

**COMMISSION STATEMENT****February 15, 2018**

MARC, L134 LINSKY
104 B. Centre Blvd

BROKER #: L134

BRANCH: E STREET FINANCIAL L

Marlton, NJ 08053

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/29/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	1,031.94	51.60	22.00	29.60	90.0	26.64
		* MUTUAL FUNDS SUBTOTAL *	\$1,031.94	\$51.60	\$22.00	\$29.60		\$26.64
<u>PRODUCT CATEGORY: STOCKS</u>								
02/07/2018	STERR, CHRISTOPHER	AMERIGAS PARTNERS LTD PARTSHP	-6,545.45	30.00	22.50	7.50	90.0	6.75
02/07/2018	STERR, CHRISTOPHER	ENERGY TRANSFER PARTNERS L P NEW UN	6,465.50	30.00	22.51	7.49	90.0	6.74
		* STOCKS SUBTOTAL *	\$-79.95	\$60.00	\$45.01	\$14.99		\$13.49
		*** CLEARING TRANSACTIONS TOTAL ***	\$951.99	\$111.60	\$67.01	\$44.59		\$40.13
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
01/25/2018	FARBMAN TRUST, DANIEL & SANDRACOTTONWOOD RESIDENTIAL 2016 PREFR'D		100,000.00	7,000.00	0.00	7,000.00	90.0	6,300.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$6,300.00
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
12/31/2017	ROSENBLUM, LUCILLE	GRIFFIN INST'L ACCESS R E FUND C	54,735.23	46.49	0.00	46.49	90.0	41.84
		* INTERVAL FUNDS SUBTOTAL *	\$54,735.23	\$46.49	\$0.00	\$46.49		\$41.84
<u>PRODUCT CATEGORY: 529 PLANS</u>								
02/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	1,000.00	10.00	0.00	10.00	90.0	9.00
02/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	13.50
02/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	13.50
01/29/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	400.00	10.00	0.00	10.00	90.0	9.00
01/29/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	6.75
01/29/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	3.38
		* 529 PLANS SUBTOTAL *	\$2,650.00	\$61.25	\$0.00	\$61.25		\$55.13

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/01/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	9.56	0.00	9.56	90.0	8.60
* MUTUAL FUNDS SUBTOTAL *			\$225.00	\$9.56	\$0.00	\$9.56		\$8.60
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/05/2018	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	18.90
01/31/2018	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	293,456.77	244.55	0.00	244.55	90.0	220.10
01/31/2018	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	301,377.78	251.15	0.00	251.15	90.0	226.04
01/31/2018	NANI, JOSEPH	JNL PERSPECTIVE L	420,704.85	350.59	0.00	350.59	90.0	315.53
01/31/2018	FELDNER, BARBARA	JNL PERSPECTIVE L	496,354.59	413.63	0.00	413.63	90.0	372.27
01/31/2018	STERR, CHRISTOPHER	JNL PERSPECTIVE L	120,901.37	100.75	0.00	100.75	90.0	90.68
01/31/2018	OTT, CAROL	JOHN HANCOCK VENTURE	14,001.84	2.92	0.00	2.92	90.0	2.63
01/31/2018	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	205,933.10	171.61	0.00	171.61	90.0	154.45
01/29/2018	SCOZZAFAVA, THERESA	METLIFE SHIELD LEVEL SELECT 6 YR	108,899.26	3,266.98	0.00	3,266.98	90.0	2,940.28
* VARIABLE ANNUITIES SUBTOTAL *			\$1,961,929.56	\$4,823.18	\$0.00	\$4,823.18		\$4,340.88
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/18/2018	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.93	0.00	0.93	90.0	0.84
01/18/2018	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	13.34	0.00	13.34	90.0	12.01
01/23/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.42	0.00	4.42	90.0	3.98
01/18/2018	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	4.04	0.00	4.04	90.0	3.64
01/18/2018	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	6.73	0.00	6.73	90.0	6.06
01/18/2018	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.44	0.00	0.44	90.0	0.40
01/18/2018	BERK, SHERRI	AM FUNDS AMERICAN BALANCED A	0.00	0.19	0.00	0.19	90.0	0.17
01/18/2018	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.53	0.00	1.53	90.0	1.38
01/18/2018	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.45
01/18/2018	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.45
01/18/2018	APPLEGATE, PAMAEALA	AM FUNDS AMERICAN BALANCED A	0.00	1.03	0.00	1.03	90.0	0.93
01/18/2018	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.01	0.00	1.01	90.0	0.91
01/18/2018	APPLEGATE, PAMAEALA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.83	0.00	0.83	90.0	0.75
01/18/2018	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.99	0.00	3.99	90.0	3.59
01/18/2018	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.17	0.00	3.17	90.0	2.85
01/18/2018	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.33	0.00	1.33	90.0	1.20
01/18/2018	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.75	0.00	0.75	90.0	0.68
01/18/2018	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.90	0.00	2.90	90.0	2.61

