



# COMMISSION STATEMENT

## January 01, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/26/2017	***-**-630X - WEAVER, NICOLE	HARTFORD CORE EQUITY CLASS C	6,000.00	8.00	18.00	-10.00	100.0	-10.00
	ACCOUNT #: LSR011529	SELL 225 Units @26.6100						
12/22/2017	***-**-8100 - BLAUCH, CATHY	HARTFORD SCHRODERS US SM/MID OPPOR	2,000.00	8.00	18.00	-10.00	100.0	-10.00
	ACCOUNT #: LSR011859	SELL 136 Units @14.6800						
12/21/2017	***-**-0550 - ILGENFRITZ, TIM	MFS GLOBAL TOTAL RETURN FUND-C	22,000.00	228.00	18.00	210.00	85.0	178.50
	ACCOUNT #: LSR011557	BUY 1209 Units @18.2000						
* MUTUAL FUNDS SUBTOTAL *			<b>\$30,000.00</b>	<b>\$244.00</b>	<b>\$54.00</b>	<b>\$190.00</b>		<b>\$158.50</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/26/2017	***-**-036I - CROWL, LINDA	BLACKROCK BALANCED CAP FD CL C	0.00	45.02	0.00	45.02	85.0	38.27
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
12/26/2017	***-**-992I - SMITH, FBO MR	BLACKROCK BALANCED CAP FD CL C	0.00	29.37	0.00	29.37	85.0	24.96
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
12/26/2017	***-**-036I - CROWL, LINDA	BLACKROCK US MORTGAGE PORT CL C	0.00	17.22	0.00	17.22	85.0	14.64
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
12/26/2017	***-**-992I - SMITH, FBO MR	BLACKROCK US MORTGAGE PORT CL C	0.00	9.28	0.00	9.28	85.0	7.89
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
12/26/2017	***-**-566I - DURKIN, FBO MRS	CAPITAL APPRECIATION A	0.00	5.53	0.00	5.53	85.0	4.70
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
12/26/2017	***-**-228I - BUCHANAN,	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	6.47	0.00	6.47	85.0	5.50
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
12/26/2017	***-**-4000 - ROMIG, MARK	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	9.34	0.00	9.34	85.0	7.94
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
12/26/2017	***-**-228I - BUCHANAN,	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	2.16	0.00	2.16	85.0	1.84
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
12/26/2017	***-**-4000 - ROMIG, MARK	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	3.11	0.00	3.11	85.0	2.64
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
12/26/2017	***-**-566I - DURKIN, FBO MRS	GLOBAL FUND A	0.00	9.27	0.00	9.27	85.0	7.88
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
12/26/2017	***-**-65IR - NORNHOLD,	HARTFORD BALANCED INCOME FD CL C	0.00	0.02	0.00	0.02	85.0	0.02
	ACCOUNT #: LSR012005	BUY 1 Units @0.0000						
12/26/2017	***-**-934I - BEAVERSON, MARY	HARTFORD BALANCED INCOME FD CL C	0.00	7.08	0.00	7.08	85.0	6.02
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-184I - BEAVERSON, ROYCE	HARTFORD BALANCED INCOME FD CL C	0.00	9.23	0.00	9.23	85.0	7.85
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
12/26/2017	***-**-566I - DURKIN, FBO MRS	HARTFORD BALANCED INCOME FD CL C	0.00	36.92	0.00	36.92	85.0	31.38
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
12/26/2017	***-**-859X - FREY, RYAN	HARTFORD BALANCED INCOME FD CL C	0.00	67.22	0.00	67.22	85.0	57.14
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
12/26/2017	***-**-630X - WEAVER, NICOLE	HARTFORD BALANCED INCOME FD CL C	0.00	62.86	0.00	62.86	85.0	53.43
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
12/26/2017	***-**-260X - ILGENFRITZ, CORY	HARTFORD BALANCED INCOME FD CL C	0.00	22.94	0.00	22.94	85.0	19.50
	ACCOUNT #: LSR011554	BUY 1 Units @0.0000						
12/26/2017	***-**-181X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	22.76	0.00	22.76	85.0	19.35
	ACCOUNT #: LSR011556	BUY 1 Units @0.0000						
12/26/2017	***-**-055X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	18.20	0.00	18.20	85.0	15.47
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
12/26/2017	***-**-352I - KUNKEL, RAY	HARTFORD BALANCED INCOME FD CL C	0.00	0.24	0.00	0.24	85.0	0.20
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
12/26/2017	***-**-8100 - BLAUCH, CATHY	HARTFORD BALANCED INCOME FD CL C	0.00	0.03	0.00	0.03	85.0	0.03
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						
12/26/2017	***-**-14IR - SCHMIDT, DAVID	HARTFORD BALANCED INCOME FD CL C	0.00	0.08	0.00	0.08	85.0	0.07
	ACCOUNT #: LSR011865	BUY 1 Units @0.0000						
12/26/2017	***-**-881I - HOUSER, LORI	HARTFORD BALANCED INCOME FD CL C	0.00	0.12	0.00	0.12	85.0	0.10
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
12/26/2017	***-**-381J - BLEILER, CONNIE	HARTFORD BALANCED INCOME FD CL C	0.00	0.04	0.00	0.04	85.0	0.03
	ACCOUNT #: LSR012081	BUY 1 Units @0.0000						
12/26/2017	***-**-21IR - ILGENFRITZ,	HARTFORD CHECKS AND BALANCED FUND C	0.00	0.01	0.00	0.01	85.0	0.01
	ACCOUNT #: LSR011824	BUY 1 Units @0.0000						
12/26/2017	***-**-859X - FREY, RYAN	HARTFORD CORE EQUITY CLASS C	0.00	53.62	0.00	53.62	85.0	45.58
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
12/26/2017	***-**-630X - WEAVER, NICOLE	HARTFORD CORE EQUITY CLASS C	0.00	73.12	0.00	73.12	85.0	62.15
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
12/26/2017	***-**-2600 - ILGENFRITZ, TOD	HARTFORD CORE EQUITY CLASS C	0.00	19.84	0.00	19.84	85.0	16.86
	ACCOUNT #: LSR011549	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-373X - MANGANELLO, TIRA	HARTFORD CORE EQUITY CLASS C	0.00	19.41	0.00	19.41	85.0	16.50
	ACCOUNT #: LSR011551	BUY 1 Units @0.0000						
12/26/2017	***-**-352I - KUNKEL, RAY	HARTFORD CORE EQUITY CLASS C	0.00	0.01	0.00	0.01	85.0	0.01
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
12/26/2017	***-**-334I - MCKENNA, EDWARD	LEGG MASON VALUE TRUST CL C	0.00	6.29	0.00	6.29	85.0	5.35
	ACCOUNT #: LSR011377	BUY 1 Units @0.0000						
12/26/2017	***-**-566I - DURKIN, FBO MRS	MAIN STREET FUND A	0.00	11.05	0.00	11.05	85.0	9.39
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
12/26/2017	***-**-83IN - SCHWARTZ, EMILY	MASS INVESTORS GROWTH STOCK FUND-A	0.00	5.94	0.00	5.94	85.0	5.05
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
12/26/2017	***-**-83IN - SCHWARTZ, EMILY	MASSACHUSETTS INVESTORS TRUST-A	0.00	6.10	0.00	6.10	85.0	5.19
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
12/26/2017	***-**-859X - FREY, RYAN	MFS GROWTH PORT CLASS C	0.00	38.16	0.00	38.16	85.0	32.44
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
12/26/2017	***-**-630X - WEAVER, NICOLE	MFS GROWTH PORT CLASS C	0.00	53.76	0.00	53.76	85.0	45.70
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
12/26/2017	***-**-181X - ILGENFRITZ,	MFS GROWTH PORT CLASS C	0.00	20.59	0.00	20.59	85.0	17.50
	ACCOUNT #: LSR011555	BUY 1 Units @0.0000						
12/26/2017	***-**-859X - FREY, RYAN	MFS DIVERSIFIED INCOME FD CL C	0.00	64.75	0.00	64.75	85.0	55.04
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
12/26/2017	***-**-630X - WEAVER, NICOLE	MFS DIVERSIFIED INCOME FD CL C	0.00	47.48	0.00	47.48	85.0	40.36
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
12/26/2017	***-**-881I - HOUSER, LORI	MFS DIVERSIFIED INCOME FD CL C	0.00	0.10	0.00	0.10	85.0	0.09
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
12/26/2017	***-**-859X - FREY, RYAN	MFS NEW DISCOVERY VALUE FUND CL C	0.00	18.35	0.00	18.35	85.0	15.60
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
12/26/2017	***-**-630X - WEAVER, NICOLE	MFS NEW DISCOVERY VALUE FUND CL C	0.00	36.69	0.00	36.69	85.0	31.19
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
12/26/2017	***-**-260X - ILGENFRITZ, CORY	MFS NEW DISCOVERY VALUE FUND CL C	0.00	10.27	0.00	10.27	85.0	8.73
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
12/26/2017	***-**-214I - SCHMIDT, DAVID	MFS NEW DISCOVERY VALUE FUND CL C	0.00	0.34	0.00	0.34	85.0	0.29
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-881I - HOUSER, LORI	MFS VALUE FUND-C	0.00	0.03	0.00	0.03	85.0	0.03
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
12/26/2017	***-**-260X - ILGENFRITZ, CORY	PRINCIPAL SMALL-MID CAP DIV INCOME	0.00	9.54	0.00	9.54	85.0	8.11
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
12/26/2017	***-**-055X - ILGENFRITZ,	PRINCIPAL SMALL-MID CAP DIV INCOME	0.00	9.45	0.00	9.45	85.0	8.03
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
12/26/2017	***-**-992I - SMITH, FBO MR	PUTNAM EQUITY INCOME FUND-C	0.00	24.09	0.00	24.09	85.0	20.48
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
12/26/2017	***-**-566I - DURKIN, FBO MRS	QUEST OPPORTUNITY VALUE A	0.00	6.70	0.00	6.70	85.0	5.70
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
12/26/2017	***-**-214I - SCHMIDT, DAVID	THE HARTFORD ADVISERS FUND-C	0.00	0.12	0.00	0.12	85.0	0.10
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
12/26/2017	***-**-881I - HOUSER, LORI	THE HARTFORD ADVISERS FUND-C	0.00	0.03	0.00	0.03	85.0	0.03
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$920.35</b>	<b>\$0.00</b>	<b>\$920.35</b>		<b>\$782.36</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
12/27/2017	***-**-99IR - VITALE, DAMIAN	ING ADVANTAGE SELECT	0.00	5.17	0.00	5.17	85.0	4.39
	ACCOUNT #: R073271-ZS	BUY 0 Units @0.0000						
12/27/2017	***-**-94IR - VITALE, LAURIE	ING ADVANTAGE SELECT	0.00	5.17	0.00	5.17	85.0	4.39
	ACCOUNT #: R073283-ZS	BUY 0 Units @0.0000						
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$10.34</b>	<b>\$0.00</b>	<b>\$10.34</b>		<b>\$8.78</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$30,000.00</b>	<b>\$1,174.69</b>	<b>\$54.00</b>	<b>\$1,120.69</b>		<b>\$949.64</b>



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$949.64
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$-17.14
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$932.50
						Year-to-date Earnings		\$932.50