

COMMISSION STATEMENT July 15, 2018

RANDY SMITH-D061

BROKER #: D061

BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/18/2018 (None)	0030282465	MF SCHOLARS CHOICE	B	50.00	1.50	0.00	1.50	50.0	0.75
06/20/2018 (None)	GARTIN, ROBERT	MF OPPENHEIMER	B	100.00	3.00	0.00	3.00	50.0	1.50
06/20/2018 (None)	GARTIN, ROBERT	MF OPPENHEIMER	B	100.00	3.00	0.00	3.00	50.0	1.50
06/25/2018	000005870019840	CAROL MF TEMP GLOBAL SM CO FND - CL A	B	30.00	1.50	0.00	1.50	50.0	0.75
07/06/2018	00058011489	FOSTER, EMILIE MF CAPITAL WORLD GROWTH AND INCOME	B	4,804.28	96.09	0.00	96.09	50.0	48.05
07/03/2018	00068681036	MURPHY, DEBORAH MF THE NEW ECONOMY FUND-A	B	75.00	3.19	0.00	3.19	50.0	1.60
07/03/2018	00068681036	MURPHY, DEBORAH MF WASHINGTON MUTUAL INVESTORS	B	75.00	3.19	0.00	3.19	50.0	1.60
06/25/2018	00083359485	SCHLESSIGER, MF AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
07/05/2018	00084512960	GIBBS, KENDRA MF CAPITAL WORLD GROWTH AND INCOME	B	170.00	6.38	0.00	6.38	50.0	3.19
07/05/2018	00084512960	GIBBS, KENDRA MF NEW PERSPECTIVE FUND-A	B	165.00	6.19	0.00	6.19	50.0	3.10
07/05/2018	00084512960	GIBBS, KENDRA MF THE GROWTH FUND OF AMERICA-A	B	165.00	6.19	0.00	6.19	50.0	3.10
06/29/2018	00086507215	TRAPP, ANDREA MF AMERICAN BALANCED FUND-A	B	100.00	3.75	0.00	3.75	50.0	1.88
06/25/2018	00087364129	DORER, SONIA MF AMERICAN BALANCED FUND-A	B	250.00	6.88	0.00	6.88	50.0	3.44
06/25/2018	00087364129	DORER, SONIA MF NEW PERSPECTIVE FUND-A	B	249.80	6.87	0.00	6.87	50.0	3.44
06/25/2018	00087369989	DORER, RICHARD MF SMALLCAP WORLD FUND-A	B	500.00	13.75	0.00	13.75	50.0	6.88
06/27/2018	04000163744	GARZA, ALEXANDER MF AMERICAN BALANCED FUND-A	B	100.00	5.00	0.00	5.00	50.0	2.50
06/27/2018	04000163744	GARZA, ALEXANDER MF FUNDAMENTAL INVESTORS-A	B	50.00	2.50	0.00	2.50	50.0	1.25
06/27/2018	04000163744	GARZA, ALEXANDER MF NEW PERSPECTIVE FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
* MUTUAL FUNDS SUBTOTAL *				\$7,084.08	\$173.98	\$0.00	\$173.98		\$87.03
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/30/2018	009062915A	BROWN, SARAH VA PERSPECTIVE	B	124,461.25	25.93	0.00	25.93	50.0	12.97
06/30/2018	1009661472	BAHAN, MARGARET VA PERSPECTIVE II	B	126,788.26	79.24	0.00	79.24	50.0	39.62
06/30/2018	1009737665	BARICKMAN, VA PERSPECTIVE II	B	108,928.55	68.08	0.00	68.08	50.0	34.04
06/30/2018	1013950608	BURNETT, PATRICIA VA PERSP II 09/12	B	1,171,913.50	976.59	0.00	976.59	50.0	488.30
06/30/2018	1015646752	DORER, VALORIE VA PERSP II 04/14	B	67,796.51	56.50	0.00	56.50	50.0	28.25
06/30/2018	1015845666	HOGAN, REBECCA VA PERSP II 04/14	B	60,541.90	50.45	0.00	50.45	50.0	25.23
06/30/2018	1016104708	SCHROEDER, RICHARD VA PERSP II 04/14	B	249,718.35	208.10	0.00	208.10	50.0	104.05
* VARIABLE ANNUITIES SUBTOTAL *				\$1,910,148.32	\$1,464.89	\$0.00	\$1,464.89		\$732.46

