BROKER

R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT

August 31, 2018

ARTHUR KITTELSTAD

3316 N FRONTAGE ROAD

PLANT CITY, FL 33565

BROKER #: C10

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

COMMISSION STATEMENT for ARTHUR KITTELSTAD

INVESTMENT

08/08/2018 QGW001307 SMALL, WAYNE SELECT SECTOR SPDR TRUST THE TECHNO B 6,608.69 191.00 10.00 181.00 85. 08/16/2018 QGW001323 KNUDSON, BENJAMIN ELBIT SYSTEMS LTD B 5,711.88 165.00 10.00 155.00 85. 08/08/2018 QGW018525 WAYMEL, RAYMOND CYBERARK SOFTWARE LTD SHI SINHILOUS -8,792.95 228.00 10.00 218.00 85. 08/16/2018 QGW018525 WAYMEL, RAYMOND ELBIT SYSTEMS LTD B 11,400.55 330.00 10.00 2218.00 85. 08/16/2018 QGW018525 WAYMEL, RAYMOND ELBIT SYSTEMS LTD B 11,400.55 330.00 10.00 10.00 320.00 85. 07/30/2018 QGW018541 LUNCEPORD, WENDELL NEW YORK COMMUNITY BANCORP INC S -5,391.70 140.00 10.00 130.00 85. 08/29/2018 QGW018541 LUNCEPORD, WENDELL NEW YORK COMMUNITY BANCORP INC S -5,391.70 140.00 10.00 130.00 85. 08/08/2018 QGW018632 ROHDE, JEFFREY FACEBOOK INC CL A B 2,371.46 60.00 10.00 550.00 85. 07/31/2018 QGW018632 ROHDE, JEFFREY FACEBOOK INC CL A B 2,371.46 60.00 10.00 50.00 85. 07/31/2018 QGW018633 NUTT, RENNEE ALPS SECTOR DIVIDEND DOGS ETF B 2,438.35 60.00 10.00 65.00 85. 07/31/2018 QGW018913 NUTT, RENNEE ALPS SECTOR DIVIDEND DOGS ETF B 2,438.35 60.00 10.00 65.00 85. 08/21/2018 QGW019913 NUTT, RENNEE ALPS SECTOR DIVIDEND DOGS ETF B 2,375.87 75.00 10.00 65.00 85. 08/21/2018 QGW0199044 FOUTS, DAVID MOSAIC COMPANY S -1.918.23 60.00 10.00 50.00 85. 08/08/2018 QGW0199051 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,779.22 138.00 10.00 128.00 85. 08/08/2018 QGW019919 HAECKER, GREG NUVEEN PREFERED AND INCOME 2022 TE B 23,278.90 518.00 10.00 128.00 85. 08/07/2018 QGW019119 HAECKER, GREG NUVEEN PREFERED AND INCOME 2022 TE B 23,278.90 518.00 10.00 134.00 85. 08/08/2018 QGW019119 HAECKER, GREG SELCT SECTOR SPDR TRUST THE TECHNO B 4,779.02 138.00 10.00 134.00 85. 08/08/2018 QGW019119 HAECKER, GREG SELCT SECTOR SPDR TRUST THE TECHNO B 4,774.08 140.00 10.00 134.00 85. 08/08/2018 QGW019119 HAECKER, GREG SELCT SECTOR SPDR TRUST THE TECHNO B 4,774.08 140.00 10.00 134.00 85. 08/08/2018 QGW019119 HAECKER, GREG SELCT SECTOR SPDR TRUST THE TECHNO B 4,774.08 140.00 10.00 134.00 85. 08/08/2018 QGW019143 HAECKER, GREG SELCT SECTOR	COMMISSION	RATE	COMMISSION	CHARGE	COMMISSION	AMOUNT	INVESTMENT B/S	CLIENT	TRADE DATE
PRODUCT CATEGORY: STOCKS									
08/08/2018 QGW001307 SMALL, MAYNE CYBERARK SOFTWARE LTD SHS ISIN#IL00 S -3,522.01 91.00 10.00 81.00 85.08/08/2018 QGW001307 SMALL, MAYNE SELECT SECTOR SPDR TRUST THE TECHNO B 6,608.69 191.00 10.00 181.00 85.08/08/2018 QGW001323 KNUDSON, BENJAMIN ELBIT SYSTEMS LTD B 5,711.88 165.00 10.00 155.00 85.08/20/2018 QGW018523 KNUDSON, BENJAMIN TEVA PHARMACEUTICAL INDUSTRIES LIMI S -5,094.74 75.00 10.00 65.00 85.08/08/2018 QGW018525 WAYNEL, RAYMOND CYBERARK SOFTWARE LTD SHS ISIN#IL00 S -8,792.95 228.00 10.00 218.00 85.08/08/2018 QGW018525 WAYNEL, RAYMOND ELBIT SYSTEMS LTD B 11,400.55 330.00 10.00 320.00 85.07/30/2018 QGW018525 WAYNEL, RAYMOND ELBIT SYSTEMS LTD B 11,400.55 330.00 10.00 320.00 85.07/30/2018 QGW018541 LUNCEFORD, WENDELL GAMESTOP CORP NEW CLASS A S -4,441.41 115.00 10.00 105.00 85.07/30/2018 QGW018541 LUNCEFORD, WENDELL TEVA PHARMACEUTICAL INDUSTRIES LIMI S -1,548.40 264.00 10.00 254.00 85.08/29/2018 QGW018632 ROHDE, JEFFREY FACEBOOK INC CLA B 2,371.46 60.00 10.00 254.00 85.08/08/2018 QGW018632 ROHDE, JEFFREY FACEBOOK INC CLA B 2,371.46 60.00 10.00 50.00 85.08/08/2018 QGW018632 ROHDE, JEFFREY METFLIX COM INC B 2,438.35 60.00 10.00 50.00 85.08/21/2018 QGW018913 NUTT, RENEE ALPS SECTOR DIVIDEND DOGS ETF B 2,375.87 75.00 10.00 65.00 85.08/21/2018 QGW019051 VERTREES, TRACY SELECT SECTOR DIVIDEND DOGS ETF B 2,375.87 75.00 10.00 65.00 85.08/21/2018 QGW019051 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,787.48 138.00 10.00 128.00 85.08/08/2018 QGW019051 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,787.48 138.00 10.00 128.00 85.08/07/2018 QGW019119 HAECKER, GREG MIDSOMTREE TR U S MIDCAP DIVID FD B 4,779.22 138.00 10.00 65.00 85.08/07/2018 QGW019119 HAECKER, GREG MIDSOMTREE TR U S MIDCAP DIVID FD B 4,779.22 138.00 10.00 139.00 85.08/07/2018 QGW019119 HAECKER, GREG MIDSOMTREE TR U S MIDCAP DIVID FD B 4,783.34 139.00 10.00 128.00 85.08/07/2018 QGW019119 HAECKER, GREG MIDSOMTREE TR U S MIDCAP DIVID FD B 4,781.48 149.00 10.00 139.00 85.08/07/2018 QGW019119 HAECKER, GREG MIDSOMTREE TR U S MIDCAP DIVI						CTIONS	BROKER TRANSA		
