

**COMMISSION STATEMENT****May 31, 2018**

101 CFGROUP/KOSANKE
1120 E. Long Lake Road
Suite 250
Troy, MI 48085

BROKER #: 101
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
05/21/2018	ORTAGO, PAULA	BR TOTAL INC & REAL ESTATE FUND C	43,233.62	35.53	0.00	35.53	95.0	33.75
05/21/2018	MCKENZIE TRUST, ROBERT & DONNABR	TOTAL INC & REAL ESTATE FUND C	131,718.18	108.27	0.00	108.27	95.0	102.86
05/21/2018	MERRITT REVOCABLE TRUST, KATHYBR	TOTAL INC & REAL ESTATE FUND C	50,175.81	41.24	0.00	41.24	95.0	39.18
05/21/2018	KOPCZYK TRUST, LAWRENCE & PATRBR	TOTAL INC & REAL ESTATE FUND C	83,739.49	68.83	0.00	68.83	95.0	65.39
05/21/2018	SPEARY, ROBERT	BR TOTAL INC & REAL ESTATE FUND C	75,633.57	62.16	0.00	62.16	95.0	59.05
05/21/2018	SUTTON, LYNETTE	BR TOTAL INC & REAL ESTATE FUND C	53,906.20	44.31	0.00	44.31	95.0	42.09
04/30/2018	WEYCKER, AARON	GRIFFIN INST'L ACCESS R E FUND C	35,288.79	29.00	0.00	29.00	95.0	27.55
04/30/2018	BAKER, GENE	GRIFFIN INST'L ACCESS R E FUND C	53,582.36	44.04	0.00	44.04	95.0	41.84
04/30/2018	THOMSON, DOUGLAS	GRIFFIN INST'L ACCESS R E FUND C	103,273.90	84.88	0.00	84.88	95.0	80.64
04/30/2018	MILLER, RAYMOND	GRIFFIN INST'L ACCESS R E FUND C	30,986.47	25.47	0.00	25.47	95.0	24.20
04/30/2018	SUTTON, TIMOTHY	GRIFFIN INST'L ACCESS R E FUND C	137,774.65	113.24	0.00	113.24	95.0	107.58
04/30/2018	WAGNER II, HARRY	GRIFFIN INST'L ACCESS R E FUND C	305,126.81	250.79	0.00	250.79	95.0	238.25
04/30/2018	MIERAS-HESSLER, DEBORAH	GRIFFIN INST'L ACCESS R E FUND C	35,444.01	29.13	0.00	29.13	95.0	27.67
04/30/2018	CANNER, JULIE	GRIFFIN INST'L ACCESS R E FUND C	42,124.23	34.63	0.00	34.63	95.0	32.90
04/30/2018	CYRULEWSKI TRUST, LOIS	GRIFFIN INST'L ACCESS R E FUND C	148,548.02	122.09	0.00	122.09	95.0	115.99
04/30/2018	CYRULEWSKI, JIM	GRIFFIN INST'L ACCESS R E FUND C	112,876.40	92.77	0.00	92.77	95.0	88.13
04/30/2018	CYRULEWSKI TRUST, JIM	GRIFFIN INST'L ACCESS R E FUND C	122,096.75	100.36	0.00	100.36	95.0	95.34
04/30/2018	BEAUDETTE, FLOYD	GRIFFIN INST'L ACCESS R E FUND C	50,858.69	41.80	0.00	41.80	95.0	39.71
04/30/2018	YANIS, MATTHEW	GRIFFIN INST'L ACCESS R E FUND C	127,168.98	104.52	0.00	104.52	95.0	99.29
04/30/2018	GALLO, ANTHONY	GRIFFIN INST'L ACCESS R E FUND C	40,325.47	33.15	0.00	33.15	95.0	31.49
04/30/2018	QUICK, ELAINE	GRIFFIN INST'L ACCESS R E FUND C	50,625.90	41.61	0.00	41.61	95.0	39.53
04/30/2018	FERRARI, JACQUELINE	GRIFFIN INST'L ACCESS R E FUND C	70,635.15	58.05	0.00	58.05	95.0	55.15
04/30/2018	CARMODY, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	42,069.12	34.57	0.00	34.57	95.0	32.84
04/30/2018	SIMONY TRUST, JOSEPH & REGINA	GRIFFIN INST'L ACCESS R E FUND C	25,431.45	20.91	0.00	20.91	95.0	19.86
04/30/2018	SIMONY, RICHARD	GRIFFIN INST'L ACCESS R E FUND C	20,391.69	16.76	0.00	16.76	95.0	15.92
04/30/2018	SPEARY, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	24,772.17	20.36	0.00	20.36	95.0	19.34

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
04/30/2018	KING, DAVID	GRIFFIN INST'L ACCESS R E FUND C	4,996.63	4.11	0.00	4.11	95.0	3.90
04/30/2018	ROBERTS, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	39,687.62	32.61	0.00	32.61	95.0	30.98
04/30/2018	EQUITY TRUST CO	GRIFFIN INST'L ACCESS R E FUND C	9,088.44	7.47	0.00	7.47	95.0	7.10
04/30/2018	EQUITY TRUST CO	GRIFFIN INST'L ACCESS R E FUND C	115,546.85	94.97	0.00	94.97	95.0	90.22
04/30/2018	BADALAMENT, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	87,068.62	71.56	0.00	71.56	95.0	67.98
04/30/2018	ANDERSON TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	188,324.30	154.79	0.00	154.79	95.0	147.05
04/30/2018	DEMAN, KENNETH	GRIFFIN INST'L ACCESS R E FUND C	53,159.20	43.69	0.00	43.69	95.0	41.51
04/30/2018	KEELER, DAVID	GRIFFIN INST'L ACCESS R E FUND C	26,348.17	21.65	0.00	21.65	95.0	20.57
04/30/2018	BUSZEK, PAUL	GRIFFIN INST'L ACCESS R E FUND C	26,086.89	21.44	0.00	21.44	95.0	20.37
04/30/2018	WETZEL, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	10,329.93	8.49	0.00	8.49	95.0	8.07
04/30/2018	ELLIOT, JAMES	GRIFFIN INST'L ACCESS R E FUND C	99,095.90	81.45	0.00	81.45	95.0	77.38
04/30/2018	ELLIOT, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	66,843.69	54.93	0.00	54.93	95.0	52.18
04/30/2018	JOUPPI, SHARON	GRIFFIN INST'L ACCESS R E FUND C	55,548.61	45.65	0.00	45.65	95.0	43.37
04/30/2018	JOUPPI, SHARON	GRIFFIN INST'L ACCESS R E FUND C	24,849.67	20.43	0.00	20.43	95.0	19.41
04/30/2018	FEWLESS, SCOTT	GRIFFIN INST'L ACCESS R E FUND C	15,514.66	12.75	0.00	12.75	95.0	12.11
04/30/2018	BARTOI, JOHN	GRIFFIN INST'L ACCESS R E FUND C	83,751.47	68.84	0.00	68.84	95.0	65.40
04/30/2018	MERRITT REVOCABLE TRUST, KATHY	GRIFFIN INST'L ACCESS R E FUND C	48,985.58	40.27	0.00	40.27	95.0	38.26
04/30/2018	KOPCZYK TRUST, LAWRENCE & PATR	GRIFFIN INST'L ACCESS R E FUND C	77,704.64	63.87	0.00	63.87	95.0	60.68
04/30/2018	AMMAN, KATHLEEN	GRIFFIN INST'L ACCESS R E FUND C	20,310.64	16.69	0.00	16.69	95.0	15.86
04/30/2018	BAUMGART, ROY MARK	GRIFFIN INST'L ACCESS R E FUND C	74,233.85	61.01	0.00	61.01	95.0	57.96
04/30/2018	SMITH, MARTHA	GRIFFIN INST'L ACCESS R E FUND C	74,746.17	61.44	0.00	61.44	95.0	58.37
04/30/2018	SPEARY, LISA	GRIFFIN INST'L ACCESS R E FUND C	50,839.59	41.79	0.00	41.79	95.0	39.70
04/30/2018	VOGEL, KEITH	GRIFFIN INST'L ACCESS R E FUND C	118,766.95	97.61	0.00	97.61	95.0	92.73
04/30/2018	THOMSON TRUST, DOUGLAS & NANCY	GRIFFIN INST'L ACCESS R E FUND C	103,801.90	85.32	0.00	85.32	95.0	81.05
04/30/2018	STRAITH LIV TRUST, JAMES	GRIFFIN INST'L ACCESS R E FUND C	49,215.75	40.45	0.00	40.45	95.0	38.43
04/30/2018	WILMAN, ANN ELAINE	GRIFFIN INST'L ACCESS R E FUND C	27,639.69	22.72	0.00	22.72	95.0	21.58
04/30/2018	GRUSH, ERNEST	GRIFFIN INST'L ACCESS R E FUND C	101,653.99	83.55	0.00	83.55	95.0	79.37
04/30/2018	ETHIER, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	45,573.66	37.45	0.00	37.45	95.0	35.58
04/30/2018	SMITH REV TRUST #2, CHARLES	GRIFFIN INST'L ACCESS R E FUND C	37,513.85	30.83	0.00	30.83	95.0	29.29
04/30/2018	MCKENZIE TRUST, ROBERT & DONNA	GRIFFIN INST'L ACCESS R E FUND C	120,014.53	98.64	0.00	98.64	95.0	93.71
04/30/2018	STAHL, CURTIS	GRIFFIN INST'L ACCESS R E FUND C	27,009.16	22.20	0.00	22.20	95.0	21.09
04/30/2018	BERNARDI TRUST, DONALD &	GRIFFIN INST'L ACCESS R E FUND C	50,056.90	41.15	0.00	41.15	95.0	39.09
04/30/2018	ORTAGO, PAULA	GRIFFIN INST'L ACCESS R E FUND C	42,528.95	34.96	0.00	34.96	95.0	33.21

