

COMMISSION STATEMENT**October 31, 2017****JENNIFER HIEBER**

171 EAST 84TH STREET APT 33C

NEW YORK, NY 10028-

BROKER #: 88

BRANCH: HOME OFFICE

COMMISSION STATEMENT for JENNIFER HIEBER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
10/04/2017	QGW037236	JACOBSON, HARVEY	NEW YORK COMMUNITY BANCORP INC	B	6,449.95	100.00	0.00	100.00	60.00
10/04/2017	QGW037236	JACOBSON, HARVEY	NEW YORK COMMUNITY BANCORP INC	B	-6,449.95	-100.00	0.00	-100.00	60.00
10/23/2017	QGW037236	JACOBSON, HARVEY	BLACKSTONE GROUP L P (THE) COMMON U S		-10,495.05	100.00	0.00	100.00	60.00
10/25/2017	QGW037236	JACOBSON, HARVEY	EDWARDS LIFESCIENCES CRP	B	6,709.27	100.00	0.00	100.00	60.00
10/02/2017	QGW037244	HEIBER, JEAN	INOVIO PHARMACEUTICALS INC COM	S	-4,875.00	100.00	0.00	100.00	60.00
10/03/2017	QGW037244	HEIBER, JEAN	TWO HARBORS INVESTMENT CORP	S	-50,516.50	500.00	0.00	500.00	60.00
10/05/2017	QGW037244	HEIBER, JEAN	GLOBAL X LITHIUM AND BATTERY ETF	B	19,875.00	250.00	0.00	250.00	60.00
10/05/2017	QGW037244	HEIBER, JEAN	RITE AID CORPORATION	S	-4,140.00	100.00	0.00	100.00	60.00
10/05/2017	QGW037244	HEIBER, JEAN	WALGREEN BOOTS ALLIANCE INC COM	S	-38,422.40	350.00	0.00	350.00	60.00
10/11/2017	QGW037244	HEIBER, JEAN	SQUARE INC CLASS A COM	B	63,796.00	500.00	0.00	500.00	60.00
10/02/2017	QGW037269	EINHORN, GERALD	INOVIO PHARMACEUTICALS INC COM	S	-3,250.00	100.00	0.00	100.00	60.00
10/05/2017	QGW037269	EINHORN, GERALD	GLOBAL X LITHIUM AND BATTERY ETF	B	3,975.00	100.00	0.00	100.00	60.00
10/05/2017	QGW037269	EINHORN, GERALD	RITE AID CORPORATION	S	-2,070.00	100.00	0.00	100.00	60.00
10/05/2017	QGW037269	EINHORN, GERALD	WALGREEN BOOTS ALLIANCE INC COM	S	-7,684.48	100.00	0.00	100.00	60.00
10/06/2017	QGW037269	EINHORN, GERALD	SYNERGY PHARMACEUTICALS INC	B	6,914.00	200.00	0.00	200.00	60.00
10/11/2017	QGW037269	EINHORN, GERALD	SQUARE INC CLASS A COM	B	3,189.80	100.00	0.00	100.00	60.00
10/18/2017	QGW037269	EINHORN, GERALD	KINERJAPAY CORP COM	B	1,074.85	50.00	0.00	50.00	60.00
10/06/2017	QGW037277	HEIBER, JEAN	SWITCH INC CLASS A COMMON STOCK \$0. B		113,574.50	100.00	0.00	100.00	60.00
10/06/2017	QGW037277	HEIBER, JEAN	SYNERGY PHARMACEUTICALS INC	B	41,484.00	600.00	0.00	600.00	60.00
10/12/2017	QGW037277	HEIBER, JEAN	CARGURUS INC CL A	B	437,448.00	100.00	0.00	100.00	60.00
10/13/2017	QGW037277	HEIBER, JEAN	SQUARE INC CLASS A COM	B	164,183.50	100.00	0.00	100.00	60.00
10/16/2017	QGW037277	HEIBER, JEAN	CARGURUS INC CL A	S	-459,000.00	1,000.00	0.00	1,000.00	60.00
10/18/2017	QGW037277	HEIBER, JEAN	SQUARE INC CLASS A COM	B	163,328.00	100.00	0.00	100.00	60.00
10/26/2017	QGW037277	HEIBER, JEAN	QUDIAN INC ADR ISIN# US7477981069	B	116,620.00	100.00	0.00	100.00	60.00
10/26/2017	QGW037277	HEIBER, JEAN	SQUARE INC CLASS A COM	S	-173,000.00	1,000.00	0.00	1,000.00	60.00
10/27/2017	QGW037277	HEIBER, JEAN	QUDIAN INC ADR ISIN# US7477981069	B	128,208.00	100.00	0.00	100.00	60.00
10/02/2017	QGW037285	HEIBER, JEAN	INOVIO PHARMACEUTICALS INC COM	S	-130,000.00	500.00	0.00	500.00	60.00
10/06/2017	QGW037285	HEIBER, JEAN	MEDICAL TRANSCRIPTION BILLING CORP	S	-18,408.30	70.00	0.00	70.00	60.00
10/06/2017	QGW037285	HEIBER, JEAN	MEDICAL TRANSCRIPTION BILLING CORP	B	26,750.00	100.00	0.00	100.00	60.00
10/06/2017	QGW037285	HEIBER, JEAN	SYNERGY PHARMACEUTICALS INC	B	10,371.00	300.00	0.00	300.00	60.00
10/11/2017	QGW037285	HEIBER, JEAN	MEDICAL TRANSCRIPTION BILLING CORP	S	-11,081.40	100.00	0.00	100.00	60.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/18/2017	QGW037285 HEIBER, JEAN	PAYPAL HOLDINGS INC COM	B	134,086.00	100.00	0.00	100.00	60.0	60.00
10/18/2017	QGW037285 HEIBER, JEAN	QUDIAN INC ADR ISIN# US7477981069	B	134,568.80	100.00	0.00	100.00	60.0	60.00
10/20/2017	QGW037285 HEIBER, JEAN	PAYPAL HOLDINGS INC COM	S	-142,000.00	1,000.00	0.00	1,000.00	60.0	600.00
10/23/2017	QGW037285 HEIBER, JEAN	QUDIAN INC ADR ISIN# US7477981069	B	59,919.80	100.00	0.00	100.00	60.0	60.00
10/24/2017	QGW037285 HEIBER, JEAN	CARGURUS INC CL A	B	151,244.00	100.00	0.00	100.00	60.0	60.00
10/24/2017	QGW037285 HEIBER, JEAN	QUDIAN INC ADR ISIN# US7477981069	B	54,462.80	100.00	0.00	100.00	60.0	60.00
10/25/2017	QGW037285 HEIBER, JEAN	CARGURUS INC CL A	B	145,650.00	100.00	0.00	100.00	60.0	60.00
10/25/2017	QGW037285 HEIBER, JEAN	QUDIAN INC ADR ISIN# US7477981069	B	54,500.00	100.00	0.00	100.00	60.0	60.00
10/26/2017	QGW037285 HEIBER, JEAN	INOVIO PHARMACEUTICALS INC COM	S	-55,600.00	250.00	0.00	250.00	60.0	150.00
10/26/2017	QGW037285 HEIBER, JEAN	TWITTER INC	S	-99,100.00	100.00	0.00	100.00	60.0	60.00
10/27/2017	QGW037285 HEIBER, JEAN	CELGENE CORP	B	193,600.00	100.00	0.00	100.00	60.0	60.00
10/27/2017	QGW037285 HEIBER, JEAN	CELGENE CORP	S	-196,060.00	100.00	0.00	100.00	60.0	60.00
10/27/2017	QGW037285 HEIBER, JEAN	SQUARE INC CLASS A COM	B	174,772.00	100.00	0.00	100.00	60.0	60.00
10/27/2017	QGW037285 HEIBER, JEAN	SQUARE INC CLASS A COM	S	-176,051.00	100.00	0.00	100.00	60.0	60.00
09/29/2017	QGW037301 JACOBSON, HARVEY	SCHLUMBERGER LTD	B	6,880.00	100.00	0.00	100.00	60.0	60.00
10/02/2017	QGW037301 JACOBSON, HARVEY	GENERAL MOTORS COMPANY	S	-10,520.95	100.00	0.00	100.00	60.0	60.00
10/04/2017	QGW037301 JACOBSON, HARVEY	CISCO SYS INC	B	7,374.18	100.00	0.00	100.00	60.0	60.00
10/10/2017	QGW037301 JACOBSON, HARVEY	AT&T INC	B	6,726.44	100.00	0.00	100.00	60.0	60.00
10/10/2017	QGW037301 JACOBSON, HARVEY	CYPRESS SEMICONDUCTOR CORP	S	-12,762.40	100.00	0.00	100.00	60.0	60.00
10/16/2017	QGW037301 JACOBSON, HARVEY	QUALCOMM INC	S	-15,765.45	100.00	0.00	100.00	60.0	60.00
10/17/2017	QGW037301 JACOBSON, HARVEY	GENERAL ELECTRIC CO	S	-10,363.50	100.00	0.00	100.00	60.0	60.00
10/18/2017	QGW037301 JACOBSON, HARVEY	NOBLE CORP PLC SHS USD ISIN#GB00BFG	S	-3,920.80	100.00	0.00	100.00	60.0	60.00
10/25/2017	QGW037301 JACOBSON, HARVEY	GILEAD SCIENCES INC	B	6,711.56	100.00	0.00	100.00	60.0	60.00
10/25/2017	QGW037301 JACOBSON, HARVEY	SCHLUMBERGER LTD	B	6,299.65	100.00	0.00	100.00	60.0	60.00
10/26/2017	QGW037301 JACOBSON, HARVEY	TEVA PHARMACEUTICAL INDUSTRIES LIM	B	11,100.00	100.00	0.00	100.00	60.0	60.00
