



COMMISSION STATEMENT

February 05, 2018

RICHARD PASCALE
321 Grover Avenue North
Massapequa Park, NY 11762

BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/05/2018	***-**-5723 - PONTURO, ACCOUNT #: 77161355101	MODERATE PORTFOLIO A BUY 0 Units @0.0000	200.00	4.76	0.00	4.76	90.0	4.28
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$4.76	\$0.00	\$4.76		\$4.28
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/01/2018	***-**-3981 - HALL, WAYNE ACCOUNT #: VP71050690	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	75.00	0.38	0.00	0.38	90.0	0.34
02/01/2018	***-**-3981 - HALL, WAYNE ACCOUNT #: VP71050690	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	75.00	0.38	0.00	0.38	90.0	0.34
02/02/2018	***-**-7948 - GEOGHEGAN, JOHN ACCOUNT #: VP71049160	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	200.00	1.13	0.00	1.13	90.0	1.02
02/02/2018	***-**-7948 - GEOGHEGAN, JOHN ACCOUNT #: VP71049160	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	200.00	1.50	0.00	1.50	90.0	1.35
02/02/2018	***-**-7948 - GEOGHEGAN, JOHN ACCOUNT #: VP71049160	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	200.00	0.38	0.00	0.38	90.0	0.34
* VARIABLE ANNUITIES SUBTOTAL *			\$750.00	\$3.77	\$0.00	\$3.77		\$3.39
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
02/01/2018	***-**-64CL - PASCALE, CLIENTS	PENN LIFE VL TRAILS BUY 1 Units @0.0000	0.00	160.01	0.00	160.01	90.0	144.01
* LIFE INSURANCE SUBTOTAL *			\$0.00	\$160.01	\$0.00	\$160.01		\$144.01
<u>PRODUCT CATEGORY: RIA</u>								
02/05/2018	***-**-84FB - MERNONE, JOY ACCOUNT #: 925403625	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	83.32	41.66	0.00	41.66	90.0	37.49
02/05/2018	***-**-84FB - MERNONE, JOY ACCOUNT #: 925327935	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	92.55	46.28	0.00	46.28	90.0	41.65
02/05/2018	***-**-84FB - MERNONE, JOY ACCOUNT #: 925327497	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	87.01	43.51	0.00	43.51	90.0	39.16
02/05/2018	***-**-84FB - MERNONE, JOY ACCOUNT #: 925399552	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	166.52	83.26	0.00	83.26	90.0	74.93
02/05/2018	***-**-84FB - MERNONE, JOY ACCOUNT #: 925330653	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	78.59	39.30	0.00	39.30	90.0	35.37



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
02/05/2018	***-**-84FB - MERNONE, JOY ACCOUNT #: 925290018	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	143.69	71.85	0.00	71.85	90.0	64.67
02/05/2018	***-**-84FB - MERNONE, JOY ACCOUNT #: 925407780	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	133.22	66.61	0.00	66.61	90.0	59.95
02/05/2018	***-**-49FB - AMICI, JOHN ACCOUNT #: 925290937	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	34.90	17.45	0.00	17.45	90.0	15.71
02/05/2018	***-**-01FB - PASCALE, ROBERT ACCOUNT #: 925320965	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	358.22	179.11	0.00	179.11	90.0	161.20
02/05/2018	***-**-01FB - PASCALE, ROBERT ACCOUNT #: 925291265	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	71.03	35.52	0.00	35.52	90.0	31.97
02/05/2018	***-**-01FB - PASCALE, ROBERT ACCOUNT #: 925291267	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	227.20	113.60	0.00	113.60	90.0	102.24
02/05/2018	***-**-01FB - PASCALE, ROBERT ACCOUNT #: 925320910	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	68.90	34.45	0.00	34.45	90.0	31.01
02/05/2018	***-**-01FB - PASCALE, ROBERT ACCOUNT #: 925320905	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	110.69	55.35	0.00	55.35	90.0	49.82
02/05/2018	***-**-01FB - PASCALE, ROBERT ACCOUNT #: 925320963	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	108.57	54.29	0.00	54.29	90.0	48.86
02/05/2018	***-**-01FB - PASCALE, ROBERT ACCOUNT #: 925320922	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	44.26	22.13	0.00	22.13	90.0	19.92
02/05/2018	***-**-71FB - PASCALE, LYNNE ACCOUNT #: 925320924	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	177.91	88.96	0.00	88.96	90.0	80.06
02/05/2018	***-**-71FB - PASCALE, LYNNE ACCOUNT #: 925293979	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	115.86	57.93	0.00	57.93	90.0	52.14
02/05/2018	***-**-71FB - PASCALE, LYNNE ACCOUNT #: 925320975	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	110.65	55.33	0.00	55.33	90.0	49.80
02/05/2018	***-**-71FB - PASCALE, LYNNE ACCOUNT #: 925320939	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	70.91	35.46	0.00	35.46	90.0	31.91
02/05/2018	***-**-67FB - SOLA, MARIA ACCOUNT #: 925330871	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	35.01	17.51	0.00	17.51	90.0	15.76
02/05/2018	***-**-67FB - SOLA, MARIA ACCOUNT #: 925330859	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	24.24	12.12	0.00	12.12	90.0	10.91



