

Bill Payment Stub

Check Date:	4/25/2018
Check No.:	ACH
Check Amount:	1,339.53

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: RICHARD A. DURAND
12919 KINGS FOREST
SAN ANTONIO, TX 78230

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
4/15/2018	Bill	COMMISSIONS	1,594.53	1,594.53		1,594.53
4/1/2018	Credit	E&O	-120.00	-120.00		-120.00
4/5/2018	Credit	FINRA ASSESMENT	-135.00	-135.00		-135.00

COMMISSION STATEMENT

April 15, 2018

RICHARD DURAND-D020

BROKER #: D020

BRANCH: DMN

, TX

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/27/2018 (None)	ZIMMERMAN, STEVEN	MF OPPENHEIMER	B	0.00	13.42	0.00	13.42	85.0	11.41
04/05/2018 00064992220	VELA, PATRICIA	MF THE GROWTH FUND OF AMERICA-A	B	125.00	5.31	0.00	5.31	85.0	4.51
04/02/2018 00067562789	PEREZ, GRACIELA	MF AMERICAN BALANCED FUND-A	B	142.68	5.35	0.00	5.35	85.0	4.55
04/02/2018 00068501235	GUTIERREZ, OTTO	MF THE BOND FUND OF AMERICA-A	B	192.61	5.30	0.00	5.30	85.0	4.51
04/06/2018 00073352550	SALINAS, MARICELA	MF THE INCOME FUND OF AMERICA-A	B	199.01	8.46	0.00	8.46	85.0	7.19
04/02/2018 00085713380	BENAVIDES, JOHN	MF CAPITAL WORLD GROWTH AND	B	105.00	3.94	0.00	3.94	85.0	3.35
04/02/2018 00085713380	BENAVIDES, JOHN	MF THE GROWTH FUND OF AMERICA-529A	B	105.00	3.94	0.00	3.94	85.0	3.35
04/03/2018 00086533271	VILLA, KELLIE	MF THE INCOME FUND OF AMERICA-529A	B	200.00	5.50	0.00	5.50	85.0	4.68
* MUTUAL FUNDS SUBTOTAL *				\$1,069.30	\$51.22	\$0.00	\$51.22		\$43.55
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/09/2018 (None)	WCS CONTRA	VA MASS MUTUAL	B	0.00	1.24	0.00	1.24	85.0	1.05
03/31/2018 009066455A	STEPHENS, JUNE	VA PERSPECTIVE-RPL	B	41,549.54	8.66	0.00	8.66	85.0	7.36
03/31/2018 009066456A	STEPHENS, SHERMAN	VA PERSPECTIVE-RPL	B	30,950.71	6.45	0.00	6.45	85.0	5.48
03/31/2018 1016582030	BRANNIES,	VA PERSP II 04/14	B	316,382.77	131.83	0.00	131.83	85.0	112.06
* VARIABLE ANNUITIES SUBTOTAL *				\$388,883.02	\$148.18	\$0.00	\$148.18		\$125.95
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/31/2018 (None)	VARIOUS, DURAND	MFT OPPENHEIMER	B	0.00	29.34	0.00	29.34	85.0	24.94
04/02/2018 00011118709	CORNELISON,	MFT PUTNAM 746870104	B	0.00	21.81	0.00	21.81	85.0	18.54
04/01/2018 00660109470	HANSON, CATHY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	41.10	0.00	41.10	85.0	34.94
04/01/2018 00670321666	HANSON, JON	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	7.52	0.00	7.52	85.0	6.39
04/01/2018 00670983622	BRASCOM, WAYNE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	40.29	0.00	40.29	85.0	34.25
04/01/2018 00670983622	BRASCOM, WAYNE	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	7.46	0.00	7.46	85.0	6.34
04/01/2018 00670983622	BRASCOM, WAYNE	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	89.95	0.00	89.95	85.0	76.46
04/01/2018 00670983622	BRASCOM, WAYNE	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	0.20	0.00	0.20	85.0	0.17
04/01/2018 00670983622	BRASCOM, WAYNE	MFT INVESCO SMALL CAP VALUE FUND	B	0.00	0.47	0.00	0.47	85.0	0.40
04/01/2018 00671967256	HUBERT O JOHNSON,	MFT AIM HIGH YIELD FUND - CLASS A	B	0.00	67.49	0.00	67.49	85.0	57.37
04/01/2018 00672205448	GAILER, FRANK	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	54.84	0.00	54.84	85.0	46.61
04/01/2018 00672205449	GAILER, FRANK	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	21.04	0.00	21.04	85.0	17.88
04/01/2018 01001297859	GIFFEN, BOBBIE	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	58.86	0.00	58.86	85.0	50.03
04/01/2018 03324003970	MATTE, JOSEPH	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	4.64	0.00	4.64	85.0	3.94

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/01/2018 04031090899	WINTER, MARTHA	MFT AIM CHARTER FUND - CLASS A	B	0.00	20.24	0.00	20.24	85.0	17.20
04/01/2018 04031090899	WINTER, MARTHA	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	35.71	0.00	35.71	85.0	30.35
04/01/2018 04031090899	WINTER, MARTHA	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	13.26	0.00	13.26	85.0	11.27
04/01/2018 04400048090	GIFFEN, BOBBIE	MFT AIM HIGH YIELD FUND - CLASS A	B	0.00	22.26	0.00	22.26	85.0	18.92
04/01/2018 04500008862	ESPARZA, JULIO	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	4.24	0.00	4.24	85.0	3.60
04/01/2018 04500008865	ESPARZA, DOLORES	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	5.23	0.00	5.23	85.0	4.45
04/01/2018 06605517849	CORNELISON,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	37.41	0.00	37.41	85.0	31.80
04/01/2018 06900079283	GURGANUS, EVELYN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	22.09	0.00	22.09	85.0	18.78
04/01/2018 06910641128	WALLACE, PATRICIA	MFT AIM INTERNATIONAL GROWTH FUND -	B	0.00	0.03	0.00	0.03	85.0	0.03
04/01/2018 06910641128	WALLACE, PATRICIA	MFT INVESCO GROWTH AND INCOME FUND	B	0.00	0.19	0.00	0.19	85.0	0.16
04/01/2018 08191226810	DEAN, COY	MFT MFS GROWTH ALLOCATION FUND-529A	B	0.00	51.86	0.00	51.86	85.0	44.08
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$657.53	\$0.00	\$657.53		\$558.90
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
03/31/2018 (None)	VARIOUS, DURAND	VAT PRINCIPAL	B	0.00	415.13	0.00	415.13	85.0	352.86
03/31/2018 00712280202	HOWARD, GARY	VAT HARTFORD LIFE	B	0.00	197.98	0.00	197.98	85.0	168.28
03/31/2018 00712280202	JOINER, FRANK	VAT HARTFORD LIFE	B	0.00	204.46	0.00	204.46	85.0	173.79
03/31/2018 00712280202	JOINER, JAMES	VAT HARTFORD LIFE	B	0.00	90.98	0.00	90.98	85.0	77.33
03/31/2018 00712280202	AVANTS, ROGER	VAT HARTFORD LIFE	B	0.00	110.44	0.00	110.44	85.0	93.87
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,018.99	\$0.00	\$1,018.99		\$866.13
*** DIRECT TRANSACTIONS TOTAL ***				\$389,952.32	\$1,875.92	\$0.00	\$1,875.92		\$1,594.53

BROKER COMMISSION TOTALS

Broker Transactions	\$1,594.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,594.53
Year-to-date Commissions	\$48,606.26