Page No. 1

R.F. Lafferty & Co., Inc.

# COMMISSION STATEMENT June 09, 2017

CLOSED Z-ACCOUNT

BROKER #: 75

BRANCH: HOME OFFICE

, NY

#### COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TI	RANS	ACTIONS					
PRODUCT CAT	TEGORY: STOCKS								
04/26/2017 75	5599821 RF LAFFERTY & CO	ARCH CAPITAL GROUP LTD	S	12,779.00	0.00	0.00	0.00	80.0	0.00
04/26/2017 75	5599821 RF LAFFERTY & CO	ARCH CAPITAL GROUP LTD	В	12,778.99	0.00	0.00	0.00	80.0	0.00
04/26/2017 75	5599821 RF LAFFERTY & CO	CHASE CORP	S	30,750.00	0.00	0.00	0.00	80.0	0.00
04/26/2017 75	5599821 RF LAFFERTY & CO	CHASE CORP	В	102.50	0.00	0.00	0.00	80.0	0.00
04/26/2017 75	5599821 RF LAFFERTY & CO	CHASE CORP	В	30,647.50	0.00	0.00	0.00	80.0	0.00
04/26/2017 75	5599821 RF LAFFERTY & CO	METTLER-TOLEDO INTERNATIONAL INC	S	12,776.25	0.00	0.00	0.00	80.0	0.00
04/26/2017 75	5599821 RF LAFFERTY & CO	METTLER-TOLEDO INTERNATIONAL INC	В	12,776.25	0.00	0.00	0.00	80.0	0.00
04/26/2017 75	5599821 RF LAFFERTY & CO	REVLON INC CL A	S	13,250.00	0.00	0.00	0.00	80.0	0.00
04/26/2017 75	5599821 RF LAFFERTY & CO	REVLON INC CL A	В	13,250.00	0.00	0.00	0.00	80.0	0.00
04/27/2017 75	5599821 RF LAFFERTY & CO	LANCASTER COLONY CORP	В	49,452.00	0.00	0.00	0.00	80.0	0.00
04/27/2017 75	5599821 RF LAFFERTY & CO	LANCASTER COLONY CORP	S	49,452.04	0.00	0.00	0.00	80.0	0.00
04/27/2017 75	5599821 RF LAFFERTY & CO	SS&C TECHNOLOGIES HOLDINGS INC	S	28,125.00	0.00	0.00	0.00	80.0	0.00
04/27/2017 75	5599821 RF LAFFERTY & CO	SS&C TECHNOLOGIES HOLDINGS INC	В	28,124.93	0.00	0.00	0.00	80.0	0.00
04/28/2017 75	5599821 RF LAFFERTY & CO	IDEXX LABORATORIES CORP	S	16,781.00	0.00	0.00	0.00	80.0	0.00
04/28/2017 75	5599821 RF LAFFERTY & CO	IDEXX LABORATORIES CORP	В	16,780.99	0.00	0.00	0.00	80.0	0.00
05/02/2017 75	5599821 RF LAFFERTY & CO	AMERICAN TOWER CORPORATION REIT	S	12,785.35	0.00	0.00	0.00	80.0	0.00
05/02/2017 75	5599821 RF LAFFERTY & CO	AMERICAN TOWER CORPORATION REIT	S	12,785.35	0.00	0.00	0.00	80.0	0.00
05/02/2017 75	5599821 RF LAFFERTY & CO	AMERICAN TOWER CORPORATION REIT	В	12,780.70	0.00	0.00	0.00	80.0	0.00
05/02/2017 75	5599821 RF LAFFERTY & CO	AMERICAN TOWER CORPORATION REIT	В	12,789.99	0.00	0.00	0.00	80.0	0.00
05/02/2017 75	5599821 RF LAFFERTY & CO	KIMBERLY CLARK CORP	В	12,795.00	0.00	0.00	0.00	80.0	0.00
05/02/2017 75	5599821 RF LAFFERTY & CO	KIMBERLY CLARK CORP	В	12,795.00	0.00	0.00	0.00	80.0	0.00
05/02/2017 75	5599821 RF LAFFERTY & CO	KIMBERLY CLARK CORP	S	12,789.00	0.00	0.00	0.00	80.0	0.00
05/02/2017 75	5599821 RF LAFFERTY & CO	KIMBERLY CLARK CORP	S	12,801.01	0.00	0.00	0.00	80.0	0.00
05/02/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	FΒ	30,062.34	0.00	0.00	0.00	80.0	0.00
05/02/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	6,930.00	0.00	0.00	0.00	80.0	0.00
05/02/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	13,860.00	0.00	0.00	0.00	80.0	0.00
05/02/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	9,272.34	0.00	0.00	0.00	80.0	0.00
05/03/2017 75	5599821 RF LAFFERTY & CO	FLEETCOR TECHNOLOGIES INC	S	25,766.00	0.00	0.00	0.00	80.0	0.00
05/03/2017 75	5599821 RF LAFFERTY & CO	FLEETCOR TECHNOLOGIES INC	В	25,766.00	0.00	0.00	0.00	80.0	0.00
05/03/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	FΒ	28,074.04	0.00	0.00	0.00	80.0	0.00
05/03/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	6,895.00	0.00	0.00	0.00	80.0	0.00

Page No.

