



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2017

08/14/2017
 Page No. 1

JOEL WOODS
 1412 Blue Quail Run

 Crowley, TX 76036-

BROKER #: W143
 BRANCH: WOODS FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
07/28/2017	ZIMMET, LADDIE	COTTONWOOD RESIDENTIAL 2016 PREFR'D	50,000.00	3,500.00	0.00	3,500.00	92.0	3,220.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$3,220.00
<u>PRODUCT CATEGORY: REIT</u>								
07/18/2017	PHIPPS, CHRISTEEN	CARTER VALIDUS MC REIT II CLASS T	0.00	13.25	0.00	13.25	92.0	12.19
07/18/2017	PHIPPS, LESLIE	CARTER VALIDUS MC REIT II CLASS T	0.00	28.16	0.00	28.16	92.0	25.91
07/18/2017	SHERRIEB, HAROLD	CARTER VALIDUS MC REIT II CLASS T	0.00	62.13	0.00	62.13	92.0	57.16
07/18/2017	MCELVAIN, TED	CARTER VALIDUS MC REIT II CLASS T	0.00	9.45	0.00	9.45	92.0	8.69
07/18/2017	HINCKLEY, CYNTHIA	CARTER VALIDUS MC REIT II CLASS T	0.00	2.05	0.00	2.05	92.0	1.89
07/18/2017	HINCKLEY, BRYAN	CARTER VALIDUS MC REIT II CLASS T	0.00	10.19	0.00	10.19	92.0	9.37
		* REIT SUBTOTAL *	\$0.00	\$125.23	\$0.00	\$125.23		\$115.21
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
07/30/2017	PHIPPS TRAD 0016025, CHRISTEENBR	TOTAL INC & REAL ESTATE FUND A	0.00	12.81	0.00	12.81	0.0	0.00
07/30/2017	WOODS, VARIOUS	BR TOTAL INC & REAL ESTATE FUND C	0.00	1.60	0.00	1.60	0.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$14.41	\$0.00	\$14.41		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/27/2017	ST JOHN, FINN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	90.0	1.92
08/02/2017	GIBSON, TIMOTHY	AM FUNDS GROWTH FUND OF AMERICA 529	125.00	6.25	0.00	6.25	90.0	5.63
07/25/2017	GRAVES, BENJAMIN	AMERICAN FUNDS COLLEGE 2033 FUND-52	40.00	1.40	0.00	1.40	90.0	1.26
08/01/2017	ST JOHN, FINN	AMERICAN FUNDS COLLEGE 2033 FUND-52	100.00	3.50	0.00	3.50	90.0	3.15
07/12/2017	HICKMAN, FRANCES	DELAWARE TAX FREE USA A	0.00	8.50	0.00	8.50	90.0	7.65
/ /	AMOS, ALEXANDER	FIDELITY ADVISORS FD 529 PORT 2016	0.00	16.28	0.00	16.28	90.0	14.65
/ /	AMOS, ALEXANDER	FIDELITY ADVISORS FD 529 PORT 2016	0.00	17.46	0.00	17.46	90.0	15.71
07/12/2017	MCELVAIN, TED	FIRST EAGLE GLOBAL FUND CL A	0.00	7.05	0.00	7.05	90.0	6.35
07/12/2017	TMICO TRUST CO	FIRST EAGLE GOLD FUND CLASS C	0.00	6.32	0.00	6.32	90.0	5.69
07/12/2017	HICKMAN, FRANCES	FRANKLIN FED TAX FREE INCOME A	0.00	12.24	0.00	12.24	90.0	11.02

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/31/2017	GONZALEZ, JENNIFER	FRANKLIN INCOME 529 PORTFOLIO - CLA	0.00	2.26	0.00	2.26	90.0	2.03
07/31/2017	GONZALEZ, JENNIFER	FRANKLIN MUTL SHRS 529 PORT CL A	0.00	2.42	0.00	2.42	90.0	2.18
07/31/2017	SHERRIEB, HAROLD	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	18.34	0.00	18.34	90.0	16.51
07/31/2017	SHAW, DEBRA	FT FOUNDING FUNDS ALLOC A	0.00	1.65	0.00	1.65	90.0	1.49
07/31/2017	BRAKE, WALTER	FT FOUNDING FUNDS ALLOC A	0.00	0.70	0.00	0.70	90.0	0.63
07/12/2017	RICHTER, ROBERT	HARTFORD MIDCAP A	0.00	5.08	0.00	5.08	90.0	4.57
07/12/2017	THREADGILL, HEATHER	INVESCO BALANCED-RISK ALLOCATION-C	0.00	21.44	0.00	21.44	90.0	19.30
07/12/2017	THREADGILL, VICKY	INVESCO BALANCED-RISK ALLOCATION-C	0.00	144.30	0.00	144.30	90.0	129.87
07/12/2017	CONDRAY, GADA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	36.54	0.00	36.54	90.0	32.89
07/12/2017	THREADGILL, MICHELLE	INVESCO BALANCED-RISK ALLOCATION-C	0.00	251.08	0.00	251.08	90.0	225.97
07/12/2017	MELTON, PAMELA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	80.75	0.00	80.75	90.0	72.68
07/24/2017	HEZEL, KATHLEEN	INVESCO BALANCED-RISK ALLOCATION-C	24.87	0.25	0.00	0.25	90.0	0.23
07/25/2017	HAMPTON, JARROTT	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
07/31/2017	KACHMAN UTMA/TX, VICTORIA	INVESCO BALANCED-RISK ALLOCATION-C	66.25	0.66	0.00	0.66	90.0	0.59
/ /	RECTOR, ABIGAIL	JH 529 PORT 2017-2020 A	0.00	1.43	0.00	1.43	90.0	1.29
/ /	RECTOR, MADELINE	JH 529 PORT 2017-2020 A	0.00	1.43	0.00	1.43	90.0	1.29
07/12/2017	CROSS, BETTY	MFS UTILITIES A	0.00	3.64	0.00	3.64	90.0	3.28
07/12/2017	CONDRAY, GADA	OPPENHEIMER STEELPATH MLP ALPHA FD	0.00	4.30	0.00	4.30	90.0	3.87
07/12/2017	CONDRAY, GADA	PIMCO INCOME FUND C	0.00	30.50	0.00	30.50	90.0	27.45
07/31/2017	SHERRIEB, HAROLD	TEMPLETON FOREIGN FUND A	0.00	31.08	0.00	31.08	90.0	27.97
07/31/2017	GONZALEZ, JENNIFER	TEMPLETON GROWTH 529 A	0.00	2.22	0.00	2.22	90.0	2.00
07/12/2017	CONDRAY, GADA	TRANSAMERICA MLP & ENERGY INC - C	0.00	5.38	0.00	5.38	90.0	4.84
08/02/2017	RADEMAKERS, DAVID	TRANSAMERICA MLP & ENERGY INC - C	0.00	4.79	0.00	4.79	90.0	4.31
08/02/2017	RADEMAKERS, DAVID	TRANSAMERICA MLP & ENERGY INC - C	0.00	17.78	0.00	17.78	90.0	16.00
08/02/2017	U/A DTD 07-19-2007	TRANSAMERICA MLP & ENERGY INC - C	0.00	13.60	0.00	13.60	90.0	12.24
* MUTUAL FUNDS SUBTOTAL *			\$456.12	\$763.25	\$0.00	\$763.25		\$686.96
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/31/2017	HENSLEE, CHARLES	JNL PERSPECTIVE	9,353.51	1.95	0.00	1.95	90.0	1.76
07/31/2017	GORDON, JEFFREY	JNL PERSPECTIVE II 04/14	99,118.53	41.30	0.00	41.30	90.0	37.17
07/31/2017	CADY, KENDA	JNL PERSPECTIVE L	28,001.69	30.34	0.00	30.34	90.0	27.31
07/31/2017	HEIL, ROBERT	JNL PERSPECTIVE L	56,519.25	61.23	0.00	61.23	90.0	55.11
07/31/2017	PARKIN, DAVID	JNL PERSPECTIVE L	64,148.80	69.49	0.00	69.49	90.0	62.54
07/31/2017	TOMLINSON, HAROLD	JNL PERSPECTIVE L	113,133.94	122.56	0.00	122.56	90.0	110.30

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
07/31/2017	MARKS, FRANCES	JNL PERSPECTIVE L	45,740.34	49.55	0.00	49.55	90.0	44.60
07/31/2017	MARKS, RICHARD	JNL PERSPECTIVE L	45,840.54	49.66	0.00	49.66	90.0	44.69
07/31/2017	SENESE, CATHERINE	JNL PERSPECTIVE L	6,747.38	7.31	0.00	7.31	90.0	6.58
07/31/2017	HARVEY, HELEN	JNL PERSPECTIVE L	88,237.56	95.59	0.00	95.59	90.0	86.03
07/31/2017	SENESE, CARMEN	JNL PERSPECTIVE L	21,941.44	23.77	0.00	23.77	90.0	21.39
07/31/2017	HARVEY, JESSE	JNL PERSPECTIVE L	107,636.99	116.61	0.00	116.61	90.0	104.95
07/31/2017	PERRY, JANINE	JNL PERSPECTIVE L	18,315.88	19.84	0.00	19.84	90.0	17.86
07/31/2017	LILES, ROBERT	JOHN HANCOCK VENTURE III	194,282.65	161.90	0.00	161.90	90.0	145.71
07/31/2017	GRAVITT, ELIZABETH	JOHN HANCOCK VENTURE III	70,921.68	59.10	0.00	59.10	90.0	53.19
* VARIABLE ANNUITIES SUBTOTAL *			\$969,940.18	\$910.20	\$0.00	\$910.20		\$819.19
*** BROKER TRANSACTIONS TOTAL ***			\$1,020,396.30	\$5,313.09	\$0.00	\$5,313.09		\$4,841.36

VERRIDE TRANSACTIONS**BROKER: MARK-S141 REG SMITH #367**

07/12/2017	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	3.83	0.00	3.83	48.0	1.61
07/12/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.03
07/12/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.03
07/12/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.18	0.00	6.18	48.0	2.60
07/12/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.39	0.00	3.39	48.0	1.42
07/12/2017	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.48	0.00	1.48	48.0	0.62
07/12/2017	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	3.72	0.00	3.72	48.0	1.56
07/12/2017	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.14	0.00	0.14	48.0	0.06
07/12/2017	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.14	0.00	0.14	48.0	0.06
07/12/2017	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.44	0.00	2.44	48.0	1.02
07/12/2017	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.24	0.00	3.24	48.0	1.36
07/12/2017	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
07/12/2017	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
07/12/2017	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	48.0	0.08
07/12/2017	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	48.0	0.08
07/31/2017	BOMMARITO, VICTORIA	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	16.51	0.00	16.51	48.0	6.93
07/31/2017	TMICO	FRANKLIN INCOME C	0.00	7.86	0.00	7.86	48.0	3.30
07/31/2017	SPURGIN, LISBETH	FRANKLIN TOTAL RETURN FUNDS -CL C	0.00	5.36	0.00	5.36	48.0	2.25

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARK-S141 REG SMITH #367</u>								
07/31/2017	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	0.00	0.03	0.00	0.03	48.0	0.01
07/31/2017	MCCORMICK, DEBORAH	FT FOUNDING FUNDS ALLOC A	0.00	27.13	0.00	27.13	48.0	11.39
07/12/2017	BOWLAND, BOBBY	INVESCO MIDCAP CORE EQUITY A	0.00	7.58	0.00	7.58	48.0	3.18
07/31/2017	RHODES, VIRGINIA	JNL ELITE ACCESS	74,479.37	15.52	0.00	15.52	48.0	6.52
07/31/2017	NOBLES, VERLIE	JNL PERSPECTIVE L	30,885.60	33.46	0.00	33.46	48.0	14.05
07/31/2017	SPURGIN, BRENT	JNL PERSPECTIVE L	37,628.31	40.76	0.00	40.76	48.0	17.12
07/31/2017	GODWIN, SANDRA	JNL PERSPECTIVE L	148,546.91	160.93	0.00	160.93	48.0	67.59
07/31/2017	WALLACE, DEBORREH	JNL PERSPECTIVE L	81,521.13	67.93	0.00	67.93	48.0	28.53
07/31/2017	NOBLES, VERLIE	JOHN HANCOCK VENTURE III	29,187.06	24.32	0.00	24.32	48.0	10.21
07/12/2017	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.97	0.00	0.97	48.0	0.41
07/12/2017	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.81	0.00	0.81	48.0	0.34
07/28/2017	BURNS, ANNE	SEC BEN 814121158	37,026.71	30.84	0.00	30.84	48.0	12.95
08/02/2017	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	11.06	0.00	11.06	48.0	4.65
08/02/2017	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.36	0.00	4.36	48.0	1.83
* MARK-S141 REG SMITH SUBTOTAL *			\$439,275.09	\$480.67	\$0.00	\$480.67		\$201.85
<u>BROKER: MARK-S142 ALTS SMITH #368</u>								
07/30/2017	SMITH, VARIOUS-MARK	BLUEROCK TOTAL INC & RE FUND	0.00	9.43	0.00	9.43	0.0	4.05
* MARK-S142 ALTS SMITH SUBTOTAL *			\$0.00	\$9.43	\$0.00	\$9.43		\$4.05
*** OVERRIDE TRANSACTIONS TOTAL ***			\$439,275.09	\$490.10	\$0.00	\$490.10		\$205.90
<u> ADJUSTMENTS </u>								
08/15/2017		*AUDIT FEE: BRANCH EXAM	0.00					-1,305.78
08/15/2017		*BAL CARRIED FORWARD: 7/31/15 INTER	0.00					21.82
08/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
08/15/2017		*MISC: 2% ADJUSTMENT-ALT INV	0.00					20.67
08/15/2017		*RBC: WRITE OFF-7A503053	0.00					-25.00
08/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,863.29

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BROKER COMMISSION TOTALS

Broker Transactions	\$4,841.36
Split Transactions	\$0.00
Override Transactions	\$205.90
Adjustments	\$-1,863.29
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,183.97
Year-to-date Gross	\$48,321.85
Year-to-date Earnings	\$83,493.21