

COMMISSION STATEMENT

September 29, 2017

JAY TOMLINSON
474 GREGORY AVENUEBROKER #: 36
BRANCH: HOME OFFICE

WEEHAWKEN, NJ 07086

COMMISSION STATEMENT for JAY TOMLINSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/25/2017	QGV001928	FEDERATED KAUFMANN	U S SILICA HOLDINGS INC	B	8,312,422.40	10,240.00	10.00	10,230.00	70.0 7,158.00
09/25/2017	QGV001936	FEDERATED KAUFMANN	U S SILICA HOLDINGS INC	B	211,057.60	260.00	10.00	250.00	70.0 172.00
09/20/2017	QGV004229	INTERACTIVE BROKERS	IMMUNOMEDICS INC COM	S	-330,956.70	580.00	10.00	570.00	70.0 396.00
09/25/2017	QGV006463	FEDERATED INVESTORS	U S SILICA HOLDINGS INC	B	214,304.64	264.00	10.00	254.00	70.0 174.80
09/25/2017	QGV006471	WORKS, ILLINOIS	U S SILICA HOLDINGS INC	B	127,446.32	157.00	10.00	147.00	70.0 99.90
09/25/2017	QGV006497	CLOVER SMALL VALUE,	U S SILICA HOLDINGS INC	B	546,314.48	673.00	10.00	663.00	70.0 461.10
09/07/2017	QGW001778	INTERACTIVE BROKERS	MERRIMACK PHARMACEUTICALS INC COM N B		39,975.89	67.00	10.00	57.00	70.0 36.90
09/27/2017	QGW001778	INTERACTIVE BROKERS	CANADIAN DOLLAR CURRENCY	S	1,583.26	2.59	10.00	-7.41	70.0 -8.19
09/15/2017	QGW029704	ROJAS, RAFAEL	ARRAY BIOPHARMA INC	S	-5,500.00	126.06	10.00	116.06	70.0 78.24
09/15/2017	QGW029704	ROJAS, RAFAEL	ARRAY BIOPHARMA INC	S	5,500.00	-126.06	-10.00	-116.06	70.0 -78.24 C
09/15/2017	QGW029704	ROJAS, RAFAEL	ARRAY BIOPHARMA INC	S	-5,500.00	126.06	10.00	116.06	70.0 78.24
09/22/2017	QGW029704	ROJAS, RAFAEL	CONATUS PHARMACEUTICALS INC COM	S	-5,370.80	50.00	10.00	40.00	70.0 25.00
09/22/2017	QGW029704	ROJAS, RAFAEL	EXELIXIS INC	B	24,477.00	50.00	10.00	40.00	70.0 25.00
* STOCKS SUBTOTAL *				\$9,135,754.09	\$12,469.65	\$110.00	\$12,359.65		\$8,618.75
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/14/2017	QGW029704	ROJAS, RAFAEL	PUT 100 ARRAY BIOPHARMA INC COM EXP B		600.00	75.00	10.00	65.00	70.0 42.50
09/15/2017	QGW029704	ROJAS, RAFAEL	PUT 100 ARRAY BIOPHARMA INC COM EXP S		-200.00	50.00	10.00	40.00	70.0 25.00
* OPTIONS SUBTOTAL *				\$400.00	\$125.00	\$20.00	\$105.00		\$67.50
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
/ /	VARIOUS, TOMLINSON	<<ENTER PRODUCT DESCRIPTION>>	B	0.00	325.00	0.00	325.00	70.0	227.50
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$325.00	\$0.00	\$325.00		\$227.50
*** BROKER TRANSACTIONS TOTAL ***				\$9,136,154.09	\$12,919.65	\$130.00	\$12,789.65		\$8,913.75

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
09/29/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
09/29/2017		OTHER: OTHER		0.00	0.00	0.00	0.00		-154.44
09/29/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
09/29/2017		OTHER: OTHER-TICKET CHARGES FOR BRI		0.00	0.00	0.00	0.00		-40.00
09/29/2017		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-425.00
09/29/2017		:		0.00	0.00	0.00	0.00		0.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-647.44</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$8,913.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-647.44
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$25,897.35
FINRA Assessment	\$-15.74
SIPC Assessment	\$-31.49

Check Amount	\$34,116.43
Year-to-date Earnings	\$29,236.00