



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 15, 2017

07/12/2017
 Page No. 1

ROBERT SMITH
 5000 Meadows Road
 Suite 230
 Lake Oswego, OR 97035

BROKER #: S117
 BRANCH: ROBERT SMITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
06/29/2017	ROLLO, PEGGY	GPB WASTE MANAGEMENT LP	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$25,000.00	\$1,750.00	\$0.00	\$1,750.00		\$1,575.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/30/2017	ROLLO, PEGGY	GPB WASTE MANAGEMENT LP CLASS A	25,000.00	0.00	0.00	0.00	90.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$25,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/13/2017	WEBER, GLENDA	FRANKLIN BALANCE SHEET INVST A	0.00	5.01	0.00	5.01	90.0	4.51
06/13/2017	WEBER, GLENDA	FRANKLIN DYNATECH A	0.00	0.51	0.00	0.51	90.0	0.46
06/13/2017	WEBER, GLENDA	FRANKLIN EQUITY INCOME A	0.00	7.39	0.00	7.39	90.0	6.65
06/13/2017	WEBER, GLENDA	FRANKLIN GROWTH A	0.00	7.77	0.00	7.77	90.0	6.99
06/13/2017	WEBER, GLENDA	FRANKLIN HIGH INCOME A	0.00	2.22	0.00	2.22	90.0	2.00
06/13/2017	WEBER, GLENDA	FRANKLIN MUTUAL SHARES A	0.00	4.89	0.00	4.89	90.0	4.40
06/13/2017	WEBER, GLENDA	FRANKLIN STRATEGIC INCOME A	0.00	3.69	0.00	3.69	90.0	3.32
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$31.48	\$0.00	\$31.48		\$28.33
		*** BROKER TRANSACTIONS TOTAL ***	\$50,000.00	\$1,781.48	\$0.00	\$1,781.48		\$1,603.33

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
07/15/2017		*CONCIERGE SVC: 193954 SAVARA	0.00					-50.00
07/15/2017		*CONCIERGE SVC: 194357 CHIATELLO	0.00					-50.00
07/15/2017		*CONCIERGE SVC: 195076 RUPPE	0.00					-50.00
07/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-11.88
07/15/2017		*TECHNOLOGY: ADMIN - LYNN SMITH	0.00					-100.00
07/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-836.88

BROKER COMMISSION TOTALS

Broker Transactions	\$1,603.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-836.88
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$766.45
Year-to-date Gross	\$669,805.90
Year-to-date Earnings	\$679,498.92

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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