

**COMMISSION STATEMENT****October 15, 2017**

BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-DONAIS

BROKER #: BBJ

BRANCH: TRUWEALTH FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^09/26/2017	HEEN, JEREMY	AM FUNDS AMCAP A	-765.04	0.00	0.00	0.00	100.0	0.00
^09/26/2017	HEEN, KRISTA	AM FUNDS AMCAP A	-4,525.71	0.00	0.00	0.00	100.0	0.00
^09/26/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC A	-1,754.84	0.00	0.00	0.00	100.0	0.00
^09/26/2017	HEEN, KRISTA	AM FUNDS CAPITAL WORLD GRTH & INC A	-8,963.92	0.00	0.00	0.00	100.0	0.00
^09/26/2017	HEEN, JEREMY	AM FUNDS ICA A	-2,026.28	0.00	0.00	0.00	100.0	0.00
^09/26/2017	HEEN, KRISTA	AM FUNDS ICA A	-10,503.32	0.00	0.00	0.00	100.0	0.00
^09/26/2017	HEEN, JEREMY	AM FUNDS INCOME FUND OF AMERICA A	-1,980.09	0.00	0.00	0.00	100.0	0.00
^09/26/2017	HEEN, KRISTA	AM FUNDS INCOME FUND OF AMERICA A	-11,163.83	0.00	0.00	0.00	100.0	0.00
^09/26/2017	HEEN, JEREMY	AM FUNDS NEW WORLD A	-577.91	0.00	0.00	0.00	100.0	0.00
^09/26/2017	HEEN, KRISTA	AM FUNDS NEW WORLD A	-3,303.83	0.00	0.00	0.00	100.0	0.00
^10/06/2017	KEMPER, JEROME	CATALYST HEDGED FUTURES STRATEGY FU	1,674.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-43,890.77	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^10/06/2017	FREIH, DIANE	ISHARES TR CORE TOTAL U S BD MKT ET	327.93	0.00	0.00	0.00	100.0	0.00
^10/06/2017	KEMPER, JEROME	ISHARES TR CORE TOTAL U S BD MKT ET	765.17	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, CLINTON	ISHARES TR CORE TOTAL U S BD MKT ET	765.17	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, PAMELA	ISHARES TR CORE TOTAL U S BD MKT ET	109.31	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, PAMELA	ISHARES TR CORE TOTAL U S BD MKT ET	218.62	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RETZLAFF, SANDRA	ISHARES TR CORE TOTAL U S BD MKT ET	109.31	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RUFFIE, DAVID	ISHARES TR CORE TOTAL U S BD MKT ET	1,202.41	0.00	0.00	0.00	100.0	0.00
^09/27/2017	HEEN, JEREMY	ISHARES TR RUSSELL 2000 INDEX FD	295.10	0.00	0.00	0.00	100.0	0.00
^09/27/2017	HEEN, KRISTA	ISHARES TR RUSSELL 2000 INDEX FD	6,197.10	0.00	0.00	0.00	100.0	0.00
^09/28/2017	HEEN, JEREMY	ISHARES TR RUSSELL 2000 INDEX FD	1,035.02	0.00	0.00	0.00	100.0	0.00
^10/06/2017	FREIH, DIANE	ISHARES TR RUSSELL 2000 INDEX FD	-599.20	0.00	0.00	0.00	100.0	0.00
^10/06/2017	KEMPER, JEROME	ISHARES TR RUSSELL 2000 INDEX FD	-1,947.40	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, CLINTON	ISHARES TR RUSSELL 2000 INDEX FD	-299.60	0.00	0.00	0.00	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^10/06/2017	RASMUSSEN, PAMELA	ISHARES TR RUSSELL 2000 INDEX FD	-149.80	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RUFFIE, DAVID	ISHARES TR RUSSELL 2000 INDEX FD	-2,396.80	0.00	0.00	0.00	100.0	0.00
^09/27/2017	HEEN, JEREMY	ISHARES TR S&P 500 INDEX FD	1,259.04	0.00	0.00	0.00	100.0	0.00
^09/27/2017	HEEN, KRISTA	ISHARES TR S&P 500 INDEX FD	19,640.99	0.00	0.00	0.00	100.0	0.00
^09/28/2017	HEEN, JEREMY	ISHARES TR S&P 500 INDEX FD	3,023.88	0.00	0.00	0.00	100.0	0.00
^10/06/2017	FREIH, DIANE	ISHARES TR S&P 500 INDEX FD	-1,278.80	0.00	0.00	0.00	100.0	0.00
^10/06/2017	KEMPER, JEROME	ISHARES TR S&P 500 INDEX FD	-4,347.92	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, CLINTON	ISHARES TR S&P 500 INDEX FD	-2,046.08	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, PAMELA	ISHARES TR S&P 500 INDEX FD	-511.52	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, PAMELA	ISHARES TR S&P 500 INDEX FD	-511.52	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RETZLAFF, SANDRA	ISHARES TR S&P 500 INDEX FD	-511.52	0.00	0.00	0.00	100.0	0.00
^10/06/2017	SKAARE, LARRY	ISHARES TR S&P 500 INDEX FD	-255.76	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RUFFIE, DAVID	ISHARES TR S&P 500 INDEX FD	-4,859.44	0.00	0.00	0.00	100.0	0.00
^09/27/2017	HEEN, JEREMY	POWERSHARES DB COMMODITY INDEX TRAC	232.77	0.00	0.00	0.00	100.0	0.00
^09/27/2017	HEEN, KRISTA	POWERSHARES DB COMMODITY INDEX TRAC	3,569.12	0.00	0.00	0.00	100.0	0.00
^09/28/2017	HEEN, JEREMY	POWERSHARES DB COMMODITY INDEX TRAC	556.79	0.00	0.00	0.00	100.0	0.00
^10/06/2017	KEMPER, JEROME	POWERSHARES DB COMMODITY INDEX TRAC	-91.22	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, CLINTON	POWERSHARES DB COMMODITY INDEX TRAC	-15.20	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, PAMELA	POWERSHARES DB COMMODITY INDEX TRAC	15.21	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RUFFIE, DAVID	POWERSHARES DB COMMODITY INDEX TRAC	-106.42	0.00	0.00	0.00	100.0	0.00
^09/27/2017	HEEN, JEREMY	POWERSHARES EXCHANGE-TRADED FD TR I	161.75	0.00	0.00	0.00	100.0	0.00
^09/27/2017	HEEN, KRISTA	POWERSHARES EXCHANGE-TRADED FD TR I	2,541.72	0.00	0.00	0.00	100.0	0.00
^09/28/2017	HEEN, JEREMY	POWERSHARES EXCHANGE-TRADED FD TR I	393.15	0.00	0.00	0.00	100.0	0.00
^10/06/2017	FREIH, DIANE	POWERSHARES EXCHANGE-TRADED FD TR I	115.83	0.00	0.00	0.00	100.0	0.00
^10/06/2017	KEMPER, JEROME	POWERSHARES EXCHANGE-TRADED FD TR I	347.50	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, CLINTON	POWERSHARES EXCHANGE-TRADED FD TR I	185.33	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, PAMELA	POWERSHARES EXCHANGE-TRADED FD TR I	46.33	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, PAMELA	POWERSHARES EXCHANGE-TRADED FD TR I	23.17	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RETZLAFF, SANDRA	POWERSHARES EXCHANGE-TRADED FD TR I	23.17	0.00	0.00	0.00	100.0	0.00
^10/06/2017	SKAARE, LARRY	POWERSHARES EXCHANGE-TRADED FD TR I	46.33	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RUFFIE, DAVID	POWERSHARES EXCHANGE-TRADED FD TR I	486.50	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RUFFIE, TWYLA	POWERSHARES EXCHANGE-TRADED FD TR I	23.17	0.00	0.00	0.00	100.0	0.00
^09/27/2017	HEEN, JEREMY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	325.14	0.00	0.00	0.00	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
^09/27/2017	HEEN, KRISTA	SPDR S&P MIDCAP 400 ETF TR UNIT SER	8,128.53	0.00	0.00	0.00	100.0	0.00
^09/28/2017	HEEN, JEREMY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	1,302.00	0.00	0.00	0.00	100.0	0.00
^10/06/2017	FREIH, DIANE	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-330.14	0.00	0.00	0.00	100.0	0.00
^10/06/2017	KEMPER, JEROME	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-1,320.56	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, CLINTON	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-660.28	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RUFFIE, DAVID	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-1,320.56	0.00	0.00	0.00	100.0	0.00
^09/27/2017	HEEN, JEREMY	SPDR SER TR BARCLAYS HIGH YIELD BD	484.85	0.00	0.00	0.00	100.0	0.00
^09/27/2017	HEEN, KRISTA	SPDR SER TR BARCLAYS HIGH YIELD BD	7,123.63	0.00	0.00	0.00	100.0	0.00
^09/28/2017	HEEN, JEREMY	SPDR SER TR BARCLAYS HIGH YIELD BD	1,081.31	0.00	0.00	0.00	100.0	0.00
^10/06/2017	FREIH, DIANE	SPDR SER TR BARCLAYS HIGH YIELD BD	37.17	0.00	0.00	0.00	100.0	0.00
^10/06/2017	KEMPER, JEROME	SPDR SER TR BARCLAYS HIGH YIELD BD	111.51	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, CLINTON	SPDR SER TR BARCLAYS HIGH YIELD BD	74.34	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, PAMELA	SPDR SER TR BARCLAYS HIGH YIELD BD	37.17	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RUFFIE, DAVID	SPDR SER TR BARCLAYS HIGH YIELD BD	148.68	0.00	0.00	0.00	100.0	0.00
^10/06/2017	FREIH, DIANE	VANGUARD CHARLOTTE FDS TOTAL INTL B	54.47	0.00	0.00	0.00	100.0	0.00
^10/06/2017	KEMPER, JEROME	VANGUARD CHARLOTTE FDS TOTAL INTL B	108.93	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, CLINTON	VANGUARD CHARLOTTE FDS TOTAL INTL B	108.93	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RUFFIE, DAVID	VANGUARD CHARLOTTE FDS TOTAL INTL B	163.40	0.00	0.00	0.00	100.0	0.00
^09/27/2017	HEEN, JEREMY	VANGUARD INDEX FDS VANGUARD REIT ET	164.60	0.00	0.00	0.00	100.0	0.00
^09/27/2017	HEEN, KRISTA	VANGUARD INDEX FDS VANGUARD REIT ET	2,551.30	0.00	0.00	0.00	100.0	0.00
^09/28/2017	HEEN, JEREMY	VANGUARD INDEX FDS VANGUARD REIT ET	330.44	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, CLINTON	VANGUARD INDEX FDS VANGUARD REIT ET	83.10	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RUFFIE, DAVID	VANGUARD INDEX FDS VANGUARD REIT ET	83.10	0.00	0.00	0.00	100.0	0.00
^09/27/2017	HEEN, JEREMY	VANGUARD INTL EQUITY INDEX FD INC F	3,451.77	0.00	0.00	0.00	100.0	0.00
^09/27/2017	HEEN, KRISTA	VANGUARD INTL EQUITY INDEX FD INC F	50,103.04	0.00	0.00	0.00	100.0	0.00
^09/28/2017	HEEN, JEREMY	VANGUARD INTL EQUITY INDEX FD INC F	7,597.51	0.00	0.00	0.00	100.0	0.00
^10/06/2017	FREIH, DIANE	VANGUARD INTL EQUITY INDEX FD INC F	1,636.07	0.00	0.00	0.00	100.0	0.00
^10/06/2017	KEMPER, JEROME	VANGUARD INTL EQUITY INDEX FD INC F	4,644.33	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, CLINTON	VANGUARD INTL EQUITY INDEX FD INC F	1,899.95	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, PAMELA	VANGUARD INTL EQUITY INDEX FD INC F	316.66	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RASMUSSEN, PAMELA	VANGUARD INTL EQUITY INDEX FD INC F	474.99	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RETZLAFF, SANDRA	VANGUARD INTL EQUITY INDEX FD INC F	369.44	0.00	0.00	0.00	100.0	0.00
^10/06/2017	SKAARE, LARRY	VANGUARD INTL EQUITY INDEX FD INC F	369.44	0.00	0.00	0.00	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^10/06/2017	RUFFIE, DAVID	VANGUARD INTL EQUITY INDEX FD INC F	6,544.29	0.00	0.00	0.00	100.0	0.00
^10/06/2017	RUFFIE, TWYLA	VANGUARD INTL EQUITY INDEX FD INC F	263.88	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$120,331.12	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
^10/10/2017	RASMUSSEN, CLINTON	JEFFERSON NATIONAL	364,756.52	1,139.86	0.00	1,139.86	100.0	0.00
^10/02/2017	HEEN, JEREMY	PERSHING	0.00	1.13	0.00	1.13	100.0	0.00
^10/02/2017	HEEN, KRISTA	PERSHING	0.00	16.34	0.00	16.34	100.0	0.00
^10/10/2017	KUHN, KENNETH	PERSHING	62,821.80	197.93	0.00	197.93	100.0	0.00
^10/10/2017	RASMUSSEN, CLINTON	PERSHING	103,454.80	325.95	0.00	325.95	100.0	0.00
^10/10/2017	RASMUSSEN, CLINTON	PERSHING	93,467.47	294.49	0.00	294.49	100.0	0.00
^10/10/2017	RASMUSSEN, PAMELA	PERSHING	27,862.14	87.78	0.00	87.78	100.0	0.00
^10/10/2017	RASMUSSEN, PAMELA	PERSHING	19,809.03	62.41	0.00	62.41	100.0	0.00
^10/10/2017	FREIH, DIANE	PERSHING	62,602.99	197.24	0.00	197.24	100.0	0.00
^10/10/2017	KRINGEN, MARK	PERSHING	394,067.63	844.28	0.00	844.28	100.0	0.00
^10/10/2017	KEMPER, JEROME	PERSHING	235,270.77	741.26	0.00	741.26	100.0	0.00
^10/10/2017	LEE, DONALD	PERSHING	136,674.07	430.62	0.00	430.62	100.0	0.00
^10/10/2017	LEE, CONNIE	PERSHING	53,513.06	168.60	0.00	168.60	100.0	0.00
^10/10/2017	LEE, CONNIE	PERSHING	15,445.08	48.66	0.00	48.66	100.0	0.00
^10/10/2017	MONETTE, PEGGY	PERSHING	223,434.25	703.97	0.00	703.97	100.0	0.00
^10/10/2017	MONETTE, PEGGY	PERSHING	40,525.25	127.68	0.00	127.68	100.0	0.00
^10/10/2017	RETZLAFF, SANDRA	PERSHING	135,811.65	427.90	0.00	427.90	100.0	0.00
^10/10/2017	RETZLAFF, SANDRA	PERSHING	26,555.98	83.67	0.00	83.67	100.0	0.00
^10/10/2017	SKAARE, LARRY	PERSHING	32,889.32	120.20	0.00	120.20	100.0	0.00
^10/10/2017	SKAARE, LARRY	PERSHING	208,550.36	762.21	0.00	762.21	100.0	0.00
^10/10/2017	SKAARE, LARRY	PERSHING	19,011.17	69.48	0.00	69.48	100.0	0.00
^10/10/2017	RUFFIE, DAVID	PERSHING	265,282.44	969.55	0.00	969.55	100.0	0.00
^10/10/2017	RUFFIE, TWYLA	PERSHING	8,898.32	32.52	0.00	32.52	100.0	0.00
^10/10/2017	HEEN, JEREMY	PERSHING	22,784.83	83.27	0.00	83.27	100.0	0.00
^10/10/2017	HEEN, KRISTA	PERSHING	103,288.21	377.50	0.00	377.50	100.0	0.00
^10/11/2017	DEAVER, ROSS	SEI	34,134.04	115.20	0.00	115.20	100.0	0.00
^10/11/2017	ERICKSTAD, SAMUEL	SEI	64,747.79	218.53	0.00	218.53	100.0	0.00
^10/11/2017	HARSHBARGER, RORY	SEI	85,240.40	287.69	0.00	287.69	100.0	0.00
^10/11/2017	HEEN, JEREMY	SEI	235,374.37	794.39	0.00	794.39	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
^10/11/2017	JORGENSEN, BLAINE	SEI	436,321.18	1,090.80	0.00	1,090.80	100.0	0.00
^10/11/2017	JORGENSEN, BLAINE	SEI	2,686,201.45	2,014.65	0.00	2,014.65	100.0	0.00
^10/11/2017	KASMER, JOHN	SEI	260,637.60	78.62	0.00	78.62	100.0	0.00
^10/11/2017	KASMER, TRACEY	SEI	57,498.04	17.34	0.00	17.34	100.0	0.00
^10/11/2017	MARISTUEN, JON	SEI	3,571.22	11.16	0.00	11.16	100.0	0.00
^10/11/2017	PACHECO, MICHAEL	SEI	7,581.57	25.59	0.00	25.59	100.0	0.00
^10/11/2017	RETZLAFF, SANDRA	SEI	17,329.03	13.00	0.00	13.00	100.0	0.00
^10/11/2017	SKAARE, LARRY	SEI	20,409.21	15.31	0.00	15.31	100.0	0.00
^10/11/2017	SMITH, AUSTIN	SEI	21,004.44	70.89	0.00	70.89	100.0	0.00
^10/11/2017	HAUGEN, PATRICK	SEI	179,201.13	604.81	0.00	604.81	100.0	0.00
^10/11/2017	HAUGEN, PATRICK	SEI	108,983.18	367.82	0.00	367.82	100.0	0.00
^10/11/2017	LYNDE, STEVEN	SEI	51,159.21	159.87	0.00	159.87	100.0	0.00
^10/11/2017	LYNDE, LANA	SEI	22,687.65	70.90	0.00	70.90	100.0	0.00
^10/11/2017	FREIH, DIANE	SEI	151,312.86	510.68	0.00	510.68	100.0	0.00
^10/11/2017	GRANT, GREGORY	SEI	76,132.03	285.50	0.00	285.50	100.0	0.00
^10/11/2017	GRANT, KATHLEEN	SEI	52,848.44	198.18	0.00	198.18	100.0	0.00
^10/11/2017	JOHNSON, SHARI	SEI	16,157.80	54.53	0.00	54.53	100.0	0.00
^10/11/2017	JOHNSON, BRAD	SEI	16,991.47	57.35	0.00	57.35	100.0	0.00
^10/11/2017	JOHNSON, BRAD	SEI	2,309.01	7.79	0.00	7.79	100.0	0.00
^10/11/2017	JOHNSON, BRAD	SEI	27,739.75	93.62	0.00	93.62	100.0	0.00
^10/11/2017	JOHNSON, SHARI	SEI	18,267.58	61.65	0.00	61.65	100.0	0.00
^10/11/2017	KRINGEN, MARK	SEI	1,242,836.41	932.13	0.00	932.13	100.0	0.00
^10/11/2017	MARISTUEN, JON	SEI	189,919.08	640.98	0.00	640.98	100.0	0.00
* RIA SUBTOTAL *			\$8,743,373.08	\$17,113.48	\$0.00	\$17,113.48		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^09/21/2017	RUFFIE, TWYLA	OPPENHEIMER MAIN ST SELECT C	0.00	0.22	0.00	0.22	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.22	\$0.00	\$0.22		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$8,819,813.43	\$17,113.70	\$0.00	\$17,113.70		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00