

All Companies  
**STATEMENT**  
**July 20, 2018**

**BRYAN DUCKETT**  
11761 Connecticut Dr

**BROKER #: 009**  
**BRANCH: LA BRANCH**

Rancho Cucamonga, CA 91730-

COMMISSION STATEMENT for BRYAN DUCKETT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>										
06/02/0018 #81984090101	HOPSON, ERNEST	COLLEGE BOUND 76220Y679	B	50.00	0.20	0.00	0.00	0.20	88.0	0.18
06/18/2018 #00089506143	UHLSSCOTT	AMERICAN FUNDS 02629M58	B	100.00	3.50	0.00	0.00	3.50	88.0	3.08
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$150.00</b>	<b>\$3.70</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$3.70</b>		<b>\$3.26</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>										
06/12/2018 #	CARTMEL, GLORIA	VANGUARD SCOTTSDALE FUNDS VANGUARD	S	2,757.79	0.00	9.95	0.00	-9.95	88.0	-8.76
06/15/2018 #	LING, TOM	ELECTRONIC ARTS INC	B	62,500.00	525.00	19.95	0.00	505.05	88.0	444.44
06/20/2018 #	LING, TOM	NETFLIX COM INC	S	41,706.50	105.00	19.95	0.00	85.05	88.0	74.84
06/20/2018 #	LING, TOM	NVIDIA CORP	S	26,273.02	105.00	19.95	0.00	85.05	88.0	74.84
06/21/2018 #	GIRARD, KENNETH	MCDONALDS CORP	S	5,878.44	0.00	9.95	0.00	-9.95	88.0	-8.76
06/21/2018 #	GIRARD, KENNETH	PETMED EXPRESS INC	S	3,422.25	0.00	9.95	0.00	-9.95	88.0	-8.76
06/21/2018 #	GIRARD, KENNETH	UNILEVER PLC SPONSORED ADR NEW	S	5,417.00	0.00	9.95	0.00	-9.95	88.0	-8.76
06/26/2018 #	MARCOUX, GAIL	POWERSHARES QQQ TRUST	S	1,376.00	0.00	9.95	0.00	-9.95	88.0	-8.76
06/26/2018 #		SPDR INDEX SHARES FUNDS	B	30.39	0.00	19.95	0.00	-19.95	88.0	-17.56
06/26/2018 #		SPDR INDEX SHARES FUNDS	B	35.25	0.00	19.95	0.00	-19.95	88.0	-17.56
<b>* STOCKS SUBTOTAL *</b>				<b>\$149,396.64</b>	<b>\$735.00</b>	<b>\$149.50</b>	<b>\$0.00</b>	<b>\$585.50</b>		<b>\$515.20</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>										
05/16/2018 #	MILLER, DAVID	MESA ARIZ UTIL SYS REV UTIL	B	59,113.00	0.00	25.00	0.00	-25.00	88.0	-22.00
<b>* BONDS SUBTOTAL *</b>				<b>\$59,113.00</b>	<b>\$0.00</b>	<b>\$25.00</b>	<b>\$0.00</b>	<b>\$-25.00</b>		<b>\$-22.00</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>										
06/05/2018 #		CALL MGM 07/20/18 33	B	430.00	40.00	39.45	0.00	0.55	88.0	0.48
06/11/2018 #		CALL EA 06/15/18 125	S	2,848.00	55.27	23.85	0.00	31.42	88.0	27.65
06/12/2018 #		PUT QQQ 07/20/18 175	B	1,490.00	59.60	29.70	0.00	29.90	88.0	26.31
<b>* OPTIONS SUBTOTAL *</b>				<b>\$4,768.00</b>	<b>\$154.87</b>	<b>\$93.00</b>	<b>\$0.00</b>	<b>\$61.87</b>		<b>\$54.44</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>										
05/08/0018 #40004629TAL	LUTZ, TONI LYNN	TRANS LIFE FTLB06	B	77,576.13	64.65	0.00	0.00	64.65	88.0	56.89
06/30/2018 #1005560841	LUTZ, CAROL	L SERIES	B	213,994.32	178.33	0.00	0.00	178.33	88.0	156.93
06/30/2018 #1018268538	ZAROFF, MICHAEL	PERSP II 04/14	B	83,254.57	17.34	0.00	0.00	17.34	88.0	15.26
06/30/2018 #1012046576	CANIGILA, KENNITH	PERSP L 08/11	B	147,910.69	123.26	0.00	0.00	123.26	88.0	108.47

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<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>										
06/30/2018	#1017957305	HOLCOMB, TIM	PERSP L 08/11	B	185,170.07	154.31	0.00	0.00	154.31	88.0 135.79
06/30/2018	#1010427467	HOLCOMB, TIM	PERSP L 08/11	B	219,409.02	182.84	0.00	0.00	182.84	88.0 160.90
06/30/2018	#1012917429	MILLER, DAVID	PERSP L 08/11	B	1,431,994.50	1,193.33	0.00	0.00	1,193.33	88.0 1,050.13
06/30/2018	#1015021990	MONTGOMERY, BERT	PERSP L 08/11	B	198,282.70	165.24	0.00	0.00	165.24	88.0 145.41
06/30/2018	#1011249104	MUOLO, HELYN	PERSP L 08/11	B	276,634.91	230.53	0.00	0.00	230.53	88.0 202.87
06/30/2018	#1018477897	SINGLETON, SEAN	PERSP L 08/11	B	719,224.39	599.35	0.00	0.00	599.35	88.0 527.43
06/30/2018	#1014022941	SMITH, RANDY	PERSP L 08/11	B	461,496.72	384.58	0.00	0.00	384.58	88.0 338.43
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>					<b>\$4,014,948.02</b>	<b>\$3,293.76</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$3,293.76</b>	<b>\$2,898.51</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>										
06/01/2018	#00089506143	UHLSSCOTT	AMERICAN FUNDS 02629M58	B	0.00	1.90	0.00	0.00	1.90	88.0 1.67
06/01/2018	#00074200253	NAGANO, TYLER	AMERICAN FUNDS MORTGAGE FUND-529A	B	0.00	2.12	0.00	0.00	2.12	88.0 1.87
06/01/2018	#00074352754	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529A	B	0.00	4.02	0.00	0.00	4.02	88.0 3.54
06/01/2018	#00074356353	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529A	B	0.00	2.94	0.00	0.00	2.94	88.0 2.59
06/01/2018	#00075037851	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529A	B	0.00	3.02	0.00	0.00	3.02	88.0 2.66
06/01/2018	#00081849075	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529A	B	0.00	1.42	0.00	0.00	1.42	88.0 1.25
06/01/2018	#00074200253	NAGANO, TYLER	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	1.77	0.00	0.00	1.77	88.0 1.56
06/01/2018	#00074352754	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	9.84	0.00	0.00	9.84	88.0 8.66
06/01/2018	#00074356353	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	8.19	0.00	0.00	8.19	88.0 7.21
06/01/2018	#00075037851	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	3.89	0.00	0.00	3.89	88.0 3.42