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
02/05/2018	***-**-67FB - SOLA, MARIA	LIFEMARK INVESTMENT ADVISORY FEE	23.03	11.52	0.00	11.52	90.0	10.37
	ACCOUNT #: 925331019	BUY 0 Units @0.0000						
02/05/2018	***-**-67FB - SOLA, MARIA	LIFEMARK INVESTMENT ADVISORY FEE	44.27	22.14	0.00	22.14	90.0	19.93
	ACCOUNT #: 925331008	BUY 0 Units @0.0000						
02/05/2018	***-**-67FB - SOLA, MARIA	LIFEMARK INVESTMENT ADVISORY FEE	85.53	42.77	0.00	42.77	90.0	38.49
	ACCOUNT #: 925295983	BUY 0 Units @0.0000						
02/05/2018	***-**-67FB - SOLA, MARIA	LIFEMARK INVESTMENT ADVISORY FEE	66.70	33.35	0.00	33.35	90.0	30.02
	ACCOUNT #: 925300185	BUY 0 Units @0.0000						
02/05/2018	***-**-67FB - SOLA, MARIA	LIFEMARK INVESTMENT ADVISORY FEE	116.46	58.23	0.00	58.23	90.0	52.41
	ACCOUNT #: 925298652	BUY 0 Units @0.0000						
02/05/2018	***-**-07FB - EARL, NANCY	LIFEMARK INVESTMENT ADVISORY FEE	235.47	117.74	0.00	117.74	90.0	105.97
	ACCOUNT #: 925299932	BUY 0 Units @0.0000						
02/05/2018	***-**-23FB - LEIBOWITZ, IRWIN	LIFEMARK INVESTMENT ADVISORY FEE	119.91	59.96	0.00	59.96	90.0	53.96
	ACCOUNT #: 941322197	BUY 0 Units @0.0000						
02/05/2018	***-**-04FB - PRIMAVERA, LOUIS	LIFEMARK INVESTMENT ADVISORY FEE	52.80	26.40	0.00	26.40	90.0	23.76
	ACCOUNT #: 925356849	BUY 0 Units @0.0000						
02/05/2018	***-**-04FB - PRIMAVERA, LOUIS	LIFEMARK INVESTMENT ADVISORY FEE	162.46	81.23	0.00	81.23	90.0	73.11
	ACCOUNT #: 925360324	BUY 0 Units @0.0000						
02/05/2018	***-**-64FB - PASCALE, RICHARD	LIFEMARK INVESTMENT ADVISORY FEE	33.33	16.67	0.00	16.67	90.0	15.00
	ACCOUNT #: 925372166	BUY 0 Units @0.0000						
02/05/2018	***-**-08FB - CURTIS, MARIE	LIFEMARK INVESTMENT ADVISORY FEE	128.14	64.07	0.00	64.07	90.0	57.66
	ACCOUNT #: 925392988	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			\$3,411.35	\$1,705.76	\$0.00	\$1,705.76		\$1,535.21
<u>PRODUCT CATEGORY: 529 PLAN</u>								
02/01/2018	***-**-545P - FELLIN, DIANE	NYA JPM 529 AGGR AGE-BASE C	100.00	2.03	0.00	2.03	90.0	1.83
	ACCOUNT #: 77169971002	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$100.00	\$2.03	\$0.00	\$2.03		\$1.83



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/31/2018	***-**-01IN - PASCALE, ROBERT	JACKSON 468511480	0.00	122.17	0.00	122.17	90.0	109.95
	ACCOUNT #: 1200005320	BUY 0 Units @0.0000						
01/31/2018	***-**-67IN - SOLA, MARIA	JACKSON 468511480	0.00	28.65	0.00	28.65	90.0	25.79
	ACCOUNT #: 1200291765	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$150.82	\$0.00	\$150.82		\$135.74
*** BROKER TRANSACTIONS TOTAL ***			\$4,461.35	\$2,027.15	\$0.00	\$2,027.15		\$1,824.46

SPLIT TRANSACTIONS

BROKER: JEFFREY MORRISON

01/23/2018	***-**-6154 - TURPAN, PETER	FA 529 PORT 2019-OLD CL A	500.00	5.00	0.00	5.00	90.0	4.50
	ACCOUNT #: 00702446014	BUY 23 Units @21.3700						
01/22/2018	***-**-545P - TURPAN, PETER	FA 529 PORT 2022-CL A	500.00	6.88	0.00	6.88	90.0	6.19
	ACCOUNT #: 00704633189	BUY 18 Units @28.4100						
02/05/2018	***-**-77FB - ZALINSKY, STEVEN	LIFEMARK INVESTMENT ADVISORY FEE	182.31	91.16	0.00	91.16	90.0	82.04
	ACCOUNT #: 925289931	BUY 0 Units @0.0000						
02/05/2018	***-**-75FB - WATSON, SANDRA	LIFEMARK INVESTMENT ADVISORY FEE	111.33	55.67	0.00	55.67	90.0	50.10
	ACCOUNT #: 925290470	BUY 0 Units @0.0000						
02/05/2018	***-**-75FB - WATSON, SANDRA	LIFEMARK INVESTMENT ADVISORY FEE	38.42	19.21	0.00	19.21	90.0	17.29
	ACCOUNT #: 925290046	BUY 0 Units @0.0000						
02/05/2018	***-**-24IN - BONGORT,	LIFEMARK INVESTMENT ADVISORY FEE	9.28	4.64	0.00	4.64	90.0	4.18
	ACCOUNT #: 925291361	BUY 0 Units @0.0000						
02/05/2018	***-**-40FB - CHOWDHURY, NAHID	LIFEMARK INVESTMENT ADVISORY FEE	25.28	12.64	0.00	12.64	90.0	11.38
	ACCOUNT #: 925293988	BUY 0 Units @0.0000						
02/05/2018	***-**-24FB - KRESS, STEPHEN	LIFEMARK INVESTMENT ADVISORY FEE	49.64	24.82	0.00	24.82	90.0	22.34
	ACCOUNT #: 925294514	BUY 0 Units @0.0000						
02/05/2018	***-**-59FB - OLLECH, BARBARA	LIFEMARK INVESTMENT ADVISORY FEE	280.31	140.16	0.00	140.16	90.0	126.14
	ACCOUNT #: 925297947	BUY 0 Units @0.0000						
02/05/2018	***-**-59FB - OLLECH, BARBARA	LIFEMARK INVESTMENT ADVISORY FEE	22.90	11.45	0.00	11.45	90.0	10.31
	ACCOUNT #: 925321895	BUY 0 Units @0.0000						
02/05/2018	***-**-59FB - OLLECH, BARBARA	LIFEMARK INVESTMENT ADVISORY FEE	111.64	55.82	0.00	55.82	90.0	50.24
	ACCOUNT #: 925301447	BUY 0 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
02/05/2018	***-**-74FB - SAPER, ERIC	LIFEMARK INVESTMENT ADVISORY FEE	55.15	27.58	0.00	27.58	90.0	24.82
	ACCOUNT #: 925298658	BUY 0 Units @0.0000						
02/05/2018	***-**-88FB - HOVSEPIAN,	LIFEMARK INVESTMENT ADVISORY FEE	298.57	149.29	0.00	149.29	90.0	134.36
	ACCOUNT #: 925319163	BUY 0 Units @0.0000						
02/05/2018	***-**-33FB - BECCE, WILLIAM	LIFEMARK INVESTMENT ADVISORY FEE	36.34	18.17	0.00	18.17	90.0	16.35
	ACCOUNT #: 925327937	BUY 0 Units @0.0000						
02/05/2018	***-**-33FB - BECCE, WILLIAM	LIFEMARK INVESTMENT ADVISORY FEE	98.80	49.40	0.00	49.40	90.0	44.46
	ACCOUNT #: 925323207	BUY 0 Units @0.0000						
02/05/2018	***-**-91FB - PANG, JIE	LIFEMARK INVESTMENT ADVISORY FEE	20.97	10.49	0.00	10.49	90.0	9.44
	ACCOUNT #: 925402185	BUY 0 Units @0.0000						
02/01/2018	***-**-7724 - NANDAA, NEIL	NYA JPM 529 REALTY INCOME PORTF C	200.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 77348040901	BUY 0 Units @0.0000						
02/01/2018	***-**-0944 - GEOGHEGAN, TRACY	PACIFIC LIFE VA DTCC RENEWALS	100.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71050220	BUY 0 Units @0.0000						
02/01/2018	***-**-0944 - GEOGHEGAN, TRACY	PACIFIC LIFE VA DTCC RENEWALS	100.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: VP71050220	BUY 0 Units @0.0000						
02/01/2018	***-**-0944 - GEOGHEGAN, TRACY	PACIFIC LIFE VA DTCC RENEWALS	100.00	0.13	0.00	0.13	90.0	0.12
	ACCOUNT #: VP71050220	BUY 0 Units @0.0000						
02/01/2018	***-**-0944 - GEOGHEGAN, TRACY	PACIFIC LIFE VA DTCC RENEWALS	0.00	6.20	0.00	6.20	90.0	5.58
	ACCOUNT #: VP71050220	BUY 0 Units @0.0000						
02/01/2018	***-**-0944 - GEOGHEGAN, TRACY	PACIFIC LIFE VA DTCC RENEWALS	0.00	1.55	0.00	1.55	90.0	1.40
	ACCOUNT #: VP71050220	BUY 0 Units @0.0000						
02/01/2018	***-**-0944 - GEOGHEGAN, TRACY	PACIFIC LIFE VA DTCC RENEWALS	0.00	4.65	0.00	4.65	90.0	4.19
	ACCOUNT #: VP71050220	BUY 0 Units @0.0000						
02/01/2018	***-**-485I - YANKILEVICH,	PACIFIC LIFE VA RENEWAL DTCC	250.00	2.50	0.00	2.50	90.0	2.25
	ACCOUNT #: VP65871480	BUY 0 Units @0.0000						
02/05/2018	***-**-60TR - BRILL FAMILY	RESOURCE REAL ESTATES-NFS	0.00	10.02	0.00	10.02	90.0	9.02
	ACCOUNT #: LSR011537	BUY 1 Units @0.0000						
02/05/2018	***-**-640K - OLLECH, MARCEL	RESOURCE REAL ESTATES-NFS	0.00	0.99	0.00	0.99	90.0	0.89
	ACCOUNT #: LSR010819	BUY 1 Units @0.0000						
02/05/2018	***-**-485I - YANKILEVICH,	RESOURCE REAL ESTATES-NFS	0.00	0.71	0.00	0.71	90.0	0.64
	ACCOUNT #: LSR011632	BUY 1 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
02/05/2018	***-**-60TR - BRILL FAMILY	RESOURCE REAL ESTATES-NFS	0.00	9.49	0.00	9.49	90.0	8.54
	ACCOUNT #: LSR011537	BUY 1 Units @0.0000						
02/05/2018	***-**-640K - OLLECH, MARCEL	RESOURCE REAL ESTATES-NFS	0.00	0.94	0.00	0.94	90.0	0.85
	ACCOUNT #: LSR010819	BUY 1 Units @0.0000						
02/05/2018	***-**-485I - YANKILEVICH,	RESOURCE REAL ESTATES-NFS	0.00	0.68	0.00	0.68	90.0	0.61
	ACCOUNT #: LSR011632	BUY 1 Units @0.0000						
02/05/2018	***-**-36IR - KOUNOUKLOS,	SAMMONS MF TRAILS	0.00	2.53	0.00	2.53	90.0	2.28
	ACCOUNT #: 7200011202	BUY 0 Units @0.0000						
* JEFFREY MORRISON SUBTOTAL *			\$3,090.94	\$724.65	\$0.00	\$724.65		\$652.20
*** SPLIT TRANSACTIONS TOTAL ***			\$3,090.94	\$724.65	\$0.00	\$724.65		\$652.20
<u>OVERRIDE TRANSACTIONS</u>								
<u>BROKER: ALAN FISHMAN</u>								
02/02/2018	***-**-5103 - VICARI, KEVIN	VOYA INS TRAILS	0.00	5.94	0.00	5.94	7.5	0.45
		BUY 1 Units @0.0000						
* ALAN FISHMAN SUBTOTAL *			\$0.00	\$5.94	\$0.00	\$5.94		\$0.45
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$5.94	\$0.00	\$5.94		\$0.45



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
Broker Transactions							\$1,824.46	
Split Transactions							\$652.20	
Override Transactions							\$0.45	
Adjustments							\$0.00	
Payroll Draw							\$0.00	
Base Salary							\$0.00	
Prior Period Balance							\$0.00	
FINRA Assessment							\$0.00	
SIPC Assessment							\$0.00	
Net Commission Earned							\$2,477.11	
Year-to-date Earnings							\$1,824.46	