

**COMMISSION STATEMENT****December 31, 2017**DAVID ZUPEK  
1126 Bichara BlvdBROKER #: Z116  
BRANCH: TADA WEALTH ADVISORS

The Villages, FL 32159

| DATE   | CLIENT            | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                  |                   |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>  |                   |                                     |                      |                     |                    |                   |      |                      |
| 11/17/2017   | ZUPEK, DAVID      | BOURNE SENIORS HOUSING III          | 26,881.72            | 0.00                | 0.00               | 0.00              | 90.0 | 0.00                 |
| 11/30/2017   | ZUPEK, ALBERT     | BOURNE SENIORS HOUSING III          | 70,000.00            | 4,900.00            | 0.00               | 4,900.00          | 90.0 | 4,410.00             |
| 12/15/2017   | ZUPEK, ALBERT     | COTTONWOOD RESIDENTIAL 2016 PREFR'D | 92,307.00            | 6,461.49            | 0.00               | 6,461.49          | 90.0 | 5,815.34             |
| * PRIVATE PLACEMENT SUBTOTAL *                     |                   |                                     | \$189,188.72         | \$11,361.49         | \$0.00             | \$11,361.49       |      | \$10,225.34          |
| <b><u>PRODUCT CATEGORY: REIT</u></b>               |                   |                                     |                      |                     |                    |                   |      |                      |
| 11/30/2017   | ROSE, PETER       | CARTER VALIDUS MC REIT II CLASS T   | 0.00                 | 41.92               | 0.00               | 41.92             | 90.0 | 37.73                |
| * REIT SUBTOTAL *                                  |                   |                                     | \$0.00               | \$41.92             | \$0.00             | \$41.92           |      | \$37.73              |
| <b><u>PRODUCT CATEGORY: NON-TRADED BDC</u></b>     |                   |                                     |                      |                     |                    |                   |      |                      |
| 12/11/2017   | RUGH, BARBARA     | FS INVESTMENT CORP IV CLASS T       | 0.00                 | 23.34               | 0.00               | 23.34             | 90.0 | 21.01                |
| * NON-TRADED BDC SUBTOTAL *                        |                   |                                     | \$0.00               | \$23.34             | \$0.00             | \$23.34           |      | \$21.01              |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>       |                   |                                     |                      |                     |                    |                   |      |                      |
| 11/27/2017   | THOMPSON, TERRY   | AM FUNDS 2015 TARGET DATE A         | 125.00               | 2.50                | 0.00               | 2.50              | 90.0 | 2.25                 |
| 12/18/2017   | KISSH, BARBARA    | AM FUNDS AMERICAN BALANCED A        | 50.00                | 2.50                | 0.00               | 2.50              | 90.0 | 2.25                 |
| 11/27/2017   | VASSH, LYNN       | AM FUNDS FUNDAMENTAL INVESTORS C    | 17.00                | 0.17                | 0.00               | 0.17              | 90.0 | 0.15                 |
| 11/27/2017   | VASSH, LYNN       | AM FUNDS GROWTH FUND OF AMERICA C   | 16.50                | 0.17                | 0.00               | 0.17              | 90.0 | 0.15                 |
| 11/27/2017   | VASSH, LYNN       | AM FUNDS WASHINGTON MUTUAL INVESTOR | 16.50                | 0.17                | 0.00               | 0.17              | 90.0 | 0.15                 |
| * MUTUAL FUNDS SUBTOTAL *                          |                   |                                     | \$225.00             | \$5.51              | \$0.00             | \$5.51            |      | \$4.95               |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b> |                   |                                     |                      |                     |                    |                   |      |                      |
| 12/10/2017   | BIRKHOLZ, WILLIAM | AM GEN POLARIS CHOICE IV            | 73,669.54            | 184.17              | 0.00               | 184.17            | 90.0 | 165.75               |