06/01/2018	#00081849075	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	5.86	0.00	0.00	5.86	88.0 5.16
06/01/2018	#00086957913	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	3.98	0.00	0.00	3.98	88.0 3.50
06/01/2018	#00074200253	NAGANO, TYLER	AMERICAN MUTUAL FUND-529A	B	0.00	6.27	0.00	0.00	6.27	88.0 5.52
06/01/2018	#00074352754	BENTON LING IV,	AMERICAN MUTUAL FUND-529A	B	0.00	14.99	0.00	0.00	14.99	88.0 13.19
06/01/2018	#00074356353	BENTON LING IV,	AMERICAN MUTUAL FUND-529A	B	0.00	11.62	0.00	0.00	11.62	88.0 10.23
06/01/2018	#00075037851	BENTON LING IV,	AMERICAN MUTUAL FUND-529A	B	0.00	5.67	0.00	0.00	5.67	88.0 4.99
06/01/2018	#00081849075	BENTON LING IV,	AMERICAN MUTUAL FUND-529A	B	0.00	3.45	0.00	0.00	3.45	88.0 3.04
06/01/2018	#00074200253	NAGANO, TYLER	AMERICAN MUTUAL FUND-529C	B	0.00	0.79	0.00	0.00	0.79	88.0 0.70
06/01/2018	#00074352754	BENTON LING IV,	AMERICAN MUTUAL FUND-529C	B	0.00	5.33	0.00	0.00	5.33	88.0 4.69
06/01/2018	#00074356353	BENTON LING IV,	AMERICAN MUTUAL FUND-529C	B	0.00	3.72	0.00	0.00	3.72	88.0 3.27
06/01/2018	#00075037851	BENTON LING IV,	AMERICAN MUTUAL FUND-529C	B	0.00	17.46	0.00	0.00	17.46	88.0 15.36
06/01/2018	#00081849075	BENTON LING IV,	AMERICAN MUTUAL FUND-529C	B	0.00	15.26	0.00	0.00	15.26	88.0 13.43
06/01/2018	#00086957913	BENTON LING IV,	AMERICAN MUTUAL FUND-529C	B	0.00	13.58	0.00	0.00	13.58	88.0 11.95
06/01/2018	#00074200253	NAGANO, TYLER	CAPITAL WORLD BOND FUND-529A	B	0.00	4.91	0.00	0.00	4.91	88.0 4.32
06/01/2018	#00074352754	BENTON LING IV,	CAPITAL WORLD BOND FUND-529A	B	0.00	10.26	0.00	0.00	10.26	88.0 9.03
06/01/2018	#00074356353	BENTON LING IV,	CAPITAL WORLD BOND FUND-529A	B	0.00	6.47	0.00	0.00	6.47	88.0 5.69
06/01/2018	#00075037851	BENTON LING IV,	CAPITAL WORLD BOND FUND-529A	B	0.00	8.92	0.00	0.00	8.92	88.0 7.85
06/01/2018	#00081849075	BENTON LING IV,	CAPITAL WORLD BOND FUND-529A	B	0.00	2.83	0.00	0.00	2.83	88.0 2.49
06/01/2018	#00074200253	NAGANO, TYLER	CAPITAL WORLD BOND FUND-529C	B	0.00	4.10	0.00	0.00	4.10	88.0 3.61
06/01/2018	#00074352754	BENTON LING IV,	CAPITAL WORLD BOND FUND-529C	B	0.00	18.90	0.00	0.00	18.90	88.0 16.63

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
06/01/2018	#00074356353	BENTON LING IV, CAPITAL WORLD BOND FUND-529C	B	0.00	20.19	0.00	0.00	20.19	88.0	17.77
06/01/2018	#00075037851	BENTON LING IV, CAPITAL WORLD BOND FUND-529C	B	0.00	1.21	0.00	0.00	1.21	88.0	1.06
06/01/2018	#00081849075	BENTON LING IV, CAPITAL WORLD BOND FUND-529C	B	0.00	15.36	0.00	0.00	15.36	88.0	13.52
