

COMMISSION STATEMENT**February 28, 2018**

LAWRENCE HORN
 301 E 47TH STREET
 APT 16J
 NEW YORK, NY 10017

BROKER #: 46
BRANCH: HOME OFFICE

COMMISSION STATEMENT for LAWRENCE HORN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS**PRODUCT CATEGORY: STOCKS**

01/31/2018	QGV004930	GS ARBITRAGE	THE SCOTTS MIRACLE GRO CO HLDG CO	B	245,789.52	82.38	10.00	72.38	50.0	36.19
01/31/2018	QGV005465	NORTH RUN CAPITAL LP	THE SCOTTS MIRACLE GRO CO HLDG CO	B	805,573.80	270.00	10.00	260.00	50.0	130.00
01/30/2018	QGV006240	GS ARBITRAGE	QUALCOMM INC	B	336,000.00	200.00	10.00	190.00	50.0	95.00
* STOCKS SUBTOTAL *					\$1,387,363.32	\$552.38	\$30.00	\$522.38		\$261.19
*** BROKER TRANSACTIONS TOTAL ***					\$1,387,363.32	\$552.38	\$30.00	\$522.38		\$261.19

ADJUSTMENTS

02/28/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
02/28/2018		OTHER: HAMMERSTONE		0.00	0.00	0.00	0.00		-127.50
02/28/2018		OTHER: OTHER		0.00	0.00	0.00	0.00		-1,050.00
02/28/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-5.00
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00	\$-1,202.50

BROKER COMMISSION TOTALS

Broker Transactions	\$261.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,202.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,145.92
FINRA Assessment	\$-0.69
SIPC Assessment	\$-1.38

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-2,089.30
Year-to-date Earnings	\$-2,089.30