

COMMISSION STATEMENT

January 31, 2018

ROBERT STRAVINSKI
11384 HIGHBROOK COURT

BROKER #: 58
BRANCH: HOME OFFICE

POTOMAC FALLS, VA 20165

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

01/10/2018	00061056926	LORENZEN, CAROLYN	EUROPACIFIC GROWTH FUND-A	B	50.00	1.00	0.00	1.00	80.0	0.80
01/10/2018	00061056926	LORENZEN, CAROLYN	NEW PERSPECTIVE FUND-A	B	50.00	1.00	0.00	1.00	80.0	0.80
01/10/2018	00061056926	LORENZEN, CAROLYN	THE INVESTMENT COMPANY OF AMERICA-A	B	50.00	1.00	0.00	1.00	80.0	0.80
01/02/2018	00075318858	MARSHALL, KADEN	AMCAP FUND-529A	B	50.00	1.88	0.00	1.88	80.0	1.50
01/02/2018	00075318858	MARSHALL, KADEN	AMERICAN MUTUAL FUND-529A	B	50.00	1.88	0.00	1.88	80.0	1.50
01/02/2018	00075318858	MARSHALL, KADEN	EUROPACIFIC GROWTH FUND-529A	B	50.00	1.88	0.00	1.88	80.0	1.50
01/02/2018	00082140659	MARSHALL, KADEN	AMCAP FUND-529A	B	50.00	1.88	0.00	1.88	80.0	1.50
01/02/2018	00082140659	MARSHALL, KADEN	AMERICAN MUTUAL FUND-529A	B	50.00	1.88	0.00	1.88	80.0	1.50
01/02/2018	00082140659	MARSHALL, KADEN	EUROPACIFIC GROWTH FUND-529A	B	50.00	1.88	0.00	1.88	80.0	1.50
12/28/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	11.70	0.32	0.00	0.32	80.0	0.26
12/28/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	10.80	0.30	0.00	0.30	80.0	0.24
12/28/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN	B	3.90	0.11	0.00	0.11	80.0	0.09
12/28/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN	B	3.60	0.10	0.00	0.10	80.0	0.08
12/28/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A	B	1.95	0.04	0.00	0.04	80.0	0.03
12/28/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A	B	1.80	0.04	0.00	0.04	80.0	0.03
12/28/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	11.70	0.32	0.00	0.32	80.0	0.26
12/28/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	10.80	0.30	0.00	0.30	80.0	0.24
12/28/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A	B	3.90	0.11	0.00	0.11	80.0	0.09
12/28/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A	B	3.60	0.10	0.00	0.10	80.0	0.08
12/28/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.90	0.11	0.00	0.11	80.0	0.09
12/28/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.60	0.10	0.00	0.10	80.0	0.08
01/05/2018	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	11.70	0.32	0.00	0.32	80.0	0.26
01/05/2018	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	10.80	0.30	0.00	0.30	80.0	0.24
01/05/2018	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN	B	3.90	0.11	0.00	0.11	80.0	0.09
01/05/2018	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN	B	3.60	0.10	0.00	0.10	80.0	0.08
01/05/2018	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A	B	1.95	0.04	0.00	0.04	80.0	0.03
01/05/2018	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A	B	1.80	0.04	0.00	0.04	80.0	0.03
01/05/2018	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	11.70	0.32	0.00	0.32	80.0	0.26
01/05/2018	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	10.80	0.30	0.00	0.30	80.0	0.24
01/05/2018	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A	B	3.90	0.11	0.00	0.11	80.0	0.09
01/05/2018	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A	B	3.60	0.10	0.00	0.10	80.0	0.08

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/05/2018	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.90	0.11	0.00	0.11	80.0	0.09
01/05/2018	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.60	0.10	0.00	0.10	80.0	0.08
01/08/2018	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	11.70	0.32	0.00	0.32	80.0	0.26
01/08/2018	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	10.80	0.30	0.00	0.30	80.0	0.24
01/08/2018	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.90	0.11	0.00	0.11	80.0	0.09
01/08/2018	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.60	0.10	0.00	0.10	80.0	0.08
01/08/2018	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B		1.95	0.04	0.00	0.04	80.0	0.03
01/08/2018	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B		1.80	0.04	0.00	0.04	80.0	0.03
01/08/2018	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	11.70	0.32	0.00	0.32	80.0	0.26
01/08/2018	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	10.80	0.30	0.00	0.30	80.0	0.24
01/08/2018	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.90	0.11	0.00	0.11	80.0	0.09
01/08/2018	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.60	0.10	0.00	0.10	80.0	0.08
01/08/2018	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.90	0.11	0.00	0.11	80.0	0.09
01/08/2018	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.60	0.10	0.00	0.10	80.0	0.08
01/10/2018	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	11.70	0.32	0.00	0.32	80.0	0.26
01/10/2018	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	10.80	0.30	0.00	0.30	80.0	0.24
01/10/2018	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.90	0.11	0.00	0.11	80.0	0.09
01/10/2018	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.60	0.10	0.00	0.10	80.0	0.08
01/10/2018	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B		1.95	0.04	0.00	0.04	80.0	0.03
01/10/2018	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B		1.80	0.04	0.00	0.04	80.0	0.03
01/10/2018	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	11.70	0.32	0.00	0.32	80.0	0.26
01/10/2018	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	10.80	0.30	0.00	0.30	80.0	0.24
01/10/2018	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.90	0.11	0.00	0.11	80.0	0.09
01/10/2018	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.60	0.10	0.00	0.10	80.0	0.08
01/10/2018	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.90	0.11	0.00	0.11	80.0	0.09
01/10/2018	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.60	0.10	0.00	0.10	80.0	0.08
12/28/2017	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.00	0.17	0.00	0.17	80.0	0.14
12/28/2017	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	7.20	0.20	0.00	0.20	80.0	0.16
12/28/2017	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.00	0.17	0.00	0.17	80.0	0.14
12/28/2017	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	7.20	0.20	0.00	0.20	80.0	0.16
12/28/2017	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
12/28/2017	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.60	0.10	0.00	0.10	80.0	0.08
12/28/2017	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
12/28/2017	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.60	0.10	0.00	0.10	80.0	0.08
12/28/2017	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	7.50	0.21	0.00	0.21	80.0	0.17
12/28/2017	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	9.00	0.25	0.00	0.25	80.0	0.20
12/28/2017	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		4.50	0.12	0.00	0.12	80.0	0.10
12/28/2017	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		5.40	0.15	0.00	0.15	80.0	0.12
01/05/2018	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.00	0.17	0.00	0.17	80.0	0.14
01/05/2018	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	7.20	0.20	0.00	0.20	80.0	0.16

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/05/2018	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.00	0.17	0.00	0.17	80.0	0.14
01/05/2018	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	7.20	0.20	0.00	0.20	80.0	0.16
01/05/2018	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
01/05/2018	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.60	0.10	0.00	0.10	80.0	0.08
01/05/2018	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
01/05/2018	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.60	0.10	0.00	0.10	80.0	0.08
01/05/2018	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	7.50	0.21	0.00	0.21	80.0	0.17
01/05/2018	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	9.00	0.25	0.00	0.25	80.0	0.20
01/05/2018	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		4.50	0.12	0.00	0.12	80.0	0.10
01/05/2018	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		5.40	0.15	0.00	0.15	80.0	0.12
01/08/2018	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.00	0.17	0.00	0.17	80.0	0.14
01/08/2018	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	7.20	0.20	0.00	0.20	80.0	0.16
01/08/2018	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.00	0.17	0.00	0.17	80.0	0.14
01/08/2018	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	7.20	0.20	0.00	0.20	80.0	0.16
01/08/2018	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
01/08/2018	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.60	0.10	0.00	0.10	80.0	0.08
01/08/2018	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
01/08/2018	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.60	0.10	0.00	0.10	80.0	0.08
01/08/2018	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	7.50	0.21	0.00	0.21	80.0	0.17
01/08/2018	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	9.00	0.25	0.00	0.25	80.0	0.20
01/08/2018	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		4.50	0.12	0.00	0.12	80.0	0.10
01/08/2018	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		5.40	0.15	0.00	0.15	80.0	0.12
01/10/2018	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.00	0.17	0.00	0.17	80.0	0.14
01/10/2018	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	7.20	0.20	0.00	0.20	80.0	0.16
01/10/2018	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.00	0.17	0.00	0.17	80.0	0.14
01/10/2018	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	7.20	0.20	0.00	0.20	80.0	0.16
01/10/2018	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
01/10/2018	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.60	0.10	0.00	0.10	80.0	0.08
01/10/2018	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
01/10/2018	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.60	0.10	0.00	0.10	80.0	0.08
01/10/2018	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	7.50	0.21	0.00	0.21	80.0	0.17
01/10/2018	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	9.00	0.25	0.00	0.25	80.0	0.20
01/10/2018	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		4.50	0.12	0.00	0.12	80.0	0.10
01/10/2018	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		5.40	0.15	0.00	0.15	80.0	0.12
01/22/2018	00085961816	MARSHALL, MYRA	NEW PERSPECTIVE FUND-529A	B	50.00	1.38	0.00	1.38	80.0	1.10
01/22/2018	00085961816	MARSHALL, MYRA	NEW WORLD FUND-529A	B	50.00	1.38	0.00	1.38	80.0	1.10
01/22/2018	00085961816	MARSHALL, MYRA	THE NEW ECONOMY FUND-529A	B	50.00	1.38	0.00	1.38	80.0	1.10
12/28/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.09
12/28/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	2.70	0.07	0.00	0.07	80.0	0.06
12/28/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	4.05	0.11	0.00	0.11	80.0	0.09

