



# COMMISSION STATEMENT

## March 19, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/05/2018	***-**-435P - ANTINORE, EMILY	CAPITAL INCOME BUILDER-A	62.70	1.00	0.00	1.00	95.0	0.95
	ACCOUNT #: 00067185955	BUY 1 Units @63.1100						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$62.70</b>	<b>\$1.00</b>	<b>\$0.00</b>	<b>\$1.00</b>		<b>\$0.95</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/15/2018	***-**-8367 - RAGUSO, PAMELA	PRUDENTIAL VA TRAILS 74429P110	100.00	6.00	0.00	6.00	95.0	5.70
	ACCOUNT #: E1470506	BUY 0 Units @0.0000						
03/12/2018	***-**-575I - PALELLA, CHARLES	PRUDENTIAL VA TRAILS 74429P144	100.00	0.00	0.00	0.00	95.0	0.00
	ACCOUNT #: E1764889	BUY 0 Units @0.0000						
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$200.00</b>	<b>\$6.00</b>	<b>\$0.00</b>	<b>\$6.00</b>		<b>\$5.70</b>
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
03/19/2018	***-**-89UG - LAMANNA, ANGELA	DELAWARE INV MF TRAILS	0.00	1.19	0.00	1.19	95.0	1.13
		BUY 0 Units @0.0000						
		<b>* 529 PLAN SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$1.19</b>	<b>\$0.00</b>	<b>\$1.19</b>		<b>\$1.13</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/19/2018	***-**-68IN - WARNER, JANET	AMCAP FUND-F1	0.00	0.78	0.00	0.78	95.0	0.74
	ACCOUNT #: LSR010222	BUY 1 Units @0.0000						
03/19/2018	***-**-6468 - WARNER, JANET	AMCAP FUND-F1	0.00	0.62	0.00	0.62	95.0	0.59
	ACCOUNT #: LSR010223	BUY 1 Units @0.0000						
03/19/2018	***-**-007J - SMITH, WILLIAM	AMERICAN BALANCED FUND-A	0.00	0.11	0.00	0.11	95.0	0.10
	ACCOUNT #: LSR011603	BUY 1 Units @0.0000						
03/19/2018	***-**-20UT - SMITH UTMA VA,	AMERICAN BALANCED FUND-A	0.00	0.88	0.00	0.88	95.0	0.84
	ACCOUNT #: LSR011604	BUY 1 Units @0.0000						
03/19/2018	***-**-38IO - GRAHAM	AMERICAN GLOBAL BALANCED CLASS A	0.00	1.54	0.00	1.54	95.0	1.46
	ACCOUNT #: LSR005428	BUY 1 Units @0.0000						
03/19/2018	***-**-950I - JENKINS, JOHN	AMERICAN GLOBAL BALANCED CLASS A	0.00	1.61	0.00	1.61	95.0	1.53
	ACCOUNT #: LSR011619	BUY 1 Units @0.0000						
03/19/2018	***-**-149I - JENKINS, BARBARA	AMERICAN GLOBAL BALANCED CLASS A	0.00	1.65	0.00	1.65	95.0	1.57
	ACCOUNT #: LSR011620	BUY 1 Units @0.0000						
03/19/2018	***-**-22IN - SAUTTER, TERRIE	CAPITAL INCOME BUILDER-A	0.00	5.69	0.00	5.69	95.0	5.41
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/19/2018	***-**-950I - JENKINS, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.98	0.00	0.98	95.0	0.93
	ACCOUNT #: LSR011619	BUY 1 Units @0.0000						
03/19/2018	***-**-149I - JENKINS, BARBARA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.01	0.00	1.01	95.0	0.96
	ACCOUNT #: LSR011620	BUY 1 Units @0.0000						
03/19/2018	***-**-68IN - WARNER, JANET	CAPITAL WORLD GROWTH AND INCOME-F1	0.00	0.47	0.00	0.47	95.0	0.45
	ACCOUNT #: LSR010222	BUY 1 Units @0.0000						
03/19/2018	***-**-6468 - WARNER, JANET	CAPITAL WORLD GROWTH AND INCOME-F1	0.00	0.45	0.00	0.45	95.0	0.43
	ACCOUNT #: LSR010223	BUY 1 Units @0.0000						
03/19/2018	***-**-3500 - NIELSEN, JEFFREY	DELAWARE INV MF TRAILS	0.00	7.02	0.00	7.02	95.0	6.67
	ACCOUNT #: 6000305476	BUY 0 Units @0.0000						
03/19/2018	***-**-3500 - NIELSEN, JEFFREY	DELAWARE INV MF TRAILS	0.00	2.78	0.00	2.78	95.0	2.64
	ACCOUNT #: 6000305476	BUY 0 Units @0.0000						
03/19/2018	***-**-1852 - CAROSCIO,	DELAWARE INV MF TRAILS	0.00	0.77	0.00	0.77	95.0	0.73
	ACCOUNT #: 5163028425	BUY 0 Units @0.0000						
03/19/2018	***-**-175I - CURNS, DANIEL	DELAWARE INV MF TRAILS	0.00	0.43	0.00	0.43	95.0	0.41
	ACCOUNT #: 5164473846	BUY 0 Units @0.0000						
03/19/2018	***-**-852H - THE ROTH IRA OF,	DELAWARE INV MF TRAILS	0.00	10.08	0.00	10.08	95.0	9.58
	ACCOUNT #: 6000218490	BUY 0 Units @0.0000						
03/19/2018	***-**-626I - BALDWIN, GARY	DELAWARE INV MF TRAILS	0.00	1.13	0.00	1.13	95.0	1.07
		BUY 0 Units @0.0000						
03/19/2018	***-**-287I - TODD, BRADLEY	DELAWARE INV MF TRAILS	0.00	0.13	0.00	0.13	95.0	0.12
		BUY 0 Units @0.0000						
03/19/2018	***-**-552I - TODD, MARY	DELAWARE INV MF TRAILS	0.00	0.70	0.00	0.70	95.0	0.67
		BUY 0 Units @0.0000						
03/19/2018	***-**-2275 - LAMANNA, LISA M	DELAWARE INV MF TRAILS	0.00	1.62	0.00	1.62	95.0	1.54
		BUY 0 Units @0.0000						
03/08/2018	***-**-837J - HARRIS, THOMAS	DYNAMIC SMALL CAP FUND - A	0.00	2.88	0.00	2.88	95.0	2.74
	ACCOUNT #: 00000442576	BUY 0 Units @0.0000						
03/08/2018	***-**-14UG - MANNO, PAUL A	DYNAMIC SMALL CAP FUND - A	0.00	6.40	0.00	6.40	95.0	6.08
	ACCOUNT #: 00000456570	BUY 0 Units @0.0000						
03/08/2018	***-**-73IN - PHILIPPY, MARK	DYNAMIC SMALL CAP FUND - A	0.00	2.50	0.00	2.50	95.0	2.38
	ACCOUNT #: 00000457290	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/08/2018	***-**-380I - COOK, JANINE	DYNAMIC SMALL CAP FUND - A	0.00	7.05	0.00	7.05	95.0	6.70
	ACCOUNT #: 00000458187	BUY 0 Units @0.0000						
03/08/2018	***-**-14UG - MANNO, PAUL A	DYNAMIC SMALL CAP FUND - A	0.00	6.40	0.00	6.40	95.0	6.08
	ACCOUNT #: 00000476122	BUY 0 Units @0.0000						
03/08/2018	***-**-16IN - CURRAN, THOMAS	DYNAMIC SMALL CAP FUND - A	0.00	5.13	0.00	5.13	95.0	4.87
	ACCOUNT #: 00000478324	BUY 0 Units @0.0000						
03/08/2018	***-**-305P - MILGATE, PATRICK	DYNAMIC SMALL CAP FUND - A	0.00	3.74	0.00	3.74	95.0	3.55
	ACCOUNT #: 00000485407	BUY 0 Units @0.0000						
03/19/2018	***-**-546J - MRS CANDICE	FIDELITY ADV NEW INSIGHTS-CL C	0.00	2.39	0.00	2.39	95.0	2.27
	ACCOUNT #: LSR010748	BUY 1 Units @0.0000						
03/19/2018	***-**-61IR - TOTMAN, FBO	FIDELITY ADVISOR CAPITAL DEV CL A	0.00	0.36	0.00	0.36	95.0	0.34
	ACCOUNT #: LSR010019	BUY 1 Units @0.0000						
03/14/2018	***-**-355I - CHRISTENSEN,	FINANCIAL INDUSTRIES A	0.00	0.18	0.00	0.18	95.0	0.17
	ACCOUNT #: 00777231908	BUY 0 Units @0.0000						
03/19/2018	***-**-01IN - SAUTTER, HOWARD	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.41	0.00	0.41	95.0	0.39
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
03/19/2018	***-**-22IN - SAUTTER, TERRIE	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	1.64	0.00	1.64	95.0	1.56
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
03/19/2018	***-**-60IN - KALINOWSKI,	JANUS GLOBAL	0.00	0.35	0.00	0.35	95.0	0.33
	ACCOUNT #: LSF000213	BUY 1 Units @0.0000						
03/14/2018	***-**-428J - MYKINS, RONALD	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	0.77	0.00	0.77	95.0	0.73
	ACCOUNT #: 00008994438	BUY 0 Units @0.0000						
03/14/2018	***-**-355I - CHRISTENSEN,	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	0.15	0.00	0.15	95.0	0.14
	ACCOUNT #: 00777230696	BUY 0 Units @0.0000						
03/14/2018	***-**-355I - CHRISTENSEN,	LARGE CAP EQUITY A	0.00	0.10	0.00	0.10	95.0	0.10
	ACCOUNT #: 00777230400	BUY 0 Units @0.0000						
03/19/2018	***-**-950I - JENKINS, JOHN	NEW WORLD FUND-A	0.00	0.87	0.00	0.87	95.0	0.83
	ACCOUNT #: LSR011619	BUY 1 Units @0.0000						
03/19/2018	***-**-207J - LUTE, MRS	PIONEER AMT-FREE MUNICIPAL FUND A	0.00	0.65	0.00	0.65	95.0	0.62
	ACCOUNT #: LSR010583	BUY 1 Units @0.0000						
03/19/2018	***-**-207J - LUTE, MRS	PIONEER MID CAP VALUE FUND A	0.00	1.28	0.00	1.28	95.0	1.22
	ACCOUNT #: LSR010583	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/19/2018	***-**-53AK - SUPERIOR	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	7.41	0.00	7.41	95.0	7.04
03/19/2018	***-**-01IN - SAUTTER, HOWARD ACCOUNT #: LSR011301	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.50	0.00	1.50	95.0	1.43
03/19/2018	***-**-950I - JENKINS, JOHN ACCOUNT #: LSR011619	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	4.75	0.00	4.75	95.0	4.51
03/19/2018	***-**-149I - JENKINS, BARBARA ACCOUNT #: LSR011620	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	4.78	0.00	4.78	95.0	4.54
03/19/2018	***-**-950I - JENKINS, JOHN ACCOUNT #: LSR011619	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.21	0.00	2.21	95.0	2.10
03/19/2018	***-**-149I - JENKINS, BARBARA ACCOUNT #: LSR011620	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.27	0.00	2.27	95.0	2.16
03/19/2018	***-**-01IN - SAUTTER, HOWARD ACCOUNT #: LSR011301	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	7.00	0.00	7.00	95.0	6.65
03/19/2018	***-**-22IN - SAUTTER, TERRIE ACCOUNT #: LSR011302	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	7.22	0.00	7.22	95.0	6.86
03/19/2018	***-**-01IN - SAUTTER, HOWARD ACCOUNT #: LSR011301	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	7.86	0.00	7.86	95.0	7.47
03/19/2018	***-**-22IN - SAUTTER, TERRIE ACCOUNT #: LSR011302	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	8.65	0.00	8.65	95.0	8.22
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$137.35</b>	<b>\$0.00</b>	<b>\$137.35</b>		<b>\$130.52</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$262.70</b>	<b>\$145.54</b>	<b>\$0.00</b>	<b>\$145.54</b>		<b>\$138.30</b>

### VERRIDE TRANSACTIONS

#### BROKER: RICHARD BIRNS

03/16/2018	***-**-31UG - GIFT MIN ACT NY,	COLUMBIA MF TRAILS BUY 0 Units @0.0000	0.00	2.02	0.00	2.02	20.0	0.40
03/02/2018	***-**-192I - BIRNS, DAVID ACCOUNT #: 00000647924	HARTFORD CAPITAL APPRECIATION FUND BUY 0 Units @0.0000	0.00	1.55	0.00	1.55	20.0	0.31
03/02/2018	***-**-68IN - BIRNS, RICHARD ACCOUNT #: 00022050066	HARTFORD CAPITAL APPRECIATION FUND BUY 0 Units @0.0000	0.00	13.88	0.00	13.88	20.0	2.78



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<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: RICHARD BIRNS (continued)</u> </b>								
03/02/2018	***-**-192I - BIRNS, DAVID ACCOUNT #: 00000647924	THE HARTFORD CAPITAL APPRECIATION F BUY 0 Units @0.0000	0.00	1.09	0.00	1.09	20.0	0.22
03/02/2018	***-**-447P - BIRNS, PHYLLIS ACCOUNT #: 00000700389	THE HARTFORD CAPITAL APPRECIATION F BUY 0 Units @0.0000	0.00	2.42	0.00	2.42	20.0	0.48
03/02/2018	***-**-68IN - BIRNS, RICHARD ACCOUNT #: 00022050066	THE HARTFORD CAPITAL APPRECIATION F BUY 0 Units @0.0000	0.00	19.43	0.00	19.43	20.0	3.89
03/02/2018	***-**-85IN - SEAMAN, ACCOUNT #: 00022087686	THE HARTFORD CAPITAL APPRECIATION F BUY 0 Units @0.0000	0.00	1.29	0.00	1.29	20.0	0.26
03/02/2018	***-**-68IN - BIRNS, RICHARD ACCOUNT #: 00022050066	THE HARTFORD DIVIDEND & GROWTH FUND BUY 0 Units @0.0000	0.00	8.54	0.00	8.54	20.0	1.71
03/02/2018	***-**-192I - BIRNS, DAVID ACCOUNT #: 00000647924	THE HARTFORD GROWTH OPPORTUNITIES F BUY 0 Units @0.0000	0.00	2.40	0.00	2.40	20.0	0.48
03/02/2018	***-**-192I - BIRNS, DAVID ACCOUNT #: 00000647924	THE HARTFORD INTL CAPITAL APP. FUND BUY 0 Units @0.0000	0.00	1.04	0.00	1.04	20.0	0.21
03/02/2018	***-**-68IN - BIRNS, RICHARD ACCOUNT #: 00022050066	THE HARTFORD INTL OPPORTUNITIES FD- BUY 0 Units @0.0000	0.00	12.00	0.00	12.00	20.0	2.40
03/02/2018	***-**-68IN - BIRNS, RICHARD ACCOUNT #: 00022050066	THE HARTFORD MID CAP FUND-A BUY 0 Units @0.0000	0.00	19.23	0.00	19.23	20.0	3.85
03/02/2018	***-**-192I - BIRNS, DAVID ACCOUNT #: 00000647924	THE HARTFORD SMALL CAP GROWTH FD-A BUY 0 Units @0.0000	0.00	2.23	0.00	2.23	20.0	0.45
<b> * RICHARD BIRNS SUBTOTAL * </b>			<b> \$0.00 </b>	<b> \$87.12 </b>	<b> \$0.00 </b>	<b> \$87.12 </b>		<b> \$17.44 </b>
<b> <u>BROKER: BARRY BRESIN</u> </b>								
03/16/2018	***-**-4892 - STERN, ROBERT	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	8.74	0.00	8.74	15.0	1.31
03/16/2018	***-**-4892 - STERN, ROBERT	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	9.24	0.00	9.24	15.0	1.39
03/16/2018	***-**-010R - LOMBARD, LAURIE	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	9.96	0.00	9.96	15.0	1.49
03/19/2018	***-**-717I - MARSJANIK,	RESOURCE REAL ESTATE TRAILS BUY 0 Units @0.0000	0.00	20.31	0.00	20.31	15.0	3.05
03/19/2018	***-**-16IN - STERN, PATRICIA ACCOUNT #: 7200027673	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	17.23	0.00	17.23	15.0	2.58



