

**COMMISSION STATEMENT****October 31, 2017**

JAY MCCLELLAN
8801 N. Main Street
Ste. 201
Dayton, OH 45415

BROKER #: M124 Terminated 11/14/2016
BRANCH: ASSET MANAGEMENT SYS

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: INTERVAL FUNDS</u> | | | | | | | | |
| 09/30/2017 | CIPRIAN, JOHN | GRIFFIN INST'L ACCESS R E FUND | 0.00 | 2.26 | 0.00 | 2.26 | 0.0 | 0.00 |
| 09/30/2017 | FORTKAMP TRUST, LAVERN & MARY | GRIFFIN INST'L ACCESS R E FUND | 0.00 | 4.00 | 0.00 | 4.00 | 0.0 | 0.00 |
| 09/30/2017 | MATTHIEU, PAUL | RESOURCE R E DIVERSIFIED INC FUND A | 0.00 | 4.38 | 0.00 | 4.38 | 0.0 | 0.00 |
| * INTERVAL FUNDS SUBTOTAL * | | | \$0.00 | \$10.64 | \$0.00 | \$10.64 | | \$0.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 10/04/2017 | CRABTREE, ASHTYN | COLUMBIA STRATEGIC INVESTOR A | 0.00 | 2.99 | 0.00 | 2.99 | 0.0 | 0.00 |
| / / | THOMAS, CECILIA | GABELLI GAMCO GLOBAL GWTH FD-CL AAA | 0.00 | 0.00 | 0.00 | 0.00 | 0.0 | 0.00 |
| / / | THOMAS, CECILIA | GABELLI GAMCO GLOBAL TELECOM FUND C | 0.00 | 0.00 | 0.00 | 0.00 | 0.0 | 0.00 |
| 10/02/2017 | DICKEY, CYNTHIA | INVESCO CHARTER FUND A | 0.00 | 5.61 | 0.00 | 5.61 | 0.0 | 0.00 |
| 10/02/2017 | ZEHRINGER, SHARON | INVESCO DEVELOPING MARKETS A | 0.00 | 22.82 | 0.00 | 22.82 | 0.0 | 0.00 |
| 10/02/2017 | ZEHRINGER, JAMES | INVESCO DEVELOPING MARKETS A | 0.00 | 20.43 | 0.00 | 20.43 | 0.0 | 0.00 |
| 10/02/2017 | ZEHRINGER, SHARON | INVESCO DEVELOPING MARKETS A | 0.00 | 18.43 | 0.00 | 18.43 | 0.0 | 0.00 |
| 10/02/2017 | ZEHRINGER, CJAMES | INVESCO DEVELOPING MARKETS A | 0.00 | 18.51 | 0.00 | 18.51 | 0.0 | 0.00 |
| 10/02/2017 | ZEHRINGER, JAMES | INVESCO DEVELOPING MARKETS A | 0.00 | 1.22 | 0.00 | 1.22 | 0.0 | 0.00 |
| 10/02/2017 | ZEHRINGER TRUST | INVESCO TECHNOLOGY A | 0.00 | 0.66 | 0.00 | 0.66 | 0.0 | 0.00 |
| / / | LEO, MICHAEL | RYDEX GUGG UTILITIES C | 0.00 | 1.58 | 0.00 | 1.58 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$0.00 | \$92.25 | \$0.00 | \$92.25 | | \$0.00 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 10/09/2017 | CRABTREE, GARY | METLIFE | 36,378.48 | 7.57 | 0.00 | 7.57 | 0.0 | 0.00 |
| 10/09/2017 | CRABTREE, GARY | METLIFE | 7,877.48 | 1.64 | 0.00 | 1.64 | 0.0 | 0.00 |
| 10/09/2017 | CRABTREE, GARY | METLIFE | 8,580.18 | 1.78 | 0.00 | 1.78 | 0.0 | 0.00 |
| 10/09/2017 | CRABTREE, GARY | METLIFE | 7,658.33 | 1.59 | 0.00 | 1.59 | 0.0 | 0.00 |
| 10/09/2017 | CRABTREE, GARY | METLIFE | 11,212.46 | 2.33 | 0.00 | 2.33 | 0.0 | 0.00 |
| 10/09/2017 | CRABTREE, GARY | METLIFE | 6,609.81 | 1.37 | 0.00 | 1.37 | 0.0 | 0.00 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$78,316.74 | \$16.28 | \$0.00 | \$16.28 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-----------------------------------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$78,316.74 | \$119.17 | \$0.00 | \$119.17 | | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$5,484.93 |
| Year-to-date Earnings | \$1,800.42 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
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