

COMMISSION STATEMENT

April 30, 2017

WILLIAM DIPENICE
110 SOUTH BUCKHOUT STREET

BROKER #:
BRANCH: HOME OFFICE

IRVINGTON, NY 10533

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/26/2017	75502052	DIPENICE, WILLIAM	T ROWE PRICE QM U S SMALL CAP GROWT S	114.00	0.00	0.00	0.00	80.0	0.00
			* MUTUAL FUNDS SUBTOTAL *	\$114.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>									
03/31/2017	75502048	FERRUZZI, KENNETH	PERRIGO COMPANY PLC	S	470.46	0.00	0.00	80.0	0.00
03/31/2017	75502048	FERRUZZI, KENNETH	XPO LOGISTICS INC COM	B	1,001.83	0.00	0.00	80.0	0.00
03/29/2017	75502050	DIPENICE, WILLIAM	QIAGEN NV SHS NEW	B	1,459.25	0.00	0.00	80.0	0.00
03/30/2017	75502050	DIPENICE, WILLIAM	CENTENE CORP DEL	S	1,800.67	0.00	0.00	80.0	0.00
04/20/2017	75502050	DIPENICE, WILLIAM	GOLDMAN SACHS GROUP INC	B	1,092.22	0.00	0.00	80.0	0.00
04/24/2017	75502050	DIPENICE, WILLIAM	ZAYO GROUP HOLDINGS INC COM	B	1,781.76	0.00	0.00	80.0	0.00
03/31/2017	75502051	DIPENICE, NINA	PERRIGO COMPANY PLC	S	1,075.34	0.00	0.00	80.0	0.00
03/31/2017	75502051	DIPENICE, NINA	XPO LOGISTICS INC COM	B	2,051.36	0.00	0.00	80.0	0.00
03/31/2017	75502083	MAISELL, ADRIANE	PERRIGO COMPANY PLC	S	470.46	0.00	0.00	80.0	0.00
03/31/2017	75502083	MAISELL, ADRIANE	XPO LOGISTICS INC COM	B	858.71	0.00	0.00	80.0	0.00
			* STOCKS SUBTOTAL *	\$12,062.06	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>									
04/18/2017	75502048	FERRUZZI, KENNETH	FAS FEES	B	-504.11	252.05	0.00	252.05	201.64
04/18/2017	75502049	MORRIS, MICHAEL	FAS FEES	B	-775.70	387.85	0.00	387.85	310.28
04/18/2017	75502050	DIPENICE, WILLIAM	FAS FEES	B	-442.68	221.34	0.00	221.34	177.07
04/18/2017	75502051	DIPENICE, NINA	FAS FEES	B	-1,014.34	554.51	0.00	554.51	443.61
04/18/2017	75502052	DIPENICE, WILLIAM	FAS FEES	B	-115.00	86.25	0.00	86.25	69.00
04/18/2017	75502083	MAISELL, ADRIANE	FAS FEES	B	-435.61	217.81	0.00	217.81	174.25
			* RIA SUBTOTAL *	\$-3,287.44	\$1,719.81	\$0.00	\$1,719.81		\$1,375.85
			*** BROKER TRANSACTIONS TOTAL ***	\$8,888.62	\$1,719.81	\$0.00	\$1,719.81		\$1,375.85

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
04/30/2017		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-250.00
04/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
04/30/2017		OTHER: OTHER		0.00	0.00	0.00	0.00		-100.00
04/30/2017		OTHER: OTHER-WEALTH MANAGEMENT		0.00	0.00	0.00	0.00		-25.00
04/30/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-5.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-400.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,375.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-400.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.15
SIPC Assessment	\$-32.25

Check Amount	\$941.45
Year-to-date Earnings	\$941.45