



All Companies

04/27/2018  
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# COMMISSION STATEMENT

## May 01, 2018

MICHAEL C. MOYNIHAN  
700 Throckmorton

BROKER #: 9528  
BRANCH: HOME OFFICE

Fort Worth, TX 76102

### COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

03/31/2018	TRAILS	-TEXAS 529 PLAN	B	5.49	5.49	0.00	5.49	90.0	4.94
03/31/2018	TRAILS	-TEXAS 529 PLAN	B	0.65	0.65	0.00	0.65	90.0	0.59
03/31/2018	TRAILS	-TEXAS 529 PLAN	B	12.54	12.54	0.00	12.54	90.0	11.29
04/02/2018	RICHWINE, RANDALL	-TEXAS 529 PLAN	B	400.00	16.00	0.00	16.00	90.0	14.40
04/02/2018	HUDGINS/HYDINGER, CHRISTY/ELLERY	-TEXAS 529 PLAN	B	25.00	1.19	0.00	1.19	90.0	1.07
04/02/2018	RICHWINE, RANDALL	-TEXAS 529 PLAN	B	400.00	16.00	0.00	16.00	90.0	14.40
04/10/2018	PARISH, RUSSELL	-TEXAS 529 PLAN	B	150.00	7.13	0.00	7.13	90.0	6.42
04/10/2018	PARISH, RUSSELL/CAROLIN	-TEXAS 529 PLAN	B	150.00	7.13	0.00	7.13	90.0	6.42
04/02/2018	MCEACHERN, JAMES M	FORESTERS FINANCIAL	B	100.00	3.00	0.00	3.00	90.0	2.70
04/02/2018	MCEACHERN, PAIGE A	FORESTERS FINANCIAL	B	100.00	3.00	0.00	3.00	90.0	2.70
04/12/2018	RANDOLPH, DUJON ESPIE	FORESTERS FINANCIAL	B	512.59	25.63	0.00	25.63	90.0	23.07
04/12/2018	SANCHEZ, FIDEL	FORESTERS FINANCIAL	B	650.00	26.00	0.00	26.00	90.0	23.40
04/12/2018	MARTINEZ, LUCIANO	FORESTERS FINANCIAL	B	1,111.39	44.46	0.00	44.46	90.0	40.01
04/12/2018	WHISENAND, JANICE D	FORESTERS FINANCIAL	B	1,657.50	37.31	0.00	37.31	90.0	33.58
04/12/2018	SAUNDERS, MARTHA J.	FORESTERS FINANCIAL	B	910.00	45.50	0.00	45.50	90.0	40.95
04/12/2018	TAPIA, ISIDRO	FORESTERS FINANCIAL	B	879.74	26.40	0.00	26.40	90.0	23.76
04/12/2018	WHISENAND, JANICE D	FORESTERS FINANCIAL	B	1,608.75	36.20	0.00	36.20	90.0	32.58
04/12/2018	WHISENAND, JAMES L	FORESTERS FINANCIAL	B	1,137.50	25.61	0.00	25.61	90.0	23.05
04/12/2018	WHISENAND, MICHAEL D	FORESTERS FINANCIAL	B	1,300.00	39.00	0.00	39.00	90.0	35.10
04/12/2018	WHISENAND, JANICE D	FORESTERS FINANCIAL	B	1,608.75	36.20	0.00	36.20	90.0	32.58
04/12/2018	RANDOLPH, DUJON ESPIE	FORESTERS FINANCIAL	B	512.57	25.63	0.00	25.63	90.0	23.07
04/12/2018	RAMIREZ, VICTOR	FORESTERS FINANCIAL	B	1,096.40	54.83	0.00	54.83	90.0	49.35
04/12/2018	VERA, MANUEL H.	FORESTERS FINANCIAL	B	748.81	37.44	0.00	37.44	90.0	33.70
04/12/2018	CRUZ, PATRICK	FORESTERS FINANCIAL	B	2,328.54	31.43	0.00	31.43	90.0	28.29
04/12/2018	SANCHEZ, NORMA	FORESTERS FINANCIAL	B	530.47	26.53	0.00	26.53	90.0	23.88
04/12/2018	MATSON, VICTOR G.	FORESTERS FINANCIAL	B	525.95	26.30	0.00	26.30	90.0	23.67
04/12/2018	BUSBY, RODNEY	FORESTERS FINANCIAL	B	780.00	39.00	0.00	39.00	90.0	35.10
04/12/2018	STACY, LARRY	FORESTERS FINANCIAL	B	1,190.24	59.52	0.00	59.52	90.0	53.57
04/12/2018	WHISENAND, JAMES MICHAEL	FORESTERS FINANCIAL	B	1,137.50	25.61	0.00	25.61	90.0	23.05
04/09/2018	000000000378962 DUNKIN,	FRANKLIN TEMPLETON CONSERVATIVE TARG B		153.75	5.77	0.00	5.77	90.0	5.19

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	STEPHEN								
04/09/2018	000000000378962 DUNKIN, STEPHEN	FRANKLIN TEMPLETON CONSERVATIVE TARG B		450.00	16.88	0.00	16.88	90.0	15.19
04/09/2018	000000000501561 CHASTEEN, GARRY	FRANKLIN TEMPLTON ALLOC GROWTH TARG B		99.00	4.95	0.00	4.95	90.0	4.46
04/09/2018	000000000501561 CHASTEEN, GARRY	FRANKLIN TEMPLTON ALLOC GROWTH TARG B		166.00	8.30	0.00	8.30	90.0	7.47
04/16/2018	000000000705804 STRAWSER, ROBERT K.	FRK TEMP COREFOLIO ALLOC FND CL - A B		200.00	10.00	0.00	10.00	90.0	9.00
04/09/2018	000000000730349 HARRY, CECIL	FRANKLIN TEMPLTON ALLOC GROWTH TARG B		114.00	5.70	0.00	5.70	90.0	5.13
04/09/2018	000000000730349 HARRY, CECIL	FRANKLIN TEMPLTON ALLOC GROWTH TARG B		114.00	5.70	0.00	5.70	90.0	5.13
04/16/2018	000000000874040 STRAWSER, LAURA	FRK AGGRESSIVE GRWTH - CL A B		150.00	7.50	0.00	7.50	90.0	6.75
04/16/2018	0000000001032921 BLADEN, WILLIAM T.	FRK AGGRESSIVE GRWTH - CL A B		458.33	7.33	0.00	7.33	90.0	6.60
04/16/2018	0000000001281157 BLADEN, WILLIAM /KATHRI	FRK AGGRESSIVE GRWTH - CL A B		100.00	1.60	0.00	1.60	90.0	1.44
04/09/2018	0000000001322181 PENGELLY, AMBER	FRK AGGRESSIVE GRWTH - CL A B		110.00	5.50	0.00	5.50	90.0	4.95
04/09/2018	0000000001322181 PENGELLY, AMBER	FRK AGGRESSIVE GRWTH - CL A B		76.50	3.83	0.00	3.83	90.0	3.45
04/16/2018	0000000001889841 BLADEN, WILLIAM T.	MUTUAL DISC FND - CL A B		100.00	1.60	0.00	1.60	90.0	1.44
04/16/2018	0000000003044308 BLADEN, WILLIAM T.	FRANKLIN MUTUAL SHARES FD CL 1 B		100.00	1.60	0.00	1.60	90.0	1.44
04/16/2018	0000000004809378 BLADEN, KATHRINE	FRK TEMP FOUNDING FUNDS ALLOC FND C B		458.33	7.33	0.00	7.33	90.0	6.60
04/16/2018	0000000006891410 BLADEN, WILLIAM	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B		100.00	1.60	0.00	1.60	90.0	1.44
04/09/2018	0000000007100441 DUNKIN, PHILLIP	MUTUAL DISC FND - CL A B		72.00	2.02	0.00	2.02	90.0	1.82
04/09/2018	0000000007100441 DUNKIN, PHILLIP	MUTUAL DISC FND - CL A B		700.00	19.60	0.00	19.60	90.0	17.64
04/09/2018	0000000007100466 THOMPSON, MICHAEL	MUTUAL DISC FND - CL A B		134.40	5.04	0.00	5.04	90.0	4.54
04/09/2018	0000000007100466 THOMPSON, MICHAEL	MUTUAL DISC FND - CL A B		52.80	1.98	0.00	1.98	90.0	1.78
04/09/2018	0000000007197306 DUNKIN, PHILLIP	FRANKLIN MUTUAL SHARES FD CL 1 B		54.00	1.51	0.00	1.51	90.0	1.36
04/09/2018	0000000007197306 DUNKIN,	FRANKLIN MUTUAL SHARES FD CL 1 B		525.00	14.70	0.00	14.70	90.0	13.23

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	PHILLIP								
04/09/2018	000000007197320 THOMPSON, MICHAEL	FRANKLIN MUTUAL SHARES FD CL 1	B	100.80	3.78	0.00	3.78	90.0	3.40
04/09/2018	000000007197320 THOMPSON, MICHAEL	FRANKLIN MUTUAL SHARES FD CL 1	B	39.60	1.49	0.00	1.49	90.0	1.34
04/16/2018	000000007430553 BLADEN, WILLIAM/BRYNJA	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B		300.00	4.80	0.00	4.80	90.0	4.32
04/16/2018	000013912664577 STRAWSER, LAURA	FRANKLIN SPECIAL EQUITY INCOME FD C B		150.00	7.50	0.00	7.50	90.0	6.75
04/16/2018	000015815261389 BLADEN, WILLIAM T.	FRANKLIN RISING DIVIDEND FD CL I	B	100.00	1.60	0.00	1.60	90.0	1.44
04/05/2018	00001611968 BELCHER, LORENA	LIFESTYLE AGGRESSIVE PORTFOLIO A	B	300.00	12.75	0.00	12.75	90.0	11.48
04/02/2018	00001664121 HUTCHISON, VIKKI	LIFESTYLE GROWTH PORTFOLIO A	B	223.08	6.36	0.00	6.36	90.0	5.72
04/13/2018	00001664121 HUTCHISON, VIKKI	LIFESTYLE GROWTH PORTFOLIO A	B	223.08	6.36	0.00	6.36	90.0	5.72
04/05/2018	00001664145 KANESHIRO, JOAN A	LIFESTYLE GROWTH PORTFOLIO A	B	200.00	8.50	0.00	8.50	90.0	7.65
04/03/2018	00001731052 MITCHELL, NATALIE	LIFESTYLE BALANCED PORTFOLIO A	B	230.00	8.63	0.00	8.63	90.0	7.77
04/02/2018	00001827877 LOTT, JEANNE	LIFESTYLE MODERATE PORTFOLIO C	B	100.00	1.00	0.00	1.00	90.0	0.90
04/09/2018	000019817816174 DUNKIN, PHILLIP	FRANKLIN SMALL CAP GROWTH FD CL I	B	54.00	1.51	0.00	1.51	90.0	1.36
04/09/2018	000019817816174 DUNKIN, PHILLIP	FRANKLIN SMALL CAP GROWTH FD CL I	B	525.00	14.70	0.00	14.70	90.0	13.23
04/09/2018	000019817816186 THOMPSON, MICHAEL	FRANKLIN SMALL CAP GROWTH FD CL I	B	39.60	1.49	0.00	1.49	90.0	1.34
04/09/2018	000019817816186 THOMPSON, MICHAEL	FRANKLIN SMALL CAP GROWTH FD CL I	B	100.80	3.78	0.00	3.78	90.0	3.40
04/02/2018	00052796859 LOTT, BRIAN K	PORTFOLIO 2025-2028 A	B	100.00	4.25	0.00	4.25	90.0	3.83
04/05/2018	00052854186 HALL, HARRISON	PORTFOLIO 2017-2020A	B	500.00	18.75	0.00	18.75	90.0	16.88
04/11/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B		26.15	0.72	0.00	0.72	90.0	0.65
04/11/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B		26.40	0.73	0.00	0.73	90.0	0.66
04/16/2018	00075397174 MCCARTHY, CHRIS/ANNALIE	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
04/16/2018	00075979142 LARA-MONTGOMERY, JENNIFER A	AMERICAN FUNDS CAPITAL WORLD GROWT B		100.00	2.00	0.00	2.00	90.0	1.80
04/16/2018	00081508155 MCCARTHY, CHRIS/OLIVIA	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
04/11/2018	00082140597 DAVILA, JAIME A	AMERICAN FUNDS CAPITAL INCOME BUILD B		25.00	0.69	0.00	0.69	90.0	0.62
04/11/2018	00082140597 DAVILA, JAIME A	AMERICAN FUNDS CAPITAL INCOME BUILD B		26.40	0.73	0.00	0.73	90.0	0.66
04/16/2018	00082630504 THURMAN, MEGAN	AMERICAN FUNDS CAPITAL INCOME BUILD B		50.00	2.50	0.00	2.50	90.0	2.25
04/16/2018	00082697643 MCEACHERN, KYLIE	CAPITAL WORLD GROWTH AND INCOME-529 B		100.00	4.25	0.00	4.25	90.0	3.83
04/16/2018	00083124311 MONTGOMERY, ZANDER	CAPITAL WORLD GROWTH AND INCOME-529 B		75.00	1.50	0.00	1.50	90.0	1.35

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/16/2018	00084124051	PARISH/ALLEN, VICTORIA/JULIE		CAPITAL INCOME BUILDER-529A	B	25.00	1.06	0.00	1.06 90.0 0.95	
04/16/2018	00084124070	PARISH/ALLEN, VICTORIA/JULIE		CAPITAL INCOME BUILDER-529A	B	50.00	2.13	0.00	2.13 90.0 1.92	
04/16/2018	00085752451	MONTGOMERY, ZANDER		CAPITAL WORLD GROWTH AND INCOME-529	B	75.00	1.50	0.00	1.50 90.0 1.35	
04/16/2018	00086824865	BANKS, HEATHER		AMERICAN FUNDS CAPITAL WORLD GROWT	B	74.40	2.79	0.00	2.79 90.0 2.51	
04/16/2018	00086824865	BANKS, HEATHER		AMERICAN FUNDS CAPITAL WORLD GROWT	B	148.81	5.58	0.00	5.58 90.0 5.02	
04/16/2018	00086824865	BANKS, HEATHER		AMERICAN FUNDS NEW ECONOMY FD	B	74.41	2.79	0.00	2.79 90.0 2.51	
04/16/2018	00086824865	BANKS, HEATHER		AMERICAN FUNDS NEW ECONOMY FD	B	148.80	5.58	0.00	5.58 90.0 5.02	
04/16/2018	00087076225	PARISH/ALLEN, VICTORIA/JULIE		CAPITAL WORLD GROWTH AND INCOME-529	B	50.00	2.13	0.00	2.13 90.0 1.92	
04/16/2018	00087416414	WHITE, CINDY C.		GROWTH PORTFOLIO-A	B	150.00	7.50	0.00	7.50 90.0 6.75	
04/16/2018	00088409989	PARISH/ALLEN, VICTORIA/JULIE		COLLEGE 2030 FUND-529A	B	50.00	1.75	0.00	1.75 90.0 1.58	
04/16/2018	00088439412	RICHARDSON, CLAY & COLLEGE 2030 FUND-529A BRIANA			B	500.00	17.50	0.00	17.50 90.0 15.75	
04/16/2018	00088439441	RICHARDSON, CLAY & COLLEGE 2030 FUND-529A BRIANA			B	500.00	17.50	0.00	17.50 90.0 15.75	
04/11/2018	00088625904	SHUMATE, BRENDA K		AMERICAN FUNDS 2020 TARGET DATE-A	B	81.89	2.25	0.00	2.25 90.0 2.03	
04/11/2018	00088625904	SHUMATE, BRENDA K		AMERICAN FUNDS 2020 TARGET DATE-A	B	150.00	4.13	0.00	4.13 90.0 3.72	
04/11/2018	00088628251	GRINDELE, RUSSELL G.		AMERICAN FUNDS 2025 TARGET DATE-A	B	26.40	0.73	0.00	0.73 90.0 0.66	
04/11/2018	00088628251	GRINDELE, RUSSELL G.		AMERICAN FUNDS 2025 TARGET DATE-A	B	26.40	0.73	0.00	0.73 90.0 0.66	
04/16/2018	00089201070	PARISH, SAMMY/CALEB		COLLEGE 2033 FUND-529A	B	50.00	1.75	0.00	1.75 90.0 1.58	
04/11/2018	00089316769	JIMENEZ, MANUEL		AMERICAN FUNDS 2020 TARGET DATE-A	B	10.00	0.28	0.00	0.28 90.0 0.25	
04/11/2018	00089316769	JIMENEZ, MANUEL		AMERICAN FUNDS 2020 TARGET DATE-A	B	10.00	0.28	0.00	0.28 90.0 0.25	
04/11/2018	00089357575	CONTRERAS, JUAN J.		AMERICAN FUNDS 2020 TARGET DATE-A	B	70.00	1.93	0.00	1.93 90.0 1.74	
04/11/2018	00089357575	CONTRERAS, JUAN J.		AMERICAN FUNDS 2020 TARGET DATE-A	B	28.80	0.79	0.00	0.79 90.0 0.71	
04/04/2018	01471247542	OWENS, CATHERINE J.		FIDELITY ADV ASSET MANAGER 85%-CL A B		458.33	22.92	0.00	22.92 90.0 20.63	
04/16/2018	04000405006	HILLMAN, DUSTIN		COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50 90.0 3.15	
04/17/2018	04000928379	UNRUH, TIFFANY L.		AMERICAN FUNDS 2040 TARGET DATE-A	B	100.00	5.00	0.00	5.00 90.0 4.50	
04/05/2018	07000073711	MINTER, MERIDITH		PRINCIPAL INVESTORS SAM STRAT GRO ( B		229.16	9.17	0.00	9.17 90.0 8.25	
04/05/2018	07000073711	MINTER, MERIDITH		PRINCIPAL INVESTORS WEST COAST EQTY B		229.17	9.17	0.00	9.17 90.0 8.25	
04/05/2018	08900188177	MINTER, MERIDITH		PRINCIPAL INVESTORS SAM CONSERV GRO B		1,000.00	10.00	0.00	10.00 90.0 9.00	
04/02/2018	5551032020	WHITT, CHAD M.		PORTFOLIO SERIES: EQUITY INVESTOR F B		200.00	9.50	0.00	9.50 90.0 8.55	
				* MUTUAL FUNDS SUBTOTAL *		\$34,489.97	\$1,155.34	\$0.00	\$1,155.34	\$1,039.89

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
04/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	146.49	146.49	0.00	146.49	90.0	131.84
		<b>* STOCKS SUBTOTAL *</b>		<b>\$146.49</b>	<b>\$146.49</b>	<b>\$0.00</b>	<b>\$146.49</b>		<b>\$131.84</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
04/16/2018	RICHARDSON, BRIANA	-JACKSON NATIONAL	B	5,000.00	62.50	0.00	62.50	90.0	56.25
04/06/2018	TRAILS	-JOHN HANCOCK	B	108.61	108.61	0.00	108.61	90.0	97.75
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	27.63	27.63	0.00	27.63	90.0	24.87
04/06/2018	TRAILS	GENWORTH LIFE ANNUITY	B	57.29	57.29	0.00	57.29	90.0	51.56
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>		<b>\$5,193.53</b>	<b>\$256.03</b>	<b>\$0.00</b>	<b>\$256.03</b>		<b>\$230.43</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
04/13/2018	11567839 BARTON, LISA D	SIGNAL SECURITIES RIA	B	0.00	7.67	0.00	7.67	90.0	6.90
04/13/2018	11750216 HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	95.33	0.00	95.33	90.0	85.80
04/13/2018	11754268 KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	21.33	0.00	21.33	90.0	19.20
04/13/2018	13004139 SUTTON, TERRE I	SIGNAL SECURITIES RIA	B	0.00	34.00	0.00	34.00	90.0	30.60
04/13/2018	14849603 SCASTA, BRANDI	SIGNAL SECURITIES RIA	B	0.00	16.67	0.00	16.67	90.0	15.00
04/13/2018	15090246 RICHWINE, RANDALL & KIMBE	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	90.0	9.00
04/13/2018	15327103 PARISH, VICTORIA J	SIGNAL SECURITIES RIA	B	0.00	42.33	0.00	42.33	90.0	38.10
04/13/2018	15535924 HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	779.00	0.00	779.00	90.0	701.10
04/13/2018	15961193 RICE, JULIE D	SIGNAL SECURITIES RIA	B	0.00	142.67	0.00	142.67	90.0	128.40
04/13/2018	16538757 HANKINS, GARY & DEBRA	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	90.0	9.30
04/13/2018	16731246 HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	90.0	0.60
04/13/2018	16737554 BROWN, WILMA JO	SIGNAL SECURITIES RIA	B	0.00	220.00	0.00	220.00	90.0	198.00
04/13/2018	16830093 HALL, MICHAEL & AIMEE	SIGNAL SECURITIES RIA	B	0.00	1,065.67	0.00	1,065.67	90.0	959.10
04/13/2018	19861374 GOLDENBERG, KRISTY	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
04/13/2018	20873922 WEST, MARSHA R	SIGNAL SECURITIES RIA	B	0.00	36.33	0.00	36.33	90.0	32.70
04/13/2018	20966370 MCCARTHY, CHRIS/CANDICE	SIGNAL SECURITIES RIA	B	0.00	4.00	0.00	4.00	90.0	3.60
04/13/2018	21210794 KIGER, MICHAEL K	SIGNAL SECURITIES RIA	B	0.00	189.00	0.00	189.00	90.0	170.10
04/13/2018	21603998 PALO, REBECCA K.	SIGNAL SECURITIES RIA	B	0.00	71.67	0.00	71.67	90.0	64.50
04/13/2018	22006691 MCCOY, RAYMOND/LINDA	SIGNAL SECURITIES RIA	B	0.00	580.00	0.00	580.00	90.0	522.00
04/13/2018	22739240 COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	27.33	0.00	27.33	90.0	24.60
04/13/2018	23822702 STRAWSER, ROBERT KEVIN	SIGNAL SECURITIES RIA	B	0.00	310.00	0.00	310.00	90.0	279.00
04/13/2018	25342680 VISTINE, TIM A	SIGNAL SECURITIES RIA	B	0.00	16.33	0.00	16.33	90.0	14.70
04/13/2018	26871153 VISTINE, CHARLES DAVID	SIGNAL SECURITIES RIA	B	0.00	174.33	0.00	174.33	90.0	156.90
04/13/2018	27056511 SUTTON, TERRE I	SIGNAL SECURITIES RIA	B	0.00	147.67	0.00	147.67	90.0	132.90

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	27336748 SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67	90.0	20.40
04/13/2018	27636763 MCCRANIE, JACK & PERRI	SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00	90.0	18.00
04/13/2018	29148734 POWELL, LAMONT	SIGNAL SECURITIES RIA	B	0.00	173.33	0.00	173.33	90.0	156.00
04/13/2018	29861027 ZIMMERMAN, BROCK S	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	90.0	12.00
04/13/2018	30118591 PARISH, RUSSELL & KELLY	SIGNAL SECURITIES RIA	B	0.00	188.00	0.00	188.00	90.0	169.20
04/13/2018	30212369 COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	71.67	0.00	71.67	90.0	64.50
04/13/2018	30854428 HARTMAN, MATTIE E	SIGNAL SECURITIES RIA	B	0.00	40.67	0.00	40.67	90.0	36.60
04/13/2018	30933785 JAYMES, SHELEEN A	SIGNAL SECURITIES RIA	B	0.00	72.67	0.00	72.67	90.0	65.40
04/13/2018	31462737 BOBER, KATHERINE K	SIGNAL SECURITIES RIA	B	0.00	23.67	0.00	23.67	90.0	21.30
04/13/2018	31660678 SPENCER, KELLI/ABBY	SIGNAL SECURITIES RIA	B	0.00	9.67	0.00	9.67	90.0	8.70
04/13/2018	31805488 ZIMMERMAN, MARY ALYCE	SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67	90.0	20.40
04/13/2018	31811649 WILLIAMS, BRENDA ANN	SIGNAL SECURITIES RIA	B	0.00	25.33	0.00	25.33	90.0	22.80
04/13/2018	32562790 SPENCER, KELLI S	SIGNAL SECURITIES RIA	B	0.00	216.00	0.00	216.00	90.0	194.40
04/13/2018	33609517 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	69.67	0.00	69.67	90.0	62.70
04/13/2018	33996338 CAMPBELL, IAN & MARY	SIGNAL SECURITIES RIA	B	0.00	153.00	0.00	153.00	90.0	137.70
04/13/2018	34850080 RICE, BRIAN & JULIE	SIGNAL SECURITIES RIA	B	0.00	220.00	0.00	220.00	90.0	198.00
04/13/2018	36650710 GOLDENBERG, ADAM M	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
04/13/2018	36816725 PHILP, JAMES & BETH	SIGNAL SECURITIES RIA	B	0.00	642.67	0.00	642.67	90.0	578.40
04/13/2018	37455579 HILLMAN, DUSTIN & CHELSY	SIGNAL SECURITIES RIA	B	0.00	28.00	0.00	28.00	90.0	25.20
04/13/2018	37648579 LUBWAMA, ADRIAN & ROSETT	SIGNAL SECURITIES RIA	B	0.00	8.33	0.00	8.33	90.0	7.50
04/13/2018	38767645 LOONEY, RANDY L	SIGNAL SECURITIES RIA	B	0.00	168.67	0.00	168.67	90.0	151.80
04/13/2018	38839950 CAMPBELL, MARY G	SIGNAL SECURITIES RIA	B	0.00	171.33	0.00	171.33	90.0	154.20
04/13/2018	39267061 KASPER, ANTHONY T	SIGNAL SECURITIES RIA	B	0.00	44.00	0.00	44.00	90.0	39.60
04/13/2018	39273336 PARISH, SAM D	SIGNAL SECURITIES RIA	B	0.00	136.00	0.00	136.00	90.0	122.40
04/13/2018	39438762 LUCUS, THOMAS BRENT	SIGNAL SECURITIES RIA	B	0.00	119.33	0.00	119.33	90.0	107.40
04/13/2018	39508930 LIPP, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
04/13/2018	39898429 BELCHER, TAMMY JEAN	SIGNAL SECURITIES RIA	B	0.00	50.67	0.00	50.67	90.0	45.60
04/13/2018	40149138 SAUNDERS, MARTHA	SIGNAL SECURITIES RIA	B	0.00	45.67	0.00	45.67	90.0	41.10
04/13/2018	40488610 SHISLER, LOREN W/JOYCE	SIGNAL SECURITIES RIA	B	0.00	171.33	0.00	171.33	90.0	154.20
04/13/2018	41387564 HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	165.67	0.00	165.67	90.0	149.10
04/13/2018	41427542 MEADE, ROBERT & GAIL	SIGNAL SECURITIES RIA	B	0.00	262.67	0.00	262.67	90.0	236.40
04/13/2018	41566521 MCCARTHY, CANDICE M	SIGNAL SECURITIES RIA	B	0.00	42.00	0.00	42.00	90.0	37.80
04/13/2018	41607521 MCCARTHY, CHRIS/CANDICE	SIGNAL SECURITIES RIA	B	0.00	39.67	0.00	39.67	90.0	35.70

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	41778487 VISTINE, TIM A	SIGNAL SECURITIES RIA	B	0.00	114.00	0.00	114.00	90.0	102.60
04/13/2018	43046430 BETTIS, ZACHERY & ASHLE	SIGNAL SECURITIES RIA	B	0.00	5.00	0.00	5.00	90.0	4.50
04/13/2018	44608676 MACNAUGHTON, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	51.33	0.00	51.33	90.0	46.20
04/13/2018	44819267 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	122.00	0.00	122.00	90.0	109.80
04/13/2018	45214078 SAUNDERS, MARTHA	SIGNAL SECURITIES RIA	B	0.00	15.33	0.00	15.33	90.0	13.80
04/13/2018	45397194 CAMPBELL, IAN & MARY	SIGNAL SECURITIES RIA	B	0.00	272.00	0.00	272.00	90.0	244.80
04/13/2018	45838820 COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	43.00	0.00	43.00	90.0	38.70
04/13/2018	46403906 ROYAL, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	55.67	0.00	55.67	90.0	50.10
04/13/2018	46599623 RICHWINE, RANDALL & KIMBE	SIGNAL SECURITIES RIA	B	0.00	41.00	0.00	41.00	90.0	36.90
04/13/2018	48515094 SHISLER, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	6.67	0.00	6.67	90.0	6.00
04/13/2018	49164045 BETTIS, ZACHERY & ASHLE	SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33	90.0	5.70
04/13/2018	49201215 RICHARDSON, CLAY & BRIANA	SIGNAL SECURITIES RIA	B	0.00	432.67	0.00	432.67	90.0	389.40
04/13/2018	49301407 HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	758.33	0.00	758.33	90.0	682.50
04/13/2018	49711323 RUSSELL, JAMES E	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
04/13/2018	50668393 MILLER FAMILY TRUST, LARRY/ANDREA	SIGNAL SECURITIES RIA	B	0.00	60.67	0.00	60.67	90.0	54.60
04/13/2018	50743604 RICE, BRIAN & JULIE	SIGNAL SECURITIES RIA	B	0.00	104.33	0.00	104.33	90.0	93.90
04/13/2018	51491652 MOYNIHAN, LINDA A.	SIGNAL SECURITIES RIA	B	0.00	14.67	0.00	14.67	90.0	13.20
04/13/2018	52388846 MOYNIHAN, LINDA A.	SIGNAL SECURITIES RIA	B	0.00	8.33	0.00	8.33	90.0	7.50
04/13/2018	53144778 LUBWAMA, ROSETTE	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
04/13/2018	53175378 WILLIAMS III, THEODORE/BRENDA	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
04/13/2018	53186569 RANEY, JASON	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	90.0	0.60
04/13/2018	54673339 ZIMMERMAN, NOAH B	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
04/13/2018	54771115 HYDINGER, DANIEL/MICHELLE	SIGNAL SECURITIES RIA	B	0.00	336.67	0.00	336.67	90.0	303.00
04/13/2018	54860066 BURKETT, MICHAEL R	SIGNAL SECURITIES RIA	B	0.00	357.67	0.00	357.67	90.0	321.90
04/13/2018	55421837 SPENCER, KELLI/JEFFREY	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	90.0	9.30
04/13/2018	55632540 DELGADO, EDUARDO/ P GAIL	SIGNAL SECURITIES RIA	B	0.00	364.00	0.00	364.00	90.0	327.60
04/13/2018	55689243 PARISH, KELLY PAIGE	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80
04/13/2018	56480647 KEITH, CHARLOTTE MEEK	SIGNAL SECURITIES RIA	B	0.00	227.00	0.00	227.00	90.0	204.30
04/13/2018	56483663 SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	90.0	17.70
04/13/2018	58128434 LIPP, CHRISTOPHER S	SIGNAL SECURITIES RIA	B	0.00	29.33	0.00	29.33	90.0	26.40

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: RIA</b>									
04/13/2018	58720438 LIPP, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
04/13/2018	58847212 HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	75.67	0.00	75.67	90.0	68.10
04/13/2018	59247893 PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	207.33	0.00	207.33	90.0	186.60
04/13/2018	59303330 GETTO, MARY VIRGINIA	SIGNAL SECURITIES RIA	B	0.00	101.33	0.00	101.33	90.0	91.20
04/13/2018	59483304 KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	320.33	0.00	320.33	90.0	288.30
04/13/2018	60172642 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	83.00	0.00	83.00	90.0	74.70
04/13/2018	60176438 HUTCHISON, ROBERT & VIKKI	SIGNAL SECURITIES RIA	B	0.00	207.67	0.00	207.67	90.0	186.90
04/13/2018	60650863 BREAU, SANDRA K	SIGNAL SECURITIES RIA	B	0.00	182.33	0.00	182.33	90.0	164.10
04/13/2018	62006841 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	64.00	0.00	64.00	90.0	57.60
04/13/2018	63523092 BREAU, SANDRA K	SIGNAL SECURITIES RIA	B	0.00	76.33	0.00	76.33	90.0	68.70
04/13/2018	63534306 WATKINS, RICHARD/ ASHLEY	SIGNAL SECURITIES RIA	B	0.00	57.67	0.00	57.67	90.0	51.90
04/13/2018	65038561 PALO, REBECCA K.	SIGNAL SECURITIES RIA	B	0.00	28.00	0.00	28.00	90.0	25.20
04/13/2018	65071642 LUBWAMA, ADRIAN & ROSETT	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80
04/13/2018	65960021 REEVES/HARRIS, TYLER/COURTNEY	SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33	90.0	3.00
04/13/2018	66465361 MUFUNBIRO, LISA M	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
04/13/2018	66475080 PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	90.67	0.00	90.67	90.0	81.60
04/13/2018	66477226 SCASTA, STEPHEN	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
04/13/2018	67017804 COTE, PATTY	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
04/13/2018	67138671 BOBER, THOMAS & KATHY	SIGNAL SECURITIES RIA	B	0.00	53.33	0.00	53.33	90.0	48.00
04/13/2018	67763155 COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	90.67	0.00	90.67	90.0	81.60
04/13/2018	69525005 GOLDEN, DARRYL LYNN	SIGNAL SECURITIES RIA	B	0.00	218.33	0.00	218.33	90.0	196.50
04/13/2018	71272747 PARISH, WESLEY & LESLIE	SIGNAL SECURITIES RIA	B	0.00	333.67	0.00	333.67	90.0	300.30
04/13/2018	71349299 WEST TEST. TRUST, DAVID S	SIGNAL SECURITIES RIA	B	0.00	441.67	0.00	441.67	90.0	397.50
04/13/2018	71376962 SCOTT, TIMOTHY L	SIGNAL SECURITIES RIA	B	0.00	194.00	0.00	194.00	90.0	174.60
04/13/2018	71717760 ZIMMERMAN, W B &MARY ALYCE	SIGNAL SECURITIES RIA	B	0.00	262.33	0.00	262.33	90.0	236.10
04/13/2018	72586770 PARISH, SAM D	SIGNAL SECURITIES RIA	B	0.00	382.33	0.00	382.33	90.0	344.10
04/13/2018	72722158 PHILP, REBECCA ELIZABE	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	90.0	14.40
04/13/2018	73441084 COX, SHARON	SIGNAL SECURITIES RIA	B	0.00	118.33	0.00	118.33	90.0	106.50
04/13/2018	74258191 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
04/13/2018	74717597 HUBBARD, MARK R	SIGNAL SECURITIES RIA	B	0.00	35.67	0.00	35.67	90.0	32.10
04/13/2018	74742534 SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70



COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
04/13/2018	75508848	GETTO, MARY VIRGINIA	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	90.0	14.40
04/13/2018	75899513	BRYAN, ROBERT/MELISSA	SIGNAL SECURITIES RIA	B	0.00	23.67	0.00	23.67	90.0	21.30
04/13/2018	76384301	RANEY, JASON	SIGNAL SECURITIES RIA	B	0.00	39.67	0.00	39.67	90.0	35.70
04/13/2018	77083119	WEST, MARSHA R	SIGNAL SECURITIES RIA	B	0.00	62.67	0.00	62.67	90.0	56.40
04/13/2018	78079060	LEON, ASHLEY MICHELLE	SIGNAL SECURITIES RIA	B	0.00	38.33	0.00	38.33	90.0	34.50
04/13/2018	78489883	SHISLER, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	87.00	0.00	87.00	90.0	78.30
04/13/2018	80005105	SMITH, AVONNE M	SIGNAL SECURITIES RIA	B	0.00	91.00	0.00	91.00	90.0	81.90
04/13/2018	80455638	RUSSELL, JAMES E	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
04/13/2018	81582307	WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	236.67	0.00	236.67	90.0	213.00
04/13/2018	82214691	HARRIS, GARY D	SIGNAL SECURITIES RIA	B	0.00	151.33	0.00	151.33	90.0	136.20
04/13/2018	82904951	HUBBARD, MARK R	SIGNAL SECURITIES RIA	B	0.00	46.33	0.00	46.33	90.0	41.70
04/13/2018	83237717	MOYNIHAN, P. JOHN	SIGNAL SECURITIES RIA	B	0.00	72.00	0.00	72.00	90.0	64.80
04/13/2018	83318344	KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	29.00	0.00	29.00	90.0	26.10
04/13/2018	83532025	LANCE, CHERYL L	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
04/13/2018	83978523	ADKINS, PEGGY R	SIGNAL SECURITIES RIA	B	0.00	63.33	0.00	63.33	90.0	57.00
04/13/2018	84984988	HALL, ALISA LYNN	SIGNAL SECURITIES RIA	B	0.00	85.00	0.00	85.00	90.0	76.50
04/13/2018	85627507	RUSSELL, CHRISTINE C	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
04/13/2018	85704471	FOWLKES, STEVEN & ELLEN	SIGNAL SECURITIES RIA	B	0.00	1,887.00	0.00	1,887.00	90.0	1,698.30
04/13/2018	86788791	ZIMMERMAN, ZACHARY J	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
04/13/2018	88805216	WILLIAMS, BRENDA ANN	SIGNAL SECURITIES RIA	B	0.00	34.00	0.00	34.00	90.0	30.60
04/13/2018	89271206	TESTA, JOSEPH A	SIGNAL SECURITIES RIA	B	0.00	281.00	0.00	281.00	90.0	252.90
04/13/2018	90142952	HARTMAN, CRAIG T	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	90.0	17.70
04/13/2018	90584473	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	50.33	0.00	50.33	90.0	45.30
04/13/2018	91672459	TOWNSEND, MARILYN & LAWSO	SIGNAL SECURITIES RIA	B	0.00	49.00	0.00	49.00	90.0	44.10
04/13/2018	91748202	HARRIS, COURTNEY A	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
04/13/2018	91781366	LIPP, CHRISTOPHER S	SIGNAL SECURITIES RIA	B	0.00	39.67	0.00	39.67	90.0	35.70
04/13/2018	92167936	REEVES/HARRIS, TYLER/COURTNEY	SIGNAL SECURITIES RIA	B	0.00	1.00	0.00	1.00	90.0	0.90
04/13/2018	92531509	BUELL, JEFFREY & CHARL F.	SIGNAL SECURITIES RIA	B	0.00	238.67	0.00	238.67	90.0	214.80
04/13/2018	92627603	BARTON, LISA D	SIGNAL SECURITIES RIA	B	0.00	93.33	0.00	93.33	90.0	84.00
04/13/2018	95074654	DOYLE, TERESA M	SIGNAL SECURITIES RIA	B	0.00	39.67	0.00	39.67	90.0	35.70
04/13/2018	95140665	WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	90.0	9.00
04/13/2018	95683095	LANCE, CHERYL L	SIGNAL SECURITIES RIA	B	0.00	52.00	0.00	52.00	90.0	46.80
04/13/2018	95788083	LUCUS, LADONNA	SIGNAL SECURITIES RIA	B	0.00	131.00	0.00	131.00	90.0	117.90
04/13/2018	96521624	MOYNIHAN, P. JOHN	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
04/13/2018	97505602	SPENCER, KELLI S	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	90.0	17.70
04/13/2018	97611628	MILLER FAMILY TRUST,	SIGNAL SECURITIES RIA	B	0.00	307.33	0.00	307.33	90.0	276.60

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
	LARRY/ANDREA								
04/13/2018	97754476 WILLIAMS III,	SIGNAL SECURITIES RIA	B	0.00	33.67	0.00	33.67	90.0	30.30
	THEODORE/BRENDA								
04/13/2018	98213803 SASSMAN,	SIGNAL SECURITIES RIA	B	0.00	437.00	0.00	437.00	90.0	393.30
	KATHLEEN/JAMES								
04/13/2018	99473573 MACNAUGHTON, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67	90.0	20.40
		<b>* RIA SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$19,542.37</b>	<b>\$0.00</b>	<b>\$19,542.37</b>		<b>\$17,588.10</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
05/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	633.98	0.00	633.98	90.0	570.63
05/01/2018	TRAIL COMMISSIONS	PRINCIPAL MUTUAL FUNDS FEES		0.00	11.40	0.00	11.40	90.0	10.26
05/01/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	21.45	0.00	21.45	90.0	19.31
05/01/2018	TRAIL COMMISSIONS	ALLIANCE BERNSTEIN FUNDS FEES		0.00	28.71	0.00	28.71	90.0	25.84
05/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	2.96	0.00	2.96	90.0	2.66
05/01/2018	TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES		0.00	10.47	0.00	10.47	90.0	9.42
05/01/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	1,453.73	0.00	1,453.73	90.0	1,308.36
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$2,162.70</b>	<b>\$0.00</b>	<b>\$2,162.70</b>		<b>\$1,946.48</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$39,829.99</b>	<b>\$23,262.93</b>	<b>\$0.00</b>	<b>\$23,262.93</b>		<b>\$20,936.74</b>
<b><u>SPLIT TRANSACTIONS</u></b>									
<b><u>BROKER: SNOW/MOYNIHAN #9543</u></b>									
04/13/2018	47895792 HICKERSON, JAMIE	SIGNAL SECURITIES RIA	B	0.00	168.27	0.00	168.27	90.0	151.44
	MARJORIE								
04/13/2018	75756775 HICKERSON, JAMIE	SIGNAL SECURITIES RIA	B	0.00	104.67	0.00	104.67	90.0	94.20
	MARJORIE								
04/13/2018	83385351 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	14.67	0.00	14.67	90.0	13.20
04/13/2018	91616746 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	6.80	0.00	6.80	90.0	6.12
		<b>* SNOW/MOYNIHAN SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$294.41</b>	<b>\$0.00</b>	<b>\$294.41</b>		<b>\$264.96</b>
<b><u>BROKER: SINGLETON/MOYNIHAN #9595</u></b>									
04/13/2018	15381199 WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	80.00	0.00	80.00	90.0	72.00
04/13/2018	17599493 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	62.66	0.00	62.66	90.0	56.39
04/13/2018	31646344 DAVIS, RUFUS L	SIGNAL SECURITIES RIA	B	0.00	104.16	0.00	104.16	90.0	93.74
04/13/2018	41564753 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	12.50	0.00	12.50	90.0	11.25
04/13/2018	41989330 YOUNGBERG, THOMAS N	SIGNAL SECURITIES RIA	B	0.00	53.66	0.00	53.66	90.0	48.29
04/13/2018	42529214 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	79.50	0.00	79.50	90.0	71.55
04/13/2018	45771580 WARREN, THOMAS & SUSAN	SIGNAL SECURITIES RIA	B	0.00	125.00	0.00	125.00	90.0	112.50

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>									
<b><u>BROKER: SINGLETON/MOYNIHAN #9595</u></b>									
04/13/2018	51406766 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	93.50	0.00	93.50	90.0	84.15
04/13/2018	62437500 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	241.83	0.00	241.83	90.0	217.65
04/13/2018	63181693 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	88.33	0.00	88.33	90.0	79.50
04/13/2018	67846422 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	0.83	0.00	0.83	90.0	0.75
04/13/2018	68333401 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	63.66	0.00	63.66	90.0	57.29
04/13/2018	69683604 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	63.50	0.00	63.50	90.0	57.15
04/13/2018	82910782 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	57.50	0.00	57.50	90.0	51.75
04/13/2018	86316364 WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	61.66	0.00	61.66	90.0	55.49
* SINGLETON/MOYNIHAN SUBTOTAL *				<u>\$0.00</u>	<u>\$1,188.29</u>	<u>\$0.00</u>	<u>\$1,188.29</u>		<u>\$1,069.45</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$1,482.70</u>	<u>\$0.00</u>	<u>\$1,482.70</u>		<u>\$1,334.41</u>

**VERRIDE TRANSACTIONS**

<b><u>BROKER: THOMPSON/SIGNAL #9622</u></b>									
04/13/2018	35296442 DAUM (SEPARATE PROP), JERRY F	SIGNAL SECURITIES RIA	B	0.00	5,145.67	0.00	5,145.67		283.01
* THOMPSON/SIGNAL SUBTOTAL *				<u>\$0.00</u>	<u>\$5,145.67</u>	<u>\$0.00</u>	<u>\$5,145.67</u>		<u>\$283.01</u>
*** OVERRIDE TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$5,145.67</u>	<u>\$0.00</u>	<u>\$5,145.67</u>		<u>\$283.01</u>

**ADJUSTMENTS**

05/01/2018	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
05/01/2018	RENT: 1/2 MONTH			0.00	0.00	0.00	0.00		-125.00
05/01/2018	STATE REG: VIRGINIA (RIA)			0.00	0.00	0.00	0.00		-40.00
05/01/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-292.50</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$20,936.74
Split Transactions	\$1,334.41
Override Transactions	\$283.01
Adjustments	\$-292.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$22,261.66
Year-to-date Earnings	\$115,741.09