08/08/2018 QRW001307 SMALL, WAYNE SELECT SECTOR SPDR TRUST THE TECHNO B 6,608.69 191.00 10.00 181.00 85. 08/16/2018 QRW001323 KNIDSON, BENJAMIN TEVA PHARMACEUTICAL INDUSTRIES LINE B 5,711.88 165.00 10.00 65.00 85. 08/08/2018 QRW001325 WAYMEL, RAYMOND CYBERARK SOFTWARE LITD SHS ISINHILOOS -8,792.95 228.00 10.00 218.00 85. 08/08/2018 QRW01855 WAYMEL, RAYMOND ELBIT SYSTEMS LITD B 11,400.55 330.00 10.00 320.00 85. 08/16/2018 QRW01851 LUNCEFORD, WENDELL GAMESTOP CORP NEW CLASS A S -4,441.41 115.00 10.00 105.00 85. 08/08/2018 QRW018541 LUNCEFORD, WENDELL NEW YORK COMMUNITY BANCORP INC S -5,391.70 140.00 10.00 130.00 85. 08/28/2018 QRW018541 LUNCEFORD, WENDELL TEVA PHARMACEUTICAL INDUSTRIES LIMI S -11,548.40 264.00 10.00 254.00 85. 08/08/2018 QRW018632 RONDE, JEFFREY PACEBOOK INC CLA B 2,371.46 60.00 10.00 50.00 85. 08/08/2018 QRW018632 RONDE, JEFFREY PACEBOOK INC CLA B 2,371.46 60.00 10.00 50.00 85. 08/08/2018 QRW018632 RONDE, JEFFREY PACEBOOK INC CLA B 2,371.46 60.00 10.00 50.00 85. 08/08/2018 QRW018913 NUTT, RENDE ALPS SECTOR DIVIDEND DOGS ETF B 2,438.35 60.00 10.00 65.00 85. 08/12/12/18 QRW018913 NUTT, RENDE ALPS SECTOR DIVIDEND DOGS ETF B 2,375.87 75.00 10.00 65.00 85. 08/08/2018 QRW018904 FOUTS, DAVID MOSAIC COMPANY S -1,918.23 60.00 10.00 50.00 85. 08/08/2018 QRW019904 FOUTS, DAVID TEVA PHARMACEUTICAL INDUSTRIES LIMI S -2,520.50 75.00 10.00 65.00 85. 08/08/2018 QRW019905 VERTREES, TRACY WISDOMTREE TR U S MIDCAP DIVID FD B 4,779.22 138.00 10.00 128.00 85. 08/08/2018 QRW019919 HABCKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 4,783.34 149.00 10.00 128.00 85. 08/08/2018 QRW019191 HABCKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 4,783.34 149.00 10.00 134.00 85. 08/08/2018 QRW019191 HABCKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,779.22 138.00 10.00 10.00 134.00 85. 08/08/2018 QRW019191 HABCKER, DAWN ARKEN CURMINS INC B 4,183.39 104.00 10.00 10.00 134.00 85. 08/08/2018 QRW019191 HABCKER, DAWN ARKEN CURMINS INC B 4,183.39 104.00 10.00 10.00 134.00 85. 08/08/2018 QRW019191 HABCKER, DAWN ARKEN CURMINS INC B 4,								EGORY: STOCKS	PRODUCT CAT
08/16/2018 QGW001323 KNUDSON, BENJAMIN ELBIT SYSTEMS LTD B 5,711.88 165.00 10.00 155.00 85.08/20/2018 QGW001323 KNUDSON, BENJAMIN TEVA PHARMACEUTICAL INDUSTRIES LIMI S -5,994.74 75.00 10.00 65.00 85.08/2018 QGW018525 WAYMEL, RAYMOND CYBERARK SOFTWARE LTD SHS ISIN#HI00 S -8,792.95 228.00 10.00 218.00 85.08/16/2018 QGW018525 WAYMEL, RAYMOND ELBIT SYSTEMS LTD B 11,400.55 330.00 10.00 10.00 82.00 85.07/30/2018 QGW018514 LUNCEFORD, WENDELL GAMESTOP CORP NEW CLASS A S -4,441.41 115.00 10.00 10.00 105.00 85.07/30/2018 QGW018514 LUNCEFORD, WENDELL TEVA PHARMACEUTICAL INDUSTRIES LIMI S -11,548.40 264.00 10.00 254.00 85.07/30/2018 QGW018514 LUNCEFORD, WENDELL TEVA PHARMACEUTICAL INDUSTRIES LIMI S -11,548.40 264.00 10.00 50.00 85.07/30/2018 QGW018632 ROHDE, JEFFREY FACEBOOK INC CL A B 2,371.46 60.00 10.00 50.00 85.07/30/2018 QGW018632 ROHDE, JEFFREY NETFLIX COM INC B 2,371.46 60.00 10.00 50.00 85.07/31/2018 QGW018632 ROHDE, JEFFREY NETFLIX COM INC B 2,371.46 60.00 10.00 50.00 85.07/31/2018 QGW018632 ROHDE, JEFFREY NETFLIX COM INC B 2,371.46 60.00 10.00 65.00 85.07/31/2018 QGW018913 NUTT, RENEE ALPS SECTOR DIVIDEND DOGS ETF B 2,375.87 75.00 10.00 65.00 85.08/21/2018 QGW018913 NUTT, RENEE ALPS SECTOR DIVIDEND DOGS ETF B 2,375.87 75.00 10.00 65.00 85.08/21/2018 QGW019014 FOUTS, DAVID MOSAIC COMPANY S -1.918.23 60.00 10.00 50.00 85.08/21/2018 QGW019014 FOUTS, DAVID MOSAIC COMPANY S -1.918.23 60.00 10.00 65.00 85.08/21/2018 QGW019015 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,783.34 138.00 10.00 10.00 128.00 85.08/08/2018 QGW019015 VERTREES, TRACY WISDOMTREE TR U S MIDCAP DIVIDED B 4,779.22 138.00 10.00 10.00 128.00 85.08/07/2018 QGW019119 HAECKER, GREG NUVEEN PREFERED AND INCOME 2022 TE B 53,776.90 518.00 10.00 10.00 139.00 85.08/07/2018 QGW019119 HAECKER, GREG NUVEEN PREFERED AND INCOME 2022 TE B 53,776.90 518.00 10.00 10.00 139.00 85.08/07/2018 QGW01914 HAECKER, GREG NUVEEN PREFERED AND INCOME 2022 TE B 54,779.00 10.00 10.00 139.00 85.08/07/2018 QGW01914 HAECKER, GREG NUVEEN PREFERED AND INCOME 2022 TE B 54,779	67.35	85.0	81.00	10.00	91.00	-3,522.01	CYBERARK SOFTWARE LTD SHS ISIN#IL00 S	W001307 SMALL, WAYNE	08/08/2018 QC
08/20/2018 QGW001323 KNUDSON, BENJAMIN TEVA PHARMACEUTICAL INDUSTRIES LIMIS	152.35	85.0	181.00	10.00	191.00	6,608.69	SELECT SECTOR SPDR TRUST THE TECHNO B	W001307 SMALL, WAYNE	08/08/2018 QC
08/08/2018 QGW018525 WAYMEL, RAYMOND CYBERARK SOFTWARE LTD SH SISN#HILO S -8,792.95 228.00 10.00 218.00 85. 08/16/2018 QGW018525 WAYMEL, RAYMOND ELBIT SYSTEMS LTD B 11,400.55 330.00 10.00 320.00 85. 07/30/2018 QGW018541 LUNCEFORD, WENDELL NEW YORK COMMUNITY BANCORP INC S -5,391.70 140.00 10.00 10.00 130.00 85. 07/30/2018 QGW018541 LUNCEFORD, WENDELL NEW YORK COMMUNITY BANCORP INC S -5,391.70 140.00 10.00 10.00 254.00 85. 07/30/2018 QGW018541 LUNCEFORD, WENDELL TEVA PHARMACEUTICAL INDUSTRIES LIMI S -11,548.40 264.00 10.00 254.00 85. 07/30/2018 QGW018632 ROHDE, JEFFREY FACEBOOK INC CL A B 2,371.46 60.00 10.00 50.00 85. 07/31/2018 QGW018631 NUTT, RENEE ALPS SECTOR DIVIDEND DOGS ETF B 2,375.87 75.00 10.00 65.00 85. 07/31/2018 QGW018913 NUTT, RENEE WISDOMTREE TR U S MIDCAP DIVID FD B 2,375.87 75.00 10.00 65.00 85. 08/21/2018 QGW019044 FOUTS, DAVID MOSAIC COMPANY S -1,918.23 60.00 10.00 50.00 85. 08/21/2018 QGW019051 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,783.34 138.00 10.00 128.00 85. 08/08/2018 QGW019051 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,779.22 138.00 10.00 128.00 85. 08/07/2018 QGW01919 HAECKER, GREG NUVENEN PREFERRED AND INCOME 2022 TE B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019119 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019143 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019119 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019143 HAECKER, DAWN ALRCASTLE LTD SHS ISINHBWG0219K1045 B 6,193.47 179.00 10.00 134.00 85. 08/07/2018 QGW01944 DOMB, CARALINE TESLA MOTORS INC S 5,6617.50 145.00 10.00 134.00 85. 08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S 5,6617.50 145.00 10.00 10.00 134.00 85. 08/08/2018 QGW01944747 WAYMEL, RAYMOND NOVER PREFERRED AND INCOME 2022 TE B 13,965.48 134.00 10.00 10.00 136.00 85. 08/08/2018 QGW01944747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 142.00 10.	130.25	85.0	155.00	10.00	165.00	5,711.88	ELBIT SYSTEMS LTD B	W001323 KNUDSON, BENJAMIN	08/16/2018 QC
08/16/2018 QGW018525 WAYMEL, RAYMOND ELBIT SYSTEMS LTD B 11,400.55 330.00 10.00 320.00 85. 07/30/2018 QGW018541 LUNCEFORD, WENDELL GAMESTOP CORP NEW CLASS A S -4,441.41 115.00 10.00 105.00 85. 07/30/2018 QGW018541 LUNCEFORD, WENDELL NEW YORK COMMUNITY BANCORP INC S -5,391.70 140.00 10.00 130.00 85. 07/30/2018 QGW018541 LUNCEFORD, WENDELL TEVA PHARMACEUTICAL INDUSTRIES LIMI S -11,548.40 264.00 10.00 254.00 85. 07/30/2018 QGW018632 ROHDE, JEFFREY FACEBOOK INC CL A B 2,371.46 60.00 10.00 50.00 85. 08/08/2018 QGW018632 ROHDE, JEFFREY NETFLIX COM INC B 2,371.46 60.00 10.00 50.00 85. 08/08/2018 QGW018913 NUTT, RENEE ALPS SECTOR DIVIDEND DOSS ETF B 2,375.87 75.00 10.00 65.00 85. 07/31/2018 QGW018913 NUTT, RENEE WISDOMTREE TR U S MIDCAP DIVID FD B 2,374.35 75.00 10.00 65.00 85. 08/21/2018 QGW019044 FOUTS, DAVID MOSAIC COMPANY S -1,918.23 60.00 10.00 50.00 85. 08/08/21/2018 QGW019044 FOUTS, DAVID MOSAIC COMPANY S -1,918.23 60.00 10.00 50.00 85. 08/08/2018 QGW019044 FOUTS, DAVID TEVA PHARMACEUTICAL INDUSTRIES LIMI S -2,520.50 75.00 10.00 65.00 85. 08/08/2018 QGW019051 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,783.34 130.00 10.00 128.00 85. 08/08/2018 QGW019051 VERTREES, TRACY WISDOMTREE TR U S MIDCAP DIVID FD B 4,779.22 138.00 10.00 128.00 85. 08/07/2018 QGW019119 HABCKER, GREG NUVEN PREFERRED AND INCOME 2022 TE B 23,278.90 518.00 10.00 139.00 85. 08/07/2018 QGW019119 HABCKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 139.00 85. 08/07/2018 QGW019119 HABCKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 139.00 85. 08/07/2018 QGW019119 HABCKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 139.00 85. 08/07/2018 QGW019119 HABCKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 139.00 85. 08/07/2018 QGW01940 DOMB, CARALINE TESLA MOTORS INC S 4,183.39 104.00 10.00 139.00 85. 08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S 5,617.50 145.00 10.00 130.00 85. 08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S 5,617.50 145.00 10.00 130.	53.75	85.0	65.00	10.00	75.00	-5,094.74	TEVA PHARMACEUTICAL INDUSTRIES LIMIS	W001323 KNUDSON, BENJAMIN	08/20/2018 QC
07/30/2018 QSW018541 LUNCEFORD, WENDELL GAMESTOF CORP NEW CLASS A S -4,441.41 115.00 10.00 105.00 85. 07/30/2018 QSW018541 LUNCEFORD, WENDELL NEW YORK COMMUNITY BANCORP INC S -5,391.70 140.00 10.00 130.00 85. 08/29/2018 QSW018541 LUNCEFORD, WENDELL TEVA PHARMACEUTICAL INDUSTRIES LIMIS -11,548.40 264.00 10.00 254.00 85. 08/08/2018 QSW018632 ROHDE, JEFFREY FACEBOOK INC CL A B 2,371.46 60.00 10.00 50.00 85. 08/08/2018 QSW018632 ROHDE, JEFFREY NETFLIX COM INC B 2,438.35 60.00 10.00 50.00 85. 08/08/2018 QSW018632 ROHDE, JEFFREY NETFLIX COM INC B 2,438.35 60.00 10.00 50.00 85. 07/31/2018 QSW018913 NUTT, RENEE ALPS SECTOR DIVIDEND DOGS ETF B 2,375.87 75.00 10.00 65.00 85. 08/21/2018 QSW018913 NUTT, RENEE WISDOMTREE TR U S MIDCAP DIVID FD B 2,374.35 75.00 10.00 65.00 85. 08/21/2018 QSW019044 FOUTS, DAVID MOSAIC COMPANY S -1,918.23 60.00 10.00 50.00 85. 08/21/2018 QSW019044 FOUTS, DAVID TEVA PHARMACEUTICAL INDUSTRIES LIMIS -2,520.50 75.00 10.00 65.00 85. 08/08/2018 QSW019051 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,783.34 138.00 10.00 128.00 85. 08/08/2018 QSW019051 VERTREES, TRACY WISDOMTREE TR U S MIDCAP DIVID FD B 4,779.22 138.00 10.00 128.00 85. 08/07/2018 QSW019119 HAECKER, GREG NUVEEN PREFERRD AND INCOME 2022 TE B 23,278.90 518.00 10.00 134.00 85. 08/07/2018 QSW019119 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 134.00 85. 08/07/2018 QSW019119 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 134.00 85. 08/07/2018 QSW019119 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 134.00 85. 08/07/2018 QSW01919 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 134.00 85. 08/07/2018 QSW01919 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 134.00 85. 08/07/2018 QSW01919 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 134.00 85. 08/07/2018 QSW01919 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 14,003.00 10.00 10.00 136.00 85. 08/07/2018 QSW019382 BENNETT	183.80	85.0	218.00	10.00	228.00	-8,792.95	CYBERARK SOFTWARE LTD SHS ISIN#IL00 S	W018525 WAYMEL, RAYMOND	08/08/2018 QC
