



COMMISSION STATEMENT

June 18, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|----------------------------------------------------------|--------------------------------|------------------------------------------------------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES</u> | | | | | | | | |
| 06/11/2018 | ***-**-582I - PLOCKI, EDWARD | LEGEND III BUY 1 Units @90000.0000 | 92,780.07 | 2,783.40 | 0.00 | 2,783.40 | 100.0 | 2,783.40 |
| 06/11/2018 | ***-**-48IN - JOHNSON, MARY | LEGEND III BUY 1 Units @100000.000 | 20,000.00 | 600.00 | 0.00 | 600.00 | 100.0 | 600.00 |
| * EQUITY INDEXED ANNUITIES SUBTOTAL * | | | \$112,780.07 | \$3,383.40 | \$0.00 | \$3,383.40 | | \$3,383.40 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 06/01/2018 | ***-**-162I - ANDERSON, THOMAS | AMCAP FUND-A ACCOUNT #: 00068241483 BUY 0 Units @0.0000 | 0.00 | 22.97 | 0.00 | 22.97 | 85.0 | 19.52 |
| 06/01/2018 | ***-**-44IR - REED, GENEVIEVE | AMCAP FUND-A ACCOUNT #: 00074671768 BUY 0 Units @0.0000 | 0.00 | 9.50 | 0.00 | 9.50 | 85.0 | 8.08 |
| 06/01/2018 | ***-**-244I - REED, GENEVIEVE | AMCAP FUND-A ACCOUNT #: 00086668365 BUY 0 Units @0.0000 | 0.00 | 3.90 | 0.00 | 3.90 | 85.0 | 3.32 |
| 06/01/2018 | ***-**-18IN - KUNKEL, RYAN | AMCAP FUND-A ACCOUNT #: 00087404382 BUY 0 Units @0.0000 | 0.00 | 3.34 | 0.00 | 3.34 | 85.0 | 2.84 |
| 06/18/2018 | ***-**-831I - REED, JACK | AMCAP FUND-A ACCOUNT #: LSR010577 BUY 1 Units @0.0000 | 0.00 | 12.43 | 0.00 | 12.43 | 85.0 | 10.57 |
| 06/01/2018 | ***-**-801I - BECK, LORETTA | AMCAP FUND-C ACCOUNT #: 00086800193 BUY 0 Units @0.0000 | 0.00 | 29.58 | 0.00 | 29.58 | 85.0 | 25.14 |
| 06/18/2018 | ***-**-036I - KAKAREKA, PAUL | AMCAP FUND-C ACCOUNT #: LSR011085 BUY 1 Units @0.0000 | 0.00 | 20.27 | 0.00 | 20.27 | 85.0 | 17.23 |
| 06/18/2018 | ***-**-831I - KAKAREKA, | AMERICAN 2040 TARGET DATE RETIREMNT ACCOUNT #: LSR011084 BUY 1 Units @0.0000 | 0.00 | 3.49 | 0.00 | 3.49 | 85.0 | 2.97 |
| 06/18/2018 | ***-**-036I - KAKAREKA, PAUL | AMERICAN 2040 TARGET DATE RETIREMNT ACCOUNT #: LSR011085 BUY 1 Units @0.0000 | 0.00 | 0.94 | 0.00 | 0.94 | 85.0 | 0.80 |
| 06/18/2018 | ***-**-73IR - MANGANELLO, TIRA | AMERICAN 2045 TARGET DATE RETIREMNT ACCOUNT #: LSR011771 BUY 1 Units @0.0000 | 0.00 | 11.06 | 0.00 | 11.06 | 85.0 | 9.40 |
| 06/18/2018 | ***-**-60IR - ILGENFRITZ, CORY | AMERICAN 2045 TARGET DATE RETIREMNT ACCOUNT #: LSR011825 BUY 1 Units @0.0000 | 0.00 | 0.33 | 0.00 | 0.33 | 85.0 | 0.28 |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | AMERICAN BALANCED FUND-529A ACCOUNT #: 00084354293 BUY 0 Units @0.0000 | 0.00 | 1.20 | 0.00 | 1.20 | 85.0 | 1.02 |
| 06/01/2018 | ***-**-162I - ANDERSON, THOMAS | AMERICAN BALANCED FUND-A ACCOUNT #: 00068241483 BUY 0 Units @0.0000 | 0.00 | 30.56 | 0.00 | 30.56 | 85.0 | 25.98 |



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BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------------------|--------------------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-71IN - STRAUB, GREGORY | AMERICAN BALANCED FUND-A | 0.00 | 10.60 | 0.00 | 10.60 | 85.0 | 9.01 |
| | ACCOUNT #: 00073333058 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-379I - WILSON, DELEIGH | AMERICAN BALANCED FUND-A | 0.00 | 5.99 | 0.00 | 5.99 | 85.0 | 5.09 |
| | ACCOUNT #: 00073333244 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-00IN - ROMIG, MARK | AMERICAN BALANCED FUND-A | 0.00 | 11.89 | 0.00 | 11.89 | 85.0 | 10.11 |
| | ACCOUNT #: 00073419431 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-94IN - VITALE, LAURIE | AMERICAN BALANCED FUND-A | 0.00 | 7.39 | 0.00 | 7.39 | 85.0 | 6.28 |
| | ACCOUNT #: 00075108457 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-228I - BUCHANAN, | AMERICAN BALANCED FUND-A | 0.00 | 11.12 | 0.00 | 11.12 | 85.0 | 9.45 |
| | ACCOUNT #: 00075166368 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-35IN - TOBIN, MAUREEN | AMERICAN BALANCED FUND-A | 0.00 | 16.04 | 0.00 | 16.04 | 85.0 | 13.63 |
| | ACCOUNT #: 00075417983 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-153J - SHOCKEY, JEFFREY | AMERICAN BALANCED FUND-A | 0.00 | 21.49 | 0.00 | 21.49 | 85.0 | 18.27 |
| | ACCOUNT #: 00075824133 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-842I - GREENHALGH, | AMERICAN BALANCED FUND-A | 0.00 | 8.62 | 0.00 | 8.62 | 85.0 | 7.33 |
| | ACCOUNT #: 00075857948 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-799I - BUCHANAN, STEVEN | AMERICAN BALANCED FUND-A | 0.00 | 12.51 | 0.00 | 12.51 | 85.0 | 10.63 |
| | ACCOUNT #: 00081734282 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-228I - BUCHANAN, | AMERICAN BALANCED FUND-A | 0.00 | 14.81 | 0.00 | 14.81 | 85.0 | 12.59 |
| | ACCOUNT #: 00081734302 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-972I - SHAW, SUSAN | AMERICAN BALANCED FUND-A | 0.00 | 8.11 | 0.00 | 8.11 | 85.0 | 6.89 |
| | ACCOUNT #: 00082025659 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-80IR - LEAR, WILLIAM | AMERICAN BALANCED FUND-A | 0.00 | 1.46 | 0.00 | 1.46 | 85.0 | 1.24 |
| | ACCOUNT #: 00084166547 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | AMERICAN BALANCED FUND-A | 0.00 | 0.25 | 0.00 | 0.25 | 85.0 | 0.21 |
| | ACCOUNT #: 00084354308 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-752I - HARBAUGH, KELLY | AMERICAN BALANCED FUND-A | 0.00 | 3.67 | 0.00 | 3.67 | 85.0 | 3.12 |
| | ACCOUNT #: 00084420802 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-11IN - GRAHAM, ARTHUR | AMERICAN BALANCED FUND-A | 0.00 | 7.10 | 0.00 | 7.10 | 85.0 | 6.04 |
| | ACCOUNT #: 00085061358 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-400I - ROMIG, MARK | AMERICAN BALANCED FUND-A | 0.00 | 41.37 | 0.00 | 41.37 | 85.0 | 35.16 |
| | ACCOUNT #: 00086646961 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-244I - REED, GENEVIEVE | AMERICAN BALANCED FUND-A | 0.00 | 28.09 | 0.00 | 28.09 | 85.0 | 23.88 |
| | ACCOUNT #: 00086668365 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-37IN - KUNKEL, JANET | AMERICAN BALANCED FUND-A | 0.00 | 10.86 | 0.00 | 10.86 | 85.0 | 9.23 |
| | ACCOUNT #: 00086684007 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | AMERICAN BALANCED FUND-A | 0.00 | 2.50 | 0.00 | 2.50 | 85.0 | 2.13 |
| | ACCOUNT #: 00086895596 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-400I - ROMIG, MARK | AMERICAN BALANCED FUND-A | 0.00 | 4.02 | 0.00 | 4.02 | 85.0 | 3.42 |
| | ACCOUNT #: 00087282057 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-113I - PIERMANI, JOHN | AMERICAN BALANCED FUND-A | 0.00 | 23.43 | 0.00 | 23.43 | 85.0 | 19.92 |
| | ACCOUNT #: 00087371626 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-18IN - KUNKEL, RYAN | AMERICAN BALANCED FUND-A | 0.00 | 2.32 | 0.00 | 2.32 | 85.0 | 1.97 |
| | ACCOUNT #: 00087401941 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-18IN - KUNKEL, RYAN | AMERICAN BALANCED FUND-A | 0.00 | 0.63 | 0.00 | 0.63 | 85.0 | 0.54 |
| | ACCOUNT #: 00087404382 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-207I - SHOCKEY, CHELSEA | AMERICAN BALANCED FUND-A | 0.00 | 0.73 | 0.00 | 0.73 | 85.0 | 0.62 |
| | ACCOUNT #: 00088283660 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-52IN - KUNKEL, RAY | AMERICAN BALANCED FUND-A | 0.00 | 11.18 | 0.00 | 11.18 | 85.0 | 9.50 |
| | ACCOUNT #: 04000349641 | BUY 0 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-054I - GAROFALO, | AMERICAN BALANCED FUND-A | 0.00 | 4.85 | 0.00 | 4.85 | 85.0 | 4.12 |
| | ACCOUNT #: LSF000299 | BUY 1 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-30IN - WEAVER, NICOLE | AMERICAN BALANCED FUND-C | 0.00 | 30.41 | 0.00 | 30.41 | 85.0 | 25.85 |
| | ACCOUNT #: 00073180963 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-26IN - CROWL, ROBERT | AMERICAN BALANCED FUND-C | 0.00 | 19.58 | 0.00 | 19.58 | 85.0 | 16.64 |
| | ACCOUNT #: 00074806269 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-36IN - CROWL, LINDA | AMERICAN BALANCED FUND-C | 0.00 | 19.58 | 0.00 | 19.58 | 85.0 | 16.64 |
| | ACCOUNT #: 00074806331 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-54IN - SCHMIDT, JENNY | AMERICAN BALANCED FUND-C | 0.00 | 6.76 | 0.00 | 6.76 | 85.0 | 5.75 |
| | ACCOUNT #: 00082865142 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-80IR - LEAR, WILLIAM | AMERICAN BALANCED FUND-C | 0.00 | 4.91 | 0.00 | 4.91 | 85.0 | 4.17 |
| | ACCOUNT #: 00084166547 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | AMERICAN BALANCED FUND-C | 0.00 | 37.60 | 0.00 | 37.60 | 85.0 | 31.96 |
| | ACCOUNT #: 00084354308 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-897I - PLAPPERT, KAREN | AMERICAN BALANCED FUND-C | 0.00 | 51.27 | 0.00 | 51.27 | 85.0 | 43.58 |
| | ACCOUNT #: 00085201402 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-801I - BECK, LORETTA | AMERICAN BALANCED FUND-C | 0.00 | 83.10 | 0.00 | 83.10 | 85.0 | 70.64 |
| | ACCOUNT #: 00086800193 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-65IN - BARLUP, DAVID | AMERICAN BALANCED FUND-C | 0.00 | 2.52 | 0.00 | 2.52 | 85.0 | 2.14 |
| | ACCOUNT #: 00087982202 | BUY 0 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-036I - CROWL, LINDA | AMERICAN BALANCED FUND-C | 0.00 | 75.27 | 0.00 | 75.27 | 85.0 | 63.98 |
| | ACCOUNT #: LSR010466 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-59IR - FREY, RYAN | AMERICAN BALANCED FUND-C | 0.00 | 9.29 | 0.00 | 9.29 | 85.0 | 7.90 |
| | ACCOUNT #: LSR011056 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-036I - KAKAREKA, PAUL | AMERICAN BALANCED FUND-C | 0.00 | 35.59 | 0.00 | 35.59 | 85.0 | 30.25 |
| | ACCOUNT #: LSR011085 | BUY 1 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-30IN - WEAVER, NICOLE | AMERICAN BALANCED FUND-F1 | 0.00 | 5.46 | 0.00 | 5.46 | 85.0 | 4.64 |
| | ACCOUNT #: 00073180963 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-153J - SHOCKEY, JEFFREY | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 5.54 | 0.00 | 5.54 | 85.0 | 4.71 |
| | ACCOUNT #: 00075824133 | BUY 0 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-376X - WAGNER, LINDA | AMERICAN FUNDS BALANCED PORT CL C | 0.00 | 30.43 | 0.00 | 30.43 | 85.0 | 25.87 |
| | ACCOUNT #: LSR010737 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-14IR - MCKENNA, DONNA | AMERICAN FUNDS BALANCED PORT CL C | 0.00 | 13.94 | 0.00 | 13.94 | 85.0 | 11.85 |
| | ACCOUNT #: LSR011375 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-285I - ACKERMAN, SEAN | AMERICAN FUNDS GROWTH PORT CL C | 0.00 | 11.49 | 0.00 | 11.49 | 85.0 | 9.77 |
| | ACCOUNT #: LSR010998 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-265I - NORNHOLD, | AMERICAN FUNDS GRWTH & INCOME PORT | 0.00 | 24.85 | 0.00 | 24.85 | 85.0 | 21.12 |
| | ACCOUNT #: LSR010794 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-057I - PLAPPERT, | AMERICAN FUNDS GRWTH & INCOME PORT | 0.00 | 42.35 | 0.00 | 42.35 | 85.0 | 36.00 |
| | ACCOUNT #: LSR010833 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-057I - PLAPPERT, | AMERICAN FUNDS INCOME PORT CL C | 0.00 | 8.84 | 0.00 | 8.84 | 85.0 | 7.51 |
| | ACCOUNT #: LSR010833 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-854O - FREY, RYAN | AMERICAN FUNDS TAX ADVANTGED INC PO | 0.00 | 14.00 | 0.00 | 14.00 | 85.0 | 11.90 |
| | ACCOUNT #: LSR011528 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-260O - ILGENFRITZ, TOD | AMERICAN FUNDS TAX ADVANTGED INC PO | 0.00 | 44.43 | 0.00 | 44.43 | 85.0 | 37.77 |
| | ACCOUNT #: LSR011549 | BUY 1 Units @0.0000 | | | | | | |



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|--------------------------------------------------------------|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/18/2018 | ***-**-3730 - MANGANELLO, TIRA | AMERICAN FUNDS TAX ADVANTGED INC PO | 0.00 | 28.63 | 0.00 | 28.63 | 85.0 | 24.34 |
| | ACCOUNT #: LSR011550 | BUY 1 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | AMERICAN HIGH-INCOME TRUST-529A | 0.00 | 0.70 | 0.00 | 0.70 | 85.0 | 0.60 |
| | ACCOUNT #: 00084354293 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-44IR - REED, GENEVIEVE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.71 | 0.00 | 1.71 | 85.0 | 1.45 |
| | ACCOUNT #: 00074671768 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.10 | 0.00 | 0.10 | 85.0 | 0.09 |
| | ACCOUNT #: 00084354308 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-05IN - WEAVER, DAVID | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.70 | 0.00 | 2.70 | 85.0 | 2.30 |
| | ACCOUNT #: 00086846926 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-162I - ANDERSON, THOMAS | AMERICAN MORTGAGE FUND CL A | 0.00 | 8.55 | 0.00 | 8.55 | 85.0 | 7.27 |
| | ACCOUNT #: 00068241483 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-831I - REED, JACK | AMERICAN MUTUAL FUND-A | 0.00 | 6.14 | 0.00 | 6.14 | 85.0 | 5.22 |
| | ACCOUNT #: 00067413408 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-162I - ANDERSON, THOMAS | AMERICAN MUTUAL FUND-A | 0.00 | 31.82 | 0.00 | 31.82 | 85.0 | 27.05 |
| | ACCOUNT #: 00068241483 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-71IN - STRAUB, GREGORY | AMERICAN MUTUAL FUND-A | 0.00 | 11.66 | 0.00 | 11.66 | 85.0 | 9.91 |
| | ACCOUNT #: 00073333058 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-44IR - REED, GENEVIEVE | AMERICAN MUTUAL FUND-A | 0.00 | 8.34 | 0.00 | 8.34 | 85.0 | 7.09 |
| | ACCOUNT #: 00074671768 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-94IN - VITALE, LAURIE | AMERICAN MUTUAL FUND-A | 0.00 | 7.54 | 0.00 | 7.54 | 85.0 | 6.41 |
| | ACCOUNT #: 00075108457 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-228I - BUCHANAN, | AMERICAN MUTUAL FUND-A | 0.00 | 7.00 | 0.00 | 7.00 | 85.0 | 5.95 |
| | ACCOUNT #: 00075166368 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-35IN - TOBIN, MAUREEN | AMERICAN MUTUAL FUND-A | 0.00 | 14.08 | 0.00 | 14.08 | 85.0 | 11.97 |
| | ACCOUNT #: 00075417983 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-842I - GREENHALGH, | AMERICAN MUTUAL FUND-A | 0.00 | 7.50 | 0.00 | 7.50 | 85.0 | 6.38 |
| | ACCOUNT #: 00075857948 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-799I - BUCHANAN, STEVEN | AMERICAN MUTUAL FUND-A | 0.00 | 12.41 | 0.00 | 12.41 | 85.0 | 10.55 |
| | ACCOUNT #: 00081734282 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-228I - BUCHANAN, | AMERICAN MUTUAL FUND-A | 0.00 | 15.30 | 0.00 | 15.30 | 85.0 | 13.01 |
| | ACCOUNT #: 00081734302 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-972I - SHAW, SUSAN | AMERICAN MUTUAL FUND-A | 0.00 | 8.16 | 0.00 | 8.16 | 85.0 | 6.94 |
| | ACCOUNT #: 00082025659 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-244I - REED, GENEVIEVE | AMERICAN MUTUAL FUND-A | 0.00 | 2.78 | 0.00 | 2.78 | 85.0 | 2.36 |
| | ACCOUNT #: 00086668365 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-400I - ROMIG, MARK | AMERICAN MUTUAL FUND-A | 0.00 | 41.12 | 0.00 | 41.12 | 85.0 | 34.95 |
| | ACCOUNT #: 00087282057 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-52IN - KUNKEL, RAY | AMERICAN MUTUAL FUND-A | 0.00 | 8.63 | 0.00 | 8.63 | 85.0 | 7.34 |
| | ACCOUNT #: 04000349641 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-05IN - WEAVER, DAVID | CAPITAL INCOME BUILDER CLASS C | 0.00 | 67.66 | 0.00 | 67.66 | 85.0 | 57.51 |
| | ACCOUNT #: 00075103981 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-80IR - LEAR, WILLIAM | CAPITAL INCOME BUILDER CLASS C | 0.00 | 5.75 | 0.00 | 5.75 | 85.0 | 4.89 |
| | ACCOUNT #: 00084166547 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-461I - WOOD, VIRGINIA | CAPITAL INCOME BUILDER CLASS C | 0.00 | 56.67 | 0.00 | 56.67 | 85.0 | 48.17 |
| | ACCOUNT #: 00084974381 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-999I - WOOD, G. MICHAEL | CAPITAL INCOME BUILDER CLASS C | 0.00 | 33.24 | 0.00 | 33.24 | 85.0 | 28.25 |
| | ACCOUNT #: 00085931337 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-814I - MCKENNA, DONNA | CAPITAL INCOME BUILDER CLASS C | 0.00 | 58.38 | 0.00 | 58.38 | 85.0 | 49.62 |
| | ACCOUNT #: 00087249221 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-54CL - GAROFALO, | CAPITAL INCOME BUILDER CLASS C | 0.00 | 0.33 | 0.00 | 0.33 | 85.0 | 0.28 |
| | ACCOUNT #: 00088299705 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-962I - KLOKIS, ROBERT | CAPITAL INCOME BUILDER CLASS C | 0.00 | 4.25 | 0.00 | 4.25 | 85.0 | 3.61 |
| | ACCOUNT #: 00088311999 | BUY 0 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-949I - LICHT, FBO MS | CAPITAL INCOME BUILDER CLASS C | 0.00 | 2.12 | 0.00 | 2.12 | 85.0 | 1.80 |
| | ACCOUNT #: LSR010542 | BUY 1 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-683I - SCHWARTZ, EMILY | CAPITAL INCOME BUILDER-A | 0.00 | 3.11 | 0.00 | 3.11 | 85.0 | 2.64 |
| | ACCOUNT #: 00063858924 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-162I - ANDERSON, THOMAS | CAPITAL INCOME BUILDER-A | 0.00 | 23.92 | 0.00 | 23.92 | 85.0 | 20.33 |
| | ACCOUNT #: 00068241483 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-959I - HACKMAN, BRANDT | CAPITAL INCOME BUILDER-A | 0.00 | 13.62 | 0.00 | 13.62 | 85.0 | 11.58 |
| | ACCOUNT #: 00068405049 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-276I - GASSWINT, SUSAN | CAPITAL INCOME BUILDER-A | 0.00 | 7.78 | 0.00 | 7.78 | 85.0 | 6.61 |
| | ACCOUNT #: 00068559968 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

