

COMMISSION STATEMENT

March 31, 2018

CHRISTOPHER HAMILTON

21 WEST STREET

APT 6J

NEW YORK, NY 10006

BROKER #: 14

BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
03/19/2018	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	67,622.50	0.00	10.00	-10.00	80.0	-8.00
02/27/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	147,864.00	0.00	10.00	-10.00	80.0	-8.00
02/28/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	73,930.68	0.00	10.00	-10.00	80.0	-8.00
03/01/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	72,154.08	0.00	10.00	-10.00	80.0	-8.00
03/02/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	71,964.00	0.00	10.00	-10.00	80.0	-8.00
03/05/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	71,749.68	0.00	10.00	-10.00	80.0	-8.00
03/05/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	72,264.00	0.00	10.00	-10.00	80.0	-8.00
03/06/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	72,648.00	0.00	10.00	-10.00	80.0	-8.00
03/06/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	145,464.00	0.00	10.00	-10.00	80.0	-8.00
03/06/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	145,464.00	0.00	10.00	-10.00	80.0	-8.00
03/07/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	144,240.00	0.00	10.00	-10.00	80.0	-8.00
03/07/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	144,240.00	0.00	10.00	-10.00	80.0	-8.00
03/12/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	145,632.00	0.00	10.00	-10.00	80.0	-8.00
03/12/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	72,816.00	0.00	10.00	-10.00	80.0	-8.00
03/13/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	72,696.00	0.00	10.00	-10.00	80.0	-8.00
03/14/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	146,016.00	0.00	10.00	-10.00	80.0	-8.00
03/14/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	53,897.00	0.00	10.00	-10.00	80.0	-8.00
03/15/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	146,304.00	0.00	10.00	-10.00	80.0	-8.00
03/16/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	145,777.20	0.00	10.00	-10.00	80.0	-8.00
03/19/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	143,184.00	0.00	10.00	-10.00	80.0	-8.00
03/19/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	97,376.40	0.00	10.00	-10.00	80.0	-8.00
03/20/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	144,000.00	0.00	10.00	-10.00	80.0	-8.00
03/20/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	72,000.00	0.00	10.00	-10.00	80.0	-8.00
03/21/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	84,532.56	0.00	10.00	-10.00	80.0	-8.00
03/21/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	71,890.74	0.00	10.00	-10.00	80.0	-8.00
03/22/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	59,370.48	0.00	10.00	-10.00	80.0	-8.00
03/26/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	141,864.00	0.00	10.00	-10.00	80.0	-8.00
03/27/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	71,196.00	0.00	10.00	-10.00	80.0	-8.00
03/05/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	107,955.60	50.00	10.00	40.00	80.0	32.00
03/15/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	106,400.00	50.00	10.00	40.00	80.0	32.00
03/19/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	97,376.40	50.00	10.00	40.00	80.0	32.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
03/19/2018	75500529 KALOS, PAUL	SPDR S&P 500 ETF TRUST	B	108,995.69	50.00	10.00	40.00	80.0	32.00
03/19/2018	75500747 MILNER, ELIZABETH	SPDR S&P 500 ETF TRUST	B	12,172.05	25.00	10.00	15.00	80.0	12.00
03/19/2018	75500767 HAMILTON, DOUGLAS	SPDR S&P 500 ETF TRUST	B	24,344.10	25.00	10.00	15.00	80.0	12.00
03/19/2018	75500816 KERN, DOUGLAS	SPDR S&P 500 ETF TRUST	B	148,769.50	50.00	10.00	40.00	80.0	32.00
03/08/2018	75500854 LERNER, PETER	NUTANIX INC CL A	B	230,232.00	50.00	10.00	40.00	80.0	32.00
03/08/2018	75500854 LERNER, PETER	QUALCOMM INC	S	248,622.00	50.00	10.00	40.00	80.0	32.00
03/21/2018	75500854 LERNER, PETER	ARADIGM CORPORATION NEW	B	13,076.00	100.00	10.00	90.00	80.0	72.00
03/19/2018	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	B	48,688.20	25.00	10.00	15.00	80.0	12.00
03/08/2018	75500978 LERNER, SUSAN	MICROSOFT CORP	S	189,389.51	50.00	10.00	40.00	80.0	32.00
03/08/2018	75500978 LERNER, SUSAN	NUTANIX INC CL A	B	298,330.80	65.00	10.00	55.00	80.0	44.00
03/08/2018	75500978 LERNER, SUSAN	QUALCOMM INC	S	124,344.00	50.00	10.00	40.00	80.0	32.00
03/21/2018	75500978 LERNER, SUSAN	ARADIGM CORPORATION NEW	B	2,996.74	50.00	10.00	40.00	80.0	32.00
03/19/2018	75500987 MILNER, NATHANIEL	SPDR S&P 500 ETF TRUST	B	12,172.05	25.00	10.00	15.00	80.0	12.00
03/23/2018	75501006 GRAFSTEIN, ALLEN	PRUDENTIAL FINANCIAL INC	B	50,375.00	50.00	10.00	40.00	80.0	32.00
03/23/2018	75501024 GRAFSTEIN, ALLEN	PRUDENTIAL FINANCIAL INC	B	50,375.00	50.00	10.00	40.00	80.0	32.00
03/19/2018	75501159 EISBERG, JAMES	SPDR S&P 500 ETF TRUST	B	12,183.08	25.00	10.00	15.00	80.0	12.00
03/23/2018	75501192 ALLEN GRAFSTEIN	IONIS PHARMACEUTICALS INC COM	B	95,500.00	50.00	10.00	40.00	80.0	32.00
03/23/2018	75501192 ALLEN GRAFSTEIN	KRAFT HEINZ COMPANY (THE) COMMON ST B	B	88,085.50	50.00	10.00	40.00	80.0	32.00
03/23/2018	75501192 ALLEN GRAFSTEIN	PRUDENTIAL FINANCIAL INC	B	100,750.00	50.00	10.00	40.00	80.0	32.00
03/05/2018	75501275 LIMOND, LAURA	VANGUARD INTL EQUITY IND FD FTSE AL S	S	21,780.40	50.00	10.00	40.00	80.0	32.00
03/12/2018	QGW019929 KARP, JEFFREY	ALAMOS GOLD INC COM CL A	B	39,662.40	100.00	10.00	90.00	80.0	72.00
03/13/2018	QGW019929 KARP, JEFFREY	ALAMOS GOLD INC COM CL A	B	40,360.00	100.00	10.00	90.00	80.0	72.00
03/14/2018	QGW019929 KARP, JEFFREY	SEQUENTIAL BRNDS GROUP INC NEW COM	S	-21,241.80	90.00	10.00	80.00	80.0	64.00
03/15/2018	QGW019929 KARP, JEFFREY	AK STEEL HOLDING CORP	B	39,020.80	80.00	10.00	70.00	80.0	56.00
03/19/2018	QGW019929 KARP, JEFFREY	COEUR MINING INC	B	30,960.00	100.00	10.00	90.00	80.0	72.00
03/21/2018	QGW019929 KARP, JEFFREY	ENDO INTERNATIONAL PLC	B	24,720.00	50.00	10.00	40.00	80.0	32.00
03/22/2018	QGW019929 KARP, JEFFREY	ALAMOS GOLD INC COM CL A	B	41,680.00	80.00	10.00	70.00	80.0	56.00
03/22/2018	QGW019929 KARP, JEFFREY	ENDEAVOUR SILVER CORP	S	-19,856.00	80.00	10.00	70.00	80.0	56.00
03/22/2018	QGW019929 KARP, JEFFREY	ENDEAVOUR SILVER CORP	S	-19,617.60	80.00	10.00	70.00	80.0	56.00
03/22/2018	QGW019929 KARP, JEFFREY	ENDEAVOUR SILVER CORP	S	-3,224.00	13.00	10.00	3.00	80.0	2.40
03/22/2018	QGW019929 KARP, JEFFREY	ENDEAVOUR SILVER CORP	S	-16,416.34	80.00	10.00	70.00	80.0	56.00
03/22/2018	QGW019929 KARP, JEFFREY	ENDEAVOUR SILVER CORP	S	-19,840.80	80.00	10.00	70.00	80.0	56.00
03/22/2018	QGW019929 KARP, JEFFREY	ENDEAVOUR SILVER CORP	S	-19,840.00	80.00	10.00	70.00	80.0	56.00
03/22/2018	QGW019929 KARP, JEFFREY	ENDEAVOUR SILVER CORP	S	-19,842.40	80.00	10.00	70.00	80.0	56.00
03/22/2018	QGW019929 KARP, JEFFREY	ENDO INTERNATIONAL PLC	B	23,907.20	50.00	10.00	40.00	80.0	32.00
03/22/2018	QGW019929 KARP, JEFFREY	GAMESTOP CORP NEW CLASS A	B	27,439.80	60.00	10.00	50.00	80.0	40.00
03/22/2018	QGW019929 KARP, JEFFREY	GAMESTOP CORP NEW CLASS A	B	27,455.60	60.00	10.00	50.00	80.0	40.00
03/23/2018	QGW019929 KARP, JEFFREY	COEUR MINING INC	S	-32,496.00	100.00	10.00	90.00	80.0	72.00

