

COMMISSION STATEMENT

November 30, 2017

EZRA GRAYMAN
7 EDGEWOOD AVEBROKER #: 27
BRANCH: HOME OFFICE

SPRINGFIELD, NJ 07081

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/31/2017	QGV001035	JPMORGAN CLEARING	VALLEY NATL BANCORP PERP PFD SER A B	32,642.50	11.87	10.00	1.87	50.0	0.94
11/14/2017	QGV001035	JPMORGAN CLEARING	FEDERAL NATL MTG ASSN NON CUM PFD S S	-67,500.00	125.00	10.00	115.00	50.0	57.50
10/31/2017	QGV006216	LION	CORPORATE OFFICE PROPERTIES TRUST-S S	-31,750.00	20.00	10.00	10.00	50.0	5.00
10/31/2017	QGV006216	LION	ENVIVA PARTNERS LP COM UNIT REPSTG S	-27,750.00	20.00	10.00	10.00	50.0	5.00
11/01/2017	QGV006216	LION	ALTAIR ENGR INC CL A COM S	-91,800.00	54.00	10.00	44.00	50.0	22.00
11/01/2017	QGV006216	LION	CONSTELLIUM NV CL A ISIN#NL00104895 S	-4,600.00	20.00	10.00	10.00	50.0	5.00
11/01/2017	QGV006216	LION	LOMA NEGRA CORP SPONSORED ADS REPST S	-30,310.00	20.00	10.00	10.00	50.0	5.00
11/02/2017	QGV006216	LION	ALLENA PHARMACEUTICALS COM S	-12,000.00	20.00	10.00	10.00	50.0	5.00
11/02/2017	QGV006216	LION	ALLENA PHARMACEUTICALS COM S	-3,600.00	20.00	10.00	10.00	50.0	5.00
11/02/2017	QGV006216	LION	EVOQUA WATER TECHNOLOGIES CORP COM S	-25,805.00	20.00	10.00	10.00	50.0	5.00
11/02/2017	QGV006216	LION	EVOQUA WATER TECHNOLOGIES CORP COM S	-29,775.00	20.00	10.00	10.00	50.0	5.00
11/02/2017	QGV006216	LION	FUNKO INC CL A S	-1,223.58	20.00	10.00	10.00	50.0	5.00
11/02/2017	QGV006216	LION	INTELLIA THERAPEUTICS INC COM S	-52,964.25	25.00	10.00	15.00	50.0	7.50
11/02/2017	QGV006216	LION	INTELLIA THERAPEUTICS INC COM S	-635,571.00	300.00	10.00	290.00	50.0	145.00
11/02/2017	QGV006216	LION	NEXTDECADE CORP COM S	-18,500.00	20.00	10.00	10.00	50.0	5.00
11/02/2017	QGV006216	LION	SPERO THERAPEUTICS INC COM S	-19,875.00	20.00	10.00	10.00	50.0	5.00
11/03/2017	QGV006216	LION	SENTINEL ENERGY SVCS INC UNIT 1 CL S	-22,088.44	22.00	10.00	12.00	50.0	6.00
11/06/2017	QGV006216	LION	ADVANCED MICRO DEVICES INC B	411,981.50	150.00	10.00	140.00	50.0	70.00
11/06/2017	QGV006216	LION	VICAL INC COM NEW S	-4,575.00	25.00	10.00	15.00	50.0	7.50
11/07/2017	QGV006216	LION	MERIDIAN BK PAOLI PA COM S	-265,125.00	150.00	10.00	140.00	50.0	70.00
11/07/2017	QGV006216	LION	PARETEUM CORPORATION COMMON STOCK S	-20,500.00	100.00	10.00	90.00	50.0	45.00
11/07/2017	QGV006216	LION	PARETEUM CORPORATION COMMON STOCK S	20,500.00	-100.00	-10.00	-90.00	50.0	-45.00 C
11/07/2017	QGV006216	LION	PARETEUM CORPORATION COMMON STOCK S	-3,075.00	37.50	10.00	27.50	50.0	13.75
11/07/2017	QGV006216	LION	PROPETRO HLDG CORP COM S	-16,150.00	20.00	10.00	10.00	50.0	5.00
11/07/2017	QGV006216	LION	VICAL INC COM NEW S	-12,772.50	75.00	10.00	65.00	50.0	32.50
11/08/2017	QGV006216	LION	ADVANCED MICRO DEVICES INC B	409,339.00	200.00	10.00	190.00	50.0	95.00
11/08/2017	QGV006216	LION	ARCIMOTO INC COM S	-4,004.00	20.00	10.00	10.00	50.0	5.00
11/08/2017	QGV006216	LION	CBTX INC COM S	-44,800.00	20.00	10.00	10.00	50.0	5.00
11/08/2017	QGV006216	LION	FOUR SEASONS EDUCATION (CAYMAN) INC S	-5,100.00	20.00	10.00	10.00	50.0	5.00
11/08/2017	QGV006216	LION	INFLARX N V COM ISIN#NL0012661870 S	-16,709.00	20.00	10.00	10.00	50.0	5.00
11/08/2017	QGV006216	LION	METROPOLITAN BK HLDG CORP COM S	-86,494.95	23.00	10.00	13.00	50.0	6.50

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/08/2017	QGV006216 LION	PROTAGONIST THERAPEUTICS INC COM	S	-199,689.05	117.46	10.00	107.46	50.0	53.73
11/08/2017	QGV006216 LION	REDHILL BIOPHARMA LTD AMERICAN DEPO	S	-53,054.29	101.00	10.00	91.00	50.0	45.50
11/08/2017	QGV006216 LION	REDHILL BIOPHARMA LTD AMERICAN DEPO	B	27,500.00	50.00	10.00	40.00	50.0	20.00
11/08/2017	QGV006216 LION	VICAL INC COM NEW	B	18,500.00	100.00	10.00	90.00	50.0	45.00
11/08/2017	QGV006216 LION	VOYAGER THERAPEUTICS INC COM	S	-38,700.00	30.00	10.00	20.00	50.0	10.00
11/09/2017	QGV006216 LION	ADMA BIOLOGICS INC COM	S	-5,625.00	25.00	10.00	15.00	50.0	7.50
11/09/2017	QGV006216 LION	APELLIS PHARMACEUTICALS INC COM	S	-14,500.00	20.00	10.00	10.00	50.0	5.00
11/09/2017	QGV006216 LION	CADENCE BANCORPORATION CL A	S	-6,630.60	20.00	10.00	10.00	50.0	5.00
11/09/2017	QGV006216 LION	REDHILL BIOPHARMA LTD AMERICAN DEPO	B	5,428.50	20.00	10.00	10.00	50.0	5.00
11/09/2017	QGV006216 LION	SOGO INC ADR REPSTG CL A SHS ISIN#	S	-2,600.00	20.00	10.00	10.00	50.0	5.00
11/09/2017	QGV006216 LION	SRC ENERGY INC COM	S	-17,000.00	20.00	10.00	10.00	50.0	5.00
11/09/2017	QGV006216 LION	SRC ENERGY INC COM	S	-4,250.00	20.00	10.00	10.00	50.0	5.00
11/09/2017	QGV006216 LION	TAYLOR MORRISON HOME CORP CL A	S	-47,250.00	21.00	10.00	11.00	50.0	5.50
11/10/2017	QGV006216 LION	ONCONOVA THERAPEUTICS INC COM NEW	S	-38,890.00	150.00	10.00	140.00	50.0	70.00
11/10/2017	QGV006216 LION	PPDAI GROUP INC SPONSORED ADR ISIN#	S	-10,640.00	20.00	10.00	10.00	50.0	5.00
11/13/2017	QGV006216 LION	JAGUAR HEALTH INC COM	S	-22,000.00	100.00	10.00	90.00	50.0	45.00
11/14/2017	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	392,406.00	150.00	10.00	140.00	50.0	70.00
11/14/2017	QGV006216 LION	ALNYLAM PHARMACEUTICALS INC	S	-314,400.00	24.00	10.00	14.00	50.0	7.00
11/14/2017	QGV006216 LION	JAGUAR HEALTH INC COM	B	20,890.00	100.00	10.00	90.00	50.0	45.00
11/14/2017	QGV006216 LION	JAGUAR HEALTH INC COM	S	-24,360.00	100.00	10.00	90.00	50.0	45.00
11/14/2017	QGV006216 LION	PROQR THERAPEUTICS NV SHS EURO ISIN	S	-164,708.50	200.00	10.00	190.00	50.0	95.00
11/15/2017	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	273,750.00	100.00	10.00	90.00	50.0	45.00
11/15/2017	QGV006216 LION	SENDGRID INC COM	S	-107,468.20	58.00	10.00	48.00	50.0	24.00
11/16/2017	QGV006216 LION	ACHILLION PHARMACEUTICALS INC	S	-40,089.60	120.00	10.00	110.00	50.0	55.00
11/16/2017	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	281,250.00	100.00	10.00	90.00	50.0	45.00
11/16/2017	QGV006216 LION	APPIAN CORP CL A	S	-36,298.44	20.00	10.00	10.00	50.0	5.00
11/16/2017	QGV006216 LION	ARSANIS INC COM	S	-69,851.13	51.00	10.00	41.00	50.0	20.50
11/16/2017	QGV006216 LION	AXOGEN INC COM	S	-224,500.00	100.00	10.00	90.00	50.0	45.00
11/16/2017	QGV006216 LION	GARDNER DENVER HLDGS INC COM	S	-2,800.00	20.00	10.00	10.00	50.0	5.00
11/16/2017	QGV006216 LION	GARDNER DENVER HLDGS INC COM	S	-86,800.00	31.00	10.00	21.00	50.0	10.50
11/16/2017	QGV006216 LION	JELD WEN HOLDING INC COMMON STOCK	S	-17,500.00	20.00	10.00	10.00	50.0	5.00
11/16/2017	QGV006216 LION	JELD WEN HOLDING INC COMMON STOCK	S	-63,000.00	20.00	10.00	10.00	50.0	5.00
11/17/2017	QGV006216 LION	AMERI HLDGS INC COM	S	-109,048.50	200.00	10.00	190.00	50.0	95.00
11/17/2017	QGV006216 LION	BLUEGREEN VACATIONS CORP COM	S	-416,000.00	200.00	10.00	190.00	50.0	95.00
11/17/2017	QGV006216 LION	BLUEGREEN VACATIONS CORP COM	S	-26,000.00	20.00	10.00	10.00	50.0	5.00
11/17/2017	QGV006216 LION	CENTURY CASINOS INC	S	-222,402.60	285.00	10.00	275.00	50.0	137.50
11/17/2017	QGV006216 LION	LEVEL BRANDS INC COM NEW	S	-84,328.50	150.00	10.00	140.00	50.0	70.00
11/17/2017	QGV006216 LION	PRESIDIO INC COM	S	-58,070.22	39.00	10.00	29.00	50.0	14.50
11/17/2017	QGV006216 LION	SAILPOINT TECHNOLOGIES HLDGS INC CO	S	-25,218.54	20.00	10.00	10.00	50.0	5.00
11/17/2017	QGV006216 LION	SCPHARMACEUTICALS INC COM	S	-72,500.00	50.00	10.00	40.00	50.0	20.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
11/17/2017	QGV006216	LION		STITCH FIX INC CLASS A COMMON STOCK S	-8,450.00	20.00	10.00	10.00	50.0	5.00
11/17/2017	QGV006216	LION		VASCULAR BIOGENICS LTD ORD SHS ISIN S	-410,929.50	200.00	10.00	190.00	50.0	95.00
11/27/2017	QGV006216	LION		LEVEL BRANDS INC COM NEW S	-1,075.00	20.00	10.00	10.00	50.0	5.00
11/27/2017	QGV006216	LION		PARETEUM CORPORATION COMMON STOCK S	-2,625.00	20.00	10.00	10.00	50.0	5.00
11/28/2017	QGV006216	LION		CATALYST PHARMACEUTICAL INC COM S	-39,000.00	100.00	10.00	90.00	50.0	45.00
11/28/2017	QGV006216	LION		LEVEL BRANDS INC COM NEW S	-2,500.00	20.00	10.00	10.00	50.0	5.00
10/30/2017	QGV006224	DAVIS	FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	615,048.50	200.00	10.00	190.00	50.0	95.00
10/30/2017	QGV006224	DAVIS	FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-336,627.00	150.00	10.00	140.00	50.0	70.00
10/31/2017	QGV006224	DAVIS	FAMILY OFFICE, CORPORATE OFFICE PROPERTIES TRUST-S	S	-31,750.00	20.00	10.00	10.00	50.0	5.00
10/31/2017	QGV006224	DAVIS	FAMILY OFFICE, ENVIVA PARTNERS LP COM UNIT REPSTG	S	-13,875.00	20.00	10.00	10.00	50.0	5.00
11/01/2017	QGV006224	DAVIS	FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	335,499.00	150.00	10.00	140.00	50.0	70.00
11/01/2017	QGV006224	DAVIS	FAMILY OFFICE, CONSTELLIUM NV CL A ISIN#NL00104895	S	-28,750.00	25.00	10.00	15.00	50.0	7.50
11/01/2017	QGV006224	DAVIS	FAMILY OFFICE, CONSTELLIUM NV CL A ISIN#NL00104895	S	-9,200.00	20.00	10.00	10.00	50.0	5.00
11/01/2017	QGV006224	DAVIS	FAMILY OFFICE, LOMA NEGRA CORP SPONSORED ADS REPST	S	-10,825.00	20.00	10.00	10.00	50.0	5.00
11/02/2017	QGV006224	DAVIS	FAMILY OFFICE, FUNKO INC CL A	S	-4,020.34	20.00	10.00	10.00	50.0	5.00
11/02/2017	QGV006224	DAVIS	FAMILY OFFICE, FUNKO INC CL A	S	-34,959.50	50.00	10.00	40.00	50.0	20.00
11/02/2017	QGV006224	DAVIS	FAMILY OFFICE, INTELLIA THERAPEUTICS INC COM	S	-141,944.19	67.00	10.00	57.00	50.0	28.50
11/02/2017	QGV006224	DAVIS	FAMILY OFFICE, SPERO THERAPEUTICS INC COM	S	-2,318.75	20.00	10.00	10.00	50.0	5.00
11/02/2017	QGV006224	DAVIS	FAMILY OFFICE, SPERO THERAPEUTICS INC COM	S	-10,600.00	20.00	10.00	10.00	50.0	5.00
11/03/2017	QGV006224	DAVIS	FAMILY OFFICE, SENTINEL ENERGY SVCS INC UNIT 1 CL	S	-30,120.60	30.00	10.00	20.00	50.0	10.00
11/07/2017	QGV006224	DAVIS	FAMILY OFFICE, KNOT OFFSHORE PARTNERS LP COM UNITS	S	-21,700.00	20.00	10.00	10.00	50.0	5.00
11/07/2017	QGV006224	DAVIS	FAMILY OFFICE, PARETEUM CORPORATION COMMON STOCK	S	-3,075.00	37.50	10.00	27.50	50.0	13.75
11/07/2017	QGV006224	DAVIS	FAMILY OFFICE, PARETEUM CORPORATION COMMON STOCK	S	3,075.00	-37.50	-10.00	-27.50	50.0	-13.75 C
11/07/2017	QGV006224	DAVIS	FAMILY OFFICE, PARETEUM CORPORATION COMMON STOCK	S	-20,500.00	100.00	10.00	90.00	50.0	45.00
11/07/2017	QGV006224	DAVIS	FAMILY OFFICE, PROPETRO HLDG CORP COM	S	-24,225.00	20.00	10.00	10.00	50.0	5.00
11/07/2017	QGV006224	DAVIS	FAMILY OFFICE, PROPETRO HLDG CORP COM	S	24,225.00	-20.00	-10.00	-10.00	50.0	-5.00 C
11/07/2017	QGV006224	DAVIS	FAMILY OFFICE, PROPETRO HLDG CORP COM	S	-29,070.00	20.00	10.00	10.00	50.0	5.00
11/08/2017	QGV006224	DAVIS	FAMILY OFFICE, CBTX INC COM	S	-5,600.00	20.00	10.00	10.00	50.0	5.00
11/08/2017	QGV006224	DAVIS	FAMILY OFFICE, METROPOLITAN BK HLDG CORP COM	S	-101,537.55	27.00	10.00	17.00	50.0	8.50
11/08/2017	QGV006224	DAVIS	FAMILY OFFICE, METROPOLITAN BK HLDG CORP COM	B	99,450.00	26.00	10.00	16.00	50.0	8.00
11/08/2017	QGV006224	DAVIS	FAMILY OFFICE, REDHILL BIOPHARMA LTD AMERICAN DEPO	S	-36,770.30	70.00	10.00	60.00	50.0	30.00
11/08/2017	QGV006224	DAVIS	FAMILY OFFICE, VOYAGER THERAPEUTICS INC COM	S	-38,700.00	30.00	10.00	20.00	50.0	10.00
11/09/2017	QGV006224	DAVIS	FAMILY OFFICE, CADENCE BANCORPORATION CL A	S	-4,420.40	20.00	10.00	10.00	50.0	5.00
11/09/2017	QGV006224	DAVIS	FAMILY OFFICE, REDHILL BIOPHARMA LTD AMERICAN DEPO	S	-5,421.80	20.00	10.00	10.00	50.0	5.00
11/09/2017	QGV006224	DAVIS	FAMILY OFFICE, SRC ENERGY INC COM	S	-51,000.00	60.00	10.00	50.00	50.0	25.00
11/09/2017	QGV006224	DAVIS	FAMILY OFFICE, SRC ENERGY INC COM	S	-8,500.00	20.00	10.00	10.00	50.0	5.00
11/14/2017	QGV006224	DAVIS	FAMILY OFFICE, ALNYLAM PHARMACEUTICALS INC	S	-13,100.00	20.00	10.00	10.00	50.0	5.00
11/14/2017	QGV006224	DAVIS	FAMILY OFFICE, ALNYLAM PHARMACEUTICALS INC	S	-52,400.00	20.00	10.00	10.00	50.0	5.00
11/15/2017	QGV006224	DAVIS	FAMILY OFFICE, SENDGRID INC COM	S	-9,264.50	20.00	10.00	10.00	50.0	5.00
11/16/2017	QGV006224	DAVIS	FAMILY OFFICE, APPIAN CORP CL A	S	-2,016.58	20.00	10.00	10.00	50.0	5.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/16/2017	QGV006224	DAVIS FAMILY OFFICE, FLOOR & DECOR HLDGS INC COM CL A	S	-10,980.00	20.00	10.00	10.00	50.0	5.00
11/16/2017	QGV006224	DAVIS FAMILY OFFICE, GARDNER DENVER HLDGS INC COM	S	-19,600.00	20.00	10.00	10.00	50.0	5.00
11/16/2017	QGV006224	DAVIS FAMILY OFFICE, GARDNER DENVER HLDGS INC COM	S	-2,800.00	20.00	10.00	10.00	50.0	5.00
11/16/2017	QGV006224	DAVIS FAMILY OFFICE, JELD WEN HOLDING INC COMMON STOCK	S	-59,500.00	20.00	10.00	10.00	50.0	5.00
11/16/2017	QGV006224	DAVIS FAMILY OFFICE, JELD WEN HOLDING INC COMMON STOCK	S	-24,500.00	20.00	10.00	10.00	50.0	5.00
11/17/2017	QGV006224	DAVIS FAMILY OFFICE, BLUEGREEN VACATIONS CORP COM	S	-75,400.00	58.00	10.00	48.00	50.0	24.00
11/17/2017	QGV006224	DAVIS FAMILY OFFICE, CENTURY CASINOS INC	S	-37,457.28	48.00	10.00	38.00	50.0	19.00
11/17/2017	QGV006224	DAVIS FAMILY OFFICE, LEGACY ACQUISITION CORP UNIT 1 CL A S	S	-348,000.00	100.00	10.00	90.00	50.0	45.00
11/17/2017	QGV006224	DAVIS FAMILY OFFICE, PRESIDIO INC COM	S	-4,466.94	20.00	10.00	10.00	50.0	5.00
11/17/2017	QGV006224	DAVIS FAMILY OFFICE, SCPHARMACEUTICALS INC COM	S	-17,400.00	20.00	10.00	10.00	50.0	5.00
11/17/2017	QGV006224	DAVIS FAMILY OFFICE, STITCH FIX INC CLASS A COMMON STOCK S	S	-1,690.00	20.00	10.00	10.00	50.0	5.00
11/17/2017	QGV006224	DAVIS FAMILY OFFICE, VASCULAR BIOGENICS LTD ORD SHS ISIN S	S	-64,319.40	90.00	10.00	80.00	50.0	40.00
11/27/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	580,715.00	100.00	10.00	90.00	50.0	45.00
11/28/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	566,750.00	150.00	10.00	140.00	50.0	70.00
11/28/2017	QGV006224	DAVIS FAMILY OFFICE, PARETEUM CORPORATION COMMON STOCK	S	-18,950.00	125.00	10.00	115.00	50.0	57.50
10/31/2017	QGV006380	BARCLAYS CAPITAL INC IPASS INC COM ISIN#US46261V1089	S	-203,940.00	3,300.00	10.00	3,290.00	50.0	1,645.00
10/31/2017	QGV006414	MERRILL LYNCH PROF ALLSTATE CORP CPN 5.1% FRQ QRTLY MA B	B	54,936.65	20.77	10.00	10.77	50.0	5.39
10/31/2017	QGV006414	MERRILL LYNCH PROF GOLDMAN SACHS GROUP INC DEP SHS RES B	B	155,989.48	68.82	10.00	58.82	50.0	29.41
10/31/2017	QGV006414	MERRILL LYNCH PROF GOLDMAN SACHS GROUP INC DEPOSITARY B	B	61,551.21	22.89	10.00	12.89	50.0	6.45
10/31/2017	QGV006414	MERRILL LYNCH PROF GOLDMAN SACHS GROUP INC DEP SHS REP B	B	99,912.42	34.91	10.00	24.91	50.0	12.46
10/31/2017	QGV006414	MERRILL LYNCH PROF MORGAN STANLEY DEP SHS REPSTG 1/100 B	B	67,125.00	25.00	10.00	15.00	50.0	7.50
10/31/2017	QGV006414	MERRILL LYNCH PROF SCE TR IV TR PREF SECS FIXED TO FLT B	B	11,069.79	4.15	10.00	-5.85	50.0	-2.93
10/31/2017	QGV006414	MERRILL LYNCH PROF US BANCORP DEL DEP SHS REPSTG 1/100 B	B	9,848.03	3.44	10.00	-6.56	50.0	-3.28
10/31/2017	QGV006414	MERRILL LYNCH PROF WELLS FARGO & CO NEW DEP SHS REP 1/ B	B	48,241.48	17.75	10.00	7.75	50.0	3.88
11/15/2017	QGV006414	MERRILL LYNCH PROF LADENBG THAL FIN 6.5% NTS FREQ QRTL B	B	2,451,000.00	1,250.00	10.00	1,240.00	50.0	620.00
10/31/2017	QGW014847	ALBANESE, JOHN MACYS INC	S	-28,170.00	90.00	10.00	80.00	50.0	40.00
11/03/2017	QGW014847	ALBANESE, JOHN SYNERGY PHARMACEUTICALS INC	B	60,600.00	1,200.00	10.00	1,190.00	50.0	595.00
11/10/2017	QGW014847	ALBANESE, JOHN ENSCO PLC NEW CLASS A ORD	S	-95,026.50	900.00	10.00	890.00	50.0	445.00
11/10/2017	QGW014847	ALBANESE, JOHN ENTERCOM COMMUNICATIONS CORP CLASS	B	78,750.00	450.00	10.00	440.00	50.0	220.00
11/13/2017	QGW014847	ALBANESE, JOHN MACYS INC	S	-19,850.00	60.00	10.00	50.00	50.0	25.00
11/13/2017	QGW014847	ALBANESE, JOHN VALEANT PHARMACEUTICALS INTERNATIONAL S	S	-304,028.00	1,200.00	10.00	1,190.00	50.0	595.00
11/15/2017	QGW014847	ALBANESE, JOHN ARATANA THERAPEUTICS INC COM	S	-69,158.00	600.00	10.00	590.00	50.0	295.00
11/21/2017	QGW014847	ALBANESE, JOHN CAMPBELL SOUP CO	B	460,315.00	600.00	10.00	590.00	50.0	295.00
11/28/2017	QGW014847	ALBANESE, JOHN CAMPBELL SOUP CO	S	-464,825.00	600.00	10.00	590.00	50.0	295.00
11/09/2017	QGW015075	RARE COIN GALLERIES, MACYS INC	B	112,269.00	360.00	10.00	350.00	50.0	175.00
11/10/2017	QGW015075	RARE COIN GALLERIES, ENSCO PLC NEW CLASS A ORD	S	-63,351.00	600.00	10.00	590.00	50.0	295.00
11/14/2017	QGW015075	RARE COIN GALLERIES, MACYS INC	S	-116,149.80	360.00	10.00	350.00	50.0	175.00
11/06/2017	QGW015174	MINTEER, JAMES WALT DISNEY CO	S	-49,632.50	125.00	10.00	115.00	50.0	57.50
11/02/2017	QGW031361	HYPER MEDIA LLC POWER EFFICIENCY CORP COM PAR \$0.00 B	B	299.00	25.00	10.00	15.00	50.0	7.50
11/02/2017	QGW031650	FIBER TECHNOLOGY FTE NETWORKS INC COM PAR\$.001	S	-8,400.00	400.00	10.00	390.00	50.0	195.00

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
* STOCKS SUBTOTAL *				\$-44,199.26	\$19,384.56	\$1,450.00	\$17,934.56		\$8,967.30
<u>PRODUCT CATEGORY: BONDS</u>									
11/17/2017	QGV006414	MERRILL LYNCH PROF	WELLS FARGO & CO NEW DEP SHS REPSTG B	3,254,475.00	1,875.00	10.00	1,865.00	50.0	932.50
11/17/2017	QGV006414	MERRILL LYNCH PROF	WELLS FARGO & CO NEW DEP SHS REPSTG B	-3,254,475.00	-1,875.00	-10.00	-1,865.00	50.0	-932.50 C
11/17/2017	QGV006505	CREDIT SUISSE	WELLS FARGO & CO NEW DEP SHS REPSTG B	3,254,475.00	1,875.00	10.00	1,865.00	50.0	932.50
* BONDS SUBTOTAL *				\$3,254,475.00	\$1,875.00	\$10.00	\$1,865.00		\$932.50
<u>PRODUCT CATEGORY: OPTIONS</u>									
11/17/2017	QGV006216	LION	AMERI HLDGS INC WT EXP EXP 11/16/22 S	-12,470.00	100.00	10.00	90.00	50.0	45.00
11/27/2017	QGV006216	LION	AMERI HLDGS INC WT EXP EXP 11/16/22 S	-7,150.00	130.00	10.00	120.00	50.0	60.00
10/31/2017	QGW014847	ALBANESE, JOHN	CALL 100 KELLOGG CO COM EXP 01-19-1 S	-126,000.00	1,050.00	10.00	1,040.00	50.0	520.00
11/01/2017	QGW014847	ALBANESE, JOHN	CALL 100 GENERAL ELECTRIC CO COM EX B	54,900.00	1,750.00	10.00	1,740.00	50.0	870.00
11/10/2017	QGW014847	ALBANESE, JOHN	CALL 100 GENERAL ELECTRIC CO COM EX S	-60,000.00	1,750.00	10.00	1,740.00	50.0	870.00
11/10/2017	QGW014847	ALBANESE, JOHN	WI ENTERCOM COMMUNICATIONS CORP CL B	0.00	0.00	10.00	-10.00	50.0	-5.00
11/10/2017	QGW014847	ALBANESE, JOHN	WI ENTERCOM COMMUNICATIONS CORP CL B	0.00	0.00	-10.00	10.00	50.0	5.00 C
11/20/2017	QGW014847	ALBANESE, JOHN	CALL 100 CBS CORP CL B COM EXP 01-1 B	68,200.00	350.00	10.00	340.00	50.0	170.00
* OPTIONS SUBTOTAL *				\$-82,520.00	\$5,130.00	\$60.00	\$5,070.00		\$2,535.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/17/2017	QGW014870	GOLD, ALAN	NUVEEN LIMITED TERM MUNI BOND CLASS B	0.00	5.44	0.00	5.44	50.0	2.72
11/22/2017	QGW014870	GOLD, ALAN	GOLDMAN SACHS SHORT DURATION TAX FR B	0.00	4.91	0.00	4.91	50.0	2.46
11/24/2017	QGW024606	GOLD, ALAN	PIMCO COMMODITY REAL RETURN STRATEG B	0.00	3.98	0.00	3.98	50.0	1.99
11/24/2017	QGW024614	GOLD, SUSAN	PIMCO COMMODITY REAL RETURN STRATEG B	0.00	2.29	0.00	2.29	50.0	1.15
11/22/2017	QGW024622	GOLD, ALAN	COLUMBIA SHORT TERM BOND FUND CLASS B	0.00	29.25	0.00	29.25	50.0	14.63
11/24/2017	QGW024622	GOLD, ALAN	PIMCO COMMODITY REAL RETURN STRATEG B	0.00	1.70	0.00	1.70	50.0	0.85
11/22/2017	QGW024630	GOLD, SUSAN	COLUMBIA SHORT TERM BOND FUND CLASS B	0.00	14.45	0.00	14.45	50.0	7.23
11/24/2017	QGW024630	GOLD, SUSAN	PIMCO COMMODITY REAL RETURN STRATEG B	0.00	1.70	0.00	1.70	50.0	0.85
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$63.72	\$0.00	\$63.72		\$31.88
*** BROKER TRANSACTIONS TOTAL ***				\$3,127,755.74	\$26,453.28	\$1,520.00	\$24,933.28		\$12,466.68

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
11/30/2017		:		0.00	0.00	0.00	0.00		0.00
11/30/2017		ADVANCE: ADVANCE		0.00	0.00	0.00	0.00		-5,000.00
11/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
11/30/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
11/30/2017		OTHER: OTHER-EXCHANGE FEES		0.00	0.00	0.00	0.00		-108.77
11/30/2017		OTHER: OTHER-CORE TECH		0.00	0.00	0.00	0.00		-119.80
11/30/2017		OTHER: OTHER-MYND		0.00	0.00	0.00	0.00		2,921.00
11/30/2017		OTHER: OTHER-TRADING EXPENSE		0.00	0.00	0.00	0.00		-46.88
11/30/2017		OTHER: OTHER-MERIDIAN		0.00	0.00	0.00	0.00		-14.77
11/30/2017		OTHER: OTHER-OMGEO		0.00	0.00	0.00	0.00		-65.98
11/30/2017		OTHER: OTHER-PERSHING CHARGES M10/A		0.00	0.00	0.00	0.00		-374.91
11/30/2017		OTHER: OTHER-NASDAQ		0.00	0.00	0.00	0.00		-552.56
11/30/2017		OTHER: OTHER-OTC		0.00	0.00	0.00	0.00		-970.87
11/30/2017		OTHER: OTHER-FINRA		0.00	0.00	0.00	0.00		-592.57
11/30/2017		OTHER: OTHER-ARCA		0.00	0.00	0.00	0.00		-19.39
11/30/2017		OTHER: OTHER-BRASS		0.00	0.00	0.00	0.00		-1,140.86
11/30/2017		OTHER: OTHER-NASD FINRA		0.00	0.00	0.00	0.00		-192.88
11/30/2017		OTHER: OTHER-AMEX		0.00	0.00	0.00	0.00		-1,367.28
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-7,674.52</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$12,466.68
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-7,674.52
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-5,409.56
FINRA Assessment	\$-33.07
SIPC Assessment	\$-66.13

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-716.60
Year-to-date Earnings	\$34,710.65