

All Companies
STATEMENT
May 20, 2018

LPFS HOUSE

BROKER #: ZZQ
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
01/01/0018	#2000344105	SANCHEZ, REBECCA A - DISCOVERY PORT	0.00	0.20	100.0	0.00	0.20	0.00	0.20
01/01/0018	#2000256393	MILKS, SEAN AGES 12-14 YEARS A	0.00	1.97	100.0	0.00	1.97	0.00	1.97
01/01/0018	#2000256391	MILKS, SEAN AGES 15-17 YEARS A	0.00	7.40	100.0	0.00	7.40	0.00	7.40
01/01/0018	#2000270551	SANCHEZ, REBECCA AGES 18 AND OVER A	0.00	0.09	100.0	0.00	0.09	0.00	0.09
01/01/0018	#2000256393	MILKS, SEAN CAPITAL PERSEVATION A	0.00	0.51	100.0	0.00	0.51	0.00	0.51
01/01/0018	#2000256391	MILKS, SEAN MODERATELY AGGRESSIVE A	0.00	2.04	100.0	0.00	2.04	0.00	2.04
01/01/0018	#2000256393	MILKS, SEAN MODERATELY AGGRESSIVE A	0.00	2.21	100.0	0.00	2.21	0.00	2.21
01/01/0018	#2000266181	KOESTER MODERATELY AGGRESSIVE A	0.00	1.52	100.0	0.00	1.52	0.00	1.52
01/01/0018	#2000266182	KOESTER MODERATELY AGGRESSIVE A	0.00	1.52	100.0	0.00	1.52	0.00	1.52
02/08/0018	#2000344105	SANCHEZ, REBECCA A - DISCOVERY PORT	0.00	0.18	100.0	0.00	0.18	0.00	0.18
02/08/0018	#2000256393	MILKS, SEAN AGES 12-14 YEARS A	0.00	1.76	100.0	0.00	1.76	0.00	1.76
02/08/0018	#2000256391	MILKS, SEAN AGES 15-17 YEARS A	0.00	5.20	100.0	0.00	5.20	0.00	5.20
02/08/0018	#2000270551	SANCHEZ, REBECCA AGES 18 AND OVER A	0.00	0.08	100.0	0.00	0.08	0.00	0.08
02/08/0018	#2000256393	MILKS, SEAN CAPITAL PERSEVATION A	0.00	0.47	100.0	0.00	0.47	0.00	0.47
02/08/0018	#2000266181	KOESTER MODERATELY AGGRESSIVE A	0.00	1.35	100.0	0.00	1.35	0.00	1.35
02/08/0018	#2000266182	KOESTER MODERATELY AGGRESSIVE A	0.00	1.35	100.0	0.00	1.35	0.00	1.35
02/08/0018	#2000256391	MILKS, SEAN MODERATELY AGGRESSIVE A	0.00	1.81	100.0	0.00	1.81	0.00	1.81
02/08/0018	#2000256393	MILKS, SEAN MODERATELY AGGRESSIVE A	0.00	1.95	100.0	0.00	1.95	0.00	1.95
02/08/0018	#2000256391	MILKS, SEAN SCHOLARS EDGE 64710R404	0.00	1.42	100.0	0.00	1.42	0.00	1.42
03/01/0018	#2000344105	SANCHEZ, REBECCA A - DISCOVERY PORT	0.00	0.22	100.0	0.00	0.22	0.00	0.22
03/01/0018	#3084670	ACCESS FLEX HIGH YIELD-SVC	0.00	4.60	100.0	0.00	4.60	0.00	4.60
03/01/0018	#3264064	ACCESS FLEX HIGH YIELD-SVC	0.00	0.89	100.0	0.00	0.89	0.00	0.89
03/01/0018	#3301583	ACCESS FLEX HIGH YIELD-SVC	0.00	2.46	100.0	0.00	2.46	0.00	2.46
03/01/0018	#3301850	ACCESS FLEX HIGH YIELD-SVC	0.00	2.68	100.0	0.00	2.68	0.00	2.68
03/01/0018	#3301874	ACCESS FLEX HIGH YIELD-SVC	0.00	35.77	100.0	0.00	35.77	0.00	35.77
03/01/0018	#3301898	ACCESS FLEX HIGH YIELD-SVC	0.00	19.70	100.0	0.00	19.70	0.00	19.70
03/01/0018	#3084670	ACCESS FLEX HIGH YIELD-SVC	0.00	1.53	100.0	0.00	1.53	0.00	1.53
03/01/0018	#3264064	ACCESS FLEX HIGH YIELD-SVC	0.00	0.30	100.0	0.00	0.30	0.00	0.30

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/01/0018	#3301583	ACCESS FLEX HIGH YIELD-SVC	0.00	0.82	100.0	0.00	0.82	0.00	0.82
03/01/0018	#3301850	ACCESS FLEX HIGH YIELD-SVC	0.00	0.89	100.0	0.00	0.89	0.00	0.89
03/01/0018	#3301874	ACCESS FLEX HIGH YIELD-SVC	0.00	11.92	100.0	0.00	11.92	0.00	11.92
03/01/0018	#3301898	ACCESS FLEX HIGH YIELD-SVC	0.00	6.57	100.0	0.00	6.57	0.00	6.57
03/01/0018	#2000256393 MILKS, SEAN	AGES 12-14 YEARS A	0.00	1.96	100.0	0.00	1.96	0.00	1.96
03/01/0018	#2000270551 SANCHEZ, REBECCA	AGES 18 AND OVER A	0.00	0.10	100.0	0.00	0.10	0.00	0.10
03/01/0018	#2000256393 MILKS, SEAN	CAPITAL PERSEVATION A	0.00	0.52	100.0	0.00	0.52	0.00	0.52
03/01/0018	#6000067683	DREYFUS 2620V102	0.00	4.25	100.0	0.00	4.25	0.00	4.25
03/01/0018	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.56	100.0	0.00	1.56	0.00	1.56
03/01/0018	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.14	100.0	0.00	1.14	0.00	1.14
03/01/0018	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	0.80	100.0	0.00	0.80	0.00	0.80
03/01/0018	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.11	100.0	0.00	1.11	0.00	1.11
03/01/0018	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	6.72	100.0	0.00	6.72	0.00	6.72
03/01/0018	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	4.91	100.0	0.00	4.91	0.00	4.91
03/01/0018	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	3.43	100.0	0.00	3.43	0.00	3.43
03/01/0018	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	4.80	100.0	0.00	4.80	0.00	4.80
03/01/0018	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.65	100.0	0.00	0.65	0.00	0.65
03/01/0018	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.47	100.0	0.00	0.47	0.00	0.47
03/01/0018	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.33	100.0	0.00	0.33	0.00	0.33
03/01/0018	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.46	100.0	0.00	0.46	0.00	0.46
03/01/0018	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.46	100.0	0.00	0.46	0.00	0.46
03/01/0018	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.33	100.0	0.00	0.33	0.00	0.33
03/01/0018	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.23	100.0	0.00	0.23	0.00	0.23
03/01/0018	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.32	100.0	0.00	0.32	0.00	0.32
03/01/0018	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.26	100.0	0.00	0.26	0.00	0.26
03/01/0018	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.19	100.0	0.00	0.19	0.00	0.19
03/01/0018	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.13	100.0	0.00	0.13	0.00	0.13
03/01/0018	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.19	100.0	0.00	0.19	0.00	0.19
03/01/0018	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	2.06	100.0	0.00	2.06	0.00	2.06
03/01/0018	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.50	100.0	0.00	1.50	0.00	1.50
03/01/0018	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.05	100.0	0.00	1.05	0.00	1.05
03/01/0018	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.47	100.0	0.00	1.47	0.00	1.47
03/01/0018	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	2.64	100.0	0.00	2.64	0.00	2.64
03/01/0018	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.93	100.0	0.00	1.93	0.00	1.93
03/01/0018	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.35	100.0	0.00	1.35	0.00	1.35
03/01/0018	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.88	100.0	0.00	1.88	0.00	1.88

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/01/0018	#2000256391 MILKS, SEAN	MODERATELY AGGRESSIVE A	0.00	2.01	100.0	0.00	2.01	0.00	2.01
03/01/0018	#2000256393 MILKS, SEAN	MODERATELY AGGRESSIVE A	0.00	2.17	100.0	0.00	2.17	0.00	2.17
03/01/0018	#2000266181 KOESTER	MODERATELY AGGRESSIVE A	0.00	1.50	100.0	0.00	1.50	0.00	1.50
03/01/0018	#2000266182 KOESTER	MODERATELY AGGRESSIVE A	0.00	1.50	100.0	0.00	1.50	0.00	1.50
03/01/0018	#1001876384 MYERS, STANLEY	PAC FUNDS POMA	500.00	23.75	100.0	0.00	23.75	0.00	23.75
03/01/0018	#2000256391 MILKS, SEAN	SCHOLARS EDGE 64710R404	0.00	7.33	100.0	0.00	7.33	0.00	7.33
03/09/0018	#1001755709 MILKS, SEAN	PACIFIC FUNDS PPOA	100.00	4.75	100.0	0.00	4.75	0.00	4.75
04/07/0018	#1001755709 MILKS, SEAN	PACIFIC FUNDS PPOA	100.00	4.75	100.0	0.00	4.75	0.00	4.75
04/10/0201	#2000344105 SANCHEZ, REBECCA	A - DISCOVERY PORT	25.00	0.75	100.0	0.00	0.75	0.00	0.75
04/20/0201	#77720991001 SMITH, ELVIN	NYADVI JPM 529 CONSV AGE-BA A	125.00	5.06	100.0	0.00	5.06	0.00	5.06
03/20/2018	#05502345849 VARIOUS HOUSE	ACTIVE ALLOCATION CLASS A	2,210.00	66.30	100.0	0.00	66.30	0.00	66.30
03/20/2018	#05502345849 VARIOUS HOUSE	ACTIVE ALLOCATION CLASS A	2,210.00	66.30	100.0	0.00	66.30	0.00	66.30
03/20/2018	#05470420772 ALONGE, A	OPPEN FUNDS	18.75	0.19	100.0	0.00	0.19	0.00	0.19
03/20/2018	#05470420772 ALONGE, A	OPPEN FUNDS	18.75	0.19	100.0	0.00	0.19	0.00	0.19
03/20/2018	#05420223784 MARIAN, S	OPPENHEIMER FUNDS	37.50	0.38	100.0	0.00	0.38	0.00	0.38
03/20/2018	#05420223784 MARIAN, S	OPPENHEIMER FUNDS	37.50	0.38	100.0	0.00	0.38	0.00	0.38
03/20/2018	#02306443374 999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	2,145.00	58.99	100.0	0.00	58.99	0.00	58.99
03/20/2018	#02306443374 999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	2,145.00	58.99	100.0	0.00	58.99	0.00	58.99
03/20/2018	#01952749945 999HOUSE	OPPENHEIMER INTL DIVERISIFIED FD CL	2,145.00	64.35	100.0	0.00	64.35	0.00	64.35
03/20/2018	#01952749945 999HOUSE	OPPENHEIMER INTL DIVERISIFIED FD CL	2,145.00	64.35	100.0	0.00	64.35	0.00	64.35
03/20/2018	#05520920700 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	350.00	3.50	100.0	0.00	3.50	0.00	3.50
03/20/2018	#05520921393 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	225.00	2.25	100.0	0.00	2.25	0.00	2.25
03/20/2018	#05520944680 MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	112.50	1.13	100.0	0.00	1.13	0.00	1.13
03/20/2018	#05520920700 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	350.00	3.50	100.0	0.00	3.50	0.00	3.50
03/20/2018	#05520921393 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	225.00	2.25	100.0	0.00	2.25	0.00	2.25
03/20/2018	#05520944680 MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	112.50	1.13	100.0	0.00	1.13	0.00	1.13
03/20/2018	#05451039973	PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	0.00	6.65
03/20/2018	#05451039973	PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	0.00	6.65
03/20/2018	#02870523776 VARIOUS HOUSE	TOTAL RETURN BOND FUND C	75.00	0.75	100.0	0.00	0.75	0.00	0.75
03/20/2018	#02870524579 ALONGE, A	TOTAL RETURN BOND FUND C	6.25	0.06	100.0	0.00	0.06	0.00	0.06
03/20/2018	#02870523776 VARIOUS HOUSE	TOTAL RETURN BOND FUND C	75.00	0.75	100.0	0.00	0.75	0.00	0.75
03/20/2018	#02870524579 ALONGE, A	TOTAL RETURN BOND FUND C	6.25	0.06	100.0	0.00	0.06	0.00	0.06
03/23/2018	#08270873631 BELCASTRO,	INTERNATIONAL GROWTH FUND C	49.48	0.49	100.0	0.00	0.49	0.00	0.49
03/23/2018	#08270873631 BELCASTRO,	INTERNATIONAL GROWTH FUND C	49.48	0.49	100.0	0.00	0.49	0.00	0.49
03/23/2018	#07024319018 BELCASTRO,	MAIN STREET FUND C	49.49	0.49	100.0	0.00	0.49	0.00	0.49
03/23/2018	#07024319018 BELCASTRO,	MAIN STREET FUND C	49.49	0.49	100.0	0.00	0.49	0.00	0.49

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/23/2018	#02381168002	BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	49.49	0.49	100.0	0.00	0.49	0.49
03/23/2018	#02381168002	BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	49.49	0.49	100.0	0.00	0.49	0.49
03/23/2018	#07230242748	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	49.49	0.49	100.0	0.00	0.49	0.49
03/23/2018	#07230242748	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	49.49	0.49	100.0	0.00	0.49	0.49
03/26/2018	#05020480458	KORETZ, S	DISCOVERY FUND C	12.50	0.13	100.0	0.00	0.13	0.13
03/26/2018	#05020480458	KORETZ, S	DISCOVERY FUND C	12.50	0.13	100.0	0.00	0.13	0.13
03/26/2018	#07024242711	KORETZ, S	MAIN STREET FUND C	12.50	0.13	100.0	0.00	0.13	0.13
03/26/2018	#07024265492	LUVERA, V	MAIN STREET FUND C	62.50	0.63	100.0	0.00	0.63	0.63
03/26/2018	#07024242711	KORETZ, S	MAIN STREET FUND C	12.50	0.13	100.0	0.00	0.13	0.13
03/26/2018	#07024265492	LUVERA, V	MAIN STREET FUND C	62.50	0.63	100.0	0.00	0.63	0.63
03/26/2018	#05470420794	MASTER, S	OPPEN FUNDS	75.00	0.75	100.0	0.00	0.75	0.75
03/26/2018	#05470420794	MASTER, S	OPPEN FUNDS	75.00	0.75	100.0	0.00	0.75	0.75
03/26/2018	#05520923714	KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	25.00	0.25	100.0	0.00	0.25	0.25
03/26/2018	#05520950642	LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	125.00	1.25	100.0	0.00	1.25	1.25
03/26/2018	#05520923714	KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	25.00	0.25	100.0	0.00	0.25	0.25
03/26/2018	#05520950642	LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	125.00	1.25	100.0	0.00	1.25	1.25
03/26/2018	#05920334191	LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	62.50	0.63	100.0	0.00	0.63	0.63
03/26/2018	#05920334191	LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	62.50	0.63	100.0	0.00	0.63	0.63
03/26/2018	#02870524601	MASTER, S	TOTAL RETURN BOND FUND C	25.00	0.25	100.0	0.00	0.25	0.25
03/26/2018	#02870524601	MASTER, S	TOTAL RETURN BOND FUND C	25.00	0.25	100.0	0.00	0.25	0.25
03/29/2018	#02570974985	DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	2.38
03/29/2018	#02570974985	DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	2.38
03/29/2018	#03202920181	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	2.38
03/29/2018	#03202920181	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	2.38
04/02/2018	#00085191928	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
04/02/2018	#00032425931	STILLSARA	IVY PACIFIC OPPORTUNITIES A	100.00	5.00	100.0	0.00	5.00	5.00
04/02/2018	#08185496308	HOLLE, RAYMOND	MFS GLOBAL TOTAL RETURN FUND-A	181.00	5.43	100.0	0.00	5.43	5.43
04/02/2018	#08185496304	HOLLE, RAYMOND	MFS GROWTH FUND CL A	181.00	5.43	100.0	0.00	5.43	5.43
04/02/2018	#08185496308	HOLLE, RAYMOND	MFS TOTAL RETURN FUND-A	181.00	5.43	100.0	0.00	5.43	5.43
04/02/2018	#00060225535	STILEKRISL	MFS UTILITIES FUND-CL A	458.33	13.75	100.0	0.00	13.75	13.75
04/02/2018	#05520920700	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	350.00	3.50	100.0	0.00	3.50	3.50
04/02/2018	#05520921393	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	353.37	3.53	100.0	0.00	3.53	3.53
04/02/2018	#05520921393	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	225.00	2.25	100.0	0.00	2.25	2.25
04/02/2018	#1001454909	JENK	PAC FUNDS PLSR	0.00	11.91	100.0	0.00	11.91	11.91
04/02/2018	#1001454918	KOESTER	PAC FUNDS POMA	0.00	1.94	100.0	0.00	1.94	1.94
04/02/2018	#1001454909	JENK	PAC FUNDS POMA	0.00	40.89	100.0	0.00	40.89	40.89

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/02/2018	#1001876384 MYERS, STANLEY	PAC FUNDS POMA	0.00	22.32	100.0	0.00	22.32	0.00	22.32
04/02/2018	#2000188592 SWEARENGIN, RUTH	PAC FUNDS PPOC	0.00	6.66	100.0	0.00	6.66	0.00	6.66
04/02/2018	#1001444270 BOYD, DENAL	PAC FUNDS PPOC	0.00	11.81	100.0	0.00	11.81	0.00	11.81
04/02/2018	#1001382434 CLANCY, DENNIS	PACIFIC FUNDS POMC	0.00	26.74	100.0	0.00	26.74	0.00	26.74
04/02/2018	#1001755709 MILKS, SEAN	PACIFIC FUNDS PPOA	0.00	2.85	100.0	0.00	2.85	0.00	2.85
04/02/2018	#1001395938 CLANCY, BETH	PACIFIC FUNDS PPOA	0.00	5.29	100.0	0.00	5.29	0.00	5.29
04/02/2018	#1001881298 HAAR-KRISM	PACIFIC FUNDS PPOA	0.00	6.70	100.0	0.00	6.70	0.00	6.70
04/02/2018	#1001881305 HAAR-KRISM	PACIFIC FUNDS PPOA	0.00	6.71	100.0	0.00	6.71	0.00	6.71
04/02/2018	#2000222849 STEVEN, KNOX	PACIFIC FUNDS PPOA	0.00	1.56	100.0	0.00	1.56	0.00	1.56
04/02/2018	#07150233245 GROSSDALE	PORTFOLIO 2025-2028 A	150.00	6.38	100.0	0.00	6.38	0.00	6.38
04/02/2018	#07150233248 GROSSDALE	PORTFOLIO 2025-2028 A	150.00	6.38	100.0	0.00	6.38	0.00	6.38
04/02/2018	#05451039973	PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	0.00	6.65
04/02/2018	#02870523776 VARIOUS HOUSE	TOTAL RETURN BOND FUND C	75.00	0.75	100.0	0.00	0.75	0.00	0.75
04/03/2018	#5294788558	BLCKRCK	0.00	0.38	100.0	0.00	0.38	0.00	0.38
04/03/2018	#5294788533	BLCKRCK	0.00	0.37	100.0	0.00	0.37	0.00	0.37
04/03/2018	#5294788582	BLCKRCK	0.00	0.71	100.0	0.00	0.71	0.00	0.71
04/03/2018	#5294788541	BLCKRCK	0.00	0.20	100.0	0.00	0.20	0.00	0.20
04/04/2018	#074480010277 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	2.85	100.0	0.00	2.85	0.00	2.85
04/04/2018	#77720991001 SMITH, ELVIN	NYADVI JPM 529 CONSV AGE-BA A	0.00	1.22	100.0	0.00	1.22	0.00	1.22
04/04/2018	#66826639101 CERVANTES	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.02	100.0	0.00	0.02	0.00	0.02
04/06/2018	# BOHANAN, LINDA	DODGE & COX INCOME FUND	23.00	0.00	100.0	0.00	0.00	0.00	0.00
04/06/2018	#03211283646 LAMAR, K	OPPENHEIMER CAPITAL APPRECIATION FU	64.03	3.04	100.0	0.00	3.04	0.00	3.04
04/06/2018	#03211283646 LAMAR, K	OPPENHEIMER CAPITAL APPRECIATION FU	64.03	3.04	100.0	0.00	3.04	0.00	3.04
04/06/2018	#03307227277 LAMAR, K	OPPENHEIMER GLOBAL FUND CL A	21.35	1.01	100.0	0.00	1.01	0.00	1.01
04/06/2018	#03307227277 LAMAR, K	OPPENHEIMER GLOBAL FUND CL A	21.35	1.01	100.0	0.00	1.01	0.00	1.01
04/09/2018	#00700332646 BAPTISTE, MARIE	FIDELITY ADV TECHNOLOGY-CL T	100.00	3.00	100.0	0.00	3.00	0.00	3.00
04/09/2018	#00036257440 REED, JOHN	IVY FDS INC SCIENCE & TECH FD CL A	1,087.04	13.04	100.0	0.00	13.04	0.00	13.04
04/09/2018	#00036257436 VARIOUS HOUSE	IVY PACIFIC OPPORTUNITIES A	306.32	3.68	100.0	0.00	3.68	0.00	3.68
04/09/2018	#07024265492 LUVERA, V	MAIN STREET FUND C	62.50	0.63	100.0	0.00	0.63	0.00	0.63
04/09/2018	#05470420794 MASTER, S	OPPEN FUNDS	75.00	0.75	100.0	0.00	0.75	0.00	0.75
04/09/2018	#05520950642 LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	125.00	1.25	100.0	0.00	1.25	0.00	1.25
04/09/2018	#05920334191 LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	62.50	0.63	100.0	0.00	0.63	0.00	0.63
04/09/2018	#1001382434 CLANCY, DENNIS	PACIFIC FUNDS POMC	50.00	2.38	100.0	0.00	2.38	0.00	2.38
04/09/2018	#02870524601 MASTER, S	TOTAL RETURN BOND FUND C	25.00	0.25	100.0	0.00	0.25	0.00	0.25
04/10/2018	#00689848828	FIDELITY ADV EQUITY VALUE-CL A	250.00	6.88	100.0	0.00	6.88	0.00	6.88
04/10/2018	#00036257440 REED, JOHN	IVY FDS INC SCIENCE & TECH FD CL A	72.00	0.86	100.0	0.00	0.86	0.00	0.86

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/12/2018	#00068402893	KURZ, KERRY	CAPITAL INCOME BUILDER FD CL A	4,000.00	110.00	100.0	0.00	110.00	110.00
04/12/2018	#08270310475	BELCASTRO,	INTERNATIONAL GROWTH FUND C	100.00	1.00	100.0	0.00	1.00	1.00
04/12/2018	#07023037287	BELCASTRO,	MAIN STREET FUND C	100.00	1.00	100.0	0.00	1.00	1.00
04/12/2018	#02380716551	BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	100.00	1.00	100.0	0.00	1.00	1.00
04/12/2018	#07230016643	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	100.00	1.00	100.0	0.00	1.00	1.00
04/16/2018	#04000010563	GARRISONJU	AM FUNDS	200.00	10.00	100.0	0.00	10.00	10.00
04/16/2018	#00082239930	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
04/16/2018	#00082239930	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
04/16/2018	#00085191928	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
04/16/2018	#00075427348	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
04/16/2018	#00075427348	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
04/16/2018	#00089764435	CHALLASURE	AMCAP FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
04/16/2018	#00089764458	CHALLASURE	AMCAP FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
04/16/2018	#04000010563	GARRISONJU	AMCAP FUND-529A	200.00	10.00	100.0	0.00	10.00	10.00
04/16/2018	#00089764435	CHALLASURE	AMERICAN BALANCED FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
04/16/2018	#00089764458	CHALLASURE	AMERICAN BALANCED FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
04/16/2018	#04000010563	GARRISONJU	AMERICAN FUNDS 02629M58	200.00	7.00	100.0	0.00	7.00	7.00
04/16/2018	#00074892517	THURMAN, JEREMY	AMR\ERICAN FUNDS 02629M645	200.00	4.00	100.0	0.00	4.00	4.00
04/16/2018	#00689433449	ARMAND, JUDITH	FIDELITY ADV EQUITY GROWTH-CL T	100.00	3.00	100.0	0.00	3.00	3.00
04/16/2018	#00089764435	CHALLASURE	FUNDAMENTAL INVESTORS-529A	100.00	5.00	100.0	0.00	5.00	5.00
04/16/2018	#00089764458	CHALLASURE	FUNDAMENTAL INVESTORS-529A	100.00	5.00	100.0	0.00	5.00	5.00
04/16/2018	#04000010563	GARRISONJU	FUNDAMENTAL INVESTORS-529A	200.00	10.00	100.0	0.00	10.00	10.00
04/16/2018	#00035777858	CARIOLO,	IVY GLOBAL NATURAL RESOURCES FUND-C	25.00	1.25	100.0	0.00	1.25	1.25
04/16/2018	#00087188339	MCDOWAN, GEL	NEW ECONOMY FUND SBI CLASS A	50.00	2.50	100.0	0.00	2.50	2.50
04/16/2018	#00089764435	CHALLASURE	NEW PERSPECTIVE FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
04/16/2018	#00089764458	CHALLASURE	NEW PERSPECTIVE FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
04/16/2018	#00621110802		PIONEER 72369B109	3,299.00	98.97	100.0	0.00	98.97	98.97
04/16/2018	#00621110802		PIONEER STRATEGIC INCOME FD CL A	3,299.00	98.97	100.0	0.00	98.97	98.97
04/16/2018	#04000010563	GARRISONJU	THE GROWTH FUND OF AMERICA-529A	200.00	10.00	100.0	0.00	10.00	10.00
04/16/2018	#00089764435	CHALLASURE	THE INCOME FUND OF AMERICA-529A	100.00	5.00	100.0	0.00	5.00	5.00
04/16/2018	#00089764458	CHALLASURE	THE INCOME FUND OF AMERICA-529A	100.00	5.00	100.0	0.00	5.00	5.00
04/17/2018	#00064989411	CHAVEZTAMI	CAPITAL WORLD GROWTH & INCOME FUND	38.25	0.77	100.0	0.00	0.77	0.77
04/17/2018	#00064989411	CHAVEZTAMI	CAPITAL WORLD GROWTH & INCOME FUND	38.25	0.77	100.0	0.00	0.77	0.77
04/17/2018	#00064989411	CHAVEZTAMI	GROWTH FUND AMERICA INC CLASS A	38.25	0.77	100.0	0.00	0.77	0.77
04/17/2018	#00064989411	CHAVEZTAMI	GROWTH FUND AMERICA INC CLASS A	38.25	0.77	100.0	0.00	0.77	0.77
04/17/2018	#00064989411	CHAVEZTAMI	WASHINGTON MUTUAL INVS FD CLASS A	38.25	0.77	100.0	0.00	0.77	0.77

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/17/2018	#00064989411	CHAVEZTAMI	WASHINGTON MUTUAL INVS FD CLASS A	38.25	0.77	100.0	0.00	0.77	0.00	0.77
04/19/2018	#00067575889	REYES, JUAN	AMERICAN BALANCED FUND INC CL C	75.00	0.75	100.0	0.00	0.75	0.00	0.75
04/19/2018	#00067575889	REYES, JUAN	AMERICAN BALANCED FUND INC CL C	28.46	0.28	100.0	0.00	0.28	0.00	0.28
04/20/2018	#00086791991	SANTOS, MARILU	GROWTH FUND AMER INC CL C	50.00	0.50	100.0	0.00	0.50	0.00	0.50
04/30/2018	#00032425931	STILLSARA	IVY PACIFIC OPPORTUNITIES A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
* MUTUAL FUNDS SUBTOTAL *			\$36,285.68	\$1,353.91		\$0.00	\$1,353.91	\$0.00	\$1,353.91	
PRODUCT CATEGORY: STOCKS										
04/17/2018	# NCIAL SVCS LLC, LIBERTY	KAYNE ANDERSON MLP INVT CO	10,543.48	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/17/2018	# NCIAL SVCS LLC, LIBERTY	KAYNE ANDERSON MLP INVT CO	9,049.82	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/24/2018	# NCIAL SVCS LLC, LIBERTY	KAYNE ANDERSON MLP INVT CO	1,505.56	0.00	100.0	0.00	0.00	0.00	0.00	0.00
* STOCKS SUBTOTAL *			\$21,098.86	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
PRODUCT CATEGORY: BONDS										
04/18/2018	# NCIAL SVCS LLC, LIBERTY	DELL INC NT CPN 6.5%	23,550.00	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/18/2018	# NCIAL SVCS LLC, LIBERTY	DELL INC NT CPN 6.5%	23,790.00	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/18/2018	# NCIAL SVCS LLC, LIBERTY	MBIA INC DEB	23,765.00	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/18/2018	# NCIAL SVCS LLC, LIBERTY	MBIA INC DEB	23,205.00	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/24/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA4783M	184,494.00	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/24/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA4783M	189,106.35	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/24/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5022M	80,003.67	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/24/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5022M	-80,003.70	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/24/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5022M	79,518.79	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/24/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5022M	78,052.36	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/24/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5022M	-78,052.40	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/24/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5022M	77,579.31	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/27/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#S03814	26,187.52	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/27/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#S03814	26,842.21	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/27/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#S03814	-26,842.20	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/27/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#S03814	26,842.21	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/27/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#S03814	144,397.11	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/27/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#S03814	148,007.04	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/27/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#S03814	-148,007.00	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/27/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#S03814	148,007.04	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/27/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5022M	157,255.12	0.00	100.0	0.00	0.00	0.00	0.00	0.00
04/27/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA5022M	161,186.50	0.00	100.0	0.00	0.00	0.00	0.00	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
* BONDS SUBTOTAL *			\$1,288,883.93	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
02/03/0018	#15N6004375	TRANS PRE	0.00	38.09	100.0	0.00	38.09	0.00	38.09
03/01/0018	#012416879774 GEIL, LIND	ALLIANZ 8318	0.00	4.37	100.0	0.00	4.37	0.00	4.37
03/01/0018	#020486394844 GEIL, LIND	ALLIANZ 8318	0.00	1.54	100.0	0.00	1.54	0.00	1.54
03/01/0018	#712633917 ARCHER, FORREST	HARTFORD LIFE	0.00	72.37	100.0	0.00	72.37	0.00	72.37
03/01/0018	#366020516 MOFFITT, PATRICIA	HARTFORD LIFE	0.00	0.56	100.0	0.00	0.56	0.00	0.56
03/01/0018	#366029290 MOFFITT, PATRICIA	HARTFORD LIFE	0.00	5.25	100.0	0.00	5.25	0.00	5.25
03/01/0018	#510029960 LEBLANC, GERALD	HARTFORD LIFE	0.00	23.69	100.0	0.00	23.69	0.00	23.69
03/01/0018	#510029965 LEBLANC, GERALD	HARTFORD LIFE	0.00	3.90	100.0	0.00	3.90	0.00	3.90
03/01/0018	#710567770 LEBLANC, GERALD	HARTFORD LIFE	0.00	5.43	100.0	0.00	5.43	0.00	5.43
03/01/0018	#710662294 MURPHY, CAITLIN	HARTFORD LIFE	0.00	46.60	100.0	0.00	46.60	0.00	46.60
03/01/0018	#711035186 LEBLANC, GERALD	HARTFORD LIFE	0.00	158.39	100.0	0.00	158.39	0.00	158.39
03/01/0018	#711201663 LEBLANC, GERALD	HARTFORD LIFE	0.00	15.32	100.0	0.00	15.32	0.00	15.32
03/01/0018	#711261123 HOFFMAN, ROBERTA	HARTFORD LIFE	0.00	85.17	100.0	0.00	85.17	0.00	85.17
03/01/0018	#711261124 HOFFMAN, ROBERTA	HARTFORD LIFE	0.00	85.43	100.0	0.00	85.43	0.00	85.43
03/01/0018	#711261125 HOFFMAN, ROBERTA	HARTFORD LIFE	0.00	85.36	100.0	0.00	85.36	0.00	85.36
03/01/0018	#712139932 LEBLANC, GERALD	HARTFORD LIFE	0.00	3.88	100.0	0.00	3.88	0.00	3.88
03/01/0018	#712502581 LEBLANC, GERALD	HARTFORD LIFE	0.00	23.87	100.0	0.00	23.87	0.00	23.87
03/01/0018	#712575936 LEBLANC, GERALD	HARTFORD LIFE	0.00	315.95	100.0	0.00	315.95	0.00	315.95
03/01/0018	#712652487 LEBLANC, GERALD	HARTFORD LIFE	0.00	74.12	100.0	0.00	74.12	0.00	74.12
03/01/0018	#712659413 LEBLANC, GERALD	HARTFORD LIFE	0.00	278.37	100.0	0.00	278.37	0.00	278.37
03/01/0018	#711598959 LEBLANC, GERALD	HARTFORD LIFE	0.00	30.55	100.0	0.00	30.55	0.00	30.55
03/01/0018	#510011827 LEBLANC, GERALD	HARTFORD LIFE	0.00	17.57	100.0	0.00	17.57	0.00	17.57
03/01/0018	#510011828 LEBLANC, GERALD	HARTFORD LIFE	0.00	57.33	100.0	0.00	57.33	0.00	57.33
03/01/0018	#924056105 PHILLIPS, HELEN	LINCOLN CPPVH4	0.00	258.54	100.0	0.00	258.54	0.00	258.54
03/01/0018	#E1080714 CHADWICK, DIANE	PRUDETIAL TRAILS	0.00	20.00	100.0	0.00	20.00	0.00	20.00
03/01/0018	#E1229810 ARTHUR, ROBERT	PRUDETIAL TRAILS	0.00	8.32	100.0	0.00	8.32	0.00	8.32
03/01/0018	#E1226791 ARTHUR, ROBERT	PRUDETIAL TRAILS	0.00	8.67	100.0	0.00	8.67	0.00	8.67
03/04/0018	#124409LB8 VAIANELLA	TRANSAMERICA FLDP08	0.00	326.95	100.0	0.00	326.95	0.00	326.95
03/04/0018	#132926LB8 VAIANELLA	TRANSAMERICA FLDP08	0.00	69.47	100.0	0.00	69.47	0.00	69.47
03/05/0018	#15187LK8	TRANSA FIN LIFE FL27SP	0.00	98.99	100.0	0.00	98.99	0.00	98.99
03/06/0018	#15B3017875 MITCHELL, NANCY	TRANS PRE	0.00	20.21	100.0	0.00	20.21	0.00	20.21
03/08/0018	#507707700444397 MORGAN,	DELAWARE LIFE RGTGD12	0.00	26.94	100.0	0.00	26.94	0.00	26.94
03/08/0018	#15N6041714	TRANS PRE	0.00	75.71	100.0	0.00	75.71	0.00	75.71
03/09/0018	#T06173526 SULLIVAN, TIMOTHY	GENWORTH TRAILS	0.00	16.38	100.0	0.00	16.38	0.00	16.38
03/09/0018	#C1750060X MARTIN, ESTELL	VOYA	0.00	71.04	100.0	0.00	71.04	0.00	71.04
04/03/0018	#15N6042523 BRADLEY, LINDA	TRANSAMERICA PRE	239,284.88	598.21	100.0	0.00	598.21	0.00	598.21
04/07/0018	#15N6033648 BOWSER, PATRICIA	TRANSAMERICA PRE	18,545.08	46.36	100.0	0.00	46.36	0.00	46.36

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/08/0018	#15N6033650 SAWYER, JOHN	TRANSAMERICA PRE	125,579.14	313.95	100.0	0.00	313.95	0.00	313.95
04/09/0018	#500002AEO OILFIELD, TK	TRANSAMERICA FIN LIFE	0.00	0.04	100.0	0.00	0.04	0.00	0.04
04/09/0018	#500002BBB VISTA, ,OUNTAIN	TRANSAMERICA FIN LIFE	0.00	20.06	100.0	0.00	20.06	0.00	20.06
04/09/0018	#500002BCO GONZA, AMPARO	TRANSAMERICA FIN LIFE	0.00	0.07	100.0	0.00	0.07	0.00	0.07
04/09/0018	#500002BKK HECKMA, DEVON	TRANSAMERICA FIN LIFE	0.00	1.94	100.0	0.00	1.94	0.00	1.94
04/09/0018	#500002BLY LA, MICHAEL	TRANSAMERICA FIN LIFE	0.00	0.13	100.0	0.00	0.13	0.00	0.13
04/09/0018	#500002BMD ASAP TOWING	TRANSAMERICA FIN LIFE	0.00	6.89	100.0	0.00	6.89	0.00	6.89
04/09/0018	#500002T37 RI, GABRIEL	TRANSAMERICA FIN LIFE	0.00	10.90	100.0	0.00	10.90	0.00	10.90
04/09/0018	#500002T83 ACE APPRAOSAL	TRANSAMERICA FIN LIFE	0.00	42.70	100.0	0.00	42.70	0.00	42.70
04/20/0201	#DAV18326 KOESTER	ALLIANZ VA	500.00	17.50	100.0	0.00	17.50	0.00	17.50
04/30/0201	#B16557107106726917 MERCADO,	SECURITY BEENFIT SBGTL	0.00	2.47	100.0	0.00	2.47	0.00	2.47
04/30/0201	#B16557107106726917 MERCADO,	SECURITY BEENFIT SBGTL	0.00	2.47	100.0	0.00	2.47	0.00	2.47
04/30/0201	#B16557107068705771 BARNES,	SECURITY BEENFIT SBGTL	0.00	0.67	100.0	0.00	0.67	0.00	0.67
04/30/0201	#B16557107068705771 BARNES,	SECURITY BEENFIT SBGTL	0.00	0.67	100.0	0.00	0.67	0.00	0.67
04/30/0201	#B16632107134807134 MILANA,	SECURITY BEENFIT SBGTL	0.00	1.00	100.0	0.00	1.00	0.00	1.00
04/30/0201	#B16557107085585407 ARROYO,	SECURITY BEENFIT SBGTL	0.00	0.31	100.0	0.00	0.31	0.00	0.31
04/30/0201	#B16557107085585407 ARROYO,	SECURITY BEENFIT SBGTL	0.00	0.31	100.0	0.00	0.31	0.00	0.31
04/30/0201	#B16632107077700242 RIZZO	SECURITY BEENFIT SBGTL	0.00	0.76	100.0	0.00	0.76	0.00	0.76
04/30/0201	#B16683107069663772 MILANA,	SECURITY BEENFIT SBGTL	0.00	3.79	100.0	0.00	3.79	0.00	3.79
04/30/0201	#B16557107068705771 BARNES,	SECURITY BEENFIT SBGTL	0.00	1.38	100.0	0.00	1.38	0.00	1.38
04/30/0201	#B16632107134807134 MILANA,	SECURITY BEENFIT SBGTL	0.00	2.66	100.0	0.00	2.66	0.00	2.66
04/30/0201	#B16683107133661824 FL, UTMA	SECURITY BEENFIT SBGTL	0.00	0.47	100.0	0.00	0.47	0.00	0.47
04/30/0201	#B16557107085585407 ARROYO,	SECURITY BEENFIT SBGTL	0.00	0.67	100.0	0.00	0.67	0.00	0.67
04/30/0201	#B16683107062661009 DEMASI,	SECURITY BEENFIT SBGTL	0.00	3.10	100.0	0.00	3.10	0.00	3.10
04/30/0201	#B16632107077700242 RIZZO	SECURITY BEENFIT SBGTL	0.00	1.93	100.0	0.00	1.93	0.00	1.93
04/30/0201	#B16557107106726917 MERCADO,	SECURITY BEENFIT SBGTL	0.00	18.95	100.0	0.00	18.95	0.00	18.95
04/30/0201	#B16650007102702501 KELLY,	SECURITY BEENFIT SBGTL	0.00	0.13	100.0	0.00	0.13	0.00	0.13
04/30/0201	#B16557104099429268 BARNES,	SECURITY BEENFIT SBGTL	0.00	14.41	100.0	0.00	14.41	0.00	14.41
04/30/0201	#B16557104085646439 BARNES,	SECURITY BEENFIT SBGTL	0.00	5.82	100.0	0.00	5.82	0.00	5.82
04/30/0201	#B16683104096622808 MILANA,	SECURITY BEENFIT SBGTL	0.00	1.01	100.0	0.00	1.01	0.00	1.01
03/31/2018	#1017364452 VESCUSO	ELITE ACCESS	6,842.02	5.70	100.0	0.00	5.70	0.00	5.70
03/31/2018	#1017364320 VESCUSO	ELITE ACCESS	8,944.93	7.45	100.0	0.00	7.45	0.00	7.45
03/31/2018	#009420996A LYON, LEIGH	RTMT LAT	172,499.09	71.87	100.0	0.00	71.87	0.00	71.87
03/31/2018	#009421048A RYAN, THOMAS	RTMT LAT	20,716.69	8.63	100.0	0.00	8.63	0.00	8.63
04/02/2018	#1100813833 FRYE, DENNIS	BRIGHTHOUSE MVLAC	0.00	385.25	100.0	0.00	385.25	0.00	385.25
04/02/2018	#3202055110 STRAIN, JEFFERY	BRIGHTOUSE FINANCIAL	0.00	22.61	100.0	0.00	22.61	0.00	22.61

