



COMMISSION STATEMENT

January 22, 2018

WILLIAM AGNELLO
28 Venice Circle
Rochester, NY 14609

BROKER #: 119
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
01/18/2018	***-**-43IN - CUP, NICHOLAS	FORD MTR CO DEL COM UNSOLICITED ORD	3,607.50	79.31	21.00	58.31	70.0	40.82
	ACCOUNT #: LSR006890	SELL 300 Units @12.0250						
		* STOCKS SUBTOTAL *	\$3,607.50	\$79.31	\$21.00	\$58.31		\$40.82
<u>PRODUCT CATEGORY: RIA</u>								
01/18/2018	***-**-36FB - IRWIN, CHARLES	GPS ACCUMULATION, PROFILE 3, MODERA	153,954.17	379.50	0.00	379.50	70.0	265.65
	ACCOUNT #: 3128048	BUY 0 Units @1539.5417						
		* RIA SUBTOTAL *	\$153,954.17	\$379.50	\$0.00	\$379.50		\$265.65
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
01/18/2018	***-**-28IR - CUP, ANDREW	TWO HBRS INVT CORP COM NEW UNSOLICI	781.25	42.78	24.00	18.78	70.0	13.15
	ACCOUNT #: LSR007129	SELL 50 Units @15.6250						
		* UNIT INVESTMENT TRUSTS SUBTOTAL *	\$781.25	\$42.78	\$24.00	\$18.78		\$13.15
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/22/2018	***-**-28IN - CHIAVETTA,	AMERICAN BALANCED FUND-A	0.00	2.38	0.00	2.38	70.0	1.67
	ACCOUNT #: LSR008842	BUY 1 Units @0.0000						
01/22/2018	***-**-93IO - WOJTAS, JEANETTE	AMERICAN HIGH-INCOME TRUST-A	0.00	1.53	0.00	1.53	70.0	1.07
	ACCOUNT #: LSR007323	BUY 1 Units @0.0000						
01/22/2018	***-**-75IO - DELCOUR	AMERICAN HIGH-INCOME TRUST-C	0.00	10.20	0.00	10.20	70.0	7.14
	ACCOUNT #: LSR007358	BUY 1 Units @0.0000						
01/16/2018	***-**-28IN - CHIAVETTA,	DELAWARE HIGH YIELD OPPS FUND A CLA	0.00	0.68	0.00	0.68	70.0	0.48
	ACCOUNT #: LSR008842	BUY 1 Units @0.0000						
01/22/2018	***-**-613K - HAGER	DREYFUS LIMITED TERM HIGH YIELD FUN	0.00	1.95	0.00	1.95	70.0	1.37
	ACCOUNT #: LSR006980	BUY 1 Units @0.0000						
01/22/2018	***-**-43IN - CUP, NICHOLAS	FIDELITY ADV HIGH INC ADVANTAGE CL	0.00	16.08	0.00	16.08	70.0	11.26
	ACCOUNT #: LSR006890	BUY 1 Units @0.0000						
01/22/2018	***-**-11IO - CRANDALL,	FIDELITY ADV HIGH INC ADVANTAGE CL	0.00	17.04	0.00	17.04	70.0	11.93
	ACCOUNT #: LSR007145	BUY 1 Units @0.0000						
01/22/2018	***-**-657I - RIEL, KEVIN	FIDELITY ADV SMALL CAP GROWTH-CL C	0.00	6.12	0.00	6.12	70.0	4.28
	ACCOUNT #: LSR007366	BUY 1 Units @0.0000						
01/22/2018	***-**-43IN - CUP, NICHOLAS	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	17.98	0.00	17.98	70.0	12.59
	ACCOUNT #: LSR006890	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/22/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	FIDELITY ADVISOR BIOTECHNOLOGY CL C BUY 1 Units @0.0000	0.00	7.46	0.00	7.46	70.0	5.22
01/22/2018	***-**-36IO - IRWIN, CHARLES ACCOUNT #: LSR007200	FIDELITY ADVISOR BIOTECHNOLOGY CL C BUY 1 Units @0.0000	0.00	10.18	0.00	10.18	70.0	7.13
01/22/2018	***-**-657I - RIEL, KEVIN ACCOUNT #: LSR007366	FIDELITY ADVISOR BIOTECHNOLOGY CL C BUY 1 Units @0.0000	0.00	22.41	0.00	22.41	70.0	15.69
01/22/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	FIDELITY ADVISOR FUNDS 316390350 BUY 1 Units @0.0000	0.00	7.10	0.00	7.10	70.0	4.97
01/22/2018	***-**-39IN - IRWIN, DIANE ACCOUNT #: LSR007234	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	5.04	0.00	5.04	70.0	3.53
01/16/2018	***-**-39IR - IRWIN ACCOUNT #: LSR007242	PRUDENTIAL GROWTH ALLOCATION CL B BUY 1 Units @0.0000	0.00	1.44	0.00	1.44	70.0	1.01
01/16/2018	***-**-59T3 - ETHEL CUP IRREV ACCOUNT #: LSR006947	PRUDENTIAL JENNISON CONSERV GROWTH BUY 1 Units @0.0000	0.00	2.42	0.00	2.42	70.0	1.69
01/16/2018	***-**-59T3 - ETHEL CUP IRREV ACCOUNT #: LSR006947	PRUDENTIAL JENNISON CONSERV GROWTH BUY 1 Units @0.0000	0.00	1.78	0.00	1.78	70.0	1.25
01/16/2018	***-**-925I - DIPAOLO, JOSEPH ACCOUNT #: LSR006874	PRUDENTIAL TOTAL RETURN BOND CLASS BUY 1 Units @0.0000	0.00	1.70	0.00	1.70	70.0	1.19
01/16/2018	***-**-963I - GAMMARIELLO, ACCOUNT #: LSR007102	PRUDENTIAL TOTAL RETURN BOND CLASS BUY 1 Units @0.0000	0.00	2.52	0.00	2.52	70.0	1.76
01/16/2018	***-**-39IN - IRWIN, DIANE ACCOUNT #: LSR007234	PRUDENTIAL TOTAL RETURN BOND CLASS BUY 1 Units @0.0000	0.00	8.37	0.00	8.37	70.0	5.86
01/16/2018	***-**-728X - CHIAVETTA, NSPS ACCOUNT #: LSR008699	PRUDENTIAL TOTAL RETURN BOND CLASS BUY 1 Units @0.0000	0.00	1.52	0.00	1.52	70.0	1.06
01/16/2018	***-**-28IN - CHIAVETTA, ACCOUNT #: LSR008842	PRUDENTIAL TOTAL RETURN BOND CLASS BUY 1 Units @0.0000	0.00	7.96	0.00	7.96	70.0	5.57
01/22/2018	***-**-75IO - DELCOUR ACCOUNT #: LSR007358	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	6.05	0.00	6.05	70.0	4.24
01/22/2018	***-**-098I - AQUILINA, MARIAN ACCOUNT #: LSR007072	THE BOND FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	8.02	0.00	8.02	70.0	5.61
01/22/2018	***-**-963I - GAMMARIELLO, ACCOUNT #: LSR007102	THE BOND FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	17.97	0.00	17.97	70.0	12.58



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/22/2018	***-**-951I - SCHAEFER, ACCOUNT #: LSR007382	THE BOND FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	5.33	0.00	5.33	70.0	3.73
01/22/2018	***-**-59T4 - ETHEL CUP TRUST ACCOUNT #: LSR006955	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.37	0.00	1.37	70.0	0.96
01/22/2018	***-**-613K - HAGER ACCOUNT #: LSR006980	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	3.33	0.00	3.33	70.0	2.33
01/22/2018	***-**-39IN - IRWIN, DIANE ACCOUNT #: LSR007234	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	7.36	0.00	7.36	70.0	5.15
01/22/2018	***-**-728X - CHIAVETTA, NSPS ACCOUNT #: LSR008699	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.11	0.00	2.11	70.0	1.48
01/22/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	THE GROWTH FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	24.43	0.00	24.43	70.0	17.10
01/22/2018	***-**-13IR - HAGER, MICHAEL ACCOUNT #: LSR006971	THE GROWTH FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	8.68	0.00	8.68	70.0	6.08
01/22/2018	***-**-657I - RIEL, KEVIN ACCOUNT #: LSR007366	THE GROWTH FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	41.65	0.00	41.65	70.0	29.16
01/22/2018	***-**-28IN - CHIAVETTA, ACCOUNT #: LSR008842	THE GROWTH FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	11.25	0.00	11.25	70.0	7.88
01/22/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	1.82	0.00	1.82	70.0	1.27
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$293.23	\$0.00	\$293.23		\$205.29
*** BROKER TRANSACTIONS TOTAL ***			\$158,342.92	\$794.82	\$45.00	\$749.82		\$524.91



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BROKER COMMISSION TOTALS								
Broker Transactions \$524.91								
Split Transactions \$0.00								
Override Transactions \$0.00								
Adjustments \$0.00								
Payroll Draw \$0.00								
Base Salary \$0.00								
Prior Period Balance \$91.31								
FINRA Assessment \$0.00								
SIPC Assessment \$0.00								
Net Commission Earned \$616.22								
Year-to-date Earnings \$1,286.57								