

# COMMISSION STATEMENT July 31, 2018

 DARYL ARNETT-D363  
 11736 Marigold Circle

 BROKER #: D363  
 BRANCH: D00

Fishers, IN 46038

**COMMISSION STATEMENT for DARYL ARNETT-D363**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**CLEARING TRANSACTIONS**
**PRODUCT CATEGORY: MUTUAL FUNDS**

07/16/2018	122140721	ARNETT, DARYL	MF	VANGUARD MID CAP GROWTH INDEX	S	-1,623.24	0.00	5.00	-5.00	85.0	-4.25
				* MUTUAL FUNDS SUBTOTAL *		\$-1,623.24	\$0.00	\$5.00	\$-5.00		\$-4.25

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

07/23/2018	mfc038408	VARIOUS,	MFT	AMCAP FUND-A	B	0.00	1.85	0.00	1.85	85.0	1.57
07/23/2018	mfc038408	VARIOUS,	MFT	AMERICAN MUTUAL FUND-A	B	0.00	15.70	0.00	15.70	85.0	13.35
07/23/2018	mfc038408	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.86	0.00	0.86	85.0	0.73
07/23/2018	mfc038408	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.28	0.00	1.28	85.0	1.09
07/23/2018	mfc038408	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.79	0.00	1.79	85.0	1.52
07/23/2018	mfc038408	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	11.70	0.00	11.70	85.0	9.95
07/23/2018	mfc038408	VARIOUS,	MFT	CAPITAL INCOME BUILDER CLASS C	B	0.00	80.89	0.00	80.89	85.0	68.76
07/23/2018	mfc038408	VARIOUS,	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.18	0.00	0.18	85.0	0.15
07/23/2018	mfc038408	VARIOUS,	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.19	0.00	0.19	85.0	0.16
07/23/2018	mfc038408	VARIOUS,	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.19	0.00	0.19	85.0	0.16
07/23/2018	mfc038408	VARIOUS,	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.28	0.00	0.28	85.0	0.24
07/23/2018	mfc038408	VARIOUS,	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.33	0.00	0.33	85.0	0.28
07/23/2018	mfc038408	VARIOUS,	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.64	0.00	1.64	85.0	1.39
07/23/2018	mfc038408	VARIOUS,	MFT	FRANKLIN INCOME FUND CLASS A	B	0.00	0.47	0.00	0.47	85.0	0.40
07/23/2018	mfc038408	VARIOUS,	MFT	INVESCO EQUITY AND INCOME FUND	B	0.00	1.33	0.00	1.33	85.0	1.13
07/23/2018	mfc038408	VARIOUS,	MFT	INVESCO MID CAP GROWTH FUND -	B	0.00	5.00	0.00	5.00	85.0	4.25
07/23/2018	mfc038408	VARIOUS,	MFT	JP MORGAN 339128308	B	0.00	2.12	0.00	2.12	85.0	1.80
07/23/2018	mfc038408	VARIOUS,	MFT	MFS GLOBAL TOTAL RETURN FUND-A	B	0.00	2.01	0.00	2.01	85.0	1.71
07/23/2018	mfc038408	VARIOUS,	MFT	MFS MODERATE ALLOCATION FUND-A	B	0.00	2.15	0.00	2.15	85.0	1.83
07/23/2018	mfc038408	VARIOUS,	MFT	NEW WORLD FUND-A	B	0.00	0.17	0.00	0.17	85.0	0.14
07/23/2018	mfc038408	VARIOUS,	MFT	NEW WORLD FUND-A	B	0.00	0.17	0.00	0.17	85.0	0.14
07/23/2018	mfc038408	VARIOUS,	MFT	NEW WORLD FUND-A	B	0.00	0.31	0.00	0.31	85.0	0.26
07/23/2018	mfc038408	VARIOUS,	MFT	NEW WORLD FUND-A	B	0.00	0.84	0.00	0.84	85.0	0.71
07/23/2018	mfc038408	VARIOUS,	MFT	NEW WORLD FUND-A	B	0.00	0.90	0.00	0.90	85.0	0.77
07/23/2018	mfc038408	VARIOUS,	MFT	OPPENHEIMER SMALL & MID CAP	B	0.00	2.50	0.00	2.50	85.0	2.13
07/23/2018	mfc038408	VARIOUS,	MFT	OPPENHEIMER SMALL & MID CAP	B	0.00	3.43	0.00	3.43	85.0	2.92
07/23/2018	mfc038408	VARIOUS,	MFT	SMALLCAP WORLD FUND-A	B	0.00	0.11	0.00	0.11	85.0	0.09

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07/23/2018	mfc038408	VARIOUS, MFT SMALLCAP WORLD FUND-A	B	0.00	0.20	0.00	0.20	85.0	0.17
07/23/2018	mfc038408	VARIOUS, MFT SMALLCAP WORLD FUND-A	B	0.00	0.38	0.00	0.38	85.0	0.32
07/23/2018	mfc038408	VARIOUS, MFT THE BOND FUND OF AMERICA-A	B	0.00	0.40	0.00	0.40	85.0	0.34
07/23/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.11	0.00	0.11	85.0	0.09
07/23/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.53	0.00	1.53	85.0	1.30
07/23/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.62	0.00	1.62	85.0	1.38
07/23/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.84	0.00	1.84	85.0	1.56
07/23/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.84	0.00	1.84	85.0	1.56
07/23/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.04	0.00	2.04	85.0	1.73
07/23/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.07	0.00	2.07	85.0	1.76
07/23/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.35	0.00	5.35	85.0	4.55
07/23/2018	mfc038408	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	19.70	0.00	19.70	85.0	16.75
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$175.47</b>	<b>\$0.00</b>	<b>\$175.47</b>		<b>\$149.14</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-1,623.24</b>	<b>\$175.47</b>	<b>\$5.00</b>	<b>\$170.47</b>		<b>\$144.89</b>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

07/20/2018	00067872236	MACRY, RACHAEL	MF	NEW PERSPECTIVE FUND-A	B	50.00	0.50	0.00	0.50	85.0	0.43
07/20/2018	00067872236	MACRY, RACHAEL	MF	WASHINGTON MUTUAL INVESTORS	B	50.00	0.50	0.00	0.50	85.0	0.43
07/20/2018	00067872239	GALLOWAY, BRANDY	MF	WASHINGTON MUTUAL INVESTORS	B	40.00	0.40	0.00	0.40	85.0	0.34
07/20/2018	00067872246	LAND, MATTHEW	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	0.25	0.00	0.25	85.0	0.21
07/20/2018	00067872261	ROYAL, PAMELA	MF	WASHINGTON MUTUAL INVESTORS	B	35.00	0.35	0.00	0.35	85.0	0.30
07/20/2018	00067872709	SMITH, THAD	MF	FUNDAMENTAL INVESTORS-A	B	100.00	1.00	0.00	1.00	85.0	0.85
07/20/2018	00067872710	TOMEY, BRENDA	MF	NEW PERSPECTIVE FUND-A	B	5.00	0.05	0.00	0.05	85.0	0.04
07/20/2018	00067872710	TOMEY, BRENDA	MF	THE GROWTH FUND OF AMERICA-A	B	10.00	0.10	0.00	0.10	85.0	0.09
07/20/2018	00067872710	TOMEY, BRENDA	MF	WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	85.0	0.09
07/20/2018	00067872713	SMITH, CARLIE	MF	WASHINGTON MUTUAL INVESTORS	B	100.00	1.00	0.00	1.00	85.0	0.85
07/19/2018	00089028655	WOLF, AMY	MF	AMERICAN FUNDS 2040 TARGET	B	223.32	8.37	0.00	8.37	85.0	7.11
07/19/2018	00089028655	WOLF, AMY	MF	AMERICAN FUNDS 2040 TARGET	B	191.43	7.18	0.00	7.18	85.0	6.10
07/19/2018	00089038683	HOSPODARSKY,	MF	AMERICAN FUNDS 2025 TARGET	B	304.50	3.05	0.00	3.05	85.0	2.59
07/19/2018	00089038683	HOSPODARSKY,	MF	AMERICAN FUNDS 2025 TARGET	B	507.51	5.08	0.00	5.08	85.0	4.32
07/19/2018	04000735963	PANARANTO, CHRIS	MF	AMERICAN FUNDS 2040 TARGET	B	376.96	14.14	0.00	14.14	85.0	12.02
07/19/2018	04000735963	PANARANTO, CHRIS	MF	AMERICAN FUNDS 2040 TARGET	B	628.26	23.56	0.00	23.56	85.0	20.03
07/19/2018	04001030096	GEARHEART, DARRIN	MF	AMERICAN FUNDS 2040 TARGET	B	272.65	10.22	0.00	10.22	85.0	8.69
07/19/2018	04001030096	GEARHEART, DARRIN	MF	AMERICAN FUNDS 2040 TARGET	B	272.65	10.22	0.00	10.22	85.0	8.69
* MUTUAL FUNDS SUBTOTAL *						\$3,202.28	\$86.07	\$0.00	\$86.07		\$73.18

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/09/2018	BRK112958	ADV INST F	MFT	8504AFRKD	B	0.00	265.98	0.00	265.98	85.0	226.08
07/09/2018	BRK117283	LOGAN LIMITED	MFT	8504AFRKD	B	0.00	116.85	0.00	116.85	85.0	99.32
07/09/2018	BRK123032	WORK INC	MFT	8504AFRKD	B	0.00	360.65	0.00	360.65	85.0	306.55
07/09/2018	BRK127359	SADLER BROS	MFT	8504AFRKD	B	0.00	538.00	0.00	538.00	85.0	457.30

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07/09/2018	IRK119882 KAHN'S FINE WINES	MFT 8504AFRKD	B	0.00	624.02	0.00	624.02	85.0	530.42
07/09/2018	IRK85321 FISHERS PEDIATRIC	MFT 8504AFRKD	B	0.00	2,674.99	0.00	2,674.99	85.0	2,273.74
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$4,580.49	\$0.00	\$4,580.49		\$3,893.41
*** DIRECT TRANSACTIONS TOTAL ***				\$3,202.28	\$4,666.56	\$0.00	\$4,666.56		\$3,966.59

ADJUSTMENTS TRANSACTIONS

BROKER: #0

07/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
07/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
07/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$4,111.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,926.48
Year-to-date Commissions	\$21,247.99