



# COMMISSION STATEMENT

April 02, 2018

DAVID BRODER  
143 Longfellow Rd  
Shelton, CT 06484

BROKER #: 198  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/27/2018	***-**-43IN - RISKALLA, ACCOUNT #: LSR010472	FIDELITY ADV BIOTECHNOLOGY-CL T SELL 451 Units @24.2300	10,932.82	0.00	18.00	-18.00	100.0	-18.00
03/27/2018	***-**-43IN - RISKALLA, ACCOUNT #: LSR010472	FIDELITY ADV STRATEGIC DIV & INCOME SELL 3498 Units @14.4900	50,681.66	0.00	18.00	-18.00	100.0	-18.00
03/27/2018	***-**-43IN - RISKALLA, ACCOUNT #: LSR010472	FIDELITY ADVISOR REAL ESTATE INCOME SELL 1380 Units @11.4700	15,832.00	0.00	18.00	-18.00	100.0	-18.00
03/27/2018	***-**-736I - UNGER, HELEN ACCOUNT #: LSR011196	PACIFIC FUNDS FLOAT RATE INCOME CL SELL 10 Units @10.1600	100.00	0.00	0.00	0.00	70.0	0.00
03/27/2018	***-**-736I - UNGER, HELEN ACCOUNT #: LSR011196	PACIFIC FUNDS STRATEGIC INC FD A SELL 9 Units @10.7300	100.00	0.00	0.00	0.00	70.0	0.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$77,646.48</b>	<b>\$0.00</b>	<b>\$54.00</b>	<b>\$-54.00</b>		<b>\$-54.00</b>
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
03/28/2018	***-**-925P - FENGLER, DANIEL ACCOUNT #: 68939901201	CHET 529 BUY 0 Units @0.0000	100.00	2.50	0.00	2.50	70.0	1.75
03/28/2018	***-**-925P - FENGLER, DANIEL ACCOUNT #: 68939901202	CHET 529 BUY 0 Units @0.0000	100.00	2.50	0.00	2.50	70.0	1.75
* 529 PLAN SUBTOTAL *			<b>\$200.00</b>	<b>\$5.00</b>	<b>\$0.00</b>	<b>\$5.00</b>		<b>\$3.50</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/02/2018	***-**-657I - BERRIEN, ALLEN ACCOUNT #: LSR010323	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.05	0.00	0.05	70.0	0.04
04/02/2018	***-**-57IO - SOCHA, CYNTHIA ACCOUNT #: LSR010500	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	70.0	0.05
04/02/2018	***-**-226O - MAYERSON, MS ACCOUNT #: LSR010890	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.59	0.00	0.59	70.0	0.41
04/02/2018	***-**-226O - MAYERSON, MS ACCOUNT #: LSR010890	PACIFIC FUNDS FLOAT RATE INCOME CL BUY 1 Units @0.0000	0.00	2.57	0.00	2.57	70.0	1.80
04/02/2018	***-**-736I - UNGER, HELEN ACCOUNT #: LSR011196	PACIFIC FUNDS FLOAT RATE INCOME CL BUY 1 Units @0.0000	0.00	0.87	0.00	0.87	70.0	0.61
04/02/2018	***-**-673I - CZUBIK, ACCOUNT #: LSR010662	PACIFIC FUNDS LMT DURATION HIGH INC BUY 1 Units @0.0000	0.00	2.66	0.00	2.66	70.0	1.86



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/02/2018	***-**-521I - SCHRYNEMAKERS, ACCOUNT #: LSR010663	PACIFIC FUNDS LMT DURATION HIGH INC BUY 1 Units @0.0000	0.00	3.92	0.00	3.92	70.0	2.74
04/02/2018	***-**-918I - SANCHEZ, THERESA ACCOUNT #: LSR010742	PACIFIC FUNDS LMT DURATION HIGH INC BUY 1 Units @0.0000	0.00	2.51	0.00	2.51	70.0	1.76
04/02/2018	***-**-18IN - SANCHEZ, THERESA ACCOUNT #: LSR010749	PACIFIC FUNDS LMT DURATION HIGH INC BUY 1 Units @0.0000	0.00	2.96	0.00	2.96	70.0	2.07
04/02/2018	***-**-736I - UNGER, HELEN ACCOUNT #: LSR011196	PACIFIC FUNDS STRATEGIC INC FD A BUY 1 Units @0.0000	0.00	2.63	0.00	2.63	70.0	1.84
04/02/2018	***-**-38UT - SANTOS UTMA CT, ACCOUNT #: LSR011266	PL PORT OPTIMIZATION MOD AGGRESSIVE BUY 1 Units @0.0000	0.00	0.92	0.00	0.92	70.0	0.64
04/02/2018	***-**-24UT - SANTOS UTMA CT, ACCOUNT #: LSR011267	PL PORT OPTIMIZATION MOD AGGRESSIVE BUY 1 Units @0.0000	0.00	0.92	0.00	0.92	70.0	0.64
04/02/2018	***-**-68UT - SANTOS UTMA CT, ACCOUNT #: LSR011270	PL PORT OPTIMIZATION MOD AGGRESSIVE BUY 1 Units @0.0000	0.00	0.46	0.00	0.46	70.0	0.32
04/02/2018	***-**-71UT - SANTOS UTMA CT, ACCOUNT #: LSR011271	PL PORT OPTIMIZATION MOD AGGRESSIVE BUY 1 Units @0.0000	0.00	0.46	0.00	0.46	70.0	0.32
04/02/2018	***-**-223I - GAY, LISA ACCOUNT #: LSR011789	PL PORTFOLIO OPTIMIZATION AGGRESSIV BUY 1 Units @0.0000	0.00	1.15	0.00	1.15	70.0	0.81
04/02/2018	***-**-340I - PERRONE, JOSEPH ACCOUNT #: LSR011803	PL PORTFOLIO OPTIMIZATION AGGRESSIV BUY 1 Units @0.0000	0.00	1.14	0.00	1.14	70.0	0.80
04/02/2018	***-**-732I - FARGUHAR, ACCOUNT #: LSR011806	PL PORTFOLIO OPTIMIZATION AGGRESSIV BUY 1 Units @0.0000	0.00	2.17	0.00	2.17	70.0	1.52
04/02/2018	***-**-839X - D'AMICO, AMY ACCOUNT #: LSR012357	PL PORTFOLIO OPTIMIZATION AGGRESSIV BUY 1 Units @0.0000	0.00	3.75	0.00	3.75	70.0	2.63
04/02/2018	***-**-57IO - SOCHA, CYNTHIA ACCOUNT #: LSR010500	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	5.59	0.00	5.59	70.0	3.91
04/02/2018	***-**-340J - PERRONE, ACCOUNT #: LSR011761	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	1.15	0.00	1.15	70.0	0.81
04/02/2018	***-**-223I - GAY, LISA ACCOUNT #: LSR011789	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	2.64	0.00	2.64	70.0	1.85
04/02/2018	***-**-059O - LIBBY, AMY ACCOUNT #: LSR012236	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	2.73	0.00	2.73	70.0	1.91



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/02/2018	***-**-036I - LIBBY, GREGORY	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	2.75	0.00	2.75	70.0	1.93
	ACCOUNT #: LSR012248	BUY 1 Units @0.0000						
04/02/2018	***-**-059I - LIBBY, AMY	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	2.33	0.00	2.33	70.0	1.63
	ACCOUNT #: LSR012269	BUY 1 Units @0.0000						
04/02/2018	***-**-036I - LIBBY, GREGORY	PL PORTFOLIO OPTIMIZATION MODERATE	0.00	0.91	0.00	0.91	70.0	0.64
	ACCOUNT #: LSR012248	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$47.90	\$0.00	\$47.90		\$33.54
*** BROKER TRANSACTIONS TOTAL ***			\$77,846.48	\$52.90	\$54.00	\$-1.10		\$-16.96

## BROKER COMMISSION TOTALS

Broker Transactions	\$-16.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$-16.96
Year-to-date Earnings	\$12,780.58