70	93.0	4.37
10/03/2017	LELAND, CRAIG	TRANSAMERICA AA GROWTH C	0.00	29.10	0.00	29.10	93.0	27.06
10/03/2017	SEVERSON, STEVEN	TRANSAMERICA AA GROWTH C	0.00	2.48	0.00	2.48	93.0	2.31
10/03/2017	FREDERICK, THOMAS	TRANSAMERICA AA GROWTH C	0.00	4.70	0.00	4.70	93.0	4.37
10/03/2017	MORROW, ELLEN	TRANSAMERICA AA GROWTH C	0.00	57.53	0.00	57.53	93.0	53.50
10/03/2017	RENDL, MARK	TRANSAMERICA AA GROWTH C	0.00	3.67	0.00	3.67	93.0	3.41
10/03/2017	GROMMERSCH, IZABEL	TRANSAMERICA AA GROWTH C	0.00	4.24	0.00	4.24	93.0	3.94
10/03/2017	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	0.00	15.97	0.00	15.97	93.0	14.85
10/03/2017	HASKIN-BEEBE, JEAN	TRANSAMERICA AA GROWTH C	0.00	3.85	0.00	3.85	93.0	3.58
10/03/2017	KIECKER, DENISE	TRANSAMERICA AA GROWTH C	0.00	16.90	0.00	16.90	93.0	15.72
10/03/2017	MARK T KIECKER, SIM	TRANSAMERICA AA GROWTH C	0.00	17.51	0.00	17.51	93.0	16.28
10/03/2017	SHORE, HELEN	TRANSAMERICA AA GROWTH C	0.00	57.25	0.00	57.25	93.0	53.24
10/03/2017	DOBIE, SHERYL	TRANSAMERICA AA GROWTH C	0.00	5.94	0.00	5.94	93.0	5.52
10/03/2017	JONES, EVAN	TRANSAMERICA AA GROWTH C	0.00	1.40	0.00	1.40	93.0	1.30
10/03/2017	GROMMERSCH, JOHN	TRANSAMERICA AA GROWTH C	0.00	4.24	0.00	4.24	93.0	3.94
10/03/2017	WETZELL, PAMELA	TRANSAMERICA AA GROWTH C	0.00	3.82	0.00	3.82	93.0	3.55
10/03/2017	WETZELL, THOMAS	TRANSAMERICA AA GROWTH C	0.00	11.74	0.00	11.74	93.0	10.92
10/03/2017	JONES, WYATT	TRANSAMERICA AA GROWTH C	0.00	1.50	0.00	1.50	93.0	1.40
10/03/2017	THOMPSON, JO ANN	TRANSAMERICA AA GROWTH C	0.00	10.29	0.00	10.29	93.0	9.57
10/03/2017	JONES, DYLAN	TRANSAMERICA AA GROWTH C	0.00	1.23	0.00	1.23	93.0	1.14
10/03/2017	OTTO, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	11.60	0.00	11.60	93.0	10.79
10/03/2017	CHRISTMAN, PATRICK	TRANSAMERICA AA GROWTH C	0.00	9.34	0.00	9.34	93.0	8.69
10/03/2017	CHRISTMAN, LISA	TRANSAMERICA AA GROWTH C	0.00	8.39	0.00	8.39	93.0	7.80
10/03/2017	THORP, CALEB	TRANSAMERICA AA GROWTH C	0.00	6.34	0.00	6.34	93.0	5.90
10/03/2017	WETZELL, ELI	TRANSAMERICA AA GROWTH C	0.00	5.41	0.00	5.41	93.0	5.03
10/03/2017	WETZELL, BECKETT	TRANSAMERICA AA GROWTH C	0.00	5.83	0.00	5.83	93.0	5.42
10/03/2017	TOMM, JODI	TRANSAMERICA AA GROWTH C	0.00	56.71	0.00	56.71	93.0	52.74
10/03/2017	JONES, WILL	TRANSAMERICA AA GROWTH C	0.00	1.26	0.00	1.26	93.0	1.17
10/03/2017	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	13.24	0.00	13.24	93.0	12.31

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/03/2017	SANDBO, KAID	TRANSAMERICA AA GROWTH C	0.00	4.29	0.00	4.29	93.0	3.99
10/03/2017	SANDBO, ALYIAH	TRANSAMERICA AA GROWTH C	0.00	4.05	0.00	4.05	93.0	3.77
10/03/2017	WETZELL, PEYTON	TRANSAMERICA AA GROWTH C	0.00	2.88	0.00	2.88	93.0	2.68
10/03/2017	THORP, REED	TRANSAMERICA AA GROWTH C	0.00	3.11	0.00	3.11	93.0	2.89
10/03/2017	SOLOMON, ARIANNA	TRANSAMERICA AA GROWTH C	0.00	2.86	0.00	2.86	93.0	2.66
10/03/2017	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	22.88	0.00	22.88	93.0	21.28
10/03/2017	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	6.19	0.00	6.19	93.0	5.76
10/03/2017	GRUBISH, GERTRUDE	TRANSAMERICA AA GROWTH C	0.00	6.19	0.00	6.19	93.0	5.76
10/03/2017	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.06	0.00	1.06	93.0	0.99
10/03/2017	WETZELL, BRADY	TRANSAMERICA AA GROWTH C	0.00	1.01	0.00	1.01	93.0	0.94
10/03/2017	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.12	0.00	1.12	93.0	1.04
10/03/2017	THORP, QUINN	TRANSAMERICA AA GROWTH C	0.00	1.02	0.00	1.02	93.0	0.95
10/03/2017	WETZELL, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	1.00	0.00	1.00	93.0	0.93
10/03/2017	KIECKER, BENJAMIN	TRANSAMERICA AA GROWTH C	0.00	2.36	0.00	2.36	93.0	2.19
10/03/2017	KIECKER, DANIEL	TRANSAMERICA AA GROWTH C	0.00	2.74	0.00	2.74	93.0	2.55
10/03/2017	STEVENS, GAVIN	TRANSAMERICA AA GROWTH C	0.00	9.30	0.00	9.30	93.0	8.65
10/03/2017	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	0.00	3.49	0.00	3.49	93.0	3.25
10/03/2017	VOIGHT, CODY	TRANSAMERICA AA GROWTH C	0.00	2.80	0.00	2.80	93.0	2.60
10/03/2017	VOIGHT, NICKOLAS	TRANSAMERICA AA GROWTH C	0.00	0.10	0.00	0.10	93.0	0.09
10/10/2017	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	200.00	2.00	12.00	-10.00	93.0	-9.30
10/03/2017	CONRAD, MAREIDITH	TRANSAMERICA AA MOD GROWTH C	0.00	6.25	0.00	6.25	93.0	5.81
10/03/2017	CONRAD, DELBERT	TRANSAMERICA AA MOD GROWTH C	0.00	40.84	0.00	40.84	93.0	37.98
10/03/2017	LOE, GLORIA	TRANSAMERICA AA MOD GROWTH C	0.00	7.77	0.00	7.77	93.0	7.23
10/03/2017	GARTNER, TIMOTHY	TRANSAMERICA AA MOD GROWTH C	0.00	24.74	0.00	24.74	93.0	23.01
10/03/2017	GRAFF, GARY	TRANSAMERICA AA MOD GROWTH C	0.00	21.10	0.00	21.10	93.0	19.62
* MUTUAL FUNDS SUBTOTAL *			\$-4,520.42	\$1,105.16	\$34.00	\$1,071.16		\$996.17
<u>PRODUCT CATEGORY: STOCKS</u>								
10/04/2017	GILOW, HENRY	ADIANT PLC SHS ISIN# IE00BD845X29	-1,105.41	22.00	22.50	-0.50	93.0	-0.47
10/04/2017	GILOW, HENRY	ADIANT PLC SHS ISIN# IE00BD845X29	-4,675.49	22.00	22.50	-0.50	93.0	-0.47
10/04/2017	GILOW, HENRY	JOHNSON CTLS INTL PLC SHS ISIN#IE00	-13,497.01	22.00	22.50	-0.50	93.0	-0.47
10/04/2017	GILOW, HENRY	JOHNSON CTLS INTL PLC SHS ISIN#IE00	-26,951.67	22.00	23.00	-1.00	93.0	-0.93
* STOCKS SUBTOTAL *			\$-46,229.58	\$88.00	\$90.50	\$-2.50		\$-2.34

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/29/2017	SMITH, RANDALL	ALLIANZ ALTERITY OPTIONS	48,693.02	60.87	0.00	60.87	93.0	56.61
09/29/2017	SMITH, JESSICA	ALLIANZ ALTERITY OPTIONS	42,334.43	52.92	0.00	52.92	93.0	49.22
09/29/2017	RAWSON, JOHN	ALLIANZ VISION BASIC	248,411.66	621.03	0.00	621.03	93.0	577.56
09/29/2017	DRAPEAU, GAIL	ALLIANZ VISION BASIC	172,249.87	430.62	0.00	430.62	93.0	400.48
09/29/2017	WEBSTER, RONALD	ALLIANZ VISION BASIC	115,828.61	289.57	0.00	289.57	93.0	269.30
09/29/2017	KENDALL, ARCHIE	ALLIANZ VISION BASIC	434,038.08	1,085.10	0.00	1,085.10	93.0	1,009.14
09/29/2017	GORDY, DANNY	ALLIANZ VISION BASIC	84,421.24	211.05	0.00	211.05	93.0	196.28
09/29/2017	TURTLE, ERMA	AXA ACCUM 04 ELITE (RO IRA)	274,268.95	788.52	0.00	788.52	93.0	733.32
09/29/2017	SOUKUP, ARLENE	AXA ACCUM 04 ELITE (RO IRA)	27,577.26	79.28	0.00	79.28	93.0	73.73
09/29/2017	PANOS, GEARLDINE	AXA ACCUM 04 ELITE (RO IRA)	69,901.31	218.44	0.00	218.44	93.0	203.15
09/29/2017	CONNOLLY, BARBARA	AXA ACCUM 04 ELITE (RO IRA)	104,794.62	301.28	0.00	301.28	93.0	280.19
09/29/2017	ZIEGLER, DIANNE	AXA ACCUM 04 ELITE (RO IRA)	161,173.24	463.37	0.00	463.37	93.0	430.93
09/29/2017	GRAFF, GARY	AXA ACCUM 04 ELITE (RO IRA)	128,059.59	368.17	0.00	368.17	93.0	342.40
09/29/2017	NAWROCKI TRUST, ALBIN	AXA ACCUM 04 ELITE (RO IRA)	100,227.85	288.16	0.00	288.16	93.0	267.99
09/29/2017	DRAPEAU, GAIL	AXA ACCUM 04 ELITE (RO IRA)	20,751.55	64.85	0.00	64.85	93.0	60.31
09/29/2017	ANN M KRAHMER, LE	AXA ACCUM 04 PLUS (NQ)	416,745.22	781.40	0.00	781.40	93.0	726.70
09/29/2017	SOWERS, SHARON	AXA ACCUM 04 PLUS (NQ)	45,537.95	85.38	0.00	85.38	93.0	79.40
09/29/2017	CULLEN, ELLEN	AXA ACCUMULATOR (NQ)	111,977.60	209.96	0.00	209.96	93.0	195.26
09/29/2017	TOAY, BARBARA	AXA ACCUMULATOR (NQ)	84,903.74	159.19	0.00	159.19	93.0	148.05
09/29/2017	KELLOGG, J EDWARD	AXA ACCUMULATOR ELITE	515,830.77	1,611.97	0.00	1,611.97	93.0	1,499.13
09/29/2017	HOGSTAD, RONALD	AXA ACCUMULATOR ELITE	140,090.83	437.78	0.00	437.78	93.0	407.14
09/29/2017	DUDAREVITCH FAMILY TRUST	AXA ACCUMULATOR ELITE	33,664.85	96.79	0.00	96.79	93.0	90.01
09/29/2017	JACKSON, ROBERT	AXA ACCUMULATOR ELITE	15,169.10	43.61	0.00	43.61	93.0	40.56
09/29/2017	JOHNSON, DARLENE	AXA ACCUMULATOR ELITE	18,253.41	52.48	0.00	52.48	93.0	48.81
09/29/2017	ANDERSON, DONALD	AXA ACCUMULATOR II SERIES L	86,282.63	215.71	0.00	215.71	93.0	200.61
09/29/2017	JOHNSON, ELOIS	AXA ACCUMULATOR PLUS (NQ)	109,954.44	206.16	0.00	206.16	93.0	191.73
09/29/2017	JANSMA, DWAYNE	AXA ACCUMULATOR SELECT 2004	45,463.43	113.66	0.00	113.66	93.0	105.70
09/29/2017	JANSMA, SUSANNE	AXA ACCUMULATOR SELECT 2004	30,940.71	77.35	0.00	77.35	93.0	71.94
09/29/2017	VOIGHT, RICK	AXA REITREMENT CORNERSTONE 13 L	477,258.93	1,193.15	0.00	1,193.15	93.0	1,109.63
09/29/2017	ROSE, REBECCA	AXA REITREMENT CORNERSTONE 13 L	36,780.56	91.95	0.00	91.95	93.0	85.51
09/29/2017	CORDS, RANDALL	AXA RETIREMENT CORNERSTONE 13 B	61,362.38	38.35	0.00	38.35	93.0	35.67
09/30/2017	ANDERSEN, WAYNE	JNL PERSPECTIVE ADV II 5/05	56,423.34	47.02	0.00	47.02	93.0	43.73
09/30/2017	HAAS, HELEN	JNL PERSPECTIVE ADV II 5/05	66,782.81	69.57	0.00	69.57	93.0	64.70

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/30/2017	GARTNER TRUST	JNL PERSPECTIVE ADV II 5/05	159,486.11	166.13	0.00	166.13	93.0	154.50
09/29/2017	GILOW, HENRY	JNL PERSPECTIVE II 04/14	63,346.54	1,266.93	0.00	1,266.93	93.0	1,178.24
09/29/2017	GILOW, HENRY	JNL PERSPECTIVE II 04/14	322,446.43	6,448.93	0.00	6,448.93	93.0	5,997.50
09/30/2017	AMERICAN ENDOWMENT FUND	JNL PERSPECTIVE II 04/14	68,728.62	57.27	0.00	57.27	93.0	53.26
10/06/2017	GEAITHER, VALERIE	JNL PERSPECTIVE II 04/14	21,464.19	429.28	0.00	429.28	93.0	399.23
10/09/2017	GEAITHER, VALERIE	JNL PERSPECTIVE II 04/14	3.79	0.08	0.00	0.08	93.0	0.07
09/30/2017	ROSE, DENNIS	JNL PERSPECTIVE II 05/05	80,695.45	67.25	0.00	67.25	93.0	62.54
09/30/2017	ROSE, CHERYL	JNL PERSPECTIVE II 05/05	134,845.73	112.37	0.00	112.37	93.0	104.50
09/30/2017	LUTZ, TRACY	JNL PERSPECTIVE II 05/05	8,475.28	7.06	0.00	7.06	93.0	6.57
09/30/2017	THOMPSON, JO ANN	JNL PERSPECTIVE L	195,082.07	162.57	0.00	162.57	93.0	151.19
09/30/2017	VANDERHOOF, DONNA	JNL PERSPECTIVE L	325,861.31	271.55	0.00	271.55	93.0	252.54
09/30/2017	GREEN, STEVEN	JNL PERSPECTIVE L	84,190.79	70.16	0.00	70.16	93.0	65.25
09/30/2017	GREEN, STEVEN	JNL PERSPECTIVE L	69,303.52	57.75	0.00	57.75	93.0	53.71
09/30/2017	WOLFF, JEAN	JNL PERSPECTIVE L	84,294.52	70.25	0.00	70.25	93.0	65.33
09/30/2017	WOLFF, JEAN	JNL PERSPECTIVE L	424,995.61	354.16	0.00	354.16	93.0	329.37
09/30/2017	CURTIS, JEFFREY	JNL PERSPECTIVE L	138,209.69	115.17	0.00	115.17	93.0	107.11
09/30/2017	FUENTEZ, IVAN	JNL PERSPECTIVE L	238,640.04	198.87	0.00	198.87	93.0	184.95
09/30/2017	ROSE, ERIC	JNL PERSPECTIVE L	45,424.76	37.85	0.00	37.85	93.0	35.20
09/30/2017	ANDERSEN, WAYNE	JNL PERSPECTIVE L	150,197.79	125.16	0.00	125.16	93.0	116.40
09/30/2017	MAINE, VINCENT	JNL PERSPECTIVE L	137,785.22	57.41	0.00	57.41	93.0	53.39
09/30/2017	CALABRESE, CAROL	JNL PERSPECTIVE L	258,712.15	215.59	0.00	215.59	93.0	200.50
09/30/2017	SCHRIOCK, LINDA	JNL PERSPECTIVE L	57,365.10	47.80	0.00	47.80	93.0	44.45
09/30/2017	SCHRIOCK, STANLEY	JNL PERSPECTIVE L	24,651.09	20.54	0.00	20.54	93.0	19.10
09/30/2017	MAINE, VINCENT	JNL PERSPECTIVE L	164,880.33	68.70	0.00	68.70	93.0	63.89
09/30/2017	LUTZ, TRACY	JNL PERSPECTIVE L	23,341.59	19.45	0.00	19.45	93.0	18.09
09/30/2017	CHESNEY, TERRANCE	JNL PERSPECTIVE L	176,096.70	146.75	0.00	146.75	93.0	136.48
09/30/2017	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	106,814.91	89.01	0.00	89.01	93.0	82.78
09/30/2017	CHESNEY, TERRANCE	JNL PERSPECTIVE L	154,196.83	128.50	0.00	128.50	93.0	119.51
09/30/2017	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	158,185.42	131.82	0.00	131.82	93.0	122.59
09/30/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	87,103.88	72.59	0.00	72.59	93.0	67.51
09/30/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	188,240.80	156.87	0.00	156.87	93.0	145.89
09/30/2017	KOCON, RAYMOND	JNL PERSPECTIVE L	423,307.41	352.76	0.00	352.76	93.0	328.07
09/30/2017	HOGSTAD, MARY	JNL PERSPECTIVE L	148,932.48	124.11	0.00	124.11	93.0	115.42

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/30/2017	ROSE, DENNIS	JNL PERSPECTIVE L	164,684.70	137.24	0.00	137.24	93.0	127.63
09/30/2017	GARTNER TRUST, ORVAL	JNL PERSPECTIVE L	455,010.62	379.18	0.00	379.18	93.0	352.64
09/30/2017	LEASE, NATHELLE	JNL PERSPECTIVE L	283,063.51	235.89	0.00	235.89	93.0	219.38
09/30/2017	STRADTMAN, CHARLES	JNL PERSPECTIVE L	204,212.48	170.18	0.00	170.18	93.0	158.27
09/30/2017	VETTER, JOANN	JNL PERSPECTIVE L	669,826.80	558.19	0.00	558.19	93.0	519.12
09/30/2017	STRADTMAN, CHARLES	JNL PERSPECTIVE L	459,980.78	383.32	0.00	383.32	93.0	356.49
09/30/2017	VETTER, RICHARD	JNL PERSPECTIVE L	125,439.01	104.53	0.00	104.53	93.0	97.21
09/30/2017	VETTER, JOANN	JNL PERSPECTIVE L	115,459.46	96.22	0.00	96.22	93.0	89.48
09/30/2017	FLOR, PATRICIA	JNL PERSPECTIVE L	1,774,696.82	1,478.91	0.00	1,478.91	93.0	1,375.39
09/30/2017	KRAHMER, LE ANN	JNL PERSPECTIVE L	334,993.93	279.16	0.00	279.16	93.0	259.62
09/30/2017	ANDERSEN REV LIV TR, CRYSTAL	JNL PERSPECTIVE L	127,787.78	106.49	0.00	106.49	93.0	99.04
09/30/2017	KOPISCHKE, BEVERLY	JNL PERSPECTIVE L	157,966.36	131.64	0.00	131.64	93.0	122.43
09/30/2017	SHORE, JERRY	JNL PERSPECTIVE L	1,113,484.64	927.90	0.00	927.90	93.0	862.95
09/30/2017	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	1,116,675.18	930.56	0.00	930.56	93.0	865.42
09/30/2017	SHORE, JERRY	JNL PERSPECTIVE L	313,707.00	261.42	0.00	261.42	93.0	243.12
09/30/2017	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	267,013.00	222.51	0.00	222.51	93.0	206.93
09/30/2017	LILLEVOLD, KATHRYN	JNL PERSPECTIVE L	1,000,663.43	833.89	0.00	833.89	93.0	775.52
09/30/2017	JOHNSON, ELOIS	JNL PERSPECTIVE L	470,147.06	391.79	0.00	391.79	93.0	364.36
09/30/2017	BERKNESS, RONALD	JNL PERSPECTIVE L	366,892.79	305.74	0.00	305.74	93.0	284.34
09/30/2017	WOLFF, ANITA	JNL PERSPECTIVE L	595,375.02	496.15	0.00	496.15	93.0	461.42
09/30/2017	NAWROCKI, DONNA	JNL PERSPECTIVE L	803,635.14	669.70	0.00	669.70	93.0	622.82
09/30/2017	BERKNESS, CAROLE	JNL PERSPECTIVE L	153,950.83	128.29	0.00	128.29	93.0	119.31
09/30/2017	WOLFF, WALTER	JNL PERSPECTIVE L	840,659.12	700.55	0.00	700.55	93.0	651.51
09/30/2017	LARSON, LOIS	JNL PERSPECTIVE L	56,590.23	47.16	0.00	47.16	93.0	43.86
09/30/2017	LARSON, DEAN	JNL PERSPECTIVE L	117,282.70	97.74	0.00	97.74	93.0	90.90
09/30/2017	LEINENWEBER, LE ROY	JNL PERSPECTIVE L	309,465.61	257.89	0.00	257.89	93.0	239.84
09/30/2017	DALLMANN, HOWARD	JNL PERSPECTIVE L	196,110.27	163.43	0.00	163.43	93.0	151.99
09/30/2017	DALLMANN, MARGARET	JNL PERSPECTIVE L	23,548.74	19.62	0.00	19.62	93.0	18.25
09/30/2017	CLOW, SANDRA	JNL PERSPECTIVE L	727,540.66	606.28	0.00	606.28	93.0	563.84
09/30/2017	LARSON, DEAN L & LOIS N	JNL PERSPECTIVE L	550,785.76	458.99	0.00	458.99	93.0	426.86
09/30/2017	LOE, TIMOTHY	JNL PERSPECTIVE L	354,623.98	295.52	0.00	295.52	93.0	274.83
09/30/2017	NAWROCKI, DONNA	JNL PERSPECTIVE L	433,609.65	361.34	0.00	361.34	93.0	336.05
09/30/2017	DOBIE, SHERYL	JNL PERSPECTIVE L	915,820.65	763.18	0.00	763.18	93.0	709.76

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/30/2017	MICHALETZ, GERALD	JNL PERSPECTIVE L	152,135.75	126.78	0.00	126.78	93.0	117.91
09/30/2017	BANWART, ROGER	JNL PERSPECTIVE L	159,844.75	133.20	0.00	133.20	93.0	123.88
09/30/2017	FITTERER, DELORIS	JNL PERSPECTIVE L	106,973.48	89.14	0.00	89.14	93.0	82.90
09/30/2017	MICHALETZ, FAYE	JNL PERSPECTIVE L	67,713.36	56.43	0.00	56.43	93.0	52.48
09/30/2017	FUNFSINN TRUST, SUSAN	JNL PERSPECTIVE L	318,374.26	265.31	0.00	265.31	93.0	246.74
09/30/2017	FITTERER, DELORIS	JNL PERSPECTIVE L	243,264.33	202.72	0.00	202.72	93.0	188.53
09/30/2017	POST, TERRY	JNL PERSPECTIVE L	200,030.31	166.69	0.00	166.69	93.0	155.02
09/30/2017	HUISMAN, GERALDINE	JNL PERSPECTIVE L	166,545.02	138.79	0.00	138.79	93.0	129.07
09/30/2017	STEVENS, GEORGE	JNL PERSPECTIVE L	310,917.52	259.10	0.00	259.10	93.0	240.96
09/30/2017	BUCK, NORMAN	JNL PERSPECTIVE L	230,835.61	192.36	0.00	192.36	93.0	178.89
09/30/2017	PANOS, GERALDINE	JNL PERSPECTIVE L	117,245.77	97.70	0.00	97.70	93.0	90.86
09/30/2017	SHIRK, PAMELA	JNL PERSPECTIVE L	203,037.97	169.20	0.00	169.20	93.0	157.36
09/30/2017	PAHL, JAMES	JNL PERSPECTIVE L	148,409.66	123.67	0.00	123.67	93.0	115.01
09/30/2017	ENGELBRECHT, ALICE	JNL PERSPECTIVE L	254,986.96	212.49	0.00	212.49	93.0	197.62
09/30/2017	UTZKA, LANA	JNL PERSPECTIVE L	8,293.87	6.91	0.00	6.91	93.0	6.43
09/30/2017	SHAW, CHARLES	JNL PERSPECTIVE L	136,477.83	113.73	0.00	113.73	93.0	105.77
09/30/2017	WARD, JACQUELINE	JNL PERSPECTIVE L	38,110.77	31.76	0.00	31.76	93.0	29.54
09/30/2017	KRONBACK, MAYDELLE	JNL PERSPECTIVE L	175,021.28	145.85	0.00	145.85	93.0	135.64
09/30/2017	KENDALL, ARCHIE	JNL PERSPECTIVE L	481,375.42	401.15	0.00	401.15	93.0	373.07
09/30/2017	MINNEHAN, MICHAEL	JNL PERSPECTIVE L	140,950.88	117.46	0.00	117.46	93.0	109.24
09/30/2017	CLOW CHARTIBLE TRUST, SANDRA	JNL PERSPECTIVE L	53,906.61	44.92	0.00	44.92	93.0	41.78
09/30/2017	ESLINGER, DOUGLAS	JNL PERSPECTIVE L	44,514.92	37.10	0.00	37.10	93.0	34.50
09/30/2017	TRINITY EVANGELICAL	JNL PERSPECTIVE L	427,369.51	356.14	0.00	356.14	93.0	331.21
09/30/2017	ARNOLD HOLDINGS LP	JNL PERSPECTIVE L	713,970.80	594.98	0.00	594.98	93.0	553.33
09/30/2017	ARNOLD, EVERETT	JNL PERSPECTIVE L	722,554.63	602.13	0.00	602.13	93.0	559.98
09/30/2017	SCHMIDT, LORI	JNL PERSPECTIVE L	124,106.75	103.42	0.00	103.42	93.0	96.18
09/30/2017	SCHMIDT, HAROLD	JNL PERSPECTIVE L	507,680.74	423.07	0.00	423.07	93.0	393.46
09/30/2017	ZIEGLER, DARRELL	JNL PERSPECTIVE L	42,969.28	35.81	0.00	35.81	93.0	33.30
09/30/2017	MOLL TURKEYS INC	JNL PERSPECTIVE L	526,279.54	438.57	0.00	438.57	93.0	407.87
09/30/2017	KRONBACK, JEFFERY	JNL PERSPECTIVE L	24,687.22	20.57	0.00	20.57	93.0	19.13
09/30/2017	KRONBACK, JEFFERY	JNL PERSPECTIVE L	109,184.28	90.99	0.00	90.99	93.0	84.62
09/30/2017	KRONBACK, DEBRA	JNL PERSPECTIVE L	29,272.93	24.39	0.00	24.39	93.0	22.68
09/30/2017	KRONBACK, DEBRA	JNL PERSPECTIVE L	32,208.18	26.84	0.00	26.84	93.0	24.96

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/30/2017	FITZSIMMONS, GARY	JNL PERSPECTIVE L	349,437.17	291.20	0.00	291.20	93.0	270.82
09/30/2017	REED, MARY	JNL PERSPECTIVE L	273,588.11	227.99	0.00	227.99	93.0	212.03
09/30/2017	FITZSIMMONS, KEVIN	JNL PERSPECTIVE L	136,737.34	113.95	0.00	113.95	93.0	105.97
09/30/2017	DIETRICH, SHARON	JNL PERSPECTIVE L	209,659.74	174.72	0.00	174.72	93.0	162.49
09/30/2017	KUEHLWEIN, RICHARD	JNL PERSPECTIVE L	54,674.81	45.56	0.00	45.56	93.0	42.37
09/30/2017	JERROW, ROBERT	JNL PERSPECTIVE L	334,008.36	278.34	0.00	278.34	93.0	258.86
09/30/2017	KASTER, JANICE	JNL PERSPECTIVE L	163,467.90	136.22	0.00	136.22	93.0	126.68
09/30/2017	FEAR, DUANE	JNL PERSPECTIVE L	76,699.82	63.92	0.00	63.92	93.0	59.45
09/30/2017	FEAR, LINDA	JNL PERSPECTIVE L	43,581.81	36.32	0.00	36.32	93.0	33.78
09/30/2017	ESLINGER, PATRICIA	JNL PERSPECTIVE L	24,162.47	20.14	0.00	20.14	93.0	18.73
09/30/2017	OLSON, MARK	JNL PERSPECTIVE L	351,919.39	293.27	0.00	293.27	93.0	272.74
09/30/2017	CHAPMAN, FRANCIS	JNL PERSPECTIVE L	312,116.33	260.10	0.00	260.10	93.0	241.89
09/30/2017	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	35,676.99	29.73	0.00	29.73	93.0	27.65
09/30/2017	ZIEGLER, DIANNE	JNL PERSPECTIVE L	15,166.67	12.64	0.00	12.64	93.0	11.76
09/30/2017	GRUBISH, MAHLON	JNL PERSPECTIVE L	380,823.84	317.35	0.00	317.35	93.0	295.14
09/30/2017	ROSS TRUST, MARY JO	JNL PERSPECTIVE L	991,846.01	826.54	0.00	826.54	93.0	768.68
09/30/2017	ANDERSON, DONALD	JNL PERSPECTIVE L	158,640.23	132.20	0.00	132.20	93.0	122.95
09/30/2017	ROY, JAMES	JNL PERSPECTIVE L	209,966.35	174.97	0.00	174.97	93.0	162.72
09/30/2017	OLSON, BRENDA	JNL PERSPECTIVE L	54,210.28	45.18	0.00	45.18	93.0	42.02
09/30/2017	OLSON, BRYCE	JNL PERSPECTIVE L	439,162.52	365.97	0.00	365.97	93.0	340.35
09/30/2017	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	262,874.10	219.06	0.00	219.06	93.0	203.73
09/30/2017	GOFF, WESLEY	JNL PERSPECTIVE L	33,174.84	27.65	0.00	27.65	93.0	25.71
09/30/2017	CONRAD, RONALD	JNL PERSPECTIVE L	132,517.24	110.43	0.00	110.43	93.0	102.70
09/30/2017	CONRAD, DELBERT L & ELL	JNL PERSPECTIVE L	455,901.20	379.92	0.00	379.92	93.0	353.33
09/30/2017	GRAFF, BRENT	JNL PERSPECTIVE L	60,545.89	50.45	0.00	50.45	93.0	46.92
09/30/2017	FOSTER, RODNEY	JNL PERSPECTIVE L	192,796.40	160.66	0.00	160.66	93.0	149.41
09/30/2017	SKELLY, SCOTT	JNL PERSPECTIVE L	240,481.60	200.40	0.00	200.40	93.0	186.37
09/30/2017	SKELLY, BRENDA	JNL PERSPECTIVE L	26,780.33	22.32	0.00	22.32	93.0	20.76
09/30/2017	KRAFT, JUDITH	JNL PERSPECTIVE L	162,205.09	135.17	0.00	135.17	93.0	125.71
09/30/2017	SEVERSON, STANLEY	JNL PERSPECTIVE L	283,445.24	236.20	0.00	236.20	93.0	219.67
09/30/2017	KRAFT, JUDITH	JNL PERSPECTIVE L	103,953.98	86.63	0.00	86.63	93.0	80.57
09/30/2017	GUNDERSON, HOLLY	JNL PERSPECTIVE L	39,528.61	32.94	0.00	32.94	93.0	30.63
09/30/2017	SEVERSON, JOYCE	JNL PERSPECTIVE L	118,734.86	98.95	0.00	98.95	93.0	92.02

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09/30/2017	GUNDERSON, BRIAN	JNL PERSPECTIVE L	97,516.37	81.26	0.00	81.26	93.0	75.57
09/30/2017	SIEBERG, JOSEPH	JNL PERSPECTIVE L	71,225.20	59.35	0.00	59.35	93.0	55.20
09/30/2017	SIEBERG, DIANE	JNL PERSPECTIVE L	25,191.10	20.99	0.00	20.99	93.0	19.52
09/30/2017	CONRAD, DELBERT	JNL PERSPECTIVE L	112,112.58	93.43	0.00	93.43	93.0	86.89
09/30/2017	NELSON, BRENDA	JNL PERSPECTIVE L	242,697.85	202.25	0.00	202.25	93.0	188.09
09/30/2017	DORN, SANDRA	JNL PERSPECTIVE L	500,762.20	417.30	0.00	417.30	93.0	388.09
09/30/2017	HAGEN, LINDA	JNL PERSPECTIVE L	1,747,920.44	1,456.60	0.00	1,456.60	93.0	1,354.64
09/30/2017	HUGGINS, JEANNE	JNL PERSPECTIVE L	192,798.32	160.67	0.00	160.67	93.0	149.42
09/30/2017	THOMPSON, MAX	JNL PERSPECTIVE L	148,587.82	123.82	0.00	123.82	93.0	115.15
09/30/2017	THOMPSON, JO ANN	JNL PERSPECTIVE L	175,514.62	146.26	0.00	146.26	93.0	136.02
09/30/2017	THOMPSON, MAX	JNL PERSPECTIVE L	483,818.59	403.18	0.00	403.18	93.0	374.96
09/30/2017	HUGGINS, TONY	JNL PERSPECTIVE L	300,233.95	250.19	0.00	250.19	93.0	232.68
09/30/2017	HOWELL, NICOLE	JNL PERSPECTIVE L	202,886.04	169.07	0.00	169.07	93.0	157.24
09/30/2017	STIERLEN, ROGER	JNL PERSPECTIVE L	44,546.95	37.12	0.00	37.12	93.0	34.52
09/30/2017	WOLFF, LINDA	JNL PERSPECTIVE L	719,447.80	599.54	0.00	599.54	93.0	557.57
09/30/2017	OTTO, JANET	JNL PERSPECTIVE L	24,920.91	20.77	0.00	20.77	93.0	19.32
09/30/2017	OTTO, JAMES	JNL PERSPECTIVE L	598,301.68	498.58	0.00	498.58	93.0	463.68
09/30/2017	BUCK, DELORES	JNL PERSPECTIVE L	255,711.74	213.09	0.00	213.09	93.0	198.17
09/30/2017	BRIELMAIER, MICHELE	JNL PERSPECTIVE L	234,248.13	195.21	0.00	195.21	93.0	181.55
09/30/2017	KLEMENT, GARY	JNL PERSPECTIVE L	701,118.42	584.27	0.00	584.27	93.0	543.37
09/30/2017	KLEMENT, GARY	JNL PERSPECTIVE L	697,049.49	580.87	0.00	580.87	93.0	540.21
09/30/2017	CLOW, SANDRA	JNL PERSPECTIVE L	469,576.79	391.31	0.00	391.31	93.0	363.92
09/30/2017	FAMILY CHARITABLE REMAIND,	JNL PERSPECTIVE L	736,229.47	613.52	0.00	613.52	93.0	570.57
09/30/2017	DAWSON, DEANNA	JNL PERSPECTIVE L	152,360.89	126.97	0.00	126.97	93.0	118.08
09/30/2017	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	33,188.81	27.66	0.00	27.66	93.0	25.72
09/30/2017	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	64,607.02	53.84	0.00	53.84	93.0	50.07
09/30/2017	DAWSON, DEANNA	JNL PERSPECTIVE L	36,759.18	30.63	0.00	30.63	93.0	28.49
09/30/2017	GARTNER, MARIE	JNL PERSPECTIVE L	165,853.82	138.21	0.00	138.21	93.0	128.54
09/30/2017	GARTNER, LEONARD	JNL PERSPECTIVE L	1,138,996.30	949.16	0.00	949.16	93.0	882.72
09/30/2017	GARTNER, LEONARD	JNL PERSPECTIVE L	207,895.18	173.25	0.00	173.25	93.0	161.12
09/30/2017	FITTERER, RUTH	JNL PERSPECTIVE L	204,368.95	170.31	0.00	170.31	93.0	158.39
09/30/2017	MICHEL, DAVID	JNL PERSPECTIVE L	132,869.42	110.72	0.00	110.72	93.0	102.97
09/30/2017	MICHEL, BEVERLEY	JNL PERSPECTIVE L	314,385.62	261.99	0.00	261.99	93.0	243.65

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/30/2017	HANSON, JOY	JNL PERSPECTIVE L	205,184.69	170.99	0.00	170.99	93.0	159.02
09/30/2017	SCHOEN, RICHARD	JNL PERSPECTIVE L	535,762.29	446.47	0.00	446.47	93.0	415.22
09/30/2017	SCHOEN, RICHARD	JNL PERSPECTIVE L	599,033.12	499.19	0.00	499.19	93.0	464.25
09/30/2017	ROBINSON, MARILYN	JNL PERSPECTIVE L	136,410.85	113.68	0.00	113.68	93.0	105.72
09/30/2017	FOSTER, RICHARD	JNL PERSPECTIVE L	1,001,619.43	834.68	0.00	834.68	93.0	776.25
09/30/2017	STAFFEN, ROBERT	JNL PERSPECTIVE L	115,044.70	95.87	0.00	95.87	93.0	89.16
09/30/2017	TURNER, MYRNA	JNL PERSPECTIVE L	179,519.75	149.60	0.00	149.60	93.0	139.13
09/30/2017	TURNER, WENDELL &	JNL PERSPECTIVE L	164,077.48	136.73	0.00	136.73	93.0	127.16
09/30/2017	BENZ, FORREST	JNL PERSPECTIVE L	30,375.42	25.31	0.00	25.31	93.0	23.54
09/30/2017	BENZ, FORREST	JNL PERSPECTIVE L	263,667.85	219.72	0.00	219.72	93.0	204.34
09/30/2017	WEIBLE, DEAN	JNL PERSPECTIVE L	47,974.99	39.98	0.00	39.98	93.0	37.18
09/30/2017	SOUKUP, LAVERN	JNL PERSPECTIVE L	253,832.04	211.53	0.00	211.53	93.0	196.72
09/30/2017	JOHNSON, HERMIT	JNL PERSPECTIVE L	119,172.76	99.31	0.00	99.31	93.0	92.36
09/30/2017	BOUDREAU, WILLIAM	JNL PERSPECTIVE L	404,380.82	336.98	0.00	336.98	93.0	313.39
09/30/2017	SHEARER, KAREN	JNL PERSPECTIVE L	119,310.90	99.43	0.00	99.43	93.0	92.47
09/30/2017	SHEARER, DONALD	JNL PERSPECTIVE L	322,839.21	269.03	0.00	269.03	93.0	250.20
09/30/2017	JOHNSON, JESSE	JNL PERSPECTIVE L	111,539.76	92.95	0.00	92.95	93.0	86.44
09/30/2017	JOHNSON, HERMIT	JNL PERSPECTIVE L	7,899.75	6.58	0.00	6.58	93.0	6.12
09/30/2017	JOHNSON, KAREN	JNL PERSPECTIVE L	7,896.63	6.58	0.00	6.58	93.0	6.12
09/30/2017	SNYDER, CYNTHIA	JNL PERSPECTIVE L	28,685.30	23.90	0.00	23.90	93.0	22.23
09/30/2017	STEVENS, SANDRA	JNL PERSPECTIVE L	86,462.90	72.05	0.00	72.05	93.0	67.01
09/30/2017	STEVENS, SANDRA	JNL PERSPECTIVE L	23,895.97	19.91	0.00	19.91	93.0	18.52
09/30/2017	STEVENS, GREGORY	JNL PERSPECTIVE L	81,256.08	67.71	0.00	67.71	93.0	62.97
09/30/2017	LOE, TIMOTHY	JNL PERSPECTIVE L	123,505.53	66.90	0.00	66.90	93.0	62.22
09/30/2017	WOLFF, LINDA	JNL PERSPECTIVE L	123,505.52	66.90	0.00	66.90	93.0	62.22
09/30/2017	WOLFF, LINDA	JNL PERSPECTIVE L	133,834.16	111.53	0.00	111.53	93.0	103.72
09/30/2017	LABRIER, JOHN	JNL PERSPECTIVE L	74,002.43	61.67	0.00	61.67	93.0	57.35
09/30/2017	LABRIER, PAULA	JNL PERSPECTIVE L	15,363.42	12.80	0.00	12.80	93.0	11.90
09/30/2017	WETZELL, PAMELA	JNL PERSPECTIVE L	87,509.11	72.92	0.00	72.92	93.0	67.82
09/30/2017	JOHNSON, SHERI	JNL PERSPECTIVE L	156,501.33	130.42	0.00	130.42	93.0	121.29
09/30/2017	MULFORD, PATRICIA	JOHN HANCOCK VANTAGE	252,769.34	210.64	0.00	210.64	93.0	195.90
09/30/2017	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	40,389.19	33.66	0.00	33.66	93.0	31.30
09/30/2017	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	34,215.99	28.51	0.00	28.51	93.0	26.51

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
09/30/2017	BOESCH, RODNEY	JOHN HANCOCK VENTURE	150,343.13	31.32	0.00	31.32	93.0	29.13
09/27/2017	SIVANICH, DONALD	PACIFIC LIFE STEP UP VA	0.00	100.78	0.00	100.78	93.0	93.73
09/30/2017	OLSON, BRYCE	PRU AS ADVISOR PLAN III	132,690.19	82.93	0.00	82.93	93.0	77.12
09/30/2017	OLSON, BRENDA	PRU PREMIER RETIREMENT L	85,178.42	212.95	0.00	212.95	93.0	198.04
09/01/2017	GRONAU, ROLAND	TRANS LIFE LANDMARK 2003 VA	23,994.86	20.00	0.00	20.00	93.0	18.60
09/09/2017	JACKSON, SHARON	TRANS LIFE LANDMARK 2003 VA	461,766.14	384.81	0.00	384.81	93.0	357.87
09/17/2017	ROBINSON, CHARLES	TRANS LIFE LANDMARK 2003 VA	115,267.52	96.06	0.00	96.06	93.0	89.34
09/18/2017	ROBINSON, MARILYN	TRANS LIFE LANDMARK 2003 VA	205,987.65	171.66	0.00	171.66	93.0	159.64
09/19/2017	STEVENS, JANICE	TRANSAMERICA CATALYST	16,927.65	3.53	0.00	3.53	93.0	3.28
09/01/2017	STEVENS, DOUGLAS	TRANSAMERICA CLASSIC	12,005.55	2.50	0.00	2.50	93.0	2.33
09/24/2017	KIRCHNER, JACOB	TRANSAMERICA CLASSIC	17,047.17	3.55	0.00	3.55	93.0	3.30
09/04/2017	SEVERSON, STEVEN	TRANSAMERICA EXTRA	174,876.59	145.73	0.00	145.73	93.0	135.53
09/08/2017	KIRCHNER, DUANE	TRANSAMERICA EXTRA	44,532.29	37.11	0.00	37.11	93.0	34.51
09/09/2017	DRESSEN, KATHRYN	TRANSAMERICA EXTRA	317,843.20	264.87	0.00	264.87	93.0	246.33
09/09/2017	VOIGHT, RICKY	TRANSAMERICA EXTRA	656,004.44	546.67	0.00	546.67	93.0	508.40
09/09/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	23,904.56	19.92	0.00	19.92	93.0	18.53
09/11/2017	MICHALETZ, CHRISTINE	TRANSAMERICA EXTRA	6,405.24	5.34	0.00	5.34	93.0	4.97
09/13/2017	VETTER, LORI	TRANSAMERICA EXTRA	35,583.87	29.65	0.00	29.65	93.0	27.57
09/13/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	18,196.52	15.16	0.00	15.16	93.0	14.10
09/13/2017	VOIGHT, RICKY	TRANSAMERICA EXTRA	6,463.11	5.39	0.00	5.39	93.0	5.01
09/13/2017	TRIPP, TIMOTHY	TRANSAMERICA EXTRA	24,521.23	20.43	0.00	20.43	93.0	19.00
09/14/2017	GRAFF, JOYCE	TRANSAMERICA EXTRA	48,074.38	40.06	0.00	40.06	93.0	37.26
09/14/2017	GRAFF, JOYCE	TRANSAMERICA EXTRA	16,889.78	14.07	0.00	14.07	93.0	13.09
09/17/2017	ELLIS, ROSEMARY	TRANSAMERICA EXTRA	229,769.59	191.47	0.00	191.47	93.0	178.07
09/19/2017	WETZELL, THOMAS	TRANSAMERICA EXTRA	1,317,535.13	1,097.95	0.00	1,097.95	93.0	1,021.09
09/19/2017	VANDERHOOF, DONALD	TRANSAMERICA EXTRA	7,633.73	6.36	0.00	6.36	93.0	5.91
09/19/2017	LEVIK, LADONNA	TRANSAMERICA EXTRA	220,852.12	184.04	0.00	184.04	93.0	171.16
09/20/2017	GEWERTH, CHERYL	TRANSAMERICA EXTRA	18,631.63	15.53	0.00	15.53	93.0	14.44
09/21/2017	VOTCA, JOHN	TRANSAMERICA EXTRA	63,845.39	53.20	0.00	53.20	93.0	49.48
09/21/2017	KRONBACK, EUGENE	TRANSAMERICA EXTRA	55,298.48	46.08	0.00	46.08	93.0	42.85
09/21/2017	GRISWOLD, ELLEN	TRANSAMERICA EXTRA	34,160.58	28.47	0.00	28.47	93.0	26.48
09/23/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	31,588.49	26.32	0.00	26.32	93.0	24.48
09/27/2017	BOESCH, RODNEY	TRANSAMERICA EXTRA	52,558.82	43.80	0.00	43.80	93.0	40.73

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/29/2017	BULLER, CAROL	TRANSAMERICA EXTRA	13,742.59	11.45	0.00	11.45	93.0	10.65
09/29/2017	KIRCHNER, JANET	TRANSAMERICA EXTRA	29,941.41	24.95	0.00	24.95	93.0	23.20
09/01/2017	BOESCH, JEFFERY	TRANSAMERICA EXTRA 89352F889	225,973.18	188.31	0.00	188.31	93.0	175.13
09/07/2017	MICHALETZ, JOSEPH	TRANSAMERICA EXTRA 89352F889	12,689.98	10.57	0.00	10.57	93.0	9.83
09/10/2017	HORNING, DONALD	TRANSAMERICA EXTRA 89352F889	112,958.07	94.13	0.00	94.13	93.0	87.54
09/10/2017	BRAUN, VIVIAN	TRANSAMERICA EXTRA 89352F889	971,767.00	809.81	0.00	809.81	93.0	753.12
09/10/2017	BRAUN, VIVIAN	TRANSAMERICA EXTRA 89352F889	791,211.02	659.34	0.00	659.34	93.0	613.19
09/16/2017	FEMRITE, LYLE	TRANSAMERICA EXTRA 89352F889	78,173.23	65.14	0.00	65.14	93.0	60.58
09/23/2017	PAULSEN, TAMI	TRANSAMERICA EXTRA 89352F889	66,379.77	55.32	0.00	55.32	93.0	51.45
09/23/2017	PAULSEN, BRYAN	TRANSAMERICA EXTRA 89352F889	177,524.58	147.94	0.00	147.94	93.0	137.58
09/23/2017	FOSTER, RODNEY	TRANSAMERICA EXTRA 89352F889	1,298,759.98	1,082.30	0.00	1,082.30	93.0	1,006.54
09/23/2017	FOSTER, BARBARA	TRANSAMERICA EXTRA 89352F889	287,882.98	239.90	0.00	239.90	93.0	223.11
09/01/2017	IRELAND, DANIEL	TRANSAMERICA LANDMARK	102,745.31	85.62	0.00	85.62	93.0	79.63
09/02/2017	PLOTZ, LEO	TRANSAMERICA LANDMARK	331,821.98	276.52	0.00	276.52	93.0	257.16
09/05/2017	TURNER, WENDELL	TRANSAMERICA LANDMARK	118,762.07	98.97	0.00	98.97	93.0	92.04
09/07/2017	SHORE, ROSALIND	TRANSAMERICA LANDMARK	134,074.52	111.73	0.00	111.73	93.0	103.91
09/09/2017	BRIELMAIER, MARK	TRANSAMERICA LANDMARK	71,592.93	59.66	0.00	59.66	93.0	55.48
09/15/2017	BENZ, JERRILYN	TRANSAMERICA LANDMARK	379,105.79	315.92	0.00	315.92	93.0	293.81
09/15/2017	JOHNSON, PAUL	TRANSAMERICA LANDMARK	455,085.64	379.24	0.00	379.24	93.0	352.69
09/18/2017	KLEMENT, LINDA	TRANSAMERICA LANDMARK	14,493.77	12.08	0.00	12.08	93.0	11.23
09/20/2017	BERGLING, PAUL	TRANSAMERICA LANDMARK	501,812.35	418.18	0.00	418.18	93.0	388.91
09/22/2017	DALLMANN, MARGARET	TRANSAMERICA LANDMARK	158,131.28	131.78	0.00	131.78	93.0	122.56
09/22/2017	BERGLING, SHARON	TRANSAMERICA LANDMARK	698,576.40	582.15	0.00	582.15	93.0	541.40
09/25/2017	FITTERER, DELORIS	TRANSAMERICA LANDMARK	50,670.92	42.23	0.00	42.23	93.0	39.27
09/26/2017	DALLMANN, HOWARD	TRANSAMERICA LANDMARK	13,387.11	11.16	0.00	11.16	93.0	10.38
09/28/2017	KLEMENT, LINDA	TRANSAMERICA LANDMARK	69,440.13	57.87	0.00	57.87	93.0	53.82
09/29/2017	SANDER, GLORIAN	TRANSAMERICA LANDMARK	226,886.12	189.07	0.00	189.07	93.0	175.84
09/29/2017	ATKINSON, VONDA	TRANSAMERICA LANDMARK 89352F772	51,956.64	43.30	0.00	43.30	93.0	40.27
09/04/2017	HULST, TIMOTHY	TRANSAMERICA LIBERTY	57,932.54	48.28	0.00	48.28	93.0	44.90
09/11/2017	HAUGH, JOANNE	TRANSAMERICA LIBERTY	36,649.01	30.54	0.00	30.54	93.0	28.40
09/24/2017	MICHALETZ, GERALD	TRANSAMERICA LIBERTY	81,644.11	68.04	0.00	68.04	93.0	63.28
09/24/2017	AYERS, KATHRYN	TRANSAMERICA LIBERTY	215,627.21	179.69	0.00	179.69	93.0	167.11
09/28/2017	TOAY, BARBARA	TRANSAMERICA LIBERTY	821.60	0.68	0.00	0.68	93.0	0.63

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BROKER TRANSACTIONS**PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE**

* VARIABLE ANNUITIES SUBTOTAL *			\$68,045,638.58	\$71,637.56	\$0.00	\$71,637.56		\$66,622.99
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
10/02/2017	FOELS, BRUCE	TRANSAMERICA LIFE	41.75	1.04	0.00	1.04	93.0	0.97
10/03/2017	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	0.00	1,321.39	0.00	1,321.39	93.0	1,228.89
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$41.75	\$1,322.43	\$0.00	\$1,322.43		\$1,229.86

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/18/2017	FITZSIMMONS, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	0.87	0.00	0.87	93.0	0.81
09/18/2017	TURPEN, ERIC	AM FUNDS AMERICAN MUTUAL A	0.00	0.25	0.00	0.25	93.0	0.23
09/18/2017	TURPEN, RACHEL	AM FUNDS AMERICAN MUTUAL A	0.00	0.66	0.00	0.66	93.0	0.61
09/18/2017	FITZSIMMONS, CHRISTINE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.69	0.00	0.69	93.0	0.64
09/18/2017	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.12	0.00	0.12	93.0	0.11
09/18/2017	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.81	0.00	0.81	93.0	0.75
09/18/2017	FITZSIMMONS, CHRISTINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.03	0.00	1.03	93.0	0.96
09/18/2017	LABRIER, PAULA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.70	0.00	1.70	93.0	1.58
09/18/2017	TURPEN, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.11	0.00	0.11	93.0	0.10
09/18/2017	TURPEN, RACHEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.70	0.00	0.70	93.0	0.65
09/18/2017	LOWE, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.55	0.00	1.55	93.0	1.44
09/18/2017	FITZSIMMONS, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.90	0.00	0.90	93.0	0.84
09/18/2017	FITZSIMMONS, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.55	0.00	0.55	93.0	0.51
09/18/2017	LOWE, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.46	0.00	1.46	93.0	1.36
09/18/2017	TURPEN, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.68	0.00	0.68	93.0	0.63
09/18/2017	TURPEN, RACHEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.77	0.00	1.77	93.0	1.65
09/18/2017	TURPEN, ERIC	AM FUNDS NEW ECONOMY A	0.00	0.13	0.00	0.13	93.0	0.12
09/18/2017	TURPEN, RACHEL	AM FUNDS NEW ECONOMY A	0.00	0.34	0.00	0.34	93.0	0.32
09/18/2017	FITZSIMMONS, CHRISTINE	AM FUNDS NEW WORLD A	0.00	0.33	0.00	0.33	93.0	0.31
09/18/2017	TURPEN, ERIC	AM FUNDS NEW WORLD A	0.00	0.11	0.00	0.11	93.0	0.10
09/18/2017	TURPEN, RACHEL	AM FUNDS NEW WORLD A	0.00	0.67	0.00	0.67	93.0	0.62
09/18/2017	TURPEN, ERIC	AM FUNDS SMALLCAP WORLD A	0.00	0.08	0.00	0.08	93.0	0.07
09/18/2017	TURPEN, RACHEL	AM FUNDS SMALLCAP WORLD A	0.00	0.49	0.00	0.49	93.0	0.46
09/18/2017	TURPEN, ERIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.52	0.00	0.52	93.0	0.48
09/18/2017	TURPEN, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.35	0.00	1.35	93.0	1.26
09/15/2017	MICHALETZ TRUST, GERALD & FAYE	AMERICA FIRST INCOME TRENDS FUND CLA	0.00	11.49	0.00	11.49	93.0	10.69

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/18/2017	MICHALETZ TRUST, GERALD & FAYE	AMERICAFIRST INCOME TRENDS FUND CLA	0.00	11.17	0.00	11.17	93.0	10.39
09/20/2017	MICHALETZ TRUST, GERALD & FAYE	AMERICAFIRST INCOME TRENDS FUND CLA	0.00	11.42	0.00	11.42	93.0	10.62
09/15/2017	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.17	0.00	4.17	93.0	3.88
09/15/2017	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.50	0.00	12.50	93.0	11.63
09/15/2017	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	21.28	0.00	21.28	93.0	19.79
09/15/2017	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	63.84	0.00	63.84	93.0	59.37
09/15/2017	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	42.29	0.00	42.29	93.0	39.33
09/15/2017	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	126.87	0.00	126.87	93.0	117.99
09/15/2017	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.14	0.00	2.14	93.0	1.99
09/15/2017	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.42	0.00	6.42	93.0	5.97
09/15/2017	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	31.91	0.00	31.91	93.0	29.68
09/15/2017	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	95.72	0.00	95.72	93.0	89.02
09/13/2017	REILLY, KAREN	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	21.54	0.00	21.54	93.0	20.03
09/13/2017	VAN SISTINE, JEANNE	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	18.61	0.00	18.61	93.0	17.31
09/13/2017	REINKE, RONALD	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	2.78	0.00	2.78	93.0	2.59
09/18/2017	REILLY, KAREN	EATON VANCE TAX MANAGED VALUE FD CL	0.00	8.61	0.00	8.61	93.0	8.01
09/18/2017	VAN SISTINE, JEANNE	EATON VANCE TAX MANAGED VALUE FD CL	0.00	16.33	0.00	16.33	93.0	15.19
09/15/2017	TURNER, WANDA	JH HIGH YIELD A	0.00	1.41	0.00	1.41	93.0	1.31
09/15/2017	REINKE, RONALD	JH LARGE CAP EQUITY A	0.00	3.91	0.00	3.91	93.0	3.64
09/15/2017	REILLY, KAREN	JH NEW OPPORTUNITIES A	0.00	8.84	0.00	8.84	93.0	8.22
09/15/2017	VAN SISTINE, JEANNE	JH NEW OPPORTUNITIES A	0.00	8.24	0.00	8.24	93.0	7.66
09/14/2017	PLOTZ, ROSANN	JP MORGAN GROWTH AND INCOME FUND CL	0.00	0.46	0.00	0.46	93.0	0.43
09/14/2017	REILLY, KAREN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	7.72	0.00	7.72	93.0	7.18
09/14/2017	POLTZ, ALAN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	0.72	0.00	0.72	93.0	0.67
09/14/2017	REINKE, RONALD	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	4.00	0.00	4.00	93.0	3.72
09/14/2017	SCHLOMANN, DAVID	MFS GROWTH ALLOCATION C	0.00	13.28	0.00	13.28	93.0	12.35
09/14/2017	SCHLOMANN, DAVID	MFS MODERATE ALLOCATION C	0.00	5.41	0.00	5.41	93.0	5.03
09/14/2017	SCHLOMANN, ANN	MFS MODERATE ALLOCATION C	0.00	8.13	0.00	8.13	93.0	7.56
09/14/2017	POLTZ, ALAN	MFS RESEARCH A	0.00	0.49	0.00	0.49	93.0	0.46
09/19/2017	REINKE, RONALD	PUTNAM HIGH YIELD ADVANTAGE A	0.00	3.60	0.00	3.60	93.0	3.35
09/13/2017	OTTO, CHRISTOPHER	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.66	0.00	0.66	93.0	0.61
09/13/2017	REILLY, KAREN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.20	0.00	4.20	93.0	3.91
09/18/2017	SWANSON, GLENN	TRANSAMERICA AA CONSERVATIVE C	0.00	26.05	0.00	26.05	93.0	24.23

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
09/18/2017	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH A	0.00	2.35	0.00	2.35	93.0	2.19
09/18/2017	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	0.00	0.89	0.00	0.89	93.0	0.83
09/18/2017	BOUDREAU, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	12.11	0.00	12.11	93.0	11.26
09/18/2017	BOUDREAU, DIANE	TRANSAMERICA AA GROWTH C	0.00	24.71	0.00	24.71	93.0	22.98
09/18/2017	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	3.25	0.00	3.25	93.0	3.02
09/18/2017	GRUBISH, JAMES	TRANSAMERICA AA GROWTH C	0.00	30.52	0.00	30.52	93.0	28.38
09/18/2017	LILLEVOLD, KATHRYN	TRANSAMERICA AA GROWTH C	0.00	15.11	0.00	15.11	93.0	14.05
09/18/2017	FITTERER TRUST, RUTH	TRANSAMERICA AA GROWTH C	0.00	72.22	0.00	72.22	93.0	67.16
09/18/2017	VETTER, BRIAN	TRANSAMERICA AA MOD GROWTH C	0.00	4.96	0.00	4.96	93.0	4.61
09/18/2017	SWANSON, GLENN	TRANSAMERICA AA MOD GROWTH C	0.00	33.33	0.00	33.33	93.0	31.00
09/18/2017	FITTERER TRUST, RUTH	TRANSAMERICA AA MOD GROWTH C	0.00	66.26	0.00	66.26	93.0	61.62
09/18/2017	VETTER, BRIAN	TRANSAMERICA AA MODERATE C	0.00	1.99	0.00	1.99	93.0	1.85
09/18/2017	OTTO, CHRISTOPHER	TRANSAMERICA CAPITAL GROWTH A	0.00	0.73	0.00	0.73	93.0	0.68
09/18/2017	OTTO, CHRISTOPHER	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.74	0.00	0.74	93.0	0.69
09/18/2017	OTTO, CHRISTOPHER	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	0.91	0.00	0.91	93.0	0.85
09/18/2017	OTTO, CHRISTOPHER	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	1.20	0.00	1.20	93.0	1.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$895.36	\$0.00	\$895.36		\$832.71
*** BROKER TRANSACTIONS TOTAL ***			\$70,546,894.49	\$232,236.95	\$124.50	\$232,112.45		\$215,864.64

SPLIT TRANSACTIONS**BROKER: 46G-JOE/JACK MICHALETZ #290**

09/15/2017	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	8.49	0.00	8.49	93.0	7.90
09/15/2017	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	25.48	0.00	25.48	93.0	23.70
09/29/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	1.32	0.00	1.32	93.0	1.23
09/29/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	1.06	0.00	1.06	93.0	0.99
09/29/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	1.06	0.00	1.06	93.0	0.99
09/29/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.79	0.00	0.79	93.0	0.73
10/02/2017	REINHART, RANDALL	RRTY CANADIAN RIVER ROYALTIES LLC	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
* 46G-JOE/JACK MICHALETZ SUBTOTAL *			\$50,422.40	\$3,038.20	\$0.00	\$3,038.20		\$2,825.54
*** SPLIT TRANSACTIONS TOTAL ***			\$50,422.40	\$3,038.20	\$0.00	\$3,038.20		\$2,825.54

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK MICHALETZ #287 </u>								
09/29/2017	ZABEL, DENNIS	ALLIANZ VISION BASIC	128,235.24	320.59	0.00	320.59	0.0	298.15
09/29/2017	GEHRKE, DEBORAH	ALLIANZ VISION BASIC	32,301.41	40.38	0.00	40.38	0.0	37.55
09/29/2017	STEPHENS, GREG	ALLIANZ VISION BASIC	63,022.58	157.56	0.00	157.56	0.0	146.53
10/03/2017	STEPHENS, GREG	AM GEN POLARIS CHOICE IV	40,702.58	101.76	0.00	101.76	0.0	94.64
09/29/2017	FEMRITE, LYLE	AXA ACCUM 04 ELITE (RO IRA)	79,438.84	218.46	0.00	218.46	0.0	203.17
09/29/2017	VANTOL, LARRY	AXA REITREMENT CORNERSTONE 13 L	43,251.27	108.13	0.00	108.13	0.0	100.56
09/29/2017	STEFFL, JOE	AXA REITREMENT CORNERSTONE 13 L	515,055.78	1,287.64	0.00	1,287.64	0.0	1,197.51
09/27/2017	ESLINGER, JEREMY	COTTONWOOD MULTIFAMILY REIT II	35,000.00	2,100.00	0.00	2,100.00	0.0	1,953.00
09/18/2017	TRUJILLO, TYLER	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	0.0	3,255.00
10/02/2017	VAN TOL, KATHERINE	GPB AUTOMOTIVE PORTFOLIO LP	53,000.00	3,710.00	0.00	3,710.00	0.0	3,450.30
09/29/2017	JEWISON, KIM	JNL PERSPECTIVE II 04/14	41,214.11	824.28	0.00	824.28	0.0	766.58
09/30/2017	FIRST CONGREGATIONAL	JNL PERSPECTIVE II 04/14	172,454.12	35.92	0.00	35.92	0.0	33.41
09/30/2017	STEFFL, JAMES	JNL PERSPECTIVE II 04/14	156,874.16	32.68	0.00	32.68	0.0	30.39
09/30/2017	ZOARS UNITED CHURCH OF CH	JNL PERSPECTIVE II 04/14	34,397.55	7.17	0.00	7.17	0.0	6.67
10/06/2017	ZUPFER, JANE	JNL PERSPECTIVE II 04/14	550,000.00	11,000.00	0.00	11,000.00	0.0	10,230.00
09/30/2017	OTTO, CURTIS	JNL PERSPECTIVE II 05/05	8,281.02	6.90	0.00	6.90	0.0	6.42
09/30/2017	PETERSON, IONE	JNL PERSPECTIVE L	128,223.92	138.90	0.00	138.90	0.0	129.18
09/30/2017	PANKRATZ, SUSAN	JNL PERSPECTIVE L	115,943.42	96.62	0.00	96.62	0.0	89.86
09/30/2017	PANKRATZ, EDWARD	JNL PERSPECTIVE L	208,349.95	173.62	0.00	173.62	0.0	161.47
09/30/2017	DAHLKE, RONALD	JNL PERSPECTIVE L	9,091.13	7.58	0.00	7.58	0.0	7.05
09/30/2017	HOL, PATRICIA	JNL PERSPECTIVE L	127,011.05	105.84	0.00	105.84	0.0	98.43
09/30/2017	HAGER, REBECCA	JNL PERSPECTIVE L	63,282.00	52.73	0.00	52.73	0.0	49.04
09/30/2017	MARSH, JAMES	JNL PERSPECTIVE L	38,625.83	32.19	0.00	32.19	0.0	29.94
09/30/2017	FEAR, WILLIAM	JNL PERSPECTIVE L	137,282.72	114.40	0.00	114.40	0.0	106.39
09/30/2017	PEMBLE, MICHELLE	JNL PERSPECTIVE L	299,003.73	249.17	0.00	249.17	0.0	231.73
09/30/2017	HART, JILLANE	JNL PERSPECTIVE L	96,761.94	80.64	0.00	80.64	0.0	75.00
09/30/2017	HART, KEVIN	JNL PERSPECTIVE L	286,048.13	238.38	0.00	238.38	0.0	221.69
09/30/2017	STEFFL, CHRISTINE	JNL PERSPECTIVE L	24,559.79	20.47	0.00	20.47	0.0	19.04
09/30/2017	BEVAN, HELEN	JNL PERSPECTIVE L	38,489.06	32.08	0.00	32.08	0.0	29.83
10/05/2017	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	12.00	-9.72	0.0	-9.04
10/02/2017	CONNOLLY, BARBARA	PUTNAM EQUITY INCOME A	0.00	2.20	0.00	2.20	0.0	2.05
10/05/2017	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	12.00	-9.73	0.0	-9.05
10/02/2017	PLAN	PUTNAM GROWTH OPP A	0.00	1.24	0.00	1.24	0.0	1.15

