

COMMISSION STATEMENT  
August 10, 2018

TRACY CUDA  
3200 N. Central Ave  
Suite 200  
Phoenix, AZ 85012

BROKER #: 73670  
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: CUE Financial Group, Inc

ADJUSTMENTS

19. INVESTIGO - SAI JULY 2018							-60.00
20. INVESTIGO - SAI AUGUST 2018							-60.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-120.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-120.00
Prior Period Balance	\$-5.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-125.00
Year-to-date Earnings	\$-120.00

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
<b><u>Company: Securities America</u></b>							
<b><u>BROKER TRANSACTIONS</u></b>							
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>							
08/08/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	119.88	0.00	119.88	43.82
08/08/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-130.00	0.00	-130.00	-47.52
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$-10.12</u>	<u>\$0.00</u>	<u>\$-10.12</u>	<u>\$-3.70</u>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>							
08/08/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-92.68	0.00	-92.68	-33.87
08/08/2018	CUDA (73670), TRACY	SAI SECURITIES INCOME	0.00	150.00	0.00	150.00	54.83
* STOCKS SUBTOTAL *			<u>\$0.00</u>	<u>\$57.32</u>	<u>\$0.00</u>	<u>\$57.32</u>	<u>\$20.96</u>
<b><u>PRODUCT CATEGORY: TRAILERS</u></b>							
08/08/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	58,893.45	0.00	58,893.45	21,525.56
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$58,893.45</u>	<u>\$0.00</u>	<u>\$58,893.45</u>	<u>\$21,525.56</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$58,940.65</u>	<u>\$0.00</u>	<u>\$58,940.65</u>	<u>\$21,542.82</u>
<b><u>OVERRIDE TRANSACTIONS</u></b>							
<b><u>BROKER: JODI GALLOVICH #73712</u></b>							
08/08/2018	GALLOVICH (73712), JODI	SAI PERSHING CHARGES	0.00	-75.00	0.00	-75.00	-10.09
08/08/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	99.77	0.00	99.77	13.42
08/08/2018	GALLOVICH (73712), JODI	SAI VARIABLE INCOME	0.00	9,500.00	0.00	9,500.00	1,277.75
08/08/2018	GALLOVICH (73712), JODI	SAI.TRAILS	0.00	4,379.85	0.00	4,379.85	589.09
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$13,904.62</u>	<u>\$0.00</u>	<u>\$13,904.62</u>	<u>\$1,870.17</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$13,904.62</u>	<u>\$0.00</u>	<u>\$13,904.62</u>	<u>\$1,870.17</u>
<b><u>ADJUSTMENTS</u></b>							
2. MONTHLY SVC & TECHNOLOGY FEE							-227.50
9. MONTHLY ADMIN SVC FEE							-25.00
26. SIPC SAI STMT 08/01/18							-10.88
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-263.38</u>

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							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$21,542.82
							Split Transactions \$0.00
							Override Transactions \$1,870.17
							Adjustments \$-263.38
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$23,149.61</u>
							<u>Year-to-date Earnings \$304,867.83</u>