

**COMMISSION STATEMENT****December 31, 2017**TIMOTHY STAUFFER
333 Fiddlers CoveBROKER #: S118
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
12/22/2017	SHERRILL, TIMOTHY	EDITAS MEDICINE INC COM	2,984.04	28.00	22.50	5.50	90.0	4.95
12/22/2017	SHERRILL, TIMOTHY	MULESOFT INC CL A	2,988.02	28.00	22.50	5.50	90.0	4.95
12/12/2017	AUGUSTIN, JAMES	NUCOR CORP COM	-20,129.46	35.00	22.50	12.50	90.0	11.25
12/12/2017	AUGUSTIN, JAMES	NUCOR CORP COM	-8,671.60	35.00	22.50	12.50	90.0	11.25
12/12/2017	AUGUSTIN, JAMES	NUCOR CORP COM	-13,335.07	35.00	22.50	12.50	90.0	11.25
* STOCKS SUBTOTAL *			\$-36,164.07	\$161.00	\$112.50	\$48.50		\$43.65
*** CLEARING TRANSACTIONS TOTAL ***			\$-36,164.07	\$161.00	\$112.50	\$48.50		\$43.65

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/01/2017	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
* MUTUAL FUNDS SUBTOTAL *			\$215.00	\$2.15	\$0.00	\$2.15		\$1.94
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/18/2017	KELLEY, MEKENNA	NWL SOLOIST 01	93.68	3.75	0.00	3.75	90.0	3.38
* VARIABLE ANNUITIES SUBTOTAL *			\$93.68	\$3.75	\$0.00	\$3.75		\$3.38

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	ALLES, JENIFER	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.33	0.00	0.33	90.0	0.30
/ /	MILLER, SEAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.49	0.00	0.49	90.0	0.44
/ /	BRENN, BRIAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.07	0.00	0.07	90.0	0.06
/ /	MILLER, SEAN	RYDEX GUGG ENERGY C	0.00	1.16	0.00	1.16	90.0	1.04
/ /	KROUSE, ANDRE	RYDEX GUGG ENERGY C	0.00	0.86	0.00	0.86	90.0	0.77
/ /	BRENN, BRIAN	RYDEX GUGG ENERGY C	0.00	0.05	0.00	0.05	90.0	0.05
/ /	ALLES, JENIFER	RYDEX GUGG PRECIOUS METALS A	0.00	0.25	0.00	0.25	90.0	0.23
/ /	MILLER, SEAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.58	0.00	0.58	90.0	0.52

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	KROUSE, ANDRE	RYDEX GUGG PRECIOUS METALS C	0.00	0.78	0.00	0.78	90.0	0.70
/ /	BRENN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.08	0.00	0.08	90.0	0.07
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$4.65	\$0.00	\$4.65		\$4.18
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/04/2017	WHITNEY, DAVID	PACIFIC LIFE TRAILS	0.00	852.97	0.00	852.97	90.0	767.67
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$852.97	\$0.00	\$852.97		\$767.67
*** DIRECT TRANSACTIONS TOTAL ***			\$308.68	\$863.52	\$0.00	\$863.52		\$777.17

BROKER COMMISSION TOTALS

Broker Transactions	\$820.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$820.82
Year-to-date Gross	\$157,677.82
Year-to-date Earnings	\$140,771.06

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