| 12/07/2017   | JARVIS, RICHARD   | ING LANDMARK                        | 267,537.64           | 668.84              | 0.00               | 668.84            | 90.0 | 601.96               |
| 12/10/2017   | KRESCANKO, MARIE  | ING LANDMARK                        | 92,880.40            | 232.20              | 0.00               | 232.20            | 90.0 | 208.98               |
| 12/10/2017   | EDQUIST, BETTY    | ING LANDMARK                        | 58,037.90            | 145.09              | 0.00               | 145.09            | 90.0 | 130.58               |
| 12/10/2017   | SUNIGA, JESUS     | ING LANDMARK                        | 235,153.24           | 587.88              | 0.00               | 587.88            | 90.0 | 529.09               |
| 12/08/2017   | FLETCHER, DONALD  | ING PREMIUM PLUS                    | 33,813.91            | 63.40               | 0.00               | 63.40             | 90.0 | 57.06                |

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|--|---------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                              |                     |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>             |                     |                                     |                      |                     |                    |                   |      |                      |
| 12/18/2017   | BARRETT, JOANNE     | JNL PERSPECTIVE II 04/14            | 150,000.00           | 3,000.00            | 0.00               | 3,000.00          | 90.0 | 2,700.00             |
| 12/15/2017   | MARTIN, CHARMAINE   | JNL PERSPECTIVE II 05/05            | 50.00                | 3.75                | 0.00               | 3.75              | 90.0 | 3.38                 |
| 12/04/2017   | ZUPEK, DAVID        | RELIASTAR LIFE PROTECTOR ELITE      | 45,373.10            | 45.37               | 0.00               | 45.37             | 90.0 | 40.83                |
| 12/04/2017   | ZUPEK, DAVID        | RELIASTAR LIFE PROTECTOR ELITE      | 45,373.10            | 45.37               | 0.00               | 45.37             | 90.0 | 40.83                |
| 12/04/2017   | ZUPEK, DAVID        | RELIASTAR LIFE PROTECTOR ELITE      | -45,373.10           | -45.37              | 0.00               | -45.37            | 90.0 | -40.83               |
| 12/05/2017   | BABICKY, THOMAS     | SECURITY LIFE OF DENVER VUL-DB      | 888.00               | 22.20               | 0.00               | 22.20             | 90.0 | 19.98                |
| 12/15/2017   | HUDEC, JOANNE       | SECURITY LIFE OF DENVER VUL-DB      | 300.00               | 7.50                | 0.00               | 7.50              | 90.0 | 6.75                 |
| * VARIABLE ANNUITIES SUBTOTAL *                                |                     |                                     | \$957,703.73         | \$4,960.40          | \$0.00             | \$4,960.40        |      | \$4,464.36           |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>   |                     |                                     |                      |                     |                    |                   |      |                      |
| 12/17/2017   | KONARSKE, GARY      | ING LANDMARK                        | 418,572.29           | 1,046.43            | 0.00               | 1,046.43          | 90.0 | 941.79               |
| 12/19/2017   | HARTGERINK, EDWARD  | ING LANDMARK                        | 50,002.12            | 125.01              | 0.00               | 125.01            | 90.0 | 112.51               |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *                      |                     |                                     | \$468,574.41         | \$1,171.44          | \$0.00             | \$1,171.44        |      | \$1,054.30           |
| *** DIRECT TRANSACTIONS TOTAL ***                              |                     |                                     | \$1,615,691.86       | \$17,564.10         | \$0.00             | \$17,564.10       |      | \$15,807.69          |
| <b><u>SPLIT TRANSACTIONS</u></b>                               |                     |                                     |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: R144 - DAVE/RICH ZUPEK/RODRIGUEZ JR #405</u></b> |                     |                                     |                      |                     |                    |                   |      |                      |
