

**COMMISSION STATEMENT****July 15, 2018**

ROY, P123 PFLEGER II
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: P123
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/18/2018	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.41	0.00	1.41	75.0	1.06
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.41	\$0.00	\$1.41		\$1.06
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$1.41	\$0.00	\$1.41		\$1.06
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254</u>								
06/30/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	249,346.75	36.28	0.00	36.28	75.0	27.21
06/21/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.09	0.00	0.09	75.0	0.07
06/18/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.22	0.00	0.22	75.0	0.17
06/22/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.62	0.00	0.62	75.0	0.47
06/22/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.55	0.00	0.55	75.0	0.41
06/22/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	3.02	0.00	3.02	75.0	2.27
06/22/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.68	0.00	2.68	75.0	2.01
06/22/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.22	0.00	0.22	75.0	0.17
06/22/2018	PFLEGER, CLIFFORD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.15	0.00	0.15	75.0	0.11
06/15/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.37	0.00	0.37	75.0	0.28
06/15/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.63	0.00	1.63	75.0	1.22
* DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF SUBTOTAL *			\$249,346.75	\$45.83	\$0.00	\$45.83		\$34.39
<u>BROKER: DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K #381</u>								
06/22/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.63	0.00	0.63	75.0	0.47
06/15/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.77	0.00	1.77	75.0	1.33
* DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K SUBTOTAL *			\$0.00	\$2.40	\$0.00	\$2.40		\$1.80
*** SPLIT TRANSACTIONS TOTAL ***			\$249,346.75	\$48.23	\$0.00	\$48.23		\$36.19

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
07/15/2018		*EO INS: CURRENT MONTH PFLEGER R137	0.00					-105.00
07/15/2018		*FINRA: KY INDIVIDUAL REG. FEE-PFLEGER,ROY,VINCENT	0.00					-50.00
07/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.40
07/15/2018		*TECHNOLOGY: CURRENT MONTH PFLEGER R137	0.00					-96.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-252.65

BROKER COMMISSION TOTALS

Broker Transactions	\$1.06
Split Transactions	\$36.19
Override Transactions	\$0.00
Adjustments	\$-252.65
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-483.17
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-698.57
Year-to-date Gross	\$92,928.14
Year-to-date Earnings	\$69,661.43

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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