RATE

BROKER

COMMISSION

2

## COMMISSION STATEMENT for CLOSED Z-ACCOUNT

INVESTMENT

TRADE DATE

CLIENT

	BROKER TRANSA	ACTIONS				
PRODUCT CATEGORY: STOCKS						
05/03/2017 75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S	6,910.00	0.00	0.00	0.00 80.0	0.00
05/03/2017 75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S	6,920.00	0.00	0.00	0.00 80.0	0.00
05/03/2017 75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S	7,349.04	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	CONATUS PHARMACEUTICALS INC COM S	40,475.50	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	CONATUS PHARMACEUTICALS INC COM B	40,475.50	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM B	31,879.20	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM S	735.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM S	2,387.30	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM S	2,350.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM S	8,592.79	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM S	3,540.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM S	4,806.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM S	4,797.10	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM S	2,340.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	DELMAR PHARMACEUTICALS INC COM S	2,331.50	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA S	18,640.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA B	9,320.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	HELEN OF TROY LTD-NEW BERMUDA B	9,320.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER S	399,939.60	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	333.60	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,335.50	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,335.99	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,336.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,336.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,336.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,336.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,336.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,336.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,336.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,336.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,336.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,337.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,337.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,337.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,337.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,337.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,337.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,337.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,337.00	0.00	0.00	0.00 80.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	33,360.00	0.00	0.00	0.00 80.0	0.00
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TRADE DATE

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	BROKER TRANSA	CTTONG					
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PRODUCT CATEGORY: STOCKS							
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,969.84	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	400.44	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	4,004.40	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	4,204.62	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	5,873.12	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	667.20	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	667.20	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	667.20	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	6,672.00	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	6,672.00	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	6,672.00	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	6,672.00	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	967.73	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	10,008.00	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	10,011.00	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	1,501.65	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	1,968.83	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	22,791.71	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	266.96	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	2,669.60	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	2,702.16	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,070.04	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,328.50	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,328.50	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,329.00	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,329.00	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,329.00	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,329.00	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,329.00	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,329.00	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,329.00	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,329.00	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,329.00	0.00	0.00	0.00 8	30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,329.00	0.00	0.00	0.00 8	0.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,330.00	0.00	0.00		30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	33,290.00	0.00	0.00		30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	3,428.87	0.00	0.00		30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	46,620.00	0.00	0.00		30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	6,227.10	0.00	0.00		30.0	0.00
05/04/2017 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD PARTNER B	66.58	0.00	0.00		30.0	0.00
55, 51, 251, 75555521 RF EMPPERIT & CO	L. CON ONLI RELOTO DID I MINER D	00.30	0.00	0.00	3.00		0.00

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GROSS

COMMISSION

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COMMISSION

Page No.

RATE

BROKER

COMMISSION

3

INVESTMENT

TRADE DATE

CLIENT

### COMMISSION STATEMENT for CLOSED Z-ACCOUNT

INVESTMENT

AMOUNT

B/S

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

Page No. 4

RATE COMMISSION

BROKER

				AMOUNI	COMMISSION	CHARGE	COMMISSION		COMMISSION
		BROKE	R TRANSA	CTIONS					
PRODUCT CAT	EGORY: STOCKS								
05/04/2017 75	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD F	ARTNER B	6,657.00	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD F	ARTNER B	6,657.00	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD F	ARTNER B	6,657.00	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD F	ARTNER B	6,658.00	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD F	ARTNER B	6,658.00	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD F	ARTNER B	6,658.00	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD F	ARTNER B	6,658.00	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD F	ARTNER B	6,660.00	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD F	ARTNER B	699.09	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD F	ARTNER B	9,987.00	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD F	ARTNER B	2,896.23	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE	MUN FS	13,809.52	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE	MUN FB	8,297.52	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE	MUN FB	5,512.00	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVC	S ETF S	532,796.00	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVC	S ETF B	2,663.50	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVC	S ETF B	2,663.50	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVC	S ETF B	2,663.50	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVC	S ETF B	2,663.50	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVC	S ETF B	2,663.50	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVC	S ETF B	2,663.50	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF B	2,663.50	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF B	37,296.00	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF B	37,296.00	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF B	7,992.00	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF B	1,331.75	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF B	1,331.75	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF B	13,320.00	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF B	149,184.00	0.00	0.00	0.00	80.0	0.00
05/04/2017 75	599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF B	266,400.00	0.00	0.00	0.00	80.0	0.00
05/05/2017 75	599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE	MUN FS	11,028.00	0.00	0.00	0.00	80.0	0.00
05/05/2017 75	599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE	MUN FB	8,274.00	0.00	0.00	0.00	80.0	0.00
05/05/2017 75	599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE	MUN FB	2,754.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 75	599821 RF LAFFERTY & CO	LIBERTY MEDIA CORPORATION SER	RIES C S	29,822.48	0.00	0.00	0.00	80.0	0.00
	599821 RF LAFFERTY & CO	LIBERTY MEDIA CORPORATION SER	RIES C B	3,726.50	0.00	0.00	0.00	80.0	0.00
05/08/2017 75	599821 RF LAFFERTY & CO	LIBERTY MEDIA CORPORATION SER	RIES C B	26,096.00	0.00	0.00		80.0	0.00
	599821 RF LAFFERTY & CO	LYONDELLBASELL INDUSTRIES N V		404,149.00	0.00	0.00		80.0	0.00
	599821 RF LAFFERTY & CO	LYONDELLBASELL INDUSTRIES N V		80.80	0.00	0.00		80.0	0.00
	599821 RF LAFFERTY & CO	LYONDELLBASELL INDUSTRIES N V		80.80	0.00	0.00		80.0	0.00
	599821 RF LAFFERTY & CO	LYONDELLBASELL INDUSTRIES N V		80.80	0.00	0.00		80.0	0.00

Page No. 5

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
				, amtoug					
			BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: STOCKS								
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,082.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,082.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,082.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,082.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,082.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,082.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,082.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,082.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,082.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,082.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,083.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,083.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,083.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,083.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,083.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,083.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,083.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	16,160.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	16,164.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	16,164.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	194,016.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	4,041.50	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	4,041.50	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	7,918.40	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	LYONDELLBASELL	INDUSTRIES N V ORD S B	8,000.19	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	NUVEEN N Y DIV	IDEND ADVANTAGE MUN F S	27,537.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	NUVEEN N Y DIV	IDEND ADVANTAGE MUN F B	8,268.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	NUVEEN N Y DIV	IDEND ADVANTAGE MUN F B	6,885.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	NUVEEN N Y DIV	IDEND ADVANTAGE MUN F B	12,384.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	SAVARA INC COMM	MON STOCK S	4,716.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	SAVARA INC COMM	MON STOCK B	4,715.92	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	TRIBUNE MEDIA (	COMPANY CL A B	42,350.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	TRIBUNE MEDIA (	COMPANY CL A S	4,235.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	TRIBUNE MEDIA (	COMPANY CL A S	4,235.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	TRIBUNE MEDIA (	COMPANY CL A S	4,235.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	TRIBUNE MEDIA (	COMPANY CL A S	4,235.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	TRIBUNE MEDIA (	COMPANY CL A S	4,235.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	TRIBUNE MEDIA (	COMPANY CL A S	4,235.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	TRIBUNE MEDIA (	COMPANY CL A S	4,235.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599821 RF LAFFERTY & CO	TRIBUNE MEDIA (	COMPANY CL A S	4,235.00	0.00	0.00	0.00	80.0	0.00

Page No. 6

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKE	R TRANS	ACTIONS					
PRODUCT CAT	regory: stocks								
05/08/2017 75	5599821 RF LAFFERTY & CO	TRIBUNE MEDIA COMPANY CL A	S	4,235.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 75	5599821 RF LAFFERTY & CO	TRIBUNE MEDIA COMPANY CL A	S	1,439.90	0.00	0.00	0.00	80.0	0.00
05/08/2017 75	5599821 RF LAFFERTY & CO	TRIBUNE MEDIA COMPANY CL A	S	2,795.10	0.00	0.00	0.00	80.0	0.00
05/08/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF B	330,000.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF S	330,000.00	0.00	0.00	0.00	80.0	0.00
05/09/2017 75	5599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSO	RED AD S	5,780.00	0.00	0.00	0.00	80.0	0.00
05/09/2017 75	5599821 RF LAFFERTY & CO	AMARIN CORPORATION PLC SPONSO	RED AD B	5,780.00	0.00	0.00	0.00	80.0	0.00
05/09/2017 75	5599821 RF LAFFERTY & CO	ISHARES INC ISHARES MSCI JAPA	N ETF B	115,588.00	0.00	0.00	0.00	80.0	0.00
05/09/2017 75	5599821 RF LAFFERTY & CO	ISHARES INC ISHARES MSCI JAPA	N ETF S	68,302.00	0.00	0.00	0.00	80.0	0.00
05/09/2017 75	5599821 RF LAFFERTY & CO	ISHARES INC ISHARES MSCI JAPA	N ETF S	15,762.00	0.00	0.00	0.00	80.0	0.00
05/09/2017 75	5599821 RF LAFFERTY & CO	ISHARES INC ISHARES MSCI JAPA	N ETF S	15,762.00	0.00	0.00	0.00	80.0	0.00
05/09/2017 75	5599821 RF LAFFERTY & CO	ISHARES INC ISHARES MSCI JAPA	N ETF S	15,762.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE	MUN FS	46,678.46	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE	MUN FB	4,121.76	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE	MUN FB	1,371.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE	MUN FB	13,737.34	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE	MUN FB	13,738.36	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE	MUN FB	13,710.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	ORGANIC PLANT HEALTH INC	В	100.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	ORGANIC PLANT HEALTH INC	S	50.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	ORGANIC PLANT HEALTH INC	S	50.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	QUANTUM MATERIALS CORP	В	3,180.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	QUANTUM MATERIALS CORP	S	3,182.65	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF B	222,640.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO		2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO		2,783.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO		2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 75	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVO	S ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00

07/05/2017 Page No. 7

INVESTMENT

GROSS

CLEARING

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BROKER

TRADE DATE	CLIENT	INVESTMENT B/S	INVESTMENT  AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
TRADE DATE	CLIENI	INVESTMENT	AMOUN1	COMMISSION	CHARGE	COMMISSION	RAIL	COMMISSION
		BROKER TRAN	SACTIONS					
PRODUCT CAT	regory: stocks							
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	2,783.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	36,318.15	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	4,118.84	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	417.45	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	5,566.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	5,566.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	5,566.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	667.92	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	8,349.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	8,349.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	8,349.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	13,915.00	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	14,833.39	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	15,779.61	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	19,703.64	0.00	0.00	0.00	80.0	0.00
05/10/2017 7	5599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR OIL SVCS ETF S	8,349.00	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	ALLY FINL INC COM B	19,190.00	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	ALLY FINL INC COM S	19,190.10	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	CIVEO CORP CDA COM B	15,613.80	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	CIVEO CORP CDA COM S	260.00	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	CIVEO CORP CDA COM S	260.00	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	CIVEO CORP CDA COM S	260.00	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	CIVEO CORP CDA COM S	260.00	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	CIVEO CORP CDA COM S	260.00	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	CIVEO CORP CDA COM S	260.00	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	CIVEO CORP CDA COM S	260.50	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	CIVEO CORP CDA COM S	3,640.00	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	CIVEO CORP CDA COM S	520.00	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	CIVEO CORP CDA COM S	520.00	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	CIVEO CORP CDA COM S	520.00	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	CIVEO CORP CDA COM S	521.00	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	CIVEO CORP CDA COM S	780.00	0.00	0.00	0.00	80.0	0.00
05/11/2017 7	5599821 RF LAFFERTY & CO	CIVEO CORP CDA COM S	780.00	0.00	0.00	0.00	80.0	0.00

TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
										_
			BRO	KER TRANSA	CTIONS					
DDODUGE GAE	TEGODY - G	mo cred								
PRODUCT CAT			GIVED GODD GDA GOM	9	701 50	0.00	0.00	0.00	00.0	0.00
		LAFFERTY & CO	CIVEO CORP CDA COM	S	781.50	0.00	0.00		80.0	0.00
		LAFFERTY & COLARTER & COLARTER	CIVEO CORP CDA COM	S S	781.50 781.50	0.00	0.00		80.0	0.00
		LAFFERTY & CO	CIVEO CORP CDA COM CIVEO CORP CDA COM	S	781.50	0.00	0.00		80.0	0.00
		LAFFERTY & CO	CIVEO CORP CDA COM	S	781.50	0.00	0.00		80.0	0.00
		LAFFERTY & CO	CIVEO CORP CDA COM	S	781.50	0.00	0.00		80.0	0.00
		LAFFERTY & CO	CIVEO CORP CDA COM	S	1,823.50	0.00	0.00		80.0	0.00
		LAFFERTY & CO	FLEETCOR TECHNOLOGIES INC	В	27,298.00	0.00	0.00		80.0	0.00
		LAFFERTY & CO	FLEETCOR TECHNOLOGIES INC	S	27,298.00	0.00	0.00		80.0	0.00
		LAFFERTY & CO	INC RESEARCH HOLDINGS INC	-	27,350.00	0.00	0.00		80.0	0.00
		LAFFERTY & CO	INC RESEARCH HOLDINGS INC		27,350.00	0.00	0.00		80.0	0.00
		LAFFERTY & CO	THE TRADE DESK INC COM CL A		29,622.48	0.00	0.00		80.0	0.00
		LAFFERTY & CO	THE TRADE DESK INC COM CL A		4,936.50	0.00	0.00		80.0	0.00
05/12/2017 75	5599821 RF	LAFFERTY & CO	THE TRADE DESK INC COM CL A	A B	4,939.99	0.00	0.00	0.00	80.0	0.00
05/12/2017 75	5599821 RF	LAFFERTY & CO	THE TRADE DESK INC COM CL A		7,404.75	0.00	0.00	0.00	80.0	0.00
05/12/2017 75	5599821 RF	LAFFERTY & CO	THE TRADE DESK INC COM CL A	А В	12,341.25	0.00	0.00	0.00	80.0	0.00
05/15/2017 75	5599821 RF	LAFFERTY & CO	INC RESEARCH HOLDINGS INC	CLASS A C S	17,023.50	0.00	0.00	0.00	80.0	0.00
05/15/2017 75	5599821 RF	LAFFERTY & CO	INC RESEARCH HOLDINGS INC	CLASS A C B	17,023.50	0.00	0.00	0.00	80.0	0.00
05/15/2017 75	5599821 RF	LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTA	AGE MUN F B	80,330.00	0.00	0.00	0.00	80.0	0.00
05/15/2017 75	5599821 RF	LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTA	AGE MUN FS	5,540.00	0.00	0.00	0.00	80.0	0.00
05/15/2017 75	5599821 RF	LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTA	AGE MUN FS	6,926.40	0.00	0.00	0.00	80.0	0.00
05/15/2017 75	5599821 RF	LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTA	AGE MUN FS	11,082.32	0.00	0.00	0.00	80.0	0.00
05/15/2017 75	5599821 RF	LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTA	AGE MUN FS	13,851.50	0.00	0.00	0.00	80.0	0.00
05/15/2017 75	5599821 RF	LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTA	AGE MUN FS	5,540.00	0.00	0.00	0.00	80.0	0.00
05/15/2017 75	5599821 RF	LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTA	AGE MUN FS	16,620.00	0.00	0.00	0.00	80.0	0.00
05/15/2017 75	5599821 RF	LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTA	AGE MUN FS	13,851.19	0.00	0.00	0.00	80.0	0.00
05/15/2017 75	5599821 RF	LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTA	AGE MUN FS	6,925.00	0.00	0.00	0.00	80.0	0.00
05/16/2017 75	5599821 RF	LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTA	AGE MUN FS	16,611.66	0.00	0.00	0.00	80.0	0.00
05/16/2017 75	5599821 RF	LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTA	AGE MUN F B	8,313.66	0.00	0.00	0.00	80.0	0.00
05/16/2017 75	5599821 RF	LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTA	AGE MUN FB	8,298.00	0.00	0.00	0.00	80.0	0.00
05/16/2017 75	5599821 RF	LAFFERTY & CO	RITTER PHARMACEUTICALS INC	COM S	1,520.00	0.00	0.00	0.00	80.0	0.00
05/16/2017 75	5599821 RF	LAFFERTY & CO	RITTER PHARMACEUTICALS INC	COM S	1,520.00	0.00	0.00	0.00	80.0	0.00
05/16/2017 75	5599821 RF	LAFFERTY & CO	RITTER PHARMACEUTICALS INC	COM S	1,520.00	0.00	0.00	0.00	80.0	0.00
05/16/2017 75	5599821 RF	LAFFERTY & CO	RITTER PHARMACEUTICALS INC	COM S	1,520.00	0.00	0.00	0.00	80.0	0.00
05/16/2017 75	5599821 RF	LAFFERTY & CO	RITTER PHARMACEUTICALS INC	COM S	1,520.00	0.00	0.00	0.00	80.0	0.00
05/16/2017 75	5599821 RF	LAFFERTY & CO	RITTER PHARMACEUTICALS INC	COM B	7,600.00	0.00	0.00	0.00	80.0	0.00
		LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTA	AGE MUN FS	33,254.60	0.00	0.00	0.00	80.0	0.00
		LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTA	AGE MUN F B	6,929.60	0.00	0.00	0.00	80.0	0.00
		LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTA		13,850.00	0.00	0.00	0.00	80.0	0.00
05/18/2017 75	5599821 RF	LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTA	AGE MUN F B	6,935.00	0.00	0.00	0.00	80.0	0.00

