

COMMISSION STATEMENT April 15, 2017

JAMES PANOS

330 North Street

Boston, MA 02113-

BROKER #: P116 Terminated 12/31/2015

BRANCH: JAMES PANOS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRANSACTIONS							
PRODUCT	CATEGORY: MUTUAL FUNDS							
/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	27.70	0.00	27.70	0.0	0.00
/ /	FACADA, ANNA	FA 529 COLLEGE PORT C	0.00	23.63	0.00	23.63	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	2.63	0.00	2.63	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	3.57	0.00	3.57	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	7.20	0.00	7.20	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	9.74	0.00	9.74	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	4.35	0.00	4.35	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	5.89	0.00	5.89	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	11.84	0.00	11.84	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	4.24	0.00	4.24	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	5.73	0.00	5.73	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT C	0.00	11.64	0.00	11.64	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	0.00	24.87	0.00	24.87	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	4.43	0.00	4.43	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	5.99	0.00	5.99	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT C	0.00	11.37	0.00	11.37	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT C	0.00	3.96	0.00	3.96	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 C	0.00	59.47	0.00	59.47	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	0.00	5.28	0.00	5.28	0.0	0.00
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 C	0.00	2.82	0.00	2.82	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	9.79	0.00	9.79	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	60.45	0.00	60.45	0.0	0.00
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	40.91	0.00	40.91	0.0	0.00
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	24.20	0.00	24.20	0.0	0.00
/ /	POWERS, GARY	FA 529 PORT 2022 C	0.00	10.33	0.00	10.33	0.0	0.00
/ /	REMIA, ALEX	FA 529 PORT 2022 C	0.00	15.64	0.00	15.64	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	SACTIONS					
בארטווכים כא	ATEGORY: MUTUAL FUNDS							
/ /	REMIA, ALEX	FA 529 PORT 2022 C	0.00	24.18	0.00	24.18	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	6.67	0.00	6.67	0.0	0.00
, ,	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	10.95	0.00	10.95	0.0	0.00
, ,	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	11.08	0.00	11.08	0.0	0.00
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 C	0.00	27.06	0.00	27.06	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	7.67	0.00	7.67	0.0	0.00
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	12.92	0.00	12.92	0.0	0.00
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	10.47	0.00	10.47	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2022 C	0.00	31.35	0.00	31.35	0.0	0.00
/ /	DORAN, SHAWN	FA 529 PORT 2022 C	0.00	3.23	0.00	3.23	0.0	0.00
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	19.86	0.00	19.86	0.0	0.00
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 C	0.00	2.93	0.00	2.93	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	38.13	0.00	38.13	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	18.17	0.00	18.17	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	14.13	0.00	14.13	0.0	0.00
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	31.64	0.00	31.64	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	0.00	21.01	0.00	21.01	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	17.36	0.00	17.36	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	13.05	0.00	13.05	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2028 C	0.00	4.81	0.00	4.81	0.0	0.00
/ /	FARMER, NEAL	FIDELITY ADVISORS 529 MONEY MARKET	0.00	0.55	0.00	0.55	0.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$0.00	\$724.89	\$0.00	\$724.89		\$0.00
PRODUCT CA	TEGORY: VARIABLE ANNUITIE	<u>s</u>						
03/31/2017	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	86,993.78	72.49	0.00	72.49	0.0	0.00
03/31/2017	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	214,982.56	89.58	0.00	89.58	0.0	0.00
03/31/2017	BROPHY, VIRGINIA	METLIFE SERIES L	167,829.40	419.57	0.00	419.57	0.0	0.00
03/31/2017	KAVANAUGH, MARJORIE	METLIFE SERIES L	76,732.95	191.83	0.00	191.83	0.0	0.00
03/31/2017	BROPHY, CHARLES	METLIFE SERIES L	360,480.72	901.20	0.00	901.20	0.0	0.00
03/31/2017	VITUKEVICH, MICHAEL	METLIFE SERIES L	157,225.37	393.06	0.00	393.06	0.0	0.00
03/31/2017	MONAGHAN, THOMAS	METLIFE SERIES L	121,570.45	303.93	0.00	303.93	0.0	0.00
03/31/2017	YFANTOPULOS, GEORGE	METLIFE SERIES L	234,133.16	585.33	0.00	585.33	0.0	0.00
03/31/2017	KAVANAUGH, MARJORIE	METLIFE SERIES L	66,640.27	166.60	0.00	166.60	0.0	0.00
03/31/2017	CHIARELLA, LAWRENCE	METLIFE SERIES L	298,504.03	746.26	0.00	746.26	0.0	0.00

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[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

04/12/2017		COMMISSION STATEMEN	COMMISSION STATEMENT for JAMES PANOS					1436 1.6.	
DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
		ADJUSTM	ENTS						
		* VARIABLE ANNUITIES SUBTOTAL *	\$1,785,092.69	\$3,869.85	\$0.00	\$3,869.85		\$0.00	
		*** BROKER TRANSACTIONS TOTAL ***	\$1,785,092.69	\$4,594.74	\$0.00	\$4,594.74		\$0.00	
					<u>]</u>	BROKER COMM	[SSION	TOTALS	
					Broke	r Transactions	i	\$0.00	
					Spli	t Transactions	,	\$0.00	
					Overrid	e Transactions		\$0.00	
						Adjustments		\$0.00	
						Payroll Draw	ŗ	\$0.00	
						Base Salary		\$0.00	
					Prior	Period Balance	1	\$0.00	
					FI	NRA Assessment		\$0.00	
					S	IPC Assessment		\$0.00	
		Please Retain fo	r Your Records					** **	

Balance Forward	\$0.00
Year-to-date Gross	\$10,927.97
Year-to-date Earnings	\$0.00