



Concorde Investment Services, LLC

04/18/2017
Page No. 1

COMMISSION STATEMENT **March 31, 2017**

KEITH LAYMAN
4321 Flagstaff

BROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/15/2017	NEWBAUER, CAMERON	AM FUNDS AMERICAN BALANCED 529A	50.00	1.38	0.00	1.38	90.0	1.24
03/10/2017	GRIME, STEPHEN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	90.0	3.38
03/10/2017	HERRIMAN, JANET	AM FUNDS AMERICAN BALANCED A	50.00	2.13	0.00	2.13	90.0	1.92
03/10/2017	MCFEETERS, RYAN	AM FUNDS AMERICAN BALANCED A	100.00	4.25	0.00	4.25	90.0	3.83
03/10/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS AMERICAN BALANCED A	550.00	6.60	0.00	6.60	90.0	5.94
03/15/2017	PEARSON, STACEY	AM FUNDS AMERICAN BALANCED A	15.00	0.75	0.00	0.75	90.0	0.68
03/15/2017	CACCAMO, MICHAEL	AM FUNDS AMERICAN BALANCED A	540.00	22.95	0.00	22.95	90.0	20.66
03/15/2017	WHITE, IAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
03/15/2017	WEIMER, RITA	AM FUNDS AMERICAN BALANCED A	300.00	15.00	0.00	15.00	90.0	13.50
03/20/2017	DRUHOT, LESLIE	AM FUNDS AMERICAN BALANCED A	1,946.00	97.30	0.00	97.30	90.0	87.57
03/20/2017	RAMEY, MELISSA	AM FUNDS AMERICAN BALANCED A	7,950.00	298.13	0.00	298.13	90.0	268.32
03/23/2017	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
03/15/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	1.38	0.00	1.38	90.0	1.24
03/10/2017	WEAVER, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER A	50.00	2.50	0.00	2.50	90.0	2.25
03/10/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS CAPITAL INCOME BUILDER A	550.00	6.60	0.00	6.60	90.0	5.94
03/23/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	65.00	2.44	0.00	2.44	90.0	2.20
03/15/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	2.75	0.00	2.75	90.0	2.48
03/15/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	2.75	0.00	2.75	90.0	2.48
03/07/2017	HERBER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
03/10/2017	WEAVER, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	90.0	4.50
03/15/2017	MOSURE, MONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	109.00	3.00	0.00	3.00	90.0	2.70
03/15/2017	NEACE, VICTORIA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
03/15/2017	MUSKIN, VERONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
03/15/2017	EAGLESON, ROSS	AM FUNDS CAPITAL WORLD GRTH & INC A	10.00	0.28	0.00	0.28	90.0	0.25
03/15/2017	EAGLESON, ROSS	AM FUNDS CAPITAL WORLD GRTH & INC A	8.10	0.22	0.00	0.22	90.0	0.20
03/15/2017	EAGLESON, SAMUEL	AM FUNDS CAPITAL WORLD GRTH & INC A	20.00	0.55	0.00	0.55	90.0	0.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/15/2017	EAGLESON, SAMUEL	AM FUNDS CAPITAL WORLD GRTH & INC A	13.44	0.37	0.00	0.37	90.0	0.33
03/20/2017	JACKSON, AIMEE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	90.0	2.25
03/20/2017	WILBANKS, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	175.00	7.44	0.00	7.44	90.0	6.70
03/20/2017	RAMEY, MELISSA	AM FUNDS CAPITAL WORLD GRTH & INC A	3,975.00	149.06	0.00	149.06	90.0	134.15
03/23/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
03/07/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	50.08	1.00	0.00	1.00	90.0	0.90
03/07/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	50.08	1.00	0.00	1.00	90.0	0.90
03/15/2017	EAGLESON, ROSS	AM FUNDS EUROPACIFIC GROWTH FUND A	15.00	0.41	0.00	0.41	90.0	0.37
03/15/2017	EAGLESON, ROSS	AM FUNDS EUROPACIFIC GROWTH FUND A	12.15	0.33	0.00	0.33	90.0	0.30
03/15/2017	EAGLESON, SAMUEL	AM FUNDS EUROPACIFIC GROWTH FUND A	30.00	0.83	0.00	0.83	90.0	0.75
03/15/2017	EAGLESON, SAMUEL	AM FUNDS EUROPACIFIC GROWTH FUND A	20.16	0.55	0.00	0.55	90.0	0.50
03/10/2017	WEAVER, JEFFREY	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	2.25
03/15/2017	MOSURE, MONICA	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.38	0.00	1.38	90.0	1.24
03/15/2017	EAGLESON, ROSS	AM FUNDS FUNDAMENTAL INVESTORS A	10.00	0.28	0.00	0.28	90.0	0.25
03/15/2017	EAGLESON, ROSS	AM FUNDS FUNDAMENTAL INVESTORS A	8.10	0.22	0.00	0.22	90.0	0.20
03/15/2017	EAGLESON, SAMUEL	AM FUNDS FUNDAMENTAL INVESTORS A	20.00	0.55	0.00	0.55	90.0	0.50
03/15/2017	EAGLESON, SAMUEL	AM FUNDS FUNDAMENTAL INVESTORS A	13.44	0.37	0.00	0.37	90.0	0.33
03/15/2017	NEWBAUER, CAMERON	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
03/07/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	200.34	4.01	0.00	4.01	90.0	3.61
03/07/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	200.34	4.01	0.00	4.01	90.0	3.61
03/07/2017	HERBER, TRINA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
03/10/2017	WEAVER, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
03/10/2017	GRIME, STEPHEN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
03/10/2017	MCFEETERS, VALERIE	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	3.83
03/15/2017	MOSURE, MONICA	AM FUNDS GROWTH FUND OF AMERICA A	89.00	2.45	0.00	2.45	90.0	2.21
03/15/2017	NEACE, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
03/15/2017	MUSKIN, VERONICA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
03/15/2017	EAGLESON, ROSS	AM FUNDS GROWTH FUND OF AMERICA A	15.00	0.41	0.00	0.41	90.0	0.37
03/15/2017	EAGLESON, ROSS	AM FUNDS GROWTH FUND OF AMERICA A	12.15	0.33	0.00	0.33	90.0	0.30
03/15/2017	EAGLESON, SAMUEL	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.83	0.00	0.83	90.0	0.75
03/15/2017	EAGLESON, SAMUEL	AM FUNDS GROWTH FUND OF AMERICA A	20.16	0.55	0.00	0.55	90.0	0.50
03/20/2017	WILBANKS, GREGORY	AM FUNDS GROWTH FUND OF AMERICA A	175.00	7.44	0.00	7.44	90.0	6.70
03/20/2017	RAMEY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	3,975.00	149.06	0.00	149.06	90.0	134.15

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/23/2017	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	75.00	2.81	0.00	2.81	90.0	2.53
03/24/2017	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
03/15/2017	NEWBAUER, CAMERON	AM FUNDS ICA 529A	100.00	2.75	0.00	2.75	90.0	2.48
03/10/2017	GRIME, STEPHEN	AM FUNDS ICA A	100.00	3.75	0.00	3.75	90.0	3.38
03/10/2017	WEAVER, JEFFREY	AM FUNDS INCOME FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
03/10/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS INCOME FUND OF AMERICA A	550.00	6.60	0.00	6.60	90.0	5.94
03/15/2017	MOSURE, MONICA	AM FUNDS INCOME FUND OF AMERICA A	60.00	1.65	0.00	1.65	90.0	1.49
03/23/2017	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	55.00	2.06	0.00	2.06	90.0	1.85
03/15/2017	SLIGER, RUSTY	AM FUNDS INTERMEDIATE BOND FUND OF	120.00	2.40	0.00	2.40	90.0	2.16
03/15/2017	SLIGER, RUSTY	AM FUNDS INTERMEDIATE BOND FUND OF	96.00	1.92	0.00	1.92	90.0	1.73
03/10/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS NEW ECONOMY A	550.00	6.60	0.00	6.60	90.0	5.94
03/15/2017	EAGLESON, ROSS	AM FUNDS NEW ECONOMY A	10.00	0.28	0.00	0.28	90.0	0.25
03/15/2017	EAGLESON, ROSS	AM FUNDS NEW ECONOMY A	8.10	0.22	0.00	0.22	90.0	0.20
03/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW ECONOMY A	20.00	0.55	0.00	0.55	90.0	0.50
03/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW ECONOMY A	13.44	0.37	0.00	0.37	90.0	0.33
03/10/2017	WEAVER, JEFFREY	AM FUNDS NEW PERSPECTIVE A	50.00	2.50	0.00	2.50	90.0	2.25
03/15/2017	MOSURE, MONICA	AM FUNDS NEW PERSPECTIVE A	50.00	1.38	0.00	1.38	90.0	1.24
03/15/2017	MUSKIN, VERONICA	AM FUNDS NEW PERSPECTIVE A	50.00	2.13	0.00	2.13	90.0	1.92
03/15/2017	EAGLESON, ROSS	AM FUNDS NEW PERSPECTIVE A	15.00	0.41	0.00	0.41	90.0	0.37
03/15/2017	EAGLESON, ROSS	AM FUNDS NEW PERSPECTIVE A	12.15	0.33	0.00	0.33	90.0	0.30
03/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW PERSPECTIVE A	30.00	0.83	0.00	0.83	90.0	0.75
03/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW PERSPECTIVE A	20.16	0.55	0.00	0.55	90.0	0.50
03/23/2017	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	65.00	2.44	0.00	2.44	90.0	2.20
03/10/2017	WEAVER, JEFFREY	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
03/15/2017	MOSURE, MONICA	AM FUNDS NEW WORLD A	50.00	1.38	0.00	1.38	90.0	1.24
03/15/2017	ROEMER, SHERRI	AM FUNDS NEW WORLD A	50.00	2.13	0.00	2.13	90.0	1.92
03/15/2017	EAGLESON, ROSS	AM FUNDS NEW WORLD A	15.00	0.41	0.00	0.41	90.0	0.37
03/15/2017	EAGLESON, ROSS	AM FUNDS NEW WORLD A	12.15	0.33	0.00	0.33	90.0	0.30
03/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW WORLD A	30.00	0.83	0.00	0.83	90.0	0.75
03/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW WORLD A	20.16	0.55	0.00	0.55	90.0	0.50
03/16/2017	MUSSEY, LORI	AM FUNDS NEW WORLD A	50.00	2.13	0.00	2.13	90.0	1.92
03/23/2017	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	65.00	2.44	0.00	2.44	90.0	2.20
03/10/2017	WEAVER, JEFFREY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	90.0	2.25

