



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 17, 2017

01/13/2017
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DAVID MIKE BREEDLOVE
1024 Pruitt Place

Tyler, TX 75703

BROKER #: B132
BRANCH: DAVID MICHAEL BREEDL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
12/22/2016	LADNER TRUST	TOTAL INCOME & REAL ESTATE FUND A	583.33	29.17	90.0	26.25	0.00	26.25
* INTERVAL FUNDS SUBTOTAL *			\$583.33	\$29.17		\$26.25	\$0.00	\$26.25
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	KENNEDY, EVELYN	FIDELITY ENERGY T	0.00	2.32	90.0	2.09	0.00	2.09
/ /	KENNEDY, EVELYN	FIDELITY EQUITY GROWTH T	0.00	6.46	90.0	5.81	0.00	5.81
01/10/2017	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	200,000.00	4,000.00	90.0	3,600.00	22.00	3,578.00
* MUTUAL FUNDS SUBTOTAL *			\$200,000.00	\$4,008.78		\$3,607.90	\$22.00	\$3,585.90
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	BREEDLOVE, TOMMYE	JNL ELITE ACCESS W/ LIQUIDITY	3,812.56	3.18	90.0	2.86	0.00	2.86
12/31/2016	SPEED, LINDA	JNL PERSPECTIVE II 05/05	41,229.97	34.36	90.0	30.92	0.00	30.92
12/31/2016	CAPEL, JIMMY	JNL PERSPECTIVE II 05/05	41,442.47	34.54	90.0	31.09	0.00	31.09
12/31/2016	KELLY, GENE	JNL PERSPECTIVE INVESTOR VUL	235,243.08	88.22	90.0	79.40	0.00	79.40
12/31/2016	KELLY, RACHELL	JNL PERSPECTIVE INVESTOR VUL	114,462.98	42.92	90.0	38.63	0.00	38.63
12/31/2016	KENNEDY, EVELYN	PRU AS ADVISOR PLAN II - 1996	1,446.02	0.90	90.0	0.81	0.00	0.81
12/31/2016	KENNEDY, EVELYN	PRU AS ADVISOR PLAN II - 1996	1,446.02	0.90	90.0	0.81	0.00	0.81
12/31/2016	MARTIN, KATHRYN	PRU AS LIFEVEST II	157,173.55	294.70	90.0	265.23	0.00	265.23
12/31/2016	MARTIN, KATHRYN	PRU AS LIFEVEST II	157,173.55	98.23	90.0	88.41	0.00	88.41
12/31/2016	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	145,086.63	90.68	90.0	81.61	0.00	81.61
12/31/2016	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	145,086.63	90.68	90.0	81.61	0.00	81.61
12/31/2016	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	145,086.63	90.68	90.0	81.61	0.00	81.61
12/31/2016	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	145,086.63	90.68	90.0	81.61	0.00	81.61
12/31/2016	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	145,086.63	90.68	90.0	81.61	0.00	81.61
12/31/2016	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	145,086.63	90.68	90.0	81.61	0.00	81.61
12/31/2016	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	274,510.42	171.57	90.0	154.41	0.00	154.41
12/31/2016	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	145,086.63	90.68	90.0	81.61	0.00	81.61

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	145,086.63	90.68	90.0	81.61	0.00	81.61
12/31/2016	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	159,790.58	99.87	90.0	89.88	0.00	89.88
12/31/2016	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	159,790.58	99.87	90.0	89.88	0.00	89.88
12/31/2016	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	190,318.91	118.95	90.0	107.06	0.00	107.06
12/31/2016	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	159,790.58	99.87	90.0	89.88	0.00	89.88
12/31/2016	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	25,102.65	15.69	90.0	14.12	0.00	14.12
12/31/2016	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	25,102.65	15.69	90.0	14.12	0.00	14.12
12/31/2016	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	23,509.85	14.69	90.0	13.22	0.00	13.22
12/31/2016	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	23,509.85	14.69	90.0	13.22	0.00	13.22
12/31/2016	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	132,957.69	83.10	90.0	74.79	0.00	74.79
12/31/2016	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	132,957.69	83.10	90.0	74.79	0.00	74.79
12/31/2016	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	26,859.55	16.79	90.0	15.11	0.00	15.11
12/31/2016	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	26,859.55	16.79	90.0	15.11	0.00	15.11
12/31/2016	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	13,502.06	8.44	90.0	7.60	0.00	7.60
12/31/2016	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	13,502.06	8.44	90.0	7.60	0.00	7.60
12/31/2016	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	48,803.89	30.50	90.0	27.45	0.00	27.45
12/31/2016	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	48,803.89	30.50	90.0	27.45	0.00	27.45
12/31/2016	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	36,116.23	22.57	90.0	20.31	0.00	20.31
12/31/2016	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	36,116.23	22.57	90.0	20.31	0.00	20.31
12/31/2016	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	23,744.40	14.84	90.0	13.36	0.00	13.36
12/31/2016	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	23,744.40	14.84	90.0	13.36	0.00	13.36
12/31/2016	BURNS, HENRY	PRU PREMIER RETIREMENT C	11,596.47	28.99	90.0	26.09	0.00	26.09
12/31/2016	BREEDLOVE, DAVID	PRU PREMIER RETIREMENT C	11,733.08	29.33	90.0	26.40	0.00	26.40
* VARIABLE ANNUITIES SUBTOTAL *			\$3,542,846.50	\$2,385.08		\$2,146.56	\$0.00	\$2,146.56
*** BROKER TRANSACTIONS TOTAL ***			\$3,743,429.83	\$6,423.03		\$5,780.71	\$22.00	\$5,758.71

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
01/17/2017		*E & O INSURANCE: CURRENT MONTH	0.00					-300.00
01/17/2017		*MARKETING LIBRARY: MARKETING	0.00					-20.00
01/17/2017		*PERSHING: MF PROSPECTUS	0.00					-2.69
01/17/2017		*TECH: ALBRIDGE FULL	0.00					-100.00
01/17/2017		*TECHNOLOGY: ADMIN - KAY BREEDLOVE	0.00					-100.00
01/17/2017		*TECHNOLOGY: ADMIN - LESLIE DALY	0.00					-100.00
01/17/2017		*TECHNOLOGY: ADMIN - RHODA	0.00					-100.00
01/17/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-997.69	\$0.00	\$-997.69

BROKER COMMISSION TOTALS

Broker Transactions	\$5,758.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-997.69
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-664.36
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,096.66
Year-to-date Gross	\$6,423.03
Year-to-date Earnings	\$5,758.71

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