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/02/2018	#3201182332 BARKER, JAMES	BRIGHTOUSE FINANCIAL	0.00	32.55	100.0	0.00	32.55	0.00	32.55
04/02/2018	#3201182332 BARKER, JAMES	BRIGHTOUSE FINANCIAL	0.00	32.55	100.0	0.00	32.55	0.00	32.55
04/02/2018	#3201651427 FRYE, DENNIS	BRIGHTOUSE FINANCIAL	0.00	137.49	100.0	0.00	137.49	0.00	137.49
04/02/2018	#3202229009 BANKS, DAVID	BRIGHTOUSE FINANCIAL	0.00	76.47	100.0	0.00	76.47	0.00	76.47
04/02/2018	#3202229017 BANKS, DAVID	BRIGHTOUSE FINANCIAL	0.00	78.24	100.0	0.00	78.24	0.00	78.24
04/02/2018	#15N6043020 REED, WILDMA	TRANS PRE	0.00	63.37	100.0	0.00	63.37	0.00	63.37
04/03/2018	#E0648375 SCHNEIDER,	PRUDETIAL TRAILS	0.00	3.02	100.0	0.00	3.02	0.00	3.02
04/03/2018	#E0840605 SCHNEIDER,	PRUDETIAL TRAILS	0.00	59.60	100.0	0.00	59.60	0.00	59.60
04/03/2018	#E0840605 SCHNEIDER,	PRUDETIAL TRAILS	0.00	19.87	100.0	0.00	19.87	0.00	19.87
04/03/2018	#E0648375 SCHNEIDER,	PRUDETIAL TRAILS	0.00	9.05	100.0	0.00	9.05	0.00	9.05
04/03/2018	#E0746868 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	17.75	100.0	0.00	17.75	0.00	17.75
04/03/2018	#E0791963 POWELL, MARC	PRUDETIAL TRAILS	0.00	8.42	100.0	0.00	8.42	0.00	8.42
04/03/2018	#E0791963 POWELL, MARC	PRUDETIAL TRAILS	0.00	2.11	100.0	0.00	2.11	0.00	2.11
04/03/2018	#E0847719 CARLSON, KENNETH	PRUDETIAL TRAILS	0.00	7.63	100.0	0.00	7.63	0.00	7.63
04/03/2018	#E0892527 BAIRD, SCOTT	PRUDETIAL TRAILS	0.00	0.02	100.0	0.00	0.02	0.00	0.02
04/03/2018	#E0892527 BAIRD, SCOTT	PRUDETIAL TRAILS	0.00	0.02	100.0	0.00	0.02	0.00	0.02
04/03/2018	#E0746868 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	5.92	100.0	0.00	5.92	0.00	5.92
04/03/2018	#E0454848 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	33.42	100.0	0.00	33.42	0.00	33.42
04/03/2018	#E0454848 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	8.36	100.0	0.00	8.36	0.00	8.36
04/03/2018	#000611043 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	14.10	100.0	0.00	14.10	0.00	14.10
04/03/2018	#000611048 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	44.69	100.0	0.00	44.69	0.00	44.69
04/03/2018	#000611048 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	14.90	100.0	0.00	14.90	0.00	14.90
04/03/2018	#000611043 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	42.29	100.0	0.00	42.29	0.00	42.29
04/03/2018	#E0810598 POWELL, MARC	PRUDETIAL TRAILS	0.00	11.93	100.0	0.00	11.93	0.00	11.93
04/03/2018	#E0810598 POWELL, MARC	PRUDETIAL TRAILS	0.00	2.98	100.0	0.00	2.98	0.00	2.98
04/03/2018	#E0847719 CARLSON, KENNETH	PRUDETIAL TRAILS	0.00	30.52	100.0	0.00	30.52	0.00	30.52
04/04/2018	#40007096LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	19.04	100.0	0.00	19.04	0.00	19.04
04/04/2018	#106245LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	87.90	100.0	0.00	87.90	0.00	87.90
04/04/2018	#110089LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	117.06	100.0	0.00	117.06	0.00	117.06
04/04/2018	#127463LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	7.35	100.0	0.00	7.35	0.00	7.35
04/04/2018	#40005742LK2 VAIANELLA	TRANS LIFE FE22MC	0.00	57.79	100.0	0.00	57.79	0.00	57.79
04/04/2018	#127893E2K VAIANELLA	TRANS LIFE FE2DR1	0.00	0.33	100.0	0.00	0.33	0.00	0.33
04/04/2018	#123639LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	24.95	100.0	0.00	24.95	0.00	24.95
04/04/2018	#123641LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	24.38	100.0	0.00	24.38	0.00	24.38
04/04/2018	#123973LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	24.82	100.0	0.00	24.82	0.00	24.82
04/04/2018	#123974LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	58.94	100.0	0.00	58.94	0.00	58.94

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/04/2018	#124709LK8	VAIANELLA	TRANS LIFE FL10MS	0.00	84.02	100.0	0.00	84.02	84.02
04/05/2018	#500002AEO	OILFIELD, TK	TRANSAMERICA FIN LIFE	0.00	0.04	100.0	0.00	0.04	0.04
04/05/2018	#500002BBB	VISTA, ,OUNTAIN	TRANSAMERICA FIN LIFE	0.00	20.14	100.0	0.00	20.14	20.14
04/05/2018	#500002BCO	GONZA, AMPARO	TRANSAMERICA FIN LIFE	0.00	0.07	100.0	0.00	0.07	0.07
04/05/2018	#500002BKK	HECKMA, DEVON	TRANSAMERICA FIN LIFE	0.00	1.99	100.0	0.00	1.99	1.99
04/05/2018	#500002BLY	LA, MICHAEL	TRANSAMERICA FIN LIFE	0.00	0.13	100.0	0.00	0.13	0.13
04/05/2018	#500002BMD	ASAP TOWING	TRANSAMERICA FIN LIFE	0.00	6.99	100.0	0.00	6.99	6.99
04/05/2018	#500002T37	RI, GABRIEL	TRANSAMERICA FIN LIFE	0.00	11.08	100.0	0.00	11.08	11.08
04/05/2018	#500002T83	ACE APPRAOSAL	TRANSAMERICA FIN LIFE	0.00	43.15	100.0	0.00	43.15	43.15
04/05/2018	#C1740890X	KENNEDY, RICHARD	VOYA	0.00	85.19	100.0	0.00	85.19	85.19
04/06/2018	#307742802	HAKEMAN, PATRICIA	AXA	0.00	15.10	100.0	0.00	15.10	15.10
04/06/2018	#307742804	HAKEMAN	AXA	0.00	284.54	100.0	0.00	284.54	284.54
04/06/2018	#70051358	THOMPSON, GEORGE	JOHN HANCOCK LIFE TRAILS	0.00	67.85	100.0	0.00	67.85	67.85
04/06/2018	#2486143	WILLIAMS, KATRINA	JOHN HANCOCK LIFE TRAILS	0.00	10.67	100.0	0.00	10.67	10.67
04/06/2018	#2486144	WILLIAMS, KELLY	JOHN HANCOCK LIFE TRAILS	0.00	5.23	100.0	0.00	5.23	5.23
04/06/2018	#2557053	VILLALON, MITZI	JOHN HANCOCK LIFE TRAILS	0.00	39.42	100.0	0.00	39.42	39.42
04/06/2018	#2557056	GREEN, JILL	JOHN HANCOCK LIFE TRAILS	0.00	1.92	100.0	0.00	1.92	1.92
04/06/2018	#2580265	SHARMA, RAHUL	JOHN HANCOCK LIFE TRAILS	0.00	71.77	100.0	0.00	71.77	71.77
04/06/2018	#2589636	LINDE, RYAN	JOHN HANCOCK LIFE TRAILS	0.00	10.26	100.0	0.00	10.26	10.26
04/06/2018	#2790498	YUNGMAHN, WENDELIN	JOHN HANCOCK LIFE TRAILS	0.00	17.86	100.0	0.00	17.86	17.86
04/06/2018	#95021964	YUNGMAHN, WENDELIN	JOHN HANCOCK LIFE TRAILS	0.00	25.38	100.0	0.00	25.38	25.38
04/06/2018	#2504052	KOONCE, JANICE	JOHN HANCOCK LIFE TRAILS	0.00	31.94	100.0	0.00	31.94	31.94
04/06/2018	#2538574	GRALA, MERIA	JOHN HANCOCK LIFE TRAILS	0.00	29.49	100.0	0.00	29.49	29.49
04/06/2018	#2721796	ASHRAF, IRVING	JOHN HANCOCK LIFE TRAILS	0.00	51.12	100.0	0.00	51.12	51.12
04/06/2018	#2486143	WILLIAMS, KATRINA	JOHN HANCOCK LIFE TRAILS	410.00	14.35	100.0	0.00	14.35	14.35
04/08/2018	#P55D3526975	MYERS, STANLEY	AMERICAN GEN TRAILS	0.00	94.49	100.0	0.00	94.49	94.49
04/09/2018	#P55D2519688	MYERS, STANLEY	AMERICAN GEN TRAILS	0.00	60.05	100.0	0.00	60.05	60.05
04/30/2018	#1017364452	VESCUSO	ELITE ACCESS	7,064.19	5.89	100.0	0.00	5.89	5.89
04/30/2018	#1017364320	VESCUSO	ELITE ACCESS	9,334.37	7.78	100.0	0.00	7.78	7.78
04/30/2018	#009420996A	LYON, LEIGH	RTMT LAT	172,918.68	72.05	100.0	0.00	72.05	72.05
04/30/2018	#009421048A	RYAN, THOMAS	RTMT LAT	21,351.84	8.90	100.0	0.00	8.90	8.90
* VARIABLE ANNUITIES SUBTOTAL *			\$803,990.91	\$6,416.26		\$0.00	\$6,416.26	\$0.00	\$6,416.26

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u>									
04/24/2018	# NCIAL SVCS LLC, LIBERTY	BANK OF THE WEST INSTL CD 23	24,250.00	0.00	100.0	0.00	0.00	0.00	0.00
04/24/2018	# NCIAL SVCS LLC, LIBERTY	BANK OF THE WEST INSTL CD 23	25,000.00	0.00	100.0	0.00	0.00	0.00	0.00
04/24/2018	# NCIAL SVCS LLC, LIBERTY	HSBC BK USA N A MC LEAN VA	48,500.00	0.00	100.0	0.00	0.00	0.00	0.00
04/24/2018	# NCIAL SVCS LLC, LIBERTY	HSBC BK USA N A MC LEAN VA	50,000.00	0.00	100.0	0.00	0.00	0.00	0.00
04/24/2018	# NCIAL SVCS LLC, LIBERTY	HSBC BK USA N A MC LEAN VA	24,500.00	0.00	100.0	0.00	0.00	0.00	0.00
04/24/2018	# NCIAL SVCS LLC, LIBERTY	HSBC BK USA N A MC LEAN VA	25,000.00	0.00	100.0	0.00	0.00	0.00	0.00
* CERTIFICATES OF DEPOSIT SUBTOTAL *			\$197,250.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
04/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED SER 201	62,069.29	0.00	100.0	0.00	0.00	19.95	-19.95
04/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED SER 201	62,069.29	0.00	100.0	0.00	0.00	19.95	-19.95
04/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVID INCOME PORTFO	26,686.19	0.00	100.0	0.00	0.00	19.95	-19.95
04/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVID INCOME PORTFO	160,823.86	0.00	100.0	0.00	0.00	19.95	-19.95
04/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVID INCOME PORTFO	187,510.06	0.00	100.0	0.00	0.00	19.95	-19.95
04/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST ENERGY SELECT SER 6	27,337.36	0.00	100.0	0.00	0.00	19.95	-19.95
04/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST ENERGY SELECT SER 6	27,337.36	0.00	100.0	0.00	0.00	19.95	-19.95
04/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST FINLS SELECT SER 58	60,313.13	0.00	100.0	0.00	0.00	19.95	-19.95
04/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST FINLS SELECT SER 58	32,886.26	0.00	100.0	0.00	0.00	19.95	-19.95
04/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST FINLS SELECT SER 58	93,199.39	0.00	100.0	0.00	0.00	19.95	-19.95
04/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST INCOME DIVID SER 12	133,032.46	0.00	100.0	0.00	0.00	19.95	-19.95
04/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST INCOME DIVID SER 12	53,082.13	0.00	100.0	0.00	0.00	19.95	-19.95
04/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST INCOME DIVID SER 12	186,114.59	0.00	100.0	0.00	0.00	19.95	-19.95
04/24/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT HIGH DIVID EQUITY	110,005.38	0.00	100.0	0.00	0.00	19.95	-19.95
04/24/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT HIGH DIVID EQUITY	107,805.39	0.00	100.0	0.00	0.00	19.95	-19.95
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$1,330,272.14	\$0.00		\$0.00	\$0.00	\$299.25	\$-299.25
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/31/2018	#05502675233	VARIOUS HOUSE	ACTIVE ALLOCATION CLASS A	0.00	0.02	100.0	0.00	0.00	0.00
03/31/2018	#05502293786	VARIOUS HOUSE	ACTIVE ALLOCATION CLASS A	0.00	3.36	100.0	0.00	3.36	0.00
03/31/2018	#05502345849	VARIOUS HOUSE	ACTIVE ALLOCATION CLASS A	0.00	26.20	100.0	0.00	26.20	0.00
03/31/2018	#05502357773	VARIOUS HOUSE	ACTIVE ALLOCATION CLASS A	0.00	22.08	100.0	0.00	22.08	0.00
03/31/2018	#07603485922	VARIOUS HOUSE	CASH RESERVES A	0.00	0.00	100.0	0.00	0.00	0.00
03/31/2018	#07603894451	VARIOUS HOUSE	CASH RESERVES A	0.00	0.00	100.0	0.00	0.00	0.00
03/31/2018	#07621365430		CASH RESERVES C	0.00	0.00	100.0	0.00	0.00	0.00
03/31/2018	#07621045902	VARIOUS HOUSE	CASH RESERVES C	0.00	0.00	100.0	0.00	0.00	0.00
03/31/2018	#02850995709	VARIOUS HOUSE	CORE BOND FUND A	0.00	0.87	100.0	0.00	0.87	0.00

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/31/2018	#02851910579	CORE BOND FUND A	0.00	2.22	100.0	0.00	2.22	0.00	2.22
03/31/2018	#02852078186 VARIOUS HOUSE	CORE BOND FUND A	0.00	0.72	100.0	0.00	0.72	0.00	0.72
03/31/2018	#05020414194 VARIOUS HOUSE	DISCOVERY FUND C	0.00	16.84	100.0	0.00	16.84	0.00	16.84
03/31/2018	#05020480458 KORETZ, S	DISCOVERY FUND C	0.00	17.43	100.0	0.00	17.43	0.00	17.43
03/31/2018	#08370689337 VARIOUS HOUSE	EQUITY INCOME FUND, INC. C	0.00	23.32	100.0	0.00	23.32	0.00	23.32
03/31/2018	#05550738815 VARIOUS HOUSE	EQUITY INVESTORS FUND	0.00	3.08	100.0	0.00	3.08	0.00	3.08
03/31/2018	#02373961540 999HOUSE	FUNDAMENTAL ALTERNATIVES FUND B	0.00	0.62	100.0	0.00	0.62	0.00	0.62
03/31/2018	#02373973332 999HOUSE	FUNDAMENTAL ALTERNATIVES FUND B	0.00	0.09	100.0	0.00	0.09	0.00	0.09
03/31/2018	#02373973343 999HOUSE	FUNDAMENTAL ALTERNATIVES FUND B	0.00	0.49	100.0	0.00	0.49	0.00	0.49
03/31/2018	#02574109413 VARIOUS HOUSE	GLOBAL ALLOCATION FUND A	0.00	1.91	100.0	0.00	1.91	0.00	1.91
03/31/2018	#02570974985 DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	0.00	0.70	100.0	0.00	0.70	0.00	0.70
03/31/2018	#02572169717 999HOUSE	GLOBAL ALLOCATION FUND A	0.00	1.24	100.0	0.00	1.24	0.00	1.24
03/31/2018	#02574720870 VARIOUS HOUSE	GLOBAL ALLOCATION FUND A	0.00	11.64	100.0	0.00	11.64	0.00	11.64
03/31/2018	#02577706743 VARIOUS HOUSE	GLOBAL ALLOCATION FUND A	0.00	4.14	100.0	0.00	4.14	0.00	4.14
03/31/2018	#03322595890 VARIOUS HOUSE	GLOBAL FUND C	0.00	15.91	100.0	0.00	15.91	0.00	15.91
03/31/2018	#02322581040	GLOBAL STRATEGIC INCOME FUND C	0.00	25.81	100.0	0.00	25.81	0.00	25.81
03/31/2018	#01960486882 999HOUSE	INTERNATIONAL DIVERSIFIED FUND B	0.00	0.91	100.0	0.00	0.91	0.00	0.91
03/31/2018	#08270310475 BELCASTRO,	INTERNATIONAL GROWTH FUND C	0.00	37.96	100.0	0.00	37.96	0.00	37.96
03/31/2018	#08270873631 BELCASTRO,	INTERNATIONAL GROWTH FUND C	0.00	3.75	100.0	0.00	3.75	0.00	3.75
03/31/2018	#08551225257 VARIOUS HOUSE	LIMITED-TERM GOVERNMENT FUND A	0.00	0.46	100.0	0.00	0.46	0.00	0.46
03/31/2018	#07023037287 BELCASTRO,	MAIN STREET FUND C	0.00	42.46	100.0	0.00	42.46	0.00	42.46
03/31/2018	#07023495030 VARIOUS HOUSE	MAIN STREET FUND C	0.00	3.58	100.0	0.00	3.58	0.00	3.58
03/31/2018	#07024319018 BELCASTRO,	MAIN STREET FUND C	0.00	3.94	100.0	0.00	3.94	0.00	3.94
03/31/2018	#07024242711 KORETZ, S	MAIN STREET FUND C	0.00	17.57	100.0	0.00	17.57	0.00	17.57
03/31/2018	#07024265492 LUVERA, V	MAIN STREET FUND C	0.00	16.78	100.0	0.00	16.78	0.00	16.78
03/31/2018	#08481464287	MAIN STREET SMALL- & MID-CAP FUND B	0.00	0.61	100.0	0.00	0.61	0.00	0.61
03/31/2018	#08481192565 VARIOUS HOUSE	MAIN STREET SMALL- & MID-CAP FUND B	0.00	3.38	100.0	0.00	3.38	0.00	3.38
03/31/2018	#08481482679 VARIOUS HOUSE	MAIN STREET SMALL- & MID-CAP FUND B	0.00	3.38	100.0	0.00	3.38	0.00	3.38
03/31/2018	#05470420772 ALONGE, A	OPPEN FUNDS	0.00	59.61	100.0	0.00	59.61	0.00	59.61
03/31/2018	#05470420794 MASTER, S	OPPEN FUNDS	0.00	60.41	100.0	0.00	60.41	0.00	60.41
03/31/2018	#03210187397 VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	4.09	100.0	0.00	4.09	0.00	4.09
03/31/2018	#03211283646 LAMAR, K	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	7.29	100.0	0.00	7.29	0.00	7.29
03/31/2018	#03202920181 VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	0.89	100.0	0.00	0.89	0.00	0.89
03/31/2018	#03203908729 VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	1.67	100.0	0.00	1.67	0.00	1.67
03/31/2018	#03207695347 VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	57.07	100.0	0.00	57.07	0.00	57.07
03/31/2018	#03208617796 VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	2.66	100.0	0.00	2.66	0.00	2.66

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/31/2018	#03211300982	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	0.08	100.0	0.00	0.08	0.08
03/31/2018	#03008351684	VARIOUS HOUSE	OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	38.86	100.0	0.00	38.86	38.86
03/31/2018	#03008730909	VARIOUS HOUSE	OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	0.40	100.0	0.00	0.40	0.40
03/31/2018	#03020569580	VARIOUS HOUSE	OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	22.06	100.0	0.00	22.06	22.06
03/31/2018	#03001893529	VARIOUS HOUSE	OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	0.97	100.0	0.00	0.97	0.97
03/31/2018	#03009123334	VARIOUS HOUSE	OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	6.90	100.0	0.00	6.90	6.90
03/31/2018	#03020519211	VARIOUS HOUSE	OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	102.80	100.0	0.00	102.80	102.80
03/31/2018	#07871831929	VARIOUS HOUSE	OPPENHEIMER DEVELOPING MARKETS FUND	0.00	10.71	100.0	0.00	10.71	10.71
03/31/2018	#07859653215	VARIOUS HOUSE	OPPENHEIMER DEVELOPING MARKETS FUND	0.00	4.73	100.0	0.00	4.73	4.73
03/31/2018	#07453279639	VARIOUS HOUSE	OPPENHEIMER DISCOVERY FUND CL A	0.00	12.02	100.0	0.00	12.02	12.02
03/31/2018	#02050184063	999HOUSE	OPPENHEIMER EQUITY INCOME FUND INC-	0.00	2.17	100.0	0.00	2.17	2.17
03/31/2018	#08350850883	VARIOUS HOUSE	OPPENHEIMER EQUITY INCOME FUND INC-	0.00	10.44	100.0	0.00	10.44	10.44
03/31/2018	#02380716551	BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	0.00	24.64	100.0	0.00	24.64	24.64
03/31/2018	#02381168002	BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	0.00	3.14	100.0	0.00	3.14	3.14
03/31/2018	#05420218691	VARIOUS HOUSE	OPPENHEIMER FUNDS	0.00	288.33	100.0	0.00	288.33	288.33
03/31/2018	#05420223784	MARIAN, S	OPPENHEIMER FUNDS	0.00	31.66	100.0	0.00	31.66	31.66
03/31/2018	#03306023481	VARIOUS HOUSE	OPPENHEIMER GLOBAL FUND CL A	0.00	2.39	100.0	0.00	2.39	2.39
03/31/2018	#03307227277	LAMAR, K	OPPENHEIMER GLOBAL FUND CL A	0.00	2.42	100.0	0.00	2.42	2.42
03/31/2018	#02155172178	999HOUSE	OPPENHEIMER GLOBAL OPPORTUNITIES FD	0.00	4.68	100.0	0.00	4.68	4.68
03/31/2018	#02171603063	999HOUSE	OPPENHEIMER GLOBAL OPPORTUNITIES FD	0.00	21.42	100.0	0.00	21.42	21.42
03/31/2018	#01903455793	VARIOUS HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.25	100.0	0.00	1.25	1.25
03/31/2018	#02302408376	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	0.81	100.0	0.00	0.81	0.81
03/31/2018	#02305532970	VARIOUS HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	11.73	100.0	0.00	11.73	11.73
03/31/2018	#02309851666	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.43	100.0	0.00	1.43	1.43
03/31/2018	#02317123600	VARIOUS HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	0.32	100.0	0.00	0.32	0.32
03/31/2018	#02302402491	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	0.21	100.0	0.00	0.21	0.21
03/31/2018	#02306336619	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.91	100.0	0.00	1.91	1.91
03/31/2018	#02306346530	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	2.21	100.0	0.00	2.21	2.21
03/31/2018	#02306400683	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	5.59	100.0	0.00	5.59	5.59
03/31/2018	#02306429338	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	3.52	100.0	0.00	3.52	3.52
03/31/2018	#02306429393	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.33	100.0	0.00	1.33	1.33
03/31/2018	#02306443374	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	19.53	100.0	0.00	19.53	19.53
03/31/2018	#02306538458	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	36.80	100.0	0.00	36.80	36.80
03/31/2018	#02306588926	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.18	100.0	0.00	1.18	1.18
03/31/2018	#02306606757	VARIOUS HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	64.57	100.0	0.00	64.57	64.57
03/31/2018	#02301553181	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	0.11	100.0	0.00	0.11	0.11

COMMISSION STATEMENT for LPFS HOUSE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/31/2018	#04101480149	VARIOUS HOUSE	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	15.84	100.0	0.00	15.84	15.84
03/31/2018	#04102312618	VARIOUS HOUSE	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	4.32	100.0	0.00	4.32	4.32
03/31/2018	#04103850352	VARIOUS HOUSE	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	3.27	100.0	0.00	3.27	3.27
03/31/2018	#08804954972	VARIOUS HOUSE	OPPENHEIMER INTERNATIONAL BOND FUND	0.00	1.48	100.0	0.00	1.48	1.48
03/31/2018	#08257831714	VARIOUS HOUSE	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	1.62	100.0	0.00	1.62	1.62
03/31/2018	#08252216247	VARIOUS HOUSE	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	4.99	100.0	0.00	4.99	4.99
03/31/2018	#08252179144	VARIOUS HOUSE	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	0.16	100.0	0.00	0.16	0.16
03/31/2018	#01952658964	999HOUSE	OPPENHEIMER INTL DIVERISIFIED FD CL	0.00	6.69	100.0	0.00	6.69	6.69
03/31/2018	#01952749945	999HOUSE	OPPENHEIMER INTL DIVERISIFIED FD CL	0.00	13.03	100.0	0.00	13.03	13.03
03/31/2018	#08476885593	VARIOUS HOUSE	OPPENHEIMER MAIN STR SMALL & MID CA	0.00	3.12	100.0	0.00	3.12	3.12
03/31/2018	#08475055182	VARIOUS HOUSE	OPPENHEIMER MAIN STR SMALL & MID CA	0.00	6.04	100.0	0.00	6.04	6.04
03/31/2018	#08476230411	VARIOUS HOUSE	OPPENHEIMER MAIN STR SMALL & MID CA	0.00	30.97	100.0	0.00	30.97	30.97
03/31/2018	#08476886286	VARIOUS HOUSE	OPPENHEIMER MAIN STR SMALL & MID CA	0.00	17.74	100.0	0.00	17.74	17.74
03/31/2018	#08477285586	VARIOUS HOUSE	OPPENHEIMER MAIN STR SMALL & MID CA	0.00	0.05	100.0	0.00	0.05	0.05
03/31/2018	#07005386821	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	0.84	100.0	0.00	0.84	0.84
03/31/2018	#07002648550	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	3.51	100.0	0.00	3.51	3.51
03/31/2018	#07003127743	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	16.86	100.0	0.00	16.86	16.86
03/31/2018	#04206741284	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	3.48	100.0	0.00	3.48	3.48
03/31/2018	#04206741295	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	1.93	100.0	0.00	1.93	1.93
03/31/2018	#04206741449	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	1.08	100.0	0.00	1.08	1.08
03/31/2018	#04206741460	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	4.00	100.0	0.00	4.00	4.00
03/31/2018	#04206741471	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	23.32	100.0	0.00	23.32	23.32
03/31/2018	#07005994736	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	15.29	100.0	0.00	15.29	15.29
03/31/2018	#04205457859	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	0.44	100.0	0.00	0.44	0.44
03/31/2018	#02521058376	VARIOUS HOUSE	OPPENHEIMER MID CAP VALUE	0.00	0.67	100.0	0.00	0.67	0.67
03/31/2018	#02520789503	999HOUSE	OPPENHEIMER MID CAP VALUE	0.00	3.49	100.0	0.00	3.49	3.49
03/31/2018	#02521084028	999HOUSE	OPPENHEIMER MID CAP VALUE	0.00	3.49	100.0	0.00	3.49	3.49
03/31/2018	#05400542861	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER	0.00	0.69	100.0	0.00	0.69	0.69
03/31/2018	#05520920700	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	0.00	67.63	100.0	0.00	67.63	67.63
03/31/2018	#05520921393	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	0.00	61.83	100.0	0.00	61.83	61.83
03/31/2018	#05520923714	KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	0.00	30.77	100.0	0.00	30.77	30.77
03/31/2018	#05520944680	MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	0.00	109.51	100.0	0.00	109.51	109.51
03/31/2018	#05520950642	LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	0.00	65.39	100.0	0.00	65.39	65.39
03/31/2018	#05920334191	LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	0.00	47.98	100.0	0.00	47.98	47.98
03/31/2018	#02252910468	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	1.14	100.0	0.00	1.14	1.14
03/31/2018	#02252060278	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	3.08	100.0	0.00	3.08	3.08

COMMISSION STATEMENT for LPFS HOUSE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/31/2018	#02253961529	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	4.94	100.0	0.00	4.94	4.94
03/31/2018	#02254195873	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	0.07	100.0	0.00	0.07	0.07
03/31/2018	#02254706086	VARIOUS HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	5.32	100.0	0.00	5.32	5.32
03/31/2018	#02254706097	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	2.96	100.0	0.00	2.96	2.96
03/31/2018	#02254706328	VARIOUS HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	1.65	100.0	0.00	1.65	1.65
03/31/2018	#02254706339	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	6.12	100.0	0.00	6.12	6.12
03/31/2018	#02254706350	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	35.68	100.0	0.00	35.68	35.68
03/31/2018	#02254825326	ULBRICHT,	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	1.39	100.0	0.00	1.39	1.39
03/31/2018	#06000215281	VARIOUS HOUSE	OPPENHEIMER SELECT VALUE FD CL A	0.00	0.30	100.0	0.00	0.30	0.30
03/31/2018	#02911117985	VARIOUS HOUSE	OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	0.79	100.0	0.00	0.79	0.79
03/31/2018	#02912709091	VARIOUS HOUSE	OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	0.32	100.0	0.00	0.32	0.32
03/31/2018	#02912733588	VARIOUS HOUSE	OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	37.96	100.0	0.00	37.96	37.96
03/31/2018	#02912889183	VARIOUS HOUSE	OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	1.29	100.0	0.00	1.29	1.29
03/31/2018	#02912939046	VARIOUS HOUSE	OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	70.43	100.0	0.00	70.43	70.43
03/31/2018	#07210628232	VARIOUS HOUSE	OPPENHEIMER SMALL & MIDCAP GROWTH F	0.00	4.00	100.0	0.00	4.00	4.00
03/31/2018	#07210785389	VARIOUS HOUSE	OPPENHEIMER SMALL & MIDCAP GROWTH F	0.00	0.08	100.0	0.00	0.08	0.08
03/31/2018	#05450984335	VARIOUS HOUSE	PORTFOLIO SERIES: MODERATE INVESTOR	0.00	0.44	100.0	0.00	0.44	0.44
03/31/2018	#05451039973		PORTFOLIO SERIES: MODERATE INVESTOR	0.00	12.74	100.0	0.00	12.74	12.74
03/31/2018	#02365336408	999HOUSE	QUEST OPPORTUNITY VALUE FUND A	0.00	5.11	100.0	0.00	5.11	5.11
03/31/2018	#02365336419	999HOUSE	QUEST OPPORTUNITY VALUE FUND A	0.00	3.66	100.0	0.00	3.66	3.66
03/31/2018	#02365616017	ULBRICHT,	QUEST OPPORTUNITY VALUE FUND A	0.00	0.92	100.0	0.00	0.92	0.92
03/31/2018	#05910068090	VARIOUS HOUSE	REAL ESTATE FUND	0.00	0.44	100.0	0.00	0.44	0.44
03/31/2018	#05900977834		REAL ESTATE FUND A	0.00	11.60	100.0	0.00	11.60	11.60
03/31/2018	#05900498553	VARIOUS HOUSE	REAL ESTATE FUND A	0.00	0.78	100.0	0.00	0.78	0.78
03/31/2018	#02271101903	999HOUSE	RISING DIVIDENDS FUND C	0.00	25.26	100.0	0.00	25.26	25.26
03/31/2018	#02261774729	999HOUSE	RISING DIVIDENDS FUND CLASS B	0.00	0.17	100.0	0.00	0.17	0.17
03/31/2018	#02261774751	999HOUSE	RISING DIVIDENDS FUND CLASS B	0.00	0.75	100.0	0.00	0.75	0.75
03/31/2018	#02513153304	999HOUSE	SMALL- & MID- CAP VALUE FUND A	0.00	2.10	100.0	0.00	2.10	2.10
03/31/2018	#02514086478		SMALL- & MID- CAP VALUE FUND A	0.00	2.12	100.0	0.00	2.12	2.12
03/31/2018	#02514387427		SMALL- & MID- CAP VALUE FUND A	0.00	2.84	100.0	0.00	2.84	2.84
03/31/2018	#02510621665	999HOUSE	SMALL- & MID- CAP VALUE FUND A	0.00	2.28	100.0	0.00	2.28	2.28
03/31/2018	#02513760196	999HOUSE	SMALL- & MID- CAP VALUE FUND A	0.00	22.96	100.0	0.00	22.96	22.96
03/31/2018	#02514388186	999HOUSE	SMALL- & MID- CAP VALUE FUND A	0.00	22.05	100.0	0.00	22.05	22.05
03/31/2018	#07230016643	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	0.00	47.57	100.0	0.00	47.57	47.57
03/31/2018	#07230242748	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	0.00	4.13	100.0	0.00	4.13	4.13
03/31/2018	#02870478698	VARIOUS HOUSE	TOTAL RETURN BOND FUND C	0.00	19.60	100.0	0.00	19.60	19.60

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/31/2018	#02870523776	VARIOUS HOUSE	TOTAL RETURN BOND FUND C	0.00	28.47	100.0	0.00	28.47	28.47
03/31/2018	#02870524579	ALONGE, A	TOTAL RETURN BOND FUND C	0.00	15.49	100.0	0.00	15.49	15.49
03/31/2018	#02870524601	MASTER, S	TOTAL RETURN BOND FUND C	0.00	15.88	100.0	0.00	15.88	15.88
03/31/2018	#02201598025	999HOUSE	U.S. GOVERNMENT TRUST A	0.00	0.59	100.0	0.00	0.59	0.59
03/31/2018	#02203570875	999HOUSE	U.S. GOVERNMENT TRUST A	0.00	2.14	100.0	0.00	2.14	2.14
03/31/2018	#02220547382		U.S. GOVERNMENT TRUST C	0.00	16.38	100.0	0.00	16.38	16.38
04/01/2018	#00008570580	VARIOUS HOUSE	INVESCO AMERICAN FRANCHISE FUND CLA	0.00	19.91	100.0	0.00	19.91	19.91
04/01/2018	#00008570580	VARIOUS HOUSE	INVESCO ASIA PACIFIC GROWTH FD - CL	0.00	21.38	100.0	0.00	21.38	21.38
04/01/2018	#01000085248	VARIOUS HOUSE	INVESCO ASIA PACIFIC GROWTH FD - CL	0.00	6.93	100.0	0.00	6.93	6.93
04/01/2018	#00091516140	VARIOUS HOUSE	INVESCO BALANCED-RISK ALLOCATION FD	0.00	120.43	100.0	0.00	120.43	120.43
04/01/2018	#00777008112	VARIOUS HOUSE	INVESCO CASH RESERVE SHARES	0.00	1.19	100.0	0.00	1.19	1.19
04/01/2018	#00777021027	VARIOUS HOUSE	INVESCO CASH RESERVE SHARES	0.00	1.89	100.0	0.00	1.89	1.89
04/01/2018	#05062851042	VARIOUS HOUSE	INVESCO CASH RESERVE SHARES	0.00	0.21	100.0	0.00	0.21	0.21
04/01/2018	#05062851067	VARIOUS HOUSE	INVESCO CASH RESERVE SHARES	0.00	14.51	100.0	0.00	14.51	14.51
04/01/2018	#00008570580	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	3.12	100.0	0.00	3.12	3.12
04/01/2018	#00008799260	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	6.35	100.0	0.00	6.35	6.35
04/01/2018	#00008799261	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	4.24	100.0	0.00	4.24	4.24
04/01/2018	#00008799262	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	3.60	100.0	0.00	3.60	3.60
04/01/2018	#00011105680	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	87.08	100.0	0.00	87.08	87.08
04/01/2018	#00011105683	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	31.60	100.0	0.00	31.60	31.60
04/01/2018	#00011273660	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	67.07	100.0	0.00	67.07	67.07
04/01/2018	#00011922940	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	80.20	100.0	0.00	80.20	80.20
04/01/2018	#00011922941	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	74.56	100.0	0.00	74.56	74.56
04/01/2018	#00012675680	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	94.69	100.0	0.00	94.69	94.69
04/01/2018	#00012675681	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	11.10	100.0	0.00	11.10	11.10
04/01/2018	#00090422960	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	27.80	100.0	0.00	27.80	27.80
04/01/2018	#00091636200	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	5.50	100.0	0.00	5.50	5.50
04/01/2018	#00091947180	MARKEL JT TEN,	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	0.29	100.0	0.00	0.29	0.29
04/01/2018	#00092032461	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	14.71	100.0	0.00	14.71	14.71
04/01/2018	#00093069040	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	6.67	100.0	0.00	6.67	6.67
04/01/2018	#00097113210	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	0.04	100.0	0.00	0.04	0.04
04/01/2018	#00097118440	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	6.20	100.0	0.00	6.20	6.20
04/01/2018	#00098021830	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	88.20	100.0	0.00	88.20	88.20
04/01/2018	#00008570580	VARIOUS HOUSE	INVESCO ENERGY FUND - CLASS B	0.00	0.53	100.0	0.00	0.53	0.53
04/01/2018	#00093076621	VARIOUS HOUSE	INVESCO ENERGY FUND - INVESTOR CLAS	0.00	0.99	100.0	0.00	0.99	0.99
04/01/2018	#00008570580	VARIOUS HOUSE	INVESCO ENERGY FUND CLASS A	0.00	8.11	100.0	0.00	8.11	8.11

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/01/2018	#01000085248	VARIOUS HOUSE	INVESCO ENERGY FUND CLASS A	0.00	2.42	100.0	0.00	2.42	2.42
04/01/2018	#00011273660	VARIOUS HOUSE	INVESCO ENERGY FUND CLASS C	0.00	12.81	100.0	0.00	12.81	12.81
04/01/2018	#00092032461	VARIOUS HOUSE	INVESCO ENERGY FUND CLASS C	0.00	1.96	100.0	0.00	1.96	1.96
04/01/2018	#01000190434	VARIOUS HOUSE	INVESCO ENERGY FUND CLASS C	0.00	1.27	100.0	0.00	1.27	1.27
04/01/2018	#01000190434	VARIOUS HOUSE	INVESCO EUROPEAN GROWTH FUND -CLASS	0.00	3.09	100.0	0.00	3.09	3.09
04/01/2018	#00093069040	VARIOUS HOUSE	INVESCO EUROPEAN GROWTH FUND-INVEST	0.00	3.26	100.0	0.00	3.26	3.26
04/01/2018	#06900161469	VARIOUS HOUSE	INVESCO GLOBAL CORE EQUITY FD CL A	0.00	0.31	100.0	0.00	0.31	0.31
04/01/2018	#00008799260	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	7.22	100.0	0.00	7.22	7.22
04/01/2018	#00008799261	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	1.20	100.0	0.00	1.20	1.20
04/01/2018	#00008799262	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	1.20	100.0	0.00	1.20	1.20
04/01/2018	#00011105680	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	14.32	100.0	0.00	14.32	14.32
04/01/2018	#00011105683	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	5.69	100.0	0.00	5.69	5.69
04/01/2018	#00093069040	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	7.72	100.0	0.00	7.72	7.72
04/01/2018	#00095609450	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	2.99	100.0	0.00	2.99	2.99
04/01/2018	#00097118440	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	0.90	100.0	0.00	0.90	0.90
04/01/2018	#00097118480	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	0.92	100.0	0.00	0.92	0.92
04/01/2018	#00099259558	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	0.06	100.0	0.00	0.06	0.06
04/01/2018	#00100236907	VARIOUS HOUSE	INVESCO GLOBAL HEALTH CARE FUND - I	0.00	0.93	100.0	0.00	0.93	0.93
04/01/2018	#00007957462	VARIOUS HOUSE	INVESCO GOLD & PRECIOUS METALS FD -	0.00	1.22	100.0	0.00	1.22	1.22
04/01/2018	#00008570580	VARIOUS HOUSE	INVESCO GOLD & PRECIOUS METALS FD -	0.00	14.59	100.0	0.00	14.59	14.59
04/01/2018	#01000190434	VARIOUS HOUSE	INVESCO GOLD & PRECIOUS METALS FD -	0.00	0.91	100.0	0.00	0.91	0.91
04/01/2018	#00011105680	VARIOUS HOUSE	INVESCO GOLD & PRECIOUS METALS FD-I	0.00	9.20	100.0	0.00	9.20	9.20
04/01/2018	#00091636200	VARIOUS HOUSE	INVESCO GOLD & PRECIOUS METALS FD-I	0.00	1.16	100.0	0.00	1.16	1.16
04/01/2018	#00093076621	VARIOUS HOUSE	INVESCO GOLD & PRECIOUS METALS FD-I	0.00	0.57	100.0	0.00	0.57	0.57
04/01/2018	#06900161127	VARIOUS HOUSE	INVESCO HIGH YIELD FUND CL A SHS	0.00	0.86	100.0	0.00	0.86	0.86
04/01/2018	#00777008112	VARIOUS HOUSE	INVESCO REAL ESTATE FUND CL A	0.00	1.81	100.0	0.00	1.81	1.81
04/01/2018	#06900161169	VARIOUS HOUSE	INVESCO SHORT TERM BOND FUND -CLASS	0.00	0.31	100.0	0.00	0.31	0.31
04/01/2018	#00011105680	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	29.58	100.0	0.00	29.58	29.58
04/01/2018	#00011105683	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	5.01	100.0	0.00	5.01	5.01
04/01/2018	#00093069040	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	5.07	100.0	0.00	5.07	5.07
04/01/2018	#00095884160	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	1.00	100.0	0.00	1.00	1.00
04/01/2018	#00097118440	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	2.47	100.0	0.00	2.47	2.47
04/01/2018	#00097118480	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	1.02	100.0	0.00	1.02	1.02
04/01/2018	#00012675680	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND - INV	0.00	2.02	100.0	0.00	2.02	2.02
04/01/2018	#00012675681	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND - INV	0.00	9.86	100.0	0.00	9.86	9.86
04/01/2018	#00670261596	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	6.56	100.0	0.00	6.56	6.56

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/01/2018	#00670270679	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	7.73	100.0	0.00	7.73	7.73
04/01/2018	#00670272548	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	4.70	100.0	0.00	4.70	4.70
04/01/2018	#00670312783	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	0.11	100.0	0.00	0.11	0.11
04/01/2018	#00670328691	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	36.78	100.0	0.00	36.78	36.78
04/01/2018	#00777008112	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	1.18	100.0	0.00	1.18	1.18
04/01/2018	#00777021027	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	3.89	100.0	0.00	3.89	3.89
04/01/2018	#04400087310	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	4.53	100.0	0.00	4.53	4.53
04/01/2018	#01000190434	VARIOUS HOUSE	INVESCO UTILITIES FUND - CLASS C	0.00	3.59	100.0	0.00	3.59	3.59
04/01/2018	#00098021830	VARIOUS HOUSE	INVESCO UTILITIES FUND - INVESTOR C	0.00	14.44	100.0	0.00	14.44	14.44
04/01/2018	#00777066860	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	17.34	100.0	0.00	17.34	17.34
04/01/2018	#01001311198	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	2.51	100.0	0.00	2.51	2.51
04/01/2018	#03809509833	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	1.47	100.0	0.00	1.47	1.47
04/01/2018	#03809670312	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	30.84	100.0	0.00	30.84	30.84
04/01/2018	#03810674295	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	2.40	100.0	0.00	2.40	2.40
04/01/2018	#04039748928	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	7.05	100.0	0.00	7.05	7.05
04/01/2018	#05018554864	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	2.77	100.0	0.00	2.77	2.77
04/01/2018	#05053697941	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	6.58	100.0	0.00	6.58	6.58
04/01/2018	#05053697990	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	6.99	100.0	0.00	6.99	6.99
04/01/2018	#05057015314	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	18.09	100.0	0.00	18.09	18.09
04/01/2018	#06900160418	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	0.74	100.0	0.00	0.74	0.74
04/01/2018	#06900160093	VARIOUS HOUSE	INVESCO VAN KAMPEN COMSTOCK FUND CL	0.00	2.00	100.0	0.00	2.00	2.00
04/01/2018	#00005943040	VARIOUS HOUSE	INVESCO VAN KAMPEN CORPORATE CLASS	0.00	57.30	100.0	0.00	57.30	57.30
04/01/2018	#00012675680	VARIOUS HOUSE	INVESCO VAN KAMPEN CORPORATE CLASS	0.00	4.66	100.0	0.00	4.66	4.66
04/01/2018	#00012675681	VARIOUS HOUSE	INVESCO VAN KAMPEN CORPORATE CLASS	0.00	22.40	100.0	0.00	22.40	22.40
04/01/2018	#00007957462	VARIOUS HOUSE	INVESTCO ASIA PACIFIC GROWTH	0.00	5.02	100.0	0.00	5.02	5.02
04/01/2018	#00008570580	VARIOUS HOUSE	INVESTCO ASIA PACIFIC GROWTH	0.00	95.78	100.0	0.00	95.78	95.78
04/01/2018	#00007957462	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	16.64	100.0	0.00	16.64	16.64
04/01/2018	#00011273660	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	138.82	100.0	0.00	138.82	138.82
04/01/2018	#00090678760	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	7.39	100.0	0.00	7.39	7.39
04/01/2018	#00090762300	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	8.34	100.0	0.00	8.34	8.34
04/01/2018	#00091636200	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	23.11	100.0	0.00	23.11	23.11
04/01/2018	#00092032461	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	46.48	100.0	0.00	46.48	46.48
04/01/2018	#00097126440	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	22.26	100.0	0.00	22.26	22.26
04/01/2018	#00818790926	ORTOLI, COLLEEN	MASSACHUSETTS INVESTORS GROWTH STOC	0.00	6.63	100.0	0.00	6.63	6.63
04/01/2018	#08188423131	ELLEGAARD	MASSACHUSETTS INVESTORS TRUST-CL A	0.00	13.45	100.0	0.00	13.45	13.45
04/01/2018	#08188363436	GOETTSCHE,	MFS CORE EQUITY FD CL A	0.00	5.30	100.0	0.00	5.30	5.30

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/01/2018	#00060460856	WILLIAMS, MFS EMERGING MARKETS EQUITY CL A	0.00	12.18	100.0	0.00	12.18	0.00	12.18
04/01/2018	#08191201332	WILLIS, MELANIE MFS EMERGING MARKETS EQUITY CL A	0.00	0.10	100.0	0.00	0.10	0.00	0.10
04/01/2018	#08185496308	HOLLE, RAYMOND MFS GLOBAL TOTAL RETURN FUND-A	0.00	20.65	100.0	0.00	20.65	0.00	20.65
04/01/2018	#00060364285	ASSOCIATES SEP MFS GROWTH FUND CL A	0.00	7.27	100.0	0.00	7.27	0.00	7.27
04/01/2018	#00818790927	ORTOLI, UMBERTO MFS GROWTH FUND CL A	0.00	6.01	100.0	0.00	6.01	0.00	6.01
04/01/2018	#08185496304	HOLLE, RAYMOND MFS GROWTH FUND CL A	0.00	31.75	100.0	0.00	31.75	0.00	31.75
04/01/2018	#00000951952	LANG, MARTHA MFS MID CAP GROWTH FUND-A	0.00	2.29	100.0	0.00	2.29	0.00	2.29
04/01/2018	#08188363432	GOETTSCHKE, MFS MID CAP GROWTH FUND-A	0.00	4.20	100.0	0.00	4.20	0.00	4.20
04/01/2018	#08191201332	WILLIS, MELANIE MFS MID CAP VALUE FUND-A	0.00	0.48	100.0	0.00	0.48	0.00	0.48
04/01/2018	#00060118943	MATSON, JAMES MFS MUNICIPAL INCOME FUND CL A	0.00	12.30	100.0	0.00	12.30	0.00	12.30
04/01/2018	#08188215322	PRECISION SEP MFS NEW DISCOVERY FUND-A	0.00	2.23	100.0	0.00	2.23	0.00	2.23
04/01/2018	#08188363428	GOETTSCHKE, MFS NEW DISCOVERY FUND-A	0.00	5.55	100.0	0.00	5.55	0.00	5.55
04/01/2018	#00060225535	STILEKRISL MFS RESEARCH FUND-CL A	0.00	71.88	100.0	0.00	71.88	0.00	71.88
04/01/2018	#00060273077	MARCH, KATHLEEN MFS RESEARCH FUND-CL A	0.00	13.40	100.0	0.00	13.40	0.00	13.40
04/01/2018	#00060459741	WILLIAMS, MFS RESEARCH FUND-CL A	0.00	16.43	100.0	0.00	16.43	0.00	16.43
04/01/2018	#08188363424	GOETTSCHKE, MFS RESEARCH INTERNATIONAL FUND-A	0.00	4.14	100.0	0.00	4.14	0.00	4.14
04/01/2018	#00060273786	MARCH, KATHLEEN MFS TOTAL RETURN FUND-A	0.00	2.26	100.0	0.00	2.26	0.00	2.26
04/01/2018	#00060274030	MARCH, KATHLEEN MFS TOTAL RETURN FUND-A	0.00	13.61	100.0	0.00	13.61	0.00	13.61
04/01/2018	#00060305487	SCHMITT, ROGER MFS TOTAL RETURN FUND-A	0.00	40.82	100.0	0.00	40.82	0.00	40.82
04/01/2018	#00060612816	PLUMBING MFS TOTAL RETURN FUND-A	0.00	5.66	100.0	0.00	5.66	0.00	5.66
04/01/2018	#00090147148	WILLIAMS, MFS TOTAL RETURN FUND-A	0.00	0.14	100.0	0.00	0.14	0.00	0.14
04/01/2018	#08185496308	HOLLE, RAYMOND MFS TOTAL RETURN FUND-A	0.00	21.61	100.0	0.00	21.61	0.00	21.61
04/01/2018	#00060225535	STILEKRISL MFS UTILITIES FUND-CL A	0.00	29.31	100.0	0.00	29.31	0.00	29.31
04/01/2018	#00060460370	WILLIAMS, MFS UTILITIES FUND-CL A	0.00	17.96	100.0	0.00	17.96	0.00	17.96
04/01/2018	#00090051384	UGMA MFS UTILITIES FUND-CL A	0.00	1.06	100.0	0.00	1.06	0.00	1.06
04/01/2018	#08191201332	WILLIS, MELANIE MFS UTILITIES FUND-CL A	0.00	0.28	100.0	0.00	0.28	0.00	0.28
04/01/2018	#00060274220	MARCH, KATHLEEN MFS VALUE FUND A	0.00	19.79	100.0	0.00	19.79	0.00	19.79
04/01/2018	#00060613023	PLUMBING MFS VALUE FUND A	0.00	6.02	100.0	0.00	6.02	0.00	6.02
04/02/2018	#VR02020599	694474883	0.00	4.13	100.0	0.00	4.13	0.00	4.13
04/02/2018	#00471839998	GREENE, IRENE PUTNAM CALIFORNIA TAX EXEMPT INCOME	0.00	24.28	100.0	0.00	24.28	0.00	24.28
04/02/2018	#00414619550	PLAN ERBS PUTNAM MULTI CAP GROWTH FUND CL A	0.00	9.18	100.0	0.00	9.18	0.00	9.18
04/02/2018	#00494024069	PLAN ERBS PUTNAM MULTI CAP GROWTH FUND CL A	0.00	1.86	100.0	0.00	1.86	0.00	1.86
04/03/2018	#07500259493	PIIONEER 723556106	0.00	54.97	100.0	0.00	54.97	0.00	54.97
04/03/2018	#07516602827	PIIONEER 723556106	0.00	45.76	100.0	0.00	45.76	0.00	45.76
04/03/2018	#07516602845	PIIONEER 723556106	0.00	6.88	100.0	0.00	6.88	0.00	6.88
04/03/2018	#07516602916	PIIONEER 723556106	0.00	3.61	100.0	0.00	3.61	0.00	3.61

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/03/2018	#07516603206	PIONEER 723556106	0.00	3.49	100.0	0.00	3.49	0.00	3.49
04/03/2018	#07500260338	PIONEER 723556403	0.00	1.40	100.0	0.00	1.40	0.00	1.40
04/03/2018	#07500260479	PIONEER 723556403	0.00	2.68	100.0	0.00	2.68	0.00	2.68
04/03/2018	#07500261920	PIONEER 723556403	0.00	11.17	100.0	0.00	11.17	0.00	11.17
04/03/2018	#07516902726	PIONEER 723556403	0.00	1.32	100.0	0.00	1.32	0.00	1.32
04/03/2018	#07516904679	PIONEER 723556403	0.00	17.80	100.0	0.00	17.80	0.00	17.80
04/03/2018	#07500234422	PIONEER 723622304	0.00	0.19	100.0	0.00	0.19	0.00	0.19
04/03/2018	#07500222323	PIONEER 72366V108	0.00	6.48	100.0	0.00	6.48	0.00	6.48
04/03/2018	#07500259737	PIONEER 72366V108	0.00	28.34	100.0	0.00	28.34	0.00	28.34
04/03/2018	#07501100159	PIONEER 72366V108	0.00	88.33	100.0	0.00	88.33	0.00	88.33
04/03/2018	#07501100234	PIONEER 72366V108	0.00	44.37	100.0	0.00	44.37	0.00	44.37
04/03/2018	#07501100414	PIONEER 72366V108	0.00	7.78	100.0	0.00	7.78	0.00	7.78
04/03/2018	#07501100585	PIONEER 72366V108	0.00	85.16	100.0	0.00	85.16	0.00	85.16
04/03/2018	#07501100944	PIONEER 72366V108	0.00	0.05	100.0	0.00	0.05	0.00	0.05
04/03/2018	#07501101298	PIONEER 72366V108	0.00	5.69	100.0	0.00	5.69	0.00	5.69
04/03/2018	#07501102060	PIONEER 72366V108	0.00	1.53	100.0	0.00	1.53	0.00	1.53
04/03/2018	#07501102566	PIONEER 72366V108	0.00	23.48	100.0	0.00	23.48	0.00	23.48
04/03/2018	#07501102829	PIONEER 72366V108	0.00	125.87	100.0	0.00	125.87	0.00	125.87
04/03/2018	#07504901245	PIONEER 72366V306	0.00	3.01	100.0	0.00	3.01	0.00	3.01
04/03/2018	#00621176582	PIONEER 723682308	0.00	35.25	100.0	0.00	35.25	0.00	35.25
04/03/2018	#07504101108	PIONEER 723682308	0.00	30.33	100.0	0.00	30.33	0.00	30.33
04/03/2018	#07504101236	PIONEER 723682308	0.00	143.36	100.0	0.00	143.36	0.00	143.36
04/03/2018	#00621110802	PIONEER 72369B109	0.00	33.15	100.0	0.00	33.15	0.00	33.15
04/03/2018	#00621116663	PIONEER 72369B109	0.00	2.47	100.0	0.00	2.47	0.00	2.47
04/03/2018	#07500219897	PIONEER 72369B109	0.00	8.05	100.0	0.00	8.05	0.00	8.05
04/03/2018	#07500229361	PIONEER 72369B109	0.00	16.83	100.0	0.00	16.83	0.00	16.83
04/03/2018	#07500257059	PIONEER 72369B109	0.00	0.74	100.0	0.00	0.74	0.00	0.74
04/03/2018	#07500257375	PIONEER 72369B109	0.00	1.73	100.0	0.00	1.73	0.00	1.73
04/03/2018	#07500260071	PIONEER 72369B109	0.00	7.73	100.0	0.00	7.73	0.00	7.73
04/03/2018	#07500261681	PIONEER 72369B109	0.00	15.04	100.0	0.00	15.04	0.00	15.04
04/03/2018	#07501900056	PIONEER 72369B109	0.00	27.85	100.0	0.00	27.85	0.00	27.85
04/03/2018	#07501900570	PIONEER 72369B109	0.00	1.79	100.0	0.00	1.79	0.00	1.79
04/03/2018	#07501901077	PIONEER 72369B109	0.00	5.90	100.0	0.00	5.90	0.00	5.90
04/03/2018	#07501901320	PIONEER 72369B109	0.00	8.60	100.0	0.00	8.60	0.00	8.60
04/03/2018	#07501903278	PIONEER 72369B109	0.00	1.50	100.0	0.00	1.50	0.00	1.50
04/03/2018	#04030012159	PIONEER 723709101	0.00	28.54	100.0	0.00	28.54	0.00	28.54

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/03/2018	#07500222322	PIONEER 723709101	0.00	3.22	100.0	0.00	3.22	0.00	3.22
04/03/2018	#07500260760	PIONEER 723709101	0.00	10.20	100.0	0.00	10.20	0.00	10.20
04/03/2018	#07500702384	PIONEER 723709101	0.00	7.32	100.0	0.00	7.32	0.00	7.32
04/03/2018	#07500702399	PIONEER 723709101	0.00	16.90	100.0	0.00	16.90	0.00	16.90
04/03/2018	#07500702465	PIONEER 723709101	0.00	0.02	100.0	0.00	0.02	0.00	0.02
04/03/2018	#07500702532	PIONEER 723709101	0.00	0.98	100.0	0.00	0.98	0.00	0.98
04/03/2018	#07504500529	PIONEER 723709309	0.00	36.89	100.0	0.00	36.89	0.00	36.89
04/03/2018	#07504500602	PIONEER 723709309	0.00	16.85	100.0	0.00	16.85	0.00	16.85
04/03/2018	#00621117401	PIONEER 72375Q306	0.00	65.72	100.0	0.00	65.72	0.00	65.72
04/03/2018	#07504800414	PIONEER 72375Q306	0.00	8.81	100.0	0.00	8.81	0.00	8.81
04/03/2018	#00621117401	PIONEER 723848305	0.00	56.96	100.0	0.00	56.96	0.00	56.96
04/03/2018	#07505000161	PIONEER 723848305	0.00	7.82	100.0	0.00	7.82	0.00	7.82
04/03/2018	#07505000434	PIONEER 723848305	0.00	22.53	100.0	0.00	22.53	0.00	22.53
04/03/2018	#07505000679	PIONEER 723848305	0.00	7.27	100.0	0.00	7.27	0.00	7.27
04/03/2018	#07523400543	PIONEER 72387N887	0.00	11.97	100.0	0.00	11.97	0.00	11.97
04/03/2018	#07523401396	PIONEER 72387N887	0.00	23.35	100.0	0.00	23.35	0.00	23.35
04/03/2018	#07523401414	PIONEER 72387N887	0.00	9.74	100.0	0.00	9.74	0.00	9.74
04/03/2018	#07523401468	PIONEER 72387N887	0.00	38.08	100.0	0.00	38.08	0.00	38.08
04/03/2018	#00621124290	PIONEER 72387P106	0.00	56.18	100.0	0.00	56.18	0.00	56.18
04/03/2018	#07521100956	PIONEER 72387P106	0.00	46.32	100.0	0.00	46.32	0.00	46.32
04/03/2018	#07521101043	PIONEER 72387P106	0.00	5.34	100.0	0.00	5.34	0.00	5.34
04/03/2018	#07521101147	PIONEER 72387P106	0.00	11.60	100.0	0.00	11.60	0.00	11.60
04/03/2018	#07521101152	PIONEER 72387P106	0.00	15.16	100.0	0.00	15.16	0.00	15.16
04/03/2018	#07521300431	PIONEER 72387P304	0.00	8.93	100.0	0.00	8.93	0.00	8.93
04/03/2018	#07521300542	PIONEER 72387P304	0.00	163.98	100.0	0.00	163.98	0.00	163.98
04/03/2018	#07521300590	PIONEER 72387P304	0.00	82.54	100.0	0.00	82.54	0.00	82.54
04/03/2018	#07521300643	PIONEER 72387P304	0.00	173.56	100.0	0.00	173.56	0.00	173.56
04/03/2018	#00621110821	PIONEER 72387S407	0.00	3.56	100.0	0.00	3.56	0.00	3.56
04/03/2018	#00621117401	PIONEER 72387W606	0.00	73.60	100.0	0.00	73.60	0.00	73.60
04/03/2018	#07518100078	PIONEER 72387W606	0.00	5.70	100.0	0.00	5.70	0.00	5.70
04/03/2018	#07518101040	PIONEER 72387W606	0.00	9.85	100.0	0.00	9.85	0.00	9.85
04/03/2018	#07518101345	PIONEER 72387W606	0.00	74.13	100.0	0.00	74.13	0.00	74.13
04/03/2018	#07518101871	PIONEER 72387W606	0.00	505.04	100.0	0.00	505.04	0.00	505.04
04/03/2018	#07518102158	PIONEER 72387W606	0.00	0.12	100.0	0.00	0.12	0.00	0.12
04/03/2018	#07518102218	PIONEER 72387W606	0.00	81.48	100.0	0.00	81.48	0.00	81.48
04/03/2018	#07500234429	PIONEER 72387W887	0.00	0.19	100.0	0.00	0.19	0.00	0.19

COMMISSION STATEMENT for LPFS HOUSE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/03/2018	#07518500023	PIONEER 72387W887	0.00	0.06	100.0	0.00	0.06	0.00	0.06
04/03/2018	#07523601104	PIONEER 723922308	0.00	0.26	100.0	0.00	0.26	0.00	0.26
04/03/2018	#07523601710	PIONEER 723922308	0.00	3.09	100.0	0.00	3.09	0.00	3.09
04/03/2018	#07523601953	PIONEER 723922308	0.00	49.40	100.0	0.00	49.40	0.00	49.40
04/03/2018	#07500221286	PIONEER 724010103	0.00	3.50	100.0	0.00	3.50	0.00	3.50
04/03/2018	#07500400372	PIONEER 724010103	0.00	6.89	100.0	0.00	6.89	0.00	6.89
04/03/2018	#07500400380	PIONEER 724010103	0.00	14.08	100.0	0.00	14.08	0.00	14.08
04/03/2018	#07500400871	PIONEER 724010103	0.00	0.80	100.0	0.00	0.80	0.00	0.80
04/03/2018	#07500401403	PIONEER 724010103	0.00	1.38	100.0	0.00	1.38	0.00	1.38
04/03/2018	#00621116663	PIONEER BOND FUND A	0.00	1.99	100.0	0.00	1.99	0.00	1.99
04/03/2018	#04030012065	PIONEER BOND FUND A	0.00	0.06	100.0	0.00	0.06	0.00	0.06
04/03/2018	#04030012295	PIONEER BOND FUND A	0.00	4.76	100.0	0.00	4.76	0.00	4.76
04/03/2018	#07500210439	PIONEER BOND FUND A	0.00	35.62	100.0	0.00	35.62	0.00	35.62
04/03/2018	#07500221287	PIONEER BOND FUND A	0.00	2.85	100.0	0.00	2.85	0.00	2.85
04/03/2018	#07500222321	PIONEER BOND FUND A	0.00	3.03	100.0	0.00	3.03	0.00	3.03
04/03/2018	#07500229358	PIONEER BOND FUND A	0.00	9.26	100.0	0.00	9.26	0.00	9.26
04/03/2018	#07500260438	PIONEER BOND FUND A	0.00	21.09	100.0	0.00	21.09	0.00	21.09
04/03/2018	#07500500222	PIONEER BOND FUND A	0.00	18.12	100.0	0.00	18.12	0.00	18.12
04/03/2018	#07500500388	PIONEER BOND FUND A	0.00	2.47	100.0	0.00	2.47	0.00	2.47
04/03/2018	#07500500567	PIONEER BOND FUND A	0.00	35.68	100.0	0.00	35.68	0.00	35.68
04/03/2018	#07500500576 EWELLGARYD	PIONEER BOND FUND A	0.00	62.62	100.0	0.00	62.62	0.00	62.62
04/03/2018	#07500501332	PIONEER BOND FUND A	0.00	18.96	100.0	0.00	18.96	0.00	18.96
04/03/2018	#07500502474	PIONEER BOND FUND A	0.00	5.74	100.0	0.00	5.74	0.00	5.74
04/03/2018	#07500503200	PIONEER BOND FUND A	0.00	1.66	100.0	0.00	1.66	0.00	1.66
04/03/2018	#04030012159	PIONEER DISCIPLINED VALUE FD CL A	0.00	85.70	100.0	0.00	85.70	0.00	85.70
04/03/2018	#07500260096	PIONEER DISCIPLINED VALUE FD CL A	0.00	4.19	100.0	0.00	4.19	0.00	4.19
04/03/2018	#07500262210	PIONEER DISCIPLINED VALUE FD CL A	0.00	1.78	100.0	0.00	1.78	0.00	1.78
04/03/2018	#07520500046	PIONEER DISCIPLINED VALUE FD CL A	0.00	0.05	100.0	0.00	0.05	0.00	0.05
04/03/2018	#07520501109	PIONEER DISCIPLINED VALUE FD CL A	0.00	2.34	100.0	0.00	2.34	0.00	2.34
04/03/2018	#07520503890	PIONEER DISCIPLINED VALUE FD CL A	0.00	1.75	100.0	0.00	1.75	0.00	1.75
04/03/2018	#00621116663	PIONEER FUND INC-CL A	0.00	3.82	100.0	0.00	3.82	0.00	3.82
04/03/2018	#07500221285	PIONEER FUND INC-CL A	0.00	3.28	100.0	0.00	3.28	0.00	3.28
04/03/2018	#07500222320	PIONEER FUND INC-CL A	0.00	5.41	100.0	0.00	5.41	0.00	5.41
04/03/2018	#07500256962	PIONEER FUND INC-CL A	0.00	2.27	100.0	0.00	2.27	0.00	2.27
04/03/2018	#07500256963	PIONEER FUND INC-CL A	0.00	9.53	100.0	0.00	9.53	0.00	9.53
04/03/2018	#07500260035	PIONEER FUND INC-CL A	0.00	4.18	100.0	0.00	4.18	0.00	4.18

COMMISSION STATEMENT for LPFS HOUSE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/03/2018	#07500260676	PIONEER FUND INC-CL A	0.00	19.20	100.0	0.00	19.20	0.00	19.20
04/03/2018	#07500300039	PIONEER FUND INC-CL A	0.00	34.95	100.0	0.00	34.95	0.00	34.95
04/03/2018	#07500300453	PIONEER FUND INC-CL A	0.00	76.66	100.0	0.00	76.66	0.00	76.66
04/03/2018	#07500300668	PIONEER FUND INC-CL A	0.00	39.44	100.0	0.00	39.44	0.00	39.44
04/03/2018	#07500300761	PIONEER FUND INC-CL A	0.00	118.90	100.0	0.00	118.90	0.00	118.90
04/03/2018	#07500301257	PIONEER FUND INC-CL A	0.00	3.27	100.0	0.00	3.27	0.00	3.27
04/03/2018	#07500301270	PIONEER FUND INC-CL A	0.00	11.49	100.0	0.00	11.49	0.00	11.49
04/03/2018	#07500301577	PIONEER FUND INC-CL A	0.00	13.36	100.0	0.00	13.36	0.00	13.36
04/03/2018	#07500305283	PIONEER FUND INC-CL A	0.00	1.09	100.0	0.00	1.09	0.00	1.09
04/03/2018	#07500306321	PIONEER FUND INC-CL A	0.00	6.19	100.0	0.00	6.19	0.00	6.19
04/03/2018	#07500307483	PIONEER FUND INC-CL A	0.00	1.53	100.0	0.00	1.53	0.00	1.53
04/03/2018	#07522900171	PIONEER FUNDAMENTAL GROWTH	0.00	126.20	100.0	0.00	126.20	0.00	126.20
04/03/2018	#07522900172	PIONEER FUNDAMENTAL GROWTH	0.00	10.55	100.0	0.00	10.55	0.00	10.55
04/03/2018	#07522900858	PIONEER FUNDAMENTAL GROWTH	0.00	0.84	100.0	0.00	0.84	0.00	0.84
04/03/2018	#07522901129	PIONEER FUNDAMENTAL GROWTH	0.00	0.03	100.0	0.00	0.03	0.00	0.03
04/03/2018	#07522901214	PIONEER FUNDAMENTAL GROWTH	0.00	11.95	100.0	0.00	11.95	0.00	11.95
04/03/2018	#07522901874	PIONEER FUNDAMENTAL GROWTH	0.00	11.73	100.0	0.00	11.73	0.00	11.73
04/03/2018	#07522902568	PIONEER FUNDAMENTAL GROWTH	0.00	9.99	100.0	0.00	9.99	0.00	9.99
04/03/2018	#07523100061	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	82.71	100.0	0.00	82.71	0.00	82.71
04/03/2018	#07523100228	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	6.11	100.0	0.00	6.11	0.00	6.11
04/03/2018	#07523100229	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	237.61	100.0	0.00	237.61	0.00	237.61
04/03/2018	#07523100252	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	16.61	100.0	0.00	16.61	0.00	16.61
04/03/2018	#07523100375	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	84.66	100.0	0.00	84.66	0.00	84.66
04/03/2018	#07523100600	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	3.03	100.0	0.00	3.03	0.00	3.03
04/03/2018	#07500234430	PIONEER FUNDAMENTAL VALUE FD CL C	0.00	0.34	100.0	0.00	0.34	0.00	0.34
04/03/2018	#07520600559	PIONEER FUNDAMENTAL VALUE FD CL C	0.00	0.24	100.0	0.00	0.24	0.00	0.24
04/03/2018	#04030012112	PIONEER GLOBAL EQUITY FUND A	0.00	0.48	100.0	0.00	0.48	0.00	0.48
04/03/2018	#04030012159	PIONEER GLOBAL EQUITY FUND A	0.00	24.41	100.0	0.00	24.41	0.00	24.41
04/03/2018	#04030012295	PIONEER GLOBAL EQUITY FUND A	0.00	5.60	100.0	0.00	5.60	0.00	5.60
04/03/2018	#07500260806	PIONEER GLOBAL EQUITY FUND A	0.00	10.25	100.0	0.00	10.25	0.00	10.25
04/03/2018	#07523201638	PIONEER GLOBAL EQUITY FUND A	0.00	2.16	100.0	0.00	2.16	0.00	2.16
04/03/2018	#07523201691	PIONEER GLOBAL EQUITY FUND A	0.00	0.06	100.0	0.00	0.06	0.00	0.06
04/03/2018	#07523201733	PIONEER GLOBAL EQUITY FUND A	0.00	2.57	100.0	0.00	2.57	0.00	2.57
04/03/2018	#07523201851	PIONEER GLOBAL EQUITY FUND A	0.00	1.43	100.0	0.00	1.43	0.00	1.43
04/03/2018	#07523204172	PIONEER GLOBAL EQUITY FUND A	0.00	62.12	100.0	0.00	62.12	0.00	62.12
04/03/2018	#07523204205	PIONEER GLOBAL EQUITY FUND A	0.00	1.66	100.0	0.00	1.66	0.00	1.66

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/03/2018	#07523204217	PIONEER GLOBAL EQUITY FUND A	0.00	1.45	100.0	0.00	1.45	0.00	1.45
04/03/2018	#07523204218	PIONEER GLOBAL EQUITY FUND A	0.00	2.47	100.0	0.00	2.47	0.00	2.47
04/03/2018	#07523204223	PIONEER GLOBAL EQUITY FUND A	0.00	3.51	100.0	0.00	3.51	0.00	3.51
04/03/2018	#07523204246	PIONEER GLOBAL EQUITY FUND A	0.00	0.70	100.0	0.00	0.70	0.00	0.70
04/03/2018	#07523204253	PIONEER GLOBAL EQUITY FUND A	0.00	18.87	100.0	0.00	18.87	0.00	18.87
04/03/2018	#07523204254	PIONEER GLOBAL EQUITY FUND A	0.00	2.54	100.0	0.00	2.54	0.00	2.54
04/03/2018	#07523204255	PIONEER GLOBAL EQUITY FUND A	0.00	2.78	100.0	0.00	2.78	0.00	2.78
04/03/2018	#07523204262 EWELLGARYD	PIONEER GLOBAL EQUITY FUND A	0.00	66.08	100.0	0.00	66.08	0.00	66.08
04/03/2018	#07523204285	PIONEER GLOBAL EQUITY FUND A	0.00	0.66	100.0	0.00	0.66	0.00	0.66
04/03/2018	#07523204340	PIONEER GLOBAL EQUITY FUND A	0.00	10.62	100.0	0.00	10.62	0.00	10.62
04/03/2018	#07523204357	PIONEER GLOBAL EQUITY FUND A	0.00	1.64	100.0	0.00	1.64	0.00	1.64
04/03/2018	#07523204416	PIONEER GLOBAL EQUITY FUND A	0.00	3.22	100.0	0.00	3.22	0.00	3.22
04/03/2018	#07500261695	PIONEER GLOBAL HIGH YIELD FD CL A	0.00	7.43	100.0	0.00	7.43	0.00	7.43
04/03/2018	#07500261711	PIONEER GLOBAL HIGH YIELD FD CL A	0.00	13.86	100.0	0.00	13.86	0.00	13.86
04/03/2018	#07502300721	PIONEER GLOBAL HIGH YIELD FD CL A	0.00	5.12	100.0	0.00	5.12	0.00	5.12
04/03/2018	#07502300799	PIONEER GLOBAL HIGH YIELD FD CL A	0.00	1.43	100.0	0.00	1.43	0.00	1.43
04/03/2018	#07500234426	PIONEER GLOBAL HIGH YIELD FD CL C	0.00	0.22	100.0	0.00	0.22	0.00	0.22
04/03/2018	#07505900251	PIONEER GLOBAL HIGH YIELD FD CL C	0.00	27.78	100.0	0.00	27.78	0.00	27.78
04/03/2018	#00621124290	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	56.83	100.0	0.00	56.83	0.00	56.83
04/03/2018	#00621256444	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	1.64	100.0	0.00	1.64	0.00	1.64
04/03/2018	#04030012019	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	9.34	100.0	0.00	9.34	0.00	9.34
04/03/2018	#04030012112	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	5.92	100.0	0.00	5.92	0.00	5.92
04/03/2018	#04030012295	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	15.05	100.0	0.00	15.05	0.00	15.05
04/03/2018	#07500257091	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	11.56	100.0	0.00	11.56	0.00	11.56
04/03/2018	#07517904598	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	18.10	100.0	0.00	18.10	0.00	18.10
04/03/2018	#07517904715	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	2.52	100.0	0.00	2.52	0.00	2.52
04/03/2018	#07517904737	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	3.47	100.0	0.00	3.47	0.00	3.47
04/03/2018	#07517904834	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	8.33	100.0	0.00	8.33	0.00	8.33
04/03/2018	#07517904985	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	0.06	100.0	0.00	0.06	0.00	0.06
04/03/2018	#07517905113	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	2.43	100.0	0.00	2.43	0.00	2.43
04/03/2018	#07517905140	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	10.09	100.0	0.00	10.09	0.00	10.09
04/03/2018	#07517905352	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	16.38	100.0	0.00	16.38	0.00	16.38
04/03/2018	#07517905542	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	1.97	100.0	0.00	1.97	0.00	1.97
04/03/2018	#07517907896	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	8.33	100.0	0.00	8.33	0.00	8.33
04/03/2018	#07517908019	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	10.50	100.0	0.00	10.50	0.00	10.50
04/03/2018	#07517908815	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	81.84	100.0	0.00	81.84	0.00	81.84

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/03/2018	#07517908875	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	7.53	100.0	0.00	7.53	0.00	7.53
04/03/2018	#07517908885	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	8.04	100.0	0.00	8.04	0.00	8.04
04/03/2018	#07517908892 EWELLGARYD	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	107.92	100.0	0.00	107.92	0.00	107.92
04/03/2018	#07517909848	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	66.43	100.0	0.00	66.43	0.00	66.43
04/03/2018	#07500234425	PIONEER HIGH YIELD FD CL C	0.00	0.23	100.0	0.00	0.23	0.00	0.23
04/03/2018	#00621110821	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	1.04	100.0	0.00	1.04	0.00	1.04
04/03/2018	#07504005448	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	15.48	100.0	0.00	15.48	0.00	15.48
04/03/2018	#07504005555	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	5.57	100.0	0.00	5.57	0.00	5.57
04/03/2018	#07504011460	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	4.41	100.0	0.00	4.41	0.00	4.41
04/03/2018	#07504011754	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	46.14	100.0	0.00	46.14	0.00	46.14
04/03/2018	#07504011949	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	10.25	100.0	0.00	10.25	0.00	10.25
04/03/2018	#00621256444	PIONEER MID CAP VALUE FUND A	0.00	0.39	100.0	0.00	0.39	0.00	0.39
04/03/2018	#04030012019	PIONEER MID CAP VALUE FUND A	0.00	7.70	100.0	0.00	7.70	0.00	7.70
04/03/2018	#07500219895	PIONEER MID CAP VALUE FUND A	0.00	4.86	100.0	0.00	4.86	0.00	4.86
04/03/2018	#07500257006	PIONEER MID CAP VALUE FUND A	0.00	1.45	100.0	0.00	1.45	0.00	1.45
04/03/2018	#07500259724	PIONEER MID CAP VALUE FUND A	0.00	11.35	100.0	0.00	11.35	0.00	11.35
04/03/2018	#07500260048	PIONEER MID CAP VALUE FUND A	0.00	12.40	100.0	0.00	12.40	0.00	12.40
04/03/2018	#07500260681	PIONEER MID CAP VALUE FUND A	0.00	2.67	100.0	0.00	2.67	0.00	2.67
04/03/2018	#07501020807	PIONEER MID CAP VALUE FUND A	0.00	0.04	100.0	0.00	0.04	0.00	0.04
04/03/2018	#07501021670	PIONEER MID CAP VALUE FUND A	0.00	1.46	100.0	0.00	1.46	0.00	1.46
04/03/2018	#07501022022	PIONEER MID CAP VALUE FUND A	0.00	4.98	100.0	0.00	4.98	0.00	4.98
04/03/2018	#07501022901	PIONEER MID CAP VALUE FUND A	0.00	1.72	100.0	0.00	1.72	0.00	1.72
04/03/2018	#07501024021	PIONEER MID CAP VALUE FUND A	0.00	13.99	100.0	0.00	13.99	0.00	13.99
04/03/2018	#07501024982	PIONEER MID CAP VALUE FUND A	0.00	2.74	100.0	0.00	2.74	0.00	2.74
04/03/2018	#04030012019	PIONEER REAL ESTATE SHARES A	0.00	16.48	100.0	0.00	16.48	0.00	16.48
04/03/2018	#07500229359	PIONEER REAL ESTATE SHARES A	0.00	19.33	100.0	0.00	19.33	0.00	19.33
04/03/2018	#07501200014	PIONEER REAL ESTATE SHARES A	0.00	7.71	100.0	0.00	7.71	0.00	7.71
04/03/2018	#07501200195	PIONEER REAL ESTATE SHARES A	0.00	2.54	100.0	0.00	2.54	0.00	2.54
04/03/2018	#07501200420	PIONEER REAL ESTATE SHARES A	0.00	9.69	100.0	0.00	9.69	0.00	9.69
04/03/2018	#07501200569	PIONEER REAL ESTATE SHARES A	0.00	13.94	100.0	0.00	13.94	0.00	13.94
04/03/2018	#07501200670 EWELLGARYD	PIONEER REAL ESTATE SHARES A	0.00	80.30	100.0	0.00	80.30	0.00	80.30
04/03/2018	#07501200864	PIONEER REAL ESTATE SHARES A	0.00	0.08	100.0	0.00	0.08	0.00	0.08
04/03/2018	#07501201451	PIONEER REAL ESTATE SHARES A	0.00	2.11	100.0	0.00	2.11	0.00	2.11
04/03/2018	#07501201932	PIONEER REAL ESTATE SHARES A	0.00	1.00	100.0	0.00	1.00	0.00	1.00
04/03/2018	#07501202084	PIONEER REAL ESTATE SHARES A	0.00	1.51	100.0	0.00	1.51	0.00	1.51
04/03/2018	#07501202673	PIONEER REAL ESTATE SHARES A	0.00	5.09	100.0	0.00	5.09	0.00	5.09

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/03/2018	#07501202827	PIONEER REAL ESTATE SHARES A	0.00	7.88	100.0	0.00	7.88	0.00	7.88
04/03/2018	#07518300167	PIONEER SER TR II AMT FREE MUNICIPA	0.00	1.22	100.0	0.00	1.22	0.00	1.22
04/03/2018	#07500260179	PIONEER SHORT TERM INCOME FUND A	0.00	6.71	100.0	0.00	6.71	0.00	6.71
04/03/2018	#00621110802	PIONEER STRATEGIC INCOME FD CL A	0.00	32.62	100.0	0.00	32.62	0.00	32.62
04/03/2018	#04030012065	PIONEER STRATEGIC INCOME FD CL A	0.00	0.07	100.0	0.00	0.07	0.00	0.07
04/03/2018	#04030012112	PIONEER STRATEGIC INCOME FD CL A	0.00	0.87	100.0	0.00	0.87	0.00	0.87
04/03/2018	#07500259755	PIONEER STRATEGIC INCOME FD CL A	0.00	20.21	100.0	0.00	20.21	0.00	20.21
04/03/2018	#07500260063	PIONEER STRATEGIC INCOME FD CL A	0.00	7.11	100.0	0.00	7.11	0.00	7.11
04/03/2018	#07500261575	PIONEER STRATEGIC INCOME FD CL A	0.00	4.91	100.0	0.00	4.91	0.00	4.91
04/03/2018	#07500261602	PIONEER STRATEGIC INCOME FD CL A	0.00	12.20	100.0	0.00	12.20	0.00	12.20
04/03/2018	#07501700392	PIONEER STRATEGIC INCOME FD CL A	0.00	2.65	100.0	0.00	2.65	0.00	2.65
04/03/2018	#07501700694	PIONEER STRATEGIC INCOME FD CL A	0.00	0.04	100.0	0.00	0.04	0.00	0.04
04/03/2018	#07501702261	PIONEER STRATEGIC INCOME FD CL A	0.00	4.80	100.0	0.00	4.80	0.00	4.80
04/03/2018	#07501702790	PIONEER STRATEGIC INCOME FD CL A	0.00	2.76	100.0	0.00	2.76	0.00	2.76
04/03/2018	#07500234424	PIONEER STRATEGIC INCOME FD CL C	0.00	0.12	100.0	0.00	0.12	0.00	0.12
04/03/2018	#07505501214	PIONEER STRATEGIC INCOME FD CL C	0.00	47.76	100.0	0.00	47.76	0.00	47.76
04/03/2018	#07505501277	PIONEER STRATEGIC INCOME FD CL C	0.00	83.04	100.0	0.00	83.04	0.00	83.04
04/04/2018	#07050080761	PIMCO TOTAL RETURN FUND-CL A	0.00	14.37	100.0	0.00	14.37	0.00	14.37
04/04/2018	#07050080761	PIMCO TOTAL RETURN FUND-CL C	0.00	1.14	100.0	0.00	1.14	0.00	1.14
04/04/2018	#07050080761	PIMCO TOTAL RETURN FUND-CL C	0.00	2.97	100.0	0.00	2.97	0.00	2.97
04/06/2018	#01468970379 VARIOUS HOUSE	FIDELITY ADV HIGH INCOME-CL A	0.00	25.03	100.0	0.00	25.03	0.00	25.03
04/06/2018	#VM15002829	69448B191	0.00	149.07	100.0	0.00	149.07	0.00	149.07
04/06/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	1.42	100.0	0.00	1.42	0.00	1.42
04/06/2018	# MCNARY, JALEESA	DAIN TRAILER	0.00	0.13	100.0	0.00	0.13	0.00	0.13
04/06/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	5.14	100.0	0.00	5.14	0.00	5.14
04/06/2018	#00700876071 VARIOUS HOUSE	FA 529 COLLEGE PORT-CL D	0.00	2.04	100.0	0.00	2.04	0.00	2.04
04/06/2018	#00511602831 VARIOUS HOUSE	FA ADVISOR SHORT TERM BOND FUND	0.00	4.88	100.0	0.00	4.88	0.00	4.88
04/06/2018	#01468970379 VARIOUS HOUSE	FA MUNI INCOME A	0.00	0.85	100.0	0.00	0.85	0.00	0.85
04/06/2018	#01468970379 VARIOUS HOUSE	FA MUNI INCOME C	0.00	2.75	100.0	0.00	2.75	0.00	2.75
04/06/2018	#01468970379 VARIOUS HOUSE	FA REAL ESTATE INCOME FUND	0.00	90.69	100.0	0.00	90.69	0.00	90.69
04/06/2018	#00500331723 VARIOUS HOUSE	FA SHORT TERM BOND C	0.00	1.34	100.0	0.00	1.34	0.00	1.34
04/06/2018	#00689683829 VARIOUS HOUSE	FIDELITY ADV BALANCED-CL T	0.00	0.49	100.0	0.00	0.49	0.00	0.49
04/06/2018	#00501330088 VARIOUS HOUSE	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.96	100.0	0.00	0.96	0.00	0.96
04/06/2018	#00501330088 VARIOUS HOUSE	FIDELITY ADV COMM EQUIPMENT-CL A	0.00	0.22	100.0	0.00	0.22	0.00	0.22
04/06/2018	#00063440929 VARIOUS HOUSE	FIDELITY ADV EMERGING MRKTS INC-CL	0.00	0.84	100.0	0.00	0.84	0.00	0.84
04/06/2018	#00655923407 VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.82	100.0	0.00	0.82	0.00	0.82

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/06/2018	#00689433449	ARMAND, JUDITH	FIDELITY ADV EQUITY GROWTH-CL T	0.00	13.43	100.0	0.00	13.43	13.43
04/06/2018	#00689505493	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	19.53	100.0	0.00	19.53	19.53
04/06/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.49	100.0	0.00	0.49	0.49
04/06/2018	#00700332646	BAPTISTE, MARIE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.55	100.0	0.00	0.55	0.55
04/06/2018	#00700381551	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	3.66	100.0	0.00	3.66	3.66
04/06/2018	#00006803894	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	25.97	100.0	0.00	25.97	25.97
04/06/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	0.11	100.0	0.00	0.11	0.11
04/06/2018	#00689697233	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	1.19	100.0	0.00	1.19	1.19
04/06/2018	#00689698223	LORD, SUSAN	FIDELITY ADV EQUITY INCOME-CL A	0.00	1.20	100.0	0.00	1.20	1.20
04/06/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL T	0.00	2.38	100.0	0.00	2.38	2.38
04/06/2018	#00689848828		FIDELITY ADV EQUITY VALUE-CL A	0.00	17.64	100.0	0.00	17.64	17.64
04/06/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.35	100.0	0.00	0.35	0.35
04/06/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.35	100.0	0.00	0.35	0.35
04/06/2018	#00689698223	LORD, SUSAN	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.07	100.0	0.00	0.07	0.07
04/06/2018	#00689848828		FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.17	100.0	0.00	0.17	0.17
04/06/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.32	100.0	0.00	0.32	0.32
04/06/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADV FLOATING RATE HIGH INC	0.00	0.15	100.0	0.00	0.15	0.15
04/06/2018	#00065009839	VARIOUS HOUSE	FIDELITY ADV GOLD-CL A	0.00	2.31	100.0	0.00	2.31	2.31
04/06/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.12	100.0	0.00	0.12	0.12
04/06/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.27	100.0	0.00	0.27	0.27
04/06/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.25	100.0	0.00	0.25	0.25
04/06/2018	#00062804968	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	2.56	100.0	0.00	2.56	2.56
04/06/2018	#00062990338	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.14	100.0	0.00	0.14	0.14
04/06/2018	#00618796379	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	1.49	100.0	0.00	1.49	1.49
04/06/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.23	100.0	0.00	0.23	0.23
04/06/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.06	100.0	0.00	0.06	0.06
04/06/2018	#00063272603	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.14	100.0	0.00	0.14	0.14
04/06/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	1.84	100.0	0.00	1.84	1.84
04/06/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	1.84	100.0	0.00	1.84	1.84
04/06/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	3.38	100.0	0.00	3.38	3.38
04/06/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.60	100.0	0.00	0.60	0.60
04/06/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.59	100.0	0.00	0.59	0.59
04/06/2018	#00655979805	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.17	100.0	0.00	0.17	0.17
04/06/2018	#00689848828		FIDELITY ADV HEALTH CARE-CL A	0.00	0.35	100.0	0.00	0.35	0.35
04/06/2018	#00689853968	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL T	0.00	0.72	100.0	0.00	0.72	0.72
04/06/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADV HIGH INCOME	0.00	84.57	100.0	0.00	84.57	84.57

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/06/2018	#00510641756	VARIOUS HOUSE FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	1.29	100.0	0.00	1.29	0.00	1.29
04/06/2018	#00700381551	VARIOUS HOUSE FIDELITY ADV INTERMEDIATE BOND-CL T	0.00	0.19	100.0	0.00	0.19	0.00	0.19
04/06/2018	#00700467251	VARIOUS HOUSE FIDELITY ADV INTERMEDIATE BOND-CL T	0.00	1.12	100.0	0.00	1.12	0.00	1.12
04/06/2018	#00065009839	VARIOUS HOUSE FIDELITY ADV INTERNATIONAL VALUE-CL	0.00	0.48	100.0	0.00	0.48	0.00	0.48
04/06/2018	#00062778436	VARIOUS HOUSE FIDELITY ADV LARGE CAP-CL A	0.00	4.19	100.0	0.00	4.19	0.00	4.19
04/06/2018	#00689683829	VARIOUS HOUSE FIDELITY ADV LARGE CAP-CL T	0.00	0.54	100.0	0.00	0.54	0.00	0.54
04/06/2018	#00063440929	VARIOUS HOUSE FIDELITY ADV MID CAP-CL A	0.00	1.75	100.0	0.00	1.75	0.00	1.75
04/06/2018	#00064946619	VARIOUS HOUSE FIDELITY ADV MID CAP-CL A	0.00	0.44	100.0	0.00	0.44	0.00	0.44
04/06/2018	#00065098758	VARIOUS HOUSE FIDELITY ADV MID CAP-CL A	0.00	0.44	100.0	0.00	0.44	0.00	0.44
04/06/2018	#00501330088	VARIOUS HOUSE FIDELITY ADV MID CAP-CL A	0.00	0.21	100.0	0.00	0.21	0.00	0.21
04/06/2018	#00618264410	VARIOUS HOUSE FIDELITY ADV MID CAP-CL A	0.00	2.10	100.0	0.00	2.10	0.00	2.10
04/06/2018	#00655540011	LAMARRE, MARIE FIDELITY ADV MID CAP-CL A	0.00	9.21	100.0	0.00	9.21	0.00	9.21
04/06/2018	#00689697225	VARIOUS HOUSE FIDELITY ADV MID CAP-CL A	0.00	0.65	100.0	0.00	0.65	0.00	0.65
04/06/2018	#00700516297	VARIOUS HOUSE FIDELITY ADV MID CAP-CL A	0.00	0.03	100.0	0.00	0.03	0.00	0.03
04/06/2018	#00700431885	VARIOUS HOUSE FIDELITY ADV MID CAP-CL T	0.00	19.56	100.0	0.00	19.56	0.00	19.56
04/06/2018	#01487227504	TRUST, FIDELITY ADV MID CAP-CL T	0.00	3.35	100.0	0.00	3.35	0.00	3.35
04/06/2018	#01487228155	VARIOUS HOUSE FIDELITY ADV MID CAP-CL T	0.00	0.04	100.0	0.00	0.04	0.00	0.04
04/06/2018	#00063440911	VARIOUS HOUSE FIDELITY ADV OVERSEAS-CL A	0.00	0.30	100.0	0.00	0.30	0.00	0.30
04/06/2018	#01468970379	VARIOUS HOUSE FIDELITY ADV STRATEGIC INCOME-CL C	0.00	89.07	100.0	0.00	89.07	0.00	89.07
04/06/2018	#00063440911	VARIOUS HOUSE FIDELITY ADV TECHNOLOGY-CL A	0.00	1.49	100.0	0.00	1.49	0.00	1.49
04/06/2018	#00063440929	VARIOUS HOUSE FIDELITY ADV TECHNOLOGY-CL A	0.00	0.94	100.0	0.00	0.94	0.00	0.94
04/06/2018	#00064946619	VARIOUS HOUSE FIDELITY ADV TECHNOLOGY-CL A	0.00	0.73	100.0	0.00	0.73	0.00	0.73
04/06/2018	#00618721112	VARIOUS HOUSE FIDELITY ADV TECHNOLOGY-CL A	0.00	0.57	100.0	0.00	0.57	0.00	0.57
04/06/2018	#00618721120	VARIOUS HOUSE FIDELITY ADV TECHNOLOGY-CL A	0.00	0.38	100.0	0.00	0.38	0.00	0.38
04/06/2018	#00655495752	VARIOUS HOUSE FIDELITY ADV TECHNOLOGY-CL A	0.00	37.66	100.0	0.00	37.66	0.00	37.66
04/06/2018	#00655540011	LAMARRE, MARIE FIDELITY ADV TECHNOLOGY-CL A	0.00	7.57	100.0	0.00	7.57	0.00	7.57
04/06/2018	#00655609956	VARIOUS HOUSE FIDELITY ADV TECHNOLOGY-CL A	0.00	0.18	100.0	0.00	0.18	0.00	0.18
04/06/2018	#00655711414	VARIOUS HOUSE FIDELITY ADV TECHNOLOGY-CL A	0.00	0.03	100.0	0.00	0.03	0.00	0.03
04/06/2018	#00655979805	VARIOUS HOUSE FIDELITY ADV TECHNOLOGY-CL A	0.00	0.27	100.0	0.00	0.27	0.00	0.27
04/06/2018	#00689848828	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.21	100.0	0.00	0.21	0.00	0.21
04/06/2018	#00701252348	VARIOUS HOUSE FIDELITY ADV TECHNOLOGY-CL A	0.00	0.50	100.0	0.00	0.50	0.00	0.50
04/06/2018	#00655923407	VARIOUS HOUSE FIDELITY ADV TECHNOLOGY-CL T	0.00	0.44	100.0	0.00	0.44	0.00	0.44
04/06/2018	#00689853968	VARIOUS HOUSE FIDELITY ADV TECHNOLOGY-CL T	0.00	1.19	100.0	0.00	1.19	0.00	1.19
04/06/2018	#00700332646	BAPTISTE, MARIE FIDELITY ADV TECHNOLOGY-CL T	0.00	1.97	100.0	0.00	1.97	0.00	1.97
04/06/2018	#00700431885	VARIOUS HOUSE FIDELITY ADV TECHNOLOGY-CL T	0.00	11.62	100.0	0.00	11.62	0.00	11.62
04/06/2018	#00655495752	VARIOUS HOUSE FIDELITY ADV UTILITIES-CL A	0.00	5.31	100.0	0.00	5.31	0.00	5.31

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/06/2018	#00689848828	FIDELITY ADV UTILITIES-CL A	0.00	0.23	100.0	0.00	0.23	0.00	0.23
04/06/2018	#00065098758	VARIOUS HOUSE FIDELITY ADV UTILITIES-CL T	0.00	0.88	100.0	0.00	0.88	0.00	0.88
04/06/2018	#00065098758	VARIOUS HOUSE FIDELITY ADV VALUE STRATEGIES-CL T	0.00	0.38	100.0	0.00	0.38	0.00	0.38
04/06/2018	#00689698231	VARIOUS HOUSE FIDELITY ADV VALUE STRATEGIES-CL T	0.00	1.73	100.0	0.00	1.73	0.00	1.73
04/06/2018	#00700381551	VARIOUS HOUSE FIDELITY ADV VALUE STRATEGIES-CL T	0.00	3.53	100.0	0.00	3.53	0.00	3.53
04/06/2018	#00700467251	VARIOUS HOUSE FIDELITY ADV VALUE STRATEGIES-CL T	0.00	8.31	100.0	0.00	8.31	0.00	8.31
04/06/2018	#01475366801	VARIOUS HOUSE FIDELITY ADVISOR EMERGING MARKETS F	0.00	1.54	100.0	0.00	1.54	0.00	1.54
04/06/2018	#00689960367	VARIOUS HOUSE FIDELITY ADVISOR ENERGY CL A	0.00	0.92	100.0	0.00	0.92	0.00	0.92
04/06/2018	#00064946619	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.42	100.0	0.00	0.42	0.00	0.42
04/06/2018	#00065098758	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.37	100.0	0.00	0.37	0.00	0.37
04/06/2018	#00501330088	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.61	100.0	0.00	1.61	0.00	1.61
04/06/2018	#00618721112	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.26	100.0	0.00	0.26	0.00	0.26
04/06/2018	#00618721120	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.29	100.0	0.00	0.29	0.00	0.29
04/06/2018	#00618796379	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.80	100.0	0.00	1.80	0.00	1.80
04/06/2018	#00655495752	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	11.76	100.0	0.00	11.76	0.00	11.76
04/06/2018	#00655609956	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.28	100.0	0.00	0.28	0.00	0.28
04/06/2018	#00655610640	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.41	100.0	0.00	0.41	0.00	0.41
04/06/2018	#00689697225	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.01	100.0	0.00	1.01	0.00	1.01
04/06/2018	#00689698223	LORD, SUSAN FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.25	100.0	0.00	0.25	0.00	0.25
04/06/2018	#00700516297	VARIOUS HOUSE FIDELITY ADVISOR SER II MID CAP II	0.00	7.30	100.0	0.00	7.30	0.00	7.30
04/06/2018	#00062990338	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.20	100.0	0.00	0.20	0.00	0.20
04/06/2018	#00064946619	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.11	100.0	0.00	0.11	0.00	0.11
04/06/2018	#00618721120	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	1.36	100.0	0.00	1.36	0.00	1.36
04/06/2018	#00655071025	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	5.77	100.0	0.00	5.77	0.00	5.77
04/06/2018	#00655495752	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	6.54	100.0	0.00	6.54	0.00	6.54
04/06/2018	#00655540011	LAMARRE, MARIE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	7.47	100.0	0.00	7.47	0.00	7.47
04/06/2018	#00689697225	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.67	100.0	0.00	0.67	0.00	0.67
04/06/2018	#00655923407	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	2.36	100.0	0.00	2.36	0.00	2.36
04/06/2018	#00689683829	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.59	100.0	0.00	0.59	0.00	0.59
04/06/2018	#00700467251	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	10.45	100.0	0.00	10.45	0.00	10.45
04/06/2018	#01468970379	VARIOUS HOUSE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	27.07	100.0	0.00	27.07	0.00	27.07
04/06/2018	#00063113120	VARIOUS HOUSE FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.12	100.0	0.00	0.12	0.00	0.12
04/06/2018	#00064878069	VARIOUS HOUSE FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.47	100.0	0.00	0.47	0.00	0.47
04/06/2018	#00510641756	VARIOUS HOUSE FIDELITY ADVISORS VALUE STRATEGIES	0.00	3.52	100.0	0.00	3.52	0.00	3.52
04/06/2018	#00689698223	LORD, SUSAN FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.99	100.0	0.00	0.99	0.00	0.99
04/06/2018	#00689848828	FIDELITY ADVISORS VALUE STRATEGIES	0.00	4.62	100.0	0.00	4.62	0.00	4.62

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/06/2018	#00063113120	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.29	100.0	0.00	0.29	0.29
04/06/2018	#00064946619	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	10.24	100.0	0.00	10.24	10.24
04/06/2018	#00656147402	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.01	100.0	0.00	0.01	0.01
04/06/2018	#01445570219	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.25	100.0	0.00	0.25	0.25
04/06/2018	#00510641756	VARIOUS HOUSE	FIDELITY INVT TR	0.00	1.05	100.0	0.00	1.05	1.05
04/06/2018	#01468970379	VARIOUS HOUSE	FIDELITY SECS FD REAL ESTATE INCOME	0.00	27.40	100.0	0.00	27.40	27.40
04/06/2018	#01468970379	VARIOUS HOUSE	FMR 316203777	0.00	82.35	100.0	0.00	82.35	82.35
04/06/2018	#01468970379	VARIOUS HOUSE	FMR 316203793	0.00	25.43	100.0	0.00	25.43	25.43
04/06/2018	#00065427064	VARIOUS HOUSE	TREASURY FUND - DAILY MONEY CLASS	0.00	0.37	100.0	0.00	0.37	0.37
04/09/2018	#VR07068305	POOR, DEBORAH	PL	0.00	33.96	100.0	0.00	33.96	33.96
04/09/2018	#VR03046675	JONES, KENNETH	PL.1	0.00	200.86	100.0	0.00	200.86	200.86
04/10/2018	#	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	7.76	100.0	0.00	7.76	7.76
04/10/2018	#	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	12.80	100.0	0.00	12.80	12.80
04/10/2018	#	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	6.07	100.0	0.00	6.07	6.07
04/10/2018	#	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	4.91	100.0	0.00	4.91	4.91
04/10/2018	#	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	34.42	100.0	0.00	34.42	34.42
04/10/2018	#	SALES, JOSHUA	DAIN TRAILER	0.00	3.40	100.0	0.00	3.40	3.40
04/10/2018	#	SALES, JOSHUA	DAIN TRAILER	0.00	1.82	100.0	0.00	1.82	1.82
04/10/2018	#	SALES, JOSHUA	DAIN TRAILER	0.00	20.17	100.0	0.00	20.17	20.17
04/10/2018	#	SALES, JOSHUA	DAIN TRAILER	0.00	3.16	100.0	0.00	3.16	3.16
04/10/2018	#	SALES, MIRIAM	DAIN TRAILER	0.00	4.00	100.0	0.00	4.00	4.00
04/10/2018	#	SALES, MIRIAM	DAIN TRAILER	0.00	0.65	100.0	0.00	0.65	0.65
04/10/2018	#	SCOTT, JIM	DAIN TRAILER	0.00	12.81	100.0	0.00	12.81	12.81
04/10/2018	#	GUARANTEE & TRUST CO	DAIN TRAILER	0.00	2.29	100.0	0.00	2.29	2.29
04/10/2018	#	THORPE, JANE	DAIN TRAILER	0.00	1.52	100.0	0.00	1.52	1.52
04/10/2018	#	VANZILE, DEREK	DAIN TRAILER	0.00	2.44	100.0	0.00	2.44	2.44
04/10/2018	#	BRYANT, MORGAN	DAIN TRAILER	0.00	5.04	100.0	0.00	5.04	5.04
04/10/2018	#	FRENCH, KENNER	DAIN TRAILER	0.00	0.28	100.0	0.00	0.28	0.28
04/10/2018	#	ATTANASIO, PETER	DAIN TRAILER	0.00	3.60	100.0	0.00	3.60	3.60
04/10/2018	#	LAWSHE, ANNE	DAIN TRAILER	0.00	2.49	100.0	0.00	2.49	2.49
04/10/2018	#	LAMPERT, SYBIL	DAIN TRAILER	0.00	6.97	100.0	0.00	6.97	6.97
04/10/2018	#	LAMPERT, SYBIL	DAIN TRAILER	0.00	1.30	100.0	0.00	1.30	1.30
04/10/2018	#	LAMPERT, SYBIL	DAIN TRAILER	0.00	2.64	100.0	0.00	2.64	2.64
04/10/2018	#	LAMPERT, SYBIL	DAIN TRAILER	0.00	1.04	100.0	0.00	1.04	1.04
04/10/2018	#	ROSENBAUM, BARBARA	DAIN TRAILER	0.00	2.12	100.0	0.00	2.12	2.12
04/10/2018	#	ACKERMAN, JANE	DAIN TRAILER	0.00	21.10	100.0	0.00	21.10	21.10

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/10/2018 #	GUERRA, LOUIS	DAIN TRAILER	0.00	2.26	100.0	0.00	2.26	0.00	2.26
04/10/2018 #	GUERRA, LOUIS	DAIN TRAILER	0.00	0.44	100.0	0.00	0.44	0.00	0.44
04/10/2018 #	GUERRA, LOUIS	DAIN TRAILER	0.00	25.97	100.0	0.00	25.97	0.00	25.97
04/10/2018 #	NELSON, BRENDA	DAIN TRAILER	0.00	0.65	100.0	0.00	0.65	0.00	0.65
04/10/2018 #	NELSON, BRENDA	DAIN TRAILER	0.00	3.94	100.0	0.00	3.94	0.00	3.94
04/10/2018 #	STANGA, INEZ	DAIN TRAILER	0.00	4.44	100.0	0.00	4.44	0.00	4.44
04/10/2018 #	PASCETTA, ANN	DAIN TRAILER	0.00	2.16	100.0	0.00	2.16	0.00	2.16
04/10/2018 #	PASCETTA, ANN	DAIN TRAILER	0.00	5.79	100.0	0.00	5.79	0.00	5.79
04/10/2018 #	PASCETTA, ANN	DAIN TRAILER	0.00	5.71	100.0	0.00	5.71	0.00	5.71
04/10/2018 #	GALLAGHER, JAMES	DAIN TRAILER	0.00	5.32	100.0	0.00	5.32	0.00	5.32
04/10/2018 #	KELLY, RICHARD	DAIN TRAILER	0.00	4.48	100.0	0.00	4.48	0.00	4.48
04/10/2018 #	MCGARRAH, DEBRA	DAIN TRAILER	0.00	1.11	100.0	0.00	1.11	0.00	1.11
04/10/2018 #	HORTON, KATHY	DAIN TRAILER	0.00	24.36	100.0	0.00	24.36	0.00	24.36
04/10/2018 #	ALVES, ELMIRA	DAIN TRAILER	0.00	7.78	100.0	0.00	7.78	0.00	7.78
04/10/2018 #	BOWLEY, KAREN	DAIN TRAILER	0.00	1.06	100.0	0.00	1.06	0.00	1.06
04/10/2018 #	BOWLEY, KAREN	DAIN TRAILER	0.00	0.37	100.0	0.00	0.37	0.00	0.37
04/10/2018 #	BOWLEY, KAREN	DAIN TRAILER	0.00	0.95	100.0	0.00	0.95	0.00	0.95
04/10/2018 #	BOWLEY, KAREN	DAIN TRAILER	0.00	2.48	100.0	0.00	2.48	0.00	2.48
04/10/2018 #	MARTIN, JILL	DAIN TRAILER	0.00	5.32	100.0	0.00	5.32	0.00	5.32
04/10/2018 #	MARTIN, JILL	DAIN TRAILER	0.00	6.69	100.0	0.00	6.69	0.00	6.69
04/10/2018 #	MARTIN, JILL	DAIN TRAILER	0.00	4.96	100.0	0.00	4.96	0.00	4.96
04/10/2018 #	MARTIN, JILL	DAIN TRAILER	0.00	0.38	100.0	0.00	0.38	0.00	0.38
04/10/2018 #	MARTIN, JILL	DAIN TRAILER	0.00	1.53	100.0	0.00	1.53	0.00	1.53
04/10/2018 #	KELLY, RICHARD	DAIN TRAILER	0.00	5.47	100.0	0.00	5.47	0.00	5.47
04/10/2018 #	KELLY, RICHARD	DAIN TRAILER	0.00	3.11	100.0	0.00	3.11	0.00	3.11
04/10/2018 #	MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.40	100.0	0.00	0.40	0.00	0.40
04/10/2018 #	AL MEIER LIVING TRUS	DAIN TRAILER	0.00	2.57	100.0	0.00	2.57	0.00	2.57
04/10/2018 #	RUTTER, DAVID	DAIN TRAILER	0.00	1.02	100.0	0.00	1.02	0.00	1.02
04/10/2018 #	SALES, JOSHUA	DAIN TRAILER	0.00	4.00	100.0	0.00	4.00	0.00	4.00
04/10/2018 #	SALES, JOSHUA	DAIN TRAILER	0.00	0.64	100.0	0.00	0.64	0.00	0.64
04/10/2018 #	FRANK BUONOMO TRUST	DAIN TRAILER	0.00	1.11	100.0	0.00	1.11	0.00	1.11
04/10/2018 #	FRANK BUONOMO TRUST	DAIN TRAILER	0.00	2.19	100.0	0.00	2.19	0.00	2.19
04/10/2018 #	FRANK BUONOMO TRUST	DAIN TRAILER	0.00	27.24	100.0	0.00	27.24	0.00	27.24
04/10/2018 #	FRANK BUONOMO TRUST	DAIN TRAILER	0.00	1.40	100.0	0.00	1.40	0.00	1.40
04/10/2018 #	ARASE, ANNABEL	DAIN TRAILER	0.00	0.82	100.0	0.00	0.82	0.00	0.82
04/10/2018 #	MORRILL, DONALD	DAIN TRAILER	0.00	1.40	100.0	0.00	1.40	0.00	1.40

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/10/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.31	100.0	0.00	1.31	0.00	1.31
04/10/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.77	100.0	0.00	1.77	0.00	1.77
04/10/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.99	100.0	0.00	1.99	0.00	1.99
04/10/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.84	100.0	0.00	1.84	0.00	1.84
04/10/2018	# WROTSLAVSKY, MAGDA	DAIN TRAILER	0.00	0.97	100.0	0.00	0.97	0.00	0.97
04/10/2018	# VANDEVANTER, SARAH	DAIN TRAILER	0.00	7.12	100.0	0.00	7.12	0.00	7.12
04/10/2018	# VANDEVANTER, SARAH	DAIN TRAILER	0.00	0.79	100.0	0.00	0.79	0.00	0.79
04/10/2018	# VANDEVANTER, SARAH	DAIN TRAILER	0.00	0.54	100.0	0.00	0.54	0.00	0.54
04/10/2018	# JOHNSON, DANIEL	DAIN TRAILER	0.00	3.72	100.0	0.00	3.72	0.00	3.72
04/10/2018	# JOHNSON, DANIEL	DAIN TRAILER	0.00	3.82	100.0	0.00	3.82	0.00	3.82
04/10/2018	# HAVENER, RANDAL	DAIN TRAILER	0.00	5.31	100.0	0.00	5.31	0.00	5.31
04/10/2018	# HUSTON, TOLLIVER	DAIN TRAILER	0.00	7.60	100.0	0.00	7.60	0.00	7.60
04/10/2018	# MYERS, STANLEY	DAIN TRAILER	0.00	14.82	100.0	0.00	14.82	0.00	14.82
04/10/2018	# MYERS, STANLEY	DAIN TRAILER	0.00	40.28	100.0	0.00	40.28	0.00	40.28
04/10/2018	# MYERS, STANLEY	DAIN TRAILER	0.00	2.72	100.0	0.00	2.72	0.00	2.72
04/10/2018	# WICK, CHRISTOPHER	DAIN TRAILER	0.00	8.69	100.0	0.00	8.69	0.00	8.69
04/10/2018	# HERZICK, JERRY	DAIN TRAILER	0.00	4.17	100.0	0.00	4.17	0.00	4.17
04/10/2018	# HERZICK, JERRY	DAIN TRAILER	0.00	19.64	100.0	0.00	19.64	0.00	19.64
04/10/2018	# MAYER, LEOTA	DAIN TRAILER	0.00	12.53	100.0	0.00	12.53	0.00	12.53
04/10/2018	# CASTRO, NANCY	DAIN TRAILER	0.00	7.30	100.0	0.00	7.30	0.00	7.30
04/10/2018	# GONZALEZ, GEORGE	DAIN TRAILER	0.00	4.35	100.0	0.00	4.35	0.00	4.35
04/10/2018	# GONZALEZ, GEORGE	DAIN TRAILER	0.00	9.59	100.0	0.00	9.59	0.00	9.59
04/10/2018	# MCGARRAH, DENNIS	DAIN TRAILER	0.00	1.59	100.0	0.00	1.59	0.00	1.59
04/10/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.48	100.0	0.00	0.48	0.00	0.48
04/10/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	1.09	100.0	0.00	1.09	0.00	1.09
04/10/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.76	100.0	0.00	0.76	0.00	0.76
04/10/2018	# BOHANAN, LINDA	DAIN TRAILER	0.00	4.36	100.0	0.00	4.36	0.00	4.36
04/10/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.34	100.0	0.00	0.34	0.00	0.34
04/10/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.73	100.0	0.00	0.73	0.00	0.73
04/10/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.94	100.0	0.00	0.94	0.00	0.94
04/10/2018	# MCNARY, JALEESA	DAIN TRAILER	0.00	0.10	100.0	0.00	0.10	0.00	0.10
04/10/2018	# SMITH, MARGARET	DAIN TRAILER	0.00	3.76	100.0	0.00	3.76	0.00	3.76
04/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	11.25	100.0	0.00	11.25	0.00	11.25
04/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	8.53	100.0	0.00	8.53	0.00	8.53
04/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	7.12	100.0	0.00	7.12	0.00	7.12
04/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	16.76	100.0	0.00	16.76	0.00	16.76

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	18.91	100.0	0.00	18.91	0.00	18.91
04/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	4.52	100.0	0.00	4.52	0.00	4.52
04/10/2018	# BRICKNER, DIANA	DAIN TRAILER	0.00	5.03	100.0	0.00	5.03	0.00	5.03
04/10/2018	# BRICKNER, DIANA	DAIN TRAILER	0.00	0.38	100.0	0.00	0.38	0.00	0.38
04/10/2018	# WHITE, SHELBY	DAIN TRAILER	0.00	11.78	100.0	0.00	11.78	0.00	11.78
04/10/2018	# WHITE, SHELBY	DAIN TRAILER	0.00	3.29	100.0	0.00	3.29	0.00	3.29
04/10/2018	# WILLIS, BARBARA	DAIN TRAILER	0.00	0.49	100.0	0.00	0.49	0.00	0.49
04/10/2018	# WILLIS, BARBARA	DAIN TRAILER	0.00	1.00	100.0	0.00	1.00	0.00	1.00
04/10/2018	# KEAU, ALEXIS	DAIN TRAILER	0.00	2.57	100.0	0.00	2.57	0.00	2.57
04/10/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.93	100.0	0.00	2.93	0.00	2.93
04/10/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.34	100.0	0.00	2.34	0.00	2.34
04/10/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.49	100.0	0.00	2.49	0.00	2.49
04/10/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	1.84	100.0	0.00	1.84	0.00	1.84
04/10/2018	# LAURA CAVALUZZO TTEE	DAIN TRAILER	0.00	1.77	100.0	0.00	1.77	0.00	1.77
04/10/2018	# LAURA CAVALUZZO TTEE	DAIN TRAILER	0.00	1.75	100.0	0.00	1.75	0.00	1.75
04/10/2018	# CRAWFORD, JOSHUA	DAIN TRAILER	0.00	0.68	100.0	0.00	0.68	0.00	0.68
04/10/2018	# CRAWFORD, JOSHUA	DAIN TRAILER	0.00	1.15	100.0	0.00	1.15	0.00	1.15
04/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	2.06	100.0	0.00	2.06	0.00	2.06
04/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	3.70	100.0	0.00	3.70	0.00	3.70
04/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	6.57	100.0	0.00	6.57	0.00	6.57
04/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	6.66	100.0	0.00	6.66	0.00	6.66
04/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	1.54	100.0	0.00	1.54	0.00	1.54
04/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	0.69	100.0	0.00	0.69	0.00	0.69
04/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	2.00	100.0	0.00	2.00	0.00	2.00
04/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	5.81	100.0	0.00	5.81	0.00	5.81
04/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	9.92	100.0	0.00	9.92	0.00	9.92
04/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	0.32	100.0	0.00	0.32	0.00	0.32
04/10/2018	# LEVERTON, FLORINDA	DAIN TRAILER	0.00	22.55	100.0	0.00	22.55	0.00	22.55
04/10/2018	# MARCINOWSKI, JOHN	DAIN TRAILER	0.00	4.59	100.0	0.00	4.59	0.00	4.59
04/10/2018	# MORRIS, JOHN	DAIN TRAILER	0.00	1.21	100.0	0.00	1.21	0.00	1.21
04/10/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	72.71	100.0	0.00	72.71	0.00	72.71
04/10/2018	# ARMSTRONG, MERILEE	DAIN TRAILER	0.00	0.02	100.0	0.00	0.02	0.00	0.02
04/10/2018	# BOCK, MARION	DAIN TRAILER	0.00	1.16	100.0	0.00	1.16	0.00	1.16
04/10/2018	# GALLENTINE, GENE	DAIN TRAILER	0.00	1.54	100.0	0.00	1.54	0.00	1.54
04/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	3.00	100.0	0.00	3.00	0.00	3.00
04/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	1.40	100.0	0.00	1.40	0.00	1.40

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	6.57	100.0	0.00	6.57	0.00	6.57
04/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	1.63	100.0	0.00	1.63	0.00	1.63
04/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	1.21	100.0	0.00	1.21	0.00	1.21
04/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	1.78	100.0	0.00	1.78	0.00	1.78
04/10/2018	# JARVIS, RACHEL	DAIN TRAILER	0.00	7.06	100.0	0.00	7.06	0.00	7.06
04/10/2018	# STOUT, RHONDA	DAIN TRAILER	0.00	1.98	100.0	0.00	1.98	0.00	1.98
04/10/2018	# STOUT, RHONDA	DAIN TRAILER	0.00	7.57	100.0	0.00	7.57	0.00	7.57
04/10/2018	# JARVIS, RACHEL	DAIN TRAILER	0.00	3.76	100.0	0.00	3.76	0.00	3.76
04/10/2018	# JARVIS, RACHEL	DAIN TRAILER	0.00	3.78	100.0	0.00	3.78	0.00	3.78
04/10/2018	# MEDALEN, TERRY	DAIN TRAILER	0.00	2.65	100.0	0.00	2.65	0.00	2.65
04/10/2018	# MARTINO, JOSEPH	DAIN TRAILER	0.00	2.52	100.0	0.00	2.52	0.00	2.52
04/10/2018	# MARTINO, JOSEPH	DAIN TRAILER	0.00	26.91	100.0	0.00	26.91	0.00	26.91
04/10/2018	# MARTINO, JOSEPH	DAIN TRAILER	0.00	80.13	100.0	0.00	80.13	0.00	80.13
04/10/2018	# MARTINO, JOSEPH	DAIN TRAILER	0.00	55.59	100.0	0.00	55.59	0.00	55.59
04/10/2018	# LAUDATO, DANIEL	DAIN TRAILER	0.00	1.98	100.0	0.00	1.98	0.00	1.98
04/10/2018	# LAUDATO, DANIEL	DAIN TRAILER	0.00	1.65	100.0	0.00	1.65	0.00	1.65
04/10/2018	# BECKLES, LIONEL	DAIN TRAILER	0.00	0.52	100.0	0.00	0.52	0.00	0.52
04/10/2018	# BECKLES, LIONEL	DAIN TRAILER	0.00	2.69	100.0	0.00	2.69	0.00	2.69
04/10/2018	# BECKLES, LIONEL	DAIN TRAILER	0.00	0.27	100.0	0.00	0.27	0.00	0.27
04/10/2018	# AHMANN, ANNA	DAIN TRAILER	0.00	17.25	100.0	0.00	17.25	0.00	17.25
04/10/2018	# AHMANN, ANNA	DAIN TRAILER	0.00	17.53	100.0	0.00	17.53	0.00	17.53
04/10/2018	# AHMANN, ANNA	DAIN TRAILER	0.00	27.35	100.0	0.00	27.35	0.00	27.35
04/10/2018	# GALGAN, THEODORE	DAIN TRAILER	0.00	0.11	100.0	0.00	0.11	0.00	0.11
04/12/2018	# BOWLEY, KAREN	DAIN TRAILER	0.00	0.60	100.0	0.00	0.60	0.00	0.60
04/12/2018	#00035403483 VARIOUS HOUSE	INVESTED INCOME	0.00	0.04	100.0	0.00	0.04	0.00	0.04
04/12/2018	#00035529535 VARIOUS HOUSE	IVY BALANCED A	0.00	1.36	100.0	0.00	1.36	0.00	1.36
04/12/2018	#00026707075 VARIOUS HOUSE	IVY CORE EQUITY FUND CLASS A	0.00	2.42	100.0	0.00	2.42	0.00	2.42
04/12/2018	#00032423751 VARIOUS HOUSE	IVY CORE EQUITY FUND CLASS A	0.00	2.45	100.0	0.00	2.45	0.00	2.45
04/12/2018	#00035529535 VARIOUS HOUSE	IVY CORE EQUITY FUND CLASS A	0.00	2.03	100.0	0.00	2.03	0.00	2.03
04/12/2018	#00032423751 VARIOUS HOUSE	IVY CUNDILL GLOBAL VALUE A	0.00	1.71	100.0	0.00	1.71	0.00	1.71
04/12/2018	#00035529535 VARIOUS HOUSE	IVY CUNDILL GLOBAL VALUE A	0.00	1.30	100.0	0.00	1.30	0.00	1.30
04/12/2018	#00035700837 VARIOUS HOUSE	IVY CUNDILL GLOBAL VALUE A	0.00	2.61	100.0	0.00	2.61	0.00	2.61
04/12/2018	#00035948969 VARIOUS HOUSE	IVY CUNDILL GLOBAL VALUE A	0.00	5.76	100.0	0.00	5.76	0.00	5.76
04/12/2018	#00032423751 VARIOUS HOUSE	IVY DIVIDEND OPPORTUNITIES CL A	0.00	0.62	100.0	0.00	0.62	0.00	0.62
04/12/2018	#00036191699 VARIOUS HOUSE	IVY ENERGY A	0.00	2.28	100.0	0.00	2.28	0.00	2.28
04/12/2018	#00032423751 VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.69	100.0	0.00	1.69	0.00	1.69

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/12/2018	#00035529533	VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.22	100.0	0.00	1.22	1.22
04/12/2018	#00035890872	VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.91	100.0	0.00	3.91	3.91
04/12/2018	#00035927149	VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.89	100.0	0.00	0.89	0.89
04/12/2018	#00035944054	VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	34.78	100.0	0.00	34.78	34.78
04/12/2018	#00035948969	VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.61	100.0	0.00	5.61	5.61
04/12/2018	#00036027312	VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.08	100.0	0.00	1.08	1.08
04/12/2018	#00036027315	VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.06	100.0	0.00	1.06	1.06
04/12/2018	#00032423751	VARIOUS HOUSE	IVY FDS INC HIGH INCOME FD CL A	0.00	2.13	100.0	0.00	2.13	2.13
04/12/2018	#00035529535	VARIOUS HOUSE	IVY FDS INC HIGH INCOME FD CL A	0.00	1.78	100.0	0.00	1.78	1.78
04/12/2018	#00035927149	VARIOUS HOUSE	IVY FDS INC HIGH INCOME FD CL A	0.00	2.57	100.0	0.00	2.57	2.57
04/12/2018	#00035948969	VARIOUS HOUSE	IVY FDS INC HIGH INCOME FD CL A	0.00	6.64	100.0	0.00	6.64	6.64
04/12/2018	#00035951824	VARIOUS HOUSE	IVY FDS INC HIGH INCOME FD CL A	0.00	0.92	100.0	0.00	0.92	0.92
04/12/2018	#00036087593	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.01	100.0	0.00	0.01	0.01
04/12/2018	#00032425931	STILLSARA	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.04	100.0	0.00	1.04	1.04
04/12/2018	#00035529535	VARIOUS HOUSE	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.20	100.0	0.00	3.20	3.20
04/12/2018	#00036027312	VARIOUS HOUSE	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.99	100.0	0.00	0.99	0.99
04/12/2018	#00036027315	VARIOUS HOUSE	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.98	100.0	0.00	0.98	0.98
04/12/2018	#00036257440	REED, JOHN	IVY FDS INC SCIENCE & TECH FD CL A	0.00	5.08	100.0	0.00	5.08	5.08
04/12/2018	#00035777858	CARIOLA,	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.78	100.0	0.00	0.78	0.78
04/12/2018	#00035890872	VARIOUS HOUSE	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.10	100.0	0.00	1.10	1.10
04/12/2018	#00035924285	VARIOUS HOUSE	IVY HIGH INCOME C	0.00	5.55	100.0	0.00	5.55	5.55
04/12/2018	#00032425931	STILLSARA	IVY PACIFIC OPPORTUNITIES A	0.00	6.56	100.0	0.00	6.56	6.56
04/12/2018	#00035890872	VARIOUS HOUSE	IVY PACIFIC OPPORTUNITIES A	0.00	2.78	100.0	0.00	2.78	2.78
04/12/2018	#00035948969	VARIOUS HOUSE	IVY PACIFIC OPPORTUNITIES A	0.00	7.70	100.0	0.00	7.70	7.70
04/12/2018	#00036027312	VARIOUS HOUSE	IVY PACIFIC OPPORTUNITIES A	0.00	2.09	100.0	0.00	2.09	2.09
04/12/2018	#00036027315	VARIOUS HOUSE	IVY PACIFIC OPPORTUNITIES A	0.00	2.06	100.0	0.00	2.06	2.06
04/12/2018	#00036257436	VARIOUS HOUSE	IVY PACIFIC OPPORTUNITIES A	0.00	1.55	100.0	0.00	1.55	1.55
04/12/2018	#VR01011472	TRUDELL, CAROLYN	PL-1	0.00	22.00	100.0	0.00	22.00	22.00
04/12/2018	#00032423751	VARIOUS HOUSE	WADDELL & REED 465899631	0.00	0.42	100.0	0.00	0.42	0.42
04/13/2018	#00002132507	VARIOUS BARRONE	JOHN HANCOCK FDS II LIFESTYLE CONSE	0.00	4.53	100.0	0.00	4.53	4.53
04/13/2018	#00026023772	VARIOUS HOUSE	US GLOBAL LEADERS GROWTH A	0.00	3.79	100.0	0.00	3.79	3.79
04/16/2018	#VR02018564	GILLILAND, KEVIN	PL-3	0.00	5.95	100.0	0.00	5.95	5.95
04/16/2018	#VR02018565	GILLILAND,	PL-3	0.00	5.95	100.0	0.00	5.95	5.95
04/16/2018	#VR01020666	SPALINGER,	PL-5	0.00	10.78	100.0	0.00	10.78	10.78
04/17/2018	#VR00057244	COLVIN, TORY	PL-4	0.00	39.50	100.0	0.00	39.50	39.50
04/19/2018	#VM15005941	PEREZ, EMILY	69448B191	0.00	19.93	100.0	0.00	19.93	19.93

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/19/2018 # WILLARD SYLVAN GROVE		DAIN TRAILER	0.00	23.92	100.0	0.00	23.92	0.00	23.92
04/20/2018 #VM15002829		69448B191	0.00	302.50	100.0	0.00	302.50	0.00	302.50
04/24/2018 # SHARMA, MADHU		DAIN TRAILER	0.00	1.35	100.0	0.00	1.35	0.00	1.35
04/27/2018 #VR06074952 SHAW, SONYA		PL	0.00	29.12	100.0	0.00	29.12	0.00	29.12
04/27/2018 #VR01011472 TRUDELL, CAROLYN		PL.1	0.00	22.00	100.0	0.00	22.00	0.00	22.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$12,384.91		\$0.00	\$12,384.91	\$0.00	\$12,384.91
*** BROKER TRANSACTIONS TOTAL ***			\$3,677,781.52	\$20,155.08		\$0.00	\$20,155.08	\$299.25	\$19,855.83

OVERRIDE TRANSACTIONS

GLEDHILL, MIKE - TERMINATED	73.59	73.59
HEADRICK, JEFF - TERMINATED	15.64	15.64
MCELRAVY, STEVE - TERMINATED	1.85	1.85
MILLER, DWIGHT - TERMINATED	27.93	27.93
SANCHEZ, ISAAC - TERMINATED	16.23	16.23
SIMON, TYLER - TERMINATED	29.44	29.44
SUTTON, VIC - TERMINATED	39.56	39.56
TONG, KAM - TERMINATED	58.46	58.46
MCTIER, MAHARI - TERMINATED	7.02	7.02
ALLAN, NASSAR - TERMINATED	50.40	50.40
KOESTER, CHASE - TERMINATED	9.90	9.90
STROUD, JOSHUA - TERMINATED	54.60	54.60
GERSON - HOUSE, GLENN	1.39	1.39
*** OVERRIDE TRANSACTIONS TOTAL ***	\$386.01	\$386.01

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

05/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-35.00
		DEBIT BALANCE							
05/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-35.00
		DEBIT BALANCE							
05/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-2.00
		DEBIT BALANCE							
05/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-2.00
		DEBIT BALANCE							
05/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-4.00
		DEBIT BALANCE							
05/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-2.00
		DEBIT BALANCE							
05/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-2.00
		DEBIT BALANCE							
05/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-1,617.81
		DEBIT BALANCE							

*** ADJUSTMENTS TOTAL ***

\$-1,699.81

BROKER COMMISSION TOTALS

Broker Transactions	\$19,855.83
Split Transactions	\$0.00
Override Transactions	\$386.01
Adjustments	\$-1,699.81
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount

\$18,542.03