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: BARRY BRESIN (continued)</u></b>								
03/19/2018	***-**-939I - BRESIN, HOWARD	SAMMONS MF TRAILS	0.00	318.54	0.00	318.54	15.0	47.78
	ACCOUNT #: 7200036177	BUY 0 Units @0.0000						
		<b>* BARRY BRESIN SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$384.02</b>	<b>\$0.00</b>	<b>\$384.02</b>		<b>\$57.60</b>
<b><u>BROKER: FREDERIC SCARPULLA</u></b>								
03/05/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	10.0	0.11
	ACCOUNT #: 00063412117	BUY 3 Units @33.8700						
03/05/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	10.0	0.11
	ACCOUNT #: 00063451518	BUY 3 Units @33.8700						
03/09/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	10.0	0.11
	ACCOUNT #: 00063412117	BUY 3 Units @34.8200						
03/09/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	10.0	0.11
	ACCOUNT #: 00063451518	BUY 3 Units @34.8200						
03/05/2018	***-**-9501 - SHALLENBERGER,	AMCAP FUND-C	13.32	0.13	0.00	0.13	10.0	0.01
	ACCOUNT #: 00086494801	BUY 0 Units @29.4800						
03/05/2018	***-**-511I - ROOD, SCOTT	AMCAP FUND-C	10.72	0.11	0.00	0.11	10.0	0.01
	ACCOUNT #: 00088607840	BUY 0 Units @29.4800						
03/05/2018	***-**-511I - ROOD, SCOTT	AMCAP FUND-C	62.97	0.63	0.00	0.63	10.0	0.06
	ACCOUNT #: 00088607840	BUY 2 Units @29.4800						
03/05/2018	***-**-5225 - BOWERMAN, DAWN	AMCAP FUND-C	12.02	0.12	0.00	0.12	10.0	0.01
	ACCOUNT #: 04000089664	BUY 0 Units @29.4800						
03/05/2018	***-**-965P - MCGUIRE, SCOTT	AMERICAN BALANCED FUND-A	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00073798048	BUY 1 Units @27.1300						
03/05/2018	***-**-4263 - HARTER, IAN	AMERICAN BALANCED FUND-A	10.00	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: 00089461113	BUY 0 Units @27.1300						
03/05/2018	***-**-965P - SHAFER, PAUL	AMERICAN BALANCED FUND-A	17.00	0.34	0.00	0.34	10.0	0.03
	ACCOUNT #: 00073549401	BUY 1 Units @27.8300						
03/05/2018	***-**-625P - BORRELLI, LOUIS	AMERICAN BALANCED FUND-A	25.38	0.51	0.00	0.51	10.0	0.05
	ACCOUNT #: 00073550085	BUY 1 Units @27.8300						
03/05/2018	***-**-625P - BORRELLI, LOUIS	AMERICAN BALANCED FUND-A	64.00	1.28	0.00	1.28	10.0	0.13
	ACCOUNT #: 00073550085	BUY 2 Units @27.8300						
03/05/2018	***-**-395P - DIVASTA, ANTHONY	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	10.0	0.19
	ACCOUNT #: 00059597416	BUY 2 Units @28.4100						



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ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
03/05/2018	***-**-3834 - SWARTWOUT, ACCOUNT #: 00089957234	AMERICAN BALANCED FUND-C BUY 2 Units @26.9200	50.00	0.50	0.00	0.50	10.0	0.05
03/05/2018	***-**-6000 - SMITH, KENNETH ACCOUNT #: 00083081099	AMERICAN BALANCED FUND-C BUY 1 Units @26.9200	17.60	0.18	0.00	0.18	10.0	0.02
03/05/2018	***-**-2612 - UCAR, AHMET ACCOUNT #: 00084700980	AMERICAN BALANCED FUND-C BUY 1 Units @26.9200	18.80	0.19	0.00	0.19	10.0	0.02
03/05/2018	***-**-2612 - UCAR, AHMET ACCOUNT #: 00084700980	AMERICAN BALANCED FUND-C BUY 2 Units @26.9200	45.11	0.45	0.00	0.45	10.0	0.05
03/05/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00086979418	AMERICAN BALANCED FUND-C BUY 3 Units @26.9200	76.50	0.77	0.00	0.77	10.0	0.08
03/05/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00086979418	AMERICAN BALANCED FUND-C BUY 1 Units @26.9200	20.00	0.20	0.00	0.20	10.0	0.02
03/05/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @26.9200	20.00	0.20	0.00	0.20	10.0	0.02
03/05/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @26.9200	40.80	0.41	0.00	0.41	10.0	0.04
03/05/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089215183	AMERICAN BALANCED FUND-C BUY 1 Units @26.9200	24.62	0.25	0.00	0.25	10.0	0.03
03/05/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089215183	AMERICAN BALANCED FUND-C BUY 8 Units @26.9200	212.64	2.13	0.00	2.13	10.0	0.21
03/05/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	AMERICAN BALANCED FUND-C BUY 1 Units @26.9200	39.40	0.39	0.00	0.39	10.0	0.04
03/05/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	AMERICAN BALANCED FUND-C BUY 7 Units @26.9200	184.06	1.84	0.00	1.84	10.0	0.18
03/08/2018	***-**-3834 - SWARTWOUT, ACCOUNT #: 00089957234	AMERICAN BALANCED FUND-C BUY 2 Units @27.0300	50.00	0.50	0.00	0.50	10.0	0.05
03/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @27.0300	20.00	0.20	0.00	0.20	10.0	0.02
03/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @27.0300	40.80	0.41	0.00	0.41	10.0	0.04
03/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @27.0300	40.80	0.41	0.00	0.41	10.0	0.04



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: FREDERIC SCARPULLA (continued) </u></b>								
03/08/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	10.0	0.12
	ACCOUNT #: 00089910177	BUY 4 Units @27.0300						
03/19/2018	***-**-14IN - BENEDETTO,	AMERICAN BALANCED FUND-C	0.00	24.56	0.00	24.56	10.0	2.46
	ACCOUNT #: LSR010421	BUY 1 Units @0.0000						
03/19/2018	***-**-19IN - LIPPA, MARISSA	AMERICAN BALANCED FUND-C	0.00	1.07	0.00	1.07	10.0	0.11
	ACCOUNT #: LSR011011	BUY 1 Units @0.0000						
03/19/2018	***-**-61IO - BONACCI, FBO	AMERICAN BALANCED FUND-C	0.00	5.28	0.00	5.28	10.0	0.53
	ACCOUNT #: LSR011143	BUY 1 Units @0.0000						
03/19/2018	***-**-497J - WARNEY, KENNETH	AMERICAN BALANCED FUND-C	0.00	3.33	0.00	3.33	10.0	0.33
	ACCOUNT #: LSR011248	BUY 1 Units @0.0000						
03/19/2018	***-**-58IR - HINDERLAND, GARY	AMERICAN BALANCED FUND-C	0.00	1.27	0.00	1.27	10.0	0.13
	ACCOUNT #: LSR011249	BUY 1 Units @0.0000						
03/19/2018	***-**-283I - RICCI, GABRIELLE	AMERICAN BALANCED FUND-C	0.00	2.02	0.00	2.02	10.0	0.20
	ACCOUNT #: LSR011473	BUY 1 Units @0.0000						
03/19/2018	***-**-95IO - KELLY, COURTNEY	AMERICAN BALANCED FUND-C	0.00	2.76	0.00	2.76	10.0	0.28
	ACCOUNT #: LSR011685	BUY 1 Units @0.0000						
03/19/2018	***-**-18IO - TAYLOR, GREGORY	AMERICAN BALANCED FUND-C	0.00	21.07	0.00	21.07	10.0	2.11
	ACCOUNT #: LSR011690	BUY 1 Units @0.0000						
03/19/2018	***-**-80IN - MOYER, COLLEEN	AMERICAN BALANCED FUND-C	0.00	11.78	0.00	11.78	10.0	1.18
	ACCOUNT #: LSR011724	BUY 1 Units @0.0000						
03/19/2018	***-**-80IR - MOYER, COLLEEN	AMERICAN BALANCED FUND-C	0.00	13.53	0.00	13.53	10.0	1.35
	ACCOUNT #: LSR011726	BUY 1 Units @0.0000						
03/19/2018	***-**-80IR - ROBERTS,	AMERICAN BALANCED FUND-C	0.00	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: LSR011795	BUY 1 Units @0.0000						
03/19/2018	***-**-11IN - RUSSELL, ALAN	AMERICAN FUND TAX - EXEMPT FUND OF	0.00	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: LSR011417	BUY 1 Units @0.0000						
03/05/2018	***-**-511I - ROOD, SCOTT	AMERICAN GLOBAL BALANCED CL C	9.20	0.09	0.00	0.09	10.0	0.01
	ACCOUNT #: 00088607840	BUY 0 Units @32.6200						
03/05/2018	***-**-511I - ROOD, SCOTT	AMERICAN GLOBAL BALANCED CL C	53.98	0.54	0.00	0.54	10.0	0.05
	ACCOUNT #: 00088607840	BUY 2 Units @32.6200						
03/12/2018	***-**-83IR - RICCI, GABRIELLA	AMERICAN GLOBAL BALANCED CL C	2,197.01	3.97	0.00	3.97	10.0	0.40
	ACCOUNT #: LSR012543	BUY 67 Units @33.0100						





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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
03/19/2018	***-**-61IN - OLIVERI, WILLIAM	AMERICAN HIGH INCOME MUNI BOND CLAS	0.00	94.55	0.00	94.55	10.0	9.46
	ACCOUNT #: LSR011543	BUY 1 Units @0.0000						
03/19/2018	***-**-306I - SINCLAIR,	AMERICAN HIGH-INCOME TRUST-C	0.00	4.75	0.00	4.75	10.0	0.48
	ACCOUNT #: LSR010992	BUY 1 Units @0.0000						
03/19/2018	***-**-05IO - KIMBLE, LONNY	AMERICAN HIGH-INCOME TRUST-C	0.00	53.17	0.00	53.17	10.0	5.32
	ACCOUNT #: LSR011472	BUY 1 Units @0.0000						
03/19/2018	***-**-18IO - TAYLOR, GREGORY	AMERICAN HIGH-INCOME TRUST-C	0.00	19.56	0.00	19.56	10.0	1.96
	ACCOUNT #: LSR011690	BUY 1 Units @0.0000						
03/19/2018	***-**-80IN - MOYER, COLLEEN	AMERICAN HIGH-INCOME TRUST-C	0.00	10.91	0.00	10.91	10.0	1.09
	ACCOUNT #: LSR011724	BUY 1 Units @0.0000						
03/05/2018	***-**-275P - LAMANNA, DANIEL	AMERICAN MUTUAL FUND-A	30.00	0.30	0.00	0.30	10.0	0.03
	ACCOUNT #: 00066758347	BUY 1 Units @41.0100						
03/05/2018	***-**-511I - ROOD, SCOTT	AMERICAN MUTUAL FUND-C	10.73	0.11	0.00	0.11	10.0	0.01
	ACCOUNT #: 00088607840	BUY 0 Units @40.3800						
03/05/2018	***-**-511I - ROOD, SCOTT	AMERICAN MUTUAL FUND-C	62.97	0.63	0.00	0.63	10.0	0.06
	ACCOUNT #: 00088607840	BUY 2 Units @40.3800						
03/19/2018	***-**-14IN - BENEDETTO,	AMERICAN MUTUAL FUND-C	0.00	25.86	0.00	25.86	10.0	2.59
	ACCOUNT #: LSR010421	BUY 1 Units @0.0000						
03/19/2018	***-**-44IR - ROBERTSON,	AMERICAN MUTUAL FUND-C	0.00	1.39	0.00	1.39	10.0	0.14
	ACCOUNT #: LSR010558	BUY 1 Units @0.0000						
03/19/2018	***-**-61IO - BONACCI, FBO	AMERICAN MUTUAL FUND-C	0.00	6.83	0.00	6.83	10.0	0.68
	ACCOUNT #: LSR011143	BUY 1 Units @0.0000						
03/19/2018	***-**-497J - WARNEY, KENNETH	AMERICAN MUTUAL FUND-C	0.00	1.30	0.00	1.30	10.0	0.13
	ACCOUNT #: LSR011248	BUY 1 Units @0.0000						
03/05/2018	***-**-7171 - GIUDICE, JUSTIN	CAPITAL INCOME BUILDER CLASS C	34.17	0.34	0.00	0.34	10.0	0.03
	ACCOUNT #: 00086494794	BUY 1 Units @61.8600						
03/05/2018	***-**-7171 - GIUDICE, JUSTIN	CAPITAL INCOME BUILDER CLASS C	92.40	0.92	0.00	0.92	10.0	0.09
	ACCOUNT #: 00086494794	BUY 1 Units @61.8600						
03/05/2018	***-**-0962 - TRETYAK,	CAPITAL INCOME BUILDER CLASS C	39.40	0.39	0.00	0.39	10.0	0.04
	ACCOUNT #: 00089399972	BUY 1 Units @61.8600						
03/05/2018	***-**-0962 - TRETYAK,	CAPITAL INCOME BUILDER CLASS C	184.07	1.84	0.00	1.84	10.0	0.18
	ACCOUNT #: 00089399972	BUY 3 Units @61.8600						



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
03/19/2018	***-**-14IN - BENEDETTO, ACCOUNT #: LSR010421	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	86.60	0.00	86.60	10.0	8.66
03/19/2018	***-**-306I - SINCLAIR, ACCOUNT #: LSR010992	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	4.91	0.00	4.91	10.0	0.49
03/05/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	CAPITAL INCOME BUILDER-A BUY 0 Units @63.4400	25.38	0.51	0.00	0.51	10.0	0.05
03/05/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	CAPITAL INCOME BUILDER-A BUY 1 Units @63.4400	64.00	1.28	0.00	1.28	10.0	0.13
03/19/2018	***-**-18IO - TAYLOR, GREGORY ACCOUNT #: LSR011690	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	6.13	0.00	6.13	10.0	0.61
03/05/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	CAPITAL WORLD BOND FUND-A BUY 1 Units @20.5500	22.25	0.27	0.00	0.27	10.0	0.03
03/05/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	CAPITAL WORLD BOND FUND-A BUY 1 Units @20.5500	22.25	0.27	0.00	0.27	10.0	0.03
03/09/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	CAPITAL WORLD BOND FUND-A BUY 1 Units @20.5300	22.25	0.27	0.00	0.27	10.0	0.03
03/09/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	CAPITAL WORLD BOND FUND-A BUY 1 Units @20.5300	22.25	0.27	0.00	0.27	10.0	0.03
03/05/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @53.5000	25.38	0.51	0.00	0.51	10.0	0.05
03/05/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @53.5000	64.00	1.28	0.00	1.28	10.0	0.13
03/05/2018	***-**-425P - MEEKS, PERDITA ACCOUNT #: 00074210167	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @55.3400	12.50	0.63	0.00	0.63	10.0	0.06
03/05/2018	***-**-4036 - AMES, JOHN ACCOUNT #: 00083414092	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.5300	43.20	0.43	0.00	0.43	10.0	0.04
03/05/2018	***-**-4036 - AMES, JOHN ACCOUNT #: 00083414092	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.5300	64.00	0.64	0.00	0.64	10.0	0.06
03/05/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.5300	15.00	0.15	0.00	0.15	10.0	0.02
03/05/2018	***-**-5225 - BOWERMAN, DAWN ACCOUNT #: 04000089664	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.5300	12.02	0.12	0.00	0.12	10.0	0.01



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
03/05/2018	***-**-4926 - HAUN, GARY	CAPITAL WORLD GROWTH AND INCOME FUN	16.92	0.17	0.00	0.17	10.0	0.02
	ACCOUNT #: 04000492822	BUY 0 Units @51.5300						
03/05/2018	***-**-4926 - HAUN, GARY	CAPITAL WORLD GROWTH AND INCOME FUN	21.15	0.21	0.00	0.21	10.0	0.02
	ACCOUNT #: 04000492822	BUY 0 Units @51.5300						
03/08/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 00089045192	BUY 0 Units @51.9100						
03/08/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	10.0	0.04
	ACCOUNT #: 00089910177	BUY 1 Units @51.9100						
03/16/2018	***-**-540J - ARBORE, MARIA	COLUMBIA MF TRAILS	0.00	2.03	0.00	2.03	10.0	0.20
		BUY 0 Units @0.0000						
03/16/2018	***-**-540J - ARBORE, MARIA	COLUMBIA MF TRAILS	0.00	2.03	0.00	2.03	10.0	0.20
		BUY 0 Units @0.0000						
03/16/2018	***-**-07IN - SCARPULLA,	COLUMBIA MF TRAILS	0.00	14.28	0.00	14.28	10.0	1.43
		BUY 0 Units @0.0000						
03/16/2018	***-**-540J - ARBORE, MARIA	COLUMBIA MF TRAILS	0.00	-2.03	0.00	-2.03	10.0	-0.20
		BUY 0 Units @0.0000						
03/08/2018	***-**-732J - ARBORE, MARIA	CORE BOND FUND - A	0.00	3.83	0.00	3.83	10.0	0.38
	ACCOUNT #: 00000108231	BUY 0 Units @0.0000						
03/16/2018	***-**-264I - SIDOTI, LAURA	EATON VANCE MF TRAILS	0.00	9.52	0.00	9.52	10.0	0.95
	ACCOUNT #: 0104134960	BUY 0 Units @0.0000						
03/19/2018	***-**-264I - SIDOTI, LAURA	EATON VANCE MF TRAILS	0.00	3.17	0.00	3.17	10.0	0.32
	ACCOUNT #: 0104134960	BUY 0 Units @0.0000						
03/19/2018	***-**-96CL - SCARPULLA,	EATON VANCE MF TRAILS	0.00	1.67	0.00	1.67	10.0	0.17
	ACCOUNT #: 5054692727	BUY 0 Units @0.0000						
03/19/2018	***-**-96CL - SCARPULLA,	EATON VANCE MF TRAILS	0.00	3.69	0.00	3.69	10.0	0.37
	ACCOUNT #: 5054692727	BUY 0 Units @0.0000						
03/05/2018	***-**-445P - BURNS, DANIEL	EUROPACIFIC GROWTH FUND-A	19.80	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00066758346	BUY 0 Units @56.9000						
03/05/2018	***-**-425P - DELGAIZO, LOUISA	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	10.0	0.08
	ACCOUNT #: 00063412117	BUY 1 Units @57.7700						
03/05/2018	***-**-535P - DELGAIZO,	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	10.0	0.08
	ACCOUNT #: 00063451518	BUY 1 Units @57.7700						



