

**COMMISSION STATEMENT****April 15, 2018**DAVID KOTZ
601 Mine Brook Rd

BROKER #: K135 Terminated 05/04/2017

BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/09/2018	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.13	0.00	4.13	0.0	0.00
03/09/2018	NAGY, ZOLTAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.92	0.00	0.92	0.0	0.00
03/09/2018	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.51	0.00	9.51	0.0	0.00
03/09/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	26.63	0.00	26.63	0.0	0.00
03/09/2018	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.86	0.00	2.86	0.0	0.00
03/09/2018	NAGY, ZOLTAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.86	0.00	0.86	0.0	0.00
03/09/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.73	0.00	2.73	0.0	0.00
03/09/2018	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.60	0.00	1.60	0.0	0.00
03/09/2018	NAGY, ZOLTAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.21	0.00	2.21	0.0	0.00
03/09/2018	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	1.99	0.00	1.99	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$53.44	\$0.00	\$53.44		\$0.00
<u>PRODUCT CATEGORY: BONDS</u>								
03/07/2018	CICCIONE, FRANCINE	HSBC USA INC NEW SR IDX ZRO	3,919.50	28.00	28.00	0.00	0.0	0.00
* BONDS SUBTOTAL *			\$3,919.50	\$28.00	\$28.00	\$0.00		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$3,919.50	\$81.44	\$28.00	\$53.44		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$1,115.44
Year-to-date Earnings	\$0.00