



COMMISSION STATEMENT

April 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV INTERNATIONAL VALUE C BUY 584 Units @9.1800	5,357.00	107.14	0.00	107.14	75.0	80.36
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR SEMICONDUCTORS CL BUY 218 Units @24.6100	5,357.00	107.14	0.00	107.14	75.0	80.36
04/05/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744276	AMCAP FUND-A BUY 0 Units @33.4400	6.41	0.13	0.00	0.13	75.0	0.10
04/05/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744276	AMCAP FUND-A BUY 2 Units @33.4400	62.50	1.25	0.00	1.25	75.0	0.94
04/05/2018	***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857	AMCAP FUND-A BUY 0 Units @33.4400	6.24	0.12	0.00	0.12	75.0	0.09
04/05/2018	***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857	AMCAP FUND-A BUY 1 Units @33.4400	18.75	0.38	0.00	0.38	75.0	0.29
04/06/2018	***-**-6130 - SCHOEN, THOMAS ACCOUNT #: 00086062285	AMERICAN 2020 TARGET DATE RETIREMNT BUY 204 Units @12.2300	2,500.00	25.00	0.00	25.00	75.0	18.75
04/02/2018	***-**-0521 - CRUMRINE, MONICA ACCOUNT #: 00084226912	AMERICAN BALANCED FUND-A BUY 0 Units @27.7800	12.50	0.53	0.00	0.53	75.0	0.40
04/05/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744276	AMERICAN BALANCED FUND-A BUY 0 Units @27.5600	6.41	0.13	0.00	0.13	75.0	0.10
04/05/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744276	AMERICAN BALANCED FUND-A BUY 2 Units @27.5600	62.50	1.25	0.00	1.25	75.0	0.94
04/05/2018	***-**-5124 - DEE, ALAN ACCOUNT #: 00086069280	AMERICAN FUNDS 2020 TARGET DATE-A BUY 1 Units @12.8300	11.25	0.23	0.00	0.23	75.0	0.17
04/05/2018	***-**-5124 - DEE, ALAN ACCOUNT #: 00086069280	AMERICAN FUNDS 2020 TARGET DATE-A BUY 2 Units @12.8300	25.00	0.50	0.00	0.50	75.0	0.38
04/05/2018	***-**-4547 - NORWOOD, CLINTON ACCOUNT #: 00083594601	AMERICAN FUNDS 2025 TARGET DATE-A BUY 21 Units @14.2500	300.00	15.00	0.00	15.00	75.0	11.25
04/02/2018	***-**-6382 - ZOGBY, KAREN ACCOUNT #: 00089152479	AMERICAN FUNDS 2030 TARGET DATE-A BUY 7 Units @15.0800	-100.00	-5.00	0.00	-5.00	75.0	-3.75
04/02/2018	***-**-6382 - ZOGBY, KAREN ACCOUNT #: 00089152479	AMERICAN FUNDS 2030 TARGET DATE-A BUY 7 Units @15.0800	100.00	5.00	0.00	5.00	75.0	3.75
04/02/2018	***-**-5650 - WITTE, MICHAEL ACCOUNT #: 04000889043	AMERICAN FUNDS 2035 TARGET DATE-A BUY 419 Units @15.5200	6,500.00	325.00	0.00	325.00	75.0	243.75



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/05/2018	***-**-627J - KALWARA, TREVOR	AMERICAN FUNDS 2045 TARGET DATE-A	100.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 04000868759	BUY 6 Units @16.5100						
04/05/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	1.82	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @60.9000						
04/05/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @60.9000						
04/05/2018	***-**-2542 - OGIYEVICH,	CAPITAL INCOME BUILDER CLASS C	3.70	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @60.9000						
04/05/2018	***-**-2542 - OGIYEVICH,	CAPITAL INCOME BUILDER CLASS C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @60.9000						
04/05/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	3.17	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @60.9000						
04/05/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	35.77	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @60.9000						
04/06/2018	***-**-6130 - SCHOEN, THOMAS	CAPITAL INCOME BUILDER CLASS C	1,135.22	11.35	0.00	11.35	75.0	8.51
	ACCOUNT #: 00086062285	BUY 19 Units @60.4600						
04/05/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	1.58	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @19.8500						
04/05/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	17.88	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 00083468583	BUY 1 Units @19.8500						
04/02/2018	***-**-0521 - CRUMRINE, MONICA	CAPITAL WORLD GROWTH AND INCOME FUN	20.00	0.85	0.00	0.85	75.0	0.64
	ACCOUNT #: 00084226912	BUY 0 Units @52.8800						
04/05/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @52.6300						
04/05/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @52.6300						
04/05/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @52.6300						
04/05/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @52.6300						
04/05/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	1.82	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @50.7600						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/05/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @50.7600						
04/05/2018	***-**-2542 - OGIYEVICH,	CAPITAL WORLD GROWTH AND INCOME FUN	3.70	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @50.7600						
04/05/2018	***-**-2542 - OGIYEVICH,	CAPITAL WORLD GROWTH AND INCOME FUN	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @50.7600						
04/05/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	3.17	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @50.7600						
04/05/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	35.75	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @50.7600						
04/06/2018	***-**-6130 - SCHOEN, THOMAS	CAPITAL WORLD GROWTH AND INCOME FUN	1,250.00	12.50	0.00	12.50	75.0	9.38
	ACCOUNT #: 00086062285	BUY 25 Units @50.0900						
04/05/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV BIOTECHNOLOGY-CL A	5,357.00	107.14	0.00	107.14	75.0	80.36
	ACCOUNT #: 03900222073	BUY 208 Units @25.7300						
04/05/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV DIVIDEND GROWTH FD CL	5,357.00	107.14	0.00	107.14	75.0	80.36
	ACCOUNT #: 03900222073	BUY 302 Units @17.7100						
04/05/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV EMERGING MKTS CL A	5,357.00	107.14	0.00	107.14	75.0	80.36
	ACCOUNT #: 03900222073	BUY 172 Units @31.1200						
04/05/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV EQUITY GROWTH CL A	5,357.00	107.14	0.00	107.14	75.0	80.36
	ACCOUNT #: 03900222073	BUY 46 Units @116.4700						
04/05/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV EQUITY VALUE CL A	5,357.00	107.14	0.00	107.14	75.0	80.36
	ACCOUNT #: 03900222073	BUY 281 Units @19.0600						
04/05/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV FLOATING RATE HIGH INC	8,035.50	40.18	0.00	40.18	75.0	30.14
	ACCOUNT #: 03900222073	BUY 833 Units @9.6500						
04/05/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV FREEDOM 2015-CL A	26,785.00	535.70	0.00	535.70	75.0	401.78
	ACCOUNT #: 03900222073	BUY 2072 Units @12.9300						
04/05/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV GLOBAL EQUITY INCOME C	5,357.00	107.14	0.00	107.14	75.0	80.36
	ACCOUNT #: 03900222073	BUY 362 Units @14.8000						
04/05/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV GROWTH & INCOME CL A	13,392.50	267.85	0.00	267.85	75.0	200.89
	ACCOUNT #: 03900222073	BUY 470 Units @28.4700						
04/05/2018	***-**-0906 - WELLENSTEIN,	FIDELITY ADV GROWTH OPPORT CL A	5,357.00	107.14	0.00	107.14	75.0	80.36
	ACCOUNT #: 03900222073	BUY 78 Units @68.8200						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV HEALTH CARE-CL A BUY 116 Units @46.1500	5,357.00	107.14	0.00	107.14	75.0	80.36
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV INDUSTRIALS CL A BUY 126 Units @42.5900	5,357.00	107.14	0.00	107.14	75.0	80.36
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV INT'L CAPITAL APP CL A BUY 264 Units @20.2800	5,357.00	107.14	0.00	107.14	75.0	80.36
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV INTL SMALL CAP-CL A BUY 177 Units @30.2800	5,357.00	107.14	0.00	107.14	75.0	80.36
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV INVESTMENT GRADE BOND- BUY 3386 Units @7.9100	26,785.00	535.70	0.00	535.70	75.0	401.78
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV LEVERAGED CO STOCK CL BUY 122 Units @43.7800	5,357.02	107.14	0.00	107.14	75.0	80.36
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV MID CAP VALUE-CL A BUY 215 Units @24.9400	5,357.00	107.14	0.00	107.14	75.0	80.36
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV NEW INSIGHTS-CL A BUY 330 Units @32.4300	10,714.00	214.28	0.00	214.28	75.0	160.71
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV STCK SEL LRG CP VAL CL BUY 261 Units @20.5200	5,357.00	107.14	0.00	107.14	75.0	80.36
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV STRATEGIC INCOME CL A BUY 645 Units @12.4600	8,035.50	160.71	0.00	160.71	75.0	120.53
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV TECHNOLOGY CL A BUY 138 Units @58.3100	8,035.50	160.71	0.00	160.71	75.0	120.53
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV TOTAL BOND-CL A BUY 1256 Units @10.6600	13,392.50	267.85	0.00	267.85	75.0	200.89
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR CORP BOND CLASS A BUY 695 Units @11.5600	8,035.50	160.71	0.00	160.71	75.0	120.53
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR FREEDOM 2020 CL A BUY 950 Units @14.0900	13,392.50	267.85	0.00	267.85	75.0	200.89
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR GOVERNMENT INCOME BUY 785 Units @10.2400	8,035.50	160.71	0.00	160.71	75.0	120.53
04/06/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR GOVERNMENT INCOME BUY 782 Units @10.2700	8,035.50	160.71	0.00	160.71	75.0	120.53



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR MORTGAGE SECS CL A BUY 719 Units @11.1700	8,035.50	160.71	0.00	160.71	75.0	120.53
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADVISOR REAL ESTATE FUND C BUY 258 Units @20.7900	5,357.00	107.14	0.00	107.14	75.0	80.36
04/02/2018	***-**-1001 - FLAHERTY, KELLY ACCOUNT #: 00081241053	FUNDAMENTAL INVESTORS-A BUY 0 Units @64.0700	25.00	1.25	0.00	1.25	75.0	0.94
04/05/2018	***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857	FUNDAMENTAL INVESTORS-A BUY 0 Units @63.8100	6.23	0.12	0.00	0.12	75.0	0.09
04/05/2018	***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857	FUNDAMENTAL INVESTORS-A BUY 0 Units @63.8100	18.75	0.38	0.00	0.38	75.0	0.29
04/05/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	FUNDAMENTAL INVESTORS-C BUY 0 Units @61.8800	2.90	0.03	0.00	0.03	75.0	0.02
04/05/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	FUNDAMENTAL INVESTORS-C BUY 1 Units @61.8800	32.79	0.33	0.00	0.33	75.0	0.25
04/05/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	INCOME FUND OF AMERICA CLASS C BUY 0 Units @22.6000	2.90	0.03	0.00	0.03	75.0	0.02
04/05/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	INCOME FUND OF AMERICA CLASS C BUY 1 Units @22.6000	32.79	0.33	0.00	0.33	75.0	0.25
04/05/2018	***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857	NEW PERSPECTIVE FUND-A BUY 0 Units @45.4400	6.24	0.12	0.00	0.12	75.0	0.09
04/05/2018	***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857	NEW PERSPECTIVE FUND-A BUY 0 Units @45.4400	18.75	0.38	0.00	0.38	75.0	0.29
04/03/2018	***-**-1701 - NOFFER, THOMAS ACCOUNT #: 00083444381	NEW WORLD FUND-A BUY 14 Units @71.1300	1,000.00	42.50	0.00	42.50	75.0	31.88
04/05/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744276	NEW WORLD FUND-A BUY 0 Units @69.6600	6.41	0.13	0.00	0.13	75.0	0.10
04/05/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744276	NEW WORLD FUND-A BUY 1 Units @69.6600	62.50	1.25	0.00	1.25	75.0	0.94
04/05/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	NEW WORLD FUND-C BUY 0 Units @65.5200	2.90	0.03	0.00	0.03	75.0	0.02
04/05/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	NEW WORLD FUND-C BUY 1 Units @65.5200	32.79	0.33	0.00	0.33	75.0	0.25



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/05/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @58.2500						
04/05/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @58.2500						
04/05/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @58.2500						
04/05/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @58.2500						
04/05/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	2.90	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @49.8500						
04/05/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @49.8500						
04/02/2018	***-**-0521 - CRUMRINE, MONICA	THE BOND FUND OF AMERICA-A	5.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00084226912	BUY 0 Units @13.1300						
04/03/2018	***-**-1701 - NOFFER, THOMAS	THE BOND FUND OF AMERICA-A	1,000.00	30.00	0.00	30.00	75.0	22.50
	ACCOUNT #: 00083444381	BUY 76 Units @13.1000						
04/05/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 1 Units @12.9200						
04/05/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 2 Units @12.9200						
04/05/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	1.80	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @12.6000						
04/05/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @12.6000						
04/05/2018	***-**-2542 - OGIYEVICH,	THE BOND FUND OF AMERICA-C	3.71	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @12.6000						
04/05/2018	***-**-2542 - OGIYEVICH,	THE BOND FUND OF AMERICA-C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 1 Units @12.6000						
04/05/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	2.39	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @12.6000						
04/05/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 2 Units @12.6000						



COMMISSION STATEMENT

April 16, 2018

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BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/02/2018	***-**-0521 - CRUMRINE, MONICA	THE GROWTH FUND OF AMERICA-A	12.50	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 00084226912	BUY 0 Units @52.4500						
04/05/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @52.5300						
04/05/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @52.5300						
04/05/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @52.5300						
04/05/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @52.5300						
04/05/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	1.82	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @47.4100						
04/05/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @47.4100						
04/05/2018	***-**-2542 - OGIYEVICH,	THE GROWTH FUND OF AMERICA-C	3.70	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @47.4100						
04/05/2018	***-**-2542 - OGIYEVICH,	THE GROWTH FUND OF AMERICA-C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @47.4100						
04/05/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	2.38	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @47.4100						
04/05/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 1 Units @47.4100						
04/05/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	7.38	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @23.4800						
04/05/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @23.4800						
04/05/2018	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @23.4800						
04/05/2018	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 3 Units @23.4800						
04/05/2018	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	2.11	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @13.3700						



COMMISSION STATEMENT

April 16, 2018

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BRANCH #01001 - LifeMark Home Office

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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
04/05/2018	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	23.85	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 00083468583	BUY 2 Units @13.3700						
04/05/2018	***-**-9027 - NELSON,	WASHINGTON MUTUAL INVESTORS FUND-A	6.48	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @46.4500						
04/05/2018	***-**-9027 - NELSON,	WASHINGTON MUTUAL INVESTORS FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @46.4500						
* MUTUAL FUNDS SUBTOTAL *			\$266,903.15	\$5,405.86	\$0.00	\$5,405.86		\$4,054.61
<u>PRODUCT CATEGORY: STOCKS</u>								
04/06/2018	***-**-98IO - BRENNAN, ROBIN	POWERSHARES EXCHANGE TRADED FD TR R	4,645.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000219	BUY 100 Units @46.4500						
04/06/2018	***-**-98IO - BRENNAN, ROBIN	VANGUARD SECTOR INDEX FDS VANGUARD	7,481.50	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000219	BUY 50 Units @149.6300						
04/06/2018	***-**-80IO - MAMMONE, JOANN	VANGUARD SECTOR INDEX FDS VANGUARD	7,474.05	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000223	BUY 50 Units @149.4810						
* STOCKS SUBTOTAL *			\$19,600.55	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
04/10/2018	***-**-75FB - BRIGGS, LYNDIA	JEFFERSON NATIONAL RIA FEE	0.00	197.65	0.00	197.65	75.0	148.24
		BUY 1 Units @0.0000						
04/10/2018	***-**-58IO - SPINELLA, CAROL	NFS MANAGED FEES	0.00	722.57	0.00	722.57	75.0	541.93
		BUY 1 Units @414030.500						
04/10/2018	***-**-10IO - PARKOLA, KATHRYN	NFS MANAGED FEES	0.00	324.86	0.00	324.86	75.0	243.65
		BUY 1 Units @130299.080						
04/10/2018	***-**-75IO - BRIGGS, LYNDIA	NFS MANAGED FEES	0.00	265.23	0.00	265.23	75.0	198.92
		BUY 1 Units @141846.080						
04/10/2018	***-**-190X - WALTER S	NFS MANAGED FEES	0.00	365.04	0.00	365.04	75.0	273.78
		BUY 1 Units @195222.430						
04/10/2018	***-**-90IO - BABROWICZ,	NFS MANAGED FEES	0.00	151.45	0.00	151.45	75.0	113.59
		BUY 1 Units @80994.0000						
04/10/2018	***-**-90IO - BABROWICZ,	NFS MANAGED FEES	0.00	48.28	0.00	48.28	75.0	36.21
		BUY 1 Units @25822.9600						



COMMISSION STATEMENT

April 16, 2018

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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
04/10/2018	***-**-90IO - BABROWICZ,	NFS MANAGED FEES	0.00	39.80	0.00	39.80	75.0	29.85
		BUY 1 Units @21285.1700						
04/10/2018	***-**-87IO - WIGGINS, DONNA	NFS MANAGED FEES	0.00	1,767.81	0.00	1,767.81	75.0	1,325.86
		BUY 1 Units @1012952.86						
04/10/2018	***-**-36TR - HANRETTY,	NFS MANAGED FEES	0.00	367.84	0.00	367.84	75.0	275.88
		BUY 1 Units @184427.060						
04/10/2018	***-**-56IO - SZYMANSKI,	NFS MANAGED FEES	0.00	363.86	0.00	363.86	75.0	272.90
		BUY 1 Units @182431.050						
04/10/2018	***-**-85FB - SCHUMACI, FRANK	NFS MANAGED FEES	0.00	260.15	0.00	260.15	75.0	195.11
		BUY 1 Units @200665.770						
04/10/2018	***-**-98IO - BRENNAN, ROBIN	NFS MANAGED FEES	0.00	862.76	0.00	862.76	75.0	647.07
		BUY 1 Units @576756.280						
04/10/2018	***-**-80IO - MAMMONE, JOANN	NFS MANAGED FEES	0.00	812.37	0.00	812.37	75.0	609.28
		BUY 1 Units @543067.600						
04/10/2018	***-**-80IO - MAMMONE, JOANN	NFS MANAGED FEES	0.00	67.62	0.00	67.62	75.0	50.72
		BUY 1 Units @45206.1100						
04/10/2018	***-**-58IO - SPINELLA, CAROL	NFS MANAGED FEES-DID NOT DEBIT	0.00	0.00	0.00	0.00	75.0	0.00
		BUY 1 Units @0.0000						
04/10/2018	***-**-190X - WALTER S	NFS MANAGED FEES-DID NOT DEBIT	0.00	0.00	0.00	0.00	75.0	0.00
		BUY 1 Units @0.0000						
* RIA SUBTOTAL *			\$0.00	\$6,617.29	\$0.00	\$6,617.29		\$4,962.99
<u>PRODUCT CATEGORY: 529 PLAN</u>								
04/11/2018	***-**-6072 - LASKOWSKY, ANITA JOHN HANCOCK 529 TRAILS		0.00	9.27	0.00	9.27	75.0	6.95
	ACCOUNT #: 52730385	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$0.00	\$9.27	\$0.00	\$9.27		\$6.95
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/16/2018	***-**-491I - JONES, CECILE	AIM GLOBAL AGGRESSIVE GROWTH FUND C	0.00	1.98	0.00	1.98	75.0	1.49
	ACCOUNT #: LSR012023	BUY 1 Units @0.0000						
04/09/2018	***-**-1475 - PIERCE, RICHARD	ALLIANCE BERNSTEIN GLOBAL TECHNOLOG	0.00	4.63	0.00	4.63	75.0	3.47
	ACCOUNT #: 04700260399	BUY 0 Units @0.0000						



COMMISSION STATEMENT

April 16, 2018

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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/09/2018	***-**-7515 - EARL, CAROL	ALLIANCE BERNSTEIN GLOBAL TECHNOLOG	0.00	2.67	0.00	2.67	75.0	2.00
	ACCOUNT #: 04703677649	BUY 0 Units @0.0000						
04/09/2018	***-**-0461 - MCGUINNES,	ALLIANCE BERNSTEIN GLOBAL TECHNOLOG	0.00	2.05	0.00	2.05	75.0	1.54
	ACCOUNT #: 04725946836	BUY 0 Units @0.0000						
04/09/2018	***-**-0461 - MCGUINNES,	ALLIANCE BERNSTEIN INTERNATIONAL GR	0.00	0.84	0.00	0.84	75.0	0.63
	ACCOUNT #: 04725946836	BUY 0 Units @0.0000						
04/09/2018	***-**-0461 - MCGUINNES,	ALLIANCEBERNSTEIN GROWTH A	0.00	1.87	0.00	1.87	75.0	1.40
	ACCOUNT #: 04725946836	BUY 0 Units @0.0000						
04/09/2018	***-**-7515 - EARL, CAROL	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	10.01	0.00	10.01	75.0	7.51
	ACCOUNT #: 04703677649	BUY 0 Units @0.0000						
04/09/2018	***-**-491I - JONES, CECILE	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	1.47	0.00	1.47	75.0	1.10
	ACCOUNT #: 04711057964	BUY 0 Units @0.0000						
04/09/2018	***-**-1475 - PIERCE, RICHARD	ALLIANCEBERNSTEIN INTL VALUE A	0.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 04100027301	BUY 0 Units @0.0000						
04/09/2018	***-**-1475 - PIERCE, RICHARD	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	0.00	1.73	0.00	1.73	75.0	1.30
	ACCOUNT #: 04700260399	BUY 0 Units @0.0000						
04/09/2018	***-**-1475 - PIERCE, RICHARD	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	0.00	3.93	0.00	3.93	75.0	2.95
	ACCOUNT #: 04700356695	BUY 0 Units @0.0000						
04/09/2018	***-**-7515 - EARL, CAROL	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	0.00	7.66	0.00	7.66	75.0	5.75
	ACCOUNT #: 04703677649	BUY 0 Units @0.0000						
04/09/2018	***-**-0461 - MCGUINNES,	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	0.00	2.15	0.00	2.15	75.0	1.61
	ACCOUNT #: 04725946836	BUY 0 Units @0.0000						
04/09/2018	***-**-0461 - MCGUINNES,	ALLIANCEBERNSTEIN SMALL CAP GROWTH	0.00	1.75	0.00	1.75	75.0	1.31
	ACCOUNT #: 04725946836	BUY 0 Units @0.0000						
04/16/2018	***-**-949I - ZAGER, YVONNE	ALLIANZ OCC RENAISSANCE FUND CLASS	0.00	4.38	0.00	4.38	75.0	3.29
	ACCOUNT #: LSR012050	BUY 1 Units @0.0000						
03/31/2018	***-**-0357 - ALLEN, PAMELA	CAPITAL APPRECIATION A	0.00	12.32	0.00	12.32	75.0	9.24
	ACCOUNT #: 02706293518	BUY 0 Units @0.0000						
03/31/2018	***-**-8084 - YANGINSKI,	CAPITAL APPRECIATION A	0.00	3.39	0.00	3.39	75.0	2.54
	ACCOUNT #: 03208816148	BUY 0 Units @0.0000						
03/31/2018	***-**-7437 - FERRONE, KAREN	CAPITAL APPRECIATION A	0.00	2.78	0.00	2.78	75.0	2.09
	ACCOUNT #: 08850976327	BUY 0 Units @0.0000						



COMMISSION STATEMENT

April 16, 2018

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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-3503 - SPINELLA, ACCOUNT #: 03220595958	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	59.64	0.00	59.64	75.0	44.73
03/31/2018	***-**-3335 - SPENCER, DAVID ACCOUNT #: 03220677006	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	22.44	0.00	22.44	75.0	16.83
03/31/2018	***-**-6924 - LITTLER, BRUCE ACCOUNT #: 03220835263	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	2.50	0.00	2.50	75.0	1.88
03/31/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 03221003563	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	22.89	0.00	22.89	75.0	17.17
03/31/2018	***-**-9311 - YOZZO, JOSEPH ACCOUNT #: 08870120626	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	5.15	0.00	5.15	75.0	3.86
03/31/2018	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 03430002783	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	43.29	0.00	43.29	75.0	32.47
03/31/2018	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 03450598378	CAPITAL INCOME A BUY 0 Units @0.0000	0.00	10.50	0.00	10.50	75.0	7.88
03/31/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 07621392897	CASH RESERVES C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	75.0	0.00
03/31/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 07621455190	CASH RESERVES C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	75.0	0.00
03/31/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 02870149193	CORE BOND FND C BUY 0 Units @0.0000	0.00	7.19	0.00	7.19	75.0	5.39
03/31/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 02870496166	CORE BOND FND C BUY 0 Units @0.0000	0.00	3.57	0.00	3.57	75.0	2.68
03/31/2018	***-**-3503 - SPINELLA, ACCOUNT #: 05370001133	CORE BOND FND C BUY 0 Units @0.0000	0.00	23.07	0.00	23.07	75.0	17.30
03/31/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 02870271007	CORE BOND FND C BUY 0 Units @0.0000	0.00	2.09	0.00	2.09	75.0	1.57
03/31/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 02870602360	CORE BOND FND C BUY 0 Units @0.0000	0.00	2.97	0.00	2.97	75.0	2.23
03/31/2018	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 05370001144	CORE BOND FND C BUY 0 Units @0.0000	0.00	17.23	0.00	17.23	75.0	12.92
03/31/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 07470379137	DISCOVERY FUND C BUY 0 Units @0.0000	0.00	12.00	0.00	12.00	75.0	9.00



COMMISSION STATEMENT

April 16, 2018

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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-3503 - SPINELLA, ACCOUNT #: 07470380193	DISCOVERY FUND C BUY 0 Units @0.0000	0.00	53.27	0.00	53.27	75.0	39.95
03/31/2018	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 02401590974	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	8.85	0.00	8.85	75.0	6.64
03/31/2018	***-**-8166 - SUYDAM, JERRY ACCOUNT #: 08370430485	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	0.00	4.33	0.00	4.33	75.0	3.25
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FA SHORT TERM BOND A BUY 629 Units @8.5200	5,357.00	26.79	0.00	26.79	75.0	20.09
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV CHINA REGION CL A BUY 143 Units @37.4400	5,357.00	107.14	0.00	107.14	75.0	80.36
04/05/2018	***-**-0906 - WELLENSTEIN, ACCOUNT #: 03900222073	FIDELITY ADV EUROPE FUND CLASS A BUY 126 Units @42.5800	5,357.00	107.14	0.00	107.14	75.0	80.36
03/31/2018	***-**-7437 - FERRONE, KAREN ACCOUNT #: 03300536461	GLOBAL FUND A BUY 0 Units @0.0000	0.00	2.84	0.00	2.84	75.0	2.13
03/31/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 03304237664	GLOBAL FUND A BUY 0 Units @0.0000	0.00	8.11	0.00	8.11	75.0	6.08
03/31/2018	***-**-8084 - YANGINSKI, ACCOUNT #: 03306186435	GLOBAL FUND A BUY 0 Units @0.0000	0.00	3.01	0.00	3.01	75.0	2.26
03/31/2018	***-**-3203 - COMPION, ELLEN ACCOUNT #: 03307715985	GLOBAL FUND A BUY 0 Units @0.0000	0.00	0.68	0.00	0.68	75.0	0.51
03/31/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 03309630555	GLOBAL FUND A BUY 0 Units @0.0000	0.00	3.60	0.00	3.60	75.0	2.70
03/31/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 03314089679	GLOBAL FUND B BUY 0 Units @0.0000	0.00	0.15	0.00	0.15	75.0	0.11
03/31/2018	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 02154347618	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	0.00	11.15	0.00	11.15	75.0	8.36
04/01/2018	***-**-3720 - MARCOCCIA, ACCOUNT #: 05023660284	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	8.35	0.00	8.35	75.0	6.26
04/16/2018	***-**-4911 - JONES, CECILE ACCOUNT #: LSR012023	INVESCO STRUCTURED CORE FUND -CLASS BUY 1 Units @0.0000	0.00	1.80	0.00	1.80	75.0	1.35
04/01/2018	***-**-3720 - MARCOCCIA, ACCOUNT #: 05023660284	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 0 Units @0.0000	0.00	1.64	0.00	1.64	75.0	1.23



COMMISSION STATEMENT

April 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
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BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/01/2018	***-**-3720 - MARCOCCIA, ACCOUNT #: 05023660284	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	1.23	0.00	1.23	75.0	0.92
03/31/2018	***-**-8084 - YANGINSKI, ACCOUNT #: 08552948099	LIMITED TERM GOVERNMENT A BUY 0 Units @0.0000	0.00	1.16	0.00	1.16	75.0	0.87
03/31/2018	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 07001196605	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	10.71	0.00	10.71	75.0	8.03
03/31/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 07002590602	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	5.93	0.00	5.93	75.0	4.45
03/31/2018	***-**-8084 - YANGINSKI, ACCOUNT #: 07002853271	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	3.74	0.00	3.74	75.0	2.81
03/31/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 07006458160	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	7.90	0.00	7.90	75.0	5.93
03/31/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 07012776515	MAIN STREET FUND B BUY 0 Units @0.0000	0.00	0.13	0.00	0.13	75.0	0.10
03/31/2018	***-**-9311 - YOZZO, JOSEPH ACCOUNT #: 07022553287	MAIN STREET FUND C BUY 0 Units @0.0000	0.00	3.30	0.00	3.30	75.0	2.48
03/31/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 07022553320	MAIN STREET FUND C BUY 0 Units @0.0000	0.00	13.97	0.00	13.97	75.0	10.48
03/31/2018	***-**-3503 - SPINELLA, ACCOUNT #: 07022555509	MAIN STREET FUND C BUY 0 Units @0.0000	0.00	83.24	0.00	83.24	75.0	62.43
03/31/2018	***-**-3335 - SPENCER, DAVID ACCOUNT #: 07022642860	MAIN STREET FUND C BUY 0 Units @0.0000	0.00	25.27	0.00	25.27	75.0	18.95
03/31/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 07024172894	MAIN STREET FUND C BUY 0 Units @0.0000	0.00	15.51	0.00	15.51	75.0	11.63
03/31/2018	***-**-8166 - SUYDAM, JERRY ACCOUNT #: 07024172916	MAIN STREET FUND C BUY 0 Units @0.0000	0.00	3.82	0.00	3.82	75.0	2.87
03/31/2018	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 07022555531	MAIN STREET FUND C BUY 0 Units @0.0000	0.00	74.05	0.00	74.05	75.0	55.54
03/31/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 07320231803	MAIN STREET OPPORTUNITY FUND B BUY 0 Units @0.0000	0.00	0.23	0.00	0.23	75.0	0.17
04/01/2018	***-**-6347 - CRUMB, MICHAEL ACCOUNT #: 00060411762	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	17.04	0.00	17.04	75.0	12.78



COMMISSION STATEMENT

April 16, 2018

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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/01/2018	***-**-0337 - CAMPION, CAROLYN	MFS BOND FUND-A	0.00	13.32	0.00	13.32	75.0	9.99
	ACCOUNT #: 08191177696	BUY 0 Units @0.0000						
04/01/2018	***-**-6347 - CRUMB, MICHAEL	MFS CORE EQUITY FUND-A	0.00	6.71	0.00	6.71	75.0	5.03
	ACCOUNT #: 00060418250	BUY 0 Units @0.0000						
04/01/2018	***-**-0337 - CAMPION, CAROLYN	MFS DIVERSIFIED INCOME FD CL A	0.00	15.83	0.00	15.83	75.0	11.87
	ACCOUNT #: 08191177696	BUY 0 Units @0.0000						
04/01/2018	***-**-6347 - CRUMB, MICHAEL	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	13.32	0.00	13.32	75.0	9.99
	ACCOUNT #: 00060415894	BUY 0 Units @0.0000						
04/01/2018	***-**-0337 - CAMPION, CAROLYN	MFS LIFETIME INCOME CLASS A	0.00	81.16	0.00	81.16	75.0	60.87
	ACCOUNT #: 08191177696	BUY 0 Units @0.0000						
04/01/2018	***-**-0337 - CAMPION, CAROLYN	MFS LIMITED MATURITY FUND-A	0.00	6.23	0.00	6.23	75.0	4.67
	ACCOUNT #: 08191177696	BUY 0 Units @0.0000						
04/01/2018	***-**-6347 - CRUMB, MICHAEL	MFS MID CAP VALUE FUND-A	0.00	6.81	0.00	6.81	75.0	5.11
	ACCOUNT #: 00060418319	BUY 0 Units @0.0000						
04/01/2018	***-**-6347 - CRUMB, MICHAEL	MFS RESEARCH BOND FUND CLASS A	0.00	6.93	0.00	6.93	75.0	5.20
	ACCOUNT #: 00060416046	BUY 0 Units @0.0000						
04/01/2018	***-**-6347 - CRUMB, MICHAEL	MFS RESEARCH INTERNATIONAL FUND-A	0.00	11.18	0.00	11.18	75.0	8.39
	ACCOUNT #: 00060418160	BUY 0 Units @0.0000						
04/01/2018	***-**-6347 - CRUMB, MICHAEL	MFS TOTAL RETURN FUND-A	0.00	35.63	0.00	35.63	75.0	26.72
	ACCOUNT #: 00060414487	BUY 0 Units @0.0000						
04/01/2018	***-**-0337 - CAMPION, CAROLYN	MFS UTILITIES FUND-A	0.00	17.74	0.00	17.74	75.0	13.31
	ACCOUNT #: 08191177696	BUY 0 Units @0.0000						
04/01/2018	***-**-6347 - CRUMB, MICHAEL	MFS VALUE FUND-A	0.00	14.67	0.00	14.67	75.0	11.00
	ACCOUNT #: 00060417743	BUY 0 Units @0.0000						
03/31/2018	***-**-0357 - ALLEN, PAMELA	OPP ROCHSTR SH TRM MUNI FND A	0.00	38.25	0.00	38.25	75.0	28.69
	ACCOUNT #: 06210038368	BUY 0 Units @0.0000						
03/31/2018	***-**-7437 - FERRONE, KAREN	OPPENHEIMER CORE BOND FUND A	0.00	1.36	0.00	1.36	75.0	1.02
	ACCOUNT #: 02850911702	BUY 0 Units @0.0000						
03/31/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER CORE BOND FUND A	0.00	2.10	0.00	2.10	75.0	1.58
	ACCOUNT #: 02851530067	BUY 0 Units @0.0000						
03/31/2018	***-**-8084 - YANGINSKI,	OPPENHEIMER CORE BOND FUND A	0.00	0.59	0.00	0.59	75.0	0.44
	ACCOUNT #: 02851937375	BUY 0 Units @0.0000						



COMMISSION STATEMENT

April 16, 2018

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BRANCH #01001 - LifeMark Home Office

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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER CORE BOND FUND A	0.00	2.62	0.00	2.62	75.0	1.97
	ACCOUNT #: 02852069034	BUY 0 Units @0.0000						
03/31/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER CORE BOND FUND A	0.00	0.51	0.00	0.51	75.0	0.38
	ACCOUNT #: 02852429284	BUY 0 Units @0.0000						
03/31/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER CORE BOND FUND B	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: 02860285274	BUY 0 Units @0.0000						
03/31/2018	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	17.22	0.00	17.22	75.0	12.92
	ACCOUNT #: 07860681693	BUY 0 Units @0.0000						
03/31/2018	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER DISCOVERY CLASS A	0.00	15.61	0.00	15.61	75.0	11.71
	ACCOUNT #: 05004466528	BUY 0 Units @0.0000						
03/31/2018	***-**-3569 - YOZZO, ROSA	OPPENHEIMER GLOBAL CLASS C	0.00	15.57	0.00	15.57	75.0	11.68
	ACCOUNT #: 03320553916	BUY 0 Units @0.0000						
03/31/2018	***-**-3503 - SPINELLA,	OPPENHEIMER GLOBAL CLASS C	0.00	64.52	0.00	64.52	75.0	48.39
	ACCOUNT #: 03320555797	BUY 0 Units @0.0000						
03/31/2018	***-**-6924 - LITTLER, BRUCE	OPPENHEIMER GLOBAL CLASS C	0.00	1.87	0.00	1.87	75.0	1.40
	ACCOUNT #: 03320759759	BUY 0 Units @0.0000						
03/31/2018	***-**-9311 - YOZZO, JOSEPH	OPPENHEIMER GLOBAL CLASS C	0.00	9.53	0.00	9.53	75.0	7.15
	ACCOUNT #: 03321360260	BUY 0 Units @0.0000						
03/31/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER GLOBAL CLASS C	0.00	16.18	0.00	16.18	75.0	12.14
	ACCOUNT #: 03322626976	BUY 0 Units @0.0000						
03/31/2018	***-**-9443 - SPINELLA, JOHN	OPPENHEIMER GLOBAL CLASS C	0.00	105.60	0.00	105.60	75.0	79.20
	ACCOUNT #: 03320555830	BUY 0 Units @0.0000						
03/31/2018	***-**-3503 - SPINELLA,	OPPENHEIMER INT'L BOND CLASS C	0.00	19.44	0.00	19.44	75.0	14.58
	ACCOUNT #: 08820644567	BUY 0 Units @0.0000						
03/31/2018	***-**-8084 - YANGINSKI,	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	3.74	0.00	3.74	75.0	2.81
	ACCOUNT #: 01952306227	BUY 0 Units @0.0000						
03/31/2018	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	4.61	0.00	4.61	75.0	3.46
	ACCOUNT #: 01952779766	BUY 0 Units @0.0000						
03/31/2018	***-**-3569 - YOZZO, ROSA	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	3.05	0.00	3.05	75.0	2.29
	ACCOUNT #: 01970270413	BUY 0 Units @0.0000						
03/31/2018	***-**-3503 - SPINELLA,	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	17.34	0.00	17.34	75.0	13.01
	ACCOUNT #: 01970376552	BUY 0 Units @0.0000						



COMMISSION STATEMENT

April 16, 2018

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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 01970011044	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	14.33	0.00	14.33	75.0	10.75
03/31/2018	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 01970376563	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	3.97	0.00	3.97	75.0	2.98
03/31/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 01971060983	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	16.41	0.00	16.41	75.0	12.31
03/31/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 07314176381	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	5.65	0.00	5.65	75.0	4.24
03/31/2018	***-**-3503 - SPINELLA, ACCOUNT #: 07330263890	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	57.28	0.00	57.28	75.0	42.96
03/31/2018	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 07331164691	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	19.42	0.00	19.42	75.0	14.57
03/31/2018	***-**-8166 - SUYDAM, JERRY ACCOUNT #: 07331164724	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	3.69	0.00	3.69	75.0	2.77
03/31/2018	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 07330263912	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	49.80	0.00	49.80	75.0	37.35
03/31/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 08490783794	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	5.89	0.00	5.89	75.0	4.42
03/31/2018	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 08490783816	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	8.62	0.00	8.62	75.0	6.47
03/31/2018	***-**-3503 - SPINELLA, ACCOUNT #: 02270728079	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	0.00	13.38	0.00	13.38	75.0	10.04
03/31/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 02271217425	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	0.00	24.69	0.00	24.69	75.0	18.52
03/31/2018	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 05620002497	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	0.00	24.88	0.00	24.88	75.0	18.66
03/31/2018	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 02913858756	OPPENHEIMER SENIOR FLOATING RATE CL BUY 0 Units @0.0000	0.00	25.02	0.00	25.02	75.0	18.77
03/31/2018	***-**-7437 - FERRONE, KAREN ACCOUNT #: 02512098437	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	2.64	0.00	2.64	75.0	1.98
03/31/2018	***-**-8084 - YANGINSKI, ACCOUNT #: 02514169231	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	2.28	0.00	2.28	75.0	1.71



COMMISSION STATEMENT

April 16, 2018

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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	5.68	0.00	5.68	75.0	4.26
	ACCOUNT #: 02514813402	BUY 0 Units @0.0000						
03/31/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	0.60	0.00	0.60	75.0	0.45
	ACCOUNT #: 02514937295	BUY 0 Units @0.0000						
03/31/2018	***-**-6663 - ZOGBY, KAMIL	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	8.19	0.00	8.19	75.0	6.14
	ACCOUNT #: 02510909909	BUY 0 Units @0.0000						
03/31/2018	***-**-2562 - SPENCER, IRENE	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	42.92	0.00	42.92	75.0	32.19
	ACCOUNT #: 02303109887	BUY 0 Units @0.0000						
03/31/2018	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER U.S. GOVT TR CLASS A	0.00	0.57	0.00	0.57	75.0	0.43
	ACCOUNT #: 02203270157	BUY 0 Units @0.0000						
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM 746792100	0.00	14.75	0.00	14.75	75.0	11.06
	ACCOUNT #: 00495063903	BUY 0 Units @0.0000						
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM CAP. OPPORTUNITIES-A	0.00	3.36	0.00	3.36	75.0	2.52
	ACCOUNT #: 00550842150	BUY 0 Units @0.0000						
04/02/2018	***-**-7778 - SKARADEK, ERIN	PUTNAM CAP. OPPORTUNITIES-A	0.00	6.90	0.00	6.90	75.0	5.18
	ACCOUNT #: 00551308411	BUY 0 Units @0.0000						
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM DIVERSIFIED INCOME FUND CLAS	0.00	7.86	0.00	7.86	75.0	5.90
	ACCOUNT #: 00496339326	BUY 0 Units @0.0000						
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM DIVERSIFIED INCOME FUND CLAS	0.00	3.52	0.00	3.52	75.0	2.64
	ACCOUNT #: 00550842150	BUY 0 Units @0.0000						
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM EQUITY INCOME FUND-A	0.00	13.21	0.00	13.21	75.0	9.91
	ACCOUNT #: 00496339326	BUY 0 Units @0.0000						
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM EQUITY INCOME FUND-A	0.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 00550842150	BUY 0 Units @0.0000						
04/02/2018	***-**-627J - KALWARA, TREVOR	PUTNAM EQUITY INCOME FUND-A	0.00	4.43	0.00	4.43	75.0	3.32
	ACCOUNT #: 00551376520	BUY 0 Units @0.0000						
04/02/2018	***-**-1162 - KALWARA, JOHN	PUTNAM EQUITY INCOME FUND-A	0.00	2.53	0.00	2.53	75.0	1.90
	ACCOUNT #: 00551376528	BUY 0 Units @0.0000						
04/02/2018	***-**-7778 - SKARADEK, ERIN	PUTNAM EQUITY INCOME FUND-A	0.00	6.91	0.00	6.91	75.0	5.18
	ACCOUNT #: 00551308411	BUY 0 Units @0.0000						
04/02/2018	***-**-1162 - KALWARA, JOHN	PUTNAM GLOBAL EQUITY FUND CLASS A	0.00	1.44	0.00	1.44	75.0	1.08
	ACCOUNT #: 00551376528	BUY 0 Units @0.0000						



COMMISSION STATEMENT

April 16, 2018

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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/02/2018	***-**-1162 - KALWARA, JOHN	PUTNAM GLOBAL HEALTH CARE FD-A	0.00	1.42	0.00	1.42	75.0	1.07
	ACCOUNT #: 00551376528	BUY 0 Units @0.0000						
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	6.31	0.00	6.31	75.0	4.73
	ACCOUNT #: 00496339326	BUY 0 Units @0.0000						
04/02/2018	***-**-7778 - SKARADEK, ERIN	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	3.48	0.00	3.48	75.0	2.61
	ACCOUNT #: 00551308407	BUY 0 Units @0.0000						
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM INTL CAPITAL OPPS-A	0.00	1.84	0.00	1.84	75.0	1.38
	ACCOUNT #: 00550842150	BUY 0 Units @0.0000						
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM INTL GROWTH & INC-A	0.00	3.02	0.00	3.02	75.0	2.27
	ACCOUNT #: 00550842150	BUY 0 Units @0.0000						
04/02/2018	***-**-7778 - SKARADEK, ERIN	PUTNAM INTL GROWTH & INC-A	0.00	3.32	0.00	3.32	75.0	2.49
	ACCOUNT #: 00551308411	BUY 0 Units @0.0000						
04/02/2018	***-**-1162 - KALWARA, JOHN	PUTNAM INTL NEW OPPORT-A	0.00	1.20	0.00	1.20	75.0	0.90
	ACCOUNT #: 00551376528	BUY 0 Units @0.0000						
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM INVESTORS FUND-A	0.00	19.92	0.00	19.92	75.0	14.94
	ACCOUNT #: 00495063903	BUY 0 Units @0.0000						
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM INVESTORS FUND-A	0.00	5.35	0.00	5.35	75.0	4.01
	ACCOUNT #: 00550842150	BUY 0 Units @0.0000						
04/02/2018	***-**-1162 - KALWARA, JOHN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	1.92	0.00	1.92	75.0	1.44
	ACCOUNT #: 00551376528	BUY 0 Units @0.0000						
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM NEW YORK TAX- EXEMPT INCOME	0.00	12.11	0.00	12.11	75.0	9.08
	ACCOUNT #: 00461230208	BUY 0 Units @0.0000						
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM NEW YORK TAX- EXEMPT INCOME	0.00	0.66	0.00	0.66	75.0	0.50
	ACCOUNT #: 00461230208	BUY 0 Units @0.0000						
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM NEW YORK TAX- EXEMPT INCOME	0.00	11.03	0.00	11.03	75.0	8.27
	ACCOUNT #: 00495063903	BUY 0 Units @0.0000						
04/02/2018	***-**-627J - KALWARA, TREVOR	PUTNAM NEW YORK TAX- EXEMPT INCOME	0.00	3.99	0.00	3.99	75.0	2.99
	ACCOUNT #: 00551376520	BUY 0 Units @0.0000						
04/02/2018	***-**-1162 - KALWARA, JOHN	PUTNAM NEW YORK TAX- EXEMPT INCOME	0.00	0.34	0.00	0.34	75.0	0.26
	ACCOUNT #: 00551376528	BUY 0 Units @0.0000						
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM RESEARCH FUND-A	0.00	31.16	0.00	31.16	75.0	23.37
	ACCOUNT #: 00550842150	BUY 0 Units @0.0000						



COMMISSION STATEMENT

April 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM SMALL CAP VALUE FUND-A	0.00	7.85	0.00	7.85	75.0	5.89
	ACCOUNT #: 00496339326	BUY 0 Units @0.0000						
04/02/2018	***-**-7122 - KALWARA, JOHN	PUTNAM U.S. GOVT INCOME TR-A	0.00	9.14	0.00	9.14	75.0	6.86
	ACCOUNT #: 00551047935	BUY 0 Units @0.0000						
04/02/2018	***-**-1162 - KALWARA, JOHN	PUTNAM U.S. GOVT INCOME TR-A	0.00	0.62	0.00	0.62	75.0	0.47
	ACCOUNT #: 00551376528	BUY 0 Units @0.0000						
03/31/2018	***-**-1837 - WAMPFLER-SUYDAM,	QUEST BALANCED A	0.00	5.61	0.00	5.61	75.0	4.21
	ACCOUNT #: 02575870139	BUY 0 Units @0.0000						
03/31/2018	***-**-8084 - YANGINSKI,	QUEST BALANCED A	0.00	1.69	0.00	1.69	75.0	1.27
	ACCOUNT #: 02576135404	BUY 0 Units @0.0000						
03/31/2018	***-**-1837 - WAMPFLER-SUYDAM,	QUEST BALANCED B	0.00	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 02582859626	BUY 0 Units @0.0000						
03/31/2018	***-**-3569 - YOZZO, ROSA	QUEST BALANCED FUND C	0.00	8.25	0.00	8.25	75.0	6.19
	ACCOUNT #: 02590391138	BUY 0 Units @0.0000						
03/31/2018	***-**-1837 - WAMPFLER-SUYDAM,	QUEST BALANCED FUND C	0.00	12.99	0.00	12.99	75.0	9.74
	ACCOUNT #: 02592264273	BUY 0 Units @0.0000						
03/31/2018	***-**-8166 - SUYDAM, JERRY	QUEST BALANCED FUND C	0.00	3.56	0.00	3.56	75.0	2.67
	ACCOUNT #: 02592264306	BUY 0 Units @0.0000						
03/31/2018	***-**-9311 - YOZZO, JOSEPH	QUEST OPPORTUNITY VALUE FUND C	0.00	5.88	0.00	5.88	75.0	4.41
	ACCOUNT #: 02380607024	BUY 0 Units @0.0000						
03/31/2018	***-**-9443 - SPINELLA, JOHN	QUEST OPPORTUNITY VALUE FUND C	0.00	9.05	0.00	9.05	75.0	6.79
	ACCOUNT #: 02380837243	BUY 0 Units @0.0000						
03/31/2018	***-**-0357 - ALLEN, PAMELA	REAL ESTATE FUND A	0.00	1.46	0.00	1.46	75.0	1.10
	ACCOUNT #: 05900887128	BUY 0 Units @0.0000						
03/31/2018	***-**-8084 - YANGINSKI,	REAL ESTATE FUND A	0.00	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 05901010823	BUY 0 Units @0.0000						
03/31/2018	***-**-3569 - YOZZO, ROSA	REAL ESTATE FUND C	0.00	1.12	0.00	1.12	75.0	0.84
	ACCOUNT #: 05920131582	BUY 0 Units @0.0000						
03/31/2018	***-**-0357 - ALLEN, PAMELA	RISING DIVIDENDS FUND A	0.00	10.21	0.00	10.21	75.0	7.66
	ACCOUNT #: 02254343295	BUY 0 Units @0.0000						
03/31/2018	***-**-3503 - SPINELLA,	SELECT VALUE C	0.00	23.65	0.00	23.65	75.0	17.74
	ACCOUNT #: 06020038852	BUY 0 Units @0.0000						



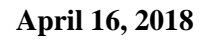
COMMISSION STATEMENT

April 16, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-3569 - YOZZO, ROSA	SELECT VALUE C	0.00	2.73	0.00	2.73	75.0	2.05
	ACCOUNT #: 06020063217	BUY 0 Units @0.0000						
03/31/2018	***-**-9443 - SPINELLA, JOHN	SELECT VALUE C	0.00	21.81	0.00	21.81	75.0	16.36
	ACCOUNT #: 06020038863	BUY 0 Units @0.0000						
03/31/2018	***-**-3203 - COMPION, ELLEN	SELECT VALUE FUND A	0.00	0.60	0.00	0.60	75.0	0.45
	ACCOUNT #: 06000770220	BUY 0 Units @0.0000						
03/31/2018	***-**-9443 - SPINELLA, JOHN	SMALL & MID-CAP GRWTH FND C	0.00	62.64	0.00	62.64	75.0	46.98
	ACCOUNT #: 07230002222	BUY 0 Units @0.0000						
03/31/2018	***-**-6924 - LITTLER, BRUCE	SMALL- & MID- CAP VALUE FUND C	0.00	2.25	0.00	2.25	75.0	1.69
	ACCOUNT #: 02530122727	BUY 0 Units @0.0000						
03/31/2018	***-**-3503 - SPINELLA,	SMALL- & MID- CAP VALUE FUND C	0.00	12.82	0.00	12.82	75.0	9.62
	ACCOUNT #: 02531090265	BUY 0 Units @0.0000						
03/31/2018	***-**-9443 - SPINELLA, JOHN	STRATEGIC INCOME FUND C	0.00	18.87	0.00	18.87	75.0	14.15
	ACCOUNT #: 02321477630	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$16,071.00	\$2,223.15	\$0.00	\$2,223.15		\$1,667.54
*** BROKER TRANSACTIONS TOTAL ***			\$302,574.70	\$14,255.57	\$0.00	\$14,255.57		\$10,692.09



BROKER #: B08
BRANCH #01001 - LifeMark Home Office

			INVESTMENT	GROSS	CLEARING	NET	BROKER
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
BROKER COMMISSION TOTALS							
						Broker Transactions	\$10,692.09
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$10,692.09
						Year-to-date Earnings	\$33,596.29