



Concorde Investment Services, LLC

08/29/2017
Page No. 1

COMMISSION STATEMENT

August 31, 2017

JEFFREY KAUFMAN
801 Gray Oak Drive

BROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/10/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	91.07	0.91	0.00	0.91	90.0	0.82
08/10/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	91.07	0.91	0.00	0.91	90.0	0.82
08/10/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
08/10/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
08/10/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	42.55	0.43	0.00	0.43	90.0	0.39
08/10/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	56.74	0.57	0.00	0.57	90.0	0.51
08/10/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.31	0.54	0.00	0.54	90.0	0.49
08/10/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.31	0.54	0.00	0.54	90.0	0.49
08/10/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	23.21	0.23	0.00	0.23	90.0	0.21
08/10/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	23.21	0.23	0.00	0.23	90.0	0.21
08/10/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
08/10/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
08/10/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	43.56	0.44	0.00	0.44	90.0	0.40
08/10/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	43.56	0.44	0.00	0.44	90.0	0.40
08/10/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	134.83	1.35	0.00	1.35	90.0	1.22
08/10/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	314.60	3.15	0.00	3.15	90.0	2.84
08/10/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	46.89	0.47	0.00	0.47	90.0	0.42
08/10/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	46.89	0.47	0.00	0.47	90.0	0.42
08/15/2017	BOWERSOX, ZONA	AM FUNDS AMERICAN BALANCED A	67,051.80	2,514.44	0.00	2,514.44	90.0	2,263.00
08/15/2017	BOWERSOX, ROVEY	AM FUNDS AMERICAN BALANCED A	67,051.81	2,514.44	0.00	2,514.44	90.0	2,263.00
08/18/2017	CO/TOD	AM FUNDS AMERICAN BALANCED A	50,000.00	1,875.00	0.00	1,875.00	90.0	1,687.50
08/24/2017	KREKLOW, RYAN	AM FUNDS AMERICAN BALANCED C	1,600.00	16.00	0.00	16.00	90.0	14.40
08/10/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	24.00	0.24	0.00	0.24	90.0	0.22
08/10/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	64.00	0.64	0.00	0.64	90.0	0.58
08/10/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	142.02	1.42	0.00	1.42	90.0	1.28
08/10/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	142.02	1.42	0.00	1.42	90.0	1.28

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/10/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
08/10/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
08/10/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	36.07	0.36	0.00	0.36	90.0	0.32
08/10/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	36.07	0.36	0.00	0.36	90.0	0.32
08/10/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	20.56	0.21	0.00	0.21	90.0	0.19
08/10/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	20.56	0.21	0.00	0.21	90.0	0.19
08/07/2017	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND A	200.00	10.00	0.00	10.00	90.0	9.00
08/07/2017	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	184.62	3.69	0.00	3.69	90.0	3.32
08/10/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	18.00	0.18	0.00	0.18	90.0	0.16
08/10/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	48.00	0.48	0.00	0.48	90.0	0.43
08/21/2017	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	184.62	3.69	0.00	3.69	90.0	3.32
08/10/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	321.00	3.21	0.00	3.21	90.0	2.89
08/10/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	947.16	9.47	0.00	9.47	90.0	8.52
08/10/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	54.56	0.55	0.00	0.55	90.0	0.50
08/10/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	54.56	0.55	0.00	0.55	90.0	0.50
08/10/2017	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	61.90	0.62	0.00	0.62	90.0	0.56
08/10/2017	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	61.90	0.62	0.00	0.62	90.0	0.56
08/10/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	53.58	0.54	0.00	0.54	90.0	0.49
08/10/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	53.58	0.54	0.00	0.54	90.0	0.49
08/07/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	369.23	7.38	0.00	7.38	90.0	6.64
08/07/2017	LEHMAN, COLBY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
08/10/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	41.13	0.41	0.00	0.41	90.0	0.37
08/10/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	41.13	0.41	0.00	0.41	90.0	0.37
08/10/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	14.91	0.15	0.00	0.15	90.0	0.14
08/10/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	44.75	0.45	0.00	0.45	90.0	0.41
08/10/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.18	0.00	0.18	90.0	0.16
08/10/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	48.00	0.48	0.00	0.48	90.0	0.43
08/10/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	16.89	0.17	0.00	0.17	90.0	0.15
08/10/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	16.88	0.17	0.00	0.17	90.0	0.15
08/10/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	45.46	0.45	0.00	0.45	90.0	0.41
08/10/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	45.46	0.45	0.00	0.45	90.0	0.41
08/10/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	64.39	0.64	0.00	0.64	90.0	0.58
08/10/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	64.39	0.64	0.00	0.64	90.0	0.58

