

**COMMISSION STATEMENT****March 15, 2018**

DANIEL BUKOVCIK
101 N. Main Street
Box 376
Ovid, MI 48866

BROKER #: B123
BRANCH: DANIEL BUKOVCIK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/28/2018	HASSE, COURTNEY	AM FUNDS 2055 TARGET DATE-A	100.00	5.00	90.0	4.50	0.00	4.50
03/01/2018	HASSE, LINDSAY	AM FUNDS 2055 TARGET DATE-A	100.00	5.00	90.0	4.50	0.00	4.50
02/23/2018	LATZ, JARRAH	AM FUNDS CAPITAL INCOME BUILDER A	12.50	0.34	90.0	0.31	0.00	0.31
02/23/2018	LATZ, SONYA	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	90.0	1.69	0.00	1.69
03/01/2018	MARTIN, SCOTT	AM FUNDS CAPITAL INCOME BUILDER A	86.06	3.23	90.0	2.91	0.00	2.91
02/23/2018	LATZ, JOSHUA	AM FUNDS CAPITAL WORLD BOND A	40.00	1.10	90.0	0.99	0.00	0.99
02/23/2018	LATZ, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC	80.00	2.20	90.0	1.98	0.00	1.98
02/23/2018	LATZ, SONYA	AM FUNDS CAPITAL WORLD GRTH & INC	50.00	1.88	90.0	1.69	0.00	1.69
03/01/2018	MAHONEY, KRISTEN	AM FUNDS CAPITAL WORLD GRTH & INC	30.00	1.13	90.0	1.02	0.00	1.02
02/23/2018	HERBLET, AMANDA	AM FUNDS EUROPACIFIC GROWTH FUND A	25.00	1.25	90.0	1.13	0.00	1.13
02/26/2018	MULDER, JAYDEN	AM FUNDS GROWTH AND INCOME A	50.00	2.50	90.0	2.25	0.00	2.25
02/20/2018	KIRBY, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	90.0	3.38	0.00	3.38
02/20/2018	KIRBY, DAVE	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	90.0	3.38	0.00	3.38
02/20/2018	FRUCHEY, JEFF	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	90.0	4.50	0.00	4.50
02/23/2018	LATZ, JARRAH	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.03	90.0	0.93	0.00	0.93
02/23/2018	LATZ, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	80.00	2.20	90.0	1.98	0.00	1.98
02/23/2018	ZALUD, ANN	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.41	90.0	1.27	0.00	1.27
02/23/2018	HERBLET, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	25.00	1.25	90.0	1.13	0.00	1.13
02/26/2018	LAUDERDALE, JAQUELINE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	90.0	2.25	0.00	2.25
02/28/2018	EKEL, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	90.0	2.25	0.00	2.25
02/28/2018	SCHNEIDER, HANNAH	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	90.0	4.50	0.00	4.50
03/01/2018	HAUK, KALMAN	AM FUNDS GROWTH FUND OF AMERICA A	166.00	4.57	90.0	4.11	0.00	4.11
03/01/2018	BESKO, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	25.00	1.25	90.0	1.13	0.00	1.13
03/01/2018	MARTIN, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	172.13	6.45	90.0	5.81	0.00	5.81
03/01/2018	BYRNE, JAMIE	AM FUNDS GROWTH FUND OF AMERICA A	15.00	0.56	90.0	0.50	0.00	0.50
03/01/2018	MAHONEY, KRISTEN	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.13	90.0	1.02	0.00	1.02

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2018	LOYNES, AUSTIN	AM FUNDS GROWTH FUND OF AMERICA A	60.00	3.00	90.0	2.70	0.00	2.70
03/01/2018	BUKOVCIK, GAVIN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	90.0	4.50	0.00	4.50
03/02/2018	BUTTARS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	200.00	7.50	90.0	6.75	0.00	6.75
02/23/2018	ZALUD, ANN	AM FUNDS ICA A	37.50	1.41	90.0	1.27	0.00	1.27
02/26/2018	WREGGELSWORTH, JOY	AM FUNDS ICA A	275.00	11.69	90.0	10.52	0.00	10.52
03/01/2018	MARTIN, SCOTT	AM FUNDS ICA A	172.12	6.45	90.0	5.81	0.00	5.81
03/01/2018	BYRNE, JAMIE	AM FUNDS ICA A	15.00	0.56	90.0	0.50	0.00	0.50
03/02/2018	BUTTARS, LISA	AM FUNDS ICA A	100.00	3.75	90.0	3.38	0.00	3.38
02/20/2018	KIRBY, NICOLE	AM FUNDS WASHINGTON MUTUAL	100.00	3.75	90.0	3.38	0.00	3.38
02/20/2018	KIRBY, DAVE	AM FUNDS WASHINGTON MUTUAL	100.00	3.75	90.0	3.38	0.00	3.38
02/20/2018	FRUCHEY, JEFF	AM FUNDS WASHINGTON MUTUAL	100.00	5.00	90.0	4.50	0.00	4.50
02/26/2018	WREGGELSWORTH, JOY	AM FUNDS WASHINGTON MUTUAL	100.00	4.25	90.0	3.83	0.00	3.83
02/28/2018	SCHNEIDER, HANNAH	AM FUNDS WASHINGTON MUTUAL	100.00	5.00	90.0	4.50	0.00	4.50
03/01/2018	BESKO, BRADLEY	AM FUNDS WASHINGTON MUTUAL	25.00	1.25	90.0	1.13	0.00	1.13
03/02/2018	BUTTARS, LISA	AM FUNDS WASHINGTON MUTUAL	200.00	7.50	90.0	6.75	0.00	6.75
03/01/2018	THORNBURG, FREDERICK	HARTFORD DIVIDEND & GROWTH A	150.00	6.00	90.0	5.40	0.00	5.40
* MUTUAL FUNDS SUBTOTAL *			\$3,546.31	\$143.72		\$129.41	\$0.00	\$129.41
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/23/2018	STOCKING, ELAINE	JNL ELITE ACCESS	2,500.00	112.50	90.0	101.25	0.00	101.25
02/28/2018	GOOSEN, JACQUELINE	JNL ELITE ACCESS	24,912.29	5.19	90.0	4.67	0.00	4.67
02/28/2018	STOCKING, ELAINE	JNL ELITE ACCESS	9,580.88	2.00	90.0	1.80	0.00	1.80
02/28/2018	SPITERI, JOHN	JNL ELITE ACCESS	9,035.49	1.88	90.0	1.69	0.00	1.69
02/28/2018	WAGGONER, SHEILA	JNL ELITE ACCESS	19,494.77	4.06	90.0	3.65	0.00	3.65
02/28/2018	PERRY, GREGORY	JNL ELITE ACCESS	14,181.37	2.95	90.0	2.66	0.00	2.66
02/28/2018	SPIESS, CHARLES	JNL PERSPECTIVE	79,480.88	33.12	90.0	29.81	0.00	29.81
02/28/2018	RICHARDS, ROBERT	JNL PERSPECTIVE II 04/14	39,890.24	16.62	90.0	14.96	0.00	14.96
02/28/2018	SCHOMISCH, RENEE	JNL PERSPECTIVE II 04/14	266,807.87	111.17	90.0	100.05	0.00	100.05
02/28/2018	BURGESS, BEVERLY	JNL PERSPECTIVE II 04/14	19,407.66	8.09	90.0	7.28	0.00	7.28
02/28/2018	ROY, JANICE	JNL PERSPECTIVE II 04/14	33,889.67	14.12	90.0	12.71	0.00	12.71
02/28/2018	WINKLER, LORETTA	JNL PERSPECTIVE II 04/14	517,092.64	215.46	90.0	193.91	0.00	193.91
02/28/2018	COOK, DONALD	JNL PERSPECTIVE II 04/14	164,168.50	68.40	90.0	61.56	0.00	61.56
02/28/2018	VINCENT, LEROY	JNL PERSPECTIVE II 04/14	54,098.90	22.54	90.0	20.29	0.00	20.29
02/28/2018	WOODEND, DAVID	JNL PERSPECTIVE II 04/14	334,166.70	139.24	90.0	125.32	0.00	125.32

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	HEHRER, SANDRA	JNL PERSPECTIVE II 04/14	38,646.09	16.10	90.0	14.49	0.00	14.49
02/28/2018	BARBOUR, LARRY	JNL PERSPECTIVE II 04/14	55,244.86	23.02	90.0	20.72	0.00	20.72
02/28/2018	SAVAGE, ELIZABETH	JNL PERSPECTIVE II 04/14	8,098.69	3.37	90.0	3.03	0.00	3.03
02/28/2018	THELEN, NEIL	JNL PERSPECTIVE II 04/14	25,726.00	10.72	90.0	9.65	0.00	9.65
02/28/2018	RICHARDS, AMBER	JNL PERSPECTIVE II 04/14	113,128.21	47.14	90.0	42.43	0.00	42.43
02/28/2018	BESKO, DIRK	JNL PERSPECTIVE II 04/14	16,700.49	6.96	90.0	6.26	0.00	6.26
02/28/2018	YOUNG, MARY	JNL PERSPECTIVE II 04/14	608,436.71	253.52	90.0	228.17	0.00	228.17
02/28/2018	WAKEFIELD, RONALD	JNL PERSPECTIVE II 04/14	39,957.11	16.65	90.0	14.99	0.00	14.99
02/28/2018	ADE, MARIE	JNL PERSPECTIVE II 04/14	11,632.58	4.85	90.0	4.37	0.00	4.37
02/28/2018	PIGGOTT, PHILIP	JNL PERSPECTIVE II 04/14	356,664.32	148.61	90.0	133.75	0.00	133.75
02/28/2018	SMITH, RICHARD	JNL PERSPECTIVE II 04/14	21,306.22	8.88	90.0	7.99	0.00	7.99
02/28/2018	FEDEWA, LORETTA	JNL PERSPECTIVE II 04/14	51,701.87	21.54	90.0	19.39	0.00	19.39
02/28/2018	STROMER, SCOTT	JNL PERSPECTIVE II 04/14	77,415.00	32.26	90.0	29.03	0.00	29.03
02/28/2018	THELEN, ROSANNE	JNL PERSPECTIVE II 04/14	971,517.47	404.80	90.0	364.32	0.00	364.32
02/28/2018	THOMA, CHRIS	JNL PERSPECTIVE II 04/14	85,030.16	35.43	90.0	31.89	0.00	31.89
02/28/2018	NIXON, KIMBERLY	JNL PERSPECTIVE II 04/14	64,953.06	27.06	90.0	24.35	0.00	24.35
02/28/2018	WAKEFIELD, RONALD	JNL PERSPECTIVE II 04/14	92,430.05	38.51	90.0	34.66	0.00	34.66
02/28/2018	WAGGONER, RUSSELL	JNL PERSPECTIVE II 04/14	95,325.01	39.72	90.0	35.75	0.00	35.75
02/28/2018	REED, JOAN	JNL PERSPECTIVE II 04/14	33,486.95	13.95	90.0	12.56	0.00	12.56
02/28/2018	REED, RICHARD	JNL PERSPECTIVE II 04/14	65,418.37	27.26	90.0	24.53	0.00	24.53
02/28/2018	PENROSE, GARY	JNL PERSPECTIVE II 04/14	87,606.13	36.50	90.0	32.85	0.00	32.85
02/28/2018	BERNATH, THOMAS	JNL PERSPECTIVE II 04/14	21,013.41	8.76	90.0	7.88	0.00	7.88
02/28/2018	TRANN, LISA	JNL PERSPECTIVE II 04/14	9,026.98	3.76	90.0	3.38	0.00	3.38
02/28/2018	HUMPHREY, PATRICIA	JNL PERSPECTIVE II 04/14	10,850.15	4.52	90.0	4.07	0.00	4.07
02/28/2018	STEVENS, PATRICIA	JNL PERSPECTIVE II 04/14	158,785.07	66.16	90.0	59.54	0.00	59.54
02/28/2018	BERNATH, MARGARET	JNL PERSPECTIVE II 04/14	38,245.52	15.94	90.0	14.35	0.00	14.35
02/28/2018	BERNATH, MARGARET	JNL PERSPECTIVE II 04/14	30,825.50	12.84	90.0	11.56	0.00	11.56
02/28/2018	RICHARD, LORI	JNL PERSPECTIVE II 04/14	34,113.41	14.21	90.0	12.79	0.00	12.79
02/28/2018	RECK, CHARLES	JNL PERSPECTIVE II 04/14	419,992.28	175.00	90.0	157.50	0.00	157.50
02/28/2018	RECK, MAXINE	JNL PERSPECTIVE II 04/14	265,399.79	110.58	90.0	99.52	0.00	99.52
02/28/2018	HANUS, PHILLIP	JNL PERSPECTIVE II 04/14	167,946.72	69.98	90.0	62.98	0.00	62.98
02/28/2018	HANUS, SHEILA	JNL PERSPECTIVE II 04/14	34,482.15	14.37	90.0	12.93	0.00	12.93
02/28/2018	HANUS, PHILLIP	JNL PERSPECTIVE II 04/14	44,547.76	18.56	90.0	16.70	0.00	16.70

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	LATZ, MARK	JNL PERSPECTIVE II 04/14	615,250.20	256.35	90.0	230.72	0.00	230.72
02/28/2018	BALLANCE, SUSAN	JNL PERSPECTIVE II 04/14	22,648.30	9.44	90.0	8.50	0.00	8.50
02/28/2018	ROOF, ANNA	JNL PERSPECTIVE II 04/14	48,040.64	20.02	90.0	18.02	0.00	18.02
02/28/2018	PARKER, JEFFERY	JNL PERSPECTIVE II 04/14	18,355.32	7.65	90.0	6.89	0.00	6.89
02/28/2018	HELDRETH, DIANE	JNL PERSPECTIVE II 04/14	76,389.81	31.83	90.0	28.65	0.00	28.65
02/28/2018	WOODBURY, JUDY	JNL PERSPECTIVE II 04/14	108,846.67	45.35	90.0	40.82	0.00	40.82
02/28/2018	FAHEY, SHANNON	JNL PERSPECTIVE II 04/14	183,256.85	76.36	90.0	68.72	0.00	68.72
02/28/2018	PLOWMAN, DENISE	JNL PERSPECTIVE II 04/14	21,409.75	8.92	90.0	8.03	0.00	8.03
02/28/2018	ZUSSMAN, GABRIEL	JNL PERSPECTIVE II 04/14	34,850.32	14.52	90.0	13.07	0.00	13.07
02/28/2018	DUBAY, SHARON	JNL PERSPECTIVE II 04/14	88,974.24	37.07	90.0	33.36	0.00	33.36
02/28/2018	TEREMI, SANDRA	JNL PERSPECTIVE II 04/14	31,196.94	13.00	90.0	11.70	0.00	11.70
02/28/2018	GRANT, REVA	JNL PERSPECTIVE II 04/14	27,126.04	11.30	90.0	10.17	0.00	10.17
02/28/2018	CRUM, AMY	JNL PERSPECTIVE II 04/14	31,357.03	13.07	90.0	11.76	0.00	11.76
02/28/2018	CARR, THOMAS	JNL PERSPECTIVE II 04/14	7,170.61	2.99	90.0	2.69	0.00	2.69
02/28/2018	CARROLL, DONNA	JNL PERSPECTIVE II 04/14	210,878.71	87.87	90.0	79.08	0.00	79.08
02/28/2018	HULETT, DEBORAH	JNL PERSPECTIVE II 04/14	2,482.23	1.03	90.0	0.93	0.00	0.93
02/28/2018	VANECEK, LYNNETTE	JNL PERSPECTIVE II 04/14	6,412.22	2.67	90.0	2.40	0.00	2.40
02/28/2018	CARROLL, RICHARD	JNL PERSPECTIVE II 04/14	309,452.03	128.94	90.0	116.05	0.00	116.05
02/28/2018	MARTIN, LISA	JNL PERSPECTIVE II 04/14	24,188.79	10.08	90.0	9.07	0.00	9.07
02/28/2018	KIEFFER, PATRICIA	JNL PERSPECTIVE II 04/14	98,042.51	40.85	90.0	36.77	0.00	36.77
02/28/2018	KIEFFER, PATRICIA	JNL PERSPECTIVE II 04/14	14,744.17	6.14	90.0	5.53	0.00	5.53
02/28/2018	HOCH, LYLE	JNL PERSPECTIVE II 04/14	33,404.17	13.92	90.0	12.53	0.00	12.53
02/28/2018	PLESKO, CYNTHIA	JNL PERSPECTIVE II 04/14	38,922.51	16.22	90.0	14.60	0.00	14.60
02/28/2018	DRAPER, KIMBERLY	JNL PERSPECTIVE II 04/14	52,405.78	21.84	90.0	19.66	0.00	19.66
02/28/2018	FILLMORE, PAULA	JNL PERSPECTIVE II 04/14	78,320.45	32.63	90.0	29.37	0.00	29.37
02/28/2018	ADE, JAMES	JNL PERSPECTIVE II 04/14	37,594.15	15.66	90.0	14.09	0.00	14.09
02/28/2018	DRAPER, RUTH	JNL PERSPECTIVE II 04/14	13,130.40	5.47	90.0	4.92	0.00	4.92
02/28/2018	FILLMORE, PAULA	JNL PERSPECTIVE II 04/14	139,819.92	58.26	90.0	52.43	0.00	52.43
02/28/2018	MARCH, VICKIE	JNL PERSPECTIVE II 04/14	24,061.30	10.03	90.0	9.03	0.00	9.03
02/22/2018	RADEMACHER, SUZANNE	JNL PERSPECTIVE II 05/05	6,500.00	341.25	90.0	307.13	0.00	307.13
02/22/2018	RADEMACHER, RICKEY	JNL PERSPECTIVE II 05/05	6,500.00	341.25	90.0	307.13	0.00	307.13
02/26/2018	BARKS, ERRON	JNL PERSPECTIVE II 05/05	6,500.00	341.25	90.0	307.13	0.00	307.13
02/26/2018	BARKS, ERRON	JNL PERSPECTIVE II 05/05	17,600.00	924.00	90.0	831.60	0.00	831.60

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<u>DIRECT TRANSACTIONS</u>								
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02/26/2018	BARKS, MARIE	JNL PERSPECTIVE II 05/05	6,500.00	341.25	90.0	307.13	0.00	307.13
02/26/2018	HOUSE, MATTHEW	JNL PERSPECTIVE II 05/05	1,200.00	63.00	90.0	56.70	0.00	56.70
02/28/2018	ASHBAUGH, ROBERT	JNL PERSPECTIVE II 05/05	359,504.86	149.79	90.0	134.81	0.00	134.81
02/28/2018	MILLER, ALAN	JNL PERSPECTIVE II 05/05	37,123.40	15.47	90.0	13.92	0.00	13.92
02/28/2018	BERNATH, THOMAS	JNL PERSPECTIVE II 05/05	8,573.34	3.57	90.0	3.21	0.00	3.21
02/28/2018	PLESKO-BERNATH, CYNTHIA	JNL PERSPECTIVE II 05/05	9,196.11	3.83	90.0	3.45	0.00	3.45
02/28/2018	SCHNEIDER, DAWN	JNL PERSPECTIVE II 05/05	29,845.76	12.44	90.0	11.20	0.00	11.20
02/28/2018	DEBOER, DIANA	JNL PERSPECTIVE II 05/05	60,167.98	25.07	90.0	22.56	0.00	22.56
02/28/2018	COZIK, MICHELLE	JNL PERSPECTIVE II 05/05	11,793.08	4.91	90.0	4.42	0.00	4.42
02/28/2018	NETHAWAY, BARBARA	JNL PERSPECTIVE II 05/05	33,562.23	13.98	90.0	12.58	0.00	12.58
02/28/2018	AUSTIN, CHARLENE	JNL PERSPECTIVE II 05/05	46,013.22	19.17	90.0	17.25	0.00	17.25
02/28/2018	BUKOVCIK, DANIEL	JNL PERSPECTIVE II 05/05	186,779.26	77.82	90.0	70.04	0.00	70.04
02/28/2018	KOERNER, NANCY	JNL PERSPECTIVE II 05/05	105,679.42	44.03	90.0	39.63	0.00	39.63
02/28/2018	BUKOVCIK, GAVIN	JNL PERSPECTIVE II 05/05	53,689.37	22.37	90.0	20.13	0.00	20.13
02/28/2018	BOETTCHER, BRETT	JNL PERSPECTIVE II 05/05	2,840.69	1.18	90.0	1.06	0.00	1.06
02/28/2018	BOETTCHER, BRETT	JNL PERSPECTIVE II 05/05	20,149.29	8.40	90.0	7.56	0.00	7.56
02/28/2018	BATORA, DELORES	JNL PERSPECTIVE II 05/05	31,497.80	13.12	90.0	11.81	0.00	11.81
02/28/2018	STOCKING, RODNEY	JNL PERSPECTIVE II 05/05	310,431.50	129.35	90.0	116.42	0.00	116.42
02/28/2018	MOORE, DONALD	JNL PERSPECTIVE II 05/05	13,976.79	5.82	90.0	5.24	0.00	5.24
02/28/2018	PALEN, LINDA	JNL PERSPECTIVE II 05/05	22,350.99	9.31	90.0	8.38	0.00	8.38
02/28/2018	HERBLET, SCOTT	JNL PERSPECTIVE II 05/05	35,421.39	14.76	90.0	13.28	0.00	13.28
02/28/2018	DEVEREAUX, DARLENE	JNL PERSPECTIVE II 05/05	50,950.80	21.23	90.0	19.11	0.00	19.11
02/28/2018	MEDINA, CESAR	JNL PERSPECTIVE II 05/05	48,076.89	20.03	90.0	18.03	0.00	18.03
02/28/2018	BESKO, DIRK	JNL PERSPECTIVE II 05/05	11,428.98	4.76	90.0	4.28	0.00	4.28
02/28/2018	BESKO, DIRK	JNL PERSPECTIVE II 05/05	119,841.39	49.93	90.0	44.94	0.00	44.94
02/28/2018	LATZ, SONYA	JNL PERSPECTIVE II 05/05	5,605.61	2.34	90.0	2.11	0.00	2.11
02/28/2018	HARROW, WENDY	JNL PERSPECTIVE II 05/05	4,236.02	1.77	90.0	1.59	0.00	1.59
02/28/2018	BISHOP, DAVID	JNL PERSPECTIVE II 05/05	65,523.95	27.30	90.0	24.57	0.00	24.57
02/28/2018	O'BERRY, MICHAEL	JNL PERSPECTIVE II 05/05	192,254.42	80.11	90.0	72.10	0.00	72.10
02/28/2018	WINKLER, JOHN	JNL PERSPECTIVE II 05/05	434,135.09	180.89	90.0	162.80	0.00	162.80
02/28/2018	HOOKER, LINDA	JNL PERSPECTIVE II 05/05	134,600.37	56.08	90.0	50.47	0.00	50.47
02/28/2018	BISHOP, DAVID	JNL PERSPECTIVE II 05/05	24,108.23	10.05	90.0	9.05	0.00	9.05
02/28/2018	DARLING, GARY	JNL PERSPECTIVE II 05/05	144,434.97	60.18	90.0	54.16	0.00	54.16

