



Concorde Investment Services, LLC
COMMISSION STATEMENT
September 30, 2017

09/28/2017
Page No. 1

BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-DONAIS

BROKER #: BBJ
BRANCH: TRUWEALTH FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: NON-TRADED BDC</u>								
^09/08/2017	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	44.40	0.00	44.40	100.0	0.00
* NON-TRADED BDC SUBTOTAL *			\$0.00	\$44.40	\$0.00	\$44.40		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.44	0.00	0.44	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.44	0.00	0.44	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.42	0.00	0.42	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.44	0.00	0.44	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.39	0.00	0.39	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.30	0.00	0.30	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.36	0.00	0.36	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.36	0.00	0.36	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.50	0.00	0.50	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.50	0.00	0.50	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.50	0.00	0.50	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.50	0.00	0.50	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.50	0.00	0.50	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.25	0.00	0.25	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	1.12	0.00	1.12	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.97	0.00	0.97	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.17	0.00	0.17	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.17	0.00	0.17	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.17	0.00	0.17	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.68	0.00	0.68	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.68	0.00	0.68	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.68	0.00	0.68	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.68	0.00	0.68	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.68	0.00	0.68	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.54	0.00	0.54	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.50	0.00	0.50	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.50	0.00	0.50	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.70	0.00	0.70	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.70	0.00	0.70	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.70	0.00	0.70	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.70	0.00	0.70	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.70	0.00	0.70	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.29	0.00	0.29	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	1.68	0.00	1.68	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	1.38	0.00	1.38	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.17	0.00	0.17	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS ICA 529C	17.00	0.17	0.00	0.17	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.17	0.00	0.17	100.0	0.00
^09/01/2017	UNTIL AGE 21, MN/UTMA	AM FUNDS ICA A	0.00	0.72	0.00	0.72	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.73	0.00	0.73	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.73	0.00	0.73	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.73	0.00	0.73	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.73	0.00	0.73	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.80	0.00	0.80	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.60	0.00	0.60	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.55	0.00	0.55	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.55	0.00	0.55	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.72	0.00	0.72	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.72	0.00	0.72	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.72	0.00	0.72	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.72	0.00	0.72	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.30	0.00	0.30	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	1.56	0.00	1.56	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	1.36	0.00	1.36	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.17	0.00	0.17	100.0	0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS								
PRODUCT CATEGORY: MUTUAL FUNDS								
^09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	16.50	0.17	0.00	0.17	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.17	0.00	0.17	100.0	0.00
^09/01/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.76	0.00	0.76	100.0	0.00
^09/20/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	50.00	2.13	0.00	2.13	100.0	0.00
^09/01/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.38	0.00	0.38	100.0	0.00
^09/01/2017	RASMUSSEN, CLINTON	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.23	0.00	0.23	100.0	0.00
^09/20/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	50.00	2.13	0.00	2.13	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$500.00	\$39.90	\$0.00	\$39.90		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$500.00	\$84.30	\$0.00	\$84.30		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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