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/30/2018	(None) VARIOUS, RANDY SMITH	MFT OPPENHEIMER	B	0.00	395.67	0.00	395.67	50.0	197.84
06/30/2018	(None) VARIOUS, RANDY SMITH	MFT SCHOLAR'S EDGE	B	0.00	9.25	0.00	9.25	50.0	4.63
07/01/2018	00005627047	SHEPHERD, GARY	B	0.00	9.68	0.00	9.68	50.0	4.84
07/01/2018	00006047615	JOHNSON, DIANNE	B	0.00	7.91	0.00	7.91	50.0	3.96
07/01/2018	00006047615	JOHNSON, DIANNE	B	0.00	29.38	0.00	29.38	50.0	14.69
07/03/2018	00099707981	GILLHAM TOD,	B	0.00	10.98	0.00	10.98	50.0	5.49
07/03/2018	00099707981	GILLHAM TOD,	B	0.00	11.44	0.00	11.44	50.0	5.72
07/03/2018	00099707981	GILLHAM TOD,	B	0.00	17.11	0.00	17.11	50.0	8.56
07/03/2018	00099804447	GRAVES TOD,	B	0.00	0.44	0.00	0.44	50.0	0.22
07/01/2018	00670514319	MARTINEZ, MANUEL	B	0.00	10.84	0.00	10.84	50.0	5.42
07/01/2018	00671304303	SANCHEZ, ADRIANA	B	0.00	72.81	0.00	72.81	50.0	36.41
07/01/2018	00672055601	DOROTHY A LAWLER,	B	0.00	2.53	0.00	2.53	50.0	1.27
07/03/2018	00961633589	MARTINEZ, MANUEL	B	0.00	33.55	0.00	33.55	50.0	16.78
07/03/2018	00963434381	HANSON, SARAH	B	0.00	0.95	0.00	0.95	50.0	0.48
07/01/2018	03909106654	COLLAS, PAUL	B	0.00	14.48	0.00	14.48	50.0	7.24
07/01/2018	03909106654	COLLAS, PAUL	B	0.00	20.77	0.00	20.77	50.0	10.39
07/01/2018	04025619125	TAYLOR UGMA/TX,	B	0.00	2.83	0.00	2.83	50.0	1.42
07/01/2018	04025619125	TAYLOR UGMA/TX,	B	0.00	3.91	0.00	3.91	50.0	1.96
07/03/2018	04030010295	MARTINEZ, MANUEL	B	0.00	17.20	0.00	17.20	50.0	8.60
07/01/2018	05002779055	SHEPHERD, KATIE	B	0.00	1.51	0.00	1.51	50.0	0.76
07/01/2018	05024959701	COLLAS, PAUL	B	0.00	8.72	0.00	8.72	50.0	4.36
07/03/2018	06500021438	GILLHAM (BENE),	B	0.00	13.78	0.00	13.78	50.0	6.89
07/03/2018	06500021438	GILLHAM (BENE),	B	0.00	15.45	0.00	15.45	50.0	7.73
07/03/2018	06500027143	CRAWFORD, CARLA	B	0.00	0.33	0.00	0.33	50.0	0.17
07/03/2018	06500159364	GILLHAM TOD,	B	0.00	18.55	0.00	18.55	50.0	9.28
07/03/2018	06500159365	GILLHAM (BENE),	B	0.00	31.12	0.00	31.12	50.0	15.56
07/01/2018	06910071921	JOHNSON, DIANNE	B	0.00	0.62	0.00	0.62	50.0	0.31
07/01/2018	06910071921	JOHNSON, DIANNE	B	0.00	3.48	0.00	3.48	50.0	1.74
07/01/2018	08000434478	JOHNSON, DIANNE	B	0.00	5.03	0.00	5.03	50.0	2.52
07/01/2018	08000434478	JOHNSON, DIANNE	B	0.00	19.46	0.00	19.46	50.0	9.73
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$789.78	\$0.00	\$789.78		\$394.97
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
06/30/2018	(None) VARIOUS, RANDALL SMITH	VAT HARTFORD LIFE	B	0.00	287.06	0.00	287.06	50.0	143.53
06/30/2018	(None) VARIOUS, RANDY SMITH	VAT LINCOLN NATL LIFE	B	0.00	414.64	0.00	414.64	50.0	207.32
06/30/2018	(None) VARIOUS, RANDALL SMITH	VAT MANULIFE	B	0.00	2.75	0.00	2.75	50.0	1.38
06/30/2018	(None) MATHIS, MAGARET	VAT NATIONWIDE	B	0.00	88.40	0.00	88.40	50.0	44.20
06/30/2018	(None) WHITE, MARGARET	VAT PRUDENTIAL LIFE	B	0.00	38.25	0.00	38.25	50.0	19.13
07/02/2018	(None) MEYER	VAT TRANSAMERICA PREMIER	B	0.00	777.57	0.00	777.57	50.0	388.79
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,608.67	\$0.00	\$1,608.67		\$804.35
*** DIRECT TRANSACTIONS TOTAL ***				\$1,917,232.40	\$4,037.32	\$0.00	\$4,037.32		\$2,018.81

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
SPLIT TRANSACTIONS										
BROKER: RIX/RANDY SMITH/SMITH-D081 #80										
07/06/2018	00073969963	SMITH, RONALD	MF	NEW PERSPECTIVE FUND-A	B	4,823.81	48.24	0.00	48.24	24.12
				* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *		\$4,823.81	\$48.24	\$0.00	\$48.24	\$24.12
				*** SPLIT TRANSACTIONS TOTAL ***		\$4,823.81	\$48.24	\$0.00	\$48.24	\$24.12

LINKED DIRE TRANSACTIONS

BROKER: RANDY SMITH-D601 #66										
07/02/2018	08190128650	JOHN J FINN JR	MF	MFS MID CAP GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
07/01/2018	00060644298	NOLTING, EVELYN	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	4.82	0.00	4.82	0.96
07/01/2018	00060644300	WARNIE, BARBARA	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	14.85	0.00	14.85	2.97
07/01/2018	00060644347	FINN, JOHN	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	34.38	0.00	34.38	6.88
07/01/2018	00060644355	FINN, CONNOR	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	0.74	0.00	0.74	0.15
07/01/2018	00060644365	JANICE K BARDEN	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	22.52	0.00	22.52	4.50
07/01/2018	00060644366	CALIVA, CAROLINE	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	3.43	0.00	3.43	0.69
07/01/2018	00060655204	CORBETT LIVING	MFT	MFS UTILITIES FUND-A	B	0.00	0.19	0.00	0.19	0.04
07/01/2018	00060655688	NOLTING, EVELYN	MFT	MFS UTILITIES FUND-A	B	0.00	3.20	0.00	3.20	0.64
07/01/2018	00060655689	WARNIE, BARBARA	MFT	MFS UTILITIES FUND-A	B	0.00	6.41	0.00	6.41	1.28
07/01/2018	00060656331	SASSEN, JOHN	MFT	MFS INTERNATIONAL NEW DISCOVERY	B	0.00	9.34	0.00	9.34	1.87
07/01/2018	00060656387	DELSA, SUZANNE	MFT	MFS INTERNATIONAL NEW DISCOVERY	B	0.00	0.95	0.00	0.95	0.19
07/01/2018	00060656420	COCKERHAM, CAROL	MFT	MFS INTERNATIONAL NEW DISCOVERY	B	0.00	2.10	0.00	2.10	0.42
07/01/2018	00060656532	COCKERHAM, CAROL	MFT	MFS INTERNATIONAL NEW DISCOVERY	B	0.00	29.59	0.00	29.59	5.92
07/01/2018	00060657469	WARNIE, BARBARA	MFT	MFS MID CAP GROWTH FUND-A	B	0.00	14.00	0.00	14.00	2.80
07/01/2018	00060657481	JANICE K BARDEN	MFT	MFS MID CAP GROWTH FUND-A	B	0.00	21.54	0.00	21.54	4.31
07/01/2018	00060657855	KNIGHT, WILLIAM	MFT	MFS INTERNATIONAL GROWTH FUND-A	B	0.00	10.03	0.00	10.03	2.01
07/01/2018	00060661917	WARNIE, BARBARA	MFT	MFS TECHNOLOGY FUND-A	B	0.00	17.51	0.00	17.51	3.50
07/01/2018	00060662730	DELSA, SUZANNE	MFT	MFS MID CAP VALUE FUND-A	B	0.00	1.79	0.00	1.79	0.36
07/01/2018	00060662737	NOLTING, EVELYN	MFT	MFS MID CAP VALUE FUND-A	B	0.00	4.01	0.00	4.01	0.80
07/01/2018	00060662746	JANICE K BARDEN	MFT	MFS MID CAP VALUE FUND-A	B	0.00	21.31	0.00	21.31	4.26
07/01/2018	00060662747	CALIVA, CAROLINE	MFT	MFS MID CAP VALUE FUND-A	B	0.00	2.37	0.00	2.37	0.47
07/01/2018	00060668470	FINN, JOHN	MFT	MFS DIVERSIFIED INCOME FUND-A	B	0.00	19.97	0.00	19.97	3.99
07/01/2018	00090001299	GULF STATES	MFT	MFS INTERNATIONAL GROWTH FUND-C	B	0.00	123.40	0.00	123.40	24.68
07/01/2018	00090002000	GULF STATES	MFT	MFS TECHNOLOGY FUND-C	B	0.00	219.76	0.00	219.76	43.95
07/01/2018	00090002235	GULF STATES	MFT	MFS MID CAP VALUE FUND-C	B	0.00	153.61	0.00	153.61	30.72
07/01/2018	00090002652	GULF STATES	MFT	MFS EMERGING MARKETS EQUITY	B	0.00	63.19	0.00	63.19	12.64
07/01/2018	00090011485	GULF STATES	MFT	MFS INTERNATIONAL NEW DISCOVERY	B	0.00	129.04	0.00	129.04	25.81
07/01/2018	08187623236	ST JULIEN, ERIC	MFT	MFS MID CAP GROWTH FUND-A	B	0.00	8.05	0.00	8.05	1.61
07/01/2018	08187623236	ST JULIEN, ERIC	MFT	MFS MID CAP VALUE FUND-A	B	0.00	25.12	0.00	25.12	5.02
07/01/2018	08189050828	SASSEN, JOHN	MFT	MFS INTERNATIONAL NEW DISCOVERY	B	0.00	11.91	0.00	11.91	2.38
07/01/2018	08189189584	CORBETT LIVING	MFT	MFS UTILITIES FUND-C	B	0.00	0.23	0.00	0.23	0.05

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/01/2018 08189251981	WARNIE, BARBARA	MFT MFS TECHNOLOGY FUND-C	B	0.00	20.93	0.00	20.93		4.19
07/01/2018 08189384163	DELSA, SUZANNE	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	1.21	0.00	1.21		0.24
07/01/2018 08189400866	KNIGHT, WILLIAM	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	0.76	0.00	0.76		0.15
07/01/2018 08189401119	JAMII BARAKA ST	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	4.87	0.00	4.87		0.97
07/01/2018 08189401119	JAMII BARAKA ST	MFT MFS MID CAP VALUE FUND-A	B	0.00	3.17	0.00	3.17		0.63
07/01/2018 08189401123	ST JULIEN,	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	8.48	0.00	8.48		1.70
07/01/2018 08189401123	ST JULIEN,	MFT MFS MID CAP VALUE FUND-A	B	0.00	13.87	0.00	13.87		2.77
07/01/2018 08189402020	TAZAMA UPENDO ST.	MFT MFS INTERNATIONAL VALUE FUND-A	B	0.00	3.05	0.00	3.05		0.61
07/01/2018 08189516581	COCKERHAM, CAROL	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	2.68	0.00	2.68		0.54
07/01/2018 08190039961	COCKERHAM, CAROL	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	37.75	0.00	37.75		7.55
07/01/2018 08190095532	SASSEN, JOHN	MFT MFS CORE EQUITY FUND-C	B	0.00	38.71	0.00	38.71		7.74
07/01/2018 08190123620	CARLOS BOGRAN III	MFT MFS UTILITIES FUND-C	B	0.00	14.47	0.00	14.47		2.89
07/01/2018 08190128650	JOHN J FINN JR	MFT MFS MID CAP GROWTH FUND-C	B	0.00	19.90	0.00	19.90		3.98
07/01/2018 08190129109	FBO JULIETTE G	MFT MFS DIVERSIFIED INCOME FUND-C	B	0.00	5.67	0.00	5.67		1.13
07/01/2018 08190129109	FBO JULIETTE G	MFT MFS GROWTH FUND-C	B	0.00	20.70	0.00	20.70		4.14
07/01/2018 08190129110	PEYTON O LEBLANC,	MFT MFS GROWTH FUND-C	B	0.00	24.48	0.00	24.48		4.90
07/01/2018 08190129112	SARA E CANCIENNE,	MFT MFS GROWTH FUND-C	B	0.00	17.33	0.00	17.33		3.47
07/01/2018 08190129112	SARA E CANCIENNE,	MFT MFS MID CAP VALUE FUND-C	B	0.00	5.60	0.00	5.60		1.12
07/01/2018 08190129113	LILLY M SUTTON	MFT MFS GROWTH FUND-C	B	0.00	16.29	0.00	16.29		3.26
07/01/2018 08190129113	LILLY M SUTTON	MFT MFS MID CAP VALUE FUND-C	B	0.00	5.60	0.00	5.60		1.12
07/01/2018 08190130171	PROPERTY,	MFT MFS GROWTH FUND-C	B	0.00	43.91	0.00	43.91		8.78
07/01/2018 08190130171	PROPERTY,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	41.35	0.00	41.35		8.27
07/01/2018 08191017415	COCKERHAM, CAROL	MFT MFS MID CAP GROWTH FUND-A	B	0.00	61.08	0.00	61.08		12.22
07/01/2018 08191029111	PRESLIE G LEBLANC	MFT MFS GROWTH FUND-C	B	0.00	20.94	0.00	20.94		4.19
07/01/2018 08191043389	CARLOS BOGRAN III	MFT MFS MID CAP VALUE FUND-A	B	0.00	6.79	0.00	6.79		1.36
07/01/2018 08191043390	CARLOS BOGRAN III	MFT MFS TECHNOLOGY FUND-A	B	0.00	9.71	0.00	9.71		1.94
07/01/2018 08191059944	FINN, JOHN	MFT MFS DIVERSIFIED INCOME FUND-C	B	0.00	25.77	0.00	25.77		5.15
07/01/2018 08191117462	DELSA, SUZANNE	MFT MFS MID CAP VALUE FUND-C	B	0.00	2.23	0.00	2.23		0.45
07/01/2018 08191122694	KNIGHT, WILLIAM	MFT MFS INTERNATIONAL GROWTH FUND-C	B	0.00	12.57	0.00	12.57		2.51
07/01/2018 08191122694	KNIGHT, WILLIAM	MFT MFS MID CAP VALUE FUND-C	B	0.00	50.74	0.00	50.74		10.15
07/01/2018 08191161796	RAINES,	MFT MFS MID CAP VALUE FUND-C	B	0.00	6.54	0.00	6.54		1.31
07/01/2018 08191187109	BEACH, MELISSA	MFT MFS GROWTH FUND-C	B	0.00	36.29	0.00	36.29		7.26
07/01/2018 08191187109	BEACH, MELISSA	MFT MFS MID CAP GROWTH FUND-C	B	0.00	35.12	0.00	35.12		7.02
07/01/2018 08191187109	BEACH, MELISSA	MFT MFS TECHNOLOGY FUND-C	B	0.00	42.12	0.00	42.12		8.42
07/01/2018 08191191313	TICHENOR,	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	10.40	0.00	10.40		2.08
07/01/2018 08191191313	TICHENOR,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	12.62	0.00	12.62		2.52
07/01/2018 08191191313	TICHENOR,	MFT MFS MID CAP VALUE FUND-C	B	0.00	12.20	0.00	12.20		2.44
07/01/2018 08191197834	NOLTING, EVELYN	MFT MFS GROWTH FUND-C	B	0.00	5.86	0.00	5.86		1.17
07/01/2018 08191197834	NOLTING, EVELYN	MFT MFS MID CAP VALUE FUND-C	B	0.00	5.02	0.00	5.02		1.00
07/01/2018 08191197834	NOLTING, EVELYN	MFT MFS UTILITIES FUND-C	B	0.00	4.01	0.00	4.01		0.80
07/01/2018 08191197864	WARNIE, BARBARA	MFT MFS GROWTH FUND-C	B	0.00	18.05	0.00	18.05		3.61
07/01/2018 08191197864	WARNIE, BARBARA	MFT MFS MID CAP GROWTH FUND-C	B	0.00	17.07	0.00	17.07		3.41
07/01/2018 08191197864	WARNIE, BARBARA	MFT MFS UTILITIES FUND-C	B	0.00	8.04	0.00	8.04		1.61
07/01/2018 08191216107	GEE, WANDA	MFT MFS GROWTH FUND-C	B	0.00	74.77	0.00	74.77		14.95

