

**COMMISSION STATEMENT****February 28, 2018**DAVID, K123 KERN
4321 Flagstaff CoveBROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-------------------------------------|----------------|---------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | |
| 02/05/2018 | HURLEY, JOHN | TD AMERITRADE | 46,750.79 | 49.12 | 0.00 | 49.12 | 90.0 | 44.21 |
| 02/05/2018 | HURLEY, JOHN | TD AMERITRADE | 86,131.93 | 90.50 | 0.00 | 90.50 | 90.0 | 81.45 |
| 02/05/2018 | WEIS, KIMBERLY | TD AMERITRADE | 85,962.57 | 90.32 | 0.00 | 90.32 | 90.0 | 81.29 |
| 02/05/2018 | WEIS, KIMBERLY | TD AMERITRADE | 46,584.71 | 48.95 | 0.00 | 48.95 | 90.0 | 44.06 |
| * RIA SUBTOTAL * | | | \$265,430.00 | \$278.89 | \$0.00 | \$278.89 | | \$251.01 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$265,430.00 | \$278.89 | \$0.00 | \$278.89 | | \$251.01 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$251.01 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|------------|
| Check Amount | \$251.01 |
| Year-to-date Gross | \$1,769.12 |
| Year-to-date Earnings | \$1,592.21 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed