

**COMMISSION STATEMENT****July 15, 2018**KEITH, L128 LAYMAN
4321 FlagstaffBROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>CLEARING TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/03/2018 | VAN AUKEN, KAREN | FIDELITY SELECT ENERGY PORTFOLIO | -3,000.00 | 15.00 | 22.00 | -7.00 | 80.0 | -5.60 |
| | | * MUTUAL FUNDS SUBTOTAL * | \$-3,000.00 | \$15.00 | \$22.00 | \$-7.00 | | \$-5.60 |
| | | *** CLEARING TRANSACTIONS TOTAL *** | \$-3,000.00 | \$15.00 | \$22.00 | \$-7.00 | | \$-5.60 |
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: INTERVAL FUNDS</u> | | | | | | | | |
| 05/31/2018 | DERBYSHIRE TRUST, KAREN | BR TOTAL INC & REAL ESTATE FUND C | 27,198.77 | 23.11 | 0.00 | 23.11 | 80.0 | 18.49 |
| 05/31/2018 | DERBYSHIRE, JAMES | BR TOTAL INC & REAL ESTATE FUND C | 26,949.97 | 22.89 | 0.00 | 22.89 | 80.0 | 18.31 |
| 05/31/2018 | HARMEYER, SHARYN | BR TOTAL INC & REAL ESTATE FUND C | 43,113.93 | 36.61 | 0.00 | 36.61 | 80.0 | 29.29 |
| 05/31/2018 | BYRUM, GERALD | BR TOTAL INC & REAL ESTATE FUND C | 48,048.47 | 40.81 | 0.00 | 40.81 | 80.0 | 32.65 |
| 05/31/2018 | BUSH, SANDRA | BR TOTAL INC & REAL ESTATE FUND C | 37,338.06 | 31.71 | 0.00 | 31.71 | 80.0 | 25.37 |
| 05/31/2018 | DIETSCH, RHONDA | BR TOTAL INC & REAL ESTATE FUND C | 31,954.95 | 27.13 | 0.00 | 27.13 | 80.0 | 21.70 |
| 05/31/2018 | PEPPLE, GLEN | BR TOTAL INC & REAL ESTATE FUND C | 15,962.14 | 13.56 | 0.00 | 13.56 | 80.0 | 10.85 |
| 05/31/2018 | WILLING, LEONARD | BR TOTAL INC & REAL ESTATE FUND C | 41,005.22 | 34.83 | 0.00 | 34.83 | 80.0 | 27.86 |
| 05/31/2018 | MURPHY, SUSAN | BR TOTAL INC & REAL ESTATE FUND C | 51,545.17 | 43.77 | 0.00 | 43.77 | 80.0 | 35.02 |
| 05/31/2018 | LOWDEN TRUST, KATHERINE | BR TOTAL INC & REAL ESTATE FUND C | 43,155.29 | 36.65 | 0.00 | 36.65 | 80.0 | 29.32 |
| 05/31/2018 | ZINN, JEANETTE | BR TOTAL INC & REAL ESTATE FUND C | 73,654.03 | 62.56 | 0.00 | 62.56 | 80.0 | 50.05 |
| | | * INTERVAL FUNDS SUBTOTAL * | \$439,926.00 | \$373.63 | \$0.00 | \$373.63 | | \$298.91 |
| <u>PRODUCT CATEGORY: 529 PLANS</u> | | | | | | | | |
| / / | SIEGER, NORMAN | INA AMER FDS EUROPAC GROWT A | 0.00 | 2.00 | 0.00 | 2.00 | 80.0 | 1.60 |
| 06/29/2018 | SAYA, CHRISTINE | INA AMER FDS EUROPAC GROWT A | 18.00 | 0.12 | 0.00 | 0.12 | 80.0 | 0.10 |
| / / | SAYA, CHRISTINE | INA BOSTON COMPANY DYNAMIC LARGE CA | 0.00 | 0.42 | 0.00 | 0.42 | 80.0 | 0.34 |
| / / | GOODMAN, LAUREN | INA BOSTON COMPANY DYNAMIC LARGE CA | 0.00 | 2.38 | 0.00 | 2.38 | 80.0 | 1.90 |
| 07/03/2018 | GALENTINE, ASHLEY | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 2.38 | 0.00 | 2.38 | 80.0 | 1.90 |
| 07/06/2018 | GOODMAN, LAUREN | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 2.38 | 0.00 | 2.38 | 80.0 | 1.90 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------------------------------|-----------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: 529 PLANS | | | | | | | | |
| 07/06/2018 | SIEGER, NORMAN | INA BOSTON COMPANY DYNAMIC LARGE CA | 168.00 | 7.98 | 0.00 | 7.98 | 80.0 | 6.38 |
| / / | FREEZE, CORTNEY | INA DIAMOND HILL SM-MID CAP PORTF A | 0.00 | 0.86 | 0.00 | 0.86 | 80.0 | 0.69 |
| / / | GOODMAN, LAUREN | INA SHORT TERM TREAS INDEX PORT A | 0.00 | 1.63 | 0.00 | 1.63 | 80.0 | 1.30 |
| 07/06/2018 | GOODMAN, LAUREN | INA SHORT TERM TREAS INDEX PORT A | 50.00 | 1.63 | 0.00 | 1.63 | 80.0 | 1.30 |
| / / | GOODMAN, LAUREN | INA TIPS INDEX PORT CLASS A | 0.00 | 1.63 | 0.00 | 1.63 | 80.0 | 1.30 |
| 07/06/2018 | GOODMAN, LAUREN | INA TIPS INDEX PORT CLASS A | 50.00 | 1.63 | 0.00 | 1.63 | 80.0 | 1.30 |
| * 529 PLANS SUBTOTAL * | | | \$386.00 | \$25.04 | \$0.00 | \$25.04 | | \$20.01 |

PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | |
|------------|---------------------|-------------------------------------|--------|-------|------|-------|------|-------|
| 07/02/2018 | ROOS, DEBORAH | AM FUNDS AMCAP A | 100.00 | 4.25 | 0.00 | 4.25 | 80.0 | 3.40 |
| 06/25/2018 | EAGLESON, AMBER | AM FUNDS AMERICAN BALANCED A | 50.00 | 1.38 | 0.00 | 1.38 | 80.0 | 1.10 |
| 06/28/2018 | ISLEY, TERRY | AM FUNDS AMERICAN BALANCED A | 100.00 | 5.00 | 0.00 | 5.00 | 80.0 | 4.00 |
| 07/02/2018 | RHEN, JOLYN | AM FUNDS AMERICAN BALANCED A | 60.00 | 3.00 | 0.00 | 3.00 | 80.0 | 2.40 |
| 07/02/2018 | SEELY, BRENDAN | AM FUNDS AMERICAN BALANCED A | 50.00 | 2.50 | 0.00 | 2.50 | 80.0 | 2.00 |
| 06/25/2018 | EAGLESON, JOSEPH | AM FUNDS CAPITAL INCOME BUILDER A | 65.00 | 1.79 | 0.00 | 1.79 | 80.0 | 1.43 |
| 07/02/2018 | HUNTER, JENNIFER | AM FUNDS CAPITAL INCOME BUILDER A | 50.00 | 1.88 | 0.00 | 1.88 | 80.0 | 1.50 |
| 06/25/2018 | EAGLESON, JOSEPH | AM FUNDS CAPITAL WORLD GRTH & INC A | 75.00 | 2.06 | 0.00 | 2.06 | 80.0 | 1.65 |
| 06/28/2018 | HERRIMAN, JANET | AM FUNDS CAPITAL WORLD GRTH & INC A | 50.00 | 2.13 | 0.00 | 2.13 | 80.0 | 1.70 |
| 07/02/2018 | HUNTER, JENNIFER | AM FUNDS CAPITAL WORLD GRTH & INC A | 50.00 | 1.88 | 0.00 | 1.88 | 80.0 | 1.50 |
| 07/02/2018 | RHEN, DRAKE | AM FUNDS CAPITAL WORLD GRTH & INC A | 60.00 | 3.00 | 0.00 | 3.00 | 80.0 | 2.40 |
| 07/02/2018 | GOODMAN, ROBERT | AM FUNDS EUROPACIFIC GROWTH FUND A | 500.00 | 13.75 | 0.00 | 13.75 | 80.0 | 11.00 |
| 07/02/2018 | HUNTER, JENNIFER | AM FUNDS FUNDAMENTAL INVESTORS A | 50.00 | 1.88 | 0.00 | 1.88 | 80.0 | 1.50 |
| 06/25/2018 | ROEMER, JOSEPH | AM FUNDS GROWTH FUND OF AMERICA A | 50.00 | 2.13 | 0.00 | 2.13 | 80.0 | 1.70 |
| 06/25/2018 | EAGLESON, JOSEPH | AM FUNDS GROWTH FUND OF AMERICA A | 75.00 | 2.06 | 0.00 | 2.06 | 80.0 | 1.65 |
| 06/25/2018 | WIKTOROWICZ, JOSEPH | AM FUNDS GROWTH FUND OF AMERICA A | 250.00 | 12.50 | 0.00 | 12.50 | 80.0 | 10.00 |
| 06/29/2018 | SAMUDIO, SHERRY | AM FUNDS GROWTH FUND OF AMERICA A | 100.00 | 4.25 | 0.00 | 4.25 | 80.0 | 3.40 |
| 07/02/2018 | HUNTER, JENNIFER | AM FUNDS GROWTH FUND OF AMERICA A | 50.00 | 1.88 | 0.00 | 1.88 | 80.0 | 1.50 |
| 07/06/2018 | RHEN, MASON | AM FUNDS GROWTH FUND OF AMERICA A | 60.00 | 3.00 | 0.00 | 3.00 | 80.0 | 2.40 |
| 06/25/2018 | EAGLESON, JOSEPH | AM FUNDS INCOME FUND OF AMERICA A | 55.00 | 1.51 | 0.00 | 1.51 | 80.0 | 1.21 |
| 07/02/2018 | DAGER, DONNA | AM FUNDS NEW ECONOMY A | 100.00 | 5.00 | 0.00 | 5.00 | 80.0 | 4.00 |
| 06/25/2018 | EAGLESON, JOSEPH | AM FUNDS NEW PERSPECTIVE A | 65.00 | 1.79 | 0.00 | 1.79 | 80.0 | 1.43 |
| 06/25/2018 | EAGLESON, JOSEPH | AM FUNDS NEW WORLD A | 65.00 | 1.79 | 0.00 | 1.79 | 80.0 | 1.43 |
| 07/02/2018 | HUNTER, JENNIFER | AM FUNDS NEW WORLD A | 50.00 | 1.88 | 0.00 | 1.88 | 80.0 | 1.50 |
| 07/02/2018 | GOODMAN, ROBERT | AM FUNDS NEW WORLD A | 500.00 | 13.75 | 0.00 | 13.75 | 80.0 | 11.00 |