June 18, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-227I - ZELLERS, ACCOUNT #: 00068680438 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 29.93 | 0.00 | 29.93 | 85.0 | 25.44 |
| 06/01/2018 | ***-**-162I - ANDERSON, THOMAS ACCOUNT #: 00068905799 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 6.37 | 0.00 | 6.37 | 85.0 | 5.41 |
| 06/01/2018 | ***-**-32IN - WHEELER, THOMAS ACCOUNT #: 00073406010 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 11.90 | 0.00 | 11.90 | 85.0 | 10.12 |
| 06/01/2018 | ***-**-61IN - STOOPS, SARA ACCOUNT #: 00073738867 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 4.62 | 0.00 | 4.62 | 85.0 | 3.93 |
| 06/01/2018 | ***-**-85IN - GRAHAM, BARBARA ACCOUNT #: 00074061357 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 4.40 | 0.00 | 4.40 | 85.0 | 3.74 |
| 06/01/2018 | ***-**-11IN - GRAHAM, ARTHUR ACCOUNT #: 00074061422 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 3.33 | 0.00 | 3.33 | 85.0 | 2.83 |
| 06/01/2018 | ***-**-842I - GREENHALGH, ACCOUNT #: 00074721842 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 14.85 | 0.00 | 14.85 | 85.0 | 12.62 |
| 06/01/2018 | ***-**-069I - MEHALKO, ACCOUNT #: 00075263054 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 1.02 | 0.00 | 1.02 | 85.0 | 0.87 |
| 06/01/2018 | ***-**-70IN - DUNDORE, ETHEL ACCOUNT #: 00075558052 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 13.59 | 0.00 | 13.59 | 85.0 | 11.55 |
| 06/01/2018 | ***-**-153J - SHOCKEY, JEFFREY ACCOUNT #: 00075824133 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 21.08 | 0.00 | 21.08 | 85.0 | 17.92 |
| 06/01/2018 | ***-**-92IN - ZEBLEY, ACCOUNT #: 00082035849 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 17.94 | 0.00 | 17.94 | 85.0 | 15.25 |
| 06/01/2018 | ***-**-653I - MYERS, MARVIN ACCOUNT #: 00082603023 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 7.28 | 0.00 | 7.28 | 85.0 | 6.19 |
| 06/01/2018 | ***-**-80IR - LEAR, WILLIAM ACCOUNT #: 00084166547 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 1.83 | 0.00 | 1.83 | 85.0 | 1.56 |
| 06/01/2018 | ***-**-752I - HARBAUGH, KELLY ACCOUNT #: 00084420802 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 10.79 | 0.00 | 10.79 | 85.0 | 9.17 |
| 06/01/2018 | ***-**-11IN - GRAHAM, ARTHUR ACCOUNT #: 00085061358 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 6.64 | 0.00 | 6.64 | 85.0 | 5.64 |
| 06/01/2018 | ***-**-48IN - KANE, VIRGINIA ACCOUNT #: 00085083170 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 7.11 | 0.00 | 7.11 | 85.0 | 6.04 |



COMMISSION STATEMENT

June 18, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-11IN - GRAHAM, ARTHUR ACCOUNT #: 00085201011 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 5.50 | 0.00 | 5.50 | 85.0 | 4.68 |
| 06/01/2018 | ***-**-582I - PLOCKI, EDWARD ACCOUNT #: 00085925861 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 16.71 | 0.00 | 16.71 | 85.0 | 14.20 |
| 06/01/2018 | ***-**-962I - KLOKIS, ROBERT ACCOUNT #: 00085925927 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 29.96 | 0.00 | 29.96 | 85.0 | 25.47 |
| 06/01/2018 | ***-**-305I - WAGNER, KEITH ACCOUNT #: 00085996672 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 43.56 | 0.00 | 43.56 | 85.0 | 37.03 |
| 06/01/2018 | ***-**-244I - REED, GENEVIEVE ACCOUNT #: 00086668365 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 26.31 | 0.00 | 26.31 | 85.0 | 22.36 |
| 06/01/2018 | ***-**-05IN - WEAVER, DAVID ACCOUNT #: 00086846926 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 6.10 | 0.00 | 6.10 | 85.0 | 5.19 |
| 06/01/2018 | ***-**-85IN - GRAHAM, BARBARA ACCOUNT #: 00087104867 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 8.32 | 0.00 | 8.32 | 85.0 | 7.07 |
| 06/01/2018 | ***-**-113I - PIERMANI, JOHN ACCOUNT #: 00087371626 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 20.28 | 0.00 | 20.28 | 85.0 | 17.24 |
| 06/01/2018 | ***-**-18IN - KUNKEL, RYAN ACCOUNT #: 00087401941 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 1.82 | 0.00 | 1.82 | 85.0 | 1.55 |
| 06/01/2018 | ***-**-18IN - KUNKEL, RYAN ACCOUNT #: 00087404382 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 0.61 | 0.00 | 0.61 | 85.0 | 0.52 |
| 06/01/2018 | ***-**-71IN - STRAUB, GREGORY ACCOUNT #: 00087442503 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 3.84 | 0.00 | 3.84 | 85.0 | 3.26 |
| 06/01/2018 | ***-**-964I - BURG, WAYNE ACCOUNT #: 00087501958 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 24.41 | 0.00 | 24.41 | 85.0 | 20.75 |
| 06/01/2018 | ***-**-964I - BURG, WAYNE ACCOUNT #: 00087506557 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 8.77 | 0.00 | 8.77 | 85.0 | 7.45 |
| 06/01/2018 | ***-**-057I - BEIBLE, DIANE ACCOUNT #: 00087729631 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 10.58 | 0.00 | 10.58 | 85.0 | 8.99 |
| 06/01/2018 | ***-**-207I - SHOCKEY, CHELSEA ACCOUNT #: 00088283660 | CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000 | 0.00 | 0.87 | 0.00 | 0.87 | 85.0 | 0.74 |
| 06/18/2018 | ***-**-992I - SMITH, FBO MR ACCOUNT #: LSR010578 | CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000 | 0.00 | 11.81 | 0.00 | 11.81 | 85.0 | 10.04 |



COMMISSION STATEMENT

June 18, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------------------|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-05IN - WEAVER, DAVID | CAPITAL INCOME BUILDER-F1 | 0.00 | 3.61 | 0.00 | 3.61 | 85.0 | 3.07 |
| | ACCOUNT #: 00075103981 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-44IR - REED, GENEVIEVE | CAPITAL WORLD BOND FUND-A | 0.00 | 2.71 | 0.00 | 2.71 | 85.0 | 2.30 |
| | ACCOUNT #: 00074671768 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-71IN - STRAUB, GREGORY | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 13.29 | 0.00 | 13.29 | 85.0 | 11.30 |
| | ACCOUNT #: 00073333058 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-84IN - METTEE, MICHAEL | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 3.48 | 0.00 | 3.48 | 85.0 | 2.96 |
| | ACCOUNT #: 00074596466 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-44IR - REED, GENEVIEVE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 4.12 | 0.00 | 4.12 | 85.0 | 3.50 |
| | ACCOUNT #: 00074671768 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-26IN - CROWL, ROBERT | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 3.30 | 0.00 | 3.30 | 85.0 | 2.81 |
| | ACCOUNT #: 00074806269 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-36IN - CROWL, LINDA | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 2.59 | 0.00 | 2.59 | 85.0 | 2.20 |
| | ACCOUNT #: 00074806331 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-94IN - VITALE, LAURIE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 7.65 | 0.00 | 7.65 | 85.0 | 6.50 |
| | ACCOUNT #: 00075108457 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-228I - BUCHANAN, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 4.67 | 0.00 | 4.67 | 85.0 | 3.97 |
| | ACCOUNT #: 00075166368 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-35IN - TOBIN, MAUREEN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 17.09 | 0.00 | 17.09 | 85.0 | 14.53 |
| | ACCOUNT #: 00075417983 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-842I - GREENHALGH, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 6.97 | 0.00 | 6.97 | 85.0 | 5.92 |
| | ACCOUNT #: 00075857948 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-52IR - HARBAUGH, KELLY | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 1.01 | 0.00 | 1.01 | 85.0 | 0.86 |
| | ACCOUNT #: 00075998693 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-799I - BUCHANAN, STEVEN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 13.66 | 0.00 | 13.66 | 85.0 | 11.61 |
| | ACCOUNT #: 00081734282 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-228I - BUCHANAN, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 13.96 | 0.00 | 13.96 | 85.0 | 11.87 |
| | ACCOUNT #: 00081734302 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-972I - SHAW, SUSAN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 16.62 | 0.00 | 16.62 | 85.0 | 14.13 |
| | ACCOUNT #: 00082025659 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-54IN - SCHMIDT, JENNY | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 2.35 | 0.00 | 2.35 | 85.0 | 2.00 |
| | ACCOUNT #: 00082865142 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

June 18, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
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BROKER #: A17
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------------------|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-81IR - BLEILER, CARLTON | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 3.02 | 0.00 | 3.02 | 85.0 | 2.57 |
| | ACCOUNT #: 00083178211 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-51IR - BLEILER, CONNIE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 3.02 | 0.00 | 3.02 | 85.0 | 2.57 |
| | ACCOUNT #: 00083178258 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-33IN - KNOBL, LISA | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 7.73 | 0.00 | 7.73 | 85.0 | 6.57 |
| | ACCOUNT #: 00083311783 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-30IN - WEAVER, NICOLE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 4.26 | 0.00 | 4.26 | 85.0 | 3.62 |
| | ACCOUNT #: 00083890212 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 0.14 | 0.00 | 0.14 | 85.0 | 0.12 |
| | ACCOUNT #: 00084354308 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-52IN - KUNKEL, RAY | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 10.45 | 0.00 | 10.45 | 85.0 | 8.88 |
| | ACCOUNT #: 00085861084 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-37IN - KUNKEL, JANET | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 15.49 | 0.00 | 15.49 | 85.0 | 13.17 |
| | ACCOUNT #: 00085861160 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-244I - REED, GENEVIEVE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 7.19 | 0.00 | 7.19 | 85.0 | 6.11 |
| | ACCOUNT #: 00086668365 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-18IN - KUNKEL, RYAN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 4.49 | 0.00 | 4.49 | 85.0 | 3.82 |
| | ACCOUNT #: 00087401941 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-18IN - KUNKEL, RYAN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 5.99 | 0.00 | 5.99 | 85.0 | 5.09 |
| | ACCOUNT #: 00087404382 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-52IN - KUNKEL, RAY | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 1.46 | 0.00 | 1.46 | 85.0 | 1.24 |
| | ACCOUNT #: 04000349641 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-54IN - SCHMIDT, JENNY | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 57.40 | 0.00 | 57.40 | 85.0 | 48.79 |
| | ACCOUNT #: 00082865142 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-80IR - LEAR, WILLIAM | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 14.47 | 0.00 | 14.47 | 85.0 | 12.30 |
| | ACCOUNT #: 00084166547 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-99IN - VITALE, DAMIAN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 13.89 | 0.00 | 13.89 | 85.0 | 11.81 |
| | ACCOUNT #: 00084641216 | BUY 0 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-184I - BEAVERSON, ROYCE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 10.01 | 0.00 | 10.01 | 85.0 | 8.51 |
| | ACCOUNT #: LSR010468 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-831I - REED, JACK | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 0.81 | 0.00 | 0.81 | 85.0 | 0.69 |
| | ACCOUNT #: LSR010577 | BUY 1 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

June 18, 2018

MICHAEL GAROFALO
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|--------------------------------------------------------------|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | CAPITAL WORLD GROWTH AND INCOME-529 | 0.00 | 0.79 | 0.00 | 0.79 | 85.0 | 0.67 |
| | ACCOUNT #: 00084354293 | BUY 0 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-992I - SMITH, FBO MR | EATON VANCE SHT DUR STRATEGIC INCOM | 0.00 | 4.14 | 0.00 | 4.14 | 85.0 | 3.52 |
| | ACCOUNT #: LSR010578 | BUY 1 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | EUROPACIFIC GROWTH FUND-529A | 0.00 | 0.71 | 0.00 | 0.71 | 85.0 | 0.60 |
| | ACCOUNT #: 00084354293 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-683I - SCHWARTZ, EMILY | EUROPACIFIC GROWTH FUND-A | 0.00 | 1.15 | 0.00 | 1.15 | 85.0 | 0.98 |
| | ACCOUNT #: 00063858924 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-831I - REED, JACK | EUROPACIFIC GROWTH FUND-A | 0.00 | 6.26 | 0.00 | 6.26 | 85.0 | 5.32 |
| | ACCOUNT #: 00067413408 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-44IR - REED, GENEVIEVE | EUROPACIFIC GROWTH FUND-A | 0.00 | 3.64 | 0.00 | 3.64 | 85.0 | 3.09 |
| | ACCOUNT #: 00074671768 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-26IN - CROWL, ROBERT | EUROPACIFIC GROWTH FUND-A | 0.00 | 2.44 | 0.00 | 2.44 | 85.0 | 2.07 |
| | ACCOUNT #: 00074806269 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-36IN - CROWL, LINDA | EUROPACIFIC GROWTH FUND-A | 0.00 | 1.82 | 0.00 | 1.82 | 85.0 | 1.55 |
| | ACCOUNT #: 00074806331 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-32IR - SHAW, WILLIAM | EUROPACIFIC GROWTH FUND-A | 0.00 | 0.14 | 0.00 | 0.14 | 85.0 | 0.12 |
| | ACCOUNT #: 00082011931 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-72IR - SHAW, SUSAN | EUROPACIFIC GROWTH FUND-A | 0.00 | 0.12 | 0.00 | 0.12 | 85.0 | 0.10 |
| | ACCOUNT #: 00082013491 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-30IN - WEAVER, NICOLE | EUROPACIFIC GROWTH FUND-A | 0.00 | 3.62 | 0.00 | 3.62 | 85.0 | 3.08 |
| | ACCOUNT #: 00083890212 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | EUROPACIFIC GROWTH FUND-A | 0.00 | 0.09 | 0.00 | 0.09 | 85.0 | 0.08 |
| | ACCOUNT #: 00084354308 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-244I - REED, GENEVIEVE | EUROPACIFIC GROWTH FUND-A | 0.00 | 1.17 | 0.00 | 1.17 | 85.0 | 0.99 |
| | ACCOUNT #: 00086668365 | BUY 0 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-4000 - ROMIG, MARK | EV ATLANTA SELECT EQUITY FUND CL C | 0.00 | 14.68 | 0.00 | 14.68 | 85.0 | 12.48 |
| | ACCOUNT #: LSR011567 | BUY 1 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | FUNDAMENTAL INVESTORS-529A | 0.00 | 2.10 | 0.00 | 2.10 | 85.0 | 1.79 |
| | ACCOUNT #: 00084354293 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-72IR - SHAW, SUSAN | FUNDAMENTAL INVESTORS-A | 0.00 | 5.76 | 0.00 | 5.76 | 85.0 | 4.90 |
| | ACCOUNT #: 00082013491 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

June 18, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------------------|--------------------------------|--------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | FUNDAMENTAL INVESTORS-A | 0.00 | 0.46 | 0.00 | 0.46 | 85.0 | 0.39 |
| | ACCOUNT #: 00084354308 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-207I - SHOCKEY, CHELSEA | FUNDAMENTAL INVESTORS-A | 0.00 | 0.74 | 0.00 | 0.74 | 85.0 | 0.63 |
| | ACCOUNT #: 00088283660 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | FUNDAMENTAL INVESTORS-C | 0.00 | 38.06 | 0.00 | 38.06 | 85.0 | 32.35 |
| | ACCOUNT #: 00084354308 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-18IN - KUNKEL, RYAN | GLOBAL GROWTH PORTFOLIO-A | 0.00 | 0.39 | 0.00 | 0.39 | 85.0 | 0.33 |
| | ACCOUNT #: 00087401941 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-05IN - WEAVER, DAVID | INCOME FUND OF AMERICA CLASS C | 0.00 | 74.28 | 0.00 | 74.28 | 85.0 | 63.14 |
| | ACCOUNT #: 00075103981 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-54IN - SCHMIDT, JENNY | INCOME FUND OF AMERICA CLASS C | 0.00 | 35.72 | 0.00 | 35.72 | 85.0 | 30.36 |
| | ACCOUNT #: 00082865142 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-80IR - LEAR, WILLIAM | INCOME FUND OF AMERICA CLASS C | 0.00 | 6.36 | 0.00 | 6.36 | 85.0 | 5.41 |
| | ACCOUNT #: 00084166547 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-461I - WOOD, VIRGINIA | INCOME FUND OF AMERICA CLASS C | 0.00 | 64.42 | 0.00 | 64.42 | 85.0 | 54.76 |
| | ACCOUNT #: 00084974381 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-897I - PLAPPERT, KAREN | INCOME FUND OF AMERICA CLASS C | 0.00 | 49.68 | 0.00 | 49.68 | 85.0 | 42.23 |
| | ACCOUNT #: 00085201402 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-664I - HACKMAN, | INCOME FUND OF AMERICA CLASS C | 0.00 | 3.82 | 0.00 | 3.82 | 85.0 | 3.25 |
| | ACCOUNT #: 00085312958 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-999I - WOOD, G. MICHAEL | INCOME FUND OF AMERICA CLASS C | 0.00 | 37.20 | 0.00 | 37.20 | 85.0 | 31.62 |
| | ACCOUNT #: 00085931337 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-801I - BECK, LORETTA | INCOME FUND OF AMERICA CLASS C | 0.00 | 54.64 | 0.00 | 54.64 | 85.0 | 46.44 |
| | ACCOUNT #: 00086800193 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-614I - WILLIAMS, RONALD | INCOME FUND OF AMERICA CLASS C | 0.00 | 6.82 | 0.00 | 6.82 | 85.0 | 5.80 |
| | ACCOUNT #: 00086945921 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-814I - MCKENNA, DONNA | INCOME FUND OF AMERICA CLASS C | 0.00 | 63.68 | 0.00 | 63.68 | 85.0 | 54.13 |
| | ACCOUNT #: 00087249221 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-65IN - BARLUP, DAVID | INCOME FUND OF AMERICA CLASS C | 0.00 | 2.38 | 0.00 | 2.38 | 85.0 | 2.02 |
| | ACCOUNT #: 00087982202 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-962I - KLOKIS, ROBERT | INCOME FUND OF AMERICA CLASS C | 0.00 | 4.41 | 0.00 | 4.41 | 85.0 | 3.75 |
| | ACCOUNT #: 00088311999 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

