

STATEMENT

June 20, 2018

RONALD NALVEN
269 Century Way

BROKER #: 028
BRANCH: LA BRANCH

Manalapan, NJ 07726-

COMMISSION STATEMENT for RONALD NALVEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

04/02/0018 #100818TAL	BERBERIAN, JOSEPH	TRANS LIFE FTLB04	B	260,101.37	216.75	0.00	0.00	216.75	86.0	186.41
04/02/0018 #111219TAL	BROPHY, SUSAN	TRANS LIFE FTLB06	B	80,616.08	67.18	0.00	0.00	67.18	86.0	57.77
04/05/0018 #GAPCPJN90	FOOD EXCHANGE,	NATIONWIDE 020	B	0.00	328.18	0.00	0.00	328.18	86.0	282.23
04/05/0018 #GAPCPJZ6B	PANZA AND SONS	NATIONWIDE 020	B	0.00	69.35	0.00	0.00	69.35	86.0	59.64
04/05/0018 #GAPC7DN4J	MEDICAL, UNIVERSITY	NATIONWIDE 620	B	0.00	189.88	0.00	0.00	189.88	86.0	163.30
04/05/0018 #GAPC3JN9N	FOOD EXCHANGE,	NATIONWIDE 620	B	0.00	440.60	0.00	0.00	440.60	86.0	378.92
04/05/0018 #GAPC3JZ6A	PANZA AND SONS	NATIONWIDE 620	B	0.00	293.08	0.00	0.00	293.08	86.0	252.05
04/05/0018 #GAPC3JZ3J	REAL ESTATE, MRH	NATIONWIDE 620	B	0.00	151.55	0.00	0.00	151.55	86.0	130.33
04/05/0018 #105766LB8	PARTNOYUTE, LAUR	TRANS LIFEFLDP26	B	341,517.93	284.60	0.00	0.00	284.60	86.0	244.76
04/05/0018 #105767LB8	SHEYNNIN, MICHAEL	TRANS LIFEFLDP26	B	360,338.72	300.28	0.00	0.00	300.28	86.0	258.24
04/06/0018 #130283LB8	WILLIAMSON	TRANS LIFEFLDP26	B	107,859.56	89.88	0.00	0.00	89.88	86.0	77.30
04/09/0018 #110865LB8	PEREZ, HECTOR	TRANS LIFEFLDP26	B	91,624.81	76.35	0.00	0.00	76.35	86.0	65.66
05/01/0018 #27045	COMPONENTS AND CONT	LINCOLN	B	835,341.65	348.06	0.00	0.00	348.06	86.0	299.33
05/01/0018 #GAPCPJN90	FOOD EXCHANGE,	NATIONWIDE 020	B	0.00	350.55	0.00	0.00	350.55	86.0	301.47
05/01/0018 #GAPCPJZ6B	PANZA AND SONS	NATIONWIDE 020	B	0.00	73.32	0.00	0.00	73.32	86.0	63.06
05/01/0018 #GAPC7DN4J	MEDICAL, UNIVERSITY	NATIONWIDE 620	B	0.00	206.42	0.00	0.00	206.42	86.0	177.52
05/01/0018 #GAPC3JN9N	FOOD EXCHANGE,	NATIONWIDE 620	B	0.00	475.50	0.00	0.00	475.50	86.0	408.93
05/01/0018 #GAPC3JZ6A	PANZA AND SONS	NATIONWIDE 620	B	0.00	312.76	0.00	0.00	312.76	86.0	268.97
05/01/0018 #GAPC3JZ3J	REAL ESTATE, MRH	NATIONWIDE 620	B	0.00	166.80	0.00	0.00	166.80	86.0	143.45
05/04/0018 #P61D1518746	BOGUCHWAL,	AMERICAN GEN TRAILS	B	0.00	178.77	0.00	0.00	178.77	86.0	153.74
04/30/0201 #27045	COMPONENTS AND CONT	LINCOLN	B	820,878.59	342.03	0.00	0.00	342.03	86.0	294.15
04/30/0201 #PHR546	VOYA		B	1,180,018.73	983.35	0.00	0.00	983.35	86.0	845.68
04/30/0201 #ZH8660	VOYA		B	6,750,525.28	2,531.45	0.00	0.00	2,531.45	86.0	2,177.05
04/30/0201 #ZH8660	VOYA		B	6,932.08	0.00	0.00	0.00	0.00	86.0	0.00
04/04/2018 #119229TAL	BOGUCHWAL, SHERRY	TRANS LIFE FTLB06	B	61,101.77	50.92	0.00	0.00	50.92	86.0	43.79
04/05/2018 #119794TAL	HAHN, IRWIN	TRANS LIFE FTLB01	B	47,023.44	39.19	0.00	0.00	39.19	86.0	33.70
05/02/2018 #P89D1507587		AMERICAN GEN TRAILS	B	0.00	318.22	0.00	0.00	318.22	86.0	273.67
05/02/2018 #P89D1513616	CIAGLIA, RITA	AMERICAN GEN TRAILS	B	0.00	117.04	0.00	0.00	117.04	86.0	100.65
05/07/2018 #VR08025580		PACIFIC LIFE TRAILS	B	0.00	157.71	0.00	0.00	157.71	86.0	135.63
05/31/2018 #1007781489	CIAGLIA, RITA	L SERIES	B	247,352.37	267.97	0.00	0.00	267.97	86.0	230.45
05/31/2018 #1007589308	EHRLICH, HAROLD	L SERIES	B	130,693.00	108.91	0.00	0.00	108.91	86.0	93.66

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
05/31/2018	#1005032888	GALLUCCI, L SERIES	B	53,610.95	44.68	0.00	0.00	44.68	86.0	38.42
05/31/2018	#1005754573	HERMAN, MICHAEL L SERIES	B	44,183.49	47.87	0.00	0.00	47.87	86.0	41.17
05/31/2018	#1006254655	JUBINSKI, STACIE PERSP II	B	4,016.40	1.67	0.00	0.00	1.67	86.0	1.44
05/31/2018	#1011390591	CIAGLIA, RITA PERSP L 08/11	B	273,914.15	228.26	0.00	0.00	228.26	86.0	196.30
* VARIABLE ANNUITIES SUBTOTAL *				\$11,697,650.37	\$9,859.13	\$0.00	\$0.00	\$9,859.13		\$8,478.84
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
05/07/2018	#01446893602	CIAGLIA, RITA FA GROWTH OPPORTUNITIES C	B	0.00	5.32	0.00	0.00	5.32	86.0	4.58
05/07/2018	#01446893602	CIAGLIA, RITA TREASURY FUND ADVISOR-CL C	B	0.00	1.48	0.00	0.00	1.48	86.0	1.27
05/09/2018	#	BOGUCHWAL, SHERRY DAIN TRAILER	B	0.00	0.37	0.00	0.00	0.37	86.0	0.32
05/09/2018	#	BOGUCHWAL, SHERRY DAIN TRAILER	B	0.00	1.15	0.00	0.00	1.15	86.0	0.99
05/09/2018	#	BOGUCHWAL, SCOTT DAIN TRAILER	B	0.00	0.42	0.00	0.00	0.42	86.0	0.36
05/09/2018	#	AMATO, NICHOLAS DAIN TRAILER	B	0.00	1.67	0.00	0.00	1.67	86.0	1.44
05/09/2018	#	AMATO, JOHN DAIN TRAILER	B	0.00	1.64	0.00	0.00	1.64	86.0	1.41
05/09/2018	#	AMATO, CHRISTOPHER DAIN TRAILER	B	0.00	1.00	0.00	0.00	1.00	86.0	0.86
05/09/2018	#	MILLER, SUSAN DAIN TRAILER	B	0.00	4.63	0.00	0.00	4.63	86.0	3.98
05/09/2018	#	MILLER, SUSAN DAIN TRAILER	B	0.00	1.67	0.00	0.00	1.67	86.0	1.44
05/09/2018	#	BOGUCHWAL, STEPHEN DAIN TRAILER	B	0.00	0.96	0.00	0.00	0.96	86.0	0.83
05/09/2018	#	BOGUCHWAL, STEPHEN DAIN TRAILER	B	0.00	0.50	0.00	0.00	0.50	86.0	0.43
05/09/2018	#	BOGUCHWAL, STEPHEN DAIN TRAILER	B	0.00	1.17	0.00	0.00	1.17	86.0	1.01
05/09/2018	#	BOGUCHWAL, STEPHEN DAIN TRAILER	B	0.00	0.63	0.00	0.00	0.63	86.0	0.54
05/09/2018	#	BOGUCHWAL, STEPHEN DAIN TRAILER	B	0.00	0.50	0.00	0.00	0.50	86.0	0.43
05/09/2018	#	BOGUCHWAL, STEPHEN DAIN TRAILER	B	0.00	0.97	0.00	0.00	0.97	86.0	0.83
05/09/2018	#	BOGUCHWAL, STEPHEN DAIN TRAILER	B	0.00	1.15	0.00	0.00	1.15	86.0	0.99
