



Concorde Investment Services, LLC
COMMISSION STATEMENT
February 15, 2017

02/14/2017
Page No. 1

DANIEL RAUPP
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: R132
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
01/30/2017	RAUPP, DANIEL	DIREXION SHS ETF TR DIREXION DAILY	18,272.54	0.00	22.50	-22.50	100.0	-22.50
01/31/2017	RAUPP, DANIEL	DIREXION SHS ETF TR DIREXION DAILY	17,686.74	0.00	22.50	-22.50	100.0	-22.50
01/05/2017	RAUPP, DANIEL	NEW YORK REIT INC COM	57,900.60	0.00	-27.00	27.00	100.0	27.00
01/05/2017	RAUPP, DANIEL	NEW YORK REIT INC COM	-57,900.60	0.00	27.00	-27.00	100.0	-27.00
01/05/2017	LACASSE, RAYMOND	NEW YORK REIT INC COM	51,145.53	-500.00	-27.00	-473.00	100.0	-473.00
01/05/2017	LACASSE, RAYMOND	NEW YORK REIT INC COM	-51,145.53	500.00	27.00	473.00	100.0	473.00
01/05/2017	RAUPP, BRUCE	NEW YORK REIT INC COM	15,227.56	0.00	-24.37	24.37	100.0	24.37
01/05/2017	RAUPP, BRUCE	NEW YORK REIT INC COM	-15,227.56	0.00	24.37	-24.37	100.0	-24.37
01/05/2017	RAUPP, AMY	NEW YORK REIT INC COM	2,633.36	0.00	-22.50	22.50	100.0	22.50
01/05/2017	RAUPP, AMY	NEW YORK REIT INC COM	-2,633.36	0.00	22.50	-22.50	100.0	-22.50
01/27/2017	DORSO, FRANK	TITAN INTL INC ILL COM	-6,375.00	22.00	22.75	-0.75	100.0	-0.75
* STOCKS SUBTOTAL *			\$29,584.28	\$22.00	\$67.75	\$-45.75		\$-45.75
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/02/2017	K BELL ASSOC PENSION	GWL GRP ANNUITY 401K	551,378.79	468.29	0.00	468.29	100.0	468.29
01/31/2017	TRAINOR, EDWARD	JNL PERSPECTIVE L	63,676.58	53.06	0.00	53.06	100.0	53.06
* VARIABLE ANNUITIES SUBTOTAL *			\$615,055.37	\$521.35	\$0.00	\$521.35		\$521.35
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/31/2017	RAUPP, DANIEL	ASCENSUS 529 TRAILS	0.00	2.38	0.00	2.38	100.0	2.38
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2.38	\$0.00	\$2.38		\$2.38
*** BROKER TRANSACTIONS TOTAL ***			\$644,639.65	\$545.73	\$67.75	\$477.98		\$477.98

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u>								
01/31/2017	BELL	ASCENSUS 529 TRAILS	0.00	23.13	0.00	23.13	100.0	23.13
01/31/2017	BELL	ASCENSUS 529 TRAILS	0.00	25.07	0.00	25.07	100.0	25.07
02/10/2017	TEBBE, GEORGE	MAINSTAY CUSHING MLP PREMIER FUND C	-21,600.04	11.00	11.00	0.00	100.0	0.00
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$-21,600.04	\$59.20	\$11.00	\$48.20		\$48.20
<u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u>								
02/14/2017	FIVE STAR BUS 401K	GPB AUTOMOTIVE PORTFOLIO LP	26,000.00	455.00	0.00	455.00	100.0	455.00
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			\$26,000.00	\$455.00	\$0.00	\$455.00		\$455.00
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
02/03/2017	PFLEGER, CLIFFORD	MAINSTAY CUSHING MLP PREMIER FUND C	2,650.00	0.00	0.00	0.00	100.0	0.00
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$2,650.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
01/05/2017	MALONEY, JOHN	NEW YORK REIT INC COM	96,501.00	-1,900.00	-27.00	-1,873.00	100.0	-1,873.00
01/05/2017	MALONEY, JOHN	NEW YORK REIT INC COM	-96,501.00	1,900.00	27.00	1,873.00	100.0	1,873.00
01/05/2017	MALONEY, JOHN	NEW YORK REIT INC COM	48,201.00	-250.00	-13.50	-236.50	100.0	-236.50
01/05/2017	MALONEY, JOHN	NEW YORK REIT INC COM	-48,201.00	250.00	13.50	236.50	100.0	236.50
01/05/2017	MALONEY, BARBARA	NEW YORK REIT INC COM	10,593.11	-150.00	-23.65	-126.35	100.0	-126.35
01/05/2017	MALONEY, BARBARA	NEW YORK REIT INC COM	-10,593.11	150.00	23.65	126.35	100.0	126.35
01/05/2017	SPODEK, IRA	NEW YORK REIT INC COM	44,579.54	-414.00	-13.50	-400.50	100.0	-400.50
01/05/2017	SPODEK, IRA	NEW YORK REIT INC COM	-44,579.54	414.00	13.50	400.50	100.0	400.50
01/05/2017	JANOWSKI, JOSEPH	NEW YORK REIT INC COM	23,843.59	-125.00	-12.85	-112.15	100.0	-112.15
01/05/2017	JANOWSKI, JOSEPH	NEW YORK REIT INC COM	-23,843.59	125.00	12.85	112.15	100.0	112.15
01/05/2017	TRAINOR, EDWARD	NEW YORK REIT INC COM	94,472.98	-1,900.00	-27.00	-1,873.00	100.0	-1,873.00
01/05/2017	TRAINOR, EDWARD	NEW YORK REIT INC COM	-94,472.98	1,900.00	27.00	1,873.00	100.0	1,873.00
01/06/2017	ALTMAN, DANIEL	NEW YORK REIT INC COM	108,680.00	-2,100.00	-27.00	-2,073.00	100.0	-2,073.00
01/06/2017	ALTMAN, DANIEL	NEW YORK REIT INC COM	-108,680.00	2,100.00	27.00	2,073.00	100.0	2,073.00
01/06/2017	ALTMAN, DANIEL	NEW YORK REIT INC COM	54,780.17	-1,000.00	-27.00	-973.00	100.0	-973.00
01/06/2017	ALTMAN, DANIEL	NEW YORK REIT INC COM	-54,780.17	1,000.00	27.00	973.00	100.0	973.00
01/19/2017	PIERZYNSKI, GERALD	NEW YORK REIT INC COM	58,714.72	-75.00	-27.00	-48.00	100.0	-48.00
01/19/2017	PIERZYNSKI, GERALD	NEW YORK REIT INC COM	-58,714.72	75.00	27.00	48.00	100.0	48.00
01/19/2017	PIERZYNSKI, GERALD	NEW YORK REIT INC COM	85,890.97	-75.00	-27.00	-48.00	100.0	-48.00
01/19/2017	PIERZYNSKI, GERALD	NEW YORK REIT INC COM	-85,890.97	75.00	27.00	48.00	100.0	48.00
01/19/2017	PIERZYNSKI, GERALD	NEW YORK REIT INC COM	91,852.07	-75.00	-27.00	-48.00	100.0	-48.00

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
01/19/2017	PIERZYNSKI, GERALD	NEW YORK REIT INC COM	-91,852.07	75.00	27.00	48.00	100.0	48.00
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
02/02/2017	JOHNSON, JAMES	MAINSTAY CUSHING MLP PREMIER FUND C	30,000.00	712.50	11.00	701.50	100.0	701.50
01/05/2017	JOHNSON, GLORIA	NEW YORK REIT INC COM	10,637.11	-112.50	-17.74	-94.76	100.0	-94.76
01/05/2017	JOHNSON, GLORIA	NEW YORK REIT INC COM	-10,637.11	112.50	17.74	94.76	100.0	94.76
01/05/2017	JOHNSON FAMILY TRUST	NEW YORK REIT INC COM	26,994.80	-300.00	-19.65	-280.35	100.0	-280.35
01/05/2017	JOHNSON FAMILY TRUST	NEW YORK REIT INC COM	-26,994.80	300.00	19.65	280.35	100.0	280.35
01/05/2017	JOHNSON, JAMES	NEW YORK REIT INC COM	10,642.67	-112.50	-17.74	-94.76	100.0	-94.76
01/05/2017	JOHNSON, JAMES	NEW YORK REIT INC COM	-10,642.67	112.50	17.74	94.76	100.0	94.76
01/18/2017	RYDER, THOMAS	NEW YORK REIT INC COM	28,654.90	-375.00	-19.76	-355.24	100.0	-355.24
01/18/2017	RYDER, THOMAS	NEW YORK REIT INC COM	-28,654.90	375.00	19.76	355.24	100.0	355.24
02/02/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	50,000.00	1,000.00	11.00	989.00	100.0	989.00
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$80,000.00	\$1,712.50	\$22.00	\$1,690.50		\$1,690.50
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
02/10/2017	GALGANO, ROBERT	COLONY NORTHSTAR INC CL A COM	-31,863.73	75.00	6.33	68.67	100.0	68.67
02/10/2017	GALGANO, ROBERT	FS INVT CORP COM	-33,895.11	75.00	6.72	68.28	100.0	68.28
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$-65,758.84	\$150.00	\$13.05	\$136.95		\$136.95
<u>BROKER: G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP #258</u>								
12/31/2016	KISSIL, GEORGE	GRIFFIN INST'L ACCESS R E FUND	0.00	2.55	0.00	2.55	100.0	2.55
* G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP SUBTOTAL *			\$0.00	\$2.55	\$0.00	\$2.55		\$2.55
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
02/03/2017	SEITZ, CRAIG	GLOBAL NET LEASE INC COM	15,920.00	51.00	8.50	42.50	100.0	42.50
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$15,920.00	\$51.00	\$8.50	\$42.50		\$42.50
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
02/02/2017	REILLY, TERRENCE	COTTONWOOD MULTIFAMILY REIT I-REG A	20,000.00	1,020.00	0.00	1,020.00	100.0	1,020.00
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$20,000.00	\$1,020.00	\$0.00	\$1,020.00		\$1,020.00
<u>BROKER: R138 DAN/JON PAUL FT-RAUPP/CIRELLI #350</u>								
01/23/2017	AMAS BAY LLC	EXCHANGERIGHT NET LEASED PF 14 DST	1,650,000.00	86,625.00	0.00	86,625.00	100.0	86,625.00
01/23/2017	AMAS BAY LLC	PASSCO PARKCREST DST	880,000.00	39,600.00	0.00	39,600.00	100.0	39,600.00

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
		* R138 DAN/JON PAUL FT-RAUPP/CIRELLI SUBTOTAL *	\$2,530,000.00	\$126,225.00	\$0.00	\$126,225.00		\$126,225.00
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
01/23/2017	ZEGLEN, BRENT	BLUEROCK BIG CREEK DST	85,000.00	2,550.00	0.00	2,550.00	100.0	2,550.00
01/23/2017	ZEGLEN, BRENT	EXCHANGERIGHT NET LEASED PF 14 DST	84,837.54	2,969.32	0.00	2,969.32	100.0	2,969.32
		* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *	\$169,837.54	\$5,519.32	\$0.00	\$5,519.32		\$5,519.32
		*** SPLIT TRANSACTIONS TOTAL ***	\$2,757,048.66	\$135,194.57	\$54.55	\$135,140.02		\$135,140.02

OVERRIDE TRANSACTIONS

<u>BROKER: JEFFREY KIESNOSKI #243</u>								
02/02/2017	FROH, PAULA SANDRA	FS INVT CORP COM	-5,177.50	50.00	22.75	27.25	94.0	1.64
		* JEFFREY KIESNOSKI SUBTOTAL *	\$-5,177.50	\$50.00	\$22.75	\$27.25		\$1.64
<u>BROKER: JON PAUL CIRELLI #246</u>								
12/31/2016	ONE PARKING	JOHN HANCOCK LIFE INS CO	0.00	37.62	0.00	37.62	95.0	1.88
01/31/2017	ONE PARKING	JOHN HANCOCK LIFE INS CO	0.00	50.07	0.00	50.07	95.0	2.50
02/02/2017	HAFT, SCOTT	SELECT SECTOR SPDR TR ENERGY	-144.64	22.00	22.50	-0.50	95.0	-0.03
02/02/2017	HAFT, SCOTT	SELECT SECTOR SPDR TR ENERGY	144.64	-22.00	-22.50	0.50	95.0	0.03
02/02/2017	HAFT, SCOTT	SELECT SECTOR SPDR TR ENERGY	-144.64	22.00	22.50	-0.50	95.0	-0.03
		* JON PAUL CIRELLI SUBTOTAL *	\$-144.64	\$109.69	\$22.50	\$87.19		\$4.35
<u>BROKER: ALAN LICHTENSTEIN #249</u>								
01/27/2017	WITKIN, CAROL ANN	BP PLC SPONS ADR	-4,416.50	0.00	22.50	-22.50	75.0	-2.81
01/27/2017	WITKIN, CAROL ANN	GENERAL MTRS CO COM	4,348.48	0.00	22.50	-22.50	75.0	-2.81
02/14/2017	MASTRANGELO, GREGORY	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	75.0	437.50
		* ALAN LICHTENSTEIN SUBTOTAL *	\$49,931.98	\$3,500.00	\$45.00	\$3,455.00		\$431.88
<u>BROKER: MARC LINSKY #263</u>								
01/09/2017	KROSNOWSKI SR, THOMAS	AB GLOBAL RISK ALLOCATION C	0.00	0.93	0.00	0.93	90.0	0.02
01/09/2017	KROSNOWSKI SR, THOMAS	AB GLOBAL RISK ALLOCATION C	0.00	0.45	0.00	0.45	90.0	0.01
01/09/2017	KROSNOWSKI SR, THOMAS	AB GLOBAL RISK ALLOCATION C	0.00	0.45	0.00	0.45	90.0	0.01
01/09/2017	KROSNOWSKI SR, THOMAS	AB GLOBAL RISK ALLOCATION C	0.00	0.98	0.00	0.98	90.0	0.02
02/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	1,000.00	10.00	0.00	10.00	90.0	0.17
02/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
02/14/2017	FARBMAN, DANIEL	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	90.0	119.00
12/31/2016	ROSENBLUM, LUCILLE	GRIFFIN INST'L ACCESS R E FUND	0.00	129.55	0.00	129.55	90.0	2.20
02/06/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
01/31/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	263,008.11	219.17	0.00	219.17	90.0	3.73
01/31/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	257,563.78	214.64	0.00	214.64	90.0	3.65
01/31/2017	HIRSHMAN, BETTY	JNL PERSPECTIVE L	670.42	0.56	0.00	0.56	90.0	0.01
01/31/2017	NANI, JOSEPH	JNL PERSPECTIVE L	403,548.08	336.29	0.00	336.29	90.0	5.72
01/31/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	526,357.83	438.63	0.00	438.63	90.0	7.46
01/31/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	108,591.83	90.49	0.00	90.49	90.0	1.54
01/31/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,136.85	2.95	0.00	2.95	90.0	0.05
01/31/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	202,566.88	168.81	0.00	168.81	90.0	2.87
01/23/2017	FARBMAN, ERIC	METLIFE SHEILD LEVEL SELECTOR EQTY	100,000.00	6,000.00	0.00	6,000.00	90.0	102.00
02/07/2017	FENN, RONALD	METLIFE SHEILD LEVEL SELECTOR EQTY	132,603.62	7,956.22	0.00	7,956.22	90.0	135.26
01/26/2017	FLYNN, EDWARD	MOODY NATIONAL REIT II	50,000.00	3,500.00	0.00	3,500.00	90.0	59.50
01/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	140.35	0.00	140.35	90.0	2.39
02/03/2017	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	78.17	0.00	78.17	90.0	1.33
01/23/2017	BANKS, YOLA	PREFERRED APARTMENT COMMUNITIES	50,000.00	3,500.00	0.00	3,500.00	90.0	59.50
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	8.44	0.00	8.44	90.0	0.14
01/30/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	10.50	0.00	10.50	90.0	0.18
01/30/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	5.25	0.00	5.25	90.0	0.09
/ /	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	0.00	6.27	0.00	6.27	90.0	0.11
01/30/2017	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	200.00	8.00	0.00	8.00	90.0	0.14
* MARC LINSKY SUBTOTAL *			\$2,211,222.40	\$29,859.35	\$0.00	\$29,859.35		\$507.65
<u>BROKER: ZHIHUA (GEORGE) YANG #283</u>								
02/02/2017	LIN, JUNG	ALCOA UPSTREAM CORP COM	-12,580.01	234.00	22.50	211.50	75.0	7.19
02/02/2017	LIN, JUNG	ARCONIC INC COM	-25,414.40	281.00	23.50	257.50	75.0	8.76
* ZHIHUA (GEORGE) YANG SUBTOTAL *			\$-37,994.41	\$515.00	\$46.00	\$469.00		\$15.95
*** OVERRIDE TRANSACTIONS TOTAL ***			\$2,217,837.83	\$34,034.04	\$136.25	\$33,897.79		\$961.47