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|---|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/02/2018 | RAMUS, ERIC | AM FUNDS NEW WORLD A | 50.00 | 2.50 | 0.00 | 2.50 | 80.0 | 2.00 |
| 07/02/2018 | GOODMAN, ROBERT | AM FUNDS SMALLCAP WORLD A | 500.00 | 13.75 | 0.00 | 13.75 | 80.0 | 11.00 |
| 06/29/2018 | SAMUDIO, SHERRY | AM FUNDS WASHINGTON MUTUAL INVESTOR | 100.00 | 4.25 | 0.00 | 4.25 | 80.0 | 3.40 |
| 06/29/2018 | FREEZE, CORTNEY | INA CORE BOND INDEX PORT CLASS A | 70.00 | 0.33 | 0.00 | 0.33 | 80.0 | 0.26 |
| / / | FREEZE, CORTNEY | INA INTER EQTY INDEX PORTF A | 0.00 | 1.19 | 0.00 | 1.19 | 80.0 | 0.95 |
| / / | FREEZE, CORTNEY | INA LG CAP INDEX PORT CLASS A | 0.00 | 2.23 | 0.00 | 2.23 | 80.0 | 1.78 |
| / / | GOODMAN, LAUREN | INA PIMCO TOT RET PORT CLA A | 0.00 | 1.63 | 0.00 | 1.63 | 80.0 | 1.30 |
| 06/29/2018 | SAYA, CHRISTINE | INA PIMCO TOT RET PORT CLA A | 70.00 | 0.16 | 0.00 | 0.16 | 80.0 | 0.13 |
| 07/06/2018 | GOODMAN, LAUREN | INA PIMCO TOT RET PORT CLA A | 50.00 | 1.63 | 0.00 | 1.63 | 80.0 | 1.30 |
| 07/06/2018 | SIEGER, NORMAN | INA PIMCO TOT RET PORT CLA A | 42.00 | 1.37 | 0.00 | 1.37 | 80.0 | 1.10 |
| 06/29/2018 | SAYA, CHRISTINE | INA T ROWE PRICE LG CAP GROWTH PO A | 30.00 | 0.42 | 0.00 | 0.42 | 80.0 | 0.34 |
| 07/03/2018 | GALENTINE, ASHLEY | INA T ROWE PRICE LG CAP GROWTH PO A | 50.00 | 2.38 | 0.00 | 2.38 | 80.0 | 1.90 |
| 07/06/2018 | SIEGER, NORMAN | INA T ROWE PRICE LG CAP GROWTH PO A | 42.00 | 7.98 | 0.00 | 7.98 | 80.0 | 6.38 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$3,684.00 | \$135.86 | \$0.00 | \$135.86 | | \$108.64 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 06/15/2018 | BERNDT, TODD | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.76 | 0.00 | 0.76 | 80.0 | 0.61 |
| 06/15/2018 | KAUFFMAN, JOHN | AM FUNDS AMERICAN BALANCED A | 0.00 | 1.19 | 0.00 | 1.19 | 80.0 | 0.95 |
| 06/15/2018 | FEDLER, CHARLES | AM FUNDS AMERICAN BALANCED A | 0.00 | 1.21 | 0.00 | 1.21 | 80.0 | 0.97 |
| 06/15/2018 | ARCHBOLD, DANNY | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.47 | 0.00 | 0.47 | 80.0 | 0.38 |
| 06/15/2018 | ZINN, ROBERT | AM FUNDS AMERICAN BALANCED A | 0.00 | 12.44 | 0.00 | 12.44 | 80.0 | 9.95 |
| 06/15/2018 | WILLIAMS, BARBARA | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.53 | 0.00 | 0.53 | 80.0 | 0.42 |
| 06/15/2018 | HOFFMAN, MARK | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.73 | 0.00 | 0.73 | 80.0 | 0.58 |
| 06/15/2018 | ROGERS, BRADLEY | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.90 | 0.00 | 0.90 | 80.0 | 0.72 |
| 06/15/2018 | ARCHBOLD, DANNY | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00 | 0.47 | 0.00 | 0.47 | 80.0 | 0.38 |
| 06/15/2018 | WILLIAMS, BARBARA | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00 | 0.78 | 0.00 | 0.78 | 80.0 | 0.62 |
| 06/15/2018 | HOFFMAN, MARK | AM FUNDS BOND FUND OF AMERICA A | 0.00 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| 06/15/2018 | KAUFFMAN, JAN | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 1.43 | 0.00 | 1.43 | 80.0 | 1.14 |
| 06/15/2018 | ZINN, ROBERT | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 9.16 | 0.00 | 9.16 | 80.0 | 7.33 |
| 06/15/2018 | KAUFFMAN, JOHN | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.80 | 0.00 | 0.80 | 80.0 | 0.64 |
| 06/15/2018 | REMENSCHNEIDER, MARY | AM FUNDS CAPITAL WORLD BOND A | 0.00 | 0.42 | 0.00 | 0.42 | 80.0 | 0.34 |
| 06/15/2018 | TYGER, CATHIE | AM FUNDS CAPITAL WORLD BOND C | 0.00 | 2.15 | 0.00 | 2.15 | 80.0 | 1.72 |
| 06/15/2018 | ZINN, ROBERT | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00 | 6.97 | 0.00 | 6.97 | 80.0 | 5.58 |
| 06/15/2018 | WILLIAMS, BARBARA | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00 | 0.26 | 0.00 | 0.26 | 80.0 | 0.21 |

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|---|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 06/15/2018 | KAUFFMAN, JOHN | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00 | 0.87 | 0.00 | 0.87 | 80.0 | 0.70 |
| 06/15/2018 | ZINN, ROBERT | AM FUNDS FUNDAMENTAL INVESTORS A | 0.00 | 5.60 | 0.00 | 5.60 | 80.0 | 4.48 |
| 06/15/2018 | KAUFFMAN, JAN | AM FUNDS GLOBAL BALANCED A | 0.00 | 0.39 | 0.00 | 0.39 | 80.0 | 0.31 |
| 06/15/2018 | FEDLER, CHARLES | AM FUNDS GLOBAL BALANCED A | 0.00 | 0.29 | 0.00 | 0.29 | 80.0 | 0.23 |
| 06/15/2018 | KAUFFMAN, JOHN | AM FUNDS GLOBAL BALANCED A | 0.00 | 0.39 | 0.00 | 0.39 | 80.0 | 0.31 |
| 06/15/2018 | BERNDT, TODD | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 0.68 | 0.00 | 0.68 | 80.0 | 0.54 |
| 06/15/2018 | ZINN, ROBERT | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 7.52 | 0.00 | 7.52 | 80.0 | 6.02 |
| 06/15/2018 | WILLIAMS, BARBARA | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 0.33 | 0.00 | 0.33 | 80.0 | 0.26 |
| 06/15/2018 | ZINN, ROBERT | AM FUNDS ICA A | 0.00 | 5.03 | 0.00 | 5.03 | 80.0 | 4.02 |
| 06/15/2018 | BERNDT, TODD | AM FUNDS INCOME FUND OF AMERICA A | 0.00 | 0.55 | 0.00 | 0.55 | 80.0 | 0.44 |
| 06/15/2018 | ZINN, ROBERT | AM FUNDS INCOME FUND OF AMERICA A | 0.00 | 6.08 | 0.00 | 6.08 | 80.0 | 4.86 |
| 06/15/2018 | WILLIAMS, BARBARA | AM FUNDS INTERMEDIATE BOND FUND OF | 0.00 | 0.52 | 0.00 | 0.52 | 80.0 | 0.42 |
| 06/15/2018 | REMENSCHNEIDER, MARY | AM FUNDS INTERMEDIATE BOND FUND OF | 0.00 | 0.41 | 0.00 | 0.41 | 80.0 | 0.33 |
| 06/15/2018 | HOFFMAN, MARK | AM FUNDS INTERMEDIATE BOND FUND OF | 0.00 | 0.61 | 0.00 | 0.61 | 80.0 | 0.49 |
| 06/15/2018 | HOFFMAN, MARK | AM FUNDS MORTGAGE A | 0.00 | 0.80 | 0.00 | 0.80 | 80.0 | 0.64 |
| 06/15/2018 | TYGER, CATHIE | AM FUNDS MORTGAGE C | 0.00 | 2.08 | 0.00 | 2.08 | 80.0 | 1.66 |
| 06/15/2018 | ZINN, ROBERT | AM FUNDS NEW PERSPECTIVE A | 0.00 | 6.85 | 0.00 | 6.85 | 80.0 | 5.48 |
| 06/15/2018 | ZINN, ROBERT | AM FUNDS NEW WORLD A | 0.00 | 5.88 | 0.00 | 5.88 | 80.0 | 4.70 |
| 06/15/2018 | TYGER, CATHIE | AM FUNDS U.S. GOVERNMENT SECURITIES | 0.00 | 4.10 | 0.00 | 4.10 | 80.0 | 3.28 |
| 06/15/2018 | TYGER, CATHIE | AMERICAN FUNDS INFLATION LINKED BON | 0.00 | 4.28 | 0.00 | 4.28 | 80.0 | 3.42 |
| 06/18/2018 | FEDLER, KIMBERLY | FRANKLIN EQUITY INCOME A | 0.00 | 0.66 | 0.00 | 0.66 | 80.0 | 0.53 |
| 06/18/2018 | FEDLER, CHARLES | FRANKLIN EQUITY INCOME A | 0.00 | 0.66 | 0.00 | 0.66 | 80.0 | 0.53 |
| 06/18/2018 | FEDLER, KIMBERLY | FRANKLIN INCOME A | 0.00 | 0.61 | 0.00 | 0.61 | 80.0 | 0.49 |
| 06/18/2018 | FEDLER, CHARLES | FRANKLIN INCOME A | 0.00 | 0.61 | 0.00 | 0.61 | 80.0 | 0.49 |
| 06/18/2018 | RANEY, GLEN | FRANKLIN RISING DIVIDENDS C | 0.00 | 2.52 | 0.00 | 2.52 | 80.0 | 2.02 |
| 06/18/2018 | DERBYSHIRE TRUST, KAREN | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 5.31 | 0.00 | 5.31 | 80.0 | 4.25 |
| 06/18/2018 | DERBYSHIRE TRUST, KAREN | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 15.92 | 0.00 | 15.92 | 80.0 | 12.74 |
| 06/18/2018 | DERBYSHIRE, JAMES | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 5.66 | 0.00 | 5.66 | 80.0 | 4.53 |
| 06/18/2018 | DERBYSHIRE, JAMES | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 16.98 | 0.00 | 16.98 | 80.0 | 13.58 |
| 06/18/2018 | HARMEYER, SHARYN | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 8.97 | 0.00 | 8.97 | 80.0 | 7.18 |
| 06/18/2018 | HARMEYER, SHARYN | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 26.92 | 0.00 | 26.92 | 80.0 | 21.54 |
| 06/18/2018 | WILLING, LEONARD | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 8.87 | 0.00 | 8.87 | 80.0 | 7.10 |
| 06/18/2018 | WILLING, LEONARD | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 26.62 | 0.00 | 26.62 | 80.0 | 21.30 |

