

## COMMISSION STATEMENT

January 31, 2018

BARRY FORST  
122 BROOKLYN AVEBROKER #: 7  
BRANCH: HOME OFFICE

LAVALLETTE, NJ 07921

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

12/28/2017	QGV001100	AND EDWARD FRENCH	DIAMONDBACK ENERGY INC COM	B	25,128.06	73.00	0.00	73.00	40.0	29.20
12/28/2017	QGV001100	AND EDWARD FRENCH	INSULET CORP COM	S	-20,857.50	73.00	0.00	73.00	40.0	29.20
12/28/2017	QGV001100	AND EDWARD FRENCH	MARINUS PHARMACEUTICALS INC COM	B	4,305.15	73.00	0.00	73.00	40.0	29.20
12/29/2017	QGV001100	AND EDWARD FRENCH	FLEX LTD ORDINARY SHARES	S	-9,002.50	73.00	0.00	73.00	40.0	29.20
01/02/2018	QGV001100	AND EDWARD FRENCH	BYD CO LTD ADR	S	-17,750.00	73.00	0.00	73.00	40.0	29.20
01/04/2018	QGV001100	AND EDWARD FRENCH	IDEAL PWR INC COM	S	-2,806.60	73.00	0.00	73.00	40.0	29.20
01/04/2018	QGV001100	AND EDWARD FRENCH	RIGEL PHARMACEUTICALS INC COM NEW	B	8,303.00	73.00	0.00	73.00	40.0	29.20
01/05/2018	QGV001100	AND EDWARD FRENCH	CHINANET ONLINE HLDGS INC COM NEW	B	2,124.00	73.00	0.00	73.00	40.0	29.20
01/05/2018	QGV001100	AND EDWARD FRENCH	INSTRUCTURE INC COM	B	10,050.00	73.00	0.00	73.00	40.0	29.20
01/05/2018	QGV001100	AND EDWARD FRENCH	MIRAGEN THERAPEUTICS INC COM	S	-4,563.60	73.00	0.00	73.00	40.0	29.20
01/05/2018	QGV001100	AND EDWARD FRENCH	USA TECHNOLOGIES INC	S	-4,825.00	73.00	0.00	73.00	40.0	29.20
01/08/2018	QGV001100	AND EDWARD FRENCH	MGT CAP INVTS INC COM NEW	S	-2,275.00	73.00	0.00	73.00	40.0	29.20
01/08/2018	QGV001100	AND EDWARD FRENCH	VUZIX CORP COM NEW	B	8,900.00	73.00	0.00	73.00	40.0	29.20
01/10/2018	QGV001100	AND EDWARD FRENCH	CHINANET ONLINE HLDGS INC COM NEW	S	-1,507.29	73.00	0.00	73.00	40.0	29.20
01/10/2018	QGV001100	AND EDWARD FRENCH	GLANCE TECHNOLOGIES INC SHS ISIN#CA S	S	-1,470.00	73.00	0.00	73.00	40.0	29.20
01/10/2018	QGV001100	AND EDWARD FRENCH	MY SIZE INC COM	B	3,075.00	73.00	0.00	73.00	40.0	29.20
01/10/2018	QGV001100	AND EDWARD FRENCH	OOMA INC COM	B	5,899.75	73.00	0.00	73.00	40.0	29.20
01/12/2018	QGV001100	AND EDWARD FRENCH	EXACT SCIENCES CORP	S	-21,150.24	73.00	0.00	73.00	40.0	29.20
01/12/2018	QGV001100	AND EDWARD FRENCH	MARINUS PHARMACEUTICALS INC COM	S	-3,680.20	73.00	0.00	73.00	40.0	29.20
01/12/2018	QGV001100	AND EDWARD FRENCH	VUZIX CORP COM NEW	S	-8,150.00	73.00	0.00	73.00	40.0	29.20
01/16/2018	QGV001100	AND EDWARD FRENCH	APPTIO INC CL A	S	-4,778.80	73.00	0.00	73.00	40.0	29.20
01/16/2018	QGV001100	AND EDWARD FRENCH	ENDRA LIFE SCIENCES INC COM	S	-3,168.70	73.00	0.00	73.00	40.0	29.20
01/16/2018	QGV001100	AND EDWARD FRENCH	MIRAGEN THERAPEUTICS INC COM	S	-4,131.80	73.00	0.00	73.00	40.0	29.20
01/16/2018	QGV001100	AND EDWARD FRENCH	ROKU INC CLASS A COMMON STOCK	S	-11,960.04	73.00	0.00	73.00	40.0	29.20
01/17/2018	QGV001100	AND EDWARD FRENCH	JUNO THERAPEUTICS INC COM	B	13,795.74	73.00	0.00	73.00	40.0	29.20
01/17/2018	QGV001100	AND EDWARD FRENCH	MIRAGEN THERAPEUTICS INC COM	S	-7,900.00	73.00	0.00	73.00	40.0	29.20
01/19/2018	QGV001100	AND EDWARD FRENCH	ASURE SOFTWARE INC	B	7,889.60	73.00	0.00	73.00	40.0	29.20
01/19/2018	QGV001100	AND EDWARD FRENCH	EXACT SCIENCES CORP	S	-14,493.81	73.00	0.00	73.00	40.0	29.20
01/22/2018	QGV001100	AND EDWARD FRENCH	BLUEBIRD BIO INC COM	S	-19,215.00	73.00	0.00	73.00	40.0	29.20
01/22/2018	QGV001100	AND EDWARD FRENCH	CHINA LODGING GROUP LTD SPONSORED A B	B	16,150.75	73.00	0.00	73.00	40.0	29.20
01/22/2018	QGV001100	AND EDWARD FRENCH	JUNO THERAPEUTICS INC COM	S	-17,206.20	73.00	0.00	73.00	40.0	29.20

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/22/2018	QGV001100 AND EDWARD FRENCH	OOMA INC COM	S	-5,475.00	73.00	0.00	73.00	40.0	29.20
01/22/2018	QGV001100 AND EDWARD FRENCH	YY INC ADS REPSTG COM CL A ISIN#US9 B		13,705.48	73.00	0.00	73.00	40.0	29.20
01/23/2018	QGV001100 AND EDWARD FRENCH	AIMMUNE THERAPEUTICS INC COM	B	11,398.68	73.00	0.00	73.00	40.0	29.20
01/23/2018	QGV001100 AND EDWARD FRENCH	ANAPTYSBIO INC COM	B	11,384.11	73.00	0.00	73.00	40.0	29.20
01/23/2018	QGV001100 AND EDWARD FRENCH	CORCEPT THERAPEUTICS INC COM	B	10,138.56	73.00	0.00	73.00	40.0	29.20
01/23/2018	QGV001100 AND EDWARD FRENCH	INSTRUCTURE INC COM	S	-10,275.99	73.00	0.00	73.00	40.0	29.20
01/29/2018	QGV001100 AND EDWARD FRENCH	CHINA LODGING GROUP LTD SPONSORED A B		15,068.93	73.00	0.00	73.00	40.0	29.20
01/29/2018	QGV001100 AND EDWARD FRENCH	CHINA LODGING GROUP LTD SPONSORED A B		-15,068.93	-73.00	0.00	-73.00	40.0	-29.20 C
01/29/2018	QGV001100 AND EDWARD FRENCH	CHINA LODGING GROUP LTD SPONSORED A S		-15,068.93	73.00	0.00	73.00	40.0	29.20
01/29/2018	QGV001100 AND EDWARD FRENCH	ENERGOUS CORP COM	B	9,087.00	73.00	0.00	73.00	40.0	29.20
12/28/2017	QGW002180 FRENCH, JOHN	AURORA CANNABIS INC COM ISIN#CA0515 B		3,504.50	73.00	0.00	73.00	40.0	29.20
12/28/2017	QGW002180 FRENCH, JOHN	CANOPY GROWTH CORP COM ISIN# CA1380 B		4,813.14	73.00	0.00	73.00	40.0	29.20
12/28/2017	QGW002180 FRENCH, JOHN	CRONOS GROUP INC COM ISIN#CA22717L1 B		3,489.50	73.00	0.00	73.00	40.0	29.20
12/29/2017	QGW002180 FRENCH, JOHN	DSP GROUP INC COM	S	-6,275.00	73.00	0.00	73.00	40.0	29.20
12/29/2017	QGW002180 FRENCH, JOHN	ZTO EXPRESSCAYMAN INC SPONS ADR	S	-3,178.68	73.00	0.00	73.00	40.0	29.20
01/02/2018	QGW002180 FRENCH, JOHN	CONTROL4 CORP COM	S	-14,851.00	73.00	0.00	73.00	40.0	29.20
01/02/2018	QGW002180 FRENCH, JOHN	CRISPR THERAPEUTICS AG NAMEN-AKT IS B		5,439.00	73.00	0.00	73.00	40.0	29.20
01/02/2018	QGW002180 FRENCH, JOHN	JUPAI HLDGS LTD ADS ISIN#US48205B10 B		6,262.29	73.00	0.00	73.00	40.0	29.20
01/04/2018	QGW002180 FRENCH, JOHN	AURORA CANNABIS INC COM ISIN#CA0515 B		5,175.00	73.00	0.00	73.00	40.0	29.20
01/04/2018	QGW002180 FRENCH, JOHN	SORL AUTO PTS INC COM	S	-7,015.00	73.00	0.00	73.00	40.0	29.20
01/05/2018	QGW002180 FRENCH, JOHN	TIMEFIRE VR INC COM	B	1,100.00	73.00	0.00	73.00	40.0	29.20
01/23/2018	QGW002180 FRENCH, JOHN	BIOTELEMETRY INC COM	B	10,224.90	73.00	0.00	73.00	40.0	29.20
01/23/2018	QGW002180 FRENCH, JOHN	EXACT SCIENCES CORP	S	-10,158.68	73.00	0.00	73.00	40.0	29.20
01/10/2018	QGW002404 MAHARISHI UNIVERSITY	SKYWORKS SOLUTIONS INC	S	-2,342.16	75.00	0.00	75.00	40.0	30.00
01/12/2018	QGW002404 MAHARISHI UNIVERSITY	APPLE INC	S	-5,305.20	75.00	0.00	75.00	40.0	30.00
01/17/2018	QGW002701 HIDEAWAY FARM	DIREXION SHS ETF TR DAILY 20+ YR TR S		-20,965.60	75.00	0.00	75.00	40.0	30.00
01/17/2018	QGW002701 HIDEAWAY FARM	PROSHARES TR PROSHARES ULTRASHORT T B		31,460.85	75.00	0.00	75.00	40.0	30.00
01/25/2018	QGW002719 HINDMARCH, JAMES	SILVERCORP METALS INC COM ISIN#CA82 S		-7,982.24	90.00	0.00	90.00	40.0	36.00
12/29/2017	QGW002925 BATHRICK, CHARLES	BLACKBERRY LTD COM	B	5,660.00	75.00	0.00	75.00	40.0	30.00
01/03/2018	QGW002925 BATHRICK, CHARLES	MATSON INC COM	B	6,139.60	75.00	0.00	75.00	40.0	30.00
01/03/2018	QGW002925 BATHRICK, CHARLES	ROWAN COMPANIES PLC SHS CLASS A ISI B		6,402.80	75.00	0.00	75.00	40.0	30.00
01/05/2018	QGW002925 BATHRICK, CHARLES	TRANSOCEAN LTD US LISTED	B	3,424.92	75.00	0.00	75.00	40.0	30.00
01/09/2018	QGW002925 BATHRICK, CHARLES	BANK OF AMERICA CORP	B	6,091.32	75.00	0.00	75.00	40.0	30.00
01/09/2018	QGW002925 BATHRICK, CHARLES	INTREPID POTASH INC	B	4,200.00	75.00	0.00	75.00	40.0	30.00
01/09/2018	QGW002925 BATHRICK, CHARLES	NOBLE CORP PLC SHS USD ISIN#GB00BFG B		2,590.00	75.00	0.00	75.00	40.0	30.00
01/10/2018	QGW002925 BATHRICK, CHARLES	BLACKBERRY LTD COM	S	-6,885.00	75.00	0.00	75.00	40.0	30.00
01/12/2018	QGW002925 BATHRICK, CHARLES	MATSON INC COM	S	-6,314.00	75.00	0.00	75.00	40.0	30.00
01/17/2018	QGW002925 BATHRICK, CHARLES	AK STEEL HOLDING CORP	B	6,636.60	75.00	0.00	75.00	40.0	30.00
01/17/2018	QGW002925 BATHRICK, CHARLES	TRANSOCEAN LTD US LISTED	B	2,293.30	75.00	0.00	75.00	40.0	30.00
01/19/2018	QGW002925 BATHRICK, CHARLES	ROWAN COMPANIES PLC SHS CLASS A ISI B		3,139.30	75.00	0.00	75.00	40.0	30.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/24/2018	QGW002925	BATHRICK, CHARLES	DIAMOND OFFSHORE DRILLING INC	B	3,855.48	75.00	0.00	75.00	40.0 30.00
01/25/2018	QGW002925	BATHRICK, CHARLES	ORACLE CORPORATION	S	-5,185.34	75.00	0.00	75.00	40.0 30.00
12/28/2017	QGW002974	CAIN, ANTHONY	BLACKBERRY LTD COM	B	11,339.40	125.00	0.00	125.00	40.0 50.00
12/28/2017	QGW002974	CAIN, ANTHONY	NOBLE ENERGY INC COM	B	29,257.10	150.00	0.00	150.00	40.0 60.00
12/29/2017	QGW002974	CAIN, ANTHONY	AURORA CANNABIS INC COM ISIN#CA0515 B		3,762.00	100.00	0.00	100.00	40.0 40.00
12/29/2017	QGW002974	CAIN, ANTHONY	AURORA CANNABIS INC COM ISIN#CA0515 B		3,623.30	100.00	0.00	100.00	40.0 40.00
12/29/2017	QGW002974	CAIN, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380 B		2,267.84	20.83	0.00	20.83	40.0 8.33
12/29/2017	QGW002974	CAIN, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380 B		2,267.84	20.83	0.00	20.83	40.0 8.33
12/29/2017	QGW002974	CAIN, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380 B		2,267.84	20.83	0.00	20.83	40.0 8.33
12/29/2017	QGW002974	CAIN, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380 B		2,268.64	20.83	0.00	20.83	40.0 8.33
12/29/2017	QGW002974	CAIN, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380 B		2,268.64	20.83	0.00	20.83	40.0 8.33
12/29/2017	QGW002974	CAIN, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380 B		2,268.64	20.85	0.00	20.85	40.0 8.34
12/29/2017	QGW002974	CAIN, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380 B		22,271.00	150.00	0.00	150.00	40.0 60.00
12/29/2017	QGW002974	CAIN, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380 B		-2,267.84	-20.83	0.00	-20.83	40.0 -8.33 C
12/29/2017	QGW002974	CAIN, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380 B		-2,267.84	-20.83	0.00	-20.83	40.0 -8.33 C
12/29/2017	QGW002974	CAIN, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380 B		-2,267.84	-20.83	0.00	-20.83	40.0 -8.33 C
12/29/2017	QGW002974	CAIN, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380 B		-2,268.64	-20.83	0.00	-20.83	40.0 -8.33 C
12/29/2017	QGW002974	CAIN, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380 B		-2,268.64	-20.83	0.00	-20.83	40.0 -8.33 C
12/29/2017	QGW002974	CAIN, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380 B		-2,268.64	-20.85	0.00	-20.85	40.0 -8.34 C
