



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/12/2018	***-**-287J - HINCKLEY, ACCOUNT #: LSR010841	AMERICAN GLOBAL BALANCED CL C BUY 263 Units @32.2600	8,499.99	103.00	18.00	85.00	0.0	0.00
07/06/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	AMERICAN MUTUAL FUND-C BUY 246 Units @40.6000	9,999.98	118.00	18.00	100.00	0.0	0.00
07/10/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	FIDELITY ADVISOR MORTGAGE SECS CL A SELL 914 Units @10.8800	9,948.23	19.99	18.00	1.99	0.0	0.00
07/06/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	GLOBAL OPPORTUNITIES FUND C BUY 137 Units @62.1600	8,500.01	103.00	18.00	85.00	0.0	0.00
07/10/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	LORD ABBETT GROWTH OPPORTUNITIES CL BUY 492 Units @16.2600	8,000.00	18.00	6.00	12.00	0.0	0.00
07/11/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	LORD ABBETT GROWTH OPPORTUNITIES CL BUY 371 Units @16.1700	6,000.01	19.99	6.00	13.99	0.0	0.00
07/10/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	LORD ABBETT SHORT DURATION INCOME C SELL 1905 Units @4.2000	8,000.00	0.00	6.00	-6.00	100.0	-6.00
07/10/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	LORD ABBETT SHORT DURATION INCOME C SELL 1905 Units @4.2000	8,000.00	0.00	6.00	-6.00	100.0	-6.00
07/11/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	LORD ABBETT SHORT DURATION INCOME C SELL 1425 Units @4.2100	6,000.00	0.00	6.00	-6.00	100.0	-6.00
07/11/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	LORD ABBETT SHORT DURATION INCOME C SELL 1900 Units @4.2100	8,000.00	0.00	6.00	-6.00	100.0	-6.00
07/10/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	LORD ABBETT VALUE OPPORTUNITY FD CL BUY 434 Units @18.4500	7,999.99	18.00	6.00	12.00	0.0	0.00
07/11/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	LORD ABBETT VALUE OPPORTUNITY FD CL BUY 436 Units @18.3300	8,000.00	19.99	6.00	13.99	0.0	0.00
06/28/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: 08191178476	MFS EMERGING MARKETS EQUITY FUND-B BUY 2 Units @30.8400	50.00	2.00	0.00	2.00	0.0	0.00
06/28/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: 08191178476	MFS EMERGING MKT DEBT CL B BUY 4 Units @14.0400	50.00	2.00	0.00	2.00	0.0	0.00
06/28/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: 08191178476	MFS INTERNATIONAL VALUE FUND-B BUY 2 Units @40.4200	100.00	4.00	0.00	4.00	0.0	0.00
07/12/2018	***-**-287J - HINCKLEY, ACCOUNT #: LSR010841	MFS TECHNOLOGY CLASS C BUY 129 Units @38.8900	5,000.01	68.00	18.00	50.00	0.0	0.00



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/28/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: 08191178476	MFS TOTAL RETURN FUND-B BUY 3 Units @18.5700	50.00	2.00	0.00	2.00	0.0	0.00
07/11/2018	***-**-287J - HINCKLEY, ACCOUNT #: LSR010841	OPPENHEIMER ROCHEST AMT-FREE NY MUN SELL 445 Units @11.2300	5,000.00	18.00	18.00	0.00	0.0	0.00
07/06/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	PUTNAM ABSOLUTE RETURN 700 CL C BUY 744 Units @11.4200	8,500.00	103.00	18.00	85.00	0.0	0.00
07/12/2018	***-**-188I - BRADLEY, WAYNE ACCOUNT #: LSR012484	PUTNAM ABSOLUTE RETURN 700 CL C BUY 743 Units @11.4400	8,500.00	103.00	18.00	85.00	0.0	0.00
07/06/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	PUTNAM EQUITY INCOME FUND-C BUY 307 Units @24.4500	7,499.99	93.00	18.00	75.00	0.0	0.00
07/06/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	PUTNAM GLOBAL CONSUMER FUND CL C BUY 348 Units @21.5300	7,500.00	93.00	18.00	75.00	0.0	0.00
07/11/2018	***-**-287J - HINCKLEY, ACCOUNT #: LSR010841	TRANSAMERICA SHORT TERM BOND CL C SELL 497 Units @10.0600	5,000.00	18.00	18.00	0.00	0.0	0.00
07/10/2018	***-**-851I - BLAKE, JAMES ACCOUNT #: LSR012390	VANGUARD TARGET RETIREMENT 2050 INV SELL 175 Units @37.1100	6,500.00	19.99	18.00	1.99	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$150,698.21	\$945.96	\$264.00	\$681.96		\$-24.00
PRODUCT CATEGORY: STOCKS								
07/10/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	DENBURY RES INC DEL COM USD0.001 SELL 500 Units @5.2900	2,645.00	49.99	25.00	24.99	0.0	0.00
07/10/2018	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	DENBURY RES INC DEL COM USD0.001 SELL 1000 Units @5.2400	5,240.00	77.49	35.00	42.49	0.0	0.00
07/10/2018	***-**-930J - SANGER, KEVIN ACCOUNT #: LSR010940	GENESIS HEALTHCARE INC CL A COM ISI BUY 2000 Units @2.0800	4,160.00	57.49	55.00	2.49	0.0	0.00
07/10/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	GENESIS HEALTHCARE INC CL A COM ISI BUY 1800 Units @2.1000	3,780.00	67.49	51.00	16.49	0.0	0.00
07/10/2018	***-**-31IO - JOSEPH, ANN ACCOUNT #: LSR012337	GENESIS HEALTHCARE INC CL A COM ISI BUY 1500 Units @2.1000	3,150.00	57.49	45.00	12.49	0.0	0.00
07/11/2018	***-**-930J - SANGER, KEVIN ACCOUNT #: LSR010940	GENESIS HEALTHCARE INC CL A COM ISI BUY 1500 Units @1.9000	2,850.00	49.99	45.00	4.99	0.0	0.00
07/11/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	GENESIS HEALTHCARE INC CL A COM ISI BUY 1500 Units @1.9100	2,865.00	57.49	45.00	12.49	0.0	0.00



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
07/11/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	GENESIS HEALTHCARE INC CL A COM ISI BUY 2000 Units @1.9000	3,800.00	67.49	55.00	12.49	0.0	0.00
07/11/2018	***-**-31IO - JOSEPH, ANN ACCOUNT #: LSR012337	GENESIS HEALTHCARE INC CL A COM ISI BUY 1000 Units @1.9000	1,900.00	49.99	35.00	14.99	0.0	0.00
07/12/2018	***-**-930J - SANGER, KEVIN ACCOUNT #: LSR010940	GENESIS HEALTHCARE INC CL A COM ISI BUY 1500 Units @1.5200	2,280.00	49.99	45.00	4.99	0.0	0.00
07/12/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	GENESIS HEALTHCARE INC CL A COM ISI BUY 1499 Units @1.5250	2,285.98	49.99	44.98	5.01	0.0	0.00
07/12/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	GENESIS HEALTHCARE INC CL A COM ISI BUY 1500 Units @1.5300	2,295.00	49.99	45.00	4.99	0.0	0.00
07/10/2018	***-**-930J - SANGER, KEVIN ACCOUNT #: LSR010940	STEIN MART INC COM BUY 1500 Units @2.1780	3,267.00	57.49	18.00	39.49	0.0	0.00
07/10/2018	***-**-31IO - JOSEPH, ANN ACCOUNT #: LSR012337	STEIN MART INC COM BUY 1500 Units @2.1900	3,285.00	57.49	18.00	39.49	0.0	0.00
07/11/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	STEIN MART INC COM BUY 2000 Units @2.1200	4,240.00	67.49	18.00	49.49	0.0	0.00
07/11/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	VERIZON COMMUNICATIONS UNSOLICITED SELL 50 Units @51.4200	2,571.00	49.99	16.00	33.99	0.0	0.00
* STOCKS SUBTOTAL *			\$50,613.98	\$917.34	\$595.98	\$321.36		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITIES								
07/09/2018	***-**-889I - FRANZ, JONATHAN ACCOUNT #: VP71081770	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	300.00	9.00	0.00	9.00	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$300.00	\$9.00	\$0.00	\$9.00		\$0.00
PRODUCT CATEGORY: 529 PLAN								
07/16/2018	***-**-9440 - MAYO, DEBORAH ACCOUNT #: 77002217601	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	7.32	0.00	7.32	0.0	0.00
07/16/2018	***-**-80IN - COBB, JAMES ACCOUNT #: 77169961901	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	29.40	0.00	29.40	0.0	0.00
07/16/2018	***-**-665P - MCCLUSKY, YVONNE ACCOUNT #: 77237884701	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.79	0.00	0.79	0.0	0.00



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: 529 PLAN (continued)								
07/16/2018	***-**-0069 - KRECIDLO,	NEW YORK 529 TRAILS	0.00	1.98	0.00	1.98	0.0	0.00
	ACCOUNT #: 77308070501	BUY 0 Units @0.0000						
07/16/2018	***-**-5384 - GURDO, JESSICA	NEW YORK 529 TRAILS	0.00	0.48	0.00	0.48	0.0	0.00
		BUY 0 Units @0.0000						
07/16/2018	***-**-0767 - DOVI,	NEW YORK 529 TRAILS	0.00	0.86	0.00	0.86	0.0	0.00
	ACCOUNT #: 77579193101	BUY 0 Units @0.0000						
07/16/2018	***-**-251I - SCALISE, MARY	NEW YORK 529 TRAILS	0.00	34.44	0.00	34.44	0.0	0.00
	ACCOUNT #: 77691153101	BUY 0 Units @0.0000						
07/16/2018	***-**-635P - LASCALA, DEBORAH	NEW YORK 529 TRAILS	0.00	1.82	0.00	1.82	0.0	0.00
	ACCOUNT #: 77699193301	BUY 0 Units @0.0000						
07/16/2018	***-**-065P - CAMPBELL, PAMELA	NEW YORK 529 TRAILS	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: 77830931201	BUY 0 Units @0.0000						
07/16/2018	***-**-473J - HUSTON,	NEW YORK 529 TRAILS	0.00	14.53	0.00	14.53	0.0	0.00
	ACCOUNT #: 77852732401	BUY 0 Units @0.0000						
07/16/2018	***-**-20IR - DEMETRI, AMELIA	NEW YORK 529 TRAILS	0.00	0.97	0.00	0.97	0.0	0.00
	ACCOUNT #: 77854436501	BUY 0 Units @0.0000						
07/16/2018	***-**-205P - RHYMESTINE, ERIC	NEW YORK 529 TRAILS	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: 77885699801	BUY 0 Units @0.0000						
07/16/2018	***-**-614J - BUSHEY, MATTHEW	NEW YORK 529 TRAILS	0.00	3.08	0.00	3.08	0.0	0.00
		BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$0.00	\$96.92	\$0.00	\$96.92		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/02/2018	***-**-91UT - BYWATER, CAROLYN	37252M108	0.00	0.74	0.00	0.74	0.0	0.00
	ACCOUNT #: 00234174436	BUY 0 Units @0.0000						
07/02/2018	***-**-79UT - BYWATER,	37252M108	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: 00234227769	BUY 0 Units @0.0000						
06/30/2018	***-**-84IN - WARMUTH, ERIC	CAPITAL APPRECIATION A	0.00	5.32	0.00	5.32	0.0	0.00
	ACCOUNT #: 02703492687	BUY 0 Units @0.0000						
06/30/2018	***-**-65CL - GRUENEWALD, K,	CAPITAL APPRECIATION C	0.00	0.53	0.00	0.53	0.0	0.00
	ACCOUNT #: 03222414918	BUY 0 Units @0.0000						
06/30/2018	***-**-84IN - WARMUTH, ERIC	CAPITAL INCOME A	0.00	4.29	0.00	4.29	0.0	0.00
	ACCOUNT #: 03450098560	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-524I - SCALISE, JOSEPH	DEVELOPING MARKETS FUND B	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: 07860984830	BUY 0 Units @0.0000						
06/30/2018	***-**-96IN - NESSIA, BONNIE	DISCOVERY FUND C	0.00	11.36	0.00	11.36	0.0	0.00
	ACCOUNT #: 05020467148	BUY 0 Units @0.0000						
06/30/2018	***-**-973I - LABAYEWSKI,	EQUITY INCOME FUND, INC. A	0.00	1.86	0.00	1.86	0.0	0.00
	ACCOUNT #: 08352638471	BUY 0 Units @0.0000						
06/30/2018	***-**-633J -	EQUITY INCOME FUND, INC. A	0.00	2.87	0.00	2.87	0.0	0.00
	ACCOUNT #: 08353229688	BUY 0 Units @0.0000						
06/30/2018	***-**-0767 - DOVI,	EQUITY INCOME FUND, INC. A	0.00	3.69	0.00	3.69	0.0	0.00
	ACCOUNT #: 08353253965	BUY 0 Units @0.0000						
06/30/2018	***-**-0069 - KRECIDLO,	EQUITY INCOME FUND, INC. A	0.00	2.63	0.00	2.63	0.0	0.00
	ACCOUNT #: 08353280112	BUY 0 Units @0.0000						
06/30/2018	***-**-391I - MASTRANGELO,	EQUITY INCOME FUND, INC. A	0.00	12.35	0.00	12.35	0.0	0.00
	ACCOUNT #: 08353280530	BUY 0 Units @0.0000						
06/30/2018	***-**-09IN - BATES, CHRISTINE	EQUITY INCOME FUND, INC. A	0.00	11.82	0.00	11.82	0.0	0.00
	ACCOUNT #: 08353313343	BUY 0 Units @0.0000						
06/30/2018	***-**-31IR - HARTZ, CLAUDIA	EQUITY INCOME FUND, INC. A	0.00	2.31	0.00	2.31	0.0	0.00
	ACCOUNT #: 08353346563	BUY 0 Units @0.0000						
06/30/2018	***-**-5375 - CARTER, LISA	EQUITY INCOME FUND, INC. A	0.00	2.11	0.00	2.11	0.0	0.00
	ACCOUNT #: 08353359136	BUY 0 Units @0.0000						
06/30/2018	***-**-0069 - KRECIDLO,	EQUITY INCOME FUND, INC. B	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: 08360444037	BUY 0 Units @0.0000						
06/30/2018	***-**-5375 - CARTER, LISA	EQUITY INCOME FUND, INC. B	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: 08360489654	BUY 0 Units @0.0000						
06/30/2018	***-**-78IN - FALES, JOAN	EQUITY INCOME FUND, INC. C	0.00	1.23	0.00	1.23	0.0	0.00
	ACCOUNT #: 08370484165	BUY 0 Units @0.0000						
06/30/2018	***-**-96IN - NESSIA, BONNIE	EQUITY INCOME FUND, INC. C	0.00	20.26	0.00	20.26	0.0	0.00
	ACCOUNT #: 08370494010	BUY 0 Units @0.0000						
06/30/2018	***-**-31IR - HARTZ, CLAUDIA	EQUITY INCOME FUND, INC. C	0.00	8.31	0.00	8.31	0.0	0.00
	ACCOUNT #: 08370502436	BUY 0 Units @0.0000						
06/30/2018	***-**-48JT - LEE, DARLENE	EQUITY INCOME FUND, INC. C	0.00	5.06	0.00	5.06	0.0	0.00
	ACCOUNT #: 08370750926	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-0069 - KRECIDLO, ACCOUNT #: 03307873373	GLOBAL FUND A BUY 0 Units @0.0000	0.00	3.73	0.00	3.73	0.0	0.00
06/30/2018	***-**-0069 - KRECIDLO, ACCOUNT #: 03316631251	GLOBAL FUND B BUY 0 Units @0.0000	0.00	0.02	0.00	0.02	0.0	0.00
06/30/2018	***-**-960I - MASTRANGELO, ACCOUNT #: 02158012400	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	0.00	1.91	0.00	1.91	0.0	0.00
06/30/2018	***-**-1491 - GUNN, EDWARD ACCOUNT #: 02158058303	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	0.00	5.49	0.00	5.49	0.0	0.00
06/30/2018	***-**-09IN - BATES, CHRISTINE ACCOUNT #: 02158069743	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	0.00	16.96	0.00	16.96	0.0	0.00
06/30/2018	***-**-65CL - GRUENEWALD, K, ACCOUNT #: 02171825219	GLOBAL OPPORTUNITIES FUND C BUY 0 Units @0.0000	0.00	0.72	0.00	0.72	0.0	0.00
06/30/2018	***-**-84IN - WARMUTH, ERIC ACCOUNT #: 04103537149	GOLD & SPECIAL MINERALS A BUY 0 Units @0.0000	0.00	1.44	0.00	1.44	0.0	0.00
06/30/2018	***-**-237I - MCCLUSKY, MARK ACCOUNT #: 04104653792	GOLD & SPECIAL MINERALS A BUY 0 Units @0.0000	0.00	0.34	0.00	0.34	0.0	0.00
06/30/2018	***-**-3749 - DEPEYSTER, ACCOUNT #: 04104658049	GOLD & SPECIAL MINERALS A BUY 0 Units @0.0000	0.00	0.22	0.00	0.22	0.0	0.00
06/30/2018	***-**-0767 - DOVI, ACCOUNT #: 04104666585	GOLD & SPECIAL MINERALS A BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	0.0	0.00
06/30/2018	***-**-0069 - KRECIDLO, ACCOUNT #: 04104675077	GOLD & SPECIAL MINERALS A BUY 0 Units @0.0000	0.00	0.32	0.00	0.32	0.0	0.00
06/30/2018	***-**-391I - MASTRANGELO, ACCOUNT #: 04104675297	GOLD & SPECIAL MINERALS A BUY 0 Units @0.0000	0.00	0.64	0.00	0.64	0.0	0.00
06/30/2018	***-**-1491 - GUNN, EDWARD ACCOUNT #: 04104683217	GOLD & SPECIAL MINERALS A BUY 0 Units @0.0000	0.00	0.19	0.00	0.19	0.0	0.00
06/30/2018	***-**-09IN - BATES, CHRISTINE ACCOUNT #: 04104685582	GOLD & SPECIAL MINERALS A BUY 0 Units @0.0000	0.00	1.21	0.00	1.21	0.0	0.00
06/30/2018	***-**-2603 - MASTRANGELO, ACCOUNT #: 04104688728	GOLD & SPECIAL MINERALS A BUY 0 Units @0.0000	0.00	0.23	0.00	0.23	0.0	0.00
06/30/2018	***-**-31IR - HARTZ, CLAUDIA ACCOUNT #: 04104696516	GOLD & SPECIAL MINERALS A BUY 0 Units @0.0000	0.00	0.45	0.00	0.45	0.0	0.00



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-5375 - CARTER, LISA	GOLD & SPECIAL MINERALS A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: 04104700355	BUY 0 Units @0.0000						
06/30/2018	***-**-0069 - KRECIDLO,	GOLD & SPECIAL MINERALS FUND B	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: 04110576708	BUY 0 Units @0.0000						
06/30/2018	***-**-5375 - CARTER, LISA	GOLD & SPECIAL MINERALS FUND B	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: 04110599907	BUY 0 Units @0.0000						
06/30/2018	***-**-0767 - DOVI,	INTERNATIONAL BOND A	0.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: 08810326811	BUY 0 Units @0.0000						
06/30/2018	***-**-960I - MASTRANGELO,	INTERNATIONAL BOND A	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: 08810337228	BUY 0 Units @0.0000						
06/30/2018	***-**-1491 - GUNN, EDWARD	INTERNATIONAL BOND A	0.00	1.57	0.00	1.57	0.0	0.00
	ACCOUNT #: 08810347513	BUY 0 Units @0.0000						
06/30/2018	***-**-09IN - BATES, CHRISTINE	INTERNATIONAL BOND A	0.00	4.29	0.00	4.29	0.0	0.00
	ACCOUNT #: 08810351011	BUY 0 Units @0.0000						
06/30/2018	***-**-2603 - MASTRANGELO,	INTERNATIONAL BOND A	0.00	0.69	0.00	0.69	0.0	0.00
	ACCOUNT #: 08810354960	BUY 0 Units @0.0000						
06/30/2018	***-**-5375 - CARTER, LISA	INTERNATIONAL BOND A	0.00	0.99	0.00	0.99	0.0	0.00
	ACCOUNT #: 08810370888	BUY 0 Units @0.0000						
06/30/2018	***-**-391I - MASTRANGELO,	INTERNATIONAL BOND A	0.00	4.24	0.00	4.24	0.0	0.00
	ACCOUNT #: 08810370954	BUY 0 Units @0.0000						
06/30/2018	***-**-84IN - WARMUTH, ERIC	INTERNATIONAL GROWTH FUND A	0.00	6.17	0.00	6.17	0.0	0.00
	ACCOUNT #: 08250106216	BUY 0 Units @0.0000						
06/30/2018	***-**-69IN - EVANS, PAUL	INTERNATIONAL SMALL COMPANY A	0.00	1.75	0.00	1.75	0.0	0.00
	ACCOUNT #: 08152454089	BUY 0 Units @0.0000						
06/30/2018	***-**-31IO - HARTZ, CLAUDIA	INTERNATIONAL SMALL COMPANY A	0.00	1.72	0.00	1.72	0.0	0.00
	ACCOUNT #: 08152458467	BUY 0 Units @0.0000						
06/30/2018	***-**-1944 - BEHA, JAMES	LIMITED-TERM GOVERNMENT FUND C	0.00	2.75	0.00	2.75	0.0	0.00
	ACCOUNT #: 08571144451	BUY 0 Units @0.0000						
06/30/2018	***-**-84IN - WARMUTH, ERIC	MAIN STREET FUND A	0.00	8.61	0.00	8.61	0.0	0.00
	ACCOUNT #: 07005442085	BUY 0 Units @0.0000						
06/30/2018	***-**-960I - MASTRANGELO,	MAIN STREET FUND A	0.00	1.67	0.00	1.67	0.0	0.00
	ACCOUNT #: 07006358641	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-1491 - GUNN, EDWARD	MAIN STREET FUND A	0.00	6.35	0.00	6.35	0.0	0.00
	ACCOUNT #: 07006432891	BUY 0 Units @0.0000						
07/01/2018	***-**-1855 - WILLIAMSON,	MASSACHUSETTS INVESTORS TRUST CLASS	0.00	3.58	0.00	3.58	0.0	0.00
	ACCOUNT #: 08191308008	BUY 0 Units @0.0000						
07/01/2018	***-**-439J - MCCARTHY, JAMES	MASSACHUSETTS INVESTORS TRUST-A	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: 00060638887	BUY 0 Units @0.0000						
07/01/2018	***-**-439J - MCCARTHY, JAMES	MASSACHUSETTS INVESTORS TRUST-A	0.00	1.83	0.00	1.83	0.0	0.00
	ACCOUNT #: 00060638888	BUY 0 Units @0.0000						
07/01/2018	***-**-861I - SANGER, LAWRENCE	MASSACHUSETTS INVESTORS TRUST-A	0.00	0.17	0.00	0.17	0.0	0.00
	ACCOUNT #: 00060640524	BUY 0 Units @0.0000						
07/01/2018	***-**-0767 - DOVI,	MASSACHUSETTS INVESTORS TRUST-A	0.00	0.84	0.00	0.84	0.0	0.00
	ACCOUNT #: 00060640882	BUY 0 Units @0.0000						
07/01/2018	***-**-8440 - PREVOST, PIERRE	MASSACHUSETTS INVESTORS TRUST-A	0.00	0.16	0.00	0.16	0.0	0.00
	ACCOUNT #: 00060670633	BUY 0 Units @0.0000						
07/01/2018	***-**-237I - MCCLUSKY, MARK	MASSACHUSETTS INVESTORS TRUST-A	0.00	0.29	0.00	0.29	0.0	0.00
	ACCOUNT #: 00060670635	BUY 0 Units @0.0000						
07/01/2018	***-**-439I - MCCARTHY, JAMES	MASSACHUSETTS INVESTORS TRUST-B	0.00	1.45	0.00	1.45	0.0	0.00
	ACCOUNT #: 08190124895	BUY 0 Units @0.0000						
07/01/2018	***-**-0767 - DOVI,	MASSACHUSETTS INVESTORS TRUST-B	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: 08191152598	BUY 0 Units @0.0000						
07/01/2018	***-**-861I - SANGER, LAWRENCE	MASSACHUSETTS INVESTORS TRUST-B	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: 08191153495	BUY 0 Units @0.0000						
07/01/2018	***-**-8440 - PREVOST, PIERRE	MASSACHUSETTS INVESTORS TRUST-B	0.00	0.93	0.00	0.93	0.0	0.00
	ACCOUNT #: 08191160862	BUY 0 Units @0.0000						
07/01/2018	***-**-237I - MCCLUSKY, MARK	MASSACHUSETTS INVESTORS TRUST-B	0.00	1.69	0.00	1.69	0.0	0.00
	ACCOUNT #: 08191161591	BUY 0 Units @0.0000						
07/01/2018	***-**-094I - CIRILLO, CARL	MASSACHUSETTS INVESTORS TRUST-B	0.00	3.05	0.00	3.05	0.0	0.00
	ACCOUNT #: 08191173445	BUY 0 Units @0.0000						
07/01/2018	***-**-671I - EBERLEY, REID	MASSACHUSETTS INVESTORS TRUST-B	0.00	2.09	0.00	2.09	0.0	0.00
	ACCOUNT #: 08191175793	BUY 0 Units @0.0000						
07/01/2018	***-**-13IN - RHYMESTINE,	MASSACHUSETTS INVESTORS TRUST-B	0.00	0.98	0.00	0.98	0.0	0.00
	ACCOUNT #: 08191178476	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-3420 - KOCHEM, DENISE	MASSACHUSETTS INVESTORS TRUST-B	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: 08191179076	BUY 0 Units @0.0000						
07/01/2018	***-**-9839 - YADDAW, DAVID	MASSACHUSETTS INVESTORS TRUST-B	0.00	2.52	0.00	2.52	0.0	0.00
	ACCOUNT #: 08191183793	BUY 0 Units @0.0000						
07/01/2018	***-**-1855 - WILLIAMSON,	MFS GROWTH PORT CLASS C	0.00	4.02	0.00	4.02	0.0	0.00
	ACCOUNT #: 08191308008	BUY 0 Units @0.0000						
07/01/2018	***-**-06IN - SATTERLY,	MFS 08191336006	0.00	4.08	0.00	4.08	0.0	0.00
	ACCOUNT #: 08191336006	BUY 0 Units @0.0000						
07/01/2018	***-**-1855 - WILLIAMSON,	MFS 55273E871	0.00	1.68	0.00	1.68	0.0	0.00
	ACCOUNT #: 08191308008	BUY 0 Units @0.0000						
07/01/2018	***-**-4193 - VAN BAALEN, GUY	MFS 552987646	0.00	1.78	0.00	1.78	0.0	0.00
	ACCOUNT #: 08191310030	BUY 0 Units @0.0000						
07/01/2018	***-**-058I - ROBBIANO, SARAH	MFS AGGRESS GROWTH ALLOCATION FD-B	0.00	0.19	0.00	0.19	0.0	0.00
	ACCOUNT #: 08191291666	BUY 0 Units @0.0000						
07/01/2018	***-**-2603 - MASTRANGELO,	MFS BLENDED RESEARCH CORE EQUITY FD	0.00	0.79	0.00	0.79	0.0	0.00
	ACCOUNT #: 08190129168	BUY 0 Units @0.0000						
07/01/2018	***-**-3749 - DEPEYSTER,	MFS BOND FUND CLASS B	0.00	0.55	0.00	0.55	0.0	0.00
	ACCOUNT #: 08190127726	BUY 0 Units @0.0000						
07/01/2018	***-**-2603 - MASTRANGELO,	MFS BOND FUND CLASS B	0.00	0.16	0.00	0.16	0.0	0.00
	ACCOUNT #: 08190129168	BUY 0 Units @0.0000						
07/01/2018	***-**-606I - LEWIS, TAMARA	MFS BOND FUND-C	0.00	1.35	0.00	1.35	0.0	0.00
	ACCOUNT #: 08191352847	BUY 0 Units @0.0000						
07/01/2018	***-**-606I - LEWIS, TAMARA	MFS CORE EQUITY FUND-B	0.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: 08191352846	BUY 0 Units @0.0000						
07/01/2018	***-**-3749 - DEPEYSTER,	MFS EMERGING GROWTH FUND-B	0.00	2.22	0.00	2.22	0.0	0.00
	ACCOUNT #: 08190127726	BUY 0 Units @0.0000						
07/01/2018	***-**-5384 - GURDO, JESSICA	MFS EMERGING GROWTH FUND-B	0.00	0.20	0.00	0.20	0.0	0.00
	ACCOUNT #: 08191233759	BUY 0 Units @0.0000						
07/01/2018	***-**-439J - MCCARTHY, JAMES	MFS EMERGING MARKETS DEBT FUND-A	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: 00060638917	BUY 0 Units @0.0000						
07/01/2018	***-**-861I - SANGER, LAWRENCE	MFS EMERGING MARKETS DEBT FUND-A	0.00	0.11	0.00	0.11	0.0	0.00
	ACCOUNT #: 00060640608	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-0767 - DOVI, ACCOUNT #: 00060640958	MFS EMERGING MARKETS DEBT FUND-A BUY 0 Units @0.0000	0.00	0.76	0.00	0.76	0.0	0.00
07/01/2018	***-**-237I - MCCLUSKY, MARK ACCOUNT #: 00060670677	MFS EMERGING MARKETS DEBT FUND-A BUY 0 Units @0.0000	0.00	0.19	0.00	0.19	0.0	0.00
07/01/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: 00060640668	MFS EMERGING MARKETS EQUITY FUND-A BUY 0 Units @0.0000	0.00	0.12	0.00	0.12	0.0	0.00
07/01/2018	***-**-2483 - TURNBULL, ACCOUNT #: 00060641032	MFS EMERGING MARKETS EQUITY FUND-A BUY 0 Units @0.0000	0.00	0.25	0.00	0.25	0.0	0.00
07/01/2018	***-**-7617 - ESCHE, BEVERLY ACCOUNT #: 00060641033	MFS EMERGING MARKETS EQUITY FUND-A BUY 0 Units @0.0000	0.00	0.11	0.00	0.11	0.0	0.00
07/01/2018	***-**-8440 - PREVOST, PIERRE ACCOUNT #: 00060670698	MFS EMERGING MARKETS EQUITY FUND-A BUY 0 Units @0.0000	0.00	0.12	0.00	0.12	0.0	0.00
07/01/2018	***-**-466I - MCCLUSKY, YVONNE ACCOUNT #: 00060670700	MFS EMERGING MARKETS EQUITY FUND-A BUY 0 Units @0.0000	0.00	0.19	0.00	0.19	0.0	0.00
07/01/2018	***-**-111J - NESSIA, JAMES ACCOUNT #: 08190126843	MFS EMERGING MARKETS EQUITY FUND-B BUY 0 Units @0.0000	0.00	1.38	0.00	1.38	0.0	0.00
07/01/2018	***-**-094I - CIRILLO, CARL ACCOUNT #: 08190127967	MFS EMERGING MARKETS EQUITY FUND-B BUY 0 Units @0.0000	0.00	0.82	0.00	0.82	0.0	0.00
07/01/2018	***-**-889I - FRANZ, JONATHAN ACCOUNT #: 08190130131	MFS EMERGING MARKETS EQUITY FUND-B BUY 0 Units @0.0000	0.00	0.33	0.00	0.33	0.0	0.00
07/01/2018	***-**-213I - GRUENEWALD, ACCOUNT #: 08190131132	MFS EMERGING MARKETS EQUITY FUND-B BUY 0 Units @0.0000	0.00	4.63	0.00	4.63	0.0	0.00
07/01/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: 08191153495	MFS EMERGING MARKETS EQUITY FUND-B BUY 0 Units @0.0000	0.00	0.32	0.00	0.32	0.0	0.00
07/01/2018	***-**-2483 - TURNBULL, ACCOUNT #: 08191153585	MFS EMERGING MARKETS EQUITY FUND-B BUY 0 Units @0.0000	0.00	0.08	0.00	0.08	0.0	0.00
07/01/2018	***-**-7617 - ESCHE, BEVERLY ACCOUNT #: 08191154979	MFS EMERGING MARKETS EQUITY FUND-B BUY 0 Units @0.0000	0.00	0.04	0.00	0.04	0.0	0.00
07/01/2018	***-**-8440 - PREVOST, PIERRE ACCOUNT #: 08191160862	MFS EMERGING MARKETS EQUITY FUND-B BUY 0 Units @0.0000	0.00	0.74	0.00	0.74	0.0	0.00
07/01/2018	***-**-466I - MCCLUSKY, YVONNE ACCOUNT #: 08191161825	MFS EMERGING MARKETS EQUITY FUND-B BUY 0 Units @0.0000	0.00	1.20	0.00	1.20	0.0	0.00



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: 08191178476	MFS EMERGING MARKETS EQUITY FUND-B BUY 0 Units @0.0000	0.00	3.67	0.00	3.67	0.0	0.00
07/01/2018	***-**-3420 - KOICHEM, DENISE ACCOUNT #: 08191179076	MFS EMERGING MARKETS EQUITY FUND-B BUY 0 Units @0.0000	0.00	0.50	0.00	0.50	0.0	0.00
07/01/2018	***-**-09IN - BATES, CHRISTINE ACCOUNT #: 08191196034	MFS EMERGING MARKETS EQUITY FUND-B BUY 0 Units @0.0000	0.00	2.47	0.00	2.47	0.0	0.00
07/01/2018	***-**-213I - GRUENEWALD, ACCOUNT #: 08191229521	MFS EMERGING MARKETS EQUITY FUND-B BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	0.0	0.00
07/01/2018	***-**-5384 - GURDO, JESSICA ACCOUNT #: 08191233759	MFS EMERGING MARKETS EQUITY FUND-B BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	0.0	0.00
07/01/2018	***-**-111J - NESSIA, JAMES ACCOUNT #: 08190126843	MFS EMERGING MKT DEBT CL B BUY 0 Units @0.0000	0.00	0.79	0.00	0.79	0.0	0.00
07/01/2018	***-**-2603 - MASTRANGELO, ACCOUNT #: 08190129168	MFS EMERGING MKT DEBT CL B BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	0.0	0.00
07/01/2018	***-**-0767 - DOVI, ACCOUNT #: 08191152598	MFS EMERGING MKT DEBT CL B BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	0.0	0.00
07/01/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: 08191153495	MFS EMERGING MKT DEBT CL B BUY 0 Units @0.0000	0.00	0.22	0.00	0.22	0.0	0.00
07/01/2018	***-**-237I - MCCLUSKY, MARK ACCOUNT #: 08191161591	MFS EMERGING MKT DEBT CL B BUY 0 Units @0.0000	0.00	1.12	0.00	1.12	0.0	0.00
07/01/2018	***-**-3932 - CARSON, DAVID ACCOUNT #: 08191168388	MFS EMERGING MKT DEBT CL B BUY 0 Units @0.0000	0.00	1.04	0.00	1.04	0.0	0.00
07/01/2018	***-**-06IN - SATTERLY, ACCOUNT #: 08191173302	MFS EMERGING MKT DEBT CL B BUY 0 Units @0.0000	0.00	0.21	0.00	0.21	0.0	0.00
07/01/2018	***-**-094I - CIRILLO, CARL ACCOUNT #: 08191173445	MFS EMERGING MKT DEBT CL B BUY 0 Units @0.0000	0.00	2.97	0.00	2.97	0.0	0.00
07/01/2018	***-**-671I - EBERLEY, REID ACCOUNT #: 08191175793	MFS EMERGING MKT DEBT CL B BUY 0 Units @0.0000	0.00	2.44	0.00	2.44	0.0	0.00
07/01/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: 08191178476	MFS EMERGING MKT DEBT CL B BUY 0 Units @0.0000	0.00	3.45	0.00	3.45	0.0	0.00
07/01/2018	***-**-3420 - KOICHEM, DENISE ACCOUNT #: 08191179076	MFS EMERGING MKT DEBT CL B BUY 0 Units @0.0000	0.00	0.60	0.00	0.60	0.0	0.00



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-9839 - YADDAW, DAVID	MFS EMERGING MKT DEBT CL B	0.00	2.41	0.00	2.41	0.0	0.00
	ACCOUNT #: 08191183793	BUY 0 Units @0.0000						
07/01/2018	***-**-1491 - GUNN, EDWARD	MFS EMERGING MKT DEBT CL B	0.00	0.79	0.00	0.79	0.0	0.00
	ACCOUNT #: 08191184927	BUY 0 Units @0.0000						
07/01/2018	***-**-7116 - PREVOST, SANDRA	MFS EMERGING MKT DEBT CL B	0.00	1.31	0.00	1.31	0.0	0.00
	ACCOUNT #: 08191191385	BUY 0 Units @0.0000						
07/01/2018	***-**-844I - ROSS, VALERIE	MFS EMERGING MKT DEBT CL B	0.00	4.58	0.00	4.58	0.0	0.00
	ACCOUNT #: 08191197798	BUY 0 Units @0.0000						
07/01/2018	***-**-524I - SCALISE, JOSEPH	MFS EMERGING MKT DEBT CL B	0.00	0.93	0.00	0.93	0.0	0.00
	ACCOUNT #: 08191202574	BUY 0 Units @0.0000						
07/01/2018	***-**-80IN - CURRIERE, LAURA	MFS EMERGING MKT DEBT CL B	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: 08191216642	BUY 0 Units @0.0000						
07/01/2018	***-**-48IO - ANACKER, FBO MRS	MFS EMERGING MKT DEBT CL B	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: 08191221209	BUY 0 Units @0.0000						
07/01/2018	***-**-5384 - GURDO, JESSICA	MFS EMERGING MKT DEBT CL B	0.00	0.10	0.00	0.10	0.0	0.00
	ACCOUNT #: 08191233759	BUY 0 Units @0.0000						
07/01/2018	***-**-49IN - GUARDI, LINDA	MFS EMERGING MKT DEBT CL B	0.00	2.16	0.00	2.16	0.0	0.00
	ACCOUNT #: 08191237797	BUY 0 Units @0.0000						
07/01/2018	***-**-213I - GRUENEWALD,	MFS GLOBAL NEW DISCOVERY FUND CL B	0.00	1.63	0.00	1.63	0.0	0.00
	ACCOUNT #: 08190131132	BUY 0 Units @0.0000						
07/01/2018	***-**-49IN - GUARDI, LINDA	MFS GLOBAL REAL ESTATE FUND CL B	0.00	1.85	0.00	1.85	0.0	0.00
	ACCOUNT #: 05566055029	BUY 0 Units @0.0000						
07/01/2018	***-**-213I - GRUENEWALD,	MFS GLOBAL REAL ESTATE FUND CL B	0.00	1.19	0.00	1.19	0.0	0.00
	ACCOUNT #: 08190131132	BUY 0 Units @0.0000						
07/01/2018	***-**-26IN - GRANDE, MR	MFS GLOBAL REAL ESTATE FUND CL C	0.00	2.99	0.00	2.99	0.0	0.00
	ACCOUNT #: 08191260232	BUY 0 Units @0.0000						
07/01/2018	***-**-439J - MCCARTHY, JAMES	MFS GLOBAL TOTAL RETURN FUND-A	0.00	1.17	0.00	1.17	0.0	0.00
	ACCOUNT #: 00060638910	BUY 0 Units @0.0000						
07/01/2018	***-**-439J - MCCARTHY, JAMES	MFS GLOBAL TOTAL RETURN FUND-A	0.00	1.58	0.00	1.58	0.0	0.00
	ACCOUNT #: 00060638911	BUY 0 Units @0.0000						
07/01/2018	***-**-65CL - GRUENEWALD, K,	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.35	0.00	0.35	0.0	0.00
	ACCOUNT #: 00060639655	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-02IN - BUSHEY, MELISSA	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.86	0.00	0.86	0.0	0.00
	ACCOUNT #: 00060640588	BUY 0 Units @0.0000						
07/01/2018	***-**-02IN - BUSHEY, MELISSA	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: 00060640589	BUY 0 Units @0.0000						
07/01/2018	***-**-861I - SANGER, LAWRENCE	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.27	0.00	0.27	0.0	0.00
	ACCOUNT #: 00060640593	BUY 0 Units @0.0000						
07/01/2018	***-**-0767 - DOVI,	MFS GLOBAL TOTAL RETURN FUND-A	0.00	1.46	0.00	1.46	0.0	0.00
	ACCOUNT #: 00060640945	BUY 0 Units @0.0000						
07/01/2018	***-**-2483 - TURNBULL,	MFS GLOBAL TOTAL RETURN FUND-A	0.00	1.76	0.00	1.76	0.0	0.00
	ACCOUNT #: 00060640946	BUY 0 Units @0.0000						
07/01/2018	***-**-7617 - ESCHE, BEVERLY	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: 00060640947	BUY 0 Units @0.0000						
07/01/2018	***-**-8440 - PREVOST, PIERRE	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.13	0.00	0.13	0.0	0.00
	ACCOUNT #: 00060670665	BUY 0 Units @0.0000						
07/01/2018	***-**-237I - MCCLUSKY, MARK	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: 00060670667	BUY 0 Units @0.0000						
07/01/2018	***-**-439I - MCCARTHY, JAMES	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.25	0.00	1.25	0.0	0.00
	ACCOUNT #: 08190124895	BUY 0 Units @0.0000						
07/01/2018	***-**-111J - NESSIA, JAMES	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.20	0.00	1.20	0.0	0.00
	ACCOUNT #: 08190126843	BUY 0 Units @0.0000						
07/01/2018	***-**-3749 - DEPEYSTER,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: 08190127726	BUY 0 Units @0.0000						
07/01/2018	***-**-2603 - MASTRANGELO,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.20	0.00	0.20	0.0	0.00
	ACCOUNT #: 08190129168	BUY 0 Units @0.0000						
07/01/2018	***-**-889I - FRANZ, JONATHAN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.64	0.00	0.64	0.0	0.00
	ACCOUNT #: 08190130131	BUY 0 Units @0.0000						
07/01/2018	***-**-8126 - MCCARTHY, BRIANN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.78	0.00	2.78	0.0	0.00
	ACCOUNT #: 08191126031	BUY 0 Units @0.0000						
07/01/2018	***-**-094I - KATRENA L	MFS GLOBAL TOTAL RETURN FUND-B	0.00	3.26	0.00	3.26	0.0	0.00
	ACCOUNT #: 08191140345	BUY 0 Units @0.0000						
07/01/2018	***-**-6538 - BROWN, TYLER	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.21	0.00	1.21	0.0	0.00
	ACCOUNT #: 08191150212	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-0767 - DOVI, ACCOUNT #: 08191152598	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	0.46	0.00	0.46	0.0	0.00
07/01/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: 08191153495	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	0.59	0.00	0.59	0.0	0.00
07/01/2018	***-**-2483 - TURNBULL, ACCOUNT #: 08191153585	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	0.56	0.00	0.56	0.0	0.00
07/01/2018	***-**-7617 - ESCHE, BEVERLY ACCOUNT #: 08191154979	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	3.35	0.00	3.35	0.0	0.00
07/01/2018	***-**-8440 - PREVOST, PIERRE ACCOUNT #: 08191160862	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	0.77	0.00	0.77	0.0	0.00
07/01/2018	***-**-237I - MCCLUSKY, MARK ACCOUNT #: 08191161591	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	1.37	0.00	1.37	0.0	0.00
07/01/2018	***-**-3932 - CARSON, DAVID ACCOUNT #: 08191168388	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	2.30	0.00	2.30	0.0	0.00
07/01/2018	***-**-53RI - FALCHI, VALERIE ACCOUNT #: 08191172002	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	3.08	0.00	3.08	0.0	0.00
07/01/2018	***-**-06IN - SATTERLY, ACCOUNT #: 08191173302	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	0.89	0.00	0.89	0.0	0.00
07/01/2018	***-**-21IR - SATTERLY, ANDREW ACCOUNT #: 08191173305	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	0.48	0.00	0.48	0.0	0.00
07/01/2018	***-**-094I - CIRILLO, CARL ACCOUNT #: 08191173445	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	5.52	0.00	5.52	0.0	0.00
07/01/2018	***-**-671I - EBERLEY, REID ACCOUNT #: 08191175793	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	4.18	0.00	4.18	0.0	0.00
07/01/2018	***-**-9839 - YADDAW, DAVID ACCOUNT #: 08191183793	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	4.58	0.00	4.58	0.0	0.00
07/01/2018	***-**-844I - ROSS, VALERIE ACCOUNT #: 08191197798	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	7.00	0.00	7.00	0.0	0.00
07/01/2018	***-**-524I - SCALISE, JOSEPH ACCOUNT #: 08191202574	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	0.80	0.00	0.80	0.0	0.00
07/01/2018	***-**-80IN - CURRIERE, LAURA ACCOUNT #: 08191216642	MFS GLOBAL TOTAL RETURN FUND-B BUY 0 Units @0.0000	0.00	0.46	0.00	0.46	0.0	0.00



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-6988 - TOOKE, LISA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.02	0.00	2.02	0.0	0.00
	ACCOUNT #: 08191218269	BUY 0 Units @0.0000						
07/01/2018	***-**-48IO - ANACKER, FBO MRS	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.29	0.00	1.29	0.0	0.00
	ACCOUNT #: 08191221209	BUY 0 Units @0.0000						
07/01/2018	***-**-728I - BYWATER, KIM	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: 08191226548	BUY 0 Units @0.0000						
07/01/2018	***-**-49IN - GUARDI, LINDA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	3.13	0.00	3.13	0.0	0.00
	ACCOUNT #: 08191237797	BUY 0 Units @0.0000						
07/01/2018	***-**-83UT - FALCHI, ISABELLE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.72	0.00	1.72	0.0	0.00
	ACCOUNT #: 08191247393	BUY 0 Units @0.0000						
07/01/2018	***-**-473I - HUSTON,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.74	0.00	0.74	0.0	0.00
	ACCOUNT #: 08191353972	BUY 0 Units @0.0000						
07/01/2018	***-**-881I - EBERLEY, SUSAN	MFS GLOBAL TOTAL RETURN FUND-C	0.00	3.75	0.00	3.75	0.0	0.00
	ACCOUNT #: 08191326411	BUY 0 Units @0.0000						
07/01/2018	***-**-7116 - PREVOST, SANDRA	MFS GOVERNMENT SECS CLASS C	0.00	3.41	0.00	3.41	0.0	0.00
	ACCOUNT #: 08191191385	BUY 0 Units @0.0000						
07/01/2018	***-**-3749 - DEPEYSTER,	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.30	0.00	0.30	0.0	0.00
	ACCOUNT #: 08190127726	BUY 0 Units @0.0000						
07/01/2018	***-**-75IN - MANNING, JEFFREY	MFS GOVERNMENT SECURITIES FUND-B	0.00	4.61	0.00	4.61	0.0	0.00
	ACCOUNT #: 08190129582	BUY 0 Units @0.0000						
07/01/2018	***-**-3749 - DEPEYSTER,	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.78	0.00	0.78	0.0	0.00
	ACCOUNT #: 08190127726	BUY 0 Units @0.0000						
07/01/2018	***-**-39IO - GUARNIERO, FBO	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.15	0.00	0.15	0.0	0.00
	ACCOUNT #: 08191309494	BUY 0 Units @0.0000						
07/01/2018	***-**-1855 - WILLIAMSON,	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	1.33	0.00	1.33	0.0	0.00
	ACCOUNT #: 08191308008	BUY 0 Units @0.0000						
07/01/2018	***-**-75IN - MANNING, JEFFREY	MFS INFLATION ADJUSTED BOND CL B	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: 08190129582	BUY 0 Units @0.0000						
07/01/2018	***-**-213I - GRUENEWALD,	MFS INFLATION ADJUSTED BOND CL B	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: 08190131132	BUY 0 Units @0.0000						
07/01/2018	***-**-7116 - PREVOST, SANDRA	MFS INFLATION ADJUSTED BOND CL B	0.00	0.96	0.00	0.96	0.0	0.00
	ACCOUNT #: 08191191385	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-844I - ROSS, VALERIE	MFS INFLATION ADJUSTED BOND CL B	0.00	1.66	0.00	1.66	0.0	0.00
	ACCOUNT #: 08191197798	BUY 0 Units @0.0000						
07/01/2018	***-**-524I - SCALISE, JOSEPH	MFS INFLATION ADJUSTED BOND CL B	0.00	0.44	0.00	0.44	0.0	0.00
	ACCOUNT #: 08191202574	BUY 0 Units @0.0000						
07/01/2018	***-**-80IN - CURRIERE, LAURA	MFS INFLATION ADJUSTED BOND CL B	0.00	0.08	0.00	0.08	0.0	0.00
	ACCOUNT #: 08191216642	BUY 0 Units @0.0000						
07/01/2018	***-**-6988 - TOOKE, LISA	MFS INFLATION ADJUSTED BOND CL B	0.00	0.45	0.00	0.45	0.0	0.00
	ACCOUNT #: 08191218269	BUY 0 Units @0.0000						
07/01/2018	***-**-48IO - ANACKER, FBO MRS	MFS INFLATION ADJUSTED BOND CL B	0.00	0.16	0.00	0.16	0.0	0.00
	ACCOUNT #: 08191221209	BUY 0 Units @0.0000						
07/01/2018	***-**-728I - BYWATER, KIM	MFS INFLATION ADJUSTED BOND CL B	0.00	0.10	0.00	0.10	0.0	0.00
	ACCOUNT #: 08191226548	BUY 0 Units @0.0000						
07/01/2018	***-**-473I - HUSTON,	MFS INFLATION ADJUSTED BOND CL B	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: 08191353972	BUY 0 Units @0.0000						
07/01/2018	***-**-439I - MCCARTHY, JAMES	MFS INFLATION ADJUSTED BOND CL C	0.00	3.05	0.00	3.05	0.0	0.00
	ACCOUNT #: 08190124895	BUY 0 Units @0.0000						
07/01/2018	***-**-84IN - WARMUTH, ERIC	MFS INFLATION ADJUSTED BOND FUND-A	0.00	1.10	0.00	1.10	0.0	0.00
	ACCOUNT #: 00060639189	BUY 0 Units @0.0000						
07/01/2018	***-**-26IN - GRANDE, MR	MFS INTERNATIONAL NEW DISCOVERY CL	0.00	3.50	0.00	3.50	0.0	0.00
	ACCOUNT #: 08191260232	BUY 0 Units @0.0000						
07/01/2018	***-**-111J - NESSIA, JAMES	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	3.31	0.00	3.31	0.0	0.00
	ACCOUNT #: 08190126843	BUY 0 Units @0.0000						
07/01/2018	***-**-0767 - DOVI,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: 08191152598	BUY 0 Units @0.0000						
07/01/2018	***-**-2483 - TURNBULL,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.54	0.00	0.54	0.0	0.00
	ACCOUNT #: 08191153585	BUY 0 Units @0.0000						
07/01/2018	***-**-7617 - ESCHE, BEVERLY	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.32	0.00	1.32	0.0	0.00
	ACCOUNT #: 08191154979	BUY 0 Units @0.0000						
07/01/2018	***-**-06IN - SATTERLY,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.29	0.00	0.29	0.0	0.00
	ACCOUNT #: 08191173302	BUY 0 Units @0.0000						
07/01/2018	***-**-21IR - SATTERLY, ANDREW	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.30	0.00	0.30	0.0	0.00
	ACCOUNT #: 08191173305	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-094I - CIRILLO, CARL	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.48	0.00	2.48	0.0	0.00
	ACCOUNT #: 08191173445	BUY 0 Units @0.0000						
07/01/2018	***-**-671I - EBERLEY, REID	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.13	0.00	2.13	0.0	0.00
	ACCOUNT #: 08191175793	BUY 0 Units @0.0000						
07/01/2018	***-**-9839 - YADDAW, DAVID	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.07	0.00	2.07	0.0	0.00
	ACCOUNT #: 08191183793	BUY 0 Units @0.0000						
07/01/2018	***-**-844I - ROSS, VALERIE	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	4.93	0.00	4.93	0.0	0.00
	ACCOUNT #: 08191197798	BUY 0 Units @0.0000						
07/01/2018	***-**-728I - BYWATER, KIM	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.32	0.00	0.32	0.0	0.00
	ACCOUNT #: 08191226548	BUY 0 Units @0.0000						
07/01/2018	***-**-49IN - GUARDI, LINDA	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	3.20	0.00	3.20	0.0	0.00
	ACCOUNT #: 08191237797	BUY 0 Units @0.0000						
07/01/2018	***-**-058I - ROBBIANO, SARAH	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.18	0.00	0.18	0.0	0.00
	ACCOUNT #: 08191291666	BUY 0 Units @0.0000						
07/01/2018	***-**-14IN - LINES, JUDITH	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: 08191291668	BUY 0 Units @0.0000						
07/01/2018	***-**-39IO - GUARNIERO, FBO	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.37	0.00	0.37	0.0	0.00
	ACCOUNT #: 08191309494	BUY 0 Units @0.0000						
07/01/2018	***-**-0767 - DOVI,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.71	0.00	0.71	0.0	0.00
	ACCOUNT #: 00060641009	BUY 0 Units @0.0000						
07/01/2018	***-**-2483 - TURNBULL,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.73	0.00	1.73	0.0	0.00
	ACCOUNT #: 00060641010	BUY 0 Units @0.0000						
07/01/2018	***-**-7617 - ESCHE, BEVERLY	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.19	0.00	0.19	0.0	0.00
	ACCOUNT #: 00060641013	BUY 0 Units @0.0000						
07/01/2018	***-**-4193 - VAN BAALEN, GUY	MFS INTERNATIONAL VALUE FUND-A	0.00	1.41	0.00	1.41	0.0	0.00
	ACCOUNT #: 08191310030	BUY 0 Units @0.0000						
07/01/2018	***-**-466I - MCCLUSKY, YVONNE	MFS INTERNATIONAL VALUE FUND-A	0.00	0.20	0.00	0.20	0.0	0.00
	ACCOUNT #: 00060670711	BUY 0 Units @0.0000						
07/01/2018	***-**-439I - MCCARTHY, JAMES	MFS INTERNATIONAL VALUE FUND-B	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: 08190124895	BUY 0 Units @0.0000						
07/01/2018	***-**-466I - MCCLUSKY, YVONNE	MFS INTERNATIONAL VALUE FUND-B	0.00	1.16	0.00	1.16	0.0	0.00
	ACCOUNT #: 08191161825	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-3932 - CARSON, DAVID	MFS INTERNATIONAL VALUE FUND-B	0.00	2.74	0.00	2.74	0.0	0.00
	ACCOUNT #: 08191168388	BUY 0 Units @0.0000						
07/01/2018	***-**-13IN - RHYMESTINE,	MFS INTERNATIONAL VALUE FUND-B	0.00	8.62	0.00	8.62	0.0	0.00
	ACCOUNT #: 08191178476	BUY 0 Units @0.0000						
07/01/2018	***-**-3420 - KOICHEM, DENISE	MFS INTERNATIONAL VALUE FUND-B	0.00	1.16	0.00	1.16	0.0	0.00
	ACCOUNT #: 08191179076	BUY 0 Units @0.0000						
07/01/2018	***-**-7116 - PREVOST, SANDRA	MFS INTERNATIONAL VALUE FUND-B	0.00	2.63	0.00	2.63	0.0	0.00
	ACCOUNT #: 08191191385	BUY 0 Units @0.0000						
07/01/2018	***-**-09IN - BATES, CHRISTINE	MFS INTERNATIONAL VALUE FUND-B	0.00	4.82	0.00	4.82	0.0	0.00
	ACCOUNT #: 08191196034	BUY 0 Units @0.0000						
07/01/2018	***-**-37IN - PFAFF, JOAN	MFS INTERNATIONAL VALUE FUND-B	0.00	6.99	0.00	6.99	0.0	0.00
	ACCOUNT #: 08191218057	BUY 0 Units @0.0000						
07/01/2018	***-**-466I - MCCLUSKY, YVONNE	MFS LIMITED MATURITY FUND-C	0.00	3.18	0.00	3.18	0.0	0.00
	ACCOUNT #: 08191161825	BUY 0 Units @0.0000						
07/01/2018	***-**-1855 - WILLIAMSON,	MFS MID CAP VALUE CLASS C	0.00	1.59	0.00	1.59	0.0	0.00
	ACCOUNT #: 08191308008	BUY 0 Units @0.0000						
07/01/2018	***-**-49IN - GUARDI, LINDA	MFS MID CAP VALUE FUND-B	0.00	3.76	0.00	3.76	0.0	0.00
	ACCOUNT #: 08191237797	BUY 0 Units @0.0000						
07/01/2018	***-**-02IN - BUSHEY, MELISSA	MFS NEW DISCOVERY FUND-A	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: 00060640713	BUY 0 Units @0.0000						
07/01/2018	***-**-4193 - VAN BAALEN, GUY	MFS NEW DISCOVERY FUND-A	0.00	1.44	0.00	1.44	0.0	0.00
	ACCOUNT #: 08191310030	BUY 0 Units @0.0000						
07/01/2018	***-**-8440 - PREVOST, PIERRE	MFS NEW DISCOVERY FUND-A	0.00	0.18	0.00	0.18	0.0	0.00
	ACCOUNT #: 00060670723	BUY 0 Units @0.0000						
07/01/2018	***-**-237I - MCCLUSKY, MARK	MFS NEW DISCOVERY FUND-A	0.00	0.17	0.00	0.17	0.0	0.00
	ACCOUNT #: 00060670725	BUY 0 Units @0.0000						
07/01/2018	***-**-2603 - MASTRANGELO,	MFS NEW DISCOVERY FUND-B	0.00	0.32	0.00	0.32	0.0	0.00
	ACCOUNT #: 08190129168	BUY 0 Units @0.0000						
07/01/2018	***-**-889I - FRANZ, JONATHAN	MFS NEW DISCOVERY FUND-B	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: 08190130131	BUY 0 Units @0.0000						
07/01/2018	***-**-213I - GRUENEWALD,	MFS NEW DISCOVERY FUND-B	0.00	1.26	0.00	1.26	0.0	0.00
	ACCOUNT #: 08190131132	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-8440 - PREVOST, PIERRE	MFS NEW DISCOVERY FUND-B	0.00	0.98	0.00	0.98	0.0	0.00
	ACCOUNT #: 08191160862	BUY 0 Units @0.0000						
07/01/2018	***-**-237I - MCCLUSKY, MARK	MFS NEW DISCOVERY FUND-B	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: 08191161591	BUY 0 Units @0.0000						
07/01/2018	***-**-7116 - PREVOST, SANDRA	MFS NEW DISCOVERY FUND-B	0.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: 08191191385	BUY 0 Units @0.0000						
07/01/2018	***-**-09IN - BATES, CHRISTINE	MFS NEW DISCOVERY FUND-B	0.00	5.01	0.00	5.01	0.0	0.00
	ACCOUNT #: 08191196034	BUY 0 Units @0.0000						
07/01/2018	***-**-524I - SCALISE, JOSEPH	MFS NEW DISCOVERY FUND-B	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: 08191202574	BUY 0 Units @0.0000						
07/01/2018	***-**-37IN - PFAFF, JOAN	MFS NEW DISCOVERY FUND-B	0.00	6.86	0.00	6.86	0.0	0.00
	ACCOUNT #: 08191218057	BUY 0 Units @0.0000						
07/01/2018	***-**-48IO - ANACKER, FBO MRS	MFS NEW DISCOVERY FUND-B	0.00	1.63	0.00	1.63	0.0	0.00
	ACCOUNT #: 08191221209	BUY 0 Units @0.0000						
07/01/2018	***-**-058I - ROBBIANO, SARAH	MFS NEW DISCOVERY FUND-B	0.00	0.18	0.00	0.18	0.0	0.00
	ACCOUNT #: 08191291666	BUY 0 Units @0.0000						
07/01/2018	***-**-84IN - WARMUTH, ERIC	MFS NEW YORK MUNICIPAL BOND FUND CL	0.00	2.07	0.00	2.07	0.0	0.00
	ACCOUNT #: 00060639106	BUY 0 Units @0.0000						
07/01/2018	***-**-02IN - BUSHEY, MELISSA	MFS RESEARCH BOND FUND CLASS A	0.00	0.40	0.00	0.40	0.0	0.00
	ACCOUNT #: 00060640647	BUY 0 Units @0.0000						
07/01/2018	***-**-0767 - DOVI,	MFS RESEARCH BOND FUND CLASS A	0.00	0.27	0.00	0.27	0.0	0.00
	ACCOUNT #: 00060641022	BUY 0 Units @0.0000						
07/01/2018	***-**-237I - MCCLUSKY, MARK	MFS RESEARCH BOND FUND CLASS A	0.00	0.08	0.00	0.08	0.0	0.00
	ACCOUNT #: 00060670695	BUY 0 Units @0.0000						
07/01/2018	***-**-111J - NESSIA, JAMES	MFS RESEARCH BOND FUND-B	0.00	0.45	0.00	0.45	0.0	0.00
	ACCOUNT #: 08190126843	BUY 0 Units @0.0000						
07/01/2018	***-**-0767 - DOVI,	MFS RESEARCH BOND FUND-B	0.00	0.08	0.00	0.08	0.0	0.00
	ACCOUNT #: 08191152598	BUY 0 Units @0.0000						
07/01/2018	***-**-237I - MCCLUSKY, MARK	MFS RESEARCH BOND FUND-B	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: 08191161591	BUY 0 Units @0.0000						
07/01/2018	***-**-3932 - CARSON, DAVID	MFS RESEARCH BOND FUND-B	0.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: 08191168388	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-094I - CIRILLO, CARL	MFS RESEARCH BOND FUND-B	0.00	1.08	0.00	1.08	0.0	0.00
	ACCOUNT #: 08191173445	BUY 0 Units @0.0000						
07/01/2018	***-**-671I - EBERLEY, REID	MFS RESEARCH BOND FUND-B	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: 08191175793	BUY 0 Units @0.0000						
07/01/2018	***-**-13IN - RHYMESTINE,	MFS RESEARCH BOND FUND-B	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: 08191178476	BUY 0 Units @0.0000						
07/01/2018	***-**-3420 - KOCHER, DENISE	MFS RESEARCH BOND FUND-B	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: 08191179076	BUY 0 Units @0.0000						
07/01/2018	***-**-9839 - YADDAW, DAVID	MFS RESEARCH BOND FUND-B	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: 08191183793	BUY 0 Units @0.0000						
07/01/2018	***-**-844I - ROSS, VALERIE	MFS RESEARCH BOND FUND-B	0.00	1.91	0.00	1.91	0.0	0.00
	ACCOUNT #: 08191197798	BUY 0 Units @0.0000						
07/01/2018	***-**-466I - MCCLUSKY, YVONNE	MFS RESEARCH FUND-A	0.00	0.11	0.00	0.11	0.0	0.00
	ACCOUNT #: 00060670643	BUY 0 Units @0.0000						
07/01/2018	***-**-094I - CIRILLO, CARL	MFS RESEARCH FUND-B	0.00	1.24	0.00	1.24	0.0	0.00
	ACCOUNT #: 08190127967	BUY 0 Units @0.0000						
07/01/2018	***-**-466I - MCCLUSKY, YVONNE	MFS RESEARCH FUND-B	0.00	0.62	0.00	0.62	0.0	0.00
	ACCOUNT #: 08191161825	BUY 0 Units @0.0000						
07/01/2018	***-**-3932 - CARSON, DAVID	MFS RESEARCH FUND-B	0.00	1.48	0.00	1.48	0.0	0.00
	ACCOUNT #: 08191168388	BUY 0 Units @0.0000						
07/01/2018	***-**-13IN - RHYMESTINE,	MFS RESEARCH FUND-B	0.00	0.97	0.00	0.97	0.0	0.00
	ACCOUNT #: 08191178476	BUY 0 Units @0.0000						
07/01/2018	***-**-3420 - KOCHER, DENISE	MFS RESEARCH FUND-B	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: 08191179076	BUY 0 Units @0.0000						
07/01/2018	***-**-7116 - PREVOST, SANDRA	MFS RESEARCH FUND-B	0.00	1.25	0.00	1.25	0.0	0.00
	ACCOUNT #: 08191191385	BUY 0 Units @0.0000						
07/01/2018	***-**-26IN - GRANDE, MR	MFS STRATEGIC INCOME FUND-B	0.00	1.24	0.00	1.24	0.0	0.00
	ACCOUNT #: 08191260232	BUY 0 Units @0.0000						
07/01/2018	***-**-26IN - GRANDE, MR	MFS TECHNOLOGY CLASS C	0.00	4.57	0.00	4.57	0.0	0.00
	ACCOUNT #: 08191260232	BUY 0 Units @0.0000						
07/01/2018	***-**-4193 - VAN BAALEN, GUY	MFS TECHNOLOGY FUND CLASS A	0.00	2.06	0.00	2.06	0.0	0.00
	ACCOUNT #: 08191310030	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-49IN - GUARDI, LINDA	MFS TECHNOLOGY FUND-B	0.00	2.90	0.00	2.90	0.0	0.00
	ACCOUNT #: 05566055028	BUY 0 Units @0.0000						
07/01/2018	***-**-439I - MCCARTHY, JAMES	MFS TECHNOLOGY FUND-B	0.00	1.53	0.00	1.53	0.0	0.00
	ACCOUNT #: 08190124895	BUY 0 Units @0.0000						
07/01/2018	***-**-111J - NESSIA, JAMES	MFS TECHNOLOGY FUND-B	0.00	2.34	0.00	2.34	0.0	0.00
	ACCOUNT #: 08190126843	BUY 0 Units @0.0000						
07/01/2018	***-**-2603 - MASTRANGELO,	MFS TECHNOLOGY FUND-B	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: 08190129168	BUY 0 Units @0.0000						
07/01/2018	***-**-889I - FRANZ, JONATHAN	MFS TECHNOLOGY FUND-B	0.00	1.64	0.00	1.64	0.0	0.00
	ACCOUNT #: 08190130131	BUY 0 Units @0.0000						
07/01/2018	***-**-213I - GRUENEWALD,	MFS TECHNOLOGY FUND-B	0.00	2.96	0.00	2.96	0.0	0.00
	ACCOUNT #: 08190131132	BUY 0 Units @0.0000						
07/01/2018	***-**-06IN - SATTERLY,	MFS TECHNOLOGY FUND-B	0.00	0.80	0.00	0.80	0.0	0.00
	ACCOUNT #: 08191173302	BUY 0 Units @0.0000						
07/01/2018	***-**-094I - CIRILLO, CARL	MFS TECHNOLOGY FUND-B	0.00	3.42	0.00	3.42	0.0	0.00
	ACCOUNT #: 08191173445	BUY 0 Units @0.0000						
07/01/2018	***-**-80IN - CURRIERE, LAURA	MFS TECHNOLOGY FUND-B	0.00	0.52	0.00	0.52	0.0	0.00
	ACCOUNT #: 08191216642	BUY 0 Units @0.0000						
07/01/2018	***-**-6988 - TOOKE, LISA	MFS TECHNOLOGY FUND-B	0.00	2.67	0.00	2.67	0.0	0.00
	ACCOUNT #: 08191218269	BUY 0 Units @0.0000						
07/01/2018	***-**-48IO - ANACKER, FBO MRS	MFS TECHNOLOGY FUND-B	0.00	1.54	0.00	1.54	0.0	0.00
	ACCOUNT #: 08191221209	BUY 0 Units @0.0000						
07/01/2018	***-**-5384 - GURDO, JESSICA	MFS TECHNOLOGY FUND-B	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: 08191233759	BUY 0 Units @0.0000						
07/01/2018	***-**-058I - ROBBIANO, SARAH	MFS TECHNOLOGY FUND-B	0.00	0.62	0.00	0.62	0.0	0.00
	ACCOUNT #: 08191291666	BUY 0 Units @0.0000						
07/01/2018	***-**-14IN - LINES, JUDITH	MFS TECHNOLOGY FUND-B	0.00	1.31	0.00	1.31	0.0	0.00
	ACCOUNT #: 08191291668	BUY 0 Units @0.0000						
07/01/2018	***-**-39IO - GUARNIERO, FBO	MFS TECHNOLOGY FUND-B	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: 08191309494	BUY 0 Units @0.0000						
07/01/2018	***-**-724I - BLISS, RYAN	MFS TECHNOLOGY FUND-B	0.00	5.00	0.00	5.00	0.0	0.00
	ACCOUNT #: 08191352733	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-606I - LEWIS, TAMARA	MFS TECHNOLOGY FUND-B	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: 08191352846	BUY 0 Units @0.0000						
07/01/2018	***-**-439J - MCCARTHY, JAMES	MFS TOTAL RETURN FUND-A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: 00060638905	BUY 0 Units @0.0000						
07/01/2018	***-**-861I - SANGER, LAWRENCE	MFS TOTAL RETURN FUND-A	0.00	0.13	0.00	0.13	0.0	0.00
	ACCOUNT #: 00060640581	BUY 0 Units @0.0000						
07/01/2018	***-**-0767 - DOVI,	MFS TOTAL RETURN FUND-A	0.00	1.27	0.00	1.27	0.0	0.00
	ACCOUNT #: 00060640926	BUY 0 Units @0.0000						
07/01/2018	***-**-2483 - TURNBULL,	MFS TOTAL RETURN FUND-A	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: 00060640928	BUY 0 Units @0.0000						
07/01/2018	***-**-237I - MCCLUSKY, MARK	MFS TOTAL RETURN FUND-A	0.00	0.30	0.00	0.30	0.0	0.00
	ACCOUNT #: 00060670657	BUY 0 Units @0.0000						
07/01/2018	***-**-439I - MCCARTHY, JAMES	MFS TOTAL RETURN FUND-B	0.00	1.54	0.00	1.54	0.0	0.00
	ACCOUNT #: 08190124895	BUY 0 Units @0.0000						
07/01/2018	***-**-111J - NESSIA, JAMES	MFS TOTAL RETURN FUND-B	0.00	3.62	0.00	3.62	0.0	0.00
	ACCOUNT #: 08190126843	BUY 0 Units @0.0000						
07/01/2018	***-**-0767 - DOVI,	MFS TOTAL RETURN FUND-B	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: 08191152598	BUY 0 Units @0.0000						
07/01/2018	***-**-861I - SANGER, LAWRENCE	MFS TOTAL RETURN FUND-B	0.00	0.29	0.00	0.29	0.0	0.00
	ACCOUNT #: 08191153495	BUY 0 Units @0.0000						
07/01/2018	***-**-2483 - TURNBULL,	MFS TOTAL RETURN FUND-B	0.00	0.23	0.00	0.23	0.0	0.00
	ACCOUNT #: 08191153585	BUY 0 Units @0.0000						
07/01/2018	***-**-237I - MCCLUSKY, MARK	MFS TOTAL RETURN FUND-B	0.00	1.73	0.00	1.73	0.0	0.00
	ACCOUNT #: 08191161591	BUY 0 Units @0.0000						
07/01/2018	***-**-094I - CIRILLO, CARL	MFS TOTAL RETURN FUND-B	0.00	4.73	0.00	4.73	0.0	0.00
	ACCOUNT #: 08191173445	BUY 0 Units @0.0000						
07/01/2018	***-**-671I - EBERLEY, REID	MFS TOTAL RETURN FUND-B	0.00	3.43	0.00	3.43	0.0	0.00
	ACCOUNT #: 08191175793	BUY 0 Units @0.0000						
07/01/2018	***-**-13IN - RHYMESTINE,	MFS TOTAL RETURN FUND-B	0.00	4.41	0.00	4.41	0.0	0.00
	ACCOUNT #: 08191178476	BUY 0 Units @0.0000						
07/01/2018	***-**-3420 - KOCHER, DENISE	MFS TOTAL RETURN FUND-B	0.00	0.95	0.00	0.95	0.0	0.00
	ACCOUNT #: 08191179076	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-9839 - YADDAW, DAVID	MFS TOTAL RETURN FUND-B	0.00	3.88	0.00	3.88	0.0	0.00
	ACCOUNT #: 08191183793	BUY 0 Units @0.0000						
07/01/2018	***-**-1491 - GUNN, EDWARD	MFS TOTAL RETURN FUND-B	0.00	0.94	0.00	0.94	0.0	0.00
	ACCOUNT #: 08191184927	BUY 0 Units @0.0000						
07/01/2018	***-**-7116 - PREVOST, SANDRA	MFS TOTAL RETURN FUND-B	0.00	1.62	0.00	1.62	0.0	0.00
	ACCOUNT #: 08191191385	BUY 0 Units @0.0000						
07/01/2018	***-**-09IN - BATES, CHRISTINE	MFS TOTAL RETURN FUND-B	0.00	4.36	0.00	4.36	0.0	0.00
	ACCOUNT #: 08191196034	BUY 0 Units @0.0000						
07/01/2018	***-**-844I - ROSS, VALERIE	MFS TOTAL RETURN FUND-B	0.00	6.05	0.00	6.05	0.0	0.00
	ACCOUNT #: 08191197798	BUY 0 Units @0.0000						
07/01/2018	***-**-37IN - PFAFF, JOAN	MFS TOTAL RETURN FUND-B	0.00	6.07	0.00	6.07	0.0	0.00
	ACCOUNT #: 08191218057	BUY 0 Units @0.0000						
07/01/2018	***-**-5384 - GURDO, JESSICA	MFS TOTAL RETURN FUND-B	0.00	0.35	0.00	0.35	0.0	0.00
	ACCOUNT #: 08191233759	BUY 0 Units @0.0000						
07/01/2018	***-**-14IN - LINES, JUDITH	MFS TOTAL RETURN FUND-B	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: 08191291668	BUY 0 Units @0.0000						
07/01/2018	***-**-1855 - WILLIAMSON,	MFS TRAILS 55273G710	0.00	4.77	0.00	4.77	0.0	0.00
	ACCOUNT #: 08191308008	BUY 0 Units @0.0000						
07/01/2018	***-**-881I - EBERLEY, SUSAN	MFS TRAILS 552988610	0.00	2.73	0.00	2.73	0.0	0.00
	ACCOUNT #: 08191326411	BUY 0 Units @0.0000						
07/01/2018	***-**-881I - EBERLEY, SUSAN	MFS TRAILS 552989600	0.00	2.28	0.00	2.28	0.0	0.00
	ACCOUNT #: 08191326411	BUY 0 Units @0.0000						
07/01/2018	***-**-439J - MCCARTHY, JAMES	MFS UTILITIES FUND-A	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: 00060638930	BUY 0 Units @0.0000						
07/01/2018	***-**-84IN - WARMUTH, ERIC	MFS UTILITIES FUND-A	0.00	3.04	0.00	3.04	0.0	0.00
	ACCOUNT #: 00060639103	BUY 0 Units @0.0000						
07/01/2018	***-**-02IN - BUSHEY, MELISSA	MFS UTILITIES FUND-A	0.00	1.02	0.00	1.02	0.0	0.00
	ACCOUNT #: 00060640627	BUY 0 Units @0.0000						
07/01/2018	***-**-861I - SANGER, LAWRENCE	MFS UTILITIES FUND-A	0.00	0.27	0.00	0.27	0.0	0.00
	ACCOUNT #: 00060640636	BUY 0 Units @0.0000						
07/01/2018	***-**-4193 - VAN BAALEN, GUY	MFS UTILITIES FUND-A	0.00	0.84	0.00	0.84	0.0	0.00
	ACCOUNT #: 08191310030	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-0767 - DOVI, ACCOUNT #: 00060640984	MFS UTILITIES FUND-A BUY 0 Units @0.0000	0.00	0.69	0.00	0.69	0.0	0.00
07/01/2018	***-**-2483 - TURNBULL, ACCOUNT #: 00060640985	MFS UTILITIES FUND-A BUY 0 Units @0.0000	0.00	1.25	0.00	1.25	0.0	0.00
07/01/2018	***-**-7617 - ESCHE, BEVERLY ACCOUNT #: 00060640993	MFS UTILITIES FUND-A BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	0.0	0.00
07/01/2018	***-**-8440 - PREVOST, PIERRE ACCOUNT #: 00060670685	MFS UTILITIES FUND-A BUY 0 Units @0.0000	0.00	0.20	0.00	0.20	0.0	0.00
07/01/2018	***-**-237I - MCCLUSKY, MARK ACCOUNT #: 00060670687	MFS UTILITIES FUND-A BUY 0 Units @0.0000	0.00	0.22	0.00	0.22	0.0	0.00
07/01/2018	***-**-466I - MCCLUSKY, YVONNE ACCOUNT #: 00060670688	MFS UTILITIES FUND-A BUY 0 Units @0.0000	0.00	0.09	0.00	0.09	0.0	0.00
07/01/2018	***-**-439I - MCCARTHY, JAMES ACCOUNT #: 08190124895	MFS UTILITIES FUND-B BUY 0 Units @0.0000	0.00	1.31	0.00	1.31	0.0	0.00
07/01/2018	***-**-111J - NESSIA, JAMES ACCOUNT #: 08190126843	MFS UTILITIES FUND-B BUY 0 Units @0.0000	0.00	1.86	0.00	1.86	0.0	0.00
07/01/2018	***-**-094I - CIRILLO, CARL ACCOUNT #: 08190127967	MFS UTILITIES FUND-B BUY 0 Units @0.0000	0.00	2.57	0.00	2.57	0.0	0.00
07/01/2018	***-**-2603 - MASTRANGELO, ACCOUNT #: 08190129168	MFS UTILITIES FUND-B BUY 0 Units @0.0000	0.00	0.40	0.00	0.40	0.0	0.00
07/01/2018	***-**-889I - FRANZ, JONATHAN ACCOUNT #: 08190130131	MFS UTILITIES FUND-B BUY 0 Units @0.0000	0.00	1.17	0.00	1.17	0.0	0.00
07/01/2018	***-**-31IN - WARMUTH, ACCOUNT #: 08191131006	MFS UTILITIES FUND-B BUY 0 Units @0.0000	0.00	1.86	0.00	1.86	0.0	0.00
07/01/2018	***-**-0767 - DOVI, ACCOUNT #: 08191152598	MFS UTILITIES FUND-B BUY 0 Units @0.0000	0.00	0.21	0.00	0.21	0.0	0.00
07/01/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: 08191153495	MFS UTILITIES FUND-B BUY 0 Units @0.0000	0.00	0.48	0.00	0.48	0.0	0.00
07/01/2018	***-**-2483 - TURNBULL, ACCOUNT #: 08191153585	MFS UTILITIES FUND-B BUY 0 Units @0.0000	0.00	0.38	0.00	0.38	0.0	0.00
07/01/2018	***-**-7617 - ESCHE, BEVERLY ACCOUNT #: 08191154979	MFS UTILITIES FUND-B BUY 0 Units @0.0000	0.00	3.49	0.00	3.49	0.0	0.00



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-8440 - PREVOST, PIERRE	MFS UTILITIES FUND-B	0.00	1.46	0.00	1.46	0.0	0.00
	ACCOUNT #: 08191160862	BUY 0 Units @0.0000						
07/01/2018	***-**-237I - MCCLUSKY, MARK	MFS UTILITIES FUND-B	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: 08191161591	BUY 0 Units @0.0000						
07/01/2018	***-**-466I - MCCLUSKY, YVONNE	MFS UTILITIES FUND-B	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: 08191161825	BUY 0 Units @0.0000						
07/01/2018	***-**-3932 - CARSON, DAVID	MFS UTILITIES FUND-B	0.00	3.21	0.00	3.21	0.0	0.00
	ACCOUNT #: 08191168388	BUY 0 Units @0.0000						
07/01/2018	***-**-06IN - SATTERLY,	MFS UTILITIES FUND-B	0.00	0.29	0.00	0.29	0.0	0.00
	ACCOUNT #: 08191173302	BUY 0 Units @0.0000						
07/01/2018	***-**-094I - CIRILLO, CARL	MFS UTILITIES FUND-B	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: 08191173445	BUY 0 Units @0.0000						
07/01/2018	***-**-671I - EBERLEY, REID	MFS UTILITIES FUND-B	0.00	3.26	0.00	3.26	0.0	0.00
	ACCOUNT #: 08191175793	BUY 0 Units @0.0000						
07/01/2018	***-**-9839 - YADDAW, DAVID	MFS UTILITIES FUND-B	0.00	1.97	0.00	1.97	0.0	0.00
	ACCOUNT #: 08191183793	BUY 0 Units @0.0000						
07/01/2018	***-**-7116 - PREVOST, SANDRA	MFS UTILITIES FUND-B	0.00	1.75	0.00	1.75	0.0	0.00
	ACCOUNT #: 08191191385	BUY 0 Units @0.0000						
07/01/2018	***-**-09IN - BATES, CHRISTINE	MFS UTILITIES FUND-B	0.00	4.23	0.00	4.23	0.0	0.00
	ACCOUNT #: 08191196034	BUY 0 Units @0.0000						
07/01/2018	***-**-844I - ROSS, VALERIE	MFS UTILITIES FUND-B	0.00	7.59	0.00	7.59	0.0	0.00
	ACCOUNT #: 08191197798	BUY 0 Units @0.0000						
07/01/2018	***-**-524I - SCALISE, JOSEPH	MFS UTILITIES FUND-B	0.00	1.17	0.00	1.17	0.0	0.00
	ACCOUNT #: 08191202574	BUY 0 Units @0.0000						
07/01/2018	***-**-80IN - CURRIERE, LAURA	MFS UTILITIES FUND-B	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: 08191216642	BUY 0 Units @0.0000						
07/01/2018	***-**-37IN - PFAFF, JOAN	MFS UTILITIES FUND-B	0.00	6.26	0.00	6.26	0.0	0.00
	ACCOUNT #: 08191218057	BUY 0 Units @0.0000						
07/01/2018	***-**-6988 - TOOKE, LISA	MFS UTILITIES FUND-B	0.00	1.28	0.00	1.28	0.0	0.00
	ACCOUNT #: 08191218269	BUY 0 Units @0.0000						
07/01/2018	***-**-48IO - ANACKER, FBO MRS	MFS UTILITIES FUND-B	0.00	0.93	0.00	0.93	0.0	0.00
	ACCOUNT #: 08191221209	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/01/2018	***-**-728I - BYWATER, KIM	MFS UTILITIES FUND-B	0.00	0.28	0.00	0.28	0.0	0.00
	ACCOUNT #: 08191226548	BUY 0 Units @0.0000						
07/01/2018	***-**-213I - GRUENEWALD,	MFS UTILITIES FUND-B	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: 08191229521	BUY 0 Units @0.0000						
07/01/2018	***-**-5384 - GURDO, JESSICA	MFS UTILITIES FUND-B	0.00	0.13	0.00	0.13	0.0	0.00
	ACCOUNT #: 08191233759	BUY 0 Units @0.0000						
07/01/2018	***-**-49IN - GUARDI, LINDA	MFS UTILITIES FUND-B	0.00	3.14	0.00	3.14	0.0	0.00
	ACCOUNT #: 08191237797	BUY 0 Units @0.0000						
07/01/2018	***-**-058I - ROBBIANO, SARAH	MFS UTILITIES FUND-B	0.00	0.16	0.00	0.16	0.0	0.00
	ACCOUNT #: 08191291666	BUY 0 Units @0.0000						
07/01/2018	***-**-39IO - GUARNIERO, FBO	MFS UTILITIES FUND-B	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: 08191309494	BUY 0 Units @0.0000						
07/01/2018	***-**-606I - LEWIS, TAMARA	MFS UTILITIES FUND-B	0.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: 08191352846	BUY 0 Units @0.0000						
07/01/2018	***-**-473I - HUSTON,	MFS UTILITIES FUND-B	0.00	1.03	0.00	1.03	0.0	0.00
	ACCOUNT #: 08191353972	BUY 0 Units @0.0000						
07/01/2018	***-**-26IN - GRANDE, MR	MFS UTILITIES FUND-C	0.00	3.86	0.00	3.86	0.0	0.00
	ACCOUNT #: 08191260232	BUY 0 Units @0.0000						
07/01/2018	***-**-881I - EBERLEY, SUSAN	MFS UTILITIES FUND-C	0.00	3.38	0.00	3.38	0.0	0.00
	ACCOUNT #: 08191326411	BUY 0 Units @0.0000						
06/30/2018	***-**-633J -	OPP PORT SERIES: ACTIVE ALLOC A	0.00	2.97	0.00	2.97	0.0	0.00
	ACCOUNT #: 05502871176	BUY 0 Units @0.0000						
06/30/2018	***-**-524I - SCALISE, JOSEPH	OPP STEELPATH MLP ALPHA+ FND C	0.00	0.03	0.00	0.03	0.0	0.00
	ACCOUNT #: 00100019119	BUY 0 Units @0.0000						
06/30/2018	***-**-13IN - BLISS, PATRICK	OPPENHEIMER ACTIVE ALLOCATION FUND	0.00	10.89	0.00	10.89	0.0	0.00
	ACCOUNT #: 05521022681	BUY 0 Units @0.0000						
06/30/2018	***-**-775I - BLISS, APRIL	OPPENHEIMER ACTIVE ALLOCATION FUND	0.00	13.13	0.00	13.13	0.0	0.00
	ACCOUNT #: 05521022692	BUY 0 Units @0.0000						
06/30/2018	***-**-48JT - LEE, DARLENE	OPPENHEIMER ACTIVE ALLOCATION FUND	0.00	5.26	0.00	5.26	0.0	0.00
	ACCOUNT #: 05521043603	BUY 0 Units @0.0000						
06/30/2018	***-**-1944 - BEHA, JAMES	OPPENHEIMER DEV MARKETS FD CLASS C	0.00	5.56	0.00	5.56	0.0	0.00
	ACCOUNT #: 07871306525	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-524I - SCALISE, JOSEPH	OPPENHEIMER DEV MARKETS FD CLASS C	0.00	5.46	0.00	5.46	0.0	0.00
	ACCOUNT #: 07872061521	BUY 0 Units @0.0000						
06/30/2018	***-**-31IR - HARTZ, CLAUDIA	OPPENHEIMER DEV MARKETS FD CLASS C	0.00	3.03	0.00	3.03	0.0	0.00
	ACCOUNT #: 07872093014	BUY 0 Units @0.0000						
06/30/2018	***-**-3749 - DEPEYSTER,	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	0.79	0.00	0.79	0.0	0.00
	ACCOUNT #: 07862860001	BUY 0 Units @0.0000						
06/30/2018	***-**-0767 - DOVI,	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	2.85	0.00	2.85	0.0	0.00
	ACCOUNT #: 07862886753	BUY 0 Units @0.0000						
06/30/2018	***-**-1491 - GUNN, EDWARD	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	2.69	0.00	2.69	0.0	0.00
	ACCOUNT #: 07862938926	BUY 0 Units @0.0000						
06/30/2018	***-**-09IN - BATES, CHRISTINE	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	11.31	0.00	11.31	0.0	0.00
	ACCOUNT #: 07862942457	BUY 0 Units @0.0000						
06/30/2018	***-**-2603 - MASTRANGELO,	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: 07862947055	BUY 0 Units @0.0000						
06/30/2018	***-**-524I - SCALISE, JOSEPH	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	1.23	0.00	1.23	0.0	0.00
	ACCOUNT #: 07862950586	BUY 0 Units @0.0000						
06/30/2018	***-**-69IN - EVANS, PAUL	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	1.37	0.00	1.37	0.0	0.00
	ACCOUNT #: 07862954403	BUY 0 Units @0.0000						
06/30/2018	***-**-31IR - HARTZ, CLAUDIA	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	1.84	0.00	1.84	0.0	0.00
	ACCOUNT #: 07862957439	BUY 0 Units @0.0000						
06/30/2018	***-**-5375 - CARTER, LISA	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	1.27	0.00	1.27	0.0	0.00
	ACCOUNT #: 07862962213	BUY 0 Units @0.0000						
06/30/2018	***-**-0069 - KRECIDLO,	OPPENHEIMER DISCOVERY CLASS A	0.00	1.89	0.00	1.89	0.0	0.00
	ACCOUNT #: 05005738601	BUY 0 Units @0.0000						
06/30/2018	***-**-391I - MASTRANGELO,	OPPENHEIMER DISCOVERY CLASS A	0.00	7.63	0.00	7.63	0.0	0.00
	ACCOUNT #: 05005977620	BUY 0 Units @0.0000						
06/30/2018	***-**-1491 - GUNN, EDWARD	OPPENHEIMER DISCOVERY CLASS A	0.00	2.93	0.00	2.93	0.0	0.00
	ACCOUNT #: 05005989148	BUY 0 Units @0.0000						
06/30/2018	***-**-48JT - LEE, DARLENE	OPPENHEIMER GLBL REAL ESTATE FUND C	0.00	4.53	0.00	4.53	0.0	0.00
	ACCOUNT #: 00100021715	BUY 0 Units @0.0000						
06/30/2018	***-**-973I - LABAYEWSKI,	OPPENHEIMER GLOBAL VALUE FUND CL A	0.00	1.75	0.00	1.75	0.0	0.00
	ACCOUNT #: 06870116919	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-1944 - BEHA, JAMES ACCOUNT #: 04120615032	OPPENHEIMER GOLD & SPECIAL MINERALS BUY 0 Units @0.0000	0.00	1.55	0.00	1.55	0.0	0.00
06/30/2018	***-**-31IR - HARTZ, CLAUDIA ACCOUNT #: 04120821381	OPPENHEIMER GOLD & SPECIAL MINERALS BUY 0 Units @0.0000	0.00	0.36	0.00	0.36	0.0	0.00
06/30/2018	***-**-96IN - NESSIA, BONNIE ACCOUNT #: 08822034824	OPPENHEIMER INT'L BOND CLASS C BUY 0 Units @0.0000	0.00	5.16	0.00	5.16	0.0	0.00
06/30/2018	***-**-973I - LABAYEWSKI, ACCOUNT #: 01952877039	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	2.20	0.00	2.20	0.0	0.00
06/30/2018	***-**-633J - ACCOUNT #: 01953330426	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	3.06	0.00	3.06	0.0	0.00
06/30/2018	***-**-48JT - LEE, DARLENE ACCOUNT #: 01971019612	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	5.69	0.00	5.69	0.0	0.00
06/30/2018	***-**-96IN - NESSIA, BONNIE ACCOUNT #: 08170371459	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	0.00	19.63	0.00	19.63	0.0	0.00
06/30/2018	***-**-31IR - HARTZ, CLAUDIA ACCOUNT #: 08170372680	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	0.00	11.50	0.00	11.50	0.0	0.00
06/30/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: 08170406615	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	0.00	3.79	0.00	3.79	0.0	0.00
06/30/2018	***-**-5375 - CARTER, LISA ACCOUNT #: 08811178738	OPPENHEIMER INTL BOND CLASS B BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	0.0	0.00
06/30/2018	***-**-0767 - DOVI, ACCOUNT #: 08477584335	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	0.69	0.00	0.69	0.0	0.00
06/30/2018	***-**-973I - LABAYEWSKI, ACCOUNT #: 08477272342	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	1.42	0.00	1.42	0.0	0.00
06/30/2018	***-**-0767 - DOVI, ACCOUNT #: 08477584467	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	3.80	0.00	3.80	0.0	0.00
06/30/2018	***-**-391I - MASTRANGELO, ACCOUNT #: 08477604212	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	11.51	0.00	11.51	0.0	0.00
06/30/2018	***-**-31IR - HARTZ, CLAUDIA ACCOUNT #: 08477648025	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	2.54	0.00	2.54	0.0	0.00
06/30/2018	***-**-5375 - CARTER, LISA ACCOUNT #: 08477656715	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	2.31	0.00	2.31	0.0	0.00



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-31IR - HARTZ, CLAUDIA	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	9.28	0.00	9.28	0.0	0.00
	ACCOUNT #: 08491519782	BUY 0 Units @0.0000						
06/30/2018	***-**-1944 - BEHA, JAMES	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	16.29	0.00	16.29	0.0	0.00
	ACCOUNT #: 02270678062	BUY 0 Units @0.0000						
06/30/2018	***-**-78IN - FALES, JOAN	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	2.43	0.00	2.43	0.0	0.00
	ACCOUNT #: 02270934868	BUY 0 Units @0.0000						
06/30/2018	***-**-524I - SCALISE, JOSEPH	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	7.24	0.00	7.24	0.0	0.00
	ACCOUNT #: 02270938278	BUY 0 Units @0.0000						
06/30/2018	***-**-31IR - HARTZ, CLAUDIA	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	12.59	0.00	12.59	0.0	0.00
	ACCOUNT #: 02270952072	BUY 0 Units @0.0000						
06/30/2018	***-**-96IN - NESSIA, BONNIE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	2.74	0.00	2.74	0.0	0.00
	ACCOUNT #: 02271140183	BUY 0 Units @0.0000						
06/30/2018	***-**-69IN - EVANS, PAUL	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	1.23	0.00	1.23	0.0	0.00
	ACCOUNT #: 02913850561	BUY 0 Units @0.0000						
06/30/2018	***-**-84IN - WARMUTH, ERIC	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	10.68	0.00	10.68	0.0	0.00
	ACCOUNT #: 02510094556	BUY 0 Units @0.0000						
06/30/2018	***-**-237I - MCCLUSKY, MARK	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	2.80	0.00	2.80	0.0	0.00
	ACCOUNT #: 02514976004	BUY 0 Units @0.0000						
06/30/2018	***-**-3749 - DEPEYSTER,	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	1.18	0.00	1.18	0.0	0.00
	ACCOUNT #: 02514983539	BUY 0 Units @0.0000						
06/30/2018	***-**-633J -	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	2.91	0.00	2.91	0.0	0.00
	ACCOUNT #: 02514987422	BUY 0 Units @0.0000						
06/30/2018	***-**-0767 - DOVI,	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	1.15	0.00	1.15	0.0	0.00
	ACCOUNT #: 02515003240	BUY 0 Units @0.0000						
06/30/2018	***-**-0069 - KRECIDLO,	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	3.51	0.00	3.51	0.0	0.00
	ACCOUNT #: 02515019080	BUY 0 Units @0.0000						
06/30/2018	***-**-960I - MASTRANGELO,	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	0.97	0.00	0.97	0.0	0.00
	ACCOUNT #: 02515019168	BUY 0 Units @0.0000						
06/30/2018	***-**-1491 - GUNN, EDWARD	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	3.70	0.00	3.70	0.0	0.00
	ACCOUNT #: 02515033226	BUY 0 Units @0.0000						
06/30/2018	***-**-09IN - BATES, CHRISTINE	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	8.21	0.00	8.21	0.0	0.00
	ACCOUNT #: 02515036889	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-2603 - MASTRANGELO, ACCOUNT #: 02515041168	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	1.25	0.00	1.25	0.0	0.00
06/30/2018	***-**-69IN - EVANS, PAUL ACCOUNT #: 02515049715	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	1.26	0.00	1.26	0.0	0.00
06/30/2018	***-**-5375 - CARTER, LISA ACCOUNT #: 02515060110	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	1.71	0.00	1.71	0.0	0.00
06/30/2018	***-**-973I - LABAYEWSKI, ACCOUNT #: 00100661541	OPPENHEIMER STEELPATH MLP INC.FND C BUY 0 Units @0.0000	0.00	0.85	0.00	0.85	0.0	0.00
06/30/2018	***-**-391I - MASTRANGELO, ACCOUNT #: 02307298712	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	6.00	0.00	6.00	0.0	0.00
06/30/2018	***-**-0767 - DOVI, ACCOUNT #: 02203626810	OPPENHEIMER U.S. GOVT TR CLASS A BUY 0 Units @0.0000	0.00	1.09	0.00	1.09	0.0	0.00
06/30/2018	***-**-524I - SCALISE, JOSEPH ACCOUNT #: 02203662824	OPPENHEIMER U.S. GOVT TR CLASS A BUY 0 Units @0.0000	0.00	0.64	0.00	0.64	0.0	0.00
06/30/2018	***-**-5375 - CARTER, LISA ACCOUNT #: 02203678653	OPPENHEIMER U.S. GOVT TR CLASS A BUY 0 Units @0.0000	0.00	0.60	0.00	0.60	0.0	0.00
06/30/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: 00100355609	OPPENHEIMERSTEELPATH MLP INCOME CL BUY 0 Units @0.0000	0.00	2.79	0.00	2.79	0.0	0.00
06/30/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: 05420233365	PORT SERIES: CONS INV C BUY 0 Units @0.0000	0.00	4.18	0.00	4.18	0.0	0.00
07/02/2018	***-**-111J - NESSIA, JAMES ACCOUNT #: 00551414554	PUTNAM 1 BUY 0 Units @0.0000	0.00	1.05	0.00	1.05	0.0	0.00
07/02/2018	***-**-12IN - GORMAN, MELINDA ACCOUNT #: 00551376109	PUTNAM ABSOLUTE RETURN 700 CL B BUY 0 Units @0.0000	0.00	0.62	0.00	0.62	0.0	0.00
07/02/2018	***-**-63IN - LASCALA, DEBORAH ACCOUNT #: 00426855622	PUTNAM ABSOLUTE RETURN 700-A BUY 0 Units @0.0000	0.00	1.51	0.00	1.51	0.0	0.00
07/02/2018	***-**-49IN - GUARDI, LINDA ACCOUNT #: 00551372654	PUTNAM ABSOLUTE RETURN 700-A BUY 0 Units @0.0000	0.00	0.50	0.00	0.50	0.0	0.00
07/02/2018	***-**-756I - HUSTON, JOUANA ACCOUNT #: 00550220530	PUTNAM ASSET ALLOC GROWTH-A BUY 0 Units @0.0000	0.00	11.17	0.00	11.17	0.0	0.00
07/02/2018	***-**-12IN - GORMAN, MELINDA ACCOUNT #: 00551376109	PUTNAM CAPITAL SPECTRUM FD CL B BUY 0 Units @0.0000	0.00	1.13	0.00	1.13	0.0	0.00



