



Concorde Investment Services, LLC
COMMISSION STATEMENT
 January 17, 2017

01/13/2017
 Page No. 1

MATT BRANSTETTER
 PO Box 250
 111 S. Main Street
 Noble, OK 73068

BROKER #: B116
 BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/27/2016	EVERETT, BRIAN	AM FUNDS AMERICAN BALANCED C	50.00	0.50	80.0	0.40	0.00	0.40
01/05/2017	FOX, JERALDINE	AM FUNDS CAPITAL INCOME BUILDER C	120.00	1.20	80.0	0.96	0.00	0.96
01/03/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	250.00	12.50	80.0	10.00	0.00	10.00
01/03/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	80.0	4.00	0.00	4.00
/ /	MICHENER, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	1.52	80.0	1.22	0.00	1.22
/ /	WHITETREE, THOMAS	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	CASTLE, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.18	80.0	0.14	0.00	0.14
/ /	HUFFMAN, KRISTOPHER	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.67	80.0	0.54	0.00	0.54
/ /	LIVELY, ELIZABETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.15	80.0	0.12	0.00	0.12
/ /	LIVELY, DUSTIN	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.15	80.0	0.12	0.00	0.12
/ /	HUNTER, TIMOTHY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	PUCKETT, CHERI	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.18	80.0	0.14	0.00	0.14
/ /	DOBELBOWER, JORGE LARRY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HARRALD, DONALD	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	SALLEE, MARY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.25	80.0	0.20	0.00	0.20
/ /	MICHENER, DAVID	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.08	80.0	0.06	0.00	0.06
/ /	WHITETREE, THOMAS	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	CASTLE, DAVID	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HUFFMAN, KRISTOPHER	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	LIVELY, ELIZABETH	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	LIVELY, DUSTIN	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HUNTER, TIMOTHY	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	PUCKETT, CHERI	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	TREADWAY, TOMMY	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.06	80.0	0.05	0.00	0.05

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	DOWNING, JOHN	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.10	80.0	0.08	0.00	0.08
/ /	ACOSTA, JF	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	MELTON, JOY	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BENSON, KARLA	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DESHIELDS, JAMES	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POORE, NANCY	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	MCFERRON, LINDA	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	VOCI, ROBERTO	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.07	80.0	0.06	0.00	0.06
/ /	WILLIAMS, JACKIE	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.35	80.0	0.28	0.00	0.28
/ /	HOLMAN, TIMOTHY	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, RK	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON, RICHARD	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, MEEGAN	GUGGENHEIM STRENGTHENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
12/15/2016	TAYLOR, RANDALL	PAC LIFE PORT OPTIM MOD AGG	1,250.00	20.00	80.0	16.00	0.00	16.00
12/27/2016	MORIELS, FELICIA	PUTNAM AMERICAN GOVT INCOME-M	100.00	3.00	80.0	2.40	0.00	2.40
12/19/2016	TAYLOR, CATHERINE	PUTNAM GROWTH OPP C	146.98	1.47	80.0	1.18	0.00	1.18
12/20/2016	MYERS, AMANDA	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
12/20/2016	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
12/27/2016	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
12/27/2016	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
12/27/2016	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
12/27/2016	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
12/27/2016	DOCTORMAN, EDGE	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
12/27/2016	DOCTORMAN, AIMES	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
12/27/2016	MCFERRON, LARRY	PUTNAM MULTI-CAP GROWTH M	75.00	2.25	80.0	1.80	0.00	1.80
12/27/2016	STANFORD, DAVID	PUTNAM MULTI-CAP GROWTH M	70.00	2.10	80.0	1.68	0.00	1.68
12/27/2016	POORE, NANCY	PUTNAM SMALL CAP GROWTH-C	25.00	0.25	80.0	0.20	0.00	0.20
/ /	ROLLINS, LISA	RYDEX DOW 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	TREADWAY, PAMELA	RYDEX DOW 2X STRATEGY C	0.00	0.70	80.0	0.56	0.00	0.56
/ /	TREADWAY, TOMMY	RYDEX DOW 2X STRATEGY C	0.00	1.27	80.0	1.02	0.00	1.02
/ /	MORIELS, FELICIA	RYDEX DOW 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	DOWNING, JOHN	RYDEX DOW 2X STRATEGY C	0.00	2.43	80.0	1.94	0.00	1.94
/ /	ACOSTA, JF	RYDEX DOW 2X STRATEGY C	0.00	0.23	80.0	0.18	0.00	0.18
/ /	MELTON, JOY	RYDEX DOW 2X STRATEGY C	0.00	0.47	80.0	0.38	0.00	0.38
/ /	BENSON, KARLA	RYDEX DOW 2X STRATEGY C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	DESHIELDS, JAMES	RYDEX DOW 2X STRATEGY C	0.00	0.25	80.0	0.20	0.00	0.20
/ /	POORE, NANCY	RYDEX DOW 2X STRATEGY C	0.00	0.61	80.0	0.49	0.00	0.49
/ /	MCFERRON, LINDA	RYDEX DOW 2X STRATEGY C	0.00	0.77	80.0	0.62	0.00	0.62
/ /	VOCI, ROBERTO	RYDEX DOW 2X STRATEGY C	0.00	1.66	80.0	1.33	0.00	1.33
/ /	WILLIAMS, JACKIE	RYDEX DOW 2X STRATEGY C	0.00	2.15	80.0	1.72	0.00	1.72
/ /	FRANKS, MARTHA	RYDEX DOW 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, TIMOTHY	RYDEX DOW 2X STRATEGY C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	WRIGHT, MARK	RYDEX DOW 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX DOW 2X STRATEGY C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	POTTER, RK	RYDEX DOW 2X STRATEGY C	0.00	0.23	80.0	0.18	0.00	0.18
/ /	RICK, JOSEPH	RYDEX DOW 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	BACON, RICHARD	RYDEX DOW 2X STRATEGY C	0.00	0.67	80.0	0.54	0.00	0.54
/ /	ARRINGTON, NANCY	RYDEX DOW 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HOLMAN, KENLEE	RYDEX DOW 2X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	HOLMAN, MEEGAN	RYDEX DOW 2X STRATEGY C	0.00	0.44	80.0	0.35	0.00	0.35
/ /	MCKIDDY, PAULA	RYDEX DOW 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	ROLLINS, LISA	RYDEX ENERGY SERVICES C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	TREADWAY, PAMELA	RYDEX ENERGY SERVICES C	0.00	0.36	80.0	0.29	0.00	0.29
/ /	TREADWAY, TOMMY	RYDEX ENERGY SERVICES C	0.00	0.65	80.0	0.52	0.00	0.52
/ /	DOWNING, JOHN	RYDEX ENERGY SERVICES C	0.00	1.12	80.0	0.90	0.00	0.90
/ /	ACOSTA, JF	RYDEX ENERGY SERVICES C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	MELTON, JOY	RYDEX ENERGY SERVICES C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	BENSON, KARLA	RYDEX ENERGY SERVICES C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	DESHIELDS, JAMES	RYDEX ENERGY SERVICES C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	POORE, NANCY	RYDEX ENERGY SERVICES C	0.00	0.32	80.0	0.26	0.00	0.26
/ /	MCFERRON, LINDA	RYDEX ENERGY SERVICES C	0.00	0.39	80.0	0.31	0.00	0.31
/ /	VOCI, ROBERTO	RYDEX ENERGY SERVICES C	0.00	0.78	80.0	0.62	0.00	0.62
/ /	WILLIAMS, JACKIE	RYDEX ENERGY SERVICES C	0.00	2.27	80.0	1.82	0.00	1.82
/ /	HOLMAN, TIMOTHY	RYDEX ENERGY SERVICES C	0.00	0.02	80.0	0.02	0.00	0.02

