



COMMISSION STATEMENT

February 05, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 01/22/2018 | ***-**-71IN - O'NEILL, RAYMOND | FRK STRAT INC FND - CL A | 50.00 | 2.00 | 0.00 | 2.00 | 95.0 | 1.90 |
| | ACCOUNT #: 000019400013468 | BUY 5 Units @10.3000 | | | | | | |
| | | * MUTUAL FUNDS SUBTOTAL * | \$50.00 | \$2.00 | \$0.00 | \$2.00 | | \$1.90 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 02/05/2018 | ***-**-86I` - SEELEY, NANCY | BLACKROCK TRAILS | 0.00 | 4.48 | 0.00 | 4.48 | 95.0 | 4.26 |
| | ACCOUNT #: 5291468659 | BUY 0 Units @0.0000 | | | | | | |
| | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00 | \$4.48 | \$0.00 | \$4.48 | | \$4.26 |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$50.00 | \$6.48 | \$0.00 | \$6.48 | | \$6.16 |

VERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

| | | | | | | | | |
|------------|-------------------------------|------------------------------|--------|-------|------|-------|------|------|
| 01/22/2018 | ***-**-9037 - NOURSE, STACIA | AMERICAN BALANCED FUND-A | 50.00 | 2.50 | 0.00 | 2.50 | 20.0 | 0.50 |
| | ACCOUNT #: 04000247689 | BUY 2 Units @29.7700 | | | | | | |
| 01/22/2018 | ***-**-408B - SNIZEK, | AMERICAN BALANCED FUND-A | 125.00 | 3.44 | 0.00 | 3.44 | 20.0 | 0.69 |
| | ACCOUNT #: 00085154620 | BUY 4 Units @29.0800 | | | | | | |
| 01/22/2018 | ***-**-4639 - SCOONES, | AMERICAN BALANCED FUND-A | 90.75 | 2.50 | 0.00 | 2.50 | 20.0 | 0.50 |
| | ACCOUNT #: 00084481157 | BUY 3 Units @29.0800 | | | | | | |
| 01/22/2018 | ***-**-5152 - DUNN, MICHELE | AMERICAN BALANCED FUND-A | 400.00 | 11.00 | 0.00 | 11.00 | 20.0 | 2.20 |
| | ACCOUNT #: 00087316793 | BUY 14 Units @29.0800 | | | | | | |
| 01/22/2018 | ***-**-5556 - BURNS, ROBERT | AMERICAN BALANCED FUND-A | 37.50 | 1.59 | 0.00 | 1.59 | 20.0 | 0.32 |
| | ACCOUNT #: 00085993216 | BUY 1 Units @29.5400 | | | | | | |
| 01/22/2018 | ***-**-0939 - LAWRENCE, JASON | AMERICAN BALANCED FUND-A | 60.00 | 3.00 | 0.00 | 3.00 | 20.0 | 0.60 |
| | ACCOUNT #: 00087909097 | BUY 2 Units @29.7700 | | | | | | |
| 01/25/2018 | ***-**-4427 - ZOGBY, MICHAEL | AMERICAN BALANCED FUND-A | 116.00 | 3.19 | 0.00 | 3.19 | 20.0 | 0.64 |
| | ACCOUNT #: 00084492487 | BUY 4 Units @29.1200 | | | | | | |
| 01/25/2018 | ***-**-7449 - JONES, D | AMERICAN BALANCED FUND-A | 115.00 | 4.31 | 0.00 | 4.31 | 20.0 | 0.86 |
| | ACCOUNT #: 00087990221 | BUY 4 Units @29.4200 | | | | | | |
| 01/22/2018 | ***-**-9037 - NOURSE, STACIA | AMERICAN HIGH-INCOME TRUST-A | 50.00 | 1.50 | 0.00 | 1.50 | 20.0 | 0.30 |
| | ACCOUNT #: 04000247689 | BUY 5 Units @10.8300 | | | | | | |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: RONALD DRAKE (continued)</u> | | | | | | | | |
| 01/22/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340 | AMERICAN HIGH-INCOME TRUST-A BUY 7 Units @10.8000 | 75.00 | 2.06 | 0.00 | 2.06 | 20.0 | 0.41 |
| 01/22/2018 | ***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015 | AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.8000 | 25.50 | 0.70 | 0.00 | 0.70 | 20.0 | 0.14 |
| 01/22/2018 | ***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851 | AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.8000 | 25.00 | 0.69 | 0.00 | 0.69 | 20.0 | 0.14 |
| 01/22/2018 | ***-**-408B - SNIZEK, ACCOUNT #: 00085154620 | AMERICAN HIGH-INCOME TRUST-A BUY 9 Units @10.8000 | 100.00 | 2.75 | 0.00 | 2.75 | 20.0 | 0.55 |
| 01/22/2018 | ***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216 | AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.8300 | 52.50 | 1.58 | 0.00 | 1.58 | 20.0 | 0.32 |
| 01/22/2018 | ***-**-4848 - DOGGETT, AMY ACCOUNT #: 00081620466 | AMERICAN HIGH-INCOME TRUST-A BUY 15 Units @10.8300 | 165.00 | 4.95 | 0.00 | 4.95 | 20.0 | 0.99 |
| 01/22/2018 | ***-**-70IN - KING, SUSAN ACCOUNT #: 03210336612 | CAPITAL APPRECIATION A BUY 0 Units @0.0000 | 60.00 | 1.80 | 0.00 | 1.80 | 20.0 | 0.36 |
| 01/17/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 03008792487 | CAPITAL INCOME A BUY 0 Units @0.0000 | 262.50 | 12.47 | 0.00 | 12.47 | 20.0 | 2.49 |
| 01/17/2018 | ***-**-030J - MERRILL, ACCOUNT #: 03009145719 | CAPITAL INCOME A BUY 0 Units @0.0000 | 62.50 | 1.88 | 0.00 | 1.88 | 20.0 | 0.38 |
| 01/22/2018 | ***-**-481J - MERRILL, JASON ACCOUNT #: 03009145730 | CAPITAL INCOME A BUY 0 Units @0.0000 | 62.50 | 1.88 | 0.00 | 1.88 | 20.0 | 0.38 |
| 01/29/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 03008792487 | CAPITAL INCOME A BUY 0 Units @0.0000 | 262.50 | 12.47 | 0.00 | 12.47 | 20.0 | 2.49 |
| 01/29/2018 | ***-**-030J - MERRILL, ACCOUNT #: 03009145719 | CAPITAL INCOME A BUY 0 Units @0.0000 | 62.50 | 1.88 | 0.00 | 1.88 | 20.0 | 0.38 |
| 01/22/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340 | CAPITAL INCOME BUILDER-A BUY 1 Units @66.9100 | 100.00 | 2.75 | 0.00 | 2.75 | 20.0 | 0.55 |
| 01/22/2018 | ***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793 | CAPITAL INCOME BUILDER-A BUY 6 Units @66.9100 | 400.00 | 11.00 | 0.00 | 11.00 | 20.0 | 2.20 |
| 01/25/2018 | ***-**-7449 - JONES, D ACCOUNT #: 00087990221 | CAPITAL INCOME BUILDER-A BUY 1 Units @67.6500 | 50.00 | 1.88 | 0.00 | 1.88 | 20.0 | 0.38 |
| 01/22/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340 | CAPITAL WORLD BOND FUND-A BUY 4 Units @20.9100 | 75.00 | 2.06 | 0.00 | 2.06 | 20.0 | 0.41 |



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|--|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>VERRIDE TRANSACTIONS (continued)</u> | | | | | | | | |
| <u>BROKER: RONALD DRAKE (continued)</u> | | | | | | | | |
| 01/22/2018 | ***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @56.0100 | 25.00 | 0.69 | 0.00 | 0.69 | 20.0 | 0.14 |
| 01/22/2018 | ***-**-408B - SNIZEK, ACCOUNT #: 00085154620 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @56.0100 | 100.00 | 2.75 | 0.00 | 2.75 | 20.0 | 0.55 |
| 01/22/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @56.0100 | 125.00 | 3.44 | 0.00 | 3.44 | 20.0 | 0.69 |
| 01/22/2018 | ***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @56.0100 | 31.50 | 0.87 | 0.00 | 0.87 | 20.0 | 0.17 |
| 01/22/2018 | ***-**-4848 - DOGGETT, AMY ACCOUNT #: 00081620466 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @56.6000 | 165.00 | 6.19 | 0.00 | 6.19 | 20.0 | 1.24 |
| 01/22/2018 | ***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @56.8900 | 37.50 | 1.59 | 0.00 | 1.59 | 20.0 | 0.32 |
| 01/17/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 08352551208 | EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000 | 262.50 | 12.47 | 0.00 | 12.47 | 20.0 | 2.49 |
