R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT

March 31, 2018

JANICE GINSBURG

100 AQUEDUCT DRIVE

NORTH WALES, PA 19454

BROKER #: 35

BRANCH: FULCRUM GROUP

COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		a. amtoua					
	BROKER TRAN	SACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS							
03/26/2018 00084570136 ALANCRAIG, SUSAN	NEW WORLD FUND-C B	6,500.0	0 65.00	0.00	65.00	50.0	32.50
03/09/2018 75501483 SPILLER, HELEN	**GUGGENHEIM FDS TR S	500.0	0.00	0.00	0.00	50.0	0.00
03/05/2018 75501657 DLUGOSZ, JOHN	AMERICAN HIGH-INCOME TRUST-A S	12,503.5	3 0.00	0.00	0.00	50.0	0.00
03/09/2018 75501879 LIBOVE, MICHAEL	DEUTSCHE SHORT DURATION FUND CL S S	17,836.3	3 0.00	0.00	0.00	50.0	0.00
03/26/2018 75502085 GINSBURG, JANICE	**PRUDENTIAL JENNISON 20/20 S	10,292.9	7 0.00	0.00	0.00	50.0	0.00
03/26/2018 75502085 GINSBURG, JANICE	**VICTORY PORTFOLIOS MUNDER S	5,517.9	0.00	0.00	0.00	50.0	0.00
03/12/2018 75502161 LORI LERNER EXEC	AB INCOME FUND ADVISOR CLASS S	80.9	3 0.00	0.00	0.00	50.0	0.00
	* MUTUAL FUNDS SUBTOTAL *	\$53,231.0	\$65.00	\$0.00	\$65.00		\$32.50
PRODUCT CATEGORY: STOCKS							
02/27/2018 75501647 GINSBURG, JANICE	ALPHABET INC CLASS A COMMON STOCK B	16,769.2	5 50.00	0.00	50.00	50.0	25.00
03/14/2018 75501647 GINSBURG, JANICE	THE TRADE DESK INC COM CL A B	5,905.5	6 50.00	0.00	50.00	50.0	25.00
02/27/2018 75501654 KINSLEY, GEORGE	CISCO SYS INC B	4,550.0	0 93.06	0.00	93.06	50.0	46.53
03/01/2018 75501739 STAUFFER, JUDITH	ROCKWELL COLLINS INC DEL COM STK S	7,439.0	4 0.00	0.00	0.00	50.0	0.00
03/01/2018 75501739 STAUFFER, JUDITH	ROCKWELL COLLINS INC DEL COM STK S	6,336.9	6 0.00	0.00	0.00	50.0	0.00
03/09/2018 75501879 LIBOVE, MICHAEL	KNOWLES CORPORATION S	570.2	8 0.00	0.00	0.00	50.0	0.00
03/09/2018 75501879 LIBOVE, MICHAEL	KRAFT HEINZ COMPANY (THE) COMMON ST S	4,711.1	8 0.00	0.00	0.00	50.0	0.00
02/27/2018 75501964 WELLER, PATRICK	ALPS ETF TR ALERIAN MLP S	780.7	5 50.00	0.00	50.00	50.0	25.00
02/27/2018 75501964 WELLER, PATRICK	APPLE INC S	18,795.0	0 130.13	0.00	130.13	50.0	65.07
02/27/2018 75501964 WELLER, PATRICK	POWERSHARES EXCHANGE TRADED FD TR I S	4,771.0	0 94.50	0.00	94.50	50.0	47.25
03/14/2018 75501993 ZEGEN, LOUIS	ISHARES MSCI EMERGING MARKETS ETF B	8,495.9	4 0.00	0.00	0.00	50.0	0.00
03/14/2018 75501993 ZEGEN, LOUIS	ISHARES S&P500 GROWTH INDEX FD B	8,507.9	5 0.00	0.00	0.00	50.0	0.00
03/14/2018 75501993 ZEGEN, LOUIS	ISHARES S&P SMALL CAP 600 VALUE ETF B	7,011.9	0.00	0.00	0.00	50.0	0.00
03/14/2018 75501993 ZEGEN, LOUIS	ISHARES TR S&P MIDCAP 400 GROWTH B	6,968.8	0.00	0.00	0.00	50.0	0.00
03/14/2018 75501993 ZEGEN, LOUIS	ISHARES U S CONSUMER SERVICES ETF B	10,269.1	5 0.00	0.00	0.00	50.0	0.00
03/14/2018 75501993 ZEGEN, LOUIS	ISHARES U S INDUSTRIALS ETF B	10,346.9	0.00	0.00	0.00	50.0	0.00
03/14/2018 75501993 ZEGEN, LOUIS	ISHARES U S BASIC MATERIALS ETF B	6,551.3	2 0.00	0.00	0.00	50.0	0.00
03/14/2018 75501993 ZEGEN, LOUIS	ISHARES U S FINANCIALS ETF B	10,301.7	3 0.00	0.00	0.00	50.0	0.00
03/14/2018 75501993 ZEGEN, LOUIS	ISHARES U S TECHNOLOGY ETF B	10,293.5	6 0.00	0.00	0.00	50.0	0.00
03/14/2018 75501993 ZEGEN, LOUIS	POWERSHARES QQQ TRUST SERIES 1 B	8,422.9	8 0.00	0.00	0.00	50.0	0.00
03/14/2018 75501993 ZEGEN, LOUIS	SPDR DOW JONES INDL AVERAGE ETF TR B	15,345.0	0.00	0.00	0.00	50.0	0.00

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INVESTMENT

GROSS

CLEARING

NET

BROKER

PRODUCT CATEGORY: STOCKS 23/14/2018 75501993 PRIBEN, LOUTS SPEP DON JORRS THOY, AVERIAGE RET TH S 1,5,345.00 0.00 0.00 0.00 50.0 0.00 0.00 50.0 0.00 0.00 0.00 50.0 0.00 0	TRADE DATE	CLIENT	INVESTMENT B/	INVESTMENT S AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
### PRODUCT CATEGORY: STOCKS ### STOCKS ### STOCKS STOC									
			BROKER TRAN	SACTIONS					
37.14/2018 75501393 ZEREN, LOUIS SPUR SEP 500 FFF TRUST S 15,445.80 0.00 0.00 0.00 50.0 0.00	PRODUCT CAT	TEGORY: STOCKS							
33/14/2018 75501393 ZEREN, LOUIS SEUR SEP 500 EMP TRUST S 15.445.86 0.00 0.00 0.00 50.0 0.00	03/14/2018 75	5501993 ZEGEN, LOUIS	SPDR DOW JONES INDL AVERAGE ETF TR S	-15,345.00	0.00	0.00	0.00	50.0	0.00 C
3214/2018 75501993 ZEGEN, LOUIS SEDE SEP 500 EYE TRUST S -15,445.00 0.00 0.00 0.00 50.0 0.00	03/14/2018 75	5501993 ZEGEN, LOUIS	SPDR DOW JONES INDL AVERAGE ETF TR B	12,870.00	0.00	0.00	0.00	50.0	0.00
33714/2018 75501993 ZEGEN, LOUIS SEPE 500 ETF TRUET B 1,066.77 0.00 0.00 0.00 50.0 50.0 0.00 33748/2018 75501993 ZEGEN, LOUIS SANDARD & POORS MIDCAP 400 SPDR & B 6,340.64 0.00 0.00 0.00 50.0 50.0 0.00 33748/2018 75501993 ZEGEN, LOUIS SANDARD & POORS MIDCAP 400 SPDR & B 23,228.79 0.00 0.00 0.00 50.0 50.0 0.00 33748/2018 75501993 ZEGEN, LOUIS SANDARD & SERVINGEN MARKETS ETF & 8,273.37 0.00 0.00 0.00 0.00 50.0 0.00 33748/2018 75501993 ZEGEN, LOUIS SANDARD & SERVINGEN MARKETS ETF & 8,805.21 0.00 0.00 0.00 0.00 50.0 0.00 33748/2018 75501993 ZEGEN, LOUIS SANDARD & SERVINGEN & SANDARD &	03/14/2018 75	5501993 ZEGEN, LOUIS	SPDR S&P 500 ETF TRUST B	15,445.86	0.00	0.00	0.00	50.0	0.00
33/14/2018 755011993 ZEGEN, LOUIS STANDARD & FOORS MIDGAP 400 SEDER 56 B	03/14/2018 75	5501993 ZEGEN, LOUIS	SPDR S&P 500 ETF TRUST S	-15,445.90	0.00	0.00	0.00	50.0	0.00 C
13/28/2018 75501993 ZEGEN, LOUIS ISHARES MACI EMERGING MARKETS STF S 8 23,228.59 0.00 0.00 0.00 0.00 50.0 0.00 30/28/2018 75501993 ZEGEN, LOUIS ISHARES MACI EMERGING MARKETS STF S 8 8,273.37 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75501993 ZEGEN, LOUIS ISHARES SAP500 GROWTH INDEX FD S 9,664.31 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75501993 ZEGEN, LOUIS ISHARES US TECHNOLOGY ETF S 9,664.31 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75501993 ZEGEN, LOUIS SPENDON JONES INDIA AVERAGE ETF TR S 5,985.50 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75501993 ZEGEN, LOUIS SPENDON JONES INDIA AVERAGE ETF TR S 5,985.50 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75501993 ZEGEN, LOUIS SPENDON JONES INDIA AVERAGE ETF TR S 5,985.50 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75501993 ZEGEN, LOUIS SPENDON JONES INDIA AVERAGE ETF TR S 5,985.50 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75501993 ZEGEN, LOUIS SPENDON JONES INDIA AVERAGE ETF TR S 5,985.50 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75501993 ZEGEN, LOUIS SPENDON JONES INDIA AVERAGE ETF TR S 5,985.50 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75501993 ZEGEN, LOUIS SPENDON JONES INDIA AVERAGE ETF TR S 5,985.50 0.00 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75501993 ZEGEN, LOUIS SPENDON JONES INDIA AVERAGE ETF TR S 5 5,985.50 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75501993 ZEGEN, LOUIS SPENDON JONES INDIA AVERAGE BARKETS TR SEP MIDCA PAU ORDOTH B 23,237.22 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75502182 GIRGENTI, V JAMES ISHARES SPENDALIC ZAG DOVALUE ETF B 28,297.00 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75502182 GIRGENTI, V JAMES ISHARES TR SEP MIDCAP 400 GROWTH B 24,297.08 0.00 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75502182 GIRGENTI, V JAMES ISHARES TR SEP MIDCAP 400 GROWTH B 25,045.50 0.00 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75502182 GIRGENTI, V JAMES ISHARES US ENREGY ETF B 5 1,248.51 0.00 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75502182 GIRGENTI, V JAMES ISHARES US ENREGY ETF B 5 1,248.51 0.00 0.00 0.00 0.00 0.00 50.0 0.00 30/26/2018 75502182 GIRGENTI, V JAMES IS	03/14/2018 75	5501993 ZEGEN, LOUIS	SPDR S&P 500 ETF TRUST B	14,066.77	0.00	0.00	0.00	50.0	0.00
13/28/2018 75501993 ZEGEN, LOUIS ISHARES MSCI EMERGING MARKETS ETF S 8,273,37 0.00 0	03/14/2018 75	5501993 ZEGEN, LOUIS	STANDARD & POORS MIDCAP 400 SPDR S& B	6,340.64	0.00	0.00	0.00	50.0	0.00
13/26/2018 75501993 ZEGEN, LOUIS	03/26/2018 75	5501993 ZEGEN, LOUIS	ISHARES FLOATING RATE BOND ETF B	23,228.59	0.00	0.00	0.00	50.0	0.00
13/12/2018 75501993 ZEGEN, LOUIS 15HARES U S TECHNOLOGY ETF S 9,684.31 0.00 0.00 0.00 0.00 50.0 0.00 13/26/2018 75501993 ZEGEN, LOUIS SPIE SON JONES INIL AVERAGE ETF TE S 5,985.50 0.00 0.00 0.00 0.00 50.0 0.00 13/26/2018 75501993 ZEGEN, LOUIS SPIE SON JONES INIL AVERAGE ETF TE S 5,985.50 0.00 0.00 0.00 0.00 50.0 0.00 13/26/2018 75501993 ZEGEN, LOUIS SPIE SEP 500 ETF TRUST S 6,565.00 0.00 0.00 0.00 0.00 50.0 0.00 13/26/2018 75501993 ZEGEN, LOUIS SPIE SEP 500 ETF TRUST S 5,585.50 0.00 0.00 0.00 0.00 50.0 0.00 13/26/2018 75501993 ZEGEN, LOUIS SPIE SEP TH BLOOMEGE BARCLAYS INTL B 23,237.22 0.00 0.00 0.00 0.00 50.0 0.00 13/14/2018 75501993 ZEGEN, LOUIS SPIE SEP TH BLOOMEGE BARCLAYS INTL B 23,237.22 0.00 0.00 0.00 0.00 50.0 0.00 13/14/2018 75501282 GIRGENTI, V JAMES ISHARES SEP SON GROWTH INDEX FD B 9,159.35 0.00 0.00 0.00 0.00 50.0 0.00 13/14/2018 75501282 GIRGENTI, V JAMES ISHARES TE SEP MIDCAP 400 GROWTH B 28,297.08 0.00 0.00 0.00 0.00 50.0 0.00 13/14/2018 75501282 GIRGENTI, V JAMES ISHARES TE SEP MIDCAP 400 GROWTH B 25,000 0.00 0.00 0.00 0.00 50.0 0.00 13/14/2018 75501282 GIRGENTI, V JAMES ISHARES TE SEP MIDCAP 400 GROWTH B 15,046.86 0.00 0.00 0.00 0.00 50.0 0.00 13/14/2018 75501282 GIRGENTI, V JAMES ISHARES U S EMERGY ETF B 20,565.30 0.00 0.00 0.00 0.00 50.0 0.00 13/14/2018 75501282 GIRGENTI, V JAMES ISHARES U S EMERGY ETF B 20,565.30 0.00 0.00 0.00 0.00 50.0 0.00 13/14/2018 75501282 GIRGENTI, V JAMES ISHARES U S EMERGY ETF B 2.0,565.30 0.00 0.00 0.00 0.00 50.0 0.00 13/14/2018 75501282 GIRGENTI, V JAMES ISHARES U S EMERGY ETF B 2.0,565.30 0.00 0.00 0.00 0.00 50.0 0.00 13/14/2018 75501282 GIRGENTI, V JAMES ISHARES U S EMERGY ETF B 2.0,565.30 0.00 0.00 0.00 0.00 50.0 0.00 13/14/2018 75501282 GIRGENTI, V JAMES ISHARES U S EMERGY ETF B 2.0,565.30 0.00 0.00 0.00 0.00 50.0 0.00 13/14/2018 75501282 GIRGENTI, V JAMES ISHARES U S EMERGY ETF B 2.0,565.30 0.00 0.00 0.00 0.00 0.00 50.0 0.00 13/14/2018 75501282 GIRGENTI, V JAMES ISHARES U S EMERGY ETF B 2.0,565.30 0.00 0.00 0.00 0.00 0.00 50.0 0.00 13/14/2018 75501282 GIRGEN	03/26/2018 75	5501993 ZEGEN, LOUIS	ISHARES MSCI EMERGING MARKETS ETF S	8,273.37	0.00	0.00	0.00	50.0	0.00
33/26/2018 75501993 ZEGEN, LOUIS POWERSHARES QQ TRUST SERIES 1 S 7,941.06 0.00	03/26/2018 75	5501993 ZEGEN, LOUIS	ISHARES S&P500 GROWTH INDEX FD S	8,052.21	0.00	0.00	0.00	50.0	0.00
03/26/2018 75501993 ZEGEN, LOUIS SPER DOW JONES INDL AVERAGE ETF TR S 5,985.50 0.00 0.00 0.00 0.00 50.0 0.00 0.00	03/26/2018 75	5501993 ZEGEN, LOUIS	ISHARES U S TECHNOLOGY ETF S	9,684.31	0.00	0.00	0.00	50.0	0.00
32/26/2018 75501993 ZEGEN, LOUIS SPER SAP 500 ETF TRUST S 6,565.00 0.00 0.00 0.00 0.00 50.0 0.00 32/26/2018 75501993 ZEGEN, LOUIS SPER SER TH BLOOMBERG BARCLAYS INITE 23,227.22 0.00 0.00 0.00 0.00 50.0 0.00 32/14/2018 75502182 GIRGENTI, V JAMES ISHARES SAP500 GROWTH INDEX FD B 9,159.35 0.00 0.00 0.00 0.00 50.0 0.00 32/14/2018 75502182 GIRGENTI, V JAMES ISHARES SAP500 GROWTH S 28,241.59 0.00 0.00 0.00 0.00 50.0 0.00 32/14/2018 75502182 GIRGENTI, V JAMES ISHARES TR SAP MIDCAP 400 GROWTH B 28,241.59 0.00 0.00 0.00 0.00 50.0 0.00 32/14/2018 75502182 GIRGENTI, V JAMES ISHARES TR SAP MIDCAP 400 GROWTH B 15,046.86 0.00 0.00 0.00 0.00 50.0 0.00 32/14/2018 75502182 GIRGENTI, V JAMES ISHARES TR SAP MIDCAP 400 GROWTH B 15,046.86 0.00 0.00 0.00 0.00 50.0 0.00 32/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF B 20,565.30 0.00 0.00 0.00 0.00 50.0 0.00 32/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF S -20,565.30 0.00 0.00 0.00 0.00 50.0 0.00 32/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF S -20,565.30 0.00 0.00 0.00 0.00 50.0 0.00 32/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF B -1,848.51 0.00 0.00 0.00 0.00 50.0 0.00 32/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF B -1,848.51 0.00 0.00 0.00 0.00 50.0 0.00 32/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF B -8,137.80 0.00 0.00 0.00 0.00 50.0 0.00 32/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF B -8,137.80 0.00 0.00 0.00 0.00 50.0 0.00 32/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF B -8,137.80 0.00 0.00 0.00 0.00 50.0 0.00 32/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF B -8,137.80 0.00 0.00 0.00 0.00 0.00 50.0 0.00 32/14/2018 75502182 GIRGENTI, V JAMES ISH	03/26/2018 75	5501993 ZEGEN, LOUIS	POWERSHARES QQQ TRUST SERIES 1 S	7,941.06	0.00	0.00	0.00	50.0	0.00
03/14/2018 75502182 GIRGENTI, V JAMES SPDR SER TR BLOOMBERG BARCLAYS INTL B 23,237.22 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH INDEX PD B 9,159.35 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH INDEX PD B 28,341.59 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 28,247.50 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 28,297.08 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 28,297.10 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 15,046.86 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 20,565.30 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 20,565.30 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 20,565.30 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 20,565.30 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 20,565.30 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 20,565.30 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 20,565.30 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 20,505.2 0.00 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 20,505.2 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 20,505.2 0.00 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 20,505.2 0.00 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 20,505.2 0.00 0.00 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 20,505.2 0.00 0.00 0.00 0.00 0.00 0.00 50.0 0.00 03/14/2018 75502182 GIRGENTI, V JAMES SAP500 GROWTH B 20,505.2 0.00 0.00 0.00 0.00 0.00 0.00 0.00	03/26/2018 75	5501993 ZEGEN, LOUIS	SPDR DOW JONES INDL AVERAGE ETF TR S	5,985.50	0.00	0.00	0.00	50.0	0.00
03/14/2018 75502182 GIRGENTI, V JAMES	03/26/2018 75	5501993 ZEGEN, LOUIS	SPDR S&P 500 ETF TRUST S	6,565.00	0.00	0.00	0.00	50.0	0.00
03/14/2018 75502182 GIRGENTI, V JAMES	03/26/2018 75	5501993 ZEGEN, LOUIS	SPDR SER TR BLOOMBERG BARCLAYS INTL B	23,237.22	0.00	0.00	0.00	50.0	0.00
13/14/2018 75502182 GIRGENTI, V JAMES ISHARES TR S&P MIDCAP 400 GROWTH S 28,297.08 0.00 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES ISHARES TR S&P MIDCAP 400 GROWTH S -28,297.10 0.00 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES ISHARES TR S&P MIDCAP 400 GROWTH S -28,297.10 0.00 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF S 20,565.30 0.00 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF S 1,848.51 0.00 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF S 1,848.51 0.00 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF S 1,848.51 0.00 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF S 8,137.80 0.00 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF B -8,137.80 0.00 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF B -8,137.80 0.00 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF B 11,116.55 0.00 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF B 20,502.29 0.00 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF B 20,502.29 0.00 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF B 20,502.29 0.00 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF B 20,505.23 0.00 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES ISHARES US ENERGY ETF B 24,334.64 0.00 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES SPEC	03/14/2018 75	5502182 GIRGENTI, V JAMES	ISHARES S&P500 GROWTH INDEX FD B	9,159.35	0.00	0.00	0.00	50.0	0.00
03/14/2018 75502182 GIRGENTI, V JAMES	03/14/2018 75	5502182 GIRGENTI, V JAMES	ISHARES S&P SMALL CAP 600 VALUE ETF B	28,341.59	0.00	0.00	0.00	50.0	0.00
03/14/2018 75502182 GIRGENTI, V JAMES	03/14/2018 75	5502182 GIRGENTI, V JAMES	ISHARES TR S&P MIDCAP 400 GROWTH B	28,297.08	0.00	0.00	0.00	50.0	0.00
13/14/2018 75502182 GIRGENTI, V JAMES ISHARES U S ENERGY ETF S 2-20,565.30 0.00 0.00 0.00 50.0 0.00 0.00 0.00	03/14/2018 75	5502182 GIRGENTI, V JAMES	ISHARES TR S&P MIDCAP 400 GROWTH S	-28,297.10	0.00	0.00	0.00	50.0	0.00 C
03/14/2018 75502182 GIRGENTI, V JAMES ISHARES U S ENERGY ETF S 1,848.51 0.00 0.00 0.00 50.0 0.00 0.00 0.01 0.00 0.00	03/14/2018 75	5502182 GIRGENTI, V JAMES	ISHARES TR S&P MIDCAP 400 GROWTH B	15,046.86	0.00	0.00	0.00	50.0	0.00
03/14/2018 75502182 GIRGENTI, V JAMES ISHARES U S ENERGY ETF S 1,848.51 0.00 0.00 0.00 50.0 0.00 0.00 0.01 0.00 0.00	03/14/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF B	20,565.30	0.00	0.00	0.00	50.0	0.00
13/14/2018 75502182 GIRGENTI, V JAMES ISHARES U S ENERGY ETF B -1,848.51 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0	03/14/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF S	-20,565.30	0.00	0.00	0.00	50.0	0.00 C
03/14/2018 75502182 GIRGENTI, V JAMES ISHARES U S ENERGY ETF S 8,137.80 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0	03/14/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF S	1,848.51	0.00	0.00	0.00	50.0	0.00
13/14/2018 75502182 GIRGENTI, V JAMES ISHARES U S ENERGY ETF B -8,137.80 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0	03/14/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF B	-1,848.51	0.00	0.00	0.00	50.0	0.00 C
03/14/2018 75502182 GIRGENTI, V JAMES ISHARES U S FINANCIALS ETF B 11,116.55 0.00 0.00 0.00 50.0 0.00 0.00 0.00	03/14/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF S	8,137.80	0.00	0.00	0.00	50.0	0.00
103/14/2018 75502182 GIRGENTI, V JAMES ISHARES U S CONSUMER SERVICES ETF B 20,502.29 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0.0	03/14/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF B	-8,137.80	0.00	0.00	0.00	50.0	0.00 C
03/14/2018 75502182 GIRGENTI, V JAMES ISHARES U S INDUSTRIALS ETF B 20,505.23 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0.0	03/14/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S FINANCIALS ETF B	11,116.55	0.00	0.00	0.00	50.0	0.00
03/14/2018 75502182 GIRGENTI, V JAMES ISHARES U S TECHNOLOGY ETF B 11,187.27 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0.0	03/14/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S CONSUMER SERVICES ETF B	20,502.29	0.00	0.00	0.00	50.0	0.00
03/14/2018 75502182 GIRGENTI, V JAMES ISHARES U S BASIC MATERIALS ETF B 47,374.64 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES POWERSHARES QQQ TRUST SERIES 1 B 4,285.94 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0.0	03/14/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S INDUSTRIALS ETF B	20,505.23	0.00	0.00	0.00	50.0	0.00
03/14/2018 75502182 GIRGENTI, V JAMES POWERSHARES QQQ TRUST SERIES 1 B 4,285.94 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0.0	03/14/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S TECHNOLOGY ETF B	11,187.27	0.00	0.00	0.00	50.0	0.00
03/14/2018 75502182 GIRGENTI, V JAMES SPDR DOW JONES INDL AVERAGE ETF TR B 28,233.13 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES SPDR S&P 500 ETF TRUST B 28,350.65 0.00 0.00 0.00 50.0 0.00 0.00 0.00	03/14/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S BASIC MATERIALS ETF B	47,374.64	0.00	0.00	0.00	50.0	0.00
03/14/2018 75502182 GIRGENTI, V JAMES SPDR S&P 500 ETF TRUST B 28,350.65 0.00 0.00 0.00 50.0 0.00 0.3/14/2018 75502182 GIRGENTI, V JAMES STANDARD & POORS MIDCAP 400 SPDR S&B 26,746.49 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0.0	03/14/2018 75	5502182 GIRGENTI, V JAMES	POWERSHARES QQQ TRUST SERIES 1 B	4,285.94	0.00	0.00	0.00	50.0	0.00
03/14/2018 75502182 GIRGENTI, V JAMES STANDARD & POORS MIDCAP 400 SPDR S& B 26,746.49 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0.0	03/14/2018 75	5502182 GIRGENTI, V JAMES	SPDR DOW JONES INDL AVERAGE ETF TR B	28,233.13	0.00	0.00	0.00	50.0	0.00
03/15/2018 75502182 GIRGENTI, V JAMES ISHARES TR S&P MIDCAP 400 GROWTH S 13,267.93 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0.0	03/14/2018 75	5502182 GIRGENTI, V JAMES	SPDR S&P 500 ETF TRUST B	28,350.65	0.00	0.00	0.00	50.0	0.00
03/15/2018 75502182 GIRGENTI, V JAMES ISHARES TR S&P MIDCAP 400 GROWTH B -13,267.90 0.00 0.00 0.00 50.0 0.00 0.3/15/2018 75502182 GIRGENTI, V JAMES ISHARES U S ENERGY ETF S 10,369.15 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0	03/14/2018 75	5502182 GIRGENTI, V JAMES	STANDARD & POORS MIDCAP 400 SPDR S& B	26,746.49	0.00	0.00	0.00	50.0	0.00
03/15/2018 75502182 GIRGENTI, V JAMES ISHARES U S ENERGY ETF S 10,369.15 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0	03/15/2018 75	5502182 GIRGENTI, V JAMES	ISHARES TR S&P MIDCAP 400 GROWTH S	13,267.93	0.00	0.00	0.00	50.0	0.00
03/15/2018 75502182 GIRGENTI, V JAMES ISHARES U S ENERGY ETF B -10,369.20 0.00 0.00 0.00 50.0 0.00	03/15/2018 75	5502182 GIRGENTI, V JAMES	ISHARES TR S&P MIDCAP 400 GROWTH B	-13,267.90	0.00	0.00	0.00	50.0	0.00 C
	03/15/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF S	10,369.15	0.00	0.00	0.00	50.0	0.00
	03/15/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF B	-10,369.20	0.00	0.00	0.00	50.0	0.00 C
35/10/2010 /3502102 GIRGENII, V UAMES ISHARES MSCI EMERGING MARREIS EIF B 10,3/2.12 0.00 0.00 0.00 50.0 0.00	03/16/2018 75	5502182 GIRGENTI, V JAMES	ISHARES MSCI EMERGING MARKETS ETF B	18,372.12	0.00	0.00	0.00	50.0	0.00
03/16/2018 75502182 GIRGENTI, V JAMES SEP SMALL CAP 600 VALUE ETF S 13,251.50 0.00 0.00 0.00 50.0 0.00	03/16/2018 75	5502182 GIRGENTI, V JAMES	ISHARES S&P SMALL CAP 600 VALUE ETF S	13,251.50	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER	TRANS	ACTIONS					
PRODUCT CAT	regory: stocks								
03/16/2018 75	5502182 GIRGENTI, V JAMES	STANDARD & POORS MIDCAP 400 SPD	R S& S	12,669.12	0.00	0.00	0.00	50.0	0.00
03/19/2018 75	5502182 GIRGENTI, V JAMES	ISHARES S&P500 GROWTH INDEX FD	В	8,971.19	0.00	0.00	0.00	50.0	0.00
03/19/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S INDUSTRIALS ETF	В	1,630.53	0.00	0.00	0.00	50.0	0.00
03/19/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S FINANCIALS ETF	В	11,007.35	0.00	0.00	0.00	50.0	0.00
03/19/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S CONSUMER SERVICES E	TF B	1,678.03	0.00	0.00	0.00	50.0	0.00
03/19/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S TECHNOLOGY ETF	В	10,671.94	0.00	0.00	0.00	50.0	0.00
03/19/2018 75	5502182 GIRGENTI, V JAMES	ISHARES U S BASIC MATERIALS ETF	s s	31,837.94	0.00	0.00	0.00	50.0	0.00
03/19/2018 75	5502182 GIRGENTI, V JAMES	POWERSHARES QQQ TRUST SERIES 1	В	13,442.56	0.00	0.00	0.00	50.0	0.00
03/19/2018 75	5502182 GIRGENTI, V JAMES	SPDR DOW JONES INDL AVERAGE ETF	TR B	4,899.80	0.00	0.00	0.00	50.0	0.00
03/19/2018 75	5502182 GIRGENTI, V JAMES	SPDR S&P 500 ETF TRUST	В	4,846.68	0.00	0.00	0.00	50.0	0.00
03/26/2018 75	5502182 GIRGENTI, V JAMES	ISHARES FLOATING RATE BOND ETF	В	40,599.10	0.00	0.00	0.00	50.0	0.00
03/26/2018 75	5502182 GIRGENTI, V JAMES	ISHARES MSCI EMERGING MARKETS E	TF S	17,943.17	0.00	0.00	0.00	50.0	0.00
03/26/2018 75	5502182 GIRGENTI, V JAMES	ISHARES S&P500 GROWTH INDEX FD	S	17,385.69	0.00	0.00	0.00	50.0	0.00
03/26/2018 75	5502182 GIRGENTI, V JAMES	POWERSHARES QQQ TRUST SERIES 1	S	17,140.56	0.00	0.00	0.00	50.0	0.00
03/26/2018 75	5502182 GIRGENTI, V JAMES	SPDR DOW JONES INDL AVERAGE ETF	TR S	14,345.40	0.00	0.00	0.00	50.0	0.00
03/26/2018 75	5502182 GIRGENTI, V JAMES	SPDR S&P 500 ETF TRUST	S	14,417.15	0.00	0.00	0.00	50.0	0.00
03/26/2018 75	5502182 GIRGENTI, V JAMES	SPDR SER TR BLOOMBERG BARCLAYS	INTL B	40,554.33	0.00	0.00	0.00	50.0	0.00
		* STOCKS SUBTO	TAL *	\$830,557.99	\$467.69	\$0.00	\$467.69		\$233.85
PRODUCT CAT	regory: variable annuiti	ES							
03/02/2018 (N	None) VARIOUS, GINSBURG	LINCOLN	В	0.00	26.18	0.00	26.18	50.0	13.09
03/07/2018 (N	None) VARIOUS, GINSBURG	JACKSON	В	0.00	122.52	0.00	122.52	50.0	61.26
		* VARIABLE ANNUITIES SUBTO	TAL *	\$0.00	\$148.70	\$0.00	\$148.70		\$74.35
PRODUCT CAT	regory: RIA								
01/17/2018 75	5501739 STAUFFER, JUDITH	FAS FEES PT. III/III	В	0.00	197.00	0.00	197.00	50.0	98.50
	5501744 STAUFFER, ROBERT	FAS FEES PT. III/III	В	-197.00	0.00	0.00	0.00	50.0	0.00
	5501744 STAUFFER, ROBERT	FAS FEES PT. III/III	В	-332.27	332.27	0.00	332.27	50.0	166.14
01/17/2018 75	5501744 STAUFFER, ROBERT	FAS FEES PT. III/III	В	-62.50	0.00	0.00	0.00	50.0	0.00
01/17/2018 75	5501751 STAUFFER, ROBERT	FAS FEES PT. III/III	В	0.00	62.50	0.00	62.50	50.0	31.25
01/17/2018 75	5501824 ALANCRAIG, DIANE	FAS FEES PT. III/III	В	-114.82	114.82	0.00	114.82	50.0	57.41
01/17/2018 75	5501828 ALANCRAIG, DIANE	FAS FEES PT. III/III	В	-62.14	62.14	0.00	62.14	50.0	31.07
01/17/2018 75	5501829 SEPTIEN, ALFREDO	FAS FEES PT. III/III	В	-56.25	56.25	0.00	56.25	50.0	28.13
01/17/2018 75	5501830 SEPTIEN, ALFREDO	FAS FEES PT. III/III	В	-102.83	102.83	0.00	102.83	50.0	51.42
01/17/2018 75	5501832 WEXLER, SHEILA	FAS FEES PT. III/III	В	-140.48	140.48	0.00	140.48	50.0	70.24
01/17/2018 75	5501833 GIRGENTI, V JAMES	FAS FEES PT. III/III	В	-394.00	394.00	0.00	394.00	50.0	197.00
01/17/2018 75	5501834 BUGANSKI, TERRI	FAS FEES PT. III/III	В	-268.13	268.13	0.00	268.13	50.0	134.07
01/17/2018 75	5501835 BUGANSKI, TERRI	FAS FEES PT. III/III	В	-30.59	30.59	0.00	30.59	50.0	15.30
01/17/2018 75	5501836 FARKAS, RONALD	FAS FEES PT. III/III	В	-219.42	219.42	0.00	219.42	50.0	109.71
01/17/2018 75	5501837 FARKAS, MARILYN	FAS FEES PT. III/III	В	-443.78	443.78	0.00	443.78	50.0	221.89

COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKE	ER TRANS	ACTIONS					
PRODUCT CATEGORY: RIA								
01/17/2018 75501868 ZEGEN, LOUIS	FAS FEES PT. III/III	В	-425.38	425.38	0.00	425.38	50.0	212.69
01/17/2018 75501869 ZEGEN, LOUIS	FAS FEES PT. III/III	В	-76.20	76.20	0.00	76.20	50.0	38.10
01/17/2018 75501879 LIBOVE, MICHAEL	FAS FEES PT. III/III	В	-426.24	426.24	0.00	426.24	50.0	213.12
03/12/2018 75501993 ZEGEN, LOUIS	FAS FEES PT. I/III	В	-22.15	22.15	0.00	22.15	50.0	11.08
03/12/2018 75501993 ZEGEN, LOUIS	FAS FEES PT. II/III	В	-22.15	22.15	0.00	22.15	50.0	11.08
03/12/2018 75501993 ZEGEN, LOUIS	FAS FEES PT. III/III	В	-22.15	22.15	0.00	22.15	50.0	11.08
03/13/2018 75501993 ZEGEN, LOUIS	FAS FEES PT. I/III	В	0.00	-21.05	0.00	-21.05	50.0	-10.53
03/13/2018 75501993 ZEGEN, LOUIS	FAS FEES PT. II/III	В	0.00	-21.05	0.00	-21.05	50.0	-10.53
03/13/2018 75501993 ZEGEN, LOUIS	FAS FEES PT. III/III	В	0.00	-21.05	0.00	-21.05	50.0	-10.53
03/13/2018 75501993 ZEGEN, LOUIS	FAS FEES PT. I/III	В	0.00	21.05	0.00	21.05	50.0	10.53
03/13/2018 75501993 ZEGEN, LOUIS	FAS FEES PT. II/III	В	0.00	21.05	0.00	21.05	50.0	10.53
03/13/2018 75501993 ZEGEN, LOUIS	FAS FEES PT. III/III	В	0.00	21.05	0.00	21.05	50.0	10.53
03/16/2018 75502182 GIRGENTI, V JAMES	FAS FEES PT. I/III	В	-40.13	40.13	0.00	40.13	50.0	20.07
03/16/2018 75502182 GIRGENTI, V JAMES	FAS FEES PT. II/III	В	-40.13	40.13	0.00	40.13	50.0	20.07
03/16/2018 75502182 GIRGENTI, V JAMES	FAS FEES PT. III/III	В	-40.13	40.13	0.00	40.13	50.0	20.07
	* RIA SUB	BTOTAL *	\$-3,538.87	\$3,538.87	\$0.00	\$3,538.87		\$1,769.49
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
03/31/2018	FEE COUNT - 198		0.00	916.91	0.00	916.91	50.0	451.15
	* MUTUAL FUND 12B1 TRAILS SUE	STOTAL *	\$0.00	\$916.91	\$0.00	\$916.91		\$451.15
	*** BROKER TRANSACTIONS TO)TAL ***	\$880,250.78	\$5,137.17	\$0.00	\$5,137.17		\$2,561.34
	<u> </u>	ADJUSTME	NTS					
03/31/2018	DEUNC:UNBUNDLED NEW ACCT FEE	(LPCM)	0.00	0.00	0.00	0.00		-16.67
03/31/2018	DEUNC: UNBUNDLED NEW ACCT FEE	(LPCM)	0.00	0.00	0.00	0.00		-16.90
	*** ADJUSTMENTS TO	TAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-33.57

BROKER COMMISSION TOTALS \$2,561.34 Broker Transactions \$0.00 Split Transactions \$0.00 Override Transactions \$-33.57 Adjustments Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$-6.42 \$-12.84 SIPC Assessment \$2,508.51 Check Amount \$7,023.65 Year-to-date Earnings