



All Companies

05/14/2018

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COMMISSION STATEMENT

May 15, 2018

JON D. JOHNSON
6630 Dark Canyon Road

BROKER #: 9604
BRANCH: HOME OFFICE

Rapid City, SD 57702-

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	129.81	129.81	0.00	129.81	90.0	116.83
04/30/2018	PRECISION PHYS THERAPY	-NATIONWIDE QUALIFIED PLAN	B	3,058.29	94.58	0.00	94.58	90.0	85.12
05/01/2018	000000001254933 HEIBERGER, JOHN R.	FRK SM CAP GRWTH FND II - CL A	B	45.00	1.69	0.00	1.69	90.0	1.52
05/01/2018	000000007332416 HEIBERGER, JOHN R.	MUTUAL DISC FND - CL A	B	34.00	1.28	0.00	1.28	90.0	1.15
05/01/2018	000000008260349 HEIBERGER, JOHN R.	TEMPLETON DEVELOPING MARKETS TRUST B		71.00	2.66	0.00	2.66	90.0	2.39
05/01/2018	000013912608568 HEIBERGER, JOHN	FRANKLIN SPECIAL EQUITY INCOME FD C B		129.00	4.84	0.00	4.84	90.0	4.36
05/01/2018	000015813926201 HEIBERGER, JOHN R.	FRANKLIN RISING DIVIDEND FD CL I	B	34.00	1.28	0.00	1.28	90.0	1.15
05/01/2018	000028212458892 HEIBERGER, JOHN	FRANKLIN VALUE FD CL 1	B	129.00	4.84	0.00	4.84	90.0	4.36
05/01/2018	000060177413865 HEIBERGER, JOHN	FRANKLIN GROWTH FD CL I	B	129.00	4.84	0.00	4.84	90.0	4.36
05/01/2018	000080192865093 HEIBERGER, JOHN R.	FRANKLIN DYNATECH FD CL I	B	34.00	1.28	0.00	1.28	90.0	1.15
04/20/2018	00035718011 PATTEN, PHILIP	IVY LARGE CAP GROWTH A	B	152.76	7.64	0.00	7.64	90.0	6.88
04/20/2018	00035718011 PATTEN, PHILIP	IVY MID CAP GROWTH A	B	152.76	7.64	0.00	7.64	90.0	6.88
04/20/2018	00035718011 PATTEN, PHILIP	IVY SCIENCE & TECH A	B	152.76	7.64	0.00	7.64	90.0	6.88
04/16/2018	00035837032 WEISCHEDEL, VANESSA BRANDLI	IVY ASSET STRATEGY A	B	110.00	4.95	0.00	4.95	90.0	4.46
04/16/2018	00035837032 WEISCHEDEL, VANESSA BRANDLI	IVY INTERNATIONAL CORE EQUITY A	B	110.00	4.95	0.00	4.95	90.0	4.46
04/16/2018	00035837032 WEISCHEDEL, VANESSA BRANDLI	IVY VALUE A	B	110.00	4.95	0.00	4.95	90.0	4.46
04/25/2018	00035888441 MITCHELL, RANI/BRIAN	IVY BALANCED C	B	500.00	5.00	0.00	5.00	90.0	4.50
04/16/2018	00035932391 KILEY, ELIZABETH	IVY LARGE CAP GROWTH A	B	50.00	2.50	0.00	2.50	90.0	2.25
04/20/2018	00035993369 GESINGER, AUSTIN	IVY ASSET STRATEGY A	B	100.00	5.00	0.00	5.00	90.0	4.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/20/2018	00035993369	GESINGER, AUSTIN	IVY SCIENCE & TECH A	B	100.00	5.00	0.00	5.00	90.0 4.50
04/30/2018	00036080289	DUNN, ANGELA	IVY CORE EQUITY A	B	50.00	2.50	0.00	2.50	90.0 2.25
04/16/2018	00036173189	TRANS MIN ACT NM, UNIF	IVY CORE EQUITY A	B	162.67	3.25	0.00	3.25	90.0 2.93
04/16/2018	00036182725	KILEY, EMILY/CHANDRA	IVY VALUE A	B	50.00	2.50	0.00	2.50	90.0 2.25
04/16/2018	00036277542	STELLWAGEN, JAY T.	IVY GLOBAL EQUITY INCOME A	B	167.00	3.34	0.00	3.34	90.0 3.01
04/16/2018	00036277546	STELLWAGEN, JAY T.	IVY GLOBAL EQUITY INCOME A	B	167.00	3.34	0.00	3.34	90.0 3.01
04/16/2018	00036338714	JOHNSON, JACOB F.	IVY EUROPEAN OPPORTUNITIES A	B	228.81	11.44	0.00	11.44	90.0 10.30
04/16/2018	00036338714	JOHNSON, JACOB F.	IVY SMALL CAP VALUE A	B	228.81	11.44	0.00	11.44	90.0 10.30
04/30/2018	00073331273	LEIVESTAD, DALE L.	CAPITAL WORLD GROWTH AND INCOME FUN B		82.50	0.83	0.00	0.83	90.0 0.75
04/30/2018	00073331273	LEIVESTAD, DALE L.	CAPITAL WORLD GROWTH AND INCOME FUN B		82.50	0.83	0.00	0.83	90.0 0.75
04/30/2018	00073331273	LEIVESTAD, DALE L.	THE GROWTH FUND OF AMERICA-C	B	85.00	0.85	0.00	0.85	90.0 0.77
04/30/2018	00073331273	LEIVESTAD, DALE L.	THE GROWTH FUND OF AMERICA-C	B	85.00	0.85	0.00	0.85	90.0 0.77
04/30/2018	00073331273	LEIVESTAD, DALE L.	WASHINGTON MUTUAL INVESTORS FUND-C	B	82.50	0.83	0.00	0.83	90.0 0.75
04/30/2018	00073331273	LEIVESTAD, DALE L.	WASHINGTON MUTUAL INVESTORS FUND-C	B	82.50	0.83	0.00	0.83	90.0 0.75
05/01/2018	00073968645	BECKER, RYAN	AMERICAN FUNDS BALANCED FD	B	100.00	3.75	0.00	3.75	90.0 3.38
05/04/2018	00075013619	BECK, EMILY	AMERICAN FUNDS AMCAP FD	B	76.25	2.10	0.00	2.10	90.0 1.89
05/04/2018	00075013619	BECK, EMILY	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	76.25	2.10	0.00	2.10	90.0 1.89
05/04/2018	00075013619	BECK, EMILY	GLOBAL BALANCED FUND-A	B	76.25	2.10	0.00	2.10	90.0 1.89
05/02/2018	00075982164	ASHEIM, KENNETH	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	69.30	1.39	0.00	1.39	90.0 1.25
05/02/2018	00075982164	ASHEIM, KENNETH	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	660.00	13.20	0.00	13.20	90.0 11.88
05/02/2018	00075982164	ASHEIM, KENNETH	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	69.30	1.39	0.00	1.39	90.0 1.25
05/02/2018	00075982164	ASHEIM, KENNETH	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	660.00	13.20	0.00	13.20	90.0 11.88
05/02/2018	00075982164	ASHEIM, KENNETH	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	71.40	1.43	0.00	1.43	90.0 1.29
05/02/2018	00075982164	ASHEIM, KENNETH	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	680.00	13.60	0.00	13.60	90.0 12.24
05/02/2018	00081201789	AKERS, DENICE	NEW WORLD FUND-A	B	75.00	1.50	0.00	1.50	90.0 1.35
05/02/2018	00081201789	AKERS, DENICE	NEW WORLD FUND-A	B	75.00	1.50	0.00	1.50	90.0 1.35
05/04/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS FUNDAMENTAL INVES B	B	76.25	2.10	0.00	2.10	90.0 1.89
05/04/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	76.25	2.10	0.00	2.10	90.0 1.89
05/04/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	76.25	2.10	0.00	2.10	90.0 1.89
05/04/2018	00081782572	LEWIS, BRENDA R.	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	150.00	7.50	0.00	7.50	90.0 6.75
04/30/2018	00081964721	JOHNSON, DARIN	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	30.00	1.50	0.00	1.50	90.0 1.35
04/30/2018	00081964721	JOHNSON, DARIN	AMERICAN FUNDS WA MUTUAL INVES B	B	20.00	1.00	0.00	1.00	90.0 0.90
05/01/2018	00083183068	BECKER, GLYNIS	AMERICAN FUNDS BALANCED FD	B	100.00	2.75	0.00	2.75	90.0 2.48
05/01/2018	00083271700	STEVENSON, SIERRA	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	200.00	10.00	0.00	10.00	90.0 9.00
05/04/2018	00084405798	JOHNSON, DARIN	AMERICAN FUNDS AMCAP FD	B	208.41	7.82	0.00	7.82	90.0 7.04
05/04/2018	00084405798	JOHNSON, DARIN	AMERICAN FUNDS AMCAP FD	B	208.41	7.82	0.00	7.82	90.0 7.04
05/04/2018	00084405798	JOHNSON, DARIN	AMERICAN FUNDS NEW PERSPECTIVE FD	B	312.61	11.72	0.00	11.72	90.0 10.55
05/04/2018	00084405798	JOHNSON, DARIN	AMERICAN FUNDS NEW PERSPECTIVE FD	B	312.61	11.72	0.00	11.72	90.0 10.55

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/04/2018	00084800513	GESINGER, DEEDRA	AMERICAN FUNDS AMCAP FD	B	136.00	2.72	0.00	2.72	90.0 2.45
05/04/2018	00084800513	GESINGER, DEEDRA	AMERICAN FUNDS CAPITAL INCOME BUILD B		135.00	2.70	0.00	2.70	90.0 2.43
05/04/2018	00084800513	GESINGER, DEEDRA	AMERICAN FUNDS CAPITAL WORLD GROWT B		135.00	2.70	0.00	2.70	90.0 2.43
05/04/2018	00084800513	GESINGER, DEEDRA	AMERICAN FUNDS EURO PACIFIC GROWT B		67.50	1.35	0.00	1.35	90.0 1.22
05/04/2018	00084800513	GESINGER, DEEDRA	NEW WORLD FUND-A	B	67.50	1.35	0.00	1.35	90.0 1.22
05/01/2018	00085191838	WILEN, BRETT	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0 1.92
05/01/2018	00085192010	WILEN, DEBBIE L.	AMERICAN FUNDS BALANCED FD	B	50.00	2.13	0.00	2.13	90.0 1.92
05/04/2018	00085443655	ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD B		63.12	2.37	0.00	2.37	90.0 2.13
05/04/2018	00085443655	ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD B		63.12	2.37	0.00	2.37	90.0 2.13
04/26/2018	00085493543	BARBER, PEGGY	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	90.0 1.69
04/26/2018	00085493586	BARBER, PEGGY	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	90.0 1.69
04/25/2018	00085574413	MAKOWESKI, MARCIA	AMERICAN FUNDS AMCAP FD	B	100.00	2.00	0.00	2.00	90.0 1.80
04/25/2018	00085574413	MAKOWESKI, MARCIA	AMERICAN FUNDS CAPITAL WORLD GROWT B		100.00	2.00	0.00	2.00	90.0 1.80
04/25/2018	00085574413	MAKOWESKI, MARCIA	AMERICAN FUNDS INTERM BD FD OF AMER B		241.00	4.82	0.00	4.82	90.0 4.34
04/25/2018	00085574413	MAKOWESKI, MARCIA	GLOBAL BALANCED FUND-A	B	100.00	2.00	0.00	2.00	90.0 1.80
05/01/2018	00085889464	TRIBBY, TYLER M.	THE GROWTH FUND OF AMERICA-C	B	2,000.00	20.00	0.00	20.00	90.0 18.00
05/01/2018	00085928713	LECY/AUTH PER, DOUGLAS	AMERICAN FUNDS AMCAP FD	B	100.00	2.00	0.00	2.00	90.0 1.80
05/01/2018	00085928713	LECY/AUTH PER, DOUGLAS	AMERICAN FUNDS NEW PERSPECTIVE FD B		100.00	2.00	0.00	2.00	90.0 1.80
05/01/2018	00086832083	MARCOV, ERIK	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	1.38	0.00	1.38	90.0 1.24
05/01/2018	00086832083	MARCOV, ERIK	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	1.38	0.00	1.38	90.0 1.24
05/04/2018	00086957629	WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	63.55	2.38	0.00	2.38	90.0 2.14
05/04/2018	00086957629	WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	63.55	2.38	0.00	2.38	90.0 2.14
05/02/2018	00087044454	PACHL, JESSICA	AMERICAN FUNDS AMCAP FD	B	217.61	9.25	0.00	9.25	90.0 8.33
05/02/2018	00087044454	PACHL, JESSICA	AMERICAN FUNDS AMCAP FD	B	217.61	9.25	0.00	9.25	90.0 8.33
05/03/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS AMCAP FD	B	55.00	2.34	0.00	2.34	90.0 2.11
05/03/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS CAPITAL WORLD GROWT B		55.00	2.34	0.00	2.34	90.0 2.11
05/03/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS GROWTH FUND OF AMERI B		55.00	2.34	0.00	2.34	90.0 2.11
05/03/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS WA MUTUAL INVES B		55.00	2.34	0.00	2.34	90.0 2.11
04/25/2018	00087313331	HINMAN, KATHERINE	GLOBAL BALANCED FUND-A	B	75.00	2.81	0.00	2.81	90.0 2.53
04/25/2018	00087313382	HINMAN, MICHAEL	AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0 0.68
05/01/2018	00087351491	BEITELSPACHER/AUTH	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0 1.69
		PER, AMANDA							
05/01/2018	00087351561	BEITELSPACHER/AUTH	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0 1.69
		PER, AMANDA							
04/30/2018	00087391906	WILSON, DONNELLE	GLOBAL BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0 0.45
04/30/2018	00087391906	WILSON, DONNELLE	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0 0.45
04/24/2018	00087396195	DAHL, DAVID	AMERICAN FUNDS FUNDAMENTAL INVES B		53.00	1.99	0.00	1.99	90.0 1.79
04/24/2018	00087396195	DAHL, DAVID	AMERICAN FUNDS GROWTH FUND OF AMERI B		53.00	1.99	0.00	1.99	90.0 1.79

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/24/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS NEW ECONOMY FD	B	53.00	1.99	0.00	1.99	90.0	1.79
04/24/2018	00087396195 DAHL, DAVID	NEW WORLD FUND-A	B	70.00	2.63	0.00	2.63	90.0	2.37
04/24/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
04/24/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	65.00	2.44	0.00	2.44	90.0	2.20
04/24/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS SMALL CAP WORLD FD	B	64.00	2.40	0.00	2.40	90.0	2.16
04/24/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS WA MUTUAL	INVEST B	50.00	1.88	0.00	1.88	90.0	1.69
05/01/2018	00087429551 BEITELSPACHER, AMANDA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	3.75	0.00	3.75	90.0	3.38
05/02/2018	00087493891 ASHEIM, SHIRLEY	AMERICAN FUNDS BALANCED FD	B	150.00	3.00	0.00	3.00	90.0	2.70
05/02/2018	00087493891 ASHEIM, SHIRLEY	AMERICAN FUNDS BALANCED FD	B	2,000.00	40.00	0.00	40.00	90.0	36.00
05/01/2018	00087537035 JUENEMAN, CORY	AMERICAN FUNDS AMCAP FD	B	100.00	5.00	0.00	5.00	90.0	4.50
04/26/2018	00087753902 BARBER, PEGGY	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
05/01/2018	00087785552 LECY/AUTH PER, DOUG	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	2.00	0.00	2.00	90.0	1.80
05/01/2018	00087785552 LECY/AUTH PER, DOUG	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	100.00	2.00	0.00	2.00	90.0	1.80
04/23/2018	00087863239 RABELL, HANSEL	AMERICAN FUNDS AMCAP FD	B	114.58	4.30	0.00	4.30	90.0	3.87
04/23/2018	00087863239 RABELL, HANSEL	AMERICAN FUNDS INVESTMENT COMP OF A B	B	114.58	4.30	0.00	4.30	90.0	3.87
04/23/2018	00087863239 RABELL, HANSEL	AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.58	4.30	0.00	4.30	90.0	3.87
04/23/2018	00087863239 RABELL, HANSEL	NEW WORLD FUND-A	B	114.58	4.30	0.00	4.30	90.0	3.87
04/23/2018	00087863278 RABELL/AUTH PER, JUANITA	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	83.33	3.12	0.00	3.12	90.0	2.81
04/23/2018	00087863278 RABELL/AUTH PER, JUANITA	AMERICAN FUNDS WA MUTUAL	INVEST B	83.33	3.12	0.00	3.12	90.0	2.81
04/23/2018	00087863341 RABELL, JUANITA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	114.58	4.30	0.00	4.30	90.0	3.87
04/23/2018	00087863341 RABELL, JUANITA	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	114.58	4.30	0.00	4.30	90.0	3.87
04/23/2018	00087863341 RABELL, JUANITA	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	114.58	4.30	0.00	4.30	90.0	3.87
04/23/2018	00087863341 RABELL, JUANITA	AMERICAN FUNDS INCOME FD OF AMERI B	B	114.58	4.30	0.00	4.30	90.0	3.87
05/03/2018	00087898062 LAMB, LEANN/COLE	CAPITAL INCOME BUILDER-C	B	100.00	1.00	0.00	1.00	90.0	0.90
05/03/2018	00087898079 LAMB, LEANN/LUKE	THE INCOME FUND OF AMERICA-C	B	300.00	3.00	0.00	3.00	90.0	2.70
05/02/2018	00087985987 MCGINLEY/AUTH PER, JOHN	AMERICAN FUNDS INVESTMENT COMP OF A B	B	50.00	1.00	0.00	1.00	90.0	0.90
05/02/2018	00087985987 MCGINLEY/AUTH PER, JOHN	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.00	0.00	1.00	90.0	0.90
05/02/2018	00087986056 MCGINLEY/AUTH PER, JOHN	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.00	0.00	1.00	90.0	0.90
05/02/2018	00087986056 MCGINLEY/AUTH PER, JOHN	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	50.00	1.00	0.00	1.00	90.0	0.90
05/04/2018	00088216102 WEISBECK, CORISSA A.	AMERICAN MUTUAL FUND-C	B	45.00	0.45	0.00	0.45	90.0	0.41

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/04/2018	00088216102 WEISBECK, CORISSA	AMERICAN MUTUAL FUND-C	B	45.00	0.45	0.00	0.45	90.0	0.41
A.									
05/04/2018	00088306950 RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	27.40	0.27	0.00	0.27	90.0	0.24
05/04/2018	00088306950 RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	36.54	0.37	0.00	0.37	90.0	0.33
05/04/2018	00088306950 RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	27.40	0.27	0.00	0.27	90.0	0.24
05/04/2018	00088306950 RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	36.53	0.37	0.00	0.37	90.0	0.33
05/01/2018	00088586617 O'BRIEN, EMILY R.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	57.00	2.14	0.00	2.14	90.0	1.93
05/01/2018	00088586617 O'BRIEN, EMILY R.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	57.00	2.14	0.00	2.14	90.0	1.93
05/01/2018	00088586617 O'BRIEN, EMILY R.	AMERICAN FUNDS EURO PACIFIC GROWT	B	57.00	2.14	0.00	2.14	90.0	1.93
05/01/2018	00088586617 O'BRIEN, EMILY R.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	57.00	2.14	0.00	2.14	90.0	1.93
05/01/2018	00088586646 O'BRIEN, RYAN A.	AMERICAN FUNDS AMCAP FD	B	57.00	2.14	0.00	2.14	90.0	1.93
05/01/2018	00088586646 O'BRIEN, RYAN A.	AMERICAN FUNDS FUNDAMENTAL	INVES B	57.00	2.14	0.00	2.14	90.0	1.93
05/01/2018	00088586646 O'BRIEN, RYAN A.	AMERICAN FUNDS INCOME FD OF	AMERI B	57.00	2.14	0.00	2.14	90.0	1.93
05/01/2018	00088586646 O'BRIEN, RYAN A.	NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0	1.93
04/30/2018	00088586746 MATTHEW, SHANNON	AMERICAN FUNDS BALANCED FD	B	62.50	1.25	0.00	1.25	90.0	1.13
04/30/2018	00088586746 MATTHEW, SHANNON	AMERICAN FUNDS FUNDAMENTAL	INVES B	62.50	1.25	0.00	1.25	90.0	1.13
04/30/2018	00088586746 MATTHEW, SHANNON	AMERICAN FUNDS INCOME FD OF	AMERI B	62.50	1.25	0.00	1.25	90.0	1.13
04/30/2018	00088586746 MATTHEW, SHANNON	AMERICAN FUNDS NEW PERSPECTIVE FD	B	62.50	1.25	0.00	1.25	90.0	1.13
05/01/2018	00088631278 FLOTTMEYER, SUSAN	AMERICAN FUNDS INVESTMENT COMP OF A	B	100.00	5.00	0.00	5.00	90.0	4.50
J.									
05/01/2018	00088648517 BOWEN, LANA L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
05/01/2018	00088648517 BOWEN, LANA L.	AMERICAN FUNDS BALANCED FD	B	50.00	2.13	0.00	2.13	90.0	1.92
05/01/2018	00088648517 BOWEN, LANA L.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	2.13	0.00	2.13	90.0	1.92
05/01/2018	00088648517 BOWEN, LANA L.	NEW WORLD FUND-A	B	50.00	2.13	0.00	2.13	90.0	1.92
05/03/2018	00088677711 CAPPS, JANE	AMERICAN FUNDS AMCAP FD	B	50.00	1.38	0.00	1.38	90.0	1.24
05/03/2018	00088677720 CAPPS, DAVID D.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.38	0.00	1.38	90.0	1.24
05/03/2018	00088896833 KAU, LARRY	AMERICAN FUNDS BALANCED FD	B	300.00	12.75	0.00	12.75	90.0	11.48
05/03/2018	00088896833 KAU, LARRY	AMERICAN FUNDS BALANCED FD	B	90.00	3.83	0.00	3.83	90.0	3.45
05/03/2018	00088896852 KAU, RUTH ANN	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
05/03/2018	00088896852 KAU, RUTH ANN	AMERICAN FUNDS WA MUTUAL	INVES B	30.00	1.28	0.00	1.28	90.0	1.15
04/30/2018	00088908568 ANDERSON, ANGELA	AMERICAN FUNDS AMCAP FD	B	57.30	2.44	0.00	2.44	90.0	2.20
04/30/2018	00088908568 ANDERSON, ANGELA	AMERICAN FUNDS CAPITAL INCOME BUILD	B	57.30	2.44	0.00	2.44	90.0	2.20
04/30/2018	00088908568 ANDERSON, ANGELA	AMERICAN FUNDS FUNDAMENTAL	INVES B	57.30	2.44	0.00	2.44	90.0	2.20
04/30/2018	00088908568 ANDERSON, ANGELA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	57.30	2.44	0.00	2.44	90.0	2.20
04/25/2018	00089145111 BENG, RONALD A.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	3.75	0.00	3.75	90.0	3.38
04/25/2018	00089145111 BENG, RONALD A.	INTERNATIONAL GROWTH AND INCOME A	B	100.00	3.75	0.00	3.75	90.0	3.38
04/25/2018	00089179098 OLSON, TREVIS A.	AMERICAN BALANCED FUND-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
04/25/2018	00089179098 OLSON, TREVIS A.	FUNDAMENTAL INVESTORS-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
05/01/2018	00089187466 BUREMAN, KEVIN	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	2.13	0.00	2.13	90.0	1.92
05/02/2018	00089303112 MASSIE, KATEY	AMERICAN FUNDS AMCAP FD	B	58.00	2.18	0.00	2.18	90.0	1.96

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
05/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS SMALL CAP WORLD FD	B	57.00	2.14	0.00	2.14	90.0	1.93
05/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS WA MUTUAL	INVES B	57.00	2.14	0.00	2.14	90.0	1.93
05/02/2018	00089303112	MASSIE, KATEY	NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0	1.93
05/04/2018	00089436102	BOHLMANN, JACOB B.	AMERICAN FUNDS BALANCED FD	B	53.77	2.02	0.00	2.02	90.0	1.82
05/04/2018	00089436102	BOHLMANN, JACOB B.	AMERICAN FUNDS BALANCED FD	B	53.77	2.02	0.00	2.02	90.0	1.82
04/23/2018	00089449545	ELLINGSON, KRISTEN	AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
	K.									
04/30/2018	00089449545	ELLINGSON, KRISTEN	AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
	K.									
04/30/2018	00089449562	THOURON, JEFFREY	AMERICAN FUNDS AMCAP FD	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.									
04/30/2018	00089449562	THOURON, JEFFREY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.									
04/30/2018	00089449562	THOURON, JEFFREY	AMERICAN FUNDS CAPITAL WORLD GROWT	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.									
04/30/2018	00089449562	THOURON, JEFFREY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.									
05/03/2018	00089449602	THOURON, MARIA E.	AMERICAN FUNDS SMALL CAP WORLD FD	B	43.68	2.18	0.00	2.18	90.0	1.96
05/03/2018	00089449602	THOURON, MARIA E.	AMERICAN FUNDS SMALL CAP WORLD FD	B	43.68	2.18	0.00	2.18	90.0	1.96
05/03/2018	00089449602	THOURON, MARIA E.	AMERICAN FUNDS WA MUTUAL	INVES B	43.68	2.18	0.00	2.18	90.0	1.96
05/03/2018	00089449602	THOURON, MARIA E.	AMERICAN FUNDS WA MUTUAL	INVES B	43.68	2.18	0.00	2.18	90.0	1.96
05/03/2018	00089449610	THOURON, JEFFREY	AMERICAN FUNDS FUNDAMENTAL	INVES B	62.55	3.13	0.00	3.13	90.0	2.82
	J.									
05/03/2018	00089449610	THOURON, JEFFREY	AMERICAN FUNDS FUNDAMENTAL	INVES B	62.55	3.13	0.00	3.13	90.0	2.82
	J.									
05/03/2018	00089449610	THOURON, JEFFREY	INTERNATIONAL GROWTH AND INCOME A	B	62.55	3.13	0.00	3.13	90.0	2.82
	J.									
05/03/2018	00089449610	THOURON, JEFFREY	INTERNATIONAL GROWTH AND INCOME A	B	62.55	3.13	0.00	3.13	90.0	2.82
	J.									
04/26/2018	00089560352	BLAIN, SABRINA K.	AMERICAN FUNDS FUNDAMENTAL	INVES B	75.00	3.75	0.00	3.75	90.0	3.38
04/26/2018	00089560352	BLAIN, SABRINA K.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	75.00	3.75	0.00	3.75	90.0	3.38
04/26/2018	00089560473	BLAIN, AUSTIN W.	AMERICAN FUNDS AMCAP FD	B	75.00	3.75	0.00	3.75	90.0	3.38
04/26/2018	00089560473	BLAIN, AUSTIN W.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	75.00	3.75	0.00	3.75	90.0	3.38
05/02/2018	00089859202	LABINE, JENNIFER	AMERICAN FUNDS AMCAP FD	B	60.00	1.20	0.00	1.20	90.0	1.08
	N.									
05/02/2018	00089859202	LABINE, JENNIFER	AMERICAN FUNDS AMCAP FD	B	60.00	1.20	0.00	1.20	90.0	1.08
	N.									
04/16/2018	00201000577	GUNDERSON, SUSAN	COLUMBIA CONTRARIAN CORE FD A	B	114.00	4.28	0.00	4.28	90.0	3.85
	M.									
04/16/2018	00201000577	GUNDERSON, SUSAN	COLUMBIA MARSICO GLOBAL FUND A	B	115.00	4.31	0.00	4.31	90.0	3.88

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
M.									
04/16/2018	00505047984	PETERSON, JEFF	-PUTNAM	B	114.00	4.28	0.00	4.28	90.0 3.85
04/16/2018	00505047984	PETERSON, JEFF	PUTNAM CAPITAL OPPORTUNITIES FUND	B	114.00	4.28	0.00	4.28	90.0 3.85
04/16/2018	00505047984	PETERSON, JEFF	PUTNAM GLOBAL GROWTH FD CL A	B	114.00	4.28	0.00	4.28	90.0 3.85
04/16/2018	00505047984	PETERSON, JEFF	PUTNAM GROWTH OPPORTUNITIES FD CL A B	B	109.00	4.09	0.00	4.09	90.0 3.68
05/03/2018	00927850848	SCHAUER, AMIE	AMERICAN FUNDS AMCAP FD	B	25.00	0.69	0.00	0.69	90.0 0.62
05/03/2018	00927850850	MG TRUST, MG	AMERICAN FUNDS AMCAP FD	B	35.00	1.75	0.00	1.75	90.0 1.58
05/03/2018	00927850853	MG TRUST, MG	AMERICAN FUNDS AMCAP FD	B	28.75	0.79	0.00	0.79	90.0 0.71
05/03/2018	00930305469	SCHAUER, AMIE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	25.00	1.25	0.00	1.25	90.0 1.13
05/03/2018	00938506074	SCHAUER, AMIE	AMERICAN FUNDS INCOME FD OF AMERI B	B	25.00	1.25	0.00	1.25	90.0 1.13
05/03/2018	00940999894	SCHAUER, AMIE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	25.00	0.69	0.00	0.69	90.0 0.62
05/03/2018	00950644891	MG TRUST, MG	AMERICAN FUNDS BALANCED FD	B	28.75	0.79	0.00	0.79	90.0 0.71
05/03/2018	00955344971	MG TRUST, MG	AMERICAN FUNDS NEW ECONOMY FD	B	35.00	1.75	0.00	1.75	90.0 1.58
05/03/2018	00955361781	MG TRUST, MG	AMERICAN FUNDS NEW ECONOMY FD	B	28.75	0.79	0.00	0.79	90.0 0.71
05/03/2018	00978061831	MG TRUST, MG	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	30.00	1.50	0.00	1.50	90.0 1.35
05/03/2018	00978061834	MG TRUST, MG	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	28.75	0.79	0.00	0.79	90.0 0.71
04/18/2018	0100094370	ROLAND, DAVID R.	OPPENHEIMER MAIN ST SMALL CAP FUND	B	271.00	5.42	0.00	5.42	90.0 4.88
05/01/2018	01001178157	DOBBS, ROBERT	AIM 81 GLOBAL AGGRESSIVE GROWTH FD B	B	112.50	3.38	0.00	3.38	90.0 3.04
05/01/2018	01001178157	DOBBS, ROBERT	AIM ENERGY FUND - CLASS A	B	112.50	3.38	0.00	3.38	90.0 3.04
05/01/2018	01001178157	DOBBS, ROBERT	IVK AMERICAN FRANCHISE FUND - CLASS B	B	112.50	3.38	0.00	3.38	90.0 3.04
04/25/2018	01001213096	GRIENCEWIC, LANA	IVK AMERICAN FRANCHISE FUND - CLASS B	B	458.00	13.74	0.00	13.74	90.0 12.37
05/01/2018	01001328204	DOBBS, ROBERT T.	AIM UTILITIES FUND - CLASS A	B	112.50	3.38	0.00	3.38	90.0 3.04
04/30/2018	01001349524	WOOD, REMINGTON W.	AIM DIVERSIFIED DIVIDEND FUND - CL B	B	50.00	2.50	0.00	2.50	90.0 2.25
04/30/2018	01001349524	WOOD, REMINGTON W.	AIM EUROPEAN DEVELOPMENT FD CL A	B	50.00	2.50	0.00	2.50	90.0 2.25
04/30/2018	01001349524	WOOD, REMINGTON W.	INVESCO ENDEAVOR FUND - CLASS A	B	50.00	2.50	0.00	2.50	90.0 2.25
04/30/2018	01001349524	WOOD, REMINGTON W.	IVK SMALL CAP GROWTH FUND - CLASS A B	B	50.00	2.50	0.00	2.50	90.0 2.25
04/23/2018	01001362660	VAN ASMA, STEPHANIE R.	AIM ASIAN GROWTH FD CL A	B	114.00	5.70	0.00	5.70	90.0 5.13
04/23/2018	01001362660	VAN ASMA, STEPHANIE R.	AIM DIVERSIFIED DIVIDEND FUND - CL B	B	115.00	5.75	0.00	5.75	90.0 5.18
04/23/2018	01001362660	VAN ASMA, STEPHANIE R.	IVK MID CAP GROWTH FUND - CLASS A	B	115.00	5.75	0.00	5.75	90.0 5.18
04/23/2018	01001362660	VAN ASMA, STEPHANIE R.	IVK SMALL CAP GROWTH FUND - CLASS A B	B	114.00	5.70	0.00	5.70	90.0 5.13
04/23/2018	01001362691	VAN ASMA, NICHOLAS A.	AIM EUROPEAN DEVELOPMENT FD CL A	B	114.00	5.70	0.00	5.70	90.0 5.13
04/23/2018	01001362691	VAN ASMA, NICHOLAS A.	AIM MID CAP GROWTH FD CL A	B	115.00	5.75	0.00	5.75	90.0 5.18
04/23/2018	01001362691	VAN ASMA, NICHOLAS A.	AIM SMALL CAP EQUITY FUND - CLASS A B	B	114.00	5.70	0.00	5.70	90.0 5.13

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/23/2018	01001362691 VAN ASMA, NICHOLAS	AIM UTILITIES FUND - CLASS A	B	115.00	5.75	0.00	5.75	90.0	5.18
	A.								
04/30/2018	01001373221 HARRIS, ALAN/KATHLEEN	AIM ASIAN GROWTH FD CL C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/30/2018	01001373221 HARRIS, ALAN/KATHLEEN	INVESCO DIVERSIFIED DIVIDEND FD -CL B		75.00	0.75	0.00	0.75	90.0	0.68
04/30/2018	01001373221 HARRIS, ALAN/KATHLEEN	INVESCO MULTI-ASSET INFLATION FUND- B		75.00	0.75	0.00	0.75	90.0	0.68
04/30/2018	01001373221 HARRIS, ALAN/KATHLEEN	IVK MID CAP GROWTH FUND - CLASS C	B	75.00	0.75	0.00	0.75	90.0	0.68
05/01/2018	01001380933 VAN ASMA, STEVEN K.	AIM ASIAN GROWTH FD CL C	B	114.50	1.15	0.00	1.15	90.0	1.04
05/01/2018	01001380933 VAN ASMA, STEVEN K.	INVESCO DIVERSIFIED DIVIDEND FD -CL B		114.50	1.15	0.00	1.15	90.0	1.04
05/01/2018	01001380933 VAN ASMA, STEVEN K.	INVESCO SMALL CAP DISCOVERY FUND-CL B		114.50	1.15	0.00	1.15	90.0	1.04
05/01/2018	01001380933 VAN ASMA, STEVEN K.	IVK AMERICAN VALUE FUND - CLASS C	B	114.50	1.15	0.00	1.15	90.0	1.04
04/23/2018	01001381289 VAN ASMA, NICHOLAS	INVESCO S&P 500 INDEX FUND - CLASS A.	B	250.00	2.50	0.00	2.50	90.0	2.25
04/23/2018	01001381289 VAN ASMA, NICHOLAS	INVESCO S&P 500 INDEX FUND - CLASS A.	B	250.00	2.50	0.00	2.50	90.0	2.25
04/16/2018	01240555562 GUNDERSON, SUSAN M.	COLUMBIA MIDCAP GROWTH FUND A	B	114.00	4.28	0.00	4.28	90.0	3.85
05/03/2018	01334056911 DUTTON, MARCIA A	AMERICAN FUNDS 2015 TARGET DATE-A	B	75.00	3.75	0.00	3.75	90.0	3.38
04/16/2018	01390000410 GUNDERSON, KELLY M.	COLUMBIA TECHNOLOGY FUND A	B	114.00	4.28	0.00	4.28	90.0	3.85
04/25/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADV DYNAMIC STRATEGIES-CL	B	100.00	3.75	0.00	3.75	90.0	3.38
04/25/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADV STRATEGIC DIV & INCOME	B	100.00	3.75	0.00	3.75	90.0	3.38
04/25/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADVISORS EQUITY GRWTH FD C	B	100.00	3.75	0.00	3.75	90.0	3.38
04/25/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADVISORS TECHNOLOGY FD CL	B	100.00	3.75	0.00	3.75	90.0	3.38
04/16/2018	01793555613 GUNDERSON, KELLY M.	COLUMBIA ACORN INTERNATIONAL	B	114.00	4.28	0.00	4.28	90.0	3.85
04/16/2018	01981555565 GUNDERSON, KELLY M.	COLUMBIA MIDCAP VALUE	B	115.00	4.31	0.00	4.31	90.0	3.88
04/23/2018	02400107447 HOP, DANIEL J.	CALIBRATED MID CAP VALUE A	B	137.50	5.50	0.00	5.50	90.0	4.95
04/23/2018	02400107447 HOP, DANIEL J.	GROWTH LEADERS FUND A	B	137.50	5.50	0.00	5.50	90.0	4.95
04/23/2018	02400107447 HOP, DANIEL J.	LORD ABBETT ALPHA SERIES FD CL A	B	137.50	5.50	0.00	5.50	90.0	4.95
04/23/2018	02400107447 HOP, DANIEL J.	LORD ABBETT SECS TRUST INTL FD CL A	B	137.50	5.50	0.00	5.50	90.0	4.95
05/01/2018	04000092124 CAMMACK, RYAN L.	THE GROWTH FUND OF AMERICA-529A	B	400.00	20.00	0.00	20.00	90.0	18.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/01/2018	04000092197	CAMMACK, RYAN L.		AMCAP FUND-529A	B	250.00	12.50	0.00	12.50 90.0 11.25
05/01/2018	04000100852	O'BRIEN, RYAN A.		AMERICAN FUNDS CAPITAL INCOME BUILD	B	166.00	8.30	0.00	8.30 90.0 7.47
05/04/2018	04000128174	YOUNG-JOHNSON, TAMMY		AMERICAN FUNDS AMCAP FD	B	100.00	5.00	0.00	5.00 90.0 4.50
05/01/2018	04000135598	JESSEN, ALEX		AMCAP FUND-C	B	50.00	0.50	0.00	0.50 90.0 0.45
05/01/2018	04000135598	JESSEN, ALEX		FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50 90.0 0.45
05/03/2018	04000162783	KAUK, TIMOTHY		AMERICAN FUNDS AMERICAN MUTUAL FD	B	132.00	5.61	0.00	5.61 90.0 5.05
05/03/2018	04000162783	KAUK, TIMOTHY		AMERICAN FUNDS AMERICAN MUTUAL FD	B	132.00	5.61	0.00	5.61 90.0 5.05
05/03/2018	04000166653	PALMER, ANTHONY		AMERICAN FUNDS 2020 TARGET DATE-C	B	80.00	0.80	0.00	0.80 90.0 0.72
05/03/2018	04000166653	PALMER, ANTHONY		AMERICAN FUNDS 2020 TARGET DATE-C	B	56.27	0.56	0.00	0.56 90.0 0.50
05/01/2018	04000182059	MARCOV, ERIK		AMERICAN FUNDS AMCAP FD	B	125.00	3.44	0.00	3.44 90.0 3.10
05/01/2018	04000182059	MARCOV, ERIK		AMERICAN FUNDS EURO PACIFIC GROWT	B	125.00	3.44	0.00	3.44 90.0 3.10
05/01/2018	04000182059	MARCOV, ERIK		AMERICAN FUNDS NEW PERSPECTIVE FD	B	125.00	3.44	0.00	3.44 90.0 3.10
05/01/2018	04000182059	MARCOV, ERIK		AMERICAN FUNDS WA MUTUAL	B	125.00	3.44	0.00	3.44 90.0 3.10
04/23/2018	04000211973	SIMBURGER, SHANDA J.		FUNDAMENTAL INVESTORS-C	B	114.58	1.15	0.00	1.15 90.0 1.04
04/23/2018	04000211973	SIMBURGER, SHANDA J.		NEW PERSPECTIVE FUND-C	B	114.58	1.15	0.00	1.15 90.0 1.04
04/23/2018	04000211973	SIMBURGER, SHANDA J.		NEW WORLD FUND-C	B	114.58	1.15	0.00	1.15 90.0 1.04
04/23/2018	04000211973	SIMBURGER, SHANDA J.		THE GROWTH FUND OF AMERICA-C	B	114.58	1.15	0.00	1.15 90.0 1.04
04/25/2018	04000253117	SAMUELSON, JOSEPH P.		AMERICAN BALANCED FUND-C	B	40.00	0.40	0.00	0.40 90.0 0.36
04/25/2018	04000253117	SAMUELSON, JOSEPH P.		AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50 90.0 0.45
05/03/2018	04000407504	FISCHER, COURTNEY M.		AMERICAN FUNDS 2055 TARGET DATE-A	B	38.78	1.94	0.00	1.94 90.0 1.75
05/03/2018	04000407504	FISCHER, COURTNEY M.		AMERICAN FUNDS 2055 TARGET DATE-A	B	38.78	1.94	0.00	1.94 90.0 1.75
04/23/2018	04000486090	CRILE, JODI		GROWTH PORTFOLIO-A	B	458.00	22.90	0.00	22.90 90.0 20.61
04/23/2018	04000489063	CRILE, JOHN M.		AMERICAN FUNDS AMERICAN MUTUAL FD	B	114.50	5.73	0.00	5.73 90.0 5.16
04/23/2018	04000489063	CRILE, JOHN M.		AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.50	5.73	0.00	5.73 90.0 5.16
04/23/2018	04000489063	CRILE, JOHN M.		AMERICAN FUNDS SMALL CAP WORLD FD	B	114.50	5.73	0.00	5.73 90.0 5.16
04/23/2018	04000489063	CRILE, JOHN M.		NEW WORLD FUND-A	B	114.50	5.73	0.00	5.73 90.0 5.16
05/01/2018	04000537184	HENRY, MAYLEN N.		AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50 90.0 0.45
05/01/2018	04000537195	HENRY, COHEN E.		AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50 90.0 0.45
04/30/2018	04000590000	POND, CAMERON L.		AMERICAN FUNDS FUNDAMENTAL	B	80.00	4.00	0.00	4.00 90.0 3.60
04/30/2018	04000590000	POND, CAMERON L.		AMERICAN FUNDS GROWTH FUND OF AMERI	B	80.00	4.00	0.00	4.00 90.0 3.60
04/16/2018	15375555562	GUNDERSON, SUSAN		COLUMBIA SELECT SMALLER-CAP VALUE F	B	115.00	4.31	0.00	4.31 90.0 3.88

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
M.									
04/18/2018	2155239124 ROLAND ` , ANGELA B.	OPPENHEIMER GLOBAL INCOME & GROWTH B		114.50	2.29	0.00	2.29	90.0	2.06
04/20/2018	2514869381 HURD, JASON D.	OPPENHEIMER QUEST SMALL CAP VALUE B		50.00	2.38	0.00	2.38	90.0	2.14
04/18/2018	2514915922 ROLAND ` , ANGELA B.	OPPENHEIMER QUEST SMALL CAP VALUE B		114.50	2.29	0.00	2.29	90.0	2.06
04/18/2018	3208894842 ROLAND, DAVID R.	OPPENHEIMER CAPITAL APPREC FD CL A B		114.50	2.29	0.00	2.29	90.0	2.06
04/16/2018	4206310777 RUTSTEIN, ANDREA	OPPENHEIMER MAIN STREET INCOME & GR B		100.00	4.75	0.00	4.75	90.0	4.28
04/16/2018	51830000605 GUNDERSON, KELLY	COLUMBIA LARGE CORE QUAN FUND A B		115.00	4.31	0.00	4.31	90.0	3.88
M.									
04/18/2018	5550848155 WRIGHT, EDWARD	PORTFOLIO SERIES: EQUITY INVESTOR F B		149.27	7.09	0.00	7.09	90.0	6.38
04/18/2018	5901188814 ROLAND, DAVID R.	REAL ESTATE FUND A B		114.50	2.29	0.00	2.29	90.0	2.06
04/18/2018	7005978745 ROLAND ` , ANGELA B.	OPPENHEIMER MAIN STREET INCOME & GR B		114.50	2.29	0.00	2.29	90.0	2.06
04/20/2018	7007956861 HURD, JASON D.	OPPENHEIMER MAIN STREET INCOME & GR B		50.00	2.38	0.00	2.38	90.0	2.14
04/23/2018	7021921711 SMITH, ELLA J.	OPPENHEIMER MAIN STREET INCOME& GRO B		300.00	3.00	0.00	3.00	90.0	2.70
04/20/2018	7210873719 HURD, JASON D.	SMALL- & MID-CAP GROWTH FUND A B		50.00	2.38	0.00	2.38	90.0	2.14
04/18/2018	7210955361 ROLAND, DAVID R.	SMALL- & MID-CAP GROWTH FUND A B		114.50	2.29	0.00	2.29	90.0	2.06
04/16/2018	7211015300 RUTSTEIN, ROBERT	SMALL- & MID-CAP GROWTH FUND A B		100.00	4.75	0.00	4.75	90.0	4.28
04/18/2018	7315596613 ROLAND, DAVID R.	MAIN STREET OPPORTUNITY FUND A B		271.00	5.42	0.00	5.42	90.0	4.88
04/18/2018	8259515891 ROLAND, DAVID R.	OPPENHEIMER INTL GROWTH FD CL A B		271.00	5.42	0.00	5.42	90.0	4.88
04/20/2018	8259592627 HURD, JASON D.	OPPENHEIMER INTL GROWTH FD CL A B		50.00	2.38	0.00	2.38	90.0	2.14
04/18/2018	8353137442 ROLAND, DAVID R.	OPPENHEIMER QUEST CAPITAL VALUE B		271.00	5.42	0.00	5.42	90.0	4.88
04/18/2018	8477487359 ROLAND ` , ANGELA B.	MAIN STREET SMALL CAP FUND A B		114.50	2.29	0.00	2.29	90.0	2.06
* MUTUAL FUNDS SUBTOTAL *				\$37,940.52	\$1,280.76	\$0.00	\$1,280.76		\$1,153.10
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/24/2018	BEITELSPACHER, NICOLE	-PACIFIC LIFE INSURANCE B		1,000,000.00	15,000.00	0.00	15,000.00	90.0	13,500.00
03/31/2018	TRAILS	METROPOLITAN LIFE AND AFFILIATED CO B		13.92	13.92	0.00	13.92	90.0	12.53
* VARIABLE ANNUITIES SUBTOTAL *				\$1,000,013.92	\$15,013.92	\$0.00	\$15,013.92		\$13,512.53
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	1,997.97	0.00	1,997.97	90.0	1,798.21
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,997.97	\$0.00	\$1,997.97		\$1,798.21
*** BROKER TRANSACTIONS TOTAL ***				\$1,037,954.44	\$18,292.65	\$0.00	\$18,292.65		\$16,463.84

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
BROKER: KELSEY MCDANIEL #9660									
04/30/2018	000000000024380 BINGAMAN, BRIANNE N.	MUTUAL INTERNATIONAL CL A	B	0.00	1.12	0.00	1.12		0.22
04/30/2018	000000000027449 BINGAMAN, BRIANNE N.	FRK FOCUSED CORE EQUITY FUND - CLAS B		0.00	1.41	0.00	1.41		0.28
04/30/2018	0000000000194074 KORNEMAN, WACEY C.	FRANKLIN BALANCED FUND - CLASS A	B	0.00	1.60	0.00	1.60		0.32
04/30/2018	0000000008581643 KORNEMAN, HEATHER	MUTUAL DISC FND - CL A	B	0.00	1.62	0.00	1.62		0.32
04/30/2018	0000000008607754 BINGAMAN, BRIANNE N.	MUTUAL DISC FND - CL A	B	0.00	1.41	0.00	1.41		0.28
04/30/2018	000001977715529 MILLER, VALERIE A.	TEMPLETON GROWTH FD CL 1	B	0.00	1.84	0.00	1.84		0.37
04/30/2018	000001979391988 MILLER, VALERIE A.	TEMPLETON GROWTH FD CL 1	B	0.00	2.08	0.00	2.08		0.42
04/30/2018	000004978673778 KORNEMAN, WACEY C.	TEMPLETON FOREIGN FD CL I	B	0.00	1.65	0.00	1.65		0.33
04/30/2018	000015815160601 KORNEMAN, HEATHER	FRANKLIN RISING DIVIDEND FD CL I	B	0.00	1.77	0.00	1.77		0.35
04/30/2018	000015815180488 BINGAMAN, BRIANNE N.	FRANKLIN RISING DIVIDEND FD CL I	B	0.00	1.36	0.00	1.36		0.27
04/30/2018	000019818084410 KORNEMAN, HEATHER	FRANKLIN SMALL CAP GROWTH FD CL I	B	0.00	1.75	0.00	1.75		0.35
04/30/2018	000019818091694 BINGAMAN, BRIANNE N.	FRANKLIN SMALL CAP GROWTH FD CL I	B	0.00	1.48	0.00	1.48		0.30
04/30/2018	000028212511175 BINGAMAN, BRIANNE N.	FRANKLIN VALUE FD CL 1	B	0.00	1.33	0.00	1.33		0.27
04/30/2018	000028212612320 KORNEMAN, HEATHER	FRANKLIN VALUE FD CL 1	B	0.00	1.60	0.00	1.60		0.32
04/30/2018	000060177396363 LARSON, MELISSA	FRANKLIN GROWTH FD CL I	B	0.00	0.60	0.00	0.60		0.12
04/30/2018	000060177578725 BINGAMAN, BRIANNE N.	FRANKLIN GROWTH FD CL I	B	0.00	1.71	0.00	1.71		0.34
04/30/2018	000060177716907 KORNEMAN, WACEY C.	FRANKLIN GROWTH FD CL I	B	0.00	1.87	0.00	1.87		0.37
04/30/2018	000070188170178 KORNEMAN, WACEY C.	FRANKLIN UTILITIES FD CL I	B	0.00	0.95	0.00	0.95		0.19
04/30/2018	000080193151721 BINGAMAN, BRIANNE N.	FRANKLIN DYNATECH FD CL I	B	0.00	1.59	0.00	1.59		0.32
05/01/2018	00087677954 RULAND, RICHARD	AMERICAN FUNDS 2035 TARGET DATE-A	B	375.47	18.77	0.00	18.77		3.75
05/01/2018	00087677954 RULAND, RICHARD	AMERICAN FUNDS 2035 TARGET DATE-A	B	375.47	18.77	0.00	18.77		3.75

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: KELSEY MCDANIEL #9660</u>									
05/04/2018	00089549050	COTTINGHAM, MYLIE AMERICAN FUNDS AMCAP FD	B	41.10	2.06	0.00	2.06		0.41
	J.								
05/04/2018	00089549050	COTTINGHAM, MYLIE AMERICAN FUNDS AMCAP FD	B	41.10	2.06	0.00	2.06		0.41
	J.								
05/04/2018	00089549050	COTTINGHAM, MYLIE AMERICAN FUNDS WA MUTUAL	INVES B	41.09	2.05	0.00	2.05		0.41
	J.								
05/04/2018	00089549050	COTTINGHAM, MYLIE AMERICAN FUNDS WA MUTUAL	INVES B	41.09	2.05	0.00	2.05		0.41
	J.								
05/03/2018	04000660392	BENDER, NELL P. AMERICAN FUNDS GROWTH FUND OF AMERI B		73.12	3.66	0.00	3.66		0.73
05/03/2018	04000660392	BENDER, NELL P. AMERICAN FUNDS GROWTH FUND OF AMERI B		73.12	3.66	0.00	3.66		0.73
05/01/2018	04000711583	WRIGHT, BLAKE J. AMERICAN FUNDS GROWTH FUND OF AMERI B		500.00	25.00	0.00	25.00		5.00
04/30/2018	04000753810	POTTER III, DONALD AMERICAN FUNDS BALANCED FD	B	100.00	5.00	0.00	5.00		1.00
	W.								
04/30/2018	04000753810	POTTER III, DONALD AMERICAN FUNDS GROWTH FUND OF AMERI B		100.00	5.00	0.00	5.00		1.00
	W.								
04/30/2018	04000753810	POTTER III, DONALD AMERICAN FUNDS SMALL CAP WORLD FD	B	100.00	5.00	0.00	5.00		1.00
	W.								
04/30/2018	04000753810	POTTER III, DONALD NEW WORLD FUND-A	B	100.00	5.00	0.00	5.00		1.00
	W.								
05/01/2018	04000828904	BROWN, MARK K. AMERICAN FUNDS BALANCED FD	B	125.00	4.69	0.00	4.69		0.94
05/01/2018	04000828904	BROWN, MARK K. AMERICAN FUNDS GROWTH FUND OF AMERI B		125.00	4.69	0.00	4.69		0.94
05/03/2018	04000928595	VALLETTE, TINA L. AMERICAN FUNDS GROWTH FUND OF AMERI B		100.00	5.00	0.00	5.00		1.00
04/18/2018	3212111891	GORTMAKER, DEREK A. OPPENHEIMER CAPITAL APPREC FD CL A	B	116.60	5.54	0.00	5.54		1.11
05/01/2018	57775487	VALLETTE, DAVID SIGNAL SECURITIES RIA	B	0.00	36.52	0.00	36.52		7.30
	ROBERT								
* KELSEY MCDANIEL SUBTOTAL *				\$2,428.16	\$183.26	\$0.00	\$183.26		\$36.63
*** OVERRIDE TRANSACTIONS TOTAL ***				\$2,428.16	\$183.26	\$0.00	\$183.26		\$36.63
<u> ADJUSTMENTS </u>									
05/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
05/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$16,463.84
Split Transactions	\$0.00
Override Transactions	\$36.63
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$16,372.97
Year-to-date Earnings	\$214,796.66