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/15/2017	MOSURE, MONICA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.38	0.00	1.38	90.0	1.24
03/15/2017	EAGLESON, ROSS	AM FUNDS WASHINGTON MUTUAL INVESTOR	10.00	0.28	0.00	0.28	90.0	0.25
03/15/2017	EAGLESON, ROSS	AM FUNDS WASHINGTON MUTUAL INVESTOR	8.10	0.22	0.00	0.22	90.0	0.20
03/15/2017	EAGLESON, SAMUEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	20.00	0.55	0.00	0.55	90.0	0.50
03/15/2017	EAGLESON, SAMUEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	13.44	0.37	0.00	0.37	90.0	0.33
03/21/2017	AMARO, SOPHIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	1,000.00	42.50	0.00	42.50	90.0	38.25
03/21/2017	AMARO, ETHAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	1,000.00	50.00	0.00	50.00	90.0	45.00
03/14/2017	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	15.00	0.71	0.00	0.71	90.0	0.64
03/17/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
03/17/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
03/17/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
03/24/2017	GEVERINK, ANN	ASCENSUS COLLEGE SAV 194524518	30.00	1.43	0.00	1.43	90.0	1.29
03/14/2017	FREEZE, CORTNEY	ASCENSUS INA AMERICAN FUNDS EUROPAC	15.00	0.71	0.00	0.71	90.0	0.64
03/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
03/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
03/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
03/24/2017	GEVERINK, ANN	ASCENSUS INA AMERICAN FUNDS EUROPAC	30.00	1.43	0.00	1.43	90.0	1.29
03/24/2017	SIEGER, NORMAN	ASCENSUS INA AMERICAN FUNDS EUROPAC	152.00	7.22	0.00	7.22	90.0	6.50
/ /	ROSS, AARON	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	162.50	0.00	162.50	90.0	146.25
/ /	MULLINS, CYNTHIA	ASCENSUS INA COLLEGE PORTFOLIO CL C	0.00	0.50	0.00	0.50	90.0	0.45
03/14/2017	FREEZE, CORTNEY	ASCENSUS INA PIMCO TOTAL RETURN POR	15.00	0.49	0.00	0.49	90.0	0.44
03/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA PIMCO TOTAL RETURN POR	13.87	0.45	0.00	0.45	90.0	0.41
03/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA PIMCO TOTAL RETURN POR	27.73	0.90	0.00	0.90	90.0	0.81
03/24/2017	GEVERINK, ANN	ASCENSUS INA PIMCO TOTAL RETURN POR	40.00	1.30	0.00	1.30	90.0	1.17
03/24/2017	SIEGER, NORMAN	ASCENSUS INA PIMCO TOTAL RETURN POR	152.00	4.94	0.00	4.94	90.0	4.45
03/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	41.60	1.66	0.00	1.66	90.0	1.49
03/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	48.53	1.94	0.00	1.94	90.0	1.75
03/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	34.67	1.39	0.00	1.39	90.0	1.25
03/24/2017	GEVERINK, ANN	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	90.0	2.14
03/24/2017	SIEGER, NORMAN	ASCENSUS INA T ROWE PRICE LG CAP GR	608.00	28.88	0.00	28.88	90.0	25.99
03/17/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	41.60	1.66	0.00	1.66	90.0	1.49
03/17/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	48.53	1.94	0.00	1.94	90.0	1.75
03/17/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	34.67	1.39	0.00	1.39	90.0	1.25

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/24/2017	GEVERINK, ANN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
03/24/2017	SIEGER, NORMAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	608.00	28.88	0.00	28.88	90.0	25.99
03/14/2017	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	55.00	2.61	0.00	2.61	90.0	2.35
03/14/2017	SCHUCH, AUTUMN	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	1.07
03/14/2017	SCHUCH, AUTUMN	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	1.07
/ /	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	7.50	0.00	7.50	90.0	6.75
02/28/2017	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	0.17	0.00	0.17	90.0	0.15
02/28/2017	GILROY, MATTHEW	BLK INTL OPP A	0.00	0.44	0.00	0.44	90.0	0.40
03/15/2017	SCHUCH, AUTUMN	OPPENHEIMER CAPITAL APPRECIATION A	100.00	4.75	0.00	4.75	90.0	4.28
03/15/2017	GALENTINE, JUSTIN	OPPENHEIMER PORTFOLIO SERIES EQ INV	200.00	9.50	0.00	9.50	90.0	8.55
03/15/2017	URICK, DANIEL	TRANSAMERICA CAPITAL GROWTH A	100.00	4.00	0.00	4.00	90.0	3.60
03/15/2017	DELANEY, DIANA	VANGUARD 500 INDEX FUND INVESTOR SH	150.00	0.00	12.00	-12.00	90.0	-10.80
03/15/2017	DELANEY, MATTHEW	VANGUARD 500 INDEX FUND INVESTOR SH	25.00	0.00	12.00	-12.00	90.0	-10.80
* MUTUAL FUNDS SUBTOTAL *			\$30,402.24	\$1,300.88	\$24.00	\$1,276.88		\$1,149.37
<u>PRODUCT CATEGORY: STOCKS</u>								
03/13/2017	LONG, DAVID	SOUTHERN CO COM	4,220.24	100.00	22.50	77.50	90.0	69.75
* STOCKS SUBTOTAL *			\$4,220.24	\$100.00	\$22.50	\$77.50		\$69.75
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/15/2017	KAUFMAN, KATRINA	NWL BOA NG FP VUL	50.00	1.00	0.00	1.00	90.0	0.90
* VARIABLE ANNUITIES SUBTOTAL *			\$50.00	\$1.00	\$0.00	\$1.00		\$0.90
*** BROKER TRANSACTIONS TOTAL ***			\$34,672.48	\$1,401.88	\$46.50	\$1,355.38		\$1,220.02

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

03/10/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	2.18	0.00	2.18	90.0	1.96
03/15/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
03/21/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	2.18	0.00	2.18	90.0	1.96
03/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	6.56	0.00	6.56	90.0	5.90
03/15/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	175.00	3.06	0.00	3.06	90.0	2.75
03/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	6.56	0.00	6.56	90.0	5.90
03/10/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
03/10/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
03/24/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
03/24/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.57	0.00	0.57	90.0	0.51
03/10/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12
03/24/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12
03/10/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	90.0	0.09
03/24/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	90.0	0.09
03/10/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
03/24/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
03/10/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
03/10/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
03/10/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
03/24/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
03/24/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
03/24/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,735.00	\$27.74	\$0.00	\$27.74		\$24.96
<u>BROKER: L135-KEITH/JOE LAYMAN/WIEGAND #267</u>								
03/14/2017	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	1.19	0.00	1.19	90.0	1.07
* L135-KEITH/JOE LAYMAN/WIEGAND SUBTOTAL *			\$50.00	\$1.19	\$0.00	\$1.19		\$1.07
*** SPLIT TRANSACTIONS TOTAL ***			\$1,785.00	\$28.93	\$0.00	\$28.93		\$26.03
<u>OVERRIDE TRANSACTIONS</u>								
<u>BROKER: WILLIAM LAYMAN #207</u>								
03/15/2017	RABER, AMY	AM FUNDS AMERICAN MUTUAL A	300.00	15.00	0.00	15.00	45.0	6.75
* WILLIAM LAYMAN SUBTOTAL *			\$300.00	\$15.00	\$0.00	\$15.00		\$6.75
*** OVERRIDE TRANSACTIONS TOTAL ***			\$300.00	\$15.00	\$0.00	\$15.00		\$6.75

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BROKER COMMISSION TOTALS

Broker Transactions	\$1,220.02
Split Transactions	\$26.03
Override Transactions	\$6.75
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,252.80
Year-to-date Gross	\$14,430.04
Year-to-date Earnings	\$12,521.99