



BROKER #: A09      Terminated 12/31/2017  
BRANCH #01016 - 16 - CASPER

| DATE   | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT            | GROSS<br>COMMISSION | CLEARING<br>CHARGE    | NET<br>COMMISSION | RATE   | BROKER<br>COMMISSION |
|--|--------|------------|---------------------------------|---------------------|-----------------------|-------------------|--------|----------------------|
| <div>ADJUSTMENTS</div>   |        |            |                                 |                     |                       |                   |        |                      |
| /  | /      |            | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00                | 0.00                  | 0.00              | 0.00   | 0.00                 |
|  |        |            | *** ADJUSTMENTS TOTAL ***       | \$0.00              | \$0.00                | \$0.00            | \$0.00 | \$0.00               |
| <div>BROKER COMMISSION TOTALS</div>                                    |        |            |                                 |                     |                       |                   |        |                      |
|  |        |            |                                 |                     | Broker Transactions   |                   |        | \$0.00               |
|  |        |            |                                 |                     | Split Transactions    |                   |        | \$0.00               |
|  |        |            |                                 |                     | Override Transactions |                   |        | \$0.00               |
|  |        |            |                                 |                     | Adjustments           |                   |        | \$0.00               |
|  |        |            |                                 |                     | Payroll Draw          |                   |        | \$0.00               |
|  |        |            |                                 |                     | Base Salary           |                   |        | \$0.00               |
|  |        |            |                                 |                     | Prior Period Balance  |                   |        | \$14.47              |
|  |        |            |                                 |                     | FINRA Assessment      |                   |        | \$0.00               |
|  |        |            |                                 |                     | SIPC Assessment       |                   |        | \$0.00               |
| Please Retain for Your Records<br>THERE WILL BE NO PAYMENT THIS PERIOD |        |            |                                 |                     | Balance Forward       |                   |        | \$14.47              |
|  |        |            |                                 |                     | Year-to-date Earnings |                   |        | \$14.47              |