

COMMISSION STATEMENT

July 31, 2017

CHARLES LAWRENCE
10729 NORTHRIDGE COURT

BROKER #: 13
BRANCH: HOME OFFICE

TRINITY, FL 34655

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
07/19/2017	75502005	SHEMIN, VICKI		THE INCOME FUND OF AMERICA-A	S	10,000.00	0.00	0.00	0.00	60.0	0.00
* MUTUAL FUNDS SUBTOTAL *						\$10,000.00	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: STOCKS											
06/29/2017	75501981	LAWRENCE, VICTORIA		NINTENDO CO LTD-ADR NEW	B	14,605.00	0.00	0.00	0.00	60.0	0.00
07/11/2017	75501981	LAWRENCE, VICTORIA		VERTEX PHARMACEUTICALS INC	B	13,134.97	0.00	0.00	0.00	60.0	0.00
07/20/2017	75501981	LAWRENCE, VICTORIA		NINTENDO CO LTD-ADR NEW	B	8,302.00	0.00	0.00	0.00	60.0	0.00
07/20/2017	75501981	LAWRENCE, VICTORIA		SAREPTA THERAPEUTICS INC COM	S	8,287.14	0.00	0.00	0.00	60.0	0.00
07/03/2017	75501985	LAWRENCE, CHRISTOPHER		DIREXION SHS ETF TR DAILY FINL BULL S	S	13,595.24	0.00	0.00	0.00	60.0	0.00
07/24/2017	75501986	LAWRENCE, CHRISTOPHER		HASBRO INC	B	8,006.06	0.00	0.00	0.00	60.0	0.00
06/28/2017	75501987	SCHWARTZ, MARILYN		CELGENE CORP	S	9,915.01	55.00	0.00	55.00	60.0	0.00
07/06/2017	75501987	SCHWARTZ, MARILYN		NINTENDO CO LTD-ADR NEW	B	13,394.50	55.00	0.00	55.00	60.0	0.00
07/19/2017	75501987	SCHWARTZ, MARILYN		PROSHARES TRUST ULTRAPRO NASDAQ BIO S	S	5,227.50	55.00	0.00	55.00	60.0	0.00
07/13/2017	75501988	LAWRENCE, CHRISTOPHER		GOLDMAN SACHS GROUP INC	S	11,490.50	0.00	0.00	0.00	60.0	0.00
07/18/2017	75501988	LAWRENCE, CHRISTOPHER		PALO ALTO NETWORKS INC COM	B	8,361.59	0.00	0.00	0.00	60.0	0.00
07/06/2017	75501989	BINES, BURTON		NINTENDO CO LTD-ADR NEW	B	21,048.37	0.00	0.00	0.00	60.0	0.00
07/12/2017	75501989	BINES, BURTON		TWITTER INC	S	28,521.30	0.00	0.00	0.00	60.0	0.00
07/13/2017	75501989	BINES, BURTON		NINTENDO CO LTD-ADR NEW	B	21,917.50	0.00	0.00	0.00	60.0	0.00
07/25/2017	75501989	BINES, BURTON		MAZOR ROBOTICS LTD SPONSORED ADS	S	10,274.40	0.00	0.00	0.00	60.0	0.00
07/25/2017	75501989	BINES, BURTON		NINTENDO CO LTD-ADR NEW	B	23,760.00	0.00	0.00	0.00	60.0	0.00
07/13/2017	75501990	LAWRENCE, JEFFREY		GOLDMAN SACHS GROUP INC	S	6,894.00	0.00	0.00	0.00	60.0	0.00
07/18/2017	75501990	LAWRENCE, JEFFREY		PALO ALTO NETWORKS INC COM	B	5,574.40	0.00	0.00	0.00	60.0	0.00
07/13/2017	75501991	NSH 81 LLC		ALIBABA GROUP HOLDING LTD SPONSORED B	B	14,949.00	0.00	0.00	0.00	60.0	0.00
07/13/2017	75501991	NSH 81 LLC		NINTENDO CO LTD-ADR NEW	B	15,936.00	0.00	0.00	0.00	60.0	0.00
07/13/2017	75501992	THE BARBARA & NEAL		ALIBABA GROUP HOLDING LTD SPONSORED B	B	7,463.00	0.00	0.00	0.00	60.0	0.00
07/13/2017	75501992	THE BARBARA & NEAL		AUTOMATIC DATA PROCESSING INC	S	20,310.02	0.00	0.00	0.00	60.0	0.00
