



All Companies

07/30/2018  
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# COMMISSION STATEMENT August 01, 2018

MICHAEL C. MOYNIHAN  
700 Throckmorton

BROKER #: 9528  
BRANCH: HOME OFFICE

Fort Worth, TX 76102

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	77.34	77.34	0.00	77.34	90.0	69.61
06/30/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	6.79	6.79	0.00	6.79	90.0	6.11
06/30/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	15.70	15.70	0.00	15.70	90.0	14.13
06/30/2018	TRAILS	-PRINCIPAL FUNDS	B	33.12	33.12	0.00	33.12	90.0	29.81
07/01/2018	RICHWINE, RANDALL	-TEXAS 529 PLAN	B	400.00	16.00	0.00	16.00	90.0	14.40
07/02/2018	HUDGINS/HYDINGER, CHRISTY/ELLERY	-TEXAS 529 PLAN	B	25.00	1.19	0.00	1.19	90.0	1.07
07/02/2018	RICHWINE, RANDALL	-TEXAS 529 PLAN	B	400.00	16.00	0.00	16.00	90.0	14.40
07/10/2018	TRAILS	-TEXAS 529 PLAN	B	5.79	5.79	0.00	5.79	90.0	5.21
07/10/2018	TRAILS	-TEXAS 529 PLAN	B	0.67	0.67	0.00	0.67	90.0	0.60
07/10/2018	TRAILS	-TEXAS 529 PLAN	B	12.45	12.45	0.00	12.45	90.0	11.21
07/10/2018	PARISH, RUSSELL/CAROLIN	-TEXAS 529 PLAN	B	300.00	14.26	0.00	14.26	90.0	12.83
07/20/2018	RANDOLPH, DUJON ESPIE	FORESTERS FINANCIAL	B	511.63	25.58	0.00	25.58	90.0	23.02
07/20/2018	PIPER, ANITA R.	FORESTERS FINANCIAL	B	162.33	8.12	0.00	8.12	90.0	7.31
07/20/2018	SANCHEZ, FIDEL	FORESTERS FINANCIAL	B	650.00	26.00	0.00	26.00	90.0	23.40
07/20/2018	MARTINEZ, LUCIANO	FORESTERS FINANCIAL	B	1,257.66	50.31	0.00	50.31	90.0	45.28
07/20/2018	WHISENAND, JANICE D	FORESTERS FINANCIAL	B	1,657.50	37.31	0.00	37.31	90.0	33.58
07/20/2018	SAUNDERS, MARTHA J.	FORESTERS FINANCIAL	B	910.00	45.50	0.00	45.50	90.0	40.95
07/20/2018	TAPIA, ISIDRO	FORESTERS FINANCIAL	B	750.52	22.52	0.00	22.52	90.0	20.27
07/20/2018	TAPIA, ISIDRO	FORESTERS FINANCIAL	B	97.20	2.92	0.00	2.92	90.0	2.63
07/20/2018	WHISENAND, JANICE D	FORESTERS FINANCIAL	B	1,608.75	36.20	0.00	36.20	90.0	32.58
07/20/2018	WHISENAND, JAMES L	FORESTERS FINANCIAL	B	1,137.50	25.61	0.00	25.61	90.0	23.05
07/20/2018	WHISENAND, MICHAEL D	FORESTERS FINANCIAL	B	1,300.00	39.00	0.00	39.00	90.0	35.10
07/20/2018	WHISENAND, JANICE D	FORESTERS FINANCIAL	B	1,608.75	36.20	0.00	36.20	90.0	32.58
07/20/2018	RANDOLPH, DUJON ESPIE	FORESTERS FINANCIAL	B	511.62	25.58	0.00	25.58	90.0	23.02
07/20/2018	RAMIREZ, VICTOR	FORESTERS FINANCIAL	B	1,040.19	52.02	0.00	52.02	90.0	46.82
07/20/2018	VERA, MANUEL H.	FORESTERS FINANCIAL	B	824.98	41.25	0.00	41.25	90.0	37.13
07/20/2018	CRUZ, PATRICK	FORESTERS FINANCIAL	B	646.11	32.30	0.00	32.30	90.0	29.07
07/20/2018	SANCHEZ, NORMA	FORESTERS FINANCIAL	B	570.67	28.54	0.00	28.54	90.0	25.69
07/20/2018	MATSON, VICTOR G.	FORESTERS FINANCIAL	B	633.41	31.68	0.00	31.68	90.0	28.51
07/20/2018	BUSBY, RODNEY	FORESTERS FINANCIAL	B	780.00	39.00	0.00	39.00	90.0	35.10

