

COMMISSION STATEMENT

April 30, 2018

PHYLLIS FATTORUSO
89-40 151ST AVENUE
APT 5G
HOWARD BEACH, NY 11414

BROKER #: P80
BRANCH: HOME OFFICE

COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
04/17/2018	QGW007700	SARNA, MARK		ALCOA CORPORATION COMMON STOCK	S	-56,544.60	50.00	0.00	50.00 52.0 26.00
04/20/2018	QGW007700	SARNA, MARK		BANK OF AMERICA CORP	B	60,000.00	100.00	0.00	100.00 52.0 52.00
04/20/2018	QGW007700	SARNA, MARK		BANK OF AMERICA CORP	B	145,000.00	250.00	0.00	250.00 52.0 130.00
04/26/2018	QGW007700	SARNA, MARK		BANK OF AMERICA CORP	S	-30,092.20	50.00	0.00	50.00 52.0 26.00
04/26/2018	QGW007700	SARNA, MARK		BANK OF AMERICA CORP	S	-90,401.40	150.00	0.00	150.00 52.0 78.00
03/28/2018	QGW007825	SARNA FAMILY LTD		USG CORP (NEW) COMMON STOCK	S	-40,460.00	50.00	0.00	50.00 52.0 26.00
04/10/2018	QGW007825	SARNA FAMILY LTD		FACEBOOK INC CL A	B	157,660.00	50.00	0.00	50.00 52.0 26.00
04/17/2018	QGW007825	SARNA FAMILY LTD		ALCOA CORPORATION COMMON STOCK	S	-56,556.80	50.00	0.00	50.00 52.0 26.00
04/20/2018	QGW007825	SARNA FAMILY LTD		BANK OF AMERICA CORP	B	60,000.00	100.00	0.00	100.00 52.0 52.00
04/20/2018	QGW007825	SARNA FAMILY LTD		BANK OF AMERICA CORP	B	116,000.00	200.00	0.00	200.00 52.0 104.00
04/20/2018	QGW007825	SARNA FAMILY LTD		GOLDMAN SACHS GROUP INC	B	500,000.00	100.00	0.00	100.00 52.0 52.00
04/26/2018	QGW007825	SARNA FAMILY LTD		BANK OF AMERICA CORP	S	-90,393.60	150.00	0.00	150.00 52.0 78.00
04/18/2018	QGW021784	ASCHER, TERESA		ISHARES SILVER SHARES	B	8,045.00	154.51	0.00	154.51 52.0 80.35
04/18/2018	QGW021792	ASCHER, WAYNE		ISHARES SILVER SHARES	B	8,045.00	154.51	0.00	154.51 52.0 80.35
04/18/2018	QGW021800	SUZANNE A ASCHER		ISHARES SILVER SHARES	B	8,045.00	154.51	0.00	154.51 52.0 80.35
04/18/2018	QGW022055	ASCHER, JOEL		ISHARES SILVER SHARES	B	8,045.00	154.51	0.00	154.51 52.0 80.35
				* STOCKS SUBTOTAL *		\$706,391.40	\$1,918.04	\$0.00	\$1,918.04 \$997.40

PRODUCT CATEGORY: OPTIONS

04/06/2018	QGW007700	SARNA, MARK		CALL 100 GOLDMAN SACHS GROUP INC CO B		13,850.00	40.00	0.00	40.00 52.0 20.80
04/09/2018	QGW007700	SARNA, MARK		CALL 100 ALCOA CORP COM EXP 04-13-1 S		-7,420.00	40.00	0.00	40.00 52.0 20.80
04/09/2018	QGW007700	SARNA, MARK		CALL 100 GOLDMAN SACHS GROUP INC CO S		-18,000.00	40.00	0.00	40.00 52.0 20.80
04/10/2018	QGW007700	SARNA, MARK		CALL 100 ALCOA CORP COM EXP 04-13-1 S		-11,000.00	40.00	0.00	40.00 52.0 20.80
04/11/2018	QGW007700	SARNA, MARK		CALL 100 GOLDMAN SACHS GROUP INC CO B		17,550.00	40.00	0.00	40.00 52.0 20.80
04/11/2018	QGW007700	SARNA, MARK		CALL 100 GOLDMAN SACHS GROUP INC CO B		13,980.00	40.00	0.00	40.00 52.0 20.80
04/12/2018	QGW007700	SARNA, MARK		CALL 100 ALCOA CORP COM EXP 04-20-1 S		-11,100.00	40.00	0.00	40.00 52.0 20.80
04/12/2018	QGW007700	SARNA, MARK		CALL 100 GOLDMAN SACHS GROUP INC CO S		-17,500.00	40.00	0.00	40.00 52.0 20.80
04/13/2018	QGW007700	SARNA, MARK		CALL 100 ALCOA CORP COM EXP 04-20-1 S		-11,100.00	40.00	0.00	40.00 52.0 20.80
04/13/2018	QGW007700	SARNA, MARK		CALL 100 ALIBABA GROUP HLDG LTD SPO B		15,850.00	40.00	0.00	40.00 52.0 20.80
04/16/2018	QGW007700	SARNA, MARK		CALL 100 BANK AMER CORP COM EXP 05- B		10,500.00	210.00	0.00	210.00 52.0 109.20
04/16/2018	QGW007700	SARNA, MARK		CALL 100 FACEBOOK INC CL A EXP 05-1 B		16,760.00	40.00	0.00	40.00 52.0 20.80

COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
04/16/2018	QGW007700	SARNA, MARK	CALL 100	GENERAL ELECTRIC CO COM EX B	5,055.00	90.00	0.00	90.00	52.0	46.80
04/17/2018	QGW007700	SARNA, MARK	CALL 100	GE S	-1,790.00	30.00	0.00	30.00	52.0	15.60
04/17/2018	QGW007700	SARNA, MARK	CALL 100	GOLDMAN SACHS GROUP INC CO B	12,158.00	40.00	0.00	40.00	52.0	20.80
04/19/2018	QGW007700	SARNA, MARK	CALL 100	FACEBOOK INC CL A EXP 04-2 S	-3,400.00	40.00	0.00	40.00	52.0	20.80
04/20/2018	QGW007700	SARNA, MARK	CALL 100	APPLE INC COM EXP 05-18-18 B	13,098.50	40.00	0.00	40.00	52.0	20.80
04/20/2018	QGW007700	SARNA, MARK	CALL 100	GOLDMAN SACHS GROUP INC CO S	-5,200.00	60.00	0.00	60.00	52.0	31.20
04/20/2018	QGW007700	SARNA, MARK	CALL 100	GE S	-5,210.00	60.00	0.00	60.00	52.0	31.20
04/23/2018	QGW007700	SARNA, MARK	CALL 100	ALCOA CORP COM EXP 05-18-1 B	4,670.00	40.00	0.00	40.00	52.0	20.80
04/26/2018	QGW007700	SARNA, MARK	CALL 100	ALIBABA GROUP HLDG LTD SPO S	-16,480.00	40.00	0.00	40.00	52.0	20.80
04/26/2018	QGW007700	SARNA, MARK	CALL 100	FACEBOOK INC CL A EXP 05-1 S	-24,680.00	40.00	0.00	40.00	52.0	20.80
04/27/2018	QGW007700	SARNA, MARK	CALL 100	UNITED STS STL CORP NEW CO B	9,000.00	80.00	0.00	80.00	52.0	41.60
04/06/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALIBABA GROUP HLDG LTD SPO B	14,200.00	40.00	0.00	40.00	52.0	20.80
04/06/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	13,850.00	40.00	0.00	40.00	52.0	20.80
04/09/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALCOA CORP COM EXP 04-13-1 S	-7,440.00	40.00	0.00	40.00	52.0	20.80
04/09/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO S	-18,000.00	40.00	0.00	40.00	52.0	20.80
04/10/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALCOA CORP COM EXP 04-13-1 S	-11,000.00	40.00	0.00	40.00	52.0	20.80
04/11/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	17,550.00	40.00	0.00	40.00	52.0	20.80
04/11/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	13,980.00	40.00	0.00	40.00	52.0	20.80
04/12/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALCOA CORP COM EXP 04-20-1 S	-11,100.00	40.00	0.00	40.00	52.0	20.80
04/12/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO S	-17,500.00	40.00	0.00	40.00	52.0	20.80
04/13/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALCOA CORP COM EXP 04-20-1 S	-11,100.00	40.00	0.00	40.00	52.0	20.80
04/13/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALIBABA GROUP HLDG LTD SPO B	15,850.00	40.00	0.00	40.00	52.0	20.80
04/16/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 05- B	9,000.00	180.00	0.00	180.00	52.0	93.60
04/16/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FACEBOOK INC CL A EXP 05-1 B	16,760.00	40.00	0.00	40.00	52.0	20.80
04/16/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX B	5,055.00	90.00	0.00	90.00	52.0	46.80
04/17/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GE S	-1,790.00	30.00	0.00	30.00	52.0	15.60
04/17/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	12,158.00	40.00	0.00	40.00	52.0	20.80
04/19/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FACEBOOK INC CL A EXP 04-2 S	-3,400.00	40.00	0.00	40.00	52.0	20.80
04/20/2018	QGW007825	SARNA FAMILY LTD	CALL 100	APPLE INC COM EXP 05-18-18 B	13,098.50	40.00	0.00	40.00	52.0	20.80
04/20/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GE S	-5,210.00	60.00	0.00	60.00	52.0	31.20
04/23/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALCOA CORP COM EXP 05-18-1 B	4,670.00	40.00	0.00	40.00	52.0	20.80
04/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALIBABA GROUP HLDG LTD SPO S	-16,480.00	40.00	0.00	40.00	52.0	20.80
04/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FACEBOOK INC CL A EXP 05-1 S	-24,680.00	40.00	0.00	40.00	52.0	20.80
04/27/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALIBABA GROUP HLDG LTD SPO S	-17,870.00	40.00	0.00	40.00	52.0	20.80
04/27/2018	QGW007825	SARNA FAMILY LTD	CALL 100	UNITED STS STL CORP NEW CO B	9,000.00	80.00	0.00	80.00	52.0	41.60
* OPTIONS SUBTOTAL *					\$-807.00	\$2,410.00	\$0.00	\$2,410.00		\$1,253.20

COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/30/2018		FEE COUNT - 2		0.00	25.24	0.00	25.24	52.0	13.13
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$25.24	\$0.00	\$25.24		\$13.13
		*** BROKER TRANSACTIONS TOTAL ***		\$705,584.40	\$4,353.28	\$0.00	\$4,353.28		\$2,263.73

ADJUSTMENTS

04/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,263.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.44
SIPC Assessment	\$-10.88

Check Amount	\$2,227.41
Year-to-date Earnings	\$5,172.75