

COMMISSION STATEMENT

July 31, 2017

DANIEL SIEGEL
99 WINGED FOOT DRIVE

BROKER #: 20
BRANCH: HOME OFFICE

LIVINGSTON, NJ 07039

COMMISSION STATEMENT for DANIEL SIEGEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/03/2017	QGW001497	KLUGMAN, ROBERT	BRISTOL MYERS SQUIBB CO	B	14,087.50	168.00	0.00	168.00	80.0 134.40
07/13/2017	QGW001497	KLUGMAN, ROBERT	INTERNATIONAL PAPER CO	S	-17,481.72	178.00	0.00	178.00	80.0 142.40
07/03/2017	QGW009805	KELLY, ROBERT	BRISTOL MYERS SQUIBB CO	B	14,087.50	168.00	0.00	168.00	80.0 134.40
07/17/2017	QGW009805	KELLY, ROBERT	CISCO SYS INC	B	9,446.10	142.00	0.00	142.00	80.0 113.60
07/17/2017	QGW009805	KELLY, ROBERT	CISCO SYS INC	B	-9,446.10	-142.00	0.00	-142.00	80.0 -113.60 C
07/13/2017	QGW009847	LEICHER, MARVIN	INTERNATIONAL PAPER CO	S	-11,063.09	156.00	0.00	156.00	80.0 124.80
07/10/2017	QGW009854	LEICHER, EVELYN	PUTNAM PREMIER INCOME TRUST SHARES	B	11,100.00	299.00	0.00	299.00	80.0 239.20
07/25/2017	QGW009854	LEICHER, EVELYN	ABBVIE INC	S	-7,272.30	172.00	0.00	172.00	80.0 137.60
07/03/2017	QGW009896	GREENBURG, JON	BRISTOL MYERS SQUIBB CO	B	16,904.97	50.00	0.00	50.00	80.0 40.00
07/13/2017	QGW009896	GREENBURG, JON	INTERNATIONAL PAPER CO	S	-17,481.72	50.00	0.00	50.00	80.0 40.00
07/17/2017	QGW009896	GREENBURG, JON	CISCO SYS INC	B	12,594.80	50.00	0.00	50.00	80.0 40.00
07/13/2017	QGW020919	KELLY, ROBERT	INTERNATIONAL PAPER CO	S	-17,481.72	178.00	0.00	178.00	80.0 142.40
07/17/2017	QGW020919	KELLY, ROBERT	CISCO SYS INC	B	9,446.10	142.00	0.00	142.00	80.0 113.60
07/17/2017	QGW021271	KLUGMAN, ROBERT	CISCO SYS INC	B	9,446.10	142.00	0.00	142.00	80.0 113.60
07/17/2017	QGW023772	PATRICK E OBRIEN,	CISCO SYS INC	B	7,871.75	132.00	0.00	132.00	80.0 105.60
* STOCKS SUBTOTAL *				\$24,758.17	\$1,885.00	\$0.00	\$1,885.00		\$1,508.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
07/03/2017	QGW001497	KLUGMAN, ROBERT	CALL 100 BRISTOL MYERS SQUIBB CO CO S		-386.00	58.00	0.00	58.00	80.0 46.40
07/13/2017	QGW001497	KLUGMAN, ROBERT	CALL 100 INTERNATIONAL PAPER CO EXP B		834.00	50.00	0.00	50.00	80.0 40.00
07/03/2017	QGW009805	KELLY, ROBERT	CALL 100 BRISTOL MYERS SQUIBB CO CO S		-386.00	58.00	0.00	58.00	80.0 46.40
07/03/2017	QGW009896	GREENBURG, JON	CALL 100 BRISTOL MYERS SQUIBB CO CO S		-579.00	50.00	0.00	50.00	80.0 40.00
07/13/2017	QGW009896	GREENBURG, JON	CALL 100 INTERNATIONAL PAPER CO EXP B		834.00	50.00	0.00	50.00	80.0 40.00
07/13/2017	QGW020919	KELLY, ROBERT	CALL 100 INTERNATIONAL PAPER CO EXP B		834.00	50.00	0.00	50.00	80.0 40.00
* OPTIONS SUBTOTAL *				\$1,151.00	\$316.00	\$0.00	\$316.00		\$252.80
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/14/2017	QGW009847	LEICHER, MARVIN	BOND A	B	0.00	5.60	0.00	5.60	80.0 4.48
07/21/2017	QGW009847	LEICHER, MARVIN	OPPENHEIMER ROCHESTER NEW JERSEY MU B		0.00	24.56	0.00	24.56	80.0 19.65
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$30.16	\$0.00	\$30.16		\$24.13
*** BROKER TRANSACTIONS TOTAL ***				\$25,909.17	\$2,231.16	\$0.00	\$2,231.16		\$1,784.93

COMMISSION STATEMENT for DANIEL SIEGEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

07/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,784.93
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.79
SIPC Assessment	\$-5.58

Check Amount	\$1,756.56
Year-to-date Earnings	\$5,269.70