

All Companies  
**STATEMENT**  
April 20, 2018

KATIE PEPIN  
1990 Main Street #750

BROKER #: 150  
BRANCH:

Sarasota, FL 34236

COMMISSION STATEMENT for KATIE PEPIN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/16/2018	#04220424622 PENNIN, S	MAIN STREET FUND C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
02/01/2018	#04220290191 PEPIN, KATIE	MAIN STREET FUND C	250.61	2.51	93.0	0.00	2.33	0.00	2.33
02/01/2018	#04220290191 PEPIN, KATIE	MAIN STREET FUND C	292.25	2.92	93.0	0.00	2.72	0.00	2.72
02/01/2018	#04220427306 PENNIN, S	MAIN STREET FUND C	123.41	1.23	93.0	0.00	1.14	0.00	1.14
02/15/2018	#04220424622 PENNIN, S	MAIN STREET FUND C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
02/26/2018	#04220290191 PEPIN, KATIE	MAIN STREET FUND C	300.00	3.00	93.0	0.00	2.79	0.00	2.79
03/01/2018	#2000334549 PEPIN, THOMAS	C - GLOBAL OPPORTUNITIES PORT	50.00	0.50	93.0	0.00	0.47	0.00	0.47
03/01/2018	#04220290191 PEPIN, KATIE	MAIN STREET FUND C	250.61	2.51	93.0	0.00	2.33	0.00	2.33
03/01/2018	#04220290191 PEPIN, KATIE	MAIN STREET FUND C	292.25	2.92	93.0	0.00	2.72	0.00	2.72
03/01/2018	#04220427306 PENNIN, S	MAIN STREET FUND C	123.41	1.23	93.0	0.00	1.14	0.00	1.14
03/15/2018	#04220424622 PENNIN, S	MAIN STREET FUND C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
* MUTUAL FUNDS SUBTOTAL *			<u>\$1,982.54</u>	<u>\$19.82</u>		<u>\$0.00</u>	<u>\$18.43</u>	<u>\$0.00</u>	<u>\$18.43</u>

PRODUCT CATEGORY: VARIABLE ANNUITIES

02/08/0018	#E1059724 GREEN	PRUDETIAL TRAILS	0.00	18.21	93.0	0.00	16.94	0.00	16.94
02/08/0018	#E1075717 KING, ROBERT	PRUDETIAL TRAILS	0.00	97.12	93.0	0.00	90.32	0.00	90.32
02/08/0018	#E0501203 KING, EILEEN	PRUDETIAL TRAILS	0.00	121.24	93.0	0.00	112.75	0.00	112.75
02/08/0018	#E0501203 KING, EILEEN	PRUDETIAL TRAILS	0.00	30.31	93.0	0.00	28.19	0.00	28.19
02/08/0018	#E0355069 PITTS, VIRGINIA	PRUDETIAL TRAILS	0.00	4.05	93.0	0.00	3.77	0.00	3.77
02/08/0018	#E0355069 PITTS, VIRGINIA	PRUDETIAL TRAILS	0.00	16.21	93.0	0.00	15.08	0.00	15.08
02/08/0018	#E1774734	PRUDETIAL TRAILS	0.00	8.22	93.0	0.00	7.64	0.00	7.64
02/08/0018	#E0495602 KING, EILEEN	PRUDETIAL TRAILS	0.00	5.70	93.0	0.00	5.30	0.00	5.30
02/08/0018	#E0495602 KING, EILEEN	PRUDETIAL TRAILS	0.00	22.79	93.0	0.00	21.19	0.00	21.19
02/08/0018	#E0495401 KING, EILEEN	PRUDETIAL TRAILS	0.00	30.56	93.0	0.00	28.42	0.00	28.42
02/08/0018	#E0495401 KING, EILEEN	PRUDETIAL TRAILS	0.00	122.25	93.0	0.00	113.69	0.00	113.69
02/08/0018	#E0484442 SANDERS REV TRUST,	PRUDETIAL TRAILS	0.00	2.43	93.0	0.00	2.26	0.00	2.26
02/08/0018	#E0484442 SANDERS REV TRUST,	PRUDETIAL TRAILS	0.00	7.30	93.0	0.00	6.79	0.00	6.79
03/07/0018	#N101466130 FOUT, JEAN	NATIONWIDE RENEW	0.00	11.47	93.0	0.00	10.67	0.00	10.67

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: VARIABLE ANNUITIES									
03/07/0018	#N101514030	FOUT, JEAN	NATIONWIDE RENEW	0.00	3.66	93.0	0.00	3.40	3.40
03/02/2018	#2721574	FOUT, JEAN	JOHN HANCOCK LIFE-TRAILS	0.00	34.73	93.0	0.00	32.30	32.30
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$536.25	\$0.00	\$498.71	\$0.00	\$498.71
*** BROKER TRANSACTIONS TOTAL ***				\$1,982.54	\$556.07	\$0.00	\$517.14	\$0.00	\$517.14

ADJUSTMENTS

04/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
*** ADJUSTMENTS TOTAL ***		\$-784.00

BROKER COMMISSION TOTALS

Broker Transactions	\$517.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-784.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward \$-266.86