



# COMMISSION STATEMENT

June 18, 2018

CHRISTOPHER MILGROOM  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

BROKER #: 542  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07123000351	INVESCO GLOBAL REAL ESTATE FUND -CL BUY 1 Units @13.3700	17.88	0.13	0.00	0.13	75.0	0.10
06/04/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07123000494	INVESCO GLOBAL REAL ESTATE FUND -CL BUY 10 Units @13.5300	129.74	0.97	0.00	0.97	75.0	0.73
* MUTUAL FUNDS SUBTOTAL *			<b>\$147.62</b>	<b>\$1.10</b>	<b>\$0.00</b>	<b>\$1.10</b>		<b>\$0.83</b>
<b><u>PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES</u></b>								
06/18/2018	***-**-4618 - HANSLEY, CHARLES BUY 1 Units @5126.6400	LEGEND III	5,126.64	256.33	0.00	256.33	100.0	256.33
* EQUITY INDEXED ANNUITIES SUBTOTAL *			<b>\$5,126.64</b>	<b>\$256.33</b>	<b>\$0.00</b>	<b>\$256.33</b>		<b>\$256.33</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/07/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200598	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	1.25	0.00	1.25	75.0	0.94
06/07/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200633	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	7.74	0.00	7.74	75.0	5.81
06/07/2018	***-**-2107 - FIGUERAS, DAURIS ACCOUNT #: 07468200945	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	0.73	0.00	0.73	75.0	0.55
06/07/2018	***-**-7574 - G-MEN CORP ACCOUNT #: 07468201148	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	1.07	0.00	1.07	75.0	0.80
06/01/2018	***-**-775P - KING, GREGORY ACCOUNT #: 00082438825	AMCAP FUND-A BUY 0 Units @0.0000	0.00	20.38	0.00	20.38	75.0	15.29
06/01/2018	***-**-985P - KEATING, EILEEN ACCOUNT #: 00069168694	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	0.34	0.00	0.34	75.0	0.26
06/01/2018	***-**-8357 - KEATING, ROBERT ACCOUNT #: 00069168696	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	0.34	0.00	0.34	75.0	0.26
06/01/2018	***-**-165P - COOPER-KEATING, ACCOUNT #: 00081502206	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	4.31	0.00	4.31	75.0	3.23
06/01/2018	***-**-964I - HARRELL, ZELDA ACCOUNT #: 00085724317	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	16.36	0.00	16.36	75.0	12.27
06/01/2018	***-**-3178 - IONESCU, MIHAELA ACCOUNT #: 00088835521	AMERICAN FUNDS 023375702 BUY 0 Units @0.0000	0.00	8.57	0.00	8.57	75.0	6.43



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/01/2018	***-**-3178 - IONESCU, MIHAELA	AMERICAN FUNDS 023375702	0.00	8.76	0.00	8.76	75.0	6.57
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
06/01/2018	***-**-725P - MOLLE, PAUL	AMERICAN FUNDS U.S. GOV MONEY MKT	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00068105507	BUY 0 Units @0.0000						
06/01/2018	***-**-7264 - HOLLANDER, SHANE	AMERICAN MUTUAL FUND-529A	0.00	7.65	0.00	7.65	75.0	5.74
	ACCOUNT #: 00081895798	BUY 0 Units @0.0000						
06/01/2018	***-**-7264 - HOLLANDER, SHANE	AMERICAN MUTUAL FUND-529A	0.00	7.65	0.00	7.65	75.0	5.74
	ACCOUNT #: 00081895839	BUY 0 Units @0.0000						
06/01/2018	***-**-7264 - HOLLANDER, SHANE	AMERICAN MUTUAL FUND-529A	0.00	7.33	0.00	7.33	75.0	5.50
	ACCOUNT #: 00085243860	BUY 0 Units @0.0000						
06/01/2018	***-**-129I - HOLLANDER,	AMERICAN MUTUAL FUND-A	0.00	26.68	0.00	26.68	75.0	20.01
	ACCOUNT #: 00075689213	BUY 0 Units @0.0000						
06/01/2018	***-**-264I - HOLLANDER, TED	AMERICAN MUTUAL FUND-A	0.00	26.81	0.00	26.81	75.0	20.11
	ACCOUNT #: 00075689223	BUY 0 Units @0.0000						
