



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**September 15, 2017**

09/13/2017  
 Page No. 1

ROY PFLEGER II  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: P123  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
08/16/2017	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.40	0.00	1.40	75.0	1.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.40	\$0.00	\$1.40		\$1.05
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$1.40	\$0.00	\$1.40		\$1.05

<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</b>								
08/17/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.08	0.00	0.08	75.0	0.06
08/16/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.22	0.00	0.22	75.0	0.17
08/16/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.36	0.00	0.36	75.0	0.27
08/15/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.63	0.00	0.63	75.0	0.47
08/15/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.57	0.00	0.57	75.0	0.43
08/15/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.25	0.00	0.25	75.0	0.19
08/15/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.24	0.00	0.24	75.0	0.18
08/15/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.47	0.00	0.47	75.0	0.35
08/15/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	3.00	0.00	3.00	75.0	2.25
08/15/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.66	0.00	2.66	75.0	2.00
08/15/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.27	0.00	0.27	75.0	0.20
08/15/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.29	0.00	0.29	75.0	0.22
08/15/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.21	0.00	0.21	75.0	0.16
08/17/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.17	0.00	0.17	75.0	0.13
08/10/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.36	0.00	0.36	75.0	0.27
08/10/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.63	0.00	1.63	75.0	1.22
08/18/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.76	0.00	0.76	75.0	0.57
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$0.00	\$12.17	\$0.00	\$12.17		\$9.14

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</b>								
08/25/2017	CSD FAIRWAYS HLDGS	BLUEROCK SONOMA POINTE DST	2,000,000.00	10,593.22	0.00	10,593.22	75.0	7,944.92
08/28/2017	CSD PARK CRESCENT TH	BLUEROCK SONOMA POINTE DST	721,570.00	3,818.86	0.00	3,818.86	75.0	2,864.15
08/29/2017	CSD MAIDEN HLDGS	BLUEROCK SONOMA POINTE DST	2,000,000.00	10,593.22	0.00	10,593.22	75.0	7,944.92
09/01/2017	CSD ERIE HOLDINGS	BLUEROCK SONOMA POINTE DST	373,316.00	1,977.31	0.00	1,977.31	75.0	1,482.98
08/25/2017	FHL PARK CRESCENT	INLAND DENVER MSA MULTIFAM'Y II DST	1,000,000.00	4,000.00	0.00	4,000.00	75.0	3,000.00
08/25/2017	CSD FAIRWAYS HLDGS	INLAND DENVER MSA MULTIFAM'Y II DST	500,000.00	2,000.00	0.00	2,000.00	75.0	1,500.00
08/28/2017	CSD PARKLANDS	INLAND DENVER MSA MULTIFAM'Y II DST	500,000.00	2,000.00	0.00	2,000.00	75.0	1,500.00
08/30/2017	FHL WILLOW POND	INLAND DENVER MSA MULTIFAM'Y II DST	500,000.00	2,000.00	0.00	2,000.00	75.0	1,500.00
08/28/2017	CSD PARK CRESCENT TH	INLAND GULF COAST IND'L DST	308,118.00	1,232.47	0.00	1,232.47	75.0	924.35
09/01/2017	CSD ERIE HOLDINGS	INLAND GULF COAST IND'L DST	1,490,646.00	5,962.58	0.00	5,962.58	75.0	4,471.94
08/25/2017	FHL PARK CRESCENT	INLAND SELF STORAGE PF V DST	2,000,000.00	8,000.00	0.00	8,000.00	75.0	6,000.00
08/25/2017	CSD FAIRWAYS HLDGS	INLAND SELF STORAGE PF V DST	505,000.00	2,020.00	0.00	2,020.00	75.0	1,515.00
08/25/2017	AMD GV HOLDINGS LLC	INLAND SELF STORAGE PF V DST	1,500,000.00	6,000.00	0.00	6,000.00	75.0	4,500.00
08/28/2017	CSD PARKLANDS	INLAND SELF STORAGE PF V DST	755,000.00	3,020.00	0.00	3,020.00	75.0	2,265.00
08/29/2017	CSD MAIDEN HLDGS	INLAND SELF STORAGE PF V DST	1,010,000.00	4,040.00	0.00	4,040.00	75.0	3,030.00
08/30/2017	FHL WILLOW POND	INLAND SELF STORAGE PF V DST	863,960.00	3,455.84	0.00	3,455.84	75.0	2,591.88
08/25/2017	AMD GV HOLDINGS LLC	INLAND TEXAS HEALTHCARE PF II DST	2,125,000.00	8,500.00	0.00	8,500.00	75.0	6,375.00
08/30/2017	FHL WILLOW POND	INLAND TEXAS HEALTHCARE PF II DST	700,000.00	2,800.00	0.00	2,800.00	75.0	2,100.00
<b>* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *</b>			<b>\$18,852,610.00</b>	<b>\$82,013.50</b>	<b>\$0.00</b>	<b>\$82,013.50</b>		<b>\$61,510.14</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$18,852,610.00</b>	<b>\$82,025.67</b>	<b>\$0.00</b>	<b>\$82,025.67</b>		<b>\$61,519.28</b>

**ADJUSTMENTS**

09/15/2017	*MISC: 8-31 OVERNIGHT FEE	0.00						-20.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$-20.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1.05
Split Transactions	\$61,519.28
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$61,500.33
Year-to-date Gross	\$117,419.62
Year-to-date Earnings	\$88,006.38