



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**April 15, 2017**

04/12/2017  
 Page No. 1

**JAMES MAHER**  
 3082 Quail Ridge Circle

**BROKER #: M127**  
 BRANCH: JAMES MAHER

Rochester Hills, MI 48309-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**BROKER TRANSACTIONS**

**PRODUCT CATEGORY: MUTUAL FUNDS**

04/03/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	25.00	0.25	0.00	0.25	90.0	0.23
04/03/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	25.00	0.25	0.00	0.25	90.0	0.23
03/10/2017	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	1.57	0.00	1.57	90.0	1.41
03/10/2017	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	0.49	0.00	0.49	90.0	0.44
03/10/2017	PALMER, TODD	AM FUNDS AMCAP C	0.00	19.51	0.00	19.51	90.0	17.56
03/10/2017	SIMON, MICHAEL	AM FUNDS AMCAP C	0.00	6.05	0.00	6.05	90.0	5.45
03/10/2017	MAHER, MAIGHDLIN	AM FUNDS AMCAP C	0.00	1.29	0.00	1.29	90.0	1.16
03/10/2017	ALLEN, MARY	AM FUNDS AMERICAN BALANCED C	0.00	8.57	0.00	8.57	90.0	7.71
03/10/2017	PIZZO, SALVATORE	AM FUNDS AMERICAN BALANCED C	0.00	2.84	0.00	2.84	90.0	2.56
03/10/2017	PALMERI, DANIEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.35	0.00	0.35	90.0	0.32
03/10/2017	GILLESPIE, HEATHER	AM FUNDS BOND FUND OF AMERICA A	0.00	3.99	0.00	3.99	90.0	3.59
03/10/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.00	0.00	2.00	90.0	1.80
03/10/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.59	0.00	2.59	90.0	2.33
03/27/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	1.50	0.00	1.50	90.0	1.35
03/29/2017	PALMER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
03/30/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
03/30/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
04/03/2017	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
04/03/2017	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
04/03/2017	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
03/10/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.65	0.00	1.65	90.0	1.49
03/10/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.71	0.00	1.71	90.0	1.54
03/10/2017	PLASTIRAS, JULIANA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.52	0.00	9.52	90.0	8.57
03/10/2017	MARKHAM, ELIZABETH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.59	0.00	1.59	90.0	1.43
03/10/2017	RUSSO, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.74	0.00	10.74	90.0	9.67
03/10/2017	RUSSO, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.86	0.00	9.86	90.0	8.87

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/10/2017	ALLEN, MARY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.49	0.00	8.49	90.0	7.64
03/10/2017	PROKOP, STANLEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	118.94	0.00	118.94	90.0	107.05
03/10/2017	KOTT, DIANE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.91	0.00	4.91	90.0	4.42
03/10/2017	STONE, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.31	0.00	10.31	90.0	9.28
03/10/2017	O'CONNOR, HOLLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.47	0.00	5.47	90.0	4.92
03/10/2017	O'DONNELL, JUDITH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.56	0.00	7.56	90.0	6.80
03/10/2017	BUSSELL, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.29	0.00	5.29	90.0	4.76
03/10/2017	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	32.92	0.00	32.92	90.0	29.63
03/10/2017	FRASER, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.95	0.00	7.95	90.0	7.16
03/10/2017	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.10	0.00	5.10	90.0	4.59
03/10/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	30.50	0.00	30.50	90.0	27.45
03/10/2017	HEIDLOFF, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.01	0.00	3.01	90.0	2.71
03/10/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.23	0.00	1.23	90.0	1.11
03/10/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.34	0.00	6.34	90.0	5.71
03/10/2017	MAHER, KEIRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.37	0.00	1.37	90.0	1.23
03/10/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.10	0.00	10.10	90.0	9.09
03/10/2017	PIZZO, REBECCA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.81	0.00	4.81	90.0	4.33
03/10/2017	FOSTER SP TRUST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.21	0.00	2.21	90.0	1.99
03/10/2017	NUNEZ TRUST, LYNDA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	20.95	0.00	20.95	90.0	18.86
03/10/2017	EBERHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.96	0.00	7.96	90.0	7.16
03/10/2017	GUMBLETON, CARRIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.04	0.00	9.04	90.0	8.14
03/10/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.73	0.00	5.73	90.0	5.16
03/10/2017	HENKE, FRANK	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.93	0.00	10.93	90.0	9.84
03/10/2017	KORESKY, JOHN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.34	0.00	4.34	90.0	3.91
03/10/2017	WOLVERINE HUMAN SCV	AM FUNDS CAPITAL INCOME BUILDER C	0.00	76.15	0.00	76.15	90.0	68.54
03/10/2017	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.19	0.00	1.19	90.0	1.07
03/10/2017	PEVOS, DEBRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.00	0.00	10.00	90.0	9.00
03/24/2017	FRASER, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	2,400.00	20.00	0.00	20.00	90.0	18.00
03/10/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.66	0.00	0.66	90.0	0.59
03/10/2017	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.09	0.00	1.09	90.0	0.98
03/10/2017	PIZZO, MICHELE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.61	0.00	1.61	90.0	1.45
03/10/2017	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.20	0.00	0.20	90.0	0.18
03/10/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.04	0.00	1.04	90.0	0.94

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/27/2017	SCACCIA, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23
04/03/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23
04/03/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23
04/03/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	0.50	0.00	0.50	90.0	0.45
03/10/2017	HOPPE, KYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.48	0.00	1.48	90.0	1.33
03/10/2017	PALMERI, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.58	0.00	0.58	90.0	0.52
03/10/2017	OLIVER, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.13	0.00	0.13	90.0	0.12
03/10/2017	JACK, STANLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	15.78	0.00	15.78	90.0	14.20
03/10/2017	LOUGHLIN, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.73	0.00	1.73	90.0	1.56
03/10/2017	MAHER, HENRY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	17.55	0.00	17.55	90.0	15.80
03/10/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	70.83	0.00	70.83	90.0	63.75
03/10/2017	LUJAN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	6.23	0.00	6.23	90.0	5.61
03/10/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.39	0.00	3.39	90.0	3.05
03/10/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.97	0.00	0.97	90.0	0.87
03/10/2017	MARKHAM, JASON	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.53	0.00	4.53	90.0	4.08
03/10/2017	BANNICK, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	7.66	0.00	7.66	90.0	6.89
03/10/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.99	0.00	3.99	90.0	3.59
03/10/2017	MAHER, SEAMUS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.29	0.00	1.29	90.0	1.16
03/10/2017	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	47.07	0.00	47.07	90.0	42.36
03/10/2017	QUSSAR, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	6.73	0.00	6.73	90.0	6.06
03/10/2017	WOLVERINE HUMAN SCV	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	43.98	0.00	43.98	90.0	39.58
03/10/2017	VIAR, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.42	0.00	4.42	90.0	3.98
03/29/2017	PALMER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND 52	50.00	0.50	0.00	0.50	90.0	0.45
04/03/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
04/03/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
04/03/2017	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
03/10/2017	HOPPE, KYLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.09	0.00	1.09	90.0	0.98
03/10/2017	LINDSEY, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.50	0.00	0.50	90.0	0.45
03/10/2017	DARR, CRAIG	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.89	0.00	8.89	90.0	8.00
03/10/2017	KOTT, DIANE	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.45	0.00	7.45	90.0	6.71
03/10/2017	LOUGHLIN, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	16.79	0.00	16.79	90.0	15.11
03/10/2017	O'DONNELL, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.61	0.00	6.61	90.0	5.95
03/10/2017	O'DONNELL, SHERYL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.31	0.00	3.31	90.0	2.98

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/10/2017	PALMERI, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.21	0.00	2.21	90.0	1.99
03/10/2017	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	26.82	0.00	26.82	90.0	24.14
03/10/2017	COLASUONNO, RALPH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.05	0.00	9.05	90.0	8.15
03/10/2017	PIASCIK, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	22.19	0.00	22.19	90.0	19.97
03/10/2017	QUEDNAU, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	15.68	0.00	15.68	90.0	14.11
03/10/2017	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.96	0.00	7.96	90.0	7.16
03/10/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	16.62	0.00	16.62	90.0	14.96
03/10/2017	VEIT, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.27	0.00	8.27	90.0	7.44
03/10/2017	MARKHAM, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.65	0.00	5.65	90.0	5.09
03/10/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.45	0.00	4.45	90.0	4.01
03/10/2017	BANNICK, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.52	0.00	6.52	90.0	5.87
03/10/2017	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.20	0.00	5.20	90.0	4.68
03/10/2017	BIONDO, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.99	0.00	5.99	90.0	5.39
03/10/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.30	0.00	3.30	90.0	2.97
03/10/2017	MAHER, KEIRA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.83	0.00	0.83	90.0	0.75
03/10/2017	MAHER, MAIGHDLIN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.85	0.00	0.85	90.0	0.77
03/10/2017	MAHER, SEAMUS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.83	0.00	0.83	90.0	0.75
03/10/2017	NUNEZ, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	17.75	0.00	17.75	90.0	15.98
03/10/2017	NUNEZ TRUST, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	40.87	0.00	40.87	90.0	36.78
03/10/2017	EBERHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.65	0.00	6.65	90.0	5.99
03/10/2017	HOLMES, KAYLA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.02	0.00	1.02	90.0	0.92
03/10/2017	HOLMES, JACK PATRICK	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.94	0.00	0.94	90.0	0.85
03/10/2017	QUSSAR, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.50	0.00	4.50	90.0	4.05
03/10/2017	KORESKEY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.35	0.00	3.35	90.0	3.02
03/10/2017	PAGANO, MAUREEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.60	0.00	7.60	90.0	6.84
03/10/2017	MAHER, OWEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.81	0.00	1.81	90.0	1.63
03/10/2017	WOLVERINE HUMAN SCV	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.56	0.00	11.56	90.0	10.40
03/10/2017	VIAR, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.32	0.00	1.32	90.0	1.19
03/10/2017	JAMES, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.58	0.00	7.58	90.0	6.82
03/10/2017	NORRIS, CHRISTOPHER	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	2.26	0.00	2.26	90.0	2.03
03/10/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.47	0.00	0.47	90.0	0.42
03/10/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.77	0.00	1.77	90.0	1.59
03/10/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.54	0.00	1.54	90.0	1.39

