

# STATEMENT

## January 20, 2018

STEVE GULA  
17 Galahad Dr  
Suite 100  
Manalapan, NJ 07726-2613

BROKER #: 182  
BRANCH: LA BRANCH

### COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
11/13/2017	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
11/13/2017	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
11/13/2017	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
11/13/2017	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
11/13/2017	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
11/13/2017	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
11/13/2017	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
11/13/2017	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
11/13/2017	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
11/13/2017	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
11/13/2017	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
11/13/2017	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
11/15/2017	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
11/15/2017	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
11/15/2017	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
11/15/2017	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.42
11/15/2017	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
11/15/2017	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
11/20/2017	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
11/20/2017	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
11/20/2017	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
11/20/2017	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
11/20/2017	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
11/20/2017	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
11/20/2017	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
11/20/2017	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
11/20/2017	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
11/20/2017	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/20/2017	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
11/20/2017	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
11/21/2017	#00087510606 OLARTENEID	AMCAP FUND INC CLASS A	251.41	5.03	93.0	0.00	4.68	0.00	4.68
11/21/2017	#00086832263 BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
11/21/2017	#00086832260 MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
11/21/2017	#00086832265 MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
11/21/2017	#00084744740 ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
11/21/2017	#00084721881 BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-A	330.11	6.60	93.0	0.00	6.14	0.00	6.14
11/21/2017	#00084744740 ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
11/21/2017	#00087510595 HANSENCYNT	INVESTMENT CO AMER CLASS A	183.71	3.67	93.0	0.00	3.41	0.00	3.41
11/21/2017	#00087510609 CHRISTOGEN	INVESTMENT CO AMER CLASS A	216.14	4.32	93.0	0.00	4.02	0.00	4.02
11/21/2017	#00084744740 ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
11/21/2017	#00084721874 BOSTICK, ELENA	U.S. GOVERNMENT SECURITIES FUND-C	329.17	3.29	93.0	0.00	3.06	0.00	3.06
11/27/2017	#00086957342 O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	0.00	2.98
11/27/2017	#00086957363 WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
11/27/2017	#00086957286 DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	120.00	1.92	93.0	0.00	1.79	0.00	1.79
11/27/2017	#00086957349 SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.00	0.74
11/27/2017	#00086957317 BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
11/27/2017	#00086957305 CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	11.67	0.19	93.0	0.00	0.18	0.00	0.18
11/27/2017	#00086957312 CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.00	0.43
11/27/2017	#00086957363 WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
11/27/2017	#00087729341 ALFONZONEL	BOND FUND OF AMERICA INC CL A	6.40	0.10	93.0	0.00	0.09	0.00	0.09
11/27/2017	#00086971602 DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
11/27/2017	#00087729341 ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	6.40	0.10	93.0	0.00	0.09	0.00	0.09
11/27/2017	#00086957305 CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	11.67	0.19	93.0	0.00	0.18	0.00	0.18
11/27/2017	#00086957312 CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.00	0.43
11/27/2017	#00086957363 WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.00	0.39
11/27/2017	#00086971602 DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
11/27/2017	#00087729341 ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	3.20	0.05	93.0	0.00	0.05	0.00	0.05
11/27/2017	#00086957305 CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	11.67	0.19	93.0	0.00	0.18	0.00	0.18
11/27/2017	#00086957312 CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.00	0.43
11/27/2017	#00086957363 WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
11/27/2017	#00087729341 ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	9.60	0.15	93.0	0.00	0.14	0.00	0.14
11/27/2017	#00087729341 ALFONZONEL	GLOBAL BALANCED FUND-A	6.40	0.10	93.0	0.00	0.09	0.00	0.09
11/27/2017	#00086971602 DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
11/27/2017	#00086957363 WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/27/2017	#00086957286 DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	40.00	0.64	93.0	0.00	0.60	0.00	0.60
11/27/2017	#00086957317 BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
11/27/2017	#00086971602 DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
11/27/2017	#00086957363 WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.00	0.44
11/27/2017	#00086971602 DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.00	0.89
11/27/2017	#00086957286 DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	40.00	0.64	93.0	0.00	0.60	0.00	0.60
11/27/2017	#00086957305 CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	11.67	0.19	93.0	0.00	0.18	0.00	0.18
11/27/2017	#00086957312 CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.00	0.43
11/28/2017	#00086832263 BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
11/28/2017	#00086832265 MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
11/28/2017	#00086832260 MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
11/28/2017	#00084744740 ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
11/28/2017	#00084744740 ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
11/28/2017	#00084744740 ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
11/29/2017	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
11/29/2017	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
11/29/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
11/29/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
11/29/2017	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
11/29/2017	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
11/29/2017	#01471830404 JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
11/29/2017	#01471830404 JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
11/29/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
11/29/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
11/29/2017	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
11/29/2017	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
12/05/2017	#00086832263 BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
12/05/2017	#00086832265 MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
12/05/2017	#00086832260 MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
12/05/2017	#00084744740 ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
12/05/2017	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
12/05/2017	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
12/05/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
12/05/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
12/05/2017	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
12/05/2017	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/05/2017	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
12/05/2017	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
12/05/2017	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
12/05/2017	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
12/05/2017	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
12/05/2017	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
12/05/2017	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
12/05/2017	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
12/07/2017	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	2.98
12/07/2017	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
12/07/2017	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	120.00	1.92	93.0	0.00	1.79	1.79
12/07/2017	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.74
12/07/2017	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	2.86
12/07/2017	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	12.27	0.20	93.0	0.00	0.19	0.19
12/07/2017	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
12/07/2017	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
12/07/2017	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	6.08	0.10	93.0	0.00	0.09	0.09
12/07/2017	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.89
12/07/2017	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	6.08	0.10	93.0	0.00	0.09	0.09
12/07/2017	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	12.27	0.20	93.0	0.00	0.19	0.19
12/07/2017	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.43
12/07/2017	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.39
12/07/2017	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
12/07/2017	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	3.04	0.05	93.0	0.00	0.05	0.05
12/07/2017	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	12.27	0.20	93.0	0.00	0.19	0.19
12/07/2017	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
12/07/2017	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
12/07/2017	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	9.12	0.15	93.0	0.00	0.14	0.14
12/07/2017	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	6.08	0.10	93.0	0.00	0.09	0.09
12/07/2017	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
12/07/2017	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
12/07/2017	#00086957286	DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	40.00	0.64	93.0	0.00	0.60	0.60
12/07/2017	#00086957317	BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	2.86
12/07/2017	#00086971602	DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
12/07/2017	#00086957363	WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.44
12/07/2017	#00086971602	DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.89

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/07/2017	#00086957286 DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	40.00	0.64	93.0	0.00	0.60	0.00	0.60
12/07/2017	#00086957305 CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	12.27	0.20	93.0	0.00	0.19	0.00	0.19
12/07/2017	#00086957312 CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.00	0.43
12/11/2017	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
12/11/2017	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
12/11/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
12/11/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
12/11/2017	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
12/11/2017	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
12/11/2017	#01471830404 JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
12/11/2017	#01471830404 JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
12/11/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
12/11/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
12/11/2017	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
12/11/2017	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
12/12/2017	#00086832263 BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
12/12/2017	#00086832260 MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
12/12/2017	#00086832265 MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
12/12/2017	#00084744740 ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
12/12/2017	#00084744740 ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
12/12/2017	#00084744740 ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
12/18/2017	#00087510606 OLARTENEID	AMCAP FUND INC CLASS A	251.41	5.03	93.0	0.00	4.68	0.00	4.68
12/18/2017	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
12/18/2017	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
12/18/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
12/18/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
12/18/2017	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
12/18/2017	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
12/18/2017	#01471830404 JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
12/18/2017	#01471830404 JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
12/18/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
12/18/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
12/18/2017	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
12/18/2017	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
12/18/2017	#00084721881 BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-A	330.11	6.60	93.0	0.00	6.14	0.00	6.14
12/18/2017	#00087510595 HANSENCYNT	INVESTMENT CO AMER CLASS A	183.71	3.67	93.0	0.00	3.41	0.00	3.41

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
12/18/2017	#00087510609	CHRISTOGEN	INVESTMENT CO AMER CLASS A	216.14	4.32	93.0	0.00	4.02	4.02
12/18/2017	#00084721874	BOSTICK, ELENA	U.S. GOVERNMENT SECURITIES FUND-C	329.17	3.29	93.0	0.00	3.06	3.06
12/19/2017	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
12/19/2017	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
12/19/2017	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
12/19/2017	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.42
12/19/2017	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
12/19/2017	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
12/21/2017	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	2.98
12/21/2017	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
12/21/2017	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	120.00	1.92	93.0	0.00	1.79	1.79
12/21/2017	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.74
12/21/2017	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	2.86
12/21/2017	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	11.34	0.18	93.0	0.00	0.17	0.17
12/21/2017	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
12/21/2017	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
12/21/2017	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	3.36	0.05	93.0	0.00	0.05	0.05
12/21/2017	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.89
12/21/2017	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	3.36	0.05	93.0	0.00	0.05	0.05
12/21/2017	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	11.34	0.18	93.0	0.00	0.17	0.17
12/21/2017	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.43
12/21/2017	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.39
12/21/2017	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
12/21/2017	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	1.68	0.03	93.0	0.00	0.03	0.03
12/21/2017	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	11.34	0.18	93.0	0.00	0.17	0.17
12/21/2017	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
12/21/2017	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
12/21/2017	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	5.04	0.08	93.0	0.00	0.07	0.07
12/21/2017	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	3.36	0.05	93.0	0.00	0.05	0.05
12/21/2017	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
12/21/2017	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
12/21/2017	#00086957286	DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	40.00	0.64	93.0	0.00	0.60	0.60
12/21/2017	#00086957317	BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	2.86
12/21/2017	#00086971602	DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
12/21/2017	#00086957363	WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.44
12/21/2017	#00086971602	DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.89

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
12/21/2017	#00086957286 DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	40.00	0.64	93.0	0.00	0.60	0.00	0.60
12/21/2017	#00086957305 CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	11.34	0.18	93.0	0.00	0.17	0.00	0.17
12/21/2017	#00086957312 CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.00	0.43
12/26/2017	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
12/26/2017	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
12/26/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
12/26/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
12/26/2017	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
12/26/2017	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
12/26/2017	#01471830404 JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
12/26/2017	#01471830404 JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
12/26/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
12/26/2017	#01464755584 ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
12/26/2017	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
12/26/2017	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
12/28/2017	#00086832263 BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
12/28/2017	#00086832265 MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
12/28/2017	#00086832260 MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
12/28/2017	#00084744740 ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
12/28/2017	#00084744740 ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
12/28/2017	#00084744740 ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$10,283.60</b>	<b>\$229.46</b>		<b>\$0.00</b>	<b>\$213.40</b>	<b>\$0.00</b>	<b>\$213.40</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
12/08/2017	# GULA, STEVEN	ANNALY CAPITAL MANAGEMENT INC	3,561.72	59.51	75.0	0.00	44.63	19.95	24.68
12/18/2017	# GHANEY, WILLIAM	FIDELITY MSCI ENERGY INDEX ETF	5,769.72	156.49	75.0	0.00	117.37	19.95	97.42
12/18/2017	# ZUBECK, CHARLOTTE	PROCTER & GAMBLE CO	9,258.24	105.00	75.0	0.00	78.75	19.95	58.80
12/18/2017	# LAPERSONERIE, MICHELLE	VANGUARD SECTOR INDEX FDS VANGUARD	12,038.51	105.00	75.0	0.00	78.75	19.95	58.80
12/21/2017	# GULA, STEVEN	GENERAL ELECTRIC CO	6,990.00	183.91	75.0	0.00	137.93	19.95	117.98
<b>* STOCKS SUBTOTAL *</b>			<b>\$37,618.19</b>	<b>\$609.91</b>		<b>\$0.00</b>	<b>\$457.43</b>	<b>\$99.75</b>	<b>\$357.68</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
12/01/2017	#00087510606 OLARTENEID	AMCAP FUND INC CLASS A	0.00	0.86	93.0	0.00	0.80	0.00	0.80
12/01/2017	#00075837867 RELI, LINDA	AMCAP FUND INC CLASS A	0.00	10.72	93.0	0.00	9.97	0.00	9.97
12/01/2017	#00086957257 GRADY, TINEZ	AMCAP FUND INC CLASS A	0.00	0.02	93.0	0.00	0.02	0.00	0.02
12/01/2017	#00086957327 ENDO-POTTER,	AMCAP FUND INC CLASS A	0.00	1.01	93.0	0.00	0.94	0.00	0.94

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00075715422	O'SHEA, KEVIN	AMCAP FUND INC CLASS A	0.00	8.68	93.0	0.00	8.07	8.07
12/01/2017	#00081212599		AMCAP FUND INC CLASS A	0.00	1.09	93.0	0.00	1.01	1.01
12/01/2017	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	0.00	20.54	93.0	0.00	19.10	19.10
12/01/2017	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	0.00	2.50	93.0	0.00	2.33	2.33
12/01/2017	#00087510609	CHRISTOGEN	AMERICAN BALANCED FUND INC CLASS A	0.00	0.09	93.0	0.00	0.08	0.08
12/01/2017	#00075566801	O'NEILL, KEVIN	AMERICAN BALANCED FUND INC CLASS A	0.00	10.50	93.0	0.00	9.77	9.77
12/01/2017	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	4.16	93.0	0.00	3.87	3.87
12/01/2017	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	1.74	93.0	0.00	1.62	1.62
12/01/2017	#00086957294	SANTIAGO, OLGA	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	0.21	93.0	0.00	0.20	0.20
12/01/2017	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	3.20	93.0	0.00	2.98	2.98
12/01/2017	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	3.18	93.0	0.00	2.96	2.96
12/01/2017	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	6.29	93.0	0.00	5.85	5.85
12/01/2017	#00086957301	FARAH, ANDRE	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	6.95	93.0	0.00	6.46	6.46
12/01/2017	#00086957332	ALVARADO, ROCIO	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	8.23	93.0	0.00	7.65	7.65
12/01/2017	#00086957353	SHIMOMOTO,	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	10.96	93.0	0.00	10.19	10.19
12/01/2017	#00086960344	D'AVANZO,	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	7.98	93.0	0.00	7.42	7.42
12/01/2017	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	13.73	93.0	0.00	12.77	12.77
12/01/2017	#00086832258	ORANVIL,	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	0.02	93.0	0.00	0.02	0.02
12/01/2017	#00086957339	KELLOGG, TARA	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	8.80	93.0	0.00	8.18	8.18
12/01/2017	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	0.00	1.49	93.0	0.00	1.39	1.39
12/01/2017	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	0.00	14.82	93.0	0.00	13.78	13.78
12/01/2017	#00086832258	ORANVIL,	AMERICAN FUNDS MORTGAGE FUND-A	0.00	0.01	93.0	0.00	0.01	0.01
12/01/2017	#00086957257	GRADY, TINEZ	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.03	93.0	0.00	0.03	0.03
12/01/2017	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.86	93.0	0.00	1.73	1.73
12/01/2017	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.64	93.0	0.00	3.39	3.39
12/01/2017	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.56	93.0	0.00	2.38	2.38
12/01/2017	#00086957327	ENDO-POTTER,	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.03	93.0	0.00	0.96	0.96
12/01/2017	#00087510595	HANSENCYNT	BOND FUND OF AMERICA INC CL A	0.00	0.05	93.0	0.00	0.05	0.05
12/01/2017	#00087510609	CHRISTOGEN	BOND FUND OF AMERICA INC CL A	0.00	0.14	93.0	0.00	0.13	0.13
12/01/2017	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	0.00	0.53	93.0	0.00	0.49	0.49
12/01/2017	#00086957327	ENDO-POTTER,	BOND FUND OF AMERICA INC CL A	0.00	1.22	93.0	0.00	1.13	1.13
12/01/2017	#00086971611	COTTER, SEAN	BOND FUND OF AMERICA INC CL A	0.00	10.12	93.0	0.00	9.41	9.41
12/01/2017	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	0.00	6.54	93.0	0.00	6.08	6.08
12/01/2017	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	0.00	0.59	93.0	0.00	0.55	0.55
12/01/2017	#00087747853		CAPITAL INCOME BUILDER FD CL A	0.00	0.16	93.0	0.00	0.15	0.15
12/01/2017	#00088258370		CAPITAL INCOME BUILDER FD CL A	0.00	8.28	93.0	0.00	7.70	7.70



COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00086957257	GRADY, TINEZ	CAPITAL INCOME BUILDER FD CL A	0.00	0.02	93.0	0.00	0.02	0.02
12/01/2017	#00086971578	POWERS,	CAPITAL INCOME BUILDER FD CL A	0.00	1.20	93.0	0.00	1.12	1.12
12/01/2017	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.81	93.0	0.00	1.68	1.68
12/01/2017	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	3.56	93.0	0.00	3.31	3.31
12/01/2017	#00087510606	OLARTENEID	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.69	93.0	0.00	0.64	0.64
12/01/2017	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	0.00	2.20	93.0	0.00	2.05	2.05
12/01/2017	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	0.00	6.94	93.0	0.00	6.45	6.45
12/01/2017	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.32	93.0	0.00	0.30	0.30
12/01/2017	#00086971578	POWERS,	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.29	93.0	0.00	1.20	1.20
12/01/2017	#00075715422	O'SHEA, KEVIN	EURO PACIFIC GROWTH FUND CLASS A	0.00	4.19	93.0	0.00	3.90	3.90
12/01/2017	#00083636074		EURO PACIFIC GROWTH FUND CLASS A	0.00	0.86	93.0	0.00	0.80	0.80
12/01/2017	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.01	93.0	0.00	1.87	1.87
12/01/2017	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.92	93.0	0.00	3.65	3.65
12/01/2017	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.78	93.0	0.00	2.59	2.59
12/01/2017	#00087510595	HANSENCYNT	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.05	93.0	0.00	0.05	0.05
12/01/2017	#00087510606	OLARTENEID	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.52	93.0	0.00	1.41	1.41
12/01/2017	#00087510609	CHRISTOGEN	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.10	93.0	0.00	0.09	0.09
12/01/2017	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.03	93.0	0.00	0.96	0.96
12/01/2017	#00087747853		FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.16	93.0	0.00	0.15	0.15
12/01/2017	#00088258370		FUNDAMENTAL INVESTORS INC CLASS A	0.00	9.99	93.0	0.00	9.29	9.29
12/01/2017	#00086957257	GRADY, TINEZ	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.03	93.0	0.00	0.03	0.03
12/01/2017	#00086960344	D'AVANZO,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.36	93.0	0.00	4.05	4.05
12/01/2017	#00075715422	O'SHEA, KEVIN	FUNDAMENTAL INVESTORS INC CLASS A	0.00	13.01	93.0	0.00	12.10	12.10
12/01/2017	#00083636074		FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.52	93.0	0.00	2.34	2.34
12/01/2017	#00083693171	CIRILLO,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.25	93.0	0.00	1.16	1.16
12/01/2017	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	0.00	0.58	93.0	0.00	0.54	0.54
12/01/2017	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	0.00	7.95	93.0	0.00	7.39	7.39
12/01/2017	#00075566801	O'NEILL, KEVIN	GROWTH FUND AMERICA INC CLASS A	0.00	11.58	93.0	0.00	10.77	10.77
12/01/2017	#00086960344	D'AVANZO,	GROWTH FUND AMERICA INC CLASS A	0.00	2.93	93.0	0.00	2.72	2.72
12/01/2017	#00086971578	POWERS,	GROWTH FUND AMERICA INC CLASS A	0.00	1.46	93.0	0.00	1.36	1.36
12/01/2017	#00086971611	COTTER, SEAN	GROWTH FUND AMERICA INC CLASS A	0.00	16.72	93.0	0.00	15.55	15.55
12/01/2017	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	0.00	2.43	93.0	0.00	2.26	2.26
12/01/2017	#00087510595	HANSENCYNT	INCOME FUND OF AMERICA INC CLASS A	0.00	0.09	93.0	0.00	0.08	0.08
12/01/2017	#00087510606	OLARTENEID	INCOME FUND OF AMERICA INC CLASS A	0.00	1.34	93.0	0.00	1.25	1.25
12/01/2017	#00086957327	ENDO-POTTER,	INCOME FUND OF AMERICA INC CLASS A	0.00	1.43	93.0	0.00	1.33	1.33
12/01/2017	#00084721881	BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	5.72	93.0	0.00	5.32	5.32

