



# COMMISSION STATEMENT

## January 29, 2018

**RICHARD PASCALE**  
321 Grover Avenue North  
Massapequa Park, NY 11762

**BROKER #: 981**  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/24/2018	***-**-01I2 - PASCALE, ROBERT	FIDELITY ADV SMALL CAP VALUE-CL A	15,973.57	12.50	9.00	3.50	90.0	3.15
	ACCOUNT #: LSR003794	SELL 775 Units @20.6100						
01/24/2018	***-**-01I2 - PASCALE, ROBERT	MFS UTILITIES FUND-A	26,999.99	17.50	9.00	8.50	90.0	7.65
	ACCOUNT #: LSR003794	SELL 1318 Units @20.4800						
01/24/2018	***-**-71IN - PASCALE, LYNNE	MFS UTILITIES FUND-A	60,000.01	17.50	9.00	8.50	90.0	7.65
	ACCOUNT #: LSR003816	SELL 2930 Units @20.4800						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$102,973.57</b>	<b>\$47.50</b>	<b>\$27.00</b>	<b>\$20.50</b>		<b>\$18.45</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/24/2018	***-**-7948 - AMICI, ROGER	TENCENT HOLDINGS LIMITED UNSP ADR E	6,037.50	12.75	9.00	3.75	90.0	3.38
	ACCOUNT #: LSR100234	BUY 100 Units @60.3750						
01/24/2018	***-**-7948 - AMICI, ROGER	TENCENT HOLDINGS LIMITED UNSP ADR E	9,358.90	19.76	0.00	19.76	90.0	17.78
	ACCOUNT #: LSR100234	BUY 155 Units @60.3800						
<b>* STOCKS SUBTOTAL *</b>			<b>\$15,396.40</b>	<b>\$32.51</b>	<b>\$9.00</b>	<b>\$23.51</b>		<b>\$21.16</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
04/25/2017	***-**-62IN - SAHIN, ESMA	NATIONWIDE CUSIP 638661330-NSCC	-599.60	-17.99	0.00	-17.99	90.0	-16.19
	ACCOUNT #: 015598069	BUY 0 Units @0.0000						
06/05/2017	***-**-62IN - SAHIN, ESMA	NATIONWIDE CUSIP 638661330-NSCC	-599.60	-17.99	0.00	-17.99	90.0	-16.19
	ACCOUNT #: 015598069	BUY 0 Units @0.0000						
07/03/2017	***-**-62IN - SAHIN, ESMA	NATIONWIDE CUSIP 638661330-NSCC	-899.28	-26.98	0.00	-26.98	90.0	-24.28
	ACCOUNT #: 015598069	BUY 0 Units @0.0000						
08/28/2017	***-**-62IN - SAHIN, ESMA	NATIONWIDE CUSIP 638661330-NSCC	-1,199.20	-35.98	0.00	-35.98	90.0	-32.38
	ACCOUNT #: 015598069	BUY 0 Units @0.0000						
10/23/2017	***-**-62IN - SAHIN, ESMA	NATIONWIDE CUSIP 638661330-NSCC	-599.60	-17.99	0.00	-17.99	90.0	-16.19
	ACCOUNT #: 015598069	BUY 0 Units @0.0000						
11/02/2017	***-**-62IN - SAHIN, ESMA	NATIONWIDE CUSIP 638661330-NSCC	-599.60	-17.99	0.00	-17.99	90.0	-16.19
	ACCOUNT #: 015598069	BUY 0 Units @0.0000						
11/27/2017	***-**-62IN - SAHIN, ESMA	NATIONWIDE CUSIP 638661330-NSCC	-547.10	-16.41	0.00	-16.41	90.0	-14.77
	ACCOUNT #: 015598069	BUY 0 Units @0.0000						
01/02/2018	***-**-62IN - SAHIN, ESMA	NATIONWIDE CUSIP 638661330-NSCC	-899.40	-26.98	0.00	-26.98	90.0	-24.28
	ACCOUNT #: 015598069	BUY 0 Units @0.0000						



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BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)</b>								
04/25/2017	***-**-86SI - DAT, YUGAISHTRI	NATIONWIDE CUSIP 63866W282-NSCC	-130.00	-4.71	0.00	-4.71	90.0	-4.24
	ACCOUNT #: 016178907	BUY 0 Units @0.0000						
04/25/2017	***-**-87SI - RAMARAO, ASHWINI	NATIONWIDE CUSIP 63866W282-NSCC	-120.00	-4.35	0.00	-4.35	90.0	-3.92
	ACCOUNT #: 016178914	BUY 0 Units @0.0000						
06/05/2017	***-**-86SI - DAT, YUGAISHTRI	NATIONWIDE CUSIP 63866W282-NSCC	-130.00	-4.71	0.00	-4.71	90.0	-4.24
	ACCOUNT #: 016178907	BUY 0 Units @0.0000						
06/05/2017	***-**-85SI - GOKER, MERT	NATIONWIDE CUSIP 63866W282-NSCC	-433.06	-15.70	0.00	-15.70	90.0	-14.13
	ACCOUNT #: 016178909	BUY 0 Units @0.0000						
06/05/2017	***-**-87SI - RAMARAO, ASHWINI	NATIONWIDE CUSIP 63866W282-NSCC	-120.00	-4.35	0.00	-4.35	90.0	-3.92
	ACCOUNT #: 016178914	BUY 0 Units @0.0000						
07/03/2017	***-**-86SI - DAT, YUGAISHTRI	NATIONWIDE CUSIP 63866W282-NSCC	-195.00	-7.07	0.00	-7.07	90.0	-6.36
	ACCOUNT #: 016178907	BUY 0 Units @0.0000						
07/03/2017	***-**-85SI - GOKER, MERT	NATIONWIDE CUSIP 63866W282-NSCC	-649.47	-23.55	0.00	-23.55	90.0	-21.20
	ACCOUNT #: 016178909	BUY 0 Units @0.0000						
07/03/2017	***-**-87SI - RAMARAO, ASHWINI	NATIONWIDE CUSIP 63866W282-NSCC	-180.00	-6.53	0.00	-6.53	90.0	-5.88
	ACCOUNT #: 016178914	BUY 0 Units @0.0000						
08/28/2017	***-**-86SI - DAT, YUGAISHTRI	NATIONWIDE CUSIP 63866W282-NSCC	-260.00	-9.43	0.00	-9.43	90.0	-8.49
	ACCOUNT #: 016178907	BUY 0 Units @0.0000						
08/28/2017	***-**-85SI - GOKER, MERT	NATIONWIDE CUSIP 63866W282-NSCC	-866.12	-31.40	0.00	-31.40	90.0	-28.26
	ACCOUNT #: 016178909	BUY 0 Units @0.0000						
08/28/2017	***-**-87SI - RAMARAO, ASHWINI	NATIONWIDE CUSIP 63866W282-NSCC	-240.00	-8.70	0.00	-8.70	90.0	-7.83
	ACCOUNT #: 016178914	BUY 0 Units @0.0000						
10/23/2017	***-**-86SI - DAT, YUGAISHTRI	NATIONWIDE CUSIP 63866W282-NSCC	-130.00	-4.71	0.00	-4.71	90.0	-4.24
	ACCOUNT #: 016178907	BUY 0 Units @0.0000						
10/23/2017	***-**-85SI - GOKER, MERT	NATIONWIDE CUSIP 63866W282-NSCC	-433.06	-15.70	0.00	-15.70	90.0	-14.13
	ACCOUNT #: 016178909	BUY 0 Units @0.0000						
10/23/2017	***-**-87SI - RAMARAO, ASHWINI	NATIONWIDE CUSIP 63866W282-NSCC	-120.00	-4.35	0.00	-4.35	90.0	-3.92
	ACCOUNT #: 016178914	BUY 0 Units @0.0000						
11/02/2017	***-**-86SI - DAT, YUGAISHTRI	NATIONWIDE CUSIP 63866W282-NSCC	-130.00	-4.71	0.00	-4.71	90.0	-4.24
	ACCOUNT #: 016178907	BUY 0 Units @0.0000						
11/02/2017	***-**-85SI - GOKER, MERT	NATIONWIDE CUSIP 63866W282-NSCC	-433.06	-15.70	0.00	-15.70	90.0	-14.13
	ACCOUNT #: 016178909	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)</b>								
11/02/2017	***-**-87SI - RAMARAO, ASHWINI	NATIONWIDE CUSIP 63866W282-NSCC	-120.00	-4.35	0.00	-4.35	90.0	-3.92
	ACCOUNT #: 016178914	BUY 0 Units @0.0000						
11/27/2017	***-**-86SI - DAT, YUGAISHTRI	NATIONWIDE CUSIP 63866W282-NSCC	-130.00	-4.71	0.00	-4.71	90.0	-4.24
	ACCOUNT #: 016178907	BUY 0 Units @0.0000						
11/27/2017	***-**-85SI - GOKER, MERT	NATIONWIDE CUSIP 63866W282-NSCC	-433.06	-15.70	0.00	-15.70	90.0	-14.13
	ACCOUNT #: 016178909	BUY 0 Units @0.0000						
11/27/2017	***-**-87SI - RAMARAO, ASHWINI	NATIONWIDE CUSIP 63866W282-NSCC	-120.00	-4.35	0.00	-4.35	90.0	-3.92
	ACCOUNT #: 016178914	BUY 0 Units @0.0000						
01/02/2018	***-**-86SI - DAT, YUGAISHTRI	NATIONWIDE CUSIP 63866W282-NSCC	-195.00	-7.07	0.00	-7.07	90.0	-6.36
	ACCOUNT #: 016178907	BUY 0 Units @0.0000						
01/02/2018	***-**-85SI - GOKER, MERT	NATIONWIDE CUSIP 63866W282-NSCC	-649.59	-23.55	0.00	-23.55	90.0	-21.20
	ACCOUNT #: 016178909	BUY 0 Units @0.0000						
01/02/2018	***-**-87SI - RAMARAO, ASHWINI	NATIONWIDE CUSIP 63866W282-NSCC	-180.00	-6.53	0.00	-6.53	90.0	-5.88
	ACCOUNT #: 016178914	BUY 0 Units @0.0000						
04/25/2017	***-**-41SI - TURPIN, KELLY	NATIONWIDE CUSIP 638942714-NSCC	-200.00	-6.25	0.00	-6.25	90.0	-5.63
	ACCOUNT #: 016117376	BUY 0 Units @0.0000						
06/05/2017	***-**-41SI - TURPIN, KELLY	NATIONWIDE CUSIP 638942714-NSCC	-333.20	-10.41	0.00	-10.41	90.0	-9.37
	ACCOUNT #: 016117376	BUY 0 Units @0.0000						
07/03/2017	***-**-41SI - TURPIN, KELLY	NATIONWIDE CUSIP 638942714-NSCC	-553.80	-17.31	0.00	-17.31	90.0	-15.58
	ACCOUNT #: 016117376	BUY 0 Units @0.0000						
08/28/2017	***-**-41SI - TURPIN, KELLY	NATIONWIDE CUSIP 638942714-NSCC	-738.40	-23.08	0.00	-23.08	90.0	-20.77
	ACCOUNT #: 016117376	BUY 0 Units @0.0000						
10/23/2017	***-**-41SI - TURPIN, KELLY	NATIONWIDE CUSIP 638942714-NSCC	-907.59	-28.37	0.00	-28.37	90.0	-25.53
	ACCOUNT #: 016117376	BUY 0 Units @0.0000						
11/02/2017	***-**-41SI - TURPIN, KELLY	NATIONWIDE CUSIP 638942714-NSCC	-369.20	-11.54	0.00	-11.54	90.0	-10.39
	ACCOUNT #: 016117376	BUY 0 Units @0.0000						
11/27/2017	***-**-41SI - TURPIN, KELLY	NATIONWIDE CUSIP 638942714-NSCC	-369.07	-11.54	0.00	-11.54	90.0	-10.39
	ACCOUNT #: 016117376	BUY 0 Units @0.0000						
01/02/2018	***-**-41SI - TURPIN, KELLY	NATIONWIDE CUSIP 638942714-NSCC	-661.32	-20.67	0.00	-20.67	90.0	-18.60
	ACCOUNT #: 016117376	BUY 0 Units @0.0000						
01/24/2018	***-**-1628 - KESSLER, LEWIS	PACIFIC LIFE ABRB DTCC	500.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: VP71057460	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)</u></b>								
01/25/2018	***-**-433I - BECCE, WILLIAM	PACIFIC LIFE ABRB DTCC	200.00	3.00	0.00	3.00	90.0	2.70
	ACCOUNT #: VP71053600	BUY 0 Units @0.0000						
01/25/2018	***-**-7994 - NEWMAN, JOSHUA	PACIFIC LIFE SE5-NYB DTCC	250.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: VP71067450	BUY 0 Units @0.0000						
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$-15,523.38</b>	<b>\$-525.16</b>	<b>\$0.00</b>	<b>\$-525.16</b>		<b>\$-472.68</b>
<b><u>PRODUCT CATEGORY: LIFE INSURANCE</u></b>								
01/25/2018	***-**-64CL - PASCALE, CLIENTS	PENN LIFE VL TRAILS	0.00	42.64	0.00	42.64	90.0	38.38
		BUY 1 Units @0.0000						
<b>* LIFE INSURANCE SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$42.64</b>	<b>\$0.00</b>	<b>\$42.64</b>		<b>\$38.38</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/03/2018	***-**-723I - PONTURO,	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	5.13	0.00	5.13	90.0	4.62
	ACCOUNT #: 01228023865	BUY 0 Units @0.0000						
01/29/2018	***-**-1593 - CUSTODIAN, JOHN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	0.49	0.00	0.49	90.0	0.44
	ACCOUNT #: LSR101435	BUY 1 Units @0.0000						
01/29/2018	***-**-0664 - CUSTODIAN, JOHN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	0.43	0.00	0.43	90.0	0.39
	ACCOUNT #: LSR101443	BUY 1 Units @0.0000						
01/29/2018	***-**-8546 - KALANTZOPOULOS,	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	1.99	0.00	1.99	90.0	1.79
	ACCOUNT #: LSR101451	BUY 1 Units @0.0000						
01/29/2018	***-**-3854 - TAYLOR, KATHLEEN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	5.55	0.00	5.55	90.0	5.00
	ACCOUNT #: LSR103144	BUY 1 Units @0.0000						
01/29/2018	***-**-9101 - PASCALE, DEBRA	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	2.33	0.00	2.33	90.0	2.10
	ACCOUNT #: LSR107220	BUY 1 Units @0.0000						
01/03/2018	***-**-205I - CHOPRA, ANUBHAN	ALLIANZ NFJ INTERNATIONAL FUND CLAS	0.00	0.79	0.00	0.79	90.0	0.71
	ACCOUNT #: 01239692757	BUY 0 Units @0.0000						
01/03/2018	***-**-556I - MAHMOOD, FAIZA	ALLIANZ NFJ INTERNATIONAL FUND CLAS	0.00	1.42	0.00	1.42	90.0	1.28
	ACCOUNT #: 01243609219	BUY 0 Units @0.0000						
01/03/2018	***-**-355I - DURAS, EDWARD	ALLIANZ NFJ LARGE CAP VALUE FUND CL	0.00	2.27	0.00	2.27	90.0	2.04
	ACCOUNT #: 01220369696	BUY 0 Units @0.0000						
01/03/2018	***-**-355I - DURAS, EDWARD	ALLIANZ OCC GROWTH FUND CLASS A	0.00	5.23	0.00	5.23	90.0	4.71
	ACCOUNT #: 01220369696	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
01/03/2018	***-**-941I - NICOLOSI, GIOVAN	ALLIANZ OCC GROWTH FUND CLASS A	0.00	1.87	0.00	1.87	90.0	1.68
	ACCOUNT #: 01238084329	BUY 0 Units @0.0000						
01/03/2018	***-**-205I - CHOPRA, ANUBHAN	ALLIANZ OCC GROWTH FUND CLASS A	0.00	2.19	0.00	2.19	90.0	1.97
	ACCOUNT #: 01239692757	BUY 0 Units @0.0000						
01/03/2018	***-**-355I - DURAS, EDWARD	ALLIANZ RCM GLOBAL SMALL-CAP FUND C	0.00	5.08	0.00	5.08	90.0	4.57
	ACCOUNT #: 01220369696	BUY 0 Units @0.0000						
01/03/2018	***-**-723I - PONTURO,	ALLIANZ RCM GLOBAL SMALL-CAP FUND C	0.00	5.81	0.00	5.81	90.0	5.23
	ACCOUNT #: 01228023865	BUY 0 Units @0.0000						
01/29/2018	***-**-910I - PASCALE, DEBRA	ALLIANZ RCM GLOBAL SMALL-CAP FUND C	0.00	2.64	0.00	2.64	90.0	2.38
	ACCOUNT #: LSR107220	BUY 1 Units @0.0000						
01/29/2018	***-**-09IN - KHACHATOORIAN,	FIDELITY CASH MM	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR010186	BUY 1 Units @0.0000						
01/29/2018	***-**-28IR - MORMINO, STEVEN	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR005169	BUY 1 Units @0.0000						
01/29/2018	***-**-78IN - KOLLQAKU, BUJARE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR010074	BUY 1 Units @0.0000						
01/29/2018	***-**-19IN - MORMINO, KATHRYN	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR010727	BUY 1 Units @0.0000						
01/29/2018	***-**-808O - CURTIS, MARIE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR011163	BUY 1 Units @0.0000						
01/29/2018	***-**-64IR - PASCALE, RICHARD	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSF000060	BUY 1 Units @0.0000						
01/29/2018	***-**-19IR - PASCALE, JOANN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSF000078	BUY 1 Units @0.0000						
01/29/2018	***-**-964T - PASCALE, RICHARD	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSF000167	BUY 1 Units @0.0000						
01/29/2018	***-**-01I2 - PASCALE, ROBERT	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.07	0.00	0.07	90.0	0.06
	ACCOUNT #: LSR003794	BUY 1 Units @0.0000						
01/29/2018	***-**-71IN - PASCALE, LYNNE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: LSR003816	BUY 1 Units @0.0000						
01/29/2018	***-**-96IR - POWER, JOHN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.52	0.00	0.52	90.0	0.47
	ACCOUNT #: LSR006920	BUY 1 Units @0.0000						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
01/29/2018	***-**-96IN - POWER, JOHN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR011122	BUY 1 Units @0.0000						
01/29/2018	***-**-3917 - PASCALE, ROBERT	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: LSR100137	BUY 1 Units @0.0000						
01/29/2018	***-**-7948 - AMICI, ROGER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.74	0.00	1.74	90.0	1.57
	ACCOUNT #: LSR100234	BUY 1 Units @0.0000						
01/29/2018	***-**-3410 - PONSOT, DENIS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.07	0.00	0.07	90.0	0.06
	ACCOUNT #: LSR100803	BUY 1 Units @0.0000						
01/29/2018	***-**-7578 - SCHULTEISZ, IDA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR100846	BUY 1 Units @0.0000						
01/29/2018	***-**-8546 - KALANTZOPOULOS,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.11	0.00	0.11	90.0	0.10
	ACCOUNT #: LSR101451	BUY 1 Units @0.0000						
01/29/2018	***-**-4429 - VIEYRA, GEORGE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.15	0.00	0.15	90.0	0.14
	ACCOUNT #: LSR101737	BUY 1 Units @0.0000						
01/29/2018	***-**-8751 - QUEZADA, RITA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.17	0.00	0.17	90.0	0.15
	ACCOUNT #: LSR101745	BUY 1 Units @0.0000						
01/29/2018	***-**-623I - LEIBOWITZ, IRWIN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.14	0.00	0.14	90.0	0.13
	ACCOUNT #: LSR102156	BUY 1 Units @0.0000						
01/29/2018	***-**-1469 - GIGLIO, SUSAN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR102172	BUY 1 Units @0.0000						
01/29/2018	***-**-639I - MCENANEY,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.84	0.00	0.84	90.0	0.76
	ACCOUNT #: LSR102423	BUY 1 Units @0.0000						
01/29/2018	***-**-7703 - HOOVER, MORRIS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: LSR102776	BUY 1 Units @0.0000						
01/29/2018	***-**-7703 - HOOVER, MORRIS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR102784	BUY 1 Units @0.0000						
01/29/2018	***-**-8562 - MCENANEY, EILEEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR103012	BUY 1 Units @0.0000						
01/29/2018	***-**-3854 - TAYLOR, KATHLEEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.08	0.00	0.08	90.0	0.07
	ACCOUNT #: LSR103144	BUY 1 Units @0.0000						
01/29/2018	***-**-9101 - PASCALE, DEBRA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR107220	BUY 1 Units @0.0000						



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## January 29, 2018

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/29/2018	***-**-0749 - AMICI, JOHN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.17	0.00	0.17	90.0	0.15
	ACCOUNT #: LSR107700	BUY 1 Units @0.0000						
01/29/2018	***-**-89IR - POWER, KATHLEEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.41	0.00	0.41	90.0	0.37
	ACCOUNT #: LSR006939	BUY 1 Units @0.0000						
01/29/2018	***-**-4645 - KALANTZOPOULOS,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.36	0.00	0.36	90.0	0.32
	ACCOUNT #: LSR101427	BUY 1 Units @0.0000						
01/29/2018	***-**-8080 - CURTIS, MARIE	FRANKLIN U.S. GOVERNMENT SECURITIES	0.00	5.80	0.00	5.80	90.0	5.22
	ACCOUNT #: LSR011163	BUY 1 Units @0.0000						
01/29/2018	***-**-787I - MORMINO, DIANE	LIVEWELL MUTUAL FUND	0.00	3.12	0.00	3.12	90.0	2.81
	ACCOUNT #: 7200004103	BUY 0 Units @0.0000						
01/03/2018	***-**-205I - CHOPRA, ANUBHAN	PIM 018919704	0.00	1.59	0.00	1.59	90.0	1.43
	ACCOUNT #: 01239692757	BUY 0 Units @0.0000						
01/03/2018	***-**-941I - NICOLOSI, GIOVAN	PIMCO 01880B454	0.00	0.76	0.00	0.76	90.0	0.68
	ACCOUNT #: 01238084329	BUY 0 Units @0.0000						
01/03/2018	***-**-941I - NICOLOSI, GIOVAN	PIMCO 01880B603	0.00	1.61	0.00	1.61	90.0	1.45
	ACCOUNT #: 01238084329	BUY 0 Units @0.0000						
01/03/2018	***-**-205I - CHOPRA, ANUBHAN	PIMCO 01880B603	0.00	0.19	0.00	0.19	90.0	0.17
	ACCOUNT #: 01239692757	BUY 0 Units @0.0000						
01/03/2018	***-**-941I - NICOLOSI, GIOVAN	PIMCO 01900C615	0.00	0.78	0.00	0.78	90.0	0.70
	ACCOUNT #: 01238084329	BUY 0 Units @0.0000						
01/03/2018	***-**-205I - CHOPRA, ANUBHAN	PIMCO NACM EMERGING MARKETS OPPORTU	0.00	0.87	0.00	0.87	90.0	0.78
	ACCOUNT #: 01239692757	BUY 0 Units @0.0000						
01/29/2018	***-**-55IS - DURAS, EDWARD	PIMCO TRAILS	0.00	2.76	0.00	2.76	90.0	2.48
	ACCOUNT #: 1220369696	BUY 0 Units @0.0000						
01/29/2018	***-**-9101 - PASCALE, DEBRA	PUTNAM ASSET ALLOCATION BALANCED PO	0.00	1.05	0.00	1.05	90.0	0.95
	ACCOUNT #: LSR107220	BUY 1 Units @0.0000						
01/29/2018	***-**-28IR - MORMINO, STEVEN	SAMMONS MF TRAILS	0.00	4.32	0.00	4.32	90.0	3.89
	ACCOUNT #: 7200004040	BUY 0 Units @0.0000						
01/29/2018	***-**-78IN - KOLLQAKU, BUJARE	SAMMONS MF TRAILS	0.00	4.71	0.00	4.71	90.0	4.24
	ACCOUNT #: 7200013535	BUY 0 Units @0.0000						
01/29/2018	***-**-693I - PONTURO, ANTHONY	VICTORY TRAILS	0.00	0.43	0.00	0.43	90.0	0.39
		BUY 0 Units @0.0000						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
01/29/2018	***-**-723I - PONTURO,	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.51	0.00	0.51	90.0	0.46
01/29/2018	***-**-693I - PONTURO, ANTHONY	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.33	0.00	0.33	90.0	0.30
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$77.04</b>	<b>\$0.00</b>	<b>\$77.04</b>		<b>\$69.36</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$102,846.59</b>	<b>\$-325.47</b>	<b>\$36.00</b>	<b>\$-361.47</b>		<b>\$-325.33</b>

### SPLIT TRANSACTIONS

**BROKER: JEFFREY MORRISON**

01/29/2018	***-**-8826 - LAFRANCE, ACCOUNT #: LSR100145	ALLIANZ NFJ DIVIDEND VALUE FUND CLA BUY 1 Units @0.0000	0.00	0.61	0.00	0.61	90.0	0.55
01/08/2018	***-**-9976 - GANZ, BARBARA ACCOUNT #: 00700773088	FA 529 PORT 2022-CL A BUY 17 Units @28.8000	500.00	12.50	0.00	12.50	90.0	11.25
01/16/2018	***-**-965P - GUERREIRO, MARIA ACCOUNT #: 01489789329	FA 529 PORT 2034 BUY 14 Units @14.3700	200.00	5.00	0.00	5.00	90.0	4.50
01/12/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 8 Units @18.7900	150.00	2.07	0.00	2.07	90.0	1.86
01/12/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 2 Units @18.7900	36.00	0.50	0.00	0.50	90.0	0.45
01/12/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV ENERGY-CL A BUY 4 Units @37.9800	150.00	2.07	0.00	2.07	90.0	1.86
01/12/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV ENERGY-CL A BUY 1 Units @37.9800	36.00	0.50	0.00	0.50	90.0	0.45
01/12/2018	***-**-335I - BLASCO, LAURIE ACCOUNT #: 01443905136	FIDELITY ADV FREEDOM 2025-CL A BUY 7 Units @14.7000	110.13	1.52	0.00	1.52	90.0	1.37
01/12/2018	***-**-335I - BLASCO, LAURIE ACCOUNT #: 01443905136	FIDELITY ADV FREEDOM 2025-CL A BUY 7 Units @14.7000	110.18	1.52	0.00	1.52	90.0	1.37
01/12/2018	***-**-879I - DELAURA, ACCOUNT #: 01488339027	FIDELITY ADV FREEDOM 2055 BUY 6 Units @14.4800	82.72	1.14	0.00	1.14	90.0	1.03
01/12/2018	***-**-879I - DELAURA, ACCOUNT #: 01488339027	FIDELITY ADV FREEDOM 2055 BUY 6 Units @14.4800	82.75	1.14	0.00	1.14	90.0	1.03





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<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
01/22/2018	***-**-85IN - YANKILEVICH, ACCOUNT #: LSR010898	FIDELITY ADV GROWTH & INCOME CL C BUY 9 Units @28.2900	250.00	1.25	1.50	-0.25	100.0	-0.25
01/22/2018	***-**-85IN - YANKILEVICH, ACCOUNT #: LSR010898	FIDELITY ADV HEALTH CARE CL C BUY 7 Units @37.3500	249.98	1.25	1.50	-0.25	100.0	-0.25
01/24/2018	***-**-120I - SEARS, MARY ACCOUNT #: LSR010352	FIDELITY ADV HEALTH CARE CL C SELL 144 Units @37.5000	5,397.38	12.50	9.00	3.50	90.0	3.15
01/12/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV SMALL CAP VALUE-CL A BUY 7 Units @21.2100	150.00	2.07	0.00	2.07	90.0	1.86
01/12/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV SMALL CAP VALUE-CL A BUY 2 Units @21.2100	36.00	0.50	0.00	0.50	90.0	0.45
01/12/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV STRATEGIC REAL RETURN- BUY 17 Units @9.0900	150.00	2.07	0.00	2.07	90.0	1.86
01/12/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV STRATEGIC REAL RETURN- BUY 4 Units @9.0900	36.00	0.50	0.00	0.50	90.0	0.45
01/12/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR EMERGING MARKETS F BUY 5 Units @32.4800	150.00	2.07	0.00	2.07	90.0	1.86
01/12/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR EMERGING MARKETS F BUY 1 Units @32.4800	36.00	0.50	0.00	0.50	90.0	0.45
01/12/2018	***-**-871I - MURPHY, DENISE ACCOUNT #: 01443905094	FIDELITY ADVISOR FREEDOM 2040 BUY 11 Units @16.9700	192.48	2.65	0.00	2.65	90.0	2.39
01/12/2018	***-**-871I - MURPHY, DENISE ACCOUNT #: 01443905094	FIDELITY ADVISOR FREEDOM 2040 BUY 6 Units @16.9700	96.24	1.33	0.00	1.33	90.0	1.20
01/12/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR GOLD FUND CLASS A BUY 5 Units @21.4400	100.00	1.38	0.00	1.38	90.0	1.24
01/12/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR GOLD FUND CLASS A BUY 1 Units @21.4400	24.00	0.33	0.00	0.33	90.0	0.30
01/12/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR REAL ESTATE FUND C BUY 7 Units @21.4400	150.00	2.07	0.00	2.07	90.0	1.86
01/12/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR REAL ESTATE FUND C BUY 2 Units @21.4400	36.00	0.50	0.00	0.50	90.0	0.45
01/29/2018	***-**-54IR - SAVARESE-DILL, ACCOUNT #: LSR005894	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00



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<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
01/29/2018	***-**-80IO - LAURIA, LOU ANN ACCOUNT #: LSR006343	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/29/2018	***-**-04IR - RUBIN, SARA ACCOUNT #: LSR008214	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/29/2018	***-**-46IR - RUBIN, BARRY ACCOUNT #: LSR008222	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/29/2018	***-**-16IR - BRILL, AMY ACCOUNT #: LSR010277	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/29/2018	***-**-31IR - GUERRERO, IVAN ACCOUNT #: LSR010278	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/29/2018	***-**-04IO - KOHLER, ACCOUNT #: LSR010531	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/29/2018	***-**-58UT - PLATEIS UTMA NY, ACCOUNT #: LSR011379	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/29/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/29/2018	***-**-24IO - REICH COHEN, ACCOUNT #: LSR011560	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/29/2018	***-**-116J - BRILL, AMY ACCOUNT #: LSR011592	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/29/2018	***-**-450I - ROSENBLATT, MARK ACCOUNT #: LSR012160	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/29/2018	***-**-9132 - WOLOWITZ, CAROL ACCOUNT #: LSR0101753	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	90.0	0.06
01/29/2018	***-**-2816 - CALDERA, ACCOUNT #: LSR0103039	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.06	0.00	0.06	90.0	0.05
01/29/2018	***-**-4434 - CALDERA, ACCOUNT #: LSR0108855	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.20	0.00	0.20	90.0	0.18
01/29/2018	***-**-640I - OLLECH, MARCEL ACCOUNT #: LSR007277	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.63	0.00	0.63	90.0	0.57
01/29/2018	***-**-91IR - PANG, JIE ACCOUNT #: LSR007285	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	90.0	0.01



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<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
01/29/2018	***-**-86IR - WOO, FBO MR GENE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR007420	BUY 1 Units @0.0000						
01/29/2018	***-**-586I - WOO, FBO MR GENE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR007439	BUY 1 Units @0.0000						
01/29/2018	***-**-96IO - BURKHARDT, FBO	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR008753	BUY 1 Units @0.0000						
01/29/2018	***-**-96IR - BURKHARDT, EDEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR008761	BUY 1 Units @0.0000						
01/29/2018	***-**-24IO - BONGORT,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.04	0.00	0.04	90.0	0.04
	ACCOUNT #: LSR009792	BUY 1 Units @0.0000						
01/29/2018	***-**-06IN - MARK, SCOTT	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.14	0.00	0.14	90.0	0.13
	ACCOUNT #: LSR010056	BUY 1 Units @0.0000						
01/29/2018	***-**-18IN - WATSON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.12	0.00	0.12	90.0	0.11
	ACCOUNT #: LSR010183	BUY 1 Units @0.0000						
01/29/2018	***-**-584K - WINCHURCH,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR010207	BUY 1 Units @0.0000						
01/29/2018	***-**-024J - KRESS, STEPHEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR010245	BUY 1 Units @0.0000						
01/29/2018	***-**-17IR - TRAUTMAN, SHERRI	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR010246	BUY 1 Units @0.0000						
01/29/2018	***-**-24IN - BONGORT,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.47	0.00	0.47	90.0	0.42
	ACCOUNT #: LSR010325	BUY 1 Units @0.0000						
01/29/2018	***-**-36IN - KOUNOUKLOS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR010363	BUY 1 Units @0.0000						
01/29/2018	***-**-83UT - KOUNOUKLOS CUST,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR010394	BUY 1 Units @0.0000						
01/29/2018	***-**-28IN - KHOROZIAN, HAGOP	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.07	0.00	0.07	90.0	0.06
	ACCOUNT #: LSR010452	BUY 1 Units @0.0000						
01/29/2018	***-**-600J - ROTH, GEORGE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR010622	BUY 1 Units @0.0000						
01/29/2018	***-**-27IR - PELL, ANDREA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: LSR010637	BUY 1 Units @0.0000						



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<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
01/29/2018	***-**-3377 - ZALINSKY, STEVEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: LSR100005	BUY 1 Units @0.0000						
01/29/2018	***-**-2771 - ZALINSKY,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: LSR100013	BUY 1 Units @0.0000						
01/29/2018	***-**-377J - ZALINSKY, STEVEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: LSR100021	BUY 1 Units @0.0000						
01/29/2018	***-**-4738 - MARK, MARILYN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: LSR100056	BUY 1 Units @0.0000						
01/29/2018	***-**-7863 - MARK, ROBERT	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: LSR100064	BUY 1 Units @0.0000						
01/29/2018	***-**-8240 - CHOWDHURY, NAHID	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: LSR100080	BUY 1 Units @0.0000						
01/29/2018	***-**-738I - MARK, MARILYN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR100099	BUY 1 Units @0.0000						
01/29/2018	***-**-7863 - MARK, ROBERT	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: LSR100102	BUY 1 Units @0.0000						
01/29/2018	***-**-8826 - LAFRANCE,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.14	0.00	0.14	90.0	0.13
	ACCOUNT #: LSR100145	BUY 1 Units @0.0000						
01/29/2018	***-**-863I - MARK, ROBERT	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: LSR100226	BUY 1 Units @0.0000						
01/29/2018	***-**-7443 - SIEGEL, JILL	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: LSR100625	BUY 1 Units @0.0000						
01/29/2018	***-**-711N - ZALINSKY,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR100692	BUY 1 Units @0.0000						
01/29/2018	***-**-9162 - DOUGLAS, ELLEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR100889	BUY 1 Units @0.0000						
01/29/2018	***-**-1623 - BECCE, MARIA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: LSR101028	BUY 1 Units @0.0000						
01/29/2018	***-**-23IN - BECCE, MARIA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR101060	BUY 1 Units @0.0000						
01/29/2018	***-**-553J - OLIVO, EILEEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR101540	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 29, 2018

**RICHARD PASCALE**  
321 Grover Avenue North  
Massapequa Park, NY 11762

**BROKER #: 981**  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
01/29/2018	***-**-0553 - OLIVO, EILEEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR101559	BUY 1 Units @0.0000						
01/29/2018	***-**-0457 - OLIVO, JERRY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR101567	BUY 1 Units @0.0000						
01/29/2018	***-**-9333 - SPINELLI, SONIA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: LSR102431	BUY 1 Units @0.0000						
01/29/2018	***-**-2109 - HOVSEPIAN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.04	0.00	0.04	90.0	0.04
	ACCOUNT #: LSR102571	BUY 1 Units @0.0000						
01/29/2018	***-**-1266 - COHEN, ESTA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.14	0.00	0.14	90.0	0.13
	ACCOUNT #: LSR103004	BUY 1 Units @0.0000						
01/29/2018	***-**-00IR - WHITFIELD, FBO	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: LSR103080	BUY 1 Units @0.0000						
01/29/2018	***-**-9974 - JAKOBSEN, GLENN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: LSR104710	BUY 1 Units @0.0000						
01/29/2018	***-**-4673 - PEPE, JOANN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR105554	BUY 1 Units @0.0000						
01/29/2018	***-**-4673 - PEPE, JOANN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.39	0.00	0.39	90.0	0.35
	ACCOUNT #: LSR105562	BUY 1 Units @0.0000						
01/29/2018	***-**-4991 - PANG, JIE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.46	0.00	0.46	90.0	0.41
	ACCOUNT #: LSR105880	BUY 1 Units @0.0000						
01/29/2018	***-**-018I - WATSON, BURTON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR105902	BUY 1 Units @0.0000						
01/29/2018	***-**-2838 - WATSON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: LSR106100	BUY 1 Units @0.0000						
01/29/2018	***-**-9481 - WATSON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: LSR106135	BUY 1 Units @0.0000						
01/29/2018	***-**-5165 - WATSON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR106151	BUY 1 Units @0.0000						
01/29/2018	***-**-1182 - WATSON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.08	0.00	0.08	90.0	0.07
	ACCOUNT #: LSR106160	BUY 1 Units @0.0000						
01/29/2018	***-**-4991 - PANG, JIE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR106178	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 29, 2018

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
01/29/2018	***-**-6017 - DILL, ROWAN ACCOUNT #: LSR106615	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	90.0	0.02
01/25/2018	***-**-120I - SEARS, MARY ACCOUNT #: LSR010352	FIDELITY SPARTAN TOTAL MARKET INDEX BUY 68 Units @80.9600	5,500.02	8.98	9.00	-0.02	100.0	-0.02
01/29/2018	***-**-2838 - WATSON ACCOUNT #: LSR106100	GLOBAL FUND A BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	90.0	0.31
01/29/2018	***-**-9481 - WATSON ACCOUNT #: LSR106135	GLOBAL FUND A BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	90.0	0.92
01/29/2018	***-**-5165 - WATSON ACCOUNT #: LSR106151	GLOBAL FUND A BUY 1 Units @0.0000	0.00	0.64	0.00	0.64	90.0	0.58
01/29/2018	***-**-24IN - BONGORT, ACCOUNT #: 91119450	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	1.74	0.00	1.74	90.0	1.57
01/16/2018	***-**-640I - OLLECH, MARCEL	GRIFFIN HEALTHCARE REIT IV BUY 1500 Units @10.0000	15,000.00	225.00	0.00	225.00	90.0	202.50
01/29/2018	***-**-75IN - WATSON, SANDRA	GRIFFIN INSTITUTIONAL ACCESS RE FUN BUY 0 Units @0.0000	0.00	1.17	0.00	1.17	90.0	1.05
01/29/2018	***-**-06IN - MARK, SCOTT	GRIFFIN-AMERICAN HEALTHCARE REIT IV BUY 0 Units @0.0000	0.00	63.02	0.00	63.02	90.0	56.72
01/29/2018	***-**-446I - RUBIN, BARRY	GRIFFIN-AMERICAN HEALTHCARE REIT IV BUY 0 Units @0.0000	0.00	13.74	0.00	13.74	90.0	12.37
01/29/2018	***-**-446I - RUBIN, BARRY	GRIFFIN-AMERICAN HEALTHCARE REIT IV BUY 0 Units @0.0000	0.00	6.81	0.00	6.81	90.0	6.13
01/29/2018	***-**-24IO - REICH COHEN, ACCOUNT #: 00091133517	GRIFFIN-AMERICAN HEALTHCARE REIT IV BUY 0 Units @0.0000	0.00	15.13	0.00	15.13	90.0	13.62
01/29/2018	***-**-017J - MORRISON, ACCOUNT #: 091144667	GRIFFIN-AMERICAN HEALTHCARE REIT IV BUY 0 Units @0.0000	0.00	63.02	0.00	63.02	90.0	56.72
01/29/2018	***-**-450I - ROSENBLATT, MARK ACCOUNT #: 091151606	GRIFFIN-AMERICAN HEALTHCARE REIT IV BUY 0 Units @0.0000	0.00	17.26	0.00	17.26	90.0	15.53
01/29/2018	***-**-619J - GINSBERG, SETH ACCOUNT #: 091153660	GRIFFIN-AMERICAN HEALTHCARE REIT IV BUY 0 Units @0.0000	0.00	13.02	0.00	13.02	90.0	11.72
01/29/2018	***-**-58UT - PLATEIS UTMA NY, ACCOUNT #: 091138762	GRIFFIN-AMERICAN HEALTHCARE REIT IV BUY 0 Units @0.0000	0.00	3.15	0.00	3.15	90.0	2.84



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
01/29/2018	***-**-2838 - WATSON	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	0.31	0.00	0.31	90.0	0.28
	ACCOUNT #: LSR106100	BUY 1 Units @0.0000						
01/29/2018	***-**-9481 - WATSON	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	0.65	0.00	0.65	90.0	0.59
	ACCOUNT #: LSR106135	BUY 1 Units @0.0000						
01/29/2018	***-**-5165 - WATSON	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	0.71	0.00	0.71	90.0	0.64
	ACCOUNT #: LSR106151	BUY 1 Units @0.0000						
01/29/2018	***-**-1182 - WATSON	OPPENHEIMER DISCOVERY CLASS A	0.00	0.46	0.00	0.46	90.0	0.41
	ACCOUNT #: LSR106160	BUY 1 Units @0.0000						
01/29/2018	***-**-00IR - WHITFIELD, FBO	OPPENHEIMER GLOBAL CLASS C	0.00	5.28	0.00	5.28	90.0	4.75
	ACCOUNT #: LSR103080	BUY 1 Units @0.0000						
01/22/2018	***-**-7642 - HARRISON, DANIEL	PACIFIC LIFE SE5-NYB DTCC	340.00	5.10	0.00	5.10	90.0	4.59
	ACCOUNT #: VP71028600	BUY 0 Units @0.0000						
01/22/2018	***-**-7642 - HARRISON, DANIEL	PACIFIC LIFE SE5-NYB DTCC	160.00	2.40	0.00	2.40	90.0	2.16
	ACCOUNT #: VP71028600	BUY 0 Units @0.0000						
01/25/2018	***-**-8584 - WINCHURCH,	PACIFIC LIFE VA DTCC RENEWALS	100.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71048920	BUY 0 Units @0.0000						
01/25/2018	***-**-8584 - WINCHURCH,	PACIFIC LIFE VA DTCC RENEWALS	100.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71048920	BUY 0 Units @0.0000						
01/03/2018	***-**-73IR - MORRISON,	PIMCO NACM EMERGING MARKETS OPPORTU	0.00	1.39	0.00	1.39	90.0	1.25
	ACCOUNT #: 02049021252	BUY 0 Units @0.0000						
01/29/2018	***-**-00IR - WHITFIELD, FBO	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	6.14	0.00	6.14	90.0	5.53
	ACCOUNT #: LSR103080	BUY 1 Units @0.0000						
01/29/2018	***-**-22TR - RUBIN, ELLEN	QUALIFIED PLAN SUMMARY	0.00	0.44	0.00	0.44	90.0	0.40
	ACCOUNT #: LSR010306	BUY 1 Units @0.0000						
01/29/2018	***-**-9988 - HOVSEPIAN,	QUALIFIED PLAN SUMMARY	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: LSR102580	BUY 1 Units @0.0000						
01/29/2018	***-**-4673 - PEPE, JOANN	QUEST BALANCED A	0.00	1.29	0.00	1.29	90.0	1.16
	ACCOUNT #: LSR105562	BUY 1 Units @0.0000						
01/29/2018	***-**-58UT - PLATEIS UTMA NY,	TERRA INCOME FUND # 6	0.00	14.43	0.00	14.43	90.0	12.99
	ACCOUNT #: 20001563	BUY 0 Units @0.0000						
01/29/2018	***-**-75TR - WATSON TTEE,	TERRA INCOME FUND # 6	0.00	43.29	0.00	43.29	90.0	38.96
	ACCOUNT #: 20001565	BUY 0 Units @0.0000						
<b>* JEFFREY MORRISON SUBTOTAL *</b>			<b>\$29,711.88</b>	<b>\$580.23</b>	<b>\$21.00</b>	<b>\$559.23</b>		<b>\$503.34</b>



# COMMISSION STATEMENT

January 29, 2018

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**BROKER #: 981**  
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** SPLIT TRANSACTIONS TOTAL ***			\$29,711.88	\$580.23	\$21.00	\$559.23		\$503.34
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ALAN FISHMAN</u></b>								
01/10/2018	***-**-19IN - BLUMEN, IAN ACCOUNT #: 05066338392	AIM SMALL CAP GROWTH FUND - CLASS A BUY 1 Units @40.5900	25.00	1.25	0.00	1.25	7.5	0.09
01/29/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.49	0.00	0.49	7.5	0.04
01/29/2018	***-**-067J - SOMMO, WILLIAM	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.16	0.00	0.16	7.5	0.01
01/29/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS BUY 0 Units @0.0000	0.00	1.33	0.00	1.33	7.5	0.10
01/29/2018	***-**-955P - VICARI,	VICTORY TRAILS BUY 0 Units @0.0000	0.00	1.22	0.00	1.22	7.5	0.09
01/29/2018	***-**-9623 - SELKIN, ARTHUR	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.78	0.00	0.78	7.5	0.06
01/29/2018	***-**-690I - LEIFER, JONATHAN	VICTORY TRAILS BUY 0 Units @0.0000	0.00	68.85	0.00	68.85	7.5	5.16
01/29/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS BUY 0 Units @0.0000	0.00	1.25	0.00	1.25	7.5	0.09
* ALAN FISHMAN SUBTOTAL *			\$25.00	\$75.33	\$0.00	\$75.33		\$5.64
*** OVERRIDE TRANSACTIONS TOTAL ***			\$25.00	\$75.33	\$0.00	\$75.33		\$5.64





BROKER #: 981  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
Broker Transactions \$-325.33								
Split Transactions \$503.34								
Override Transactions \$5.64								
Adjustments \$0.00								
Payroll Draw \$0.00								
Base Salary \$0.00								
Prior Period Balance \$0.00								
FINRA Assessment \$0.00								
SIPC Assessment \$0.00								
Net Commission Earned \$183.65								
Year-to-date Earnings \$5,849.20								