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
03/09/2018	***-**-425P - DELGAIZO, LOUISA	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	10.0	0.08
	ACCOUNT #: 00063412117	BUY 1 Units @59.0200						
03/09/2018	***-**-535P - DELGAIZO,	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	10.0	0.08
	ACCOUNT #: 00063451518	BUY 1 Units @59.0200						
03/05/2018	***-**-495P - HARTER, EDWARD	FUNDAMENTAL INVESTORS-A	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 00066759710	BUY 0 Units @63.3600						
03/05/2018	***-**-425P - DELGAIZO, LOUISA	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	10.0	0.16
	ACCOUNT #: 00063412117	BUY 2 Units @64.3200						
03/05/2018	***-**-535P - DELGAIZO,	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	10.0	0.16
	ACCOUNT #: 00063451518	BUY 2 Units @64.3200						
03/05/2018	***-**-925P - BURNS, JASON	FUNDAMENTAL INVESTORS-A	34.53	0.69	0.00	0.69	10.0	0.07
	ACCOUNT #: 00073550035	BUY 1 Units @64.9800						
03/05/2018	***-**-925P - BURNS, JASON	FUNDAMENTAL INVESTORS-A	213.84	4.28	0.00	4.28	10.0	0.43
	ACCOUNT #: 00073550035	BUY 3 Units @64.9800						
03/09/2018	***-**-425P - DELGAIZO, LOUISA	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	10.0	0.16
	ACCOUNT #: 00063412117	BUY 2 Units @65.9100						
03/09/2018	***-**-535P - DELGAIZO,	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	10.0	0.16
	ACCOUNT #: 00063451518	BUY 2 Units @65.9100						
03/05/2018	***-**-7171 - GIUDICE, JUSTIN	FUNDAMENTAL INVESTORS-C	34.17	0.34	0.00	0.34	10.0	0.03
	ACCOUNT #: 00086494794	BUY 1 Units @62.9400						
03/05/2018	***-**-7171 - GIUDICE, JUSTIN	FUNDAMENTAL INVESTORS-C	92.40	0.92	0.00	0.92	10.0	0.09
	ACCOUNT #: 00086494794	BUY 1 Units @62.9400						
03/05/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @62.9400						
03/08/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @63.4100						
03/08/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @63.4100						
03/19/2018	***-**-18IO - TAYLOR, GREGORY	FUNDAMENTAL INVESTORS-C	0.00	22.98	0.00	22.98	10.0	2.30
	ACCOUNT #: LSR011690	BUY 1 Units @0.0000						
03/19/2018	***-**-80IN - MOYER, COLLEEN	FUNDAMENTAL INVESTORS-C	0.00	12.83	0.00	12.83	10.0	1.28
	ACCOUNT #: LSR011724	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> BROKER: FREDERIC SCARPULLA (continued)</b>								
03/19/2018	***-**-80IR - MOYER, COLLEEN	FUNDAMENTAL INVESTORS-C	0.00	7.30	0.00	7.30	10.0	0.73
	ACCOUNT #: LSR011726	BUY 1 Units @0.0000						
03/08/2018	***-**-39IN - ARBORE, MARIA	GROWTH AND INCOME FUND - A	0.00	2.68	0.00	2.68	10.0	0.27
	ACCOUNT #: 00000176375	BUY 0 Units @0.0000						
03/05/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	200.00	2.00	0.00	2.00	10.0	0.20
	ACCOUNT #: 00088577991	BUY 9 Units @22.7800						
03/19/2018	***-**-14IN - BENEDETTO,	INCOME FUND OF AMERICA CLASS C	0.00	91.19	0.00	91.19	10.0	9.12
	ACCOUNT #: LSR010421	BUY 1 Units @0.0000						
03/19/2018	***-**-306I - SINCLAIR,	INCOME FUND OF AMERICA CLASS C	0.00	5.03	0.00	5.03	10.0	0.50
	ACCOUNT #: LSR010992	BUY 1 Units @0.0000						
03/19/2018	***-**-05IO - KIMBLE, LONNY	INCOME FUND OF AMERICA CLASS C	0.00	55.03	0.00	55.03	10.0	5.50
	ACCOUNT #: LSR011472	BUY 1 Units @0.0000						
03/19/2018	***-**-70UT - GALLETTS CUST,	INVESTMENT COMPANY OF AMERICA CLASS	0.00	6.44	0.00	6.44	10.0	0.64
	ACCOUNT #: LSR011191	BUY 1 Units @0.0000						
03/15/2018	***-**-77IN - TASCIONE,	NATIONWIDE YOURLIFE ACCUMULATION VU	833.00	8.33	0.00	8.33	10.0	0.83
	ACCOUNT #: N550288500	BUY 1 Units @833.0000						
03/05/2018	***-**-445P - BURNS, DANIEL	NEW PERSPECTIVE FUND-A	19.80	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00066758346	BUY 0 Units @44.9600						
03/05/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00063412117	BUY 0 Units @45.6400						
03/05/2018	***-**-535P - DELGAIZO,	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00063451518	BUY 0 Units @45.6400						
03/05/2018	***-**-955P - ROSE, JUSTIN	NEW PERSPECTIVE FUND-A	20.86	0.42	0.00	0.42	10.0	0.04
	ACCOUNT #: 00073550044	BUY 0 Units @46.1100						
03/05/2018	***-**-955P - ROSE, JUSTIN	NEW PERSPECTIVE FUND-A	300.00	6.00	0.00	6.00	10.0	0.60
	ACCOUNT #: 00073550044	BUY 7 Units @46.1100						
03/09/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00063412117	BUY 0 Units @46.8800						
03/09/2018	***-**-535P - DELGAIZO,	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00063451518	BUY 0 Units @46.8800						
03/05/2018	***-**-6000 - SMITH, KENNETH	NEW PERSPECTIVE FUND-C	8.80	0.09	0.00	0.09	10.0	0.01
	ACCOUNT #: 00083081099	BUY 0 Units @43.1400						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
03/05/2018	***-**-4036 - AMES, JOHN	NEW PERSPECTIVE FUND-C	32.40	0.32	0.00	0.32	10.0	0.03
	ACCOUNT #: 00083414092	BUY 1 Units @43.1400						
03/05/2018	***-**-4036 - AMES, JOHN	NEW PERSPECTIVE FUND-C	48.00	0.48	0.00	0.48	10.0	0.05
	ACCOUNT #: 00083414092	BUY 1 Units @43.1400						
03/05/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @43.1400						
03/08/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @43.6100						
03/08/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @43.6100						
03/12/2018	***-**-83IR - RICCI, GABRIELLA	NEW PERSPECTIVE FUND-C	3,297.00	14.97	0.00	14.97	10.0	1.50
	ACCOUNT #: LSR012543	BUY 74 Units @44.3700						
03/13/2018	***-**-825P - CRISPINO, DIANA	NEW PERSPECTIVE FUND-C	5,497.01	36.97	0.00	36.97	10.0	3.70
	ACCOUNT #: LSR011759	BUY 125 Units @44.0600						
03/13/2018	***-**-825P - CRISPINO, DIANA	NEW PERSPECTIVE FUND-C	5,497.01	36.97	0.00	36.97	10.0	3.70
	ACCOUNT #: LSR011759	BUY 125 Units @44.0600						
03/19/2018	***-**-92IR - CRISPINO, FBO	NEW PERSPECTIVE FUND-C	0.00	11.26	0.00	11.26	10.0	1.13
	ACCOUNT #: LSR011073	BUY 1 Units @0.0000						
03/19/2018	***-**-61IO - BONACCI, FBO	NEW PERSPECTIVE FUND-C	0.00	5.02	0.00	5.02	10.0	0.50
	ACCOUNT #: LSR011143	BUY 1 Units @0.0000						
03/19/2018	***-**-825P - CRISPINO, DIANA	NEW PERSPECTIVE FUND-C	0.00	5.39	0.00	5.39	10.0	0.54
	ACCOUNT #: LSR011759	BUY 1 Units @0.0000						
03/05/2018	***-**-4036 - AMES, JOHN	NEW WORLD FUND-C	32.40	0.32	0.00	0.32	10.0	0.03
	ACCOUNT #: 00083414092	BUY 0 Units @65.8600						
03/05/2018	***-**-4036 - AMES, JOHN	NEW WORLD FUND-C	48.00	0.48	0.00	0.48	10.0	0.05
	ACCOUNT #: 00083414092	BUY 1 Units @65.8600						
03/19/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	45.71	0.46	0.00	0.46	10.0	0.05
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
03/19/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	45.71	0.46	0.00	0.46	10.0	0.05
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
03/19/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	47.10	0.47	0.00	0.47	10.0	0.05
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
03/19/2018	***-**-80IN - MOYER, COLLEEN	NYA JPM 529 EQUITY INCOME PORTF C	25.00	0.76	0.00	0.76	10.0	0.08
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
03/19/2018	***-**-80IN - MOYER, COLLEEN	NYA JPM 529 EQUITY INCOME PORTF C	25.00	0.76	0.00	0.76	10.0	0.08
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
03/19/2018	***-**-503J - OBI, JEAN	NYA JPM 529 MOD AGE-BASED A	50.00	2.38	0.00	2.38	10.0	0.24
		BUY 0 Units @0.0000						
03/08/2018	***-**-33UG - DELGAIZO, ALESIA	SMALL CAP EQUITY FUND - A	0.00	1.49	0.00	1.49	10.0	0.15
	ACCOUNT #: 00000413901	BUY 0 Units @0.0000						
03/05/2018	***-**-445P - BURNS, DANIEL	SMALLCAP WORLD FUND-A	28.60	0.29	0.00	0.29	10.0	0.03
	ACCOUNT #: 00066758346	BUY 1 Units @56.9700						
03/05/2018	***-**-925P - BURNS, JASON	SMALLCAP WORLD FUND-A	34.53	0.69	0.00	0.69	10.0	0.07
	ACCOUNT #: 00073550035	BUY 1 Units @58.4300						
03/05/2018	***-**-925P - BURNS, JASON	SMALLCAP WORLD FUND-A	213.84	4.28	0.00	4.28	10.0	0.43
	ACCOUNT #: 00073550035	BUY 4 Units @58.4300						
03/05/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @50.0400						
03/08/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @50.7000						
03/08/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @50.7000						
03/19/2018	***-**-63IR - DIAMOND, ANN	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	0.79	0.00	0.79	10.0	0.08
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
03/05/2018	***-**-445P - BURNS, DANIEL	THE BOND FUND OF AMERICA-A	19.80	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00066758346	BUY 2 Units @12.5800						
03/05/2018	***-**-425P - DELGAIZO, LOUISA	THE BOND FUND OF AMERICA-A	44.50	0.53	0.00	0.53	10.0	0.05
	ACCOUNT #: 00063412117	BUY 3 Units @12.7700						
03/05/2018	***-**-535P - DELGAIZO,	THE BOND FUND OF AMERICA-A	44.50	0.53	0.00	0.53	10.0	0.05
	ACCOUNT #: 00063451518	BUY 3 Units @12.7700						
03/09/2018	***-**-425P - DELGAIZO, LOUISA	THE BOND FUND OF AMERICA-A	44.50	0.53	0.00	0.53	10.0	0.05
	ACCOUNT #: 00063412117	BUY 3 Units @12.7700						
03/09/2018	***-**-535P - DELGAIZO,	THE BOND FUND OF AMERICA-A	44.50	0.53	0.00	0.53	10.0	0.05
	ACCOUNT #: 00063451518	BUY 3 Units @12.7700						