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
03/10/2017	PROKOP, STANLEY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	164.32	0.00	164.32	90.0	147.89
03/27/2017	SCACCIA, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
04/03/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
04/03/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
04/03/2017	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
04/03/2017	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
04/03/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
04/03/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
04/03/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	0.75	0.00	0.75	90.0	0.68
03/10/2017	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.71	0.00	8.71	90.0	7.84
03/10/2017	O'DONNELL, SHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.72	0.00	3.72	90.0	3.35
03/10/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.78	0.00	0.78	90.0	0.70
03/10/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.71	0.00	10.71	90.0	9.64
03/10/2017	HOLMES, KAYLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.11	0.00	5.11	90.0	4.60
03/10/2017	HOLMES, JACK PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.24	0.00	4.24	90.0	3.82
03/10/2017	MAHER, KYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.45	0.00	3.45	90.0	3.11
03/10/2017	MAHER, OWEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.64	0.00	1.64	90.0	1.48
03/02/2017	GILLESPIE, HEATHER	AM FUNDS GROWTH FUND OF AMERICA C	14,500.00	20.00	0.00	20.00	90.0	18.00
03/10/2017	DARR, CRAIG	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.35	0.00	11.35	90.0	10.22
03/10/2017	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.74	0.00	3.74	90.0	3.37
03/10/2017	PLASTIRAS, JULIANA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.13	0.00	11.13	90.0	10.02
03/10/2017	SCACCIA, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.78	0.00	8.78	90.0	7.90
03/10/2017	HICKSON, JORDAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.16	0.00	15.16	90.0	13.64
03/10/2017	PALMER, TODD	AM FUNDS GROWTH FUND OF AMERICA C	0.00	22.38	0.00	22.38	90.0	20.14
03/10/2017	BOLUS, ELLA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	29.63	0.00	29.63	90.0	26.67
03/10/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.02	0.00	14.02	90.0	12.62
03/10/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.54	0.00	9.54	90.0	8.59
03/10/2017	JACK, STANLEY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.67	0.00	4.67	90.0	4.20
03/10/2017	LOUGHLIN, DENNIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	25.48	0.00	25.48	90.0	22.93
03/10/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	76.88	0.00	76.88	90.0	69.19
03/10/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.49	0.00	3.49	90.0	3.14
03/10/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	39.48	0.00	39.48	90.0	35.53
03/10/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.82	0.00	9.82	90.0	8.84

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
03/10/2017	GILLESPIE, HEATHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.99	0.00	14.99	90.0	13.49
03/10/2017	FRASER, SHARON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	6.71	0.00	6.71	90.0	6.04
03/10/2017	COLASUONNO, RALPH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.94	0.00	14.94	90.0	13.45
03/10/2017	PIASCIK, SHAWN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	24.38	0.00	24.38	90.0	21.94
03/10/2017	QUEDNAU, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	83.06	0.00	83.06	90.0	74.75
03/10/2017	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.13	0.00	12.13	90.0	10.92
03/10/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.83	0.00	7.83	90.0	7.05
03/10/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.24	0.00	11.24	90.0	10.12
03/10/2017	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.33	0.00	9.33	90.0	8.40
03/10/2017	HEIDLOFF, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.45	0.00	3.45	90.0	3.11
03/10/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.42	0.00	4.42	90.0	3.98
03/10/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.03	0.00	13.03	90.0	11.73
03/10/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.36	0.00	3.36	90.0	3.02
03/10/2017	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.52	0.00	10.52	90.0	9.47
03/10/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.00	0.00	4.00	90.0	3.60
03/10/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.61	0.00	4.61	90.0	4.15
03/10/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.68	0.00	7.68	90.0	6.91
03/10/2017	NUNEZ TRUST, LYNDA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	87.30	0.00	87.30	90.0	78.57
03/10/2017	EBERHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.64	0.00	10.64	90.0	9.58
03/10/2017	GUMBLETON, CARRIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.66	0.00	16.66	90.0	14.99
03/10/2017	HENKE, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.35	0.00	13.35	90.0	12.02
03/10/2017	QUSSAR, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.86	0.00	7.86	90.0	7.07
03/10/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.28	0.00	9.28	90.0	8.35
03/10/2017	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.50	0.00	1.50	90.0	1.35
03/10/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.91	0.00	1.91	90.0	1.72
03/10/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.04	0.00	4.04	90.0	3.64
03/10/2017	CARLINO, AMY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.66	0.00	2.66	90.0	2.39
03/10/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.80	0.00	1.80	90.0	1.62
03/10/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.55	0.00	1.55	90.0	1.40
03/10/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.47	0.00	2.47	90.0	2.22
03/10/2017	PIZZO, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.78	0.00	0.78	90.0	0.70
03/10/2017	PIZZO, FRANCESCO	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.14	0.00	0.14	90.0	0.13
03/10/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.51	0.00	1.51	90.0	1.36

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/10/2017	KNOPF, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.21	0.00	1.21	90.0	1.09
03/10/2017	KEYES LIV TRUST, MURIEL WATSON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.43	0.00	3.43	90.0	3.09
03/10/2017	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.08	0.00	2.08	90.0	1.87
04/03/2017	JACK, KELLY	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
04/03/2017	QUSSAR, JAMES	AM FUNDS ICA 529C	75.00	0.75	0.00	0.75	90.0	0.68
03/10/2017	LINDSEY, CHARLES	AM FUNDS ICA A	0.00	0.71	0.00	0.71	90.0	0.64
03/10/2017	HOPPE, KYLE	AM FUNDS ICA A	0.00	1.17	0.00	1.17	90.0	1.05
03/10/2017	CARROLL, EILEEN	AM FUNDS ICA A	0.00	8.73	0.00	8.73	90.0	7.86
03/10/2017	HOPPE, KYLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.28	0.00	1.28	90.0	1.15
03/10/2017	CARROLL, EILEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.95	0.00	2.95	90.0	2.66
03/10/2017	STONE, JANE	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.53	0.00	6.53	90.0	5.88
03/10/2017	GILLESPIE, HEATHER	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.83	0.00	7.83	90.0	7.05
03/16/2017	CARROLL, EILEEN	AM FUNDS INCOME FUND OF AMERICA A	16,040.04	20.00	0.00	20.00	90.0	18.00
03/10/2017	PROKOP, STANLEY	AM FUNDS INCOME FUND OF AMERICA C	0.00	140.76	0.00	140.76	90.0	126.68
03/10/2017	BELONGIE, GINGER	AM FUNDS INCOME FUND OF AMERICA C	0.00	14.34	0.00	14.34	90.0	12.91
03/10/2017	MARSACK, KAREN	AM FUNDS INCOME FUND OF AMERICA C	0.00	9.64	0.00	9.64	90.0	8.68
03/10/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	34.36	0.00	34.36	90.0	30.92
03/10/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	19.17	0.00	19.17	90.0	17.25
03/10/2017	DAVIS, ALTON	AM FUNDS INCOME FUND OF AMERICA C	0.00	16.99	0.00	16.99	90.0	15.29
03/10/2017	PIASCIK, SHAWN	AM FUNDS INCOME FUND OF AMERICA C	0.00	33.98	0.00	33.98	90.0	30.58
03/10/2017	QUEDNAU, KELLY	AM FUNDS INCOME FUND OF AMERICA C	0.00	24.14	0.00	24.14	90.0	21.73
03/10/2017	SIMON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA C	0.00	6.62	0.00	6.62	90.0	5.96
03/10/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.42	0.00	1.42	90.0	1.28
03/10/2017	NUNEZ TRUST, LYNDIA	AM FUNDS INCOME FUND OF AMERICA C	0.00	50.59	0.00	50.59	90.0	45.53
03/10/2017	EBERHARD, DAVID	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.10	0.00	7.10	90.0	6.39
03/10/2017	GUMBLETON, CARRIE	AM FUNDS INCOME FUND OF AMERICA C	0.00	12.26	0.00	12.26	90.0	11.03
03/10/2017	MAHER TRUST, MARY	AM FUNDS INCOME FUND OF AMERICA C	0.00	9.02	0.00	9.02	90.0	8.12
03/10/2017	WOLVERINE HUMAN SCV	AM FUNDS INCOME FUND OF AMERICA C	0.00	50.41	0.00	50.41	90.0	45.37
03/10/2017	NORRIS, CHRISTOPHER	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	10.61	0.00	10.61	90.0	9.55
03/10/2017	BANNICK, ROBERT	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.85	0.00	0.85	90.0	0.77
03/10/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.62	0.00	0.62	90.0	0.56
03/10/2017	KNOPF, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.09	0.00	0.09	90.0	0.08
04/03/2017	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	25.00	0.25	0.00	0.25	90.0	0.23

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/10/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.15	0.00	1.15	90.0	1.04
03/10/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.23	0.00	0.23	90.0	0.21
03/10/2017	MAHER, HENRY	AM FUNDS NEW ECONOMY C	0.00	24.35	0.00	24.35	90.0	21.92
03/10/2017	SIMON, MICHAEL	AM FUNDS NEW ECONOMY C	0.00	6.04	0.00	6.04	90.0	5.44
03/10/2017	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	0.00	15.17	0.00	15.17	90.0	13.65
03/10/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS NEW WORLD C	0.00	11.98	0.00	11.98	90.0	10.78
03/10/2017	HICKSON, ANDREW	AM FUNDS NEW WORLD C	0.00	4.13	0.00	4.13	90.0	3.72
03/10/2017	MAHER, HENRY	AM FUNDS SMALLCAP WORLD C	0.00	13.85	0.00	13.85	90.0	12.47
03/10/2017	HICKSON, ANDREW	AM FUNDS SMALLCAP WORLD C	0.00	2.85	0.00	2.85	90.0	2.57
03/10/2017	MAHER, SEAMUS	AM FUNDS SMALLCAP WORLD C	0.00	1.95	0.00	1.95	90.0	1.76
03/10/2017	HOLMES, KAYLA	AM FUNDS SMALLCAP WORLD C	0.00	1.29	0.00	1.29	90.0	1.16
03/10/2017	HOLMES, JACK PATRICK	AM FUNDS SMALLCAP WORLD C	0.00	1.24	0.00	1.24	90.0	1.12
03/10/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.96	0.00	0.96	90.0	0.86
03/10/2017	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	31.47	0.00	31.47	90.0	28.32
03/10/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.12	0.00	2.12	90.0	1.91
04/03/2017	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	0.25	0.00	0.25	90.0	0.23
03/30/2017	WOLVERINE HUMAN SCV	AMERICAN BEACON BALANCED FUND C	50,000.00	520.00	0.00	520.00	90.0	468.00
03/10/2017	BANNICK, ROBERT	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.78	0.00	0.78	90.0	0.70
03/10/2017	VIAR, DAVID	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.19	0.00	2.19	90.0	1.97
04/03/2017	QUSSAR, JAMES	AMERICAN FUNDS GRWTH & INC PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
04/03/2017	QUSSAR, JAMES	AMERICAN FUNDS INCOME PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
03/10/2017	MAHER, SEAMUS	BLK BASIC VALUE	0.00	0.99	0.00	0.99	90.0	0.89
03/10/2017	HOLMES, KAYLA	BLK BASIC VALUE	0.00	0.85	0.00	0.85	90.0	0.77
03/10/2017	MAHER, KYLE	BLK BASIC VALUE	0.00	1.51	0.00	1.51	90.0	1.36
03/10/2017	MAHER, HENRY	BLK GLOBAL ALLOCATION A	0.00	10.31	0.00	10.31	90.0	9.28
03/10/2017	SIZEMORE, KATHERINE	BLK WORLD INCOME A	0.00	0.12	0.00	0.12	90.0	0.11
03/15/2017	SIZEMORE, KATHERINE	BLK WORLD INCOME A	604.34	0.00	0.00	0.00	90.0	0.00
03/07/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	16.53	0.00	16.53	90.0	14.88
03/07/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	12.35	0.00	12.35	90.0	11.12
03/07/2017	MAHER, HENRY	CATALYST MAP GLOBAL CAP APP	0.00	33.79	0.00	33.79	90.0	30.41
03/07/2017	WOLVERINE HUMAN SCV	CATALYST MAP GLOBAL CAP APP	0.00	61.40	0.00	61.40	90.0	55.26
03/10/2017	MAHER, HENRY	COLUMBIA FDS SER TR II MASS SELECT	0.00	36.22	0.00	36.22	90.0	32.60
03/10/2017	LUJAN-LABADIE, JENNIFER	COLUMBIA FDS SER TR II MASS SELECT	0.00	6.44	0.00	6.44	90.0	5.80

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/10/2017	KARAS, MICHAEL	COLUMBIA FDS SER TR II MASS SELECT	0.00	4.28	0.00	4.28	90.0	3.85
03/10/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR II MASS SELECT	0.00	6.70	0.00	6.70	90.0	6.03
03/10/2017	JAMES, THOMAS	COLUMBIA FDS SER TR II MASS SELECT	0.00	8.93	0.00	8.93	90.0	8.04
03/10/2017	DARR, CRAIG	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	9.44	0.00	9.44	90.0	8.50
03/10/2017	NUNEZ TRUST, LYNDIA	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	19.85	0.00	19.85	90.0	17.87
03/10/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	6.24	0.00	6.24	90.0	5.62
03/10/2017	JAMES, THOMAS	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	8.38	0.00	8.38	90.0	7.54
03/10/2017	NUNEZ TRUST, LYNDIA	COLUMBIA SER TR II MASS	0.00	20.22	0.00	20.22	90.0	18.20
03/29/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	13.75	0.14	0.00	0.14	90.0	0.13
03/10/2017	MAHER, HENRY	DAVIS FINANCIAL A	0.00	6.70	0.00	6.70	90.0	6.03
03/10/2017	MAHER, HENRY	DELAWARE CORPORATE BOND A	0.00	9.92	0.00	9.92	90.0	8.93
03/10/2017	MAHER, HENRY	FEDERATED WORLD INVT SER INC INTL B	0.00	5.34	0.00	5.34	90.0	4.81
03/10/2017	ALBIN, SHELLEY	FRANKLIN BALANCED C	0.00	0.18	0.00	0.18	90.0	0.16
03/10/2017	ALBIN, FREDERICK	FRANKLIN BALANCED C	0.00	0.18	0.00	0.18	90.0	0.16
03/10/2017	WOLVERINE HUMAN SCV	FRANKLIN BALANCED C	0.00	0.02	0.00	0.02	90.0	0.02
03/10/2017	BUSSELL, JEFFREY	FRANKLIN GOLD & PRECIOUS METALS C	0.00	1.75	0.00	1.75	90.0	1.58
03/10/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN GOLD & PRECIOUS METALS C	0.00	3.91	0.00	3.91	90.0	3.52
03/10/2017	QUSSAR, JAMES	FRANKLIN GOLD & PRECIOUS METALS C	0.00	2.44	0.00	2.44	90.0	2.20
03/10/2017	MAHER, HENRY	FRANKLIN HIGH INCOME C	0.00	15.07	0.00	15.07	90.0	13.56
03/10/2017	HOLMES, KAYLA	FRANKLIN HIGH INCOME C	0.00	0.60	0.00	0.60	90.0	0.54
03/10/2017	HOLMES, JACK PATRICK	FRANKLIN HIGH INCOME C	0.00	0.75	0.00	0.75	90.0	0.68
03/10/2017	DARR, CRAIG	FRANKLIN INCOME C	0.00	6.19	0.00	6.19	90.0	5.57
03/10/2017	HOPPE, KYLE	FRANKLIN INCOME C	0.00	7.15	0.00	7.15	90.0	6.44
03/10/2017	SIZEMORE, KATHERINE	FRANKLIN INCOME C	0.00	5.61	0.00	5.61	90.0	5.05
03/10/2017	BJERK JR, JOHN	FRANKLIN INCOME C	0.00	5.40	0.00	5.40	90.0	4.86
03/10/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	9.44	0.00	9.44	90.0	8.50
03/10/2017	HICKSON, JORDAN	FRANKLIN INCOME C	0.00	7.91	0.00	7.91	90.0	7.12
03/10/2017	PALMER, TODD	FRANKLIN INCOME C	0.00	14.35	0.00	14.35	90.0	12.92
03/10/2017	WHEELER, WILLARD	FRANKLIN INCOME C	0.00	18.91	0.00	18.91	90.0	17.02
03/10/2017	ALLEN, MARY	FRANKLIN INCOME C	0.00	5.89	0.00	5.89	90.0	5.30
03/10/2017	PROKOP, STANLEY	FRANKLIN INCOME C	0.00	89.38	0.00	89.38	90.0	80.44
03/10/2017	KOTT, DIANE	FRANKLIN INCOME C	0.00	6.31	0.00	6.31	90.0	5.68
03/10/2017	KOTT, DIANE	FRANKLIN INCOME C	0.00	4.42	0.00	4.42	90.0	3.98

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/10/2017	PALMERI, BEVERLY	FRANKLIN INCOME C	0.00	6.88	0.00	6.88	90.0	6.19
03/10/2017	JACK, STANLEY	FRANKLIN INCOME C	0.00	5.73	0.00	5.73	90.0	5.16
03/10/2017	MARSHALL, RONALD	FRANKLIN INCOME C	0.00	11.31	0.00	11.31	90.0	10.18
03/10/2017	TAYLOR, JAMIE	FRANKLIN INCOME C	0.00	13.23	0.00	13.23	90.0	11.91
03/10/2017	LOUGHLIN, DENNIS	FRANKLIN INCOME C	0.00	6.15	0.00	6.15	90.0	5.54
03/10/2017	BELONGIE, GINGER	FRANKLIN INCOME C	0.00	5.33	0.00	5.33	90.0	4.80
03/10/2017	STONE, EDWARD	FRANKLIN INCOME C	0.00	6.61	0.00	6.61	90.0	5.95
03/10/2017	MARSACK, KAREN	FRANKLIN INCOME C	0.00	3.83	0.00	3.83	90.0	3.45
03/10/2017	NORRIS, CHRISTOPHER	FRANKLIN INCOME C	0.00	80.23	0.00	80.23	90.0	72.21
03/10/2017	O'CONNOR, HOLLY	FRANKLIN INCOME C	0.00	2.93	0.00	2.93	90.0	2.64
03/10/2017	O'DONNELL, JUDITH	FRANKLIN INCOME C	0.00	5.71	0.00	5.71	90.0	5.14
03/10/2017	PALMERI, PAUL	FRANKLIN INCOME C	0.00	9.48	0.00	9.48	90.0	8.53
03/10/2017	MAHER, HENRY	FRANKLIN INCOME C	0.00	12.64	0.00	12.64	90.0	11.38
03/10/2017	GILLESPIE, HEATHER	FRANKLIN INCOME C	0.00	5.01	0.00	5.01	90.0	4.51
03/10/2017	DAVIS, ALTON	FRANKLIN INCOME C	0.00	10.95	0.00	10.95	90.0	9.86
03/10/2017	COLASUONNO, RALPH	FRANKLIN INCOME C	0.00	8.51	0.00	8.51	90.0	7.66
03/10/2017	PIASCIK, SHAWN	FRANKLIN INCOME C	0.00	12.35	0.00	12.35	90.0	11.12
03/10/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	7.03	0.00	7.03	90.0	6.33
03/10/2017	LUJAN, DEBORAH	FRANKLIN INCOME C	0.00	6.03	0.00	6.03	90.0	5.43
03/10/2017	MARKHAM, JASON	FRANKLIN INCOME C	0.00	3.98	0.00	3.98	90.0	3.58
03/10/2017	BANNICK, ROBERT	FRANKLIN INCOME C	0.00	1.93	0.00	1.93	90.0	1.74
03/10/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN INCOME C	0.00	0.91	0.00	0.91	90.0	0.82
03/10/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN INCOME C	0.00	10.94	0.00	10.94	90.0	9.85
03/10/2017	PIZZO, REBECCA	FRANKLIN INCOME C	0.00	2.64	0.00	2.64	90.0	2.38
03/10/2017	NUNEZ TRUST, LYNDA	FRANKLIN INCOME C	0.00	65.63	0.00	65.63	90.0	59.07
03/10/2017	EBERHARD, DAVID	FRANKLIN INCOME C	0.00	4.29	0.00	4.29	90.0	3.86
03/10/2017	FAIRLEY, JAMES	FRANKLIN INCOME C	0.00	13.04	0.00	13.04	90.0	11.74
03/10/2017	GUMBLETON, CARRIE	FRANKLIN INCOME C	0.00	7.96	0.00	7.96	90.0	7.16
03/10/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN INCOME C	0.00	7.93	0.00	7.93	90.0	7.14
03/10/2017	SCACCIA, KELLY	FRANKLIN INCOME C	0.00	2.70	0.00	2.70	90.0	2.43
03/10/2017	HENKE, FRANK	FRANKLIN INCOME C	0.00	8.05	0.00	8.05	90.0	7.25
03/10/2017	QUSSAR, JAMES	FRANKLIN INCOME C	0.00	4.48	0.00	4.48	90.0	4.03
03/10/2017	PAGANO, MAUREEN	FRANKLIN INCOME C	0.00	4.08	0.00	4.08	90.0	3.67

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/10/2017	WOLVERINE HUMAN SCV	FRANKLIN INCOME C	0.00	24.37	0.00	24.37	90.0	21.93
03/10/2017	JAMES, THOMAS	FRANKLIN INCOME C	0.00	5.46	0.00	5.46	90.0	4.91
03/10/2017	PEVOS, DEBRA	FRANKLIN INCOME C	0.00	6.43	0.00	6.43	90.0	5.79
03/10/2017	DILAY, DENISE	FRANKLIN INCOME C	0.00	6.76	0.00	6.76	90.0	6.08
03/15/2017	SIZEMORE, KATHERINE	FRANKLIN INCOME C	11,326.26	20.00	0.00	20.00	90.0	18.00
03/21/2017	O'DONNELL, JUDITH	FRANKLIN INCOME C	1,300.00	20.00	0.00	20.00	90.0	18.00
03/10/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN INVS SECS TR REAL RETURN F	0.00	2.77	0.00	2.77	90.0	2.49
03/10/2017	NORRIS, CHRISTOPHER	FRANKLIN MI TAX FR INCOME C	0.00	29.66	0.00	29.66	90.0	26.69
03/20/2017	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	234.77	6.57	0.00	6.57	90.0	5.91
03/20/2017	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	50.89	1.42	0.00	1.42	90.0	1.28
03/10/2017	NORRIS, CHRISTOPHER	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	26.01	0.00	26.01	90.0	23.41
03/10/2017	EBERHARD, DAVID	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	9.36	0.00	9.36	90.0	8.42
03/10/2017	PALMERI, DANIEL	FRANKLIN NATURAL RESOURCES C	0.00	1.18	0.00	1.18	90.0	1.06
03/10/2017	COLASUONNO, RALPH	FRANKLIN NATURAL RESOURCES C	0.00	5.34	0.00	5.34	90.0	4.81
03/10/2017	MARKHAM, JASON	FRANKLIN NATURAL RESOURCES C	0.00	4.03	0.00	4.03	90.0	3.63
03/10/2017	GUMBLETON, CARRIE	FRANKLIN NATURAL RESOURCES C	0.00	5.39	0.00	5.39	90.0	4.85
03/10/2017	HEILMANN, MAUREEN	FRANKLIN RISING DIVIDENDS A	0.00	1.14	0.00	1.14	90.0	1.03
03/10/2017	MARINI, ZAREFA	FRANKLIN RISING DIVIDENDS A	0.00	0.23	0.00	0.23	90.0	0.21
03/10/2017	MAHER, KEIRA	FRANKLIN RISING DIVIDENDS A	0.00	1.20	0.00	1.20	90.0	1.08
03/10/2017	MAHER, MAIGHDLIN	FRANKLIN RISING DIVIDENDS A	0.00	1.99	0.00	1.99	90.0	1.79
03/10/2017	MAHER, SEAMUS	FRANKLIN RISING DIVIDENDS A	0.00	0.61	0.00	0.61	90.0	0.55
03/10/2017	CORNWELL, VICTORIA	FRANKLIN RISING DIVIDENDS C	0.00	9.16	0.00	9.16	90.0	8.24
03/10/2017	DARR, CRAIG	FRANKLIN RISING DIVIDENDS C	0.00	12.81	0.00	12.81	90.0	11.53
03/10/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	9.52	0.00	9.52	90.0	8.57
03/10/2017	BUREK, CANDACE	FRANKLIN RISING DIVIDENDS C	0.00	5.75	0.00	5.75	90.0	5.18
03/10/2017	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	2.52	0.00	2.52	90.0	2.27
03/10/2017	HOPPE, KYLE	FRANKLIN RISING DIVIDENDS C	0.00	12.27	0.00	12.27	90.0	11.04
03/10/2017	SIZEMORE, KATHERINE	FRANKLIN RISING DIVIDENDS C	0.00	16.02	0.00	16.02	90.0	14.42
03/10/2017	BJERK JR, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	8.57	0.00	8.57	90.0	7.71
03/10/2017	PLASTIRAS, JULIANA	FRANKLIN RISING DIVIDENDS C	0.00	10.72	0.00	10.72	90.0	9.65
03/10/2017	MARKHAM, ELIZABETH	FRANKLIN RISING DIVIDENDS C	0.00	4.76	0.00	4.76	90.0	4.28
03/10/2017	BALDWIN, JASON	FRANKLIN RISING DIVIDENDS C	0.00	6.72	0.00	6.72	90.0	6.05
03/10/2017	HICKSON, MARK	FRANKLIN RISING DIVIDENDS C	0.00	27.49	0.00	27.49	90.0	24.74

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/10/2017	BUREK, ROSANNE	FRANKLIN RISING DIVIDENDS C	0.00	17.78	0.00	17.78	90.0	16.00
03/10/2017	ALBIN, SHELLEY	FRANKLIN RISING DIVIDENDS C	0.00	5.39	0.00	5.39	90.0	4.85
03/10/2017	ALBIN, FREDERICK	FRANKLIN RISING DIVIDENDS C	0.00	5.39	0.00	5.39	90.0	4.85
03/10/2017	PALMER, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	8.87	0.00	8.87	90.0	7.98
03/10/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	22.83	0.00	22.83	90.0	20.55
03/10/2017	RUSSO, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	11.76	0.00	11.76	90.0	10.58
03/10/2017	BOLUS, ELLA	FRANKLIN RISING DIVIDENDS C	0.00	29.91	0.00	29.91	90.0	26.92
03/10/2017	RUSSO, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	10.80	0.00	10.80	90.0	9.72
03/10/2017	ALLEN, MARY	FRANKLIN RISING DIVIDENDS C	0.00	9.57	0.00	9.57	90.0	8.61
03/10/2017	KESSOR, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	0.53	0.00	0.53	90.0	0.48
03/10/2017	POUPORE, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	0.42	0.00	0.42	90.0	0.38
03/10/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	20.11	0.00	20.11	90.0	18.10
03/10/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	9.00	0.00	9.00	90.0	8.10
03/10/2017	PALMERI, SAMUEL	FRANKLIN RISING DIVIDENDS C	0.00	13.08	0.00	13.08	90.0	11.77
03/10/2017	PALMERI, BEVERLY	FRANKLIN RISING DIVIDENDS C	0.00	14.29	0.00	14.29	90.0	12.86
03/10/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	21.35	0.00	21.35	90.0	19.22
03/10/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	0.85	0.00	0.85	90.0	0.77
03/10/2017	LOUGHLIN, DENNIS	FRANKLIN RISING DIVIDENDS C	0.00	17.82	0.00	17.82	90.0	16.04
03/10/2017	STONE, JANE	FRANKLIN RISING DIVIDENDS C	0.00	5.40	0.00	5.40	90.0	4.86
03/10/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	10.68	0.00	10.68	90.0	9.61
03/10/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	5.19	0.00	5.19	90.0	4.67
03/10/2017	MARSACK, KAREN	FRANKLIN RISING DIVIDENDS C	0.00	24.38	0.00	24.38	90.0	21.94
03/10/2017	O'CONNOR, HOLLY	FRANKLIN RISING DIVIDENDS C	0.00	6.08	0.00	6.08	90.0	5.47
03/10/2017	O'DONNELL, JUDITH	FRANKLIN RISING DIVIDENDS C	0.00	6.63	0.00	6.63	90.0	5.97
03/10/2017	PALMERI, DANIEL	FRANKLIN RISING DIVIDENDS C	0.00	1.26	0.00	1.26	90.0	1.13
03/10/2017	BUSSELL, JEFFREY	FRANKLIN RISING DIVIDENDS C	0.00	1.96	0.00	1.96	90.0	1.76
03/10/2017	PALMERI, PAUL	FRANKLIN RISING DIVIDENDS C	0.00	5.42	0.00	5.42	90.0	4.88
03/10/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	7.04	0.00	7.04	90.0	6.34
03/10/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	49.38	0.00	49.38	90.0	44.44
03/10/2017	JACK, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	0.13	0.00	0.13	90.0	0.12
03/10/2017	FRASER, SHARON	FRANKLIN RISING DIVIDENDS C	0.00	16.82	0.00	16.82	90.0	15.14
03/10/2017	DAVIS, ALTON	FRANKLIN RISING DIVIDENDS C	0.00	17.50	0.00	17.50	90.0	15.75
03/10/2017	COLASUONNO, RALPH	FRANKLIN RISING DIVIDENDS C	0.00	20.62	0.00	20.62	90.0	18.56

