

COMMISSION STATEMENT

June 30, 2018

CHIP KLEINBROOK-D037

BROKER #: D037

BRANCH: DMN

, TX

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/14/2018	122517107	ANDERSON, KIMBERLY	MF	FIDELITY ADV CONSUMER STAPLES	S	3,302.45	33.00	5.00	28.00 80.0 22.40
06/14/2018	122517107	ANDERSON, KIMBERLY	MF	FIDELITY ADVISOR FUNDS	S	3,302.45	33.00	5.00	28.00 80.0 22.40
06/14/2018	122517107	ANDERSON, KIMBERLY	MF	FIDELITY ADVISOR HEALTH CARE FD	S	3,302.45	33.00	5.00	28.00 80.0 22.40
05/31/2018	460685869	TTEE, SANDRA LARSON	MF	NUVEEN MI MUNI BD C	S	28,002.45	280.00	2.50	277.50 80.0 222.00
06/26/2018	584086159	PHAN, KATIE CHHEN	MF	FIDELITY ADVISOR FUNDS	S	2,502.45	25.00	5.00	20.00 80.0 16.00
06/27/2018	584086159	PHAN, KATIE CHHEN	MF	NUVEEN MI MUNI BD C	S	-3,412.29	0.00	5.00	-5.00 80.0 -4.00
05/30/2018	645833271	ROWLAND, JON	MF	FRANKLIN MI TAX FR INCOME FUND	S	20,002.45	200.00	2.50	197.50 80.0 158.00
06/08/2018	646417736	KROLL, RICHARD	MF	NUVEEN MI MUNI BD C	S	40,502.45	405.00	2.50	402.50 80.0 322.00
06/07/2018	646523875	ROUGEAU TTEE, JANE	MF	FRANKLIN MI TAX FR INCOME FUND	S	-5,123.38	0.00	5.00	-5.00 80.0 -4.00
				* MUTUAL FUNDS SUBTOTAL *		\$92,381.48	\$1,009.00	\$37.50	\$971.50 \$777.20
<u>PRODUCT CATEGORY: STOCKS</u>									
06/22/2018	368329987	LIM, ENG	S	SANDRIDGE PERMIAN TR	B	917.45	40.00	15.00	25.00 80.0 20.00
				* STOCKS SUBTOTAL *		\$917.45	\$40.00	\$15.00	\$25.00 \$20.00
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
06/08/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 646523875	S	0.00	20.00	0.00	20.00 80.0 16.00
				* MISCELLANEOUS SUBTOTAL *		\$0.00	\$20.00	\$0.00	\$20.00 \$16.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/08/2018	mfc587889	KLEINBROOK 587889	MFT	FIDELITY ADVISOR MULTI-ASSET	B	0.00	6.29	0.00	6.29 80.0 5.03
06/21/2018	mfc587889	KLEINBROOK 587889	MFT	AB BALANCED WEALTH STRATEGY A	B	0.00	9.01	0.00	9.01 80.0 7.21
06/21/2018	mfc587889	KLEINBROOK 587889	MFT	AB HIGH INCOME FD C	B	0.00	19.92	0.00	19.92 80.0 15.94
06/21/2018	mfc587889	KLEINBROOK 587889	MFT	AB WEALTH PRES STRAT FD C	B	0.00	55.74	0.00	55.74 80.0 44.59
06/21/2018	mfc587889	KLEINBROOK 587889	MFT	AIG FOCUS DIVIDEND STRAT FD C	B	0.00	30.08	0.00	30.08 80.0 24.06
06/21/2018	mfc587889	KLEINBROOK 587889	MFT	AIG FOCUS DIVIDEND STRAT FD C	B	0.00	60.16	0.00	60.16 80.0 48.13
06/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.81	0.00	0.81 80.0 0.65
06/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.92	0.00	0.92 80.0 0.74
06/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.57	0.00	1.57 80.0 1.26
06/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	11.78	0.00	11.78 80.0 9.42
06/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.90	0.00	1.90 80.0 1.52
06/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	15.45	0.00	15.45 80.0 12.36

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06/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	1.33	0.00	1.33	80.0	1.06
06/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	2.69	0.00	2.69	80.0	2.15
06/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	2.00	0.00	2.00	80.0	1.60
06/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	3.54	0.00	3.54	80.0	2.83
06/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	1.96	0.00	1.96	80.0	1.57
06/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	0.53	0.00	0.53	80.0	0.42
06/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	14.42	0.00	14.42	80.0	11.54
06/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	69.97	0.00	69.97	80.0	55.98
06/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	1.67	0.00	1.67	80.0	1.34
06/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANZ NFJ SMALL CAP VALUE FD	B	0.00	2.43	0.00	2.43	80.0	1.94
06/21/2018	mfc587889	KLEINBROOK 587889	MFT AMCAP FUND-A	B	0.00	1.30	0.00	1.30	80.0	1.04
06/21/2018	mfc587889	KLEINBROOK 587889	MFT AMERICAN MUTUAL FUND-A	B	0.00	8.06	0.00	8.06	80.0	6.45
06/21/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	15.46	0.00	15.46	80.0	12.37
06/21/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	30.92	0.00	30.92	80.0	24.74
06/21/2018	mfc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER-F1	B	0.00	6.06	0.00	6.06	80.0	4.85
06/21/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	1.15	0.00	1.15	80.0	0.92
06/21/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	2.38	0.00	2.38	80.0	1.90
06/21/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA THERMOSTAT FD A	B	0.00	6.98	0.00	6.98	80.0	5.58
06/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	4.35	0.00	4.35	80.0	3.48
06/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.72	0.00	5.72	80.0	4.58
06/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.74	0.00	5.74	80.0	4.59
06/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	6.67	0.00	6.67	80.0	5.34
06/21/2018	mfc587889	KLEINBROOK 587889	MFT EMERALD BANKING & FINANCE FD CL	B	0.00	36.16	0.00	36.16	80.0	28.93
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	24.94	0.00	24.94	80.0	19.95
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	32.50	0.00	32.50	80.0	26.00
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	66.86	0.00	66.86	80.0	53.49
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	0.01	0.00	0.01	80.0	0.01
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	14.43	0.00	14.43	80.0	11.54
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	36.77	0.00	36.77	80.0	29.42
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	6.06	0.00	6.06	80.0	4.85
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	1.08	0.00	1.08	80.0	0.86
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	6.44	0.00	6.44	80.0	5.15
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INC	B	0.00	19.37	0.00	19.37	80.0	15.50
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	3.51	0.00	3.51	80.0	2.81
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	9.04	0.00	9.04	80.0	7.23
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.23	0.00	1.23	80.0	0.98
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	12.12	0.00	12.12	80.0	9.70
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	20.20	0.00	20.20	80.0	16.16
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	4.34	0.00	4.34	80.0	3.47
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	22.06	0.00	22.06	80.0	17.65
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	23.87	0.00	23.87	80.0	19.10
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	29.45	0.00	29.45	80.0	23.56
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2030	B	0.00	24.46	0.00	24.46	80.0	19.57
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	76.30	0.00	76.30	80.0	61.04

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06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	3.87	0.00	3.87	80.0	3.10
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	31.91	0.00	31.91	80.0	25.53
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	62.89	0.00	62.89	80.0	50.31
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.19	0.00	2.19	80.0	1.75
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	15.74	0.00	15.74	80.0	12.59
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	17.26	0.00	17.26	80.0	13.81
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	12.35	0.00	12.35	80.0	9.88
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	17.79	0.00	17.79	80.0	14.23
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	24.61	0.00	24.61	80.0	19.69
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	30.23	0.00	30.23	80.0	24.18
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	5.36	0.00	5.36	80.0	4.29
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	16.77	0.00	16.77	80.0	13.42
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV GROWTH & INCOME CL	B	0.00	3.94	0.00	3.94	80.0	3.15
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	15.88	0.00	15.88	80.0	12.70
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	34.14	0.00	34.14	80.0	27.31
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	15.32	0.00	15.32	80.0	12.26
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	25.54	0.00	25.54	80.0	20.43
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	32.46	0.00	32.46	80.0	25.97
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MUNI INCOME FD	B	0.00	66.26	0.00	66.26	80.0	53.01
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	14.76	0.00	14.76	80.0	11.81
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	1.06	0.00	1.06	80.0	0.85
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.27	0.00	3.27	80.0	2.62
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.42	0.00	11.42	80.0	9.14
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	19.38	0.00	19.38	80.0	15.50
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	52.63	0.00	52.63	80.0	42.10
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR LTD TERM BOND	B	0.00	10.79	0.00	10.79	80.0	8.63
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 60%	B	0.00	57.43	0.00	57.43	80.0	45.94
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	5.73	0.00	5.73	80.0	4.58
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	24.04	0.00	24.04	80.0	19.23
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STK SEL ALL	B	0.00	41.16	0.00	41.16	80.0	32.93
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	5.50	0.00	5.50	80.0	4.40
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	10.47	0.00	10.47	80.0	8.38
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	15.11	0.00	15.11	80.0	12.09
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	64.57	0.00	64.57	80.0	51.66
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	11.95	0.00	11.95	80.0	9.56
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	14.00	0.00	14.00	80.0	11.20
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.62	0.00	1.62	80.0	1.30
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2025	B	0.00	57.09	0.00	57.09	80.0	45.67
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	22.99	0.00	22.99	80.0	18.39
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	41.93	0.00	41.93	80.0	33.54
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	10.81	0.00	10.81	80.0	8.65
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.27	0.00	7.27	80.0	5.82
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	2.83	0.00	2.83	80.0	2.26
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.44	0.00	6.44	80.0	5.15

