

**COMMISSION STATEMENT****February 15, 2018**KEITH, L128 LAYMAN
4321 FlagstaffBROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
12/31/2017	LOWDEN TRUST, KATHERINE	GRIFFIN INST'L ACCESS R E FUND C	43,233.51	36.72	0.00	36.72	80.0	29.38
12/31/2017	ZINN, JEANETTE	GRIFFIN INST'L ACCESS R E FUND C	70,695.39	60.04	0.00	60.04	80.0	48.03
* INTERVAL FUNDS SUBTOTAL *			\$113,928.90	\$96.76	\$0.00	\$96.76		\$77.41
<u>PRODUCT CATEGORY: FIXED INDEX ANNUITIES</u>								
11/29/2017	RIGBY, LORI	VOYA FIA	0.00	-506.25	0.00	-506.25	80.0	-405.00
* FIXED INDEX ANNUITIES SUBTOTAL *			\$0.00	\$-506.25	\$0.00	\$-506.25		\$-405.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
01/24/2018	GEVERINK, ANN	ASCENSUS COLLEGE SAV 194524518	30.00	1.20	0.00	1.20	80.0	0.96
01/31/2018	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	18.00	0.86	0.00	0.86	80.0	0.69
01/24/2018	GEVERINK, ANN	ASCENSUS INA AMERICAN FUNDS EUROPAC	30.00	1.20	0.00	1.20	80.0	0.96
01/31/2018	SAYA, CHRISTINE	ASCENSUS INA AMERICAN FUNDS EUROPAC	2.50	0.12	0.00	0.12	80.0	0.10
01/31/2018	FREEZE, CORTNEY	ASCENSUS INA INTERNATIONAL EQ INDEX	25.00	1.19	0.00	1.19	80.0	0.95
01/31/2018	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	47.00	2.23	0.00	2.23	80.0	1.78
01/24/2018	GEVERINK, ANN	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.00	0.00	2.00	80.0	1.60
01/31/2018	SAYA, CHRISTINE	INA BOSTON COMPANY DYNAMIC LARGE CA	8.75	0.42	0.00	0.42	80.0	0.34
02/02/2018	GALENTINE, ASHLEY	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	80.0	1.90
* 529 PLANS SUBTOTAL *			\$261.25	\$11.60	\$0.00	\$11.60		\$9.28
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/01/2018	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	80.0	3.40
01/22/2018	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS AMERICAN BALANCED A	300.00	3.60	0.00	3.60	80.0	2.88
01/23/2018	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	1.38	0.00	1.38	80.0	1.10
01/25/2018	HALE, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	80.0	2.00
01/29/2018	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	80.0	4.00
01/31/2018	PATTERSON, JANITA	AM FUNDS AMERICAN BALANCED A	70.00	1.93	0.00	1.93	80.0	1.54
02/01/2018	KINDSCHY, CYNTHIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	80.0	1.50

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/01/2018	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	80.0	2.00
01/22/2018	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS CAPITAL INCOME BUILDER A	300.00	3.60	0.00	3.60	80.0	2.88
01/23/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	65.00	1.79	0.00	1.79	80.0	1.43
01/31/2018	PATTERSON, JANITA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	1.65	0.00	1.65	80.0	1.32
02/01/2018	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	0.00	1.88	80.0	1.50
02/01/2018	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	2.25	0.00	2.25	80.0	1.80
01/22/2018	JACKSON, AIMEE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	80.0	2.00
01/23/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.06	0.00	2.06	80.0	1.65
01/29/2018	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	80.0	1.70
01/31/2018	PATTERSON, JANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	80.0	1.10
02/01/2018	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	80.0	1.50
02/01/2018	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	60.00	2.25	0.00	2.25	80.0	1.80
02/01/2018	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	500.00	13.75	0.00	13.75	80.0	11.00
02/01/2018	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.88	0.00	1.88	80.0	1.50
01/23/2018	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	75.00	2.06	0.00	2.06	80.0	1.65
01/24/2018	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	80.0	1.70
01/29/2018	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	80.0	3.40
02/01/2018	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	80.0	1.50
02/01/2018	KINDSCHY, CYNTHIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	80.0	1.50
01/22/2018	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS INCOME FUND OF AMERICA A	300.00	3.60	0.00	3.60	80.0	2.88
01/23/2018	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	55.00	1.51	0.00	1.51	80.0	1.21
01/31/2018	PATTERSON, JANITA	AM FUNDS INCOME FUND OF AMERICA A	50.00	1.38	0.00	1.38	80.0	1.10
01/31/2018	PATTERSON, JANITA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	80.0	0.80
02/01/2018	KINDSCHY, CYNTHIA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	80.0	0.80
01/22/2018	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS NEW ECONOMY A	300.00	3.60	0.00	3.60	80.0	2.88
02/01/2018	DAGER, DONNA	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	80.0	4.00
01/23/2018	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	65.00	1.79	0.00	1.79	80.0	1.43
01/23/2018	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	65.00	1.79	0.00	1.79	80.0	1.43
02/01/2018	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	50.00	1.88	0.00	1.88	80.0	1.50
02/01/2018	GOODMAN, ROBERT	AM FUNDS NEW WORLD A	500.00	13.75	0.00	13.75	80.0	11.00
01/31/2018	PATTERSON, JANITA	AM FUNDS SHORT TERM BND FUND OF AM	50.00	1.00	0.00	1.00	80.0	0.80
02/01/2018	KINDSCHY, CYNTHIA	AM FUNDS SHORT TERM BND FUND OF AM	60.00	1.20	0.00	1.20	80.0	0.96
02/01/2018	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	500.00	13.75	0.00	13.75	80.0	11.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/29/2018	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	0.00	4.25	80.0	3.40
01/24/2018	GEVERINK, ANN	ASCENSUS INA PIMCO TOTAL RETURN POR	40.00	1.30	0.00	1.30	80.0	1.04
01/31/2018	SAYA, CHRISTINE	ASCENSUS INA PIMCO TOTAL RETURN POR	5.00	0.16	0.00	0.16	80.0	0.13
01/24/2018	GEVERINK, ANN	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.00	0.00	2.00	80.0	1.60
01/31/2018	SAYA, CHRISTINE	ASCENSUS INA T ROWE PRICE LG CAP GR	8.75	0.42	0.00	0.42	80.0	0.34
02/02/2018	GALENTINE, ASHLEY	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	80.0	1.90
01/31/2018	FREEZE, CORTNEY	ASCENSUS-INA CORE BOND INDEX PF CL	10.00	0.33	0.00	0.33	80.0	0.26
01/16/2018	SCHUCH, AUTUMN	OPPENHEIMER CAPITAL APPRECIATION A	100.00	4.75	0.00	4.75	80.0	3.80
01/16/2018	GALENTINE, JUSTIN	OPPENHEIMER PORTFOLIO SERIES EQ INV	200.00	9.50	0.00	9.50	80.0	7.60
01/16/2018	MESEKE, GILBERT	OPPENHEIMER SENIOR FLOATING RATE C	3,000.00	30.00	0.00	30.00	80.0	24.00
01/16/2018	SEELY, CHARLES	OPPENHEIMER SENIOR FLOATING RATE C	60.00	0.60	0.00	0.60	80.0	0.48

*** MUTUAL FUNDS SUBTOTAL *****\$8,333.75****\$182.18****\$0.00****\$182.18****\$145.69****PRODUCT CATEGORY: VARIABLE ANNUITIES**

01/31/2018	POSTMA, THELMA	JNL PERSPECTIVE II	20,334.73	8.47	0.00	8.47	80.0	6.78
* VARIABLE ANNUITIES SUBTOTAL *			\$20,334.73	\$8.47	\$0.00	\$8.47		\$6.78

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/18/2018	BERNDT, TODD	AM FUNDS AMERICAN BALANCED A	0.00	0.75	0.00	0.75	80.0	0.60
01/18/2018	KAUFFMAN, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.87	0.00	0.87	80.0	0.70
01/18/2018	FEDLER, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	1.20	0.00	1.20	80.0	0.96
01/18/2018	ARCHBOLD, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	0.47	0.00	0.47	80.0	0.38
01/18/2018	ZINN, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	12.37	0.00	12.37	80.0	9.90
01/18/2018	WILLIAMS, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	0.53	0.00	0.53	80.0	0.42
01/18/2018	HOFFMAN, MARK	AM FUNDS AMERICAN BALANCED A	0.00	0.72	0.00	0.72	80.0	0.58
01/18/2018	ARCHBOLD, DANNY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.47	0.00	0.47	80.0	0.38
01/18/2018	WILLIAMS, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.78	0.00	0.78	80.0	0.62
01/18/2018	HOFFMAN, MARK	AM FUNDS BOND FUND OF AMERICA A	0.00	0.61	0.00	0.61	80.0	0.49
01/18/2018	KAUFFMAN, JAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.45	0.00	1.45	80.0	1.16
01/18/2018	KAUFFMAN, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.50	0.00	0.50	80.0	0.40
01/18/2018	ZINN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.31	0.00	9.31	80.0	7.45
01/18/2018	REMENSCHNEIDER, MARY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.43	0.00	0.43	80.0	0.34
01/18/2018	TYGER, CATHIE	AM FUNDS CAPITAL WORLD BOND C	0.00	2.18	0.00	2.18	80.0	1.74
01/18/2018	KAUFFMAN, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.83	0.00	0.83	80.0	0.66

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/18/2018	ZINN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.72	0.00	6.72	80.0	5.38
01/18/2018	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.25	0.00	0.25	80.0	0.20
01/18/2018	ZINN, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.46	0.00	5.46	80.0	4.37
01/18/2018	BERNDT, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.63	0.00	0.63	80.0	0.50
01/18/2018	ZINN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.98	0.00	6.98	80.0	5.58
01/18/2018	WILLIAMS, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.30	0.00	0.30	80.0	0.24
01/18/2018	ZINN, ROBERT	AM FUNDS ICA A	0.00	4.93	0.00	4.93	80.0	3.94
01/18/2018	BERNDT, TODD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.55	0.00	0.55	80.0	0.44
01/18/2018	ZINN, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.11	0.00	6.11	80.0	4.89
01/18/2018	WILLIAMS, BARBARA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.53	0.00	0.53	80.0	0.42
01/18/2018	REMENSCHNEIDER, MARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.42	0.00	0.42	80.0	0.34
01/18/2018	HOFFMAN, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.62	0.00	0.62	80.0	0.50
01/18/2018	HOFFMAN, MARK	AM FUNDS MORTGAGE A	0.00	0.82	0.00	0.82	80.0	0.66
01/18/2018	TYGER, CATHIE	AM FUNDS MORTGAGE C	0.00	2.13	0.00	2.13	80.0	1.70
01/18/2018	ZINN, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	6.51	0.00	6.51	80.0	5.21
01/18/2018	ZINN, ROBERT	AM FUNDS NEW WORLD A	0.00	5.74	0.00	5.74	80.0	4.59
01/18/2018	TYGER, CATHIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	4.20	0.00	4.20	80.0	3.36
01/18/2018	TYGER, CATHIE	AMERICAN FUNDS INFLATION LINKED BON	0.00	4.34	0.00	4.34	80.0	3.47
12/31/2017	HODAPP, CYNTHIA	BLACKROCK 19452P784	0.00	0.11	0.00	0.11	80.0	0.09
12/31/2017	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	0.36	0.00	0.36	80.0	0.29
12/31/2017	GILROY, MATTHEW	BLK INTL OPP A	0.00	0.57	0.00	0.57	80.0	0.46
01/22/2018	FEDLER, KIMBERLY	FRANKLIN EQUITY INCOME A	0.00	0.65	0.00	0.65	80.0	0.52
01/22/2018	FEDLER, CHARLES	FRANKLIN EQUITY INCOME A	0.00	0.65	0.00	0.65	80.0	0.52
01/22/2018	FEDLER, KIMBERLY	FRANKLIN INCOME A	0.00	0.61	0.00	0.61	80.0	0.49
01/22/2018	FEDLER, CHARLES	FRANKLIN INCOME A	0.00	0.61	0.00	0.61	80.0	0.49
01/22/2018	RANEY, GLEN	FRANKLIN RISING DIVIDENDS C	0.00	2.51	0.00	2.51	80.0	2.01
01/19/2018	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.36	0.00	5.36	80.0	4.29
01/19/2018	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.07	0.00	16.07	80.0	12.86
01/19/2018	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.58	0.00	5.58	80.0	4.46
01/19/2018	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.75	0.00	16.75	80.0	13.40
01/19/2018	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.85	0.00	8.85	80.0	7.08
01/19/2018	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	26.56	0.00	26.56	80.0	21.25
01/19/2018	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.76	0.00	8.76	80.0	7.01

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/19/2018	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	26.27	0.00	26.27	80.0	21.02
01/19/2018	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.27	0.00	3.27	80.0	2.62
01/19/2018	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.82	0.00	9.82	80.0	7.86
01/19/2018	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.90	0.00	9.90	80.0	7.92
01/19/2018	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	29.70	0.00	29.70	80.0	23.76
01/19/2018	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.95	0.00	10.95	80.0	8.76
01/19/2018	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.84	0.00	32.84	80.0	26.27
01/19/2018	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.14	0.00	11.14	80.0	8.91
01/19/2018	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	33.43	0.00	33.43	80.0	26.74
01/19/2018	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.35	0.00	8.35	80.0	6.68
01/19/2018	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.05	0.00	25.05	80.0	20.04
01/19/2018	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.79	0.00	8.79	80.0	7.03
01/19/2018	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	26.37	0.00	26.37	80.0	21.10
01/19/2018	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.82	0.00	9.82	80.0	7.86
01/19/2018	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	29.45	0.00	29.45	80.0	23.56
01/19/2018	HINCKS, ELIZABETH A LIF	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.90	0.00	0.90	80.0	0.72
01/19/2018	HINCKS, ELIZABETH A LIF	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.69	0.00	2.69	80.0	2.15
01/18/2018	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	0.21	0.00	0.21	80.0	0.17
01/18/2018	KAUFFMAN, JAN	INFLATION LINKED BOND FUND A	0.00	0.12	0.00	0.12	80.0	0.10
01/18/2018	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	0.48	0.00	0.48	80.0	0.38
01/18/2018	FEDLER, CHARLES	INFLATION LINKED BOND FUND A	0.00	0.64	0.00	0.64	80.0	0.51
01/18/2018	REMENSCHNEIDER, MARY	INFLATION LINKED BOND FUND A	0.00	0.54	0.00	0.54	80.0	0.43
01/18/2018	HOFFMAN, MARK	INFLATION LINKED BOND FUND A	0.00	0.83	0.00	0.83	80.0	0.66
01/25/2018	FEDLER, KIMBERLY	INVESCO EQUITY AND INCOME A	0.00	0.50	0.00	0.50	80.0	0.40
01/12/2018	RANEY, GLEN	IVY ASSET STRATEGY FUND CLASS C	0.00	5.42	0.00	5.42	80.0	4.34
01/12/2018	MARTIN, GARY	IVY FDS INC ASSET STRATEGY FD CL B	0.00	2.15	0.00	2.15	80.0	1.72
01/12/2018	MARTIN, DEBERAH	IVY FDS INC ASSET STRATEGY FD CL B	0.00	2.15	0.00	2.15	80.0	1.72
01/22/2018	FEDLER, CHARLES	LORD ABBETT BOND DEBENTURE A	0.00	0.85	0.00	0.85	80.0	0.68
01/22/2018	ZINN, ROBERT	LORD ABBETT BOND DEBENTURE A	0.00	5.46	0.00	5.46	80.0	4.37
01/22/2018	ZINN, ROBERT	LORD ABBETT INCOME A	0.00	1.99	0.00	1.99	80.0	1.59
01/22/2018	COLCORD, ALNETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	31.75	0.00	31.75	80.0	25.40
01/31/2018	GRIME, LAURA	TEMPLETON DEVELOPING MKTS A	0.00	14.53	0.00	14.53	80.0	11.62
01/22/2018	ELLIOTT, RANDALL	TEMPLETON WORLD A	0.00	11.50	0.00	11.50	80.0	9.20

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/22/2018	ZINN, ROBERT	TRANSAMERICA FLEXIBLE INCOME A	0.00	7.73	0.00	7.73	80.0	6.18
02/02/2018	LUDWIG, KENDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.66	0.00	2.66	80.0	2.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$552.96	\$0.00	\$552.96		\$442.39
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
02/03/2018	MARTZ, PHILLIS	ING ACCESS	388,867.77	972.17	0.00	972.17	80.0	777.74
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$388,867.77	\$972.17	\$0.00	\$972.17		\$777.74
*** DIRECT TRANSACTIONS TOTAL ***			\$531,726.40	\$1,317.89	\$0.00	\$1,317.89		\$1,054.29

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10**

/ /	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.10	0.00	0.10	80.0	0.08
01/26/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.17	0.00	0.17	80.0	0.14
01/26/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.17	0.00	0.17	80.0	0.14
01/26/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.41	0.00	0.41	80.0	0.33
01/31/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.41	0.00	0.41	80.0	0.33
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$150.00	\$1.26	\$0.00	\$1.26		\$1.02

BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209

01/31/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.62	0.00	2.62	80.0	2.10
01/31/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.81	0.00	1.81	80.0	1.45
01/31/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	121.23	1.59	0.00	1.59	80.0	1.27
01/31/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	121.23	1.59	0.00	1.59	80.0	1.27
01/31/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.64	0.00	3.64	80.0	2.91
01/31/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.81	0.00	1.81	80.0	1.45
01/18/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.31	0.00	0.31	80.0	0.25
01/18/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	80.0	0.18
01/18/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.11	0.00	0.11	80.0	0.09
01/18/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.12	0.00	0.12	80.0	0.10
01/18/2018	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.44	0.00	0.44	80.0	0.35
01/18/2018	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	80.0	0.12
01/31/2018	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	80.0	1.40
01/18/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.22	0.00	0.22	80.0	0.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
01/18/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.25	0.00	0.25	80.0	0.20
02/06/2018	JUNOD, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	-2,476.23	7.70	7.70	0.00	80.0	0.00
01/18/2018	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.14	0.00	0.14	80.0	0.11
01/18/2018	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.21	0.00	0.21	80.0	0.17
02/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.87	0.00	0.87	80.0	0.70
01/18/2018	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.18	0.00	0.18	80.0	0.14
01/29/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.87	0.00	0.87	80.0	0.70
01/30/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.12	0.00	6.12	80.0	4.90
01/18/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.19	0.00	0.19	80.0	0.15
01/18/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.26	0.00	0.26	80.0	0.21
01/18/2018	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.19	0.00	0.19	80.0	0.15
01/26/2018	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.66	0.00	1.66	80.0	1.33
01/26/2018	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	80.0	0.10
01/26/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	80.0	0.08
01/31/2018	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.24	0.00	0.24	80.0	0.19
01/31/2018	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.41	0.00	0.41	80.0	0.33
01/31/2018	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.24	0.00	0.24	80.0	0.19
01/26/2018	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	80.0	0.51
01/26/2018	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	80.0	0.33
01/26/2018	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	80.0	0.33
01/17/2018	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.14	0.00	1.14	80.0	0.91
01/17/2018	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.79	0.00	4.79	80.0	3.83
01/12/2018	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.19	0.00	0.19	80.0	0.15
01/23/2018	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.69	0.00	0.69	80.0	0.55
12/31/2017	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	53,377.94	15.86	0.00	15.86	80.0	12.69
01/31/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.10	0.00	0.10	80.0	0.08
01/18/2018	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.26	0.00	0.26	80.0	0.21
01/18/2018	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.33	0.00	1.33	80.0	1.06
01/31/2018	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	5.79	0.00	5.79	80.0	4.63
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$52,848.01	\$67.75	\$7.70	\$60.05		\$48.05
*** SPLIT TRANSACTIONS TOTAL ***			\$52,998.01	\$69.01	\$7.70	\$61.31		\$49.07

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
BROKER: WILLIAM, L129 LAYMAN #207								
01/25/2018	PALADINO, KAITLYN	AM FUNDS AMERICAN BALANCED A	5,500.00	275.00	0.00	275.00	45.0	123.75
01/31/2018	SCHULER, CINDY	MASS MUTUAL 575769484	139,104.31	115.92	0.00	115.92	45.0	52.16
* WILLIAM, L129 LAYMAN SUBTOTAL *			\$144,604.31	\$390.92	\$0.00	\$390.92		\$175.91
*** OVERRIDE TRANSACTIONS TOTAL ***			\$144,604.31	\$390.92	\$0.00	\$390.92		\$175.91

ADJUSTMENTS

02/15/2018	*ALBRIDGE: LIGH USER	0.00						-76.00
02/15/2018	*EO INSURANCE: CURRENT MONTH INS	0.00						-300.00
02/15/2018	*EO INSURANCE: CURRENT MONTH INS-BI	0.00						-300.00
02/15/2018	*GLOBAL RELAY: WEBSITE	0.00						-15.00
02/15/2018	*LASER APP: CURRENT MONTH - KEITH	0.00						-18.00
02/15/2018	*LASER APP: LASER APP - BILL	0.00						-18.00
02/15/2018	*PERSHING: BLOCK TRADE REBALANCING	0.00						-42.00
02/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-8.94
02/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-1.05
02/15/2018	*TECHNOLOGY: ADMIN - JAMIE BERNDT	0.00						-130.00
02/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
02/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC -	0.00						-180.00
02/15/2018	*TECHNOLOGY: LIMITED ADMIN - S BONA	0.00						-60.00
02/15/2018	*TECHNOLOGY: LIMITED ADMIN J WRIGHT	0.00						-60.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,388.99

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BROKER COMMISSION TOTALS

Broker Transactions	\$1,054.29
Split Transactions	\$49.07
Override Transactions	\$175.91
Adjustments	\$-1,388.99
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-109.72
Year-to-date Gross	\$5,366.69
Year-to-date Earnings	\$4,455.75