

**COMMISSION STATEMENT****March 15, 2018****DONALD MEREDITH**

16921-C Via de Santa Fe

PO Box 5005-81

Rancho Santa Fe, CA 92067

BROKER #: M119 Terminated 06/22/2016**BRANCH: DONALD MEREDITH**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
02/22/2018	MEREDITH, DONALD	ETF MANAGERS TRUST	12,959.49	22.00	22.00	0.00	0.0	0.00
02/26/2018	MEREDITH, DONALD	ETF MANAGERS TRUST	13,244.41	22.00	22.00	0.00	0.0	0.00
02/26/2018	MEREDITH, DONALD	ETF MANAGERS TRUST	11,902.00	22.00	22.00	0.00	0.0	0.00
02/22/2018	MEREDITH, DONALD	FIRST TR EXCHANGE TRADED FD VI	33,084.71	22.00	22.00	0.00	0.0	0.00
02/22/2018	MEREDITH, DONALD	FIRST TR EXCHANGE TRADED FD VI	21,992.49	22.00	22.00	0.00	0.0	0.00
02/22/2018	MEREDITH, DONALD	FLUX POWER HOLDINGS INC	1,004.30	22.00	22.00	0.00	0.0	0.00
02/22/2018	MEREDITH, DONALD	FLUX POWER HOLDINGS INC	489.90	22.00	22.00	0.00	0.0	0.00
02/22/2018	MEREDITH, DONALD	GUGGENHEIM MULTI ASSET INCOME	33,225.00	22.00	22.00	0.00	0.0	0.00
02/22/2018	MEREDITH, DONALD	GUGGENHEIM MULTI ASSET INCOME	22,126.00	22.00	22.00	0.00	0.0	0.00
02/26/2018	MEREDITH, DONALD	GUGGENHEIM MULTI ASSET INCOME	13,330.20	22.00	22.00	0.00	0.0	0.00
02/26/2018	MEREDITH, DONALD	GUGGENHEIM MULTI ASSET INCOME	11,956.51	22.00	22.00	0.00	0.0	0.00
02/22/2018	MEREDITH, DONALD	H&Q LIFE SCIENCES INVS SH BEN INT	13,061.36	22.00	22.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *			\$188,376.37	\$264.00	\$264.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	LUCERO, LESLIE	FIDELITY ADV GOLD A	0.00	1.10	0.00	1.10	0.0	0.00
/ /	LUCERO, LESLIE	FIDELITY ADV REAL ESTATE INCOME	0.00	13.47	0.00	13.47	0.0	0.00
/ /	LINDGREN, LISA	FIDELITY ADV REAL ESTATE INCOME	0.00	4.44	0.00	4.44	0.0	0.00
/ /	ROTHWEILER, BARBARA	FIDELITY ADV STRATEGIC INCOME A	0.00	0.97	0.00	0.97	0.0	0.00
/ /	LINDGREN, LISA	FIDELITY ENERGY A	0.00	1.73	0.00	1.73	0.0	0.00
/ /	LINDGREN, LISA	FIDELITY EQUITY INCOME A	0.00	2.90	0.00	2.90	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$24.61	\$0.00	\$24.61		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$188,376.37	\$288.61	\$264.00	\$24.61		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$388.92
Year-to-date Earnings	\$0.00