01/25/2018 Page No. 1

COMMISSION STATEMENT

January 25, 2018

SYLVIA LUM

3200 N. Central Ave. 200 Phoenix, AZ 85012

BROKER #: 74013

BRANCH: 04-#70A11 HOME OFFIC

DATE CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
Company: Securities Am	<u>erica</u>					
BROKER TRANSACTIONS						
PRODUCT CATEGORY: TRAILERS						
01/25/2018 LUM (74013), SYLVIA	SAI.TRAILS	0.00	6,250.00	0.00	6,250.00	3,562.50
	* TRAILERS SUBTOTAL *	\$0.00	\$6,250.00	\$0.00	\$6,250.00	\$3,562.50
	*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$6,250.00	\$0.00	\$6,250.00	\$3,562.50
				BROKER COMMISSION		N TOTALS
				Broker Transactions		\$3,562.50
				Split Transactions		\$0.00
				Override Transactions		\$0.00
				Adjustments		\$0.00
				Prior Period Balance NASD Assessment SIPC Assessment Check Amount		\$0.00
						\$0.00
						\$0.00
						\$3,562.50
				Year-to-date	\$3,562.50	