

**COMMISSION STATEMENT****December 15, 2017**

JEFFREY KIESNOSKI
 417 East Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: K141
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/07/2017	DEMIAN, CAROLE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.08	0.00	3.08	94.0	2.90
11/13/2017	FROH, PAULA SANDRA	FRANKLIN OREGON TAX- FREE INCOME FU	0.00	0.29	0.00	0.29	94.0	0.27
11/22/2017	DEMIAN, CAROLE	JP MORGAN CORE BOND A	0.00	2.86	0.00	2.86	94.0	2.69
11/22/2017	DEMIAN, CAROLE	JP MORGAN INCOME BUILDER FUND CLASS	0.00	0.73	0.00	0.73	94.0	0.69
11/20/2017	COREY, JEFFREY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.37	0.00	0.37	94.0	0.35
11/14/2017	FROH, PAULA SANDRA	MFS GLOBAL EQUITY A	0.00	2.32	0.00	2.32	94.0	2.18
11/14/2017	FROH, PAULA SANDRA	PRU JENNISON GROWTH	0.00	1.09	0.00	1.09	94.0	1.02
11/16/2017	FROH, PAULA SANDRA	PUTNAM EQUITY INCOME A	0.00	1.05	0.00	1.05	94.0	0.99
11/16/2017	FROH, PAULA SANDRA	PUTNAM GLOBAL HEALTH CARE A	0.00	0.11	0.00	0.11	94.0	0.10
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$11.90	\$0.00	\$11.90		\$11.19
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$11.90	\$0.00	\$11.90		\$11.19

SPLIT TRANSACTIONS**BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #10**

11/15/2017	RASMUSSEN, MELANIE	ASCENSUS NY 529 PLAN	0.00	0.76	0.00	0.76	94.0	0.71
11/15/2017	RASMUSSEN, MELANIE	ASCENSUS NY 529 PLAN	0.00	0.25	0.00	0.25	94.0	0.24
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$0.00	\$1.01	\$0.00	\$1.01		\$0.95

BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251

11/07/2017	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.67	0.00	2.67	94.0	2.51
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$2.67	\$0.00	\$2.67		\$2.51

BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252

11/07/2017	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.34	0.00	1.34	94.0	1.26
11/07/2017	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.19	0.00	3.19	94.0	3.00
11/07/2017	WYFFELS, ALAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.85	0.00	3.85	94.0	3.62

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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u>								
11/07/2017	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.34	0.00	1.34	94.0	1.26
11/20/2017	LAGALA, JASON	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.32	0.00	4.32	94.0	4.06
11/20/2017	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.26	0.00	0.26	94.0	0.24
11/20/2017	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.22	0.00	0.22	94.0	0.21
11/20/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.23	0.00	1.23	94.0	1.16
11/20/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.29	0.00	0.29	94.0	0.27
11/20/2017	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.32	0.00	0.32	94.0	0.30
11/20/2017	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.16	0.00	0.16	94.0	0.15
12/08/2017	LAGALA, JAMES	OMEROS CORP COM	877.71	15.00	11.25	3.75	94.0	3.53
11/17/2017	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.12	0.00	0.12	94.0	0.11
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$877.71	\$31.64	\$11.25	\$20.39		\$19.17
<u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u>								
11/07/2017	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.88	0.00	2.88	94.0	2.71
11/07/2017	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.27	0.00	1.27	94.0	1.19
11/07/2017	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.08	0.00	3.08	94.0	2.90
11/22/2017	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	1.62	0.00	1.62	94.0	1.52
11/22/2017	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	6.49	0.00	6.49	94.0	6.10
11/10/2017	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	3.93	0.00	3.93	94.0	3.69
11/20/2017	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	1.54	0.00	1.54	94.0	1.45
11/20/2017	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.55	0.00	2.55	94.0	2.40
11/22/2017	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.84	0.00	0.84	94.0	0.79
11/20/2017	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.35	0.00	1.35	94.0	1.27
11/20/2017	CHEW, ROLAND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.27	0.00	1.27	94.0	1.19
11/20/2017	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.23	0.00	0.23	94.0	0.22
11/20/2017	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.18	0.00	2.18	94.0	2.05
11/20/2017	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.28	0.00	0.28	94.0	0.26
11/20/2017	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.70	0.00	1.70	94.0	1.60
11/20/2017	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.09	0.00	1.09	94.0	1.02
11/20/2017	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.61	0.00	0.61	94.0	0.57
11/20/2017	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.35	0.00	1.35	94.0	1.27
11/16/2017	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	16.00	0.00	16.00	94.0	15.04
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			\$0.00	\$50.26	\$0.00	\$50.26		\$47.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
11/20/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.04	0.00	0.04	94.0	0.04
12/01/2017	VOGLIO, ANNAMARIE	GENERAL ELECTRIC CO COM	448.00	3.75	3.38	0.37	94.0	0.35
11/16/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.09	0.00	0.09	94.0	0.08
11/16/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.15	0.00	0.15	94.0	0.14
12/01/2017	VOGLIO, ANNAMARIE	ISHARES TR NORTH AMERN TECH ETF	1,002.96	3.75	3.38	0.37	94.0	0.35
11/22/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.14	0.00	0.14	94.0	0.13
11/22/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.26	0.00	0.26	94.0	0.24
11/22/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.11	0.00	0.11	94.0	0.10
11/22/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.11	0.00	0.11	94.0	0.10
11/22/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.21	0.00	0.21	94.0	0.20
11/22/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.34	0.00	1.34	94.0	1.26
11/22/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.19	0.00	1.19	94.0	1.12
11/22/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.12	0.00	0.12	94.0	0.11
11/22/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.13	0.00	0.13	94.0	0.12
11/22/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.09	0.00	0.09	94.0	0.08
11/20/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.07	0.00	0.07	94.0	0.07
11/10/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.15	0.00	0.15	94.0	0.14
11/10/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.71	0.00	0.71	94.0	0.67
11/22/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.33	0.00	0.33	94.0	0.31
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$1,450.96	\$12.74	\$6.76	\$5.98		\$5.61
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
12/05/2017	GROVA, BARBARA	ARMOUR RESIDENTIAL REIT INC COM NEW	-4,804.67	6.25	5.63	0.62	94.0	0.58
12/05/2017	GROVA, BARBARA	BLACKSTONE / GSO STRATEGIC CR FD CO	-2,373.38	6.25	5.63	0.62	94.0	0.58
11/09/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	4.55	0.00	4.55	94.0	4.28
11/07/2017	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	14.50	0.00	14.50	94.0	13.63
11/07/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.40	0.00	1.40	94.0	1.32
12/04/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	-27,141.00	5.50	5.50	0.00	94.0	0.00
12/05/2017	GROVA, BARBARA	GLOBAL NET LEASE INC COM	-10,738.75	6.25	5.69	0.56	94.0	0.53
11/22/2017	VALERI, JOSEPH	GPB AUTOMOTIVE PORTFOLIO LP	200,000.00	3,500.00	0.00	3,500.00	94.0	3,290.00
12/12/2017	REVERE, TERRENCE	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	1,750.00	0.00	1,750.00	94.0	1,645.00
11/16/2017	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.51	0.00	0.51	94.0	0.48
11/20/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.12	0.00	3.12	94.0	2.93
11/20/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.28	0.00	1.28	94.0	1.20

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
11/20/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.07	0.00	0.07	94.0	0.07
11/20/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.13	0.00	2.13	94.0	2.00
11/20/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.57	0.00	0.57	94.0	0.54
11/20/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.58	0.00	2.58	94.0	2.43
11/20/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.78	0.00	0.78	94.0	0.73
11/20/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.09	0.00	4.09	94.0	3.84
11/20/2017	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.22	0.00	2.22	94.0	2.09
11/20/2017	STARK, LINDA	MAINSTAY MAP A	0.00	0.50	0.00	0.50	94.0	0.47
11/16/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	2.52	0.00	2.52	94.0	2.37
12/04/2017	MAKRIDES, MARIE	VANECK VECTORS ETF TR JR GOLD MINER	-19,293.04	12.50	5.73	6.77	94.0	6.36
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$235,649.16	\$5,327.57	\$28.18	\$5,299.39		\$4,981.43
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
11/20/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.15	0.00	0.15	94.0	0.14
11/07/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.57	0.00	4.57	94.0	4.30
11/07/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.73	0.00	0.73	94.0	0.69
11/07/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.45	0.00	2.45	94.0	2.30
11/07/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.38	0.00	3.38	94.0	3.18
11/24/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	1.68	0.00	1.68	94.0	1.58
12/07/2017	CAVEGN, JOSEPH	FS INVT CORP COM	-8,660.85	50.00	11.81	38.19	94.0	35.90
12/07/2017	CAVEGN, JOSEPH	GLOBAL NET LEASE INC COM	-73,423.45	50.00	13.50	36.50	94.0	34.31
11/20/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.02	0.00	2.02	94.0	1.90
11/20/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.51	0.00	4.51	94.0	4.24
11/20/2017	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.25	0.00	0.25	94.0	0.24
11/20/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.74	0.00	2.74	94.0	2.58
11/20/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.91	0.00	1.91	94.0	1.80
11/29/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	-3,500.00	0.00	0.00	0.00	94.0	0.00
11/29/2017	BRANDT, GEORGE	MAINSTAY LARGE CAP GROWTH	-2,600.00	0.00	11.00	-11.00	94.0	-10.34
11/10/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.89	0.00	4.89	94.0	4.60
12/06/2017	GALGANO, ROBERT	PREFERRED APT CMNTYS INC COM	-12,657.24	50.00	11.44	38.56	94.0	36.25
11/15/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	1.50	0.00	1.50	94.0	1.41
11/15/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	1.52	0.00	1.52	94.0	1.43
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$-100,841.54	\$182.30	\$47.75	\$134.55		\$126.51

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
11/07/2017	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.62	0.00	3.62	94.0	3.40
11/20/2017	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.68	0.00	0.68	94.0	0.64
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$4.30	\$0.00	\$4.30		\$4.04
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
11/22/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.52	0.00	0.52	94.0	0.49
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.52	\$0.00	\$0.52		\$0.49
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
11/20/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.03	0.00	0.03	94.0	0.03
11/17/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.77	0.00	0.77	94.0	0.72
11/17/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.19	0.00	2.19	94.0	2.06
11/17/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.45	0.00	1.45	94.0	1.36
11/17/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.05	0.00	1.05	94.0	0.99
11/17/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.01	0.00	0.01	94.0	0.01
11/17/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.01	0.00	0.01	94.0	0.01
11/16/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.16	0.00	0.16	94.0	0.15
11/16/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.39	0.00	0.39	94.0	0.37
11/16/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.45	0.00	1.45	94.0	1.36
11/16/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.35	0.00	4.35	94.0	4.09
11/16/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.40	0.00	0.40	94.0	0.38
11/16/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.19	0.00	1.19	94.0	1.12
11/16/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.50	0.00	0.50	94.0	0.47
11/16/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.49	0.00	1.49	94.0	1.40
11/16/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.33	0.00	0.33	94.0	0.31
11/16/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.00	0.00	1.00	94.0	0.94
11/16/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.40	0.00	0.40	94.0	0.38
11/16/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.19	0.00	1.19	94.0	1.12
11/16/2017	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.41	0.00	1.41	94.0	1.33
11/16/2017	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.24	0.00	4.24	94.0	3.99
11/16/2017	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.25	0.00	0.25	94.0	0.24
11/16/2017	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.39	0.00	0.39	94.0	0.37
11/16/2017	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.68	0.00	0.68	94.0	0.64
11/16/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.54	0.00	0.54	94.0	0.51

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
11/16/2017	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.46	0.00	0.46	94.0	0.43
11/16/2017	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.65	0.00	0.65	94.0	0.61
11/16/2017	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.95	0.00	1.95	94.0	1.83
11/21/2017	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	0.00	0.01	0.00	0.01	94.0	0.01
11/21/2017	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	0.00	0.01	0.00	0.01	94.0	0.01
11/20/2017	CAMPBELL, CHARLES	PRINCIPAL FUNDS HIGH YIELD II A	0.00	0.00	0.00	0.00	94.0	0.00
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$0.00	\$28.95	\$0.00	\$28.95		\$27.24
<u>BROKER: M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR #277</u>								
11/16/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.32	0.00	1.32	94.0	1.24
11/16/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.96	0.00	3.96	94.0	3.72
* M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR SUBTOTAL *			\$0.00	\$5.28	\$0.00	\$5.28		\$4.96
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
11/20/2017	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.01	0.00	0.01	94.0	0.01
11/10/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.09	0.00	1.09	94.0	1.02
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$0.00	\$1.10	\$0.00	\$1.10		\$1.03
<u>BROKER: C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR #400</u>								
11/20/2017	ROULHAC, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	0.51	0.00	0.51	94.0	0.48
11/20/2017	ROULHAC, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.18	0.00	0.18	94.0	0.17
11/20/2017	ROULHAC, DEBRA	CENTER COAST MLP FOCUS FUND CLASS A	0.00	0.09	0.00	0.09	94.0	0.08
11/16/2017	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.46	0.00	0.46	94.0	0.43
11/16/2017	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.33	0.00	0.33	94.0	0.31
* C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR SUBTOTAL *			\$0.00	\$1.57	\$0.00	\$1.57		\$1.47
*** SPLIT TRANSACTIONS TOTAL ***			\$137,136.29	\$5,649.91	\$93.94	\$5,555.97		\$5,222.65

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #10</u>								
11/28/2017	GOLDBERG, SCOTT	ASCENSUS WVA AGE-BASED PF 12-13 A	400.00	10.00	0.00	10.00	90.0	0.17
11/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.13
11/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
* MARC LINSKY SUBTOTAL *			\$850.00	\$21.25	\$0.00	\$21.25		\$0.36
<u>BROKER: ROY PFLEGER II #244</u>								
11/16/2017	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.40	0.00	1.40	75.0	0.18
* ROY PFLEGER II SUBTOTAL *			\$0.00	\$1.40	\$0.00	\$1.40		\$0.18
<u>BROKER: ALAN LICHTENSTEIN #249</u>								
11/22/2017	RUSSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.30	0.00	3.30	75.0	0.41
11/20/2017	RUSSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.20	0.00	2.20	75.0	0.28
* ALAN LICHTENSTEIN SUBTOTAL *			\$0.00	\$5.50	\$0.00	\$5.50		\$0.69
<u>BROKER: JOHN KLETT #250</u>								
11/22/2017	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	7.68	0.00	7.68	75.0	0.96
11/16/2017	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	4.08	0.00	4.08	75.0	0.51
11/16/2017	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.17	0.00	6.17	75.0	0.77
11/20/2017	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.12	0.00	5.12	75.0	0.64
* JOHN KLETT SUBTOTAL *			\$0.00	\$23.05	\$0.00	\$23.05		\$2.88
<u>BROKER: MARC LINSKY #263</u>								
11/09/2017	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.90	0.00	0.90	90.0	0.02
11/09/2017	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	12.54	0.00	12.54	90.0	0.21
11/17/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.19	0.00	4.19	90.0	0.07
12/01/2017	BENDICK, ETHEL	AM FUNDS AMCAP 529A	0.00	3.02	0.00	3.02	90.0	0.05
11/20/2017	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	3.90	0.00	3.90	90.0	0.07
11/20/2017	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	6.51	0.00	6.51	90.0	0.11
11/20/2017	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.42	0.00	0.42	90.0	0.01
12/01/2017	CANUSO, CANDACE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.29	0.00	1.29	90.0	0.02
12/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.59	0.00	0.59	90.0	0.01
12/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.37	0.00	1.37	90.0	0.02
12/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.37	0.00	1.37	90.0	0.02
12/01/2017	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.90	0.00	0.90	90.0	0.02
12/01/2017	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.90	0.00	0.90	90.0	0.02

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC LINSKY #263 </u>								
12/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	10.21	0.00	10.21	90.0	0.17
12/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	0.00	118.81	0.00	118.81	90.0	2.02
12/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.62	0.00	3.62	90.0	0.06
12/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.62	0.00	3.62	90.0	0.06
11/20/2017	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.82	0.00	1.82	90.0	0.03
11/20/2017	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.49	0.00	0.49	90.0	0.01
11/20/2017	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.49	0.00	0.49	90.0	0.01
11/20/2017	APPLEGATE, PAMAELA	AM FUNDS AMERICAN BALANCED A	0.00	1.00	0.00	1.00	90.0	0.02
12/01/2017	MORETTI, VINCENT	AM FUNDS AMERICAN BALANCED A	0.00	3.47	0.00	3.47	90.0	0.06
12/01/2017	MORETTI, GINA	AM FUNDS AMERICAN BALANCED A	0.00	3.59	0.00	3.59	90.0	0.06
12/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.58	0.00	0.58	90.0	0.01
12/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.44	0.00	1.44	90.0	0.02
12/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.44	0.00	1.44	90.0	0.02
12/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	0.00	124.27	0.00	124.27	90.0	2.11
12/01/2017	CANUSO, CANDACE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.83	0.00	0.83	90.0	0.01
12/01/2017	ALTMAN, SHARON	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.75	0.00	1.75	90.0	0.03
12/01/2017	BENDICK, ETHEL	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.82	0.00	1.82	90.0	0.03
12/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	6.12	0.00	6.12	90.0	0.10
12/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.02	0.00	1.02	90.0	0.02
12/01/2017	ALTMAN, SHARON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.06	0.00	2.06	90.0	0.04
12/01/2017	BENDICK, ETHEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.27	0.00	2.27	90.0	0.04
11/20/2017	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.00	0.00	1.00	90.0	0.02
11/20/2017	APPLEGATE, PAMAELA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.82	0.00	0.82	90.0	0.01
12/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.21	0.00	7.21	90.0	0.12
12/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.19	0.00	1.19	90.0	0.02
12/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	8.23	0.00	8.23	90.0	0.14
12/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	8.06	0.00	8.06	90.0	0.14
12/01/2017	ALTMAN, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.73	0.00	3.73	90.0	0.06
12/01/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.76	0.00	0.76	90.0	0.01
12/01/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.48	0.00	0.48	90.0	0.01
12/01/2017	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.36	0.00	1.36	90.0	0.02
12/01/2017	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.36	0.00	1.36	90.0	0.02
12/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	12.98	0.00	12.98	90.0	0.22

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<u>BROKER: MARC LINSKY #263</u>								
12/01/2017	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	118.61	0.00	118.61	90.0	2.02
12/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.84	0.00	3.84	90.0	0.07
12/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.84	0.00	3.84	90.0	0.07
11/20/2017	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.88	0.00	3.88	90.0	0.07
11/20/2017	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.09	0.00	3.09	90.0	0.05
11/20/2017	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.30	0.00	1.30	90.0	0.02
11/20/2017	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.73	0.00	0.73	90.0	0.01
11/20/2017	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.82	0.00	2.82	90.0	0.05
12/01/2017	CENTANNI, RICHARD	AM FUNDS COLLEGE 2030 FUND 529A	0.00	7.52	0.00	7.52	90.0	0.13
11/20/2017	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.93	0.00	14.93	90.0	0.25
12/01/2017	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	8.72	0.00	8.72	90.0	0.15
12/01/2017	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.74	0.00	0.74	90.0	0.01
11/20/2017	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.56	0.00	1.56	90.0	0.03
12/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	10.42	0.00	10.42	90.0	0.18
12/01/2017	CANUSO, CANDACE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.31	0.00	8.31	90.0	0.14
12/01/2017	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.42	0.00	3.42	90.0	0.06
12/01/2017	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.16	0.00	3.16	90.0	0.05
12/01/2017	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.79	0.00	0.79	90.0	0.01
12/01/2017	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.90	0.00	0.90	90.0	0.02
11/20/2017	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.90	0.00	0.90	90.0	0.02
11/20/2017	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.61	0.00	4.61	90.0	0.08
11/20/2017	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.53	0.00	1.53	90.0	0.03
11/20/2017	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.31	0.00	6.31	90.0	0.11
11/20/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.62	0.00	4.62	90.0	0.08
11/20/2017	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.80	0.00	1.80	90.0	0.03
11/20/2017	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.39	0.00	0.39	90.0	0.01
11/20/2017	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.96	0.00	0.96	90.0	0.02
11/20/2017	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.42	0.00	1.42	90.0	0.02
11/20/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.65	0.00	0.65	90.0	0.01
11/20/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.07	0.00	1.07	90.0	0.02
11/20/2017	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.65	0.00	0.65	90.0	0.01
12/01/2017	MORETTI, VINCENT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.09	0.00	4.09	90.0	0.07
12/01/2017	MORETTI, GINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.31	0.00	4.31	90.0	0.07

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
11/20/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.72	0.00	2.72	90.0	0.05
11/20/2017	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.67	0.00	2.67	90.0	0.05
11/20/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.47	0.00	5.47	90.0	0.09
11/20/2017	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.56	0.00	5.56	90.0	0.09
11/20/2017	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.52	0.00	1.52	90.0	0.03
11/20/2017	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	9.08	0.00	9.08	90.0	0.15
11/20/2017	LINSKY, MARC	AM FUNDS ICA A	0.00	0.43	0.00	0.43	90.0	0.01
11/20/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.58	0.00	0.58	90.0	0.01
11/20/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.94	0.00	0.94	90.0	0.02
11/20/2017	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.57	0.00	0.57	90.0	0.01
11/20/2017	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.58	0.00	0.58	90.0	0.01
11/20/2017	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.58	0.00	0.58	90.0	0.01
11/20/2017	APPLEGATE, PAMAELA	AM FUNDS ICA A	0.00	1.02	0.00	1.02	90.0	0.02
11/20/2017	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.46	0.00	5.46	90.0	0.09
11/20/2017	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	12.75	0.00	12.75	90.0	0.22
11/20/2017	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	4.95	0.00	4.95	90.0	0.08
11/20/2017	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.74	0.00	4.74	90.0	0.08
11/20/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.03	0.00	5.03	90.0	0.09
11/20/2017	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.41	0.00	4.41	90.0	0.07
11/20/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.24	0.00	21.24	90.0	0.36
11/20/2017	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.15	0.00	3.15	90.0	0.05
12/01/2017	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.69	0.00	0.69	90.0	0.01
12/01/2017	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.69	0.00	0.69	90.0	0.01
12/01/2017	ALTMAN, SHARON	AM FUNDS INTL GROWTH AND INCOME 529	0.00	1.44	0.00	1.44	90.0	0.02
12/01/2017	ALTMAN, SHARON	AM FUNDS NEW ECONOMY 529A	0.00	3.37	0.00	3.37	90.0	0.06
12/01/2017	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.54	0.00	0.54	90.0	0.01
12/01/2017	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.67	0.00	0.67	90.0	0.01
11/20/2017	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.77	0.00	0.77	90.0	0.01
11/20/2017	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.77	0.00	0.77	90.0	0.01
11/20/2017	APPLEGATE, PAMAELA	AM FUNDS NEW PERSPECTIVE A	0.00	1.11	0.00	1.11	90.0	0.02
12/01/2017	CANUSO, CANDACE	AM FUNDS NEW WORLD 529A	0.00	6.76	0.00	6.76	90.0	0.11
11/20/2017	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.58	0.00	1.58	90.0	0.03
12/01/2017	CANUSO, CANDACE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.42	0.00	0.42	90.0	0.01

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<u>VERRIDE TRANSACTIONS</u>								
BROKER: MARC LINSKY #263								
12/01/2017	ALTMAN, SHARON	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.14	0.00	1.14	90.0	0.02
12/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	6.04	0.00	6.04	90.0	0.10
12/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.55	0.00	2.55	90.0	0.04
12/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.55	0.00	2.55	90.0	0.04
11/20/2017	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.82	0.00	5.82	90.0	0.10
11/20/2017	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.47	0.00	1.47	90.0	0.02
11/20/2017	COHEN, PAUL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.46	0.00	2.46	90.0	0.04
11/20/2017	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.42	0.00	0.42	90.0	0.01
11/20/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.57	0.00	0.57	90.0	0.01
11/20/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.94	0.00	0.94	90.0	0.02
11/20/2017	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.57	0.00	0.57	90.0	0.01
12/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.13	0.00	7.13	90.0	0.12
12/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.90	0.00	6.90	90.0	0.12
12/01/2017	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.52	0.00	0.52	90.0	0.01
12/01/2017	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.64	0.00	0.64	90.0	0.01
11/17/2017	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	3.53	0.00	3.53	90.0	0.06
12/01/2017	ARMSTRONG, CARTER	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	2.25	0.00	2.25	90.0	0.04
12/01/2017	CENTANNI, RICHARD	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	4.21	0.00	4.21	90.0	0.07
12/08/2017	APPLEBAUM, RHEA	APPLE INC COM	-2,369.25	30.00	22.50	7.50	90.0	0.13
/ /	GOLDBERG, SCOTT	ASCENSUS WVA AGE-BASED PF 12-13 A	0.00	7.17	0.00	7.17	90.0	0.12
11/22/2017	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	18.42	0.00	18.42	90.0	0.31
11/17/2017	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.47	0.00	1.47	90.0	0.02
11/17/2017	DUBIN, JON	CALAMOS GROWTH A	0.00	4.61	0.00	4.61	90.0	0.08
12/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	7.06	0.00	7.06	90.0	0.12
12/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.56	0.00	2.56	90.0	0.04
12/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.56	0.00	2.56	90.0	0.04
11/22/2017	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.09	0.00	1.09	90.0	0.02
11/22/2017	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	15.70	0.00	15.70	90.0	0.27
11/22/2017	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	8.05	0.00	8.05	90.0	0.14
11/22/2017	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.85	0.00	2.85	90.0	0.05
11/22/2017	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.18	0.00	1.18	90.0	0.02
11/22/2017	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.30	0.00	0.30	90.0	0.01
11/22/2017	MEDIA ENTERPRISES	COLUMBIA LARGE CAP GROWTH A	0.00	0.34	0.00	0.34	90.0	0.01

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC LINSKY #263 </u>								
11/22/2017	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.95	0.00	0.95	90.0	0.02
11/22/2017	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.45	0.00	2.45	90.0	0.04
11/22/2017	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.08	0.00	1.08	90.0	0.02
11/22/2017	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	11.81	0.00	11.81	90.0	0.20
11/22/2017	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.44	0.00	2.44	90.0	0.04
12/06/2017	DAMICO, JOSEPH	COTTONWOOD RESIDENTIAL 2016 PREFR'D	100,000.00	7,000.00	0.00	7,000.00	90.0	119.00
11/30/2017	LINSKY, MARC	CPLUS ASSURANCE LSHR	0.00	35.70	0.00	35.70	90.0	0.61
11/13/2017	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.42	0.00	0.42	90.0	0.01
11/13/2017	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.56	0.00	0.56	90.0	0.01
11/22/2017	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.35	0.00	3.35	90.0	0.06
11/22/2017	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	63.94	0.00	63.94	90.0	1.09
11/17/2017	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.78	0.00	0.78	90.0	0.01
11/16/2017	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.14	0.00	1.14	90.0	0.02
11/16/2017	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.35	0.00	0.35	90.0	0.01
11/20/2017	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.87	0.00	7.87	90.0	0.13
11/20/2017	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.97	0.00	8.97	90.0	0.15
11/20/2017	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.56	0.00	1.56	90.0	0.03
11/20/2017	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	6.14	0.00	6.14	90.0	0.10
11/20/2017	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.39	0.00	2.39	90.0	0.04
11/13/2017	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	14.83	0.00	14.83	90.0	0.25
11/13/2017	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	3.19	0.00	3.19	90.0	0.05
11/13/2017	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.18	0.00	1.18	90.0	0.02
11/13/2017	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.51	0.00	5.51	90.0	0.09
11/13/2017	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.81	0.00	0.81	90.0	0.01
11/13/2017	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.59	0.00	0.59	90.0	0.01
11/13/2017	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.84	0.00	2.84	90.0	0.05
11/22/2017	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	16.21	0.00	16.21	90.0	0.28
11/22/2017	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.65	0.00	0.65	90.0	0.01
11/22/2017	DAMICO, JOSEPH	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	90.0	119.00
11/16/2017	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.85	0.00	4.85	90.0	0.08
11/16/2017	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.56	0.00	14.56	90.0	0.25
11/16/2017	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.88	0.00	2.88	90.0	0.05
11/16/2017	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.63	0.00	8.63	90.0	0.15

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<u>BROKER: MARC LINSKY #263</u>								
11/16/2017	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	44.97	0.00	44.97	90.0	0.76
11/16/2017	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	134.89	0.00	134.89	90.0	2.29
11/29/2017	DAMICO, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	100,006.92	1,000.07	0.00	1,000.07	90.0	17.00
11/16/2017	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.36	0.00	4.36	90.0	0.07
11/16/2017	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.17	0.00	6.17	90.0	0.10
11/16/2017	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.18	0.00	5.18	90.0	0.09
12/08/2017	PERETTI, FRANCES	GRIFFIN INSTITUTIONAL ACCESS REAL E	21,000.45	1,050.02	22.00	1,028.02	90.0	17.48
11/22/2017	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.69	0.00	12.69	90.0	0.22
11/22/2017	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.31	0.00	4.31	90.0	0.07
11/20/2017	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.82	0.00	3.82	90.0	0.06
11/20/2017	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	87.13	0.00	87.13	90.0	1.48
11/20/2017	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	41.91	0.00	41.91	90.0	0.71
11/20/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	36.63	0.00	36.63	90.0	0.62
11/20/2017	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	457.92	0.00	457.92	90.0	7.78
11/20/2017	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	11.52	0.00	11.52	90.0	0.20
11/20/2017	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.51	0.00	7.51	90.0	0.13
11/20/2017	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	8.00	0.00	8.00	90.0	0.14
11/20/2017	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	33.89	0.00	33.89	90.0	0.58
11/20/2017	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	31.63	0.00	31.63	90.0	0.54
11/20/2017	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	11.52	0.00	11.52	90.0	0.20
11/20/2017	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	24.23	0.00	24.23	90.0	0.41
11/20/2017	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	10.85	0.00	10.85	90.0	0.18
11/20/2017	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.83	0.00	2.83	90.0	0.05
11/20/2017	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.83	0.00	2.83	90.0	0.05
11/20/2017	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.24	0.00	4.24	90.0	0.07
11/20/2017	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	76.02	0.00	76.02	90.0	1.29
11/20/2017	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	47.41	0.00	47.41	90.0	0.81
11/20/2017	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	21.81	0.00	21.81	90.0	0.37
11/20/2017	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	14.99	0.00	14.99	90.0	0.25
11/20/2017	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	21.82	0.00	21.82	90.0	0.37
11/20/2017	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	44.97	0.00	44.97	90.0	0.76
11/20/2017	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	20.13	0.00	20.13	90.0	0.34
11/20/2017	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	11.09	0.00	11.09	90.0	0.19

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC LINSKY #263 </u>								
11/20/2017	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.32	0.00	4.32	90.0	0.07
11/17/2017	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.10	0.00	1.10	90.0	0.02
12/04/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
11/30/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	276,350.67	230.29	0.00	230.29	90.0	3.91
11/30/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	284,887.84	237.41	0.00	237.41	90.0	4.04
11/30/2017	NANI, JOSEPH	JNL PERSPECTIVE L	414,413.45	345.34	0.00	345.34	90.0	5.87
11/30/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	502,242.07	418.54	0.00	418.54	90.0	7.12
11/30/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	113,759.35	94.80	0.00	94.80	90.0	1.61
11/30/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,012.48	2.92	0.00	2.92	90.0	0.05
11/30/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	215,931.72	179.94	0.00	179.94	90.0	3.06
11/22/2017	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.64	0.00	0.64	90.0	0.01
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	3.08	0.00	3.08	90.0	0.05
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.15	0.00	2.15	90.0	0.04
11/21/2017	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.86	0.00	2.86	90.0	0.05
11/21/2017	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	8.17	0.00	8.17	90.0	0.14
11/21/2017	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.62	0.00	2.62	90.0	0.04
11/21/2017	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	119.83	0.00	119.83	90.0	2.04
11/21/2017	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	122.76	0.00	122.76	90.0	2.09
11/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	29.50	0.00	29.50	90.0	0.50
11/21/2017	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	20.54	0.00	20.54	90.0	0.35
11/21/2017	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.91	0.00	0.91	90.0	0.02
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	5.93	0.00	5.93	90.0	0.10
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	8.03	0.00	8.03	90.0	0.14
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.80	0.00	1.80	90.0	0.03
11/21/2017	APPLEBAUM, ALVIN	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.45	0.00	3.45	90.0	0.06
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.12	0.00	1.12	90.0	0.02
11/21/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	11.49	0.00	11.49	90.0	0.20
11/21/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.25	0.00	6.25	90.0	0.11
11/21/2017	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.52	0.00	6.52	90.0	0.11
11/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.26	0.00	15.26	90.0	0.26
11/21/2017	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	3.07	0.00	3.07	90.0	0.05
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.05	0.00	3.05	90.0	0.05
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	4.00	0.00	4.00	90.0	0.07

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>								
BROKER: MARC LINSKY #263								
11/21/2017	APPLEBAUM, ALVIN	LORD ABBETT SHORT DURATION INCOME A	0.00	2.12	0.00	2.12	90.0	0.04
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.66	0.00	0.66	90.0	0.01
11/21/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.52	0.00	1.52	90.0	0.03
11/21/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.51	0.00	20.51	90.0	0.35
11/21/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.72	0.00	1.72	90.0	0.03
11/21/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.77	0.00	2.77	90.0	0.05
11/21/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.12	0.00	2.12	90.0	0.04
11/21/2017	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.35	0.00	11.35	90.0	0.19
11/21/2017	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	99.50	0.00	99.50	90.0	1.69
11/21/2017	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.47	0.00	11.47	90.0	0.19
11/21/2017	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	5.48	0.00	5.48	90.0	0.09
11/21/2017	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.45	0.00	6.45	90.0	0.11
11/21/2017	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	13.07	0.00	13.07	90.0	0.22
11/21/2017	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	9.25	0.00	9.25	90.0	0.16
11/21/2017	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.69	0.00	4.69	90.0	0.08
11/20/2017	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.41	0.00	10.41	90.0	0.18
11/20/2017	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.85	0.00	2.85	90.0	0.05
11/20/2017	FARBMAN TRUST, DANIEL & SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.92	0.00	1.92	90.0	0.03
11/20/2017	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.50	0.00	0.50	90.0	0.01
11/20/2017	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.24	0.00	1.24	90.0	0.02
11/20/2017	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.74	0.00	0.74	90.0	0.01
11/14/2017	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	6.66	0.00	6.66	90.0	0.11
11/14/2017	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	16.45	0.00	16.45	90.0	0.28
11/14/2017	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	8.21	0.00	8.21	90.0	0.14
11/14/2017	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	15.81	0.00	15.81	90.0	0.27
11/14/2017	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	15.83	0.00	15.83	90.0	0.27
11/14/2017	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.70	0.00	0.70	90.0	0.01
11/14/2017	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	9.59	0.00	9.59	90.0	0.16
11/14/2017	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	22.96	0.00	22.96	90.0	0.39
11/14/2017	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	8.40	0.00	8.40	90.0	0.14
11/14/2017	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	19.82	0.00	19.82	90.0	0.34
11/14/2017	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	5.75	0.00	5.75	90.0	0.10
11/14/2017	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	6.03	0.00	6.03	90.0	0.10

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC LINSKY #263 </u>								
11/14/2017	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	27.70	0.00	27.70	90.0	0.47
11/14/2017	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	11.71	0.00	11.71	90.0	0.20
11/14/2017	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	9.62	0.00	9.62	90.0	0.16
11/14/2017	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	14.96	0.00	14.96	90.0	0.25
11/16/2017	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.24	0.00	1.24	90.0	0.02
11/16/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.07	0.00	1.07	90.0	0.02
11/16/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.43	0.00	0.43	90.0	0.01
11/16/2017	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.63	0.00	0.63	90.0	0.01
11/16/2017	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.47	0.00	2.47	90.0	0.04
11/16/2017	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.19	0.00	3.19	90.0	0.05
11/16/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.97	0.00	0.97	90.0	0.02
11/16/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	39.79	0.00	39.79	90.0	0.68
11/16/2017	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.68	0.00	2.68	90.0	0.05
11/16/2017	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.81	0.00	1.81	90.0	0.03
11/20/2017	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	254.06	0.00	254.06	90.0	4.32
11/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	151.92	0.00	151.92	90.0	2.58
11/16/2017	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	13.61	0.00	13.61	90.0	0.23
11/16/2017	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	3.06	0.00	3.06	90.0	0.05
11/22/2017	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	13.31	0.00	13.31	90.0	0.23
11/22/2017	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	18.18	0.00	18.18	90.0	0.31
11/22/2017	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.98	0.00	5.98	90.0	0.10
11/22/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	45.37	0.00	45.37	90.0	0.77
11/22/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	136.10	0.00	136.10	90.0	2.31
11/13/2017	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	12.16	0.00	12.16	90.0	0.21
11/13/2017	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.64	0.00	1.64	90.0	0.03
11/13/2017	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.36	0.00	0.36	90.0	0.01
11/13/2017	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.81	0.00	11.81	90.0	0.20
11/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	121,493.55	101.24	0.00	101.24	90.0	1.72
11/22/2017	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.48	0.00	0.48	90.0	0.01
11/21/2017	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	5.90	0.00	5.90	90.0	0.10
11/15/2017	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	9.49	0.00	9.49	90.0	0.16
11/15/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	23.24	0.00	23.24	90.0	0.40
11/15/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	11.30	0.00	11.30	90.0	0.19