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/18/2018	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.60	0.00	13.60	90.0	12.24
01/18/2018	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.63	0.00	1.63	90.0	1.47
01/18/2018	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.94	0.00	0.94	90.0	0.85
01/18/2018	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.80	0.00	4.80	90.0	4.32
01/18/2018	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.59	0.00	1.59	90.0	1.43
01/18/2018	OLIVETTI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.58	0.00	6.58	90.0	5.92
01/18/2018	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.94	0.00	4.94	90.0	4.45
01/18/2018	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.88	0.00	1.88	90.0	1.69
01/18/2018	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.40	0.00	0.40	90.0	0.36
01/18/2018	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.00	0.00	1.00	90.0	0.90
01/18/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.48	0.00	1.48	90.0	1.33
01/18/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.68	0.00	0.68	90.0	0.61
01/18/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.11	0.00	1.11	90.0	1.00
01/18/2018	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.68	0.00	0.68	90.0	0.61
01/18/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.84	0.00	2.84	90.0	2.56
01/18/2018	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.78	0.00	2.78	90.0	2.50
01/18/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.71	0.00	5.71	90.0	5.14
01/18/2018	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.79	0.00	5.79	90.0	5.21
01/18/2018	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.58	0.00	1.58	90.0	1.42
01/18/2018	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	9.44	0.00	9.44	90.0	8.50
01/18/2018	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	0.36	0.00	0.36	90.0	0.32
01/18/2018	LINSKY, MARC	AM FUNDS ICA A	0.00	0.44	0.00	0.44	90.0	0.40
01/18/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.60	0.00	0.60	90.0	0.54
01/18/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.98	0.00	0.98	90.0	0.88
01/18/2018	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.59	0.00	0.59	90.0	0.53
01/18/2018	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.55
01/18/2018	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.55
01/18/2018	APPLEGATE, PAMAELE	AM FUNDS ICA A	0.00	1.06	0.00	1.06	90.0	0.95
01/18/2018	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.67	0.00	5.67	90.0	5.10
01/18/2018	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	13.26	0.00	13.26	90.0	11.93
01/18/2018	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	5.14	0.00	5.14	90.0	4.63
01/18/2018	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.93	0.00	4.93	90.0	4.44
01/18/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.12	0.00	5.12	90.0	4.61

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/18/2018	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.49	0.00	4.49	90.0	4.04
01/18/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.37	0.00	21.37	90.0	19.23
01/18/2018	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.21	0.00	3.21	90.0	2.89
01/18/2018	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.79	0.00	0.79	90.0	0.71
01/18/2018	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.79	0.00	0.79	90.0	0.71
01/18/2018	APPLEGATE, PAMAEALA	AM FUNDS NEW PERSPECTIVE A	0.00	1.13	0.00	1.13	90.0	1.02
01/18/2018	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.62	0.00	1.62	90.0	1.46
01/18/2018	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.08	0.00	6.08	90.0	5.47
01/18/2018	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.36	0.00	0.36	90.0	0.32
01/18/2018	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.53	0.00	1.53	90.0	1.38
01/18/2018	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.44	0.00	0.44	90.0	0.40
01/18/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.54
01/18/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.98	0.00	0.98	90.0	0.88
01/18/2018	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.54
01/23/2018	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	2.80	0.00	2.80	90.0	2.52
01/24/2018	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	19.07	0.00	19.07	90.0	17.16
01/23/2018	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.54	0.00	1.54	90.0	1.39
01/23/2018	DUBIN, JON	CALAMOS GROWTH A	0.00	4.84	0.00	4.84	90.0	4.36
01/10/2018	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.13	0.00	1.13	90.0	1.02
01/10/2018	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	15.28	0.00	15.28	90.0	13.75
01/10/2018	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	8.07	0.00	8.07	90.0	7.26
01/10/2018	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.88	0.00	2.88	90.0	2.59
01/10/2018	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.18	0.00	1.18	90.0	1.06
01/10/2018	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.31	0.00	0.31	90.0	0.28
01/10/2018	MEDIA ENTERPRISES	COLUMBIA LARGECAP GROWTH A	0.00	0.35	0.00	0.35	90.0	0.32
01/10/2018	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.98	0.00	0.98	90.0	0.88
01/10/2018	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.54	0.00	2.54	90.0	2.29
01/10/2018	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.09	0.00	1.09	90.0	0.98
01/10/2018	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	12.12	0.00	12.12	90.0	10.91
01/10/2018	BOWERS, DORA	COLUMBIA SMALL CAP VALUE FUND II CL	0.00	0.20	0.00	0.20	90.0	0.18
01/10/2018	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.45	0.00	2.45	90.0	2.21
01/16/2018	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.44	0.00	0.44	90.0	0.40
01/16/2018	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.58	0.00	0.58	90.0	0.52

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/12/2018	BOWERS, DORA	DEUTSCHE GLOBAL RE	0.00	0.91	0.00	0.91	90.0	0.82
01/12/2018	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.53	0.00	3.53	90.0	3.18
01/12/2018	GUERRIERI, CHARLES	DREYFUS HIGH YIELD FUND CLASS C	0.00	0.01	0.00	0.01	90.0	0.01
01/12/2018	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	64.11	0.00	64.11	90.0	57.70
01/16/2018	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.79	0.00	0.79	90.0	0.71
01/17/2018	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.13	0.00	1.13	90.0	1.02
01/17/2018	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.36	0.00	0.36	90.0	0.32
01/17/2018	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.90	0.00	7.90	90.0	7.11
01/17/2018	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	9.01	0.00	9.01	90.0	8.11
01/17/2018	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.55	0.00	1.55	90.0	1.40
01/17/2018	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	6.16	0.00	6.16	90.0	5.54
01/17/2018	BOWERS, DORA	FIDELITY ADVISOR MID CAP II FUND CL	0.00	0.28	0.00	0.28	90.0	0.25
01/17/2018	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.20	0.00	2.20	90.0	1.98
01/22/2018	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	13.36	0.00	13.36	90.0	12.02
01/22/2018	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	3.03	0.00	3.03	90.0	2.73
01/22/2018	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.17	0.00	1.17	90.0	1.05
01/22/2018	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.47	0.00	5.47	90.0	4.92
01/22/2018	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.81	0.00	0.81	90.0	0.73
01/22/2018	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.59	0.00	0.59	90.0	0.53
01/22/2018	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.85	0.00	2.85	90.0	2.57
01/23/2018	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	17.02	0.00	17.02	90.0	15.32
01/23/2018	BOWERS, DORA	GOLDMAN SACHS MID CAP VALUE FD CLAS	0.00	0.24	0.00	0.24	90.0	0.22
01/23/2018	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.67	0.00	0.67	90.0	0.60
01/19/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.91	0.00	4.91	90.0	4.42
01/19/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.73	0.00	14.73	90.0	13.26
01/19/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.35	0.00	2.35	90.0	2.12
01/19/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.06	0.00	7.06	90.0	6.35
01/19/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.00	0.00	15.00	90.0	13.50
01/19/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.01	0.00	45.01	90.0	40.51
01/19/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.47	0.00	45.47	90.0	40.92
01/19/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	136.40	0.00	136.40	90.0	122.76
01/19/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.24	0.00	7.24	90.0	6.52
01/19/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.72	0.00	21.72	90.0	19.55

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/19/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.42	0.00	4.42	90.0	3.98
01/19/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.25	0.00	6.25	90.0	5.63
01/19/2018	PERETTI, FRANCES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.26	0.00	3.26	90.0	2.93
01/19/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.25	0.00	5.25	90.0	4.73
01/16/2018	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.33	0.00	12.33	90.0	11.10
01/16/2018	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.19	0.00	4.19	90.0	3.77
01/17/2018	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.94	0.00	3.94	90.0	3.55
01/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	89.81	0.00	89.81	90.0	80.83
01/17/2018	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	43.20	0.00	43.20	90.0	38.88
01/17/2018	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	38.49	0.00	38.49	90.0	34.64
01/17/2018	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	472.02	0.00	472.02	90.0	424.82
01/17/2018	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	11.87	0.00	11.87	90.0	10.68
01/17/2018	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.74	0.00	7.74	90.0	6.97
01/17/2018	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	8.25	0.00	8.25	90.0	7.43
01/17/2018	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	34.93	0.00	34.93	90.0	31.44
01/17/2018	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	32.60	0.00	32.60	90.0	29.34
01/17/2018	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	11.88	0.00	11.88	90.0	10.69
01/17/2018	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	24.98	0.00	24.98	90.0	22.48
01/17/2018	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	11.18	0.00	11.18	90.0	10.06
01/17/2018	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.90	0.00	2.90	90.0	2.61
01/17/2018	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.90	0.00	2.90	90.0	2.61
01/17/2018	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.35	0.00	4.35	90.0	3.92
01/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	78.93	0.00	78.93	90.0	71.04
01/17/2018	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	49.22	0.00	49.22	90.0	44.30
01/17/2018	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	22.65	0.00	22.65	90.0	20.39
01/17/2018	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	15.56	0.00	15.56	90.0	14.00
01/17/2018	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	22.65	0.00	22.65	90.0	20.39
01/17/2018	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	1.37	0.00	1.37	90.0	1.23
01/17/2018	WILSON, KEVIN	HARTFORD DIVIDEND & GROWTH C	0.00	0.27	0.00	0.27	90.0	0.24
01/17/2018	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	46.69	0.00	46.69	90.0	42.02
01/17/2018	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	20.90	0.00	20.90	90.0	18.81
01/17/2018	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	11.07	0.00	11.07	90.0	9.96
01/17/2018	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.33	0.00	4.33	90.0	3.90

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/25/2018	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	130.77	0.00	130.77	90.0	117.69
01/25/2018	CHERRY, THEO	INVESCO AMERICAN FRANCHISE A	0.00	4.19	0.00	4.19	90.0	3.77
01/25/2018	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	275.36	0.00	275.36	90.0	247.82
01/25/2018	FARBMAN, ERIC	INVESCO AMERICAN FRANCHISE A	0.00	9.96	0.00	9.96	90.0	8.96
01/25/2018	WALENCZYK, CHIRSTOPHER	INVESCO AMERICAN FRANCHISE A	0.00	0.52	0.00	0.52	90.0	0.47
01/25/2018	SIEMANOWSKI, JOHN	INVESCO CHARTER FUND A	0.00	59.39	0.00	59.39	90.0	53.45
01/25/2018	GUERRIERI, ANTHONY	INVESCO COMSTOCK A	0.00	1.60	0.00	1.60	90.0	1.44
01/25/2018	FARBMAN, ERIC	INVESCO HIGH YIELD FUND A	0.00	13.36	0.00	13.36	90.0	12.02
01/25/2018	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	13.25	0.00	13.25	90.0	11.93
01/25/2018	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	41.59	0.00	41.59	90.0	37.43
01/25/2018	WALENCZYK, BRENDA	INVESCO MIDCAP CORE EQUITY A	0.00	0.54	0.00	0.54	90.0	0.49
01/25/2018	WALENCZYK, CHIRSTOPHER	INVESCO MIDCAP CORE EQUITY A	0.00	1.08	0.00	1.08	90.0	0.97
01/25/2018	GOLDBERGER, ANNA	INVESCO SENIOR LOAN FUND IB	0.00	9.40	0.00	9.40	90.0	8.46
01/25/2018	BOWERS, DORA	INVESCO SMALL CAP DISCOVERY A	0.00	0.44	0.00	0.44	90.0	0.40
01/25/2018	FARBMAN, ERIC	INVESCO STRUCTURED CORE A	0.00	16.67	0.00	16.67	90.0	15.00
01/25/2018	WALENCZYK, BRENDA	INVESCO VALUE OPP A	0.00	0.44	0.00	0.44	90.0	0.40
01/25/2018	WALENCZYK, CHIRSTOPHER	INVESCO VALUE OPP A	0.00	0.86	0.00	0.86	90.0	0.77
01/23/2018	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.13	0.00	1.13	90.0	1.02
01/19/2018	BOWERS, DORA	JN DISCIPLINED VALUE INT'L A	0.00	0.18	0.00	0.18	90.0	0.16
01/22/2018	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.66	0.00	0.66	90.0	0.59
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	3.10	0.00	3.10	90.0	2.79
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.17	0.00	2.17	90.0	1.95
01/22/2018	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.88	0.00	2.88	90.0	2.59
01/22/2018	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	8.17	0.00	8.17	90.0	7.35
01/22/2018	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.62	0.00	2.62	90.0	2.36
01/22/2018	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	120.35	0.00	120.35	90.0	108.32
01/22/2018	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	123.30	0.00	123.30	90.0	110.97
01/22/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	29.63	0.00	29.63	90.0	26.67
01/22/2018	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	20.49	0.00	20.49	90.0	18.44
01/22/2018	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.95	0.00	0.95	90.0	0.86
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	6.64	0.00	6.64	90.0	5.98
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.78	0.00	0.78	90.0	0.70
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	8.41	0.00	8.41	90.0	7.57

