



All Companies  
**STATEMENT**  
April 20, 2018

04/17/2018  
Page No. 1

HENRY RAMSEY  
1224 Saint Michael St.

Gonzales, TX 78629

BROKER #: 082  
BRANCH:

COMMISSION STATEMENT for HENRY RAMSEY

				INVESTMENT	GROSS			NET	CLEARING	BROKER
DATE	CLIENT	INVESTMENT		AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION

## BROKER TRANSACTIONS

**PRODUCT CATEGORY: MUTUAL FUNDS**

03/05/2018 #01001300082 MONDRAGON, ABEL INVESCO VAN KAMPEN HIGH YIELD MUNIC	600.00	24.00	75.0	0.00	18.00	0.00	18.00
* MUTUAL FUNDS SUBTOTAL *	\$600.00	\$24.00		\$0.00	\$18.00	\$0.00	\$18.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/01/2018	#00086010680	MONDRAGON, ABEL	AMERICAN BALANCED FUND INC CLASS A	0.00	70.12	75.0	0.00	52.59	0.00	52.59
03/01/2018	#00064860101	ACCOUNT, TOD	AMERICAN FUNDS THE TAX EXEMPT BOND	0.00	110.09	75.0	0.00	82.57	0.00	82.57
03/01/2018	#00068156707	MONDRAGON, ABEL	INCOME FUND OF AMERICA INC CLASS A	0.00	22.72	75.0	0.00	17.04	0.00	17.04
03/01/2018	#00061543858	GRAUL/SEP, JOHN	WASHINGTON MUTUAL INVS FD CLASS A	0.00	66.70	75.0	0.00	50.03	0.00	50.03
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$269.63		\$0.00	\$202.23	\$0.00	\$202.23
*** BROKER TRANSACTIONS TOTAL ***				\$600.00	\$293.63		\$0.00	\$220.23	\$0.00	\$220.23

## ADJUSTMENTS

04/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
*** ADJUSTMENTS TOTAL ***		<u>\$-714.00</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$220.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-714.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-481.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-974.77</b>
------------------------	------------------