

**COMMISSION STATEMENT****October 15, 2017**

WILLIAM CASILLAS, JR
 4120 Rio Bravo
 Ste. 307
 El Paso, TX 79902

BROKER #: C124
 BRANCH: WILLIAM CASILLAS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	AGUILAR, VERONICA	JH 529 PORT 2021-2024 A	0.00	0.51	0.00	0.51	82.0	0.42
/ /	MENDEZ, JESUS	JH 529 PORT 2021-2024 A	0.00	6.36	0.00	6.36	82.0	5.22
10/02/2017	MENDEZ, JESUS	JH 529 PORT 2021-2024 A	300.00	12.75	0.00	12.75	82.0	10.46
10/03/2017	MENDEZ, JESUS	JH 529 PORT 2021-2024 A	125.00	5.31	0.00	5.31	82.0	4.35
/ /	MENDEZ, JESUS	JH 529 PORT 2021-2024 B	0.00	0.82	0.00	0.82	82.0	0.67
/ /	RODRIGUEZ, TRESHA	JH 529 PORT 2029-2032 A	0.00	0.52	0.00	0.52	82.0	0.43
* MUTUAL FUNDS SUBTOTAL *			\$425.00	\$26.27	\$0.00	\$26.27		\$21.55
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/29/2017	CASTILLO, SILVA & JOSE	AXA ACCUM 04 PLUS (NQ)	70,220.00	87.78	0.00	87.78	82.0	71.98
09/29/2017	IRREV. TRUST, JOSE & CASTILL	AXA ACCUM 04 PLUS (NQ)	153,801.17	192.25	0.00	192.25	82.0	157.65
09/29/2017	CASTILLO, JOSE	AXA ACCUM 04 PLUS (NQ)	1,210,856.58	1,513.57	0.00	1,513.57	82.0	1,241.13
09/29/2017	BOCK, PATRICIA	AXA ACCUM 06 PLUS (RO IRA)	173,093.65	216.37	0.00	216.37	82.0	177.42
09/29/2017	BOCK, JOHN	AXA ACCUM 06 PLUS (RO IRA)	265,939.00	332.42	0.00	332.42	82.0	272.58
09/29/2017	BOCK, JOHN	AXA ACCUM 06 PLUS (RO IRA)	104,115.95	130.14	0.00	130.14	82.0	106.71
09/29/2017	BOCK, JOHN	AXA ACCUM 06 PLUS (RO IRA)	70,287.40	87.86	0.00	87.86	82.0	72.05
09/29/2017	TALAMANTES, MANUEL	AXA ACCUM 07 PLUS	47,668.50	59.59	0.00	59.59	82.0	48.86
09/29/2017	TALAMANTES, MANUEL	AXA ACCUM PLUS (NQ)	39,729.85	34.76	0.00	34.76	82.0	28.50
09/29/2017	TALAMANTES, MANUEL	AXA ACCUM PLUS (NQ)	3,390.62	2.97	0.00	2.97	82.0	2.44
09/29/2017	TALAMANTES, MANUEL	AXA ACCUM PLUS (NQ)	3,647.52	3.19	0.00	3.19	82.0	2.62
09/29/2017	CASTILLO, JOSE	AXA ACCUM PLUS (NQ)	309,402.00	270.73	0.00	270.73	82.0	222.00
09/29/2017	TALAMANTES, MANUEL	AXA RETIREMENT CORNERSTONE 12 SER C	16,145.96	18.16	0.00	18.16	82.0	14.89
10/03/2017	SPINE & REHAB, 401K	GWL GRP ANNUITY 401K	308,100.08	126.62	0.00	126.62	82.0	103.83
09/30/2017	ZACOUR, PAUL	JNL PERSPECTIVE L	66,602.74	55.50	0.00	55.50	82.0	45.51
09/30/2017	CASTILLO, JOSE	JOHN HANCOCK VENTURE	683,324.19	427.08	0.00	427.08	82.0	350.21
09/30/2017	ALVARADO, JOSE	JOHN HANCOCK VENTURE	17,824.11	3.71	0.00	3.71	82.0	3.04
09/30/2017	CASTILLO, JOSE	JOHN HANCOCK VENTURE	75,588.95	47.24	0.00	47.24	82.0	38.74

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
		* VARIABLE ANNUITIES SUBTOTAL *	\$3,619,738.27	\$3,609.94	\$0.00	\$3,609.94		\$2,960.16
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/26/2017	BOCK, JOHN	BLACKROCK EMERGING MARKETS DIVIDEND	0.00	99.18	0.00	99.18	82.0	81.33
09/14/2017	BOCK, JOHN	FRANKLIN INCOME C	0.00	81.29	0.00	81.29	82.0	66.66
09/21/2017	BOCK, JOHN	LORD ABBETT BOND DEBENTURE C	0.00	102.96	0.00	102.96	82.0	84.43
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$283.43	\$0.00	\$283.43		\$232.42
		*** BROKER TRANSACTIONS TOTAL ***	\$3,620,163.27	\$3,919.64	\$0.00	\$3,919.64		\$3,214.13

ADJUSTMENTS

10/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*TECH: ADMIN TECH - AUDREY TERRAZAS	0.00					-100.00
10/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-675.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,214.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-675.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$63.50
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,602.63
Year-to-date Gross	\$33,025.42
Year-to-date Earnings	\$27,081.00

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