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.88	0.00	1.88	90.0	1.69
01/22/2018	APPLEBAUM, ALVIN	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.05	0.00	0.05	90.0	0.05
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.19	0.00	1.19	90.0	1.07
01/22/2018	APPLEBAUM, RHEA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.52	0.00	3.52	90.0	3.17
01/22/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	11.00	0.00	11.00	90.0	9.90
01/22/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.98	0.00	5.98	90.0	5.38
01/22/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.24	0.00	6.24	90.0	5.62
01/22/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	14.65	0.00	14.65	90.0	13.19
01/22/2018	LIBERTY, SUSAN	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	0.01	0.00	0.01	90.0	0.01
01/22/2018	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	2.95	0.00	2.95	90.0	2.66
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.35	0.00	3.35	90.0	3.02
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.46	0.00	0.46	90.0	0.41
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	4.06	0.00	4.06	90.0	3.65
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.73	0.00	0.73	90.0	0.66
01/22/2018	APPLEBAUM, RHEA	LORD ABBETT SHORT DURATION INCOME A	0.00	2.12	0.00	2.12	90.0	1.91
01/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.52	0.00	1.52	90.0	1.37
01/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.50	0.00	20.50	90.0	18.45
01/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.72	0.00	1.72	90.0	1.55
01/22/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.77	0.00	2.77	90.0	2.49
01/22/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.12	0.00	2.12	90.0	1.91
01/22/2018	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.28	0.00	11.28	90.0	10.15
01/22/2018	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	99.46	0.00	99.46	90.0	89.51
01/22/2018	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.40	0.00	11.40	90.0	10.26
01/22/2018	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	5.18	0.00	5.18	90.0	4.66
01/22/2018	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.44	0.00	6.44	90.0	5.80
01/22/2018	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	13.07	0.00	13.07	90.0	11.76
01/22/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	9.24	0.00	9.24	90.0	8.32
01/22/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.69	0.00	4.69	90.0	4.22
01/24/2018	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.42	0.00	10.42	90.0	9.38
01/24/2018	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.92	0.00	2.92	90.0	2.63
01/24/2018	FARBMAN TRUST, DANIEL & SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.99	0.00	1.99	90.0	1.79
01/24/2018	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.50	0.00	0.50	90.0	0.45
01/24/2018	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.24	0.00	1.24	90.0	1.12