| 01/22/2018 | ***-**-33IN - KING, JOHN ACCOUNT #: 08351548074 | EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000 | 76.00 | 2.28 | 0.00 | 2.28 | 20.0 | 0.46 |
| 01/29/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 08352551208 | EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000 | 262.50 | 12.47 | 0.00 | 12.47 | 20.0 | 2.49 |
| 01/22/2018 | ***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015 | EUROPACIFIC GROWTH FUND-A BUY 1 Units @61.9100 | 31.50 | 0.87 | 0.00 | 0.87 | 20.0 | 0.17 |
| 01/25/2018 | ***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487 | EUROPACIFIC GROWTH FUND-A BUY 1 Units @62.0500 | 85.00 | 2.34 | 0.00 | 2.34 | 20.0 | 0.47 |
| 02/05/2018 | ***-**-25FB - DUNN, BRENDAN ACCOUNT #: 925287945 | LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000 | 60.76 | 60.76 | 0.00 | 60.76 | 15.0 | 9.11 |
| 02/05/2018 | ***-**-25FB - DUNN, BRENDAN ACCOUNT #: 925287941 | LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000 | 127.54 | 127.54 | 0.00 | 127.54 | 15.0 | 19.13 |
| 02/05/2018 | ***-**-52FB - DUNN, MICHELE ACCOUNT #: 925287955 | LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000 | 131.45 | 131.45 | 0.00 | 131.45 | 15.0 | 19.72 |
| 01/17/2018 | ***-**-030J - MERRILL, ACCOUNT #: 05450490490 | OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000 | 112.50 | 3.38 | 0.00 | 3.38 | 20.0 | 0.68 |
| 01/22/2018 | ***-**-481J - MERRILL, JASON ACCOUNT #: 05450490435 | OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000 | 112.50 | 3.38 | 0.00 | 3.38 | 20.0 | 0.68 |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: RONALD DRAKE (continued) </u> | | | | | | | | |
| 01/29/2018 | ***-**-030J - MERRILL, ACCOUNT #: 05450490490 | OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000 | 112.50 | 3.38 | 0.00 | 3.38 | 20.0 | 0.68 |
| 01/22/2018 | ***-**-33IN - KING, JOHN ACCOUNT #: 07859653204 | OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000 | 76.00 | 2.28 | 0.00 | 2.28 | 20.0 | 0.46 |
| 01/17/2018 | ***-**-030J - MERRILL, ACCOUNT #: 01951261139 | OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000 | 12.50 | 0.38 | 0.00 | 0.38 | 20.0 | 0.08 |
| 01/22/2018 | ***-**-481J - MERRILL, JASON ACCOUNT #: 01951261073 | OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000 | 12.50 | 0.38 | 0.00 | 0.38 | 20.0 | 0.08 |
| 01/29/2018 | ***-**-030J - MERRILL, ACCOUNT #: 01951261139 | OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000 | 12.50 | 0.38 | 0.00 | 0.38 | 20.0 | 0.08 |
| 01/22/2018 | ***-**-33IN - KING, JOHN ACCOUNT #: 08476672325 | OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000 | 76.00 | 2.28 | 0.00 | 2.28 | 20.0 | 0.46 |
| 01/22/2018 | ***-**-33IN - KING, JOHN ACCOUNT #: 02514166107 | OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000 | 76.00 | 2.28 | 0.00 | 2.28 | 20.0 | 0.46 |
| 01/17/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 02306510122 | OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000 | 262.50 | 10.50 | 0.00 | 10.50 | 20.0 | 2.10 |
| 01/22/2018 | ***-**-70IN - KING, SUSAN ACCOUNT #: 02305070453 | OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000 | 60.00 | 1.65 | 0.00 | 1.65 | 20.0 | 0.33 |
| 01/29/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 02306510122 | OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000 | 262.50 | 10.50 | 0.00 | 10.50 | 20.0 | 2.10 |
| 01/17/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 02254109468 | RISING DIVIDENDS FUND A BUY 0 Units @0.0000 | 262.50 | 12.47 | 0.00 | 12.47 | 20.0 | 2.49 |
| 01/22/2018 | ***-**-70IN - KING, SUSAN ACCOUNT #: 02253478497 | RISING DIVIDENDS FUND A BUY 0 Units @0.0000 | 80.00 | 2.40 | 0.00 | 2.40 | 20.0 | 0.48 |
| 01/22/2018 | ***-**-33IN - KING, JOHN ACCOUNT #: 02254507140 | RISING DIVIDENDS FUND A BUY 0 Units @0.0000 | 96.00 | 2.88 | 0.00 | 2.88 | 20.0 | 0.58 |
| 01/29/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 02254109468 | RISING DIVIDENDS FUND A BUY 0 Units @0.0000 | 262.50 | 12.47 | 0.00 | 12.47 | 20.0 | 2.49 |
| 01/17/2018 | ***-**-030J - MERRILL, ACCOUNT #: 06000782386 | SELECT VALUE FUND A BUY 0 Units @0.0000 | 62.50 | 1.88 | 0.00 | 1.88 | 20.0 | 0.38 |
| 01/22/2018 | ***-**-481J - MERRILL, JASON ACCOUNT #: 06000782397 | SELECT VALUE FUND A BUY 0 Units @0.0000 | 62.50 | 1.88 | 0.00 | 1.88 | 20.0 | 0.38 |



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| <u>VERRIDE TRANSACTIONS (continued)</u> | | | | | | | | |
| <u>BROKER: RONALD DRAKE (continued)</u> | | | | | | | | |
| 01/29/2018 | ***-**-030J - MERRILL, ACCOUNT #: 06000782386 | SELECT VALUE FUND A BUY 0 Units @0.0000 | 62.50 | 1.88 | 0.00 | 1.88 | 20.0 | 0.38 |
| 01/22/2018 | ***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216 | SMALLCAP WORLD FUND-A BUY 0 Units @62.0600 | 22.50 | 0.96 | 0.00 | 0.96 | 20.0 | 0.19 |
| 01/22/2018 | ***-**-4639 - SCOONES, ACCOUNT #: 00084481157 | THE BOND FUND OF AMERICA-A BUY 7 Units @13.2200 | 90.75 | 2.50 | 0.00 | 2.50 | 20.0 | 0.50 |
| 01/25/2018 | ***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487 | THE BOND FUND OF AMERICA-A BUY 5 Units @13.2500 | 65.00 | 1.79 | 0.00 | 1.79 | 20.0 | 0.36 |
| 01/22/2018 | ***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.0700 | 36.00 | 0.99 | 0.00 | 0.99 | 20.0 | 0.20 |
| 01/22/2018 | ***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @55.0700 | 25.00 | 0.69 | 0.00 | 0.69 | 20.0 | 0.14 |
| 01/22/2018 | ***-**-408B - SNIZEK, ACCOUNT #: 00085154620 | THE GROWTH FUND OF AMERICA-A BUY 3 Units @55.0700 | 175.00 | 4.81 | 0.00 | 4.81 | 20.0 | 0.96 |
| 01/22/2018 | ***-**-4639 - SCOONES, ACCOUNT #: 00084481157 | THE GROWTH FUND OF AMERICA-A BUY 2 Units @55.0700 | 93.50 | 2.57 | 0.00 | 2.57 | 20.0 | 0.51 |
| 01/22/2018 | ***-**-4848 - DOGETT, AMY ACCOUNT #: 00081620466 | THE GROWTH FUND OF AMERICA-A BUY 3 Units @55.6400 | 170.00 | 6.38 | 0.00 | 6.38 | 20.0 | 1.28 |
| 01/25/2018 | ***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487 | THE GROWTH FUND OF AMERICA-A BUY 3 Units @55.5200 | 150.00 | 4.13 | 0.00 | 4.13 | 20.0 | 0.83 |
| 01/25/2018 | ***-**-7449 - JONES, D ACCOUNT #: 00087990221 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.1000 | 50.00 | 1.88 | 0.00 | 1.88 | 20.0 | 0.38 |
| 01/22/2018 | ***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851 | THE INCOME FUND OF AMERICA-A BUY 1 Units @25.0100 | 25.00 | 0.69 | 0.00 | 0.69 | 20.0 | 0.14 |
| 01/22/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340 | THE INCOME FUND OF AMERICA-A BUY 6 Units @25.0100 | 150.00 | 4.13 | 0.00 | 4.13 | 20.0 | 0.83 |
| 01/22/2018 | ***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015 | THE INCOME FUND OF AMERICA-A BUY 1 Units @25.0100 | 25.50 | 0.70 | 0.00 | 0.70 | 20.0 | 0.14 |
| 01/22/2018 | ***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097 | THE INCOME FUND OF AMERICA-A BUY 2 Units @25.6000 | 60.00 | 3.00 | 0.00 | 3.00 | 20.0 | 0.60 |
| 01/25/2018 | ***-**-7449 - JONES, D ACCOUNT #: 00087990221 | THE INCOME FUND OF AMERICA-A BUY 5 Units @25.2700 | 125.00 | 4.69 | 0.00 | 4.69 | 20.0 | 0.94 |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: RONALD DRAKE (continued)</u> | | | | | | | | |
| 01/25/2018 | ***-**-7449 - JONES, D | WASHINGTON MUTUAL INVESTORS FUND-A | 118.33 | 4.44 | 0.00 | 4.44 | 20.0 | 0.89 |
| | ACCOUNT #: 00087990221 | BUY 2 Units @50.4500 | | | | | | |
| | | * RONALD DRAKE SUBTOTAL * | \$7,864.08 | \$582.52 | \$0.00 | \$582.52 | | \$100.59 |
| <u>BROKER: RONALD CIOTOLI</u> | | | | | | | | |
| 01/22/2018 | ***-**-923I - MILLER, DENISE | AMERICAN BALANCED FUND-A | 100.00 | 3.75 | 0.00 | 3.75 | 35.0 | 1.31 |
| | ACCOUNT #: 00068752100 | BUY 3 Units @29.3800 | | | | | | |
| 01/22/2018 | ***-**-338O - DENTON, KELLI | CAPITAL APPRECIATION A | 50.00 | 2.38 | 0.00 | 2.38 | 35.0 | 0.83 |
| | ACCOUNT #: 03210124708 | BUY 0 Units @0.0000 | | | | | | |
| 01/31/2018 | ***-**-630I - ROMA, JAMES | JACKSON 468511530 | 0.00 | 47.01 | 0.00 | 47.01 | 35.0 | 16.45 |
| | ACCOUNT #: 1200802832 | BUY 0 Units @0.0000 | | | | | | |
| 02/05/2018 | ***-**-39FB - CONTI, ELLEN | LIFEMARK INVESTMENT ADVISORY FEE | 199.94 | 199.94 | 0.00 | 199.94 | 35.0 | 69.98 |
| | ACCOUNT #: 925300576 | BUY 0 Units @0.0000 | | | | | | |
| 02/05/2018 | ***-**-18FB - GORDON, DIANE | LIFEMARK INVESTMENT ADVISORY FEE | 219.24 | 219.24 | 0.00 | 219.24 | 35.0 | 76.73 |
| | ACCOUNT #: 925325360 | BUY 0 Units @0.0000 | | | | | | |
| 02/05/2018 | ***-**-1730 - BENZI, ROBERT | LIFEMARK INVESTMENT ADVISORY FEE | 248.35 | 248.35 | 0.00 | 248.35 | 35.0 | 86.92 |
| | ACCOUNT #: 925340599 | BUY 0 Units @0.0000 | | | | | | |
| 01/30/2018 | ***-**-7556 - WALKER, RANDY | PRINCIPAL VA | 0.00 | 11.29 | 0.00 | 11.29 | 35.0 | 3.95 |
| | | BUY 0 Units @0.0000 | | | | | | |
| | | * RONALD CIOTOLI SUBTOTAL * | \$817.53 | \$731.96 | \$0.00 | \$731.96 | | \$256.17 |
| | | *** OVERRIDE TRANSACTIONS TOTAL *** | \$8,681.61 | \$1,314.48 | \$0.00 | \$1,314.48 | | \$356.76 |



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| | | | | | | | | | |
| BROKER COMMISSION TOTALS | | | | | | | | | |
| | | | | | | | | | |
| Broker Transactions \$6.16 | | | | | | | | | |
| Split Transactions \$0.00 | | | | | | | | | |
| Override Transactions \$356.76 | | | | | | | | | |
| Adjustments \$0.00 | | | | | | | | | |
| Payroll Draw \$0.00 | | | | | | | | | |
| Base Salary \$0.00 | | | | | | | | | |
| Prior Period Balance \$0.00 | | | | | | | | | |
| FINRA Assessment \$0.00 | | | | | | | | | |
| SIPC Assessment \$0.00 | | | | | | | | | |
| | | | | | | | | | |
| Net Commission Earned \$362.92 | | | | | | | | | |
| Year-to-date Earnings \$6.16 | | | | | | | | | |