



All Companies

02/27/2018

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COMMISSION STATEMENT

March 01, 2018

JERRY SINGLETON
700 THROCKMORTON STREET

BROKER #: 8373
BRANCH: HOME OFFICE

FORT WORTH, TX 76102

COMMISSION STATEMENT for JERRY SINGLETON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/31/2018	TRAILS	- LORD ABBETT	B	143.10	143.10	0.00	143.10	90.0	128.79
02/15/2018	ALTIERI, KEVIN/TIERNI	-PUTNAM	B	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2018	SHORT, LEIGH	-VIRTUS FUNDS	B	30.00	1.50	0.00	1.50	90.0	1.35
01/31/2001	TRAILS	DELAWARE - GENERAL	B	101.66	101.66	0.00	101.66	90.0	91.49
01/31/2018	TRAILS	DELAWARE - GENERAL	B	13.15	13.15	0.00	13.15	90.0	11.84
01/31/2018	TRAILS	DREYFUS - GENERAL	B	0.38	0.38	0.00	0.38	90.0	0.34
01/31/2018	TRAILS	EATON VANCE - GENERAL	B	5.29	5.29	0.00	5.29	90.0	4.76
01/31/2018	TRAILS	EATON VANCE - GENERAL	B	1.71	1.71	0.00	1.71	90.0	1.54
02/05/2018	000011624774387 JTEN	FRANKLIN FEDERAL TAX FREE INCM FD C B		200.00	8.00	0.00	8.00	90.0	7.20
02/15/2018	00004994783 SINEWAY, DANIEL C	-VIRTUS FUNDS	B	300.00	15.00	0.00	15.00	90.0	13.50
02/15/2018	000060160708608 HENRY, ERIC	FRANKLIN GROWTH FD CL I	B	200.00	10.00	0.00	10.00	90.0	9.00
02/15/2018	00042508591 UTMA/TX	PUTNAM EQUITY INCOME FD CL A	B	200.00	10.00	0.00	10.00	90.0	9.00
02/12/2018	00059157984 AHLENIUS TEN COM, JEANETTE	AMERICAN FUNDS INVESTMENT COMP OF A B		950.00	26.13	0.00	26.13	90.0	23.52
02/15/2018	00059335645 MORGENSTERN, JUDY	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	2.50	0.00	2.50	90.0	2.25
02/12/2018	00059964494 SHOEMAKER, THOMAS	AMERICAN FUNDS INVESTMENT COMP OF A B		200.00	5.50	0.00	5.50	90.0	4.95
02/12/2018	00060932562 LIEBERMAN, NORMAN/JENNIFER	AMERICAN FUNDS EURO PACIFIC GROWT B		50.00	2.13	0.00	2.13	90.0	1.92
02/12/2018	00063853534 ALTIERI, TIERNI	AMERICAN FUNDS WA MUTUAL INVES B		50.00	1.88	0.00	1.88	90.0	1.69
02/15/2018	00073026805 KOTARA, KELLEN	THE GROWTH FUND OF AMERICA-529A B		125.00	3.44	0.00	3.44	90.0	3.10
02/15/2018	00074688559 HARRIS, CHAD	AMERICAN FUNDS AMERICAN MUTUAL FD B		50.00	1.38	0.00	1.38	90.0	1.24
02/16/2018	00074688631 HARRIS, ANGELA L.	AMERICAN FUNDS CAPITAL INCOME BUILD B		50.00	1.38	0.00	1.38	90.0	1.24
02/15/2018	00081283633 HARRIS, ANGELA	AMERICAN FUNDS INCOME FD OF AMERI B		50.00	1.38	0.00	1.38	90.0	1.24
02/15/2018	00081284351 HARRIS, CHAD	CAPITAL WORLD GROWTH AND INCOME-529 B		50.00	0.50	0.00	0.50	90.0	0.45
02/15/2018	00081489987 STAUFFER, TRAVIS	CAPITAL WORLD GROWTH AND INCOME-529 B		100.00	1.00	0.00	1.00	90.0	0.90
02/15/2018	00081490017 STAUFFER, TRAVIS	CAPITAL WORLD GROWTH AND INCOME-529 B		100.00	1.00	0.00	1.00	90.0	0.90
02/15/2018	00083335257 KOTARA, KELLEN	THE GROWTH FUND OF AMERICA-529C B		125.00	1.25	0.00	1.25	90.0	1.13
02/12/2018	00085279516 ALTIERI, NICOLE	THE GROWTH FUND OF AMERICA-529A B		75.00	2.81	0.00	2.81	90.0	2.53
02/15/2018	00086483945 SINGLETON, ELIZABETH	THE NEW ECONOMY FUND-C B		350.00	3.50	0.00	3.50	90.0	3.15
02/15/2018	00086502248 RUEDEMAN, KOBIE	FUNDAMENTAL INVESTORS-529C B		50.00	0.50	0.00	0.50	90.0	0.45

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/15/2018	00087833281 GARRISON, JAMES/KATE	GLOBAL GROWTH PORTFOLIO-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/12/2018	00089307555 JOBE, LISA GLENN	THE GROWTH FUND OF AMERICA-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
02/15/2018	00089704151 DELK, KYLIE/BAYLIE	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00	90.0	4.50
02/16/2018	00431134561 MINGUS, TIM N	PUTNAM GROWTH OPPORTUNITIES FD CL A B		100.00	2.75	0.00	2.75	90.0	2.48
02/05/2018	00551021580 UNIF TRANSFER TO M/A, U/TX	PUTNAM GROWTH OPPORTUNITIES FD CL A B		25.00	1.25	0.00	1.25	90.0	1.13
02/05/2018	00551021580 UNIF TRANSFER TO M/A, U/TX	PUTNAM INVESTORS FD CL A	B	25.00	1.25	0.00	1.25	90.0	1.13
02/15/2018	00551326780 ALTIERI, KEVIN/VINCENT	-PUTNAM	B	25.00	1.25	0.00	1.25	90.0	1.13
02/12/2018	00671173702 ORENDER, KARI	INVESCO VAN KAMPEN G & I FD	B	25.00	1.25	0.00	1.25	90.0	1.13
02/12/2018	00671173702 ORENDER, KARI	IVK AMERICAN FRANCHISE FUND - CLASS B		25.00	1.25	0.00	1.25	90.0	1.13
02/15/2018	00671187305 FISCHER JT TEN, WILLIAM	IVK AMERICAN FRANCHISE FUND - CLASS B		100.00	4.00	0.00	4.00	90.0	3.60
02/12/2018	00777127393 JOBE, LISA GLENN	AIM MID CAP CORE EQUITY FUND - CL C B		200.00	2.00	0.00	2.00	90.0	1.80
02/12/2018	00777127393 JOBE, LISA GLENN	AIM MID CAP CORE EQUITY FUND - CL C B		115.50	1.16	0.00	1.16	90.0	1.04
02/12/2018	00777127393 JOBE, LISA GLENN	AIM MID CAP CORE EQUITY FUND - CL C B		200.00	2.00	0.00	2.00	90.0	1.80
02/12/2018	00777127393 JOBE, LISA GLENN	AIM MID CAP CORE EQUITY FUND - CL C B		115.50	1.16	0.00	1.16	90.0	1.04
02/12/2018	00777165985 MEZA, AMY C.	IVK AMERICAN VALUE FUND - CLASS C B		50.00	0.50	0.00	0.50	90.0	0.45
02/12/2018	00777165985 MEZA, AMY C.	IVK AMERICAN VALUE FUND - CLASS C B		31.52	0.32	0.00	0.32	90.0	0.29
02/12/2018	00777165985 MEZA, AMY C.	IVK AMERICAN VALUE FUND - CLASS C B		50.00	0.50	0.00	0.50	90.0	0.45
02/12/2018	00777165985 MEZA, AMY C.	IVK AMERICAN VALUE FUND - CLASS C B		33.15	0.33	0.00	0.33	90.0	0.30
02/01/2018	02000062626 GRAYSON TERRY BENEFICIARY, ARDIS	ULTRA CONSERVATIVE PORTFOLIO A	B	25.00	1.00	0.00	1.00	90.0	0.90
02/01/2018	02000062628 TERRY, STACEY/GRIFFEN	ULTRA CONSERVATIVE PORTFOLIO A	B	25.00	1.00	0.00	1.00	90.0	0.90
02/05/2018	05043109999 YETTER, SUSAN	AIM TECHNOLOGY FUND - CLASS A	B	55.55	1.67	0.00	1.67	90.0	1.50
02/05/2018	05043109999 YETTER, SUSAN	IVK AMERICAN FRANCHISE FUND - CLASS B		55.55	1.67	0.00	1.67	90.0	1.50
02/12/2018	08697809525 TEDDER, THOMAS V	COLUMBIA SELIGMAN COMM & INFO FD A B		50.00	2.50	0.00	2.50	90.0	2.25
02/05/2018	3301699247 LESSOR, RANDY	OPPENHEIMER GLOBAL FD CL A	B	50.00	2.38	0.00	2.38	90.0	2.14
02/05/2018	7004732002 ORENDER, KARI A	OPPENHEIMER MAIN STREET INCOME & GR B		25.00	1.19	0.00	1.19	90.0	1.07
* MUTUAL FUNDS SUBTOTAL *				\$5,327.06	\$407.45	\$0.00	\$407.45		\$366.73
<u>PRODUCT CATEGORY: STOCKS</u>									
02/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	6,423.40	6,423.40	0.00	6,423.40	90.0	5,781.06
* STOCKS SUBTOTAL *				\$6,423.40	\$6,423.40	\$0.00	\$6,423.40		\$5,781.06

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
02/09/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	49.90	49.90	0.00	49.90	90.0	44.91
		* VARIABLE ANNUITIES SUBTOTAL *		\$49.90	\$49.90	\$0.00	\$49.90		\$44.91
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>									
12/08/2017	PELLEY, JASON	AMERICAN FARM LIFE	B	43.95	2.20	0.00	2.20	90.0	1.98
12/08/2017	PELLEY, MICHELLE R	AMERICAN FARM LIFE	B	13.01	0.65	0.00	0.65	90.0	0.59
01/17/2018	PELLEY, JASON	AMERICAN FARM LIFE	B	43.95	2.20	0.00	2.20	90.0	1.98
01/17/2018	PELLEY, MICHELLE R	AMERICAN FARM LIFE	B	13.01	0.65	0.00	0.65	90.0	0.59
02/10/2018	SHEPPARD, JOE L	NATIONWIDE LIFE	B	125.00	1.25	0.00	1.25	90.0	1.13
		* LIFE INSURANCE SUBTOTAL *		\$238.92	\$6.95	\$0.00	\$6.95		\$6.27
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	35117029 PIERSON, PEPPER ANN	SIGNAL SECURITIES RIA	B	0.00	76.67	0.00	76.67	90.0	69.00
01/12/2018	50875792 MOORE, PHILIP WAYNE	SIGNAL SECURITIES RIA	B	0.00	214.00	0.00	214.00	90.0	192.60
01/12/2018	58959215 PIERSON, PEPPER ANN	SIGNAL SECURITIES RIA	B	0.00	52.00	0.00	52.00	90.0	46.80
01/12/2018	61321609 WALSH, THOMAS B.	SIGNAL SECURITIES RIA	B	0.00	343.67	0.00	343.67	90.0	309.30
01/12/2018	69982324 PELIZZONI FAM REV TRST, EUGENE & BECKY	SIGNAL SECURITIES RIA	B	0.00	528.00	0.00	528.00	90.0	475.20
01/12/2018	71160962 MOORE, PHILIP WAYNE	SIGNAL SECURITIES RIA	B	0.00	185.67	0.00	185.67	90.0	167.10
01/12/2018	90838267 KENNEDY TRUST, MARY RUTH	SIGNAL SECURITIES RIA	B	0.00	491.33	0.00	491.33	90.0	442.20
		* RIA SUBTOTAL *		\$0.00	\$1,891.34	\$0.00	\$1,891.34		\$1,702.20
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	84.86	0.00	84.86	90.0	76.37
03/01/2018	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	4.26	0.00	4.26	90.0	3.83
03/01/2018	TRAIL COMMISSIONS	WELLS FARGO FUNDS FEES		0.00	24.13	0.00	24.13	90.0	21.72
03/01/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	12.97	0.00	12.97	90.0	11.67
03/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	199.29	0.00	199.29	90.0	179.39
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$325.51	\$0.00	\$325.51		\$292.98
		*** BROKER TRANSACTIONS TOTAL ***		\$12,039.28	\$9,104.55	\$0.00	\$9,104.55		\$8,194.15

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SINGLETON/SNOW #9575</u>									
01/12/2018	57689062 SINGLETON, STEVEN B	SIGNAL SECURITIES RIA	B	0.00	115.83	0.00	115.83	90.0	104.25
01/12/2018	97231190 CHRISTOFORETTI, II, ERIN/JOHN	SIGNAL SECURITIES RIA	B	0.00	167.16	0.00	167.16	90.0	150.44
* SINGLETON/SNOW SUBTOTAL *				<u>\$0.00</u>	<u>\$282.99</u>	<u>\$0.00</u>	<u>\$282.99</u>		<u>\$254.69</u>
<u>BROKER: SINGLETON/MOYNIHAN #9595</u>									
01/12/2018	15381199 WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	80.00	0.00	80.00	90.0	72.00
01/12/2018	17599493 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	62.84	0.00	62.84	90.0	56.56
01/12/2018	31646344 DAVIS, RUFUS L	SIGNAL SECURITIES RIA	B	0.00	106.00	0.00	106.00	90.0	95.40
01/12/2018	41564753 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	12.67	0.00	12.67	90.0	11.40
01/12/2018	41989330 YOUNGBERG, THOMAS N	SIGNAL SECURITIES RIA	B	0.00	49.84	0.00	49.84	90.0	44.86
01/12/2018	42529214 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	79.84	0.00	79.84	90.0	71.86
01/12/2018	45771580 WARREN, THOMAS & SUSAN	SIGNAL SECURITIES RIA	B	0.00	130.67	0.00	130.67	90.0	117.60
01/12/2018	51406766 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	93.84	0.00	93.84	90.0	84.46
01/12/2018	62437500 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	242.84	0.00	242.84	90.0	218.56
01/12/2018	63181693 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	88.34	0.00	88.34	90.0	79.51
01/12/2018	67846422 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	0.84	0.00	0.84	90.0	0.76
01/12/2018	68333401 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	63.84	0.00	63.84	90.0	57.46
01/12/2018	69683604 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	63.67	0.00	63.67	90.0	57.30
01/12/2018	82910782 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	59.67	0.00	59.67	90.0	53.70
01/12/2018	86316364 WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	62.50	0.00	62.50	90.0	56.25
* SINGLETON/MOYNIHAN SUBTOTAL *				<u>\$0.00</u>	<u>\$1,197.40</u>	<u>\$0.00</u>	<u>\$1,197.40</u>		<u>\$1,077.68</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$1,480.39</u>	<u>\$0.00</u>	<u>\$1,480.39</u>		<u>\$1,332.37</u>
<u>ADJUSTMENTS</u>									
03/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-885.50
03/01/2018		HILLTOP: MISC TICKET FEES		0.00	0.00	0.00	0.00		85.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-800.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$8,194.15
Split Transactions	\$1,332.37
Override Transactions	\$0.00
Adjustments	\$-800.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$8,726.02
Year-to-date Earnings	\$38,500.36