



COMMISSION STATEMENT

July 23, 2018

RICHARD SOWERS
 2451 McMullen Booth Road
 Suite 263
 Clearwater, FL 33759

BROKER #: 71
 BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/09/2018	***-**-3221 - PITTM, BARB	ALLIANCEBERNSTEIN GROWTH AND INC A	100.00	4.00	0.00	4.00	90.0	3.60
	ACCOUNT #: 00940010050	BUY 16 Units @6.2000						
07/10/2018	***-**-255P - WILSON, HELEN	CAPITAL INCOME BUILDER-A	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @62.6200						
07/10/2018	***-**-525P - WILSON, FRANCIS	CAPITAL INCOME BUILDER-A	196.58	3.93	0.00	3.93	90.0	3.54
	ACCOUNT #: 00082184129	BUY 3 Units @62.6200						
07/10/2018	***-**-211I - MEGILL, ROBERT	CAPITAL INCOME BUILDER-A	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 2 Units @63.2600						
07/10/2018	***-**-828I - SHARMA, BOBBY	CAPITAL INCOME BUILDER-A	100.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: 00084334446	BUY 2 Units @63.9300						
07/10/2018	***-**-706I - HOFSTETTER,	CAPITAL INCOME BUILDER-A	30.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00084334451	BUY 0 Units @64.2600						
07/10/2018	***-**-255P - WILSON, HELEN	CAPITAL WORLD GROWTH AND INCOME FUN	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @53.1900						
07/10/2018	***-**-525P - WILSON, FRANCIS	CAPITAL WORLD GROWTH AND INCOME FUN	196.58	3.93	0.00	3.93	90.0	3.54
	ACCOUNT #: 00082184129	BUY 4 Units @53.1900						
07/10/2018	***-**-211I - MEGILL, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 2 Units @53.7400						
07/10/2018	***-**-828I - SHARMA, BOBBY	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: 00084334446	BUY 2 Units @54.3000						
07/10/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	4.25	0.00	4.25	90.0	3.83
	ACCOUNT #: 00086578408	BUY 2 Units @54.5900						
07/10/2018	***-**-706I - HOFSTETTER,	CAPITAL WORLD GROWTH AND INCOME FUN	30.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00084334451	BUY 1 Units @54.5900						
07/10/2018	***-**-224I - WILSON, FRANCIS	FUNDAMENTAL INVESTORS-A	442.60	16.60	0.00	16.60	90.0	14.94
	ACCOUNT #: 00085899638	BUY 7 Units @67.2600						
07/10/2018	***-**-255P - WILSON, HELEN	THE GROWTH FUND OF AMERICA-A	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @56.9700						
07/10/2018	***-**-525P - WILSON, FRANCIS	THE GROWTH FUND OF AMERICA-A	196.58	3.93	0.00	3.93	90.0	3.54
	ACCOUNT #: 00082184129	BUY 3 Units @56.9700						
07/10/2018	***-**-211I - MEGILL, ROBERT	THE GROWTH FUND OF AMERICA-A	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 2 Units @57.5600						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/10/2018	***-**-828I - SHARMA, BOBBY	THE GROWTH FUND OF AMERICA-A	100.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: 00084334446	BUY 2 Units @58.1700						
07/10/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	100.00	4.25	0.00	4.25	90.0	3.83
	ACCOUNT #: 00086578408	BUY 2 Units @58.4700						
07/10/2018	***-**-706I - HOFSTETTER,	THE GROWTH FUND OF AMERICA-A	30.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00084334451	BUY 1 Units @58.4700						
07/10/2018	***-**-211I - MEGILL, ROBERT	THE INCOME FUND OF AMERICA-A	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 6 Units @23.9200						
07/10/2018	***-**-828I - SHARMA, BOBBY	THE INCOME FUND OF AMERICA-A	100.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: 00084334446	BUY 4 Units @24.1700						
07/10/2018	***-**-706I - HOFSTETTER,	THE INCOME FUND OF AMERICA-A	30.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00084334451	BUY 1 Units @24.2900						
07/10/2018	***-**-255P - WILSON, HELEN	WASHINGTON MUTUAL INVESTORS FUND-A	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @46.7100						
07/10/2018	***-**-525P - WILSON, FRANCIS	WASHINGTON MUTUAL INVESTORS FUND-A	196.58	3.93	0.00	3.93	90.0	3.54
	ACCOUNT #: 00082184129	BUY 4 Units @46.7100						
* MUTUAL FUNDS SUBTOTAL *			\$2,785.44	\$83.70	\$0.00	\$83.70		\$75.36
PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES								
07/10/2018	***-**-4902 - HOLLINGSHEAD,	AFE INCOME PLUS	10,000.00	475.00	0.00	475.00	100.0	475.00
		BUY 1 Units @10000.0000						
* EQUITY INDEXED ANNUITIES SUBTOTAL *			\$10,000.00	\$475.00	\$0.00	\$475.00		\$475.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/03/2018	***-**-254J - OTHEGUY, JUAN &	CALVERT ULTRA SHORT INCOME - A	0.00	2.93	0.00	2.93	90.0	2.64
	ACCOUNT #: 00004910394	BUY 0 Units @0.0000						
07/23/2018	***-**-6540 - STOUT, LOUISE	CAPITAL INCOME BUILDER CLASS C	0.00	1.57	0.00	1.57	90.0	1.41
	ACCOUNT #: LSR011072	BUY 1 Units @0.0000						
07/23/2018	***-**-13IO - CHARD, DANIELLE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.85	0.00	2.85	90.0	2.57
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
07/23/2018	***-**-308I - KEENAN, PETER	COLUMBIA FUNDS MF TRAILS	0.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 08696440853	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-237I - PIERSON, JEFFREY	COLUMBIA FUNDS MF TRAILS	0.00	11.45	0.00	11.45	90.0	10.31
	ACCOUNT #: 08696900918	BUY 0 Units @0.0000						
07/23/2018	***-**-13IO - CHARD, DANIELLE	FUNDAMENTAL INVESTORS-A	0.00	3.06	0.00	3.06	90.0	2.75
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
07/23/2018	***-**-10IR - JANNEY, DONNA	FUNDAMENTAL INVESTORS-A	0.00	0.89	0.00	0.89	90.0	0.80
	ACCOUNT #: LSR011203	BUY 1 Units @0.0000						
07/23/2018	***-**-08IR - JANNEY, DAVID	FUNDAMENTAL INVESTORS-A	0.00	0.89	0.00	0.89	90.0	0.80
	ACCOUNT #: LSR011204	BUY 1 Units @0.0000						
07/23/2018	***-**-296O - KELLEY, BRENDA	FUNDAMENTAL INVESTORS-C	0.00	3.74	0.00	3.74	90.0	3.37
	ACCOUNT #: LSR011834	BUY 1 Units @0.0000						
07/23/2018	***-**-7933 - PIERSON, KAREN	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	179.33	0.00	179.33	90.0	161.40
	ACCOUNT #: 091125554	BUY 0 Units @0.0000						
07/23/2018	***-**-55IR - LANGDON, TAMMY	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	110.04	0.00	110.04	90.0	99.04
	ACCOUNT #: 091138685	BUY 0 Units @0.0000						
07/23/2018	***-**-99IR - LANGDON, WILLIAM	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	516.63	0.00	516.63	90.0	464.97
	ACCOUNT #: 091138868	BUY 0 Units @0.0000						
07/23/2018	***-**-152J - LORD, GEORGE	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	50.08	0.00	50.08	90.0	45.07
	ACCOUNT #: 091140054	BUY 0 Units @0.0000						
07/23/2018	***-**-657A - LIBERTY,	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	93.91	0.00	93.91	90.0	84.52
	ACCOUNT #: 091140682	BUY 0 Units @0.0000						
07/23/2018	***-**-643J - CRAFT, LOIS	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	65.11	0.00	65.11	90.0	58.60
	ACCOUNT #: 091140712	BUY 0 Units @0.0000						
07/23/2018	***-**-54IN - STOUT, LOUISE	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	3.11	0.00	3.11	90.0	2.80
	ACCOUNT #: 91148263	BUY 0 Units @0.0000						
07/23/2018	***-**-935I - LOWE, JAMES	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	12.13	0.00	12.13	90.0	10.92
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
07/23/2018	***-**-935I - LOWE, JAMES	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	4.04	0.00	4.04	90.0	3.64
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
07/23/2018	***-**-13IO - CHARD, DANIELLE	NEW PERSPECTIVE FUND-A	0.00	3.18	0.00	3.18	90.0	2.86
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
07/23/2018	***-**-13IR - CHARD, DANIELLE	NEW PERSPECTIVE FUND-A	0.00	1.48	0.00	1.48	90.0	1.33
	ACCOUNT #: LSR010093	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-547J - BEST III, JOHN	NEW PERSPECTIVE FUND-A	0.00	8.87	0.00	8.87	90.0	7.98
	ACCOUNT #: LSR010824	BUY 1 Units @0.0000						
07/23/2018	***-**-10IR - JANNEY, DONNA	NEW PERSPECTIVE FUND-A	0.00	0.86	0.00	0.86	90.0	0.77
	ACCOUNT #: LSR011203	BUY 1 Units @0.0000						
07/23/2018	***-**-08IR - JANNEY, DAVID	NEW PERSPECTIVE FUND-A	0.00	0.86	0.00	0.86	90.0	0.77
	ACCOUNT #: LSR011204	BUY 1 Units @0.0000						
07/23/2018	***-**-559I - HUBBARD, JAMES	SAMMONS MF TRAILS	0.00	15.82	0.00	15.82	90.0	14.24
	ACCOUNT #: 7200005537	BUY 0 Units @0.0000						
07/23/2018	***-**-854I - SQUIRE, CAROLYN	SAMMONS MF TRAILS	0.00	3.80	0.00	3.80	90.0	3.42
	ACCOUNT #: 7200007202	BUY 0 Units @0.0000						
07/23/2018	***-**-7488 - SQUIRE, BARRY	SAMMONS MF TRAILS	0.00	7.49	0.00	7.49	90.0	6.74
	ACCOUNT #: 7200007204	BUY 0 Units @0.0000						
07/23/2018	***-**-82RI - MORGAN, BOBETTE	SAMMONS MF TRAILS	0.00	59.60	0.00	59.60	90.0	53.64
	ACCOUNT #: 7200009544	BUY 0 Units @0.0000						
07/23/2018	***-**-199I - MITCHEN, RICHARD	SAMMONS MF TRAILS	0.00	15.85	0.00	15.85	90.0	14.27
	ACCOUNT #: 7200011695	BUY 0 Units @0.0000						
07/23/2018	***-**-282I - MILLS, BENNEY	SAMMONS MF TRAILS	0.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: 7200012025	BUY 0 Units @0.0000						
07/23/2018	***-**-594I - REGULSKI, SUSAN	SAMMONS MF TRAILS	0.00	82.84	0.00	82.84	90.0	74.56
	ACCOUNT #: 7200012141	BUY 0 Units @0.0000						
07/23/2018	***-**-721I - CRAFT, LOIS	SAMMONS MF TRAILS	0.00	18.04	0.00	18.04	90.0	16.24
	ACCOUNT #: 7200013710	BUY 0 Units @0.0000						
07/23/2018	***-**-7933 - PIERSON, KAREN	SAMMONS MF TRAILS	0.00	47.68	0.00	47.68	90.0	42.91
	ACCOUNT #: 7200024944	BUY 0 Units @0.0000						
07/23/2018	***-**-224I - WILSON, FRANCIS	SAMMONS MF TRAILS	0.00	5.59	0.00	5.59	90.0	5.03
	ACCOUNT #: 7200036196	BUY 0 Units @0.0000						
07/23/2018	***-**-52IN - LORD, GEORGE	SAMMONS MF TRAILS	0.00	26.64	0.00	26.64	90.0	23.98
	ACCOUNT #: 7200036994	BUY 0 Units @0.0000						
07/23/2018	***-**-935I - LOWE, JAMES	THE GROWTH FUND OF AMERICA-A	0.00	3.11	0.00	3.11	90.0	2.80
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
07/23/2018	***-**-13IO - CHARD, DANIELLE	THE GROWTH FUND OF AMERICA-A	0.00	3.82	0.00	3.82	90.0	3.44
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-13IR - CHARD, DANIELLE	THE GROWTH FUND OF AMERICA-A	0.00	2.27	0.00	2.27	90.0	2.04
	ACCOUNT #: LSR010093	BUY 1 Units @0.0000						
07/23/2018	***-**-296O - KELLEY, BRENDA	THE GROWTH FUND OF AMERICA-C	0.00	2.74	0.00	2.74	90.0	2.47
	ACCOUNT #: LSR011834	BUY 1 Units @0.0000						
07/23/2018	***-**-547J - BEST III, JOHN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	5.17	0.00	5.17	90.0	4.65
	ACCOUNT #: LSR010824	BUY 1 Units @0.0000						
07/23/2018	***-**-654O - STOUT, LOUISE	THE NEW ECONOMY FUND-C	0.00	7.45	0.00	7.45	90.0	6.71
	ACCOUNT #: LSR011072	BUY 1 Units @0.0000						
07/23/2018	***-**-13IO - CHARD, DANIELLE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.59	0.00	2.59	90.0	2.33
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
07/05/2018	***-**-2209 - LING, BENJAMIN	WELLS FGO AVTG ENTERPRISE FUND - A	0.00	9.99	0.00	9.99	90.0	8.99
	ACCOUNT #: 01006543750	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$1,405.06	\$0.00	\$1,405.06		\$1,264.58
	*** BROKER TRANSACTIONS TOTAL ***		\$12,785.44	\$1,963.76	\$0.00	\$1,963.76		\$1,814.94

BROKER COMMISSION TOTALS

Broker Transactions	\$1,814.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,814.94
Year-to-date Earnings	\$31,858.20