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
08/10/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17
08/10/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17
08/10/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	50.51	0.51	0.00	0.51	90.0	0.46
08/10/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	50.51	0.51	0.00	0.51	90.0	0.46
08/14/2017	GUIER, CLINT	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
08/14/2017	GUIER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
08/15/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
08/15/2017	GRIFFIN, SHAWN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
08/21/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	369.23	7.38	0.00	7.38	90.0	6.64
08/25/2017	MARK, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA A	114.58	5.73	0.00	5.73	90.0	5.16
08/24/2017	KREKLOW, RYAN	AM FUNDS GROWTH FUND OF AMERICA C	1,600.00	16.00	0.00	16.00	90.0	14.40
08/10/2017	DILLON, EMMA	AM FUNDS ICA A	16.47	0.16	0.00	0.16	90.0	0.14
08/10/2017	DILLON, EMMA	AM FUNDS ICA A	21.96	0.22	0.00	0.22	90.0	0.20
08/10/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	44.40	0.44	0.00	0.44	90.0	0.40
08/10/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	133.20	1.33	0.00	1.33	90.0	1.20
08/10/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
08/10/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
08/07/2017	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	184.61	3.69	0.00	3.69	90.0	3.32
08/07/2017	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	60.00	3.00	0.00	3.00	90.0	2.70
08/10/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.48	0.14	0.00	0.14	90.0	0.13
08/10/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	43.43	0.43	0.00	0.43	90.0	0.39
08/10/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	31.85	0.32	0.00	0.32	90.0	0.29
08/10/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	53.08	0.53	0.00	0.53	90.0	0.48
08/21/2017	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	184.61	3.69	0.00	3.69	90.0	3.32
08/25/2017	MARK, CHRISTOPHER	AM FUNDS NEW ECONOMY A	114.58	5.73	0.00	5.73	90.0	5.16
08/24/2017	KREKLOW, RYAN	AM FUNDS NEW ECONOMY C	1,600.00	16.00	0.00	16.00	90.0	14.40
08/10/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	41.13	0.41	0.00	0.41	90.0	0.37
08/10/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	41.13	0.41	0.00	0.41	90.0	0.37
08/10/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.48	0.14	0.00	0.14	90.0	0.13
08/10/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	43.44	0.43	0.00	0.43	90.0	0.39
08/10/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	16.89	0.17	0.00	0.17	90.0	0.15
08/10/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	16.89	0.17	0.00	0.17	90.0	0.15
08/10/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	44.12	0.44	0.00	0.44	90.0	0.40

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/10/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	44.12	0.44	0.00	0.44	90.0	0.40
08/10/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	16.80	0.17	0.00	0.17	90.0	0.15
08/10/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	33.60	0.34	0.00	0.34	90.0	0.31
08/07/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	184.62	3.69	0.00	3.69	90.0	3.32
08/10/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	44.12	0.44	0.00	0.44	90.0	0.40
08/10/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	44.12	0.44	0.00	0.44	90.0	0.40
08/21/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	184.62	3.69	0.00	3.69	90.0	3.32
08/25/2017	MARK, CHRISTOPHER	AM FUNDS NEW WORLD A	114.58	5.73	0.00	5.73	90.0	5.16
08/24/2017	KREKLOW, RYAN	AM FUNDS NEW WORLD C	1,600.00	16.00	0.00	16.00	90.0	14.40
08/10/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	54.96	0.55	0.00	0.55	90.0	0.50
08/10/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	54.96	0.55	0.00	0.55	90.0	0.50
08/10/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	22.51	0.23	0.00	0.23	90.0	0.21
08/10/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	22.52	0.23	0.00	0.23	90.0	0.21
08/10/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
08/10/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
08/10/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	16.80	0.17	0.00	0.17	90.0	0.15
08/10/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	33.60	0.34	0.00	0.34	90.0	0.31
08/25/2017	MARK, CHRISTOPHER	AM FUNDS SMALLCAP WORLD A	114.58	5.73	0.00	5.73	90.0	5.16
08/24/2017	KREKLOW, RYAN	AM FUNDS SMALLCAP WORLD C	1,600.00	16.00	0.00	16.00	90.0	14.40
08/10/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.27	0.34	0.00	0.34	90.0	0.31
08/10/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.27	0.34	0.00	0.34	90.0	0.31
* MUTUAL FUNDS SUBTOTAL *			\$200,974.70	\$7,136.93	\$0.00	\$7,136.93		\$6,423.38

PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE

08/07/2017	DANIELS, BEVERLY	PAC LIFE VUL	100.00	1.00	0.00	1.00	90.0	0.90
08/07/2017	GUIER, CLINT	PAC LIFE VUL	100.00	3.00	0.00	3.00	90.0	2.70
08/07/2017	MCNERNEY, DASAN	PAC LIFE VUL	-200.00	-6.00	0.00	-6.00	90.0	-5.40
08/07/2017	MCNERNEY, ALYSSA	PAC LIFE VUL	-150.00	-4.50	0.00	-4.50	90.0	-4.05
08/07/2017	MCNERNEY, DYLAN	PAC LIFE VUL	-10.83	-0.32	0.00	-0.32	90.0	-0.29
08/07/2017	MCNERNEY, DYLAN	PAC LIFE VUL	-139.17	-4.18	0.00	-4.18	90.0	-3.76
08/07/2017	CALLIS, CHARLES	PAC LIFE VUL	416.67	8.33	0.00	8.33	90.0	7.50
08/07/2017	BROCKSMITH, RACHEL	PAC LIFE VUL	64.88	1.30	0.00	1.30	90.0	1.17
08/07/2017	BROCKSMITH, RACHEL	PAC LIFE VUL	35.12	0.70	0.00	0.70	90.0	0.63
08/07/2017	ELLIOTT, PATRICIA	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
08/07/2017	HILL, MIKE	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
08/07/2017	MCNERNEY, SUSAN	PAC LIFE VUL	-1,000.00	-30.00	0.00	-30.00	90.0	-27.00
08/08/2017	GUIER, CLINT	PAC LIFE VUL	0.00	10.92	0.00	10.92	90.0	9.83
08/11/2017	GUIER, CLINT	PAC LIFE VUL	74.29	0.74	0.00	0.74	90.0	0.67
08/14/2017	ANDING, MARY DIANE	PAC LIFE VUL	1,400.00	28.00	0.00	28.00	90.0	25.20
08/14/2017	REGAN, WILLIAM	PAC LIFE VUL	150.00	1.50	0.00	1.50	90.0	1.35
08/14/2017	CALLIS, ANNIS	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
08/14/2017	GRIFFIN, SHAWN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
08/14/2017	MURRAY, JEFFREY	PAC LIFE VUL	584.57	11.69	0.00	11.69	90.0	10.52
08/16/2017	KAUFMAN, COOPER	PAC LIFE VUL	0.00	12.83	0.00	12.83	90.0	11.55
08/18/2017	HALL, ELLEN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
08/18/2017	GRIFFITH, CHERYL	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$2,125.53	\$51.01	\$0.00	\$51.01		\$45.92
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
08/14/2017	DOWNS, JAMES	GUARDIAN LIFE INS TRAILS	0.00	52.11	0.00	52.11	90.0	46.90
08/17/2017	CALHOUN, ALBERT	ING ESII	0.00	5.63	0.00	5.63	90.0	5.07
08/10/2017	ELDRIDGE, DEE	PAC LIFE PACIFIC CHOICE	0.00	2,641.00	0.00	2,641.00	90.0	2,376.90
08/18/2017	GATTORNA, BENE OF DOMIN,	PAC LIFE PACIFIC CHOICE	0.00	2,318.27	0.00	2,318.27	90.0	2,086.44
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$5,017.01	\$0.00	\$5,017.01		\$4,515.31
*** BROKER TRANSACTIONS TOTAL ***			\$203,100.23	\$12,204.95	\$0.00	\$12,204.95		\$10,984.61

SPLIT TRANSACTIONS**BROKER: K146-JASON KEMBLE #348**

08/10/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.00	0.00	2.00	90.0	1.80
* K146-JASON KEMBLE SUBTOTAL *			\$100.00	\$2.00	\$0.00	\$2.00		\$1.80

BROKER: JEFF/JASON KAUFMAN/KEMBLE #349

08/22/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	1,041.67	24.35	0.00	24.35	90.0	21.92
08/22/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	33.84	0.79	0.00	0.79	90.0	0.71
08/10/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	46.76	0.26	0.00	0.26	90.0	0.23
08/10/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	46.76	0.26	0.00	0.26	90.0	0.23
08/03/2017	FIEGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	250.00	5.85	0.00	5.85	90.0	5.27

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
08/03/2017	FIEGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	-250.00	-6.87	0.00	-6.87	90.0	-6.18
08/10/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.09	0.12	0.00	0.12	90.0	0.11
08/10/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.09	0.12	0.00	0.12	90.0	0.11
08/21/2017	CLARK-LAIRD, BARBARA	AM FUNDS AMERICAN BALANCED A	30,000.00	701.25	0.00	701.25	90.0	631.13
08/10/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.09	0.00	0.09	90.0	0.08
08/10/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.13	0.00	0.13	90.0	0.12
08/10/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	47.59	0.26	0.00	0.26	90.0	0.23
08/10/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	47.59	0.26	0.00	0.26	90.0	0.23
08/10/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
08/10/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
08/10/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	40.11	0.22	0.00	0.22	90.0	0.20
08/10/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	40.11	0.22	0.00	0.22	90.0	0.20
08/10/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.16	0.00	0.16	90.0	0.14
08/10/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.16	0.00	0.16	90.0	0.14
08/11/2017	ABEL, DENNIS	AM FUNDS GROWTH FUND OF AMERICA A	4,023.82	82.99	0.00	82.99	90.0	74.69
08/15/2017	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
08/15/2017	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
08/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.37	0.00	1.37	90.0	1.23
08/22/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	833.34	19.48	0.00	19.48	90.0	17.53
08/22/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	136.61	3.20	0.00	3.20	90.0	2.88
08/24/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
08/25/2017	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	250.00	2.20	0.00	2.20	90.0	1.98
08/10/2017	ZELLMER, RIANNON	AM FUNDS ICA A	17.44	0.09	0.00	0.09	90.0	0.08
08/10/2017	ZELLMER, RIANNON	AM FUNDS ICA A	17.44	0.09	0.00	0.09	90.0	0.08
08/10/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.10	0.12	0.00	0.12	90.0	0.11
08/10/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.10	0.12	0.00	0.12	90.0	0.11
08/10/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
08/10/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
08/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	1.37	0.00	1.37	90.0	1.23
08/21/2017	CLARK-LAIRD, BARBARA	AM FUNDS INCOME FUND OF AMERICA A	10,000.00	233.75	0.00	233.75	90.0	210.38
08/25/2017	THOMPSON, TERRY	AM FUNDS NEW ECONOMY A	250.00	2.20	0.00	2.20	90.0	1.98
08/10/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.68	0.00	0.68	90.0	0.61
08/10/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	1,000.00	5.50	0.00	5.50	90.0	4.95

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
08/10/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.09	0.00	0.09	90.0	0.08
08/10/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.13	0.00	0.13	90.0	0.12
08/11/2017	ABEL, DENNIS	AM FUNDS NEW WORLD A	4,023.83	82.99	0.00	82.99	90.0	74.69
08/25/2017	THOMPSON, TERRY	AM FUNDS NEW WORLD A	250.00	2.20	0.00	2.20	90.0	1.98
08/10/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
08/10/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
08/10/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.16	0.00	0.16	90.0	0.14
08/10/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.16	0.00	0.16	90.0	0.14
08/15/2017	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	1.37	0.00	1.37	90.0	1.23
08/25/2017	THOMPSON, TERRY	AM FUNDS SMALLCAP WORLD A	250.00	2.20	0.00	2.20	90.0	1.98
08/22/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	208.33	4.87	0.00	4.87	90.0	4.38
08/22/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.15	0.80	0.00	0.80	90.0	0.72
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$53,593.33	\$1,184.53	\$0.00	\$1,184.53		\$1,066.07
<u>BROKER: JASON KEMBLE #394</u>								
08/24/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.00	0.00	2.00	90.0	1.80
08/10/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	2.00	0.00	2.00	90.0	1.80
08/24/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	2.00	0.00	2.00	90.0	1.80
* JASON KEMBLE SUBTOTAL *			\$300.00	\$6.00	\$0.00	\$6.00		\$5.40
*** SPLIT TRANSACTIONS TOTAL ***			\$53,993.33	\$1,192.53	\$0.00	\$1,192.53		\$1,073.27

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BROKER COMMISSION TOTALS

Broker Transactions	\$10,984.61
Split Transactions	\$1,073.27
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,057.88
Year-to-date Gross	\$109,536.72
Year-to-date Earnings	\$107,617.39