10/27/2017	QGW037301 JACOBSON, HARVEY	INTEL CORP	S	-8,832.00	100.00	0.00	100.00	60.0	60.00
10/04/2017	QGW037343 JT TRUST, HARVEY	NEW YORK COMMUNITY BANCORP INC	B	6,449.95	100.00	0.00	100.00	60.0	60.00
10/18/2017	QGW037376 EINHORN, EMILY	KINERJAPAY CORP COM	B	1,074.85	50.00	0.00	50.00	60.0	30.00
10/20/2017	QGW037400 BITTNER, ARTHUR	SEA LTD ADR ISIN#US81141R1005	S	-80,490.00	100.00	0.00	100.00	60.0	60.00
10/20/2017	QGW037400 BITTNER, ARTHUR	SEA LTD ADR ISIN#US81141R1005	B	79,227.00	100.00	0.00	100.00	60.0	60.00
10/02/2017	QGW037418 PARTNERS, JACOBSON	GENERAL ELECTRIC CO	B	9,000.00	100.00	0.00	100.00	60.0	60.00
10/04/2017	QGW037418 PARTNERS, JACOBSON	CISCO SYS INC	B	6,703.98	100.00	0.00	100.00	60.0	60.00
10/06/2017	QGW037418 PARTNERS, JACOBSON	GENERAL MILLS INC	B	7,233.69	100.00	0.00	100.00	60.0	60.00
10/06/2017	QGW037418 PARTNERS, JACOBSON	TARGET CORP	B	7,404.70	100.00	0.00	100.00	60.0	60.00
10/12/2017	QGW037418 PARTNERS, JACOBSON	AT&T INC	B	7,351.98	100.00	0.00	100.00	60.0	60.00
10/20/2017	QGW037418 PARTNERS, JACOBSON	BANK OF AMERICA CORP	S	-9,475.69	100.00	0.00	100.00	60.0	60.00
10/05/2017	QGW037426 BIERLEY, KIMBERLEY	GLOBAL X LITHIUM AND BATTERY ETF	B	3,975.00	100.00	0.00	100.00	60.0	60.00
10/05/2017	QGW037426 BIERLEY, KIMBERLEY	UQM TECHNOLOGIES INC COM	B	1,260.00	50.00	0.00	50.00	60.0	30.00
10/06/2017	QGW037426 BIERLEY, KIMBERLEY	22ND CENTY GROUP INC COM	B	8,264.00	100.00	0.00	100.00	60.0	60.00
10/06/2017	QGW037426 BIERLEY, KIMBERLEY	SYNERGY PHARMACEUTICALS INC	B	1,728.50	80.00	0.00	80.00	60.0	48.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/11/2017	QGW037426 BIERLEY, KIMBERLEY	SQUARE INC CLASS A COM	B	3,189.80	100.00	0.00	100.00	60.0	60.00
10/18/2017	QGW037426 BIERLEY, KIMBERLEY	KINERJAPAY CORP COM	B	1,074.85	50.00	0.00	50.00	60.0	30.00
10/19/2017	QGW037426 BIERLEY, KIMBERLEY	FORD MOTOR CO PAR \$0.01	S	-1,215.30	60.00	0.00	60.00	60.0	36.00
10/19/2017	QGW037426 BIERLEY, KIMBERLEY	QUDIAN INC ADR ISIN# US7477981069	B	14,634.95	100.00	0.00	100.00	60.0	60.00
10/19/2017	QGW037426 BIERLEY, KIMBERLEY	QUDIAN INC ADR ISIN# US7477981069	S	-15,875.00	100.00	0.00	100.00	60.0	60.00
10/05/2017	QGW037442 EDWARD JOHNSON LIV,	GLOBAL X LITHIUM AND BATTERY ETF	B	3,975.00	100.00	0.00	100.00	60.0	60.00
10/06/2017	QGW037442 EDWARD JOHNSON LIV,	SYNERGY PHARMACEUTICALS INC	B	2,765.60	100.00	0.00	100.00	60.0	60.00
10/06/2017	QGW037442 EDWARD JOHNSON LIV,	WORLD WRESTLING ENTERTAINMENT INC C S	S	-2,355.00	50.00	0.00	50.00	60.0	30.00
10/09/2017	QGW037442 EDWARD JOHNSON LIV,	AMERICAN OUTDOOR BRANDS CORP COM	S	-1,541.49	75.00	0.00	75.00	60.0	45.00
10/02/2017	QGW037459 HIBER, CHRISTINA	INOVIO PHARMACEUTICALS INC COM	S	-3,250.00	100.00	0.00	100.00	60.0	60.00
10/05/2017	QGW037459 HIBER, CHRISTINA	GLOBAL X LITHIUM AND BATTERY ETF	B	19,875.00	250.00	0.00	250.00	60.0	150.00
10/05/2017	QGW037459 HIBER, CHRISTINA	RITE AID CORPORATION	S	-2,070.00	100.00	0.00	100.00	60.0	60.00
10/05/2017	QGW037459 HIBER, CHRISTINA	UQM TECHNOLOGIES INC COM	B	5,040.00	200.00	0.00	200.00	60.0	120.00
10/05/2017	QGW037459 HIBER, CHRISTINA	WALGREEN BOOTS ALLIANCE INC COM	S	-15,368.96	200.00	0.00	200.00	60.0	120.00
10/06/2017	QGW037459 HIBER, CHRISTINA	SYNERGY PHARMACEUTICALS INC	B	13,828.00	350.00	0.00	350.00	60.0	210.00
10/11/2017	QGW037459 HIBER, CHRISTINA	SQUARE INC CLASS A COM	B	15,949.00	250.00	0.00	250.00	60.0	150.00
10/05/2017	QGW037467 GIGANTE, SANDRA	ALTRIA GROUP INC	S	-6,390.00	100.00	0.00	100.00	60.0	60.00
10/05/2017	QGW037467 GIGANTE, SANDRA	GENERAL ELECTRIC CO	S	-4,888.04	100.00	0.00	100.00	60.0	60.00
10/05/2017	QGW037467 GIGANTE, SANDRA	GLOBAL X LITHIUM AND BATTERY ETF	B	7,950.00	125.00	0.00	125.00	60.0	75.00
10/06/2017	QGW037467 GIGANTE, SANDRA	SYNERGY PHARMACEUTICALS INC	B	3,457.00	125.00	0.00	125.00	60.0	75.00
10/11/2017	QGW037475 JABLOW, BETTE	SQUARE INC CLASS A COM	B	3,189.80	100.00	0.00	100.00	60.0	60.00
10/12/2017	QGW037475 JABLOW, BETTE	FRONTIER COMMUNICATIONS CORPORATION S	S	-789.10	50.00	0.00	50.00	60.0	30.00
10/03/2017	QGW037483 HOLBROOK, WILLIAM	HOLLYFRONTIER CORP COM	S	-36,190.00	100.00	0.00	100.00	60.0	60.00
10/06/2017	QGW037491 SINCOFF, JAY	SYNERGY PHARMACEUTICALS INC	B	1,037.10	60.00	0.00	60.00	60.0	36.00
10/06/2017	QGW037491 SINCOFF, JAY	SYNERGY PHARMACEUTICALS INC	B	-1,037.10	-60.00	0.00	-60.00	60.0	-36.00 C
10/06/2017	QGW037491 SINCOFF, JAY	SYNERGY PHARMACEUTICALS INC	B	1,382.80	70.00	0.00	70.00	60.0	42.00
10/02/2017	QGW037517 JABLOW FAMILY TRUST,	INOVIO PHARMACEUTICALS INC COM	S	-3,250.00	100.00	0.00	100.00	60.0	60.00
10/05/2017	QGW037517 JABLOW FAMILY TRUST,	GLOBAL X LITHIUM AND BATTERY ETF	B	19,875.00	250.00	0.00	250.00	60.0	150.00
10/05/2017	QGW037517 JABLOW FAMILY TRUST,	RITE AID CORPORATION	S	-2,070.00	100.00	0.00	100.00	60.0	60.00
10/05/2017	QGW037517 JABLOW FAMILY TRUST,	UQM TECHNOLOGIES INC COM	B	3,780.00	100.00	0.00	100.00	60.0	60.00
10/05/2017	QGW037517 JABLOW FAMILY TRUST,	WALGREEN BOOTS ALLIANCE INC COM	S	-19,211.20	250.00	0.00	250.00	60.0	150.00
10/06/2017	QGW037517 JABLOW FAMILY TRUST,	SYNERGY PHARMACEUTICALS INC	B	6,914.00	200.00	0.00	200.00	60.0	120.00
10/11/2017	QGW037517 JABLOW FAMILY TRUST,	SQUARE INC CLASS A COM	B	15,949.00	250.00	0.00	250.00	60.0	150.00
10/03/2017	QGW037525 HOLBROOK, WILLIAM	SCHLUMBERGER LTD	S	-69,192.00	100.00	0.00	100.00	60.0	60.00
10/06/2017	QGW037541 2011 TRUST,	SYNERGY PHARMACEUTICALS INC	B	1,728.50	80.00	0.00	80.00	60.0	48.00
10/09/2017	QGW037541 2011 TRUST,	PAYPAL HOLDINGS INC COM	S	-3,325.00	100.00	0.00	100.00	60.0	60.00
10/18/2017	QGW037541 2011 TRUST,	KINERJAPAY CORP COM	B	2,149.70	70.00	0.00	70.00	60.0	42.00
10/02/2017	QGW037566 WAINE 1992 IRREV TR,	INOVIO PHARMACEUTICALS INC COM	S	-3,250.00	100.00	0.00	100.00	60.0	60.00
10/05/2017	QGW037566 WAINE 1992 IRREV TR,	GLOBAL X LITHIUM AND BATTERY ETF	B	19,875.00	250.00	0.00	250.00	60.0	150.00
10/05/2017	QGW037566 WAINE 1992 IRREV TR,	RITE AID CORPORATION	S	-2,070.00	100.00	0.00	100.00	60.0	60.00

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
10/05/2017	QGW037566	WAINE 1992 IRREV TR, UQM TECHNOLOGIES INC COM	B	3,780.00	100.00	0.00	100.00	60.0	60.00
10/05/2017	QGW037566	WAINE 1992 IRREV TR, WALGREEN BOOTS ALLIANCE INC COM	S	-19,211.20	250.00	0.00	250.00	60.0	150.00
10/06/2017	QGW037566	WAINE 1992 IRREV TR, SYNERGY PHARMACEUTICALS INC	B	13,828.00	350.00	0.00	350.00	60.0	210.00
10/11/2017	QGW037566	WAINE 1992 IRREV TR, SQUARE INC CLASS A COM	B	15,949.00	250.00	0.00	250.00	60.0	150.00
10/11/2017	QGW037566	WAINE 1992 IRREV TR, WORLD WRESTLING ENTERTAINMENT INC C S	S	-23,160.10	300.00	0.00	300.00	60.0	180.00
10/18/2017	QGW037566	WAINE 1992 IRREV TR, KINERJAPAY CORP COM	B	2,149.70	70.00	0.00	70.00	60.0	42.00
10/11/2017	QGW037608	PAYFER, KELLY SQUARE INC CLASS A COM	B	3,189.80	100.00	0.00	100.00	60.0	60.00
10/02/2017	QGW037616	PAYFER, KELLY INOVIO PHARMACEUTICALS INC COM	S	-1,300.00	100.00	0.00	100.00	60.0	60.00
10/05/2017	QGW037616	PAYFER, KELLY GERON CORP	S	-2,295.00	100.00	0.00	100.00	60.0	60.00
10/05/2017	QGW037616	PAYFER, KELLY GLOBAL X LITHIUM AND BATTERY ETF	B	3,975.00	100.00	0.00	100.00	60.0	60.00
10/06/2017	QGW037616	PAYFER, KELLY SYNERGY PHARMACEUTICALS INC	B	2,074.20	90.00	0.00	90.00	60.0	54.00
10/06/2017	QGW037640	RESCH, ROBERT SYNERGY PHARMACEUTICALS INC	B	3,457.00	125.00	0.00	125.00	60.0	75.00
10/23/2017	QGW037640	RESCH, ROBERT NEW YORK COMMUNITY BANCORP INC	B	25,400.00	200.00	0.00	200.00	60.0	120.00
10/18/2017	QGW037665	BAKAY, TRACEY KINERJAPAY CORP COM	B	1,074.85	50.00	0.00	50.00	60.0	30.00
10/06/2017	QGW037707	SWEZEY, SALLY SYNERGY PHARMACEUTICALS INC	B	3,457.00	125.00	0.00	125.00	60.0	75.00
10/12/2017	QGW037707	SWEZEY, SALLY SQUARE INC CLASS A COM	S	-164,100.00	100.00	0.00	100.00	60.0	60.00
10/12/2017	QGW037707	SWEZEY, SALLY SQUARE INC CLASS A COM	B	162,481.50	100.00	0.00	100.00	60.0	60.00
10/19/2017	QGW037707	SWEZEY, SALLY MONGODB INC CL A	S	-47,940.00	100.00	0.00	100.00	60.0	60.00
10/19/2017	QGW037707	SWEZEY, SALLY MONGODB INC CL A	B	46,774.20	100.00	0.00	100.00	60.0	60.00
10/20/2017	QGW037707	SWEZEY, SALLY SEA LTD ADR ISIN#US81141R1005	B	79,227.00	100.00	0.00	100.00	60.0	60.00
10/20/2017	QGW037707	SWEZEY, SALLY SEA LTD ADR ISIN#US81141R1005	S	-80,490.00	100.00	0.00	100.00	60.0	60.00
10/24/2017	QGW037707	SWEZEY, SALLY QUDIAN INC ADR ISIN# US7477981069	B	27,231.40	100.00	0.00	100.00	60.0	60.00
10/24/2017	QGW037707	SWEZEY, SALLY QUDIAN INC ADR ISIN# US7477981069	S	-28,590.30	100.00	0.00	100.00	60.0	60.00
10/23/2017	QGW037723	PICKET, GEOFFREY GAMESTOP CORP NEW CLASS A	B	10,233.50	100.00	0.00	100.00	60.0	60.00
10/18/2017	QGW037756	JARET, FREDERICA KINERJAPAY CORP COM	B	2,149.70	70.00	0.00	70.00	60.0	42.00
10/02/2017	QGW037780	JARET, FREDERICA INOVIO PHARMACEUTICALS INC COM	S	-3,250.00	100.00	0.00	100.00	60.0	60.00
10/05/2017	QGW037780	JARET, FREDERICA GLOBAL X LITHIUM AND BATTERY ETF	B	7,950.00	125.00	0.00	125.00	60.0	75.00
10/05/2017	QGW037780	JARET, FREDERICA RITE AID CORPORATION	S	-2,070.00	100.00	0.00	100.00	60.0	60.00
10/05/2017	QGW037780	JARET, FREDERICA WALGREEN BOOTS ALLIANCE INC COM	S	-7,684.48	100.00	0.00	100.00	60.0	60.00
10/11/2017	QGW037780	JARET, FREDERICA SQUARE INC CLASS A COM	B	3,189.80	100.00	0.00	100.00	60.0	60.00
10/12/2017	QGW037780	JARET, FREDERICA PAYPAL HOLDINGS INC COM	S	-206,610.30	100.00	0.00	100.00	60.0	60.00
10/12/2017	QGW037780	JARET, FREDERICA PAYPAL HOLDINGS INC COM	B	205,177.50	100.00	0.00	100.00	60.0	60.00
10/19/2017	QGW037780	JARET, FREDERICA MONGODB INC CL A	S	-47,940.00	100.00	0.00	100.00	60.0	60.00
10/19/2017	QGW037780	JARET, FREDERICA MONGODB INC CL A	B	46,774.20	100.00	0.00	100.00	60.0	60.00
10/13/2017	QGW037814	WEHSE, RITA KINERJAPAY CORP COM	B	11,500.00	100.00	0.00	100.00	60.0	60.00
10/13/2017	QGW037814	WEHSE, RITA KINERJAPAY CORP COM	S	-12,708.00	100.00	0.00	100.00	60.0	60.00
10/18/2017	QGW037814	WEHSE, RITA KINERJAPAY CORP COM	B	2,149.70	70.00	0.00	70.00	60.0	42.00
10/19/2017	QGW037814	WEHSE, RITA QUDIAN INC ADR ISIN# US7477981069	B	14,634.95	100.00	0.00	100.00	60.0	60.00
10/19/2017	QGW037814	WEHSE, RITA QUDIAN INC ADR ISIN# US7477981069	S	-15,875.00	100.00	0.00	100.00	60.0	60.00
10/06/2017	QGW037822	LEWIS, PAUL SYNERGY PHARMACEUTICALS INC	B	3,457.00	125.00	0.00	125.00	60.0	75.00

