



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2017

08/14/2017
 Page No. 1

JAMES MAHER
 3082 Quail Ridge Circle
 Rochester Hills, MI 48309-

BROKER #: M127
 BRANCH: JAMES MAHER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: INTERVAL FUNDS</u> | | | | | | | | |
| 07/30/2017 | BROWN, MICHAEL | BR TOTAL INC & REAL ESTATE FUND C | 0.00 | 21.13 | 0.00 | 21.13 | 90.0 | 19.02 |
| | | * INTERVAL FUNDS SUBTOTAL * | \$0.00 | \$21.13 | \$0.00 | \$21.13 | | \$19.02 |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/18/2017 | MAHER, HENRY | AM CENT CORPORATE BOND | 0.00 | 27.21 | 0.00 | 27.21 | 90.0 | 24.49 |
| 07/18/2017 | MAHER, HENRY | AM CENT EQUITY INCOME | 0.00 | 32.49 | 0.00 | 32.49 | 90.0 | 29.24 |
| 07/18/2017 | NUNEZ TRUST, LYNDIA | AM CENT MUTUAL GROWTH | 0.00 | 54.08 | 0.00 | 54.08 | 90.0 | 48.67 |
| 07/18/2017 | NUNEZ TRUST, LYNDIA | AM CENT MUTUAL GROWTH | 0.00 | 18.03 | 0.00 | 18.03 | 90.0 | 16.23 |
| 07/18/2017 | LINDSEY LIV TRUST, CHARLES & | AM CENTURY EQUITY INCOME | 0.00 | 2.34 | 0.00 | 2.34 | 90.0 | 2.11 |
| 07/18/2017 | LINDSEY LIV TRUST, CHARLES & | AM CENTURY EQUITY INCOME | 0.00 | 0.78 | 0.00 | 0.78 | 90.0 | 0.70 |
| 07/18/2017 | KESSOR, LAURA | AM CENTURY EQUITY INCOME | 0.00 | 1.03 | 0.00 | 1.03 | 90.0 | 0.93 |
| 07/18/2017 | KESSOR, LAURA | AM CENTURY EQUITY INCOME | 0.00 | 3.08 | 0.00 | 3.08 | 90.0 | 2.77 |
| 07/18/2017 | MAHER, HENRY | AM CENTURY EQUITY INCOME | 0.00 | 9.17 | 0.00 | 9.17 | 90.0 | 8.25 |
| 07/18/2017 | MAHER, HENRY | AM CENTURY EQUITY INCOME | 0.00 | 27.50 | 0.00 | 27.50 | 90.0 | 24.75 |
| 07/18/2017 | COLASUONNO, RALPH | AM CENTURY EQUITY INCOME | 0.00 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 07/18/2017 | COLASUONNO, RALPH | AM CENTURY EQUITY INCOME | 0.00 | 0.30 | 0.00 | 0.30 | 90.0 | 0.27 |
| 07/18/2017 | LUJAN, DEBORAH | AM CENTURY EQUITY INCOME | 0.00 | 0.52 | 0.00 | 0.52 | 90.0 | 0.47 |
| 07/18/2017 | LUJAN, DEBORAH | AM CENTURY EQUITY INCOME | 0.00 | 0.17 | 0.00 | 0.17 | 90.0 | 0.15 |
| 07/18/2017 | LUJAN-LABADIE, JENNIFER | AM CENTURY EQUITY INCOME | 0.00 | 0.81 | 0.00 | 0.81 | 90.0 | 0.73 |
| 07/18/2017 | LUJAN-LABADIE, JENNIFER | AM CENTURY EQUITY INCOME | 0.00 | 2.42 | 0.00 | 2.42 | 90.0 | 2.18 |
| 07/18/2017 | HICKSON, ANDREW | AM CENTURY EQUITY INCOME | 0.00 | 2.76 | 0.00 | 2.76 | 90.0 | 2.48 |
| 07/18/2017 | HICKSON, ANDREW | AM CENTURY EQUITY INCOME | 0.00 | 0.92 | 0.00 | 0.92 | 90.0 | 0.83 |
| 07/18/2017 | MARIN REV TRUST, NICHOLAS | AM CENTURY EQUITY INCOME | 0.00 | 0.61 | 0.00 | 0.61 | 90.0 | 0.55 |
| 07/18/2017 | MARIN REV TRUST, NICHOLAS | AM CENTURY EQUITY INCOME | 0.00 | 1.83 | 0.00 | 1.83 | 90.0 | 1.65 |
| 07/18/2017 | EBERHARD, DAVID | AM CENTURY EQUITY INCOME | 0.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 07/18/2017 | EBERHARD, DAVID | AM CENTURY EQUITY INCOME | 0.00 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 07/18/2017 | SCACCIA, KELLY | AM CENTURY EQUITY INCOME | 0.00 | 0.16 | 0.00 | 0.16 | 90.0 | 0.14 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/18/2017 | SCACCIA, KELLY | AM CENTURY EQUITY INCOME | 0.00 | 0.47 | 0.00 | 0.47 | 90.0 | 0.42 |
| 07/18/2017 | WOLVERINE HUMAN SCV | AM CENTURY EQUITY INCOME | 0.00 | 3.08 | 0.00 | 3.08 | 90.0 | 2.77 |
| 07/18/2017 | WOLVERINE HUMAN SCV | AM CENTURY EQUITY INCOME | 0.00 | 9.24 | 0.00 | 9.24 | 90.0 | 8.32 |
| 08/01/2017 | O'CONNOR, AARON | AM FUNDS AMCAP 529C | 25.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 08/01/2017 | O'CONNOR, AARON | AM FUNDS AMCAP 529C | 25.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 07/12/2017 | LINDSEY, CHARLES | AM FUNDS AMCAP A | 0.00 | 1.75 | 0.00 | 1.75 | 90.0 | 1.58 |
| 07/12/2017 | PALMER, TODD | AM FUNDS AMCAP C | 0.00 | 21.69 | 0.00 | 21.69 | 90.0 | 19.52 |
| 07/12/2017 | SIMON, MICHAEL | AM FUNDS AMCAP C | 0.00 | 6.72 | 0.00 | 6.72 | 90.0 | 6.05 |
| 07/12/2017 | MAHER, MAIGHDLIN | AM FUNDS AMCAP C | 0.00 | 1.43 | 0.00 | 1.43 | 90.0 | 1.29 |
| 07/12/2017 | ALLEN, MARY | AM FUNDS AMERICAN BALANCED C | 0.00 | 9.49 | 0.00 | 9.49 | 90.0 | 8.54 |
| 07/12/2017 | PALMERI, DANIEL | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00 | 0.38 | 0.00 | 0.38 | 90.0 | 0.34 |
| 07/12/2017 | LINDSEY, CHARLES | AM FUNDS BOND FUND OF AMERICA A | 0.00 | 2.18 | 0.00 | 2.18 | 90.0 | 1.96 |
| 07/12/2017 | LINDSEY, CHARLES | AM FUNDS BOND FUND OF AMERICA A | 0.00 | 2.83 | 0.00 | 2.83 | 90.0 | 2.55 |
| 07/12/2017 | GILLESPIE, HEATHER | AM FUNDS BOND FUND OF AMERICA A | 0.00 | 4.36 | 0.00 | 4.36 | 90.0 | 3.92 |
| 07/31/2017 | WARNER, KRISTI | AM FUNDS CAPITAL INCOME BUILDER 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 07/31/2017 | WARNER, KRISTI | AM FUNDS CAPITAL INCOME BUILDER 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 08/01/2017 | HICKSON, MARK | AM FUNDS CAPITAL INCOME BUILDER 529 | 25.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 08/01/2017 | MARKHAM, CLAIRE | AM FUNDS CAPITAL INCOME BUILDER 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 08/01/2017 | JACK, KELLY | AM FUNDS CAPITAL INCOME BUILDER 529 | 25.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 08/03/2017 | MARKHAM, JASON | AM FUNDS CAPITAL INCOME BUILDER 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 07/12/2017 | LINDSEY, CHARLES | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| 07/12/2017 | LINDSEY, CHARLES | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 1.94 | 0.00 | 1.94 | 90.0 | 1.75 |
| 07/07/2017 | MARIN REV TRUST, NICHOLAS | AM FUNDS CAPITAL INCOME BUILDER C | 5,000.00 | 70.00 | 0.00 | 70.00 | 90.0 | 63.00 |
| 07/12/2017 | PEVOS, DEBRA | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 11.33 | 0.00 | 11.33 | 90.0 | 10.20 |
| 07/12/2017 | PLASTIRAS, JULIANA | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 10.79 | 0.00 | 10.79 | 90.0 | 9.71 |
| 07/12/2017 | MARKHAM, ELIZABETH | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 1.81 | 0.00 | 1.81 | 90.0 | 1.63 |
| 07/12/2017 | RUSO, NICHOLAS | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 9.64 | 0.00 | 9.64 | 90.0 | 8.68 |
| 07/12/2017 | RUSO, DAVID | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 12.01 | 0.00 | 12.01 | 90.0 | 10.81 |
| 07/12/2017 | ALLEN, MARY | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 9.52 | 0.00 | 9.52 | 90.0 | 8.57 |
| 07/12/2017 | HEILMANN, MAUREEN | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 9.21 | 0.00 | 9.21 | 90.0 | 8.29 |
| 07/12/2017 | PROKOP, STANLEY | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 134.74 | 0.00 | 134.74 | 90.0 | 121.27 |
| 07/12/2017 | KOTT, DIANE | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 5.56 | 0.00 | 5.56 | 90.0 | 5.00 |
| 07/12/2017 | STONE, EDWARD | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 11.68 | 0.00 | 11.68 | 90.0 | 10.51 |

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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|--|---------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/12/2017 | O'CONNOR, HOLLY | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 6.20 | 0.00 | 6.20 | 90.0 | 5.58 |
| 07/12/2017 | O'DONNELL, JUDITH | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 8.56 | 0.00 | 8.56 | 90.0 | 7.70 |
| 07/12/2017 | BUSSELL, JEFFREY | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 5.99 | 0.00 | 5.99 | 90.0 | 5.39 |
| 07/12/2017 | MAHER, HENRY | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 36.93 | 0.00 | 36.93 | 90.0 | 33.24 |
| 07/12/2017 | FRASER, SHARON | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 6.96 | 0.00 | 6.96 | 90.0 | 6.26 |
| 07/12/2017 | HEIDLOFF, JENNIFER | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 3.41 | 0.00 | 3.41 | 90.0 | 3.07 |
| 07/12/2017 | SIMON, MICHAEL | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 7.18 | 0.00 | 7.18 | 90.0 | 6.46 |
| 07/12/2017 | MAHER, KEIRA | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 1.56 | 0.00 | 1.56 | 90.0 | 1.40 |
| 07/12/2017 | MARIN REV TRUST, NICHOLAS | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 4.20 | 0.00 | 4.20 | 90.0 | 3.78 |
| 07/12/2017 | FOSTER SP TRUST, PATRICIA | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 2.51 | 0.00 | 2.51 | 90.0 | 2.26 |
| 07/12/2017 | NUNEZ TRUST, LYNDIA | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 23.73 | 0.00 | 23.73 | 90.0 | 21.36 |
| 07/12/2017 | EBERHARD, DAVID | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 9.02 | 0.00 | 9.02 | 90.0 | 8.12 |
| 07/12/2017 | SCACCIA, KELLY | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 6.49 | 0.00 | 6.49 | 90.0 | 5.84 |
| 07/12/2017 | KORESKY, JOHN | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 4.92 | 0.00 | 4.92 | 90.0 | 4.43 |
| 07/12/2017 | VIAR, DAVID | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 0.49 | 0.00 | 0.49 | 90.0 | 0.44 |
| 07/12/2017 | ZANONI, CARRIE | AM FUNDS CAPITAL INCOME BUILDER F1 | 0.00 | 1.45 | 0.00 | 1.45 | 90.0 | 1.31 |
| 07/12/2017 | QUEDNAU, KELLY | AM FUNDS CAPITAL INCOME BUILDER F1 | 0.00 | 8.65 | 0.00 | 8.65 | 90.0 | 7.79 |
| 07/12/2017 | SIMON, MICHAEL | AM FUNDS CAPITAL INCOME BUILDER F1 | 0.00 | 1.10 | 0.00 | 1.10 | 90.0 | 0.99 |
| 07/12/2017 | BANNICK, ROBERT | AM FUNDS CAPITAL INCOME BUILDER F1 | 0.00 | 1.24 | 0.00 | 1.24 | 90.0 | 1.12 |
| 07/12/2017 | MARIN REV TRUST, NICHOLAS | AM FUNDS CAPITAL INCOME BUILDER F1 | 0.00 | 1.81 | 0.00 | 1.81 | 90.0 | 1.63 |
| 07/12/2017 | PIZZO, MICHELE | AM FUNDS CAPITAL INCOME BUILDER F1 | 0.00 | 1.82 | 0.00 | 1.82 | 90.0 | 1.64 |
| 07/12/2017 | PIZZO, REBECCA | AM FUNDS CAPITAL INCOME BUILDER F1 | 0.00 | 1.36 | 0.00 | 1.36 | 90.0 | 1.22 |
| 07/12/2017 | GUMBLETON, CARRIE | AM FUNDS CAPITAL INCOME BUILDER F1 | 0.00 | 2.56 | 0.00 | 2.56 | 90.0 | 2.30 |
| 07/12/2017 | VIAR, DAVID | AM FUNDS CAPITAL INCOME BUILDER F1 | 0.00 | 0.44 | 0.00 | 0.44 | 90.0 | 0.40 |
| 07/12/2017 | LINDSEY, CHARLES | AM FUNDS CAPITAL WORLD BOND A | 0.00 | 1.15 | 0.00 | 1.15 | 90.0 | 1.04 |
| 08/01/2017 | O'CONNOR, AARON | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 25.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 08/01/2017 | O'CONNOR, AARON | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 25.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 08/01/2017 | O'CONNOR, AARON | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 07/12/2017 | HOPPE, KYLE | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00 | 1.71 | 0.00 | 1.71 | 90.0 | 1.54 |
| 07/12/2017 | PALMERI, DANIEL | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00 | 0.67 | 0.00 | 0.67 | 90.0 | 0.60 |
| 07/12/2017 | OLIVER, WILLIAM | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00 | 0.16 | 0.00 | 0.16 | 90.0 | 0.14 |
| 07/12/2017 | JACK, STANLEY | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 15.40 | 0.00 | 15.40 | 90.0 | 13.86 |
| 07/12/2017 | JACK, STANLEY | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 0.71 | 0.00 | 0.71 | 90.0 | 0.64 |

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| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/12/2017 | LOUGHLIN, DENNIS | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 2.01 | 0.00 | 2.01 | 90.0 | 1.81 |
| 07/12/2017 | MAHER, HENRY | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 20.28 | 0.00 | 20.28 | 90.0 | 18.25 |
| 07/12/2017 | QUEDNAU, KELLY | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 20.49 | 0.00 | 20.49 | 90.0 | 18.44 |
| 07/12/2017 | LUJAN, DEBORAH | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 7.20 | 0.00 | 7.20 | 90.0 | 6.48 |
| 07/12/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 3.91 | 0.00 | 3.91 | 90.0 | 3.52 |
| 07/12/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 1.12 | 0.00 | 1.12 | 90.0 | 1.01 |
| 07/12/2017 | MARKHAM, JASON | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 5.24 | 0.00 | 5.24 | 90.0 | 4.72 |
| 07/12/2017 | BANNICK, ROBERT | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 8.85 | 0.00 | 8.85 | 90.0 | 7.97 |
| 07/12/2017 | SCHWARTZENBERGER, THOMAS | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 4.60 | 0.00 | 4.60 | 90.0 | 4.14 |
| 07/12/2017 | MAHER, SEAMUS | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 1.49 | 0.00 | 1.49 | 90.0 | 1.34 |
| 07/12/2017 | NUNEZ TRUST, LYNDIA | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 54.39 | 0.00 | 54.39 | 90.0 | 48.95 |
| 07/12/2017 | QUSSAR, JAMES | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 7.77 | 0.00 | 7.77 | 90.0 | 6.99 |
| 07/12/2017 | VIAR, DAVID | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 5.10 | 0.00 | 5.10 | 90.0 | 4.59 |
| 08/01/2017 | O'CONNOR, AARON | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 25.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 08/01/2017 | O'CONNOR, AARON | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 25.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 08/01/2017 | HICKSON, MARK | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 25.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 07/12/2017 | LINDSEY, CHARLES | AM FUNDS EUROPACIFIC GROWTH FUND A | 0.00 | 0.60 | 0.00 | 0.60 | 90.0 | 0.54 |
| 07/12/2017 | HOPPE, KYLE | AM FUNDS EUROPACIFIC GROWTH FUND A | 0.00 | 1.31 | 0.00 | 1.31 | 90.0 | 1.18 |
| 07/12/2017 | JAMES, THOMAS | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 9.06 | 0.00 | 9.06 | 90.0 | 8.15 |
| 07/12/2017 | DARR, CRAIG | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 10.63 | 0.00 | 10.63 | 90.0 | 9.57 |
| 07/12/2017 | KOTT, DIANE | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 8.91 | 0.00 | 8.91 | 90.0 | 8.02 |
| 07/12/2017 | LOUGHLIN, DENNIS | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 20.06 | 0.00 | 20.06 | 90.0 | 18.05 |
| 07/12/2017 | O'DONNELL, JUDITH | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 7.89 | 0.00 | 7.89 | 90.0 | 7.10 |
| 07/12/2017 | O'DONNELL, SHERYL | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 3.95 | 0.00 | 3.95 | 90.0 | 3.56 |
| 07/12/2017 | PALMERI, DANIEL | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 2.63 | 0.00 | 2.63 | 90.0 | 2.37 |
| 07/12/2017 | MAHER, HENRY | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 32.05 | 0.00 | 32.05 | 90.0 | 28.85 |
| 07/12/2017 | COLASUONNO, RALPH | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 10.81 | 0.00 | 10.81 | 90.0 | 9.73 |
| 07/12/2017 | PIASCIK, SHAWN | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 26.51 | 0.00 | 26.51 | 90.0 | 23.86 |
| 07/12/2017 | LUJAN, DEBORAH | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 9.51 | 0.00 | 9.51 | 90.0 | 8.56 |
| 07/12/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 19.86 | 0.00 | 19.86 | 90.0 | 17.87 |
| 07/12/2017 | VEIT, BEVERLY | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 9.88 | 0.00 | 9.88 | 90.0 | 8.89 |
| 07/12/2017 | MARKHAM, JASON | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 6.75 | 0.00 | 6.75 | 90.0 | 6.08 |
| 07/12/2017 | SIMON, MICHAEL | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 4.26 | 0.00 | 4.26 | 90.0 | 3.83 |

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| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/12/2017 | BANNICK, ROBERT | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 7.79 | 0.00 | 7.79 | 90.0 | 7.01 |
| 07/12/2017 | BANNICK, KELLY | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 6.08 | 0.00 | 6.08 | 90.0 | 5.47 |
| 07/12/2017 | BIONDO, MELISSA | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 7.15 | 0.00 | 7.15 | 90.0 | 6.44 |
| 07/12/2017 | SCHWARTZENBERGER, THOMAS | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 3.94 | 0.00 | 3.94 | 90.0 | 3.55 |
| 07/12/2017 | MAHER, KEIRA | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 0.99 | 0.00 | 0.99 | 90.0 | 0.89 |
| 07/12/2017 | MAHER, MAIGHDLIN | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 1.01 | 0.00 | 1.01 | 90.0 | 0.91 |
| 07/12/2017 | MAHER, SEAMUS | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 0.99 | 0.00 | 0.99 | 90.0 | 0.89 |
| 07/12/2017 | NUNEZ, LYNDA | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 21.20 | 0.00 | 21.20 | 90.0 | 19.08 |
| 07/12/2017 | NUNEZ TRUST, LYNDA | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 48.84 | 0.00 | 48.84 | 90.0 | 43.96 |
| 07/12/2017 | EBERHARD, DAVID | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 7.95 | 0.00 | 7.95 | 90.0 | 7.16 |
| 07/12/2017 | HOLMES, KAYLA | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 1.22 | 0.00 | 1.22 | 90.0 | 1.10 |
| 07/12/2017 | HOLMES, JACK PATRICK | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 1.12 | 0.00 | 1.12 | 90.0 | 1.01 |
| 07/12/2017 | QUSSAR, KELLY | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 5.37 | 0.00 | 5.37 | 90.0 | 4.83 |
| 07/12/2017 | KORESKY, JOHN | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 4.01 | 0.00 | 4.01 | 90.0 | 3.61 |
| 07/12/2017 | PAGANO, MAUREEN | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 9.09 | 0.00 | 9.09 | 90.0 | 8.18 |
| 07/12/2017 | MAHER, OWEN | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 2.16 | 0.00 | 2.16 | 90.0 | 1.94 |
| 07/12/2017 | VIAR, DAVID | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 1.58 | 0.00 | 1.58 | 90.0 | 1.42 |
| 07/12/2017 | QUEDNAU, KELLY | AM FUNDS EUROPACIFIC GROWTH FUND F1 | 0.00 | 4.69 | 0.00 | 4.69 | 90.0 | 4.22 |
| 07/12/2017 | SIMON, MICHAEL | AM FUNDS EUROPACIFIC GROWTH FUND F1 | 0.00 | 0.83 | 0.00 | 0.83 | 90.0 | 0.75 |
| 07/12/2017 | LINDSEY, CHARLES | AM FUNDS FUNDAMENTAL INVESTORS A | 0.00 | 1.75 | 0.00 | 1.75 | 90.0 | 1.58 |
| 07/12/2017 | LINDSEY, CHARLES | AM FUNDS FUNDAMENTAL INVESTORS A | 0.00 | 2.00 | 0.00 | 2.00 | 90.0 | 1.80 |
| 07/12/2017 | HEILMANN, MAUREEN | AM FUNDS FUNDAMENTAL INVESTORS C | 0.00 | 20.16 | 0.00 | 20.16 | 90.0 | 18.14 |
| 07/12/2017 | PROKOP, STANLEY | AM FUNDS FUNDAMENTAL INVESTORS C | 0.00 | 185.78 | 0.00 | 185.78 | 90.0 | 167.20 |
| 08/01/2017 | O'CONNOR, AARON | AM FUNDS GROWTH FUND OF AMERICA 529 | 25.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 08/01/2017 | O'CONNOR, AARON | AM FUNDS GROWTH FUND OF AMERICA 529 | 25.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 08/01/2017 | HICKSON, MARK | AM FUNDS GROWTH FUND OF AMERICA 529 | 25.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 08/01/2017 | MARKHAM, CLAIRE | AM FUNDS GROWTH FUND OF AMERICA 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 08/01/2017 | O'CONNOR, AARON | AM FUNDS GROWTH FUND OF AMERICA 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 08/01/2017 | JACK, KELLY | AM FUNDS GROWTH FUND OF AMERICA 529 | 25.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 08/02/2017 | QUSSAR, JAMES | AM FUNDS GROWTH FUND OF AMERICA 529 | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 08/03/2017 | MARKHAM, JASON | AM FUNDS GROWTH FUND OF AMERICA 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 07/12/2017 | CARROLL, EILEEN | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 10.66 | 0.00 | 10.66 | 90.0 | 9.59 |
| 07/12/2017 | O'DONNELL, SHERYL | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 4.25 | 0.00 | 4.25 | 90.0 | 3.83 |

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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/12/2017 | PALMERI, DANIEL | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 0.89 | 0.00 | 0.89 | 90.0 | 0.80 |
| 07/12/2017 | MAHER, HENRY | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 12.21 | 0.00 | 12.21 | 90.0 | 10.99 |
| 07/12/2017 | HOLMES, KAYLA | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 5.83 | 0.00 | 5.83 | 90.0 | 5.25 |
| 07/12/2017 | HOLMES, JACK PATRICK | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 4.83 | 0.00 | 4.83 | 90.0 | 4.35 |
| 07/12/2017 | MAHER, KYLE | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 3.94 | 0.00 | 3.94 | 90.0 | 3.55 |
| 07/12/2017 | MAHER, OWEN | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 1.87 | 0.00 | 1.87 | 90.0 | 1.68 |
| 07/07/2017 | MARIN REV TRUST, NICHOLAS | AM FUNDS GROWTH FUND OF AMERICA C | 25,000.00 | 270.00 | 0.00 | 270.00 | 90.0 | 243.00 |
| 07/12/2017 | DARR, CRAIG | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 12.92 | 0.00 | 12.92 | 90.0 | 11.63 |
| 07/12/2017 | ANTHONY, NICHOLAS | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 4.26 | 0.00 | 4.26 | 90.0 | 3.83 |
| 07/12/2017 | PLASTIRAS, JULIANA | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 12.67 | 0.00 | 12.67 | 90.0 | 11.40 |
| 07/12/2017 | SCACCIA, DAVID | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 9.99 | 0.00 | 9.99 | 90.0 | 8.99 |
| 07/12/2017 | HICKSON, JORDAN | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 17.25 | 0.00 | 17.25 | 90.0 | 15.53 |
| 07/12/2017 | PALMER, TODD | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 25.47 | 0.00 | 25.47 | 90.0 | 22.92 |
| 07/12/2017 | BOLUS, ELLA | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 33.72 | 0.00 | 33.72 | 90.0 | 30.35 |
| 07/12/2017 | HEILMANN, MAUREEN | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 15.75 | 0.00 | 15.75 | 90.0 | 14.18 |
| 07/12/2017 | KOTT, DIANE | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 15.95 | 0.00 | 15.95 | 90.0 | 14.36 |
| 07/12/2017 | KOTT, DIANE | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 10.86 | 0.00 | 10.86 | 90.0 | 9.77 |
| 07/12/2017 | JACK, STANLEY | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 5.32 | 0.00 | 5.32 | 90.0 | 4.79 |
| 07/12/2017 | LOUGHLIN, DENNIS | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 28.99 | 0.00 | 28.99 | 90.0 | 26.09 |
| 07/12/2017 | NORRIS, CHRISTOPHER | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 87.48 | 0.00 | 87.48 | 90.0 | 78.73 |
| 07/12/2017 | PALMERI, DANIEL | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 3.97 | 0.00 | 3.97 | 90.0 | 3.57 |
| 07/12/2017 | MAHER, HENRY | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 44.92 | 0.00 | 44.92 | 90.0 | 40.43 |
| 07/12/2017 | JACK, KELLY | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 7.72 | 0.00 | 7.72 | 90.0 | 6.95 |
| 07/12/2017 | GILLESPIE, HEATHER | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 4.55 | 0.00 | 4.55 | 90.0 | 4.10 |
| 07/12/2017 | FRASER, SHARON | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 2.81 | 0.00 | 2.81 | 90.0 | 2.53 |
| 07/12/2017 | COLASUONNO, RALPH | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 17.00 | 0.00 | 17.00 | 90.0 | 15.30 |
| 07/12/2017 | PIASCIK, SHAWN | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 27.74 | 0.00 | 27.74 | 90.0 | 24.97 |
| 07/12/2017 | LUJAN, DEBORAH | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 13.80 | 0.00 | 13.80 | 90.0 | 12.42 |
| 07/12/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 8.91 | 0.00 | 8.91 | 90.0 | 8.02 |
| 07/12/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 17.71 | 0.00 | 17.71 | 90.0 | 15.94 |
| 07/12/2017 | MARKHAM, JASON | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 10.62 | 0.00 | 10.62 | 90.0 | 9.56 |
| 07/12/2017 | HEIDLOFF, RAYMOND | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 3.91 | 0.00 | 3.91 | 90.0 | 3.52 |
| 07/12/2017 | HICKSON, ANDREW | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 5.03 | 0.00 | 5.03 | 90.0 | 4.53 |

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|--|--------------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/12/2017 | HICKSON, ANDREW | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 14.83 | 0.00 | 14.83 | 90.0 | 13.35 |
| 07/12/2017 | BANNICK, ROBERT | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 11.97 | 0.00 | 11.97 | 90.0 | 10.77 |
| 07/12/2017 | SCHWARTZENBERGER, THOMAS | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 4.55 | 0.00 | 4.55 | 90.0 | 4.10 |
| 07/12/2017 | NUNEZ TRUST, LYNDA | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 99.34 | 0.00 | 99.34 | 90.0 | 89.41 |
| 07/12/2017 | EBERHARD, DAVID | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 12.11 | 0.00 | 12.11 | 90.0 | 10.90 |
| 07/12/2017 | HENKE, FRANK | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 12.59 | 0.00 | 12.59 | 90.0 | 11.33 |
| 07/12/2017 | QUSSAR, KELLY | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 8.94 | 0.00 | 8.94 | 90.0 | 8.05 |
| 07/12/2017 | QUSSAR, JAMES | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 10.56 | 0.00 | 10.56 | 90.0 | 9.50 |
| 07/12/2017 | WOLVERINE HUMAN SCV | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 40.83 | 0.00 | 40.83 | 90.0 | 36.75 |
| 07/12/2017 | JACK, KELLY | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 0.86 | 0.00 | 0.86 | 90.0 | 0.77 |
| 07/12/2017 | FRASER, SHARON | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 1.21 | 0.00 | 1.21 | 90.0 | 1.09 |
| 07/12/2017 | QUEDNAU, KELLY | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 23.66 | 0.00 | 23.66 | 90.0 | 21.29 |
| 07/12/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 4.60 | 0.00 | 4.60 | 90.0 | 4.14 |
| 07/12/2017 | CARLINO, AMY | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 3.03 | 0.00 | 3.03 | 90.0 | 2.73 |
| 07/12/2017 | SIMON, MICHAEL | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 3.01 | 0.00 | 3.01 | 90.0 | 2.71 |
| 07/12/2017 | SCHWARTZENBERGER, THOMAS | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 1.77 | 0.00 | 1.77 | 90.0 | 1.59 |
| 07/12/2017 | MARIN REV TRUST, NICHOLAS | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 4.14 | 0.00 | 4.14 | 90.0 | 3.73 |
| 07/12/2017 | PIZZO, MICHELE | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 0.89 | 0.00 | 0.89 | 90.0 | 0.80 |
| 07/12/2017 | PIZZO, FRANCESCO | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 0.16 | 0.00 | 0.16 | 90.0 | 0.14 |
| 07/12/2017 | PIZZO, SALVATORE | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 1.72 | 0.00 | 1.72 | 90.0 | 1.55 |
| 07/12/2017 | PIZZO, SALVATORE | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 2.19 | 0.00 | 2.19 | 90.0 | 1.97 |
| 07/12/2017 | KNOPF, DOUGLAS | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 1.38 | 0.00 | 1.38 | 90.0 | 1.24 |
| 07/12/2017 | GUMBLETON, CARRIE | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 4.75 | 0.00 | 4.75 | 90.0 | 4.28 |
| 07/12/2017 | KEYES LIV TRUST, MURIEL WATSON | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 3.91 | 0.00 | 3.91 | 90.0 | 3.52 |
| 07/12/2017 | VIAR, DAVID | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 2.80 | 0.00 | 2.80 | 90.0 | 2.52 |
| 08/01/2017 | JACK, KELLY | AM FUNDS ICA 529C | 25.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 08/02/2017 | QUSSAR, JAMES | AM FUNDS ICA 529C | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 07/12/2017 | LINDSEY, CHARLES | AM FUNDS ICA A | 0.00 | 0.80 | 0.00 | 0.80 | 90.0 | 0.72 |
| 07/12/2017 | HOPPE, KYLE | AM FUNDS ICA A | 0.00 | 1.30 | 0.00 | 1.30 | 90.0 | 1.17 |
| 07/12/2017 | CARROLL, EILEEN | AM FUNDS ICA A | 0.00 | 10.56 | 0.00 | 10.56 | 90.0 | 9.50 |
| 07/12/2017 | HOPPE, KYLE | AM FUNDS INCOME FUND OF AMERICA A | 0.00 | 1.42 | 0.00 | 1.42 | 90.0 | 1.28 |
| 07/12/2017 | STONE, JANE | AM FUNDS INCOME FUND OF AMERICA A | 0.00 | 7.24 | 0.00 | 7.24 | 90.0 | 6.52 |
| 07/12/2017 | GILLESPIE, HEATHER | AM FUNDS INCOME FUND OF AMERICA A | 0.00 | 8.68 | 0.00 | 8.68 | 90.0 | 7.81 |

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|---------------------------------------|--------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | |
| 07/12/2017 | HEILMANN, MAUREEN | AM FUNDS INCOME FUND OF AMERICA C | 0.00 | 13.64 | 0.00 | 13.64 | 90.0 | 12.28 |
| 07/12/2017 | PROKOP, STANLEY | AM FUNDS INCOME FUND OF AMERICA C | 0.00 | 155.59 | 0.00 | 155.59 | 90.0 | 140.03 |
| 07/12/2017 | BELONGIE, GINGER | AM FUNDS INCOME FUND OF AMERICA C | 0.00 | 15.85 | 0.00 | 15.85 | 90.0 | 14.27 |
| 07/12/2017 | MARSACK, KAREN | AM FUNDS INCOME FUND OF AMERICA C | 0.00 | 10.56 | 0.00 | 10.56 | 90.0 | 9.50 |
| 07/12/2017 | MAHER, HENRY | AM FUNDS INCOME FUND OF AMERICA C | 0.00 | 37.98 | 0.00 | 37.98 | 90.0 | 34.18 |
| 07/12/2017 | MAHER, HENRY | AM FUNDS INCOME FUND OF AMERICA C | 0.00 | 21.19 | 0.00 | 21.19 | 90.0 | 19.07 |
| 07/12/2017 | DAVIS, ALTON | AM FUNDS INCOME FUND OF AMERICA C | 0.00 | 18.78 | 0.00 | 18.78 | 90.0 | 16.90 |
| 07/12/2017 | PIASCIK, SHAWN | AM FUNDS INCOME FUND OF AMERICA C | 0.00 | 37.56 | 0.00 | 37.56 | 90.0 | 33.80 |
| 07/12/2017 | SIMON, MICHAEL | AM FUNDS INCOME FUND OF AMERICA C | 0.00 | 7.32 | 0.00 | 7.32 | 90.0 | 6.59 |
| 07/12/2017 | SCHWARTZENBERGER, THOMAS | AM FUNDS INCOME FUND OF AMERICA C | 0.00 | 1.57 | 0.00 | 1.57 | 90.0 | 1.41 |
| 07/12/2017 | NUNEZ TRUST, LYNDIA | AM FUNDS INCOME FUND OF AMERICA C | 0.00 | 55.92 | 0.00 | 55.92 | 90.0 | 50.33 |
| 07/12/2017 | EBERHARD, DAVID | AM FUNDS INCOME FUND OF AMERICA C | 0.00 | 7.84 | 0.00 | 7.84 | 90.0 | 7.06 |
| 07/12/2017 | MAHER TRUST, MARY | AM FUNDS INCOME FUND OF AMERICA C | 0.00 | 9.97 | 0.00 | 9.97 | 90.0 | 8.97 |
| 07/12/2017 | NORRIS, CHRISTOPHER | AM FUNDS INCOME FUND OF AMERICA F-1 | 0.00 | 5.09 | 0.00 | 5.09 | 90.0 | 4.58 |
| 07/12/2017 | QUEDNAU, KELLY | AM FUNDS INCOME FUND OF AMERICA F-1 | 0.00 | 6.68 | 0.00 | 6.68 | 90.0 | 6.01 |
| 07/12/2017 | BANNICK, ROBERT | AM FUNDS INCOME FUND OF AMERICA F-1 | 0.00 | 0.94 | 0.00 | 0.94 | 90.0 | 0.85 |
| 07/12/2017 | SCHWARTZENBERGER, THOMAS | AM FUNDS INCOME FUND OF AMERICA F-1 | 0.00 | 0.69 | 0.00 | 0.69 | 90.0 | 0.62 |
| 07/12/2017 | KNOPF, DOUGLAS | AM FUNDS INCOME FUND OF AMERICA F-1 | 0.00 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 07/12/2017 | GUMBLETON, CARRIE | AM FUNDS INCOME FUND OF AMERICA F-1 | 0.00 | 3.39 | 0.00 | 3.39 | 90.0 | 3.05 |
| 07/12/2017 | NORRIS, CHRISTOPHER | AM FUNDS INCOME FUND OF AMERICA F-1 | 24,723.96 | 20.00 | 0.00 | 20.00 | 90.0 | 18.00 |
| 08/01/2017 | HICKSON, MARK | AM FUNDS INCOME FUND OF AMERICA-529 | 25.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 07/12/2017 | LINDSEY, CHARLES | AM FUNDS INTERMEDIATE BOND FUND OF | 0.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 07/12/2017 | LINDSEY, CHARLES | AM FUNDS INTERMEDIATE BOND FUND OF | 0.00 | 1.24 | 0.00 | 1.24 | 90.0 | 1.12 |
| 07/12/2017 | MAHER, HENRY | AM FUNDS NEW ECONOMY C | 0.00 | 28.58 | 0.00 | 28.58 | 90.0 | 25.72 |
| 07/12/2017 | SIMON, MICHAEL | AM FUNDS NEW ECONOMY C | 0.00 | 7.09 | 0.00 | 7.09 | 90.0 | 6.38 |
| 07/12/2017 | GILLESPIE, HEATHER | AM FUNDS NEW PERSPECTIVE A | 0.00 | 14.97 | 0.00 | 14.97 | 90.0 | 13.47 |
| 07/12/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS NEW WORLD C | 0.00 | 14.07 | 0.00 | 14.07 | 90.0 | 12.66 |
| 07/12/2017 | HICKSON, ANDREW | AM FUNDS NEW WORLD C | 0.00 | 4.85 | 0.00 | 4.85 | 90.0 | 4.37 |
| 07/12/2017 | MAHER, HENRY | AM FUNDS SMALLCAP WORLD C | 0.00 | 15.99 | 0.00 | 15.99 | 90.0 | 14.39 |
| 07/12/2017 | HICKSON, ANDREW | AM FUNDS SMALLCAP WORLD C | 0.00 | 3.30 | 0.00 | 3.30 | 90.0 | 2.97 |
| 07/12/2017 | MAHER, SEAMUS | AM FUNDS SMALLCAP WORLD C | 0.00 | 2.25 | 0.00 | 2.25 | 90.0 | 2.03 |
| 07/12/2017 | HOLMES, KAYLA | AM FUNDS SMALLCAP WORLD C | 0.00 | 1.49 | 0.00 | 1.49 | 90.0 | 1.34 |
| 07/12/2017 | HOLMES, JACK PATRICK | AM FUNDS SMALLCAP WORLD C | 0.00 | 1.44 | 0.00 | 1.44 | 90.0 | 1.30 |