07/30/2018 GW018541 LUNCEFOR, WENDELL NEW YORK COMMUNITY BANCORP INC S -5,391.70 140.00 10.00 130.00 85. 08/29/2018 QW018541 LUNCEFORD, WENDELL TEVA PHARMACEUTICAL INDUSTRIES LIMIS -11,548.40 264.00 10.00 254.00 85. 07/30/2018 QW018632 ROHDE, JEFFREY FACEBOOK INC CL A B 2,371.46 60.00 10.00 50.00 85. 08/808/2018 QW018632 ROHDE, JEFFREY NETFLIX COM INC B 2,438.35 60.00 10.00 50.00 85. 07/31/2018 QW0188913 NUTT, RENEE ALPS SECTOR DIVIDEND DOGS ETF B 2,375.87 75.00 10.00 65.00 85. 07/31/2018 QW018913 NUTT, RENEE ALPS SECTOR DIVIDEND DOGS ETF B 2,374.35 75.00 10.00 65.00 85. 08/21/2018 QW019044 FOUTS, DAVID MOSAIC COMPANY S -1,918.23 60.00 10.00 50.00 85. 08/21/2018 QW019044 FOUTS, DAVID MOSAIC COMPANY S -1,918.23 60.00 10.00 50.00 85. 08/808/2018 QW019044 FOUTS, DAVID TEVA PHARMACEUTICAL INDUSTRIES LIMIS -2,520.50 75.00 10.00 65.00 85. 08/08/2018 QW019051 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,783.34 138.00 10.00 128.00 85. 08/07/2018 QW019191 HAECKER, GREG NUVEEN PREFERRED AND INCOME 2022 TE B 23,278.90 518.00 10.00 128.00 85. 08/07/2018 QW019119 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 139.00 85. 08/07/2018 QW019119 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,779.22 138.00 10.00 139.00 85. 08/07/2018 QW019119 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 139.00 85. 08/07/2018 QW019119 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 139.00 85. 08/07/2018 QW019119 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 139.00 85. 08/07/2018 QW019143 HAECKER, DAWN AIRCASTLE LID SHS ISINHBMG0219K1045 B 6,193.47 179.00 10.00 169.00 85. 08/08/2018 QW019440 DOMB, CARALINE TESLA MOTORS INC S -5,617.50 145.00 10.00 10.00 135.00 85. 08/08/2018 QW019447 WAYMEL, RAYMOND NUVEEN PREFERRED AND INCOME 2022 TE B 13,965.48 314.00 10.00 304.00 85. 08/08/2018 QW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 142.00 10.00 130.00 85. 08/08/2018 QW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR	270.50	85.0	320.00	10.00	330.00	11,400.55	ELBIT SYSTEMS LTD B	W018525 WAYMEL, RAYMOND	08/16/2018 QC
08/29/2018 QGW018541 LUNCEFORD, WENDELL TEVA PHARMACEUTICAL INDUSTRIES LIMI S -11,548.40 264.00 10.00 254.00 85. 07/30/2018 QGW018632 ROHDE, JEFFREY FACEBOOK INC CL A B 2,371.46 60.00 10.00 50.00 85. 08/08/2018 QGW018632 ROHDE, JEFFREY NETFLIX COM INC B 2,438.35 60.00 10.00 50.00 85. 07/31/2018 QGW018632 ROHDE, JEFFREY NETFLIX COM INC B 2,375.87 75.00 10.00 65.00 85. 07/31/2018 QGW018913 NUTT, RENEE ALPS SECTOR DIVIDEND DOGS ETF B 2,375.87 75.00 10.00 65.00 85. 08/21/2018 QGW018913 NUTT, RENEE WISDOMTREE TR U S MIDCAP DIVID FD B 2,374.35 75.00 10.00 65.00 85. 08/21/2018 QGW019044 FOUTS, DAVID MOSAIC COMPANY S -1,918.23 60.00 10.00 50.00 85. 08/21/2018 QGW019044 FOUTS, DAVID TEVA PHARMACEUTICAL INDUSTRIES LIMI S -2,520.50 75.00 10.00 65.00 85. 08/08/2018 QGW019051 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,783.34 138.00 10.00 128.00 85. 08/08/2018 QGW019051 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,779.22 138.00 10.00 128.00 85. 08/07/2018 QGW019119 HABECKER, GREG NUVEEN PREFERRED AND INCOME 2022 TE B 23,278.90 518.00 10.00 139.00 85. 08/07/2018 QGW019119 HABECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 134.00 85. 08/07/2018 QGW019119 HABECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 134.00 85. 08/07/2018 QGW019119 HABECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 134.00 85. 08/07/2018 QGW019119 HABECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019149 HABECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019149 HABECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC B 4,974.08 144.00 10.00 10.00 134.00 85. 08/07/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S 5,617.50 145.00 10.00 10.00 134.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, R	87.75	85.0	105.00	10.00	115.00	-4,441.41	GAMESTOP CORP NEW CLASS A S	W018541 LUNCEFORD, WENDELL	07/30/2018 QC
07/30/2018 QGW018632 ROHDE, JEFFREY FACEBOOK INC CL A B 2,371.46 60.00 10.00 50.00 85. 08/08/2018 QGW018632 ROHDE, JEFFREY NETFLIX COM INC B 2,438.35 60.00 10.00 50.00 85. 07/31/2018 QGW018913 NUTT, RENEE ALPS SECTOR DIVIDEND DOGS ETF B 2,375.87 75.00 10.00 65.00 85. 08/21/2018 QGW018913 NUTT, RENEE WISDOMTREE TR U S MIDCAP DIVID FD B 2,374.35 75.00 10.00 65.00 85. 08/21/2018 QGW019044 FOUTS, DAVID MOSAIC COMPANY S -1,918.23 60.00 10.00 50.00 85. 08/21/2018 QGW019051 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,783.34 138.00 10.00 128.00 85. 08/08/2018 QGW019051 VERTREES, TRACY WISDOMTREE TR U S MIDCAP DIVID FD B 4,779.22 138.00 10.00 128.00 85. 08/07/2018 QGW019119 HAECKER, GREG NUVEEN PREFERRED AND INCOME 2022 TE B 23,278.90 518.00 10.00 139.00 85. 08/07/2018 QGW019119 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 139.00 85. 08/07/2018 QGW019143 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019143 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 134.00 85. 08/08/2018 QGW019382 BENNETT, MARK CUMMINS INC B 4,183.39 104.00 10.00 135.00 85. 08/08/2018 QGW019382 BENNETT, MARK CUMMINS INC B 4,183.39 104.00 10.00 135.00 85. 08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S -5,617.50 145.00 10.00 135.00 85. 08/08/2018 QGW019447 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 160.00 187.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECT	109.00	85.0	130.00	10.00	140.00	-5,391.70	NEW YORK COMMUNITY BANCORP INC S	W018541 LUNCEFORD, WENDELL	07/30/2018 QC