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/24/2018	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.74	0.00	0.74	90.0	0.67
01/19/2018	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	6.92	0.00	6.92	90.0	6.23
01/19/2018	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	16.63	0.00	16.63	90.0	14.97
01/19/2018	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	8.18	0.00	8.18	90.0	7.36
01/19/2018	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	16.42	0.00	16.42	90.0	14.78
01/19/2018	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	16.31	0.00	16.31	90.0	14.68
01/19/2018	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.72	0.00	0.72	90.0	0.65
01/19/2018	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	9.86	0.00	9.86	90.0	8.87
01/19/2018	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	23.60	0.00	23.60	90.0	21.24
01/19/2018	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	8.61	0.00	8.61	90.0	7.75
01/19/2018	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	20.31	0.00	20.31	90.0	18.28
01/19/2018	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	5.89	0.00	5.89	90.0	5.30
01/19/2018	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	6.25	0.00	6.25	90.0	5.63
01/19/2018	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	28.44	0.00	28.44	90.0	25.60
01/19/2018	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	12.14	0.00	12.14	90.0	10.93
01/19/2018	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	9.89	0.00	9.89	90.0	8.90
01/19/2018	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	15.39	0.00	15.39	90.0	13.85
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.29	0.00	1.29	90.0	1.16
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.18	0.00	1.18	90.0	1.06
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.41	0.00	0.41	90.0	0.37
01/22/2018	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.64	0.00	0.64	90.0	0.58
01/22/2018	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.52	0.00	2.52	90.0	2.27
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.25	0.00	3.25	90.0	2.93
01/22/2018	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	1.00	0.00	1.00	90.0	0.90
01/22/2018	PERETTI, DANIEL	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	40.72	0.00	40.72	90.0	36.65
01/22/2018	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.67	0.00	2.67	90.0	2.40
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.81	0.00	1.81	90.0	1.63
01/18/2018	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	260.40	0.00	260.40	90.0	234.36
01/22/2018	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	14.19	0.00	14.19	90.0	12.77
01/22/2018	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	3.18	0.00	3.18	90.0	2.86
01/18/2018	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	13.30	0.00	13.30	90.0	11.97
01/18/2018	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	18.16	0.00	18.16	90.0	16.34
01/18/2018	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.98	0.00	5.98	90.0	5.38

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/18/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	45.15	0.00	45.15	90.0	40.64
01/18/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	135.45	0.00	135.45	90.0	121.91
01/22/2018	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	11.36	0.00	11.36	90.0	10.22
01/22/2018	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.67	0.00	1.67	90.0	1.50
01/22/2018	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.36	0.00	0.36	90.0	0.32
01/22/2018	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.25	0.00	11.25	90.0	10.13
01/29/2018	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.59	0.00	1.59	90.0	1.43
01/25/2018	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.51	0.00	0.51	90.0	0.46
01/16/2018	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	6.18	0.00	6.18	90.0	5.56
01/12/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	9.98	0.00	9.98	90.0	8.98
01/12/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	23.32	0.00	23.32	90.0	20.99
01/12/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	11.22	0.00	11.22	90.0	10.10
01/12/2018	BOWERS, DORA	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.20	0.00	0.20	90.0	0.18
01/12/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	18.61	0.00	18.61	90.0	16.75
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 12-13 A	0.00	0.99	0.00	0.99	90.0	0.89
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	6.82	0.00	6.82	90.0	6.14
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.59	0.00	0.59	90.0	0.53
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.61	0.00	0.61	90.0	0.55
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,870.10	\$0.00	\$3,870.10		\$3,483.23
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/21/2018	MCFADDEN, JOAN	ING LANDMARK	90,405.24	226.01	0.00	226.01	90.0	203.41
02/05/2018	MCFADDEN, ANDREW	ING LANDMARK	369,153.77	922.88	0.00	922.88	90.0	830.59
01/17/2018	LINSKY, VARIOUS	TRANSAMERICA TRAILS	735.00	3.68	0.00	3.68	90.0	3.31
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$460,294.01	\$1,152.57	\$0.00	\$1,152.57		\$1,037.31
*** DIRECT TRANSACTIONS TOTAL ***			\$2,579,833.80	\$16,963.15	\$0.00	\$16,963.15		\$15,266.99

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: J30 MARC/MICHAEL LINSKY/FOX #266</u>								
01/18/2018	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.23	0.00	1.23	90.0	1.11
01/18/2018	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.08	0.00	0.08	90.0	0.07
01/18/2018	BERK, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.48	0.00	1.48	90.0	1.33
01/29/2018	BERK, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	1,031.94	51.60	22.00	29.60	90.0	26.64
01/22/2018	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.12	0.00	0.12	90.0	0.11
01/23/2018	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.67	0.00	0.67	90.0	0.60
01/17/2018	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.43	0.00	3.43	90.0	3.09
01/22/2018	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	1.20	0.00	1.20	90.0	1.08
01/22/2018	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	1.70	0.00	1.70	90.0	1.53
01/22/2018	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.16	0.00	4.16	90.0	3.74
01/18/2018	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	14.33	0.00	14.33	90.0	12.90
01/22/2018	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.64	0.00	0.64	90.0	0.58
01/18/2018	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	9.19	0.00	9.19	90.0	8.27
* J30 MARC/MICHAEL LINSKY/FOX SUBTOTAL *			\$1,031.94	\$89.83	\$22.00	\$67.83		\$61.05
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
01/22/2018	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.23	0.00	0.23	90.0	0.21
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.23	\$0.00	\$0.23		\$0.21
<u>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</u>								
01/18/2018	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.48	0.00	0.48	90.0	0.43
01/18/2018	NEELD, JUDEANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.37	0.00	1.37	90.0	1.23
01/18/2018	NEELD, JUDEANN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.44	0.00	3.44	90.0	3.10
01/23/2018	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	2.88	0.00	2.88	90.0	2.59
01/24/2018	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	2.57	0.00	2.57	90.0	2.31
01/23/2018	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	0.94	0.00	0.94	90.0	0.85
01/17/2018	ANDERSON, WILLIAM	CARILLON EAGLE GROWTH & INCOME FUND	0.00	6.61	0.00	6.61	90.0	5.95
01/10/2018	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	3.60	0.00	3.60	90.0	3.24
01/16/2018	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	2.27	0.00	2.27	90.0	2.04
01/19/2018	ANDERSON, ELAINE	FIRST EAGLE FUND OF AMERICA CLASS Y	0.00	0.01	0.00	0.01	90.0	0.01
01/12/2018	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.00	0.00	1.00	90.0	0.90
01/19/2018	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.69	0.00	10.69	90.0	9.62
01/19/2018	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.07	0.00	32.07	90.0	28.86
01/19/2018	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.90	0.00	13.90	90.0	12.51

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</u>								
01/19/2018	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	41.69	0.00	41.69	90.0	37.52
01/19/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.46	0.00	2.46	90.0	2.21
01/19/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.37	0.00	7.37	90.0	6.63
01/19/2018	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.34	0.00	5.34	90.0	4.81
01/19/2018	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.01	0.00	16.01	90.0	14.41
01/25/2018	FIAMINGO, FRANK	INVESCO TECHNOLOGY A	0.00	0.01	0.00	0.01	90.0	0.01
01/23/2018	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	3.36	0.00	3.36	90.0	3.02
01/19/2018	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.45	0.00	0.45	90.0	0.41
01/22/2018	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.01	0.00	0.01	90.0	0.01
01/18/2018	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	1.73	0.00	1.73	90.0	1.56
01/12/2018	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.07	0.00	1.07	90.0	0.96
01/12/2018	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.59	0.00	0.59	90.0	0.53
01/12/2018	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.19	0.00	0.19	90.0	0.17
* C148 DAN/MARC FT-CHARLEY/LINSKY SUBTOTAL *			\$0.00	\$162.11	\$0.00	\$162.11		\$145.89
<u>BROKER: C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR #400</u>								
01/19/2018	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.71	0.00	1.71	90.0	1.54
01/19/2018	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.22	0.00	1.22	90.0	1.10
* C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR SUBTOTAL *			\$0.00	\$2.93	\$0.00	\$2.93		\$2.64
*** SPLIT TRANSACTIONS TOTAL ***			\$1,031.94	\$255.10	\$22.00	\$233.10		\$209.79

ADJUSTMENTS

02/15/2018	*EO INS: CURRENT MONTH INS	0.00						-300.00
02/15/2018	*FEDEX: 6-066-90233 1/24/18	0.00						-90.03
02/15/2018	*FEDEX: 6-073-83907 1/31/18	0.00						-29.89
02/15/2018	*MARKETING LIBRARY: MARKETING LIBRA	0.00						-45.00
02/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-3.00
02/15/2018	*PERSHING: MF PROSPECTUS MAILING	0.00						-2.20
02/15/2018	*TECH: ADMIN-CHRISTINE GILMER	0.00						-100.00
02/15/2018	*TECH: ADMIN-LINDA BOND-HILTNER	0.00						-100.00
02/15/2018	*TECH: CURRENT MONTH TECH	0.00						-275.00
02/15/2018	*TECHNOLOGY: ADMIN D. LINSKY	0.00						-135.00

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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-1,080.12
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BROKER COMMISSION TOTALS

Broker Transactions	\$15,307.12
Split Transactions	\$209.79
Override Transactions	\$0.00
Adjustments	\$-1,080.12
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14,436.79
Year-to-date Gross	\$95,607.34
Year-to-date Earnings	\$85,789.96

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