

COMMISSION STATEMENT

March 31, 2018

CYNTHIA MICHAEL-D181

BROKER #: D181

BRANCH: DMR

, TX

COMMISSION STATEMENT for CYNTHIA MICHAEL-D181

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: STOCKS

03/20/2018	152960413	BRATCHER, DONNA	S	FACEBOOK INC-CLASS A	S	-16,564.41	241.71	15.00	226.71	80.0	181.37
				* STOCKS SUBTOTAL *		\$-16,564.41	\$241.71	\$15.00	\$226.71		\$181.37

PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT

03/12/2018	400397408	JACKSON, VIRGINIA	CD	ENERBANK USA SALT LAKE CITY	B	100,002.45	225.00	20.00	205.00	80.0	164.00
				* CERTIFICATES OF DEPOSIT SUBTOTAL *		\$100,002.45	\$225.00	\$20.00	\$205.00		\$164.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMCAP FUND-A	B	0.00	3.49	0.00	3.49	80.0	2.79
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN BALANCED FUND-F1	B	0.00	23.40	0.00	23.40	80.0	18.72
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN FDS ST TAX EXEMPT BOND	B	0.00	0.01	0.00	0.01	80.0	0.01
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN HIGH-INCOME TRUST-A	B	0.00	38.87	0.00	38.87	80.0	31.10
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN HIGH-INCOME MUNI BOND	B	0.00	0.09	0.00	0.09	80.0	0.07
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	7.03	0.00	7.03	80.0	5.62
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	14.60	0.00	14.60	80.0	11.68
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	32.18	0.00	32.18	80.0	25.74
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK MULTI-ASSET INCOME FD	B	0.00	4.89	0.00	4.89	80.0	3.91
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK GLOBAL ALLOCATION FD	B	0.00	13.17	0.00	13.17	80.0	10.54
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK GLOBAL ALLOCATION	B	0.00	4.07	0.00	4.07	80.0	3.26
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK GLOBAL ALLOCATION	B	0.00	14.06	0.00	14.06	80.0	11.25
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	3.73	0.00	3.73	80.0	2.98
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	4.54	0.00	4.54	80.0	3.63
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	4.60	0.00	4.60	80.0	3.68
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	COLUMBIA TAX-EXEMPT FUND-A	B	0.00	0.49	0.00	0.49	80.0	0.39
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	DEUTSCHE FLOATING RATE FD C	B	0.00	17.91	0.00	17.91	80.0	14.33
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	DEUTSCHE FLOATING RATE FD C	B	0.00	19.70	0.00	19.70	80.0	15.76
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	FRANKLIN INCOME FUND CLASS C	B	0.00	7.67	0.00	7.67	80.0	6.14
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	FRANKLIN INCOME FUND CLASS C	B	0.00	10.51	0.00	10.51	80.0	8.41
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	FRANKLIN INCOME FUND CLASS C	B	0.00	16.04	0.00	16.04	80.0	12.83
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	FRANKLIN INCOME FUND CLASS C	B	0.00	21.03	0.00	21.03	80.0	16.82
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	FRANKLIN INCOME FUND CLASS C	B	0.00	48.03	0.00	48.03	80.0	38.42
03/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	FRANKLIN INCOME FUND CLASS C	B	0.00	60.27	0.00	60.27	80.0	48.22

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03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	63.76	0.00	63.76	80.0	51.01
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.12	0.00	7.12	80.0	5.70
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	15.38	0.00	15.38	80.0	12.30
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.69	0.00	3.69	80.0	2.95
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	3.96	0.00	3.96	80.0	3.17
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LOOMIS SAYLES GROWTH FD CL C	B	0.00	14.89	0.00	14.89	80.0	11.91
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	22.02	0.00	22.02	80.0	17.62
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	45.50	0.00	45.50	80.0	36.40
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT MULTI-ASSET INCOME	B	0.00	3.69	0.00	3.69	80.0	2.95
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT SHORT DURATION	B	0.00	18.07	0.00	18.07	80.0	14.46
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT MAINSTAY HIGH YIELD MUNI BD C	B	0.00	41.65	0.00	41.65	80.0	33.32
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT MFS GROWTH ALLOCATION FUND-C	B	0.00	8.32	0.00	8.32	80.0	6.66
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT MID-CAP VALUE FUND CLASS A	B	0.00	4.48	0.00	4.48	80.0	3.58
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.32	0.00	3.32	80.0	2.66
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT NUVEEN SHORT DUR HIGH YLD MUNI	B	0.00	13.44	0.00	13.44	80.0	10.75
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	0.07	0.00	0.07	80.0	0.06
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	8.17	0.00	8.17	80.0	6.54
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.61	0.00	11.61	80.0	9.29
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	14.22	0.00	14.22	80.0	11.38
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	8.21	0.00	8.21	80.0	6.57
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	85.44	0.00	85.44	80.0	68.35
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.45	0.00	3.45	80.0	2.76
03/21/2018	mfc495845 MICHAEL CYNTHIA	MFT WELLS FARGO ASSET ALLOCATION FD B	B	0.00	6.84	0.00	6.84	80.0	5.47
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$777.68	\$0.00	\$777.68		\$622.16
*** CLEARING TRANSACTIONS TOTAL ***				\$83,438.04	\$1,244.39	\$35.00	\$1,209.39		\$967.53

ADJUSTMENTS

03/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
03/31/2018	: CUSTOMER WRITE OFF 400397408	0.00	0.00	0.00	0.00	-2.46
03/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
03/31/2018	: EXTENDED SETTLEMENT	0.00	0.00	0.00	0.00	57.38
03/31/2018	: QUODD CHARGES	0.00	0.00	0.00	0.00	-130.37
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-130.45

BROKER COMMISSION TOTALS

Broker Transactions	\$967.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-130.45
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$837.08
Year-to-date Commissions	\$5,438.33