

COMMISSION STATEMENT

August 15, 2018

MARIE OFFITT-D047

 425 South Water Avenue
 Suite 14
 Gallatin, TN 37066

BROKER #: D047

BRANCH: DME

COMMISSION STATEMENT for MARIE OFFITT-D047

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
07/30/2018	00067212328	GEORGE C CARLISLE	MF	INVESCO COMSTOCK FUND - CLASS A	B	25.00	1.25	0.00	1.25	70.0	0.88
08/10/2018	00068387199	JACKSON, DERRICK	MF	CAPITAL WORLD GROWTH AND INCOME	B	426.25	8.53	0.00	8.53	70.0	5.97
08/10/2018	00068387199	JACKSON, DERRICK	MF	NEW WORLD FUND-A	B	426.25	8.53	0.00	8.53	70.0	5.97
08/10/2018	00068387199	JACKSON, DERRICK	MF	THE GROWTH FUND OF AMERICA-A	B	439.17	8.78	0.00	8.78	70.0	6.15
07/30/2018	00068623960	FIRST BAPTIST	MF	CAPITAL INCOME BUILDER-A	B	1,118.75	22.38	0.00	22.38	70.0	15.67
07/30/2018	00068623960	FIRST BAPTIST	MF	THE GROWTH FUND OF AMERICA-A	B	1,118.75	22.38	0.00	22.38	70.0	15.67
07/30/2018	00068623960	FIRST BAPTIST	MF	THE INCOME FUND OF AMERICA-A	B	1,118.75	22.38	0.00	22.38	70.0	15.67
07/30/2018	00068623960	FIRST BAPTIST	MF	THE INVESTMENT COMPANY OF	B	1,118.75	22.38	0.00	22.38	70.0	15.67
07/30/2018	00075964908	MAYS, GARY	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
07/30/2018	00081281401	HAMILTON, MOLLY	MF	CAPITAL INCOME BUILDER-A	B	25.00	1.25	0.00	1.25	70.0	0.88
07/30/2018	00081281401	HAMILTON, MOLLY	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	70.0	0.88
08/10/2018	00085828612	CRUTCHER,	MF	CAPITAL WORLD GROWTH AND INCOME	B	70.00	3.50	0.00	3.50	70.0	2.45
07/30/2018	00088275182	JACKSON, LAURIE	MF	NEW PERSPECTIVE FUND-A	B	1,833.33	36.67	0.00	36.67	70.0	25.67
07/30/2018	00088275182	JACKSON, LAURIE	MF	THE GROWTH FUND OF AMERICA-A	B	1,833.33	36.67	0.00	36.67	70.0	25.67
07/30/2018	00088275182	JACKSON, LAURIE	MF	THE NEW ECONOMY FUND-A	B	1,833.34	36.67	0.00	36.67	70.0	25.67
07/30/2018	00088275190	JACKSON, DERRICK	MF	NEW PERSPECTIVE FUND-A	B	1,833.33	36.67	0.00	36.67	70.0	25.67
07/30/2018	00088275190	JACKSON, DERRICK	MF	THE GROWTH FUND OF AMERICA-A	B	1,833.33	36.67	0.00	36.67	70.0	25.67
07/30/2018	00088275190	JACKSON, DERRICK	MF	THE NEW ECONOMY FUND-A	B	1,833.34	36.67	0.00	36.67	70.0	25.67
07/30/2018	00088579309	DERRICK JACKSON	MF	THE GROWTH FUND OF AMERICA-A	B	1,000.00	20.00	0.00	20.00	70.0	14.00
08/06/2018	04000248795	DOROTHY H JOYNER	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
08/06/2018	04000248831	DOROTHY H JOYNER	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
08/06/2018	04000248863	DOROTHY H JOYNER	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
08/01/2018	04000580926	LEWISFELEC	MF	CAPITAL INCOME BUILDER-A	B	100.00	5.00	0.00	5.00	70.0	3.50
08/01/2018	04000580926	LEWISFELEC	MF	THE INCOME FUND OF AMERICA-A	B	100.00	5.00	0.00	5.00	70.0	3.50
08/06/2018	04000711016	WILLIAMS, MICHAEL	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
08/06/2018	04000711016	WILLIAMS, MICHAEL	MF	THE NEW ECONOMY FUND-A	B	50.00	2.50	0.00	2.50	70.0	1.75
08/01/2018	04000718508	CARTER, SHANE	MF	FUNDAMENTAL INVESTORS-A	B	50.00	2.50	0.00	2.50	70.0	1.75
08/01/2018	04000718508	CARTER, SHANE	MF	NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50	70.0	1.75
08/01/2018	04000718508	CARTER, SHANE	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
08/01/2018	04000718508	CARTER, SHANE	MF	THE NEW ECONOMY FUND-A	B	50.00	2.50	0.00	2.50	70.0	1.75
* MUTUAL FUNDS SUBTOTAL *				\$18,611.67	\$397.63	\$0.00	\$397.63		\$278.38		

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
08/07/2018	00655762862	HIBBLER, ADRIANA	MFT FIDELITY ADVISOR BIOTECH FD CL	B	0.00	8.93	0.00	8.93	70.0	6.25
08/07/2018	00655762862	HIBBLER, ADRIANA	MFT FIDELITY ADVISOR VALUE	B	0.00	4.24	0.00	4.24	70.0	2.97
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$13.17	\$0.00	\$13.17		\$9.22
*** DIRECT TRANSACTIONS TOTAL ***					\$18,611.67	\$410.80	\$0.00	\$410.80		\$287.60

BROKER COMMISSION TOTALS

Broker Transactions	\$287.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-55.43
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$232.17
Year-to-date Commissions	\$4,872.19