

STATEMENT

July 20, 2018

NICK DREW
12721 Sawmill Rd Suite 105
SUITE 105
The Woodlands, TX 77380

BROKER #: 169
BRANCH:

COMMISSION STATEMENT for NICK DREW

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/29/2018	#01453145300	MATHEANGE	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.95
05/29/2018	#01453112920	MATHEANGE	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.95
05/30/2018	#01433683800	SAILCHOLL	FA 529 EQUITY GROWTH PORT-CL A	150.00	3.75	95.0	0.00	3.56	3.56
06/01/2018	#03900207205	KATE ASHLEY	FA 529 DIV. INTERNATIONAL	50.00	0.50	95.0	0.00	0.48	0.48
06/01/2018	#03900207204	KATE ASHLEY	FA 529 DIV. INTERNATIONAL	50.00	0.50	95.0	0.00	0.48	0.48
06/01/2018	#03900207203	KATE ASHLEY	FA 529 DIV. INTERNATIONAL	50.00	0.50	95.0	0.00	0.48	0.48
06/01/2018	#03900207202	KATE ASHLEY	FA 529 DIV. INTERNATIONAL	50.00	0.50	95.0	0.00	0.48	0.48
06/01/2018	#01489928364	MORGAMONT	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.95
06/01/2018	#01489928307	MORGAMONT	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.95
06/01/2018	#01482268297	WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.48
06/01/2018	#01482268271	WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.48
06/01/2018	#01482268248	WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.48
06/01/2018	#01475342802	HOPPERS,	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	1.90
06/01/2018	#01474968060	MORGAN, TIFFANY	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.95
06/01/2018	#01467787535	RAYBURN,	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.48
06/01/2018	#01462130194	CRASSMELI	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	1.90
06/01/2018	#01490487806	BYERS, JUSTIN	FIDELITY GROWTH OP	50.00	0.50	95.0	0.00	0.48	0.48
06/13/2018	#	HENDERSON, JUSTIN	FIDELITY ADVISOR INTL SMALL CAP INS	48,522.09	0.00	95.0	0.00	0.00	0.00
06/13/2018	#	HENDERSON, JUSTIN	FIDELITY ADVISOR INTL SMALL CAP INS	81,249.09	0.00	95.0	0.00	0.00	0.00
06/13/2018	#	HENDERSON, JUSTIN	FIDELITY ADVISOR INTL SMALL CAP INS	34,462.42	0.00	95.0	0.00	0.00	0.00
06/13/2018	#	HENDERSON, JUSTIN	NUVEEN INTERNATIONAL GROWTH FUND CL	35,000.00	0.00	95.0	0.00	0.00	0.00
06/13/2018	#	HENDERSON, JUSTIN	NUVEEN INTERNATIONAL GROWTH FUND CL	50,000.00	0.00	95.0	0.00	0.00	0.00
06/13/2018	#	HENDERSON, JUSTIN	NUVEEN INTERNATIONAL GROWTH FUND CL	53,000.00	0.00	95.0	0.00	0.00	0.00
06/15/2018	#01446906354	SCHLANSE,	FA 529 EQUITY GROWTH PORT-CL A	50.00	1.50	95.0	0.00	1.43	1.43
06/15/2018	#01486464421	VINEYARD,	FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	2.38
06/15/2018	#01484284672	CRASSMELI	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	1.90
06/15/2018	#01481917423	SCHLANSE,	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.48
06/15/2018	#01475344956	HOPPEJON	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	1.90

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/15/2018	#01467602163	ADDICKS, FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
06/15/2018	#01466752647	ADDICKS, FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
06/18/2018	#	FIDELITY ADVISOR INTL SMALL CAP INS	11,616.02	0.00	95.0	0.00	0.00	0.00	0.00
06/26/2018	#01453112920	MATHEANGE FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
06/26/2018	#01453145300	MATHEANGE FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
* MUTUAL FUNDS SUBTOTAL *			\$316,799.62	\$32.75		\$0.00	\$31.18	\$0.00	\$31.18
<u>PRODUCT CATEGORY: STOCKS</u>									
06/12/2018	# BUSKING, RYAN	POWERSHARES EXCHAN CEF INCOM COMPOS	6,942.00	0.00	85.0	0.00	0.00	0.00	0.00
06/18/2018	# HENDERSON, JUSTIN	ISHARES TRUST S&P MIDCAP 400 GROWTH	32,417.00	0.00	85.0	0.00	0.00	0.00	0.00
06/18/2018	# HENDERSON, JUSTIN	POWERSHARES EXCHAN CEF INCOM COMPOS	36,671.84	0.00	85.0	0.00	0.00	0.00	0.00
* STOCKS SUBTOTAL *			\$76,030.84	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/05/0018	#826081	BRADLEY, SE MASSMUTUAL	0.00	6.00	95.0	0.00	5.70	0.00	5.70
04/05/0018	#826081	BRADLEY, SE MASSMUTUAL	0.00	5.70	95.0	0.00	5.42	0.00	5.42
05/05/0018	#826081	BRADLEY, SE MASSMUTUAL	0.00	6.00	95.0	0.00	5.70	0.00	5.70
05/05/0018	#826081	BRADLEY, SE MASSMUTUAL	0.00	5.70	95.0	0.00	5.42	0.00	5.42
06/05/0018	#826081	BRADLEY, SE MASSMUTUAL	0.00	6.00	95.0	0.00	5.70	0.00	5.70
06/05/0018	#826081	BRADLEY, SE MASSMUTUAL	0.00	5.70	95.0	0.00	5.42	0.00	5.42
05/10/0201	#826081	BRADLEY, SE MASSMUTUAL	0.00	6.00	95.0	0.00	5.70	0.00	5.70
05/10/0201	#826081	BRADLEY, SE MASSMUTUAL	0.00	5.70	95.0	0.00	5.42	0.00	5.42
06/10/0201	#826081	BRADLEY, SE MASSMUTUAL	0.00	6.00	95.0	0.00	5.70	0.00	5.70
06/10/0201	#826081	BRADLEY, SE MASSMUTUAL	0.00	5.70	95.0	0.00	5.42	0.00	5.42
06/10/0201	#826081	BRADLEY, SE MASSMUTUAL	0.00	0.30	95.0	0.00	0.29	0.00	0.29
06/04/2018	#016366081	KARBER, JEANNIE NATIONWIDE DESTINATION	200,000.00	14,500.00	95.0	0.00	13,775.00	0.00	13,775.00
* VARIABLE ANNUITIES SUBTOTAL *			\$200,000.00	\$14,558.80		\$0.00	\$13,830.89	\$0.00	\$13,830.89
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00087957389	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.32	95.0	0.00	5.05	0.00	5.05
06/01/2018	#00073658761	STOVALL, BLAKE NEW PERSPECTIVE FUND-529A	0.00	10.67	95.0	0.00	10.14	0.00	10.14
06/01/2018	#00073658761	STOVALL, BLAKE SMALLCAP WORLD FUND-529A	0.00	12.80	95.0	0.00	12.16	0.00	12.16
06/01/2018	#00073658761	STOVALL, BLAKE THE GROWTH FUND OF AMERICA-529A	0.00	11.11	95.0	0.00	10.55	0.00	10.55
06/01/2018	#00087957389	THE GROWTH FUND OF AMERICA-529C	0.00	13.93	95.0	0.00	13.23	0.00	13.23
06/07/2018	#01473861464	FA 529 DIV. INTERNATIONAL	0.00	1.81	95.0	0.00	1.72	0.00	1.72
06/07/2018	#00517292975	VINEYARD, FA 529 DIVERSIFIED INTL PORT-CL A	0.00	5.19	95.0	0.00	4.93	0.00	4.93
06/07/2018	#00517292975	VINEYARD, FA 529 DIVIDEND GROWTH PORT-CL A	0.00	10.21	95.0	0.00	9.70	0.00	9.70

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/07/2018	#01447784933	BEDORE, FA 529	DIVIDEND GROWTH PORT-CL A	0.00	12.10	95.0	0.00	11.50	11.50
06/07/2018	#01433683800	SAILCHOLL FA 529	EQUITY GROWTH PORT-CL A	0.00	14.45	95.0	0.00	13.73	13.73
06/07/2018	#01446906354	SCHLANSE, FA 529	EQUITY GROWTH PORT-CL A	0.00	1.64	95.0	0.00	1.56	1.56
06/07/2018	#01473861464	FA 529	INFLATION PROTECTED BD PORT-	0.00	2.96	95.0	0.00	2.81	2.81
06/07/2018	#01466752647	ADDICKS, FA 529	INTERMED BOND PORT-CL A	0.00	0.38	95.0	0.00	0.36	0.36
06/07/2018	#00517292975	VINEYARD, FA 529	MID CAP PORT-CL A	0.00	2.91	95.0	0.00	2.76	2.76
06/07/2018	#00517624490	BROWN, FA 529	NEW INSIGHTS PORT-CL A	0.00	37.12	95.0	0.00	35.26	35.26
06/07/2018	#01447651777	MCELHOE, BENE FA 529	NEW INSIGHTS PORT-CL A	0.00	4.75	95.0	0.00	4.51	4.51
06/07/2018	#01453112920	MATHEANGE FA 529	NEW INSIGHTS PORT-CL A	0.00	2.50	95.0	0.00	2.38	2.38
06/07/2018	#01453145300	MATHEANGE FA 529	NEW INSIGHTS PORT-CL A	0.00	2.50	95.0	0.00	2.38	2.38
06/07/2018	#01462130194	CRASSMELI FA 529	NEW INSIGHTS PORT-CL A	0.00	0.81	95.0	0.00	0.77	0.77
06/07/2018	#01466752647	ADDICKS, FA 529	NEW INSIGHTS PORT-CL A	0.00	1.46	95.0	0.00	1.39	1.39
06/07/2018	#01467602163	ADDICKS, FA 529	NEW INSIGHTS PORT-CL A	0.00	0.41	95.0	0.00	0.39	0.39
06/07/2018	#01467787535	RAYBURN, FA 529	NEW INSIGHTS PORT-CL A	0.00	2.64	95.0	0.00	2.51	2.51
06/07/2018	#01483572945	RAYBURN, FA 529	NEW INSIGHTS PORT-CL A	0.00	0.28	95.0	0.00	0.27	0.27
06/07/2018	#01453112920	MATHEANGE FA 529	NEW INSIGHTS PORT-CL C	0.00	7.78	95.0	0.00	7.39	7.39
06/07/2018	#01453145300	MATHEANGE FA 529	NEW INSIGHTS PORT-CL C	0.00	7.78	95.0	0.00	7.39	7.39
06/07/2018	#01462130194	CRASSMELI FA 529	NEW INSIGHTS PORT-CL C	0.00	12.03	95.0	0.00	11.43	11.43
06/07/2018	#01466752647	ADDICKS, FA 529	NEW INSIGHTS PORT-CL C	0.00	8.07	95.0	0.00	7.67	7.67
06/07/2018	#01467602163	ADDICKS, FA 529	NEW INSIGHTS PORT-CL C	0.00	12.81	95.0	0.00	12.17	12.17
06/07/2018	#01467787535	RAYBURN, FA 529	NEW INSIGHTS PORT-CL C	0.00	3.01	95.0	0.00	2.86	2.86
06/07/2018	#01473861464	FA 529	NEW INSIGHTS PORT-CL C	0.00	3.98	95.0	0.00	3.78	3.78
06/07/2018	#01474968060	MORGAN, TIFFANY FA 529	NEW INSIGHTS PORT-CL C	0.00	6.88	95.0	0.00	6.54	6.54
06/07/2018	#01475342802	HOPPERS, FA 529	NEW INSIGHTS PORT-CL C	0.00	10.47	95.0	0.00	9.95	9.95
06/07/2018	#01475344956	HOPPEJON FA 529	NEW INSIGHTS PORT-CL C	0.00	12.70	95.0	0.00	12.07	12.07
06/07/2018	#01481917423	SCHLANSE, FA 529	NEW INSIGHTS PORT-CL C	0.00	1.68	95.0	0.00	1.60	1.60
06/07/2018	#01482268248	WHITE, JESSE FA 529	NEW INSIGHTS PORT-CL C	0.00	19.54	95.0	0.00	18.56	18.56
06/07/2018	#01482268271	WHITE, JESSE FA 529	NEW INSIGHTS PORT-CL C	0.00	1.58	95.0	0.00	1.50	1.50
06/07/2018	#01482268297	WHITE, JESSE FA 529	NEW INSIGHTS PORT-CL C	0.00	1.58	95.0	0.00	1.50	1.50
06/07/2018	#01484284672	CRASSMELI FA 529	NEW INSIGHTS PORT-CL C	0.00	5.04	95.0	0.00	4.79	4.79
06/07/2018	#01486464421	VINEYARD, FA 529	NEW INSIGHTS PORT-CL C	0.00	8.77	95.0	0.00	8.33	8.33
06/07/2018	#01489928307	MORGAMONT FA 529	NEW INSIGHTS PORT-CL C	0.00	0.10	95.0	0.00	0.10	0.10
06/07/2018	#01489928364	MORGAMONT FA 529	NEW INSIGHTS PORT-CL C	0.00	0.10	95.0	0.00	0.10	0.10
06/07/2018	#01473861464	FA 529	SMALL CAP PORTFOLIO - CLASS	0.00	1.99	95.0	0.00	1.89	1.89
06/07/2018	#01447608090	MCELHOE, BENE FMR 31580R509		0.00	10.44	95.0	0.00	9.92	9.92
06/07/2018	#01447651777	MCELHOE, BENE FMR 31580R509		0.00	6.47	95.0	0.00	6.15	6.15

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/11/2018 #		DAIN TRAILER	0.00	5.32	95.0	0.00	5.05	0.00	5.05
06/11/2018 #	BEDORE, ROBERT	DAIN TRAILER	0.00	2.02	95.0	0.00	1.92	0.00	1.92
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$308.09		\$0.00	\$292.72	\$0.00	\$292.72
*** BROKER TRANSACTIONS TOTAL ***			\$592,830.46	\$14,899.64		\$0.00	\$14,154.79	\$0.00	\$14,154.79

ADJUSTMENTS

07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
07/20/2018	ADMIN FEE: ADMIN FEE	-390.00
07/20/2018	FEDEX: FEDEX - JANET COOK	-33.72
07/20/2018	FEDEX: FEDEX - TRUST CO OF AMERICA	-10.66
07/20/2018	MISCELLANEOUS: MISCELLANEOUS	-236.50
	CHARLESTON PLACE HOTEL	
*** ADJUSTMENTS TOTAL ***		\$-849.88

BROKER COMMISSION TOTALS

Broker Transactions	\$14,154.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-849.88
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$13,304.91
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