



Concorde Investment Services, LLC

12/28/2017

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COMMISSION STATEMENT**December 31, 2017**

JEFF/JASON KAUFMAN/KEMBLE

BROKER #: K148

BRANCH: JEFFREY KAUFMAN

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^12/15/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	51.55	0.52	0.00	0.52	100.0	0.00
^12/15/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	51.55	0.52	0.00	0.52	100.0	0.00
^12/12/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	33.84	1.44	0.00	1.44	100.0	0.00
^12/12/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	1,041.67	44.27	0.00	44.27	100.0	0.00
^12/01/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	22.59	0.23	0.00	0.23	100.0	0.00
^12/01/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	22.59	0.23	0.00	0.23	100.0	0.00
^12/15/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	18.09	0.18	0.00	0.18	100.0	0.00
^12/15/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	18.09	0.18	0.00	0.18	100.0	0.00
^12/20/2017	HERREN, SARA	AM FUNDS AMERICAN BALANCED A	4,165.07	208.25	0.00	208.25	100.0	0.00
^11/28/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	100.0	0.00
^12/01/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.60	0.19	0.00	0.19	100.0	0.00
^12/01/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.80	0.25	0.00	0.25	100.0	0.00
^12/01/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	6.54	0.07	0.00	0.07	100.0	0.00
^12/01/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.10	0.00	0.10	100.0	0.00
^12/01/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	49.09	0.49	0.00	0.49	100.0	0.00
^12/01/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	49.09	0.49	0.00	0.49	100.0	0.00
^12/01/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	13.30	0.13	0.00	0.13	100.0	0.00
^12/01/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	13.30	0.13	0.00	0.13	100.0	0.00
^12/01/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	45.75	0.46	0.00	0.46	100.0	0.00
^12/01/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	45.75	0.46	0.00	0.46	100.0	0.00
^12/12/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	833.34	35.42	0.00	35.42	100.0	0.00
^12/12/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	136.61	5.81	0.00	5.81	100.0	0.00
^12/15/2017	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	56.45	0.56	0.00	0.56	100.0	0.00
^12/15/2017	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	56.45	0.56	0.00	0.56	100.0	0.00
^12/15/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.23	0.00	0.23	100.0	0.00
^12/15/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.17	0.00	0.17	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^12/15/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	50.59	0.51	0.00	0.51	100.0	0.00
^12/15/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	50.59	0.51	0.00	0.51	100.0	0.00
^12/15/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.11	0.00	0.11	100.0	0.00
^12/15/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.11	0.00	0.11	100.0	0.00
^12/15/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	42.00	0.42	0.00	0.42	100.0	0.00
^12/15/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	42.00	0.42	0.00	0.42	100.0	0.00
^12/15/2017	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	100.0	0.00
^12/15/2017	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	100.0	0.00
^12/15/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.10	0.00	0.10	100.0	0.00
^12/15/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	4.62	0.05	0.00	0.05	100.0	0.00
^12/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	0.00
^12/01/2017	ZELLMER, RIANNON	AM FUNDS ICA A	13.84	0.14	0.00	0.14	100.0	0.00
^12/01/2017	ZELLMER, RIANNON	AM FUNDS ICA A	13.84	0.14	0.00	0.14	100.0	0.00
^12/01/2017	WAHLIG, KACEY	AM FUNDS ICA A	22.60	0.23	0.00	0.23	100.0	0.00
^12/01/2017	WAHLIG, KACEY	AM FUNDS ICA A	22.60	0.23	0.00	0.23	100.0	0.00
^12/01/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	20.04	0.20	0.00	0.20	100.0	0.00
^12/01/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	20.04	0.20	0.00	0.20	100.0	0.00
^12/15/2017	ZELLMER, RIANNON	AM FUNDS ICA A	14.85	0.15	0.00	0.15	100.0	0.00
^12/15/2017	ZELLMER, RIANNON	AM FUNDS ICA A	14.85	0.15	0.00	0.15	100.0	0.00
^12/15/2017	WAHLIG, KACEY	AM FUNDS ICA A	18.10	0.18	0.00	0.18	100.0	0.00
^12/15/2017	WAHLIG, KACEY	AM FUNDS ICA A	18.10	0.18	0.00	0.18	100.0	0.00
^12/15/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.19	0.00	0.19	100.0	0.00
^12/15/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.19	0.00	0.19	100.0	0.00
^12/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	2.50	0.00	2.50	100.0	0.00
^12/01/2017	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	2.50	0.00	2.50	100.0	0.00
^12/01/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	1.23	0.00	1.23	100.0	0.00
^12/01/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	1,000.00	10.00	0.00	10.00	100.0	0.00
^12/15/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	1,000.00	10.00	0.00	10.00	100.0	0.00
^12/15/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	1.23	0.00	1.23	100.0	0.00
^12/01/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.60	0.19	0.00	0.19	100.0	0.00
^12/01/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.80	0.25	0.00	0.25	100.0	0.00
^12/15/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.23	0.00	0.23	100.0	0.00
^12/15/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.17	0.00	0.17	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^12/01/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	20.04	0.20	0.00	0.20	100.0	0.00
^12/01/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	20.04	0.20	0.00	0.20	100.0	0.00
^12/01/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	45.75	0.46	0.00	0.46	100.0	0.00
^12/01/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	45.75	0.46	0.00	0.46	100.0	0.00
^12/15/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.19	0.00	0.19	100.0	0.00
^12/15/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.19	0.00	0.19	100.0	0.00
^12/15/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	42.00	0.42	0.00	0.42	100.0	0.00
^12/15/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	42.00	0.42	0.00	0.42	100.0	0.00
^12/15/2017	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	100.0	0.00
^12/12/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	208.33	8.85	0.00	8.85	100.0	0.00
^12/12/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.15	1.45	0.00	1.45	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$10,586.79	\$366.89	\$0.00	\$366.89		\$0.00
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
^12/04/2017	MCNERNEY, DAVID	PAC LIFE VUL	0.00	20.00	0.00	20.00	100.0	0.00
* LIFE INSURANCE SUBTOTAL *			\$0.00	\$20.00	\$0.00	\$20.00		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$10,586.79	\$386.89	\$0.00	\$386.89		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00