



Concorde Investment Services, LLC

01/13/2017
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COMMISSION STATEMENT

January 17, 2017

DAVID KOTZ
601 Mine Brook Rd

BROKER #: K135
BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/09/2016	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	11.51	0.00	11.51	80.0	9.21
12/09/2016	NAGY, ZOLLAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.56	0.00	2.56	80.0	2.05
12/09/2016	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	26.26	0.00	26.26	80.0	21.01
12/09/2016	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.24	0.00	12.24	80.0	9.79
12/09/2016	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	6.22	0.00	6.22	80.0	4.98
12/09/2016	WHITTLESEY, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	22.76	0.00	22.76	80.0	18.21
12/09/2016	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.76	0.00	15.76	80.0	12.61
12/09/2016	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.78	0.00	4.78	80.0	3.82
12/09/2016	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.81	0.00	0.81	80.0	0.65
12/09/2016	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	8.25	0.00	8.25	80.0	6.60
12/09/2016	MCKAY, VERA	BLACKROCK FUNDS US GOVERNMENT PORTF	0.00	2.23	0.00	2.23	80.0	1.78
12/09/2016	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.55	0.00	1.55	80.0	1.24
12/09/2016	NAGY, ZOLLAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.13	0.00	2.13	80.0	1.70
12/09/2016	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	1.62	0.00	1.62	80.0	1.30
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$118.68	\$0.00	\$118.68		\$94.95
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$118.68	\$0.00	\$118.68		\$94.95

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
01/17/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/17/2017		*RBC: ORDER ENTRY	0.00					-65.00
01/17/2017		*RBC: WEALTHSTATION ADMIN	0.00					-25.00
01/17/2017		*TECHNOLOGY: ADMIN - JENNIFER NEIST	0.00					-100.00
01/17/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-765.00

BROKER COMMISSION TOTALS

Broker Transactions	\$94.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-765.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-2,025.26
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-2,695.31
Year-to-date Gross	\$118.68
Year-to-date Earnings	\$94.95

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