

All Companies
STATEMENT
May 20, 2018

MIKE DORN

BROKER #: 058
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for MIKE DORN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
04/20/2018 #		ARTISAN PARTNERS FDS INC	S	7,219.06	0.00	20.00	0.00	-20.00	75.0	-15.00
04/20/2018 #		DEUTSCHE ENHANCED COMMODITY	S	1,878.34	0.00	20.00	0.00	-20.00	75.0	-15.00
04/20/2018 #		DEUTSCHE GLOBAL REAL ESTATE	S	2,687.97	0.00	20.00	0.00	-20.00	75.0	-15.00
04/20/2018 #		JOHN HANCOCK DISCIPLINED VALUE MID	S	4,281.36	0.00	20.00	0.00	-20.00	75.0	-15.00
04/20/2018 #		JPMORGAN TR I INTREPID VALUE FD SEL	S	5,723.39	0.00	20.00	0.00	-20.00	75.0	-15.00
04/20/2018 #		JPMORGAN TR II HIGH YIELD FD SELECT	S	2,852.67	0.00	20.00	0.00	-20.00	75.0	-15.00
04/20/2018 #		PIMCO FDS PAC INVT MGMT SER	S	2,771.07	0.00	20.00	0.00	-20.00	75.0	-15.00
04/20/2018 #		T ROWE PRICE BLUE CHIP GROWTH FUND	S	5,732.54	0.00	20.00	0.00	-20.00	75.0	-15.00
04/25/2018 #		FIDELITY ADV NEW INSIGHTS-CL A	B	5,000.00	250.00	20.00	0.00	230.00	75.0	172.50
04/25/2018 #		FIDELITY ADV STRATEGIC DIV & INCOME	B	3,000.00	150.00	20.00	0.00	130.00	75.0	97.50
04/25/2018 #		FIDELITY ADV TOTAL BOND-CL A	B	9,000.00	337.50	20.00	0.00	317.50	75.0	238.13
04/25/2018 #		FIDELITY ADVISOR STRATEGIC INCOME F	B	12,000.00	450.00	20.00	0.00	430.00	75.0	322.50
* MUTUAL FUNDS SUBTOTAL *				\$62,146.40	\$1,187.50	\$240.00	\$0.00	\$947.50		\$710.63
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
04/30/0201 #1021289929	MADISON, DARLENE	JACKSON	B	38,552.80	1,927.64	0.00	0.00	1,927.64	75.0	1,445.73
* VARIABLE ANNUITIES SUBTOTAL *				\$38,552.80	\$1,927.64	\$0.00	\$0.00	\$1,927.64		\$1,445.73
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
04/20/2018 #		DAIN TRAILER	S	8,619.16	0.00	0.00	0.00	0.00	75.0	0.00
04/20/2018 #		DAIN TRAILER	S	1,664.54	0.00	0.00	0.00	0.00	75.0	0.00
04/20/2018 #		DAIN TRAILER	S	8,798.03	0.00	0.00	0.00	0.00	75.0	0.00
04/20/2018 #		DAIN TRAILER	S	12,622.94	0.00	0.00	0.00	0.00	75.0	0.00
04/20/2018 #		DAIN TRAILER	S	7,790.91	0.00	0.00	0.00	0.00	75.0	0.00
04/20/2018 #		DAIN TRAILER	S	6,751.47	0.00	0.00	0.00	0.00	75.0	0.00
04/20/2018 #		DAIN TRAILER	S	5,786.46	0.00	0.00	0.00	0.00	75.0	0.00
04/20/2018 #		DAIN TRAILER	S	4,473.49	0.00	0.00	0.00	0.00	75.0	0.00
04/20/2018 #		DAIN TRAILER	S	4,499.13	0.00	0.00	0.00	0.00	75.0	0.00
04/20/2018 #		DAIN TRAILER	S	11,973.47	0.00	0.00	0.00	0.00	75.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$72,979.60	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***				\$173,678.80	\$3,115.14	\$240.00	\$0.00	\$2,875.14		\$2,156.36

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ADJUSTMENTS

05/20/2018		ADMIN FEE: ADMIN FEE								-320.00
05/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
05/20/2018		PRINT ITEMS: PRINT ITEMS VISTA								-26.89
		PRINT #45670								
*** ADJUSTMENTS TOTAL ***										\$-525.89

BROKER COMMISSION TOTALS

Broker Transactions	\$2,156.36
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-525.89
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-714.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$916.47
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