



Concorde Investment Services, LLC

09/28/2017
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COMMISSION STATEMENT **September 30, 2017**

JACK MICHALETZ
150 St. Andrews Ct.
Ste. 210
Mankato, MN 56001

BROKER #: M137
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
09/14/2017	ASKELAND, RYAN	RRTY CANADIAN RIVER ROYALTIES LLC	100,000.00	6,000.00	0.00	6,000.00	0.0	0.00
		* 1031 EXCHANGES SUBTOTAL *	\$100,000.00	\$6,000.00	\$0.00	\$6,000.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	CARNEY, KARLA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.83	0.00	6.83	0.0	0.00
09/01/2017	CARNEY, KARLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.99	0.00	2.99	0.0	0.00
09/01/2017	APPEL, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.25	0.00	3.25	0.0	0.00
09/19/2017	VAN TOL, KATHERINE	GPB AUTOMOTIVE PORTFOLIO LP	53,000.00	0.00	0.00	0.00	0.0	0.00
09/15/2017	BLOCK, EUGENE	JH DISCIPLINED VALUE INT'L C	0.00	1.28	0.00	1.28	0.0	0.00
09/15/2017	ROBERTS, MICHELLE	JH DISCIPLINED VALUE INT'L C	0.00	0.22	0.00	0.22	0.0	0.00
09/15/2017	WALLEM, HARRY	JH FINANCIAL INDUSTRIES A	0.00	2.38	0.00	2.38	0.0	0.00
09/15/2017	BLOCK, EUGENE	JH INCOME B	0.00	1.32	0.00	1.32	0.0	0.00
09/15/2017	WALLEM, HARRY	JH LARGE CAP EQUITY A	0.00	3.91	0.00	3.91	0.0	0.00
09/15/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY A	0.00	1.67	0.00	1.67	0.0	0.00
09/15/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY B	0.00	1.02	0.00	1.02	0.0	0.00
09/15/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY B	0.00	0.22	0.00	0.22	0.0	0.00
09/13/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	21.09	0.21	0.00	0.21	0.0	0.00
09/13/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	31.64	0.32	0.00	0.32	0.0	0.00
09/15/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY C	0.00	1.81	0.00	1.81	0.0	0.00
09/15/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	0.00	1.94	0.00	1.94	0.0	0.00
09/15/2017	ROBERTS, MICHELLE	JH LARGE CAP EQUITY C	0.00	0.05	0.00	0.05	0.0	0.00
09/15/2017	TOLZMANN, RICHARD	JH LIFESTYLE AGGRESSIVE PORTFOLIO C	0.00	7.01	0.00	7.01	0.0	0.00
09/15/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	5.57	0.00	5.57	0.0	0.00
09/15/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT B	0.00	1.90	0.00	1.90	0.0	0.00
09/15/2017	OLSON, HARLAN	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	3.06	0.00	3.06	0.0	0.00
09/15/2017	HERMEL, ROBERT	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	4.78	0.00	4.78	0.0	0.00
09/15/2017	WALLEM, HARRY	JH NEW OPPORTUNITIES A	0.00	2.04	0.00	2.04	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/15/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES A	0.00	0.60	0.00	0.60	0.0	0.00
09/15/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES C	0.00	1.29	0.00	1.29	0.0	0.00
09/15/2017	ROBERTS, MICHELLE	JH SMALL COMPANY FUND A	0.00	0.07	0.00	0.07	0.0	0.00
09/15/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH A	0.00	0.30	0.00	0.30	0.0	0.00
09/15/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH A	0.00	2.50	0.00	2.50	0.0	0.00
09/13/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	31.64	0.32	0.00	0.32	0.0	0.00
09/13/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	21.09	0.21	0.00	0.21	0.0	0.00
09/15/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH C	0.00	1.72	0.00	1.72	0.0	0.00
09/15/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	0.00	1.93	0.00	1.93	0.0	0.00
09/15/2017	WALLEM, HARRY	JH STRATEGIC INCOME A	0.00	2.67	0.00	2.67	0.0	0.00
09/15/2017	BLOCK, EUGENE	JH STRATEGIC INCOME A	0.00	1.35	0.00	1.35	0.0	0.00
09/15/2017	BLOCK, EUGENE	JH STRATEGIC INCOME C	0.00	1.33	0.00	1.33	0.0	0.00
09/15/2017	ROBERTS, MICHELLE	JH STRATEGIC INCOME C	0.00	0.85	0.00	0.85	0.0	0.00
09/15/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	0.00	6.93	0.00	6.93	0.0	0.00
09/15/2017	WALLEM, HARRY	JN DISCIPLINED VALUE INT'L A	0.00	1.84	0.00	1.84	0.0	0.00
09/15/2017	BLOCK, EUGENE	JN DISCIPLINED VALUE INT'L A	0.00	1.59	0.00	1.59	0.0	0.00
09/15/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	0.00	6.86	0.00	6.86	0.0	0.00
09/15/2017	ROBERTS, MICHELLE	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	0.11	0.00	0.11	0.0	0.00
09/15/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	6.11	0.00	6.11	0.0	0.00
09/15/2017	HENZE, DUANE	JOHN HANCOCK LIFESTYLE AGGR PORTFOL	0.00	0.02	0.00	0.02	0.0	0.00
09/15/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	0.00	5.28	0.00	5.28	0.0	0.00
09/14/2017	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	50.00	2.50	12.00	-9.50	0.0	0.00
09/18/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	0.00
09/15/2017	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.00	1.00	12.00	-11.00	0.0	0.00
09/18/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	0.00
09/18/2017	ZRUST, DOUGLAS	THE OSTERWEIS STRATEGIC INCOME FUND	-10,049.35	0.00	22.00	-22.00	0.0	0.00
09/19/2017	ZUPFER, JANE	THE OSTERWEIS STRATEGIC INCOME FUND	43,000.00	0.00	22.00	-22.00	0.0	0.00
09/05/2017	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	0.0	0.00
09/05/2017	ROSE, ERIC	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	0.0	0.00
09/05/2017	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.00
09/05/2017	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.00
09/15/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	0.00
09/15/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/15/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	49.03	0.49	0.00	0.49	0.0	0.00
09/15/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	49.03	0.49	0.00	0.49	0.0	0.00
09/15/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.00
09/15/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.00
09/15/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	51.48	0.51	0.00	0.51	0.0	0.00
09/15/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	51.48	0.51	0.00	0.51	0.0	0.00
09/15/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.00
09/15/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	29.75	0.30	0.00	0.30	0.0	0.00
09/15/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	29.75	0.30	0.00	0.30	0.0	0.00
09/15/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	66.58	0.67	0.00	0.67	0.0	0.00
09/15/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	66.58	0.67	0.00	0.67	0.0	0.00
09/15/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	219.72	2.20	0.00	2.20	0.0	0.00
09/15/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	659.14	6.59	0.00	6.59	0.0	0.00
09/15/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	67.45	0.67	0.00	0.67	0.0	0.00
09/15/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	67.45	0.67	0.00	0.67	0.0	0.00
09/15/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	0.00
09/15/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	250.00	2.50	0.00	2.50	0.0	0.00
09/15/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	0.00
09/15/2017	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	28.46	0.28	0.00	0.28	0.0	0.00
09/15/2017	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	28.46	0.28	0.00	0.28	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$89,651.73	\$135.61	\$92.00	\$43.61		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
09/25/2017	ESLINGER, JEREMY	COTTONWOOD MULTIFAMILY REIT II, INC	35,000.00	0.00	0.00	0.00	0.0	0.00
09/18/2017	ZRUST, DOUGLAS	SPDR BLACKSTONE / GSO SENIOR LOAN E	-9,647.87	0.00	22.50	-22.50	0.0	0.00
09/19/2017	ZUPFER, JANE	SPDR BLACKSTONE / GSO SENIOR LOAN E	42,964.74	0.00	23.36	-23.36	0.0	0.00
* STOCKS SUBTOTAL *			\$68,316.87	\$0.00	\$45.86	\$-45.86		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$257,968.60	\$6,135.61	\$137.86	\$5,997.75		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$168,243.49
Year-to-date Earnings	\$0.00