

**COMMISSION STATEMENT****February 15, 2018**BRION, N121 NORBY
4905 Prairie LaneBROKER #: N121
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #10</u>								
02/01/2018	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
02/01/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	3.01	0.00	3.01	90.0	2.71
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$440.00	\$6.95	\$0.00	\$6.95		\$6.26
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
01/18/2018	EBEL, DWIGHT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.03	0.00	0.03	90.0	0.03
01/18/2018	EBEL, DWIGHT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
01/18/2018	EBEL, DWIGHT	AM FUNDS ICA A	0.00	0.10	0.00	0.10	90.0	0.09
01/18/2018	EBEL, DWIGHT	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.09	0.00	0.09	90.0	0.08
01/18/2018	EBEL, DWIGHT	AM FUNDS NEW WORLD A	0.00	0.03	0.00	0.03	90.0	0.03
02/01/2018	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	180.00	3.15	0.00	3.15	90.0	2.84
02/02/2018	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	160.00	2.80	0.00	2.80	90.0	2.52
01/16/2018	EBEL, DWIGHT	COTTONWOOD MULTIFAMILY REIT II	30,000.00	900.00	0.00	900.00	90.0	810.00
02/05/2018	SAYLER, DELBERT	INLAND COLORADO MF PF III DST	495,730.00	6,196.34	0.00	6,196.34	90.0	5,576.71
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$526,070.00	\$7,102.57	\$0.00	\$7,102.57		\$6,392.33
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333</u>								
01/18/2018	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.19	0.00	0.19	90.0	0.17
01/18/2018	ERNST, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
01/24/2018	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
01/17/2018	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.09	0.00	0.09	90.0	0.08
01/25/2018	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	0.21	0.00	0.21	90.0	0.19
01/25/2018	NEWBERRY TRUST, GLORIA	INVESCO GROWTH AND INCOME FUND CLAS	0.00	0.01	0.00	0.01	90.0	0.01
01/17/2018	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.01	0.00	0.01	90.0	0.01
01/17/2018	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.11	0.00	0.11	90.0	0.10
01/17/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.58	0.00	0.58	90.0	0.52
01/29/2018	ERNST, TERRI	TOUCHSTONE SMALL COMPANY FUND CLASS	0.00	0.02	0.00	0.02	90.0	0.02

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$0.00	\$1.28	\$0.00	\$1.28		\$1.16
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
02/01/2018	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	5.18	0.00	5.18	90.0	4.66
02/01/2018	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	3.43	0.00	3.43	90.0	3.09
02/01/2018	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	2.66	0.00	2.66	90.0	2.39
02/05/2018	HAUGEN, STACI	DODGE & COX INTERNATIONAL FUND	-2,384.24	0.00	8.80	-8.80	90.0	-7.92
02/05/2018	HAUGEN, STACI	FEDERATED GOVERNMENT INCOME TRUST F	-2,654.87	0.00	0.00	0.00	90.0	0.00
02/05/2018	HAUGEN, STACI	FIDELITY CONTRAFUND	-3,007.04	0.00	8.80	-8.80	90.0	-7.92
02/05/2018	HAUGEN, STACI	HARBOR BOND FUND INSTITUTIONAL CLAS	-2,708.53	0.00	8.80	-8.80	90.0	-7.92
02/05/2018	HAUGEN, STACI	VANGUARD ENERGY FUND ADMIRAL SHARES	-2,148.52	0.00	8.80	-8.80	90.0	-7.92
02/05/2018	HAUGEN, STACI	VANGUARD PRIMECAP CORE FUND INVESTO	-3,086.25	0.00	8.80	-8.80	90.0	-7.92
02/05/2018	HAUGEN, STACI	VANGUARD REIT INDEX FUND ADMIRAL SH	-2,537.47	0.00	8.80	-8.80	90.0	-7.92
02/05/2018	HAUGEN, STACI	VANGUARD SHORT-TERM INVESTMENT-GRAD	-2,732.09	0.00	8.80	-8.80	90.0	-7.92
02/05/2018	HAUGEN, STACI	VANGUARD SMALL-CAP GROWTH INDEX FUN	-2,365.00	0.00	8.80	-8.80	90.0	-7.92
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$-22,819.01	\$11.27	\$70.40	\$-59.13		\$-53.22
*** SPLIT TRANSACTIONS TOTAL ***			\$503,690.99	\$7,122.07	\$70.40	\$7,051.67		\$6,346.53

ADJUSTMENTS

02/15/2018	*CONCIERGE SVC: 207640 EBEL	0.00	-25.00
02/15/2018	*CONCIERGE SVC: 208659 AAFEDT	0.00	-25.00
02/15/2018	*CONCIERGE SVC: 208681 AAFEDT	0.00	-25.00
02/15/2018	*CONCIERGE SVC: 208687 AAFEDT	0.00	-25.00
02/15/2018	*CONCIERGE SVC: 208756 SAYLER	0.00	-25.00
02/15/2018	*CONCIERGE SVC: 209175 SCOTT	0.00	-25.00
02/15/2018	*EO INS: CURRENT MONTH INS	0.00	-300.00
02/15/2018	*PERSHING: BOOKS & RECORDS	0.00	-3.00
02/15/2018	*PERSHING: BOOKS & RECORDS	0.00	-0.24
02/15/2018	*PERSHING: ETF PROSPECTUS MAILING	0.00	-0.43
02/15/2018	*PERSHING: ETF PROSPECTUS MAILING	0.00	-10.33
02/15/2018	*TECH: CURRENT MONTH TECH	0.00	-275.00

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DIRECT TRANSACTIONS

PRODUCT CATEGORY: 1031 EXCHANGES

*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-739.00
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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$6,346.53
Override Transactions	\$0.00
Adjustments	\$-739.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,607.53
Year-to-date Gross	\$18,947.77
Year-to-date Earnings	\$16,979.11

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