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT	GROSS	CLEARING	NET	RATE	BROKER
				AMOUNT	COMMISSION	CHARGE	COMMISSION		COMMISSION
07/01/2018 08191216107	GEE, WANDA	MFT MFS MID CAP VALUE FUND-C	B	0.00	61.68	0.00	61.68		12.34
07/01/2018 08191220624	COCKERHAM, CAROL	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	62.94	0.00	62.94		12.59
07/01/2018 08191220625	COCKERHAM, ASHLEY	MFT MFS MID CAP GROWTH FUND-A	B	0.00	8.73	0.00	8.73		1.75
07/01/2018 08191220625	COCKERHAM, ASHLEY	MFT MFS MID CAP VALUE FUND-C	B	0.00	29.90	0.00	29.90		5.98
07/01/2018 08191235410	PEREZ, CHRISTINA	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	35.12	0.00	35.12		7.02
07/01/2018 08191235410	PEREZ, CHRISTINA	MFT MFS MID CAP GROWTH FUND-C	B	0.00	24.83	0.00	24.83		4.97
07/01/2018 08191235410	PEREZ, CHRISTINA	MFT MFS MID CAP VALUE FUND-C	B	0.00	43.19	0.00	43.19		8.64
07/01/2018 08191250375	BARAKA ST JULIEN,	MFT MFS GROWTH FUND-C	B	0.00	15.33	0.00	15.33		3.07
07/01/2018 08191250375	BARAKA ST JULIEN,	MFT MFS MID CAP VALUE FUND-C	B	0.00	12.23	0.00	12.23		2.45
07/01/2018 08191250377	UPENDO ST JULIEN,	MFT MFS GROWTH FUND-C	B	0.00	5.59	0.00	5.59		1.12
07/01/2018 08191259308	TAZAMA UPENDO ST.	MFT MFS MID CAP VALUE FUND-A	B	0.00	5.18	0.00	5.18		1.04
07/01/2018 08191265828	LE BLANC, ERIN	MFT MFS MID CAP VALUE FUND-C	B	0.00	63.34	0.00	63.34		12.67
07/01/2018 08191270658	BEAU OUTLAW, JOHN	MFT MFS GROWTH FUND-C	B	0.00	13.24	0.00	13.24		2.65
07/01/2018 08191310353	MCELEARNEY,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	44.33	0.00	44.33		8.87
07/01/2018 08191310353	MCELEARNEY,	MFT MFS MID CAP VALUE FUND-C	B	0.00	38.68	0.00	38.68		7.74
07/01/2018 08191375494	FINNN, JOHN	MFT MFS GROWTH FUND-C	B	0.00	42.34	0.00	42.34		8.47
07/01/2018 08191395184	FINNN, CONNOR	MFT MFS GROWTH FUND-C	B	0.00	1.25	0.00	1.25		0.25
07/01/2018 08191398185	CORBETT, JOHN	MFT MFS DIVERSIFIED INCOME FUND-C	B	0.00	43.93	0.00	43.93		8.79
07/01/2018 08191402994	JANICE K BARDEN	MFT MFS GROWTH FUND-C	B	0.00	27.38	0.00	27.38		5.48
07/01/2018 08191402994	JANICE K BARDEN	MFT MFS MID CAP GROWTH FUND-C	B	0.00	26.27	0.00	26.27		5.25
07/01/2018 08191402994	JANICE K BARDEN	MFT MFS MID CAP VALUE FUND-C	B	0.00	26.68	0.00	26.68		5.34
07/01/2018 08191403238	CALIVA, CAROLINE	MFT MFS GROWTH FUND-C	B	0.00	15.86	0.00	15.86		3.17
07/01/2018 08191403238	CALIVA, CAROLINE	MFT MFS MID CAP VALUE FUND-C	B	0.00	5.42	0.00	5.42		1.08
* RANDY SMITH-D601 SUBTOTAL *				\$50.00	\$2,462.02	\$0.00	\$2,462.02		\$492.42
*** LINKED DIRE TRANSACTIONS TOTAL ***				\$50.00	\$2,462.02	\$0.00	\$2,462.02		\$492.42

BROKER COMMISSION TOTALS

Broker Transactions	\$2,511.23
Split Transactions	\$24.12
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,535.35
Year-to-date Commissions	\$50,259.17