



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 30, 2018

05/02/2018
Page No. 1

K146-JASON KEMBLE
4106 E. Doe Creek Drive

BROKER #: K146
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65202-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/10/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	3.83
04/10/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
04/10/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	50.00	2.13	0.00	2.13	90.0	1.92
04/10/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	4.25	0.00	4.25	90.0	3.83
04/16/2018	NASHAN, SUSAN	RTMT INCOME PORTFOLIO CONSERVATIVE-	100.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *			\$400.00	\$13.76	\$0.00	\$13.76		\$12.40
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/05/2018	MCMILLION, CORENA	PAC LIFE 69448B175	0.00	210.00	0.00	210.00	90.0	189.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$210.00	\$0.00	\$210.00		\$189.00
*** DIRECT TRANSACTIONS TOTAL ***			\$400.00	\$223.76	\$0.00	\$223.76		\$201.40

SPLIT TRANSACTIONS

BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349

04/10/2018	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	800.00	18.00	0.00	18.00	90.0	16.20
04/18/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.91	0.10	0.00	0.10	90.0	0.09
04/18/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.91	0.10	0.00	0.10	90.0	0.09
04/19/2018	GROFF, CHRISTINE	AM FUNDS AMERICAN BALANCED A	4,000.00	49.50	0.00	49.50	90.0	44.55
04/18/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.13	0.00	0.13	90.0	0.12
04/18/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.13	0.00	0.13	90.0	0.12
04/18/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	66.21	0.30	0.00	0.30	90.0	0.27
04/18/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	66.21	0.30	0.00	0.30	90.0	0.27
04/10/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	5.15	0.00	5.15	90.0	4.64
04/11/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	24.77	0.56	0.00	0.56	90.0	0.50
04/11/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	24.77	0.56	0.00	0.56	90.0	0.50
04/16/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349								
04/16/2018	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
04/17/2018	PRICE, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	750.00	16.88	0.00	16.88	90.0	15.19
04/17/2018	SUMNER, J	AM FUNDS GROWTH FUND OF AMERICA A	250.00	5.63	0.00	5.63	90.0	5.07
04/17/2018	HARKNESS, JOAN	AM FUNDS GROWTH FUND OF AMERICA A	150.00	3.38	0.00	3.38	90.0	3.04
04/18/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	69.81	0.32	0.00	0.32	90.0	0.29
04/18/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	69.81	0.32	0.00	0.32	90.0	0.29
04/18/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	75.00	1.44	0.00	1.44	90.0	1.30
04/18/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	20.25	0.09	0.00	0.09	90.0	0.08
04/18/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	27.00	0.12	0.00	0.12	90.0	0.11
04/18/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	58.27	0.26	0.00	0.26	90.0	0.23
04/18/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	58.27	0.26	0.00	0.26	90.0	0.23
04/18/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.05	0.00	0.05	90.0	0.05
04/18/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.05	0.00	0.05	90.0	0.05
04/18/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.13	0.00	0.13	90.0	0.12
04/18/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.13	0.00	0.13	90.0	0.12
04/18/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	14.89	0.07	0.00	0.07	90.0	0.06
04/18/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	14.89	0.07	0.00	0.07	90.0	0.06
04/18/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	50.19	0.23	0.00	0.23	90.0	0.21
04/18/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	50.19	0.23	0.00	0.23	90.0	0.21
04/18/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	37.43	0.17	0.00	0.17	90.0	0.15
04/18/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	37.43	0.17	0.00	0.17	90.0	0.15
04/18/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.22	0.00	0.22	90.0	0.20
04/18/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.22	0.00	0.22	90.0	0.20
04/18/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	41.33	0.18	0.00	0.18	90.0	0.16
04/18/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	41.33	0.18	0.00	0.18	90.0	0.16
04/18/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	34.63	0.16	0.00	0.16	90.0	0.14
04/18/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	34.63	0.16	0.00	0.16	90.0	0.14
04/18/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	36.77	0.17	0.00	0.17	90.0	0.15
04/18/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	85.80	0.39	0.00	0.39	90.0	0.35
04/18/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	10.38	0.05	0.00	0.05	90.0	0.05
04/18/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	10.38	0.05	0.00	0.05	90.0	0.05
04/18/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	41.96	0.19	0.00	0.19	90.0	0.17
04/18/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	41.96	0.19	0.00	0.19	90.0	0.17

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<u>SPLIT TRANSACTIONS</u>								
BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349								
04/18/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	48.22	0.22	0.00	0.22	90.0	0.20
04/18/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	48.22	0.22	0.00	0.22	90.0	0.20
04/18/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	15.66	0.07	0.00	0.07	90.0	0.06
04/18/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	15.66	0.07	0.00	0.07	90.0	0.06
04/18/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.14	0.00	0.14	90.0	0.13
04/18/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.14	0.00	0.14	90.0	0.13
04/18/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.17	0.00	0.17	90.0	0.15
04/18/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.17	0.00	0.17	90.0	0.15
04/18/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	33.68	0.15	0.00	0.15	90.0	0.14
04/18/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	33.68	0.15	0.00	0.15	90.0	0.14
04/18/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	5.28	0.02	0.00	0.02	90.0	0.02
04/18/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	5.28	0.02	0.00	0.02	90.0	0.02
04/20/2018	BAILEY, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	60.00	1.35	0.00	1.35	90.0	1.22
04/20/2018	MCNERNEY, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
04/18/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	45.01	0.20	0.00	0.20	90.0	0.18
04/18/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	150.02	0.68	0.00	0.68	90.0	0.61
04/18/2018	ZELLMER, RIANNON	AM FUNDS ICA A	16.35	0.07	0.00	0.07	90.0	0.06
04/18/2018	ZELLMER, RIANNON	AM FUNDS ICA A	16.35	0.07	0.00	0.07	90.0	0.06
04/18/2018	WAHLIG, KACEY	AM FUNDS ICA A	21.92	0.10	0.00	0.10	90.0	0.09
04/18/2018	WAHLIG, KACEY	AM FUNDS ICA A	21.92	0.10	0.00	0.10	90.0	0.09
04/18/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	19.28	0.09	0.00	0.09	90.0	0.08
04/18/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	19.29	0.09	0.00	0.09	90.0	0.08
04/18/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	75.00	1.44	0.00	1.44	90.0	1.30
04/18/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	52.12	0.23	0.00	0.23	90.0	0.21
04/18/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	52.12	0.23	0.00	0.23	90.0	0.21
04/18/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.55	0.00	0.55	90.0	0.50
04/18/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	312.85	1.41	0.00	1.41	90.0	1.27
04/18/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	45.99	0.21	0.00	0.21	90.0	0.19
04/18/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	45.99	0.21	0.00	0.21	90.0	0.19
04/18/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	20.25	0.09	0.00	0.09	90.0	0.08
04/18/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	27.00	0.12	0.00	0.12	90.0	0.11
04/18/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	35.93	0.16	0.00	0.16	90.0	0.14
04/18/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	59.88	0.27	0.00	0.27	90.0	0.24

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
04/10/2018	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	200.00	4.50	0.00	4.50	90.0	4.05
04/16/2018	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	1.13	0.00	1.13	90.0	1.02
04/18/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	19.29	0.09	0.00	0.09	90.0	0.08
04/18/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	19.28	0.09	0.00	0.09	90.0	0.08
04/18/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.13	0.00	0.13	90.0	0.12
04/18/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.13	0.00	0.13	90.0	0.12
* JEFF/JASON, K148 KAUFMAN/KEMBLE SUBTOTAL *			\$9,810.42	\$129.02	\$0.00	\$129.02		\$116.17
*** SPLIT TRANSACTIONS TOTAL ***			\$9,810.42	\$129.02	\$0.00	\$129.02		\$116.17

BROKER COMMISSION TOTALS

Broker Transactions	\$201.40
Split Transactions	\$116.17
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$317.57
Year-to-date Gross	\$20,342.59
Year-to-date Earnings	\$18,348.97

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