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	WRIGHT, MARK	RYDEX ENERGY SERVICES C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX ENERGY SERVICES C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	POTTER, RK	RYDEX ENERGY SERVICES C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	RICK, JOSEPH	RYDEX ENERGY SERVICES C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	BACON, RICHARD	RYDEX ENERGY SERVICES C	0.00	0.34	80.0	0.27	0.00	0.27
/ /	ARRINGTON, NANCY	RYDEX ENERGY SERVICES C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX ENERGY SERVICES C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HOLMAN, MEEGAN	RYDEX ENERGY SERVICES C	0.00	0.22	80.0	0.18	0.00	0.18
/ /	MCKIDDY, PAULA	RYDEX ENERGY SERVICES C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	MICHENER, DAVID	RYDEX EUROPE 1.25X DTGY - A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUFFMAN, KRISTOPHER	RYDEX EUROPE 1.25X DTGY - A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX EUROPE 1.25X DTGY - A	0.00	0.01	80.0	0.01	0.00	0.01
12/30/2016	PUCKETT, CHERI	RYDEX EUROPE 1.25X DTGY - A	50.00	2.00	80.0	1.60	0.00	1.60
/ /	TREADWAY, PAMELA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	TREADWAY, TOMMY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	DOWNING, JOHN	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	ACOSTA, JF	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	MELTON, JOY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DESHIELDS, JAMES	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POORE, NANCY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	MCFERRON, LINDA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	VOCI, ROBERTO	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	WILLIAMS, JACKIE	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	POTTER, G	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, RK	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON, RICHARD	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	MICHENER, DAVID	RYDEX GUGG BANKING A	0.00	1.39	80.0	1.11	0.00	1.11
/ /	WHITETREE, THOMAS	RYDEX GUGG BANKING A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	CASTLE, DAVID	RYDEX GUGG BANKING A	0.00	0.15	80.0	0.12	0.00	0.12
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG BANKING A	0.00	0.59	80.0	0.47	0.00	0.47
/ /	LIVELY, ELIZABETH	RYDEX GUGG BANKING A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	LIVELY, DUSTIN	RYDEX GUGG BANKING A	0.00	0.13	80.0	0.10	0.00	0.10

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	HUNTER, TIMOTHY	RYDEX GUGG BANKING A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	PUCKETT, CHERI	RYDEX GUGG BANKING A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	DOBELBOWER, JORGE LARRY	RYDEX GUGG BANKING A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HARRALD, DONALD	RYDEX GUGG BANKING A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	SALLEE, MARY	RYDEX GUGG BANKING A	0.00	0.22	80.0	0.18	0.00	0.18
/ /	ROLLINS, LISA	RYDEX GUGG BANKING C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	TREADWAY, PAMELA	RYDEX GUGG BANKING C	0.00	0.66	80.0	0.53	0.00	0.53
/ /	TREADWAY, TOMMY	RYDEX GUGG BANKING C	0.00	1.13	80.0	0.90	0.00	0.90
/ /	DOWNING, JOHN	RYDEX GUGG BANKING C	0.00	2.17	80.0	1.74	0.00	1.74
/ /	ACOSTA, JF	RYDEX GUGG BANKING C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	MELTON, JOY	RYDEX GUGG BANKING C	0.00	0.42	80.0	0.34	0.00	0.34
/ /	BENSON, KARLA	RYDEX GUGG BANKING C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	DESHIELDS, JAMES	RYDEX GUGG BANKING C	0.00	0.25	80.0	0.20	0.00	0.20
/ /	POORE, NANCY	RYDEX GUGG BANKING C	0.00	0.59	80.0	0.47	0.00	0.47
/ /	MCFERRON, LINDA	RYDEX GUGG BANKING C	0.00	0.67	80.0	0.54	0.00	0.54
/ /	VOCI, ROBERTO	RYDEX GUGG BANKING C	0.00	1.49	80.0	1.19	0.00	1.19
/ /	WILLIAMS, JACKIE	RYDEX GUGG BANKING C	0.00	1.97	80.0	1.58	0.00	1.58
/ /	FRANKS, MARTHA	RYDEX GUGG BANKING C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, TIMOTHY	RYDEX GUGG BANKING C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	WRIGHT, MARK	RYDEX GUGG BANKING C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX GUGG BANKING C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	POTTER, RK	RYDEX GUGG BANKING C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	RICK, JOSEPH	RYDEX GUGG BANKING C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BACON, RICHARD	RYDEX GUGG BANKING C	0.00	0.58	80.0	0.46	0.00	0.46
/ /	ARRINGTON, NANCY	RYDEX GUGG BANKING C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HOLMAN, KENLEE	RYDEX GUGG BANKING C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HOLMAN, MEEGAN	RYDEX GUGG BANKING C	0.00	0.38	80.0	0.30	0.00	0.30
/ /	MCKIDDY, PAULA	RYDEX GUGG BANKING C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	ROLLINS, LISA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	TREADWAY, PAMELA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	1.40	80.0	1.12	0.00	1.12
/ /	TREADWAY, TOMMY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	2.47	80.0	1.98	0.00	1.98
/ /	MORIELS, FELICIA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DOWNING, JOHN	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	4.68	80.0	3.74	0.00	3.74