COMMISSION STATEMENT for JENNIFER HIEBER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/18/2017	QGW037830	EATON, MAURICE	KINERJAPAY CORP COM	B	1,074.85	50.00	0.00	50.00	60.0 30.00
10/18/2017	QGW037848	LYSTAD, WYNN	KINERJAPAY CORP COM	B	1,074.85	50.00	0.00	50.00	60.0 30.00
10/02/2017	QGW037855	EATON, GEORGANNE	INOVIO PHARMACEUTICALS INC COM	S	-3,250.00	100.00	0.00	100.00	60.0 60.00
10/05/2017	QGW037855	EATON, GEORGANNE	GLOBAL X LITHIUM AND BATTERY ETF	B	19,875.00	250.00	0.00	250.00	60.0 150.00
10/05/2017	QGW037855	EATON, GEORGANNE	RITE AID CORPORATION	S	-4,140.00	100.00	0.00	100.00	60.0 60.00
10/05/2017	QGW037855	EATON, GEORGANNE	UQM TECHNOLOGIES INC COM	B	5,040.00	200.00	0.00	200.00	60.0 120.00
10/05/2017	QGW037855	EATON, GEORGANNE	WALGREEN BOOTS ALLIANCE INC COM	S	-30,737.92	300.00	0.00	300.00	60.0 180.00
10/11/2017	QGW037855	EATON, GEORGANNE	SQUARE INC CLASS A COM	B	15,949.00	250.00	0.00	250.00	60.0 150.00
10/18/2017	QGW037855	EATON, GEORGANNE	KINERJAPAY CORP COM	B	2,149.70	70.00	0.00	70.00	60.0 42.00
10/06/2017	QGW037905	HAYDEN-FORTUNA, MARY	SYNERGY PHARMACEUTICALS INC	B	1,728.50	80.00	0.00	80.00	60.0 48.00
10/06/2017	QGW037905	HAYDEN-FORTUNA, MARY	SYNERGY PHARMACEUTICALS INC	B	-1,728.50	-80.00	0.00	-80.00	60.0 -48.00 C
10/06/2017	QGW037905	HAYDEN-FORTUNA, MARY	SYNERGY PHARMACEUTICALS INC	B	2,074.20	90.00	0.00	90.00	60.0 54.00
10/09/2017	QGW037905	HAYDEN-FORTUNA, MARY	GENERAL ELECTRIC CO	S	-2,351.30	100.00	0.00	100.00	60.0 60.00
10/19/2017	QGW037905	HAYDEN-FORTUNA, MARY	MONGODB INC CL A	S	-47,940.00	100.00	0.00	100.00	60.0 60.00
10/19/2017	QGW037905	HAYDEN-FORTUNA, MARY	MONGODB INC CL A	B	46,774.20	100.00	0.00	100.00	60.0 60.00
10/02/2017	QGW037921	HIEBER TRUST, JEAN	INOVIO PHARMACEUTICALS INC COM	S	-3,250.00	100.00	0.00	100.00	60.0 60.00
10/05/2017	QGW037921	HIEBER TRUST, JEAN	GLOBAL X LITHIUM AND BATTERY ETF	B	3,975.00	100.00	0.00	100.00	60.0 60.00
10/06/2017	QGW037921	HIEBER TRUST, JEAN	SYNERGY PHARMACEUTICALS INC	B	3,457.00	125.00	0.00	125.00	60.0 75.00
10/06/2017	QGW037921	HIEBER TRUST, JEAN	WORLD WRESTLING ENTERTAINMENT INC C S	S	-4,710.00	80.00	0.00	80.00	60.0 48.00
10/02/2017	QGW037939	HIEBER TRUST, JEAN	INOVIO PHARMACEUTICALS INC COM	S	-3,250.00	100.00	0.00	100.00	60.0 60.00
10/05/2017	QGW037939	HIEBER TRUST, JEAN	GLOBAL X LITHIUM AND BATTERY ETF	B	3,975.00	100.00	0.00	100.00	60.0 60.00
10/06/2017	QGW037939	HIEBER TRUST, JEAN	SYNERGY PHARMACEUTICALS INC	B	3,457.00	125.00	0.00	125.00	60.0 75.00
10/06/2017	QGW037939	HIEBER TRUST, JEAN	WORLD WRESTLING ENTERTAINMENT INC C S	S	-4,710.00	80.00	0.00	80.00	60.0 48.00
10/06/2017	QGW037954	BLANDA, CARRIE	SYNERGY PHARMACEUTICALS INC	B	3,457.00	125.00	0.00	125.00	60.0 75.00
10/09/2017	QGW037954	BLANDA, CARRIE	CORNING INC	S	-3,012.30	100.00	0.00	100.00	60.0 60.00
10/20/2017	QGW037954	BLANDA, CARRIE	SEA LTD ADR ISIN#US81141R1005	S	-80,490.00	100.00	0.00	100.00	60.0 60.00
10/20/2017	QGW037954	BLANDA, CARRIE	SEA LTD ADR ISIN#US81141R1005	B	79,227.00	100.00	0.00	100.00	60.0 60.00
10/06/2017	QGW037962	FORTUNA, DANIEL	SYNERGY PHARMACEUTICALS INC	B	864.25	50.00	0.00	50.00	60.0 30.00
10/19/2017	QGW037962	FORTUNA, DANIEL	MONGODB INC CL A	S	-47,940.00	100.00	0.00	100.00	60.0 60.00
10/19/2017	QGW037962	FORTUNA, DANIEL	MONGODB INC CL A	B	46,774.20	100.00	0.00	100.00	60.0 60.00
10/05/2017	QGW037970	MENELL, AMY	GLOBAL X LITHIUM AND BATTERY ETF	B	7,950.00	125.00	0.00	125.00	60.0 75.00
10/05/2017	QGW037970	MENELL, AMY	KKR INCOME OPPORTUNITIES FD COM	S	-17,900.40	250.00	0.00	250.00	60.0 150.00
10/06/2017	QGW037970	MENELL, AMY	22ND CENTY GROUP INC COM	B	8,264.00	100.00	0.00	100.00	60.0 60.00
10/06/2017	QGW037970	MENELL, AMY	SYNERGY PHARMACEUTICALS INC	B	3,457.00	125.00	0.00	125.00	60.0 75.00
10/20/2017	QGW037970	MENELL, AMY	SEA LTD ADR ISIN#US81141R1005	B	79,227.00	100.00	0.00	100.00	60.0 60.00
10/20/2017	QGW037970	MENELL, AMY	SEA LTD ADR ISIN#US81141R1005	S	-80,490.00	100.00	0.00	100.00	60.0 60.00
10/05/2017	QGW037988	MILAZZO, EVAN	GLOBAL X LITHIUM AND BATTERY ETF	B	3,975.00	100.00	0.00	100.00	60.0 60.00
10/06/2017	QGW037988	MILAZZO, EVAN	SYNERGY PHARMACEUTICALS INC	B	1,728.50	80.00	0.00	80.00	60.0 48.00
10/09/2017	QGW037988	MILAZZO, EVAN	GENERAL ELECTRIC CO	S	-2,340.00	75.00	0.00	75.00	60.0 45.00
10/19/2017	QGW037988	MILAZZO, EVAN	QUDIAN INC ADR ISIN# US7477981069	B	14,634.95	100.00	0.00	100.00	60.0 60.00

COMMISSION STATEMENT for JENNIFER HIEBER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/19/2017	QGW037988	MILAZZO, EVAN		QUDIAN INC ADR ISIN# US7477981069	S	-15,875.00	100.00	0.00	100.00 60.0 60.00
10/18/2017	QGW037996	CUNNINGHAM,		KINERJAPAY CORP COM	B	2,149.70	70.00	0.00	70.00 60.0 42.00
10/20/2017	QGW038028	SUSSER, ROBERT		SEA LTD ADR ISIN#US81141R1005	B	79,227.00	100.00	0.00	100.00 60.0 60.00
10/20/2017	QGW038028	SUSSER, ROBERT		SEA LTD ADR ISIN#US81141R1005	S	-80,490.00	100.00	0.00	100.00 60.0 60.00
10/05/2017	QGW038044	LING, JOANNE		CORNING INC	S	-1,521.68	50.00	0.00	50.00 60.0 30.00
10/05/2017	QGW038044	LING, JOANNE		UQM TECHNOLOGIES INC COM	B	1,260.00	50.00	0.00	50.00 60.0 30.00
10/06/2017	QGW038044	LING, JOANNE		SYNERGY PHARMACEUTICALS INC	B	1,728.50	80.00	0.00	80.00 60.0 48.00
10/09/2017	QGW038044	LING, JOANNE		ETSY INC COM	S	-1,650.00	75.00	0.00	75.00 60.0 45.00
10/06/2017	QGW038069	HIEBER TRADING #2,		SYNERGY PHARMACEUTICALS INC	B	6,914.00	200.00	0.00	200.00 60.0 120.00
10/06/2017	QGW038077	MASARKY, ARTHUR		SYNERGY PHARMACEUTICALS INC	B	1,382.80	70.00	0.00	70.00 60.0 42.00
10/05/2017	QGW038127	PACA TRUST, BARBARA		GLOBAL X LITHIUM AND BATTERY ETF	B	7,950.00	100.00	0.00	100.00 60.0 60.00
10/05/2017	QGW038127	PACA TRUST, BARBARA		PAYPAL HOLDINGS INC COM	S	-6,588.25	100.00	0.00	100.00 60.0 60.00
10/05/2017	QGW038168	PACA TRUST, BARBARA		GLOBAL X LITHIUM AND BATTERY ETF	B	19,875.00	100.00	0.00	100.00 60.0 60.00
10/19/2017	QGW038168	PACA TRUST, BARBARA		QUDIAN INC ADR ISIN# US7477981069	S	-15,875.00	100.00	0.00	100.00 60.0 60.00
10/19/2017	QGW038168	PACA TRUST, BARBARA		QUDIAN INC ADR ISIN# US7477981069	B	14,634.95	100.00	0.00	100.00 60.0 60.00
10/20/2017	QGW038168	PACA TRUST, BARBARA		SEA LTD ADR ISIN#US81141R1005	S	-80,490.00	100.00	0.00	100.00 60.0 60.00
10/20/2017	QGW038168	PACA TRUST, BARBARA		SEA LTD ADR ISIN#US81141R1005	B	79,227.00	100.00	0.00	100.00 60.0 60.00
10/24/2017	QGW038168	PACA TRUST, BARBARA		QUDIAN INC ADR ISIN# US7477981069	S	-28,590.30	100.00	0.00	100.00 60.0 60.00
10/24/2017	QGW038168	PACA TRUST, BARBARA		QUDIAN INC ADR ISIN# US7477981069	B	27,231.40	100.00	0.00	100.00 60.0 60.00
10/27/2017	QGW038168	PACA TRUST, BARBARA		WORLD WRESTLING ENTERTAINMENT INC C	S	-51,000.00	100.00	0.00	100.00 60.0 60.00
10/24/2017	QGW038184	LOGAN, PHILIP		GNC HLDGS INC COM CL A	B	80,000.00	100.00	0.00	100.00 60.0 60.00
10/24/2017	QGW038184	LOGAN, PHILIP		GNC HLDGS INC COM CL A	S	-81,110.00	100.00	0.00	100.00 60.0 60.00
10/06/2017	QGW038358	SMITH, GARDNER		SYNERGY PHARMACEUTICALS INC	B	1,728.50	80.00	0.00	80.00 60.0 48.00
10/06/2017	QGW038358	SMITH, GARDNER		SYNERGY PHARMACEUTICALS INC	B	-1,728.50	-80.00	0.00	-80.00 60.0 -48.00 C
10/06/2017	QGW038358	SMITH, GARDNER		SYNERGY PHARMACEUTICALS INC	B	1,037.10	60.00	0.00	60.00 60.0 36.00
10/09/2017	QGW038358	SMITH, GARDNER		ERICSSON ADR CL B SEK 10	S	-294.75	50.00	0.00	50.00 60.0 30.00
10/06/2017	QGW038424	LEE, DAVID		SYNERGY PHARMACEUTICALS INC	B	10,371.00	200.00	0.00	200.00 60.0 120.00
				* STOCKS SUBTOTAL *		\$864,933.66	\$28,560.00	\$0.00	\$28,560.00 \$17,136.00

