

**COMMISSION STATEMENT****June 30, 2018**KEITH, L128 LAYMAN  
4321 FlagstaffBROKER #: L128  
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
06/13/2018	POORMAN, MICHAEL	AMERICAN FUNDS	2,152.80	2.71	0.00	2.71	80.0	2.17
06/13/2018	POORMAN, MICHAEL	AMERICAN FUNDS	1,778.88	2.24	0.00	2.24	80.0	1.79
06/13/2018	POORMAN, MICHAEL	AMERICAN FUNDS	1,439.71	1.81	0.00	1.81	80.0	1.45
06/13/2018	POORMAN, MICHAEL	AMERICAN FUNDS	1,631.51	2.06	0.00	2.06	80.0	1.65
06/13/2018	POORMAN, MICHAEL	AMERICAN FUNDS	2,062.58	2.60	0.00	2.60	80.0	2.08
06/13/2018	POORMAN, MICHAEL	AMERICAN FUNDS	2,168.90	2.73	0.00	2.73	80.0	2.18
06/13/2018	POORMAN, MICHAEL	AMERICAN FUNDS	2,443.40	3.08	0.00	3.08	80.0	2.46
06/13/2018	POORMAN, MICHAEL	AMERICAN FUNDS	1,212.09	1.53	0.00	1.53	80.0	1.22
06/13/2018	ROBERTS, LORETTA	AMERICAN FUNDS	6,042.75	7.62	0.00	7.62	80.0	6.10
06/13/2018	ROBERTS, LORETTA	AMERICAN FUNDS	4,697.90	5.92	0.00	5.92	80.0	4.74
06/13/2018	ROBERTS, LORETTA	AMERICAN FUNDS	5,968.60	7.52	0.00	7.52	80.0	6.02
06/13/2018	ROBERTS, LORETTA	AMERICAN FUNDS	6,265.67	7.90	0.00	7.90	80.0	6.32
06/13/2018	ROBERTS, LORETTA	AMERICAN FUNDS	7,774.32	9.80	0.00	9.80	80.0	7.84
06/13/2018	ROBERTS, LORETTA	AMERICAN FUNDS	4,956.68	6.25	0.00	6.25	80.0	5.00
06/13/2018	ROBERTS, LORETTA	AMERICAN FUNDS	7,904.27	9.96	0.00	9.96	80.0	7.97
06/13/2018	ROBERTS, LORETTA	AMERICAN FUNDS	4,209.28	5.30	0.00	5.30	80.0	4.24
06/13/2018	BAILEY, RICHARD	AMERICAN FUNDS	10,776.11	13.58	0.00	13.58	80.0	10.86
06/13/2018	BAILEY, RICHARD	AMERICAN FUNDS	13,000.87	16.38	0.00	16.38	80.0	13.10
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	6,340.61	7.99	0.00	7.99	80.0	6.39
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	5,235.85	6.60	0.00	6.60	80.0	5.28
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	14,069.03	17.73	0.00	17.73	80.0	14.18
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	5,000.70	6.30	0.00	6.30	80.0	5.04
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	5,133.58	6.47	0.00	6.47	80.0	5.18
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	5,490.53	6.92	0.00	6.92	80.0	5.54
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	1,650.71	2.08	0.00	2.08	80.0	1.66
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	5,915.41	7.46	0.00	7.46	80.0	5.97

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	3,279.97	4.13	0.00	4.13	80.0	3.30
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	1,629.40	2.05	0.00	2.05	80.0	1.64
06/13/2018	BAILEY, TERRY	AMERICAN FUNDS	12,309.76	15.51	0.00	15.51	80.0	12.41
06/13/2018	BAILEY, TERRY	AMERICAN FUNDS	9,785.35	12.33	0.00	12.33	80.0	9.86
06/13/2018	BAILEY, TERRY	AMERICAN FUNDS	5,780.68	7.29	0.00	7.29	80.0	5.83
06/13/2018	BAILEY, TERRY	AMERICAN FUNDS	6,342.87	7.99	0.00	7.99	80.0	6.39
06/13/2018	BAILEY, TERRY	AMERICAN FUNDS	8,206.18	10.34	0.00	10.34	80.0	8.27
06/13/2018	BAILEY, TERRY	AMERICAN FUNDS	8,233.49	10.38	0.00	10.38	80.0	8.30
06/13/2018	BAILEY, TERRY	AMERICAN FUNDS	13,778.69	17.36	0.00	17.36	80.0	13.89
06/13/2018	BAILEY, TERRY	AMERICAN FUNDS	10,015.22	12.62	0.00	12.62	80.0	10.10
06/13/2018	WINDSOR, WILLIAM	AMERICAN FUNDS	306.05	0.39	0.00	0.39	80.0	0.31
06/13/2018	WINDSOR, WILLIAM	AMERICAN FUNDS	4,715.69	5.94	0.00	5.94	80.0	4.75
06/13/2018	WINDSOR, WILLIAM	AMERICAN FUNDS	3,508.71	4.42	0.00	4.42	80.0	3.54
06/13/2018	WINDSOR, WILLIAM	AMERICAN FUNDS	307.49	0.39	0.00	0.39	80.0	0.31
06/13/2018	WINDSOR, WILLIAM	AMERICAN FUNDS	913.50	1.15	0.00	1.15	80.0	0.92
06/13/2018	WINDSOR, WILLIAM	AMERICAN FUNDS	612.37	0.77	0.00	0.77	80.0	0.62
06/13/2018	WINDSOR, WILLIAM	AMERICAN FUNDS	916.18	1.15	0.00	1.15	80.0	0.92
06/13/2018	WINDSOR, WILLIAM	AMERICAN FUNDS	612.53	0.77	0.00	0.77	80.0	0.62
06/13/2018	WINDSOR, WILLIAM	AMERICAN FUNDS	908.23	1.14	0.00	1.14	80.0	0.91
06/13/2018	HOFFMAN, JOANN	AMERICAN FUNDS	4,290.25	5.41	0.00	5.41	80.0	4.33
06/13/2018	HOFFMAN, JOANN	AMERICAN FUNDS	4,332.59	5.46	0.00	5.46	80.0	4.37
06/13/2018	HOFFMAN, JOANN	AMERICAN FUNDS	3,849.52	4.85	0.00	4.85	80.0	3.88
06/13/2018	HOFFMAN, JOANN	AMERICAN FUNDS	3,453.07	4.35	0.00	4.35	80.0	3.48
06/13/2018	HOFFMAN, JOANN	AMERICAN FUNDS	635.57	0.80	0.00	0.80	80.0	0.64
06/13/2018	HOFFMAN, JOANN	AMERICAN FUNDS	3,332.92	4.20	0.00	4.20	80.0	3.36
06/13/2018	HOFFMAN, JOANN	AMERICAN FUNDS	947.70	1.19	0.00	1.19	80.0	0.95
06/13/2018	HOFFMAN, JOANN	AMERICAN FUNDS	627.38	0.79	0.00	0.79	80.0	0.63
06/13/2018	HOFFMAN, MARK	AMERICAN FUNDS	2,295.12	2.89	0.00	2.89	80.0	2.31
06/13/2018	HOFFMAN, MARK	AMERICAN FUNDS	4,335.57	5.46	0.00	5.46	80.0	4.37
06/13/2018	HOFFMAN, MARK	AMERICAN FUNDS	2,242.08	2.83	0.00	2.83	80.0	2.26
06/13/2018	HOFFMAN, MARK	AMERICAN FUNDS	2,311.29	2.91	0.00	2.91	80.0	2.33
06/13/2018	HOFFMAN, MARK	AMERICAN FUNDS	718.24	0.91	0.00	0.91	80.0	0.73
06/13/2018	HOFFMAN, MARK	AMERICAN FUNDS	2,221.59	2.80	0.00	2.80	80.0	2.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
06/13/2018	HOFFMAN, MARK	AMERICAN FUNDS	951.95	1.20	0.00	1.20	80.0	0.96
06/13/2018	HOFFMAN, MARK	AMERICAN FUNDS	472.64	0.60	0.00	0.60	80.0	0.48
06/13/2018	AMBRIOLE, WESLEY	AMERICAN FUNDS	964.18	1.22	0.00	1.22	80.0	0.98
06/13/2018	AMBRIOLE, WESLEY	AMERICAN FUNDS	1,521.45	1.92	0.00	1.92	80.0	1.54
06/13/2018	AMBRIOLE, WESLEY	AMERICAN FUNDS	557.33	0.70	0.00	0.70	80.0	0.56
06/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	1,435.37	1.81	0.00	1.81	80.0	1.45
06/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	385.87	0.49	0.00	0.49	80.0	0.39
06/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	329.37	0.42	0.00	0.42	80.0	0.34
06/13/2018	WILLING, AARON	AMERICAN FUNDS	10,061.12	12.68	0.00	12.68	80.0	10.14
06/13/2018	BRUNTON, EMILY	AMERICAN FUNDS	3,172.26	4.00	0.00	4.00	80.0	3.20
06/13/2018	BRUNTON, EMILY	AMERICAN FUNDS	3,605.94	4.54	0.00	4.54	80.0	3.63
06/13/2018	BRUNTON, EMILY	AMERICAN FUNDS	713.27	0.90	0.00	0.90	80.0	0.72
06/13/2018	BRUNTON, EMILY	AMERICAN FUNDS	472.36	0.60	0.00	0.60	80.0	0.48
06/13/2018	BRUNTON, EMILY	AMERICAN FUNDS	355.21	0.45	0.00	0.45	80.0	0.36
06/13/2018	BRUNTON, EMILY	AMERICAN FUNDS	351.12	0.44	0.00	0.44	80.0	0.35
06/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	6,092.84	7.68	0.00	7.68	80.0	6.14
06/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	4,474.57	5.64	0.00	5.64	80.0	4.51
06/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	6,626.23	8.35	0.00	8.35	80.0	6.68
06/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	4,805.92	6.06	0.00	6.06	80.0	4.85
06/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	6,072.25	7.65	0.00	7.65	80.0	6.12
06/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	3,087.41	3.89	0.00	3.89	80.0	3.11
06/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	1,838.37	2.32	0.00	2.32	80.0	1.86
06/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	1,227.63	1.55	0.00	1.55	80.0	1.24
06/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	1,828.54	2.30	0.00	2.30	80.0	1.84
06/13/2018	SCHAEFER, LOLA	AMERICAN FUNDS	4,702.80	5.93	0.00	5.93	80.0	4.74
06/13/2018	BRUNTON, RYAN	AMERICAN FUNDS	2,975.73	3.75	0.00	3.75	80.0	3.00
06/13/2018	BRUNTON, RYAN	AMERICAN FUNDS	4,394.82	5.54	0.00	5.54	80.0	4.43
06/13/2018	NEWBAUER, GINGER	AMERICAN FUNDS	3,385.67	4.27	0.00	4.27	80.0	3.42
06/13/2018	NEWBAUER, GINGER	AMERICAN FUNDS	2,820.77	3.55	0.00	3.55	80.0	2.84
06/13/2018	NEWBAUER, GINGER	AMERICAN FUNDS	218.97	0.28	0.00	0.28	80.0	0.22
06/13/2018	NEWBAUER, GINGER	AMERICAN FUNDS	220.20	0.28	0.00	0.28	80.0	0.22
06/13/2018	NEWBAUER, GINGER	AMERICAN FUNDS	435.29	0.55	0.00	0.55	80.0	0.44
06/13/2018	NEWBAUER, GINGER	AMERICAN FUNDS	324.30	0.41	0.00	0.41	80.0	0.33

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
06/13/2018	NEWBAUER, GINGER	AMERICAN FUNDS	325.15	0.41	0.00	0.41	80.0	0.33
06/13/2018	NEWBAUER, GINGER	AMERICAN FUNDS	214.27	0.27	0.00	0.27	80.0	0.22
06/13/2018	DERBYSHIRE, JAMES	AMERICAN FUNDS	1,834.99	2.31	0.00	2.31	80.0	1.85
06/13/2018	DERBYSHIRE, JAMES	AMERICAN FUNDS	1,837.02	2.32	0.00	2.32	80.0	1.86
06/13/2018	DERBYSHIRE, JAMES	AMERICAN FUNDS	1,663.49	2.10	0.00	2.10	80.0	1.68
06/13/2018	DERBYSHIRE, JAMES	AMERICAN FUNDS	765.89	0.97	0.00	0.97	80.0	0.78
06/13/2018	DERBYSHIRE, JAMES	AMERICAN FUNDS	608.65	0.77	0.00	0.77	80.0	0.62
06/13/2018	DERBYSHIRE, JAMES	AMERICAN FUNDS	452.43	0.57	0.00	0.57	80.0	0.46
06/13/2018	WARSTLER, MARK	AMERICAN FUNDS	1,298.73	1.64	0.00	1.64	80.0	1.31
06/13/2018	WARSTLER, MARK	AMERICAN FUNDS	1,303.33	1.64	0.00	1.64	80.0	1.31
06/13/2018	WARSTLER, MARK	AMERICAN FUNDS	1,566.85	1.97	0.00	1.97	80.0	1.58
06/13/2018	WARSTLER, MARK	AMERICAN FUNDS	1,059.50	1.34	0.00	1.34	80.0	1.07
06/13/2018	WARSTLER, MARK	AMERICAN FUNDS	1,433.49	1.81	0.00	1.81	80.0	1.45
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	11,100.85	13.99	0.00	13.99	80.0	11.19
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	9,875.33	12.45	0.00	12.45	80.0	9.96
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	25,691.17	32.38	0.00	32.38	80.0	25.90
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	10,885.57	13.72	0.00	13.72	80.0	10.98
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	9,832.01	12.39	0.00	12.39	80.0	9.91
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	11,579.53	14.59	0.00	14.59	80.0	11.67
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	4,706.34	5.93	0.00	5.93	80.0	4.74
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	9,770.71	12.31	0.00	12.31	80.0	9.85
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	4,664.43	5.88	0.00	5.88	80.0	4.70
06/13/2018	PATTERSON, JANITA	AMERICAN FUNDS	4,639.44	5.85	0.00	5.85	80.0	4.68
06/13/2018	MCBRIDE, KEITH	AMERICAN FUNDS	4,382.00	5.52	0.00	5.52	80.0	4.42
06/13/2018	BAILEY, TERRY	AMERICAN FUNDS	6,365.91	8.02	0.00	8.02	80.0	6.42
06/13/2018	BAILEY, LISA	AMERICAN FUNDS	1,292.28	1.63	0.00	1.63	80.0	1.30
06/13/2018	NICOLET, MICHAEL	AMERICAN FUNDS	10,788.67	13.60	0.00	13.60	80.0	10.88
06/13/2018	NICOLET, CHERYL	AMERICAN FUNDS	11,422.88	14.40	0.00	14.40	80.0	11.52
06/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	94,018.91	118.49	0.00	118.49	80.0	94.79
06/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	77,924.42	98.21	0.00	98.21	80.0	78.57
06/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	23,148.79	29.17	0.00	29.17	80.0	23.34
06/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	75,736.56	95.45	0.00	95.45	80.0	76.36
06/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	62,379.67	78.62	0.00	78.62	80.0	62.90

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
06/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	81,315.23	102.48	0.00	102.48	80.0	81.98
06/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	71,044.09	89.54	0.00	89.54	80.0	71.63
06/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	23,192.46	29.23	0.00	29.23	80.0	23.38
06/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	220,069.48	277.35	0.00	277.35	80.0	221.88
06/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	34,714.07	43.75	0.00	43.75	80.0	35.00
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	15,865.22	19.99	0.00	19.99	80.0	15.99
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	133.99	0.17	0.00	0.17	80.0	0.14
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	19,223.39	24.23	0.00	24.23	80.0	19.38
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	30,724.02	38.72	0.00	38.72	80.0	30.98
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	19,359.76	24.40	0.00	24.40	80.0	19.52
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	19,267.79	24.28	0.00	24.28	80.0	19.42
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	19,377.95	24.42	0.00	24.42	80.0	19.54
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	34,700.17	43.73	0.00	43.73	80.0	34.98
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	78.44	0.10	0.00	0.10	80.0	0.08
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	19,440.28	24.50	0.00	24.50	80.0	19.60
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	106.15	0.13	0.00	0.13	80.0	0.10
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	23,230.25	29.28	0.00	29.28	80.0	23.42
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	54,046.33	68.11	0.00	68.11	80.0	54.49
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	23,213.86	29.26	0.00	29.26	80.0	23.41
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	30,411.09	38.33	0.00	38.33	80.0	30.66
06/13/2018	LEVERMAN, THEODORE	AMERICAN FUNDS	568.52	0.72	0.00	0.72	80.0	0.58
06/13/2018	LEVERMAN, THEODORE	AMERICAN FUNDS	1,130.86	1.43	0.00	1.43	80.0	1.14
06/13/2018	LEVERMAN, THEODORE	AMERICAN FUNDS	566.20	0.71	0.00	0.71	80.0	0.57
06/13/2018	LEVERMAN, THEODORE	AMERICAN FUNDS	1,119.17	1.41	0.00	1.41	80.0	1.13
06/13/2018	LEVERMAN, THEODORE	AMERICAN FUNDS	556.39	0.70	0.00	0.70	80.0	0.56
06/13/2018	LEVERMAN, THEODORE	AMERICAN FUNDS	840.77	1.06	0.00	1.06	80.0	0.85
06/13/2018	LEVERMAN, THEODORE	AMERICAN FUNDS	834.88	1.05	0.00	1.05	80.0	0.84
06/13/2018	LEVERMAN, PENNY	AMERICAN FUNDS	6,228.20	7.85	0.00	7.85	80.0	6.28
06/13/2018	LEVERMAN, PENNY	AMERICAN FUNDS	8,144.55	10.26	0.00	10.26	80.0	8.21
06/13/2018	LEVERMAN, PENNY	AMERICAN FUNDS	1,438.44	1.81	0.00	1.81	80.0	1.45
06/13/2018	LEVERMAN, PENNY	AMERICAN FUNDS	951.68	1.20	0.00	1.20	80.0	0.96
06/13/2018	LEVERMAN, PENNY	AMERICAN FUNDS	714.95	0.90	0.00	0.90	80.0	0.72
06/13/2018	LEVERMAN, PENNY	AMERICAN FUNDS	709.94	0.89	0.00	0.89	80.0	0.71

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
06/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	4,057.31	5.11	0.00	5.11	80.0	4.09
06/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	4,007.37	5.05	0.00	5.05	80.0	4.04
06/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	8,612.73	10.85	0.00	10.85	80.0	8.68
06/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	4,626.54	5.83	0.00	5.83	80.0	4.66
06/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	4,030.29	5.08	0.00	5.08	80.0	4.06
06/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	611.66	0.77	0.00	0.77	80.0	0.62
06/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	4,033.05	5.08	0.00	5.08	80.0	4.06
06/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	1,822.24	2.30	0.00	2.30	80.0	1.84
06/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	9,248.69	11.66	0.00	11.66	80.0	9.33
06/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	1,798.28	2.27	0.00	2.27	80.0	1.82
06/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	52,851.86	66.61	0.00	66.61	80.0	53.29
06/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	9,482.64	11.95	0.00	11.95	80.0	9.56
06/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	3,354.06	4.23	0.00	4.23	80.0	3.38
06/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	9,195.07	11.59	0.00	11.59	80.0	9.27
06/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	3,385.62	4.27	0.00	4.27	80.0	3.42
06/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	3,361.32	4.24	0.00	4.24	80.0	3.39
06/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	3,316.86	4.18	0.00	4.18	80.0	3.34
06/13/2018	NICOLET, CHERYL	AMERICAN FUNDS	15,633.53	19.70	0.00	19.70	80.0	15.76
06/13/2018	NICOLET, CHERYL	AMERICAN FUNDS	47,232.09	59.53	0.00	59.53	80.0	47.62
06/13/2018	NICOLET, CHERYL	AMERICAN FUNDS	27,896.64	35.16	0.00	35.16	80.0	28.13
06/13/2018	NICOLET, CHERYL	AMERICAN FUNDS	46,253.06	58.29	0.00	58.29	80.0	46.63
06/13/2018	NICOLET, CHERYL	AMERICAN FUNDS	44,770.60	56.42	0.00	56.42	80.0	45.14
06/13/2018	NICOLET, CHERYL	AMERICAN FUNDS	28,508.99	35.93	0.00	35.93	80.0	28.74
06/13/2018	NICOLET, CHERYL	AMERICAN FUNDS	27,327.52	34.44	0.00	34.44	80.0	27.55
06/13/2018	NICOLET, CHERYL	AMERICAN FUNDS	37,626.57	47.42	0.00	47.42	80.0	37.94
06/13/2018	NICOLET, CHERYL	AMERICAN FUNDS	17,235.34	21.72	0.00	21.72	80.0	17.38
06/13/2018	NICOLET, CHERYL	AMERICAN FUNDS	47,480.81	59.84	0.00	59.84	80.0	47.87
06/13/2018	NICOLET, CHERYL	AMERICAN FUNDS	17,134.37	21.59	0.00	21.59	80.0	17.27
06/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	4,351.13	5.48	0.00	5.48	80.0	4.38
06/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	3,578.89	4.51	0.00	4.51	80.0	3.61
06/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	2,767.93	3.49	0.00	3.49	80.0	2.79
06/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	5,141.13	6.48	0.00	6.48	80.0	5.18
06/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	1,825.29	2.30	0.00	2.30	80.0	1.84

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
06/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	1,360.58	1.71	0.00	1.71	80.0	1.37
06/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	1,811.46	2.28	0.00	2.28	80.0	1.82
06/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	907.23	1.14	0.00	1.14	80.0	0.91
06/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	1,351.32	1.70	0.00	1.70	80.0	1.36
06/13/2018	SAINSBURY, DALE	AMERICAN FUNDS	2,957.51	3.73	0.00	3.73	80.0	2.98
06/13/2018	SAINSBURY, DALE	AMERICAN FUNDS	2,350.07	2.96	0.00	2.96	80.0	2.37
06/13/2018	SAINSBURY, DALE	AMERICAN FUNDS	4,807.02	6.06	0.00	6.06	80.0	4.85
06/13/2018	SAINSBURY, DALE	AMERICAN FUNDS	2,419.74	3.05	0.00	3.05	80.0	2.44
06/13/2018	SAINSBURY, DALE	AMERICAN FUNDS	2,622.41	3.30	0.00	3.30	80.0	2.64
06/13/2018	SAINSBURY, DALE	AMERICAN FUNDS	971.06	1.22	0.00	1.22	80.0	0.98
06/13/2018	SAINSBURY, DALE	AMERICAN FUNDS	483.49	0.61	0.00	0.61	80.0	0.49
06/13/2018	SAINSBURY, DALE	AMERICAN FUNDS	723.48	0.91	0.00	0.91	80.0	0.73
06/13/2018	SAINSBURY, DALE	AMERICAN FUNDS	478.03	0.60	0.00	0.60	80.0	0.48
06/13/2018	RAMUS, DARLENE	AMERICAN FUNDS	15,309.25	19.29	0.00	19.29	80.0	15.43
06/13/2018	RAMUS, DARLENE	AMERICAN FUNDS	6,596.00	8.31	0.00	8.31	80.0	6.65
06/13/2018	RAMUS, DARLENE	AMERICAN FUNDS	927.74	1.17	0.00	1.17	80.0	0.94
06/13/2018	RAMUS, DARLENE	AMERICAN FUNDS	5,903.47	7.44	0.00	7.44	80.0	5.95
06/13/2018	RAMUS, DARLENE	AMERICAN FUNDS	3,285.97	4.14	0.00	4.14	80.0	3.31
06/13/2018	RAMUS, DARLENE	AMERICAN FUNDS	1,398.94	1.76	0.00	1.76	80.0	1.41
06/13/2018	RAMUS, DARLENE	AMERICAN FUNDS	924.32	1.16	0.00	1.16	80.0	0.93
06/13/2018	WILKIN, CYNTHIA	AMERICAN FUNDS	26,726.12	33.68	0.00	33.68	80.0	26.94
06/13/2018	WILKIN, CYNTHIA	AMERICAN FUNDS	11,138.95	14.04	0.00	14.04	80.0	11.23
06/13/2018	WILKIN, CYNTHIA	AMERICAN FUNDS	10,519.26	13.26	0.00	13.26	80.0	10.61
06/13/2018	WILKIN, CYNTHIA	AMERICAN FUNDS	10,670.38	13.45	0.00	13.45	80.0	10.76
06/13/2018	WILKIN, CYNTHIA	AMERICAN FUNDS	12,623.74	15.91	0.00	15.91	80.0	12.73
06/13/2018	WILKIN, CYNTHIA	AMERICAN FUNDS	10,484.27	13.21	0.00	13.21	80.0	10.57
06/13/2018	WILKIN, CYNTHIA	AMERICAN FUNDS	10,557.14	13.30	0.00	13.30	80.0	10.64
06/13/2018	WILKIN, CYNTHIA	AMERICAN FUNDS	12,196.94	15.37	0.00	15.37	80.0	12.30
06/13/2018	WILKIN, CYNTHIA	AMERICAN FUNDS	2,283.95	2.88	0.00	2.88	80.0	2.30
06/13/2018	WILKIN, CYNTHIA	AMERICAN FUNDS	1,740.98	2.19	0.00	2.19	80.0	1.75
06/13/2018	WILKIN, CYNTHIA	AMERICAN FUNDS	2,453.53	3.09	0.00	3.09	80.0	2.47
06/13/2018	SIEBER, AARON	AMERICAN FUNDS	18,982.83	23.92	0.00	23.92	80.0	19.14
06/13/2018	SIEBER, AARON	AMERICAN FUNDS	48,753.15	61.44	0.00	61.44	80.0	49.15

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
06/13/2018	SIEBER, AARON	AMERICAN FUNDS	18,872.13	23.78	0.00	23.78	80.0	19.02
06/13/2018	SIEBER, AARON	AMERICAN FUNDS	6,656.31	8.39	0.00	8.39	80.0	6.71
06/13/2018	SIEBER, AARON	AMERICAN FUNDS	10,372.68	13.07	0.00	13.07	80.0	10.46
06/13/2018	SIEBER, AARON	AMERICAN FUNDS	17,422.31	21.96	0.00	21.96	80.0	17.57
06/13/2018	SIEBER, AARON	AMERICAN FUNDS	17,418.82	21.95	0.00	21.95	80.0	17.56
06/13/2018	SIEBER, AARON	AMERICAN FUNDS	16,240.73	20.47	0.00	20.47	80.0	16.38
06/13/2018	COCKROFT, EDYTHE	AMERICAN FUNDS	5,026.66	6.33	0.00	6.33	80.0	5.06
06/13/2018	COCKROFT, EDYTHE	AMERICAN FUNDS	6,065.63	7.64	0.00	7.64	80.0	6.11
06/13/2018	COCKROFT, EDYTHE	AMERICAN FUNDS	13,866.54	17.48	0.00	17.48	80.0	13.98
06/13/2018	COCKROFT, EDYTHE	AMERICAN FUNDS	5,725.30	7.22	0.00	7.22	80.0	5.78
06/13/2018	COCKROFT, EDYTHE	AMERICAN FUNDS	5,890.53	7.42	0.00	7.42	80.0	5.94
06/13/2018	COCKROFT, EDYTHE	AMERICAN FUNDS	2,434.94	3.07	0.00	3.07	80.0	2.46
06/13/2018	COCKROFT, EDYTHE	AMERICAN FUNDS	5,135.38	6.47	0.00	6.47	80.0	5.18
06/13/2018	COCKROFT, EDYTHE	AMERICAN FUNDS	3,222.25	4.06	0.00	4.06	80.0	3.25
06/13/2018	KINDSCHY, CYNTHIA	AMERICAN FUNDS	9,315.58	11.74	0.00	11.74	80.0	9.39
06/13/2018	KINDSCHY, CYNTHIA	AMERICAN FUNDS	5,947.58	7.50	0.00	7.50	80.0	6.00
06/13/2018	KINDSCHY, CYNTHIA	AMERICAN FUNDS	2,261.61	2.85	0.00	2.85	80.0	2.28
06/13/2018	KINDSCHY, CYNTHIA	AMERICAN FUNDS	20,222.22	25.49	0.00	25.49	80.0	20.39
06/13/2018	KINDSCHY, CYNTHIA	AMERICAN FUNDS	7,778.30	9.80	0.00	9.80	80.0	7.84
06/13/2018	KINDSCHY, CYNTHIA	AMERICAN FUNDS	6,764.07	8.52	0.00	8.52	80.0	6.82
06/13/2018	KINDSCHY, CYNTHIA	AMERICAN FUNDS	9,702.69	12.23	0.00	12.23	80.0	9.78
06/13/2018	KINDSCHY, CYNTHIA	AMERICAN FUNDS	6,861.97	8.65	0.00	8.65	80.0	6.92
06/13/2018	KINDSCHY, CYNTHIA	AMERICAN FUNDS	3,391.60	4.27	0.00	4.27	80.0	3.42
06/13/2018	ASCHLIMAN, BRETT	AMERICAN FUNDS	268.67	0.34	0.00	0.34	80.0	0.27
06/13/2018	ASCHLIMAN, BRETT	AMERICAN FUNDS	3,414.00	4.30	0.00	4.30	80.0	3.44
06/13/2018	ASCHLIMAN, BRETT	AMERICAN FUNDS	805.97	1.02	0.00	1.02	80.0	0.82
06/13/2018	MESEKE, GILBERT	AMERICAN FUNDS	7,788.13	9.82	0.00	9.82	80.0	7.86
06/13/2018	MESEKE, GILBERT	AMERICAN FUNDS	7,730.83	9.74	0.00	9.74	80.0	7.79
06/13/2018	MESEKE, GILBERT	AMERICAN FUNDS	8,703.42	10.97	0.00	10.97	80.0	8.78
06/13/2018	MESEKE, GILBERT	AMERICAN FUNDS	1,202.96	1.52	0.00	1.52	80.0	1.22
06/13/2018	MESEKE, GILBERT	AMERICAN FUNDS	1,197.88	1.51	0.00	1.51	80.0	1.21
06/13/2018	MESEKE, GILBERT	AMERICAN FUNDS	1,593.30	2.01	0.00	2.01	80.0	1.61
06/13/2018	MESEKE, GILBERT	AMERICAN FUNDS	1,184.34	1.49	0.00	1.49	80.0	1.19

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
06/13/2018	TRESSLER, KENT	AMERICAN FUNDS	898.86	1.13	0.00	1.13	80.0	0.90
06/13/2018	TRESSLER, KENT	AMERICAN FUNDS	892.65	1.12	0.00	1.12	80.0	0.90
06/13/2018	TRESSLER, KENT	AMERICAN FUNDS	10,802.48	13.61	0.00	13.61	80.0	10.89
06/13/2018	TRESSLER, KENT	AMERICAN FUNDS	897.95	1.13	0.00	1.13	80.0	0.90
06/13/2018	TRESSLER, KENT	AMERICAN FUNDS	891.51	1.12	0.00	1.12	80.0	0.90
06/13/2018	MUSKIN, VERONICA	AMERICAN FUNDS	3,358.29	4.23	0.00	4.23	80.0	3.38
06/13/2018	MUSKIN, VERONICA	AMERICAN FUNDS	6,444.45	8.12	0.00	8.12	80.0	6.50
06/13/2018	MUSKIN, VERONICA	AMERICAN FUNDS	3,430.17	4.32	0.00	4.32	80.0	3.46
06/13/2018	MUSKIN, VERONICA	AMERICAN FUNDS	5,686.56	7.17	0.00	7.17	80.0	5.74
06/13/2018	MUSKIN, VERONICA	AMERICAN FUNDS	3,043.11	3.84	0.00	3.84	80.0	3.07
06/13/2018	MUSKIN, VERONICA	AMERICAN FUNDS	3,262.51	4.11	0.00	4.11	80.0	3.29
06/13/2018	MUSKIN, VERONICA	AMERICAN FUNDS	6,540.69	8.24	0.00	8.24	80.0	6.59
06/13/2018	MUSKIN, VERONICA	AMERICAN FUNDS	2,363.29	2.98	0.00	2.98	80.0	2.38
06/13/2018	NICOLET, MICHAEL	AMERICAN FUNDS	7,949.75	10.02	0.00	10.02	80.0	8.02
06/13/2018	NICOLET, MICHAEL	AMERICAN FUNDS	26,988.69	34.01	0.00	34.01	80.0	27.21
06/13/2018	NICOLET, MICHAEL	AMERICAN FUNDS	19,944.12	25.14	0.00	25.14	80.0	20.11
06/13/2018	NICOLET, MICHAEL	AMERICAN FUNDS	24,479.53	30.85	0.00	30.85	80.0	24.68
06/13/2018	NICOLET, MICHAEL	AMERICAN FUNDS	9,587.61	12.08	0.00	12.08	80.0	9.66
06/13/2018	NICOLET, MICHAEL	AMERICAN FUNDS	14,729.67	18.56	0.00	18.56	80.0	14.85
06/13/2018	NICOLET, MICHAEL	AMERICAN FUNDS	20,874.21	26.31	0.00	26.31	80.0	21.05
06/13/2018	NICOLET, MICHAEL	AMERICAN FUNDS	7,975.22	10.05	0.00	10.05	80.0	8.04
06/13/2018	NICOLET, MICHAEL	AMERICAN FUNDS	18,210.22	22.95	0.00	22.95	80.0	18.36
06/13/2018	NICOLET, MICHAEL	AMERICAN FUNDS	3,607.03	4.55	0.00	4.55	80.0	3.64
06/13/2018	DELAGARZA, DEBRA	AMERICAN FUNDS	4,414.59	5.56	0.00	5.56	80.0	4.45
06/13/2018	DELAGARZA, DEBRA	AMERICAN FUNDS	3,296.86	4.15	0.00	4.15	80.0	3.32
06/13/2018	DELAGARZA, DEBRA	AMERICAN FUNDS	2,576.59	3.25	0.00	3.25	80.0	2.60
06/13/2018	DELAGARZA, DEBRA	AMERICAN FUNDS	2,850.47	3.59	0.00	3.59	80.0	2.87
06/13/2018	DELAGARZA, DEBRA	AMERICAN FUNDS	4,620.56	5.82	0.00	5.82	80.0	4.66
06/13/2018	DELAGARZA, DEBRA	AMERICAN FUNDS	3,172.99	4.00	0.00	4.00	80.0	3.20
06/13/2018	DELAGARZA, DEBRA	AMERICAN FUNDS	3,517.42	4.43	0.00	4.43	80.0	3.54
06/13/2018	DELAGARZA, DEBRA	AMERICAN FUNDS	1,933.92	2.44	0.00	2.44	80.0	1.95
06/13/2018	OTIS, JASON	AMERICAN FUNDS	723.63	0.91	0.00	0.91	80.0	0.73
06/13/2018	OTIS, JASON	AMERICAN FUNDS	901.90	1.14	0.00	1.14	80.0	0.91

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
06/13/2018	OTIS, JASON	AMERICAN FUNDS	565.02	0.71	0.00	0.71	80.0	0.57
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	1,452.35	1.83	0.00	1.83	80.0	1.46
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	3,326.70	4.19	0.00	4.19	80.0	3.35
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	749.11	0.94	0.00	0.94	80.0	0.75
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	2,245.56	2.83	0.00	2.83	80.0	2.26
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	4,883.30	6.15	0.00	6.15	80.0	4.92
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	4,942.12	6.23	0.00	6.23	80.0	4.98
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	1,505.64	1.90	0.00	1.90	80.0	1.52
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	2,234.81	2.82	0.00	2.82	80.0	2.26
06/13/2018	DURKES, DEBRA	AMERICAN FUNDS	768.24	0.97	0.00	0.97	80.0	0.78
06/13/2018	DURKES, DEBRA	AMERICAN FUNDS	876.93	1.11	0.00	1.11	80.0	0.89
06/13/2018	DURKES, DEBRA	AMERICAN FUNDS	1,361.83	1.72	0.00	1.72	80.0	1.38
06/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	12,874.93	16.23	0.00	16.23	80.0	12.98
06/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	389.38	0.49	0.00	0.49	80.0	0.39
06/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	581.61	0.73	0.00	0.73	80.0	0.58
06/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	777.47	0.98	0.00	0.98	80.0	0.78
06/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	784.14	0.99	0.00	0.99	80.0	0.79
06/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	582.36	0.73	0.00	0.73	80.0	0.58
06/13/2018	STRAYER, ANGELA	AMERICAN FUNDS	1,507.46	1.90	0.00	1.90	80.0	1.52
06/13/2018	STEBING, CHRISTEN	AMERICAN FUNDS	2,678.60	3.38	0.00	3.38	80.0	2.70
06/13/2018	POORMAN, MICHAEL	AMERICAN FUNDS	2,156.41	2.72	0.00	2.72	80.0	2.18
06/13/2018	COCKROFT, EDYA	AMERICAN FUNDS	1,082.92	1.36	0.00	1.36	80.0	1.09
06/13/2018	COCKROFT, EDYA	AMERICAN FUNDS	3,794.28	4.78	0.00	4.78	80.0	3.82
06/13/2018	COCKROFT, EDYA	AMERICAN FUNDS	693.94	0.87	0.00	0.87	80.0	0.70
06/13/2018	COCKROFT, EDYA	AMERICAN FUNDS	589.19	0.74	0.00	0.74	80.0	0.59
06/13/2018	COCKROFT, EDYA	AMERICAN FUNDS	1,062.10	1.34	0.00	1.34	80.0	1.07
06/13/2018	COCKROFT, EDYA	AMERICAN FUNDS	551.08	0.69	0.00	0.69	80.0	0.55
06/13/2018	COCKROFT, EDYA	AMERICAN FUNDS	719.14	0.91	0.00	0.91	80.0	0.73
06/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	2,843.00	3.58	0.00	3.58	80.0	2.86
06/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	702.56	0.89	0.00	0.89	80.0	0.71
06/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	2,116.84	2.67	0.00	2.67	80.0	2.14
06/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	1,579.30	1.99	0.00	1.99	80.0	1.59
06/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	5,978.00	7.53	0.00	7.53	80.0	6.02

