



Concorde Investment Services, LLC

06/13/2017
Page No. 1

COMMISSION STATEMENT

June 15, 2017

JASON KEMBLE
4106 E. Doe Creek Drive

Columbia, MO 65202-

BROKER #: K146
BRANCH: JEFFREY KAUFMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/22/2017	MO/TOD	AM FUNDS AMERICAN BALANCED A	35,000.00	1,487.50	0.00	1,487.50	90.0	1,338.75
06/02/2017	SEBA, FRED	AM FUNDS AMERICAN BALANCED A	6,500.00	276.25	0.00	276.25	90.0	248.63
05/22/2017	MO/TOD	AM FUNDS GROWTH FUND OF AMERICA A	5,000.00	212.50	0.00	212.50	90.0	191.25
05/24/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
05/24/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *			\$46,700.00	\$1,986.25	\$0.00	\$1,986.25		\$1,787.63
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/19/2017	HEDRICK, KENNETH	PAC LIFE PACIFIC CHOICE	0.00	1,169.70	0.00	1,169.70	90.0	1,052.73
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,169.70	\$0.00	\$1,169.70		\$1,052.73
*** BROKER TRANSACTIONS TOTAL ***			\$46,700.00	\$3,155.95	\$0.00	\$3,155.95		\$2,840.36
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFFREY KAUFMAN #23</u>								
05/26/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	47.51	0.22	0.00	0.22	90.0	0.20
05/26/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	47.51	0.22	0.00	0.22	90.0	0.20
* JEFFREY KAUFMAN SUBTOTAL *			\$95.02	\$0.44	\$0.00	\$0.44		\$0.40
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
05/31/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	1,041.67	19.92	0.00	19.92	90.0	17.93
05/31/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	33.84	0.65	0.00	0.65	90.0	0.59
05/26/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.09	0.09	0.00	0.09	90.0	0.08
05/26/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.09	0.09	0.00	0.09	90.0	0.08
05/22/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.13	0.00	1.13	90.0	1.02
05/26/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.08	0.00	0.08	90.0	0.07
05/26/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.10	0.00	0.10	90.0	0.09

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
05/26/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	3.12	0.01	0.00	0.01	90.0	0.01
05/26/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
05/26/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	47.59	0.22	0.00	0.22	90.0	0.20
05/26/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	47.59	0.22	0.00	0.22	90.0	0.20
05/26/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	12.80	0.06	0.00	0.06	90.0	0.05
05/26/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	12.80	0.06	0.00	0.06	90.0	0.05
05/26/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	39.90	0.18	0.00	0.18	90.0	0.16
05/26/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	39.90	0.18	0.00	0.18	90.0	0.16
05/26/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	25.42	0.11	0.00	0.11	90.0	0.10
05/26/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	25.43	0.11	0.00	0.11	90.0	0.10
05/30/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
05/31/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	833.34	15.94	0.00	15.94	90.0	14.35
05/31/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	136.61	2.61	0.00	2.61	90.0	2.35
05/22/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	1.13	0.00	1.13	90.0	1.02
05/26/2017	ZELLMER, RIANNON	AM FUNDS ICA A	14.31	0.06	0.00	0.06	90.0	0.05
05/26/2017	ZELLMER, RIANNON	AM FUNDS ICA A	14.31	0.06	0.00	0.06	90.0	0.05
05/26/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.10	0.09	0.00	0.09	90.0	0.08
05/26/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.10	0.09	0.00	0.09	90.0	0.08
05/26/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.09	0.00	0.09	90.0	0.08
05/26/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.09	0.00	0.09	90.0	0.08
05/26/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.08	0.00	0.08	90.0	0.07
05/26/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.10	0.00	0.10	90.0	0.09
05/26/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.09	0.00	0.09	90.0	0.08
05/26/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.09	0.00	0.09	90.0	0.08
05/26/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	25.43	0.11	0.00	0.11	90.0	0.10
05/26/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	25.42	0.11	0.00	0.11	90.0	0.10
05/31/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	208.33	3.98	0.00	3.98	90.0	3.58
05/31/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.15	0.65	0.00	0.65	90.0	0.59
05/30/2017	CARSON, SEDEL	PAC LIFE VUL	55.00	12.38	0.00	12.38	90.0	11.14
05/30/2017	CARSON, MATTHEW	PAC LIFE VUL	7.57	1.71	0.00	1.71	90.0	1.54
05/30/2017	CARSON, MATTHEW	PAC LIFE VUL	142.43	8.01	0.00	8.01	90.0	7.21
05/30/2017	CARSON, MATTHEW	PAC LIFE VUL	142.43	8.01	0.00	8.01	90.0	7.21
05/30/2017	CARSON, MATTHEW	PAC LIFE VUL	7.57	1.71	0.00	1.71	90.0	1.54

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
05/30/2017	CARSON, SEDEL	PAC LIFE VUL	55.00	12.38	0.00	12.38	90.0	11.14
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$3,480.30	\$95.08	\$0.00	\$95.08		\$85.58
*** SPLIT TRANSACTIONS TOTAL ***			\$3,575.32	\$95.52	\$0.00	\$95.52		\$85.98

ADJUSTMENTS

06/15/2017	*EO INS: CURRENT1/2 MONTH INS-KEMBL	0.00						-150.00
06/15/2017	*FINRA: CO IND REG FEE	0.00						-17.00
06/15/2017	*FINRA: NV IND REG FEE	0.00						-125.00
06/15/2017	*TECH: CURRENT 1/2 MONTH TECH-KEMBL	0.00						-137.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-429.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,840.36
Split Transactions	\$85.98
Override Transactions	\$0.00
Adjustments	\$-429.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,496.84
Year-to-date Gross	\$26,212.46
Year-to-date Earnings	\$23,591.48

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