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
04/30/2018	KOSANKE, CHARLES W	GRIFFIN INST'L ACCESS R E FUND C	25,644.96	21.08	0.00	21.08	95.0	20.03
04/30/2018	DINKA, JOHN	GRIFFIN INST'L ACCESS R E FUND C	114,555.68	94.16	0.00	94.16	95.0	89.45
04/30/2018	DINKA, CATHERINE	GRIFFIN INST'L ACCESS R E FUND C	107,639.31	88.47	0.00	88.47	95.0	84.05
04/30/2018	BELLINGE, GEORGE	GRIFFIN INST'L ACCESS R E FUND C	86,327.64	70.96	0.00	70.96	95.0	67.41
04/30/2018	GHELFI, CRAIG	GRIFFIN INST'L ACCESS R E FUND C	94,533.05	77.69	0.00	77.69	95.0	73.81
04/30/2018	CANNON TRUST, HENRY & BARBARA	GRIFFIN INST'L ACCESS R E FUND C	29,794.60	24.49	0.00	24.49	95.0	23.27
04/30/2018	KRONNER, DAVID	GRIFFIN INST'L ACCESS R E FUND C	50,606.72	41.60	0.00	41.60	95.0	39.52
04/30/2018	RANG, JAMES S & MARIL	GRIFFIN INST'L ACCESS R E FUND C	9,871.34	8.12	0.00	8.12	95.0	7.71
04/30/2018	OLSON, MELINDA	GRIFFIN INST'L ACCESS R E FUND C	33,183.74	27.28	0.00	27.28	95.0	25.92
04/30/2018	HARWOOD TRUST, SHARON	GRIFFIN INST'L ACCESS R E FUND C	24,900.94	20.47	0.00	20.47	95.0	19.45
04/30/2018	KNIGHT TRUST, SHARON	GRIFFIN INST'L ACCESS R E FUND C	10,554.60	8.68	0.00	8.68	95.0	8.25
04/30/2018	EQUITY TRUST CO	GRIFFIN INST'L ACCESS R E FUND C	43,124.90	35.44	0.00	35.44	95.0	33.67
* INTERVAL FUNDS SUBTOTAL *			\$4,625,381.81	\$3,801.69	\$0.00	\$3,801.69		\$3,611.64
<u>PRODUCT CATEGORY: 529 PLANS</u>								
05/10/2018	FROMM, MARY	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.50	0.00	2.50	95.0	2.38
05/10/2018	FROMM, MARY	AM FUNDS NEW WORLD 529A	50.00	2.50	0.00	2.50	95.0	2.38
05/01/2018	NIZIO, MARGARET	BLACKROCK 67776G493	50.00	0.50	0.00	0.50	95.0	0.48
05/02/2018	NIZIO, EDMUND	BLACKROCK 67776G493	50.00	0.50	0.00	0.50	95.0	0.48
/ /	KUCHAREK, PAUL	BLACKROCK 67776G469	0.00	1.75	0.00	1.75	95.0	1.66
* 529 PLANS SUBTOTAL *			\$200.00	\$7.75	\$0.00	\$7.75		\$7.38
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/16/2018	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	2.55	0.00	2.55	95.0	2.42
05/10/2018	DURHAM, JEREMY	AM FUNDS AMERICAN MUTUAL C	1,362.42	13.62	0.00	13.62	95.0	12.94
05/10/2018	DURHAM, JEREMY	AM FUNDS AMERICAN MUTUAL C	1,810.45	18.10	0.00	18.10	95.0	17.20
05/10/2018	DURHAM, JEREMY	AM FUNDS AMERICAN MUTUAL C	1,872.86	18.73	0.00	18.73	95.0	17.79
05/10/2018	DURHAM, JEREMY	AM FUNDS AMERICAN MUTUAL C	2,088.87	20.89	0.00	20.89	95.0	19.85
05/17/2018	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	200.00	4.00	0.00	4.00	95.0	3.80
05/17/2018	ALVEY, SHERRIE	AM FUNDS CAPITAL WORLD GRTH & INC A	62.50	1.25	0.00	1.25	95.0	1.19
05/17/2018	GINGELL, PAUL	AM FUNDS EUROPACIFIC GROWTH FUND A	200.00	4.00	0.00	4.00	95.0	3.80
05/17/2018	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	200.00	4.00	0.00	4.00	95.0	3.80
05/17/2018	ALVEY, SHERRIE	AM FUNDS GROWTH FUND OF AMERICA A	62.50	1.25	0.00	1.25	95.0	1.19
05/18/2018	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/15/2018	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
05/17/2018	GINGELL, PAUL	AM FUNDS ICA A	240.00	4.80	0.00	4.80	95.0	4.56
05/17/2018	ALVEY, SHERRIE	AM FUNDS ICA A	62.50	1.25	0.00	1.25	95.0	1.19
05/10/2018	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	4.75
05/17/2018	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	200.00	4.00	0.00	4.00	95.0	3.80
05/17/2018	ALVEY, SHERRIE	AM FUNDS SMALLCAP WORLD A	62.50	1.25	0.00	1.25	95.0	1.19
05/10/2018	IVEZAJ, GYSTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.88	0.00	1.88	95.0	1.79
05/15/2018	KEMP, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
05/15/2018	BEHNKE, DANIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
05/15/2018	BEHNKE, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	95.0	4.75
05/18/2018	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
05/10/2018	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
05/01/2018	KUCHAREK, PAUL	GLOBAL ALLOCATION FUND	50.00	1.88	0.00	1.88	95.0	1.79
05/07/2018	BERGLUND, KRIS	INVESCO AMERICAN FRANCHISE A	50.00	2.50	0.00	2.50	95.0	2.38
05/15/2018	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	95.0	2.26
05/15/2018	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	27.02	1.28	0.00	1.28	95.0	1.22
/ /	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	0.00	0.32	0.00	0.32	95.0	0.30
05/11/2018	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
05/11/2018	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
05/11/2018	DINKA, JOHN	OPPENHEIMER CAPITAL APPRECIATION C	617.50	6.18	0.00	6.18	95.0	5.87
05/11/2018	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	110.00	1.10	0.00	1.10	95.0	1.05
05/11/2018	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	42.29	0.42	0.00	0.42	95.0	0.40
05/15/2018	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	32.30	0.32	0.00	0.32	95.0	0.30
/ /	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	0.00	2.38	0.00	2.38	95.0	2.26
05/15/2018	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	27.02	1.28	0.00	1.28	95.0	1.22
/ /	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	0.00	0.55	0.00	0.55	95.0	0.52
05/11/2018	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	21.15	0.21	0.00	0.21	95.0	0.20
/ /	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	0.32	0.00	0.32	95.0	0.30
05/11/2018	DINKA, CATHERINE	OPPENHEIMER GLOBAL OPPORTUNITIES C	37.50	0.38	0.00	0.38	95.0	0.36
05/11/2018	DINKA, JOHN	OPPENHEIMER GLOBAL OPPORTUNITIES C	308.75	3.09	0.00	3.09	95.0	2.94
05/11/2018	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	110.00	1.10	0.00	1.10	95.0	1.05
05/11/2018	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	42.29	0.42	0.00	0.42	95.0	0.40
05/15/2018	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	32.30	0.32	0.00	0.32	95.0	0.30

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
05/11/2018	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	617.50	0.32	0.00	0.32	95.0	0.30
05/15/2018	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	32.30	0.32	0.00	0.32	95.0	0.30
/ /	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	0.00	2.38	0.00	2.38	95.0	2.26
05/15/2018	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	27.00	1.28	0.00	1.28	95.0	1.22
/ /	DINKA, CATHERINE	OPPENHEIMER MAIN ST SELECT C	0.00	1.13	0.00	1.13	95.0	1.07
05/11/2018	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	25.00	0.25	0.00	0.25	95.0	0.24
05/11/2018	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	25.00	0.25	0.00	0.25	95.0	0.24
05/11/2018	DINKA, CATHERINE	OPPENHEIMER MAIN ST SMALL & MID CAP	112.50	1.13	0.00	1.13	95.0	1.07
05/15/2018	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	32.32	0.32	0.00	0.32	95.0	0.30
05/15/2018	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	32.32	0.32	0.00	0.32	95.0	0.30
/ /	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	0.00	0.50	0.00	0.50	95.0	0.48
05/11/2018	DINKA, CATHERINE	OPPENHEIMER MAIN STREET C	112.50	1.13	0.00	1.13	95.0	1.07
05/07/2018	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	500.00	1.50	0.00	1.50	95.0	1.43
05/11/2018	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	125.26	1.25	0.00	1.25	95.0	1.19
/ /	RENTROP, GARRETT	OPPENHEIMER QUEST BALANCED C	0.00	0.32	0.00	0.32	95.0	0.30
05/15/2018	RENTROP, GARRETT	OPPENHEIMER QUEST BALANCED C	32.30	0.32	0.00	0.32	95.0	0.30
05/08/2018	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	247.50	6.00	0.00	6.00	95.0	5.70
05/11/2018	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	85.19	0.85	0.00	0.85	95.0	0.81
05/11/2018	TORRICE, PAULA	OPPENHEIMER QUEST OPP VALUE C	150.00	1.50	0.00	1.50	95.0	1.43
05/11/2018	TORRICE, PAULA	OPPENHEIMER QUEST OPP VALUE C	150.00	1.50	0.00	1.50	95.0	1.43
05/08/2018	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	82.50	0.80	0.00	0.80	95.0	0.76
05/11/2018	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	80.00	0.80	0.00	0.80	95.0	0.76
/ /	DINKA, JOHN	OPPENHEIMER VALUE C	0.00	6.18	0.00	6.18	95.0	5.87
05/01/2018	WONCH, LIZ	PUTNAM INVESTORS A	50.00	2.50	0.00	2.50	95.0	2.38
05/07/2018	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	2,109.05	21.09	0.00	21.09	95.0	20.04
05/07/2018	WETZEL, TIFFANY	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
05/09/2018	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	106.50	1.07	0.00	1.07	95.0	1.02
05/15/2018	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
05/07/2018	BERDESKI, AUDREY	TRANSAMERICA AA MOD GROWTH C	5,000.00	50.00	0.00	50.00	95.0	47.50
05/07/2018	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	550.00	5.50	0.00	5.50	95.0	5.23
05/15/2018	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	300.00	3.00	0.00	3.00	95.0	2.85
05/16/2018	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	450.00	4.50	0.00	4.50	95.0	4.28
* MUTUAL FUNDS SUBTOTAL *			\$22,052.46	\$274.53	\$0.00	\$274.53		\$260.85

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
05/07/2018	DINKA, JOHN	PAC LIFE VUL	2,500.00	50.00	0.00	50.00	95.0	47.50
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$2,500.00	\$50.00	\$0.00	\$50.00		\$47.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	CFGROUP, VARIOUS	BLACK ROCK 67776G527	0.00	3.92	0.00	3.92	95.0	3.72
04/30/2018	MOELLER, RICHARD	BLACK ROCK 67776G527	0.00	0.20	0.00	0.20	95.0	0.19
04/30/2018	MOELLER, RICHARD	BLACK ROCK 67776G527	0.00	0.60	0.00	0.60	95.0	0.57
04/30/2018	CFGROUP, VARIOUS	BLACK ROCK 67776G527	0.00	1.31	0.00	1.31	95.0	1.24
04/30/2018	BUSZEK, RICHARD	BLACK ROCK 67776G527	0.00	1.13	0.00	1.13	95.0	1.07
04/30/2018	BUSZEK, RICHARD	BLACK ROCK 67776G527	0.00	1.14	0.00	1.14	95.0	1.08
04/30/2018	BUSZEK, RICHARD	BLACK ROCK 67776G527	0.00	3.41	0.00	3.41	95.0	3.24
04/30/2018	BUSZEK, RICHARD	BLACK ROCK 67776G527	0.00	3.40	0.00	3.40	95.0	3.23
04/30/2018	COOPER, LISA	BLACK ROCK 67776G527	0.00	6.99	0.00	6.99	95.0	6.64
04/30/2018	COOPER, LISA	BLACK ROCK 67776G527	0.00	2.33	0.00	2.33	95.0	2.21
/ /	BUSZEK, RICHARD	BLACK ROCK 67776G543	0.00	1.92	0.00	1.92	95.0	1.82
04/30/2018	WETZEL, DIANNE	BLACK ROCK 67776G543	0.00	1.03	0.00	1.03	95.0	0.98
04/30/2018	WETZEL, DIANNE	BLACK ROCK 67776G543	0.00	0.95	0.00	0.95	95.0	0.90
04/30/2018	WETZEL, DIANNE	BLACK ROCK 67776G543	0.00	2.84	0.00	2.84	95.0	2.70
04/30/2018	WETZEL, DIANNE	BLACK ROCK 67776G543	0.00	3.08	0.00	3.08	95.0	2.93
04/30/2018	BUSZEK, RICHARD	BLACK ROCK 67776G543	0.00	0.64	0.00	0.64	95.0	0.61
04/30/2018	BAKER, JEAN-ANNE	BLACK ROCK 67776G543	0.00	0.10	0.00	0.10	95.0	0.10
04/30/2018	BAKER, JEAN-ANNE	BLACK ROCK 67776G543	0.00	0.31	0.00	0.31	95.0	0.29
/ /	NIZIO, EDMUND	BLACKROCK 67776G493	0.00	7.89	0.00	7.89	95.0	7.50
04/30/2018	NIZIO, EDMUND	BLACKROCK 67776G493	0.00	2.63	0.00	2.63	95.0	2.50
04/30/2018	NIZIO, MARGARET	BLACKROCK 67776G493	0.00	2.63	0.00	2.63	95.0	2.50
04/30/2018	NIZIO, MARGARET	BLACKROCK 67776G493	0.00	7.89	0.00	7.89	95.0	7.50
04/30/2018	MOELLER, RICHARD	BLACKROCK 67776G493	0.00	0.66	0.00	0.66	95.0	0.63
04/30/2018	MOELLER, RICHARD	BLACKROCK 67776G493	0.00	0.22	0.00	0.22	95.0	0.21
04/30/2018	BAKER, JEAN-ANNE	BLACKROCK 67776G493	0.00	0.04	0.00	0.04	95.0	0.04
04/30/2018	BAKER, JEAN-ANNE	BLACKROCK 67776G493	0.00	0.13	0.00	0.13	95.0	0.12
04/30/2018	GALLAS, JAMES L & ANN M	BLACKROCK 19452P473	0.00	7.29	0.00	7.29	95.0	6.93
04/30/2018	KUCHAREK, PAUL	BLACKROCK 67776G469	0.00	2.03	0.00	2.03	95.0	1.93
04/30/2018	KUCHAREK, PAUL	BLACKROCK 67776G469	0.00	3.97	0.00	3.97	95.0	3.77
04/30/2018	MUENCH, DANIEL	BLACKROCK 67776G469	0.00	2.40	0.00	2.40	95.0	2.28

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/30/2018	MERRITT, GREGORY	BLACKROCK 67776G469	0.00	0.05	0.00	0.05	95.0	0.05
04/30/2018	BURKE, KIMBERLY	BLACKROCK 67776G469	0.00	5.94	0.00	5.94	95.0	5.64
04/30/2018	BURKE, KIMBERLY	BLACKROCK 67776G469	0.00	1.44	0.00	1.44	95.0	1.37
04/30/2018	KUCHAREK, PAUL	BLACKROCK 67776G469	0.00	3.96	0.00	3.96	95.0	3.76
/ /	BURKE, KIMBERLY	BLACKROCK 67776G477	0.00	2.08	0.00	2.08	95.0	1.98
04/30/2018	MOELLER, RICHARD	BLACKROCK 67776G477	0.00	0.25	0.00	0.25	95.0	0.24
04/30/2018	MOELLER, RICHARD	BLACKROCK 67776G477	0.00	0.74	0.00	0.74	95.0	0.70
04/30/2018	TRYNAN, SHELLY	BLACKROCK 67776G477	0.00	1.44	0.00	1.44	95.0	1.37
04/30/2018	TRYNAN, SHELLY	BLACKROCK 67776G477	0.00	0.48	0.00	0.48	95.0	0.46
04/30/2018	COOPER, LISA	BLACKROCK 67776G477	0.00	1.78	0.00	1.78	95.0	1.69
04/30/2018	COOPER, LISA	BLACKROCK 67776G477	0.00	0.59	0.00	0.59	95.0	0.56
04/30/2018	COOPER, LISA	BLACKROCK 67776G477	0.00	0.66	0.00	0.66	95.0	0.63
04/30/2018	COOPER, LISA	BLACKROCK 67776G477	0.00	1.97	0.00	1.97	95.0	1.87
04/30/2018	BURKE, KIMBERLY	BLACKROCK 67776G477	0.00	6.24	0.00	6.24	95.0	5.93
/ /	MERRITT, GREGORY	BLACKROCK 67776G519	0.00	5.05	0.00	5.05	95.0	4.80
04/30/2018	YASCH, SANDY	BLACKROCK 67776G519	0.00	0.64	0.00	0.64	95.0	0.61
04/30/2018	BAKER, JEAN-ANNE	BLACKROCK 67776G519	0.00	1.89	0.00	1.89	95.0	1.80
04/30/2018	BAKER, JEAN-ANNE	BLACKROCK 67776G519	0.00	0.87	0.00	0.87	95.0	0.83
/ /	MERRITT, GREGORY	BLACKROCK 67776G535	0.00	4.78	0.00	4.78	95.0	4.54
/ /	BURKE, KIMBERLY	BLACKROCK ENROLMENT CLASS C	0.00	6.24	0.00	6.24	95.0	5.93
04/30/2018	BURKE, KIMBERLY	BLACKROCK ENROLMENT CLASS C	0.00	2.51	0.00	2.51	95.0	2.38
04/30/2018	BURKE, KIMBERLY	BLACKROCK ENROLMENT CLASS C	0.00	2.08	0.00	2.08	95.0	1.98
04/30/2018	TRYNAN, SHELLY	BLACKROCK ENROLMENT CLASS C	0.00	2.79	0.00	2.79	95.0	2.65
04/30/2018	TRYNAN, SHELLY	BLACKROCK ENROLMENT CLASS C	0.00	0.93	0.00	0.93	95.0	0.88
04/30/2018	BURKE, KIMBERLY	BLACKROCK ENROLMENT CLASS C	0.00	7.53	0.00	7.53	95.0	7.15
/ /	WILLIT	BLK AGG GROWTH A	0.00	1.69	0.00	1.69	95.0	1.61
/ /	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.17	0.00	0.17	95.0	0.16
04/30/2018	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.50	0.00	0.50	95.0	0.48
05/03/2018	MERRITT, KATHY	COLUMBIA ACORN A	0.00	10.06	0.00	10.06	95.0	9.56
05/03/2018	EQUITY TRUST CO	COLUMBIA ACORN A	0.00	5.07	0.00	5.07	95.0	4.82
05/03/2018	MOORE, DONYELLE	COLUMBIA EQUITY VALUE A	0.00	1.00	0.00	1.00	95.0	0.95
05/03/2018	MUNTEANU, RADU	COLUMBIA GREATER CHINA A	0.00	9.21	0.00	9.21	95.0	8.75
05/03/2018	KLEMPNER TRUST, VLADIMIR	COLUMBIA INCOME BUILDER FUND A	0.00	9.21	0.00	9.21	95.0	8.75

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/03/2018	SMYTH, DAVID	COLUMBIA LARGE CAP GROWTH A	0.00	3.55	0.00	3.55	95.0	3.37
05/03/2018	MOORE, DONYELLE	COLUMBIA MIDCAP VALUE A	0.00	8.95	0.00	8.95	95.0	8.50
05/03/2018	KULA, THOMAS	COLUMBIA SELIGMAN COMM AND INFO A	0.00	3.10	0.00	3.10	95.0	2.95
05/03/2018	HOSKIN, JOHN	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.28	0.00	6.28	95.0	5.97
05/03/2018	SWIDER, ROB	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.49	0.00	6.49	95.0	6.17
05/03/2018	VANMEER, MICHAEL	COLUMBIA SELIGMAN COMM AND INFO A	0.00	4.24	0.00	4.24	95.0	4.03
05/03/2018	SCULLY, DOROTHY	COLUMBIA SELIGMAN COMM AND INFO C	0.00	46.69	0.00	46.69	95.0	44.36
05/03/2018	FALKIEWICZ, DAVID	COLUMBIA SMALL CAP VALUE I A	0.00	6.11	0.00	6.11	95.0	5.80
05/03/2018	MOORE, DONYELLE	COLUMBIA SMALL CAP VALUE I A	0.00	5.07	0.00	5.07	95.0	4.82
05/03/2018	KUCHAREK, EMMA	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
05/03/2018	KUCHAREK, PETER	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
05/03/2018	KUCHAREK, GILLIAN	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
05/03/2018	KUCHAREK, SARAH	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
05/03/2018	KINGSLEY, BRIAN GENE	COLUMBIA STRATEGIC INVESTOR A	0.00	2.78	0.00	2.78	95.0	2.64
05/03/2018	KUCHAREK, MIRIAM	COLUMBIA STRATEGIC INVESTOR A	0.00	0.20	0.00	0.20	95.0	0.19
05/07/2018	HOFER, KATHLEEN	FEDERATED BOND A	0.00	1.59	0.00	1.59	95.0	1.51
05/07/2018	ELLIOT, PATRICIA	FEDERATED EQUITY INCOME A	0.00	11.57	0.00	11.57	95.0	10.99
05/07/2018	KING, VICTORIA	FEDERATED KAUFMANN FUND A	0.00	11.09	0.00	11.09	95.0	10.54
05/07/2018	ELLIOT, JAMES	FEDERATED KAUFMANN FUND-C	0.00	11.82	0.00	11.82	95.0	11.23
05/07/2018	ELLIOT, JAMES	FEDERATED KAUFMANN FUND-C	0.00	35.47	0.00	35.47	95.0	33.70
05/07/2018	FINCH, BEVERLY	FEDERATED SVCS CORP	0.00	0.25	0.00	0.25	95.0	0.24
05/07/2018	HEGEL, SUSAN	FEDERATED US GOV SEC A	0.00	2.34	0.00	2.34	95.0	2.22
/ /	MERRITT, GREGORY	GLOBAL ALLOCATION FUND	0.00	2.10	0.00	2.10	95.0	2.00
04/30/2018	COOPER, LISA	GLOBAL ALLOCATION FUND	0.00	3.30	0.00	3.30	95.0	3.14
04/30/2018	YASCH, KEN	GLOBAL ALLOCATION FUND	0.00	0.60	0.00	0.60	95.0	0.57
04/30/2018	BURKE, KIMBERLY	GLOBAL ALLOCATION FUND	0.00	6.37	0.00	6.37	95.0	6.05
04/30/2018	COOPER, LISA	GLOBAL ALLOCATION FUND	0.00	2.95	0.00	2.95	95.0	2.80
04/30/2018	KUCHAREK, PAUL	GLOBAL ALLOCATION FUND	0.00	2.46	0.00	2.46	95.0	2.34
04/30/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	0.00	5.23	0.00	5.23	95.0	4.97
04/30/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	0.00	3.50	0.00	3.50	95.0	3.33
04/30/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	0.00	3.50	0.00	3.50	95.0	3.33
04/30/2018	YASCH, TONI	GLOBAL ALLOCATION FUND	0.00	0.56	0.00	0.56	95.0	0.53
05/14/2018	LAI, MICHAEL	JH FINANCIAL INDUSTRIES A	0.00	1.25	0.00	1.25	95.0	1.19

