

COMMISSION STATEMENT

December 31, 2017

FIRM 1-ACCOUNTS

BROKER #: 76

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for FIRM 1-ACCOUNTS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
12/11/2017	QGW004251	PICKELSIMER, LISA	AT&T INC	B	10,984.98	75.00	0.00	75.00	80.0	60.00
12/11/2017	QGW004251	PICKELSIMER, LISA	BANK OF AMERICA CORP	B	8,689.95	75.00	0.00	75.00	80.0	60.00
12/11/2017	QGW004251	PICKELSIMER, LISA	INTERNATIONAL BUSINESS MACHINES CORP	B	15,573.95	75.00	0.00	75.00	80.0	60.00
12/12/2017	QGW005985	ROTHBEIN, SYLVIA	RIOT BLOCKCHAIN INC COM	S	-15,000.00	70.00	0.00	70.00	80.0	56.00
12/12/2017	QGW005985	ROTHBEIN, SYLVIA	RIOT BLOCKCHAIN INC COM	B	13,650.00	70.00	0.00	70.00	80.0	56.00
12/26/2017	QGW005985	ROTHBEIN, SYLVIA	DHT HOLDINGS INC SHS	S	-61.40	28.25	0.00	28.25	80.0	22.60
12/26/2017	QGW005985	ROTHBEIN, SYLVIA	SPRINT CORPORATION COM SER 1	S	-770.02	28.25	0.00	28.25	80.0	22.60
12/26/2017	QGW014656	FERRANTE, ANTHONY	PARETEUM CORPORATION COMMON STOCK	B	7,750.00	35.00	0.00	35.00	80.0	28.00
11/24/2017	QGW020547	FOSTER, ELEANORE	ENTERCOM COMMUNICATIONS CORP CLASS	S	6,631.60	-100.00	0.00	-100.00	80.0	-80.00 C
11/24/2017	QGW020547	FOSTER, ELEANORE	ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,631.60	26.50	0.00	26.50	80.0	21.20
11/24/2017	QGW020604	FOSTER, WILLIAM	ENTERCOM COMMUNICATIONS CORP CLASS	S	6,631.60	-100.00	0.00	-100.00	80.0	-80.00 C
11/24/2017	QGW020604	FOSTER, WILLIAM	ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,631.60	26.50	0.00	26.50	80.0	21.20
11/24/2017	QGW021115	ROTHBEIN, SYLVIA	ENTERCOM COMMUNICATIONS CORP CLASS	S	6,631.60	-100.00	0.00	-100.00	80.0	-80.00 C
11/24/2017	QGW021115	ROTHBEIN, SYLVIA	ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,631.60	26.50	0.00	26.50	80.0	21.20
11/24/2017	QGW021347	ROTHBEIN, HOWARD	ENTERCOM COMMUNICATIONS CORP CLASS	S	6,560.80	-100.00	0.00	-100.00	80.0	-80.00 C
11/24/2017	QGW021347	ROTHBEIN, HOWARD	ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,560.80	26.50	0.00	26.50	80.0	21.20
12/11/2017	QGW021347	ROTHBEIN, HOWARD	WESTROCK COMPANY COM	B	32,261.40	28.25	0.00	28.25	80.0	22.60
* STOCKS SUBTOTAL *					\$73,078.86	\$190.75	\$0.00	\$190.75		\$152.60
PRODUCT CATEGORY: BONDS										
12/04/2017	75599900	RF LAFFERTY & CO	UNITED STATES TREASURY BILL	S	95,296.27	0.00	0.00	0.00	80.0	0.00
12/04/2017	75599900	RF LAFFERTY & CO	UNITED STATES TREASURY BILL	B	95,296.27	0.00	0.00	0.00	80.0	0.00
12/18/2017	75599900	RF LAFFERTY & CO	UNITED STATES TREASURY BILL	S	22,827.91	0.00	0.00	0.00	80.0	0.00
12/18/2017	75599900	RF LAFFERTY & CO	UNITED STATES TREASURY BILL	B	22,827.91	0.00	0.00	0.00	80.0	0.00
* BONDS SUBTOTAL *					\$236,248.36	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: OPTIONS										
12/13/2017	QGW005985	ROTHBEIN, SYLVIA	CALL 100 MOMO INC ADR ISIN#US60879B	S	-540.00	35.00	0.00	35.00	80.0	28.00
12/05/2017	QGW007700	SARNA, MARK	CALL 100 BANK AMER CORP COM EXP 01-	B	9,480.00	120.00	0.00	120.00	80.0	96.00
12/05/2017	QGW007825	SARNA FAMILY LTD	CALL 100 BANK AMER CORP COM EXP 01-	B	9,480.00	120.00	0.00	120.00	80.0	96.00
* OPTIONS SUBTOTAL *					\$18,420.00	\$275.00	\$0.00	\$275.00		\$220.00

