



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**August 15, 2017**

08/14/2017  
 Page No. 1

JENNIFER DONAIS  
 78955 Via Trieste

BROKER #: D134  
 BRANCH: TRUWEALTH FINANCIAL

LaQuinta, CA 92253-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/12/2017	SINNESS, LOYE	HARTFORD CAPITAL APPRECIATION A	0.00	1.56	0.00	1.56	90.0	1.40
07/12/2017	SINNESS, LOYE	MFS VALUE A	0.00	0.77	0.00	0.77	90.0	0.69
07/12/2017	SINNESS, LOYE	PUTNAM MULTI CAP GROWTH A	0.00	2.73	0.00	2.73	90.0	2.46
07/12/2017	DONAI, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	3.34	0.00	3.34	90.0	3.01
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$8.40	\$0.00	\$8.40		\$7.56
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
07/31/2017	RIVERA, MARISA	ISHARES CORE S&P SMALL CAP ETF	496.71	15.00	22.50	-7.50	90.0	-6.75
07/31/2017	RIVERA, MARISA	ISHARES TR CORE S&P MID-CAP ETF	527.28	15.00	22.50	-7.50	90.0	-6.75
07/31/2017	RIVERA, MARISA	ISHARES TR S&P 500 INDEX FD	1,493.09	45.00	22.50	22.50	90.0	20.25
* STOCKS SUBTOTAL *			\$2,517.08	\$75.00	\$67.50	\$7.50		\$6.75
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/31/2017	LARAMIE, CECILIA	JOHN HANCOCK VENTURE	77,964.39	16.24	0.00	16.24	90.0	14.62
* VARIABLE ANNUITIES SUBTOTAL *			\$77,964.39	\$16.24	\$0.00	\$16.24		\$14.62
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/18/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.59	0.00	0.59	90.0	0.53
07/18/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.83	0.00	2.83	90.0	2.55
07/18/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.31	0.00	0.31	90.0	0.28
07/18/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.91	0.00	2.91	90.0	2.62
07/18/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.69	0.00	2.69	90.0	2.42
07/18/2017	DONAI, JEREMY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.45	0.00	1.45	90.0	1.31
07/18/2017	DONAI, BRADLEY	AM FUNDS NEW PERSPECTIVE 529C	0.00	1.38	0.00	1.38	90.0	1.24
07/18/2017	DONAI, BRADLEY	AM FUNDS NEW PERSPECTIVE 529C	0.00	1.53	0.00	1.53	90.0	1.38
07/05/2017	DONAI, DONALD P	FIRST INVESTORS GROWTH AND INCOME F	0.00	4.17	0.00	4.17	90.0	3.75
07/05/2017	DONAI, DONALD P	FIRST INVESTORS SPECIAL SITUATIONS	0.00	6.97	0.00	6.97	90.0	6.27
07/05/2017	DONAI, DONALD P	FIRST INVESTORS TOTAL RETURN FUND C	0.00	4.04	0.00	4.04	90.0	3.64

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/18/2017	DONAI, JEREMY	PRINCIPAL INVESTORS INCOME A	0.00	1.13	0.00	1.13	90.0	1.02
07/18/2017	DONAI, JEREMY	PRINCIPAL LARGE CAP S&P 500 INDEX FU	0.00	4.35	0.00	4.35	90.0	3.92
07/18/2017	DONAI, JEREMY	PRINCIPAL SMALLCAP FUND CLASS A	0.00	3.14	0.00	3.14	90.0	2.83
07/18/2017	DONAI, JEREMY	PRINICPAL MIDCAP BLEND A	0.00	2.52	0.00	2.52	90.0	2.27
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$40.01</b>	<b>\$0.00</b>	<b>\$40.01</b>		<b>\$36.03</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$80,481.47</b>	<b>\$139.65</b>	<b>\$67.50</b>	<b>\$72.15</b>		<b>\$64.96</b>

**SPLIT TRANSACTIONS****BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333**

07/18/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.22	0.00	1.22	90.0	1.10
07/28/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.34	0.00	0.34	90.0	0.31
07/19/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.58	0.00	0.58	90.0	0.52
07/18/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.09	0.00	0.09	90.0	0.08
07/18/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.78	0.00	0.78	90.0	0.70
07/18/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	3.80	0.00	3.80	90.0	3.42
<b>* JBB JEN-BRENT-BRION TRU-DONAI-LEE- SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$6.81</b>	<b>\$0.00</b>	<b>\$6.81</b>		<b>\$6.13</b>

**BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335**

08/01/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	1.18	0.00	1.18	90.0	1.06
08/01/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	2.04	0.00	2.04	90.0	1.84
08/01/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	1.35	0.00	1.35	90.0	1.22
08/01/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	1.05	0.00	1.05	90.0	0.95
08/03/2017	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	5.92	0.00	5.92	90.0	5.33
<b>* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *</b>			<b>\$1,020.00</b>	<b>\$11.54</b>	<b>\$0.00</b>	<b>\$11.54</b>		<b>\$10.40</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$1,020.00</b>	<b>\$18.35</b>	<b>\$0.00</b>	<b>\$18.35</b>		<b>\$16.53</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
08/15/2017		*CONCIERGE SVC: 195512 RIVERA	0.00					-39.00
08/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
08/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.17
08/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.96
08/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-3.00
08/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-6.37
08/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-625.50

**BROKER COMMISSION TOTALS**

Broker Transactions	\$64.96
Split Transactions	\$16.53
Override Transactions	\$0.00
Adjustments	\$-625.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$50.27
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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Balance Forward	\$-493.74
Year-to-date Gross	\$15,345.73
Year-to-date Earnings	\$13,111.60

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