



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**February 15, 2017**

02/14/2017  
 Page No. 1

ROBERT THOMPSON  
 7261 W. Central Ave.  
  
 Toledo, OH 43617

BROKER #: T118  
 BRANCH: INTEGRATED FINANCIAL

| DATE   | CLIENT                   | INVESTMENT                        | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                  |                          |                                   |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>       |                          |                                   |                      |                     |                    |                   |      |                      |
| 02/07/2017   | DEMPSEY, CAMERON         | AM FUNDS GROWTH FUND OF AMERICA A | -448.75              | 30.00               | 22.00              | 8.00              | 90.0 | 7.20                 |
| 02/07/2017   | DEMPSEY, GLENN           | AM FUNDS GROWTH FUND OF AMERICA A | -448.75              | 30.00               | 22.00              | 8.00              | 90.0 | 7.20                 |
| * MUTUAL FUNDS SUBTOTAL *                          |                          |                                   | <b>\$-897.50</b>     | <b>\$60.00</b>      | <b>\$44.00</b>     | <b>\$16.00</b>    |      | <b>\$14.40</b>       |
| <b><u>PRODUCT CATEGORY: STOCKS</u></b>             |                          |                                   |                      |                     |                    |                   |      |                      |
| 02/07/2017   | DEMPSEY, CAMERON         | JOHNSON & JOHNSON COM             | -12,369.64           | 35.00               | 22.50              | 12.50             | 90.0 | 11.25                |
| 02/07/2017   | DEMPSEY, GLENN           | JOHNSON & JOHNSON COM             | -12,365.34           | 35.00               | 22.50              | 12.50             | 90.0 | 11.25                |
| * STOCKS SUBTOTAL *                                |                          |                                   | <b>\$-24,734.98</b>  | <b>\$70.00</b>      | <b>\$45.00</b>     | <b>\$25.00</b>    |      | <b>\$22.50</b>       |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b> |                          |                                   |                      |                     |                    |                   |      |                      |
| 01/31/2017   | RICHARDS, MILDRED        | JNL PERSPECTIVE                   | 38,106.81            | 7.94                | 0.00               | 7.94              | 90.0 | 7.15                 |
| 02/01/2017   | MICHALSKI, PAIGE         | JNL PERSPECTIVE                   | 150.00               | 10.13               | 0.00               | 10.13             | 90.0 | 9.12                 |
| 01/31/2017   | FLECK, GERALD            | JNL PERSPECTIVE II 05/05          | 2,098.32             | 1.31                | 0.00               | 1.31              | 90.0 | 1.18                 |
| 01/31/2017   | WENZ, PATRICIA           | JOHN HANCOCK VENTURE III          | 42,158.53            | 35.13               | 0.00               | 35.13             | 90.0 | 31.62                |
| 01/31/2017   | SMITH, FRANK             | JOHN HANCOCK VENTURE III          | 99,702.67            | 83.09               | 0.00               | 83.09             | 90.0 | 74.78                |
| 01/31/2017   | MURANYI, MARILYN         | JOHN HANCOCK VENTURE III          | 27,082.03            | 22.57               | 0.00               | 22.57             | 90.0 | 20.31                |
| 01/31/2017   | SCHUMACHER, EDWARD       | JOHN HANCOCK VENTURE III          | 38,895.48            | 32.41               | 0.00               | 32.41             | 90.0 | 29.17                |
| 01/31/2017   | MAXFIELD, DARALEE        | JOHN HANCOCK VENTURE III          | 127,104.09           | 105.92              | 0.00               | 105.92            | 90.0 | 95.33                |
| 01/31/2017   | GRUBB, BEVERLY           | JOHN HANCOCK VENTURE III          | 138,415.99           | 115.35              | 0.00               | 115.35            | 90.0 | 103.82               |
| 01/31/2017   | GRAHAM, JUDY             | JOHN HANCOCK VENTURE III          | 169,805.08           | 141.50              | 0.00               | 141.50            | 90.0 | 127.35               |
| 01/31/2017   | HUBAY, BERNICE           | JOHN HANCOCK VENTURE III          | 23,753.37            | 19.79               | 0.00               | 19.79             | 90.0 | 17.81                |
| 01/31/2017   | LABERDEE, PAUL           | JOHN HANCOCK VENTURE III          | 47,077.19            | 39.23               | 0.00               | 39.23             | 90.0 | 35.31                |
| 01/31/2017   | SZAFAROWICZ, JOSEPH      | JOHN HANCOCK VENTURE III          | 16,567.35            | 13.81               | 0.00               | 13.81             | 90.0 | 12.43                |
| 01/31/2017   | MUIR TRUST, JOHN & JOANN | JOHN HANCOCK VENTURE III          | 24,475.29            | 20.40               | 0.00               | 20.40             | 90.0 | 18.36                |
| 01/31/2017   | HILEMAN, RONALD          | JOHN HANCOCK VENTURE III          | 167,156.98           | 139.30              | 0.00               | 139.30            | 90.0 | 125.37               |
| 01/31/2017   | ELWING, LOUISE           | JOHN HANCOCK VENTURE III          | 157,368.22           | 131.14              | 0.00               | 131.14            | 90.0 | 118.03               |
| 01/31/2017   | DOUGHERTY, ELNORA        | JOHN HANCOCK VENTURE III          | 154,299.21           | 128.58              | 0.00               | 128.58            | 90.0 | 115.72               |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE  | CLIENT               | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                       |                      |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>      |                      |                                     |                      |                     |                    |                   |      |                      |
| 01/31/2017  | MAXFIELD, RICHARD    | JOHN HANCOCK VENTURE III            | 213,506.79           | 177.92              | 0.00               | 177.92            | 90.0 | 160.13               |
| 01/31/2017  | GAJEWSKI, WILLADEANE | JOHN HANCOCK VENTURE III            | 43,099.89            | 35.92               | 0.00               | 35.92             | 90.0 | 32.33                |
| 01/31/2017  | BUEHLER, ARNOLD      | JOHN HANCOCK VENTURE III            | 116,589.80           | 97.16               | 0.00               | 97.16             | 90.0 | 87.44                |
| 01/31/2017  | RICE, PAMELA         | JOHN HANCOCK VENTURE III            | 108,761.62           | 90.63               | 0.00               | 90.63             | 90.0 | 81.57                |
| 01/31/2017  | RINGGER, LINDA       | JOHN HANCOCK VENTURE III            | 118,396.13           | 98.66               | 0.00               | 98.66             | 90.0 | 88.79                |
| 01/31/2017  | SEITZINGER, MARK     | JOHN HANCOCK VENTURE III            | 23,524.95            | 19.60               | 0.00               | 19.60             | 90.0 | 17.64                |
| 01/31/2017  | WODA, HELENE         | JOHN HANCOCK VENTURE III            | 28,748.49            | 23.96               | 0.00               | 23.96             | 90.0 | 21.56                |
| * VARIABLE ANNUITIES SUBTOTAL *                         |                      |                                     | \$1,926,844.28       | \$1,591.45          | \$0.00             | \$1,591.45        |      | \$1,432.32           |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                      |                                     |                      |                     |                    |                   |      |                      |
| 12/31/2016  | SHAFER, CLARK        | ICON BOND FUND C                    | 0.00                 | 13.08               | 0.00               | 13.08             | 90.0 | 11.77                |
| 12/31/2016  | RICE, CHERYL         | ICON BOND FUND C                    | 0.00                 | 2.00                | 0.00               | 2.00              | 90.0 | 1.80                 |
| 12/31/2016  | GECIK, STANLEY       | ICON BOND FUND C                    | 0.00                 | 4.07                | 0.00               | 4.07              | 90.0 | 3.66                 |
| 12/31/2016  | RECKNER, GARTH       | ICON BOND FUND C                    | 0.00                 | 1.03                | 0.00               | 1.03              | 90.0 | 0.93                 |
| 12/31/2016  | THOMPSON, VARIOUS    | STADION INVESTMENTS                 | 0.00                 | 41.69               | 0.00               | 41.69             | 90.0 | 37.52                |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL *                    |                      |                                     | \$0.00               | \$61.87             | \$0.00             | \$61.87           |      | \$55.68              |
| *** BROKER TRANSACTIONS TOTAL ***                       |                      |                                     | \$1,901,211.80       | \$1,783.32          | \$89.00            | \$1,694.32        |      | \$1,524.90           |
| <b><u>ADJUSTMENTS</u></b>                               |                      |                                     |                      |                     |                    |                   |      |                      |
| 02/15/2017  |                      | *E & O INSURANCE: CURRENT MONTH INS | 0.00                 |                     |                    |                   |      | -300.00              |
| 02/15/2017  |                      | *TECHNOLOGY: CURRENT MONTH TECH     | 0.00                 |                     |                    |                   |      | -275.00              |
| *** ADJUSTMENTS TOTAL ***                               |                      |                                     | \$0.00               | \$0.00              | \$0.00             | \$0.00            |      | \$-575.00            |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

|                       |            |
|-----------------------|------------|
| Broker Transactions   | \$1,524.90 |
| Split Transactions    | \$0.00     |
| Override Transactions | \$0.00     |
| Adjustments           | \$-575.00  |
| Payroll Draw          | \$0.00     |
| Base Salary           | \$0.00     |
| Prior Period Balance  | \$16.13    |
| FINRA Assessment      | \$0.00     |
| SIPC Assessment       | \$0.00     |

|                       |             |
|-----------------------|-------------|
| Check Amount          | \$966.03    |
| Year-to-date Gross    | \$10,628.91 |
| Year-to-date Earnings | \$9,624.92  |