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| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 06/18/2018 | PEPPLE, GLEN | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 3.32 | 0.00 | 3.32 | 80.0 | 2.66 |
| 06/18/2018 | PEPPLE, GLEN | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 9.95 | 0.00 | 9.95 | 80.0 | 7.96 |
| 06/18/2018 | BYRUM JR, GERALD | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 10.03 | 0.00 | 10.03 | 80.0 | 8.02 |
| 06/18/2018 | BYRUM JR, GERALD | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 30.09 | 0.00 | 30.09 | 80.0 | 24.07 |
| 06/18/2018 | MURPHY, SUSAN | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 11.09 | 0.00 | 11.09 | 80.0 | 8.87 |
| 06/18/2018 | MURPHY, SUSAN | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 33.27 | 0.00 | 33.27 | 80.0 | 26.62 |
| 06/18/2018 | DAILEY, RITA | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 11.29 | 0.00 | 11.29 | 80.0 | 9.03 |
| 06/18/2018 | DAILEY, RITA | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 33.87 | 0.00 | 33.87 | 80.0 | 27.10 |
| 06/18/2018 | ZEEDYK, JANET | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 8.46 | 0.00 | 8.46 | 80.0 | 6.77 |
| 06/18/2018 | ZEEDYK, JANET | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 25.38 | 0.00 | 25.38 | 80.0 | 20.30 |
| 06/18/2018 | BUSH, SANDRA | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 8.91 | 0.00 | 8.91 | 80.0 | 7.13 |
| 06/18/2018 | BUSH, SANDRA | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 26.72 | 0.00 | 26.72 | 80.0 | 21.38 |
| 06/18/2018 | DIETSCH, DANIEL | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 9.95 | 0.00 | 9.95 | 80.0 | 7.96 |
| 06/18/2018 | DIETSCH, DANIEL | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 29.84 | 0.00 | 29.84 | 80.0 | 23.87 |
| 06/18/2018 | HINCKS, ELIZABETH A LIF | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 5.60 | 0.00 | 5.60 | 80.0 | 4.48 |
| 06/18/2018 | HINCKS, ELIZABETH A LIF | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 16.80 | 0.00 | 16.80 | 80.0 | 13.44 |
| 06/15/2018 | KAUFFMAN, JOHN | INFLATION LINKED BOND FUND A | 0.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| 06/15/2018 | KAUFFMAN, JAN | INFLATION LINKED BOND FUND A | 0.00 | 1.24 | 0.00 | 1.24 | 80.0 | 0.99 |
| 06/15/2018 | FEDLER, CHARLES | INFLATION LINKED BOND FUND A | 0.00 | 1.14 | 0.00 | 1.14 | 80.0 | 0.91 |
| 06/15/2018 | REMENSCHNEIDER, MARY | INFLATION LINKED BOND FUND A | 0.00 | 0.53 | 0.00 | 0.53 | 80.0 | 0.42 |
| 06/15/2018 | HOFFMAN, MARK | INFLATION LINKED BOND FUND A | 0.00 | 0.82 | 0.00 | 0.82 | 80.0 | 0.66 |
| 06/15/2018 | KAUFFMAN, JOHN | INFLATION LINKED BOND FUND A | 0.00 | 1.05 | 0.00 | 1.05 | 80.0 | 0.84 |
| 06/21/2018 | FEDLER, CHARLES | LORD ABBETT BOND DEBENTURE A | 0.00 | 0.85 | 0.00 | 0.85 | 80.0 | 0.68 |
| 06/21/2018 | ZINN, ROBERT | LORD ABBETT BOND DEBENTURE A | 0.00 | 5.43 | 0.00 | 5.43 | 80.0 | 4.34 |
| 06/21/2018 | ZINN, ROBERT | LORD ABBETT INCOME A | 0.00 | 1.95 | 0.00 | 1.95 | 80.0 | 1.56 |
| 06/21/2018 | COLCORD, ALNETTA | LORD ABBETT SHORT DURATION INCOME F | 0.00 | 31.87 | 0.00 | 31.87 | 80.0 | 25.50 |
| 07/03/2018 | URICK, DANIEL | TRANSAMERICA CAPITAL GROWTH A | 0.00 | 22.26 | 0.00 | 22.26 | 80.0 | 17.81 |
| 07/03/2018 | RUSSELL, TAYLOR | TRANSAMERICA DIVERSIFIED EQUITY A | 0.00 | 0.42 | 0.00 | 0.42 | 80.0 | 0.34 |
| 07/03/2018 | URICK, DANIEL | TRANSAMERICA DIVERSIFIED EQUITY A | 0.00 | 2.34 | 0.00 | 2.34 | 80.0 | 1.87 |
| 07/03/2018 | URICK, DANIEL | TRANSAMERICA DIVERSIFIED EQUITY A | 0.00 | 16.66 | 0.00 | 16.66 | 80.0 | 13.33 |
| 06/15/2018 | ZINN, ROBERT | TRANSAMERICA FLEXIBLE INCOME A | 0.00 | 7.65 | 0.00 | 7.65 | 80.0 | 6.12 |
| 07/03/2018 | URICK, DANIEL | TRANSAMERICA MULTI-MANAGED BAL A | 0.00 | 2.65 | 0.00 | 2.65 | 80.0 | 2.12 |
| 07/03/2018 | LUDWIG, KENDRA | TRANSAMERICA MULTI-MANAGED BAL C | 0.00 | 2.52 | 0.00 | 2.52 | 80.0 | 2.02 |

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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|----------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 07/03/2018 | URICK, DANIEL | TRANSAMERICA SMALL/MID CAP VALUE A | 0.00 | 2.42 | 0.00 | 2.42 | 80.0 | 1.94 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$591.51 | \$0.00 | \$591.51 | | \$473.24 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 06/30/2018 | MORHART, JAMES | LFG TRAILS | 130,261.03 | 130.26 | 0.00 | 130.26 | 80.0 | 104.21 |
| 07/01/2018 | SIEBER, PAUL | ING ESII | 123,442.59 | 77.15 | 0.00 | 77.15 | 80.0 | 61.72 |
| 06/30/2018 | POSTMA, THELMA | JNL PERSPECTIVE II | 0.00 | 8.55 | 0.00 | 8.55 | 80.0 | 6.84 |
| 06/30/2018 | SENGER, LYNDIA | PRU AS LIFEVEST II | 0.00 | 70.89 | 0.00 | 70.89 | 80.0 | 56.71 |
| 06/30/2018 | SENGER, LYNDIA | PRU AS LIFEVEST II | 0.00 | 23.63 | 0.00 | 23.63 | 80.0 | 18.90 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | \$253,703.62 | \$310.48 | \$0.00 | \$310.48 | | \$248.38 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$697,699.62 | \$1,436.52 | \$0.00 | \$1,436.52 | | \$1,149.18 |

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10**

| | | | | | | | | |
|---|------------------|-------------------------------------|-----------------|---------------|---------------|---------------|------|---------------|
| 06/29/2018 | JOHNSON, ERIC | INA 2022 ENROLLMENT PORT CLASS A | 10.00 | 1.66 | 0.00 | 1.66 | 80.0 | 1.33 |
| 06/29/2018 | HABESHY, BARBARA | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| 06/29/2018 | EGLY, KEITH | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 0.17 | 0.00 | 0.17 | 80.0 | 0.14 |
| 06/29/2018 | EGLY, KEITH | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 0.17 | 0.00 | 0.17 | 80.0 | 0.14 |
| 06/29/2018 | PETERSON, BRYAN | INA BOSTON COMPANY DYNAMIC LARGE CA | 25.00 | 0.41 | 0.00 | 0.41 | 80.0 | 0.33 |
| 06/29/2018 | EGLY, KEITH | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 0.84 | 0.00 | 0.84 | 80.0 | 0.67 |
| / / | HABESHY, BARBARA | INA TIPS INDEX PORT CLASS C | 0.00 | 0.24 | 0.00 | 0.24 | 80.0 | 0.19 |
| * L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL * | | | \$235.00 | \$3.59 | \$0.00 | \$3.59 | | \$2.88 |

BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209

| | | | | | | | | |
|------------|----------------------|------------------------------|--------|------|------|------|------|------|
| 06/29/2018 | ROEBEL, DAVID | AM FUNDS 2020 TARGET DATE-A | 200.00 | 2.62 | 0.00 | 2.62 | 80.0 | 2.10 |
| 06/29/2018 | ROEBEL, DAVID | AM FUNDS 2020 TARGET DATE-A | 138.46 | 1.81 | 0.00 | 1.81 | 80.0 | 1.45 |
| 06/29/2018 | RICE, SCOTT | AM FUNDS 2025 TARGET DATE-A | 143.55 | 1.89 | 0.00 | 1.89 | 80.0 | 1.51 |
| 06/29/2018 | RICE, SCOTT | AM FUNDS 2025 TARGET DATE-A | 143.55 | 1.89 | 0.00 | 1.89 | 80.0 | 1.51 |
| 06/29/2018 | SCOTT, JAMES | AM FUNDS 2040 TARGET DATE-A | 138.46 | 1.81 | 0.00 | 1.81 | 80.0 | 1.45 |
| 06/29/2018 | SCOTT, JAMES | AM FUNDS 2040 TARGET DATE-A | 276.92 | 3.64 | 0.00 | 3.64 | 80.0 | 2.91 |
| 06/15/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.38 | 0.00 | 0.38 | 80.0 | 0.30 |
| 06/15/2018 | BRAUTZSCH, CHRIS | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.32 | 0.00 | 0.32 | 80.0 | 0.26 |
| 06/15/2018 | CRAWFORD, JOHN | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.22 | 0.00 | 0.22 | 80.0 | 0.18 |

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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u> | | | | | | | | |
| 06/15/2018 | COOK, JEANNIE | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.14 | 0.00 | 0.14 | 80.0 | 0.11 |
| 06/15/2018 | SORG, DAWN | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.19 | 0.00 | 0.19 | 80.0 | 0.15 |
| 06/15/2018 | SORG, MAURICE | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.43 | 0.00 | 0.43 | 80.0 | 0.34 |
| 06/15/2018 | CRAWFORD, GERALD | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.19 | 0.00 | 0.19 | 80.0 | 0.15 |
| 06/29/2018 | SITES, AMY | AM FUNDS AMERICAN BALANCED A | 125.00 | 2.18 | 0.00 | 2.18 | 80.0 | 1.74 |
| 06/15/2018 | JUNOD, BARBARA | AM FUNDS AMERICAN BALANCED C | 0.00 | 0.55 | 0.00 | 0.55 | 80.0 | 0.44 |
| 06/15/2018 | BRAUTZSCH, CHRIS | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00 | 0.33 | 0.00 | 0.33 | 80.0 | 0.26 |
| 06/15/2018 | CRAWFORD, JOHN | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| 06/15/2018 | JUNOD, BARBARA | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00 | 0.24 | 0.00 | 0.24 | 80.0 | 0.19 |
| 06/15/2018 | JUNOD, BARBARA | AM FUNDS BOND FUND OF AMERICA C | 0.00 | 0.80 | 0.00 | 0.80 | 80.0 | 0.64 |
| 06/15/2018 | CLINE, DAVIS | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 06/15/2018 | BRAUTZSCH, CHRIS | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00 | 0.14 | 0.00 | 0.14 | 80.0 | 0.11 |
| 06/15/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS EUROPACIFIC GROWTH FUND A | 0.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| 07/02/2018 | SHERWOOD, JULIE | AM FUNDS FUNDAMENTAL INVESTORS A | 50.00 | 0.87 | 0.00 | 0.87 | 80.0 | 0.70 |
| 06/15/2018 | BRAUTZSCH, CHRIS | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| 06/15/2018 | CLINE, DAVIS | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 06/28/2018 | GATER-BITTING, DEVIN | AM FUNDS GROWTH FUND OF AMERICA A | 50.00 | 0.87 | 0.00 | 0.87 | 80.0 | 0.70 |
| 06/29/2018 | RABER, DANIEL | AM FUNDS GROWTH FUND OF AMERICA A | 350.00 | 6.12 | 0.00 | 6.12 | 80.0 | 4.90 |
| 06/15/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS INCOME FUND OF AMERICA A | 0.00 | 0.19 | 0.00 | 0.19 | 80.0 | 0.15 |
| 06/15/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS INTERMEDIATE BOND FUND OF | 0.00 | 0.26 | 0.00 | 0.26 | 80.0 | 0.21 |
| 06/15/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| 06/15/2018 | JUNOD, BARBARA | AMERICAN EMERGING MARKETS BOND FUND | 0.00 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| 06/15/2018 | JUNOD, BARBARA | AMERICAN FUNDS STRATEGIC BOND FUND | 0.00 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| 05/31/2018 | BURTNETTE, PATRICIA | BR TOTAL INC & REAL ESTATE FUND C | 53,107.82 | 15.79 | 0.00 | 15.79 | 80.0 | 12.63 |
| 06/15/2018 | FLECK, KATHIE | FEDERATED KAUFMANN FUND A | 0.00 | 1.32 | 0.00 | 1.32 | 80.0 | 1.06 |
| 06/21/2018 | FLECK, KATHIE | FIDELITY ADV NEW INSIGHTS C | 0.00 | 5.10 | 0.00 | 5.10 | 80.0 | 4.08 |
| 06/13/2018 | FLECK, KATHIE | FIRST EAGLE GLOBAL FUND CL A | 0.00 | 0.19 | 0.00 | 0.19 | 80.0 | 0.15 |
| 06/22/2018 | FLECK, KATHIE | GOLDMAN SACHS SMALL CAP VALUE FUND- | 0.00 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| / / | SITES, EDWARD | INA COLLEGE PORT CLASS A | 0.00 | 0.57 | 0.00 | 0.57 | 80.0 | 0.46 |
| 06/29/2018 | LINN, JASON | INA INTER EQTY INDEX PORTF A | 8.25 | 0.13 | 0.00 | 0.13 | 80.0 | 0.10 |
| 06/29/2018 | LINN, JASON | INA LG CAP INDEX PORT CLASS A | 38.50 | 0.64 | 0.00 | 0.64 | 80.0 | 0.51 |
| 06/29/2018 | HINTON, SCOTT | INA LG CAP INDEX PORT CLASS A | 25.00 | 0.41 | 0.00 | 0.41 | 80.0 | 0.33 |
| 06/29/2018 | HINTON, SCOTT | INA LG CAP INDEX PORT CLASS A | 25.00 | 0.41 | 0.00 | 0.41 | 80.0 | 0.33 |

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| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209 | | | | | | | | |
| 06/29/2018 | LINN, JASON | INA PIMCO TOT RET PORT CLA A | 8.25 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| / / | HABESHY, BARBARA | INA PIMCO TOT RET PORT CLA C | 0.00 | 0.24 | 0.00 | 0.24 | 80.0 | 0.19 |
| 06/29/2018 | HABESHY, BARBARA | INA T ROWE PRICE LARGE CAP GR CLASS | 2.50 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| 06/29/2018 | PETERSON, BRYAN | INA T ROWE PRICE LG CAP GROWTH PO A | 25.00 | 0.41 | 0.00 | 0.41 | 80.0 | 0.33 |
| 06/15/2018 | CRAWFORD, JOHN | INFLATION LINKED BOND FUND A | 0.00 | 0.32 | 0.00 | 0.32 | 80.0 | 0.26 |
| 06/14/2018 | BROCKMYER, PHYLLIS | PIMCO INCOME FUND C | 0.00 | 1.28 | 0.00 | 1.28 | 80.0 | 1.02 |
| * L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL * | | | \$54,856.26 | \$57.66 | \$0.00 | \$57.66 | | \$46.13 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$55,091.26 | \$61.25 | \$0.00 | \$61.25 | | \$49.01 |

| | | | | | | | | |
|--|----------------|-----------------------|---------------|-----------------|---------------|-----------------|------|----------------|
| <u> OVERRIDE TRANSACTIONS</u> | | | | | | | | |
| BROKER: WILLIAM, L129 LAYMAN #207 | | | | | | | | |
| 06/30/2018 | SCHULER, CINDY | MASS MUTUAL 575769484 | 0.00 | 114.75 | 0.00 | 114.75 | 45.0 | 51.64 |
| * WILLIAM, L129 LAYMAN SUBTOTAL * | | | \$0.00 | \$114.75 | \$0.00 | \$114.75 | | \$51.64 |
| *** OVERRIDE TRANSACTIONS TOTAL *** | | | \$0.00 | \$114.75 | \$0.00 | \$114.75 | | \$51.64 |

| | | | | | | | | |
|---------------------------|--|------------------------------------|------|--|--|--|--|---------|
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 07/15/2018 | | *ALBRIDGE: LIGHT USER | 0.00 | | | | | -76.00 |
| 07/15/2018 | | *EO INSURANCE: CURRENT MONTH INS | 0.00 | | | | | -300.00 |
| 07/15/2018 | | *EO INSURANCE: CURRENT MONTH | 0.00 | | | | | -300.00 |
| | | INS-BILL | | | | | | |
| 07/15/2018 | | *GLOBAL RELAY: WEBSITE | 0.00 | | | | | -15.00 |
| 07/15/2018 | | *LASER APP: LASER APP - BILL | 0.00 | | | | | -18.00 |
| 07/15/2018 | | *LASER APP: LASER APP - KEITH | 0.00 | | | | | -18.00 |
| 07/15/2018 | | *PERSHING: BLOCK TRADE REBALANCE | 0.00 | | | | | -42.00 |
| 07/15/2018 | | *PERSHING: COST BASIS TRACKING 130 | 0.00 | | | | | -130.00 |
| | | ACCTS | | | | | | |
| 07/15/2018 | | *PERSHING: ETF PROSPECTUS MAILING | 0.00 | | | | | -1.55 |
| 07/15/2018 | | *PERSHING: MF PROSPECTUS MAILING | 0.00 | | | | | -6.20 |
| 07/15/2018 | | *PERSHING: MF PROSPECTUS MAILING | 0.00 | | | | | -1.09 |
| 07/15/2018 | | *PERSHING: BOOKS & RECORDS | 0.00 | | | | | -5.46 |

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|---------------------------|--------|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 07/15/2018 | | *TECHNOLOGY: ADMIN - JAMIE BERNDT | 0.00 | | | | | -130.00 |
| 07/15/2018 | | *TECHNOLOGY: CURRENT MONTH BASIC | 0.00 | | | | | -180.00 |
| 07/15/2018 | | *TECHNOLOGY: CURRENT MONTH BASIC - BILL | 0.00 | | | | | -180.00 |
| 07/15/2018 | | *TECHNOLOGY: LIMITED ADMIN - S BONANNON | 0.00 | | | | | -60.00 |
| 07/15/2018 | | *TECHNOLOGY: LIMITED ADMIN J WRIGHT | 0.00 | | | | | -60.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-1,523.30 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$1,143.58 |
| Split Transactions | \$49.01 |
| Override Transactions | \$51.64 |
| Adjustments | \$-1,523.30 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|-------------|
| Balance Forward | \$-279.07 |
| Year-to-date Gross | \$28,523.10 |
| Year-to-date Earnings | \$26,020.59 |

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