

COMMISSION STATEMENT

April 03, 2017

CHRISTOPHER HAMILTON

21 WEST STREET

APT 6J

NEW YORK, NY 10006

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
02/28/2017	75500749	WILLIAMS, ROBERT	**VICTORY PORTFOLIOS MUNDER	S	35.00	0.00	0.00	80.0	0.00
02/28/2017	75500943	KATEN, DOREEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A	S	35.00	0.00	0.00	80.0	0.00
03/08/2017	75502097	DAVID OATES ADMIN	**SARATOGA HEALTH AND	S	3,921.24	50.00	50.00	80.0	40.00
03/08/2017	75502097	DAVID OATES ADMIN	COLUMBIA SELIGMAN COMMUNICATIONS AN	S	14,951.81	50.00	50.00	80.0	40.00
03/08/2017	75502097	DAVID OATES ADMIN	PUTNAM 746802800	S	12,038.56	50.00	50.00	80.0	40.00
* MUTUAL FUNDS SUBTOTAL *				\$30,981.61	\$150.00	\$0.00	\$150.00		\$120.00

PRODUCT CATEGORY: STOCKS

03/06/2017	75500508	HAMILTON, CHRISTOPHER	VANECK VECTORS ETF TR GOLD MINERS E	B	17,528.40	0.00	10.00	80.0	-8.00
03/09/2017	75500508	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	B	33,139.40	0.00	10.00	80.0	-8.00
03/10/2017	75500508	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	S	33,276.60	0.00	10.00	80.0	-8.00
03/13/2017	75500508	HAMILTON, CHRISTOPHER	VANECK VECTORS ETF TR GOLD MINERS E	S	17,820.00	0.00	10.00	80.0	-8.00
02/24/2017	75500514	HAMILTON, CHRISTOPHER	PROSHARES TR PROSHARES ULTRASHORT T	B	15,446.64	0.00	10.00	80.0	-8.00
02/27/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S	56,496.00	0.00	10.00	80.0	-8.00
02/27/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES MSCI HONG KONG ETF	S	21,710.00	0.00	10.00	80.0	-8.00
02/27/2017	75500514	HAMILTON, CHRISTOPHER	PROSHARES TR PROSHARES ULTRASHORT T	S	15,616.00	0.00	10.00	80.0	-8.00
02/28/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B	112,706.00	0.00	10.00	80.0	-8.00
02/28/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B	112,706.00	0.00	0.00	80.0	0.00
02/28/2017	75500514	HAMILTON, CHRISTOPHER	VANECK VECTORS ETF TR GOLD MINERS E	B	23,137.00	0.00	10.00	80.0	-8.00
02/28/2017	75500514	HAMILTON, CHRISTOPHER	VANECK VECTORS ETF TR GOLD MINERS E	S	22,850.00	0.00	0.00	80.0	0.00
03/01/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S	114,026.00	0.00	10.00	80.0	-8.00
03/01/2017	75500514	HAMILTON, CHRISTOPHER	PROSHARES TR PROSHARES ULTRASHORT T	B	20,023.50	0.00	10.00	80.0	-8.00
03/01/2017	75500514	HAMILTON, CHRISTOPHER	PROSHARES TR PROSHARES ULTRASHORT T	S	20,035.00	0.00	0.00	80.0	0.00
03/02/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B	56,474.00	0.00	10.00	80.0	-8.00
03/02/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B	56,474.00	0.00	0.00	80.0	0.00
03/02/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES MSCI HONG KONG ETF	B	21,670.00	0.00	10.00	80.0	-8.00
03/02/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES MSCI HONG KONG ETF	B	21,670.00	0.00	0.00	80.0	0.00
03/02/2017	75500514	HAMILTON, CHRISTOPHER	PROSHARES TR PROSHARES ULTRASHORT T	B	24,196.20	0.00	10.00	80.0	-8.00
03/02/2017	75500514	HAMILTON, CHRISTOPHER	PROSHARES TR PROSHARES ULTRASHORT T	S	24,222.00	0.00	0.00	80.0	0.00
03/03/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S	56,431.87	0.00	10.00	80.0	-8.00
03/03/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S	56,507.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/03/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	113,014.00	0.00	0.00	0.00	80.0	0.00
03/03/2017	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR GOLD MINERS E	B	15,390.90	0.00	10.00	-10.00	80.0	-8.00
03/03/2017	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR GOLD MINERS E	S	15,540.00	0.00	0.00	0.00	80.0	0.00
03/06/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	56,384.35	0.00	10.00	-10.00	80.0	-8.00
03/07/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	112,486.00	0.00	10.00	-10.00	80.0	-8.00
03/07/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	56,243.00	0.00	0.00	0.00	80.0	0.00
03/08/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	54,727.00	0.00	10.00	-10.00	80.0	-8.00
03/08/2017	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR OIL SVCS ETF	B	18,298.20	0.00	10.00	-10.00	80.0	-8.00
03/09/2017	75500514	HAMILTON, CHRISTOPHER ISHARES MSCI HONG KONG ETF	S	21,570.00	0.00	10.00	-10.00	80.0	-8.00
03/09/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	54,800.00	0.00	10.00	-10.00	80.0	-8.00
03/10/2017	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND	B	58,453.50	0.00	10.00	-10.00	80.0	-8.00
03/10/2017	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND	S	58,625.00	0.00	0.00	0.00	80.0	0.00
03/10/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	56,365.98	0.00	10.00	-10.00	80.0	-8.00
03/10/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	56,474.00	0.00	0.00	0.00	80.0	0.00
03/10/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	56,474.00	0.00	0.00	0.00	80.0	0.00
03/10/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	56,474.00	0.00	0.00	0.00	80.0	0.00
03/13/2017	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND	B	46,604.00	0.00	10.00	-10.00	80.0	-8.00
03/13/2017	75500514	HAMILTON, CHRISTOPHER ISHARES MSCI HONG KONG ETF	S	21,760.00	0.00	10.00	-10.00	80.0	-8.00
03/13/2017	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR OIL SVCS ETF	S	18,294.00	0.00	10.00	-10.00	80.0	-8.00
03/14/2017	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND	S	46,828.00	0.00	10.00	-10.00	80.0	-8.00
03/14/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	56,439.13	0.00	10.00	-10.00	80.0	-8.00
03/14/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	56,364.00	0.00	0.00	0.00	80.0	0.00
03/14/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	112,728.00	0.00	0.00	0.00	80.0	0.00
03/15/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	56,936.00	0.00	10.00	-10.00	80.0	-8.00
03/15/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	113,872.00	0.00	0.00	0.00	80.0	0.00
03/16/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	56,826.00	0.00	10.00	-10.00	80.0	-8.00
03/16/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	56,826.00	0.00	0.00	0.00	80.0	0.00
03/16/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	56,826.00	0.00	0.00	0.00	80.0	0.00
03/16/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	-56,826.00	0.00	-10.00	10.00	80.0	8.00 C
03/16/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	56,826.00	0.00	0.00	0.00	80.0	0.00
03/21/2017	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	93,492.00	0.00	10.00	-10.00	80.0	-8.00
03/23/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	113,420.50	0.00	10.00	-10.00	80.0	-8.00
03/27/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	113,602.28	0.00	10.00	-10.00	80.0	-8.00
03/27/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	114,180.00	0.00	0.00	0.00	80.0	0.00
03/27/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	114,180.00	0.00	0.00	0.00	80.0	0.00
03/27/2017	75500514	HAMILTON, CHRISTOPHER ISHARES MSCI HONG KONG ETF	B	22,157.00	0.00	10.00	-10.00	80.0	-8.00
03/28/2017	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND	B	60,802.00	0.00	10.00	-10.00	80.0	-8.00
03/28/2017	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND	S	60,310.00	0.00	0.00	0.00	80.0	0.00
03/28/2017	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	94,128.00	0.00	10.00	-10.00	80.0	-8.00
03/06/2017	75500529	KALOS, PAUL VANECK VECTORS ETF TR GOLD MINERS E	B	25,102.40	50.00	10.00	40.00	80.0	32.00

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/08/2017	75500529	KALOS, PAUL		SPDR S&P 500 ETF TRUST	B	118,288.50	50.00	10.00	40.00 80.0 32.00
03/09/2017	75500529	KALOS, PAUL		SPDR S&P 500 ETF TRUST	B	49,709.10	50.00	10.00	40.00 80.0 32.00
03/10/2017	75500529	KALOS, PAUL		SPDR S&P 500 ETF TRUST	S	49,914.90	50.00	10.00	40.00 80.0 32.00
03/13/2017	75500529	KALOS, PAUL		VANECK VECTORS ETF TR GOLD MINERS E S		25,520.00	50.00	10.00	40.00 80.0 32.00
03/16/2017	75500529	KALOS, PAUL		SPDR S&P 500 ETF TRUST	S	118,000.00	525.00	10.00	515.00 80.0 412.00
03/16/2017	75500529	KALOS, PAUL		SPDR S&P 500 ETF TRUST	B	-118,000.00	-525.00	-10.00	-515.00 80.0 -412.00 C
03/16/2017	75500529	KALOS, PAUL		SPDR S&P 500 ETF TRUST	S	118,000.00	50.00	10.00	40.00 80.0 32.00
03/27/2017	75500529	KALOS, PAUL		SPDR S&P 500 ETF TRUST	B	115,833.50	50.00	10.00	40.00 80.0 32.00
03/06/2017	75500747	MILNER, ELIZABETH		VANECK VECTORS ETF TR GOLD MINERS E B		3,462.40	15.00	10.00	5.00 80.0 4.00
03/13/2017	75500747	MILNER, ELIZABETH		VANECK VECTORS ETF TR GOLD MINERS E S		3,520.00	15.00	10.00	5.00 80.0 4.00
03/06/2017	75500767	HAMILTON, DOUGLAS		VANECK VECTORS ETF TR GOLD MINERS E B		6,492.00	15.00	10.00	5.00 80.0 4.00
03/09/2017	75500767	HAMILTON, DOUGLAS		SPDR S&P 500 ETF TRUST	B	11,835.50	15.00	10.00	5.00 80.0 4.00
03/10/2017	75500767	HAMILTON, DOUGLAS		SPDR S&P 500 ETF TRUST	S	11,884.50	15.00	10.00	5.00 80.0 4.00
03/13/2017	75500767	HAMILTON, DOUGLAS		VANECK VECTORS ETF TR GOLD MINERS E S		6,600.00	15.00	10.00	5.00 80.0 4.00
02/24/2017	75500814	KARLIN, RONALD		UNIVERSAL DISPLAY CORPORATION	S	40,360.00	75.00	10.00	65.00 80.0 52.00
02/27/2017	75500814	KARLIN, RONALD		ACCELERATE DIAGNOSTICS INC COM	B	12,650.00	75.00	10.00	65.00 80.0 52.00
03/06/2017	75500816	KERN, DOUGLAS		VANECK VECTORS ETF TR GOLD MINERS E B		37,437.20	50.00	10.00	40.00 80.0 32.00
03/09/2017	75500816	KERN, DOUGLAS		SPDR S&P 500 ETF TRUST	B	73,380.10	50.00	10.00	40.00 80.0 32.00
03/10/2017	75500816	KERN, DOUGLAS		SPDR S&P 500 ETF TRUST	S	73,683.90	50.00	10.00	40.00 80.0 32.00
03/13/2017	75500816	KERN, DOUGLAS		VANECK VECTORS ETF TR GOLD MINERS E S		38,060.00	50.00	10.00	40.00 80.0 32.00
