



# COMMISSION STATEMENT

## January 15, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/02/2018	***-**-18IN - KUNKEL, RYAN	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 2 Units @28.5300						
01/02/2018	***-**-18IN - KUNKEL, RYAN	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 1 Units @66.0200						
01/02/2018	***-**-79IR - WILSON, DELEIGH	THE GROWTH FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333458	BUY 4 Units @51.9800						
01/02/2018	***-**-79IR - WILSON, DELEIGH	THE INCOME FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333458	BUY 8 Units @24.2900						
01/02/2018	***-**-18IN - KUNKEL, RYAN	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	3.75	0.00	3.75	85.0	3.19
	ACCOUNT #: 00087404382	BUY 2 Units @48.0800						
* MUTUAL FUNDS SUBTOTAL *			\$600.00	\$18.51	\$0.00	\$18.51		\$15.75
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/31/2017	***-**-37IN - GAROFALO, JAMIE	CAPITAL APPRECIATION A	0.00	2.09	0.00	2.09	85.0	1.78
	ACCOUNT #: 03202230162	BUY 0 Units @0.0000						
12/31/2017	***-**-28IR - BUCHANAN,	CAPITAL APPRECIATION A	0.00	12.23	0.00	12.23	85.0	10.40
	ACCOUNT #: 03203316742	BUY 0 Units @0.0000						
12/31/2017	***-**-291I - SHULTZ, SUZETTE	CAPITAL APPRECIATION A	0.00	3.92	0.00	3.92	85.0	3.33
	ACCOUNT #: 03207390020	BUY 0 Units @0.0000						
12/31/2017	***-**-52IN - STEPP, JENNIFER	CAPITAL APPRECIATION A	0.00	2.22	0.00	2.22	85.0	1.89
	ACCOUNT #: 03208148063	BUY 0 Units @0.0000						
12/31/2017	***-**-36IR - HERDSON, JOHN	CAPITAL APPRECIATION A	0.00	2.28	0.00	2.28	85.0	1.94
	ACCOUNT #: 03208148074	BUY 0 Units @0.0000						
12/31/2017	***-**-36IR - HERDSON, JOHN	CAPITAL APPRECIATION C	0.00	32.67	0.00	32.67	85.0	27.77
	ACCOUNT #: 03222003518	BUY 0 Units @0.0000						
12/31/2017	***-**-36IR - HERDSON, JOHN	EQUITY INCOME FUND, INC. A	0.00	1.50	0.00	1.50	85.0	1.28
	ACCOUNT #: 08350946209	BUY 0 Units @0.0000						
12/31/2017	***-**-36IR - HERDSON, JOHN	EQUITY INCOME FUND, INC. C	0.00	30.22	0.00	30.22	85.0	25.69
	ACCOUNT #: 08370183502	BUY 0 Units @0.0000						
12/31/2017	***-**-28IR - BUCHANAN,	GLOBAL FUND A	0.00	14.00	0.00	14.00	85.0	11.90
	ACCOUNT #: 03307694434	BUY 0 Units @0.0000						
12/31/2017	***-**-37IN - GAROFALO, JAMIE	GLOBAL OPPORTUNITIES A	0.00	2.48	0.00	2.48	85.0	2.11
	ACCOUNT #: 02151266045	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-291I - SHULTZ, SUZETTE	GLOBAL OPPORTUNITIES A	0.00	3.69	0.00	3.69	85.0	3.14
	ACCOUNT #: 02154348564	BUY 0 Units @0.0000						
12/31/2017	***-**-291I - SHULTZ, SUZETTE	MAIN STREET FUND A	0.00	3.36	0.00	3.36	85.0	2.86
	ACCOUNT #: 07001198684	BUY 0 Units @0.0000						
12/31/2017	***-**-99IR - BUCHANAN, STEVEN	MAIN STREET FUND A	0.00	13.65	0.00	13.65	85.0	11.60
	ACCOUNT #: 07002755857	BUY 0 Units @0.0000						
12/31/2017	***-**-291I - SHULTZ, SUZETTE	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	3.15	0.00	3.15	85.0	2.68
	ACCOUNT #: 02300927864	BUY 0 Units @0.0000						
12/31/2017	***-**-94IN - VITALE, LAURIE	PENNSYLVANIA MUNICIPAL FUND C	0.00	1.72	0.00	1.72	85.0	1.46
	ACCOUNT #: 07420005434	BUY 0 Units @0.0000						
12/31/2017	***-**-99IR - BUCHANAN, STEVEN	QUEST BALANCED A	0.00	9.30	0.00	9.30	85.0	7.91
	ACCOUNT #: 02571411509	BUY 0 Units @0.0000						
12/31/2017	***-**-52IN - STEPP, JENNIFER	QUEST BALANCED A	0.00	1.74	0.00	1.74	85.0	1.48
	ACCOUNT #: 02575466043	BUY 0 Units @0.0000						
12/31/2017	***-**-36IR - HERDSON, JOHN	QUEST BALANCED A	0.00	1.67	0.00	1.67	85.0	1.42
	ACCOUNT #: 02575466054	BUY 0 Units @0.0000						
12/31/2017	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	0.00	25.94	0.00	25.94	85.0	22.05
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$167.83</b>	<b>\$0.00</b>	<b>\$167.83</b>		<b>\$142.69</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$600.00</b>	<b>\$186.34</b>	<b>\$0.00</b>	<b>\$186.34</b>		<b>\$158.44</b>



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$158.44
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$158.44
						Year-to-date Earnings		\$12,487.16