

# Bill Payment Stub

Check Date:	2/7/2018
Check No.:	ACH
Check Amount:	352.45

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: THOMAS ALEX BRISTER  
32180 INVERNESS DR.  
EVERGREEN, CO 80439

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
1/15/2018	Bill	COMMISSIONS	6.88	6.88		6.88
1/31/2018	Bill	COMMISSIONS	345.57	345.57		345.57

**COMMISSION STATEMENT**  
**January 15, 2018**

ALEX BRISTER-D010

BROKER #: D010  
BRANCH: DMD

, TX

COMMISSION STATEMENT for ALEX BRISTER-D010

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/29/2017 04000508909	GERRIE, ANDREW	MF	AMERICAN FUNDS 2040 TARGET	B	500.00	13.75	0.00	13.75	50.0	6.88
* MUTUAL FUNDS SUBTOTAL *					\$500.00	\$13.75	\$0.00	\$13.75		\$6.88
*** DIRECT TRANSACTIONS TOTAL ***					\$500.00	\$13.75	\$0.00	\$13.75		\$6.88

BROKER COMMISSION TOTALS

Broker Transactions	\$6.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$6.88
Year-to-date Commissions	\$59.74

# COMMISSION STATEMENT

January 31, 2018

ALEX BRISTER-D010

BROKER #: D010

BRANCH: DMD

, TX

## COMMISSION STATEMENT for ALEX BRISTER-D010

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
01/04/2018	676429808	BRISTER, THOMAS	MF	AMERICAN FDS FUNDAMENTAL INVSTR	S	3,012.45	0.00	5.00	-5.00 50.0 -2.50
01/04/2018	676429808	BRISTER, THOMAS	MF	EUROPACIFIC GROWTH FUND-F2	S	5,362.45	0.00	5.00	-5.00 50.0 -2.50
01/04/2018	676429808	BRISTER, THOMAS	MF	FUNDAMENTAL INVESTORS-A	S	-2,131.21	0.00	5.00	-5.00 50.0 -2.50
01/04/2018	676429808	BRISTER, THOMAS	MF	INTERNATIONAL GROWTH AND	S	-5,377.18	0.00	5.00	-5.00 50.0 -2.50
				<b>* MUTUAL FUNDS SUBTOTAL *</b>		<b>\$866.51</b>	<b>\$0.00</b>	<b>\$20.00</b>	<b>\$-20.00 \$-10.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
01/23/2018	676429808	BRISTER, THOMAS	S	OKTA INC	B	1,537.45	15.00	15.00	0.00 50.0 0.00
01/24/2018	676429808	BRISTER, THOMAS	S	AMPLIFY TRANSFORMATIONAL DATA	B	1,070.45	15.00	15.00	0.00 50.0 0.00
				<b>* STOCKS SUBTOTAL *</b>		<b>\$2,607.90</b>	<b>\$30.00</b>	<b>\$30.00</b>	<b>\$0.00 \$0.00</b>
<b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b>									
01/05/2018	a03652653	BRISTER-D010, ALEX	MI	MUTUAL FUND MISC FEE 676429808	S	0.00	10.00	0.00	10.00 50.0 5.00
01/05/2018	a03652653	BRISTER-D010, ALEX	MI	MUTUAL FUND MISC FEE 676429808	S	0.00	10.00	0.00	10.00 50.0 5.00
01/05/2018	a03652653	BRISTER-D010, ALEX	MI	MUTUAL FUND MISC FEE 676429808	S	0.00	10.00	0.00	10.00 50.0 5.00
01/05/2018	a03652653	BRISTER-D010, ALEX	MI	MUTUAL FUND MISC FEE 676429808	S	0.00	10.00	0.00	10.00 50.0 5.00
				<b>* MISCELLANEOUS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$40.00</b>	<b>\$0.00</b>	<b>\$40.00 \$20.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
01/23/2018	mfc652653	BRISTER A 652653	MFT	AIM GLOBAL VALUE FUND - CLASS A	B	0.00	2.78	0.00	2.78 50.0 1.39
01/23/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZ NFJ INTL VALUE FD CL C	B	0.00	10.55	0.00	10.55 50.0 5.28
01/23/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI SHRT DURATION HIGH	B	0.00	8.34	0.00	8.34 50.0 4.17
01/23/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI GLOBAL ALLOCATION A	B	0.00	2.69	0.00	2.69 50.0 1.35
01/23/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	12.93	0.00	12.93 50.0 6.47
01/23/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	34.46	0.00	34.46 50.0 17.23
01/23/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-A	B	0.00	0.40	0.00	0.40 50.0 0.20
01/23/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-A	B	0.00	2.96	0.00	2.96 50.0 1.48
01/23/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-A	B	0.00	9.58	0.00	9.58 50.0 4.79
01/23/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.30	0.00	0.30 50.0 0.15
01/23/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.86	0.00	3.86 50.0 1.93
01/23/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.17	0.00	2.17 50.0 1.09
01/23/2018	mfc652653	BRISTER A 652653	MFT	EUROPACIFIC GROWTH FUND CLASS C	B	0.00	3.83	0.00	3.83 50.0 1.92

COMMISSION STATEMENT for ALEX BRISTER-D010

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/23/2018 mfc652653	BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.18	0.00	0.18	50.0	0.09
01/23/2018 mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.33	0.00	0.33	50.0	0.17
01/23/2018 mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.07	0.00	3.07	50.0	1.54
01/23/2018 mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.59	0.00	3.59	50.0	1.80
01/23/2018 mfc652653	BRISTER A 652653	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	8.39	0.00	8.39	50.0	4.20
01/23/2018 mfc652653	BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	2.30	0.00	2.30	50.0	1.15
01/23/2018 mfc652653	BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	6.04	0.00	6.04	50.0	3.02
01/23/2018 mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.22	0.00	1.22	50.0	0.61
01/23/2018 mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.29	0.00	1.29	50.0	0.65
01/23/2018 mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.85	0.00	1.85	50.0	0.93
01/23/2018 mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	2.74	0.00	2.74	50.0	1.37
01/23/2018 mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	26.57	0.00	26.57	50.0	13.29
01/23/2018 mfc652653	BRISTER A 652653	MFT NEW WORLD FUND-A	B	0.00	1.53	0.00	1.53	50.0	0.77
01/23/2018 mfc652653	BRISTER A 652653	MFT PIM 018918664	B	0.00	4.79	0.00	4.79	50.0	2.40
01/23/2018 mfc652653	BRISTER A 652653	MFT PIM 018918664	B	0.00	4.97	0.00	4.97	50.0	2.49
01/23/2018 mfc652653	BRISTER A 652653	MFT PIMCO STOCKSPLUS A	B	0.00	2.54	0.00	2.54	50.0	1.27
01/23/2018 mfc652653	BRISTER A 652653	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	1.27	0.00	1.27	50.0	0.64
01/23/2018 mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	0.15	0.00	0.15	50.0	0.08
01/23/2018 mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	1.67	0.00	1.67	50.0	0.84
01/23/2018 mfc652653	BRISTER A 652653	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.39	0.00	5.39	50.0	2.70
01/23/2018 mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.26	0.00	0.26	50.0	0.13
01/23/2018 mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.42	0.00	1.42	50.0	0.71
01/23/2018 mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.74	0.00	1.74	50.0	0.87
01/23/2018 mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.77	0.00	1.77	50.0	0.89
01/23/2018 mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	2.14	0.00	2.14	50.0	1.07
01/23/2018 mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	3.77	0.00	3.77	50.0	1.89
01/23/2018 mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	11.67	0.00	11.67	50.0	5.84
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$197.50	\$0.00	\$197.50		\$98.86
*** CLEARING TRANSACTIONS TOTAL ***				\$3,474.41	\$267.50	\$50.00	\$217.50		\$108.86

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

01/04/2018 (None)	VARIOUS, BRISTER A	MF	SCHOLARS CHOICE	B	0.00	4.50	0.00	4.50	50.0	2.25
01/12/2018 00068781179	GERRIE, SARA	MF	AMCAP FUND-A	B	300.00	8.25	0.00	8.25	50.0	4.13
01/16/2018 00083404474	BARNES, WENDY	MF	AMCAP FUND-A	B	500.00	18.75	0.00	18.75	50.0	9.38
* MUTUAL FUNDS SUBTOTAL *					\$800.00	\$31.50	\$0.00	\$31.50		\$15.76

PRODUCT CATEGORY: VARIABLE ANNUITIES

01/18/2018 (None)	BRISTER, GARETT	VA	AMERITAS	B	0.00	1.27	0.00	1.27	50.0	0.64
* VARIABLE ANNUITIES SUBTOTAL *					\$0.00	\$1.27	\$0.00	\$1.27		\$0.64

COMMISSION STATEMENT for ALEX BRISTER-D010

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
12/31/2017 (None)	LIERHEIMER, VICTORIA	MFT PIMCO 12B-1	B	0.00	23.09	0.00	23.09	50.0	11.55
12/31/2017 (None)	WALKER, SARAH	MFT PIMCO 12B-1	B	0.00	7.33	0.00	7.33	50.0	3.67
12/31/2017 (None)	NOWICKI, EVETTE	MFT PIMCO 12B-1	B	0.00	0.90	0.00	0.90	50.0	0.45
12/31/2017 (None)	WIEBER, JOSHUA	MFT SCHOLARS CHOICE	B	0.00	5.59	0.00	5.59	50.0	2.80
12/31/2017 (None)	GERRIE, SARA	MFT SCHOLARS CHOICE	B	0.00	121.25	0.00	121.25	50.0	60.63
12/31/2017 (None)	GERRIE, SARA	MFT SCHOLARS CHOICE	B	0.00	116.01	0.00	116.01	50.0	58.01
12/31/2017 (None)	GERRIE, SARA	MFT SCHOLARS CHOICE	B	0.00	119.76	0.00	119.76	50.0	59.88
12/31/2017 (None)	LIERHEIMER, VICTORIA	MFT SCHOLARS CHOICE	B	0.00	24.50	0.00	24.50	50.0	12.25
12/31/2017 (None)	BRISTER, THOMAS	MFT SCHOLARS CHOICE	B	0.00	14.48	0.00	14.48	50.0	7.24
12/31/2017 (None)	BRISTER, THOMAS	MFT SCHOLARS CHOICE	B	0.00	0.70	0.00	0.70	50.0	0.35
12/31/2017 (None)	NEWKIRK, JOHN	MFT SCHOLARS CHOICE	B	0.00	4.20	0.00	4.20	50.0	2.10
12/31/2017 (None)	NEWKIRK, JOHN	MFT SCHOLARS CHOICE	B	0.00	9.71	0.00	9.71	50.0	4.86
12/31/2017 (None)	NEWKIRK, JOHN	MFT SCHOLARS CHOICE	B	0.00	9.03	0.00	9.03	50.0	4.52
12/31/2017 (None)	BRISTER, THOMAS	MFT SCHOLARS CHOICE	B	0.00	1.76	0.00	1.76	50.0	0.88
01/03/2018 01200976205	RIMBEY, PAMELA	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	14.54	0.00	14.54	50.0	7.27
01/03/2018 01200976205	RIMBEY, PAMELA	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	39.71	0.00	39.71	50.0	19.86
01/03/2018 01200976213	RUSSELL, KATHLEEN	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	7.36	0.00	7.36	50.0	3.68
01/03/2018 01200976213	RUSSELL, KATHLEEN	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	20.01	0.00	20.01	50.0	10.01
01/03/2018 01220231615	ROSE, HEATHER	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	5.23	0.00	5.23	50.0	2.62
01/03/2018 01240215275	HUNT, CYNTHIA	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	3.50	0.00	3.50	50.0	1.75
01/03/2018 01241725892	EVANS, SHELLEY	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	0.76	0.00	0.76	50.0	0.38
01/03/2018 01241747409	KEFFLER, EMILY	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	1.09	0.00	1.09	50.0	0.55
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$550.51</b>	<b>\$0.00</b>	<b>\$550.51</b>		<b>\$275.31</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$800.00</b>	<b>\$583.28</b>	<b>\$0.00</b>	<b>\$583.28</b>		<b>\$291.71</b>

ADJUSTMENTS

01/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
01/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-55.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$400.57
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$345.57</b>
<b>Year-to-date Commissions</b>	<b>\$405.31</b>