



Concorde Investment Services, LLC

01/13/2017  
Page No. 1

# COMMISSION STATEMENT

January 17, 2017

WILLIAM WALSH  
2565 West Maple

BROKER #: W127  
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/09/2016	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	6.21	0.00	6.21	87.0	5.40
12/09/2016	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.28	0.00	0.28	87.0	0.24
12/09/2016	DARGO, JILL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.84	0.00	2.84	87.0	2.47
12/09/2016	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	35.08	0.00	35.08	87.0	30.52
12/09/2016	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	87.53	0.00	87.53	87.0	76.15
12/09/2016	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	199.56	0.00	199.56	87.0	173.62
12/09/2016	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	32.84	0.00	32.84	87.0	28.57
12/09/2016	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	29.72	0.00	29.72	87.0	25.86
12/09/2016	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	43.20	0.00	43.20	87.0	37.58
12/09/2016	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	117.00	0.00	117.00	87.0	101.79
12/09/2016	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	39.76	0.00	39.76	87.0	34.59
12/09/2016	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.95	0.00	0.95	87.0	0.83
12/09/2016	CHAWLA, SUVIRA	AM FUNDS SMALLCAP WORLD A	0.00	11.88	0.00	11.88	87.0	10.34
12/09/2016	WALSH, DAWN ERIN	BLACKROCK FUNDS FLEXIBLE EQUITY FUN	0.00	4.12	0.00	4.12	87.0	3.58
12/20/2016	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	6,000.00	0.00	23.00	-23.00	87.0	-20.01
12/20/2016	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	800.00	0.00	23.00	-23.00	87.0	-20.01
12/15/2016	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	6.13	0.00	6.13	87.0	5.33
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	87.0	0.01
12/09/2016	WALSH, DAWN ERIN	JH FINANCIAL INDUSTRIES A	0.00	1.50	0.00	1.50	87.0	1.31
12/09/2016	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	8.16	0.00	8.16	87.0	7.10
12/09/2016	DARGO, JILL	THORNBURG INT'L VALUE A	0.00	0.78	0.00	0.78	87.0	0.68
12/09/2016	WALSH, DAWN ERIN	THORNBURG INT'L VALUE A	0.00	15.43	0.00	15.43	87.0	13.42
12/09/2016	SENGSTOCK, JODIE	THORNBURG INVT TR INTL VALUE FD CL	0.00	16.65	0.00	16.65	87.0	14.49
12/09/2016	SENGSTOCK, DAVID	THORNBURG INVT TR INTL VALUE FD CL	0.00	20.78	0.00	20.78	87.0	18.08
12/09/2016	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	35.52	0.00	35.52	87.0	30.90
12/09/2016	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	1.28	0.00	1.28	87.0	1.11

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/30/2016	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.19	0.00	0.19	87.0	0.17
12/30/2016	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.41	0.00	0.41	87.0	0.36
12/30/2016	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.97	0.00	0.97	87.0	0.84
12/30/2016	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.19	0.00	0.19	87.0	0.17
* MUTUAL FUNDS SUBTOTAL *			\$6,800.00	\$718.97	\$46.00	\$672.97		\$585.49
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
11/29/2016	SENGSTOCK TRUST, KATHLEEN	CATERPILLAR INC	6,583.33	0.00	22.00	-22.00	87.0	-19.14
11/30/2016	SENGSTOCK TRUST, KATHLEEN	CISCO SYSTEMS INC	5,968.00	0.00	22.00	-22.00	87.0	-19.14
11/30/2016	SENGSTOCK TRUST, KATHLEEN	COCA COLA COMPANY	6,560.00	0.00	22.00	-22.00	87.0	-19.14
11/30/2016	SENGSTOCK TRUST, KATHLEEN	COMPASS MINERALS INTL INC	6,232.00	0.00	22.00	-22.00	87.0	-19.14
11/30/2016	SENGSTOCK TRUST, KATHLEEN	FASTENAL CO	6,606.60	0.00	22.00	-22.00	87.0	-19.14
11/30/2016	SENGSTOCK TRUST, KATHLEEN	FORD MOTOR CO DEL COM PAR	6,594.50	0.00	22.00	-22.00	87.0	-19.14
11/30/2016	SENGSTOCK TRUST, KATHLEEN	GENERAL MILLS INC COM	6,231.00	0.00	22.00	-22.00	87.0	-19.14
11/30/2016	SENGSTOCK TRUST, KATHLEEN	MATTEL INC COM	6,336.00	0.00	22.00	-22.00	87.0	-19.14
11/30/2016	SENGSTOCK TRUST, KATHLEEN	PEPSICO INC COM	6,094.20	0.00	22.00	-22.00	87.0	-19.14
11/30/2016	SENGSTOCK TRUST, KATHLEEN	PRICE T ROWE GROUP INC COM	6,666.30	0.00	22.00	-22.00	87.0	-19.14
11/30/2016	SENGSTOCK TRUST, KATHLEEN	PROCTER & GAMBLE CO COM	6,600.00	0.00	22.00	-22.00	87.0	-19.14
11/30/2016	SENGSTOCK TRUST, KATHLEEN	TARGET CORP	6,200.80	0.00	22.00	-22.00	87.0	-19.14
11/30/2016	SENGSTOCK TRUST, KATHLEEN	TUPPERWARE BRANDS CORP COM	6,307.40	0.00	22.00	-22.00	87.0	-19.14
11/30/2016	SENGSTOCK TRUST, KATHLEEN	WISCONSIN ENERGY CORP	6,299.70	0.00	22.00	-22.00	87.0	-19.14
* STOCKS SUBTOTAL *			\$89,279.83	\$0.00	\$308.00	\$-308.00		\$-267.96
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/04/2017	EAGLE LANDSCAPING	GWL GRP ANNUITY 401K	2,514,160.62	747.36	0.00	747.36	87.0	650.20
01/04/2017	EVSSON EMP RET PLAN	GWL GRP ANNUITY 401K	10,373.25	4.41	0.00	4.41	87.0	3.84
01/04/2017	MCCLAIN,SMITH & WENZ	GWL GRP ANNUITY 401K	1,153,093.24	489.67	0.00	489.67	87.0	426.01
01/04/2017	IHRIE O'BRIEN PC, 401K	GWL GRP ANNUITY 401K	838,548.40	356.10	0.00	356.10	87.0	309.81
01/04/2017	JACKSON ASSOCIATES, 401K	GWL GRP ANNUITY 401K	370,241.04	157.23	0.00	157.23	87.0	136.79
01/04/2017	INDUSTRIAL FLUID	GWL GRP ANNUITY 401K	484,377.98	205.69	0.00	205.69	87.0	178.95
01/04/2017	WESTSHORE GOLF, RET PLAN	GWL GRP ANNUITY 401K	318,920.41	135.43	0.00	135.43	87.0	117.82
01/04/2017	APPLECON, LLC	GWL GRP ANNUITY 401K	1,157,653.57	491.61	0.00	491.61	87.0	427.70
01/04/2017	RIVERVIEW COMM FED	GWL GRP ANNUITY 401K	248,330.12	105.46	0.00	105.46	87.0	91.75
01/04/2017	STEPHEN HARRIS, DDS, PROFIT	GWL NET ASSET VALUE 401K	1,555,565.65	660.58	0.00	660.58	87.0	574.70

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
/ /	ACADEMY MANAGEMENT	NW RETIREMENT CLR ADVANTAGE	796,699.44	174.62	0.00	174.62	87.0	151.92
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	961,865.59	105.41	0.00	105.41	87.0	91.71
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	1,883,750.61	206.44	0.00	206.44	87.0	179.60
/ /	SHAW & SLAVSKY	NWL FLEX ADVANTAGE	2,601,785.31	570.25	0.00	570.25	87.0	496.12
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	1,387,713.38	304.16	0.00	304.16	87.0	264.62
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	477,434.66	104.64	0.00	104.64	87.0	91.04
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	15,311.36	3.36	0.00	3.36	87.0	2.92
/ /	SHAW & SLAVSKY	NWL POOLED FXD	14,056.94	3.08	0.00	3.08	87.0	2.68
/ /	COMMUNITY HOUSE	NWL POOLED FXD	130.44	0.03	0.00	0.03	87.0	0.03
/ /	DETROIT CONNECTION	NWL RETIREMENT INNOVATOR	424,653.11	111.69	0.00	111.69	87.0	97.17
/ /	DETROIT CONNECTION	NWL SELF DIRECTED BROKERAGE GRP	38,971.85	10.25	0.00	10.25	87.0	8.92
/ /	MARYSVILLE ETHANOL	NWL SELF DIRECTED BROKERAGE GRP	90,488.74	19.83	0.00	19.83	87.0	17.25
12/31/2016	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	8,796.91	5.50	0.00	5.50	87.0	4.79
12/31/2016	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	8,796.91	5.50	0.00	5.50	87.0	4.79
12/31/2016	BJEDOV, MARK	PRU AS APEX II	17,155.56	10.72	0.00	10.72	87.0	9.33
12/31/2016	BJEDOV, MARK	PRU AS APEX II	17,155.56	42.89	0.00	42.89	87.0	37.31
12/31/2016	ORMISTON, BARBARA	PRU AS APEX II	50,539.05	31.59	0.00	31.59	87.0	27.48
12/31/2016	ORMISTON, BARBARA	PRU AS APEX II	50,539.05	126.35	0.00	126.35	87.0	109.92
12/31/2016	BOMSTA, MERIANNE	PRU AS XTRA CREDIT	45,897.90	28.69	0.00	28.69	87.0	24.96
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$17,543,006.65</b>	<b>\$5,218.54</b>	<b>\$0.00</b>	<b>\$5,218.54</b>		<b>\$4,540.13</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/31/2016	WALSH, VARIOUS	VOYA RETIREMENT & ANNUITY	0.00	599.39	0.00	599.39	87.0	521.47
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$599.39</b>	<b>\$0.00</b>	<b>\$599.39</b>		<b>\$521.47</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$17,639,086.48</b>	<b>\$6,536.90</b>	<b>\$354.00</b>	<b>\$6,182.90</b>		<b>\$5,379.13</b>

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<b><u>ADJUSTMENTS</u></b>								
01/17/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/17/2017		*RBC: ADMIN	0.00					-25.00
01/17/2017		*TECHNOLOGY: ADMIN - JILL DARGO	0.00					-100.00
01/17/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-700.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,379.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-700.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,679.13
Year-to-date Gross	\$6,536.90
Year-to-date Earnings	\$5,379.13

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