

**COMMISSION STATEMENT****July 31, 2018**

ROY, P123 PFLEGER II  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: P123  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
07/11/2018	ABRAHAM, BLESSY	SEI	12,831.75	32.08	0.00	32.08	75.0	24.06
07/11/2018	LAURO, MICHAEL	SEI	2,988.38	7.47	0.00	7.47	75.0	5.60
07/11/2018	O'HARA, LORRAINE	SEI	1,830.99	4.58	0.00	4.58	75.0	3.44
07/11/2018	O'HARA, LORRAINE	SEI	21,417.57	53.55	0.00	53.55	75.0	40.16
07/11/2018	O'HARA, LORRAINE	SEI	128,311.32	320.78	0.00	320.78	75.0	240.59
07/11/2018	DAVIS, BRIDGET	SEI	107,183.35	267.96	0.00	267.96	75.0	200.97
07/11/2018	MASTROSERIO, MICHELLE	SEI	64,747.16	161.87	0.00	161.87	75.0	121.40
07/11/2018	ABELLA, CARMELA	SEI	2,372.80	7.12	0.00	7.12	75.0	5.34
<b>* RIA SUBTOTAL *</b>			<b>\$341,683.32</b>	<b>\$855.41</b>	<b>\$0.00</b>	<b>\$855.41</b>		<b>\$641.56</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$341,683.32</b>	<b>\$855.41</b>	<b>\$0.00</b>	<b>\$855.41</b>		<b>\$641.56</b>

<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: DAN/ROY/JEFF FT-R137 RAUPP/PFLEG/ #346</b>								
07/11/2018	CIPRIANO, CARA	SEI	17,123.84	14.98	0.00	14.98	75.0	11.24
07/11/2018	RASMUSSEN JR, HARRY	SEI	4,296.58	3.76	0.00	3.76	75.0	2.82
07/11/2018	RASMUSSEN, MELANIE	SEI	16,246.57	14.22	0.00	14.22	75.0	10.67
<b>* DAN/ROY/JEFF FT-R137 RAUPP/PFLEG/ SUBTOTAL *</b>			<b>\$37,666.99</b>	<b>\$32.96</b>	<b>\$0.00</b>	<b>\$32.96</b>		<b>\$24.73</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$37,666.99</b>	<b>\$32.96</b>	<b>\$0.00</b>	<b>\$32.96</b>		<b>\$24.73</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$641.56
Split Transactions	\$24.73
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$1.85
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$668.14
Year-to-date Gross	\$2,810.21
Year-to-date Earnings	\$2,107.70