



Concorde Investment Services, LLC

03/13/2018

Page No. 1

COMMISSION STATEMENT**March 15, 2018**

JAY MCCLELLAN
8801 N. Main Street
Ste. 201
Dayton, OH 45415

BROKER #: M124 Terminated 11/14/2016
BRANCH: ASSET MANAGEMENT SYS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
01/31/2018	CIPRIAN, JOHN	GRIFFIN INST'L ACCESS R E FUND	0.00	6.61	0.00	6.61	0.0	0.00
01/31/2018	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	21,516.47	4.57	0.00	4.57	0.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$21,516.47	\$11.18	\$0.00	\$11.18		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/15/2018	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	2.50	0.00	2.50	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$50.00	\$2.50	\$0.00	\$2.50		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	20,874.32	6.96	0.00	6.96	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$20,874.32	\$6.96	\$0.00	\$6.96		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	SHEPARD, EARL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	11.03	0.00	11.03	0.0	0.00
02/15/2018	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.76	0.00	0.76	0.0	0.00
03/05/2018	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	3.06	0.00	3.06	0.0	0.00
02/16/2018	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.74	0.00	0.74	0.0	0.00
02/28/2018	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.66	0.00	2.66	0.0	0.00
02/16/2018	MAPLE TRUST, DENNIS & JOANN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.12	0.00	3.12	0.0	0.00
02/16/2018	KULBACK, SONDRRA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.10	0.00	3.10	0.0	0.00
02/16/2018	KAMMER, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.34	0.00	2.34	0.0	0.00
02/16/2018	HAMANN, JOHN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.58	0.00	3.58	0.0	0.00
02/16/2018	LUCKEY, CHARISSE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.91	0.00	9.91	0.0	0.00
02/13/2018	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.74	0.00	0.74	0.0	0.00
02/13/2018	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.28	0.00	0.28	0.0	0.00
02/13/2018	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.67	0.00	0.67	0.0	0.00
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.35	0.00	1.35	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$43.34	\$0.00	\$43.34		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

*** DIRECT TRANSACTIONS TOTAL ***	\$42,440.79	\$63.98	\$0.00	\$63.98	\$0.00
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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$1,693.35
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
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