



COMMISSION STATEMENT

January 08, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/29/2017	***-**-9806 - KERINS, MARILYN	GENWORTH 37250G327	0.00	11.32	0.00	11.32	90.0	10.19
	ACCOUNT #: T06433169	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$11.32	\$0.00	\$11.32		\$10.19
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$11.32	\$0.00	\$11.32		\$10.19

VERRIDE TRANSACTIONS

BROKER: CHRISTOPHER MILGROOM

01/04/2018	***-**-9375 - KATZ, ADAM J	BLACKROCK FUNDS	0.00	0.27	0.00	0.27	15.0	0.04
	ACCOUNT #: 5290566834	BUY 0 Units @0.0000						
01/04/2018	***-**-67CL - MILGROOM,	BLACKROCK TRAILS	0.00	3.90	0.00	3.90	15.0	0.59
	ACCOUNT #: 51554475	BUY 0 Units @0.0000						
01/04/2018	***-**-9109 - PASCH, ROBERT	BLACKROCK TRAILS	0.00	1.20	0.00	1.20	15.0	0.18
	ACCOUNT #: 51554475	BUY 0 Units @0.0000						
01/04/2018	***-**-80-P - PALM BEACH	BLACKROCK TRAILS	0.00	2.67	0.00	2.67	15.0	0.40
	ACCOUNT #: 51556504	BUY 0 Units @0.0000						
01/04/2018	***-**-844P - COLIN CAMPBELL	BLACKROCK TRAILS	0.00	0.59	0.00	0.59	15.0	0.09
	ACCOUNT #: 40959967	BUY 0 Units @0.0000						
12/20/2017	***-**-461I - CAMPBELL, COLIN	CAPITAL WORLD GROWTH AND INCOME FUN	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @52.6400						
12/20/2017	***-**-461I - CAMPBELL, COLIN	EUROPACIFIC GROWTH FUND-A	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @59.1800						
01/01/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	450.00	4.50	0.00	4.50	15.0	0.68
	ACCOUNT #: R074824-ZS	BUY 0 Units @0.0000						
01/04/2018	***-**-1022 - ZYLKA, VERONICA	ING ADVANTAGE SELECT	0.00	4.32	0.00	4.32	15.0	0.65
	ACCOUNT #: R078851-ZS	BUY 0 Units @0.0000						
12/21/2017	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	97.39	0.73	0.00	0.73	15.0	0.11
	ACCOUNT #: 07123000494	BUY 7 Units @13.2400						
01/02/2018	***-**-264J - HOLLANDER, TED	JACKSON NATIONAL PERSPECTIVE II	20,000.00	1,500.00	0.00	1,500.00	15.0	225.00
	ACCOUNT #: 1010155394	BUY 0 Units @0.0000						
12/31/2017	***-**-6647 - CABLE, ROBERT	JOHN HANCOCK 41014C325	0.00	182.28	0.00	182.28	15.0	27.34
	ACCOUNT #: 8069017	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> 								
01/03/2018	***-**-67CL - MILGROOM, ACCOUNT #: 02554065885	PIONEER FUNDAMENTAL GROWTH FUND R BUY 0 Units @0.0000	0.00	91.95	0.00	91.95	15.0	13.79
01/03/2018	***-**-67CL - MILGROOM, ACCOUNT #: 02554066517	PIONEER FUNDAMENTAL GROWTH FUND R BUY 0 Units @0.0000	0.00	51.66	0.00	51.66	15.0	7.75
01/03/2018	***-**-67CL - MILGROOM, ACCOUNT #: 02554066523	PIONEER FUNDAMENTAL GROWTH FUND R BUY 0 Units @0.0000	0.00	3.52	0.00	3.52	15.0	0.53
01/08/2018	***-**-345P - WIEBE, PHILIP ACCOUNT #: 02000070602	PORTFOLIO PLAN C BUY 0 Units @0.0000	150.00	1.50	0.00	1.50	15.0	0.23
01/01/2018	***-**-0834 - WIEBE, PHILIP	SCHOLAR'S EDGE TRAILS BUY 0 Units @0.0000	0.00	106.94	0.00	106.94	15.0	16.04
12/20/2017	***-**-461I - CAMPBELL, COLIN ACCOUNT #: 00084984880	THE GROWTH FUND OF AMERICA-A BUY 4 Units @51.2600	180.00	4.95	0.00	4.95	15.0	0.74
* CHRISTOPHER MILGROOM SUBTOTAL *			\$21,237.39	\$1,970.88	\$0.00	\$1,970.88		\$295.64
*** OVERRIDE TRANSACTIONS TOTAL ***			\$21,237.39	\$1,970.88	\$0.00	\$1,970.88		\$295.64

 BROKER COMMISSION TOTALS

Broker Transactions	\$10.19
Split Transactions	\$0.00
Override Transactions	\$295.64
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$9.93
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$315.76
Year-to-date Earnings	\$315.76