

**COMMISSION STATEMENT****October 15, 2017**TIMOTHY STAUFFER  
333 Fiddlers CoveBROKER #: S118  
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
09/22/2017	MIDDLETON, SETH	COTTONWOOD RESIDENTIAL 2016 PREFR'D	29,300.00	2,051.00	0.00	2,051.00	90.0	1,845.90
		* PRIVATE PLACEMENT SUBTOTAL *	\$29,300.00	\$2,051.00	\$0.00	\$2,051.00		\$1,845.90
<b><u>PRODUCT CATEGORY: REIT</u></b>								
09/26/2017	SCHROEDER, JEFFERY	CARTER VALIDUS MC REIT II CLASS A	7,100.00	497.00	0.00	497.00	90.0	447.30
09/27/2017	MILLER, BRET	COTTONWOOD MULTIFAMILY REIT II	11,100.00	666.00	0.00	666.00	90.0	599.40
09/27/2017	PARKER, MICHAEL	COTTONWOOD MULTIFAMILY REIT II	15,900.00	954.00	0.00	954.00	90.0	858.60
09/27/2017	REICHARD, THOMAS	COTTONWOOD MULTIFAMILY REIT II	50,000.00	3,000.00	0.00	3,000.00	90.0	2,700.00
09/27/2017	STUCKY, JULIA	COTTONWOOD MULTIFAMILY REIT II	14,000.00	840.00	0.00	840.00	90.0	756.00
09/27/2017	STUCKY, JULIA	COTTONWOOD MULTIFAMILY REIT II	13,100.00	786.00	0.00	786.00	90.0	707.40
09/27/2017	MILLER, BRET	COTTONWOOD MULTIFAMILY REIT II	17,300.00	1,038.00	0.00	1,038.00	90.0	934.20
09/27/2017	SMITH, KAREN	COTTONWOOD MULTIFAMILY REIT II	40,000.00	2,400.00	0.00	2,400.00	90.0	2,160.00
09/27/2017	SCHROEDER, JEFFERY	COTTONWOOD MULTIFAMILY REIT II	18,800.00	1,128.00	0.00	1,128.00	90.0	1,015.20
09/27/2017	LARKINS, TROY	COTTONWOOD MULTIFAMILY REIT II	20,000.00	1,200.00	0.00	1,200.00	90.0	1,080.00
09/27/2017	LARKINS, KATHRYN	COTTONWOOD MULTIFAMILY REIT II	10,000.00	600.00	0.00	600.00	90.0	540.00
09/27/2017	ZEHR, PAUL	COTTONWOOD MULTIFAMILY REIT II	50,000.00	3,000.00	0.00	3,000.00	90.0	2,700.00
09/27/2017	HOLLE, JEREMY	COTTONWOOD MULTIFAMILY REIT II	12,400.00	744.00	0.00	744.00	90.0	669.60
09/27/2017	STUCKY, MIKE	COTTONWOOD MULTIFAMILY REIT II	17,500.00	1,050.00	0.00	1,050.00	90.0	945.00
09/27/2017	HOLLE, DONELLE	COTTONWOOD MULTIFAMILY REIT II	19,000.00	1,140.00	0.00	1,140.00	90.0	1,026.00
09/27/2017	MIDDLETON, LUCINDA	COTTONWOOD MULTIFAMILY REIT II	43,600.00	2,616.00	0.00	2,616.00	90.0	2,354.40
		* REIT SUBTOTAL *	\$359,800.00	\$21,659.00	\$0.00	\$21,659.00		\$19,493.10
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/02/2017	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
		* MUTUAL FUNDS SUBTOTAL *	\$215.00	\$2.15	\$0.00	\$2.15		\$1.94

