

**COMMISSION STATEMENT****February 15, 2018**DOUGLAS, S123 SNIDER
1622 170th Pl SEBROKER #: S123
BRANCH: DOUGLAS SNIDER

Bothell, WA 98012

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2018	SNIDER, VARIOUS	GENWORTH TRAILS	200,565.39	167.14	0.00	167.14	80.0	133.71
01/31/2018	MANLY, NORMAN	GENWORTH TRAILS	215,892.29	89.96	0.00	89.96	80.0	71.97
* VARIABLE ANNUITIES SUBTOTAL *			\$416,457.68	\$257.10	\$0.00	\$257.10		\$205.68
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/18/2018	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.03	0.00	3.03	80.0	2.42
01/18/2018	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.22	0.00	16.22	80.0	12.98
01/10/2018	SKALLEY, MICHAEL	CATALYST DYNAMIC ALPHA FUND CLASS A	0.00	4.06	0.00	4.06	80.0	3.25
01/12/2018	NELSON, JAMES	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	6.47	0.00	6.47	80.0	5.18
01/12/2018	JENSEN, LINDA	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	20.18	0.00	20.18	80.0	16.14
01/12/2018	LIKKEL, MARLENE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	25.21	0.00	25.21	80.0	20.17
01/12/2018	CARLSON, JANET	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	3.93	0.00	3.93	80.0	3.14
01/12/2018	BELLAMY, GARLAND	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	14.53	0.00	14.53	80.0	11.62
01/12/2018	BAILEY, CHERYLNN	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	10.02	0.00	10.02	80.0	8.02
01/12/2018	BAILEY, CHERYL	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	26.27	0.00	26.27	80.0	21.02
01/12/2018	SARVIS, GAIL	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	18.50	0.00	18.50	80.0	14.80
01/12/2018	BOYS, CHARLENE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	30.96	0.00	30.96	80.0	24.77
01/12/2018	WHITTALL, JULIE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	14.87	0.00	14.87	80.0	11.90
01/19/2018	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.85	0.00	1.85	80.0	1.48
01/19/2018	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.95	0.00	3.95	80.0	3.16
01/17/2018	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	9.44	0.00	9.44	80.0	7.55
01/17/2018	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	25.65	0.00	25.65	80.0	20.52
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$235.14	\$0.00	\$235.14		\$188.12
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/31/2018	BELLAMY, GARLAND	GENWORTH TRAILS	84,591.40	35.25	0.00	35.25	80.0	28.20
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$84,591.40	\$35.25	\$0.00	\$35.25		\$28.20

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
*** DIRECT TRANSACTIONS TOTAL ***			\$501,049.08	\$527.49	\$0.00	\$527.49		\$422.00

ADJUSTMENTS								
02/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
02/15/2018		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
02/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2018		*FINRA: FINRA CE TRACKED WEB FEE S2	0.00					-55.00
02/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
02/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-647.00

BROKER COMMISSION TOTALS	
Broker Transactions	\$422.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-647.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-225.00
Year-to-date Gross	\$3,253.43
Year-to-date Earnings	\$2,602.76

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