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	ACOSTA, JF	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.43	80.0	0.34	0.00	0.34
/ /	MELTON, JOY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.92	80.0	0.74	0.00	0.74
/ /	BENSON, KARLA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.34	80.0	0.27	0.00	0.27
/ /	DESHIELDS, JAMES	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.51	80.0	0.41	0.00	0.41
/ /	POORE, NANCY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	1.23	80.0	0.98	0.00	0.98
/ /	MCFERRON, LINDA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	1.49	80.0	1.19	0.00	1.19
/ /	VOCI, ROBERTO	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	3.22	80.0	2.58	0.00	2.58
/ /	WILLIAMS, JACKIE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	4.07	80.0	3.26	0.00	3.26
/ /	FRANKS, MARTHA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, TIMOTHY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.28	80.0	0.22	0.00	0.22
/ /	WRIGHT, MARK	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	POTTER, G	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.45	80.0	0.36	0.00	0.36
/ /	POTTER, RK	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.44	80.0	0.35	0.00	0.35
/ /	RICK, JOSEPH	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	BACON, RICHARD	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	1.28	80.0	1.02	0.00	1.02
/ /	ARRINGTON, NANCY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	HOLMAN, KENLEE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	HOLMAN, MEEGAN	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.85	80.0	0.68	0.00	0.68
/ /	MCKIDDY, PAULA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	MICHENER, DAVID	RYDEX GUGG ENERGY A	0.00	0.80	80.0	0.64	0.00	0.64
/ /	WHITETREE, THOMAS	RYDEX GUGG ENERGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	CASTLE, DAVID	RYDEX GUGG ENERGY A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG ENERGY A	0.00	0.34	80.0	0.27	0.00	0.27
/ /	LIVELY, ELIZABETH	RYDEX GUGG ENERGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	LIVELY, DUSTIN	RYDEX GUGG ENERGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HUNTER, TIMOTHY	RYDEX GUGG ENERGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	PUCKETT, CHERI	RYDEX GUGG ENERGY A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	DOBELBOWER, JORGE LARRY	RYDEX GUGG ENERGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HARRALD, DONALD	RYDEX GUGG ENERGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	SALLEE, MARY	RYDEX GUGG ENERGY A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	MICHENER, DAVID	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	3.00	80.0	2.40	0.00	2.40
/ /	WHITETREE, THOMAS	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.20	80.0	0.16	0.00	0.16
/ /	CASTLE, DAVID	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.34	80.0	0.27	0.00	0.27

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	1.29	80.0	1.03	0.00	1.03
/ /	LIVELY, ELIZABETH	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.29	80.0	0.23	0.00	0.23
/ /	LIVELY, DUSTIN	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.29	80.0	0.23	0.00	0.23
/ /	HUNTER, TIMOTHY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.26	80.0	0.21	0.00	0.21
/ /	PUCKETT, CHERI	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.34	80.0	0.27	0.00	0.27
/ /	DOBELBOWER, JORGE LARRY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HARRALD, DONALD	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	SALLEE, MARY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.48	80.0	0.38	0.00	0.38
/ /	KINNEY, ERICK	RYDEX GUGGENHEIM NASDAQ-100-A	0.00	0.21	80.0	0.17	0.00	0.17
* MUTUAL FUNDS SUBTOTAL *			\$2,511.98	\$126.34		\$101.12	\$0.00	\$101.12
<u>PRODUCT CATEGORY: STOCKS</u>								
01/09/2017	ACOSTA, ANNA	DIREXION SHS ETF TR ENERGY BULL 3X	-7,810.00	49.00	80.0	39.20	22.50	16.70
* STOCKS SUBTOTAL *			\$-7,810.00	\$49.00		\$39.20	\$22.50	\$16.70
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	BRANSTETTER, ERIK	JNL ELITE ACCESS	5,521.33	1.15	80.0	0.92	0.00	0.92
12/31/2016	BRANSTETTER, ERIK	JNL ELITE ACCESS	2,007.45	0.42	80.0	0.34	0.00	0.34
12/31/2016	CASTLE, DAVID	JNL ELITE ACCESS	2,520.32	0.53	80.0	0.42	0.00	0.42
12/31/2016	FIRST TRUST OF ONAGA	JNL ELITE ACCESS	2,694.38	0.56	80.0	0.45	0.00	0.45
12/31/2016	ALEXANDER, SUSAN	JNL PERSPECTIVE II 04/14	8,819.35	1.84	80.0	1.47	0.00	1.47
12/31/2016	RAY, RANDY	JNL PERSPECTIVE II 04/14	24,876.95	10.37	80.0	8.30	0.00	8.30
12/31/2016	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	52,553.90	10.95	80.0	8.76	0.00	8.76
12/31/2016	BRANSTETTER, TRACY	JNL PERSPECTIVE II 05/05	1,333.66	0.28	80.0	0.22	0.00	0.22
12/31/2016	HIPP, RONALD	JNL PERSPECTIVE II 05/05	9,427.31	1.96	80.0	1.57	0.00	1.57
12/31/2016	HOBBS, DONALD	JNL PERSPECTIVE II 05/05	7,827.40	1.63	80.0	1.30	0.00	1.30
12/31/2016	MCKIBBEN, SUSAN	JNL PERSPECTIVE II 05/05	80,104.72	16.69	80.0	13.35	0.00	13.35
12/31/2016	MANSFIELD, CORDELIA	JNL PERSPECTIVE L	61,889.57	67.05	80.0	53.64	0.00	53.64
12/31/2016	MCFERRON, LINDA	JNL PERSPECTIVE L	15,802.01	17.12	80.0	13.70	0.00	13.70
12/31/2016	WOODS III, JOHN	JNL PERSPECTIVE L	29,659.16	24.72	80.0	19.78	0.00	19.78
12/31/2016	WOODS III, JOHN	JNL PERSPECTIVE L	10,592.56	11.48	80.0	9.18	0.00	9.18
12/31/2016	DOWDY, LINDA	JNL PERSPECTIVE L	34,271.42	28.56	80.0	22.85	0.00	22.85
12/31/2016	DOWDY, LINDA	JNL PERSPECTIVE L	12,846.80	13.92	80.0	11.14	0.00	11.14
12/31/2016	RICK, JOSEPH	JNL PERSPECTIVE L	9,087.66	7.57	80.0	6.06	0.00	6.06

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	RICK, JOSEPH	JNL PERSPECTIVE L	11,802.16	12.79	80.0	10.23	0.00	10.23
12/31/2016	WILLIAMS, HARRY	JNL PERSPECTIVE L	6,794.16	5.66	80.0	4.53	0.00	4.53
12/31/2016	WILLIAMS, HARRY	JNL PERSPECTIVE L	11,323.61	12.27	80.0	9.82	0.00	9.82
12/31/2016	BRISCOE, TOMMIE	JNL PERSPECTIVE L	10,858.67	9.05	80.0	7.24	0.00	7.24
12/31/2016	BRISCOE, TOMMIE	JNL PERSPECTIVE L	9,927.58	10.75	80.0	8.60	0.00	8.60
12/31/2016	WILSON, TIM	JNL PERSPECTIVE L	23,118.01	19.27	80.0	15.42	0.00	15.42
12/31/2016	WILSON, TIM	JNL PERSPECTIVE L	9,499.42	10.29	80.0	8.23	0.00	8.23
12/31/2016	HERNDON, RONALD	JNL PERSPECTIVE L	15,782.19	13.15	80.0	10.52	0.00	10.52
12/31/2016	HERNDON, RONALD	JNL PERSPECTIVE L	9,304.74	10.08	80.0	8.06	0.00	8.06
12/31/2016	CANFIELD, OWEN	JNL PERSPECTIVE L	41,666.58	34.72	80.0	27.78	0.00	27.78
12/31/2016	CANFIELD, OWEN	JNL PERSPECTIVE L	10,110.94	10.95	80.0	8.76	0.00	8.76
12/31/2016	MOORE, TONI	JNL PERSPECTIVE L	213,585.96	177.99	80.0	142.39	0.00	142.39
12/31/2016	MICHENER, DAVID	JNL PERSPECTIVE L	258,304.21	215.25	80.0	172.20	0.00	172.20
12/31/2016	LEMOND, MARY	JNL PERSPECTIVE L	19,589.97	16.32	80.0	13.06	0.00	13.06
12/31/2016	BRANSTETTER, LINDA	JNL PERSPECTIVE L	2,351.93	1.96	80.0	1.57	0.00	1.57
12/31/2016	BRANSTETTER, TODD	JNL PERSPECTIVE L	12,458.29	10.38	80.0	8.30	0.00	8.30
12/31/2016	MCFERRON, LARRY	JNL PERSPECTIVE L	19,223.96	16.02	80.0	12.82	0.00	12.82
12/31/2016	MANSFIELD, PHILLIP	JNL PERSPECTIVE L	48,771.87	40.64	80.0	32.51	0.00	32.51
12/31/2016	POORE, NANCY	JNL PERSPECTIVE L	46,540.34	38.78	80.0	31.02	0.00	31.02
12/31/2016	HUFFMAN, KRISTOPHER	JNL PERSPECTIVE L	55,213.91	46.01	80.0	36.81	0.00	36.81
12/31/2016	CASTLE, DAVID	JNL PERSPECTIVE L	111,281.75	92.73	80.0	74.18	0.00	74.18
12/31/2016	FARIS, THERESA ANN	JNL PERSPECTIVE L	33,390.89	27.83	80.0	22.26	0.00	22.26
12/31/2016	DOBELBOWER, JORGE LARRY	JNL PERSPECTIVE L	93,125.44	77.60	80.0	62.08	0.00	62.08
12/31/2016	MCKIDDY, BOBBY	JNL PERSPECTIVE L	19,517.72	16.26	80.0	13.01	0.00	13.01
12/31/2016	TREADWAY, PAMELA	JNL PERSPECTIVE L	39,522.70	32.94	80.0	26.35	0.00	26.35
12/31/2016	ACOSTA, ANNA	JNL PERSPECTIVE L	6,493.77	5.41	80.0	4.33	0.00	4.33
12/31/2016	STANFORD, DAVID	JOHN HANCOCK VENTURE	8,981.25	1.87	80.0	1.50	0.00	1.50
12/31/2016	POWERS, MIKE	NATIONWIDE BOA VISION GROUP 01	382.10	0.24	80.0	0.19	0.00	0.19
12/31/2016	WILSON, TIM	NATIONWIDE BOA VISION GROUP 01	3,432.90	2.15	80.0	1.72	0.00	1.72
12/31/2016	POWERS, MIKE	NATIONWIDE MARKETFLEX BB	572.97	1.43	80.0	1.14	0.00	1.14
12/31/2016	WILSON, TIM	NATIONWIDE MARKETFLEX BB	7,647.58	19.12	80.0	15.30	0.00	15.30
12/31/2016	BACON, RICHARD	NATIONWIDE MARKETFLEX BB	13,616.78	8.51	80.0	6.81	0.00	6.81
12/31/2016	CANFIELD, OWEN	NATIONWIDE MARKETFLEX BB	423.66	1.06	80.0	0.85	0.00	0.85

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/19/2016	MANSFIELD, CORDELIA	PAC LIFE INNOVATIVE SELECT	0.00	67.66	80.0	54.13	0.00	54.13
12/27/2016	MADEWELL, DOUGLAS	PAC LIFE INNOVATIVE SELECT	0.00	4.15	80.0	3.32	0.00	3.32
12/27/2016	JENNINGS, CAROL	PAC LIFE PACIFIC CHOICE	0.00	10.22	80.0	8.18	0.00	8.18
12/27/2016	GARRISON, JENNIFER	PAC LIFE PACIFIC CHOICE	0.00	3.63	80.0	2.90	0.00	2.90
12/27/2016	KING, AMY	PAC LIFE PACIFIC CHOICE	0.00	15.30	80.0	12.24	0.00	12.24
12/27/2016	HOWARD, JOSEPH	PAC LIFE PACIFIC CHOICE	0.00	2.73	80.0	2.18	0.00	2.18
12/27/2016	COLWELL, JEREMY	PAC LIFE VALUE	0.00	10.16	80.0	8.13	0.00	8.13
12/27/2016	PROCTOR, CHAD	PAC LIFE VALUE	0.00	6.42	80.0	5.14	0.00	5.14
12/27/2016	CRETSINGER, DON	PAC LIFE VALUE	0.00	10.88	80.0	8.70	0.00	8.70
12/27/2016	WHITE, JOANNE	PAC LIFE VALUE	0.00	8.32	80.0	6.66	0.00	6.66
12/27/2016	FISHER, SARA	PAC LIFE VALUE	0.00	7.10	80.0	5.68	0.00	5.68
12/31/2016	JUDKINS, TIFFANY	PAC LIFE VALUE	0.00	44.36	80.0	35.49	0.00	35.49
12/31/2016	BRANCH, MARY	PRU AS LIFEVEST	26,271.30	16.42	80.0	13.14	0.00	13.14
12/31/2016	BRANCH, MARY	PRU AS LIFEVEST	26,271.30	49.26	80.0	39.41	0.00	39.41
* VARIABLE ANNUITIES SUBTOTAL *			\$1,598,996.56	\$1,472.89		\$1,178.34	\$0.00	\$1,178.34
*** BROKER TRANSACTIONS TOTAL ***			\$1,593,698.54	\$1,648.23		\$1,318.66	\$22.50	\$1,296.16

ADJUSTMENTS

01/17/2017	*E & O INSURANCE: CURRENT MONTH	0.00						-300.00
01/17/2017	*MARKETING LIBRARY: MARKETING	0.00						-20.00
01/17/2017	*MISC: LAWTON BOOK BUYOUT	0.00						-852.01
01/17/2017	*PERSHING: BOOKS & RECORDS	0.00						-1.98
01/17/2017	*PERSHING: ETF PROSPECTUS	0.00						-9.24
01/17/2017	*PERSHING: MF PROSPECTUS	0.00						-4.06
01/17/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-1,462.29	\$0.00	\$-1,462.29

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BROKER COMMISSION TOTALS

Broker Transactions	\$1,296.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,462.29
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-166.13
Year-to-date Gross	\$1,648.23
Year-to-date Earnings	\$1,296.16