Page No.

## Concorde 🙆

## **COMMISSION STATEMENT** June 15, 2018

DAVID KOTZ

601 Mine Brook Rd

Far Hills, NJ 07931

BROKER #: K135 Terminated 05/04/2017

BRANCH: DAVID KOTZ

| DATE                  | CLIENT   | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE                 | NET<br>COMMISSION | RATE    | BROKER<br>COMMISSION |
|-----------------------|--|-------------------------------------|----------------------|---------------------|------------------------------------|-------------------|---------|----------------------|
| CLEARING TRANSACTIONS |  |                                     |                      |                     |                                    |                   |         |                      |
| PRODUCT CA            | TEGORY: MUTUAL FUNDS   |                                     |                      |                     |                                    |                   |         |                      |
| 05/09/2018            | VON DER LINDE, PETER   | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.00                | 0.00                               | 0.                | 0.0     | 0.00                 |
| 05/09/2018            | VON DER LINDE, PETER   | AM FUNDS GROWTH FUND OF AMERICA F1  | 0.00                 | 0.00                | 0.00                               | 0.                | 0.0     | 0.00                 |
| 05/09/2018            | KREISWIRTH, SUZANNE  | SUNAMERICA US GOVT SECURITIES CL A  | 0.00                 | 0.00                | 0.00                               | 0.                | 0.0     | 0.00                 |
|                       |  | * MUTUAL FUNDS SUBTOTAL *           | \$0.00               | \$0.00              | \$0.00                             | \$0.0             | 0       | \$0.00               |
|                       |  | *** CLEARING TRANSACTIONS TOTAL *** | \$0.00               | \$0.00              | \$0.00                             | \$0.0             | 0       | \$0.00               |
|                       |  |                                     |                      |                     | E                                  | ROKER COM         | MISSION | TOTALS               |
|                       |  |                                     |                      |                     | Broker Transactions                |                   |         | \$0.00               |
|                       |  |                                     |                      |                     | Split Transactions                 |                   |         | \$0.00               |
|                       |  |                                     |                      |                     | Override Transactions              |                   | ns      | \$0.00               |
|                       |  |                                     |                      |                     | Adjustments                        |                   | s       | \$0.00               |
|                       |  | Payroll Draw                        |                      |                     | aw                                 | \$0.00            |         |                      |
|                       |  |                                     |                      |                     |                                    | Base Sala         | СУ      | \$0.00               |
|                       |  |                                     |                      |                     | Prior D                            | Period Balan      | ce      | \$0.00               |
|                       |  |                                     |                      |                     | FII                                | NRA Assessme      | nt      | \$0.00               |
|                       |  |                                     |                      |                     | S                                  | IPC Assessme      | nt      | \$0.00               |
|                       | Please Retain for Your Records<br>THERE WILL BE NO CHECK THIS PERIOD |                                     |                      |                     | Balance Forward Year-to-date Gross |                   |         | \$0.00               |
|                       |  |                                     |                      |                     |                                    |                   |         | \$39.87              |
|                       |  |                                     |                      |                     | Year-to                            | o-date Earni      | ıgs     | \$0.00               |