



Concorde Investment Services, LLC

08/14/2017
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COMMISSION STATEMENT

August 15, 2017

THOMAS HALSEY
7261 W. Central Ave.

Toledo, OH 43617

BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/28/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	2.13
08/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	85.0	14.45
06/30/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.43	0.00	0.43	85.0	0.37
06/30/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.43	0.00	0.43	85.0	0.37
06/30/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	7.79	0.00	7.79	85.0	6.62
06/30/2017	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	1.18	0.00	1.18	85.0	1.00
06/30/2017	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	1.16	0.00	1.16	85.0	0.99
06/30/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	11.07	0.00	11.07	85.0	9.41
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.49	0.00	7.49	85.0	6.37
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	3.46	0.00	3.46	85.0	2.94
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	4.84	0.00	4.84	85.0	4.11
/ /	KRAL, ADAM	FIDELITY ADV HEALTH CARE T	0.00	1.22	0.00	1.22	85.0	1.04
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	6.26	0.00	6.26	85.0	5.32
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	4.26	0.00	4.26	85.0	3.62
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	4.22	0.00	4.22	85.0	3.59
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	6.15	0.00	6.15	85.0	5.23
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	6.65	0.00	6.65	85.0	5.65
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	8.82	0.00	8.82	85.0	7.50
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	3.33	0.00	3.33	85.0	2.83
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.21	0.00	5.21	85.0	4.43
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	6.19	0.00	6.19	85.0	5.26
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	6.09	0.00	6.09	85.0	5.18
/ /	KRAL, ADAM	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.06	0.00	0.06	85.0	0.05
/ /	KRAL, ADAM	FIDELITY MIDCAP T	0.00	0.98	0.00	0.98	85.0	0.83
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	14.43	0.00	14.43	85.0	12.27
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.73	0.00	6.73	85.0	5.72

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	6.49	0.00	6.49	85.0	5.52
07/31/2017	DESELMS, RAYMOND	FRANKLIN MI TAX FR INCOME A	0.00	64.59	0.00	64.59	85.0	54.90
07/31/2017	ZORNOW TRUST, BETTY	FRANKLIN MI TAX FR INCOME A	0.00	35.88	0.00	35.88	85.0	30.50
07/31/2017	DIENER, JUDITH	FRANKLIN MI TAX FR INCOME A	0.00	49.85	0.00	49.85	85.0	42.37
07/31/2017	ZORNOW TRUST, BETTY	FRANKLIN MI TAX FR INCOME A	0.00	25.66	0.00	25.66	85.0	21.81
07/31/2017	PRZBYLSKI, MICHAEL	FRANKLIN OH TAX FR INCOME FUND	0.00	15.99	0.00	15.99	85.0	13.59
07/31/2017	BUEHRER, DANIEL	FRANKLIN OH TAX FR INCOME FUND	0.00	65.28	0.00	65.28	85.0	55.49
07/31/2017	PRZYBYLSKI, JOSHUA	FRANKLIN OH TAX FR INCOME FUND	0.00	0.43	0.00	0.43	85.0	0.37
07/31/2017	BAKER, ELAINE	FRANKLIN OH TAX FR INCOME FUND	0.00	35.80	0.00	35.80	85.0	30.43
07/31/2017	MINCK, JOSEPH	FRANKLIN OH TAX FR INCOME FUND	0.00	37.09	0.00	37.09	85.0	31.53
07/31/2017	FISHER, EUGENE	FRANKLIN OH TAX FR INCOME FUND	0.00	9.72	0.00	9.72	85.0	8.26
07/06/2017	JOHNSON, CHARLES	MAINSTAY CORNERSTONE GRW FD	0.00	0.53	0.00	0.53	85.0	0.45
07/06/2017	JOHNSON, LINDA	MAINSTAY CORNERSTONE GRW FD	0.00	0.51	0.00	0.51	85.0	0.43
07/06/2017	JOHNSON, CHARLES	MAINSTAY CORNERSTONE GRW FD	0.00	2.94	0.00	2.94	85.0	2.50
07/06/2017	JOHNSON, CHARLES	MAINSTAY US SMALL CAP INV	0.00	0.62	0.00	0.62	85.0	0.53
07/06/2017	JOHNSON, LINDA	MAINSTAY US SMALL CAP INV	0.00	0.61	0.00	0.61	85.0	0.52
07/06/2017	JOHNSON, CHARLES	MAINSTAY US SMALL CAP INV	0.00	4.90	0.00	4.90	85.0	4.17
07/31/2017	DESELMS, RAYMOND	TEMPLETON WORLD A	0.00	0.93	0.00	0.93	85.0	0.79
* MUTUAL FUNDS SUBTOTAL *			\$450.00	\$495.77	\$0.00	\$495.77		\$421.44
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/31/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	182,588.92	152.16	0.00	152.16	85.0	129.34
07/31/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	18,634.36	15.53	0.00	15.53	85.0	13.20
07/31/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	52,973.33	44.14	0.00	44.14	85.0	37.52
07/31/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	41,887.91	34.91	0.00	34.91	85.0	29.67
* VARIABLE ANNUITIES SUBTOTAL *			\$296,084.52	\$246.74	\$0.00	\$246.74		\$209.73
*** BROKER TRANSACTIONS TOTAL ***			\$296,534.52	\$742.51	\$0.00	\$742.51		\$631.17

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
08/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

BROKER COMMISSION TOTALS

Broker Transactions	\$631.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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Balance Forward	\$56.17
Year-to-date Gross	\$38,990.34
Year-to-date Earnings	\$33,303.49

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