

**COMMISSION STATEMENT****November 15, 2017**

HERBERT ALSTON
4200 Park Blvd
Suite 154
Oakland, CA 94602

BROKER #: A123
BRANCH: HERBERT ALSTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: A132 - HERB/FRED ALSTON/CHASALOW #413</u>								
10/13/2017	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	4.90	0.00	4.90	75.0	3.68
10/13/2017	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	0.73	0.00	0.73	75.0	0.55
10/13/2017	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	2.87	0.00	2.87	75.0	2.15
10/13/2017	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.10	0.00	9.10	75.0	6.83
10/13/2017	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.51	0.00	4.51	75.0	3.38
10/13/2017	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.51	0.00	4.51	75.0	3.38
10/13/2017	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.55	0.00	2.55	75.0	1.91
10/13/2017	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.63	0.00	3.63	75.0	2.72
10/13/2017	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.21	0.00	1.21	75.0	0.91
10/13/2017	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.83	0.00	1.83	75.0	1.37
10/24/2017	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	0.78	0.00	0.78	75.0	0.59
10/13/2017	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	2.02	0.00	2.02	75.0	1.52
10/13/2017	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	6.66	0.00	6.66	75.0	5.00
10/24/2017	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	1.33	0.00	1.33	75.0	1.00
10/19/2017	COHEN, JOEL	WILLISTON BASIN/MID- NORTH AMERICA	0.00	6.94	0.00	6.94	75.0	5.21
10/19/2017	MCDONALD, MARY	WILLISTON BASIN/MID- NORTH AMERICA	0.00	3.58	0.00	3.58	75.0	2.69
* A132 - HERB/FRED ALSTON/CHASALOW SUBTOTAL *			\$0.00	\$57.15	\$0.00	\$57.15		\$42.89
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$57.15	\$0.00	\$57.15		\$42.89

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
11/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
11/15/2017		*CONCIERGE SVC: 201550 JOHNSON	0.00					-50.00
11/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					555.00
11/15/2017		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
11/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$42.89
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$42.89
Year-to-date Gross	\$79,857.15
Year-to-date Earnings	\$59,892.89

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed