



Concorde Investment Services, LLC

01/13/2017
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COMMISSION STATEMENT

January 17, 2017

THOMAS HALSEY
7261 W. Central Ave.

BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/27/2016	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	1,700.00	72.25	0.00	72.25	85.0	61.41
12/28/2016	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	2.13
01/03/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	85.0	14.45
12/27/2016	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	1,500.00	75.00	0.00	75.00	85.0	63.75
12/27/2016	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	1,500.00	75.00	0.00	75.00	85.0	63.75
/ /	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	11.25	0.00	11.25	85.0	9.56
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.05	0.00	7.05	85.0	5.99
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	2.81	0.00	2.81	85.0	2.39
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	3.95	0.00	3.95	85.0	3.36
/ /	KRAL, ADAM	FIDELITY ADV HEALTH CARE T	0.00	1.00	0.00	1.00	85.0	0.85
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	5.08	0.00	5.08	85.0	4.32
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	3.49	0.00	3.49	85.0	2.97
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	3.50	0.00	3.50	85.0	2.98
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	5.39	0.00	5.39	85.0	4.58
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	5.45	0.00	5.45	85.0	4.63
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	7.23	0.00	7.23	85.0	6.15
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	2.72	0.00	2.72	85.0	2.31
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	4.98	0.00	4.98	85.0	4.23
/ /	VESEY, ROBERT	FIDELITY EQUITY INCOME	0.00	6.38	0.00	6.38	85.0	5.42
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	5.91	0.00	5.91	85.0	5.02
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	5.84	0.00	5.84	85.0	4.96
/ /	KRAL, ADAM	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.02	0.00	0.02	85.0	0.02
/ /	KRAL, ADAM	FIDELITY MIDCAP T	0.00	0.89	0.00	0.89	85.0	0.76
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	13.66	0.00	13.66	85.0	11.61
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.53	0.00	6.53	85.0	5.55
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	4.93	0.00	4.93	85.0	4.19

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
* MUTUAL FUNDS SUBTOTAL *			\$5,150.00	\$349.81	\$0.00	\$349.81		\$297.34
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/30/2016	DREEZE, MARTIN	HARTFORD LEADERS OUTLOOK (ILA)	855.97	2.14	0.00	2.14	85.0	1.82
12/31/2016	BUEHRER, DANIEL	JNL PERSPECTIVE L	168,609.18	140.51	0.00	140.51	85.0	119.43
12/31/2016	NOWAK, DAVID	JOHN HANCOCK VENTURE III	17,332.42	14.44	0.00	14.44	85.0	12.27
12/31/2016	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	49,141.12	40.95	0.00	40.95	85.0	34.81
12/31/2016	DESELMs, EVELYN	JOHN HANCOCK VENTURE III	38,857.65	32.38	0.00	32.38	85.0	27.52
12/31/2016	PRZYBYLSKI, DIANA	NATIONWIDE DESTINATION LS 96	89,421.90	223.55	0.00	223.55	85.0	190.02
12/02/2016	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	123,301.99	102.75	0.00	102.75	85.0	87.34
12/05/2016	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	95,993.04	79.99	0.00	79.99	85.0	67.99
12/05/2016	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	82,441.13	68.70	0.00	68.70	85.0	58.40
12/06/2016	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	215,722.41	179.77	0.00	179.77	85.0	152.80
12/07/2016	EYLER, BARBARA	TRANSAMERICA LIBERTY	92,484.36	77.07	0.00	77.07	85.0	65.51
12/07/2016	BUEHRER, SARAH	TRANSAMERICA LIBERTY	123,827.14	103.19	0.00	103.19	85.0	87.71
12/07/2016	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	141,944.07	118.29	0.00	118.29	85.0	100.55
12/08/2016	FERGUSON, MARK	TRANSAMERICA LIBERTY	208,295.57	173.58	0.00	173.58	85.0	147.54
12/09/2016	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	171,887.79	143.24	0.00	143.24	85.0	121.75
12/10/2016	THANASIU, THOMAS	TRANSAMERICA LIBERTY	104,412.99	87.01	0.00	87.01	85.0	73.96
12/10/2016	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	89,731.71	74.78	0.00	74.78	85.0	63.56
12/10/2016	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	84,860.35	70.72	0.00	70.72	85.0	60.11
12/12/2016	DIENER, JUDITH	TRANSAMERICA LIBERTY	128,035.37	106.70	0.00	106.70	85.0	90.70
12/12/2016	RUCH, RONALD	TRANSAMERICA LIBERTY	63,488.75	52.91	0.00	52.91	85.0	44.97
12/13/2016	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	27,226.57	22.69	0.00	22.69	85.0	19.29
12/16/2016	BAKER, ELAINE	TRANSAMERICA LIBERTY	195,699.78	163.08	0.00	163.08	85.0	138.62
12/19/2016	KREMPEC, KAY	TRANSAMERICA LIBERTY	73,722.98	61.44	0.00	61.44	85.0	52.22
12/19/2016	KREMPEC, KAY	TRANSAMERICA LIBERTY	73,722.98	61.44	0.00	61.44	85.0	52.22
12/19/2016	KREMPEC, KAY	TRANSAMERICA LIBERTY	73,722.98	61.44	0.00	61.44	85.0	52.22
12/21/2016	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	176,776.17	147.31	0.00	147.31	85.0	125.21
12/22/2016	AUGUSTYNIAC JR, ROGER	TRANSAMERICA LIBERTY	408,104.32	340.09	0.00	340.09	85.0	289.08
12/22/2016	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	89,250.81	74.38	0.00	74.38	85.0	63.22
12/25/2016	PERRY, ALAN	TRANSAMERICA LIBERTY	389,213.64	324.34	0.00	324.34	85.0	275.69
12/25/2016	TAYLOR, LILY	TRANSAMERICA LIBERTY	81,240.16	67.70	0.00	67.70	85.0	57.55
12/26/2016	ANNESSER, CAROL	TRANSAMERICA LIBERTY	125,292.13	104.41	0.00	104.41	85.0	88.75

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/27/2016	DESELMS, KAREN	TRANSAMERICA LIBERTY	111,627.20	93.02	0.00	93.02	85.0	79.07
12/27/2016	WRAY, JOCELYN	TRANSAMERICA LIBERTY	26,794.11	22.33	0.00	22.33	85.0	18.98
12/27/2016	WRAY, KIM	TRANSAMERICA LIBERTY	56,049.46	46.71	0.00	46.71	85.0	39.70
12/27/2016	KREMPEC, KAY	TRANSAMERICA LIBERTY	36,182.80	30.15	0.00	30.15	85.0	25.63
12/28/2016	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	45,475.08	37.90	0.00	37.90	85.0	32.22
* VARIABLE ANNUITIES SUBTOTAL *			\$4,080,746.08	\$3,551.10	\$0.00	\$3,551.10		\$3,018.43
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
12/31/2016	ZORNOW, BETTY	AMERITAS LIFE TRAILS	0.00	95.08	0.00	95.08	85.0	80.82
* LIFE INSURANCE SUBTOTAL *			\$0.00	\$95.08	\$0.00	\$95.08		\$80.82
*** BROKER TRANSACTIONS TOTAL ***			\$4,085,896.08	\$3,995.99	\$0.00	\$3,995.99		\$3,396.59
<u>ADJUSTMENTS</u>								
01/17/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/17/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$3,396.59
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$30.36
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,851.95
Year-to-date Gross	\$3,900.91
Year-to-date Earnings	\$3,396.59