



All Companies

04/12/2018
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COMMISSION STATEMENT April 16, 2018

JON D. JOHNSON
6630 Dark Canyon Road

BROKER #: 9604
BRANCH: HOME OFFICE

Rapid City, SD 57702-

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	135.65	135.65	0.00	135.65	90.0	122.09
03/31/2018	TRAILS	-OPPENHEIMER FUNDS	B	1,002.06	1,002.06	0.00	1,002.06	90.0	901.85
03/31/2018	TRAILS	-PUTNAM	B	32.02	32.02	0.00	32.02	90.0	28.82
03/31/2018	PRECISION PHYS THERAPY	NATIONWIDE QUALIFIED PLAN	B	3,052.80	100.62	0.00	100.62	90.0	90.56
04/02/2018	000000001254933 HEIBERGER, JOHN R.	FRK SM CAP GRWTH FND II - CL A	B	45.00	1.69	0.00	1.69	90.0	1.52
04/02/2018	000000007332416 HEIBERGER, JOHN R.	MUTUAL DISC FND - CL A	B	34.00	1.28	0.00	1.28	90.0	1.15
04/02/2018	000000008260349 HEIBERGER, JOHN R.	TEMPLETON DEVELOPING MARKETS TRUST B	B	71.00	2.66	0.00	2.66	90.0	2.39
04/05/2018	000004978615738 PARKS, DANIEL	TEMPLETON FOREIGN FD CL I	B	150.00	4.20	0.00	4.20	90.0	3.78
04/02/2018	000013912608568 HEIBERGER, JOHN	FRANKLIN SPECIAL EQUITY INCOME FD C B	B	129.00	4.84	0.00	4.84	90.0	4.36
04/02/2018	000015813926201 HEIBERGER, JOHN R.	FRANKLIN RISING DIVIDEND FD CL I	B	34.00	1.28	0.00	1.28	90.0	1.15
04/05/2018	000019818033829 PARKS, DANIEL	FRANKLIN SMALL CAP GROWTH FD CL I	B	150.00	4.20	0.00	4.20	90.0	3.78
03/23/2018	00002407595 SWANSON, JOHN H.	FUNDAMENTAL ALL CAP CORE C	B	99.00	0.99	0.00	0.99	90.0	0.89
03/23/2018	00002407597 SHOUP, MICHAEL	LARGE CAP EQUITY C	B	163.73	1.64	0.00	1.64	90.0	1.48
04/02/2018	000028212458892 HEIBERGER, JOHN	FRANKLIN VALUE FD CL 1	B	129.00	4.84	0.00	4.84	90.0	4.36
03/23/2018	00003378327 LAWRENCE, ANDRE X.	MULTIMANAGER 2060 LIFETIME PORT A	B	99.00	4.21	0.00	4.21	90.0	3.79
04/02/2018	000060177413865 HEIBERGER, JOHN	FRANKLIN GROWTH FD CL I	B	129.00	4.84	0.00	4.84	90.0	4.36
04/05/2018	000080192852311 PARKS, DANIEL	FRANKLIN DYNATECH FD CL I	B	200.00	5.60	0.00	5.60	90.0	5.04
04/02/2018	000080192865093 HEIBERGER, JOHN R.	FRANKLIN DYNATECH FD CL I	B	34.00	1.28	0.00	1.28	90.0	1.15
03/20/2018	00035718011 PATTEN, PHILIP	IVY LARGE CAP GROWTH A	B	152.76	7.64	0.00	7.64	90.0	6.88
03/20/2018	00035718011 PATTEN, PHILIP	IVY MID CAP GROWTH A	B	152.76	7.64	0.00	7.64	90.0	6.88
03/20/2018	00035718011 PATTEN, PHILIP	IVY SCIENCE & TECH A	B	152.76	7.64	0.00	7.64	90.0	6.88
03/29/2018	00035820046 HERMAN, BARRY	IVY GLOBAL EQUITY INCOME A	B	500.00	25.00	0.00	25.00	90.0	22.50
03/23/2018	00035888441 MITCHELL,	IVY BALANCED C	B	400.00	4.00	0.00	4.00	90.0	3.60

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
RANI/BRIAN									
03/20/2018	00035993369	GESINGER, AUSTIN	IVY ASSET STRATEGY A	B	100.00	5.00	0.00	5.00	90.0 4.50
03/20/2018	00035993369	GESINGER, AUSTIN	IVY SCIENCE & TECH A	B	100.00	5.00	0.00	5.00	90.0 4.50
03/28/2018	00036080289	DUNN, ANGELA	IVY CORE EQUITY A	B	50.00	2.50	0.00	2.50	90.0 2.25
04/06/2018	00067306476	BEITELSPACHER,	GROWTH PORTFOLIO-A	B	5,500.00	151.25	0.00	151.25	90.0 136.13
NICOLE A.									
03/27/2018	00073331273	LEIVESTAD, DALE L.	CAPITAL WORLD GROWTH AND INCOME FUN B		82.50	0.83	0.00	0.83	90.0 0.75
03/27/2018	00073331273	LEIVESTAD, DALE L.	CAPITAL WORLD GROWTH AND INCOME FUN B		82.50	0.83	0.00	0.83	90.0 0.75
03/27/2018	00073331273	LEIVESTAD, DALE L.	THE GROWTH FUND OF AMERICA-C	B	85.00	0.85	0.00	0.85	90.0 0.77
03/27/2018	00073331273	LEIVESTAD, DALE L.	THE GROWTH FUND OF AMERICA-C	B	85.00	0.85	0.00	0.85	90.0 0.77
03/27/2018	00073331273	LEIVESTAD, DALE L.	WASHINGTON MUTUAL INVESTORS FUND-C	B	82.50	0.83	0.00	0.83	90.0 0.75
03/27/2018	00073331273	LEIVESTAD, DALE L.	WASHINGTON MUTUAL INVESTORS FUND-C	B	82.50	0.83	0.00	0.83	90.0 0.75
04/02/2018	00073968645	BECKER, RYAN	AMERICAN FUNDS BALANCED FD	B	100.00	3.75	0.00	3.75	90.0 3.38
04/06/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS AMCAP FD	B	75.00	1.50	0.00	1.50	90.0 1.35
04/06/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS BD FD OF AMER FD	B	60.00	1.20	0.00	1.20	90.0 1.08
04/06/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS BD FD OF AMER FD	B	336.00	6.72	0.00	6.72	90.0 6.05
04/06/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS FUNDAMENTAL INVES B		45.00	0.90	0.00	0.90	90.0 0.81
04/06/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS FUNDAMENTAL INVES B		288.00	5.76	0.00	5.76	90.0 5.18
04/06/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS GROWTH FUND OF AMERI B		144.00	2.88	0.00	2.88	90.0 2.59
04/06/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS INTERM BD FD OF AMER B		30.00	0.60	0.00	0.60	90.0 0.54
04/06/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS INTERM BD FD OF AMER B		144.00	2.88	0.00	2.88	90.0 2.59
04/06/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS NEW PERSPECTIVE FD	B	45.00	0.90	0.00	0.90	90.0 0.81
04/06/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS NEW PERSPECTIVE FD	B	144.00	2.88	0.00	2.88	90.0 2.59
04/06/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS SMALL CAP WORLD FD	B	45.00	0.90	0.00	0.90	90.0 0.81
04/06/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS SMALL CAP WORLD FD	B	144.00	2.88	0.00	2.88	90.0 2.59
04/06/2018	00074802242	REGAN-HAMILTON,	AMERICAN FUNDS AMCAP FD	B	45.79	0.92	0.00	0.92	90.0 0.83
JENNIFER									
04/06/2018	00074802242	REGAN-HAMILTON,	AMERICAN FUNDS AMCAP FD	B	45.79	0.92	0.00	0.92	90.0 0.83
JENNIFER									
04/06/2018	00074802242	REGAN-HAMILTON,	AMERICAN FUNDS BALANCED FD	B	25.44	0.51	0.00	0.51	90.0 0.46
JENNIFER									
04/06/2018	00074802242	REGAN-HAMILTON,	AMERICAN FUNDS BALANCED FD	B	25.44	0.51	0.00	0.51	90.0 0.46
JENNIFER									
04/06/2018	00074802242	REGAN-HAMILTON,	AMERICAN FUNDS INTERM BD FD OF AMER B		15.26	0.31	0.00	0.31	90.0 0.28
JENNIFER									
04/06/2018	00074802242	REGAN-HAMILTON,	AMERICAN FUNDS INTERM BD FD OF AMER B		15.26	0.31	0.00	0.31	90.0 0.28
JENNIFER									
04/06/2018	00074802242	REGAN-HAMILTON,	NEW WORLD FUND-A	B	15.26	0.31	0.00	0.31	90.0 0.28
JENNIFER									
04/06/2018	00074802242	REGAN-HAMILTON,	NEW WORLD FUND-A	B	15.26	0.31	0.00	0.31	90.0 0.28

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
JENNIFER										
04/04/2018	00075013619	BECK, EMILY	AMERICAN FUNDS AMCAP FD	B	76.25	2.10	0.00	2.10	90.0	1.89
04/04/2018	00075013619	BECK, EMILY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	76.25	2.10	0.00	2.10	90.0	1.89
04/04/2018	00075013619	BECK, EMILY	GLOBAL BALANCED FUND-A	B	76.25	2.10	0.00	2.10	90.0	1.89
04/04/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS FUNDAMENTAL	INVES B	76.25	2.10	0.00	2.10	90.0	1.89
04/04/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS GROWTH FUND OF AMERI	B	76.25	2.10	0.00	2.10	90.0	1.89
04/04/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	76.25	2.10	0.00	2.10	90.0	1.89
04/03/2018	00081782572	LEWIS, BRENDA R.	AMERICAN FUNDS CAPITAL WORLD	GROWT B	150.00	7.50	0.00	7.50	90.0	6.75
03/29/2018	00081964721	JOHNSON, DARIN	AMERICAN FUNDS CAPITAL WORLD	GROWT B	30.00	1.50	0.00	1.50	90.0	1.35
03/29/2018	00081964721	JOHNSON, DARIN	AMERICAN FUNDS WA MUTUAL	INVES B	20.00	1.00	0.00	1.00	90.0	0.90
04/02/2018	00083183068	BECKER, GLYNIS	AMERICAN FUNDS BALANCED FD	B	100.00	2.75	0.00	2.75	90.0	2.48
04/02/2018	00083271700	STEVENSON, SIERRA	AMERICAN FUNDS GROWTH FUND OF AMERI	B	200.00	10.00	0.00	10.00	90.0	9.00
03/26/2018	00084405798	JOHNSON, DARIN	AMERICAN FUNDS AMCAP FD	B	147.00	5.51	0.00	5.51	90.0	4.96
04/06/2018	00084405798	JOHNSON, DARIN	AMERICAN FUNDS AMCAP FD	B	73.50	2.76	0.00	2.76	90.0	2.48
04/06/2018	00084405798	JOHNSON, DARIN	AMERICAN FUNDS AMCAP FD	B	73.50	2.76	0.00	2.76	90.0	2.48
03/26/2018	00084405798	JOHNSON, DARIN	AMERICAN FUNDS NEW PERSPECTIVE FD	B	220.50	8.27	0.00	8.27	90.0	7.44
04/06/2018	00084405798	JOHNSON, DARIN	AMERICAN FUNDS NEW PERSPECTIVE FD	B	110.25	4.13	0.00	4.13	90.0	3.72
04/06/2018	00084405798	JOHNSON, DARIN	AMERICAN FUNDS NEW PERSPECTIVE FD	B	110.25	4.13	0.00	4.13	90.0	3.72
04/04/2018	00084800513	GESINGER, DEEDRA	AMERICAN FUNDS AMCAP FD	B	136.00	2.72	0.00	2.72	90.0	2.45
04/04/2018	00084800513	GESINGER, DEEDRA	AMERICAN FUNDS CAPITAL INCOME BUILD	B	135.00	2.70	0.00	2.70	90.0	2.43
04/04/2018	00084800513	GESINGER, DEEDRA	AMERICAN FUNDS CAPITAL WORLD	GROWT B	135.00	2.70	0.00	2.70	90.0	2.43
04/04/2018	00084800513	GESINGER, DEEDRA	AMERICAN FUNDS EURO PACIFIC	GROWT B	67.50	1.35	0.00	1.35	90.0	1.22
04/04/2018	00084800513	GESINGER, DEEDRA	NEW WORLD FUND-A	B	67.50	1.35	0.00	1.35	90.0	1.22
04/06/2018	00084818800	DIAMOND, KARI	AMERICAN FUNDS AMCAP FD	B	26.40	0.53	0.00	0.53	90.0	0.48
04/06/2018	00084818800	DIAMOND, KARI	AMERICAN FUNDS AMCAP FD	B	400.00	8.00	0.00	8.00	90.0	7.20
04/06/2018	00084818800	DIAMOND, KARI	AMERICAN FUNDS BD FD OF AMER	FD B	13.20	0.26	0.00	0.26	90.0	0.23
04/06/2018	00084818800	DIAMOND, KARI	AMERICAN FUNDS BD FD OF AMER	FD B	200.00	4.00	0.00	4.00	90.0	3.60
04/06/2018	00084818800	DIAMOND, KARI	NEW WORLD FUND-A	B	26.40	0.53	0.00	0.53	90.0	0.48
04/06/2018	00084818800	DIAMOND, KARI	NEW WORLD FUND-A	B	400.00	8.00	0.00	8.00	90.0	7.20
04/02/2018	00085191838	WILEN, BRETT	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
04/02/2018	00085192010	WILEN, DEBBIE L.	AMERICAN FUNDS BALANCED FD	B	50.00	2.13	0.00	2.13	90.0	1.92
04/05/2018	00085231984	HOLLAND, GREGG	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
04/05/2018	00085232020	HOLLAND, RANA	AMERICAN FUNDS BALANCED FD	B	67.00	2.51	0.00	2.51	90.0	2.26
04/05/2018	00085232020	HOLLAND, RANA	AMERICAN FUNDS EURO PACIFIC	GROWT B	66.00	2.48	0.00	2.48	90.0	2.23
04/05/2018	00085232020	HOLLAND, RANA	AMERICAN FUNDS INCOME FD OF	AMERI B	67.00	2.51	0.00	2.51	90.0	2.26
03/26/2018	00085443655	ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD	B	378.72	14.20	0.00	14.20	90.0	12.78
03/26/2018	00085443655	ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD	B	63.12	2.37	0.00	2.37	90.0	2.13
04/06/2018	00085443655	ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD	B	63.12	2.37	0.00	2.37	90.0	2.13
04/06/2018	00085443655	ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD	B	63.12	2.37	0.00	2.37	90.0	2.13
03/26/2018	00085493543	BARBER, PEGGY	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	90.0	1.69

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/26/2018	00085493586 BARBER, PEGGY	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
03/26/2018	00085574413 MAKOWESKI, MARCIA	AMERICAN FUNDS AMCAP FD	B	100.00	2.00	0.00	2.00	90.0	1.80
03/26/2018	00085574413 MAKOWESKI, MARCIA	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	100.00	2.00	0.00	2.00	90.0	1.80
03/26/2018	00085574413 MAKOWESKI, MARCIA	AMERICAN FUNDS INTERM BD FD OF AMER B	B	241.00	4.82	0.00	4.82	90.0	4.34
03/26/2018	00085574413 MAKOWESKI, MARCIA	GLOBAL BALANCED FUND-A	B	100.00	2.00	0.00	2.00	90.0	1.80
04/02/2018	00085889464 TRIBBY, TYLER M.	THE GROWTH FUND OF AMERICA-C	B	2,000.00	20.00	0.00	20.00	90.0	18.00
04/02/2018	00085928713 LECY/AUTH PER, DOUGLAS	AMERICAN FUNDS AMCAP FD	B	100.00	2.00	0.00	2.00	90.0	1.80
04/02/2018	00085928713 LECY/AUTH PER, DOUGLAS	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	2.00	0.00	2.00	90.0	1.80
04/05/2018	00085956994 MASSIE, CLAY	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	76.39	2.86	0.00	2.86	90.0	2.57
04/05/2018	00085956994 MASSIE, CLAY	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	76.39	2.86	0.00	2.86	90.0	2.57
04/05/2018	00085956994 MASSIE, CLAY	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	76.39	2.86	0.00	2.86	90.0	2.57
04/06/2018	00086593760 DARROW, LINETTE	AMCAP FUND-C	B	99.95	1.00	0.00	1.00	90.0	0.90
04/06/2018	00086593760 DARROW, LINETTE	AMCAP FUND-C	B	99.95	1.00	0.00	1.00	90.0	0.90
04/02/2018	00086832083 MARCOV, ERIK	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	50.00	1.38	0.00	1.38	90.0	1.24
04/02/2018	00086832083 MARCOV, ERIK	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	50.00	1.38	0.00	1.38	90.0	1.24
03/26/2018	00086957629 WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	190.68	7.15	0.00	7.15	90.0	6.44
03/26/2018	00086957629 WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	31.78	1.19	0.00	1.19	90.0	1.07
04/06/2018	00086957629 WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	44.49	1.67	0.00	1.67	90.0	1.50
04/06/2018	00086957629 WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	44.49	1.67	0.00	1.67	90.0	1.50
04/03/2018	00087108999 FLOTTMEYER, BRENDA	AMERICAN FUNDS AMCAP FD	B	55.00	2.34	0.00	2.34	90.0	2.11
04/03/2018	00087108999 FLOTTMEYER, BRENDA	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	55.00	2.34	0.00	2.34	90.0	2.11
04/03/2018	00087108999 FLOTTMEYER, BRENDA	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	55.00	2.34	0.00	2.34	90.0	2.11
04/03/2018	00087108999 FLOTTMEYER, BRENDA	AMERICAN FUNDS WA MUTUAL INVES B	B	55.00	2.34	0.00	2.34	90.0	2.11
03/26/2018	00087313331 HINMAN, KATHERINE	GLOBAL BALANCED FUND-A	B	75.00	2.81	0.00	2.81	90.0	2.53
03/26/2018	00087313382 HINMAN, MICHAEL	AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/02/2018	00087351491 BEITELSPACHER/AUTH PER, AMANDA	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
04/02/2018	00087351561 BEITELSPACHER/AUTH PER, AMANDA	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
03/28/2018	00087391906 WILSON, DONNELLE	GLOBAL BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/28/2018	00087391906 WILSON, DONNELLE	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/26/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS FUNDAMENTAL INVES B	B	53.00	1.99	0.00	1.99	90.0	1.79
04/02/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS FUNDAMENTAL INVES B	B	334.00	12.53	0.00	12.53	90.0	11.28
03/26/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	53.00	1.99	0.00	1.99	90.0	1.79
04/02/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	333.00	12.49	0.00	12.49	90.0	11.24
03/26/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS NEW ECONOMY FD	B	53.00	1.99	0.00	1.99	90.0	1.79
04/02/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS NEW ECONOMY FD	B	333.00	12.49	0.00	12.49	90.0	11.24
03/26/2018	00087396195 DAHL, DAVID	NEW WORLD FUND-A	B	70.00	2.63	0.00	2.63	90.0	2.37

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/26/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
03/26/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS CAPITAL WORLD GROWT	B	65.00	2.44	0.00	2.44	90.0	2.20
03/26/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS SMALL CAP WORLD FD	B	64.00	2.40	0.00	2.40	90.0	2.16
03/26/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS WA MUTUAL	INVEST B	50.00	1.88	0.00	1.88	90.0	1.69
04/02/2018	00087429551 BEITELSPACHER, AMANDA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	3.75	0.00	3.75	90.0	3.38
03/28/2018	00087436654 LYONS, ASHLEY	AMERICAN FUNDS AMCAP FD	B	500.00	25.00	0.00	25.00	90.0	22.50
03/28/2018	00087436654 LYONS, ASHLEY	AMERICAN FUNDS CAPITAL WORLD GROWT	B	500.00	25.00	0.00	25.00	90.0	22.50
04/02/2018	00087537035 JUENEMAN, CORY	AMERICAN FUNDS AMCAP FD	B	100.00	5.00	0.00	5.00	90.0	4.50
03/26/2018	00087753902 BARBER, PEGGY	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
04/02/2018	00087785552 LECY/AUTH PER, DOUG	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	2.00	0.00	2.00	90.0	1.80
04/02/2018	00087785552 LECY/AUTH PER, DOUG	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	2.00	0.00	2.00	90.0	1.80
04/03/2018	00087898062 LAMB, LEANN/COLE	CAPITAL INCOME BUILDER-C	B	100.00	1.00	0.00	1.00	90.0	0.90
04/03/2018	00087898079 LAMB, LEANN/LUKE	THE INCOME FUND OF AMERICA-C	B	300.00	3.00	0.00	3.00	90.0	2.70
04/02/2018	00087985987 MCGINLEY/AUTH PER, JOHN	AMERICAN FUNDS INVESTMENT COMP OF A	B	50.00	1.00	0.00	1.00	90.0	0.90
04/02/2018	00087985987 MCGINLEY/AUTH PER, JOHN	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.00	0.00	1.00	90.0	0.90
04/02/2018	00087986056 MCGINLEY/AUTH PER, JOHN	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.00	0.00	1.00	90.0	0.90
04/02/2018	00087986056 MCGINLEY/AUTH PER, JOHN	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.00	0.00	1.00	90.0	0.90
04/06/2018	00088004070 THRASH, MATTHEW	AMERICAN FUNDS AMCAP FD	B	1,375.00	37.81	0.00	37.81	90.0	34.03
04/06/2018	00088004070 THRASH, MATTHEW	AMERICAN FUNDS AMERICAN MUTUAL FD	B	1,375.00	37.81	0.00	37.81	90.0	34.03
04/06/2018	00088004070 THRASH, MATTHEW	AMERICAN FUNDS CAPITAL WORLD GROWT	B	1,375.00	37.81	0.00	37.81	90.0	34.03
04/06/2018	00088004070 THRASH, MATTHEW	AMERICAN FUNDS SMALL CAP WORLD FD	B	1,375.00	37.81	0.00	37.81	90.0	34.03
04/06/2018	00088004104 THRASH, CATHY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	1,375.00	37.81	0.00	37.81	90.0	34.03
04/06/2018	00088004104 THRASH, CATHY	AMERICAN FUNDS FUNDAMENTAL	INVEST B	1,375.00	37.81	0.00	37.81	90.0	34.03
04/06/2018	00088004104 THRASH, CATHY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	1,375.00	37.81	0.00	37.81	90.0	34.03
04/06/2018	00088004104 THRASH, CATHY	NEW WORLD FUND-A	B	1,375.00	37.81	0.00	37.81	90.0	34.03
03/26/2018	00088216102 WEISBECK, CORISSA A.	AMERICAN MUTUAL FUND-C	B	180.00	1.80	0.00	1.80	90.0	1.62
03/26/2018	00088216102 WEISBECK, CORISSA A.	AMERICAN MUTUAL FUND-C	B	30.00	0.30	0.00	0.30	90.0	0.27
04/06/2018	00088216102 WEISBECK, CORISSA A.	AMERICAN MUTUAL FUND-C	B	30.00	0.30	0.00	0.30	90.0	0.27
04/06/2018	00088216102 WEISBECK, CORISSA A.	AMERICAN MUTUAL FUND-C	B	30.00	0.30	0.00	0.30	90.0	0.27

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/26/2018	00088306950	RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	166.40	1.66	0.00	1.66	90.0	1.49
03/26/2018	00088306950	RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	36.98	0.37	0.00	0.37	90.0	0.33
04/06/2018	00088306950	RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	27.51	0.28	0.00	0.28	90.0	0.25
04/06/2018	00088306950	RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	36.68	0.37	0.00	0.37	90.0	0.33
03/26/2018	00088306950	RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	166.40	1.66	0.00	1.66	90.0	1.49
03/26/2018	00088306950	RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	36.98	0.37	0.00	0.37	90.0	0.33
04/06/2018	00088306950	RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	27.51	0.28	0.00	0.28	90.0	0.25
04/06/2018	00088306950	RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	36.68	0.37	0.00	0.37	90.0	0.33
04/02/2018	00088586617	O'BRIEN, EMILY R.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	57.00	2.14	0.00	2.14	90.0	1.93
04/02/2018	00088586617	O'BRIEN, EMILY R.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	57.00	2.14	0.00	2.14	90.0	1.93
04/02/2018	00088586617	O'BRIEN, EMILY R.	AMERICAN FUNDS EURO PACIFIC GROWT	B	57.00	2.14	0.00	2.14	90.0	1.93
04/02/2018	00088586617	O'BRIEN, EMILY R.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	57.00	2.14	0.00	2.14	90.0	1.93
04/02/2018	00088586646	O'BRIEN, RYAN A.	AMERICAN FUNDS AMCAP FD	B	57.00	2.14	0.00	2.14	90.0	1.93
04/02/2018	00088586646	O'BRIEN, RYAN A.	AMERICAN FUNDS FUNDAMENTAL INVES	B	57.00	2.14	0.00	2.14	90.0	1.93
04/02/2018	00088586646	O'BRIEN, RYAN A.	AMERICAN FUNDS INCOME FD OF AMERI	B	57.00	2.14	0.00	2.14	90.0	1.93
04/02/2018	00088586646	O'BRIEN, RYAN A.	NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0	1.93
03/28/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS BALANCED FD	B	62.50	1.25	0.00	1.25	90.0	1.13
03/28/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS FUNDAMENTAL INVES	B	62.50	1.25	0.00	1.25	90.0	1.13
03/28/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS INCOME FD OF AMERI	B	62.50	1.25	0.00	1.25	90.0	1.13
03/28/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS NEW PERSPECTIVE FD	B	62.50	1.25	0.00	1.25	90.0	1.13
04/02/2018	00088631278	FLOTTMEYER, SUSAN J.	AMERICAN FUNDS INVESTMENT COMP OF A	B	100.00	5.00	0.00	5.00	90.0	4.50
04/05/2018	00088631455	DYKSTRA, TYLER A.	AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0	2.25
04/05/2018	00088631490	DYKSTRA, TYLER A.	AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0	2.25
04/05/2018	00088631520	DYKSTRA, TYLER A.	AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0	2.25
04/05/2018	00088631530	DYKSTRA, AYREN K.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	2.50	0.00	2.50	90.0	2.25
04/02/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0	2.25
04/05/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS AMCAP FD	B	425.00	18.06	0.00	18.06	90.0	16.25
04/02/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0	2.25
04/05/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS BALANCED FD	B	425.00	18.06	0.00	18.06	90.0	16.25
04/02/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	2.50	0.00	2.50	90.0	2.25
04/05/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	425.00	18.06	0.00	18.06	90.0	16.25
04/02/2018	00088648517	BOWEN, LANA L.	NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50	90.0	2.25
04/05/2018	00088648517	BOWEN, LANA L.	NEW WORLD FUND-A	B	425.00	18.06	0.00	18.06	90.0	16.25
04/03/2018	00088677711	CAPPS, JANE	AMERICAN FUNDS AMCAP FD	B	50.00	1.38	0.00	1.38	90.0	1.24
04/03/2018	00088677720	CAPPS, DAVID D.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.38	0.00	1.38	90.0	1.24
04/02/2018	00088677969	ROCHLEAU, CHAD S.	AMERICAN FUNDS AMCAP FD	B	492.06	18.45	0.00	18.45	90.0	16.61
04/02/2018	00088677969	ROCHLEAU, CHAD S.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	492.06	18.45	0.00	18.45	90.0	16.61
04/02/2018	00088677969	ROCHLEAU, CHAD S.	AMERICAN FUNDS INCOME FD OF AMERI	B	492.06	18.45	0.00	18.45	90.0	16.61
04/02/2018	00088677969	ROCHLEAU, CHAD S.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	492.07	18.45	0.00	18.45	90.0	16.61

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/05/2018	00088883631	LEWIS, MICAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	77.70	3.89	0.00	3.89	90.0	3.50
04/05/2018	00088883631	LEWIS, MICAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	77.70	3.89	0.00	3.89	90.0	3.50
03/29/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS AMCAP FD	B	57.30	2.44	0.00	2.44	90.0	2.20
03/29/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS CAPITAL INCOME BUILD	B	57.30	2.44	0.00	2.44	90.0	2.20
03/29/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS FUNDAMENTAL	INVES B	57.30	2.44	0.00	2.44	90.0	2.20
03/29/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	57.30	2.44	0.00	2.44	90.0	2.20
04/05/2018	00089007318	WUDEL, JOSHUA J.	AMCAP FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
04/05/2018	00089007351	WUDEL, KATELYN J.	WASHINGTON MUTUAL INVESTORS FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
03/26/2018	00089145111	BENGS, RONALD A.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	3.75	0.00	3.75	90.0	3.38
03/26/2018	00089145111	BENGS, RONALD A.	INTERNATIONAL GROWTH AND INCOME A	B	100.00	3.75	0.00	3.75	90.0	3.38
03/26/2018	00089179098	OLSON, TREVIS A.	AMERICAN BALANCED FUND-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
03/26/2018	00089179098	OLSON, TREVIS A.	FUNDAMENTAL INVESTORS-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
04/02/2018	00089187466	BUREMAN, KEVIN	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	2.13	0.00	2.13	90.0	1.92
04/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS AMCAP FD	B	58.00	2.18	0.00	2.18	90.0	1.96
04/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS SMALL CAP WORLD FD	B	57.00	2.14	0.00	2.14	90.0	1.93
04/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS WA MUTUAL	INVES B	57.00	2.14	0.00	2.14	90.0	1.93
04/02/2018	00089303112	MASSIE, KATEY	NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0	1.93
04/05/2018	00089408180	HAAN, LUCAS J.	AMERICAN FUNDS FUNDAMENTAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
04/05/2018	00089408180	HAAN, LUCAS J.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	4.25	0.00	4.25	90.0	3.83
04/05/2018	00089408180	HAAN, LUCAS J.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	4.25	0.00	4.25	90.0	3.83
04/05/2018	00089408180	HAAN, LUCAS J.	AMERICAN FUNDS SMALL CAP WORLD FD	B	100.00	4.25	0.00	4.25	90.0	3.83
04/05/2018	00089408276	HAAN, LUCAS J.	AMERICAN FUNDS WA MUTUAL	INVES B	167.00	7.10	0.00	7.10	90.0	6.39
03/26/2018	00089436102	BOHLMANN, JACOB B.	AMERICAN FUNDS BALANCED FD	B	324.90	12.18	0.00	12.18	90.0	10.96
03/26/2018	00089436102	BOHLMANN, JACOB B.	AMERICAN FUNDS BALANCED FD	B	53.58	2.01	0.00	2.01	90.0	1.81
04/06/2018	00089436102	BOHLMANN, JACOB B.	AMERICAN FUNDS BALANCED FD	B	54.09	2.03	0.00	2.03	90.0	1.83
04/06/2018	00089436102	BOHLMANN, JACOB B.	AMERICAN FUNDS BALANCED FD	B	54.09	2.03	0.00	2.03	90.0	1.83
03/28/2018	00089449545	ELLINGSON, KRISTEN	AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
	K.									
03/28/2018	00089449562	THOURON, JEFFREY	AMERICAN FUNDS AMCAP FD	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.									
03/28/2018	00089449562	THOURON, JEFFREY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.									
03/28/2018	00089449562	THOURON, JEFFREY	AMERICAN FUNDS CAPITAL WORLD	GROWT B	104.25	5.21	0.00	5.21	90.0	4.69
	J.									
03/28/2018	00089449562	THOURON, JEFFREY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.									
04/04/2018	00089449602	THOURON, MARIA E.	AMERICAN FUNDS SMALL CAP WORLD FD	B	45.80	2.29	0.00	2.29	90.0	2.06
04/04/2018	00089449602	THOURON, MARIA E.	AMERICAN FUNDS SMALL CAP WORLD FD	B	45.80	2.29	0.00	2.29	90.0	2.06
04/04/2018	00089449602	THOURON, MARIA E.	AMERICAN FUNDS WA MUTUAL	INVES B	45.81	2.29	0.00	2.29	90.0	2.06
04/04/2018	00089449602	THOURON, MARIA E.	AMERICAN FUNDS WA MUTUAL	INVES B	45.81	2.29	0.00	2.29	90.0	2.06

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/04/2018	00089449610 THOURON, JEFFREY J.	AMERICAN FUNDS FUNDAMENTAL	INVES B	62.55	3.13	0.00	3.13	90.0	2.82
04/04/2018	00089449610 THOURON, JEFFREY J.	AMERICAN FUNDS FUNDAMENTAL	INVES B	62.55	3.13	0.00	3.13	90.0	2.82
04/04/2018	00089449610 THOURON, JEFFREY J.	INTERNATIONAL GROWTH AND INCOME A	B	62.55	3.13	0.00	3.13	90.0	2.82
04/04/2018	00089449610 THOURON, JEFFREY J.	INTERNATIONAL GROWTH AND INCOME A	B	62.55	3.13	0.00	3.13	90.0	2.82
03/26/2018	00089560352 BLAIN, SABRINA K.	AMERICAN FUNDS FUNDAMENTAL	INVES B	75.00	3.75	0.00	3.75	90.0	3.38
03/26/2018	00089560352 BLAIN, SABRINA K.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	75.00	3.75	0.00	3.75	90.0	3.38
03/26/2018	00089560473 BLAIN, AUSTIN W.	AMERICAN FUNDS AMCAP FD	B	75.00	3.75	0.00	3.75	90.0	3.38
03/26/2018	00089560473 BLAIN, AUSTIN W.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	75.00	3.75	0.00	3.75	90.0	3.38
04/05/2018	00089840115 ATKINS, KEVIN	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	1.88	0.00	1.88	90.0	1.69
04/05/2018	00089840115 ATKINS, KEVIN	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.88	0.00	1.88	90.0	1.69
04/05/2018	00089896690 HAAN, MARY	AMERICAN FUNDS AMCAP FD	B	100.00	4.25	0.00	4.25	90.0	3.83
04/05/2018	00089896690 HAAN, MARY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	4.25	0.00	4.25	90.0	3.83
04/05/2018	00089896690 HAAN, MARY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	100.00	4.25	0.00	4.25	90.0	3.83
04/05/2018	00089896690 HAAN, MARY	AMERICAN FUNDS EURO PACIFIC GROWT	B	100.00	4.25	0.00	4.25	90.0	3.83
04/02/2018	00927850848 SCHAUER, AMIE	AMERICAN FUNDS AMCAP FD	B	25.00	0.69	0.00	0.69	90.0	0.62
04/02/2018	00927850850 MG TRUST, MG	AMERICAN FUNDS AMCAP FD	B	35.00	1.75	0.00	1.75	90.0	1.58
04/02/2018	00927850853 MG TRUST, MG	AMERICAN FUNDS AMCAP FD	B	28.75	0.79	0.00	0.79	90.0	0.71
04/02/2018	00930305469 SCHAUER, AMIE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	25.00	1.25	0.00	1.25	90.0	1.13
04/02/2018	00938506074 SCHAUER, AMIE	AMERICAN FUNDS INCOME FD OF AMERI	B	25.00	1.25	0.00	1.25	90.0	1.13
04/02/2018	00940999894 SCHAUER, AMIE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	25.00	0.69	0.00	0.69	90.0	0.62
04/02/2018	00950644891 MG TRUST, MG	AMERICAN FUNDS BALANCED FD	B	28.75	0.79	0.00	0.79	90.0	0.71
04/02/2018	00955344971 MG TRUST, MG	AMERICAN FUNDS NEW ECONOMY FD	B	35.00	1.75	0.00	1.75	90.0	1.58
04/02/2018	00955361781 MG TRUST, MG	AMERICAN FUNDS NEW ECONOMY FD	B	28.75	0.79	0.00	0.79	90.0	0.71
04/02/2018	00978061831 MG TRUST, MG	AMERICAN FUNDS CAPITAL WORLD GROWT	B	30.00	1.50	0.00	1.50	90.0	1.35
04/02/2018	00978061834 MG TRUST, MG	AMERICAN FUNDS CAPITAL WORLD GROWT	B	28.75	0.79	0.00	0.79	90.0	0.71
03/19/2018	0100094370 ROLAND, DAVID R.	OPPENHEIMER MAIN ST SMALL CAP FUND	B	271.00	5.42	0.00	5.42	90.0	4.88
04/02/2018	01001178157 DOBBS, ROBERT	AIM 81 GLOBAL AGGRESSIVE GROWTH FD	B	112.50	3.38	0.00	3.38	90.0	3.04
04/02/2018	01001178157 DOBBS, ROBERT	AIM ENERGY FUND - CLASS A	B	112.50	3.38	0.00	3.38	90.0	3.04
04/02/2018	01001178157 DOBBS, ROBERT	IVK AMERICAN FRANCHISE FUND - CLASS	B	112.50	3.38	0.00	3.38	90.0	3.04
03/26/2018	01001213096 GRIENCEWIC, LANA	IVK AMERICAN FRANCHISE FUND - CLASS	B	458.00	13.74	0.00	13.74	90.0	12.37
04/02/2018	01001328204 DOBBS, ROBERT T.	AIM UTILITIES FUND - CLASS A	B	112.50	3.38	0.00	3.38	90.0	3.04
03/28/2018	01001349524 WOOD, REMINGTON W.	AIM DIVERSIFIED DIVIDEND FUND - CL	B	50.00	2.50	0.00	2.50	90.0	2.25
03/28/2018	01001349524 WOOD, REMINGTON W.	AIM EUROPEAN DEVELOPMENT FD CL A	B	50.00	2.50	0.00	2.50	90.0	2.25
03/28/2018	01001349524 WOOD, REMINGTON W.	INVESCO ENDEAVOR FUND - CLASS A	B	50.00	2.50	0.00	2.50	90.0	2.25
03/28/2018	01001349524 WOOD, REMINGTON W.	IVK SMALL CAP GROWTH FUND - CLASS A	B	50.00	2.50	0.00	2.50	90.0	2.25
03/28/2018	01001373221 HARRIS,	AIM ASIAN GROWTH FD CL C	B	75.00	0.75	0.00	0.75	90.0	0.68

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	ALAN/KATHLEEN								
03/28/2018	01001373221 HARRIS,	INVESCO DIVERSIFIED DIVIDEND FD -CL B		75.00	0.75	0.00	0.75	90.0	0.68
	ALAN/KATHLEEN								
03/28/2018	01001373221 HARRIS,	INVESCO MULTI-ASSET INFLATION FUND- B		75.00	0.75	0.00	0.75	90.0	0.68
	ALAN/KATHLEEN								
03/28/2018	01001373221 HARRIS,	IVK MID CAP GROWTH FUND - CLASS C B		75.00	0.75	0.00	0.75	90.0	0.68
	ALAN/KATHLEEN								
04/02/2018	01001380933 VAN ASMA, STEVEN K.	AIM ASIAN GROWTH FD CL C B		114.50	1.15	0.00	1.15	90.0	1.04
04/02/2018	01001380933 VAN ASMA, STEVEN K.	INVESCO DIVERSIFIED DIVIDEND FD -CL B		114.50	1.15	0.00	1.15	90.0	1.04
04/02/2018	01001380933 VAN ASMA, STEVEN K.	INVESCO SMALL CAP DISCOVERY FUND-CL B		114.50	1.15	0.00	1.15	90.0	1.04
04/02/2018	01001380933 VAN ASMA, STEVEN K.	IVK AMERICAN VALUE FUND - CLASS C B		114.50	1.15	0.00	1.15	90.0	1.04
04/02/2018	01334056911 DUTTON, MARCIA A	AMERICAN FUNDS 2015 TARGET DATE-A B		75.00	3.75	0.00	3.75	90.0	3.38
03/26/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADV DYNAMIC STRATEGIES-CL B		100.00	3.75	0.00	3.75	90.0	3.38
03/26/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADV STRATEGIC DIV & INCOME B		100.00	3.75	0.00	3.75	90.0	3.38
03/26/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADVISORS EQUITY GRWTH FD C B		100.00	3.75	0.00	3.75	90.0	3.38
03/26/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADVISORS TECHNOLOGY FD CL B		100.00	3.75	0.00	3.75	90.0	3.38
03/23/2018	02400107447 HOP, DANIEL J.	CALIBRATED MID CAP VALUE A B		137.50	5.50	0.00	5.50	90.0	4.95
03/23/2018	02400107447 HOP, DANIEL J.	GROWTH LEADERS FUND A B		137.50	5.50	0.00	5.50	90.0	4.95
03/23/2018	02400107447 HOP, DANIEL J.	LORD ABBETT ALPHA SERIES FD CL A B		137.50	5.50	0.00	5.50	90.0	4.95
03/23/2018	02400107447 HOP, DANIEL J.	LORD ABBETT SECS TRUST INTL FD CL A B		137.50	5.50	0.00	5.50	90.0	4.95
04/02/2018	04000092124 CAMMACK, RYAN L.	THE GROWTH FUND OF AMERICA-529A B		400.00	20.00	0.00	20.00	90.0	18.00
04/02/2018	04000092197 CAMMACK, RYAN L.	AMCAP FUND-529A B		250.00	12.50	0.00	12.50	90.0	11.25
04/02/2018	04000100852 O'BRIEN, RYAN A.	AMERICAN FUNDS CAPITAL INCOME BUILD B		166.00	8.30	0.00	8.30	90.0	7.47
04/05/2018	04000120125 SCHAEFFER, STACEY J.	THE GROWTH FUND OF AMERICA-529C B		167.00	1.67	0.00	1.67	90.0	1.50
04/02/2018	04000135598 JESSEN, ALEX	AMCAP FUND-C B		50.00	0.50	0.00	0.50	90.0	0.45
04/02/2018	04000135598 JESSEN, ALEX	FUNDAMENTAL INVESTORS-C B		50.00	0.50	0.00	0.50	90.0	0.45
04/02/2018	04000182059 MARCOV, ERIK	AMERICAN FUNDS AMCAP FD B		125.00	3.44	0.00	3.44	90.0	3.10
04/02/2018	04000182059 MARCOV, ERIK	AMERICAN FUNDS EURO PACIFIC GROWT B		125.00	3.44	0.00	3.44	90.0	3.10
04/02/2018	04000182059 MARCOV, ERIK	AMERICAN FUNDS NEW PERSPECTIVE FD B		125.00	3.44	0.00	3.44	90.0	3.10
04/02/2018	04000182059 MARCOV, ERIK	AMERICAN FUNDS WA MUTUAL INVES B		125.00	3.44	0.00	3.44	90.0	3.10
04/02/2018	04000188824 YOUNG, DALE	CAPITAL INCOME BUILDER-C B		5,265.55	52.66	0.00	52.66	90.0	47.39
04/02/2018	04000188824 YOUNG, DALE	CAPITAL WORLD GROWTH AND INCOME FUN B		5,265.55	52.66	0.00	52.66	90.0	47.39
04/02/2018	04000188824 YOUNG, DALE	THE GROWTH FUND OF AMERICA-C B		5,265.56	52.66	0.00	52.66	90.0	47.39
04/02/2018	04000188824 YOUNG, DALE	WASHINGTON MUTUAL INVESTORS FUND-C B		5,265.55	52.66	0.00	52.66	90.0	47.39
04/02/2018	04000219045 VEAZEY,	AMERICAN FUNDS GROWTH FUND OF AMERI B		238.87	11.94	0.00	11.94	90.0	10.75

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	CHRISTOPHER								
04/04/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI B		10.70	0.54	0.00	0.54	90.0	0.49
04/02/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		238.86	11.94	0.00	11.94	90.0	10.75
04/04/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		10.70	0.54	0.00	0.54	90.0	0.49
04/02/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		246.10	12.31	0.00	12.31	90.0	11.08
04/04/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		11.02	0.55	0.00	0.55	90.0	0.50
03/26/2018	04000253117 SAMUELSON, JOSEPH P.	AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/04/2018	04000407504 FISCHER, COURTNEY M.	AMERICAN FUNDS 2055 TARGET DATE-A	B	26.55	1.33	0.00	1.33	90.0	1.20
04/04/2018	04000407504 FISCHER, COURTNEY M.	AMERICAN FUNDS 2055 TARGET DATE-A	B	26.55	1.33	0.00	1.33	90.0	1.20
04/02/2018	04000537184 HENRY, MAYLEN N.	AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/02/2018	04000537195 HENRY, COHEN E.	AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/05/2018	04000557891 BAKKE, MARC	AMERICAN FUNDS AMCAP FD	B	114.50	5.73	0.00	5.73	90.0	5.16
04/05/2018	04000557891 BAKKE, MARC	AMERICAN FUNDS AMERICAN MUTUAL FD	B	114.50	5.73	0.00	5.73	90.0	5.16
04/05/2018	04000557891 BAKKE, MARC	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	114.50	5.73	0.00	5.73	90.0	5.16
04/05/2018	04000557891 BAKKE, MARC	AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.50	5.73	0.00	5.73	90.0	5.16
04/05/2018	04000558446 BAKKE, MARC	AMERICAN FUNDS GROWTH FUND OF AMERI B		250.00	12.50	0.00	12.50	90.0	11.25
03/28/2018	04000590000 POND, CAMERON L.	AMERICAN FUNDS FUNDAMENTAL INVES B		80.00	4.00	0.00	4.00	90.0	3.60
03/28/2018	04000590000 POND, CAMERON L.	AMERICAN FUNDS GROWTH FUND OF AMERI B		80.00	4.00	0.00	4.00	90.0	3.60
04/05/2018	04000744496 DYKSTRA, TYLER A.	AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0	2.25
04/06/2018	04000902705 HALL, BRENDT W.	AMERICAN MUTUAL FUND-C	B	2,750.00	27.50	0.00	27.50	90.0	24.75
04/06/2018	04000902705 HALL, BRENDT W.	CAPITAL WORLD GROWTH AND INCOME FUN B		2,750.00	27.50	0.00	27.50	90.0	24.75
03/19/2018	2155239124 ROLAND `, ANGELA B.	OPPENHEIMER GLOBAL INCOME & GROWTH B		114.50	2.29	0.00	2.29	90.0	2.06
03/20/2018	2514869381 HURD, JASON D.	OPPENHEIMER QUEST SMALL CAP VALUE B		50.00	2.38	0.00	2.38	90.0	2.14
03/19/2018	2514915922 ROLAND `, ANGELA B.	OPPENHEIMER QUEST SMALL CAP VALUE B		114.50	2.29	0.00	2.29	90.0	2.06
03/19/2018	3208894842 ROLAND, DAVID R.	OPPENHEIMER CAPITAL APPREC FD CL A B		114.50	2.29	0.00	2.29	90.0	2.06
03/16/2018	5550848155 WRIGHT, EDWARD	PORTFOLIO SERIES: EQUITY INVESTOR F B		106.85	5.08	0.00	5.08	90.0	4.57
03/19/2018	5901188814 ROLAND, DAVID R.	REAL ESTATE FUND A	B	114.50	2.29	0.00	2.29	90.0	2.06
03/19/2018	7005978745 ROLAND `, ANGELA B.	OPPENHEIMER MAIN STREET INCOME & GR B		114.50	2.29	0.00	2.29	90.0	2.06
03/20/2018	7007956861 HURD, JASON D.	OPPENHEIMER MAIN STREET INCOME & GR B		50.00	2.38	0.00	2.38	90.0	2.14
03/21/2018	7021921711 SMITH, ELLA J.	OPPENHEIMER MAIN STREET INCOME& GRO B		300.00	3.00	0.00	3.00	90.0	2.70
03/20/2018	7210873719 HURD, JASON D.	SMALL- & MID-CAP GROWTH FUND A	B	50.00	2.38	0.00	2.38	90.0	2.14
03/19/2018	7210955361 ROLAND, DAVID R.	SMALL- & MID-CAP GROWTH FUND A	B	114.50	2.29	0.00	2.29	90.0	2.06

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/19/2018	7315596613 ROLAND, DAVID R.	MAIN STREET OPPORTUNITY FUND A	B	271.00	5.42	0.00	5.42	90.0	4.88
03/19/2018	8259515891 ROLAND, DAVID R.	OPPENHEIMER INTL GROWTH FD CL A	B	271.00	5.42	0.00	5.42	90.0	4.88
03/20/2018	8259592627 HURD, JASON D.	OPPENHEIMER INTL GROWTH FD CL A	B	50.00	2.38	0.00	2.38	90.0	2.14
03/19/2018	8353137442 ROLAND, DAVID R.	OPPENHEIMER QUEST CAPITAL VALUE	B	271.00	5.42	0.00	5.42	90.0	4.88
03/19/2018	8477487359 ROLAND, ANGELA B.	MAIN STREET SMALL CAP FUND A	B	114.50	2.29	0.00	2.29	90.0	2.06
* MUTUAL FUNDS SUBTOTAL *				\$83,921.11	\$3,165.40	\$0.00	\$3,165.40		\$2,849.18
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
03/31/2018	TRAILS	-NATIONWIDE LIFE	B	68.41	68.41	0.00	68.41	90.0	61.57
03/31/2018	STACY, KELLY A.	-NATIONWIDE LIFE	B	125.00	3.75	0.00	3.75	90.0	3.38
03/30/2018	TRAILS	-PACIFIC LIFE INSURANCE	B	115.10	115.10	0.00	115.10	90.0	103.59
03/24/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	412.00	412.00	0.00	412.00	90.0	370.80
03/31/2018	TRAILS	LINCOLN NATIONAL LIFE INSURANCE COM	B	2,372.53	2,372.53	0.00	2,372.53	90.0	2,135.28
03/31/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	683.57	683.57	0.00	683.57	90.0	615.21
* VARIABLE ANNUITIES SUBTOTAL *				\$3,776.61	\$3,655.36	\$0.00	\$3,655.36		\$3,289.83
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/16/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	1,081.07	0.00	1,081.07	90.0	972.97
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,081.07	\$0.00	\$1,081.07		\$972.97
*** BROKER TRANSACTIONS TOTAL ***				\$87,697.72	\$7,901.83	\$0.00	\$7,901.83		\$7,111.98

OVERRIDE TRANSACTIONS**BROKER: KELSEY MCDANIEL #9660**

03/31/2018	TRAILS	-OPPENHEIMER FUNDS	B	56.55	56.55	0.00	56.55		11.31
03/31/2018	TRAILS	-OPPENHEIMER FUNDS	B	3.62	3.62	0.00	3.62		0.72
04/06/2018	00087677954 RULAND, RICHARD	AMERICAN FUNDS 2035 TARGET DATE-A	B	468.56	23.43	0.00	23.43		4.69
04/06/2018	00087677954 RULAND, RICHARD	AMERICAN FUNDS 2035 TARGET DATE-A	B	468.56	23.43	0.00	23.43		4.69
04/05/2018	00089549050 COTTINGHAM, MYLIE J.	AMERICAN FUNDS AMCAP FD	B	26.51	1.33	0.00	1.33		0.27
04/05/2018	00089549050 COTTINGHAM, MYLIE J.	AMERICAN FUNDS AMCAP FD	B	26.51	1.33	0.00	1.33		0.27
04/05/2018	00089549050 COTTINGHAM, MYLIE J.	AMERICAN FUNDS WA MUTUAL	INVES B	26.50	1.33	0.00	1.33		0.27
04/05/2018	00089549050 COTTINGHAM, MYLIE J.	AMERICAN FUNDS WA MUTUAL	INVES B	26.50	1.33	0.00	1.33		0.27
04/04/2018	04000660392 BENDER, NELL P.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	73.90	3.70	0.00	3.70		0.74
04/04/2018	04000660392 BENDER, NELL P.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	73.90	3.70	0.00	3.70		0.74
04/02/2018	04000711583 WRIGHT, BLAKE J.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	500.00	25.00	0.00	25.00		5.00

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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VERRIDE TRANSACTIONSBROKER: KELSEY MCDANIEL #9660

03/28/2018	04000753810	POTTER III, DONALD AMERICAN FUNDS BALANCED FD	B	100.00	5.00	0.00	5.00		1.00
		W.							
04/06/2018	04000753810	POTTER III, DONALD AMERICAN FUNDS BALANCED FD	B	1,375.00	68.75	0.00	68.75		13.75
		W.							
03/28/2018	04000753810	POTTER III, DONALD AMERICAN FUNDS GROWTH FUND OF AMERI B		100.00	5.00	0.00	5.00		1.00
		W.							
04/06/2018	04000753810	POTTER III, DONALD AMERICAN FUNDS GROWTH FUND OF AMERI B		1,375.00	68.75	0.00	68.75		13.75
		W.							
03/28/2018	04000753810	POTTER III, DONALD AMERICAN FUNDS SMALL CAP WORLD FD	B	100.00	5.00	0.00	5.00		1.00
		W.							
04/06/2018	04000753810	POTTER III, DONALD AMERICAN FUNDS SMALL CAP WORLD FD	B	1,375.00	68.75	0.00	68.75		13.75
		W.							
03/28/2018	04000753810	POTTER III, DONALD NEW WORLD FUND-A	B	100.00	5.00	0.00	5.00		1.00
		W.							
04/06/2018	04000753810	POTTER III, DONALD NEW WORLD FUND-A	B	1,375.00	68.75	0.00	68.75		13.75
		W.							
03/26/2018	04000828904	BROWN, MARK K. AMERICAN FUNDS BALANCED FD	B	2.27	0.09	0.00	0.09		0.02
04/02/2018	04000828904	BROWN, MARK K. AMERICAN FUNDS BALANCED FD	B	125.00	4.69	0.00	4.69		0.94
03/26/2018	04000828904	BROWN, MARK K. AMERICAN FUNDS GROWTH FUND OF AMERI B		2.27	0.09	0.00	0.09		0.02
04/02/2018	04000828904	BROWN, MARK K. AMERICAN FUNDS GROWTH FUND OF AMERI B		125.00	4.69	0.00	4.69		0.94
03/16/2018	3212111891	GORTMAKER, DEREK A. OPPENHEIMER CAPITAL APPREC FD CL A B		105.82	5.03	0.00	5.03		1.01
* KELSEY MCDANIEL SUBTOTAL *				<u>\$8,011.47</u>	<u>\$454.34</u>	<u>\$0.00</u>	<u>\$454.34</u>		<u>\$90.90</u>
*** OVERRIDE TRANSACTIONS TOTAL ***				<u>\$8,011.47</u>	<u>\$454.34</u>	<u>\$0.00</u>	<u>\$454.34</u>		<u>\$90.90</u>

ADJUSTMENTS

04/16/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
04/16/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-127.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$7,111.98
Split Transactions	\$0.00
Override Transactions	\$90.90
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$7,075.38
Year-to-date Earnings	\$163,610.98