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/14/2018	MALINAK, FRANCES	JH FINANCIAL INDUSTRIES A	0.00	7.15	0.00	7.15	95.0	6.79
05/14/2018	BOHACEK, STEVE	JH LARGE CAP EQUITY A	0.00	6.79	0.00	6.79	95.0	6.45
05/14/2018	RACK, ROBERT	JH LARGE CAP EQUITY A	0.00	5.94	0.00	5.94	95.0	5.64
05/14/2018	ENGLEBERT, JAMES	JH REGIONAL BANK A	0.00	5.20	0.00	5.20	95.0	4.94
05/14/2018	HELTSLEY, MARTHA	JH REGIONAL BANK A	0.00	2.01	0.00	2.01	95.0	1.91
05/14/2018	BOZELKA, KEVIN	JH REGIONAL BANK A	0.00	2.07	0.00	2.07	95.0	1.97
05/14/2018	JAHNKE, DENNIS	JH REGIONAL BANK A	0.00	4.87	0.00	4.87	95.0	4.63
05/14/2018	EIS (MINOR), MARGO	JH STRATEGIC GROWTH A	0.00	0.49	0.00	0.49	95.0	0.47
05/14/2018	MANZO, JOHN	LORD ABBETT AFFILIATED A	0.00	224.36	0.00	224.36	95.0	213.14
05/14/2018	FREAR, BARBARA	LORD ABBETT AFFILIATED A	0.00	16.11	0.00	16.11	95.0	15.30
05/14/2018	MINOLETTI, JANE	LORD ABBETT AFFILIATED A	0.00	58.54	0.00	58.54	95.0	55.61
05/03/2018	BUSSELL REV LV TRUST, SHARON	WELLS FARGO 100% TREASURY MMKT-A	0.00	7.18	0.00	7.18	95.0	6.82
05/03/2018	THOMAS, HENRY	WELLS FARGO INCOME PLUS A	0.00	3.11	0.00	3.11	95.0	2.95
05/03/2018	THOMAS, SYBIL	WELLS FARGO INCOME PLUS A	0.00	2.50	0.00	2.50	95.0	2.38
05/03/2018	TOTEFF, JESSIE	WELLS FARGO INCOME PLUS A	0.00	3.29	0.00	3.29	95.0	3.13
05/03/2018	SPEAROT, DOUGLAS	WELLS FARGO INCOME PLUS A	0.00	0.88	0.00	0.88	95.0	0.84
05/03/2018	GUTMAN, KENNETH	WELLS FARGO INTL BOND A	0.00	2.16	0.00	2.16	95.0	2.05
05/03/2018	GUTMAN, MATHEW	WELLS FARGO INTL BOND A	0.00	2.16	0.00	2.16	95.0	2.05
05/03/2018	JAGGER, ALBERT	WELLS FARGO INTL BOND A	0.00	26.36	0.00	26.36	95.0	25.04
05/03/2018	BUSSELL REV LIV TRST, GEROGE &	WELLS FARGO MONEY MKT A	0.00	26.19	0.00	26.19	95.0	24.88
05/03/2018	STEBBINS, DAVID	WELLS FARGO OPP A	0.00	0.65	0.00	0.65	95.0	0.62
05/03/2018	BASILE, NINO	WELLS FARGO OPP A	0.00	0.94	0.00	0.94	95.0	0.89
05/03/2018	DUDLEY, CHERYL	WELLS FARGO OPP A	0.00	1.20	0.00	1.20	95.0	1.14
05/03/2018	BASILE, ANNA	WELLS FARGO OPP A	0.00	0.84	0.00	0.84	95.0	0.80
05/03/2018	CODDING, CRAIG	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	3.72	0.00	3.72	95.0	3.53
05/03/2018	KISH, VIVIAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.96	0.00	0.96	95.0	0.91
05/03/2018	ROEHRIG, RITA	WELLS FARGO STRATEGIC MUNI A	0.00	2.65	0.00	2.65	95.0	2.52
05/03/2018	JAGGER, ALBERT	WELLS FARGO STRATEGIC MUNI A	0.00	24.52	0.00	24.52	95.0	23.29
05/03/2018	PER, MARIANNE	WELLS FARGO STRATEGIC MUNI A	0.00	0.18	0.00	0.18	95.0	0.17
05/03/2018	TSEREGOUNIS, SPYROS	WELLS FARGO STRATEGIC MUNI A	0.00	5.13	0.00	5.13	95.0	4.87
05/03/2018	ALEXOPOULOS, PAUL	WELLS FARGO STRATEGIC MUNI A	0.00	5.63	0.00	5.63	95.0	5.35
05/03/2018	REBNER, WALDEMAR	WELLS FARGO STRATEGIC MUNI A	0.00	9.10	0.00	9.10	95.0	8.65
05/03/2018	SPEAROT, REBECCA	WELLS FARGO STRATEGIC MUNI A	0.00	1.62	0.00	1.62	95.0	1.54

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/03/2018	CLEMAK, CHARLES	WELLS FARGO STRATEGIC MUNI A	0.00	27.51	0.00	27.51	95.0	26.13
05/03/2018	MUGLIA, DOMINIC	WELLS FARGO STRATEGIC MUNI A	0.00	36.49	0.00	36.49	95.0	34.67
05/03/2018	MCLUCAS, ROBERT	WELLS FARGO STRATEGIC MUNI A	0.00	53.55	0.00	53.55	95.0	50.87
05/03/2018	KATEHI, PISTI	WELLS FARGO TOTAL RETURN BOND A	0.00	1.10	0.00	1.10	95.0	1.05
05/03/2018	TSEREGOUNIS, SPYROS	WELLS FARGO TOTAL RETURN BOND A	0.00	1.04	0.00	1.04	95.0	0.99
05/03/2018	SNYDER, SHIRLEY	WELLS FARGO TOTAL RETURN BOND A	0.00	0.57	0.00	0.57	95.0	0.54
05/03/2018	BAYS, MARVIN	WELLS FARGO UTILITY AND TELECOM A	0.00	10.91	0.00	10.91	95.0	10.36
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$968.76	\$0.00	\$968.76		\$920.39
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/04/2018	SCHROETER, RICHARD	TRANSAMERICA EXTRA	0.00	32.84	0.00	32.84	95.0	31.20
04/14/2018	GRUSH, ERNEST	TRANSAMERICA LANDMARK	0.00	130.64	0.00	130.64	95.0	124.11
04/30/2018	MADSEN, JOYCE	TRANSAMERICA LANDMARK	0.00	4.06	0.00	4.06	95.0	3.86
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$167.54	\$0.00	\$167.54		\$159.17
*** DIRECT TRANSACTIONS TOTAL ***			\$4,650,134.27	\$5,270.27	\$0.00	\$5,270.27		\$5,006.93

SPLIT TRANSACTIONS**BROKER: MARK, 103 KOSANKE #3**

05/28/2018	STEFFES, MARTIN	JNL REWARD 10 YR TERM	32.61	0.16	0.00	0.16	95.0	0.15
05/03/2018	RIMKUS, MARILYN	WELLS FARGO ENTERPRISE A	0.00	4.59	0.00	4.59	95.0	4.36
05/03/2018	ALEXANDER, JEAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.88	0.00	0.88	95.0	0.84
05/03/2018	EMMER, ILENE	WELLS FARGO TOTAL RETURN BOND A	0.00	0.17	0.00	0.17	95.0	0.16
05/03/2018	DANIELS, KATHLEEN	WELLS FARGO TOTAL RETURN BOND A	0.00	2.88	0.00	2.88	95.0	2.74
05/03/2018	SMOLBOSKI, BARBARA	WELLS FARGO TOTAL RETURN BOND A	0.00	0.21	0.00	0.21	95.0	0.20
* MARK, 103 KOSANKE SUBTOTAL *			\$32.61	\$8.89	\$0.00	\$8.89		\$8.45
*** SPLIT TRANSACTIONS TOTAL ***			\$32.61	\$8.89	\$0.00	\$8.89		\$8.45

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: FRANK DUNN #7 </u>								
04/30/2018	BRENT, MARY	GRIFFIN INST'L ACCESS R E FUND	48,478.92	9.96	0.00	9.96	90.0	0.50
04/30/2018	SCARLATO, MARY	GRIFFIN INST'L ACCESS R E FUND	48,547.01	9.98	0.00	9.98	90.0	0.50
* FRANK DUNN SUBTOTAL *			\$97,025.93	\$19.94	\$0.00	\$19.94		\$1.00
<u> BROKER: ALEX KOWALSKI #17 </u>								
05/23/2018	BT MOKLER PROPERTIES	MOODY DFW DST	189,388.00	11,363.28	0.00	11,363.28	85.0	568.16
* ALEX KOWALSKI SUBTOTAL *			\$189,388.00	\$11,363.28	\$0.00	\$11,363.28		\$568.16
<u> BROKER: THOMAS SCHNEIDER #30 </u>								
/ /	D&D TRAILER HAULING INC	PROFUNDS 74318A257	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GREENE, DAVID	PROFUNDS 74318A257	0.00	0.01	0.00	0.01	0.0	0.01
/ /	D&D TRAILER HAULING INC	PROFUNDS MONEY MARKET-SVC	0.00	0.24	0.00	0.24	0.0	0.23
/ /	GREENE, DAVID	PROFUNDS MONEY MARKET-SVC	0.00	0.24	0.00	0.24	0.0	0.23
/ /	D&D TRAILER HAULING INC	PROFUNDS MONEY MARKET-SVC	0.00	0.24	0.00	0.24	0.0	0.23
/ /	GREENE, DAVID	PROFUNDS MONEY MARKET-SVC	0.00	0.24	0.00	0.24	0.0	0.23
/ /	D&D TRAILER HAULING INC	PROFUNDS RISING RATES SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GREENE, DAVID	PROFUNDS RISING RATES SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	D&D TRAILER HAULING INC	PROFUNDS RISING RATES SVC	0.00	0.08	0.00	0.08	0.0	0.08
/ /	GREENE, DAVID	PROFUNDS RISING RATES SVC	0.00	0.08	0.00	0.08	0.0	0.08
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.19	0.00	0.19	0.0	0.18
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.19	0.00	0.19	0.0	0.18
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.09	0.00	0.09	0.0	0.09
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.09	0.00	0.09	0.0	0.09
/ /	D&D TRAILER HAULING INC	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GREENE, DAVID	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	D&D TRAILER HAULING INC	PROFUNDS US GOV PLUS SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GREENE, DAVID	PROFUNDS US GOV PLUS SVC	0.00	0.03	0.00	0.03	0.0	0.03
* THOMAS SCHNEIDER SUBTOTAL *			\$0.00	\$2.04	\$0.00	\$2.04		\$1.98

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

*** OVERRIDE TRANSACTIONS TOTAL ***	\$286,413.93	\$11,385.26	\$0.00	\$11,385.26	\$571.14
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ADJUSTMENTS

05/31/2018	*MISC: LOSS PERTAINING TO 7A500354	0.00						-53.53
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$-53.53

BROKER COMMISSION TOTALS

Broker Transactions	\$5,006.93
Split Transactions	\$8.45
Override Transactions	\$571.14
Adjustments	\$-53.53
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,532.99
Year-to-date Gross	\$147,782.65
Year-to-date Earnings	\$151,153.76

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