

**COMMISSION STATEMENT****October 15, 2017**

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU  
11617 Coldwater Road  
Suite 103  
Fort Wayne, IN 46845

**BROKER #: L131**  
**BRANCH: APPLE TREE FINANCIAL**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
^10/04/2017	HAMM, GAIL	PERSHING	0.00	634.79	0.00	634.79	90.0	0.00
^10/04/2017	HAMM, GAIL	PERSHING	0.00	380.07	0.00	380.07	90.0	0.00
^10/04/2017	HAMM, GAIL	PERSHING	0.00	443.71	0.00	443.71	90.0	0.00
^10/04/2017	EISERLE, DAVID	PERSHING	0.00	67.35	0.00	67.35	90.0	0.00
^10/04/2017	NOVELL, DEANA	PERSHING	0.00	397.98	0.00	397.98	90.0	0.00
^10/04/2017	GROTE, DONALD	PERSHING	0.00	420.92	0.00	420.92	90.0	0.00
^10/04/2017	GROTE, DONALD	PERSHING	0.00	115.30	0.00	115.30	90.0	0.00
^10/04/2017	CHIDDISTER, LISA	PERSHING	0.00	0.37	0.00	0.37	90.0	0.00
^10/04/2017	KAISER, ROBIN	PERSHING	0.00	178.78	0.00	178.78	90.0	0.00
^10/04/2017	KAISER, ROBERT	PERSHING	0.00	59.07	0.00	59.07	90.0	0.00
^10/04/2017	GAY, RICHARD	PERSHING	0.00	88.56	0.00	88.56	90.0	0.00
^10/04/2017	SORG, RICHARD	PERSHING	0.00	206.89	0.00	206.89	90.0	0.00
^10/04/2017	BURTNETTE, PATRICIA	PERSHING	0.00	1,034.97	0.00	1,034.97	90.0	0.00
^10/04/2017	BAILEY, LAURIE	PERSHING	0.00	172.29	0.00	172.29	90.0	0.00
<b>* RIA SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$4,201.05</b>	<b>\$0.00</b>	<b>\$4,201.05</b>		<b>\$0.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$4,201.05</b>	<b>\$0.00</b>	<b>\$4,201.05</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
10/04/2017	HAMM, GAIL	PERSHING	0.00	190.44	0.00	190.44	90.0	171.40
10/04/2017	HAMM, GAIL	PERSHING	0.00	114.02	0.00	114.02	90.0	102.62
10/04/2017	HAMM, GAIL	PERSHING	0.00	133.11	0.00	133.11	90.0	119.80
10/04/2017	EISERLE, DAVID	PERSHING	0.00	20.21	0.00	20.21	90.0	18.19
10/04/2017	NOVELL, DEANA	PERSHING	0.00	119.39	0.00	119.39	90.0	107.45
10/04/2017	GROTE, DONALD	PERSHING	0.00	126.28	0.00	126.28	90.0	113.65
10/04/2017	GROTE, DONALD	PERSHING	0.00	34.59	0.00	34.59	90.0	31.13
10/04/2017	CHIDDISTER, LISA	PERSHING	0.00	0.11	0.00	0.11	90.0	0.10
10/04/2017	KAISER, ROBIN	PERSHING	0.00	53.63	0.00	53.63	90.0	48.27
10/04/2017	KAISER, ROBERT	PERSHING	0.00	17.72	0.00	17.72	90.0	15.95
10/04/2017	GAY, RICHARD	PERSHING	0.00	26.57	0.00	26.57	90.0	23.91
10/04/2017	SORG, RICHARD	PERSHING	0.00	62.07	0.00	62.07	90.0	55.86
10/04/2017	BURTNETTE, PATRICIA	PERSHING	0.00	310.49	0.00	310.49	90.0	279.44
10/04/2017	BAILEY, LAURIE	PERSHING	0.00	51.69	0.00	51.69	90.0	46.52
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$0.00	\$1,260.32	\$0.00	\$1,260.32		\$1,134.29
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$1,260.32	\$0.00	\$1,260.32		\$1,134.29

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$1,134.29
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$6.71
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,141.00
Year-to-date Gross	\$12,618.15
Year-to-date Earnings	\$11,356.36