June 18, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------------------|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/18/2018 | ***-**-949I - LICHT, FBO MS | INCOME FUND OF AMERICA CLASS C | 0.00 | 2.91 | 0.00 | 2.91 | 85.0 | 2.47 |
| | ACCOUNT #: LSR010542 | BUY 1 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-162I - ANDERSON, THOMAS | INFLATION LINKED BOND FUND-A | 0.00 | 8.58 | 0.00 | 8.58 | 85.0 | 7.29 |
| | ACCOUNT #: 00068241483 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-225P - SHOCKEY, DEBORAH | INTERMEDIATE BOND FUND OF AMERICA-5 | 0.00 | 5.25 | 0.00 | 5.25 | 85.0 | 4.46 |
| | ACCOUNT #: 00067771618 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-30IN - STRAUB, JANE | INTERMEDIATE BOND FUND OF AMERICA-A | 0.00 | 1.95 | 0.00 | 1.95 | 85.0 | 1.66 |
| | ACCOUNT #: 00068420311 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-30IN - WEAVER, NICOLE | INVESTMENT COMPANY OF AMERICA CLASS | 0.00 | 78.91 | 0.00 | 78.91 | 85.0 | 67.07 |
| | ACCOUNT #: 00073181035 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-801I - BECK, LORETTA | INVESTMENT COMPANY OF AMERICA CLASS | 0.00 | 29.52 | 0.00 | 29.52 | 85.0 | 25.09 |
| | ACCOUNT #: 00086800193 | BUY 0 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-83IN - SCHWARTZ, EMILY | MASS INVESTORS GROWTH STOCK FUND-A | 0.00 | 6.58 | 0.00 | 6.58 | 85.0 | 5.59 |
| | ACCOUNT #: LSR010190 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-83IN - SCHWARTZ, EMILY | MASSACHUSETTS INVESTORS TRUST-A | 0.00 | 6.60 | 0.00 | 6.60 | 85.0 | 5.61 |
| | ACCOUNT #: LSR010190 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-859X - FREY, RYAN | MFS GROWTH PORT CLASS C | 0.00 | 43.33 | 0.00 | 43.33 | 85.0 | 36.83 |
| | ACCOUNT #: LSR011527 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-630X - WEAVER, NICOLE | MFS GROWTH PORT CLASS C | 0.00 | 60.18 | 0.00 | 60.18 | 85.0 | 51.15 |
| | ACCOUNT #: LSR011529 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-181X - ILGENFRITZ, | MFS GROWTH PORT CLASS C | 0.00 | 22.45 | 0.00 | 22.45 | 85.0 | 19.08 |
| | ACCOUNT #: LSR011555 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-859X - FREY, RYAN | MFS DIVERSIFIED INCOME FD CL C | 0.00 | 65.59 | 0.00 | 65.59 | 85.0 | 55.75 |
| | ACCOUNT #: LSR011527 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-630X - WEAVER, NICOLE | MFS DIVERSIFIED INCOME FD CL C | 0.00 | 48.10 | 0.00 | 48.10 | 85.0 | 40.89 |
| | ACCOUNT #: LSR011529 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-881I - HOUSER, LORI | MFS DIVERSIFIED INCOME FD CL C | 0.00 | 0.35 | 0.00 | 0.35 | 85.0 | 0.30 |
| | ACCOUNT #: LSR011961 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-055O - ILGENFRITZ, TIM | MFS GLOBAL TOTAL RETURN FUND-C | 0.00 | 0.02 | 0.00 | 0.02 | 85.0 | 0.02 |
| | ACCOUNT #: LSR011557 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-162I - ANDERSON, THOMAS | MFS GLOBAL TOTAL RETURN FUND-C | 0.00 | 0.02 | 0.00 | 0.02 | 85.0 | 0.02 |
| | ACCOUNT #: LSR012358 | BUY 1 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

June 18, 2018

MICHAEL GAROFALO
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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/18/2018 | ***-**-881I - HOUSER, LORI | MFS MID CAP VALUE CLASS C | 0.00 | 0.45 | 0.00 | 0.45 | 85.0 | 0.38 |
| | ACCOUNT #: LSR011961 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-859X - FREY, RYAN | MFS NEW DISCOVERY VALUE FUND CL C | 0.00 | 19.68 | 0.00 | 19.68 | 85.0 | 16.73 |
| | ACCOUNT #: LSR011527 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-630X - WEAVER, NICOLE | MFS NEW DISCOVERY VALUE FUND CL C | 0.00 | 39.36 | 0.00 | 39.36 | 85.0 | 33.46 |
| | ACCOUNT #: LSR011529 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-260X - ILGENFRITZ, CORY | MFS NEW DISCOVERY VALUE FUND CL C | 0.00 | 11.02 | 0.00 | 11.02 | 85.0 | 9.37 |
| | ACCOUNT #: LSR011553 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-214I - SCHMIDT, DAVID | MFS NEW DISCOVERY VALUE FUND CL C | 0.00 | 15.06 | 0.00 | 15.06 | 85.0 | 12.80 |
| | ACCOUNT #: LSR011691 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-352I - KUNKEL, RAY | MFS TOTAL RETURN FUND-C | 0.00 | 0.02 | 0.00 | 0.02 | 85.0 | 0.02 |
| | ACCOUNT #: LSR011585 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-837I - KUNKEL, JANET | MFS TOTAL RETURN FUND-C | 0.00 | 0.03 | 0.00 | 0.03 | 85.0 | 0.03 |
| | ACCOUNT #: LSR012410 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-881I - HOUSER, LORI | MFS VALUE FUND-C | 0.00 | 0.50 | 0.00 | 0.50 | 85.0 | 0.43 |
| | ACCOUNT #: LSR011961 | BUY 1 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-683I - SCHWARTZ, EMILY | NEW PERSPECTIVE FUND-A | 0.00 | 5.54 | 0.00 | 5.54 | 85.0 | 4.71 |
| | ACCOUNT #: 00063122427 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-167I - NORNHOLD, DENNIS | NEW PERSPECTIVE FUND-A | 0.00 | 8.23 | 0.00 | 8.23 | 85.0 | 7.00 |
| | ACCOUNT #: 00067410108 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-276I - GASSWINT, SUSAN | NEW PERSPECTIVE FUND-A | 0.00 | 11.13 | 0.00 | 11.13 | 85.0 | 9.46 |
| | ACCOUNT #: 00068559968 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-52IN - STEPP, JENNIFER | NEW PERSPECTIVE FUND-A | 0.00 | 1.98 | 0.00 | 1.98 | 85.0 | 1.68 |
| | ACCOUNT #: 00074245900 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-35IN - TOBIN, MAUREEN | NEW PERSPECTIVE FUND-A | 0.00 | 9.81 | 0.00 | 9.81 | 85.0 | 8.34 |
| | ACCOUNT #: 00075417983 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-305I - WAGNER, KEITH | NEW PERSPECTIVE FUND-A | 0.00 | 18.56 | 0.00 | 18.56 | 85.0 | 15.78 |
| | ACCOUNT #: 00085996672 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-244I - REED, GENEVIEVE | NEW PERSPECTIVE FUND-A | 0.00 | 10.10 | 0.00 | 10.10 | 85.0 | 8.59 |
| | ACCOUNT #: 00086668365 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-37IN - KUNKEL, JANET | NEW PERSPECTIVE FUND-A | 0.00 | 13.88 | 0.00 | 13.88 | 85.0 | 11.80 |
| | ACCOUNT #: 00086684007 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-05IN - WEAVER, DAVID | NEW PERSPECTIVE FUND-A | 0.00 | 10.49 | 0.00 | 10.49 | 85.0 | 8.92 |
| | ACCOUNT #: 00086846926 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-400I - ROMIG, MARK | NEW PERSPECTIVE FUND-A | 0.00 | 27.49 | 0.00 | 27.49 | 85.0 | 23.37 |
| | ACCOUNT #: 00087282057 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-113I - PIERMANI, JOHN | NEW PERSPECTIVE FUND-A | 0.00 | 12.80 | 0.00 | 12.80 | 85.0 | 10.88 |
| | ACCOUNT #: 00087371626 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-18IN - KUNKEL, RYAN | NEW PERSPECTIVE FUND-A | 0.00 | 10.81 | 0.00 | 10.81 | 85.0 | 9.19 |
| | ACCOUNT #: 00087404382 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-71IN - STRAUB, GREGORY | NEW PERSPECTIVE FUND-A | 0.00 | 2.49 | 0.00 | 2.49 | 85.0 | 2.12 |
| | ACCOUNT #: 00087442503 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-964I - BURG, WAYNE | NEW PERSPECTIVE FUND-A | 0.00 | 9.56 | 0.00 | 9.56 | 85.0 | 8.13 |
| | ACCOUNT #: 00087501958 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-207I - SHOCKEY, CHELSEA | NEW PERSPECTIVE FUND-A | 0.00 | 0.95 | 0.00 | 0.95 | 85.0 | 0.81 |
| | ACCOUNT #: 00088283660 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-814I - MCKENNA, DONNA | NEW PERSPECTIVE FUND-C | 0.00 | 25.42 | 0.00 | 25.42 | 85.0 | 21.61 |
| | ACCOUNT #: 00087249221 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-162I - ANDERSON, THOMAS | NEW WORLD FUND-A | 0.00 | 5.16 | 0.00 | 5.16 | 85.0 | 4.39 |
| | ACCOUNT #: 00068241483 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-842I - GREENHALGH, | NEW WORLD FUND-A | 0.00 | 1.56 | 0.00 | 1.56 | 85.0 | 1.33 |
| | ACCOUNT #: 00075857948 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-95IR - ZEBLEY, REBECCA | NEW WORLD FUND-A | 0.00 | 0.01 | 0.00 | 0.01 | 85.0 | 0.01 |
| | ACCOUNT #: 00082254431 | BUY 0 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-352I - KUNKEL, RAY | RESOURCE REAL ESTATE-NFS | 0.00 | 12.88 | 0.00 | 12.88 | 85.0 | 10.95 |
| | ACCOUNT #: LSR011585 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-352I - KUNKEL, RAY | RESOURCE REAL ESTATE-NFS | 0.00 | 4.29 | 0.00 | 4.29 | 85.0 | 3.65 |
| | ACCOUNT #: LSR011585 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-22IR - SHOCKEY, DEBORAH | SAMMONS MF TRAILS | 0.00 | 5.37 | 0.00 | 5.37 | 85.0 | 4.56 |
| | ACCOUNT #: 7200019359 | BUY 0 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-53IR - SHOCKEY, JEFFREY | SAMMONS MF TRAILS | 0.00 | 8.99 | 0.00 | 8.99 | 85.0 | 7.64 |
| | ACCOUNT #: 7200019367 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-842I - GREENHALGH, | SMALLCAP WORLD FUND-A | 0.00 | 3.08 | 0.00 | 3.08 | 85.0 | 2.62 |
| | ACCOUNT #: 00075857948 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-30IN - WEAVER, NICOLE | SMALLCAP WORLD FUND-A | 0.00 | 5.12 | 0.00 | 5.12 | 85.0 | 4.35 |
| | ACCOUNT #: 00083890212 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-05IN - WEAVER, DAVID | SMALLCAP WORLD FUND-A | 0.00 | 4.19 | 0.00 | 4.19 | 85.0 | 3.56 |
| | ACCOUNT #: 00086846926 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-99IN - VITALE, DAMIAN | SMALLCAP WORLD FUND-C | 0.00 | 7.60 | 0.00 | 7.60 | 85.0 | 6.46 |
| | ACCOUNT #: 00084641216 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-153J - SHOCKEY, JEFFREY | TAX-EXEMPT BOND FUND OF AMERICA | 0.00 | 15.91 | 0.00 | 15.91 | 85.0 | 13.52 |
| | ACCOUNT #: 00075824133 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-225P - SHOCKEY, DEBORAH | THE BOND FUND OF AMERICA-529A | 0.00 | 5.65 | 0.00 | 5.65 | 85.0 | 4.80 |
| | ACCOUNT #: 00067771618 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | THE BOND FUND OF AMERICA-529A | 0.00 | 0.67 | 0.00 | 0.67 | 85.0 | 0.57 |
| | ACCOUNT #: 00084354293 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-167I - NORNHOLD, DENNIS | THE BOND FUND OF AMERICA-A | 0.00 | 9.92 | 0.00 | 9.92 | 85.0 | 8.43 |
| | ACCOUNT #: 00067410108 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-227I - ZELLERS, | THE BOND FUND OF AMERICA-A | 0.00 | 12.01 | 0.00 | 12.01 | 85.0 | 10.21 |
| | ACCOUNT #: 00068680438 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-32IN - WHEELER, THOMAS | THE BOND FUND OF AMERICA-A | 0.00 | 1.57 | 0.00 | 1.57 | 85.0 | 1.33 |
| | ACCOUNT #: 00073406010 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-85IN - GRAHAM, BARBARA | THE BOND FUND OF AMERICA-A | 0.00 | 2.17 | 0.00 | 2.17 | 85.0 | 1.84 |
| | ACCOUNT #: 00074061357 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-11IN - GRAHAM, ARTHUR | THE BOND FUND OF AMERICA-A | 0.00 | 2.11 | 0.00 | 2.11 | 85.0 | 1.79 |
| | ACCOUNT #: 00074061422 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-52IN - STEPP, JENNIFER | THE BOND FUND OF AMERICA-A | 0.00 | 0.90 | 0.00 | 0.90 | 85.0 | 0.77 |
| | ACCOUNT #: 00074245900 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-44IR - REED, GENEVIEVE | THE BOND FUND OF AMERICA-A | 0.00 | 2.61 | 0.00 | 2.61 | 85.0 | 2.22 |
| | ACCOUNT #: 00074671768 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-842I - GREENHALGH, | THE BOND FUND OF AMERICA-A | 0.00 | 9.60 | 0.00 | 9.60 | 85.0 | 8.16 |
| | ACCOUNT #: 00074721842 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-70IN - DUNDORE, ETHEL | THE BOND FUND OF AMERICA-A | 0.00 | 9.08 | 0.00 | 9.08 | 85.0 | 7.72 |
| | ACCOUNT #: 00075558052 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-653I - MYERS, MARVIN | THE BOND FUND OF AMERICA-A | 0.00 | 6.05 | 0.00 | 6.05 | 85.0 | 5.14 |
| | ACCOUNT #: 00082603023 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

June 18, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------------------|--------------------------------|---------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | THE BOND FUND OF AMERICA-A | 0.00 | 0.16 | 0.00 | 0.16 | 85.0 | 0.14 |
| | ACCOUNT #: 00084354308 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-11IN - GRAHAM, ARTHUR | THE BOND FUND OF AMERICA-A | 0.00 | 1.34 | 0.00 | 1.34 | 85.0 | 1.14 |
| | ACCOUNT #: 00085061358 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-11IN - GRAHAM, ARTHUR | THE BOND FUND OF AMERICA-A | 0.00 | 4.01 | 0.00 | 4.01 | 85.0 | 3.41 |
| | ACCOUNT #: 00085201011 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-582I - PLOCKI, EDWARD | THE BOND FUND OF AMERICA-A | 0.00 | 5.11 | 0.00 | 5.11 | 85.0 | 4.34 |
| | ACCOUNT #: 00085925861 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-962I - KLOKIS, ROBERT | THE BOND FUND OF AMERICA-A | 0.00 | 6.86 | 0.00 | 6.86 | 85.0 | 5.83 |
| | ACCOUNT #: 00085925927 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-31IN - REED, JACK | THE BOND FUND OF AMERICA-A | 0.00 | 4.89 | 0.00 | 4.89 | 85.0 | 4.16 |
| | ACCOUNT #: 00086425296 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-05IN - WEAVER, DAVID | THE BOND FUND OF AMERICA-A | 0.00 | 3.43 | 0.00 | 3.43 | 85.0 | 2.92 |
| | ACCOUNT #: 00086846926 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-85IN - GRAHAM, BARBARA | THE BOND FUND OF AMERICA-A | 0.00 | 3.82 | 0.00 | 3.82 | 85.0 | 3.25 |
| | ACCOUNT #: 00087104867 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-71IN - STRAUB, GREGORY | THE BOND FUND OF AMERICA-A | 0.00 | 2.58 | 0.00 | 2.58 | 85.0 | 2.19 |
| | ACCOUNT #: 00087442503 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-964I - BURG, WAYNE | THE BOND FUND OF AMERICA-A | 0.00 | 5.36 | 0.00 | 5.36 | 85.0 | 4.56 |
| | ACCOUNT #: 00087506557 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-30IN - WEAVER, NICOLE | THE BOND FUND OF AMERICA-C | 0.00 | 5.01 | 0.00 | 5.01 | 85.0 | 4.26 |
| | ACCOUNT #: 00073180963 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-461I - WOOD, VIRGINIA | THE BOND FUND OF AMERICA-C | 0.00 | 42.91 | 0.00 | 42.91 | 85.0 | 36.47 |
| | ACCOUNT #: 00084974381 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-999I - WOOD, G. MICHAEL | THE BOND FUND OF AMERICA-C | 0.00 | 25.89 | 0.00 | 25.89 | 85.0 | 22.01 |
| | ACCOUNT #: 00085931337 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-814I - MCKENNA, DONNA | THE BOND FUND OF AMERICA-C | 0.00 | 48.23 | 0.00 | 48.23 | 85.0 | 41.00 |
| | ACCOUNT #: 00087249221 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | THE GROWTH FUND OF AMERICA-529A | 0.00 | 2.13 | 0.00 | 2.13 | 85.0 | 1.81 |
| | ACCOUNT #: 00084354293 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-683I - SCHWARTZ, EMILY | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.43 | 0.00 | 2.43 | 85.0 | 2.07 |
| | ACCOUNT #: 00063122427 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

June 18, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

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|--------------------------------------------------------------|--------------------------------|---------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-83IR - SCHWARTZ, EMILY | THE GROWTH FUND OF AMERICA-A | 0.00 | 9.44 | 0.00 | 9.44 | 85.0 | 8.02 |
| | ACCOUNT #: 00066874651 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-30IN - STRAUB, JANE | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.42 | 0.00 | 1.42 | 85.0 | 1.21 |
| | ACCOUNT #: 00068420311 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-379I - WILSON, DELEIGH | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.84 | 0.00 | 5.84 | 85.0 | 4.96 |
| | ACCOUNT #: 00073333244 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-79IR - WILSON, DELEIGH | THE GROWTH FUND OF AMERICA-A | 0.00 | 25.50 | 0.00 | 25.50 | 85.0 | 21.68 |
| | ACCOUNT #: 00073333458 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-09IR - WILSON, EDWARD | THE GROWTH FUND OF AMERICA-A | 0.00 | 25.82 | 0.00 | 25.82 | 85.0 | 21.95 |
| | ACCOUNT #: 00073333515 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-32IN - WHEELER, THOMAS | THE GROWTH FUND OF AMERICA-A | 0.00 | 21.79 | 0.00 | 21.79 | 85.0 | 18.52 |
| | ACCOUNT #: 00073406010 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-80IR - LEAR, WILLIAM | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.98 | 0.00 | 2.98 | 85.0 | 2.53 |
| | ACCOUNT #: 00084166547 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-5144 - WINTER, LAURA | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.51 | 0.00 | 0.51 | 85.0 | 0.43 |
| | ACCOUNT #: 00084354308 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-582I - PLOCKI, EDWARD | THE GROWTH FUND OF AMERICA-A | 0.00 | 7.15 | 0.00 | 7.15 | 85.0 | 6.08 |
| | ACCOUNT #: 00085925861 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-31IN - REED, JACK | THE GROWTH FUND OF AMERICA-A | 0.00 | 8.42 | 0.00 | 8.42 | 85.0 | 7.16 |
| | ACCOUNT #: 00086425296 | BUY 0 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-831I - REED, JACK | THE GROWTH FUND OF AMERICA-A | 0.00 | 6.59 | 0.00 | 6.59 | 85.0 | 5.60 |
| | ACCOUNT #: LSR010577 | BUY 1 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-80IR - LEAR, WILLIAM | THE GROWTH FUND OF AMERICA-C | 0.00 | 8.62 | 0.00 | 8.62 | 85.0 | 7.33 |
| | ACCOUNT #: 00084166547 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-225P - SHOCKEY, DEBORAH | THE INCOME FUND OF AMERICA-529A | 0.00 | 2.25 | 0.00 | 2.25 | 85.0 | 1.91 |
| | ACCOUNT #: 00067771618 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-225P - SHOCKEY, DEBORAH | THE INCOME FUND OF AMERICA-529C | 0.00 | 7.83 | 0.00 | 7.83 | 85.0 | 6.66 |
| | ACCOUNT #: 00067771618 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-162I - ANDERSON, THOMAS | THE INCOME FUND OF AMERICA-A | 0.00 | 45.10 | 0.00 | 45.10 | 85.0 | 38.34 |
| | ACCOUNT #: 00068241483 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-959I - HACKMAN, BRANDT | THE INCOME FUND OF AMERICA-A | 0.00 | 16.77 | 0.00 | 16.77 | 85.0 | 14.25 |
| | ACCOUNT #: 00068405049 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

June 18, 2018

MICHAEL GAROFALO
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Shrewsbury, PA 17361

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BRANCH #01001 - LifeMark Home Office

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|--------------------------------------------------------------|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-276I - GASSWINT, SUSAN | THE INCOME FUND OF AMERICA-A | 0.00 | 13.17 | 0.00 | 13.17 | 85.0 | 11.19 |
| | ACCOUNT #: 00068559968 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-227I - ZELLERS, | THE INCOME FUND OF AMERICA-A | 0.00 | 28.79 | 0.00 | 28.79 | 85.0 | 24.47 |
| | ACCOUNT #: 00068680438 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-379I - WILSON, DELEIGH | THE INCOME FUND OF AMERICA-A | 0.00 | 4.45 | 0.00 | 4.45 | 85.0 | 3.78 |
| | ACCOUNT #: 00073333244 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-79IR - WILSON, DELEIGH | THE INCOME FUND OF AMERICA-A | 0.00 | 19.38 | 0.00 | 19.38 | 85.0 | 16.47 |
| | ACCOUNT #: 00073333458 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-09IR - WILSON, EDWARD | THE INCOME FUND OF AMERICA-A | 0.00 | 19.62 | 0.00 | 19.62 | 85.0 | 16.68 |
| | ACCOUNT #: 00073333515 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-32IN - WHEELER, THOMAS | THE INCOME FUND OF AMERICA-A | 0.00 | 12.27 | 0.00 | 12.27 | 85.0 | 10.43 |
| | ACCOUNT #: 00073406010 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-61IN - STOOPS, SARA | THE INCOME FUND OF AMERICA-A | 0.00 | 4.27 | 0.00 | 4.27 | 85.0 | 3.63 |
| | ACCOUNT #: 00073738867 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-85IN - GRAHAM, BARBARA | THE INCOME FUND OF AMERICA-A | 0.00 | 3.62 | 0.00 | 3.62 | 85.0 | 3.08 |
| | ACCOUNT #: 00074061357 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-11IN - GRAHAM, ARTHUR | THE INCOME FUND OF AMERICA-A | 0.00 | 4.59 | 0.00 | 4.59 | 85.0 | 3.90 |
| | ACCOUNT #: 00074061422 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-81IR - METTEE, LINDA | THE INCOME FUND OF AMERICA-A | 0.00 | 1.91 | 0.00 | 1.91 | 85.0 | 1.62 |
| | ACCOUNT #: 00074596449 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-842I - GREENHALGH, | THE INCOME FUND OF AMERICA-A | 0.00 | 17.21 | 0.00 | 17.21 | 85.0 | 14.63 |
| | ACCOUNT #: 00074721842 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-069I - MEHALKO, | THE INCOME FUND OF AMERICA-A | 0.00 | 0.62 | 0.00 | 0.62 | 85.0 | 0.53 |
| | ACCOUNT #: 00075263054 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-35IN - TOBIN, MAUREEN | THE INCOME FUND OF AMERICA-A | 0.00 | 15.96 | 0.00 | 15.96 | 85.0 | 13.57 |
| | ACCOUNT #: 00075417983 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-70IN - DUNDORE, ETHEL | THE INCOME FUND OF AMERICA-A | 0.00 | 16.61 | 0.00 | 16.61 | 85.0 | 14.12 |
| | ACCOUNT #: 00075558052 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-153J - SHOCKEY, JEFFREY | THE INCOME FUND OF AMERICA-A | 0.00 | 21.31 | 0.00 | 21.31 | 85.0 | 18.11 |
| | ACCOUNT #: 00075824133 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-52IR - HARBAUGH, KELLY | THE INCOME FUND OF AMERICA-A | 0.00 | 0.81 | 0.00 | 0.81 | 85.0 | 0.69 |
| | ACCOUNT #: 00075998693 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

June 18, 2018

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54 Brookmeadow Circle
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BROKER #: A17
BRANCH #01001 - LifeMark Home Office

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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-972I - SHAW, SUSAN | THE INCOME FUND OF AMERICA-A | 0.00 | 11.90 | 0.00 | 11.90 | 85.0 | 10.12 |
| | ACCOUNT #: 00082025659 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-92IN - ZEBLEY, | THE INCOME FUND OF AMERICA-A | 0.00 | 22.57 | 0.00 | 22.57 | 85.0 | 19.18 |
| | ACCOUNT #: 00082035849 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-653I - MYERS, MARVIN | THE INCOME FUND OF AMERICA-A | 0.00 | 8.25 | 0.00 | 8.25 | 85.0 | 7.01 |
| | ACCOUNT #: 00082603023 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-54IN - SCHMIDT, JENNY | THE INCOME FUND OF AMERICA-A | 0.00 | 2.78 | 0.00 | 2.78 | 85.0 | 2.36 |
| | ACCOUNT #: 00082865142 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-33IN - KNOBL, LISA | THE INCOME FUND OF AMERICA-A | 0.00 | 5.50 | 0.00 | 5.50 | 85.0 | 4.68 |
| | ACCOUNT #: 00083311783 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-30IN - WEAVER, NICOLE | THE INCOME FUND OF AMERICA-A | 0.00 | 4.29 | 0.00 | 4.29 | 85.0 | 3.65 |
| | ACCOUNT #: 00083890212 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-80IR - LEAR, WILLIAM | THE INCOME FUND OF AMERICA-A | 0.00 | 2.16 | 0.00 | 2.16 | 85.0 | 1.84 |
| | ACCOUNT #: 00084166547 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-752I - HARBAUGH, KELLY | THE INCOME FUND OF AMERICA-A | 0.00 | 11.69 | 0.00 | 11.69 | 85.0 | 9.94 |
| | ACCOUNT #: 00084420802 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-11IN - GRAHAM, ARTHUR | THE INCOME FUND OF AMERICA-A | 0.00 | 6.65 | 0.00 | 6.65 | 85.0 | 5.65 |
| | ACCOUNT #: 00085061358 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-48IN - KANE, VIRGINIA | THE INCOME FUND OF AMERICA-A | 0.00 | 11.90 | 0.00 | 11.90 | 85.0 | 10.12 |
| | ACCOUNT #: 00085083170 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-11IN - GRAHAM, ARTHUR | THE INCOME FUND OF AMERICA-A | 0.00 | 6.28 | 0.00 | 6.28 | 85.0 | 5.34 |
| | ACCOUNT #: 00085201011 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-52IN - KUNKEL, RAY | THE INCOME FUND OF AMERICA-A | 0.00 | 11.57 | 0.00 | 11.57 | 85.0 | 9.83 |
| | ACCOUNT #: 00085861084 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-37IN - KUNKEL, JANET | THE INCOME FUND OF AMERICA-A | 0.00 | 11.57 | 0.00 | 11.57 | 85.0 | 9.83 |
| | ACCOUNT #: 00085861160 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-582I - PLOCKI, EDWARD | THE INCOME FUND OF AMERICA-A | 0.00 | 17.71 | 0.00 | 17.71 | 85.0 | 15.05 |
| | ACCOUNT #: 00085925861 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-962I - KLOKIS, ROBERT | THE INCOME FUND OF AMERICA-A | 0.00 | 36.72 | 0.00 | 36.72 | 85.0 | 31.21 |
| | ACCOUNT #: 00085925927 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-305I - WAGNER, KEITH | THE INCOME FUND OF AMERICA-A | 0.00 | 50.82 | 0.00 | 50.82 | 85.0 | 43.20 |
| | ACCOUNT #: 00085996672 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-31IN - REED, JACK | THE INCOME FUND OF AMERICA-A | 0.00 | 15.15 | 0.00 | 15.15 | 85.0 | 12.88 |
| | ACCOUNT #: 00086425296 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-244I - REED, GENEVIEVE | THE INCOME FUND OF AMERICA-A | 0.00 | 24.14 | 0.00 | 24.14 | 85.0 | 20.52 |
| | ACCOUNT #: 00086668365 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-37IN - KUNKEL, JANET | THE INCOME FUND OF AMERICA-A | 0.00 | 12.04 | 0.00 | 12.04 | 85.0 | 10.23 |
| | ACCOUNT #: 00086684007 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-05IN - WEAVER, DAVID | THE INCOME FUND OF AMERICA-A | 0.00 | 6.70 | 0.00 | 6.70 | 85.0 | 5.70 |
| | ACCOUNT #: 00086846926 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-85IN - GRAHAM, BARBARA | THE INCOME FUND OF AMERICA-A | 0.00 | 9.18 | 0.00 | 9.18 | 85.0 | 7.80 |
| | ACCOUNT #: 00087104867 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-113I - PIEMANI, JOHN | THE INCOME FUND OF AMERICA-A | 0.00 | 37.40 | 0.00 | 37.40 | 85.0 | 31.79 |
| | ACCOUNT #: 00087371626 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-18IN - KUNKEL, RYAN | THE INCOME FUND OF AMERICA-A | 0.00 | 4.20 | 0.00 | 4.20 | 85.0 | 3.57 |
| | ACCOUNT #: 00087401941 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-18IN - KUNKEL, RYAN | THE INCOME FUND OF AMERICA-A | 0.00 | 5.56 | 0.00 | 5.56 | 85.0 | 4.73 |
| | ACCOUNT #: 00087404382 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-71IN - STRAUB, GREGORY | THE INCOME FUND OF AMERICA-A | 0.00 | 4.07 | 0.00 | 4.07 | 85.0 | 3.46 |
| | ACCOUNT #: 00087442503 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-964I - BURG, WAYNE | THE INCOME FUND OF AMERICA-A | 0.00 | 26.55 | 0.00 | 26.55 | 85.0 | 22.57 |
| | ACCOUNT #: 00087501958 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-964I - BURG, WAYNE | THE INCOME FUND OF AMERICA-A | 0.00 | 9.41 | 0.00 | 9.41 | 85.0 | 8.00 |
| | ACCOUNT #: 00087506557 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-057I - BEIBLE, DIANE | THE INCOME FUND OF AMERICA-A | 0.00 | 11.40 | 0.00 | 11.40 | 85.0 | 9.69 |
| | ACCOUNT #: 00087729631 | BUY 0 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-83IN - SCHWARTZ, EMILY | THE INCOME FUND OF AMERICA-A | 0.00 | 11.75 | 0.00 | 11.75 | 85.0 | 9.99 |
| | ACCOUNT #: LSR010190 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-934I - BEAVERSON, MARY | THE INCOME FUND OF AMERICA-A | 0.00 | 4.50 | 0.00 | 4.50 | 85.0 | 3.83 |
| | ACCOUNT #: LSR010467 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-184I - BEAVERSON, ROYCE | THE INCOME FUND OF AMERICA-A | 0.00 | 8.35 | 0.00 | 8.35 | 85.0 | 7.10 |
| | ACCOUNT #: LSR010468 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-831I - REED, JACK | THE INCOME FUND OF AMERICA-A | 0.00 | 13.37 | 0.00 | 13.37 | 85.0 | 11.36 |
| | ACCOUNT #: LSR010577 | BUY 1 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

