



COMMISSION STATEMENT

March 12, 2018

DENNIS CONNOR
9 Woodland Rd.
Miller Place, NY 11764

BROKER #: A87
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/28/2018	***-**-8973 - MIRABELL, ACCOUNT #: 00500433776	LARGCAP GROWTH - CLASS A BUY 2 Units @18.0100	30.00	1.50	0.00	1.50	75.0	1.13
03/02/2018	***-**-255I - GRAHAM, DEBORAH ACCOUNT #: LSR011397	PRUDENTIAL JENNISON SELECT GROWTH C SELL 649 Units @15.4100	10,000.00	18.00	18.00	0.00	75.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$10,030.00	\$19.50	\$18.00	\$1.50		\$1.13
<u>PRODUCT CATEGORY: 529 PLAN</u>								
03/12/2018	***-**-4566 - HEALY, KATHLEEN ACCOUNT #: 77342237501	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	16.74	0.00	16.74	75.0	12.56
03/12/2018	***-**-4535 - RATHGABER, ACCOUNT #: 77524476201	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.26	0.00	0.26	75.0	0.20
03/12/2018	***-**-1832 - WEISMAN, DORIS	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	5.74	0.00	5.74	75.0	4.31
03/12/2018	***-**-7319 - ROTONDI, LAURA	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	1.06	0.00	1.06	75.0	0.80
03/12/2018	***-**-7319 - ROTONDI, LAURA	NYA JPM 529 MOD AGE-BASED A BUY 0 Units @0.0000	250.00	11.88	0.00	11.88	75.0	8.91
* 529 PLAN SUBTOTAL *			\$250.00	\$35.68	\$0.00	\$35.68		\$26.78
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/12/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	AIM DEVELOPING MARKETS FUND CLASS A BUY 1 Units @0.0000	0.00	7.93	0.00	7.93	75.0	5.95
03/12/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.76	0.00	0.76	75.0	0.57
03/12/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	AIM HIGH YIELD FUND - CLASS A BUY 1 Units @0.0000	0.00	0.57	0.00	0.57	75.0	0.43
03/01/2018	***-**-002I - FISCHER, ALLYSE ACCOUNT #: 00088603553	AMCAP FUND-A BUY 0 Units @0.0000	0.00	1.99	0.00	1.99	75.0	1.49
03/01/2018	***-**-002I - FISCHER, ALLYSE ACCOUNT #: 00088603553	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	1.36	0.00	1.36	75.0	1.02
03/01/2018	***-**-002I - FISCHER, ALLYSE ACCOUNT #: 00088603553	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	0.38	0.00	0.38	75.0	0.29



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	DELAWARE EXTENDED DURATION BOND FUN BUY 1 Units @0.0000	0.00	1.81	0.00	1.81	75.0	1.36
03/12/2018	***-**-5721 - MELIN, LUCILLE ACCOUNT #: LSR011508	DELAWARE EXTENDED DURATION BOND FUN BUY 1 Units @0.0000	0.00	2.17	0.00	2.17	75.0	1.63
03/12/2018	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	DELAWARE HEALTHCARE FUND CLASS A BUY 1 Units @0.0000	0.00	8.50	0.00	8.50	75.0	6.38
03/07/2018	***-**-8910 - CANALE, MARIA ACCOUNT #: 00536974215	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	75.0	0.01
03/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00522408038	FIDELITY ADV EMERGING MRKTS INC CL BUY 0 Units @0.0000	0.00	1.86	0.00	1.86	75.0	1.40
03/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00700847502	FIDELITY ADV EMERGING MRKTS INC CL BUY 0 Units @0.0000	0.00	2.43	0.00	2.43	75.0	1.82
03/07/2018	***-**-6182 - BOWSER, ACCOUNT #: 00530590686	FIDELITY ADV ENERGY-CL A BUY 0 Units @0.0000	0.00	0.55	0.00	0.55	75.0	0.41
03/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00522408038	FIDELITY ADV ENERGY-CL A BUY 0 Units @0.0000	0.00	1.29	0.00	1.29	75.0	0.97
03/07/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 00529439069	FIDELITY ADV ENERGY-CL A BUY 0 Units @0.0000	0.00	1.02	0.00	1.02	75.0	0.77
03/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00700847502	FIDELITY ADV ENERGY-CL A BUY 0 Units @0.0000	0.00	1.41	0.00	1.41	75.0	1.06
03/07/2018	***-**-8910 - CANALE, MARIA ACCOUNT #: 00536974215	FIDELITY ADV HEALTH CARE-CL A BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	75.0	0.01
03/07/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 00529439069	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	1.88	0.00	1.88	75.0	1.41
03/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00700847502	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	2.26	0.00	2.26	75.0	1.70
03/07/2018	***-**-6182 - BOWSER, ACCOUNT #: 00530590686	FIDELITY ADV LEVERAGED CO STOCK CL BUY 0 Units @0.0000	0.00	1.04	0.00	1.04	75.0	0.78
03/07/2018	***-**-8910 - CANALE, MARIA ACCOUNT #: 00536974215	FIDELITY ADV LEVERAGED CO STOCK CL BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	75.0	0.01
03/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00700847502	FIDELITY ADV LEVERAGED CO STOCK CL BUY 0 Units @0.0000	0.00	2.51	0.00	2.51	75.0	1.88



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00519256879	FIDELITY ADV SMALL CAP-CL A BUY 0 Units @0.0000	0.00	1.22	0.00	1.22	75.0	0.92
03/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00522408038	FIDELITY ADV SMALL CAP-CL A BUY 0 Units @0.0000	0.00	2.16	0.00	2.16	75.0	1.62
03/07/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 00529439069	FIDELITY ADV SMALL CAP-CL A BUY 0 Units @0.0000	0.00	1.94	0.00	1.94	75.0	1.46
03/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00519256879	FIDELITY ADV VALUE STRATEGIES-CL A BUY 0 Units @0.0000	0.00	1.26	0.00	1.26	75.0	0.95
03/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00522408038	FIDELITY ADV VALUE STRATEGIES-CL A BUY 0 Units @0.0000	0.00	2.46	0.00	2.46	75.0	1.85
03/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00700847502	FIDELITY ADV VALUE STRATEGIES-CL A BUY 0 Units @0.0000	0.00	2.38	0.00	2.38	75.0	1.79
03/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00519256879	FIDELITY ADVISOR DIVERSIFIED INTL F BUY 0 Units @0.0000	0.00	0.88	0.00	0.88	75.0	0.66
03/07/2018	***-**-8910 - CANALE, MARIA ACCOUNT #: 00536974215	FIDELITY ADVISOR EMERGING ASIA FUND BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	75.0	0.01
03/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00519256879	FIDELITY ADVISOR MID CAP STOCK CL A BUY 0 Units @0.0000	0.00	1.13	0.00	1.13	75.0	0.85
03/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00522408038	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	2.09	0.00	2.09	75.0	1.57
03/07/2018	***-**-8910 - CANALE, MARIA ACCOUNT #: 00536974215	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	75.0	0.01
03/07/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 00529439069	FIDELITY ADVISORS TRAILS BUY 0 Units @0.0000	0.00	2.49	0.00	2.49	75.0	1.87
03/01/2018	***-**-002I - FISCHER, ALLYSE ACCOUNT #: 00088603553	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000	0.00	1.90	0.00	1.90	75.0	1.43
03/12/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	INVESCO BALANCED - RISK ALLOCATION BUY 1 Units @0.0000	0.00	0.58	0.00	0.58	75.0	0.44
03/12/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	INVESCO ENERGY FUND - CLASS A BUY 1 Units @0.0000	0.00	0.20	0.00	0.20	75.0	0.15
03/12/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	INVESCO VAN KAMPEN COMSTOCK CLASS A BUY 1 Units @0.0000	0.00	6.30	0.00	6.30	75.0	4.73