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
06/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	2,214.60	2.79	0.00	2.79	80.0	2.23
06/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	2,775.49	3.50	0.00	3.50	80.0	2.80
06/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	705.89	0.89	0.00	0.89	80.0	0.71
06/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	466.00	0.59	0.00	0.59	80.0	0.47
06/13/2018	MCFEETERS, RYAN	AMERICAN FUNDS	2,799.03	3.53	0.00	3.53	80.0	2.82
06/13/2018	ELIAS, CRISTA	AMERICAN FUNDS	1,902.05	2.40	0.00	2.40	80.0	1.92
06/13/2018	ELIAS, CRISTA	AMERICAN FUNDS	2,156.76	2.72	0.00	2.72	80.0	2.18
06/13/2018	ELIAS, CRISTA	AMERICAN FUNDS	1,655.33	2.09	0.00	2.09	80.0	1.67
06/13/2018	ELIAS, CRISTA	AMERICAN FUNDS	1,558.67	1.96	0.00	1.96	80.0	1.57
06/13/2018	MCFEETERS, RYAN	AMERICAN FUNDS	879.51	1.11	0.00	1.11	80.0	0.89
06/13/2018	MCFEETERS, RYAN	AMERICAN FUNDS	926.89	1.17	0.00	1.17	80.0	0.94
06/13/2018	MCFEETERS, RYAN	AMERICAN FUNDS	1,156.99	1.46	0.00	1.46	80.0	1.17
06/13/2018	URATA, SUSAN	AMERICAN FUNDS	3,525.17	4.44	0.00	4.44	80.0	3.55
06/13/2018	URATA, SUSAN	AMERICAN FUNDS	357.97	0.45	0.00	0.45	80.0	0.36
06/13/2018	URATA, SUSAN	AMERICAN FUNDS	950.63	1.20	0.00	1.20	80.0	0.96
06/13/2018	URATA, SUSAN	AMERICAN FUNDS	471.71	0.59	0.00	0.59	80.0	0.47
06/13/2018	URATA, SUSAN	AMERICAN FUNDS	351.89	0.44	0.00	0.44	80.0	0.35
06/13/2018	DURKES, DEBRA	AMERICAN FUNDS	7,336.43	9.25	0.00	9.25	80.0	7.40
06/13/2018	DURKES, DEBRA	AMERICAN FUNDS	3,067.21	3.87	0.00	3.87	80.0	3.10
06/13/2018	DURKES, DEBRA	AMERICAN FUNDS	2,310.21	2.91	0.00	2.91	80.0	2.33
06/13/2018	DURKES, DEBRA	AMERICAN FUNDS	1,190.02	1.50	0.00	1.50	80.0	1.20
06/13/2018	DURKES, DEBRA	AMERICAN FUNDS	2,705.54	3.41	0.00	3.41	80.0	2.73
06/13/2018	DURKES, DEBRA	AMERICAN FUNDS	4,637.75	5.84	0.00	5.84	80.0	4.67
06/13/2018	DURKES, DEBRA	AMERICAN FUNDS	3,476.19	4.38	0.00	4.38	80.0	3.50
06/13/2018	WHITE, IAN	AMERICAN FUNDS	5,177.76	6.53	0.00	6.53	80.0	5.22
06/13/2018	RATLIFF, MICHAEL	AMERICAN FUNDS	1,099.73	1.39	0.00	1.39	80.0	1.11
06/13/2018	O'CONNELL, KATHLEEN	AMERICAN FUNDS	3,318.27	4.18	0.00	4.18	80.0	3.34
06/13/2018	O'CONNELL, KATHLEEN	AMERICAN FUNDS	3,586.42	4.52	0.00	4.52	80.0	3.62
06/13/2018	O'CONNELL, KATHLEEN	AMERICAN FUNDS	1,030.74	1.30	0.00	1.30	80.0	1.04
06/13/2018	O'CONNELL, KATHLEEN	AMERICAN FUNDS	3,208.62	4.04	0.00	4.04	80.0	3.23
06/13/2018	O'CONNELL, KATHLEEN	AMERICAN FUNDS	683.21	0.86	0.00	0.86	80.0	0.69
06/13/2018	O'CONNELL, KATHLEEN	AMERICAN FUNDS	1,023.33	1.29	0.00	1.29	80.0	1.03
06/13/2018	O'CONNELL, KATHLEEN	AMERICAN FUNDS	1,024.63	1.29	0.00	1.29	80.0	1.03

