

COMMISSION STATEMENT

June 30, 2018

ERIC BELZ
1802 LITTLE JOHN STREETBROKER #: C40 C41
BRANCH: HOME OFFICE

MONTGOMERY, TX 77316

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

06/13/2018 (None)	VARIOUS, BELZ ERIC	ADVISOR GUIDED TRAILS	B	0.00	13.83	0.00	13.83	90.0	12.45
06/26/2018 (None)	VARIOUS, BELZ ERIC	OPPENHEIMER 683793400	B	0.00	8.00	0.00	8.00	90.0	7.20
06/15/2018 00551427251	PLAN	PUTNAM 746933100	B	100.00	5.00	0.00	5.00	90.0	4.50
06/15/2018 00551427251	PLAN	PUTNAM 74676P102	B	100.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *				\$200.00	\$31.83	\$0.00	\$31.83		\$28.65

PRODUCT CATEGORY: OPTIONS

06/04/2018 QGW036329	WOLF, KIM	CALL 100 COHERUS BIOSCIENCES INC CO S		-550.00	59.00	14.50	44.50	90.0	38.60
06/04/2018 QGW036329	WOLF, KIM	CALL 100 ENERGOUS CORP COM EXP 07-2 S		-250.00	36.00	13.25	22.75	90.0	19.15
06/04/2018 QGW036329	WOLF, KIM	CALL 100 PAYPAL HLDGS INC COM EXP 0 S		-475.00	47.00	13.25	33.75	90.0	29.05
06/05/2018 QGW036329	WOLF, KIM	PUT 100 ENERGOUS CORP COM EXP 07-20 S		-650.00	65.00	14.50	50.50	90.0	44.00
06/05/2018 QGW036329	WOLF, KIM	PUT 100 VUZIX CORP COM NEW EXP 10-1 S		-1,300.00	99.00	17.00	82.00	90.0	72.10
06/22/2018 QGW036329	WOLF, KIM	CALL 100 VUZIX CORP COM NEW EXP 07- S		-1,375.00	99.00	18.25	80.75	90.0	70.85
* OPTIONS SUBTOTAL *				\$-4,600.00	\$405.00	\$90.75	\$314.25		\$273.75
*** BROKER TRANSACTIONS TOTAL ***				\$-4,400.00	\$436.83	\$90.75	\$346.08		\$302.40

SPLIT TRANSACTIONSBROKER: RICHARD BELZ #77

06/19/2018 (None)	VARIOUS, BELZ RICHARD	BALANCED A	B	0.00	0.07	0.00	0.07		0.06
06/05/2018 000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763	B	19.38	0.73	0.00	0.73		0.66
06/19/2018 000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763	B	19.38	0.73	0.00	0.73		0.66
06/05/2018 0000000000591610	VARIOUS, BELZ	FRANKLIN TEMPLETON	B	34.00	0.68	0.00	0.68		0.61
06/19/2018 0000000000591610	VARIOUS, BELZ	FRANKLIN TEMPLETON	B	34.00	0.68	0.00	0.68		0.61
05/29/2018 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	5.44	0.15	0.00	0.15		0.14
06/11/2018 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	5.44	0.15	0.00	0.15		0.14
06/05/2018 000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	25.00	0.25	0.00	0.25		0.23
06/19/2018 000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	25.00	0.25	0.00	0.25		0.23
05/29/2018 000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B		18.13	0.51	0.00	0.51		0.46
06/11/2018 000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B		18.13	0.51	0.00	0.51		0.46

