

## COMMISSION STATEMENT

November 30, 2017

FIRM 1-ACCOUNTS

BROKER #: 76

BRANCH: HOME OFFICE

, NY

## COMMISSION STATEMENT for FIRM 1-ACCOUNTS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
11/15/2017	00551427251	PLAN	PUTNAM 746933100	B	100.00	5.00	0.00	5.00	80.0	4.00
11/15/2017	00551427251	PLAN	PUTNAM 74676P102	B	100.00	5.00	0.00	5.00	80.0	4.00
* MUTUAL FUNDS SUBTOTAL *					\$200.00	\$10.00	\$0.00	\$10.00		\$8.00
PRODUCT CATEGORY: STOCKS										
11/22/2017	QGW005985	ROTHBEIN, SYLVIA	SQUARE INC CLASS A COM	S	-24,095.00	50.00	0.00	50.00	80.0	40.00
11/22/2017	QGW005985	ROTHBEIN, SYLVIA	SQUARE INC CLASS A COM	B	23,252.10	50.00	0.00	50.00	80.0	40.00
11/14/2017	QGW008369	FRIEDMAN, RICHARD	CBS CORP CLASS B	B	5,437.62	30.00	0.00	30.00	80.0	24.00
11/16/2017	QGW008369	FRIEDMAN, RICHARD	ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,340.88	110.00	0.00	110.00	80.0	88.00
11/14/2017	QGW012395	FRIEDMAN, RICHARD	CBS CORP CLASS B	B	5,471.72	30.00	0.00	30.00	80.0	24.00
11/16/2017	QGW012395	FRIEDMAN, RICHARD	ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,339.36	110.00	0.00	110.00	80.0	88.00
11/14/2017	QGW012403	FRIEDMAN, RICHARD	CBS CORP CLASS B	B	5,471.73	30.00	0.00	30.00	80.0	24.00
11/16/2017	QGW012403	FRIEDMAN, RICHARD	ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,339.42	110.00	0.00	110.00	80.0	88.00
11/13/2017	QGW014177	MORSE, SUSANNA	CBS CORP CLASS B	B	5,501.43	30.00	0.00	30.00	80.0	24.00
11/24/2017	QGW014177	MORSE, SUSANNA	ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,631.60	100.00	0.00	100.00	80.0	80.00
11/13/2017	QGW014193	MORSE, MICHAEL	CBS CORP CLASS B	B	5,507.37	30.00	0.00	30.00	80.0	24.00
11/24/2017	QGW014193	MORSE, MICHAEL	ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,631.60	100.00	0.00	100.00	80.0	80.00
11/13/2017	QGW014201	MORSE, DAVID	CBS CORP CLASS B	B	5,507.37	30.00	0.00	30.00	80.0	24.00
11/24/2017	QGW014201	MORSE, DAVID	ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,631.60	100.00	0.00	100.00	80.0	80.00
11/13/2017	QGW020547	FOSTER, ELEANORE	CBS CORP CLASS B	B	5,492.26	30.00	0.00	30.00	80.0	24.00
11/24/2017	QGW020547	FOSTER, ELEANORE	ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,631.60	100.00	0.00	100.00	80.0	80.00
11/13/2017	QGW020604	FOSTER, WILLIAM	CBS CORP CLASS B	B	5,492.34	30.00	0.00	30.00	80.0	24.00
11/24/2017	QGW020604	FOSTER, WILLIAM	ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,631.60	100.00	0.00	100.00	80.0	80.00
11/13/2017	QGW021115	ROTHBEIN, SYLVIA	CBS CORP CLASS B	B	5,494.24	30.00	0.00	30.00	80.0	24.00
11/24/2017	QGW021115	ROTHBEIN, SYLVIA	ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,631.60	100.00	0.00	100.00	80.0	80.00
11/07/2017	QGW021347	ROTHBEIN, HOWARD	SELECT SECTOR SPDR TRUST THE FINANC	B	26,787.00	28.25	0.00	28.25	80.0	22.60
11/13/2017	QGW021347	ROTHBEIN, HOWARD	CBS CORP CLASS B	B	5,438.02	30.00	0.00	30.00	80.0	24.00
11/24/2017	QGW021347	ROTHBEIN, HOWARD	ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,560.80	100.00	0.00	100.00	80.0	80.00
* STOCKS SUBTOTAL *					\$15,388.14	\$1,458.25	\$0.00	\$1,458.25		\$1,166.60

COMMISSION STATEMENT for FIRM 1-ACCOUNTS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
11/16/2017	QGW008369	FRIEDMAN, RICHARD	WI ENTERCOM COMMUNICATIONS CORP CL S	0.00	110.00	0.00	110.00	80.0	88.00
11/16/2017	QGW008369	FRIEDMAN, RICHARD	WI ENTERCOM COMMUNICATIONS CORP CL S	0.00	-110.00	0.00	-110.00	80.0	-88.00 C
11/16/2017	QGW012395	FRIEDMAN, RICHARD	WI ENTERCOM COMMUNICATIONS CORP CL S	0.00	110.00	0.00	110.00	80.0	88.00
11/16/2017	QGW012395	FRIEDMAN, RICHARD	WI ENTERCOM COMMUNICATIONS CORP CL S	0.00	-110.00	0.00	-110.00	80.0	-88.00 C
11/16/2017	QGW012403	FRIEDMAN, RICHARD	WI ENTERCOM COMMUNICATIONS CORP CL S	0.00	110.00	0.00	110.00	80.0	88.00
11/16/2017	QGW012403	FRIEDMAN, RICHARD	WI ENTERCOM COMMUNICATIONS CORP CL S	0.00	-110.00	0.00	-110.00	80.0	-88.00 C
<b>* OPTIONS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

10/31/2017	000010166297872	BENEDETTO,	FRANKLIN U.S. GOVERNMENT SECURITIES B	0.00	27.08	0.00	27.08	80.0	21.66
10/31/2017	000010181884585	WAGNER,	FRANKLIN U.S. GOVERNMENT SECURITIES B	0.00	0.94	0.00	0.94	80.0	0.75
11/09/2017	QGW008369	FRIEDMAN, RICHARD	ALLIANCEBERNSTEIN GROWTH A B	0.00	0.87	0.00	0.87	80.0	0.70
11/13/2017	QGW014177	MORSE, SUSANNA	FRANKLIN SMALL-MID CAP GROWTH FUND B	0.00	6.54	0.00	6.54	80.0	5.23
11/14/2017	QGW014177	MORSE, SUSANNA	MASS INVESTORS GROWTH STOCK FUND-A B	0.00	2.51	0.00	2.51	80.0	2.01
11/16/2017	QGW014177	MORSE, SUSANNA	PUTNAM 74680E101 B	0.00	0.90	0.00	0.90	80.0	0.72
11/14/2017	QGW021115	ROTHBEIN, SYLVIA	MFS EQUITY OPPORTUNITIES FUND CLASS B	0.00	59.33	0.00	59.33	80.0	47.46
11/16/2017	QGW021347	ROTHBEIN, HOWARD	OPPENHEIMER DEVELOPING MARKETS FUND B	0.00	33.50	0.00	33.50	80.0	26.80
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$131.67</b>	<b>\$0.00</b>	<b>\$131.67</b>		<b>\$105.33</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$15,588.14</b>	<b>\$1,599.92</b>	<b>\$0.00</b>	<b>\$1,599.92</b>		<b>\$1,279.93</b>

**ADJUSTMENTS**

11/30/2017		MWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00		-35.00
11/30/2017		MWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00		-2.00
<b>*** ADJUSTMENTS TOTAL ***</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-37.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,279.93
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-37.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,065.13
FINRA Assessment	\$-2.00
SIPC Assessment	\$-4.00

<b>Check Amount</b>	<b>\$171.80</b>
<b>Year-to-date Earnings</b>	<b>\$3,606.76</b>