

**COMMISSION STATEMENT****September 29, 2017**JOHN KILLEEN  
306 SCHUNNEMUNK STREETBROKER #: 43  
BRANCH: HOME OFFICE

MONROE, NY 10950

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

09/12/2017	00061663272	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	300.00	1.50	0.00	1.50	86.0	0.00
09/12/2017	00061663272	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
09/12/2017	00061663272	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/12/2017	00061663272	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	00061663272	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	00061663272	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	300.00	1.50	0.00	1.50	86.0	0.00
09/22/2017	00061663272	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
09/22/2017	00061663272	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/22/2017	00061663272	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	00061663272	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	00061736606	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	0.00
09/12/2017	00061736606	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.00
09/22/2017	00061736606	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	0.00
09/22/2017	00061736606	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.00
09/12/2017	00061736608	HEGARTY, EILEEN	AMCAP FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.00
09/12/2017	00061736608	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	120.00	0.60	0.00	0.60	86.0	0.00
09/22/2017	00061736608	HEGARTY, EILEEN	AMCAP FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.00
09/22/2017	00061736608	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	120.00	0.60	0.00	0.60	86.0	0.00
09/12/2017	00061736614	HEGARTY, EILEEN	AMCAP FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.00
09/12/2017	00061736614	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	00061736614	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	00061736614	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/12/2017	00061736614	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/12/2017	00061736614	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	00061736614	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.00
09/22/2017	00061736614	HEGARTY, EILEEN	AMCAP FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.00
09/22/2017	00061736614	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	00061736614	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	00061736614	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/22/2017	00061736614	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/22/2017	00061736614	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
09/22/2017	00061736614	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.00
09/12/2017	00061736616	HEGARTY, EILEEN	AMCAP FUND-A	B	500.00	2.50	0.00	2.50	86.0	0.00
09/12/2017	00061736616	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
09/12/2017	00061736616	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/22/2017	00061736616	HEGARTY, EILEEN	AMCAP FUND-A	B	500.00	2.50	0.00	2.50	86.0	0.00
09/22/2017	00061736616	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
09/22/2017	00061736616	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/12/2017	00062829276	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.00
09/12/2017	00062829276	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.00
09/12/2017	00062829276	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.00
09/12/2017	00062829276	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.00
09/22/2017	00062829276	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.00
09/22/2017	00062829276	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.00
09/22/2017	00062829276	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.00
09/22/2017	00062829276	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.00
09/12/2017	00063329982	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	371.07	1.86	0.00	1.86	86.0	0.00
09/12/2017	00063329982	HEGARTY, EILEEN	NEW WORLD FUND-A	B	371.07	1.86	0.00	1.86	86.0	0.00
09/12/2017	00063329982	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	494.76	2.47	0.00	2.47	86.0	0.00
09/22/2017	00063329982	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	371.07	1.86	0.00	1.86	86.0	0.00
09/22/2017	00063329982	HEGARTY, EILEEN	NEW WORLD FUND-A	B	371.07	1.86	0.00	1.86	86.0	0.00
09/22/2017	00063329982	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	494.76	2.47	0.00	2.47	86.0	0.00
09/12/2017	00063362305	HEGARTY, EILEEN	AMCAP FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/12/2017	00063362305	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	00063362305	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
09/12/2017	00063362305	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/12/2017	00063362305	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/22/2017	00063362305	HEGARTY, EILEEN	AMCAP FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/22/2017	00063362305	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	00063362305	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
09/22/2017	00063362305	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/22/2017	00063362305	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/12/2017	00063362311	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	250.00	1.25	0.00	1.25	86.0	0.00
09/12/2017	00063362311	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/12/2017	00063362311	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	00063362311	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/12/2017	00063362311	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	250.00	1.25	0.00	1.25	86.0	0.00
09/22/2017	00063362311	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	250.00	1.25	0.00	1.25	86.0	0.00
09/22/2017	00063362311	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/22/2017	00063362311	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	00063362311	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
09/22/2017	00063362311	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	250.00	1.25	0.00	1.25	86.0	0.00
09/12/2017	00063795979	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	300.00	1.50	0.00	1.50	86.0	0.00
09/12/2017	00063795979	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.00
09/12/2017	00063795979	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
09/22/2017	00063795979	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	300.00	1.50	0.00	1.50	86.0	0.00
09/22/2017	00063795979	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.00
09/22/2017	00063795979	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
09/12/2017	00064217122	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	82.52	0.41	0.00	0.41	86.0	0.00
09/12/2017	00064217122	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	33.01	0.17	0.00	0.17	86.0	0.00
09/12/2017	00064217122	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	49.51	0.25	0.00	0.25	86.0	0.00
09/22/2017	00064217122	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	82.52	0.41	0.00	0.41	86.0	0.00
09/22/2017	00064217122	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	33.01	0.17	0.00	0.17	86.0	0.00
09/22/2017	00064217122	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	49.51	0.25	0.00	0.25	86.0	0.00
09/12/2017	00064217123	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.00
09/12/2017	00064217123	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	65.00	0.33	0.00	0.33	86.0	0.00
09/12/2017	00064217123	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.00
09/12/2017	00064217123	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.00
09/22/2017	00064217123	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.00
09/22/2017	00064217123	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	65.00	0.33	0.00	0.33	86.0	0.00
09/22/2017	00064217123	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.00
09/22/2017	00064217123	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.00
09/05/2017	00064617395	BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	B	28.28	0.78	0.00	0.78	86.0	0.00
09/05/2017	00064617395	BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	B	37.69	1.04	0.00	1.04	86.0	0.00
09/05/2017	00064617395	BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	B	28.28	0.78	0.00	0.78	86.0	0.00
09/11/2017	00064617395	BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	B	28.28	0.78	0.00	0.78	86.0	0.00
09/11/2017	00064617395	BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	B	37.69	1.04	0.00	1.04	86.0	0.00
09/11/2017	00064617395	BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	B	28.28	0.78	0.00	0.78	86.0	0.00
09/18/2017	00064617395	BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	B	28.28	0.78	0.00	0.78	86.0	0.00
09/18/2017	00064617395	BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	B	37.69	1.04	0.00	1.04	86.0	0.00
09/18/2017	00064617395	BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	B	28.28	0.78	0.00	0.78	86.0	0.00
09/12/2017	00068080343	HEGARTY, EILEEN	AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	00068080343	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	00068080343	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	500.00	2.50	0.00	2.50	86.0	0.00
09/12/2017	00068080343	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	00068080343	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
09/22/2017	00068080343	HEGARTY, EILEEN	AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	00068080343	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	00068080343	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	500.00	2.50	0.00	2.50	86.0	0.00
09/22/2017	00068080343	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	00068080343	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
09/12/2017	00068086982	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.00
09/12/2017	00068086982	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.00
09/22/2017	00068086982	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.00
09/22/2017	00068086982	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.00
09/12/2017	00070001812	HEGARTY, EILEEN							
		AMCAP FUND-A	B	16.98	0.08	0.00	0.08	86.0	0.00
09/12/2017	00070001812	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	33.95	0.17	0.00	0.17	86.0	0.00
09/12/2017	00070001812	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.00
09/12/2017	00070001812	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.00
09/12/2017	00070001812	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	50.93	0.25	0.00	0.25	86.0	0.00
09/22/2017	00070001812	HEGARTY, EILEEN							
		AMCAP FUND-A	B	16.98	0.08	0.00	0.08	86.0	0.00
09/22/2017	00070001812	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	33.95	0.17	0.00	0.17	86.0	0.00
09/22/2017	00070001812	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.00
09/22/2017	00070001812	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.00
09/22/2017	00070001812	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	50.93	0.25	0.00	0.25	86.0	0.00
09/12/2017	00070004355	HEGARTY, EILEEN							
		AMCAP FUND-A	B	31.97	0.16	0.00	0.16	86.0	0.00
09/12/2017	00070004355	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	28.77	0.14	0.00	0.14	86.0	0.00
09/12/2017	00070004355	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	73.53	0.37	0.00	0.37	86.0	0.00
09/12/2017	00070004355	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	54.35	0.27	0.00	0.27	86.0	0.00
09/12/2017	00070004355	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	57.55	0.29	0.00	0.29	86.0	0.00
09/12/2017	00070004355	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	67.14	0.34	0.00	0.34	86.0	0.00
09/22/2017	00070004355	HEGARTY, EILEEN							
		AMCAP FUND-A	B	31.97	0.16	0.00	0.16	86.0	0.00
09/22/2017	00070004355	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	28.77	0.14	0.00	0.14	86.0	0.00
09/22/2017	00070004355	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	73.53	0.37	0.00	0.37	86.0	0.00
09/22/2017	00070004355	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	54.35	0.27	0.00	0.27	86.0	0.00
09/22/2017	00070004355	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	57.55	0.29	0.00	0.29	86.0	0.00
09/22/2017	00070004355	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	67.14	0.34	0.00	0.34	86.0	0.00
09/12/2017	00070004361	HEGARTY, EILEEN							
		AMCAP FUND-A	B	74.25	0.37	0.00	0.37	86.0	0.00
09/12/2017	00070004361	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	74.25	0.37	0.00	0.37	86.0	0.00
09/12/2017	00070004361	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	76.50	0.38	0.00	0.38	86.0	0.00
09/22/2017	00070004361	HEGARTY, EILEEN							
		AMCAP FUND-A	B	74.25	0.37	0.00	0.37	86.0	0.00
09/22/2017	00070004361	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	74.25	0.37	0.00	0.37	86.0	0.00
09/22/2017	00070004361	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	76.50	0.38	0.00	0.38	86.0	0.00
09/12/2017	00070005362	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	4.96	0.02	0.00	0.02	86.0	0.00
09/12/2017	00070005362	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	9.92	0.05	0.00	0.05	86.0	0.00
09/12/2017	00070005362	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	14.88	0.07	0.00	0.07	86.0	0.00
09/12/2017	00070005362	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	19.84	0.10	0.00	0.10	86.0	0.00
09/12/2017	00070005362	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	9.91	0.05	0.00	0.05	86.0	0.00
09/22/2017	00070005362	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	4.96	0.02	0.00	0.02	86.0	0.00
09/22/2017	00070005362	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	9.92	0.05	0.00	0.05	86.0	0.00
09/22/2017	00070005362	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	14.88	0.07	0.00	0.07	86.0	0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
09/22/2017	00070005362	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	19.84	0.10	0.00	0.10	86.0	0.00
09/22/2017	00070005362	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	9.91	0.05	0.00	0.05	86.0	0.00
09/12/2017	00070005678	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.00
09/12/2017	00070005678	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
09/12/2017	00070005678	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	60.00	0.30	0.00	0.30	86.0	0.00
09/22/2017	00070005678	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.00
09/22/2017	00070005678	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
09/22/2017	00070005678	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	60.00	0.30	0.00	0.30	86.0	0.00
09/12/2017	00086545644	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.00
09/12/2017	00086545644	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.00
09/12/2017	00086545644	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.00
09/12/2017	00086545644	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.00
09/12/2017	00086545644	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	25.00	0.13	0.00	0.13	86.0	0.00
09/22/2017	00086545644	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.00
09/22/2017	00086545644	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.00
09/22/2017	00086545644	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.00
09/22/2017	00086545644	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.00
09/22/2017	00086545644	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	25.00	0.13	0.00	0.13	86.0	0.00
09/12/2017	00087384406	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	750.00	3.75	0.00	3.75	86.0	0.00
09/22/2017	00087384406	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	750.00	3.75	0.00	3.75	86.0	0.00
09/12/2017	00087384416	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	750.00	3.75	0.00	3.75	86.0	0.00
09/22/2017	00087384416	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	750.00	3.75	0.00	3.75	86.0	0.00
09/12/2017	00087384433	HEGARTY, EILEEN	AMCAP FUND-A	B	300.00	1.50	0.00	1.50	86.0	0.00
09/12/2017	00087384433	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.00
09/12/2017	00087384433	HEGARTY, EILEEN	NEW WORLD FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.00
09/12/2017	00087384433	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.00
09/12/2017	00087384433	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.00
09/22/2017	00087384433	HEGARTY, EILEEN	AMCAP FUND-A	B	300.00	1.50	0.00	1.50	86.0	0.00
09/22/2017	00087384433	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.00
09/22/2017	00087384433	HEGARTY, EILEEN	NEW WORLD FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.00
09/22/2017	00087384433	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.00
09/22/2017	00087384433	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.00
09/12/2017	00087384446	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	59.89	0.30	0.00	0.30	86.0	0.00
09/12/2017	00087384446	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	44.91	0.22	0.00	0.22	86.0	0.00
09/12/2017	00087384446	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	44.92	0.22	0.00	0.22	86.0	0.00
09/22/2017	00087384446	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	59.89	0.30	0.00	0.30	86.0	0.00
09/22/2017	00087384446	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	44.91	0.22	0.00	0.22	86.0	0.00
09/22/2017	00087384446	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	44.92	0.22	0.00	0.22	86.0	0.00
09/12/2017	00087384464	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.00
09/22/2017	00087384464	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
09/12/2017	00087384478	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	312.50	1.56	0.00	1.56 86.0 0.00
09/12/2017	00087384478	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	312.50	1.56	0.00	1.56 86.0 0.00
09/22/2017	00087384478	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	312.50	1.56	0.00	1.56 86.0 0.00
09/22/2017	00087384478	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	312.50	1.56	0.00	1.56 86.0 0.00
09/12/2017	00087384507	HEGARTY, EILEEN		AMCAP FUND-A	B	2.00	0.01	0.00	0.01 86.0 0.00
09/12/2017	00087384507	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	10.00	0.05	0.00	0.05 86.0 0.00
09/12/2017	00087384507	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	8.00	0.04	0.00	0.04 86.0 0.00
09/22/2017	00087384507	HEGARTY, EILEEN		AMCAP FUND-A	B	2.00	0.01	0.00	0.01 86.0 0.00
09/22/2017	00087384507	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	10.00	0.05	0.00	0.05 86.0 0.00
09/22/2017	00087384507	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	8.00	0.04	0.00	0.04 86.0 0.00
09/12/2017	00087384541	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	50.00	0.25	0.00	0.25 86.0 0.00
09/12/2017	00087384541	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	50.00	0.25	0.00	0.25 86.0 0.00
09/22/2017	00087384541	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	50.00	0.25	0.00	0.25 86.0 0.00
09/22/2017	00087384541	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	50.00	0.25	0.00	0.25 86.0 0.00
09/12/2017	00087384558	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	48.49	0.24	0.00	0.24 86.0 0.00
09/12/2017	00087384558	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	48.49	0.24	0.00	0.24 86.0 0.00
09/22/2017	00087384558	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	48.49	0.24	0.00	0.24 86.0 0.00
09/22/2017	00087384558	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	48.49	0.24	0.00	0.24 86.0 0.00
09/12/2017	00087842379	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	750.00	3.75	0.00	3.75 86.0 0.00
09/22/2017	00087842379	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	750.00	3.75	0.00	3.75 86.0 0.00
09/12/2017	00088877759	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	54.67	0.27	0.00	0.27 86.0 0.00
09/12/2017	00088877759	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	164.00	0.82	0.00	0.82 86.0 0.00
09/22/2017	00088877759	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	50.76	0.25	0.00	0.25 86.0 0.00
09/22/2017	00088877759	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	152.28	0.76	0.00	0.76 86.0 0.00
09/12/2017	00089378259	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23 86.0 0.00
09/12/2017	00089378259	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23 86.0 0.00
09/12/2017	00089378259	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15 86.0 0.00
09/12/2017	00089378259	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.00
09/12/2017	00089378259	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.00
09/22/2017	00089378259	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23 86.0 0.00
09/22/2017	00089378259	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23 86.0 0.00
09/22/2017	00089378259	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15 86.0 0.00
09/22/2017	00089378259	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.00
09/22/2017	00089378259	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.00
09/12/2017	00089378412	HEGARTY, EILEEN		AMCAP FUND-A	B	18.71	0.09	0.00	0.09 86.0 0.00
09/12/2017	00089378412	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	46.78	0.23	0.00	0.23 86.0 0.00
09/12/2017	00089378412	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	56.14	0.28	0.00	0.28 86.0 0.00
09/12/2017	00089378412	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	46.78	0.23	0.00	0.23 86.0 0.00
09/12/2017	00089378412	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	18.71	0.09	0.00	0.09 86.0 0.00
09/22/2017	00089378412	HEGARTY, EILEEN		AMCAP FUND-A	B	18.56	0.09	0.00	0.09 86.0 0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
09/22/2017	00089378412	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	46.40	0.23	0.00	0.23	86.0	0.00
09/22/2017	00089378412	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	55.68	0.28	0.00	0.28	86.0	0.00
09/22/2017	00089378412	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	46.40	0.23	0.00	0.23	86.0	0.00
09/22/2017	00089378412	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	18.56	0.09	0.00	0.09	86.0	0.00
09/15/2017	00089545290	RONGA, DANIEL							
		THE GROWTH FUND OF AMERICA-A	B	200.00	7.50	0.00	7.50	86.0	0.00
09/12/2017	04000113773	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.00
09/12/2017	04000113773	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.00
09/12/2017	04000113773	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	6.65	0.03	0.00	0.03	86.0	0.00
09/12/2017	04000113773	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	16.63	0.08	0.00	0.08	86.0	0.00
09/12/2017	04000113773	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	14.96	0.07	0.00	0.07	86.0	0.00
09/12/2017	04000113773	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.00
09/22/2017	04000113773	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.00
09/22/2017	04000113773	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.00
09/22/2017	04000113773	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	6.65	0.03	0.00	0.03	86.0	0.00
09/22/2017	04000113773	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	16.63	0.08	0.00	0.08	86.0	0.00
09/22/2017	04000113773	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	14.96	0.07	0.00	0.07	86.0	0.00
09/22/2017	04000113773	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.00
09/12/2017	04000113881	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	123.37	0.62	0.00	0.62	86.0	0.00
09/12/2017	04000113881	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	37.01	0.19	0.00	0.19	86.0	0.00
09/12/2017	04000113881	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	37.01	0.19	0.00	0.19	86.0	0.00
09/12/2017	04000113881	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	49.35	0.25	0.00	0.25	86.0	0.00
09/22/2017	04000113881	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	91.15	0.46	0.00	0.46	86.0	0.00
09/22/2017	04000113881	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	27.34	0.14	0.00	0.14	86.0	0.00
09/22/2017	04000113881	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	27.34	0.14	0.00	0.14	86.0	0.00
09/22/2017	04000113881	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	36.46	0.18	0.00	0.18	86.0	0.00
09/12/2017	04000113984	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	04000113984	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	04000113984	VARIOUS, KILLEEN							
		NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	04000113984	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	04000113984	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	04000113984	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	04000113984	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	04000113984	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	04000113984	VARIOUS, KILLEEN							
		THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/12/2017	04000113984	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	04000113984	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	04000113984	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	04000113984	VARIOUS, KILLEEN							
		NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	04000113984	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	04000113984	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
09/22/2017	04000113984	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	04000113984	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	04000113984	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	04000113984	VARIOUS, KILLEEN							
		THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/22/2017	04000113984	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
09/15/2017	04000292411	CUNICELLI, LILY							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	6.25	0.00	6.25	86.0	0.00
09/05/2017	04000468432	CUNICELLI, LILY							
		NEW PERSPECTIVE FUND-A	B	1,426.64	71.33	0.00	71.33	86.0	61.34
09/05/2017	04000468432	CUNICELLI, LILY							
		THE GROWTH FUND OF AMERICA-A	B	1,426.64	71.33	0.00	71.33	86.0	61.34
09/05/2017	04000468432	CUNICELLI, LILY							
		THE INCOME FUND OF AMERICA-A	B	1,426.64	71.33	0.00	71.33	86.0	61.34
09/05/2017	04000468432	CUNICELLI, LILY							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	1,426.64	71.33	0.00	71.33	86.0	61.34
09/22/2017	04000493953	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.00
09/22/2017	04000493953	HEGARTY, EILEEN							
		NEW WORLD FUND-A	B	450.00	2.25	0.00	2.25	86.0	0.00
09/22/2017	04000493953	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.00
09/22/2017	04000493953	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	600.00	3.00	0.00	3.00	86.0	0.00
09/22/2017	04000493953	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.00
* MUTUAL FUNDS SUBTOTAL *				<b>\$41,940.39</b>	<b>\$485.00</b>	<b>\$0.00</b>	<b>\$485.00</b>		<b>\$245.36</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
09/06/2017	(None)	VARIOUS, KILLEEN							
		NATIONAL INTEGRITY LIFE	B	0.00	39,862.26	0.00	39,862.26	86.0	34,281.54
09/12/2017	(None)	VARIOUS, KILLEEN							
		NATIONAL INTEGRITY LIFE	B	0.00	4,994.55	0.00	4,994.55	86.0	4,295.31
09/20/2017	(None)	VARIOUS, KILLEEN							
		NATIONAL INTEGRITY LIFE	B	0.00	3,371.16	0.00	3,371.16	86.0	2,899.20
* VARIABLE ANNUITIES SUBTOTAL *				<b>\$0.00</b>	<b>\$48,227.97</b>	<b>\$0.00</b>	<b>\$48,227.97</b>		<b>\$41,476.05</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
09/01/2017	00059853123	NAME*, *PART							
		NEW PERSPECTIVE FUND-A	B	0.00	1.77	0.00	1.77	86.0	1.52
09/01/2017	00059924728	NAME*, *PART							
		NEW PERSPECTIVE FUND-A	B	0.00	2.76	0.00	2.76	86.0	2.37
09/01/2017	00060463223	NAME*, *PART							
		NEW PERSPECTIVE FUND-A	B	0.00	0.51	0.00	0.51	86.0	0.00
09/01/2017	00060463223	NAME*, *PART							
		THE INCOME FUND OF AMERICA-A	B	0.00	0.11	0.00	0.11	86.0	0.00
09/01/2017	00061358562	NAME*, *PART							
		THE INCOME FUND OF AMERICA-A	B	0.00	0.34	0.00	0.34	86.0	0.00
09/01/2017	00061663272	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	184.99	0.00	184.99	86.0	159.09
09/01/2017	00061663272	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	176.68	0.00	176.68	86.0	151.94
09/01/2017	00061663272	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	146.78	0.00	146.78	86.0	126.23
09/01/2017	00061663272	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	50.19	0.00	50.19	86.0	43.16
09/01/2017	00061663272	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	59.62	0.00	59.62	86.0	51.27
09/01/2017	00061663273	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	244.48	0.00	244.48	86.0	210.25
09/01/2017	00061663273	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	227.06	0.00	227.06	86.0	195.27
09/01/2017	00061663273	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	167.98	0.00	167.98	86.0	144.46
09/01/2017	00061663273	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	72.21	0.00	72.21	86.0	62.10
09/01/2017	00061663273	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	92.20	0.00	92.20	86.0	79.29
09/01/2017	00061736606	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	127.76	0.00	127.76	86.0	109.87



COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/01/2017	00061736606	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	61.17	0.00	61.17	86.0	52.61
09/01/2017	00061736607	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	83.76	0.00	83.76	86.0	72.03
09/01/2017	00061736607	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	40.05	0.00	40.05	86.0	34.44
09/01/2017	00061736608	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	138.37	0.00	138.37	86.0	119.00
09/01/2017	00061736608	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	139.11	0.00	139.11	86.0	119.63
09/01/2017	00061736609	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	92.55	0.00	92.55	86.0	79.59
09/01/2017	00061736609	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	93.72	0.00	93.72	86.0	80.60
09/01/2017	00061736612	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	0.15	0.00	0.15	86.0	0.00
09/01/2017	00061736612	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	0.03	0.00	0.03	86.0	0.00
09/01/2017	00061736612	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	0.11	0.00	0.11	86.0	0.00
09/01/2017	00061736614	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	72.75	0.00	72.75	86.0	62.57
09/01/2017	00061736614	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	17.56	0.00	17.56	86.0	15.10
09/01/2017	00061736614	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	182.41	0.00	182.41	86.0	156.87
09/01/2017	00061736614	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	34.26	0.00	34.26	86.0	29.46
09/01/2017	00061736614	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	80.94	0.00	80.94	86.0	69.61
09/01/2017	00061736614	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	60.27	0.00	60.27	86.0	51.83
09/01/2017	00061736614	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	69.57	0.00	69.57	86.0	59.83
09/01/2017	00061736615	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	115.61	0.00	115.61	86.0	99.42
09/01/2017	00061736615	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	22.37	0.00	22.37	86.0	19.24
09/01/2017	00061736615	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	186.16	0.00	186.16	86.0	160.10
09/01/2017	00061736615	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	46.83	0.00	46.83	86.0	40.27
09/01/2017	00061736615	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	122.09	0.00	122.09	86.0	105.00
09/01/2017	00061736615	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	98.62	0.00	98.62	86.0	84.81
09/01/2017	00061736615	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	109.05	0.00	109.05	86.0	93.78
09/01/2017	00061736616	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	0.20	0.00	0.20	86.0	0.00
09/01/2017	00062406273	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	2.29	0.00	2.29	86.0	1.97
09/01/2017	00062406273	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	0.81	0.00	0.81	86.0	0.00
09/01/2017	00062406273	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	0.84	0.00	0.84	86.0	0.00
09/01/2017	00062406275	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	3.69	0.00	3.69	86.0	3.17
09/01/2017	00062406275	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	1.29	0.00	1.29	86.0	1.11
09/01/2017	00062406275	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	1.40	0.00	1.40	86.0	1.20
09/01/2017	00062406277	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	0.47	0.00	0.47	86.0	0.00
09/01/2017	00062406277	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	0.11	0.00	0.11	86.0	0.00
09/01/2017	00062734904	BATISTA, FRANCISCO	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	0.94	0.00	0.94	86.0	0.00
09/01/2017	00062829276	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	18.25	0.00	18.25	86.0	15.70
09/01/2017	00062829276	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	44.15	0.00	44.15	86.0	37.97
09/01/2017	00062829276	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	1.19	0.00	1.19	86.0	1.02
09/01/2017	00062829276	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	3.52	0.00	3.52	86.0	3.03
09/01/2017	00062829276	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	3.29	0.00	3.29	86.0	2.83
09/01/2017	00062829276	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	27.20	0.00	27.20	86.0	23.39

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/01/2017	00062829276	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	30.33	0.00	30.33	86.0	26.08
09/01/2017	00062829277	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	21.37	0.00	21.37	86.0	18.38
09/01/2017	00062829277	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	77.18	0.00	77.18	86.0	66.37
09/01/2017	00062829277	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	23.16	0.00	23.16	86.0	19.92
09/01/2017	00062829277	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	1.32	0.00	1.32	86.0	1.14
09/01/2017	00062829277	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	5.97	0.00	5.97	86.0	5.13
09/01/2017	00062829277	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	47.33	0.00	47.33	86.0	40.70
09/01/2017	00062829277	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	73.52	0.00	73.52	86.0	63.23
09/01/2017	00063329982	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	3.81	0.00	3.81	86.0	3.28
09/01/2017	00063329982	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	5.11	0.00	5.11	86.0	4.39
09/01/2017	00063329982	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	4.94	0.00	4.94	86.0	4.25
09/01/2017	00063329982	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	7.88	0.00	7.88	86.0	6.78
09/01/2017	00063362305	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	75.06	0.00	75.06	86.0	64.55
09/01/2017	00063362305	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	47.27	0.00	47.27	86.0	40.65
09/01/2017	00063362305	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	58.12	0.00	58.12	86.0	49.98
09/01/2017	00063362305	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	81.00	0.00	81.00	86.0	69.66
09/01/2017	00063362305	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	3.30	0.00	3.30	86.0	2.84
09/01/2017	00063362305	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	4.43	0.00	4.43	86.0	3.81
09/01/2017	00063362305	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	76.44	0.00	76.44	86.0	65.74
09/01/2017	00063362306	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	3.75	0.00	3.75	86.0	3.23
09/01/2017	00063362306	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	13.20	0.00	13.20	86.0	11.35
09/01/2017	00063362306	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	0.58	0.00	0.58	86.0	0.00
09/01/2017	00063362306	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	3.71	0.00	3.71	86.0	3.19
09/01/2017	00063362306	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	0.97	0.00	0.97	86.0	0.00
09/01/2017	00063362306	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	5.55	0.00	5.55	86.0	4.77
09/01/2017	00063362310	HEGARTY, EILEEN	AMERICAN FUND 2030	B	0.00	67.97	0.00	67.97	86.0	58.45
09/01/2017	00063362310	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	60.87	0.00	60.87	86.0	52.35
09/01/2017	00063362310	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	28.47	0.00	28.47	86.0	24.48
09/01/2017	00063362310	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	0.98	0.00	0.98	86.0	0.00
09/01/2017	00063362311	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	138.96	0.00	138.96	86.0	119.51
09/01/2017	00063362311	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	119.51	0.00	119.51	86.0	102.78
09/01/2017	00063362311	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	100.40	0.00	100.40	86.0	86.34
09/01/2017	00063362311	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	44.55	0.00	44.55	86.0	38.31
09/01/2017	00063795979	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	153.86	0.00	153.86	86.0	132.32
09/01/2017	00063795979	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	11.06	0.00	11.06	86.0	9.51
09/01/2017	00063795979	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	128.35	0.00	128.35	86.0	110.38
09/01/2017	00063917150	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	44.66	0.00	44.66	86.0	38.41
09/01/2017	00063917150	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	26.75	0.00	26.75	86.0	23.01
09/01/2017	00063917150	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	37.66	0.00	37.66	86.0	32.39
09/01/2017	00063917150	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	48.46	0.00	48.46	86.0	41.68

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/01/2017	00063917150	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.76	0.00	0.76 86.0 0.00
09/01/2017	00063917150	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	5.95	0.00	5.95 86.0 5.12
09/01/2017	00063917150	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	48.03	0.00	48.03 86.0 41.31
09/01/2017	00063917151	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	2.16	0.00	2.16 86.0 1.86
09/01/2017	00063917151	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	4.49	0.00	4.49 86.0 3.86
09/01/2017	00063917151	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	0.09	0.00	0.09 86.0 0.00
09/01/2017	00063917151	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	2.17	0.00	2.17 86.0 1.87
09/01/2017	00063917151	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.15	0.00	0.15 86.0 0.00
09/01/2017	00063917151	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.10	0.00	3.10 86.0 2.67
09/01/2017	00063917153	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	2.44	0.00	2.44 86.0 2.10
09/01/2017	00063917155	HEGARTY, EILEEN		AMERICAN FUND 2030	B	0.00	41.46	0.00	41.46 86.0 35.66
09/01/2017	00063917155	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	46.20	0.00	46.20 86.0 39.73
09/01/2017	00063917155	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	20.61	0.00	20.61 86.0 17.72
09/01/2017	00063917156	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	130.39	0.00	130.39 86.0 112.14
09/01/2017	00063917156	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	105.04	0.00	105.04 86.0 90.33
09/01/2017	00063917156	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	15.25	0.00	15.25 86.0 13.12
09/01/2017	00063917156	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	88.42	0.00	88.42 86.0 76.04
09/01/2017	00063917156	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	68.30	0.00	68.30 86.0 58.74
09/01/2017	00064217122	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	27.22	0.00	27.22 86.0 23.41
09/01/2017	00064217122	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	12.56	0.00	12.56 86.0 10.80
09/01/2017	00064217122	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	17.70	0.00	17.70 86.0 15.22
09/01/2017	00064217123	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	2.69	0.00	2.69 86.0 2.31
09/01/2017	00064217123	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	9.27	0.00	9.27 86.0 7.97
09/01/2017	00064217123	HEGARTY, EILEEN		NEW WORLD FUND-A	B	0.00	0.99	0.00	0.99 86.0 0.00
09/01/2017	00064217123	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	8.25	0.00	8.25 86.0 7.10
09/01/2017	00064217123	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	3.55	0.00	3.55 86.0 3.05
09/01/2017	00064217123	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	16.60	0.00	16.60 86.0 14.28
09/01/2017	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	0.00	36.54	0.00	36.54 86.0 31.42
09/01/2017	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	0.00	50.09	0.00	50.09 86.0 43.08
09/01/2017	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	0.00	39.56	0.00	39.56 86.0 34.02
09/01/2017	00064617396	GILLES, FREDERICK		THE GROWTH FUND OF AMERICA-A	B	0.00	0.35	0.00	0.35 86.0 0.00
09/01/2017	00064617396	GILLES, FREDERICK		THE NEW ECONOMY FUND-A	B	0.00	0.53	0.00	0.53 86.0 0.00
09/01/2017	00064910535	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	45.10	0.00	45.10 86.0 38.79
09/01/2017	00064910535	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	18.99	0.00	18.99 86.0 16.33
09/01/2017	00064910535	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	25.01	0.00	25.01 86.0 21.51
09/01/2017	00064910537	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	67.33	0.00	67.33 86.0 57.90
09/01/2017	00064910537	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	3.61	0.00	3.61 86.0 3.10
09/01/2017	00064910537	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	53.89	0.00	53.89 86.0 46.35
09/01/2017	00064910538	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	1.76	0.00	1.76 86.0 1.51
09/01/2017	00064910538	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.60	0.00	0.60 86.0 0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/01/2017	00064910538	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	1.61	0.00	1.61 86.0 1.38
09/01/2017	00064910538	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	1.87	0.00	1.87 86.0 1.61
09/01/2017	00064910539	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	2.79	0.00	2.79 86.0 2.40
09/01/2017	00064910539	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	12.99	0.00	12.99 86.0 11.17
09/01/2017	00064910539	HEGARTY, EILEEN		NEW WORLD FUND-A	B	0.00	0.66	0.00	0.66 86.0 0.00
09/01/2017	00064910539	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	10.46	0.00	10.46 86.0 9.00
09/01/2017	00064910539	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	5.39	0.00	5.39 86.0 4.64
09/01/2017	00064910539	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	19.91	0.00	19.91 86.0 17.12
09/01/2017	00066762603	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	1.60	0.00	1.60 86.0 1.38
09/01/2017	00066762603	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	4.69	0.00	4.69 86.0 4.03
09/01/2017	00066762603	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	2.81	0.00	2.81 86.0 2.42
09/01/2017	00066762603	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	2.53	0.00	2.53 86.0 2.18
09/01/2017	00066762603	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	4.59	0.00	4.59 86.0 3.95
09/01/2017	00066762603	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	1.90	0.00	1.90 86.0 1.63
09/01/2017	00066762603	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.89	0.00	3.89 86.0 3.35
09/01/2017	00067254999	RAMSEY, FREDRIC		THE BOND FUND OF AMERICA-A	B	0.00	10.76	0.00	10.76 86.0 9.25
09/01/2017	00067254999	RAMSEY, FREDRIC		THE GROWTH FUND OF AMERICA-A	B	0.00	27.71	0.00	27.71 86.0 23.83
09/01/2017	00067254999	RAMSEY, FREDRIC		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	18.79	0.00	18.79 86.0 16.16
09/01/2017	00067321886	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.72	0.00	0.72 86.0 0.00
09/01/2017	00067321886	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	0.96	0.00	0.96 86.0 0.00
09/01/2017	00067321886	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	2.79	0.00	2.79 86.0 2.40
09/01/2017	00067321886	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.13	0.00	3.13 86.0 2.69
09/01/2017	00067326900	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	3.49	0.00	3.49 86.0 3.00
09/01/2017	00067326900	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	1.34	0.00	1.34 86.0 1.15
09/01/2017	00067326900	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	3.18	0.00	3.18 86.0 2.73
09/01/2017	00067823945	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.12	0.00	0.12 86.0 0.00
09/01/2017	00067823945	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	0.05	0.00	0.05 86.0 0.00
09/01/2017	00067823945	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	9.67	0.00	9.67 86.0 8.32
09/01/2017	00067823945	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	7.29	0.00	7.29 86.0 6.27
09/01/2017	00067823947	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	1.58	0.00	1.58 86.0 1.36
09/01/2017	00067823947	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	1.32	0.00	1.32 86.0 1.14
09/01/2017	00067823947	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	4.34	0.00	4.34 86.0 3.73
09/01/2017	00067823947	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	4.88	0.00	4.88 86.0 4.20
09/01/2017	00067963444	GALLAGHER, ROBIN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	4.94	0.00	4.94 86.0 4.25
09/01/2017	00068080341	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	0.42	0.00	0.42 86.0 0.00
09/01/2017	00068080341	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	2.66	0.00	2.66 86.0 2.29
09/01/2017	00068080341	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.59	0.00	0.59 86.0 0.00
09/01/2017	00068080341	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.24	0.00	0.24 86.0 0.00
09/01/2017	00068080343	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	54.83	0.00	54.83 86.0 47.15
09/01/2017	00068080343	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	0.95	0.00	0.95 86.0 0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/01/2017	00068080343	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	120.04	0.00	120.04 86.0 103.23
09/01/2017	00068080343	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	36.43	0.00	36.43 86.0 31.33
09/01/2017	00068080343	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	89.64	0.00	89.64 86.0 77.09
09/01/2017	00068086982	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.02	0.00	0.02 86.0 0.00
09/01/2017	00068086982	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	0.07	0.00	0.07 86.0 0.00
09/01/2017	00068086982	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	12.82	0.00	12.82 86.0 11.03
09/01/2017	00068086982	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	9.87	0.00	9.87 86.0 8.49
09/01/2017	00068092335	UNTIL AGE 21,		AMERICAN BALANCED FUND-A	B	0.00	4.54	0.00	4.54 86.0 3.90
09/01/2017	00068092335	UNTIL AGE 21,		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	4.71	0.00	4.71 86.0 4.05
09/01/2017	00068653714	RHEAUME, KATE		AMERICAN BALANCED FUND-529A	B	0.00	0.56	0.00	0.56 86.0 0.00
09/01/2017	00068653714	RHEAUME, KATE		AMERICAN MUTUAL FUND-529A	B	0.00	0.62	0.00	0.62 86.0 0.00
09/01/2017	00068653714	RHEAUME, KATE		CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	0.73	0.00	0.73 86.0 0.00
09/01/2017	00068653714	RHEAUME, KATE		THE GROWTH FUND OF AMERICA-529A	B	0.00	1.53	0.00	1.53 86.0 1.32
09/01/2017	00068653714	RHEAUME, KATE		THE INCOME FUND OF AMERICA-529A	B	0.00	0.56	0.00	0.56 86.0 0.00
09/01/2017	00068653714	RHEAUME, KATE		THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	0.63	0.00	0.63 86.0 0.00
09/01/2017	00068653714	RHEAUME, KATE		WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	0.46	0.00	0.46 86.0 0.00
09/01/2017	00068950143	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	0.57	0.00	0.57 86.0 0.00
09/01/2017	00068950143	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	7.22	0.00	7.22 86.0 6.21
09/01/2017	00068950143	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	1.41	0.00	1.41 86.0 1.21
09/01/2017	00068950144	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	2.90	0.00	2.90 86.0 2.49
09/01/2017	00068950144	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	1.12	0.00	1.12 86.0 0.96
09/01/2017	00068950144	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	2.62	0.00	2.62 86.0 2.25
09/01/2017	00068950146	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	11.05	0.00	11.05 86.0 9.50
09/01/2017	00068950146	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	23.85	0.00	23.85 86.0 20.51
09/01/2017	00068950146	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	7.28	0.00	7.28 86.0 6.26
09/01/2017	00068950146	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	18.02	0.00	18.02 86.0 15.50
09/01/2017	00069221842	SALAMA, CHRISTIAN		NEW PERSPECTIVE FUND-529A	B	0.00	0.15	0.00	0.15 86.0 0.00
09/01/2017	00069221842	SALAMA, CHRISTIAN		THE GROWTH FUND OF AMERICA-529A	B	0.00	0.10	0.00	0.10 86.0 0.00
09/01/2017	00069285960	WAY, NICOLE		NEW PERSPECTIVE FUND-529A	B	0.00	0.32	0.00	0.32 86.0 0.00
09/01/2017	00069285960	WAY, NICOLE		THE GROWTH FUND OF AMERICA-529A	B	0.00	0.29	0.00	0.29 86.0 0.00
09/01/2017	00069285960	WAY, NICOLE		THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	0.15	0.00	0.15 86.0 0.00
09/01/2017	00069285960	WAY, NICOLE		WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	0.03	0.00	0.03 86.0 0.00
09/01/2017	00069305898	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	0.86	0.00	0.86 86.0 0.00
09/01/2017	00069305898	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	2.69	0.00	2.69 86.0 2.31
09/01/2017	00069305898	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	2.14	0.00	2.14 86.0 1.84
09/01/2017	00069305898	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	0.94	0.00	0.94 86.0 0.00
09/01/2017	00069305898	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	3.52	0.00	3.52 86.0 3.03
09/01/2017	00069305898	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	1.08	0.00	1.08 86.0 0.93
09/01/2017	00069305898	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.31	0.00	2.31 86.0 1.99
09/01/2017	00070001336	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	0.78	0.00	0.78 86.0 0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/01/2017	00070001336	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	0.43	0.00	0.43 86.0 0.00
09/01/2017	00070001336	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	1.73	0.00	1.73 86.0 1.49
09/01/2017	00070001336	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	12.57	0.00	12.57 86.0 10.81
09/01/2017	00070001336	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	1.37	0.00	1.37 86.0 1.18
09/01/2017	00070001336	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	0.70	0.00	0.70 86.0 0.00
09/01/2017	00070001336	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	10.42	0.00	10.42 86.0 8.96
09/01/2017	00070001812	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	0.37	0.00	0.37 86.0 0.00
09/01/2017	00070001812	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.76	0.00	0.76 86.0 0.00
09/01/2017	00070001812	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	3.67	0.00	3.67 86.0 3.16
09/01/2017	00070001812	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.72	0.00	0.72 86.0 0.00
09/01/2017	00070001812	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	1.15	0.00	1.15 86.0 0.99
09/01/2017	00070001812	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.83	0.00	2.83 86.0 2.43
09/01/2017	00070004355	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	3.38	0.00	3.38 86.0 2.91
09/01/2017	00070004355	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	2.83	0.00	2.83 86.0 2.43
09/01/2017	00070004355	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	5.13	0.00	5.13 86.0 4.41
09/01/2017	00070004355	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	3.86	0.00	3.86 86.0 3.32
09/01/2017	00070004355	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	4.15	0.00	4.15 86.0 3.57
09/01/2017	00070004355	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	4.46	0.00	4.46 86.0 3.84
09/01/2017	00070004356	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	2.57	0.00	2.57 86.0 2.21
09/01/2017	00070004356	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	1.86	0.00	1.86 86.0 1.60
09/01/2017	00070004356	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	6.46	0.00	6.46 86.0 5.56
09/01/2017	00070004356	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	1.32	0.00	1.32 86.0 1.14
09/01/2017	00070004356	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	4.56	0.00	4.56 86.0 3.92
09/01/2017	00070004356	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	6.77	0.00	6.77 86.0 5.82
09/01/2017	00070004361	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	7.07	0.00	7.07 86.0 6.08
09/01/2017	00070004361	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	7.81	0.00	7.81 86.0 6.72
09/01/2017	00070004361	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	9.13	0.00	9.13 86.0 7.85
09/01/2017	00070004362	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	3.62	0.00	3.62 86.0 3.11
09/01/2017	00070004362	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	3.34	0.00	3.34 86.0 2.87
09/01/2017	00070004362	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	14.84	0.00	14.84 86.0 12.76
09/01/2017	00070005362	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	2.48	0.00	2.48 86.0 2.13
09/01/2017	00070005362	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	6.65	0.00	6.65 86.0 5.72
09/01/2017	00070005362	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	9.45	0.00	9.45 86.0 8.13
09/01/2017	00070005362	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	7.00	0.00	7.00 86.0 6.02
09/01/2017	00070005362	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	3.60	0.00	3.60 86.0 3.10
09/01/2017	00070005363	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	1.95	0.00	1.95 86.0 1.68
09/01/2017	00070005363	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	2.03	0.00	2.03 86.0 1.75
09/01/2017	00070005363	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	1.81	0.00	1.81 86.0 1.56
09/01/2017	00070005363	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	3.49	0.00	3.49 86.0 3.00
09/01/2017	00070005363	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	2.97	0.00	2.97 86.0 2.55

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/01/2017	00070005364	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	13.82	0.00	13.82 86.0 11.89
09/01/2017	00070005364	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	17.81	0.00	17.81 86.0 15.32
09/01/2017	00070005364	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	20.09	0.00	20.09 86.0 17.28
09/01/2017	00070005364	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	19.26	0.00	19.26 86.0 16.56
09/01/2017	00070005364	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	19.13	0.00	19.13 86.0 16.45
09/01/2017	00070005370	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	1.75	0.00	1.75 86.0 1.51
09/01/2017	00070005370	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	1.69	0.00	1.69 86.0 1.45
09/01/2017	00070005370	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	1.82	0.00	1.82 86.0 1.57
09/01/2017	00070005370	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	15.30	0.00	15.30 86.0 13.16
09/01/2017	00070005370	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	5.00	0.00	5.00 86.0 4.30
09/01/2017	00070005370	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	3.57	0.00	3.57 86.0 3.07
09/01/2017	00070005370	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	1.80	0.00	1.80 86.0 1.55
09/01/2017	00070005370	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.79	0.00	1.79 86.0 1.54
09/01/2017	00070005371	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	9.92	0.00	9.92 86.0 8.53
09/01/2017	00070005371	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	9.57	0.00	9.57 86.0 8.23
09/01/2017	00070005371	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	10.31	0.00	10.31 86.0 8.87
09/01/2017	00070005371	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	86.40	0.00	86.40 86.0 74.30
09/01/2017	00070005371	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	28.26	0.00	28.26 86.0 24.30
09/01/2017	00070005371	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	20.17	0.00	20.17 86.0 17.35
09/01/2017	00070005371	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	10.22	0.00	10.22 86.0 8.79
09/01/2017	00070005371	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	10.11	0.00	10.11 86.0 8.69
09/01/2017	00070005678	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	5.85	0.00	5.85 86.0 5.03
09/01/2017	00070005678	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	12.86	0.00	12.86 86.0 11.06
09/01/2017	00070005678	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	29.57	0.00	29.57 86.0 25.43
09/01/2017	00070005678	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	1.88	0.00	1.88 86.0 1.62
09/01/2017	00070005679	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	3.96	0.00	3.96 86.0 3.41
09/01/2017	00070005679	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	8.89	0.00	8.89 86.0 7.65
09/01/2017	00070005679	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	20.70	0.00	20.70 86.0 17.80
09/01/2017	00070005679	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	1.28	0.00	1.28 86.0 1.10
09/01/2017	00070006913	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	8.49	0.00	8.49 86.0 7.30
09/01/2017	00070007661	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	10.65	0.00	10.65 86.0 9.16
09/01/2017	00070007661	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	20.64	0.00	20.64 86.0 17.75
09/01/2017	00070007661	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	14.09	0.00	14.09 86.0 12.12
09/01/2017	00070007665	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	1.72	0.00	1.72 86.0 1.48
09/01/2017	00070007665	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	2.25	0.00	2.25 86.0 1.94
09/01/2017	00070007665	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	3.83	0.00	3.83 86.0 3.29
09/01/2017	00070007665	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.70	0.00	3.70 86.0 3.18
09/01/2017	00070007666	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	2.78	0.00	2.78 86.0 2.39
09/01/2017	00070007666	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	3.56	0.00	3.56 86.0 3.06
09/01/2017	00070007666	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	6.21	0.00	6.21 86.0 5.34

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/01/2017	00070007666	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	6.00	0.00	6.00	86.0	5.16
09/01/2017	00070008090	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	2.71	0.00	2.71	86.0	2.33
09/01/2017	00070008090	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	1.58	0.00	1.58	86.0	1.36
09/01/2017	00070008090	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	2.40	0.00	2.40	86.0	2.06
09/01/2017	00070008090	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.83	0.00	2.83	86.0	2.43
09/01/2017	00070008848	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	0.19	0.00	0.19	86.0	0.00
09/01/2017	00070008848	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	0.20	0.00	0.20	86.0	0.00
09/01/2017	00070008848	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	0.21	0.00	0.21	86.0	0.00
09/01/2017	00070008848	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	0.19	0.00	0.19	86.0	0.00
09/01/2017	00070008848	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.21	0.00	0.21	86.0	0.00
09/01/2017	00070009898	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	8.56	0.00	8.56	86.0	7.36
09/01/2017	00070009899	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	2.64	0.00	2.64	86.0	2.27
09/01/2017	00070009899	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	3.30	0.00	3.30	86.0	2.84
09/01/2017	00070009899	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	3.78	0.00	3.78	86.0	3.25
09/01/2017	00070009899	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	2.98	0.00	2.98	86.0	2.56
09/01/2017	00070009899	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.64	0.00	3.64	86.0	3.13
09/01/2017	00073573325	CORVEDDU, SAMANTHA	AMERICAN BALANCED FUND-529A	B	0.00	5.35	0.00	5.35	86.0	4.60
09/01/2017	00073573325	CORVEDDU, SAMANTHA	NEW PERSPECTIVE FUND-529A	B	0.00	6.51	0.00	6.51	86.0	5.60
09/01/2017	00073573325	CORVEDDU, SAMANTHA	THE GROWTH FUND OF AMERICA-529A	B	0.00	7.03	0.00	7.03	86.0	6.05
09/01/2017	00073573325	CORVEDDU, SAMANTHA	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	5.77	0.00	5.77	86.0	4.96
09/01/2017	00073573410	CORVEDDU, SAMANTHA	NEW PERSPECTIVE FUND-529A	B	0.00	8.74	0.00	8.74	86.0	7.52
09/01/2017	00073573410	CORVEDDU, SAMANTHA	THE GROWTH FUND OF AMERICA-529A	B	0.00	9.52	0.00	9.52	86.0	8.19
09/01/2017	00073573410	CORVEDDU, SAMANTHA	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	6.04	0.00	6.04	86.0	5.19
09/01/2017	00073573464	CORVEDDU, SAMANTHA	AMERICAN BALANCED FUND-529A	B	0.00	10.56	0.00	10.56	86.0	9.08
09/01/2017	00073573464	CORVEDDU, SAMANTHA	NEW PERSPECTIVE FUND-529A	B	0.00	13.15	0.00	13.15	86.0	11.31
09/01/2017	00073573464	CORVEDDU, SAMANTHA	THE GROWTH FUND OF AMERICA-529A	B	0.00	13.21	0.00	13.21	86.0	11.36
09/01/2017	00073573464	CORVEDDU, SAMANTHA	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	11.18	0.00	11.18	86.0	9.61
09/01/2017	00074300216	GURDA, CHRISTINE	NEW PERSPECTIVE FUND-A	B	0.00	8.88	0.00	8.88	86.0	7.64
09/01/2017	00074300216	GURDA, CHRISTINE	THE GROWTH FUND OF AMERICA-A	B	0.00	9.32	0.00	9.32	86.0	8.02
09/01/2017	00074300216	GURDA, CHRISTINE	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	7.72	0.00	7.72	86.0	6.64
09/01/2017	00074350388	CRANSTON, RYAN	NEW PERSPECTIVE FUND-A	B	0.00	0.32	0.00	0.32	86.0	0.00
09/01/2017	00074350388	CRANSTON, RYAN	THE GROWTH FUND OF AMERICA-A	B	0.00	0.45	0.00	0.45	86.0	0.00
09/01/2017	00074350388	CRANSTON, RYAN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.28	0.00	0.28	86.0	0.00
09/01/2017	00074955800	ENSENAT, ANGELA	NEW PERSPECTIVE FUND-A	B	0.00	0.03	0.00	0.03	86.0	0.00
09/01/2017	00075147276	DONNELLAN, ROSELLA	THE INCOME FUND OF AMERICA-A	B	0.00	68.61	0.00	68.61	86.0	59.00
09/01/2017	00075906449	WILSON, KATHLEEN	NEW PERSPECTIVE FUND-A	B	0.00	20.38	0.00	20.38	86.0	17.53
09/01/2017	00075906449	WILSON, KATHLEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	22.37	0.00	22.37	86.0	19.24
09/01/2017	00075940830	HODAS, KRISTIE	NEW PERSPECTIVE FUND-A	B	0.00	7.77	0.00	7.77	86.0	6.68
09/01/2017	00075940830	HODAS, KRISTIE	THE GROWTH FUND OF AMERICA-A	B	0.00	8.11	0.00	8.11	86.0	6.97
09/01/2017	00075952291	ZOTTOLA, MONICA	NEW PERSPECTIVE FUND-A	B	0.00	5.83	0.00	5.83	86.0	5.01



COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/01/2017	00075952291	ZOTTOLA, MONICA	THE GROWTH FUND OF AMERICA-A	B	0.00	6.67	0.00	6.67	86.0	5.74
09/01/2017	00075952322	ZOTTOLA, FRANK	NEW PERSPECTIVE FUND-A	B	0.00	14.88	0.00	14.88	86.0	12.80
09/01/2017	00075952322	ZOTTOLA, FRANK	THE GROWTH FUND OF AMERICA-A	B	0.00	14.80	0.00	14.80	86.0	12.73
09/01/2017	00081138106	NOGER, DIANA	NEW PERSPECTIVE FUND-A	B	0.00	5.45	0.00	5.45	86.0	4.69
09/01/2017	00081138106	NOGER, DIANA	THE GROWTH FUND OF AMERICA-A	B	0.00	5.56	0.00	5.56	86.0	4.78
09/01/2017	00081396075	ANNICARO, KAREN	NEW PERSPECTIVE FUND-A	B	0.00	2.58	0.00	2.58	86.0	2.22
09/01/2017	00081396075	ANNICARO, KAREN	THE GROWTH FUND OF AMERICA-A	B	0.00	2.49	0.00	2.49	86.0	2.14
09/01/2017	00081536827	SZOBONYA, PATRICIA	NEW PERSPECTIVE FUND-A	B	0.00	11.59	0.00	11.59	86.0	9.97
09/01/2017	00081536827	SZOBONYA, PATRICIA	THE GROWTH FUND OF AMERICA-A	B	0.00	12.27	0.00	12.27	86.0	10.55
09/01/2017	00081536827	SZOBONYA, PATRICIA	THE INCOME FUND OF AMERICA-A	B	0.00	6.93	0.00	6.93	86.0	5.96
09/01/2017	00081536827	SZOBONYA, PATRICIA	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	7.86	0.00	7.86	86.0	6.76
09/01/2017	00081789143	PURTA, TYLER	NEW PERSPECTIVE FUND-529A	B	0.00	6.08	0.00	6.08	86.0	5.23
09/01/2017	00081789143	PURTA, TYLER	THE GROWTH FUND OF AMERICA-529A	B	0.00	6.59	0.00	6.59	86.0	5.67
09/01/2017	00081789143	PURTA, TYLER	THE INCOME FUND OF AMERICA-529A	B	0.00	5.37	0.00	5.37	86.0	4.62
09/01/2017	00081789143	PURTA, TYLER	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	5.98	0.00	5.98	86.0	5.14
09/01/2017	00081789195	PURTA, TYLER	NEW PERSPECTIVE FUND-529A	B	0.00	2.83	0.00	2.83	86.0	2.43
09/01/2017	00081789195	PURTA, TYLER	THE GROWTH FUND OF AMERICA-529A	B	0.00	2.98	0.00	2.98	86.0	2.56
09/01/2017	00081789195	PURTA, TYLER	THE INCOME FUND OF AMERICA-529A	B	0.00	2.40	0.00	2.40	86.0	2.06
09/01/2017	00081789195	PURTA, TYLER	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	2.59	0.00	2.59	86.0	2.23
09/01/2017	00082350603	HEALEY, WILLIAM	NEW PERSPECTIVE FUND-A	B	0.00	4.41	0.00	4.41	86.0	3.79
09/01/2017	00082350603	HEALEY, WILLIAM	THE GROWTH FUND OF AMERICA-A	B	0.00	4.71	0.00	4.71	86.0	4.05
09/01/2017	00082350603	HEALEY, WILLIAM	THE INCOME FUND OF AMERICA-A	B	0.00	3.96	0.00	3.96	86.0	3.41
09/01/2017	00082350603	HEALEY, WILLIAM	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	4.25	0.00	4.25	86.0	3.66
09/01/2017	00082617752	PURTA, HOLLY	AMERICAN BALANCED FUND-529A	B	0.00	4.91	0.00	4.91	86.0	4.22
09/01/2017	00082617752	PURTA, HOLLY	NEW PERSPECTIVE FUND-529A	B	0.00	4.90	0.00	4.90	86.0	4.21
09/01/2017	00082617752	PURTA, HOLLY	THE GROWTH FUND OF AMERICA-529A	B	0.00	5.42	0.00	5.42	86.0	4.66
09/01/2017	00082617752	PURTA, HOLLY	THE INCOME FUND OF AMERICA-529A	B	0.00	4.43	0.00	4.43	86.0	3.81
09/01/2017	00082617752	PURTA, HOLLY	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	5.00	0.00	5.00	86.0	4.30
09/01/2017	00082633593	PURTA, BETH	CAPITAL INCOME BUILDER-A	B	0.00	2.05	0.00	2.05	86.0	1.76
09/01/2017	00082633593	PURTA, BETH	EUROPACIFIC GROWTH FUND-A	B	0.00	1.88	0.00	1.88	86.0	1.62
09/01/2017	00082633593	PURTA, BETH	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	2.51	0.00	2.51	86.0	2.16
09/01/2017	00082961676	SOLOMON, ELIAS	NEW PERSPECTIVE FUND-529A	B	0.00	0.26	0.00	0.26	86.0	0.00
09/01/2017	00082961676	SOLOMON, ELIAS	THE GROWTH FUND OF AMERICA-529A	B	0.00	0.18	0.00	0.18	86.0	0.00
09/01/2017	00082961756	SOLOMON, ELIAS	NEW PERSPECTIVE FUND-529A	B	0.00	0.26	0.00	0.26	86.0	0.00
09/01/2017	00082961756	SOLOMON, ELIAS	THE GROWTH FUND OF AMERICA-529A	B	0.00	0.18	0.00	0.18	86.0	0.00
09/01/2017	00082965991	SOLOMON, ELIAS	NEW PERSPECTIVE FUND-529A	B	0.00	0.26	0.00	0.26	86.0	0.00
09/01/2017	00082965991	SOLOMON, ELIAS	THE GROWTH FUND OF AMERICA-529A	B	0.00	0.18	0.00	0.18	86.0	0.00
09/01/2017	00085092661	PURTA, HOLLY	AMERICAN BALANCED FUND-529A	B	0.00	4.12	0.00	4.12	86.0	3.54
09/01/2017	00085092661	PURTA, HOLLY	NEW PERSPECTIVE FUND-529A	B	0.00	4.24	0.00	4.24	86.0	3.65
09/01/2017	00085092661	PURTA, HOLLY	THE GROWTH FUND OF AMERICA-529A	B	0.00	4.79	0.00	4.79	86.0	4.12

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/01/2017	00085092661	PURTA, HOLLY		THE INCOME FUND OF AMERICA-529A	B	0.00	3.90	0.00	3.90 86.0 3.35
09/01/2017	00085194199	VURNO, MARLENE		AMERICAN BALANCED FUND-A	B	0.00	4.60	0.00	4.60 86.0 3.96
09/01/2017	00085194199	VURNO, MARLENE		AMERICAN FUND-45956T105	B	0.00	7.29	0.00	7.29 86.0 6.27
09/01/2017	00085194199	VURNO, MARLENE		AMERICAN MUTUAL FUND-A	B	0.00	3.90	0.00	3.90 86.0 3.35
09/01/2017	00085194199	VURNO, MARLENE		CAPITAL INCOME BUILDER-A	B	0.00	4.12	0.00	4.12 86.0 3.54
09/01/2017	00085194199	VURNO, MARLENE		CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	6.62	0.00	6.62 86.0 5.69
09/01/2017	00085194199	VURNO, MARLENE		EUROPACIFIC GROWTH FUND-A	B	0.00	7.62	0.00	7.62 86.0 6.55
09/01/2017	00085194199	VURNO, MARLENE		FUNDAMENTAL INVESTORS-A	B	0.00	4.09	0.00	4.09 86.0 3.52
09/01/2017	00085194199	VURNO, MARLENE		NEW PERSPECTIVE FUND-A	B	0.00	7.08	0.00	7.08 86.0 6.09
09/01/2017	00085194199	VURNO, MARLENE		NEW WORLD FUND-A	B	0.00	6.89	0.00	6.89 86.0 5.93
09/01/2017	00085194199	VURNO, MARLENE		SMALLCAP WORLD FUND-A	B	0.00	4.77	0.00	4.77 86.0 4.10
09/01/2017	00085194199	VURNO, MARLENE		THE GROWTH FUND OF AMERICA-A	B	0.00	8.30	0.00	8.30 86.0 7.14
09/01/2017	00085194199	VURNO, MARLENE		THE INCOME FUND OF AMERICA-A	B	0.00	3.19	0.00	3.19 86.0 2.74
09/01/2017	00085194199	VURNO, MARLENE		THE INVESTMENT COMPANY OF AMERICA-A B	B	0.00	7.90	0.00	7.90 86.0 6.79
09/01/2017	00085464933	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.90	0.00	0.90 86.0 0.00
09/01/2017	00085464933	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	0.53	0.00	0.53 86.0 0.00
09/01/2017	00085464933	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.81	0.00	0.81 86.0 0.00
09/01/2017	00085464933	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.04	0.00	1.04 86.0 0.89
09/01/2017	00085952045	PERRELLI,		NEW PERSPECTIVE FUND-A	B	0.00	2.74	0.00	2.74 86.0 2.36
09/01/2017	00085952045	PERRELLI,		THE GROWTH FUND OF AMERICA-A	B	0.00	3.17	0.00	3.17 86.0 2.73
09/01/2017	00086545518	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.24	0.00	0.24 86.0 0.00
09/01/2017	00086545518	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.52	0.00	0.52 86.0 0.00
09/01/2017	00086545518	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	0.48	0.00	0.48 86.0 0.00
09/01/2017	00086545644	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	0.04	0.00	0.04 86.0 0.00
09/01/2017	00086545644	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.09	0.00	0.09 86.0 0.00
09/01/2017	00086545644	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	0.03	0.00	0.03 86.0 0.00
09/01/2017	00086545644	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.22	0.00	0.22 86.0 0.00
09/01/2017	00086545644	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	0.13	0.00	0.13 86.0 0.00
09/01/2017	00086545644	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.05	0.00	0.05 86.0 0.00
09/01/2017	00086787723	CEFALU, PERRY		NEW PERSPECTIVE FUND-A	B	0.00	2.36	0.00	2.36 86.0 2.03
09/01/2017	00086787723	CEFALU, PERRY		THE GROWTH FUND OF AMERICA-A	B	0.00	1.86	0.00	1.86 86.0 1.60
09/01/2017	00086787723	CEFALU, PERRY		THE INVESTMENT COMPANY OF AMERICA-A B	B	0.00	1.28	0.00	1.28 86.0 1.10
09/01/2017	00086787723	CEFALU, PERRY		THE NEW ECONOMY FUND-A	B	0.00	2.56	0.00	2.56 86.0 2.20
09/01/2017	00086787723	CEFALU, PERRY		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.33	0.00	1.33 86.0 1.14
09/01/2017	00086787783	SUTZ, MARIA		NEW PERSPECTIVE FUND-A	B	0.00	6.15	0.00	6.15 86.0 5.29
09/01/2017	00086787783	SUTZ, MARIA		THE GROWTH FUND OF AMERICA-A	B	0.00	6.00	0.00	6.00 86.0 5.16
09/01/2017	00086787783	SUTZ, MARIA		THE INVESTMENT COMPANY OF AMERICA-A B	B	0.00	5.25	0.00	5.25 86.0 4.52
09/01/2017	00086787783	SUTZ, MARIA		THE NEW ECONOMY FUND-A	B	0.00	6.50	0.00	6.50 86.0 5.59
09/01/2017	00086787783	SUTZ, MARIA		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	5.22	0.00	5.22 86.0 4.49
09/01/2017	00087384406	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	51.28	0.00	51.28 86.0 44.10

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/01/2017	00087384416	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	1.66	0.00	1.66 86.0 1.43
09/01/2017	00087384416	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	1.40	0.00	1.40 86.0 1.20
09/01/2017	00087384416	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	37.79	0.00	37.79 86.0 32.50
09/01/2017	00087384416	HEGARTY, EILEEN		NEW WORLD FUND-A	B	0.00	1.30	0.00	1.30 86.0 1.12
09/01/2017	00087384416	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	1.74	0.00	1.74 86.0 1.50
09/01/2017	00087384416	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	1.46	0.00	1.46 86.0 1.26
09/01/2017	00087384416	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	1.67	0.00	1.67 86.0 1.44
09/01/2017	00087384416	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	4.15	0.00	4.15 86.0 3.57
09/01/2017	00087384433	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	2.06	0.00	2.06 86.0 1.77
09/01/2017	00087384433	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	2.14	0.00	2.14 86.0 1.84
09/01/2017	00087384433	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	44.03	0.00	44.03 86.0 37.87
09/01/2017	00087384433	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	1.17	0.00	1.17 86.0 1.01
09/01/2017	00087384433	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	1.31	0.00	1.31 86.0 1.13
09/01/2017	00087384446	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	3.27	0.00	3.27 86.0 2.81
09/01/2017	00087384446	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	2.84	0.00	2.84 86.0 2.44
09/01/2017	00087384446	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	2.82	0.00	2.82 86.0 2.43
09/01/2017	00087384464	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	1.07	0.00	1.07 86.0 0.92
09/01/2017	00087384478	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	20.00	0.00	20.00 86.0 17.20
09/01/2017	00087384478	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	20.20	0.00	20.20 86.0 17.37
09/01/2017	00087384507	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	0.63	0.00	0.63 86.0 0.00
09/01/2017	00087384507	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	3.04	0.00	3.04 86.0 2.61
09/01/2017	00087384507	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	2.63	0.00	2.63 86.0 2.26
09/01/2017	00087384530	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	0.50	0.00	0.50 86.0 0.00
09/01/2017	00087384530	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	0.99	0.00	0.99 86.0 0.00
09/01/2017	00087384530	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.50	0.00	0.50 86.0 0.00
09/01/2017	00087384541	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	0.36	0.00	0.36 86.0 0.00
09/01/2017	00087384541	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	0.33	0.00	0.33 86.0 0.00
09/01/2017	00087384541	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.37	0.00	0.37 86.0 0.00
09/01/2017	00087384541	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	0.30	0.00	0.30 86.0 0.00
09/01/2017	00087384541	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	1.54	0.00	1.54 86.0 1.32
09/01/2017	00087384541	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.34	0.00	0.34 86.0 0.00
09/01/2017	00087384541	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	0.37	0.00	0.37 86.0 0.00
09/01/2017	00087384541	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	0.37	0.00	0.37 86.0 0.00
09/01/2017	00087384541	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.49	0.00	1.49 86.0 1.28
09/01/2017	00087384558	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	1.20	0.00	1.20 86.0 1.03
09/01/2017	00087384558	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.16	0.00	1.16 86.0 1.00
09/01/2017	00087384565	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	1.47	0.00	1.47 86.0 1.26
09/01/2017	00087384565	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.42	0.00	1.42 86.0 1.22
09/01/2017	00087842379	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	31.30	0.00	31.30 86.0 26.92
09/01/2017	00087842394	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	5.18	0.00	5.18 86.0 4.45

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/01/2017	00088103390	BEAM, SARAH	AMERICAN FUNDS COLLEGE 2030 FUND CA B	0.00	19.97	0.00	19.97	86.0	17.17	
09/01/2017	00088103417	BEAM, SARAH	AMERICAN FUNDS COLLEGE 2030 FUND CA B	0.00	8.55	0.00	8.55	86.0	7.35	
09/01/2017	00088196549	SZOBONYA, PATRICIA	NEW PERSPECTIVE FUND-A	B	0.00	1.29	0.00	1.29	86.0	1.11
09/01/2017	00088196556	SZOBONYA,	THE GROWTH FUND OF AMERICA-A	B	0.00	1.98	0.00	1.98	86.0	1.70
09/01/2017	00088196564	UNTIL AGE 21,	NEW PERSPECTIVE FUND-529A	B	0.00	3.58	0.00	3.58	86.0	3.08
09/01/2017	00088196564	UNTIL AGE 21,	THE GROWTH FUND OF AMERICA-529A	B	0.00	3.77	0.00	3.77	86.0	3.24
09/01/2017	00088196564	UNTIL AGE 21,	THE INCOME FUND OF AMERICA-529A	B	0.00	3.28	0.00	3.28	86.0	2.82
09/01/2017	00088196564	UNTIL AGE 21,	WASHINGTON MUTUAL INVESTORS FUND-52 B	B	0.00	3.60	0.00	3.60	86.0	3.10
09/01/2017	00088196567	SZOBONYA, PATRICIA	NEW PERSPECTIVE FUND-529A	B	0.00	0.96	0.00	0.96	86.0	0.00
09/01/2017	00088196567	SZOBONYA, PATRICIA	THE GROWTH FUND OF AMERICA-529A	B	0.00	1.02	0.00	1.02	86.0	0.88
09/01/2017	00088434572	LIFRIERI, ROBERT	CAPITAL INCOME BUILDER-A	B	0.00	41.96	0.00	41.96	86.0	36.09
09/01/2017	00088434572	LIFRIERI, ROBERT	THE INCOME FUND OF AMERICA-A	B	0.00	43.18	0.00	43.18	86.0	37.13
09/01/2017	00088473199	FONSECA, SALVATORE	NEW PERSPECTIVE FUND-A	B	0.00	2.06	0.00	2.06	86.0	1.77
09/01/2017	00088473199	FONSECA, SALVATORE	THE GROWTH FUND OF AMERICA-A	B	0.00	2.08	0.00	2.08	86.0	1.79
09/01/2017	00088828020	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	0.14	0.00	0.14	86.0	0.00
09/01/2017	00088828020	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	0.15	0.00	0.15	86.0	0.00
09/01/2017	00088828020	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	0.25	0.00	0.25	86.0	0.00
09/01/2017	00088828020	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	0.60	0.00	0.60	86.0	0.00
09/01/2017	00088828020	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	0.15	0.00	0.15	86.0	0.00
09/01/2017	00088828020	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.15	0.00	0.15	86.0	0.00
09/01/2017	00088877759	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	1.44	0.00	1.44	86.0	1.24
09/01/2017	00088877759	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	4.45	0.00	4.45	86.0	3.83
09/01/2017	00089069959	PAULSON, CHARLES	AMCAP FUND-A	B	0.00	9.62	0.00	9.62	86.0	8.27
09/01/2017	00089069968	FERGUSON, JAMES	AMCAP FUND-A	B	0.00	10.53	0.00	10.53	86.0	9.06
09/01/2017	00089131125	FERGUSON, KEVIN	THE GROWTH FUND OF AMERICA-A	B	0.00	4.28	0.00	4.28	86.0	3.68
09/01/2017	00089131143	NY/TOD	AMCAP FUND-A	B	0.00	2.59	0.00	2.59	86.0	2.23
09/01/2017	00089131143	NY/TOD	CAPITAL INCOME BUILDER-A	B	0.00	2.48	0.00	2.48	86.0	2.13
09/01/2017	00089131143	NY/TOD	NEW PERSPECTIVE FUND-A	B	0.00	2.28	0.00	2.28	86.0	1.96
09/01/2017	00089364755	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	4.04	0.00	4.04	86.0	3.47
09/01/2017	00089364772	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	3.91	0.00	3.91	86.0	3.36
09/01/2017	00089364818	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	0.13	0.00	0.13	86.0	0.00
09/01/2017	00089364818	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	0.67	0.00	0.67	86.0	0.00
09/01/2017	00089364818	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	0.55	0.00	0.55	86.0	0.00
09/01/2017	00089364843	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	0.83	0.00	0.83	86.0	0.00
09/01/2017	00089364843	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	0.61	0.00	0.61	86.0	0.00
09/01/2017	00089364843	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	0.59	0.00	0.59	86.0	0.00
09/01/2017	00089364861	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	6.79	0.00	6.79	86.0	5.84
09/01/2017	00089364874	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	3.39	0.00	3.39	86.0	2.92
09/01/2017	00089364874	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	3.30	0.00	3.30	86.0	2.84
09/01/2017	00089364889	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	1.38	0.00	1.38	86.0	1.19

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/01/2017	00089364889	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.38	0.00	1.38	86.0	1.19
09/01/2017	00089364925	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	0.11	0.00	0.11	86.0	0.00
09/01/2017	00089364925	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	0.22	0.00	0.22	86.0	0.00
09/01/2017	00089364925	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.11	0.00	0.11	86.0	0.00
09/01/2017	00089376062	BLISS, MASON	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	0.18	0.00	0.18	86.0	0.00
09/01/2017	00089378227	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.38	0.00	0.38	86.0	0.00
09/01/2017	00089378259	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	0.43	0.00	0.43	86.0	0.00
09/01/2017	00089378259	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	0.43	0.00	0.43	86.0	0.00
09/01/2017	00089378259	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	0.26	0.00	0.26	86.0	0.00
09/01/2017	00089378259	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	0.15	0.00	0.15	86.0	0.00
09/01/2017	00089378259	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.14	0.00	0.14	86.0	0.00
09/01/2017	00089378412	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	0.11	0.00	0.11	86.0	0.00
09/01/2017	00089378412	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	0.27	0.00	0.27	86.0	0.00
09/01/2017	00089378412	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	0.27	0.00	0.27	86.0	0.00
09/01/2017	00089378412	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	0.25	0.00	0.25	86.0	0.00
09/01/2017	00089378412	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.11	0.00	0.11	86.0	0.00
09/01/2017	00089545290	RONGA, DANIEL	NEW PERSPECTIVE FUND-A	B	0.00	3.14	0.00	3.14	86.0	2.70
09/01/2017	00089545290	RONGA, DANIEL	THE GROWTH FUND OF AMERICA-A	B	0.00	3.24	0.00	3.24	86.0	2.79
09/01/2017	00089780173	SCHEURER, SEAN	CAPITAL INCOME BUILDER-A	B	0.00	0.93	0.00	0.93	86.0	0.00
09/01/2017	00089780173	SCHEURER, SEAN	NEW PERSPECTIVE FUND-A	B	0.00	0.28	0.00	0.28	86.0	0.00
09/01/2017	00089780173	SCHEURER, SEAN	THE GROWTH FUND OF AMERICA-A	B	0.00	1.05	0.00	1.05	86.0	0.90
09/01/2017	00089780173	SCHEURER, SEAN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.03	0.00	1.03	86.0	0.89
09/01/2017	00089900960	MCMAHON, LAURA	NEW PERSPECTIVE FUND-A	B	0.00	38.45	0.00	38.45	86.0	33.07
09/01/2017	00089900960	MCMAHON, LAURA	THE GROWTH FUND OF AMERICA-A	B	0.00	29.61	0.00	29.61	86.0	25.46
09/01/2017	00089900960	MCMAHON, LAURA	THE INCOME FUND OF AMERICA-A	B	0.00	20.88	0.00	20.88	86.0	17.96
09/01/2017	00089900960	MCMAHON, LAURA	THE NEW ECONOMY FUND-A	B	0.00	15.24	0.00	15.24	86.0	13.11
09/01/2017	00089900960	MCMAHON, LAURA	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	42.66	0.00	42.66	86.0	36.69
09/01/2017	04000292411	CUNICELLI, LILY	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.01	0.00	0.01	86.0	0.00
09/01/2017	04000468432	CUNICELLI, LILY	NEW PERSPECTIVE FUND-A	B	0.00	0.14	0.00	0.14	86.0	0.00
09/01/2017	04000468432	CUNICELLI, LILY	THE GROWTH FUND OF AMERICA-A	B	0.00	0.14	0.00	0.14	86.0	0.00
09/01/2017	04000468432	CUNICELLI, LILY	THE INCOME FUND OF AMERICA-A	B	0.00	0.14	0.00	0.14	86.0	0.00
09/01/2017	04000468432	CUNICELLI, LILY	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.14	0.00	0.14	86.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$8,749.11	\$0.00	\$8,749.11		\$7,481.55
*** BROKER TRANSACTIONS TOTAL ***					\$41,940.39	\$57,462.08	\$0.00	\$57,462.08		\$49,202.96

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

09/29/2017		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00
09/29/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
09/29/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
09/29/2017		OTHER: VIRTRU		0.00	0.00	0.00	0.00		-7.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-235.00

BROKER COMMISSION TOTALS

Broker Transactions	\$49,202.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-235.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-71.83
SIPC Assessment	\$-143.66

Check Amount	\$48,752.47
Year-to-date Earnings	\$61,235.79