

**COMMISSION STATEMENT****April 30, 2018**WILLIAM, L129 LAYMAN  
4321 Flagstaff CoveBROKER #: L129  
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
04/16/2018	BROWN, MATTHEW	CLS INVESTMENTS	187,390.11	452.44	0.00	452.44	45.0	203.60
04/16/2018	BROWN, MATTHEW	CLS INVESTMENTS	49,999.99	139.42	0.00	139.42	45.0	62.74
04/16/2018	BRUNTON, EMILY	CLS INVESTMENTS	50,800.53	120.37	0.00	120.37	45.0	54.17
04/16/2018	BRUNTON, EMILY	CLS INVESTMENTS	49,999.99	130.93	0.00	130.93	45.0	58.92
04/16/2018	COLE, TIMOTHY	CLS INVESTMENTS	4,016.98	9.08	0.00	9.08	45.0	4.09
04/16/2018	COLE, TIMOTHY	CLS INVESTMENTS	49,999.99	125.58	0.00	125.58	45.0	56.51
04/16/2018	HUNNICUTT, MICHELLE	CLS INVESTMENTS	7,386.62	18.42	0.00	18.42	45.0	8.29
04/16/2018	REHM, TAMARA	CLS INVESTMENTS	15,893.45	39.63	0.00	39.63	45.0	17.83
04/16/2018	ZIMMERMAN, PHYLLIS	CLS INVESTMENTS	19,644.69	45.46	0.00	45.46	45.0	20.46
04/16/2018	ZIMMERMAN, PHYLLIS	CLS INVESTMENTS	49,999.99	128.17	0.00	128.17	45.0	57.68
* RIA SUBTOTAL *			<b>\$485,132.34</b>	<b>\$1,209.50</b>	<b>\$0.00</b>	<b>\$1,209.50</b>		<b>\$544.29</b>
*** DIRECT TRANSACTIONS TOTAL ***			<b>\$485,132.34</b>	<b>\$1,209.50</b>	<b>\$0.00</b>	<b>\$1,209.50</b>		<b>\$544.29</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$544.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$88.56
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$632.85
Year-to-date Gross	\$2,574.59
Year-to-date Earnings	\$1,158.56