



Concorde Investment Services, LLC

04/18/2017

Page No. 1

COMMISSION STATEMENT

March 31, 2017

BARRY LEMAY

901 Campisi Way

Suite 200

Campbell, CA 95008

BROKER #: L122

BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/28/2017	MACKENZIE, MICHELLE	BLK GLOBAL ALLOCATION C	0.00	12.55	0.00	12.55	90.0	11.30
02/28/2017	MACKENZIE, MICHELLE	BLK GLOBAL ALLOCATION C	0.00	4.18	0.00	4.18	90.0	3.76
12/02/2015	SARMIENTO, MARIA	VERTICAL CAPITAL INCOME FUND A	-16,900.00	-676.00	-4.00	-672.00	90.0	-604.80
12/02/2015	SARMIENTO, MARIA	VERTICAL CAPITAL INCOME FUND A	16,900.00	676.00	22.00	654.00	90.0	588.60
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$16.73	\$18.00	\$-1.27		\$-1.14
<u>PRODUCT CATEGORY: STOCKS</u>								
03/17/2017	MICHETTI TRUST, DAVID & LORI	HEALTHCARE TR AMER INC CL A NEW	-16,501.63	30.00	22.80	7.20	90.0	6.48
* STOCKS SUBTOTAL *			\$-16,501.63	\$30.00	\$22.80	\$7.20		\$6.48
*** BROKER TRANSACTIONS TOTAL ***			\$-16,501.63	\$46.73	\$40.80	\$5.93		\$5.34

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$5.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$4.81
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$10.15
Year-to-date Gross	\$102,728.00
Year-to-date Earnings	\$92,195.21