08/13/2018

\$-744.00

All Companies Page No. 1

## **STATEMENT**

August 20, 2018

YUPENG FU

**LIBERTY PARTNERS** 

BROKER #: 615 BRANCH: LP BRANCH

, CA

## COMMISSION STATEMENT for YUPENG FU

| DATE CLIENT                 | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | RATE | POSTAGE | NET<br>COMMISSION | CLEARING<br>CHARGE | BROKER<br>COMMISSION |
|-----------------------------|-------------------------------------|----------------------|---------------------|------|---------|-------------------|--------------------|----------------------|
|                             | BROKER TRAN                         | SACTIONS             |                     |      |         |                   |                    |                      |
| PRODUCT CATEGORY: STOCKS    |                                     |                      |                     |      |         |                   |                    |                      |
| 07/17/2018 # YU, JIAN       | SECTOR SPDR - FINANCIAL             | 8,254.98             | 0.00                | 80.0 | 0.00    | 0.00              | 0.00               | 0.00                 |
| 07/17/2018 # YU, JIAN       | WISDOMTREE JAPAN HEDGED EQUITY      | 8,246.01             | 0.00                | 80.0 | 0.00    | 0.00              | 0.00               | 0.00                 |
| 07/18/2018 # YU, JIAN       | ALBEMARLE CORPORATION               | 29,250.00            | 0.00                | 80.0 | 0.00    | 0.00              | 0.00               | 0.00                 |
| 07/18/2018 # HUANG, RICHARD | ALBEMARLE CORPORATION               | 29,250.00            | 315.00              | 80.0 | 19.95   | 271.95            | 19.95              | 252.00               |
| 07/18/2018 # YU, JIAN       | CELGENE CORP                        | 25,650.00            | 0.00                | 80.0 | 0.00    | 0.00              | 0.00               | 0.00                 |
| 07/25/2018 # WANG, MINA     | VANGUARD INDEX FUNDS VANGUARD EXTEN | 240.85               | 0.00                | 80.0 | 0.00    | 0.00              | 19.95              | -19.95               |
| 07/25/2018 # WANG, MINA     | VANGUARD TAX-MANAGED FD MSCI EAFE E | 305.41               | 0.00                | 80.0 | 0.00    | 0.00              | 19.95              | -19.95               |
|                             | * STOCKS SUBTOTAL *                 | \$101,197.25         | \$315.00            |      | \$19.95 | \$271.95          | \$59.85            | \$212.10             |
| PRODUCT CATEGORY: OPTIONS   |                                     |                      |                     |      |         |                   |                    |                      |
| 07/17/2018 # HUANG, RICHARD | PUT ALB 01/18/19 85                 | 1,050.00             | 52.50               | 80.0 | 19.95   | 61.95             | 25.80              | 36.15                |
| 07/18/2018 # YU, JIAN       | CALL CELG 10/19/18 110              | 750.00               | 0.00                | 80.0 | 0.00    | 0.00              | 0.00               | 0.00                 |
| 07/18/2018 # YU, JIAN       | PUT ALB 01/18/19 85                 | 930.00               | 0.00                | 80.0 | 0.00    | 0.00              | 0.00               | 0.00                 |
|                             | * OPTIONS SUBTOTAL *                | \$2,730.00           | \$52.50             |      | \$19.95 | \$61.95           | \$25.80            | \$36.15              |
|                             | *** BROKER TRANSACTIONS TOTAL ***   | \$103,927.25         | \$367.50            |      | \$39.90 | \$333.90          | \$85.65            | \$248.25             |
|                             | ADJUSTM                             | ENTS                 |                     |      |         |                   |                    |                      |
| 08/20/2018                  | ADMIN FEE: ADMIN FEE                | <del></del>          |                     |      |         |                   |                    | -390.00              |
| 08/20/2018                  | E & O INSURANCE: E & O INSURANCE    |                      |                     |      |         |                   |                    | -179.00              |
| 08/20/2018                  | CIRCLEBLACK: CIRCLEBLACK            |                      |                     |      |         |                   |                    | -175.00              |
|                             |                                     |                      |                     |      |         |                   | -                  |                      |

\*\*\* ADJUSTMENTS TOTAL \*\*\*

## BROKER COMMISSION TOTALS

| Broker   | Transactions  | \$248.25  |
|----------|---------------|-----------|
| Split    | Transactions  | \$0.00    |
| Override | Transactions  | \$0.00    |
|          | Adjustments   | \$-744.00 |
|          | Payroll Draw  | \$0.00    |
|          | Base Salary   | \$0.00    |
| Prior Pe | eriod Balance | \$-648.30 |
| FIN      | RA Assessment | \$0.00    |
| SI       | PC Assessment | \$0.00    |
|          |               |           |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| Balance Forward \$-1,144.05 | Balance | Forward | \$-1,144.05 |
|-----------------------------|---------|---------|-------------|
|-----------------------------|---------|---------|-------------|