



COMMISSION STATEMENT

January 29, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/02/2018	***-**-8166 - SUYDAM, JERRY	EQUITY INCOME FUND, INC. C	87.50	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 08370430485	BUY 0 Units @0.0000						
01/08/2018	***-**-3203 - COMPION, ELLEN	GLOBAL FUND A	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 03307715985	BUY 0 Units @0.0000						
01/02/2018	***-**-1837 - WAMPFLER-SUYDAM,	MAIN STREET FUND C	75.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: 07024172894	BUY 0 Units @0.0000						
01/02/2018	***-**-8166 - SUYDAM, JERRY	MAIN STREET FUND C	87.50	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 07024172916	BUY 0 Units @0.0000						
01/08/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER CORE BOND FUND A	25.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 02852429284	BUY 0 Units @0.0000						
01/02/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER GLOBAL CLASS C	75.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: 03322626976	BUY 0 Units @0.0000						
01/02/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER MAIN STREET OPPORTUNITY	100.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 07331164691	BUY 0 Units @0.0000						
01/02/2018	***-**-8166 - SUYDAM, JERRY	OPPENHEIMER MAIN STREET OPPORTUNITY	87.50	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 07331164724	BUY 0 Units @0.0000						
01/08/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER SMALL & MID CAP VALUE F	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 02514937295	BUY 0 Units @0.0000						
01/29/2018	***-**-9311 - YOZZO, JOSEPH	PIM72200Q216	150.00	1.50	0.00	1.50	75.0	1.13
		BUY 0 Units @0.0000						
01/02/2018	***-**-1837 - WAMPFLER-SUYDAM,	QUEST BALANCED FUND C	75.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: 02592264273	BUY 0 Units @0.0000						
01/02/2018	***-**-8166 - SUYDAM, JERRY	QUEST BALANCED FUND C	87.50	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 02592264306	BUY 0 Units @0.0000						
01/08/2018	***-**-3203 - COMPION, ELLEN	SELECT VALUE FUND A	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 06000770220	BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$925.00	\$12.84	\$0.00	\$12.84		\$9.62
<u>PRODUCT CATEGORY: 529 PLAN</u>								
01/29/2018	***-**-6072 - LASKOWSKY, ANITA JOHN HANCOCK	529 TRAILS	0.00	2.23	0.00	2.23	75.0	1.67
	ACCOUNT #: 52730385	BUY 0 Units @0.0000						
01/29/2018	***-**-6072 - LASKOWSKY, ANITA JOHN HANCOCK	529 TRAILS	0.00	6.93	0.00	6.93	75.0	5.20
	ACCOUNT #: 52730385	BUY 0 Units @0.0000						



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* 529 PLAN SUBTOTAL *			\$0.00	\$9.16	\$0.00	\$9.16		\$6.87
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
01/29/2018	***-**-949I - ZAGER, YVONNE ACCOUNT #: LSR012050	ALLIANZ OCC RENAISSANCE FUND CLASS BUY 1 Units @0.0000	0.00	4.43	0.00	4.43	75.0	3.32
01/02/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 02870496166	CORE BOND FND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	75.0	0.19
01/29/2018	***-**-781I - GOULD, ROBERT ACCOUNT #: LSR012003	LORD ABBETT AFFILIATED CLASS C BUY 1 Units @0.0000	0.00	13.82	0.00	13.82	75.0	10.37
01/29/2018	***-**-9504 - THROOP, DAVID	PIMCO TRAILS BUY 0 Units @0.0000	0.00	32.15	0.00	32.15	75.0	24.11
01/29/2018	***-**-347J - CRUMB, MICHAEL	PIMCO TRAILS BUY 0 Units @0.0000	0.00	50.04	0.00	50.04	75.0	37.53
01/29/2018	***-**-9504 - THROOP, DAVID	PIMCO TRAILS BUY 0 Units @0.0000	0.00	52.37	0.00	52.37	75.0	39.28
01/29/2018	***-**-9504 - THROOP, DAVID	PIMCO TRAILS BUY 0 Units @0.0000	0.00	136.16	0.00	136.16	75.0	102.12
01/29/2018	***-**-9398 - COLLINS, ROBERT	PIMCO TRAILS BUY 0 Units @0.0000	0.00	55.50	0.00	55.50	75.0	41.63
01/29/2018	***-**-381I - ZARNOCK, MARTIN	PIMCO TRAILS BUY 0 Units @0.0000	0.00	33.67	0.00	33.67	75.0	25.25
01/29/2018	***-**-347J - CRUMB, MICHAEL	PIMCO TRAILS BUY 0 Units @0.0000	0.00	81.59	0.00	81.59	75.0	61.19
01/29/2018	***-**-9311 - YOZZO, JOSEPH	PIMCO TRAILS BUY 0 Units @0.0000	0.00	5.98	0.00	5.98	75.0	4.49
01/29/2018	***-**-9311 - YOZZO, JOSEPH	PIMCO TRAILS BUY 0 Units @0.0000	0.00	5.98	0.00	5.98	75.0	4.49
01/29/2018	***-**-9311 - YOZZO, JOSEPH	PIMCO TRAILS BUY 0 Units @0.0000	0.00	9.58	0.00	9.58	75.0	7.19
01/29/2018	***-**-3781 - GOULD, ROBERT ACCOUNT #: 7050078677	PIMCO TRAILS BUY 0 Units @0.0000	0.00	9.58	0.00	9.58	75.0	7.19
01/29/2018	***-**-3781 - GOULD, ROBERT	PIMCO TRAILS BUY 0 Units @0.0000	0.00	2.12	0.00	2.12	75.0	1.59
01/29/2018	***-**-381I - ZARNOCK, MARTIN	PIMCO TRAILS BUY 0 Units @0.0000	0.00	21.67	0.00	21.67	75.0	16.25
01/29/2018	***-**-347J - CRUMB, MICHAEL	PIMCO TRAILS BUY 0 Units @0.0000	0.00	87.37	0.00	87.37	75.0	65.53



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/29/2018	***-**-9311 - YOZZO, JOSEPH	PIMCO TRAILS BUY 0 Units @0.0000	0.00	11.03	0.00	11.03	75.0	8.27
01/29/2018	***-**-9311 - YOZZO, JOSEPH	PIMCO TRAILS BUY 0 Units @0.0000	0.00	10.92	0.00	10.92	75.0	8.19
01/29/2018	***-**-9311 - YOZZO, JOSEPH	PIMCO TRAILS BUY 0 Units @0.0000	0.00	14.22	0.00	14.22	75.0	10.67
01/29/2018	***-**-9504 - THROOP, DAVID	PIMCO TRAILS BUY 0 Units @0.0000	0.00	166.50	0.00	166.50	75.0	124.88
01/29/2018	***-**-9504 - THROOP, DAVID	PIMCO TRAILS BUY 0 Units @0.0000	0.00	249.09	0.00	249.09	75.0	186.82
01/29/2018	***-**-9398 - COLLINS, ROBERT	PIMCO TRAILS BUY 0 Units @0.0000	0.00	164.19	0.00	164.19	75.0	123.14
01/29/2018	***-**-381I - ZARNOCK, MARTIN	PIMCO TRAILS BUY 0 Units @0.0000	0.00	96.44	0.00	96.44	75.0	72.33
01/29/2018	***-**-347J - CRUMB, MICHAEL	PIMCO TRAILS BUY 0 Units @0.0000	0.00	114.16	0.00	114.16	75.0	85.62
01/29/2018	***-**-9311 - YOZZO, JOSEPH	PIMCO TRAILS BUY 0 Units @0.0000	0.00	7.13	0.00	7.13	75.0	5.35
01/29/2018	***-**-9311 - YOZZO, JOSEPH	PIMCO TRAILS BUY 0 Units @0.0000	0.00	7.13	0.00	7.13	75.0	5.35
01/29/2018	***-**-9311 - YOZZO, JOSEPH	PIMCO TRAILS BUY 0 Units @0.0000	0.00	11.42	0.00	11.42	75.0	8.57
01/29/2018	***-**-378I - GOULD, ROBERT	PIMCO TRAILS BUY 0 Units @0.0000	0.00	88.31	0.00	88.31	75.0	66.23
01/29/2018	***-**-347J - CRUMB, MICHAEL	PIMCO TRAILS BUY 0 Units @0.0000	0.00	53.00	0.00	53.00	75.0	39.75
01/29/2018	***-**-9311 - YOZZO, JOSEPH	PIMCO TRAILS BUY 0 Units @0.0000	0.00	8.53	0.00	8.53	75.0	6.40
01/29/2018	***-**-9311 - YOZZO, JOSEPH	PIMCO TRAILS BUY 0 Units @0.0000	0.00	8.53	0.00	8.53	75.0	6.40
01/29/2018	***-**-9311 - YOZZO, JOSEPH	PIMCO TRAILS BUY 0 Units @0.0000	0.00	17.87	0.00	17.87	75.0	13.40



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/29/2018	***-**-3781 - GOULD, ROBERT	PIMCO TRAILS BUY 0 Units @0.0000	0.00	16.34	0.00	16.34	75.0	12.26
01/29/2018	***-**-9504 - THROOP, DAVID	PIMCO TRAILS BUY 0 Units @0.0000	0.00	67.24	0.00	67.24	75.0	50.43
01/29/2018	***-**-3781 - GOULD, ROBERT	PIMCO TRAILS BUY 0 Units @0.0000	0.00	23.00	0.00	23.00	75.0	17.25
01/29/2018	***-**-381I - ZARNOCK, MARTIN	PIMCO TRAILS BUY 0 Units @0.0000	0.00	55.22	0.00	55.22	75.0	41.42
01/29/2018	***-**-381I - ZARNOCK, MARTIN	PIMCO TRAILS BUY 0 Units @0.0000	0.00	27.00	0.00	27.00	75.0	20.25
01/29/2018	***-**-3781 - GOULD, ROBERT	PIMCO TRAILS BUY 0 Units @0.0000	0.00	23.40	0.00	23.40	75.0	17.55
01/29/2018	***-**-3781 - GOULD, ROBERT	PIMCO TRAILS BUY 0 Units @0.0000	0.00	24.08	0.00	24.08	75.0	18.06
01/29/2018	***-**-9311 - YOZZO, JOSEPH	PIMCO TRAILS BUY 0 Units @0.0000	0.00	-9.58	0.00	-9.58	75.0	-7.19
01/29/2018	***-**-781I - GOULD, ROBERT ACCOUNT #: LSR012003	PUTNAM EQUITY INCOME FUND-M BUY 1 Units @0.0000	0.00	5.08	0.00	5.08	75.0	3.81
01/29/2018	***-**-13IN - KUPIEC, RICHARD ACCOUNT #: LSR012014	ROCHESTER FUND MUNICIPALS A BUY 1 Units @0.0000	0.00	1.69	0.00	1.69	75.0	1.27
01/29/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: LSR012021	VOYA SMALL CAP OPPORTUNITIES CL C BUY 1 Units @0.0000	0.00	10.80	0.00	10.80	75.0	8.10
01/29/2018	***-**-381J - ZARNOCK, MARTIN ACCOUNT #: LSR012033	VOYA SMALL CAP OPPORTUNITIES CL C BUY 1 Units @0.0000	0.00	12.22	0.00	12.22	75.0	9.17
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$25.00	\$1,887.22	\$0.00	\$1,887.22		\$1,415.47
*** BROKER TRANSACTIONS TOTAL ***			\$950.00	\$1,909.22	\$0.00	\$1,909.22		\$1,431.96



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$1,431.96
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,431.96
						Year-to-date Earnings		\$10,043.16