07/13/2017	75501992	THE BARBARA & NEAL		GENERAL ELECTRIC CO	S	2,936.45	0.00	0.00	0.00	60.0	0.00
07/13/2017	75501992	THE BARBARA & NEAL		NINTENDO CO LTD-ADR NEW	B	9,962.50	0.00	0.00	0.00	60.0	0.00
07/13/2017	75501992	THE BARBARA & NEAL		PRICE T ROWE GROUP INC	S	2,787.05	0.00	0.00	0.00	60.0	0.00
07/13/2017	75501992	THE BARBARA & NEAL		VERTEX PHARMACEUTICALS INC	B	9,688.50	0.00	0.00	0.00	60.0	0.00
07/20/2017	75501999	LAWRENCE, CHARLES		SKYWORKS SOLUTIONS INC	B	13,425.00	125.00	0.00	125.00	60.0	75.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/28/2017	75502000	LAWRENCE, WILLIAM							
		BLUEBIRD BIO INC COM	S	10,872.50	55.00	0.00	55.00	60.0	0.00
06/30/2017	75502000	LAWRENCE, WILLIAM							
		JUNO THERAPEUTICS INC COM	B	7,448.50	75.00	0.00	75.00	60.0	0.00
07/12/2017	75502000	LAWRENCE, WILLIAM							
		PROSHARES TRUST ULTRAPRO NASDAQ BIO S	S	12,306.08	75.00	0.00	75.00	60.0	0.00
07/13/2017	75502000	LAWRENCE, WILLIAM							
		BLUEBIRD BIO INC COM	B	9,490.00	100.00	0.00	100.00	60.0	60.00
07/14/2017	75502000	LAWRENCE, WILLIAM							
		DELTA AIR LINES INC DEL COM	S	15,180.00	75.00	0.00	75.00	60.0	0.00
07/18/2017	75502000	LAWRENCE, WILLIAM							
		AVIANCA HOLDINGS SA SPONSORED ADR	B	9,009.65	100.00	0.00	100.00	60.0	60.00
07/19/2017	75502000	LAWRENCE, WILLIAM							
		FIREEYE INC COM	S	9,094.40	55.00	0.00	55.00	60.0	0.00
07/20/2017	75502000	LAWRENCE, WILLIAM							
		FIREEYE INC COM	B	8,717.52	100.00	0.00	100.00	60.0	60.00
07/24/2017	75502000	LAWRENCE, WILLIAM							
		BLUEBIRD BIO INC COM	S	10,001.26	55.00	0.00	55.00	60.0	0.00
07/24/2017	75502000	LAWRENCE, WILLIAM							
		HASBRO INC	B	13,335.28	125.00	0.00	125.00	60.0	75.00
06/28/2017	75502001	LAWRENCE, CHARLES							
		JUNO THERAPEUTICS INC COM	B	17,544.24	175.00	0.00	175.00	60.0	105.00
07/07/2017	75502001	LAWRENCE, CHARLES							
		KITE PHARMA INC COM	S	10,624.00	105.00	0.00	105.00	60.0	63.00
07/07/2017	75502001	LAWRENCE, CHARLES							
		STRATASYS LTD	B	12,945.52	150.00	0.00	150.00	60.0	90.00
07/10/2017	75502001	LAWRENCE, CHARLES							
		BLUEBIRD BIO INC COM	B	14,748.75	150.00	0.00	150.00	60.0	90.00
07/11/2017	75502001	LAWRENCE, CHARLES							
		STRATASYS LTD	S	13,389.60	75.00	0.00	75.00	60.0	0.00
07/13/2017	75502001	LAWRENCE, CHARLES							
		AK STEEL HOLDING CORP	S	10,418.33	100.00	0.00	100.00	60.0	60.00
07/13/2017	75502001	LAWRENCE, CHARLES							
		MOSAIC COMPANY	B	13,176.24	150.00	0.00	150.00	60.0	90.00
07/14/2017	75502001	LAWRENCE, CHARLES							
		BANK OF AMERICA CORP	B	13,589.84	150.00	0.00	150.00	60.0	90.00
07/18/2017	75502001	LAWRENCE, CHARLES							
		APHRIA INC COM ISIN#CA03765K1049	B	4,950.00	55.00	0.00	55.00	60.0	0.00
07/20/2017	75502001	LAWRENCE, CHARLES							
		PROSHARES TRUST ULTRAPRO NASDAQ BIO S	S	19,936.00	100.00	0.00	100.00	60.0	60.00
07/20/2017	75502001	LAWRENCE, CHARLES							
		SKYWORKS SOLUTIONS INC	B	13,370.59	125.00	0.00	125.00	60.0	75.00
07/24/2017	75502001	LAWRENCE, CHARLES							
		FAIRMOUNT SANTROL HLDGS INC COM	B	3,366.27	75.00	0.00	75.00	60.0	0.00
07/24/2017	75502001	LAWRENCE, CHARLES							
		SAREPTA THERAPEUTICS INC COM	S	25,578.00	125.00	0.00	125.00	60.0	75.00
07/25/2017	75502001	LAWRENCE, CHARLES							
		MI HOMES INC FORMERLY M I SCHOTTENS B	B	15,051.23	150.00	0.00	150.00	60.0	90.00
07/25/2017	75502001	LAWRENCE, CHARLES							
		STEEL DYNAMICS INC COM	S	21,346.97	100.00	0.00	100.00	60.0	60.00
07/26/2017	75502001	LAWRENCE, CHARLES							
		FAIRMOUNT SANTROL HLDGS INC COM	S	3,750.53	55.00	0.00	55.00	60.0	0.00
07/26/2017	75502001	LAWRENCE, CHARLES							
		PAYPAL HOLDINGS INC COM	B	15,143.23	150.00	0.00	150.00	60.0	90.00
07/26/2017	75502001	LAWRENCE, CHARLES							
		PROSHARES TRUST ULTRAPRO NASDAQ BIO S	S	20,496.00	100.00	0.00	100.00	60.0	60.00
06/29/2017	75502002	LAWRENCE, WILLIAM							
		COPA HOLDINGS S A CL A	S	29,412.50	100.00	0.00	100.00	60.0	60.00
06/29/2017	75502002	LAWRENCE, WILLIAM							
		NINTENDO CO LTD-ADR NEW	B	14,497.00	150.00	0.00	150.00	60.0	90.00
07/14/2017	75502002	LAWRENCE, WILLIAM							
		TEVA PHARMACEUTICAL INDUSTRIES LIM B	B	11,399.18	100.00	0.00	100.00	60.0	60.00
07/20/2017	75502002	LAWRENCE, WILLIAM							
		NINTENDO CO LTD-ADR NEW	B	14,528.50	150.00	0.00	150.00	60.0	90.00
07/20/2017	75502002	LAWRENCE, WILLIAM							
		SAREPTA THERAPEUTICS INC COM	S	13,260.00	100.00	0.00	100.00	60.0	60.00
07/24/2017	75502002	LAWRENCE, WILLIAM							
		REGENERON PHARMACEUTICALS INC	S	26,043.50	105.00	0.00	105.00	60.0	63.00
07/25/2017	75502002	LAWRENCE, WILLIAM							
		FIREEYE INC COM	S	9,094.40	55.00	0.00	55.00	60.0	0.00
07/26/2017	75502002	LAWRENCE, WILLIAM							
		AVIANCA HOLDINGS SA SPONSORED ADR	B	8,872.75	100.00	0.00	100.00	60.0	60.00
07/26/2017	75502002	LAWRENCE, WILLIAM							
		TEVA PHARMACEUTICAL INDUSTRIES LIM S	S	11,912.76	55.00	0.00	55.00	60.0	0.00
07/10/2017	75502005	SHEMIN, VICKI							
		DIGIMARC CORPORATION	B	6,615.25	55.00	0.00	55.00	60.0	0.00
07/19/2017	75502005	SHEMIN, VICKI							
		AMERICAN HOMES 4 RENT CUM RED PERP	B	10,060.00	55.00	0.00	55.00	60.0	0.00
07/25/2017	75502005	SHEMIN, VICKI							
		VERTEX PHARMACEUTICALS INC	S	16,700.00	55.00	0.00	55.00	60.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/12/2017	75502010	KEETER, LANCE		PALO ALTO NETWORKS INC COM	B	6,965.73	0.00	0.00	0.00 60.0 0.00
07/13/2017	75502010	KEETER, LANCE		VERTEX PHARMACEUTICALS INC	B	6,486.50	0.00	0.00	0.00 60.0 0.00
07/21/2017	75502010	KEETER, LANCE		STARBUCKS CORP	S	5,795.00	0.00	0.00	0.00 60.0 0.00
06/29/2017	75502012	DEC, JOHN		DYNEGY INC	B	10,112.50	0.00	0.00	0.00 60.0 0.00
07/05/2017	75502012	DEC, JOHN		NINTENDO CO LTD-ADR NEW	B	19,410.00	0.00	0.00	0.00 60.0 0.00
07/11/2017	75502012	DEC, JOHN		REGENERON PHARMACEUTICALS INC	B	24,651.61	0.00	0.00	0.00 60.0 0.00
07/14/2017	75502012	DEC, JOHN		AGRIUM INC	S	39,457.68	0.00	0.00	0.00 60.0 0.00
07/14/2017	75502012	DEC, JOHN		NINTENDO CO LTD-ADR NEW	B	19,850.00	0.00	0.00	0.00 60.0 0.00
07/05/2017	75502013	DEC, JOHN		NINTENDO CO LTD-ADR NEW	B	5,823.00	0.00	0.00	0.00 60.0 0.00
07/14/2017	75502013	DEC, JOHN		ACCENTURE PLC IRELAND SHS CL A	S	3,169.25	0.00	0.00	0.00 60.0 0.00
07/14/2017	75502013	DEC, JOHN		AUTOMATIC DATA PROCESSING INC	S	10,133.01	0.00	0.00	0.00 60.0 0.00
07/14/2017	75502013	DEC, JOHN		NIKE INC CL B	S	2,905.11	0.00	0.00	0.00 60.0 0.00
06/28/2017	75502014	DEC, JOHN		ACCENTURE PLC IRELAND SHS CL A	S	3,090.25	0.00	0.00	0.00 60.0 0.00
06/28/2017	75502014	DEC, JOHN		KANSAS CITY SOUTHERN IND	S	2,585.06	0.00	0.00	0.00 60.0 0.00
06/28/2017	75502014	DEC, JOHN		NIKE INC CL B	S	8,004.20	0.00	0.00	0.00 60.0 0.00
07/06/2017	75502014	DEC, JOHN		NINTENDO CO LTD-ADR NEW	B	2,869.80	0.00	0.00	0.00 60.0 0.00
07/14/2017	75502014	DEC, JOHN		AUTOMATIC DATA PROCESSING INC	S	7,599.75	0.00	0.00	0.00 60.0 0.00
07/13/2017	75502021	ARCARO, DANIEL		FIREEYE INC COM	S	2,325.76	0.00	0.00	0.00 60.0 0.00
07/13/2017	75502021	ARCARO, DANIEL		VERTEX PHARMACEUTICALS INC	B	3,225.00	0.00	0.00	0.00 60.0 0.00
07/21/2017	75502021	ARCARO, DANIEL		STARBUCKS CORP	S	3,477.00	0.00	0.00	0.00 60.0 0.00
07/25/2017	75502021	ARCARO, DANIEL		NINTENDO CO LTD-ADR NEW	B	2,363.40	0.00	0.00	0.00 60.0 0.00
07/25/2017	75502021	ARCARO, DANIEL		THE SCOTTS MIRACLE GRO CO HLDG CO	S	3,862.00	0.00	0.00	0.00 60.0 0.00
07/21/2017	75502022	APPEL, CHARLES		AKEBIA THERAPEUTICS INC COM	B	5,565.60	55.00	0.00	55.00 60.0 0.00
07/21/2017	75502022	APPEL, CHARLES		VERTEX PHARMACEUTICALS INC	S	6,434.90	55.00	0.00	55.00 60.0 0.00
06/28/2017	75502023	APPEL, CHARLES		UNITED STATES STL CORP NEW	B	6,541.98	55.00	0.00	55.00 60.0 0.00
07/26/2017	75502023	APPEL, CHARLES		UNITED STATES STL CORP NEW	S	4,012.50	55.00	0.00	55.00 60.0 0.00
07/05/2017	75502035	ROMANO, LISA		NINTENDO CO LTD-ADR NEW	B	1,941.00	0.00	0.00	0.00 60.0 0.00
07/19/2017	75502035	ROMANO, LISA		AUTOMATIC DATA PROCESSING INC	S	3,048.30	0.00	0.00	0.00 60.0 0.00
07/20/2017	75502035	ROMANO, LISA		AMERICAN INTERNATIONAL GROUP INC	S	3,208.63	0.00	0.00	0.00 60.0 0.00
07/24/2017	75502035	ROMANO, LISA		NINTENDO CO LTD-ADR NEW	B	1,216.20	0.00	0.00	0.00 60.0 0.00
07/24/2017	75502035	ROMANO, LISA		PAYPAL HOLDINGS INC COM	B	2,927.88	0.00	0.00	0.00 60.0 0.00
07/05/2017	75502036	ROMANO, MARK		NINTENDO CO LTD-ADR NEW	B	2,911.50	0.00	0.00	0.00 60.0 0.00
07/19/2017	75502036	ROMANO, MARK		AUTOMATIC DATA PROCESSING INC	S	6,110.41	0.00	0.00	0.00 60.0 0.00
07/20/2017	75502036	ROMANO, MARK		AMERICAN INTERNATIONAL GROUP INC	S	4,492.78	0.00	0.00	0.00 60.0 0.00
07/24/2017	75502036	ROMANO, MARK		NINTENDO CO LTD-ADR NEW	B	2,027.00	0.00	0.00	0.00 60.0 0.00
07/24/2017	75502036	ROMANO, MARK		PAYPAL HOLDINGS INC COM	B	4,974.84	0.00	0.00	0.00 60.0 0.00
07/25/2017	75502041	MCCORD, ELIZABETH		ADVANSIX INC COMMON STOCK	S	103.48	0.00	0.00	0.00 60.0 0.00
07/25/2017	75502041	MCCORD, ELIZABETH		NINTENDO CO LTD-ADR NEW	B	9,857.50	0.00	0.00	0.00 60.0 0.00
06/29/2017	75502043	MCCORD, ELIZABETH		ADOBE SYSTEMS INC	B	16,419.44	0.00	0.00	0.00 60.0 0.00
06/29/2017	75502043	MCCORD, ELIZABETH		ALPHABET INC CLASS A COMMON STOCK	S	14,004.35	0.00	0.00	0.00 60.0 0.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
07/06/2017	75502043	MCCORD, ELIZABETH	O REILLY AUTOMOTIVE INC	B	6,885.06	0.00	0.00	0.00	60.0	0.00
07/17/2017	75502043	MCCORD, ELIZABETH	MICROSOFT CORP	B	23,706.20	0.00	0.00	0.00	60.0	0.00
07/25/2017	75502044	MCCORD, ELIZABETH	ADVANSIX INC COMMON STOCK	S	103.54	0.00	0.00	0.00	60.0	0.00
07/25/2017	75502044	MCCORD, ELIZABETH	AGRIUM INC	S	9,400.24	0.00	0.00	0.00	60.0	0.00
07/25/2017	75502044	MCCORD, ELIZABETH	HOME DEPOT INC	S	6,470.31	0.00	0.00	0.00	60.0	0.00
07/25/2017	75502044	MCCORD, ELIZABETH	NINTENDO CO LTD-ADR NEW	B	12,788.75	0.00	0.00	0.00	60.0	0.00
07/18/2017	75502070	HAND, STEPHEN	COTY INC COM CL A	B	10,218.29	55.00	0.00	55.00	60.0	0.00
07/13/2017	75502073	HAND FAMILY LP	DDR CORP COM	B	9,305.00	100.00	0.00	100.00	60.0	60.00
07/06/2017	75502104	LAWRENCE, CHARLES	MORGAN STANLEY DEAN WITTER & CO	S	13,110.41	100.00	0.00	100.00	60.0	60.00
07/11/2017	75502104	LAWRENCE, CHARLES	MOSAIC COMPANY	B	8,722.50	125.00	0.00	125.00	60.0	75.00
07/25/2017	75502104	LAWRENCE, CHARLES	MOSAIC COMPANY	S	9,086.95	55.00	0.00	55.00	60.0	0.00
07/13/2017	75502113	BEHAR, RAYMOND	SOUTHWEST AIRLINES CO	B	6,180.00	0.00	0.00	0.00	60.0	0.00
06/28/2017	75502114	BEHAR, RAYMOND	ATHENAHEALTH INC	S	1,981.98	0.00	0.00	0.00	60.0	0.00
06/28/2017	75502114	BEHAR, RAYMOND	US BANCORP DEL	S	2,121.34	0.00	0.00	0.00	60.0	0.00
07/05/2017	75502114	BEHAR, RAYMOND	NINTENDO CO LTD-ADR NEW	B	3,885.00	0.00	0.00	0.00	60.0	0.00
07/13/2017	75502114	BEHAR, RAYMOND	SOUTHWEST AIRLINES CO	B	6,178.00	0.00	0.00	0.00	60.0	0.00
