



Concorde Investment Services, LLC

01/12/2018

Page No. 1

COMMISSION STATEMENT**January 15, 2018**DAVID ZUPEK
1126 Bichara BlvdBROKER #: Z116
BRANCH: TADA WEALTH ADVISORS

The Villages, FL 32159

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
01/04/2018	STEPHENS, BARNEY	ARCTRUST FOLLOW-ON 4	50,000.00	3,000.00	0.00	3,000.00	90.0	2,700.00
11/30/2017	ZUPEK, ALBERT	HPI FUND V	85,715.00	5,142.90	0.00	5,142.90	90.0	4,628.61
* PRIVATE PLACEMENT SUBTOTAL *			\$135,715.00	\$8,142.90	\$0.00	\$8,142.90		\$7,328.61
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/26/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	961.00	19.22	0.00	19.22	90.0	17.30
12/26/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	90.0	2.25
12/26/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	90.0	2.25
01/02/2018	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
12/26/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	459.00	4.59	0.00	4.59	90.0	4.13
12/26/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	90.0	0.15
12/26/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	90.0	0.15
12/26/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	445.50	4.46	0.00	4.46	90.0	4.01
12/26/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	90.0	0.15
12/26/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	90.0	0.15
12/26/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	445.50	4.46	0.00	4.46	90.0	4.01
12/26/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	90.0	0.15
12/26/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	90.0	0.15
* MUTUAL FUNDS SUBTOTAL *			\$2,711.00	\$41.25	\$0.00	\$41.25		\$37.10
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/29/2017	DEHAHN, RAYMOND	ALLIANZ VISION	20,158.17	50.40	0.00	50.40	90.0	45.36
12/29/2017	DEHAHN, RAYMOND	ALLIANZ VISION	20,907.40	52.27	0.00	52.27	90.0	47.04
12/29/2017	RUSCH, JOSHUA	ALLIANZ VISION	34,759.50	86.90	0.00	86.90	90.0	78.21
12/29/2017	SUS, SANDRA	ALLIANZ VISION	83,823.00	209.56	0.00	209.56	90.0	188.60
12/29/2017	HORNACEK, JOSEPH	ALLIANZ VISION	136,729.44	341.82	0.00	341.82	90.0	307.64
12/29/2017	DENNE, THOMAS	ALLIANZ VISION	72,384.67	180.96	0.00	180.96	90.0	162.86

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/29/2017	SCOTT, DEBRA	AXA ACCUMULATOR PLUS (NQ)	76,743.73	143.89	0.00	143.89	90.0	129.50
01/03/2018	MAASS EXCAVAT	GWL NET ASSET VALUE 401K	1,524,836.96	647.53	0.00	647.53	90.0	582.78
12/31/2017	SHELDON, MARK	JNL PERSPECTIVE ADV II 5/05	15,190.33	15.82	0.00	15.82	90.0	14.24
12/31/2017	DEHAHN, RAYMOND	JNL PERSPECTIVE ADV II 5/05	49,565.49	41.30	0.00	41.30	90.0	37.17
12/31/2017	JOHNSON, ROBERT	JNL PERSPECTIVE FOCUS	60,485.82	50.40	0.00	50.40	90.0	45.36
12/31/2017	HARTGERINK, BRETT	JNL PERSPECTIVE II 04/14	115,813.16	24.13	0.00	24.13	90.0	21.72
12/31/2017	ROST, DAVID	JNL PERSPECTIVE II 04/14	789,739.20	658.12	0.00	658.12	90.0	592.31
12/31/2017	ZUPEK, ALBERT	JNL PERSPECTIVE II 04/14	434,155.25	361.80	0.00	361.80	90.0	325.62
12/31/2017	INGHAM, LYNNE	JNL PERSPECTIVE II 05/05	16,230.58	6.76	0.00	6.76	90.0	6.08
12/31/2017	BELLAIRE, THOMAS	JNL PERSPECTIVE II 10/04	16,944.13	7.06	0.00	7.06	90.0	6.35
12/31/2017	DENNE, FRANCES	JNL PERSPECTIVE II 10/04	11,974.17	4.99	0.00	4.99	90.0	4.49
12/31/2017	HOSS, GAIL	JNL PERSPECTIVE L	75,924.53	82.25	0.00	82.25	90.0	74.03
12/31/2017	JEANQUART, ROBERT	JNL PERSPECTIVE L	4,951.23	5.36	0.00	5.36	90.0	4.82
12/31/2017	PECKMAN, MARCIA	JNL PERSPECTIVE L	82,468.91	89.34	0.00	89.34	90.0	80.41
12/31/2017	HOOTEN, ROBERT	JNL PERSPECTIVE L	34,281.42	37.14	0.00	37.14	90.0	33.43
12/31/2017	KAEDING, HAROLD	JNL PERSPECTIVE L	63,840.94	53.20	0.00	53.20	90.0	47.88
12/31/2017	BABICKY, SHERRY	JNL PERSPECTIVE L	54,610.07	63.71	0.00	63.71	90.0	57.34
12/31/2017	MCFADDEN, JAMES	JNL PERSPECTIVE L	173,110.05	201.96	0.00	201.96	90.0	181.76
12/31/2017	TASKONIS, RICHARD	JNL PERSPECTIVE L	127,666.21	148.94	0.00	148.94	90.0	134.05
12/31/2017	BABICKY, SHERRY	JNL PERSPECTIVE L	41,262.04	48.14	0.00	48.14	90.0	43.33
12/31/2017	EDQUIST, BETTY	JNL PERSPECTIVE L	42,791.64	35.66	0.00	35.66	90.0	32.09
12/31/2017	FLETCHER, JEWEL	JNL PERSPECTIVE L	26,669.27	22.22	0.00	22.22	90.0	20.00
12/31/2017	SMITH, BETTY	JNL PERSPECTIVE L	30,552.34	35.64	0.00	35.64	90.0	32.08
12/31/2017	NONDORF, DENNIS	JNL PERSPECTIVE L	6,222.18	5.19	0.00	5.19	90.0	4.67
12/31/2017	WILLIAMS, WARNER	JNL PERSPECTIVE L	34,026.60	28.36	0.00	28.36	90.0	25.52
12/31/2017	ZUPFER, CHARLES	JNL PERSPECTIVE L	30,768.95	25.64	0.00	25.64	90.0	23.08
12/31/2017	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	33,246.98	27.71	0.00	27.71	90.0	24.94
12/31/2017	SPERER, MARION	JNL PERSPECTIVE L	22,345.15	18.62	0.00	18.62	90.0	16.76
12/31/2017	VERBETEN, KAREN	JNL PERSPECTIVE L	8,692.32	10.14	0.00	10.14	90.0	9.13
12/31/2017	BOLAND, TERRENCE	JNL PERSPECTIVE L	24,242.77	28.28	0.00	28.28	90.0	25.45
12/31/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	28,577.06	30.96	0.00	30.96	90.0	27.86
12/31/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	26,529.07	28.74	0.00	28.74	90.0	25.87
12/31/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	28,832.20	24.03	0.00	24.03	90.0	21.63

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<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2017	BODVEN, BRUCE	JNL PERSPECTIVE L	57,084.78	66.60	0.00	66.60	90.0	59.94
12/31/2017	PAULICK, BERNARD	JNL PERSPECTIVE L	143,441.67	119.53	0.00	119.53	90.0	107.58
12/31/2017	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	142,944.67	119.12	0.00	119.12	90.0	107.21
12/31/2017	RACK, JOAN	JNL PERSPECTIVE L	146,923.68	159.17	0.00	159.17	90.0	143.25
12/31/2017	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	108,142.96	90.12	0.00	90.12	90.0	81.11
12/31/2017	INGHAM, LYNNE	JNL PERSPECTIVE L	70,923.28	59.10	0.00	59.10	90.0	53.19
12/31/2017	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	174,568.52	145.47	0.00	145.47	90.0	130.92
12/31/2017	CRAWFORD, TIMOTHY	JNL PERSPECTIVE L	211,889.52	176.57	0.00	176.57	90.0	158.91
12/31/2017	WELLMAN, JAMES	JNL PERSPECTIVE L	55,484.01	64.73	0.00	64.73	90.0	58.26
12/31/2017	CRAWFORD, JEANNE	JNL PERSPECTIVE L	103,470.70	120.72	0.00	120.72	90.0	108.65
12/31/2017	THOMPSON, CAROL	JNL PERSPECTIVE L	79,743.68	66.45	0.00	66.45	90.0	59.81
12/31/2017	SHELDON, SUSAN	JNL PERSPECTIVE L	49,262.47	41.05	0.00	41.05	90.0	36.95
12/31/2017	SHELDON, MARK	JNL PERSPECTIVE L	95,766.97	111.73	0.00	111.73	90.0	100.56
12/31/2017	FIEHWEG-CARTWRIGHT, LISA	JNL PERSPECTIVE L	380,226.25	316.86	0.00	316.86	90.0	285.17
12/31/2017	PERONE, PASQUALE	JNL PERSPECTIVE L	639,852.85	746.50	0.00	746.50	90.0	671.85
12/31/2017	PECKMAN, ROGER	JNL PERSPECTIVE L	138,313.66	161.37	0.00	161.37	90.0	145.23
12/31/2017	RUFFOLO, BENNY	JNL PERSPECTIVE L	105,617.35	123.22	0.00	123.22	90.0	110.90
12/31/2017	ZUPEK, DAVID	JNL PERSPECTIVE L	231,222.88	269.76	0.00	269.76	90.0	242.78
12/31/2017	FALBO, DIANE	JNL PERSPECTIVE L	685,790.82	800.09	0.00	800.09	90.0	720.08
12/31/2017	GAFKA, PHILIP	JNL PERSPECTIVE L	198,727.88	231.85	0.00	231.85	90.0	208.67
12/31/2017	GAFKA, PHILIP	JNL PERSPECTIVE L	371,883.58	433.86	0.00	433.86	90.0	390.47
12/31/2017	HARRIS, BILLY	JNL PERSPECTIVE L	271,249.95	316.46	0.00	316.46	90.0	284.81
12/31/2017	HEBERLING, DONALD	JNL PERSPECTIVE L	20,761.37	17.30	0.00	17.30	90.0	15.57
12/31/2017	WAYO, DARLENE	JNL PERSPECTIVE L	68,336.73	56.95	0.00	56.95	90.0	51.26
12/31/2017	HOSS, GAIL	JNL PERSPECTIVE L	73,208.25	85.41	0.00	85.41	90.0	76.87
12/31/2017	MUELLER, ROMAYNE	JNL PERSPECTIVE L	57,285.04	47.74	0.00	47.74	90.0	42.97
12/31/2017	STARK, GLORIA	JNL PERSPECTIVE L	60,848.42	50.71	0.00	50.71	90.0	45.64
12/31/2017	SMITHANA, MARGARET	JNL PERSPECTIVE L	248,965.06	207.47	0.00	207.47	90.0	186.72
12/31/2017	GIBSON, ROBERT	JNL PERSPECTIVE L	318,226.81	265.19	0.00	265.19	90.0	238.67
12/31/2017	MCFADDEN, JAMES	JNL PERSPECTIVE L	31,425.23	26.19	0.00	26.19	90.0	23.57
12/31/2017	KELLOGG, MICHAEL	JNL PERSPECTIVE L	226,613.93	188.84	0.00	188.84	90.0	169.96
12/31/2017	BIRKHOLZ, GAY	JNL PERSPECTIVE L	113,560.70	94.63	0.00	94.63	90.0	85.17
12/31/2017	HORNACEK, JOSEPH	JNL PERSPECTIVE L	402,665.88	469.78	0.00	469.78	90.0	422.80

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2017	ZUPFER, JO	JNL PERSPECTIVE L	90,720.37	75.60	0.00	75.60	90.0	68.04
12/31/2017	BECKER, JOHN	JNL PERSPECTIVE L	124,551.84	103.79	0.00	103.79	90.0	93.41
12/31/2017	BECKER, JOHN	JNL PERSPECTIVE L	154,213.75	128.51	0.00	128.51	90.0	115.66
12/31/2017	BAHR, JEFFREY	JNL PERSPECTIVE L	124,450.50	103.71	0.00	103.71	90.0	93.34
12/31/2017	STEPHENS, CAROL	JNL PERSPECTIVE L	582,690.32	485.58	0.00	485.58	90.0	437.02
12/31/2017	GLENNAN, DONALD	JNL PERSPECTIVE L	347,024.24	289.19	0.00	289.19	90.0	260.27
12/31/2017	CAPSTRAW, RONALD	JNL PERSPECTIVE L	386,076.38	321.73	0.00	321.73	90.0	289.56
12/31/2017	CAPSTRAW, RONALD &	JNL PERSPECTIVE L	224,475.75	187.06	0.00	187.06	90.0	168.35
12/31/2017	RUSCH, ROGER	LINCOLN BENEFIT LIFE	0.00	268.58	0.00	268.58	90.0	241.72
12/31/2017	RYAN, CINDY	LINCOLN BENEFIT LIFE	0.00	82.78	0.00	82.78	90.0	74.50
12/31/2017	WAYO, DARLENE	LINCOLN BENEFIT LIFE	0.00	418.87	0.00	418.87	90.0	376.98
12/31/2017	ROBERTSON, MARTIN	LINCOLN BENEFIT LIFE	0.00	184.39	0.00	184.39	90.0	165.95
12/31/2017	BIRKHOLZ, WILLIAM	LINCOLN BENEFIT LIFE	0.00	185.36	0.00	185.36	90.0	166.82
12/31/2017	HALL, SUZANNE	LINCOLN BENEFIT TRAIL RENEWALS	0.00	123.20	0.00	123.20	90.0	110.88
12/31/2017	HAMILTON, RONALD	LINCOLN BENEFIT TRAIL RENEWALS	0.00	819.31	0.00	819.31	90.0	737.38
12/31/2017	FALBO, LEWIS	PRU AS APEX II	181,465.76	453.66	0.00	453.66	90.0	408.29
12/31/2017	FALBO, LEWIS	PRU AS APEX II	181,465.76	113.42	0.00	113.42	90.0	102.08
12/31/2017	FALBO, LEWIS	PRU AS APEX II	171,532.91	428.83	0.00	428.83	90.0	385.95
12/31/2017	FALBO, LEWIS	PRU AS APEX II	171,532.91	107.21	0.00	107.21	90.0	96.49
12/31/2017	HOOTEN, AUDREY	PRU AS APEX II	69,027.19	129.43	0.00	129.43	90.0	116.49
12/31/2017	HOOTEN, AUDREY	PRU AS APEX II	69,027.19	43.14	0.00	43.14	90.0	38.83
12/31/2017	DENNE, FRANCES	PRU AS APEX II	62,688.04	117.54	0.00	117.54	90.0	105.79
12/31/2017	DENNE, FRANCES	PRU AS APEX II	62,688.04	39.18	0.00	39.18	90.0	35.26
12/31/2017	OERTEL, CLIFFORD	PRU PREMIER RETIREMENT B	161,462.92	100.91	0.00	100.91	90.0	90.82
12/31/2017	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	3,579.70	8.95	0.00	8.95	90.0	8.06
01/05/2018	BABICKY, THOMAS	SECURITY LIFE OF DENVER VUL-DB	888.00	22.20	0.00	22.20	90.0	19.98
12/05/2017	RACK, JOAN	TRANSAMERICA LIBERTY	172,609.94	143.84	0.00	143.84	90.0	129.46
12/13/2017	SOENS, JAMES	TRANSAMERICA LIBERTY	109,366.32	91.14	0.00	91.14	90.0	82.03
12/13/2017	HARTGERINK, EDWARD	TRANSAMERICA LIBERTY	328,563.29	273.80	0.00	273.80	90.0	246.42
12/15/2017	FRAID, JEROME	TRANSAMERICA LIBERTY	84,180.63	70.15	0.00	70.15	90.0	63.14
12/17/2017	MANDLI, JODI	TRANSAMERICA LIBERTY	35,665.43	29.72	0.00	29.72	90.0	26.75
12/21/2017	LUDWIG, DEBORA	TRANSAMERICA LIBERTY	35,568.89	29.64	0.00	29.64	90.0	26.68
12/23/2017	STARK, GLORIA	TRANSAMERICA LIBERTY	39,416.27	32.85	0.00	32.85	90.0	29.57

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/23/2017	DENNE, THOMAS	TRANSAMERICA LIBERTY	38,516.58	32.10	0.00	32.10	90.0	28.89
12/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,095.82	0.91	0.00	0.91	90.0	0.82
12/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,243.61	1.04	0.00	1.04	90.0	0.94
12/24/2017	HAMILTON, RONALD	TRANSAMERICA LIBERTY	193,433.95	161.19	0.00	161.19	90.0	145.07
12/24/2017	KASDORF, LINDA	TRANSAMERICA LIBERTY	66,910.74	55.76	0.00	55.76	90.0	50.18
12/26/2017	WAYO, DARLENE	TRANSAMERICA LIBERTY	160,640.07	133.87	0.00	133.87	90.0	120.48
12/27/2017	KIELPINSKI, ANTHONY	TRANSAMERICA LIBERTY	193,061.40	160.88	0.00	160.88	90.0	144.79
* VARIABLE ANNUITIES SUBTOTAL *			\$15,196,316.99	\$16,744.50	\$0.00	\$16,744.50		\$15,070.10

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/21/2017	AULER, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	27.39	0.00	27.39	90.0	24.65
12/14/2017	SIMMONS, JERRY	JP MORGAN INVESTOR GROWTH & INCOME	0.00	5.82	0.00	5.82	90.0	5.24
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$33.21	\$0.00	\$33.21		\$29.89
*** DIRECT TRANSACTIONS TOTAL ***			\$15,334,742.99	\$24,961.86	\$0.00	\$24,961.86		\$22,465.70

SPLIT TRANSACTIONS**BROKER: R143-RICHARD/DAVE RODRIGUEZ/ZUPEK #404**

12/31/2017	BROWN, CLAIRE	LINCOLN BENEFIT LIFE	0.00	4.39	0.00	4.39	90.0	3.95
* R143-RICHARD/DAVE RODRIGUEZ/ZUPEK SUBTOTAL *			\$0.00	\$4.39	\$0.00	\$4.39		\$3.95
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$4.39	\$0.00	\$4.39		\$3.95

OVERRIDE TRANSACTIONS**BROKER: MARK-H122 HUBER #10**

01/02/2018	RACZKA, PHILLIP	MFS AGGRESS GROWTH ALLOCATION 529A	50.00	2.50	0.00	2.50	0.0	2.25
01/02/2018	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	50.00	2.00	0.00	2.00	0.0	1.80
12/20/2017	SWIDER, JULIA	MFS LIMITED MATURITY FUND 529B	50.00	2.00	0.00	2.00	0.0	1.80
01/02/2018	RACZKA, PHILLIP	MFS RESEARCH INTERNATIONAL FUND 529	50.00	2.50	0.00	2.50	0.0	2.25
12/11/2017	WIKAR, HALINA	UBT AGGRESSIVE AGE BASED 0-02-A	100.00	3.00	0.00	3.00	0.0	2.70
12/11/2017	WIKAR, HALINA	UBT AGGRESSIVE AGE BASED 0-02-A	700.00	21.00	0.00	21.00	0.0	18.90
* MARK-H122 HUBER SUBTOTAL *			\$1,000.00	\$33.00	\$0.00	\$33.00		\$29.70

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: R121-RICHARD RODRIGUEZ #88</u>								
12/31/2017	ROSE, NIKKI	JNL PERSPECTIVE II 04/14	78,348.78	65.30	0.00	65.30	0.0	58.77
12/31/2017	ROSE, PETER	JNL PERSPECTIVE L	34,215.58	28.52	0.00	28.52	0.0	25.67
* R121-RICHARD RODRIGUEZ SUBTOTAL *			\$112,564.36	\$93.82	\$0.00	\$93.82		\$84.44
<u>BROKER: MARK-H122 HUBER #89</u>								
01/02/2018	TARCZYNSKI, LESTER	MFS AGGRESSIVE GROWTH ALLOCATION C	2,000.00	20.00	0.00	20.00	0.0	18.00
01/02/2018	KRELLER, KRISTYNA	MFS BLENDED RESEARCH CORE C	40.00	0.40	0.00	0.40	0.0	0.36
01/02/2018	BECKER, KARINA	MFS BLENDED RESEARCH CORE C	180.00	1.80	0.00	1.80	0.0	1.62
12/20/2017	LOWISZ, WLADYSLAWA	MFS CONSERVATIVE ALLOCATION B	108.00	4.32	0.00	4.32	0.0	3.89
01/02/2018	BECKER, KARINA	MFS DIVERSIFIED INCOME FUND C	180.00	1.80	0.00	1.80	0.0	1.62
12/20/2017	LOWISZ, WLADYSLAWA	MFS EMERGING MARKETS DEBT FUND B	108.00	4.32	0.00	4.32	0.0	3.89
01/02/2018	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	50.00	2.00	0.00	2.00	0.0	1.80
12/20/2017	TYLMAN, PETER	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	0.0	0.45
12/20/2017	TYLMAN, JOANNA	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	0.0	0.45
01/02/2018	KRELLER, KRISTYNA	MFS EMERGING MKTS DEBT FUND C	40.00	0.40	0.00	0.40	0.0	0.36
01/02/2018	TARCZYNSKI, LESTER	MFS GROWTH C	2,000.00	20.00	0.00	20.00	0.0	18.00
12/21/2017	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	0.0	0.45
12/21/2017	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	0.0	0.45
12/20/2017	LOWISZ, WLADYSLAWA	MFS MODERATE ALLOCATION B	108.00	4.32	0.00	4.32	0.0	3.89
01/02/2018	KRELLER, KRISTYNA	MFS MODERATE ALLOCATION C	40.00	0.40	0.00	0.40	0.0	0.36
01/02/2018	WALUSZKO, SYLWIA	MFS MODERATE ALLOCATION C	20.00	0.20	0.00	0.20	0.0	0.18
12/21/2017	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	0.0	0.45
12/21/2017	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	0.0	0.45
01/02/2018	KRELLER, KRISTYNA	MFS RESEARCH BOND FUND C	40.00	0.40	0.00	0.40	0.0	0.36
12/20/2017	LOWISZ, WLADYSLAWA	MFS UTILITIES B	108.00	4.32	0.00	4.32	0.0	3.89
01/02/2018	ZAREBA, GRZEGORZ	MFS UTILITIES B	50.00	2.00	0.00	2.00	0.0	1.80
12/20/2017	TYLMAN, JOANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	0.0	0.45
12/20/2017	TYLMAN, PETER	MFS UTILITIES C	50.00	0.50	0.00	0.50	0.0	0.45
12/21/2017	GABEROV, VASIL	MFS UTILITIES C	70.00	0.70	0.00	0.70	0.0	0.63
12/21/2017	GABEROVA, STELLA	MFS UTILITIES C	70.00	0.70	0.00	0.70	0.0	0.63
01/02/2018	KRELLER, KRISTYNA	MFS UTILITIES C	40.00	0.40	0.00	0.40	0.0	0.36
01/02/2018	BECKER, KARINA	MFS UTILITIES C	180.00	1.80	0.00	1.80	0.0	1.62
01/02/2018	TARCZYNSKI, LESTER	MFS UTILITIES C	2,000.00	20.00	0.00	20.00	0.0	18.00
01/02/2018	WALUSZKO, SYLWIA	MFS UTILITIES C	20.00	0.20	0.00	0.20	0.0	0.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARK-H122 HUBER #89 </u>								
12/20/2017	LOWISZ, WLADYSLAWA	MFS VALUE B	108.00	4.32	0.00	4.32	0.0	3.89
12/20/2017	TYLMAN, PETER	MFS VALUE C	50.00	0.50	0.00	0.50	0.0	0.45
12/20/2017	TYLMAN, JOANNA	MFS VALUE C	50.00	0.50	0.00	0.50	0.0	0.45
01/02/2018	WALUSZKO, SYLWIA	MFS VALUE C	20.00	0.20	0.00	0.20	0.0	0.18
/ /	WIKAR, HALINA	UBT AGGRESSIVE AGE BASED 0-02-A	0.00	4.46	0.00	4.46	0.0	4.01
* MARK-H122 HUBER SUBTOTAL *			\$8,080.00	\$104.46	\$0.00	\$104.46		\$94.02
<u> BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90 </u>								
12/12/2017	WOLF, XANDER	ALGER SPECTRA A	0.00	1.00	0.00	1.00	0.0	0.90
12/12/2017	WOLF, AADRA	ALGER SPECTRA A	0.00	1.00	0.00	1.00	0.0	0.90
12/11/2017	WOLF, XANDER	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.05	0.00	1.05	0.0	0.95
12/11/2017	WOLF, AADRA	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.18	0.00	1.18	0.0	1.06
12/15/2017	BATIO, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	0.52	0.00	0.52	0.0	0.47
12/15/2017	BATIO, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.41	0.00	0.41	0.0	0.37
12/15/2017	BATIO, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.62	0.00	0.62	0.0	0.56
12/15/2017	BATIO, KAREN	AM FUNDS ICA A	0.00	0.58	0.00	0.58	0.0	0.52
12/15/2017	BATIO, KAREN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.48	0.00	0.48	0.0	0.43
12/14/2017	WOLF, XANDER	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.50	0.00	0.50	0.0	0.45
12/14/2017	WOLF, AADRA	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.50	0.00	0.50	0.0	0.45
12/22/2017	WOLF, XANDER	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.22	0.00	1.22	0.0	1.10
12/22/2017	WOLF, AADRA	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.38	0.00	1.38	0.0	1.24
12/22/2017	WOLF, XANDER	BLK HEALTH SCIENCES A	0.00	0.91	0.00	0.91	0.0	0.82
12/22/2017	WOLF, AADRA	BLK HEALTH SCIENCES A	0.00	0.91	0.00	0.91	0.0	0.82
12/21/2017	FERN, SARAH	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.57	0.00	5.57	0.0	5.01
12/21/2017	UKRAINIAN CHURCH, ST ANDREWS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.83	0.00	16.83	0.0	15.15
12/21/2017	UKRAINIAN CHURCH, ST ANDREWS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	24.28	0.00	24.28	0.0	21.85
12/21/2017	TRIPP, MAXX	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.87	0.00	1.87	0.0	1.68
12/21/2017	TRIPP, MAXX	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.66	0.00	10.66	0.0	9.59
12/21/2017	TRIPP, ABBY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.93	0.00	11.93	0.0	10.74
12/21/2017	BATIO, KAREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.13	0.00	1.13	0.0	1.02
12/21/2017	WOLF, XANDER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.16	0.00	4.16	0.0	3.74
12/21/2017	WOLF, AADRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.16	0.00	4.16	0.0	3.74
12/27/2017	UKRAINIAN CHURCH, ST ANDREWS	CORPORATE CAP TR INC COM	-109,909.03	0.00	27.00	-27.00	0.0	-24.30
12/18/2017	UDCHIK, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.74	0.00	2.74	0.0	2.47

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90</u>								
12/21/2017	WOLF, XANDER	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.56	0.00	0.56	0.0	0.50
12/21/2017	WOLF, AADRA	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.56	0.00	0.56	0.0	0.50
* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *			\$-109,909.03	\$96.71	\$27.00	\$69.71		\$62.73
<u>BROKER: MICHAEL VENTURINI #127</u>								
12/31/2017	CAMPOLO, JON	JNL PERSPECTIVE L	20,710.97	17.26	0.00	17.26	0.0	15.53
12/31/2017	CAMPOLO, JUDITH	JNL PERSPECTIVE L	16,696.47	13.91	0.00	13.91	0.0	12.52
* MICHAEL VENTURINI SUBTOTAL *			\$37,407.44	\$31.17	\$0.00	\$31.17		\$28.05
<u>BROKER: WENDY GOODING #158</u>								
12/14/2017	SCOTT, NATALIE	JP MORGAN INVESTOR GROWTH & INCOME	0.00	9.93	0.00	9.93	0.0	3.48
* WENDY GOODING SUBTOTAL *			\$0.00	\$9.93	\$0.00	\$9.93		\$3.48
<u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u>								
12/26/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	675.00	13.50	0.00	13.50	0.0	12.15
12/26/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
12/26/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
12/26/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	1,350.00	27.00	0.00	27.00	0.0	24.30
12/26/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
12/26/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
12/21/2017	SHAYKIN, STEVEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.70	0.00	12.70	0.0	11.43
12/21/2017	HAMILTON, RANDALL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.31	0.00	2.31	0.0	2.08
12/21/2017	HAMILTON, RANDALL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.10	0.00	2.10	0.0	1.89
12/21/2017	WHEELER, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.65	0.00	1.65	0.0	1.49
12/21/2017	WHEELER, MARSHA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.57	0.00	1.57	0.0	1.41
* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *			\$2,175.00	\$63.83	\$0.00	\$63.83		\$57.45
*** OVERRIDE TRANSACTIONS TOTAL ***			\$51,317.77	\$432.92	\$27.00	\$405.92		\$359.87

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
01/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
01/15/2018		*AI INSIGHT: AI INSIGHT - MARK	0.00					-7.00
01/15/2018		*ALBRIDGE: LIGHT USER - DAVID	0.00					-38.00
01/15/2018		*ALBRIDGE: LIGHT USER - MARK	0.00					-38.00
01/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/15/2018		*GLOBAL RELAY: FACEBOOK - DAVID	0.00					-2.50
01/15/2018		*GLOBAL RELAY: FACEBOOK - MARK	0.00					-2.50
01/15/2018		*GLOBAL RELAY: WEBSITE - DAVID	0.00					-7.50
01/15/2018		*GLOBAL RELAY: WEBSITE - MARK	0.00					-7.50
01/15/2018		*LASER APP: LASER APP - MARK	0.00					-18.00
01/15/2018		*LASER APP: LASERAPP - DAVID	0.00					-18.00
01/15/2018		*MARKETING PRO: MARKETING PRO - DAV	0.00					-10.00
01/15/2018		*MARKETING PRO: STANDARD - MARK	0.00					-10.00
01/15/2018		*PERSHING: MANDITORY REORG - FRASCH	0.00					-10.00
01/15/2018		*PERSHING: MANDITORY REORG - FRASCH	0.00					-10.00
01/15/2018		*PERSHING: MANDITORY REORG - KEENON	0.00					-10.00
01/15/2018		*PERSHING: MANDITORY REORG - SCACCH	0.00					-10.00
01/15/2018		*TECH: ADMIN - L JOCIUS	0.00					-130.00
01/15/2018		*TECH: ADMIN - RON HAMILTON	0.00					-60.00
01/15/2018		*TECH: ADMIN: JOHN ZUPEK	0.00					-60.00
01/15/2018		*TECHNOLOGY: ADMIN - AMANDA MOON	0.00					-130.00
01/15/2018		*TECHNOLOGY: BASIC - DAVID	0.00					-180.00
01/15/2018		*TECHNOLOGY: BASIC-MARK	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,546.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$22,465.70
Split Transactions	\$3.95
Override Transactions	\$359.87
Adjustments	\$-1,546.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$21,283.52
Year-to-date Gross	\$24,966.25
Year-to-date Earnings	\$22,829.52