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<u>ADJUSTMENTS</u>								
02/15/2017		*BRANCH FEE: OVERRIDES-CIRELLI	0.00					2,690.89
02/15/2017		*BRANCH FEE: OVERRIDES-KIESNOSKI	0.00					297.33
02/15/2017		*EO INS: CURRENT MONTH INS	0.00					-45.00
02/15/2017		*EO INS: CURRENT MONTH-INS	0.00					-75.00
02/15/2017		*EO INS: CURRENT MONTH-T THOMPSON	0.00					-45.00
02/15/2017		*EO INS: N ELIAS	0.00					-45.00
02/15/2017		*EO INS: REV DUPE ENTRY	0.00					45.00
02/15/2017		*FEDERAL EXPRESS: 5-686-46432 1/25/	0.00					-139.99
02/15/2017		*FEDERAL EXPRESS: 5-694-07676 2/1/1	0.00					-56.48
02/15/2017		*FINRA: REG FEE INVOICE MILBERY	0.00					-103.05
02/15/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-45.00
02/15/2017		*MISC: ROY-AFLAC 1031DST	0.00					1,126.00
02/15/2017		*MISC: ROY, AFLAC, 1031DST	0.00					1,326.00
02/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.05
02/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-0.23
02/15/2017		*PERSHING: ETF PROSPECTUS	0.00					-0.27
02/15/2017		*PERSHING: MARK UPDS-G0E	0.00					10.20
02/15/2017		*PERSHING: MARK UPS	0.00					90.00
02/15/2017		*PERSHING: MARK UPS-G0B	0.00					30.00
02/15/2017		*PERSHING: MARK UPS-G0C	0.00					15.00
02/15/2017		*PERSHING: MARK UPS-J1R	0.00					15.00
02/15/2017		*PERSHING: MF PROSPECTUS	0.00					-0.84
02/15/2017		*PERSHING: MF PROSPECTUS	0.00					-1.10
02/15/2017		*PERSHING: MF PROSPECTUS	0.00					-0.39
02/15/2017		*PERSHING: MF PROSPECTUS	0.00					-0.12
02/15/2017		*PERSHING: STREAMING QUOTES	0.00					-68.00
02/15/2017		*PERSHING: UIT PROSPECTUS	0.00					-2.62
02/15/2017		*REGISTRATION FEE: FL REG FEE N ELI	0.00					-7.50
02/15/2017		*TECH: CURRENT MONTH TECH	0.00					-41.25
02/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					-41.25
02/15/2017		*TECH: CURRENT MONTH-TECH	0.00					-68.75
02/15/2017		*TECH: N ELIAS	0.00					-41.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$4,816.28

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$477.98
Split Transactions	\$135,140.02
Override Transactions	\$961.47
Adjustments	\$4,816.28
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$141,395.75
Year-to-date Gross	\$173,282.54
Year-to-date Earnings	\$177,062.07