

**COMMISSION STATEMENT****May 15, 2018**

ROY, P123 PFLEGER II
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: P123
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/18/2018	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.40	0.00	1.40	75.0	1.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.40	\$0.00	\$1.40		\$1.05
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$1.40	\$0.00	\$1.40		\$1.05

SPLIT TRANSACTIONS**BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254**

04/30/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	229,767.80	33.43	0.00	33.43	75.0	25.07
04/20/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.09	0.00	0.09	75.0	0.07
04/18/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.22	0.00	0.22	75.0	0.17
03/31/2018	SHEA III, JOHN	GRIFFIN-AMERICAN HC REIT IV T	3,000.00	2.59	0.00	2.59	75.0	1.94
04/16/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.62	0.00	0.62	75.0	0.47
04/16/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.54	0.00	0.54	75.0	0.41
04/16/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	3.01	0.00	3.01	75.0	2.26
04/16/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.67	0.00	2.67	75.0	2.00
04/16/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.22	0.00	0.22	75.0	0.17
04/11/2018	PFLEGER, CLIFFORD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.14	0.00	0.14	75.0	0.11
04/12/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.37	0.00	0.37	75.0	0.28
04/12/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.62	0.00	1.62	75.0	1.22
04/19/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.69	0.00	0.69	75.0	0.52
* DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF SUBTOTAL *			\$232,767.80	\$46.21	\$0.00	\$46.21		\$34.69

BROKER: DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K #381

04/11/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.59	0.00	0.59	75.0	0.44
04/12/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.76	0.00	1.76	75.0	1.32
* DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K SUBTOTAL *			\$0.00	\$2.35	\$0.00	\$2.35		\$1.76

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
*** SPLIT TRANSACTIONS TOTAL ***			\$232,767.80	\$48.56	\$0.00	\$48.56		\$36.45

ADJUSTMENTS								
05/15/2018		*EO INS: CURRENT MONTH	0.00					-105.00
05/15/2018		*MISC: OVERNIGHT FEE 4/30/18	0.00					-13.33
05/15/2018		*TECHNOLOGY: CURRENT MONTH	0.00					-96.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-214.58

BROKER COMMISSION TOTALS	
Broker Transactions	\$1.05
Split Transactions	\$36.45
Override Transactions	\$0.00
Adjustments	\$-214.58
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-177.08
Year-to-date Gross	\$89,158.28
Year-to-date Earnings	\$66,834.01

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