

COMMISSION STATEMENT

September 29, 2017

EZRA GRAYMAN
7 EDGEWOOD AVEBROKER #: 27
BRANCH: HOME OFFICE

SPRINGFIELD, NJ 07081

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/31/2017	QGV005713	MORGAN STANLEY		FEDERAL NATL MTG ASSN PFD SER T DIV S	-93,900.00	150.00	10.00	140.00	50.0 70.00
09/20/2017	QGV005713	MORGAN STANLEY		FEDERAL NATL MTG ASSN PFD SER T DIV S	-270,000.00	400.00	10.00	390.00	50.0 195.00
08/31/2017	QGV006216	LION		GRUPO SUPERVIELLE SA SPONSORED ADR S	-154,745.88	152.00	10.00	142.00	50.0 71.00
09/01/2017	QGV006216	LION		GRUPO SUPERVIELLE SA SPONSORED ADR S	-101,310.94	98.54	10.00	88.54	50.0 44.27
09/06/2017	QGV006216	LION		GRUPO SUPERVIELLE SA SPONSORED ADR S	-51,875.00	25.00	10.00	15.00	50.0 7.50
09/06/2017	QGV006216	LION		INDEPENDENCE RLTY TR INC COM S	-95,050.00	100.00	10.00	90.00	50.0 45.00
09/06/2017	QGV006216	LION		INDEPENDENCE RLTY TR INC COM S	95,050.00	-100.00	-10.00	-90.00	50.0 -45.00 C
09/06/2017	QGV006216	LION		NOMAD FOODS LTD SHS ISIN#VGG6564A10 S	-178,198.75	125.00	10.00	115.00	50.0 57.50
09/06/2017	QGV006216	LION		NOMAD FOODS LTD SHS ISIN#VGG6564A10 S	178,198.75	-125.00	-10.00	-115.00	50.0 -57.50 C
09/06/2017	QGV006216	LION		NOMAD FOODS LTD SHS ISIN#VGG6564A10 S	-249,478.25	175.00	10.00	165.00	50.0 82.50
09/07/2017	QGV006216	LION		ANTERO MIDSTREAM PARTNERS LP UNIT L S	-314,500.00	100.00	10.00	90.00	50.0 45.00
09/07/2017	QGV006216	LION		CELLECT BIOTECHNOLOGY LTD SPONSORED S	-211,660.00	250.00	10.00	240.00	50.0 120.00
09/07/2017	QGV006216	LION		INSMED INC COM PAR \$ 01 S	-74,081.00	25.00	10.00	15.00	50.0 7.50
09/07/2017	QGV006216	LION		NOMAD FOODS LTD SHS ISIN#VGG6564A10 B	259,374.50	175.00	10.00	165.00	50.0 82.50
09/08/2017	QGV006216	LION		ALTERYX INC COM CL A S	-136,509.10	70.00	10.00	60.00	50.0 30.00
09/08/2017	QGV006216	LION		BCB BANCORP INC COM S	-307,010.00	250.00	10.00	240.00	50.0 120.00
09/08/2017	QGV006216	LION		FS BANCORP INC COM S	-35,812.50	20.00	10.00	10.00	50.0 5.00
09/08/2017	QGV006216	LION		TRITON INTERNATIONAL LTD SHS -A- IS S	-33,500.00	20.00	10.00	10.00	50.0 5.00
09/12/2017	QGV006216	LION		BROOKFIELD INFRASTRUCTURE PARTNERS S	-124,080.00	30.00	10.00	20.00	50.0 10.00
09/12/2017	QGV006216	LION		GRUPO SUPERVIELLE SA SPONSORED ADR B	308,541.88	150.27	10.00	140.27	50.0 70.14
09/13/2017	QGV006216	LION		PORTOLA PHARMACEUTICALS INC COM S	-38,500.00	7.00	10.00	-3.00	50.0 -1.50
09/14/2017	QGV006216	LION		ARRAY BIOPHARMA INC S	-99,573.00	100.00	10.00	90.00	50.0 45.00
09/14/2017	QGV006216	LION		EPIZYME INC COM S	-67,500.00	45.00	10.00	35.00	50.0 17.50
09/14/2017	QGV006216	LION		FIRST DATA CORP NEW COM CL A S	-455,936.00	256.00	10.00	246.00	50.0 123.00
09/14/2017	QGV006216	LION		PERFORMANCE FOOD GROUP CO COM S	-44,775.04	20.00	10.00	10.00	50.0 5.00
09/14/2017	QGV006216	LION		TREMONT MTG TR COM S	-49,700.00	28.00	10.00	18.00	50.0 9.00
09/14/2017	QGV006216	LION		URSTADT BIDDLE PPTYS INC CUM REDEEM B	49,400.00	40.00	10.00	30.00	50.0 15.00
09/15/2017	QGV006216	LION		ARRAY BIOPHARMA INC S	-27,750.00	25.00	10.00	15.00	50.0 7.50
09/15/2017	QGV006216	LION		ARRAY BIOPHARMA INC B	140,223.75	100.00	10.00	90.00	50.0 45.00
09/15/2017	QGV006216	LION		AZUL S A SPONSORED ADR REPSTG PFD I S	-22,400.00	20.00	10.00	10.00	50.0 5.00
09/15/2017	QGV006216	LION		FIRST INTERNET BANCORP COM S	-522,000.00	100.00	10.00	90.00	50.0 45.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
09/15/2017	QGV006216 LION	REATA PHARMACEUTICALS INC CL A	S	-62,000.00	20.00	10.00	10.00	50.0	5.00
09/15/2017	QGV006216 LION	T2 BIOSYSTEMS INC COM	B	44,900.00	100.00	10.00	90.00	50.0	45.00
09/18/2017	QGV006216 LION	ADVANCED MICRO DEVICES INC	S	-259,000.00	100.00	10.00	90.00	50.0	45.00
09/19/2017	QGV006216 LION	ALDEYRA THERAPEUTICS INC COM	S	-10,957.35	20.00	10.00	10.00	50.0	5.00
09/19/2017	QGV006216 LION	MEDIWOUND LTD ORD SHS ISIN#IL001131	S	-50,500.00	100.00	10.00	90.00	50.0	45.00
09/20/2017	QGV006216 LION	ADVANCED MICRO DEVICES INC	S	-1,290,000.00	150.00	10.00	140.00	50.0	70.00
09/20/2017	QGV006216 LION	BEST INC SPONS ADR ISIN# US08653C10	S	-8,036.00	20.00	10.00	10.00	50.0	5.00
09/20/2017	QGV006216 LION	BROOKFIELD BUSINESS PARTNERS L P LI	S	-23,920.00	20.00	10.00	10.00	50.0	5.00
09/20/2017	QGV006216 LION	CELCUITY INC COM	S	-247,000.00	200.00	10.00	190.00	50.0	95.00
09/20/2017	QGV006216 LION	DASEKE INC COMMON STOCK	S	-160,706.56	128.00	10.00	118.00	50.0	59.00
09/20/2017	QGV006216 LION	DESPEGAR COM CORP ORD SHS ISIN#VGG2	S	-37,700.00	20.00	10.00	10.00	50.0	5.00
09/20/2017	QGV006216 LION	KRYSTAL BIOTECH INC COM	S	-15,750.00	20.00	10.00	10.00	50.0	5.00
09/20/2017	QGV006216 LION	NABRIVA THERAPEUTICS PLC REGISTERED	S	-59,999.80	70.00	10.00	60.00	50.0	30.00
09/20/2017	QGV006216 LION	ZAI LAB LTD ADR ISIN# US98887Q1040	S	-12,125.00	20.00	10.00	10.00	50.0	5.00
09/21/2017	QGV006216 LION	BEACON ROOFING SUPPLY INC	S	-77,600.00	20.00	10.00	10.00	50.0	5.00
09/21/2017	QGV006216 LION	EPIZYME INC COM	B	18,641.50	20.00	10.00	10.00	50.0	5.00
09/21/2017	QGV006216 LION	OASIS MIDSTREAM PARTNERS LP COM UNI	S	-30,987.50	20.00	10.00	10.00	50.0	5.00
09/21/2017	QGV006216 LION	VEON LTD ADS	S	-23,968.00	56.00	10.00	46.00	50.0	23.00
09/22/2017	QGV006216 LION	ACCELERON PHARMA INC	S	-126,000.00	36.00	10.00	26.00	50.0	13.00
09/26/2017	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	315,463.10	100.00	10.00	90.00	50.0	45.00
09/26/2017	QGV006216 LION	ADVANCED MICRO DEVICES INC	S	-312,535.00	100.00	10.00	90.00	50.0	45.00
09/26/2017	QGV006216 LION	SABRA HEALTH CARE REIT INC COM	B	160,545.00	77.00	10.00	67.00	50.0	33.50
09/26/2017	QGV006216 LION	YUMA ENERGY INC NEW COM	S	-6,617.00	0.00	10.00	-10.00	50.0	-5.00
09/27/2017	QGV006216 LION	CATALENT INC COM	B	196,875.00	50.00	10.00	40.00	50.0	20.00
09/27/2017	QGV006216 LION	CATALENT INC COM	S	-397,510.00	100.00	10.00	90.00	50.0	45.00
09/27/2017	QGV006216 LION	NUVEEN EMERGING MKTS DEBT 2022 TARG	S	-100,000.00	100.00	10.00	90.00	50.0	45.00
09/27/2017	QGV006216 LION	WESTLAKE CHEMICAL PARTNERS LP COM U	S	-19,800.00	20.00	10.00	10.00	50.0	5.00
09/06/2017	QGV006224 DAVIS FAMILY OFFICE,	INDEPENDENCE RLTY TR INC COM	S	-95,050.00	100.00	10.00	90.00	50.0	45.00
09/06/2017	QGV006224 DAVIS FAMILY OFFICE,	MGM GROWTH PPPTYS LLC COM SHS A	S	-383,500.00	125.00	10.00	115.00	50.0	57.50
09/07/2017	QGV006224 DAVIS FAMILY OFFICE,	2U INC	S	-16,125.00	20.00	10.00	10.00	50.0	5.00
09/07/2017	QGV006224 DAVIS FAMILY OFFICE,	ADVANCED MICRO DEVICES INC	B	254,738.00	100.00	10.00	90.00	50.0	45.00
09/07/2017	QGV006224 DAVIS FAMILY OFFICE,	INDEPENDENCE RLTY TR INC COM	B	96,000.00	100.00	10.00	90.00	50.0	45.00
09/07/2017	QGV006224 DAVIS FAMILY OFFICE,	INSMED INC COM PAR \$ 01	S	-26,669.16	9.00	10.00	-1.00	50.0	-0.50
09/07/2017	QGV006224 DAVIS FAMILY OFFICE,	KEMET CORP COM NEW	S	-55,875.00	25.00	10.00	15.00	50.0	7.50
09/07/2017	QGV006224 DAVIS FAMILY OFFICE,	KEMET CORP COM NEW	S	-44,700.00	20.00	10.00	10.00	50.0	5.00
09/07/2017	QGV006224 DAVIS FAMILY OFFICE,	MGM GROWTH PPPTYS LLC COM SHS A	B	392,557.50	125.00	10.00	115.00	50.0	57.50
09/08/2017	QGV006224 DAVIS FAMILY OFFICE,	BCB BANCORP INC COM	S	-241,923.88	197.00	10.00	187.00	50.0	93.50
09/08/2017	QGV006224 DAVIS FAMILY OFFICE,	BCB BANCORP INC COM	S	-61,402.00	50.00	10.00	40.00	50.0	20.00
09/08/2017	QGV006224 DAVIS FAMILY OFFICE,	GLADSTONE LD CORP COM	S	-18,375.00	20.00	10.00	10.00	50.0	5.00
09/08/2017	QGV006224 DAVIS FAMILY OFFICE,	TRITON INTERNATIONAL LTD SHS -A- IS	S	-33,500.00	20.00	10.00	10.00	50.0	5.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/14/2017	QGV006224	DAVIS FAMILY OFFICE, FIRST DATA CORP NEW COM CL A	S	-74,802.00	42.00	10.00	32.00	50.0	16.00
09/14/2017	QGV006224	DAVIS FAMILY OFFICE, FIRST DATA CORP NEW COM CL A	S	-149,604.00	84.00	10.00	74.00	50.0	37.00
09/15/2017	QGV006224	DAVIS FAMILY OFFICE, FIRST INTERNET BANCORP COM	S	-63,800.00	22.00	10.00	12.00	50.0	6.00
09/18/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-259,000.00	100.00	10.00	90.00	50.0	45.00
09/18/2017	QGV006224	DAVIS FAMILY OFFICE, REATA PHARMACEUTICALS INC CL A	S	-251,259.20	100.00	10.00	90.00	50.0	45.00
09/19/2017	QGV006224	DAVIS FAMILY OFFICE, ALDEYRA THERAPEUTICS INC COM	S	-36,524.50	50.00	10.00	40.00	50.0	20.00
09/19/2017	QGV006224	DAVIS FAMILY OFFICE, ALDEYRA THERAPEUTICS INC COM	S	-40,176.95	55.00	10.00	45.00	50.0	22.50
09/19/2017	QGV006224	DAVIS FAMILY OFFICE, MEDIWOUND LTD ORD SHS ISIN#IL001131 S	S	-3,535.00	20.00	10.00	10.00	50.0	5.00
09/19/2017	QGV006224	DAVIS FAMILY OFFICE, MEDIWOUND LTD ORD SHS ISIN#IL001131 S	S	-50,500.00	100.00	10.00	90.00	50.0	45.00
09/20/2017	QGV006224	DAVIS FAMILY OFFICE, DASEKE INC COMMON STOCK	S	-31,388.00	25.00	10.00	15.00	50.0	7.50
09/20/2017	QGV006224	DAVIS FAMILY OFFICE, KRYSTAL BIOTECH INC COM	S	-15,750.00	20.00	10.00	10.00	50.0	5.00
09/22/2017	QGV006224	DAVIS FAMILY OFFICE, NABRIVA THERAPEUTICS PLC REGISTERED S	S	-10,920.00	20.00	10.00	10.00	50.0	5.00
09/26/2017	QGV006224	DAVIS FAMILY OFFICE, SABRA HEALTH CARE REIT INC COM	S	-316,500.00	100.00	10.00	90.00	50.0	45.00
08/29/2017	QGV006414	MERRILL LYNCH PROF FEDERAL NATL MTG ASSN PFD SER S DIV B		63,000.00	100.00	10.00	90.00	50.0	45.00
08/31/2017	QGV006414	MERRILL LYNCH PROF FEDERAL NATL MTG ASSN PFD SER S DIV B		32,250.00	50.00	10.00	40.00	50.0	20.00
09/13/2017	QGV006414	MERRILL LYNCH PROF PS BUSINESS PKS INC CALIF DEP SHS R B		1,237,600.00	0.00	10.00	-10.00	50.0	-5.00
09/13/2017	QGV006414	MERRILL LYNCH PROF PS BUSINESS PKS INC CALIF DEP SHS R B		-1,237,600.00	0.00	-10.00	10.00	50.0	5.00 C
09/13/2017	QGV006414	MERRILL LYNCH PROF PS BUSINESS PKS INC CALIF DEP SHS R B		1,237,600.00	500.00	10.00	490.00	50.0	245.00
09/15/2017	QGV006414	MERRILL LYNCH PROF QWEST CORP PFD 6.75 CPN QRTLY CALL S	S	-636,250.00	250.00	10.00	240.00	50.0	120.00
09/20/2017	QGV006414	MERRILL LYNCH PROF FEDERAL NATL MTG ASSN PFD SER S DIV B		279,200.00	400.00	10.00	390.00	50.0	195.00
09/26/2017	QGV006414	MERRILL LYNCH PROF FEDERAL RLTY INVT TR NT PFD STK SER B		123,800.00	50.00	10.00	40.00	50.0	20.00
09/26/2017	QGV006414	MERRILL LYNCH PROF FEDERAL RLTY INVT TR NT PFD STK SER B		-123,800.00	-50.00	-10.00	-40.00	50.0	-20.00 C
09/26/2017	QGV006414	MERRILL LYNCH PROF FEDERAL RLTY INVT TR NT PFD STK SER B		160,940.00	65.00	10.00	55.00	50.0	27.50
09/27/2017	QGV006414	MERRILL LYNCH PROF GOLDMAN SACHS GROUP INC DEP SHS REP S	S	-959,700.00	350.00	10.00	340.00	50.0	170.00
09/07/2017	QGW014847	ALBANESE, JOHN SYNERGY PHARMACEUTICALS INC	B	90,000.00	1,800.00	10.00	1,790.00	50.0	895.00
09/08/2017	QGW014847	ALBANESE, JOHN GLADSTONE LD CORP COM	B	122,290.00	600.00	10.00	590.00	50.0	295.00
09/08/2017	QGW014847	ALBANESE, JOHN MACYS INC	S	-108,310.00	300.00	10.00	290.00	50.0	145.00
09/15/2017	QGW014847	ALBANESE, JOHN GLADSTONE LD CORP COM	S	-127,168.00	600.00	10.00	590.00	50.0	295.00
09/27/2017	QGW015109	GELFAND, ROBERT ORACLE CORPORATION	B	48,217.50	125.00	10.00	115.00	50.0	57.50
09/13/2017	QGW015174	MINTEER, JAMES EXPRESS SCRIPTS HOLDING COMPANY	S	-31,565.10	100.00	10.00	90.00	50.0	45.00
09/13/2017	QGW015174	MINTEER, JAMES TEVA PHARMACEUTICAL INDUSTRIES LIMI S	S	-9,477.50	100.00	10.00	90.00	50.0	45.00
09/14/2017	QGW024200	MINTEER, JAMES AMGEN INC	S	-19,000.00	75.00	10.00	65.00	50.0	32.50
08/30/2017	QGW024622	GOLD, ALAN JUNO THERAPEUTICS INC COM	S	-43,005.80	125.00	10.00	115.00	50.0	57.50
09/20/2017	QGW031338	BRAND, MATTHEW ZVELO INC COM	B	4,000.00	150.00	10.00	140.00	50.0	70.00
09/20/2017	QGW036352	LAWRENCE ADAMS LTD AMAZON.COM INC	S	-72,390.67	80.73	10.00	70.73	50.0	35.37
* STOCKS SUBTOTAL *				\$-6,832,297.95	\$11,938.54	\$980.00	\$10,958.54		\$5,479.28

