



Concorde Investment Services, LLC

02/19/2018

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COMMISSION STATEMENT**February 15, 2018**JENNIFER, D134 DONAIS
1260 N. JoplinBROKER #: D134
BRANCH: TRUWEALTH FINANCIAL

Mesa, AZ 85207-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
01/29/2018	UNTIL AGE 21, AZ/UTMA	AMERICAN FUNDS COLLEGE 2033 FUND-52	100.00	3.50	0.00	3.50	90.0	3.15
01/29/2018	UNTIL AGE 21, AZ/UTMA	AMERICAN FUNDS COLLEGE 2033 FUND-52	100.00	3.50	0.00	3.50	90.0	3.15
* 529 PLANS SUBTOTAL *			\$200.00	\$7.00	\$0.00	\$7.00		\$6.30
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/23/2018	SINNESS, LOYE	GUGGENHEIM CONSUMER PRODUCTS INV	2,111.13	0.00	0.00	0.00	90.0	0.00
01/10/2018	SINNESS, LOYE	HARTFORD CAPITAL APPRECIATION A	0.00	1.72	0.00	1.72	90.0	1.55
01/10/2018	SINNESS, LOYE	MFS VALUE A	0.00	0.86	0.00	0.86	90.0	0.77
01/10/2018	SINNESS, LOYE	PUTNAM MULTI CAP GROWTH A	0.00	3.13	0.00	3.13	90.0	2.82
01/23/2018	SINNESS, LOYE	RYDEX NASDAQ-100 FUND INVESTOR CLAS	2,500.00	0.00	0.00	0.00	90.0	0.00
01/10/2018	DONAI, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	3.80	0.00	3.80	90.0	3.42
01/23/2018	SINNESS, LOYE	RYDEX S&P 500 PURE GROWTH FUND	2,111.13	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$6,722.26	\$9.51	\$0.00	\$9.51		\$8.56
<u>PRODUCT CATEGORY: STOCKS</u>								
01/23/2018	SINNESS, LOYE	ISHARES TR S&P 500 INDEX FD	2,563.83	72.70	22.00	50.70	90.0	45.63
* STOCKS SUBTOTAL *			\$2,563.83	\$72.70	\$22.00	\$50.70		\$45.63
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2018	LARAMIE, CECILIA	JOHN HANCOCK VENTURE	69,655.41	14.51	0.00	14.51	90.0	13.06
* VARIABLE ANNUITIES SUBTOTAL *			\$69,655.41	\$14.51	\$0.00	\$14.51		\$13.06
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/18/2018	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.55	0.00	0.55	90.0	0.50
01/18/2018	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.68	0.00	0.68	90.0	0.61
01/18/2018	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.56	0.00	0.56	90.0	0.50
01/18/2018	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.46	0.00	0.46	90.0	0.41
01/18/2018	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.03	0.00	1.03	90.0	0.93

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
01/18/2018	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.35	0.00	0.35	90.0	0.32
01/18/2018	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.06	0.00	1.06	90.0	0.95
01/18/2018	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.22	0.00	1.22	90.0	1.10
01/18/2018	DONAIS, JEREMY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.59	0.00	1.59	90.0	1.43
01/18/2018	DONAIS, BRADLEY	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.20	0.00	0.20	90.0	0.18
01/18/2018	DONAIS, BRADLEY	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.23	0.00	0.23	90.0	0.21
01/18/2018	DONAIS, BRADLEY	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.74	0.00	0.74	90.0	0.67
01/18/2018	DONAIS, BRADLEY	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.79	0.00	0.79	90.0	0.71
01/03/2018	DONAIS, DONALD P	FIRST INVESTORS GROWTH AND INCOME F	0.00	4.57	0.00	4.57	90.0	4.11
01/03/2018	DONAIS, DONALD P	FIRST INVESTORS SPECIAL SITUATIONS	0.00	7.74	0.00	7.74	90.0	6.97
01/03/2018	DONAIS, DONALD P	FIRST INVESTORS TOTAL RETURN FUND C	0.00	4.30	0.00	4.30	90.0	3.87
01/17/2018	DONAIS, JEREMY	PRINCIPAL INVESTORS INCOME A	0.00	1.18	0.00	1.18	90.0	1.06
01/17/2018	DONAIS, JEREMY	PRINCIPAL LARGE CAP S&P 500 INDEX FU	0.00	4.96	0.00	4.96	90.0	4.46
01/17/2018	DONAIS, JEREMY	PRINCIPAL SMALLCAP FUND CLASS A	0.00	3.55	0.00	3.55	90.0	3.20
01/17/2018	DONAIS, JEREMY	PRINICPAL MIDCAP BLEND A	0.00	2.87	0.00	2.87	90.0	2.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$38.63	\$0.00	\$38.63		\$34.77
*** DIRECT TRANSACTIONS TOTAL ***			\$79,141.50	\$142.35	\$22.00	\$120.35		\$108.32

SPLIT TRANSACTIONS**BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #10**

02/01/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	1.51	0.00	1.51	90.0	1.36
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$215.00	\$1.51	\$0.00	\$1.51		\$1.36

BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333

01/18/2018	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.33	0.00	1.33	90.0	1.20
01/18/2018	ERNST, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.10	0.00	0.10	90.0	0.09
01/24/2018	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.37	0.00	0.37	90.0	0.33
01/17/2018	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.62	0.00	0.62	90.0	0.56
01/25/2018	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	1.51	0.00	1.51	90.0	1.36
01/25/2018	NEWBERRY TRUST, GLORIA	INVESCO GROWTH AND INCOME FUND CLAS	0.00	0.10	0.00	0.10	90.0	0.09
01/17/2018	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.09	0.00	0.09	90.0	0.08
01/17/2018	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.81	0.00	0.81	90.0	0.73
01/17/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	4.10	0.00	4.10	90.0	3.69

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
01/29/2018	ERNST, TERRI	TOUCHSTONE SMALL COMPANY FUND CLASS	0.00	0.14	0.00	0.14	90.0	0.13
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$0.00	\$9.17	\$0.00	\$9.17		\$8.26
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
02/01/2018	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	2.59	0.00	2.59	90.0	2.33
02/01/2018	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	1.72	0.00	1.72	90.0	1.55
02/01/2018	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	1.33	0.00	1.33	90.0	1.20
02/05/2018	HAUGEN, STACI	DODGE & COX INTERNATIONAL FUND	-2,384.24	0.00	4.40	-4.40	90.0	-3.96
02/05/2018	HAUGEN, STACI	FEDERATED GOVERNMENT INCOME TRUST F	-2,654.87	0.00	0.00	0.00	90.0	0.00
02/05/2018	HAUGEN, STACI	FIDELITY CONTRAFUND	-3,007.04	0.00	4.40	-4.40	90.0	-3.96
02/05/2018	HAUGEN, STACI	HARBOR BOND FUND INSTITUTIONAL CLAS	-2,708.53	0.00	4.40	-4.40	90.0	-3.96
02/05/2018	HAUGEN, STACI	VANGUARD ENERGY FUND ADMIRAL SHARES	-2,148.52	0.00	4.40	-4.40	90.0	-3.96
02/05/2018	HAUGEN, STACI	VANGUARD PRIMECAP CORE FUND INVESTO	-3,086.25	0.00	4.40	-4.40	90.0	-3.96
02/05/2018	HAUGEN, STACI	VANGUARD REIT INDEX FUND ADMIRAL SH	-2,537.47	0.00	4.40	-4.40	90.0	-3.96
02/05/2018	HAUGEN, STACI	VANGUARD SHORT-TERM INVESTMENT-GRAD	-2,732.09	0.00	4.40	-4.40	90.0	-3.96
02/05/2018	HAUGEN, STACI	VANGUARD SMALL-CAP GROWTH INDEX FUN	-2,365.00	0.00	4.40	-4.40	90.0	-3.96
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$-22,819.01	\$5.64	\$35.20	\$-29.56		\$-26.60
*** SPLIT TRANSACTIONS TOTAL ***			\$-22,604.01	\$16.32	\$35.20	\$-18.88		\$-16.98
<u>ADJUSTMENTS</u>								
02/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
02/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
02/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.01
02/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-1.81
02/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-5.16
02/15/2018		*RBC: WEALTHSTATION	0.00					-25.00
02/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-519.98

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BROKER COMMISSION TOTALS

Broker Transactions	\$108.32
Split Transactions	\$-16.98
Override Transactions	\$0.00
Adjustments	\$-519.98
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-428.64
Year-to-date Gross	\$3,528.08
Year-to-date Earnings	\$3,083.59