

COMMISSION STATEMENT

August 31, 2017

DANIEL SIEGEL
99 WINGED FOOT DRIVE

BROKER #: 20
BRANCH: HOME OFFICE

LIVINGSTON, NJ 07039

COMMISSION STATEMENT for DANIEL SIEGEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

08/03/2017	QGW001497	KLUGMAN, ROBERT	PFIZER INCORPORATED	S	-8,395.70	133.00	0.00	133.00	80.0	106.40
08/07/2017	QGW001497	KLUGMAN, ROBERT	GLADSTONE LD CORP COM	B	6,504.90	129.00	0.00	129.00	80.0	103.20
08/28/2017	QGW001497	KLUGMAN, ROBERT	FLEXSHARES TR QUALITY DIVID INDEX F B		9,870.94	141.00	0.00	141.00	80.0	112.80
08/03/2017	QGW009805	KELLY, ROBERT	PFIZER INCORPORATED	S	-8,395.70	133.00	0.00	133.00	80.0	106.40
08/28/2017	QGW009805	KELLY, ROBERT	FLEXSHARES TR QUALITY DIVID INDEX F B		9,870.94	141.00	0.00	141.00	80.0	112.80
08/07/2017	QGW009847	LEICHER, MARVIN	GLADSTONE LD CORP COM	B	13,009.80	249.00	0.00	249.00	80.0	199.20
08/16/2017	QGW009847	LEICHER, MARVIN	FIDELITY NATIONAL FINANCIAL INC	S	-24,227.50	216.00	0.00	216.00	80.0	172.80
08/21/2017	QGW009847	LEICHER, MARVIN	ADVENT CLAYMORE CONV SECS & INCOME	S	-7,975.00	145.00	0.00	145.00	80.0	116.00
07/28/2017	QGW009854	LEICHER, EVELYN	YRC WORLDWIDE INC	S	-6,560.00	137.00	0.00	137.00	80.0	109.60
08/03/2017	QGW009862	KANTOR MINOR, JAIME	PFIZER INCORPORATED	S	-3,358.28	68.00	0.00	68.00	80.0	54.40
08/07/2017	QGW009862	KANTOR MINOR, JAIME	GLADSTONE LD CORP COM	B	3,252.45	68.00	0.00	68.00	80.0	54.40
08/03/2017	QGW009896	GREENBURG, JON	PFIZER INCORPORATED	S	-13,433.12	50.00	0.00	50.00	80.0	40.00
08/07/2017	QGW009896	GREENBURG, JON	GLADSTONE LD CORP COM	B	6,504.90	62.50	0.00	62.50	80.0	50.00
08/28/2017	QGW009896	GREENBURG, JON	FLEXSHARES TR QUALITY DIVID INDEX F B		16,451.56	50.00	0.00	50.00	80.0	40.00
08/07/2017	QGW020919	KELLY, ROBERT	GLADSTONE LD CORP COM	B	6,504.90	129.00	0.00	129.00	80.0	103.20
08/03/2017	QGW022246	SUGARMAN, GAIL	PFIZER INCORPORATED	S	-6,716.56	123.00	0.00	123.00	80.0	98.40
08/07/2017	QGW022246	SUGARMAN, GAIL	GLADSTONE LD CORP COM	B	5,203.92	115.00	0.00	115.00	80.0	92.00
08/03/2017	QGW023772	PATRICK E OBRIEN,	PFIZER INCORPORATED	S	-8,395.70	133.00	0.00	133.00	80.0	106.40
08/07/2017	QGW023772	PATRICK E OBRIEN,	GLADSTONE LD CORP COM	B	6,504.90	129.00	0.00	129.00	80.0	103.20
* STOCKS SUBTOTAL *					\$-3,778.35	\$2,351.50	\$0.00	\$2,351.50		\$1,881.20

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/18/2017	QGW009847	LEICHER, MARVIN	BOND A	B	0.00	5.78	0.00	5.78	80.0	4.62
08/21/2017	QGW009847	LEICHER, MARVIN	OPPENHEIMER ROCHESTER NEW JERSEY MU B		0.00	25.26	0.00	25.26	80.0	20.21
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$31.04	\$0.00	\$31.04		\$24.83
*** BROKER TRANSACTIONS TOTAL ***					\$-3,778.35	\$2,382.54	\$0.00	\$2,382.54		\$1,906.03

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ADJUSTMENTS

08/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,906.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.98
SIPC Assessment	\$-5.96

Check Amount	\$1,877.09
Year-to-date Earnings	\$7,146.79