



# COMMISSION STATEMENT

## February 12, 2018

DAVID GRIFFITH  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A76  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u></b>								
01/12/2018	***-**-968I - THIBAUT JR,	GWG PREFERRED STOCK BUY 11 Units @1000.0000	11,000.00	726.00	0.00	726.00	88.0	638.88
01/26/2018	***-**-13IN - MUMIN, SAYYED	GWG PREFERRED STOCK BUY 10 Units @1000.0000	10,000.00	660.00	0.00	660.00	88.0	580.80
<b>* ALTERNATIVE INVESTMENTS SUBTOTAL *</b>			<b>\$21,000.00</b>	<b>\$1,386.00</b>	<b>\$0.00</b>	<b>\$1,386.00</b>		<b>\$1,219.68</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/06/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	LORD ABBETT FNDAMNTL EQUITY FD CL C BUY 434 Units @11.5200	5,000.00	19.99	6.00	13.99	88.0	12.31
02/09/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	LORD ABBETT GROWTH OPPORTUNITIES CL BUY 336 Units @14.8800	5,000.01	19.99	6.00	13.99	88.0	12.31
02/06/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	LORD ABBETT SHORT DURATION INCOME C SELL 1176 Units @4.2500	5,000.00	0.00	6.00	-6.00	100.0	-6.00
02/09/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	LORD ABBETT SHORT DURATION INCOME C SELL 1176 Units @4.2500	5,000.00	0.00	6.00	-6.00	100.0	-6.00
02/01/2018	***-**-267I - HAMMILL, ELISE ACCOUNT #: 08191335171	MFS GLOBAL TOTAL RETURN FUND-B BUY 4 Units @19.1200	75.00	3.00	0.00	3.00	88.0	2.64
01/29/2018	***-**-522I - RIEBEN, HENRY ACCOUNT #: 00551360517	PUTNAM CAPITAL SPECTRUM FUND CL C BUY 1 Units @34.3300	18.04	0.18	0.00	0.18	88.0	0.16
01/29/2018	***-**-522I - RIEBEN, HENRY ACCOUNT #: 00551360517	PUTNAM EQUITY SPECTRUM FD CL C BUY 0 Units @37.2100	18.04	0.18	0.00	0.18	88.0	0.16
01/29/2018	***-**-522I - RIEBEN, HENRY ACCOUNT #: 00551360517	PUTNAM GLOBAL UTILITIES CL C BUY 1 Units @13.2400	18.04	0.18	0.00	0.18	88.0	0.16
02/05/2018	***-**-08TR - SCOTELLARO III, ACCOUNT #: LSR010975	TRANSAMERICA SHORT-TERM BOND CL A SELL 618 Units @10.1600	6,281.67	19.99	18.00	1.99	88.0	1.75
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$26,410.80</b>	<b>\$63.51</b>	<b>\$42.00</b>	<b>\$21.51</b>		<b>\$17.49</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
02/05/2018	***-**-361J - ALOISIO, ACCOUNT #: LSR010886	NATIONAL GRID SPON ADR EACH REP 5 O BUY 100 Units @54.1000	5,410.00	97.59	17.00	80.59	88.0	70.92
02/05/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	NATIONAL GRID SPON ADR EACH REP 5 O BUY 200 Units @54.1600	10,832.00	97.49	19.00	78.49	88.0	69.07



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS (continued)</u></b>								
02/05/2018	***-**-361J - ALOISIO, ACCOUNT #: LSR010886	NORDIC AMERICAN TANKERS LIMITE COM BUY 3000 Units @2.0100	6,030.00	97.49	75.00	22.49	88.0	19.79
02/05/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	NORDIC AMERICAN TANKERS LIMITE COM BUY 2000 Units @1.9900	3,980.00	49.99	55.00	-5.01	100.0	-5.01
02/02/2018	***-**-034J - NIKODEM, JOSEPH ACCOUNT #: LSR010887	PETROLEO BRASILEIRO SA PETROBRAS SP SELL 400 Units @12.5100	5,004.00	97.49	23.00	74.49	88.0	65.55
02/02/2018	***-**-474J - HAGAN JR, ACCOUNT #: LSR010993	PETROLEO BRASILEIRO SA PETROBRAS SP SELL 500 Units @12.5200	6,260.00	117.49	25.00	92.49	88.0	81.39
02/02/2018	***-**-718J - LOWE, GREGORY ACCOUNT #: LSR011914	PETROLEO BRASILEIRO SA PETROBRAS SP SELL 400 Units @12.5100	5,004.00	97.49	23.00	74.49	88.0	65.55
<b>* STOCKS SUBTOTAL *</b>			<b>\$42,520.00</b>	<b>\$655.03</b>	<b>\$237.00</b>	<b>\$418.03</b>		<b>\$367.26</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/08/2018	***-**-077O - GAUTHIER, LOUIS ACCOUNT #: 828693	MASS MUTUAL VA BUY 1 Units @0.0000	0.00	5.67	0.00	5.67	88.0	4.99
02/12/2018	***-**-077O - GAUTHIER, LOUIS ACCOUNT #: 828693	MASS MUTUAL VA BUY 1 Units @0.0000	0.00	6.49	0.00	6.49	88.0	5.71
02/12/2018	***-**-80IN - GRIFFITH, DAVID ACCOUNT #: 834388	MASS MUTUAL VA BUY 1 Units @0.0000	0.00	0.55	0.00	0.55	88.0	0.48
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$12.71</b>	<b>\$0.00</b>	<b>\$12.71</b>		<b>\$11.18</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
02/12/2018	***-**-423I - SAVICKI, RICHARD ACCOUNT #: LSR010889	EATON VANCE LARGE-CAP VALUE CL C BUY 1 Units @0.0000	0.00	22.17	0.00	22.17	88.0	19.51
02/12/2018	***-**-13IR - E, KAREN ACCOUNT #: LSR011953	MASSACHUSETTS INVESTORS TRUST-A BUY 1 Units @0.0000	0.00	0.08	0.00	0.08	88.0	0.07
02/12/2018	***-**-13IR - E, KAREN ACCOUNT #: LSR011953	MASSACHUSETTS INVESTORS TRUST-B BUY 1 Units @0.0000	0.00	0.66	0.00	0.66	88.0	0.58
02/12/2018	***-**-355I - CRIMMINS, KAREN ACCOUNT #: LSR011077	MFS AGGRESS GROWTH ALLOCATION FD-B BUY 1 Units @0.0000	0.00	0.67	0.00	0.67	88.0	0.59
02/12/2018	***-**-355I - CRIMMINS, KAREN ACCOUNT #: LSR011077	MFS CONSERVATIVE ALLOCATION FUND-B BUY 1 Units @0.0000	0.00	0.75	0.00	0.75	88.0	0.66



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/12/2018	***-**-13IR - E, KAREN	MFS EMERGING MARKETS DEBT FUND-A	0.00	0.06	0.00	0.06	88.0	0.05
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
02/12/2018	***-**-13IR - E, KAREN	MFS EMERGING MKT DEBT CL B	0.00	0.28	0.00	0.28	88.0	0.25
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
02/12/2018	***-**-355I - CRIMMINS, KAREN	MFS GLOBAL ALTERNATIVE STRAT B	0.00	1.62	0.00	1.62	88.0	1.43
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
02/12/2018	***-**-94IO - PERRITANO,	MFS GLOBAL MULTI ASSET FUND CLASS B	0.00	2.32	0.00	2.32	88.0	2.04
	ACCOUNT #: LSR011099	BUY 1 Units @0.0000						
02/12/2018	***-**-13IR - E, KAREN	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.12	0.00	0.12	88.0	0.11
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
02/12/2018	***-**-355I - CRIMMINS, KAREN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.72	0.00	2.72	88.0	2.39
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
02/12/2018	***-**-73IN - SCOTELLARO IV,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.63	0.00	2.63	88.0	2.31
	ACCOUNT #: LSR011086	BUY 1 Units @0.0000						
02/12/2018	***-**-94IO - PERRITANO,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.56	0.00	2.56	88.0	2.25
	ACCOUNT #: LSR011099	BUY 1 Units @0.0000						
02/12/2018	***-**-187I - WASZKIEWICZ, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.24	0.00	2.24	88.0	1.97
	ACCOUNT #: LSR011144	BUY 1 Units @0.0000						
02/12/2018	***-**-40UT - BROWN CUST	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.24	0.00	0.24	88.0	0.21
	ACCOUNT #: LSR011178	BUY 1 Units @0.0000						
02/12/2018	***-**-387I - KINNEY, DOUGLAS	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.32	0.00	1.32	88.0	1.16
	ACCOUNT #: LSR011322	BUY 1 Units @0.0000						
02/12/2018	***-**-1300 - MUMIN,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.79	0.00	1.79	88.0	1.58
	ACCOUNT #: LSR011333	BUY 1 Units @0.0000						
02/12/2018	***-**-3017 - MUMIN, MESSIAH	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.79	0.00	1.79	88.0	1.58
	ACCOUNT #: LSR011334	BUY 1 Units @0.0000						
02/12/2018	***-**-0642 - MUMIN, MARY JO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.79	0.00	1.79	88.0	1.58
	ACCOUNT #: LSR011335	BUY 1 Units @0.0000						
02/12/2018	***-**-41TR - DAMSKY, BARRY	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.59	0.00	2.59	88.0	2.28
	ACCOUNT #: LSR011340	BUY 1 Units @0.0000						
02/12/2018	***-**-499X - LARA M TUR, SPS	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.57	0.00	1.57	88.0	1.38
	ACCOUNT #: LSR011400	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/12/2018	***-**-28IO - CROWE, EMILY	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.67	0.00	2.67	88.0	2.35
	ACCOUNT #: LSR011427	BUY 1 Units @0.0000						
02/12/2018	***-**-13IR - MURRAY, JOHN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.32	0.00	1.32	88.0	1.16
	ACCOUNT #: LSR011459	BUY 1 Units @0.0000						
02/12/2018	***-**-90IO - DRUMM, LOIS	MFS GLOBAL TOTAL RETURN FUND-B	0.00	4.24	0.00	4.24	88.0	3.73
	ACCOUNT #: LSR011609	BUY 1 Units @0.0000						
02/12/2018	***-**-29IO - LORUSSO, VINCENT	MFS GLOBAL TOTAL RETURN FUND-B	0.00	4.26	0.00	4.26	88.0	3.75
	ACCOUNT #: LSR011681	BUY 1 Units @0.0000						
02/12/2018	***-**-13IR - E, KAREN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.18	0.00	2.18	88.0	1.92
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
02/12/2018	***-**-13IR - E, KAREN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.17	0.00	0.17	88.0	0.15
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
02/12/2018	***-**-13IR - E, KAREN	MFS INFLATION ADJUSTED BOND FUND-A	0.00	0.03	0.00	0.03	88.0	0.03
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
02/12/2018	***-**-355I - CRIMMINS, KAREN	MFS INTERNATIONAL DIVERSIFICATION F	0.00	0.89	0.00	0.89	88.0	0.78
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
02/12/2018	***-**-29IO - LORUSSO, VINCENT	MFS TECHNOLOGY FUND-B	0.00	1.49	0.00	1.49	88.0	1.31
	ACCOUNT #: LSR011681	BUY 1 Units @0.0000						
02/12/2018	***-**-13IR - E, KAREN	MFS TECHNOLOGY FUND-B	0.00	1.68	0.00	1.68	88.0	1.48
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
02/12/2018	***-**-13IR - E, KAREN	MFS TOTAL RETURN FUND-A	0.00	0.11	0.00	0.11	88.0	0.10
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
02/12/2018	***-**-13IR - E, KAREN	MFS TOTAL RETURN FUND-B	0.00	0.73	0.00	0.73	88.0	0.64
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
02/12/2018	***-**-369I - DAVIS, BRUCE	MFS UTILITIES FUND-A	0.00	0.99	0.00	0.99	88.0	0.87
	ACCOUNT #: LSR011029	BUY 1 Units @0.0000						
02/12/2018	***-**-698J - FEISTHAMEL, MR	MFS UTILITIES FUND-A	0.00	1.85	0.00	1.85	88.0	1.63
	ACCOUNT #: LSR011137	BUY 1 Units @0.0000						
02/12/2018	***-**-13IR - E, KAREN	MFS UTILITIES FUND-A	0.00	0.06	0.00	0.06	88.0	0.05
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
02/12/2018	***-**-621I - DANIELS SR, JOHN	MFS UTILITIES FUND-B	0.00	3.76	0.00	3.76	88.0	3.31
	ACCOUNT #: LSR010950	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/12/2018	***-**-614I - MARIOTTI, CINDY	MFS UTILITIES FUND-B	0.00	2.77	0.00	2.77	88.0	2.44
	ACCOUNT #: LSR011535	BUY 1 Units @0.0000						
02/12/2018	***-**-39IR - VELARDI, CHRIS	MFS UTILITIES FUND-B	0.00	0.34	0.00	0.34	88.0	0.30
	ACCOUNT #: LSR011591	BUY 1 Units @0.0000						
02/12/2018	***-**-13IR - E, KAREN	MFS UTILITIES FUND-B	0.00	1.43	0.00	1.43	88.0	1.26
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
01/29/2018	***-**-522I - RIEBEN, HENRY	PUTNAM MF TRAILS 37252M306	18.04	0.18	0.00	0.18	88.0	0.16
	ACCOUNT #: 00551360517	BUY 1 Units @20.6100						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$18.04</b>	<b>\$81.12</b>	<b>\$0.00</b>	<b>\$81.12</b>		<b>\$71.40</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$89,948.84</b>	<b>\$2,198.37</b>	<b>\$279.00</b>	<b>\$1,919.37</b>		<b>\$1,687.01</b>

### VERRIDE TRANSACTIONS

#### BROKER: KURT GRUENEWALD

02/02/2018	***-**-169I - EVANS, PAUL	EXXON MOBIL CORP UNSOLICITED ORDER	8,385.00	84.85	0.00	84.85	88.0	74.67
	ACCOUNT #: LSR011026	BUY 100 Units @83.8500						
01/04/2018	***-**-326I - GRANDE, FBO MR	GWG PREFERRED STOCK	10,000.00	660.00	0.00	660.00	88.0	580.80
		BUY 10 Units @1000.0000						
01/16/2018	***-**-35IN - RUGGIERO, LAURIE	GWG PREFERRED STOCK	10,000.00	660.00	0.00	660.00	88.0	580.80
		BUY 10 Units @1000.0000						
02/09/2018	***-**-15IN - PENDRAK, JOHN	LORD ABBETT GROWTH OPPORTUNITIES CL	5,000.01	13.99	0.00	13.99	88.0	12.31
	ACCOUNT #: LSR010948	BUY 336 Units @14.8800						
02/12/2018	***-**-065I - LAZIO, THOMAS	MFS ABSOLUTE RETURN FUND CLASS C	0.00	3.34	0.00	3.34	88.0	2.94
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
02/12/2018	***-**-944O - MAYO, DEBORAH	MFS AGGRESSIVE GROWTH ALLOCATION FD	0.00	1.09	0.00	1.09	88.0	0.96
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
02/12/2018	***-**-13IN - ROTH, KAREN	MFS BLENDED RESEARCH CORE EQUITY FD	0.00	1.36	0.00	1.36	88.0	1.20
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
02/12/2018	***-**-881I - EBERLEY, SUSAN	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.87	0.00	9.87	88.0	8.69
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
02/12/2018	***-**-901I - SATTERLY, ANN	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.79	0.00	9.79	88.0	8.62
	ACCOUNT #: LSR010874	BUY 1 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: KURT GRUENEWALD (continued)</u></b>								
02/12/2018	***-**-382K - SATTERLY, NEIL ACCOUNT #: LSR010875	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	4.43	0.00	4.43	88.0	3.90
02/12/2018	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	18.74	0.00	18.74	88.0	16.49
02/12/2018	***-**-031O - MCVOY, ROBERT ACCOUNT #: LSR010916	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	4.60	0.00	4.60	88.0	4.05
02/12/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	17.81	0.00	17.81	88.0	15.67
02/12/2018	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	17.51	0.00	17.51	88.0	15.41
02/12/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	11.50	0.00	11.50	88.0	10.12
02/12/2018	***-**-420T - DEMETRI, AMELIA ACCOUNT #: LSR010994	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	6.38	0.00	6.38	88.0	5.61
02/12/2018	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011001	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	9.89	0.00	9.89	88.0	8.70
02/12/2018	***-**-837O - BASEMENT ACCOUNT #: LSR011046	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	5.02	0.00	5.02	88.0	4.42
02/12/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	15.20	0.00	15.20	88.0	13.38
02/12/2018	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	18.29	0.00	18.29	88.0	16.10
02/12/2018	***-**-900J - LEE, MR. ACCOUNT #: LSR011186	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	4.42	0.00	4.42	88.0	3.89
02/12/2018	***-**-06IR - CAMPBELL, PAMELA ACCOUNT #: LSR011222	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	6.61	0.00	6.61	88.0	5.82
02/12/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	14.45	0.00	14.45	88.0	12.72
02/12/2018	***-**-80IO - EVANS, RICHARD ACCOUNT #: LSR011276	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	9.88	0.00	9.88	88.0	8.69
02/12/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	14.43	0.00	14.43	88.0	12.70



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2108 Genesee St.  
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BROKER #: A76  
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: KURT GRUENEWALD (continued)</u></b>								
02/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS CONSERVATIVE ALLOCATION CL C	0.00	23.03	0.00	23.03	88.0	20.27
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
02/12/2018	***-**-14IO - BUSHEY, MATTHEW	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.58	0.00	9.58	88.0	8.43
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
02/12/2018	***-**-37IO - MCCLUSKY, MARK	MFS CONSERVATIVE ALLOCATION CL C	0.00	7.94	0.00	7.94	88.0	6.99
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
02/12/2018	***-**-99IN - EDELMAN, TINA	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.08	0.00	0.08	88.0	0.07
	ACCOUNT #: LSR011956	BUY 1 Units @0.0000						
02/12/2018	***-**-28IN - BYWATER, KIM	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.13	0.00	0.13	88.0	0.11
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
02/12/2018	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-A	0.00	1.18	0.00	1.18	88.0	1.04
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
02/12/2018	***-**-254I - AUGUSTINE, LINDA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.82	0.00	0.82	88.0	0.72
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
02/12/2018	***-**-15IN - PENDRAK, JOHN	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.22	0.00	2.22	88.0	1.95
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
02/12/2018	***-**-960J - MASTRANGELO,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	5.69	0.00	5.69	88.0	5.01
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
02/12/2018	***-**-51IO - CAIN, TIMOTHY	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.69	0.00	2.69	88.0	2.37
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
02/12/2018	***-**-761I - EVANS, DOLORES	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.14	0.00	2.14	88.0	1.88
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
02/12/2018	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.50	0.00	0.50	88.0	0.44
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
02/12/2018	***-**-326J - GRANDE, MR	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.59	0.00	0.59	88.0	0.52
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
02/12/2018	***-**-13IN - RHYMESTINE,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.06	0.00	1.06	88.0	0.93
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
02/12/2018	***-**-367J - WAGNER, MRS LANT	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.17	0.00	1.17	88.0	1.03
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
02/12/2018	***-**-992J - CORNACCHIA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.41	0.00	0.41	88.0	0.36
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: KURT GRUENEWALD (continued) </u></b>								
02/12/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS CONSERVATIVE ALLOCATION FUND-B BUY 1 Units @0.0000	0.00	4.03	0.00	4.03	88.0	3.55
02/12/2018	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS CONSERVATIVE ALLOCATION FUND-B BUY 1 Units @0.0000	0.00	1.17	0.00	1.17	88.0	1.03
02/12/2018	***-**-448X - ANACKER, SUSAN ACCOUNT #: LSR011578	MFS CONSERVATIVE ALLOCATION FUND-B BUY 1 Units @0.0000	0.00	0.83	0.00	0.83	88.0	0.73
02/12/2018	***-**-382K - SATTERLY, NEIL ACCOUNT #: LSR010875	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	4.71	0.00	4.71	88.0	4.14
02/12/2018	***-**-980I - ACEE, ELIZABETH ACCOUNT #: LSR010885	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	9.47	0.00	9.47	88.0	8.33
02/12/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	17.20	0.00	17.20	88.0	15.14
02/12/2018	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011008	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	13.38	0.00	13.38	88.0	11.77
02/12/2018	***-**-132O - EVANS, THOMAS ACCOUNT #: LSR011074	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	8.52	0.00	8.52	88.0	7.50
02/12/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	13.34	0.00	13.34	88.0	11.74
02/12/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	24.49	0.00	24.49	88.0	21.55
02/12/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	14.67	0.00	14.67	88.0	12.91
02/12/2018	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	6.10	0.00	6.10	88.0	5.37
02/12/2018	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	6.07	0.00	6.07	88.0	5.34
02/12/2018	***-**-439J - MCCARTHY, JAMES ACCOUNT #: LSR011205	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	3.22	0.00	3.22	88.0	2.83
02/12/2018	***-**-52IO - EUSEPI, SUSAN ACCOUNT #: LSR011219	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	6.39	0.00	6.39	88.0	5.62
02/12/2018	***-**-81IR - CAMPBELL, ACCOUNT #: LSR011223	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	6.16	0.00	6.16	88.0	5.42





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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: KURT GRUENEWALD (continued)</u></b>								
02/12/2018	***-**-439I - MCCARTHY, JAMES	MFS DIVERSIFIED INCOME FD CL C	0.00	2.30	0.00	2.30	88.0	2.02
	ACCOUNT #: LSR011244	BUY 1 Units @0.0000						
02/12/2018	***-**-376I - BERBEN, GAIL	MFS DIVERSIFIED INCOME FD CL C	0.00	9.45	0.00	9.45	88.0	8.32
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
02/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS DIVERSIFIED INCOME FD CL C	0.00	14.31	0.00	14.31	88.0	12.59
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
02/12/2018	***-**-14IO - BUSHEY, MATTHEW	MFS DIVERSIFIED INCOME FD CL C	0.00	14.09	0.00	14.09	88.0	12.40
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
02/12/2018	***-**-37IO - MCCLUSKY, MARK	MFS DIVERSIFIED INCOME FD CL C	0.00	5.18	0.00	5.18	88.0	4.56
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
02/12/2018	***-**-24IN - BLISS, RYAN	MFS EMERGING GROWTH FUND-B	0.00	0.29	0.00	0.29	88.0	0.26
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
02/12/2018	***-**-881I - EBERLEY, SUSAN	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.84	0.00	0.84	88.0	0.74
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
02/12/2018	***-**-960J - MASTRANGELO,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.48	0.00	1.48	88.0	1.30
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
02/12/2018	***-**-761I - EVANS, DOLORES	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.52	0.00	1.52	88.0	1.34
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
02/12/2018	***-**-579J - RHYMESTINE,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.82	0.00	0.82	88.0	0.72
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
02/12/2018	***-**-326I - GRANDE, FBO MR	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.49	0.00	1.49	88.0	1.31
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
02/12/2018	***-**-197I - GAMBINO, ANDREW	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.24	0.00	0.24	88.0	0.21
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
02/12/2018	***-**-254I - AUGUSTINE, LINDA	MFS EMERGING MKT DEBT CL B	0.00	1.11	0.00	1.11	88.0	0.98
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
02/12/2018	***-**-881J - EBERLEY, SUSAN	MFS EMERGING MKT DEBT CL B	0.00	0.85	0.00	0.85	88.0	0.75
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
02/12/2018	***-**-949I - ACEE, JOSPEH	MFS EMERGING MKT DEBT CL B	0.00	1.04	0.00	1.04	88.0	0.92
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
02/12/2018	***-**-31IO - HARTZ, CLAUDIA	MFS EMERGING MKT DEBT CL B	0.00	1.26	0.00	1.26	88.0	1.11
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: KURT GRUENEWALD (continued)</u></b>								
02/12/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.69	0.00	0.69	88.0	0.61
02/12/2018	***-**-9440 - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.36	0.00	1.36	88.0	1.20
02/12/2018	***-**-580X - COBB, JAMES ACCOUNT #: LSR011040	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.21	0.00	1.21	88.0	1.06
02/12/2018	***-**-506J - CURRIERE JR, MR ACCOUNT #: LSR011121	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.38	0.00	0.38	88.0	0.33
02/12/2018	***-**-367J - WAGNER, MRS LANT ACCOUNT #: LSR011134	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.93	0.00	0.93	88.0	0.82
02/12/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.36	0.00	1.36	88.0	1.20
02/12/2018	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.24	0.00	1.24	88.0	1.09
02/12/2018	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.53	0.00	0.53	88.0	0.47
02/12/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	MFS EMERGING MKTS DEBT CLASS C BUY 1 Units @0.0000	0.00	0.29	0.00	0.29	88.0	0.26
02/12/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS EQUITY OPPORTUNITIES CL B BUY 1 Units @0.0000	0.00	1.77	0.00	1.77	88.0	1.56
02/12/2018	***-**-9440 - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS GLOBAL ALTERNATIVE STRAT A BUY 1 Units @0.0000	0.00	0.64	0.00	0.64	88.0	0.56
02/12/2018	***-**-978I - GUNN, KATHLEEN ACCOUNT #: LSR011345	MFS GLOBAL ALTERNATIVE STRAT B BUY 1 Units @0.0000	0.00	0.44	0.00	0.44	88.0	0.39
02/12/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	6.51	0.00	6.51	88.0	5.73
02/12/2018	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	14.64	0.00	14.64	88.0	12.88
02/12/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	14.10	0.00	14.10	88.0	12.41
02/12/2018	***-**-80IN - COBB, JAMES ACCOUNT #: LSR011042	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	7.54	0.00	7.54	88.0	6.64



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<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> BROKER: KURT GRUENEWALD (continued) </b>								
02/12/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	12.84	0.00	12.84	88.0	11.30
02/12/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	17.46	0.00	17.46	88.0	15.36
02/12/2018	***-**-80IO - EVANS, RICHARD ACCOUNT #: LSR011276	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	10.74	0.00	10.74	88.0	9.45
02/12/2018	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	13.11	0.00	13.11	88.0	11.54
02/12/2018	***-**-094I - KATRENA L ACCOUNT #: LSR011188	MFS GLOBAL BOND FUND CLASS C BUY 1 Units @0.0000	0.00	3.55	0.00	3.55	88.0	3.12
02/12/2018	***-**-978I - GUNN, KATHLEEN ACCOUNT #: LSR011345	MFS GLOBAL EQUITY FUND-B BUY 1 Units @0.0000	0.00	0.74	0.00	0.74	88.0	0.65
02/12/2018	***-**-53IN - EVANS, ANDREW ACCOUNT #: LSR011483	MFS GLOBAL EQUITY FUND-B BUY 1 Units @0.0000	0.00	0.39	0.00	0.39	88.0	0.34
02/12/2018	***-**-24IN - BLISS, RYAN ACCOUNT #: LSR011502	MFS GLOBAL EQUITY FUND-B BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	88.0	0.30
02/12/2018	***-**-21IO - RADELL, SANDRA ACCOUNT #: LSR011392	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	1.65	0.00	1.65	88.0	1.45
02/12/2018	***-**-53IN - EVANS, ANDREW ACCOUNT #: LSR011483	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	0.30	0.00	0.30	88.0	0.26
02/12/2018	***-**-24IN - BLISS, RYAN ACCOUNT #: LSR011502	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	0.18	0.00	0.18	88.0	0.16
02/12/2018	***-**-38IO - BROWN, TYLER ACCOUNT #: LSR011602	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	0.81	0.00	0.81	88.0	0.71
02/12/2018	***-**-628I - SANGER, SANDRA ACCOUNT #: LSR010942	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.98	0.00	0.98	88.0	0.86
02/12/2018	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.92	0.00	0.92	88.0	0.81
02/12/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.70	0.00	0.70	88.0	0.62
02/12/2018	***-**-653J - KOCHEM, CLINTON ACCOUNT #: LSR011298	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.99	0.00	0.99	88.0	0.87



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: KURT GRUENEWALD (continued) </u></b>								
02/12/2018	***-**-254I - AUGUSTINE, LINDA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.05	0.00	1.05	88.0	0.92
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
02/12/2018	***-**-881J - EBERLEY, SUSAN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.61	0.00	1.61	88.0	1.42
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
02/12/2018	***-**-949I - ACEE, JOSPEH	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.57	0.00	1.57	88.0	1.38
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
02/12/2018	***-**-31IO - HARTZ, CLAUDIA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.83	0.00	0.83	88.0	0.73
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
02/12/2018	***-**-959I - FRANZ, PAUL	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.98	0.00	2.98	88.0	2.62
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
02/12/2018	***-**-960J - MASTRANGELO,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.23	0.00	2.23	88.0	1.96
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
02/12/2018	***-**-51IO - CAIN, TIMOTHY	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.71	0.00	1.71	88.0	1.50
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
02/12/2018	***-**-60UT - FALCHI, ADALYNN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.23	0.00	0.23	88.0	0.20
	ACCOUNT #: LSR011010	BUY 1 Units @0.0000						
02/12/2018	***-**-761I - EVANS, DOLORES	MFS GLOBAL TOTAL RETURN FUND-B	0.00	6.02	0.00	6.02	88.0	5.30
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
02/12/2018	***-**-63TR - EVANS, AUDREY J	MFS GLOBAL TOTAL RETURN FUND-B	0.00	3.17	0.00	3.17	88.0	2.79
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
02/12/2018	***-**-306X - COBB, CHERRYL	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.62	0.00	1.62	88.0	1.43
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
02/12/2018	***-**-96IN - NESSIA, BONNIE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.25	0.00	1.25	88.0	1.10
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
02/12/2018	***-**-579I - RHYMESTINE,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	3.08	0.00	3.08	88.0	2.71
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
02/12/2018	***-**-861I - SANGER, LAWRENCE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.43	0.00	1.43	88.0	1.26
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
02/12/2018	***-**-13IN - RHYMESTINE,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.01	0.00	1.01	88.0	0.89
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
02/12/2018	***-**-76IO - MCALLISTER, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.68	0.00	0.68	88.0	0.60
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## February 12, 2018

DAVID GRIFFITH  
2108 Genesee St.  
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BROKER #: A76  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: KURT GRUENEWALD (continued) </u></b>								
02/12/2018	***-**-506J - CURRIERE JR, MR	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.97	0.00	0.97	88.0	0.85
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
02/12/2018	***-**-367J - WAGNER, MRS LANT	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.74	0.00	1.74	88.0	1.53
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
02/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	3.68	0.00	3.68	88.0	3.24
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
02/12/2018	***-**-64IO - PFAFF, GEORGE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.31	0.00	1.31	88.0	1.15
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
02/12/2018	***-**-62IR - ROBBIANO, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.96	0.00	0.96	88.0	0.84
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
02/12/2018	***-**-52IO - EUSEPI, SUSAN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.99	0.00	2.99	88.0	2.63
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
02/12/2018	***-**-02IN - BUSHEY, MELISSA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.71	0.00	0.71	88.0	0.62
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
02/12/2018	***-**-653I - KOCHER, CLINTON	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.78	0.00	2.78	88.0	2.45
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
02/12/2018	***-**-62IN - EATON, DAVID	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.72	0.00	0.72	88.0	0.63
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
02/12/2018	***-**-21IO - RADELL, SANDRA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.81	0.00	1.81	88.0	1.59
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
02/12/2018	***-**-241I - DINITTO, ALLISYN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.33	0.00	1.33	88.0	1.17
	ACCOUNT #: LSR011444	BUY 1 Units @0.0000						
02/12/2018	***-**-51IN - GERACE, JOANNE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.68	0.00	1.68	88.0	1.48
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						
02/12/2018	***-**-09IO - BATES, FBO MRS	MFS GLOBAL TOTAL RETURN FUND-C	0.00	19.40	0.00	19.40	88.0	17.07
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
02/12/2018	***-**-11IO - LYNN G.	MFS GLOBAL TOTAL RETURN FUND-C	0.00	14.94	0.00	14.94	88.0	13.15
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
02/12/2018	***-**-376I - BERBEN, GAIL	MFS GLOBAL TOTAL RETURN FUND-C	0.00	13.52	0.00	13.52	88.0	11.90
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
02/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS GLOBAL TOTAL RETURN FUND-C	0.00	17.75	0.00	17.75	88.0	15.62
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: KURT GRUENEWALD (continued)</u></b>								
02/12/2018	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-A	0.00	0.07	0.00	0.07	88.0	0.06
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
02/12/2018	***-**-254I - AUGUSTINE, LINDA	MFS GOVERNMENT SECURITIES FUND-B	0.00	1.69	0.00	1.69	88.0	1.49
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
02/12/2018	***-**-111J - NESSIA, JAMES	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.55	0.00	0.55	88.0	0.48
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
02/12/2018	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.72	0.00	0.72	88.0	0.63
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
02/12/2018	***-**-44IO - MAYO, DEBORAH	MFS HIGH INCOME CLASS C	0.00	0.55	0.00	0.55	88.0	0.48
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
02/12/2018	***-**-61IN - EVANS, DOLORES	MFS HIGH INCOME FUND-B	0.00	0.27	0.00	0.27	88.0	0.24
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						
02/12/2018	***-**-13IN - ROTH, KAREN	MFS HIGH INCOME FUND-B	0.00	0.83	0.00	0.83	88.0	0.73
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
02/12/2018	***-**-881I - EBERLEY, SUSAN	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.69	0.00	0.69	88.0	0.61
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
02/12/2018	***-**-13IN - ROTH, KAREN	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.86	0.00	0.86	88.0	0.76
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
02/12/2018	***-**-73TR - WESTCOTT IRRVOC	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	9.44	0.00	9.44	88.0	8.31
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
02/12/2018	***-**-73TR - WESTCOTT IRRVOC	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	9.42	0.00	9.42	88.0	8.29
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
02/12/2018	***-**-881J - EBERLEY, SUSAN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.41	0.00	0.41	88.0	0.36
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
02/12/2018	***-**-949I - ACEE, JOSPEH	MFS INFLATION ADJUSTED BOND CL B	0.00	0.49	0.00	0.49	88.0	0.43
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
02/12/2018	***-**-31IO - HARTZ, CLAUDIA	MFS INFLATION ADJUSTED BOND CL B	0.00	0.48	0.00	0.48	88.0	0.42
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
02/12/2018	***-**-15IN - PENDRAK, JOHN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.86	0.00	0.86	88.0	0.76
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
02/12/2018	***-**-960J - MASTRANGELO,	MFS INFLATION ADJUSTED BOND CL B	0.00	0.29	0.00	0.29	88.0	0.26
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: KURT GRUENEWALD (continued)</u></b>								
02/12/2018	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.36	0.00	0.36	88.0	0.32
02/12/2018	***-**-761I - EVANS, DOLORES ACCOUNT #: LSR011024	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	1.23	0.00	1.23	88.0	1.08
02/12/2018	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.32	0.00	0.32	88.0	0.28
02/12/2018	***-**-580X - COBB, JAMES ACCOUNT #: LSR011040	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.61	0.00	0.61	88.0	0.54
02/12/2018	***-**-111J - NESSIA, JAMES ACCOUNT #: LSR011054	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	88.0	0.30
02/12/2018	***-**-326J - GRANDE, MR ACCOUNT #: LSR011089	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.63	0.00	0.63	88.0	0.55
02/12/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.46	0.00	0.46	88.0	0.40
02/12/2018	***-**-76IO - MCALLISTER, FBO ACCOUNT #: LSR011107	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.35	0.00	0.35	88.0	0.31
02/12/2018	***-**-506J - CURRIERE JR, MR ACCOUNT #: LSR011121	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.18	0.00	0.18	88.0	0.16
02/12/2018	***-**-48IO - ANACKER, FBO MRS ACCOUNT #: LSR011164	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.84	0.00	0.84	88.0	0.74
02/12/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.63	0.00	0.63	88.0	0.55
02/12/2018	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.50	0.00	0.50	88.0	0.44
02/12/2018	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.25	0.00	0.25	88.0	0.22
02/12/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	MFS INFLATION ADJUSTED BOND CL C BUY 1 Units @0.0000	0.00	9.89	0.00	9.89	88.0	8.70
02/12/2018	***-**-031O - MCVOY, ROBERT ACCOUNT #: LSR010916	MFS INFLATION ADJUSTED BOND CL C BUY 1 Units @0.0000	0.00	2.80	0.00	2.80	88.0	2.46
02/12/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	MFS INFLATION ADJUSTED BOND CL C BUY 1 Units @0.0000	0.00	0.24	0.00	0.24	88.0	0.21



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> BROKER: KURT GRUENEWALD (continued) </b>								
02/12/2018	***-**-861I - SANGER, LAWRENCE	MFS INFLATION ADJUSTED BOND FUND-A	0.00	0.22	0.00	0.22	88.0	0.19
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
02/12/2018	***-**-065I - LAZIO, THOMAS	MFS INTERNATIONAL NEW DISCOVERY CL	0.00	6.05	0.00	6.05	88.0	5.32
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
02/12/2018	***-**-254I - AUGUSTINE, LINDA	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.47	0.00	1.47	88.0	1.29
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
02/12/2018	***-**-930I - SANGER, KEVIN	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.89	0.00	0.89	88.0	0.78
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
02/12/2018	***-**-959I - FRANZ, PAUL	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.00	0.00	2.00	88.0	1.76
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
02/12/2018	***-**-960J - MASTRANGELO,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.42	0.00	1.42	88.0	1.25
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
02/12/2018	***-**-761I - EVANS, DOLORES	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	5.52	0.00	5.52	88.0	4.86
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
02/12/2018	***-**-944O - MAYO, DEBORAH	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.69	0.00	0.69	88.0	0.61
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
02/12/2018	***-**-861I - SANGER, LAWRENCE	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.57	0.00	0.57	88.0	0.50
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
02/12/2018	***-**-13IN - RHYMESTINE,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.12	0.00	1.12	88.0	0.99
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
02/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.89	0.00	2.89	88.0	2.54
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
02/12/2018	***-**-03IO - MASTRANGELO,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.35	0.00	2.35	88.0	2.07
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
02/12/2018	***-**-861I - SANGER, LAWRENCE	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.28	0.00	0.28	88.0	0.25
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
02/12/2018	***-**-51IO - CAIN, TIMOTHY	MFS INTERNATIONAL VALUE FUND-B	0.00	0.98	0.00	0.98	88.0	0.86
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
02/12/2018	***-**-861I - SANGER, LAWRENCE	MFS LIFETIME INCOME CLASS A	0.00	0.45	0.00	0.45	88.0	0.40
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
02/12/2018	***-**-861I - SANGER, LAWRENCE	MFS LIFETIME INCOME CLASS B	0.00	0.93	0.00	0.93	88.0	0.82
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						





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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: KURT GRUENEWALD (continued) </u></b>								
02/12/2018	***-**-959J - FRANZ, PAUL ACCOUNT #: LSR010958	MFS MODERATE ALLOCATION CL C BUY 1 Units @0.0000	0.00	14.66	0.00	14.66	88.0	12.90
02/12/2018	***-**-86IN - LEE, KEVIN ACCOUNT #: LSR011613	MFS MODERATE ALLOCATION CL C BUY 1 Units @0.0000	0.00	25.22	0.00	25.22	88.0	22.19
02/12/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS NEW DISCOVERY FUND-B BUY 1 Units @0.0000	0.00	2.37	0.00	2.37	88.0	2.09
02/12/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS NEW DISCOVERY FUND-B BUY 1 Units @0.0000	0.00	1.43	0.00	1.43	88.0	1.26
02/12/2018	***-**-978I - GUNN, KATHLEEN ACCOUNT #: LSR011345	MFS NEW DISCOVERY FUND-B BUY 1 Units @0.0000	0.00	0.61	0.00	0.61	88.0	0.54
02/12/2018	***-**-197I - GAMBINO, ANDREW ACCOUNT #: LSR011465	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.28	0.00	0.28	88.0	0.25
02/12/2018	***-**-53IN - EVANS, ANDREW ACCOUNT #: LSR011483	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.48	0.00	0.48	88.0	0.42
02/12/2018	***-**-24IN - BLISS, RYAN ACCOUNT #: LSR011502	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	88.0	0.30
02/12/2018	***-**-38IO - BROWN, TYLER ACCOUNT #: LSR011602	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.77	0.00	0.77	88.0	0.68
02/12/2018	***-**-36IR - SANGER, BETH ACCOUNT #: LSR011757	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.04	0.00	0.04	88.0	0.04
02/12/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	MFS NEW DISCOVERY VALUE FUND CL C BUY 1 Units @0.0000	0.00	10.49	0.00	10.49	88.0	9.23
02/12/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	MFS NEW DISCOVERY VALUE FUND CL C BUY 1 Units @0.0000	0.00	0.76	0.00	0.76	88.0	0.67
02/12/2018	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	MFS NEW YORK MUNICIPAL BOND FUND-B BUY 1 Units @0.0000	0.00	1.65	0.00	1.65	88.0	1.45
02/12/2018	***-**-111J - NESSIA, JAMES ACCOUNT #: LSR011054	MFS NEW YORK MUNICIPAL BOND FUND-B BUY 1 Units @0.0000	0.00	0.51	0.00	0.51	88.0	0.45
02/12/2018	***-**-254I - AUGUSTINE, LINDA ACCOUNT #: LSR010846	MFS RESEARCH BOND FUND-B BUY 1 Units @0.0000	0.00	0.59	0.00	0.59	88.0	0.52
02/12/2018	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	MFS RESEARCH BOND FUND-B BUY 1 Units @0.0000	0.00	0.85	0.00	0.85	88.0	0.75



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: KURT GRUENEWALD (continued)</u></b>								
02/12/2018	***-**-51IO - CAIN, TIMOTHY	MFS RESEARCH BOND FUND-B	0.00	1.36	0.00	1.36	88.0	1.20
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
02/12/2018	***-**-761I - EVANS, DOLORES	MFS RESEARCH BOND FUND-B	0.00	1.36	0.00	1.36	88.0	1.20
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
02/12/2018	***-**-111J - NESSIA, JAMES	MFS RESEARCH BOND FUND-B	0.00	0.38	0.00	0.38	88.0	0.33
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
02/12/2018	***-**-861I - SANGER, LAWRENCE	MFS RESEARCH BOND FUND-B	0.00	0.40	0.00	0.40	88.0	0.35
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
02/12/2018	***-**-76IO - MCALLISTER, FBO	MFS RESEARCH BOND FUND-B	0.00	0.54	0.00	0.54	88.0	0.48
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
02/12/2018	***-**-367J - WAGNER, MRS LANT	MFS RESEARCH BOND FUND-B	0.00	0.50	0.00	0.50	88.0	0.44
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
02/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS RESEARCH BOND FUND-B	0.00	0.67	0.00	0.67	88.0	0.59
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
02/12/2018	***-**-02IN - BUSHEY, MELISSA	MFS RESEARCH BOND FUND-B	0.00	0.22	0.00	0.22	88.0	0.19
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
02/12/2018	***-**-62IN - EATON, DAVID	MFS RESEARCH BOND FUND-B	0.00	0.59	0.00	0.59	88.0	0.52
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
02/12/2018	***-**-326J - GRANDE, MR	MFS STRATEGIC INCOME FUND-B	0.00	1.15	0.00	1.15	88.0	1.01
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
02/12/2018	***-**-382I - SATTERLY, NEIL	MFS TECHNOLOGY CLASS C	0.00	8.85	0.00	8.85	88.0	7.79
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
02/12/2018	***-**-48IO - CLARK, PATRICK	MFS TECHNOLOGY CLASS C	0.00	7.57	0.00	7.57	88.0	6.66
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
02/12/2018	***-**-031O - MCVOY, ROBERT	MFS TECHNOLOGY CLASS C	0.00	4.92	0.00	4.92	88.0	4.33
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
02/12/2018	***-**-930I - SANGER, KEVIN	MFS TECHNOLOGY CLASS C	0.00	9.30	0.00	9.30	88.0	8.18
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
02/12/2018	***-**-065I - LAZIO, THOMAS	MFS TECHNOLOGY CLASS C	0.00	6.04	0.00	6.04	88.0	5.32
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
02/12/2018	***-**-00IO - LEE, GARY	MFS TECHNOLOGY CLASS C	0.00	12.02	0.00	12.02	88.0	10.58
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## February 12, 2018

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BROKER #: A76  
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: KURT GRUENEWALD (continued)</u></b>								
02/12/2018	***-**-44IO - MAYO, DEBORAH	MFS TECHNOLOGY CLASS C	0.00	0.56	0.00	0.56	88.0	0.49
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
02/12/2018	***-**-09IO - BATES, FBO MRS	MFS TECHNOLOGY CLASS C	0.00	11.86	0.00	11.86	88.0	10.44
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
02/12/2018	***-**-89IN - LEE, ROGER	MFS TECHNOLOGY CLASS C	0.00	6.42	0.00	6.42	88.0	5.65
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
02/12/2018	***-**-094I - KATRENA L	MFS TECHNOLOGY CLASS C	0.00	6.67	0.00	6.67	88.0	5.87
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
02/12/2018	***-**-06IR - CAMPBELL, PAMELA	MFS TECHNOLOGY CLASS C	0.00	8.33	0.00	8.33	88.0	7.33
	ACCOUNT #: LSR011222	BUY 1 Units @0.0000						
02/12/2018	***-**-11IO - LYNN G.	MFS TECHNOLOGY CLASS C	0.00	6.88	0.00	6.88	88.0	6.05
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
02/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS TECHNOLOGY CLASS C	0.00	8.29	0.00	8.29	88.0	7.30
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
02/12/2018	***-**-37IO - MCCLUSKY, MARK	MFS TECHNOLOGY CLASS C	0.00	2.62	0.00	2.62	88.0	2.31
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
02/12/2018	***-**-86IN - LEE, KEVIN	MFS TECHNOLOGY CLASS C	0.00	10.43	0.00	10.43	88.0	9.18
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
02/12/2018	***-**-633J -	MFS TECHNOLOGY CLASS C	0.00	3.08	0.00	3.08	88.0	2.71
	ACCOUNT #: LSR011732	BUY 1 Units @0.0000						
02/12/2018	***-**-99IN - EDELMAN, TINA	MFS TECHNOLOGY CLASS C	0.00	0.10	0.00	0.10	88.0	0.09
	ACCOUNT #: LSR011956	BUY 1 Units @0.0000						
02/12/2018	***-**-28IN - BYWATER, KIM	MFS TECHNOLOGY CLASS C	0.00	0.19	0.00	0.19	88.0	0.17
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
02/12/2018	***-**-944O - MAYO, DEBORAH	MFS TECHNOLOGY FUND CLASS A	0.00	1.27	0.00	1.27	88.0	1.12
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
02/12/2018	***-**-808J - GORMAN, RANDY	MFS TECHNOLOGY FUND CLASS A	0.00	0.24	0.00	0.24	88.0	0.21
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
02/12/2018	***-**-31IO - HARTZ, CLAUDIA	MFS TECHNOLOGY FUND-B	0.00	0.91	0.00	0.91	88.0	0.80
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
02/12/2018	***-**-15IN - PENDRAK, JOHN	MFS TECHNOLOGY FUND-B	0.00	2.36	0.00	2.36	88.0	2.08
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: KURT GRUENEWALD (continued)</u></b>								
02/12/2018	***-***-959I - FRANZ, PAUL ACCOUNT #: LSR010959	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.96	0.00	1.96	88.0	1.72
02/12/2018	***-***-169I - EVANS, PAUL ACCOUNT #: LSR011026	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.54	0.00	1.54	88.0	1.36
02/12/2018	***-***-580X - COBB, JAMES ACCOUNT #: LSR011040	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.30	0.00	1.30	88.0	1.14
02/12/2018	***-***-579J - RHYMESTINE, ACCOUNT #: LSR011081	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.01	0.00	1.01	88.0	0.89
02/12/2018	***-***-579I - RHYMESTINE, ACCOUNT #: LSR011082	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.37	0.00	1.37	88.0	1.21
02/12/2018	***-***-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.72	0.00	1.72	88.0	1.51
02/12/2018	***-***-992J - CORNACCHIA ACCOUNT #: LSR011157	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	0.44	0.00	0.44	88.0	0.39
02/12/2018	***-***-48IO - ANACKER, FBO MRS ACCOUNT #: LSR011164	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.35	0.00	1.35	88.0	1.19
02/12/2018	***-***-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.78	0.00	1.78	88.0	1.57
02/12/2018	***-***-664J - PFAFF, GEORGE ACCOUNT #: LSR011184	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.89	0.00	1.89	88.0	1.66
02/12/2018	***-***-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.03	0.00	1.03	88.0	0.91
02/12/2018	***-***-52IO - EUSEPI, SUSAN ACCOUNT #: LSR011219	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.36	0.00	1.36	88.0	1.20
02/12/2018	***-***-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	88.0	0.90
02/12/2018	***-***-37IR - HARTZ, RENEE ACCOUNT #: LSR011259	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.34	0.00	1.34	88.0	1.18
02/12/2018	***-***-75IR - EVANS, DIANE ACCOUNT #: LSR011275	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.46	0.00	1.46	88.0	1.28
02/12/2018	***-***-653I - KOCHEM, CLINTON ACCOUNT #: LSR011300	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	3.32	0.00	3.32	88.0	2.92



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<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: KURT GRUENEWALD (continued)</u> </b>								
02/12/2018	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.54	0.00	1.54	88.0	1.36
02/12/2018	***-**-978I - GUNN, KATHLEEN ACCOUNT #: LSR011345	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	0.89	0.00	0.89	88.0	0.78
02/12/2018	***-**-197I - GAMBINO, ANDREW ACCOUNT #: LSR011465	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	0.48	0.00	0.48	88.0	0.42
02/12/2018	***-**-53IN - EVANS, ANDREW ACCOUNT #: LSR011483	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	0.66	0.00	0.66	88.0	0.58
02/12/2018	***-**-38IO - BROWN, TYLER ACCOUNT #: LSR011602	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.01	0.00	1.01	88.0	0.89
02/12/2018	***-**-03IO - MASTRANGELO, ACCOUNT #: LSR011679	MFS TECHNOLOGY FUND-B BUY 1 Units @0.0000	0.00	1.88	0.00	1.88	88.0	1.65
02/12/2018	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	MFS TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.67	0.00	1.67	88.0	1.47
02/12/2018	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	MFS TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.94	0.00	1.94	88.0	1.71
02/12/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.96	0.00	1.96	88.0	1.72
02/12/2018	***-**-506J - CURRIERE JR, MR ACCOUNT #: LSR011121	MFS TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	88.0	0.90
02/12/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.93	0.00	2.93	88.0	2.58
02/12/2018	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	MFS TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.91	0.00	0.91	88.0	0.80
02/12/2018	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS UTILITIES FUND-A BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	88.0	0.77
02/12/2018	***-**-808J - GORMAN, RANDY ACCOUNT #: LSR011087	MFS UTILITIES FUND-A BUY 1 Units @0.0000	0.00	0.58	0.00	0.58	88.0	0.51
02/12/2018	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	1.37	0.00	1.37	88.0	1.21
02/12/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	1.89	0.00	1.89	88.0	1.66



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> BROKER: KURT GRUENEWALD (continued)</b>								
02/12/2018	***-**-366S - GRUENEWALD, ACCOUNT #: LSR010990	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	0.74	0.00	0.74	88.0	0.65
02/12/2018	***-**-61IN - EVANS, DOLORES ACCOUNT #: LSR011023	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	0.29	0.00	0.29	88.0	0.26
02/12/2018	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	1.71	0.00	1.71	88.0	1.50
02/12/2018	***-**-306X - COBB, CHERRYL ACCOUNT #: LSR011037	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	2.01	0.00	2.01	88.0	1.77
02/12/2018	***-**-96IN - NESSIA, BONNIE ACCOUNT #: LSR011055	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	0.56	0.00	0.56	88.0	0.49
02/12/2018	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	2.09	0.00	2.09	88.0	1.84
02/12/2018	***-**-326J - GRANDE, MR ACCOUNT #: LSR011089	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	0.69	0.00	0.69	88.0	0.61
02/12/2018	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	0.99	0.00	0.99	88.0	0.87
02/12/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	88.0	0.77
02/12/2018	***-**-76IO - MCALLISTER, FBO ACCOUNT #: LSR011107	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	0.81	0.00	0.81	88.0	0.71
02/12/2018	***-**-367J - WAGNER, MRS LANT ACCOUNT #: LSR011134	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	1.68	0.00	1.68	88.0	1.48
02/12/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	1.36	0.00	1.36	88.0	1.20
02/12/2018	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	1.71	0.00	1.71	88.0	1.50
02/12/2018	***-**-653I - KOCHER, CLINTON ACCOUNT #: LSR011300	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	1.44	0.00	1.44	88.0	1.27
02/12/2018	***-**-62IN - EATON, DAVID ACCOUNT #: LSR011315	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	1.35	0.00	1.35	88.0	1.19
02/12/2018	***-**-978I - GUNN, KATHLEEN ACCOUNT #: LSR011345	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	0.41	0.00	0.41	88.0	0.36



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<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> BROKER: KURT GRUENEWALD (continued) </b>								
02/12/2018	***-**-21IO - RADELL, SANDRA	MFS UTILITIES FUND-B	0.00	1.66	0.00	1.66	88.0	1.46
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
02/12/2018	***-**-41IR - DINITTO, ALLISYN	MFS UTILITIES FUND-B	0.00	0.47	0.00	0.47	88.0	0.41
	ACCOUNT #: LSR011443	BUY 1 Units @0.0000						
02/12/2018	***-**-197I - GAMBINO, ANDREW	MFS UTILITIES FUND-B	0.00	0.12	0.00	0.12	88.0	0.11
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
02/12/2018	***-**-53IN - EVANS, ANDREW	MFS UTILITIES FUND-B	0.00	0.33	0.00	0.33	88.0	0.29
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
02/12/2018	***-**-24IN - BLISS, RYAN	MFS UTILITIES FUND-B	0.00	0.12	0.00	0.12	88.0	0.11
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
02/12/2018	***-**-51IN - GERACE, JOANNE	MFS UTILITIES FUND-B	0.00	1.55	0.00	1.55	88.0	1.36
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						
02/12/2018	***-**-38IO - BROWN, TYLER	MFS UTILITIES FUND-B	0.00	0.59	0.00	0.59	88.0	0.52
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
02/12/2018	***-**-235I - AUGUSTINE III,	MFS UTILITIES FUND-C	0.00	9.15	0.00	9.15	88.0	8.05
	ACCOUNT #: LSR010845	BUY 1 Units @0.0000						
02/12/2018	***-**-980I - ACEE, ELIZABETH	MFS UTILITIES FUND-C	0.00	10.91	0.00	10.91	88.0	9.60
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
02/12/2018	***-**-48IO - CLARK, PATRICK	MFS UTILITIES FUND-C	0.00	9.22	0.00	9.22	88.0	8.11
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
02/12/2018	***-**-031O - MCVOY, ROBERT	MFS UTILITIES FUND-C	0.00	4.65	0.00	4.65	88.0	4.09
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
02/12/2018	***-**-065I - LAZIO, THOMAS	MFS UTILITIES FUND-C	0.00	17.87	0.00	17.87	88.0	15.73
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
02/12/2018	***-**-00IO - LEE, GARY	MFS UTILITIES FUND-C	0.00	10.83	0.00	10.83	88.0	9.53
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
02/12/2018	***-**-09IO - BATES, FBO MRS	MFS UTILITIES FUND-C	0.00	11.37	0.00	11.37	88.0	10.01
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
02/12/2018	***-**-89IN - LEE, ROGER	MFS UTILITIES FUND-C	0.00	6.84	0.00	6.84	88.0	6.02
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
02/12/2018	***-**-81IR - CAMPBELL,	MFS UTILITIES FUND-C	0.00	7.08	0.00	7.08	88.0	6.23
	ACCOUNT #: LSR011223	BUY 1 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> BROKER: KURT GRUENEWALD (continued)</b>								
02/12/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	9.83	0.00	9.83	88.0	8.65
02/12/2018	***-**-37IR - HARTZ, RENEE ACCOUNT #: LSR011259	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	0.04	0.00	0.04	88.0	0.04
02/12/2018	***-**-80IO - EVANS, RICHARD ACCOUNT #: LSR011276	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	7.55	0.00	7.55	88.0	6.64
02/12/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	9.82	0.00	9.82	88.0	8.64
02/12/2018	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	8.76	0.00	8.76	88.0	7.71
02/12/2018	***-**-14IO - BUSHEY, MATTHEW ACCOUNT #: LSR011498	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	9.63	0.00	9.63	88.0	8.47
02/12/2018	***-**-13IN - ROTH, KAREN ACCOUNT #: LSR011954	MFS VALUE FUND-B BUY 1 Units @0.0000	0.00	1.09	0.00	1.09	88.0	0.96
02/12/2018	***-**-07IO - FAMOLARO, JAMES ACCOUNT #: LSR011280	PRUDENTIAL JENNISON 20/20 FOCUS CLA BUY 1 Units @0.0000	0.00	3.33	0.00	3.33	88.0	2.93
02/12/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	PRUDENTIAL JENNISON NATURAL RESOURC BUY 1 Units @0.0000	0.00	2.65	0.00	2.65	88.0	2.33
02/12/2018	***-**-366S - GRUENEWALD, ACCOUNT #: LSR010990	PRUDENTIAL JENNISON NATURAL RESOURC BUY 1 Units @0.0000	0.00	0.35	0.00	0.35	88.0	0.31
02/12/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	PRUDENTIAL JENNISON NATURAL RESOURC BUY 1 Units @0.0000	0.00	0.59	0.00	0.59	88.0	0.52
02/12/2018	***-**-128I - BASHANT, THOMAS ACCOUNT #: LSR011387	PRUDENTIAL JENNISON NATURAL RESOURC BUY 1 Units @0.0000	0.00	0.36	0.00	0.36	88.0	0.32
02/12/2018	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	PRUDENTIAL JENNISON NATURAL RESOURC BUY 1 Units @0.0000	0.00	0.57	0.00	0.57	88.0	0.50
02/12/2018	***-**-254I - AUGUSTINE, LINDA ACCOUNT #: LSR010846	PRUDENTIAL JENNISON NATURAL RESOURC BUY 1 Units @0.0000	0.00	0.52	0.00	0.52	88.0	0.46
02/12/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	PRUDENTIAL JENNISON NATURAL RESOURC BUY 1 Units @0.0000	0.00	0.06	0.00	0.06	88.0	0.05
02/12/2018	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	PRUDENTIAL JENNISON NATURAL RESOURC BUY 1 Units @0.0000	0.00	0.45	0.00	0.45	88.0	0.40





# COMMISSION STATEMENT

February 12, 2018

DAVID GRIFFITH  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A76  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: KURT GRUENEWALD (continued)</u></b>								
01/22/2018	***--22IO - CLINTON, STEPHEN TERRA INCOME FUND 6, INC.		9,999.99	400.00	0.00	400.00	88.0	352.00
	BUY 917 Units @10.9000							
		* KURT GRUENEWALD SUBTOTAL *	<u>\$43,385.00</u>	<u>\$2,989.58</u>	<u>\$0.00</u>	<u>\$2,989.58</u>		<u>\$2,630.86</u>
		*** OVERRIDE TRANSACTIONS TOTAL ***	<u>\$43,385.00</u>	<u>\$2,989.58</u>	<u>\$0.00</u>	<u>\$2,989.58</u>		<u>\$2,630.86</u>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$1,687.01
Split Transactions	\$0.00
Override Transactions	\$2,630.86
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	<b>\$4,317.87</b>
Year-to-date Earnings	<b>\$1,687.01</b>