



Concorde Investment Services, LLC

04/03/2018

Page No. 1

COMMISSION STATEMENT

March 31, 2018

JEFFREY, K119 KAUFMAN
801 Gray Oak Drive

BROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/07/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	466.46	4.66	0.00	4.66	90.0	4.19
03/07/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	1,230.76	12.31	0.00	12.31	90.0	11.08
03/08/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	9,007.22	90.07	0.00	90.07	90.0	81.06
03/19/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	466.46	4.66	0.00	4.66	90.0	4.19
03/19/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	1,230.76	12.31	0.00	12.31	90.0	11.08
03/07/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	87.10	0.87	0.00	0.87	90.0	0.78
03/07/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	145.17	1.45	0.00	1.45	90.0	1.31
03/07/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
03/07/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
03/19/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	92.49	0.92	0.00	0.92	90.0	0.83
03/19/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	154.14	1.54	0.00	1.54	90.0	1.39
03/19/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
03/19/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
03/07/2018	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	45.64	0.46	0.00	0.46	90.0	0.41
03/07/2018	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	45.64	0.46	0.00	0.46	90.0	0.41
03/07/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	28.36	0.28	0.00	0.28	90.0	0.25
03/07/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	28.36	0.28	0.00	0.28	90.0	0.25
03/07/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
03/07/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
03/19/2018	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	25.75	0.26	0.00	0.26	90.0	0.23
03/19/2018	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	25.75	0.26	0.00	0.26	90.0	0.23
03/19/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	29.55	0.30	0.00	0.30	90.0	0.27
03/19/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	29.55	0.30	0.00	0.30	90.0	0.27
03/19/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
03/19/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
03/07/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.99	0.41	0.00	0.41	90.0	0.37

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/07/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.99	0.41	0.00	0.41	90.0	0.37
03/19/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	42.87	0.43	0.00	0.43	90.0	0.39
03/19/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	42.87	0.43	0.00	0.43	90.0	0.39
03/07/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN BALANCED A	296.19	2.96	0.00	2.96	90.0	2.66
03/07/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN BALANCED A	987.30	9.87	0.00	9.87	90.0	8.88
03/07/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	373.33	3.73	0.00	3.73	90.0	3.36
03/07/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	160.00	1.60	0.00	1.60	90.0	1.44
03/07/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	75.00	0.75	0.00	0.75	90.0	0.68
03/07/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	75.00	0.75	0.00	0.75	90.0	0.68
03/08/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN BALANCED A	5,605.59	56.06	0.00	56.06	90.0	50.45
03/13/2018	MCKENZIE, BYRON	AM FUNDS AMERICAN BALANCED A	25,000.00	937.50	0.00	937.50	90.0	843.75
03/19/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN BALANCED A	296.19	2.96	0.00	2.96	90.0	2.66
03/19/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN BALANCED A	987.30	9.87	0.00	9.87	90.0	8.88
03/19/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	160.00	1.60	0.00	1.60	90.0	1.44
03/19/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	373.33	3.73	0.00	3.73	90.0	3.36
03/19/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	72.39	0.72	0.00	0.72	90.0	0.65
03/19/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	72.39	0.72	0.00	0.72	90.0	0.65
03/07/2018	TWEHOUS, JANEL	AM FUNDS AMERICAN BALANCED C	2,000.00	20.00	0.00	20.00	90.0	18.00
03/07/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	63.47	0.63	0.00	0.63	90.0	0.57
03/07/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	211.57	2.12	0.00	2.12	90.0	1.91
03/07/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	24.72	0.25	0.00	0.25	90.0	0.23
03/07/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	65.92	0.66	0.00	0.66	90.0	0.59
03/08/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	1,201.20	12.01	0.00	12.01	90.0	10.81
03/19/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	63.47	0.63	0.00	0.63	90.0	0.57
03/19/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	211.57	2.12	0.00	2.12	90.0	1.91
03/19/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	24.72	0.25	0.00	0.25	90.0	0.23
03/19/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	65.92	0.66	0.00	0.66	90.0	0.59
03/07/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	173.66	1.74	0.00	1.74	90.0	1.57
03/07/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	173.66	1.74	0.00	1.74	90.0	1.57
03/07/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	44.83	0.45	0.00	0.45	90.0	0.41
03/07/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	44.83	0.45	0.00	0.45	90.0	0.41
03/19/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	195.84	1.96	0.00	1.96	90.0	1.76
03/19/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	195.84	1.96	0.00	1.96	90.0	1.76

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/19/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	45.11	0.45	0.00	0.45	90.0	0.41
03/19/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	45.11	0.45	0.00	0.45	90.0	0.41
03/07/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	22.09	0.22	0.00	0.22	90.0	0.20
03/07/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	44.19	0.44	0.00	0.44	90.0	0.40
03/19/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	22.09	0.22	0.00	0.22	90.0	0.20
03/19/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	44.19	0.44	0.00	0.44	90.0	0.40
03/07/2018	KILEY, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	63.47	0.63	0.00	0.63	90.0	0.57
03/07/2018	KILEY, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	211.56	2.12	0.00	2.12	90.0	1.91
03/08/2018	KILEY, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	1,201.20	12.01	0.00	12.01	90.0	10.81
03/19/2018	KILEY, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	63.47	0.63	0.00	0.63	90.0	0.57
03/19/2018	KILEY, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	211.56	2.12	0.00	2.12	90.0	1.91
03/05/2018	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND A	200.00	10.00	0.00	10.00	90.0	9.00
03/05/2018	GUIER, PAULA	AM FUNDS EUROPACIFIC GROWTH FUND A	1,300.00	55.25	0.00	55.25	90.0	49.73
03/05/2018	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	188.46	3.77	0.00	3.77	90.0	3.39
03/07/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	18.54	0.19	0.00	0.19	90.0	0.17
03/07/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	49.44	0.49	0.00	0.49	90.0	0.44
03/07/2018	TWEHOUS, TREVOR	AM FUNDS EUROPACIFIC GROWTH FUND A	330.00	16.50	0.00	16.50	90.0	14.85
03/16/2018	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	188.46	3.02	0.00	3.02	90.0	2.72
03/19/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	18.54	0.19	0.00	0.19	90.0	0.17
03/19/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	49.44	0.49	0.00	0.49	90.0	0.44
03/05/2018	GAMM, SKYLAR	AM FUNDS EUROPACIFIC GROWTH FUND C	300.00	3.00	0.00	3.00	90.0	2.70
03/07/2018	TWEHOUS, JANEL	AM FUNDS EUROPACIFIC GROWTH FUND C	500.00	5.00	0.00	5.00	90.0	4.50
03/13/2018	MCKENZIE, BYRON	AM FUNDS GLOBAL BALANCED A	25,000.00	937.50	0.00	937.50	90.0	843.75
03/07/2018	TWEHOUS, JANEL	AM FUNDS GLOBAL BALANCED C	1,000.00	10.00	0.00	10.00	90.0	9.00
03/07/2018	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	947.16	9.47	0.00	9.47	90.0	8.52
03/07/2018	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	321.00	3.21	0.00	3.21	90.0	2.89
03/07/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	54.97	0.55	0.00	0.55	90.0	0.50
03/07/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	54.97	0.55	0.00	0.55	90.0	0.50
03/07/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	76.47	0.76	0.00	0.76	90.0	0.68
03/07/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	76.47	0.76	0.00	0.76	90.0	0.68
03/08/2018	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	3,426.78	34.27	0.00	34.27	90.0	30.84
03/19/2018	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	321.00	3.21	0.00	3.21	90.0	2.89
03/19/2018	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	947.16	9.47	0.00	9.47	90.0	8.52