INVESTMENT

Page No. 8

BROKER

GROSS CLEARING NET

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
•									
			BROKER TRANSA	CTIONS					
PRODUCT CAT									
05/18/2017 75			END ADVANTAGE MUN F B	5,540.00	0.00	0.00		80.0	0.00
05/19/2017 75			DEND ADVANTAGE MUN F S	13,784.00	0.00	0.00		80.0	0.00
05/19/2017 75			DEND ADVANTAGE MUN F B	5,516.00	0.00	0.00		80.0	0.00
05/19/2017 75			DEND ADVANTAGE MUN F B	8,268.00	0.00	0.00		80.0	0.00
05/23/2017 75			DEND ADVANTAGE MUN F S	13,776.48	0.00	0.00		80.0	0.00
05/23/2017 75			END ADVANTAGE MUN F B	2,712.48	0.00	0.00		80.0	0.00
05/23/2017 75			DEND ADVANTAGE MUN F B	11,064.00	0.00	0.00		80.0	0.00
05/23/2017 75				20,984.00	0.00	0.00		80.0	0.00
05/23/2017 75				12,065.80	0.00	0.00		80.0	0.00
05/23/2017 75				3,672.20	0.00	0.00		80.0	0.00
05/23/2017 75				5,246.00	0.00	0.00		80.0	0.00
05/25/2017 75			END ADVANTAGE MUN F S	27,676.70	0.00	0.00		80.0	0.00
05/25/2017 75			END ADVANTAGE MUN F B	13,838.30	0.00	0.00		80.0	0.00
05/25/2017 75			END ADVANTAGE MUN F B	13,838.40	0.00	0.00		80.0	0.00
05/15/2017 75			0 ETF B	112.88	0.00	0.00		80.0	0.00
05/15/2017 75			ST SPDR BLOOMBERG BA B	37.21	0.00	0.00		80.0	0.00
05/15/2017 75	599822 RF L	AFFERTY & CO SPDR SERIES TRUS	ST SPDR BLOOMBERG BA B	286.80	0.00	0.00	0.00	80.0	0.00
05/15/2017 75	599822 RF L	AFFERTY & CO SPDR SERIES TRUS	ST SPDR BLOOMBERG BA B	56.32	0.00	0.00		80.0	0.00
05/16/2017 75	599822 RF L	AFFERTY & CO SPDR RUSSELL 100	0 ETF S	112.52	0.00	0.00	0.00	80.0	0.00
05/16/2017 75	599822 RF L	AFFERTY & CO SPDR SERIES TRUS	T SPDR BLOOMBERG BAS	37.22	0.00	0.00	0.00	80.0	0.00
05/16/2017 75	599822 RF L	AFFERTY & CO SPDR SERIES TRUS	T SPDR BLOOMBERG BAS	286.80	0.00	0.00	0.00	80.0	0.00
05/16/2017 75	599822 RF L	AFFERTY & CO SPDR SERIES TRUS	T SPDR BLOOMBERG BAS	56.15	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT S	56,102.75	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT B	2,540.50	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT B	1,270.25	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT B	4,911.64	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT B	846.83	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT B	12,025.04	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT B	5,335.05	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT B	2,032.40	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT B	4,022.46	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT B	1,820.69	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT B	3,810.75	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT B	5,716.13	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT B	3,472.02	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT B	3,937.78	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT B	1,608.98	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT B	1,016.20	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI INFORMAT B	1,736.01	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	599823 RF L	AFFERTY & CO FIDELITY COVINGT	ON TR MSCI UTILITIE S	48,821.15	0.00	0.00	0.00	80.0	0.00

INVESTMENT

Page No. 9

BROKER

GROSS CLEARING NET

Page No. 10

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER TRANS	ACTTONS					
			DROKER TRUMP	ACTIONS					
	TEGORY: STOCKS								
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		2,203.96	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		1,101.98	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		4,307.75	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		768.05	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		10,418.74	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		4,641.68	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		1,736.46	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		3,472.91	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		1,602.88	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		3,305.95	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		5,042.40	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		3,038.80	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		3,406.13	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		1,435.92	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		834.84	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		1,502.70	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		17,902.63	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		9,065.87	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		34,954.32	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		6,087.55	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		84,963.86	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		37,899.91	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		14,367.93	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		28,441.29	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		12,862.40	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		27,066.69	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		42,187.37	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		24,775.67	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		27,753.99	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		11,618.71	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T		6,873.04	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		12,306.01	0.00	0.00		80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		399,127.25	0.00	0.00		80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		50,535.41	0.00	0.00		80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		2,283.04	0.00	0.00		80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		1,172.37	0.00	0.00		80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		4,442.67	0.00	0.00	0.00		0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		771.30	0.00	0.00	0.00		0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TO		10,829.02	0.00	0.00	0.00		0.00
05/01/2017 7	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON T	K MSCI MATERIAL B	4,843.75	0.00	0.00	0.00	80.0	0.00

			INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE	CLIENT	INVESTMENT B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKER TRAN	SACTIONS					
PRODUCT CAT	regory: stocks							
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B	1,820.26	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B	3,609.67	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B	1,635.15	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B	3,455.41	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B	5,059.71	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B	3,146.89	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B	3,547.97	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B	1,480.89	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B	863.85	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B	1,573.45	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S	56,780.57	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	2,525.95	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	1,280.76	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	4,980.75	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	889.42	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	12,131.69	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	5,407.67	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	2,027.88	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	4,055.76	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	1,849.99	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	3,842.29	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	5,870.17	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	3,557.68	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	3,984.60	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	1,672.11	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	960.57	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	1,743.26	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B	2,286.97	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B	1,160.06	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B	4,441.36	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B	762.32	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B	10,805.10	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B	4,805.95	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B	1,822.95	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B	3,612.75	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B	1,624.08	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B	3,447.03	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B	5,369.40	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B	3,148.72	0.00	0.00	0.00	80.0	0.00
05/01/2017 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B	3,546.46	0.00	0.00	0.00	80.0	0.00

Page No. 11

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER TRAN	Z A CTT ON C					
			DROKEK TRAIN	BACTIONS					
	TEGORY: STOCKS								
	5599823 RF LAFFERTY & CO		SUMER STAPLES INDE B	1,491.50	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO		SUMER STAPLES INDE B	861.76	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO		SUMER STAPLES INDE B	1,557.79	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO		SUMER STAPLES INDES	50,744.19	0.00	0.00	0.00	80.0	0.00
, . , .	5599823 RF LAFFERTY & CO 5599823 RF LAFFERTY & CO	FIDELITY MSCI FIN		43,050.67	0.00	0.00	0.00	80.0	0.00
		FIDELITY MSCI FIN		1,968.03	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI FIN		984.02	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI FIN		3,830.63	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI FIN		667.72	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO 5599823 RF LAFFERTY & CO	FIDELITY MSCI FIN. FIDELITY MSCI FIN.		9,313.00	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO			4,182.06	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI FIN		1,546.31 3,092.62	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI FIN		1,405.74	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI FIN		2,987.19	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI FIN. FIDELITY MSCI FIN.		3,936.06	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI FIN.		2,706.04	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI FIN.		3,057.48	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO			1,265.16	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI FIN		738.01	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI FIN. FIDELITY MSCI FIN.		1,370.59	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO		LTH CARE INDEX ETF B	2,438.90	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO			1,237.65	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO		LTH CARE INDEX ETF B	4,732.19	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO		LTH CARE INDEX ETF B	800.83	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO		LTH CARE INDEX ETF B	11,466.45	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO		LTH CARE INDEX ETF B	5,132.60	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO		LTH CARE INDEX ETF B	1,929.28	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO		LTH CARE INDEX ETF B	3,822.15	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO		LTH CARE INDEX ETF B	1,747.27	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO		LTH CARE INDEX ETF B	3,640.14	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO		LTH CARE INDEX ETF B	4,841.39	0.00	0.00		80.0	0.00
	5599823 RF LAFFERTY & CO		LTH CARE INDEX ETF B	3,348.93	0.00	0.00	0.00		0.00
	5599823 RF LAFFERTY & CO 5599823 RF LAFFERTY & CO		LTH CARE INDEX ETF B	3,749.35 1,565.26	0.00	0.00	0.00		0.00
	5599823 RF LAFFERTY & CO		LTH CARE INDEX ETF B	946.44	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO		LTH CARE INDEX ETF B	1,674.47					0.00
	5599823 RF LAFFERTY & CO			53,073.28	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO		LTH CARE INDEX ETF S		0.00	0.00	0.00	80.0	0.00
			USTRIALS INDEX ETF S	54,143.66	0.00	0.00	0.00		
03/01/201/ /	5599823 RF LAFFERTY & CO	TIDELLIX MOCT IND	USTRIALS INDEX ETF B	2,423.83	0.00	0.00	0.00	80.0	0.00

Page No. 12

INVESTMENT

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Page No. 13

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TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
			ספ	OKER TRANS	A C'TTONG					
			<u> </u>	OKEK IKANS	ACTIONS					
PRODUCT CAT	TEGORY: STOCK	<u>s</u>								
05/01/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	1,228.99	0.00	0.00	0.00	80.0	0.00
05/01/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	4,779.39	0.00	0.00	0.00	80.0	0.00
05/01/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	819.32	0.00	0.00	0.00	80.0	0.00
05/01/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	11,572.95	0.00	0.00	0.00	80.0	0.00
05/01/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	5,154.91	0.00	0.00	0.00	80.0	0.00
05/01/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	1,980.03	0.00	0.00	0.00	80.0	0.00
05/01/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	3,891.79	0.00	0.00	0.00	80.0	0.00
05/01/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	1,741.06	0.00	0.00	0.00	80.0	0.00
05/01/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	3,686.96	0.00	0.00	0.00	80.0	0.00
05/01/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	5,496.30	0.00	0.00	0.00	80.0	0.00
05/01/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	3,379.71	0.00	0.00	0.00	80.0	0.00
05/01/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	3,789.37	0.00	0.00	0.00	80.0	0.00
05/01/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	1,570.37	0.00	0.00	0.00	80.0	0.00
05/01/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	955.88	0.00	0.00	0.00	80.0	0.00
05/01/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	1,672.79	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY COVINGTON TR MSC	CI INFORMAT B	20,270.95	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY COVINGTON TR MSC	CI INFORMAT S	20,270.95	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY COVINGTON TR MSC	CI UTILITIE B	19,988.17	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY COVINGTON TR MSC	CI UTILITIE S	19,988.17	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY COVINGTON TR MSC	CI TELECOMM B	19,582.26	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY COVINGTON TR MSC	CI TELECOMM S	19,582.26	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY COVINGTON TR MSC	CI MATERIAL S	19,424.23	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY COVINGTON TR MSC	CI MATERIAL B	19,424.23	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI CONSUMER DI	SCRETIONAR B	19,524.51	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI CONSUMER DI	SCRETIONAR S	19,524.51	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI CONSUMER ST	CAPLES INDE S	19,813.35	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI CONSUMER ST	CAPLES INDE B	19,813.35	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF B	19,455.70	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	19,455.70	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI HEALTH CARE	INDEX ETF S	19,624.88	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI HEALTH CARE	INDEX ETF B	19,624.88	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	19,588.07	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF S	19,588.07	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY COVINGTON TR MSC	CI INFORMAT B	26,497.30	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFE		FIDELITY COVINGTON TR MSC	CI INFORMAT S	26,497.30	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY COVINGTON TR MSC	CI UTILITIE S	26,196.05	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY COVINGTON TR MSC	CI UTILITIE B	26,196.06	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY COVINGTON TR MSC	CI TELECOMM B	25,608.74	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY COVINGTON TR MSC	CI TELECOMM S	25,608.74	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599823 RF LAFE	FERTY & CO	FIDELITY COVINGTON TR MSC	CI MATERIAL S	25,359.45	0.00	0.00	0.00	80.0	0.00