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|---------------------------------------|---------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | |
| 07/12/2017 | LINDSEY, CHARLES | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 2.37 | 0.00 | 2.37 | 90.0 | 2.13 |
| 07/12/2017 | LINDSEY, CHARLES | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 1.08 | 0.00 | 1.08 | 90.0 | 0.97 |
| 07/12/2017 | MAHER, HENRY | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 35.03 | 0.00 | 35.03 | 90.0 | 31.53 |
| 08/01/2017 | JACK, KELLY | AM FUNDS WASHINGTON MUTUAL INVESTOR | 25.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 07/07/2017 | MARIN REV TRUST, NICHOLAS | AMERICAN BEACON BALANCED FUND C | 5,000.00 | 70.00 | 0.00 | 70.00 | 90.0 | 63.00 |
| 07/24/2017 | MARKHAM, JASON | AMERICAN BEACON BALANCED FUND C | 0.00 | 2.55 | 0.00 | 2.55 | 90.0 | 2.30 |
| 07/24/2017 | MAHER TRUST, MARY | AMERICAN BEACON SOUND PT FLOAT'G RA | 0.00 | 30.04 | 0.00 | 30.04 | 90.0 | 27.04 |
| 07/12/2017 | BANNICK, ROBERT | AMERICAN FUNDS BALANCED FUNDS F-1 | 0.00 | 0.87 | 0.00 | 0.87 | 90.0 | 0.78 |
| 07/12/2017 | PIZZO, SALVATORE | AMERICAN FUNDS BALANCED FUNDS F-1 | 0.00 | 0.79 | 0.00 | 0.79 | 90.0 | 0.71 |
| 07/12/2017 | VIAR, DAVID | AMERICAN FUNDS BALANCED FUNDS F-1 | 0.00 | 2.44 | 0.00 | 2.44 | 90.0 | 2.20 |
| 08/02/2017 | QUSSAR, JAMES | AMERICAN FUNDS GRWTH & INC PF 529C | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 08/02/2017 | QUSSAR, JAMES | AMERICAN FUNDS INCOME PF 529C | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 07/12/2017 | MAHER, SEAMUS | BLK BASIC VALUE | 0.00 | 1.03 | 0.00 | 1.03 | 90.0 | 0.93 |
| 07/12/2017 | HOLMES, KAYLA | BLK BASIC VALUE | 0.00 | 0.88 | 0.00 | 0.88 | 90.0 | 0.79 |
| 07/12/2017 | MAHER, KYLE | BLK BASIC VALUE | 0.00 | 1.57 | 0.00 | 1.57 | 90.0 | 1.41 |
| 07/12/2017 | MAHER, HENRY | BLK GLOBAL ALLOCATION A | 0.00 | 11.56 | 0.00 | 11.56 | 90.0 | 10.40 |
| 07/10/2017 | MARSACK, KAREN | CATALYST MAP GLOBAL CAP APP | 0.00 | 19.21 | 0.00 | 19.21 | 90.0 | 17.29 |
| 07/10/2017 | MARSACK, KAREN | CATALYST MAP GLOBAL CAP APP | 0.00 | 14.36 | 0.00 | 14.36 | 90.0 | 12.92 |
| 07/10/2017 | MAHER, HENRY | CATALYST MAP GLOBAL CAP APP | 0.00 | 39.27 | 0.00 | 39.27 | 90.0 | 35.34 |
| 07/10/2017 | WOLVERINE HUMAN SCV | CATALYST MAP GLOBAL CAP APP | 0.00 | 71.37 | 0.00 | 71.37 | 90.0 | 64.23 |
| 07/12/2017 | JAMES, THOMAS | COLUMBIA FDS SER TR II MASS SELECT | 0.00 | 9.78 | 0.00 | 9.78 | 90.0 | 8.80 |
| 07/12/2017 | MAHER, HENRY | COLUMBIA FDS SER TR II MASS SELECT | 0.00 | 39.67 | 0.00 | 39.67 | 90.0 | 35.70 |
| 07/12/2017 | LUJAN-LABADIE, JENNIFER | COLUMBIA FDS SER TR II MASS SELECT | 0.00 | 7.05 | 0.00 | 7.05 | 90.0 | 6.35 |
| 07/12/2017 | KARAS, MICHAEL | COLUMBIA FDS SER TR II MASS SELECT | 0.00 | 4.69 | 0.00 | 4.69 | 90.0 | 4.22 |
| 07/12/2017 | PAGANO, MAUREEN | COLUMBIA FDS SER TR II MASS SELECT | 0.00 | 7.34 | 0.00 | 7.34 | 90.0 | 6.61 |
| 07/12/2017 | JAMES, THOMAS | COLUMBIA FDS SER TR MID CAP VALUE F | 0.00 | 9.12 | 0.00 | 9.12 | 90.0 | 8.21 |
| 07/12/2017 | DARR, CRAIG | COLUMBIA FDS SER TR MID CAP VALUE F | 0.00 | 10.29 | 0.00 | 10.29 | 90.0 | 9.26 |
| 07/12/2017 | NUNEZ TRUST, LYNDA | COLUMBIA FDS SER TR MID CAP VALUE F | 0.00 | 21.63 | 0.00 | 21.63 | 90.0 | 19.47 |
| 07/12/2017 | PAGANO, MAUREEN | COLUMBIA FDS SER TR MID CAP VALUE F | 0.00 | 6.80 | 0.00 | 6.80 | 90.0 | 6.12 |
| 07/12/2017 | NUNEZ TRUST, LYNDA | COLUMBIA SER TR II MASS | 0.00 | 22.33 | 0.00 | 22.33 | 90.0 | 20.10 |
| 07/27/2017 | MOTOR CITY COMPUTER | COLUMBIA SMALLCAP CORE FUND A | 15.00 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 07/12/2017 | MAHER, HENRY | DAVIS FINANCIAL A | 0.00 | 7.34 | 0.00 | 7.34 | 90.0 | 6.61 |
| 07/12/2017 | MAHER, HENRY | DELAWARE CORPORATE BOND A | 0.00 | 11.01 | 0.00 | 11.01 | 90.0 | 9.91 |

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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/12/2017 | MAHER, HENRY | DEUTSCHE WORLD DIVIDEND FUND-C | 0.00 | 0.58 | 0.00 | 0.58 | 90.0 | 0.52 |
| 07/06/2017 | MARIN CUST ACCT, NICHOLAS | DWS DEUTSCHE GLOBAL HIGH INC FUND C | 15,000.00 | 170.00 | 0.00 | 170.00 | 90.0 | 153.00 |
| 07/12/2017 | MAHER, HENRY | DWS DEUTSCHE GLOBAL HIGH INC FUND C | 0.00 | 2.08 | 0.00 | 2.08 | 90.0 | 1.87 |
| 07/12/2017 | FRASER, SHARON | DWS DEUTSCHE GLOBAL HIGH INC FUND C | 0.00 | 38.30 | 0.00 | 38.30 | 90.0 | 34.47 |
| 07/12/2017 | MARIN CUST ACCT, NICHOLAS | DWS DEUTSCHE GLOBAL HIGH INC FUND C | 0.00 | 41.77 | 0.00 | 41.77 | 90.0 | 37.59 |
| 07/12/2017 | WOLVERINE HUMAN SCV | DWS DEUTSCHE GLOBAL HIGH INC FUND C | 0.00 | 59.88 | 0.00 | 59.88 | 90.0 | 53.89 |
| 07/12/2017 | LUJAN-LABADIE, JENNIFER | DWSE HIGH INCOME FUND C | 0.00 | 1.46 | 0.00 | 1.46 | 90.0 | 1.31 |
| 07/31/2017 | LOCKWOOD, SUSAN | F/T GROWTH PORT 529 CL C | 0.00 | 47.47 | 0.00 | 47.47 | 90.0 | 42.72 |
| 07/31/2017 | LOCKWOOD, SUSAN | F/T MUTUAL SHARES PORT 529 CL C | 0.00 | 40.34 | 0.00 | 40.34 | 90.0 | 36.31 |
| 07/31/2017 | LOCKWOOD, SUSAN | F/T S&P 500 INDEX PORT CL C | 0.00 | 57.99 | 0.00 | 57.99 | 90.0 | 52.19 |
| 07/31/2017 | LOCKWOOD, SUSAN | F/T SAML MID CAP GROWTH PORT 529 C | 0.00 | 18.19 | 0.00 | 18.19 | 90.0 | 16.37 |
| 07/12/2017 | MAHER, HENRY | FEDERATED WORLD INVT SER INC INTL B | 0.00 | 5.92 | 0.00 | 5.92 | 90.0 | 5.33 |
| 07/12/2017 | MAHER, HENRY | FIRST EAGLE GLOBAL C | 0.00 | 83.42 | 0.00 | 83.42 | 90.0 | 75.08 |
| 07/12/2017 | ALBIN, SHELLEY | FRANKLIN BALANCED C | 0.00 | 5.84 | 0.00 | 5.84 | 90.0 | 5.26 |
| 07/12/2017 | ALBIN, FREDERICK | FRANKLIN BALANCED C | 0.00 | 5.84 | 0.00 | 5.84 | 90.0 | 5.26 |
| 07/12/2017 | WOLVERINE HUMAN SCV | FRANKLIN BALANCED C | 0.00 | 0.22 | 0.00 | 0.22 | 90.0 | 0.20 |
| 07/12/2017 | BUSSELL, JEFFREY | FRANKLIN GOLD & PRECIOUS METALS C | 0.00 | 1.61 | 0.00 | 1.61 | 90.0 | 1.45 |
| 07/12/2017 | KEYES LIV TRUST, MURIEL WATSON | FRANKLIN GOLD & PRECIOUS METALS C | 0.00 | 3.59 | 0.00 | 3.59 | 90.0 | 3.23 |
| 07/12/2017 | QUSSAR, JAMES | FRANKLIN GOLD & PRECIOUS METALS C | 0.00 | 2.24 | 0.00 | 2.24 | 90.0 | 2.02 |
| 07/12/2017 | MAHER, HENRY | FRANKLIN HIGH INCOME C | 0.00 | 16.43 | 0.00 | 16.43 | 90.0 | 14.79 |
| 07/12/2017 | HOLMES, KAYLA | FRANKLIN HIGH INCOME C | 0.00 | 0.66 | 0.00 | 0.66 | 90.0 | 0.59 |
| 07/12/2017 | HOLMES, JACK PATRICK | FRANKLIN HIGH INCOME C | 0.00 | 0.82 | 0.00 | 0.82 | 90.0 | 0.74 |
| 07/31/2017 | LOCKWOOD, SUSAN | FRANKLIN INCOME 529 PORTFOLIO C | 0.00 | 33.94 | 0.00 | 33.94 | 90.0 | 30.55 |
| 07/12/2017 | JAMES, THOMAS | FRANKLIN INCOME C | 0.00 | 5.96 | 0.00 | 5.96 | 90.0 | 5.36 |
| 07/12/2017 | PEVOS, DEBRA | FRANKLIN INCOME C | 0.00 | 7.02 | 0.00 | 7.02 | 90.0 | 6.32 |
| 07/12/2017 | DILAY, DENISE | FRANKLIN INCOME C | 0.00 | 7.37 | 0.00 | 7.37 | 90.0 | 6.63 |
| 07/12/2017 | DARR, CRAIG | FRANKLIN INCOME C | 0.00 | 6.75 | 0.00 | 6.75 | 90.0 | 6.08 |
| 07/12/2017 | HOPPE, KYLE | FRANKLIN INCOME C | 0.00 | 7.80 | 0.00 | 7.80 | 90.0 | 7.02 |
| 07/12/2017 | BJERK JR, JOHN | FRANKLIN INCOME C | 0.00 | 5.90 | 0.00 | 5.90 | 90.0 | 5.31 |
| 07/12/2017 | MAES, DEBRA | FRANKLIN INCOME C | 0.00 | 10.30 | 0.00 | 10.30 | 90.0 | 9.27 |
| 07/12/2017 | HICKSON, JORDAN | FRANKLIN INCOME C | 0.00 | 8.64 | 0.00 | 8.64 | 90.0 | 7.78 |
| 07/12/2017 | PALMER, TODD | FRANKLIN INCOME C | 0.00 | 15.66 | 0.00 | 15.66 | 90.0 | 14.09 |
| 07/12/2017 | WHEELER, WILLARD | FRANKLIN INCOME C | 0.00 | 20.33 | 0.00 | 20.33 | 90.0 | 18.30 |

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|--|--------------------------------|-------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/12/2017 | ALLEN, MARY | FRANKLIN INCOME C | 0.00 | 6.33 | 0.00 | 6.33 | 90.0 | 5.70 |
| 07/12/2017 | PROKOP, STANLEY | FRANKLIN INCOME C | 0.00 | 97.54 | 0.00 | 97.54 | 90.0 | 87.79 |
| 07/12/2017 | KOTT, DIANE | FRANKLIN INCOME C | 0.00 | 6.88 | 0.00 | 6.88 | 90.0 | 6.19 |
| 07/12/2017 | PALMERI, BEVERLY | FRANKLIN INCOME C | 0.00 | 7.39 | 0.00 | 7.39 | 90.0 | 6.65 |
| 07/12/2017 | JACK, STANLEY | FRANKLIN INCOME C | 0.00 | 6.25 | 0.00 | 6.25 | 90.0 | 5.63 |
| 07/12/2017 | MARSHALL, RONALD | FRANKLIN INCOME C | 0.00 | 12.34 | 0.00 | 12.34 | 90.0 | 11.11 |
| 07/12/2017 | TAYLOR, JAMIE | FRANKLIN INCOME C | 0.00 | 14.44 | 0.00 | 14.44 | 90.0 | 13.00 |
| 07/12/2017 | LOUGHLIN, DENNIS | FRANKLIN INCOME C | 0.00 | 6.71 | 0.00 | 6.71 | 90.0 | 6.04 |
| 07/12/2017 | BELONGIE, GINGER | FRANKLIN INCOME C | 0.00 | 5.82 | 0.00 | 5.82 | 90.0 | 5.24 |
| 07/12/2017 | STONE, EDWARD | FRANKLIN INCOME C | 0.00 | 7.21 | 0.00 | 7.21 | 90.0 | 6.49 |
| 07/12/2017 | MARSACK, KAREN | FRANKLIN INCOME C | 0.00 | 4.18 | 0.00 | 4.18 | 90.0 | 3.76 |
| 07/12/2017 | NORRIS, CHRISTOPHER | FRANKLIN INCOME C | 0.00 | 87.55 | 0.00 | 87.55 | 90.0 | 78.80 |
| 07/12/2017 | O'CONNOR, HOLLY | FRANKLIN INCOME C | 0.00 | 3.20 | 0.00 | 3.20 | 90.0 | 2.88 |
| 07/12/2017 | O'DONNELL, JUDITH | FRANKLIN INCOME C | 0.00 | 5.52 | 0.00 | 5.52 | 90.0 | 4.97 |
| 07/12/2017 | PALMERI, PAUL | FRANKLIN INCOME C | 0.00 | 10.35 | 0.00 | 10.35 | 90.0 | 9.32 |
| 07/12/2017 | MAHER, HENRY | FRANKLIN INCOME C | 0.00 | 13.58 | 0.00 | 13.58 | 90.0 | 12.22 |
| 07/12/2017 | GILLESPIE, HEATHER | FRANKLIN INCOME C | 0.00 | 5.47 | 0.00 | 5.47 | 90.0 | 4.92 |
| 07/12/2017 | DAVIS, ALTON | FRANKLIN INCOME C | 0.00 | 8.44 | 0.00 | 8.44 | 90.0 | 7.60 |
| 07/12/2017 | COLASUONNO, RALPH | FRANKLIN INCOME C | 0.00 | 9.28 | 0.00 | 9.28 | 90.0 | 8.35 |
| 07/12/2017 | PIASCIK, SHAWN | FRANKLIN INCOME C | 0.00 | 13.47 | 0.00 | 13.47 | 90.0 | 12.12 |
| 07/12/2017 | MAES, DEBRA | FRANKLIN INCOME C | 0.00 | 7.67 | 0.00 | 7.67 | 90.0 | 6.90 |
| 07/12/2017 | LUJAN, DEBORAH | FRANKLIN INCOME C | 0.00 | 6.58 | 0.00 | 6.58 | 90.0 | 5.92 |
| 07/12/2017 | MARKHAM, JASON | FRANKLIN INCOME C | 0.00 | 4.35 | 0.00 | 4.35 | 90.0 | 3.92 |
| 07/12/2017 | BANNICK, ROBERT | FRANKLIN INCOME C | 0.00 | 2.11 | 0.00 | 2.11 | 90.0 | 1.90 |
| 07/12/2017 | SCHWARTZENBERGER, THOMAS | FRANKLIN INCOME C | 0.00 | 0.97 | 0.00 | 0.97 | 90.0 | 0.87 |
| 07/12/2017 | MARIN REV TRUST, NICHOLAS | FRANKLIN INCOME C | 0.00 | 11.93 | 0.00 | 11.93 | 90.0 | 10.74 |
| 07/12/2017 | PIZZO, REBECCA | FRANKLIN INCOME C | 0.00 | 2.88 | 0.00 | 2.88 | 90.0 | 2.59 |
| 07/12/2017 | NUNEZ TRUST, LYNDIA | FRANKLIN INCOME C | 0.00 | 71.62 | 0.00 | 71.62 | 90.0 | 64.46 |
| 07/12/2017 | EBERHARD, DAVID | FRANKLIN INCOME C | 0.00 | 4.68 | 0.00 | 4.68 | 90.0 | 4.21 |
| 07/12/2017 | FAIRLEY, JAMES | FRANKLIN INCOME C | 0.00 | 14.23 | 0.00 | 14.23 | 90.0 | 12.81 |
| 07/12/2017 | GUMBLETON, CARRIE | FRANKLIN INCOME C | 0.00 | 8.69 | 0.00 | 8.69 | 90.0 | 7.82 |
| 07/12/2017 | KEYES LIV TRUST, MURIEL WATSON | FRANKLIN INCOME C | 0.00 | 8.52 | 0.00 | 8.52 | 90.0 | 7.67 |
| 07/12/2017 | SCACCIA, KELLY | FRANKLIN INCOME C | 0.00 | 2.95 | 0.00 | 2.95 | 90.0 | 2.66 |

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|--|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/12/2017 | QUSSAR, JAMES | FRANKLIN INCOME C | 0.00 | 4.88 | 0.00 | 4.88 | 90.0 | 4.39 |
| 07/12/2017 | PAGANO, MAUREEN | FRANKLIN INCOME C | 0.00 | 4.45 | 0.00 | 4.45 | 90.0 | 4.01 |
| 07/12/2017 | WOLVERINE HUMAN SCV | FRANKLIN INCOME C | 0.00 | 10.43 | 0.00 | 10.43 | 90.0 | 9.39 |
| 07/12/2017 | NORRIS, CHRISTOPHER | FRANKLIN INCOME C | 135,500.00 | 20.00 | 0.00 | 20.00 | 90.0 | 18.00 |
| 07/21/2017 | FAIRLEY, JAMES | FRANKLIN INCOME C | 10,000.00 | 20.00 | 0.00 | 20.00 | 90.0 | 18.00 |
| 07/12/2017 | LUJAN-LABADIE, JENNIFER | FRANKLIN INVS SECS TR REAL RETURN F | 0.00 | 2.95 | 0.00 | 2.95 | 90.0 | 2.66 |
| 07/31/2017 | MOTOR CITY COMPUTER | FRANKLIN LOW DURATION TOTAL RETURN | 0.00 | 29.07 | 0.00 | 29.07 | 90.0 | 26.16 |
| 07/12/2017 | NORRIS, CHRISTOPHER | FRANKLIN MI TAX FR INCOME C | 0.00 | 32.18 | 0.00 | 32.18 | 90.0 | 28.96 |
| 07/31/2017 | DE FIORE, LAWRENCE | FRANKLIN MUTUAL BEACON C | 0.00 | 24.43 | 0.00 | 24.43 | 90.0 | 21.99 |
| 07/21/2017 | DE FIORE, LAWRENCE | FRANKLIN MUTUAL GLOBAL DISCOVERY A | 156.52 | 4.38 | 0.00 | 4.38 | 90.0 | 3.94 |
| 07/21/2017 | DE FIORE, LAWRENCE | FRANKLIN MUTUAL GLOBAL DISCOVERY A | 33.92 | 0.95 | 0.00 | 0.95 | 90.0 | 0.86 |
| 07/31/2017 | DE FIORE, LAWRENCE | FRANKLIN MUTUAL GLOBAL DISCOVERY A | 0.00 | 2.90 | 0.00 | 2.90 | 90.0 | 2.61 |
| 07/12/2017 | NORRIS, CHRISTOPHER | FRANKLIN MUTUAL GLOBAL DISCOVERY C | 0.00 | 28.87 | 0.00 | 28.87 | 90.0 | 25.98 |
| 07/12/2017 | EBERHARD, DAVID | FRANKLIN MUTUAL GLOBAL DISCOVERY C | 0.00 | 10.39 | 0.00 | 10.39 | 90.0 | 9.35 |
| 07/31/2017 | DE FIORE, LAWRENCE | FRANKLIN MUTUAL GLOBAL DISCOVERY C | 0.00 | 22.01 | 0.00 | 22.01 | 90.0 | 19.81 |
| 07/12/2017 | PALMERI, DANIEL | FRANKLIN NATURAL RESOURCES C | 0.00 | 1.08 | 0.00 | 1.08 | 90.0 | 0.97 |
| 07/12/2017 | COLASUONNO, RALPH | FRANKLIN NATURAL RESOURCES C | 0.00 | 4.88 | 0.00 | 4.88 | 90.0 | 4.39 |
| 07/12/2017 | MARKHAM, JASON | FRANKLIN NATURAL RESOURCES C | 0.00 | 3.69 | 0.00 | 3.69 | 90.0 | 3.32 |
| 07/12/2017 | GUMBLETON, CARRIE | FRANKLIN NATURAL RESOURCES C | 0.00 | 4.93 | 0.00 | 4.93 | 90.0 | 4.44 |
| 07/12/2017 | HEILMANN, MAUREEN | FRANKLIN RISING DIVIDENDS A | 0.00 | 1.29 | 0.00 | 1.29 | 90.0 | 1.16 |
| 07/12/2017 | MARINI, ZAREFA | FRANKLIN RISING DIVIDENDS A | 0.00 | 0.26 | 0.00 | 0.26 | 90.0 | 0.23 |
| 07/12/2017 | MAHER, KEIRA | FRANKLIN RISING DIVIDENDS A | 0.00 | 1.35 | 0.00 | 1.35 | 90.0 | 1.22 |
| 07/12/2017 | MAHER, MAIGHDLIN | FRANKLIN RISING DIVIDENDS A | 0.00 | 2.26 | 0.00 | 2.26 | 90.0 | 2.03 |
| 07/12/2017 | MAHER, SEAMUS | FRANKLIN RISING DIVIDENDS A | 0.00 | 0.69 | 0.00 | 0.69 | 90.0 | 0.62 |
| 07/07/2017 | STONE, JANE | FRANKLIN RISING DIVIDENDS C | 7,000.00 | 90.00 | 0.00 | 90.00 | 90.0 | 81.00 |
| 07/07/2017 | STONE, EDWARD | FRANKLIN RISING DIVIDENDS C | 7,000.00 | 90.00 | 0.00 | 90.00 | 90.0 | 81.00 |
| 07/11/2017 | DE FIORE, LAWRENCE | FRANKLIN RISING DIVIDENDS C | 30,000.00 | 320.00 | 0.00 | 320.00 | 90.0 | 288.00 |
| 07/12/2017 | JAMES, THOMAS | FRANKLIN RISING DIVIDENDS C | 0.00 | 10.59 | 0.00 | 10.59 | 90.0 | 9.53 |
| 07/12/2017 | PEVOS, DEBRA | FRANKLIN RISING DIVIDENDS C | 0.00 | 6.67 | 0.00 | 6.67 | 90.0 | 6.00 |
| 07/12/2017 | PEVOS, DEBRA | FRANKLIN RISING DIVIDENDS C | 0.00 | 12.60 | 0.00 | 12.60 | 90.0 | 11.34 |
| 07/12/2017 | PEVOS, DEBRA | FRANKLIN RISING DIVIDENDS C | 0.00 | 11.12 | 0.00 | 11.12 | 90.0 | 10.01 |
| 07/12/2017 | MCCRACKEN, ROBERT | FRANKLIN RISING DIVIDENDS C | 0.00 | 13.18 | 0.00 | 13.18 | 90.0 | 11.86 |
| 07/12/2017 | DILAY, DENISE | FRANKLIN RISING DIVIDENDS C | 0.00 | 13.08 | 0.00 | 13.08 | 90.0 | 11.77 |

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| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/12/2017 | LINDSEY, BARBARA | FRANKLIN RISING DIVIDENDS C | 0.00 | 8.20 | 0.00 | 8.20 | 90.0 | 7.38 |
| 07/12/2017 | CORNWELL, VICTORIA | FRANKLIN RISING DIVIDENDS C | 0.00 | 10.34 | 0.00 | 10.34 | 90.0 | 9.31 |
| 07/12/2017 | DARR, CRAIG | FRANKLIN RISING DIVIDENDS C | 0.00 | 14.45 | 0.00 | 14.45 | 90.0 | 13.01 |
| 07/12/2017 | ANTHONY, NICHOLAS | FRANKLIN RISING DIVIDENDS C | 0.00 | 10.74 | 0.00 | 10.74 | 90.0 | 9.67 |
| 07/12/2017 | BUREK, CANDACE | FRANKLIN RISING DIVIDENDS C | 0.00 | 6.49 | 0.00 | 6.49 | 90.0 | 5.84 |
| 07/12/2017 | FLEURY, DAVID | FRANKLIN RISING DIVIDENDS C | 0.00 | 2.84 | 0.00 | 2.84 | 90.0 | 2.56 |
| 07/12/2017 | HOPPE, KYLE | FRANKLIN RISING DIVIDENDS C | 0.00 | 13.84 | 0.00 | 13.84 | 90.0 | 12.46 |
| 07/12/2017 | BJERK JR, JOHN | FRANKLIN RISING DIVIDENDS C | 0.00 | 9.67 | 0.00 | 9.67 | 90.0 | 8.70 |
| 07/12/2017 | PLASTIRAS, JULIANA | FRANKLIN RISING DIVIDENDS C | 0.00 | 12.09 | 0.00 | 12.09 | 90.0 | 10.88 |
| 07/12/2017 | MARKHAM, ELIZABETH | FRANKLIN RISING DIVIDENDS C | 0.00 | 5.37 | 0.00 | 5.37 | 90.0 | 4.83 |
| 07/12/2017 | BALDWIN, JASON | FRANKLIN RISING DIVIDENDS C | 0.00 | 7.58 | 0.00 | 7.58 | 90.0 | 6.82 |
| 07/12/2017 | HICKSON, MARK | FRANKLIN RISING DIVIDENDS C | 0.00 | 31.01 | 0.00 | 31.01 | 90.0 | 27.91 |
| 07/12/2017 | BUREK, ROSANNE | FRANKLIN RISING DIVIDENDS C | 0.00 | 20.06 | 0.00 | 20.06 | 90.0 | 18.05 |
| 07/12/2017 | ALBIN, SHELLEY | FRANKLIN RISING DIVIDENDS C | 0.00 | 6.08 | 0.00 | 6.08 | 90.0 | 5.47 |
| 07/12/2017 | ALBIN, FREDERICK | FRANKLIN RISING DIVIDENDS C | 0.00 | 6.08 | 0.00 | 6.08 | 90.0 | 5.47 |
| 07/12/2017 | PALMER, ROBERT | FRANKLIN RISING DIVIDENDS C | 0.00 | 10.00 | 0.00 | 10.00 | 90.0 | 9.00 |
| 07/12/2017 | PALMER, TODD | FRANKLIN RISING DIVIDENDS C | 0.00 | 25.77 | 0.00 | 25.77 | 90.0 | 23.19 |
| 07/12/2017 | RUSSO, NICHOLAS | FRANKLIN RISING DIVIDENDS C | 0.00 | 13.27 | 0.00 | 13.27 | 90.0 | 11.94 |
| 07/12/2017 | BOLUS, ELLA | FRANKLIN RISING DIVIDENDS C | 0.00 | 33.73 | 0.00 | 33.73 | 90.0 | 30.36 |
| 07/12/2017 | RUSSO, DAVID | FRANKLIN RISING DIVIDENDS C | 0.00 | 13.06 | 0.00 | 13.06 | 90.0 | 11.75 |
| 07/12/2017 | ALLEN, MARY | FRANKLIN RISING DIVIDENDS C | 0.00 | 10.80 | 0.00 | 10.80 | 90.0 | 9.72 |
| 07/12/2017 | KESSOR, LAURA | FRANKLIN RISING DIVIDENDS C | 0.00 | 0.62 | 0.00 | 0.62 | 90.0 | 0.56 |
| 07/12/2017 | POUPORE, JOHN | FRANKLIN RISING DIVIDENDS C | 0.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 07/12/2017 | PALMER, TODD | FRANKLIN RISING DIVIDENDS C | 0.00 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 07/12/2017 | SCHOOLEY, MEGHAN | FRANKLIN RISING DIVIDENDS C | 0.00 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 07/12/2017 | KOTT, DIANE | FRANKLIN RISING DIVIDENDS C | 0.00 | 22.68 | 0.00 | 22.68 | 90.0 | 20.41 |
| 07/12/2017 | KOTT, DIANE | FRANKLIN RISING DIVIDENDS C | 0.00 | 10.15 | 0.00 | 10.15 | 90.0 | 9.14 |
| 07/12/2017 | PALMERI, SAMUEL | FRANKLIN RISING DIVIDENDS C | 0.00 | 14.73 | 0.00 | 14.73 | 90.0 | 13.26 |
| 07/12/2017 | PALMERI, BEVERLY | FRANKLIN RISING DIVIDENDS C | 0.00 | 16.10 | 0.00 | 16.10 | 90.0 | 14.49 |
| 07/12/2017 | TAYLOR, JAMIE | FRANKLIN RISING DIVIDENDS C | 0.00 | 24.08 | 0.00 | 24.08 | 90.0 | 21.67 |
| 07/12/2017 | TAYLOR, JAMIE | FRANKLIN RISING DIVIDENDS C | 0.00 | 0.96 | 0.00 | 0.96 | 90.0 | 0.86 |
| 07/12/2017 | LOUGHLIN, DENNIS | FRANKLIN RISING DIVIDENDS C | 0.00 | 20.10 | 0.00 | 20.10 | 90.0 | 18.09 |
| 07/12/2017 | STONE, JANE | FRANKLIN RISING DIVIDENDS C | 0.00 | 6.09 | 0.00 | 6.09 | 90.0 | 5.48 |

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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------------|-----------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/12/2017 | STONE, EDWARD | FRANKLIN RISING DIVIDENDS C | 0.00 | 12.04 | 0.00 | 12.04 | 90.0 | 10.84 |
| 07/12/2017 | STONE, EDWARD | FRANKLIN RISING DIVIDENDS C | 0.00 | 5.86 | 0.00 | 5.86 | 90.0 | 5.27 |
| 07/12/2017 | MARSACK, KAREN | FRANKLIN RISING DIVIDENDS C | 0.00 | 27.47 | 0.00 | 27.47 | 90.0 | 24.72 |
| 07/12/2017 | O'CONNOR, HOLLY | FRANKLIN RISING DIVIDENDS C | 0.00 | 6.86 | 0.00 | 6.86 | 90.0 | 6.17 |
| 07/12/2017 | O'DONNELL, JUDITH | FRANKLIN RISING DIVIDENDS C | 0.00 | 7.48 | 0.00 | 7.48 | 90.0 | 6.73 |
| 07/12/2017 | PALMERI, DANIEL | FRANKLIN RISING DIVIDENDS C | 0.00 | 1.42 | 0.00 | 1.42 | 90.0 | 1.28 |
| 07/12/2017 | BUSSELL, JEFFREY | FRANKLIN RISING DIVIDENDS C | 0.00 | 2.21 | 0.00 | 2.21 | 90.0 | 1.99 |
| 07/12/2017 | PALMERI, PAUL | FRANKLIN RISING DIVIDENDS C | 0.00 | 6.12 | 0.00 | 6.12 | 90.0 | 5.51 |
| 07/12/2017 | MAHER, HENRY | FRANKLIN RISING DIVIDENDS C | 0.00 | 7.94 | 0.00 | 7.94 | 90.0 | 7.15 |
| 07/12/2017 | MAHER, HENRY | FRANKLIN RISING DIVIDENDS C | 0.00 | 55.70 | 0.00 | 55.70 | 90.0 | 50.13 |
| 07/12/2017 | JACK, KELLY | FRANKLIN RISING DIVIDENDS C | 0.00 | 0.16 | 0.00 | 0.16 | 90.0 | 0.14 |
| 07/12/2017 | FRASER, SHARON | FRANKLIN RISING DIVIDENDS C | 0.00 | 18.96 | 0.00 | 18.96 | 90.0 | 17.06 |
| 07/12/2017 | DAVIS, ALTON | FRANKLIN RISING DIVIDENDS C | 0.00 | 19.74 | 0.00 | 19.74 | 90.0 | 17.77 |
| 07/12/2017 | COLASUONNO, RALPH | FRANKLIN RISING DIVIDENDS C | 0.00 | 23.26 | 0.00 | 23.26 | 90.0 | 20.93 |
| 07/12/2017 | MAES, DEBRA | FRANKLIN RISING DIVIDENDS C | 0.00 | 13.82 | 0.00 | 13.82 | 90.0 | 12.44 |
| 07/12/2017 | LUJAN, DEBORAH | FRANKLIN RISING DIVIDENDS C | 0.00 | 21.74 | 0.00 | 21.74 | 90.0 | 19.57 |
| 07/12/2017 | LUJAN-LABADIE, JENNIFER | FRANKLIN RISING DIVIDENDS C | 0.00 | 5.95 | 0.00 | 5.95 | 90.0 | 5.36 |
| 07/12/2017 | LUJAN-LABADIE, JENNIFER | FRANKLIN RISING DIVIDENDS C | 0.00 | 24.57 | 0.00 | 24.57 | 90.0 | 22.11 |
| 07/12/2017 | ANTHONY, NICHOLAS | FRANKLIN RISING DIVIDENDS C | 0.00 | 8.74 | 0.00 | 8.74 | 90.0 | 7.87 |
| 07/12/2017 | MARKHAM, JASON | FRANKLIN RISING DIVIDENDS C | 0.00 | 8.81 | 0.00 | 8.81 | 90.0 | 7.93 |
| 07/12/2017 | HEIDLOFF, JENNIFER | FRANKLIN RISING DIVIDENDS C | 0.00 | 4.17 | 0.00 | 4.17 | 90.0 | 3.75 |
| 07/12/2017 | HICKSON, ANDREW | FRANKLIN RISING DIVIDENDS C | 0.00 | 16.14 | 0.00 | 16.14 | 90.0 | 14.53 |
| 07/12/2017 | HICKSON, ANDREW | FRANKLIN RISING DIVIDENDS C | 0.00 | 24.50 | 0.00 | 24.50 | 90.0 | 22.05 |
| 07/12/2017 | SIMON, MICHAEL | FRANKLIN RISING DIVIDENDS C | 0.00 | 18.61 | 0.00 | 18.61 | 90.0 | 16.75 |
| 07/12/2017 | SIMON, MICHAEL | FRANKLIN RISING DIVIDENDS C | 0.00 | 7.93 | 0.00 | 7.93 | 90.0 | 7.14 |
| 07/12/2017 | SCHWARTZENBERGER, THOMAS | FRANKLIN RISING DIVIDENDS C | 0.00 | 14.64 | 0.00 | 14.64 | 90.0 | 13.18 |
| 07/12/2017 | MARIN REV TRUST, NICHOLAS | FRANKLIN RISING DIVIDENDS C | 0.00 | 54.29 | 0.00 | 54.29 | 90.0 | 48.86 |
| 07/12/2017 | PIZZO, PIETRO | FRANKLIN RISING DIVIDENDS C | 0.00 | 2.61 | 0.00 | 2.61 | 90.0 | 2.35 |
| 07/12/2017 | PIZZO, REBECCA | FRANKLIN RISING DIVIDENDS C | 0.00 | 7.04 | 0.00 | 7.04 | 90.0 | 6.34 |
| 07/12/2017 | PIZZO, SALVATORE | FRANKLIN RISING DIVIDENDS C | 0.00 | 4.84 | 0.00 | 4.84 | 90.0 | 4.36 |
| 07/12/2017 | PIZZO, SALVATORE | FRANKLIN RISING DIVIDENDS C | 0.00 | 2.31 | 0.00 | 2.31 | 90.0 | 2.08 |
| 07/12/2017 | FOSTER SP TRUST, PATRICIA | FRANKLIN RISING DIVIDENDS C | 0.00 | 17.26 | 0.00 | 17.26 | 90.0 | 15.53 |
| 07/12/2017 | KNOPF, KATHRYN | FRANKLIN RISING DIVIDENDS C | 0.00 | 4.53 | 0.00 | 4.53 | 90.0 | 4.08 |

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|---------------------------------------|----------------------|---------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | |
| 07/12/2017 | NUNEZ TRUST, LYNDIA | FRANKLIN RISING DIVIDENDS C | 0.00 | 39.31 | 0.00 | 39.31 | 90.0 | 35.38 |
| 07/12/2017 | EBERHARD, DAVID | FRANKLIN RISING DIVIDENDS C | 0.00 | 6.39 | 0.00 | 6.39 | 90.0 | 5.75 |
| 07/12/2017 | BEDARD, MARY | FRANKLIN RISING DIVIDENDS C | 0.00 | 10.10 | 0.00 | 10.10 | 90.0 | 9.09 |
| 07/12/2017 | SCACCIA, KELLY | FRANKLIN RISING DIVIDENDS C | 0.00 | 12.35 | 0.00 | 12.35 | 90.0 | 11.12 |
| 07/12/2017 | HENKE, FRANK | FRANKLIN RISING DIVIDENDS C | 0.00 | 13.35 | 0.00 | 13.35 | 90.0 | 12.02 |
| 07/12/2017 | HOLMES, JACK PATRICK | FRANKLIN RISING DIVIDENDS C | 0.00 | 1.74 | 0.00 | 1.74 | 90.0 | 1.57 |
| 07/12/2017 | ALBIN, FREDERICK | FRANKLIN RISING DIVIDENDS C | 0.00 | 25.53 | 0.00 | 25.53 | 90.0 | 22.98 |
| 07/12/2017 | QUSSAR, JAMES | FRANKLIN RISING DIVIDENDS C | 0.00 | 4.05 | 0.00 | 4.05 | 90.0 | 3.65 |
| 07/12/2017 | STOKES, RICHARD | FRANKLIN RISING DIVIDENDS C | 0.00 | 4.09 | 0.00 | 4.09 | 90.0 | 3.68 |
| 07/12/2017 | MAHER TRUST, MARY | FRANKLIN RISING DIVIDENDS C | 0.00 | 22.46 | 0.00 | 22.46 | 90.0 | 20.21 |
| 07/12/2017 | PAGANO, MAUREEN | FRANKLIN RISING DIVIDENDS C | 0.00 | 7.89 | 0.00 | 7.89 | 90.0 | 7.10 |
| 07/12/2017 | MAHER, OWEN | FRANKLIN RISING DIVIDENDS C | 0.00 | 5.11 | 0.00 | 5.11 | 90.0 | 4.60 |
| 07/12/2017 | WOLVERINE HUMAN SCV | FRANKLIN RISING DIVIDENDS C | 0.00 | 182.19 | 0.00 | 182.19 | 90.0 | 163.97 |
| 07/31/2017 | LEPZINSKI, LAURA | FRANKLIN RISING DIVIDENDS C | 0.00 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 07/31/2017 | FLEURY, BETH | FRANKLIN RISING DIVIDENDS C | 0.00 | 17.35 | 0.00 | 17.35 | 90.0 | 15.62 |
| 07/12/2017 | PAGANO, MAUREEN | FRANKLIN SMALL CAP VALUE C | 0.00 | 4.86 | 0.00 | 4.86 | 90.0 | 4.37 |
| 07/12/2017 | PROKOP, STANLEY | FRANKLIN STRATEGIC INCOME C | 0.00 | 76.92 | 0.00 | 76.92 | 90.0 | 69.23 |
| 07/12/2017 | O'CONNOR, AARON | FRANKLIN UTILITIES C | 0.00 | 3.87 | 0.00 | 3.87 | 90.0 | 3.48 |
| 07/12/2017 | MAHER, HENRY | FRANKLIN UTILITIES C | 0.00 | 21.79 | 0.00 | 21.79 | 90.0 | 19.61 |
| 07/12/2017 | DAVIS, ALTON | FRANKLIN UTILITIES C | 0.00 | 12.56 | 0.00 | 12.56 | 90.0 | 11.30 |
| 07/12/2017 | PAGANO, MAUREEN | FRANKLIN UTILITIES C | 0.00 | 3.76 | 0.00 | 3.76 | 90.0 | 3.38 |
| 07/12/2017 | GLINES, DAVID | FT FOUNDING FDS ALLOC C | 0.00 | 2.88 | 0.00 | 2.88 | 90.0 | 2.59 |
| 07/12/2017 | GLINES, WILBERT | FT FOUNDING FDS ALLOC C | 0.00 | 8.08 | 0.00 | 8.08 | 90.0 | 7.27 |
| 07/12/2017 | ZANONI, CARRIE | FT FOUNDING FDS ALLOC C | 0.00 | 5.35 | 0.00 | 5.35 | 90.0 | 4.82 |
| 07/31/2017 | LORIA, KENNETH | FT FOUNDING FDS ALLOC C | 0.00 | 13.77 | 0.00 | 13.77 | 90.0 | 12.39 |
| 07/21/2017 | DE FIORE, LAWRENCE | FT FOUNDING FUNDS ALLOC A | 158.32 | 4.43 | 0.00 | 4.43 | 90.0 | 3.99 |
| 07/21/2017 | DE FIORE, LAWRENCE | FT FOUNDING FUNDS ALLOC A | 730.41 | 20.45 | 0.00 | 20.45 | 90.0 | 18.41 |
| 07/31/2017 | DE FIORE, LAWRENCE | FT FOUNDING FUNDS ALLOC A | 0.00 | 39.34 | 0.00 | 39.34 | 90.0 | 35.41 |
| 07/21/2017 | DE FIORE, LAWRENCE | FT MUTUAL BEACON FUND A | 156.51 | 4.38 | 0.00 | 4.38 | 90.0 | 3.94 |
| 07/21/2017 | DE FIORE, LAWRENCE | FT MUTUAL BEACON FUND A | 33.92 | 0.95 | 0.00 | 0.95 | 90.0 | 0.86 |
| 07/31/2017 | DE FIORE, LAWRENCE | FT MUTUAL BEACON FUND A | 0.00 | 3.10 | 0.00 | 3.10 | 90.0 | 2.79 |
| 07/12/2017 | MAHER, KYLE | INVESCO MIDCAP GROWTH A | 0.00 | 1.23 | 0.00 | 1.23 | 90.0 | 1.11 |
| 07/12/2017 | HOLMES, JACK PATRICK | IVY ASSET STRATEGY FUND CLASS A | 0.00 | 0.32 | 0.00 | 0.32 | 90.0 | 0.29 |

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| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/12/2017 | MAHER, HENRY | IVY FDS INC ASSET STRATEGY FD CL B | 0.00 | 6.36 | 0.00 | 6.36 | 90.0 | 5.72 |
| 07/12/2017 | MAHER, MAIGHDLIN | IVY FDS INC ASSET STRATEGY FD CL B | 0.00 | 0.26 | 0.00 | 0.26 | 90.0 | 0.23 |
| 07/12/2017 | MAHER, SEAMUS | IVY FDS INC ASSET STRATEGY FD CL B | 0.00 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 07/12/2017 | HOLMES, KAYLA | IVY FDS INC ASSET STRATEGY FD CL B | 0.00 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 07/12/2017 | MAHER, SEAMUS | LORD ABBETT AFFILIATED A | 0.00 | 1.04 | 0.00 | 1.04 | 90.0 | 0.94 |
| 07/12/2017 | MAHER, OWEN | LORD ABBETT AFFILIATED A | 0.00 | 1.34 | 0.00 | 1.34 | 90.0 | 1.21 |
| 07/12/2017 | KORESKEY, JOHN | LORD ABBETT EQUITY TR CALIBRTD LARG | 0.00 | 27.94 | 0.00 | 27.94 | 90.0 | 25.15 |
| 07/12/2017 | HOLMES, KAYLA | LORD ABBETT FUNDAMENTAL EQUITY CL C | 0.00 | 1.40 | 0.00 | 1.40 | 90.0 | 1.26 |
| 07/12/2017 | PEVOS, DEBRA | LORD ABBETT INCOME FUND CL C | 0.00 | 7.27 | 0.00 | 7.27 | 90.0 | 6.54 |
| 07/12/2017 | NORRIS, CHRISTOPHER | LORD ABBETT INCOME FUND CL C | 0.00 | 21.60 | 0.00 | 21.60 | 90.0 | 19.44 |
| 07/12/2017 | BUSSELL, JEFFREY | LORD ABBETT SECS TR VALUE OPPTYS FD | 0.00 | 6.27 | 0.00 | 6.27 | 90.0 | 5.64 |
| 07/12/2017 | MARIN REV TRUST, NICHOLAS | LORD ABBETT SECS TR VALUE OPPTYS FD | 0.00 | 21.98 | 0.00 | 21.98 | 90.0 | 19.78 |
| 07/12/2017 | MAHER, HENRY | MAINSTAY MAP A | 0.00 | 13.50 | 0.00 | 13.50 | 90.0 | 12.15 |
| 07/12/2017 | HOLMES, JACK PATRICK | MFS RESEARCH INTL A | 0.00 | 0.21 | 0.00 | 0.21 | 90.0 | 0.19 |
| 07/12/2017 | HOLMES, JACK PATRICK | MFS SER TR I RESEARCH INTL FD CL B | 0.00 | 0.32 | 0.00 | 0.32 | 90.0 | 0.29 |
| 07/12/2017 | HOLMES, JACK PATRICK | OPPENHEIMER CAPITAL APPRECIATION A | 0.00 | 0.37 | 0.00 | 0.37 | 90.0 | 0.33 |
| 07/12/2017 | BUREK, ROSANNE | OPPENHEIMER ROCHESTER NATIONAL MUNI | 0.00 | 2.68 | 0.00 | 2.68 | 90.0 | 2.41 |
| 07/12/2017 | PROKOP, STANLEY | PIMCO FUNDS ALL ASSET C | 0.00 | 321.15 | 0.00 | 321.15 | 90.0 | 289.04 |
| 07/12/2017 | MARIN REV TRUST, NICHOLAS | PIMCO GNMA C | 0.00 | 47.05 | 0.00 | 47.05 | 90.0 | 42.35 |
| 07/12/2017 | MAHER, HENRY | PIMCO INCOME FUND C | 0.00 | 106.67 | 0.00 | 106.67 | 90.0 | 96.00 |
| 07/12/2017 | MAHER, HENRY | PIMCO LOW DURATION C | 0.00 | 65.19 | 0.00 | 65.19 | 90.0 | 58.67 |
| 07/12/2017 | LOUGHLIN, DENNIS | PIMCO REAL RETURN C | 0.00 | 10.13 | 0.00 | 10.13 | 90.0 | 9.12 |
| 07/12/2017 | BELONGIE, GINGER | PIMCO REAL RETURN C | 0.00 | 13.83 | 0.00 | 13.83 | 90.0 | 12.45 |
| 07/12/2017 | PALMERI, DANIEL | PIMCO REAL RETURN C | 0.00 | 3.55 | 0.00 | 3.55 | 90.0 | 3.20 |
| 07/12/2017 | MAHER, HENRY | PIMCO REAL RETURN C | 0.00 | 0.53 | 0.00 | 0.53 | 90.0 | 0.48 |
| 07/12/2017 | COLASUONNO, RALPH | PIMCO REAL RETURN C | 0.00 | 18.80 | 0.00 | 18.80 | 90.0 | 16.92 |
| 07/12/2017 | KEYES LIV TRUST, MURIEL WATSON | PIMCO REAL RETURN C | 0.00 | 23.55 | 0.00 | 23.55 | 90.0 | 21.20 |
| 07/12/2017 | QUSSAR, KELLY | PIMCO REAL RETURN C | 0.00 | 9.48 | 0.00 | 9.48 | 90.0 | 8.53 |
| 07/12/2017 | BUREK, ROSANNE | PIMCO STOCKPLUS TOTAL RETURN A | 0.00 | 11.18 | 0.00 | 11.18 | 90.0 | 10.06 |
| 07/12/2017 | MAHER, HENRY | PIMCO TOTAL RETURN A | 0.00 | 29.19 | 0.00 | 29.19 | 90.0 | 26.27 |
| 07/12/2017 | HOPPE, KYLE | PIMCO TOTAL RETURN C | 0.00 | 13.96 | 0.00 | 13.96 | 90.0 | 12.56 |
| 07/12/2017 | MARIN REV TRUST, NICHOLAS | PIMCO TOTAL RETURN C | 0.00 | 35.84 | 0.00 | 35.84 | 90.0 | 32.26 |
| 07/12/2017 | FOSTER SP TRUST, PATRICIA | PIMCO TOTAL RETURN C | 0.00 | 1.75 | 0.00 | 1.75 | 90.0 | 1.58 |

