



Concorde Investment Services, LLC

03/13/2018

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**COMMISSION STATEMENT****March 15, 2018**BRION, N121 NORBY  
4905 Prairie LaneBROKER #: N121  
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
03/01/2018	NORBY, JADIN	AM FUNDS AMERICAN BALANCED A	0.00	0.73	0.00	0.73	90.0	0.66
03/01/2018	NORBY, JADIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.73	0.00	0.73	90.0	0.66
03/01/2018	NORBY, JADIN	AM FUNDS NEW ECONOMY A	0.00	0.92	0.00	0.92	90.0	0.83
03/01/2018	NORBY, JADIN	AM FUNDS SMALLCAP WORLD A	0.00	1.00	0.00	1.00	90.0	0.90
03/01/2018	NORBY, JADIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.76	0.00	0.76	90.0	0.68
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$4.14</b>	<b>\$0.00</b>	<b>\$4.14</b>		<b>\$3.73</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$4.14</b>	<b>\$0.00</b>	<b>\$4.14</b>		<b>\$3.73</b>

**SPLIT TRANSACTIONS****BROKER: BLB BRENT/BRION TRU-LEE/NORBY #10**

03/01/2018	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
03/01/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	3.01	0.00	3.01	90.0	2.71
03/01/2018	HEEN, JEREMY	COLLEGE 2021 FUND-529A	370.00	5.18	0.00	5.18	90.0	4.66
03/01/2018	HEEN, JEREMY	COLLEGE 2027 FUND-529A	245.00	3.43	0.00	3.43	90.0	3.09
03/01/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	180.00	3.15	0.00	3.15	90.0	2.84
03/01/2018	HEEN, JEREMY	COLLEGE 2033 FUND-529A	190.00	2.66	0.00	2.66	90.0	2.39
03/02/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	160.00	2.80	0.00	2.80	90.0	2.52
<b>* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *</b>			<b>\$1,585.00</b>	<b>\$24.17</b>	<b>\$0.00</b>	<b>\$24.17</b>		<b>\$21.76</b>

**BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332**

03/01/2018	UNTIL AGE 21, ND/UTMA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.60	0.00	0.60	90.0	0.54
03/01/2018	UNTIL AGE 21, ND/UTMA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.24	0.00	0.24	90.0	0.22
02/21/2018	EBEL, DWIGHT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2018	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	0.00	5.06	0.00	5.06	90.0	4.55
03/01/2018	MILLER, CHARLES	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2018	UNTIL AGE 21, ND/UTMA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.57	0.00	0.57	90.0	0.51

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u></b>								
03/01/2018	UNTIL AGE 21, ND/UTMA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.23	0.00	0.23	90.0	0.21
03/01/2018	DEVLIN, DON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.04	0.00	3.04	90.0	2.74
02/21/2018	EBEL, DWIGHT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	0.00	0.08	90.0	0.07
02/21/2018	EBEL, DWIGHT	AM FUNDS ICA A	0.00	0.23	0.00	0.23	90.0	0.21
02/21/2018	EBEL, DWIGHT	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.21	0.00	0.21	90.0	0.19
02/21/2018	EBEL, DWIGHT	AM FUNDS NEW WORLD A	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2018	UNTIL AGE 21, ND/UTMA	AM FUNDS NEW WORLD A	0.00	0.54	0.00	0.54	90.0	0.49
03/01/2018	UNTIL AGE 21, ND/UTMA	AM FUNDS NEW WORLD A	0.00	0.22	0.00	0.22	90.0	0.20
03/01/2018	DEVLIN, DON	AM FUNDS SMALLCAP WORLD A	0.00	0.94	0.00	0.94	90.0	0.85
03/01/2018	UNTIL AGE 21, ND/UTMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.87	0.00	0.87	90.0	0.78
03/01/2018	UNTIL AGE 21, ND/UTMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.35	0.00	0.35	90.0	0.32
03/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	0.00	5.76	0.00	5.76	90.0	5.18
03/01/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	0.00	4.13	0.00	4.13	90.0	3.72
03/01/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	0.00	3.42	0.00	3.42	90.0	3.08
<b>* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$26.76</b>	<b>\$0.00</b>	<b>\$26.76</b>		<b>\$24.10</b>
<b><u>BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333</u></b>								
02/21/2018	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.19	0.00	0.19	90.0	0.17
02/21/2018	ERNST, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
02/22/2018	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
02/20/2018	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.09	0.00	0.09	90.0	0.08
02/27/2018	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	0.21	0.00	0.21	90.0	0.19
02/20/2018	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.00	0.00	0.00	90.0	0.00
02/20/2018	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.08	0.00	0.08	90.0	0.07
02/28/2018	RED RIVER SUPPLY	PRINCIPAL LIFE FLEX INV VA	933,037.87	64.15	0.00	64.15	90.0	57.74
02/20/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.58	0.00	0.58	90.0	0.52
02/28/2018	ERNST, TERRI	TOUCHSTONE SMALL COMPANY FUND CLASS	0.00	0.06	0.00	0.06	90.0	0.05
<b>* JBB JEN-BRENT-BRION TRU-DONAI-LEE- SUBTOTAL *</b>			<b>\$933,037.87</b>	<b>\$65.45</b>	<b>\$0.00</b>	<b>\$65.45</b>		<b>\$58.91</b>
<b><u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u></b>								
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.19	0.00	0.19	90.0	0.17
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.19	0.00	0.19	90.0	0.17
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.19	0.00	0.19	90.0	0.17

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.12	0.00	0.12	90.0	0.11
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.41	0.00	0.41	90.0	0.37
03/01/2018	JORGENSEN, BLAINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.60	0.00	0.60	90.0	0.54
03/01/2018	JORGENSEN, BLAINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.60	0.00	0.60	90.0	0.54
03/01/2018	JORGENSEN, BLAINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	15.82	0.00	15.82	90.0	14.24
03/01/2018	JORGENSEN, BLAINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	14.39	0.00	14.39	90.0	12.95
03/01/2018	JORGENSEN, BLAINE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.80	0.00	0.80	90.0	0.72
03/01/2018	JORGENSEN, BLAINE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.80	0.00	0.80	90.0	0.72
03/01/2018	JORGENSEN, BLAINE	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	21.54	0.00	21.54	90.0	19.39
03/01/2018	JORGENSEN, BLAINE	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	19.51	0.00	19.51	90.0	17.56
03/01/2018	JORGENSEN, BLAINE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.69	0.00	0.69	90.0	0.62
03/01/2018	JORGENSEN, BLAINE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.69	0.00	0.69	90.0	0.62
03/01/2018	JORGENSEN, BLAINE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	18.05	0.00	18.05	90.0	16.25
03/01/2018	JORGENSEN, BLAINE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	16.42	0.00	16.42	90.0	14.78
03/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.31	0.00	0.31	90.0	0.28
03/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.31	0.00	0.31	90.0	0.28
03/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.30	0.00	0.30	90.0	0.27
03/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.31	0.00	0.31	90.0	0.28
03/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.30	0.00	0.30	90.0	0.27
03/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.23	0.00	0.23	90.0	0.21
03/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.23	0.00	0.23	90.0	0.21
03/01/2018	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.17	0.00	0.17	90.0	0.15
03/01/2018	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.44	0.00	0.44	90.0	0.40
03/01/2018	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.64	0.00	0.64	90.0	0.58
03/01/2018	UNTIL AGE 21, MN/UTMA	AM FUNDS ICA A	0.00	0.32	0.00	0.32	90.0	0.29
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.33	0.00	0.33	90.0	0.30
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.33	0.00	0.33	90.0	0.30

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.32	0.00	0.32	90.0	0.29
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.33	0.00	0.33	90.0	0.30
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.35	0.00	0.35	90.0	0.32
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.26	0.00	0.26	90.0	0.23
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.26	0.00	0.26	90.0	0.23
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.26	0.00	0.26	90.0	0.23
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.17	0.00	0.17	90.0	0.15
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.41	0.00	0.41	90.0	0.37
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.63	0.00	0.63	90.0	0.57
03/01/2018	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.38	0.00	0.38	90.0	0.34
02/14/2018	HAUGEN, STACI	COTTONWOOD MULTIFAMILY REIT II	23,300.00	559.20	0.00	559.20	90.0	503.28
03/01/2018	LEE, DONALD	GLOBAL GROWTH PORTFOLIO-529A	0.00	0.22	0.00	0.22	90.0	0.20
03/01/2018	RASMUSSEN, CLINTON	GLOBAL GROWTH PORTFOLIO-529A	0.00	0.30	0.00	0.30	90.0	0.27
<b>* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *</b>			<b>\$23,300.00</b>	<b>\$679.56</b>	<b>\$0.00</b>	<b>\$679.56</b>		<b>\$611.64</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$957,922.87</b>	<b>\$795.94</b>	<b>\$0.00</b>	<b>\$795.94</b>		<b>\$716.41</b>

**ADJUSTMENTS**

03/15/2018	*CONCIERGE SVC: 209280 HAUGEN	0.00						-20.00
03/15/2018	*CONCIERGE SVC: 209774 LIFE CHURCH	0.00						-25.00
03/15/2018	*CONCIERGE SVC: 210270 SCOTT	0.00						-25.00
03/15/2018	*CONCIERGE SVC: 210558 LYNDE	0.00						-20.00
03/15/2018	*CONCIERGE SVC: 210643 JORGENSEN	0.00						-20.00
03/15/2018	*CONCIERGE SVC: 210950 JORGENSEN	0.00						-20.00
03/15/2018	*EO INS: CURRENT MONTH INS	0.00						-300.00
03/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-0.75
03/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-0.60
03/15/2018	*RBC: WEALTHSTATION - BUMGARDNER	0.00						-4.00
03/15/2018	*TECH: CURRENT MONTH TECH	0.00						-275.00
03/15/2018	*TECHNOLOGY: ADMIN - BUMGARDNER	0.00						-16.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-726.35</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$3.73
Split Transactions	\$716.41
Override Transactions	\$0.00
Adjustments	\$-726.35
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$14.29
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$8.08</b>
<b>Year-to-date Gross</b>	<b>\$19,766.15</b>
<b>Year-to-date Earnings</b>	<b>\$17,713.54</b>