Page No. 14

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT B/	INVESTMENT S AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRAN	SACTIONS					
PRODUCT CA	TEGORY: STOCKS							
05/23/2017 7	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B	25,359.45	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S	25,463.83	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B	25,463.83	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B	26,018.47	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S	26,018.47	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF B	25,375.15	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S	25,375.15	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S	25,768.94	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF B	25,768.94	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF B	25,571.08	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S	25,571.08	0.00	0.00	0.00	80.0	0.00
		* STOCKS SUBTOTAL *	\$8,304,416.40	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CA	TEGORY: BONDS							
04/27/2017 7	5599820 RF LAFFERTY & CO	UNDER ARMOUR INC SR UNSECURED S	46,775.00	0.00	0.00	0.00	80.0	0.00
	5599820 RF LAFFERTY & CO	UNDER ARMOUR INC SR UNSECURED B		0.00	0.00	0.00	80.0	0.00
	5599820 RF LAFFERTY & CO	BAC CAPITAL TRUST XIV NOTES FIXED T B		0.00	0.00	0.00	80.0	0.00
05/04/2017 7	5599820 RF LAFFERTY & CO	BAC CAPITAL TRUST XIV NOTES FIXED T S	42,687.50	0.00	0.00	0.00	80.0	0.00
04/07/2017 7	5599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE B	-36,864.60	0.00	0.00	0.00	80.0	0.00 C
04/07/2017 7	5599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE S	-36,726.80	0.00	0.00	0.00	80.0	0.00 C
04/07/2017 7	5599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE S	36,864.58	0.00	0.00	0.00	80.0	0.00
04/07/2017 7	5599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE B	36,726.77	0.00	0.00	0.00	80.0	0.00
04/07/2017 7	5599826 RF LAFFERTY & CO	RESIDENTIAL ASSET MTG PRODS SERIES S	-13,295.20	0.00	0.00	0.00	80.0	0.00 C
04/07/2017 7	5599826 RF LAFFERTY & CO	RESIDENTIAL ASSET MTG PRODS SERIES B	-13,410.60	0.00	0.00	0.00	80.0	0.00 C
04/07/2017 7	5599826 RF LAFFERTY & CO	RESIDENTIAL ASSET MTG PRODS SERIES B	13,295.17	0.00	0.00	0.00	80.0	0.00
04/07/2017 7	5599826 RF LAFFERTY & CO	RESIDENTIAL ASSET MTG PRODS SERIES S	13,410.58	0.00	0.00	0.00	80.0	0.00
04/13/2017 7	5599826 RF LAFFERTY & CO	STRUCTURED ADJUSTABLE RATE MORTGAGE S	-25,502.20	0.00	0.00	0.00	80.0	0.00 C
04/13/2017 7	5599826 RF LAFFERTY & CO	STRUCTURED ADJUSTABLE RATE MORTGAGE B	-25,643.90	0.00	0.00	0.00	80.0	0.00 C
04/13/2017 7	5599826 RF LAFFERTY & CO	STRUCTURED ADJUSTABLE RATE MORTGAGE B	25,502.24	0.00	0.00	0.00	80.0	0.00
04/13/2017 7	5599826 RF LAFFERTY & CO	STRUCTURED ADJUSTABLE RATE MORTGAGE S	25,643.92	0.00	0.00	0.00	80.0	0.00
04/28/2017 7	5599826 RF LAFFERTY & CO	CARRINGTON MTG LN TR SER 2005-NC3 C B	43,587.61	0.00	0.00	0.00	80.0	0.00
04/28/2017 7	5599826 RF LAFFERTY & CO	CARRINGTON MTG LN TR SER 2005-NC3 C S	43,762.02	0.00	0.00	0.00	80.0	0.00
05/02/2017 7	5599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 B	91,250.00	0.00	0.00	0.00	80.0	0.00
05/02/2017 7	5599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 S	47,000.00	0.00	0.00	0.00	80.0	0.00
05/02/2017 7	5599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 S	9,400.00	0.00	0.00	0.00	80.0	0.00
05/02/2017 7	5599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 S	9,400.00	0.00	0.00	0.00	80.0	0.00
05/02/2017 7	5599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 S	5,640.00	0.00	0.00	0.00	80.0	0.00
05/02/2017 7	5599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 S	3,656.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21 B	18,900.00	0.00	0.00	0.00	80.0	0.00
05/08/2017 7	5599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21 S	9,537.50	0.00	0.00	0.00	80.0	0.00