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
12/28/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	2.70	0.07	0.00	0.07	80.0	0.06
12/28/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	4.05	0.11	0.00	0.11	80.0	0.09
12/28/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	2.70	0.07	0.00	0.07	80.0	0.06
12/28/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	6.08	0.17	0.00	0.17	80.0	0.14
12/28/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.09
12/28/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	12.14	0.33	0.00	0.33	80.0	0.26
12/28/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	8.10	0.22	0.00	0.22	80.0	0.18
12/28/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.09
12/28/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	2.70	0.07	0.00	0.07	80.0	0.06
12/28/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	6.08	0.17	0.00	0.17	80.0	0.14
12/28/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.09
01/05/2018	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.09
01/05/2018	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	2.70	0.07	0.00	0.07	80.0	0.06
01/05/2018	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	4.05	0.11	0.00	0.11	80.0	0.09
01/05/2018	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	2.70	0.07	0.00	0.07	80.0	0.06
01/05/2018	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	4.05	0.11	0.00	0.11	80.0	0.09
01/05/2018	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	2.70	0.07	0.00	0.07	80.0	0.06
01/05/2018	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	6.08	0.17	0.00	0.17	80.0	0.14
01/05/2018	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.09
01/05/2018	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	12.14	0.33	0.00	0.33	80.0	0.26
01/05/2018	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	8.10	0.22	0.00	0.22	80.0	0.18
01/05/2018	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.09
01/05/2018	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	2.70	0.07	0.00	0.07	80.0	0.06
01/05/2018	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	6.08	0.17	0.00	0.17	80.0	0.14
01/05/2018	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.09
01/08/2018	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.09
01/08/2018	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	2.70	0.07	0.00	0.07	80.0	0.06
01/08/2018	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	4.05	0.11	0.00	0.11	80.0	0.09
01/08/2018	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	2.70	0.07	0.00	0.07	80.0	0.06
01/08/2018	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	4.05	0.11	0.00	0.11	80.0	0.09
01/08/2018	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	2.70	0.07	0.00	0.07	80.0	0.06
01/08/2018	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	6.08	0.17	0.00	0.17	80.0	0.14
01/08/2018	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.09
01/08/2018	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	12.14	0.33	0.00	0.33	80.0	0.26
01/08/2018	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	8.10	0.22	0.00	0.22	80.0	0.18
01/08/2018	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.09
01/08/2018	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	2.70	0.07	0.00	0.07	80.0	0.06
01/08/2018	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	6.08	0.17	0.00	0.17	80.0	0.14
01/08/2018	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.09
01/10/2018	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.09

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/10/2018	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	2.70	0.07	0.00	0.07	80.0	0.06
01/10/2018	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	4.05	0.11	0.00	0.11	80.0	0.09
01/10/2018	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	2.70	0.07	0.00	0.07	80.0	0.06
01/10/2018	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	4.05	0.11	0.00	0.11	80.0	0.09
01/10/2018	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	2.70	0.07	0.00	0.07	80.0	0.06
01/10/2018	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	6.08	0.17	0.00	0.17	80.0	0.14
01/10/2018	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.09
01/10/2018	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	12.14	0.33	0.00	0.33	80.0	0.26
01/10/2018	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	8.10	0.22	0.00	0.22	80.0	0.18
01/10/2018	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.09
01/10/2018	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	2.70	0.07	0.00	0.07	80.0	0.06
01/10/2018	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	6.08	0.17	0.00	0.17	80.0	0.14
01/10/2018	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.09
12/28/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.00	0.69	0.00	0.69	80.0	0.55
12/28/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	18.50	0.51	0.00	0.51	80.0	0.41
01/05/2018	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.00	0.69	0.00	0.69	80.0	0.55
01/05/2018	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	18.50	0.51	0.00	0.51	80.0	0.41
01/08/2018	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.00	0.69	0.00	0.69	80.0	0.55
01/08/2018	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	18.50	0.51	0.00	0.51	80.0	0.41
01/10/2018	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.00	0.69	0.00	0.69	80.0	0.55
01/10/2018	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	18.50	0.51	0.00	0.51	80.0	0.41
12/29/2017	00088550230	SWANSON,	AMCAP FUND-A	B	37.50	1.03	0.00	1.03	80.0	0.82
12/29/2017	00088550230	SWANSON,	AMCAP FUND-A	B	100.00	2.75	0.00	2.75	80.0	2.20
12/29/2017	00088550230	SWANSON,	AMERICAN HIGH-INCOME TRUST-A	B	18.75	0.52	0.00	0.52	80.0	0.42
12/29/2017	00088550230	SWANSON,	AMERICAN HIGH-INCOME TRUST-A	B	50.00	1.38	0.00	1.38	80.0	1.10
12/29/2017	00088550230	SWANSON,	CAPITAL WORLD BOND FUND-A	B	18.75	0.52	0.00	0.52	80.0	0.42
12/29/2017	00088550230	SWANSON,	CAPITAL WORLD BOND FUND-A	B	50.00	1.38	0.00	1.38	80.0	1.10
12/29/2017	00088550230	SWANSON,	NEW PERSPECTIVE FUND-A	B	37.50	1.03	0.00	1.03	80.0	0.82
12/29/2017	00088550230	SWANSON,	NEW PERSPECTIVE FUND-A	B	100.00	2.75	0.00	2.75	80.0	2.20
12/29/2017	00088550230	SWANSON,	SMALLCAP WORLD FUND-A	B	46.87	1.29	0.00	1.29	80.0	1.03
12/29/2017	00088550230	SWANSON,	SMALLCAP WORLD FUND-A	B	125.00	3.44	0.00	3.44	80.0	2.75
12/29/2017	00088550230	SWANSON,	THE GROWTH FUND OF AMERICA-A	B	28.13	0.77	0.00	0.77	80.0	0.62
12/29/2017	00088550230	SWANSON,	THE GROWTH FUND OF AMERICA-A	B	75.00	2.06	0.00	2.06	80.0	1.65
01/16/2018	00088550230	SWANSON,	AMCAP FUND-A	B	37.50	1.03	0.00	1.03	80.0	0.82
01/16/2018	00088550230	SWANSON,	AMCAP FUND-A	B	100.00	2.75	0.00	2.75	80.0	2.20
01/16/2018	00088550230	SWANSON,	AMERICAN HIGH-INCOME TRUST-A	B	18.75	0.52	0.00	0.52	80.0	0.42
01/16/2018	00088550230	SWANSON,	AMERICAN HIGH-INCOME TRUST-A	B	50.00	1.38	0.00	1.38	80.0	1.10
01/16/2018	00088550230	SWANSON,	CAPITAL WORLD BOND FUND-A	B	18.75	0.52	0.00	0.52	80.0	0.42
01/16/2018	00088550230	SWANSON,	CAPITAL WORLD BOND FUND-A	B	50.00	1.38	0.00	1.38	80.0	1.10
01/16/2018	00088550230	SWANSON,	NEW PERSPECTIVE FUND-A	B	37.50	1.03	0.00	1.03	80.0	0.82

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/16/2018	00088550230	SWANSON,		NEW PERSPECTIVE FUND-A	B	100.00	2.75	0.00	2.75 80.0 2.20
01/16/2018	00088550230	SWANSON,		SMALLCAP WORLD FUND-A	B	46.87	1.29	0.00	1.29 80.0 1.03
01/16/2018	00088550230	SWANSON,		SMALLCAP WORLD FUND-A	B	125.00	3.44	0.00	3.44 80.0 2.75
01/16/2018	00088550230	SWANSON,		THE GROWTH FUND OF AMERICA-A	B	28.13	0.77	0.00	0.77 80.0 0.62
01/16/2018	00088550230	SWANSON,		THE GROWTH FUND OF AMERICA-A	B	75.00	2.06	0.00	2.06 80.0 1.65
12/29/2017	04000174210	GALLAGHER, AMY		AMERICAN FUNDS 2040 TARGET DATE-A	B	137.81	3.79	0.00	3.79 80.0 3.03
12/29/2017	04000174210	GALLAGHER, AMY		AMERICAN FUNDS 2040 TARGET DATE-A	B	59.00	1.62	0.00	1.62 80.0 1.30
01/16/2018	04000174210	GALLAGHER, AMY		AMERICAN FUNDS 2040 TARGET DATE-A	B	137.81	3.79	0.00	3.79 80.0 3.03
01/16/2018	04000174210	GALLAGHER, AMY		AMERICAN FUNDS 2040 TARGET DATE-A	B	59.00	1.62	0.00	1.62 80.0 1.30
12/28/2017	04000615610	VARIOUS,		02630R203	B	10.00	0.28	0.00	0.28 80.0 0.22
12/28/2017	04000615610	VARIOUS,		02630R203	B	6.93	0.19	0.00	0.19 80.0 0.15
12/28/2017	04000615610	VARIOUS,		AMERICAN FDS PORTFOLIO SER GLOBAL G B		10.00	0.28	0.00	0.28 80.0 0.22
12/28/2017	04000615610	VARIOUS,		AMERICAN FDS PORTFOLIO SER GLOBAL G B		6.93	0.19	0.00	0.19 80.0 0.15
01/05/2018	04000615610	VARIOUS,		02630R203	B	10.00	0.28	0.00	0.28 80.0 0.22
01/05/2018	04000615610	VARIOUS,		02630R203	B	6.93	0.19	0.00	0.19 80.0 0.15
01/05/2018	04000615610	VARIOUS,		AMERICAN FDS PORTFOLIO SER GLOBAL G B		10.00	0.28	0.00	0.28 80.0 0.22
01/05/2018	04000615610	VARIOUS,		AMERICAN FDS PORTFOLIO SER GLOBAL G B		6.93	0.19	0.00	0.19 80.0 0.15
01/08/2018	04000615610	VARIOUS,		02630R203	B	10.00	0.28	0.00	0.28 80.0 0.22
01/08/2018	04000615610	VARIOUS,		02630R203	B	7.31	0.20	0.00	0.20 80.0 0.16
01/08/2018	04000615610	VARIOUS,		AMERICAN FDS PORTFOLIO SER GLOBAL G B		10.00	0.28	0.00	0.28 80.0 0.22
01/08/2018	04000615610	VARIOUS,		AMERICAN FDS PORTFOLIO SER GLOBAL G B		7.31	0.20	0.00	0.20 80.0 0.16
01/10/2018	04000615610	VARIOUS,		02630R203	B	10.00	0.28	0.00	0.28 80.0 0.22
01/10/2018	04000615610	VARIOUS,		02630R203	B	6.80	0.19	0.00	0.19 80.0 0.15
01/10/2018	04000615610	VARIOUS,		AMERICAN FDS PORTFOLIO SER GLOBAL G B		10.00	0.28	0.00	0.28 80.0 0.22
01/10/2018	04000615610	VARIOUS,		AMERICAN FDS PORTFOLIO SER GLOBAL G B		6.80	0.19	0.00	0.19 80.0 0.15
12/28/2017	04000615620	VARIOUS,		AMERICAN FUNDS 2025 TARGET DATE-A	B	30.00	0.83	0.00	0.83 80.0 0.66
12/28/2017	04000615620	VARIOUS,		AMERICAN FUNDS 2025 TARGET DATE-A	B	57.60	1.58	0.00	1.58 80.0 1.26
01/05/2018	04000615620	VARIOUS,		AMERICAN FUNDS 2025 TARGET DATE-A	B	30.00	0.83	0.00	0.83 80.0 0.66
01/05/2018	04000615620	VARIOUS,		AMERICAN FUNDS 2025 TARGET DATE-A	B	57.60	1.58	0.00	1.58 80.0 1.26
01/08/2018	04000615620	VARIOUS,		AMERICAN FUNDS 2025 TARGET DATE-A	B	30.00	0.83	0.00	0.83 80.0 0.66
01/08/2018	04000615620	VARIOUS,		AMERICAN FUNDS 2025 TARGET DATE-A	B	57.60	1.58	0.00	1.58 80.0 1.26
01/10/2018	04000615620	VARIOUS,		AMERICAN FUNDS 2025 TARGET DATE-A	B	30.00	0.83	0.00	0.83 80.0 0.66
01/10/2018	04000615620	VARIOUS,		AMERICAN FUNDS 2025 TARGET DATE-A	B	57.60	1.58	0.00	1.58 80.0 1.26
12/28/2017	04000615623	VARIOUS,		AMERICAN FUNDS 2045 TARGET DATE-A	B	25.00	0.69	0.00	0.69 80.0 0.55
12/28/2017	04000615623	VARIOUS,		AMERICAN FUNDS 2045 TARGET DATE-A	B	16.00	0.44	0.00	0.44 80.0 0.35
01/05/2018	04000615623	VARIOUS,		AMERICAN FUNDS 2045 TARGET DATE-A	B	25.00	0.69	0.00	0.69 80.0 0.55
01/05/2018	04000615623	VARIOUS,		AMERICAN FUNDS 2045 TARGET DATE-A	B	16.00	0.44	0.00	0.44 80.0 0.35
01/08/2018	04000615623	VARIOUS,		AMERICAN FUNDS 2045 TARGET DATE-A	B	25.00	0.69	0.00	0.69 80.0 0.55
01/08/2018	04000615623	VARIOUS,		AMERICAN FUNDS 2045 TARGET DATE-A	B	16.00	0.44	0.00	0.44 80.0 0.35
01/10/2018	04000615623	VARIOUS,		AMERICAN FUNDS 2045 TARGET DATE-A	B	25.00	0.69	0.00	0.69 80.0 0.55

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
01/10/2018	04000615623	VARIOUS, AMERICAN FUNDS 2045 TARGET DATE-A	B	16.00	0.44	0.00	0.44	80.0	0.35
01/05/2018	04000615627	VARIOUS, 02631C445	B	30.00	0.83	0.00	0.83	80.0	0.66
01/05/2018	04000615627	VARIOUS, 02631C445	B	2.50	0.07	0.00	0.07	80.0	0.06
01/08/2018	04000615627	VARIOUS, 02631C445	B	30.00	0.83	0.00	0.83	80.0	0.66
01/08/2018	04000615627	VARIOUS, 02631C445	B	6.25	0.17	0.00	0.17	80.0	0.14
01/10/2018	04000615627	VARIOUS, 02631C445	B	30.00	0.83	0.00	0.83	80.0	0.66
01/10/2018	04000615627	VARIOUS, 02631C445	B	6.25	0.17	0.00	0.17	80.0	0.14
12/28/2017	04000636626	VARIOUS, AMERICAN FUNDS 2035 TARGET DATE-A	B	22.00	0.61	0.00	0.61	80.0	0.49
12/28/2017	04000636626	VARIOUS, AMERICAN FUNDS 2035 TARGET DATE-A	B	19.94	0.55	0.00	0.55	80.0	0.44
01/05/2018	04000636626	VARIOUS, AMERICAN FUNDS 2035 TARGET DATE-A	B	22.00	0.61	0.00	0.61	80.0	0.49
01/05/2018	04000636626	VARIOUS, AMERICAN FUNDS 2035 TARGET DATE-A	B	19.93	0.55	0.00	0.55	80.0	0.44
01/08/2018	04000636626	VARIOUS, AMERICAN FUNDS 2035 TARGET DATE-A	B	22.00	0.61	0.00	0.61	80.0	0.49
01/08/2018	04000636626	VARIOUS, AMERICAN FUNDS 2035 TARGET DATE-A	B	19.36	0.53	0.00	0.53	80.0	0.42
01/10/2018	04000636626	VARIOUS, AMERICAN FUNDS 2035 TARGET DATE-A	B	22.00	0.61	0.00	0.61	80.0	0.49
01/10/2018	04000636626	VARIOUS, AMERICAN FUNDS 2035 TARGET DATE-A	B	19.43	0.53	0.00	0.53	80.0	0.42
* MUTUAL FUNDS SUBTOTAL *				\$4,283.62	\$119.76	\$0.00	\$119.76		\$95.88
PRODUCT CATEGORY: MISCELLANEOUS									
01/31/2018	VARIOUS, STRAVINSKI	ADP	B	0.00	998.24	0.00	998.24	80.0	798.59
01/31/2018	VARIOUS, STRAVINSKI	AMERICAN CENTURY	B	0.00	7.67	0.00	7.67	80.0	6.14
01/31/2018	VARIOUS, STRAVINSKI	BLACKROCK	B	0.00	1,085.72	0.00	1,085.72	80.0	868.58
01/31/2018	VARIOUS, STRAVINSKI	FIDELITY	B	0.00	1,026.72	0.00	1,026.72	80.0	821.38
01/31/2018	VARIOUS, STRAVINSKI	GREAT WEST LIFE	B	0.00	2,230.40	0.00	2,230.40	80.0	1,784.32
01/31/2018	VARIOUS, STRAVINSKI	HANCOCK	B	0.00	8.44	0.00	8.44	80.0	6.75
01/31/2018	VARIOUS, STRAVINSKI	INVESCO	B	0.00	722.76	0.00	722.76	80.0	578.21
01/31/2018	VARIOUS, STRAVINSKI	JANUS	B	0.00	124.71	0.00	124.71	80.0	99.77
01/31/2018	VARIOUS, STRAVINSKI	OPPENHEIMER	B	0.00	277.06	0.00	277.06	80.0	221.65
01/31/2018	VARIOUS, STRAVINSKI	PIMCO	B	0.00	15.10	0.00	15.10	80.0	12.08
01/31/2018	VARIOUS, STRAVINSKI	PRINCIPAL	B	0.00	1,710.52	0.00	1,710.52	80.0	1,368.42
01/31/2018	VARIOUS, STRAVINSKI	T ROWE	B	0.00	23.26	0.00	23.26	80.0	18.61
01/31/2018	VARIOUS, STRAVINSKI	TRANSAMERICA	B	0.00	450.29	0.00	450.29	80.0	360.23
01/31/2018	VARIOUS, STRAVINSKI	VOYA	B	0.00	72.31	0.00	72.31	80.0	57.85
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$8,753.20	\$0.00	\$8,753.20		\$7,002.58
*** BROKER TRANSACTIONS TOTAL ***				\$4,283.62	\$8,872.96	\$0.00	\$8,872.96		\$7,098.46

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
01/31/2018		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00
01/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
01/31/2018		OTHER: OTHER-VIRTRU		0.00	0.00	0.00	0.00		-7.00
01/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-5.00
01/31/2018		OTHER: OTHER-SIPC AND FINRA		0.00	0.00	0.00	0.00		-33.13
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-265.13

BROKER COMMISSION TOTALS

Broker Transactions	\$7,098.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-265.13
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.15
SIPC Assessment	\$-0.30

Check Amount	\$6,832.88
Year-to-date Earnings	\$6,832.88