June 18, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------------------|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/18/2018 | ***-**-992I - SMITH, FBO MR | THE INCOME FUND OF AMERICA-A | 0.00 | 12.50 | 0.00 | 12.50 | 85.0 | 10.63 |
| | ACCOUNT #: LSR010578 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-653J - MYERS, AND | THE INCOME FUND OF AMERICA-A | 0.00 | 1.83 | 0.00 | 1.83 | 85.0 | 1.56 |
| | ACCOUNT #: LSR011521 | BUY 1 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-05IN - WEAVER, DAVID | THE INCOME FUND OF AMERICA-F1 | 0.00 | 4.10 | 0.00 | 4.10 | 85.0 | 3.49 |
| | ACCOUNT #: 00075103981 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-83IR - SCHWARTZ, EMILY | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 7.59 | 0.00 | 7.59 | 85.0 | 6.45 |
| | ACCOUNT #: 00066874651 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-167I - NORNHOLD, DENNIS | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 17.35 | 0.00 | 17.35 | 85.0 | 14.75 |
| | ACCOUNT #: 00067410108 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-831I - REED, JACK | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 7.29 | 0.00 | 7.29 | 85.0 | 6.20 |
| | ACCOUNT #: 00067413408 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-48IN - EVANS, SUSAN | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 3.02 | 0.00 | 3.02 | 85.0 | 2.57 |
| | ACCOUNT #: 00068757102 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-30IN - WEAVER, NICOLE | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 20.05 | 0.00 | 20.05 | 85.0 | 17.04 |
| | ACCOUNT #: 00073181035 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-00IN - ROMIG, MARK | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 13.38 | 0.00 | 13.38 | 85.0 | 11.37 |
| | ACCOUNT #: 00073419431 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-52IN - STEPP, JENNIFER | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 1.57 | 0.00 | 1.57 | 85.0 | 1.33 |
| | ACCOUNT #: 00074245900 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-26IN - CROWL, ROBERT | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 13.04 | 0.00 | 13.04 | 85.0 | 11.08 |
| | ACCOUNT #: 00074806269 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-36IN - CROWL, LINDA | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 11.37 | 0.00 | 11.37 | 85.0 | 9.66 |
| | ACCOUNT #: 00074806331 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-32IR - SHAW, WILLIAM | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 7.26 | 0.00 | 7.26 | 85.0 | 6.17 |
| | ACCOUNT #: 00082011931 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-72IR - SHAW, SUSAN | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 7.23 | 0.00 | 7.23 | 85.0 | 6.15 |
| | ACCOUNT #: 00082013491 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-64IN - BURG, WAYNE | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 1.51 | 0.00 | 1.51 | 85.0 | 1.28 |
| | ACCOUNT #: 00083236921 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-376I - WAGNER, LINDA | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 6.26 | 0.00 | 6.26 | 85.0 | 5.32 |
| | ACCOUNT #: 00083259292 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

June 18, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------------------|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-05IR - WAGNER, KEITH | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 20.14 | 0.00 | 20.14 | 85.0 | 17.12 |
| | ACCOUNT #: 00083259378 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-31IN - REED, JACK | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 12.88 | 0.00 | 12.88 | 85.0 | 10.95 |
| | ACCOUNT #: 00086425296 | BUY 0 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-054I - GAROFALO, | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 8.23 | 0.00 | 8.23 | 85.0 | 7.00 |
| | ACCOUNT #: LSF000299 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-83IN - SCHWARTZ, EMILY | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 10.27 | 0.00 | 10.27 | 85.0 | 8.73 |
| | ACCOUNT #: LSR010190 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-934I - BEAVERSON, MARY | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 5.09 | 0.00 | 5.09 | 85.0 | 4.33 |
| | ACCOUNT #: LSR010467 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-653J - MYERS, AND | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 2.14 | 0.00 | 2.14 | 85.0 | 1.82 |
| | ACCOUNT #: LSR011521 | BUY 1 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-00IN - ROMIG, MARK | THE NEW ECONOMY FUND-A | 0.00 | 17.94 | 0.00 | 17.94 | 85.0 | 15.25 |
| | ACCOUNT #: 00073419431 | BUY 0 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-054I - GAROFALO, | THE NEW ECONOMY FUND-A | 0.00 | 4.14 | 0.00 | 4.14 | 85.0 | 3.52 |
| | ACCOUNT #: LSF000299 | BUY 1 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-683I - SCHWARTZ, EMILY | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 1.91 | 0.00 | 1.91 | 85.0 | 1.62 |
| | ACCOUNT #: 00063122427 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-683I - SCHWARTZ, EMILY | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 3.22 | 0.00 | 3.22 | 85.0 | 2.74 |
| | ACCOUNT #: 00063858924 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-30IN - STRAUB, JANE | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 22.09 | 0.00 | 22.09 | 85.0 | 18.78 |
| | ACCOUNT #: 00063926701 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-83IR - SCHWARTZ, EMILY | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 10.00 | 0.00 | 10.00 | 85.0 | 8.50 |
| | ACCOUNT #: 00066874651 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-153J - SHOCKEY, JEFFREY | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 21.98 | 0.00 | 21.98 | 85.0 | 18.68 |
| | ACCOUNT #: 00075824133 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-32IR - SHAW, WILLIAM | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 5.70 | 0.00 | 5.70 | 85.0 | 4.85 |
| | ACCOUNT #: 00082011931 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-972I - SHAW, SUSAN | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 8.13 | 0.00 | 8.13 | 85.0 | 6.91 |
| | ACCOUNT #: 00082025659 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-52IN - KUNKEL, RAY | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 5.83 | 0.00 | 5.83 | 85.0 | 4.96 |
| | ACCOUNT #: 00085861084 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

June 18, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------------------|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 06/01/2018 | ***-**-37IN - KUNKEL, JANET | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 5.83 | 0.00 | 5.83 | 85.0 | 4.96 |
| | ACCOUNT #: 00085861160 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-400I - ROMIG, MARK | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 27.42 | 0.00 | 27.42 | 85.0 | 23.31 |
| | ACCOUNT #: 00086646961 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-113I - PIERMANI, JOHN | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 15.03 | 0.00 | 15.03 | 85.0 | 12.78 |
| | ACCOUNT #: 00087371626 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-18IN - KUNKEL, RYAN | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 4.47 | 0.00 | 4.47 | 85.0 | 3.80 |
| | ACCOUNT #: 00087401941 | BUY 0 Units @0.0000 | | | | | | |
| 06/01/2018 | ***-**-18IN - KUNKEL, RYAN | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 2.63 | 0.00 | 2.63 | 85.0 | 2.24 |
| | ACCOUNT #: 00087404382 | BUY 0 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-054J - GAROFALO, | WASHINGTON MUTUAL INVESTORS FUND-A | 0.00 | 6.40 | 0.00 | 6.40 | 85.0 | 5.44 |
| | ACCOUNT #: LSF000300 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-29IR - MANGANELLO, | WELLS FARGO DIVERSIF INC BUILDER CL | 0.00 | 9.29 | 0.00 | 9.29 | 85.0 | 7.90 |
| | ACCOUNT #: LSR011770 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-055O - ILGENFRITZ, TIM | WELLS FARGO INDEX ASSET ALLOC C | 0.00 | 21.22 | 0.00 | 21.22 | 85.0 | 18.04 |
| | ACCOUNT #: LSR011557 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-352I - KUNKEL, RAY | WELLS FARGO SPECIAL MID CAP CL C | 0.00 | 15.59 | 0.00 | 15.59 | 85.0 | 13.25 |
| | ACCOUNT #: LSR011585 | BUY 1 Units @0.0000 | | | | | | |
| 06/18/2018 | ***-**-831I - KAKAREKA, | WELLS FARGO SPECIAL SMALL CAP VALUE | 0.00 | 1.14 | 0.00 | 1.14 | 85.0 | 0.97 |
| | ACCOUNT #: LSR011084 | BUY 1 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$4,807.40 | \$0.00 | \$4,807.40 | | \$4,086.53 |
| PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS | | | | | | | | |
| 06/13/2018 | ***-**-597I - LEAR, DIANE | ING ADVANTAGE SELECT | 0.00 | 14.80 | 0.00 | 14.80 | 85.0 | 12.58 |
| | ACCOUNT #: R080334-ZS | BUY 0 Units @0.0000 | | | | | | |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$14.80 | \$0.00 | \$14.80 | | \$12.58 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$112,780.07 | \$8,205.60 | \$0.00 | \$8,205.60 | | \$7,482.51 |



BROKER #: A17
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-------------------------------------|--------|------------|----------------------|---------------------|--------------------|-----------------------|------|----------------------|
| <div>BROKER COMMISSION TOTALS</div> | | | | | | | | |
| | | | | | | Broker Transactions | | \$7,482.51 |
| | | | | | | Split Transactions | | \$0.00 |
| | | | | | | Override Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | | Prior Period Balance | | \$0.00 |
| | | | | | | FINRA Assessment | | \$0.00 |
| | | | | | | SIPC Assessment | | \$0.00 |
| | | | | | | Net Commission Earned | | \$7,482.51 |
| | | | | | | Year-to-date Earnings | | \$59,488.10 |