Page No. 15

TRADE DATE	CLIENT	INVESTMENT B	INVESTMENT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRA	NSACTIONS					
PRODUCT CA	TEGORY: BONDS							
05/09/2017 7	5599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21	9,450.00	0.00	0.00	0.00	80.0	0.00
	5599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21			0.00	0.00	80.0	0.00
	5599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21			0.00	0.00	80.0	0.00
05/17/2017 7	5599826 RF LAFFERTY & CO	GENWORTH FINL INC SR NT	18,900.00	0.00	0.00	0.00	80.0	0.00
05/17/2017 7	5599826 RF LAFFERTY & CO	GENWORTH FINL INC SR NT	19,000.00	0.00	0.00	0.00	80.0	0.00
05/19/2017 7	5599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE E	5,555.00	0.00	0.00	0.00	80.0	0.00
05/19/2017 7	5599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE S	5,789.79	0.00	0.00	0.00	80.0	0.00
05/19/2017 7	5599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE S	-5,555.06	0.00	0.00	0.00	80.0	0.00 C
05/19/2017 7	5599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE E	-5,789.79	0.00	0.00	0.00	80.0	0.00 C
05/19/2017 7	5599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE F	5,555.00	0.00	0.00	0.00	80.0	0.00
05/19/2017 7	5599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE S	5,789.79	0.00	0.00	0.00	80.0	0.00
05/19/2017 7	5599826 RF LAFFERTY & CO	FREMONT HOME LOAN TRUST MTGPC/SERIE	2,992.18	0.00	0.00	0.00	80.0	0.00
05/19/2017 7	5599826 RF LAFFERTY & CO	FREMONT HOME LOAN TRUST MTGPC/SERIE S	3,146.95	0.00	0.00	0.00	80.0	0.00
05/22/2017 7	5599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21	18,550.00	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599826 RF LAFFERTY & CO	AMGEN INC SENIOR NOTES	29,129.63	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599826 RF LAFFERTY & CO	AMGEN INC SENIOR NOTES	,		0.00	0.00	80.0	0.00
05/23/2017 7	5599826 RF LAFFERTY & CO	CHEVRON CORP NEW SENIOR NOTES	.,		0.00	0.00	80.0	0.00
	5599826 RF LAFFERTY & CO	CHEVRON CORP NEW SENIOR NOTES	,		0.00	0.00	80.0	0.00
	5599826 RF LAFFERTY & CO	HERTZ CORP SR NT 7.375%21	,		0.00	0.00	80.0	0.00
	5599826 RF LAFFERTY & CO	EQUITY ONE MORTGAGE PASS-THROUGH TR E			0.00	0.00	80.0	0.00
05/30/2017 7	5599826 RF LAFFERTY & CO	EQUITY ONE MORTGAGE PASS-THROUGH TR S	9,907.62	0.00	0.00	0.00	80.0	0.00
		* BONDS SUBTOTAL *	\$717,359.9	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CA	TEGORY: OPTIONS							
05/15/2017 7	5599822 RF LAFFERTY & CO	CALL AMZN 07/21/17 900 S	22,812.00	0.00	0.00	0.00	80.0	0.00
05/15/2017 7	5599822 RF LAFFERTY & CO	CALL AMZN 07/21/17 900 E	22,545.00	0.00	0.00	0.00	80.0	0.00
		* OPTIONS SUBTOTAL *	\$45,357.0	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CA	TEGORY: CERTIFICATES OF	DEPOSIT						
05/23/2017 7	5599826 RF LAFFERTY & CO	JPMORGAN CHASE BK NA COLUMBUS OHIO E	18,500.00	0.00	0.00	0.00	80.0	0.00
05/23/2017 7	5599826 RF LAFFERTY & CO	JPMORGAN CHASE BK NA COLUMBUS OHIO S	18,700.00	0.00	0.00	0.00	80.0	0.00
		* CERTIFICATES OF DEPOSIT SUBTOTAL *	\$37,200.0	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CA	TEGORY: UNIT INVESTMENT	TRUSTS						
	5599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SER E	32,918.16	0.00	0.00	0.00	80.0	0.00
	5599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SER S			0.00	0.00	80.0	0.00
	5599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL BLUE S			0.00	0.00	80.0	0.00
	5599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL BLUE E			0.00	0.00	80.0	0.00
05/24/2017 7	5599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL ZACK S	12,503.7	0.00	0.00	0.00	80.0	0.00
05/24/2017 7	5599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL ZACK E	12,228.69	0.00	0.00	0.00	80.0	0.00
05/24/2017 7	5599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SER S	12,506.42	0.00	0.00	0.00	80.0	0.00

# 07/05/2017 Page No. 16

07/05/2017	COMMISSION STATEMENT for CLOSED Z-ACCOUNT						age No. 10
TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRANS	SACTIONS					
PRODUCT CATEGORY: UNIT INVESTMENT	TRUSTS						
05/24/2017 75599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SER B	12,181.20	0.00	0.00	0.00	80.0	0.00
	* UNIT INVESTMENT TRUSTS SUBTOTAL *	\$144,944.84	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B	1 TRAILS						
05/09/2017 75502062 SCRUGGS, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	0.08	0.00	0.08	80.0	0.06
05/09/2017 75502068 SCRUGGS, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	0.03	0.00	0.03	80.0	0.02
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$0.11	\$0.00	\$0.11		\$0.08
	*** BROKER TRANSACTIONS TOTAL ***	\$9,249,278.23	\$0.11	\$0.00	\$0.11		\$0.08
				<u> 1</u>	BROKER COMMI	SSION	TOTALS
				Broker Transactions			\$0.08
				Spli	t Transactions		\$0.00
				Overrid	e Transactions		\$0.00
					Adjustments		\$0.00
					Payroll Draw		\$0.00
					Base Salary		\$0.00
				Prior Period Balance			\$0.00
				FI	NRA Assessment		\$0.00
				S	IPC Assessment		\$0.00
					Check Amount		\$0.08

Year-to-date Earnings

\$6.57