

STATEMENT

January 20, 2018

STEVEN EPPICH
1991 Crocker Rd
Suite 600
Westlake, OH 44145

BROKER #: 180
BRANCH: LA BRANCH

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4707600	SHEPHERD, ROBERTA	PRECIOUS METALS-SVC	0.00	15.37	95.0	0.00	14.60	14.60
11/30/2017	#4700555	GIAR, MICHAEL	PRECIOUS METALS-SVC	0.00	13.56	95.0	0.00	12.88	12.88
11/30/2017	#4766503	ZIEGLER, GLENN	PRECIOUS METALS-SVC	0.00	4.71	95.0	0.00	4.47	4.47
11/30/2017	#4778991	SENAL, SHARON	PRECIOUS METALS-SVC	0.00	0.36	95.0	0.00	0.34	0.34
11/30/2017	#4009864	NOVAK, KATHLEEN	PRECIOUS METALS-SVC	0.00	2.14	95.0	0.00	2.03	2.03
11/30/2017	#4702433	LUNGO, MICHAEL	PRECIOUS METALS-SVC	0.00	6.31	95.0	0.00	5.99	5.99
11/30/2017	#4772618	BAYLESS, MAUREEN	PRECIOUS METALS-SVC	0.00	1.63	95.0	0.00	1.55	1.55
11/30/2017	#4774802	BAYLESS, GLENN	PRECIOUS METALS-SVC	0.00	0.58	95.0	0.00	0.55	0.55
11/30/2017	#4787370	TWEEDLE, REBECCA	PRECIOUS METALS-SVC	0.00	3.35	95.0	0.00	3.18	3.18
11/30/2017	#4778700	SENAL, CARL	PRECIOUS METALS-SVC	0.00	0.36	95.0	0.00	0.34	0.34
11/30/2017	#4766325	PARKER, BETTY	PRECIOUS METALS-SVC	0.00	2.05	95.0	0.00	1.95	1.95
11/30/2017	#4790162		PRECIOUS METALS-SVC	0.00	0.84	95.0	0.00	0.80	0.80
11/30/2017	#4732842	BASZAK, LINDA	PRECIOUS METALS-SVC	0.00	4.66	95.0	0.00	4.43	4.43
11/30/2017	#4744999	CRAIG, SALLY	PRECIOUS METALS-SVC	0.00	8.85	95.0	0.00	8.41	8.41
11/30/2017	#4702421	LUNGO, MICHAEL	PRECIOUS METALS-SVC	0.00	12.97	95.0	0.00	12.32	12.32
11/30/2017	#4768091	GEIS, TIMOTHY	PRECIOUS METALS-SVC	0.00	1.90	95.0	0.00	1.81	1.81
11/30/2017	#4588695	HENKLE, KARE	PRECIOUS METALS-SVC	0.00	13.15	95.0	0.00	12.49	12.49
11/30/2017	#4787723	FOWKES, DEANA	PRECIOUS METALS-SVC	0.00	0.54	95.0	0.00	0.51	0.51
11/30/2017	#4719504	BARON, GEORGE	PRECIOUS METALS-SVC	0.00	6.60	95.0	0.00	6.27	6.27
11/30/2017	#4768483	EPPICH, BERT	PRECIOUS METALS-SVC	0.00	11.41	95.0	0.00	10.84	10.84
11/30/2017	#4761969	EPPICH, MITCHELL	PRECIOUS METALS-SVC	0.00	0.87	95.0	0.00	0.83	0.83
11/30/2017	#4768089	SWANSON, LISA	PRECIOUS METALS-SVC	0.00	2.07	95.0	0.00	1.97	1.97
11/30/2017	#4651980	PETRUS, JOHN	PRECIOUS METALS-SVC	0.00	1.77	95.0	0.00	1.68	1.68
11/30/2017	#4707939	SHEPHERD, LARRY	PRECIOUS METALS-SVC	0.00	26.97	95.0	0.00	25.62	25.62
11/30/2017	#4651562	PETRUS, KELLY	PRECIOUS METALS-SVC	0.00	1.31	95.0	0.00	1.24	1.24
11/30/2017	#4651966	PETRUS, JOHN	PRECIOUS METALS-SVC	0.00	10.73	95.0	0.00	10.19	10.19
11/30/2017	#4613345	BABULA, JEANNETTE	PRECIOUS METALS-SVC	0.00	7.00	95.0	0.00	6.65	6.65
11/30/2017	#3171081	CRAIG, SALLY	PRECIOUS METALS-SVC	0.00	3.52	95.0	0.00	3.34	3.34

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4732917 BASZAK, ANDREW	PRECIOUS METALS-SVC	0.00	1.27	95.0	0.00	1.21	0.00	1.21
11/30/2017	#4580243 TWEEDLE, REBECCA	PRECIOUS METALS-SVC	0.00	51.75	95.0	0.00	49.16	0.00	49.16
11/30/2017	#4733135 BASZAK, LINDA	PRECIOUS METALS-SVC	0.00	4.61	95.0	0.00	4.38	0.00	4.38
11/30/2017	#4636320 FERRY, DEANNA	PRECIOUS METALS-SVC	0.00	1.85	95.0	0.00	1.76	0.00	1.76
11/30/2017	#4719516 LUNGO, MICHELE	PRECIOUS METALS-SVC	0.00	1.53	95.0	0.00	1.45	0.00	1.45
11/30/2017	#4707598 PERKINS, MICHAEL	PRECIOUS METALS-SVC	0.00	44.98	95.0	0.00	42.73	0.00	42.73
11/30/2017	#4168934 TWEEDLE, REBECCA	PRECIOUS METALS-SVC	0.00	12.01	95.0	0.00	11.41	0.00	11.41
11/30/2017	#4560001 WILLIAMS, WALTER	PRECIOUS METALS-SVC	0.00	7.02	95.0	0.00	6.67	0.00	6.67
11/30/2017	#4774790 BAYLESS, SCOTT	PRECIOUS METALS-SVC	0.00	0.46	95.0	0.00	0.44	0.00	0.44
11/30/2017	#4717221 MAREK, NORMAN	PRECIOUS METALS-SVC	0.00	5.08	95.0	0.00	4.83	0.00	4.83
11/30/2017	#4766491 GELENIUS, JOHN	PRECIOUS METALS-SVC	0.00	8.14	95.0	0.00	7.73	0.00	7.73
11/30/2017	#4768115 MCCREA, PATRIC	PRECIOUS METALS-SVC	0.00	1.36	95.0	0.00	1.29	0.00	1.29
11/30/2017	#4770398 NASH, GERALDINE	PRECIOUS METALS-SVC	0.00	2.76	95.0	0.00	2.62	0.00	2.62
11/30/2017	#4410698 DALE, VALL	PRECIOUS METALS-SVC	0.00	3.74	95.0	0.00	3.55	0.00	3.55
11/30/2017	#4707612 SHEPHERD, LARRY	PRECIOUS METALS-SVC	0.00	4.30	95.0	0.00	4.09	0.00	4.09
11/30/2017	#4388296 CAINE, MARY	PRECIOUS METALS-SVC	0.00	42.42	95.0	0.00	40.30	0.00	40.30
11/30/2017	#3171093 KLEINS, ROGE	PRECIOUS METALS-SVC	0.00	39.00	95.0	0.00	37.05	0.00	37.05
11/30/2017	#4659696 HAYES, ROBERT	PRECIOUS METALS-SVC	0.00	0.98	95.0	0.00	0.93	0.00	0.93
11/30/2017	#4755144 HARRISON, BRENDA	PRECIOUS METALS-SVC	0.00	2.72	95.0	0.00	2.58	0.00	2.58
11/30/2017	#4773178 BAYLESS, MAUREEN	PRECIOUS METALS-SVC	0.00	47.20	95.0	0.00	44.84	0.00	44.84
11/30/2017	#4784979 PICONE, NATALE	PRECIOUS METALS-SVC	0.00	8.00	95.0	0.00	7.60	0.00	7.60
11/30/2017	#4732448 REYNARD, JOAN	PRECIOUS METALS-SVC	0.00	2.85	95.0	0.00	2.71	0.00	2.71
11/30/2017	#3262526 GIAR, MICHAEL	PRECIOUS METALS-SVC	0.00	18.04	95.0	0.00	17.14	0.00	17.14
11/30/2017	#4655357 FERRY, STEPHEN	PRECIOUS METALS-SVC	0.00	10.86	95.0	0.00	10.32	0.00	10.32
11/30/2017	#4775793 GUZI, EDWARD	PRECIOUS METALS-SVC	0.00	12.04	95.0	0.00	11.44	0.00	11.44
11/30/2017	#3907781 TWEEDLE, THOMAS	PRECIOUS METALS-SVC	0.00	10.71	95.0	0.00	10.17	0.00	10.17
11/30/2017	#4173833 FRIES, ROBERT	PRECIOUS METALS-SVC	0.00	17.10	95.0	0.00	16.25	0.00	16.25
11/30/2017	#4103281 WEAVER, AMY	PRECIOUS METALS-SVC	0.00	3.80	95.0	0.00	3.61	0.00	3.61
11/30/2017	#4644961 STRAUB, JASON	PRECIOUS METALS-SVC	0.00	2.05	95.0	0.00	1.95	0.00	1.95
11/30/2017	#4731687 TWEEDLE, THOMAS	PRECIOUS METALS-SVC	0.00	1.55	95.0	0.00	1.47	0.00	1.47
11/30/2017	#4761957 EPPICH, MITCHELL	PRECIOUS METALS-SVC	0.00	1.31	95.0	0.00	1.24	0.00	1.24
11/30/2017	#4772644 BAYLESS, GLENN	PRECIOUS METALS-SVC	0.00	4.33	95.0	0.00	4.11	0.00	4.11
11/30/2017	#4702458 JAKUBOWSKI, ROBERT	PRECIOUS METALS-SVC	0.00	17.60	95.0	0.00	16.72	0.00	16.72
11/30/2017	#4605162 SHEPHERD, LARRY	PRECIOUS METALS-SVC	0.00	3.87	95.0	0.00	3.68	0.00	3.68
11/30/2017	#4766515 ZIEGLER, BEVERLY	PRECIOUS METALS-SVC	0.00	1.24	95.0	0.00	1.18	0.00	1.18
11/30/2017	#4775324 TOD	PRECIOUS METALS-SVC	0.00	4.88	95.0	0.00	4.64	0.00	4.64

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4104939 FRIES, SANDRA	PRECIOUS METALS-SVC	0.00	39.23	95.0	0.00	37.27	0.00	37.27
11/30/2017	#3974627 WESLEY, FRANCES	PRECIOUS METALS-SVC	0.00	12.73	95.0	0.00	12.09	0.00	12.09
11/30/2017	#4750030 WING, THOMAS	PRECIOUS METALS-SVC	0.00	5.93	95.0	0.00	5.63	0.00	5.63
11/30/2017	#4787711 NARDI, SCOTT	PRECIOUS METALS-SVC	0.00	0.65	95.0	0.00	0.62	0.00	0.62
11/30/2017	#4392116 NOCK, PAMELA	PRECIOUS METALS-SVC	0.00	17.42	95.0	0.00	16.55	0.00	16.55
11/30/2017	#4103230 WEAVER, LAURA	PRECIOUS METALS-SVC	0.00	2.89	95.0	0.00	2.75	0.00	2.75
11/30/2017	#4773192 SWANSON, LISA	PRECIOUS METALS-SVC	0.00	2.21	95.0	0.00	2.10	0.00	2.10
11/30/2017	#3907805 TWEEDLE, THOMAS	PRECIOUS METALS-SVC	0.00	8.61	95.0	0.00	8.18	0.00	8.18
11/30/2017	#4707586 SHEPHERD, ROBERTA	PRECIOUS METALS-SVC	0.00	1.39	95.0	0.00	1.32	0.00	1.32
11/30/2017	#3224514 PICKER, JOHN	PRECIOUS METALS-SVC	0.00	0.63	95.0	0.00	0.60	0.00	0.60
11/30/2017	#4766541 GELENIUS, JOHN	PRECIOUS METALS-SVC	0.00	6.88	95.0	0.00	6.54	0.00	6.54
11/30/2017	#4732436 REYNARD, JOAN	PRECIOUS METALS-SVC	0.00	0.62	95.0	0.00	0.59	0.00	0.59
11/30/2017	#4763532 JARRETT, JOHN	PRECIOUS METALS-SVC	0.00	8.95	95.0	0.00	8.50	0.00	8.50
11/30/2017	#4734505 TESTEN, AND	PRECIOUS METALS-SVC	0.00	9.75	95.0	0.00	9.26	0.00	9.26
11/30/2017	#3878675 WEAVER, ANN	PRECIOUS METALS-SVC	0.00	37.52	95.0	0.00	35.64	0.00	35.64
11/30/2017	#4763633 SCHMIT, MICH	PRECIOUS METALS-SVC	0.00	13.77	95.0	0.00	13.08	0.00	13.08
11/30/2017	#4778787 SENAL, SHARON	PRECIOUS METALS-SVC	0.00	1.49	95.0	0.00	1.42	0.00	1.42
11/30/2017	#4784702 PICONE, ANNE	PRECIOUS METALS-SVC	0.00	34.40	95.0	0.00	32.68	0.00	32.68
11/30/2017	#4192643 BORGIONE, GEORGE	PRECIOUS METALS-SVC	0.00	2.59	95.0	0.00	2.46	0.00	2.46
11/30/2017	#4775312 BRONSON, MARILYNN	PRECIOUS METALS-SVC	0.00	5.95	95.0	0.00	5.65	0.00	5.65
11/30/2017	#4772505 PEREZ, DOROTHY	PRECIOUS METALS-SVC	0.00	14.23	95.0	0.00	13.52	0.00	13.52
11/30/2017	#4168997 TWEEDLE, THOMAS	PRECIOUS METALS-SVC	0.00	41.71	95.0	0.00	39.62	0.00	39.62
11/30/2017	#3518949 KHOSLA, CHANDA	PRECIOUS METALS-SVC	0.00	50.91	95.0	0.00	48.36	0.00	48.36
11/30/2017	#4605162 SHEPHERD, LARRY	PRECIOUS METALS-SVC	0.00	1.29	95.0	0.00	1.23	0.00	1.23
11/30/2017	#4613345 BABULA, JEANNETTE	PRECIOUS METALS-SVC	0.00	2.33	95.0	0.00	2.21	0.00	2.21
11/30/2017	#4636320 FERRY, DEANNA	PRECIOUS METALS-SVC	0.00	0.62	95.0	0.00	0.59	0.00	0.59
11/30/2017	#4644961 STRAUB, JASON	PRECIOUS METALS-SVC	0.00	0.68	95.0	0.00	0.65	0.00	0.65
11/30/2017	#4655357 FERRY, STEPHEN	PRECIOUS METALS-SVC	0.00	3.62	95.0	0.00	3.44	0.00	3.44
11/30/2017	#4659696 HAYES, ROBERT	PRECIOUS METALS-SVC	0.00	0.33	95.0	0.00	0.31	0.00	0.31
11/30/2017	#4700555 GIAR, MICHAEL	PRECIOUS METALS-SVC	0.00	4.52	95.0	0.00	4.29	0.00	4.29
11/30/2017	#4702421 LUNGO, MICHAEL	PRECIOUS METALS-SVC	0.00	4.32	95.0	0.00	4.10	0.00	4.10
11/30/2017	#4702433 LUNGO, MICHAEL	PRECIOUS METALS-SVC	0.00	2.10	95.0	0.00	2.00	0.00	2.00
11/30/2017	#4702458 JAKUBOWSKI, ROBERT	PRECIOUS METALS-SVC	0.00	5.87	95.0	0.00	5.58	0.00	5.58
11/30/2017	#4707586 SHEPHERD, ROBERTA	PRECIOUS METALS-SVC	0.00	0.46	95.0	0.00	0.44	0.00	0.44
11/30/2017	#4707598 PERKINS, MICHAEL	PRECIOUS METALS-SVC	0.00	14.99	95.0	0.00	14.24	0.00	14.24
11/30/2017	#4707600 SHEPHERD, ROBERTA	PRECIOUS METALS-SVC	0.00	5.12	95.0	0.00	4.86	0.00	4.86

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4707612	SHEPHERD, LARRY	PRECIOUS METALS-SVC	0.00	1.43	95.0	0.00	1.36	1.36
11/30/2017	#4707939	SHEPHERD, LARRY	PRECIOUS METALS-SVC	0.00	8.99	95.0	0.00	8.54	8.54
11/30/2017	#4717221	MAREK, NORMAN	PRECIOUS METALS-SVC	0.00	1.69	95.0	0.00	1.61	1.61
11/30/2017	#4719504	BARON, GEORGE	PRECIOUS METALS-SVC	0.00	2.20	95.0	0.00	2.09	2.09
11/30/2017	#4719516	LUNGO, MICHELE	PRECIOUS METALS-SVC	0.00	0.51	95.0	0.00	0.48	0.48
11/30/2017	#4732448	REYNARD, JOAN	PRECIOUS METALS-SVC	0.00	0.95	95.0	0.00	0.90	0.90
11/30/2017	#4732842	BASZAK, LINDA	PRECIOUS METALS-SVC	0.00	1.55	95.0	0.00	1.47	1.47
11/30/2017	#4732917	BASZAK, ANDREW	PRECIOUS METALS-SVC	0.00	0.42	95.0	0.00	0.40	0.40
11/30/2017	#4734505	TESTEN, AND	PRECIOUS METALS-SVC	0.00	3.25	95.0	0.00	3.09	3.09
11/30/2017	#4750030	WING, THOMAS	PRECIOUS METALS-SVC	0.00	1.98	95.0	0.00	1.88	1.88
11/30/2017	#4761957	EPPICH, MITCHELL	PRECIOUS METALS-SVC	0.00	0.44	95.0	0.00	0.42	0.42
11/30/2017	#4761969	EPPICH, MITCHELL	PRECIOUS METALS-SVC	0.00	0.29	95.0	0.00	0.28	0.28
11/30/2017	#4763532	JARRETT, JOHN	PRECIOUS METALS-SVC	0.00	2.98	95.0	0.00	2.83	2.83
11/30/2017	#4763633	SCHMIT, MICH	PRECIOUS METALS-SVC	0.00	4.59	95.0	0.00	4.36	4.36
11/30/2017	#4766325	PARKER, BETTY	PRECIOUS METALS-SVC	0.00	0.68	95.0	0.00	0.65	0.65
11/30/2017	#4766491	GELENIUS, JOHN	PRECIOUS METALS-SVC	0.00	2.71	95.0	0.00	2.57	2.57
11/30/2017	#4766503	ZIEGLER, GLENN	PRECIOUS METALS-SVC	0.00	1.57	95.0	0.00	1.49	1.49
11/30/2017	#4766515	ZIEGLER, BEVERLY	PRECIOUS METALS-SVC	0.00	0.41	95.0	0.00	0.39	0.39
11/30/2017	#4766541	GELENIUS, JOHN	PRECIOUS METALS-SVC	0.00	2.29	95.0	0.00	2.18	2.18
11/30/2017	#4768089	SWANSON, LISA	PRECIOUS METALS-SVC	0.00	0.69	95.0	0.00	0.66	0.66
11/30/2017	#4768091	GEIS, TIMOTHY	PRECIOUS METALS-SVC	0.00	0.63	95.0	0.00	0.60	0.60
11/30/2017	#4768115	MCCREA, PATRIC	PRECIOUS METALS-SVC	0.00	0.45	95.0	0.00	0.43	0.43
11/30/2017	#4768483	EPPICH, BERT	PRECIOUS METALS-SVC	0.00	3.80	95.0	0.00	3.61	3.61
11/30/2017	#4770398	NASH, GERALDINE	PRECIOUS METALS-SVC	0.00	0.92	95.0	0.00	0.87	0.87
11/30/2017	#4772505	PEREZ, DOROTHY	PRECIOUS METALS-SVC	0.00	4.74	95.0	0.00	4.50	4.50
11/30/2017	#4772618	BAYLESS, MAUREEN	PRECIOUS METALS-SVC	0.00	0.54	95.0	0.00	0.51	0.51
11/30/2017	#4772644	BAYLESS, GLENN	PRECIOUS METALS-SVC	0.00	1.44	95.0	0.00	1.37	1.37
11/30/2017	#4773178	BAYLESS, MAUREEN	PRECIOUS METALS-SVC	0.00	15.73	95.0	0.00	14.94	14.94
11/30/2017	#4773192	SWANSON, LISA	PRECIOUS METALS-SVC	0.00	0.74	95.0	0.00	0.70	0.70
11/30/2017	#4774790	BAYLESS, SCOTT	PRECIOUS METALS-SVC	0.00	0.15	95.0	0.00	0.14	0.14
11/30/2017	#4774802	BAYLESS, GLENN	PRECIOUS METALS-SVC	0.00	0.19	95.0	0.00	0.18	0.18
11/30/2017	#4775312	BRONSON, MARILYNN	PRECIOUS METALS-SVC	0.00	1.98	95.0	0.00	1.88	1.88
11/30/2017	#4775324	TOD	PRECIOUS METALS-SVC	0.00	1.63	95.0	0.00	1.55	1.55
11/30/2017	#4775793	GUZI, EDWARD	PRECIOUS METALS-SVC	0.00	4.01	95.0	0.00	3.81	3.81
11/30/2017	#4778700	SENAL, CARL	PRECIOUS METALS-SVC	0.00	0.12	95.0	0.00	0.11	0.11
11/30/2017	#4778787	SENAL, SHARON	PRECIOUS METALS-SVC	0.00	0.50	95.0	0.00	0.48	0.48

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4778991 SENAL, SHARON	PRECIOUS METALS-SVC	0.00	0.12	95.0	0.00	0.11	0.00	0.11
11/30/2017	#4784702 PICONE, ANNE	PRECIOUS METALS-SVC	0.00	11.47	95.0	0.00	10.90	0.00	10.90
11/30/2017	#4784979 PICONE, NATALE	PRECIOUS METALS-SVC	0.00	2.67	95.0	0.00	2.54	0.00	2.54
11/30/2017	#4787370 TWEEDLE, REBECCA	PRECIOUS METALS-SVC	0.00	1.12	95.0	0.00	1.06	0.00	1.06
11/30/2017	#4787711 NARDI, SCOTT	PRECIOUS METALS-SVC	0.00	0.22	95.0	0.00	0.21	0.00	0.21
11/30/2017	#4787723 FOWKES, DEANA	PRECIOUS METALS-SVC	0.00	0.18	95.0	0.00	0.17	0.00	0.17
11/30/2017	#3171081 CRAIG, SALLY	PRECIOUS METALS-SVC	0.00	1.17	95.0	0.00	1.11	0.00	1.11
11/30/2017	#3171093 KLEINS, ROGE	PRECIOUS METALS-SVC	0.00	13.00	95.0	0.00	12.35	0.00	12.35
11/30/2017	#3224514 PICKER, JOHN	PRECIOUS METALS-SVC	0.00	0.21	95.0	0.00	0.20	0.00	0.20
11/30/2017	#3262526 GIAR, MICHAEL	PRECIOUS METALS-SVC	0.00	6.01	95.0	0.00	5.71	0.00	5.71
11/30/2017	#3518949 KHOSLA, CHANDA	PRECIOUS METALS-SVC	0.00	16.97	95.0	0.00	16.12	0.00	16.12
11/30/2017	#3878675 WEAVER, ANN	PRECIOUS METALS-SVC	0.00	12.51	95.0	0.00	11.88	0.00	11.88
11/30/2017	#3907781 TWEEDLE, THOMAS	PRECIOUS METALS-SVC	0.00	3.57	95.0	0.00	3.39	0.00	3.39
11/30/2017	#3907805 TWEEDLE, THOMAS	PRECIOUS METALS-SVC	0.00	2.87	95.0	0.00	2.73	0.00	2.73
11/30/2017	#3974627 WESLEY, FRANCES	PRECIOUS METALS-SVC	0.00	4.24	95.0	0.00	4.03	0.00	4.03
11/30/2017	#4009864 NOVAK, KATHLEEN	PRECIOUS METALS-SVC	0.00	0.71	95.0	0.00	0.67	0.00	0.67
11/30/2017	#4103230 WEAVER, LAURA	PRECIOUS METALS-SVC	0.00	0.96	95.0	0.00	0.91	0.00	0.91
11/30/2017	#4103281 WEAVER, AMY	PRECIOUS METALS-SVC	0.00	1.27	95.0	0.00	1.21	0.00	1.21
11/30/2017	#4104939 FRIES, SANDRA	PRECIOUS METALS-SVC	0.00	13.08	95.0	0.00	12.43	0.00	12.43
11/30/2017	#4168934 TWEEDLE, REBECCA	PRECIOUS METALS-SVC	0.00	4.00	95.0	0.00	3.80	0.00	3.80
11/30/2017	#4168997 TWEEDLE, THOMAS	PRECIOUS METALS-SVC	0.00	13.90	95.0	0.00	13.21	0.00	13.21
11/30/2017	#4173833 FRIES, ROBERT	PRECIOUS METALS-SVC	0.00	5.70	95.0	0.00	5.42	0.00	5.42
11/30/2017	#4192643 BORGIONE, GEORGE	PRECIOUS METALS-SVC	0.00	0.86	95.0	0.00	0.82	0.00	0.82
11/30/2017	#4388296 CAINE, MARY	PRECIOUS METALS-SVC	0.00	14.14	95.0	0.00	13.43	0.00	13.43
11/30/2017	#4392116 NOCK, PAMELA	PRECIOUS METALS-SVC	0.00	5.81	95.0	0.00	5.52	0.00	5.52
11/30/2017	#4410698 DALE, VALL	PRECIOUS METALS-SVC	0.00	1.25	95.0	0.00	1.19	0.00	1.19
11/30/2017	#4560001 WILLIAMS, WALTER	PRECIOUS METALS-SVC	0.00	2.34	95.0	0.00	2.22	0.00	2.22
11/30/2017	#4580243 TWEEDLE, REBECCA	PRECIOUS METALS-SVC	0.00	17.25	95.0	0.00	16.39	0.00	16.39
11/30/2017	#4588695 HENKLE, KARE	PRECIOUS METALS-SVC	0.00	4.38	95.0	0.00	4.16	0.00	4.16
11/30/2017	#4651562 PETRUS, KELLY	PRECIOUS METALS-SVC	0.00	0.44	95.0	0.00	0.42	0.00	0.42
11/30/2017	#4651966 PETRUS, JOHN	PRECIOUS METALS-SVC	0.00	3.58	95.0	0.00	3.40	0.00	3.40
11/30/2017	#4651980 PETRUS, JOHN	PRECIOUS METALS-SVC	0.00	0.59	95.0	0.00	0.56	0.00	0.56
11/30/2017	#4731687 TWEEDLE, THOMAS	PRECIOUS METALS-SVC	0.00	0.52	95.0	0.00	0.49	0.00	0.49
11/30/2017	#4732436 REYNARD, JOAN	PRECIOUS METALS-SVC	0.00	0.21	95.0	0.00	0.20	0.00	0.20
11/30/2017	#4733135 BASZAK, LINDA	PRECIOUS METALS-SVC	0.00	1.54	95.0	0.00	1.46	0.00	1.46
11/30/2017	#4744999 CRAIG, SALLY	PRECIOUS METALS-SVC	0.00	2.95	95.0	0.00	2.80	0.00	2.80

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4755144 HARRISON, BRENDA	PRECIOUS METALS-SVC	0.00	0.91	95.0	0.00	0.86	0.00	0.86
11/30/2017	#4790162	PRECIOUS METALS-SVC	0.00	0.28	95.0	0.00	0.27	0.00	0.27
11/30/2017	#4766325 PARKER, BETTY	RISING RATES-SVC	0.00	6.21	95.0	0.00	5.90	0.00	5.90
11/30/2017	#4778787 SENAL, SHARON	RISING RATES-SVC	0.00	2.77	95.0	0.00	2.63	0.00	2.63
11/30/2017	#4719504 BARON, GEORGE	RISING RATES-SVC	0.00	12.32	95.0	0.00	11.70	0.00	11.70
11/30/2017	#4768089 SWANSON, LISA	RISING RATES-SVC	0.00	2.39	95.0	0.00	2.27	0.00	2.27
11/30/2017	#4768483 EPPICH, BERT	RISING RATES-SVC	0.00	13.20	95.0	0.00	12.54	0.00	12.54
11/30/2017	#4768091 GEIS, TIMOTHY	RISING RATES-SVC	0.00	3.59	95.0	0.00	3.41	0.00	3.41
11/30/2017	#4192643 BORGIONE, GEORGE	RISING RATES-SVC	0.00	4.84	95.0	0.00	4.60	0.00	4.60
11/30/2017	#3224514 PICKER, JOHN	RISING RATES-SVC	0.00	0.73	95.0	0.00	0.69	0.00	0.69
11/30/2017	#4766541 GELENIUS, JOHN	RISING RATES-SVC	0.00	12.85	95.0	0.00	12.21	0.00	12.21
11/30/2017	#3518949 KHOSLA, CHANDA	RISING RATES-SVC	0.00	58.70	95.0	0.00	55.77	0.00	55.77
11/30/2017	#4560001 WILLIAMS, WALTER	RISING RATES-SVC	0.00	21.31	95.0	0.00	20.24	0.00	20.24
11/30/2017	#4702421 LUNGO, MICHAEL	RISING RATES-SVC	0.00	15.01	95.0	0.00	14.26	0.00	14.26
11/30/2017	#4774802 BAYLESS, GLENN	RISING RATES-SVC	0.00	0.67	95.0	0.00	0.64	0.00	0.64
11/30/2017	#4790162	RISING RATES-SVC	0.00	12.15	95.0	0.00	11.54	0.00	11.54
11/30/2017	#4775793 GUZI, EDWARD	RISING RATES-SVC	0.00	13.93	95.0	0.00	13.23	0.00	13.23
11/30/2017	#3907781 TWEEDLE, THOMAS	RISING RATES-SVC	0.00	12.39	95.0	0.00	11.77	0.00	11.77
11/30/2017	#4707586 SHEPHERD, ROBERTA	RISING RATES-SVC	0.00	1.60	95.0	0.00	1.52	0.00	1.52
11/30/2017	#4392116 NOCK, PAMELA	RISING RATES-SVC	0.00	32.52	95.0	0.00	30.89	0.00	30.89
11/30/2017	#4773178 BAYLESS, MAUREEN	RISING RATES-SVC	0.00	143.35	95.0	0.00	136.18	0.00	136.18
11/30/2017	#4784702 PICONE, ANNE	RISING RATES-SVC	0.00	39.81	95.0	0.00	37.82	0.00	37.82
11/30/2017	#4773192 SWANSON, LISA	RISING RATES-SVC	0.00	2.56	95.0	0.00	2.43	0.00	2.43
11/30/2017	#4168997 TWEEDLE, THOMAS	RISING RATES-SVC	0.00	48.27	95.0	0.00	45.86	0.00	45.86
11/30/2017	#4772505 PEREZ, DOROTHY	RISING RATES-SVC	0.00	26.58	95.0	0.00	25.25	0.00	25.25
11/30/2017	#4787370 TWEEDLE, REBECCA	RISING RATES-SVC	0.00	3.88	95.0	0.00	3.69	0.00	3.69
11/30/2017	#4787711 NARDI, SCOTT	RISING RATES-SVC	0.00	0.75	95.0	0.00	0.71	0.00	0.71
11/30/2017	#4651562 PETRUS, KELLY	RISING RATES-SVC	0.00	1.52	95.0	0.00	1.44	0.00	1.44
11/30/2017	#4009864 NOVAK, KATHLEEN	RISING RATES-SVC	0.00	4.00	95.0	0.00	3.80	0.00	3.80
11/30/2017	#3171081 CRAIG, SALLY	RISING RATES-SVC	0.00	6.58	95.0	0.00	6.25	0.00	6.25
11/30/2017	#4605162 SHEPHERD, LARRY	RISING RATES-SVC	0.00	11.75	95.0	0.00	11.16	0.00	11.16
11/30/2017	#4702458 JAKUBOWSKI, ROBERT	RISING RATES-SVC	0.00	20.37	95.0	0.00	19.35	0.00	19.35
11/30/2017	#4655357 FERRY, STEPHEN	RISING RATES-SVC	0.00	12.57	95.0	0.00	11.94	0.00	11.94
11/30/2017	#4103230 WEAVER, LAURA	RISING RATES-SVC	0.00	5.40	95.0	0.00	5.13	0.00	5.13
11/30/2017	#4707598 PERKINS, MICHAEL	RISING RATES-SVC	0.00	52.06	95.0	0.00	49.46	0.00	49.46
11/30/2017	#4774790 BAYLESS, SCOTT	RISING RATES-SVC	0.00	0.86	95.0	0.00	0.82	0.00	0.82

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4734505 TESTEN, AND	RISING RATES-SVC	0.00	11.28	95.0	0.00	10.72	0.00	10.72
11/30/2017	#4636320 FERRY, DEANNA	RISING RATES-SVC	0.00	2.16	95.0	0.00	2.05	0.00	2.05
11/30/2017	#4103281 WEAVER, AMY	RISING RATES-SVC	0.00	7.09	95.0	0.00	6.74	0.00	6.74
11/30/2017	#4733135 BASZAK, LINDA	RISING RATES-SVC	0.00	8.61	95.0	0.00	8.18	0.00	8.18
11/30/2017	#4766515 ZIEGLER, BEVERLY	RISING RATES-SVC	0.00	3.77	95.0	0.00	3.58	0.00	3.58
11/30/2017	#3974627 WESLEY, FRANCES	RISING RATES-SVC	0.00	23.77	95.0	0.00	22.58	0.00	22.58
11/30/2017	#4388296 CAINE, MARY	RISING RATES-SVC	0.00	79.22	95.0	0.00	75.26	0.00	75.26
11/30/2017	#4778991 SENAL, SHARON	RISING RATES-SVC	0.00	0.67	95.0	0.00	0.64	0.00	0.64
11/30/2017	#4744999 CRAIG, SALLY	RISING RATES-SVC	0.00	16.54	95.0	0.00	15.71	0.00	15.71
11/30/2017	#4768115 MCCREA, PATRIC	RISING RATES-SVC	0.00	2.54	95.0	0.00	2.41	0.00	2.41
11/30/2017	#4784979 PICONE, NATALE	RISING RATES-SVC	0.00	9.26	95.0	0.00	8.80	0.00	8.80
11/30/2017	#3907805 TWEEDLE, THOMAS	RISING RATES-SVC	0.00	9.97	95.0	0.00	9.47	0.00	9.47
11/30/2017	#3262526 GIAR, MICHAEL	RISING RATES-SVC	0.00	33.69	95.0	0.00	32.01	0.00	32.01
11/30/2017	#4750030 WING, THOMAS	RISING RATES-SVC	0.00	18.00	95.0	0.00	17.10	0.00	17.10
11/30/2017	#3171093 KLEINS, ROGE	RISING RATES-SVC	0.00	118.24	95.0	0.00	112.33	0.00	112.33
11/30/2017	#4644961 STRAUB, JASON	RISING RATES-SVC	0.00	5.49	95.0	0.00	5.22	0.00	5.22
11/30/2017	#4732842 BASZAK, LINDA	RISING RATES-SVC	0.00	8.71	95.0	0.00	8.27	0.00	8.27
11/30/2017	#4763532 JARRETT, JOHN	RISING RATES-SVC	0.00	16.71	95.0	0.00	15.87	0.00	15.87
11/30/2017	#4775312 BRONSON, MARILYNN	RISING RATES-SVC	0.00	11.11	95.0	0.00	10.55	0.00	10.55
11/30/2017	#4659696 HAYES, ROBERT	RISING RATES-SVC	0.00	1.13	95.0	0.00	1.07	0.00	1.07
11/30/2017	#4173833 FRIES, ROBERT	RISING RATES-SVC	0.00	31.93	95.0	0.00	30.33	0.00	30.33
11/30/2017	#4707600 SHEPHERD, ROBERTA	RISING RATES-SVC	0.00	17.78	95.0	0.00	16.89	0.00	16.89
11/30/2017	#4778700 SENAL, CARL	RISING RATES-SVC	0.00	0.67	95.0	0.00	0.64	0.00	0.64
11/30/2017	#4763633 SCHMIT, MICH	RISING RATES-SVC	0.00	25.73	95.0	0.00	24.44	0.00	24.44
11/30/2017	#4717221 MAREK, NORMAN	RISING RATES-SVC	0.00	5.88	95.0	0.00	5.59	0.00	5.59
11/30/2017	#4755144 HARRISON, BRENDA	RISING RATES-SVC	0.00	5.08	95.0	0.00	4.83	0.00	4.83
11/30/2017	#4588695 HENKLE, KARE	RISING RATES-SVC	0.00	24.13	95.0	0.00	22.92	0.00	22.92
11/30/2017	#4732917 BASZAK, ANDREW	RISING RATES-SVC	0.00	1.47	95.0	0.00	1.40	0.00	1.40
11/30/2017	#4772618 BAYLESS, MAUREEN	RISING RATES-SVC	0.00	4.96	95.0	0.00	4.71	0.00	4.71
11/30/2017	#4761969 EPPICH, MITCHELL	RISING RATES-SVC	0.00	1.01	95.0	0.00	0.96	0.00	0.96
11/30/2017	#4772644 BAYLESS, GLENN	RISING RATES-SVC	0.00	13.16	95.0	0.00	12.50	0.00	12.50
11/30/2017	#4702433 LUNGO, MICHAEL	RISING RATES-SVC	0.00	7.30	95.0	0.00	6.94	0.00	6.94
11/30/2017	#4731687 TWEEDLE, THOMAS	RISING RATES-SVC	0.00	1.80	95.0	0.00	1.71	0.00	1.71
11/30/2017	#4732436 REYNARD, JOAN	RISING RATES-SVC	0.00	1.17	95.0	0.00	1.11	0.00	1.11
11/30/2017	#4787723 FOWKES, DEANA	RISING RATES-SVC	0.00	0.63	95.0	0.00	0.60	0.00	0.60
11/30/2017	#4707939 SHEPHERD, LARRY	RISING RATES-SVC	0.00	50.37	95.0	0.00	47.85	0.00	47.85

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4732448 REYNARD, JOAN	RISING RATES-SVC	0.00	5.33	95.0	0.00	5.06	0.00	5.06
11/30/2017	#4775324 TOD	RISING RATES-SVC	0.00	9.12	95.0	0.00	8.66	0.00	8.66
11/30/2017	#4700555 GIAR, MICHAEL	RISING RATES-SVC	0.00	25.32	95.0	0.00	24.05	0.00	24.05
11/30/2017	#4770398 NASH, GERALDINE	RISING RATES-SVC	0.00	5.15	95.0	0.00	4.89	0.00	4.89
11/30/2017	#4580243 TWEEDLE, REBECCA	RISING RATES-SVC	0.00	59.90	95.0	0.00	56.91	0.00	56.91
11/30/2017	#4651980 PETRUS, JOHN	RISING RATES-SVC	0.00	2.04	95.0	0.00	1.94	0.00	1.94
11/30/2017	#4761957 EPPICH, MITCHELL	RISING RATES-SVC	0.00	1.52	95.0	0.00	1.44	0.00	1.44
11/30/2017	#4766503 ZIEGLER, GLENN	RISING RATES-SVC	0.00	14.30	95.0	0.00	13.59	0.00	13.59
11/30/2017	#4707612 SHEPHERD, LARRY	RISING RATES-SVC	0.00	8.01	95.0	0.00	7.61	0.00	7.61
11/30/2017	#4410698 DALE, VALL	RISING RATES-SVC	0.00	11.36	95.0	0.00	10.79	0.00	10.79
11/30/2017	#3878675 WEAVER, ANN	RISING RATES-SVC	0.00	70.07	95.0	0.00	66.57	0.00	66.57
11/30/2017	#4104939 FRIES, SANDRA	RISING RATES-SVC	0.00	73.27	95.0	0.00	69.61	0.00	69.61
11/30/2017	#4168934 TWEEDLE, REBECCA	RISING RATES-SVC	0.00	13.90	95.0	0.00	13.21	0.00	13.21
11/30/2017	#4613345 BABULA, JEANNETTE	RISING RATES-SVC	0.00	21.27	95.0	0.00	20.21	0.00	20.21
11/30/2017	#4766491 GELENIUS, JOHN	RISING RATES-SVC	0.00	15.19	95.0	0.00	14.43	0.00	14.43
11/30/2017	#4651966 PETRUS, JOHN	RISING RATES-SVC	0.00	12.53	95.0	0.00	11.90	0.00	11.90
11/30/2017	#4719516 LUNGO, MICHELE	RISING RATES-SVC	0.00	2.85	95.0	0.00	2.71	0.00	2.71
11/30/2017	#4605162 SHEPHERD, LARRY	RISING RATES-SVC	0.00	3.92	95.0	0.00	3.72	0.00	3.72
11/30/2017	#4613345 BABULA, JEANNETTE	RISING RATES-SVC	0.00	7.09	95.0	0.00	6.74	0.00	6.74
11/30/2017	#4636320 FERRY, DEANNA	RISING RATES-SVC	0.00	0.72	95.0	0.00	0.68	0.00	0.68
11/30/2017	#4644961 STRAUB, JASON	RISING RATES-SVC	0.00	1.83	95.0	0.00	1.74	0.00	1.74
11/30/2017	#4655357 FERRY, STEPHEN	RISING RATES-SVC	0.00	4.19	95.0	0.00	3.98	0.00	3.98
11/30/2017	#4659696 HAYES, ROBERT	RISING RATES-SVC	0.00	0.38	95.0	0.00	0.36	0.00	0.36
11/30/2017	#4700555 GIAR, MICHAEL	RISING RATES-SVC	0.00	8.44	95.0	0.00	8.02	0.00	8.02
11/30/2017	#4702421 LUNGO, MICHAEL	RISING RATES-SVC	0.00	5.00	95.0	0.00	4.75	0.00	4.75
11/30/2017	#4702433 LUNGO, MICHAEL	RISING RATES-SVC	0.00	2.43	95.0	0.00	2.31	0.00	2.31
11/30/2017	#4702458 JAKUBOWSKI, ROBERT	RISING RATES-SVC	0.00	6.79	95.0	0.00	6.45	0.00	6.45
11/30/2017	#4707586 SHEPHERD, ROBERTA	RISING RATES-SVC	0.00	0.53	95.0	0.00	0.50	0.00	0.50
11/30/2017	#4707598 PERKINS, MICHAEL	RISING RATES-SVC	0.00	17.35	95.0	0.00	16.48	0.00	16.48
11/30/2017	#4707600 SHEPHERD, ROBERTA	RISING RATES-SVC	0.00	5.93	95.0	0.00	5.63	0.00	5.63
11/30/2017	#4707612 SHEPHERD, LARRY	RISING RATES-SVC	0.00	2.67	95.0	0.00	2.54	0.00	2.54
11/30/2017	#4707939 SHEPHERD, LARRY	RISING RATES-SVC	0.00	16.79	95.0	0.00	15.95	0.00	15.95
11/30/2017	#4717221 MAREK, NORMAN	RISING RATES-SVC	0.00	1.96	95.0	0.00	1.86	0.00	1.86
11/30/2017	#4719504 BARON, GEORGE	RISING RATES-SVC	0.00	4.11	95.0	0.00	3.90	0.00	3.90
11/30/2017	#4719516 LUNGO, MICHELE	RISING RATES-SVC	0.00	0.95	95.0	0.00	0.90	0.00	0.90
11/30/2017	#4732448 REYNARD, JOAN	RISING RATES-SVC	0.00	1.78	95.0	0.00	1.69	0.00	1.69

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4732842 BASZAK, LINDA	RISING RATES-SVC	0.00	2.90	95.0	0.00	2.76	0.00	2.76
11/30/2017	#4732917 BASZAK, ANDREW	RISING RATES-SVC	0.00	0.49	95.0	0.00	0.47	0.00	0.47
11/30/2017	#4734505 TESTEN, AND	RISING RATES-SVC	0.00	3.76	95.0	0.00	3.57	0.00	3.57
11/30/2017	#4750030 WING, THOMAS	RISING RATES-SVC	0.00	6.00	95.0	0.00	5.70	0.00	5.70
11/30/2017	#4761957 EPPICH, MITCHELL	RISING RATES-SVC	0.00	0.51	95.0	0.00	0.48	0.00	0.48
11/30/2017	#4761969 EPPICH, MITCHELL	RISING RATES-SVC	0.00	0.34	95.0	0.00	0.32	0.00	0.32
11/30/2017	#4763532 JARRETT, JOHN	RISING RATES-SVC	0.00	5.57	95.0	0.00	5.29	0.00	5.29
11/30/2017	#4763633 SCHMIT, MICH	RISING RATES-SVC	0.00	8.58	95.0	0.00	8.15	0.00	8.15
11/30/2017	#4766325 PARKER, BETTY	RISING RATES-SVC	0.00	2.07	95.0	0.00	1.97	0.00	1.97
11/30/2017	#4766491 GELENIUS, JOHN	RISING RATES-SVC	0.00	5.06	95.0	0.00	4.81	0.00	4.81
11/30/2017	#4766503 ZIEGLER, GLENN	RISING RATES-SVC	0.00	4.77	95.0	0.00	4.53	0.00	4.53
11/30/2017	#4766515 ZIEGLER, BEVERLY	RISING RATES-SVC	0.00	1.26	95.0	0.00	1.20	0.00	1.20
11/30/2017	#4766541 GELENIUS, JOHN	RISING RATES-SVC	0.00	4.28	95.0	0.00	4.07	0.00	4.07
11/30/2017	#4768089 SWANSON, LISA	RISING RATES-SVC	0.00	0.80	95.0	0.00	0.76	0.00	0.76
11/30/2017	#4768091 GEIS, TIMOTHY	RISING RATES-SVC	0.00	1.20	95.0	0.00	1.14	0.00	1.14
11/30/2017	#4768115 MCCREA, PATRIC	RISING RATES-SVC	0.00	0.85	95.0	0.00	0.81	0.00	0.81
11/30/2017	#4768483 EPPICH, BERT	RISING RATES-SVC	0.00	4.40	95.0	0.00	4.18	0.00	4.18
11/30/2017	#4770398 NASH, GERALDINE	RISING RATES-SVC	0.00	1.72	95.0	0.00	1.63	0.00	1.63
11/30/2017	#4772505 PEREZ, DOROTHY	RISING RATES-SVC	0.00	8.86	95.0	0.00	8.42	0.00	8.42
11/30/2017	#4772618 BAYLESS, MAUREEN	RISING RATES-SVC	0.00	1.65	95.0	0.00	1.57	0.00	1.57
11/30/2017	#4772644 BAYLESS, GLENN	RISING RATES-SVC	0.00	4.39	95.0	0.00	4.17	0.00	4.17
11/30/2017	#4773178 BAYLESS, MAUREEN	RISING RATES-SVC	0.00	47.78	95.0	0.00	45.39	0.00	45.39
11/30/2017	#4773192 SWANSON, LISA	RISING RATES-SVC	0.00	0.85	95.0	0.00	0.81	0.00	0.81
11/30/2017	#4774790 BAYLESS, SCOTT	RISING RATES-SVC	0.00	0.29	95.0	0.00	0.28	0.00	0.28
11/30/2017	#4774802 BAYLESS, GLENN	RISING RATES-SVC	0.00	0.22	95.0	0.00	0.21	0.00	0.21
11/30/2017	#4775312 BRONSON, MARILYNN	RISING RATES-SVC	0.00	3.70	95.0	0.00	3.52	0.00	3.52
11/30/2017	#4775324 TOD	RISING RATES-SVC	0.00	3.04	95.0	0.00	2.89	0.00	2.89
11/30/2017	#4775793 GUZI, EDWARD	RISING RATES-SVC	0.00	4.64	95.0	0.00	4.41	0.00	4.41
11/30/2017	#4778700 SENAL, CARL	RISING RATES-SVC	0.00	0.22	95.0	0.00	0.21	0.00	0.21
11/30/2017	#4778787 SENAL, SHARON	RISING RATES-SVC	0.00	0.92	95.0	0.00	0.87	0.00	0.87
11/30/2017	#4778991 SENAL, SHARON	RISING RATES-SVC	0.00	0.22	95.0	0.00	0.21	0.00	0.21
11/30/2017	#4784702 PICONE, ANNE	RISING RATES-SVC	0.00	13.27	95.0	0.00	12.61	0.00	12.61
11/30/2017	#4784979 PICONE, NATALE	RISING RATES-SVC	0.00	3.09	95.0	0.00	2.94	0.00	2.94
11/30/2017	#4787370 TWEEDLE, REBECCA	RISING RATES-SVC	0.00	1.29	95.0	0.00	1.23	0.00	1.23
11/30/2017	#4787711 NARDI, SCOTT	RISING RATES-SVC	0.00	0.25	95.0	0.00	0.24	0.00	0.24
11/30/2017	#4787723 FOWKES, DEANA	RISING RATES-SVC	0.00	0.21	95.0	0.00	0.20	0.00	0.20

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#3171081 CRAIG, SALLY	RISING RATES-SVC	0.00	2.19	95.0	0.00	2.08	0.00	2.08
11/30/2017	#3171093 KLEINS, ROGE	RISING RATES-SVC	0.00	39.41	95.0	0.00	37.44	0.00	37.44
11/30/2017	#3224514 PICKER, JOHN	RISING RATES-SVC	0.00	0.24	95.0	0.00	0.23	0.00	0.23
11/30/2017	#3262526 GIAR, MICHAEL	RISING RATES-SVC	0.00	11.23	95.0	0.00	10.67	0.00	10.67
11/30/2017	#3518949 KHOSLA, CHANDA	RISING RATES-SVC	0.00	19.57	95.0	0.00	18.59	0.00	18.59
11/30/2017	#3878675 WEAVER, ANN	RISING RATES-SVC	0.00	23.36	95.0	0.00	22.19	0.00	22.19
11/30/2017	#3907781 TWEEDLE, THOMAS	RISING RATES-SVC	0.00	4.13	95.0	0.00	3.92	0.00	3.92
11/30/2017	#3907805 TWEEDLE, THOMAS	RISING RATES-SVC	0.00	3.32	95.0	0.00	3.15	0.00	3.15
11/30/2017	#3974627 WESLEY, FRANCES	RISING RATES-SVC	0.00	7.92	95.0	0.00	7.52	0.00	7.52
11/30/2017	#4009864 NOVAK, KATHLEEN	RISING RATES-SVC	0.00	1.33	95.0	0.00	1.26	0.00	1.26
11/30/2017	#4103230 WEAVER, LAURA	RISING RATES-SVC	0.00	1.80	95.0	0.00	1.71	0.00	1.71
11/30/2017	#4103281 WEAVER, AMY	RISING RATES-SVC	0.00	2.36	95.0	0.00	2.24	0.00	2.24
11/30/2017	#4104939 FRIES, SANDRA	RISING RATES-SVC	0.00	24.42	95.0	0.00	23.20	0.00	23.20
11/30/2017	#4168934 TWEEDLE, REBECCA	RISING RATES-SVC	0.00	4.63	95.0	0.00	4.40	0.00	4.40
11/30/2017	#4168997 TWEEDLE, THOMAS	RISING RATES-SVC	0.00	16.09	95.0	0.00	15.29	0.00	15.29
11/30/2017	#4173833 FRIES, ROBERT	RISING RATES-SVC	0.00	10.64	95.0	0.00	10.11	0.00	10.11
11/30/2017	#4192643 BORGIONE, GEORGE	RISING RATES-SVC	0.00	1.61	95.0	0.00	1.53	0.00	1.53
11/30/2017	#4388296 CAINE, MARY	RISING RATES-SVC	0.00	26.41	95.0	0.00	25.09	0.00	25.09
11/30/2017	#4392116 NOCK, PAMELA	RISING RATES-SVC	0.00	10.84	95.0	0.00	10.30	0.00	10.30
11/30/2017	#4410698 DALE, VALL	RISING RATES-SVC	0.00	3.79	95.0	0.00	3.60	0.00	3.60
11/30/2017	#4560001 WILLIAMS, WALTER	RISING RATES-SVC	0.00	7.10	95.0	0.00	6.75	0.00	6.75
11/30/2017	#4580243 TWEEDLE, REBECCA	RISING RATES-SVC	0.00	19.97	95.0	0.00	18.97	0.00	18.97
11/30/2017	#4588695 HENKLE, KARE	RISING RATES-SVC	0.00	8.04	95.0	0.00	7.64	0.00	7.64
11/30/2017	#4651562 PETRUS, KELLY	RISING RATES-SVC	0.00	0.51	95.0	0.00	0.48	0.00	0.48
11/30/2017	#4651966 PETRUS, JOHN	RISING RATES-SVC	0.00	4.18	95.0	0.00	3.97	0.00	3.97
11/30/2017	#4651980 PETRUS, JOHN	RISING RATES-SVC	0.00	0.68	95.0	0.00	0.65	0.00	0.65
11/30/2017	#4731687 TWEEDLE, THOMAS	RISING RATES-SVC	0.00	0.60	95.0	0.00	0.57	0.00	0.57
11/30/2017	#4732436 REYNARD, JOAN	RISING RATES-SVC	0.00	0.39	95.0	0.00	0.37	0.00	0.37
11/30/2017	#4733135 BASZAK, LINDA	RISING RATES-SVC	0.00	2.87	95.0	0.00	2.73	0.00	2.73
11/30/2017	#4744999 CRAIG, SALLY	RISING RATES-SVC	0.00	5.51	95.0	0.00	5.23	0.00	5.23
11/30/2017	#4755144 HARRISON, BRENDA	RISING RATES-SVC	0.00	1.69	95.0	0.00	1.61	0.00	1.61
11/30/2017	#4790162	RISING RATES-SVC	0.00	4.05	95.0	0.00	3.85	0.00	3.85
11/30/2017	#4651980 PETRUS, JOHN	RISING U.S DOLLAR-SVC	0.00	0.97	95.0	0.00	0.92	0.00	0.92
11/30/2017	#4655357 FERRY, STEPHEN	RISING U.S DOLLAR-SVC	0.00	5.98	95.0	0.00	5.68	0.00	5.68
11/30/2017	#4790162	RISING U.S DOLLAR-SVC	0.00	6.49	95.0	0.00	6.17	0.00	6.17
11/30/2017	#4772505 PEREZ, DOROTHY	RISING U.S DOLLAR-SVC	0.00	9.75	95.0	0.00	9.26	0.00	9.26

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#3171093 KLEINS, ROGE	RISING U.S DOLLAR-SVC	0.00	35.29	95.0	0.00	33.53	0.00	33.53
11/30/2017	#4168997 TWEEDLE, THOMAS	RISING U.S DOLLAR-SVC	0.00	22.97	95.0	0.00	21.82	0.00	21.82
11/30/2017	#4768089 SWANSON, LISA	RISING U.S DOLLAR-SVC	0.00	1.14	95.0	0.00	1.08	0.00	1.08
11/30/2017	#4636320 FERRY, DEANNA	RISING U.S DOLLAR-SVC	0.00	1.02	95.0	0.00	0.97	0.00	0.97
11/30/2017	#4732917 BASZAK, ANDREW	RISING U.S DOLLAR-SVC	0.00	0.70	95.0	0.00	0.67	0.00	0.67
11/30/2017	#4173833 FRIES, ROBERT	RISING U.S DOLLAR-SVC	0.00	11.71	95.0	0.00	11.12	0.00	11.12
11/30/2017	#4104939 FRIES, SANDRA	RISING U.S DOLLAR-SVC	0.00	26.87	95.0	0.00	25.53	0.00	25.53
11/30/2017	#4768115 MCCREA, PATRIC	RISING U.S DOLLAR-SVC	0.00	0.93	95.0	0.00	0.88	0.00	0.88
11/30/2017	#4763532 JARRETT, JOHN	RISING U.S DOLLAR-SVC	0.00	6.13	95.0	0.00	5.82	0.00	5.82
11/30/2017	#4659696 HAYES, ROBERT	RISING U.S DOLLAR-SVC	0.00	0.54	95.0	0.00	0.51	0.00	0.51
11/30/2017	#4766491 GELENIUS, JOHN	RISING U.S DOLLAR-SVC	0.00	5.57	95.0	0.00	5.29	0.00	5.29
11/30/2017	#3171081 CRAIG, SALLY	RISING U.S DOLLAR-SVC	0.00	2.41	95.0	0.00	2.29	0.00	2.29
11/30/2017	#4766515 ZIEGLER, BEVERLY	RISING U.S DOLLAR-SVC	0.00	1.12	95.0	0.00	1.06	0.00	1.06
11/30/2017	#4192643 BORGIONE, GEORGE	RISING U.S DOLLAR-SVC	0.00	1.78	95.0	0.00	1.69	0.00	1.69
11/30/2017	#3224514 PICKER, JOHN	RISING U.S DOLLAR-SVC	0.00	0.35	95.0	0.00	0.33	0.00	0.33
11/30/2017	#4613345 BABULA, JEANNETTE	RISING U.S DOLLAR-SVC	0.00	6.34	95.0	0.00	6.02	0.00	6.02
11/30/2017	#4702421 LUNGO, MICHAEL	RISING U.S DOLLAR-SVC	0.00	7.15	95.0	0.00	6.79	0.00	6.79
11/30/2017	#4732842 BASZAK, LINDA	RISING U.S DOLLAR-SVC	0.00	3.19	95.0	0.00	3.03	0.00	3.03
11/30/2017	#4700555 GIAR, MICHAEL	RISING U.S DOLLAR-SVC	0.00	9.28	95.0	0.00	8.82	0.00	8.82
11/30/2017	#4717221 MAREK, NORMAN	RISING U.S DOLLAR-SVC	0.00	2.80	95.0	0.00	2.66	0.00	2.66
11/30/2017	#4761969 EPPICH, MITCHELL	RISING U.S DOLLAR-SVC	0.00	0.48	95.0	0.00	0.46	0.00	0.46
11/30/2017	#4410698 DALE, VALL	RISING U.S DOLLAR-SVC	0.00	3.38	95.0	0.00	3.21	0.00	3.21
11/30/2017	#4719504 BARON, GEORGE	RISING U.S DOLLAR-SVC	0.00	4.52	95.0	0.00	4.29	0.00	4.29
11/30/2017	#4766541 GELENIUS, JOHN	RISING U.S DOLLAR-SVC	0.00	4.71	95.0	0.00	4.47	0.00	4.47
11/30/2017	#4580243 TWEEDLE, REBECCA	RISING U.S DOLLAR-SVC	0.00	28.51	95.0	0.00	27.08	0.00	27.08
11/30/2017	#4772644 BAYLESS, GLENN	RISING U.S DOLLAR-SVC	0.00	3.92	95.0	0.00	3.72	0.00	3.72
11/30/2017	#4787723 FOWKES, DEANA	RISING U.S DOLLAR-SVC	0.00	0.30	95.0	0.00	0.29	0.00	0.29
11/30/2017	#4766325 PARKER, BETTY	RISING U.S DOLLAR-SVC	0.00	1.85	95.0	0.00	1.76	0.00	1.76
11/30/2017	#4768483 EPPICH, BERT	RISING U.S DOLLAR-SVC	0.00	6.28	95.0	0.00	5.97	0.00	5.97
11/30/2017	#4733135 BASZAK, LINDA	RISING U.S DOLLAR-SVC	0.00	3.16	95.0	0.00	3.00	0.00	3.00
11/30/2017	#4168934 TWEEDLE, REBECCA	RISING U.S DOLLAR-SVC	0.00	6.61	95.0	0.00	6.28	0.00	6.28
11/30/2017	#4773178 BAYLESS, MAUREEN	RISING U.S DOLLAR-SVC	0.00	42.71	95.0	0.00	40.57	0.00	40.57
11/30/2017	#4774802 BAYLESS, GLENN	RISING U.S DOLLAR-SVC	0.00	0.32	95.0	0.00	0.30	0.00	0.30
11/30/2017	#3907781 TWEEDLE, THOMAS	RISING U.S DOLLAR-SVC	0.00	5.90	95.0	0.00	5.61	0.00	5.61
11/30/2017	#4707598 PERKINS, MICHAEL	RISING U.S DOLLAR-SVC	0.00	24.78	95.0	0.00	23.54	0.00	23.54
11/30/2017	#4787370 TWEEDLE, REBECCA	RISING U.S DOLLAR-SVC	0.00	1.84	95.0	0.00	1.75	0.00	1.75

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4707600	SHEPHERD, ROBERTA	RISING U.S DOLLAR-SVC	0.00	8.46	95.0	0.00	8.04	8.04
11/30/2017	#4560001	WILLIAMS, WALTER	RISING U.S DOLLAR-SVC	0.00	6.35	95.0	0.00	6.03	6.03
11/30/2017	#4702433	LUNGO, MICHAEL	RISING U.S DOLLAR-SVC	0.00	3.47	95.0	0.00	3.30	3.30
11/30/2017	#4651562	PETRUS, KELLY	RISING U.S DOLLAR-SVC	0.00	0.72	95.0	0.00	0.68	0.68
11/30/2017	#4719516	LUNGO, MICHELE	RISING U.S DOLLAR-SVC	0.00	1.04	95.0	0.00	0.99	0.99
11/30/2017	#4763633	SCHMIT, MICH	RISING U.S DOLLAR-SVC	0.00	9.43	95.0	0.00	8.96	8.96
11/30/2017	#3262526	GIAR, MICHAEL	RISING U.S DOLLAR-SVC	0.00	12.35	95.0	0.00	11.73	11.73
11/30/2017	#4775324	TOD	RISING U.S DOLLAR-SVC	0.00	3.37	95.0	0.00	3.20	3.20
11/30/2017	#4009864	NOVAK, KATHLEEN	RISING U.S DOLLAR-SVC	0.00	1.47	95.0	0.00	1.40	1.40
11/30/2017	#4750030	WING, THOMAS	RISING U.S DOLLAR-SVC	0.00	5.36	95.0	0.00	5.09	5.09
11/30/2017	#4766503	ZIEGLER, GLENN	RISING U.S DOLLAR-SVC	0.00	4.26	95.0	0.00	4.05	4.05
11/30/2017	#4707612	SHEPHERD, LARRY	RISING U.S DOLLAR-SVC	0.00	2.93	95.0	0.00	2.78	2.78
11/30/2017	#4731687	TWEEDLE, THOMAS	RISING U.S DOLLAR-SVC	0.00	0.86	95.0	0.00	0.82	0.82
11/30/2017	#4775312	BRONSON, MARILYNN	RISING U.S DOLLAR-SVC	0.00	4.05	95.0	0.00	3.85	3.85
11/30/2017	#4707586	SHEPHERD, ROBERTA	RISING U.S DOLLAR-SVC	0.00	0.76	95.0	0.00	0.72	0.72
11/30/2017	#4707939	SHEPHERD, LARRY	RISING U.S DOLLAR-SVC	0.00	18.47	95.0	0.00	17.55	17.55
11/30/2017	#4787711	NARDI, SCOTT	RISING U.S DOLLAR-SVC	0.00	0.36	95.0	0.00	0.34	0.34
11/30/2017	#4784979	PICONE, NATALE	RISING U.S DOLLAR-SVC	0.00	4.41	95.0	0.00	4.19	4.19
11/30/2017	#4732448	REYNARD, JOAN	RISING U.S DOLLAR-SVC	0.00	1.95	95.0	0.00	1.85	1.85
11/30/2017	#4778700	SENAL, CARL	RISING U.S DOLLAR-SVC	0.00	0.25	95.0	0.00	0.24	0.24
11/30/2017	#4103281	WEAVER, AMY	RISING U.S DOLLAR-SVC	0.00	2.60	95.0	0.00	2.47	2.47
11/30/2017	#4773192	SWANSON, LISA	RISING U.S DOLLAR-SVC	0.00	1.22	95.0	0.00	1.16	1.16
11/30/2017	#3974627	WESLEY, FRANCES	RISING U.S DOLLAR-SVC	0.00	8.72	95.0	0.00	8.28	8.28
11/30/2017	#4778991	SENAL, SHARON	RISING U.S DOLLAR-SVC	0.00	0.25	95.0	0.00	0.24	0.24
11/30/2017	#3518949	KHOSLA, CHANDA	RISING U.S DOLLAR-SVC	0.00	28.04	95.0	0.00	26.64	26.64
11/30/2017	#4734505	TESTEN, AND	RISING U.S DOLLAR-SVC	0.00	5.37	95.0	0.00	5.10	5.10
11/30/2017	#4651966	PETRUS, JOHN	RISING U.S DOLLAR-SVC	0.00	5.91	95.0	0.00	5.61	5.61
11/30/2017	#4778787	SENAL, SHARON	RISING U.S DOLLAR-SVC	0.00	1.02	95.0	0.00	0.97	0.97
11/30/2017	#4605162	SHEPHERD, LARRY	RISING U.S DOLLAR-SVC	0.00	3.50	95.0	0.00	3.33	3.33
11/30/2017	#4770398	NASH, GERALDINE	RISING U.S DOLLAR-SVC	0.00	1.89	95.0	0.00	1.80	1.80
11/30/2017	#3907805	TWEEDLE, THOMAS	RISING U.S DOLLAR-SVC	0.00	4.74	95.0	0.00	4.50	4.50
11/30/2017	#4644961	STRAUB, JASON	RISING U.S DOLLAR-SVC	0.00	1.86	95.0	0.00	1.77	1.77
11/30/2017	#4775793	GUZI, EDWARD	RISING U.S DOLLAR-SVC	0.00	6.63	95.0	0.00	6.30	6.30
11/30/2017	#4732436	REYNARD, JOAN	RISING U.S DOLLAR-SVC	0.00	0.43	95.0	0.00	0.41	0.41
11/30/2017	#4103230	WEAVER, LAURA	RISING U.S DOLLAR-SVC	0.00	1.98	95.0	0.00	1.88	1.88
11/30/2017	#4774790	BAYLESS, SCOTT	RISING U.S DOLLAR-SVC	0.00	0.32	95.0	0.00	0.30	0.30

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4388296 CAINE, MARY	RISING U.S DOLLAR-SVC	0.00	29.05	95.0	0.00	27.60	0.00	27.60
11/30/2017	#4588695 HENKLE, KARE	RISING U.S DOLLAR-SVC	0.00	8.69	95.0	0.00	8.26	0.00	8.26
11/30/2017	#4761957 EPPICH, MITCHELL	RISING U.S DOLLAR-SVC	0.00	0.72	95.0	0.00	0.68	0.00	0.68
11/30/2017	#4755144 HARRISON, BRENDA	RISING U.S DOLLAR-SVC	0.00	1.86	95.0	0.00	1.77	0.00	1.77
11/30/2017	#4392116 NOCK, PAMELA	RISING U.S DOLLAR-SVC	0.00	11.93	95.0	0.00	11.33	0.00	11.33
11/30/2017	#4772618 BAYLESS, MAUREEN	RISING U.S DOLLAR-SVC	0.00	1.48	95.0	0.00	1.41	0.00	1.41
11/30/2017	#4702458 JAKUBOWSKI, ROBERT	RISING U.S DOLLAR-SVC	0.00	9.69	95.0	0.00	9.21	0.00	9.21
11/30/2017	#3878675 WEAVER, ANN	RISING U.S DOLLAR-SVC	0.00	25.70	95.0	0.00	24.42	0.00	24.42
11/30/2017	#4744999 CRAIG, SALLY	RISING U.S DOLLAR-SVC	0.00	6.06	95.0	0.00	5.76	0.00	5.76
11/30/2017	#4768091 GEIS, TIMOTHY	RISING U.S DOLLAR-SVC	0.00	1.33	95.0	0.00	1.26	0.00	1.26
11/30/2017	#4784702 PICONE, ANNE	RISING U.S DOLLAR-SVC	0.00	18.95	95.0	0.00	18.00	0.00	18.00
11/30/2017	#4605162 SHEPHERD, LARRY	RISING U.S DOLLAR-SVC	0.00	1.17	95.0	0.00	1.11	0.00	1.11
11/30/2017	#4613345 BABULA, JEANNETTE	RISING U.S DOLLAR-SVC	0.00	2.11	95.0	0.00	2.00	0.00	2.00
11/30/2017	#4636320 FERRY, DEANNA	RISING U.S DOLLAR-SVC	0.00	0.34	95.0	0.00	0.32	0.00	0.32
11/30/2017	#4644961 STRAUB, JASON	RISING U.S DOLLAR-SVC	0.00	0.62	95.0	0.00	0.59	0.00	0.59
11/30/2017	#4655357 FERRY, STEPHEN	RISING U.S DOLLAR-SVC	0.00	1.99	95.0	0.00	1.89	0.00	1.89
11/30/2017	#4659696 HAYES, ROBERT	RISING U.S DOLLAR-SVC	0.00	0.18	95.0	0.00	0.17	0.00	0.17
11/30/2017	#4700555 GIAR, MICHAEL	RISING U.S DOLLAR-SVC	0.00	3.09	95.0	0.00	2.94	0.00	2.94
11/30/2017	#4702421 LUNGO, MICHAEL	RISING U.S DOLLAR-SVC	0.00	2.38	95.0	0.00	2.26	0.00	2.26
11/30/2017	#4702433 LUNGO, MICHAEL	RISING U.S DOLLAR-SVC	0.00	1.16	95.0	0.00	1.10	0.00	1.10
11/30/2017	#4702458 JAKUBOWSKI, ROBERT	RISING U.S DOLLAR-SVC	0.00	3.23	95.0	0.00	3.07	0.00	3.07
11/30/2017	#4707586 SHEPHERD, ROBERTA	RISING U.S DOLLAR-SVC	0.00	0.25	95.0	0.00	0.24	0.00	0.24
11/30/2017	#4707598 PERKINS, MICHAEL	RISING U.S DOLLAR-SVC	0.00	8.26	95.0	0.00	7.85	0.00	7.85
11/30/2017	#4707600 SHEPHERD, ROBERTA	RISING U.S DOLLAR-SVC	0.00	2.82	95.0	0.00	2.68	0.00	2.68
11/30/2017	#4707612 SHEPHERD, LARRY	RISING U.S DOLLAR-SVC	0.00	0.98	95.0	0.00	0.93	0.00	0.93
11/30/2017	#4707939 SHEPHERD, LARRY	RISING U.S DOLLAR-SVC	0.00	6.16	95.0	0.00	5.85	0.00	5.85
11/30/2017	#4717221 MAREK, NORMAN	RISING U.S DOLLAR-SVC	0.00	0.93	95.0	0.00	0.88	0.00	0.88
11/30/2017	#4719504 BARON, GEORGE	RISING U.S DOLLAR-SVC	0.00	1.51	95.0	0.00	1.43	0.00	1.43
11/30/2017	#4719516 LUNGO, MICHELE	RISING U.S DOLLAR-SVC	0.00	0.35	95.0	0.00	0.33	0.00	0.33
11/30/2017	#4732448 REYNARD, JOAN	RISING U.S DOLLAR-SVC	0.00	0.65	95.0	0.00	0.62	0.00	0.62
11/30/2017	#4732842 BASZAK, LINDA	RISING U.S DOLLAR-SVC	0.00	1.06	95.0	0.00	1.01	0.00	1.01
11/30/2017	#4732917 BASZAK, ANDREW	RISING U.S DOLLAR-SVC	0.00	0.23	95.0	0.00	0.22	0.00	0.22
11/30/2017	#4734505 TESTEN, AND	RISING U.S DOLLAR-SVC	0.00	1.79	95.0	0.00	1.70	0.00	1.70
11/30/2017	#4750030 WING, THOMAS	RISING U.S DOLLAR-SVC	0.00	1.79	95.0	0.00	1.70	0.00	1.70
11/30/2017	#4761957 EPPICH, MITCHELL	RISING U.S DOLLAR-SVC	0.00	0.24	95.0	0.00	0.23	0.00	0.23
11/30/2017	#4761969 EPPICH, MITCHELL	RISING U.S DOLLAR-SVC	0.00	0.16	95.0	0.00	0.15	0.00	0.15

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4763532 JARRETT, JOHN	RISING U.S DOLLAR-SVC	0.00	2.04	95.0	0.00	1.94	0.00	1.94
11/30/2017	#4763633 SCHMIT, MICH	RISING U.S DOLLAR-SVC	0.00	3.14	95.0	0.00	2.98	0.00	2.98
11/30/2017	#4766325 PARKER, BETTY	RISING U.S DOLLAR-SVC	0.00	0.62	95.0	0.00	0.59	0.00	0.59
11/30/2017	#4766491 GELENIUS, JOHN	RISING U.S DOLLAR-SVC	0.00	1.86	95.0	0.00	1.77	0.00	1.77
11/30/2017	#4766503 ZIEGLER, GLENN	RISING U.S DOLLAR-SVC	0.00	1.42	95.0	0.00	1.35	0.00	1.35
11/30/2017	#4766515 ZIEGLER, BEVERLY	RISING U.S DOLLAR-SVC	0.00	0.37	95.0	0.00	0.35	0.00	0.35
11/30/2017	#4766541 GELENIUS, JOHN	RISING U.S DOLLAR-SVC	0.00	1.57	95.0	0.00	1.49	0.00	1.49
11/30/2017	#4768089 SWANSON, LISA	RISING U.S DOLLAR-SVC	0.00	0.38	95.0	0.00	0.36	0.00	0.36
11/30/2017	#4768091 GEIS, TIMOTHY	RISING U.S DOLLAR-SVC	0.00	0.44	95.0	0.00	0.42	0.00	0.42
11/30/2017	#4768115 MCCREA, PATRIC	RISING U.S DOLLAR-SVC	0.00	0.31	95.0	0.00	0.29	0.00	0.29
11/30/2017	#4768483 EPPICH, BERT	RISING U.S DOLLAR-SVC	0.00	2.09	95.0	0.00	1.99	0.00	1.99
11/30/2017	#4770398 NASH, GERALDINE	RISING U.S DOLLAR-SVC	0.00	0.63	95.0	0.00	0.60	0.00	0.60
11/30/2017	#4772505 PEREZ, DOROTHY	RISING U.S DOLLAR-SVC	0.00	3.25	95.0	0.00	3.09	0.00	3.09
11/30/2017	#4772618 BAYLESS, MAUREEN	RISING U.S DOLLAR-SVC	0.00	0.49	95.0	0.00	0.47	0.00	0.47
11/30/2017	#4772644 BAYLESS, GLENN	RISING U.S DOLLAR-SVC	0.00	1.31	95.0	0.00	1.24	0.00	1.24
11/30/2017	#4773178 BAYLESS, MAUREEN	RISING U.S DOLLAR-SVC	0.00	14.24	95.0	0.00	13.53	0.00	13.53
11/30/2017	#4773192 SWANSON, LISA	RISING U.S DOLLAR-SVC	0.00	0.41	95.0	0.00	0.39	0.00	0.39
11/30/2017	#4774790 BAYLESS, SCOTT	RISING U.S DOLLAR-SVC	0.00	0.11	95.0	0.00	0.10	0.00	0.10
11/30/2017	#4774802 BAYLESS, GLENN	RISING U.S DOLLAR-SVC	0.00	0.11	95.0	0.00	0.10	0.00	0.10
11/30/2017	#4775312 BRONSON, MARILYNN	RISING U.S DOLLAR-SVC	0.00	1.35	95.0	0.00	1.28	0.00	1.28
11/30/2017	#4775324 TOD	RISING U.S DOLLAR-SVC	0.00	1.12	95.0	0.00	1.06	0.00	1.06
11/30/2017	#4775793 GUZI, EDWARD	RISING U.S DOLLAR-SVC	0.00	2.21	95.0	0.00	2.10	0.00	2.10
11/30/2017	#4778700 SENAL, CARL	RISING U.S DOLLAR-SVC	0.00	0.08	95.0	0.00	0.08	0.00	0.08
11/30/2017	#4778787 SENAL, SHARON	RISING U.S DOLLAR-SVC	0.00	0.34	95.0	0.00	0.32	0.00	0.32
11/30/2017	#4778991 SENAL, SHARON	RISING U.S DOLLAR-SVC	0.00	0.08	95.0	0.00	0.08	0.00	0.08
11/30/2017	#4784702 PICONE, ANNE	RISING U.S DOLLAR-SVC	0.00	6.32	95.0	0.00	6.00	0.00	6.00
11/30/2017	#4784979 PICONE, NATALE	RISING U.S DOLLAR-SVC	0.00	1.47	95.0	0.00	1.40	0.00	1.40
11/30/2017	#4787370 TWEEDLE, REBECCA	RISING U.S DOLLAR-SVC	0.00	0.61	95.0	0.00	0.58	0.00	0.58
11/30/2017	#4787711 NARDI, SCOTT	RISING U.S DOLLAR-SVC	0.00	0.12	95.0	0.00	0.11	0.00	0.11
11/30/2017	#4787723 FOWKES, DEANA	RISING U.S DOLLAR-SVC	0.00	0.10	95.0	0.00	0.10	0.00	0.10
11/30/2017	#3171081 CRAIG, SALLY	RISING U.S DOLLAR-SVC	0.00	0.80	95.0	0.00	0.76	0.00	0.76
11/30/2017	#3171093 KLEINS, ROGE	RISING U.S DOLLAR-SVC	0.00	11.76	95.0	0.00	11.17	0.00	11.17
11/30/2017	#3224514 PICKER, JOHN	RISING U.S DOLLAR-SVC	0.00	0.12	95.0	0.00	0.11	0.00	0.11
11/30/2017	#3262526 GIAR, MICHAEL	RISING U.S DOLLAR-SVC	0.00	4.12	95.0	0.00	3.91	0.00	3.91
11/30/2017	#3518949 KHOSLA, CHANDA	RISING U.S DOLLAR-SVC	0.00	9.35	95.0	0.00	8.88	0.00	8.88
11/30/2017	#3878675 WEAVER, ANN	RISING U.S DOLLAR-SVC	0.00	8.57	95.0	0.00	8.14	0.00	8.14

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
11/30/2017	#3907781	TWEEDLE, THOMAS	RISING U.S DOLLAR-SVC	0.00	1.97	95.0	0.00	1.87	0.00	1.87
11/30/2017	#3907805	TWEEDLE, THOMAS	RISING U.S DOLLAR-SVC	0.00	1.58	95.0	0.00	1.50	0.00	1.50
11/30/2017	#3974627	WESLEY, FRANCES	RISING U.S DOLLAR-SVC	0.00	2.91	95.0	0.00	2.76	0.00	2.76
11/30/2017	#4009864	NOVAK, KATHLEEN	RISING U.S DOLLAR-SVC	0.00	0.49	95.0	0.00	0.47	0.00	0.47
11/30/2017	#4103230	WEAVER, LAURA	RISING U.S DOLLAR-SVC	0.00	0.66	95.0	0.00	0.63	0.00	0.63
11/30/2017	#4103281	WEAVER, AMY	RISING U.S DOLLAR-SVC	0.00	0.87	95.0	0.00	0.83	0.00	0.83
11/30/2017	#4104939	FRIES, SANDRA	RISING U.S DOLLAR-SVC	0.00	8.96	95.0	0.00	8.51	0.00	8.51
11/30/2017	#4168934	TWEEDLE, REBECCA	RISING U.S DOLLAR-SVC	0.00	2.20	95.0	0.00	2.09	0.00	2.09
11/30/2017	#4168997	TWEEDLE, THOMAS	RISING U.S DOLLAR-SVC	0.00	7.66	95.0	0.00	7.28	0.00	7.28
11/30/2017	#4173833	FRIES, ROBERT	RISING U.S DOLLAR-SVC	0.00	3.90	95.0	0.00	3.71	0.00	3.71
11/30/2017	#4192643	BORGIONE, GEORGE	RISING U.S DOLLAR-SVC	0.00	0.59	95.0	0.00	0.56	0.00	0.56
11/30/2017	#4388296	CAINE, MARY	RISING U.S DOLLAR-SVC	0.00	9.68	95.0	0.00	9.20	0.00	9.20
11/30/2017	#4392116	NOCK, PAMELA	RISING U.S DOLLAR-SVC	0.00	3.98	95.0	0.00	3.78	0.00	3.78
11/30/2017	#4410698	DALE, VALL	RISING U.S DOLLAR-SVC	0.00	1.13	95.0	0.00	1.07	0.00	1.07
11/30/2017	#4560001	WILLIAMS, WALTER	RISING U.S DOLLAR-SVC	0.00	2.12	95.0	0.00	2.01	0.00	2.01
11/30/2017	#4580243	TWEEDLE, REBECCA	RISING U.S DOLLAR-SVC	0.00	9.50	95.0	0.00	9.03	0.00	9.03
11/30/2017	#4588695	HENKLE, KARE	RISING U.S DOLLAR-SVC	0.00	2.90	95.0	0.00	2.76	0.00	2.76
11/30/2017	#4651562	PETRUS, KELLY	RISING U.S DOLLAR-SVC	0.00	0.24	95.0	0.00	0.23	0.00	0.23
11/30/2017	#4651966	PETRUS, JOHN	RISING U.S DOLLAR-SVC	0.00	1.97	95.0	0.00	1.87	0.00	1.87
11/30/2017	#4651980	PETRUS, JOHN	RISING U.S DOLLAR-SVC	0.00	0.32	95.0	0.00	0.30	0.00	0.30
11/30/2017	#4731687	TWEEDLE, THOMAS	RISING U.S DOLLAR-SVC	0.00	0.29	95.0	0.00	0.28	0.00	0.28
11/30/2017	#4732436	REYNARD, JOAN	RISING U.S DOLLAR-SVC	0.00	0.14	95.0	0.00	0.13	0.00	0.13
11/30/2017	#4733135	BASZAK, LINDA	RISING U.S DOLLAR-SVC	0.00	1.05	95.0	0.00	1.00	0.00	1.00
11/30/2017	#4744999	CRAIG, SALLY	RISING U.S DOLLAR-SVC	0.00	2.02	95.0	0.00	1.92	0.00	1.92
11/30/2017	#4755144	HARRISON, BRENDA	RISING U.S DOLLAR-SVC	0.00	0.62	95.0	0.00	0.59	0.00	0.59
11/30/2017	#4790162		RISING U.S DOLLAR-SVC	0.00	2.16	95.0	0.00	2.05	0.00	2.05
11/30/2017	#4761969	EPPICH, MITCHELL	U.S. GOV PLUS-SVC	0.00	0.60	95.0	0.00	0.57	0.00	0.57
11/30/2017	#4659696	HAYES, ROBERT	U.S. GOV PLUS-SVC	0.00	0.67	95.0	0.00	0.64	0.00	0.64
11/30/2017	#4707612	SHEPHERD, LARRY	U.S. GOV PLUS-SVC	0.00	4.19	95.0	0.00	3.98	0.00	3.98
11/30/2017	#4655357	FERRY, STEPHEN	U.S. GOV PLUS-SVC	0.00	7.45	95.0	0.00	7.08	0.00	7.08
11/30/2017	#4719516	LUNGO, MICHELE	U.S. GOV PLUS-SVC	0.00	1.49	95.0	0.00	1.42	0.00	1.42
11/30/2017	#4784979	PICONE, NATALE	U.S. GOV PLUS-SVC	0.00	5.49	95.0	0.00	5.22	0.00	5.22
11/30/2017	#4773192	SWANSON, LISA	U.S. GOV PLUS-SVC	0.00	1.52	95.0	0.00	1.44	0.00	1.44
11/30/2017	#3224514	PICKER, JOHN	U.S. GOV PLUS-SVC	0.00	0.43	95.0	0.00	0.41	0.00	0.41
11/30/2017	#4775793	GUZI, EDWARD	U.S. GOV PLUS-SVC	0.00	8.26	95.0	0.00	7.85	0.00	7.85
11/30/2017	#4774802	BAYLESS, GLENN	U.S. GOV PLUS-SVC	0.00	0.40	95.0	0.00	0.38	0.00	0.38

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4778787 SENAL, SHARON	U.S. GOV PLUS-SVC	0.00	1.45	95.0	0.00	1.38	0.00	1.38
11/30/2017	#4755144 HARRISON, BRENDA	U.S. GOV PLUS-SVC	0.00	2.65	95.0	0.00	2.52	0.00	2.52
11/30/2017	#4763633 SCHMIT, MICH	U.S. GOV PLUS-SVC	0.00	13.45	95.0	0.00	12.78	0.00	12.78
11/30/2017	#4192643 BORGIONE, GEORGE	U.S. GOV PLUS-SVC	0.00	2.53	95.0	0.00	2.40	0.00	2.40
11/30/2017	#4717221 MAREK, NORMAN	U.S. GOV PLUS-SVC	0.00	3.49	95.0	0.00	3.32	0.00	3.32
11/30/2017	#4410698 DALE, VALL	U.S. GOV PLUS-SVC	0.00	5.37	95.0	0.00	5.10	0.00	5.10
11/30/2017	#4772618 BAYLESS, MAUREEN	U.S. GOV PLUS-SVC	0.00	2.35	95.0	0.00	2.23	0.00	2.23
11/30/2017	#3974627 WESLEY, FRANCES	U.S. GOV PLUS-SVC	0.00	12.43	95.0	0.00	11.81	0.00	11.81
11/30/2017	#4732917 BASZAK, ANDREW	U.S. GOV PLUS-SVC	0.00	0.87	95.0	0.00	0.83	0.00	0.83
11/30/2017	#3518949 KHOSLA, CHANDA	U.S. GOV PLUS-SVC	0.00	34.90	95.0	0.00	33.16	0.00	33.16
11/30/2017	#4766541 GELENIUS, JOHN	U.S. GOV PLUS-SVC	0.00	6.72	95.0	0.00	6.38	0.00	6.38
11/30/2017	#4775312 BRONSON, MARILYNN	U.S. GOV PLUS-SVC	0.00	5.77	95.0	0.00	5.48	0.00	5.48
11/30/2017	#4766503 ZIEGLER, GLENN	U.S. GOV PLUS-SVC	0.00	6.76	95.0	0.00	6.42	0.00	6.42
11/30/2017	#4787370 TWEEDLE, REBECCA	U.S. GOV PLUS-SVC	0.00	2.30	95.0	0.00	2.19	0.00	2.19
11/30/2017	#3171081 CRAIG, SALLY	U.S. GOV PLUS-SVC	0.00	3.44	95.0	0.00	3.27	0.00	3.27
11/30/2017	#4719504 BARON, GEORGE	U.S. GOV PLUS-SVC	0.00	6.44	95.0	0.00	6.12	0.00	6.12
11/30/2017	#4707586 SHEPHERD, ROBERTA	U.S. GOV PLUS-SVC	0.00	0.95	95.0	0.00	0.90	0.00	0.90
11/30/2017	#4761957 EPPICH, MITCHELL	U.S. GOV PLUS-SVC	0.00	0.90	95.0	0.00	0.86	0.00	0.86
11/30/2017	#4588695 HENKLE, KARE	U.S. GOV PLUS-SVC	0.00	12.68	95.0	0.00	12.05	0.00	12.05
11/30/2017	#3907805 TWEEDLE, THOMAS	U.S. GOV PLUS-SVC	0.00	5.91	95.0	0.00	5.61	0.00	5.61
11/30/2017	#4766491 GELENIUS, JOHN	U.S. GOV PLUS-SVC	0.00	7.94	95.0	0.00	7.54	0.00	7.54
11/30/2017	#4104939 FRIES, SANDRA	U.S. GOV PLUS-SVC	0.00	38.31	95.0	0.00	36.39	0.00	36.39
11/30/2017	#4768115 MCCREA, PATRIC	U.S. GOV PLUS-SVC	0.00	1.33	95.0	0.00	1.26	0.00	1.26
11/30/2017	#4392116 NOCK, PAMELA	U.S. GOV PLUS-SVC	0.00	17.01	95.0	0.00	16.16	0.00	16.16
11/30/2017	#4103230 WEAVER, LAURA	U.S. GOV PLUS-SVC	0.00	2.82	95.0	0.00	2.68	0.00	2.68
11/30/2017	#4744999 CRAIG, SALLY	U.S. GOV PLUS-SVC	0.00	8.65	95.0	0.00	8.22	0.00	8.22
11/30/2017	#4732436 REYNARD, JOAN	U.S. GOV PLUS-SVC	0.00	0.61	95.0	0.00	0.58	0.00	0.58
11/30/2017	#4766515 ZIEGLER, BEVERLY	U.S. GOV PLUS-SVC	0.00	1.78	95.0	0.00	1.69	0.00	1.69
11/30/2017	#4772644 BAYLESS, GLENN	U.S. GOV PLUS-SVC	0.00	6.22	95.0	0.00	5.91	0.00	5.91
11/30/2017	#4613345 BABULA, JEANNETTE	U.S. GOV PLUS-SVC	0.00	10.06	95.0	0.00	9.56	0.00	9.56
11/30/2017	#4770398 NASH, GERALDINE	U.S. GOV PLUS-SVC	0.00	2.70	95.0	0.00	2.57	0.00	2.57
11/30/2017	#4733135 BASZAK, LINDA	U.S. GOV PLUS-SVC	0.00	4.50	95.0	0.00	4.28	0.00	4.28
11/30/2017	#4605162 SHEPHERD, LARRY	U.S. GOV PLUS-SVC	0.00	5.56	95.0	0.00	5.28	0.00	5.28
11/30/2017	#4763532 JARRETT, JOHN	U.S. GOV PLUS-SVC	0.00	8.74	95.0	0.00	8.30	0.00	8.30
11/30/2017	#4636320 FERRY, DEANNA	U.S. GOV PLUS-SVC	0.00	1.27	95.0	0.00	1.21	0.00	1.21
11/30/2017	#4732842 BASZAK, LINDA	U.S. GOV PLUS-SVC	0.00	4.55	95.0	0.00	4.32	0.00	4.32

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#3171093	KLEINS, ROGE	U.S. GOV PLUS-SVC	0.00	55.97	95.0	0.00	53.17	53.17
11/30/2017	#4790162		U.S. GOV PLUS-SVC	0.00	7.04	95.0	0.00	6.69	6.69
11/30/2017	#3262526	GIAR, MICHAEL	U.S. GOV PLUS-SVC	0.00	17.62	95.0	0.00	16.74	16.74
11/30/2017	#4560001	WILLIAMS, WALTER	U.S. GOV PLUS-SVC	0.00	10.08	95.0	0.00	9.58	9.58
11/30/2017	#4707939	SHEPHERD, LARRY	U.S. GOV PLUS-SVC	0.00	26.34	95.0	0.00	25.02	25.02
11/30/2017	#4168934	TWEEDLE, REBECCA	U.S. GOV PLUS-SVC	0.00	8.24	95.0	0.00	7.83	7.83
11/30/2017	#4651966	PETRUS, JOHN	U.S. GOV PLUS-SVC	0.00	7.38	95.0	0.00	7.01	7.01
11/30/2017	#4103281	WEAVER, AMY	U.S. GOV PLUS-SVC	0.00	3.71	95.0	0.00	3.52	3.52
11/30/2017	#4173833	FRIES, ROBERT	U.S. GOV PLUS-SVC	0.00	16.69	95.0	0.00	15.86	15.86
11/30/2017	#4644961	STRAUB, JASON	U.S. GOV PLUS-SVC	0.00	2.87	95.0	0.00	2.73	2.73
11/30/2017	#4707598	PERKINS, MICHAEL	U.S. GOV PLUS-SVC	0.00	30.87	95.0	0.00	29.33	29.33
11/30/2017	#4707600	SHEPHERD, ROBERTA	U.S. GOV PLUS-SVC	0.00	10.54	95.0	0.00	10.01	10.01
11/30/2017	#4772505	PEREZ, DOROTHY	U.S. GOV PLUS-SVC	0.00	13.90	95.0	0.00	13.21	13.21
11/30/2017	#4009864	NOVAK, KATHLEEN	U.S. GOV PLUS-SVC	0.00	2.09	95.0	0.00	1.99	1.99
11/30/2017	#4774790	BAYLESS, SCOTT	U.S. GOV PLUS-SVC	0.00	0.45	95.0	0.00	0.43	0.43
11/30/2017	#4787711	NARDI, SCOTT	U.S. GOV PLUS-SVC	0.00	0.44	95.0	0.00	0.42	0.42
11/30/2017	#4768483	EPPICH, BERT	U.S. GOV PLUS-SVC	0.00	7.83	95.0	0.00	7.44	7.44
11/30/2017	#4768089	SWANSON, LISA	U.S. GOV PLUS-SVC	0.00	1.42	95.0	0.00	1.35	1.35
11/30/2017	#4580243	TWEEDLE, REBECCA	U.S. GOV PLUS-SVC	0.00	35.51	95.0	0.00	33.73	33.73
11/30/2017	#4732448	REYNARD, JOAN	U.S. GOV PLUS-SVC	0.00	2.79	95.0	0.00	2.65	2.65
11/30/2017	#3907781	TWEEDLE, THOMAS	U.S. GOV PLUS-SVC	0.00	7.35	95.0	0.00	6.98	6.98
11/30/2017	#4734505	TESTEN, AND	U.S. GOV PLUS-SVC	0.00	6.69	95.0	0.00	6.36	6.36
11/30/2017	#4651562	PETRUS, KELLY	U.S. GOV PLUS-SVC	0.00	0.90	95.0	0.00	0.86	0.86
11/30/2017	#4784702	PICONE, ANNE	U.S. GOV PLUS-SVC	0.00	23.61	95.0	0.00	22.43	22.43
11/30/2017	#4702458	JAKUBOWSKI, ROBERT	U.S. GOV PLUS-SVC	0.00	12.08	95.0	0.00	11.48	11.48
11/30/2017	#4651980	PETRUS, JOHN	U.S. GOV PLUS-SVC	0.00	1.21	95.0	0.00	1.15	1.15
11/30/2017	#4702433	LUNGO, MICHAEL	U.S. GOV PLUS-SVC	0.00	4.33	95.0	0.00	4.11	4.11
11/30/2017	#4731687	TWEEDLE, THOMAS	U.S. GOV PLUS-SVC	0.00	1.07	95.0	0.00	1.02	1.02
11/30/2017	#4750030	WING, THOMAS	U.S. GOV PLUS-SVC	0.00	8.51	95.0	0.00	8.08	8.08
11/30/2017	#4168997	TWEEDLE, THOMAS	U.S. GOV PLUS-SVC	0.00	28.62	95.0	0.00	27.19	27.19
11/30/2017	#3878675	WEAVER, ANN	U.S. GOV PLUS-SVC	0.00	36.64	95.0	0.00	34.81	34.81
11/30/2017	#4700555	GIAR, MICHAEL	U.S. GOV PLUS-SVC	0.00	13.24	95.0	0.00	12.58	12.58
11/30/2017	#4766325	PARKER, BETTY	U.S. GOV PLUS-SVC	0.00	2.94	95.0	0.00	2.79	2.79
11/30/2017	#4768091	GEIS, TIMOTHY	U.S. GOV PLUS-SVC	0.00	1.87	95.0	0.00	1.78	1.78
11/30/2017	#4787723	FOWKES, DEANA	U.S. GOV PLUS-SVC	0.00	0.37	95.0	0.00	0.35	0.35
11/30/2017	#4778700	SENAL, CARL	U.S. GOV PLUS-SVC	0.00	0.35	95.0	0.00	0.33	0.33

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4778991	SENAL, SHARON	U.S. GOV PLUS-SVC	0.00	0.35	95.0	0.00	0.33	0.33
11/30/2017	#4773178	BAYLESS, MAUREEN	U.S. GOV PLUS-SVC	0.00	67.79	95.0	0.00	64.40	64.40
11/30/2017	#4388296	CAINE, MARY	U.S. GOV PLUS-SVC	0.00	41.42	95.0	0.00	39.35	39.35
11/30/2017	#4775324	TOD	U.S. GOV PLUS-SVC	0.00	4.81	95.0	0.00	4.57	4.57
11/30/2017	#4702421	LUNGO, MICHAEL	U.S. GOV PLUS-SVC	0.00	8.90	95.0	0.00	8.46	8.46
11/30/2017	#4605162	SHEPHERD, LARRY	U.S. GOV PLUS-SVC	0.00	1.85	95.0	0.00	1.76	1.76
11/30/2017	#4613345	BABULA, JEANNETTE	U.S. GOV PLUS-SVC	0.00	3.35	95.0	0.00	3.18	3.18
11/30/2017	#4636320	FERRY, DEANNA	U.S. GOV PLUS-SVC	0.00	0.42	95.0	0.00	0.40	0.40
11/30/2017	#4644961	STRAUB, JASON	U.S. GOV PLUS-SVC	0.00	0.96	95.0	0.00	0.91	0.91
11/30/2017	#4655357	FERRY, STEPHEN	U.S. GOV PLUS-SVC	0.00	2.48	95.0	0.00	2.36	2.36
11/30/2017	#4659696	HAYES, ROBERT	U.S. GOV PLUS-SVC	0.00	0.22	95.0	0.00	0.21	0.21
11/30/2017	#4700555	GIAR, MICHAEL	U.S. GOV PLUS-SVC	0.00	4.41	95.0	0.00	4.19	4.19
11/30/2017	#4702421	LUNGO, MICHAEL	U.S. GOV PLUS-SVC	0.00	2.97	95.0	0.00	2.82	2.82
11/30/2017	#4702433	LUNGO, MICHAEL	U.S. GOV PLUS-SVC	0.00	1.44	95.0	0.00	1.37	1.37
11/30/2017	#4702458	JAKUBOWSKI, ROBERT	U.S. GOV PLUS-SVC	0.00	4.03	95.0	0.00	3.83	3.83
11/30/2017	#4707586	SHEPHERD, ROBERTA	U.S. GOV PLUS-SVC	0.00	0.32	95.0	0.00	0.30	0.30
11/30/2017	#4707598	PERKINS, MICHAEL	U.S. GOV PLUS-SVC	0.00	10.29	95.0	0.00	9.78	9.78
11/30/2017	#4707600	SHEPHERD, ROBERTA	U.S. GOV PLUS-SVC	0.00	3.51	95.0	0.00	3.33	3.33
11/30/2017	#4707612	SHEPHERD, LARRY	U.S. GOV PLUS-SVC	0.00	1.40	95.0	0.00	1.33	1.33
11/30/2017	#4707939	SHEPHERD, LARRY	U.S. GOV PLUS-SVC	0.00	8.78	95.0	0.00	8.34	8.34
11/30/2017	#4717221	MAREK, NORMAN	U.S. GOV PLUS-SVC	0.00	1.16	95.0	0.00	1.10	1.10
11/30/2017	#4719504	BARON, GEORGE	U.S. GOV PLUS-SVC	0.00	2.15	95.0	0.00	2.04	2.04
11/30/2017	#4719516	LUNGO, MICHELE	U.S. GOV PLUS-SVC	0.00	0.50	95.0	0.00	0.48	0.48
11/30/2017	#4732448	REYNARD, JOAN	U.S. GOV PLUS-SVC	0.00	0.93	95.0	0.00	0.88	0.88
11/30/2017	#4732842	BASZAK, LINDA	U.S. GOV PLUS-SVC	0.00	1.52	95.0	0.00	1.44	1.44
11/30/2017	#4732917	BASZAK, ANDREW	U.S. GOV PLUS-SVC	0.00	0.29	95.0	0.00	0.28	0.28
11/30/2017	#4734505	TESTEN, AND	U.S. GOV PLUS-SVC	0.00	2.23	95.0	0.00	2.12	2.12
11/30/2017	#4750030	WING, THOMAS	U.S. GOV PLUS-SVC	0.00	2.84	95.0	0.00	2.70	2.70
11/30/2017	#4761957	EPPICH, MITCHELL	U.S. GOV PLUS-SVC	0.00	0.30	95.0	0.00	0.29	0.29
11/30/2017	#4761969	EPPICH, MITCHELL	U.S. GOV PLUS-SVC	0.00	0.20	95.0	0.00	0.19	0.19
11/30/2017	#4763532	JARRETT, JOHN	U.S. GOV PLUS-SVC	0.00	2.91	95.0	0.00	2.76	2.76
11/30/2017	#4763633	SCHMIT, MICH	U.S. GOV PLUS-SVC	0.00	4.48	95.0	0.00	4.26	4.26
11/30/2017	#4766325	PARKER, BETTY	U.S. GOV PLUS-SVC	0.00	0.98	95.0	0.00	0.93	0.93
11/30/2017	#4766491	GELENIUS, JOHN	U.S. GOV PLUS-SVC	0.00	2.65	95.0	0.00	2.52	2.52
11/30/2017	#4766503	ZIEGLER, GLENN	U.S. GOV PLUS-SVC	0.00	2.25	95.0	0.00	2.14	2.14
11/30/2017	#4766515	ZIEGLER, BEVERLY	U.S. GOV PLUS-SVC	0.00	0.59	95.0	0.00	0.56	0.56

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4766541 GELENIUS, JOHN	U.S. GOV PLUS-SVC	0.00	2.24	95.0	0.00	2.13	0.00	2.13
11/30/2017	#4768089 SWANSON, LISA	U.S. GOV PLUS-SVC	0.00	0.47	95.0	0.00	0.45	0.00	0.45
11/30/2017	#4768091 GEIS, TIMOTHY	U.S. GOV PLUS-SVC	0.00	0.62	95.0	0.00	0.59	0.00	0.59
11/30/2017	#4768115 MCCREA, PATRIC	U.S. GOV PLUS-SVC	0.00	0.44	95.0	0.00	0.42	0.00	0.42
11/30/2017	#4768483 EPPICH, BERT	U.S. GOV PLUS-SVC	0.00	2.61	95.0	0.00	2.48	0.00	2.48
11/30/2017	#4770398 NASH, GERALDINE	U.S. GOV PLUS-SVC	0.00	0.90	95.0	0.00	0.86	0.00	0.86
11/30/2017	#4772505 PEREZ, DOROTHY	U.S. GOV PLUS-SVC	0.00	4.63	95.0	0.00	4.40	0.00	4.40
11/30/2017	#4772618 BAYLESS, MAUREEN	U.S. GOV PLUS-SVC	0.00	0.78	95.0	0.00	0.74	0.00	0.74
11/30/2017	#4772644 BAYLESS, GLENN	U.S. GOV PLUS-SVC	0.00	2.07	95.0	0.00	1.97	0.00	1.97
11/30/2017	#4773178 BAYLESS, MAUREEN	U.S. GOV PLUS-SVC	0.00	22.60	95.0	0.00	21.47	0.00	21.47
11/30/2017	#4773192 SWANSON, LISA	U.S. GOV PLUS-SVC	0.00	0.51	95.0	0.00	0.48	0.00	0.48
11/30/2017	#4774790 BAYLESS, SCOTT	U.S. GOV PLUS-SVC	0.00	0.15	95.0	0.00	0.14	0.00	0.14
11/30/2017	#4774802 BAYLESS, GLENN	U.S. GOV PLUS-SVC	0.00	0.13	95.0	0.00	0.12	0.00	0.12
11/30/2017	#4775312 BRONSON, MARILYNN	U.S. GOV PLUS-SVC	0.00	1.92	95.0	0.00	1.82	0.00	1.82
11/30/2017	#4775324 TOD	U.S. GOV PLUS-SVC	0.00	1.60	95.0	0.00	1.52	0.00	1.52
11/30/2017	#4775793 GUZI, EDWARD	U.S. GOV PLUS-SVC	0.00	2.75	95.0	0.00	2.61	0.00	2.61
11/30/2017	#4778700 SENAL, CARL	U.S. GOV PLUS-SVC	0.00	0.12	95.0	0.00	0.11	0.00	0.11
11/30/2017	#4778787 SENAL, SHARON	U.S. GOV PLUS-SVC	0.00	0.48	95.0	0.00	0.46	0.00	0.46
11/30/2017	#4778991 SENAL, SHARON	U.S. GOV PLUS-SVC	0.00	0.12	95.0	0.00	0.11	0.00	0.11
11/30/2017	#4784702 PICONE, ANNE	U.S. GOV PLUS-SVC	0.00	7.87	95.0	0.00	7.48	0.00	7.48
11/30/2017	#4784979 PICONE, NATALE	U.S. GOV PLUS-SVC	0.00	1.83	95.0	0.00	1.74	0.00	1.74
11/30/2017	#4787370 TWEEDLE, REBECCA	U.S. GOV PLUS-SVC	0.00	0.77	95.0	0.00	0.73	0.00	0.73
11/30/2017	#4787711 NARDI, SCOTT	U.S. GOV PLUS-SVC	0.00	0.15	95.0	0.00	0.14	0.00	0.14
11/30/2017	#4787723 FOWKES, DEANA	U.S. GOV PLUS-SVC	0.00	0.12	95.0	0.00	0.11	0.00	0.11
11/30/2017	#3171081 CRAIG, SALLY	U.S. GOV PLUS-SVC	0.00	1.15	95.0	0.00	1.09	0.00	1.09
11/30/2017	#3171093 KLEINS, ROGE	U.S. GOV PLUS-SVC	0.00	18.66	95.0	0.00	17.73	0.00	17.73
11/30/2017	#3224514 PICKER, JOHN	U.S. GOV PLUS-SVC	0.00	0.14	95.0	0.00	0.13	0.00	0.13
11/30/2017	#3262526 GIAR, MICHAEL	U.S. GOV PLUS-SVC	0.00	5.87	95.0	0.00	5.58	0.00	5.58
11/30/2017	#3518949 KHOSLA, CHANDA	U.S. GOV PLUS-SVC	0.00	11.63	95.0	0.00	11.05	0.00	11.05
11/30/2017	#3878675 WEAVER, ANN	U.S. GOV PLUS-SVC	0.00	12.21	95.0	0.00	11.60	0.00	11.60
11/30/2017	#3907781 TWEEDLE, THOMAS	U.S. GOV PLUS-SVC	0.00	2.45	95.0	0.00	2.33	0.00	2.33
11/30/2017	#3907805 TWEEDLE, THOMAS	U.S. GOV PLUS-SVC	0.00	1.97	95.0	0.00	1.87	0.00	1.87
11/30/2017	#3974627 WESLEY, FRANCES	U.S. GOV PLUS-SVC	0.00	4.14	95.0	0.00	3.93	0.00	3.93
11/30/2017	#4009864 NOVAK, KATHLEEN	U.S. GOV PLUS-SVC	0.00	0.70	95.0	0.00	0.67	0.00	0.67
11/30/2017	#4103230 WEAVER, LAURA	U.S. GOV PLUS-SVC	0.00	0.94	95.0	0.00	0.89	0.00	0.89
11/30/2017	#4103281 WEAVER, AMY	U.S. GOV PLUS-SVC	0.00	1.24	95.0	0.00	1.18	0.00	1.18

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4104939	FRIES, SANDRA	U.S. GOV PLUS-SVC	0.00	12.77	95.0	0.00	12.13	12.13
11/30/2017	#4168934	TWEEDLE, REBECCA	U.S. GOV PLUS-SVC	0.00	2.75	95.0	0.00	2.61	2.61
11/30/2017	#4168997	TWEEDLE, THOMAS	U.S. GOV PLUS-SVC	0.00	9.54	95.0	0.00	9.06	9.06
11/30/2017	#4173833	FRIES, ROBERT	U.S. GOV PLUS-SVC	0.00	5.56	95.0	0.00	5.28	5.28
11/30/2017	#4192643	BORGIONE, GEORGE	U.S. GOV PLUS-SVC	0.00	0.84	95.0	0.00	0.80	0.80
11/30/2017	#4388296	CAINE, MARY	U.S. GOV PLUS-SVC	0.00	13.81	95.0	0.00	13.12	13.12
11/30/2017	#4392116	NOCK, PAMELA	U.S. GOV PLUS-SVC	0.00	5.67	95.0	0.00	5.39	5.39
11/30/2017	#4410698	DALE, VALL	U.S. GOV PLUS-SVC	0.00	1.79	95.0	0.00	1.70	1.70
11/30/2017	#4560001	WILLIAMS, WALTER	U.S. GOV PLUS-SVC	0.00	3.36	95.0	0.00	3.19	3.19
11/30/2017	#4580243	TWEEDLE, REBECCA	U.S. GOV PLUS-SVC	0.00	11.84	95.0	0.00	11.25	11.25
11/30/2017	#4588695	HENKLE, KARE	U.S. GOV PLUS-SVC	0.00	4.23	95.0	0.00	4.02	4.02
11/30/2017	#4651562	PETRUS, KELLY	U.S. GOV PLUS-SVC	0.00	0.30	95.0	0.00	0.29	0.29
11/30/2017	#4651966	PETRUS, JOHN	U.S. GOV PLUS-SVC	0.00	2.46	95.0	0.00	2.34	2.34
11/30/2017	#4651980	PETRUS, JOHN	U.S. GOV PLUS-SVC	0.00	0.40	95.0	0.00	0.38	0.38
11/30/2017	#4731687	TWEEDLE, THOMAS	U.S. GOV PLUS-SVC	0.00	0.36	95.0	0.00	0.34	0.34
11/30/2017	#4732436	REYNARD, JOAN	U.S. GOV PLUS-SVC	0.00	0.20	95.0	0.00	0.19	0.19
11/30/2017	#4733135	BASZAK, LINDA	U.S. GOV PLUS-SVC	0.00	1.50	95.0	0.00	1.43	1.43
11/30/2017	#4744999	CRAIG, SALLY	U.S. GOV PLUS-SVC	0.00	2.88	95.0	0.00	2.74	2.74
11/30/2017	#4755144	HARRISON, BRENDA	U.S. GOV PLUS-SVC	0.00	0.88	95.0	0.00	0.84	0.84
11/30/2017	#4790162		U.S. GOV PLUS-SVC	0.00	2.35	95.0	0.00	2.23	2.23
11/30/2017	#4775793	GUZI, EDWARD	ULTRANASDAQ-100-SVC	0.00	3.50	95.0	0.00	3.33	3.33
11/30/2017	#4787370	TWEEDLE, REBECCA	ULTRANASDAQ-100-SVC	0.00	0.97	95.0	0.00	0.92	0.92
11/30/2017	#4192643	BORGIONE, GEORGE	ULTRANASDAQ-100-SVC	0.00	0.70	95.0	0.00	0.67	0.67
11/30/2017	#4613345	BABULA, JEANNETTE	ULTRANASDAQ-100-SVC	0.00	1.78	95.0	0.00	1.69	1.69
11/30/2017	#4784702	PICONE, ANNE	ULTRANASDAQ-100-SVC	0.00	9.99	95.0	0.00	9.49	9.49
11/30/2017	#4778991	SENAL, SHARON	ULTRANASDAQ-100-SVC	0.00	0.10	95.0	0.00	0.10	0.10
11/30/2017	#4655357	FERRY, STEPHEN	ULTRANASDAQ-100-SVC	0.00	3.15	95.0	0.00	2.99	2.99
11/30/2017	#4717221	MAREK, NORMAN	ULTRANASDAQ-100-SVC	0.00	1.48	95.0	0.00	1.41	1.41
11/30/2017	#4392116	NOCK, PAMELA	ULTRANASDAQ-100-SVC	0.00	4.73	95.0	0.00	4.49	4.49
11/30/2017	#4605162	SHEPHERD, LARRY	ULTRANASDAQ-100-SVC	0.00	0.99	95.0	0.00	0.94	0.94
11/30/2017	#4763633	SCHMIT, MICH	ULTRANASDAQ-100-SVC	0.00	3.74	95.0	0.00	3.55	3.55
11/30/2017	#4707598	PERKINS, MICHAEL	ULTRANASDAQ-100-SVC	0.00	13.06	95.0	0.00	12.41	12.41
11/30/2017	#4651966	PETRUS, JOHN	ULTRANASDAQ-100-SVC	0.00	3.18	95.0	0.00	3.02	3.02
11/30/2017	#4636320	FERRY, DEANNA	ULTRANASDAQ-100-SVC	0.00	0.55	95.0	0.00	0.52	0.52
11/30/2017	#4103281	WEAVER, AMY	ULTRANASDAQ-100-SVC	0.00	1.03	95.0	0.00	0.98	0.98
11/30/2017	#4768115	MCCREA, PATRIC	ULTRANASDAQ-100-SVC	0.00	0.37	95.0	0.00	0.35	0.35

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4168934 TWEEDLE, REBECCA	ULTRANASDAQ-100-SVC	0.00	3.49	95.0	0.00	3.32	0.00	3.32
11/30/2017	#4707939 SHEPHERD, LARRY	ULTRANASDAQ-100-SVC	0.00	7.33	95.0	0.00	6.96	0.00	6.96
11/30/2017	#4009864 NOVAK, KATHLEEN	ULTRANASDAQ-100-SVC	0.00	0.58	95.0	0.00	0.55	0.00	0.55
11/30/2017	#4766541 GELENIUS, JOHN	ULTRANASDAQ-100-SVC	0.00	1.87	95.0	0.00	1.78	0.00	1.78
11/30/2017	#4768483 EPPICH, BERT	ULTRANASDAQ-100-SVC	0.00	3.31	95.0	0.00	3.14	0.00	3.14
11/30/2017	#4702458 JAKUBOWSKI, ROBERT	ULTRANASDAQ-100-SVC	0.00	5.11	95.0	0.00	4.85	0.00	4.85
11/30/2017	#4719516 LUNGO, MICHELE	ULTRANASDAQ-100-SVC	0.00	0.41	95.0	0.00	0.39	0.00	0.39
11/30/2017	#4588695 HENKLE, KARE	ULTRANASDAQ-100-SVC	0.00	3.45	95.0	0.00	3.28	0.00	3.28
11/30/2017	#4732436 REYNARD, JOAN	ULTRANASDAQ-100-SVC	0.00	0.17	95.0	0.00	0.16	0.00	0.16
11/30/2017	#4787711 NARDI, SCOTT	ULTRANASDAQ-100-SVC	0.00	0.19	95.0	0.00	0.18	0.00	0.18
11/30/2017	#4410698 DALE, VALL	ULTRANASDAQ-100-SVC	0.00	0.95	95.0	0.00	0.90	0.00	0.90
11/30/2017	#4766515 ZIEGLER, BEVERLY	ULTRANASDAQ-100-SVC	0.00	0.32	95.0	0.00	0.30	0.00	0.30
11/30/2017	#4766325 PARKER, BETTY	ULTRANASDAQ-100-SVC	0.00	0.52	95.0	0.00	0.49	0.00	0.49
11/30/2017	#4744999 CRAIG, SALLY	ULTRANASDAQ-100-SVC	0.00	2.41	95.0	0.00	2.29	0.00	2.29
11/30/2017	#4580243 TWEEDLE, REBECCA	ULTRANASDAQ-100-SVC	0.00	15.03	95.0	0.00	14.28	0.00	14.28
11/30/2017	#4644961 STRAUB, JASON	ULTRANASDAQ-100-SVC	0.00	0.48	95.0	0.00	0.46	0.00	0.46
11/30/2017	#4750030 WING, THOMAS	ULTRANASDAQ-100-SVC	0.00	1.51	95.0	0.00	1.43	0.00	1.43
11/30/2017	#4103230 WEAVER, LAURA	ULTRANASDAQ-100-SVC	0.00	0.79	95.0	0.00	0.75	0.00	0.75
11/30/2017	#4772644 BAYLESS, GLENN	ULTRANASDAQ-100-SVC	0.00	1.10	95.0	0.00	1.05	0.00	1.05
11/30/2017	#4168997 TWEEDLE, THOMAS	ULTRANASDAQ-100-SVC	0.00	12.11	95.0	0.00	11.50	0.00	11.50
11/30/2017	#4173833 FRIES, ROBERT	ULTRANASDAQ-100-SVC	0.00	4.65	95.0	0.00	4.42	0.00	4.42
11/30/2017	#4707586 SHEPHERD, ROBERTA	ULTRANASDAQ-100-SVC	0.00	0.40	95.0	0.00	0.38	0.00	0.38
11/30/2017	#4733135 BASZAK, LINDA	ULTRANASDAQ-100-SVC	0.00	1.25	95.0	0.00	1.19	0.00	1.19
11/30/2017	#4775324 TOD	ULTRANASDAQ-100-SVC	0.00	1.34	95.0	0.00	1.27	0.00	1.27
11/30/2017	#3262526 GIAR, MICHAEL	ULTRANASDAQ-100-SVC	0.00	4.90	95.0	0.00	4.66	0.00	4.66
11/30/2017	#3907781 TWEEDLE, THOMAS	ULTRANASDAQ-100-SVC	0.00	3.11	95.0	0.00	2.95	0.00	2.95
11/30/2017	#3878675 WEAVER, ANN	ULTRANASDAQ-100-SVC	0.00	10.20	95.0	0.00	9.69	0.00	9.69
11/30/2017	#4775312 BRONSON, MARILYNN	ULTRANASDAQ-100-SVC	0.00	1.61	95.0	0.00	1.53	0.00	1.53
11/30/2017	#4700555 GIAR, MICHAEL	ULTRANASDAQ-100-SVC	0.00	3.68	95.0	0.00	3.50	0.00	3.50
11/30/2017	#4104939 FRIES, SANDRA	ULTRANASDAQ-100-SVC	0.00	10.66	95.0	0.00	10.13	0.00	10.13
11/30/2017	#4707600 SHEPHERD, ROBERTA	ULTRANASDAQ-100-SVC	0.00	4.46	95.0	0.00	4.24	0.00	4.24
11/30/2017	#4768089 SWANSON, LISA	ULTRANASDAQ-100-SVC	0.00	0.60	95.0	0.00	0.57	0.00	0.57
11/30/2017	#4651562 PETRUS, KELLY	ULTRANASDAQ-100-SVC	0.00	0.38	95.0	0.00	0.36	0.00	0.36
11/30/2017	#4773192 SWANSON, LISA	ULTRANASDAQ-100-SVC	0.00	0.64	95.0	0.00	0.61	0.00	0.61
11/30/2017	#4388296 CAINE, MARY	ULTRANASDAQ-100-SVC	0.00	11.53	95.0	0.00	10.95	0.00	10.95
11/30/2017	#4763532 JARRETT, JOHN	ULTRANASDAQ-100-SVC	0.00	2.43	95.0	0.00	2.31	0.00	2.31

COMMISSION STATEMENT for STEVEN EPPICH

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4732917 BASZAK, ANDREW	ULTRANASDAQ-100-SVC	0.00	0.37	95.0	0.00	0.35	0.00	0.35
11/30/2017	#4768091 GEIS, TIMOTHY	ULTRANASDAQ-100-SVC	0.00	0.53	95.0	0.00	0.50	0.00	0.50
11/30/2017	#4784979 PICONE, NATALE	ULTRANASDAQ-100-SVC	0.00	2.32	95.0	0.00	2.20	0.00	2.20
11/30/2017	#4774790 BAYLESS, SCOTT	ULTRANASDAQ-100-SVC	0.00	0.13	95.0	0.00	0.12	0.00	0.12
11/30/2017	#4778700 SENAL, CARL	ULTRANASDAQ-100-SVC	0.00	0.10	95.0	0.00	0.10	0.00	0.10
11/30/2017	#4766491 GELENIUS, JOHN	ULTRANASDAQ-100-SVC	0.00	2.21	95.0	0.00	2.10	0.00	2.10
11/30/2017	#3224514 PICKER, JOHN	ULTRANASDAQ-100-SVC	0.00	0.18	95.0	0.00	0.17	0.00	0.17
11/30/2017	#4761957 EPPICH, MITCHELL	ULTRANASDAQ-100-SVC	0.00	0.38	95.0	0.00	0.36	0.00	0.36
11/30/2017	#4732842 BASZAK, LINDA	ULTRANASDAQ-100-SVC	0.00	1.27	95.0	0.00	1.21	0.00	1.21
11/30/2017	#4772505 PEREZ, DOROTHY	ULTRANASDAQ-100-SVC	0.00	3.87	95.0	0.00	3.68	0.00	3.68
11/30/2017	#3171093 KLEINS, ROGE	ULTRANASDAQ-100-SVC	0.00	9.91	95.0	0.00	9.41	0.00	9.41
11/30/2017	#4719504 BARON, GEORGE	ULTRANASDAQ-100-SVC	0.00	1.79	95.0	0.00	1.70	0.00	1.70
11/30/2017	#4774802 BAYLESS, GLENN	ULTRANASDAQ-100-SVC	0.00	0.17	95.0	0.00	0.16	0.00	0.16
11/30/2017	#4790162	ULTRANASDAQ-100-SVC	0.00	1.27	95.0	0.00	1.21	0.00	1.21
11/30/2017	#4734505 TESTEN, AND	ULTRANASDAQ-100-SVC	0.00	2.83	95.0	0.00	2.69	0.00	2.69
11/30/2017	#4787723 FOWKES, DEANA	ULTRANASDAQ-100-SVC	0.00	0.16	95.0	0.00	0.15	0.00	0.15
11/30/2017	#4702433 LUNGO, MICHAEL	ULTRANASDAQ-100-SVC	0.00	1.83	95.0	0.00	1.74	0.00	1.74
11/30/2017	#3974627 WESLEY, FRANCES	ULTRANASDAQ-100-SVC	0.00	3.46	95.0	0.00	3.29	0.00	3.29
11/30/2017	#4659696 HAYES, ROBERT	ULTRANASDAQ-100-SVC	0.00	0.28	95.0	0.00	0.27	0.00	0.27
11/30/2017	#4766503 ZIEGLER, GLENN	ULTRANASDAQ-100-SVC	0.00	1.20	95.0	0.00	1.14	0.00	1.14
11/30/2017	#4778787 SENAL, SHARON	ULTRANASDAQ-100-SVC	0.00	0.40	95.0	0.00	0.38	0.00	0.38
11/30/2017	#4770398 NASH, GERALDINE	ULTRANASDAQ-100-SVC	0.00	0.75	95.0	0.00	0.71	0.00	0.71
11/30/2017	#3171081 CRAIG, SALLY	ULTRANASDAQ-100-SVC	0.00	0.96	95.0	0.00	0.91	0.00	0.91
11/30/2017	#4761969 EPPICH, MITCHELL	ULTRANASDAQ-100-SVC	0.00	0.25	95.0	0.00	0.24	0.00	0.24
11/30/2017	#4560001 WILLIAMS, WALTER	ULTRANASDAQ-100-SVC	0.00	1.79	95.0	0.00	1.70	0.00	1.70
11/30/2017	#4731687 TWEEDLE, THOMAS	ULTRANASDAQ-100-SVC	0.00	0.45	95.0	0.00	0.43	0.00	0.43
11/30/2017	#4755144 HARRISON, BRENDA	ULTRANASDAQ-100-SVC	0.00	0.74	95.0	0.00	0.70	0.00	0.70
11/30/2017	#4772618 BAYLESS, MAUREEN	ULTRANASDAQ-100-SVC	0.00	0.42	95.0	0.00	0.40	0.00	0.40
11/30/2017	#4773178 BAYLESS, MAUREEN	ULTRANASDAQ-100-SVC	0.00	12.01	95.0	0.00	11.41	0.00	11.41
11/30/2017	#4651980 PETRUS, JOHN	ULTRANASDAQ-100-SVC	0.00	0.51	95.0	0.00	0.48	0.00	0.48
11/30/2017	#4707612 SHEPHERD, LARRY	ULTRANASDAQ-100-SVC	0.00	1.16	95.0	0.00	1.10	0.00	1.10
11/30/2017	#4732448 REYNARD, JOAN	ULTRANASDAQ-100-SVC	0.00	0.78	95.0	0.00	0.74	0.00	0.74
11/30/2017	#4702421 LUNGO, MICHAEL	ULTRANASDAQ-100-SVC	0.00	3.77	95.0	0.00	3.58	0.00	3.58
11/30/2017	#3518949 KHOSLA, CHANDA	ULTRANASDAQ-100-SVC	0.00	14.66	95.0	0.00	13.93	0.00	13.93
11/30/2017	#3907805 TWEEDLE, THOMAS	ULTRANASDAQ-100-SVC	0.00	2.50	95.0	0.00	2.38	0.00	2.38
11/30/2017	#4605162 SHEPHERD, LARRY	ULTRANASDAQ-100-SVC	0.00	0.33	95.0	0.00	0.31	0.00	0.31

COMMISSION STATEMENT for STEVEN EPPICH

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4613345	BABULA, JEANNETTE	ULTRANASDAQ-100-SVC	0.00	0.59	95.0	0.00	0.56	0.56
11/30/2017	#4636320	FERRY, DEANNA	ULTRANASDAQ-100-SVC	0.00	0.18	95.0	0.00	0.17	0.17
11/30/2017	#4644961	STRAUB, JASON	ULTRANASDAQ-100-SVC	0.00	0.16	95.0	0.00	0.15	0.15
11/30/2017	#4655357	FERRY, STEPHEN	ULTRANASDAQ-100-SVC	0.00	1.05	95.0	0.00	1.00	1.00
11/30/2017	#4659696	HAYES, ROBERT	ULTRANASDAQ-100-SVC	0.00	0.09	95.0	0.00	0.09	0.09
11/30/2017	#4700555	GIAR, MICHAEL	ULTRANASDAQ-100-SVC	0.00	1.23	95.0	0.00	1.17	1.17
11/30/2017	#4702421	LUNGO, MICHAEL	ULTRANASDAQ-100-SVC	0.00	1.26	95.0	0.00	1.20	1.20
11/30/2017	#4702433	LUNGO, MICHAEL	ULTRANASDAQ-100-SVC	0.00	0.61	95.0	0.00	0.58	0.58
11/30/2017	#4702458	JAKUBOWSKI, ROBERT	ULTRANASDAQ-100-SVC	0.00	1.70	95.0	0.00	1.62	1.62
11/30/2017	#4707586	SHEPHERD, ROBERTA	ULTRANASDAQ-100-SVC	0.00	0.13	95.0	0.00	0.12	0.12
11/30/2017	#4707598	PERKINS, MICHAEL	ULTRANASDAQ-100-SVC	0.00	4.35	95.0	0.00	4.13	4.13
11/30/2017	#4707600	SHEPHERD, ROBERTA	ULTRANASDAQ-100-SVC	0.00	1.49	95.0	0.00	1.42	1.42
11/30/2017	#4707612	SHEPHERD, LARRY	ULTRANASDAQ-100-SVC	0.00	0.39	95.0	0.00	0.37	0.37
11/30/2017	#4707939	SHEPHERD, LARRY	ULTRANASDAQ-100-SVC	0.00	2.44	95.0	0.00	2.32	2.32
11/30/2017	#4717221	MAREK, NORMAN	ULTRANASDAQ-100-SVC	0.00	0.49	95.0	0.00	0.47	0.47
11/30/2017	#4719504	BARON, GEORGE	ULTRANASDAQ-100-SVC	0.00	0.60	95.0	0.00	0.57	0.57
11/30/2017	#4719516	LUNGO, MICHELE	ULTRANASDAQ-100-SVC	0.00	0.14	95.0	0.00	0.13	0.13
11/30/2017	#4732448	REYNARD, JOAN	ULTRANASDAQ-100-SVC	0.00	0.26	95.0	0.00	0.25	0.25
11/30/2017	#4732842	BASZAK, LINDA	ULTRANASDAQ-100-SVC	0.00	0.42	95.0	0.00	0.40	0.40
11/30/2017	#4732917	BASZAK, ANDREW	ULTRANASDAQ-100-SVC	0.00	0.12	95.0	0.00	0.11	0.11
11/30/2017	#4734505	TESTEN, AND	ULTRANASDAQ-100-SVC	0.00	0.94	95.0	0.00	0.89	0.89
11/30/2017	#4750030	WING, THOMAS	ULTRANASDAQ-100-SVC	0.00	0.50	95.0	0.00	0.48	0.48
11/30/2017	#4761957	EPPICH, MITCHELL	ULTRANASDAQ-100-SVC	0.00	0.13	95.0	0.00	0.12	0.12
11/30/2017	#4761969	EPPICH, MITCHELL	ULTRANASDAQ-100-SVC	0.00	0.08	95.0	0.00	0.08	0.08
11/30/2017	#4763532	JARRETT, JOHN	ULTRANASDAQ-100-SVC	0.00	0.81	95.0	0.00	0.77	0.77
11/30/2017	#4763633	SCHMIT, MICH	ULTRANASDAQ-100-SVC	0.00	1.25	95.0	0.00	1.19	1.19
11/30/2017	#4766325	PARKER, BETTY	ULTRANASDAQ-100-SVC	0.00	0.17	95.0	0.00	0.16	0.16
11/30/2017	#4766491	GELENIUS, JOHN	ULTRANASDAQ-100-SVC	0.00	0.74	95.0	0.00	0.70	0.70
11/30/2017	#4766503	ZIEGLER, GLENN	ULTRANASDAQ-100-SVC	0.00	0.40	95.0	0.00	0.38	0.38
11/30/2017	#4766515	ZIEGLER, BEVERLY	ULTRANASDAQ-100-SVC	0.00	0.11	95.0	0.00	0.10	0.10
11/30/2017	#4766541	GELENIUS, JOHN	ULTRANASDAQ-100-SVC	0.00	0.62	95.0	0.00	0.59	0.59
11/30/2017	#4768089	SWANSON, LISA	ULTRANASDAQ-100-SVC	0.00	0.20	95.0	0.00	0.19	0.19
11/30/2017	#4768091	GEIS, TIMOTHY	ULTRANASDAQ-100-SVC	0.00	0.18	95.0	0.00	0.17	0.17
11/30/2017	#4768115	MCCREA, PATRIC	ULTRANASDAQ-100-SVC	0.00	0.12	95.0	0.00	0.11	0.11
11/30/2017	#4768483	EPPICH, BERT	ULTRANASDAQ-100-SVC	0.00	1.10	95.0	0.00	1.05	1.05
11/30/2017	#4770398	NASH, GERALDINE	ULTRANASDAQ-100-SVC	0.00	0.25	95.0	0.00	0.24	0.24

COMMISSION STATEMENT for STEVEN EPPICH

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4772505 PEREZ, DOROTHY	ULTRANASDAQ-100-SVC	0.00	1.29	95.0	0.00	1.23	0.00	1.23
11/30/2017	#4772618 BAYLESS, MAUREEN	ULTRANASDAQ-100-SVC	0.00	0.14	95.0	0.00	0.13	0.00	0.13
11/30/2017	#4772644 BAYLESS, GLENN	ULTRANASDAQ-100-SVC	0.00	0.37	95.0	0.00	0.35	0.00	0.35
11/30/2017	#4773178 BAYLESS, MAUREEN	ULTRANASDAQ-100-SVC	0.00	4.00	95.0	0.00	3.80	0.00	3.80
11/30/2017	#4773192 SWANSON, LISA	ULTRANASDAQ-100-SVC	0.00	0.21	95.0	0.00	0.20	0.00	0.20
11/30/2017	#4774790 BAYLESS, SCOTT	ULTRANASDAQ-100-SVC	0.00	0.04	95.0	0.00	0.04	0.00	0.04
11/30/2017	#4774802 BAYLESS, GLENN	ULTRANASDAQ-100-SVC	0.00	0.06	95.0	0.00	0.06	0.00	0.06
11/30/2017	#4775312 BRONSON, MARILYNN	ULTRANASDAQ-100-SVC	0.00	0.54	95.0	0.00	0.51	0.00	0.51
11/30/2017	#4775324 TOD	ULTRANASDAQ-100-SVC	0.00	0.45	95.0	0.00	0.43	0.00	0.43
11/30/2017	#4775793 GUZI, EDWARD	ULTRANASDAQ-100-SVC	0.00	1.17	95.0	0.00	1.11	0.00	1.11
11/30/2017	#4778700 SENAL, CARL	ULTRANASDAQ-100-SVC	0.00	0.03	95.0	0.00	0.03	0.00	0.03
11/30/2017	#4778787 SENAL, SHARON	ULTRANASDAQ-100-SVC	0.00	0.13	95.0	0.00	0.12	0.00	0.12
11/30/2017	#4778991 SENAL, SHARON	ULTRANASDAQ-100-SVC	0.00	0.03	95.0	0.00	0.03	0.00	0.03
11/30/2017	#4784702 PICONE, ANNE	ULTRANASDAQ-100-SVC	0.00	3.33	95.0	0.00	3.16	0.00	3.16
11/30/2017	#4784979 PICONE, NATALE	ULTRANASDAQ-100-SVC	0.00	0.77	95.0	0.00	0.73	0.00	0.73
11/30/2017	#4787370 TWEEDLE, REBECCA	ULTRANASDAQ-100-SVC	0.00	0.32	95.0	0.00	0.30	0.00	0.30
11/30/2017	#4787711 NARDI, SCOTT	ULTRANASDAQ-100-SVC	0.00	0.06	95.0	0.00	0.06	0.00	0.06
11/30/2017	#4787723 FOWKES, DEANA	ULTRANASDAQ-100-SVC	0.00	0.05	95.0	0.00	0.05	0.00	0.05
11/30/2017	#3171081 CRAIG, SALLY	ULTRANASDAQ-100-SVC	0.00	0.32	95.0	0.00	0.30	0.00	0.30
11/30/2017	#3171093 KLEINS, ROGE	ULTRANASDAQ-100-SVC	0.00	3.30	95.0	0.00	3.14	0.00	3.14
11/30/2017	#3224514 PICKER, JOHN	ULTRANASDAQ-100-SVC	0.00	0.06	95.0	0.00	0.06	0.00	0.06
11/30/2017	#3262526 GIAR, MICHAEL	ULTRANASDAQ-100-SVC	0.00	1.63	95.0	0.00	1.55	0.00	1.55
11/30/2017	#3518949 KHOSLA, CHANDA	ULTRANASDAQ-100-SVC	0.00	4.89	95.0	0.00	4.65	0.00	4.65
11/30/2017	#3878675 WEAVER, ANN	ULTRANASDAQ-100-SVC	0.00	3.40	95.0	0.00	3.23	0.00	3.23
11/30/2017	#3907781 TWEEDLE, THOMAS	ULTRANASDAQ-100-SVC	0.00	1.04	95.0	0.00	0.99	0.00	0.99
11/30/2017	#3907805 TWEEDLE, THOMAS	ULTRANASDAQ-100-SVC	0.00	0.83	95.0	0.00	0.79	0.00	0.79
11/30/2017	#3974627 WESLEY, FRANCES	ULTRANASDAQ-100-SVC	0.00	1.15	95.0	0.00	1.09	0.00	1.09
11/30/2017	#4009864 NOVAK, KATHLEEN	ULTRANASDAQ-100-SVC	0.00	0.19	95.0	0.00	0.18	0.00	0.18
11/30/2017	#4103230 WEAVER, LAURA	ULTRANASDAQ-100-SVC	0.00	0.26	95.0	0.00	0.25	0.00	0.25
11/30/2017	#4103281 WEAVER, AMY	ULTRANASDAQ-100-SVC	0.00	0.34	95.0	0.00	0.32	0.00	0.32
11/30/2017	#4104939 FRIES, SANDRA	ULTRANASDAQ-100-SVC	0.00	3.55	95.0	0.00	3.37	0.00	3.37
11/30/2017	#4168934 TWEEDLE, REBECCA	ULTRANASDAQ-100-SVC	0.00	1.16	95.0	0.00	1.10	0.00	1.10
11/30/2017	#4168997 TWEEDLE, THOMAS	ULTRANASDAQ-100-SVC	0.00	4.04	95.0	0.00	3.84	0.00	3.84
11/30/2017	#4173833 FRIES, ROBERT	ULTRANASDAQ-100-SVC	0.00	1.55	95.0	0.00	1.47	0.00	1.47
11/30/2017	#4192643 BORGIONE, GEORGE	ULTRANASDAQ-100-SVC	0.00	0.23	95.0	0.00	0.22	0.00	0.22
11/30/2017	#4388296 CAINE, MARY	ULTRANASDAQ-100-SVC	0.00	3.84	95.0	0.00	3.65	0.00	3.65

