



COMMISSION STATEMENT

April 30, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/16/2018	***-**-36IR - LEONE-WHITTLE, ACCOUNT #: 00068471550	AMERICAN BALANCED FUND-C BUY 2 Units @26.8500	50.00	0.50	0.00	0.50	95.0	0.48
04/16/2018	***-**-3644 - BROOKMIRE, MATT ACCOUNT #: 00701328528	FA 529 COLLEGE PORT-CL A BUY 11 Units @18.5700	200.00	10.00	0.00	10.00	95.0	9.50
* MUTUAL FUNDS SUBTOTAL *			<u>\$250.00</u>	<u>\$10.50</u>	<u>\$0.00</u>	<u>\$10.50</u>		<u>\$9.98</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/26/2018	***-**-86I` - SEELEY, NANCY ACCOUNT #: 5291468659	BLACKROCK FUNDS BUY 0 Units @0.0000	0.00	4.27	0.00	4.27	95.0	4.06
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<u>\$0.00</u>	<u>\$4.27</u>	<u>\$0.00</u>	<u>\$4.27</u>		<u>\$4.06</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$250.00</u>	<u>\$14.77</u>	<u>\$0.00</u>	<u>\$14.77</u>		<u>\$14.04</u>

OVERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

04/16/2018	***-**-8906 - ISBELL, ACCOUNT #: 00073594886	AMERICAN BALANCED FUND-A BUY 2 Units @28.0100	50.00	1.38	0.00	1.38	20.0	0.28
04/16/2018	***-**-9037 - NOURSE, STACIA ACCOUNT #: 04000247689	AMERICAN BALANCED FUND-A BUY 2 Units @28.6800	50.00	2.50	0.00	2.50	20.0	0.50
04/16/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	AMERICAN BALANCED FUND-A BUY 2 Units @28.0100	50.00	1.38	0.00	1.38	20.0	0.28
04/16/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	AMERICAN BALANCED FUND-A BUY 4 Units @28.0100	100.00	2.75	0.00	2.75	20.0	0.55
04/16/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	AMERICAN BALANCED FUND-A BUY 3 Units @28.0100	90.75	2.50	0.00	2.50	20.0	0.50
04/16/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN BALANCED FUND-A BUY 4 Units @28.0100	125.00	3.44	0.00	3.44	20.0	0.69
04/16/2018	***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793	AMERICAN BALANCED FUND-A BUY 14 Units @28.0100	400.00	11.00	0.00	11.00	20.0	2.20
04/16/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	AMERICAN BALANCED FUND-A BUY 1 Units @28.4500	37.50	1.59	0.00	1.59	20.0	0.32



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
04/16/2018	***-**-0939 - LAWRENCE, JASON	AMERICAN BALANCED FUND-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 2 Units @28.6800						
04/17/2018	***-**-4906 - VAN ALSTINE,	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00086106101	BUY 2 Units @28.8500						
04/16/2018	***-**-9037 - NOURSE, STACIA	AMERICAN HIGH-INCOME TRUST-A	50.00	1.50	0.00	1.50	20.0	0.30
	ACCOUNT #: 04000247689	BUY 5 Units @10.6800						
04/16/2018	***-**-4848 - DOGGETT, AMY	AMERICAN HIGH-INCOME TRUST-A	165.00	4.54	0.00	4.54	20.0	0.91
	ACCOUNT #: 00081620466	BUY 15 Units @10.6500						
04/16/2018	***-**-3143 - HUMPF, JOHN	AMERICAN HIGH-INCOME TRUST-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082277557	BUY 5 Units @10.6500						
04/16/2018	***-**-7598 - HUMPF, MINDI	AMERICAN HIGH-INCOME TRUST-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082278912	BUY 5 Units @10.6500						
04/16/2018	***-**-408B - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00085154620	BUY 9 Units @10.6500						
04/16/2018	***-**-7598 - HUMPF, MINDI	AMERICAN HIGH-INCOME TRUST-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 2 Units @10.6500						
04/16/2018	***-**-1909 - BASHANT, MATTHEW	AMERICAN HIGH-INCOME TRUST-A	25.50	0.70	0.00	0.70	20.0	0.14
	ACCOUNT #: 00085839015	BUY 2 Units @10.6500						
04/16/2018	***-**-5556 - BURNS, ROBERT	AMERICAN HIGH-INCOME TRUST-A	52.50	1.58	0.00	1.58	20.0	0.32
	ACCOUNT #: 00085993216	BUY 5 Units @10.6800						
04/20/2018	***-**-4932 - BAKER, STEVEN	AMERICAN HIGH-INCOME TRUST-A	75.00	2.06	0.00	2.06	20.0	0.41
	ACCOUNT #: 00086683340	BUY 7 Units @10.6100						
03/19/2018	***-**-9089 - REEDY, LISA	AXA RETIREMENT CORNERSTONE SERIES B	98,512.90	4,925.65	0.00	4,925.65	20.0	985.13
		BUY 1 Units @98520.0000						
04/13/2018	***-**-5453 - O'HARA, DARLENE	AXA RETIREMENT CORNERSTONE SERIES B	72,267.57	3,613.38	0.00	3,613.38	20.0	722.68
		BUY 1 Units @73000.0000						
04/16/2018	***-**-5152 - DUNN, MICHELE	CAPITAL INCOME BUILDER-A	400.00	11.00	0.00	11.00	20.0	2.20
	ACCOUNT #: 00087316793	BUY 6 Units @63.5300						
04/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME BUILDER-A	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00086683340	BUY 2 Units @62.9800						
04/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD BOND FUND-A	75.00	2.06	0.00	2.06	20.0	0.41
	ACCOUNT #: 00086683340	BUY 4 Units @20.8400						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
04/16/2018	***-**-4848 - DOGETT, AMY ACCOUNT #: 00081620466	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @53.6900	165.00	4.54	0.00	4.54	20.0	0.91
04/16/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @53.6900	50.00	1.38	0.00	1.38	20.0	0.28
04/16/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @53.6900	100.00	2.75	0.00	2.75	20.0	0.55
04/16/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @53.6900	25.00	0.69	0.00	0.69	20.0	0.14
04/16/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @53.6900	31.50	0.87	0.00	0.87	20.0	0.17
04/16/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @54.5400	37.50	1.59	0.00	1.59	20.0	0.32
04/20/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @53.7600	125.00	3.44	0.00	3.44	20.0	0.69
04/16/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	EUROPACIFIC GROWTH FUND-A BUY 1 Units @59.1600	50.00	1.38	0.00	1.38	20.0	0.28
04/16/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	EUROPACIFIC GROWTH FUND-A BUY 1 Units @59.1600	31.50	0.87	0.00	0.87	20.0	0.17
04/30/2018	***-**-108X - FOREST, NSPS ACCOUNT #: LSR010522	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.84	0.00	0.84	20.0	0.17
04/30/2018	***-**-336I - GETCHELL, FBO ACCOUNT #: LSR011101	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	20.0	0.01
04/16/2018	***-**-481J - MERRILL, JASON ACCOUNT #: 000000000426484	FRANKLIN NEW YORK TAX FREE CLASS C BUY 14 Units @10.8200	150.00	1.50	0.00	1.50	20.0	0.30
04/16/2018	***-**-481J - MERRILL, JASON ACCOUNT #: 000000000033504	FRANKLIN NY INTR-TRM TF INC FD BUY 13 Units @11.3600	150.00	1.50	0.00	1.50	20.0	0.30
04/16/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	SMALLCAP WORLD FUND-A BUY 0 Units @60.1300	22.50	0.96	0.00	0.96	20.0	0.19
04/16/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	THE BOND FUND OF AMERICA-A BUY 7 Units @13.0600	90.75	2.50	0.00	2.50	20.0	0.50
04/16/2018	***-**-4848 - DOGETT, AMY ACCOUNT #: 00081620466	THE GROWTH FUND OF AMERICA-A BUY 3 Units @53.6500	170.00	4.68	0.00	4.68	20.0	0.94



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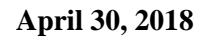
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: RONALD DRAKE (continued)</u> 								
04/16/2018	***-**-3143 - HUMPF, JOHN	THE GROWTH FUND OF AMERICA-A	70.00	1.93	0.00	1.93	20.0	0.39
	ACCOUNT #: 00082277557	BUY 1 Units @53.6500						
04/16/2018	***-**-7598 - HUMPF, MINDI	THE GROWTH FUND OF AMERICA-A	133.00	3.66	0.00	3.66	20.0	0.73
	ACCOUNT #: 00082278912	BUY 2 Units @53.6500						
04/16/2018	***-**-9089 - REEDY, LISA	THE GROWTH FUND OF AMERICA-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00083100942	BUY 1 Units @53.6500						
04/16/2018	***-**-4639 - SCOONES,	THE GROWTH FUND OF AMERICA-A	93.50	2.57	0.00	2.57	20.0	0.51
	ACCOUNT #: 00084481157	BUY 2 Units @53.6500						
04/16/2018	***-**-408B - SNIZEK,	THE GROWTH FUND OF AMERICA-A	175.00	4.81	0.00	4.81	20.0	0.96
	ACCOUNT #: 00085154620	BUY 3 Units @53.6500						
04/16/2018	***-**-7598 - HUMPF, MINDI	THE GROWTH FUND OF AMERICA-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 0 Units @53.6500						
04/16/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	36.00	0.99	0.00	0.99	20.0	0.20
	ACCOUNT #: 00085839015	BUY 1 Units @53.6500						
04/16/2018	***-**-7598 - HUMPF, MINDI	THE INCOME FUND OF AMERICA-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 1 Units @23.8700						
04/16/2018	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	25.50	0.70	0.00	0.70	20.0	0.14
	ACCOUNT #: 00085839015	BUY 1 Units @23.8700						
04/16/2018	***-**-0939 - LAWRENCE, JASON	THE INCOME FUND OF AMERICA-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 2 Units @24.4400						
04/20/2018	***-**-4932 - BAKER, STEVEN	THE INCOME FUND OF AMERICA-A	150.00	4.13	0.00	4.13	20.0	0.83
	ACCOUNT #: 00086683340	BUY 6 Units @23.7300						
 * RONALD DRAKE SUBTOTAL *			\$175,003.47	\$8,657.53	\$0.00	\$8,657.53		\$1,731.56
 <u>BROKER: RONALD CIOTOLI</u> 								
04/16/2018	***-**-923I - MILLER, DENISE	AMERICAN BALANCED FUND-A	100.00	3.75	0.00	3.75	35.0	1.31
	ACCOUNT #: 00068752100	BUY 4 Units @28.3000						
04/26/2018	***-**-295P - MILLER, PAUL	NYA JPM 529 CONSV GROWTH A	200.00	8.80	0.00	8.80	35.0	3.08
	ACCOUNT #: 77043335701	BUY 0 Units @0.0000						
 * RONALD CIOTOLI SUBTOTAL *			\$300.00	\$12.55	\$0.00	\$12.55		\$4.39
 *** OVERRIDE TRANSACTIONS TOTAL *** 			\$175,303.47	\$8,670.08	\$0.00	\$8,670.08		\$1,735.95



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				INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT			AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
						BROKER COMMISSION TOTALS			
						Broker Transactions			\$14.04
						Split Transactions			\$0.00
						Override Transactions			\$1,735.95
						Adjustments			\$0.00
						Payroll Draw			\$0.00
						Base Salary			\$0.00
						Prior Period Balance			\$0.00
						FINRA Assessment			\$0.00
						SIPC Assessment			\$0.00
						Net Commission Earned			\$1,749.99
						Year-to-date Earnings			\$9,652.72