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
10/02/2017	AUGUSTIN, JAMES	NUCOR CORP COM	-3,082.20	45.00	22.50	22.50	90.0	20.25
* STOCKS SUBTOTAL *			<b>\$-3,082.20</b>	<b>\$45.00</b>	<b>\$22.50</b>	<b>\$22.50</b>		<b>\$20.25</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/30/2017	WILD, WILLIAM	JNL ELITE ACCESS	98,436.36	41.02	0.00	41.02	90.0	36.92
09/30/2017	NUTTLE, ALLEN	JNL ELITE ACCESS	27,432.44	5.72	0.00	5.72	90.0	5.15
09/30/2017	MOHR, AMY	JNL ELITE ACCESS	45,435.09	9.47	0.00	9.47	90.0	8.52
09/30/2017	LARKINS, TROY	JNL ELITE ACCESS	47,917.27	9.98	0.00	9.98	90.0	8.98
09/30/2017	ZEHR, PAUL	JNL ELITE ACCESS	30,254.97	6.30	0.00	6.30	90.0	5.67
09/30/2017	ZIMMERMAN, CATHERINE	JNL PERSPECTIVE	28,250.66	5.89	0.00	5.89	90.0	5.30
09/30/2017	COLEMAN, CHERYL	JNL PERSPECTIVE	34,989.12	7.29	0.00	7.29	90.0	6.56
09/30/2017	FLETCHER, MONA	JNL PERSPECTIVE II	28,691.24	11.95	0.00	11.95	90.0	10.76
09/30/2017	LEDERMAN, JOANNE	JNL PERSPECTIVE II	320,336.82	133.47	0.00	133.47	90.0	120.12
09/30/2017	WILD, CHRISTOPHER	JNL PERSPECTIVE II	14,383.36	5.99	0.00	5.99	90.0	5.39
09/30/2017	MIDDLETON, LUCINDA	JNL PERSPECTIVE II 04/14	81,790.04	34.08	0.00	34.08	90.0	30.67
09/30/2017	MIDDLETON, JAMES	JNL PERSPECTIVE II 04/14	79,634.01	33.18	0.00	33.18	90.0	29.86
09/30/2017	BERKSHIRE, LAURA	JNL PERSPECTIVE II 04/14	148,006.34	61.67	0.00	61.67	90.0	55.50
09/30/2017	HOLLE, DONELLE	JNL PERSPECTIVE II 04/14	78,975.47	32.91	0.00	32.91	90.0	29.62
09/30/2017	SMITH REV TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	630,325.59	262.64	0.00	262.64	90.0	236.38
09/30/2017	ST	JNL PERSPECTIVE II 04/14	218,759.80	91.15	0.00	91.15	90.0	82.04
09/30/2017	HALL, ROSEMARY	JNL PERSPECTIVE II 04/14	197,699.47	82.37	0.00	82.37	90.0	74.13
09/30/2017	BYERLEY, WAYNE	JNL PERSPECTIVE II 05/05	219,036.38	91.27	0.00	91.27	90.0	82.14
09/30/2017	ZAHM SR, RICHARD	JNL PERSPECTIVE II 05/05	143,948.51	59.98	0.00	59.98	90.0	53.98
09/30/2017	CHRIST, LINDA	JNL PERSPECTIVE II 05/05	143,414.91	59.76	0.00	59.76	90.0	53.78
09/30/2017	PARKER, DIANA	JNL PERSPECTIVE II 05/05	86,820.18	36.18	0.00	36.18	90.0	32.56
09/30/2017	PARKER, MICHAEL	JNL PERSPECTIVE II 05/05	95,231.75	39.68	0.00	39.68	90.0	35.71
09/30/2017	RAMIREZ, JOAQUIN	JNL PERSPECTIVE II 05/05	46,970.44	19.57	0.00	19.57	90.0	17.61
09/30/2017	STUCKY, MIKE	JNL PERSPECTIVE II 05/05	88,373.69	36.82	0.00	36.82	90.0	33.14
09/30/2017	GRABER, AMOS	JNL PERSPECTIVE II 05/05	46,334.88	19.31	0.00	19.31	90.0	17.38
09/30/2017	SMITH, KAREN	JOHN HANCOCK VENTURE 2006	153,662.41	128.05	0.00	128.05	90.0	115.25
09/30/2017	BLANCHARD, SUZETTE	JOHN HANCOCK VENTURE III	68,180.75	56.82	0.00	56.82	90.0	51.14
09/30/2017	SITCLER, PATRICIA	JOHN HANCOCK VENTURE III	106,459.84	88.72	0.00	88.72	90.0	79.85
09/30/2017	SHERRILL, TIMOTHY	JOHN HANCOCK VENTURE III	211,381.47	176.15	0.00	176.15	90.0	158.54
09/30/2017	SOBERALSKI, EDWARD	JOHN HANCOCK VENTURE III	198,145.28	165.12	0.00	165.12	90.0	148.61

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/30/2017	LEDERMAN, JOANNE	NATIONWIDE BOA ACHIEVER 45	281,892.62	352.37	0.00	352.37	90.0	317.13
09/30/2017	HOUSER, MICHAEL	NATIONWIDE BOA ACHIEVER 45	61,859.68	115.99	0.00	115.99	90.0	104.39
09/30/2017	KIRKLAND, CINDY	NATIONWIDE BOA SUCCESSOR 33	4,000.44	10.00	0.00	10.00	90.0	9.00
09/30/2017	THIEME, HEIDI	NATIONWIDE DESTINATION C 01	18,385.40	57.45	0.00	57.45	90.0	51.71
09/30/2017	HITT, ERIN	NATIONWIDE DESTINATION C 01	8,746.29	21.87	0.00	21.87	90.0	19.68
09/30/2017	JACOBS, AMY	NATIONWIDE DESTINATION C 01	11,950.76	29.88	0.00	29.88	90.0	26.89
09/30/2017	HILER, BRITNEY	NATIONWIDE DESTINATION C 01	1,670.15	5.22	0.00	5.22	90.0	4.70
09/30/2017	CRANE, AMY	NATIONWIDE DESTINATION C 01	9,068.96	22.67	0.00	22.67	90.0	20.40
09/30/2017	MATHEWSON, KAYLA	NATIONWIDE DESTINATION C 01	6,989.70	17.47	0.00	17.47	90.0	15.72
09/30/2017	WILLIAMS, ELIZA	NATIONWIDE DESTINATION C 01	10,984.36	27.46	0.00	27.46	90.0	24.71
09/30/2017	LEDERMAN, JOANNE	NATIONWIDE MARKETFLEX BB	20,122.09	25.15	0.00	25.15	90.0	22.64
09/30/2017	BOYLAN TRUST	NATIONWIDE MARKETFLEX BB	47,267.81	118.17	0.00	118.17	90.0	106.35
09/30/2017	RAMIREZ, HERLINDA GARCIA	NATIONWIDE MARKETFLEX BB	27,246.89	68.12	0.00	68.12	90.0	61.31
09/30/2017	DEHAVEN, ELLEN	NATIONWIDE MARKETFLEX BB	18,715.74	23.39	0.00	23.39	90.0	21.05
09/30/2017	NEELEY, JOHN	NATIONWIDE MARKETFLEX BB	23,167.98	28.96	0.00	28.96	90.0	26.06
09/30/2017	ZEHR, BERTHA	NW DESTINATION B2 05	103,153.35	128.94	0.00	128.94	90.0	116.05
09/30/2017	PARKER, MICHAEL	NW DESTINATION B2 05	127,849.23	159.81	0.00	159.81	90.0	143.83
09/30/2017	PARKER, DIANA	NW DESTINATION B2 05	85,865.72	107.33	0.00	107.33	90.0	96.60
09/30/2017	BURD, CHRISTINA	NWL SOLOIST 01	4,411.85	7.17	0.00	7.17	90.0	6.45
09/30/2017	SNYDER, PAIGE	NWL SOLOIST 01	7,029.27	11.42	0.00	11.42	90.0	10.28
09/30/2017	ROBROCK, KYLIA	NWL SOLOIST 01	1,925.57	3.13	0.00	3.13	90.0	2.82
09/30/2017	CIRCLE, MADISON	NWL SOLOIST 01	1,707.80	2.78	0.00	2.78	90.0	2.50
09/30/2017	RINEHOLD, BRANDI	NWL SOLOIST 01	5,431.70	8.83	0.00	8.83	90.0	7.95
09/30/2017	WILLS, LISA	NWL SOLOIST 01	4,477.96	7.28	0.00	7.28	90.0	6.55
09/30/2017	HEYNEMAN, ALEXA	NWL SOLOIST 01	325.58	0.53	0.00	0.53	90.0	0.48
09/30/2017	BROOKSHIRE, JEFFEREY	PRU AS LIFEVEST	22,011.60	41.27	0.00	41.27	90.0	37.14
09/30/2017	BROOKSHIRE, JEFFEREY	PRU AS LIFEVEST	22,011.60	13.76	0.00	13.76	90.0	12.38
09/28/2017	STAUFFER, PATTY	SBL ADVISORDESIGNS VA	19,400.31	16.17	0.00	16.17	90.0	14.55
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$4,676,948.95</b>	<b>\$3,245.10</b>	<b>\$0.00</b>	<b>\$3,245.10</b>		<b>\$2,920.58</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
09/20/2017	ZEHR, BERTHA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.25	0.00	2.25	90.0	2.03
09/20/2017	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.25	0.00	2.25	90.0	2.03
09/20/2017	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.94	0.00	8.94	90.0	8.05

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
09/20/2017	BYERLEY, WAYNE & KATHLEE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.05	0.00	9.05	90.0	8.15
09/20/2017	ZAHM, CHRISTINE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.80	0.00	1.80	90.0	1.62
09/20/2017	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.41	0.00	1.41	90.0	1.27
09/20/2017	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	12.35	0.00	12.35	90.0	11.12
09/20/2017	SCHROEDER, JEFFERY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.05	0.00	2.05	90.0	1.85
09/20/2017	PIERSON III, CARL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.33	0.00	1.33	90.0	1.20
09/20/2017	PIERSON, JUDITH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.33	0.00	1.33	90.0	1.20
09/14/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	5.10	0.00	5.10	90.0	4.59
09/14/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	4.77	0.00	4.77	90.0	4.29
09/14/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	5.01	0.00	5.01	90.0	4.51
09/14/2017	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.08	0.00	0.08	90.0	0.07
09/18/2017	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.20	0.00	1.20	90.0	1.08
09/18/2017	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	2.24	0.00	2.24	90.0	2.02
09/18/2017	DINGER, WILLIAM	TRANSAMERICA TACTICAL INCOME C	0.00	8.32	0.00	8.32	90.0	7.49
09/18/2017	ZEHR, PAUL	TRANSAMERICA TACTICAL INCOME C	0.00	9.12	0.00	9.12	90.0	8.21
09/18/2017	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	8.84	0.00	8.84	90.0	7.96
09/18/2017	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	10.58	0.00	10.58	90.0	9.52
09/18/2017	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	6.98	0.00	6.98	90.0	6.28
09/18/2017	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.82	0.00	6.82	90.0	6.14
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$111.82</b>	<b>\$0.00</b>	<b>\$111.82</b>		<b>\$100.68</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
09/24/2017	REICHARD, THOMAS	ING ESII	0.00	66.97	0.00	66.97	90.0	60.27
10/04/2017	NUTTLE, DARREN	ING PREMIUM PLUS	0.00	3.01	0.00	3.01	90.0	2.71
10/02/2017	STAUFFER, ARLEN	PAC LIFE INNOVATIVE SELECT	0.00	39.68	0.00	39.68	90.0	35.71
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$109.66</b>	<b>\$0.00</b>	<b>\$109.66</b>		<b>\$98.69</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$5,063,181.75</b>	<b>\$27,223.73</b>	<b>\$22.50</b>	<b>\$27,201.23</b>		<b>\$24,481.14</b>

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<b><u>ADJUSTMENTS</u></b>								
10/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
10/15/2017		*ALBRIDGE: LIGHT USER	0.00					-76.00
10/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*GLOBAL RELAY: WEBSITE	0.00					-15.00
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-17.82
10/15/2017		*TECHNOLOGY: ADMIN - KAREN STAUFFER	0.00					-130.00
10/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-725.82

**BROKER COMMISSION TOTALS**

Broker Transactions	\$24,481.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-725.82
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$23,755.32
Year-to-date Gross	\$132,105.68
Year-to-date Earnings	\$118,018.86

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