



COMMISSION STATEMENT

August 13, 2018

JOHN KELLY

2400 E. Arizona Biltmore Cir.
Suite 1100
Phoenix, AZ 85016

BROKER #: 279

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/02/2018	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	39.00	0.78	0.00	0.78	90.0	0.70
	ACCOUNT #: 00087877714	BUY 1 Units @34.9100						
08/02/2018	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	125.00	2.50	0.00	2.50	90.0	2.25
	ACCOUNT #: 00087877714	BUY 4 Units @34.9100						
08/08/2018	***-**-835P - BARNETT, ROBERT	COLLEGE 2027 FUND-529A	100.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: 00089237420	BUY 8 Units @13.0800						
08/02/2018	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	55.25	1.11	0.00	1.11	90.0	1.00
	ACCOUNT #: 00087877714	BUY 1 Units @55.2900						
08/02/2018	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	177.08	3.54	0.00	3.54	90.0	3.19
	ACCOUNT #: 00087877714	BUY 3 Units @55.2900						
08/02/2018	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	13.00	0.26	0.00	0.26	90.0	0.23
	ACCOUNT #: 00087877714	BUY 0 Units @67.2900						
08/02/2018	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	41.67	0.83	0.00	0.83	90.0	0.75
	ACCOUNT #: 00087877714	BUY 1 Units @67.2900						
08/02/2018	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	16.25	0.33	0.00	0.33	90.0	0.30
	ACCOUNT #: 00087877714	BUY 0 Units @60.8100						
08/02/2018	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	52.08	1.04	0.00	1.04	90.0	0.94
	ACCOUNT #: 00087877714	BUY 1 Units @60.8100						
08/02/2018	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	55.25	1.11	0.00	1.11	90.0	1.00
	ACCOUNT #: 00087877714	BUY 4 Units @12.8400						
08/02/2018	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	177.08	3.54	0.00	3.54	90.0	3.19
	ACCOUNT #: 00087877714	BUY 14 Units @12.8400						
08/02/2018	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	65.00	1.30	0.00	1.30	90.0	1.17
	ACCOUNT #: 00087877714	BUY 1 Units @56.7200						
08/02/2018	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	208.35	4.17	0.00	4.17	90.0	3.75
	ACCOUNT #: 00087877714	BUY 4 Units @56.7200						
08/02/2018	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	65.00	1.30	0.00	1.30	90.0	1.17
	ACCOUNT #: 00087877714	BUY 2 Units @42.5000						
08/02/2018	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	208.33	4.17	0.00	4.17	90.0	3.75
	ACCOUNT #: 00087877714	BUY 5 Units @42.5000						
* MUTUAL FUNDS SUBTOTAL *			\$1,398.34	\$27.98	\$0.00	\$27.98		\$25.19



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
08/07/2018	***-**-8270 - KELLY, PAMELA	PACIFIC LIFE DTCC RENEWAL	416.67	8.33	0.00	8.33	90.0	7.50
	ACCOUNT #: VP60088270	BUY 0 Units @0.0000						
08/06/2018	***-**-125P - CANNADY, JAKE	PACIFIC LIFE EXEC II DTCC	800.00	15.84	0.00	15.84	90.0	14.26
	ACCOUNT #: VP61059840	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$1,216.67	\$24.17	\$0.00	\$24.17		\$21.76
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
08/13/2018	***-**-3170 - DEVELOPMENT	JOHN HANCOCK VA TRAILS	0.00	98.25	0.00	98.25	90.0	88.43
	ACCOUNT #: 23170	BUY 1 Units @0.0000						
08/13/2018	***-**-5031 - VICI PROPERTIES	JOHN HANCOCK VA TRAILS	0.00	1,553.38	0.00	1,553.38	90.0	1,398.04
	ACCOUNT #: 131264	BUY 1 Units @0.0000						
08/13/2018	***-**-0664 - FOCUS	JOHN HANCOCK VA TRAILS	0.00	818.72	0.00	818.72	90.0	736.85
	ACCOUNT #: 114317	BUY 1 Units @0.0000						
08/13/2018	***-**-2436 - RT MANAGEMENT,	QUALIFIED PLAN SUMMARY	0.00	3.82	0.00	3.82	90.0	3.44
		BUY 1 Units @0.0000						
08/13/2018	***-**-5760 - KR SALINE, &	QUALIFIED PLAN SUMMARY	0.00	3,445.79	0.00	3,445.79	90.0	3,101.21
		BUY 1 Units @0.0000						
08/13/2018	***-**-7053 - VISHWANATH MD	QUALIFIED PLAN SUMMARY	0.00	450.42	0.00	450.42	90.0	405.38
	ACCOUNT #: 87501	BUY 1 Units @0.0000						
08/13/2018	***-**-8042 - JCM AMERICAN	QUALIFIED PLAN SUMMARY	0.00	1,487.98	0.00	1,487.98	90.0	1,339.18
	ACCOUNT #: 130807	BUY 1 Units @0.0000						
08/13/2018	***-**-74JK - RSI ENTERPRISES,	QUALIFIED PLAN SUMMARY	0.00	552.02	0.00	552.02	90.0	496.82
	ACCOUNT #: 129968	BUY 1 Units @0.0000						
08/13/2018	***-**-2744 - SHREE GANESH LLC	QUALIFIED PLAN SUMMARY	0.00	41.19	0.00	41.19	90.0	37.07
	ACCOUNT #: 127802	BUY 1 Units @0.0000						
08/13/2018	***-**-2070 - TCH LAW PLC 401K	QUALIFIED PLAN SUMMARY	0.00	451.54	0.00	451.54	90.0	406.39
	ACCOUNT #: 123577	BUY 1 Units @0.0000						
08/13/2018	***-**-54QP - STAR NURSERY INC	QUALIFIED PLAN SUMMARY	0.00	1,303.32	0.00	1,303.32	90.0	1,172.99
	ACCOUNT #: 118948	BUY 1 Units @0.0000						
08/13/2018	***-**-7584 - MED-SMART,	QUALIFIED PLAN SUMMARY	0.00	1,589.25	0.00	1,589.25	90.0	1,430.33
	ACCOUNT #: 116011	BUY 1 Units @0.0000						
08/13/2018	***-**-4580 - ROSS AERIAL,	QUALIFIED PLAN SUMMARY	0.00	1,099.86	0.00	1,099.86	90.0	989.87
	ACCOUNT #: 114840	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
08/13/2018	***-**-888K - MKS GRAPHICS,	QUALIFIED PLAN SUMMARY	0.00	1,050.16	0.00	1,050.16	90.0	945.14
	ACCOUNT #: 114333	BUY 1 Units @0.0000						
08/13/2018	***-**-728Q - HORSEMEN INC	QUALIFIED PLAN SUMMARY	0.00	745.20	0.00	745.20	90.0	670.68
	ACCOUNT #: 109030	BUY 1 Units @0.0000						
08/13/2018	***-**-66QP - MVT SERVICES LLC	QUALIFIED PLAN SUMMARY	0.00	1,890.00	0.00	1,890.00	90.0	1,701.00
	ACCOUNT #: 107713	BUY 1 Units @0.0000						
08/13/2018	***-**-0215 - PRO-STEEL	QUALIFIED PLAN SUMMARY	0.00	789.89	0.00	789.89	90.0	710.90
	ACCOUNT #: 107166	BUY 2 Units @0.0000						
08/13/2018	***-**-58CL - KELLY, CLIENTS	VOYA VA TRIALS	0.00	0.58	0.00	0.58	90.0	0.52
		BUY 1 Units @0.0000						
08/13/2018	***-**-58CL - KELLY, CLIENTS	VOYA VA TRIALS	0.00	0.09	0.00	0.09	90.0	0.08
		BUY 1 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$17,371.46	\$0.00	\$17,371.46		\$15,634.32
*** BROKER TRANSACTIONS TOTAL ***			\$2,615.01	\$17,423.61	\$0.00	\$17,423.61		\$15,681.27

BROKER COMMISSION TOTALS

Broker Transactions	\$15,450.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$62.24
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$15,743.51
Year-to-date Earnings	\$244,228.55