



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**May 15, 2018**

05/11/2018

Page No. 1

MARYANN, F118 FENBERT  
 1120 E. Long Lake Road  
 Suite 250  
 Troy, MI 48085

BROKER #: F118  
 BRANCH: CONCORDE FINANCIAL G

| DATE  | CLIENT            | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>CLEARING TRANSACTIONS</u></b>                     |                   |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>            |                   |                                     |                      |                     |                    |                   |      |                      |
| 04/10/2018  | ROBINSON, HARRY   | AM FUNDS INCOME FUND OF AMERICA A   | 0.00                 | 11.46               | 0.00               | 11.46             | 80.0 | 9.17                 |
| 04/10/2018  | ROBINSON, HARRY   | DAVIS NEW YORK VENTURE A            | 0.00                 | 5.52                | 0.00               | 5.52              | 80.0 | 4.42                 |
| 04/10/2018  | HECHT, ROBERT     | DAVIS NEW YORK VENTURE A            | 0.00                 | 36.14               | 0.00               | 36.14             | 80.0 | 28.91                |
| 04/10/2018  | BLOSSER, EDNA     | FIDELITY ADV HEALTH CARE T          | 0.00                 | 10.54               | 0.00               | 10.54             | 80.0 | 8.43                 |
| 04/10/2018  | DZIUBA, ELIZABETH | FRANKLIN INCOME A                   | 0.00                 | 5.62                | 0.00               | 5.62              | 80.0 | 4.50                 |
| 04/10/2018  | HECHT, ROBERT     | PIONEER GROWTH OPPORTUNITIES A      | 0.00                 | 6.21                | 0.00               | 6.21              | 80.0 | 4.97                 |
| * MUTUAL FUNDS SUBTOTAL *                               |                   |                                     | \$0.00               | \$75.49             | \$0.00             | \$75.49           |      | \$60.40              |
| *** CLEARING TRANSACTIONS TOTAL ***                     |                   |                                     | \$0.00               | \$75.49             | \$0.00             | \$75.49           |      | \$60.40              |
| <b><u>DIRECT TRANSACTIONS</u></b>                       |                   |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>            |                   |                                     |                      |                     |                    |                   |      |                      |
| 04/23/2018  | FENBERT, MICHAEL  | AM FUNDS NEW PERSPECTIVE A          | 50.00                | 1.88                | 0.00               | 1.88              | 80.0 | 1.50                 |
| * MUTUAL FUNDS SUBTOTAL *                               |                   |                                     | \$50.00              | \$1.88              | \$0.00             | \$1.88            |      | \$1.50               |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                   |                                     |                      |                     |                    |                   |      |                      |
| 04/13/2018  | MOSS, RYAN        | DWS CORE EQUITY FUND A              | 0.00                 | 4.72                | 0.00               | 4.72              | 80.0 | 3.78                 |
| 04/13/2018  | DZIUBA, ELIZABETH | DWS DEUTSCHE HIGH INCOME FUND A     | 0.00                 | 29.96               | 0.00               | 29.96             | 80.0 | 23.97                |
| 04/13/2018  | MOSS, RYAN        | DWS DEUTSCHE HIGH INCOME FUND A     | 0.00                 | 2.75                | 0.00               | 2.75              | 80.0 | 2.20                 |
| 04/13/2018  | MOSS, RYAN        | DWS DEUTSCHE SCIENCE AND TECHNOLOGY | 0.00                 | 4.68                | 0.00               | 4.68              | 80.0 | 3.74                 |
| / /   | SWEET, ANNE MARIE | FIDELITY ADV GROWTH OPP             | 0.00                 | 10.28               | 0.00               | 10.28             | 80.0 | 8.22                 |
| / /   | HECHT, ROBERT     | FIDELITY ADV HEALTH CARE T          | 0.00                 | 0.51                | 0.00               | 0.51              | 80.0 | 0.41                 |
| 04/30/2018  | MOSS, MICHAEL     | FRANKLIN GOLD & PRECIOUS METALS A   | 0.00                 | 11.01               | 0.00               | 11.01             | 80.0 | 8.81                 |
| 04/30/2018  | FENBERT, MARYANN  | FRANKLIN HIGH INCOME A              | 0.00                 | 0.54                | 0.00               | 0.54              | 80.0 | 0.43                 |
| 04/30/2018  | FENBERT, EDWARD   | FRANKLIN HIGH INCOME A              | 0.00                 | 1.12                | 0.00               | 1.12              | 80.0 | 0.90                 |
| 04/30/2018  | HENNESSEE, JOYCE  | FRANKLIN INCOME A                   | 0.00                 | 55.32               | 0.00               | 55.32             | 80.0 | 44.26                |
| 04/30/2018  | FENBERT, MARYANN  | FRANKLIN INCOME A                   | 0.00                 | 4.69                | 0.00               | 4.69              | 80.0 | 3.75                 |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE  | CLIENT                  | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                       |                         |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                         |                                     |                      |                     |                    |                   |      |                      |
| 04/30/2018  | VARGO, TRACY            | FRANKLIN INCOME A                   | 0.00                 | 7.59                | 0.00               | 7.59              | 80.0 | 6.07                 |
| 04/30/2018  | LEWIS TRUST, KAREN      | FRANKLIN MI TAX FR INCOME A         | 0.00                 | 4.07                | 0.00               | 4.07              | 80.0 | 3.26                 |
| 04/30/2018  | REEVES, JUANITA         | FRANKLIN MI TAX FR INCOME A         | 0.00                 | 2.92                | 0.00               | 2.92              | 80.0 | 2.34                 |
| 04/30/2018  | MAJEROWSKI, MAICHAEL    | FRANKLIN MI TAX FR INCOME A         | 0.00                 | 70.94               | 0.00               | 70.94             | 80.0 | 56.75                |
| 04/30/2018  | DZIUBA TRUST, ELIZABETH | FRANKLIN MI TAX FR INCOME A         | 0.00                 | 3.29                | 0.00               | 3.29              | 80.0 | 2.63                 |
| 04/30/2018  | TRIBBLE, GERALD         | FRANKLIN MI TAX FR INCOME A         | 0.00                 | 6.65                | 0.00               | 6.65              | 80.0 | 5.32                 |
| 04/30/2018  | MOSS, MICHAEL           | FT HARD CURRENCY A                  | 0.00                 | 17.81               | 0.00               | 17.81             | 80.0 | 14.25                |
| 04/30/2018  | MOSS, MICHAEL           | TEMPLETON DEVELOPING MKTS A         | 0.00                 | 21.34               | 0.00               | 21.34             | 80.0 | 17.07                |
| 04/30/2018  | WEBER, DAVID            | TEMPLETON FOREIGN FUND A            | 0.00                 | 6.40                | 0.00               | 6.40              | 80.0 | 5.12                 |
| <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>             |                         |                                     | <b>\$0.00</b>        | <b>\$266.59</b>     | <b>\$0.00</b>      | <b>\$266.59</b>   |      | <b>\$213.28</b>      |
| <b>*** DIRECT TRANSACTIONS TOTAL ***</b>                |                         |                                     | <b>\$50.00</b>       | <b>\$268.47</b>     | <b>\$0.00</b>      | <b>\$268.47</b>   |      | <b>\$214.78</b>      |
| <b><u>ADJUSTMENTS</u></b>                               |                         |                                     |                      |                     |                    |                   |      |                      |
| 05/15/2018  |                         | *E & O INSURANCE: CURRENT MONTH INS | 0.00                 |                     |                    |                   |      | -300.00              |
| 05/15/2018  |                         | *RBC: MONTHLY WEALTHSTATION         | 0.00                 |                     |                    |                   |      | -25.00               |
| 05/15/2018  |                         | *TECHNOLOGY: CURRENT MONTH TECH     | 0.00                 |                     |                    |                   |      | -275.00              |
| <b>*** ADJUSTMENTS TOTAL ***</b>                        |                         |                                     | <b>\$0.00</b>        | <b>\$0.00</b>       | <b>\$0.00</b>      | <b>\$0.00</b>     |      | <b>\$-600.00</b>     |

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\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

|                       |           |
|-----------------------|-----------|
| Broker Transactions   | \$275.18  |
| Split Transactions    | \$0.00    |
| Override Transactions | \$0.00    |
| Adjustments           | \$-600.00 |
| Payroll Draw          | \$0.00    |
| Base Salary           | \$0.00    |
| Prior Period Balance  | \$0.00    |
| FINRA Assessment      | \$0.00    |
| SIPC Assessment       | \$0.00    |

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THERE WILL BE NO CHECK THIS PERIOD

|                              |                   |
|------------------------------|-------------------|
| <b>Balance Forward</b>       | <b>\$-324.82</b>  |
| <b>Year-to-date Gross</b>    | <b>\$7,957.00</b> |
| <b>Year-to-date Earnings</b> | <b>\$6,365.51</b> |