

COMMISSION STATEMENT

June 30, 2018

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

BROKER #: C25

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

06/14/2018	QGW032013	STROPE, DALE		CBS CORP CLASS B	B	16,538.70	450.00	0.00	450.00	82.5	371.25
06/08/2018	QGW032039	SANDERS, RALPH		STARBUCKS CORP	S	-28,500.00	100.00	0.00	100.00	82.5	82.50
06/08/2018	QGW032039	SANDERS, RALPH		XPO LOGISTICS INC COM	B	33,414.00	700.00	0.00	700.00	82.5	577.50
06/05/2018	QGW032047	SHIER, ANDY		BOX INC CL A	B	13,275.00	300.00	0.00	300.00	82.5	247.50
06/13/2018	QGW032047	SHIER, ANDY		CBS CORP CLASS B	B	27,050.00	700.00	0.00	700.00	82.5	577.50
06/01/2018	QGW032054	PAEGLOW, JOSEPH		BOX INC CL A	B	10,400.00	300.00	0.00	300.00	82.5	247.50
06/01/2018	QGW032054	PAEGLOW, JOSEPH		XPO LOGISTICS INC COM	B	32,026.50	880.00	0.00	880.00	82.5	726.00
06/13/2018	QGW032054	PAEGLOW, JOSEPH		CBS CORP CLASS B	B	27,375.00	650.00	0.00	650.00	82.5	536.25
06/13/2018	QGW032054	PAEGLOW, JOSEPH		STARBUCKS CORP	S	-19,720.16	300.00	0.00	300.00	82.5	247.50
06/26/2018	QGW032054	PAEGLOW, JOSEPH		XPO LOGISTICS INC COM	S	-30,687.03	100.00	0.00	100.00	82.5	82.50
06/07/2018	QGW032096	CHARLTON, JOHN		BOX INC CL A	B	10,260.00	300.00	0.00	300.00	82.5	247.50
05/30/2018	QGW032104	DAVIDOV, WILLIAM		BOYD GAMING CORP	B	4,745.00	25.00	0.00	25.00	82.5	20.63
05/30/2018	QGW032104	DAVIDOV, WILLIAM		RECRO PHARMA INC COM	S	-1,107.36	25.00	0.00	25.00	82.5	20.63
05/30/2018	QGW032104	DAVIDOV, WILLIAM		SOUTHWEST AIRLINES CO	S	-3,856.80	25.00	0.00	25.00	82.5	20.63
06/07/2018	QGW032104	DAVIDOV, WILLIAM		INTEGRATED DEVICE TECHNOLOGY INC	S	-3,778.50	35.00	0.00	35.00	82.5	28.88
06/07/2018	QGW032104	DAVIDOV, WILLIAM		STMICROELECTRONICS NV SHS N Y REGIS	B	3,779.82	25.00	0.00	25.00	82.5	20.63
06/11/2018	QGW032104	DAVIDOV, WILLIAM		INTEL CORP	S	-7,370.19	25.00	0.00	25.00	82.5	20.63
06/11/2018	QGW032104	DAVIDOV, WILLIAM		SOUTHWEST AIRLINES CO	B	3,862.50	25.00	0.00	25.00	82.5	20.63
06/12/2018	QGW032104	DAVIDOV, WILLIAM		CYPRESS SEMICONDUCTOR CORP	B	3,298.40	25.00	0.00	25.00	82.5	20.63
06/13/2018	QGW032104	DAVIDOV, WILLIAM		BOYD GAMING CORP	S	-4,634.59	25.00	0.00	25.00	82.5	20.63
06/13/2018	QGW032104	DAVIDOV, WILLIAM		SUNRUN INC COM	B	4,200.00	25.00	0.00	25.00	82.5	20.63
06/21/2018	QGW032104	DAVIDOV, WILLIAM		STMICROELECTRONICS NV SHS N Y REGIS	S	-3,559.65	25.00	0.00	25.00	82.5	20.63
06/21/2018	QGW032104	DAVIDOV, WILLIAM		XCERRA CORP COM	B	3,489.90	25.00	0.00	25.00	82.5	20.63
06/26/2018	QGW032104	DAVIDOV, WILLIAM		KEMET CORP COM NEW	B	4,023.98	25.00	0.00	25.00	82.5	20.63
06/26/2018	QGW032104	DAVIDOV, WILLIAM		SUNRUN INC COM	S	-4,044.03	25.00	0.00	25.00	82.5	20.63
06/18/2018	QGW032112	CIARLO, ANTHONY		CBS CORP CLASS B	B	16,878.00	485.00	0.00	485.00	82.5	400.13
06/12/2018	QGW032120	DAVIDOV, WILLIAM		DELEK US HOLDINGS INC COMMON STOCK	S	-4,515.00	25.00	0.00	25.00	82.5	20.63
06/13/2018	QGW032120	DAVIDOV, WILLIAM		TEVA PHARMACEUTICAL INDUSTRIES LIM	B	3,640.50	25.00	0.00	25.00	82.5	20.63
06/25/2018	QGW032120	DAVIDOV, WILLIAM		ADVANCED MICRO DEVICES INC	B	1,513.93	25.00	0.00	25.00	82.5	20.63
06/18/2018	QGW032138	AUCHSTETTER, GERALD		CBS CORP CLASS B	B	7,886.20	230.00	0.00	230.00	82.5	189.75
06/01/2018	QGW032195	NERY, GENEROSO		BOX INC CL A	B	14,300.00	429.00	0.00	429.00	82.5	353.93

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BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
06/05/2018	QGW032195	NERY, GENEROSO		MICROSOFT CORP	B	25,555.00	650.00	0.00	650.00	82.5	536.25
06/21/2018	QGW032195	NERY, GENEROSO		BOX INC CL A	S	-15,537.50	50.00	0.00	50.00	82.5	41.25
06/26/2018	QGW032195	NERY, GENEROSO		INTUITIVE SURGICAL INC COM	S	-23,850.00	120.00	0.00	120.00	82.5	99.00
06/01/2018	QGW032229	WATSON, ROGER		BOX INC CL A	B	7,800.00	220.00	0.00	220.00	82.5	181.50
06/01/2018	QGW032229	WATSON, ROGER		JD.COM INC SPONSORED ADR REPSTG COM	S	-7,922.33	75.00	0.00	75.00	82.5	61.88
05/30/2018	QGW032237	PETERSON, SHAWN		IQIYI INC SPONS ADR ISIN#US46267X10	S	-12,500.00	150.00	0.00	150.00	82.5	123.75
05/30/2018	QGW032237	PETERSON, SHAWN		PENN NATL GAMING INC COM	B	17,250.00	500.00	0.00	500.00	82.5	412.50
05/31/2018	QGW032237	PETERSON, SHAWN		BOX INC CL A	B	10,300.00	250.00	0.00	250.00	82.5	206.25
06/06/2018	QGW032237	PETERSON, SHAWN		PENN NATL GAMING INC COM	S	-16,550.00	50.00	0.00	50.00	82.5	41.25
06/08/2018	QGW032237	PETERSON, SHAWN		STMICROELECTRONICS NV SHS N Y REGIS	B	12,400.00	250.00	0.00	250.00	82.5	206.25
06/14/2018	QGW032237	PETERSON, SHAWN		CBS CORP CLASS B	B	16,515.00	375.00	0.00	375.00	82.5	309.38
06/21/2018	QGW032237	PETERSON, SHAWN		STMICROELECTRONICS NV SHS N Y REGIS	S	-11,950.00	75.00	0.00	75.00	82.5	61.88
06/04/2018	QGW032245	D'ADAMO, WILLIAM		XPO LOGISTICS INC COM	B	16,185.00	450.00	0.00	450.00	82.5	371.25
06/05/2018	QGW032278	DUFRESNE, WAYNE		BOX INC CL A	B	10,607.24	275.00	0.00	275.00	82.5	226.88
06/22/2018	QGW032286	HLywa, DANNY		CBS CORP CLASS B	B	7,389.15	220.00	0.00	220.00	82.5	181.50
05/30/2018	QGW032344	DYKSTRA, JAMES		CRISPR THERAPEUTICS AG NAMEN-AKT IS	S	-14,600.00	200.00	0.00	200.00	82.5	165.00
05/31/2018	QGW032344	DYKSTRA, JAMES		BOX INC CL A	B	12,880.00	375.00	0.00	375.00	82.5	309.38
06/05/2018	QGW032344	DYKSTRA, JAMES		NUTRIEN LTD REGISTERED SHS ISIN#CA6	B	20,255.40	400.00	0.00	400.00	82.5	330.00
06/11/2018	QGW032344	DYKSTRA, JAMES		NUTRIEN LTD REGISTERED SHS ISIN#CA6	S	-21,280.60	35.00	0.00	35.00	82.5	28.88
06/13/2018	QGW032344	DYKSTRA, JAMES		CBS CORP CLASS B	B	21,800.00	600.00	0.00	600.00	82.5	495.00
06/08/2018	QGW032500	MAHER, MICHAEL		XPO LOGISTICS INC COM	B	14,339.00	300.00	0.00	300.00	82.5	247.50
06/04/2018	QGW032559	APPELBAUM, ALAN		XPO LOGISTICS INC COM	B	10,789.00	270.00	0.00	270.00	82.5	222.75
06/13/2018	QGW033102	SHIPLEY, CRAIG		CBS CORP CLASS B	B	16,290.00	450.00	0.00	450.00	82.5	371.25
06/12/2018	QGW033136	MARAGLINO, NICHOLAS		XPO LOGISTICS INC COM	B	9,563.40	295.00	0.00	295.00	82.5	243.38
06/26/2018	QGW033136	MARAGLINO, NICHOLAS		XPO LOGISTICS INC COM	S	-8,568.00	75.00	0.00	75.00	82.5	61.88
05/30/2018	QGW033151	DEROIN, JOHN		KINDER MORGAN INC	S	-4,983.03	100.00	0.00	100.00	82.5	82.50
06/14/2018	QGW033151	DEROIN, JOHN		CBS CORP CLASS B	B	12,697.96	375.00	0.00	375.00	82.5	309.38
06/05/2018	QGW033417	O'BRIEN, PAUL		BOX INC CL A	B	10,600.00	285.00	0.00	285.00	82.5	235.13
06/05/2018	QGW033417	O'BRIEN, PAUL		STERLING CONSTRUCTION COMPANY INC	S	-11,380.08	75.00	0.00	75.00	82.5	61.88
06/04/2018	QGW033581	RYKEN, MICHAEL		ALIBABA GROUP HOLDING LTD SPONSORED	S	-6,226.44	186.00	0.00	186.00	82.5	153.45
06/04/2018	QGW033581	RYKEN, MICHAEL		JUNIPER NETWORKS	S	-6,458.42	195.00	0.00	195.00	82.5	160.88
06/04/2018	QGW033581	RYKEN, MICHAEL		TWITTER INC	S	-7,302.00	220.00	0.00	220.00	82.5	181.50
06/04/2018	QGW033870	BROWN, KENNETH		XPO LOGISTICS INC COM	B	32,328.00	950.00	0.00	950.00	82.5	783.75
06/14/2018	QGW033870	BROWN, KENNETH		CBS CORP CLASS B	B	19,281.47	525.00	0.00	525.00	82.5	433.13
06/25/2018	QGW037319	PETERSON, GARY		MOMO INC ADR	S	-6,293.30	120.00	0.00	120.00	82.5	99.00
06/08/2018	QGW038598	DUVALL, CHARLES		XPO LOGISTICS INC COM	B	2,338.10	50.00	0.00	50.00	82.5	41.25
* STOCKS SUBTOTAL *					\$261,946.14	\$16,150.00	\$0.00	\$16,150.00		\$13,323.91	

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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

06/05/2018	QGW032674	ONESTO, RICHARD	CALL 100 MAZOR	S	-765.00	45.00	0.00	45.00	82.5	37.13
06/05/2018	QGW032674	ONESTO, RICHARD	CALL 100 MAZOR ROBOTICS LTD SPONSOR	S	-1,812.00	45.00	0.00	45.00	82.5	37.13
06/05/2018	QGW032674	ONESTO, RICHARD	CALL 100 MAZOR ROBOTICS LTD SPONSOR	S	-1,458.00	45.00	0.00	45.00	82.5	37.13
* OPTIONS SUBTOTAL *					\$-4,035.00	\$135.00	\$0.00	\$135.00		\$111.39

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018			FEE COUNT - 11		0.00	10.11	0.00	10.11	82.5	8.34
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$10.11	\$0.00	\$10.11		\$8.34
*** BROKER TRANSACTIONS TOTAL ***					\$257,911.14	\$16,295.11	\$0.00	\$16,295.11		\$13,443.64

ADJUSTMENTS

06/30/2018			FEDEX CHARGE: JUNE 2018		0.00	0.00	0.00	0.00		-71.78
06/30/2018			OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-150.00
06/30/2018			OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-12.00
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$-233.78

BROKER COMMISSION TOTALS

Broker Transactions	\$13,443.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-233.78
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-20.37
SIPC Assessment	\$-40.74

Check Amount	\$13,148.75
Year-to-date Earnings	\$94,709.13