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/05/2017	QGW001356	ROTARY PARTNERS LLC	PUT 100 CHIPOTLE MEXICAN GRILL INC	S	-8,054.00	191.87	10.00	181.87	50.0 90.94
09/20/2017	QGW036352	LAWRENCE ADAMS LTD	CALL 100 BANK AMER CORP COM EXP 01-	B	47,700.00	350.00	10.00	340.00	50.0 170.00
* OPTIONS SUBTOTAL *				\$39,646.00	\$541.87	\$20.00	\$521.87		\$260.94
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/15/2017	QGW014870	GOLD, ALAN	GOLDMAN SACHS SHORT DURATION TAX FR	B	0.00	4.92	0.00	4.92	50.0 2.46
09/27/2017	QGW014870	GOLD, ALAN	NUVEEN LIMITED TERM MUNI BOND CLASS	B	0.00	5.45	0.00	5.45	50.0 2.73
09/13/2017	QGW024606	GOLD, ALAN	PIMCO COMMODITY REAL RETURN STRATEG	B	0.00	3.88	0.00	3.88	50.0 1.94
09/13/2017	QGW024614	GOLD, SUSAN	PIMCO COMMODITY REAL RETURN STRATEG	B	0.00	2.23	0.00	2.23	50.0 1.12
09/13/2017	QGW024622	GOLD, ALAN	PIMCO COMMODITY REAL RETURN STRATEG	B	0.00	1.66	0.00	1.66	50.0 0.83
09/15/2017	QGW024622	GOLD, ALAN	COLUMBIA SHORT TERM BOND FUND CLASS	B	0.00	29.30	0.00	29.30	50.0 14.65
09/13/2017	QGW024630	GOLD, SUSAN	PIMCO COMMODITY REAL RETURN STRATEG	B	0.00	1.66	0.00	1.66	50.0 0.83
09/15/2017	QGW024630	GOLD, SUSAN	COLUMBIA SHORT TERM BOND FUND CLASS	B	0.00	14.48	0.00	14.48	50.0 7.24
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$63.58	\$0.00	\$63.58		\$31.80
*** BROKER TRANSACTIONS TOTAL ***				\$-6,792,651.95	\$12,543.99	\$1,000.00	\$11,543.99		\$5,772.02
<u>ADJUSTMENTS</u>									
09/29/2017		OTHER: OTHER-OMGEO		0.00	0.00	0.00	0.00		-73.75
09/29/2017		ADVANCE: ADVANCE		0.00	0.00	0.00	0.00		-5,000.00
09/29/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
09/29/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
09/29/2017		OTHER: OTHER-EXCHANGE FEES		0.00	0.00	0.00	0.00		-108.77
09/29/2017		OTHER: OTHER-NASDAQ		0.00	0.00	0.00	0.00		-544.64
09/29/2017		OTHER: OTHER-OTC		0.00	0.00	0.00	0.00		-957.21
09/29/2017		OTHER: OTHER-FINRA		0.00	0.00	0.00	0.00		-330.63
09/29/2017		OTHER: OTHER-BRASS		0.00	0.00	0.00	0.00		-1,129.96
09/29/2017		OTHER: OTHER-NASD FINRA/TAF		0.00	0.00	0.00	0.00		-429.50
09/29/2017		OTHER: OTHER-PERSHING AVERAGE ACCOU		0.00	0.00	0.00	0.00		-66.68
09/29/2017		OTHER: OTHER-PERSHING M 10		0.00	0.00	0.00	0.00		-193.35
09/29/2017		OTHER: OTHER-CUTTONE		0.00	0.00	0.00	0.00		-130.46
09/29/2017		OTHER: OTHER-CORE TECH		0.00	0.00	0.00	0.00		-119.80
09/29/2017		OTHER: OTHER-CL TRADING EXPENSE		0.00	0.00	0.00	0.00		-106.67
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-9,219.42

BROKER COMMISSION TOTALS

Broker Transactions	\$5,772.02
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-9,219.42
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-15.68
SIPC Assessment	\$-31.36

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-3,494.44
Year-to-date Earnings	\$26,523.25