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| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/12/2017 | KEYES LIV TRUST, MURIEL WATSON | PIMCO TOTAL RETURN C | 0.00 | 50.68 | 0.00 | 50.68 | 90.0 | 45.61 |
| 07/27/2017 | MOTOR CITY COMPUTER | PIONEER BOND FUND A | 92.50 | 0.93 | 0.00 | 0.93 | 90.0 | 0.84 |
| 07/12/2017 | MAHER, HENRY | PIONEER MID CAP VALUE A | 0.00 | 7.68 | 0.00 | 7.68 | 90.0 | 6.91 |
| 07/12/2017 | GILLESPIE, HEATHER | ROYCE PENNSYLVANIA MUTUAL | 0.00 | 16.16 | 0.00 | 16.16 | 90.0 | 14.54 |
| 07/12/2017 | MARINI, ZAREFA | TEMPLETON DEVELOPING MKTS A | 0.00 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 07/12/2017 | PIASCIK, SHAWN | TEMPLETON EMERGING MARKETS SMALLCAP | 0.00 | 27.44 | 0.00 | 27.44 | 90.0 | 24.70 |
| 07/12/2017 | KEYES LIV TRUST, MURIEL WATSON | TEMPLETON EMERGING MARKETS SMALLCAP | 0.00 | 22.96 | 0.00 | 22.96 | 90.0 | 20.66 |
| 07/12/2017 | PALMERI, PAUL | TEMPLETON GLOBAL BOND A | 0.00 | 1.89 | 0.00 | 1.89 | 90.0 | 1.70 |
| 07/12/2017 | BANNICK, ROBERT | TEMPLETON GLOBAL BOND C | 0.00 | 5.88 | 0.00 | 5.88 | 90.0 | 5.29 |
| 07/12/2017 | SCHWARTZENBERGER, THOMAS | TEMPLETON GLOBAL BOND C | 0.00 | 1.44 | 0.00 | 1.44 | 90.0 | 1.30 |
| 07/12/2017 | MARIN REV TRUST, NICHOLAS | TEMPLETON GLOBAL BOND C | 0.00 | 11.48 | 0.00 | 11.48 | 90.0 | 10.33 |
| 07/12/2017 | NUNEZ TRUST, LYNDA | TEMPLETON GLOBAL BOND C | 0.00 | 6.07 | 0.00 | 6.07 | 90.0 | 5.46 |
| 07/12/2017 | EBERHARD, DAVID | TEMPLETON GLOBAL BOND C | 0.00 | 6.89 | 0.00 | 6.89 | 90.0 | 6.20 |
| 07/12/2017 | GUMBLETON, CARRIE | TEMPLETON GLOBAL BOND C | 0.00 | 5.53 | 0.00 | 5.53 | 90.0 | 4.98 |
| 07/12/2017 | KEYES LIV TRUST, MURIEL WATSON | TEMPLETON GLOBAL BOND C | 0.00 | 15.47 | 0.00 | 15.47 | 90.0 | 13.92 |
| 07/12/2017 | QUSSAR, KELLY | TEMPLETON GLOBAL BOND C | 0.00 | 3.10 | 0.00 | 3.10 | 90.0 | 2.79 |
| 07/31/2017 | HEILMANN, VICTORIA | TEMPLETON GROWTH A | 0.00 | 5.42 | 0.00 | 5.42 | 90.0 | 4.88 |
| 07/12/2017 | ZANONI, CARRIE | TEMPLETON GROWTH C | 0.00 | 4.82 | 0.00 | 4.82 | 90.0 | 4.34 |
| 07/12/2017 | MARKHAM, JASON | TEMPLETON GROWTH C | 0.00 | 9.47 | 0.00 | 9.47 | 90.0 | 8.52 |
| 07/12/2017 | BANNICK, ROBERT | TEMPLETON GROWTH C | 0.00 | 1.69 | 0.00 | 1.69 | 90.0 | 1.52 |
| 07/12/2017 | SCHWARTZENBERGER, THOMAS | TEMPLETON GROWTH C | 0.00 | 2.26 | 0.00 | 2.26 | 90.0 | 2.03 |
| 07/12/2017 | PIZZO, SALVATORE | TEMPLETON GROWTH C | 0.00 | 5.01 | 0.00 | 5.01 | 90.0 | 4.51 |
| 07/12/2017 | GUMBLETON, CARRIE | TEMPLETON GROWTH C | 0.00 | 11.48 | 0.00 | 11.48 | 90.0 | 10.33 |
| 07/12/2017 | KEYES LIV TRUST, MURIEL WATSON | TEMPLETON INCOME TR GLOBAL TOTAL RE | 0.00 | 12.91 | 0.00 | 12.91 | 90.0 | 11.62 |
| 07/12/2017 | SIMON, MICHAEL | TRANSAMERICA AA GROWTH C | 0.00 | 8.32 | 0.00 | 8.32 | 90.0 | 7.49 |
| 07/12/2017 | JACK, STANLEY | TRANSAMERICA AA MOD GROWTH C | 0.00 | 5.63 | 0.00 | 5.63 | 90.0 | 5.07 |
| 07/12/2017 | LOUGHLIN, DENNIS | TRANSAMERICA AA MOD GROWTH C | 0.00 | 12.67 | 0.00 | 12.67 | 90.0 | 11.40 |
| 07/12/2017 | PIASCIK, SHAWN | TRANSAMERICA AA MOD GROWTH C | 0.00 | 35.97 | 0.00 | 35.97 | 90.0 | 32.37 |
| 07/12/2017 | GUMBLETON, CARRIE | TRANSAMERICA AA MOD GROWTH C | 0.00 | 15.82 | 0.00 | 15.82 | 90.0 | 14.24 |
| 07/12/2017 | BELONGIE, GINGER | TRANSAMERICA AA MODERATE C | 0.00 | 10.93 | 0.00 | 10.93 | 90.0 | 9.84 |
| 07/12/2017 | MARSACK, KAREN | TRANSAMERICA AA MODERATE C | 0.00 | 11.80 | 0.00 | 11.80 | 90.0 | 10.62 |
| 07/12/2017 | O'DONNELL, JUDITH | TRANSAMERICA AA MODERATE C | 0.00 | 7.86 | 0.00 | 7.86 | 90.0 | 7.07 |
| 07/12/2017 | BANNICK, ROBERT | TRANSAMERICA AA MODERATE C | 0.00 | 7.51 | 0.00 | 7.51 | 90.0 | 6.76 |

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|--|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/12/2017 | PIZZO, PIETRO | TRANSAMERICA AA MODERATE C | 0.00 | 7.86 | 0.00 | 7.86 | 90.0 | 7.07 |
| 07/12/2017 | PIZZO, MICHELE | TRANSAMERICA AA MODERATE C | 0.00 | 0.12 | 0.00 | 0.12 | 90.0 | 0.11 |
| 07/12/2017 | PIZZO, FRANCESCO | TRANSAMERICA AA MODERATE C | 0.00 | 8.07 | 0.00 | 8.07 | 90.0 | 7.26 |
| 07/12/2017 | ROGERS, NICOLE | VOYA CORP LEADERS 100 A | 0.00 | 2.39 | 0.00 | 2.39 | 90.0 | 2.15 |
| 07/12/2017 | MAHER, HENRY | VOYA INTL VALUE EQUITY A | 0.00 | 9.84 | 0.00 | 9.84 | 90.0 | 8.86 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$266,701.06 | \$8,100.64 | \$0.00 | \$8,100.64 | | \$7,290.87 |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| 07/06/2017 | MAHER, JAMES | TESLA MTRS INC COM | 11,059.30 | 0.00 | 22.00 | -22.00 | 90.0 | -19.80 |
| * STOCKS SUBTOTAL * | | | \$11,059.30 | \$0.00 | \$22.00 | \$-22.00 | | \$-19.80 |
| <u>PRODUCT CATEGORY: OPTIONS</u> | | | | | | | | |
| 07/18/2017 | JACK, KELLY | CALL 100 LINN ENERGY LLC UNIT REPST | 0.00 | 2.28 | 0.00 | 2.28 | 90.0 | 2.05 |
| 07/18/2017 | JACK, KELLY | CALL 100 LINN ENERGY LLC UNIT REPST | 0.00 | 0.76 | 0.00 | 0.76 | 90.0 | 0.68 |
| * OPTIONS SUBTOTAL * | | | \$0.00 | \$3.04 | \$0.00 | \$3.04 | | \$2.73 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 08/02/2017 | ATTENDANT CARE, 401K | GWL GRP ANNUITY 401K | 188,638.25 | 120.16 | 0.00 | 120.16 | 90.0 | 108.14 |
| 08/02/2017 | RACHWAL, BARTON | GWL GRP ANNUITY 401K | 355,357.92 | 150.91 | 0.00 | 150.91 | 90.0 | 135.82 |
| 08/02/2017 | GURSTEN, KOLTONOW | GWL NET ASSET VALUE 401K | 7,367,415.78 | 1,564.31 | 0.00 | 1,564.31 | 90.0 | 1,407.88 |
| 08/02/2017 | CHRISTENSEN PLLC, DAVID | GWL NET ASSET VALUE 401K | 387,850.88 | 164.70 | 0.00 | 164.70 | 90.0 | 148.23 |
| 07/31/2017 | CARROLL, EILEEN | JNL ELITE ACCESS W/ LIQUIDITY | 34,018.38 | 28.35 | 0.00 | 28.35 | 90.0 | 25.52 |
| 07/31/2017 | NUNEZ, LYNDIA | JNL ELITE ACCESS W/ LIQUIDITY | 115,904.55 | 96.59 | 0.00 | 96.59 | 90.0 | 86.93 |
| 07/31/2017 | HARRIS, JANE | JNL PERSPECTIVE II 04/14 | 100,000.00 | 5,500.00 | 0.00 | 5,500.00 | 90.0 | 4,950.00 |
| 07/31/2017 | RACHWAL, BARTON | JNL PERSPECTIVE II 05/05 | 171,538.50 | 35.74 | 0.00 | 35.74 | 90.0 | 32.17 |
| 07/31/2017 | MAHER, HENRY | JNL PERSPECTIVE II 05/05 | 103,556.96 | 43.15 | 0.00 | 43.15 | 90.0 | 38.84 |
| 07/31/2017 | HICKSON, ANDREW | JNL PERSPECTIVE II 05/05 | 46,632.30 | 19.43 | 0.00 | 19.43 | 90.0 | 17.49 |
| 07/31/2017 | VEIT, BEVERLY | JNL PERSPECTIVE L | 18,840.61 | 15.70 | 0.00 | 15.70 | 90.0 | 14.13 |
| 07/31/2017 | PALMERI, BEVERLY | JNL PERSPECTIVE L | 101,039.59 | 84.20 | 0.00 | 84.20 | 90.0 | 75.78 |
| 07/31/2017 | FRASER, SHARON | JNL PERSPECTIVE L | 41,955.37 | 34.96 | 0.00 | 34.96 | 90.0 | 31.46 |
| 07/31/2017 | TAYLOR, JAMIE | JNL PERSPECTIVE L | 44,017.30 | 36.68 | 0.00 | 36.68 | 90.0 | 33.01 |
| 07/31/2017 | FLOWERS, EDWARD | JNL PERSPECTIVE L | 145,297.24 | 121.08 | 0.00 | 121.08 | 90.0 | 108.97 |
| 07/31/2017 | STOKES, RICHARD | JNL PERSPECTIVE L | 79,040.27 | 65.87 | 0.00 | 65.87 | 90.0 | 59.28 |
| 07/31/2017 | STONE, EDWARD | JNL PERSPECTIVE L | 91,944.50 | 76.62 | 0.00 | 76.62 | 90.0 | 68.96 |
| 07/31/2017 | PALMERI, SAMUEL | JNL PERSPECTIVE L | 103,898.41 | 86.58 | 0.00 | 86.58 | 90.0 | 77.92 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---------------------|-------------------------------------|------------------------|---------------------|--------------------|--------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: VARIABLE ANNUITIES | | | | | | | | |
| 07/31/2017 | STEINMETZ JR, JAMES | JNL PERSPECTIVE L | 108,832.12 | 90.69 | 0.00 | 90.69 | 90.0 | 81.62 |
| 07/31/2017 | VITALE, PETER | JNL PERSPECTIVE L | 136,845.21 | 114.04 | 0.00 | 114.04 | 90.0 | 102.64 |
| 07/31/2017 | BROEREN, ANTHONY | JNL PERSPECTIVE L | 184,926.71 | 154.11 | 0.00 | 154.11 | 90.0 | 138.70 |
| 07/31/2017 | CORNWELL, VICTORIA | JNL PERSPECTIVE L | 200,938.75 | 167.45 | 0.00 | 167.45 | 90.0 | 150.71 |
| 07/31/2017 | KRETZ, JAMES | JNL PERSPECTIVE L | 109,849.30 | 91.54 | 0.00 | 91.54 | 90.0 | 82.39 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$10,238,338.90 | \$8,862.86 | \$0.00 | \$8,862.86 | | \$7,976.59 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$10,516,099.26 | \$16,987.67 | \$22.00 | \$16,965.67 | | \$15,269.41 |
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 08/15/2017 | | *E & O INSURANCE: CURRENT MONTH INS | 0.00 | | | | | -300.00 |
| 08/15/2017 | | *FINRA: FINRA CE TRACKED WEB FEE S2 | 0.00 | | | | | -55.00 |
| 08/15/2017 | | *RBC: ORDER ENTRY | 0.00 | | | | | -65.00 |
| 08/15/2017 | | *RBC: WEALTHSTATION ADMIN | 0.00 | | | | | -25.00 |
| 08/15/2017 | | *RBC: WRITE OFF-7A502670 | 0.00 | | | | | -35.00 |
| 08/15/2017 | | *TECHNOLOGY: ADM - ZAREFA MARINI | 0.00 | | | | | -100.00 |
| 08/15/2017 | | *TECHNOLOGY: CURRENT MONTH TECH | 0.00 | | | | | -275.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-855.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$15,269.41 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-855.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|--------------|
| Check Amount | \$14,414.41 |
| Year-to-date Gross | \$271,149.85 |
| Year-to-date Earnings | \$243,535.79 |