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
03/05/2018	***-**-2612 - UCAR, AHMET	THE BOND FUND OF AMERICA-C	43.85	0.44	0.00	0.44	10.0	0.04
	ACCOUNT #: 00084700980	BUY 3 Units @12.5800						
03/05/2018	***-**-2612 - UCAR, AHMET	THE BOND FUND OF AMERICA-C	105.25	1.05	0.00	1.05	10.0	0.11
	ACCOUNT #: 00084700980	BUY 8 Units @12.5800						
03/05/2018	***-**-7171 - GIUDICE, JUSTIN	THE BOND FUND OF AMERICA-C	35.20	0.35	0.00	0.35	10.0	0.04
	ACCOUNT #: 00086494794	BUY 3 Units @12.5800						
03/05/2018	***-**-7171 - GIUDICE, JUSTIN	THE BOND FUND OF AMERICA-C	95.20	0.95	0.00	0.95	10.0	0.10
	ACCOUNT #: 00086494794	BUY 8 Units @12.5800						
03/19/2018	***-**-6110 - BONACCI, FBO	THE BOND FUND OF AMERICA-C	0.00	5.45	0.00	5.45	10.0	0.55
	ACCOUNT #: LSR011143	BUY 1 Units @0.0000						
03/05/2018	***-**-195P - OZMINKOWSKI,	THE GROWTH FUND OF AMERICA-A	250.00	2.50	0.00	2.50	10.0	0.25
	ACCOUNT #: 00066758342	BUY 5 Units @52.4500						
03/05/2018	***-**-405P - FRISA, ALAN	THE GROWTH FUND OF AMERICA-A	30.00	0.30	0.00	0.30	10.0	0.03
	ACCOUNT #: 00066758344	BUY 1 Units @52.4500						
03/05/2018	***-**-445P - BURNS, DANIEL	THE GROWTH FUND OF AMERICA-A	72.60	0.73	0.00	0.73	10.0	0.07
	ACCOUNT #: 00066758346	BUY 1 Units @52.4500						
03/05/2018	***-**-275P - LAMANNA, DANIEL	THE GROWTH FUND OF AMERICA-A	30.00	0.30	0.00	0.30	10.0	0.03
	ACCOUNT #: 00066758347	BUY 1 Units @52.4500						
03/05/2018	***-**-945P - VEGA, EDWARD	THE GROWTH FUND OF AMERICA-A	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 00066758348	BUY 1 Units @52.4500						
03/05/2018	***-**-095P - RIVERA, MIGUEL	THE GROWTH FUND OF AMERICA-A	130.00	1.30	0.00	1.30	10.0	0.13
	ACCOUNT #: 00074922243	BUY 2 Units @52.4500						
03/05/2018	***-**-4750 - OSCHMANN, MARK	THE GROWTH FUND OF AMERICA-A	74.35	0.74	0.00	0.74	10.0	0.07
	ACCOUNT #: 00083414110	BUY 1 Units @52.4500						
03/05/2018	***-**-9447 - ZAJONCZKOSKI,	THE GROWTH FUND OF AMERICA-A	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00087318332	BUY 0 Units @52.4500						
03/05/2018	***-**-4263 - HARTER, IAN	THE GROWTH FUND OF AMERICA-A	10.00	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: 00089461113	BUY 0 Units @52.4500						
03/05/2018	***-**-425P - DELGAIZO, LOUISA	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	10.0	0.08
	ACCOUNT #: 00063412117	BUY 1 Units @53.2500						
03/05/2018	***-**-535P - DELGAIZO,	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	10.0	0.08
	ACCOUNT #: 00063451518	BUY 1 Units @53.2500						





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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
03/05/2018	***-**-965P - SHAFER, PAUL	THE GROWTH FUND OF AMERICA-A	17.00	0.34	0.00	0.34	10.0	0.03
	ACCOUNT #: 00073549401	BUY 0 Units @53.7900						
03/05/2018	***-**-925P - BURNS, JASON	THE GROWTH FUND OF AMERICA-A	35.57	0.71	0.00	0.71	10.0	0.07
	ACCOUNT #: 00073550035	BUY 1 Units @53.7900						
03/05/2018	***-**-925P - BURNS, JASON	THE GROWTH FUND OF AMERICA-A	220.32	4.41	0.00	4.41	10.0	0.44
	ACCOUNT #: 00073550035	BUY 4 Units @53.7900						
03/05/2018	***-**-955P - ROSE, JUSTIN	THE GROWTH FUND OF AMERICA-A	20.86	0.42	0.00	0.42	10.0	0.04
	ACCOUNT #: 00073550044	BUY 0 Units @53.7900						
03/05/2018	***-**-955P - ROSE, JUSTIN	THE GROWTH FUND OF AMERICA-A	300.00	6.00	0.00	6.00	10.0	0.60
	ACCOUNT #: 00073550044	BUY 6 Units @53.7900						
03/05/2018	***-**-625P - BORRELLI, LOUIS	THE GROWTH FUND OF AMERICA-A	50.76	1.02	0.00	1.02	10.0	0.10
	ACCOUNT #: 00073550085	BUY 1 Units @53.7900						
03/05/2018	***-**-625P - BORRELLI, LOUIS	THE GROWTH FUND OF AMERICA-A	128.00	2.56	0.00	2.56	10.0	0.26
	ACCOUNT #: 00073550085	BUY 2 Units @53.7900						
03/05/2018	***-**-425P - MEEKS, PERDITA	THE GROWTH FUND OF AMERICA-A	12.50	0.63	0.00	0.63	10.0	0.06
	ACCOUNT #: 00074210167	BUY 0 Units @55.6500						
03/07/2018	***-**-975P - COTTONE, MARIA	THE GROWTH FUND OF AMERICA-A	51.84	1.94	0.00	1.94	10.0	0.19
	ACCOUNT #: 00086606929	BUY 1 Units @55.3400						
03/07/2018	***-**-975P - COTTONE, MARIA	THE GROWTH FUND OF AMERICA-A	200.00	7.50	0.00	7.50	10.0	0.75
	ACCOUNT #: 00086606929	BUY 4 Units @55.3400						
03/08/2018	***-**-825P - CRISPINO, DIANA	THE GROWTH FUND OF AMERICA-A	5,000.00	50.00	0.00	50.00	10.0	5.00
	ACCOUNT #: 00061380563	BUY 94 Units @53.0100						
03/09/2018	***-**-425P - DELGAIZO, LOUISA	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	10.0	0.08
	ACCOUNT #: 00063412117	BUY 1 Units @54.7600						
03/09/2018	***-**-535P - DELGAIZO,	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	10.0	0.08
	ACCOUNT #: 00063451518	BUY 1 Units @54.7600						
03/05/2018	***-**-6000 - SMITH, KENNETH	THE GROWTH FUND OF AMERICA-C	8.80	0.09	0.00	0.09	10.0	0.01
	ACCOUNT #: 00083081099	BUY 0 Units @48.5800						
03/05/2018	***-**-9501 - SHALLENBERGER,	THE GROWTH FUND OF AMERICA-C	13.32	0.13	0.00	0.13	10.0	0.01
	ACCOUNT #: 00086494801	BUY 0 Units @48.5800						
03/05/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 00089045192	BUY 0 Units @48.5800						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: FREDERIC SCARPULLA (continued) </u></b>								
03/05/2018	***-**-5225 - BOWERMAN, DAWN	THE GROWTH FUND OF AMERICA-C	24.04	0.24	0.00	0.24	10.0	0.02
	ACCOUNT #: 04000089664	BUY 0 Units @48.5800						
03/05/2018	***-**-4926 - HAUN, GARY	THE GROWTH FUND OF AMERICA-C	22.56	0.23	0.00	0.23	10.0	0.02
	ACCOUNT #: 04000492822	BUY 0 Units @48.5800						
03/05/2018	***-**-4926 - HAUN, GARY	THE GROWTH FUND OF AMERICA-C	28.20	0.28	0.00	0.28	10.0	0.03
	ACCOUNT #: 04000492822	BUY 1 Units @48.5800						
03/08/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 00089045192	BUY 0 Units @49.0900						
03/19/2018	***-**-497J - WARNEY, KENNETH	THE GROWTH FUND OF AMERICA-C	0.00	1.29	0.00	1.29	10.0	0.13
	ACCOUNT #: LSR011248	BUY 1 Units @0.0000						
03/19/2018	***-**-283I - RICCI, GABRIELLE	THE GROWTH FUND OF AMERICA-C	0.00	3.56	0.00	3.56	10.0	0.36
	ACCOUNT #: LSR011473	BUY 1 Units @0.0000						
03/05/2018	***-**-195P - OZMINKOWSKI,	THE INVESTMENT COMPANY OF AMERICA-A	250.00	2.50	0.00	2.50	10.0	0.25
	ACCOUNT #: 00066758342	BUY 6 Units @41.3100						
03/05/2018	***-**-445P - BURNS, DANIEL	THE INVESTMENT COMPANY OF AMERICA-A	28.60	0.29	0.00	0.29	10.0	0.03
	ACCOUNT #: 00066758346	BUY 1 Units @41.3100						
03/05/2018	***-**-945P - VEGA, EDWARD	THE INVESTMENT COMPANY OF AMERICA-A	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 00066758348	BUY 1 Units @41.3100						
03/05/2018	***-**-965P - MCGUIRE, SCOTT	THE INVESTMENT COMPANY OF AMERICA-A	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00073798048	BUY 0 Units @41.3100						
03/05/2018	***-**-395P - DIVASTA, ANTHONY	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.88	0.00	1.88	10.0	0.19
	ACCOUNT #: 00059597416	BUY 1 Units @43.2600						
03/19/2018	***-**-20IN - GIANGRECO, TERRY	THE TAX-EXEMPT BOND FUND OF AMERICA	0.00	333.42	0.00	333.42	10.0	33.34
	ACCOUNT #: LSR010603	BUY 1 Units @0.0000						
03/19/2018	***-**-61IN - OLIVERI, WILLIAM	THE TAX-EXEMPT BOND FUND OF AMERICA	0.00	138.17	0.00	138.17	10.0	13.82
	ACCOUNT #: LSR011543	BUY 1 Units @0.0000						
03/07/2018	***-**-63IN - DIAMOND, ANN	U.S. GOVERNMENT SECURITIES FUND-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 00085468057	BUY 4 Units @13.3500						
03/05/2018	***-**-415P - MALOVICS, LASZLO	WASHINGTON MUTUAL INVESTORS FUND-A	500.00	5.00	0.00	5.00	10.0	0.50
	ACCOUNT #: 00066758345	BUY 11 Units @46.1300						
03/05/2018	***-**-445P - BURNS, DANIEL	WASHINGTON MUTUAL INVESTORS FUND-A	30.80	0.31	0.00	0.31	10.0	0.03
	ACCOUNT #: 00066758346	BUY 1 Units @46.1300						



