

**COMMISSION STATEMENT****May 15, 2018**

MATT, B116 BRANSTETTER
 PO Box 250
 111 S. Main Street
 Noble, OK 73068

BROKER #: B116
BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
05/01/2018	RAKES, RONDA	PATTERSON-UTI ENERGY INC COM	-23,994.00	49.00	80.0	39.20	23.67	15.53
		* STOCKS SUBTOTAL *	\$-23,994.00	\$49.00		\$39.20	\$23.67	\$15.53
		*** CLEARING TRANSACTIONS TOTAL ***	\$-23,994.00	\$49.00		\$39.20	\$23.67	\$15.53

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/16/2018	POMA, TAYLOR	PACIFIC LIFE FUNDS	1,250.00	20.00	80.0	16.00	0.00	16.00
04/25/2018	EVERETT, BRIAN	AM FUNDS AMERICAN BALANCED C	50.00	0.50	80.0	0.40	0.00	0.40
05/01/2018	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	80.0	4.00	0.00	4.00
05/03/2018	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	300.00	12.75	80.0	10.20	0.00	10.20
04/11/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 100-A	6.75	0.07	80.0	0.06	0.00	0.06
04/11/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 300-A	6.75	0.07	80.0	0.06	0.00	0.06
04/11/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 500-A	6.75	0.34	80.0	0.27	0.00	0.27
04/11/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 700-A	6.75	0.34	80.0	0.27	0.00	0.27
04/16/2018	CASTLE, DAVID	PUTNAM EQUITY SPECTRUM A	100.00	5.00	80.0	4.00	0.00	4.00
04/09/2018	LAWTON TRUST, E ANN	PUTNAM GROWTH OPP C	33.05	0.33	80.0	0.26	0.00	0.26
04/09/2018	LAWTON TRUST, E ANN	PUTNAM GROWTH OPP C	47.17	0.47	80.0	0.38	0.00	0.38
04/09/2018	LAWTON TRUST, E ANN	PUTNAM GROWTH OPP C	66.60	0.67	80.0	0.54	0.00	0.54
04/24/2018	TAYLOR, CATHERINE	PUTNAM GROWTH OPP C	27,039.66	270.40	80.0	216.32	0.00	216.32
04/20/2018	MYERS, AMANDA	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
04/20/2018	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	50.00	0.50	80.0	0.40	0.00	0.40
04/25/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
04/25/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
04/25/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
04/25/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20

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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/25/2018	DOCTORMAN, EDGE	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
04/25/2018	DOCTORMAN, AIMES	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
04/16/2018	DOWNING, JOHN	PUTNAM MULTI-CAP GROWTH M	75.00	2.25	80.0	1.80	0.00	1.80
04/25/2018	MORIELS, FELICIA	PUTNAM U.S. GOVT INCOME TR-M	100.00	3.00	80.0	2.40	0.00	2.40
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.20	80.0	0.16	0.00	0.16
* MUTUAL FUNDS SUBTOTAL *			\$29,488.48	\$324.39		\$259.52	\$0.00	\$259.52
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/27/2018	YOUNG, STEPHEN	JNL ELITE ACCESS	31,433.44	1,650.26	80.0	1,320.21	0.00	1,320.21
04/30/2018	STANFORD, DAVID	JOHN HANCOCK VENTURE	10,078.66	2.10	80.0	1.68	0.00	1.68
* VARIABLE ANNUITIES SUBTOTAL *			\$41,512.10	\$1,652.36		\$1,321.89	\$0.00	\$1,321.89
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/19/2018	SWENSON, ANNE	AM FUNDS AMCAP A	0.00	1.27	80.0	1.02	0.00	1.02
04/19/2018	KRAUS, AMANDA	AM FUNDS AMERICAN BALANCED C	0.00	7.97	80.0	6.38	0.00	6.38
04/19/2018	PAUL, MARY	AM FUNDS AMERICAN MUTUAL A	0.00	1.76	80.0	1.41	0.00	1.41
04/19/2018	DAILEY, TREVA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.21	80.0	1.77	0.00	1.77
04/19/2018	PAUL, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.82	80.0	1.46	0.00	1.46
04/19/2018	SWENSON, ANNE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.66	80.0	1.33	0.00	1.33
04/19/2018	PAUL, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.52	80.0	1.22	0.00	1.22
04/19/2018	REEVES, KATHLEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.00	80.0	0.80	0.00	0.80
04/19/2018	PAUL, MARY	AM FUNDS NEW ECONOMY A	0.00	1.25	80.0	1.00	0.00	1.00
04/19/2018	DAILEY, TREVA	AM FUNDS NEW ECONOMY A	0.00	4.37	80.0	3.50	0.00	3.50
04/19/2018	REEVES, KATHLEEN	AM FUNDS NEW ECONOMY A	0.00	3.68	80.0	2.94	0.00	2.94
04/19/2018	KRAUS, AMANDA	AM FUNDS NEW ECONOMY C	0.00	8.87	80.0	7.10	0.00	7.10
04/19/2018	SWENSON, WALTER	AM FUNDS NEW PERSPECTIVE A	0.00	1.23	80.0	0.98	0.00	0.98
04/19/2018	LAYFIELD-ALBRITTON, KIMBERLY	AM FUNDS NEW PERSPECTIVE A	0.00	1.50	80.0	1.20	0.00	1.20
04/19/2018	DAILEY, TREVA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.89	80.0	2.31	0.00	2.31
04/30/2018	MATHEWS, DALE	FRANKLIN INCOME C	0.00	184.43	80.0	147.54	0.00	147.54
04/19/2018	LAYFIELD-ALBRITTON, KIMBERLY	FRANKLIN SMALL CAP GROWTH C	0.00	1.18	80.0	0.94	0.00	0.94
/ /	WHITETREE, THOMAS	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	CASTLE, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.16	80.0	0.13	0.00	0.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	LIVELY, ELIZABETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	LIVELY, DUSTIN	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	HUNTER, TIMOTHY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	PUCKETT, CHERI	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.18	80.0	0.14	0.00	0.14
/ /	HARRALD, DONALD	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	SALLEE, MARY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.22	80.0	0.18	0.00	0.18
/ /	BACON, RICHARD	GUGGENHEIM GOV'T LONG BOND 1.2X STG	0.00	0.28	80.0	0.22	0.00	0.22
/ /	WHITETREE, THOMAS	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	CASTLE, DAVID	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	LIVELY, ELIZABETH	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	LIVELY, DUSTIN	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HUNTER, TIMOTHY	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	PUCKETT, CHERI	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HARRALD, DONALD	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.14	80.0	0.11	0.00	0.11
04/16/2018	KRAUS, TIM	OPPENHEIMER DEVELOPING MARKETS C	0.00	12.33	80.0	9.86	0.00	9.86
04/12/2018	STANFORD, DAVID	POWER DIVIDEND INDEX FUND CLASS C	0.00	15.06	80.0	12.05	0.00	12.05
04/12/2018	DAILEY, TREVA	POWER DIVIDEND INDEX FUND CLASS C	0.00	3.44	80.0	2.75	0.00	2.75
04/12/2018	DAILEY, TREVA	POWER DIVIDEND INDEX FUND CLASS C	0.00	1.41	80.0	1.13	0.00	1.13
04/12/2018	REEVES, KATHLEEN	POWER DIVIDEND INDEX FUND CLASS C	0.00	9.81	80.0	7.85	0.00	7.85
/ /	ROLLINS, LISA	RYDEX DOW 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX DOW 2X STRATEGY C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	TREADWAY, PAMELA	RYDEX DOW 2X STRATEGY C	0.00	0.52	80.0	0.42	0.00	0.42
/ /	DOWNING, JOHN	RYDEX DOW 2X STRATEGY C	0.00	3.11	80.0	2.49	0.00	2.49
/ /	ACOSTA, JF	RYDEX DOW 2X STRATEGY C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	BENSON, KARLA	RYDEX DOW 2X STRATEGY C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	VOCI, ROBERTO	RYDEX DOW 2X STRATEGY C	0.00	1.69	80.0	1.35	0.00	1.35
/ /	WRIGHT, MARK	RYDEX DOW 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX DOW 2X STRATEGY C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	POTTER, RK	RYDEX DOW 2X STRATEGY C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	HOLMAN, KENLEE	RYDEX DOW 2X STRATEGY C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HOLMAN, MEEGAN	RYDEX DOW 2X STRATEGY C	0.00	0.38	80.0	0.30	0.00	0.30

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	BACON, RICHARD	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.33	80.0	0.26	0.00	0.26
/ /	WHITETREE, THOMAS	RYDEX GUGG ELECTRONICS A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	CASTLE, DAVID	RYDEX GUGG ELECTRONICS A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	LIVELY, ELIZABETH	RYDEX GUGG ELECTRONICS A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	LIVELY, DUSTIN	RYDEX GUGG ELECTRONICS A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	HUNTER, TIMOTHY	RYDEX GUGG ELECTRONICS A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	PUCKETT, CHERI	RYDEX GUGG ELECTRONICS A	0.00	0.15	80.0	0.12	0.00	0.12
/ /	HARRALD, DONALD	RYDEX GUGG ELECTRONICS A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	SALLEE, MARY	RYDEX GUGG ELECTRONICS A	0.00	0.18	80.0	0.14	0.00	0.14
/ /	ROLLINS, LISA	RYDEX GUGG ELECTRONICS C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX GUGG ELECTRONICS C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	TREADWAY, PAMELA	RYDEX GUGG ELECTRONICS C	0.00	0.43	80.0	0.34	0.00	0.34
/ /	DOWNING, JOHN	RYDEX GUGG ELECTRONICS C	0.00	2.56	80.0	2.05	0.00	2.05
/ /	ACOSTA, JF	RYDEX GUGG ELECTRONICS C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	BENSON, KARLA	RYDEX GUGG ELECTRONICS C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	VOCI, ROBERTO	RYDEX GUGG ELECTRONICS C	0.00	1.40	80.0	1.12	0.00	1.12
/ /	WRIGHT, MARK	RYDEX GUGG ELECTRONICS C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX GUGG ELECTRONICS C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	POTTER, RK	RYDEX GUGG ELECTRONICS C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	BACON, RICHARD	RYDEX GUGG ELECTRONICS C	0.00	0.29	80.0	0.23	0.00	0.23
/ /	HOLMAN, KENLEE	RYDEX GUGG ELECTRONICS C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	HOLMAN, MEEGAN	RYDEX GUGG ELECTRONICS C	0.00	0.32	80.0	0.26	0.00	0.26
/ /	ROLLINS, LISA	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	TREADWAY, PAMELA	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.56	80.0	0.45	0.00	0.45
/ /	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	2.80	80.0	2.24	0.00	2.24
/ /	ACOSTA, JF	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	BENSON, KARLA	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.16	80.0	0.13	0.00	0.13

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	1.79	80.0	1.43	0.00	1.43
/ /	WRIGHT, MARK	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	POTTER, RK	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	BACON, RICHARD	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	1.46	80.0	1.17	0.00	1.17
/ /	HOLMAN, KENLEE	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HOLMAN, MEEGAN	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.41	80.0	0.33	0.00	0.33
/ /	WHITETREE, THOMAS	RYDEX GUGG TITAN 500 A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	CASTLE, DAVID	RYDEX GUGG TITAN 500 A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	LIVELY, ELIZABETH	RYDEX GUGG TITAN 500 A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	LIVELY, DUSTIN	RYDEX GUGG TITAN 500 A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	HUNTER, TIMOTHY	RYDEX GUGG TITAN 500 A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	PUCKETT, CHERI	RYDEX GUGG TITAN 500 A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	HARRALD, DONALD	RYDEX GUGG TITAN 500 A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	SALLEE, MARY	RYDEX GUGG TITAN 500 A	0.00	0.18	80.0	0.14	0.00	0.14
/ /	WHITETREE, THOMAS	RYDEX GUGG VELOCITY 100 A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	CASTLE, DAVID	RYDEX GUGG VELOCITY 100 A	0.00	0.17	80.0	0.14	0.00	0.14
/ /	LIVELY, ELIZABETH	RYDEX GUGG VELOCITY 100 A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	LIVELY, DUSTIN	RYDEX GUGG VELOCITY 100 A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	HUNTER, TIMOTHY	RYDEX GUGG VELOCITY 100 A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	PUCKETT, CHERI	RYDEX GUGG VELOCITY 100 A	0.00	0.22	80.0	0.18	0.00	0.18
/ /	HARRALD, DONALD	RYDEX GUGG VELOCITY 100 A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	SALLEE, MARY	RYDEX GUGG VELOCITY 100 A	0.00	0.23	80.0	0.18	0.00	0.18
/ /	KINNEY, ERICK	RYDEX GUGGENHEIM NASDAQ-100-A	0.00	0.32	80.0	0.26	0.00	0.26
/ /	ROLLINS, LISA	RYDEX JAPAN 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX JAPAN 2X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	TREADWAY, PAMELA	RYDEX JAPAN 2X STRATEGY C	0.00	0.28	80.0	0.22	0.00	0.22

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	DOWNING, JOHN	RYDEX JAPAN 2X STRATEGY C	0.00	1.62	80.0	1.30	0.00	1.30
/ /	ACOSTA, JF	RYDEX JAPAN 2X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BENSON, KARLA	RYDEX JAPAN 2X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	VOCI, ROBERTO	RYDEX JAPAN 2X STRATEGY C	0.00	0.90	80.0	0.72	0.00	0.72
/ /	WRIGHT, MARK	RYDEX JAPAN 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX JAPAN 2X STRATEGY C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	POTTER, RK	RYDEX JAPAN 2X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BACON, RICHARD	RYDEX JAPAN 2X STRATEGY C	0.00	0.33	80.0	0.26	0.00	0.26
/ /	HOLMAN, KENLEE	RYDEX JAPAN 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HOLMAN, MEEGAN	RYDEX JAPAN 2X STRATEGY C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	WHITETREE, THOMAS	RYDEX JAPAN 2X STRATEGY H	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX JAPAN 2X STRATEGY H	0.00	0.08	80.0	0.06	0.00	0.06
/ /	LIVELY, ELIZABETH	RYDEX JAPAN 2X STRATEGY H	0.00	0.07	80.0	0.06	0.00	0.06
/ /	LIVELY, DUSTIN	RYDEX JAPAN 2X STRATEGY H	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HUNTER, TIMOTHY	RYDEX JAPAN 2X STRATEGY H	0.00	0.06	80.0	0.05	0.00	0.05
/ /	PUCKETT, CHERI	RYDEX JAPAN 2X STRATEGY H	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HARRALD, DONALD	RYDEX JAPAN 2X STRATEGY H	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX JAPAN 2X STRATEGY H	0.00	0.12	80.0	0.10	0.00	0.10
/ /	ROLLINS, LISA	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	TREADWAY, PAMELA	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.34	80.0	0.27	0.00	0.27
/ /	DOWNING, JOHN	RYDEX MID CAP 1.5X STRATEGY C	0.00	2.10	80.0	1.68	0.00	1.68
/ /	ACOSTA, JF	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	BENSON, KARLA	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	VOCI, ROBERTO	RYDEX MID CAP 1.5X STRATEGY C	0.00	1.11	80.0	0.89	0.00	0.89
/ /	WRIGHT, MARK	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	POTTER, RK	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	HOLMAN, KENLEE	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HOLMAN, MEEGAN	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.25	80.0	0.20	0.00	0.20
/ /	ROLLINS, LISA	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.10	80.0	0.08	0.00	0.08

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	TREADWAY, PAMELA	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.30	80.0	0.24	0.00	0.24
/ /	DOWNING, JOHN	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	1.43	80.0	1.14	0.00	1.14
/ /	ACOSTA, JF	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.11	80.0	0.09	0.00	0.09
/ /	BENSON, KARLA	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.09	80.0	0.07	0.00	0.07
/ /	VOCI, ROBERTO	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.95	80.0	0.76	0.00	0.76
/ /	WRIGHT, MARK	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.11	80.0	0.09	0.00	0.09
/ /	POTTER, RK	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.11	80.0	0.09	0.00	0.09
/ /	BACON, RICHARD	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.38	80.0	0.30	0.00	0.30
/ /	HOLMAN, KENLEE	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HOLMAN, MEEGAN	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.22	80.0	0.18	0.00	0.18
/ /	WHITETREE, THOMAS	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.09	80.0	0.07	0.00	0.07
/ /	LIVELY, ELIZABETH	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.07	80.0	0.06	0.00	0.06
/ /	LIVELY, DUSTIN	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HUNTER, TIMOTHY	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.07	80.0	0.06	0.00	0.06
/ /	PUCKETT, CHERI	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.10	80.0	0.08	0.00	0.08
/ /	HARRALD, DONALD	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.03	80.0	0.02	0.00	0.02

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
		ST						
/ /	SALLEE, MARY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.12	80.0	0.10	0.00	0.10
		ST						
/ /	ROLLINS, LISA	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	TREADWAY, PAMELA	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.42	80.0	0.34	0.00	0.34
/ /	DOWNING, JOHN	RYDEX S&P 500 2X STRATEGY FUND C	0.00	2.47	80.0	1.98	0.00	1.98
/ /	ACOSTA, JF	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	BENSON, KARLA	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	VOCI, ROBERTO	RYDEX S&P 500 2X STRATEGY FUND C	0.00	1.35	80.0	1.08	0.00	1.08
/ /	WRIGHT, MARK	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	POTTER, RK	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	HOLMAN, KENLEE	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HOLMAN, MEEGAN	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.30	80.0	0.24	0.00	0.24
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$316.03		\$252.83	\$0.00	\$252.83
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/30/2018	HERNDON, RONALD	JNL ELITE ACCESS	0.00	8.58	80.0	6.86	0.00	6.86
04/30/2018	BRANSTETTER, ERIK	JNL ELITE ACCESS	0.00	1.32	80.0	1.06	0.00	1.06
04/30/2018	BRANSTETTER, ERIK	JNL ELITE ACCESS	0.00	0.47	80.0	0.38	0.00	0.38
04/30/2018	CASTLE, DAVID	JNL ELITE ACCESS	0.00	0.59	80.0	0.47	0.00	0.47
04/30/2018	FIRST TRUST OF ONAGA	JNL ELITE ACCESS	0.00	0.64	80.0	0.51	0.00	0.51
04/30/2018	PYLE JR, JUSTIN	JNL ELITE ACCESS	0.00	6.35	80.0	5.08	0.00	5.08
04/30/2018	ALEXANDER, SUSAN	JNL PERSPECTIVE II 04/14	0.00	2.05	80.0	1.64	0.00	1.64
04/30/2018	ALLGIRE, ERNESTINE	JNL PERSPECTIVE II 04/14	0.00	22.86	80.0	18.29	0.00	18.29
04/30/2018	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	0.00	8.85	80.0	7.08	0.00	7.08
04/30/2018	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	0.00	12.63	80.0	10.10	0.00	10.10
04/30/2018	BRANSTETTER, TRACY	JNL PERSPECTIVE II 05/05	0.00	0.31	80.0	0.25	0.00	0.25
04/30/2018	HIPP, RONALD	JNL PERSPECTIVE II 05/05	0.00	9.01	80.0	7.21	0.00	7.21
04/30/2018	HOBBS, DONALD	JNL PERSPECTIVE II 05/05	0.00	1.82	80.0	1.46	0.00	1.46
04/30/2018	MCKIBBEN, SUSAN	JNL PERSPECTIVE II 05/05	0.00	17.37	80.0	13.90	0.00	13.90
04/30/2018	MCFERRON, LINDA	JNL PERSPECTIVE L	0.00	7.94	80.0	6.35	0.00	6.35
04/30/2018	MCFERRON, LINDA	JNL PERSPECTIVE L	0.00	15.25	80.0	12.20	0.00	12.20

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/30/2018	DOWDY, LINDA	JNL PERSPECTIVE L	0.00	32.68	80.0	26.14	0.00	26.14
04/30/2018	DOWDY, LINDA	JNL PERSPECTIVE L	0.00	30.23	80.0	24.18	0.00	24.18
04/30/2018	RICK, JOSEPH	JNL PERSPECTIVE L	0.00	8.26	80.0	6.61	0.00	6.61
04/30/2018	RICK, JOSEPH	JNL PERSPECTIVE L	0.00	16.38	80.0	13.10	0.00	13.10
04/30/2018	WILLIAMS, HARRY	JNL PERSPECTIVE L	0.00	5.98	80.0	4.78	0.00	4.78
04/30/2018	WILLIAMS, HARRY	JNL PERSPECTIVE L	0.00	12.96	80.0	10.37	0.00	10.37
04/30/2018	BRISCOE, TOMMIE	JNL PERSPECTIVE L	0.00	23.33	80.0	18.66	0.00	18.66
04/30/2018	WILSON, TIM	JNL PERSPECTIVE L	0.00	17.90	80.0	14.32	0.00	14.32
04/30/2018	WILSON, TIM	JNL PERSPECTIVE L	0.00	31.78	80.0	25.42	0.00	25.42
04/30/2018	HERNDON, RONALD	JNL PERSPECTIVE L	0.00	28.77	80.0	23.02	0.00	23.02
04/30/2018	CANFIELD, OWEN	JNL PERSPECTIVE L	0.00	22.62	80.0	18.10	0.00	18.10
04/30/2018	CANFIELD, OWEN	JNL PERSPECTIVE L	0.00	35.22	80.0	28.18	0.00	28.18
04/30/2018	MOORE, TONI	JNL PERSPECTIVE L	0.00	259.66	80.0	207.73	0.00	207.73
04/30/2018	MICHENER, DAVID	JNL PERSPECTIVE L	0.00	236.07	80.0	188.86	0.00	188.86
04/30/2018	LEMMOND, MARY	JNL PERSPECTIVE L	0.00	23.24	80.0	18.59	0.00	18.59
04/30/2018	BRANSTETTER, LINDA	JNL PERSPECTIVE L	0.00	2.89	80.0	2.31	0.00	2.31
04/30/2018	BRANSTETTER, TODD	JNL PERSPECTIVE L	0.00	15.48	80.0	12.38	0.00	12.38
04/30/2018	MCFERRON, LARRY	JNL PERSPECTIVE L	0.00	4.63	80.0	3.70	0.00	3.70
04/30/2018	MCFERRON, LARRY	JNL PERSPECTIVE L	0.00	22.14	80.0	17.71	0.00	17.71
04/30/2018	POORE, NANCY	JNL PERSPECTIVE L	0.00	2.33	80.0	1.86	0.00	1.86
04/30/2018	POORE, NANCY	JNL PERSPECTIVE L	0.00	55.99	80.0	44.79	0.00	44.79
04/30/2018	HUFFMAN, KRISTOPHER	JNL PERSPECTIVE L	0.00	64.80	80.0	51.84	0.00	51.84
04/30/2018	CASTLE, DAVID	JNL PERSPECTIVE L	0.00	100.86	80.0	80.69	0.00	80.69
04/30/2018	FARIS, THERESA ANN	JNL PERSPECTIVE L	0.00	30.65	80.0	24.52	0.00	24.52
04/30/2018	DOBELBOWER, JORGE LARRY	JNL PERSPECTIVE L	0.00	82.97	80.0	66.38	0.00	66.38
04/30/2018	MCKIDDY, BOBBY	JNL PERSPECTIVE L	0.00	17.06	80.0	13.65	0.00	13.65
04/30/2018	FOX, JERALDINE	JNL PERSPECTIVE L	0.00	90.54	80.0	72.43	0.00	72.43
04/30/2018	DOWNING, JOHN	JNL PERSPECTIVE L	0.00	10.90	80.0	8.72	0.00	8.72
04/30/2018	DOWDY, JIMMIE	JNL PERSPECTIVE L	0.00	14.05	80.0	11.24	0.00	11.24
04/30/2018	ACOSTA, ANNA	JNL PERSPECTIVE L	0.00	5.92	80.0	4.74	0.00	4.74
04/20/2018	MADEWELL, DOUGLAS	PAC LIFE INNOVATIVE SELECT	0.00	5.30	80.0	4.24	0.00	4.24
04/27/2018	SMIT, CONRAD	PAC LIFE INNOVATIVE SELECT	0.00	76.87	80.0	61.50	0.00	61.50
04/20/2018	HOWARD, JOSEPH	PAC LIFE PACIFIC CHOICE	0.00	3.77	80.0	3.02	0.00	3.02

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/24/2018	GARRISON, JENNIFER	PAC LIFE PACIFIC CHOICE	0.00	7.15	80.0	5.72	0.00	5.72
04/17/2018	CARROLL, ARDITH	PAC LIFE VALUE	0.00	8.92	80.0	7.14	0.00	7.14
04/20/2018	COLWELL, JEREMY	PAC LIFE VALUE	0.00	7.68	80.0	6.14	0.00	6.14
04/20/2018	PROCTOR, CHAD	PAC LIFE VALUE	0.00	9.60	80.0	7.68	0.00	7.68
04/20/2018	CRETSINGER, DON	PAC LIFE VALUE	0.00	13.76	80.0	11.01	0.00	11.01
04/20/2018	WHITE, JOANNE	PAC LIFE VALUE	0.00	10.56	80.0	8.45	0.00	8.45
04/20/2018	FISHER, SARA	PAC LIFE VALUE	0.00	10.50	80.0	8.40	0.00	8.40
04/25/2018	MCFERRON, LINDA	PAC LIFE VALUE	0.00	30.06	80.0	24.05	0.00	24.05
04/27/2018	SELENSKY, FRED	PAC LIFE VALUE	0.00	39.33	80.0	31.46	0.00	31.46
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,645.83		\$1,316.67	\$0.00	\$1,316.67
*** DIRECT TRANSACTIONS TOTAL ***			\$71,000.58	\$3,938.61		\$3,150.91	\$0.00	\$3,150.91

ADJUSTMENTS

05/15/2018	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
05/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
05/15/2018	*GLOBAL RELAY: FACEBOOK	0.00						-5.00
05/15/2018	*GLOBAL RELAY: WEBSITE	0.00						-15.00
05/15/2018	*MARKETING PRO: MARKETING LIBRARY	0.00						-20.00
05/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-3.96
05/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-530.96	\$0.00	\$-530.96

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BROKER COMMISSION TOTALS

Broker Transactions	\$3,166.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-530.96
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,635.48
Year-to-date Gross	\$26,598.75
Year-to-date Earnings	\$20,829.89