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
10/03/2017	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH A	0.00	1.26	0.00	1.26	0.0	1.17
10/03/2017	PAHL, JASON	TRANSAMERICA AA GROWTH A	0.00	6.91	0.00	6.91	0.0	6.43
10/03/2017	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	0.00	3.67	0.00	3.67	0.0	3.41
10/03/2017	PROKOP, GRANT	TRANSAMERICA AA GROWTH A	0.00	1.21	0.00	1.21	0.0	1.13
10/03/2017	PROKOP, GRANT	TRANSAMERICA AA GROWTH A	0.00	0.81	0.00	0.81	0.0	0.75
10/03/2017	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	0.00	1.07	0.00	1.07	0.0	1.00
09/25/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	104.33	1.04	0.00	1.04	0.0	0.97
09/25/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	104.33	1.04	0.00	1.04	0.0	0.97
09/29/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	46.43	0.46	0.00	0.46	0.0	0.43
09/29/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	46.43	0.46	0.00	0.46	0.0	0.43
09/29/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
09/29/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
09/29/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	62.28	0.62	0.00	0.62	0.0	0.58
09/29/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	62.28	0.62	0.00	0.62	0.0	0.58
09/29/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	57.12	0.57	0.00	0.57	0.0	0.53
09/29/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	57.12	0.57	0.00	0.57	0.0	0.53
10/03/2017	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.75	0.00	2.75	0.0	2.56
10/03/2017	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	5.65	0.00	5.65	0.0	5.25
10/03/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	0.00	50.13	0.00	50.13	0.0	46.62
10/03/2017	IRELAND, DANIEL	TRANSAMERICA AA GROWTH C	0.00	43.62	0.00	43.62	0.0	40.57
10/03/2017	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	37.62	0.00	37.62	0.0	34.99
10/03/2017	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	37.62	0.00	37.62	0.0	34.99
10/03/2017	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.47	0.00	5.47	0.0	5.09
10/03/2017	FITTERER, PAMELA	TRANSAMERICA AA GROWTH C	0.00	16.80	0.00	16.80	0.0	15.62
10/03/2017	DORN, SANDRA	TRANSAMERICA AA GROWTH C	0.00	5.70	0.00	5.70	0.0	5.30
10/03/2017	FITTERER, EMILY	TRANSAMERICA AA GROWTH C	0.00	1.75	0.00	1.75	0.0	1.63
10/03/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	16.15	0.00	16.15	0.0	15.02
10/03/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	49.47	0.00	49.47	0.0	46.01
10/03/2017	FITTERER, SAMUEL	TRANSAMERICA AA GROWTH C	0.00	1.52	0.00	1.52	0.0	1.41
10/03/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	42.70	0.00	42.70	0.0	39.71
10/03/2017	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	6.80	0.00	6.80	0.0	6.32
10/03/2017	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	7.76	0.00	7.76	0.0	7.22
10/03/2017	MCRAE, HEATHER	TRANSAMERICA AA GROWTH C	0.00	1.69	0.00	1.69	0.0	1.57

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
10/03/2017	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.58	0.00	2.58	0.0	2.40
10/03/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	0.00	7.44	0.00	7.44	0.0	6.92
10/03/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	17.80	0.00	17.80	0.0	16.55
10/03/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	0.00	17.13	0.00	17.13	0.0	15.93
10/03/2017	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	11.34	0.00	11.34	0.0	10.55
10/03/2017	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.13	0.00	2.13	0.0	1.98
10/03/2017	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.15	0.00	2.15	0.0	2.00
10/03/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.39	0.00	1.39	0.0	1.29
10/03/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.57	0.00	3.57	0.0	3.32
10/03/2017	MICHALETZ, ADISYN	TRANSAMERICA AA GROWTH C	0.00	1.11	0.00	1.11	0.0	1.03
10/03/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.08	0.00	1.08	0.0	1.00
10/03/2017	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.62	0.00	5.62	0.0	5.23
10/03/2017	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	20.59	0.00	20.59	0.0	19.15
10/03/2017	ROY TOD, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	9.32	0.00	9.32	0.0	8.67
10/03/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	4.91	0.00	4.91	0.0	4.57
10/03/2017	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	3.77	0.00	3.77	0.0	3.51
10/03/2017	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	7.89	0.00	7.89	0.0	7.34
10/03/2017	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	2.07	0.00	2.07	0.0	1.93
10/03/2017	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.29	0.00	8.29	0.0	7.71
10/03/2017	SIEBERG, KYLE	TRANSAMERICA AA GROWTH C	0.00	2.87	0.00	2.87	0.0	2.67
10/03/2017	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	12.98	0.00	12.98	0.0	12.07
10/03/2017	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	15.17	0.00	15.17	0.0	14.11
10/03/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	0.00	0.56	0.00	0.56	0.0	0.52
10/03/2017	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.65	0.00	4.65	0.0	4.32
10/03/2017	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.82	0.00	1.82	0.0	1.69
10/03/2017	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.74	0.00	2.74	0.0	2.55
10/03/2017	BOESCH, SKYE	TRANSAMERICA AA GROWTH C	0.00	1.75	0.00	1.75	0.0	1.63
10/03/2017	FLYGARE, MATTHEW	TRANSAMERICA AA GROWTH C	0.00	2.97	0.00	2.97	0.0	2.76
10/03/2017	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.92	0.00	0.92	0.0	0.86
10/03/2017	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.23	0.00	4.23	0.0	3.93
10/03/2017	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH C	0.00	4.09	0.00	4.09	0.0	3.80
10/03/2017	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH C	0.00	6.93	0.00	6.93	0.0	6.44
10/05/2017	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	12.00	-9.00	0.0	-8.37

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
09/15/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	-250.00	-2.50	0.00	-2.50	0.0	-2.33
09/25/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	178.61	1.79	0.00	1.79	0.0	1.66
09/25/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	178.61	1.79	0.00	1.79	0.0	1.66
10/03/2017	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	0.00	6.47	0.00	6.47	0.0	6.02
10/03/2017	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.24	0.00	3.24	0.0	3.01
10/03/2017	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	4.99	0.00	4.99	0.0	4.64
10/03/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	45.02	0.00	45.02	0.0	41.87
10/03/2017	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	0.00	3.73	0.00	3.73	0.0	3.47
10/03/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	6.63	0.00	6.63	0.0	6.17
10/03/2017	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.28	0.00	1.28	0.0	1.19
10/03/2017	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.32	0.00	2.32	0.0	2.16
10/03/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	9.75	0.00	9.75	0.0	9.07
10/03/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	0.00	6.50	0.00	6.50	0.0	6.05
10/03/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	6.43	0.00	6.43	0.0	5.98
10/03/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	2.60	0.00	2.60	0.0	2.42
09/16/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	17,462.28	14.55	0.00	14.55	0.0	13.53
09/16/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	17,950.52	14.96	0.00	14.96	0.0	13.91
09/25/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.33
09/25/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.33
09/08/2017	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	84,971.36	70.81	0.00	70.81	0.0	65.85
09/13/2017	BECKWITH, ALTON	TRANSAMERICA LIBERTY	87,916.28	73.26	0.00	73.26	0.0	68.13
* JACK MICHALETZ SUBTOTAL *			\$3,785,785.57	\$25,629.89	\$36.00	\$25,593.89		\$23,802.37
*** OVERRIDE TRANSACTIONS TOTAL ***			\$3,785,785.57	\$25,629.89	\$36.00	\$25,593.89		\$23,802.37

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
10/15/2017		*AI INSIGHT: AI INSIGHT - JACK	0.00					-7.00
10/15/2017		*AI INSIGHT: CURRENT MONTH - JANE	0.00					-7.00
10/15/2017		*AI INSIGHT: CURRENT MONTH - JOE	0.00					-7.00
10/15/2017		*ALBRIDGE: LIGHT USER - JACK	0.00					-76.00
10/15/2017		*ALBRIDGE: LIGHT USER - JANE	0.00					-76.00
10/15/2017		*ALBRIDGE: LIGHT USER - JOE	0.00					-76.00
10/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					2,519.00
10/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*EO INS: CURRENT MONTH INS-JACK	0.00					-300.00
10/15/2017		*EO INS: CURRENT MONTH INS-JANE	0.00					-300.00
10/15/2017		*GLOBAL RELAY: WEBSITE - JOE	0.00					-15.00
10/15/2017		*LASER APP: CURRENT MONTH - JANE	0.00					-18.00
10/15/2017		*LASER APP: LASER APP - JACK	0.00					-18.00
10/15/2017		*LASER APP: LASER APP - JOE	0.00					-18.00
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-3.00
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-6.00
10/15/2017		*REGISTRATION FEE: VA-INDIVIDUAL	0.00					-30.00
10/15/2017		*TECHNOLOGY: ADMIN - BRIDGET	0.00					-130.00
10/15/2017		*TECHNOLOGY: ADMIN - CHRISTINE	0.00					-135.00
10/15/2017		*TECHNOLOGY: ADMIN - KIMBERLY	0.00					-130.00
10/15/2017		*TECHNOLOGY: ADMIN - NICHOLE	0.00					-135.00
10/15/2017		*TECHNOLOGY: ADMIN - SARAH	0.00					-135.00
10/15/2017		*TECHNOLOGY: BASIC - JANE	0.00					-180.00
10/15/2017		*TECHNOLOGY: BASIC PACKAGE - JACK	0.00					-180.00
10/15/2017		*TECHNOLOGY: BASIC PACKAGE - JOE	0.00					-180.00
10/15/2017		*TECHNOLOGY: LIMITED ADMIN - KENNET	0.00					-60.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-3.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$215,864.64
Split Transactions	\$2,825.54
Override Transactions	\$23,802.37
Adjustments	\$-3.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$242,489.55
Year-to-date Gross	\$5,067,611.26
Year-to-date Earnings	\$4,893,198.37