



Concorde Investment Services, LLC

11/13/2017

Page No. 1

COMMISSION STATEMENT

November 15, 2017

THOMAS HALSEY
7261 W. Central Ave.

BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/30/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	2.13
11/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	85.0	12.75
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.76	0.00	7.76	85.0	6.60
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	3.62	0.00	3.62	85.0	3.08
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	5.05	0.00	5.05	85.0	4.29
/ /	KRAL, ADAM	FIDELITY ADV HEALTH CARE T	0.00	1.01	0.00	1.01	85.0	0.86
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	6.63	0.00	6.63	85.0	5.64
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	4.44	0.00	4.44	85.0	3.77
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	4.44	0.00	4.44	85.0	3.77
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	6.41	0.00	6.41	85.0	5.45
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	7.08	0.00	7.08	85.0	6.02
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	9.38	0.00	9.38	85.0	7.97
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	3.55	0.00	3.55	85.0	3.02
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.35	0.00	5.35	85.0	4.55
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	6.37	0.00	6.37	85.0	5.41
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	6.26	0.00	6.26	85.0	5.32
/ /	KRAL, ADAM	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.05	0.00	0.05	85.0	0.04
/ /	KRAL, ADAM	FIDELITY MIDCAP T	0.00	0.83	0.00	0.83	85.0	0.71
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	15.21	0.00	15.21	85.0	12.93
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.97	0.00	6.97	85.0	5.92
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	7.12	0.00	7.12	85.0	6.05
10/31/2017	DESELMS, RAYMOND	FRANKLIN MI TAX FR INCOME A	0.00	64.95	0.00	64.95	85.0	55.21
10/31/2017	ZORNOW TRUST, BETTY	FRANKLIN MI TAX FR INCOME A	0.00	35.88	0.00	35.88	85.0	30.50
10/31/2017	DIENER, JUDITH	FRANKLIN MI TAX FR INCOME A	0.00	49.80	0.00	49.80	85.0	42.33
10/31/2017	ZORNOW TRUST, BETTY	FRANKLIN MI TAX FR INCOME A	0.00	25.86	0.00	25.86	85.0	21.98
10/31/2017	PRZBYLSKI, MICHAEL	FRANKLIN OH TAX FR INCOME FUND	0.00	16.15	0.00	16.15	85.0	13.73

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/31/2017	BUEHRER, DANIEL	FRANKLIN OH TAX FR INCOME FUND	0.00	65.94	0.00	65.94	85.0	56.05
10/31/2017	PRZYBYLSKI, JOSHUA	FRANKLIN OH TAX FR INCOME FUND	0.00	0.44	0.00	0.44	85.0	0.37
10/31/2017	BAKER, ELAINE	FRANKLIN OH TAX FR INCOME FUND	0.00	36.17	0.00	36.17	85.0	30.74
10/31/2017	MINCK, JOSEPH	FRANKLIN OH TAX FR INCOME FUND	0.00	37.47	0.00	37.47	85.0	31.85
10/31/2017	FISHER, EUGENE	FRANKLIN OH TAX FR INCOME FUND	0.00	9.82	0.00	9.82	85.0	8.35
10/31/2017	DESELMS, RAYMOND	TEMPLETON WORLD A	0.00	0.94	0.00	0.94	85.0	0.80
* MUTUAL FUNDS SUBTOTAL *			\$450.00	\$468.45	\$0.00	\$468.45		\$398.19
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/31/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	187,141.89	155.95	0.00	155.95	85.0	132.56
10/31/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	19,056.67	15.88	0.00	15.88	85.0	13.50
10/31/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	54,173.87	45.14	0.00	45.14	85.0	38.37
10/31/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	42,837.22	35.70	0.00	35.70	85.0	30.35
10/30/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
10/31/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	4.68
* VARIABLE ANNUITIES SUBTOTAL *			\$303,359.65	\$260.92	\$0.00	\$260.92		\$221.80
*** DIRECT TRANSACTIONS TOTAL ***			\$303,809.65	\$729.37	\$0.00	\$729.37		\$619.99
<u>ADJUSTMENTS</u>								
11/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
11/15/2017		*ALBRIDGE: FULL USER	0.00					-176.00
11/15/2017		*BRANCH FEE: AUDIT FEE	0.00					-453.19
11/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*TECHNOLOGY: ADMIN - D RYAN 1/3RD	0.00					-43.33
11/15/2017		*TECHNOLOGY: ADMIN - J BOYERS 1/3RD	0.00					-43.33
11/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,202.85

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BROKER COMMISSION TOTALS

Broker Transactions	\$619.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,202.85
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-582.86
Year-to-date Gross	\$55,910.32
Year-to-date Earnings	\$47,685.50