05/09/2018	#	BOGUCHWAL, STEPHEN DAIN TRAILER	B	0.00	0.30	0.00	0.00	0.30	86.0	0.26
05/09/2018	#	BOGUCHWAL, STEPHEN DAIN TRAILER	B	0.00	0.16	0.00	0.00	0.16	86.0	0.14
05/09/2018	#	EVA MAGNES MEMORIAL FAMIL DAIN TRAILER	B	0.00	11.93	0.00	0.00	11.93	86.0	10.26
05/09/2018	#	BERBERIAN, ANI DAIN TRAILER	B	0.00	0.15	0.00	0.00	0.15	86.0	0.13
05/09/2018	#	BERBERIAN, ANI DAIN TRAILER	B	0.00	0.75	0.00	0.00	0.75	86.0	0.65
05/09/2018	#	BERBERIAN, ANI DAIN TRAILER	B	0.00	0.60	0.00	0.00	0.60	86.0	0.52
05/09/2018	#	JUBINSKI, STACIE DAIN TRAILER	B	0.00	7.62	0.00	0.00	7.62	86.0	6.55
05/09/2018	#	JUBINSKI, STACIE DAIN TRAILER	B	0.00	6.20	0.00	0.00	6.20	86.0	5.33
05/09/2018	#	BOGUCHWAL, STEPHEN DAIN TRAILER	B	0.00	0.37	0.00	0.00	0.37	86.0	0.32
05/09/2018	#	BOGUCHWAL, STEPHEN DAIN TRAILER	B	0.00	0.20	0.00	0.00	0.20	86.0	0.17
05/09/2018	#	BOGUCHWAL, STEPHEN DAIN TRAILER	B	0.00	0.16	0.00	0.00	0.16	86.0	0.14
05/09/2018	#	BOGUCHWAL, STEPHEN DAIN TRAILER	B	0.00	0.31	0.00	0.00	0.31	86.0	0.27
05/09/2018	#	BOGUCHWAL, STEPHEN DAIN TRAILER	B	0.00	0.36	0.00	0.00	0.36	86.0	0.31
05/09/2018	#	CIAGLIA, ANTHONY DAIN TRAILER	B	0.00	1.02	0.00	0.00	1.02	86.0	0.88
05/14/2018	#04002254616	JUBINSKI, KENNETH DEVELOPING GROWTH	B	0.00	0.37	0.00	0.00	0.37	86.0	0.32
05/14/2018	#04002254616	JUBINSKI, KENNETH LORD ABBETT DEVELOPING GROWTH FUND	B	0.00	56.36	0.00	0.00	56.36	86.0	48.47

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		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$112.09	\$0.00	\$0.00	\$112.09		\$96.43
		*** BROKER TRANSACTIONS TOTAL ***		\$11,697,650.37	\$9,971.22	\$0.00	\$0.00	\$9,971.22		\$8,575.27

ADJUSTMENTS

06/20/2018	ADMIN FEE: ADMIN FEE	-390.00
06/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
06/20/2018	CIRCLEBLACK: CIRCLEBLACK	-175.00
06/20/2018	CRD FEES: CRD FEES FINRA CE TRACKED	-55.00
	WEB FEE S201	
	*** ADJUSTMENTS TOTAL ***	\$-799.00

BROKER COMMISSION TOTALS

Broker Transactions	\$8,575.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-799.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00
Check Amount	\$7,776.27