

**COMMISSION STATEMENT****July 31, 2018**

ALAN, L133 LICHTENSTEIN
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/13/2018	WITKIN, CAROL ANN	EQIS CAPITAL MGMT	26,084.99	10.87	0.00	10.87	75.0	8.15
07/11/2018	HECKER, THOMAS	SEI	53,539.24	100.39	0.00	100.39	75.0	75.29
07/11/2018	MOYKA, PAMELA	SEI	11,148.64	20.90	0.00	20.90	75.0	15.68
* RIA SUBTOTAL *			\$90,772.87	\$132.16	\$0.00	\$132.16		\$99.12
*** DIRECT TRANSACTIONS TOTAL ***			\$90,772.87	\$132.16	\$0.00	\$132.16		\$99.12

BROKER COMMISSION TOTALS

Broker Transactions	\$99.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$24.21
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$123.33
Year-to-date Gross	\$441.26
Year-to-date Earnings	\$330.96

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed