



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
 August 15, 2017

08/14/2017  
 Page No. 1

TIMOTHY STAUFFER  
 333 Fiddlers Cove

BROKER #: S118  
 BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
07/21/2017	SMITH REV TRUST, ROY & KAY	COTTONWOOD RESIDENTIAL 2016 PREFR'D	30,000.00	2,100.00	0.00	2,100.00	90.0	1,890.00
07/21/2017	SMITH REV TRUST, ROY & KAY	COTTONWOOD RESIDENTIAL 2016 PREFR'D	-30,000.00	-2,100.00	0.00	-2,100.00	90.0	-1,890.00
* PRIVATE PLACEMENT SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/01/2017	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
* MUTUAL FUNDS SUBTOTAL *			\$215.00	\$2.15	\$0.00	\$2.15		\$1.94
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/31/2017	WILD, WILLIAM	JNL ELITE ACCESS	97,849.35	40.77	0.00	40.77	90.0	36.69
07/31/2017	NUTTLE, ALLEN	JNL ELITE ACCESS	27,318.50	5.69	0.00	5.69	90.0	5.12
07/31/2017	MOHR, AMY	JNL ELITE ACCESS	45,164.15	9.41	0.00	9.41	90.0	8.47
07/31/2017	LARKINS, TROY	JNL ELITE ACCESS	47,631.52	9.92	0.00	9.92	90.0	8.93
07/31/2017	ZEHR, PAUL &	JNL ELITE ACCESS	29,956.46	6.24	0.00	6.24	90.0	5.62
07/31/2017	ZIMMERMAN, CATHERINE	JNL PERSPECTIVE	27,686.90	5.77	0.00	5.77	90.0	5.19
07/31/2017	COLEMAN, CHERYL	JNL PERSPECTIVE	33,978.75	7.08	0.00	7.08	90.0	6.37
07/31/2017	FLETCHER, MONA	JNL PERSPECTIVE II	28,548.65	11.90	0.00	11.90	90.0	10.71
07/31/2017	LEDERMAN, JOANNE	JNL PERSPECTIVE II	321,259.03	133.86	0.00	133.86	90.0	120.47
07/31/2017	WILD, CHRISTOPHER	JNL PERSPECTIVE II	14,142.38	5.89	0.00	5.89	90.0	5.30
07/31/2017	MIDDLETON, LUCINDA	JNL PERSPECTIVE II 04/14	82,845.34	34.52	0.00	34.52	90.0	31.07
07/31/2017	MIDDLETON, JAMES	JNL PERSPECTIVE II 04/14	80,897.30	33.71	0.00	33.71	90.0	30.34
07/31/2017	BERKSHIRE, LAURA	JNL PERSPECTIVE II 04/14	145,688.40	60.70	0.00	60.70	90.0	54.63
07/31/2017	HOLLE, DONELLE	JNL PERSPECTIVE II 04/14	78,173.54	32.57	0.00	32.57	90.0	29.31
07/31/2017	SMITH REV TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	623,983.48	259.99	0.00	259.99	90.0	233.99
07/31/2017	ST	JNL PERSPECTIVE II 04/14	214,370.65	89.32	0.00	89.32	90.0	80.39
07/31/2017	BYERLEY, WAYNE	JNL PERSPECTIVE II 05/05	213,539.64	88.97	0.00	88.97	90.0	80.07
07/31/2017	ZAHM SR, RICHARD	JNL PERSPECTIVE II 05/05	142,180.97	59.24	0.00	59.24	90.0	53.32

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/31/2017	CHRIST, LINDA	JNL PERSPECTIVE II 05/05	141,573.91	58.99	0.00	58.99	90.0	53.09
07/31/2017	PARKER, DIANA	JNL PERSPECTIVE II 05/05	85,123.33	35.47	0.00	35.47	90.0	31.92
07/31/2017	PARKER, MICHAEL	JNL PERSPECTIVE II 05/05	93,544.87	38.98	0.00	38.98	90.0	35.08
07/31/2017	RAMIREZ, JOAQUIN	JNL PERSPECTIVE II 05/05	46,507.37	19.38	0.00	19.38	90.0	17.44
07/31/2017	STUCKY, MIKE	JNL PERSPECTIVE II 05/05	87,400.99	36.42	0.00	36.42	90.0	32.78
07/31/2017	GRABER, AMOS	JNL PERSPECTIVE II 05/05	46,285.00	19.29	0.00	19.29	90.0	17.36
07/31/2017	SMITH, KAREN	JOHN HANCOCK VENTURE 2006	152,714.58	127.26	0.00	127.26	90.0	114.53
07/31/2017	BLANCHARD, SUZETTE	JOHN HANCOCK VENTURE III	67,180.77	55.98	0.00	55.98	90.0	50.38
07/31/2017	SITCLER, PATRICIA	JOHN HANCOCK VENTURE III	104,898.55	87.42	0.00	87.42	90.0	78.68
07/31/2017	SHERRILL, TIMOTHY	JOHN HANCOCK VENTURE III	208,281.10	173.57	0.00	173.57	90.0	156.21
07/31/2017	SOBERALSKI, EDWARD	JOHN HANCOCK VENTURE III	195,407.24	162.84	0.00	162.84	90.0	146.56
07/28/2017	CROWDER, CHAR	PAC LIFE INNOVATIVE SELECT	0.00	102.71	0.00	102.71	90.0	92.44
07/28/2017	STAUFFER, PATTY	SBL ADVISORDESIGNS VA	19,470.85	16.23	0.00	16.23	90.0	14.61
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$3,503,603.57</b>	<b>\$1,830.09</b>	<b>\$0.00</b>	<b>\$1,830.09</b>		<b>\$1,647.07</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

07/19/2017	ZEHR, BERTHA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.50	0.00	6.50	90.0	5.85
07/19/2017	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.50	0.00	6.50	90.0	5.85
07/19/2017	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	25.82	0.00	25.82	90.0	23.24
07/19/2017	BYERLEY, WAYNE & KATHLEE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	26.46	0.00	26.46	90.0	23.81
07/19/2017	ZAHM, CHRISTINE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.28	0.00	5.28	90.0	4.75
07/19/2017	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.12	0.00	4.12	90.0	3.71
07/19/2017	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	36.11	0.00	36.11	90.0	32.50
07/19/2017	SCHROEDER, JEFFERY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.00	0.00	6.00	90.0	5.40
07/19/2017	PIERSON III, CARL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.88	0.00	3.88	90.0	3.49
07/19/2017	PIERSON, JUDITH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.88	0.00	3.88	90.0	3.49
07/18/2017	MOHR, BROGAN	INVESCO AMERICAN FRANCHISE A	0.00	0.37	0.00	0.37	90.0	0.33
07/18/2017	MOHR, AMY	INVESCO AMERICAN FRANCHISE A	0.00	2.30	0.00	2.30	90.0	2.07
07/18/2017	MOHR, AMY	INVESCO UTILITIES A	0.00	1.94	0.00	1.94	90.0	1.75
07/18/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	4.93	0.00	4.93	90.0	4.44
07/18/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	4.59	0.00	4.59	90.0	4.13
07/18/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.82	0.00	4.82	90.0	4.34
07/18/2017	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.08	0.00	0.08	90.0	0.07
07/20/2017	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.14	0.00	1.14	90.0	1.03

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
07/20/2017	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	2.14	0.00	2.14	90.0	1.93
07/20/2017	STAUFFER, KAREN	TRANSAMERICA TACTICAL INCOME C	0.00	8.55	0.00	8.55	90.0	7.70
07/20/2017	DINGER, WILLIAM	TRANSAMERICA TACTICAL INCOME C	0.00	8.04	0.00	8.04	90.0	7.24
07/20/2017	ZEHR, PAUL	TRANSAMERICA TACTICAL INCOME C	0.00	8.86	0.00	8.86	90.0	7.97
07/20/2017	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	8.55	0.00	8.55	90.0	7.70
07/20/2017	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	10.23	0.00	10.23	90.0	9.21
07/20/2017	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	6.75	0.00	6.75	90.0	6.08
07/20/2017	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.59	0.00	6.59	90.0	5.93
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$204.43</b>	<b>\$0.00</b>	<b>\$204.43</b>		<b>\$184.01</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$3,503,818.57</b>	<b>\$2,036.67</b>	<b>\$0.00</b>	<b>\$2,036.67</b>		<b>\$1,833.02</b>

**ADJUSTMENTS**

08/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-91.11
08/15/2017		*TECHNOLOGY: ADMIN - KAREN STAUFFER	0.00					-100.00
08/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-766.11</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,833.02
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-766.11
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,066.91
Year-to-date Gross	\$92,625.95
Year-to-date Earnings	\$82,568.04