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/19/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	65.86	0.66	0.00	0.66	90.0	0.59
03/19/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	65.86	0.66	0.00	0.66	90.0	0.59
03/19/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	60.06	0.60	0.00	0.60	90.0	0.54
03/19/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	60.06	0.60	0.00	0.60	90.0	0.54
03/05/2018	GUIER, PAULA	AM FUNDS GROWTH FUND OF AMERICA A	1,300.00	55.25	0.00	55.25	90.0	49.73
03/05/2018	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	376.92	7.54	0.00	7.54	90.0	6.79
03/05/2018	LEHMAN, COLBY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
03/07/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	44.19	0.44	0.00	0.44	90.0	0.40
03/07/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	88.37	0.88	0.00	0.88	90.0	0.79
03/07/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	15.22	0.15	0.00	0.15	90.0	0.14
03/07/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	55.80	0.56	0.00	0.56	90.0	0.50
03/07/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	18.54	0.19	0.00	0.19	90.0	0.17
03/07/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	49.44	0.49	0.00	0.49	90.0	0.44
03/07/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.76	0.31	0.00	0.31	90.0	0.28
03/07/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.76	0.31	0.00	0.31	90.0	0.28
03/07/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	63.80	0.64	0.00	0.64	90.0	0.58
03/07/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	63.80	0.64	0.00	0.64	90.0	0.58
03/07/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	45.31	0.45	0.00	0.45	90.0	0.41
03/07/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	45.31	0.45	0.00	0.45	90.0	0.41
03/07/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	8.94	0.09	0.00	0.09	90.0	0.08
03/07/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.10	0.00	0.10	90.0	0.09
03/07/2018	TWEHOUS, TREVOR	AM FUNDS GROWTH FUND OF AMERICA A	340.00	17.00	0.00	17.00	90.0	15.30
03/07/2018	TWEHOUS, LOGAN	AM FUNDS GROWTH FUND OF AMERICA A	340.00	17.00	0.00	17.00	90.0	15.30
03/13/2018	GUIER, CLINT	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
03/13/2018	GUIER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
03/15/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
03/15/2018	GRIFFIN, SHAWN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
03/16/2018	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	376.92	6.03	0.00	6.03	90.0	5.43
03/19/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	44.19	0.44	0.00	0.44	90.0	0.40
03/19/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	88.37	0.88	0.00	0.88	90.0	0.79
03/19/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	15.22	0.15	0.00	0.15	90.0	0.14
03/19/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	55.80	0.56	0.00	0.56	90.0	0.50
03/19/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	18.54	0.19	0.00	0.19	90.0	0.17

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/19/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	49.44	0.49	0.00	0.49	90.0	0.44
03/19/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.76	0.31	0.00	0.31	90.0	0.28
03/19/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.76	0.31	0.00	0.31	90.0	0.28
03/19/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	63.93	0.64	0.00	0.64	90.0	0.58
03/19/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	63.93	0.64	0.00	0.64	90.0	0.58
03/19/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	46.41	0.46	0.00	0.46	90.0	0.41
03/19/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	46.41	0.46	0.00	0.46	90.0	0.41
03/22/2018	KAUFMAN, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.88	0.00	1.88	90.0	1.69
03/22/2018	KAUFMAN, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.88	0.00	1.88	90.0	1.69
03/22/2018	KAUFMAN, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.88	0.00	1.88	90.0	1.69
03/22/2018	KAUFMAN, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.88	0.00	1.88	90.0	1.69
03/22/2018	THOMAS, VIRGIL	AM FUNDS GROWTH FUND OF AMERICA A	22.12	1.11	0.00	1.11	90.0	1.00
03/22/2018	THOMAS, VIRGIL	AM FUNDS GROWTH FUND OF AMERICA A	29.49	1.47	0.00	1.47	90.0	1.32
03/22/2018	THOMAS, VIRGIL	AM FUNDS GROWTH FUND OF AMERICA A	21.06	1.05	0.00	1.05	90.0	0.95
03/22/2018	THOMAS, VIRGIL	AM FUNDS GROWTH FUND OF AMERICA A	28.08	1.40	0.00	1.40	90.0	1.26
03/05/2018	GUIER, PAULA	AM FUNDS ICA A	1,300.00	55.25	0.00	55.25	90.0	49.73
03/07/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	61.20	0.61	0.00	0.61	90.0	0.55
03/07/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	244.80	2.45	0.00	2.45	90.0	2.21
03/19/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	61.20	0.61	0.00	0.61	90.0	0.55
03/19/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	244.80	2.45	0.00	2.45	90.0	2.21
03/07/2018	TWEHOUS, JANEL	AM FUNDS ICA C	1,000.00	10.00	0.00	10.00	90.0	9.00
03/07/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
03/07/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
03/19/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
03/19/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
03/05/2018	GUIER, PAULA	AM FUNDS NEW ECONOMY A	1,300.00	55.25	0.00	55.25	90.0	49.73
03/05/2018	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	188.46	3.77	0.00	3.77	90.0	3.39
03/05/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	50.00	2.50	0.00	2.50	90.0	2.25
03/07/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.77	0.15	0.00	0.15	90.0	0.14
03/07/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	54.16	0.54	0.00	0.54	90.0	0.49
03/07/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	51.23	0.51	0.00	0.51	90.0	0.46
03/07/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	85.39	0.85	0.00	0.85	90.0	0.77
03/12/2018	GUIER, GRACIE	AM FUNDS NEW ECONOMY A	125.00	2.50	0.00	2.50	90.0	2.25

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/16/2018	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	188.46	3.02	0.00	3.02	90.0	2.72
03/19/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.77	0.15	0.00	0.15	90.0	0.14
03/19/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	54.16	0.54	0.00	0.54	90.0	0.49
03/19/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	41.54	0.42	0.00	0.42	90.0	0.38
03/19/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	69.23	0.69	0.00	0.69	90.0	0.62
03/07/2018	TWEHOUS, JANEL	AM FUNDS NEW ECONOMY C	500.00	5.00	0.00	5.00	90.0	4.50
03/07/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	44.19	0.44	0.00	0.44	90.0	0.40
03/07/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	88.37	0.88	0.00	0.88	90.0	0.79
03/07/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.77	0.15	0.00	0.15	90.0	0.14
03/07/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	54.16	0.54	0.00	0.54	90.0	0.49
03/07/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.86	0.30	0.00	0.30	90.0	0.27
03/07/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.86	0.30	0.00	0.30	90.0	0.27
03/07/2018	TWEHOUS, TREVOR	AM FUNDS NEW PERSPECTIVE A	330.00	16.50	0.00	16.50	90.0	14.85
03/07/2018	TWEHOUS, LOGAN	AM FUNDS NEW PERSPECTIVE A	330.00	16.50	0.00	16.50	90.0	14.85
03/19/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	44.19	0.44	0.00	0.44	90.0	0.40
03/19/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	88.37	0.88	0.00	0.88	90.0	0.79
03/19/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.77	0.15	0.00	0.15	90.0	0.14
03/19/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	54.16	0.54	0.00	0.54	90.0	0.49
03/19/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.86	0.30	0.00	0.30	90.0	0.27
03/19/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.86	0.30	0.00	0.30	90.0	0.27
03/05/2018	GUIER, PAULA	AM FUNDS NEW WORLD A	1,300.00	55.25	0.00	55.25	90.0	49.73
03/05/2018	CROWLEY, MICHA	AM FUNDS NEW WORLD A	188.46	3.77	0.00	3.77	90.0	3.39
03/07/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.86	0.30	0.00	0.30	90.0	0.27
03/07/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.86	0.30	0.00	0.30	90.0	0.27
03/07/2018	TWEHOUS, LOGAN	AM FUNDS NEW WORLD A	330.00	16.50	0.00	16.50	90.0	14.85
03/12/2018	GUIER, CASH	AM FUNDS NEW WORLD A	125.00	2.50	0.00	2.50	90.0	2.25
03/16/2018	CROWLEY, MICHA	AM FUNDS NEW WORLD A	188.46	3.02	0.00	3.02	90.0	2.72
03/19/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.86	0.30	0.00	0.30	90.0	0.27
03/19/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.86	0.30	0.00	0.30	90.0	0.27
03/07/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	32.33	0.32	0.00	0.32	90.0	0.29
03/07/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	32.33	0.32	0.00	0.32	90.0	0.29
03/08/2018	SEYER, MARY	AM FUNDS SMALLCAP WORLD A	1,455.55	14.56	0.00	14.56	90.0	13.10
03/12/2018	GUIER, KNOX	AM FUNDS SMALLCAP WORLD A	175.00	3.50	0.00	3.50	90.0	3.15

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/19/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	117.20	1.17	0.00	1.17	90.0	1.05
03/19/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	117.20	1.17	0.00	1.17	90.0	1.05
03/07/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	36.82	0.37	0.00	0.37	90.0	0.33
03/07/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	73.64	0.74	0.00	0.74	90.0	0.67
03/19/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	36.82	0.37	0.00	0.37	90.0	0.33
03/19/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	73.64	0.74	0.00	0.74	90.0	0.67
03/05/2018	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	75.00	1.50	0.00	1.50	90.0	1.35
* MUTUAL FUNDS SUBTOTAL *			\$107,199.88	\$2,796.88	\$0.00	\$2,796.88		\$2,517.32
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
03/05/2018	ANDERSON, CHERRI	PAC LIFE VUL	20,524.59	20.52	0.00	20.52	90.0	18.47
03/05/2018	BROCKSMITH, RACHEL	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
03/05/2018	STEWART, CHAD	PAC LIFE VUL	420.00	8.40	0.00	8.40	90.0	7.56
03/06/2018	GUIER, CLINT	PAC LIFE VUL	74.29	0.74	0.00	0.74	90.0	0.67
03/06/2018	GUIER, CLINT	PAC LIFE VUL	100.00	3.00	0.00	3.00	90.0	2.70
03/06/2018	ELLIOTT, PATRICIA	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
03/07/2018	DANIELS, BEVERLY	PAC LIFE VUL	100.00	1.00	0.00	1.00	90.0	0.90
03/07/2018	GUIER, GREGORY	PAC LIFE VUL	185.00	1.85	0.00	1.85	90.0	1.67
03/07/2018	CALLIS, CHARLES	PAC LIFE VUL	416.67	8.33	0.00	8.33	90.0	7.50
03/07/2018	HILL, MIKE	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
03/12/2018	ANDING, MARY DIANE	PAC LIFE VUL	1,400.00	28.00	0.00	28.00	90.0	25.20
03/12/2018	GRIFFIN, SHAWN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
03/12/2018	REGAN, WILLIAM	PAC LIFE VUL	150.00	1.50	0.00	1.50	90.0	1.35
03/13/2018	REGAN, WILLIAM	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
03/14/2018	REDDICK, RYAN	PAC LIFE VUL	4,377.24	8.75	0.00	8.75	90.0	7.88
03/14/2018	MURRAY, JEFFREY	PAC LIFE VUL	584.57	11.69	0.00	11.69	90.0	10.52
03/16/2018	STALEY, MICHAELA	PAC LIFE VUL	5,448.28	10.90	0.00	10.90	90.0	9.81
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$34,480.64	\$120.68	\$0.00	\$120.68		\$108.63
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/12/2018	GROFF, CHRISTINE	PAC LIFE PACIFIC CHOICE	0.00	85.78	0.00	85.78	90.0	77.20
03/13/2018	MCKENZIE, BYRON	PAC LIFE PACIFIC CHOICE	0.00	3,500.00	0.00	3,500.00	90.0	3,150.00
03/16/2018	GROFF, CHRISTINE	PAC LIFE PACIFIC CHOICE	0.00	817.87	0.00	817.87	90.0	736.08
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$4,403.65	\$0.00	\$4,403.65		\$3,963.28

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<u>SPLIT TRANSACTIONS</u>								
*** DIRECT TRANSACTIONS TOTAL ***			\$141,680.52	\$7,321.21	\$0.00	\$7,321.21		\$6,589.23

SPLIT TRANSACTIONS**BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349**

03/07/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	19.85	0.11	0.00	0.11	90.0	0.10
03/07/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	19.85	0.11	0.00	0.11	90.0	0.10
03/12/2018	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	800.00	22.00	0.00	22.00	90.0	19.80
03/15/2018	GROFF, CHRISTINE	AM FUNDS AMERICAN BALANCED A	30,000.00	453.75	0.00	453.75	90.0	408.38
03/16/2018	MCMILLION, CORENA	AM FUNDS AMERICAN BALANCED A	1,000.00	23.37	0.00	23.37	90.0	21.03
03/19/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	20.24	0.11	0.00	0.11	90.0	0.10
03/19/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	20.24	0.11	0.00	0.11	90.0	0.10
03/07/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.15	0.00	0.15	90.0	0.14
03/07/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.15	0.00	0.15	90.0	0.14
03/07/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	33.83	0.19	0.00	0.19	90.0	0.17
03/07/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	33.83	0.19	0.00	0.19	90.0	0.17
03/13/2018	LARA, MONA	AM FUNDS EUROPACIFIC GROWTH FUND A	2,820.04	65.92	0.00	65.92	90.0	59.33
03/19/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.15	0.00	0.15	90.0	0.14
03/19/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.15	0.00	0.15	90.0	0.14
03/19/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	56.88	0.31	0.00	0.31	90.0	0.28
03/19/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	56.88	0.31	0.00	0.31	90.0	0.28
03/05/2018	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
03/07/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	57.59	0.32	0.00	0.32	90.0	0.29
03/07/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	57.59	0.32	0.00	0.32	90.0	0.29
03/07/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	48.66	0.27	0.00	0.27	90.0	0.24
03/07/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	48.66	0.27	0.00	0.27	90.0	0.24
03/07/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.10	0.00	0.10	90.0	0.09
03/07/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.00	0.13	0.00	0.13	90.0	0.12
03/07/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.26	0.00	0.26	90.0	0.23
03/07/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.26	0.00	0.26	90.0	0.23
03/07/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
03/07/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
03/07/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.16	0.00	0.16	90.0	0.14
03/07/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.16	0.00	0.16	90.0	0.14
03/07/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	13.66	0.08	0.00	0.08	90.0	0.07

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
03/07/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	13.66	0.08	0.00	0.08	90.0	0.07
03/07/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	47.75	0.26	0.00	0.26	90.0	0.23
03/07/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	47.75	0.26	0.00	0.26	90.0	0.23
03/07/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	38.93	0.21	0.00	0.21	90.0	0.19
03/07/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	38.93	0.21	0.00	0.21	90.0	0.19
03/07/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.27	0.00	0.27	90.0	0.24
03/07/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.27	0.00	0.27	90.0	0.24
03/07/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	33.68	0.19	0.00	0.19	90.0	0.17
03/07/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	33.68	0.19	0.00	0.19	90.0	0.17
03/07/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	38.89	0.21	0.00	0.21	90.0	0.19
03/07/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	38.89	0.21	0.00	0.21	90.0	0.19
03/07/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	24.59	0.14	0.00	0.14	90.0	0.13
03/07/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	57.37	0.31	0.00	0.31	90.0	0.28
03/07/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	10.36	0.05	0.00	0.05	90.0	0.05
03/07/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	10.36	0.05	0.00	0.05	90.0	0.05
03/07/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	33.50	0.19	0.00	0.19	90.0	0.17
03/07/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	33.50	0.19	0.00	0.19	90.0	0.17
03/07/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	215.35	1.18	0.00	1.18	90.0	1.06
03/07/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	215.35	1.18	0.00	1.18	90.0	1.06
03/07/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.24	0.00	0.24	90.0	0.22
03/07/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.24	0.00	0.24	90.0	0.22
03/07/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.17	0.00	0.17	90.0	0.15
03/07/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.17	0.00	0.17	90.0	0.15
03/07/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.20	0.00	0.20	90.0	0.18
03/07/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.20	0.00	0.20	90.0	0.18
03/07/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	34.58	0.19	0.00	0.19	90.0	0.17
03/07/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	34.58	0.19	0.00	0.19	90.0	0.17
03/07/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	11.16	0.06	0.00	0.06	90.0	0.05
03/07/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	11.16	0.06	0.00	0.06	90.0	0.05
03/12/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	6.30	0.00	6.30	90.0	5.67
03/13/2018	LARA, MONA	AM FUNDS GROWTH FUND OF AMERICA A	2,820.03	65.92	0.00	65.92	90.0	59.33
03/15/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
03/15/2018	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
03/19/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	46.63	0.26	0.00	0.26	90.0	0.23
03/19/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	46.63	0.26	0.00	0.26	90.0	0.23
03/19/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	65.61	0.36	0.00	0.36	90.0	0.32
03/19/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	65.61	0.36	0.00	0.36	90.0	0.32
03/19/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	20.25	0.11	0.00	0.11	90.0	0.10
03/19/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	27.00	0.15	0.00	0.15	90.0	0.14
03/19/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	7.32	0.04	0.00	0.04	90.0	0.04
03/19/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
03/19/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	56.29	0.31	0.00	0.31	90.0	0.28
03/19/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	56.29	0.31	0.00	0.31	90.0	0.28
03/19/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
03/19/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
03/19/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.16	0.00	0.16	90.0	0.14
03/19/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.16	0.00	0.16	90.0	0.14
03/19/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	23.04	0.13	0.00	0.13	90.0	0.12
03/19/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	23.04	0.13	0.00	0.13	90.0	0.12
03/19/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	50.48	0.27	0.00	0.27	90.0	0.24
03/19/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	50.48	0.27	0.00	0.27	90.0	0.24
03/19/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	40.43	0.22	0.00	0.22	90.0	0.20
03/19/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	40.43	0.22	0.00	0.22	90.0	0.20
03/19/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	32.87	0.18	0.00	0.18	90.0	0.16
03/19/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	32.87	0.18	0.00	0.18	90.0	0.16
03/19/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	39.68	0.22	0.00	0.22	90.0	0.20
03/19/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	39.68	0.22	0.00	0.22	90.0	0.20
03/19/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	49.73	0.27	0.00	0.27	90.0	0.24
03/19/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	21.31	0.12	0.00	0.12	90.0	0.11
03/19/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	9.43	0.05	0.00	0.05	90.0	0.05
03/19/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	9.43	0.05	0.00	0.05	90.0	0.05
03/19/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	39.68	0.22	0.00	0.22	90.0	0.20
03/19/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	39.68	0.22	0.00	0.22	90.0	0.20
03/19/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	49.96	0.27	0.00	0.27	90.0	0.24
03/19/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	49.96	0.27	0.00	0.27	90.0	0.24
03/19/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.24	0.00	0.24	90.0	0.22

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
03/19/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.24	0.00	0.24	90.0	0.22
03/19/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	38.80	0.21	0.00	0.21	90.0	0.19
03/19/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	38.80	0.21	0.00	0.21	90.0	0.19
03/19/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	32.49	0.18	0.00	0.18	90.0	0.16
03/19/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	32.49	0.18	0.00	0.18	90.0	0.16
03/19/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.20	0.00	0.20	90.0	0.18
03/19/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.20	0.00	0.20	90.0	0.18
03/19/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	33.81	0.19	0.00	0.19	90.0	0.17
03/19/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	33.81	0.19	0.00	0.19	90.0	0.17
03/19/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	10.89	0.06	0.00	0.06	90.0	0.05
03/19/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	10.89	0.06	0.00	0.06	90.0	0.05
03/20/2018	BAILEY, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	60.00	1.65	0.00	1.65	90.0	1.49
03/20/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
03/21/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.37	0.00	1.37	90.0	1.23
03/22/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	23.91	0.66	0.00	0.66	90.0	0.59
03/22/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	23.91	0.66	0.00	0.66	90.0	0.59
03/22/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	21.11	0.58	0.00	0.58	90.0	0.52
03/22/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	21.11	0.58	0.00	0.58	90.0	0.52
03/07/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	44.89	0.25	0.00	0.25	90.0	0.23
03/07/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	149.63	0.82	0.00	0.82	90.0	0.74
03/19/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	44.50	0.25	0.00	0.25	90.0	0.23
03/19/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	148.33	0.81	0.00	0.81	90.0	0.73
03/07/2018	ZELLMER, RIANNON	AM FUNDS ICA A	14.11	0.08	0.00	0.08	90.0	0.07
03/07/2018	ZELLMER, RIANNON	AM FUNDS ICA A	14.11	0.08	0.00	0.08	90.0	0.07
03/07/2018	WAHLIG, KACEY	AM FUNDS ICA A	19.86	0.11	0.00	0.11	90.0	0.10
03/07/2018	WAHLIG, KACEY	AM FUNDS ICA A	19.86	0.11	0.00	0.11	90.0	0.10
03/07/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.10	0.00	0.10	90.0	0.09
03/07/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.10	0.00	0.10	90.0	0.09
03/19/2018	ZELLMER, RIANNON	AM FUNDS ICA A	13.14	0.07	0.00	0.07	90.0	0.06
03/19/2018	ZELLMER, RIANNON	AM FUNDS ICA A	13.14	0.07	0.00	0.07	90.0	0.06
03/19/2018	WAHLIG, KACEY	AM FUNDS ICA A	20.25	0.11	0.00	0.11	90.0	0.10
03/19/2018	WAHLIG, KACEY	AM FUNDS ICA A	20.25	0.11	0.00	0.11	90.0	0.10
03/21/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	1.37	0.00	1.37	90.0	1.23

