



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**February 15, 2018**

02/19/2018  
Page No. 1

DAMIAN, D127 DERING  
165 Culver Blvd  
Suite A  
Playa Del Rey, CA 90293

BROKER #: D127  
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
01/29/2018	N8WORKS LLC	BOURNE SENIORS HOUSING III	50,000.00	3,500.00	0.00	3,500.00	92.0	3,220.00
01/31/2018	SHARMA LIVING TRUST	BOURNE SENIORS HOUSING III	50,000.00	3,500.00	0.00	3,500.00	92.0	3,220.00
* PRIVATE PLACEMENT SUBTOTAL *			\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$6,440.00
<b><u>PRODUCT CATEGORY: REIT</u></b>								
01/08/2018	ENTREKIN CHARB TRUST, GARY	CIM COMMERCIAL TRUST SERIES A	55,000.00	2,750.00	0.00	2,750.00	92.0	2,530.00
* REIT SUBTOTAL *			\$55,000.00	\$2,750.00	\$0.00	\$2,750.00		\$2,530.00
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
01/25/2018	PEARSON, ROBERT	AM FUNDS AMCAP 529C	200.00	2.00	0.00	2.00	92.0	1.84
01/25/2018	PEARSON, ROBERT	AM FUNDS AMCAP 529C	200.00	2.00	0.00	2.00	92.0	1.84
01/25/2018	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
01/25/2018	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
01/25/2018	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
01/25/2018	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
01/31/2018	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	200.00	2.00	0.00	2.00	92.0	1.84
01/31/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
01/31/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
* 529 PLANS SUBTOTAL *			\$1,500.00	\$24.76	\$0.00	\$24.76		\$22.78
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/31/2017	RELIAM INC	ADP TRAILS	0.00	345.53	0.00	345.53	92.0	317.89
12/31/2017	HILL & DALE LEARNING	ADP TRAILS	0.00	36.40	0.00	36.40	92.0	33.49
12/31/2017	CAL MEDICAL SVC	ADP TRAILS	0.00	109.68	0.00	109.68	92.0	100.91
12/31/2017	KVM-TEC INC 401K	ADP TRAILS	0.00	6.46	0.00	6.46	92.0	5.94
12/31/2017	CELESTIAL FREIGHT	ADP TRAILS	0.00	311.70	0.00	311.70	92.0	286.76
12/31/2017	MARTINEZ LAW OFFICE	ADP TRAILS	0.00	86.40	0.00	86.40	92.0	79.49
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$896.17	\$0.00	\$896.17		\$824.48

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/08/2018	GIBSON, CARLA	AM GEN SEASONS ELITE	506,589.05	1,266.47	0.00	1,266.47	92.0	1,165.15
02/01/2018	HAVENS, JACK	AM GEN SEASONS TRIPLE ELITE	46,322.33	173.71	0.00	173.71	92.0	159.81
01/31/2018	PUGH, CECILIA	JNL PERSPECTIVE L	45,925.40	38.27	0.00	38.27	92.0	35.21
01/31/2018	LAXER, JACK	JNL PERSPECTIVE L	55,869.02	46.56	0.00	46.56	92.0	42.84
01/31/2018	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	65,422.21	54.52	0.00	54.52	92.0	50.16
01/31/2018	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	79,628.12	66.36	0.00	66.36	92.0	61.05
01/31/2018	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	78,400.19	65.33	0.00	65.33	92.0	60.10
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$878,156.32</b>	<b>\$1,711.22</b>	<b>\$0.00</b>	<b>\$1,711.22</b>		<b>\$1,574.32</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/18/2018	SUTZ, JACK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.33	0.00	5.33	92.0	4.90
01/17/2018	SUTZ, JACK	FIDELITY DIVIDEND GROWTH A	0.00	2.21	0.00	2.21	92.0	2.03
01/19/2018	SUTZ, JACK	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.15	0.00	3.15	92.0	2.90
01/19/2018	SUTZ, JACK	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.44	0.00	9.44	92.0	8.68
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	0.00	2.38	0.00	2.38	92.0	2.19
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.36	0.00	1.36	92.0	1.25
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.31	0.00	1.31	92.0	1.21
01/22/2018	SUTZ, JACK	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	3.66	0.00	3.66	92.0	3.37
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$28.84</b>	<b>\$0.00</b>	<b>\$28.84</b>		<b>\$26.53</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$1,034,656.32</b>	<b>\$12,410.99</b>	<b>\$0.00</b>	<b>\$12,410.99</b>		<b>\$11,418.11</b>
<b><u>ADJUSTMENTS</u></b>								
02/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
02/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					697.00
02/15/2018		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
02/15/2018		*MISC: CT CASE 6712845	0.00					291.40
02/15/2018		*TECHNOLOGY: ADMIN - CHRYSANTHA COS	0.00					-135.00
02/15/2018		*TECHNOLOGY: ADMIN - ELIZABETH	0.00					-60.00
02/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$291.40</b>

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$11,418.11
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$291.40
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$11,709.51
Year-to-date Gross	\$19,251.70
Year-to-date Earnings	\$17,626.02