08/08/2018 QGW018632 ROHDE, JEFFREY NETFLIX COM INC B 2,438.35 60.00 10.00 50.00 85. 07/31/2018 QGW018913 NUTT, RENEE ALPS SECTOR DIVIDEND DOGS ETF B 2,375.87 75.00 10.00 65.00 85. 07/31/2018 QGW018913 NUTT, RENEE WISDOMTREE TR U S MIDCAP DIVID FD B 2,374.35 75.00 10.00 65.00 85. 08/21/2018 QGW019044 FOUTS, DAVID MOSAIC COMPANY S -1,918.23 60.00 10.00 50.00 85. 08/21/2018 QGW019045 VERTREES, TRACY TEVA PHARMACEUTICAL INDUSTRIES LIMI S -2,520.50 75.00 10.00 65.00 85. 08/08/2018 QGW019051 VERTREES, TRACY WISDOMTREE TR U S MIDCAP DIVID FD B 4,783.34 138.00 10.00 128.00 85. 08/08/2018 QGW019051 VERTREES, TRACY WISDOMTREE TR U S MIDCAP DIVID FD B 4,779.22 138.00 10.00 128.00 85. 08/07/2018 QGW019119 HAECKER, GREG NUWEEN PREFERRED AND INCOME 2022 TE B 23,278.90 518.00 10.00 139.00 85. 08/07/2018 QGW019119 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 139.00 85. 08/07/2018 QGW019119 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 139.00 85. 08/07/2018 QGW019143 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019143 HAECKER, DAWN AIRCASTLE LITD SHS ISIN#BMG0219K1045 B 6,193.47 179.00 10.00 169.00 85. 08/08/08/2018 QGW019382 BENNETT, MARK CUMMINS INC B 4,183.39 104.00 10.00 10.00 169.00 85. 08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S -5,617.50 145.00 10.00 304.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND NUVEEN PREFERRED AND INCOME 2022 TE B 13,965.48 314.00 10.00 304.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 413.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 10.00 187.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND TEVA PHARMACEUTICAL INDUSTRIES LIMI S -7,636.05 168.00 10.00 158.00 85.	214.40	85.0	254.00	10.00	264.00	-11,548.40	TEVA PHARMACEUTICAL INDUSTRIES LIMIS	W018541 LUNCEFORD, WENDELL	08/29/2018 QC
07/31/2018 QGW018913 NUTT, RENEE ALPS SECTOR DIVIDEND DOGS ETF B 2,375.87 75.00 10.00 65.00 85. 07/31/2018 QGW018913 NUTT, RENEE WISDOMTREE TR U S MIDCAP DIVID FD B 2,374.35 75.00 10.00 65.00 85. 08/21/2018 QGW019044 FOUTS, DAVID MOSAIC COMPANY S -1,918.23 60.00 10.00 50.00 85. 08/21/2018 QGW019044 FOUTS, DAVID TEVA PHARMACEUTICAL INDUSTRIES LIMIS -2,520.50 75.00 10.00 65.00 85. 08/08/2018 QGW019051 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,783.34 138.00 10.00 128.00 85. 08/08/2018 QGW019051 VERTREES, TRACY WISDOMTREE TR U S MIDCAP DIVID FD B 4,779.22 138.00 10.00 128.00 85. 08/07/2018 QGW01919 HAECKER, GREG NUVEEN PREFERRED AND INCOME 2022 TE B 23,278.90 518.00 10.00 508.00 85. 08/07/2018 QGW019119 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 139.00 85. 08/07/2018 QGW019119 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 139.00 85. 08/07/2018 QGW019119 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019143 HAECKER, DAWN AIRCASTLE LTD SHS ISIN#BMG0219K1045 B 6,193.47 179.00 10.00 169.00 85. 08/14/2018 QGW019382 BENNETT, MARK CUMMINS INC B 4,974.08 144.00 10.00 10.00 94.00 85. 08/08/2018 QGW019382 BENNETT, MARK CUMMINS INC B 4,183.39 104.00 10.00 94.00 85. 08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S -5,617.50 145.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND NUVEEN PREFERRED AND INCOME 2022 TE B 13,965.48 314.00 10.00 304.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 413.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 10.00 187.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND TEVA PHARMACEUTICAL INDUSTRIES LIMIS -7,636.05 168.00 10.00 158.00 85.	41.00	85.0	50.00	10.00	60.00	2,371.46	FACEBOOK INC CL A B	W018632 ROHDE, JEFFREY	07/30/2018 QC
07/31/2018 QGW018913 NUTT, RENEE WISDOMTREE TR U S MIDCAP DIVID FD B 2,374.35 75.00 10.00 65.00 85. 08/21/2018 QGW019044 FOUTS, DAVID MOSAIC COMPANY S -1,918.23 60.00 10.00 50.00 85. 08/21/2018 QGW019044 FOUTS, DAVID TEVA PHARMACEUTICAL INDUSTRIES LIMIS -2,520.50 75.00 10.00 65.00 85. 08/08/2018 QGW019051 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,783.34 138.00 10.00 128.00 85. 08/08/2018 QGW019051 VERTREES, TRACY WISDOMTREE TR U S MIDCAP DIVID FD B 4,779.22 138.00 10.00 128.00 85. 08/07/2018 QGW019119 HAECKER, GREG NUVEEN PREFERRED AND INCOME 2022 TE B 23,278.90 518.00 10.00 508.00 85. 08/07/2018 QGW019119 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 139.00 85. 08/07/2018 QGW019119 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019119 HAECKER, DAWN AIRCASTLE LTD SHS ISIN#BMG0219K1045 B 6,193.47 179.00 10.00 134.00 85. 08/07/2018 QGW019143 HAECKER, DAWN AIRCASTLE LTD SHS ISIN#BMG0219K1045 B 6,193.47 179.00 10.00 169.00 85. 08/08/2018 QGW019340 DOMB, CARALINE TESLA MOTORS INC S -5,617.50 145.00 10.00 94.00 85. 08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S -5,617.50 145.00 10.00 10.00 304.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND NUVEEN PREFERRED AND INCOME 2022 TE B 13,965.48 314.00 10.00 304.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND NUVEEN PREFERRED AND INCOME 2022 TE B 13,965.48 314.00 10.00 413.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 10.00 413.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 158.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND TEVA PHARMACEUTICAL INDUSTRIES LIMIS -7,636.05 168.00 10.00 158.00 85.	41.00	85.0	50.00	10.00	60.00	2,438.35	NETFLIX COM INC B	W018632 ROHDE, JEFFREY	08/08/2018 QC
08/21/2018 QGW019044 FOUTS, DAVID MOSAIC COMPANY S -1,918.23 60.00 10.00 50.00 85. 08/21/2018 QGW019044 FOUTS, DAVID TEVA PHARMACEUTICAL INDUSTRIES LIMI S -2,520.50 75.00 10.00 65.00 85. 08/08/2018 QGW019051 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,783.34 138.00 10.00 128.00 85. 08/08/2018 QGW019051 VERTREES, TRACY WISDOMTREE TR U S MIDCAP DIVID FD B 4,779.22 138.00 10.00 128.00 85. 08/07/2018 QGW019119 HAECKER, GREG NUVEEN PREFERRED AND INCOME 2022 TE B 23,278.90 518.00 10.00 508.00 85. 08/07/2018 QGW019119 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 139.00 85. 08/07/2018 QGW019119 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019143 HAECKER, DAWN AIRCASTLE LTD SHS ISIN#BMG0219K1045 B 6,193.47 179.00 10.00 169.00 85. 08/08/2018 QGW019382 BENNETT, MARK CUMMINS INC B 4,183.39 104.00 10.00 94.00 85. 08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S -5,617.50 145.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND NUVEEN PREFERRED AND INCOME 2022 TE B 13,965.48 314.00 10.00 304.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 413.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 413.00 85. 08/201/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 187.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND TEVA PHARMACEUTICAL INDUSTRIES LIMI S -7,636.05 168.00 10.00 158.00 85.	53.75	85.0	65.00	10.00	75.00	2,375.87	ALPS SECTOR DIVIDEND DOGS ETF B	W018913 NUTT, RENEE	07/31/2018 QC
08/21/2018 QGW019044 FOUTS, DAVID TEVA PHARMACEUTICAL INDUSTRIES LIMI S -2,520.50 75.00 10.00 65.00 85. 08/08/2018 QGW019051 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,783.34 138.00 10.00 128.00 85. 08/08/2018 QGW019051 VERTREES, TRACY WISDOMTREE TR U S MIDCAP DIVID FD B 4,779.22 138.00 10.00 128.00 85. 08/07/2018 QGW019119 HAECKER, GREG NUVEEN PREFERRED AND INCOME 2022 TE B 23,278.90 518.00 10.00 508.00 85. 08/07/2018 QGW019119 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 139.00 85. 08/07/2018 QGW019119 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019143 HAECKER, DAWN AIRCASTLE LTD SHS ISIN#BMG0219K1045 B 6,193.47 179.00 10.00 169.00 85. 08/14/2018 QGW019382 BENNETT, MARK CUMMINS INC B 4,183.39 104.00 10.00 94.00 85. 08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S -5,617.50 145.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND NUVEEN PREFERRED AND INCOME 2022 TE B 13,965.48 314.00 10.00 304.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 413.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 10.00 187.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND TEVA PHARMACEUTICAL INDUSTRIES LIMI S -7,636.05 168.00 10.00 158.00 85.	53.75	85.0	65.00	10.00	75.00	2,374.35	WISDOMTREE TR U S MIDCAP DIVID FD B	W018913 NUTT, RENEE	07/31/2018 QC
08/08/2018 QGW019051 VERTREES, TRACY SELECT SECTOR SPDR TRUST THE TECHNO B 4,783.34 138.00 10.00 128.00 85. 08/08/2018 QGW019051 VERTREES, TRACY WISDOMTREE TR U S MIDCAP DIVID FD B 4,779.22 138.00 10.00 128.00 85. 08/07/2018 QGW019119 HAECKER, GREG NUVEEN PREFERRED AND INCOME 2022 TE B 23,278.90 518.00 10.00 508.00 85. 08/07/2018 QGW019119 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 139.00 85. 08/07/2018 QGW019119 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW01913 HAECKER, DAWN AIRCASTLE LTD SHS ISIN#BMG0219K1045 B 6,193.47 179.00 10.00 169.00 85. 08/14/2018 QGW019382 BENNETT, MARK CUMMINS INC B 4,183.39 104.00 10.00 94.00 85. 08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S -5,617.50 145.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND NUVEEN PREFERRED AND INCOME 2022 TE B 13,965.48 314.00 10.00 304.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 413.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 187.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 158.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND TEVA PHARMACEUTICAL INDUSTRIES LIMI S -7,636.05 168.00 10.00 158.00 85.	41.00	85.0	50.00	10.00	60.00	-1,918.23	MOSAIC COMPANY S	W019044 FOUTS, DAVID	08/21/2018 QC
08/08/2018 QGW019051 VERTREES, TRACY WISDOMTREE TR U S MIDCAP DIVID FD B 4,779.22 138.00 10.00 128.00 85. 08/07/2018 QGW019119 HAECKER, GREG NUVEEN PREFERRED AND INCOME 2022 TE B 23,278.90 518.00 10.00 508.00 85. 08/07/2018 QGW019119 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 139.00 85. 08/07/2018 QGW019119 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019143 HAECKER, DAWN AIRCASTLE LTD SHS ISIN#BMG0219K1045 B 6,193.47 179.00 10.00 169.00 85. 08/14/2018 QGW019382 BENNETT, MARK CUMMINS INC B 4,183.39 104.00 10.00 94.00 85. 08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S -5,617.50 145.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND NUVEEN PREFERRED AND INCOME 2022 TE B 13,965.48 314.00 10.00 304.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 413.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 158.00 85.	53.75	85.0	65.00	10.00	75.00	-2,520.50	TEVA PHARMACEUTICAL INDUSTRIES LIMIS	W019044 FOUTS, DAVID	08/21/2018 QC
08/07/2018 QGW019119 HAECKER, GREG NUVEEN PREFERRED AND INCOME 2022 TE B 23,278.90 518.00 10.00 508.00 85. 08/07/2018 QGW019119 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 139.00 85. 08/07/2018 QGW019119 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019143 HAECKER, DAWN AIRCASTLE LTD SHS ISIN#BMG0219K1045 B 6,193.47 179.00 10.00 169.00 85. 08/14/2018 QGW019382 BENNETT, MARK CUMMINS INC B 4,183.39 104.00 10.00 94.00 85. 08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S -5,617.50 145.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND NUVEEN PREFERRED AND INCOME 2022 TE B 13,965.48 314.00 10.00 304.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 413.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 158.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND TEVA PHARMACEUTICAL INDUSTRIES LIMI S -7,636.05 168.00 10.00 158.00 85.	107.30	85.0	128.00	10.00	138.00	4,783.34	SELECT SECTOR SPDR TRUST THE TECHNO B	W019051 VERTREES, TRACY	08/08/2018 QC
08/07/2018 QGW019119 HAECKER, GREG SELECT SECTOR SPDR TRUST THE TECHNO B 5,141.43 149.00 10.00 139.00 85. 08/07/2018 QGW019119 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019143 HAECKER, DAWN AIRCASTLE LTD SHS ISIN#BMG0219K1045 B 6,193.47 179.00 10.00 169.00 85. 08/14/2018 QGW019382 BENNETT, MARK CUMMINS INC B 4,183.39 104.00 10.00 94.00 85. 08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S -5,617.50 145.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND NUVEEN PREFERRED AND INCOME 2022 TE B 13,965.48 314.00 10.00 304.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 413.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 158.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND TEVA PHARMACEUTICAL INDUSTRIES LIMI S -7,636.05 168.00 10.00 158.00 85.	107.30	85.0	128.00	10.00	138.00	4,779.22	WISDOMTREE TR U S MIDCAP DIVID FD B	W019051 VERTREES, TRACY	08/08/2018 QC
08/07/2018 QGW019119 HAECKER, GREG WISDOMTREE TR U S MIDCAP DIVID FD B 4,974.08 144.00 10.00 134.00 85. 08/07/2018 QGW019143 HAECKER, DAWN AIRCASTLE LTD SHS ISIN#BMG0219K1045 B 6,193.47 179.00 10.00 169.00 85. 08/14/2018 QGW019382 BENNETT, MARK CUMMINS INC B 4,183.39 104.00 10.00 94.00 85. 08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S -5,617.50 145.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND NUVEEN PREFERRED AND INCOME 2022 TE B 13,965.48 314.00 10.00 304.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 413.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 187.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND TEVA PHARMACEUTICAL INDUSTRIES LIMIS -7,636.05 168.00 10.00 158.00 85.	430.30	85.0	508.00	10.00	518.00	23,278.90	NUVEEN PREFERRED AND INCOME 2022 TE B	W019119 HAECKER, GREG	08/07/2018 QC
08/07/2018 QGW019143 HAECKER, DAWN AIRCASTLE LTD SHS ISIN#BMG0219K1045 B 6,193.47 179.00 10.00 169.00 85. 08/14/2018 QGW019382 BENNETT, MARK CUMMINS INC B 4,183.39 104.00 10.00 94.00 85. 08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S -5,617.50 145.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND NUVEEN PREFERRED AND INCOME 2022 TE B 13,965.48 314.00 10.00 304.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 413.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 187.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND TEVA PHARMACEUTICAL INDUSTRIES LIMI S -7,636.05 168.00 10.00 158.00 85.	116.65	85.0	139.00	10.00	149.00	5,141.43	SELECT SECTOR SPDR TRUST THE TECHNO B	W019119 HAECKER, GREG	08/07/2018 QC
08/14/2018 QGW019382 BENNETT, MARK CUMMINS INC B 4,183.39 104.00 10.00 94.00 85. 08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S -5,617.50 145.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND NUVEEN PREFERRED AND INCOME 2022 TE B 13,965.48 314.00 10.00 304.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 413.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 187.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND TEVA PHARMACEUTICAL INDUSTRIES LIMI S -7,636.05 168.00 10.00 158.00 85.	112.40	85.0	134.00	10.00	144.00	4,974.08	WISDOMTREE TR U S MIDCAP DIVID FD B	W019119 HAECKER, GREG	08/07/2018 QC
08/08/2018 QGW019440 DOMB, CARALINE TESLA MOTORS INC S -5,617.50 145.00 10.00 135.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND NUVEEN PREFERRED AND INCOME 2022 TE B 13,965.48 314.00 10.00 304.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 413.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 187.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND TEVA PHARMACEUTICAL INDUSTRIES LIMI S -7,636.05 168.00 10.00 158.00 85.	142.15	85.0	169.00	10.00	179.00	6,193.47	AIRCASTLE LTD SHS ISIN#BMG0219K1045 B	W019143 HAECKER, DAWN	08/07/2018 QC
08/08/2018 QGW024747 WAYMEL, RAYMOND NUVEEN PREFERRED AND INCOME 2022 TE B 13,965.48 314.00 10.00 304.00 85. 08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 413.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 187.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND TEVA PHARMACEUTICAL INDUSTRIES LIMI S -7,636.05 168.00 10.00 158.00 85.	78.40	85.0	94.00	10.00	104.00	4,183.39	CUMMINS INC B	W019382 BENNETT, MARK	08/14/2018 QC
08/08/2018 QGW024747 WAYMEL, RAYMOND SELECT SECTOR SPDR TRUST THE TECHNO B 14,709.30 423.00 10.00 413.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 187.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND TEVA PHARMACEUTICAL INDUSTRIES LIMI S -7,636.05 168.00 10.00 158.00 85.	113.25	85.0	135.00	10.00	145.00	-5,617.50	TESLA MOTORS INC S	W019440 DOMB, CARALINE	08/08/2018 QC
08/21/2018 QGW024747 WAYMEL, RAYMOND MOSAIC COMPANY S -8,941.50 197.00 10.00 187.00 85. 08/21/2018 QGW024747 WAYMEL, RAYMOND TEVA PHARMACEUTICAL INDUSTRIES LIMI S -7,636.05 168.00 10.00 158.00 85.	256.90	85.0	304.00	10.00	314.00	13,965.48	NUVEEN PREFERRED AND INCOME 2022 TE B	W024747 WAYMEL, RAYMOND	08/08/2018 QC
08/21/2018 QGW024747 WAYMEL, RAYMOND TEVA PHARMACEUTICAL INDUSTRIES LIMIS -7,636.05 168.00 10.00 158.00 85.	349.55	85.0	413.00	10.00	423.00	14,709.30	SELECT SECTOR SPDR TRUST THE TECHNO B	W024747 WAYMEL, RAYMOND	08/08/2018 QC
	157.45	85.0	187.00	10.00	197.00	-8,941.50	MOSAIC COMPANY S	W024747 WAYMEL, RAYMOND	08/21/2018 QC
00/05/0010 05/0000 05/0000 05/0000 05/0000 05/0000 05/0000 05/0000 05/0000 05/0000 05/0000 05/0000 05/00000000	132.80	85.0	158.00	10.00	168.00	-7,636.05	TEVA PHARMACEUTICAL INDUSTRIES LIMIS	W024747 WAYMEL, RAYMOND	08/21/2018 QC
08/07/2018 QGW024820 GRAMPP, RAYMOND NEW YORK COMMUNITY BANCORP INC B 3,160.98 93.00 10.00 83.00 85.	69.05	85.0	83.00	10.00	93.00	3,160.98	NEW YORK COMMUNITY BANCORP INC B	W024820 GRAMPP, RAYMOND	08/07/2018 QC
08/08/2018 QGW024986 PENNINGTON, CHARLES CYBERARK SOFTWARE LTD SHS ISIN#IL00 S -5,574.42 145.00 10.00 135.00 85.	113.25	85.0	135.00	10.00	145.00	-5,574.42	CYBERARK SOFTWARE LTD SHS ISIN#IL00 S	W024986 PENNINGTON, CHARLES	08/08/2018 QC
08/29/2018 QGW024986 PENNINGTON, CHARLES MOSAIC COMPANY S -6,352.60 142.00 10.00 132.00 85.	110.70	85.0	132.00	10.00	142.00	-6,352.60	MOSAIC COMPANY S	W024986 PENNINGTON, CHARLES	08/29/2018 QC
08/21/2018 QGW025454 HOFFMAN, PATRICIA MOSAIC COMPANY S -4,465.97 89.00 10.00 79.00 85.	65.65	85.0	79.00	10.00	89.00	-4,465.97	MOSAIC COMPANY S	W025454 HOFFMAN, PATRICIA	08/21/2018 QC

COMMISSION STATEMENT for ARTHUR KITTELSTAD

TRADE DATE	CLIENT	INVESTMENT B/	INVESTMENT S AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION				
BROKER TRANSACTIONS												
ספטטנוטיי טאי	regory: stocks											
	GW025942 MCEVOY JT TEN,	MOSAIC COMPANY S	-3,152.34	75.00	10.00	65.00	85.0	53.75				
~	GW025942 MCEVOY JT TEN,	TEVA PHARMACEUTICAL INDUSTRIES LIMI S	,	179.00	10.00	169.00	85.0	142.15				
	GW028979 DEKKER, KATHIE	MOSAIC COMPANY S		75.00	10.00	65.00	85.0	53.75				
~	GW028979 DEKKER, KATHIE	SELECT SECTOR SPDR TRUST THE TECHNO B	,	105.00	10.00	95.00	85.0	79.25				
	GW029943 KITTELSTAD, ARTHUR	TEVA PHARMACEUTICAL INDUSTRIES LIMI S		97.00	10.00	87.00	85.0	72.45				
	GW029597 M. PESSETTI JT TEN,			92.00	10.00	82.00	85.0	68.20				
~	GW030694 ABLES, FRANCES	ALPS SECTOR DIVIDEND DOGS ETF B	,	93.00	10.00	83.00		69.05				
	GW030094 ABLES, FRANCES GW031189 JOHNSON, KENNETH	SELECT SECTOR SPDR TRUST THE TECHNO B		105.00	10.00	95.00		79.25				
	GW038564 WILLIS, LARRY	SELECT SECTOR SPDR TRUST THE TECHNO B	,	196.00	10.00	186.00	85.0	156.60				
	GW039927 JOHNSON, KENNETH	SELECT SECTOR SPDR TRUST THE TECHNO B		148.00	10.00	138.00		115.80				
00/10/2010 Q	GW039927 UOIMSON, KENNEIII						03.0					
		* STOCKS SUBTOTAL *	\$45,699.10	\$6,255.00	\$410.00	\$5,845.00		\$4,906.75				
PRODUCT CAT	regory: Mutual fund 1281	TRAILS										
08/31/2018		FEE COUNT - 5	0.00	16.28	0.00	16.28	85.0	13.84				
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$16.28	\$0.00	\$16.28		\$13.84				
		*** BROKER TRANSACTIONS TOTAL ***	\$45,699.10	\$6,271.28	\$410.00	\$5,861.28		\$4,920.59				
<u>ADJUSTMENTS</u>												
			<u> </u>									
08/31/2018		COMMISSION INCOME: QGW025066	0.00	0.00	0.00	0.00		63.75				
08/31/2018		FEE ACTIVITY: MS INDIVIDUAL REG. FE	0.00	0.00	0.00	0.00		-50.00				
08/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00		-20.00				
08/31/2018		OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00		-15.00				
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-21.25				

BROKER COMMISSION TOTALS \$4,920.59 Broker Transactions \$0.00 Split Transactions \$0.00 Override Transactions Adjustments \$-21.25 Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$-7.84 \$-15.68 SIPC Assessment \$4,875.82 Check Amount \$46,407.65 Year-to-date Earnings