

COMMISSION STATEMENT

August 31, 2017

VAN DREGGE OLMSTEAD

10 RICHARDS DRIVE

WILMINGTON, DE 19810

BROKER #: 68

BRANCH: HOME OFFICE

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/01/2017 (None)	VARIOUS, OLMSTEAD	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	138.37	0.00	138.37	70.0	96.86
08/01/2017 (None)	VARIOUS, OLMSTEAD	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	106.89	0.00	106.89	70.0	74.82
08/31/2017 (None)	VARIOUS, OLMSTEAD	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	19,474.00	0.00	19,474.00	70.0	13,631.80
08/31/2017 (None)	VARIOUS, OLMSTEAD	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	294.83	0.00	294.83	70.0	206.38
08/31/2017 (None)	VARIOUS, OLMSTEAD	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	87.35	0.00	87.35	70.0	61.15
08/03/2017 75501463	ZWASKIS, CAROLYN	**VIRTUS GLOBAL OPPORTUNITIES	B	300.00	0.00	0.00	0.00	70.0	0.00
08/02/2017 75501776	DERR, LEROY	**VIRTUS MULTI SECTOR SHORT	S	500.00	0.00	0.00	0.00	70.0	0.00
08/17/2017 75501776	DERR, LEROY	**VIRTUS MULTI SECTOR SHORT	S	850.00	0.00	0.00	0.00	70.0	0.00
08/03/2017 75501842	STRAUGHN, SARAH	**VIRTUS MULTI SECTOR SHORT	B	100,000.00	0.00	0.00	0.00	70.0	0.00
08/03/2017 75501844	STRAUGHN, SARAH	**VIRTUS EQUITY TREND FUND	S	8,000.00	0.00	0.00	0.00	70.0	0.00
08/03/2017 75501846	STRAUGHN, SARAH	TEMP WORLD FND - CL A	S	4,000.00	0.00	0.00	0.00	70.0	0.00
08/03/2017 75501846	STRAUGHN, SARAH	THE GROWTH FUND OF AMERICA-A	S	9,000.00	0.00	0.00	0.00	70.0	0.00
08/03/2017 75501846	STRAUGHN, SARAH	THE INVESTMENT COMPANY OF AMERICA-A	S	9,000.00	0.00	0.00	0.00	70.0	0.00
08/03/2017 75501865	BENJAMIN, WAYNE	RYDEX SECTOR ROTATION FUND CLASS C	S	6,274.93	0.00	0.00	0.00	70.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$137,924.93	\$20,101.44	\$0.00	\$20,101.44		\$14,071.01

