

**COMMISSION STATEMENT****February 28, 2018**

JIM LUND
2060 Huntington Drive
Suite 1
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
02/05/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	0.00
02/05/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	0.00
02/05/2018	BRYAN, TRICIA	FA 529 PORT 2028 A	120.00	6.00	0.00	6.00	0.0	0.00
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	10.00	0.00	10.00	0.0	0.00
* 529 PLANS SUBTOTAL *			\$320.00	\$26.00	\$0.00	\$26.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/15/2018	WURMLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	0.00
02/05/2018	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	0.00
02/09/2018	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	0.00
02/12/2018	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	247.50	11.76	0.00	11.76	0.0	0.00
02/12/2018	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	82.50	3.92	0.00	3.92	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,030.00	\$33.18	\$0.00	\$33.18		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$1,350.00	\$59.18	\$0.00	\$59.18		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$1,383.06
Year-to-date Earnings	\$0.00