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
06/13/2018	O'CONNELL, KATHLEEN	AMERICAN FUNDS	1,017.47	1.28	0.00	1.28	80.0	1.02
06/13/2018	LYTLE, KEAN	AMERICAN FUNDS	1,140.94	1.44	0.00	1.44	80.0	1.15
06/13/2018	LYTLE, KEAN	AMERICAN FUNDS	3,076.92	3.88	0.00	3.88	80.0	3.10
06/13/2018	LYTLE, KEAN	AMERICAN FUNDS	3,011.68	3.80	0.00	3.80	80.0	3.04
06/13/2018	LYTLE, KEAN	AMERICAN FUNDS	918.82	1.16	0.00	1.16	80.0	0.93
06/13/2018	LYTLE, KEAN	AMERICAN FUNDS	2,898.06	3.65	0.00	3.65	80.0	2.92
06/13/2018	LYTLE, KEAN	AMERICAN FUNDS	6,481.13	8.17	0.00	8.17	80.0	6.54
06/13/2018	LYTLE, KEAN	AMERICAN FUNDS	906.97	1.14	0.00	1.14	80.0	0.91
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	519.84	0.66	0.00	0.66	80.0	0.53
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	1,064.51	1.34	0.00	1.34	80.0	1.07
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	475.40	0.60	0.00	0.60	80.0	0.48
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	504.61	0.64	0.00	0.64	80.0	0.51
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	802.23	1.01	0.00	1.01	80.0	0.81
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	1,323.27	1.67	0.00	1.67	80.0	1.34
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	1,786.11	2.25	0.00	2.25	80.0	1.80
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	537.75	0.68	0.00	0.68	80.0	0.54
06/13/2018	HELLER, ADAM	AMERICAN FUNDS	797.58	1.01	0.00	1.01	80.0	0.81
06/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	432.99	0.55	0.00	0.55	80.0	0.44
06/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	1,022.98	1.29	0.00	1.29	80.0	1.03
06/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	1,708.31	2.15	0.00	2.15	80.0	1.72
06/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	2,491.19	3.14	0.00	3.14	80.0	2.51
06/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	1,083.50	1.37	0.00	1.37	80.0	1.10
06/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	1,068.51	1.35	0.00	1.35	80.0	1.08
06/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	2,812.05	3.54	0.00	3.54	80.0	2.83
06/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	1,952.09	2.46	0.00	2.46	80.0	1.97
06/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	568.89	0.72	0.00	0.72	80.0	0.58
06/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	4,073.78	5.13	0.00	5.13	80.0	4.10
06/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	5,226.71	6.59	0.00	6.59	80.0	5.27
06/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	2,238.77	2.82	0.00	2.82	80.0	2.26
06/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	1,942.91	2.45	0.00	2.45	80.0	1.96
06/13/2018	RAMEY, MELISSA	AMERICAN FUNDS	873.01	1.10	0.00	1.10	80.0	0.88
06/13/2018	RAMEY, MELISSA	AMERICAN FUNDS	1,827.95	2.30	0.00	2.30	80.0	1.84
06/13/2018	RAMEY, MELISSA	AMERICAN FUNDS	861.92	1.09	0.00	1.09	80.0	0.87

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
06/13/2018	RAMEY, MELISSA	AMERICAN FUNDS	798.32	1.01	0.00	1.01	80.0	0.81
06/13/2018	RAMEY, MELISSA	AMERICAN FUNDS	1,082.35	1.36	0.00	1.36	80.0	1.09
06/13/2018	RAMEY, MELISSA	AMERICAN FUNDS	3,079.90	3.88	0.00	3.88	80.0	3.10
06/13/2018	RAMEY, MELISSA	AMERICAN FUNDS	2,041.72	2.57	0.00	2.57	80.0	2.06
06/13/2018	RAMEY, MELISSA	AMERICAN FUNDS	3,103.26	3.91	0.00	3.91	80.0	3.13
06/13/2018	RAMEY, MELISSA	AMERICAN FUNDS	1,058.55	1.33	0.00	1.33	80.0	1.06
06/13/2018	RAMEY, MELISSA	AMERICAN FUNDS	891.97	1.12	0.00	1.12	80.0	0.90
06/13/2018	RAMEY, MELISSA	AMERICAN FUNDS	3,059.99	3.86	0.00	3.86	80.0	3.09
06/13/2018	RAMEY, MELISSA	AMERICAN FUNDS	3,073.37	3.87	0.00	3.87	80.0	3.10
06/13/2018	RAMEY, MELISSA	AMERICAN FUNDS	5,535.16	6.98	0.00	6.98	80.0	5.58
06/13/2018	RAMEY, MELISSA	AMERICAN FUNDS	880.45	1.11	0.00	1.11	80.0	0.89
06/13/2018	LOWE, MELISSA	AMERICAN FUNDS	9,943.97	12.53	0.00	12.53	80.0	10.02
06/13/2018	LOWE, MELISSA	AMERICAN FUNDS	1,104.04	1.39	0.00	1.39	80.0	1.11
06/13/2018	LOWE, MELISSA	AMERICAN FUNDS	439.02	0.55	0.00	0.55	80.0	0.44
06/13/2018	LOWE, MELISSA	AMERICAN FUNDS	657.57	0.83	0.00	0.83	80.0	0.66
06/13/2018	LOWE, MELISSA	AMERICAN FUNDS	439.00	0.55	0.00	0.55	80.0	0.44
06/13/2018	LOWE, MELISSA	AMERICAN FUNDS	653.88	0.82	0.00	0.82	80.0	0.66
06/13/2018	MEADOWS, SHEILA	AMERICAN FUNDS	6,794.37	8.56	0.00	8.56	80.0	6.85
06/13/2018	NDLOVU, NKULULEKO	AMERICAN FUNDS	324.52	0.41	0.00	0.41	80.0	0.33
06/13/2018	NDLOVU, NKULULEKO	AMERICAN FUNDS	1,359.54	1.71	0.00	1.71	80.0	1.37
06/13/2018	NDLOVU, NKULULEKO	AMERICAN FUNDS	1,114.21	1.40	0.00	1.40	80.0	1.12
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	2,064.17	2.60	0.00	2.60	80.0	2.08
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	1,051.04	1.32	0.00	1.32	80.0	1.06
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	2,039.86	2.57	0.00	2.57	80.0	2.06
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	1,700.15	2.14	0.00	2.14	80.0	1.71
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	2,034.37	2.56	0.00	2.56	80.0	2.05
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	1,696.61	2.14	0.00	2.14	80.0	1.71
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	2,726.05	3.44	0.00	3.44	80.0	2.75
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	4,788.25	6.03	0.00	6.03	80.0	4.82
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	5,145.07	6.48	0.00	6.48	80.0	5.18
06/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	4,095.55	5.16	0.00	5.16	80.0	4.13
06/13/2018	ROACH, MARY ANNE	AMERICAN FUNDS	3,999.37	5.04	0.00	5.04	80.0	4.03
06/13/2018	ROACH, MARY	AMERICAN FUNDS	2,085.74	2.63	0.00	2.63	80.0	2.10