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
03/05/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	60.00	1.65	0.00	1.65	90.0	1.49
03/07/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	46.40	0.25	0.00	0.25	90.0	0.23
03/07/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	46.40	0.25	0.00	0.25	90.0	0.23
03/19/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	50.31	0.27	0.00	0.27	90.0	0.24
03/19/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	50.31	0.27	0.00	0.27	90.0	0.24
03/07/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.68	0.00	0.68	90.0	0.61
03/07/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	848.58	4.67	0.00	4.67	90.0	4.20
03/07/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	21.73	0.12	0.00	0.12	90.0	0.11
03/07/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	21.73	0.12	0.00	0.12	90.0	0.11
03/08/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	7,459.95	41.03	0.00	41.03	90.0	36.93
03/13/2018	LARA, MONA	AM FUNDS NEW PERSPECTIVE A	2,820.03	65.92	0.00	65.92	90.0	59.33
03/19/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.68	0.00	0.68	90.0	0.61
03/19/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	848.58	4.67	0.00	4.67	90.0	4.20
03/19/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	48.29	0.26	0.00	0.26	90.0	0.23
03/19/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	48.29	0.26	0.00	0.26	90.0	0.23
03/07/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.00	0.10	0.00	0.10	90.0	0.09
03/07/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.00	0.13	0.00	0.13	90.0	0.12
03/07/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	32.90	0.18	0.00	0.18	90.0	0.16
03/07/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	54.83	0.30	0.00	0.30	90.0	0.27
03/19/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	20.25	0.11	0.00	0.11	90.0	0.10
03/19/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	27.00	0.15	0.00	0.15	90.0	0.14
03/19/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	35.93	0.20	0.00	0.20	90.0	0.18
03/19/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	59.88	0.33	0.00	0.33	90.0	0.30
03/07/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.10	0.00	0.10	90.0	0.09
03/07/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.10	0.00	0.10	90.0	0.09
03/07/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.15	0.00	0.15	90.0	0.14
03/07/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.15	0.00	0.15	90.0	0.14
03/12/2018	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	200.00	5.50	0.00	5.50	90.0	4.95
03/13/2018	LARA, MONA	AM FUNDS SMALLCAP WORLD A	2,820.03	65.92	0.00	65.92	90.0	59.33
03/15/2018	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	1.37	0.00	1.37	90.0	1.23
03/19/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.15	0.00	0.15	90.0	0.14
03/19/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.15	0.00	0.15	90.0	0.14
* JEFF/JASON, K148 KAUFMAN/KEMBLE SUBTOTAL *			\$58,668.71	\$874.61	\$0.00	\$874.61		\$787.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>								
*** SPLIT TRANSACTIONS TOTAL ***			\$58,668.71	\$874.61	\$0.00	\$874.61		\$787.18

<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: K146-JASON KEMBLE #348</u>								
03/12/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	1.70
03/12/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	0.85
03/12/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	50.00	2.13	0.00	2.13	90.0	0.85
03/12/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	4.25	0.00	4.25	90.0	1.70
03/12/2018	GROFF, CHRISTINE	PAC LIFE PACIFIC CHOICE	0.00	85.78	0.00	85.78	90.0	34.31
03/16/2018	GROFF, CHRISTINE	PAC LIFE PACIFIC CHOICE	0.00	817.87	0.00	817.87	90.0	327.15
* K146-JASON KEMBLE SUBTOTAL *			\$300.00	\$916.41	\$0.00	\$916.41		\$366.56
*** OVERRIDE TRANSACTIONS TOTAL ***			\$300.00	\$916.41	\$0.00	\$916.41		\$366.56

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$6,589.23
Split Transactions	\$787.18
Override Transactions	\$366.56
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$7,742.97
Year-to-date Gross	\$72,487.79
Year-to-date Earnings	\$70,184.56

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