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
07/20/2018	STACY, LARRY	FORESTERS FINANCIAL	B	100.00	5.00	0.00	5.00	90.0	4.50
07/20/2018	PIPER, ANITA R.	FORESTERS FINANCIAL	B	90.19	4.51	0.00	4.51	90.0	4.06
07/20/2018	WHISENAND, JAMES L	FORESTERS FINANCIAL	B	550.00	12.38	0.00	12.38	90.0	11.14
07/20/2018	WHISENAND, JAMES L	FORESTERS FINANCIAL	B	587.50	13.23	0.00	13.23	90.0	11.91
07/20/2018	PIPER, ANITA R.	FORESTERS FINANCIAL	B	108.21	5.41	0.00	5.41	90.0	4.87
06/25/2018	000000000378962 DUNKIN, STEPHEN	FRANKLIN TEMPLETON CONSERVATIVE TARG B	B	450.00	16.88	0.00	16.88	90.0	15.19
06/25/2018	000000000378962 DUNKIN, STEPHEN	FRANKLIN TEMPLETON CONSERVATIVE TARG B	B	153.75	5.77	0.00	5.77	90.0	5.19
06/25/2018	000000000501561 CHASTEEN, GARRY	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	166.00	8.30	0.00	8.30	90.0	7.47
06/25/2018	000000000501561 CHASTEEN, GARRY	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	99.00	4.95	0.00	4.95	90.0	4.46
07/16/2018	000000000705804 STRAWSER, ROBERT K.	FRK TEMP COREFOLIO ALLOC FND CL - A B	B	200.00	10.00	0.00	10.00	90.0	9.00
06/25/2018	000000000730349 HARRY, CECIL	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	114.00	5.70	0.00	5.70	90.0	5.13
06/25/2018	000000000730349 HARRY, CECIL	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	114.00	5.70	0.00	5.70	90.0	5.13
07/16/2018	000000000874040 STRAWSER, LAURA	FRK AGGRESSIVE GRWTH - CL A	B	150.00	7.50	0.00	7.50	90.0	6.75
07/16/2018	000000001032921 BLADEN, WILLIAM T.	FRK AGGRESSIVE GRWTH - CL A	B	458.33	7.33	0.00	7.33	90.0	6.60
07/16/2018	000000001281157 BLADEN, WILLIAM /KATHRI	FRK AGGRESSIVE GRWTH - CL A	B	100.00	1.60	0.00	1.60	90.0	1.44
06/25/2018	000000001322181 PENGELLY, AMBER	FRK AGGRESSIVE GRWTH - CL A	B	110.00	5.50	0.00	5.50	90.0	4.95
06/25/2018	000000001322181 PENGELLY, AMBER	FRK AGGRESSIVE GRWTH - CL A	B	76.50	3.83	0.00	3.83	90.0	3.45
07/16/2018	000000001889841 BLADEN, WILLIAM T.	MUTUAL DISC FND - CL A	B	100.00	1.60	0.00	1.60	90.0	1.44
07/16/2018	000000003044308 BLADEN, WILLIAM T.	FRANKLIN MUTUAL SHARES FD CL 1	B	100.00	1.60	0.00	1.60	90.0	1.44
07/16/2018	000000004809378 BLADEN, KATHRINE	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	458.33	7.33	0.00	7.33	90.0	6.60
07/16/2018	000000006891410 BLADEN, WILLIAM	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B	B	100.00	1.60	0.00	1.60	90.0	1.44
06/25/2018	000000007100441 DUNKIN, PHILLIP	MUTUAL DISC FND - CL A	B	700.00	19.60	0.00	19.60	90.0	17.64
06/25/2018	000000007100441 DUNKIN, PHILLIP	MUTUAL DISC FND - CL A	B	72.00	2.02	0.00	2.02	90.0	1.82
06/25/2018	000000007100466 THOMPSON,	MUTUAL DISC FND - CL A	B	134.40	5.04	0.00	5.04	90.0	4.54

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
	MICHAEL								
06/25/2018	000000007100466 THOMPSON,	MUTUAL DISC FND - CL A	B	52.80	1.98	0.00	1.98	90.0	1.78
	MICHAEL								
06/25/2018	000000007197306 DUNKIN,	FRANKLIN MUTUAL SHARES FD CL 1	B	525.00	14.70	0.00	14.70	90.0	13.23
	PHILLIP								
06/25/2018	000000007197306 DUNKIN,	FRANKLIN MUTUAL SHARES FD CL 1	B	54.00	1.51	0.00	1.51	90.0	1.36
	PHILLIP								
06/25/2018	000000007197320 THOMPSON,	FRANKLIN MUTUAL SHARES FD CL 1	B	100.80	3.78	0.00	3.78	90.0	3.40
	MICHAEL								
06/25/2018	000000007197320 THOMPSON,	FRANKLIN MUTUAL SHARES FD CL 1	B	39.60	1.49	0.00	1.49	90.0	1.34
	MICHAEL								
07/16/2018	000000007430553 BLADEN,	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B		300.00	4.80	0.00	4.80	90.0	4.32
	WILLIAM/BRYNJA								
07/16/2018	000013912664577 STRAWSER,	FRANKLIN SPECIAL EQUITY INCOME FD C B		150.00	7.50	0.00	7.50	90.0	6.75
	LAURA								
07/16/2018	000015815261389 BLADEN,	FRANKLIN RISING DIVIDEND FD CL I	B	100.00	1.60	0.00	1.60	90.0	1.44
	WILLIAM T.								
07/09/2018	00001611968 BELCHER, LORENA	LIFESTYLE AGGRESSIVE PORTFOLIO A	B	200.00	8.50	0.00	8.50	90.0	7.65
07/06/2018	00001664121 HUTCHISON, VIKKI	LIFESTYLE GROWTH PORTFOLIO A	B	223.08	6.36	0.00	6.36	90.0	5.72
07/05/2018	00001664145 KANESHIRO, JOAN A	LIFESTYLE GROWTH PORTFOLIO A	B	200.00	8.50	0.00	8.50	90.0	7.65
07/03/2018	00001731052 MITCHELL, NATALIE	LIFESTYLE BALANCED PORTFOLIO A	B	230.00	8.63	0.00	8.63	90.0	7.77
07/02/2018	00001827877 LOTT, JEANNE	LIFESTYLE MODERATE PORTFOLIO C	B	100.00	1.00	0.00	1.00	90.0	0.90
06/25/2018	000019817816174 DUNKIN,	FRANKLIN SMALL CAP GROWTH FD CL I	B	525.00	14.70	0.00	14.70	90.0	13.23
	PHILLIP								
06/25/2018	000019817816174 DUNKIN,	FRANKLIN SMALL CAP GROWTH FD CL I	B	54.00	1.51	0.00	1.51	90.0	1.36
	PHILLIP								
06/25/2018	000019817816186 THOMPSON,	FRANKLIN SMALL CAP GROWTH FD CL I	B	100.80	3.78	0.00	3.78	90.0	3.40
	MICHAEL								
06/25/2018	000019817816186 THOMPSON,	FRANKLIN SMALL CAP GROWTH FD CL I	B	39.60	1.49	0.00	1.49	90.0	1.34
	MICHAEL								
07/09/2018	00002172270 HALL, HARRISON	JOHN HANCOCK GROWTH & INCOME FD CL B		253.16	7.22	0.00	7.22	90.0	6.50
07/09/2018	00002172270 HALL, HARRISON	JOHN HANCOCK GROWTH & INCOME FD CL B		82.50	2.35	0.00	2.35	90.0	2.12
07/09/2018	00002172271 HALL, HARRISON	CLASSIC VALUE A	B	253.16	7.22	0.00	7.22	90.0	6.50
07/09/2018	00002172271 HALL, HARRISON	CLASSIC VALUE A	B	82.50	2.35	0.00	2.35	90.0	2.12
07/09/2018	00002172273 HALL, HARRISON	NEW OPPORTUNITIES A	B	253.16	7.22	0.00	7.22	90.0	6.50
07/09/2018	00002172273 HALL, HARRISON	NEW OPPORTUNITIES A	B	82.50	2.35	0.00	2.35	90.0	2.12
07/09/2018	00002172274 HALL, HARRISON	GLOBAL SHAREHOLDER YIELD A	B	126.58	3.61	0.00	3.61	90.0	3.25
07/09/2018	00002172274 HALL, HARRISON	GLOBAL SHAREHOLDER YIELD A	B	41.25	1.18	0.00	1.18	90.0	1.06
07/09/2018	00002172275 HALL, HARRISON	JOHN HANCOCK HIGH YLD BD FD CL A	B	126.58	3.80	0.00	3.80	90.0	3.42
07/09/2018	00002172275 HALL, HARRISON	JOHN HANCOCK HIGH YLD BD FD CL A	B	41.25	1.24	0.00	1.24	90.0	1.12

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
07/09/2018	00002172276 HALL, HARRISON	JOHN HANCOCK SOVEREIGN BD FD CL A B		126.58	3.80	0.00	3.80	90.0	3.42
07/09/2018	00002172276 HALL, HARRISON	JOHN HANCOCK SOVEREIGN BD FD CL A B		41.25	1.24	0.00	1.24	90.0	1.12
07/09/2018	00002172277 HALL, HARRISON	FLOATING RATE INCOME A B		126.58	1.90	0.00	1.90	90.0	1.71
07/09/2018	00002172277 HALL, HARRISON	FLOATING RATE INCOME A B		41.25	0.62	0.00	0.62	90.0	0.56
07/09/2018	00002174074 TERRY, BRANDI	LIFESTYLE GROWTH PORTFOLIO A B		116.66	3.32	0.00	3.32	90.0	2.99
07/09/2018	00002174074 TERRY, BRANDI	LIFESTYLE GROWTH PORTFOLIO A B		116.66	3.32	0.00	3.32	90.0	2.99
07/09/2018	00002177456 KORKUTOVIC, SEVLIJA	LIFESTYLE MODERATE PORTFOLIO A B		121.58	3.47	0.00	3.47	90.0	3.12
07/09/2018	00002177456 KORKUTOVIC, SEVLIJA	LIFESTYLE MODERATE PORTFOLIO A B		121.58	3.47	0.00	3.47	90.0	3.12
07/09/2018	00002393597 CUTSHALL, ALISON N.	LIFESTYLE GROWTH PORTFOLIO A B		97.73	2.79	0.00	2.79	90.0	2.51
07/09/2018	00002393597 CUTSHALL, ALISON N.	LIFESTYLE GROWTH PORTFOLIO A B		97.73	2.79	0.00	2.79	90.0	2.51
07/09/2018	00002393605 EUBANKS, STEPHANIE A.	LIFESTYLE GROWTH PORTFOLIO A B		111.99	3.19	0.00	3.19	90.0	2.87
07/09/2018	00002393605 EUBANKS, STEPHANIE A.	LIFESTYLE GROWTH PORTFOLIO A B		111.99	3.19	0.00	3.19	90.0	2.87
07/02/2018	00052796859 LOTT, BRIAN K	PORTFOLIO 2025-2028 A B		100.00	4.25	0.00	4.25	90.0	3.83
07/16/2018	00075397174 MCCARTHY, CHRIS/ANNALIE	THE GROWTH FUND OF AMERICA-529A B		50.00	2.13	0.00	2.13	90.0	1.92
07/16/2018	00075979142 LARA-MONTGOMERY, JENNIFER A	AMERICAN FUNDS CAPITAL WORLD GROWT B		100.00	2.00	0.00	2.00	90.0	1.80
07/16/2018	00081508155 MCCARTHY, CHRIS/OLIVIA	THE GROWTH FUND OF AMERICA-529A B		50.00	2.13	0.00	2.13	90.0	1.92
07/16/2018	00082630504 THURMAN, MEGAN	AMERICAN FUNDS CAPITAL INCOME BUILD B		50.00	2.50	0.00	2.50	90.0	2.25
07/16/2018	00082697643 MCEACHERN, KYLIE	CAPITAL WORLD GROWTH AND INCOME-529 B		100.00	4.25	0.00	4.25	90.0	3.83
07/16/2018	00082910467 BANKS, DENNIS	NEW WORLD FUND-A B		100.00	5.00	0.00	5.00	90.0	4.50
07/16/2018	00083124311 MONTGOMERY, ZANDER	CAPITAL WORLD GROWTH AND INCOME-529 B		75.00	1.50	0.00	1.50	90.0	1.35
07/16/2018	00084124051 PARISH/ALLEN, VICTORIA/JULIE	CAPITAL INCOME BUILDER-529A B		25.00	1.06	0.00	1.06	90.0	0.95
07/16/2018	00084124070 PARISH/ALLEN, VICTORIA/JULIE	CAPITAL INCOME BUILDER-529A B		50.00	2.13	0.00	2.13	90.0	1.92
07/16/2018	00085752451 MONTGOMERY, ZANDER	CAPITAL WORLD GROWTH AND INCOME-529 B		75.00	1.50	0.00	1.50	90.0	1.35
07/20/2018	00086824865 BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT B		148.81	5.58	0.00	5.58	90.0	5.02
07/20/2018	00086824865 BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT B		74.40	2.79	0.00	2.79	90.0	2.51
07/20/2018	00086824865 BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD B		148.80	5.58	0.00	5.58	90.0	5.02
07/20/2018	00086824865 BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD B		74.41	2.79	0.00	2.79	90.0	2.51
07/16/2018	00087076225 PARISH/ALLEN, VICTORIA/JULIE	CAPITAL WORLD GROWTH AND INCOME-529 B		50.00	2.13	0.00	2.13	90.0	1.92

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
07/16/2018	00087416414 WHITE, CINDY C.	GROWTH PORTFOLIO-A	B	150.00	7.50	0.00	7.50	90.0	6.75
07/16/2018	00088409989 PARISH/ALLEN, VICTORIA/JULIE	COLLEGE 2030 FUND-529A	B	50.00	1.75	0.00	1.75	90.0	1.58
07/16/2018	00088439412 RICHARDSON, CLAY & BRIANA	COLLEGE 2030 FUND-529A	B	500.00	17.50	0.00	17.50	90.0	15.75
07/16/2018	00088439441 RICHARDSON, CLAY & BRIANA	COLLEGE 2030 FUND-529A	B	500.00	17.50	0.00	17.50	90.0	15.75
07/16/2018	00089201070 PARISH, SAMMY/CALEB	COLLEGE 2033 FUND-529A	B	50.00	1.75	0.00	1.75	90.0	1.58
07/16/2018	00089993046 HEPPNER, JENNIFER KELLEY	GROWTH AND INCOME PORTFOLIO-C	B	20,000.00	200.00	0.00	200.00	90.0	180.00
07/05/2018	01471247542 OWENS, CATHERINE J.	FIDELITY ADV ASSET MANAGER 85%-CL A B		458.33	22.92	0.00	22.92	90.0	20.63
07/16/2018	04000405006 HILLMAN, DUSTIN	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	90.0	3.15
07/16/2018	04000928379 UNRUH, TIFFANY L.	AMERICAN FUNDS 2040 TARGET DATE-A	B	100.00	5.00	0.00	5.00	90.0	4.50
07/16/2018	04001074621 HEPPNER, MATTHEW D.	AMERICAN FUNDS 2040 TARGET DATE-A	B	5,500.00	275.00	0.00	275.00	90.0	247.50
07/05/2018	07000073711 MINTER, MERIDITH	PRINCIPAL INVESTORS SAM STRAT GRO ( B		229.16	9.17	0.00	9.17	90.0	8.25
07/05/2018	07000073711 MINTER, MERIDITH	PRINCIPAL INVESTORS WEST COAST EQTY B		229.17	9.17	0.00	9.17	90.0	8.25
07/05/2018	08900188177 MINTER, MERIDITH	PRINCIPAL INVESTORS SAM CONSERV GRO B		1,000.00	10.00	0.00	10.00	90.0	9.00
07/02/2018	5551032020 WHITT, CHAD M.	PORTFOLIO SERIES: EQUITY INVESTOR F B		200.00	9.50	0.00	9.50	90.0	8.55
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$59,134.87</b>	<b>\$1,768.38</b>	<b>\$0.00</b>	<b>\$1,768.38</b>		<b>\$1,591.61</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
07/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	143.08	143.08	0.00	143.08	90.0	128.77
<b>* STOCKS SUBTOTAL *</b>				<b>\$143.08</b>	<b>\$143.08</b>	<b>\$0.00</b>	<b>\$143.08</b>		<b>\$128.77</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
07/20/2018	HILGARDNER, THERESA S.	-JACKSON NATIONAL	B	400,000.00	18,000.00	0.00	18,000.00	90.0	16,200.00
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	28.78	28.78	0.00	28.78	90.0	25.90
07/13/2018	TRAILS	GENWORTH LIFE ANNUITY	B	56.42	56.42	0.00	56.42	90.0	50.78
07/06/2018	TRAILS	JOHN HANCOCK	B	107.04	107.04	0.00	107.04	90.0	96.34
07/16/2018	1020889370 RICHARDSON, BRIANA	-JACKSON NATIONAL	B	5,000.00	62.50	0.00	62.50	90.0	56.25
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$405,192.24</b>	<b>\$18,254.74</b>	<b>\$0.00</b>	<b>\$18,254.74</b>		<b>\$16,429.27</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
07/13/2018	11567839 BARTON, LISA D	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
07/13/2018	11750216 HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	97.67	0.00	97.67	90.0	87.90
07/13/2018	11754268 KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	22.00	0.00	22.00	90.0	19.80
07/13/2018	13004139 SUTTON, TERRE I	SIGNAL SECURITIES RIA	B	0.00	37.33	0.00	37.33	90.0	33.60
07/13/2018	14849603 SCASTA, BRANDI	SIGNAL SECURITIES RIA	B	0.00	17.33	0.00	17.33	90.0	15.60

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/13/2018 15090246	RICHWINE, RANDALL & KIMBE	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	90.0	9.30
07/13/2018 15327103	PARISH, VICTORIA J	SIGNAL SECURITIES RIA	B	0.00	43.00	0.00	43.00	90.0	38.70
07/13/2018 15535924	HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	582.00	0.00	582.00	90.0	523.80
07/13/2018 15961193	RICE, JULIE D	SIGNAL SECURITIES RIA	B	0.00	146.33	0.00	146.33	90.0	131.70
07/13/2018 16731246	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	90.0	0.60
07/13/2018 16737554	BROWN, WILMA JO	SIGNAL SECURITIES RIA	B	0.00	224.33	0.00	224.33	90.0	201.90
07/13/2018 16830093	HALL, MICHAEL & AIMEE	SIGNAL SECURITIES RIA	B	0.00	1,102.67	0.00	1,102.67	90.0	992.40
07/13/2018 19861374	GOLDENBERG, KRISTY	SIGNAL SECURITIES RIA	B	0.00	12.67	0.00	12.67	90.0	11.40
07/13/2018 20873922	WEST, MARSHA R	SIGNAL SECURITIES RIA	B	0.00	35.67	0.00	35.67	90.0	32.10
07/13/2018 20966370	MCCARTHY, CHRIS/CANDICE	SIGNAL SECURITIES RIA	B	0.00	4.33	0.00	4.33	90.0	3.90
07/13/2018 21210794	KIGER, MICHAEL K	SIGNAL SECURITIES RIA	B	0.00	187.33	0.00	187.33	90.0	168.60
07/13/2018 21603998	PALO, REBECCA K.	SIGNAL SECURITIES RIA	B	0.00	73.33	0.00	73.33	90.0	66.00
07/13/2018 22006691	MCCOY, RAYMOND/LINDA	SIGNAL SECURITIES RIA	B	0.00	581.67	0.00	581.67	90.0	523.50
07/13/2018 22072316	REEVES, SEAN C	SIGNAL SECURITIES RIA	B	0.00	60.67	0.00	60.67	90.0	54.60
07/13/2018 22739240	COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	27.67	0.00	27.67	90.0	24.90
07/13/2018 23822702	STRAWSER, ROBERT KEVIN	SIGNAL SECURITIES RIA	B	0.00	317.67	0.00	317.67	90.0	285.90
07/13/2018 25342680	VISTINE, TIM A	SIGNAL SECURITIES RIA	B	0.00	16.67	0.00	16.67	90.0	15.00
07/13/2018 25636719	VISTINE, CARLETTE R.	SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33	90.0	3.00
07/13/2018 26871153	VISTINE, CHARLES DAVID	SIGNAL SECURITIES RIA	B	0.00	177.00	0.00	177.00	90.0	159.30
07/13/2018 27056511	SUTTON, TERRE I	SIGNAL SECURITIES RIA	B	0.00	149.33	0.00	149.33	90.0	134.40
07/13/2018 27336748	SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
07/13/2018 27636763	MCCRANIE, JACK & PERRI	SIGNAL SECURITIES RIA	B	0.00	20.33	0.00	20.33	90.0	18.30
07/13/2018 29148734	POWELL, LAMONT	SIGNAL SECURITIES RIA	B	0.00	175.67	0.00	175.67	90.0	158.10
07/13/2018 29861027	ZIMMERMAN, BROCK S	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
07/13/2018 30118591	PARISH, RUSSELL & KELLY	SIGNAL SECURITIES RIA	B	0.00	190.33	0.00	190.33	90.0	171.30
07/13/2018 30212369	COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	72.67	0.00	72.67	90.0	65.40
07/13/2018 30854428	HARTMAN, MATTIE E	SIGNAL SECURITIES RIA	B	0.00	42.67	0.00	42.67	90.0	38.40
07/13/2018 30933785	JAYMES, SHELEEN A	SIGNAL SECURITIES RIA	B	0.00	74.67	0.00	74.67	90.0	67.20
07/13/2018 31462737	BOBER, KATHERINE K	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
07/13/2018 31660678	SPENCER, KELLI/ABBY	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	90.0	9.30
07/13/2018 31805488	ZIMMERMAN, MARY ALYCE	SIGNAL SECURITIES RIA	B	0.00	23.33	0.00	23.33	90.0	21.00
07/13/2018 31811649	WILLIAMS, BRENDA ANN	SIGNAL SECURITIES RIA	B	0.00	25.67	0.00	25.67	90.0	23.10

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/13/2018	32562790 SPENCER, KELLI S	SIGNAL SECURITIES RIA	B	0.00	219.67	0.00	219.67	90.0	197.70
07/13/2018	33609517 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	69.67	0.00	69.67	90.0	62.70
07/13/2018	33996338 CAMPBELL, IAN & MARY	SIGNAL SECURITIES RIA	B	0.00	155.67	0.00	155.67	90.0	140.10
07/13/2018	34850080 RICE, BRIAN & JULIE	SIGNAL SECURITIES RIA	B	0.00	225.67	0.00	225.67	90.0	203.10
07/13/2018	36650710 GOLDENBERG, ADAM M	SIGNAL SECURITIES RIA	B	0.00	8.33	0.00	8.33	90.0	7.50
07/13/2018	36816725 PHILP, JAMES & BETH	SIGNAL SECURITIES RIA	B	0.00	630.33	0.00	630.33	90.0	567.30
07/13/2018	37455579 HILLMAN, DUSTIN & CHELSY	SIGNAL SECURITIES RIA	B	0.00	31.33	0.00	31.33	90.0	28.20
07/13/2018	37648579 LUBWAMA, ADRIAN & ROSETT	SIGNAL SECURITIES RIA	B	0.00	8.33	0.00	8.33	90.0	7.50
07/13/2018	38767645 LOONEY, RANDY L	SIGNAL SECURITIES RIA	B	0.00	172.00	0.00	172.00	90.0	154.80
07/13/2018	38839950 CAMPBELL, MARY G	SIGNAL SECURITIES RIA	B	0.00	174.33	0.00	174.33	90.0	156.90
07/13/2018	38987161 REEVES, SEAN C	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
07/13/2018	39267061 KASPER, ANTHONY T	SIGNAL SECURITIES RIA	B	0.00	44.00	0.00	44.00	90.0	39.60
07/13/2018	39273336 PARISH, SAM D	SIGNAL SECURITIES RIA	B	0.00	138.67	0.00	138.67	90.0	124.80
07/13/2018	39438762 LUCUS, THOMAS BRENT	SIGNAL SECURITIES RIA	B	0.00	121.33	0.00	121.33	90.0	109.20
07/13/2018	39508930 LIPP, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
07/13/2018	39898429 BELCHER, TAMMY JEAN	SIGNAL SECURITIES RIA	B	0.00	52.00	0.00	52.00	90.0	46.80
07/13/2018	40149138 SAUNDERS, MARTHA	SIGNAL SECURITIES RIA	B	0.00	47.00	0.00	47.00	90.0	42.30
07/13/2018	40488610 SHISLER, LOREN W/JOYCE	SIGNAL SECURITIES RIA	B	0.00	171.00	0.00	171.00	90.0	153.90
07/13/2018	41387564 HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	170.00	0.00	170.00	90.0	153.00
07/13/2018	41427542 MEADE, ROBERT & GAIL	SIGNAL SECURITIES RIA	B	0.00	268.67	0.00	268.67	90.0	241.80
07/13/2018	41566521 MCCARTHY, CANDICE M	SIGNAL SECURITIES RIA	B	0.00	43.00	0.00	43.00	90.0	38.70
07/13/2018	41607521 MCCARTHY, CHRIS/CANDICE	SIGNAL SECURITIES RIA	B	0.00	41.00	0.00	41.00	90.0	36.90
07/13/2018	41778487 VISTINE, TIM A	SIGNAL SECURITIES RIA	B	0.00	118.00	0.00	118.00	90.0	106.20
07/13/2018	43046430 BETTIS, ZACHERY & ASHLE	SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33	90.0	5.70
07/13/2018	44608676 MACNAUGHTON, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	52.33	0.00	52.33	90.0	47.10
07/13/2018	44819267 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	124.67	0.00	124.67	90.0	112.20
07/13/2018	45214078 SAUNDERS, MARTHA	SIGNAL SECURITIES RIA	B	0.00	15.67	0.00	15.67	90.0	14.10
07/13/2018	45397194 CAMPBELL, IAN & MARY	SIGNAL SECURITIES RIA	B	0.00	276.00	0.00	276.00	90.0	248.40
07/13/2018	45838820 COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	44.00	0.00	44.00	90.0	39.60
07/13/2018	45942335 PHILAGE, BRIAN & MICHELE	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	90.0	0.60
07/13/2018	46403906 ROYAL, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	58.33	0.00	58.33	90.0	52.50
07/13/2018	46599623 RICHWINE, RANDALL & KIMBE	SIGNAL SECURITIES RIA	B	0.00	42.33	0.00	42.33	90.0	38.10
07/13/2018	48515094 SHISLER, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/13/2018	49164045 BETTIS, ZACHERY & ASHLE	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	90.0	6.60
07/13/2018	49201215 RICHARDSON, CLAY & BRIANA	SIGNAL SECURITIES RIA	B	0.00	453.67	0.00	453.67	90.0	408.30
07/13/2018	49301407 HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	577.67	0.00	577.67	90.0	519.90
07/13/2018	49711323 RUSSELL, JAMES E	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
07/13/2018	50743604 RICE, BRIAN & JULIE	SIGNAL SECURITIES RIA	B	0.00	106.67	0.00	106.67	90.0	96.00
07/13/2018	51491652 MOYNIHAN, LINDA A.	SIGNAL SECURITIES RIA	B	0.00	15.00	0.00	15.00	90.0	13.50
07/13/2018	52388846 MOYNIHAN, LINDA A.	SIGNAL SECURITIES RIA	B	0.00	8.33	0.00	8.33	90.0	7.50
07/13/2018	53144778 LUBWAMA, ROSETTE	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
07/13/2018	53175378 WILLIAMS III, THEODORE/BRENDA	SIGNAL SECURITIES RIA	B	0.00	9.67	0.00	9.67	90.0	8.70
07/13/2018	53186569 RANEY, JASON	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	90.0	0.60
07/13/2018	54673339 ZIMMERMAN, NOAH B	SIGNAL SECURITIES RIA	B	0.00	17.67	0.00	17.67	90.0	15.90
07/13/2018	54771115 HYDINGER, DANIEL/MICHELLE	SIGNAL SECURITIES RIA	B	0.00	342.67	0.00	342.67	90.0	308.40
07/13/2018	54860066 BURKETT, MICHAEL R	SIGNAL SECURITIES RIA	B	0.00	367.67	0.00	367.67	90.0	330.90
07/13/2018	55421837 SPENCER, KELLI/JEFFREY	SIGNAL SECURITIES RIA	B	0.00	10.67	0.00	10.67	90.0	9.60
07/13/2018	55632540 DELGADO, EDUARDO/ P GAIL	SIGNAL SECURITIES RIA	B	0.00	366.67	0.00	366.67	90.0	330.00
07/13/2018	55689243 PARISH, KELLY PAIGE	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80
07/13/2018	56480647 KEITH, CHARLOTTE MEEK	SIGNAL SECURITIES RIA	B	0.00	232.33	0.00	232.33	90.0	209.10
07/13/2018	56483663 SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	15.00	0.00	15.00	90.0	13.50
07/13/2018	56645804 VISTINE, CARLETTE R.	SIGNAL SECURITIES RIA	B	0.00	60.33	0.00	60.33	90.0	54.30
07/13/2018	58128434 LIPP, CHRISTOPHER S	SIGNAL SECURITIES RIA	B	0.00	30.33	0.00	30.33	90.0	27.30
07/13/2018	58720438 LIPP, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
07/13/2018	58847212 HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	57.67	0.00	57.67	90.0	51.90
07/13/2018	59247893 PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	213.33	0.00	213.33	90.0	192.00
07/13/2018	59303330 GETTO, MARY VIRGINIA	SIGNAL SECURITIES RIA	B	0.00	103.67	0.00	103.67	90.0	93.30
07/13/2018	59483304 KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	327.00	0.00	327.00	90.0	294.30
07/13/2018	60172642 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	84.67	0.00	84.67	90.0	76.20
07/13/2018	60176438 HUTCHISON, ROBERT & VIKKI	SIGNAL SECURITIES RIA	B	0.00	209.67	0.00	209.67	90.0	188.70
07/13/2018	60650863 BREAUX, SANDRA K	SIGNAL SECURITIES RIA	B	0.00	185.67	0.00	185.67	90.0	167.10
07/13/2018	62006841 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	63.33	0.00	63.33	90.0	57.00
07/13/2018	63523092 BREAUX, SANDRA K	SIGNAL SECURITIES RIA	B	0.00	78.00	0.00	78.00	90.0	70.20
07/13/2018	63534306 WATKINS, RICHARD/	SIGNAL SECURITIES RIA	B	0.00	59.00	0.00	59.00	90.0	53.10



COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
ASHLEY									
07/13/2018	65038561 PALO, REBECCA K.	SIGNAL SECURITIES RIA	B	0.00	28.67	0.00	28.67	90.0	25.80
07/13/2018	65071642 LUBWAMA, ADRIAN & ROSETT	SIGNAL SECURITIES RIA	B	0.00	6.67	0.00	6.67	90.0	6.00
07/13/2018	65960021 REEVES/HARRIS, TYLER/COURTNEY	SIGNAL SECURITIES RIA	B	0.00	4.33	0.00	4.33	90.0	3.90
07/13/2018	66465361 MUFUNBIRO, LISA M	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
07/13/2018	66475080 PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	92.67	0.00	92.67	90.0	83.40
07/13/2018	66477226 SCASTA, STEPHEN	SIGNAL SECURITIES RIA	B	0.00	17.67	0.00	17.67	90.0	15.90
07/13/2018	67017804 COTE, PATTY	SIGNAL SECURITIES RIA	B	0.00	17.33	0.00	17.33	90.0	15.60
07/13/2018	67138671 BOBER, THOMAS & KATHY	SIGNAL SECURITIES RIA	B	0.00	54.00	0.00	54.00	90.0	48.60
07/13/2018	67763155 COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	92.00	0.00	92.00	90.0	82.80
07/13/2018	69525005 GOLDEN, DARRYL LYNN	SIGNAL SECURITIES RIA	B	0.00	224.67	0.00	224.67	90.0	202.20
07/13/2018	71272747 PARISH, WESLEY & LESLIE	SIGNAL SECURITIES RIA	B	0.00	424.67	0.00	424.67	90.0	382.20
07/13/2018	71349299 WEST TEST. TRUST, DAVID S	SIGNAL SECURITIES RIA	B	0.00	436.33	0.00	436.33	90.0	392.70
07/13/2018	71376962 SCOTT, TIMOTHY L	SIGNAL SECURITIES RIA	B	0.00	197.33	0.00	197.33	90.0	177.60
07/13/2018	71717760 ZIMMERMAN, W B &MARY ALYCE	SIGNAL SECURITIES RIA	B	0.00	255.67	0.00	255.67	90.0	230.10
07/13/2018	72586770 PARISH, SAM D	SIGNAL SECURITIES RIA	B	0.00	376.00	0.00	376.00	90.0	338.40
07/13/2018	72722158 PHILP, REBECCA ELIZABE	SIGNAL SECURITIES RIA	B	0.00	17.33	0.00	17.33	90.0	15.60
07/13/2018	73441084 COX, SHARON	SIGNAL SECURITIES RIA	B	0.00	120.33	0.00	120.33	90.0	108.30
07/13/2018	74258191 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
07/13/2018	74717597 HUBBARD, MARK R	SIGNAL SECURITIES RIA	B	0.00	36.33	0.00	36.33	90.0	32.70
07/13/2018	74742534 SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	23.67	0.00	23.67	90.0	21.30
07/13/2018	75508848 GETTO, MARY VIRGINIA	SIGNAL SECURITIES RIA	B	0.00	16.33	0.00	16.33	90.0	14.70
07/13/2018	75899513 BRYAN, ROBERT/MELISSA	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
07/13/2018	76384301 RANEY, JASON	SIGNAL SECURITIES RIA	B	0.00	42.00	0.00	42.00	90.0	37.80
07/13/2018	77083119 WEST, MARSHA R	SIGNAL SECURITIES RIA	B	0.00	65.67	0.00	65.67	90.0	59.10
07/13/2018	78079060 LEON, ASHLEY MICHELLE	SIGNAL SECURITIES RIA	B	0.00	38.67	0.00	38.67	90.0	34.80
07/13/2018	78489883 SHISLER, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	89.33	0.00	89.33	90.0	80.40
07/13/2018	80005105 SMITH, AVONNE M	SIGNAL SECURITIES RIA	B	0.00	89.00	0.00	89.00	90.0	80.10
07/13/2018	80455638 RUSSELL, JAMES E	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	90.0	7.80
07/13/2018	81582307 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	240.00	0.00	240.00	90.0	216.00
07/13/2018	82214691 HARRIS, GARY D	SIGNAL SECURITIES RIA	B	0.00	154.67	0.00	154.67	90.0	139.20
07/13/2018	82904951 HUBBARD, MARK R	SIGNAL SECURITIES RIA	B	0.00	47.33	0.00	47.33	90.0	42.60
07/13/2018	83237717 MOYNIHAN, P. JOHN	SIGNAL SECURITIES RIA	B	0.00	72.67	0.00	72.67	90.0	65.40
07/13/2018	83318344 KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	29.33	0.00	29.33	90.0	26.40

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: RIA</b>									
07/13/2018 83532025	LANCE, CHERYL L	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
07/13/2018 83978523	ADKINS, PEGGY R	SIGNAL SECURITIES RIA	B	0.00	64.67	0.00	64.67	90.0	58.20
07/13/2018 84984988	HALL, ALISA LYNN	SIGNAL SECURITIES RIA	B	0.00	86.33	0.00	86.33	90.0	77.70
07/13/2018 85627507	RUSSELL, CHRISTINE C	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	90.0	7.80
07/13/2018 85704471	FOWLKES, STEVEN & ELLEN	SIGNAL SECURITIES RIA	B	0.00	1,927.67	0.00	1,927.67	90.0	1,734.90
07/13/2018 86659680	PHILAGE, BRIAN & MICHELE	SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33	90.0	2.10
07/13/2018 86788791	ZIMMERMAN, ZACHARY J	SIGNAL SECURITIES RIA	B	0.00	17.67	0.00	17.67	90.0	15.90
07/13/2018 88805216	WILLIAMS, BRENDA ANN	SIGNAL SECURITIES RIA	B	0.00	34.67	0.00	34.67	90.0	31.20
07/13/2018 89271206	TESTA, JOSEPH A	SIGNAL SECURITIES RIA	B	0.00	287.67	0.00	287.67	90.0	258.90
07/13/2018 90142952	HARTMAN, CRAIG T	SIGNAL SECURITIES RIA	B	0.00	21.33	0.00	21.33	90.0	19.20
07/13/2018 90584473	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	51.67	0.00	51.67	90.0	46.50
07/13/2018 90933777	REEVES, KENNETH & CHERI	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	90.0	9.00
07/13/2018 91672459	TOWNSEND, MARILYN & LAWSO	SIGNAL SECURITIES RIA	B	0.00	50.00	0.00	50.00	90.0	45.00
07/13/2018 91748202	HARRIS, COURTNEY A	SIGNAL SECURITIES RIA	B	0.00	9.33	0.00	9.33	90.0	8.40
07/13/2018 91781366	LIPP, CHRISTOPHER S	SIGNAL SECURITIES RIA	B	0.00	41.33	0.00	41.33	90.0	37.20
07/13/2018 92167936	REEVES/HARRIS, TYLER/COURTNEY	SIGNAL SECURITIES RIA	B	0.00	1.33	0.00	1.33	90.0	1.20
07/13/2018 92531509	BUELL, JEFFREY & CHARL F.	SIGNAL SECURITIES RIA	B	0.00	246.00	0.00	246.00	90.0	221.40
07/13/2018 92627603	BARTON, LISA D	SIGNAL SECURITIES RIA	B	0.00	95.67	0.00	95.67	90.0	86.10
07/13/2018 95074654	DOYLE, TERESA M	SIGNAL SECURITIES RIA	B	0.00	41.67	0.00	41.67	90.0	37.50
07/13/2018 95140665	WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	9.33	0.00	9.33	90.0	8.40
07/13/2018 95615133	UTZIG-PHILAGE, MICHELE	SIGNAL SECURITIES RIA	B	0.00	2.67	0.00	2.67	90.0	2.40
07/13/2018 95683095	LANCE, CHERYL L	SIGNAL SECURITIES RIA	B	0.00	53.00	0.00	53.00	90.0	47.70
07/13/2018 95788083	LUCUS, LADONNA	SIGNAL SECURITIES RIA	B	0.00	134.00	0.00	134.00	90.0	120.60
07/13/2018 96521624	MOYNIHAN, P. JOHN	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
07/13/2018 97505602	SPENCER, KELLI S	SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00	90.0	18.00
07/13/2018 97754476	WILLIAMS III, THEODORE/BRENDA	SIGNAL SECURITIES RIA	B	0.00	34.33	0.00	34.33	90.0	30.90
07/13/2018 98213803	SASSMAN, KATHLEEN/JAMES	SIGNAL SECURITIES RIA	B	0.00	445.00	0.00	445.00	90.0	400.50
07/13/2018 99473573	MACNAUGHTON, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	23.33	0.00	23.33	90.0	21.00
<b>* RIA SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$19,311.38</b>	<b>\$0.00</b>	<b>\$19,311.38</b>		<b>\$17,380.20</b>

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
08/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	498.28	0.00	498.28	90.0	448.53
08/01/2018	TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES		0.00	4.53	0.00	4.53	90.0	4.08
08/01/2018	TRAIL COMMISSIONS	ALLIANCE BERNSTEIN FUNDS FEES		0.00	29.70	0.00	29.70	90.0	26.73
08/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	2.88	0.00	2.88	90.0	2.59
08/01/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	1,439.06	0.00	1,439.06	90.0	1,295.16
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$1,974.45</b>	<b>\$0.00</b>	<b>\$1,974.45</b>		<b>\$1,777.09</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$464,470.19</b>	<b>\$41,452.03</b>	<b>\$0.00</b>	<b>\$41,452.03</b>		<b>\$37,306.94</b>

**SPLIT TRANSACTIONS****BROKER: SNOW/MOYNIHAN #9543**

06/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	178.78	71.51	0.00	71.51	90.0	64.36
07/13/2018	47895792 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	169.87	0.00	169.87	90.0	152.88
07/13/2018	75756775 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	105.33	0.00	105.33	90.0	94.80
07/13/2018	83385351 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	16.53	0.00	16.53	90.0	14.88
07/13/2018	91616746 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	8.13	0.00	8.13	90.0	7.32
<b>* SNOW/MOYNIHAN SUBTOTAL *</b>				<b>\$178.78</b>	<b>\$371.37</b>	<b>\$0.00</b>	<b>\$371.37</b>		<b>\$334.24</b>

**BROKER: SINGLETON/MOYNIHAN #9595**

07/13/2018	17599493 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	63.66	0.00	63.66	90.0	57.29
07/13/2018	31646344 DAVIS, RUFUS L	SIGNAL SECURITIES RIA	B	0.00	104.33	0.00	104.33	90.0	93.90
07/13/2018	41564753 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	12.66	0.00	12.66	90.0	11.39
07/13/2018	41989330 YOUNGBERG, THOMAS N	SIGNAL SECURITIES RIA	B	0.00	56.83	0.00	56.83	90.0	51.15
07/13/2018	42529214 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	80.66	0.00	80.66	90.0	72.59
07/13/2018	45771580 WARREN, THOMAS & SUSAN	SIGNAL SECURITIES RIA	B	0.00	125.83	0.00	125.83	90.0	113.25
07/13/2018	51406766 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	94.83	0.00	94.83	90.0	85.35
07/13/2018	62437500 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	243.16	0.00	243.16	90.0	218.84
07/13/2018	63181693 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	89.66	0.00	89.66	90.0	80.69
07/13/2018	67846422 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	90.0	1.80
07/13/2018	68333401 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	63.50	0.00	63.50	90.0	57.15
07/13/2018	69683604 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	64.33	0.00	64.33	90.0	57.90
07/13/2018	82910782 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	58.33	0.00	58.33	90.0	52.50
<b>* SINGLETON/MOYNIHAN SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$1,059.78</b>	<b>\$0.00</b>	<b>\$1,059.78</b>		<b>\$953.80</b>

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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 OVERRIDE TRANSACTIONS

*** SPLIT TRANSACTIONS TOTAL ***	\$178.78	\$1,431.15	\$0.00	\$1,431.15	\$1,288.04
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 OVERRIDE TRANSACTIONSBROKER: THOMPSON/SIGNAL #9622

07/13/2018 35296442 DAUM (SEPARATE PROP), SIGNAL SECURITIES RIA JERRY F	B	0.00	5,099.00	0.00	5,099.00	280.45
* THOMPSON/SIGNAL SUBTOTAL *		\$0.00	\$5,099.00	\$0.00	\$5,099.00	\$280.45
*** OVERRIDE TRANSACTIONS TOTAL ***		\$0.00	\$5,099.00	\$0.00	\$5,099.00	\$280.45

 ADJUSTMENTS

08/01/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
08/01/2018	RENT: 1/2 MONTH	0.00	0.00	0.00	0.00	-125.00
08/01/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-252.50

 BROKER COMMISSION TOTALS

Broker Transactions	\$37,306.94
Split Transactions	\$1,288.04
Override Transactions	\$280.45
Adjustments	\$-252.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$38,622.93
Year-to-date Earnings	\$199,519.81