



COMMISSION STATEMENT

June 25, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CHRISTOPHER MILGROOM</u>								
06/04/2018	***-**-1982 - HOHNECKER, LAURA	AMERICAN MUTUAL FUND-A	25.00	1.25	0.00	1.25	15.0	0.19
	ACCOUNT #: 00086976260	BUY 1 Units @43.4200						
06/04/2018	***-**-1584 - CAMPBELL, COLIN	CALVERT LONG-TERM INCOME FUND	0.00	0.17	0.00	0.17	15.0	0.03
	ACCOUNT #: 00929501828	BUY 0 Units @0.0000						
06/04/2018	***-**-1982 - HOHNECKER, LAURA	CAPITAL WORLD BOND FUND-A	25.00	0.75	0.00	0.75	15.0	0.11
	ACCOUNT #: 00086976260	BUY 1 Units @20.3700						
06/04/2018	***-**-1982 - HOHNECKER, LAURA	CAPITAL WORLD GROWTH AND INCOME FUN	25.00	1.25	0.00	1.25	15.0	0.19
	ACCOUNT #: 00086976260	BUY 0 Units @55.5900						
06/20/2018	***-**-461I - CAMPBELL, COLIN	CAPITAL WORLD GROWTH AND INCOME FUN	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @53.2700						
06/15/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @53.4700						
06/15/2018	***-**-3804 - LETOURNEAU,	EQUITY INCOME FUND, INC. A	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 08352639846	BUY 0 Units @0.0000						
06/15/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @56.1900						
06/20/2018	***-**-461I - CAMPBELL, COLIN	EUROPACIFIC GROWTH FUND-A	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @56.1300						
06/15/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @65.9000						
06/01/2018	***-**-97IR - CRAWFORD, JAMES	GLOBAL FUND A	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 03305198767	BUY 0 Units @0.0000						
06/15/2018	***-**-3804 - LETOURNEAU,	GLOBAL OPPORTUNITIES A	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 02156825863	BUY 0 Units @0.0000						
06/16/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	13.98	0.00	13.98	15.0	2.10
	ACCOUNT #: R074824-ZS	BUY 0 Units @0.0000						
06/16/2018	***-**-3178 - IONESCU, MIHAELA	ING ADVANTAGE SELECT	0.00	4.13	0.00	4.13	15.0	0.62
	ACCOUNT #: R081736-ZS	BUY 0 Units @0.0000						
06/17/2018	***-**-843I - FANO, OKSANA	ING ADVANTAGE SELECT	0.00	7.87	0.00	7.87	15.0	1.18
	ACCOUNT #: R110836-ZS	BUY 0 Units @0.0000						
06/20/2018	***-**-894I - GARCIA, MARIANO	ING ADVANTAGE SELECT	0.00	5.87	0.00	5.87	15.0	0.88
	ACCOUNT #: R109394-ZS	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: CHRISTOPHER MILGROOM (continued)</u>								
06/20/2018	***-**-337I - GARCIA, SHELLEY	ING ADVANTAGE SELECT	0.00	5.38	0.00	5.38	15.0	0.81
	ACCOUNT #: R109397-ZS	BUY 0 Units @0.0000						
06/21/2018	***-**-545P - MARTIN,	ING ADVANTAGE SELECT	0.00	34.00	0.00	34.00	15.0	5.10
	ACCOUNT #: R104873-ZS	BUY 0 Units @0.0000						
06/01/2018	***-**-97IR - CRAWFORD, JAMES	INTERNATIONAL BOND A	50.00	2.00	0.00	2.00	15.0	0.30
	ACCOUNT #: 08806519546	BUY 0 Units @0.0000						
06/11/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	52.50	0.39	0.00	0.39	15.0	0.06
	ACCOUNT #: 07123000641	BUY 4 Units @13.5300						
06/25/2018	***-**-3094 -	LINCOLN BENEFIT LIFE VA TRAILS	0.00	12.11	0.00	12.11	15.0	1.82
		BUY 1 Units @0.0000						
06/25/2018	***-**-3094 -	LINCOLN BENEFIT LIFE VUL	0.00	58.00	0.00	58.00	15.0	8.70
		BUY 1 Units @0.0000						
06/25/2018	***-**-3094 -	LINCOLN BENEFIT LIFE VUL	2,900.00	117.27	0.00	117.27	15.0	17.59
		BUY 1 Units @2900.0000						
06/15/2018	***-**-3804 - LETOURNEAU,	OPPENHEIMER INTERNATIONAL DIVERSIFI	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 01952878018	BUY 0 Units @0.0000						
06/15/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @56.3800						
06/04/2018	***-**-1982 - HOHNECKER, LAURA	THE GROWTH FUND OF AMERICA-A	25.00	1.25	0.00	1.25	15.0	0.19
	ACCOUNT #: 00086976260	BUY 0 Units @57.3500						
06/20/2018	***-**-461I - CAMPBELL, COLIN	THE GROWTH FUND OF AMERICA-A	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @57.1800						
06/05/2018	***-**-6647 - CABLE, ROBERT	WELLS FARGO SPECIAL MID CAP CL C	0.00	0.54	0.00	0.54	15.0	0.08
	ACCOUNT #: 08551051344	BUY 0 Units @0.0000						
06/05/2018	***-**-6647 - CABLE, ROBERT	WELLS FARGO SPECIAL MID CAP CL C	0.00	1.63	0.00	1.63	15.0	0.24
	ACCOUNT #: 08551051344	BUY 0 Units @0.0000						
	* CHRISTOPHER MILGROOM SUBTOTAL *		\$4,142.50	\$300.45	\$0.00	\$300.45		\$45.09
	*** OVERRIDE TRANSACTIONS TOTAL ***		\$4,142.50	\$300.45	\$0.00	\$300.45		\$45.09



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$0.00
						Split Transactions		\$0.00
						Override Transactions		\$45.09
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
Balance Forward								\$45.09
Year-to-date Earnings								\$1,970.94