

COMMISSION STATEMENT

July 31, 2018

DANIEL SIEGEL
99 WINGED FOOT DRIVE

BROKER #: R25
BRANCH: HOME OFFICE

LIVINGSTON, NJ 07039

COMMISSION STATEMENT for DANIEL SIEGEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
07/02/2018	QGW001497	KLUGMAN, ROBERT	NESTLE SA-SPONSORED ADR REPSTG REGD B	9,712.50	139.00	10.00	129.00	80.0	103.20
07/12/2018	QGW001497	KLUGMAN, ROBERT	SELECT SECTOR SPDR TRUST THE HEALTH S	-8,705.01	132.00	10.00	122.00	80.0	97.60
07/19/2018	QGW001497	KLUGMAN, ROBERT	INVESCO LTD ORD SHS ISIN#BMG491BT11 S	-6,405.03	128.00	10.00	118.00	80.0	94.40
07/27/2018	QGW001497	KLUGMAN, ROBERT	GENERAL ELECTRIC CO S	-3,900.03	99.00	10.00	89.00	80.0	71.20
07/27/2018	QGW001497	KLUGMAN, ROBERT	VALLEY NATIONAL BANCORP S	-4,741.60	115.00	10.00	105.00	80.0	84.00
07/02/2018	QGW009805	KELLY, ROBERT	NESTLE SA-SPONSORED ADR REPSTG REGD B	9,712.50	139.00	10.00	129.00	80.0	103.20
07/12/2018	QGW009805	KELLY, ROBERT	SELECT SECTOR SPDR TRUST THE HEALTH S	-8,705.50	132.00	10.00	122.00	80.0	97.60
07/19/2018	QGW009805	KELLY, ROBERT	INVESCO LTD ORD SHS ISIN#BMG491BT11 S	-6,405.03	128.00	10.00	118.00	80.0	94.40
07/20/2018	QGW009805	KELLY, ROBERT	VODAFONE GROUP PLC SPONSORED ADR NO S	-7,033.20	129.00	10.00	119.00	80.0	95.20
07/27/2018	QGW009805	KELLY, ROBERT	VALLEY NATIONAL BANCORP S	-4,740.04	115.00	10.00	105.00	80.0	84.00
07/23/2018	QGW009847	LEICHER, MARVIN	INVESCO LTD ORD SHS ISIN#BMG491BT11 B	7,707.00	136.00	10.00	126.00	80.0	100.80
07/23/2018	QGW009854	LEICHER, EVELYN	NESTLE SA-SPONSORED ADR REPSTG REGD B	24,390.00	212.00	10.00	202.00	80.0	161.60
07/02/2018	QGW009896	GREENBURG, JON	SELECT SECTOR SPDR TRUST THE HEALTH S	-16,620.02	50.00	10.00	40.00	80.0	32.00
07/16/2018	QGW009896	GREENBURG, JON	GENERAL ELECTRIC CO S	-5,564.00	50.00	10.00	40.00	80.0	32.00
07/16/2018	QGW009896	GREENBURG, JON	INVESCO LTD ORD SHS ISIN#BMG491BT11 S	-10,172.40	50.00	10.00	40.00	80.0	32.00
07/27/2018	QGW020919	KELLY, ROBERT	GENERAL ELECTRIC CO S	-3,900.00	99.00	10.00	89.00	80.0	71.20
07/20/2018	QGW021271	KLUGMAN, ROBERT	VODAFONE GROUP PLC SPONSORED ADR NO S	-7,033.20	129.00	10.00	119.00	80.0	95.20
07/20/2018	QGW022246	SUGARMAN, GAIL	VODAFONE GROUP PLC SPONSORED ADR NO S	-4,688.80	109.00	10.00	99.00	80.0	79.20
07/27/2018	QGW022246	SUGARMAN, GAIL	VALLEY NATIONAL BANCORP S	-4,740.16	115.00	10.00	105.00	80.0	84.00
07/12/2018	QGW023772	PATRICK E OBRIEN,	SELECT SECTOR SPDR TRUST THE HEALTH S	-8,705.01	132.00	10.00	122.00	80.0	97.60
07/19/2018	QGW023772	PATRICK E OBRIEN,	INVESCO LTD ORD SHS ISIN#BMG491BT11 S	-6,405.00	128.00	10.00	118.00	80.0	94.40
07/27/2018	QGW023772	PATRICK E OBRIEN,	GENERAL ELECTRIC CO S	-3,900.00	99.00	10.00	89.00	80.0	71.20
07/27/2018	QGW023772	PATRICK E OBRIEN,	VALLEY NATIONAL BANCORP S	-4,740.04	115.00	10.00	105.00	80.0	84.00
* STOCKS SUBTOTAL *				\$-75,582.07	\$2,680.00	\$230.00	\$2,450.00		\$1,960.00
*** BROKER TRANSACTIONS TOTAL ***				\$-75,582.07	\$2,680.00	\$230.00	\$2,450.00		\$1,960.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,960.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.35
SIPC Assessment	\$-6.70

Check Amount	\$1,949.95
Year-to-date Earnings	\$24,396.99