



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 15, 2017

06/13/2017
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MAURICE (MO)-M144 MCKINNEY
2970 Williams Drive

BROKER #: M144
BRANCH: EAGLE WEALTH MANAGEM

Georgetown, TX 78628-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
05/25/2017	HOLVERSON, JEFFREY	SMART TR CEFA SELECT BDC TR SER 9 U	51,008.40	1,122.45	0.00	1,122.45	90.0	1,010.21
05/25/2017	HOLVERSON, JEFFREY	SMART TR PORTFOLIO CLOSED-END FD OP	51,000.09	1,121.80	0.00	1,121.80	90.0	1,009.62
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$102,008.49	\$2,244.25	\$0.00	\$2,244.25		\$2,019.83
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/15/2017	ATKINSON, BETTY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.70	0.00	3.70	90.0	3.33
05/15/2017	ATKINSON, RUFUS	RESOURCE R E DIVERSIFIED INC FUND A	0.00	4.35	0.00	4.35	90.0	3.92
05/15/2017	ATKINSON, RUFUS	RESOURCE R E DIVERSIFIED INC FUND A	0.00	0.61	0.00	0.61	90.0	0.55
05/15/2017	ECK, JOHN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	6.13	0.00	6.13	90.0	5.52
05/15/2017	KOOPMANN, WALTER	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.11	0.00	5.11	90.0	4.60
05/15/2017	KOOPMANN, WESTON	RESOURCE R E DIVERSIFIED INC FUND A	0.00	0.61	0.00	0.61	90.0	0.55
05/15/2017	MCCALL, TIMOTHY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	0.41	0.00	0.41	90.0	0.37
05/15/2017	MCCALL, DONNA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.26	0.00	3.26	90.0	2.93
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$24.18	\$0.00	\$24.18		\$21.77
*** BROKER TRANSACTIONS TOTAL ***			\$102,008.49	\$2,268.43	\$0.00	\$2,268.43		\$2,041.60
<u>ADJUSTMENTS</u>								
06/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*PERSHING: UIT PROSPECTUS MAILING	0.00					-17.68
06/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-592.68

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$2,041.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-592.68
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,448.92
Year-to-date Gross	\$17,960.64
Year-to-date Earnings	\$15,962.47