COMMISSION STATEMENT for FIRM 1-ACCOUNTS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/01/2017	00058689679	BENEDETTO, MARY	AMCAP FUND-A	B	0.00	29.68	0.00	29.68	80.0	23.74
12/01/2017	00058689680	BENEDETTO, ROCCO	AMCAP FUND-A	B	0.00	137.28	0.00	137.28	80.0	109.82
12/01/2017	00061778315	BENEDETTO, MARY	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	35.57	0.00	35.57	80.0	28.46
12/01/2017	00063934346	BENEDETTO, ROCCO	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	35.57	0.00	35.57	80.0	28.46
12/01/2017	00063965493	BENEDETTO, MARY	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	48.17	0.00	48.17	80.0	38.54
12/01/2017	00063982104	BENEDETTO, CYNTHIA	AMCAP FUND-A	B	0.00	7.61	0.00	7.61	80.0	6.09
12/11/2017	QGW008369	FRIEDMAN, RICHARD	ALLIANCEBERNSTEIN GROWTH A	B	0.00	0.87	0.00	0.87	80.0	0.70
12/11/2017	QGW014177	MORSE, SUSANNA	MASS INVESTORS GROWTH STOCK FUND-A	B	0.00	2.49	0.00	2.49	80.0	1.99
12/15/2017	QGW014177	MORSE, SUSANNA	PUTNAM 74680E101	B	0.00	0.87	0.00	0.87	80.0	0.70
12/21/2017	QGW014177	MORSE, SUSANNA	FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	6.46	0.00	6.46	80.0	5.17
12/11/2017	QGW021115	ROTHBEIN, SYLVIA	MFS EQUITY OPPORTUNITIES FUND CLASS B	B	0.00	57.46	0.00	57.46	80.0	45.97
12/21/2017	QGW021347	ROTHBEIN, HOWARD	OPPENHEIMER DEVELOPING MARKETS FUND B	B	0.00	32.83	0.00	32.83	80.0	26.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$394.86	\$0.00	\$394.86		\$315.90
*** BROKER TRANSACTIONS TOTAL ***					\$327,747.22	\$860.61	\$0.00	\$860.61		\$688.50

ADJUSTMENTS

12/31/2017		MWWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00		-2.00
12/31/2017		MWWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00		-13.79
12/31/2017		MWWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00		-65.00
12/31/2017		MWWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00		-65.00
12/31/2017		MWWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00		-2.00
12/31/2017		MMWDA:OTHER: REV WRITE-OFF DEBIT BA		0.00	0.00	0.00	0.00		2.00
12/31/2017		MMWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00		-1.86
12/31/2017		MWWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00		-2.00
12/31/2017		ADVANCE: ADVANCE		0.00	0.00	0.00	0.00		-13,130.29
12/31/2017		OTHER: OTHER-IRENE SULLIVAN		0.00	0.00	0.00	0.00		2,658.23
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-10,621.71</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$688.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-10,621.71
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.08
SIPC Assessment	\$-2.15

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-9,936.44
Year-to-date Earnings	\$-6,329.68