



All Companies

04/27/2018  
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# COMMISSION STATEMENT May 01, 2018

JON D. JOHNSON  
6630 Dark Canyon Road

BROKER #: 9604  
BRANCH: HOME OFFICE

Rapid City, SD 57702-

## COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

03/29/2018	TRAILS	-PRUDENTIAL MUTUAL FUND	B	25.50	25.50	0.00	25.50	90.0	22.95
03/31/2018	TRAILS	CALAMOS INVESTMENTS	B	19.28	19.28	0.00	19.28	90.0	17.35
04/15/2018	PRECISION PHYS THERAPY	NATIONWIDE QUALIFIED PLAN	B	3,069.53	93.94	0.00	93.94	90.0	84.55
04/20/2018	000000000946626 KELSON, MELISSA I.	TEMPLETON CHINA WORLD FUND - A	B	50.00	2.50	0.00	2.50	90.0	2.25
04/20/2018	000000001127897 KELSON, MELISSA I.	FRANKLIN MUTUAL FINCL SERVICES FD C B		50.00	2.50	0.00	2.50	90.0	2.25
04/20/2018	000000001632482 JOHNSEN, LACEY FRK SM CAP GRWTH FND II - CL A		B	114.00	5.70	0.00	5.70	90.0	5.13
04/16/2018	000000002736585 WEISCHEDEL, SCOTT	MUTUAL BEACON FND - CL A	B	110.00	3.08	0.00	3.08	90.0	2.77
04/20/2018	000000006924512 JOHNSEN, LACEY MUTUAL DISC FND - CL A		B	114.00	5.70	0.00	5.70	90.0	5.13
04/20/2018	000000006951519 PARKS, JUDY	MUTUAL DISC FND - CL A	B	200.00	5.60	0.00	5.60	90.0	5.04
04/20/2018	000000007699440 FOSSEN, NAOMI J.	FRANKLIN MUTUAL SHARES FD CL 1	B	115.00	5.75	0.00	5.75	90.0	5.18
04/16/2018	000000008622413 KILEY, JACOB L.	MUTUAL DISC FND - CL A	B	114.50	4.29	0.00	4.29	90.0	3.86
04/20/2018	000000008776484 FOSSEN, NAOMI J.	MUTUAL DISC FND - CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
04/16/2018	000002974389195 WEISCHEDEL, SCOTT	TEMPLETON WORLD FD CL I	B	110.00	3.08	0.00	3.08	90.0	2.77
04/16/2018	000006983387835 NILLES, VICKIE	TEMPLETON GLOBAL BD FD CL I	B	500.00	20.00	0.00	20.00	90.0	18.00
04/20/2018	000015813753367 JOHNSEN, LACEY	FRANKLIN RISING DIVIDEND FD CL I	B	115.00	5.75	0.00	5.75	90.0	5.18
04/16/2018	000015815190438 KILEY, JACOB L.	FRANKLIN RISING DIVIDEND FD CL I	B	114.50	4.29	0.00	4.29	90.0	3.86
04/16/2018	000019818050371 WEISCHEDEL, SCOTT	FRANKLIN SMALL CAP GROWTH FD CL I	B	110.00	3.08	0.00	3.08	90.0	2.77
04/20/2018	000019818141653 FOSSEN, NAOMI J.	FRANKLIN SMALL CAP GROWTH FD CL I	B	114.00	5.70	0.00	5.70	90.0	5.13
04/09/2018	00002407595 SWANSON, JOHN H.	FUNDAMENTAL ALL CAP CORE C	B	131.49	1.31	0.00	1.31	90.0	1.18
04/09/2018	00002407597 SHOUP, MICHAEL	LARGE CAP EQUITY C	B	163.73	1.64	0.00	1.64	90.0	1.48
04/20/2018	000028212528031 FOSSEN, NAOMI	FRANKLIN VALUE FD CL 1	B	115.00	5.75	0.00	5.75	90.0	5.18

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
J.									
04/20/2018	000028212612217	JOHNSEN, LACEY FRANKLIN VALUE FD CL 1	B	114.00	5.70	0.00	5.70	90.0	5.13
M.									
04/09/2018	00003378327	LAWRENCE, ANDRE X. MULTIMANAGER 2060 LIFETIME PORT A	B	154.01	6.55	0.00	6.55	90.0	5.90
04/16/2018	000060177587736	KILEY, JACOB FRANKLIN GROWTH FD CL I	B	114.50	4.29	0.00	4.29	90.0	3.86
L.									
04/20/2018	000060177612639	KELSON, FRANKLIN GROWTH FD CL I	B	50.00	2.50	0.00	2.50	90.0	2.25
MELISSA I.									
04/16/2018	000080193156393	KILEY, JACOB FRANKLIN DYNATECH FD CL I	B	114.50	4.29	0.00	4.29	90.0	3.86
L.									
04/20/2018	000080193171242	KELSON, FRANKLIN DYNATECH FD CL I	B	50.00	2.50	0.00	2.50	90.0	2.25
MELISSA I.									
04/09/2018	00035825027	FOWLER, RANDY S. IVY GLOBAL EQUITY INCOME A	B	25.00	1.13	0.00	1.13	90.0	1.02
04/09/2018	00035825027	FOWLER, RANDY S. IVY HIGH INCOME A	B	25.00	1.13	0.00	1.13	90.0	1.02
04/09/2018	00035825032	FOWLER, MARY B. IVY ASSET STRATEGY A	B	50.00	2.25	0.00	2.25	90.0	2.03
04/10/2018	00035926510	ROSETTER, LUANN IVY BALANCED A	B	114.00	4.56	0.00	4.56	90.0	4.10
04/10/2018	00035926510	ROSETTER, LUANN IVY CUNDILL GLOBAL VALUE A	B	114.00	4.56	0.00	4.56	90.0	4.10
04/10/2018	00035926510	ROSETTER, LUANN IVY LARGE CAP GROWTH A	B	115.00	4.60	0.00	4.60	90.0	4.14
04/10/2018	00035926510	ROSETTER, LUANN IVY SCIENCE & TECH A	B	115.00	4.60	0.00	4.60	90.0	4.14
04/02/2018	00036207246	SAWYER, ALEXANDER IVY LARGE CAP GROWTH A	B	458.00	12.82	0.00	12.82	90.0	11.54
04/02/2018	00036207269	SAWYER, MADELYN E. IVY CORE EQUITY A	B	458.00	12.82	0.00	12.82	90.0	11.54
04/02/2018	00036338714	JOHNSON, JACOB F. IVY LARGE CAP GROWTH A	B	228.02	11.40	0.00	11.40	90.0	10.26
04/02/2018	00036338714	JOHNSON, JACOB F. IVY MID CAP GROWTH A	B	229.00	11.45	0.00	11.45	90.0	10.31
04/16/2018	00063960504	LECY, DOUG AMERICAN FUNDS AMCAP FD	B	115.00	2.30	0.00	2.30	90.0	2.07
04/16/2018	00063960504	LECY, DOUG AMERICAN FUNDS AMERICAN MUTUAL FD	B	115.00	2.30	0.00	2.30	90.0	2.07
04/16/2018	00063960504	LECY, DOUG AMERICAN FUNDS INCOME FD OF AMERI B	B	114.00	2.28	0.00	2.28	90.0	2.05
04/16/2018	00063960504	LECY, DOUG AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.00	2.28	0.00	2.28	90.0	2.05
04/16/2018	00069075893	BARBER, PEGGY AMERICAN FUNDS BD FD OF AMER FD	B	50.00	1.50	0.00	1.50	90.0	1.35
04/16/2018	00069075893	BARBER, PEGGY AMERICAN FUNDS GROWTH FUND OF AMERI B	B	50.00	1.88	0.00	1.88	90.0	1.69
04/20/2018	00073988659	KELLER, JANELLE AMERICAN FUNDS INVESTMENT COMP OF A B	B	75.00	2.06	0.00	2.06	90.0	1.85
FLOTTME									
04/20/2018	00073988659	KELLER, JANELLE AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	2.75	0.00	2.75	90.0	2.48
FLOTTME									
04/20/2018	00073988659	KELLER, JANELLE AMERICAN FUNDS SMALL CAP WORLD FD	B	75.00	2.06	0.00	2.06	90.0	1.85
FLOTTME									
04/20/2018	00073990739	KELLER, JANELLE AMERICAN FUNDS BALANCED FD	B	250.00	6.88	0.00	6.88	90.0	6.19
FLOTTME									
04/17/2018	00075013619	BECK, EMILY AMERICAN FUNDS AMCAP FD	B	76.25	2.10	0.00	2.10	90.0	1.89
04/17/2018	00075013619	BECK, EMILY AMERICAN FUNDS CAPITAL INCOME BUILD B	B	76.25	2.10	0.00	2.10	90.0	1.89
04/17/2018	00075013619	BECK, EMILY GLOBAL BALANCED FUND-A	B	76.25	2.10	0.00	2.10	90.0	1.89

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/16/2018	00081152428	LECY, ANN	AMERICAN FUNDS AMCAP FD	B	115.00	2.30	0.00	2.30	90.0	2.07
04/16/2018	00081152428	LECY, ANN	AMERICAN FUNDS CAPITAL WORLD	GROWT B	114.00	2.28	0.00	2.28	90.0	2.05
04/16/2018	00081152428	LECY, ANN	AMERICAN FUNDS INCOME FD OF	AMERI B	115.00	2.30	0.00	2.30	90.0	2.07
04/16/2018	00081152428	LECY, ANN	NEW WORLD FUND-A	B	114.00	2.28	0.00	2.28	90.0	2.05
06/08/2017	00081536505	BECK, MICHAEL	AMERICAN FUNDS FUNDAMENTAL	INVES B	271.49	7.47	0.00	7.47	90.0	6.72
06/08/2017	00081536505	BECK, MICHAEL	AMERICAN FUNDS FUNDAMENTAL	INVES B	-271.49	-7.47	0.00	-7.47	90.0	-6.72
04/17/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS FUNDAMENTAL	INVES B	76.25	2.10	0.00	2.10	90.0	1.89
04/17/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS GROWTH FUND OF	AMERI B	76.25	2.10	0.00	2.10	90.0	1.89
04/17/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS SMALL CAP WORLD	FD B	76.25	2.10	0.00	2.10	90.0	1.89
04/10/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS CAPITAL WORLD	GROWT B	50.00	1.38	0.00	1.38	90.0	1.24
04/10/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS GROWTH FUND OF	AMERI B	50.00	1.38	0.00	1.38	90.0	1.24
04/10/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS INVESTMENT COMP OF	A B	50.00	1.38	0.00	1.38	90.0	1.24
04/10/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS NEW PERSPECTIVE	FD B	50.00	1.38	0.00	1.38	90.0	1.24
04/10/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS CAPITAL WORLD	GROWT B	50.00	1.38	0.00	1.38	90.0	1.24
04/10/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS GROWTH FUND OF	AMERI B	50.00	1.38	0.00	1.38	90.0	1.24
04/10/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS INVESTMENT COMP OF	A B	50.00	1.38	0.00	1.38	90.0	1.24
04/10/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS NEW PERSPECTIVE	FD B	50.00	1.38	0.00	1.38	90.0	1.24
04/20/2018	00082638926	YAMASHITA, MARK/EMILY	AMERICAN FUNDS GROWTH FUND OF	AMERI B	200.00	8.50	0.00	8.50	90.0	7.65
04/10/2018	00082960130	REGIER, STEPHANIE	AMERICAN FUNDS CAPITAL INCOME	BUILD B	50.00	2.13	0.00	2.13	90.0	1.92
04/10/2018	00082960130	REGIER, STEPHANIE	AMERICAN FUNDS EURO PACIFIC	GROWT B	50.00	2.13	0.00	2.13	90.0	1.92
04/10/2018	00082960130	REGIER, STEPHANIE	AMERICAN FUNDS GROWTH FUND OF	AMERI B	50.00	2.13	0.00	2.13	90.0	1.92
04/10/2018	00082960172	REGIER, DARYL	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
04/10/2018	00082960172	REGIER, DARYL	AMERICAN FUNDS CAPITAL WORLD	GROWT B	50.00	2.13	0.00	2.13	90.0	1.92
04/10/2018	00082960172	REGIER, DARYL	AMERICAN FUNDS SMALL CAP WORLD	FD B	50.00	2.13	0.00	2.13	90.0	1.92
04/09/2018	00084627739	GESINGER, BLAINE	AMERICAN FUNDS BALANCED FD	B	50.00	1.00	0.00	1.00	90.0	0.90
04/09/2018	00084627739	GESINGER, BLAINE	AMERICAN FUNDS CAPITAL INCOME	BUILD B	50.00	1.00	0.00	1.00	90.0	0.90
04/18/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS AMERICAN MUTUAL	FD B	100.00	2.00	0.00	2.00	90.0	1.80
04/18/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS CAPITAL INCOME	BUILD B	100.00	2.00	0.00	2.00	90.0	1.80
04/18/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS CAPITAL WORLD	GROWT B	100.00	2.00	0.00	2.00	90.0	1.80
04/18/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS NEW PERSPECTIVE	FD B	100.00	2.00	0.00	2.00	90.0	1.80
04/18/2018	00084775331	GESINGER, BLAINE	NEW WORLD FUND-A	B	100.00	2.00	0.00	2.00	90.0	1.80
04/18/2018	00085443655	ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD	FD B	63.12	2.37	0.00	2.37	90.0	2.13
04/18/2018	00085443655	ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD	FD B	63.12	2.37	0.00	2.37	90.0	2.13
04/20/2018	00085574568	MAKOWESKI, PETE	AMERICAN FUNDS AMERICAN MUTUAL	FD B	135.25	2.71	0.00	2.71	90.0	2.44
04/20/2018	00085574568	MAKOWESKI, PETE	AMERICAN FUNDS BD FD OF AMER	FD B	135.25	2.71	0.00	2.71	90.0	2.44
04/20/2018	00085574568	MAKOWESKI, PETE	AMERICAN FUNDS INCOME SER US	GOVT B	135.25	2.71	0.00	2.71	90.0	2.44
04/20/2018	00085574568	MAKOWESKI, PETE	GLOBAL BALANCED FUND-A	B	135.25	2.71	0.00	2.71	90.0	2.44
04/20/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS CAPITAL INCOME	BUILD B	76.39	2.86	0.00	2.86	90.0	2.57
04/20/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS CAPITAL WORLD	GROWT B	76.39	2.86	0.00	2.86	90.0	2.57

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BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
04/20/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	76.39	2.86	0.00	2.86	90.0	2.57	
04/20/2018	00086689358	YAMASHITA, SUZANNE	AMERICAN FUNDS WA MUTUAL	INVES	B	150.00	6.38	0.00	6.38	90.0	5.74
04/16/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS FUNDAMENTAL	INVES	B	114.58	3.15	0.00	3.15	90.0	2.84
04/16/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS INCOME FD OF	AMERI	B	114.58	3.15	0.00	3.15	90.0	2.84
04/16/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS INVESTMENT COMP OF A	B	114.58	3.15	0.00	3.15	90.0	2.84	
04/16/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS SMALL CAP WORLD FD	B	114.58	3.15	0.00	3.15	90.0	2.84	
04/16/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	114.58	3.15	0.00	3.15	90.0	2.84	
04/16/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.58	3.15	0.00	3.15	90.0	2.84	
04/16/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.58	3.15	0.00	3.15	90.0	2.84	
04/16/2018	00086832145	MARCOV, SARAH F.	NEW WORLD FUND-A	B	114.58	3.15	0.00	3.15	90.0	2.84	
04/18/2018	00086957629	WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	63.55	2.38	0.00	2.38	90.0	2.14	
04/18/2018	00086957629	WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	63.55	2.38	0.00	2.38	90.0	2.14	
04/20/2018	00087062366	KRANTZ/SHOWALTER, SANDRA L/KAMDEN	WASHINGTON MUTUAL INVESTORS FUND-52	B	50.00	2.50	0.00	2.50	90.0	2.25	
04/20/2018	00087062378	SHOWALTER, DELANIE	FUNDAMENTAL INVESTORS-529A	B	50.00	2.50	0.00	2.50	90.0	2.25	
04/17/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS AMCAP FD	B	55.00	2.34	0.00	2.34	90.0	2.11	
04/17/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS CAPITAL WORLD	GROWT	B	55.00	2.34	0.00	2.34	90.0	2.11
04/17/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS GROWTH FUND OF AMERI	B	55.00	2.34	0.00	2.34	90.0	2.11	
04/17/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS WA MUTUAL	INVES	B	55.00	2.34	0.00	2.34	90.0	2.11
04/16/2018	00087295220	DELES DERNIER, DOUGLAS	AMERICAN FUNDS AMCAP FD	B	100.00	4.25	0.00	4.25	90.0	3.83	
04/16/2018	00087295220	DELES DERNIER, DOUGLAS	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	4.25	0.00	4.25	90.0	3.83	
04/16/2018	00087295220	DELES DERNIER, DOUGLAS	AMERICAN FUNDS WA MUTUAL	INVES	B	100.00	4.25	0.00	4.25	90.0	3.83
04/16/2018	00087295220	DELES DERNIER, DOUGLAS	GLOBAL BALANCED FUND-A	B	100.00	4.25	0.00	4.25	90.0	3.83	
04/16/2018	00087374237	KING, TIMOTHY	AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0	2.25	
04/16/2018	00087374237	KING, TIMOTHY	AMERICAN FUNDS CAPITAL WORLD	GROWT	B	50.00	2.50	0.00	2.50	90.0	2.25
04/16/2018	00087374237	KING, TIMOTHY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	2.50	0.00	2.50	90.0	2.25	
04/16/2018	00087374237	KING, TIMOTHY	AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.50	0.00	2.50	90.0	2.25	
04/16/2018	00087391906	WILSON, DONNELLE	GLOBAL BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45	
04/16/2018	00087391906	WILSON, DONNELLE	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45	
04/10/2018	00087396195	DAHL, DAVID	AMERICAN FUNDS FUNDAMENTAL	INVES	B	53.00	1.99	0.00	1.99	90.0	1.79
04/10/2018	00087396195	DAHL, DAVID	AMERICAN FUNDS GROWTH FUND OF AMERI	B	53.00	1.99	0.00	1.99	90.0	1.79	
04/10/2018	00087396195	DAHL, DAVID	AMERICAN FUNDS NEW ECONOMY FD	B	53.00	1.99	0.00	1.99	90.0	1.79	
04/10/2018	00087396195	DAHL, DAVID	NEW WORLD FUND-A	B	70.00	2.63	0.00	2.63	90.0	2.37	
04/10/2018	00087396210	DAHL, AMANDA	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69	
04/10/2018	00087396210	DAHL, AMANDA	AMERICAN FUNDS CAPITAL WORLD	GROWT	B	65.00	2.44	0.00	2.44	90.0	2.20
04/10/2018	00087396210	DAHL, AMANDA	AMERICAN FUNDS SMALL CAP WORLD FD	B	64.00	2.40	0.00	2.40	90.0	2.16	

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/10/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	1.88	0.00	1.88	90.0	1.69
04/16/2018	00087436654 LYONS, ASHLEY	AMERICAN FUNDS AMCAP FD	B	200.00	10.00	0.00	10.00	90.0	9.00
04/16/2018	00087436654 LYONS, ASHLEY	AMERICAN FUNDS CAPITAL WORLD	GROWT B	200.00	10.00	0.00	10.00	90.0	9.00
04/10/2018	00087574970 BANE, REBEKAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	60.00	1.65	0.00	1.65	90.0	1.49
04/10/2018	00087574970 BANE, REBEKAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	600.00	16.50	0.00	16.50	90.0	14.85
04/10/2018	00087574970 BANE, REBEKAH	AMERICAN FUNDS GROWTH FUND OF AMERI	B	60.00	1.65	0.00	1.65	90.0	1.49
04/10/2018	00087578524 BANE, DANIEL	AMERICAN FUNDS NEW PERSPECTIVE FD	B	60.00	1.65	0.00	1.65	90.0	1.49
04/10/2018	00087578524 BANE, DANIEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	60.00	1.65	0.00	1.65	90.0	1.49
04/10/2018	00087578524 BANE, DANIEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	600.00	16.50	0.00	16.50	90.0	14.85
04/16/2018	00087709550 GUNDERSON, SUSAN/DILLON	GROWTH PORTFOLIO-529A	B	400.00	17.00	0.00	17.00	90.0	15.30
04/18/2018	00087793439 ROSENBAUM, BENJAMIN	AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0	2.25
04/18/2018	00087793439 ROSENBAUM, BENJAMIN	AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.50	0.00	2.50	90.0	2.25
04/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS EURO PACIFIC	GROWT B	112.00	2.24	0.00	2.24	90.0	2.02
04/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS INCOME FD OF	AMERI B	112.00	2.24	0.00	2.24	90.0	2.02
04/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS INVESTMENT COMP OF A	B	113.00	2.26	0.00	2.26	90.0	2.03
04/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS NEW ECONOMY FD	B	113.00	2.26	0.00	2.26	90.0	2.03
04/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS AMCAP FD	B	112.00	2.24	0.00	2.24	90.0	2.02
04/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS AMERICAN MUTUAL FD	B	112.00	2.24	0.00	2.24	90.0	2.02
04/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS CAPITAL WORLD	GROWT B	113.00	2.26	0.00	2.26	90.0	2.03
04/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS SMALL CAP WORLD FD	B	113.00	2.26	0.00	2.26	90.0	2.03
04/10/2018	00088093369 FRERICHs, CORA	AMERICAN FUNDS BALANCED FD	B	150.00	7.50	0.00	7.50	90.0	6.75
04/18/2018	00088216102 WEISBECK, CORISSA A.	AMERICAN MUTUAL FUND-C	B	45.00	0.45	0.00	0.45	90.0	0.41
04/18/2018	00088216102 WEISBECK, CORISSA A.	AMERICAN MUTUAL FUND-C	B	45.00	0.45	0.00	0.45	90.0	0.41
04/10/2018	00088267226 KEFFELER, TRACY L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
04/17/2018	00088298154 ALEXANDER, MARILYN	AMERICAN FUNDS BALANCED FD	B	1,000.00	27.50	0.00	27.50	90.0	24.75
04/18/2018	00088306950 RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	27.14	0.27	0.00	0.27	90.0	0.24
04/18/2018	00088306950 RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	36.19	0.36	0.00	0.36	90.0	0.32
04/18/2018	00088306950 RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	27.15	0.27	0.00	0.27	90.0	0.24
04/18/2018	00088306950 RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	36.19	0.36	0.00	0.36	90.0	0.32
04/16/2018	00088320633 PACHL/AUTH PER, JESSICA L.	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/17/2018	00088397974	LEWIS, MICAH	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	2.50	0.00	2.50	90.0	2.25
04/20/2018	00088419853	SCHMIDT, ROSS L.	AMERICAN FUNDS BALANCED FD	B	200.00	10.00	0.00	10.00	90.0	9.00
04/09/2018	00088502628	SUTLIFF-GROSS, SABRINA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	150.00	7.50	0.00	7.50	90.0	6.75
04/16/2018	00088504226	SUTLIFF-GROSS, SABRINA	CAPITAL INCOME BUILDER-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
04/16/2018	00088565229	LIMKE, JESSICA	AMERICAN FUNDS INVESTMENT COMP OF A	B	200.00	8.50	0.00	8.50	90.0	7.65
04/16/2018	00088586617	O'BRIEN, EMILY R.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	57.00	2.14	0.00	2.14	90.0	1.93
04/16/2018	00088586617	O'BRIEN, EMILY R.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	57.00	2.14	0.00	2.14	90.0	1.93
04/16/2018	00088586617	O'BRIEN, EMILY R.	AMERICAN FUNDS EURO PACIFIC GROWT	B	57.00	2.14	0.00	2.14	90.0	1.93
04/16/2018	00088586617	O'BRIEN, EMILY R.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	57.00	2.14	0.00	2.14	90.0	1.93
04/16/2018	00088586636	O'BRIEN, RYAN A.	AMERICAN FUNDS BALANCED FD	B	166.00	6.23	0.00	6.23	90.0	5.61
04/16/2018	00088586646	O'BRIEN, RYAN A.	AMERICAN FUNDS AMCAP FD	B	57.00	2.14	0.00	2.14	90.0	1.93
04/16/2018	00088586646	O'BRIEN, RYAN A.	AMERICAN FUNDS FUNDAMENTAL	INVES B	57.00	2.14	0.00	2.14	90.0	1.93
04/16/2018	00088586646	O'BRIEN, RYAN A.	AMERICAN FUNDS INCOME FD OF AMERI	B	57.00	2.14	0.00	2.14	90.0	1.93
04/16/2018	00088586646	O'BRIEN, RYAN A.	NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0	1.93
04/16/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS BALANCED FD	B	62.50	1.25	0.00	1.25	90.0	1.13
04/16/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS FUNDAMENTAL	INVES B	62.50	1.25	0.00	1.25	90.0	1.13
04/16/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS INCOME FD OF AMERI	B	62.50	1.25	0.00	1.25	90.0	1.13
04/16/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS NEW PERSPECTIVE FD	B	62.50	1.25	0.00	1.25	90.0	1.13
04/16/2018	00088605154	LYONS, CAMERON	WASHINGTON MUTUAL INVESTORS FUND-52	B	100.00	5.00	0.00	5.00	90.0	4.50
04/10/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS AMCAP FD	B	114.50	4.29	0.00	4.29	90.0	3.86
04/10/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	114.50	4.29	0.00	4.29	90.0	3.86
04/10/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS FUNDAMENTAL	INVES B	114.50	4.29	0.00	4.29	90.0	3.86
04/10/2018	00088618305	LORD, TIMOTHY R.	NEW WORLD FUND-A	B	114.50	4.29	0.00	4.29	90.0	3.86
04/10/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	114.50	4.29	0.00	4.29	90.0	3.86
04/10/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.50	4.29	0.00	4.29	90.0	3.86
04/10/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS INCOME FD OF AMERI	B	114.50	4.29	0.00	4.29	90.0	3.86
04/10/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS INVESTMENT COMP OF A	B	114.50	4.29	0.00	4.29	90.0	3.86
04/16/2018	00088631279	FLOTTMEYER, KEVIN A.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	125.00	6.25	0.00	6.25	90.0	5.63
04/16/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
04/16/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS BALANCED FD	B	50.00	2.13	0.00	2.13	90.0	1.92
04/16/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	2.13	0.00	2.13	90.0	1.92
04/16/2018	00088648517	BOWEN, LANA L.	NEW WORLD FUND-A	B	50.00	2.13	0.00	2.13	90.0	1.92
04/10/2018	00088738575	SPITZER, BRUCE A.	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
04/10/2018	00088738575	SPITZER, BRUCE A.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88	90.0	1.69
04/10/2018	00088738575	SPITZER, BRUCE A.	AMERICAN FUNDS EURO PACIFIC GROWT	B	50.00	1.88	0.00	1.88	90.0	1.69
04/16/2018	00088828419	HOP, DANIEL J.	WASHINGTON MUTUAL INVESTORS FUND-52	B	50.00	2.50	0.00	2.50	90.0	2.25
04/17/2018	00088896833	KAUK, LARRY	AMERICAN FUNDS BALANCED FD	B	90.00	3.83	0.00	3.83	90.0	3.45

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/17/2018	00088896833	KAUK, LARRY	AMERICAN FUNDS BALANCED FD	B	300.00	12.75	0.00	12.75	90.0	11.48
04/17/2018	00088896852	KAUK, RUTH ANN	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
04/17/2018	00088896852	KAUK, RUTH ANN	AMERICAN FUNDS WA MUTUAL	INVES B	30.00	1.28	0.00	1.28	90.0	1.15
04/16/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS AMCAP FD	B	57.30	2.44	0.00	2.44	90.0	2.20
04/16/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS CAPITAL INCOME BUILD	B	57.30	2.44	0.00	2.44	90.0	2.20
04/16/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS FUNDAMENTAL	INVES B	57.30	2.44	0.00	2.44	90.0	2.20
04/16/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	57.30	2.44	0.00	2.44	90.0	2.20
04/16/2018	00088934030	HAFFNER, RONALD L.	AMERICAN MUTUAL FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/16/2018	00088934030	HAFFNER, RONALD L.	CAPITAL WORLD GROWTH AND INCOME FUN	B	75.00	0.75	0.00	0.75	90.0	0.68
04/16/2018	00088934030	HAFFNER, RONALD L.	NEW PERSPECTIVE FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/16/2018	00088934030	HAFFNER, RONALD L.	THE GROWTH FUND OF AMERICA-C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/16/2018	00088934072	HAFFNER, ELLEN	AMCAP FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/16/2018	00088934072	HAFFNER, ELLEN	AMERICAN MUTUAL FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/16/2018	00088934072	HAFFNER, ELLEN	CAPITAL INCOME BUILDER-C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/16/2018	00088934072	HAFFNER, ELLEN	SMALLCAP WORLD FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/16/2018	00089019546	HOLLEARN, JAMES R.	AMERICAN FUNDS BALANCED FD	B	100.00	5.00	0.00	5.00	90.0	4.50
04/16/2018	00089019593	HOLLEARN, SEAN R.	AMERICAN FUNDS AMCAP FD	B	57.00	2.85	0.00	2.85	90.0	2.57
04/16/2018	00089019593	HOLLEARN, SEAN R.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	56.00	2.80	0.00	2.80	90.0	2.52
04/16/2018	00089019593	HOLLEARN, SEAN R.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	56.00	2.80	0.00	2.80	90.0	2.52
04/16/2018	00089019593	HOLLEARN, SEAN R.	AMERICAN FUNDS SMALL CAP WORLD FD	B	56.00	2.80	0.00	2.80	90.0	2.52
04/16/2018	00089019699	HOLLEARN, CHRISTINA M.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	75.00	3.75	0.00	3.75	90.0	3.38
04/16/2018	00089019699	HOLLEARN, CHRISTINA M.	AMERICAN FUNDS INCOME FD OF AMERI	B	50.00	2.50	0.00	2.50	90.0	2.25
04/16/2018	00089049697	WARREN, BRIANNA M.	AMERICAN FUNDS FUNDAMENTAL	INVES B	50.00	2.50	0.00	2.50	90.0	2.25
04/16/2018	00089123660	TEN EYCK, SHEILA T.	AMERICAN FUNDS INCOME FD OF AMERI	B	75.00	2.81	0.00	2.81	90.0	2.53
04/16/2018	00089123704	TEN EYCK, JOHN C.	AMERICAN FUNDS AMCAP FD	B	75.00	2.81	0.00	2.81	90.0	2.53
04/18/2018	00089123815	KULESA, CASSIDY R.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	81.25	3.45	0.00	3.45	90.0	3.11
04/18/2018	00089123815	KULESA, CASSIDY R.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	81.25	3.45	0.00	3.45	90.0	3.11
04/18/2018	00089123815	KULESA, CASSIDY R.	AMERICAN FUNDS INVESTMENT COMP OF A	B	81.25	3.45	0.00	3.45	90.0	3.11
04/18/2018	00089123815	KULESA, CASSIDY R.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	81.25	3.45	0.00	3.45	90.0	3.11
04/16/2018	00089163089	CIOCARLAN, CHRISTOPHER J.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	55.00	1.51	0.00	1.51	90.0	1.36
04/16/2018	00089163089	CIOCARLAN, CHRISTOPHER J.	NEW WORLD FUND-A	B	55.00	1.51	0.00	1.51	90.0	1.36
04/16/2018	00089163184	CIOCARLAN, CHRISTOPHER J.	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	2.75	0.00	2.75	90.0	2.48
04/16/2018	00089163224	CIOCARLAN, CHRISTOPHER J.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	2.75	0.00	2.75	90.0	2.48

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/17/2018	00089249484	WILLIAMS, DONALD	AMERICAN FUNDS INCOME FD OF AMERI B	1,614.55	44.40	0.00	44.40	90.0	39.96
04/17/2018	00089249484	WILLIAMS, DONALD	AMERICAN FUNDS INCOME FD OF AMERI B	675.00	18.56	0.00	18.56	90.0	16.70
04/17/2018	00089249498	WILLIAMS, GERALDINE	AMERICAN FUNDS BALANCED FD B	1,614.55	44.40	0.00	44.40	90.0	39.96
04/17/2018	00089249498	WILLIAMS, GERALDINE	AMERICAN FUNDS BALANCED FD B	525.00	14.44	0.00	14.44	90.0	13.00
04/17/2018	00089296173	WILLIAMS, KEVIN M.	AMERICAN FUNDS GROWTH FUND OF AMERI B	520.80	14.32	0.00	14.32	90.0	12.89
04/17/2018	00089296173	WILLIAMS, KEVIN M.	AMERICAN FUNDS GROWTH FUND OF AMERI B	156.24	4.30	0.00	4.30	90.0	3.87
04/16/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS AMCAP FD B	58.00	2.18	0.00	2.18	90.0	1.96
04/16/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS SMALL CAP WORLD FD B	57.00	2.14	0.00	2.14	90.0	1.93
04/16/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS WA MUTUAL INVES B	57.00	2.14	0.00	2.14	90.0	1.93
04/16/2018	00089303112	MASSIE, KATEY	NEW WORLD FUND-A B	57.00	2.14	0.00	2.14	90.0	1.93
04/11/2018	00089360842	GROSSMAN, JAMES W.	AMERICAN FUNDS AMCAP FD B	666.67	33.33	0.00	33.33	90.0	30.00
04/11/2018	00089360842	GROSSMAN, JAMES W.	AMERICAN FUNDS NEW PERSPECTIVE FD B	666.67	33.33	0.00	33.33	90.0	30.00
04/11/2018	00089360842	GROSSMAN, JAMES W.	AMERICAN FUNDS WA MUTUAL INVES B	666.66	33.33	0.00	33.33	90.0	30.00
04/17/2018	00089406954	KAUFMAN, EDWARD R.	AMERICAN FUNDS 2020 TARGET DATE-A B	265.30	7.30	0.00	7.30	90.0	6.57
04/17/2018	00089406954	KAUFMAN, EDWARD R.	AMERICAN FUNDS 2020 TARGET DATE-A B	113.70	3.13	0.00	3.13	90.0	2.82
04/20/2018	00089423246	YAMASHITA, MARK M.	AMERICAN FUNDS WA MUTUAL INVES B	75.00	3.19	0.00	3.19	90.0	2.87
04/18/2018	00089436102	BOHLMANN, JACOB B.	AMERICAN FUNDS BALANCED FD B	51.36	1.93	0.00	1.93	90.0	1.74
04/18/2018	00089436102	BOHLMANN, JACOB B.	AMERICAN FUNDS BALANCED FD B	51.36	1.93	0.00	1.93	90.0	1.74
04/11/2018	00089440626	MOXLEY, SARAH	AMERICAN FUNDS GROWTH FUND OF AMERI B	3,000.00	150.00	0.00	150.00	90.0	135.00
04/09/2018	00089449545	ELLINGSON, KRISTEN K.	AMERICAN BALANCED FUND-C B	75.00	0.75	0.00	0.75	90.0	0.68
04/16/2018	00089449545	ELLINGSON, KRISTEN K.	AMERICAN BALANCED FUND-C B	75.00	0.75	0.00	0.75	90.0	0.68
04/16/2018	00089449609	THOURON, MARIA E.	AMERICAN FUNDS AMERICAN MUTUAL FD B	104.25	5.21	0.00	5.21	90.0	4.69
04/16/2018	00089449609	THOURON, MARIA E.	AMERICAN FUNDS EURO PACIFIC GROWT B	104.25	5.21	0.00	5.21	90.0	4.69
04/16/2018	00089449609	THOURON, MARIA E.	AMERICAN FUNDS GROWTH FUND OF AMERI B	104.25	5.21	0.00	5.21	90.0	4.69
04/16/2018	00089449609	THOURON, MARIA E.	AMERICAN FUNDS INCOME FD OF AMERI B	104.25	5.21	0.00	5.21	90.0	4.69
04/20/2018	00089470922	SPITZER, BETH E.	AMERICAN FUNDS FUNDAMENTAL INVES B	50.00	1.88	0.00	1.88	90.0	1.69
04/20/2018	00089470922	SPITZER, BETH E.	AMERICAN FUNDS SMALL CAP WORLD FD B	50.00	1.88	0.00	1.88	90.0	1.69
04/17/2018	00089491398	KOKOSZKA, KENNETH J.	AMERICAN FUNDS 2025 TARGET DATE-A B	33.00	1.65	0.00	1.65	90.0	1.49
04/17/2018	00089491398	KOKOSZKA, KENNETH J.	AMERICAN FUNDS 2025 TARGET DATE-A B	220.00	11.00	0.00	11.00	90.0	9.90
04/17/2018	00089491403	WELLS, DENISE M.	AMERICAN FUNDS 2050 TARGET DATE-A B	180.00	9.00	0.00	9.00	90.0	8.10
04/17/2018	00089491403	WELLS, DENISE M.	AMERICAN FUNDS 2050 TARGET DATE-A B	480.00	24.00	0.00	24.00	90.0	21.60
04/16/2018	00089549237	HENRY, STEVEN L.	AMCAP FUND-C B	50.00	0.50	0.00	0.50	90.0	0.45
04/16/2018	00089549237	HENRY, STEVEN L.	WASHINGTON MUTUAL INVESTORS FUND-C B	50.00	0.50	0.00	0.50	90.0	0.45
04/16/2018	00089549281	HENRY, NICOLE M.	FUNDAMENTAL INVESTORS-C B	50.00	0.50	0.00	0.50	90.0	0.45



COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
04/16/2018	00089549281 HENRY, NICOLE M.	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/16/2018	00089606497 DELES DERNIER, CONNIE	AMERICAN FUNDS FUNDAMENTAL	INVES B	250.00	10.63	0.00	10.63	90.0	9.57
04/16/2018	00089606506 DELES DERNIER, DOUGLAS	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	2.13	0.00	2.13	90.0	1.92
04/16/2018	00089606506 DELES DERNIER, DOUGLAS	AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.13	0.00	2.13	90.0	1.92
04/16/2018	00089621757 MORTON, BRET E.	THE GROWTH FUND OF AMERICA-C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/16/2018	00089621765 MORTON, LINDA A.	AMCAP FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/18/2018	00089679500 KULESA, ADAM M.	AMCAP FUND-C	B	109.00	1.09	0.00	1.09	90.0	0.98
04/18/2018	00089679500 KULESA, ADAM M.	CAPITAL WORLD GROWTH AND INCOME FUN B		108.00	1.08	0.00	1.08	90.0	0.97
04/18/2018	00089679500 KULESA, ADAM M.	WASHINGTON MUTUAL INVESTORS FUND-C	B	108.00	1.08	0.00	1.08	90.0	0.97
04/12/2018	00089764555 STICKNEY, WILLIAM	AMERICAN FUNDS CAPITAL WORLD GROWT B		1,000.00	37.50	0.00	37.50	90.0	33.75
04/12/2018	00089764555 STICKNEY, WILLIAM	AMERICAN FUNDS FUNDAMENTAL	INVES B	1,000.00	37.50	0.00	37.50	90.0	33.75
04/12/2018	00089764555 STICKNEY, WILLIAM	AMERICAN FUNDS GROWTH FUND OF AMERI B		1,000.00	37.50	0.00	37.50	90.0	33.75
04/12/2018	00089764555 STICKNEY, WILLIAM	AMERICAN FUNDS NEW PERSPECTIVE FD	B	1,000.00	37.50	0.00	37.50	90.0	33.75
04/16/2018	00089961444 WEISS, CHRIS D.	AMCAP FUND-C	B	114.00	1.14	0.00	1.14	90.0	1.03
04/16/2018	00089961444 WEISS, CHRIS D.	AMERICAN MUTUAL FUND-C	B	114.00	1.14	0.00	1.14	90.0	1.03
04/16/2018	00089961444 WEISS, CHRIS D.	NEW WORLD FUND-C	B	115.00	1.15	0.00	1.15	90.0	1.04
04/16/2018	00089961444 WEISS, CHRIS D.	SMALLCAP WORLD FUND-C	B	115.00	1.15	0.00	1.15	90.0	1.04
04/16/2018	00932927612 KENDALL, REBECCA	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	1.38	0.00	1.38	90.0	1.24
04/10/2018	01000170691 WRIGHT, EDWARD	AIM DEVELOPING MARKETS FUND - CLASS B		37.50	0.38	0.00	0.38	90.0	0.34
04/20/2018	01000170691 WRIGHT, EDWARD	AIM DEVELOPING MARKETS FUND - CLASS B		37.50	0.38	0.00	0.38	90.0	0.34
04/10/2018	01000170691 WRIGHT, EDWARD	AIM GLOBAL AGGRESSIVE GROWTH FD CL B		37.50	0.38	0.00	0.38	90.0	0.34
04/20/2018	01000170691 WRIGHT, EDWARD	AIM GLOBAL AGGRESSIVE GROWTH FD CL B		37.50	0.38	0.00	0.38	90.0	0.34
04/10/2018	01000170691 WRIGHT, EDWARD	AIM UTILITIES FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
04/20/2018	01000170691 WRIGHT, EDWARD	AIM UTILITIES FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
04/10/2018	01000170691 WRIGHT, EDWARD	IVK AMERICAN VALUE FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
04/20/2018	01000170691 WRIGHT, EDWARD	IVK AMERICAN VALUE FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
04/05/2018	0100035696 WOODYARD, LISA	OPPENHEIMER MAIN ST SMALL CAP FUND	B	125.00	3.75	0.00	3.75	90.0	3.38
04/05/2018	0100035696 WOODYARD, LISA	OPPENHEIMER MAIN ST SMALL CAP FUND	B	100.00	3.00	0.00	3.00	90.0	2.70
04/05/2018	0100035707 WOODYARD, LISA	OPPENHEIMER MAIN ST SMALL CAP FUND	B	400.00	12.00	0.00	12.00	90.0	10.80
04/02/2018	0100071050 FISCHER, DACIA M.	OPPENHEIMER MAIN ST SMALL CAP FUND	B	87.50	3.50	0.00	3.50	90.0	3.15
04/09/2018	01001240959 WINGER, DONA	AIM MODERATE ALLOCATION - CLASS A	B	5,500.00	275.00	0.00	275.00	90.0	247.50
04/09/2018	01001243644 WINGER, DANIEL	AIM 81 GLOBAL AGGRESSIVE GROWTH FD B		2,145.00	107.25	0.00	107.25	90.0	96.53
04/09/2018	01001243644 WINGER, DANIEL	AIM DIVERSIFIED DIVIDEND FUND - CL	B	2,210.00	110.50	0.00	110.50	90.0	99.45
04/09/2018	01001243644 WINGER, DANIEL	INVESCO ENDEAVOR FUND - CLASS A	B	2,145.00	107.25	0.00	107.25	90.0	96.53
04/16/2018	01001342204 DERKSEN, NATHAN P.	IVK COMSTOCK FUND - CLASS A	B	100.00	5.00	0.00	5.00	90.0	4.50
04/16/2018	01001342204 DERKSEN, NATHAN P.	IVK SMALL CAP GROWTH FUND - CLASS A B		100.00	5.00	0.00	5.00	90.0	4.50
04/10/2018	01001396396 BENTLEY, BRANDON	IVK AMERICAN FRANCHISE FUND - CLASS B		100.00	5.00	0.00	5.00	90.0	4.50

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/10/2018	03809167046	WRIGHT, SANDRA	AIM DIVERSIFIED DIVIDEND FUND - CL B	25.00	0.75	0.00	0.75	90.0	0.68
04/20/2018	03809167046	WRIGHT, SANDRA	AIM DIVERSIFIED DIVIDEND FUND - CL B	25.00	0.75	0.00	0.75	90.0	0.68
04/10/2018	03809167046	WRIGHT, SANDRA	AIM GLOBAL REAL ESTATE FUND - CLASS B	25.00	0.25	0.00	0.25	90.0	0.23
04/20/2018	03809167046	WRIGHT, SANDRA	AIM GLOBAL REAL ESTATE FUND - CLASS B	25.00	0.25	0.00	0.25	90.0	0.23
04/10/2018	03809167046	WRIGHT, SANDRA	AIM UTILITIES FUND - CLASS C B	25.00	0.25	0.00	0.25	90.0	0.23
04/20/2018	03809167046	WRIGHT, SANDRA	AIM UTILITIES FUND - CLASS C B	25.00	0.25	0.00	0.25	90.0	0.23
04/10/2018	03809167046	WRIGHT, SANDRA	IVK AMERICAN FRANCHISE FUND - CLASS B	25.00	0.25	0.00	0.25	90.0	0.23
04/20/2018	03809167046	WRIGHT, SANDRA	IVK AMERICAN FRANCHISE FUND - CLASS B	25.00	0.25	0.00	0.25	90.0	0.23
04/20/2018	04000083040	RADKE, MICHELLE L.	THE GROWTH FUND OF AMERICA-529A B	50.00	2.13	0.00	2.13	90.0	1.92
04/20/2018	04000083120	RADKE, MICHELLE L.	THE GROWTH FUND OF AMERICA-529A B	50.00	2.13	0.00	2.13	90.0	1.92
04/20/2018	04000100624	BUREMAN, JANA	AMERICAN FUNDS INVESTMENT COMP OF A B	100.00	5.00	0.00	5.00	90.0	4.50
04/09/2018	04000128174	YOUNG-JOHNSON, TAMMY	AMERICAN FUNDS AMCAP FD B	100.00	5.00	0.00	5.00	90.0	4.50
04/17/2018	04000135188	LOUNSBURY, JESSICA	AMERICAN FUNDS 2050 TARGET DATE-A B	250.00	12.50	0.00	12.50	90.0	11.25
04/17/2018	04000162783	KAUK, TIMOTHY	AMERICAN FUNDS AMERICAN MUTUAL FD B	132.00	5.61	0.00	5.61	90.0	5.05
04/17/2018	04000162783	KAUK, TIMOTHY	AMERICAN FUNDS AMERICAN MUTUAL FD B	132.00	5.61	0.00	5.61	90.0	5.05
04/17/2018	04000166653	PALMER, ANTHONY	AMERICAN FUNDS 2020 TARGET DATE-C B	80.00	0.80	0.00	0.80	90.0	0.72
04/17/2018	04000166653	PALMER, ANTHONY	AMERICAN FUNDS 2020 TARGET DATE-C B	50.05	0.50	0.00	0.50	90.0	0.45
04/16/2018	04000166931	KAUK, LISA M.	AMERICAN FUNDS AMERICAN MUTUAL FD B	75.00	3.19	0.00	3.19	90.0	2.87
04/16/2018	04000166931	KAUK, LISA M.	AMERICAN FUNDS CAPITAL WORLD GROWT B	75.00	3.19	0.00	3.19	90.0	2.87
04/16/2018	04000166931	KAUK, LISA M.	AMERICAN FUNDS GROWTH FUND OF AMERI B	75.00	3.19	0.00	3.19	90.0	2.87
04/16/2018	04000166931	KAUK, LISA M.	AMERICAN FUNDS NEW PERSPECTIVE FD B	75.00	3.19	0.00	3.19	90.0	2.87
04/16/2018	04000167035	KAUK, TIMOTHY	AMERICAN FUNDS BALANCED FD B	75.00	3.19	0.00	3.19	90.0	2.87
04/16/2018	04000167035	KAUK, TIMOTHY	AMERICAN FUNDS FUNDAMENTAL INVES B	75.00	3.19	0.00	3.19	90.0	2.87
04/16/2018	04000167035	KAUK, TIMOTHY	AMERICAN FUNDS GROWTH FUND OF AMERI B	75.00	3.19	0.00	3.19	90.0	2.87
04/16/2018	04000167035	KAUK, TIMOTHY	NEW WORLD FUND-A B	75.00	3.19	0.00	3.19	90.0	2.87
04/16/2018	04000211352	NEMEC, ERIN E.	AMERICAN FUNDS AMCAP FD B	91.75	4.59	0.00	4.59	90.0	4.13
04/16/2018	04000211352	NEMEC, ERIN E.	AMERICAN FUNDS CAPITAL WORLD GROWT B	91.75	4.59	0.00	4.59	90.0	4.13
04/16/2018	04000211352	NEMEC, ERIN E.	AMERICAN FUNDS INCOME FD OF AMERI B	91.75	4.59	0.00	4.59	90.0	4.13
04/16/2018	04000211352	NEMEC, ERIN E.	AMERICAN FUNDS NEW PERSPECTIVE FD B	91.75	4.59	0.00	4.59	90.0	4.13
04/16/2018	04000211366	NEMEC, LANCE L.	AMERICAN FUNDS EURO PACIFIC GROWT B	114.50	5.73	0.00	5.73	90.0	5.16
04/16/2018	04000211366	NEMEC, LANCE L.	AMERICAN FUNDS FUNDAMENTAL INVES B	114.50	5.73	0.00	5.73	90.0	5.16
04/16/2018	04000211366	NEMEC, LANCE L.	AMERICAN FUNDS GROWTH FUND OF AMERI B	114.50	5.73	0.00	5.73	90.0	5.16
04/16/2018	04000211366	NEMEC, LANCE L.	AMERICAN FUNDS SMALL CAP WORLD FD B	114.50	5.73	0.00	5.73	90.0	5.16
04/18/2018	04000219045	VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI B	68.90	3.45	0.00	3.45	90.0	3.11
04/18/2018	04000219045	VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B	68.90	3.45	0.00	3.45	90.0	3.11
04/18/2018	04000219045	VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B	70.99	3.55	0.00	3.55	90.0	3.20

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
04/16/2018	04000452410	PACHL, JESSICA/EVE GROWTH PORTFOLIO-A	B	100.00	4.25	0.00	4.25	90.0	3.83
04/16/2018	04000669878	KAUK, TIMOTHY	B	167.00	1.67	0.00	1.67	90.0	1.50
04/16/2018	04000699458	NIEDERWERDER, MARTY E.	B	2,000.00	20.00	0.00	20.00	90.0	18.00
04/09/2018	04000906988	BRINK, LANDAN A.	B	1,000.00	10.00	0.00	10.00	90.0	9.00
04/12/2018	04000915503	WOLF, MATTHEW	B	5,000.00	50.00	0.00	50.00	90.0	45.00
04/03/2018	08000006896	KENDALL, DOUGLAS	B	149.04	7.08	0.00	7.08	90.0	6.37
04/05/2018	1953048892	WOODYARD, LISA	B	125.00	3.75	0.00	3.75	90.0	3.38
04/05/2018	1953048892	WOODYARD, LISA	B	100.00	3.00	0.00	3.00	90.0	2.70
04/05/2018	1953048903	WOODYARD, LISA	B	400.00	12.00	0.00	12.00	90.0	10.80
04/06/2018	2157834706	ROLAND, BLAKE	B	233.33	4.67	0.00	4.67	90.0	4.20
04/04/2018	2252419461	JOHNSON, TINA	B	166.67	6.67	0.00	6.67	90.0	6.00
04/02/2018	2254439479	NIDA, AARON R.	B	200.00	8.00	0.00	8.00	90.0	7.20
04/02/2018	2514890897	FISCHER, BRANDON S.	B	50.00	2.00	0.00	2.00	90.0	1.80
04/02/2018	4206645562	FISCHER, BRANDON S.	B	50.00	2.00	0.00	2.00	90.0	1.80
04/04/2018	5004750427	JOHNSON, TINA	B	166.67	6.67	0.00	6.67	90.0	6.00
04/06/2018	6000784553	ROLAND, BLAKE	B	233.34	4.67	0.00	4.67	90.0	4.20
04/02/2018	6870194370	FISCHER, BRANDON S.	B	50.00	2.00	0.00	2.00	90.0	1.80
04/02/2018	7006154393	RUTSTEIN, ANDREA/XAVIEN G.	B	75.00	3.56	0.00	3.56	90.0	3.20
04/05/2018	7210829081	WOODYARD, LISA	B	125.00	3.75	0.00	3.75	90.0	3.38
04/05/2018	7210829081	WOODYARD, LISA	B	100.00	3.00	0.00	3.00	90.0	2.70
04/05/2018	7210829092	WOODYARD, LISA	B	400.00	12.00	0.00	12.00	90.0	10.80
04/02/2018	7210901109	FISCHER, DACIA M.	B	87.50	3.50	0.00	3.50	90.0	3.15
04/04/2018	7860088551	JOHNSON, TINA	B	166.67	6.67	0.00	6.67	90.0	6.00
04/02/2018	8259166608	FISCHER, DACIA M.	B	87.50	3.50	0.00	3.50	90.0	3.15
04/02/2018	8351505449	RUTSTEIN, MILES	B	75.00	3.56	0.00	3.56	90.0	3.20
04/05/2018	8352833303	WOODYARD, LISA	B	125.00	3.75	0.00	3.75	90.0	3.38
04/05/2018	8352833303	WOODYARD, LISA	B	100.00	3.00	0.00	3.00	90.0	2.70
04/05/2018	8352833314	WOODYARD, LISA	B	400.00	12.00	0.00	12.00	90.0	10.80
04/02/2018	8353044635	FISCHER, DACIA M.	B	87.50	3.50	0.00	3.50	90.0	3.15
04/02/2018	8477461344	FISCHER, BRANDON S.	B	50.00	2.00	0.00	2.00	90.0	1.80
04/06/2018	8477534857	ROLAND, BLAKE	B	233.33	4.67	0.00	4.67	90.0	4.20
04/04/2018	8805969062	JOHNSON, TINA	B	166.65	6.25	0.00	6.25	90.0	5.63
* MUTUAL FUNDS SUBTOTAL *				\$72,358.48	\$2,554.05	\$0.00	\$2,554.05		\$2,299.04
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
04/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	668.28	668.28	0.00	668.28	90.0	601.45
* STOCKS SUBTOTAL *				\$668.28	\$668.28	\$0.00	\$668.28		\$601.45

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
03/31/2018	TRAILS	-JACKSON NATIONAL	B	20.57	20.57	0.00	20.57	90.0	18.51
04/06/2018	TRAILS	-JOHN HANCOCK	B	65.64	65.64	0.00	65.64	90.0	59.08
04/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	47.59	47.59	0.00	47.59	90.0	42.83
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	1,222.58	1,222.58	0.00	1,222.58	90.0	1,100.32
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$1,356.38</b>	<b>\$1,356.38</b>	<b>\$0.00</b>	<b>\$1,356.38</b>		<b>\$1,220.74</b>
<b><u>PRODUCT CATEGORY: LIFE INSURANCE</u></b>									
04/17/2018	PATTEN, PHILLIP D.	NATIONWIDE LIFE	B	95.96	0.96	0.00	0.96	90.0	0.86
<b>* LIFE INSURANCE SUBTOTAL *</b>				<b>\$95.96</b>	<b>\$0.96</b>	<b>\$0.00</b>	<b>\$0.96</b>		<b>\$0.86</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
04/13/2018	10597815 HOWE, BARBARA A.	SIGNAL SECURITIES RIA	B	0.00	265.00	0.00	265.00	90.0	238.50
04/13/2018	10771324 GREGG, JOHN A.	SIGNAL SECURITIES RIA	B	0.00	6.67	0.00	6.67	90.0	6.00
04/13/2018	11760371 LONG, MICHAEL A	SIGNAL SECURITIES RIA	B	0.00	177.67	0.00	177.67	90.0	159.90
04/13/2018	12153707 STRUWE, TIM R	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	90.0	6.60
04/13/2018	12445993 PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	604.67	0.00	604.67	90.0	544.20
04/13/2018	12520657 ABRAMS, LAURA JEANNE	SIGNAL SECURITIES RIA	B	0.00	125.67	0.00	125.67	90.0	113.10
04/13/2018	12976261 HINDMAN, ANTHONY J	SIGNAL SECURITIES RIA	B	0.00	270.00	0.00	270.00	90.0	243.00
04/13/2018	13142828 GARGIULO, HELEN	SIGNAL SECURITIES RIA	B	0.00	112.67	0.00	112.67	90.0	101.40
PATRICIA P.									
04/13/2018	14348417 HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	19.33	0.00	19.33	90.0	17.40
04/13/2018	14381829 WERNER, JANET F	SIGNAL SECURITIES RIA	B	0.00	36.00	0.00	36.00	90.0	32.40
04/13/2018	14429709 QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	19.00	0.00	19.00	90.0	17.10
04/13/2018	15091367 HANSON, KAREN M	SIGNAL SECURITIES RIA	B	0.00	126.00	0.00	126.00	90.0	113.40
04/13/2018	15467725 BAUMANN, PATRICK J	SIGNAL SECURITIES RIA	B	0.00	18.67	0.00	18.67	90.0	16.80
04/18/2018	15820668 GROSS, SHERI M	SIGNAL SECURITIES RIA	B	0.00	80.33	0.00	80.33	90.0	72.30
04/18/2018	15820668 GROSS, SHERI M	SIGNAL SECURITIES RIA	B	0.00	71.67	0.00	71.67	90.0	64.50
04/18/2018	15820668 GROSS, SHERI M	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	90.0	9.90
04/13/2018	16270038 MUTCHLER, DAVID	SIGNAL SECURITIES RIA	B	0.00	157.33	0.00	157.33	90.0	141.60
04/13/2018	16412029 MILLER, BARBARA A	SIGNAL SECURITIES RIA	B	0.00	41.00	0.00	41.00	90.0	36.90
04/13/2018	17118785 HENRICKSON, LINDA M	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
04/13/2018	17352141 CHRISTENSEN, DAVE	SIGNAL SECURITIES RIA	B	0.00	27.00	0.00	27.00	90.0	24.30
04/13/2018	17440148 EIRING, DEBORAH F	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
04/13/2018	17456904 SHOUP, MICHAEL	SIGNAL SECURITIES RIA	B	0.00	91.33	0.00	91.33	90.0	82.20
04/13/2018	17662068 GLOECKLER, BEVERLY	SIGNAL SECURITIES RIA	B	0.00	164.00	0.00	164.00	90.0	147.60
SUE									
04/13/2018	18212150 KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	128.33	0.00	128.33	90.0	115.50
04/13/2018	19492576 CHAFEE, CHRIS T	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80
04/13/2018	19560631 BRINK, TRAVIS A	SIGNAL SECURITIES RIA	B	0.00	141.67	0.00	141.67	90.0	127.50
04/13/2018	20144207 BETSON, RONALD J	SIGNAL SECURITIES RIA	B	0.00	84.67	0.00	84.67	90.0	76.20

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	20206228	YAMASHITA, MARK M		SIGNAL SECURITIES RIA	B	0.00	325.00	0.00	325.00 90.0 292.50
04/13/2018	20556395	HOWE, DENISE L		SIGNAL SECURITIES RIA	B	0.00	72.67	0.00	72.67 90.0 65.40
04/13/2018	22504665	WILLIAMS, MARIE		SIGNAL SECURITIES RIA	B	0.00	140.00	0.00	140.00 90.0 126.00
		CLAUDE							
04/13/2018	23584507	KELLER, SUSAN K		SIGNAL SECURITIES RIA	B	0.00	229.00	0.00	229.00 90.0 206.10
04/13/2018	23996577	TORPEY, GLENNIS A		SIGNAL SECURITIES RIA	B	0.00	27.33	0.00	27.33 90.0 24.60
04/13/2018	24030675	MCGINLEY, RONALD B		SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33 90.0 5.70
04/13/2018	24184005	MILLER, WAYNE G		SIGNAL SECURITIES RIA	B	0.00	97.67	0.00	97.67 90.0 87.90
04/13/2018	25436755	NILLES, VICKIE		SIGNAL SECURITIES RIA	B	0.00	176.00	0.00	176.00 90.0 158.40
04/13/2018	26598701	STAHL, DONALD EUGENE		SIGNAL SECURITIES RIA	B	0.00	122.33	0.00	122.33 90.0 110.10
04/13/2018	28007804	KRANTZ,		SIGNAL SECURITIES RIA	B	0.00	28.00	0.00	28.00 90.0 25.20
		RICHARD/SANDRA							
04/13/2018	28346788	BAUMANN, GINGER G		SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67 90.0 4.20
04/13/2018	28373035	REEVES, JAMES &		SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00 90.0 7.20
		MICAELA							
04/13/2018	28433693	ZITNAK, STEPHEN W		SIGNAL SECURITIES RIA	B	0.00	46.67	0.00	46.67 90.0 42.00
04/13/2018	28684378	HOWE, WILLIAM/DENISE		SIGNAL SECURITIES RIA	B	0.00	202.00	0.00	202.00 90.0 181.80
04/13/2018	28705104	JOHNSON, JERRY D		SIGNAL SECURITIES RIA	B	0.00	2.67	0.00	2.67 90.0 2.40
04/13/2018	29556870	HRUSKA, CATHERINE E		SIGNAL SECURITIES RIA	B	0.00	113.00	0.00	113.00 90.0 101.70
04/13/2018	29589313	HOWE, DENISE L		SIGNAL SECURITIES RIA	B	0.00	120.67	0.00	120.67 90.0 108.60
04/13/2018	29650218	PIERCE, JOHN & JULIE		SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67 90.0 12.30
04/13/2018	29746730	WILLIAMS,		SIGNAL SECURITIES RIA	B	0.00	22.33	0.00	22.33 90.0 20.10
		DONALD/GERALDIN							
04/13/2018	30072989	PYRIH, LUBA DARIA		SIGNAL SECURITIES RIA	B	0.00	158.33	0.00	158.33 90.0 142.50
04/13/2018	30322937	SWEENEY, MARY T		SIGNAL SECURITIES RIA	B	0.00	196.67	0.00	196.67 90.0 177.00
04/13/2018	30347787	BLACK HILLS CORP,		SIGNAL SECURITIES RIA	B	0.00	53.67	0.00	53.67 90.0 48.30
		FBO DAVID SAMUE							
04/13/2018	30647698	MILLER, FLOYD R		SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00 90.0 10.80
04/13/2018	30677407	PITMAN, TOM		SIGNAL SECURITIES RIA	B	0.00	42.67	0.00	42.67 90.0 38.40
04/13/2018	30878907	QUINLISK, ERNIE J		SIGNAL SECURITIES RIA	B	0.00	295.67	0.00	295.67 90.0 266.10
04/13/2018	31781509	PLATT, ALAN B		SIGNAL SECURITIES RIA	B	0.00	141.33	0.00	141.33 90.0 127.20
04/13/2018	32417594	QUADE, JEAN M.		SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33 90.0 3.00
04/13/2018	32899427	WOOD, KRAIG A		SIGNAL SECURITIES RIA	B	0.00	60.67	0.00	60.67 90.0 54.60
04/13/2018	32902956	CHAFEE, RICHARD/CHRIS		SIGNAL SECURITIES RIA	B	0.00	228.33	0.00	228.33 90.0 205.50
04/13/2018	32989023	TENOLD, DARON L		SIGNAL SECURITIES RIA	B	0.00	138.67	0.00	138.67 90.0 124.80
04/13/2018	33413216	PIERCE, JOHN & JULIE		SIGNAL SECURITIES RIA	B	0.00	1.67	0.00	1.67 90.0 1.50
04/13/2018	33603400	PEDERSEN/SCHMELTZER,		SIGNAL SECURITIES RIA	B	0.00	45.33	0.00	45.33 90.0 40.80
		SIGNE R & LOUIS							
04/13/2018	33769726	WOOD, HEATHER C		SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00 90.0 10.80
04/13/2018	34262259	JOHNSON, VICTORIA L		SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00 90.0 6.30

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
04/13/2018	34296234	QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	17.67	0.00	17.67	90.0 15.90
04/13/2018	34299521	HARRISON, PAUL & JOAN	SIGNAL SECURITIES RIA	B	0.00	461.33	0.00	461.33	90.0 415.20
04/13/2018	35039653	LAMB, DONALD P	SIGNAL SECURITIES RIA	B	0.00	53.67	0.00	53.67	90.0 48.30
04/13/2018	35053536	BRINK, TRAVIS A	SIGNAL SECURITIES RIA	B	0.00	20.67	0.00	20.67	90.0 18.60
04/13/2018	35359393	KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	187.67	0.00	187.67	90.0 168.90
04/13/2018	36133640	GARGIULO, TIMOTHY FRANCIS	SIGNAL SECURITIES RIA	B	0.00	405.67	0.00	405.67	90.0 365.10
04/13/2018	37594469	KAHLER, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	90.0 12.00
04/13/2018	37802064	MILLER, BARBARA A	SIGNAL SECURITIES RIA	B	0.00	55.33	0.00	55.33	90.0 49.80
04/13/2018	38007046	TENOLD, AMY J	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0 12.30
04/13/2018	38167769	QUINLISK, KAREN T	SIGNAL SECURITIES RIA	B	0.00	115.33	0.00	115.33	90.0 103.80
04/13/2018	38488926	BEITELSPACHER REV. TRUST, NICOLE A.	SIGNAL SECURITIES RIA	B	0.00	413.33	0.00	413.33	90.0 372.00
04/13/2018	38489479	GLOECKLER, BEVERLY SUE	SIGNAL SECURITIES RIA	B	0.00	170.00	0.00	170.00	90.0 153.00
04/13/2018	38652695	GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	107.33	0.00	107.33	90.0 96.60
04/13/2018	39033876	TENOLD, DARON L	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0 12.30
04/13/2018	39663311	FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	25.00	0.00	25.00	90.0 22.50
04/13/2018	39961103	WEBER, MARK D	SIGNAL SECURITIES RIA	B	0.00	272.33	0.00	272.33	90.0 245.10
04/13/2018	40257767	HESTON, WILLIAM K.	SIGNAL SECURITIES RIA	B	0.00	208.33	0.00	208.33	90.0 187.50
04/13/2018	40334505	LONG, MICHAEL A	SIGNAL SECURITIES RIA	B	0.00	30.67	0.00	30.67	90.0 27.60
04/13/2018	41168136	WILSON, JEFFERY & VICKY	SIGNAL SECURITIES RIA	B	0.00	86.00	0.00	86.00	90.0 77.40
04/13/2018	41176823	SUNDINE/WHEATLAKE, JUDD/LINDA	SIGNAL SECURITIES RIA	B	0.00	112.33	0.00	112.33	90.0 101.10
04/13/2018	41306013	REEVES, JAMES & MICHAELA	SIGNAL SECURITIES RIA	B	0.00	96.67	0.00	96.67	90.0 87.00
04/13/2018	41561872	9225 W 44TH PROP.LLC	SIGNAL SECURITIES RIA	B	0.00	339.00	0.00	339.00	90.0 305.10
04/13/2018	41568893	JOHNSON, CINDY K	SIGNAL SECURITIES RIA	B	0.00	479.00	0.00	479.00	90.0 431.10
04/13/2018	42294828	HINDMAN, AMY	SIGNAL SECURITIES RIA	B	0.00	26.33	0.00	26.33	90.0 23.70
04/13/2018	42576807	MAAG, MONICA A	SIGNAL SECURITIES RIA	B	0.00	55.67	0.00	55.67	90.0 50.10
04/13/2018	42754170	ROMERO, JULIE KAY	SIGNAL SECURITIES RIA	B	0.00	1,063.33	0.00	1,063.33	90.0 957.00
04/13/2018	42758212	GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	104.33	0.00	104.33	90.0 93.90
04/13/2018	42775183	EIRING, JOHN & DEBORAH	SIGNAL SECURITIES RIA	B	0.00	144.33	0.00	144.33	90.0 129.90
04/13/2018	43202964	CARLSON, RANDY N	SIGNAL SECURITIES RIA	B	0.00	37.33	0.00	37.33	90.0 33.60
04/13/2018	43438628	JENSEN, DENISE L	SIGNAL SECURITIES RIA	B	0.00	79.67	0.00	79.67	90.0 71.70

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	43795301 HOLLEARN, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	26.67	0.00	26.67	90.0	24.00
04/13/2018	43835783 STARK, KATHLEEN A	SIGNAL SECURITIES RIA	B	0.00	75.67	0.00	75.67	90.0	68.10
04/13/2018	43903697 LEIVESTAD, DALE FBO MARISS	SIGNAL SECURITIES RIA	B	0.00	20.33	0.00	20.33	90.0	18.30
04/13/2018	43957902 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
04/13/2018	44129020 PIERCE, JULIE M	SIGNAL SECURITIES RIA	B	0.00	32.00	0.00	32.00	90.0	28.80
04/13/2018	45307716 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	16.33	0.00	16.33	90.0	14.70
04/13/2018	45460890 MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	46.67	0.00	46.67	90.0	42.00
04/13/2018	45973210 TENOLD, AMY FBO DACE T	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
04/13/2018	46518151 WERNER, CORWYN & JANET	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
04/13/2018	46614088 CAMMACK, RYAN L	SIGNAL SECURITIES RIA	B	0.00	152.00	0.00	152.00	90.0	136.80
04/13/2018	46830951 VEAZEY, PAMELA D.	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
04/13/2018	46838476 GARGIULO, PAMELA A	SIGNAL SECURITIES RIA	B	0.00	377.00	0.00	377.00	90.0	339.30
04/13/2018	47144653 PFISTERER, TIMOTHY J	SIGNAL SECURITIES RIA	B	0.00	59.33	0.00	59.33	90.0	53.40
04/13/2018	47378557 WOOD, KRAIG A	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
04/13/2018	47487556 GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	81.67	0.00	81.67	90.0	73.50
04/13/2018	47601105 KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	206.67	0.00	206.67	90.0	186.00
04/13/2018	47914778 JENSEN, RONALD & DENISE	SIGNAL SECURITIES RIA	B	0.00	88.33	0.00	88.33	90.0	79.50
04/13/2018	48427060 HANSON, KAREN M	SIGNAL SECURITIES RIA	B	0.00	22.33	0.00	22.33	90.0	20.10
04/13/2018	48682692 CONNOLLY, BRIAN	SIGNAL SECURITIES RIA	B	0.00	25.67	0.00	25.67	90.0	23.10
04/13/2018	49346016 SHOUP, LONI J	SIGNAL SECURITIES RIA	B	0.00	246.33	0.00	246.33	90.0	221.70
04/13/2018	49831675 TENOLD, AMY J	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
04/13/2018	49985458 HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	61.00	0.00	61.00	90.0	54.90
04/13/2018	49998746 MILLER, WAYNE G	SIGNAL SECURITIES RIA	B	0.00	337.33	0.00	337.33	90.0	303.60
04/13/2018	50721833 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	52.67	0.00	52.67	90.0	47.40
04/13/2018	52829344 YORK, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	58.33	0.00	58.33	90.0	52.50
04/13/2018	53704449 KAHLER, JANET C	SIGNAL SECURITIES RIA	B	0.00	90.67	0.00	90.67	90.0	81.60
04/13/2018	53709669 TORPEY, GLENNIS A	SIGNAL SECURITIES RIA	B	0.00	316.33	0.00	316.33	90.0	284.70
04/13/2018	54158431 PIERCE, JOHN FB ABIGAIL	SIGNAL SECURITIES RIA	B	0.00	15.33	0.00	15.33	90.0	13.80
04/13/2018	54865811 THORELL, KRISTIE J T.	SIGNAL SECURITIES RIA	B	0.00	439.67	0.00	439.67	90.0	395.70
04/13/2018	54941170 KAHLER, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	205.00	0.00	205.00	90.0	184.50
04/13/2018	55526612 STRUWE, TIM R	SIGNAL SECURITIES RIA	B	0.00	178.33	0.00	178.33	90.0	160.50
04/13/2018	56096498 BUREMAN, MICHAEL&	SIGNAL SECURITIES RIA	B	0.00	123.33	0.00	123.33	90.0	111.00

COMMISSION STATEMENT for JON D. JOHNSON

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
RITA									
04/13/2018	56292092 PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	101.67	0.00	101.67	90.0	91.50
04/13/2018	56595062 LAMB, LEANN	SIGNAL SECURITIES RIA	B	0.00	48.67	0.00	48.67	90.0	43.80
04/13/2018	56816203 JACKSON, LISA M	SIGNAL SECURITIES RIA	B	0.00	32.00	0.00	32.00	90.0	28.80
04/13/2018	58281647 ZARATE, JAIMEE E Z.	SIGNAL SECURITIES RIA	B	0.00	62.33	0.00	62.33	90.0	56.10
04/13/2018	59576874 HAYMAN, WILLIAM &	SIGNAL SECURITIES RIA	B	0.00	240.33	0.00	240.33	90.0	216.30
VICKI									
04/13/2018	60169490 GLOECKLER, BEVERLY	SIGNAL SECURITIES RIA	B	0.00	56.67	0.00	56.67	90.0	51.00
SUE									
04/13/2018	60197288 KOENIG, ROBERT A	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
04/13/2018	60253386 WILSON, JEFFERY &	SIGNAL SECURITIES RIA	B	0.00	101.67	0.00	101.67	90.0	91.50
VICKY									
04/13/2018	60791579 SATTERLEE, WARREN E	SIGNAL SECURITIES RIA	B	0.00	416.33	0.00	416.33	90.0	374.70
04/13/2018	61099660 JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	148.67	0.00	148.67	90.0	133.80
04/13/2018	61542054 MAAG, MONICA A	SIGNAL SECURITIES RIA	B	0.00	212.33	0.00	212.33	90.0	191.10
04/13/2018	62004058 BAUMANN, PATRICK J	SIGNAL SECURITIES RIA	B	0.00	58.67	0.00	58.67	90.0	52.80
04/13/2018	62382731 DIAMOND, NOAH	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
04/13/2018	62650715 KOENIG, RUTH A	SIGNAL SECURITIES RIA	B	0.00	38.67	0.00	38.67	90.0	34.80
04/13/2018	63023683 JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	71.33	0.00	71.33	90.0	64.20
04/13/2018	63140775 MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	65.33	0.00	65.33	90.0	58.80
04/13/2018	63781985 CAMMACK, RYAN L	SIGNAL SECURITIES RIA	B	0.00	96.33	0.00	96.33	90.0	86.70
04/13/2018	64110138 KINSDALE, JANE	SIGNAL SECURITIES RIA	B	0.00	116.33	0.00	116.33	90.0	104.70
04/19/2018	64351301 PFISTERER, MICHELLE L	SIGNAL SECURITIES RIA	B	0.00	289.00	0.00	289.00	90.0	260.10
04/13/2018	64404215 STARK, KATHLEEN A	SIGNAL SECURITIES RIA	B	0.00	150.33	0.00	150.33	90.0	135.30
04/13/2018	64764636 KELLY, KOREY	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
04/13/2018	64833041 EIRING, JOHN &	SIGNAL SECURITIES RIA	B	0.00	22.33	0.00	22.33	90.0	20.10
DEBORAH									
04/13/2018	65226021 GARGIULO, KAITLIN	SIGNAL SECURITIES RIA	B	0.00	174.33	0.00	174.33	90.0	156.90
04/13/2018	65557704 MCGINLEY, RONALD B	SIGNAL SECURITIES RIA	B	0.00	253.33	0.00	253.33	90.0	228.00
04/13/2018	66425418 MACOLINI, FRANK &	SIGNAL SECURITIES RIA	B	0.00	446.67	0.00	446.67	90.0	402.00
RUTHANN									
04/13/2018	66917531 BUREMAN, RITA L.	SIGNAL SECURITIES RIA	B	0.00	265.00	0.00	265.00	90.0	238.50
04/13/2018	68100959 BRINK, CHANDELLE SKY	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80
B.									
04/13/2018	68495356 JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	90.0	17.70
04/13/2018	68548550 KRANTZ, SANDRA L	SIGNAL SECURITIES RIA	B	0.00	91.33	0.00	91.33	90.0	82.20
04/13/2018	68732372 BERG, RONALD	SIGNAL SECURITIES RIA	B	0.00	694.00	0.00	694.00	90.0	624.60
04/13/2018	69503797 PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	33.67	0.00	33.67	90.0	30.30
04/13/2018	69769351 EIRING, DEBORAH F	SIGNAL SECURITIES RIA	B	0.00	259.33	0.00	259.33	90.0	233.40
04/13/2018	70053665 HOLLEARN, RITA	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	90.0	14.40



COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	70228215	ECHTER, SHELLY K		SIGNAL SECURITIES RIA	B	0.00	337.00	0.00	337.00 90.0 303.30
04/13/2018	71751206	KELLER, SUSAN K		SIGNAL SECURITIES RIA	B	0.00	23.33	0.00	23.33 90.0 21.00
04/13/2018	71785581	GREGG, JOHN A.		SIGNAL SECURITIES RIA	B	0.00	77.33	0.00	77.33 90.0 69.60
04/13/2018	71981417	KAHLER, KIM E & JANET		SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00 90.0 59.40
		C							
04/13/2018	72180753	RHODES, MARK L		SIGNAL SECURITIES RIA	B	0.00	386.33	0.00	386.33 90.0 347.70
04/13/2018	73270490	CONNOLLY, BRIAN		SIGNAL SECURITIES RIA	B	0.00	3.00	0.00	3.00 90.0 2.70
04/13/2018	73463753	QUADE, WILLIAM & JEAN		SIGNAL SECURITIES RIA	B	0.00	34.33	0.00	34.33 90.0 30.90
04/13/2018	73606431	STEPHENS, VALERIE		SIGNAL SECURITIES RIA	B	0.00	2,051.33	0.00	2,051.33 90.0 1,846.20
04/13/2018	73984739	ACKERMAN, LAVONNE L		SIGNAL SECURITIES RIA	B	0.00	240.00	0.00	240.00 90.0 216.00
04/13/2018	74090733	BAUMANN, GINGER G		SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00 90.0 15.30
04/13/2018	74134537	JOHNSON, RUTHANNE		SIGNAL SECURITIES RIA	B	0.00	24.67	0.00	24.67 90.0 22.20
04/13/2018	74561646	JOHNSON, JEFFREY D		SIGNAL SECURITIES RIA	B	0.00	42.33	0.00	42.33 90.0 38.10
04/13/2018	74832638	STAHL, DONALD EUGENE		SIGNAL SECURITIES RIA	B	0.00	76.67	0.00	76.67 90.0 69.00
04/13/2018	75152036	STEELE, DAVID A		SIGNAL SECURITIES RIA	B	0.00	60.00	0.00	60.00 90.0 54.00
04/13/2018	75826019	WILLIAMS, GERALDINE		SIGNAL SECURITIES RIA	B	0.00	146.67	0.00	146.67 90.0 132.00
04/13/2018	76522415	HOLLEARN, MICHAEL C		SIGNAL SECURITIES RIA	B	0.00	161.33	0.00	161.33 90.0 145.20
04/13/2018	76748838	YORK, CHRIS A		SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00 90.0 18.00
04/13/2018	76891257	GIRARD-CARLSON,		SIGNAL SECURITIES RIA	B	0.00	137.00	0.00	137.00 90.0 123.30
		CATHRYN							
04/13/2018	78957791	ECHTER, SHELLY K		SIGNAL SECURITIES RIA	B	0.00	374.67	0.00	374.67 90.0 337.20
04/13/2018	78986866	GUENZI, CAROL JO		SIGNAL SECURITIES RIA	B	0.00	307.33	0.00	307.33 90.0 276.60
04/13/2018	79301514	WERNER, CORWYN &		SIGNAL SECURITIES RIA	B	0.00	64.67	0.00	64.67 90.0 58.20
		JANET							
04/13/2018	79495850	RAPID CITY MEDICAL		SIGNAL SECURITIES RIA	B	0.00	507.00	0.00	507.00 90.0 456.30
		CTR							
04/13/2018	79828155	BARKER/STEVENS,		SIGNAL SECURITIES RIA	B	0.00	10.67	0.00	10.67 90.0 9.60
		KERRY/STEPHANIE							
04/13/2018	80391965	KUHN, STACIA D		SIGNAL SECURITIES RIA	B	0.00	1.67	0.00	1.67 90.0 1.50
04/13/2018	80875540	ROMERO, DALE E.		SIGNAL SECURITIES RIA	B	0.00	236.00	0.00	236.00 90.0 212.40
04/13/2018	81096523	HENRICKSON, ORVILLE		SIGNAL SECURITIES RIA	B	0.00	18.33	0.00	18.33 90.0 16.50
04/13/2018	81501198	FRERICKS, MARSHA J		SIGNAL SECURITIES RIA	B	0.00	379.67	0.00	379.67 90.0 341.70
04/13/2018	81836304	PLATT, ALAN B		SIGNAL SECURITIES RIA	B	0.00	43.33	0.00	43.33 90.0 39.00
04/13/2018	82190461	TENOLD, AMY FBO		SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33 90.0 2.10
		DAWSON							
04/13/2018	82997248	HARRISON, PAUL & JOAN		SIGNAL SECURITIES RIA	B	0.00	160.00	0.00	160.00 90.0 144.00
04/13/2018	83018285	WEBER, DARLENE A.		SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00 90.0 5.40
04/13/2018	83255347	WEBER, DARLENE A.		SIGNAL SECURITIES RIA	B	0.00	214.33	0.00	214.33 90.0 192.90
04/13/2018	83344292	BORK, WALLACE D		SIGNAL SECURITIES RIA	B	0.00	487.00	0.00	487.00 90.0 438.30
04/13/2018	83355639	PEDERSEN/SCHMELTZER,		SIGNAL SECURITIES RIA	B	0.00	57.67	0.00	57.67 90.0 51.90

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
SIGNE R & LOUIS									
04/13/2018	83433608 TENOLD, AMY FBO DEON R	SIGNAL SECURITIES RIA	B	0.00	16.33	0.00	16.33	90.0	14.70
04/13/2018	83754130 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	134.33	0.00	134.33	90.0	120.90
04/13/2018	84354375 QUADE, JEAN M.	SIGNAL SECURITIES RIA	B	0.00	16.67	0.00	16.67	90.0	15.00
04/13/2018	84359588 HOWE, BARBARA A.	SIGNAL SECURITIES RIA	B	0.00	197.00	0.00	197.00	90.0	177.30
04/13/2018	85064966 JACOBS, COREY T	SIGNAL SECURITIES RIA	B	0.00	165.00	0.00	165.00	90.0	148.50
04/13/2018	85082521 JENSEN, RONALD & DENISE	SIGNAL SECURITIES RIA	B	0.00	79.33	0.00	79.33	90.0	71.40
04/13/2018	85822210 KAHLER, JANET C	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	90.0	12.00
04/13/2018	85996569 PIERCE, JULIE M	SIGNAL SECURITIES RIA	B	0.00	1.67	0.00	1.67	90.0	1.50
04/18/2018	86018805 TENOLD, AMY FBO DUALY O	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
04/13/2018	86057224 EIRING, JOHN & DEBORAH	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
04/13/2018	86469888 KOENIG, ROBERT A	SIGNAL SECURITIES RIA	B	0.00	138.33	0.00	138.33	90.0	124.50
04/13/2018	87210372 STEPHENS, VALERIE	SIGNAL SECURITIES RIA	B	0.00	18.33	0.00	18.33	90.0	16.50
04/13/2018	87411379 JOHNSON, JEFFREY D	SIGNAL SECURITIES RIA	B	0.00	171.00	0.00	171.00	90.0	153.90
04/13/2018	87992733 LAMB, DONALD P	SIGNAL SECURITIES RIA	B	0.00	57.33	0.00	57.33	90.0	51.60
04/13/2018	88251717 KETZNER, TODD J	SIGNAL SECURITIES RIA	B	0.00	91.67	0.00	91.67	90.0	82.50
04/13/2018	88260407 PITMAN, TOM	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
04/13/2018	88353473 CHAFEE, RICHARD/CHRIS	SIGNAL SECURITIES RIA	B	0.00	161.33	0.00	161.33	90.0	145.20
04/13/2018	89139909 LAMB, LEANN	SIGNAL SECURITIES RIA	B	0.00	53.00	0.00	53.00	90.0	47.70
04/13/2018	89348302 GARGIULO, TIMOTHY FRANCIS	SIGNAL SECURITIES RIA	B	0.00	602.67	0.00	602.67	90.0	542.40
04/13/2018	89790665 VEAZEY, PAMELA D.	SIGNAL SECURITIES RIA	B	0.00	843.67	0.00	843.67	90.0	759.30
04/13/2018	91013710 KOENIG, RUTH A	SIGNAL SECURITIES RIA	B	0.00	27.67	0.00	27.67	90.0	24.90
04/13/2018	92186431 WILLIAMS, DONALD/GERALDIN	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
04/13/2018	92202296 HINDMAN, ANTHONY J	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
04/13/2018	92327955 ABRAMS, LAURA JEANNE	SIGNAL SECURITIES RIA	B	0.00	33.67	0.00	33.67	90.0	30.30
04/13/2018	92545805 PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	4.33	0.00	4.33	90.0	3.90
04/13/2018	92927312 LEIVESTAD, DALE L	SIGNAL SECURITIES RIA	B	0.00	37.00	0.00	37.00	90.0	33.30
04/13/2018	93010997 KINSDALE, JANE	SIGNAL SECURITIES RIA	B	0.00	414.33	0.00	414.33	90.0	372.90
04/13/2018	93015086 STRUWE, CAROLINE A	SIGNAL SECURITIES RIA	B	0.00	18.67	0.00	18.67	90.0	16.80
04/13/2018	93046418 WILLIAMS, DONALD/GERALDIN	SIGNAL SECURITIES RIA	B	0.00	308.67	0.00	308.67	90.0	277.80
04/13/2018	94507995 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
04/13/2018	94629096 GUENZI, CAROL JO	SIGNAL SECURITIES RIA	B	0.00	8.33	0.00	8.33	90.0	7.50
04/13/2018	95008736 LEIVESTAD, DALE L	SIGNAL SECURITIES RIA	B	0.00	221.00	0.00	221.00	90.0	198.90

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: RIA											
04/13/2018	95368200	REEVES, MICAELA S		SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
04/13/2018	95392118	O'NEILL, WILLIAM C		SIGNAL SECURITIES RIA	B	0.00	255.00	0.00	255.00	90.0	229.50
04/13/2018	95605369	BARKER/STEVENS, KERRY/STEPHANIE		SIGNAL SECURITIES RIA	B	0.00	71.00	0.00	71.00	90.0	63.90
04/13/2018	95863247	JOHNSON, RUTHANNE		SIGNAL SECURITIES RIA	B	0.00	83.67	0.00	83.67	90.0	75.30
04/13/2018	96072766	PROFFITT, SUSAN N.		SIGNAL SECURITIES RIA	B	0.00	143.00	0.00	143.00	90.0	128.70
04/13/2018	96167222	HENRICKSON, ORVILLE		SIGNAL SECURITIES RIA	B	0.00	125.33	0.00	125.33	90.0	112.80
04/13/2018	96706135	WOLF, KATHRYN		SIGNAL SECURITIES RIA	B	0.00	134.33	0.00	134.33	90.0	120.90
04/13/2018	96806247	DIAMOND, KENNETH C.		SIGNAL SECURITIES RIA	B	0.00	273.00	0.00	273.00	90.0	245.70
04/13/2018	96937079	REEVES, JAMES & MICAELA		SIGNAL SECURITIES RIA	B	0.00	24.33	0.00	24.33	90.0	21.90
04/13/2018	96946210	HENRICKSON, ORVILLE		SIGNAL SECURITIES RIA	B	0.00	211.33	0.00	211.33	90.0	190.20
04/13/2018	97095581	SCHMIDT, GERALD & JUDITH		SIGNAL SECURITIES RIA	B	0.00	58.00	0.00	58.00	90.0	52.20
04/13/2018	97641202	HAYMAN, WILLIAM & VICKI		SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	90.0	14.40
04/13/2018	97680079	STEVENS, STEPHANIE A		SIGNAL SECURITIES RIA	B	0.00	11.67	0.00	11.67	90.0	10.50
04/13/2018	97927074	GARGIULO, HELEN PATRICIA P.		SIGNAL SECURITIES RIA	B	0.00	10.67	0.00	10.67	90.0	9.60
04/13/2018	98361164	SANDER, RANDY L		SIGNAL SECURITIES RIA	B	0.00	153.00	0.00	153.00	90.0	137.70
04/13/2018	98617795	STRUWE, CAROLINE A		SIGNAL SECURITIES RIA	B	0.00	125.33	0.00	125.33	90.0	112.80
04/13/2018	98834954	KRANTZ, SANDRA L		SIGNAL SECURITIES RIA	B	0.00	37.00	0.00	37.00	90.0	33.30
04/13/2018	98954969	GARGIULO, KAITLIN		SIGNAL SECURITIES RIA	B	0.00	95.00	0.00	95.00	90.0	85.50
04/13/2018	99111980	WILSON, VICKY L		SIGNAL SECURITIES RIA	B	0.00	121.00	0.00	121.00	90.0	108.90
04/13/2018	99353116	DODGE, SALLY A		SIGNAL SECURITIES RIA	B	0.00	69.00	0.00	69.00	90.0	62.10
04/13/2018	99580715	BUREMAN, MICHAEL& RITA		SIGNAL SECURITIES RIA	B	0.00	22.00	0.00	22.00	90.0	19.80
				* RIA SUBTOTAL *		\$0.00	\$32,019.62	\$0.00	\$32,019.62		\$28,817.70
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
05/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES			0.00	0.44	0.00	0.44	90.0	0.40	
05/01/2018	TRAIL COMMISSIONS	IVY FUNDS FEES			0.00	1,212.54	0.00	1,212.54	90.0	1,091.33	
05/01/2018	TRAIL COMMISSIONS	PIONEER SERVICES CORPORATION FEES			0.00	1.54	0.00	1.54	90.0	1.39	
05/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES			0.00	17.62	0.00	17.62	90.0	15.86	
05/01/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES			0.00	7.90	0.00	7.90	90.0	7.11	
05/01/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES			0.00	955.02	0.00	955.02	90.0	859.52	
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$2,195.06	\$0.00	\$2,195.06		\$1,975.61
				*** BROKER TRANSACTIONS TOTAL ***		\$74,479.10	\$38,794.35	\$0.00	\$38,794.35		\$34,915.40

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>									
<b><u>BROKER: KELSEY MCDANIEL #9660</u></b>									
04/16/2018	000001977715529 MILLER, VALERIE A.	TEMPLETON GROWTH FD CL 1	B	50.00	2.50	0.00	2.50		0.50
04/12/2018	00035709393 DYER, ROBBIE	IVY BALANCED A	B	0.00	2.23	0.00	2.23		0.45
04/12/2018	00035709485 DYER, ROBBIE	IVY BALANCED A	B	0.00	0.31	0.00	0.31		0.06
04/12/2018	00035709485 DYER, ROBBIE	IVY LARGE CAP GROWTH A	B	0.00	1.01	0.00	1.01		0.20
04/12/2018	00035825168 NEMEC, MISTY M.	IVY LARGE CAP GROWTH A	B	0.00	2.90	0.00	2.90		0.58
04/17/2018	00067038919 MINOR, BRUCE	AMERICAN FUNDS INVESTMENT COMP OF A B		155.00	4.26	0.00	4.26		0.85
04/17/2018	00067038919 MINOR, BRUCE	AMERICAN FUNDS INVESTMENT COMP OF A B		93.00	2.56	0.00	2.56		0.51
04/20/2018	00086842890 BROWN, TAMI	AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75		0.15
04/20/2018	04000692266 FIESTER, JONATHAN D.	AMERICAN FUNDS GROWTH FUND OF AMERI B		100.00	5.00	0.00	5.00		1.00
04/09/2018	04000828904 BROWN, MARK K.	AMERICAN FUNDS GROWTH FUND OF AMERI B		5.72	0.21	0.00	0.21		0.04
04/11/2018	04000889219 BROWN, DEANNE	AMERICAN FUNDS INCOME FD OF AMERI B		2,700.00	101.25	0.00	101.25		20.25
04/12/2018	04000889219 BROWN, DEANNE	AMERICAN FUNDS INCOME FD OF AMERI B		400.00	15.00	0.00	15.00		3.00
04/10/2018	04000910644 BLAND, AUDRA L.	AMERICAN FUNDS GROWTH FUND OF AMERI B		500.00	18.75	0.00	18.75		3.75
04/10/2018	04000910644 BLAND, AUDRA L.	AMERICAN FUNDS NEW ECONOMY FD	B	500.00	18.75	0.00	18.75		3.75
04/24/2018	99338479 DREXLER, FLOYD MICHAEL	SIGNAL SECURITIES RIA	B	0.00	83.60	0.00	83.60		16.72
* KELSEY MCDANIEL SUBTOTAL *				<u>\$4,578.72</u>	<u>\$259.08</u>	<u>\$0.00</u>	<u>\$259.08</u>		<u>\$51.81</u>
*** OVERRIDE TRANSACTIONS TOTAL ***				<u>\$4,578.72</u>	<u>\$259.08</u>	<u>\$0.00</u>	<u>\$259.08</u>		<u>\$51.81</u>

**ADJUSTMENTS**

05/01/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
05/01/2018	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-27.00
05/01/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-154.50</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$34,915.40
Split Transactions	\$0.00
Override Transactions	\$51.81
Adjustments	\$-154.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$34,812.71
Year-to-date Earnings	\$198,423.69