



Concorde Investment Services, LLC

06/13/2017  
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# COMMISSION STATEMENT June 15, 2017

G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRELLI/  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: G0B  
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^05/31/2017	RYDER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	75,000.00	750.00	22.00	728.00	100.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$75,000.00	\$750.00	\$22.00	\$728.00		\$0.00
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
^05/31/2017	RYDER, THOMAS	EXCHANGE TRADED CONCEPTS TR YIELDSH	49,022.50	1,250.00	25.75	1,224.25	100.0	0.00
^06/07/2017	HENNEFORTH, PAUL	NEW YORK REIT INC COM	-25,923.90	250.00	26.50	223.50	100.0	0.00
		* STOCKS SUBTOTAL *	\$23,098.60	\$1,500.00	\$52.25	\$1,447.75		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^05/08/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.67	0.00	3.67	100.0	0.00
^05/10/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	13.73	0.00	13.73	100.0	0.00
^05/10/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.58	0.00	5.58	100.0	0.00
^05/10/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.95	0.00	2.95	100.0	0.00
^05/10/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.80	0.00	9.80	100.0	0.00
^05/10/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.49	0.00	2.49	100.0	0.00
^05/10/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	11.28	0.00	11.28	100.0	0.00
^05/10/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.57	0.00	3.57	100.0	0.00
^05/10/2017	BENJAMIN, WAYNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.07	0.00	2.07	100.0	0.00
^05/10/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.48	0.00	8.48	100.0	0.00
^05/10/2017	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	8.95	0.00	8.95	100.0	0.00
^05/10/2017	STARK, LINDA	MAINSTAY MAP A	0.00	1.75	0.00	1.75	100.0	0.00
^05/10/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	1.67	0.00	1.67	100.0	0.00
^05/11/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	8.08	0.00	8.08	100.0	0.00
^05/22/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	10.04	0.00	10.04	100.0	0.00
^05/12/2017	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.31	0.00	0.31	100.0	0.00
^05/12/2017	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.77	0.00	0.77	100.0	0.00
^05/23/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.79	0.00	0.79	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**ADJUSTMENTS**

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$95.98	\$0.00	\$95.98	\$0.00
*** BROKER TRANSACTIONS TOTAL ***	\$98,098.60	\$2,345.98	\$74.25	\$2,271.73	\$0.00

**ADJUSTMENTS**

06/15/2017	*FINRA: FBI FINGERPRINT FEE FULMER	0.00			0.00
06/15/2017	*FINRA: MEMBER ELEC FINGERPRINT FUL	0.00			0.00
06/15/2017	*PERSHING: BOOKS & RECORDS	0.00			0.00
06/15/2017	*PERSHING: ETF PROSPECTUS MAILING	0.00			0.01
06/15/2017	*PERSHING: MARK UPS	0.00			0.00
06/15/2017	*PERSHING: MF PROSPECTUS MAILING	0.00			0.01
06/15/2017	*TECH: ADMIN FULMER	0.00			0.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.02

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.02
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.06
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.08
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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