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	1.28	93.0	0.00	1.19	1.19
12/01/2017	#00084721881	BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-C	0.00	98.57	93.0	0.00	91.67	91.67
12/01/2017	#00084721881	BILLINGS, MARY	INVESTMENT CO AMER CL C	0.00	76.97	93.0	0.00	71.58	71.58
12/01/2017	#00086957286	DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	0.00	0.59	93.0	0.00	0.55	0.55
12/01/2017	#00086957317	BASILICATO,	INVESTMENT CO AMER CLASS A	0.00	14.21	93.0	0.00	13.22	13.22
12/01/2017	#00086971602	DAVIS, CLARE	INVESTMENT CO AMER CLASS A	0.00	7.53	93.0	0.00	7.00	7.00
12/01/2017	#00087510595	HANSENCYNT	INVESTMENT CO AMER CLASS A	0.00	0.40	93.0	0.00	0.37	0.37
12/01/2017	#00087510606	OLARTENEID	INVESTMENT CO AMER CLASS A	0.00	2.90	93.0	0.00	2.70	2.70
12/01/2017	#00087510609	CHRISTOGEN	INVESTMENT CO AMER CLASS A	0.00	0.85	93.0	0.00	0.79	0.79
12/01/2017	#00075566801	O'NEILL, KEVIN	INVESTMENT CO AMER CLASS A	0.00	13.68	93.0	0.00	12.72	12.72
12/01/2017	#00087747853		INVESTMENT CO AMER CLASS A	0.00	0.16	93.0	0.00	0.15	0.15
12/01/2017	#00088258370		INVESTMENT CO AMER CLASS A	0.00	18.46	93.0	0.00	17.17	17.17
12/01/2017	#00086957257	GRADY, TINEZ	INVESTMENT CO AMER CLASS A	0.00	0.02	93.0	0.00	0.02	0.02
12/01/2017	#00086957294	SANTIAGO, OLGA	INVESTMENT CO AMER CLASS A	0.00	0.02	93.0	0.00	0.02	0.02
12/01/2017	#00086960344	D'AVANZO,	INVESTMENT CO AMER CLASS A	0.00	9.71	93.0	0.00	9.03	9.03
12/01/2017	#00086971578	POWERS,	INVESTMENT CO AMER CLASS A	0.00	1.33	93.0	0.00	1.24	1.24
12/01/2017	#00086971611	COTTER, SEAN	INVESTMENT CO AMER CLASS A	0.00	25.68	93.0	0.00	23.88	23.88
12/01/2017	#00075715422	O'SHEA, KEVIN	INVESTMENT CO AMER CLASS A	0.00	16.68	93.0	0.00	15.51	15.51
12/01/2017	#00081212599		INVESTMENT CO AMER CLASS A	0.00	1.03	93.0	0.00	0.96	0.96
12/01/2017	#00083636074		INVESTMENT CO AMER CLASS A	0.00	2.37	93.0	0.00	2.20	2.20
12/01/2017	#00083693171	CIRILLO,	INVESTMENT CO AMER CLASS A	0.00	2.38	93.0	0.00	2.21	2.21
12/01/2017	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	0.00	44.97	93.0	0.00	41.82	41.82
12/01/2017	#00087510595	HANSENCYNT	NEW PERSPECTIVE FUND INC CL A	0.00	0.05	93.0	0.00	0.05	0.05
12/01/2017	#00087510606	OLARTENEID	NEW PERSPECTIVE FUND INC CL A	0.00	0.37	93.0	0.00	0.34	0.34
12/01/2017	#00087510609	CHRISTOGEN	NEW PERSPECTIVE FUND INC CL A	0.00	0.15	93.0	0.00	0.14	0.14
12/01/2017	#00075566801	O'NEILL, KEVIN	NEW PERSPECTIVE FUND INC CL A	0.00	8.59	93.0	0.00	7.99	7.99
12/01/2017	#00087747853		NEW PERSPECTIVE FUND INC CL A	0.00	0.17	93.0	0.00	0.16	0.16
12/01/2017	#00086957257	GRADY, TINEZ	NEW PERSPECTIVE FUND INC CL A	0.00	0.02	93.0	0.00	0.02	0.02
12/01/2017	#00086960344	D'AVANZO,	NEW PERSPECTIVE FUND INC CL A	0.00	2.80	93.0	0.00	2.60	2.60
12/01/2017	#00086971611	COTTER, SEAN	NEW PERSPECTIVE FUND INC CL A	0.00	9.61	93.0	0.00	8.94	8.94
12/01/2017	#00083693171	CIRILLO,	NEW PERSPECTIVE FUND INC CL A	0.00	1.15	93.0	0.00	1.07	1.07
12/01/2017	#00086957363	WITTER,	NEW WORLD FUND INC NEW	0.00	2.42	93.0	0.00	2.25	2.25
12/01/2017	#00086971602	DAVIS, CLARE	NEW WORLD FUND INC NEW	0.00	6.72	93.0	0.00	6.25	6.25
12/01/2017	#00086971578	POWERS,	NEW WORLD FUND INC NEW	0.00	1.24	93.0	0.00	1.15	1.15
12/01/2017	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	0.00	1.12	93.0	0.00	1.04	1.04
12/01/2017	#00086957286	DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	0.00	0.51	93.0	0.00	0.47	0.47