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	GALECKA, CYRIL	JNL PERSPECTIVE II 05/05	136,996.41	57.08	90.0	51.37	0.00	51.37
02/28/2018	BURNS, KAREN	JNL PERSPECTIVE II 05/05	163,165.20	67.99	90.0	61.19	0.00	61.19
02/28/2018	COOK, DONALD	JNL PERSPECTIVE II 05/05	4,447.63	1.85	90.0	1.67	0.00	1.67
02/28/2018	GREEN, STEVE	JNL PERSPECTIVE II 05/05	60,393.77	25.16	90.0	22.64	0.00	22.64
02/28/2018	HECKMAN, RUSSELL	JNL PERSPECTIVE II 05/05	96,619.39	40.26	90.0	36.23	0.00	36.23
02/28/2018	HECKMAN, VICKI	JNL PERSPECTIVE II 05/05	5,799.97	2.42	90.0	2.18	0.00	2.18
02/28/2018	HECKMAN, VICKI	JNL PERSPECTIVE II 05/05	227,656.05	94.86	90.0	85.37	0.00	85.37
02/28/2018	VINCENT, DAVID	JNL PERSPECTIVE II 05/05	4,417.78	1.84	90.0	1.66	0.00	1.66
02/28/2018	VINCENT, JAMIE	JNL PERSPECTIVE II 05/05	21,301.97	8.88	90.0	7.99	0.00	7.99
02/28/2018	BERNATH, THOMAS	JNL PERSPECTIVE II 05/05	17,316.39	7.22	90.0	6.50	0.00	6.50
02/28/2018	KANTHE, LINDA	JNL PERSPECTIVE II 05/05	67,962.24	28.32	90.0	25.49	0.00	25.49
02/28/2018	COOK, JANET	JNL PERSPECTIVE II 05/05	62,168.45	25.90	90.0	23.31	0.00	23.31
02/28/2018	COOK, DONALD	JNL PERSPECTIVE II 05/05	154,738.29	64.47	90.0	58.02	0.00	58.02
02/28/2018	ADE, MARIE	JNL PERSPECTIVE II 05/05	54,197.17	22.58	90.0	20.32	0.00	20.32
02/28/2018	COOK, DONALD	JNL PERSPECTIVE II 05/05	108,426.15	45.18	90.0	40.66	0.00	40.66
02/28/2018	BUKOVCIK, SUSAN	JNL PERSPECTIVE II 05/05	16,259.95	6.77	90.0	6.09	0.00	6.09
02/28/2018	BUKOVCIK, DANIEL	JNL PERSPECTIVE II 05/05	16,829.72	7.01	90.0	6.31	0.00	6.31
02/28/2018	ATKINS, RONALD	JNL PERSPECTIVE II 05/05	62,176.75	25.91	90.0	23.32	0.00	23.32
02/28/2018	MCCABE, DAVID	JNL PERSPECTIVE II 05/05	86,098.46	35.87	90.0	32.28	0.00	32.28
02/28/2018	SMITH, DOUGLAS	JNL PERSPECTIVE II 05/05	11,529.81	4.80	90.0	4.32	0.00	4.32
02/28/2018	BUSH, VICTORIA	JNL PERSPECTIVE II 05/05	29,626.16	12.34	90.0	11.11	0.00	11.11
02/28/2018	COSCARELLI, ARTHUR	JNL PERSPECTIVE II 05/05	15,098.30	6.29	90.0	5.66	0.00	5.66
02/28/2018	BERNATH, THOMAS	JNL PERSPECTIVE II 05/05	146,390.38	61.00	90.0	54.90	0.00	54.90
02/28/2018	FEDEWA, NEIL	JNL PERSPECTIVE II 05/05	12,442.58	5.18	90.0	4.66	0.00	4.66
02/28/2018	PALEN, LINDA	JNL PERSPECTIVE II 05/05	173,421.19	72.26	90.0	65.03	0.00	65.03
02/28/2018	CONKLIN, SANDRA	JNL PERSPECTIVE II 05/05	14,010.09	5.84	90.0	5.26	0.00	5.26
02/28/2018	CONKLIN, DOUG	JNL PERSPECTIVE II 05/05	60,402.13	25.17	90.0	22.65	0.00	22.65
02/28/2018	ATKINS, RONALD	JNL PERSPECTIVE II 05/05	7,183.89	2.99	90.0	2.69	0.00	2.69
02/28/2018	ATKINS, DEB	JNL PERSPECTIVE II 05/05	8,243.19	3.43	90.0	3.09	0.00	3.09
02/28/2018	ATKINS, RONALD	JNL PERSPECTIVE II 05/05	104,115.48	43.38	90.0	39.04	0.00	39.04
02/28/2018	KURNCZ, KIMBERLY	JNL PERSPECTIVE II 05/05	874.49	0.36	90.0	0.32	0.00	0.32
02/28/2018	MASSA, RODGER	JNL PERSPECTIVE II 05/05	95,746.25	39.89	90.0	35.90	0.00	35.90
02/28/2018	WEISMILLER, SANDRA	JNL PERSPECTIVE II 05/05	993,109.77	413.80	90.0	372.42	0.00	372.42

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	BYWATER, SHEILA	JNL PERSPECTIVE II 05/05	315,391.28	131.41	90.0	118.27	0.00	118.27
02/28/2018	PLOWMAN, DONNA	JNL PERSPECTIVE II 05/05	41,978.54	17.49	90.0	15.74	0.00	15.74
02/28/2018	BUKOVCIK, SUSAN	JNL PERSPECTIVE II 05/05	85,706.81	35.71	90.0	32.14	0.00	32.14
02/28/2018	BUKOVCIK, SUSAN	JNL PERSPECTIVE II 05/05	74,618.80	31.09	90.0	27.98	0.00	27.98
02/28/2018	BUKOVCIK, DANIEL	JNL PERSPECTIVE II 05/05	72,828.86	30.35	90.0	27.32	0.00	27.32
02/28/2018	RADEMACHER, SUZANNE	JNL PERSPECTIVE II 05/05	62,839.30	26.18	90.0	23.56	0.00	23.56
02/28/2018	RADEMACHER, RICKEY	JNL PERSPECTIVE II 05/05	62,839.31	26.18	90.0	23.56	0.00	23.56
02/28/2018	DARLING, KIMBERLY	JNL PERSPECTIVE II 05/05	41,467.56	17.28	90.0	15.55	0.00	15.55
02/28/2018	EVANS, ROGER	JNL PERSPECTIVE II 05/05	44,040.96	18.35	90.0	16.52	0.00	16.52
02/28/2018	BARKS, ERRON	JNL PERSPECTIVE II 05/05	33,235.81	13.85	90.0	12.47	0.00	12.47
02/28/2018	BARKS, MARIE	JNL PERSPECTIVE II 05/05	42,748.12	17.81	90.0	16.03	0.00	16.03
02/28/2018	BARKS, ERRON	JNL PERSPECTIVE II 05/05	53,227.13	22.18	90.0	19.96	0.00	19.96
02/28/2018	BARKS, ERRON	JNL PERSPECTIVE II 05/05	337,134.84	140.47	90.0	126.42	0.00	126.42
02/28/2018	BARKS, MARIE	JNL PERSPECTIVE II 05/05	53,227.13	22.18	90.0	19.96	0.00	19.96
02/28/2018	FINCH, ARLENE	JNL PERSPECTIVE II 05/05	19,181.74	7.99	90.0	7.19	0.00	7.19
02/28/2018	BUKOVCIK, DANIEL	JNL PERSPECTIVE II 05/05	87,469.79	36.45	90.0	32.81	0.00	32.81
02/28/2018	ALLEN, DONNA	JNL PERSPECTIVE II 05/05	8,614.98	3.59	90.0	3.23	0.00	3.23
02/28/2018	O'BERRY, CHARLES	JNL PERSPECTIVE II 05/05	108,346.71	45.14	90.0	40.63	0.00	40.63
02/28/2018	RAMIREZ, JOAN	JNL PERSPECTIVE II 05/05	59,673.50	24.86	90.0	22.37	0.00	22.37
02/28/2018	SWENSON, AMY	JNL PERSPECTIVE II 05/05	11,918.69	4.97	90.0	4.47	0.00	4.47
02/28/2018	SWENSON, ERIC	JNL PERSPECTIVE II 05/05	10,111.56	4.21	90.0	3.79	0.00	3.79
02/28/2018	SWENSON, ERIC	JNL PERSPECTIVE II 05/05	6,152.83	2.56	90.0	2.30	0.00	2.30
02/28/2018	FOLTZ, TIMOTHY	JNL PERSPECTIVE II 05/05	6,990.53	2.91	90.0	2.62	0.00	2.62
02/28/2018	MOORE, MARC	JNL PERSPECTIVE II 05/05	416.15	0.17	90.0	0.15	0.00	0.15
02/28/2018	BEALS, TIMOTHY	JNL PERSPECTIVE II 05/05	33,781.90	14.08	90.0	12.67	0.00	12.67
02/28/2018	LEN, JURLINE	JNL PERSPECTIVE II 05/05	121,922.30	50.80	90.0	45.72	0.00	45.72
02/28/2018	LEN, JURLINE	JNL PERSPECTIVE II 05/05	176,088.75	73.37	90.0	66.03	0.00	66.03
02/28/2018	HAZLE, JOHN	JNL PERSPECTIVE II 05/05	13,177.76	5.49	90.0	4.94	0.00	4.94
02/28/2018	HARMON, RICHARD	JNL PERSPECTIVE II 05/05	157,440.68	65.60	90.0	59.04	0.00	59.04
02/28/2018	KUS, DONNA	JNL PERSPECTIVE II 05/05	110,684.88	46.12	90.0	41.51	0.00	41.51
02/28/2018	DARLING, TARIN	JNL PERSPECTIVE II 05/05	5,491.57	2.29	90.0	2.06	0.00	2.06
02/28/2018	MILLER, PHILLIP	JNL PERSPECTIVE II 05/05	1,148.73	0.48	90.0	0.43	0.00	0.43
02/28/2018	MILLER, VIVIAN	JNL PERSPECTIVE II 05/05	5,728.88	2.39	90.0	2.15	0.00	2.15

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	UNTERBRINK, PAUL	JNL PERSPECTIVE II 05/05	123,585.24	51.49	90.0	46.34	0.00	46.34
02/28/2018	BLAIN, VICKIE	JNL PERSPECTIVE II 05/05	82,422.33	34.34	90.0	30.91	0.00	30.91
02/28/2018	BLAIN, VICKIE	JNL PERSPECTIVE II 05/05	77,269.61	32.20	90.0	28.98	0.00	28.98
02/28/2018	SIEFFERT, JUDITH	JNL PERSPECTIVE II 05/05	527,641.38	219.85	90.0	197.87	0.00	197.87
02/28/2018	PAKSI, JOYCE	JNL PERSPECTIVE II 05/05	15,162.87	6.32	90.0	5.69	0.00	5.69
02/28/2018	PAKSI, JOYCE	JNL PERSPECTIVE II 05/05	100,289.07	41.79	90.0	37.61	0.00	37.61
02/28/2018	PAKSI, ROBERT	JNL PERSPECTIVE II 05/05	15,783.17	6.58	90.0	5.92	0.00	5.92
02/28/2018	PAKSI, ROBERT	JNL PERSPECTIVE II 05/05	41,931.22	17.47	90.0	15.72	0.00	15.72
02/28/2018	STUTZMAN, KAREN	JNL PERSPECTIVE II 05/05	43,467.71	18.11	90.0	16.30	0.00	16.30
02/28/2018	SWANCHARA, KIM	JNL PERSPECTIVE II 05/05	46,714.68	19.46	90.0	17.51	0.00	17.51
02/28/2018	SWANCHARA, BEN	JNL PERSPECTIVE II 05/05	46,714.68	19.46	90.0	17.51	0.00	17.51
02/28/2018	BATORA, DELORES	JNL PERSPECTIVE II 05/05	179,284.79	74.70	90.0	67.23	0.00	67.23
02/28/2018	DUNSMORE, CAROL	JNL PERSPECTIVE II 05/05	119,610.94	49.84	90.0	44.86	0.00	44.86
02/28/2018	HOGAN, JOSEPH	JNL PERSPECTIVE II 05/05	264,624.20	110.26	90.0	99.23	0.00	99.23
02/28/2018	STEHLIK, FRANCES	JNL PERSPECTIVE II 05/05	112,128.90	46.72	90.0	42.05	0.00	42.05
02/28/2018	STEHLIK, FRANCES	JNL PERSPECTIVE II 05/05	35,690.69	14.87	90.0	13.38	0.00	13.38
02/28/2018	WHALEY JR, RICHARD	JNL PERSPECTIVE II 05/05	47,684.25	19.87	90.0	17.88	0.00	17.88
02/28/2018	GOOSEN, JEROME	JNL PERSPECTIVE II 05/05	15,631.86	6.51	90.0	5.86	0.00	5.86
02/28/2018	BAILEY, THOMAS	JNL PERSPECTIVE II 05/05	10,384.88	4.33	90.0	3.90	0.00	3.90
02/28/2018	BAILEY, THOMAS	JNL PERSPECTIVE II 05/05	115,593.43	48.16	90.0	43.34	0.00	43.34
02/28/2018	BAILEY, WALLACE	JNL PERSPECTIVE II 05/05	181,636.57	75.68	90.0	68.11	0.00	68.11
02/28/2018	BAILEY, SUSAN	JNL PERSPECTIVE II 05/05	27,312.34	11.38	90.0	10.24	0.00	10.24
02/28/2018	HOGAN, JOSEPH	JNL PERSPECTIVE II 05/05	43,934.24	18.31	90.0	16.48	0.00	16.48
02/28/2018	WOODMAN, ROBERT	JNL PERSPECTIVE II 05/05	88,815.54	37.01	90.0	33.31	0.00	33.31
02/28/2018	COCKRUM, MARK	JNL PERSPECTIVE II 05/05	80,571.10	30.21	90.0	27.19	0.00	27.19
02/28/2018	HOGAN, JOSEPH	JNL PERSPECTIVE II 05/05	138,881.85	57.87	90.0	52.08	0.00	52.08
02/28/2018	FOX, JAMES	JNL PERSPECTIVE II 05/05	164,475.41	68.53	90.0	61.68	0.00	61.68
02/28/2018	PERRY, GREGORY	JNL PERSPECTIVE II 05/05	48,339.99	20.14	90.0	18.13	0.00	18.13
02/28/2018	HOUSE, MATTHEW	JNL PERSPECTIVE II 05/05	15,273.33	6.36	90.0	5.72	0.00	5.72
02/28/2018	SMALLDON, JANET	JNL PERSPECTIVE II 05/05	53,444.40	22.27	90.0	20.04	0.00	20.04
02/28/2018	FABER, KATHRYN	JNL PERSPECTIVE II 05/05	37,804.85	15.75	90.0	14.18	0.00	14.18
02/28/2018	BUKOVCIK, RONALD	JNL PERSPECTIVE II 05/05	539,925.82	224.97	90.0	202.47	0.00	202.47
02/28/2018	BARTON, EVA	JNL PERSPECTIVE II 05/05	19,902.02	8.29	90.0	7.46	0.00	7.46

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	THELEN, NEIL	JNL PERSPECTIVE II 05/05	213,163.21	88.82	90.0	79.94	0.00	79.94
02/28/2018	CONRAD, DOUGLAS	JNL PERSPECTIVE II 05/05	35,530.14	14.80	90.0	13.32	0.00	13.32
02/28/2018	MARTIN, SCOTT	JNL PERSPECTIVE II 05/05	68,239.59	28.43	90.0	25.59	0.00	25.59
02/28/2018	ROOF, ANNA	JNL PERSPECTIVE II 05/05	199,619.23	83.17	90.0	74.85	0.00	74.85
02/28/2018	ROBERTS, MONICA	JNL PERSPECTIVE II 05/05	7,137.03	2.97	90.0	2.67	0.00	2.67
02/28/2018	DOYLE, DENNIS	JNL PERSPECTIVE II 05/05	51,668.13	21.53	90.0	19.38	0.00	19.38
02/28/2018	SCHRAUBEN, HOLLY	JNL PERSPECTIVE II 05/05	4,485.16	1.87	90.0	1.68	0.00	1.68
02/28/2018	BURNS, KAREN	JNL PERSPECTIVE II 05/05	29,675.11	12.36	90.0	11.12	0.00	11.12
02/28/2018	PEASE, STEVEN	JNL PERSPECTIVE II 05/05	61,795.48	25.75	90.0	23.18	0.00	23.18
02/28/2018	THORNBURG, JANET	JNL PERSPECTIVE II 05/05	85,028.18	35.43	90.0	31.89	0.00	31.89
02/28/2018	BAILEY, WALLACE	JNL PERSPECTIVE II 05/05	47,075.05	19.61	90.0	17.65	0.00	17.65
02/28/2018	SCHULTZ, GARY	JNL PERSPECTIVE II 05/05	6,439.91	2.68	90.0	2.41	0.00	2.41
02/28/2018	RITZ, VICKI	JNL PERSPECTIVE II 05/05	23,559.98	9.82	90.0	8.84	0.00	8.84
02/28/2018	KIEFFER, DUSTIN	JNL PERSPECTIVE II 05/05	16,149.85	6.73	90.0	6.06	0.00	6.06
02/28/2018	BAILEY, WALLACE	JNL PERSPECTIVE II 05/05	39,152.28	16.31	90.0	14.68	0.00	14.68
02/28/2018	THORNBURG, RICHARD	JNL PERSPECTIVE II 05/05	298,018.63	124.17	90.0	111.75	0.00	111.75
02/28/2018	MEADOWS, SANDRA	JNL PERSPECTIVE II 05/05	267,178.05	111.32	90.0	100.19	0.00	100.19
02/28/2018	BERNATH, THOMAS	JNL PERSPECTIVE II 05/05	8,425.43	3.51	90.0	3.16	0.00	3.16
02/28/2018	DANIEL, JOHN	JNL PERSPECTIVE II 05/05	54,546.04	22.73	90.0	20.46	0.00	20.46
02/28/2018	WROBEL, GARY	JNL PERSPECTIVE II 05/05	421,381.61	175.58	90.0	158.02	0.00	158.02
02/28/2018	ZUSSMAN, KELLI	JNL PERSPECTIVE II 05/05	472,653.59	196.94	90.0	177.25	0.00	177.25
02/28/2018	EVANS, ROGER	JNL PERSPECTIVE II 05/05	9,451.90	3.94	90.0	3.55	0.00	3.55
02/28/2018	EVANS, ROGER	JNL PERSPECTIVE II 05/05	6,500.00	341.25	90.0	307.13	0.00	307.13
02/28/2018	EVANS, ROGER	JNL PERSPECTIVE II 05/05	53,654.23	22.36	90.0	20.12	0.00	20.12
02/28/2018	LEIBY, PAMELA	JNL PERSPECTIVE II 05/05	93,037.95	38.77	90.0	34.89	0.00	34.89
02/28/2018	LEIBY, PAMELA	JNL PERSPECTIVE II 05/05	29,433.02	12.26	90.0	11.03	0.00	11.03
02/28/2018	SCHMITT, WILLIAM	JNL PERSPECTIVE II 05/05	31,201.21	13.00	90.0	11.70	0.00	11.70
02/28/2018	SCHMITT, KELLEY	JNL PERSPECTIVE II 05/05	15,932.80	6.64	90.0	5.98	0.00	5.98
02/28/2018	EKEL, MARY	JNL PERSPECTIVE II 05/05	10,491.52	4.37	90.0	3.93	0.00	3.93
02/28/2018	SHETTLER, CARL	JNL PERSPECTIVE II 05/05	3,079.68	1.28	90.0	1.15	0.00	1.15
02/28/2018	NUTTER, JACK	JNL PERSPECTIVE II 05/05	189,993.70	79.16	90.0	71.24	0.00	71.24
02/28/2018	HUHN, JUDY	JNL PERSPECTIVE II 05/05	129,836.85	54.10	90.0	48.69	0.00	48.69
02/28/2018	DOUBRAVA, STACY	JNL PERSPECTIVE II 05/05	269,988.74	112.50	90.0	101.25	0.00	101.25

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	CAIRNS, SCOTT	JNL PERSPECTIVE II 05/05	180,988.88	75.41	90.0	67.87	0.00	67.87
02/28/2018	RADMACHER, ROGER	JNL PERSPECTIVE II 05/05	255,448.50	106.44	90.0	95.80	0.00	95.80
02/28/2018	MASSA, RODGER	JNL PERSPECTIVE II 05/05	3,310.80	1.38	90.0	1.24	0.00	1.24
02/28/2018	PAKSI, JAMES	JNL PERSPECTIVE II 05/05	216,827.82	90.34	90.0	81.31	0.00	81.31
02/28/2018	MILLER, VIVIAN	JNL PERSPECTIVE II 05/05	67,766.51	28.24	90.0	25.42	0.00	25.42
02/28/2018	STANLEY, REGINA	JNL PERSPECTIVE II 05/05	104,776.95	43.66	90.0	39.29	0.00	39.29
02/28/2018	NORDER, MARSHA	JNL PERSPECTIVE II 05/05	29,146.16	12.14	90.0	10.93	0.00	10.93
02/28/2018	COPESE, TAMERA	JNL PERSPECTIVE II 05/05	54,633.99	22.76	90.0	20.48	0.00	20.48
02/28/2018	WINTERS, JODI	JNL PERSPECTIVE II 05/05	19,836.90	8.27	90.0	7.44	0.00	7.44
02/28/2018	ASHBAUGH, ROBERT	JNL PERSPECTIVE II 05/05	21,218.97	8.84	90.0	7.96	0.00	7.96
02/28/2018	BURKETT SR, RICHARD	JNL PERSPECTIVE II 05/05	3,671.19	1.53	90.0	1.38	0.00	1.38
02/28/2018	HAZLE, JAYDEN	JNL PERSPECTIVE II 05/05	9,437.69	3.93	90.0	3.54	0.00	3.54
02/28/2018	STOLL, MARY	JNL PERSPECTIVE II 05/05	3,998.44	1.67	90.0	1.50	0.00	1.50
02/28/2018	ARTHUR, LOYAL	JNL PERSPECTIVE II 05/05	252,585.46	105.24	90.0	94.72	0.00	94.72
02/28/2018	FOX, JAMES	JNL PERSPECTIVE II 05/05	32,626.23	13.59	90.0	12.23	0.00	12.23
02/28/2018	SMALEC, CARLA	JNL PERSPECTIVE II 05/05	124,091.33	51.70	90.0	46.53	0.00	46.53
02/28/2018	HEATHMAN, DAWN	JNL PERSPECTIVE II 05/05	89,429.74	37.26	90.0	33.53	0.00	33.53
02/28/2018	RAMIREZ, NICOLAS	JNL PERSPECTIVE II 05/05	296,239.41	123.43	90.0	111.09	0.00	111.09
02/28/2018	WALTER, SHIRLEY	JNL PERSPECTIVE II 05/05	80,789.28	33.66	90.0	30.29	0.00	30.29
02/28/2018	WALTER, ROBERT	JNL PERSPECTIVE II 05/05	45,419.85	18.92	90.0	17.03	0.00	17.03
02/28/2018	WALTER, ROBERT	JNL PERSPECTIVE II 05/05	159,754.54	66.56	90.0	59.90	0.00	59.90
02/28/2018	WALTER, SHIRLEY	JNL PERSPECTIVE II 05/05	4,000.13	1.67	90.0	1.50	0.00	1.50
02/28/2018	WALTER, SHIRLEY	JNL PERSPECTIVE II 05/05	48,457.83	20.19	90.0	18.17	0.00	18.17
02/28/2018	WALTER, ROBERT	JNL PERSPECTIVE II 05/05	4,029.84	1.68	90.0	1.51	0.00	1.51
02/28/2018	LENON, DAWN	JNL PERSPECTIVE II 05/05	263,240.69	109.68	90.0	98.71	0.00	98.71
02/28/2018	DEVEREAUX, ANDREW	JNL PERSPECTIVE II 05/05	23,938.31	9.97	90.0	8.97	0.00	8.97
02/28/2018	MCCREERY, RODNEY	JNL PERSPECTIVE II 05/05	25,668.13	10.70	90.0	9.63	0.00	9.63
02/28/2018	DEVEREAUX, DARLENE	JNL PERSPECTIVE II 05/05	3,717.26	1.55	90.0	1.40	0.00	1.40
02/28/2018	CALVERLEY, ROBERT	JNL PERSPECTIVE II 05/05	145,912.49	60.80	90.0	54.72	0.00	54.72
02/28/2018	WINKLER, DAVID	JNL PERSPECTIVE II 05/05	119,159.04	49.65	90.0	44.69	0.00	44.69
02/28/2018	BATES, JAMES	JNL PERSPECTIVE II 05/05	234,273.20	97.61	90.0	87.85	0.00	87.85
02/28/2018	BOETTCHER, DAWN	JNL PERSPECTIVE II 05/05	23,578.90	9.82	90.0	8.84	0.00	8.84
02/28/2018	RITTER, DEVONA	JNL PERSPECTIVE II 05/05	26,416.39	11.01	90.0	9.91	0.00	9.91

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/01/2018	COSCARELLI, ARTHUR	JNL PERSPECTIVE II 05/05	50.00	2.63	90.0	2.37	0.00	2.37
03/01/2018	HAZLE, JAYDEN	JNL PERSPECTIVE II 05/05	100.00	5.25	90.0	4.73	0.00	4.73
03/05/2018	SCHNEIDER, DAWN	JNL PERSPECTIVE II 05/05	100.00	5.25	90.0	4.73	0.00	4.73
02/28/2018	MARTENS, KEVIN	JNL PERSPECTIVE II 10/04	57,809.91	24.09	90.0	21.68	0.00	21.68
* VARIABLE ANNUITIES SUBTOTAL *			\$25,854,386.44	\$13,549.49		\$12,194.59	\$0.00	\$12,194.59
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
03/02/2018	KIRBY, NICOLE	LBL VUL	99.06	31.70	90.0	28.53	0.00	28.53
03/02/2018	KIRBY, NICOLE	LBL VUL	5.94	1.90	90.0	1.71	0.00	1.71
03/02/2018	KIRBY, NICOLE	LBL VUL	64.15	20.53	90.0	18.48	0.00	18.48
03/02/2018	KIRBY, NICOLE	LBL VUL	3.85	1.23	90.0	1.11	0.00	1.11
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$173.00	\$55.36		\$49.83	\$0.00	\$49.83
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	ASHBAUGH, CATHARINE	AM FUNDS AMCAP A	0.00	0.85	90.0	0.77	0.00	0.77
03/01/2018	ASHBAUGH, CHRISTOPHER	AM FUNDS AMCAP A	0.00	1.72	90.0	1.55	0.00	1.55
03/01/2018	FRUCHEY, DONNA	AM FUNDS AMCAP A	0.00	2.48	90.0	2.23	0.00	2.23
03/01/2018	NIXON, FRED	AM FUNDS AMERICAN BALANCED A	0.00	7.29	90.0	6.56	0.00	6.56
03/01/2018	TEACHNOR-HAUK/AUTH PER,	AM FUNDS AMERICAN BALANCED A	0.00	1.44	90.0	1.30	0.00	1.30
03/01/2018	FRUCHEY, DONNA	AM FUNDS AMERICAN BALANCED A	0.00	19.56	90.0	17.60	0.00	17.60
03/01/2018	RISLEY, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	10.48	90.0	9.43	0.00	9.43
03/01/2018	ASHBAUGH, CATHARINE	AM FUNDS AMERICAN BALANCED A	0.00	0.60	90.0	0.54	0.00	0.54
03/01/2018	ASHBAUGH, CHRISTOPHER	AM FUNDS AMERICAN BALANCED A	0.00	3.61	90.0	3.25	0.00	3.25
03/01/2018	FRUCHEY, DONNA	AM FUNDS AMERICAN BALANCED A	0.00	6.54	90.0	5.89	0.00	5.89
03/01/2018	MI/TOD	AM FUNDS AMERICAN BALANCED A	0.00	0.92	90.0	0.83	0.00	0.83
03/01/2018	FRUCHEY/BENE, DONNA	AM FUNDS AMERICAN BALANCED A	0.00	3.15	90.0	2.84	0.00	2.84
03/01/2018	RISLEY, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	2.92	90.0	2.63	0.00	2.63
03/01/2018	COON, GEORGANN	AM FUNDS AMERICAN BALANCED A	0.00	4.41	90.0	3.97	0.00	3.97
03/01/2018	PER, DESTINY	AM FUNDS AMERICAN BALANCED C	0.00	2.38	90.0	2.14	0.00	2.14
03/01/2018	MARTIN, SCOTT	AM FUNDS AMERICAN HIGH-INC MUNI	0.00	19.57	90.0	17.61	0.00	17.61
03/01/2018	MULDER, DONALD	AM FUNDS AMERICAN HIGH-INC MUNI	0.00	338.32	90.0	304.49	0.00	304.49
03/01/2018	EBNIT TRUST 1, BETTY	AM FUNDS AMERICAN HIGH-INC MUNI	0.00	50.14	90.0	45.13	0.00	45.13
03/01/2018	MI/TOD	AM FUNDS AMERICAN HIGH-INC MUNI	0.00	6.68	90.0	6.01	0.00	6.01
03/01/2018	BUTTARS, LISA	AM FUNDS AMERICAN HIGH-INC MUNI	0.00	2.97	90.0	2.67	0.00	2.67

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	PARKER, MICHELLE	AM FUNDS AMERICAN HIGH-INC MUNI	0.00	0.22	90.0	0.20	0.00	0.20
03/01/2018	LATZ, SONYA	AM FUNDS AMERICAN HIGH-INCOME	0.00	10.47	90.0	9.42	0.00	9.42
03/01/2018	STANTON, DONALD	AM FUNDS AMERICAN HIGH-INCOME	0.00	42.82	90.0	38.54	0.00	38.54
03/01/2018	MULDER, DONALD	AM FUNDS AMERICAN HIGH-INCOME	0.00	33.34	90.0	30.01	0.00	30.01
03/01/2018	COON, GEORGANN	AM FUNDS AMERICAN HIGH-INCOME	0.00	0.12	90.0	0.11	0.00	0.11
03/01/2018	BANCROFT, AUDREY	AM FUNDS AMERICAN HIGH-INCOME	0.00	4.80	90.0	4.32	0.00	4.32
03/01/2018	EBNIT TRUST 1, BETTY	AM FUNDS AMERICAN HIGH-INCOME	0.00	13.18	90.0	11.86	0.00	11.86
03/01/2018	MI/TOD	AM FUNDS AMERICAN HIGH-INCOME	0.00	6.62	90.0	5.96	0.00	5.96
03/01/2018	SIEFFERT, JUDITH	AM FUNDS AMERICAN HIGH-INCOME	0.00	15.14	90.0	13.63	0.00	13.63
03/01/2018	RECK, MAXINE	AM FUNDS AMERICAN HIGH-INCOME	0.00	29.78	90.0	26.80	0.00	26.80
03/01/2018	FRUCHEY, DONNA	AM FUNDS AMERICAN MUTUAL A	0.00	3.55	90.0	3.20	0.00	3.20
03/01/2018	MCCARTHY, ROSEMARY	AM FUNDS AMERICAN MUTUAL A	0.00	0.73	90.0	0.66	0.00	0.66
03/01/2018	FRUCHEY, DONNA	AM FUNDS AMERICAN MUTUAL A	0.00	7.22	90.0	6.50	0.00	6.50
03/01/2018	COON, GEORGANN	AM FUNDS AMERICAN MUTUAL A	0.00	2.41	90.0	2.17	0.00	2.17
03/01/2018	BUKOVCIK/SEP, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	6.75	90.0	6.08	0.00	6.08
03/01/2018	COON, GEORGANN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.03	90.0	0.03	0.00	0.03
03/01/2018	FOSTER, HEIDI	AM FUNDS BOND FUND OF AMERICA C	0.00	26.56	90.0	23.90	0.00	23.90
03/01/2018	BOSSEL, COLTER	AM FUNDS CAPITAL INCOME BUILDER	0.00	3.16	90.0	2.84	0.00	2.84
03/01/2018	NURENBURG, JACOB	AM FUNDS CAPITAL INCOME BUILDER	0.00	4.83	90.0	4.35	0.00	4.35
03/01/2018	HAUK, KALMAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.16	90.0	5.54	0.00	5.54
03/01/2018	FRUCHEY, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.70	90.0	7.83	0.00	7.83
03/01/2018	RISLEY, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.96	90.0	8.06	0.00	8.06
03/01/2018	FERDEN, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.49	90.0	2.24	0.00	2.24
03/01/2018	LADISKI, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.33	90.0	8.40	0.00	8.40
03/01/2018	CERNY, MARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.53	90.0	13.08	0.00	13.08
03/01/2018	LEAVITT, COLLIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.86	90.0	1.67	0.00	1.67
03/01/2018	LATZ, SONYA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.42	90.0	8.48	0.00	8.48
03/01/2018	LATZ, SONYA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.23	90.0	9.21	0.00	9.21
03/01/2018	LATZ, JARRAH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.35	90.0	2.12	0.00	2.12
03/01/2018	FRUCHEY, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.73	90.0	2.46	0.00	2.46
03/01/2018	MI/TOD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.13	90.0	0.12	0.00	0.12
03/01/2018	FRUCHEY/BENE, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.46	90.0	0.41	0.00	0.41
03/01/2018	SCHAFER, BONNIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.60	90.0	4.14	0.00	4.14

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	MARTIN, SCOTT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	44.72	90.0	40.25	0.00	40.25
03/01/2018	MULDER, DONALD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	78.74	90.0	70.87	0.00	70.87
03/01/2018	RISLEY, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.55	90.0	2.30	0.00	2.30
03/01/2018	COON, GEORGANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.96	90.0	2.66	0.00	2.66
03/01/2018	CARPENTER, RUTH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.69	90.0	0.62	0.00	0.62
03/01/2018	BANCROFT, AUDREY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.09	90.0	4.58	0.00	4.58
03/01/2018	STOLL, DYLAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.02	90.0	1.82	0.00	1.82
03/01/2018	STOLL, JUSTIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.27	90.0	2.04	0.00	2.04
03/01/2018	NIXON, CAROLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.65	90.0	1.49	0.00	1.49
03/01/2018	SWARTHOUT/BENE, NORITA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.58	90.0	0.52	0.00	0.52
03/01/2018	SWARTHOUT/BENE, SHEILA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.58	90.0	0.52	0.00	0.52
03/01/2018	MARTIN, SCOTT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.66	90.0	4.19	0.00	4.19
03/01/2018	EBNIT TRUST 1, BETTY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.95	90.0	6.26	0.00	6.26
03/01/2018	SIEFFERT, JUDITH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	30.47	90.0	27.42	0.00	27.42
03/01/2018	MI/TOD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.42	90.0	7.58	0.00	7.58
03/01/2018	RECK, MAXINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	30.35	90.0	27.32	0.00	27.32
03/01/2018	LATZ, JOSHUA	AM FUNDS CAPITAL WORLD BOND A	0.00	7.07	90.0	6.36	0.00	6.36
03/01/2018	SAVAGE, ELIZABETH	AM FUNDS CAPITAL WORLD BOND A	0.00	8.08	90.0	7.27	0.00	7.27
03/01/2018	BOSSEL, COLTER	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	4.94	90.0	4.45	0.00	4.45
03/01/2018	HAUK, KALMAN	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	7.51	90.0	6.76	0.00	6.76
03/01/2018	GRUBAUGH, EDWARD	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.99	90.0	1.79	0.00	1.79
03/01/2018	ASHBAUGH, CATHARINE	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	0.49	90.0	0.44	0.00	0.44
03/01/2018	ASHBAUGH, CHRISTOPHER	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	2.21	90.0	1.99	0.00	1.99
03/01/2018	MCCARTHY, ROSEMARY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.29	90.0	1.16	0.00	1.16
03/01/2018	NETHAWAY, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	4.00	90.0	3.60	0.00	3.60
03/01/2018	LATZ, SONYA	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	11.51	90.0	10.36	0.00	10.36
03/01/2018	LATZ, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	22.81	90.0	20.53	0.00	20.53
03/01/2018	FRUCHEY, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	9.25	90.0	8.33	0.00	8.33
03/01/2018	SAVAGE, ELIZABETH	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	19.65	90.0	17.69	0.00	17.69
03/01/2018	MAHONEY, KRISTEN	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.06	90.0	0.95	0.00	0.95
03/01/2018	DOLAN, TERRY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	8.28	90.0	7.45	0.00	7.45
03/01/2018	DOLAN, TERRY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	12.04	90.0	10.84	0.00	10.84
03/01/2018	DOLAN, TERRY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	11.29	90.0	10.16	0.00	10.16

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	DOLAN, TERRY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	13.55	90.0	12.20	0.00	12.20
03/01/2018	SMITH, LINDSEY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.17	90.0	0.15	0.00	0.15
03/01/2018	POHL, JUSTIN	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.77	90.0	0.69	0.00	0.69
03/01/2018	POHL, JUSTIN	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.78	90.0	0.70	0.00	0.70
03/01/2018	POHL, JUSTIN	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.89	90.0	0.80	0.00	0.80
03/01/2018	POHL, JUSTIN	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.58	90.0	0.52	0.00	0.52
03/01/2018	POHL, JUSTIN	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.32	90.0	0.29	0.00	0.29
03/01/2018	POHL, JUSTIN	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.34	90.0	0.31	0.00	0.31
03/01/2018	POHL, JUSTIN	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.26	90.0	0.23	0.00	0.23
03/01/2018	UNTIL AGE 18, MI/UTMA	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.94	90.0	0.85	0.00	0.85
03/01/2018	UNTIL AGE 18, MI/UTMA	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.87	90.0	0.78	0.00	0.78
03/01/2018	BOSSEL, COLTER	AM FUNDS EUROPACIFIC GROWTH FUND	0.00	3.85	90.0	3.47	0.00	3.47
03/01/2018	LEN, JURLINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.49	90.0	4.94	0.00	4.94
03/01/2018	BYRNE, JAMIE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.10	90.0	3.69	0.00	3.69
03/01/2018	FRYE, DENISE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.15	90.0	1.04	0.00	1.04
03/01/2018	PAKSI, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.61	90.0	2.35	0.00	2.35
03/01/2018	ZALUD, BRIAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.42	90.0	8.48	0.00	8.48
03/01/2018	FABRY, EMILY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.14	90.0	1.93	0.00	1.93
03/01/2018	NETHAWAY, COLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.50	90.0	0.45	0.00	0.45
03/01/2018	HEBELER, CARTER	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.46	90.0	0.41	0.00	0.41
03/01/2018	FERDEN, ANN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.24	90.0	7.42	0.00	7.42
03/01/2018	STOCKING, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.35	90.0	1.22	0.00	1.22
03/01/2018	STOCKING, ELAINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.62	90.0	1.46	0.00	1.46
03/01/2018	TOMASEK, JENNA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.46	90.0	0.41	0.00	0.41
03/01/2018	MARTIN, LISA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.49	90.0	3.14	0.00	3.14
03/01/2018	HERBLET, AMANDA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.40	90.0	4.86	0.00	4.86
03/01/2018	NETHAWAY, LANCE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.09	90.0	1.88	0.00	1.88
03/01/2018	MULDER, DONALD	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	29.44	90.0	26.50	0.00	26.50
03/01/2018	SWARTHOUT/BENE, NORITA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.22	90.0	0.20	0.00	0.20
03/01/2018	SWARTHOUT/BENE, SHEILA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.22	90.0	0.20	0.00	0.20
03/01/2018	FRUCHEY, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.82	90.0	3.44	0.00	3.44
03/01/2018	MCCARTHY, ROSEMARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.74	90.0	4.27	0.00	4.27
03/01/2018	FRUCHEY, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.65	90.0	8.69	0.00	8.69

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	RISLEY, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.80	90.0	8.82	0.00	8.82
03/01/2018	BAXTER, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	77.14	90.0	69.43	0.00	69.43
03/01/2018	COON, GEORGANN	AM FUNDS GLOBAL BALANCED A	0.00	1.49	90.0	1.34	0.00	1.34
03/01/2018	ROBERTS, MONICA	AM FUNDS GROWTH AND INCOME 529A	0.00	6.68	90.0	6.01	0.00	6.01
03/01/2018	MULDER, JAYDEN	AM FUNDS GROWTH AND INCOME A	0.00	2.23	90.0	2.01	0.00	2.01
03/01/2018	PARKER, MICHELLE	AM FUNDS GROWTH AND INCOME A	0.00	8.11	90.0	7.30	0.00	7.30
03/01/2018	BOSSEL, COLTER	AM FUNDS GROWTH FUND OF AMERICA	0.00	3.93	90.0	3.54	0.00	3.54
03/01/2018	MULDER, TERESA	AM FUNDS GROWTH FUND OF AMERICA	0.00	8.28	90.0	7.45	0.00	7.45
03/01/2018	NURENBURG, JACOB	AM FUNDS GROWTH FUND OF AMERICA	0.00	5.29	90.0	4.76	0.00	4.76
03/01/2018	HAZLE, JESSICA	AM FUNDS GROWTH FUND OF AMERICA	0.00	0.61	90.0	0.55	0.00	0.55
03/01/2018	ROBERTS, MONICA	AM FUNDS GROWTH FUND OF AMERICA	0.00	7.44	90.0	6.70	0.00	6.70
03/01/2018	NIDEFSKI, CAIDEN	AM FUNDS GROWTH FUND OF AMERICA	0.00	0.92	90.0	0.83	0.00	0.83
03/01/2018	NIDEFSKI, CAIDEN	AM FUNDS GROWTH FUND OF AMERICA	0.00	0.92	90.0	0.83	0.00	0.83
03/01/2018	SCHAFER, CORACELYN	AM FUNDS GROWTH FUND OF AMERICA	0.00	1.82	90.0	1.64	0.00	1.64
03/01/2018	SCHLIEF, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.72	90.0	3.35	0.00	3.35
03/01/2018	HAUK, KALMAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	35.74	90.0	32.17	0.00	32.17
03/01/2018	TEACHNOR-HAUK/AUTH PER,	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.70	90.0	2.43	0.00	2.43
03/01/2018	TEACHNOR-HAUK/AUTH PER,	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	90.0	0.07	0.00	0.07
03/01/2018	BERTRAM, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.32	90.0	5.69	0.00	5.69
03/01/2018	GRUBAUGH, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.23	90.0	12.81	0.00	12.81
03/01/2018	CASLER, SAMANTHA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.66	90.0	1.49	0.00	1.49
03/01/2018	ASHBAUGH, CATHARINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.29	90.0	0.26	0.00	0.26
03/01/2018	ASHBAUGH, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.64	90.0	2.38	0.00	2.38
03/01/2018	SKARYD, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.22	90.0	2.90	0.00	2.90
03/01/2018	FRYE, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.76	90.0	2.48	0.00	2.48
03/01/2018	BERTRAM, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.57	90.0	6.81	0.00	6.81
03/01/2018	FABRY, EMILY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.99	90.0	2.69	0.00	2.69
03/01/2018	HEBELER, CARTER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.69	90.0	0.62	0.00	0.62
03/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.39	90.0	1.25	0.00	1.25
03/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.39	90.0	1.25	0.00	1.25
03/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.39	90.0	1.25	0.00	1.25
03/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.93	90.0	0.84	0.00	0.84
03/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.93	90.0	0.84	0.00	0.84

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	MARTIN, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.48	90.0	11.23	0.00	11.23
03/01/2018	HERBLET, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.46	90.0	6.71	0.00	6.71
03/01/2018	PER, DESTINY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	90.0	0.07	0.00	0.07
03/01/2018	LATZ, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	29.57	90.0	26.61	0.00	26.61
03/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.17	90.0	1.95	0.00	1.95
03/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.17	90.0	1.95	0.00	1.95
03/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.17	90.0	1.95	0.00	1.95
03/01/2018	LATZ, JARRAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.16	90.0	9.14	0.00	9.14
03/01/2018	FRUCHEY, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.93	90.0	0.84	0.00	0.84
03/01/2018	ZALUD, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.85	90.0	5.27	0.00	5.27
03/01/2018	MARTIN, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	18.71	90.0	16.84	0.00	16.84
03/01/2018	HAUK, LEGEND	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.60	90.0	1.44	0.00	1.44
03/01/2018	MARTIN, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	63.11	90.0	56.80	0.00	56.80
03/01/2018	SMITH, CASSIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.69	90.0	6.92	0.00	6.92
03/01/2018	MULDER, JAYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.64	90.0	1.48	0.00	1.48
03/01/2018	LONGSTRETH, JOEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.11	90.0	1.90	0.00	1.90
03/01/2018	BUKOVCIK, GAVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.34	90.0	3.01	0.00	3.01
03/01/2018	BUKOVCIK, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.34	90.0	3.01	0.00	3.01
03/01/2018	BUKOVCIK, KARSYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.34	90.0	3.01	0.00	3.01
03/01/2018	FEDEWA, DARREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.90	90.0	1.71	0.00	1.71
03/01/2018	EKEL, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.70	90.0	1.53	0.00	1.53
03/01/2018	NETHAWAY, RILEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.46	90.0	0.41	0.00	0.41
03/01/2018	EKEL, LAUREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.25	90.0	2.03	0.00	2.03
03/01/2018	STOLL, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.93	90.0	2.64	0.00	2.64
03/01/2018	STOLL, JUSTIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.18	90.0	3.76	0.00	3.76
03/01/2018	KIRBY, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.95	90.0	1.76	0.00	1.76
03/01/2018	KIRBY, DAVE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.95	90.0	1.76	0.00	1.76
03/01/2018	FRUCHEY, JEFF	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.17	90.0	1.95	0.00	1.95
03/01/2018	SPEERBRECKER, SPENCER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.14	90.0	1.93	0.00	1.93
03/01/2018	BESKO, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.23	90.0	1.11	0.00	1.11
03/01/2018	STUTZMAN, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.85	90.0	1.67	0.00	1.67
03/01/2018	HEHRER, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.44	90.0	0.40	0.00	0.40
03/01/2018	MARTIN, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.91	90.0	9.82	0.00	9.82

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	BYRNE, JAMIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.50	90.0	5.85	0.00	5.85
03/01/2018	MAHONEY, KRISTEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.08	90.0	0.97	0.00	0.97
03/01/2018	SCHAFER, CHELSEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.80	90.0	0.72	0.00	0.72
03/01/2018	HOLDER, SETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.97	90.0	0.87	0.00	0.87
03/01/2018	BUKOVCIK, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.58	90.0	0.52	0.00	0.52
03/01/2018	TYLER, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.48	90.0	5.83	0.00	5.83
03/01/2018	LOYNES, AUSTIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.18	90.0	0.16	0.00	0.16
03/01/2018	BUKOVCIK, GAVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.76	90.0	0.68	0.00	0.68
03/01/2018	MI/TOD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.30	90.0	0.27	0.00	0.27
03/01/2018	UNTIL AGE 18, MI/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.49	90.0	1.34	0.00	1.34
03/01/2018	UNTIL AGE 18, MI/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.49	90.0	1.34	0.00	1.34
03/01/2018	KIRBY, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.38	90.0	2.14	0.00	2.14
03/01/2018	SIEFFERT, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	16.85	90.0	15.17	0.00	15.17
03/01/2018	SCHNEIDER, HANNAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.25	90.0	0.23	0.00	0.23
03/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.00	90.0	0.90	0.00	0.90
03/01/2018	MI/TOD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.90	90.0	8.01	0.00	8.01
03/01/2018	ACKELS, JACOB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.11	90.0	0.10	0.00	0.10
03/01/2018	BUTTARS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.64	90.0	8.68	0.00	8.68
03/01/2018	BROWN, MORGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.38	90.0	1.24	0.00	1.24
03/01/2018	BROWN, OLIVIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.38	90.0	1.24	0.00	1.24
03/01/2018	DOBISKI, MARLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.72	90.0	0.65	0.00	0.65
03/01/2018	PARKER, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.10	90.0	7.29	0.00	7.29
03/01/2018	HAZLE, JESSICA	AM FUNDS GROWTH PORT 529A	0.00	0.62	90.0	0.56	0.00	0.56
03/01/2018	HAZLE, JESSICA	AM FUNDS GROWTH PORT 529A	0.00	0.25	90.0	0.23	0.00	0.23
03/01/2018	BOSSEL, COLTER	AM FUNDS ICA 529A	0.00	4.25	90.0	3.83	0.00	3.83
03/01/2018	MULDER, TERESA	AM FUNDS ICA 529A	0.00	4.48	90.0	4.03	0.00	4.03
03/01/2018	SCHLIEF, MICHAEL	AM FUNDS ICA A	0.00	6.13	90.0	5.52	0.00	5.52
03/01/2018	BUKOVCIK/SEP, WILLIAM	AM FUNDS ICA A	0.00	14.98	90.0	13.48	0.00	13.48
03/01/2018	LEN, JURLINE	AM FUNDS ICA A	0.00	11.38	90.0	10.24	0.00	10.24
03/01/2018	HAUK, KALMAN	AM FUNDS ICA A	0.00	8.30	90.0	7.47	0.00	7.47
03/01/2018	ASHBAUGH, HEIDI	AM FUNDS ICA A	0.00	19.36	90.0	17.42	0.00	17.42
03/01/2018	TEACHNOR-HAUK/AUTH PER,	AM FUNDS ICA A	0.00	0.02	90.0	0.02	0.00	0.02
03/01/2018	BERTRAM, ADAM	AM FUNDS ICA A	0.00	0.75	90.0	0.68	0.00	0.68

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	FRUCHEY, DONNA	AM FUNDS ICA A	0.00	9.13	90.0	8.22	0.00	8.22
03/01/2018	GALEHOUSE, BARBARA	AM FUNDS ICA A	0.00	4.01	90.0	3.61	0.00	3.61
03/01/2018	FRYE, DENISE	AM FUNDS ICA A	0.00	2.45	90.0	2.21	0.00	2.21
03/01/2018	BERTRAM, ADAM	AM FUNDS ICA A	0.00	10.25	90.0	9.23	0.00	9.23
03/01/2018	PAKSI, JAMES	AM FUNDS ICA A	0.00	3.17	90.0	2.85	0.00	2.85
03/01/2018	LEAVITT, GRACE	AM FUNDS ICA A	0.00	5.28	90.0	4.75	0.00	4.75
03/01/2018	ZALUD, BRIAN	AM FUNDS ICA A	0.00	12.27	90.0	11.04	0.00	11.04
03/01/2018	WREGGELSWORTH, JOY	AM FUNDS ICA A	0.00	12.53	90.0	11.28	0.00	11.28
03/01/2018	FERDEN, ANN	AM FUNDS ICA A	0.00	10.90	90.0	9.81	0.00	9.81
03/01/2018	STOCKING, ERIC	AM FUNDS ICA A	0.00	1.68	90.0	1.51	0.00	1.51
03/01/2018	STOCKING, ELAINE	AM FUNDS ICA A	0.00	2.08	90.0	1.87	0.00	1.87
03/01/2018	FRUCHEY, DONNA	AM FUNDS ICA A	0.00	0.46	90.0	0.41	0.00	0.41
03/01/2018	ZALUD, ANN	AM FUNDS ICA A	0.00	5.74	90.0	5.17	0.00	5.17
03/01/2018	MARTIN, LISA	AM FUNDS ICA A	0.00	8.54	90.0	7.69	0.00	7.69
03/01/2018	MARTIN, SCOTT	AM FUNDS ICA A	0.00	58.24	90.0	52.42	0.00	52.42
03/01/2018	SMITH, CASSIE	AM FUNDS ICA A	0.00	1.49	90.0	1.34	0.00	1.34
03/01/2018	LONGSTRETH, JOEL	AM FUNDS ICA A	0.00	1.95	90.0	1.76	0.00	1.76
03/01/2018	FEDEWA, DARREN	AM FUNDS ICA A	0.00	1.14	90.0	1.03	0.00	1.03
03/01/2018	EKEL, NICHOLAS	AM FUNDS ICA A	0.00	0.66	90.0	0.59	0.00	0.59
03/01/2018	EKEL, LAUREN	AM FUNDS ICA A	0.00	0.97	90.0	0.87	0.00	0.87
03/01/2018	SAVAGE, ELIZABETH	AM FUNDS ICA A	0.00	31.90	90.0	28.71	0.00	28.71
03/01/2018	STOLL, DYLAN	AM FUNDS ICA A	0.00	2.35	90.0	2.12	0.00	2.12
03/01/2018	STOLL, JUSTIN	AM FUNDS ICA A	0.00	3.91	90.0	3.52	0.00	3.52
03/01/2018	NIXON, CAROLE	AM FUNDS ICA A	0.00	1.93	90.0	1.74	0.00	1.74
03/01/2018	HEHRER, SARAH	AM FUNDS ICA A	0.00	0.40	90.0	0.36	0.00	0.36
03/01/2018	MARTIN, SCOTT	AM FUNDS ICA A	0.00	10.33	90.0	9.30	0.00	9.30
03/01/2018	BYRNE, JAMIE	AM FUNDS ICA A	0.00	6.09	90.0	5.48	0.00	5.48
03/01/2018	HARROW, JEFFERY	AM FUNDS ICA A	0.00	1.18	90.0	1.06	0.00	1.06
03/01/2018	HOLDER, SETH	AM FUNDS ICA A	0.00	0.90	90.0	0.81	0.00	0.81
03/01/2018	PERRY, DOROTHY	AM FUNDS ICA A	0.00	4.49	90.0	4.04	0.00	4.04
03/01/2018	TYLER, TERRY	AM FUNDS ICA A	0.00	6.11	90.0	5.50	0.00	5.50
03/01/2018	SIEFFERT, JUDITH	AM FUNDS ICA A	0.00	33.42	90.0	30.08	0.00	30.08
03/01/2018	MI/TOD	AM FUNDS ICA A	0.00	8.80	90.0	7.92	0.00	7.92

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	BUSH, VICTORIA	AM FUNDS ICA A	0.00	0.65	90.0	0.59	0.00	0.59
03/01/2018	RECK, MAXINE	AM FUNDS ICA A	0.00	25.41	90.0	22.87	0.00	22.87
03/01/2018	SAVAGE, ELIZABETH	AM FUNDS ICA A	0.00	0.09	90.0	0.08	0.00	0.08
03/01/2018	BUTTARS, LISA	AM FUNDS ICA A	0.00	9.53	90.0	8.58	0.00	8.58
03/01/2018	BROWN, MORGAN	AM FUNDS ICA A	0.00	0.45	90.0	0.41	0.00	0.41
03/01/2018	BROWN, OLIVIA	AM FUNDS ICA A	0.00	0.45	90.0	0.41	0.00	0.41
03/01/2018	DOBISKI, MARLA	AM FUNDS ICA A	0.00	1.68	90.0	1.51	0.00	1.51
03/01/2018	PARKER, MICHELLE	AM FUNDS ICA A	0.00	7.83	90.0	7.05	0.00	7.05
03/01/2018	SCHLIEF, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.61	90.0	2.35	0.00	2.35
03/01/2018	FRUCHEY, DONNA	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.42	90.0	3.98	0.00	3.98
03/01/2018	RISLEY, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	88.06	90.0	79.25	0.00	79.25
03/01/2018	MCCARTHY, ROSEMARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.69	90.0	1.52	0.00	1.52
03/01/2018	NETHAWAY, BARBARA	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.84	90.0	3.46	0.00	3.46
03/01/2018	FRUCHEY, DONNA	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.22	90.0	4.70	0.00	4.70
03/01/2018	STOCKING, RODNEY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.29	90.0	1.16	0.00	1.16
03/01/2018	MI/TOD	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.16	90.0	1.04	0.00	1.04
03/01/2018	FRUCHEY/BENE, DONNA	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.92	90.0	3.53	0.00	3.53
03/01/2018	MARTIN, LISA	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.36	90.0	12.02	0.00	12.02
03/01/2018	RISLEY, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.45	90.0	7.61	0.00	7.61
03/01/2018	COON, GEORGANN	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.10	90.0	3.69	0.00	3.69
03/01/2018	CARPENTER, RUTH	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.89	90.0	3.50	0.00	3.50
03/01/2018	SAVAGE, ELIZABETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.70	90.0	15.03	0.00	15.03
03/01/2018	EBNIT TRUST 1, BETTY	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.19	90.0	6.47	0.00	6.47
03/01/2018	TOMAN, ALFRED	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.64	90.0	0.58	0.00	0.58
03/01/2018	TOMAN, LINDA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.96	90.0	1.76	0.00	1.76
03/01/2018	MI/TOD	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.38	90.0	8.44	0.00	8.44
03/01/2018	KIRBY, DAVID	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.05	90.0	0.95	0.00	0.95
03/01/2018	MULDER, TERESA	AM FUNDS INCOME FUND OF	0.00	2.48	90.0	2.23	0.00	2.23
03/01/2018	STOCKING, MORGAN	AM FUNDS MORTGAGE A	0.00	0.67	90.0	0.60	0.00	0.60
03/01/2018	BYRNE, JAMIE	AM FUNDS NEW PERSPECTIVE A	0.00	4.69	90.0	4.22	0.00	4.22
03/01/2018	FRUCHEY, DONNA	AM FUNDS NEW PERSPECTIVE A	0.00	2.57	90.0	2.31	0.00	2.31
03/01/2018	BAXTER, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	69.97	90.0	62.97	0.00	62.97
03/01/2018	GRUBAUGH, EDWARD	AM FUNDS NEW WORLD A	0.00	1.64	90.0	1.48	0.00	1.48

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	SPEERBRECKER, SPENCER	AM FUNDS NEW WORLD A	0.00	0.42	90.0	0.38	0.00	0.38
03/01/2018	ASHBAUGH, CATHARINE	AM FUNDS SMALLCAP WORLD A	0.00	0.61	90.0	0.55	0.00	0.55
03/01/2018	ASHBAUGH, CHRISTOPHER	AM FUNDS SMALLCAP WORLD A	0.00	1.26	90.0	1.13	0.00	1.13
03/01/2018	STOCKING, MORGAN	AM FUNDS TAX-EXEMPT BOND FUND OF	0.00	4.17	90.0	3.75	0.00	3.75
03/01/2018	BUKOVCIK/SEP, WILLIAM	AM FUNDS WASHINGTON MUTUAL	0.00	5.91	90.0	5.32	0.00	5.32
03/01/2018	GRUBAUGH/, AUDREY	AM FUNDS WASHINGTON MUTUAL	0.00	69.26	90.0	62.33	0.00	62.33
03/01/2018	GRUBAUGH, EDWARD	AM FUNDS WASHINGTON MUTUAL	0.00	12.17	90.0	10.95	0.00	10.95
03/01/2018	WREGGELSWORTH, JOY	AM FUNDS WASHINGTON MUTUAL	0.00	7.55	90.0	6.80	0.00	6.80
03/01/2018	STOCKING, MORGAN	AM FUNDS WASHINGTON MUTUAL	0.00	4.40	90.0	3.96	0.00	3.96
03/01/2018	STEVENS, PATRICIA	AM FUNDS WASHINGTON MUTUAL	0.00	36.23	90.0	32.61	0.00	32.61
03/01/2018	FRUCHEY, DONNA	AM FUNDS WASHINGTON MUTUAL	0.00	0.37	90.0	0.33	0.00	0.33
03/01/2018	MARTIN, SCOTT	AM FUNDS WASHINGTON MUTUAL	0.00	58.25	90.0	52.43	0.00	52.43
03/01/2018	MULDER, JAYDEN	AM FUNDS WASHINGTON MUTUAL	0.00	2.51	90.0	2.26	0.00	2.26
03/01/2018	RISLEY, ROBERT	AM FUNDS WASHINGTON MUTUAL	0.00	8.14	90.0	7.33	0.00	7.33
03/01/2018	COLE, RENIN	AM FUNDS WASHINGTON MUTUAL	0.00	0.33	90.0	0.30	0.00	0.30
03/01/2018	KIRBY, NICOLE	AM FUNDS WASHINGTON MUTUAL	0.00	1.22	90.0	1.10	0.00	1.10
03/01/2018	KIRBY, DAVE	AM FUNDS WASHINGTON MUTUAL	0.00	1.22	90.0	1.10	0.00	1.10
03/01/2018	FRUCHEY, JEFF	AM FUNDS WASHINGTON MUTUAL	0.00	2.27	90.0	2.04	0.00	2.04
03/01/2018	SPEERBRECKER, SPENCER	AM FUNDS WASHINGTON MUTUAL	0.00	2.02	90.0	1.82	0.00	1.82
03/01/2018	BESKO, BRADLEY	AM FUNDS WASHINGTON MUTUAL	0.00	1.17	90.0	1.05	0.00	1.05
03/01/2018	STUTZMAN, VICTORIA	AM FUNDS WASHINGTON MUTUAL	0.00	3.04	90.0	2.74	0.00	2.74
03/01/2018	STUTZMAN, ETHAN	AM FUNDS WASHINGTON MUTUAL	0.00	0.36	90.0	0.32	0.00	0.32
03/01/2018	PERRY, DOROTHY	AM FUNDS WASHINGTON MUTUAL	0.00	4.50	90.0	4.05	0.00	4.05
03/01/2018	TOMAN, ALFRED	AM FUNDS WASHINGTON MUTUAL	0.00	0.67	90.0	0.60	0.00	0.60
03/01/2018	TOMAN, LINDA	AM FUNDS WASHINGTON MUTUAL	0.00	2.08	90.0	1.87	0.00	1.87
03/01/2018	TYLER, TERRY	AM FUNDS WASHINGTON MUTUAL	0.00	6.12	90.0	5.51	0.00	5.51
03/01/2018	UNTIL AGE 18, MI/UTMA	AM FUNDS WASHINGTON MUTUAL	0.00	1.41	90.0	1.27	0.00	1.27
03/01/2018	UNTIL AGE 18, MI/UTMA	AM FUNDS WASHINGTON MUTUAL	0.00	1.41	90.0	1.27	0.00	1.27
03/01/2018	KIRBY, DAVID	AM FUNDS WASHINGTON MUTUAL	0.00	2.27	90.0	2.04	0.00	2.04
03/01/2018	SIEFFERT, JUDITH	AM FUNDS WASHINGTON MUTUAL	0.00	32.41	90.0	29.17	0.00	29.17
03/01/2018	SCHNEIDER, HANNAH	AM FUNDS WASHINGTON MUTUAL	0.00	0.23	90.0	0.21	0.00	0.21
03/01/2018	BUSH, VICTORIA	AM FUNDS WASHINGTON MUTUAL	0.00	0.64	90.0	0.58	0.00	0.58
03/01/2018	SAVAGE, ELIZABETH	AM FUNDS WASHINGTON MUTUAL	0.00	0.08	90.0	0.07	0.00	0.07

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
03/01/2018	BUTTARS, LISA	AM FUNDS WASHINGTON MUTUAL	0.00	9.64	90.0	8.68	0.00	8.68
03/01/2018	PARKER, MICHELLE	AM FUNDS WASHINGTON MUTUAL	0.00	8.04	90.0	7.24	0.00	7.24
03/01/2018	NURENBURG, JACOB	AM FUNDS WASHINGTON MUTUAL	0.00	4.85	90.0	4.37	0.00	4.37
03/01/2018	COON, GEORGANN	COLLEGE 2021 FUND-529A	0.00	11.89	90.0	10.70	0.00	10.70
03/01/2018	COON, GEORGANN	COLLEGE 2024 FUND - 529A	0.00	12.21	90.0	10.99	0.00	10.99
03/01/2018	COON, GEORGANN	COLLEGE 2027 FUND-529A	0.00	12.43	90.0	11.19	0.00	11.19
03/01/2018	BUKOVCIK, RONALD	COLLEGE 2033 FUND-529A	0.00	0.27	90.0	0.24	0.00	0.24
03/01/2018	THOMA, CHRIS	COLLEGE 2033 FUND-529A	0.00	0.16	90.0	0.14	0.00	0.14
03/01/2018	THOMA, CHRIS	COLLEGE 2033 FUND-529A	0.00	0.16	90.0	0.14	0.00	0.14
03/01/2018	HARRINGTON, HARPER	COLLEGE 2033 FUND-529A	0.00	12.40	90.0	11.16	0.00	11.16
03/01/2018	ACKELS, EMERSON	COLLEGE 2033 FUND-529A	0.00	2.43	90.0	2.19	0.00	2.19
03/01/2018	HOWARD, COHEN	COLLEGE 2033 FUND-529A	0.00	0.43	90.0	0.39	0.00	0.39
03/01/2018	HOWARD, COHEN	COLLEGE 2033 FUND-529A	0.00	0.43	90.0	0.39	0.00	0.39
/ /	BAESE, MARY BETH	HARTFORD BALANCED A	0.00	0.17	90.0	0.15	0.00	0.15
/ /	DARLING, KIMBERLY	HARTFORD BALANCED A	0.00	0.16	90.0	0.14	0.00	0.14
/ /	FOX, KERRI	HARTFORD BALANCED A	0.00	0.21	90.0	0.19	0.00	0.19
/ /	KIEFFER, DUSTIN	HARTFORD BALANCED ALLOCATION A	0.00	7.47	90.0	6.72	0.00	6.72
/ /	KIEFFER, KIRA	HARTFORD BALANCED ALLOCATION A	0.00	8.41	90.0	7.57	0.00	7.57
/ /	EVANS, ROGER	HARTFORD BALANCED ALLOCATION A	0.00	8.57	90.0	7.71	0.00	7.71
/ /	RADEMACHER, RICKEY	HARTFORD BALANCED ALLOCATION A	0.00	1.95	90.0	1.76	0.00	1.76
/ /	KIEFFER, PATRICIA	HARTFORD BALANCED ALLOCATION A	0.00	37.64	90.0	33.88	0.00	33.88
/ /	HASSE, RONALD	HARTFORD CAPITAL APP II A	0.00	2.05	90.0	1.85	0.00	1.85
/ /	HILTON, CYNTHIA	HARTFORD CAPITAL APP II A	0.00	3.82	90.0	3.44	0.00	3.44
/ /	BUKOVCIK, DANIEL	HARTFORD CAPITAL APP II A	0.00	7.63	90.0	6.87	0.00	6.87
/ /	THELEN, TODD	HARTFORD CAPITAL APP II A	0.00	0.43	90.0	0.39	0.00	0.39
/ /	THELEN, ROSANNE	HARTFORD CAPITAL APP II A	0.00	0.81	90.0	0.73	0.00	0.73
/ /	HILTON, CYNTHIA	HARTFORD CAPITAL APPRECIATION A	0.00	4.91	90.0	4.42	0.00	4.42
/ /	BUKOVCIK, DANIEL	HARTFORD CAPITAL APPRECIATION A	0.00	25.47	90.0	22.92	0.00	22.92
/ /	BAESE, MARY BETH	HARTFORD CAPITAL APPRECIATION A	0.00	2.09	90.0	1.88	0.00	1.88
/ /	PRICE, SARAH	HARTFORD CAPITAL APPRECIATION A	0.00	0.90	90.0	0.81	0.00	0.81
/ /	ZUNIGA, AMANDA	HARTFORD CAPITAL APPRECIATION A	0.00	14.24	90.0	12.82	0.00	12.82
/ /	HALFMAN, BRENDA	HARTFORD CAPITAL APPRECIATION A	0.00	0.51	90.0	0.46	0.00	0.46
/ /	THORNBURG, FREDERICK	HARTFORD CAPITAL APPRECIATION A	0.00	4.19	90.0	3.77	0.00	3.77

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	COOLEY, MARK	HARTFORD CAPITAL APPRECIATION A	0.00	0.23	90.0	0.21	0.00	0.21
/ /	DOUBRAVA, THOMAS	HARTFORD CAPITAL APPRECIATION A	0.00	1.08	90.0	0.97	0.00	0.97
/ /	RICHARDS, DEVIN	HARTFORD DISCIPLINED EQUITY A	0.00	2.55	90.0	2.30	0.00	2.30
/ /	FEDEWA, MICHAEL	HARTFORD DISCIPLINED EQUITY A	0.00	2.90	90.0	2.61	0.00	2.61
/ /	HILTON, CYNTHIA	HARTFORD DIVIDEND & GROWTH A	0.00	5.83	90.0	5.25	0.00	5.25
/ /	RICHARDS, AMBER	HARTFORD DIVIDEND & GROWTH A	0.00	1.14	90.0	1.03	0.00	1.03
/ /	RICHARDS, AMBER	HARTFORD DIVIDEND & GROWTH A	0.00	0.83	90.0	0.75	0.00	0.75
/ /	WEISMILLER, MARK	HARTFORD DIVIDEND & GROWTH A	0.00	3.25	90.0	2.93	0.00	2.93
/ /	LADISKI, BROOKE	HARTFORD DIVIDEND & GROWTH A	0.00	0.56	90.0	0.50	0.00	0.50
/ /	WEISMILLER, MARK	HARTFORD DIVIDEND & GROWTH A	0.00	1.72	90.0	1.55	0.00	1.55
/ /	WEISMILLER, KELSEY	HARTFORD DIVIDEND & GROWTH A	0.00	0.49	90.0	0.44	0.00	0.44
/ /	THORNBURG, FREDERICK	HARTFORD DIVIDEND & GROWTH A	0.00	6.45	90.0	5.81	0.00	5.81
/ /	FEDEWA, MICHAEL	HARTFORD DIVIDEND & GROWTH A	0.00	3.46	90.0	3.11	0.00	3.11
/ /	THELEN, TODD	HARTFORD DIVIDEND & GROWTH A	0.00	1.21	90.0	1.09	0.00	1.09
/ /	THELEN, ROSANNE	HARTFORD DIVIDEND & GROWTH A	0.00	2.27	90.0	2.04	0.00	2.04
/ /	BUKOVCIK/SEP, WILLIAM	HARTFORD GROWTH OPP A	0.00	1.80	90.0	1.62	0.00	1.62
/ /	SCHLIEF, MICHAEL	HARTFORD GROWTH OPP A	0.00	6.07	90.0	5.46	0.00	5.46
/ /	WOODRUFF, RONALD	HARTFORD GROWTH OPP A	0.00	3.13	90.0	2.82	0.00	2.82
/ /	SQUIRES, YVONNE	HARTFORD GROWTH OPP A	0.00	12.83	90.0	11.55	0.00	11.55
/ /	SQUIRES, LARRY	HARTFORD GROWTH OPP A	0.00	12.44	90.0	11.20	0.00	11.20
/ /	BUKOVCIK, DANIEL	HARTFORD GROWTH OPP A	0.00	64.15	90.0	57.74	0.00	57.74
/ /	BAESE, MARY BETH	HARTFORD GROWTH OPP A	0.00	0.39	90.0	0.35	0.00	0.35
/ /	LADISKI, BREANNA	HARTFORD GROWTH OPP A	0.00	0.95	90.0	0.86	0.00	0.86
/ /	BROWN, JACK	HARTFORD GROWTH OPP A	0.00	1.16	90.0	1.04	0.00	1.04
/ /	SIEFFERT, DONALD	HARTFORD GROWTH OPP A	0.00	9.16	90.0	8.24	0.00	8.24
/ /	FEDEWA, MICHAEL	HARTFORD GROWTH OPP A	0.00	1.08	90.0	0.97	0.00	0.97
/ /	BROWN, TUCKER	HARTFORD GROWTH OPP A	0.00	1.16	90.0	1.04	0.00	1.04
/ /	CASLER, SUSAN	HARTFORD GROWTH OPP A	0.00	0.50	90.0	0.45	0.00	0.45
/ /	DARLING, KIMBERLY	HARTFORD GROWTH OPP A	0.00	9.95	90.0	8.96	0.00	8.96
/ /	FOX, KERRI	HARTFORD GROWTH OPP A	0.00	16.23	90.0	14.61	0.00	14.61
/ /	SIEFFERT, DONALD	HARTFORD INFLATION PLUS A	0.00	8.26	90.0	7.43	0.00	7.43
/ /	HOLCOMB, BRUCE (DCD)	HARTFORD INFLATION PLUS A	0.00	0.77	90.0	0.69	0.00	0.69
/ /	THORNBURG, FREDERICK	HARTFORD INFLATION PLUS A	0.00	2.84	90.0	2.56	0.00	2.56

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	BATES, JAMES	HARTFORD INFLATION PLUS A	0.00	5.66	90.0	5.09	0.00	5.09
/ /	SCOTT, FRANCES	HARTFORD INFLATION PLUS A	0.00	1.00	90.0	0.90	0.00	0.90
/ /	WEISMILLER, MARK	HARTFORD INT'L GROWTH A	0.00	2.17	90.0	1.95	0.00	1.95
/ /	WEISMILLER, MARK	HARTFORD INT'L GROWTH A	0.00	1.18	90.0	1.06	0.00	1.06
/ /	WEISMILLER, KELSEY	HARTFORD INT'L GROWTH A	0.00	0.37	90.0	0.33	0.00	0.33
/ /	RICHARDS, AMBER	HARTFORD MIDCAP VALUE A	0.00	1.72	90.0	1.55	0.00	1.55
/ /	RICHARDS, AMBER	HARTFORD MIDCAP VALUE A	0.00	1.32	90.0	1.19	0.00	1.19
/ /	BAESE, MARY BETH	HARTFORD STRATEGIC INCOME FUND A	0.00	0.28	90.0	0.25	0.00	0.25
/ /	SIEFFERT, DONALD	HARTFORD TOTAL RETURN BOND A	0.00	6.13	90.0	5.52	0.00	5.52
/ /	LEE, EUSTAQUIA	WVA AGGR GROWTH PORTFOLIO A	0.00	0.48	90.0	0.43	0.00	0.43
/ /	STUTZMAN, KAREN	WVA CHECKS AND BALS PORT A	0.00	0.05	90.0	0.05	0.00	0.05
/ /	STUTZMAN, KAREN	WVA CHECKS AND BALS PORT A	0.00	0.08	90.0	0.07	0.00	0.07
/ /	O'MALEY, SHIRLEY	WVA GROWTH PORTFOLIO A	0.00	0.34	90.0	0.31	0.00	0.31
/ /	O'MALEY, SHIRLEY	WVA GROWTH PORTFOLIO A	0.00	0.34	90.0	0.31	0.00	0.31
/ /	O'MALEY, SHIRLEY	WVA GROWTH PORTFOLIO A	0.00	2.09	90.0	1.88	0.00	1.88
/ /	O'MALEY, SHIRLEY	WVA GROWTH PORTFOLIO A	0.00	0.34	90.0	0.31	0.00	0.31
/ /	O'MALEY, SHIRLEY	WVA GROWTH PORTFOLIO A	0.00	0.31	90.0	0.28	0.00	0.28
/ /	MCHALLAM, MYA	WVA HARTFORD DIV AND GROWTH 529 A	0.00	12.21	90.0	10.99	0.00	10.99
/ /	STUTZMAN, KAREN	WVA HARTFORD EQTY INCOME 529 FD A	0.00	1.29	90.0	1.16	0.00	1.16
/ /	STUTZMAN, KAREN	WVA HARTFORD EQTY INCOME 529 FD A	0.00	0.34	90.0	0.31	0.00	0.31
/ /	STUTZMAN, KAREN	WVA HARTFORD EQTY INCOME 529 FD A	0.00	2.48	90.0	2.23	0.00	2.23
/ /	STUTZMAN, KAREN	WVA HARTFORD EQTY INCOME 529 FD A	0.00	0.48	90.0	0.43	0.00	0.43
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,117.91		\$2,806.37	\$0.00	\$2,806.37
*** DIRECT TRANSACTIONS TOTAL ***			\$25,858,105.75	\$16,866.48		\$15,180.20	\$0.00	\$15,180.20

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
03/15/2018		*: TECHNOLOGY ALBRIDGE - LIGHT	0.00					-76.00
03/15/2018		*: TECHNOLOGY LASER APP	0.00					-18.00
03/15/2018		*: TECHNOLOGYAI INSIGHT	0.00					-7.00
03/15/2018		*E & O INSURANCE: CURRENT MONTH	0.00					-300.00
03/15/2018		*TECH: CURRENT MONTH TECH-GAVIN	0.00					-135.00
03/15/2018		*TECHNOLOGY: BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-716.00	\$0.00	\$-716.00

BROKER COMMISSION TOTALS

Broker Transactions	\$15,180.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-716.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14,464.20
Year-to-date Gross	\$54,947.59
Year-to-date Earnings	\$49,503.54

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed