

COMMISSION STATEMENT July 15, 2017

RICHARD CODY 1010 Highway 71

Spring Lake, NJ 07762

BROKER #: C135 Terminated 07/29/2016

BRANCH: JILL CODY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS							
07/10/2017	BERNARDSVILLE FIRE	FIDELITY ADV STRATEGIC INCOME C	-117.05	22.00	22.00	0.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	LORD ABBETT MULTI-ASSET INCOME FUND	-67.71	22.00	22.00	0.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	LORD ABBETT SHORT DURATION INCOME F	-55.11	22.00	22.00	0.00	0.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$-239.87	\$66.00	\$66.00	\$0.00		\$0.00
PRODUCT CA	TEGORY: STOCKS							
07/10/2017	BERNARDSVILLE FIRE	WISDOMTREE TR INTL LARGECAP DIVID F	-4,710.15	0.00	22.50	-22.50	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	WISDOMTREE TR MIDCAP DIVID FD	-9,735.04	0.00	22.50	-22.50	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	WISDOMTREE TR MIDCAP DIVID FD	-9,732.50	0.00	22.50	-22.50	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	WISDOMTREE TR SMALLCAP DIVID FD	-7,994.56	0.00	22.50	-22.50	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	WISDOMTREE TR SMALLCAP DIVID FD	-7,998.06	0.00	22.50	-22.50	0.0	0.00
		* STOCKS SUBTOTAL *	\$-40,170.31	\$0.00	\$112.50	\$-112.50		\$0.00
PRODUCT CA	TEGORY: BONDS							
07/10/2017	BERNARDSVILLE FIRE	AT&T INC FIXED RT GLOBAL NT 3.000%	-15,073.05	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	BANK AMER CORP FXD RT SUB NT SER L	-20,230.40	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	BED BATH & BEYOND INC FXD RT SR NT	-28,018.50	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	CITIGROUP INC NT 4.3% 30/360 4.300%	-15,385.65	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	GENERAL ELEC CAP CORP INTERNOTES FI	-10,100.00	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	GENERAL ELEC CAP CORP INTERNOTES FI	-15,525.00	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	HSBC USA INC MEDIUM TERM NTS BUFFER	-38,272.50	28.00	27.00	1.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	HSBC USA INC MEDIUM TERM NTS BUFFER	-16,404.00	28.00	27.00	1.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	JPMORGAN CHASE & CO FIXED RATE SUB	-15,472.80	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MED TERM SR NTS; LEV	-27,000.00	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MED TERM SR NTS; LEV	-18,000.00	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MEDIUM TERM SR NTS A	-15,000.00	0.00	27.00	-27.00	0.0	0.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MEDIUM TERM SR NTS F	-18,537.50	0.00	27.00	-27.00	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

07/10/2017 BERNARDSVILLE FIRE MORGAN STANLEY MEDIUM TERM SR NTS F -14,000.00 0.00 27.00 -27. 07/10/2017 BERNARDSVILLE FIRE TEVA PHARMACEUTICAL FIN CO SR NT IS -14,944.80 0.00 27.00 -27. 07/10/2017 BERNARDSVILLE FIRE VERIZON MD INC DEB SER B 5.125% 06/ -22,681.78 0.00 27.00 -27. * BONDS SUBTOTAL * \$-323,183.48 \$56.00 \$459.00 \$-403. **PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 06/21/2017 TRAMONTANO, PAUL AMERICAN CENTURY DISCIPLINED GROWTH 0.00 0.06 0.00 0.00 0.00 0.00 0.00 0.0	DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
### DOUCT CATEGORY: MUTUAL FUND 12B1 TRAILS #### PIDELITY ADV STRAIDSVILLE FIRE LORD ABBETT SHOTH DIVIDITIES FUND #### MUTUAL FUND 12B1 TRAILS SUBTOTAL *** #### MUTUAL FUND 12B1 TRAILS SUBTOTAL *** ### MUTUAL FUND 12B1 TRAILS SUBTOTAL ** ### BOOKER TRANSACTIONS TOTAL *** ### BOOKER TRANSACTIONS TOTAL ** #### BOOKER TRANSACTIONS TOTAL ** #### BOOKER TRANSACTIONS TOTAL ** #### BOOKER TRANSACTIONS TOTAL ** ##### BOOKER TRANSACTIONS TOTAL ** ##################################			BROKER TRANS	ACTIONS					
07/10/2017 BERNARDSVILLE FIRE MORGAN STANLEY MEDIUM TERM SR NTS F -14,000.00 0.00 27.00 -27. 07/10/2017 BERNARDSVILLE FIRE TEVA PHARMACEUTICAL FIN CO SR NT IS -14,944.80 0.00 27.00 -27. 07/10/2017 BERNARDSVILLE FIRE VERIZON MD INC DEE SER B 5.125% 06/ -22,681.78 0.00 27.00 -27. **BONDS SUBTOTAL ** ** **BONDS SUBTOTAL ** ** **BONDS SUBTOTAL ** ** **BONDS SUBTOTAL ** ** ** ** ** ** ** ** ** ** ** ** **	RODUCT CA	TEGORY: BONDS							
07/10/2017 BERNARDSVILLE FIRE TEVA PHARMACEUTICAL FIN CO SR NT IS -14,944.80 0.00 27.00 -27. **BONDS SUBTOTAL *** **BONDS SUBTOTAL ** **BONDS SUB	7/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MEDIUM TERM SR NTS F	-18,537.50	0.00	27.00	-27.00	0.0	0.00
### BONDS SUBTOTAL * -22,681.78 0.00 27.00 -27.00	7/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MEDIUM TERM SR NTS F	-14,000.00	0.00	27.00	-27.00	0.0	0.00
* BONDS SUBTOTAL * \$-323,183.48 \$56.00 \$459.00 \$-403. PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 06/21/2017 TRAMONTANO, PAUL AMERICAN CENTURY DISCIPLINED GROWTH 0.00 0.06 0.00 0.00 06/16/2017 BERNARDSVILLE FIRE FIDELITY ADV STRATEGIC INCOME C 0.00 0.10 0.00 0.00 06/21/2017 BERNARDSVILLE FIRE LORD ABBETT MULTI-ASSET INCOME FUND 0.00 0.05 0.00 0.00 06/21/2017 BERNARDSVILLE FIRE LORD ABBETT SHORT DURATION INCOME F 0.00 0.03 0.00 0.00 * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$0.24 \$0.00 \$0.5 **** BROKER TRANSACTIONS TOTAL **** *** BROKER TRANSACTIONS TOTAL *** *** BROKER TRANSACTIONS TOTAL *** *** BROKER COM Broker Transactic Adjustmen Payroll Dr. Base Sale Prior Period Balar FINRA Assessment *** Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD **** Balance Forw	7/10/2017	BERNARDSVILLE FIRE	TEVA PHARMACEUTICAL FIN CO SR NT IS	-14,944.80	0.00	27.00	-27.00	0.0	0.0
### BROKER TRANSACTIONS TOTAL *** BROKER TRANSACTIONS TOTAL *** BROKER COM	7/10/2017	BERNARDSVILLE FIRE	VERIZON MD INC DEB SER B 5.125% 06/	-22,681.78	0.00	27.00	-27.00	0.0	0.0
D6/21/2017 TRAMONTANO, PAUL AMERICAN CENTURY DISCIPLINED GROWTH 0.00 0.06 0.00 0.06 0.00 0.06 0.00 0.06 0.00 0.06 0.00 0.06 0.00 0.06 0.00 0.06 0.00 0.06 0.00			* BONDS SUBTOTAL *	\$-323,183.48	\$56.00	\$459.00	\$-403.00		\$0.0
### BROKER TRANSACTIONS TOTAL *** Bernardsville fire	RODUCT CA	TEGORY: MUTUAL FUND 12	B1 TRAILS						
D6/21/2017 BERNARDSVILLE FIRE LORD ABBETT MULTI-ASSET INCOME FUND 0.00 0.05 0.00	5/21/2017	TRAMONTANO, PAUL	AMERICAN CENTURY DISCIPLINED GROWTH	0.00	0.06	0.00	0.06	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$0.24 \$0.00 \$0. **** BROKER TRANSACTIONS TOTAL *** **** Broker Transactions Transactions Total *** **** Broker Transactions Transaction	5/16/2017	BERNARDSVILLE FIRE	FIDELITY ADV STRATEGIC INCOME C	0.00	0.10	0.00	0.10	0.0	0.0
* MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$0.24 \$0.00 \$0. *** BROKER TRANSACTIONS TOTAL *** \$-363,593.66 \$122.24 \$637.50 \$-515. BROKER COM Broker Transaction Split Transaction Override Transaction Adjustmen Payroll Dease Sale Prior Period Balar FINRA Assessment SIPC Assessment Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Book 1.22.24 \$637.50 \$-515. BROKER COM Broker Com Broker Transaction Adjustment Payroll Dease Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Balance Forw	5/21/2017	BERNARDSVILLE FIRE	LORD ABBETT MULTI-ASSET INCOME FUND	0.00	0.05	0.00	0.05	0.0	0.0
*** BROKER TRANSACTIONS TOTAL *** \$-363,593.66 \$122.24 \$637.50 \$-515. BROKER COM Broker Transaction Split Transaction Override Transaction Adjustment Payroll Diana Base Sale Prior Period Balart FINRA Assessment SIPC Assessment Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD BROKER COM BROKER COM BROKER COM Broker Transaction Split Transaction Adjustment Payroll Diana Balance Forw	5/21/2017	BERNARDSVILLE FIRE	LORD ABBETT SHORT DURATION INCOME F	0.00	0.03	0.00	0.03	0.0	0.00
BROKER COM Broker Transactio Split Transactio Override Transactio Adjustmen Payroll Dr Base Sala Prior Period Balar FINRA Assessmen SIPC Assessmen Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Broker Transactio Split Transactio Split Transactio Payroll Dr Balance Forw			* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$0.24	\$0.00	\$0.24		\$0.0
Broker Transaction Split Transaction Override Transaction Adjustmen Payroll Dr Base Sala Prior Period Balar FINRA Assessment FINRA Assessment SIPC Assessment Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Broker Transaction Split Transaction Payroll Balance Forward Balance Forward Balance Forward			*** BROKER TRANSACTIONS TOTAL ***	\$-363,593.66	\$122.24	\$637.50	\$-515.26		\$0.0
Split Transaction Override Transaction Adjustment Payroll Dr Base Sala Prior Period Balan FINRA Assessment SIPC Assessment Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Balance Forward						I	BROKER COMMI	SSION	TOTALS
Override Transaction Adjustment Payroll Dr Base Sala Prior Period Balar FINRA Assessment SIPC Assessment Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Override Transaction Adjustment Payroll Dr Base Sala Prior Period Balar FINRA Assessment SIPC Assessment Balance Forwork						Broker Transactions			\$0.00
Adjustmer Payroll Dr Base Sala Prior Period Balar FINRA Assessme SIPC Assessme Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Adjustmer Payroll Dr Base Sala Prior Period Balar FINRA Assessme						Split Transactions			\$0.00
Payroll Dr Base Sala Prior Period Balar FINRA Assessme SIPC Assessme Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Payroll Dr Base Sala Prior Period Balar FINRA Assessme						Overrid	e Transactions		\$0.00
Base Sala Prior Period Balar FINRA Assessme SIPC Assessme Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Balance Forw							Adjustments		\$0.00
Prior Period Balar FINRA Assessme SIPC Assessme Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Balance Forw							Payroll Draw		\$0.00
FINRA Assessme SIPC Assessme Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Balance Forw						Base Salary			\$0.00
Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Balance Forw						Prior	Period Balance		\$0.00
Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Balance Forw						FI	NRA Assessment		\$0.00
THERE WILL BE NO CHECK THIS PERIOD Balance Forw						S	IPC Assessment		\$0.00
						Balance Forward			\$0.00
						Yea	r-to-date Gros	s	\$145.52
Year-to-date Earni						Year-to	o-date Earning	s	\$0.00

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed