

All Companies
STATEMENT
January 20, 2018

HENRY RAMSEY
1224 Saint Michael St.

BROKER #: 082
BRANCH:

Gonzales, TX 78629

COMMISSION STATEMENT for HENRY RAMSEY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/04/2017	#01001300082	MONDRAGON, ABEL INVESCO VAN KAMPEN HIGH YIELD MUNIC	600.00	24.00	75.0	0.00	18.00	0.00	18.00
12/20/2017	#00086010680	MONDRAGON, ABEL AMERICAN BALANCED FUND INC CLASS A	504.00	13.86	75.0	0.00	10.40	0.00	10.40
12/20/2017	#00086010680	MONDRAGON, ABEL AMERICAN BALANCED FUND INC CLASS A	1,850.00	50.88	75.0	0.00	38.16	0.00	38.16
12/22/2017	#01001300082	MONDRAGON, ABEL INVESCO VAN KAMPEN HIGH YIELD MUNIC	10,000.00	400.00	75.0	0.00	300.00	0.00	300.00
* MUTUAL FUNDS SUBTOTAL *			<u>\$12,954.00</u>	<u>\$488.74</u>		<u>\$0.00</u>	<u>\$366.56</u>	<u>\$0.00</u>	<u>\$366.56</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/01/2017	#00086010680	MONDRAGON, ABEL AMERICAN BALANCED FUND INC CLASS A	0.00	62.45	75.0	0.00	46.84	0.00	46.84
12/01/2017	#00064860101	ACCOUNT, TOD AMERICAN FUNDS THE TAX EXEMPT BOND	0.00	112.03	75.0	0.00	84.02	0.00	84.02
12/01/2017	#00068156707	MONDRAGON, ABEL INCOME FUND OF AMERICA INC CLASS A	0.00	22.18	75.0	0.00	16.64	0.00	16.64
12/01/2017	#00061543858	GRAUL/SEP, JOHN WASHINGTON MUTUAL INVS FD CLASS A	0.00	62.81	75.0	0.00	47.11	0.00	47.11
01/01/2018	#08190128296	SERVICES SIMPLE MFS TOTAL RETURN FUND-A	0.00	78.18	75.0	0.00	58.64	0.00	58.64
01/01/2018	#08191187092	PETTIT, RONALD MFS TOTAL RETURN FUND-A	0.00	13.18	75.0	0.00	9.89	0.00	9.89
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<u>\$0.00</u>	<u>\$350.83</u>		<u>\$0.00</u>	<u>\$263.14</u>	<u>\$0.00</u>	<u>\$263.14</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$12,954.00</u>	<u>\$839.57</u>		<u>\$0.00</u>	<u>\$629.70</u>	<u>\$0.00</u>	<u>\$629.70</u>

ADJUSTMENTS

01/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
01/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$629.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-493.13
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-362.43
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