PRODUCT CATEGORY: OPTIONS

10/04/2017	QGW037236	JACOBSON, HARVEY		PUT 100 NEW YORK CMNTY BANCORP INC	B	1,110.00	70.00	0.00	70.00 60.0 42.00
10/04/2017	QGW037236	JACOBSON, HARVEY		PUT 100 NEW YORK CMNTY BANCORP INC	S	-870.00	70.00	0.00	70.00 60.0 42.00
10/04/2017	QGW037236	JACOBSON, HARVEY		PUT 100 NEW YORK CMNTY BANCORP INC	B	-1,110.00	-70.00	0.00	-70.00 60.0 -42.00 C
10/04/2017	QGW037236	JACOBSON, HARVEY		PUT 100 NEW YORK CMNTY BANCORP INC	S	870.00	-70.00	0.00	-70.00 60.0 -42.00 C
10/23/2017	QGW037236	JACOBSON, HARVEY		CALL 100 BLACKSTONE GROUP L P COM U B		1,275.00	70.00	0.00	70.00 60.0 42.00
10/23/2017	QGW037236	JACOBSON, HARVEY		PUT 100 BLACKSTONE GROUP L P COM UN S		-2,100.00	70.00	0.00	70.00 60.0 42.00
10/25/2017	QGW037236	JACOBSON, HARVEY		PUT 100 EDWARDS LIFESCIENCES CORP C B		2,166.00	70.00	0.00	70.00 60.0 42.00
10/25/2017	QGW037236	JACOBSON, HARVEY		PUT 100 EDWARDS LIFESCIENCES CORP C S		-2,640.00	70.00	0.00	70.00 60.0 42.00
10/30/2017	QGW037236	JACOBSON, HARVEY		CALL 100 CVS HEALTH CORP COM EXP 05 S		-1,024.00	70.00	0.00	70.00 60.0 42.00
10/30/2017	QGW037236	JACOBSON, HARVEY		PUT 100 CVS HEALTH CORP COM EXP 11- B		4,400.00	70.00	0.00	70.00 60.0 42.00

COMMISSION STATEMENT for JENNIFER HIEBER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/30/2017	QGW037236	JACOBSON, HARVEY	PUT 100 CVS HEALTH CORP COM EXP 05- S	-3,808.00	70.00	0.00	70.00	60.0	42.00
09/29/2017	QGW037301	JACOBSON, HARVEY	CALL 100 SCHLUMBERGER LTD COM ISIN# S	-764.00	70.00	0.00	70.00	60.0	42.00
09/29/2017	QGW037301	JACOBSON, HARVEY	PUT 100 SCHLUMBERGER LTD COM ISIN# B	2,500.00	70.00	0.00	70.00	60.0	42.00
09/29/2017	QGW037301	JACOBSON, HARVEY	PUT 100 SCHLUMBERGER LTD COM ISIN# S	-3,348.00	70.00	0.00	70.00	60.0	42.00
10/02/2017	QGW037301	JACOBSON, HARVEY	CALL 100 GENERAL MTRS CO COM EXP 03 B	2,160.00	70.00	0.00	70.00	60.0	42.00
10/02/2017	QGW037301	JACOBSON, HARVEY	PUT 100 GENERAL MTRS CO COM EXP 03- B	685.00	70.00	0.00	70.00	60.0	42.00
10/02/2017	QGW037301	JACOBSON, HARVEY	PUT 100 GENERAL MTRS CO COM EXP 03- S	-1,890.00	70.00	0.00	70.00	60.0	42.00
10/04/2017	QGW037301	JACOBSON, HARVEY	PUT 100 CISCO SYSTEMS INC EXP 10-20 B	1,062.95	70.00	0.00	70.00	60.0	42.00
10/04/2017	QGW037301	JACOBSON, HARVEY	PUT 100 CISCO SYSTEMS INC EXP 04-20 S	-1,554.00	70.00	0.00	70.00	60.0	42.00
10/05/2017	QGW037301	JACOBSON, HARVEY	PUT 100 CITIGROUP INC COM NEW ISIN# B	228.00	70.00	0.00	70.00	60.0	42.00
10/10/2017	QGW037301	JACOBSON, HARVEY	CALL 100 AT&T INC COM EXP 04-20-18@ S	-405.00	70.00	0.00	70.00	60.0	42.00
10/10/2017	QGW037301	JACOBSON, HARVEY	CALL 100 CYPRESS SEMICONDUCTOR CORP B	2,590.00	70.00	0.00	70.00	60.0	42.00
10/10/2017	QGW037301	JACOBSON, HARVEY	PUT 100 AT&T INC COM EXP 10-20-17@4 B	2,285.00	70.00	0.00	70.00	60.0	42.00
10/10/2017	QGW037301	JACOBSON, HARVEY	PUT 100 AT&T INC COM EXP 04-20-18@4 S	-1,920.00	70.00	0.00	70.00	60.0	42.00
10/10/2017	QGW037301	JACOBSON, HARVEY	PUT 100 CYPRESS SEMICONDUCTOR CORP S	-2,100.00	70.00	0.00	70.00	60.0	42.00
10/16/2017	QGW037301	JACOBSON, HARVEY	CALL 100 QUALCOMM INC EXP 04-20-18@ S	-932.00	70.00	0.00	70.00	60.0	42.00
10/16/2017	QGW037301	JACOBSON, HARVEY	PUT 100 QUALCOMM INC EXP 04-20-18@5 S	-2,128.00	70.00	0.00	70.00	60.0	42.00
10/17/2017	QGW037301	JACOBSON, HARVEY	PUT 100 GENERAL ELECTRIC CO COM EXP S	-2,550.00	70.00	0.00	70.00	60.0	42.00
10/17/2017	QGW037301	JACOBSON, HARVEY	PUT 100 INTEL CORP COM EXP 01-19-18 B	210.00	70.00	0.00	70.00	60.0	42.00
10/17/2017	QGW037301	JACOBSON, HARVEY	PUT 100 INTEL CORP COM EXP 04-20-18 S	-1,597.98	70.00	0.00	70.00	60.0	42.00
10/18/2017	QGW037301	JACOBSON, HARVEY	PUT 100 NOBLE CORP PLC SHS USD ISIN S	-708.00	35.00	0.00	35.00	60.0	0.00
10/19/2017	QGW037301	JACOBSON, HARVEY	PUT 100 NOBLE CORP PLC SHS USD ISIN S	-720.00	70.00	0.00	70.00	60.0	42.00
10/19/2017	QGW037301	JACOBSON, HARVEY	PUT 100 PAYPAL HLDGS INC COM EXP 01 S	-1,925.00	70.00	0.00	70.00	60.0	42.00
10/24/2017	QGW037301	JACOBSON, HARVEY	CALL 100 INTEL CORP COM EXP 04-20-1 S	-845.00	70.00	0.00	70.00	60.0	42.00
10/24/2017	QGW037301	JACOBSON, HARVEY	PUT 100 INTEL CORP COM EXP 04-20-18 S	-1,505.00	70.00	0.00	70.00	60.0	42.00
10/25/2017	QGW037301	JACOBSON, HARVEY	PUT 100 GILEAD SCIENCES INC EXP 11- B	3,333.99	70.00	0.00	70.00	60.0	42.00
10/25/2017	QGW037301	JACOBSON, HARVEY	PUT 100 GILEAD SCIENCES INC EXP 05- S	-2,987.01	70.00	0.00	70.00	60.0	42.00
10/25/2017	QGW037301	JACOBSON, HARVEY	PUT 100 INTEL CORP COM EXP 02-16-18 S	-1,656.00	70.00	0.00	70.00	60.0	42.00
10/25/2017	QGW037301	JACOBSON, HARVEY	PUT 100 INTEL CORP COM EXP 04-20-18 B	1,260.00	70.00	0.00	70.00	60.0	42.00
10/25/2017	QGW037301	JACOBSON, HARVEY	PUT 100 SCHLUMBERGER LTD COM ISIN# B	3,860.00	70.00	0.00	70.00	60.0	42.00
10/25/2017	QGW037301	JACOBSON, HARVEY	PUT 100 SCHLUMBERGER LTD COM ISIN# S	-2,660.00	70.00	0.00	70.00	60.0	42.00
10/26/2017	QGW037301	JACOBSON, HARVEY	PUT 100 PULTEGROUP INC COM EXP 01-1 B	78.00	70.00	0.00	70.00	60.0	42.00
10/26/2017	QGW037301	JACOBSON, HARVEY	PUT 100 PULTEGROUP INC COM EXP 04-2 S	-1,512.00	70.00	0.00	70.00	60.0	42.00
10/26/2017	QGW037301	JACOBSON, HARVEY	PUT 100 TEVA PHARMACEUTICAL INDUSTR B	10,575.00	70.00	0.00	70.00	60.0	42.00
10/26/2017	QGW037301	JACOBSON, HARVEY	PUT 100 TEVA PHARMACEUTICAL INDUSTR S	-1,620.00	70.00	0.00	70.00	60.0	42.00
10/27/2017	QGW037301	JACOBSON, HARVEY	CALL 100 INTEL CORP COM EXP 04-20-1 B	1,830.00	70.00	0.00	70.00	60.0	42.00
10/27/2017	QGW037301	JACOBSON, HARVEY	PUT 100 INTEL CORP COM EXP 01-19-18 B	504.00	70.00	0.00	70.00	60.0	42.00
10/27/2017	QGW037301	JACOBSON, HARVEY	PUT 100 INTEL CORP COM EXP 02-16-18 S	-1,626.00	70.00	0.00	70.00	60.0	42.00
10/27/2017	QGW037301	JACOBSON, HARVEY	PUT 100 INTEL CORP COM EXP 01-19-18 B	-504.00	-70.00	0.00	-70.00	60.0	-42.00 C
10/30/2017	QGW037301	JACOBSON, HARVEY	PUT 100 ABBOTT LABS COM EXP 05-18-1 S	-2,360.00	70.00	0.00	70.00	60.0	42.00

COMMISSION STATEMENT for JENNIFER HIEBER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/30/2017	QGW037301	JACOBSON, HARVEY	PUT 100 AES CORP COM EXP 11-17-17@1 B	1,160.00	70.00	0.00	70.00	60.0	42.00
10/30/2017	QGW037301	JACOBSON, HARVEY	PUT 100 INTEL CORP COM EXP 02-16-18 B	642.00	70.00	0.00	70.00	60.0	42.00
10/04/2017	QGW037343	JT TRUST, HARVEY	PUT 100 NEW YORK CMNTY BANCORP INC B	1,110.00	70.00	0.00	70.00	60.0	42.00
10/04/2017	QGW037343	JT TRUST, HARVEY	PUT 100 NEW YORK CMNTY BANCORP INC S	-870.00	70.00	0.00	70.00	60.0	42.00
10/13/2017	QGW037343	JT TRUST, HARVEY	PUT 100 VERIZON COMMUNICATIONS INC B	635.00	70.00	0.00	70.00	60.0	42.00
10/13/2017	QGW037343	JT TRUST, HARVEY	PUT 100 VERIZON COMMUNICATIONS INC S	-1,938.00	70.00	0.00	70.00	60.0	42.00
09/29/2017	QGW037418	PARTNERS, JACOBSON	PUT 100 ARCONIC INC COM EXP 04-20-1 S	-1,872.00	70.00	0.00	70.00	60.0	42.00
10/04/2017	QGW037418	PARTNERS, JACOBSON	PUT 100 CISCO SYSTEMS INC EXP 10-20 B	911.10	70.00	0.00	70.00	60.0	42.00
10/04/2017	QGW037418	PARTNERS, JACOBSON	PUT 100 CISCO SYSTEMS INC EXP 04-20 S	-1,332.00	70.00	0.00	70.00	60.0	42.00
10/06/2017	QGW037418	PARTNERS, JACOBSON	CALL 100 TARGET CORP COM EXP 04-20- S	-936.00	70.00	0.00	70.00	60.0	42.00
10/06/2017	QGW037418	PARTNERS, JACOBSON	PUT 100 GENERAL MILLS INC COM EXP 1 B	3,360.00	70.00	0.00	70.00	60.0	42.00
10/06/2017	QGW037418	PARTNERS, JACOBSON	PUT 100 GENERAL MILLS INC COM EXP 0 S	-2,020.00	70.00	0.00	70.00	60.0	42.00
10/06/2017	QGW037418	PARTNERS, JACOBSON	PUT 100 TARGET CORP COM EXP 10-20-1 B	1,272.00	70.00	0.00	70.00	60.0	42.00
10/06/2017	QGW037418	PARTNERS, JACOBSON	PUT 100 TARGET CORP COM EXP 04-20-1 S	-2,464.00	70.00	0.00	70.00	60.0	42.00
10/12/2017	QGW037418	PARTNERS, JACOBSON	CALL 100 AT&T INC COM EXP 04-20-18@ S	-640.00	70.00	0.00	70.00	60.0	42.00
10/12/2017	QGW037418	PARTNERS, JACOBSON	PUT 100 AT&T INC COM EXP 10-20-17@4 B	1,675.00	70.00	0.00	70.00	60.0	42.00
10/12/2017	QGW037418	PARTNERS, JACOBSON	PUT 100 AT&T INC COM EXP 04-20-18@3 S	-1,485.00	70.00	0.00	70.00	60.0	42.00
10/13/2017	QGW037418	PARTNERS, JACOBSON	CALL 100 TARGET CORP COM EXP 01-19- S	-832.00	70.00	0.00	70.00	60.0	42.00
10/13/2017	QGW037418	PARTNERS, JACOBSON	CALL 100 TARGET CORP COM EXP 10-20- B	516.00	70.00	0.00	70.00	60.0	42.00
10/13/2017	QGW037418	PARTNERS, JACOBSON	PUT 100 TARGET CORP COM EXP 01-19-1 B	436.00	70.00	0.00	70.00	60.0	42.00
10/13/2017	QGW037418	PARTNERS, JACOBSON	PUT 100 TARGET CORP COM EXP 01-19-1 S	-1,616.00	70.00	0.00	70.00	60.0	42.00
10/20/2017	QGW037418	PARTNERS, JACOBSON	CALL 100 BANK AMER CORP COM EXP 02- B	1,608.00	70.00	0.00	70.00	60.0	42.00
10/20/2017	QGW037418	PARTNERS, JACOBSON	CALL 100 BANK AMER CORP COM EXP 05- S	-648.00	70.00	0.00	70.00	60.0	42.00
10/20/2017	QGW037418	PARTNERS, JACOBSON	PUT 100 BANK AMER CORP COM EXP 05-1 S	-1,788.00	70.00	0.00	70.00	60.0	42.00
10/23/2017	QGW037723	PICKET, GEOFFREY	SANOFI CONTINGENT VALUE RT RTS EXP S	-1,860.50	100.00	0.00	100.00	60.0	60.00
* OPTIONS SUBTOTAL *				\$-15,362.45	\$4,825.00	\$0.00	\$4,825.00		\$2,874.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/16/2017	QGW037715	JARET, FREDERICA	DAVIS NEW YORK VENTURE CLASS C B	0.00	1.86	0.00	1.86	60.0	1.12
10/19/2017	QGW037715	JARET, FREDERICA	GROWTH FUND OF AMERICA CLASS F B	0.00	0.77	0.00	0.77	60.0	0.46
10/18/2017	QGW037764	JARET, FREDERICA	GOLDMAN SACHS EMERGING MARKETS DEBT B	0.00	0.20	0.00	0.20	60.0	0.12
10/27/2017	QGW037764	JARET, FREDERICA	BLACKROCK EQUITY DIVIDEND FUND CL A B	0.00	0.59	0.00	0.59	60.0	0.35
10/13/2017	QGW037806	PICKET, GEOFFREY	AL FRANK FUND INVESTOR CLASS B	0.00	19.99	0.00	19.99	60.0	11.99
10/19/2017	QGW038218	LOGAN, PHILIP	THE INVESTMENT COMPANY OF AMERICA-A B	0.00	3.76	0.00	3.76	60.0	2.26
10/20/2017	QGW038218	LOGAN, PHILIP	ALLIANZ OCC GROWTH FUND CLASS A B	0.00	12.60	0.00	12.60	60.0	7.56
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$39.77	\$0.00	\$39.77		\$23.86
*** BROKER TRANSACTIONS TOTAL ***				\$849,571.21	\$33,424.77	\$0.00	\$33,424.77		\$20,033.86

COMMISSION STATEMENT for JENNIFER HIEBER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

10/31/2017		OTHER: OTHER-THOMAS ROSS		0.00	0.00	0.00	0.00		-2,500.00
10/31/2017		OTHER: OTHER-PARKING		0.00	0.00	0.00	0.00		-578.10
10/31/2017		OTHER: OTHER--QGW038309		0.00	0.00	0.00	0.00		150.00
10/31/2017		OTHER: OTHER--ADJUSTMENTS TO PRIOR		0.00	0.00	0.00	0.00		195.69
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-2,732.41

BROKER COMMISSION TOTALS

Broker Transactions	\$20,033.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,732.41
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-41.78
SIPC Assessment	\$-83.56

Check Amount	\$17,176.11
Year-to-date Earnings	\$44,195.92