CONCORDE INVESTMENT SERVICES

## **COMMISSION STATEMENT**

August 15, 2017

BRUCE BAXTER

10385 Wellington Blvd.

Powell, OH 43065

BROKER #: B121 Terminated 05/30/2015

BRANCH: BRUCE BAXTER

| DATE       | CLIENT               | INVESTMENT                                | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|----------------------|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|            |                      | DDOWED MDANG                              | IA CITTONIC          |                     |                    |                   |      | _                    |
|            |                      | BROKER TRANS                              | BACTIONS             |                     |                    |                   |      |                      |
| PRODUCT CA | TEGORY: MUTUAL FUNI  | o <u>s</u>                                |                      |                     |                    |                   |      |                      |
| 08/01/2017 | BROWN, IAN           | MFS AGGRESSIVE GROWTH ALLOCATION A        | 100.00               | 5.00                | 0.00               | 5.00              | 0.0  | 0.00                 |
|            |                      | * MUTUAL FUNDS SUBTOTAL *                 | \$100.00             | \$5.00              | \$0.00             | \$5.00            |      | \$0.00               |
| PRODUCT CA | ATEGORY: VARIABLE AN | NUITIES                                   |                      |                     |                    |                   |      |                      |
| 07/28/2017 | STAMBAUGH, GARY      | SBL ADVISORDESIGNS VA                     | 15,741.16            | 5.90                | 0.00               | 5.90              | 0.0  | 0.00                 |
|            |                      | * VARIABLE ANNUITIES SUBTOTAL *           | \$15,741.16          | \$5.90              | \$0.00             | \$5.90            |      | \$0.00               |
| PRODUCT CA | ATEGORY: VARIABLE AN | NUITY 12B1 TRAILS                         |                      |                     |                    |                   |      |                      |
| 07/31/2017 | LAMBERT, DONNA       | ING PREMIUM PLUS                          | 0.00                 | 57.45               | 0.00               | 57.45             | 0.0  | 0.00                 |
| 07/31/2017 | LAMBERT, JOHN        | ING PREMIUM PLUS                          | 0.00                 | 57.45               | 0.00               | 57.45             | 0.0  | 0.00                 |
|            |                      | * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | \$0.00               | \$114.90            | \$0.00             | \$114.90          |      | \$0.00               |
|            |                      | *** BROKER TRANSACTIONS TOTAL ***         | \$15,841.16          | \$125.80            | \$0.00             | \$125.80          |      | \$0.00               |

## BROKER COMMISSION TOTALS

| Broker Transactions   | \$0.00 |
|-----------------------|--------|
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| \$0.00     | Balance Forward       |
|------------|-----------------------|
| \$4,335.69 | Year-to-date Gross    |
| \$0.00     | Year-to-date Earnings |