



COMMISSION STATEMENT

April 16, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
04/16/2018	***-**-60IN - HUNGERFORD, ACCOUNT #: N550070100	NATIONWIDE YOURLIFE PROTECTION VUL BUY 1 Units @150.0000	150.00	1.50	0.00	1.50	70.0	1.05
04/16/2018	***-**-60IN - HUNGERFORD, ACCOUNT #: N550070100	NATIONWIDE YOURLIFE PROTECTION VUL BUY 1 Units @150.0000	150.00	1.50	0.00	1.50	70.0	1.05
* LIFE INSURANCE SUBTOTAL *			<u>\$300.00</u>	<u>\$3.00</u>	<u>\$0.00</u>	<u>\$3.00</u>		<u>\$2.10</u>
<u>PRODUCT CATEGORY: RIA</u>								
04/16/2018	***-**-0737 - SCHROEDER, JACK ACCOUNT #: 100793254	MORNINGSTAR ADVISOR FEE BUY 0 Units @0.0000	342.63	342.63	0.00	342.63	70.0	239.84
04/16/2018	***-**-84FB - SPORRER, SHIRLEY ACCOUNT #: 100806898	MORNINGSTAR ADVISOR FEE BUY 0 Units @0.0000	45.97	45.97	0.00	45.97	70.0	32.18
04/16/2018	***-**-84FB - SPORRER, SHIRLEY ACCOUNT #: 100806900	MORNINGSTAR ADVISOR FEE BUY 0 Units @0.0000	176.28	176.28	0.00	176.28	70.0	123.40
04/16/2018	***-**-58FB - SMITH, RUTH ACCOUNT #: 100936653	MORNINGSTAR ADVISOR FEE BUY 0 Units @0.0000	162.62	162.62	0.00	162.62	70.0	113.83
04/16/2018	***-**-37FB - SCHROEDER, JACK ACCOUNT #: 100793241	MORNINGSTAR ADVISOR FEE BUY 0 Units @0.0000	676.46	676.46	0.00	676.46	70.0	473.52
04/16/2018	***-**-00FB - SPORRER, JERALD ACCOUNT #: 100806886	MORNINGSTAR ADVISOR FEE BUY 0 Units @0.0000	208.22	208.22	0.00	208.22	70.0	145.75
04/16/2018	***-**-92FB - SAUDER FAMILY, ACCOUNT #: 101006690	MORNINGSTAR ADVISOR FEE BUY 0 Units @0.0000	219.73	219.73	0.00	219.73	70.0	153.81
* RIA SUBTOTAL *			<u>\$1,831.91</u>	<u>\$1,831.91</u>	<u>\$0.00</u>	<u>\$1,831.91</u>		<u>\$1,282.33</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/16/2018	***-**-568I - MARSHALL, BRUCE ACCOUNT #: LSR105627	BOND A BUY 1 Units @0.0000	0.00	10.47	0.00	10.47	70.0	7.33
04/16/2018	***-**-0737 - SCHROEDER, JACK ACCOUNT #: LSR105112	DELAWARE EXTENDED DURATION BOND FUN BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	70.0	0.02
04/16/2018	***-**-0724 - STROMMESEN, ACCOUNT #: LSR105090	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	4.46	0.00	4.46	70.0	3.12
04/16/2018	***-**-1788 - STROMMESEN, ACCOUNT #: LSR105104	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	2.88	0.00	2.88	70.0	2.02



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/16/2018	***-**-9761 - MCCARTHY, NANCY	FRANKLIN INCOME FUND CLASS A	0.00	2.56	0.00	2.56	70.0	1.79
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
04/16/2018	***-**-568J - MARSHALL, BRUCE	FRANKLIN INCOME FUND CLASS A	0.00	7.13	0.00	7.13	70.0	4.99
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
04/16/2018	***-**-0383 - MARSHALL,	FRANKLIN INCOME FUND CLASS A	0.00	0.57	0.00	0.57	70.0	0.40
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
04/16/2018	***-**-5712 - RAMSEY, GUSSIE	FRANKLIN INCOME FUND CLASS A	0.00	13.32	0.00	13.32	70.0	9.32
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
04/16/2018	***-**-2425 - BRIAN N CALNAN,	FRANKLIN INCOME FUND CLASS A	0.00	0.89	0.00	0.89	70.0	0.62
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
04/16/2018	***-**-10TR - SAUDER TRUST	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	84.14	0.00	84.14	70.0	58.90
	ACCOUNT #: LSR010138	BUY 1 Units @0.0000						
04/16/2018	***-**-92IO - SAUDER	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	44.62	0.00	44.62	70.0	31.23
	ACCOUNT #: LSR105538	BUY 1 Units @0.0000						
04/16/2018	***-**-1184 - SPORRER, SHIRLEY	FRK GOLD FUND - CLASS A	0.00	0.18	0.00	0.18	70.0	0.13
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
04/16/2018	***-**-184I - SPORRER, SHIRLEY	FRK GOLD FUND - CLASS A	0.00	0.29	0.00	0.29	70.0	0.20
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
04/16/2018	***-**-0400 - SPORRER, JERALD	FRK GOLD FUND - CLASS A	0.00	0.30	0.00	0.30	70.0	0.21
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
04/16/2018	***-**-00IR - SPORRER, JERALD	FRK GOLD FUND - CLASS A	0.00	0.23	0.00	0.23	70.0	0.16
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
04/16/2018	***-**-514I - BERGIN, JOSEPH	IVY ASSET STRATEGY FUND CLASS A	0.00	2.18	0.00	2.18	70.0	1.53
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
04/16/2018	***-**-9761 - MCCARTHY, NANCY	IVY ASSET STRATEGY FUND CLASS A	0.00	0.64	0.00	0.64	70.0	0.45
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
04/16/2018	***-**-568J - MARSHALL, BRUCE	IVY ASSET STRATEGY FUND CLASS A	0.00	22.19	0.00	22.19	70.0	15.53
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
04/16/2018	***-**-0383 - MARSHALL,	IVY ASSET STRATEGY FUND CLASS A	0.00	2.12	0.00	2.12	70.0	1.48
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
04/16/2018	***-**-568I - MARSHALL, BRUCE	IVY ASSET STRATEGY FUND CLASS A	0.00	3.71	0.00	3.71	70.0	2.60
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/16/2018	***-**-5712 - RAMSEY, GUSSIE	IVY ASSET STRATEGY FUND CLASS A	0.00	14.56	0.00	14.56	70.0	10.19
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
04/16/2018	***-**-2425 - BRIAN N CALNAN,	IVY ASSET STRATEGY FUND CLASS A	0.00	8.27	0.00	8.27	70.0	5.79
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
04/16/2018	***-**-2410 - DEWITT, TRACY	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	5.94	0.00	5.94	70.0	4.16
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
04/16/2018	***-**-0383 - MARSHALL,	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	7.74	0.00	7.74	70.0	5.42
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
04/16/2018	***-**-5681 - MARSHALL, BRUCE	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	0.56	0.00	0.56	70.0	0.39
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
04/16/2018	***-**-2425 - BRIAN N CALNAN,	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	3.93	0.00	3.93	70.0	2.75
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
04/16/2018	***-**-1184 - SPORRER, SHIRLEY	JOHN HANCOCK GL ABSO RETURN STRATS	0.00	0.56	0.00	0.56	70.0	0.39
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
04/16/2018	***-**-568J - MARSHALL, BRUCE	JOHN HANCOCK GLOBAL EQUITY FUND CLA	0.00	2.83	0.00	2.83	70.0	1.98
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
04/16/2018	***-**-5712 - RAMSEY, GUSSIE	JOHN HANCOCK TECHNICAL OPPS CL A	0.00	2.01	0.00	2.01	70.0	1.41
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
04/16/2018	***-**-3210 - VASQUEZ, CHARLES	LIFESTYLE BALANCED PORTFOLIO A	0.00	0.28	0.00	0.28	70.0	0.20
	ACCOUNT #: LSR006530	BUY 1 Units @0.0000						
04/16/2018	***-**-2410 - DEWITT, TRACY	LIFESTYLE BALANCED PORTFOLIO A	0.00	23.93	0.00	23.93	70.0	16.75
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
04/16/2018	***-**-0724 - STROMMESEN,	LIFESTYLE BALANCED PORTFOLIO A	0.00	6.24	0.00	6.24	70.0	4.37
	ACCOUNT #: LSR105090	BUY 1 Units @0.0000						
04/16/2018	***-**-1788 - STROMMESEN,	LIFESTYLE BALANCED PORTFOLIO A	0.00	4.01	0.00	4.01	70.0	2.81
	ACCOUNT #: LSR105104	BUY 1 Units @0.0000						
04/16/2018	***-**-001R - SPORRER, JERALD	LIFESTYLE BALANCED PORTFOLIO A	0.00	0.93	0.00	0.93	70.0	0.65
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
04/16/2018	***-**-9761 - MCCARTHY, NANCY	LIFESTYLE BALANCED PORTFOLIO A	0.00	3.27	0.00	3.27	70.0	2.29
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
04/16/2018	***-**-568J - MARSHALL, BRUCE	LIFESTYLE BALANCED PORTFOLIO A	0.00	27.77	0.00	27.77	70.0	19.44
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/16/2018	***-**-0383 - MARSHALL, ACCOUNT #: LSR105619	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	28.71	0.00	28.71	70.0	20.10
04/16/2018	***-**-9483 - WYCKOFF, ACCOUNT #: LSR106887	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	2.61	0.00	2.61	70.0	1.83
04/16/2018	***-**-29IO - NORFLEET, ACCOUNT #: LSR010116	LIFESTYLE CONSERVATIVE PORTFOLIO A BUY 1 Units @0.0000	0.00	10.80	0.00	10.80	70.0	7.56
04/16/2018	***-**-76IO - SMOAKE, DAVID ACCOUNT #: LSR011651	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	12.12	0.00	12.12	70.0	8.48
04/16/2018	***-**-1184 - SPORRER, SHIRLEY ACCOUNT #: LSR105244	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	0.92	0.00	0.92	70.0	0.64
04/16/2018	***-**-5594 - BISHOP, SHANNON ACCOUNT #: LSR105392	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	1.32	0.00	1.32	70.0	0.92
04/16/2018	***-**-2425 - BRIAN N CALNAN, ACCOUNT #: LSR105945	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	7.57	0.00	7.57	70.0	5.30
04/16/2018	***-**-37TR - SCHROEDER TRUST, ACCOUNT #: LSR004375	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	9.94	0.00	9.94	70.0	6.96
04/16/2018	***-**-514I - BERGIN, JOSEPH ACCOUNT #: LSR004910	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	6.72	0.00	6.72	70.0	4.70
04/16/2018	***-**-099X - JAMES L SMITH, ACCOUNT #: LSR011494	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	0.28	0.00	0.28	70.0	0.20
04/16/2018	***-**-087X - MACHRINA E ACCOUNT #: LSR011495	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	0.28	0.00	0.28	70.0	0.20
04/16/2018	***-**-0737 - SCHROEDER, JACK ACCOUNT #: LSR105112	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	5.39	0.00	5.39	70.0	3.77
04/16/2018	***-**-568I - MARSHALL, BRUCE ACCOUNT #: LSR105627	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	45.89	0.00	45.89	70.0	32.12
04/16/2018	***-**-5712 - RAMSEY, GUSSIE ACCOUNT #: LSR105929	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	24.95	0.00	24.95	70.0	17.47
04/16/2018	***-**-0237 - RAMSEY, WILLIAM ACCOUNT #: LSR105937	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	4.13	0.00	4.13	70.0	2.89
04/01/2018	***-**-440I - CLERKIN, PAUL ACCOUNT #: 05311969078	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	16.91	0.00	16.91	70.0	11.84



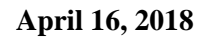
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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/01/2018	***-**-294I - CLERKIN, NANCY	MASS INVESTORS GROWTH STOCK FUND-A	0.00	8.43	0.00	8.43	70.0	5.90
	ACCOUNT #: 08191268727	BUY 0 Units @0.0000						
04/01/2018	***-**-440I - CLERKIN, PAUL	MFS EMERGING GROWTH FUND CLASS A	0.00	20.01	0.00	20.01	70.0	14.01
	ACCOUNT #: 06000600286	BUY 0 Units @0.0000						
04/01/2018	***-**-294I - CLERKIN, NANCY	MFS EMERGING GROWTH FUND CLASS A	0.00	7.23	0.00	7.23	70.0	5.06
	ACCOUNT #: 08191268727	BUY 0 Units @0.0000						
04/01/2018	***-**-440I - CLERKIN, PAUL	MFS HIGH INCOME FUND-A	0.00	6.05	0.00	6.05	70.0	4.24
	ACCOUNT #: 03551291542	BUY 0 Units @0.0000						
04/01/2018	***-**-837I - CURTIN, PAUL	MFS MUNICIPAL INCOME FUND-A	0.00	3.26	0.00	3.26	70.0	2.28
	ACCOUNT #: 08191264373	BUY 0 Units @0.0000						
04/02/2018	***-**-531I - KRUCKENBERG,	PUTNAM EQUITY INCOME FUND-A	0.00	0.49	0.00	0.49	70.0	0.34
	ACCOUNT #: 00490592058	BUY 0 Units @0.0000						
04/02/2018	***-**-383I - PLAN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	13.55	0.00	13.55	70.0	9.49
	ACCOUNT #: 00550577593	BUY 0 Units @0.0000						
03/31/2018	***-**-819I - COVEY, C	VALUE FUND A	0.00	38.19	0.00	38.19	70.0	26.73
	ACCOUNT #: 00500445410	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$591.49	\$0.00	\$591.49		\$414.05
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
04/16/2018	***-**-0235 - RKS, PLUMBING &	JOHN HANCOCK VA TRAILS	0.00	883.20	0.00	883.20	70.0	618.24
	ACCOUNT #: 26983	BUY 1 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$883.20	\$0.00	\$883.20		\$618.24
		*** BROKER TRANSACTIONS TOTAL ***	\$2,131.91	\$3,309.60	\$0.00	\$3,309.60		\$2,316.72



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$2,316.72
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$85.16
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,401.88
						Year-to-date Earnings		\$28,917.04