



# COMMISSION STATEMENT

## February 12, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/01/2018	***-**-3246 - SGROI, TERESA	AMCAP FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089976714	BUY 1 Units @35.9000						
01/29/2018	***-**-241R - BERTRAND, JOHN	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089941898	BUY 2 Units @29.8700						
02/01/2018	***-**-9408 - SNIZEK,	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 00085099445	BUY 2 Units @28.9300						
02/07/2018	***-**-7767 - DONOVAN, WILLIAM	AMERICAN BALANCED FUND-A	13,600.00	510.00	18.00	492.00	75.0	369.00
	ACCOUNT #: LSR012405	BUY 480 Units @28.3400						
02/01/2018	***-**-9408 - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	50.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 00085099445	BUY 5 Units @10.7800						
02/07/2018	***-**-7767 - DONOVAN, WILLIAM	AMERICAN HIGH-INCOME TRUST-A	13,800.00	414.00	18.00	396.00	75.0	297.00
	ACCOUNT #: LSR012405	BUY 1285 Units @10.7400						
02/01/2018	***-**-6469 - BENNETT, DAVID	AMERICAN MUTUAL FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00088370668	BUY 1 Units @45.2000						
02/01/2018	***-**-9408 - SNIZEK,	AMERICAN MUTUAL FUND-A	50.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 00085099445	BUY 1 Units @44.1500						
02/01/2018	***-**-2119 - BENNETT, COLLEEN	AMERICAN MUTUAL FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00088366890	BUY 1 Units @45.2000						
02/01/2018	***-**-3246 - SGROI, TERESA	CAPITAL INCOME BUILDER-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089976714	BUY 1 Units @68.4000						
02/07/2018	***-**-7767 - DONOVAN, WILLIAM	CAPITAL INCOME BUILDER-A	10,200.03	382.50	18.00	364.50	75.0	273.38
	ACCOUNT #: LSR012405	BUY 157 Units @64.8700						
02/07/2018	***-**-7767 - DONOVAN, WILLIAM	EUROPACIFIC GROWTH FUND-A	6,800.02	255.00	18.00	237.00	75.0	177.75
	ACCOUNT #: LSR012405	BUY 115 Units @59.0300						
02/01/2018	***-**-6790 - DRAKE, ROBERT	THE BOND FUND OF AMERICA-A	50.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 00086643595	BUY 4 Units @13.1800						
01/29/2018	***-**-7823 - BERTRAND,	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089897908	BUY 1 Units @57.3300						
01/29/2018	***-**-241R - BERTRAND, JOHN	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089941898	BUY 1 Units @57.3300						
02/01/2018	***-**-9408 - SNIZEK,	THE GROWTH FUND OF AMERICA-A	50.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 00085099445	BUY 1 Units @55.3700						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
02/07/2018	***-**-7767 - DONOVAN, WILLIAM	THE GROWTH FUND OF AMERICA-A	10,200.00	382.50	18.00	364.50	75.0	273.38
	ACCOUNT #: LSR012405	BUY 190 Units @53.5900						
02/01/2018	***-**-6790 - DRAKE, ROBERT	THE INCOME FUND OF AMERICA-A	75.00	2.81	0.00	2.81	75.0	2.11
	ACCOUNT #: 00086643595	BUY 3 Units @25.1800						
02/07/2018	***-**-7767 - DONOVAN, WILLIAM	THE INCOME FUND OF AMERICA-A	13,600.01	510.00	18.00	492.00	75.0	369.00
	ACCOUNT #: LSR012405	BUY 561 Units @24.2500						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$68,875.06</b>	<b>\$2,481.33</b>	<b>\$108.00</b>	<b>\$2,373.33</b>		<b>\$1,780.07</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
01/31/2018	***-**-0403 - DONOVAN, HEATHER	FRANKLIN GROWTH FUND CLASS A	0.00	14.71	0.00	14.71	75.0	11.03
	ACCOUNT #: 000060177003031	BUY 0 Units @0.0000						
01/31/2018	***-**-1787 - ANO, MICHAEL	FRANKLIN GROWTH FUND CLASS A	0.00	3.31	0.00	3.31	75.0	2.48
	ACCOUNT #: 000060177122300	BUY 0 Units @0.0000						
01/31/2018	***-**-9217 - POLITIS, DEBRA	FRANKLIN GROWTH FUND CLASS A	0.00	30.77	0.00	30.77	75.0	23.08
	ACCOUNT #: 000060177196078	BUY 0 Units @0.0000						
01/31/2018	***-**-9217 - POLITIS, DEBRA	FRANKLIN INCOME FUND CLASS A	0.00	7.71	0.00	7.71	75.0	5.78
	ACCOUNT #: 000090236333236	BUY 0 Units @0.0000						
01/31/2018	***-**-8321 - HIGGINS, CYNTHIA	FRANKLIN INCOME FUND CLASS A	0.00	13.23	0.00	13.23	75.0	9.92
	ACCOUNT #: 000090236456264	BUY 0 Units @0.0000						
01/31/2018	***-**-00RO - KELLER, BILLIE	FRANKLIN INCOME FUND CLASS A	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 000090237939359	BUY 0 Units @0.0000						
01/31/2018	***-**-9692 - KELLER, KENNETH	FRANKLIN INCOME FUND CLASS A	0.00	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 000090237957306	BUY 0 Units @0.0000						
01/31/2018	***-**-9500 - KELLER, BILLIE	FRANKLIN INCOME FUND CLASS A	0.00	1.12	0.00	1.12	75.0	0.84
	ACCOUNT #: 000090238038740	BUY 0 Units @0.0000						
01/31/2018	***-**-5371 - SOUTHFIELD,	FRANKLIN INCOME FUND CLASS A	0.00	12.14	0.00	12.14	75.0	9.11
	ACCOUNT #: 000090239092353	BUY 0 Units @0.0000						
01/31/2018	***-**-6469 - BENNETT, DAVID	FRANKLIN INCOME FUND CLASS A	0.00	2.98	0.00	2.98	75.0	2.24
	ACCOUNT #: 000090239535847	BUY 0 Units @0.0000						
01/31/2018	***-**-6469 - BENNETT, DAVID	FRANKLIN INCOME FUND CLASS A	0.00	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 000090239551269	BUY 0 Units @0.0000						
01/31/2018	***-**-481J - MERRILL, JASON	FRANKLIN NEW YORK TAX FREE CLASS C	0.00	15.05	0.00	15.05	75.0	11.29
	ACCOUNT #: 000000000426484	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/31/2018	***-**-3756 - GAGNE, JOHN	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	6.12	0.00	6.12	75.0	4.59
	ACCOUNT #: 000011516893059	BUY 0 Units @0.0000						
01/31/2018	***-**-8526 - SPINELLI, ELISE	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	2.15	0.00	2.15	75.0	1.61
	ACCOUNT #: 000011516901730	BUY 0 Units @0.0000						
01/31/2018	***-**-1787 - ANO, MICHAEL	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	2.36	0.00	2.36	75.0	1.77
	ACCOUNT #: 000011516948107	BUY 0 Units @0.0000						
01/31/2018	***-**-5559 - SEYMOUR, DEBORAH	FRANKLIN NY INTERM TERM TAX FREE A	0.00	0.81	0.00	0.81	75.0	0.61
	ACCOUNT #: 000015311057123	BUY 0 Units @0.0000						
01/31/2018	***-**-481J - MERRILL, JASON	FRANKLIN NY INTR-TRM TF INC FD	0.00	11.01	0.00	11.01	75.0	8.26
	ACCOUNT #: 000000000033504	BUY 0 Units @0.0000						
01/31/2018	***-**-5371 - SOUTHFIELD,	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	34.08	0.00	34.08	75.0	25.56
	ACCOUNT #: 000015815231665	BUY 0 Units @0.0000						
01/31/2018	***-**-0403 - DONOVAN, HEATHER	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	19.86	0.00	19.86	75.0	14.90
	ACCOUNT #: 000015813931694	BUY 0 Units @0.0000						
01/31/2018	***-**-0961 - BUDINE, JESSE	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	1.55	0.00	1.55	75.0	1.16
	ACCOUNT #: 000015814136194	BUY 0 Units @0.0000						
01/31/2018	***-**-4848 - DOGETT, AMY	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	2.72	0.00	2.72	75.0	2.04
	ACCOUNT #: 000015814148023	BUY 0 Units @0.0000						
01/31/2018	***-**-1787 - ANO, MICHAEL	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	9.74	0.00	9.74	75.0	7.31
	ACCOUNT #: 000015814180311	BUY 0 Units @0.0000						
01/31/2018	***-**-3034 - DRAKE, CATHERINE	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	1.11	0.00	1.11	75.0	0.83
	ACCOUNT #: 000015814181008	BUY 0 Units @0.0000						
01/31/2018	***-**-0608 - ANO, CHERYL	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	9.51	0.00	9.51	75.0	7.13
	ACCOUNT #: 000015814241626	BUY 0 Units @0.0000						
01/31/2018	***-**-9217 - POLITIS, DEBRA	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	61.09	0.00	61.09	75.0	45.82
	ACCOUNT #: 000015814353890	BUY 0 Units @0.0000						
01/31/2018	***-**-8321 - HIGGINS, CYNTHIA	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	39.07	0.00	39.07	75.0	29.30
	ACCOUNT #: 000015814404622	BUY 0 Units @0.0000						
01/31/2018	***-**-00RO - KELLER, BILLIE	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 000015814920058	BUY 0 Units @0.0000						
01/31/2018	***-**-9692 - KELLER, KENNETH	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	1.82	0.00	1.82	75.0	1.37
	ACCOUNT #: 000015814926861	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/31/2018	***-**-9500 - KELLER, BILLIE	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	2.24	0.00	2.24	75.0	1.68
	ACCOUNT #: 000015814954630	BUY 0 Units @0.0000						
01/31/2018	***-**-9692 - KELLER, KENNETH	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	2.27	0.00	2.27	75.0	1.70
	ACCOUNT #: 000015814954705	BUY 0 Units @0.0000						
01/31/2018	***-**-5371 - SOUTHFIELD,	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	22.35	0.00	22.35	75.0	16.76
	ACCOUNT #: 000015815282015	BUY 0 Units @0.0000						
01/31/2018	***-**-6469 - BENNETT, DAVID	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	5.45	0.00	5.45	75.0	4.09
	ACCOUNT #: 000015815518754	BUY 0 Units @0.0000						
01/31/2018	***-**-6469 - BENNETT, DAVID	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	0.29	0.00	0.29	75.0	0.22
	ACCOUNT #: 000015815524857	BUY 0 Units @0.0000						
01/31/2018	***-**-0403 - DONOVAN, HEATHER	FRANKLIN U.S. GOVERNMENT SECURITIES	0.00	2.09	0.00	2.09	75.0	1.57
	ACCOUNT #: 000010187500957	BUY 0 Units @0.0000						
01/31/2018	***-**-0403 - DONOVAN, HEATHER	FRK EQUITY INC FND - CL A	0.00	18.67	0.00	18.67	75.0	14.00
	ACCOUNT #: 000013912505964	BUY 0 Units @0.0000						
01/31/2018	***-**-0961 - BUDINE, JESSE	FRK EQUITY INC FND - CL A	0.00	0.76	0.00	0.76	75.0	0.57
	ACCOUNT #: 000013912529800	BUY 0 Units @0.0000						
01/31/2018	***-**-1787 - ANO, MICHAEL	FRK EQUITY INC FND - CL A	0.00	5.69	0.00	5.69	75.0	4.27
	ACCOUNT #: 000013912534634	BUY 0 Units @0.0000						
01/31/2018	***-**-0608 - ANO, CHERYL	FRK EQUITY INC FND - CL A	0.00	3.49	0.00	3.49	75.0	2.62
	ACCOUNT #: 000013912540587	BUY 0 Units @0.0000						
01/31/2018	***-**-1787 - ANO, MICHAEL	FRK HIGH INC FND - CL A	0.00	1.87	0.00	1.87	75.0	1.40
	ACCOUNT #: 000050117882954	BUY 0 Units @0.0000						
01/31/2018	***-**-0608 - ANO, CHERYL	FRK HIGH INC FND - CL A	0.00	2.90	0.00	2.90	75.0	2.18
	ACCOUNT #: 000050117905563	BUY 0 Units @0.0000						
01/31/2018	***-**-4848 - DOGGETT, AMY	FRK STRAT INC FND - CL A	0.00	0.46	0.00	0.46	75.0	0.35
	ACCOUNT #: 000019413185810	BUY 0 Units @0.0000						
01/31/2018	***-**-1787 - ANO, MICHAEL	FRK STRAT INC FND - CL A	0.00	1.87	0.00	1.87	75.0	1.40
	ACCOUNT #: 000019413201563	BUY 0 Units @0.0000						
01/31/2018	***-**-0608 - ANO, CHERYL	FRK STRAT INC FND - CL A	0.00	4.48	0.00	4.48	75.0	3.36
	ACCOUNT #: 000019413236403	BUY 0 Units @0.0000						
01/31/2018	***-**-8321 - HIGGINS, CYNTHIA	FRK STRAT INC FND - CL A	0.00	13.05	0.00	13.05	75.0	9.79
	ACCOUNT #: 000019413353537	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/31/2018	***-**-00RO - KELLER, BILLIE	FRK STRAT INC FND - CL A	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 000019413732266	BUY 0 Units @0.0000						
01/31/2018	***-**-9692 - KELLER, KENNETH	FRK STRAT INC FND - CL A	0.00	1.32	0.00	1.32	75.0	0.99
	ACCOUNT #: 000019413735729	BUY 0 Units @0.0000						
01/31/2018	***-**-9500 - KELLER, BILLIE	FRK STRAT INC FND - CL A	0.00	1.70	0.00	1.70	75.0	1.28
	ACCOUNT #: 000019413751292	BUY 0 Units @0.0000						
01/31/2018	***-**-5371 - SOUTHFIELD,	FRK STRAT INC FND - CL A	0.00	11.34	0.00	11.34	75.0	8.51
	ACCOUNT #: 000019413960182	BUY 0 Units @0.0000						
01/31/2018	***-**-6469 - BENNETT, DAVID	FRK STRAT INC FND - CL A	0.00	4.63	0.00	4.63	75.0	3.47
	ACCOUNT #: 000019414041778	BUY 0 Units @0.0000						
01/31/2018	***-**-6469 - BENNETT, DAVID	FRK STRAT INC FND - CL A	0.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 000019414044317	BUY 0 Units @0.0000						
01/31/2018	***-**-481J - MERRILL, JASON	FRK TEMP FOUNDING FUNDS ALLOC FND C	0.00	3.06	0.00	3.06	75.0	2.30
	ACCOUNT #: 000000000369520	BUY 0 Units @0.0000						
01/31/2018	***-**-4848 - DOGGETT, AMY	FRK TOTAL RETURN FND - CL A	0.00	0.43	0.00	0.43	75.0	0.32
	ACCOUNT #: 0000000000938415	BUY 0 Units @0.0000						
01/31/2018	***-**-1787 - ANO, MICHAEL	FRK TOTAL RETURN FND - CL A	0.00	4.74	0.00	4.74	75.0	3.56
	ACCOUNT #: 0000000000947097	BUY 0 Units @0.0000						
01/31/2018	***-**-0608 - ANO, CHERYL	FRK TOTAL RETURN FND - CL A	0.00	5.38	0.00	5.38	75.0	4.04
	ACCOUNT #: 0000000000963900	BUY 0 Units @0.0000						
01/31/2018	***-**-9217 - POLITIS, DEBRA	FRK TOTAL RETURN FND - CL A	0.00	9.48	0.00	9.48	75.0	7.11
	ACCOUNT #: 000000001004629	BUY 0 Units @0.0000						
01/31/2018	***-**-5371 - SOUTHFIELD,	FRK TOTAL RETURN FND - CL A	0.00	6.47	0.00	6.47	75.0	4.85
	ACCOUNT #: 000000001197399	BUY 0 Units @0.0000						
01/31/2018	***-**-00RO - KELLER, BILLIE	FRK UTIL FND - CL A	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 000070188039260	BUY 0 Units @0.0000						
01/31/2018	***-**-9692 - KELLER, KENNETH	FRK UTIL FND - CL A	0.00	0.55	0.00	0.55	75.0	0.41
	ACCOUNT #: 000070188041136	BUY 0 Units @0.0000						
01/31/2018	***-**-9500 - KELLER, BILLIE	FRK UTIL FND - CL A	0.00	0.70	0.00	0.70	75.0	0.53
	ACCOUNT #: 000070188050820	BUY 0 Units @0.0000						
01/31/2018	***-**-0403 - DONOVAN, HEATHER	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	9.38	0.00	9.38	75.0	7.04
	ACCOUNT #: 000000007175262	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/31/2018	***-**-0961 - BUDINE, JESSE	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.91	0.00	0.91	75.0	0.68
	ACCOUNT #: 000000007475698	BUY 0 Units @0.0000						
01/31/2018	***-**-4848 - DOGETT, AMY	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.83	0.00	0.83	75.0	0.62
	ACCOUNT #: 000000007485725	BUY 0 Units @0.0000						
01/31/2018	***-**-1787 - ANO, MICHAEL	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	3.08	0.00	3.08	75.0	2.31
	ACCOUNT #: 000000007508916	BUY 0 Units @0.0000						
01/31/2018	***-**-0608 - ANO, CHERYL	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	2.57	0.00	2.57	75.0	1.93
	ACCOUNT #: 000000007556347	BUY 0 Units @0.0000						
01/31/2018	***-**-9217 - POLITIS, DEBRA	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	24.00	0.00	24.00	75.0	18.00
	ACCOUNT #: 000000007691975	BUY 0 Units @0.0000						
01/31/2018	***-**-00RO - KELLER, BILLIE	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 000000008294530	BUY 0 Units @0.0000						
01/31/2018	***-**-9692 - KELLER, KENNETH	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 000000008301804	BUY 0 Units @0.0000						
01/31/2018	***-**-9500 - KELLER, BILLIE	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.97	0.00	0.97	75.0	0.73
	ACCOUNT #: 000000008332761	BUY 0 Units @0.0000						
01/31/2018	***-**-5371 - SOUTHFIELD,	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	12.30	0.00	12.30	75.0	9.23
	ACCOUNT #: 000000008780278	BUY 0 Units @0.0000						
01/31/2018	***-**-6469 - BENNETT, DAVID	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	4.99	0.00	4.99	75.0	3.74
	ACCOUNT #: 000000008962250	BUY 0 Units @0.0000						
01/31/2018	***-**-0961 - BUDINE, JESSE	TEMP FRGN FND - CL A	0.00	0.82	0.00	0.82	75.0	0.62
	ACCOUNT #: 000004978424895	BUY 0 Units @0.0000						
01/31/2018	***-**-0403 - DONOVAN, HEATHER	TEMP GLOBAL BOND FND - CL A	0.00	4.59	0.00	4.59	75.0	3.44
	ACCOUNT #: 000006982468899	BUY 0 Units @0.0000						
01/31/2018	***-**-0961 - BUDINE, JESSE	TEMP GLOBAL BOND FND - CL A	0.00	0.78	0.00	0.78	75.0	0.59
	ACCOUNT #: 000006983356424	BUY 0 Units @0.0000						
01/31/2018	***-**-4848 - DOGETT, AMY	TEMP GLOBAL BOND FND - CL A	0.00	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 000006983376554	BUY 0 Units @0.0000						
01/31/2018	***-**-1787 - ANO, MICHAEL	TEMP GLOBAL BOND FND - CL A	0.00	4.99	0.00	4.99	75.0	3.74
	ACCOUNT #: 000006983429330	BUY 0 Units @0.0000						
01/31/2018	***-**-3034 - DRAKE, CATHERINE	TEMP GLOBAL BOND FND - CL A	0.00	0.51	0.00	0.51	75.0	0.38
	ACCOUNT #: 000006983437097	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

February 12, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/31/2018	***-**-0608 - ANO, CHERYL	TEMP GLOBAL BOND FND - CL A	0.00	3.10	0.00	3.10	75.0	2.33
	ACCOUNT #: 000006983546669	BUY 0 Units @0.0000						
01/31/2018	***-**-9217 - POLITIS, DEBRA	TEMP GLOBAL BOND FND - CL A	0.00	26.68	0.00	26.68	75.0	20.01
	ACCOUNT #: 000006983894537	BUY 0 Units @0.0000						
01/31/2018	***-**-00RO - KELLER, BILLIE	TEMP GLOBAL BOND FND - CL A	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 000006985204145	BUY 0 Units @0.0000						
01/31/2018	***-**-9692 - KELLER, KENNETH	TEMP GLOBAL BOND FND - CL A	0.00	1.31	0.00	1.31	75.0	0.98
	ACCOUNT #: 000006985212585	BUY 0 Units @0.0000						
01/31/2018	***-**-9500 - KELLER, BILLIE	TEMP GLOBAL BOND FND - CL A	0.00	1.67	0.00	1.67	75.0	1.25
	ACCOUNT #: 000006985253074	BUY 0 Units @0.0000						
01/31/2018	***-**-5371 - SOUTHFIELD,	TEMP GLOBAL BOND FND - CL A	0.00	11.00	0.00	11.00	75.0	8.25
	ACCOUNT #: 000006985760625	BUY 0 Units @0.0000						
01/31/2018	***-**-6469 - BENNETT, DAVID	TEMP GLOBAL BOND FND - CL A	0.00	4.52	0.00	4.52	75.0	3.39
	ACCOUNT #: 000006985886089	BUY 0 Units @0.0000						
01/31/2018	***-**-6469 - BENNETT, DAVID	TEMP GLOBAL BOND FND - CL A	0.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 000006985890265	BUY 0 Units @0.0000						
01/31/2018	***-**-0608 - ANO, CHERYL	TEMPLETON GROWTH FUND CLASS A	0.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 000007077039626	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$565.02</b>	<b>\$0.00</b>	<b>\$565.02</b>		<b>\$423.84</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$68,875.06</b>	<b>\$3,046.35</b>	<b>\$108.00</b>	<b>\$2,938.35</b>		<b>\$2,203.91</b>



BROKER #: A36  
BRANCH #01016 - 16 - CASPER

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div></div>								
BROKER COMMISSION TOTALS								
					Broker Transactions		\$2,203.91	
					Split Transactions		\$0.00	
					Override Transactions		\$0.00	
					Adjustments		\$0.00	
					Payroll Draw		\$0.00	
					Base Salary		\$0.00	
					Prior Period Balance		\$0.00	
					FINRA Assessment		\$0.00	
					SIPC Assessment		\$0.00	
					Net Commission Earned		\$2,203.91	
					Year-to-date Earnings		\$1,806.76	