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/02/2018	***-**-111J - NESSIA, JAMES	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.14	0.00	1.14	0.0	0.00
	ACCOUNT #: 00551414554	BUY 0 Units @0.0000						
07/02/2018	***-**-49IN - GUARDI, LINDA	PUTNAM CAPITAL SPECTRUM FUND CL A	0.00	0.92	0.00	0.92	0.0	0.00
	ACCOUNT #: 00551372654	BUY 0 Units @0.0000						
07/02/2018	***-**-49IN - GUARDI, LINDA	PUTNAM DIVERSIFIED INCOME FUND CLAS	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: 00551372654	BUY 0 Units @0.0000						
07/02/2018	***-**-63IN - LASCALA, DEBORAH	PUTNAM EQUITY INCOME FUND-A	0.00	2.51	0.00	2.51	0.0	0.00
	ACCOUNT #: 00426855622	BUY 0 Units @0.0000						
07/02/2018	***-**-111J - NESSIA, JAMES	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	0.80	0.00	0.80	0.0	0.00
	ACCOUNT #: 00551414554	BUY 0 Units @0.0000						
07/02/2018	***-**-63IN - LASCALA, DEBORAH	PUTNAM GLOBAL EQUITY FUND CLASS A	0.00	2.33	0.00	2.33	0.0	0.00
	ACCOUNT #: 00426855622	BUY 0 Units @0.0000						
07/02/2018	***-**-49IN - GUARDI, LINDA	PUTNAM GLOBAL HEALTH CARE FD-A	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: 00551372654	BUY 0 Units @0.0000						
07/02/2018	***-**-12IN - GORMAN, MELINDA	PUTNAM GLOBAL TECHNOLOGY CL B	0.00	1.28	0.00	1.28	0.0	0.00
	ACCOUNT #: 00551376109	BUY 0 Units @0.0000						
07/02/2018	***-**-49IN - GUARDI, LINDA	PUTNAM GLOBAL TECHNOLOGY FUND CL A	0.00	1.41	0.00	1.41	0.0	0.00
	ACCOUNT #: 00551372654	BUY 0 Units @0.0000						
07/02/2018	***-**-63IN - LASCALA, DEBORAH	PUTNAM GLOBAL UTILITIES CL C	0.00	8.63	0.00	8.63	0.0	0.00
	ACCOUNT #: 00426855622	BUY 0 Units @0.0000						
07/02/2018	***-**-28IN - BYWATER, KIM	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	6.53	0.00	6.53	0.0	0.00
	ACCOUNT #: 00392501050	BUY 0 Units @0.0000						
07/02/2018	***-**-12IN - GORMAN, MELINDA	PUTNAM HEALTH SCIENCES TR-B	0.00	1.06	0.00	1.06	0.0	0.00
	ACCOUNT #: 00551376109	BUY 0 Units @0.0000						
07/02/2018	***-**-111J - NESSIA, JAMES	PUTNAM HEALTH SCIENCES TR-B	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: 00551414554	BUY 0 Units @0.0000						
07/02/2018	***-**-49IN - GUARDI, LINDA	PUTNAM INTL GROWTH & INC-A	0.00	0.33	0.00	0.33	0.0	0.00
	ACCOUNT #: 00551372654	BUY 0 Units @0.0000						
07/02/2018	***-**-12IN - GORMAN, MELINDA	PUTNAM INTL GROWTH & INC-B	0.00	1.20	0.00	1.20	0.0	0.00
	ACCOUNT #: 00551376109	BUY 0 Units @0.0000						
07/02/2018	***-**-91UT - BYWATER, CAROLYN	PUTNAM INVESTORS FUND-A	0.00	0.73	0.00	0.73	0.0	0.00
	ACCOUNT #: 00234174436	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/02/2018	***-**-79UT - BYWATER, ACCOUNT #: 00234227769	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.73	0.00	0.73	0.0	0.00
07/02/2018	***-**-28IN - BYWATER, KIM ACCOUNT #: 00392501050	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	5.60	0.00	5.60	0.0	0.00
07/02/2018	***-**-63IN - LASCALA, DEBORAH ACCOUNT #: 00426855622	PUTNAM MID-CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	2.58	0.00	2.58	0.0	0.00
07/02/2018	***-**-91UT - BYWATER, CAROLYN ACCOUNT #: 00234174436	PUTNAM MULTI-CAP CORE FUND CL A BUY 0 Units @0.0000	0.00	0.08	0.00	0.08	0.0	0.00
07/02/2018	***-**-79UT - BYWATER, ACCOUNT #: 00234227769	PUTNAM MULTI-CAP CORE FUND CL A BUY 0 Units @0.0000	0.00	0.08	0.00	0.08	0.0	0.00
07/02/2018	***-**-28IN - BYWATER, KIM ACCOUNT #: 00392501050	PUTNAM MULTI-CAP CORE FUND CL A BUY 0 Units @0.0000	0.00	0.62	0.00	0.62	0.0	0.00
07/02/2018	***-**-49IN - GUARDI, LINDA ACCOUNT #: 00551372654	PUTNAM SMALL CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	0.79	0.00	0.79	0.0	0.00
07/02/2018	***-**-12IN - GORMAN, MELINDA ACCOUNT #: 00551376109	PUTNAM SMALL CAP VALUE FUND-B BUY 0 Units @0.0000	0.00	1.12	0.00	1.12	0.0	0.00
07/02/2018	***-**-49IN - GUARDI, LINDA ACCOUNT #: 00551372654	PUTNAM U.S. GOVT INCOME TR-A BUY 0 Units @0.0000	0.00	0.46	0.00	0.46	0.0	0.00
07/02/2018	***-**-12IN - GORMAN, MELINDA ACCOUNT #: 00551376109	PUTNAM U.S. GOVT INCOME TR-B BUY 0 Units @0.0000	0.00	0.56	0.00	0.56	0.0	0.00
07/16/2018	***-**-6404 - ASHRAF, MIRZA ACCOUNT #: IRK129327	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	191.39	0.00	191.39	0.0	0.00
06/30/2018	***-**-0069 - KRECIDLLO, ACCOUNT #: 02577505531	QUEST BALANCED A BUY 0 Units @0.0000	0.00	1.49	0.00	1.49	0.0	0.00
06/30/2018	***-**-69IN - EVANS, PAUL ACCOUNT #: 02577810451	QUEST BALANCED A BUY 0 Units @0.0000	0.00	0.91	0.00	0.91	0.0	0.00
06/30/2018	***-**-78IN - FALES, JOAN ACCOUNT #: 02592293104	QUEST BALANCED FUND C BUY 0 Units @0.0000	0.00	2.06	0.00	2.06	0.0	0.00
06/30/2018	***-**-84IN - WARMUTH, ERIC ACCOUNT #: 02540085811	QUEST INTERNATIONAL VALUE FUND, INC BUY 0 Units @0.0000	0.00	6.40	0.00	6.40	0.0	0.00
06/30/2018	***-**-84IN - WARMUTH, ERIC ACCOUNT #: 02361010152	QUEST OPPORTUNITY VALUE A BUY 0 Units @0.0000	0.00	8.19	0.00	8.19	0.0	0.00



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-973I - LABAYEWSKI, ACCOUNT #: 05901046408	REAL ESTATE FUND A BUY 0 Units @0.0000	0.00	0.48	0.00	0.48	0.0	0.00
06/30/2018	***-**-237I - MCCLUSKY, MARK ACCOUNT #: 05901256948	REAL ESTATE FUND A BUY 0 Units @0.0000	0.00	2.57	0.00	2.57	0.0	0.00
06/30/2018	***-**-3749 - DEPEYSTER, ACCOUNT #: 05901261997	REAL ESTATE FUND A BUY 0 Units @0.0000	0.00	1.10	0.00	1.10	0.0	0.00
06/30/2018	***-**-0767 - DOVI, ACCOUNT #: 05901273712	REAL ESTATE FUND A BUY 0 Units @0.0000	0.00	1.00	0.00	1.00	0.0	0.00
06/30/2018	***-**-0069 - KRECIDLO, ACCOUNT #: 05901284228	REAL ESTATE FUND A BUY 0 Units @0.0000	0.00	1.25	0.00	1.25	0.0	0.00
06/30/2018	***-**-960I - MASTRANGELO, ACCOUNT #: 05901284382	REAL ESTATE FUND A BUY 0 Units @0.0000	0.00	0.59	0.00	0.59	0.0	0.00
06/30/2018	***-**-1491 - GUNN, EDWARD ACCOUNT #: 05901293732	REAL ESTATE FUND A BUY 0 Units @0.0000	0.00	1.10	0.00	1.10	0.0	0.00
06/30/2018	***-**-09IN - BATES, CHRISTINE ACCOUNT #: 05901296141	REAL ESTATE FUND A BUY 0 Units @0.0000	0.00	4.79	0.00	4.79	0.0	0.00
06/30/2018	***-**-2603 - MASTRANGELO, ACCOUNT #: 05901298583	REAL ESTATE FUND A BUY 0 Units @0.0000	0.00	1.06	0.00	1.06	0.0	0.00
06/30/2018	***-**-69IN - EVANS, PAUL ACCOUNT #: 05901303665	REAL ESTATE FUND A BUY 0 Units @0.0000	0.00	1.06	0.00	1.06	0.0	0.00
06/30/2018	***-**-5375 - CARTER, LISA ACCOUNT #: 05901309693	REAL ESTATE FUND A BUY 0 Units @0.0000	0.00	0.94	0.00	0.94	0.0	0.00
06/30/2018	***-**-391I - MASTRANGELO, ACCOUNT #: 05901309748	REAL ESTATE FUND A BUY 0 Units @0.0000	0.00	3.98	0.00	3.98	0.0	0.00
06/30/2018	***-**-0069 - KRECIDLO, ACCOUNT #: 05910195855	REAL ESTATE FUND B BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	0.0	0.00
06/30/2018	***-**-5375 - CARTER, LISA ACCOUNT #: 05910204314	REAL ESTATE FUND B BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	0.0	0.00
06/30/2018	***-**-1944 - BEHA, JAMES ACCOUNT #: 05920222255	REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	5.21	0.00	5.21	0.0	0.00
06/30/2018	***-**-78IN - FALES, JOAN ACCOUNT #: 05920299178	REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	1.10	0.00	1.10	0.0	0.00



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-84IN - WARMUTH, ERIC	RISING DIVIDENDS FUND A	0.00	3.52	0.00	3.52	0.0	0.00
	ACCOUNT #: 02252765829	BUY 0 Units @0.0000						
06/30/2018	***-**-973I - LABAYEWSKI,	RISING DIVIDENDS FUND A	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: 02254172036	BUY 0 Units @0.0000						
06/30/2018	***-**-237I - MCCLUSKY, MARK	RISING DIVIDENDS FUND A	0.00	3.39	0.00	3.39	0.0	0.00
	ACCOUNT #: 02254670435	BUY 0 Units @0.0000						
06/30/2018	***-**-3749 - DEPEYSTER,	RISING DIVIDENDS FUND A	0.00	1.22	0.00	1.22	0.0	0.00
	ACCOUNT #: 02254680236	BUY 0 Units @0.0000						
06/30/2018	***-**-0767 - DOVI,	RISING DIVIDENDS FUND A	0.00	1.54	0.00	1.54	0.0	0.00
	ACCOUNT #: 02254705239	BUY 0 Units @0.0000						
06/30/2018	***-**-0069 - KRECIDL0,	RISING DIVIDENDS FUND A	0.00	1.40	0.00	1.40	0.0	0.00
	ACCOUNT #: 02254728647	BUY 0 Units @0.0000						
06/30/2018	***-**-960I - MASTRANGELO,	RISING DIVIDENDS FUND A	0.00	1.35	0.00	1.35	0.0	0.00
	ACCOUNT #: 02254729032	BUY 0 Units @0.0000						
06/30/2018	***-**-09IN - BATES, CHRISTINE	RISING DIVIDENDS FUND A	0.00	11.91	0.00	11.91	0.0	0.00
	ACCOUNT #: 02254763429	BUY 0 Units @0.0000						
06/30/2018	***-**-2603 - MASTRANGELO,	RISING DIVIDENDS FUND A	0.00	1.21	0.00	1.21	0.0	0.00
	ACCOUNT #: 02254772636	BUY 0 Units @0.0000						
06/30/2018	***-**-524I - SCALISE, JOSEPH	RISING DIVIDENDS FUND A	0.00	2.65	0.00	2.65	0.0	0.00
	ACCOUNT #: 02254781183	BUY 0 Units @0.0000						
06/30/2018	***-**-391I - MASTRANGELO,	RISING DIVIDENDS FUND A	0.00	12.76	0.00	12.76	0.0	0.00
	ACCOUNT #: 02254799399	BUY 0 Units @0.0000						
06/30/2018	***-**-31IR - HARTZ, CLAUDIA	RISING DIVIDENDS FUND A	0.00	4.03	0.00	4.03	0.0	0.00
	ACCOUNT #: 02254799421	BUY 0 Units @0.0000						
06/30/2018	***-**-5375 - CARTER, LISA	RISING DIVIDENDS FUND A	0.00	2.65	0.00	2.65	0.0	0.00
	ACCOUNT #: 02254813776	BUY 0 Units @0.0000						
06/30/2018	***-**-0069 - KRECIDL0,	RISING DIVIDENDS FUND, INC. B	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: 02261702844	BUY 0 Units @0.0000						
06/30/2018	***-**-5375 - CARTER, LISA	RISING DIVIDENDS FUND, INC. B	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: 02261749935	BUY 0 Units @0.0000						
06/30/2018	***-**-524I - SCALISE, JOSEPH	RISING DIVIDENDS FUND, INC. B	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: 02261752014	BUY 0 Units @0.0000						



COMMISSION STATEMENT

July 16, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-53RI - FALCHI, VALERIE	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	0.71	0.00	0.71	0.0	0.00
	ACCOUNT #: 03551357917	BUY 0 Units @0.0000						
06/30/2018	***-**-3749 - DEPEYSTER,	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: 03551386715	BUY 0 Units @0.0000						
06/30/2018	***-**-78IN - FALES, JOAN	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	1.49	0.00	1.49	0.0	0.00
	ACCOUNT #: 03570632181	BUY 0 Units @0.0000						
06/30/2018	***-**-78IN - FALES, JOAN	SENIOR FLOATING RATE FUND C	0.00	1.31	0.00	1.31	0.0	0.00
	ACCOUNT #: 02931809830	BUY 0 Units @0.0000						
06/30/2018	***-**-96IN - NESSIA, BONNIE	SENIOR FLOATING RATE FUND C	0.00	6.55	0.00	6.55	0.0	0.00
	ACCOUNT #: 02931827254	BUY 0 Units @0.0000						
06/30/2018	***-**-31IO - WILLIAMSON, FBO	SMALL & MID-CAP GRWTH FND C	0.00	3.76	0.00	3.76	0.0	0.00
	ACCOUNT #: 07230230516	BUY 0 Units @0.0000						
06/30/2018	***-**-0069 - KRECIDLO,	SMALL- & MID- CAP VALUE B	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: 02521484681	BUY 0 Units @0.0000						
06/30/2018	***-**-5375 - CARTER, LISA	SMALL- & MID- CAP VALUE B	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: 02521508133	BUY 0 Units @0.0000						
06/30/2018	***-**-1944 - BEHA, JAMES	SMALL- & MID- CAP VALUE FUND C	0.00	14.67	0.00	14.67	0.0	0.00
	ACCOUNT #: 02531057771	BUY 0 Units @0.0000						
06/30/2018	***-**-78IN - FALES, JOAN	STRATEGIC INCOME FUND C	0.00	1.60	0.00	1.60	0.0	0.00
	ACCOUNT #: 02322752145	BUY 0 Units @0.0000						
06/30/2018	***-**-524I - SCALISE, JOSEPH	U.S. GOVERNMENT TRUST B	0.00	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: 02211110318	BUY 0 Units @0.0000						
06/30/2018	***-**-524I - SCALISE, JOSEPH	U.S. GOVERNMENT TRUST C	0.00	4.24	0.00	4.24	0.0	0.00
	ACCOUNT #: 02220585310	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,283.57	\$0.00	\$1,283.57		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$201,612.19	\$3,252.79	\$859.98	\$2,392.81		\$-24.00



BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$-24.00
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$-293.06
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD						<div>Balance Forward\$-317.06</div> <div>Year-to-date Earnings\$0.00</div>		