



# COMMISSION STATEMENT

## March 26, 2018

STEPHEN CARVER  
1921 W Willow Knolls Dr Ste C  
Peoria, IL 61614

BROKER #: B15  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/19/2018	***-**-361J - COOK, ALAN ACCOUNT #: LSR012544	CALVERT GLOBAL WATER FUND CLASS A SELL 644 Units @20.1800	13,000.00	0.00	18.00	-18.00	100.0	-18.00
03/19/2018	***-**-720X - COOK, TAMI ACCOUNT #: LSR012548	CALVERT GLOBAL WATER FUND CLASS A SELL 248 Units @20.1800	5,000.00	0.00	18.00	-18.00	100.0	-18.00
03/20/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	CALVERT GLOBAL WATER FUND CLASS A SELL 1740 Units @20.1200	35,000.01	0.00	18.00	-18.00	100.0	-18.00
03/20/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	CALVERT GLOBAL WATER FUND CLASS A SELL 1117 Units @20.1200	22,465.33	0.00	18.00	-18.00	100.0	-18.00
03/20/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	CALVERT GLOBAL WATER FUND CLASS A SELL 713 Units @20.1200	14,349.56	0.00	18.00	-18.00	100.0	-18.00
03/20/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	CARILLON CLARIVEST CAPITAL APPREC C SELL 273 Units @43.9100	11,999.99	0.00	18.00	-18.00	100.0	-18.00
03/20/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	FIDELITY ADV CONSUMER DISCRETIONARY SELL 484 Units @26.8400	13,000.01	0.00	18.00	-18.00	100.0	-18.00
03/19/2018	***-**-20IR - COOK, TAMI ACCOUNT #: LSR012545	JPMORGAN SMALL CAP GROWTH CLASS A BUY 327 Units @18.3500	5,999.99	285.00	18.00	267.00	85.0	226.95
03/19/2018	***-**-61IR - COOK, ALAN ACCOUNT #: LSR012546	JPMORGAN SMALL CAP GROWTH CLASS A BUY 327 Units @18.3500	5,999.99	285.00	18.00	267.00	85.0	226.95
03/20/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	MORGAN STANLEY GROWTH PORT A-NFS SELL 696 Units @45.5600	31,714.18	0.00	18.00	-18.00	100.0	-18.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$158,529.06</b>	<b>\$570.00</b>	<b>\$180.00</b>	<b>\$390.00</b>		<b>\$309.90</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/21/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	ABERCROMBIE & FITCH CO CL A BUY 46 Units @23.5200	1,081.92	18.40	15.92	2.48	85.0	2.11
03/21/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	ABERCROMBIE & FITCH CO CL A BUY 80 Units @23.5200	1,881.60	32.00	1.60	30.40	85.0	25.84
03/21/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	ABERCROMBIE & FITCH CO CL A BUY 174 Units @23.5190	4,092.31	69.60	3.48	66.12	85.0	56.20
03/21/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	ABERCROMBIE & FITCH CO CL A BUY 100 Units @23.5200	2,352.00	40.00	2.00	38.00	85.0	32.30
03/21/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	ABERCROMBIE & FITCH CO CL A BUY 100 Units @23.5200	2,352.00	40.00	2.00	38.00	85.0	32.30



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
03/21/2018	***-**-042I - HEINZ, CHERI	ABERCROMBIE & FITCH CO CL A	11,743.50	200.00	25.00	175.00	85.0	148.75
	ACCOUNT #: LSR012514	BUY 500 Units @23.4870						
03/21/2018	***-**-55IR - WISE, MARY	ARLINGTON ASSET INVT CORP CL A NEW	11,608.00	220.00	35.00	185.00	85.0	157.25
	ACCOUNT #: LSR012558	BUY 1000 Units @11.6080						
03/16/2018	***-**-926I - AUSTIN, MARGIE	AT&T INC COM	261.07	38.00	15.14	22.86	85.0	19.43
	ACCOUNT #: LSR012540	SELL 7 Units @37.2950						
03/22/2018	***-**-447I - HEINZ-OTTE,	ATLAS FINANCIAL HOLDINGS INC COM NP	5,681.00	100.00	25.00	75.00	85.0	63.75
	ACCOUNT #: LSR012465	SELL 500 Units @11.3620						
03/21/2018	***-**-691I - HEINZ, MARK	GENERAL MOTORS CO COM USD0.01 ISIN	3,731.50	75.00	17.00	58.00	85.0	49.30
	ACCOUNT #: LSR012513	BUY 100 Units @37.3150						
03/21/2018	***-**-691I - HEINZ, MARK	GENERAL MOTORS CO COM USD0.01 ISIN	3,731.50	75.00	2.00	73.00	85.0	62.05
	ACCOUNT #: LSR012513	BUY 100 Units @37.3150						
03/21/2018	***-**-691I - HEINZ, MARK	GENERAL MOTORS CO COM USD0.01 ISIN	3,731.50	75.00	2.00	73.00	85.0	62.05
	ACCOUNT #: LSR012513	BUY 100 Units @37.3150						
03/21/2018	***-**-042I - HEINZ, CHERI	GENERAL MOTORS CO COM USD0.01 ISIN	3,734.50	66.67	17.00	49.67	85.0	42.22
	ACCOUNT #: LSR012514	BUY 100 Units @37.3450						
03/21/2018	***-**-042I - HEINZ, CHERI	GENERAL MOTORS CO COM USD0.01 ISIN	7,469.80	133.33	4.00	129.33	85.0	109.93
	ACCOUNT #: LSR012514	BUY 200 Units @37.3490						
03/20/2018	***-**-042I - HEINZ, CHERI	JUST ENERGY GROUP INC COM NPV ISIN	13,695.00	240.00	75.00	165.00	85.0	140.25
	ACCOUNT #: LSR012514	BUY 3000 Units @4.5650						
03/21/2018	***-**-691I - HEINZ, MARK	JUST ENERGY GROUP INC COM NPV ISIN	13,605.00	250.00	75.00	175.00	85.0	148.75
	ACCOUNT #: LSR012513	BUY 3000 Units @4.5350						
03/21/2018	***-**-042I - HEINZ, CHERI	JUST ENERGY GROUP INC COM NPV ISIN	5,458.80	100.00	39.00	61.00	85.0	51.85
	ACCOUNT #: LSR012514	BUY 1200 Units @4.5490						
03/21/2018	***-**-042I - HEINZ, CHERI	JUST ENERGY GROUP INC COM NPV ISIN	454.90	8.33	2.00	6.33	85.0	5.38
	ACCOUNT #: LSR012514	BUY 100 Units @4.5490						
03/21/2018	***-**-042I - HEINZ, CHERI	JUST ENERGY GROUP INC COM NPV ISIN	454.90	8.33	2.00	6.33	85.0	5.38
	ACCOUNT #: LSR012514	BUY 100 Units @4.5490						
03/21/2018	***-**-042I - HEINZ, CHERI	JUST ENERGY GROUP INC COM NPV ISIN	909.80	16.67	4.00	12.67	85.0	10.77
	ACCOUNT #: LSR012514	BUY 200 Units @4.5490						
03/21/2018	***-**-042I - HEINZ, CHERI	JUST ENERGY GROUP INC COM NPV ISIN	454.90	8.33	2.00	6.33	85.0	5.38
	ACCOUNT #: LSR012514	BUY 100 Units @4.5490						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
03/21/2018	***-**-042I - HEINZ, CHERI	JUST ENERGY GROUP INC COM NPV ISIN	454.90	8.33	2.00	6.33	85.0	5.38
	ACCOUNT #: LSR012514	BUY 100 Units @4.5490						
03/21/2018	***-**-042I - HEINZ, CHERI	JUST ENERGY GROUP INC COM NPV ISIN	4,549.00	83.33	20.00	63.33	85.0	53.83
	ACCOUNT #: LSR012514	BUY 1000 Units @4.5490						
03/21/2018	***-**-042I - HEINZ, CHERI	JUST ENERGY GROUP INC COM NPV ISIN	909.80	16.67	4.00	12.67	85.0	10.77
	ACCOUNT #: LSR012514	BUY 200 Units @4.5490						
03/21/2018	***-**-55IR - WISE, MARY	JUST ENERGY GROUP INC COM NPV ISIN	13,617.00	250.00	75.00	175.00	85.0	148.75
	ACCOUNT #: LSR012558	BUY 3000 Units @4.5390						
03/22/2018	***-**-447I - HEINZ-OTTE,	SEAGATE TECHNOLOGY PLC COM USD0.000	29,572.50	595.00	25.00	570.00	85.0	484.50
	ACCOUNT #: LSR012465	BUY 500 Units @59.1450						
03/22/2018	***-**-691I - HEINZ, MARK	SEAGATE TECHNOLOGY PLC COM USD0.000	11,746.00	225.00	19.00	206.00	85.0	175.10
	ACCOUNT #: LSR012513	BUY 200 Units @58.7300						
03/22/2018	***-**-042I - HEINZ, CHERI	SEAGATE TECHNOLOGY PLC COM USD0.000	11,736.00	225.00	19.00	206.00	85.0	175.10
	ACCOUNT #: LSR012514	BUY 200 Units @58.6800						
03/19/2018	***-**-361J - COOK, ALAN	ULTRA CLEAN HLDGS INC	81.47	2.00	15.08	-13.08	100.0	-13.08
	ACCOUNT #: LSR012544	SELL 4 Units @20.3680						
03/19/2018	***-**-361J - COOK, ALAN	ULTRA CLEAN HLDGS INC	8,066.92	198.00	7.92	190.08	85.0	161.57
	ACCOUNT #: LSR012544	SELL 396 Units @20.3710						
03/20/2018	***-**-042I - HEINZ, CHERI	ULTRA CLEAN HLDGS INC	4,869.60	96.00	19.80	76.20	85.0	64.77
	ACCOUNT #: LSR012514	SELL 240 Units @20.2900						
03/20/2018	***-**-042I - HEINZ, CHERI	ULTRA CLEAN HLDGS INC	2,029.00	40.00	2.00	38.00	85.0	32.30
	ACCOUNT #: LSR012514	SELL 100 Units @20.2900						
03/20/2018	***-**-042I - HEINZ, CHERI	ULTRA CLEAN HLDGS INC	202.71	4.00	0.20	3.80	85.0	3.23
	ACCOUNT #: LSR012514	SELL 10 Units @20.2710						
<b>* STOCKS SUBTOTAL *</b>			<b>\$186,320.40</b>	<b>\$3,557.99</b>	<b>\$575.14</b>	<b>\$2,982.85</b>		<b>\$2,533.46</b>
<b>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</b>								
03/20/2018	***-**-691I - HEINZ, MARK	CORECIVIC INC COM UNSOLICITED ORDER	5,358.45	100.00	24.00	76.00	85.0	64.60
	ACCOUNT #: LSR012513	SELL 257 Units @20.8500						
03/20/2018	***-**-042I - HEINZ, CHERI	CORECIVIC INC COM UNSOLICITED ORDER	4,071.60	120.00	24.00	96.00	85.0	81.60
	ACCOUNT #: LSR012514	SELL 195 Units @20.8800						
03/20/2018	***-**-691I - HEINZ, MARK	ELLINGTON RESIDENTIAL MORTGA UNSOLI	17,062.50	300.00	24.00	276.00	85.0	234.60
	ACCOUNT #: LSR012513	SELL 1500 Units @11.3750						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS (continued)</b>								
03/20/2018	***-**-042I - HEINZ, CHERI	ELLINGTON RESIDENTIAL MORTGA UNSOLI	11,388.00	250.00	24.00	226.00	85.0	192.10
	ACCOUNT #: LSR012514	SELL 1000 Units @11.3880						
03/19/2018	***-**-447I - HEINZ-OTTE,	USD PARTNERS LP COM UT REP LTD UNO	18,079.20	334.80	24.00	310.80	85.0	264.18
	ACCOUNT #: LSR012465	SELL 1674 Units @10.8000						
03/19/2018	***-**-447I - HEINZ-OTTE,	USD PARTNERS LP COM UT REP LTD UNO	14,444.12	265.20	0.00	265.20	85.0	225.42
	ACCOUNT #: LSR012465	SELL 1326 Units @10.8930						
<b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>			<b>\$70,403.87</b>	<b>\$1,370.00</b>	<b>\$120.00</b>	<b>\$1,250.00</b>		<b>\$1,062.50</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
03/26/2018	***-**-54IO - FRIES, SHARON	FEDERATED MDT SMALL CAP CORE FUND	0.00	1.08	0.00	1.08	85.0	0.92
	ACCOUNT #: LSR012478	BUY 1 Units @0.0000						
03/26/2018	***-**-703I - RHODE, SUSAN	FEDERATED MDT SMALL CAP GROWTH	0.00	1.34	0.00	1.34	85.0	1.14
	ACCOUNT #: LSR012463	BUY 1 Units @0.0000						
03/26/2018	***-**-447I - HEINZ-OTTE,	FEDERATED MDT SMALL CAP GROWTH	0.00	2.69	0.00	2.69	85.0	2.29
	ACCOUNT #: LSR012465	BUY 1 Units @0.0000						
03/26/2018	***-**-54IO - FRIES, SHARON	FRANKLIN INCOME FUND CLASS A	0.00	0.61	0.00	0.61	85.0	0.52
	ACCOUNT #: LSR012478	BUY 1 Units @0.0000						
03/26/2018	***-**-84IO - COILE, ROBERT	FRK HIGH INC FND - CL A	0.00	1.08	0.00	1.08	85.0	0.92
	ACCOUNT #: LSR012460	BUY 1 Units @0.0000						
03/26/2018	***-**-703I - RHODE, SUSAN	FRK HIGH INC FND - CL A	0.00	0.59	0.00	0.59	85.0	0.50
	ACCOUNT #: LSR012463	BUY 1 Units @0.0000						
03/26/2018	***-**-558I - CRANFORD,	FRK HIGH INC FND - CL A	0.00	0.82	0.00	0.82	85.0	0.70
	ACCOUNT #: LSR012466	BUY 1 Units @0.0000						
03/26/2018	***-**-496I - MCCOY, SHERIANNE	FRK HIGH INC FND - CL A	0.00	1.21	0.00	1.21	85.0	1.03
	ACCOUNT #: LSR012469	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$9.42</b>	<b>\$0.00</b>	<b>\$9.42</b>		<b>\$8.02</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$415,253.33</b>	<b>\$5,507.41</b>	<b>\$875.14</b>	<b>\$4,632.27</b>		<b>\$3,913.88</b>



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<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$3,913.88
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					Balance Forward			\$0.00
					Year-to-date Earnings			\$0.00