



Concorde Investment Services, LLC

11/13/2017

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COMMISSION STATEMENT

November 15, 2017

KEITH LAYMAN
4321 Flagstaff

BROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/01/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	90.0	3.83
10/23/2017	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	1.38	0.00	1.38	90.0	1.24
10/25/2017	HALE, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
10/30/2017	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	4.50
10/31/2017	PATTERSON, JANITA	AM FUNDS AMERICAN BALANCED A	70.00	1.93	0.00	1.93	90.0	1.74
11/01/2017	KINDSCHY, CYNTHIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
11/01/2017	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
10/23/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	65.00	1.79	0.00	1.79	90.0	1.61
10/31/2017	PATTERSON, JANITA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	1.65	0.00	1.65	90.0	1.49
11/01/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	0.00	1.88	90.0	1.69
11/01/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	2.25	0.00	2.25	90.0	2.03
10/23/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.06	0.00	2.06	90.0	1.85
10/30/2017	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
10/31/2017	PATTERSON, JANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
11/01/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
11/01/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	60.00	2.25	0.00	2.25	90.0	2.03
11/01/2017	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	500.00	13.75	0.00	13.75	90.0	12.38
11/01/2017	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.88	0.00	1.88	90.0	1.69
10/23/2017	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	75.00	2.06	0.00	2.06	90.0	1.85
10/24/2017	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
10/30/2017	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	3.83
11/01/2017	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
11/01/2017	KINDSCHY, CYNTHIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
10/23/2017	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	55.00	1.51	0.00	1.51	90.0	1.36
10/31/2017	PATTERSON, JANITA	AM FUNDS INCOME FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
10/31/2017	PATTERSON, JANITA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	90.0	0.90

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
11/01/2017	KINDSCHY, CYNTHIA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	90.0	0.90
11/01/2017	DAGER, DONNA	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	90.0	4.50
10/23/2017	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	65.00	1.79	0.00	1.79	90.0	1.61
10/23/2017	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	65.00	1.79	0.00	1.79	90.0	1.61
11/01/2017	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	50.00	1.88	0.00	1.88	90.0	1.69
11/01/2017	GOODMAN, ROBERT	AM FUNDS NEW WORLD A	500.00	13.75	0.00	13.75	90.0	12.38
10/31/2017	PATTERSON, JANITA	AM FUNDS SHORT TERM BND FUND OF AM	50.00	1.00	0.00	1.00	90.0	0.90
11/01/2017	KINDSCHY, CYNTHIA	AM FUNDS SHORT TERM BND FUND OF AM	60.00	1.20	0.00	1.20	90.0	1.08
11/01/2017	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	500.00	13.75	0.00	13.75	90.0	12.38
10/30/2017	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	0.00	4.25	90.0	3.83
/ /	MARKS, GAREN	ASCENSUS COLLEGE SAV 194524518	0.00	1.07	0.00	1.07	90.0	0.96
/ /	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	0.00	0.86	0.00	0.86	90.0	0.77
10/24/2017	GEVERINK, ANN	ASCENSUS COLLEGE SAV 194524518	30.00	1.20	0.00	1.20	90.0	1.08
10/24/2017	GEVERINK, ANN	ASCENSUS INA AMERICAN FUNDS EUROPAC	30.00	1.20	0.00	1.20	90.0	1.08
10/31/2017	SAYA, CHRISTINE	ASCENSUS INA AMERICAN FUNDS EUROPAC	2.50	0.12	0.00	0.12	90.0	0.11
10/27/2017	MARKS, GAREN	ASCENSUS INA INTERNATIONAL EQ INDEX	22.50	1.07	0.00	1.07	90.0	0.96
10/31/2017	FREEZE, CORTNEY	ASCENSUS INA INTERNATIONAL EQ INDEX	25.00	1.19	0.00	1.19	90.0	1.07
10/24/2017	GEVERINK, ANN	ASCENSUS INA PIMCO TOTAL RETURN POR	40.00	1.30	0.00	1.30	90.0	1.17
10/27/2017	MARKS, GAREN	ASCENSUS INA PIMCO TOTAL RETURN POR	45.00	1.46	0.00	1.46	90.0	1.31
10/31/2017	SAYA, CHRISTINE	ASCENSUS INA PIMCO TOTAL RETURN POR	5.00	0.16	0.00	0.16	90.0	0.14
10/27/2017	MARKS, GAREN	ASCENSUS INA SHORT TERM TREASURY IN	15.00	0.49	0.00	0.49	90.0	0.44
10/24/2017	GEVERINK, ANN	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.00	0.00	2.00	90.0	1.80
10/27/2017	MARKS, GAREN	ASCENSUS INA T ROWE PRICE LG CAP GR	22.50	1.07	0.00	1.07	90.0	0.96
10/31/2017	SAYA, CHRISTINE	ASCENSUS INA T ROWE PRICE LG CAP GR	8.75	0.42	0.00	0.42	90.0	0.38
11/03/2017	GALENTINE, ASHLEY	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	90.0	2.14
10/24/2017	GEVERINK, ANN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.00	0.00	2.00	90.0	1.80
10/27/2017	MARKS, GAREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	22.50	1.07	0.00	1.07	90.0	0.96
10/31/2017	SAYA, CHRISTINE	ASCENSUS-INA BLACKROCK LG CAP VALUE	8.75	0.42	0.00	0.42	90.0	0.38
11/03/2017	GALENTINE, ASHLEY	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
10/31/2017	FREEZE, CORTNEY	ASCENSUS-INA CORE BOND INDEX PF CL	10.00	0.33	0.00	0.33	90.0	0.30
10/31/2017	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	47.00	2.23	0.00	2.23	90.0	2.01
/ /	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	5.00	0.00	5.00	90.0	4.50
10/16/2017	SCHUCH, AUTUMN	OPPENHEIMER CAPITAL APPRECIATION A	100.00	4.75	0.00	4.75	90.0	4.28

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/16/2017	GALENTINE, JUSTIN	OPPENHEIMER PORTFOLIO SERIES EQ INV	200.00	9.50	0.00	9.50	90.0	8.55
10/16/2017	SEELY, CHARLES	OPPENHEIMER SENIOR FLOATING RATE C	50.00	0.50	0.00	0.50	90.0	0.45
10/31/2017	GRIME, LAURA	TEMPLETON DEVELOPING MKTS A	0.00	13.61	0.00	13.61	90.0	12.25
11/03/2017	URICK, DANIEL	TRANSAMERICA DIVERSIFIED EQUITY A	100.00	4.00	0.00	4.00	90.0	3.60
11/02/2017	LUDWIG, KENDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.58	0.00	2.58	90.0	2.32
* MUTUAL FUNDS SUBTOTAL *			\$4,544.50	\$178.20	\$0.00	\$178.20		\$160.39
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/31/2017	POSTMA, THELMA	JNL PERSPECTIVE II	20,209.90	8.42	0.00	8.42	90.0	7.58
10/31/2017	SIEBER, JANE	JNL PERSPECTIVE II	32,952.77	13.73	0.00	13.73	90.0	12.36
10/31/2017	SIEBER, PAUL	JNL PERSPECTIVE II	67,214.11	28.01	0.00	28.01	90.0	25.21
* VARIABLE ANNUITIES SUBTOTAL *			\$120,376.78	\$50.16	\$0.00	\$50.16		\$45.15
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/19/2017	BERNDT, TODD	AM FUNDS AMERICAN BALANCED A	0.00	0.70	0.00	0.70	90.0	0.63
10/19/2017	KAUFFMAN, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.80	0.00	0.80	90.0	0.72
10/19/2017	FEDLER, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	1.11	0.00	1.11	90.0	1.00
10/19/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	0.43	0.00	0.43	90.0	0.39
10/19/2017	ZINN, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	11.45	0.00	11.45	90.0	10.31
10/19/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	0.49	0.00	0.49	90.0	0.44
10/19/2017	HOFFMAN, MARK	AM FUNDS AMERICAN BALANCED A	0.00	0.67	0.00	0.67	90.0	0.60
10/19/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.56	0.00	0.56	90.0	0.50
10/19/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.45	0.00	0.45	90.0	0.41
10/19/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.75	0.00	0.75	90.0	0.68
10/19/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.69	0.00	0.69	90.0	0.62
10/19/2017	HOFFMAN, MARK	AM FUNDS BOND FUND OF AMERICA A	0.00	0.60	0.00	0.60	90.0	0.54
10/19/2017	KAUFFMAN, JAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.18	0.00	1.18	90.0	1.06
10/19/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.44	0.00	0.44	90.0	0.40
10/19/2017	ZINN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.80	0.00	8.80	90.0	7.92
10/19/2017	REMENTSCHNEIDER, MARY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.42	0.00	0.42	90.0	0.38
10/19/2017	TYGER, CATHIE	AM FUNDS CAPITAL WORLD BOND C	0.00	2.13	0.00	2.13	90.0	1.92
10/19/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.77	0.00	0.77	90.0	0.69
10/19/2017	ZINN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.20	0.00	6.20	90.0	5.58
10/19/2017	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.23	0.00	0.23	90.0	0.21

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/19/2017	ZINN, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.94	0.00	4.94	90.0	4.45
10/19/2017	BERNDT, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.57	0.00	0.57	90.0	0.51
10/19/2017	ZINN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.31	0.00	6.31	90.0	5.68
10/19/2017	WILLIAMS, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.27	0.00	0.27	90.0	0.24
10/19/2017	ZINN, ROBERT	AM FUNDS ICA A	0.00	4.47	0.00	4.47	90.0	4.02
10/19/2017	BERNDT, TODD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.52	0.00	0.52	90.0	0.47
10/19/2017	ZINN, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.70	0.00	5.70	90.0	5.13
10/19/2017	WILLIAMS, BARBARA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.51	0.00	0.51	90.0	0.46
10/19/2017	REMENSCHNEIDER, MARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.41	0.00	0.41	90.0	0.37
10/19/2017	HOFFMAN, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.60	0.00	0.60	90.0	0.54
10/19/2017	HOFFMAN, MARK	AM FUNDS MORTGAGE A	0.00	0.80	0.00	0.80	90.0	0.72
10/19/2017	TYGER, CATHIE	AM FUNDS MORTGAGE C	0.00	2.08	0.00	2.08	90.0	1.87
10/19/2017	ZINN, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	6.03	0.00	6.03	90.0	5.43
10/19/2017	ZINN, ROBERT	AM FUNDS NEW WORLD A	0.00	5.33	0.00	5.33	90.0	4.80
10/19/2017	TYGER, CATHIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	4.12	0.00	4.12	90.0	3.71
10/19/2017	TYGER, CATHIE	AMERICAN FUNDS INFLATION LINKED BON	0.00	4.22	0.00	4.22	90.0	3.80
10/31/2017	LAYMAN, VARIOUS	DREYFUS	0.00	8.70	0.00	8.70	90.0	7.83
10/23/2017	FEDLER, KIMBERLY	FRANKLIN EQUITY INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
10/23/2017	FEDLER, CHARLES	FRANKLIN EQUITY INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
10/23/2017	FEDLER, KIMBERLY	FRANKLIN INCOME A	0.00	0.58	0.00	0.58	90.0	0.52
10/23/2017	FEDLER, CHARLES	FRANKLIN INCOME A	0.00	0.58	0.00	0.58	90.0	0.52
10/23/2017	RANEY, GLEN	FRANKLIN RISING DIVIDENDS C	0.00	2.26	0.00	2.26	90.0	2.03
10/18/2017	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.16	0.00	5.16	90.0	4.64
10/18/2017	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.49	0.00	15.49	90.0	13.94
10/18/2017	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.31	0.00	5.31	90.0	4.78
10/18/2017	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.93	0.00	15.93	90.0	14.34
10/18/2017	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.42	0.00	8.42	90.0	7.58
10/18/2017	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.26	0.00	25.26	90.0	22.73
10/18/2017	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.33	0.00	8.33	90.0	7.50
10/18/2017	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	24.98	0.00	24.98	90.0	22.48
10/18/2017	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.11	0.00	3.11	90.0	2.80
10/18/2017	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.34	0.00	9.34	90.0	8.41
10/18/2017	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.41	0.00	9.41	90.0	8.47

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/18/2017	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	28.24	0.00	28.24	90.0	25.42
10/18/2017	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.41	0.00	10.41	90.0	9.37
10/18/2017	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.22	0.00	31.22	90.0	28.10
10/18/2017	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.60	0.00	10.60	90.0	9.54
10/18/2017	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.79	0.00	31.79	90.0	28.61
10/18/2017	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.94	0.00	7.94	90.0	7.15
10/18/2017	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	23.82	0.00	23.82	90.0	21.44
10/18/2017	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.36	0.00	8.36	90.0	7.52
10/18/2017	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.08	0.00	25.08	90.0	22.57
10/18/2017	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.34	0.00	9.34	90.0	8.41
10/18/2017	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	28.01	0.00	28.01	90.0	25.21
10/19/2017	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	0.20	0.00	0.20	90.0	0.18
10/19/2017	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	0.41	0.00	0.41	90.0	0.37
10/19/2017	FEDLER, CHARLES	INFLATION LINKED BOND FUND A	0.00	0.62	0.00	0.62	90.0	0.56
10/19/2017	REMENSCHNEIDER, MARY	INFLATION LINKED BOND FUND A	0.00	0.52	0.00	0.52	90.0	0.47
10/19/2017	HOFFMAN, MARK	INFLATION LINKED BOND FUND A	0.00	0.80	0.00	0.80	90.0	0.72
10/19/2017	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.73	0.00	0.73	90.0	0.66
10/30/2017	FEDLER, KIMBERLY	INVESCO EQUITY AND INCOME A	0.00	0.48	0.00	0.48	90.0	0.43
10/11/2017	RANEY, GLEN	IVY ASSET STRATEGY FUND CLASS C	0.00	5.18	0.00	5.18	90.0	4.66
10/11/2017	MARTIN, GARY	IVY FDS INC ASSET STRATEGY FD CL B	0.00	2.06	0.00	2.06	90.0	1.85
10/11/2017	MARTIN, DEBERAH	IVY FDS INC ASSET STRATEGY FD CL B	0.00	2.05	0.00	2.05	90.0	1.85
10/20/2017	FEDLER, CHARLES	LORD ABBETT BOND DEBENTURE A	0.00	0.81	0.00	0.81	90.0	0.73
10/20/2017	ZINN, ROBERT	LORD ABBETT BOND DEBENTURE A	0.00	5.19	0.00	5.19	90.0	4.67
10/20/2017	ZINN, ROBERT	LORD ABBETT INCOME A	0.00	1.91	0.00	1.91	90.0	1.72
10/20/2017	COLCORD, ALNETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	30.72	0.00	30.72	90.0	27.65
10/23/2017	ELLIOTT, RANDALL	TEMPLETON WORLD A	0.00	10.70	0.00	10.70	90.0	9.63
10/16/2017	ZINN, ROBERT	TRANSAMERICA FLEXIBLE INCOME A	0.00	7.47	0.00	7.47	90.0	6.72
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$514.45	\$0.00	\$514.45		\$463.04
*** DIRECT TRANSACTIONS TOTAL ***			\$124,921.28	\$742.81	\$0.00	\$742.81		\$668.58

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
10/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.81	0.00	1.81	90.0	1.63
10/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.62	0.00	2.62	90.0	2.36
10/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	124.65	1.64	0.00	1.64	90.0	1.48
10/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	124.65	1.64	0.00	1.64	90.0	1.48
10/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.64	0.00	3.64	90.0	3.28
10/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.81	0.00	1.81	90.0	1.63
10/19/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.30	0.00	0.30	90.0	0.27
10/19/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.10	0.00	0.10	90.0	0.09
10/19/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.10	0.00	0.10	90.0	0.09
10/19/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.40	0.00	0.40	90.0	0.36
10/19/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.10	0.00	0.10	90.0	0.09
10/31/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
10/19/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.21	0.00	0.21	90.0	0.19
10/19/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.13	0.00	0.13	90.0	0.12
10/19/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.19	0.00	0.19	90.0	0.17
11/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.87	0.00	0.87	90.0	0.78
10/19/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.17	0.00	0.17	90.0	0.15
10/30/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.87	0.00	0.87	90.0	0.78
10/30/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.12	0.00	6.12	90.0	5.51
10/19/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.18	0.00	0.18	90.0	0.16
10/19/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
10/19/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	90.0	0.15
10/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.66	0.00	1.66	90.0	1.49
10/31/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.10	0.00	0.10	90.0	0.09
11/03/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
11/03/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
11/03/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12
10/31/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.24	0.00	0.24	90.0	0.22
11/03/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	90.0	0.09
10/31/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.41	0.00	0.41	90.0	0.37
10/31/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.24	0.00	0.24	90.0	0.22
10/31/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
11/03/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
11/03/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
11/03/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
11/03/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
10/17/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.04	0.00	1.04	90.0	0.94
10/20/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.34	0.00	4.34	90.0	3.91
10/23/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.18	0.00	0.18	90.0	0.16
10/18/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.62	0.00	0.62	90.0	0.56
10/31/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.10	0.00	0.10	90.0	0.09
10/23/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.28	0.00	1.28	90.0	1.15
10/31/2017	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	5.56	0.00	5.56	90.0	5.00
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$2,133.14	\$43.69	\$0.00	\$43.69		\$39.35
*** SPLIT TRANSACTIONS TOTAL ***			\$2,133.14	\$43.69	\$0.00	\$43.69		\$39.35

ADJUSTMENTS

11/15/2017	*ALBRIDGE: LIGH USER	0.00						-76.00
11/15/2017	*EO INSURANCE: CURRENT MONTH INS	0.00						-300.00
11/15/2017	*EO INSURANCE: CURRENT MONTH INS-BI	0.00						-300.00
11/15/2017	*GLOBAL RELAY: WEBSITE	0.00						-15.00
11/15/2017	*LASER APP: CURRENT MONTH - KEITH	0.00						-18.00
11/15/2017	*LASER APP: LASER APP - BILL	0.00						-18.00
11/15/2017	*PERSHING: BLOCK TRADE REBALANCE	0.00						-42.00
11/15/2017	*PERSHING: BOOKS AND RECORDS	0.00						-19.35
11/15/2017	*PERSHING: BOOKS AND RECORDS	0.00						-1.40
11/15/2017	*PERSHING: UNSECURED DEBIT	0.00						-4.20
11/15/2017	*TECHNOLOGY: ADMIN - JAMIE BERNDT	0.00						-130.00
11/15/2017	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
11/15/2017	*TECHNOLOGY: CURRENT MONTH BASIC -	0.00						-180.00
11/15/2017	*TECHNOLOGY: LIMITED ADMIN - S BONA	0.00						-60.00
11/15/2017	*TECHNOLOGY: LIMITED ADMIN J WRIGHT	0.00						-60.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,403.95

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BROKER COMMISSION TOTALS

Broker Transactions	\$668.58
Split Transactions	\$39.35
Override Transactions	\$0.00
Adjustments	\$-1,403.95
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-696.02
Year-to-date Gross	\$49,046.52
Year-to-date Earnings	\$42,942.45