



Concorde Investment Services, LLC

03/13/2018

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COMMISSION STATEMENT

March 15, 2018

JEFF/JASON, K148 KAUFMAN/KEMBLE

BROKER #: K148

BRANCH: JEFFREY KAUFMAN

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^02/20/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	19.85	0.20	0.00	0.20	100.0	0.00
^02/20/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	19.85	0.20	0.00	0.20	100.0	0.00
^02/20/2018	MILLER, PATRICIA	AM FUNDS AMERICAN BALANCED A	451.89	16.95	0.00	16.95	100.0	0.00
^02/21/2018	MO/TOD	AM FUNDS AMERICAN BALANCED A	50,000.00	1,375.00	0.00	1,375.00	100.0	0.00
^02/20/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.28	0.00	0.28	100.0	0.00
^02/20/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.28	0.00	0.28	100.0	0.00
^02/20/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	64.38	0.64	0.00	0.64	100.0	0.00
^02/20/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	64.38	0.64	0.00	0.64	100.0	0.00
^02/27/2018	LARA, MONA	AM FUNDS EUROPACIFIC GROWTH FUND A	1,649.73	82.49	0.00	82.49	100.0	0.00
^02/27/2018	LARA, MONA	AM FUNDS EUROPACIFIC GROWTH FUND A	1,798.35	89.92	0.00	89.92	100.0	0.00
^02/20/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	45.40	0.45	0.00	0.45	100.0	0.00
^02/20/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	45.40	0.45	0.00	0.45	100.0	0.00
^02/20/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	60.51	0.61	0.00	0.61	100.0	0.00
^02/20/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	60.51	0.61	0.00	0.61	100.0	0.00
^02/20/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	520.84	22.14	0.00	22.14	100.0	0.00
^02/20/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	90.54	3.85	0.00	3.85	100.0	0.00
^02/20/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.00	0.24	0.00	0.24	100.0	0.00
^02/20/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.18	0.00	0.18	100.0	0.00
^02/20/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	56.71	0.57	0.00	0.57	100.0	0.00
^02/20/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	56.71	0.57	0.00	0.57	100.0	0.00
^02/20/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.12	0.00	0.12	100.0	0.00
^02/20/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.12	0.00	0.12	100.0	0.00
^02/20/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.29	0.00	0.29	100.0	0.00
^02/20/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.29	0.00	0.29	100.0	0.00
^02/20/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	520.84	22.14	0.00	22.14	100.0	0.00
^02/20/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	19.36	0.82	0.00	0.82	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^02/20/2018	BAILEY, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	60.00	3.00	0.00	3.00	100.0	0.00
^02/20/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	25.72	0.26	0.00	0.26	100.0	0.00
^02/20/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	13.32	0.13	0.00	0.13	100.0	0.00
^02/20/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	55.25	0.55	0.00	0.55	100.0	0.00
^02/20/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	55.25	0.55	0.00	0.55	100.0	0.00
^02/20/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	44.45	0.44	0.00	0.44	100.0	0.00
^02/20/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	44.45	0.44	0.00	0.44	100.0	0.00
^02/20/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.49	0.00	0.49	100.0	0.00
^02/20/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.49	0.00	0.49	100.0	0.00
^02/20/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	39.83	0.40	0.00	0.40	100.0	0.00
^02/20/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	39.83	0.40	0.00	0.40	100.0	0.00
^02/20/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	75.43	0.75	0.00	0.75	100.0	0.00
^02/20/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	75.43	0.75	0.00	0.75	100.0	0.00
^02/20/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	58.45	0.58	0.00	0.58	100.0	0.00
^02/20/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	25.05	0.25	0.00	0.25	100.0	0.00
^02/20/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	10.78	0.11	0.00	0.11	100.0	0.00
^02/20/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	10.78	0.11	0.00	0.11	100.0	0.00
^02/20/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	28.62	0.29	0.00	0.29	100.0	0.00
^02/20/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	28.62	0.29	0.00	0.29	100.0	0.00
^02/20/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.43	0.00	0.43	100.0	0.00
^02/20/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.43	0.00	0.43	100.0	0.00
^02/20/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.31	0.00	0.31	100.0	0.00
^02/20/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.31	0.00	0.31	100.0	0.00
^02/20/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.37	0.00	0.37	100.0	0.00
^02/20/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.37	0.00	0.37	100.0	0.00
^02/20/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	36.07	0.36	0.00	0.36	100.0	0.00
^02/20/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	36.07	0.36	0.00	0.36	100.0	0.00
^02/20/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	100.0	0.00
^02/20/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	10.89	0.11	0.00	0.11	100.0	0.00
^02/20/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	10.89	0.11	0.00	0.11	100.0	0.00
^02/21/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	0.00
^02/26/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	11.45	0.00	11.45	100.0	0.00
^02/26/2018	SCHAUMBURG, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	2,504.22	106.43	0.00	106.43	100.0	0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^02/27/2018	LARA, MONA	AM FUNDS GROWTH FUND OF AMERICA A	1,649.70	82.49	0.00	82.49	100.0	0.00
^02/27/2018	LARA, MONA	AM FUNDS GROWTH FUND OF AMERICA A	1,798.32	89.92	0.00	89.92	100.0	0.00
^02/28/2018	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	100.0	0.00
^03/02/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	50.01	0.60	0.00	0.60	100.0	0.00
^03/02/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	83.35	1.00	0.00	1.00	100.0	0.00
^03/02/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	62.61	0.75	0.00	0.75	100.0	0.00
^03/02/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	62.61	0.75	0.00	0.75	100.0	0.00
^03/02/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	89.59	1.08	0.00	1.08	100.0	0.00
^03/02/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	89.59	1.08	0.00	1.08	100.0	0.00
^02/20/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	149.63	1.50	0.00	1.50	100.0	0.00
^02/20/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	44.89	0.45	0.00	0.45	100.0	0.00
^02/20/2018	ZELLMER, RIANNON	AM FUNDS ICA A	12.33	0.12	0.00	0.12	100.0	0.00
^02/20/2018	ZELLMER, RIANNON	AM FUNDS ICA A	12.33	0.12	0.00	0.12	100.0	0.00
^02/20/2018	WAHLIG, KACEY	AM FUNDS ICA A	19.86	0.20	0.00	0.20	100.0	0.00
^02/20/2018	WAHLIG, KACEY	AM FUNDS ICA A	19.86	0.20	0.00	0.20	100.0	0.00
^02/20/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	19.93	0.20	0.00	0.20	100.0	0.00
^02/20/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	19.92	0.20	0.00	0.20	100.0	0.00
^02/21/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	2.50	0.00	2.50	100.0	0.00
^03/02/2018	HAGUE, VICTORIA	AM FUNDS ICA A	1,033.33	12.40	0.00	12.40	100.0	0.00
^03/02/2018	HAGUE, VICTORIA	AM FUNDS ICA A	68.89	0.83	0.00	0.83	100.0	0.00
^02/20/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	520.83	22.14	0.00	22.14	100.0	0.00
^02/20/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	90.55	3.85	0.00	3.85	100.0	0.00
^02/20/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	520.83	22.14	0.00	22.14	100.0	0.00
^02/20/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	19.35	0.82	0.00	0.82	100.0	0.00
^02/20/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	55.25	0.55	0.00	0.55	100.0	0.00
^02/20/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	55.25	0.55	0.00	0.55	100.0	0.00
^02/20/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	848.58	8.49	0.00	8.49	100.0	0.00
^02/20/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	1.23	0.00	1.23	100.0	0.00
^02/20/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	91.92	0.92	0.00	0.92	100.0	0.00
^02/20/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	91.92	0.92	0.00	0.92	100.0	0.00
^02/27/2018	LARA, MONA	AM FUNDS NEW PERSPECTIVE A	1,649.70	82.49	0.00	82.49	100.0	0.00
^02/27/2018	LARA, MONA	AM FUNDS NEW PERSPECTIVE A	1,798.32	89.92	0.00	89.92	100.0	0.00
^03/01/2018	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	2.50	0.00	2.50	100.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^02/20/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.00	0.24	0.00	0.24	100.0	0.00
^02/20/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.00	0.18	0.00	0.18	100.0	0.00
^02/20/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	31.72	0.32	0.00	0.32	100.0	0.00
^02/20/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	52.87	0.53	0.00	0.53	100.0	0.00
^02/20/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	19.92	0.20	0.00	0.20	100.0	0.00
^02/20/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	19.93	0.20	0.00	0.20	100.0	0.00
^02/20/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.28	0.00	0.28	100.0	0.00
^02/20/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.28	0.00	0.28	100.0	0.00
^02/27/2018	LARA, MONA	AM FUNDS SMALLCAP WORLD A	1,649.70	82.49	0.00	82.49	100.0	0.00
^02/27/2018	LARA, MONA	AM FUNDS SMALLCAP WORLD A	1,798.32	89.92	0.00	89.92	100.0	0.00
^03/02/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	258.33	3.10	0.00	3.10	100.0	0.00
^03/02/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	17.22	0.21	0.00	0.21	100.0	0.00
^03/02/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	83.35	1.00	0.00	1.00	100.0	0.00
^03/02/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	50.01	0.60	0.00	0.60	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$75,146.19	\$2,376.33	\$0.00	\$2,376.33		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^03/01/2018	DOWNES, STEVEN	AM FUNDS 2025 TARGET DATE-A	0.00	0.04	0.00	0.04	100.0	0.00
^03/01/2018	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	0.00	1.91	0.00	1.91	100.0	0.00
^03/01/2018	THOMAS, FRANK	AM FUNDS AMERICAN BALANCED A	0.00	7.40	0.00	7.40	100.0	0.00
^03/01/2018	THOMAS, DIXIE	AM FUNDS AMERICAN BALANCED A	0.00	7.40	0.00	7.40	100.0	0.00
^03/01/2018	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	0.00	7.62	0.00	7.62	100.0	0.00
^03/01/2018	ABEL, DENNIS	AM FUNDS AMERICAN BALANCED A	0.00	29.87	0.00	29.87	100.0	0.00
^03/01/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	100.0	0.00
^03/01/2018	WAMPLER, JESSICA	AM FUNDS AMERICAN BALANCED A	0.00	0.03	0.00	0.03	100.0	0.00
^03/01/2018	THOMPSON, TERRY	AM FUNDS AMERICAN BALANCED A	0.00	4.48	0.00	4.48	100.0	0.00
^03/01/2018	THOMPSON, MARCIA	AM FUNDS AMERICAN BALANCED A	0.00	0.46	0.00	0.46	100.0	0.00
^03/01/2018	MILLER, PATRICIA	AM FUNDS AMERICAN BALANCED A	0.00	0.03	0.00	0.03	100.0	0.00
^03/01/2018	FIGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	10.78	0.00	10.78	100.0	0.00
^03/01/2018	FIGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	10.70	0.00	10.70	100.0	0.00
^03/01/2018	BAILEY, JUDITH	AM FUNDS AMERICAN BALANCED A	0.00	1.89	0.00	1.89	100.0	0.00
^03/01/2018	CLARK-LAIRD, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	18.74	0.00	18.74	100.0	0.00
^03/01/2018	CARTER, STANLEY	AM FUNDS AMERICAN BALANCED A	0.00	31.55	0.00	31.55	100.0	0.00
^03/01/2018	MO/TOD	AM FUNDS AMERICAN BALANCED A	0.00	30.76	0.00	30.76	100.0	0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^03/01/2018	MO/TOD	AM FUNDS AMERICAN BALANCED A	0.00	0.62	0.00	0.62	100.0	0.00
^03/01/2018	HERREN, SARA	AM FUNDS AMERICAN BALANCED A	0.00	1.93	0.00	1.93	100.0	0.00
^03/01/2018	MO/TOD	AM FUNDS AMERICAN BALANCED A	0.00	2.67	0.00	2.67	100.0	0.00
^03/01/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.03	0.00	0.03	100.0	0.00
^03/01/2018	BAILEY, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.71	0.00	0.71	100.0	0.00
^03/01/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.08	0.00	0.08	100.0	0.00
^03/01/2018	LARA, MONA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.04	0.00	0.04	100.0	0.00
^03/01/2018	BAILEY, JUDITH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.62	0.00	3.62	100.0	0.00
^03/01/2018	GUIER, LUCCA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.18	0.00	0.18	100.0	0.00
^03/01/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.17	0.00	6.17	100.0	0.00
^03/01/2018	JOHNSON, KASEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.24	0.00	0.24	100.0	0.00
^03/01/2018	PRICE, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.19	0.00	0.19	100.0	0.00
^03/01/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	0.00	0.05	100.0	0.00
^03/01/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	0.00	0.05	100.0	0.00
^03/01/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.14	0.00	0.14	100.0	0.00
^03/01/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	100.0	0.00
^03/01/2018	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	100.0	0.00
^03/01/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	0.00	0.08	100.0	0.00
^03/01/2018	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.89	0.00	0.89	100.0	0.00
^03/01/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.22	0.00	0.22	100.0	0.00
^03/01/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	100.0	0.00
^03/01/2018	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.44	0.00	3.44	100.0	0.00
^03/01/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.34	0.00	0.34	100.0	0.00
^03/01/2018	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.34	0.00	0.34	100.0	0.00
^03/01/2018	WHITE, PAYTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.33	0.00	0.33	100.0	0.00
^03/01/2018	BAILEY, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.72	0.00	0.72	100.0	0.00
^03/01/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	0.00	0.08	100.0	0.00
^03/01/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	100.0	0.00
^03/01/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	0.00	0.05	100.0	0.00
^03/01/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	100.0	0.00
^03/01/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	100.0	0.00
^03/01/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	100.0	0.00
^03/01/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	100.0	0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^03/01/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	100.0	0.00
^03/01/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	100.0	0.00
^03/01/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	100.0	0.00
^03/01/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	100.0	0.00
^03/01/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	100.0	0.00
^03/01/2018	HEASTON, JENNALEA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	100.0	0.00
^03/01/2018	BACON, KAYLEE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	100.0	0.00
^03/01/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	100.0	0.00
^03/01/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.33	0.00	0.33	100.0	0.00
^03/01/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.10	0.00	0.10	100.0	0.00
^03/01/2018	SCHAUMBURG, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	0.00	0.05	100.0	0.00
^03/01/2018	LARA, MONA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	100.0	0.00
^03/01/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	0.00	0.09	0.00	0.09	100.0	0.00
^03/01/2018	ZELLMER, RIANNON	AM FUNDS ICA A	0.00	0.03	0.00	0.03	100.0	0.00
^03/01/2018	HAGUE, VICTORIA	AM FUNDS ICA A	0.00	0.19	0.00	0.19	100.0	0.00
^03/01/2018	WAHLIG, KACEY	AM FUNDS ICA A	0.00	0.05	0.00	0.05	100.0	0.00
^03/01/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	0.00	0.05	0.00	0.05	100.0	0.00
^03/01/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	0.00	0.22	0.00	0.22	100.0	0.00
^03/01/2018	CLARK-LAIRD, BARBARA	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.21	0.00	6.21	100.0	0.00
^03/01/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	0.00	0.77	0.00	0.77	100.0	0.00
^03/01/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	0.00	1.71	0.00	1.71	100.0	0.00
^03/01/2018	THOMPSON, TERRY	AM FUNDS NEW ECONOMY A	0.00	3.40	0.00	3.40	100.0	0.00
^03/01/2018	WHITE, PAYTON	AM FUNDS NEW ECONOMY A	0.00	0.33	0.00	0.33	100.0	0.00
^03/01/2018	BAILEY, JUDITH	AM FUNDS NEW ECONOMY A	0.00	0.72	0.00	0.72	100.0	0.00
^03/01/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	0.00	0.13	0.00	0.13	100.0	0.00
^03/01/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	0.00	0.08	0.00	0.08	100.0	0.00
^03/01/2018	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	0.00	0.15	0.00	0.15	100.0	0.00
^03/01/2018	WHITE, PAYTON	AM FUNDS NEW PERSPECTIVE A	0.00	0.32	0.00	0.32	100.0	0.00
^03/01/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	0.45	0.00	0.45	100.0	0.00
^03/01/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	0.00	0.06	0.00	0.06	100.0	0.00
^03/01/2018	LARA, MONA	AM FUNDS NEW PERSPECTIVE A	0.00	0.04	0.00	0.04	100.0	0.00
^03/01/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	0.00	0.06	0.00	0.06	100.0	0.00
^03/01/2018	THOMPSON, TERRY	AM FUNDS NEW WORLD A	0.00	3.32	0.00	3.32	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^03/01/2018	WHITE, PAYTON	AM FUNDS NEW WORLD A	0.00	0.32	0.00	0.32	100.0	0.00
^03/01/2018	BAILEY, JUDITH	AM FUNDS NEW WORLD A	0.00	0.64	0.00	0.64	100.0	0.00
^03/01/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	0.00	0.04	0.00	0.04	100.0	0.00
^03/01/2018	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	0.00	1.86	0.00	1.86	100.0	0.00
^03/01/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	0.00	0.05	0.00	0.05	100.0	0.00
^03/01/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	0.05	0.00	0.05	100.0	0.00
^03/01/2018	WAMPLER, JESSICA	AM FUNDS SMALLCAP WORLD A	0.00	0.04	0.00	0.04	100.0	0.00
^03/01/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	0.00	0.05	0.00	0.05	100.0	0.00
^03/01/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	0.00	0.08	0.00	0.08	100.0	0.00
^03/01/2018	THOMPSON, TERRY	AM FUNDS SMALLCAP WORLD A	0.00	3.34	0.00	3.34	100.0	0.00
^03/01/2018	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	0.00	0.17	0.00	0.17	100.0	0.00
^03/01/2018	LARA, MONA	AM FUNDS SMALLCAP WORLD A	0.00	0.04	0.00	0.04	100.0	0.00
^03/01/2018	GUIER, LUCCA	AM FUNDS SMALLCAP WORLD-529A	0.00	0.06	0.00	0.06	100.0	0.00
^03/01/2018	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.44	0.00	0.44	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$213.39	\$0.00	\$213.39		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$75,146.19	\$2,589.72	\$0.00	\$2,589.72		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00