



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**March 31, 2018**

04/03/2018  
Page No. 1

JACK, M137 MICHALETZ  
150 St. Andrews Ct.  
Ste. 210  
Mankato, MN 56001

BROKER #: M137  
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/14/2018	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	50.00	2.50	12.00	-9.50	40.0	-3.80
03/15/2018	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.00	1.00	12.00	-11.00	40.0	-4.40
03/15/2018	ZUPFER, JANE	THE OSTERWEIS STRATEGIC INCOME FUND	-10,000.00	22.00	22.00	0.00	40.0	0.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$-9,850.00</b>	<b>\$25.50</b>	<b>\$46.00</b>	<b>\$-20.50</b>		<b>\$-8.20</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/15/2018	ZUPFER, JANE	SPDR BLACKSTONE / GSO SENIOR LOAN E	-10,041.17	22.00	22.50	-0.50	40.0	-0.20
* STOCKS SUBTOTAL *			<b>\$-10,041.17</b>	<b>\$22.00</b>	<b>\$22.50</b>	<b>\$-0.50</b>		<b>\$-0.20</b>
*** CLEARING TRANSACTIONS TOTAL ***			<b>\$-19,891.17</b>	<b>\$47.50</b>	<b>\$68.50</b>	<b>\$-21.00</b>		<b>\$-8.40</b>

<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/05/2018	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	40.0	1.00
03/05/2018	ROSE, ERIC	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	40.0	1.00
03/05/2018	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	40.0	0.20
03/05/2018	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	40.0	0.20
03/05/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	41.61	0.42	0.00	0.42	40.0	0.17
03/05/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	41.61	0.42	0.00	0.42	40.0	0.17
03/05/2018	SHOUTZ, PAIGE	TRANSAMERICA AA GROWTH C	33.66	0.34	0.00	0.34	40.0	0.14
03/05/2018	SHOUTZ, PAIGE	TRANSAMERICA AA GROWTH C	20.19	0.20	0.00	0.20	40.0	0.08
03/15/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	40.0	0.80
03/15/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	40.0	0.80
03/15/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	66.08	0.66	0.00	0.66	40.0	0.26
03/15/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	66.08	0.66	0.00	0.66	40.0	0.26
03/15/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	40.0	0.16
03/15/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	40.0	0.16

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/15/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	67.95	0.68	0.00	0.68	40.0	0.27
03/15/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	67.95	0.68	0.00	0.68	40.0	0.27
03/15/2018	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	40.0	0.20
03/15/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	59.06	0.59	0.00	0.59	40.0	0.24
03/15/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	59.06	0.59	0.00	0.59	40.0	0.24
03/05/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	659.14	6.59	0.00	6.59	40.0	2.64
03/05/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	219.72	2.20	0.00	2.20	40.0	0.88
03/05/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	134.75	1.35	0.00	1.35	40.0	0.54
03/05/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	80.85	0.81	0.00	0.81	40.0	0.32
03/05/2018	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	28.46	0.28	0.00	0.28	40.0	0.11
03/05/2018	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	28.46	0.28	0.00	0.28	40.0	0.11
03/15/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	40.0	0.60
03/15/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	40.0	0.60
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$3,105.89</b>	<b>\$31.07</b>	<b>\$0.00</b>	<b>\$31.07</b>		<b>\$12.42</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/14/2018	BLOCK, EUGENE	JH DISCIPLINED VALUE INT'L C	0.00	1.24	0.00	1.24	40.0	0.50
03/14/2018	ROBERTS, MICHELLE	JH DISCIPLINED VALUE INT'L C	0.00	0.21	0.00	0.21	40.0	0.08
03/14/2018	WALLEM, HARRY	JH FINANCIAL INDUSTRIES A	0.00	2.40	0.00	2.40	40.0	0.96
03/14/2018	BLOCK, EUGENE	JH INCOME B	0.00	1.01	0.00	1.01	40.0	0.40
03/14/2018	WALLEM, HARRY	JH LARGE CAP EQUITY A	0.00	3.83	0.00	3.83	40.0	1.53
03/14/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY A	0.00	1.64	0.00	1.64	40.0	0.66
03/14/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY B	0.00	0.99	0.00	0.99	40.0	0.40
03/14/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY C	0.00	1.77	0.00	1.77	40.0	0.71
03/14/2018	ROBERTS, MICHELLE	JH LARGE CAP EQUITY C	0.00	0.05	0.00	0.05	40.0	0.02
03/14/2018	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	5.32	0.00	5.32	40.0	2.13
03/14/2018	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT B	0.00	1.48	0.00	1.48	40.0	0.59
03/14/2018	OLSON, HARLAN	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	2.94	0.00	2.94	40.0	1.18
03/14/2018	HERMEL, ROBERT	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	4.60	0.00	4.60	40.0	1.84
03/14/2018	WALLEM, HARRY	JH NEW OPPORTUNITIES A	0.00	2.07	0.00	2.07	40.0	0.83
03/14/2018	BLOCK, EUGENE	JH NEW OPPORTUNITIES A	0.00	0.61	0.00	0.61	40.0	0.24
03/14/2018	BLOCK, EUGENE	JH NEW OPPORTUNITIES C	0.00	1.31	0.00	1.31	40.0	0.52
03/14/2018	ROBERTS, MICHELLE	JH SMALL COMPANY FUND A	0.00	0.07	0.00	0.07	40.0	0.03
03/14/2018	BLOCK, EUGENE	JH STRATEGIC GROWTH A	0.00	1.14	0.00	1.14	40.0	0.46

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/14/2018	BLOCK, EUGENE	JH STRATEGIC GROWTH C	0.00	1.77	0.00	1.77	40.0	0.71
03/14/2018	WALLEM, HARRY	JH STRATEGIC INCOME A	0.00	2.42	0.00	2.42	40.0	0.97
03/14/2018	BLOCK, EUGENE	JH STRATEGIC INCOME A	0.00	0.45	0.00	0.45	40.0	0.18
03/14/2018	BLOCK, EUGENE	JH STRATEGIC INCOME C	0.00	1.20	0.00	1.20	40.0	0.48
03/14/2018	ROBERTS, MICHELLE	JH STRATEGIC INCOME C	0.00	0.76	0.00	0.76	40.0	0.30
03/14/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	0.00	7.35	0.00	7.35	40.0	2.94
03/14/2018	WALLEM, HARRY	JN DISCIPLINED VALUE INT'L A	0.00	1.79	0.00	1.79	40.0	0.72
03/14/2018	BLOCK, EUGENE	JN DISCIPLINED VALUE INT'L A	0.00	0.56	0.00	0.56	40.0	0.22
03/14/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	0.00	8.05	0.00	8.05	40.0	3.22
03/14/2018	ROBERTS, MICHELLE	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	0.10	0.00	0.10	40.0	0.04
03/14/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	6.49	0.00	6.49	40.0	2.60
03/14/2018	HENZE, DUANE	JOHN HANCOCK LIFESTYLE AGGR PORTFOL	0.00	0.02	0.00	0.02	40.0	0.01
03/14/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	0.00	6.01	0.00	6.01	40.0	2.40
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$69.65</b>	<b>\$0.00</b>	<b>\$69.65</b>		<b>\$27.87</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$3,105.89</b>	<b>\$100.72</b>	<b>\$0.00</b>	<b>\$100.72</b>		<b>\$40.29</b>

**SPLIT TRANSACTIONS****BROKER: 46G-JOE/JACK MICHALETZ #290**

03/13/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	0.66	0.00	0.66	40.0	0.26
03/13/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	0.53	0.00	0.53	40.0	0.21
03/13/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	0.53	0.00	0.53	40.0	0.21
03/13/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.40	0.00	0.40	40.0	0.16
<b>* 46G-JOE/JACK MICHALETZ SUBTOTAL *</b>			<b>\$422.40</b>	<b>\$2.12</b>	<b>\$0.00</b>	<b>\$2.12</b>		<b>\$0.84</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$422.40</b>	<b>\$2.12</b>	<b>\$0.00</b>	<b>\$2.12</b>		<b>\$0.84</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$31.89
Split Transactions	\$0.84
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$32.73</b>
<b>Year-to-date Gross</b>	<b>\$49,939.19</b>
<b>Year-to-date Earnings</b>	<b>\$19,911.30</b>