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
05/29/2018	000000004468094	COLEMAN, MUTUAL DISC FND - CL A	B	7.25	0.20	0.00	0.20		0.18
06/11/2018	000000004468094	COLEMAN, MUTUAL DISC FND - CL A	B	7.25	0.20	0.00	0.20		0.18
06/05/2018	000000005055304	SULLIVAN, MUTUAL DISC FND - CL A	B	6.25	0.31	0.00	0.31		0.28
06/19/2018	000000005055304	SULLIVAN, MUTUAL DISC FND - CL A	B	6.25	0.31	0.00	0.31		0.28
06/05/2018	000010186458322	VARIOUS, BELZ FRANKLIN U.S. GOVERNMENT SECURITIES B	B	8.50	0.17	0.00	0.17		0.15
06/19/2018	000010186458322	VARIOUS, BELZ FRANKLIN U.S. GOVERNMENT SECURITIES B	B	8.50	0.17	0.00	0.17		0.15
06/05/2018	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS B	B	19.38	0.73	0.00	0.73		0.66
06/19/2018	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS B	B	19.38	0.73	0.00	0.73		0.66
05/29/2018	000019411906629	COLEMAN, FRK STRAT INC FND - CL A	B	5.44	0.15	0.00	0.15		0.14
06/11/2018	000019411906629	COLEMAN, FRK STRAT INC FND - CL A	B	5.44	0.15	0.00	0.15		0.14
06/05/2018	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	6.25	0.25	0.00	0.25		0.23
06/19/2018	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	6.25	0.25	0.00	0.25		0.23
06/04/2018	00056956375	FERRADO, MICHAEL AMCAP FUND-A	B	20.00	0.85	0.00	0.85		0.77
06/20/2018	00056956375	FERRADO, MICHAEL AMCAP FUND-A	B	10.00	0.43	0.00	0.43		0.39
06/21/2018	00063004460	SCHENK, STEVEN WASHINGTON MUTUAL INVESTORS FUND-A	B	6.25	0.23	0.00	0.23		0.21
06/13/2018	00064802351	SCHENK, ERIC THE GROWTH FUND OF AMERICA-A	B	1.50	0.06	0.00	0.06		0.05
06/13/2018	00064818808	SCHENK, ERIC AMCAP FUND-A	B	1.25	0.05	0.00	0.05		0.05
05/29/2018	00075280585	MILLER, SAMANTHA AMERICAN BALANCED FUND-A	B	11.25	0.31	0.00	0.31		0.28
05/29/2018	00075280585	MILLER, SAMANTHA THE GROWTH FUND OF AMERICA-A	B	11.25	0.31	0.00	0.31		0.28
06/11/2018	00075280585	MILLER, SAMANTHA AMERICAN BALANCED FUND-A	B	11.25	0.31	0.00	0.31		0.28
06/11/2018	00075280585	MILLER, SAMANTHA THE GROWTH FUND OF AMERICA-A	B	11.25	0.31	0.00	0.31		0.28
06/15/2018	00084040075	WILLIAMS, ALYSSA CAPITAL WORLD GROWTH AND INCOME FUN B	B	5.00	0.21	0.00	0.21		0.19
06/15/2018	00084040075	WILLIAMS, ALYSSA WASHINGTON MUTUAL INVESTORS FUND-A	B	5.00	0.21	0.00	0.21		0.19
06/15/2018	00088094822	WILLIAMS, ALYSSA GLOBAL BALANCED FUND-C	B	2.50	0.03	0.00	0.03		0.03
05/29/2018	00453533309	BURNS, KATHLEEN PUTNAM 746933100	B	7.50	0.21	0.00	0.21		0.19
05/29/2018	00453533309	BURNS, KATHLEEN PUTNAM GLOBAL CUSTOMER FUND CLASS A B	B	7.50	0.21	0.00	0.21		0.19
06/11/2018	00453533309	BURNS, KATHLEEN PUTNAM 746933100	B	7.50	0.21	0.00	0.21		0.19
06/11/2018	00453533309	BURNS, KATHLEEN PUTNAM GLOBAL CUSTOMER FUND CLASS A B	B	7.50	0.21	0.00	0.21		0.19
06/11/2018	00487862253	PERUGINI, MILDRED PUTNAM 746745108	B	5.00	0.14	0.00	0.14		0.13
06/11/2018	00487862253	PERUGINI, MILDRED PUTNAM 746802800	B	7.50	0.21	0.00	0.21		0.19
06/11/2018	00487862253	PERUGINI, MILDRED PUTNAM NEW OPPORTUNITIES FUND CLASS B	B	7.50	0.21	0.00	0.21		0.19
06/04/2018	00501256730	KUNSCH, STEPHEN PUTNAM 746802800	B	5.00	0.25	0.00	0.25		0.23
05/22/2018	00503977532	SCHAEFER, DOREEN PUTNAM 746809102	B	1.25	0.06	0.00	0.06		0.05
05/22/2018	00503977532	SCHAEFER, DOREEN PUTNAM GLOBAL EQUITY FUND CLASS A	B	1.25	0.06	0.00	0.06		0.05
06/04/2018	00503977532	SCHAEFER, DOREEN PUTNAM 746809102	B	1.25	0.06	0.00	0.06		0.05
06/04/2018	00503977532	SCHAEFER, DOREEN PUTNAM GLOBAL EQUITY FUND CLASS A	B	1.25	0.06	0.00	0.06		0.05
05/29/2018	00514953902	STELLATO, BRIAN PUTNAM 746809102	B	5.00	0.19	0.00	0.19		0.17
05/29/2018	00514953902	STELLATO, BRIAN PUTNAM GLOBAL EQUITY FUND CLASS A	B	5.00	0.19	0.00	0.19		0.17
06/11/2018	00514953902	STELLATO, BRIAN PUTNAM 746809102	B	5.00	0.19	0.00	0.19		0.17
06/11/2018	00514953902	STELLATO, BRIAN PUTNAM GLOBAL EQUITY FUND CLASS A	B	5.00	0.19	0.00	0.19		0.17