06/01/2018	#00086957913	BENTON LING IV, CAPITAL WORLD BOND FUND-529C	B	0.00	9.65	0.00	0.00	9.65	88.0	8.49
06/01/2018	#00074200253	NAGANO, TYLER DEVELOPING WORLD GROWTH & INCOME-52 B	B	0.00	5.42	0.00	0.00	5.42	88.0	4.77
06/01/2018	#00074352754	BENTON LING IV, DEVELOPING WORLD GROWTH & INCOME-52 B	B	0.00	11.77	0.00	0.00	11.77	88.0	10.36
06/01/2018	#00074356353	BENTON LING IV, DEVELOPING WORLD GROWTH & INCOME-52 B	B	0.00	6.77	0.00	0.00	6.77	88.0	5.96
06/01/2018	#00075037851	BENTON LING IV, DEVELOPING WORLD GROWTH & INCOME-52 B	B	0.00	4.22	0.00	0.00	4.22	88.0	3.71
06/01/2018	#00081849075	BENTON LING IV, DEVELOPING WORLD GROWTH & INCOME-52 B	B	0.00	4.58	0.00	0.00	4.58	88.0	4.03
06/01/2018	#00074200253	NAGANO, TYLER DEVELOPING WORLD GROWTH & INCOME-52 B	B	0.00	4.53	0.00	0.00	4.53	88.0	3.99
06/01/2018	#00074352754	BENTON LING IV, DEVELOPING WORLD GROWTH & INCOME-52 B	B	0.00	19.16	0.00	0.00	19.16	88.0	16.86
06/01/2018	#00074356353	BENTON LING IV, DEVELOPING WORLD GROWTH & INCOME-52 B	B	0.00	23.80	0.00	0.00	23.80	88.0	20.94
06/01/2018	#00075037851	BENTON LING IV, DEVELOPING WORLD GROWTH & INCOME-52 B	B	0.00	23.84	0.00	0.00	23.84	88.0	20.98
06/01/2018	#00081849075	BENTON LING IV, DEVELOPING WORLD GROWTH & INCOME-52 B	B	0.00	11.17	0.00	0.00	11.17	88.0	9.83
06/01/2018	#00086957913	BENTON LING IV, DEVELOPING WORLD GROWTH & INCOME-52 B	B	0.00	14.46	0.00	0.00	14.46	88.0	12.72
06/01/2018	#00074200253	NAGANO, TYLER EUROPACIFIC GROWTH FUND-529A	B	0.00	2.76	0.00	0.00	2.76	88.0	2.43
06/01/2018	#00074352754	BENTON LING IV, EUROPACIFIC GROWTH FUND-529A	B	0.00	5.24	0.00	0.00	5.24	88.0	4.61
06/01/2018	#00074356353	BENTON LING IV, EUROPACIFIC GROWTH FUND-529A	B	0.00	3.45	0.00	0.00	3.45	88.0	3.04
06/01/2018	#00075037851	BENTON LING IV, EUROPACIFIC GROWTH FUND-529A	B	0.00	2.15	0.00	0.00	2.15	88.0	1.89
06/01/2018	#00081849075	BENTON LING IV, EUROPACIFIC GROWTH FUND-529A	B	0.00	0.94	0.00	0.00	0.94	88.0	0.83
06/01/2018	#00074200253	NAGANO, TYLER EUROPACIFIC GROWTH FUND-529C	B	0.00	2.31	0.00	0.00	2.31	88.0	2.03
06/01/2018	#00074352754	BENTON LING IV, EUROPACIFIC GROWTH FUND-529C	B	0.00	12.80	0.00	0.00	12.80	88.0	11.26
06/01/2018	#00074356353	BENTON LING IV, EUROPACIFIC GROWTH FUND-529C	B	0.00	12.13	0.00	0.00	12.13	88.0	10.67
06/01/2018	#00075037851	BENTON LING IV, EUROPACIFIC GROWTH FUND-529C	B	0.00	12.15	0.00	0.00	12.15	88.0	10.69
06/01/2018	#00081849075	BENTON LING IV, EUROPACIFIC GROWTH FUND-529C	B	0.00	11.26	0.00	0.00	11.26	88.0	9.91
06/01/2018	#00086957913	BENTON LING IV, EUROPACIFIC GROWTH FUND-529C	B	0.00	7.35	0.00	0.00	7.35	88.0	6.47
