



# COMMISSION STATEMENT

## January 29, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/16/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	200.00	10.00	0.00	10.00	95.0	9.50
	ACCOUNT #: 00701328528	BUY 11 Units @18.8000						
01/08/2018	***-**-711N - O'NEILL, RAYMOND	FRK STRAT INC FND - CL A	50.00	2.00	0.00	2.00	95.0	1.90
	ACCOUNT #: 000019400013468	BUY 5 Units @10.3200						
* MUTUAL FUNDS SUBTOTAL *			<b>\$250.00</b>	<b>\$12.00</b>	<b>\$0.00</b>	<b>\$12.00</b>		<b>\$11.40</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/29/2018	***-**-804T - THE 403 (B)(7)	DELAWARE INV MF TRAILS	0.00	3.25	0.00	3.25	95.0	3.09
		BUY 0 Units @0.0000						
01/29/2018	***-**-52IN - CAROSCIO,	DELAWARE INV MF TRAILS	0.00	0.78	0.00	0.78	95.0	0.74
		BUY 0 Units @0.0000						
01/29/2018	***-**-52IR - CAROSCIO,	DELAWARE INV MF TRAILS	0.00	10.23	0.00	10.23	95.0	9.72
		BUY 0 Units @0.0000						
01/29/2018	***-**-835T - THE 403 (B)(7)	DELAWARE INV MF TRAILS	0.00	10.67	0.00	10.67	95.0	10.14
		BUY 0 Units @0.0000						
01/29/2018	***-**-238I - MC ELHANEY,	DELAWARE INV MF TRAILS	0.00	6.94	0.00	6.94	95.0	6.59
		BUY 0 Units @0.0000						
01/29/2018	***-**-568I - SHAW, TIMOTHY	DELAWARE INV MF TRAILS	0.00	1.48	0.00	1.48	95.0	1.41
		BUY 0 Units @0.0000						
01/29/2018	***-**-567I - SHAW, FAITH	DELAWARE INV MF TRAILS	0.00	1.48	0.00	1.48	95.0	1.41
		BUY 0 Units @0.0000						
01/29/2018	***-**-0280 - KRIEL, KRISTEN	DELAWARE INV MF TRAILS	0.00	1.59	0.00	1.59	95.0	1.51
		BUY 0 Units @0.0000						
01/29/2018	***-**-104T - THE 403 (B)(7)	DELAWARE INV MF TRAILS	0.00	0.03	0.00	0.03	95.0	0.03
		BUY 0 Units @0.0000						
01/29/2018	***-**-413T - THE 403 (B)(7)	DELAWARE INV MF TRAILS	0.00	6.48	0.00	6.48	95.0	6.16
		BUY 0 Units @0.0000						
01/29/2018	***-**-419T - THE 403 (B)(7)	DELAWARE INV MF TRAILS	0.00	1.06	0.00	1.06	95.0	1.01
		BUY 0 Units @0.0000						
01/29/2018	***-**-6501 - AGLE, JONATHAN	DELAWARE INV MF TRAILS	0.00	12.33	0.00	12.33	95.0	11.71
		BUY 0 Units @0.0000						
01/29/2018	***-**-9739 - UGMA/NY	DELAWARE INV MF TRAILS	0.00	1.77	0.00	1.77	95.0	1.68
		BUY 0 Units @0.0000						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
01/29/2018	***-**-4930 - SCIFANO, VINCENT	DELAWARE INV MF TRAILS BUY 0 Units @0.0000	0.00	0.48	0.00	0.48	95.0	0.46
01/29/2018	***-**-919I - KITSON, THOMAS	DELAWARE INV MF TRAILS BUY 0 Units @0.0000	0.00	1.05	0.00	1.05	95.0	1.00
01/16/2018	***-**-6853 - SAUER, GEORGE	DEUTSCHE GNMA FUND - A ACCOUNT #: 00026258871 BUY 0 Units @0.0000	0.00	33.35	0.00	33.35	95.0	31.68
01/16/2018	***-**-43IN - AGLE, PATRICIA	REGIONAL BANK A ACCOUNT #: 00777135195 BUY 0 Units @0.0000	0.00	14.66	0.00	14.66	95.0	13.93
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$107.63</b>	<b>\$0.00</b>	<b>\$107.63</b>		<b>\$102.27</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
01/29/2018	***-**-3059 - JEWISH FAMILY,	QUALIFIED PLAN SUMMARY ACCOUNT #: MM110520527 BUY 1 Units @0.0000	0.00	1,250.24	0.00	1,250.24	95.0	1,187.73
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,250.24</b>	<b>\$0.00</b>	<b>\$1,250.24</b>		<b>\$1,187.73</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$250.00</b>	<b>\$1,369.87</b>	<b>\$0.00</b>	<b>\$1,369.87</b>		<b>\$1,301.40</b>

### OVERRIDE TRANSACTIONS

#### **BROKER: RONALD DRAKE**

01/10/2018	***-**-70IN - KING, SUSAN	CAPITAL APPRECIATION A ACCOUNT #: 03210336612 BUY 0 Units @0.0000	60.00	1.80	0.00	1.80	20.0	0.36
01/02/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME A ACCOUNT #: 03008792487 BUY 0 Units @0.0000	262.50	12.47	0.00	12.47	20.0	2.49
01/02/2018	***-**-030J - MERRILL,	CAPITAL INCOME A ACCOUNT #: 03009145719 BUY 0 Units @0.0000	62.50	1.88	0.00	1.88	20.0	0.38
01/08/2018	***-**-453B - OHARA, DARLENE	CAPITAL INCOME A ACCOUNT #: 03008795809 BUY 0 Units @0.0000	3,750.00	112.50	0.00	112.50	20.0	22.50
01/08/2018	***-**-481J - MERRILL, JASON	CAPITAL INCOME A ACCOUNT #: 03009145730 BUY 0 Units @0.0000	62.50	1.88	0.00	1.88	20.0	0.38
01/02/2018	***-**-4932 - BAKER, STEVEN	EQUITY INCOME FUND, INC. A ACCOUNT #: 08352551208 BUY 0 Units @0.0000	262.50	12.47	0.00	12.47	20.0	2.49



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
01/08/2018	***-**-453B - OHARA, DARLENE ACCOUNT #: 08352560668	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	3,750.00	112.50	0.00	112.50	20.0	22.50
01/10/2018	***-**-33IN - KING, JOHN ACCOUNT #: 08351548074	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	76.00	2.28	0.00	2.28	20.0	0.46
01/29/2018	***-**-108X - FOREST, NSPS ACCOUNT #: LSR010522	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	1.24	0.00	1.24	20.0	0.25
01/29/2018	***-**-034X - JONES, KHRYSYE ACCOUNT #: LSR010532	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.32	0.00	0.32	20.0	0.06
01/29/2018	***-**-336I - GETCHELL, FBO ACCOUNT #: LSR011101	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	20.0	0.01
01/29/2018	***-**-027I - DAVIS, KATHRYN ACCOUNT #: LSR010492	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	1.10	0.00	1.10	20.0	0.22
01/29/2018	***-**-027I - DAVIS, KATHRYN ACCOUNT #: LSR010492	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	1.74	0.00	1.74	20.0	0.35
01/29/2018	***-**-027I - DAVIS, KATHRYN ACCOUNT #: LSR010492	FRK STRAT INC FND - CL A BUY 1 Units @0.0000	0.00	1.52	0.00	1.52	20.0	0.30
01/29/2018	***-**-027I - DAVIS, KATHRYN ACCOUNT #: LSR010492	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 1 Units @0.0000	0.00	0.96	0.00	0.96	20.0	0.19
01/02/2018	***-**-030J - MERRILL, ACCOUNT #: 05450490490	OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000	112.50	3.38	0.00	3.38	20.0	0.68
01/08/2018	***-**-481J - MERRILL, JASON ACCOUNT #: 05450490435	OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000	112.50	3.38	0.00	3.38	20.0	0.68
01/10/2018	***-**-33IN - KING, JOHN ACCOUNT #: 07859653204	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	76.00	2.28	0.00	2.28	20.0	0.46
01/02/2018	***-**-030J - MERRILL, ACCOUNT #: 01951261139	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	12.50	0.38	0.00	0.38	20.0	0.08
01/08/2018	***-**-481J - MERRILL, JASON ACCOUNT #: 01951261073	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	12.50	0.38	0.00	0.38	20.0	0.08
01/10/2018	***-**-33IN - KING, JOHN ACCOUNT #: 08476672325	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	76.00	2.28	0.00	2.28	20.0	0.46
01/10/2018	***-**-33IN - KING, JOHN ACCOUNT #: 02514166107	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	76.00	2.28	0.00	2.28	20.0	0.46



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
01/02/2018	***-**-4932 - BAKER, STEVEN	OPPENHEIMER STRATEGIC INCOME FD CL	262.50	10.50	0.00	10.50	20.0	2.10
	ACCOUNT #: 02306510122	BUY 0 Units @0.0000						
01/08/2018	***-**-453B - OHARA, DARLENE	OPPENHEIMER STRATEGIC INCOME FD CL	3,750.00	103.13	0.00	103.13	20.0	20.63
	ACCOUNT #: 02306518823	BUY 0 Units @0.0000						
01/10/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER STRATEGIC INCOME FD CL	60.00	1.65	0.00	1.65	20.0	0.33
	ACCOUNT #: 02305070453	BUY 0 Units @0.0000						
01/02/2018	***-**-4932 - BAKER, STEVEN	RISING DIVIDENDS FUND A	262.50	12.47	0.00	12.47	20.0	2.49
	ACCOUNT #: 02254109468	BUY 0 Units @0.0000						
01/08/2018	***-**-453B - OHARA, DARLENE	RISING DIVIDENDS FUND A	3,750.00	112.50	0.00	112.50	20.0	22.50
	ACCOUNT #: 02254115661	BUY 0 Units @0.0000						
01/10/2018	***-**-70IN - KING, SUSAN	RISING DIVIDENDS FUND A	80.00	2.40	0.00	2.40	20.0	0.48
	ACCOUNT #: 02253478497	BUY 0 Units @0.0000						
01/10/2018	***-**-33IN - KING, JOHN	RISING DIVIDENDS FUND A	96.00	2.88	0.00	2.88	20.0	0.58
	ACCOUNT #: 02254507140	BUY 0 Units @0.0000						
01/02/2018	***-**-030J - MERRILL,	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782386	BUY 0 Units @0.0000						
01/08/2018	***-**-481J - MERRILL, JASON	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782397	BUY 0 Units @0.0000						
01/29/2018	***-**-027I - DAVIS, KATHRYN	TEMP GLOBAL BOND FND - CL A	0.00	1.47	0.00	1.47	20.0	0.29
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
<b>* RONALD DRAKE SUBTOTAL *</b>			<b>\$17,150.00</b>	<b>\$529.81</b>	<b>\$0.00</b>	<b>\$529.81</b>		<b>\$106.00</b>
<b><u>BROKER: RONALD CIOTOLI</u></b>								
01/29/2018	***-**-630I - ROMA, JAMES	BLACKROCK ASSET ALLOCATION PORTFOLI	0.00	0.25	0.00	0.25	35.0	0.09
	ACCOUNT #: LSR011401	BUY 1 Units @0.0000						
01/29/2018	***-**-630I - ROMA, JAMES	BLACKROCK HIGH YIELD BOND FUND CLAS	0.00	6.47	0.00	6.47	35.0	2.26
	ACCOUNT #: LSR011401	BUY 1 Units @0.0000						
01/29/2018	***-**-630I - ROMA, JAMES	BLACKROCK LARGE CAP CORE FUND CL A	0.00	10.31	0.00	10.31	35.0	3.61
	ACCOUNT #: LSR011401	BUY 1 Units @0.0000						
01/08/2018	***-**-3380 - DENTON, KELLI	CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	35.0	0.83
	ACCOUNT #: 03210124708	BUY 0 Units @0.0000						
01/26/2018	***-**-295P - MILLER, PAUL	NYA JPM 529 CONSV GROWTH A	200.00	8.80	0.00	8.80	35.0	3.08
	ACCOUNT #: 77043335701	BUY 0 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD CIOTOLI (continued)</u></b>								
01/11/2018	***-**-717I - EWING, JOSEPH	PORT SERIES: EQUITY INV FD A	300.00	14.25	0.00	14.25	35.0	4.99
	ACCOUNT #: 05550672298	BUY 0 Units @0.0000						
		* RONALD CIOTOLI SUBTOTAL *	<u>\$550.00</u>	<u>\$42.46</u>	<u>\$0.00</u>	<u>\$42.46</u>		<u>\$14.86</u>
		*** OVERRIDE TRANSACTIONS TOTAL ***	<u>\$17,700.00</u>	<u>\$572.27</u>	<u>\$0.00</u>	<u>\$572.27</u>		<u>\$120.86</u>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$1,301.40
Split Transactions	\$0.00
Override Transactions	\$120.86
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$1,422.26</b>
<b>Year-to-date Earnings</b>	<b>\$2,700.44</b>