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
06/13/2018	ROACH, MARY	AMERICAN FUNDS	1,062.03	1.34	0.00	1.34	80.0	1.07
06/13/2018	ROACH, MARY	AMERICAN FUNDS	2,061.17	2.60	0.00	2.60	80.0	2.08
06/13/2018	ROACH, MARY	AMERICAN FUNDS	1,717.89	2.17	0.00	2.17	80.0	1.74
06/13/2018	ROACH, MARY	AMERICAN FUNDS	2,055.61	2.59	0.00	2.59	80.0	2.07
06/13/2018	ROACH, MARY	AMERICAN FUNDS	1,714.33	2.16	0.00	2.16	80.0	1.73
06/13/2018	ROACH, MARY	AMERICAN FUNDS	2,754.51	3.47	0.00	3.47	80.0	2.78
06/13/2018	ROACH, MARY	AMERICAN FUNDS	4,838.29	6.10	0.00	6.10	80.0	4.88
06/13/2018	ROACH, MARY	AMERICAN FUNDS	5,198.80	6.55	0.00	6.55	80.0	5.24
06/13/2018	ROACH, MARY	AMERICAN FUNDS	4,138.33	5.22	0.00	5.22	80.0	4.18
06/13/2018	WITMER, R KARIN	AMERICAN FUNDS	1,742.37	2.20	0.00	2.20	80.0	1.76
06/13/2018	WITMER, R KARIN	AMERICAN FUNDS	743.97	0.94	0.00	0.94	80.0	0.75
06/13/2018	WITMER, NEIL	AMERICAN FUNDS	4,319.02	5.44	0.00	5.44	80.0	4.35
06/13/2018	WITMER, NEIL	AMERICAN FUNDS	2,477.56	3.12	0.00	3.12	80.0	2.50
06/13/2018	WITMER, NEIL	AMERICAN FUNDS	865.76	1.09	0.00	1.09	80.0	0.87
06/13/2018	WITMER, NEIL	AMERICAN FUNDS	3,080.13	3.88	0.00	3.88	80.0	3.10
06/13/2018	WITMER, NEIL	AMERICAN FUNDS	3,901.16	4.92	0.00	4.92	80.0	3.94
06/13/2018	WITMER, NEIL	AMERICAN FUNDS	640.93	0.81	0.00	0.81	80.0	0.65
06/13/2018	LOWDEN, JAMES	AMERICAN FUNDS	955.44	1.20	0.00	1.20	80.0	0.96
06/13/2018	FOSNAUGH, DAVID	AMERICAN FUNDS	5,447.53	6.87	0.00	6.87	80.0	5.50
06/13/2018	BAUMGARTNER, TAMMY	AMERICAN FUNDS	760.29	0.96	0.00	0.96	80.0	0.77
06/13/2018	BAUMGARTNER, TAMMY	AMERICAN FUNDS	190.63	0.24	0.00	0.24	80.0	0.19
06/13/2018	JONES, TIM	AMERICAN FUNDS	199.83	0.25	0.00	0.25	80.0	0.20
06/13/2018	JONES, TIM	AMERICAN FUNDS	198.96	0.25	0.00	0.25	80.0	0.20
06/13/2018	JONES, TIM	AMERICAN FUNDS	200.78	0.25	0.00	0.25	80.0	0.20
06/13/2018	JONES, TIM	AMERICAN FUNDS	199.28	0.25	0.00	0.25	80.0	0.20
06/13/2018	JONES, TIM	AMERICAN FUNDS	201.38	0.25	0.00	0.25	80.0	0.20
06/13/2018	JONES, TIM	AMERICAN FUNDS	3,072.81	2.86	0.00	2.86	80.0	2.29
06/13/2018	JONES, TIM	AMERICAN FUNDS	2,352.83	2.19	0.00	2.19	80.0	1.75
06/13/2018	JONES, TIM	AMERICAN FUNDS	1,684.54	1.57	0.00	1.57	80.0	1.26
06/13/2018	BAILEY, LISA	AMERICAN FUNDS	1,829.54	1.70	0.00	1.70	80.0	1.36
06/13/2018	JONES, TIM	AMERICAN FUNDS	1,963.56	1.83	0.00	1.83	80.0	1.46
06/13/2018	BAILEY, LISA	AMERICAN FUNDS	2,151.01	2.00	0.00	2.00	80.0	1.60
06/13/2018	JONES, TIM	AMERICAN FUNDS	3,280.99	3.06	0.00	3.06	80.0	2.45

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
06/13/2018	BAILEY, LISA	AMERICAN FUNDS	941.42	0.88	0.00	0.88	80.0	0.70
06/13/2018	JONES, TIM	AMERICAN FUNDS	2,267.23	2.11	0.00	2.11	80.0	1.69
06/13/2018	JONES, TIM	AMERICAN FUNDS	2,662.71	2.48	0.00	2.48	80.0	1.98
06/13/2018	JONES, TIM	AMERICAN FUNDS	1,376.53	1.28	0.00	1.28	80.0	1.02
06/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	1,113.25	1.46	0.00	1.46	80.0	1.17
06/13/2018	RAMEY, MELISSA	AMERICAN FUNDS	2,689.69	1.22	0.00	1.22	80.0	0.98
06/01/2018	BUNN, LINDA	CLS INVESTMENTS	6,500.00	12.11	0.00	12.11	80.0	9.69
06/01/2018	GALENTINE, ASHLEY	CLS INVESTMENTS	300.00	0.73	0.00	0.73	80.0	0.58
06/01/2018	GALENTINE, ASHLEY	CLS INVESTMENTS	5,500.00	12.21	0.00	12.21	80.0	9.77
06/01/2018	SIEBER, AARON	CLS INVESTMENTS	5,500.00	0.91	0.00	0.91	80.0	0.73
06/04/2018	HUESMAN, PATRICIA	TD AMERITRADE	282,792.28	592.70	0.00	592.70	80.0	474.16
* RIA SUBTOTAL *			<b>\$3,459,121.78</b>	<b>\$4,589.35</b>	<b>\$0.00</b>	<b>\$4,589.35</b>		<b>\$3,671.47</b>
*** DIRECT TRANSACTIONS TOTAL ***			<b>\$3,459,121.78</b>	<b>\$4,589.35</b>	<b>\$0.00</b>	<b>\$4,589.35</b>		<b>\$3,671.47</b>

**SPLIT TRANSACTIONS****BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

06/13/2018	BROWN, KAY	AMERICAN FUNDS	1,622.04	0.72	0.00	0.72	80.0	0.58
06/13/2018	BAILEY, BENJAMIN	AMERICAN FUNDS	29.31	0.02	0.00	0.02	80.0	0.02
06/13/2018	BAILEY, BENJAMIN	AMERICAN FUNDS	44.50	0.02	0.00	0.02	80.0	0.02
06/13/2018	BAILEY, BENJAMIN	AMERICAN FUNDS	51.79	0.03	0.00	0.03	80.0	0.02
06/13/2018	BUFFENBARGER, LORETTA	AMERICAN FUNDS	722.93	0.32	0.00	0.32	80.0	0.26
06/13/2018	BEGHTEL, JOEL	AMERICAN FUNDS	1,608.99	0.71	0.00	0.71	80.0	0.57
06/13/2018	MICHELL, JOSEPH	AMERICAN FUNDS	788.35	0.34	0.00	0.34	80.0	0.27
06/13/2018	MICHELL, JOSEPH	AMERICAN FUNDS	2,197.82	0.97	0.00	0.97	80.0	0.78
06/08/2018	FORT WAYNE, CITY OF	FIDELITY INVESTMENTS	0.00	1,331.01	0.00	1,331.01	80.0	1,064.81
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			<b>\$7,065.73</b>	<b>\$1,334.14</b>	<b>\$0.00</b>	<b>\$1,334.14</b>		<b>\$1,067.33</b>
*** SPLIT TRANSACTIONS TOTAL ***			<b>\$7,065.73</b>	<b>\$1,334.14</b>	<b>\$0.00</b>	<b>\$1,334.14</b>		<b>\$1,067.33</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: WILLIAM, L129 LAYMAN #207</u></b>								
06/13/2018	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	15,711.15	19.80	0.00	19.80	45.0	8.91
06/13/2018	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	17,607.27	22.19	0.00	22.19	45.0	9.99
06/13/2018	BOUTWELL, SHANE	AMERICAN FUNDS	69,472.86	87.55	0.00	87.55	45.0	39.40
06/13/2018	LAMOTT, KEVIN	AMERICAN FUNDS	619.94	0.78	0.00	0.78	45.0	0.35
06/13/2018	PALADINO, JESSICA	AMERICAN FUNDS	3,128.76	3.94	0.00	3.94	45.0	1.77
* WILLIAM, L129 LAYMAN SUBTOTAL *			\$106,539.98	\$134.26	\$0.00	\$134.26		\$60.42
*** OVERRIDE TRANSACTIONS TOTAL ***			\$106,539.98	\$134.26	\$0.00	\$134.26		\$60.42

**ADJUSTMENTS**

06/30/2018	*PERSHING: ADDRESS VERIFICATION LETTERS	0.00						-1.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1.50

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,671.47
Split Transactions	\$1,067.33
Override Transactions	\$60.42
Adjustments	\$-1.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,797.72
Year-to-date Gross	\$192,402.24
Year-to-date Earnings	\$155,235.06

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed