



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 31, 2018

01/29/2018
Page No. 1

JOHN ROSS
7261 W. Central Ave.

Toledo, OH 43617

BROKER #: R119
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
01/17/2018	OBERT, RONALD	FORD MOTOR CO DEL COM PAR	12,239.70	50.00	23.50	26.50	92.0	24.38
		* STOCKS SUBTOTAL *	\$12,239.70	\$50.00	\$23.50	\$26.50		\$24.38
		*** CLEARING TRANSACTIONS TOTAL ***	\$12,239.70	\$50.00	\$23.50	\$26.50		\$24.38
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
12/31/2017	SANDERSON, STEPHEN	GRIFFIN CAPITAL ESSENTIAL ASSET II	9,505.33	23.96	0.00	23.96	92.0	22.04
12/31/2017	YOUNG, KEITH	GRIFFIN CAPITAL ESSENTIAL ASSET II	4,752.66	11.98	0.00	11.98	92.0	11.02
		* REIT SUBTOTAL *	\$14,257.99	\$35.94	\$0.00	\$35.94		\$33.06
<u>PRODUCT CATEGORY: FIXED INDEX ANNUITIES</u>								
01/07/2018	HOLDINSKY, J JOHN	ALLIANZ FIXED INDEXED ANNUITY	0.00	353.76	0.00	353.76	92.0	325.46
		* FIXED INDEX ANNUITIES SUBTOTAL *	\$0.00	\$353.76	\$0.00	\$353.76		\$325.46
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/08/2018	MURPHY, CAROL	AM FUNDS BALANCED PORT A	10,000.00	275.00	0.00	275.00	92.0	253.00
01/16/2018	ROSS, PATRICK	AM FUNDS BOND FUND OF AMERICA A	71,795.42	1,974.37	0.00	1,974.37	92.0	1,816.42
01/16/2018	ROSS, JAMES	AM FUNDS BOND FUND OF AMERICA A	8,674.28	260.23	0.00	260.23	92.0	239.41
01/10/2018	HENDERSON, BARBARA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	4.25	0.00	4.25	92.0	3.91
01/11/2018	BRILLHART, RYAN	AM FUNDS GROWTH AND INCOME A	1,534.39	65.21	0.00	65.21	92.0	59.99
01/08/2018	HAUSER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	300.00	4.80	0.00	4.80	92.0	4.42
01/08/2018	HAUSER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	20.00	0.32	0.00	0.32	92.0	0.29
01/08/2018	TARRIS, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	300.00	4.80	0.00	4.80	92.0	4.42
01/08/2018	MCFARLAND, BRANDON	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	92.0	1.47
01/10/2018	SEYMOUR, DONALD	AM FUNDS NEW ECONOMY C	300.00	3.00	0.00	3.00	92.0	2.76
01/16/2018	GURNEY, ERICA	AM FUNDS NEW WORLD A	25.00	1.25	0.00	1.25	92.0	1.15

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	SEYMOUR, DONALD	AM FUNDS NEW WORLD C	200.00	2.00	0.00	2.00	92.0	1.84
01/10/2018	HENDERSON, BARBARA	AM FUNDS SMALLCAP WORLD A	50.00	2.13	0.00	2.13	92.0	1.96
01/11/2018	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52
01/11/2018	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52
01/10/2018	SEYMOUR, DONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	123.01	1.23	0.00	1.23	92.0	1.13
01/10/2018	SEYMOUR, DONALD	AMERICAN FUNDS 2015 TARGET DATE-C	100.00	1.00	0.00	1.00	92.0	0.92
* MUTUAL FUNDS SUBTOTAL *			\$94,022.10	\$2,613.19	\$0.00	\$2,613.19		\$2,404.13
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/02/2018	SCHLIEVERT, LYLE	BRIGHTHOUSE	62,412.41	156.03	0.00	156.03	92.0	143.55
01/02/2018	AUBRY, PATRICIA	BRIGHTHOUSE	59,473.15	148.68	0.00	148.68	92.0	136.79
01/02/2018	AUBRY, PATRICIA	BRIGHTHOUSE	46,889.03	117.22	0.00	117.22	92.0	107.84
01/02/2018	MOORE, GARY	BRIGHTHOUSE	130,593.67	326.48	0.00	326.48	92.0	300.36
01/02/2018	CURSON, FRANK	BRIGHTHOUSE	126,640.68	316.60	0.00	316.60	92.0	291.27
01/02/2018	VALIQUETTE, MYRNA	BRIGHTHOUSE	24,248.33	60.62	0.00	60.62	92.0	55.77
01/02/2018	VALIQUETTE, PAUL	BRIGHTHOUSE	20,137.67	50.34	0.00	50.34	92.0	46.31
01/02/2018	CURSON, BEVERLY	BRIGHTHOUSE	63,764.37	159.41	0.00	159.41	92.0	146.66
01/02/2018	GERMAN, MARIANNE	BRIGHTHOUSE	11,067.33	27.67	0.00	27.67	92.0	25.46
01/02/2018	HOLDINSKY, PATRICIA	BRIGHTHOUSE	112,726.44	281.82	0.00	281.82	92.0	259.27
01/02/2018	IRWIN, JOHN	BRIGHTHOUSE	128,716.67	321.79	0.00	321.79	92.0	296.05
01/02/2018	COLEMAN, PARISS	BRIGHTHOUSE	135,668.09	339.17	0.00	339.17	92.0	312.04
01/02/2018	KRAUS, JUDITH	BRIGHTHOUSE	57,701.37	144.25	0.00	144.25	92.0	132.71
01/02/2018	DRUCKENMILLER, CHRISTINA	BRIGHTHOUSE	196,431.30	491.08	0.00	491.08	92.0	451.79
01/02/2018	MOORE, LINDA	BRIGHTHOUSE	12,991.30	32.48	0.00	32.48	92.0	29.88
01/02/2018	HELMINIAK, CAROLYN	BRIGHTHOUSE	57,232.23	143.08	0.00	143.08	92.0	131.63
01/02/2018	KOZLOWSKI, KENNETH	BRIGHTHOUSE	32,043.18	80.11	0.00	80.11	92.0	73.70
01/02/2018	VALADE, JANET	BRIGHTHOUSE	59,715.63	149.29	0.00	149.29	92.0	137.35
01/02/2018	VALIQUETTE, MYRNA	BRIGHTHOUSE	21,314.25	53.29	0.00	53.29	92.0	49.03
01/02/2018	SWINDLE, CYNTHIA	BRIGHTHOUSE	12,874.91	32.19	0.00	32.19	92.0	29.61
01/02/2018	ROSS, DANIEL	BRIGHTHOUSE	21,964.81	54.91	0.00	54.91	92.0	50.52
01/02/2018	SMITH, SUZANNE	BRIGHTHOUSE	224,870.93	562.18	0.00	562.18	92.0	517.21
01/02/2018	BOWSER, DANIEL	BRIGHTHOUSE	52,175.04	130.44	0.00	130.44	92.0	120.00
01/02/2018	HAMAKER, SCOTT	BRIGHTHOUSE	56,957.61	142.39	0.00	142.39	92.0	131.00
01/02/2018	WASSERMAN, RICHARD	BRIGHTHOUSE	10,898.56	27.25	0.00	27.25	92.0	25.07

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/02/2018	KOZIARSKI, RICHARD	BRIGHTHOUSE	50,351.76	125.88	0.00	125.88	92.0	115.81
01/02/2018	SCHNITKEY, KAREN	BRIGHTHOUSE	64,003.53	160.01	0.00	160.01	92.0	147.21
01/02/2018	WOODRUFF, STEPHANIE	BRIGHTHOUSE	53,271.59	133.18	0.00	133.18	92.0	122.53
01/02/2018	WAGONER JR, JOHN	BRIGHTHOUSE	53,709.39	134.27	0.00	134.27	92.0	123.53
01/02/2018	GARDNER, WM	BRIGHTHOUSE	12,252.46	30.63	0.00	30.63	92.0	28.18
01/02/2018	KRALL, GARY	BRIGHTHOUSE	21,844.79	54.61	0.00	54.61	92.0	50.24
01/11/2018	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
01/11/2018	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
01/11/2018	SHOEMAKER, DENNIS	MML ARTISTRY	25.00	1.38	0.00	1.38	92.0	1.27
01/23/2018	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
01/25/2018	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
01/25/2018	SHOEMAKER, DENNIS	MML ARTISTRY	25.00	1.38	0.00	1.38	92.0	1.27
* VARIABLE ANNUITIES SUBTOTAL *			\$1,995,372.48	\$5,011.01	\$0.00	\$5,011.01		\$4,610.13
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/08/2018	WAGONER JR, JOHN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	3.33	0.00	3.33	92.0	3.06
01/08/2018	WAGONER JR, JOHN	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	3.02	0.00	3.02	92.0	2.78
01/08/2018	ROSS, VARIOUS	AMERICAN FUNDS 8504AFRKD	0.00	800.21	0.00	800.21	92.0	736.19
01/08/2018	ROSS, VARIOUS	AMERICAN FUNDS 8504AFRKD	0.00	1,581.18	0.00	1,581.18	92.0	1,454.69
01/24/2018	ROSS, VARIOUS	AMERICAN FUNDS PERSHING	0.00	61.85	0.00	61.85	92.0	56.90
/ /	WAGONER JR, JOHN	DELAWARE SMID CAP GROWTH A	0.00	2.35	0.00	2.35	92.0	2.16
/ /	MCLAUGHLIN, JAIME	FLEX MEEDER BALANCED FUND	0.00	0.81	0.00	0.81	92.0	0.75
/ /	MCLAUGHLIN, JAIME	FLEX MEEDER UTILITIES AND INFRASTRU	0.00	0.81	0.00	0.81	92.0	0.75
01/02/2018	SHOEMAKER, DENNIS	PUTNAM DIVERSIFIED INCOME A	0.00	0.05	0.00	0.05	92.0	0.05
01/02/2018	SHOEMAKER, DENNIS	PUTNAM GROWTH OPP A	0.00	1.55	0.00	1.55	92.0	1.43
01/02/2018	DRAKE, ERIC	PUTNAM GROWTH OPP A	0.00	5.91	0.00	5.91	92.0	5.44
01/02/2018	PLAN	PUTNAM MULTI CAP GROWTH A	0.00	4.98	0.00	4.98	92.0	4.58
01/02/2018	WAGONER JR, JOHN	PUTNAM MULTI CAP GROWTH A	0.00	4.98	0.00	4.98	92.0	4.58
01/02/2018	DRAKE, ERIC	PUTNAM MULTI CAP GROWTH A	0.00	5.37	0.00	5.37	92.0	4.94
01/02/2018	PLAN	PUTNAM MULTI CAP GROWTH A	0.00	4.84	0.00	4.84	92.0	4.45
01/02/2018	PLAN	PUTNAM MULTI-CAP GROWTH B	0.00	2.54	0.00	2.54	92.0	2.34
01/02/2018	PLAN	PUTNAM MULTI-CAP GROWTH B	0.00	2.56	0.00	2.56	92.0	2.36
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,486.34	\$0.00	\$2,486.34		\$2,287.45

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<u> OVERRIDE TRANSACTIONS </u>								
*** DIRECT TRANSACTIONS TOTAL ***			\$2,103,652.57	\$10,500.24	\$0.00	\$10,500.24		\$9,660.23
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: THOMAS HALSEY #10 </u>								
01/16/2018	LEROUX, LAURA	BLACKROCK 67776G469	1,000.00	35.00	0.00	35.00	85.0	2.45
01/16/2018	LEROUX, LAURA	BLACKROCK 67776G519	300.00	11.25	0.00	11.25	85.0	0.79
* THOMAS HALSEY SUBTOTAL *			\$1,300.00	\$46.25	\$0.00	\$46.25		\$3.24
<u> BROKER: THOMAS HALSEY #82 </u>								
12/17/2017	ZORNOW TRUST, BETTY	AMERITAS LIFE TRAILS	156,914.64	98.07	0.00	98.07	85.0	6.86
01/16/2018	LEININGER, LISA	BLACKROCK 67776G535	25.00	1.25	0.00	1.25	85.0	0.09
01/16/2018	LEININGER, LISA	BLACKROCK 67776G535	25.00	1.25	0.00	1.25	85.0	0.09
01/08/2018	DESELMs, RAYMOND	FRANKLIN MI TAX FR INCOME A	18,000.00	360.00	0.00	360.00	85.0	25.20
01/05/2018	JOHNSON, CHARLES	MAINSTAY CORNERSTONE GRW FD	0.00	0.62	0.00	0.62	85.0	0.04
01/05/2018	JOHNSON, LINDA	MAINSTAY CORNERSTONE GRW FD	0.00	0.59	0.00	0.59	85.0	0.04
01/05/2018	JOHNSON, CHARLES	MAINSTAY CORNERSTONE GRW FD	0.00	3.42	0.00	3.42	85.0	0.24
01/05/2018	JOHNSON, CHARLES	MAINSTAY US SMALL CAP INV	0.00	0.65	0.00	0.65	85.0	0.05
01/05/2018	JOHNSON, LINDA	MAINSTAY US SMALL CAP INV	0.00	0.64	0.00	0.64	85.0	0.04
01/05/2018	JOHNSON, CHARLES	MAINSTAY US SMALL CAP INV	0.00	5.16	0.00	5.16	85.0	0.36
01/01/2018	DAY, JAMES	MFS MASS INVESTORS TRUST A	0.00	8.73	0.00	8.73	85.0	0.61
01/11/2018	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
01/23/2018	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	0.39
01/23/2018	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
01/04/2018	PRZYBYLSKI, JOSHUA	PIMCO STOCK PLUS FUND A	0.00	5.00	0.00	5.00	85.0	0.35
* THOMAS HALSEY SUBTOTAL *			\$175,164.64	\$496.38	\$0.00	\$496.38		\$34.74
<u> BROKER: ROBERT THOMPSON #83 </u>								
01/08/2018	THOMPSON, VARIOUS	AMERICAN FUNDS 8504AFKRD	0.00	559.85	0.00	559.85	90.0	11.20
01/24/2018	THOMPSON, VARIOUS	AMERICAN FUNDS PERSHING	0.00	0.67	0.00	0.67	90.0	0.01
01/25/2018	RUTLEDGE, JOANNE	GOOD HARBOR TACTICAL CORE US FD A	13,689.72	2.91	0.00	2.91	90.0	0.06
01/22/2018	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
12/31/2017	SCHMIDBAUER, JOSEPH	PHOENIX IND EDGE	462.14	1.15	0.00	1.15	90.0	0.02
* ROBERT THOMPSON SUBTOTAL *			\$14,301.86	\$574.71	\$0.00	\$574.71		\$11.49
*** OVERRIDE TRANSACTIONS TOTAL ***			\$190,766.50	\$1,117.34	\$0.00	\$1,117.34		\$49.47

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BROKER COMMISSION TOTALS

Broker Transactions	\$9,684.61
Split Transactions	\$0.00
Override Transactions	\$49.47
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$9,734.08
Year-to-date Gross	\$21,839.16
Year-to-date Earnings	\$20,922.25