

**COMMISSION STATEMENT****January 15, 2018**WILLIAM WALSH
2565 West MapleBROKER #: W127 Terminated 08/17/2017
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
12/14/2017	SENGSTOCK, KATHLEEN	**CALVER SMALL CAP FD	0.00	30.49	0.00	30.49	0.0	0.00
12/14/2017	SENGSTOCK, KATHLEEN	**CALVERT FD	0.00	3.11	0.00	3.11	0.0	0.00
12/11/2017	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	6.66	0.00	6.66	0.0	0.00
12/26/2017	MURPHY, CAROL	ALGER CAPITAL APPRECIATION A	850.00	0.00	0.00	0.00	0.0	0.00
12/11/2017	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.06	0.00	0.06	0.0	0.00
12/11/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.32	0.00	0.32	0.0	0.00
12/11/2017	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.12	0.00	0.12	0.0	0.00
12/11/2017	DARGO, JILL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.35	0.00	0.35	0.0	0.00
12/11/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.40	0.00	16.40	0.0	0.00
12/11/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	36.22	0.00	36.22	0.0	0.00
12/11/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.79	0.00	12.79	0.0	0.00
12/11/2017	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.49	0.00	4.49	0.0	0.00
12/11/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.40	0.00	0.40	0.0	0.00
12/11/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	10.70	0.00	10.70	0.0	0.00
12/11/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.01	0.00	0.01	0.0	0.00
12/11/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.99	0.00	2.99	0.0	0.00
12/11/2017	CHAWLA, SUVIRA	AM FUNDS SMALLCAP WORLD A	0.00	1.45	0.00	1.45	0.0	0.00
12/14/2017	SENGSTOCK, KATHLEEN	CALVERT BOND PORT A	0.00	9.06	0.00	9.06	0.0	0.00
12/11/2017	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.10	0.00	1.10	0.0	0.00
12/11/2017	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	10.55	0.00	10.55	0.0	0.00
12/11/2017	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.33	0.00	1.33	0.0	0.00
12/11/2017	DARGO, JILL	DAVIS NEW YORK VENTURE A	0.00	0.34	0.00	0.34	0.0	0.00
12/11/2017	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.49	0.00	2.49	0.0	0.00
12/11/2017	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	21.51	0.00	21.51	0.0	0.00
12/11/2017	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.88	0.00	1.88	0.0	0.00
12/06/2017	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	7.72	0.00	7.72	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	CHAWLA, SUVIRA	FIRST EAGLE GLOBAL C	0.00	13.68	0.00	13.68	0.0	0.00
12/11/2017	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.10	0.00	1.10	0.0	0.00
12/11/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	4.83	0.00	4.83	0.0	0.00
12/11/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.80	0.00	5.80	0.0	0.00
12/11/2017	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	8.26	0.00	8.26	0.0	0.00
12/11/2017	DARGO, JILL	LORD ABBETT DEVELOPING GROWTH A	0.00	0.14	0.00	0.14	0.0	0.00
12/11/2017	CHAWLA, MAHINDER	LORD ABBETT DEVELOPING GROWTH FUND	0.00	27.65	0.00	27.65	0.0	0.00
12/11/2017	CHAWLA, SUVIRA	LORD ABBETT DEVELOPING GROWTH FUND	0.00	16.92	0.00	16.92	0.0	0.00
12/11/2017	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.14	0.00	1.14	0.0	0.00
12/11/2017	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	15.28	0.00	15.28	0.0	0.00
12/11/2017	DARGO, JILL	THORNBURG INT'L VALUE A	0.00	0.09	0.00	0.09	0.0	0.00
12/11/2017	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	14.34	0.00	14.34	0.0	0.00
12/11/2017	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.52	0.00	0.52	0.0	0.00
12/22/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.24	0.00	0.24	0.0	0.00
12/22/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.52	0.00	0.52	0.0	0.00
12/22/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.25	0.00	1.25	0.0	0.00
12/22/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.24	0.00	0.24	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$850.00	\$294.54	\$0.00	\$294.54		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2017	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	10,466.28	6.54	0.00	6.54	0.0	0.00
12/31/2017	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	10,466.28	6.54	0.00	6.54	0.0	0.00
12/31/2017	ORMISTON, BARBARA	PRU AS APEX II	58,014.03	145.04	0.00	145.04	0.0	0.00
12/31/2017	ORMISTON, BARBARA	PRU AS APEX II	58,014.03	36.26	0.00	36.26	0.0	0.00
12/31/2017	BJEDOV, MARK	PRU AS APEX II	14,064.02	35.16	0.00	35.16	0.0	0.00
12/31/2017	BJEDOV, MARK	PRU AS APEX II	14,064.02	8.79	0.00	8.79	0.0	0.00
12/31/2017	BOMSTA, MERIANNE	PRU AS XTRA CREDIT	54,572.48	34.11	0.00	34.11	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$219,661.14	\$272.44	\$0.00	\$272.44		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$220,511.14	\$566.98	\$0.00	\$566.98		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$566.98
Year-to-date Earnings	\$0.00