PRODUCT CATEGORY: STOCKS

08/15/2017 75501727	PENN, SHELDON	CELANESE CORPORATION SERIES A	B	3,942.48	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501727	PENN, SHELDON	EOG RES INC	S	7,790.63	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501727	PENN, SHELDON	J P MORGAN CHASE & CO	B	4,176.45	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501727	PENN, SHELDON	LAM RESEARCH CORP	B	4,000.98	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501727	PENN, SHELDON	NETFLIX COM INC	S	8,479.60	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501727	PENN, SHELDON	PIONEER NATURAL RESOURCES COMPANY	S	7,331.17	0.00	0.00	0.00	70.0	0.00
08/22/2017 75501727	PENN, SHELDON	CHARLES RIV LABORATORIES INTL INC C	B	8,238.12	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501773	SMITH, GLENN	CELANESE CORPORATION SERIES A	B	1,971.24	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501773	SMITH, GLENN	EOG RES INC	S	4,760.94	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501773	SMITH, GLENN	J P MORGAN CHASE & CO	B	2,320.25	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501773	SMITH, GLENN	LAM RESEARCH CORP	B	2,400.59	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501773	SMITH, GLENN	NETFLIX COM INC	S	5,087.76	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501773	SMITH, GLENN	PIONEER NATURAL RESOURCES COMPANY	S	4,665.29	0.00	0.00	0.00	70.0	0.00
08/22/2017 75501773	SMITH, GLENN	CHARLES RIV LABORATORIES INTL INC C	B	5,148.83	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/15/2017	75501778	VORMWALD, G ROBERT	CELANESE CORPORATION SERIES A	B	5,913.72	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501778	VORMWALD, G ROBERT	EOG RES INC	S	12,551.56	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501778	VORMWALD, G ROBERT	J P MORGAN CHASE & CO	B	6,032.64	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501778	VORMWALD, G ROBERT	LAM RESEARCH CORP	B	6,401.56	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501778	VORMWALD, G ROBERT	NETFLIX COM INC	S	14,415.33	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501778	VORMWALD, G ROBERT	PIONEER NATURAL RESOURCES COMPANY	S	11,996.47	0.00	0.00	0.00	70.0	0.00
08/22/2017	75501778	VORMWALD, G ROBERT	CHARLES RIV LABORATORIES INTL INC C B		13,386.95	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501779	OLMSTEAD, VAN	CELANESE CORPORATION SERIES A	B	2,464.05	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501779	OLMSTEAD, VAN	EOG RES INC	S	4,760.94	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501779	OLMSTEAD, VAN	J P MORGAN CHASE & CO	B	2,320.25	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501779	OLMSTEAD, VAN	LAM RESEARCH CORP	B	2,400.59	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501779	OLMSTEAD, VAN	NETFLIX COM INC	S	5,935.72	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501779	OLMSTEAD, VAN	PIONEER NATURAL RESOURCES COMPANY	S	4,665.29	0.00	0.00	0.00	70.0	0.00
08/22/2017	75501779	OLMSTEAD, VAN	CHARLES RIV LABORATORIES INTL INC C B		5,148.83	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501783	HALLAM, DONALD	CELANESE CORPORATION SERIES A	B	8,870.58	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501783	HALLAM, DONALD	EOG RES INC	S	19,909.38	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501783	HALLAM, DONALD	J P MORGAN CHASE & CO	B	9,745.04	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501783	HALLAM, DONALD	LAM RESEARCH CORP	B	9,602.34	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501783	HALLAM, DONALD	NETFLIX COM INC	S	22,894.93	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501783	HALLAM, DONALD	PIONEER NATURAL RESOURCES COMPANY	S	19,327.64	0.00	0.00	0.00	70.0	0.00
08/22/2017	75501783	HALLAM, DONALD	CHARLES RIV LABORATORIES INTL INC C B		21,110.19	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501790	SZANTO, PETER	CELANESE CORPORATION SERIES A	B	3,449.67	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501790	SZANTO, PETER	EOG RES INC	S	6,492.19	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501790	SZANTO, PETER	J P MORGAN CHASE & CO	B	3,248.35	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501790	SZANTO, PETER	LAM RESEARCH CORP	B	3,200.78	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501790	SZANTO, PETER	NETFLIX COM INC	S	7,631.64	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501790	SZANTO, PETER	PIONEER NATURAL RESOURCES COMPANY	S	6,664.70	0.00	0.00	0.00	70.0	0.00
08/22/2017	75501790	SZANTO, PETER	CHARLES RIV LABORATORIES INTL INC C B		7,208.36	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501793	WITMAN, RUSSELL	CELANESE CORPORATION SERIES A	B	1,478.43	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501793	WITMAN, RUSSELL	EOG RES INC	S	2,596.88	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501793	WITMAN, RUSSELL	J P MORGAN CHASE & CO	B	928.10	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501793	WITMAN, RUSSELL	LAM RESEARCH CORP	B	1,600.39	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501793	WITMAN, RUSSELL	NETFLIX COM INC	S	3,391.84	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501793	WITMAN, RUSSELL	PIONEER NATURAL RESOURCES COMPANY	S	2,665.88	0.00	0.00	0.00	70.0	0.00
08/22/2017	75501793	WITMAN, RUSSELL	CHARLES RIV LABORATORIES INTL INC C B		3,089.30	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501794	WITMAN, RUSSELL	CELANESE CORPORATION SERIES A	B	2,464.05	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501794	WITMAN, RUSSELL	EOG RES INC	S	5,193.75	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501794	WITMAN, RUSSELL	J P MORGAN CHASE & CO	B	2,784.30	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501794	WITMAN, RUSSELL	LAM RESEARCH CORP	B	2,400.59	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501794	WITMAN, RUSSELL	NETFLIX COM INC	S	5,935.72	0.00	0.00	0.00	70.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/15/2017	75501794	WITMAN, RUSSELL							
		PIONEER NATURAL RESOURCES COMPANY	S	4,665.29	0.00	0.00	0.00	70.0	0.00
08/22/2017	75501794	WITMAN, RUSSELL							
		CHARLES RIV LABORATORIES INTL INC	C B	5,663.71	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501805	BARBARAS, GLEN							
		CELANESE CORPORATION SERIES A	B	2,464.05	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501805	BARBARAS, GLEN							
		EOG RES INC	S	5,193.75	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501805	BARBARAS, GLEN							
		J P MORGAN CHASE & CO	B	2,320.25	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501805	BARBARAS, GLEN							
		LAM RESEARCH CORP	B	2,400.59	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501805	BARBARAS, GLEN							
		NETFLIX COM INC	S	5,935.72	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501805	BARBARAS, GLEN							
		PIONEER NATURAL RESOURCES COMPANY	S	4,665.29	0.00	0.00	0.00	70.0	0.00
08/22/2017	75501805	BARBARAS, GLEN							
		CHARLES RIV LABORATORIES INTL INC	C B	5,148.83	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		AGRIUM INC	S	981.53	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		AMAZON.COM INC	S	4,921.25	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		BECTON DICKINSON & CO	S	2,018.20	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		BROADCOM LIMITED SHS	S	2,536.63	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		CANADIAN PACIFIC RAILWAY LTD	S	1,555.63	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		CATERPILLAR INC	S	1,706.10	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		CELANESE CORPORATION SERIES A	B	2,464.05	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		CUMMINS INC	S	1,557.90	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		DOMINOS PIZZA INC	S	940.05	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		EAGLE MATERIALS INC	S	903.10	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		ELECTRONIC ARTS INC	S	1,169.90	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		EOG RES INC	S	4,760.94	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		FACEBOOK INC CL A	S	1,708.90	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		HEICO CORPORATION	S	1,295.14	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		ILLINOIS TOOL WORKS INC	S	1,392.30	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		J P MORGAN CHASE & CO	B	2,320.25	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		LAM RESEARCH CORP	B	2,400.59	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		LEAR CORPORATION	S	1,439.43	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		LENNOX INTL INC	S	1,676.53	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		LOCKHEED MARTIN CORP	S	1,529.10	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		NETFLIX COM INC	S	5,087.76	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		NORTHROP GRUMMAN CORP	S	1,353.01	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		PARKER HANNIFIN CORP	S	791.05	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		PIONEER NATURAL RESOURCES COMPANY	S	4,665.29	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		PROOFPOINT INC	S	882.80	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		S&P GLOBAL INC COM	S	758.00	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		SERVICENOW INC COM	S	1,074.93	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		THERMO FISHER SCIENTIFIC INC	S	868.70	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		TORO CO	S	1,447.85	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501808	WRIGHT, PAMELA							
		VAIL RESORTS INC	S	1,090.10	0.00	0.00	0.00	70.0	0.00
08/16/2017	75501808	WRIGHT, PAMELA							
		CELANESE CORPORATION SERIES A	S	1,471.54	0.00	0.00	0.00	70.0	0.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/16/2017	75501808	WRIGHT, PAMELA	J P MORGAN CHASE & CO	S	1,380.30	0.00	0.00	0.00	70.0	0.00
08/16/2017	75501808	WRIGHT, PAMELA	LAM RESEARCH CORP	S	1,632.23	0.00	0.00	0.00	70.0	0.00
08/22/2017	75501808	WRIGHT, PAMELA	CHARLES RIV LABORATORIES INTL INC C B		3,604.18	0.00	0.00	0.00	70.0	0.00
