



All Companies

03/14/2018

Page No. 1

COMMISSION STATEMENT

March 15, 2018

KAREN CARTER BROOKMAN
1840 Hurstview Drive

BROKER #: 9102
BRANCH: HOME OFFICE

Hurst, TX 76054

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/01/2018	00067657556	GEIKEN, DARRYL	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/01/2018	00067657556	GEIKEN, DARRYL	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/01/2018	00074438446	HOFFMAN, JEFFREY	AMERICAN FUNDS BALANCED FD	B	650.38	6.50	0.00	6.50	90.0	5.85
03/01/2018	00074438446	HOFFMAN, JEFFREY	AMERICAN FUNDS FUNDAMENTAL	INVES B	2,000.00	20.00	0.00	20.00	90.0	18.00
03/01/2018	00074438446	HOFFMAN, JEFFREY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	1,000.00	10.00	0.00	10.00	90.0	9.00
03/01/2018	00074438446	HOFFMAN, JEFFREY	AMERICAN FUNDS HIGH INCOME TR FD	B	650.00	6.50	0.00	6.50	90.0	5.85
03/01/2018	00074438446	HOFFMAN, JEFFREY	AMERICAN FUNDS NEW ECONOMY FD	B	1,000.00	10.00	0.00	10.00	90.0	9.00
03/01/2018	00074438446	HOFFMAN, JEFFREY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	899.62	9.00	0.00	9.00	90.0	8.10
03/01/2018	00074438446	HOFFMAN, JEFFREY	AMERICAN FUNDS WA MUTUAL	INVES B	300.00	3.00	0.00	3.00	90.0	2.70
02/26/2018	00082976741	SMITH, NATILLIE J	AMERICAN BALANCED FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
02/26/2018	00082976741	SMITH, NATILLIE J	FUNDAMENTAL INVESTORS-C	B	60.00	0.60	0.00	0.60	90.0	0.54
02/26/2018	00082976741	SMITH, NATILLIE J	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
02/26/2018	00082976741	SMITH, NATILLIE J	THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
02/26/2018	00082976741	SMITH, NATILLIE J	WASHINGTON MUTUAL INVESTORS FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
02/26/2018	00083688576	SMITH, JEFFREY L	AMERICAN BALANCED FUND-C	B	104.00	1.04	0.00	1.04	90.0	0.94
02/26/2018	00083688576	SMITH, JEFFREY L	FUNDAMENTAL INVESTORS-C	B	96.00	0.96	0.00	0.96	90.0	0.86
02/26/2018	00083688576	SMITH, JEFFREY L	NEW PERSPECTIVE FUND-C	B	73.00	0.73	0.00	0.73	90.0	0.66
02/26/2018	00083688576	SMITH, JEFFREY L	THE NEW ECONOMY FUND-C	B	89.00	0.89	0.00	0.89	90.0	0.80
02/26/2018	00083688576	SMITH, JEFFREY L	WASHINGTON MUTUAL INVESTORS FUND-C	B	96.33	0.96	0.00	0.96	90.0	0.86
02/26/2018	00084567631	CUMMINGS, GRADY	AMERICAN FUNDS AMCAP FD	B	110.00	2.20	0.00	2.20	90.0	1.98
02/26/2018	00084567631	CUMMINGS, GRADY	AMERICAN FUNDS BALANCED FD	B	110.00	2.20	0.00	2.20	90.0	1.98
02/26/2018	00084567631	CUMMINGS, GRADY	AMERICAN FUNDS FUNDAMENTAL	INVES B	110.00	2.20	0.00	2.20	90.0	1.98
02/26/2018	00084567631	CUMMINGS, GRADY	AMERICAN FUNDS HIGH INCOME TR FD	B	55.00	1.10	0.00	1.10	90.0	0.99
02/26/2018	00084567631	CUMMINGS, GRADY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	55.00	1.10	0.00	1.10	90.0	0.99
02/26/2018	00084567631	CUMMINGS, GRADY	AMERICAN FUNDS SMALL CAP WORLD FD	B	27.50	0.55	0.00	0.55	90.0	0.50
02/26/2018	00084567631	CUMMINGS, GRADY	AMERICAN FUNDS WA MUTUAL	INVES B	82.50	1.65	0.00	1.65	90.0	1.49
02/20/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS BALANCED FD	B	90.00	1.80	0.00	1.80	90.0	1.62
02/20/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS HIGH INCOME	MUNI B	90.00	1.80	0.00	1.80	90.0	1.62
02/20/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS INVESTMENT COMP OF A	B	60.00	1.20	0.00	1.20	90.0	1.08
02/20/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS WA MUTUAL	INVES B	60.00	1.20	0.00	1.20	90.0	1.08
* MUTUAL FUNDS SUBTOTAL *					\$8,208.33	\$90.58	\$0.00	\$90.58		\$81.53

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

02/28/2018	TRAILS	-JACKSON NATIONAL	B	40.46	40.46	0.00	40.46	90.0	36.41
02/23/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	673.19	673.19	0.00	673.19	90.0	605.87
02/24/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	294.20	294.20	0.00	294.20	90.0	264.78
02/28/2018	TRAILS	DELAWARE LIFE	B	248.63	248.63	0.00	248.63	90.0	223.77
02/28/2018	TRAILS	GENWORTH LIFE ANNUITY	B	399.15	399.15	0.00	399.15	90.0	359.24
* VARIABLE ANNUITIES SUBTOTAL *				\$1,655.63	\$1,655.63	\$0.00	\$1,655.63		\$1,490.07

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	9,253.90	0.00	9,253.90	90.0	8,328.73
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$9,253.90	\$0.00	\$9,253.90		\$8,328.73
*** BROKER TRANSACTIONS TOTAL ***				\$9,863.96	\$11,000.11	\$0.00	\$11,000.11		\$9,900.33

ADJUSTMENTS

03/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
03/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$9,900.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$9,772.83
Year-to-date Earnings	\$39,909.79