

**COMMISSION STATEMENT****January 31, 2018**

ROBERT LUCHSINGER
600 Johnson Ave.
Suite B5
Bohemia, NY 11716

BROKER #: L117
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
01/12/2018	PATTERSON, BARBARA	TIER REIT INC COM NEW	-26,789.54	60.00	24.06	35.94	95.0	34.14
01/12/2018	FOURNIER, ELIZABETH	TIER REIT INC COM NEW	-1,948.57	30.00	22.50	7.50	95.0	7.13
* STOCKS SUBTOTAL *			\$-28,738.11	\$90.00	\$46.56	\$43.44		\$41.27
*** CLEARING TRANSACTIONS TOTAL ***			\$-28,738.11	\$90.00	\$46.56	\$43.44		\$41.27

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/16/2018	DOUGLAS, ANDREA	AM FUNDS ICA A	10,000.00	425.00	0.00	425.00	95.0	403.75
01/16/2018	HANAK, EMMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	10,000.00	425.00	0.00	425.00	95.0	403.75
01/19/2018	HANAK, EMMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	297.29	12.63	0.00	12.63	95.0	12.00
01/11/2018	HANAK, EMMA	EATON VANCE NATIONAL MUNICIPAL INCO	50,000.00	1,250.00	0.00	1,250.00	95.0	1,187.50
/ /	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	0.00	1.12	0.00	1.12	95.0	1.06
01/02/2018	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
01/11/2018	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
01/11/2018	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
01/02/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
01/02/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
01/11/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
01/11/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
01/02/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
01/02/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
01/11/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
01/11/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
01/02/2018	PRYDETOKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
01/02/2018	PRYDETOKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/11/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
01/11/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
01/10/2018	ALBANESE, MICHAEL	OPPENHEIMER MAIN ST SMALL & MID CAP	100.00	1.00	0.00	1.00	95.0	0.95
01/02/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
01/02/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
01/11/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
01/11/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
* MUTUAL FUNDS SUBTOTAL *			\$71,155.28	\$2,126.91	\$0.00	\$2,126.91		\$2,020.53
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/10/2018	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	1,000.00	55.00	0.00	55.00	95.0	52.25
* VARIABLE ANNUITIES SUBTOTAL *			\$1,000.00	\$55.00	\$0.00	\$55.00		\$52.25
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/24/2018	LUCHSINGER, VARIOUS	AMERICAN FUNDS PERSHING	0.00	0.03	0.00	0.03	95.0	0.03
01/04/2018	LUCHSINGER, ELIZABETH	COLUMBIA GREATER CHINA A	0.00	5.29	0.00	5.29	95.0	5.03
01/04/2018	532 COUNTY RT 111	COLUMBIA LG VALUE QUANTITATIVE T	0.00	0.00	0.00	0.00	95.0	0.00
01/04/2018	LUCHSINGER, ELIZABETH	COLUMBIA MIDCAP VALUE A	0.00	4.39	0.00	4.39	95.0	4.17
01/04/2018	BREITFELLER, CYNTHIA	COLUMBIA STRATEGIC INCOME A	0.00	0.42	0.00	0.42	95.0	0.40
12/31/2017	HANAK, EMMA	EATON VANCE NATIONAL MUNICIPAL INCO	0.00	32.25	0.00	32.25	95.0	30.64
12/29/2017	YBAY, ANTONIO	PACIFIC LIFE	0.00	10.93	0.00	10.93	95.0	10.38
12/29/2017	YBAY, ANTONIO	PACIFIC LIFE	0.00	32.88	0.00	32.88	95.0	31.24
12/29/2017	GOLDIE, JAMES	PACIFIC LIFE	0.00	9.84	0.00	9.84	95.0	9.35
12/29/2017	DORIO, JAMES	PACIFIC LIFE	0.00	0.07	0.00	0.07	95.0	0.07
12/29/2017	KUDLEK, GREGORY	PACIFIC LIFE	0.00	3.58	0.00	3.58	95.0	3.40
12/29/2017	YBAY, ANTONIO	PACIFIC LIFE	0.00	3.92	0.00	3.92	95.0	3.72
12/29/2017	YBAY, ANTONIO	PACIFIC LIFE	0.00	5.03	0.00	5.03	95.0	4.78
12/29/2017	HAZEN, JAMES	PACIFIC LIFE	0.00	45.95	0.00	45.95	95.0	43.65
01/08/2018	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.47	0.00	2.47	95.0	2.35
01/08/2018	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.73	0.00	2.73	95.0	2.59
01/08/2018	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	1.38	0.00	1.38	95.0	1.31
01/08/2018	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	2.19	0.00	2.19	95.0	2.08
01/08/2018	CHAPMAN, CLARENCE	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	0.27	0.00	0.27	95.0	0.26
01/08/2018	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.36	0.00	1.36	95.0	1.29

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/08/2018	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.67	0.00	1.67	95.0	1.59
01/08/2018	ROBERTO, JOSEPH	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	7.07	0.00	7.07	95.0	6.72
01/08/2018	BREITFELLER, CYNTHIA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	0.21	0.00	0.21	95.0	0.20
01/08/2018	BREITFELLER, CYNTHIA	SUNAMERICA SENIOR FLOATING RATE A	0.00	0.80	0.00	0.80	95.0	0.76
01/08/2018	TIERNAN, JOAN	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.73	0.00	1.73	95.0	1.64
01/08/2018	TIERNAN, JOAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.45	0.00	1.45	95.0	1.38
01/08/2018	SEAMAN, PATRICIA	SUNAMERICA US GOVT SECURITIES CL A	0.00	6.43	0.00	6.43	95.0	6.11
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$184.34	\$0.00	\$184.34		\$175.14
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/08/2018	HAZEN, JAMES	PACIFIC LIFE TRAILS	134.23	1.34	0.00	1.34	95.0	1.27
01/09/2018	HAZEN, JAMES	PACIFIC LIFE TRAILS	147.30	1.47	0.00	1.47	95.0	1.40
01/14/2018	LENTINI, ANNA	ING LANDMARK	223,537.09	698.55	0.00	698.55	95.0	663.62
01/02/2018	GRANT, JOHN	PAC LIFE INNOVATIVE SELECT NY	0.00	129.76	0.00	129.76	95.0	123.27
01/03/2018	YBAY, ERLINDA	PAC LIFE INNOVATIVE SELECT NY	0.00	523.59	0.00	523.59	95.0	497.41
01/08/2018	ALBANESE, MICHAEL	PAC LIFE INNOVATIVE SELECT NY	0.00	644.01	0.00	644.01	95.0	611.81
01/08/2018	BECKWITH, DOROTHY	PAC LIFE INNOVATIVE SELECT NY	0.00	82.07	0.00	82.07	95.0	77.97
01/09/2018	LOITSCH, DIANE	PAC LIFE INNOVATIVE SELECT NY	0.00	262.24	0.00	262.24	95.0	249.13
01/09/2018	MINARDI, LOUIS	PAC LIFE INNOVATIVE SELECT NY	0.00	326.60	0.00	326.60	95.0	310.27
01/12/2018	CORREIA, MARIA	PAC LIFE INNOVATIVE SELECT NY	0.00	85.13	0.00	85.13	95.0	80.87
01/12/2018	CORREIA, MARIA	PAC LIFE INNOVATIVE SELECT NY	0.00	75.44	0.00	75.44	95.0	71.67
01/12/2018	CORREIA, LUDOVINO	PAC LIFE INNOVATIVE SELECT NY	0.00	106.53	0.00	106.53	95.0	101.20
01/12/2018	CORREIA, LUDOVINO	PAC LIFE INNOVATIVE SELECT NY	0.00	85.22	0.00	85.22	95.0	80.96
01/12/2018	FORMANEK, CAROL	PACIFIC LIFE CHOICE	0.00	222.20	0.00	222.20	95.0	211.09
01/12/2018	CACASE, KERRI	PACIFIC LIFE CHOICE	0.00	89.88	0.00	89.88	95.0	85.39
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$223,818.62	\$3,334.03	\$0.00	\$3,334.03		\$3,167.33
*** DIRECT TRANSACTIONS TOTAL ***			\$295,973.90	\$5,700.28	\$0.00	\$5,700.28		\$5,415.25

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: L149-ROBERT LUCHSINGER #388 </u>								
01/08/2018	LEAVY JT TEN, FLORENCE	AM FUNDS ICA A	15,000.00	750.00	0.00	750.00	0.0	300.00
01/24/2018	LUCHSINGER, VARIOUS	AMERICAN FUNDS PERSHING	0.00	208.03	0.00	208.03	0.0	83.21
01/12/2018	FOELL, DIANA	ING EMPIRE INNOVATIONS STD OPT A	15,128.83	37.82	0.00	37.82	0.0	15.13
01/14/2018	PANDOLFO, CATHERINE	ING EMPIRE INNOVATIONS STD OPT A	207,228.77	518.07	0.00	518.07	0.0	207.23
12/29/2017	KNAACK, PATRICIA	PACIFIC LIFE	0.00	27.00	0.00	27.00	0.0	10.80
12/29/2017	ROARKE, MARY ELIZABETH	PACIFIC LIFE	0.00	81.51	0.00	81.51	0.0	32.60
12/29/2017	NEVIN, DOUGLAS	PACIFIC LIFE	0.00	0.28	0.00	0.28	0.0	0.11
12/29/2017	COSTELLO, KEVIN	PACIFIC LIFE	0.00	9.70	0.00	9.70	0.0	3.88
12/29/2017	MALIA, EDWARD	PACIFIC LIFE	0.00	5.57	0.00	5.57	0.0	2.23
12/29/2017	CAPASSO, DEBRA	PACIFIC LIFE	0.00	34.02	0.00	34.02	0.0	13.61
12/29/2017	KNAACK, PATRICIA	PACIFIC LIFE	0.00	1.28	0.00	1.28	0.0	0.51
12/29/2017	MALIA, EDWARD	PACIFIC LIFE	0.00	7.19	0.00	7.19	0.0	2.88
12/29/2017	LOITSCH, DIANE	PACIFIC LIFE	0.00	10.35	0.00	10.35	0.0	4.14
12/29/2017	CAPASSO, MICHAEL	PACIFIC LIFE	0.00	30.43	0.00	30.43	0.0	12.17
12/29/2017	ROARKE, MARY ELIZABETH	PACIFIC LIFE	0.00	84.63	0.00	84.63	0.0	33.85
12/29/2017	MALIA, EDWARD	PACIFIC LIFE	0.00	9.60	0.00	9.60	0.0	3.84
12/29/2017	CASKIN, KENNETH	PACIFIC LIFE	0.00	4.48	0.00	4.48	0.0	1.79
12/29/2017	COSTELLO, KEVIN	PACIFIC LIFE	0.00	0.09	0.00	0.09	0.0	0.04
12/29/2017	CIOS, ANNA	PACIFIC LIFE	0.00	1.33	0.00	1.33	0.0	0.53
12/29/2017	TERMINE, MASON	PACIFIC LIFE	0.00	1.33	0.00	1.33	0.0	0.53
12/29/2017	CIOS, ROCCO	PACIFIC LIFE	0.00	1.60	0.00	1.60	0.0	0.64
12/29/2017	CAPASSO, CRISTINA	PACIFIC LIFE	0.00	9.46	0.00	9.46	0.0	3.78
12/29/2017	CAPASSO, LAURA	PACIFIC LIFE	0.00	7.55	0.00	7.55	0.0	3.02
12/29/2017	CASKIN, MEGAN	PACIFIC LIFE	0.00	1.49	0.00	1.49	0.0	0.60
12/29/2017	TERMINE, MILO	PACIFIC LIFE	0.00	1.11	0.00	1.11	0.0	0.44
12/29/2017	SEAMAN, SUSAN	PACIFIC LIFE	0.00	2.51	0.00	2.51	0.0	1.00
12/29/2017	SEAMAN, SUSAN	PACIFIC LIFE	0.00	2.82	0.00	2.82	0.0	1.13
12/29/2017	SEAMAN, SUSAN	PACIFIC LIFE	0.00	5.50	0.00	5.50	0.0	2.20
12/29/2017	CHIAPPERINO, LORRAINE	PACIFIC LIFE	0.00	10.66	0.00	10.66	0.0	4.26
12/29/2017	SEAMAN, SUSAN	PACIFIC LIFE	0.00	2.80	0.00	2.80	0.0	1.12
12/29/2017	TERMINE, ALLISON	PACIFIC LIFE	0.00	7.90	0.00	7.90	0.0	3.16
12/29/2017	TERMINE, ALLISON	PACIFIC LIFE	0.00	6.26	0.00	6.26	0.0	2.50
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	5.20	0.00	5.20	0.0	2.08

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<u>VERRIDE TRANSACTIONS</u>								
BROKER: L149-ROBERT LUCHSINGER #388								
01/08/2018	WESTRENEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.52	0.00	1.52	0.0	0.61
01/08/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	12.40	0.00	12.40	0.0	4.96
01/08/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.99	0.00	3.99	0.0	1.60
01/08/2018	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.50	0.00	1.50	0.0	0.60
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$237,357.60	\$1,906.98	\$0.00	\$1,906.98		\$762.78
*** OVERRIDE TRANSACTIONS TOTAL ***			\$237,357.60	\$1,906.98	\$0.00	\$1,906.98		\$762.78

BROKER COMMISSION TOTALS

Broker Transactions	\$5,456.52
Split Transactions	\$0.00
Override Transactions	\$762.78
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,219.30
Year-to-date Gross	\$27,860.96
Year-to-date Earnings	\$27,154.76

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