07/31/2017	75501809	WRIGHT, PAMELA	BECTON DICKINSON & CO	S	1,416.38	50.06	0.00	50.06	70.0	35.04
07/31/2017	75501809	WRIGHT, PAMELA	BED BATH & BEYOND INC	S	8,781.00	200.25	0.00	200.25	70.0	140.18
07/31/2017	75501809	WRIGHT, PAMELA	QEP RESOURCES INC	S	4,998.00	166.79	0.00	166.79	70.0	116.75
07/31/2017	75501809	WRIGHT, PAMELA	SHIRE PLC AMERICAN DEPOSITARY SHARE S		7,450.96	105.00	0.00	105.00	70.0	73.50
08/15/2017	75501817	GELLIS, HOWARD	CELANESE CORPORATION SERIES A	B	12,320.25	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501817	GELLIS, HOWARD	EOG RES INC	S	26,401.56	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501817	GELLIS, HOWARD	J P MORGAN CHASE & CO	B	12,993.39	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501817	GELLIS, HOWARD	LAM RESEARCH CORP	B	12,803.12	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501817	GELLIS, HOWARD	NETFLIX COM INC	S	31,374.53	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501817	GELLIS, HOWARD	PIONEER NATURAL RESOURCES COMPANY	S	25,992.34	0.00	0.00	0.00	70.0	0.00
08/22/2017	75501817	GELLIS, HOWARD	CHARLES RIV LABORATORIES INTL INC C B		28,318.55	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501822	KLAHN, ROBERT	CELANESE CORPORATION SERIES A	B	2,464.05	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501822	KLAHN, ROBERT	EOG RES INC	S	5,626.56	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501822	KLAHN, ROBERT	J P MORGAN CHASE & CO	B	2,784.30	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501822	KLAHN, ROBERT	LAM RESEARCH CORP	B	2,400.59	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501822	KLAHN, ROBERT	NETFLIX COM INC	S	5,935.72	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501822	KLAHN, ROBERT	PIONEER NATURAL RESOURCES COMPANY	S	5,331.76	0.00	0.00	0.00	70.0	0.00
08/22/2017	75501822	KLAHN, ROBERT	CHARLES RIV LABORATORIES INTL INC C B		5,663.71	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501858	REMINGTON, THOMAS	CELANESE CORPORATION SERIES A	B	5,420.91	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501858	REMINGTON, THOMAS	EOG RES INC	S	12,118.75	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501858	REMINGTON, THOMAS	J P MORGAN CHASE & CO	B	6,032.64	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501858	REMINGTON, THOMAS	LAM RESEARCH CORP	B	5,601.37	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501858	REMINGTON, THOMAS	NETFLIX COM INC	S	14,415.33	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501858	REMINGTON, THOMAS	PIONEER NATURAL RESOURCES COMPANY	S	11,996.47	0.00	0.00	0.00	70.0	0.00
08/22/2017	75501858	REMINGTON, THOMAS	CHARLES RIV LABORATORIES INTL INC C B		12,872.07	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501861	BENJAMIN, WAYNE	CELANESE CORPORATION SERIES A	B	2,956.86	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501861	BENJAMIN, WAYNE	EOG RES INC	S	6,059.38	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501861	BENJAMIN, WAYNE	J P MORGAN CHASE & CO	B	2,784.30	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501861	BENJAMIN, WAYNE	LAM RESEARCH CORP	B	3,200.78	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501861	BENJAMIN, WAYNE	NETFLIX COM INC	S	6,783.68	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501861	BENJAMIN, WAYNE	PIONEER NATURAL RESOURCES COMPANY	S	5,998.23	0.00	0.00	0.00	70.0	0.00
08/22/2017	75501861	BENJAMIN, WAYNE	CHARLES RIV LABORATORIES INTL INC C B		6,178.59	0.00	0.00	0.00	70.0	0.00
08/07/2017	75501862	BENJAMIN, WAYNE	FIRST SOLAR INC	B	9,622.00	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501870	ESKENAZI, PHOEBE	CELANESE CORPORATION SERIES A	B	1,478.43	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501870	ESKENAZI, PHOEBE	EOG RES INC	S	3,462.50	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501870	ESKENAZI, PHOEBE	J P MORGAN CHASE & CO	B	1,392.15	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501870	ESKENAZI, PHOEBE	LAM RESEARCH CORP	B	1,600.39	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/15/2017	75501870	ESKENAZI, PHOEBE	NETFLIX COM INC	S	4,239.80	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501870	ESKENAZI, PHOEBE	PIONEER NATURAL RESOURCES COMPANY	S	3,332.35	0.00	0.00	0.00	70.0	0.00
08/22/2017	75501870	ESKENAZI, PHOEBE	CHARLES RIV LABORATORIES INTL INC C B		3,604.18	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501884	MARTIN, KATHY	CELANESE CORPORATION SERIES A	B	3,942.48	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501884	MARTIN, KATHY	EOG RES INC	S	8,656.25	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501884	MARTIN, KATHY	J P MORGAN CHASE & CO	B	4,176.45	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501884	MARTIN, KATHY	LAM RESEARCH CORP	B	4,000.98	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501884	MARTIN, KATHY	NETFLIX COM INC	S	10,175.52	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501884	MARTIN, KATHY	PIONEER NATURAL RESOURCES COMPANY	S	8,664.11	0.00	0.00	0.00	70.0	0.00
08/22/2017	75501884	MARTIN, KATHY	CHARLES RIV LABORATORIES INTL INC C B		9,267.89	0.00	0.00	0.00	70.0	0.00
08/01/2017	75501888	KAYLOR, KEVIN	BECTON DICKINSON & CO	S	1,008.80	0.00	0.00	0.00	70.0	0.00
08/01/2017	75501888	KAYLOR, KEVIN	CATERPILLAR INC	S	565.30	0.00	0.00	0.00	70.0	0.00
08/01/2017	75501888	KAYLOR, KEVIN	CUMMINS INC	S	781.25	0.00	0.00	0.00	70.0	0.00
08/01/2017	75501888	KAYLOR, KEVIN	ILLINOIS TOOL WORKS INC	S	702.55	0.00	0.00	0.00	70.0	0.00
08/01/2017	75501888	KAYLOR, KEVIN	LAM RESEARCH CORP	S	788.30	0.00	0.00	0.00	70.0	0.00
08/01/2017	75501888	KAYLOR, KEVIN	LEAR CORPORATION	S	728.50	0.00	0.00	0.00	70.0	0.00
08/01/2017	75501888	KAYLOR, KEVIN	LENNOX INTL INC	S	851.85	0.00	0.00	0.00	70.0	0.00
08/01/2017	75501888	KAYLOR, KEVIN	MARTIN MARIETTA MATLS INC	S	1,051.35	0.00	0.00	0.00	70.0	0.00
08/01/2017	75501888	KAYLOR, KEVIN	PROOFPOINT INC	S	434.25	0.00	0.00	0.00	70.0	0.00
08/01/2017	75501888	KAYLOR, KEVIN	S&P GLOBAL INC COM	S	776.30	0.00	0.00	0.00	70.0	0.00
08/01/2017	75501888	KAYLOR, KEVIN	VAIL RESORTS INC	S	1,059.80	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501888	KAYLOR, KEVIN	CELANESE CORPORATION SERIES A	B	985.62	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501888	KAYLOR, KEVIN	EOG RES INC	S	2,164.06	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501888	KAYLOR, KEVIN	J P MORGAN CHASE & CO	B	928.10	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501888	KAYLOR, KEVIN	LAM RESEARCH CORP	B	1,600.39	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501888	KAYLOR, KEVIN	NETFLIX COM INC	S	2,543.88	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501888	KAYLOR, KEVIN	PIONEER NATURAL RESOURCES COMPANY	S	1,999.41	0.00	0.00	0.00	70.0	0.00
08/22/2017	75501888	KAYLOR, KEVIN	CHARLES RIV LABORATORIES INTL INC C B		2,059.53	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501890	KAYLOR, KEVIN	CELANESE CORPORATION SERIES A	B	5,420.91	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501890	KAYLOR, KEVIN	EOG RES INC	S	10,820.31	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501890	KAYLOR, KEVIN	J P MORGAN CHASE & CO	B	5,568.59	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501890	KAYLOR, KEVIN	LAM RESEARCH CORP	B	5,601.37	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501890	KAYLOR, KEVIN	NETFLIX COM INC	S	12,719.40	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501890	KAYLOR, KEVIN	PIONEER NATURAL RESOURCES COMPANY	S	10,663.53	0.00	0.00	0.00	70.0	0.00
08/22/2017	75501890	KAYLOR, KEVIN	CHARLES RIV LABORATORIES INTL INC C B		11,842.30	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501914	NATASHA RAJ AHUJA	CELANESE CORPORATION SERIES A	B	2,464.05	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501914	NATASHA RAJ AHUJA	EOG RES INC	S	5,626.56	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501914	NATASHA RAJ AHUJA	J P MORGAN CHASE & CO	B	2,784.30	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501914	NATASHA RAJ AHUJA	LAM RESEARCH CORP	B	2,400.59	0.00	0.00	0.00	70.0	0.00
08/15/2017	75501914	NATASHA RAJ AHUJA	NETFLIX COM INC	S	5,935.72	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: STOCKS												
08/15/2017	75501914	NATASHA RAJ AHUJA	PIONEER NATURAL RESOURCES COMPANY	S	5,331.76	0.00	0.00	0.00	70.0	0.00		
08/22/2017	75501914	NATASHA RAJ AHUJA	CHARLES RIV LABORATORIES INTL INC	C B	5,663.71	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501946	ANYA P AHUJA 1999	CELANESE CORPORATION SERIES A	B	2,464.05	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501946	ANYA P AHUJA 1999	EOG RES INC	S	5,626.56	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501946	ANYA P AHUJA 1999	J P MORGAN CHASE & CO	B	2,784.30	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501946	ANYA P AHUJA 1999	LAM RESEARCH CORP	B	2,400.59	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501946	ANYA P AHUJA 1999	NETFLIX COM INC	S	5,935.72	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501946	ANYA P AHUJA 1999	PIONEER NATURAL RESOURCES COMPANY	S	5,331.76	0.00	0.00	0.00	70.0	0.00		
08/22/2017	75501946	ANYA P AHUJA 1999	CHARLES RIV LABORATORIES INTL INC	C B	5,663.71	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501953	MCCRAY, ROBIN	CELANESE CORPORATION SERIES A	B	1,971.24	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501953	MCCRAY, ROBIN	EOG RES INC	S	3,895.31	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501953	MCCRAY, ROBIN	J P MORGAN CHASE & CO	B	1,856.20	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501953	MCCRAY, ROBIN	LAM RESEARCH CORP	B	1,600.39	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501953	MCCRAY, ROBIN	NETFLIX COM INC	S	4,239.80	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501953	MCCRAY, ROBIN	PIONEER NATURAL RESOURCES COMPANY	S	3,998.82	0.00	0.00	0.00	70.0	0.00		
08/22/2017	75501953	MCCRAY, ROBIN	CHARLES RIV LABORATORIES INTL INC	C B	4,119.06	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501960	BLUE PELICAN LLC	CELANESE CORPORATION SERIES A	B	9,363.39	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501960	BLUE PELICAN LLC	EOG RES INC	S	20,342.19	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501960	BLUE PELICAN LLC	J P MORGAN CHASE & CO	B	9,745.04	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501960	BLUE PELICAN LLC	LAM RESEARCH CORP	B	9,602.34	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501960	BLUE PELICAN LLC	NETFLIX COM INC	S	23,742.89	0.00	0.00	0.00	70.0	0.00		
08/15/2017	75501960	BLUE PELICAN LLC	PIONEER NATURAL RESOURCES COMPANY	S	19,994.11	0.00	0.00	0.00	70.0	0.00		
08/22/2017	75501960	BLUE PELICAN LLC	CHARLES RIV LABORATORIES INTL INC	C B	21,625.08	0.00	0.00	0.00	70.0	0.00		
* STOCKS SUBTOTAL *					\$1,119,702.49	\$522.10	\$0.00	\$522.10		\$365.47		
PRODUCT CATEGORY: BONDS												
08/03/2017	75501393	BORISH, MURIEL	GLOBAL MARINE INC NTS	B	27,975.00	450.00	0.00	450.00	70.0	315.00		
* BONDS SUBTOTAL *					\$27,975.00	\$450.00	\$0.00	\$450.00		\$315.00		
PRODUCT CATEGORY: OPTIONS												
07/31/2017	75501726	PENN, SHELDON	CALL CC	10/20/17	36	S	3,960.00	26.14	0.00	26.14	70.0	0.00
07/31/2017	75501726	PENN, SHELDON	CALL VFC	11/17/17	57.50	B	1,830.00	62.32	0.00	62.32	70.0	43.62
07/31/2017	75501726	PENN, SHELDON	PUT NEM	09/15/17	37	S	381.00	37.50	0.00	37.50	70.0	0.00
08/02/2017	75501726	PENN, SHELDON	PUT ATO	01/19/18	85	S	555.00	37.50	0.00	37.50	70.0	0.00
08/02/2017	75501726	PENN, SHELDON	PUT PSX	01/19/18	77.50	S	405.00	37.50	0.00	37.50	70.0	0.00
08/07/2017	75501726	PENN, SHELDON	PUT GILD	11/17/17	77.50	B	1,980.00	64.58	0.00	64.58	70.0	45.21
08/07/2017	75501726	PENN, SHELDON	PUT WBA	10/20/17	82.50	S	1,035.00	38.81	0.00	38.81	70.0	0.00
08/14/2017	75501726	PENN, SHELDON	PUT APC	11/17/17	50	B	2,160.00	67.28	0.00	67.28	70.0	47.10
08/21/2017	75501726	PENN, SHELDON	CALL SPY	01/20/17	222	S	1,650.00	59.60	0.00	59.60	70.0	41.72
08/21/2017	75501726	PENN, SHELDON	CALL SQ	01/19/18	23	B	1,200.00	45.00	0.00	45.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: OPTIONS											
08/28/2017	75501726 PENN, SHELDON	CALL DRI	01/19/18	80	B	2,070.00	65.93	0.00	65.93	70.0	46.15
* OPTIONS SUBTOTAL *						\$17,226.00	\$542.16	\$0.00	\$542.16		\$223.80
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
08/09/2017	75501393 BORISH, MURIEL	**VIRTUS MULTI SECTOR SHORT			B	0.00	18.62	0.00	18.62	70.0	13.03
08/09/2017	75501461 OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES			B	0.00	2.32	0.00	2.32	70.0	1.62
08/09/2017	75501462 OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES			B	0.00	0.98	0.00	0.98	70.0	0.00
08/09/2017	75501463 ZWASKIS, CAROLYN	**VIRTUS GLOBAL OPPORTUNITIES			B	0.00	0.82	0.00	0.82	70.0	0.00
08/09/2017	75501474 PETRONIS, GEORGE	**VIRTUS MULTI SECTOR SHORT			B	0.00	17.31	0.00	17.31	70.0	12.12
08/09/2017	75501498 LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES			B	0.00	8.89	0.00	8.89	70.0	6.22
08/09/2017	75501498 LESTARDO, AVON	**VIRTUS MULTI ASSET TREND			B	0.00	1.03	0.00	1.03	70.0	0.72
08/09/2017	75501498 LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN			B	0.00	2.68	0.00	2.68	70.0	1.88
08/09/2017	75501498 LESTARDO, AVON	FRANKLIN INCOME FUND CLASS A			B	0.00	0.05	0.00	0.05	70.0	0.00
08/09/2017	75501499 LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES			B	0.00	0.47	0.00	0.47	70.0	0.00
08/09/2017	75501499 LESTARDO, AVON	**VIRTUS MULTI SECTOR SHORT			B	0.00	2.06	0.00	2.06	70.0	1.44
08/09/2017	75501499 LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN			B	0.00	2.02	0.00	2.02	70.0	1.41
08/09/2017	75501499 LESTARDO, AVON	FEDERATED WORLD INVT SER INC INTL S			B	0.00	10.65	0.00	10.65	70.0	7.46
08/09/2017	75501499 LESTARDO, AVON	FRANKLIN INCOME FUND CLASS A			B	0.00	1.75	0.00	1.75	70.0	1.23
08/09/2017	75501502 MATHEUS, JEANMARIE	**VIRTUS GLOBAL OPPORTUNITIES			B	0.00	3.54	0.00	3.54	70.0	2.48
08/09/2017	75501610 VORMWALD, G ROBERT	**VIRTUS EQUITY TREND FUND			B	0.00	4.24	0.00	4.24	70.0	2.97
08/09/2017	75501610 VORMWALD, G ROBERT	FRK UTIL FND - CL A			B	0.00	2.54	0.00	2.54	70.0	1.78
08/09/2017	75501611 VORMWALD, G ROBERT	**VIRTUS EQUITY TREND FUND			B	0.00	1.42	0.00	1.42	70.0	0.99
08/09/2017	75501612 VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND			B	0.00	3.39	0.00	3.39	70.0	2.37
08/09/2017	75501613 VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND			B	0.00	1.13	0.00	1.13	70.0	0.79
08/09/2017	75501614 VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND			B	0.00	8.48	0.00	8.48	70.0	5.94
08/09/2017	75501614 VORMWALD, KATHLEEN	CAPITAL WORLD GROWTH AND INCOME FUN			B	0.00	12.34	0.00	12.34	70.0	8.64
08/09/2017	75501726 PENN, SHELDON	**VIRTUS MULTI SECTOR SHORT			B	0.00	22.70	0.00	22.70	70.0	15.89
08/09/2017	75501726 PENN, SHELDON	JANUS GLOBAL UNCONSTRAINED BOND FUN			B	0.00	4.31	0.00	4.31	70.0	3.02
08/09/2017	75501729 PENN, ELAYNE	**VIRTUS EQUITY TREND FUND			B	0.00	4.17	0.00	4.17	70.0	2.92
08/09/2017	75501729 PENN, ELAYNE	**VIRTUS MULTI SECTOR SHORT			B	0.00	6.55	0.00	6.55	70.0	4.59
08/09/2017	75501729 PENN, ELAYNE	GOLDMAN SACHS TR RISING DIVIDEND GR			B	0.00	1.74	0.00	1.74	70.0	1.22
08/09/2017	75501729 PENN, ELAYNE	LORD ABBETT MID CAP STOCK FUND INC			B	0.00	24.83	0.00	24.83	70.0	17.38
08/09/2017	75501730 PENN, ELAYNE	FRANKLIN INCOME FUND CLASS C			B	0.00	1.35	0.00	1.35	70.0	0.95
08/09/2017	75501735 GARNETT, ALFRED	DAVIS NEW YORK VENTURE CLASS A			B	0.00	3.15	0.00	3.15	70.0	2.21
08/09/2017	75501770 CHRISTIAN, SARA	CAPITAL WORLD GROWTH AND INCOME FUN			B	0.00	7.88	0.00	7.88	70.0	5.52
08/09/2017	75501770 CHRISTIAN, SARA	EUROPACIFIC GROWTH FUND-A			B	0.00	73.22	0.00	73.22	70.0	51.25
08/09/2017	75501770 CHRISTIAN, SARA	THE GROWTH FUND OF AMERICA-A			B	0.00	20.36	0.00	20.36	70.0	14.25
08/09/2017	75501775 SMITH, GLENN	**VIRTUS EQUITY TREND FUND			B	0.00	5.55	0.00	5.55	70.0	3.89
08/09/2017	75501776 DERR, LEROY	**VIRTUS GLOBAL OPPORTUNITIES			B	0.00	10.35	0.00	10.35	70.0	7.25
08/09/2017	75501776 DERR, LEROY	**VIRTUS MULTI SECTOR SHORT			B	0.00	0.86	0.00	0.86	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/09/2017	75501787	PETRONIS, GEORGE		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	20.39	0.00	20.39 70.0 14.27
08/09/2017	75501792	WITMAN, RUSSELL		**VIRTUS MULTI SECTOR SHORT	B	0.00	1.81	0.00	1.81 70.0 1.27
08/09/2017	75501806	BARBARAS, GLEN		**VIRTUS MULTI ASSET TREND	B	0.00	47.86	0.00	47.86 70.0 33.50
08/09/2017	75501806	BARBARAS, GLEN		BLACKROCK GLOBAL ALLOCATION FUND IN B		0.00	29.34	0.00	29.34 70.0 20.54
08/09/2017	75501809	WRIGHT, PAMELA		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	26.38	0.00	26.38 70.0 18.47
08/09/2017	75501819	KLAHN, ROBERT		**VIRTUS EQUITY TREND FUND	B	0.00	20.04	0.00	20.04 70.0 14.03
08/09/2017	75501819	KLAHN, ROBERT		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	54.21	0.00	54.21 70.0 37.95
08/09/2017	75501819	KLAHN, ROBERT		**VIRTUS MULTI SECTOR SHORT	B	0.00	23.65	0.00	23.65 70.0 16.56
08/09/2017	75501819	KLAHN, ROBERT		FEDERATED GLOBAL ALLOCATION FUND CL B		0.00	4.18	0.00	4.18 70.0 2.93
08/09/2017	75501819	KLAHN, ROBERT		JANUS GLOBAL UNCONSTRAINED BOND FUN B		0.00	64.75	0.00	64.75 70.0 45.33
08/09/2017	75501831	COLACINO, JOSEPH		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.76	0.00	9.76 70.0 6.83
08/09/2017	75501842	STRAUGHN, SARAH		**GUGGENHEIM FDS TR	B	0.00	3.09	0.00	3.09 70.0 2.16
08/09/2017	75501842	STRAUGHN, SARAH		**VIRTUS EQUITY TREND FUND	B	0.00	6.90	0.00	6.90 70.0 4.83
08/09/2017	75501842	STRAUGHN, SARAH		**VIRTUS MULTI ASSET TREND	B	0.00	9.33	0.00	9.33 70.0 6.53
08/09/2017	75501842	STRAUGHN, SARAH		FEDERATED MUN SECS INCOME TR HIGH Y B		0.00	5.09	0.00	5.09 70.0 3.56
08/09/2017	75501842	STRAUGHN, SARAH		JANUS GLOBAL UNCONSTRAINED BOND FUN B		0.00	12.95	0.00	12.95 70.0 9.07
08/09/2017	75501844	STRAUGHN, SARAH		**VIRTUS EQUITY TREND FUND	B	0.00	2.36	0.00	2.36 70.0 1.65
08/09/2017	75501844	STRAUGHN, SARAH		LORD ABBETT MID CAP STOCK FUND INC B		0.00	61.90	0.00	61.90 70.0 43.33
08/09/2017	75501844	STRAUGHN, SARAH		LORD ABBETT AFFILIATED FUND INC-CL B		0.00	42.64	0.00	42.64 70.0 29.85
08/09/2017	75501846	STRAUGHN, SARAH		TEMP WORLD FND - CL A	B	0.00	8.09	0.00	8.09 70.0 5.66
08/09/2017	75501846	STRAUGHN, SARAH		THE GROWTH FUND OF AMERICA-A	B	0.00	17.91	0.00	17.91 70.0 12.54
08/09/2017	75501846	STRAUGHN, SARAH		THE INVESTMENT COMPANY OF AMERICA-A B		0.00	18.64	0.00	18.64 70.0 13.05
08/09/2017	75501863	BENJAMIN, WAYNE		**VIRTUS EQUITY TREND FUND	B	0.00	9.87	0.00	9.87 70.0 6.91
08/09/2017	75501863	BENJAMIN, WAYNE		CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	28.02	0.00	28.02 70.0 19.61
08/09/2017	75501863	BENJAMIN, WAYNE		RYDEX SER FDS S&P 500 2X STRATEGY C B		0.00	35.57	0.00	35.57 70.0 24.90
08/09/2017	75501864	BENJAMIN, WAYNE		**VIRTUS EQUITY TREND FUND	B	0.00	2.22	0.00	2.22 70.0 1.55
08/09/2017	75501864	BENJAMIN, WAYNE		CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	6.90	0.00	6.90 70.0 4.83
08/09/2017	75501864	BENJAMIN, WAYNE		RYDEX SECTOR ROTATION FUND CLASS C B		0.00	3.47	0.00	3.47 70.0 2.43
08/09/2017	75501864	BENJAMIN, WAYNE		RYDEX SER FDS NOVA FD CL C	B	0.00	5.30	0.00	5.30 70.0 3.71
08/09/2017	75501864	BENJAMIN, WAYNE		RYDEX SER FDS S&P 500 2X STRATEGY C B		0.00	20.16	0.00	20.16 70.0 14.11
08/09/2017	75501865	BENJAMIN, WAYNE		AMCAP FUND-A	B	0.00	5.96	0.00	5.96 70.0 4.17
08/09/2017	75501865	BENJAMIN, WAYNE		RYDEX SECTOR ROTATION FUND CLASS C B		0.00	5.26	0.00	5.26 70.0 3.68
08/09/2017	75501871	ESKENAZI, PHOEBE		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.96	0.00	3.96 70.0 2.77
08/09/2017	75501871	ESKENAZI, PHOEBE		CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	3.09	0.00	3.09 70.0 2.16
08/09/2017	75501871	ESKENAZI, PHOEBE		LORD ABBETT MID CAP STOCK FUND INC B		0.00	67.71	0.00	67.71 70.0 47.40
08/09/2017	75501872	ESKENAZI, PHOEBE		**VIRTUS EQUITY TREND FUND	B	0.00	3.25	0.00	3.25 70.0 2.28
08/09/2017	75501872	ESKENAZI, PHOEBE		AMCAP FUND-A	B	0.00	3.33	0.00	3.33 70.0 2.33
08/09/2017	75501872	ESKENAZI, PHOEBE		CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	1.04	0.00	1.04 70.0 0.73