06/01/2018	***-**-964I - HARRELL, ZELDA	AMERICAN MUTUAL FUND-A	0.00	4.80	0.00	4.80	75.0	3.60
	ACCOUNT #: 00085724317	BUY 0 Units @0.0000						
06/01/2018	***-**-1982 - HOHNECKER, LAURA	AMERICAN MUTUAL FUND-A	0.00	1.35	0.00	1.35	75.0	1.01
	ACCOUNT #: 00086976260	BUY 0 Units @0.0000						
06/01/2018	***-**-985P - KEATING, EILEEN	CAPITAL INCOME BUILDER-A	0.00	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
06/01/2018	***-**-8357 - KEATING, ROBERT	CAPITAL INCOME BUILDER-A	0.00	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
06/01/2018	***-**-6273 - ALICEA, JULIANNE	CAPITAL INCOME BUILDER-A	0.00	2.84	0.00	2.84	75.0	2.13
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
06/01/2018	***-**-775P - KING, GREGORY	CAPITAL INCOME BUILDER-A	0.00	16.83	0.00	16.83	75.0	12.62
	ACCOUNT #: 00082438825	BUY 0 Units @0.0000						
06/01/2018	***-**-165P - COOPER-KEATING,	CAPITAL WORLD BOND FUND-A	0.00	2.97	0.00	2.97	75.0	2.23
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
06/01/2018	***-**-1982 - HOHNECKER, LAURA	CAPITAL WORLD BOND FUND-A	0.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 00086976260	BUY 0 Units @0.0000						
06/01/2018	***-**-425P - SMOLLER, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	62.46	0.00	62.46	75.0	46.85
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/01/2018	***-**-985P - KEATING, EILEEN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.43	0.00	0.43	75.0	0.32
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
06/01/2018	***-**-8357 - KEATING, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.43	0.00	0.43	75.0	0.32
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
06/01/2018	***-**-109I - PASCH, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.32	0.00	5.32	75.0	3.99
	ACCOUNT #: 00073775313	BUY 0 Units @0.0000						
06/01/2018	***-**-129I - HOLLANDER,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	24.49	0.00	24.49	75.0	18.37
	ACCOUNT #: 00075689213	BUY 0 Units @0.0000						
06/01/2018	***-**-264I - HOLLANDER, TED	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	24.15	0.00	24.15	75.0	18.11
	ACCOUNT #: 00075689223	BUY 0 Units @0.0000						
06/01/2018	***-**-6273 - ALICEA, JULIANNE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.36	0.00	3.36	75.0	2.52
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
06/01/2018	***-**-165P - COOPER-KEATING,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.95	0.00	3.95	75.0	2.96
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
06/01/2018	***-**-135P - PASCH, SHAHAR	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.05	0.00	1.05	75.0	0.79
	ACCOUNT #: 00081639466	BUY 0 Units @0.0000						
06/01/2018	***-**-045P - DEANE, ZAIN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	30.96	0.00	30.96	75.0	23.22
	ACCOUNT #: 00081785432	BUY 0 Units @0.0000						
06/01/2018	***-**-075P - HUTCHINSON,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.31	0.00	1.31	75.0	0.98
	ACCOUNT #: 00082192962	BUY 0 Units @0.0000						
06/01/2018	***-**-635I - CAMPBELL,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00084984847	BUY 0 Units @0.0000						
06/01/2018	***-**-461I - CAMPBELL, COLIN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	9.64	0.00	9.64	75.0	7.23
	ACCOUNT #: 00084984880	BUY 0 Units @0.0000						
06/01/2018	***-**-964I - HARRELL, ZELDA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	13.98	0.00	13.98	75.0	10.49
	ACCOUNT #: 00085724317	BUY 0 Units @0.0000						
06/01/2018	***-**-1982 - HOHNECKER, LAURA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.46	0.00	1.46	75.0	1.10
	ACCOUNT #: 00086976260	BUY 0 Units @0.0000						
06/01/2018	***-**-7264 - HOLLANDER, SHANE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	7.61	0.00	7.61	75.0	5.71
	ACCOUNT #: 00081895798	BUY 0 Units @0.0000						
06/01/2018	***-**-7264 - HOLLANDER, SHANE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	7.61	0.00	7.61	75.0	5.71
	ACCOUNT #: 00081895839	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/01/2018	***-**-7264 - HOLLANDER, SHANE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	7.16	0.00	7.16	75.0	5.37
	ACCOUNT #: 00085243860	BUY 0 Units @0.0000						
06/01/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.91	0.00	5.91	75.0	4.43
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						
06/01/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	6.63	0.00	6.63	75.0	4.97
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						
06/01/2018	***-**-275P - BUBLA, MADISON	CAPITAL WORLD GROWTH AND INCOME-529	0.00	0.44	0.00	0.44	75.0	0.33
	ACCOUNT #: 00085932755	BUY 0 Units @0.0000						
06/01/2018	***-**-6927 - DEMAREST, DAVID	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.44	0.00	5.44	75.0	4.08
	ACCOUNT #: 00085340702	BUY 0 Units @0.0000						
06/01/2018	***-**-425P - SMOLLER, MICHAEL	CAPITAL WORLD GROWTH AND INCOME-F1	0.00	1.20	0.00	1.20	75.0	0.90
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
06/18/2018	***-**-844P - COLIN CAMPBELL	EATON VANCE MF TRAILS	0.00	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 5045816922	BUY 0 Units @0.0000						
06/01/2018	***-**-425P - SMOLLER, MICHAEL	EUROPACIFIC GROWTH FUND CLASS C	0.00	10.78	0.00	10.78	75.0	8.09
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
06/01/2018	***-**-425P - SMOLLER, MICHAEL	EUROPACIFIC GROWTH FUND CLASS F	0.00	2.60	0.00	2.60	75.0	1.95
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
06/01/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	0.00	5.57	0.00	5.57	75.0	4.18
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						
06/01/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	0.00	6.28	0.00	6.28	75.0	4.71
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						
06/01/2018	***-**-6927 - DEMAREST, DAVID	EUROPACIFIC GROWTH FUND-529E	0.00	4.87	0.00	4.87	75.0	3.65
	ACCOUNT #: 00085340702	BUY 0 Units @0.0000						
06/01/2018	***-**-425P - SMOLLER, MICHAEL	EUROPACIFIC GROWTH FUND-A	0.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
06/01/2018	***-**-109I - PASCH, ROBERT	EUROPACIFIC GROWTH FUND-A	0.00	4.63	0.00	4.63	75.0	3.47
	ACCOUNT #: 00073775313	BUY 0 Units @0.0000						
06/01/2018	***-**-135P - PASCH, SHAHAR	EUROPACIFIC GROWTH FUND-A	0.00	0.94	0.00	0.94	75.0	0.71
	ACCOUNT #: 00081639466	BUY 0 Units @0.0000						
06/01/2018	***-**-635I - CAMPBELL,	EUROPACIFIC GROWTH FUND-A	0.00	0.35	0.00	0.35	75.0	0.26
	ACCOUNT #: 00084984847	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/01/2018	***-**-461I - CAMPBELL, COLIN	EUROPACIFIC GROWTH FUND-A	0.00	9.33	0.00	9.33	75.0	7.00
	ACCOUNT #: 00084984880	BUY 0 Units @0.0000						
06/01/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	0.00	6.72	0.00	6.72	75.0	5.04
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						
06/01/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	0.00	7.49	0.00	7.49	75.0	5.62
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						
06/01/2018	***-**-3178 - IONESCU, MIHAELA	FUNDAMENTAL INVESTORS-529C	0.00	8.73	0.00	8.73	75.0	6.55
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
06/01/2018	***-**-3178 - IONESCU, MIHAELA	FUNDAMENTAL INVESTORS-529C	0.00	8.92	0.00	8.92	75.0	6.69
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
06/01/2018	***-**-894I - GARCIA, MARIANO	FUNDAMENTAL INVESTORS-529E	0.00	81.77	0.00	81.77	75.0	61.33
	ACCOUNT #: 00073051316	BUY 0 Units @0.0000						
06/01/2018	***-**-4894 - GARCIA, MARIANO	FUNDAMENTAL INVESTORS-529E	0.00	41.80	0.00	41.80	75.0	31.35
	ACCOUNT #: 00083302737	BUY 0 Units @0.0000						
06/01/2018	***-**-725P - MOLLE, PAUL	FUNDAMENTAL INVESTORS-C	0.00	52.66	0.00	52.66	75.0	39.50
	ACCOUNT #: 00068105507	BUY 0 Units @0.0000						
06/01/2018	***-**-425P - SMOLLER, MICHAEL	GROWTH FUND OF AMERICA CLASS F	0.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
06/18/2018	***-**-67CL - MILGROOM,	JANUS MF TRAILS	0.00	47.22	0.00	47.22	75.0	35.42
		BUY 0 Units @0.0000						
06/01/2018	***-**-7264 - HOLLANDER, SHANE	NEW PERSPECTIVE FUND-529A	0.00	7.44	0.00	7.44	75.0	5.58
	ACCOUNT #: 00081895798	BUY 0 Units @0.0000						
06/01/2018	***-**-7264 - HOLLANDER, SHANE	NEW PERSPECTIVE FUND-529A	0.00	7.44	0.00	7.44	75.0	5.58
	ACCOUNT #: 00081895839	BUY 0 Units @0.0000						
06/01/2018	***-**-7264 - HOLLANDER, SHANE	NEW PERSPECTIVE FUND-529A	0.00	7.02	0.00	7.02	75.0	5.27
	ACCOUNT #: 00085243860	BUY 0 Units @0.0000						
06/01/2018	***-**-3178 - IONESCU, MIHAELA	NEW PERSPECTIVE FUND-529C	0.00	8.44	0.00	8.44	75.0	6.33
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
06/01/2018	***-**-3178 - IONESCU, MIHAELA	NEW PERSPECTIVE FUND-529C	0.00	8.62	0.00	8.62	75.0	6.47
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
06/01/2018	***-**-894I - GARCIA, MARIANO	NEW PERSPECTIVE FUND-529E	0.00	78.21	0.00	78.21	75.0	58.66
	ACCOUNT #: 00073051316	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/01/2018	***-**-4894 - GARCIA, MARIANO	NEW PERSPECTIVE FUND-529E	0.00	41.11	0.00	41.11	75.0	30.83
	ACCOUNT #: 00083302737	BUY 0 Units @0.0000						
06/01/2018	***-**-129I - HOLLANDER,	NEW PERSPECTIVE FUND-A	0.00	23.67	0.00	23.67	75.0	17.75
	ACCOUNT #: 00075689213	BUY 0 Units @0.0000						
06/01/2018	***-**-264I - HOLLANDER, TED	NEW PERSPECTIVE FUND-A	0.00	23.66	0.00	23.66	75.0	17.75
	ACCOUNT #: 00075689223	BUY 0 Units @0.0000						
06/18/2018	***-**-0720 - COCKTAILS DREAMS	QUALIFIED PLAN SUMMARY	0.00	85.88	0.00	85.88	75.0	64.42
	ACCOUNT #: 01230591027	BUY 0 Units @0.0000						
06/18/2018	***-**-9109 - PASCH, ROBERT	QUALIFIED PLAN SUMMARY	0.00	13.28	0.00	13.28	75.0	9.96
	ACCOUNT #: 01241470723	BUY 0 Units @0.0000						
06/18/2018	***-**-8780 - PALM BEACH	QUALIFIED PLAN SUMMARY	0.00	49.41	0.00	49.41	75.0	37.06
	ACCOUNT #: 01241471887	BUY 0 Units @0.0000						
06/18/2018	***-**-2111 - SPECTRUM TOURS	QUALIFIED PLAN SUMMARY	0.00	2.47	0.00	2.47	75.0	1.86
	ACCOUNT #: 01241474946	BUY 0 Units @0.0000						
06/07/2018	***-**-67CL - MILGROOM,	SMALL CAP VALUE FUND - R2	0.00	2.24	0.00	2.24	75.0	1.68
	ACCOUNT #: 07418403711	BUY 0 Units @0.0000						
06/07/2018	***-**-67CL - MILGROOM,	SMALL CAP VALUE FUND - R2	0.00	0.62	0.00	0.62	75.0	0.47
	ACCOUNT #: 07418403731	BUY 0 Units @0.0000						
06/07/2018	***-**-7574 - G-MEN CORP	SMALL CAP VALUE FUND - R2	0.00	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: 07418404146	BUY 0 Units @0.0000						
06/18/2018	***-**-7574 - G-MEN CORP	T ROWE TRAILS	0.00	1.06	0.00	1.06	75.0	0.80
	ACCOUNT #: 9940003415	BUY 0 Units @0.0000						
06/18/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	42.08	0.00	42.08	75.0	31.56
		BUY 0 Units @0.0000						
06/01/2018	***-**-985P - KEATING, EILEEN	THE BOND FUND OF AMERICA-A	0.00	0.19	0.00	0.19	75.0	0.14
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
06/01/2018	***-**-725P - MOLLE, PAUL	THE GROWTH FUND OF AMERICA-529A	0.00	9.12	0.00	9.12	75.0	6.84
	ACCOUNT #: 00073116984	BUY 0 Units @0.0000						
06/01/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	0.00	7.08	0.00	7.08	75.0	5.31
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						
06/01/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	0.00	7.89	0.00	7.89	75.0	5.92
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

June 18, 2018

CHRISTOPHER MILGROOM  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

BROKER #: 542  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/01/2018	***-**-725P - MOLLE, PAUL	THE GROWTH FUND OF AMERICA-529C	0.00	12.11	0.00	12.11	75.0	9.08
	ACCOUNT #: 00073116984	BUY 0 Units @0.0000						
06/01/2018	***-**-3178 - IONESCU, MIHAELA	THE GROWTH FUND OF AMERICA-529C	0.00	9.06	0.00	9.06	75.0	6.80
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
06/01/2018	***-**-3178 - IONESCU, MIHAELA	THE GROWTH FUND OF AMERICA-529C	0.00	9.26	0.00	9.26	75.0	6.95
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
06/01/2018	***-**-894I - GARCIA, MARIANO	THE GROWTH FUND OF AMERICA-529E	0.00	92.28	0.00	92.28	75.0	69.21
	ACCOUNT #: 00073051316	BUY 0 Units @0.0000						
06/01/2018	***-**-4894 - GARCIA, MARIANO	THE GROWTH FUND OF AMERICA-529E	0.00	44.41	0.00	44.41	75.0	33.31
	ACCOUNT #: 00083302737	BUY 0 Units @0.0000						
06/01/2018	***-**-745P - BETTS, WILLIAM	THE GROWTH FUND OF AMERICA-A	0.00	0.30	0.00	0.30	75.0	0.23
	ACCOUNT #: 00066945923	BUY 0 Units @0.0000						
06/01/2018	***-**-725P - MOLLE, PAUL	THE GROWTH FUND OF AMERICA-A	0.00	26.82	0.00	26.82	75.0	20.12
	ACCOUNT #: 00068105507	BUY 0 Units @0.0000						
06/01/2018	***-**-425P - SMOLLER, MICHAEL	THE GROWTH FUND OF AMERICA-A	0.00	114.94	0.00	114.94	75.0	86.21
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
06/01/2018	***-**-8357 - KEATING, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	0.47	0.00	0.47	75.0	0.35
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
06/01/2018	***-**-109I - PASCH, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	6.31	0.00	6.31	75.0	4.73
	ACCOUNT #: 00073775313	BUY 0 Units @0.0000						
06/01/2018	***-**-6273 - ALICEA, JULIANNE	THE GROWTH FUND OF AMERICA-A	0.00	4.23	0.00	4.23	75.0	3.17
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
06/01/2018	***-**-165P - COOPER-KEATING,	THE GROWTH FUND OF AMERICA-A	0.00	4.99	0.00	4.99	75.0	3.74
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
06/01/2018	***-**-135P - PASCH, SHAHAR	THE GROWTH FUND OF AMERICA-A	0.00	1.37	0.00	1.37	75.0	1.03
	ACCOUNT #: 00081639466	BUY 0 Units @0.0000						
06/01/2018	***-**-045P - DEANE, ZAIN	THE GROWTH FUND OF AMERICA-A	0.00	40.31	0.00	40.31	75.0	30.23
	ACCOUNT #: 00081785432	BUY 0 Units @0.0000						
06/01/2018	***-**-675P - MONTEFUSCO,	THE GROWTH FUND OF AMERICA-A	0.00	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 00081937980	BUY 0 Units @0.0000						
06/01/2018	***-**-075P - HUTCHINSON,	THE GROWTH FUND OF AMERICA-A	0.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 00082192962	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/01/2018	***-**-775P - KING, GREGORY	THE GROWTH FUND OF AMERICA-A	0.00	26.79	0.00	26.79	75.0	20.09
	ACCOUNT #: 00082438825	BUY 0 Units @0.0000						
06/01/2018	***-**-635I - CAMPBELL,	THE GROWTH FUND OF AMERICA-A	0.00	0.41	0.00	0.41	75.0	0.31
	ACCOUNT #: 00084984847	BUY 0 Units @0.0000						
06/01/2018	***-**-461I - CAMPBELL, COLIN	THE GROWTH FUND OF AMERICA-A	0.00	10.90	0.00	10.90	75.0	8.18
	ACCOUNT #: 00084984880	BUY 0 Units @0.0000						
06/01/2018	***-**-1982 - HOHNECKER, LAURA	THE GROWTH FUND OF AMERICA-A	0.00	1.56	0.00	1.56	75.0	1.17
	ACCOUNT #: 00086976260	BUY 0 Units @0.0000						
06/01/2018	***-**-425P - SMOLLER, MICHAEL	THE GROWTH FUND OF AMERICA-C	0.00	8.90	0.00	8.90	75.0	6.68
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
06/01/2018	***-**-165P - COOPER-KEATING,	THE INCOME FUND OF AMERICA-A	0.00	3.81	0.00	3.81	75.0	2.86
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
06/01/2018	***-**-045P - DEANE, ZAIN	THE INCOME FUND OF AMERICA-A	0.00	29.40	0.00	29.40	75.0	22.05
	ACCOUNT #: 00081785432	BUY 0 Units @0.0000						
06/01/2018	***-**-964I - HARRELL, ZELDA	THE INCOME FUND OF AMERICA-A	0.00	4.79	0.00	4.79	75.0	3.59
	ACCOUNT #: 00085724317	BUY 0 Units @0.0000						
06/01/2018	***-**-815P - BETTS, ALICIA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 00066945925	BUY 0 Units @0.0000						
06/01/2018	***-**-54IN - CECIARELLI,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.56	0.00	0.56	75.0	0.42
	ACCOUNT #: 00067091901	BUY 0 Units @0.0000						
06/01/2018	***-**-40IN - GEORGE, DORIS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.41	0.00	0.41	75.0	0.31
	ACCOUNT #: 00069103756	BUY 0 Units @0.0000						
06/01/2018	***-**-985P - KEATING, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.17	0.00	0.17	75.0	0.13
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
06/01/2018	***-**-8357 - KEATING, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.17	0.00	0.17	75.0	0.13
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
06/01/2018	***-**-6273 - ALICEA, JULIANNE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.66	0.00	3.66	75.0	2.75
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
06/01/2018	***-**-075P - HUTCHINSON,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00082192962	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,593.83</b>	<b>\$0.00</b>	<b>\$1,593.83</b>		<b>\$1,195.52</b>





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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
06/11/2018	***-**-67CL - MILGROOM, ACCOUNT #: R096007-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	3.63	0.00	3.63	75.0	2.72
06/11/2018	***-**-67CL - MILGROOM, ACCOUNT #: R096023-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	5.97	0.00	5.97	75.0	4.48
06/13/2018	***-**-4209 - DEBS, KEVIN ACCOUNT #: R081292-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	14.12	0.00	14.12	75.0	10.59
06/13/2018	***-**-6833 - FANO, DENNIS ACCOUNT #: R110847-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	7.91	0.00	7.91	75.0	5.93
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$31.63</b>	<b>\$0.00</b>	<b>\$31.63</b>		<b>\$23.72</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$5,274.26</b>	<b>\$1,882.89</b>	<b>\$0.00</b>	<b>\$1,882.89</b>		<b>\$1,476.40</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$1,422.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$1,476.40</b>
<b>Year-to-date Earnings</b>	<b>\$10,638.76</b>