06/29/2017	75502115	BEHAR, RAYMOND	ADOBE SYSTEMS INC	B	1,964.72	0.00	0.00	0.00	60.0	0.00
06/29/2017	75502115	BEHAR, RAYMOND	ALPHABET INC CLASS A COMMON STOCK	S	1,867.25	0.00	0.00	0.00	60.0	0.00
07/06/2017	75502115	BEHAR, RAYMOND	O REILLY AUTOMOTIVE INC	B	1,059.24	0.00	0.00	0.00	60.0	0.00
07/17/2017	75502115	BEHAR, RAYMOND	MICROSOFT CORP	B	2,917.69	0.00	0.00	0.00	60.0	0.00
* STOCKS SUBTOTAL *					\$1,284,955.74	\$4,930.00	\$0.00	\$4,930.00		\$2,106.00
PRODUCT CATEGORY: BONDS										
07/26/2017	75502073	HAND FAMILY LP	CITIGROUP INC	S	26,875.00	125.00	0.00	125.00	60.0	75.00
07/26/2017	75502073	HAND FAMILY LP	HERTZ CORP SR NT FULLY EXCH FROM 40 B		23,351.00	500.00	0.00	500.00	60.0	300.00
* BONDS SUBTOTAL *					\$50,226.00	\$625.00	\$0.00	\$625.00		\$375.00
PRODUCT CATEGORY: OPTIONS										
07/20/2017	75502124	HAND, STEPHEN	CALL COTY 01/19/18 20	B	1,040.00	52.00	0.00	52.00	60.0	0.00
07/20/2017	75502124	HAND, STEPHEN	CALL DDR 10/20/17 10	B	640.00	51.00	0.00	51.00	60.0	0.00
07/21/2017	75502124	HAND, STEPHEN	CALL DDR 10/20/17 10	B	500.00	51.00	0.00	51.00	60.0	0.00
07/24/2017	75502124	HAND, STEPHEN	PUT FOSL 11/17/17 9	B	1,000.00	51.00	0.00	51.00	60.0	0.00
07/27/2017	75502124	HAND, STEPHEN	CALL DDR 10/20/17 10	S	2,000.00	51.00	0.00	51.00	60.0	0.00
07/27/2017	75502124	HAND, STEPHEN	PUT FOSL 11/17/17 9	S	800.00	51.00	0.00	51.00	60.0	0.00
07/28/2017	75502124	HAND, STEPHEN	CALL COTY 01/19/18 20	S	2,000.00	51.00	0.00	51.00	60.0	0.00
* OPTIONS SUBTOTAL *					\$7,980.00	\$358.00	\$0.00	\$358.00		\$0.00
PRODUCT CATEGORY: RIA										
07/18/2017	75501981	LAWRENCE, VICTORIA	FAS FEES	B	-1,347.74	1,172.53	0.00	1,172.53	60.0	703.52
07/18/2017	75501985	LAWRENCE, CHRISTOPHER	FAS FEES	B	-165.89	107.83	0.00	107.83	60.0	64.70
07/18/2017	75501986	LAWRENCE, CHRISTOPHER	FAS FEES	B	-529.48	441.23	0.00	441.23	60.0	264.74

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
07/18/2017	75501988	LAWRENCE, CHRISTOPHER	FAS FEES	B	-370.98	278.23	0.00	278.23	60.0	166.94
07/18/2017	75501989	BINES, BURTON	FAS FEES	B	-4,134.57	3,679.77	0.00	3,679.77	60.0	2,207.86
07/18/2017	75501990	LAWRENCE, JEFFREY	FAS FEES	B	-208.89	135.78	0.00	135.78	60.0	81.47
07/18/2017	75501991	NSH 81 LLC	FAS FEES	B	-3,328.83	2,962.66	0.00	2,962.66	60.0	1,777.60
07/18/2017	75501992	THE BARBARA & NEAL	FAS FEES	B	-1,367.91	1,190.08	0.00	1,190.08	60.0	714.05
07/18/2017	75502008	DEC, JOHN	FAS FEES	B	-775.32	635.76	0.00	635.76	60.0	381.46
07/18/2017	75502010	KEETER, LANCE	FAS FEES	B	-708.37	612.64	0.00	612.64	60.0	367.58
07/18/2017	75502011	DEC, JANICE	FAS FEES	B	-371.26	278.44	0.00	278.44	60.0	167.06
07/18/2017	75502012	DEC, JOHN	FAS FEES	B	-3,212.17	2,858.83	0.00	2,858.83	60.0	1,715.30
07/18/2017	75502013	DEC, JOHN	FAS FEES	B	-524.69	393.52	0.00	393.52	60.0	236.11
07/19/2017	75502013	DEC, JOHN	FAS FEES	B	20.55	-15.41	0.00	-15.41	60.0	-9.25
07/10/2017	75502014	DEC, JOHN	FAS FEES	B	47.12	-35.34	0.00	-35.34	60.0	-21.20
07/18/2017	75502014	DEC, JOHN	FAS FEES	B	-489.14	366.85	0.00	366.85	60.0	220.11
07/18/2017	75502021	ARCARO, DANIEL	FAS FEES	B	-347.67	281.89	0.00	281.89	60.0	169.13
07/18/2017	75502035	ROMANO, LISA	FAS FEES	B	-247.62	189.84	0.00	189.84	60.0	113.90
07/18/2017	75502036	ROMANO, MARK	FAS FEES	B	-403.93	336.61	0.00	336.61	60.0	201.97
07/18/2017	75502041	MCCORD, ELIZABETH	FAS FEES	B	-1,012.23	830.03	0.00	830.03	60.0	498.02
07/18/2017	75502043	MCCORD, ELIZABETH	FAS FEES	B	-2,788.38	1,438.22	0.00	1,438.22	60.0	862.93
07/18/2017	75502044	MCCORD, ELIZABETH	FAS FEES	B	-1,209.39	991.70	0.00	991.70	60.0	595.02
07/18/2017	75502060	MASSEY, GEORGE	FAS FEES	B	-314.30	226.30	0.00	226.30	60.0	135.78
07/18/2017	75502061	MASSEY, GEORGE	FAS FEES	B	-199.40	143.57	0.00	143.57	60.0	86.14
07/18/2017	75502113	BEHAR, RAYMOND	FAS FEES	B	-313.83	235.37	0.00	235.37	60.0	141.22
07/18/2017	75502114	BEHAR, RAYMOND	FAS FEES	B	-673.28	552.09	0.00	552.09	60.0	331.25
07/18/2017	75502115	BEHAR, RAYMOND	FAS FEES	B	-361.10	144.43	0.00	144.43	60.0	86.66
* RIA SUBTOTAL *					\$-25,338.70	\$20,433.45	\$0.00	\$20,433.45		\$12,260.07
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/01/2017	00083587996	LAWRENCE, JACKSON	AMCAP FUND-529A	B	0.00	13.26	0.00	13.26	60.0	7.96
06/01/2017	00083587996	LAWRENCE, JACKSON	AMERICAN BALANCED FUND-529A	B	0.00	5.76	0.00	5.76	60.0	3.46
06/01/2017	00083587996	LAWRENCE, JACKSON	CAPITAL INCOME BUILDER-529A	B	0.00	2.24	0.00	2.24	60.0	1.34
06/01/2017	00083587996	LAWRENCE, JACKSON	CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	9.10	0.00	9.10	60.0	5.46
06/01/2017	00083587996	LAWRENCE, JACKSON	NEW WORLD FUND-529A	B	0.00	3.69	0.00	3.69	60.0	2.21
06/01/2017	00083587996	LAWRENCE, JACKSON	SMALLCAP WORLD FUND-529A	B	0.00	5.33	0.00	5.33	60.0	3.20
06/01/2017	00083587996	LAWRENCE, JACKSON	THE GROWTH FUND OF AMERICA-529A	B	0.00	12.22	0.00	12.22	60.0	7.33
07/12/2017	75502005	SHEMIN, VICKI	THE INCOME FUND OF AMERICA-A	B	0.00	4.36	0.00	4.36	60.0	2.62
07/12/2017	75502022	APPEL, CHARLES	MFS INTERNTL DIVERSIFICATION FUND-A	B	0.00	4.91	0.00	4.91	60.0	2.95
07/12/2017	75502023	APPEL, CHARLES	**CLEARBRIDGE AGGRESSIVE	B	0.00	9.29	0.00	9.29	60.0	5.57
07/12/2017	75502023	APPEL, CHARLES	**DEUTSCHE GLOBAL	B	0.00	6.97	0.00	6.97	60.0	4.18
07/12/2017	75502023	APPEL, CHARLES	JANUS INVT FD GLOBAL LIFE SCIENCES	B	0.00	10.09	0.00	10.09	60.0	6.05
07/12/2017	75502023	APPEL, CHARLES	JANUS INVT FD TRITON FD CL C	B	0.00	15.28	0.00	15.28	60.0	9.17

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/12/2017	75502023	APPEL, CHARLES		JOHN HANCOCK FDS III DISCIPLINED VA B	0.00	9.81	0.00	9.81	60.0	5.89
07/12/2017	75502070	HAND, STEPHEN		LORD ABBETT SECS TR INTL DIVIDEND I B	0.00	9.47	0.00	9.47	60.0	5.68
07/12/2017	75502070	HAND, STEPHEN		LORD ABBETT MULTI ASSET INCOME FUND B	0.00	9.43	0.00	9.43	60.0	5.66
07/12/2017	75502070	HAND, STEPHEN		LORD ABBETT HIGH YIELD FUND CL-C B	0.00	8.41	0.00	8.41	60.0	5.05
07/12/2017	75502070	HAND, STEPHEN		NUVEEN SYMPHONY CREDIT OPPORTUNITIE B	0.00	10.55	0.00	10.55	60.0	6.33
07/20/2017	75502070	HAND, STEPHEN		**ALPS ALERIAN MLP B	0.00	17.53	0.00	17.53	60.0	10.52
07/20/2017	75502070	HAND, STEPHEN		**ALPS ALERIAN MLP B	0.00	5.84	0.00	5.84	60.0	3.50
07/20/2017	75502070	HAND, STEPHEN		ALPS RED ROCKS LISTED PRIVATE EQUIT B	0.00	25.23	0.00	25.23	60.0	15.14
07/20/2017	75502070	HAND, STEPHEN		ALPS RED ROCKS LISTED PRIVATE EQUIT B	0.00	8.41	0.00	8.41	60.0	5.05
07/12/2017	75502071	HAND, STEPHEN		LORD ABBETT MULTI ASSET INCOME FUND B	0.00	2.36	0.00	2.36	60.0	1.42
07/12/2017	75502071	HAND, STEPHEN		NUVEEN SYMPHONY CREDIT OPPORTUNITIE B	0.00	2.64	0.00	2.64	60.0	1.58
07/20/2017	75502071	HAND, STEPHEN		**ALPS ALERIAN MLP B	0.00	1.46	0.00	1.46	60.0	0.00
07/20/2017	75502071	HAND, STEPHEN		**ALPS ALERIAN MLP B	0.00	4.37	0.00	4.37	60.0	2.62
07/20/2017	75502071	HAND, STEPHEN		ALPS RED ROCKS LISTED PRIVATE EQUIT B	0.00	2.10	0.00	2.10	60.0	1.26
07/20/2017	75502071	HAND, STEPHEN		ALPS RED ROCKS LISTED PRIVATE EQUIT B	0.00	6.30	0.00	6.30	60.0	3.78
07/12/2017	75502073	HAND FAMILY LP		**AB HIGH INCOME MUNICIPAL B	0.00	172.10	0.00	172.10	60.0	103.26
07/12/2017	75502073	HAND FAMILY LP		LORD ABBETT TAX-FREE INCOME TR HIGH B	0.00	123.80	0.00	123.80	60.0	74.28
07/20/2017	75502073	HAND FAMILY LP		**ALPS ALERIAN MLP B	0.00	60.71	0.00	60.71	60.0	36.43
07/20/2017	75502073	HAND FAMILY LP		**ALPS ALERIAN MLP B	0.00	20.24	0.00	20.24	60.0	12.14
07/20/2017	75502073	HAND FAMILY LP		ALPS RED ROCKS LISTED PRIVATE EQUIT B	0.00	93.64	0.00	93.64	60.0	56.18
07/20/2017	75502073	HAND FAMILY LP		ALPS RED ROCKS LISTED PRIVATE EQUIT B	0.00	31.21	0.00	31.21	60.0	18.73
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$728.11	\$0.00	\$728.11		\$436.00
				*** BROKER TRANSACTIONS TOTAL ***	\$1,327,823.04	\$27,074.56	\$0.00	\$27,074.56		\$15,177.07

ADJUSTMENTS

07/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$15,177.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-33.84
SIPC Assessment	\$-67.69

Check Amount	\$15,055.54
Year-to-date Earnings	\$17,070.25