



All Companies

07/30/2018

Page No. 1

COMMISSION STATEMENT

August 01, 2018

BUDDY JOSEPH BUTLER
1013 PALO DURO TRAIL

BROKER #: 9558
BRANCH: HOME OFFICE

Keller, TX 76248-

COMMISSION STATEMENT for BUDDY JOSEPH BUTLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/20/2018	00087016452	BOZARTH, BREWER	NEW WORLD FUND-529C	B	125.00	1.25	0.00	1.25	90.0	1.13
07/20/2018	00087016452	BOZARTH, BREWER	SMALLCAP WORLD FUND-529C	B	125.00	1.25	0.00	1.25	90.0	1.13
07/16/2018	00089961777	CHURCHILL, TODD	COLLEGE 2033 FUND-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
* MUTUAL FUNDS SUBTOTAL *					\$300.00	\$3.00	\$0.00	\$3.00		\$2.71

PRODUCT CATEGORY: VARIABLE ANNUITIES

07/09/2018	TRAILS	-JACKSON NATIONAL	B	27.69	27.69	0.00	27.69	90.0	24.92
07/10/2018	BARTUSH-SCHNITZIUS, FOOD CO.	-JOHN HANCOCK	B	683.11	3.41	0.00	3.41	90.0	3.07
07/16/2018	BARTUSH-SCHNITZIUS, FOOD CO.	-JOHN HANCOCK	B	5,623.90	28.11	0.00	28.11	90.0	25.30
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	3,744.20	3,744.20	0.00	3,744.20	90.0	3,369.78
07/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	9,804.05	9,804.05	0.00	9,804.05	90.0	8,823.65
07/05/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	329.59	329.59	0.00	329.59	90.0	296.63
* VARIABLE ANNUITIES SUBTOTAL *				\$20,212.54	\$13,937.05	\$0.00	\$13,937.05		\$12,543.35

PRODUCT CATEGORY: RIA

07/19/2018	HENDERSON, CHARLES A	SIGNAL SECURITIES RIA	B	500,000.00	292.91	0.00	292.91	90.0	263.62
07/19/2018	BEHELER, PRISCILLA J	SIGNAL SECURITIES RIA	B	100,000.00	20.33	0.00	20.33	90.0	18.30
07/19/2018	COST, LORENE	SIGNAL SECURITIES RIA	B	100,000.00	2.00	0.00	2.00	90.0	1.80
07/24/2018	NICHOLSON, LEONARD A	SIGNAL SECURITIES RIA	B	500,000.00	35.66	0.00	35.66	90.0	32.09
07/24/2018	EARP, ROBERT/SANDRA	SIGNAL SECURITIES RIA	B	0.00	55.33	0.00	55.33	90.0	49.80
07/13/2018	10903303 LYBYER, SHELLEY F	SIGNAL SECURITIES RIA	B	0.00	187.33	0.00	187.33	90.0	168.60
07/13/2018	10985026 SNELL, TERESA P	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
07/13/2018	11240553 HENDERSON, KELLIE D	SIGNAL SECURITIES RIA	B	0.00	310.67	0.00	310.67	90.0	279.60
07/13/2018	11575002 STRACK, NANCY	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
07/13/2018	12568962 SABLACK, GEORGE/ROSEMARY	SIGNAL SECURITIES RIA	B	0.00	167.67	0.00	167.67	90.0	150.90
07/13/2018	12646736 CHURCHILL, TODD E & JULIE	SIGNAL SECURITIES RIA	B	0.00	110.67	0.00	110.67	90.0	99.60
07/13/2018	15938838 GORDON, YANA DUKE	SIGNAL SECURITIES RIA	B	0.00	116.33	0.00	116.33	90.0	104.70
07/13/2018	17691322 CHURCHILL, JULIE F	SIGNAL SECURITIES RIA	B	0.00	19.33	0.00	19.33	90.0	17.40
07/13/2018	19311838 BUCKNER, ALFRED/SHARON	SIGNAL SECURITIES RIA	B	0.00	485.33	0.00	485.33	90.0	436.80

COMMISSION STATEMENT for BUDDY JOSEPH BUTLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/13/2018	21282922 KOZAK, RUTH S	SIGNAL SECURITIES RIA	B	0.00	994.67	0.00	994.67	90.0	895.20
07/13/2018	21697737 ROGERS REV. LVG. TRS, BILL C	SIGNAL SECURITIES RIA	B	0.00	1,256.67	0.00	1,256.67	90.0	1,131.00
07/13/2018	21839817 MCGINN FAM LIV TRUST, WILLIAM/KATHY	SIGNAL SECURITIES RIA	B	0.00	0.33	0.00	0.33	90.0	0.30
07/13/2018	21849623 HESSLING, ALAN J.	SIGNAL SECURITIES RIA	B	0.00	51.33	0.00	51.33	90.0	46.20
07/13/2018	21998355 PRAUL, KAREN S	SIGNAL SECURITIES RIA	B	0.00	434.00	0.00	434.00	90.0	390.60
07/13/2018	22402020 CHASE, ROBERT JAMES	SIGNAL SECURITIES RIA	B	0.00	265.33	0.00	265.33	90.0	238.80
07/13/2018	22431988 CHASE, SHEILA M	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
07/13/2018	24875646 HEINEMAN, MARTHA MOON	SIGNAL SECURITIES RIA	B	0.00	223.33	0.00	223.33	90.0	201.00
07/13/2018	25320334 MCGINN, TIMOTHY D	SIGNAL SECURITIES RIA	B	0.00	21.33	0.00	21.33	90.0	19.20
07/13/2018	25377313 MILLSAP, JO ANN	SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33	90.0	2.10
07/13/2018	25726497 BERGLUND, JAMES E.	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	90.0	7.80
07/13/2018	25780232 MERRELL, DENNIS/ DIANE	SIGNAL SECURITIES RIA	B	0.00	774.00	0.00	774.00	90.0	696.60
07/13/2018	25953225 FOSLIN, MARK V	SIGNAL SECURITIES RIA	B	0.00	57.33	0.00	57.33	90.0	51.60
07/13/2018	26508300 MECASKEY, CHARLES & IMOGE	SIGNAL SECURITIES RIA	B	0.00	187.33	0.00	187.33	90.0	168.60
07/13/2018	29000999 HEINEMAN, BRYON DONALD	SIGNAL SECURITIES RIA	B	0.00	323.00	0.00	323.00	90.0	290.70
07/13/2018	31191575 HESSLING, CONNIE M	SIGNAL SECURITIES RIA	B	0.00	20.67	0.00	20.67	90.0	18.60
07/19/2018	31794327 FLEMING, MARGARET J	SIGNAL SECURITIES RIA	B	0.00	482.75	0.00	482.75	90.0	434.48
07/13/2018	32068400 MERRELL, DENNIS/ DIANE	SIGNAL SECURITIES RIA	B	0.00	309.33	0.00	309.33	90.0	278.40
07/13/2018	32522388 DAHN, TRAVIS & DESIRA	SIGNAL SECURITIES RIA	B	0.00	53.67	0.00	53.67	90.0	48.30
07/13/2018	33247422 TAYLOR, LARRY MARCUS	SIGNAL SECURITIES RIA	B	0.00	9.33	0.00	9.33	90.0	8.40
07/13/2018	33352821 FLEMING, MARGARET J	SIGNAL SECURITIES RIA	B	0.00	170.00	0.00	170.00	90.0	153.00
07/13/2018	34554024 THOMAS, LISA CEA	SIGNAL SECURITIES RIA	B	0.00	14.33	0.00	14.33	90.0	12.90
07/13/2018	35202907 HORN, ALISA J	SIGNAL SECURITIES RIA	B	0.00	40.67	0.00	40.67	90.0	36.60
07/13/2018	36377350 KLINE, DIANE K.	SIGNAL SECURITIES RIA	B	0.00	99.00	0.00	99.00	90.0	89.10
07/13/2018	36445988 HENDERSON, CARSON P	SIGNAL SECURITIES RIA	B	0.00	2.67	0.00	2.67	90.0	2.40
07/13/2018	40233916 FABIAN, DEBRA A	SIGNAL SECURITIES RIA	B	0.00	41.00	0.00	41.00	90.0	36.90
07/13/2018	41000315 CHASE, SHEILA M	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
07/13/2018	41085541 HIGGINS, JOAN B	SIGNAL SECURITIES RIA	B	0.00	11.67	0.00	11.67	90.0	10.50
07/13/2018	41110684 STRACK, ADRIAN	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
07/13/2018	41140555 SNELL, TERESA P	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
07/13/2018	41395061 HEINEMAN, MARTHA MOON	SIGNAL SECURITIES RIA	B	0.00	279.00	0.00	279.00	90.0	251.10
07/13/2018	41445572 DAHN JR, WILLIAM E.	SIGNAL SECURITIES RIA	B	0.00	47.33	0.00	47.33	90.0	42.60
07/19/2018	41445572 DAHN JR, WILLIAM E.	SIGNAL SECURITIES RIA	B	0.00	33.18	0.00	33.18	90.0	29.86
07/13/2018	41515337 BUCKNER,	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20