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4392116 NOCK, PAMELA	ULTRANASDAQ-100-SVC	0.00	1.58	95.0	0.00	1.50	0.00	1.50
11/30/2017	#4410698 DALE, VALL	ULTRANASDAQ-100-SVC	0.00	0.32	95.0	0.00	0.30	0.00	0.30
11/30/2017	#4560001 WILLIAMS, WALTER	ULTRANASDAQ-100-SVC	0.00	0.60	95.0	0.00	0.57	0.00	0.57
11/30/2017	#4580243 TWEEDLE, REBECCA	ULTRANASDAQ-100-SVC	0.00	5.01	95.0	0.00	4.76	0.00	4.76
11/30/2017	#4588695 HENKLE, KARE	ULTRANASDAQ-100-SVC	0.00	1.15	95.0	0.00	1.09	0.00	1.09
11/30/2017	#4651562 PETRUS, KELLY	ULTRANASDAQ-100-SVC	0.00	0.13	95.0	0.00	0.12	0.00	0.12
11/30/2017	#4651966 PETRUS, JOHN	ULTRANASDAQ-100-SVC	0.00	1.06	95.0	0.00	1.01	0.00	1.01
11/30/2017	#4651980 PETRUS, JOHN	ULTRANASDAQ-100-SVC	0.00	0.17	95.0	0.00	0.16	0.00	0.16
11/30/2017	#4731687 TWEEDLE, THOMAS	ULTRANASDAQ-100-SVC	0.00	0.15	95.0	0.00	0.14	0.00	0.14
11/30/2017	#4732436 REYNARD, JOAN	ULTRANASDAQ-100-SVC	0.00	0.06	95.0	0.00	0.06	0.00	0.06
11/30/2017	#4733135 BASZAK, LINDA	ULTRANASDAQ-100-SVC	0.00	0.42	95.0	0.00	0.40	0.00	0.40
11/30/2017	#4744999 CRAIG, SALLY	ULTRANASDAQ-100-SVC	0.00	0.80	95.0	0.00	0.76	0.00	0.76
11/30/2017	#4755144 HARRISON, BRENDA	ULTRANASDAQ-100-SVC	0.00	0.25	95.0	0.00	0.24	0.00	0.24
11/30/2017	#4790162	ULTRANASDAQ-100-SVC	0.00	0.42	95.0	0.00	0.40	0.00	0.40
11/30/2017	#4732448 REYNARD, JOAN	ULTRASHORT SMALL-CAP-SVC	0.00	2.55	95.0	0.00	2.42	0.00	2.42
11/30/2017	#4774802 BAYLESS, GLENN	ULTRASHORT SMALL-CAP-SVC	0.00	0.55	95.0	0.00	0.52	0.00	0.52
11/30/2017	#4734505 TESTEN, AND	ULTRASHORT SMALL-CAP-SVC	0.00	9.34	95.0	0.00	8.87	0.00	8.87
11/30/2017	#4775793 GUZI, EDWARD	ULTRASHORT SMALL-CAP-SVC	0.00	11.53	95.0	0.00	10.95	0.00	10.95
11/30/2017	#3171093 KLEINS, ROGE	ULTRASHORT SMALL-CAP-SVC	0.00	30.62	95.0	0.00	29.09	0.00	29.09
11/30/2017	#4766325 PARKER, BETTY	ULTRASHORT SMALL-CAP-SVC	0.00	1.61	95.0	0.00	1.53	0.00	1.53
11/30/2017	#4702433 LUNGO, MICHAEL	ULTRASHORT SMALL-CAP-SVC	0.00	6.04	95.0	0.00	5.74	0.00	5.74
11/30/2017	#3878675 WEAVER, ANN	ULTRASHORT SMALL-CAP-SVC	0.00	33.53	95.0	0.00	31.85	0.00	31.85
11/30/2017	#4787370 TWEEDLE, REBECCA	ULTRASHORT SMALL-CAP-SVC	0.00	3.21	95.0	0.00	3.05	0.00	3.05
11/30/2017	#4732842 BASZAK, LINDA	ULTRASHORT SMALL-CAP-SVC	0.00	4.17	95.0	0.00	3.96	0.00	3.96
11/30/2017	#4773178 BAYLESS, MAUREEN	ULTRASHORT SMALL-CAP-SVC	0.00	37.07	95.0	0.00	35.22	0.00	35.22
11/30/2017	#4775312 BRONSON, MARILYNN	ULTRASHORT SMALL-CAP-SVC	0.00	5.28	95.0	0.00	5.02	0.00	5.02
11/30/2017	#4388296 CAINE, MARY	ULTRASHORT SMALL-CAP-SVC	0.00	37.90	95.0	0.00	36.01	0.00	36.01
11/30/2017	#4768091 GEIS, TIMOTHY	ULTRASHORT SMALL-CAP-SVC	0.00	1.74	95.0	0.00	1.65	0.00	1.65
11/30/2017	#4778991 SENAL, SHARON	ULTRASHORT SMALL-CAP-SVC	0.00	0.32	95.0	0.00	0.30	0.00	0.30
11/30/2017	#4772644 BAYLESS, GLENN	ULTRASHORT SMALL-CAP-SVC	0.00	3.40	95.0	0.00	3.23	0.00	3.23
11/30/2017	#4768089 SWANSON, LISA	ULTRASHORT SMALL-CAP-SVC	0.00	1.98	95.0	0.00	1.88	0.00	1.88
11/30/2017	#4651562 PETRUS, KELLY	ULTRASHORT SMALL-CAP-SVC	0.00	1.25	95.0	0.00	1.19	0.00	1.19
11/30/2017	#4775324 TOD	ULTRASHORT SMALL-CAP-SVC	0.00	4.40	95.0	0.00	4.18	0.00	4.18
11/30/2017	#4787711 NARDI, SCOTT	ULTRASHORT SMALL-CAP-SVC	0.00	0.62	95.0	0.00	0.59	0.00	0.59
11/30/2017	#4768115 MCCREA, PATRIC	ULTRASHORT SMALL-CAP-SVC	0.00	1.21	95.0	0.00	1.15	0.00	1.15
11/30/2017	#4707600 SHEPHERD, ROBERTA	ULTRASHORT SMALL-CAP-SVC	0.00	14.72	95.0	0.00	13.98	0.00	13.98

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4761969 EPPICH, MITCHELL	ULTRASHORT SMALL-CAP-SVC	0.00	0.83	95.0	0.00	0.79	0.00	0.79
11/30/2017	#4768483 EPPICH, BERT	ULTRASHORT SMALL-CAP-SVC	0.00	10.93	95.0	0.00	10.38	0.00	10.38
11/30/2017	#4168997 TWEEDLE, THOMAS	ULTRASHORT SMALL-CAP-SVC	0.00	39.94	95.0	0.00	37.94	0.00	37.94
11/30/2017	#4750030 WING, THOMAS	ULTRASHORT SMALL-CAP-SVC	0.00	4.66	95.0	0.00	4.43	0.00	4.43
11/30/2017	#4651980 PETRUS, JOHN	ULTRASHORT SMALL-CAP-SVC	0.00	1.69	95.0	0.00	1.61	0.00	1.61
11/30/2017	#4605162 SHEPHERD, LARRY	ULTRASHORT SMALL-CAP-SVC	0.00	3.04	95.0	0.00	2.89	0.00	2.89
11/30/2017	#4733135 BASZAK, LINDA	ULTRASHORT SMALL-CAP-SVC	0.00	4.12	95.0	0.00	3.91	0.00	3.91
11/30/2017	#4173833 FRIES, ROBERT	ULTRASHORT SMALL-CAP-SVC	0.00	15.28	95.0	0.00	14.52	0.00	14.52
11/30/2017	#4731687 TWEEDLE, THOMAS	ULTRASHORT SMALL-CAP-SVC	0.00	1.49	95.0	0.00	1.42	0.00	1.42
11/30/2017	#4644961 STRAUB, JASON	ULTRASHORT SMALL-CAP-SVC	0.00	1.58	95.0	0.00	1.50	0.00	1.50
11/30/2017	#4766515 ZIEGLER, BEVERLY	ULTRASHORT SMALL-CAP-SVC	0.00	0.98	95.0	0.00	0.93	0.00	0.93
11/30/2017	#4766541 GELENIUS, JOHN	ULTRASHORT SMALL-CAP-SVC	0.00	6.15	95.0	0.00	5.84	0.00	5.84
11/30/2017	#3518949 KHOSLA, CHANDA	ULTRASHORT SMALL-CAP-SVC	0.00	48.34	95.0	0.00	45.92	0.00	45.92
11/30/2017	#4717221 MAREK, NORMAN	ULTRASHORT SMALL-CAP-SVC	0.00	4.86	95.0	0.00	4.62	0.00	4.62
11/30/2017	#4755144 HARRISON, BRENDA	ULTRASHORT SMALL-CAP-SVC	0.00	2.43	95.0	0.00	2.31	0.00	2.31
11/30/2017	#3907781 TWEEDLE, THOMAS	ULTRASHORT SMALL-CAP-SVC	0.00	10.25	95.0	0.00	9.74	0.00	9.74
11/30/2017	#4719516 LUNGO, MICHELE	ULTRASHORT SMALL-CAP-SVC	0.00	1.36	95.0	0.00	1.29	0.00	1.29
11/30/2017	#4659696 HAYES, ROBERT	ULTRASHORT SMALL-CAP-SVC	0.00	0.94	95.0	0.00	0.89	0.00	0.89
11/30/2017	#3224514 PICKER, JOHN	ULTRASHORT SMALL-CAP-SVC	0.00	0.60	95.0	0.00	0.57	0.00	0.57
11/30/2017	#4192643 BORGIONE, GEORGE	ULTRASHORT SMALL-CAP-SVC	0.00	2.32	95.0	0.00	2.20	0.00	2.20
11/30/2017	#4707612 SHEPHERD, LARRY	ULTRASHORT SMALL-CAP-SVC	0.00	3.83	95.0	0.00	3.64	0.00	3.64
11/30/2017	#4772618 BAYLESS, MAUREEN	ULTRASHORT SMALL-CAP-SVC	0.00	1.28	95.0	0.00	1.22	0.00	1.22
11/30/2017	#4588695 HENKLE, KARE	ULTRASHORT SMALL-CAP-SVC	0.00	11.34	95.0	0.00	10.77	0.00	10.77
11/30/2017	#4655357 FERRY, STEPHEN	ULTRASHORT SMALL-CAP-SVC	0.00	10.40	95.0	0.00	9.88	0.00	9.88
11/30/2017	#4580243 TWEEDLE, REBECCA	ULTRASHORT SMALL-CAP-SVC	0.00	49.56	95.0	0.00	47.08	0.00	47.08
11/30/2017	#4707598 PERKINS, MICHAEL	ULTRASHORT SMALL-CAP-SVC	0.00	43.07	95.0	0.00	40.92	0.00	40.92
11/30/2017	#4790162	ULTRASHORT SMALL-CAP-SVC	0.00	5.20	95.0	0.00	4.94	0.00	4.94
11/30/2017	#4410698 DALE, VALL	ULTRASHORT SMALL-CAP-SVC	0.00	2.94	95.0	0.00	2.79	0.00	2.79
11/30/2017	#4700555 GIAR, MICHAEL	ULTRASHORT SMALL-CAP-SVC	0.00	12.11	95.0	0.00	11.50	0.00	11.50
11/30/2017	#4702458 JAKUBOWSKI, ROBERT	ULTRASHORT SMALL-CAP-SVC	0.00	16.85	95.0	0.00	16.01	0.00	16.01
11/30/2017	#4651966 PETRUS, JOHN	ULTRASHORT SMALL-CAP-SVC	0.00	10.49	95.0	0.00	9.97	0.00	9.97
11/30/2017	#4719504 BARON, GEORGE	ULTRASHORT SMALL-CAP-SVC	0.00	5.89	95.0	0.00	5.60	0.00	5.60
11/30/2017	#4761957 EPPICH, MITCHELL	ULTRASHORT SMALL-CAP-SVC	0.00	1.25	95.0	0.00	1.19	0.00	1.19
11/30/2017	#4778700 SENAL, CARL	ULTRASHORT SMALL-CAP-SVC	0.00	0.32	95.0	0.00	0.30	0.00	0.30
11/30/2017	#4168934 TWEEDLE, REBECCA	ULTRASHORT SMALL-CAP-SVC	0.00	11.50	95.0	0.00	10.93	0.00	10.93
11/30/2017	#4392116 NOCK, PAMELA	ULTRASHORT SMALL-CAP-SVC	0.00	15.56	95.0	0.00	14.78	0.00	14.78

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4560001 WILLIAMS, WALTER	ULTRASHORT SMALL-CAP-SVC	0.00	5.51	95.0	0.00	5.23	0.00	5.23
11/30/2017	#4763532 JARRETT, JOHN	ULTRASHORT SMALL-CAP-SVC	0.00	8.00	95.0	0.00	7.60	0.00	7.60
11/30/2017	#3974627 WESLEY, FRANCES	ULTRASHORT SMALL-CAP-SVC	0.00	11.37	95.0	0.00	10.80	0.00	10.80
11/30/2017	#4763633 SCHMIT, MICH	ULTRASHORT SMALL-CAP-SVC	0.00	12.31	95.0	0.00	11.69	0.00	11.69
11/30/2017	#4772505 PEREZ, DOROTHY	ULTRASHORT SMALL-CAP-SVC	0.00	12.72	95.0	0.00	12.08	0.00	12.08
11/30/2017	#4732436 REYNARD, JOAN	ULTRASHORT SMALL-CAP-SVC	0.00	0.56	95.0	0.00	0.53	0.00	0.53
11/30/2017	#4636320 FERRY, DEANNA	ULTRASHORT SMALL-CAP-SVC	0.00	1.81	95.0	0.00	1.72	0.00	1.72
11/30/2017	#3262526 GIAR, MICHAEL	ULTRASHORT SMALL-CAP-SVC	0.00	16.12	95.0	0.00	15.31	0.00	15.31
11/30/2017	#4784702 PICONE, ANNE	ULTRASHORT SMALL-CAP-SVC	0.00	32.94	95.0	0.00	31.29	0.00	31.29
11/30/2017	#4104939 FRIES, SANDRA	ULTRASHORT SMALL-CAP-SVC	0.00	35.06	95.0	0.00	33.31	0.00	33.31
11/30/2017	#3907805 TWEEDLE, THOMAS	ULTRASHORT SMALL-CAP-SVC	0.00	8.25	95.0	0.00	7.84	0.00	7.84
11/30/2017	#4770398 NASH, GERALDINE	ULTRASHORT SMALL-CAP-SVC	0.00	2.47	95.0	0.00	2.35	0.00	2.35
11/30/2017	#4773192 SWANSON, LISA	ULTRASHORT SMALL-CAP-SVC	0.00	2.12	95.0	0.00	2.01	0.00	2.01
11/30/2017	#4778787 SENAL, SHARON	ULTRASHORT SMALL-CAP-SVC	0.00	1.33	95.0	0.00	1.26	0.00	1.26
11/30/2017	#4103230 WEAVER, LAURA	ULTRASHORT SMALL-CAP-SVC	0.00	2.58	95.0	0.00	2.45	0.00	2.45
11/30/2017	#4766503 ZIEGLER, GLENN	ULTRASHORT SMALL-CAP-SVC	0.00	3.70	95.0	0.00	3.52	0.00	3.52
11/30/2017	#4732917 BASZAK, ANDREW	ULTRASHORT SMALL-CAP-SVC	0.00	1.22	95.0	0.00	1.16	0.00	1.16
11/30/2017	#3171081 CRAIG, SALLY	ULTRASHORT SMALL-CAP-SVC	0.00	3.15	95.0	0.00	2.99	0.00	2.99
11/30/2017	#4707586 SHEPHERD, ROBERTA	ULTRASHORT SMALL-CAP-SVC	0.00	1.33	95.0	0.00	1.26	0.00	1.26
11/30/2017	#4787723 FOWKES, DEANA	ULTRASHORT SMALL-CAP-SVC	0.00	0.52	95.0	0.00	0.49	0.00	0.49
11/30/2017	#4613345 BABULA, JEANNETTE	ULTRASHORT SMALL-CAP-SVC	0.00	5.50	95.0	0.00	5.23	0.00	5.23
11/30/2017	#4744999 CRAIG, SALLY	ULTRASHORT SMALL-CAP-SVC	0.00	7.91	95.0	0.00	7.51	0.00	7.51
11/30/2017	#4774790 BAYLESS, SCOTT	ULTRASHORT SMALL-CAP-SVC	0.00	0.41	95.0	0.00	0.39	0.00	0.39
11/30/2017	#4009864 NOVAK, KATHLEEN	ULTRASHORT SMALL-CAP-SVC	0.00	1.92	95.0	0.00	1.82	0.00	1.82
11/30/2017	#4103281 WEAVER, AMY	ULTRASHORT SMALL-CAP-SVC	0.00	3.39	95.0	0.00	3.22	0.00	3.22
11/30/2017	#4707939 SHEPHERD, LARRY	ULTRASHORT SMALL-CAP-SVC	0.00	24.10	95.0	0.00	22.90	0.00	22.90
11/30/2017	#4766491 GELENIUS, JOHN	ULTRASHORT SMALL-CAP-SVC	0.00	7.27	95.0	0.00	6.91	0.00	6.91
11/30/2017	#4784979 PICONE, NATALE	ULTRASHORT SMALL-CAP-SVC	0.00	7.66	95.0	0.00	7.28	0.00	7.28
11/30/2017	#4702421 LUNGO, MICHAEL	ULTRASHORT SMALL-CAP-SVC	0.00	12.42	95.0	0.00	11.80	0.00	11.80
11/30/2017	#4605162 SHEPHERD, LARRY	ULTRASHORT SMALL-CAP-SVC	0.00	1.01	95.0	0.00	0.96	0.00	0.96
11/30/2017	#4613345 BABULA, JEANNETTE	ULTRASHORT SMALL-CAP-SVC	0.00	1.83	95.0	0.00	1.74	0.00	1.74
11/30/2017	#4636320 FERRY, DEANNA	ULTRASHORT SMALL-CAP-SVC	0.00	0.60	95.0	0.00	0.57	0.00	0.57
11/30/2017	#4644961 STRAUB, JASON	ULTRASHORT SMALL-CAP-SVC	0.00	0.53	95.0	0.00	0.50	0.00	0.50
11/30/2017	#4655357 FERRY, STEPHEN	ULTRASHORT SMALL-CAP-SVC	0.00	3.47	95.0	0.00	3.30	0.00	3.30
11/30/2017	#4659696 HAYES, ROBERT	ULTRASHORT SMALL-CAP-SVC	0.00	0.31	95.0	0.00	0.29	0.00	0.29
11/30/2017	#4700555 GIAR, MICHAEL	ULTRASHORT SMALL-CAP-SVC	0.00	4.04	95.0	0.00	3.84	0.00	3.84

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4702421 LUNGO, MICHAEL	ULTRASHORT SMALL-CAP-SVC	0.00	4.14	95.0	0.00	3.93	0.00	3.93
11/30/2017	#4702433 LUNGO, MICHAEL	ULTRASHORT SMALL-CAP-SVC	0.00	2.01	95.0	0.00	1.91	0.00	1.91
11/30/2017	#4702458 JAKUBOWSKI, ROBERT	ULTRASHORT SMALL-CAP-SVC	0.00	5.62	95.0	0.00	5.34	0.00	5.34
11/30/2017	#4707586 SHEPHERD, ROBERTA	ULTRASHORT SMALL-CAP-SVC	0.00	0.44	95.0	0.00	0.42	0.00	0.42
11/30/2017	#4707598 PERKINS, MICHAEL	ULTRASHORT SMALL-CAP-SVC	0.00	14.36	95.0	0.00	13.64	0.00	13.64
11/30/2017	#4707600 SHEPHERD, ROBERTA	ULTRASHORT SMALL-CAP-SVC	0.00	4.91	95.0	0.00	4.66	0.00	4.66
11/30/2017	#4707612 SHEPHERD, LARRY	ULTRASHORT SMALL-CAP-SVC	0.00	1.28	95.0	0.00	1.22	0.00	1.22
11/30/2017	#4707939 SHEPHERD, LARRY	ULTRASHORT SMALL-CAP-SVC	0.00	8.03	95.0	0.00	7.63	0.00	7.63
11/30/2017	#4717221 MAREK, NORMAN	ULTRASHORT SMALL-CAP-SVC	0.00	1.62	95.0	0.00	1.54	0.00	1.54
11/30/2017	#4719504 BARON, GEORGE	ULTRASHORT SMALL-CAP-SVC	0.00	1.96	95.0	0.00	1.86	0.00	1.86
11/30/2017	#4719516 LUNGO, MICHELE	ULTRASHORT SMALL-CAP-SVC	0.00	0.45	95.0	0.00	0.43	0.00	0.43
11/30/2017	#4732448 REYNARD, JOAN	ULTRASHORT SMALL-CAP-SVC	0.00	0.85	95.0	0.00	0.81	0.00	0.81
11/30/2017	#4732842 BASZAK, LINDA	ULTRASHORT SMALL-CAP-SVC	0.00	1.39	95.0	0.00	1.32	0.00	1.32
11/30/2017	#4732917 BASZAK, ANDREW	ULTRASHORT SMALL-CAP-SVC	0.00	0.41	95.0	0.00	0.39	0.00	0.39
11/30/2017	#4734505 TESTEN, AND	ULTRASHORT SMALL-CAP-SVC	0.00	3.11	95.0	0.00	2.95	0.00	2.95
11/30/2017	#4750030 WING, THOMAS	ULTRASHORT SMALL-CAP-SVC	0.00	1.55	95.0	0.00	1.47	0.00	1.47
11/30/2017	#4761957 EPPICH, MITCHELL	ULTRASHORT SMALL-CAP-SVC	0.00	0.42	95.0	0.00	0.40	0.00	0.40
11/30/2017	#4761969 EPPICH, MITCHELL	ULTRASHORT SMALL-CAP-SVC	0.00	0.28	95.0	0.00	0.27	0.00	0.27
11/30/2017	#4763532 JARRETT, JOHN	ULTRASHORT SMALL-CAP-SVC	0.00	2.67	95.0	0.00	2.54	0.00	2.54
11/30/2017	#4763633 SCHMIT, MICH	ULTRASHORT SMALL-CAP-SVC	0.00	4.10	95.0	0.00	3.90	0.00	3.90
11/30/2017	#4766325 PARKER, BETTY	ULTRASHORT SMALL-CAP-SVC	0.00	0.54	95.0	0.00	0.51	0.00	0.51
11/30/2017	#4766491 GELENIUS, JOHN	ULTRASHORT SMALL-CAP-SVC	0.00	2.42	95.0	0.00	2.30	0.00	2.30
11/30/2017	#4766503 ZIEGLER, GLENN	ULTRASHORT SMALL-CAP-SVC	0.00	1.23	95.0	0.00	1.17	0.00	1.17
11/30/2017	#4766515 ZIEGLER, BEVERLY	ULTRASHORT SMALL-CAP-SVC	0.00	0.33	95.0	0.00	0.31	0.00	0.31
11/30/2017	#4766541 GELENIUS, JOHN	ULTRASHORT SMALL-CAP-SVC	0.00	2.05	95.0	0.00	1.95	0.00	1.95
11/30/2017	#4768089 SWANSON, LISA	ULTRASHORT SMALL-CAP-SVC	0.00	0.66	95.0	0.00	0.63	0.00	0.63
11/30/2017	#4768091 GEIS, TIMOTHY	ULTRASHORT SMALL-CAP-SVC	0.00	0.58	95.0	0.00	0.55	0.00	0.55
11/30/2017	#4768115 MCCREA, PATRIC	ULTRASHORT SMALL-CAP-SVC	0.00	0.40	95.0	0.00	0.38	0.00	0.38
11/30/2017	#4768483 EPPICH, BERT	ULTRASHORT SMALL-CAP-SVC	0.00	3.64	95.0	0.00	3.46	0.00	3.46
11/30/2017	#4770398 NASH, GERALDINE	ULTRASHORT SMALL-CAP-SVC	0.00	0.82	95.0	0.00	0.78	0.00	0.78
11/30/2017	#4772505 PEREZ, DOROTHY	ULTRASHORT SMALL-CAP-SVC	0.00	4.24	95.0	0.00	4.03	0.00	4.03
11/30/2017	#4772618 BAYLESS, MAUREEN	ULTRASHORT SMALL-CAP-SVC	0.00	0.43	95.0	0.00	0.41	0.00	0.41
11/30/2017	#4772644 BAYLESS, GLENN	ULTRASHORT SMALL-CAP-SVC	0.00	1.13	95.0	0.00	1.07	0.00	1.07
11/30/2017	#4773178 BAYLESS, MAUREEN	ULTRASHORT SMALL-CAP-SVC	0.00	12.36	95.0	0.00	11.74	0.00	11.74
11/30/2017	#4773192 SWANSON, LISA	ULTRASHORT SMALL-CAP-SVC	0.00	0.71	95.0	0.00	0.67	0.00	0.67
11/30/2017	#4774790 BAYLESS, SCOTT	ULTRASHORT SMALL-CAP-SVC	0.00	0.14	95.0	0.00	0.13	0.00	0.13

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#4774802 BAYLESS, GLENN	ULTRASHORT SMALL-CAP-SVC	0.00	0.18	95.0	0.00	0.17	0.00	0.17
11/30/2017	#4775312 BRONSON, MARILYNN	ULTRASHORT SMALL-CAP-SVC	0.00	1.76	95.0	0.00	1.67	0.00	1.67
11/30/2017	#4775324 TOD	ULTRASHORT SMALL-CAP-SVC	0.00	1.47	95.0	0.00	1.40	0.00	1.40
11/30/2017	#4775793 GUZI, EDWARD	ULTRASHORT SMALL-CAP-SVC	0.00	3.84	95.0	0.00	3.65	0.00	3.65
11/30/2017	#4778700 SENAL, CARL	ULTRASHORT SMALL-CAP-SVC	0.00	0.11	95.0	0.00	0.10	0.00	0.10
11/30/2017	#4778787 SENAL, SHARON	ULTRASHORT SMALL-CAP-SVC	0.00	0.44	95.0	0.00	0.42	0.00	0.42
11/30/2017	#4778991 SENAL, SHARON	ULTRASHORT SMALL-CAP-SVC	0.00	0.11	95.0	0.00	0.10	0.00	0.10
11/30/2017	#4784702 PICONE, ANNE	ULTRASHORT SMALL-CAP-SVC	0.00	10.98	95.0	0.00	10.43	0.00	10.43
11/30/2017	#4784979 PICONE, NATALE	ULTRASHORT SMALL-CAP-SVC	0.00	2.55	95.0	0.00	2.42	0.00	2.42
11/30/2017	#4787370 TWEEDLE, REBECCA	ULTRASHORT SMALL-CAP-SVC	0.00	1.07	95.0	0.00	1.02	0.00	1.02
11/30/2017	#4787711 NARDI, SCOTT	ULTRASHORT SMALL-CAP-SVC	0.00	0.21	95.0	0.00	0.20	0.00	0.20
11/30/2017	#4787723 FOWKES, DEANA	ULTRASHORT SMALL-CAP-SVC	0.00	0.17	95.0	0.00	0.16	0.00	0.16
11/30/2017	#3171081 CRAIG, SALLY	ULTRASHORT SMALL-CAP-SVC	0.00	1.05	95.0	0.00	1.00	0.00	1.00
11/30/2017	#3171093 KLEINS, ROGE	ULTRASHORT SMALL-CAP-SVC	0.00	10.21	95.0	0.00	9.70	0.00	9.70
11/30/2017	#3224514 PICKER, JOHN	ULTRASHORT SMALL-CAP-SVC	0.00	0.20	95.0	0.00	0.19	0.00	0.19
11/30/2017	#3262526 GIAR, MICHAEL	ULTRASHORT SMALL-CAP-SVC	0.00	5.37	95.0	0.00	5.10	0.00	5.10
11/30/2017	#3518949 KHOSLA, CHANDA	ULTRASHORT SMALL-CAP-SVC	0.00	16.11	95.0	0.00	15.30	0.00	15.30
11/30/2017	#3878675 WEAVER, ANN	ULTRASHORT SMALL-CAP-SVC	0.00	11.18	95.0	0.00	10.62	0.00	10.62
11/30/2017	#3907781 TWEEDLE, THOMAS	ULTRASHORT SMALL-CAP-SVC	0.00	3.42	95.0	0.00	3.25	0.00	3.25
11/30/2017	#3907805 TWEEDLE, THOMAS	ULTRASHORT SMALL-CAP-SVC	0.00	2.75	95.0	0.00	2.61	0.00	2.61
11/30/2017	#3974627 WESLEY, FRANCES	ULTRASHORT SMALL-CAP-SVC	0.00	3.79	95.0	0.00	3.60	0.00	3.60
11/30/2017	#4009864 NOVAK, KATHLEEN	ULTRASHORT SMALL-CAP-SVC	0.00	0.64	95.0	0.00	0.61	0.00	0.61
11/30/2017	#4103230 WEAVER, LAURA	ULTRASHORT SMALL-CAP-SVC	0.00	0.86	95.0	0.00	0.82	0.00	0.82
11/30/2017	#4103281 WEAVER, AMY	ULTRASHORT SMALL-CAP-SVC	0.00	1.13	95.0	0.00	1.07	0.00	1.07
11/30/2017	#4104939 FRIES, SANDRA	ULTRASHORT SMALL-CAP-SVC	0.00	11.69	95.0	0.00	11.11	0.00	11.11
11/30/2017	#4168934 TWEEDLE, REBECCA	ULTRASHORT SMALL-CAP-SVC	0.00	3.83	95.0	0.00	3.64	0.00	3.64
11/30/2017	#4168997 TWEEDLE, THOMAS	ULTRASHORT SMALL-CAP-SVC	0.00	13.31	95.0	0.00	12.64	0.00	12.64
11/30/2017	#4173833 FRIES, ROBERT	ULTRASHORT SMALL-CAP-SVC	0.00	5.09	95.0	0.00	4.84	0.00	4.84
11/30/2017	#4192643 BORGIONE, GEORGE	ULTRASHORT SMALL-CAP-SVC	0.00	0.77	95.0	0.00	0.73	0.00	0.73
11/30/2017	#4388296 CAINE, MARY	ULTRASHORT SMALL-CAP-SVC	0.00	12.63	95.0	0.00	12.00	0.00	12.00
11/30/2017	#4392116 NOCK, PAMELA	ULTRASHORT SMALL-CAP-SVC	0.00	5.19	95.0	0.00	4.93	0.00	4.93
11/30/2017	#4410698 DALE, VALL	ULTRASHORT SMALL-CAP-SVC	0.00	0.98	95.0	0.00	0.93	0.00	0.93
11/30/2017	#4560001 WILLIAMS, WALTER	ULTRASHORT SMALL-CAP-SVC	0.00	1.84	95.0	0.00	1.75	0.00	1.75
11/30/2017	#4580243 TWEEDLE, REBECCA	ULTRASHORT SMALL-CAP-SVC	0.00	16.52	95.0	0.00	15.69	0.00	15.69
11/30/2017	#4588695 HENKLE, KARE	ULTRASHORT SMALL-CAP-SVC	0.00	3.78	95.0	0.00	3.59	0.00	3.59
11/30/2017	#4651562 PETRUS, KELLY	ULTRASHORT SMALL-CAP-SVC	0.00	0.42	95.0	0.00	0.40	0.00	0.40

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
11/30/2017	#4651966	PETRUS, JOHN	ULTRASHORT SMALL-CAP-SVC	0.00	3.50	95.0	0.00	3.33	0.00	3.33
11/30/2017	#4651980	PETRUS, JOHN	ULTRASHORT SMALL-CAP-SVC	0.00	0.56	95.0	0.00	0.53	0.00	0.53
11/30/2017	#4731687	TWEEDLE, THOMAS	ULTRASHORT SMALL-CAP-SVC	0.00	0.50	95.0	0.00	0.48	0.00	0.48
11/30/2017	#4732436	REYNARD, JOAN	ULTRASHORT SMALL-CAP-SVC	0.00	0.19	95.0	0.00	0.18	0.00	0.18
11/30/2017	#4733135	BASZAK, LINDA	ULTRASHORT SMALL-CAP-SVC	0.00	1.37	95.0	0.00	1.30	0.00	1.30
11/30/2017	#4744999	CRAIG, SALLY	ULTRASHORT SMALL-CAP-SVC	0.00	2.64	95.0	0.00	2.51	0.00	2.51
11/30/2017	#4755144	HARRISON, BRENDA	ULTRASHORT SMALL-CAP-SVC	0.00	0.81	95.0	0.00	0.77	0.00	0.77
11/30/2017	#4790162		ULTRASHORT SMALL-CAP-SVC	0.00	1.73	95.0	0.00	1.64	0.00	1.64
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$6,527.34		\$0.00	\$6,201.07	\$0.00	\$6,201.07

PRODUCT CATEGORY: VARIABLE ANNUITIES

11/30/2017	#E0628306	REYNARD, JOAN	PRUDETIAL TRAILS	0.00	42.94	95.0	0.00	40.79	0.00	40.79
11/30/2017	#E0628306	REYNARD, JOAN	PRUDETIAL TRAILS	0.00	171.75	95.0	0.00	163.16	0.00	163.16
11/30/2017	#000029088	BALDWIN, BERTHA	PRUDETIAL TRAILS	0.00	3.50	95.0	0.00	3.33	0.00	3.33
11/30/2017	#E0463390	CHASE, STUART	PRUDETIAL TRAILS	0.00	160.53	95.0	0.00	152.50	0.00	152.50
11/30/2017	#E0463390	CHASE, STUART	PRUDETIAL TRAILS	0.00	642.11	95.0	0.00	610.00	0.00	610.00
11/30/2017	#E0712489	LOWRY, DAMIAN	PRUDETIAL TRAILS	0.00	2.78	95.0	0.00	2.64	0.00	2.64
11/30/2017	#E0712489	LOWRY, DAMIAN	PRUDETIAL TRAILS	0.00	11.10	95.0	0.00	10.55	0.00	10.55
11/30/2017	#E0712490	DAVIS, MIKE	PRUDETIAL TRAILS	0.00	6.16	95.0	0.00	5.85	0.00	5.85
11/30/2017	#E0712490	DAVIS, MIKE	PRUDETIAL TRAILS	0.00	24.65	95.0	0.00	23.42	0.00	23.42
11/30/2017	#E0447507	BUCKOSH, CYNTHIA	PRUDETIAL TRAILS	0.00	23.26	95.0	0.00	22.10	0.00	22.10
11/30/2017	#E0447507	BUCKOSH, CYNTHIA	PRUDETIAL TRAILS	0.00	93.03	95.0	0.00	88.38	0.00	88.38
11/30/2017	#E0383990	JARRETT, RITA	PRUDETIAL TRAILS	0.00	4.63	95.0	0.00	4.40	0.00	4.40
11/30/2017	#E0383990	JARRETT, RITA	PRUDETIAL TRAILS	0.00	18.50	95.0	0.00	17.58	0.00	17.58
11/30/2017	#E0898704	TAYERLE, MARY	PRUDETIAL TRAILS	0.00	31.81	95.0	0.00	30.22	0.00	30.22
11/30/2017	#E0898704	TAYERLE, MARY	PRUDETIAL TRAILS	0.00	127.25	95.0	0.00	120.89	0.00	120.89
11/30/2017	#E0500981	VONDERAU, HAROLD	PRUDETIAL TRAILS	0.00	7.99	95.0	0.00	7.59	0.00	7.59
11/30/2017	#E0500981	VONDERAU, HAROLD	PRUDETIAL TRAILS	0.00	31.98	95.0	0.00	30.38	0.00	30.38
11/30/2017	#E0501161	REGULA, RUTH	PRUDETIAL TRAILS	0.00	13.58	95.0	0.00	12.90	0.00	12.90
11/30/2017	#E0501161	REGULA, RUTH	PRUDETIAL TRAILS	0.00	54.33	95.0	0.00	51.61	0.00	51.61
11/30/2017	#E0381843		PRUDETIAL TRAILS	0.00	5.75	95.0	0.00	5.46	0.00	5.46
11/30/2017	#E0381843		PRUDETIAL TRAILS	0.00	23.01	95.0	0.00	21.86	0.00	21.86
11/30/2017	#E0381899		PRUDETIAL TRAILS	0.00	10.96	95.0	0.00	10.41	0.00	10.41
11/30/2017	#E0381899		PRUDETIAL TRAILS	0.00	43.85	95.0	0.00	41.66	0.00	41.66
11/30/2017	#E0383946	WING, THOMAS	PRUDETIAL TRAILS	0.00	18.38	95.0	0.00	17.46	0.00	17.46
11/30/2017	#E0383946	WING, THOMAS	PRUDETIAL TRAILS	0.00	73.52	95.0	0.00	69.84	0.00	69.84

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
11/30/2017	#000370493 REYNARD, JOAN	PRUDETIAL TRAILS	0.00	0.73	95.0	0.00	0.69	0.00	0.69
11/30/2017	#000680673 SHAW, EDWARD	PRUDETIAL TRAILS	0.00	7.26	95.0	0.00	6.90	0.00	6.90
11/30/2017	#000680673 SHAW, EDWARD	PRUDETIAL TRAILS	0.00	21.79	95.0	0.00	20.70	0.00	20.70
11/30/2017	#000680683 JARRETT, JOHN	PRUDETIAL TRAILS	0.00	12.62	95.0	0.00	11.99	0.00	11.99
11/30/2017	#000680683 JARRETT, JOHN	PRUDETIAL TRAILS	0.00	50.47	95.0	0.00	47.95	0.00	47.95
11/30/2017	#E0365353 CASEBOLT TRUST,	PRUDETIAL TRAILS	0.00	37.92	95.0	0.00	36.02	0.00	36.02
11/30/2017	#E0365353 CASEBOLT TRUST,	PRUDETIAL TRAILS	0.00	151.70	95.0	0.00	144.12	0.00	144.12
11/30/2017	#000286897 BARON, GEORGE	PRUDETIAL TRAILS	0.00	0.18	95.0	0.00	0.17	0.00	0.17
11/30/2017	#000286897 BARON, GEORGE	PRUDETIAL TRAILS	0.00	0.18	95.0	0.00	0.17	0.00	0.17
11/30/2017	#E0712538 GIFFORD, BRIAN	PRUDETIAL TRAILS	0.00	4.14	95.0	0.00	3.93	0.00	3.93
11/30/2017	#E0712538 GIFFORD, BRIAN	PRUDETIAL TRAILS	0.00	16.57	95.0	0.00	15.74	0.00	15.74
11/30/2017	#E0377602 BUCKOSH, CYNTHIA	PRUDETIAL TRAILS	0.00	8.24	95.0	0.00	7.83	0.00	7.83
11/30/2017	#E0377602 BUCKOSH, CYNTHIA	PRUDETIAL TRAILS	0.00	32.95	95.0	0.00	31.30	0.00	31.30
11/30/2017	#000680603 BALDWIN, RONALD	PRUDETIAL TRAILS	0.00	13.88	95.0	0.00	13.19	0.00	13.19
11/30/2017	#000680603 BALDWIN, RONALD	PRUDETIAL TRAILS	0.00	55.54	95.0	0.00	52.76	0.00	52.76
11/30/2017	#E0620312 EPPICH, GERALD	PRUDETIAL TRAILS	0.00	2.10	95.0	0.00	2.00	0.00	2.00
11/30/2017	#E0620312 EPPICH, GERALD	PRUDETIAL TRAILS	0.00	8.38	95.0	0.00	7.96	0.00	7.96
11/30/2017	#E0405742 CHARLES, VIRGINIA	PRUDETIAL TRAILS	0.00	15.53	95.0	0.00	14.75	0.00	14.75
11/30/2017	#E0405742 CHARLES, VIRGINIA	PRUDETIAL TRAILS	0.00	62.10	95.0	0.00	59.00	0.00	59.00
11/30/2017	#E0364726 NAVRATIL, DAVID	PRUDETIAL TRAILS	0.00	5.30	95.0	0.00	5.04	0.00	5.04
11/30/2017	#E0364726 NAVRATIL, DAVID	PRUDETIAL TRAILS	0.00	21.21	95.0	0.00	20.15	0.00	20.15
11/30/2017	#E0463035 CHASE, STUART	PRUDETIAL TRAILS	0.00	27.85	95.0	0.00	26.46	0.00	26.46
11/30/2017	#E0463035 CHASE, STUART	PRUDETIAL TRAILS	0.00	111.41	95.0	0.00	105.84	0.00	105.84
11/30/2017	#E0462981 CHASE, BETTY	PRUDETIAL TRAILS	0.00	2.27	95.0	0.00	2.16	0.00	2.16
11/30/2017	#E0462981 CHASE, BETTY	PRUDETIAL TRAILS	0.00	0.57	95.0	0.00	0.54	0.00	0.54
11/30/2017	#E0424766 SIPPLE, BERNADETTE	PRUDETIAL TRAILS	0.00	4.98	95.0	0.00	4.73	0.00	4.73
11/30/2017	#E0424766 SIPPLE, BERNADETTE	PRUDETIAL TRAILS	0.00	19.94	95.0	0.00	18.94	0.00	18.94
11/30/2017	#E0383833 HUML, LOUIS	PRUDETIAL TRAILS	0.00	18.62	95.0	0.00	17.69	0.00	17.69
11/30/2017	#E0383833 HUML, LOUIS	PRUDETIAL TRAILS	0.00	74.46	95.0	0.00	70.74	0.00	70.74
11/30/2017	#E0383849 HUML, LOUIS	PRUDETIAL TRAILS	0.00	22.78	95.0	0.00	21.64	0.00	21.64
11/30/2017	#E0383849 HUML, LOUIS	PRUDETIAL TRAILS	0.00	91.11	95.0	0.00	86.55	0.00	86.55
11/30/2017	#E0393923 KOTAS, FRANK	PRUDETIAL TRAILS	0.00	4.83	95.0	0.00	4.59	0.00	4.59
11/30/2017	#E0393923 KOTAS, FRANK	PRUDETIAL TRAILS	0.00	14.48	95.0	0.00	13.76	0.00	13.76
11/30/2017	#E0565712 BARON, GEORGE	PRUDETIAL TRAILS	0.00	3.16	95.0	0.00	3.00	0.00	3.00
11/30/2017	#E0565712 BARON, GEORGE	PRUDETIAL TRAILS	0.00	12.65	95.0	0.00	12.02	0.00	12.02

COMMISSION STATEMENT for STEVEN EPPICH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$2,585.25		\$0.00	\$2,456.00	\$0.00	\$2,456.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
01/01/2018	#00060471685	BUSBY SERVICES MASSACHUSETTS INVESTORS GROWTH STOC	0.00	16.18	95.0	0.00	15.37	0.00	15.37
01/01/2018	#00060471748	BUSBY SERVICE MASSACHUSETTS INVESTORS GROWTH STOC	0.00	19.14	95.0	0.00	18.18	0.00	18.18
01/01/2018	#00060470764	BUSBY SERVICE MASSACHUSETTS INVESTORS TRUST-CL A	0.00	18.74	95.0	0.00	17.80	0.00	17.80
01/01/2018	#00060470739	BUSBY SERVICES MASSACHUSETTS INVESTORS TRUST-CL A	0.00	13.25	95.0	0.00	12.59	0.00	12.59
01/01/2018	#00060457569	WHITE, PATRICIA MFS GROWTH FUND CL A	0.00	3.06	95.0	0.00	2.91	0.00	2.91
01/01/2018	#05566031725	WEAVER, ANN MFS GROWTH FUND CL A	0.00	46.83	95.0	0.00	44.49	0.00	44.49
01/01/2018	#08183028561	SHAW, EDWARD MFS HIGH INCOME FUND-C	0.00	9.65	95.0	0.00	9.17	0.00	9.17
01/01/2018	#08191094353	HOLBROOK, MFS HIGH INCOME FUND-C	0.00	156.64	95.0	0.00	148.81	0.00	148.81
01/01/2018	#00060422376	ROSSI, BETH MFS TOTAL RETURN FUND-A	0.00	1.81	95.0	0.00	1.72	0.00	1.72
01/02/2018	#00446665441	PLAN PUTNAM DIVERSIFIED INCOME TRUST-CL	0.00	2.14	95.0	0.00	2.03	0.00	2.03
01/02/2018	#00462827314	CASEBOLT TRUST, PUTNAM MULTI CAP GROWTH FUND CL A	0.00	3.09	95.0	0.00	2.94	0.00	2.94
01/02/2018	#00550894932	BALDWIN, MARK PUTNAM MULTI CAP GROWTH FUND CL A	0.00	10.12	95.0	0.00	9.61	0.00	9.61
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$300.65		\$0.00	\$285.62	\$0.00	\$285.62
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$9,413.24		\$0.00	\$8,942.69	\$0.00	\$8,942.69

ADJUSTMENTS

01/20/2018	E & O INSURANCE: E & O INSURANCE	-219.00
*** ADJUSTMENTS TOTAL ***		\$-219.00

BROKER COMMISSION TOTALS

Broker Transactions	\$8,942.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-219.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,723.69
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