* STOCKS SUBTOTAL *

\$5,213,901.80

\$2,453.00

\$690.00

\$1,763.00

\$1,410.40

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: OPTIONS											
03/05/2018	75500529 KALOS, PAUL	CALL SPY	03/23/18	266	S	2,528.00	50.00	10.00	40.00	80.0	32.00
03/19/2018	75500529 KALOS, PAUL	CALL SPY	04/13/18	266	S	3,472.00	50.00	10.00	40.00	80.0	32.00
* OPTIONS SUBTOTAL *						\$6,000.00	\$100.00	\$20.00	\$80.00		\$64.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
03/31/2018		FEE COUNT - 40				0.00	483.81	0.00	483.81	80.0	387.09
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$483.81	\$0.00	\$483.81		\$387.09
*** BROKER TRANSACTIONS TOTAL ***						\$5,219,901.80	\$3,036.81	\$710.00	\$2,326.81		\$1,861.49

ADJUSTMENTS

03/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
03/31/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-12.00
03/31/2018	OTHER: OTHER-DISABLITY INSURANCE	0.00	0.00	0.00	0.00	-2.04
03/31/2018	OTHER: OTHER-WORKMAN COMP	0.00	0.00	0.00	0.00	-133.34
03/31/2018	OTHER: THOMSON	0.00	0.00	0.00	0.00	-112.86
03/31/2018	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00	-55.66
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-335.90

BROKER COMMISSION TOTALS

Broker Transactions	\$1,861.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-335.90
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.80
SIPC Assessment	\$-7.59

Check Amount	\$1,514.20
Year-to-date Earnings	\$10,380.87