| 12/27/2017   | DAUBENDIEK, JAMES   | BOURNE SENIORS HOUSING III          | 100,000.00           | 5,250.00            | 0.00               | 5,250.00          | 90.0 | 4,725.00             |
| 12/20/2017   | CARIDAL TRUST       | GPB WASTE MANAGEMENT LP             | 50,000.00            | 2,625.00            | 0.00               | 2,625.00          | 90.0 | 2,362.50             |
| 12/06/2017   | KRUPSKI, KENNETH    | SEALEY STRATEGIC EQUITY PARTNERS LP | 75,000.00            | 3,937.50            | 0.00               | 3,937.50          | 90.0 | 3,543.75             |
| * R144 - DAVE/RICH ZUPEK/RODRIGUEZ JR SUBTOTAL *               |                     |                                     | \$225,000.00         | \$11,812.50         | \$0.00             | \$11,812.50       |      | \$10,631.25          |
| *** SPLIT TRANSACTIONS TOTAL ***                               |                     |                                     | \$225,000.00         | \$11,812.50         | \$0.00             | \$11,812.50       |      | \$10,631.25          |
| <b><u>OVERRIDE TRANSACTIONS</u></b>                            |                     |                                     |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: MARK-H122 HUBER #10</u></b>                      |                     |                                     |                      |                     |                    |                   |      |                      |
| 12/15/2017   | CHOWANIEC, WOJCIECH | PUTNAM 529 AGE-BASED 2014-A         | 200.00               | 10.00               | 0.00               | 10.00             | 0.0  | 9.00                 |
| * MARK-H122 HUBER SUBTOTAL *                                   |                     |                                     | \$200.00             | \$10.00             | \$0.00             | \$10.00           |      | \$9.00               |
| <b><u>BROKER: R121-RICHARD RODRIGUEZ #88</u></b>               |                     |                                     |                      |                     |                    |                   |      |                      |
| 11/30/2017   | RODRIGUEZ, VARIOUS  | CARTER VALIDUS MC REIT II CLASS T   | 0.00                 | 20.96               | 0.00               | 20.96             | 0.0  | 18.86                |
| * R121-RICHARD RODRIGUEZ SUBTOTAL *                            |                     |                                     | \$0.00               | \$20.96             | \$0.00             | \$20.96           |      | \$18.86              |

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|---|----------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u> OVERRIDE TRANSACTIONS </u></b>       |                      |                                   |                      |                     |                    |                   |      |                      |
| <b><u> BROKER: MARK-H122 HUBER #89 </u></b> |                      |                                   |                      |                     |                    |                   |      |                      |
| 11/30/2017                                  | KOZIOL, CHRISTOPHER  | BLACKROCK FUND 19452W789          | 0.00                 | 6.51                | 0.00               | 6.51              | 0.0  | 5.86                 |
| 11/30/2017                                  | KOZIOL, CHRISTOPHER  | BLACKROCK FUND 19452W789          | 0.00                 | 6.51                | 0.00               | 6.51              | 0.0  | 5.86                 |
| 11/30/2017                                  | KOZIOL, CHRISTOPHER  | BLK GROWTH PORT C1                | 0.00                 | 9.33                | 0.00               | 9.33              | 0.0  | 8.40                 |
| 11/30/2017                                  | KOZIOL, CHRISTOPHER  | BLK GROWTH PORT C1                | 0.00                 | 9.33                | 0.00               | 9.33              | 0.0  | 8.40                 |
| 12/19/2017                                  | HUBER, VARIOUS-H122  | BR TOTAL INC & REAL ESTATE FUND A | 0.00                 | 42.17               | 0.00               | 42.17             | 0.0  | 37.95                |
| 12/14/2017                                  | NARDINI, RONALD      | METLIFE SHIELD LEVEL SELECT 6 YR  | 25,500.00            | 1,530.00            | 0.00               | 1,530.00          | 0.0  | 1,377.00             |
| 12/14/2017                                  | NARDINI, BARBARA     | METLIFE SHIELD LEVEL SELECT 6 YR  | 25,500.00            | 1,530.00            | 0.00               | 1,530.00          | 0.0  | 1,377.00             |
| 12/06/2017                                  | WAL, SEBASTIAN       | MFS BLENDED RESEARCH CORE C       | 62.95                | 0.63                | 0.00               | 0.63              | 0.0  | 0.57                 |
| 12/06/2017                                  | WAL, SEBASTIAN       | MFS BLENDED RESEARCH CORE C       | 209.84               | 2.10                | 0.00               | 2.10              | 0.0  | 1.89                 |
| 12/06/2017                                  | WIKAR, HALINA        | MFS BLENDED RESEARCH CORE C       | 200.00               | 2.00                | 0.00               | 2.00              | 0.0  | 1.80                 |
| 12/06/2017                                  | WIKAR, HALINA        | MFS BLENDED RESEARCH CORE C       | 23.77                | 0.24                | 0.00               | 0.24              | 0.0  | 0.22                 |
| 12/06/2017                                  | THOMAS, SYLVIA       | MFS BLENDED RESEARCH CORE C       | 39.33                | 0.39                | 0.00               | 0.39              | 0.0  | 0.35                 |
| 12/06/2017                                  | THOMAS, SYLVIA       | MFS BLENDED RESEARCH CORE C       | 65.55                | 0.66                | 0.00               | 0.66              | 0.0  | 0.59                 |
| 12/11/2017                                  | DABROWSKI, PAUL      | MFS BLENDED RESEARCH CORE FD B    | 114.50               | 4.58                | 0.00               | 4.58              | 0.0  | 4.12                 |
| 12/11/2017                                  | GUTT, MARTIN         | MFS BLENDED RESEARCH CORE FD B    | 100.00               | 4.00                | 0.00               | 4.00              | 0.0  | 3.60                 |
| 12/18/2017                                  | SIENKIEWICZ, TOMASZ  | MFS BLENDED RESEARCH CORE FD B    | 25.00                | 1.00                | 0.00               | 1.00              | 0.0  | 0.90                 |
| 12/11/2017                                  | DABROWSKI, PAUL      | MFS BOND FUND B                   | 114.50               | 4.58                | 0.00               | 4.58              | 0.0  | 4.12                 |
| 12/06/2017                                  | THOMAS, SYLVIA       | MFS DIVERSIFIED INCOME FUND C     | 39.33                | 0.39                | 0.00               | 0.39              | 0.0  | 0.35                 |
| 12/06/2017                                  | THOMAS, SYLVIA       | MFS DIVERSIFIED INCOME FUND C     | 65.55                | 0.66                | 0.00               | 0.66              | 0.0  | 0.59                 |
| 12/06/2017                                  | WAL, SEBASTIAN       | MFS DIVERSIFIED INCOME FUND C     | 62.96                | 0.63                | 0.00               | 0.63              | 0.0  | 0.57                 |
| 12/06/2017                                  | WAL, SEBASTIAN       | MFS DIVERSIFIED INCOME FUND C     | 209.84               | 2.10                | 0.00               | 2.10              | 0.0  | 1.89                 |
| 12/06/2017                                  | WIKAR, HALINA        | MFS DIVERSIFIED INCOME FUND C     | 200.00               | 2.00                | 0.00               | 2.00              | 0.0  | 1.80                 |
| 12/06/2017                                  | WIKAR, HALINA        | MFS DIVERSIFIED INCOME FUND C     | 23.77                | 0.24                | 0.00               | 0.24              | 0.0  | 0.22                 |
| 12/11/2017                                  | DABROWSKI, AGNIESZKA | MFS DIVERSIFIED INCOME FUND C     | 135.00               | 1.35                | 0.00               | 1.35              | 0.0  | 1.22                 |
| 12/06/2017                                  | WAL, SEBASTIAN       | MFS EMERGING MKTS DEBT FUND C     | 47.22                | 0.47                | 0.00               | 0.47              | 0.0  | 0.42                 |
| 12/06/2017                                  | WAL, SEBASTIAN       | MFS EMERGING MKTS DEBT FUND C     | 157.38               | 1.57                | 0.00               | 1.57              | 0.0  | 1.41                 |
| 12/06/2017                                  | SZAJEWSKI, REGINA    | MFS GLOBAL TOTAL RETURN FUND-B    | 72.96                | 2.92                | 0.00               | 2.92              | 0.0  | 2.63                 |
| 12/06/2017                                  | SZAJEWSKI, REGINA    | MFS GLOBAL TOTAL RETURN FUND-B    | 486.38               | 19.46               | 0.00               | 19.46             | 0.0  | 17.51                |
| 12/06/2017                                  | WIKAR, SYLVIA        | MFS GROWTH ALLOCATION B           | 24.44                | 0.98                | 0.00               | 0.98              | 0.0  | 0.88                 |
| 12/06/2017                                  | WIKAR, SYLVIA        | MFS GROWTH ALLOCATION B           | 40.73                | 1.63                | 0.00               | 1.63              | 0.0  | 1.47                 |
| 12/06/2017                                  | SZAJEWSKI, REGINA    | MFS GROWTH ALLOCATION B           | 72.96                | 2.92                | 0.00               | 2.92              | 0.0  | 2.63                 |
| 12/06/2017                                  | SZAJEWSKI, REGINA    | MFS GROWTH ALLOCATION B           | 486.39               | 19.46               | 0.00               | 19.46             | 0.0  | 17.51                |
| 12/11/2017                                  | DABROWSKI, PAUL      | MFS GROWTH ALLOCATION B           | 114.50               | 4.58                | 0.00               | 4.58              | 0.0  | 4.12                 |

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|---|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u> OVERRIDE TRANSACTIONS </u></b>     |                      |                                     |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: MARK-H122 HUBER #89</u></b> |                      |                                     |                      |                     |                    |                   |      |                      |
| 12/15/2017                                | PROTTO, CAMILLA      | MFS GROWTH B                        | 75.00                | 3.00                | 0.00               | 3.00              | 0.0  | 2.70                 |
| 12/06/2017                                | WIKAR, HALINA        | MFS GROWTH C                        | 100.00               | 1.00                | 0.00               | 1.00              | 0.0  | 0.90                 |
| 12/06/2017                                | WIKAR, HALINA        | MFS GROWTH C                        | 11.89                | 0.12                | 0.00               | 0.12              | 0.0  | 0.11                 |
| 12/06/2017                                | WIKAR, SYLVIA        | MFS INTERNATIOANL DEVERSIFICATION F | 24.44                | 0.98                | 0.00               | 0.98              | 0.0  | 0.88                 |
| 12/06/2017                                | WIKAR, SYLVIA        | MFS INTERNATIOANL DEVERSIFICATION F | 40.73                | 1.63                | 0.00               | 1.63              | 0.0  | 1.47                 |
| 12/06/2017                                | THOMAS, SYLVIA       | MFS INTERNATIONAL NEW DISCOVERY C   | 31.46                | 0.31                | 0.00               | 0.31              | 0.0  | 0.28                 |
| 12/11/2017                                | DABROWSKI, AGNIESZKA | MFS MODERATE ALLOCATION C           | 135.00               | 1.35                | 0.00               | 1.35              | 0.0  | 1.22                 |
| 12/06/2017                                | WAL, SEBASTIAN       | MFS RESEARCH BOND FUND C            | 62.95                | 0.63                | 0.00               | 0.63              | 0.0  | 0.57                 |
| 12/06/2017                                | WAL, SEBASTIAN       | MFS RESEARCH BOND FUND C            | 209.84               | 2.10                | 0.00               | 2.10              | 0.0  | 1.89                 |
| 12/06/2017                                | THOMAS, SYLVIA       | MFS RESEARCH BOND FUND C            | 7.87                 | 0.08                | 0.00               | 0.08              | 0.0  | 0.07                 |
| 12/06/2017                                | THOMAS, SYLVIA       | MFS RESEARCH BOND FUND C            | 65.55                | 0.66                | 0.00               | 0.66              | 0.0  | 0.59                 |
| 12/06/2017                                | WIKAR, SYLVIA        | MFS UTILITIES B                     | 24.44                | 0.98                | 0.00               | 0.98              | 0.0  | 0.88                 |
| 12/06/2017                                | WIKAR, SYLVIA        | MFS UTILITIES B                     | 40.73                | 1.63                | 0.00               | 1.63              | 0.0  | 1.47                 |
| 12/11/2017                                | DABROWSKI, PAUL      | MFS UTILITIES B                     | 114.50               | 4.58                | 0.00               | 4.58              | 0.0  | 4.12                 |
| 12/11/2017                                | GUTT, MARTIN         | MFS UTILITIES B                     | 100.00               | 4.00                | 0.00               | 4.00              | 0.0  | 3.60                 |
| 12/18/2017                                | GRESZTA, LIDIA       | MFS UTILITIES B                     | 300.00               | 12.00               | 0.00               | 12.00             | 0.0  | 10.80                |
| 12/18/2017                                | SIENKIEWICZ, TOMASZ  | MFS UTILITIES B                     | 25.00                | 1.00                | 0.00               | 1.00              | 0.0  | 0.90                 |
| 12/06/2017                                | WIKAR, HALINA        | MFS UTILITIES C                     | 95.07                | 0.95                | 0.00               | 0.95              | 0.0  | 0.86                 |
| 12/06/2017                                | WIKAR, HALINA        | MFS UTILITIES C                     | 800.00               | 8.00                | 0.00               | 8.00              | 0.0  | 7.20                 |
| 12/06/2017                                | WAL, SEBASTIAN       | MFS UTILITIES C                     | 78.69                | 0.79                | 0.00               | 0.79              | 0.0  | 0.71                 |
| 12/06/2017                                | WAL, SEBASTIAN       | MFS UTILITIES C                     | 262.31               | 2.62                | 0.00               | 2.62              | 0.0  | 2.36                 |
| 12/06/2017                                | THOMAS, SYLVIA       | MFS UTILITIES C                     | 39.33                | 0.39                | 0.00               | 0.39              | 0.0  | 0.35                 |
| 12/06/2017                                | THOMAS, SYLVIA       | MFS UTILITIES C                     | 65.56                | 0.66                | 0.00               | 0.66              | 0.0  | 0.59                 |
| 12/11/2017                                | DABROWSKI, AGNIESZKA | MFS UTILITIES C                     | 270.00               | 2.70                | 0.00               | 2.70              | 0.0  | 2.43                 |
| 12/06/2017                                | WIKAR, SYLVIA        | MFS VALUE B                         | 24.44                | 0.98                | 0.00               | 0.98              | 0.0  | 0.88                 |
| 12/06/2017                                | WIKAR, SYLVIA        | MFS VALUE B                         | 40.73                | 1.63                | 0.00               | 1.63              | 0.0  | 1.47                 |
| 12/06/2017                                | SZAJEWSKI, REGINA    | MFS VALUE B                         | 501.13               | 20.05               | 0.00               | 20.05             | 0.0  | 18.05                |
| 12/06/2017                                | SZAJEWSKI, REGINA    | MFS VALUE B                         | 75.17                | 3.01                | 0.00               | 3.01              | 0.0  | 2.71                 |