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<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
05/22/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	15.05	0.41	0.00	0.41	0.37
05/22/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B		10.15	0.28	0.00	0.28	0.25
05/22/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	9.80	0.27	0.00	0.27	0.24
06/04/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	15.05	0.41	0.00	0.41	0.37
06/04/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B		10.15	0.28	0.00	0.28	0.25
06/04/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	9.80	0.27	0.00	0.27	0.24
05/29/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	1.25	0.06	0.00	0.06	0.05
05/29/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	2.50	0.13	0.00	0.13	0.12
05/29/2018	00551218283	C GARRUPPO,	PUTNAM-746764109	B	1.25	0.06	0.00	0.06	0.05
06/11/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	1.25	0.06	0.00	0.06	0.05
06/11/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	2.50	0.13	0.00	0.13	0.12
06/11/2018	00551218283	C GARRUPPO,	PUTNAM-746764109	B	1.25	0.06	0.00	0.06	0.05
05/22/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	6.25	0.31	0.00	0.31	0.28
05/22/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	5.00	0.25	0.00	0.25	0.23
05/22/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	6.25	0.31	0.00	0.31	0.28
05/22/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	7.50	0.38	0.00	0.38	0.34
06/04/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	6.25	0.31	0.00	0.31	0.28
06/04/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	5.00	0.25	0.00	0.25	0.23
06/04/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	6.25	0.31	0.00	0.31	0.28
06/04/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	7.50	0.38	0.00	0.38	0.34
05/29/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	1.25	0.06	0.00	0.06	0.05
05/29/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	2.00	0.10	0.00	0.10	0.09
05/29/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	1.75	0.09	0.00	0.09	0.08
06/11/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	1.25	0.06	0.00	0.06	0.05
06/11/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	2.00	0.10	0.00	0.10	0.09
06/11/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	1.75	0.09	0.00	0.09	0.08
06/13/2018	01000144893	VARIOUS, BELZ	OPPENHEIMER 683793400	B	0.00	57.65	0.00	57.65	51.89
06/26/2018	01000144893	VARIOUS, BELZ	OPPENHEIMER 683793400	B	0.00	54.84	0.00	54.84	49.36
06/22/2018	QGW034027	HALL, JONATHAN	ARCONIC INC COM	S	-998.78	9.25	0.60	8.65	7.73
06/13/2018	QGW035404	RICCA, MARIA	NEW RESIDENTIAL INVESTMENT CORP	B	1,268.40	16.25	0.60	15.65	14.03
05/30/2018	QGW035412	AMENITA, PATRICIA	KRATOS DEFENSE & SEC SOLUTIONS	S	-1,124.00	11.25	0.60	10.65	9.53
06/15/2018	QGW035545	KMIECIAK, INES	TWITTER INC	S	-3,960.00	24.55	0.60	23.95	21.50
06/15/2018	QGW035644	MONGELUZZI, LINDA	OPKO HEALTH INC	S	-600.00	7.74	0.60	7.14	6.37
06/15/2018	QGW035644	MONGELUZZI, LINDA	PAYPAL HOLDINGS INC COM	S	-4,250.00	26.35	0.60	25.75	23.12
06/11/2018	QGW035651	YONGE, DAVID	SACHEM CAPITAL CORP COMMON SHARES	S	-930.60	1.00	0.60	0.40	0.30
06/15/2018	QGW035768	MASSA, PATRICIA	OPKO HEALTH INC	S	-2,800.00	17.36	0.60	16.76	15.02
06/13/2018	QGW035834	CHAUDHRY, JAHANZEB	BUCKEYE PARTNERS LP UNITS LTD PARTN	S	-917.99	4.25	0.60	3.65	3.23
06/15/2018	QGW035834	CHAUDHRY, JAHANZEB	OPKO HEALTH INC	S	-1,800.00	15.84	0.60	15.24	13.66
06/27/2018	QGW035883	BUDKEVICS, YANA	SACHEM CAPITAL CORP COMMON SHARES	B	405.00	13.00	0.60	12.40	11.10
06/11/2018	QGW035917	BOVE, DOMINICK	NEW RESIDENTIAL INVESTMENT CORP	B	1,817.00	19.90	0.60	19.30	17.31

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<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
06/13/2018	QGW035917	BOVE, DOMINICK	SACHEM CAPITAL CORP COMMON SHARES	B	1,979.65	50.00	0.60	49.40	44.40
06/08/2018	QGW035982	PANASUK, JOHN	WALT DISNEY CO	B	2,064.52	19.25	0.60	18.65	16.73
06/27/2018	QGW035982	PANASUK, JOHN	NEW RESIDENTIAL INVESTMENT CORP	B	898.50	8.25	0.60	7.65	6.83
06/15/2018	QGW036030	BALLO, CATHERINE	OPKO HEALTH INC	S	-1,900.00	16.72	0.60	16.12	14.45
06/15/2018	QGW036055	MATACOTTA, CORINNA	TWITTER INC	S	-1,800.00	15.84	0.60	15.24	13.66
06/15/2018	QGW036386	FRANCK, RACHEL	TWITTER INC	S	-1,800.00	15.84	0.60	15.24	13.66
06/04/2018	QGW036980	MARCOLINI, COREY	CHARTER COMMUNICATIONS INC NEW CL A S	S	-66.66	2.45	0.60	1.85	1.61
06/04/2018	QGW036980	MARCOLINI, COREY	TELLURIAN INC NEW COM	S	-67.97	2.95	0.60	2.35	2.06
06/04/2018	QGW036980	MARCOLINI, COREY	TIME WARNER INC	S	-233.86	2.95	0.60	2.35	2.06
06/11/2018	QGW037103	BURNS, KATHLEEN	NEW RESIDENTIAL INVESTMENT CORP	B	1,274.00	14.25	0.60	13.65	12.23
06/15/2018	QGW037103	BURNS, KATHLEEN	OPKO HEALTH INC	S	-1,200.00	13.20	0.60	12.60	11.28
06/15/2018	QGW038556	LAPINTA, LEONARD	PAYPAL HOLDINGS INC COM	S	-4,250.00	26.35	0.60	25.75	23.12
06/25/2018	QGW038960	ROMANO, LOUIS	SACHEM CAPITAL CORP COMMON SHARES	B	2,065.00	50.00	0.60	49.40	44.40
06/19/2018	QGW039083	SIMMONS, RICHARD	SACHEM CAPITAL CORP COMMON SHARES	B	1,504.38	36.25	0.60	35.65	32.03
06/11/2018	QGW039216	MCCARTHY, MARCIA	NEW RESIDENTIAL INVESTMENT CORP	B	1,815.00	19.40	0.60	18.80	16.86
06/27/2018	QGW039471	MARTINEZ, MARY	SACHEM CAPITAL CORP COMMON SHARES	B	810.00	20.00	0.60	19.40	17.40
06/19/2018	QGW039752	RICCA, CARLO	SACHEM CAPITAL CORP COMMON SHARES	B	1,120.50	27.00	0.60	26.40	23.70
06/12/2018	QGW039794	OF JULIE LEONARD,	GWG HLDGS INC COM	S	-387.50	6.00	0.60	5.40	4.80
06/13/2018	QGW039794	OF JULIE LEONARD,	SACHEM CAPITAL CORP COMMON SHARES	S	-1,841.40	0.01	0.60	-0.59	-0.59
06/13/2018	QGW039794	OF JULIE LEONARD,	SACHEM CAPITAL CORP COMMON SHARES	S	1,841.40	-0.01	-0.60	0.59	0.59 C
06/13/2018	QGW039794	OF JULIE LEONARD,	SACHEM CAPITAL CORP COMMON SHARES	S	-1,841.40	41.85	0.60	41.25	37.07
06/14/2018	QGW039794	OF JULIE LEONARD,	GWG HLDGS INC COM	S	-589.64	9.00	0.60	8.40	7.50
06/08/2018	QGW039869	OHARA, JOHN	PAYPAL HOLDINGS INC COM	B	1,254.93	13.95	0.60	13.35	11.96
06/08/2018	QGW039869	OHARA, JOHN	SACHEM CAPITAL CORP COMMON SHARES	B	529.20	12.15	0.60	11.55	10.34
05/30/2018	QGW039885	CORTESE, ROCCO	WALT DISNEY CO	B	1,995.20	16.45	0.60	15.85	14.21
06/04/2018	QGW039885	CORTESE, ROCCO	HEICO CORPORATION	B	1,408.83	12.40	0.60	11.80	10.56
06/11/2018	QGW039885	CORTESE, ROCCO	VERIZON COMMUNICATIONS	B	1,978.80	18.75	0.60	18.15	16.28
06/12/2018	QGW039885	CORTESE, ROCCO	NEW RESIDENTIAL INVESTMENT CORP	B	1,810.00	19.75	0.60	19.15	17.18
05/30/2018	QGW039893	COLON, JORGE	BUCKEYE PARTNERS LP UNITS LTD PARTN B	B	362.36	4.95	0.60	4.35	3.86
06/27/2018	QGW039943	NESTOR, MARYLOU	GROUPON INC COM CL A	S	-42.73	2.75	0.60	2.15	1.88
06/27/2018	QGW039943	NESTOR, MARYLOU	LG DISPLAY CO LTD SPONSORED ADR REP S	S	-162.27	2.50	0.60	1.90	1.65
06/27/2018	QGW039943	NESTOR, MARYLOU	NEW RESIDENTIAL INVESTMENT CORP	B	901.00	9.75	0.60	9.15	8.18
06/13/2018	QGW031783	JACKSON, FRANK	CALL 100 SNAP INC CL A EXP 08-17-18 S	S	-22.50	2.45	0.68	1.77	1.53
06/21/2018	QGW033441	MURPHY, BREANNE	PUT 100 VUZIX CORP COM NEW EXP 10-1 S	S	-40.00	3.45	0.85	2.60	2.26
05/31/2018	QGW034415	CHAIMOVITCH, BERNARD	CALL 100 VUZIX CORP COM NEW EXP 10- S	S	-60.00	4.95	0.85	4.10	3.61
05/31/2018	QGW035172	O'NEILL, RORY	CALL 100 VUZIX CORP COM NEW EXP 07- S	S	-25.00	2.50	0.85	1.65	1.40
06/13/2018	QGW035180	PUE, MARYANN	CALL 100 SNAP INC CL A EXP 08-17-18 S	S	-34.65	3.50	0.71	2.79	2.44
05/31/2018	QGW035198	WULFF, HANS	CALL 100 VUZIX CORP COM NEW EXP 07- S	S	-25.00	2.50	0.85	1.65	1.40
05/31/2018	QGW035198	WULFF, HANS	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	S	-30.00	3.10	0.98	2.12	1.81
06/18/2018	QGW035198	WULFF, HANS	CALL 100 COHERUS BIOSCIENCES INC CO S	S	-95.00	4.95	0.85	4.10	3.61

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
05/31/2018	QGW035206	SKAHILL, ROBERT	CALL 100	VUZIX CORP COM NEW EXP 10- S	-60.00	4.95	0.85	4.10	3.61
05/31/2018	QGW035255	BROCHARD, MARIA	CALL 100	VUZIX CORP COM NEW EXP 10- S	-60.00	4.95	0.85	4.10	3.61
06/07/2018	QGW035255	BROCHARD, MARIA	PUT 100	ENERGOUS CORP COM EXP 07-20 S	-55.00	4.95	0.73	4.22	3.73
05/31/2018	QGW035297	SIERRA, KATHLEEN	CALL 100	VUZIX CORP COM NEW EXP 10- S	-60.00	4.95	0.85	4.10	3.61
06/15/2018	QGW035347	MODUGNO, ROCCO	CALL 100	SNAP INC CL A EXP 08-17-18 S	-38.50	3.75	0.73	3.02	2.65
06/22/2018	QGW035347	MODUGNO, ROCCO	CALL 100	VUZIX CORP COM NEW EXP 10- S	-43.75	2.95	0.91	2.04	1.75
05/31/2018	QGW035511	LYDEN, MARY	CALL 100	VUZIX CORP COM NEW EXP 07- S	-31.25	2.70	0.91	1.79	1.52
06/08/2018	QGW035511	LYDEN, MARY	CALL 100	COHERUS BIOSCIENCES INC CO S	-45.00	4.55	0.85	3.70	3.25
06/12/2018	QGW035511	LYDEN, MARY	CALL 100	SNAP INC CL A EXP 07-20-18 S	-19.50	2.10	0.79	1.31	1.10
06/14/2018	QGW035537	LYDEN, MARY	PUT 100	ENERGOUS CORP COM EXP 07-20 S	-67.50	4.95	0.79	4.16	3.67
05/31/2018	QGW035552	KMIECIAK, ZBIGNIEW	CALL 100	VUZIX CORP COM NEW EXP 07- S	-25.00	2.50	0.85	1.65	1.40
05/31/2018	QGW035552	KMIECIAK, ZBIGNIEW	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-40.00	4.10	1.10	3.00	2.59
06/18/2018	QGW035552	KMIECIAK, ZBIGNIEW	CALL 100	COHERUS BIOSCIENCES INC CO S	-45.00	4.50	0.73	3.77	3.32
06/20/2018	QGW035552	KMIECIAK, ZBIGNIEW	CALL 100	PAYPAL HLDGS INC COM EXP 0 S	-17.70	1.80	0.64	1.16	0.98
06/21/2018	QGW035552	KMIECIAK, ZBIGNIEW	CALL 100	ENERGOUS CORP COM EXP 07-2 S	-35.00	3.40	0.73	2.67	2.33
06/08/2018	QGW035594	DUSATKO, ANNE	CALL 100	COHERUS BIOSCIENCES INC CO S	-22.50	2.30	0.73	1.57	1.34
06/13/2018	QGW035594	DUSATKO, ANNE	PUT 100	ENERGOUS CORP COM EXP 07-20 S	-54.00	4.95	0.75	4.20	3.71
06/15/2018	QGW035594	DUSATKO, ANNE	CALL 100	SNAP INC CL A EXP 08-17-18 S	-46.20	4.75	0.75	4.00	3.53
06/07/2018	QGW035644	MONGELUZZI, LINDA	PUT 100	ENERGOUS CORP COM EXP 07-20 S	-55.00	4.95	0.73	4.22	3.73
06/13/2018	QGW035644	MONGELUZZI, LINDA	PUT 100	ENERGOUS CORP COM EXP 07-20 S	-120.00	4.95	0.73	4.22	3.73
05/31/2018	QGW035669	KOLLAR, ROBERT	CALL 100	VUZIX CORP COM NEW EXP 07- S	-25.00	2.55	0.85	1.70	1.45
06/07/2018	QGW035669	KOLLAR, ROBERT	PUT 100	ENERGOUS CORP COM EXP 07-20 S	-55.00	4.95	0.73	4.22	3.73
06/07/2018	QGW035669	KOLLAR, ROBERT	PUT 100	VUZIX CORP COM NEW EXP 10-1 S	-55.00	4.95	0.85	4.10	3.61
06/13/2018	QGW035669	KOLLAR, ROBERT	CALL 100	SNAP INC CL A EXP 08-17-18 S	-38.50	3.90	0.73	3.17	2.78
06/07/2018	QGW035685	EICHENHOLZ, SONDR	CALL 100	VUZIX CORP COM NEW EXP 10- S	-22.50	2.25	0.79	1.46	1.24
06/13/2018	QGW035685	EICHENHOLZ, SONDR	CALL 100	ENERGOUS CORP COM EXP 07-2 S	-37.50	3.65	0.73	2.92	2.56
06/13/2018	QGW035685	EICHENHOLZ, SONDR	CALL 100	SNAP INC CL A EXP 08-17-18 S	-27.20	2.50	0.70	1.80	1.55
06/20/2018	QGW035693	MASSA, WILLIAM	CALL 100	PAYPAL HLDGS INC COM EXP 0 S	-10.35	1.40	0.64	0.76	0.62
06/12/2018	QGW035701	SZARKA, MICHAEL	CALL 100	PAYPAL HLDGS INC COM EXP 0 S	-17.10	1.95	0.64	1.31	1.12
06/14/2018	QGW035701	SZARKA, MICHAEL	PUT 100	ENERGOUS CORP COM EXP 07-20 S	-45.00	4.55	0.73	3.82	3.37
06/07/2018	QGW035743	KUDLIK, CHRIS	CALL 100	VUZIX CORP COM NEW EXP 01- S	-27.50	2.75	0.73	2.02	1.75
06/08/2018	QGW035768	MASSA, PATRICIA	PUT 100	ENERGOUS CORP COM EXP 07-20 S	-55.00	4.95	0.73	4.22	3.73
06/20/2018	QGW035768	MASSA, PATRICIA	CALL 100	PAYPAL HLDGS INC COM EXP 0 S	-10.50	1.40	0.64	0.76	0.62
05/31/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100	VUZIX CORP COM NEW EXP 10- S	-60.00	4.95	0.85	4.10	3.61
06/08/2018	QGW035776	ZIMMERMAN, FRANCINE	PUT 100	BANK OF AMERICA CORPORATION S	-39.00	3.50	0.79	2.71	2.36
06/08/2018	QGW035776	ZIMMERMAN, FRANCINE	PUT 100	ENERGOUS CORP COM EXP 07-20 S	-57.50	4.95	0.73	4.22	3.73
06/12/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100	SNAP INC CL A EXP 07-20-18 S	-19.50	2.10	0.79	1.31	1.10
06/21/2018	QGW035776	ZIMMERMAN, FRANCINE	PUT 100	VUZIX CORP COM NEW EXP 10-1 S	-40.00	3.95	0.85	3.10	2.71
06/26/2018	QGW035776	ZIMMERMAN, FRANCINE	PUT 100	OPKO HEALTH INC COM EXP 07- S	-50.00	4.60	1.23	3.37	2.91
05/31/2018	QGW035784	SHEEHAN, DAVID	CALL 100	VUZIX CORP COM NEW EXP 10- S	-60.00	4.95	0.85	4.10	3.61

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
05/31/2018	QGW035834 CHAUDHRY, JAHANZEB	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-44.00	4.00	1.10	2.90		2.50
06/13/2018	QGW035834 CHAUDHRY, JAHANZEB	CALL 100 SNAP INC CL A EXP 08-17-18 S		-38.00	3.85	0.73	3.12		2.74
06/13/2018	QGW035834 CHAUDHRY, JAHANZEB	PUT 100 ENERGOUS CORP COM EXP 07-20 S		-120.00	4.95	0.73	4.22		3.73
06/14/2018	QGW035834 CHAUDHRY, JAHANZEB	CALL 100 PAYPAL HLDGS INC COM EXP 0 S		-15.75	1.50	0.64	0.86		0.71
06/22/2018	QGW035834 CHAUDHRY, JAHANZEB	PUT 100 OPKO HEALTH INC COM EXP 08- S		-70.00	4.95	1.10	3.85		3.36
06/26/2018	QGW035834 CHAUDHRY, JAHANZEB	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-126.75	4.95	1.09	3.86		3.37
06/13/2018	QGW035891 DICKIE, JAYNE	CALL 100 SNAP INC CL A EXP 08-17-18 S		-21.60	2.25	0.68	1.57		1.35
06/15/2018	QGW035891 DICKIE, JAYNE	PUT 100 ENERGOUS CORP COM EXP 07-20 S		-50.00	4.95	0.65	4.30		3.81
06/15/2018	QGW035917 BOVE, DOMINICK	PUT 100 ENERGOUS CORP COM EXP 07-20 S		-45.00	4.50	0.73	3.77		3.32
06/15/2018	QGW035917 BOVE, DOMINICK	PUT 100 ENERGOUS CORP COM EXP 07-20 S		-125.00	4.95	0.73	4.22		3.73
06/27/2018	QGW035917 BOVE, DOMINICK	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-44.00	4.05	0.85	3.20		2.80
06/13/2018	QGW035925 MOTT, LINDA	CALL 100 SNAP INC CL A EXP 08-17-18 S		-26.40	2.45	0.70	1.75		1.51
06/08/2018	QGW035982 PANASUK, JOHN	PUT 100 BANK OF AMERICA CORPORATION S		-25.00	2.45	0.73	1.72		1.48
06/14/2018	QGW035982 PANASUK, JOHN	PUT 100 ENERGOUS CORP COM EXP 07-20 S		-45.00	4.40	0.73	3.67		3.23
06/19/2018	QGW035982 PANASUK, JOHN	CALL 100 VUZIX CORP COM NEW EXP 10- S		-105.00	4.95	1.35	3.60		3.11
06/19/2018	QGW035982 PANASUK, JOHN	PUT 100 OPKO HEALTH INC COM EXP 07- S		-35.00	3.00	1.04	1.96		1.66
06/21/2018	QGW035982 PANASUK, JOHN	PUT 100 VUZIX CORP COM NEW EXP 10-1 S		-40.00	3.95	0.85	3.10		2.71
06/15/2018	QGW035990 HERDTER, RICHARD	PUT 100 ENERGOUS CORP COM EXP 07-20 S		-125.00	4.95	0.73	4.22		3.73
06/20/2018	QGW035990 HERDTER, RICHARD	CALL 100 PAYPAL HLDGS INC COM EXP 0 S		-18.30	1.85	0.64	1.21		1.03
06/13/2018	QGW036030 BALLO, CATHERINE	PUT 100 ENERGOUS CORP COM EXP 07-20 S		-117.50	4.95	0.73	4.22		3.73
06/15/2018	QGW036030 BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 08-17-18 S		-58.50	4.95	0.79	4.16		3.67
06/18/2018	QGW036030 BALLO, CATHERINE	PUT 100 HEICO CORP NEW COM EXP 07-2 S		-175.00	4.95	0.73	4.22		3.73
06/13/2018	QGW036048 FITZPATRICK, BARRY	CALL 100 ENERGOUS CORP COM EXP 07-2 S		-37.50	3.75	0.73	3.02		2.65
06/13/2018	QGW036048 FITZPATRICK, BARRY	CALL 100 SNAP INC CL A EXP 08-17-18 S		-31.05	2.90	0.71	2.19		1.90
06/27/2018	QGW036055 MATACOTTA, CORINNA	PUT 100 ENERGOUS CORP COM EXP 08-17 S		-35.00	3.45	0.73	2.72		2.38
06/22/2018	QGW036063 PICCININNI,	CALL 100 VUZIX CORP COM NEW EXP 08- S		-56.25	4.95	0.79	4.16		3.67
06/05/2018	QGW036071 HENNEMANN, DENNIS	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-20.00	1.95	0.85	1.10		0.91
06/20/2018	QGW036071 HENNEMANN, DENNIS	CALL 100 PAYPAL HLDGS INC COM EXP 0 S		-18.00	1.80	0.64	1.16		0.98
06/13/2018	QGW036238 LENTO, JOHN	CALL 100 SNAP INC CL A EXP 08-17-18 S		-26.80	2.05	0.70	1.35		1.15
06/27/2018	QGW036279 MIKO, ARTHUR	PUT 100 ENERGOUS CORP COM EXP 08-17 S		-35.00	3.50	0.73	2.77		2.42
05/31/2018	QGW036899 SARLO, JESSICA	CALL 100 VUZIX CORP COM NEW EXP 10- S		-51.00	4.95	0.81	4.14		3.65
06/19/2018	QGW036956 BROCHARD, THOMAS	CALL 100 VUZIX CORP COM NEW EXP 10- S		-35.00	3.50	0.85	2.65		2.30
06/14/2018	QGW037004 STAAB, MARY	PUT 100 ENERGOUS CORP COM EXP 07-20 S		-45.00	4.55	0.73	3.82		3.37
06/19/2018	QGW037012 STAAB, MARY	PUT 100 VUZIX CORP COM NEW EXP 08-1 S		-105.00	4.95	0.85	4.10		3.61
06/05/2018	QGW037087 01/19/2016, U/A	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-40.00	4.00	1.10	2.90		2.50
06/13/2018	QGW037087 01/19/2016, U/A	CALL 100 SNAP INC CL A EXP 08-17-18 S		-26.00	2.20	0.70	1.50		1.28
06/19/2018	QGW037087 01/19/2016, U/A	CALL 100 VUZIX CORP COM NEW EXP 07- S		-15.00	1.49	0.68	0.81		0.66
06/22/2018	QGW037087 01/19/2016, U/A	CALL 100 VUZIX CORP COM NEW EXP 07- S		-35.00	3.47	0.78	2.69		2.34
06/12/2018	QGW037103 BURNS, KATHLEEN	CALL 100 COHERUS BIOSCIENCES INC CO S		-38.50	3.95	0.69	3.26		2.87
06/14/2018	QGW038382 VINCENTI, ROBERT	PUT 100 ENERGOUS CORP COM EXP 07-20 S		-45.00	4.55	0.73	3.82		3.37

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
06/01/2018	QGW038556	LAPINTA, LEONARD	CALL 100 VUZIX CORP COM NEW EXP 10- S	-59.50	4.21	0.81	3.40		2.98
06/06/2018	QGW038556	LAPINTA, LEONARD	CALL 100 VUZIX CORP COM NEW EXP 10- S	-10.50	0.74	0.64	0.10		0.03
06/07/2018	QGW038556	LAPINTA, LEONARD	PUT 100 ENERGOUS CORP COM EXP 07-20 S	-52.50	4.95	0.73	4.22		3.73
06/20/2018	QGW038556	LAPINTA, LEONARD	PUT 100 HEICO CORP NEW COM EXP 08-1 S	-200.00	4.95	0.70	4.25		3.76
06/26/2018	QGW038960	ROMANO, LOUIS	PUT 100 DISNEY WALT CO DISNEY COM E S	-42.80	3.85	0.65	3.20		2.82
06/26/2018	QGW038960	ROMANO, LOUIS	PUT 100 HEICO CORP NEW COM EXP 07-2 S	-29.00	2.95	0.65	2.30		2.01
06/26/2018	QGW038960	ROMANO, LOUIS	PUT 100 VUZIX CORP COM NEW EXP 08-1 S	-30.00	3.10	1.10	2.00		1.69
06/27/2018	QGW038960	ROMANO, LOUIS	PUT 100 ENERGOUS CORP COM EXP 08-17 S	-60.00	4.95	0.79	4.16		3.67
06/13/2018	QGW039091	CITARELLA, FRANK	CALL 100 PAYPAL HLDGS INC COM EXP 0 S	-15.75	1.65	0.64	1.01		0.85
06/15/2018	QGW039091	CITARELLA, FRANK	PUT 100 ENERGOUS CORP COM EXP 07-20 S	-45.00	4.50	0.73	3.77		3.32
06/22/2018	QGW039091	CITARELLA, FRANK	CALL 100 VUZIX CORP COM NEW EXP 08- S	-65.00	4.95	0.85	4.10		3.61
06/22/2018	QGW039117	CUPAIOLO, JOSEPH	CALL 100 VUZIX CORP COM NEW EXP 10- S	-33.25	3.40	0.84	2.56		2.22
05/31/2018	QGW039125	WHITE, KERRY	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-30.00	3.00	0.98	2.02		1.72
06/05/2018	QGW039125	WHITE, KERRY	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-10.00	1.00	0.73	0.27		0.17
05/31/2018	QGW039216	MCCARTHY, MARCIA	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-40.00	4.10	1.10	3.00		2.59
06/08/2018	QGW039216	MCCARTHY, MARCIA	CALL 100 VUZIX CORP COM NEW EXP 10- S	-26.25	2.55	0.79	1.76		1.51
06/08/2018	QGW039216	MCCARTHY, MARCIA	PUT 100 BANK OF AMERICA CORPORATION S	-53.00	4.95	0.85	4.10		3.61
06/13/2018	QGW039216	MCCARTHY, MARCIA	PUT 100 ENERGOUS CORP COM EXP 07-20 S	-122.50	4.95	0.73	4.22		3.73
06/21/2018	QGW039216	MCCARTHY, MARCIA	PUT 100 HEICO CORP NEW COM EXP 08-1 S	-255.00	4.95	0.73	4.22		3.73
06/27/2018	QGW039471	MARTINEZ, MARY	PUT 100 VUZIX CORP COM NEW EXP 10-1 S	-60.00	4.95	0.98	3.97		3.48
06/27/2018	QGW039471	MARTINEZ, MARY	PUT 100 VUZIX CORP COM NEW EXP 10-1 S	60.00	-4.95	-0.98	-3.97		-3.48 C
06/27/2018	QGW039471	MARTINEZ, MARY	PUT 100 VUZIX CORP COM NEW EXP 10-1 S	-60.00	4.95	0.98	3.97		3.48
06/08/2018	QGW039745	LEWIS, THOMAS	PUT 100 BANK OF AMERICA CORPORATION S	-37.50	3.50	0.79	2.71		2.36
06/20/2018	QGW039745	LEWIS, THOMAS	CALL 100 PAYPAL HLDGS INC COM EXP 0 S	-48.00	3.95	0.70	3.25		2.86
06/08/2018	QGW039752	RICCA, CARLO	PUT 100 BANK OF AMERICA CORPORATION S	-37.50	3.50	0.79	2.71		2.36
06/08/2018	QGW039869	OHARA, JOHN	PUT 100 BANK OF AMERICA CORPORATION S	-25.00	2.40	0.73	1.67		1.43
06/18/2018	QGW039869	OHARA, JOHN	PUT 100 HEICO CORP NEW COM EXP 07-2 S	-105.00	4.95	0.68	4.27		3.78
06/11/2018	QGW039885	CORTESE, ROCCO	PUT 100 ENERGOUS CORP COM EXP 07-20 S	-18.00	1.49	0.64	0.85		0.70
06/13/2018	QGW039885	CORTESE, ROCCO	PUT 100 BANK OF AMERICA CORPORATION S	-39.00	3.55	0.79	2.76		2.41
06/07/2018	QGW039919	FLANAGAN, MATTHEW	PUT 100 ENERGOUS CORP COM EXP 07-20 S	-55.00	4.95	0.73	4.22		3.73
06/20/2018	VARIOUS, BELZ RICHARD	GWG HOLDINGS	B	0.00	130.00	0.00	130.00		117.00
06/18/2018	QGW031783	JACKSON, FRANK	FRANKLIN GROWTH FUND CLASS A	B	0.00	0.22	0.22		0.20
06/14/2018	QGW034035	FAMILY 2012 IRREV	PUTNAM 746745108	B	0.00	0.72	0.72		0.65
06/14/2018	QGW034035	FAMILY 2012 IRREV	PUTNAM 746745207	B	0.00	0.42	0.42		0.38
06/14/2018	QGW034035	FAMILY 2012 IRREV	PUTNAM 746802511	B	0.00	0.53	0.53		0.48
06/15/2018	QGW034035	FAMILY 2012 IRREV	FEDERATED KAUFMANN FUND	B	0.00	1.05	1.05		0.95
06/15/2018	QGW034035	FAMILY 2012 IRREV	FEDERATED MDT LARGE CAP VALUE FUND	B	0.00	0.94	0.94		0.85
06/18/2018	QGW035503	SIMPSON, DAVID	GLOBAL OPPORTUNITIES A	B	0.00	0.07	0.07		0.06
06/11/2018	QGW036774	STOCKWELL, GLENN	ALLIANCEBERNSTEIN GROWTH AND INC A	B	0.00	0.21	0.21		0.19
06/11/2018	QGW036774	STOCKWELL, GLENN	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	0.63	0.63		0.57

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
06/12/2018	QGW036774	STOCKWELL, GLENN		DREYFUS RESH GROWTH FD INC SHS CL A B	0.00	0.53	0.00	0.53	0.48
06/18/2018	QGW036980	MARCOLINI, COREY		ROCHESTER FUND MUNICIPALS A B	0.00	1.06	0.00	1.06	0.95
06/18/2018	QGW037129	GILMARTIN, MARGARET		THE HARTFORD CAPITAL APPRECIATION F B	0.00	0.28	0.00	0.28	0.25
06/21/2018	QGW038002	WICKERS, SCOTT		FIDELITY ADVISOR BALANCED CLASS A B	0.00	0.15	0.00	0.15	0.14
06/21/2018	QGW038002	WICKERS, SCOTT		FIDELITY ADVISOR EQUITY INCOME CL A B	0.00	0.15	0.00	0.15	0.14
06/25/2018	QGW038002	WICKERS, SCOTT		BLACKROCK ADVANTAGE SMALL CAP GROWT B	0.00	0.08	0.00	0.08	0.07
06/25/2018	QGW038002	WICKERS, SCOTT		BLACKROCK HIGH YIELD BOND FUND CLAS B	0.00	0.09	0.00	0.09	0.08
06/25/2018	QGW038002	WICKERS, SCOTT		BLACKROCK BASIC VALUE FUND INC CLAS B	0.00	0.18	0.00	0.18	0.16
06/25/2018	QGW038002	WICKERS, SCOTT		BLACKROCK EMERGING MARKETS FUND, IN B	0.00	0.18	0.00	0.18	0.16
06/25/2018	QGW038002	WICKERS, SCOTT		BLACKROCK CAP APPRECIATION FD INC C B	0.00	0.22	0.00	0.22	0.20
06/25/2018	QGW038002	WICKERS, SCOTT		BLACKROCK GLOBAL ALLOCATION FUND IN B	0.00	0.15	0.00	0.15	0.14
06/25/2018	QGW038002	WICKERS, SCOTT		BLACKROCK VALUE OPPORTUNITIES FUND B	0.00	0.08	0.00	0.08	0.07
06/25/2018	QGW038002	WICKERS, SCOTT		BLACKROCK STRATEGIC INCOME OPPORTUN B	0.00	0.07	0.00	0.07	0.06
06/18/2018	QGW038556	LAPINTA, LEONARD		GLOBAL FUND A B	0.00	0.29	0.00	0.29	0.26
06/18/2018	QGW038556	LAPINTA, LEONARD		OPPENHEIMER DEVELOPING MARKETS CLAS B	0.00	0.35	0.00	0.35	0.32
06/18/2018	QGW038556	LAPINTA, LEONARD		QUEST VALUE A B	0.00	0.40	0.00	0.40	0.36
06/18/2018	QGW039125	WHITE, KERRY		OPPENHEIMER STEELPATH MLP INCOME FU B	0.00	0.06	0.00	0.06	0.05
06/18/2018	QGW039125	WHITE, KERRY		ROCHESTER FUND MUNICIPALS A B	0.00	0.06	0.00	0.06	0.05
06/19/2018	QGW039125	WHITE, KERRY		GABELLI UTILITIES FUND CLASS A B	0.00	0.05	0.00	0.05	0.05
				* RICHARD BELZ SUBTOTAL *	\$-9,630.74	\$1,378.91	\$117.12	\$1,261.79	\$1,124.46
				*** SPLIT TRANSACTIONS TOTAL ***	\$-9,630.74	\$1,378.91	\$117.12	\$1,261.79	\$1,124.46

ADJUSTMENTS

06/30/2018		COMMISSION INCOME: AMERICAN FDS JUN		0.00	0.00	0.00	0.00	279.61
06/30/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00	-20.00
06/30/2018		OTHER: OTHER-TELEPHONE		0.00	0.00	0.00	0.00	-3.25
06/30/2018		OTHER: TECHNOLOGY		0.00	0.00	0.00	0.00	-150.00
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$106.36

BROKER COMMISSION TOTALS

Broker Transactions	\$302.40
Split Transactions	\$1,124.46
Override Transactions	\$0.00
Adjustments	\$106.36
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.11
SIPC Assessment	\$-4.21

Check Amount	\$1,526.90
Year-to-date Earnings	\$12,321.34