



COMMISSION STATEMENT

June 25, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/18/2018	***-**-87IN - HALL, J	AMCAP FUND-A	392.00	7.84	0.00	7.84	90.0	7.06
	ACCOUNT #: 00084955124	BUY 11 Units @34.7900						
06/15/2018	***-**-525P - WILSON, FRANCIS	CAPITAL INCOME BUILDER-A	245.73	4.91	0.00	4.91	90.0	4.42
	ACCOUNT #: 00082184129	BUY 4 Units @61.9000						
06/15/2018	***-**-255P - WILSON, HELEN	CAPITAL INCOME BUILDER-A	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00082184171	BUY 1 Units @61.9000						
06/15/2018	***-**-211I - MEGILL, ROBERT	CAPITAL INCOME BUILDER-A	167.66	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: 00084334457	BUY 3 Units @62.5400						
06/15/2018	***-**-828I - SHARMA, BOBBY	CAPITAL INCOME BUILDER-A	125.00	4.69	0.00	4.69	90.0	4.22
	ACCOUNT #: 00084334446	BUY 2 Units @63.1900						
06/15/2018	***-**-706I - HOFSTETTER,	CAPITAL INCOME BUILDER-A	37.50	1.59	0.00	1.59	90.0	1.43
	ACCOUNT #: 00084334451	BUY 1 Units @63.5300						
06/15/2018	***-**-525P - WILSON, FRANCIS	CAPITAL WORLD GROWTH AND INCOME FUN	245.73	4.91	0.00	4.91	90.0	4.42
	ACCOUNT #: 00082184129	BUY 5 Units @53.1000						
06/15/2018	***-**-255P - WILSON, HELEN	CAPITAL WORLD GROWTH AND INCOME FUN	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00082184171	BUY 1 Units @53.1000						
06/15/2018	***-**-211I - MEGILL, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	167.66	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: 00084334457	BUY 3 Units @53.6500						
06/15/2018	***-**-828I - SHARMA, BOBBY	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	4.69	0.00	4.69	90.0	4.22
	ACCOUNT #: 00084334446	BUY 2 Units @54.2100						
06/15/2018	***-**-706I - HOFSTETTER,	CAPITAL WORLD GROWTH AND INCOME FUN	37.50	1.59	0.00	1.59	90.0	1.43
	ACCOUNT #: 00084334451	BUY 1 Units @54.4900						
06/15/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	5.31	0.00	5.31	90.0	4.78
	ACCOUNT #: 00086578408	BUY 2 Units @54.4900						
06/18/2018	***-**-87IN - HALL, J	CAPITAL WORLD GROWTH AND INCOME FUN	392.00	7.84	0.00	7.84	90.0	7.06
	ACCOUNT #: 00084955124	BUY 7 Units @52.8500						
06/15/2018	***-**-224I - WILSON, FRANCIS	FUNDAMENTAL INVESTORS-A	553.25	20.75	0.00	20.75	90.0	18.68
	ACCOUNT #: 00085899638	BUY 8 Units @66.6800						
06/18/2018	***-**-87IN - HALL, J	FUNDAMENTAL INVESTORS-A	392.00	7.84	0.00	7.84	90.0	7.06
	ACCOUNT #: 00084955124	BUY 6 Units @65.1400						
06/21/2018	***-**-6114 - HENDERLITE,	GROWTH FUND OF AMERICA CLASS C	10,000.00	100.00	0.00	100.00	90.0	90.00
		BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/15/2018	***-**-525P - WILSON, FRANCIS	THE GROWTH FUND OF AMERICA-A	245.73	4.91	0.00	4.91	90.0	4.42
	ACCOUNT #: 00082184129	BUY 4 Units @56.4100						
06/15/2018	***-**-255P - WILSON, HELEN	THE GROWTH FUND OF AMERICA-A	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00082184171	BUY 1 Units @56.4100						
06/15/2018	***-**-211I - MEGILL, ROBERT	THE GROWTH FUND OF AMERICA-A	167.67	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: 00084334457	BUY 3 Units @56.9900						
06/15/2018	***-**-828I - SHARMA, BOBBY	THE GROWTH FUND OF AMERICA-A	125.00	4.69	0.00	4.69	90.0	4.22
	ACCOUNT #: 00084334446	BUY 2 Units @57.5900						
06/15/2018	***-**-706I - HOFSTETTER,	THE GROWTH FUND OF AMERICA-A	37.50	1.59	0.00	1.59	90.0	1.43
	ACCOUNT #: 00084334451	BUY 1 Units @57.8900						
06/15/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	125.00	5.31	0.00	5.31	90.0	4.78
	ACCOUNT #: 00086578408	BUY 2 Units @57.8900						
06/15/2018	***-**-211I - MEGILL, ROBERT	THE INCOME FUND OF AMERICA-A	167.66	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: 00084334457	BUY 7 Units @23.7500						
06/15/2018	***-**-828I - SHARMA, BOBBY	THE INCOME FUND OF AMERICA-A	125.00	4.69	0.00	4.69	90.0	4.22
	ACCOUNT #: 00084334446	BUY 5 Units @24.0000						
06/15/2018	***-**-706I - HOFSTETTER,	THE INCOME FUND OF AMERICA-A	37.50	1.59	0.00	1.59	90.0	1.43
	ACCOUNT #: 00084334451	BUY 2 Units @24.1300						
06/15/2018	***-**-525P - WILSON, FRANCIS	WASHINGTON MUTUAL INVESTORS FUND-A	245.71	4.91	0.00	4.91	90.0	4.42
	ACCOUNT #: 00082184129	BUY 5 Units @46.4600						
06/15/2018	***-**-255P - WILSON, HELEN	WASHINGTON MUTUAL INVESTORS FUND-A	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00082184171	BUY 1 Units @46.4600						
06/18/2018	***-**-87IN - HALL, J	WASHINGTON MUTUAL INVESTORS FUND-A	392.00	7.84	0.00	7.84	90.0	7.06
	ACCOUNT #: 00084955124	BUY 8 Units @46.2800						
* MUTUAL FUNDS SUBTOTAL *			\$14,924.80	\$230.93	\$0.00	\$230.93		\$207.88
PRODUCT CATEGORY: 529 PLAN								
06/22/2018	***-**-215P - WILLIAMS, DOYLE	THE INVESTMENT COMPANY OF AMERICA-5	100.00	5.00	0.00	5.00	90.0	4.50
	ACCOUNT #: 00088493817	BUY 2 Units @42.9900						
* 529 PLAN SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$4.50