12/29/2017	QGW002974	CAIN, ANTHONY	RIOT BLOCKCHAIN INC COM	S	-8,502.30	100.00	0.00	100.00	40.0 40.00
12/29/2017	QGW002974	CAIN, ANTHONY	TENCENT HOLDINGS LIMITED UNSPONSORE	S	-20,802.40	125.00	0.00	125.00	40.0 50.00
01/02/2018	QGW002974	CAIN, ANTHONY	MEDRELEAF CORP REGISTERED SHS ISIN# B		1,988.87	12.50	0.00	12.50	40.0 5.00
01/02/2018	QGW002974	CAIN, ANTHONY	MEDRELEAF CORP REGISTERED SHS ISIN# B		3,977.74	25.00	0.00	25.00	40.0 10.00
01/02/2018	QGW002974	CAIN, ANTHONY	MEDRELEAF CORP REGISTERED SHS ISIN# B		5,966.61	37.50	0.00	37.50	40.0 15.00
01/02/2018	QGW002974	CAIN, ANTHONY	MEDRELEAF CORP REGISTERED SHS ISIN# B		1,988.87	12.50	0.00	12.50	40.0 5.00
01/02/2018	QGW002974	CAIN, ANTHONY	MEDRELEAF CORP REGISTERED SHS ISIN# B		1,988.87	12.50	0.00	12.50	40.0 5.00
01/02/2018	QGW002974	CAIN, ANTHONY	MEDRELEAF CORP REGISTERED SHS ISIN# B		1,991.90	12.50	0.00	12.50	40.0 5.00
01/02/2018	QGW002974	CAIN, ANTHONY	MEDRELEAF CORP REGISTERED SHS ISIN# B		1,991.90	12.50	0.00	12.50	40.0 5.00
01/02/2018	QGW002974	CAIN, ANTHONY	TENCENT HOLDINGS LIMITED UNSPONSORE	S	-32,412.00	125.00	0.00	125.00	40.0 50.00
01/03/2018	QGW002974	CAIN, ANTHONY	AURORA CANNABIS INC COM ISIN#CA0515 B		22,279.00	200.00	0.00	200.00	40.0 80.00
01/03/2018	QGW002974	CAIN, ANTHONY	AURORA CANNABIS INC COM ISIN#CA0515 S		-10,925.40	150.00	0.00	150.00	40.0 60.00
01/03/2018	QGW002974	CAIN, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380 S		-25,543.55	144.75	0.00	144.75	40.0 57.90
01/03/2018	QGW002974	CAIN, ANTHONY	CANOPY GROWTH CORP COM ISIN# CA1380 S		-926.45	5.25	0.00	5.25	40.0 2.10
01/03/2018	QGW002974	CAIN, ANTHONY	ENSCO PLC NEW CLASS A ORD	B	13,172.00	125.00	0.00	125.00	40.0 50.00
01/03/2018	QGW002974	CAIN, ANTHONY	FRANCO-NEVADA CORPORATION	S	-77,944.50	150.00	0.00	150.00	40.0 60.00
01/03/2018	QGW002974	CAIN, ANTHONY	MATSON INC COM	B	30,564.80	150.00	0.00	150.00	40.0 60.00
01/03/2018	QGW002974	CAIN, ANTHONY	MEDRELEAF CORP REGISTERED SHS ISIN# S		-19,641.80	135.15	0.00	135.15	40.0 54.06
01/03/2018	QGW002974	CAIN, ANTHONY	MEDRELEAF CORP REGISTERED SHS ISIN# S		-2,158.20	14.85	0.00	14.85	40.0 5.94
01/03/2018	QGW002974	CAIN, ANTHONY	NOBLE ENERGY INC COM	S	-31,364.60	150.00	0.00	150.00	40.0 60.00
01/03/2018	QGW002974	CAIN, ANTHONY	ROWAN COMPANIES PLC SHS CLASS A ISI B		15,987.90	150.00	0.00	150.00	40.0 60.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/03/2018	QGW002974	CAIN, ANTHONY		TRANSOCEAN LTD US LISTED	B	11,186.60	150.00	0.00	150.00 40.0 60.00
01/04/2018	QGW002974	CAIN, ANTHONY		AURORA CANNABIS INC COM ISIN#CA0515	B	10,302.00	150.00	0.00	150.00 40.0 60.00
01/04/2018	QGW002974	CAIN, ANTHONY		AURORA CANNABIS INC COM ISIN#CA0515	S	-21,782.00	150.00	0.00	150.00 40.0 60.00
01/04/2018	QGW002974	CAIN, ANTHONY		AURORA CANNABIS INC COM ISIN#CA0515	S	-10,897.20	75.00	0.00	75.00 40.0 30.00
01/04/2018	QGW002974	CAIN, ANTHONY		DIAMOND OFFSHORE DRILLING INC	B	19,417.50	150.00	0.00	150.00 40.0 60.00
01/04/2018	QGW002974	CAIN, ANTHONY		MEDRELEAF CORP REGISTERED SHS ISIN#	S	-6,716.01	45.00	0.00	45.00 40.0 18.00
01/04/2018	QGW002974	CAIN, ANTHONY		MEDRELEAF CORP REGISTERED SHS ISIN#	S	-15,670.69	105.00	0.00	105.00 40.0 42.00
01/04/2018	QGW002974	CAIN, ANTHONY		MEDRELEAF CORP REGISTERED SHS ISIN#	B	2,251.20	15.00	0.00	15.00 40.0 6.00
01/04/2018	QGW002974	CAIN, ANTHONY		MEDRELEAF CORP REGISTERED SHS ISIN#	B	4,505.60	30.00	0.00	30.00 40.0 12.00
01/04/2018	QGW002974	CAIN, ANTHONY		MEDRELEAF CORP REGISTERED SHS ISIN#	B	2,253.60	15.00	0.00	15.00 40.0 6.00
01/04/2018	QGW002974	CAIN, ANTHONY		MEDRELEAF CORP REGISTERED SHS ISIN#	B	13,521.60	90.00	0.00	90.00 40.0 36.00
01/04/2018	QGW002974	CAIN, ANTHONY		NVIDIA CORP	S	-217,836.10	300.00	0.00	300.00 40.0 120.00
01/04/2018	QGW002974	CAIN, ANTHONY		OCEANEERING INTL INC	B	22,782.90	150.00	0.00	150.00 40.0 60.00
01/04/2018	QGW002974	CAIN, ANTHONY		SINA CORPORATION FORMERLY SINA COM	S	-110,040.00	250.00	0.00	250.00 40.0 100.00
01/04/2018	QGW002974	CAIN, ANTHONY		TENCENT HOLDINGS LIMITED UNSPONSORE	S	-55,310.00	200.00	0.00	200.00 40.0 80.00
01/05/2018	QGW002974	CAIN, ANTHONY		BLUEBIRD BIO INC COM	B	89,250.00	150.00	0.00	150.00 40.0 60.00
01/05/2018	QGW002974	CAIN, ANTHONY		TRANSOCEAN LTD US LISTED	B	11,700.00	150.00	0.00	150.00 40.0 60.00
01/08/2018	QGW002974	CAIN, ANTHONY		AURORA CANNABIS INC COM ISIN#CA0515	B	22,637.60	150.00	0.00	150.00 40.0 60.00
01/08/2018	QGW002974	CAIN, ANTHONY		BLUEBIRD BIO INC COM	B	85,187.20	150.00	0.00	150.00 40.0 60.00
01/08/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380	B	15,404.50	75.00	0.00	75.00 40.0 30.00
01/08/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380	B	3,080.90	15.00	0.00	15.00 40.0 6.00
01/08/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380	B	3,080.90	15.00	0.00	15.00 40.0 6.00
01/08/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380	B	9,242.70	45.00	0.00	45.00 40.0 18.00
01/09/2018	QGW002974	CAIN, ANTHONY		AURORA CANNABIS INC COM ISIN#CA0515	S	-22,060.60	150.00	0.00	150.00 40.0 60.00
01/09/2018	QGW002974	CAIN, ANTHONY		BANK OF AMERICA CORP	B	30,486.90	150.00	0.00	150.00 40.0 60.00
01/09/2018	QGW002974	CAIN, ANTHONY		BLACKBERRY LTD COM	S	-14,390.00	150.00	0.00	150.00 40.0 60.00
01/09/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380	S	-3,346.00	15.00	0.00	15.00 40.0 6.00
01/09/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380	S	-1,338.00	6.00	0.00	6.00 40.0 2.40
01/09/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380	S	-1,338.00	6.00	0.00	6.00 40.0 2.40
01/09/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380	S	-2,008.50	9.00	0.00	9.00 40.0 3.60
01/09/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380	S	-806.52	3.60	0.00	3.60 40.0 1.44
01/09/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380	S	-23,247.63	105.00	0.00	105.00 40.0 42.00
01/09/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380	S	-1,195.59	5.40	0.00	5.40 40.0 2.16
01/09/2018	QGW002974	CAIN, ANTHONY		TRANSOCEAN LTD US LISTED	B	11,856.80	150.00	0.00	150.00 40.0 60.00
01/10/2018	QGW002974	CAIN, ANTHONY		ABCANN GLOBAL CORP COM ISIN# CA0028	B	5,550.00	125.00	0.00	125.00 40.0 50.00
01/10/2018	QGW002974	CAIN, ANTHONY		CALLON PETROLEUM CO-DEL	B	25,339.80	250.00	0.00	250.00 40.0 100.00
01/10/2018	QGW002974	CAIN, ANTHONY		CANNAROYALTY CORP COM ISIN# CA13764	B	7,224.20	150.00	0.00	150.00 40.0 60.00
01/10/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380	B	67,660.20	250.00	0.00	250.00 40.0 100.00
01/10/2018	QGW002974	CAIN, ANTHONY		HOST MARRIOTT CORP (NEW)	B	20,766.90	150.00	0.00	150.00 40.0 60.00
01/10/2018	QGW002974	CAIN, ANTHONY		MOSAIC COMPANY	B	25,676.60	150.00	0.00	150.00 40.0 60.00

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
01/10/2018	QGW002974	CAIN, ANTHONY		WHITING PETROLEUM CORPORATION COMMO B	28,467.50	150.00	0.00	150.00	40.0	60.00
01/12/2018	QGW002974	CAIN, ANTHONY		APACHE CORP COMMON B	47,025.00	150.00	0.00	150.00	40.0	60.00
01/12/2018	QGW002974	CAIN, ANTHONY		DIAMOND OFFSHORE DRILLING INC S	-20,212.70	150.00	0.00	150.00	40.0	60.00
01/12/2018	QGW002974	CAIN, ANTHONY		ENSCO PLC NEW CLASS A ORD S	-14,902.00	125.00	0.00	125.00	40.0	50.00
01/12/2018	QGW002974	CAIN, ANTHONY		MATSON INC COM S	-18,936.06	150.00	0.00	150.00	40.0	60.00
01/12/2018	QGW002974	CAIN, ANTHONY		MATSON INC COM S	-12,637.00	60.00	0.00	60.00	40.0	24.00
01/12/2018	QGW002974	CAIN, ANTHONY		OCEANEERING INTL INC S	-22,430.00	150.00	0.00	150.00	40.0	60.00
01/12/2018	QGW002974	CAIN, ANTHONY		ROWAN COMPANIES PLC SHS CLASS A ISI S	-17,162.10	150.00	0.00	150.00	40.0	60.00
01/12/2018	QGW002974	CAIN, ANTHONY		TRANSOCEAN LTD US LISTED S	-36,665.40	300.00	0.00	300.00	40.0	120.00
01/16/2018	QGW002974	CAIN, ANTHONY		AURORA CANNABIS INC COM ISIN#CA0515 B	10,040.20	150.00	0.00	150.00	40.0	60.00
01/16/2018	QGW002974	CAIN, ANTHONY		MEDRELEAF CORP REGISTERED SHS ISIN# B	19,928.70	150.00	0.00	150.00	40.0	60.00
01/16/2018	QGW002974	CAIN, ANTHONY		WHITING PETROLEUM CORPORATION COMMO S	-31,120.00	150.00	0.00	150.00	40.0	60.00
01/17/2018	QGW002974	CAIN, ANTHONY		APACHE CORP COMMON B	46,587.60	150.00	0.00	150.00	40.0	60.00
01/17/2018	QGW002974	CAIN, ANTHONY		BLUEBIRD BIO INC COM S	-84,950.00	150.00	0.00	150.00	40.0	60.00
01/18/2018	QGW002974	CAIN, ANTHONY		AMPLIFY TRANSFORMATIONAL DATA SHARI B	20,405.90	150.00	0.00	150.00	40.0	60.00
01/18/2018	QGW002974	CAIN, ANTHONY		BLUEBIRD BIO INC COM S	-90,400.00	150.00	0.00	150.00	40.0	60.00
01/18/2018	QGW002974	CAIN, ANTHONY		CONCHO RESOURCES INC B	78,030.75	250.00	0.00	250.00	40.0	100.00
01/19/2018	QGW002974	CAIN, ANTHONY		REALITY SHS ETF TR NASDAQ NASDAQ NE B	24,340.60	150.00	0.00	150.00	40.0	60.00
01/19/2018	QGW002974	CAIN, ANTHONY		TRANSOCEAN LTD US LISTED B	33,669.60	350.00	0.00	350.00	40.0	140.00
01/22/2018	QGW002974	CAIN, ANTHONY		AGNICO-EAGLE MINES LTD B	45,816.60	250.00	0.00	250.00	40.0	100.00
01/22/2018	QGW002974	CAIN, ANTHONY		BANK OF AMERICA CORP B	31,870.00	150.00	0.00	150.00	40.0	60.00
01/22/2018	QGW002974	CAIN, ANTHONY		CONCHO RESOURCES INC S	-80,135.80	250.00	0.00	250.00	40.0	100.00
01/22/2018	QGW002974	CAIN, ANTHONY		ENSCO PLC NEW CLASS A ORD B	20,830.80	300.00	0.00	300.00	40.0	120.00
01/22/2018	QGW002974	CAIN, ANTHONY		MY SIZE INC COM B	12,690.00	300.00	0.00	300.00	40.0	120.00
01/22/2018	QGW002974	CAIN, ANTHONY		TRANSOCEAN LTD US LISTED S	-35,825.10	350.00	0.00	350.00	40.0	140.00
01/23/2018	QGW002974	CAIN, ANTHONY		ABCANN GLOBAL CORP COM ISIN# CA0028 S	-7,655.70	250.00	0.00	250.00	40.0	100.00
01/23/2018	QGW002974	CAIN, ANTHONY		AURORA CANNABIS INC COM ISIN#CA0515 B	11,547.90	150.00	0.00	150.00	40.0	60.00
01/23/2018	QGW002974	CAIN, ANTHONY		CALLON PETROLEUM CO-DEL B	12,839.90	150.00	0.00	150.00	40.0	60.00
01/23/2018	QGW002974	CAIN, ANTHONY		ROWAN COMPANIES PLC SHS CLASS A ISI B	31,612.00	250.00	0.00	250.00	40.0	100.00
01/24/2018	QGW002974	CAIN, ANTHONY		AGNICO-EAGLE MINES LTD S	-48,496.80	250.00	0.00	250.00	40.0	100.00
01/24/2018	QGW002974	CAIN, ANTHONY		APACHE CORP COMMON S	-96,306.40	250.00	0.00	250.00	40.0	100.00
01/24/2018	QGW002974	CAIN, ANTHONY		AURORA CANNABIS INC COM ISIN#CA0515 S	-23,580.00	250.00	0.00	250.00	40.0	100.00
01/24/2018	QGW002974	CAIN, ANTHONY		BANK OF AMERICA CORP S	-64,023.60	250.00	0.00	250.00	40.0	100.00
01/24/2018	QGW002974	CAIN, ANTHONY		CANOPY GROWTH CORP COM ISIN# CA1380 B	29,100.00	150.00	0.00	150.00	40.0	60.00
01/24/2018	QGW002974	CAIN, ANTHONY		HOST MARRIOTT CORP (NEW) S	-21,232.30	150.00	0.00	150.00	40.0	60.00
01/24/2018	QGW002974	CAIN, ANTHONY		MOSAIC COMPANY S	-27,143.40	150.00	0.00	150.00	40.0	60.00
01/24/2018	QGW002974	CAIN, ANTHONY		PHILLIPS 66 COM B	104,599.10	350.00	0.00	350.00	40.0	140.00
01/25/2018	QGW002974	CAIN, ANTHONY		AGNICO-EAGLE MINES LTD B	48,872.10	250.00	0.00	250.00	40.0	100.00
01/25/2018	QGW002974	CAIN, ANTHONY		NEWMONT MINING CORP (NEW) B	40,903.20	250.00	0.00	250.00	40.0	100.00
01/25/2018	QGW002974	CAIN, ANTHONY		WHEATON PRECIOUS METALS CORP COM B	44,918.60	250.00	0.00	250.00	40.0	100.00

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/26/2018	QGW002974	CAIN, ANTHONY		KRAKEN ROBOTICS INC COM ISIN#CA5007 B	1,562.00	110.00	0.00	110.00	44.00
01/26/2018	QGW002974	CAIN, ANTHONY		KRAKEN ROBOTICS INC COM ISIN#CA5007 B	71.00	5.00	0.00	5.00	2.00
01/26/2018	QGW002974	CAIN, ANTHONY		KRAKEN ROBOTICS INC COM ISIN#CA5007 B	497.00	35.00	0.00	35.00	14.00
01/26/2018	QGW002974	CAIN, ANTHONY		PHILLIPS 66 COM	S -106,804.50	250.00	0.00	250.00	100.00
01/26/2018	QGW002974	CAIN, ANTHONY		TRANSOCEAN LTD US LISTED	B 56,121.00	450.00	0.00	450.00	180.00
01/29/2018	QGW002974	CAIN, ANTHONY		ABCANN GLOBAL CORP COM ISIN# CA0028 B	8,730.00	250.00	0.00	250.00	100.00
12/28/2017	QGW003345	COOKE, ROBERT		ROYAL GOLD INC	B 23,990.34	75.00	0.00	75.00	30.00
12/29/2017	QGW003345	COOKE, ROBERT		ROYAL GOLD INC	B 16,189.40	75.00	0.00	75.00	30.00
01/02/2018	QGW003345	COOKE, ROBERT		FRANCO-NEVADA CORPORATION	B 23,749.71	75.00	0.00	75.00	30.00
01/02/2018	QGW003345	COOKE, ROBERT		SANDSTORM GOLD LTD COM NEW ISIN#CA8 B	10,071.00	75.00	0.00	75.00	30.00
01/03/2018	QGW003345	COOKE, ROBERT		DIREXION SHARES ETF TRUST DIREXION	B 16,575.00	75.00	0.00	75.00	30.00
01/03/2018	QGW003345	COOKE, ROBERT		FRANCO-NEVADA CORPORATION	B 15,600.60	75.00	0.00	75.00	30.00
01/18/2018	QGW003386	RF LAFFERTY & CO		AMAZON.COM INC	B 12,999.90	260.00	0.00	260.00	104.00
01/04/2018	QGW004392	VALENTINE, OLIVIA		NVIDIA CORP	S -108,387.70	250.00	0.00	250.00	100.00
01/10/2018	QGW004392	VALENTINE, OLIVIA		MARTIN MARIETTA MATLS INC	B 90,799.04	250.00	0.00	250.00	100.00
01/10/2018	QGW004392	VALENTINE, OLIVIA		ZIMMER BIOMET HLDGS INC COM	B 61,944.00	200.00	0.00	200.00	80.00
01/12/2018	QGW004392	VALENTINE, OLIVIA		PHILIP MORRIS INTERNATIONAL INC	S -51,988.15	150.00	0.00	150.00	60.00
01/26/2018	QGW004392	VALENTINE, OLIVIA		PHILLIPS 66 COM	S -53,167.00	200.00	0.00	200.00	80.00
01/29/2018	QGW004392	VALENTINE, OLIVIA		ZIMMER BIOMET HLDGS INC COM	S -64,408.10	200.00	0.00	200.00	80.00
01/02/2018	QGW021990	STEINMETZ, GEORGE		MCEWEN MINING INC	B 9,624.40	60.00	0.00	60.00	24.00
01/05/2018	QGW021990	STEINMETZ, GEORGE		ISHARES RUSSELL 2000 ETF	S -38,625.63	60.00	0.00	60.00	24.00
01/09/2018	QGW021990	STEINMETZ, GEORGE		UNITED RENTALS INC	B 18,941.08	60.00	0.00	60.00	24.00
01/19/2018	QGW021990	STEINMETZ, GEORGE		ALBEMARLE CORPORATION	S -19,750.60	60.00	0.00	60.00	24.00
01/19/2018	QGW021990	STEINMETZ, GEORGE		ALBEMARLE CORPORATION	B 11,696.32	60.00	0.00	60.00	24.00
01/22/2018	QGW021990	STEINMETZ, GEORGE		STARBUCKS CORP	B 15,277.50	60.00	0.00	60.00	24.00
01/26/2018	QGW021990	STEINMETZ, GEORGE		GOLDMAN SACHS GROUP INC	B 4,276.04	60.00	0.00	60.00	24.00
01/29/2018	QGW021990	STEINMETZ, GEORGE		FACEBOOK INC CL A	S -14,987.28	60.00	0.00	60.00	24.00
01/03/2018	QGW022410	PLESA, JOHN		TANZANIAN ROYALTY EXPLORATION CORPO B	980.00	75.00	0.00	75.00	30.00
01/03/2018	QGW022519	PLESA, JOHN		TANZANIAN ROYALTY EXPLORATION CORPO B	1,642.20	75.00	0.00	75.00	30.00
01/03/2018	QGW022592	PLESA, DARLENE		TANZANIAN ROYALTY EXPLORATION CORPO B	160.65	50.00	0.00	50.00	20.00
01/02/2018	QGW029290	BAUMHARDT, DANECA		DIREXION SHS ETF TR DAILY GOLD MINE S	-4,484.00	75.00	0.00	75.00	30.00
01/08/2018	QGW029290	BAUMHARDT, DANECA		NEWMONT MINING CORP (NEW)	B 19,153.40	75.00	0.00	75.00	30.00
01/08/2018	QGW029290	BAUMHARDT, DANECA		ROYAL GOLD INC	B 16,586.56	75.00	0.00	75.00	30.00
01/08/2018	QGW029290	BAUMHARDT, DANECA		WHEATON PRECIOUS METALS CORP COM	B 19,474.38	75.00	0.00	75.00	30.00
01/10/2018	QGW029290	BAUMHARDT, DANECA		FRANCO-NEVADA CORPORATION	B 19,520.65	75.00	0.00	75.00	30.00
01/17/2018	QGW029290	BAUMHARDT, DANECA		FIRST MAJESTIC SILVER CORP	B 14,739.20	75.00	0.00	75.00	30.00
01/09/2018	QGW038366	OTTAVINO, MARY		ANGLO AMERN PLC SPONSORED ADR ISIN# S	-26,239.83	500.00	0.00	500.00	200.00
01/09/2018	QGW038366	OTTAVINO, MARY		APACHE CORP COMMON	S -12,642.86	250.00	0.00	250.00	100.00
01/09/2018	QGW038366	OTTAVINO, MARY		BARRICK GOLD CORP	S -21,535.54	200.00	0.00	200.00	80.00
01/09/2018	QGW038366	OTTAVINO, MARY		BLACKROCK N Y MUN INCOME TR SH BEN S	-8,448.06	125.00	0.00	125.00	50.00

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
01/09/2018	QGW038366	OTTAVINO, MARY	BLACKROCK CORE BD TR SHS BEN INT	S	-6,997.00	150.00	0.00	150.00	40.0	60.00
01/09/2018	QGW038366	OTTAVINO, MARY	BLACKROCK CREDIT ALLOCATION INCOME	S	-11,375.39	150.00	0.00	150.00	40.0	60.00
01/09/2018	QGW038366	OTTAVINO, MARY	CENTERPOINT ENERGY INC	S	-27,763.10	250.00	0.00	250.00	40.0	100.00
01/09/2018	QGW038366	OTTAVINO, MARY	DELAWARE ENHANCED GLOBAL DIVID & IN	S	-12,496.30	125.00	0.00	125.00	40.0	50.00
01/09/2018	QGW038366	OTTAVINO, MARY	DREYFUS HIGH YIELD STRATEGIES	S	-2,346.40	90.00	0.00	90.00	40.0	36.00
01/09/2018	QGW038366	OTTAVINO, MARY	FRANKLIN LIMITED DURATION INCOME TR	S	-4,734.80	90.00	0.00	90.00	40.0	36.00
01/09/2018	QGW038366	OTTAVINO, MARY	GAMCO GLOBAL GOLD NATURAL RESOURCES	S	-5,293.40	100.00	0.00	100.00	40.0	40.00
01/09/2018	QGW038366	OTTAVINO, MARY	GENERAL MOTORS COMPANY	S	-12,152.89	250.00	0.00	250.00	40.0	100.00
01/09/2018	QGW038366	OTTAVINO, MARY	GUGGENHEIM STRATEGIC OPPORTUNITIES	S	-10,856.70	200.00	0.00	200.00	40.0	80.00
01/09/2018	QGW038366	OTTAVINO, MARY	JOHN HANCOCK PREFERRED INCOME FUND	S	-11,286.00	225.00	0.00	225.00	40.0	90.00
01/09/2018	QGW038366	OTTAVINO, MARY	NEWMONT MINING CORP (NEW)	S	-38,193.70	350.00	0.00	350.00	40.0	140.00
01/09/2018	QGW038366	OTTAVINO, MARY	NEXTERA ENERGY INC	S	-22,324.45	425.00	0.00	425.00	40.0	170.00
01/09/2018	QGW038366	OTTAVINO, MARY	PLAINS ALL AMERICAN PIPELINE L P UN	S	-5,013.51	90.00	0.00	90.00	40.0	36.00
01/09/2018	QGW038366	OTTAVINO, MARY	POWERSHARES GLOBAL EXCHANGE TRADED	S	-12,947.60	250.00	0.00	250.00	40.0	100.00
01/09/2018	QGW038366	OTTAVINO, MARY	UNITED TECHNOLOGIES CORP	S	-54,963.41	650.00	0.00	650.00	40.0	260.00
01/09/2018	QGW038366	OTTAVINO, MARY	US BANCORP DEL DEP SHS REPSTG 1/100	S	-14,053.10	250.00	0.00	250.00	40.0	100.00
* STOCKS SUBTOTAL *					\$-373,632.24	\$29,206.00	\$0.00	\$29,206.00		\$11,682.40
PRODUCT CATEGORY: BONDS										
01/09/2018	QGW038366	OTTAVINO, MARY	UNITED STATES TREAS BDS 8.125% 08/1	S	-54,828.13	500.00	0.00	500.00	40.0	200.00
* BONDS SUBTOTAL *					\$-54,828.13	\$500.00	\$0.00	\$500.00		\$200.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/10/2018	QGW002511	SKELLY, HENRY	COLUMBIA NEW YORK TAX EXEMPT FUND C	B	0.00	13.25	0.00	13.25	40.0	5.30
01/17/2018	QGW002776	ROBERTS, JAMES	FEDERATED PRUDENT BEAR FUND CLASS A	B	0.00	0.91	0.00	0.91	40.0	0.36
01/29/2018	QGW002776	ROBERTS, JAMES	VOYA GLOBAL EQUITY FUND CLASS A	B	0.00	0.49	0.00	0.49	40.0	0.20
01/09/2018	QGW008468	DRUERY, MARIE	LOOMIS SAYLES INVESTMENT GRADE BOND	B	0.00	2.07	0.00	2.07	40.0	0.83
01/17/2018	QGW022113	ROBERTS, PAGE	FEDERATED PRUDENT BEAR FUND CLASS A	B	0.00	0.42	0.00	0.42	40.0	0.17
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$17.14	\$0.00	\$17.14		\$6.86
*** BROKER TRANSACTIONS TOTAL ***					\$-428,460.37	\$29,723.14	\$0.00	\$29,723.14		\$11,889.26

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

01/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
01/31/2018		OTHER: OTHER-QGW003386		0.00	0.00	0.00	0.00		-260.00
01/31/2018		OTHER: OTHER-QGW003386		0.00	0.00	0.00	0.00		26.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-254.00

BROKER COMMISSION TOTALS

Broker Transactions	\$11,889.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-254.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-37.15
SIPC Assessment	\$-74.31

Check Amount	\$11,523.80
Year-to-date Earnings	\$11,523.80