06/01/2018	#00074200253	NAGANO, TYLER INTERMEDIATE BOND FUND OF AMERICA-5 B	B	0.00	2.13	0.00	0.00	2.13	88.0	1.87
06/01/2018	#00074352754	BENTON LING IV, INTERMEDIATE BOND FUND OF AMERICA-5 B	B	0.00	4.04	0.00	0.00	4.04	88.0	3.56
06/01/2018	#00074356353	BENTON LING IV, INTERMEDIATE BOND FUND OF AMERICA-5 B	B	0.00	5.01	0.00	0.00	5.01	88.0	4.41
06/01/2018	#00075037851	BENTON LING IV, INTERMEDIATE BOND FUND OF AMERICA-5 B	B	0.00	2.20	0.00	0.00	2.20	88.0	1.94
06/01/2018	#00081849075	BENTON LING IV, INTERMEDIATE BOND FUND OF AMERICA-5 B	B	0.00	0.73	0.00	0.00	0.73	88.0	0.64
06/01/2018	#00074200253	NAGANO, TYLER INTERMEDIATE BOND FUND OF AMERICA-5 B	B	0.00	1.78	0.00	0.00	1.78	88.0	1.57
06/01/2018	#00074352754	BENTON LING IV, INTERMEDIATE BOND FUND OF AMERICA-5 B	B	0.00	9.89	0.00	0.00	9.89	88.0	8.70
06/01/2018	#00075037851	BENTON LING IV, INTERMEDIATE BOND FUND OF AMERICA-5 B	B	0.00	7.23	0.00	0.00	7.23	88.0	6.36
06/01/2018	#00081849075	BENTON LING IV, INTERMEDIATE BOND FUND OF AMERICA-5 B	B	0.00	8.69	0.00	0.00	8.69	88.0	7.65
06/01/2018	#00086957913	BENTON LING IV, INTERMEDIATE BOND FUND OF AMERICA-5 B	B	0.00	3.97	0.00	0.00	3.97	88.0	3.49
06/01/2018	#00074200253	NAGANO, TYLER SHORT-TERM BOND FUND OF AMERICA-529 B	B	0.00	5.36	0.00	0.00	5.36	88.0	4.72
06/01/2018	#00074352754	BENTON LING IV, SHORT-TERM BOND FUND OF AMERICA-529 B	B	0.00	12.74	0.00	0.00	12.74	88.0	11.21
06/01/2018	#00074356353	BENTON LING IV, SHORT-TERM BOND FUND OF AMERICA-529 B	B	0.00	7.86	0.00	0.00	7.86	88.0	6.92
06/01/2018	#00075037851	BENTON LING IV, SHORT-TERM BOND FUND OF AMERICA-529 B	B	0.00	4.17	0.00	0.00	4.17	88.0	3.67

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
06/01/2018	#00081849075	BENTON LING IV, SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	1.82	0.00	0.00	1.82	88.0	1.60
06/01/2018	#00074200253	NAGANO, TYLER SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	4.47	0.00	0.00	4.47	88.0	3.93
06/01/2018	#00074352754	BENTON LING IV, SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	14.45	0.00	0.00	14.45	88.0	12.72
06/01/2018	#00074356353	BENTON LING IV, SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	18.84	0.00	0.00	18.84	88.0	16.58
06/01/2018	#00075037851	BENTON LING IV, SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	23.56	0.00	0.00	23.56	88.0	20.73
06/01/2018	#00081849075	BENTON LING IV, SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	21.84	0.00	0.00	21.84	88.0	19.22
06/01/2018	#00086957913	BENTON LING IV, SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	10.02	0.00	0.00	10.02	88.0	8.82
06/01/2018	#00074200253	NAGANO, TYLER SMALLCAP WORLD FUND-529A	B	0.00	2.94	0.00	0.00	2.94	88.0	2.59
06/01/2018	#00074352754	BENTON LING IV, SMALLCAP WORLD FUND-529A	B	0.00	5.27	0.00	0.00	5.27	88.0	4.64
06/01/2018	#00074356353	BENTON LING IV, SMALLCAP WORLD FUND-529A	B	0.00	5.82	0.00	0.00	5.82	88.0	5.12
06/01/2018	#00075037851	BENTON LING IV, SMALLCAP WORLD FUND-529A	B	0.00	3.49	0.00	0.00	3.49	88.0	3.07
06/01/2018	#00081849075	BENTON LING IV, SMALLCAP WORLD FUND-529A	B	0.00	2.24	0.00	0.00	2.24	88.0	1.97
06/01/2018	#00074200253	NAGANO, TYLER SMALLCAP WORLD FUND-529C	B	0.00	2.32	0.00	0.00	2.32	88.0	2.04
06/01/2018	#00074352754	BENTON LING IV, SMALLCAP WORLD FUND-529C	B	0.00	14.77	0.00	0.00	14.77	88.0	13.00
06/01/2018	#00074356353	BENTON LING IV, SMALLCAP WORLD FUND-529C	B	0.00	4.17	0.00	0.00	4.17	88.0	3.67
06/01/2018	#00075037851	BENTON LING IV, SMALLCAP WORLD FUND-529C	B	0.00	8.03	0.00	0.00	8.03	88.0	7.07
06/01/2018	#00081849075	BENTON LING IV, SMALLCAP WORLD FUND-529C	B	0.00	7.00	0.00	0.00	7.00	88.0	6.16
06/01/2018	#00086957913	BENTON LING IV, SMALLCAP WORLD FUND-529C	B	0.00	7.66	0.00	0.00	7.66	88.0	6.74
06/01/2018	#00074200253	NAGANO, TYLER THE BOND FUND OF AMERICA-529A	B	0.00	1.59	0.00	0.00	1.59	88.0	1.40
06/01/2018	#00074352754	BENTON LING IV, THE BOND FUND OF AMERICA-529A	B	0.00	3.01	0.00	0.00	3.01	88.0	2.65
06/01/2018	#00074356353	BENTON LING IV, THE BOND FUND OF AMERICA-529A	B	0.00	1.98	0.00	0.00	1.98	88.0	1.74
06/01/2018	#00075037851	BENTON LING IV, THE BOND FUND OF AMERICA-529A	B	0.00	1.23	0.00	0.00	1.23	88.0	1.08
06/01/2018	#00081849075	BENTON LING IV, THE BOND FUND OF AMERICA-529A	B	0.00	0.54	0.00	0.00	0.54	88.0	0.48
06/01/2018	#00074200253	NAGANO, TYLER THE BOND FUND OF AMERICA-529C	B	0.00	1.33	0.00	0.00	1.33	88.0	1.17
06/01/2018	#00074352754	BENTON LING IV, THE BOND FUND OF AMERICA-529C	B	0.00	7.36	0.00	0.00	7.36	88.0	6.48
06/01/2018	#00074356353	BENTON LING IV, THE BOND FUND OF AMERICA-529C	B	0.00	6.98	0.00	0.00	6.98	88.0	6.14
06/01/2018	#00075037851	BENTON LING IV, THE BOND FUND OF AMERICA-529C	B	0.00	6.99	0.00	0.00	6.99	88.0	6.15
06/01/2018	#00081849075	BENTON LING IV, THE BOND FUND OF AMERICA-529C	B	0.00	6.47	0.00	0.00	6.47	88.0	5.69
06/01/2018	#00086957913	BENTON LING IV, THE BOND FUND OF AMERICA-529C	B	0.00	3.06	0.00	0.00	3.06	88.0	2.69
06/01/2018	#00074200253	NAGANO, TYLER THE GROWTH FUND OF AMERICA-529A	B	0.00	14.58	0.00	0.00	14.58	88.0	12.83
06/01/2018	#00074352754	BENTON LING IV, THE GROWTH FUND OF AMERICA-529A	B	0.00	28.25	0.00	0.00	28.25	88.0	24.86
06/01/2018	#00074356353	BENTON LING IV, THE GROWTH FUND OF AMERICA-529A	B	0.00	18.32	0.00	0.00	18.32	88.0	16.12
06/01/2018	#00075037851	BENTON LING IV, THE GROWTH FUND OF AMERICA-529A	B	0.00	11.12	0.00	0.00	11.12	88.0	9.79
06/01/2018	#00081849075	BENTON LING IV, THE GROWTH FUND OF AMERICA-529A	B	0.00	4.84	0.00	0.00	4.84	88.0	4.26
06/01/2018	#00074200253	NAGANO, TYLER THE GROWTH FUND OF AMERICA-529C	B	0.00	15.19	0.00	0.00	15.19	88.0	13.37
06/01/2018	#00074352754	BENTON LING IV, THE GROWTH FUND OF AMERICA-529C	B	0.00	73.55	0.00	0.00	73.55	88.0	64.72
06/01/2018	#00074356353	BENTON LING IV, THE GROWTH FUND OF AMERICA-529C	B	0.00	70.07	0.00	0.00	70.07	88.0	61.66
06/01/2018	#00075037851	BENTON LING IV, THE GROWTH FUND OF AMERICA-529C	B	0.00	70.21	0.00	0.00	70.21	88.0	61.78
06/01/2018	#00081849075	BENTON LING IV, THE GROWTH FUND OF AMERICA-529C	B	0.00	63.70	0.00	0.00	63.70	88.0	56.06
06/01/2018	#00086957913	BENTON LING IV, THE GROWTH FUND OF AMERICA-529C	B	0.00	39.24	0.00	0.00	39.24	88.0	34.53

COMMISSION STATEMENT for BRYAN DUCKETT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
06/01/2018	#00074200253	NAGANO, TYLER		THE INVESTMENT COMPANY OF AMERICA-5 B	0.00	5.42	0.00	0.00	5.42	88.0 4.77
06/01/2018	#00074352754	BENTON LING IV,		THE INVESTMENT COMPANY OF AMERICA-5 B	0.00	10.53	0.00	0.00	10.53	88.0 9.27
06/01/2018	#00074356353	BENTON LING IV,		THE INVESTMENT COMPANY OF AMERICA-5 B	0.00	6.77	0.00	0.00	6.77	88.0 5.96
06/01/2018	#00075037851	BENTON LING IV,		THE INVESTMENT COMPANY OF AMERICA-5 B	0.00	4.44	0.00	0.00	4.44	88.0 3.91
06/01/2018	#00081849075	BENTON LING IV,		THE INVESTMENT COMPANY OF AMERICA-5 B	0.00	1.85	0.00	0.00	1.85	88.0 1.63
06/01/2018	#00074200253	NAGANO, TYLER		THE INVESTMENT COMPANY OF AMERICA-5 B	0.00	4.53	0.00	0.00	4.53	88.0 3.99
06/01/2018	#00074352754	BENTON LING IV,		THE INVESTMENT COMPANY OF AMERICA-5 B	0.00	24.09	0.00	0.00	24.09	88.0 21.20
06/01/2018	#00074356353	BENTON LING IV,		THE INVESTMENT COMPANY OF AMERICA-5 B	0.00	23.80	0.00	0.00	23.80	88.0 20.94
06/01/2018	#00075037851	BENTON LING IV,		THE INVESTMENT COMPANY OF AMERICA-5 B	0.00	22.96	0.00	0.00	22.96	88.0 20.20
06/01/2018	#00081849075	BENTON LING IV,		THE INVESTMENT COMPANY OF AMERICA-5 B	0.00	22.08	0.00	0.00	22.08	88.0 19.43
06/01/2018	#00086957913	BENTON LING IV,		THE INVESTMENT COMPANY OF AMERICA-5 B	0.00	14.25	0.00	0.00	14.25	88.0 12.54
06/01/2018	#00074200253	NAGANO, TYLER		WASHINGTON MUTUAL INVESTORS FUND-52 B	0.00	3.20	0.00	0.00	3.20	88.0 2.82
06/01/2018	#00074352754	BENTON LING IV,		WASHINGTON MUTUAL INVESTORS FUND-52 B	0.00	8.26	0.00	0.00	8.26	88.0 7.27
06/01/2018	#00074356353	BENTON LING IV,		WASHINGTON MUTUAL INVESTORS FUND-52 B	0.00	3.38	0.00	0.00	3.38	88.0 2.97
06/01/2018	#00075037851	BENTON LING IV,		WASHINGTON MUTUAL INVESTORS FUND-52 B	0.00	2.10	0.00	0.00	2.10	88.0 1.85
06/01/2018	#00081849075	BENTON LING IV,		WASHINGTON MUTUAL INVESTORS FUND-52 B	0.00	2.31	0.00	0.00	2.31	88.0 2.03
06/01/2018	#00074200253	NAGANO, TYLER		WASHINGTON MUTUAL INVESTORS FUND-52 B	0.00	0.27	0.00	0.00	0.27	88.0 0.24
06/01/2018	#00074356353	BENTON LING IV,		WASHINGTON MUTUAL INVESTORS FUND-52 B	0.00	11.87	0.00	0.00	11.87	88.0 10.45
06/01/2018	#00075037851	BENTON LING IV,		WASHINGTON MUTUAL INVESTORS FUND-52 B	0.00	11.89	0.00	0.00	11.89	88.0 10.46
06/01/2018	#00081849075	BENTON LING IV,		WASHINGTON MUTUAL INVESTORS FUND-52 B	0.00	5.48	0.00	0.00	5.48	88.0 4.82
06/01/2018	#00086957913	BENTON LING IV,		WASHINGTON MUTUAL INVESTORS FUND-52 B	0.00	7.10	0.00	0.00	7.10	88.0 6.25
06/11/2018	#	SCAFFIDE, SAM		DAIN TRAILER	B	0.00	4.18	0.00	0.00	4.18 88.0 3.68
06/11/2018	#	MILLER, DAVID		DAIN TRAILER	B	0.00	11.61	0.00	0.00	11.61 88.0 10.22
06/11/2018	#	SCAFFIDE, SAM		DAIN TRAILER	B	0.00	1.49	0.00	0.00	1.49 88.0 1.31
06/11/2018	#	MANASHOWITZ, STEVEN		DAIN TRAILER	B	0.00	0.84	0.00	0.00	0.84 88.0 0.74
06/11/2018	#	MANASHOWITZ, STEVEN		DAIN TRAILER	B	0.00	2.34	0.00	0.00	2.34 88.0 2.06
06/11/2018	#	MORGA, MARIA		DAIN TRAILER	B	0.00	52.70	0.00	0.00	52.70 88.0 46.38
06/11/2018	#	NEIMAN, JOHN		DAIN TRAILER	B	0.00	2.56	0.00	0.00	2.56 88.0 2.25
				<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$1,408.57</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$1,408.57</b>	<b>\$1,239.57</b>
				<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$4,228,375.66</b>	<b>\$5,595.90</b>	<b>\$267.50</b>	<b>\$0.00</b>	<b>\$5,328.40</b>	<b>\$4,688.98</b>

COMMISSION STATEMENT for BRYAN DUCKETT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

07/20/2018		CIRCLEBLACK: CIRCLEBLACK								-175.00
07/20/2018		ADMIN FEE: ADMIN FEE								-390.00
07/20/2018		DOCUSIGN: DOCUSIGN								-51.00
07/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
*** ADJUSTMENTS TOTAL ***										<u>\$-795.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$4,688.98
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-795.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<u>\$3,893.98</u>
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