



# COMMISSION STATEMENT

## July 23, 2018

**TIMOTHY STATES**  
 1629 K ST NW STE 300  
 SUITE 300  
 WASHINGTON, DC 20001

**BROKER #: A70**  
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/02/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 03211304425	CAPITAL APPRECIATION A BUY 0 Units @0.0000	904.00	27.12	0.00	27.12	75.0	20.34
07/17/2018	***-**-91IN - RICHARDS, RMR ACCOUNT #: LSR010600	THE GROWTH FUND OF AMERICA-A BUY 220 Units @58.9900	12,999.98	650.00	18.00	632.00	75.0	474.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$13,903.98</b>	<b>\$677.12</b>	<b>\$18.00</b>	<b>\$659.12</b>		<b>\$494.34</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
07/16/2018	***-**-35IR - ANTOINE, FBO MS ACCOUNT #: LSR010598	CISCO SYSTEMS INC SELL 5 Units @42.7240	213.62	10.00	18.00	-8.00	100.0	-8.00
07/16/2018	***-**-066I - STATES, FBO MR ACCOUNT #: LSF000282	FACEBOOK INC COM USD0.000006 CL A BUY 46 Units @208.2130	9,577.80	10.00	9.45	0.55	75.0	0.41
07/16/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	FACEBOOK INC COM USD0.000006 CL A BUY 100 Units @208.2400	20,824.00	150.00	17.00	133.00	75.0	99.75
07/17/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	LOWES COMPANIES SOLICITED ORDER BUY 200 Units @100.0980	20,019.60	200.00	19.00	181.00	75.0	135.75
07/16/2018	***-**-35IR - ANTOINE, FBO MS ACCOUNT #: LSR010598	MICROSOFT CORP UNSOLICITED ORDER EX BUY 150 Units @105.1850	15,777.75	150.00	18.00	132.00	75.0	99.00
07/16/2018	***-**-066I - STATES, FBO MR ACCOUNT #: LSF000282	UNDER ARMOUR INC COM STK USD0.00033 SELL 450 Units @21.3640	9,613.80	10.00	13.49	-3.49	100.0	-3.49
07/16/2018	***-**-35IR - ANTOINE, FBO MS ACCOUNT #: LSR010598	UNDER ARMOUR INC COM STK USD0.00033 SELL 300 Units @21.5130	6,453.90	135.00	21.00	114.00	75.0	85.50
* STOCKS SUBTOTAL *			<b>\$82,480.47</b>	<b>\$665.00</b>	<b>\$115.94</b>	<b>\$549.06</b>		<b>\$408.92</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/23/2018	***-**-44TR - LYNCH TTEE, ACCOUNT #: LSR010606	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	5.79	0.00	5.79	75.0	4.34
07/17/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	CLEARBRIDGE APPRECIATION CLASS A BUY 1 Units @0.0000	0.00	1.48	0.00	1.48	75.0	1.11
07/23/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	COLUMBIA DISCIPLINED CORE A BUY 1 Units @0.0000	0.00	4.70	0.00	4.70	75.0	3.53
07/23/2018	***-**-437I - SIGUE, JOCELYN ACCOUNT #: LSR011597	DAVIS APPRECIATION & INCOME-CLASS A BUY 1 Units @0.0000	0.00	3.25	0.00	3.25	75.0	2.44



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-549I - WHITE, WILLIAM	DAVIS NEW YORK VENTURE CLASS A	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010690	BUY 1 Units @0.0000						
07/23/2018	***-**-437I - SIGUE, JOCELYN	DAVIS NEW YORK VENTURE CLASS A	0.00	14.15	0.00	14.15	75.0	10.61
	ACCOUNT #: LSR011597	BUY 1 Units @0.0000						
07/17/2018	***-**-542O - BROWN, MARY	EQUITY INCOME	0.00	3.93	0.00	3.93	75.0	2.95
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
07/23/2018	***-**-826I - CLARK, FBO MS	FUNDAMENTAL INVESTORS-A	0.00	4.80	0.00	4.80	75.0	3.60
	ACCOUNT #: LSR010588	BUY 1 Units @0.0000						
07/23/2018	***-**-58IN - LUTZ, SAMUEL	FUNDAMENTAL INVESTORS-A	0.00	2.78	0.00	2.78	75.0	2.09
	ACCOUNT #: LSR010594	BUY 1 Units @0.0000						
07/23/2018	***-**-820I - HIGHSMITH,	FUNDAMENTAL INVESTORS-A	0.00	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: LSR010653	BUY 1 Units @0.0000						
07/23/2018	***-**-549I - WHITE, WILLIAM	FUNDAMENTAL INVESTORS-A	0.00	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: LSR010690	BUY 1 Units @0.0000						
07/23/2018	***-**-736J - HIGHSMITH SR,	FUNDAMENTAL INVESTORS-A	0.00	2.01	0.00	2.01	75.0	1.51
	ACCOUNT #: LSR010785	BUY 1 Units @0.0000						
07/23/2018	***-**-195O - CARTER, IRIS	FUNDAMENTAL INVESTORS-A	0.00	3.80	0.00	3.80	75.0	2.85
	ACCOUNT #: LSR012687	BUY 1 Units @0.0000						
07/23/2018	***-**-542O - BROWN, MARY	INVESCO VAN KAMPEN COMSTOCK CLASS A	0.00	6.44	0.00	6.44	75.0	4.83
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
07/23/2018	***-**-44TR - LYNCH TTEE,	IVK GROWTH AND INCOME FUND - CLASS	0.00	6.27	0.00	6.27	75.0	4.70
	ACCOUNT #: LSR010606	BUY 1 Units @0.0000						
07/23/2018	***-**-820I - HIGHSMITH,	IVK GROWTH AND INCOME FUND - CLASS	0.00	1.80	0.00	1.80	75.0	1.35
	ACCOUNT #: LSR010653	BUY 1 Units @0.0000						
07/23/2018	***-**-437I - SIGUE, JOCELYN	NEW WORLD FUND-A	0.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: LSR011597	BUY 1 Units @0.0000						
07/23/2018	***-**-826I - CLARK, FBO MS	THE GROWTH FUND OF AMERICA-A	0.00	5.16	0.00	5.16	75.0	3.87
	ACCOUNT #: LSR010588	BUY 1 Units @0.0000						
07/23/2018	***-**-58IN - LUTZ, SAMUEL	THE GROWTH FUND OF AMERICA-A	0.00	2.49	0.00	2.49	75.0	1.87
	ACCOUNT #: LSR010594	BUY 1 Units @0.0000						
07/23/2018	***-**-74IN - DAVIS, MISCHA	THE GROWTH FUND OF AMERICA-A	0.00	3.32	0.00	3.32	75.0	2.49
	ACCOUNT #: LSR010627	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-5420 - BROWN, MARY	THE GROWTH FUND OF AMERICA-A	0.00	6.21	0.00	6.21	75.0	4.66
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
07/23/2018	***-**-1950 - CARTER, IRIS	THE GROWTH FUND OF AMERICA-A	0.00	4.03	0.00	4.03	75.0	3.02
	ACCOUNT #: LSR012687	BUY 1 Units @0.0000						
07/23/2018	***-**-587I - KEARNEY, FBO MS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.03	0.00	2.03	75.0	1.52
	ACCOUNT #: LSR010590	BUY 1 Units @0.0000						
07/23/2018	***-**-58IN - LUTZ, SAMUEL	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.03	0.00	2.03	75.0	1.52
	ACCOUNT #: LSR010594	BUY 1 Units @0.0000						
07/23/2018	***-**-35IN - ANTOINE, MS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.03	0.00	2.03	75.0	1.52
	ACCOUNT #: LSR010597	BUY 1 Units @0.0000						
07/23/2018	***-**-44TR - LYNCH TTEE,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	6.75	0.00	6.75	75.0	5.06
	ACCOUNT #: LSR010606	BUY 1 Units @0.0000						
07/23/2018	***-**-574I - DAVIS, MISCHA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.61	0.00	3.61	75.0	2.71
	ACCOUNT #: LSR010630	BUY 1 Units @0.0000						
07/23/2018	***-**-736J - HIGHSMITH SR,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	4.47	0.00	4.47	75.0	3.35
	ACCOUNT #: LSR010785	BUY 1 Units @0.0000						
07/17/2018	***-**-5420 - BROWN, MARY	WELLS FARGO ULTRA SHRT TRM MUNI CL	0.00	0.41	0.00	0.41	75.0	0.31
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
07/17/2018	***-**-5420 - BROWN, MARY	WESTERN ASSET CORE BOND FUND CL A	0.00	2.08	0.00	2.08	75.0	1.56
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$108.34</b>	<b>\$0.00</b>	<b>\$108.34</b>		<b>\$81.26</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$96,384.45</b>	<b>\$1,450.46</b>	<b>\$133.94</b>	<b>\$1,316.52</b>		<b>\$984.52</b>



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$984.52
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$984.52
						Year-to-date Earnings		\$51,620.66