# COMMISSION STATEMENT

## March 19, 2018

ANDREW KALINOWSKI  
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BROKER #: 20  
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u> </b>								
03/08/2018	***-**-825P - CRISPINO, DIANA	WASHINGTON MUTUAL INVESTORS FUND-A	5,000.00	50.00	0.00	50.00	10.0	5.00
	ACCOUNT #: 00061380563	BUY 108 Units @46.2800						
03/05/2018	***-**-4926 - HAUN, GARY	WASHINGTON MUTUAL INVESTORS FUND-C	16.92	0.17	0.00	0.17	10.0	0.02
	ACCOUNT #: 04000492822	BUY 0 Units @45.4900						
03/05/2018	***-**-4926 - HAUN, GARY	WASHINGTON MUTUAL INVESTORS FUND-C	21.15	0.21	0.00	0.21	10.0	0.02
	ACCOUNT #: 04000492822	BUY 0 Units @45.4900						
03/08/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	40.00	0.40	0.00	0.40	10.0	0.04
	ACCOUNT #: 00089910177	BUY 1 Units @45.6300						
03/19/2018	***-**-80IR - MOYER, COLLEEN	WASHINGTON MUTUAL INVESTORS FUND-C	0.00	7.13	0.00	7.13	10.0	0.71
	ACCOUNT #: LSR011726	BUY 1 Units @0.0000						
03/05/2018	***-**-27IN - TINKLEPAUGH,	WELLS FGO AVTG DIVERSFD CAPITAL BLD	0.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 01005412974	BUY 0 Units @0.0000						
	<b>* FREDERIC SCARPULLA SUBTOTAL *</b>		<b>\$36,359.62</b>	<b>\$1,485.95</b>	<b>\$0.00</b>	<b>\$1,485.95</b>		<b>\$148.67</b>
<b> <u>BROKER: WILLIAM AGNELLO</u> </b>								
03/19/2018	***-**-28IN - CHIAVETTA,	AMERICAN BALANCED FUND-A	0.00	2.17	0.00	2.17	25.0	0.54
	ACCOUNT #: LSR008842	BUY 1 Units @0.0000						
03/19/2018	***-**-93IO - WOJTAS, JEANETTE	AMERICAN HIGH-INCOME TRUST-A	0.00	1.37	0.00	1.37	25.0	0.34
	ACCOUNT #: LSR007323	BUY 1 Units @0.0000						
03/19/2018	***-**-75IO - DELCOUR	AMERICAN HIGH-INCOME TRUST-C	0.00	9.21	0.00	9.21	25.0	2.30
	ACCOUNT #: LSR007358	BUY 1 Units @0.0000						
03/19/2018	***-**-28IN - CUP, ANDREW	DELAWARE INV MF TRAILS	0.00	0.33	0.00	0.33	25.0	0.08
		BUY 0 Units @0.0000						
03/19/2018	***-**-613K - HAGER	DREYFUS LIMITED TERM HIGH YIELD FUN	0.00	1.75	0.00	1.75	25.0	0.44
	ACCOUNT #: LSR006980	BUY 1 Units @0.0000						
03/19/2018	***-**-43IN - CUP, NICHOLAS	FIDELITY ADV HIGH INC ADVANTAGE CL	0.00	14.41	0.00	14.41	25.0	3.60
	ACCOUNT #: LSR006890	BUY 1 Units @0.0000						
03/19/2018	***-**-11IO - CRANDALL,	FIDELITY ADV HIGH INC ADVANTAGE CL	0.00	15.27	0.00	15.27	25.0	3.82
	ACCOUNT #: LSR007145	BUY 1 Units @0.0000						
03/19/2018	***-**-657I - RIEL, KEVIN	FIDELITY ADV SMALL CAP GROWTH-CL C	0.00	5.80	0.00	5.80	25.0	1.45
	ACCOUNT #: LSR007366	BUY 1 Units @0.0000						
03/19/2018	***-**-43IN - CUP, NICHOLAS	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	15.31	0.00	15.31	25.0	3.83
	ACCOUNT #: LSR006890	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: WILLIAM AGNELLO (continued)</u></b>								
03/19/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	FIDELITY ADVISOR BIOTECHNOLOGY CL C BUY 1 Units @0.0000	0.00	7.27	0.00	7.27	25.0	1.82
03/19/2018	***-**-36IO - IRWIN, CHARLES ACCOUNT #: LSR007200	FIDELITY ADVISOR BIOTECHNOLOGY CL C BUY 1 Units @0.0000	0.00	9.92	0.00	9.92	25.0	2.48
03/19/2018	***-**-657I - RIEL, KEVIN ACCOUNT #: LSR007366	FIDELITY ADVISOR BIOTECHNOLOGY CL C BUY 1 Units @0.0000	0.00	21.85	0.00	21.85	25.0	5.46
03/19/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	FIDELITY ADVISOR FUNDS 316390350 BUY 1 Units @0.0000	0.00	6.32	0.00	6.32	25.0	1.58
03/15/2018	***-**-461J - PANZARELLA, ACCOUNT #: LSR010004	FORD MTR CO DEL COM UNSOLICITED ORD BUY 100 Units @10.9750	1,097.50	31.16	0.00	31.16	25.0	7.79
03/19/2018	***-**-39IN - IRWIN, DIANE ACCOUNT #: LSR007234	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	4.57	0.00	4.57	25.0	1.14
03/19/2018	***-**-36IO - IRWIN, CHARLES ACCOUNT #: LSR007200	JANUS HIGH YIELD CLASS C BUY 1 Units @0.0000	0.00	4.11	0.00	4.11	25.0	1.03
03/16/2018	***-**-43FB - CUP, NICHOLAS ACCOUNT #: 00052280820	JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000	0.00	8.15	0.00	8.15	25.0	2.04
03/19/2018	***-**-75IO - DELCOUR ACCOUNT #: LSR007358	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	5.37	0.00	5.37	25.0	1.34
03/19/2018	***-**-098I - AQUILINA, MARIAN ACCOUNT #: LSR007072	THE BOND FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	6.64	0.00	6.64	25.0	1.66
03/19/2018	***-**-963I - GAMMARIELLO, ACCOUNT #: LSR007102	THE BOND FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	15.92	0.00	15.92	25.0	3.98
03/19/2018	***-**-951I - SCHAEFER, ACCOUNT #: LSR007382	THE BOND FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	4.72	0.00	4.72	25.0	1.18
03/19/2018	***-**-59T4 - ETHEL CUP TRUST ACCOUNT #: LSR006955	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.25	0.00	1.25	25.0	0.31
03/19/2018	***-**-613K - HAGER ACCOUNT #: LSR006980	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	3.17	0.00	3.17	25.0	0.79
03/19/2018	***-**-39IN - IRWIN, DIANE ACCOUNT #: LSR007234	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	6.99	0.00	6.99	25.0	1.75
03/19/2018	***-**-728X - CHIAVETTA, NSPS ACCOUNT #: LSR008699	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.92	0.00	1.92	25.0	0.48



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: WILLIAM AGNELLO (continued)</u> </b>								
03/19/2018	***-**-43IN - CUP, NICHOLAS	THE GROWTH FUND OF AMERICA-C	0.00	23.19	0.00	23.19	25.0	5.80
	ACCOUNT #: LSR006890	BUY 1 Units @0.0000						
03/19/2018	***-**-13IR - HAGER, MICHAEL	THE GROWTH FUND OF AMERICA-C	0.00	8.24	0.00	8.24	25.0	2.06
	ACCOUNT #: LSR006971	BUY 1 Units @0.0000						
03/19/2018	***-**-657I - RIEL, KEVIN	THE GROWTH FUND OF AMERICA-C	0.00	39.54	0.00	39.54	25.0	9.89
	ACCOUNT #: LSR007366	BUY 1 Units @0.0000						
03/19/2018	***-**-28IN - CHIAVETTA,	THE GROWTH FUND OF AMERICA-C	0.00	10.68	0.00	10.68	25.0	2.67
	ACCOUNT #: LSR008842	BUY 1 Units @0.0000						
03/19/2018	***-**-43IN - CUP, NICHOLAS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.64	0.00	1.64	25.0	0.41
	ACCOUNT #: LSR006890	BUY 1 Units @0.0000						
		<b> * WILLIAM AGNELLO SUBTOTAL * </b>	<b> \$1,097.50 </b>	<b> \$288.24 </b>	<b> \$0.00 </b>	<b> \$288.24 </b>		<b> \$72.06 </b>
<b> <u>BROKER: JAMES LOMBARDO</u> </b>								
03/19/2018	***-**-166I - BONN, FBO	AMCAP FUND-C	0.00	2.59	0.00	2.59	15.0	0.39
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
03/05/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	110.00	5.50	0.00	5.50	15.0	0.83
	ACCOUNT #: 00088153955	BUY 4 Units @28.7900						
03/06/2018	***-**-2608 - GIAMAS, GREGORY	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00081315892	BUY 2 Units @28.4400						
03/06/2018	***-**-2608 - GIAMAS, GREGORY	AMERICAN MUTUAL FUND-A	50.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00081315892	BUY 1 Units @42.9700						
03/19/2018	***-**-166I - BONN, FBO	AMERICAN MUTUAL FUND-C	0.00	3.38	0.00	3.38	15.0	0.51
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
03/19/2018	***-**-166I - BONN, FBO	EUROPACIFIC GROWTH FUND CLASS C	0.00	2.26	0.00	2.26	15.0	0.34
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
03/06/2018	***-**-2608 - GIAMAS, GREGORY	FUNDAMENTAL INVESTORS-A	50.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00081315892	BUY 1 Units @66.5800						
03/19/2018	***-**-166I - BONN, FBO	FUNDAMENTAL INVESTORS-C	0.00	3.67	0.00	3.67	15.0	0.55
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
03/02/2018	***-**-0683 - DAVIS, SHARON	HARTFORD INFLATION PLUS FUND CLASS	0.00	17.52	0.00	17.52	15.0	2.63
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						
03/19/2018	***-**-166I - BONN, FBO	INCOME FUND OF AMERICA CLASS C	0.00	1.14	0.00	1.14	15.0	0.17
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: JAMES LOMBARDO (continued)</u> </b>								
03/06/2018	***-**-2608 - GIAMAS, GREGORY	NEW PERSPECTIVE FUND-A	50.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00081315892	BUY 1 Units @47.3200						
03/19/2018	***-**-166I - BONN, FBO	SMALLCAP WORLD FUND-C	0.00	1.93	0.00	1.93	15.0	0.29
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
03/06/2018	***-**-2608 - GIAMAS, GREGORY	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00081315892	BUY 1 Units @55.2400						
03/02/2018	***-**-0683 - DAVIS, SHARON	THE HARTFORD ADVISERS FUND-C	0.00	15.19	0.00	15.19	15.0	2.28
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						
03/02/2018	***-**-0683 - DAVIS, SHARON	THE HARTFORD DIVIDEND & GROWTH FUND	0.00	19.45	0.00	19.45	15.0	2.92
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						
03/05/2018	***-**-3828 - DUSABLON, DANIEL	U.S. GOVERNMENT SECURITIES FUND CLA	150.00	4.50	0.00	4.50	15.0	0.68
	ACCOUNT #: 00084530390	BUY 11 Units @13.9000						
	<b>* JAMES LOMBARDO SUBTOTAL *</b>		<b>\$510.00</b>	<b>\$86.53</b>	<b>\$0.00</b>	<b>\$86.53</b>		<b>\$12.99</b>
	<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>		<b>\$37,967.12</b>	<b>\$2,331.86</b>	<b>\$0.00</b>	<b>\$2,331.86</b>		<b>\$308.76</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$134.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$3,070.24</b>