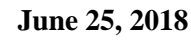
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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/04/2018	***-**-254J - OTEGUY, JUAN & ACCOUNT #: 00004910394	CALVERT ULTRA SHORT INCOME - A BUY 0 Units @0.0000	0.00	3.03	0.00	3.03	90.0	2.73
06/08/2018	***-**-594I - REGULSKI, SUSAN ACCOUNT #: 7200012141	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	82.61	0.00	82.61	90.0	74.35
06/08/2018	***-**-721I - CRAFT, LOIS ACCOUNT #: 7200013710	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	18.01	0.00	18.01	90.0	16.21
06/11/2018	***-**-559I - HUBBARD, JAMES ACCOUNT #: 7200005537	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	15.80	0.00	15.80	90.0	14.22
06/11/2018	***-**-854I - SQUIRE, CAROLYN ACCOUNT #: 7200007202	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	3.79	0.00	3.79	90.0	3.41
06/11/2018	***-**-7488 - SQUIRE, BARRY ACCOUNT #: 7200007204	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	7.43	0.00	7.43	90.0	6.69
06/11/2018	***-**-199I - MITCHEN, RICHARD ACCOUNT #: 7200011695	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	15.94	0.00	15.94	90.0	14.35
06/11/2018	***-**-52IN - LORD, GEORGE ACCOUNT #: 7200036994	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	26.61	0.00	26.61	90.0	23.95
06/12/2018	***-**-224I - WILSON, FRANCIS ACCOUNT #: 7200036196	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	5.59	0.00	5.59	90.0	5.03
06/14/2018	***-**-32RI - MITCHEN, DORIS ACCOUNT #: 7200011766	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	15.72	0.00	15.72	90.0	14.15
06/05/2018	***-**-2209 - LING, BENJAMIN ACCOUNT #: 01006543750	WELLS FGO AVTG ENTERPRISE FUND - A BUY 0 Units @0.0000	0.00	9.99	0.00	9.99	90.0	8.99
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$204.52	\$0.00	\$204.52		\$184.08
*** BROKER TRANSACTIONS TOTAL ***			\$15,024.80	\$440.45	\$0.00	\$440.45		\$396.46



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$396.46
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$396.46
						Year-to-date Earnings		\$26,510.36