| 12/15/2017                                | PROTTO, CAMILLA      | MFS VALUE B                         | 75.00                | 3.00                | 0.00               | 3.00              | 0.0  | 2.70                 |
| 12/18/2017                                | GRESZTA, LIDIA       | MFS VALUE B                         | 700.00               | 28.00               | 0.00               | 28.00             | 0.0  | 25.20                |
| 12/06/2017                                | WIKAR, HALINA        | MFS VALUE C                         | 83.20                | 0.83                | 0.00               | 0.83              | 0.0  | 0.75                 |
| 12/06/2017                                | WIKAR, HALINA        | MFS VALUE C                         | 700.00               | 7.00                | 0.00               | 7.00              | 0.0  | 6.30                 |
| <b>* MARK-H122 HUBER SUBTOTAL *</b>       |                      |                                     | <b>\$59,374.88</b>   | <b>\$3,332.05</b>   | <b>\$0.00</b>      | <b>\$3,332.05</b> |      | <b>\$2,998.86</b>    |

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|--|------------------------|-------------------------------------|----------------------|---------------------|--------------------|--------------------|------|----------------------|
| <b><u> OVERRIDE TRANSACTIONS </u></b>                          |                        |                                     |                      |                     |                    |                    |      |                      |
| <b><u>BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90</u></b>    |                        |                                     |                      |                     |                    |                    |      |                      |
| 12/19/2017   | CIPPARRONE, NANCY      | BR TOTAL INC & REAL ESTATE FUND A   | 0.00                 | 4.32                | 0.00               | 4.32               | 0.0  | 3.89                 |
| 12/20/2017   | NARDINI TRUST, BARBARA | GPB AUTOMOTIVE PORTFOLIO LP         | 75,000.00            | 5,250.00            | 0.00               | 5,250.00           | 0.0  | 4,725.00             |
| 12/20/2017   | NARDINI TRUST, RONALD  | GPB AUTOMOTIVE PORTFOLIO LP         | 75,000.00            | 5,250.00            | 0.00               | 5,250.00           | 0.0  | 4,725.00             |
| 12/06/2017   | ARCIUCH, ARTUR         | MFS EMERGING MARKETS EQUITY A       | 237.70               | 4.16                | 0.00               | 4.16               | 0.0  | 3.74                 |
| 12/06/2017   | ARCIUCH, ARTUR         | MFS EMERGING MARKETS EQUITY A       | 950.00               | 16.63               | 0.00               | 16.63              | 0.0  | 14.97                |
| <b>* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *</b>          |                        |                                     | <b>\$151,187.70</b>  | <b>\$10,525.11</b>  | <b>\$0.00</b>      | <b>\$10,525.11</b> |      | <b>\$9,472.60</b>    |
| <b><u>BROKER: DAVE/MIKE ZUPEK/VENTURINI #129</u></b>           |                        |                                     |                      |                     |                    |                    |      |                      |
| 12/11/2017   | THOMPSON, TERRY        | AM FUNDS 2015 TARGET DATE A         | 125.00               | 2.50                | 0.00               | 2.50               | 0.0  | 2.25                 |
| 12/11/2017   | VASSH, LYNN            | AM FUNDS FUNDAMENTAL INVESTORS C    | 17.00                | 0.17                | 0.00               | 0.17               | 0.0  | 0.15                 |
| 12/11/2017   | VASSH, LYNN            | AM FUNDS GROWTH FUND OF AMERICA C   | 16.50                | 0.17                | 0.00               | 0.17               | 0.0  | 0.15                 |
| 12/11/2017   | VASSH, LYNN            | AM FUNDS WASHINGTON MUTUAL INVESTOR | 16.50                | 0.17                | 0.00               | 0.17               | 0.0  | 0.15                 |
| <b>* DAVE/MIKE ZUPEK/VENTURINI SUBTOTAL *</b>                  |                        |                                     | <b>\$175.00</b>      | <b>\$3.01</b>       | <b>\$0.00</b>      | <b>\$3.01</b>      |      | <b>\$2.70</b>        |
| <b><u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u></b> |                        |                                     |                      |                     |                    |                    |      |                      |
| 11/27/2017   | WEBER, SANDRA          | AM FUNDS 2020 TARGET DATE-A         | 25.00                | 0.50                | 0.00               | 0.50               | 0.0  | 0.45                 |
| 12/11/2017   | WEBER, SANDRA          | AM FUNDS 2020 TARGET DATE-A         | 25.00                | 0.50                | 0.00               | 0.50               | 0.0  | 0.45                 |
| 11/27/2017   | HEFT, SAMANTHA         | AM FUNDS 2035 TARGET DATE-A         | 50.00                | 1.00                | 0.00               | 1.00               | 0.0  | 0.90                 |
| 12/11/2017   | HEFT, SAMANTHA         | AM FUNDS 2035 TARGET DATE-A         | 50.00                | 1.00                | 0.00               | 1.00               | 0.0  | 0.90                 |
| 11/30/2017   | SWAFFORD, WILLIAM      | BLK MOD 13-16 AGE BASED A           | 0.00                 | 1.44                | 0.00               | 1.44               | 0.0  | 1.30                 |
| 11/30/2017   | SWAFFORD, WILLIAM      | BLK MOD 17+ AGE BASED A             | 0.00                 | 1.63                | 0.00               | 1.63               | 0.0  | 1.47                 |
| 12/11/2017   | SKOWRON, ALEKSANDER    | FS INVESTMENT CORP IV CLASS T       | 0.00                 | 13.87               | 0.00               | 13.87              | 0.0  | 12.48                |
| 12/11/2017   | GIBSON, PAMELA         | FS INVESTMENT CORP IV CLASS T       | 0.00                 | 25.99               | 0.00               | 25.99              | 0.0  | 23.39                |
| <b>* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *</b>        |                        |                                     | <b>\$150.00</b>      | <b>\$45.93</b>      | <b>\$0.00</b>      | <b>\$45.93</b>     |      | <b>\$41.34</b>       |
| <b><u>BROKER: MARK-H130 HUBER #395</u></b>                     |                        |                                     |                      |                     |                    |                    |      |                      |
| 12/11/2017   | HUDEC, JOANNE          | JNL PERSPECTIVE II 04/14            | 300,000.00           | 6,000.00            | 0.00               | 6,000.00           | 0.0  | 5,400.00             |
| <b>* MARK-H130 HUBER SUBTOTAL *</b>                            |                        |                                     | <b>\$300,000.00</b>  | <b>\$6,000.00</b>   | <b>\$0.00</b>      | <b>\$6,000.00</b>  |      | <b>\$5,400.00</b>    |
| <b><u>BROKER: R130-RICHARD RODRIGUEZ, JR. #397</u></b>         |                        |                                     |                      |                     |                    |                    |      |                      |
| 11/30/2017   | KANE, SUSAN            | CARTER VALIDUS MC REIT II CLASS T   | 0.00                 | 41.59               | 0.00               | 41.59              | 0.0  | 37.43                |
| <b>* R130-RICHARD RODRIGUEZ, JR. SUBTOTAL *</b>                |                        |                                     | <b>\$0.00</b>        | <b>\$41.59</b>      | <b>\$0.00</b>      | <b>\$41.59</b>     |      | <b>\$37.43</b>       |
| <b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>                     |                        |                                     | <b>\$511,087.58</b>  | <b>\$19,978.65</b>  | <b>\$0.00</b>      | <b>\$19,978.65</b> |      | <b>\$17,980.79</b>   |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

|                       |             |
|-----------------------|-------------|
| Broker Transactions   | \$15,807.69 |
| Split Transactions    | \$10,631.25 |
| Override Transactions | \$17,980.79 |
| Adjustments           | \$0.00      |
| Payroll Draw          | \$0.00      |
| Base Salary           | \$0.00      |
| Prior Period Balance  | \$0.00      |
| FINRA Assessment      | \$0.00      |
| SIPC Assessment       | \$0.00      |

|                       |              |
|-----------------------|--------------|
| Check Amount          | \$44,419.73  |
| Year-to-date Gross    | \$281,453.90 |
| Year-to-date Earnings | \$433,604.55 |