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00086957257 GRADY, TINEZ	SHORT-TERM BOND FUND OF AMERICA-A	0.00	0.01	93.0	0.00	0.01	0.00	0.01
12/01/2017	#00086957294 SANTIAGO, OLGA	SHORT-TERM BOND FUND OF AMERICA-A	0.00	0.05	93.0	0.00	0.05	0.00	0.05
12/01/2017	#00084744733 SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	0.00	13.75	93.0	0.00	12.79	0.00	12.79
12/01/2017	#00084721874 BOSTICK, ELENA	U.S. GOVERNMENT SECURITIES FUND-C	0.00	384.48	93.0	0.00	357.57	0.00	357.57
12/01/2017	#00086957305 CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.88	93.0	0.00	1.75	0.00	1.75
12/01/2017	#00086957312 CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	0.00	3.74	93.0	0.00	3.48	0.00	3.48
12/11/2017	# VALVO, JOHN	DAIN TRAILER	0.00	4.22	93.0	0.00	3.92	0.00	3.92
12/11/2017	# VALVO, JOHN	DAIN TRAILER	0.00	1.64	93.0	0.00	1.53	0.00	1.53
12/11/2017	# RELI, LINDA	DAIN TRAILER	0.00	0.98	93.0	0.00	0.91	0.00	0.91
12/11/2017	# BOYD, KENNETH	DAIN TRAILER	0.00	9.08	93.0	0.00	8.44	0.00	8.44
12/11/2017	# BOYD, KENNETH	DAIN TRAILER	0.00	16.09	93.0	0.00	14.96	0.00	14.96
12/11/2017	# ROGERS, SAMANTHA	DAIN TRAILER	0.00	0.66	93.0	0.00	0.61	0.00	0.61
12/11/2017	# ROGERS, SAMANTHA	DAIN TRAILER	0.00	1.62	93.0	0.00	1.51	0.00	1.51
12/11/2017	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	2.76	93.0	0.00	2.57	0.00	2.57
12/11/2017	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	9.13	93.0	0.00	8.49	0.00	8.49
12/11/2017	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	0.41	93.0	0.00	0.38	0.00	0.38
12/11/2017	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	2.39	93.0	0.00	2.22	0.00	2.22
12/11/2017	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	3.21	93.0	0.00	2.99	0.00	2.99
12/11/2017	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	11.48	93.0	0.00	10.68	0.00	10.68
12/11/2017	# ROGERS, BARBARA	DAIN TRAILER	0.00	4.92	93.0	0.00	4.58	0.00	4.58
12/11/2017	# ROGERS, BARBARA	DAIN TRAILER	0.00	11.13	93.0	0.00	10.35	0.00	10.35
12/11/2017	# ROGERS, BARBARA	DAIN TRAILER	0.00	14.46	93.0	0.00	13.45	0.00	13.45
12/11/2017	# ROGERS, BARBARA	DAIN TRAILER	0.00	4.80	93.0	0.00	4.46	0.00	4.46
12/11/2017	# ROGERS, BARBARA	DAIN TRAILER	0.00	7.18	93.0	0.00	6.68	0.00	6.68
12/11/2017	# MULLALLY, JOHN	DAIN TRAILER	0.00	2.56	93.0	0.00	2.38	0.00	2.38
12/11/2017	# MULLALLY, JOHN	DAIN TRAILER	0.00	5.05	93.0	0.00	4.70	0.00	4.70
12/11/2017	# MULLALLY, JOHN	DAIN TRAILER	0.00	5.09	93.0	0.00	4.73	0.00	4.73
12/11/2017	# MULLALLY, JOHN	DAIN TRAILER	0.00	7.38	93.0	0.00	6.86	0.00	6.86
12/11/2017	# DURDEN, LEEANNE	DAIN TRAILER	0.00	1.03	93.0	0.00	0.96	0.00	0.96
12/14/2017	# VARIES, CUSTOMER	DAIN TRAILER	0.00	0.47	93.0	0.00	0.44	0.00	0.44
12/14/2017	# VARIES, CUSTOMER	DAIN TRAILER	0.00	1.56	93.0	0.00	1.45	0.00	1.45
12/14/2017	# VARIES, CUSTOMER	DAIN TRAILER	0.00	6.67	93.0	0.00	6.20	0.00	6.20
12/14/2017	# VARIES, CUSTOMER	DAIN TRAILER	0.00	1.53	93.0	0.00	1.42	0.00	1.42
12/14/2017	# VARIES, CUSTOMER	DAIN TRAILER	0.00	2.77	93.0	0.00	2.58	0.00	2.58
12/22/2017	# MULLALLY, JOHN	DAIN TRAILER	0.00	2.51	93.0	0.00	2.33	0.00	2.33
01/08/2018	#01456912318 VARIOUS GULA	FIDELITY ADV HIGH INCOME-CL A	0.00	7.03	93.0	0.00	6.54	0.00	6.54

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/08/2018	#01456912318	VARIOUS GULA	FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	10.70	93.0	0.00	9.95	9.95	
01/08/2018	#01447415207	VARIOUS GULA	FIDELITY ADV DIVIDEND GROWTH FD-CL	0.00	0.47	93.0	0.00	0.44	0.44	
01/08/2018	#01456912318	VARIOUS GULA	FIDELITY ADV EQUITY INCOME-CL A	0.00	7.79	93.0	0.00	7.24	7.24	
01/08/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	0.00	3.81	93.0	0.00	3.54	3.54	
01/08/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	0.00	4.64	93.0	0.00	4.32	4.32	
01/08/2018	#01464755600	VARIOUS GULA	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.43	93.0	0.00	0.40	0.40	
01/08/2018	#01464755626	VARIOUS GULA	FIDELITY ADV FREEDOM 2030-CL A	0.00	1.47	93.0	0.00	1.37	1.37	
01/08/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	0.00	0.48	93.0	0.00	0.45	0.45	
01/08/2018	#01464755691	VARIOUS GULA	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.04	93.0	0.00	0.04	0.04	
01/08/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.19	93.0	0.00	0.18	0.18	
01/08/2018	#01447415207	VARIOUS GULA	FIDELITY ADV GOVERNMENT INCOME-CL A	0.00	1.63	93.0	0.00	1.52	1.52	
01/08/2018	#00618339899	VARIOUS GULA	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.84	93.0	0.00	0.78	0.78	
01/08/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	0.00	5.34	93.0	0.00	4.97	4.97	
01/08/2018	#01464755600	VARIOUS GULA	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.53	93.0	0.00	0.49	0.49	
01/08/2018	#00656045705	VARIOUS GULA	FIDELITY ADV HEALTH CARE-CL A	0.00	1.75	93.0	0.00	1.63	1.63	
01/08/2018	#01447415207	VARIOUS GULA	FIDELITY ADV INVESTMENT GRADE BOND-	0.00	0.59	93.0	0.00	0.55	0.55	
01/08/2018	#00656045705	VARIOUS GULA	FIDELITY ADV LARGE CAP-CL A	0.00	0.91	93.0	0.00	0.85	0.85	
01/08/2018	#00689730083	VARIOUS GULA	FIDELITY ADV LARGE CAP-CL A	0.00	2.26	93.0	0.00	2.10	2.10	
01/08/2018	#00656045705	VARIOUS GULA	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.89	93.0	0.00	0.83	0.83	
01/08/2018	#01447415207	VARIOUS GULA	FIDELITY ADV UTILITIES-CL A	0.00	0.49	93.0	0.00	0.46	0.46	
01/08/2018	#00618339899	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.55	93.0	0.00	0.51	0.51	
01/08/2018	#00656045705	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.13	93.0	0.00	1.05	1.05	
01/08/2018	#00689730083	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.99	93.0	0.00	1.85	1.85	
01/08/2018	#00618339899	VARIOUS GULA	FIDELITY ADVISOR HIGH INCOME ADVANT	0.00	0.21	93.0	0.00	0.20	0.20	
01/08/2018	#00656045705	VARIOUS GULA	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	1.61	93.0	0.00	1.50	1.50	
01/08/2018	#00689730083	VARIOUS GULA	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.52	93.0	0.00	0.48	0.48	
01/08/2018	#01456912318	VARIOUS GULA	FIDELITY ADVISORS BALANCED	0.00	7.10	93.0	0.00	6.60	6.60	
01/08/2018	#01485158974		FREEDOM 2050 FUND	0.00	0.37	93.0	0.00	0.34	0.34	
01/08/2018	#00689730083	VARIOUS GULA	TREASURY FUND ADVISOR-CL C	0.00	1.25	93.0	0.00	1.16	1.16	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,290.98		\$0.00	\$1,200.64	\$0.00	\$1,200.64
*** BROKER TRANSACTIONS TOTAL ***				\$47,901.79	\$2,130.35		\$0.00	\$1,871.47	\$99.75	\$1,771.72

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

01/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
01/20/2018		ADMIN FEE: ADMIN FEE							-320.00
01/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-35.00
		DEBIT BALANCE							
*** ADJUSTMENTS TOTAL ***									\$-534.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,771.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-534.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-435.74
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$801.98
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