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	INVESCO VAN KAMPEN SMALL CAP VALUE BUY 1 Units @0.0000	0.00	9.96	0.00	9.96	75.0	7.47
03/12/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	IVK AMERICAN VALUE FUND - CLASS A BUY 1 Units @0.0000	0.00	0.75	0.00	0.75	75.0	0.56
03/12/2018	***-**-255I - GRAHAM, DEBORAH ACCOUNT #: LSR011397	MFS BOND FUND CLASS B BUY 1 Units @0.0000	0.00	0.47	0.00	0.47	75.0	0.35
03/12/2018	***-**-255I - GRAHAM, DEBORAH ACCOUNT #: LSR011397	MFS CONSERVATIVE ALLOCATION FUND-B BUY 1 Units @0.0000	0.00	1.98	0.00	1.98	75.0	1.49
03/12/2018	***-**-671O - EVA JAYNE ACCOUNT #: LSR011403	MFS INTERNATIONAL NEW DISCOVERY FUN BUY 1 Units @0.0000	0.00	5.81	0.00	5.81	75.0	4.36
03/12/2018	***-**-671O - EVA JAYNE ACCOUNT #: LSR011403	MFS INTERNATIONAL VALUE FUND-A BUY 1 Units @0.0000	0.00	7.03	0.00	7.03	75.0	5.27
03/12/2018	***-**-255I - GRAHAM, DEBORAH ACCOUNT #: LSR011397	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	2.30	0.00	2.30	75.0	1.73
03/01/2018	***-**-002I - FISCHER, ALLYSE ACCOUNT #: 00088603553	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	0.62	0.00	0.62	75.0	0.47
03/12/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	NUVEEN LARGE CAP GROWTH FD CL A BUY 1 Units @0.0000	0.00	4.10	0.00	4.10	75.0	3.08
03/12/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	NUVEEN MID CAP GROWTH OPPORT CL A BUY 1 Units @0.0000	0.00	3.08	0.00	3.08	75.0	2.31
03/12/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	NUVEEN MID CAP VALUE CLASS A BUY 1 Units @0.0000	0.00	3.82	0.00	3.82	75.0	2.87
03/12/2018	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	NUVEEN MID CAP VALUE CLASS A BUY 1 Units @0.0000	0.00	1.78	0.00	1.78	75.0	1.34
03/12/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PRUDENTIAL FINANCIAL SERVICES CLASS BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	75.0	0.77
03/12/2018	***-**-255I - GRAHAM, DEBORAH ACCOUNT #: LSR011397	PRUDENTIAL HIGH YIELD CLASS B BUY 1 Units @0.0000	0.00	1.05	0.00	1.05	75.0	0.79
03/12/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PRUDENTIAL JENNISON CONSERV GROWTH BUY 1 Units @0.0000	0.00	1.50	0.00	1.50	75.0	1.13
03/12/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PRUDENTIAL JENNISON EQUITY INCOME C BUY 1 Units @0.0000	0.00	1.17	0.00	1.17	75.0	0.88



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-101I - LEMOINE, STEVEN	PRUDENTIAL JENNISON EQUITY OPP CL A	0.00	5.72	0.00	5.72	75.0	4.29
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/12/2018	***-**-1832 - WEISMAN, DORIS	PRUDENTIAL JENNISON HEALTH SCIENCES	0.00	3.30	0.00	3.30	75.0	2.48
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
03/12/2018	***-**-101I - LEMOINE, STEVEN	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	1.87	0.00	1.87	75.0	1.40
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/12/2018	***-**-255I - GRAHAM, DEBORAH	PRUDENTIAL JENNISON SELECT GROWTH C	0.00	3.69	0.00	3.69	75.0	2.77
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
03/12/2018	***-**-1810 - STEVEN A	PRUDENTIAL JENNISON UTILITY CLASS A	0.00	2.14	0.00	2.14	75.0	1.61
	ACCOUNT #: LSR011388	BUY 1 Units @0.0000						
03/12/2018	***-**-101I - LEMOINE, STEVEN	PRUDENTIAL JENNISON UTILITY CLASS A	0.00	1.10	0.00	1.10	75.0	0.83
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/12/2018	***-**-101I - LEMOINE, STEVEN	PRUDENTIAL TOTAL RETURN BOND CLASS	0.00	0.86	0.00	0.86	75.0	0.65
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/12/2018	***-**-3590 - HEALY, THOMAS	RYDEX MID CAP GROWTH FUND CLASS A	0.00	5.28	0.00	5.28	75.0	3.96
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
03/01/2018	***-**-002I - FISCHER, ALLYSE	SMALLCAP WORLD FUND-A	0.00	0.41	0.00	0.41	75.0	0.31
	ACCOUNT #: 00088603553	BUY 0 Units @0.0000						
03/01/2018	***-**-002I - FISCHER, ALLYSE	THE INCOME FUND OF AMERICA-A	0.00	1.28	0.00	1.28	75.0	0.96
	ACCOUNT #: 00088603553	BUY 0 Units @0.0000						
03/12/2018	***-**-101I - LEMOINE, STEVEN	VAN KAMPEN3	0.00	0.57	0.00	0.57	75.0	0.43
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$141.42	\$0.00	\$141.42		\$106.22
*** BROKER TRANSACTIONS TOTAL ***			\$10,280.00	\$196.60	\$18.00	\$178.60		\$134.13



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<u>BROKER COMMISSION TOTALS</u>								
Broker Transactions								\$134.13
Split Transactions								\$0.00
Override Transactions								\$0.00
Adjustments								\$0.00
Payroll Draw								\$0.00
Base Salary								\$0.00
Prior Period Balance								\$0.00
FINRA Assessment								\$0.00
SIPC Assessment								\$0.00
<hr/>								
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD						Balance Forward	\$0.00	
						Year-to-date Earnings	\$0.00	