08/09/2017	75501872	ESKENAZI, PHOEBE		FUNDAMENTAL INVESTORS-A	B	0.00	3.15	0.00	3.15 70.0 2.21
08/11/2017	75501872	ESKENAZI, PHOEBE		DELAWARE GROUP HIGH YIELD OPPORTUNI B		0.00	0.83	0.00	0.83 70.0 0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/09/2017	75501880	WINDETT, ROSE		**VIRTUS EQUITY TREND FUND	B	0.00	0.69	0.00	0.69 70.0 0.00
08/09/2017	75501881	MARTIN, KATHY		JANUS INVT FD BALANCED FD CL C	B	0.00	41.61	0.00	41.61 70.0 29.13
08/09/2017	75501882	MARTIN, KATHY		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	6.76	0.00	6.76 70.0 4.73
08/09/2017	75501886	MARTIN, KATHY		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.67	0.00	9.67 70.0 6.77
08/09/2017	75501886	MARTIN, KATHY		**VIRTUS MULTI ASSET TREND	B	0.00	10.34	0.00	10.34 70.0 7.24
08/09/2017	75501891	KAYLOR, KEVIN		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	11.71	0.00	11.71 70.0 8.20
08/09/2017	75501891	KAYLOR, KEVIN		LORD ABBETT MID CAP STOCK FUND INC	B	0.00	11.19	0.00	11.19 70.0 7.83
08/09/2017	75501892	KAYLOR, KRISTENE		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	4.54	0.00	4.54 70.0 3.18
08/09/2017	75501893	KLAHN, ROBERT		**VIRTUS EQUITY TREND FUND	B	0.00	2.30	0.00	2.30 70.0 1.61
08/09/2017	75501910	RUTTER, DOUGLAS		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	5.02	0.00	5.02 70.0 3.51
08/09/2017	75501911	RUTTER, KENNETH		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	5.04	0.00	5.04 70.0 3.53
08/09/2017	75501915	SPARRE, GERI		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.33	0.00	9.33 70.0 6.53
08/09/2017	75501927	VASSALOTTI, MARYANN		JANUS INVT FD BALANCED FD CL C	B	0.00	18.42	0.00	18.42 70.0 12.89
08/09/2017	75501928	GELLIS, HOWARD		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	10.19	0.00	10.19 70.0 7.13
08/09/2017	75501935	STAUFFER, EDWARD		RYDEX SERIES FDS EUROPE 1.25X STRAT B	B	0.00	0.60	0.00	0.60 70.0 0.00
08/17/2017	75501935	STAUFFER, EDWARD		**KINETICS PARADIGM FUND	B	0.00	1.14	0.00	1.14 70.0 0.80
08/09/2017	75501938	ROSENBERG, DAVID		**VIRTUS EQUITY TREND FUND	B	0.00	2.74	0.00	2.74 70.0 1.92
08/09/2017	75501938	ROSENBERG, DAVID		**VIRTUS MULTI ASSET TREND	B	0.00	10.13	0.00	10.13 70.0 7.09
08/09/2017	75501938	ROSENBERG, DAVID		AMERICAN MUTUAL FUND-A	B	0.00	38.35	0.00	38.35 70.0 26.85
08/09/2017	75501939	ROSENBERG, ELAINE		**VIRTUS MULTI ASSET TREND	B	0.00	1.50	0.00	1.50 70.0 1.05
08/09/2017	75501940	ROSENBERG, ELAINE		**VIRTUS EQUITY TREND FUND	B	0.00	6.84	0.00	6.84 70.0 4.79
08/09/2017	75501940	ROSENBERG, ELAINE		**VIRTUS MULTI ASSET TREND	B	0.00	4.63	0.00	4.63 70.0 3.24
08/09/2017	75501941	GIBBS, REBECCA		**VIRTUS EQUITY TREND FUND	B	0.00	2.40	0.00	2.40 70.0 1.68
08/09/2017	75501943	GIBBS, REBECCA		**VIRTUS EQUITY TREND FUND	B	0.00	4.24	0.00	4.24 70.0 2.97
08/09/2017	75501943	GIBBS, REBECCA		TEMPLETON GROWTH FUND CLASS A	B	0.00	2.31	0.00	2.31 70.0 1.62
08/09/2017	75501955	PENN, EVA		**GUGGENHEIM FDS TR	B	0.00	0.93	0.00	0.93 70.0 0.00
08/09/2017	75501955	PENN, EVA		**VIRTUS MULTI SECTOR SHORT	B	0.00	4.87	0.00	4.87 70.0 3.41
08/09/2017	75501955	PENN, EVA		FRANKLIN INCOME FUND CLASS A	B	0.00	2.42	0.00	2.42 70.0 1.69
08/09/2017	75501955	PENN, EVA		LORD ABBETT MID CAP STOCK FUND INC	B	0.00	25.57	0.00	25.57 70.0 17.90
08/09/2017	75501955	PENN, EVA		LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	17.51	0.00	17.51 70.0 12.26
08/09/2017	75501955	PENN, EVA		ROCHESTER NATIONAL MUNICIPALS A	B	0.00	2.48	0.00	2.48 70.0 1.74
08/09/2017	75501956	PENN, EVA		**VIRTUS EQUITY TREND FUND	B	0.00	5.56	0.00	5.56 70.0 3.89
08/09/2017	75501956	PENN, EVA		LORD ABBETT MID CAP STOCK FUND INC	B	0.00	26.30	0.00	26.30 70.0 18.41
08/09/2017	75501956	PENN, EVA		LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	10.06	0.00	10.06 70.0 7.04
08/09/2017	75501956	PENN, EVA		RYDEX SECTOR ROTATION FUND CLASS C	B	0.00	8.31	0.00	8.31 70.0 5.82
08/09/2017	75501957	PENN, EVA		**VIRTUS MULTI ASSET TREND	B	0.00	1.20	0.00	1.20 70.0 0.84
08/09/2017	75501967	BENJAMIN, WAYNE		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	6.54	0.00	6.54 70.0 4.58
08/09/2017	75501997	LESTARDO, EUGENE		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.54	0.00	3.54 70.0 2.48
08/09/2017	75501997	LESTARDO, EUGENE		CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	3.30	0.00	3.30 70.0 2.31
08/09/2017	75502039	SMITH, DAYNE		**VIRTUS MULTI ASSET TREND	B	0.00	57.52	0.00	57.52 70.0 40.26

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,391.91	\$0.00	\$1,391.91	\$970.04
*** BROKER TRANSACTIONS TOTAL ***	\$1,302,828.42	\$23,007.61	\$0.00	\$23,007.61	\$15,945.32

ADJUSTMENTS

08/31/2017	OTHER: OTHER-RBC CHARGES ON ADVISOR	0.00	0.00	0.00	0.00	-2,777.06
08/31/2017	OTHER: OTHER -AAM	0.00	0.00	0.00	0.00	-4,061.58
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-6,838.64

BROKER COMMISSION TOTALS

Broker Transactions	\$15,945.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-6,838.64
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-28.76
SIPC Assessment	\$-57.52

Check Amount	\$9,020.40
Year-to-date Earnings	\$52,085.28