COMMISSION STATEMENT for BUDDY JOSEPH BUTLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
ALFRED/SHARON									
07/13/2018	41598212 BARTUSH-SCHNITZIUS, FOOD CO.	SIGNAL SECURITIES RIA	B	0.00	1,030.00	0.00	1,030.00	90.0	927.00
07/13/2018	43144139 BARRAGAN, GUILLERMO	SIGNAL SECURITIES RIA	B	0.00	1.67	0.00	1.67	90.0	1.50
07/13/2018	43216280 HESSLING, ALAN J.	SIGNAL SECURITIES RIA	B	0.00	544.67	0.00	544.67	90.0	490.20
07/13/2018	43408918 HIGGINS TRUST, WILLIAM/ JOAN	SIGNAL SECURITIES RIA	B	0.00	204.33	0.00	204.33	90.0	183.90
07/20/2018	45551096 KEENEY, DANNY B	SIGNAL SECURITIES RIA	B	0.00	44.66	0.00	44.66	90.0	40.19
07/13/2018	46467197 FOSTER, KAREN S	SIGNAL SECURITIES RIA	B	0.00	577.33	0.00	577.33	90.0	519.60
07/13/2018	46801252 JACOBSON, JAMES A/ROSE A	SIGNAL SECURITIES RIA	B	0.00	333.67	0.00	333.67	90.0	300.30
07/13/2018	47058294 HENDERSON, KELLIE D	SIGNAL SECURITIES RIA	B	0.00	178.67	0.00	178.67	90.0	160.80
07/13/2018	47639185 RONCK, PHILIP S	SIGNAL SECURITIES RIA	B	0.00	78.00	0.00	78.00	90.0	70.20
07/13/2018	48834901 HARTMANN, GERALDINE VESEY	SIGNAL SECURITIES RIA	B	0.00	389.67	0.00	389.67	90.0	350.70
07/13/2018	49134591 LYBYER, SHELLEY F	SIGNAL SECURITIES RIA	B	0.00	24.33	0.00	24.33	90.0	21.90
07/13/2018	49767010 HUFF, ARLIE D	SIGNAL SECURITIES RIA	B	0.00	227.00	0.00	227.00	90.0	204.30
07/19/2018	49767010 HUFF, ARLIE D	SIGNAL SECURITIES RIA	B	0.00	261.60	0.00	261.60	90.0	235.44
07/13/2018	49858081 KLINE, DIANE K.	SIGNAL SECURITIES RIA	B	0.00	74.00	0.00	74.00	90.0	66.60
07/13/2018	50386604 FOSLIN, MARK V	SIGNAL SECURITIES RIA	B	0.00	43.67	0.00	43.67	90.0	39.30
07/13/2018	51436088 BUCKNER, SHARON R	SIGNAL SECURITIES RIA	B	0.00	87.00	0.00	87.00	90.0	78.30
07/13/2018	52224402 STRACK, NANCY	SIGNAL SECURITIES RIA	B	0.00	10.67	0.00	10.67	90.0	9.60
07/13/2018	52891646 STONE, FRED STEPHEN	SIGNAL SECURITIES RIA	B	0.00	20.67	0.00	20.67	90.0	18.60
07/13/2018	53407653 STUCK, III, EDWARD O	SIGNAL SECURITIES RIA	B	0.00	682.00	0.00	682.00	90.0	613.80
07/13/2018	56380456 SOLDNER, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	102.67	0.00	102.67	90.0	92.40
07/13/2018	61029197 ARMSTRONG REV. TRUST, MELBA	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	90.0	7.80
07/13/2018	61346794 SNELL, JR, RAYMOND F	SIGNAL SECURITIES RIA	B	0.00	107.33	0.00	107.33	90.0	96.60
07/13/2018	61517600 MCCOY, BRYAN/MARIA	SIGNAL SECURITIES RIA	B	0.00	31.00	0.00	31.00	90.0	27.90
07/13/2018	62583030 FOSLIN, MARK V	SIGNAL SECURITIES RIA	B	0.00	149.67	0.00	149.67	90.0	134.70
07/13/2018	62630420 CHASE, ROBERT JAMES	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	90.0	17.70
07/13/2018	63369933 MILLSAP, JO ANN	SIGNAL SECURITIES RIA	B	0.00	74.67	0.00	74.67	90.0	67.20
07/13/2018	67256991 NOWLIN, WILOYDEAN	SIGNAL SECURITIES RIA	B	0.00	57.33	0.00	57.33	90.0	51.60
07/13/2018	67256992 NOWLIN, WILOYDEAN	SIGNAL SECURITIES RIA	B	0.00	102.00	0.00	102.00	90.0	91.80
07/13/2018	67527515 MCGINN, KATHY J	SIGNAL SECURITIES RIA	B	0.00	629.33	0.00	629.33	90.0	566.40
07/13/2018	67528927 MERRELL, DENNIS/ DIANE	SIGNAL SECURITIES RIA	B	0.00	123.33	0.00	123.33	90.0	111.00
07/13/2018	69485810 CHURCHILL, TODD E & JULIE	SIGNAL SECURITIES RIA	B	0.00	7.67	0.00	7.67	90.0	6.90
07/13/2018	71472539 MCGINN, WILLIAM	SIGNAL SECURITIES RIA	B	0.00	594.33	0.00	594.33	90.0	534.90

