



COMMISSION STATEMENT

January 15, 2018

SAMUEL BARBER
327 Church St
Naugatuck, CT 06770

BROKER #: B06
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/11/2018	***-**-76FB - MANDEL, MICHAEL	AQR LQGE CAP MULTI STYLE FD CL R6- SELL 28 Units @18.2100	504.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000217							
01/11/2018	***-**-76FB - MANDEL, MICHAEL	DFS US CORE EQUITY II-NFS SELL 27 Units @22.3800	611.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000217							
01/11/2018	***-**-76FB - MANDEL, MICHAEL	DFS US VECTOR EQUITY PRTF INSTL CL- SELL 35 Units @19.9200	690.01	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000217							
* MUTUAL FUNDS SUBTOTAL *			\$1,805.01	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
01/15/2018	***-**-04FB - WARD, DANIELLE	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	2.99	0.00	2.99	75.0	2.24
01/15/2018	***-**-68FB - NILAND, MARY	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	8.70	0.00	8.70	75.0	6.53
01/15/2018	***-**-29FB - MOORE, ERIN	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	22.62	0.00	22.62	75.0	16.97
01/15/2018	***-**-68FB - NILAND, MARY	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	23.42	0.00	23.42	75.0	17.57
01/15/2018	***-**-49FB - LINHART, ZACHARY	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	56.46	0.00	56.46	75.0	42.35
01/15/2018	***-**-63FB - BARBER,	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	49.52	0.00	49.52	75.0	37.14
01/15/2018	***-**-41FB - FURNARY, TIMOTHY	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	44.62	0.00	44.62	75.0	33.47
01/15/2018	***-**-76FB - MANDEL, MICHAEL	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	90.53	0.00	90.53	75.0	67.90
01/15/2018	***-**-17FB - REAGAN, MATTHEW	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	125.29	0.00	125.29	75.0	93.97
01/15/2018	***-**-05FB - SMITH, MELANIE	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	171.71	0.00	171.71	75.0	128.78
01/15/2018	***-**-65FB - OAKLEY, EDWARD	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	151.35	0.00	151.35	75.0	113.51
01/15/2018	***-**-74IO - BRENNAN, JOHN	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	225.19	0.00	225.19	75.0	168.89



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
01/15/2018	***-**-94FB - FINELLI, NANCY	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	298.45	0.00	298.45	75.0	223.84
01/15/2018	***-**-95FB - GUTHRIE, RYAN	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	248.23	0.00	248.23	75.0	186.17
01/15/2018	***-**-2696 - IGNACIO, CECILIA	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	269.82	0.00	269.82	75.0	202.37
01/15/2018	***-**-12FB - NORIEGA, CARLOS	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	290.85	0.00	290.85	75.0	218.14
01/10/2018	***-**-90IO - ADOVASIO, LUKE	NFS MANAGED FEES BUY 1 Units @277202.670	0.00	786.04	0.00	786.04	75.0	589.53
01/10/2018	***-**-76FB - MANDEL, MICHAEL	NFS MANAGED FEES BUY 1 Units @26630.0400	0.00	98.49	0.00	98.49	75.0	73.87
01/10/2018	***-**-74IO - BRENNAN, JOHN	NFS MANAGED FEES BUY 1 Units @750491.050	0.00	2,374.62	0.00	2,374.62	75.0	1,780.97
01/10/2018	***-**-76FB - MANDEL, MICHAEL	NFS MANAGED FEES-PARTIAL DEBIT BUY 1 Units @78244.8600	0.00	289.40	0.00	289.40	75.0	217.05
* RIA SUBTOTAL *			\$0.00	\$5,628.30	\$0.00	\$5,628.30		\$4,221.26
<u>PRODUCT CATEGORY: 529 PLAN</u>								
01/15/2018	***-**-0853 - BOYER, THOMAS ACCOUNT #: 77467864401	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	2.24	0.00	2.24	75.0	1.68
* 529 PLAN SUBTOTAL *			\$0.00	\$2.24	\$0.00	\$2.24		\$1.68
*** BROKER TRANSACTIONS TOTAL ***			\$1,805.01	\$5,630.54	\$0.00	\$5,630.54		\$4,222.94



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BROKER COMMISSION TOTALS								
Broker Transactions \$4,222.94								
Split Transactions \$0.00								
Override Transactions \$0.00								
Adjustments \$0.00								
Payroll Draw \$0.00								
Base Salary \$0.00								
Prior Period Balance \$0.00								
FINRA Assessment \$0.00								
SIPC Assessment \$0.00								
Net Commission Earned \$4,222.94								
Year-to-date Earnings \$5,846.93								