

All Companies
STATEMENT
April 20, 2018

JEFF JONES
1601 Mier St

BROKER #: 016
BRANCH: LA BRANCH

Laredo, TX 78043-

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/26/2018 #000000000026714	RAMIRJESSB	FRK GLOBAL REAL ESTATE FUND CLASS A B		50.00	2.50	0.00	0.00	2.50	85.0	2.13
02/28/2018 #00088848033	DELTORRER	INTERMEDIATE BOND FUND OF AMERICA-A B		75.00	1.50	0.00	0.00	1.50	85.0	1.28
02/28/2018 #00089128773	VELACESARE	INVESTMENT CO AMER CL C	B	50.00	0.50	0.00	0.00	0.50	85.0	0.43
02/28/2018 #00089128773	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	20.00	0.20	0.00	0.00	0.20	85.0	0.17
02/28/2018 #00089778964	MILLER, THOMAS	NEW PERSPECTIVE FUND INC CL C	B	250.00	2.50	0.00	0.00	2.50	85.0	2.13
02/28/2018 #00088848033	DELTORRER	NEW WORLD FUND INC NEW CL C	B	30.00	0.30	0.00	0.00	0.30	85.0	0.26
02/28/2018 #00089128773	VELACESARE	NEW WORLD FUND INC NEW CL C	B	20.00	0.20	0.00	0.00	0.20	85.0	0.17
02/28/2018 #00088848033	DELTORRER	SMALLCAP WORLD FUND INC CL C	B	15.00	0.15	0.00	0.00	0.15	85.0	0.13
02/28/2018 #00089128773	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	10.00	0.10	0.00	0.00	0.10	85.0	0.09
02/28/2018 #00088848033	DELTORRER	THE NEW ECONOMY FUND-C	B	30.00	0.30	0.00	0.00	0.30	85.0	0.26
02/28/2018 #00089778964	MILLER, THOMAS	THE NEW ECONOMY FUND-C	B	250.00	2.50	0.00	0.00	2.50	85.0	2.13
03/01/2018 #2000347795	PEREZ, SELINA	AGGRESSIVEC	B	50.00	0.50	0.00	0.00	0.50	85.0	0.43
03/01/2018 #2000347795	PEREZ, SELINA	CONSERVATIVE C	B	50.00	0.50	0.00	0.00	0.50	85.0	0.43
03/01/2018 #00074389206	HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	49.30	0.49	0.00	0.00	0.49	85.0	0.42
03/01/2018 #00074389206	HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	49.67	0.50	0.00	0.00	0.50	85.0	0.43
03/01/2018 #00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	24.65	0.25	0.00	0.00	0.25	85.0	0.21
03/01/2018 #00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	24.84	0.25	0.00	0.00	0.25	85.0	0.21
03/01/2018 #00074389206	HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	49.30	0.49	0.00	0.00	0.49	85.0	0.42
03/01/2018 #00074389206	HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	49.67	0.50	0.00	0.00	0.50	85.0	0.43
03/01/2018 #00074389206	HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	49.30	0.49	0.00	0.00	0.49	85.0	0.42
03/01/2018 #00074389206	HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	49.67	0.50	0.00	0.00	0.50	85.0	0.43
03/01/2018 #00088859005	REGALADO, JOSE	INVESTMENT CO AMER CL C	B	132.77	1.33	0.00	0.00	1.33	85.0	1.13
03/01/2018 #00088859005	REGALADO, JOSE	INVESTMENT CO AMER CL C	B	103.88	1.04	0.00	0.00	1.04	85.0	0.88
03/01/2018 #00089051015	VELACESARE	INVESTMENT CO AMER CL C	B	117.21	1.17	0.00	0.00	1.17	85.0	0.99
03/01/2018 #00089051015	VELACESARE	INVESTMENT CO AMER CL C	B	118.10	1.18	0.00	0.00	1.18	85.0	1.00
03/01/2018 #00089125404	KELLETJULI	INVESTMENT CO AMER CLASS A	B	88.50	4.43	0.00	0.00	4.43	85.0	3.77
03/01/2018 #00089125404	KELLETJULI	NEW PERSPECTIVE FUND INC CL A	B	35.40	1.77	0.00	0.00	1.77	85.0	1.50
03/01/2018 #00088859005	REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0	0.45
03/01/2018 #00088859005	REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0	0.36
03/01/2018 #00089051015	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	46.88	0.47	0.00	0.00	0.47	85.0	0.40
03/01/2018 #00089051015	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	47.24	0.47	0.00	0.00	0.47	85.0	0.40

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
03/01/2018	#00089125404	KELLETJULI								
		NEW WORLD FUND INC NEW	B	35.40	1.77	0.00	0.00	1.77	85.0	1.50
03/01/2018	#00074389206	HUMB, LAUREL								
		NEW WORLD FUND INC NEW CL C	B	24.65	0.25	0.00	0.00	0.25	85.0	0.21
03/01/2018	#00074389206	HUMB, LAUREL								
		NEW WORLD FUND INC NEW CL C	B	24.84	0.25	0.00	0.00	0.25	85.0	0.21
03/01/2018	#00088859005	REGALADO, JOSE								
		NEW WORLD FUND INC NEW CL C	B	26.56	0.27	0.00	0.00	0.27	85.0	0.23
03/01/2018	#00088859005	REGALADO, JOSE								
		NEW WORLD FUND INC NEW CL C	B	20.77	0.21	0.00	0.00	0.21	85.0	0.18
03/01/2018	#00089051015	VELACESARE								
		NEW WORLD FUND INC NEW CL C	B	46.89	0.47	0.00	0.00	0.47	85.0	0.40
03/01/2018	#00089051015	VELACESARE								
		NEW WORLD FUND INC NEW CL C	B	47.24	0.47	0.00	0.00	0.47	85.0	0.40
03/01/2018	#00074389206	HUMB, LAUREL								
		SMALLCAP WORLD FUND INC CL C	B	24.65	0.25	0.00	0.00	0.25	85.0	0.21
03/01/2018	#00074389206	HUMB, LAUREL								
		SMALLCAP WORLD FUND INC CL C	B	24.84	0.25	0.00	0.00	0.25	85.0	0.21
03/01/2018	#00088859005	REGALADO, JOSE								
		SMALLCAP WORLD FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0	0.45
03/01/2018	#00088859005	REGALADO, JOSE								
		SMALLCAP WORLD FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0	0.36
03/01/2018	#00089051015	VELACESARE								
		SMALLCAP WORLD FUND INC CL C	B	23.44	0.23	0.00	0.00	0.23	85.0	0.20
03/01/2018	#00089051015	VELACESARE								
		SMALLCAP WORLD FUND INC CL C	B	23.62	0.24	0.00	0.00	0.24	85.0	0.20
03/01/2018	#00089125404	KELLETJULI								
		SMALLCAP WORLD FUND INC CLASS A	B	17.70	0.89	0.00	0.00	0.89	85.0	0.76
03/01/2018	#00074389206	HUMB, LAUREL								
		THE NEW ECONOMY FUND-C	B	24.65	0.25	0.00	0.00	0.25	85.0	0.21
03/01/2018	#00074389206	HUMB, LAUREL								
		THE NEW ECONOMY FUND-C	B	24.84	0.25	0.00	0.00	0.25	85.0	0.21
03/09/2018	#00074389206	HUMB, LAUREL								
		DEVELOPING WORLD GROWTH & INCOME-C	B	51.60	0.52	0.00	0.00	0.52	85.0	0.44
03/09/2018	#00074389206	HUMB, LAUREL								
		DEVELOPING WORLD GROWTH & INCOME-C	B	52.00	0.52	0.00	0.00	0.52	85.0	0.44
03/09/2018	#00074389206	HUMB, LAUREL								
		EURO PAC GROWTH FUND CL C	B	25.80	0.26	0.00	0.00	0.26	85.0	0.22
03/09/2018	#00074389206	HUMB, LAUREL								
		EURO PAC GROWTH FUND CL C	B	25.98	0.26	0.00	0.00	0.26	85.0	0.22
03/09/2018	#00074389206	HUMB, LAUREL								
		FUNDAMENTAL INVS INC CL C	B	51.60	0.52	0.00	0.00	0.52	85.0	0.44
03/09/2018	#00074389206	HUMB, LAUREL								
		FUNDAMENTAL INVS INC CL C	B	52.00	0.52	0.00	0.00	0.52	85.0	0.44
03/09/2018	#00074389206	HUMB, LAUREL								
		GROWTH FUND AMER INC CL C	B	51.62	0.52	0.00	0.00	0.52	85.0	0.44
03/09/2018	#00074389206	HUMB, LAUREL								
		GROWTH FUND AMER INC CL C	B	52.00	0.52	0.00	0.00	0.52	85.0	0.44
03/09/2018	#00088848033	DELTORRER								
		INTERMEDIATE BOND FUND OF AMERICA-A	B	75.00	1.50	0.00	0.00	1.50	85.0	1.28
03/09/2018	#00088859005	REGALADO, JOSE								
		INVESTMENT CO AMER CL C	B	132.77	1.33	0.00	0.00	1.33	85.0	1.13
03/09/2018	#00088859005	REGALADO, JOSE								
		INVESTMENT CO AMER CL C	B	103.88	1.04	0.00	0.00	1.04	85.0	0.88
03/09/2018	#00089051015	VELACESARE								
		INVESTMENT CO AMER CL C	B	117.21	1.17	0.00	0.00	1.17	85.0	0.99
03/09/2018	#00089051015	VELACESARE								
		INVESTMENT CO AMER CL C	B	118.10	1.18	0.00	0.00	1.18	85.0	1.00
03/09/2018	#00089128773	VELACESARE								
		INVESTMENT CO AMER CL C	B	50.00	0.50	0.00	0.00	0.50	85.0	0.43
03/09/2018	#00089125404	KELLETJULI								
		INVESTMENT CO AMER CLASS A	B	88.50	4.43	0.00	0.00	4.43	85.0	3.77
03/09/2018	#00089125404	KELLETJULI								
		NEW PERSPECTIVE FUND INC CL A	B	35.40	1.77	0.00	0.00	1.77	85.0	1.50
03/09/2018	#00088859005	REGALADO, JOSE								
		NEW PERSPECTIVE FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0	0.45
03/09/2018	#00088859005	REGALADO, JOSE								
		NEW PERSPECTIVE FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0	0.36
03/09/2018	#00089051015	VELACESARE								
		NEW PERSPECTIVE FUND INC CL C	B	46.88	0.47	0.00	0.00	0.47	85.0	0.40
03/09/2018	#00089051015	VELACESARE								
		NEW PERSPECTIVE FUND INC CL C	B	47.24	0.47	0.00	0.00	0.47	85.0	0.40
03/09/2018	#00089128773	VELACESARE								
		NEW PERSPECTIVE FUND INC CL C	B	20.00	0.20	0.00	0.00	0.20	85.0	0.17
03/09/2018	#00089778964	MILLER, THOMAS								
		NEW PERSPECTIVE FUND INC CL C	B	250.00	2.50	0.00	0.00	2.50	85.0	2.13
03/09/2018	#00089125404	KELLETJULI								
		NEW WORLD FUND INC NEW	B	35.40	1.77	0.00	0.00	1.77	85.0	1.50
03/09/2018	#00074389206	HUMB, LAUREL								
		NEW WORLD FUND INC NEW CL C	B	25.80	0.26	0.00	0.00	0.26	85.0	0.22

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
03/09/2018	#00074389206 HUMB, LAUREL	NEW WORLD FUND INC NEW CL C	B	26.00	0.26	0.00	0.00	0.26	85.0	0.22
03/09/2018	#00088848033 DELTORRER	NEW WORLD FUND INC NEW CL C	B	30.00	0.30	0.00	0.00	0.30	85.0	0.26
03/09/2018	#00088859005 REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	26.56	0.27	0.00	0.00	0.27	85.0	0.23
03/09/2018	#00088859005 REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	20.77	0.21	0.00	0.00	0.21	85.0	0.18
03/09/2018	#00089051015 VELACESARE	NEW WORLD FUND INC NEW CL C	B	46.89	0.47	0.00	0.00	0.47	85.0	0.40
03/09/2018	#00089051015 VELACESARE	NEW WORLD FUND INC NEW CL C	B	47.24	0.47	0.00	0.00	0.47	85.0	0.40
03/09/2018	#00089128773 VELACESARE	NEW WORLD FUND INC NEW CL C	B	20.00	0.20	0.00	0.00	0.20	85.0	0.17
03/09/2018	#00074389206 HUMB, LAUREL	SMALLCAP WORLD FUND INC CL C	B	25.80	0.26	0.00	0.00	0.26	85.0	0.22
03/09/2018	#00074389206 HUMB, LAUREL	SMALLCAP WORLD FUND INC CL C	B	26.00	0.26	0.00	0.00	0.26	85.0	0.22
03/09/2018	#00088848033 DELTORRER	SMALLCAP WORLD FUND INC CL C	B	15.00	0.15	0.00	0.00	0.15	85.0	0.13
03/09/2018	#00088859005 REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0	0.45
03/09/2018	#00088859005 REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0	0.36
03/09/2018	#00089051015 VELACESARE	SMALLCAP WORLD FUND INC CL C	B	23.44	0.23	0.00	0.00	0.23	85.0	0.20
03/09/2018	#00089051015 VELACESARE	SMALLCAP WORLD FUND INC CL C	B	23.62	0.24	0.00	0.00	0.24	85.0	0.20
03/09/2018	#00089128773 VELACESARE	SMALLCAP WORLD FUND INC CL C	B	10.00	0.10	0.00	0.00	0.10	85.0	0.09
03/09/2018	#00089125404 KELLETJULI	SMALLCAP WORLD FUND INC CLASS A	B	17.70	0.89	0.00	0.00	0.89	85.0	0.76
03/09/2018	#00074389206 HUMB, LAUREL	THE NEW ECONOMY FUND-C	B	25.80	0.26	0.00	0.00	0.26	85.0	0.22
03/09/2018	#00074389206 HUMB, LAUREL	THE NEW ECONOMY FUND-C	B	26.00	0.26	0.00	0.00	0.26	85.0	0.22
03/09/2018	#00088848033 DELTORRER	THE NEW ECONOMY FUND-C	B	30.00	0.30	0.00	0.00	0.30	85.0	0.26
03/09/2018	#00089778964 MILLER, THOMAS	THE NEW ECONOMY FUND-C	B	250.00	2.50	0.00	0.00	2.50	85.0	2.13
03/12/2018	#00087938001	NEW ECONOMY FUND SBI CLASS A	B	6,487.00	178.39	0.00	0.00	178.39	85.0	151.63
03/26/2018	#0000000000026714 RAMIRJESSB	FRK GLOBAL REAL ESTATE FUND CLASS A B		-50.00	-2.50	0.00	0.00	-2.50	85.0	-2.13
03/26/2018	#0000000000026714 RAMIRJESSB	FRK GLOBAL REAL ESTATE FUND CLASS A B		50.00	2.50	0.00	0.00	2.50	85.0	2.13
03/29/2018	#00074389206 HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	50.07	0.50	0.00	0.00	0.50	85.0	0.43
03/29/2018	#00074389206 HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	50.45	0.50	0.00	0.00	0.50	85.0	0.43
03/29/2018	#00074389206 HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	25.03	0.25	0.00	0.00	0.25	85.0	0.21
03/29/2018	#00074389206 HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	25.23	0.25	0.00	0.00	0.25	85.0	0.21
03/29/2018	#00074389206 HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	50.07	0.50	0.00	0.00	0.50	85.0	0.43
03/29/2018	#00074389206 HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	50.45	0.50	0.00	0.00	0.50	85.0	0.43
03/29/2018	#00074389206 HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	50.08	0.50	0.00	0.00	0.50	85.0	0.43
03/29/2018	#00074389206 HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	50.45	0.50	0.00	0.00	0.50	85.0	0.43
03/29/2018	#00088848033 DELTORRER	INTERMEDIATE BOND FUND OF AMERICA-A B		75.00	1.50	0.00	0.00	1.50	85.0	1.28
03/29/2018	#00088859005 REGALADO, JOSE	INVESTMENT CO AMER CL C	B	132.77	1.33	0.00	0.00	1.33	85.0	1.13
03/29/2018	#00088859005 REGALADO, JOSE	INVESTMENT CO AMER CL C	B	103.88	1.04	0.00	0.00	1.04	85.0	0.88
03/29/2018	#00089051015 VELACESARE	INVESTMENT CO AMER CL C	B	117.21	1.17	0.00	0.00	1.17	85.0	0.99
03/29/2018	#00089051015 VELACESARE	INVESTMENT CO AMER CL C	B	118.10	1.18	0.00	0.00	1.18	85.0	1.00
03/29/2018	#00089128773 VELACESARE	INVESTMENT CO AMER CL C	B	50.00	0.50	0.00	0.00	0.50	85.0	0.43
03/29/2018	#00089125404 KELLETJULI	INVESTMENT CO AMER CLASS A	B	88.50	4.43	0.00	0.00	4.43	85.0	3.77
03/29/2018	#00089125404 KELLETJULI	NEW PERSPECTIVE FUND INC CL A	B	35.40	1.77	0.00	0.00	1.77	85.0	1.50
03/29/2018	#00088859005 REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0	0.45

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
03/29/2018	#00088859005	REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0 0.36
03/29/2018	#00089051015	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	46.88	0.47	0.00	0.00	0.47	85.0 0.40
03/29/2018	#00089051015	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	47.24	0.47	0.00	0.00	0.47	85.0 0.40
03/29/2018	#00089128773	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	20.00	0.20	0.00	0.00	0.20	85.0 0.17
03/29/2018	#00089778964	MILLER, THOMAS	NEW PERSPECTIVE FUND INC CL C	B	250.00	2.50	0.00	0.00	2.50	85.0 2.13
03/29/2018	#00089125404	KELLETJULI	NEW WORLD FUND INC NEW	B	35.40	1.77	0.00	0.00	1.77	85.0 1.50
03/29/2018	#00074389206	HUMB, LAUREL	NEW WORLD FUND INC NEW CL C	B	25.03	0.25	0.00	0.00	0.25	85.0 0.21
03/29/2018	#00074389206	HUMB, LAUREL	NEW WORLD FUND INC NEW CL C	B	25.22	0.25	0.00	0.00	0.25	85.0 0.21
03/29/2018	#00088848033	DELTORRER	NEW WORLD FUND INC NEW CL C	B	30.00	0.30	0.00	0.00	0.30	85.0 0.26
03/29/2018	#00088859005	REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	26.56	0.27	0.00	0.00	0.27	85.0 0.23
03/29/2018	#00088859005	REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	20.77	0.21	0.00	0.00	0.21	85.0 0.18
03/29/2018	#00089051015	VELACESARE	NEW WORLD FUND INC NEW CL C	B	46.89	0.47	0.00	0.00	0.47	85.0 0.40
03/29/2018	#00089051015	VELACESARE	NEW WORLD FUND INC NEW CL C	B	47.24	0.47	0.00	0.00	0.47	85.0 0.40
03/29/2018	#00089128773	VELACESARE	NEW WORLD FUND INC NEW CL C	B	20.00	0.20	0.00	0.00	0.20	85.0 0.17
03/29/2018	#00074389206	HUMB, LAUREL	SMALLCAP WORLD FUND INC CL C	B	25.03	0.25	0.00	0.00	0.25	85.0 0.21
03/29/2018	#00074389206	HUMB, LAUREL	SMALLCAP WORLD FUND INC CL C	B	25.22	0.25	0.00	0.00	0.25	85.0 0.21
03/29/2018	#00088848033	DELTORRER	SMALLCAP WORLD FUND INC CL C	B	15.00	0.15	0.00	0.00	0.15	85.0 0.13
03/29/2018	#00088859005	REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0 0.45
03/29/2018	#00088859005	REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0 0.36
03/29/2018	#00089051015	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	23.44	0.23	0.00	0.00	0.23	85.0 0.20
03/29/2018	#00089051015	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	23.62	0.24	0.00	0.00	0.24	85.0 0.20
03/29/2018	#00089128773	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	10.00	0.10	0.00	0.00	0.10	85.0 0.09
03/29/2018	#00089125404	KELLETJULI	SMALLCAP WORLD FUND INC CLASS A	B	17.70	0.89	0.00	0.00	0.89	85.0 0.76
03/29/2018	#00074389206	HUMB, LAUREL	THE NEW ECONOMY FUND-C	B	25.03	0.25	0.00	0.00	0.25	85.0 0.21
03/29/2018	#00074389206	HUMB, LAUREL	THE NEW ECONOMY FUND-C	B	25.22	0.25	0.00	0.00	0.25	85.0 0.21
03/29/2018	#00088848033	DELTORRER	THE NEW ECONOMY FUND-C	B	30.00	0.30	0.00	0.00	0.30	85.0 0.26
03/29/2018	#00089778964	MILLER, THOMAS	THE NEW ECONOMY FUND-C	B	250.00	2.50	0.00	0.00	2.50	85.0 2.13
* MUTUAL FUNDS SUBTOTAL *					\$13,765.21	\$276.74	\$0.00	\$0.00	\$276.74	\$235.34
<u>PRODUCT CATEGORY: STOCKS</u>										
03/15/2018	#	RODRIGUEZ, JESUS	BUCKEYE PARTNERS LP UNITS LTD PARTN B		33,384.00	280.00	19.95	0.00	260.05	85.0 221.04
* STOCKS SUBTOTAL *					\$33,384.00	\$280.00	\$19.95	\$0.00	\$260.05	\$221.04
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
02/08/0018	#1098000000	DEL NORTE,	JOHN HANCOCK LIFE-TRAILS	B	0.00	184.20	0.00	0.00	184.20	85.0 156.57
03/02/2018	#702155	BARRERA, ADRIANA	JOHN HANCOCK LIFE-TRAILS	B	0.00	56.23	0.00	0.00	56.23	85.0 47.80
* VARIABLE ANNUITIES SUBTOTAL *					\$0.00	\$240.43	\$0.00	\$0.00	\$240.43	\$204.37

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
03/01/2018	#00089114280	2025 TARGET DATE RETIRMENT	B	0.00	46.93	0.00	0.00	46.93	85.0	39.89
03/01/2018	#00089114571	AMCAP FUND INC CLASS A	B	0.00	7.29	0.00	0.00	7.29	85.0	6.20
03/01/2018	#00062954437	AMERICAN BALANCED FUND INC CLASS A	B	0.00	38.86	0.00	0.00	38.86	85.0	33.03
03/01/2018	#00089114280	AMERICAN BALANCED FUND INC CLASS A	B	0.00	11.45	0.00	0.00	11.45	85.0	9.73
03/01/2018	#00089114325	AMERICAN BALANCED FUND INC CLASS A	B	0.00	11.28	0.00	0.00	11.28	85.0	9.59
03/01/2018	#00074389206	HUMB, LAUREL AMERICAN FUNDS 643822406	B	0.00	1.10	0.00	0.00	1.10	85.0	0.94
03/01/2018	#00074389206	HUMB, LAUREL AMERICAN FUNDS 831681408	B	0.00	1.19	0.00	0.00	1.19	85.0	1.01
03/01/2018	#00074389206	HUMB, LAUREL BOND FUND AMER INC CL C	B	0.00	8.98	0.00	0.00	8.98	85.0	7.63
03/01/2018	#00075088110	BOND FUND AMER INC CL C	B	0.00	62.70	0.00	0.00	62.70	85.0	53.30
03/01/2018	#04000777598	BOND FUND AMER INC CL C	B	0.00	26.57	0.00	0.00	26.57	85.0	22.58
03/01/2018	#00074389206	HUMB, LAUREL BOND FUND AMER INC CL F1	B	0.00	3.07	0.00	0.00	3.07	85.0	2.61
03/01/2018	#00075088110	BOND FUND AMER INC CL F1	B	0.00	2.83	0.00	0.00	2.83	85.0	2.41
03/01/2018	#04000777598	BOND FUND AMER INC CL F1	B	0.00	1.26	0.00	0.00	1.26	85.0	1.07
03/01/2018	#00089114280	BOND FUND OF AMERICA INC CL A	B	0.00	7.64	0.00	0.00	7.64	85.0	6.49
03/01/2018	#00089114571	BOND FUND OF AMERICA INC CL A	B	0.00	5.23	0.00	0.00	5.23	85.0	4.45
03/01/2018	#00058430593	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	8.14	0.00	0.00	8.14	85.0	6.92
03/01/2018	#00062624441	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	28.94	0.00	0.00	28.94	85.0	24.60
03/01/2018	#00074389206	HUMB, LAUREL DEVELOPING WORLD GROWTH & INCOME-C	B	0.00	34.50	0.00	0.00	34.50	85.0	29.33
03/01/2018	#00074389206	HUMB, LAUREL DEVELOPING WORLD GROWTH & INCOME-F1	B	0.00	2.17	0.00	0.00	2.17	85.0	1.84
03/01/2018	#00058698238	VERGARA, CARLOS EMERGING MARKETS BOND FUND-A	B	0.00	37.71	0.00	0.00	37.71	85.0	32.05
03/01/2018	#00074389206	HUMB, LAUREL EURO PAC GROWTH FUND CL C	B	0.00	216.59	0.00	0.00	216.59	85.0	184.10
03/01/2018	#00074389206	HUMB, LAUREL EURO PAC GROWTH FUND CL F1	B	0.00	1.80	0.00	0.00	1.80	85.0	1.53
03/01/2018	#00058337242	RAMIREZ, J EURO PACIFIC GROWTH FUND CLASS A	B	0.00	10.79	0.00	0.00	10.79	85.0	9.17
03/01/2018	#00058698238	VERGARA, CARLOS EURO PACIFIC GROWTH FUND CLASS A	B	0.00	105.77	0.00	0.00	105.77	85.0	89.90
03/01/2018	#00059045508	EURO PACIFIC GROWTH FUND CLASS A	B	0.00	14.30	0.00	0.00	14.30	85.0	12.16
03/01/2018	#00085834157	EURO PACIFIC GROWTH FUND CLASS A	B	0.00	5.11	0.00	0.00	5.11	85.0	4.34
03/01/2018	#00089114280	EURO PACIFIC GROWTH FUND CLASS A	B	0.00	6.97	0.00	0.00	6.97	85.0	5.92
03/01/2018	#00089114571	EURO PACIFIC GROWTH FUND CLASS A	B	0.00	10.93	0.00	0.00	10.93	85.0	9.29
03/01/2018	#00085937930	FUNDAMENTAL INVESTORS INC CLASS A	B	0.00	17.48	0.00	0.00	17.48	85.0	14.86
03/01/2018	#00089114325	FUNDAMENTAL INVESTORS INC CLASS A	B	0.00	7.09	0.00	0.00	7.09	85.0	6.03
03/01/2018	#00074389206	HUMB, LAUREL FUNDAMENTAL INVS INC CL C	B	0.00	34.58	0.00	0.00	34.58	85.0	29.39
03/01/2018	#00089813958	FUNDAMENTAL INVS INC CL C	B	0.00	26.21	0.00	0.00	26.21	85.0	22.28
03/01/2018	#00074389206	HUMB, LAUREL FUNDAMENTAL INVS INC CL F1	B	0.00	2.17	0.00	0.00	2.17	85.0	1.84
03/01/2018	#00058698238	VERGARA, CARLOS GLOBAL GROWTH PORTFOLIO-A	B	0.00	184.81	0.00	0.00	184.81	85.0	157.09
03/01/2018	#00074389206	HUMB, LAUREL GROWTH FUND AMER INC CL C	B	0.00	34.80	0.00	0.00	34.80	85.0	29.58
03/01/2018	#00074389206	HUMB, LAUREL GROWTH FUND AMER INC CL F1	B	0.00	2.19	0.00	0.00	2.19	85.0	1.86
03/01/2018	#00058698238	VERGARA, CARLOS GROWTH FUND AMERICA INC CLASS A	B	0.00	169.29	0.00	0.00	169.29	85.0	143.90
03/01/2018	#00089114280	GROWTH FUND AMERICA INC CLASS A	B	0.00	10.44	0.00	0.00	10.44	85.0	8.87
03/01/2018	#00089114571	GROWTH FUND AMERICA INC CLASS A	B	0.00	25.29	0.00	0.00	25.29	85.0	21.50
03/01/2018	#04000592877	ZUNIGA, FERNANDO GROWTH FUND AMERICA INC CLASS A	B	0.00	0.91	0.00	0.00	0.91	85.0	0.77

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
03/01/2018	#00089114325	INCOME FUND OF AMERICA INC CLASS A B		0.00	7.80	0.00	0.00	7.80	85.0	6.63
03/01/2018	#00058698238	VERGARA, CARLOS INTERMEDIATE BOND FUND OF AMERICA-A B		0.00	75.94	0.00	0.00	75.94	85.0	64.55
03/01/2018	#00088848033	DELTORRER INTERMEDIATE BOND FUND OF AMERICA-A B		0.00	0.47	0.00	0.00	0.47	85.0	0.40
03/01/2018	#00084419230	MELENDEZ, JA INTERNATIONAL GROWTH AND INCOME-A B		0.00	3.61	0.00	0.00	3.61	85.0	3.07
03/01/2018	#00074389206	HUMB, LAUREL INVESTMENT CO AMER CL C	B	0.00	19.26	0.00	0.00	19.26	85.0	16.37
03/01/2018	#00075088110	INVESTMENT CO AMER CL C	B	0.00	105.78	0.00	0.00	105.78	85.0	89.91
03/01/2018	#00088859005	REGALADO, JOSE INVESTMENT CO AMER CL C	B	0.00	215.76	0.00	0.00	215.76	85.0	183.40
03/01/2018	#00089051015	VELACESARE INVESTMENT CO AMER CL C	B	0.00	144.50	0.00	0.00	144.50	85.0	122.83
03/01/2018	#00089128773	VELACESARE INVESTMENT CO AMER CL C	B	0.00	20.84	0.00	0.00	20.84	85.0	17.71
03/01/2018	#04000777598	INVESTMENT CO AMER CL C	B	0.00	45.41	0.00	0.00	45.41	85.0	38.60
03/01/2018	#00058698238	VERGARA, CARLOS INVESTMENT CO AMER CLASS A	B	0.00	132.60	0.00	0.00	132.60	85.0	112.71
03/01/2018	#00059045508	INVESTMENT CO AMER CLASS A	B	0.00	27.34	0.00	0.00	27.34	85.0	23.24
03/01/2018	#00085834157	INVESTMENT CO AMER CLASS A	B	0.00	12.41	0.00	0.00	12.41	85.0	10.55
03/01/2018	#00089114280	INVESTMENT CO AMER CLASS A	B	0.00	30.87	0.00	0.00	30.87	85.0	26.24
03/01/2018	#00089114571	INVESTMENT CO AMER CLASS A	B	0.00	22.58	0.00	0.00	22.58	85.0	19.19
03/01/2018	#00089125404	KELLETJULI INVESTMENT CO AMER CLASS A	B	0.00	2.42	0.00	0.00	2.42	85.0	2.06
03/01/2018	#00067095603	NEW ECONOMY FUND SBI CLASS A	B	0.00	5.87	0.00	0.00	5.87	85.0	4.99
03/01/2018	#00085834157	NEW ECONOMY FUND SBI CLASS A	B	0.00	7.70	0.00	0.00	7.70	85.0	6.55
03/01/2018	#00087938001	NEW ECONOMY FUND SBI CLASS A	B	0.00	6.70	0.00	0.00	6.70	85.0	5.70
03/01/2018	#04000073403	ALEXANDERC NEW ECONOMY FUND SBI CLASS A	B	0.00	0.96	0.00	0.00	0.96	85.0	0.82
03/01/2018	#00074389206	HUMB, LAUREL NEW PERSPECTIVE FUND -F1	B	0.00	6.45	0.00	0.00	6.45	85.0	5.48
03/01/2018	#00075088110	NEW PERSPECTIVE FUND -F1	B	0.00	4.80	0.00	0.00	4.80	85.0	4.08
03/01/2018	#04000777598	NEW PERSPECTIVE FUND -F1	B	0.00	2.18	0.00	0.00	2.18	85.0	1.85
03/01/2018	#00058698238	VERGARA, CARLOS NEW PERSPECTIVE FUND INC CL A	B	0.00	159.18	0.00	0.00	159.18	85.0	135.30
03/01/2018	#00067095603	NEW PERSPECTIVE FUND INC CL A	B	0.00	5.93	0.00	0.00	5.93	85.0	5.04
03/01/2018	#00082145523	NEW PERSPECTIVE FUND INC CL A	B	0.00	1.43	0.00	0.00	1.43	85.0	1.22
03/01/2018	#00085834157	NEW PERSPECTIVE FUND INC CL A	B	0.00	19.47	0.00	0.00	19.47	85.0	16.55
03/01/2018	#00089114325	NEW PERSPECTIVE FUND INC CL A	B	0.00	14.71	0.00	0.00	14.71	85.0	12.50
03/01/2018	#00089114571	NEW PERSPECTIVE FUND INC CL A	B	0.00	9.26	0.00	0.00	9.26	85.0	7.87
03/01/2018	#00089125404	KELLETJULI NEW PERSPECTIVE FUND INC CL A	B	0.00	0.98	0.00	0.00	0.98	85.0	0.83
03/01/2018	#00074389206	HUMB, LAUREL NEW PERSPECTIVE FUND INC CL C	B	0.00	19.21	0.00	0.00	19.21	85.0	16.33
03/01/2018	#00075088110	NEW PERSPECTIVE FUND INC CL C	B	0.00	104.74	0.00	0.00	104.74	85.0	89.03
03/01/2018	#00088859005	REGALADO, JOSE NEW PERSPECTIVE FUND INC CL C	B	0.00	85.36	0.00	0.00	85.36	85.0	72.56
03/01/2018	#00089051015	VELACESARE NEW PERSPECTIVE FUND INC CL C	B	0.00	56.91	0.00	0.00	56.91	85.0	48.37
03/01/2018	#00089128773	VELACESARE NEW PERSPECTIVE FUND INC CL C	B	0.00	8.26	0.00	0.00	8.26	85.0	7.02
03/01/2018	#00089778964	MILLER, THOMAS NEW PERSPECTIVE FUND INC CL C	B	0.00	8.44	0.00	0.00	8.44	85.0	7.17
03/01/2018	#04000777598	NEW PERSPECTIVE FUND INC CL C	B	0.00	45.34	0.00	0.00	45.34	85.0	38.54
03/01/2018	#00086852003	ZUNIGA, FERNANDO NEW PERSPECTIVE FUND-529A	B	0.00	2.16	0.00	0.00	2.16	85.0	1.84
03/01/2018	#00074389206	HUMB, LAUREL NEW WORLD FUND INC CL F1	B	0.00	1.15	0.00	0.00	1.15	85.0	0.98
03/01/2018	#00085834157	NEW WORLD FUND INC NEW	B	0.00	3.82	0.00	0.00	3.82	85.0	3.25

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
03/01/2018	#00089114280	NEW WORLD FUND INC NEW	B	0.00	8.51	0.00	0.00	8.51	85.0	7.23
03/01/2018	#00089114571	NEW WORLD FUND INC NEW	B	0.00	7.76	0.00	0.00	7.76	85.0	6.60
03/01/2018	#00089125404	KELLETJULI	B	0.00	0.99	0.00	0.00	0.99	85.0	0.84
03/01/2018	#00074389206	HUMB, LAUREL	B	0.00	11.65	0.00	0.00	11.65	85.0	9.90
03/01/2018	#00088848033	DELTORRER	B	0.00	28.90	0.00	0.00	28.90	85.0	24.57
03/01/2018	#00088859005	REGALADO, JOSE	B	0.00	81.84	0.00	0.00	81.84	85.0	69.56
03/01/2018	#00089051015	VELACESARE	B	0.00	58.13	0.00	0.00	58.13	85.0	49.41
03/01/2018	#00089128773	VELACESARE	B	0.00	8.44	0.00	0.00	8.44	85.0	7.17
03/01/2018	#00058698238	VERGARA, CARLOS	B	0.00	76.14	0.00	0.00	76.14	85.0	64.72
03/01/2018	#00088848033	DELTORRER	B	0.00	67.78	0.00	0.00	67.78	85.0	57.61
03/01/2018	#00074389206	HUMB, LAUREL	B	0.00	16.78	0.00	0.00	16.78	85.0	14.26
03/01/2018	#00088848033	DELTORRER	B	0.00	14.14	0.00	0.00	14.14	85.0	12.02
03/01/2018	#00088859005	REGALADO, JOSE	B	0.00	40.00	0.00	0.00	40.00	85.0	34.00
03/01/2018	#00089051015	VELACESARE	B	0.00	28.71	0.00	0.00	28.71	85.0	24.40
03/01/2018	#00089128773	VELACESARE	B	0.00	4.17	0.00	0.00	4.17	85.0	3.54
03/01/2018	#00089114280	SMALLCAP WORLD FUND INC CLASS A	B	0.00	3.16	0.00	0.00	3.16	85.0	2.69
03/01/2018	#00089114571	SMALLCAP WORLD FUND INC CLASS A	B	0.00	4.72	0.00	0.00	4.72	85.0	4.01
03/01/2018	#00089125404	KELLETJULI	B	0.00	0.49	0.00	0.00	0.49	85.0	0.42
03/01/2018	#00074389206	HUMB, LAUREL	B	0.00	6.20	0.00	0.00	6.20	85.0	5.27
03/01/2018	#00075088110	THE INVESTMENT COMPANY OF AMERICA F	B	0.00	4.59	0.00	0.00	4.59	85.0	3.90
03/01/2018	#04000777598	THE INVESTMENT COMPANY OF AMERICA F	B	0.00	2.08	0.00	0.00	2.08	85.0	1.77
03/01/2018	#00074389206	HUMB, LAUREL	B	0.00	9.80	0.00	0.00	9.80	85.0	8.33
03/01/2018	#00088848033	DELTORRER	B	0.00	30.75	0.00	0.00	30.75	85.0	26.14
03/01/2018	#00089778964	MILLER, THOMAS	B	0.00	8.98	0.00	0.00	8.98	85.0	7.63
03/01/2018	#00089813971	THE NEW ECONOMY FUND-C	B	0.00	22.11	0.00	0.00	22.11	85.0	18.79
03/01/2018	#00086722477	ZUNIGA, FERNANDO	B	0.00	3.85	0.00	0.00	3.85	85.0	3.27
03/01/2018	#00087948868	MARTINEZ, MARISSA	B	0.00	0.88	0.00	0.00	0.88	85.0	0.75
03/01/2018	#00088532798	MARTINEZ, MARISSA	B	0.00	0.90	0.00	0.00	0.90	85.0	0.77
03/01/2018	#00059045508	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	31.37	0.00	0.00	31.37	85.0	26.66
03/01/2018	#00065067787	RENNER, ERIC	B	0.00	1.73	0.00	0.00	1.73	85.0	1.47
03/01/2018	#00082145523	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	23.30	0.00	0.00	23.30	85.0	19.81
03/01/2018	#00089114280	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	13.99	0.00	0.00	13.99	85.0	11.89
03/01/2018	#00089114325	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	7.29	0.00	0.00	7.29	85.0	6.20
03/01/2018	#00089114571	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	22.00	0.00	0.00	22.00	85.0	18.70
03/08/2018	# CANTU, VIDAL	DAIN TRAILER	B	0.00	6.48	0.00	0.00	6.48	85.0	5.51
03/08/2018	# BENAVIDES, ENRIQUE	DAIN TRAILER	B	0.00	18.36	0.00	0.00	18.36	85.0	15.61
03/08/2018	# ROSILLO, MARIA	DAIN TRAILER	B	0.00	4.02	0.00	0.00	4.02	85.0	3.42
03/09/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	0.96	0.00	0.00	0.96	85.0	0.82
03/09/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	7.13	0.00	0.00	7.13	85.0	6.06
03/09/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	0.68	0.00	0.00	0.68	85.0	0.58

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	2.44	0.00	0.00	2.44	85.0	2.07
03/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	0.98	0.00	0.00	0.98	85.0	0.83
03/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	7.91	0.00	0.00	7.91	85.0	6.72
03/09/2018 #	LAMKIN, DYANNE	DAIN TRAILER	B	0.00	0.85	0.00	0.00	0.85	85.0	0.72
03/09/2018 #	BARRERA, ADRIANA	DAIN TRAILER	B	0.00	6.53	0.00	0.00	6.53	85.0	5.55
03/09/2018 #	BENAVIDES, ENRIQUE	DAIN TRAILER	B	0.00	3.64	0.00	0.00	3.64	85.0	3.09
03/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	3.19	0.00	0.00	3.19	85.0	2.71
03/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	6.10	0.00	0.00	6.10	85.0	5.19
03/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	15.24	0.00	0.00	15.24	85.0	12.95
03/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	13.56	0.00	0.00	13.56	85.0	11.53
03/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	7.84	0.00	0.00	7.84	85.0	6.66
03/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	4.41	0.00	0.00	4.41	85.0	3.75
03/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	0.06	0.00	0.00	0.06	85.0	0.05
03/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	1.56	0.00	0.00	1.56	85.0	1.33
03/09/2018 #	GARCIA, YOLANDA	DAIN TRAILER	B	0.00	6.72	0.00	0.00	6.72	85.0	5.71
03/09/2018 #	RAMIREZ, J EDMUNDO	DAIN TRAILER	B	0.00	2.58	0.00	0.00	2.58	85.0	2.19
03/09/2018 #	CARLOS J VERGARA EXEC	DAIN TRAILER	B	0.00	7.72	0.00	0.00	7.72	85.0	6.56
03/09/2018 #	MARTINEZ, MARISSA	DAIN TRAILER	B	0.00	0.22	0.00	0.00	0.22	85.0	0.19
03/12/2018 #	GARCIA, YOLANDA	DAIN TRAILER	S	5,000.00	0.00	0.00	0.00	0.00	85.0	0.00
03/22/2018 #	CARLOS J VERGARA EXEC	DAIN TRAILER	B	80,000.00	1,600.00	0.00	0.00	1,600.00	85.0	1,360.00
03/22/2018 #	CARLOS J VERGARA EXEC	DAIN TRAILER	B	25,000.00	500.00	0.00	0.00	500.00	85.0	425.00
03/22/2018 #	CARLOS J VERGARA EXEC	DAIN TRAILER	B	38,000.00	760.00	0.00	0.00	760.00	85.0	646.00
03/22/2018 #	CARLOS J VERGARA EXEC	DAIN TRAILER	B	70,000.00	1,400.00	0.00	0.00	1,400.00	85.0	1,190.00
03/23/2018 #	CARLOS J VERGARA EXEC	DAIN TRAILER	B	55,000.00	1,100.00	0.00	0.00	1,100.00	85.0	935.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$273,000.00	\$8,825.27	\$0.00	\$0.00	\$8,825.27		\$7,501.48
*** BROKER TRANSACTIONS TOTAL ***				\$320,149.21	\$9,622.44	\$19.95	\$0.00	\$9,602.49		\$8,162.23
ADJUSTMENTS										
04/20/2018	ADMIN FEE: ADMIN FEE									-390.00
04/20/2018	E & O INSURANCE: E & O INSURANCE									-179.00
04/20/2018	POSTAGE: POSTAGE FEDEX									-14.77
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE									-215.00
04/20/2018	OTHER: OTHER COMMISSION ERROR RBC OVER PAYMENT									-1,155.87
*** ADJUSTMENTS TOTAL ***										\$-1,954.64

BROKER COMMISSION TOTALS

Broker Transactions	\$8,162.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,954.64
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,207.59
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