COMMISSION STATEMENT for BUDDY JOSEPH BUTLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
07/13/2018	71533523	MCGINN, NICOLE D	SIGNAL SECURITIES RIA	B	0.00	71.33	0.00	71.33	90.0	64.20
07/13/2018	73298505	PRAUL VA IRREVOCABLE TRST	SIGNAL SECURITIES RIA	B	0.00	468.00	0.00	468.00	90.0	421.20
07/13/2018	73402532	MERRELL, DENNIS/ DIANE	SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33	90.0	5.70
07/19/2018	73428063	SILVA, JR, JESSE	SIGNAL SECURITIES RIA	B	0.00	38.67	0.00	38.67	90.0	34.80
07/13/2018	77272659	SABLACK, ROSEMARY	SIGNAL SECURITIES RIA	B	0.00	77.67	0.00	77.67	90.0	69.90
07/13/2018	77877392	PRILL, KAREN A	SIGNAL SECURITIES RIA	B	0.00	229.00	0.00	229.00	90.0	206.10
07/13/2018	77929375	STRACK, ADRIAN	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	90.0	7.80
07/13/2018	78866913	LYBYER, SHELLEY F	SIGNAL SECURITIES RIA	B	0.00	94.00	0.00	94.00	90.0	84.60
07/13/2018	79117403	MOORE, DAVID W	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	90.0	9.90
07/13/2018	79226928	SABLACK, ROSEMARY	SIGNAL SECURITIES RIA	B	0.00	14.33	0.00	14.33	90.0	12.90
07/13/2018	80658877	ROGERS FAMILY TRST, BILL C.	SIGNAL SECURITIES RIA	B	0.00	66.33	0.00	66.33	90.0	59.70
07/13/2018	80748872	ALWIN, STEPHEN L	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
07/13/2018	81352244	SNELL, TERESA P	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	90.0	0.60
07/13/2018	81532821	RODAK, STEVEN ANDREW	SIGNAL SECURITIES RIA	B	0.00	80.00	0.00	80.00	90.0	72.00
07/13/2018	83089595	SNELL, ALENA PAGE	SIGNAL SECURITIES RIA	B	0.00	3.67	0.00	3.67	90.0	3.30
07/13/2018	84733773	FOSTER, KAREN S	SIGNAL SECURITIES RIA	B	0.00	94.33	0.00	94.33	90.0	84.90
07/13/2018	85428341	STRACK, ADRIAN	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
07/13/2018	85539161	STRACK, NANCY	SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00	90.0	59.40
07/13/2018	85657154	STRACK, NANCY	SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33	90.0	2.10
07/13/2018	88087946	DAHN JR, WILLIAM E.	SIGNAL SECURITIES RIA	B	0.00	227.00	0.00	227.00	90.0	204.30
07/13/2018	90821543	KOZAK, RUTH S	SIGNAL SECURITIES RIA	B	0.00	1,465.33	0.00	1,465.33	90.0	1,318.80
07/13/2018	92229524	DAHN, SHARON A.	SIGNAL SECURITIES RIA	B	0.00	36.33	0.00	36.33	90.0	32.70
07/13/2018	94351664	OSBORN, WILLIAM A	SIGNAL SECURITIES RIA	B	0.00	1,975.67	0.00	1,975.67	90.0	1,778.10
07/13/2018	95245970	FABIAN, RANDALL/DEBRA	SIGNAL SECURITIES RIA	B	0.00	380.67	0.00	380.67	90.0	342.60
07/13/2018	96553430	YAPELLI, FRANK A.	SIGNAL SECURITIES RIA	B	0.00	632.33	0.00	632.33	90.0	569.10
07/13/2018	96553451	YAPELLI, KAREN Y	SIGNAL SECURITIES RIA	B	0.00	351.67	0.00	351.67	90.0	316.50
* RIA SUBTOTAL *					\$1,200,000.00	\$21,311.77	\$0.00	\$21,311.77		\$19,180.58
*** BROKER TRANSACTIONS TOTAL ***					\$1,220,512.54	\$35,251.82	\$0.00	\$35,251.82		\$31,726.64

COMMISSION STATEMENT for BUDDY JOSEPH BUTLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

08/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
08/01/2018		E & O INSURANCE: ZACH 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-200.00

BROKER COMMISSION TOTALS

Broker Transactions	\$31,726.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-200.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$31,526.64
Year-to-date Earnings	\$200,461.76