

8:17 AM

06/07/18

Accrual Basis

Dominion Investor Services
Vendor Open Balance
All Transactions

| Type | Date | Num | Memo | Due Date | Open Balance | Amount |
|-------------------------|------------|---------|------|----------|----------------|----------------|
| ELLIS, DOUG-D335 | | | | | | |
| Credit | 05/31/2018 | COM... | | | -106.00 | -106.00 |
| Credit | 06/01/2018 | E&O | | | -120.00 | -120.00 |
| Credit | 06/01/2018 | E&O-... | | | -120.00 | -120.00 |
| Total ELLIS, DOUG-D335 | | | | | -346.00 | -346.00 |
| TOTAL | | | | | -346.00 | -346.00 |

COMMISSION STATEMENT
May 31, 2018

DOUG ELLIS-D335

BROKER #: D335
BRANCH: DMN

, TX

COMMISSION STATEMENT for DOUG ELLIS-D335

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------|--------|-------------------------|-----|----------------------------------|---------------------|--------------------|-------------------|---------------|----------------------|
| <u>ADJUSTMENTS</u> | | | | | | | | | |
| 05/31/2018 | | : BROKER WORKSTATION | | 0.00 | 0.00 | 0.00 | 0.00 | | -30.00 |
| 05/31/2018 | | : E-MAIL ACCOUNT | | 0.00 | 0.00 | 0.00 | 0.00 | | -25.00 |
| 05/31/2018 | | : E-MAIL ACCOUNT-ELMORE | | 0.00 | 0.00 | 0.00 | 0.00 | | -25.00 |
| 05/31/2018 | | : SHAREFILE | | 0.00 | 0.00 | 0.00 | 0.00 | | -13.00 |
| 05/31/2018 | | : SHAREFILE-ELMORE | | 0.00 | 0.00 | 0.00 | 0.00 | | -13.00 |
| | | | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-106.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-106.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|---------------------------------|-------------------|
| Balance Forward | \$-106.00 |
| Year-to-date Commissions | \$2,048.70 |