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06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.58	0.00	6.58	80.0	5.26
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	9.46	0.00	9.46	80.0	7.57
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	13.89	0.00	13.89	80.0	11.11
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	21.82	0.00	21.82	80.0	17.46
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	27.98	0.00	27.98	80.0	22.38
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	37.51	0.00	37.51	80.0	30.01
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	80.98	0.00	80.98	80.0	64.78
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	83.06	0.00	83.06	80.0	66.45
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	84.57	0.00	84.57	80.0	67.66
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI INSD TAX FREE	B	0.00	0.99	0.00	0.99	80.0	0.79
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	7.53	0.00	7.53	80.0	6.02
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	43.17	0.00	43.17	80.0	34.54
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	85.83	0.00	85.83	80.0	68.66
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	6.47	0.00	6.47	80.0	5.18
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	6.66	0.00	6.66	80.0	5.33
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	55.90	0.00	55.90	80.0	44.72
06/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	3.89	0.00	3.89	80.0	3.11
06/21/2018	mfc587889	KLEINBROOK 587889	MFT GEORGE PUTNAM BALANCED FUND-A	B	0.00	0.64	0.00	0.64	80.0	0.51
06/21/2018	mfc587889	KLEINBROOK 587889	MFT GUGGENHEIM STYLEPLUS LARGE CORE	B	0.00	53.98	0.00	53.98	80.0	43.18
06/21/2018	mfc587889	KLEINBROOK 587889	MFT JOHN HANCOCK STRATEGIC GROWTH A	B	0.00	6.01	0.00	6.01	80.0	4.81
06/21/2018	mfc587889	KLEINBROOK 587889	MFT MAINSTAY TAX FREE BOND FD CL A	B	0.00	1.45	0.00	1.45	80.0	1.16
06/21/2018	mfc587889	KLEINBROOK 587889	MFT MERGER FD	B	0.00	0.80	0.00	0.80	80.0	0.64
06/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	12.71	0.00	12.71	80.0	10.17
06/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	30.57	0.00	30.57	80.0	24.46
06/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	4.75	0.00	4.75	80.0	3.80
06/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-C	B	0.00	11.05	0.00	11.05	80.0	8.84
06/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-A	B	0.00	2.19	0.00	2.19	80.0	1.75
06/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.05	0.00	0.05	80.0	0.04
06/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.09	0.00	0.09	80.0	0.07
06/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	1.02	0.00	1.02	80.0	0.82
06/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	10.30	0.00	10.30	80.0	8.24
06/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	19.21	0.00	19.21	80.0	15.37
06/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	76.06	0.00	76.06	80.0	60.85
06/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	185.47	0.00	185.47	80.0	148.38
06/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD FD CL A	B	0.00	0.06	0.00	0.06	80.0	0.05
06/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.06	0.00	0.06	80.0	0.05
06/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.09	0.00	0.09	80.0	0.07
06/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.09	0.00	0.09	80.0	0.07
06/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.09	0.00	0.09	80.0	0.07
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC CORE INCOME FD C	B	0.00	0.83	0.00	0.83	80.0	0.66
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC SHORT DURATION INC FD C	B	0.00	0.56	0.00	0.56	80.0	0.45
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC STRATEGIC INCOME FD C	B	0.00	1.32	0.00	1.32	80.0	1.06
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	0.39	0.00	0.39	80.0	0.31
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	4.84	0.00	4.84	80.0	3.87

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	17.08	0.00	17.08	80.0	13.66
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL QMA MIDCAP VALUE FD	B	0.00	15.70	0.00	15.70	80.0	12.56
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	49.00	0.00	49.00	80.0	39.20
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746444108	B	0.00	3.02	0.00	3.02	80.0	2.42
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	3.46	0.00	3.46	80.0	2.77
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	19.93	0.00	19.93	80.0	15.94
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746802206	B	0.00	6.09	0.00	6.09	80.0	4.87
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	3.40	0.00	3.40	80.0	2.72
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	10.99	0.00	10.99	80.0	8.79
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.27	0.00	0.27	80.0	0.22
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.59	0.00	1.59	80.0	1.27
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	2.53	0.00	2.53	80.0	2.02
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	7.39	0.00	7.39	80.0	5.91
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.86	0.00	9.86	80.0	7.89
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	10.05	0.00	10.05	80.0	8.04
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	18.68	0.00	18.68	80.0	14.94
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	37.94	0.00	37.94	80.0	30.35
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	62.78	0.00	62.78	80.0	50.22
06/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	68.70	0.00	68.70	80.0	54.96
06/21/2018	mfc587889	KLEINBROOK 587889	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	0.65	0.00	0.65	80.0	0.52
06/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMP GRWTH FND - CL C	B	0.00	18.42	0.00	18.42	80.0	14.74
06/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	3.81	0.00	3.81	80.0	3.05
06/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	9.83	0.00	9.83	80.0	7.86
06/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.80	0.00	7.80	80.0	6.24
06/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.82	0.00	7.82	80.0	6.26
06/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	31.06	0.00	31.06	80.0	24.85
06/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.53	0.00	4.53	80.0	3.62
06/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.96	0.00	4.96	80.0	3.97
06/21/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	11.36	0.00	11.36	80.0	9.09
06/21/2018	mfc587889	KLEINBROOK 587889	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.90	0.00	7.90	80.0	6.32
06/21/2018	mfc587889	KLEINBROOK 587889	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.89	0.00	6.89	80.0	5.51
06/21/2018	mfc587889	KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	B	0.00	1.29	0.00	1.29	80.0	1.03
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3,294.67	\$0.00	\$3,294.67		\$2,635.75
*** CLEARING TRANSACTIONS TOTAL ***					\$93,298.93	\$4,363.67	\$52.50	\$4,311.17		\$3,448.95

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/15/2018 (None)	JACOBFA DI	MF PRUDENTIAL MUTUAL FUNDS	B	50.00	0.50	0.00	0.50	80.0	0.40
06/11/2018 000000000221299	FURMAN, VICKI	MF FRANKLIN MI TAX FR INCOME FUND	B	200.00	2.00	0.00	2.00	80.0	1.60
06/15/2018 00089905459	CHHEN, KIMBERLY	MF AMERICAN BALANCED FUND-C	B	450.00	4.50	0.00	4.50	80.0	3.60
06/15/2018 00520235862	COTEY, BENE	MF FA 529 COLLEGE PORT CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
06/15/2018 00520236563	COTEY, BENE	MF FA 529 COLLEGE PORT CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
06/15/2018 03900215447	NISSETYLEJ	MF FIDELITY ADVISOR BALANCED CLASS	B	417.00	12.51	0.00	12.51	80.0	10.01
* MUTUAL FUNDS SUBTOTAL *				\$1,217.00	\$20.51	\$0.00	\$20.51		\$16.41
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/31/2018 (None)	CHHEN, JEAN	MFT BLACKROCK	B	0.00	9.19	0.00	9.19	80.0	7.35
05/31/2018 (None)	CHHEN, JEAN	MFT BLACKROCK	B	0.00	27.58	0.00	27.58	80.0	22.06
06/05/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA LARGE CAP GROWTH FUND-C	B	0.00	1.98	0.00	1.98	80.0	1.58
06/05/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA MIDCAP GROWTH FUND-C	B	0.00	4.05	0.00	4.05	80.0	3.24
06/05/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	6.83	0.00	6.83	80.0	5.46
06/05/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA SELIGMAN GLOBAL	B	0.00	2.88	0.00	2.88	80.0	2.30
06/05/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	4.99	0.00	4.99	80.0	3.99
06/05/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	6.38	0.00	6.38	80.0	5.10
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$63.88	\$0.00	\$63.88		\$51.08
*** DIRECT TRANSACTIONS TOTAL ***				\$1,217.00	\$84.39	\$0.00	\$84.39		\$67.49

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

06/30/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
06/30/2018	: E&O	0.00	0.00	0.00	0.00	-120.00
06/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-175.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-175.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,516.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-175.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,341.44
Year-to-date Commissions	\$45,763.63