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
11/15/2017	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	18.23	0.00	18.23	90.0	0.31
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	4.72	0.00	4.72	90.0	0.08
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.52	0.00	0.52	90.0	0.01
* MARC LINSKY SUBTOTAL *			\$2,262,029.25	\$21,625.20	\$44.50	\$21,580.70		\$366.88
<u>BROKER: ANDREW (ANDREAS) ZIGOURAS #282</u>								
12/01/2017	KWO, RITA	KRAFT HEINZ CO COM STK	-27,950.02	279.26	22.52	256.74	90.0	8.47
12/07/2017	ZHANG, WEI	NOKIA CORP SPONSORED ADR	-4,635.00	198.96	23.50	175.46	90.0	5.79
12/07/2017	ZHANG, WEI	SIGMA DESIGNS INC COM	-6,085.04	231.84	23.62	208.22	90.0	6.87
* ANDREW (ANDREAS) ZIGOURAS SUBTOTAL *			\$-38,670.06	\$710.06	\$69.64	\$640.42		\$21.13
<u>BROKER: DANIEL CHARLEY #338</u>								
11/30/2017	PREMIER IMMEDIATE ME	VOYA RETIREMENT & ANNUITY	0.00	13.95	0.00	13.95	90.0	0.24
* DANIEL CHARLEY SUBTOTAL *			\$0.00	\$13.95	\$0.00	\$13.95		\$0.24
*** OVERRIDE TRANSACTIONS TOTAL ***			\$2,224,209.19	\$22,400.41	\$114.14	\$22,286.27		\$392.36

ADJUSTMENTS

12/15/2017	*: FEDEX:6-016-38900 DEC 6	0.00	-16.01
12/15/2017	*: MISC TRANSFER TO COLLECTION TOMM	0.00	-1.43
12/15/2017	*: MISC:NOV 15 OVERNIGHT FEE	0.00	-20.00
12/15/2017	*: PERSHING MF PROSPECTUS MAILING	0.00	-0.81
12/15/2017	*: PERSHING BOOKS & RECORDS	0.00	-0.53
12/15/2017	*: PERSHING:OUTGOING TRANS WALBURG	0.00	-28.75
12/15/2017	*: PERSHING:REORG FEE J JOHNSON	0.00	-5.00
12/15/2017	*AI INSIGHT: AI INSIGHT - PECHA	0.00	-1.40
12/15/2017	*AI INSIGHT: AI INSIGHT - T THOMPSON	0.00	-1.75
12/15/2017	*EO INS: CURRENT MONTH	0.00	-45.00
12/15/2017	*EO INS: CURRENT MONTH INS	0.00	-60.00
12/15/2017	*EO INS: CURRENT MONTH INS	0.00	-45.00
12/15/2017	*EO INS: CURRENT MONTH KIESNOSKI	0.00	-300.00
12/15/2017	*EO INS: CURRENT MONTH-T THOMPSON	0.00	-75.00
12/15/2017	*LASER APP: LASER APP - PECHA	0.00	-3.60

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<u>ADJUSTMENTS</u>								
12/15/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-45.00
12/15/2017		*MISC: 11/30/17 OVERNIGHT FEE	0.00					-10.00
12/15/2017		*MISC: C FULMER, A LEEDS	0.00					-540.00
12/15/2017		*MISC: MISC ROY, AFLAC, 1031DST	0.00					-1,326.00
12/15/2017		*MISC: MISC SARAH, FT L, 1031DST	0.00					-205.00
12/15/2017		*OVERRIDE: 5% OVERRIDE D CHARLEY	0.00					0.24
12/15/2017		*OVERRIDE: 5% OVERRIDE FIA ON SPLIT	0.00					202.66
12/15/2017		*OVERRIDE: 5% OVERRIDE LINSKY	0.00					318.98
12/15/2017		*PERSHING: MARKUP TICKET CHARGE 1 1	0.00					15.00
12/15/2017		*PERSHING: MARKUP TICKET CHARGES 2	0.00					9.00
12/15/2017		*PERSHING: MARKUP TICKET CHARGES 4	0.00					60.00
12/15/2017		*PERSHING: MARKUP TICKET CHARGES 5	0.00					37.50
12/15/2017		*SPLIT PAYOUT/OVERRIDE: 5% FIA ON S	0.00					211.37
12/15/2017		*SPLIT PAYOUT/OVERRIDE: 5% OVERRIDE	0.00					4.77
12/15/2017		*SPLIT PAYOUT/OVERRIDE: SPLIT OVERR	0.00					7.71
12/15/2017		*SPLIT PAYOUT/OVERRIDE: SPLIT PAYOU	0.00					2.17
12/15/2017		*SPLIT PAYOUT/OVERRIDE: SPLIT PAYOU	0.00					1.75
12/15/2017		*TECH: ADMIN FULMER	0.00					-25.00
12/15/2017		*TECH: ADMIN-AMY LEEDS	0.00					-33.00
12/15/2017		*TECH: BASIC - PECHA	0.00					-36.00
12/15/2017		*TECH: CURRENT MONTH TECH	0.00					-41.25
12/15/2017		*TECHNOLOGY: BASIC - T THOMPSON	0.00					-45.00
12/15/2017		*TECHNOLOGY: CURRENT MONTH	0.00					-41.25
12/15/2017		*TECHNOLOGY: CURRENT MONTH KIESNOSK	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-2,355.63

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BROKER COMMISSION TOTALS

Broker Transactions	\$11.19
Split Transactions	\$5,222.65
Override Transactions	\$392.36
Adjustments	\$-2,355.63
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-955.09
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,315.48
Year-to-date Gross	\$392,943.22
Year-to-date Earnings	\$387,262.64