02/28/2017	75500829	GRAFSTEIN, ALLEN		BEST BUY CO INC (MN)	B	133,608.00	60.00	10.00	50.00 80.0 40.00
03/17/2017	75500829	GRAFSTEIN, ALLEN		BEST BUY CO INC (MN)	S	181,636.13	100.00	10.00	90.00 80.0 72.00
03/06/2017	75500921	CZECH, ERIC		VANECK VECTORS ETF TR GOLD MINERS E B		12,551.20	25.00	10.00	15.00 80.0 12.00
03/09/2017	75500921	CZECH, ERIC		SPDR S&P 500 ETF TRUST	B	23,671.00	25.00	10.00	15.00 80.0 12.00
03/10/2017	75500921	CZECH, ERIC		SPDR S&P 500 ETF TRUST	S	23,769.00	25.00	10.00	15.00 80.0 12.00
03/13/2017	75500921	CZECH, ERIC		VANECK VECTORS ETF TR GOLD MINERS E S		12,760.00	25.00	10.00	15.00 80.0 12.00
03/06/2017	75500987	MILNER, NATHANIEL		VANECK VECTORS ETF TR GOLD MINERS E B		3,246.00	15.00	10.00	5.00 80.0 4.00
03/13/2017	75500987	MILNER, NATHANIEL		VANECK VECTORS ETF TR GOLD MINERS E S		3,300.00	15.00	10.00	5.00 80.0 4.00
03/06/2017	75500998	B C PARTNERS RET PLAN		VANECK VECTORS ETF TR GOLD MINERS E B		25,102.40	0.00	10.00	-10.00 80.0 -8.00
03/13/2017	75500998	B C PARTNERS RET PLAN		VANECK VECTORS ETF TR GOLD MINERS E S		25,520.00	0.00	10.00	-10.00 80.0 -8.00
03/09/2017	75501159	EISBERG, JAMES		SPDR S&P 500 ETF TRUST	B	11,835.50	25.00	10.00	15.00 80.0 12.00
03/10/2017	75501159	EISBERG, JAMES		SPDR S&P 500 ETF TRUST	S	11,884.50	25.00	10.00	15.00 80.0 12.00
03/27/2017	75501159	EISBERG, JAMES		TEEKAY OFFSHORE PARTNERS LP	B	4,778.70	50.00	10.00	40.00 80.0 32.00
03/01/2017	75501192	ALLEN GRAFSTEIN		POST HOLDINGS INC	S	85,050.00	50.00	10.00	40.00 80.0 32.00
03/16/2017	75501275	LIMOND, LAURA		VANGUARD INTL EQUITY IND FD FTSE AL S		19,180.00	50.00	10.00	40.00 80.0 32.00
02/27/2017	75501341	GRAFSTEIN, LEO		INTEL CORP	B	36,407.00	50.00	10.00	40.00 80.0 32.00
02/27/2017	75501341	GRAFSTEIN, LEO		PROCTER & GAMBLE CO	B	90,237.00	50.00	10.00	40.00 80.0 32.00
03/09/2017	75502076	LIDSKY, SHAWNA		EATON VANCE TAX MANAGED BUY WRITE O B		15,189.10	25.00	10.00	15.00 80.0 12.00
03/15/2017	75502076	LIDSKY, SHAWNA		TORTOISE ENERGY INFRASTRUCTURE CORP B		8,177.50	25.00	10.00	15.00 80.0 12.00
03/02/2017	QGW019929	KARP, JEFFREY		ETF MANAGERS TR PUREFUNDS ISE JR SI B		27,539.80	50.00	10.00	40.00 80.0 32.00

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/03/2017	QGW019929 KARP, JEFFREY	TEKLA LIFE SCIENCES SH BEN INT	S	-38,400.20	60.00	10.00	50.00	80.0	40.00
03/06/2017	QGW019929 KARP, JEFFREY	TAHOE RES INC COM ISIN#CA8738681037	B	15,539.80	50.00	10.00	40.00	80.0	32.00
03/16/2017	QGW019929 KARP, JEFFREY	VOYA EMERGING MKTS HIGH DIVID EQUIT	S	-34,008.00	100.00	10.00	90.00	80.0	72.00
03/16/2017	QGW019929 KARP, JEFFREY	VOYA EMERGING MKTS HIGH DIVID EQUIT	S	-34,083.20	100.00	10.00	90.00	80.0	72.00
03/28/2017	QGW019929 KARP, JEFFREY	TEKLA LIFE SCIENCES SH BEN INT	S	-38,110.20	60.00	10.00	50.00	80.0	40.00
* STOCKS SUBTOTAL *				\$4,750,171.48	\$1,850.00	\$810.00	\$1,040.00		\$832.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/08/2017	75500529 KALOS, PAUL	CALL SPY 03/31/17 236	S	1,085.00	50.00	10.00	40.00	80.0	32.00
03/27/2017	75500529 KALOS, PAUL	PUT SPY 04/21/17 238	S	2,105.00	50.00	10.00	40.00	80.0	32.00
* OPTIONS SUBTOTAL *				\$3,190.00	\$100.00	\$20.00	\$80.00		\$64.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/10/2017	75500743 WARK, TIMOTHY	COLUMBIA SELIGMAN COMMUNICATIONS AN	B	0.00	2.85	0.00	2.85	80.0	2.28
03/10/2017	75500743 WARK, TIMOTHY	PUTNAM 746802800	B	0.00	2.27	0.00	2.27	80.0	1.82
03/13/2017	75500743 WARK, TIMOTHY	**SARATOGA HEALTH AND	B	0.00	0.73	0.00	0.73	80.0	0.58
03/28/2017	75500749 WILLIAMS, ROBERT	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.78	0.00	0.78	80.0	0.62
03/10/2017	75500765 DIMAGGIO, PHILLIP	PIM 018919704	B	0.00	1.49	0.00	1.49	80.0	1.19
03/28/2017	75500765 DIMAGGIO, PHILLIP	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.66	0.00	0.66	80.0	0.53
03/10/2017	75500766 HAMILTON, DOUGLAS	MFS EMERGING GROWTH FUND CLASS A	B	0.00	18.91	0.00	18.91	80.0	15.13
03/10/2017	75500779 DIPRIMA, ANTHONY	ROCH. LIMITED TERM NEW YORK MUNICIP	B	0.00	2.62	0.00	2.62	80.0	2.10
03/10/2017	75500779 DIPRIMA, ANTHONY	ROCHESTER FUND MUNICIPALS A	B	0.00	1.26	0.00	1.26	80.0	1.01
03/28/2017	75500779 DIPRIMA, ANTHONY	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.65	0.00	0.65	80.0	0.52
03/10/2017	75500780 DIPRIMA, ANTHONY	THE GROWTH FUND OF AMERICA-A	B	0.00	1.89	0.00	1.89	80.0	1.51
03/10/2017	75500781 DIPRIMA, ANTHONY	THE GROWTH FUND OF AMERICA-A	B	0.00	2.92	0.00	2.92	80.0	2.34
03/15/2017	75500796 KARP, BETH	**HEARTLAND VALUE FUND INC	B	0.00	112.72	0.00	112.72	80.0	90.18
03/28/2017	75500801 LYONS, MARIA	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.10	0.00	0.10	80.0	0.08
03/10/2017	75500826 HORN, LAWRENCE	**VIRTUS ENHANCED CORE EQUITY	B	0.00	4.72	0.00	4.72	80.0	3.78
03/10/2017	75500826 HORN, LAWRENCE	**VOYA EQUITY TR	B	0.00	4.14	0.00	4.14	80.0	3.31
03/10/2017	75500885 DEFRANCESCO, STEPHEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A	B	0.00	0.70	0.00	0.70	80.0	0.56
03/10/2017	75500885 DEFRANCESCO, STEPHEN	COLUMBIA SELIGMAN COMMUNICATIONS AN	B	0.00	1.65	0.00	1.65	80.0	1.32
03/13/2017	75500885 DEFRANCESCO, STEPHEN	**SARATOGA HEALTH AND	B	0.00	0.21	0.00	0.21	80.0	0.17
03/28/2017	75500885 DEFRANCESCO, STEPHEN	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.46	0.00	0.46	80.0	0.37
03/15/2017	75500886 STRAUSS, HELENE	**HEARTLAND VALUE FUND INC	B	0.00	11.13	0.00	11.13	80.0	8.90
03/10/2017	75500942 KATEN, DOREEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A	B	0.00	2.52	0.00	2.52	80.0	2.02
03/10/2017	75500942 KATEN, DOREEN	CAPITAL APPRECIATION A	B	0.00	3.06	0.00	3.06	80.0	2.45
03/10/2017	75500942 KATEN, DOREEN	FEDERATED INTERNATIONAL SMALL-COMPA	B	0.00	3.32	0.00	3.32	80.0	2.66
03/10/2017	75500942 KATEN, DOREEN	JOHN HANCOCK FDS III STRATEGIC GROW	B	0.00	4.91	0.00	4.91	80.0	3.93
03/10/2017	75500942 KATEN, DOREEN	JOHN HANCOCK FDS II NEW OPPORTUNITI	B	0.00	3.21	0.00	3.21	80.0	2.57
03/13/2017	75500942 KATEN, DOREEN	**SARATOGA HEALTH AND	B	0.00	6.81	0.00	6.81	80.0	5.45

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/28/2017	75500942	KATEN, DOREEN	**VICTORY PORTFOLIOS MUNDER	B	0.00	4.43	0.00	4.43	80.0	3.54
03/10/2017	75500943	KATEN, DOREEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A B	B	0.00	0.33	0.00	0.33	80.0	0.26
03/10/2017	75500947	BIEL, LYNN	EHV 27826L322	B	0.00	18.98	0.00	18.98	80.0	15.18
03/10/2017	75500947	BIEL, LYNN	FRANKLIN NEW YORK TAX-FREE INCOME F B	B	0.00	17.85	0.00	17.85	80.0	14.28
03/10/2017	75500947	BIEL, LYNN	ROCHESTER FUND MUNICIPALS A	B	0.00	18.71	0.00	18.71	80.0	14.97
03/10/2017	75500947	BIEL, LYNN	WESTERN ASSET NEW YORK MUNICIPALS F B	B	0.00	14.27	0.00	14.27	80.0	11.42
03/10/2017	75500965	BLASIUS, MARK	EATON VANCE TAX MANAGED GLOBAL DIVI B	B	0.00	13.46	0.00	13.46	80.0	10.77
03/10/2017	75500965	BLASIUS, MARK	STRATEGIC INCOME A	B	0.00	33.70	0.00	33.70	80.0	26.96
03/10/2017	75500965	BLASIUS, MARK	US GLOBAL LEADERS GROWTH A	B	0.00	14.39	0.00	14.39	80.0	11.51
03/10/2017	75501070	TAYLOR, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	1.68	0.00	1.68	80.0	1.34
03/10/2017	75501087	MARK & CHARLES	EHV 277902813	B	0.00	14.52	0.00	14.52	80.0	11.62
03/10/2017	75501087	MARK & CHARLES	GOLDMAN SACHS TOLLKEEPER FUND CLASS B	B	0.00	52.17	0.00	52.17	80.0	41.74
03/28/2017	75501087	MARK & CHARLES	**VICTORY PORTFOLIOS	B	0.00	25.19	0.00	25.19	80.0	20.15
03/10/2017	75501097	CARMAN, JOSEPH	FEDERATED MID CAP GROWTH STRATEGIES B	B	0.00	2.89	0.00	2.89	80.0	2.31
03/10/2017	75501097	CARMAN, JOSEPH	FEDERATED INTERNATIONAL SMALL-COMPA B	B	0.00	2.19	0.00	2.19	80.0	1.75
03/10/2017	75501341	GRAFSTEIN, LEO	PUTNAM 746809102	B	0.00	5.15	0.00	5.15	80.0	4.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$436.60	\$0.00	\$436.60	\$349.30	
*** BROKER TRANSACTIONS TOTAL ***					\$4,784,343.09	\$2,536.60	\$830.00	\$1,706.60	\$1,365.30	

ADJUSTMENTS

04/03/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
04/03/2017		OTHER: THOMSON		0.00	0.00	0.00	0.00		-137.76
04/03/2017		OTHER: WORKMANS COMPENSATION INSURA		0.00	0.00	0.00	0.00		-170.00
04/03/2017		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-69.57
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00	\$-397.33

BROKER COMMISSION TOTALS

Broker Transactions	\$1,365.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-397.33
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.17
SIPC Assessment	\$-47.56

Check Amount	\$917.24
Year-to-date Earnings	\$6,516.43