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/10/2017	MAES, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	12.25	0.00	12.25	90.0	11.03
03/10/2017	LUJAN, DEBORAH	FRANKLIN RISING DIVIDENDS C	0.00	19.27	0.00	19.27	90.0	17.34
03/10/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	3.21	0.00	3.21	90.0	2.89
03/10/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	21.45	0.00	21.45	90.0	19.31
03/10/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	7.75	0.00	7.75	90.0	6.98
03/10/2017	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	7.82	0.00	7.82	90.0	7.04
03/10/2017	HEIDLOFF, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	3.69	0.00	3.69	90.0	3.32
03/10/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	14.31	0.00	14.31	90.0	12.88
03/10/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	21.72	0.00	21.72	90.0	19.55
03/10/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	10.74	0.00	10.74	90.0	9.67
03/10/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	7.03	0.00	7.03	90.0	6.33
03/10/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	0.39	0.00	0.39	90.0	0.35
03/10/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	48.13	0.00	48.13	90.0	43.32
03/10/2017	PIZZO, PIETRO	FRANKLIN RISING DIVIDENDS C	0.00	2.31	0.00	2.31	90.0	2.08
03/10/2017	PIZZO, REBECCA	FRANKLIN RISING DIVIDENDS C	0.00	6.24	0.00	6.24	90.0	5.62
03/10/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	4.29	0.00	4.29	90.0	3.86
03/10/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	2.05	0.00	2.05	90.0	1.85
03/10/2017	FOSTER SP TRUST, PATRICIA	FRANKLIN RISING DIVIDENDS C	0.00	15.30	0.00	15.30	90.0	13.77
03/10/2017	KNOPF, KATHRYN	FRANKLIN RISING DIVIDENDS C	0.00	0.11	0.00	0.11	90.0	0.10
03/10/2017	NUNEZ TRUST, LYNDIA	FRANKLIN RISING DIVIDENDS C	0.00	34.81	0.00	34.81	90.0	31.33
03/10/2017	EBERHARD, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	5.66	0.00	5.66	90.0	5.09
03/10/2017	BEDARD, MARY	FRANKLIN RISING DIVIDENDS C	0.00	8.95	0.00	8.95	90.0	8.06
03/10/2017	SCACCIA, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	10.95	0.00	10.95	90.0	9.86
03/10/2017	HENKE, FRANK	FRANKLIN RISING DIVIDENDS C	0.00	11.84	0.00	11.84	90.0	10.66
03/10/2017	HOLMES, JACK PATRICK	FRANKLIN RISING DIVIDENDS C	0.00	1.55	0.00	1.55	90.0	1.40
03/10/2017	ALBIN, FREDERICK	FRANKLIN RISING DIVIDENDS C	0.00	22.63	0.00	22.63	90.0	20.37
03/10/2017	QUSSAR, JAMES	FRANKLIN RISING DIVIDENDS C	0.00	3.59	0.00	3.59	90.0	3.23
03/10/2017	STOKES, RICHARD	FRANKLIN RISING DIVIDENDS C	0.00	3.63	0.00	3.63	90.0	3.27
03/10/2017	MAHER TRUST, MARY	FRANKLIN RISING DIVIDENDS C	0.00	19.91	0.00	19.91	90.0	17.92
03/10/2017	PAGANO, MAUREEN	FRANKLIN RISING DIVIDENDS C	0.00	7.00	0.00	7.00	90.0	6.30
03/10/2017	MAHER, OWEN	FRANKLIN RISING DIVIDENDS C	0.00	4.53	0.00	4.53	90.0	4.08
03/10/2017	WOLVERINE HUMAN SCV	FRANKLIN RISING DIVIDENDS C	0.00	161.52	0.00	161.52	90.0	145.37
03/10/2017	JAMES, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	9.39	0.00	9.39	90.0	8.45

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
03/10/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	5.92	0.00	5.92	90.0	5.33
03/10/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	11.17	0.00	11.17	90.0	10.05
03/10/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	9.86	0.00	9.86	90.0	8.87
03/10/2017	MCCRACKEN, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	11.68	0.00	11.68	90.0	10.51
03/10/2017	DILAY, DENISE	FRANKLIN RISING DIVIDENDS C	0.00	11.60	0.00	11.60	90.0	10.44
03/10/2017	LINDSEY, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	7.27	0.00	7.27	90.0	6.54
03/15/2017	SIZEMORE, KATHERINE	FRANKLIN RISING DIVIDENDS C	21,491.82	20.00	0.00	20.00	90.0	18.00
03/21/2017	SCHOOLEY, MEGHAN	FRANKLIN RISING DIVIDENDS C	14,000.00	160.00	0.00	160.00	90.0	144.00
03/23/2017	O'DONNELL, JUDITH	FRANKLIN RISING DIVIDENDS C	7,300.00	93.00	0.00	93.00	90.0	83.70
03/30/2017	SCACCIA, KELLY	FRANKLIN RISING DIVIDENDS C	3,000.00	50.00	0.00	50.00	90.0	45.00
03/10/2017	PAGANO, MAUREEN	FRANKLIN SMALL CAP VALUE C	0.00	4.56	0.00	4.56	90.0	4.10
03/10/2017	PROKOP, STANLEY	FRANKLIN STRATEGIC INCOME C	0.00	70.33	0.00	70.33	90.0	63.30
03/10/2017	O'CONNOR, AARON	FRANKLIN UTILITIES C	0.00	3.35	0.00	3.35	90.0	3.02
03/10/2017	MAHER, HENRY	FRANKLIN UTILITIES C	0.00	18.85	0.00	18.85	90.0	16.97
03/10/2017	DAVIS, ALTON	FRANKLIN UTILITIES C	0.00	10.87	0.00	10.87	90.0	9.78
03/10/2017	PAGANO, MAUREEN	FRANKLIN UTILITIES C	0.00	3.26	0.00	3.26	90.0	2.93
03/10/2017	WOLVERINE HUMAN SCV	FRANKLIN UTILITIES C	0.00	32.05	0.00	32.05	90.0	28.85
03/10/2017	GLINES, DAVID	FT FOUNDING FDS ALLOC C	0.00	2.61	0.00	2.61	90.0	2.35
03/10/2017	GLINES, WILBERT	FT FOUNDING FDS ALLOC C	0.00	7.32	0.00	7.32	90.0	6.59
03/10/2017	ZANONI, CARRIE	FT FOUNDING FDS ALLOC C	0.00	4.85	0.00	4.85	90.0	4.37
03/20/2017	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	237.47	6.65	0.00	6.65	90.0	5.99
03/20/2017	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	1,095.62	30.68	0.00	30.68	90.0	27.61
03/20/2017	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	50.88	1.42	0.00	1.42	90.0	1.28
03/20/2017	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	234.77	6.57	0.00	6.57	90.0	5.91
03/10/2017	HOLMES, JACK PATRICK	IVY ASSET STRATEGY FUND CLASS A	0.00	0.16	0.00	0.16	90.0	0.14
03/10/2017	MAHER, HENRY	IVY FDS INC ASSET STRATEGY FD CL B	0.00	5.68	0.00	5.68	90.0	5.11
03/10/2017	MAHER, MAIGHDLIN	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.24	0.00	0.24	90.0	0.22
03/10/2017	MAHER, SEAMUS	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.25	0.00	0.25	90.0	0.23
03/10/2017	HOLMES, KAYLA	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.25	0.00	0.25	90.0	0.23
03/10/2017	HOLMES, JACK PATRICK	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.12	0.00	0.12	90.0	0.11
03/10/2017	MAHER, SEAMUS	LORD ABBETT AFFILIATED A	0.00	0.94	0.00	0.94	90.0	0.85
03/10/2017	MAHER, OWEN	LORD ABBETT AFFILIATED A	0.00	1.21	0.00	1.21	90.0	1.09
03/10/2017	KORESKY, JOHN	LORD ABBETT EQUITY TR CALIBRTD LARG	0.00	26.12	0.00	26.12	90.0	23.51

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
03/10/2017	HOLMES, KAYLA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	1.30	0.00	1.30	90.0	1.17
03/10/2017	NORRIS, CHRISTOPHER	LORD ABBETT INCOME FUND CL C	0.00	19.58	0.00	19.58	90.0	17.62
03/10/2017	PEVOS, DEBRA	LORD ABBETT INCOME FUND CL C	0.00	6.59	0.00	6.59	90.0	5.93
03/10/2017	BUSSELL, JEFFREY	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	5.83	0.00	5.83	90.0	5.25
03/10/2017	MARIN REV TRUST, NICHOLAS	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	20.44	0.00	20.44	90.0	18.40
03/10/2017	MAHER, HENRY	MAINSTAY MAP A	0.00	11.95	0.00	11.95	90.0	10.76
03/10/2017	HOLMES, JACK PATRICK	MFS RESEARCH INTL A	0.00	0.18	0.00	0.18	90.0	0.16
03/10/2017	HOLMES, JACK PATRICK	MFS SER TR I RESEARCH INTL FD CL B	0.00	0.26	0.00	0.26	90.0	0.23
03/10/2017	HOLMES, JACK PATRICK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.32	0.00	0.32	90.0	0.29
/ /	MOTOR CITY COMPUTER	OPPENHEIMER DEV MARKETS (N)	0.00	68.84	0.00	68.84	90.0	61.96
03/10/2017	BUREK, ROSANNE	OPPENHEIMER ROCHESTER NATIONAL MUNI	0.00	1.40	0.00	1.40	90.0	1.26
03/15/2017	SIZEMORE, KATHERINE	PIMCO EQUITY	11.75	0.00	0.00	0.00	90.0	0.00
03/16/2017	MOTOR CITY COMPUTER	PIONEER BOND FUND A	99.00	0.99	0.00	0.99	90.0	0.89
03/29/2017	MOTOR CITY COMPUTER	PIONEER BOND FUND A	99.00	0.99	0.00	0.99	90.0	0.89
03/10/2017	MAHER, HENRY	PIONEER MID CAP VALUE A	0.00	7.38	0.00	7.38	90.0	6.64
03/02/2017	GILLESPIE, HEATHER	ROYCE PENNSYLVANIA MUTUAL	3,300.00	20.00	23.00	-3.00	90.0	-2.70
03/10/2017	GILLESPIE, HEATHER	ROYCE PENNSYLVANIA MUTUAL	0.00	17.29	0.00	17.29	90.0	15.56
03/10/2017	MARINI, ZAREFA	TEMPLETON DEVELOPING MKTS A	0.00	0.06	0.00	0.06	90.0	0.05
03/10/2017	PIASCIK, SHAWN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	23.02	0.00	23.02	90.0	20.72
03/10/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	19.26	0.00	19.26	90.0	17.33
03/10/2017	PALMERI, PAUL	TEMPLETON GLOBAL BOND A	0.00	1.74	0.00	1.74	90.0	1.57
03/10/2017	BANNICK, ROBERT	TEMPLETON GLOBAL BOND C	0.00	5.42	0.00	5.42	90.0	4.88
03/10/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GLOBAL BOND C	0.00	1.32	0.00	1.32	90.0	1.19
03/10/2017	MARIN REV TRUST, NICHOLAS	TEMPLETON GLOBAL BOND C	0.00	10.57	0.00	10.57	90.0	9.51
03/10/2017	NUNEZ TRUST, LYNDIA	TEMPLETON GLOBAL BOND C	0.00	5.59	0.00	5.59	90.0	5.03
03/10/2017	EBERHARD, DAVID	TEMPLETON GLOBAL BOND C	0.00	6.35	0.00	6.35	90.0	5.72
03/10/2017	GUMBLETON, CARRIE	TEMPLETON GLOBAL BOND C	0.00	5.09	0.00	5.09	90.0	4.58
03/10/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON GLOBAL BOND C	0.00	14.25	0.00	14.25	90.0	12.83
03/10/2017	QUSSAR, KELLY	TEMPLETON GLOBAL BOND C	0.00	2.86	0.00	2.86	90.0	2.57
03/10/2017	ZANONI, CARRIE	TEMPLETON GROWTH C	0.00	4.25	0.00	4.25	90.0	3.83
03/10/2017	MARKHAM, JASON	TEMPLETON GROWTH C	0.00	8.35	0.00	8.35	90.0	7.52
03/10/2017	BANNICK, ROBERT	TEMPLETON GROWTH C	0.00	1.49	0.00	1.49	90.0	1.34
03/10/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GROWTH C	0.00	1.99	0.00	1.99	90.0	1.79

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/10/2017	PIZZO, SALVATORE	TEMPLETON GROWTH C	0.00	4.42	0.00	4.42	90.0	3.98
03/10/2017	GUMBLETON, CARRIE	TEMPLETON GROWTH C	0.00	10.13	0.00	10.13	90.0	9.12
03/10/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	11.89	0.00	11.89	90.0	10.70
03/10/2017	SIMON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	7.36	0.00	7.36	90.0	6.62
03/10/2017	JACK, STANLEY	TRANSAMERICA AA MOD GROWTH C	0.00	5.03	0.00	5.03	90.0	4.53
03/10/2017	LOUGHLIN, DENNIS	TRANSAMERICA AA MOD GROWTH C	0.00	11.32	0.00	11.32	90.0	10.19
03/10/2017	PIASCIK, SHAWN	TRANSAMERICA AA MOD GROWTH C	0.00	32.15	0.00	32.15	90.0	28.94
03/10/2017	GUMBLETON, CARRIE	TRANSAMERICA AA MOD GROWTH C	0.00	14.14	0.00	14.14	90.0	12.73
03/10/2017	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	0.00	10.25	0.00	10.25	90.0	9.23
03/10/2017	MARSACK, KAREN	TRANSAMERICA AA MODERATE C	0.00	10.63	0.00	10.63	90.0	9.57
03/10/2017	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	0.00	7.08	0.00	7.08	90.0	6.37
03/10/2017	BANNICK, ROBERT	TRANSAMERICA AA MODERATE C	0.00	6.77	0.00	6.77	90.0	6.09
03/10/2017	PIZZO, PIETRO	TRANSAMERICA AA MODERATE C	0.00	7.08	0.00	7.08	90.0	6.37
03/10/2017	PIZZO, MICHELE	TRANSAMERICA AA MODERATE C	0.00	0.10	0.00	0.10	90.0	0.09
03/10/2017	PIZZO, FRANCESCO	TRANSAMERICA AA MODERATE C	0.00	7.26	0.00	7.26	90.0	6.53
03/10/2017	ROGERS, NICOLE	VOYA CORP LEADERS 100 A	0.00	2.16	0.00	2.16	90.0	1.94
03/10/2017	MAHER, HENRY	VOYA INTL VALUE EQUITY A	0.00	8.43	0.00	8.43	90.0	7.59
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$148,690.36</b>	<b>\$6,258.60</b>	<b>\$23.00</b>	<b>\$6,235.60</b>		<b>\$5,612.56</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/24/2017	SIZEMORE, KATHERINE	PROCTER & GAMBLE CO COM	4,895.11	50.00	22.00	28.00	90.0	25.20
03/16/2017	MAHER, JAMES	SPDR S&P 500 ETF TR TR UNIT	3,576.75	0.00	22.00	-22.00	90.0	-19.80
<b>* STOCKS SUBTOTAL *</b>			<b>\$8,471.86</b>	<b>\$50.00</b>	<b>\$44.00</b>	<b>\$6.00</b>		<b>\$5.40</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
04/04/2017	ATTENDANT CARE, 401K	GWL GRP ANNUITY 401K	160,427.39	102.19	0.00	102.19	90.0	91.97
04/04/2017	RACHWAL, BARTON	GWL GRP ANNUITY 401K	352,752.90	149.80	0.00	149.80	90.0	134.82
04/04/2017	GURSTEN, KOLTONOW	GWL NET ASSET VALUE 401K	7,531,843.39	1,599.23	0.00	1,599.23	90.0	1,439.31
04/04/2017	CHRISTENSEN PLLC, DAVID	GWL NET ASSET VALUE 401K	363,691.34	154.44	0.00	154.44	90.0	139.00
03/31/2017	RACHWAL, BARTON	JNL PERSPECTIVE II 05/05	166,412.73	34.67	0.00	34.67	90.0	31.20
03/31/2017	MAHER, HENRY	JNL PERSPECTIVE II 05/05	101,683.91	42.37	0.00	42.37	90.0	38.13
03/31/2017	HICKSON, ANDREW	JNL PERSPECTIVE II 05/05	45,835.44	19.10	0.00	19.10	90.0	17.19
03/31/2017	VEIT, BEVERLY	JNL PERSPECTIVE L	18,350.26	15.29	0.00	15.29	90.0	13.76
03/31/2017	PALMERI, BEVERLY	JNL PERSPECTIVE L	101,147.79	84.29	0.00	84.29	90.0	75.86

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/31/2017	FRASER, SHARON	JNL PERSPECTIVE L	41,012.77	34.18	0.00	34.18	90.0	30.76
03/31/2017	TAYLOR, JAMIE	JNL PERSPECTIVE L	42,737.40	35.61	0.00	35.61	90.0	32.05
03/31/2017	FLOWERS, EDWARD	JNL PERSPECTIVE L	140,962.36	117.47	0.00	117.47	90.0	105.72
03/31/2017	STOKES, RICHARD	JNL PERSPECTIVE L	76,448.97	63.71	0.00	63.71	90.0	57.34
03/31/2017	STONE, EDWARD	JNL PERSPECTIVE L	88,804.91	74.00	0.00	74.00	90.0	66.60
03/31/2017	PALMERI, SAMUEL	JNL PERSPECTIVE L	101,053.87	84.21	0.00	84.21	90.0	75.79
03/31/2017	STEINMETZ JR, JAMES	JNL PERSPECTIVE L	105,915.41	88.26	0.00	88.26	90.0	79.43
03/31/2017	VITALE, PETER	JNL PERSPECTIVE L	132,534.50	110.45	0.00	110.45	90.0	99.41
03/31/2017	BROEREN, ANTHONY	JNL PERSPECTIVE L	179,426.03	149.52	0.00	149.52	90.0	134.57
03/31/2017	CORNWELL, VICTORIA	JNL PERSPECTIVE L	206,469.05	172.06	0.00	172.06	90.0	154.85
03/31/2017	TAYLOR, JAMIE	METLIFE SERIES L	556,371.42	1,390.93	0.00	1,390.93	90.0	1,251.84
03/31/2017	FOSTER, ANTHONY	METLIFE SERIES L	41,408.16	103.52	0.00	103.52	90.0	93.17
03/31/2017	JACK, LINDA	METLIFE SERIES L	37,989.13	94.97	0.00	94.97	90.0	85.47
03/31/2017	TAYLOR, JAMIE	METLIFE SERIES L	129,442.13	323.61	0.00	323.61	90.0	291.25
03/31/2017	MARSACK, KAREN	METLIFE SERIES L	37,207.04	93.02	0.00	93.02	90.0	83.72
03/31/2017	FAIRLEY, KIMBERLEY	METLIFE SERIES L	238,408.47	596.02	0.00	596.02	90.0	536.42
03/31/2017	TURNER, HAZEL	METLIFE SERIES L	111,106.74	277.77	0.00	277.77	90.0	249.99
03/31/2017	COURTER, THEODORE	METLIFE SERIES L	375,530.27	938.83	0.00	938.83	90.0	844.95
03/31/2017	MARSHALL, CAROLYN	METLIFE USA SERIES VA	188,310.64	470.78	0.00	470.78	90.0	423.70
03/31/2017	KOTT, DIANE	METLIFE USA SERIES VA	382,670.77	239.17	0.00	239.17	90.0	215.25
03/31/2017	HARRIS, JANE	PRU 74429E487	265,628.68	509.12	0.00	509.12	90.0	458.21
03/31/2017	YURIK, DEBRA	PRU AS ADVISOR PLAN III	35,151.05	21.97	0.00	21.97	90.0	19.77
03/31/2017	YURIK, DEBRA	PRU AS ADVISOR PLAN III	35,151.05	21.97	0.00	21.97	90.0	19.77
03/31/2017	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	95,700.25	59.81	0.00	59.81	90.0	53.83
03/31/2017	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	95,700.25	239.25	0.00	239.25	90.0	215.33
03/31/2017	VIAR, DAVID	PRU AS APEX II	18,838.83	11.77	0.00	11.77	90.0	10.59
03/31/2017	VIAR, DAVID	PRU AS APEX II	18,838.83	47.10	0.00	47.10	90.0	42.39
03/31/2017	BERARD, LISA	PRU AS APEX II	67,654.46	169.14	0.00	169.14	90.0	152.23
03/31/2017	BERARD, LISA	PRU AS APEX II	67,654.46	42.28	0.00	42.28	90.0	38.05
03/31/2017	BANNICK, ROBERT	PRU AS APEX II	120,270.49	75.17	0.00	75.17	90.0	67.65
03/31/2017	BANNICK, ROBERT	PRU AS APEX II	120,270.49	300.68	0.00	300.68	90.0	270.61
03/31/2017	MORRIS, ERNEST	PRU AS APEX II	24,072.23	15.05	0.00	15.05	90.0	13.55
03/31/2017	MORRIS, ERNEST	PRU AS APEX II	24,072.23	60.18	0.00	60.18	90.0	54.16

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/31/2017	FISCHER, SHIRLEY	PRU AS APEX II	47,093.63	29.43	0.00	29.43	90.0	26.49
03/31/2017	FISCHER, SHIRLEY	PRU AS APEX II	47,093.63	117.73	0.00	117.73	90.0	105.96
03/31/2017	STONE, ELAINE	PRU AS APEX II	216,270.57	135.17	0.00	135.17	90.0	121.65
03/31/2017	STONE, ELAINE	PRU AS APEX II	216,270.57	540.68	0.00	540.68	90.0	486.61
03/31/2017	MARSHALL, SANDRA	PRU AS APEX II	165,173.64	103.23	0.00	103.23	90.0	92.91
03/31/2017	MARSHALL, SANDRA	PRU AS APEX II	165,173.64	412.93	0.00	412.93	90.0	371.64
03/31/2017	MARSHALL TRUST, SANDRA	PRU AS APEX II	76,394.72	47.75	0.00	47.75	90.0	42.98
03/31/2017	MARSHALL TRUST, SANDRA	PRU AS APEX II	76,394.72	190.99	0.00	190.99	90.0	171.89
03/31/2017	TACCONELLI, JOHN	PRU AS APEX II	101,424.91	63.39	0.00	63.39	90.0	57.05
03/31/2017	TACCONELLI, JOHN	PRU AS APEX II	101,424.91	253.56	0.00	253.56	90.0	228.20
03/31/2017	TACCONELLI, JOHN	PRU AS APEX II	49,464.01	30.92	0.00	30.92	90.0	27.83
03/31/2017	TACCONELLI, JOHN	PRU AS APEX II	49,464.01	123.66	0.00	123.66	90.0	111.29
03/31/2017	MARSACK, KAREN	PRU AS APEX II	486,903.24	304.31	0.00	304.31	90.0	273.88
03/31/2017	MARSACK, KAREN	PRU AS APEX II	486,903.24	1,217.26	0.00	1,217.26	90.0	1,095.53
03/31/2017	MARSACK, KAREN	PRU AS APEX II	70,587.57	44.12	0.00	44.12	90.0	39.71
03/31/2017	MARSACK, KAREN	PRU AS APEX II	70,587.57	176.47	0.00	176.47	90.0	158.82
03/31/2017	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	20,788.33	12.99	0.00	12.99	90.0	11.69
03/31/2017	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	20,788.33	51.97	0.00	51.97	90.0	46.77
03/31/2017	YURIK, DEBRA	PRU AS APEX II	79,972.49	49.98	0.00	49.98	90.0	44.98
03/31/2017	YURIK, DEBRA	PRU AS APEX II	79,972.49	199.93	0.00	199.93	90.0	179.94
03/31/2017	ELIAS, PAUL	PRU AS APEX II	72,655.22	45.41	0.00	45.41	90.0	40.87
03/31/2017	ELIAS, PAUL	PRU AS APEX II	72,655.22	181.64	0.00	181.64	90.0	163.48
03/31/2017	QUEDNAU, KELLY	PRU AS APEX II	14,481.37	9.05	0.00	9.05	90.0	8.15
03/31/2017	QUEDNAU, KELLY	PRU AS APEX II	14,481.37	36.20	0.00	36.20	90.0	32.58
03/31/2017	FRASER, SHARON	PRU AS APEX II	36,418.83	22.76	0.00	22.76	90.0	20.48
03/31/2017	FRASER, SHARON	PRU AS APEX II	36,418.83	91.05	0.00	91.05	90.0	81.95
03/31/2017	BARNES, TAI	PRU AS APEX II	27,621.03	17.26	0.00	17.26	90.0	15.53
03/31/2017	BARNES, TAI	PRU AS APEX II	27,621.03	69.05	0.00	69.05	90.0	62.15
03/31/2017	GILLESPIE, HEATHER	PRU AS APEX II	129,413.48	80.88	0.00	80.88	90.0	72.79
03/31/2017	GILLESPIE, HEATHER	PRU AS APEX II	129,413.48	323.53	0.00	323.53	90.0	291.18
03/31/2017	FINLEY, RENEATHIA	PRU AS APEX II	5,985.76	3.74	0.00	3.74	90.0	3.37
03/31/2017	FINLEY, RENEATHIA	PRU AS APEX II	5,985.76	14.96	0.00	14.96	90.0	13.46
03/31/2017	GILMORE, JOHNSON	PRU AS APEX II	1,587.55	0.99	0.00	0.99	90.0	0.89

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/31/2017	GILMORE, JOHNSON	PRU AS APEX II	1,587.55	3.97	0.00	3.97	90.0	3.57
03/31/2017	O'DONNELL, JUDITH	PRU AS APEX II	168,287.94	105.18	0.00	105.18	90.0	94.66
03/31/2017	O'DONNELL, JUDITH	PRU AS APEX II	168,287.94	420.72	0.00	420.72	90.0	378.65
03/31/2017	JACK, LINDA	PRU AS APEX II	58,606.25	36.63	0.00	36.63	90.0	32.97
03/31/2017	JACK, LINDA	PRU AS APEX II	58,606.25	146.52	0.00	146.52	90.0	131.87
03/31/2017	PIASCIK, SHAWN	PRU AS APEX II	103,671.54	64.79	0.00	64.79	90.0	58.31
03/31/2017	PIASCIK, SHAWN	PRU AS APEX II	103,671.54	259.18	0.00	259.18	90.0	233.26
03/31/2017	ELSE, KATHERINE	PRU AS APEX II	108,413.13	67.76	0.00	67.76	90.0	60.98
03/31/2017	ELSE, KATHERINE	PRU AS APEX II	108,413.13	271.03	0.00	271.03	90.0	243.93
03/31/2017	NUNEZ, LYNDIA	PRU AS APEX II	612,718.17	382.95	0.00	382.95	90.0	344.66
03/31/2017	NUNEZ, LYNDIA	PRU AS APEX II	612,718.17	1,148.85	0.00	1,148.85	90.0	1,033.97
03/31/2017	FLEURY, DAVID	PRU AS APEX II	107,337.87	67.09	0.00	67.09	90.0	60.38
03/31/2017	FLEURY, DAVID	PRU AS APEX II	107,337.87	268.34	0.00	268.34	90.0	241.51
03/31/2017	ZERVOS JR, ARISTEDES	PRU AS APEX II	748,822.63	468.01	0.00	468.01	90.0	421.21
03/31/2017	ZERVOS JR, ARISTEDES	PRU AS APEX II	748,822.63	1,872.06	0.00	1,872.06	90.0	1,684.85
03/31/2017	PALMERI, BEVERLY	PRU AS APEX II	304,345.98	190.22	0.00	190.22	90.0	171.20
03/31/2017	PALMERI, BEVERLY	PRU AS APEX II	304,345.98	760.86	0.00	760.86	90.0	684.77
03/31/2017	HARRISON, SHARI	PRU AS APEX II	28,107.40	17.57	0.00	17.57	90.0	15.81
03/31/2017	HARRISON, SHARI	PRU AS APEX II	28,107.40	70.27	0.00	70.27	90.0	63.24
03/31/2017	VITALE, PETER	PRU AS APEX II	129,218.49	80.76	0.00	80.76	90.0	72.68
03/31/2017	VITALE, PETER	PRU AS APEX II	129,218.49	323.05	0.00	323.05	90.0	290.75
03/31/2017	MAHER TRUST, MARY	PRU AS APEX II	153,344.43	95.84	0.00	95.84	90.0	86.26
03/31/2017	MAHER TRUST, MARY	PRU AS APEX II	153,344.43	383.36	0.00	383.36	90.0	345.02
03/31/2017	HATCHETT, AYANNA	PRU AS APEX II	4,324.53	2.70	0.00	2.70	90.0	2.43
03/31/2017	HATCHETT, AYANNA	PRU AS APEX II	4,324.53	10.81	0.00	10.81	90.0	9.73
03/31/2017	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	127,955.66	79.97	0.00	79.97	90.0	71.97
03/31/2017	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	127,955.66	319.89	0.00	319.89	90.0	287.90
03/31/2017	LUJAN, DEBORAH	PRU AS APEX II	70,330.72	43.96	0.00	43.96	90.0	39.56
03/31/2017	LUJAN, DEBORAH	PRU AS APEX II	70,330.72	175.83	0.00	175.83	90.0	158.25
03/31/2017	TROKIC, MEVLIDA	PRU AS APEX II	189,478.94	118.42	0.00	118.42	90.0	106.58
03/31/2017	TROKIC, MEVLIDA	PRU AS APEX II	189,478.94	473.70	0.00	473.70	90.0	426.33
03/31/2017	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	28,828.41	18.02	0.00	18.02	90.0	16.22
03/31/2017	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	28,828.41	72.07	0.00	72.07	90.0	64.86

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
03/31/2017	MARSACK, KAREN	PRU DEFINED INCOME	142,086.58	355.22	0.00	355.22	90.0	319.70
03/31/2017	LINDSEY, BARBARA	PRU DEFINED INCOME	64,490.78	161.23	0.00	161.23	90.0	145.11
03/31/2017	FOSTER SP TRUST, PATRICIA	PRU DEFINED INCOME	103,913.32	259.78	0.00	259.78	90.0	233.80
03/31/2017	TAYLOR, JAMIE	PRU DEFINED INCOME	155,064.12	387.66	0.00	387.66	90.0	348.89
03/31/2017	WALSH, LORRAINE	PRU DEFINED INCOME	72,596.59	181.49	0.00	181.49	90.0	163.34
03/31/2017	LEFKA, KAYE	PRU DEFINED INCOME	50,851.13	127.13	0.00	127.13	90.0	114.42
03/31/2017	MARKHAM, PATRICK	PRU DEFINED INCOME	115,685.92	289.21	0.00	289.21	90.0	260.29
03/31/2017	ELSE, KATHERINE	PRU DEFINED INCOME	21,813.47	54.53	0.00	54.53	90.0	49.08
03/31/2017	WHEELER, WILLARD	PRU DEFINED INCOME	527,114.43	1,317.79	0.00	1,317.79	90.0	1,186.01
03/31/2017	ALLEN, MARY	PRU DEFINED INCOME	162,103.54	405.26	0.00	405.26	90.0	364.73
03/31/2017	DINON, MARY	PRU PREMIER RETIREMENT C	81,992.29	204.98	0.00	204.98	90.0	184.48
03/31/2017	BROWN, MICHAEL	PRU PREMIER RETIREMENT C	154,954.04	387.39	0.00	387.39	90.0	348.65
03/31/2017	URSO, DOREEN	PRU PREMIER RETIREMENT C	155,096.65	387.74	0.00	387.74	90.0	348.97
03/31/2017	MCCRACKEN, ROBERT	PRU PREMIER RETIREMENT L	136,781.18	341.95	0.00	341.95	90.0	307.76
03/31/2017	CARROLL, EILEEN	PRU PREMIER RETIREMENT L	66,784.69	166.96	0.00	166.96	90.0	150.26
03/31/2017	TAYLOR, JAMIE	PRU PREMIER RETIREMENT L	697,380.06	1,743.45	0.00	1,743.45	90.0	1,569.11
03/31/2017	MARSHALL, IRONA	PRU PREMIER RETIREMENT L	145,537.45	363.84	0.00	363.84	90.0	327.46
03/31/2017	BIXBY, STEVEN	PRU PREMIER RETIREMENT L	217,516.54	543.79	0.00	543.79	90.0	489.41
03/31/2017	MARSHALL, DEMETRIA	PRU PREMIER RETIREMENT L	51,465.02	128.66	0.00	128.66	90.0	115.79
03/31/2017	HANNAH, EDWARD	PRU PREMIER RETIREMENT L	271,431.32	678.58	0.00	678.58	90.0	610.72
03/31/2017	MCMURRAN-AQUILERA, ROGELICA	PRU PREMIER RETIREMENT L	11,906.31	29.77	0.00	29.77	90.0	26.79
03/31/2017	ELSE, KATHERINE	PRU PREMIER RETIREMENT L	18,658.68	46.65	0.00	46.65	90.0	41.99
03/31/2017	PAGANO, SALVATORE	PRU PREMIER RETIREMENT L	103,577.00	258.94	0.00	258.94	90.0	233.05
03/31/2017	PAGANO, MAUREEN	PRU PREMIER RETIREMENT L	123,719.84	309.30	0.00	309.30	90.0	278.37
03/31/2017	PARIC, ELVEDINA	PRU PREMIER RETIREMENT L	87,825.82	219.56	0.00	219.56	90.0	197.60
03/31/2017	FOREMAN, CYNTHIA	PRU PREMIER RETIREMENT L	66,221.74	165.55	0.00	165.55	90.0	149.00
03/31/2017	FAIRLEY, JAMES	PRU PREMIER RETIREMENT L	186,398.58	466.00	0.00	466.00	90.0	419.40
03/31/2017	PALMERI, PAUL	PRU PREMIER RETIREMENT L	36,931.87	92.33	0.00	92.33	90.0	83.10
03/31/2017	O'DONNELL, JUDITH	PRU PREMIER RETIREMENT L	54,441.78	136.10	0.00	136.10	90.0	122.49
03/31/2017	MAHER, HENRY	PRU PREMIER RETIREMENT L	429,980.61	1,074.95	0.00	1,074.95	90.0	967.46
03/31/2017	NUNEZ, LYNDA	PRU PREMIER RETIREMENT L	73,037.02	182.59	0.00	182.59	90.0	164.33
03/31/2017	HAJDAS, MICHAEL	PRU PREMIER RETIREMENT L	50,309.06	125.77	0.00	125.77	90.0	113.19
03/31/2017	BUSSELL, JEFFREY	PRU PREMIER RETIREMENT L	87,885.89	219.71	0.00	219.71	90.0	197.74

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/31/2017	EDWARDS, ROSALIND	PRU PREMIER RETIREMENT L	92,178.54	230.45	0.00	230.45	90.0	207.41
03/31/2017	IBARRA, JAIME	PRU PREMIER RETIREMENT L	122,877.67	307.19	0.00	307.19	90.0	276.47
03/31/2017	PASSALACQUA, PAULA	PRU PREMIER RETIREMENT L	22,634.83	56.59	0.00	56.59	90.0	50.93
03/31/2017	MARSHALL, CAROLYN	PRU PREMIER RETIREMENT L	195,692.39	489.23	0.00	489.23	90.0	440.31
03/31/2017	STONE, EDWARD	PRU PREMIER RETIREMENT L	208,456.15	521.14	0.00	521.14	90.0	469.03
03/31/2017	PALMERI, SAMUEL	PRU PREMIER RETIREMENT L	104,235.88	260.59	0.00	260.59	90.0	234.53
03/31/2017	STEINMETZ JR, JAMES	PRU PREMIER RETIREMENT L	155,115.46	387.79	0.00	387.79	90.0	349.01
03/31/2017	PEVOS, DEBRA	PRU PREMIER RETIREMENT L	208,700.41	521.75	0.00	521.75	90.0	469.58
03/31/2017	DILAY, DANIEL	PRU PREMIER RETIREMENT L	11,785.36	29.46	0.00	29.46	90.0	26.51
03/31/2017	DILAY, DENISE	PRU PREMIER RETIREMENT L	257,346.85	643.37	0.00	643.37	90.0	579.03
03/31/2017	KOTT, DIANE	PRU PREMIER RETIREMENT L	145,261.62	363.15	0.00	363.15	90.0	326.84
03/31/2017	WOLLACK, ROBERT	PRU PREMIER RETIREMENT L	76,045.29	190.11	0.00	190.11	90.0	171.10
03/31/2017	HATHAWAY, RICHARD	PRU PREMIER RETIREMENT L	31,333.23	78.33	0.00	78.33	90.0	70.50
03/31/2017	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	185,154.72	462.89	0.00	462.89	90.0	416.60
03/31/2017	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	94,168.68	235.42	0.00	235.42	90.0	211.88
03/31/2017	WHEELER, WILLARD	PRU PREMIER RETIREMENT L	503,300.18	1,258.25	0.00	1,258.25	90.0	1,132.43
03/31/2017	JACK, STANLEY	PRU XTRA CREDIT EIGHT	252,387.05	157.74	0.00	157.74	90.0	141.97
03/31/2017	JACK, STANLEY	PRU XTRA CREDIT EIGHT	252,387.05	315.48	0.00	315.48	90.0	283.93
03/31/2017	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	333,518.82	625.35	0.00	625.35	90.0	562.82
03/31/2017	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	333,518.82	208.45	0.00	208.45	90.0	187.61
03/31/2017	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	53,501.57	100.32	0.00	100.32	90.0	90.29
03/31/2017	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	53,501.57	33.44	0.00	33.44	90.0	30.10
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$30,555,670.83</b>	<b>\$42,346.68</b>	<b>\$0.00</b>	<b>\$42,346.68</b>		<b>\$38,112.07</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

03/31/2017	MAHER, VARIOUS	PACIFIC LIFE FUNDS	0.00	39.45	0.00	39.45	90.0	35.51
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$39.45</b>	<b>\$0.00</b>	<b>\$39.45</b>		<b>\$35.51</b>

**PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS**

03/31/2017	KOLOMYJEC, LESLIE	LFG TRAILS	0.00	52.48	0.00	52.48	90.0	47.23
03/31/2017	TACCONELLI, JOHN	LFG TRAILS	0.00	64.65	0.00	64.65	90.0	58.19
03/31/2017	HICKSON, ANDREW	LFG TRAILS	0.00	138.98	0.00	138.98	90.0	125.08
03/31/2017	O'DONNELL, JUDITH	LFG TRAILS	0.00	136.08	0.00	136.08	90.0	122.47
03/31/2017	RUSSO, DAVID	LFG TRAILS	0.00	17.29	0.00	17.29	90.0	15.56

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
03/31/2017	RUSSO, NICHOLAS	LFG TRAILS	0.00	18.06	0.00	18.06	90.0	16.25
03/31/2017	RUSSO, PHILLIP	LFG TRAILS	0.00	13.40	0.00	13.40	90.0	12.06
03/31/2017	MARSHALL, RONALD	LFG TRAILS	0.00	722.40	0.00	722.40	90.0	650.16
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,163.34</b>	<b>\$0.00</b>	<b>\$1,163.34</b>		<b>\$1,047.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$30,712,833.05</b>	<b>\$49,858.07</b>	<b>\$67.00</b>	<b>\$49,791.07</b>		<b>\$44,812.54</b>
<b><u>ADJUSTMENTS</u></b>								
04/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
04/15/2017		*FINRA: LATE DISCLOSURE FEE	0.00					-1,575.00
04/15/2017		*RBC: 7A502978 OVERNIGHT CK	0.00					-15.00
04/15/2017		*RBC: ORDER ENTRY	0.00					-65.00
04/15/2017		*RBC: WEALTHSTATION ADMIN	0.00					-25.00
04/15/2017		*TECHNOLOGY: ADMIN - ZAREFA MARINI	0.00					-100.00
04/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-2,355.00</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$44,812.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,355.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$53.50
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$42,511.04
Year-to-date Gross	\$132,865.42
Year-to-date Earnings	\$119,259.13