

All Companies  
**STATEMENT**  
**May 20, 2018**

**RICHARD KLEIN**  
24 Aster Court

**BROKER #: 292**  
BRANCH: LA BRANCH

Commack, NY 11725-

COMMISSION STATEMENT for RICHARD KLEIN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	---------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

04/04/2018 # JOHNSON, DAVID	CORNERSTONE STRATEGIC VALUE FD COMM B	4,630.98	133.88	19.95	0.00	113.93	85.0	96.84
04/04/2018 # JOHNSON, DAVID	CORNERSTONE TOTAL RETURN FUND COMMO B	1,894.58	78.27	19.95	0.00	58.32	85.0	49.57
04/04/2018 # JOHNSON, DAVID	CORNERSTONE TOTAL RETURN FUND COMMO B	5,835.29	170.44	19.95	0.00	150.49	85.0	127.92
04/11/2018 # LINNEHAN, RICHARD	CORNERSTONE STRATEGIC VALUE FD COMM B	6,286.64	173.69	19.95	0.00	153.74	85.0	130.68
04/11/2018 # BRACE, STEPHEN	CORNERSTONE STRATEGIC VALUE FD COMM B	7,857.00	206.20	19.95	0.00	186.25	85.0	158.31
04/11/2018 # JOHNSON, JULIE	CORNERSTONE TOTAL RETURN FUND COMMO B	7,828.50	205.79	19.95	0.00	185.84	85.0	157.96
04/11/2018 # JOHNSON, DAVID	CORNERSTONE TOTAL RETURN FUND COMMO B	7,828.50	205.79	19.95	0.00	185.84	85.0	157.96
04/11/2018 # SOLOUNIAS, MICHEL	CORNERSTONE TOTAL RETURN FUND COMMO B	3,914.15	134.80	19.95	0.00	114.85	85.0	97.62
* STOCKS SUBTOTAL *		<u>\$46,075.64</u>	<u>\$1,308.86</u>	<u>\$159.60</u>	<u>\$0.00</u>	<u>\$1,149.26</u>		<u>\$976.86</u>
*** BROKER TRANSACTIONS TOTAL ***		<u>\$46,075.64</u>	<u>\$1,308.86</u>	<u>\$159.60</u>	<u>\$0.00</u>	<u>\$1,149.26</u>		<u>\$976.86</u>

ADJUSTMENTS

05/20/2018	TRADE ERROR: TRADE ERROR FEB AND	50.00
	MARCH CALL IN TRADE CHARGE BACK	
05/20/2018	OTHER: OTHER CREDIT FOR OVERPAYMENT	151.00
	ON ADMIN FEES FOR APRIL 2018	
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
05/20/2018	ADMIN FEE: ADMIN FEE	-110.00
*** ADJUSTMENTS TOTAL ***		<u>\$-88.00</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$976.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-88.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$759.86</b>
---------------------	-----------------