



Concorde Investment Services, LLC

07/12/2017
Page No. 1

COMMISSION STATEMENT

July 15, 2017

WILLIAM THOMAS
2565 West Maple Road

BROKER #: T123 Terminated 12/31/2016
BRANCH: SECURE ASSET FINANCI

Troy, MI 48084

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 06/26/2017 | ISBELL, LINDA | AM FUNDS AMCAP A | 50.00 | 2.50 | 0.00 | 2.50 | 0.0 | 0.00 |
| 06/26/2017 | ISBELL, LINDA | AM FUNDS AMERICAN MUTUAL A | 50.00 | 2.50 | 0.00 | 2.50 | 0.0 | 0.00 |
| 06/26/2017 | THOMAS, NICOLE | AM FUNDS GROWTH FUND OF AMERICA 529 | 100.00 | 4.25 | 0.00 | 4.25 | 0.0 | 0.00 |
| 06/26/2017 | ISBELL, LINDA | AM FUNDS GROWTH FUND OF AMERICA A | 50.00 | 2.50 | 0.00 | 2.50 | 0.0 | 0.00 |
| 06/26/2017 | ISBELL, LINDA | AM FUNDS ICA A | 50.00 | 2.50 | 0.00 | 2.50 | 0.0 | 0.00 |
| 07/05/2017 | GILSON, ANN | PIONEER VALUE A | 0.00 | 28.15 | 0.00 | 28.15 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$300.00 | \$42.40 | \$0.00 | \$42.40 | | \$0.00 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 06/28/2017 | WOJCICKIJ, TARAS | NWL NEXT GEN II FP VUL | 300.00 | 6.00 | 0.00 | 6.00 | 0.0 | 0.00 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$300.00 | \$6.00 | \$0.00 | \$6.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 06/30/2017 | LUKE, CECILIA | LFG TRAILS | 0.00 | 260.14 | 0.00 | 260.14 | 0.0 | 0.00 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$260.14 | \$0.00 | \$260.14 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$600.00 | \$308.54 | \$0.00 | \$308.54 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|--------------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$10,930.30 |
| Year-to-date Earnings | \$5,706.04 |