



Concorde Investment Services, LLC

09/13/2017
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COMMISSION STATEMENT **September 15, 2017**

J1D-DAN/JON PAUL FT-NY RAUPP/CIRELLI
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: J1D
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^09/12/2017	KLANSKY, ARTHUR	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^08/25/2017	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	4.44	0.00	4.44	100.0	0.00
^08/09/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.07	0.00	13.07	100.0	0.00
^08/09/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.06	0.00	11.06	100.0	0.00
^08/09/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	33.19	0.00	33.19	100.0	0.00
^08/09/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.63	0.00	10.63	100.0	0.00
^08/09/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	31.88	0.00	31.88	100.0	0.00
^08/16/2017	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.60	0.00	10.60	100.0	0.00
^08/16/2017	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.79	0.00	31.79	100.0	0.00
^08/16/2017	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.34	0.00	4.34	100.0	0.00
^08/15/2017	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	3.60	0.00	3.60	100.0	0.00
^08/15/2017	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.51	0.00	4.51	100.0	0.00
^08/15/2017	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	3.23	0.00	3.23	100.0	0.00
^08/17/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.87	0.00	0.87	100.0	0.00
^08/17/2017	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	18.50	0.00	18.50	100.0	0.00
^08/17/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.67	0.00	0.67	100.0	0.00
^08/10/2017	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	137.63	0.00	137.63	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$320.01	\$0.00	\$320.01		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$50,000.00	\$3,820.01	\$0.00	\$3,820.01		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.03
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.03
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00