

**COMMISSION STATEMENT****December 15, 2017**

THOMAS HALSEY  
7261 W. Central Ave.

BROKER #: H121  
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/28/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	-50.00	-2.50	0.00	-2.50	85.0	-2.13
12/06/2017	RICHARDS, STEPHEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	2,700.00	135.00	0.00	135.00	85.0	114.75
/ /	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	37.50	0.00	37.50	85.0	31.88
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$2,650.00</b>	<b>\$170.00</b>	<b>\$0.00</b>	<b>\$170.00</b>		<b>\$144.50</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
11/30/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	189,774.92	158.15	0.00	158.15	85.0	134.43
11/30/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	19,252.15	16.04	0.00	16.04	85.0	13.63
11/30/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	54,729.56	45.61	0.00	45.61	85.0	38.77
11/30/2017	DESELMs, EVELYN	JOHN HANCOCK VENTURE III	43,276.63	36.06	0.00	36.06	85.0	30.65
11/28/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	4.68
11/29/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
11/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	138,303.22	115.25	0.00	115.25	85.0	97.96
11/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	110,744.61	92.29	0.00	92.29	85.0	78.45
11/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	86,897.77	72.41	0.00	72.41	85.0	61.55
11/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	240,021.81	200.02	0.00	200.02	85.0	170.02
11/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	103,106.79	85.92	0.00	85.92	85.0	73.03
11/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	144,808.80	120.67	0.00	120.67	85.0	102.57
11/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	154,797.24	129.00	0.00	129.00	85.0	109.65
11/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	194,892.95	162.41	0.00	162.41	85.0	138.05
11/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	246,770.96	205.64	0.00	205.64	85.0	174.79
11/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	135,949.77	113.29	0.00	113.29	85.0	96.30
11/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	179,837.83	149.86	0.00	149.86	85.0	127.38
11/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	111,809.36	93.17	0.00	93.17	85.0	79.19
11/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	96,593.23	80.49	0.00	80.49	85.0	68.42
11/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	90,586.89	75.49	0.00	75.49	85.0	64.17
11/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	139,696.35	116.41	0.00	116.41	85.0	98.95

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
11/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	67,963.55	56.64	0.00	56.64	85.0	48.14
11/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	29,488.96	24.57	0.00	24.57	85.0	20.88
11/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	225,584.99	187.99	0.00	187.99	85.0	159.79
11/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	70,670.66	58.89	0.00	58.89	85.0	50.06
11/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	70,670.66	58.89	0.00	58.89	85.0	50.06
11/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	70,670.66	58.89	0.00	58.89	85.0	50.06
11/20/2017	RICHARDS, STEPHEN	TRANSAMERICA LIBERTY	107,780.63	89.82	0.00	89.82	85.0	76.35
11/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	204,482.11	170.40	0.00	170.40	85.0	144.84
11/22/2017	AUGUSTYNIAK JR, ROGER	TRANSAMERICA LIBERTY	435,365.00	362.80	0.00	362.80	85.0	308.38
11/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	95,556.11	79.63	0.00	79.63	85.0	67.69
11/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	411,563.14	342.97	0.00	342.97	85.0	291.52
11/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	72,907.49	60.76	0.00	60.76	85.0	51.65
11/26/2017	ANNESSER, CAROL	TRANSAMERICA LIBERTY	116,041.98	96.70	0.00	96.70	85.0	82.20
11/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	125,738.11	104.78	0.00	104.78	85.0	89.06
11/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	29,259.81	24.38	0.00	24.38	85.0	20.72
11/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	68,138.70	56.78	0.00	56.78	85.0	48.26
11/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	37,177.76	30.98	0.00	30.98	85.0	26.33
11/30/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	48,980.97	40.82	0.00	40.82	85.0	34.70
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$4,770,042.13</b>	<b>\$3,983.12</b>	<b>\$0.00</b>	<b>\$3,983.12</b>		<b>\$3,385.67</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

12/01/2017	ABBE JR, GARY	AM FUNDS AMCAP A	0.00	1.83	0.00	1.83	85.0	1.56
12/01/2017	PAPIO, AARON	AM FUNDS AMCAP A	0.00	0.61	0.00	0.61	85.0	0.52
12/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	5.81	0.00	5.81	85.0	4.94
12/01/2017	NOWAK, COLETTE	AM FUNDS AMERICAN BALANCED A	0.00	0.87	0.00	0.87	85.0	0.74
12/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS AMERICAN BALANCED A	0.00	3.73	0.00	3.73	85.0	3.17
12/01/2017	FOSTER, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.48	0.00	0.48	85.0	0.41
12/01/2017	WRAY, KIM	AM FUNDS AMERICAN BALANCED A	0.00	10.91	0.00	10.91	85.0	9.27
12/01/2017	PAPIO, JOE	AM FUNDS AMERICAN BALANCED A	0.00	0.64	0.00	0.64	85.0	0.54
12/01/2017	DENHAM, CONSTANCE	AM FUNDS AMERICAN BALANCED A	0.00	2.01	0.00	2.01	85.0	1.71
12/01/2017	ZORNOW TRUST, BETTY	AM FUNDS AMERICAN BALANCED A	0.00	9.81	0.00	9.81	85.0	8.34
12/01/2017	FRANTZ, JUDITH	AM FUNDS AMERICAN BALANCED A	0.00	0.36	0.00	0.36	85.0	0.31
12/01/2017	MEREDITH, TERESE	AM FUNDS AMERICAN BALANCED A	0.00	2.36	0.00	2.36	85.0	2.01
12/01/2017	RIEBE, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	4.53	0.00	4.53	85.0	3.85

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	KRAMER, DELANEY	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	85.0	0.19
12/01/2017	KRAMER, KARYS	AM FUNDS AMERICAN BALANCED A	0.00	0.26	0.00	0.26	85.0	0.22
12/01/2017	SAPP, ANGELAMARIE	AM FUNDS AMERICAN BALANCED A	0.00	0.69	0.00	0.69	85.0	0.59
12/01/2017	ALDRICH, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	85.0	0.19
12/01/2017	LEININGER, KENNETH	AM FUNDS AMERICAN BALANCED A	0.00	3.10	0.00	3.10	85.0	2.64
12/01/2017	PERRY, CAROL	AM FUNDS AMERICAN BALANCED A	0.00	18.80	0.00	18.80	85.0	15.98
12/01/2017	GERE, AMY	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	85.0	0.19
12/01/2017	DIENER, RONALD	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	9.48	0.00	9.48	85.0	8.06
12/01/2017	RIEBE, MICHAEL	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	1.04	0.00	1.04	85.0	0.88
12/01/2017	WRAY, KIM	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	1.29	0.00	1.29	85.0	1.10
12/01/2017	FRANTZ, JUDITH	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.41	0.00	0.41	85.0	0.35
12/01/2017	RICHARDS, STEPHEN	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	1.88	0.00	1.88	85.0	1.60
12/01/2017	DESELMS, RAYMOND	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.21	0.00	0.21	85.0	0.18
12/01/2017	HALSEY, WILLIAM	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.17	0.00	0.17	85.0	0.14
12/01/2017	HARRISON, JERRY	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	2.20	0.00	2.20	85.0	1.87
12/01/2017	PERRY, CAROL	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	11.80	0.00	11.80	85.0	10.03
12/01/2017	VESEY, CAROL	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.35	0.00	0.35	85.0	0.30
12/01/2017	DIENER, RONALD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	19.67	0.00	19.67	85.0	16.72
12/01/2017	DESELMS, ROBERT	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.67	0.00	1.67	85.0	1.42
12/01/2017	DESELMS, ELEVLYN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	6.92	0.00	6.92	85.0	5.88
12/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.86	0.00	3.86	85.0	3.28
12/01/2017	PRZYBYLSKI, JEREMIAH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.43	0.00	0.43	85.0	0.37
12/01/2017	FRANTZ, JUDITH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.68	0.00	0.68	85.0	0.58
12/01/2017	BAKER, ELAINE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.31	0.00	5.31	85.0	4.51
12/01/2017	NOWAK, COLETTE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.71	0.00	0.71	85.0	0.60
12/01/2017	BAUMGARTNER, DIANA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.47	0.00	5.47	85.0	4.65
12/01/2017	DESELMS, RAYMOND	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.21	0.00	1.21	85.0	1.03
12/01/2017	RIEBE, MICHAEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.73	0.00	0.73	85.0	0.62
12/01/2017	HAWBLITZ, PETER	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.42	0.00	3.42	85.0	2.91
12/01/2017	WRAY, KIM	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.30	0.00	5.30	85.0	4.51
12/01/2017	ZORNOW TRUST, BETTY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	7.87	0.00	7.87	85.0	6.69
12/01/2017	FRANTZ, JUDITH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.26	0.00	0.26	85.0	0.22
12/01/2017	DESELMS, RAYMOND	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.37	0.00	0.37	85.0	0.31

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	HALSEY, WILLIAM	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.18	0.00	0.18	85.0	0.15
12/01/2017	ABBE JR, GARY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.79	0.00	1.79	85.0	1.52
12/01/2017	HARRISON, JERRY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.43	0.00	1.43	85.0	1.22
12/01/2017	DREEZE, MARCELINA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.74	0.00	0.74	85.0	0.63
12/01/2017	MI/TOD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.41	0.00	1.41	85.0	1.20
12/01/2017	PERRY, CAROL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	16.52	0.00	16.52	85.0	14.04
12/01/2017	VESEY, CAROL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.74	0.00	0.74	85.0	0.63
12/01/2017	MEREDITH, JEFFERY	AM FUNDS AMERICAN MUTUAL A	0.00	2.41	0.00	2.41	85.0	2.05
12/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS BOND FUND OF AMERICA A	0.00	3.32	0.00	3.32	85.0	2.82
12/01/2017	FOSTER, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.33	0.00	0.33	85.0	0.28
12/01/2017	ZORNOW TRUST, BETTY	AM FUNDS BOND FUND OF AMERICA A	0.00	4.29	0.00	4.29	85.0	3.65
12/01/2017	RIEBE, MICHAEL	AM FUNDS BOND FUND OF AMERICA A	0.00	3.38	0.00	3.38	85.0	2.87
12/01/2017	HALSEY, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.17	0.00	0.17	85.0	0.14
12/01/2017	DIENER, RONALD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.25	0.00	9.25	85.0	7.86
12/01/2017	DESELMS, ELEVLYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.83	0.00	8.83	85.0	7.51
12/01/2017	KREMPEC, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.82	0.00	0.82	85.0	0.70
12/01/2017	LEININGER, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.34	0.00	1.34	85.0	1.14
12/01/2017	FRANTZ, JUDITH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.12	0.00	1.12	85.0	0.95
12/01/2017	BAKER, ELAINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.83	0.00	5.83	85.0	4.96
12/01/2017	NOWAK, COLETTE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.74	0.00	0.74	85.0	0.63
12/01/2017	BAUMGARTNER, DIANA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.53	0.00	4.53	85.0	3.85
12/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.49	0.00	2.49	85.0	2.12
12/01/2017	FOSTER, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.37	0.00	1.37	85.0	1.16
12/01/2017	WRAY, KIM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.17	0.00	13.17	85.0	11.19
12/01/2017	EYLER, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.30	0.00	6.30	85.0	5.36
12/01/2017	JOHNSON, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.01	0.00	1.01	85.0	0.86
12/01/2017	ZORNOW TRUST, BETTY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.17	0.00	7.17	85.0	6.09
12/01/2017	MEREDITH, TERESE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.82	0.00	1.82	85.0	1.55
12/01/2017	DESELMS, RAYMOND	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.28	0.00	0.28	85.0	0.24
12/01/2017	KRIEGER, RENEE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.24	0.00	9.24	85.0	7.85
12/01/2017	RIEBE, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.71	0.00	3.71	85.0	3.15
12/01/2017	FISHER, SCOTT TW	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.40	0.00	3.40	85.0	2.89
12/01/2017	FRENCH, VICTORIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.26	0.00	0.26	85.0	0.22

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	FRENCH, RICHARD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.26	0.00	0.26	85.0	0.22
12/01/2017	BELKOFER, STACEY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.49	0.00	0.49	85.0	0.42
12/01/2017	BELKOFER, STACEY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.58	0.00	0.58	85.0	0.49
12/01/2017	KRAL, ADAM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.50	0.00	1.50	85.0	1.28
12/01/2017	DREEZE, MARCELINA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.44	0.00	1.44	85.0	1.22
12/01/2017	STACY, ROSS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.28	0.00	0.28	85.0	0.24
12/01/2017	SAPP, ANGELAMARIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.67	0.00	0.67	85.0	0.57
12/01/2017	LATTA, MARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.94	0.00	0.94	85.0	0.80
12/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.85	0.00	6.85	85.0	5.82
12/01/2017	MI/TOD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.21	0.00	4.21	85.0	3.58
12/01/2017	PERRY, CAROL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.44	0.00	11.44	85.0	9.72
12/01/2017	VESEY, CAROL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.69	0.00	0.69	85.0	0.59
12/01/2017	KRAL/BENE, ADRIENNE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.41	0.00	0.41	85.0	0.35
12/01/2017	DIENER, RONALD	AM FUNDS CAPITAL WORLD BOND A	0.00	9.48	0.00	9.48	85.0	8.06
12/01/2017	HAWBLITZ TRUST, AGNES	AM FUNDS CAPITAL WORLD BOND A	0.00	5.78	0.00	5.78	85.0	4.91
12/01/2017	HAWBLITZ TRUST, PETER	AM FUNDS CAPITAL WORLD BOND A	0.00	5.78	0.00	5.78	85.0	4.91
12/01/2017	HALSEY, WILLIAM	AM FUNDS CAPITAL WORLD BOND A	0.00	0.16	0.00	0.16	85.0	0.14
12/01/2017	BELKOFER, STACEY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.32	0.00	0.32	85.0	0.27
12/01/2017	MI/TOD	AM FUNDS CAPITAL WORLD BOND A	0.00	1.53	0.00	1.53	85.0	1.30
12/01/2017	DIENER, RONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.61	0.00	2.61	85.0	2.22
12/01/2017	DESELMS, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.50	0.00	0.50	85.0	0.43
12/01/2017	DESELMS, ELEVLYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.92	0.00	10.92	85.0	9.28
12/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.11	0.00	8.11	85.0	6.89
12/01/2017	KREMPEC, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.74	0.00	0.74	85.0	0.63
12/01/2017	LEININGER, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.12	0.00	2.12	85.0	1.80
12/01/2017	FRANTZ, JUDITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.50	0.00	0.50	85.0	0.43
12/01/2017	BAKER, ELAINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.13	0.00	11.13	85.0	9.46
12/01/2017	JOHNSON, ZACHARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.99	0.00	0.99	85.0	0.84
12/01/2017	DESELMS, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.82	0.00	2.82	85.0	2.40
12/01/2017	DESELMS, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.91	0.00	2.91	85.0	2.47
12/01/2017	JOHNSON, CHLOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.01	0.00	1.01	85.0	0.86
12/01/2017	RIEBE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.58	0.00	1.58	85.0	1.34
12/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.46	0.00	1.46	85.0	1.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	WRAY, KIM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.40	0.00	6.40	85.0	5.44
12/01/2017	EYLER, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.97	0.00	5.97	85.0	5.07
12/01/2017	DESELMS, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.49	0.00	0.49	85.0	0.42
12/01/2017	PAPIO, STEPHANIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.44	0.00	0.44	85.0	0.37
12/01/2017	PAPIO, JOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.45	0.00	0.45	85.0	0.38
12/01/2017	JOHNSON, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.20	0.00	1.20	85.0	1.02
12/01/2017	ZORNOW TRUST, BETTY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.19	0.00	7.19	85.0	6.11
12/01/2017	MEREDITH, JEFFERY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.00	0.00	3.00	85.0	2.55
12/01/2017	KRAMER, TRISTON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.49	0.00	0.49	85.0	0.42
12/01/2017	ZORNOW, JORDAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.12	0.00	1.12	85.0	0.95
12/01/2017	DESELMS, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.29	0.00	0.29	85.0	0.25
12/01/2017	KRIEGER, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.16	0.00	9.16	85.0	7.79
12/01/2017	LEININGER, CHRISTAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.07	0.00	0.07	85.0	0.06
12/01/2017	ABBE JR, GARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.51	0.00	0.51	85.0	0.43
12/01/2017	BELKOFER, STACEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.40	0.00	0.40	85.0	0.34
12/01/2017	BELKOFER, STACEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.41	0.00	0.41	85.0	0.35
12/01/2017	HARRISON, JERRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.51	0.00	1.51	85.0	1.28
12/01/2017	DREEZE, MARCELINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.15	0.00	1.15	85.0	0.98
12/01/2017	STACY, ROSS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.20	0.00	0.20	85.0	0.17
12/01/2017	GRAHAM, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.21	0.00	0.21	85.0	0.18
12/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.68	0.00	8.68	85.0	7.38
12/01/2017	MI/TOD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.06	0.00	6.06	85.0	5.15
12/01/2017	LEININGER, KENNETH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.06	0.00	3.06	85.0	2.60
12/01/2017	PERRY, CAROL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	22.56	0.00	22.56	85.0	19.18
12/01/2017	VESEY, CAROL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.44	0.00	0.44	85.0	0.37
12/01/2017	UNTIL AGE 21, OH/UTMA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.60	0.00	0.60	85.0	0.51
12/01/2017	FRANTZ, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.32	0.00	0.32	85.0	0.27
12/01/2017	WRAY, KIM	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.64	0.00	5.64	85.0	4.79
12/01/2017	ZORNOW, JORDAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.52	0.00	1.52	85.0	1.29
12/01/2017	FISHER, SCOTT TW	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.61	0.00	3.61	85.0	3.07
12/01/2017	LEININGER, KENNETH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.55	0.00	1.55	85.0	1.32
12/01/2017	DIENER, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.68	0.00	7.68	85.0	6.53
12/01/2017	DESELMS, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.27	0.00	1.27	85.0	1.08

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	DESELMS, ELEVLYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	11.98	0.00	11.98	85.0	10.18
12/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	17.49	0.00	17.49	85.0	14.87
12/01/2017	KREMPEC, JEFFREY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.16	0.00	1.16	85.0	0.99
12/01/2017	LEININGER, LISA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.74	0.00	2.74	85.0	2.33
12/01/2017	FOSTER, JOHN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.80	0.00	0.80	85.0	0.68
12/01/2017	FRANTZ, JUDITH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.97	0.00	0.97	85.0	0.82
12/01/2017	BAKER, ELAINE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.76	0.00	7.76	85.0	6.60
12/01/2017	DESELMS, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.45	0.00	2.45	85.0	2.08
12/01/2017	DESELMS, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.23	0.00	2.23	85.0	1.90
12/01/2017	WRAY, KIM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.10	0.00	6.10	85.0	5.19
12/01/2017	EYLER, BARBARA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	13.99	0.00	13.99	85.0	11.89
12/01/2017	DESELMS, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.62	0.00	2.62	85.0	2.23
12/01/2017	DENHAM, CONSTANCE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.03	0.00	2.03	85.0	1.73
12/01/2017	JOHNSON, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.63	0.00	1.63	85.0	1.39
12/01/2017	ZORNOW TRUST, BETTY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	12.19	0.00	12.19	85.0	10.36
12/01/2017	FRANTZ, JUDITH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.42	0.00	0.42	85.0	0.36
12/01/2017	MEREDITH, TERESE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.16	0.00	3.16	85.0	2.69
12/01/2017	MEREDITH, JEFFERY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.53	0.00	2.53	85.0	2.15
12/01/2017	KRAMER, TRISTON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.87	0.00	0.87	85.0	0.74
12/01/2017	ZORNOW, JORDAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.81	0.00	2.81	85.0	2.39
12/01/2017	DESELMS, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.45	0.00	0.45	85.0	0.38
12/01/2017	LEININGER, CHRISTAH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.06	0.00	0.06	85.0	0.05
12/01/2017	FISHER, SCOTT TW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.27	0.00	5.27	85.0	4.48
12/01/2017	HALSEY, WILLIAM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.20	0.00	0.20	85.0	0.17
12/01/2017	LEININGER, ZACHARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.03	0.00	0.03	85.0	0.03
12/01/2017	LEININGER, ELI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.30	0.00	0.30	85.0	0.26
12/01/2017	FRENCH, VICTORIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.53	0.00	0.53	85.0	0.45
12/01/2017	FRENCH, RICHARD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.53	0.00	0.53	85.0	0.45
12/01/2017	KRAMER, DELANEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.26	0.00	0.26	85.0	0.22
12/01/2017	ABBE JR, GARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.63	0.00	3.63	85.0	3.09
12/01/2017	BELKOFER, STACEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.31	0.00	0.31	85.0	0.26
12/01/2017	BELKOFER, STACEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.19	0.00	0.19	85.0	0.16
12/01/2017	KRAMER, KARYS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.32	0.00	0.32	85.0	0.27

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	HARRISON, JERRY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.53	0.00	2.53	85.0	2.15
12/01/2017	DREEZE, MARCELINA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.76	0.00	1.76	85.0	1.50
12/01/2017	STACY, ROSS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.67	0.00	0.67	85.0	0.57
12/01/2017	CANNON, BARBARA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.23	0.00	0.23	85.0	0.20
12/01/2017	SAPP, ANGELAMARIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.99	0.00	0.99	85.0	0.84
12/01/2017	LATTA, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.24	0.00	1.24	85.0	1.05
12/01/2017	ALDRICH, SUSAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.25	0.00	0.25	85.0	0.21
12/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	11.95	0.00	11.95	85.0	10.16
12/01/2017	MI/TOD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.73	0.00	7.73	85.0	6.57
12/01/2017	PAPIO, AARON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.37	0.00	0.37	85.0	0.31
12/01/2017	LEININGER, KENNETH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.16	0.00	3.16	85.0	2.69
12/01/2017	PERRY, CAROL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	18.99	0.00	18.99	85.0	16.14
12/01/2017	GERE, AMY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.23	0.00	0.23	85.0	0.20
12/01/2017	UNTIL AGE 21, OH/UTMA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.27	0.00	0.27	85.0	0.23
12/01/2017	UNTIL AGE 21, OH/UTMA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.54	0.00	0.54	85.0	0.46
12/01/2017	DENHAM, CONSTANCE	AM FUNDS GLOBAL BALANCED A	0.00	1.10	0.00	1.10	85.0	0.94
12/01/2017	GERE, AMY	AM FUNDS GLOBAL BALANCED A	0.00	0.13	0.00	0.13	85.0	0.11
12/01/2017	DIENER, RONALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.63	0.00	2.63	85.0	2.24
12/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.35	0.00	3.35	85.0	2.85
12/01/2017	BAKER, ELAINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.73	0.00	6.73	85.0	5.72
12/01/2017	NOWAK, COLETTE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.53	0.00	0.53	85.0	0.45
12/01/2017	BAUMGARTNER, DIANA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.80	0.00	2.80	85.0	2.38
12/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.86	0.00	2.86	85.0	2.43
12/01/2017	FOSTER, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.20	0.00	1.20	85.0	1.02
12/01/2017	WRAY, KIM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.73	0.00	3.73	85.0	3.17
12/01/2017	DESELMS, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.45	0.00	0.45	85.0	0.38
12/01/2017	KRAMER, DELANEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.27	0.00	0.27	85.0	0.23
12/01/2017	BELKOFER, STACEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.59	0.00	0.59	85.0	0.50
12/01/2017	KRAMER, KARYS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.33	0.00	0.33	85.0	0.28
12/01/2017	SAPP, ANGELAMARIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.17	0.00	0.17	85.0	0.14
12/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.95	0.00	3.95	85.0	3.36
12/01/2017	LEININGER, KENNETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.57	0.00	1.57	85.0	1.33
12/01/2017	GERE, AMY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.22	0.00	0.22	85.0	0.19

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	DIENER, RONALD	AM FUNDS ICA A	0.00	6.87	0.00	6.87	85.0	5.84
12/01/2017	MEREDITH, TERESE	AM FUNDS ICA A	0.00	2.34	0.00	2.34	85.0	1.99
12/01/2017	ZORNOW, JORDAN	AM FUNDS ICA A	0.00	2.53	0.00	2.53	85.0	2.15
12/01/2017	HAWBLITZ TRUST, AGNES	AM FUNDS ICA A	0.00	8.61	0.00	8.61	85.0	7.32
12/01/2017	HAWBLITZ TRUST, PETER	AM FUNDS ICA A	0.00	8.65	0.00	8.65	85.0	7.35
12/01/2017	KRIEGER, RENEE	AM FUNDS ICA A	0.00	11.11	0.00	11.11	85.0	9.44
12/01/2017	BELKOFER, STACEY	AM FUNDS ICA A	0.00	0.66	0.00	0.66	85.0	0.56
12/01/2017	GRAHAM, DAVID	AM FUNDS ICA A	0.00	0.30	0.00	0.30	85.0	0.26
12/01/2017	DIENER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	0.00	22.57	0.00	22.57	85.0	19.18
12/01/2017	PRZYBYLSKI, JEREMIAH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.61	0.00	0.61	85.0	0.52
12/01/2017	FOSTER, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.78	0.00	0.78	85.0	0.66
12/01/2017	BAKER, ELAINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.66	0.00	4.66	85.0	3.96
12/01/2017	HAWBLITZ, PETER	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.13	0.00	4.13	85.0	3.51
12/01/2017	PAPPIO, STEPHANIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.38	0.00	0.38	85.0	0.32
12/01/2017	PAPPIO, JOE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.41	0.00	0.41	85.0	0.35
12/01/2017	DENHAM, CONSTANCE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.36	0.00	1.36	85.0	1.16
12/01/2017	ZORNOW TRUST, BETTY	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.93	0.00	13.93	85.0	11.84
12/01/2017	FRANTZ, JUDITH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.37	0.00	0.37	85.0	0.31
12/01/2017	HAWBLITZ TRUST, AGNES	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.37	0.00	16.37	85.0	13.91
12/01/2017	HAWBLITZ TRUST, PETER	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.65	0.00	16.65	85.0	14.15
12/01/2017	SAPP, ANGELAMARIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.60	0.00	0.60	85.0	0.51
12/01/2017	ALDRICH, SUSAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.29	0.00	0.29	85.0	0.25
12/01/2017	LEININGER, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.60	0.00	3.60	85.0	3.06
12/01/2017	GERE, AMY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.20	0.00	0.20	85.0	0.17
12/01/2017	DESELMS, ROBERT	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.54	0.00	0.54	85.0	0.46
12/01/2017	DESELMS, ROBERT	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.69	0.00	0.69	85.0	0.59
12/01/2017	DIENER, RONALD	AM FUNDS INTL GROWTH AND INCOME A	0.00	9.98	0.00	9.98	85.0	8.48
12/01/2017	RIEBE, MICHAEL	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.73	0.00	3.73	85.0	3.17
12/01/2017	KRAL, ADAM	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.92	0.00	0.92	85.0	0.78
12/01/2017	LATTA, MARY	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.63	0.00	0.63	85.0	0.54
12/01/2017	ALDRICH, SUSAN	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.20	0.00	0.20	85.0	0.17
12/01/2017	LEININGER, KENNETH	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.02	0.00	3.02	85.0	2.57
12/01/2017	KRAL/BENE, ADRIENNE	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.25	0.00	0.25	85.0	0.21

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
12/01/2017	DESELMS, ELEVLYN	AM FUNDS NEW ECONOMY A	0.00	12.86	0.00	12.86	85.0	10.93
12/01/2017	KRIEGER, RENEE	AM FUNDS NEW ECONOMY A	0.00	11.12	0.00	11.12	85.0	9.45
12/01/2017	FISHER, SCOTT TW	AM FUNDS NEW ECONOMY A	0.00	5.44	0.00	5.44	85.0	4.62
12/01/2017	LEININGER, ZACHARY	AM FUNDS NEW ECONOMY A	0.00	0.03	0.00	0.03	85.0	0.03
12/01/2017	LEININGER, ELI	AM FUNDS NEW ECONOMY A	0.00	0.33	0.00	0.33	85.0	0.28
12/01/2017	UNTIL AGE 21, OH/UTMA	AM FUNDS NEW ECONOMY A	0.00	0.29	0.00	0.29	85.0	0.25
12/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	2.84	0.00	2.84	85.0	2.41
12/01/2017	FOSTER, JOHN	AM FUNDS NEW PERSPECTIVE A	0.00	0.51	0.00	0.51	85.0	0.43
12/01/2017	BAKER, ELAINE	AM FUNDS NEW PERSPECTIVE A	0.00	6.76	0.00	6.76	85.0	5.75
12/01/2017	FOSTER, JOHN	AM FUNDS NEW PERSPECTIVE A	0.00	0.62	0.00	0.62	85.0	0.53
12/01/2017	WRAY, KIM	AM FUNDS NEW PERSPECTIVE A	0.00	3.17	0.00	3.17	85.0	2.69
12/01/2017	KRIEGER, RENEE	AM FUNDS NEW PERSPECTIVE A	0.00	8.71	0.00	8.71	85.0	7.40
12/01/2017	ABBE JR, GARY	AM FUNDS NEW PERSPECTIVE A	0.00	0.88	0.00	0.88	85.0	0.75
12/01/2017	PAPIO, AARON	AM FUNDS NEW PERSPECTIVE A	0.00	0.36	0.00	0.36	85.0	0.31
12/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS NEW WORLD A	0.00	2.64	0.00	2.64	85.0	2.24
12/01/2017	LEININGER, LISA	AM FUNDS NEW WORLD A	0.00	0.62	0.00	0.62	85.0	0.53
12/01/2017	WRAY, KIM	AM FUNDS NEW WORLD A	0.00	4.74	0.00	4.74	85.0	4.03
12/01/2017	EYLER, BARBARA	AM FUNDS NEW WORLD A	0.00	3.43	0.00	3.43	85.0	2.92
12/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	7.73	0.00	7.73	85.0	6.57
12/01/2017	LEININGER, LISA	AM FUNDS SMALLCAP WORLD A	0.00	1.09	0.00	1.09	85.0	0.93
12/01/2017	BAKER, ELAINE	AM FUNDS SMALLCAP WORLD A	0.00	5.75	0.00	5.75	85.0	4.89
12/01/2017	WRAY, KIM	AM FUNDS SMALLCAP WORLD A	0.00	6.49	0.00	6.49	85.0	5.52
12/01/2017	EYLER, BARBARA	AM FUNDS SMALLCAP WORLD A	0.00	3.83	0.00	3.83	85.0	3.26
12/01/2017	MEREDITH, TERESE	AM FUNDS SMALLCAP WORLD A	0.00	2.18	0.00	2.18	85.0	1.85
12/01/2017	MEREDITH, JEFFERY	AM FUNDS SMALLCAP WORLD A	0.00	1.63	0.00	1.63	85.0	1.39
12/01/2017	ZORNOW, JORDAN	AM FUNDS SMALLCAP WORLD A	0.00	2.50	0.00	2.50	85.0	2.13
12/01/2017	LEININGER, ZACHARY	AM FUNDS SMALLCAP WORLD A	0.00	0.03	0.00	0.03	85.0	0.03
12/01/2017	LEININGER, ELI	AM FUNDS SMALLCAP WORLD A	0.00	0.25	0.00	0.25	85.0	0.21
12/01/2017	FRENCH, VICTORIA	AM FUNDS SMALLCAP WORLD A	0.00	0.21	0.00	0.21	85.0	0.18
12/01/2017	FRENCH, RICHARD	AM FUNDS SMALLCAP WORLD A	0.00	0.21	0.00	0.21	85.0	0.18
12/01/2017	ABBE JR, GARY	AM FUNDS SMALLCAP WORLD A	0.00	2.34	0.00	2.34	85.0	1.99
12/01/2017	BELKOFER, STACEY	AM FUNDS SMALLCAP WORLD A	0.00	0.42	0.00	0.42	85.0	0.36
12/01/2017	KRAL, ADAM	AM FUNDS SMALLCAP WORLD A	0.00	1.21	0.00	1.21	85.0	1.03

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	DREEZE, MARCELINA	AM FUNDS SMALLCAP WORLD A	0.00	1.05	0.00	1.05	85.0	0.89
12/01/2017	CANNON, BARBARA	AM FUNDS SMALLCAP WORLD A	0.00	0.16	0.00	0.16	85.0	0.14
12/01/2017	SAPP, ANGELAMARIE	AM FUNDS SMALLCAP WORLD A	0.00	0.25	0.00	0.25	85.0	0.21
12/01/2017	LATTA, MARY	AM FUNDS SMALLCAP WORLD A	0.00	1.00	0.00	1.00	85.0	0.85
12/01/2017	ALDRICH, SUSAN	AM FUNDS SMALLCAP WORLD A	0.00	0.22	0.00	0.22	85.0	0.19
12/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS SMALLCAP WORLD A	0.00	11.41	0.00	11.41	85.0	9.70
12/01/2017	MI/TOD	AM FUNDS SMALLCAP WORLD A	0.00	9.72	0.00	9.72	85.0	8.26
12/01/2017	PAPIO, AARON	AM FUNDS SMALLCAP WORLD A	0.00	0.33	0.00	0.33	85.0	0.28
12/01/2017	LEININGER, KENNETH	AM FUNDS SMALLCAP WORLD A	0.00	1.55	0.00	1.55	85.0	1.32
12/01/2017	GERE, AMY	AM FUNDS SMALLCAP WORLD A	0.00	0.19	0.00	0.19	85.0	0.16
12/01/2017	UNTIL AGE 21, OH/UTMA	AM FUNDS SMALLCAP WORLD A	0.00	0.23	0.00	0.23	85.0	0.20
12/01/2017	KRAL/BENE, ADRIENNE	AM FUNDS SMALLCAP WORLD A	0.00	0.34	0.00	0.34	85.0	0.29
12/01/2017	DIENER, RONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.66	0.00	5.66	85.0	4.81
12/01/2017	DIENER, RONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.26	0.00	4.26	85.0	3.62
12/01/2017	DESELMS, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.13	0.00	1.13	85.0	0.96
12/01/2017	TANGEMAN, JEANETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	30.93	0.00	30.93	85.0	26.29
12/01/2017	DESELMS, ELEVLYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.99	0.00	9.99	85.0	8.49
12/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	13.53	0.00	13.53	85.0	11.50
12/01/2017	FILIPEK, BETHANY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.48	0.00	0.48	85.0	0.41
12/01/2017	DESELMS, SCOTT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.42	0.00	4.42	85.0	3.76
12/01/2017	BASSETT, HANNAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.19	0.00	1.19	85.0	1.01
12/01/2017	PRZYBYLSKI, JEREMIAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.20	0.00	1.20	85.0	1.02
12/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.50	0.00	4.50	85.0	3.83
12/01/2017	BEISWENGER, RANDALL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.82	0.00	6.82	85.0	5.80
12/01/2017	COUSINO, WILLIAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	34.43	0.00	34.43	85.0	29.27
12/01/2017	TAYLOR, GERALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	11.18	0.00	11.18	85.0	9.50
12/01/2017	KREMPEC, JEFFREY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.25	0.00	0.25	85.0	0.21
12/01/2017	LEININGER, LISA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.30	0.00	2.30	85.0	1.96
12/01/2017	FILIPEK, JESSICA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	13.88	0.00	13.88	85.0	11.80
12/01/2017	AUGUSTYNIAK, SETH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.45	0.00	0.45	85.0	0.38
12/01/2017	FOSTER, JOHN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.76	0.00	0.76	85.0	0.65
12/01/2017	FRANTZ, JUDITH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.96	0.00	0.96	85.0	0.82
12/01/2017	BAKER, ELAINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.14	0.00	7.14	85.0	6.07

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	DIERKSHEIDE, TRACI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.86	0.00	1.86	85.0	1.58
12/01/2017	JOHNSON, ZACHARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.66	0.00	0.66	85.0	0.56
12/01/2017	FRANTZ, KAYLYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.86	0.00	0.86	85.0	0.73
12/01/2017	FRANTZ, CASSANDRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.79	0.00	0.79	85.0	0.67
12/01/2017	NOWAK, COLETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.90	0.00	0.90	85.0	0.77
12/01/2017	BAUMGARTNER, DIANA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.34	0.00	7.34	85.0	6.24
12/01/2017	DESELMS, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.17	0.00	2.17	85.0	1.84
12/01/2017	DESELMS, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.16	0.00	2.16	85.0	1.84
12/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.87	0.00	12.87	85.0	10.94
12/01/2017	JACKSON, MARNETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	13.93	0.00	13.93	85.0	11.84
12/01/2017	JOHNSON, CHLOE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.85	0.00	0.85	85.0	0.72
12/01/2017	BASSETT, JACK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.54	0.00	0.54	85.0	0.46
12/01/2017	COOK, KEVIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.66	0.00	0.66	85.0	0.56
12/01/2017	KRIEGER, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.58	0.00	3.58	85.0	3.04
12/01/2017	FRANTZ, KARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.70	0.00	0.70	85.0	0.60
12/01/2017	RIEBE, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.12	0.00	2.12	85.0	1.80
12/01/2017	HAWBLITZ, PETER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.41	0.00	6.41	85.0	5.45
12/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.61	0.00	4.61	85.0	3.92
12/01/2017	FOSTER, JOHN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.87	0.00	1.87	85.0	1.59
12/01/2017	WRAY, KIM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	15.22	0.00	15.22	85.0	12.94
12/01/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.54	0.00	0.54	85.0	0.46
12/01/2017	DESELMS, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.98	0.00	2.98	85.0	2.53
12/01/2017	THANASIU, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.54	0.00	1.54	85.0	1.31
12/01/2017	PAPPIO, STEPHANIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.65	0.00	0.65	85.0	0.55
12/01/2017	PAPPIO, JOE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.53	0.00	0.53	85.0	0.45
12/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.26	0.00	2.26	85.0	1.92
12/01/2017	ZORNOW TRUST, BETTY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.06	0.00	14.06	85.0	11.95
12/01/2017	FRANTZ, JUDITH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.49	0.00	0.49	85.0	0.42
12/01/2017	MEREDITH, TERESE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.21	0.00	1.21	85.0	1.03
12/01/2017	RICHARDS, STEPHEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.83	0.00	4.83	85.0	4.11
12/01/2017	KRAMER, TRISTON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.70	0.00	0.70	85.0	0.60
12/01/2017	HAWBLITZ TRUST, AGNES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.23	0.00	9.23	85.0	7.85
12/01/2017	HAWBLITZ TRUST, PETER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.30	0.00	9.30	85.0	7.91

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	KRIEGER, RENEE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.44	0.00	9.44	85.0	8.02
12/01/2017	FISHER, SCOTT TW	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.44	0.00	4.44	85.0	3.77
12/01/2017	LEININGER, ZACHARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.03	0.00	0.03	85.0	0.03
12/01/2017	LEININGER, ELI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.31	0.00	0.31	85.0	0.26
12/01/2017	FRENCH, VICTORIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.27	0.00	0.27	85.0	0.23
12/01/2017	FRENCH, RICHARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.27	0.00	0.27	85.0	0.23
12/01/2017	KRAMER, DELANEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.25	0.00	0.25	85.0	0.21
12/01/2017	ABBE JR, GARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.73	0.00	3.73	85.0	3.17
12/01/2017	BELKOFER, STACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.46	0.00	0.46	85.0	0.39
12/01/2017	KRAMER, KARYS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.30	0.00	0.30	85.0	0.26
12/01/2017	KRAL, ADAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.95	0.00	1.95	85.0	1.66
12/01/2017	DREEZE, MARCELINA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.00	0.00	2.00	85.0	1.70
12/01/2017	STACY, ROSS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.37	0.00	0.37	85.0	0.31
12/01/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.16	0.00	0.16	85.0	0.14
12/01/2017	SAPP, ANGELAMARIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.28	0.00	1.28	85.0	1.09
12/01/2017	LATTA, MARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.34	0.00	1.34	85.0	1.14
12/01/2017	GRAHAM, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	85.0	0.14
12/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.84	0.00	12.84	85.0	10.91
12/01/2017	MI/TOD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.85	0.00	8.85	85.0	7.52
12/01/2017	PAPIO, AARON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.53	0.00	0.53	85.0	0.45
12/01/2017	LEININGER, KENNETH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.62	0.00	4.62	85.0	3.93
12/01/2017	PERRY, CAROL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	20.51	0.00	20.51	85.0	17.43
12/01/2017	GERE, AMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.21	0.00	0.21	85.0	0.18
12/01/2017	AUGUSTYNIAK, VALERIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	85.0	0.14
12/01/2017	AUGUSTYNIAK, HEATHER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.10	0.00	3.10	85.0	2.64
12/01/2017	VESEY, CAROL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.17	0.00	1.17	85.0	0.99
12/01/2017	UNTIL AGE 21, OH/UTMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.27	0.00	0.27	85.0	0.23
12/01/2017	KRAL/BENE, ADRIENNE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.54	0.00	0.54	85.0	0.46
12/01/2017	DESELMS, ROBERT	LTD TERM TAX-EX BOND FUND OF AMERIC	0.00	0.67	0.00	0.67	85.0	0.57
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,314.69</b>	<b>\$0.00</b>	<b>\$1,314.69</b>		<b>\$1,117.62</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$4,772,692.13</b>	<b>\$5,467.81</b>	<b>\$0.00</b>	<b>\$5,467.81</b>		<b>\$4,647.79</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
12/15/2017		*: FINRA TX INDIVIDUAL REG. FEE	0.00					-70.00
12/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
12/15/2017		*ALBRIDGE: FULL USER	0.00					-176.00
12/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
12/15/2017		*TECHNOLOGY: ADMIN - D RYAN 1/3RD	0.00					-43.33
12/15/2017		*TECHNOLOGY: ADMIN - J BOYERS 1/3RD	0.00					-43.33
12/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-819.66

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,647.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-819.66
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-549.41
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,278.72
Year-to-date Gross	\$65,112.48
Year-to-date Earnings	\$55,507.50

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