

All Companies
STATEMENT
June 20, 2018

DEAN WELLS
689 W Morgan St
SUITE 620
Spencer, IN 47460

BROKER #: 156
BRANCH:

COMMISSION STATEMENT for DEAN WELLS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/04/0018	#62664558602	BLANDFORD, COLLEGE PORTFOLIO CLASS C	200.00	2.00	90.0	0.00	1.80	0.00	1.80
05/04/0018	#62281123602	BLANDFORD, LARGE CAP INDEX PORTFOLIO CLASS A	25.00	1.19	90.0	0.00	1.07	0.00	1.07
03/09/2018	#7158291	ENGLISH, DAVID VALIC UITG	200.00	1.35	90.0	0.00	1.22	0.00	1.22
03/09/2018	#7158253	HARTMAN, ARNOLD VALIC UITG	300.00	2.03	90.0	0.00	1.83	0.00	1.83
03/09/2018	#7158237	WOODRUFF, CATHERINE VALIC UITG	200.00	1.35	90.0	0.00	1.22	0.00	1.22
04/16/2018	#00214767874	PUTNAM EQUITY INCOME FUND NEW-CL A	25.00	1.25	90.0	0.00	1.13	0.00	1.13
04/16/2018	#00214738435	PUTNAM GROWTH OPPORTUNITIES FUND-CL	25.00	1.25	90.0	0.00	1.13	0.00	1.13
05/10/2018	#04000958059	BLANDFORD, CAPITAL WORLD GROWTH & INCOME FUND	50.00	2.50	90.0	0.00	2.25	0.00	2.25
05/16/2018	# YAPP, BERNADETTE	ARTISAN FUNDS INC MID CAP FUND	300.00	0.00	90.0	0.00	0.00	20.00	-20.00
05/16/2018	#00214767874	PUTNAM EQUITY INCOME FUND NEW-CL A	25.00	1.25	90.0	0.00	1.13	0.00	1.13
05/16/2018	#00214738435	PUTNAM GROWTH OPPORTUNITIES FUND-CL	25.00	1.25	90.0	0.00	1.13	0.00	1.13
* MUTUAL FUNDS SUBTOTAL *			\$1,375.00	\$15.42		\$0.00	\$13.91	\$20.00	\$-6.09
<u>PRODUCT CATEGORY: STOCKS</u>									
05/11/2018	#	MONSANTO CO NEW	4,250.09	50.00	75.0	0.00	37.50	19.95	17.55
05/11/2018	#	PFIZER INC	4,974.45	50.00	75.0	0.00	37.50	19.95	17.55
05/16/2018	#	SUNTRUST BANKS INC	90,457.47	450.00	75.0	0.00	337.50	19.95	317.55
* STOCKS SUBTOTAL *			\$99,682.01	\$550.00		\$0.00	\$412.50	\$59.85	\$352.65
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/05/0018	#00007718267	BRINSON, BRIGHTHOUSE MVLAC	11.79	0.18	90.0	0.00	0.16	0.00	0.16
05/05/0018	#00007718268	BRINSON, BRIGHTHOUSE MVLAC	25.87	0.39	90.0	0.00	0.35	0.00	0.35
05/05/0018	#00007718269	BRINSON, BRIGHTHOUSE MVLAC	23.40	0.35	90.0	0.00	0.32	0.00	0.32
* VARIABLE ANNUITIES SUBTOTAL *			\$61.06	\$0.92		\$0.00	\$0.83	\$0.00	\$0.83
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	#000090225210601	MCCLURE, FRANKLIN INCOME SERIES CL A	0.00	0.93	90.0	0.00	0.84	0.00	0.84
04/30/2018	#000000004663908	MCCLURE, MUTUAL SER FD SHARES FD CL A	0.00	1.95	90.0	0.00	1.76	0.00	1.76
04/30/2018	#000001978186045	MCCLURE, TEMPLETON GROWTH FUND INC CL A	0.00	1.30	90.0	0.00	1.17	0.00	1.17

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/01/2018 #	LEFFEL, KEVIN	DAIN TRAILER	0.00	21.80	90.0	0.00	19.62	0.00	19.62
05/02/2018 #08900056028	WELLS, MICHELLE	BLUE CHIP (A)	0.00	0.37	90.0	0.00	0.33	0.00	0.33
05/02/2018 #08900012357	MONIX, EDWARD	PRINCIPAL FDS INC GLOBAL DIVERSIFIE	0.00	0.31	90.0	0.00	0.28	0.00	0.28
05/02/2018 #08900012357	MONIX, EDWARD	PRINCIPAL FDS INC SML MIDCAP DIVIDE	0.00	0.39	90.0	0.00	0.35	0.00	0.35
05/02/2018 #08900039892	WELLS UTMA IN,	PRINCIPAL INVS FD INC	0.00	0.12	90.0	0.00	0.11	0.00	0.11
05/02/2018 #08900039893	WELLS UTMA IN,	PRINCIPAL INVS FD INC	0.00	0.12	90.0	0.00	0.11	0.00	0.11
05/02/2018 #08900039898	WELLS UTMA IN,	PRINCIPAL INVS FD INC	0.00	0.10	90.0	0.00	0.09	0.00	0.09
05/02/2018 #08900056028	WELLS, MICHELLE	PRINCIPAL INVS FD INC	0.00	0.18	90.0	0.00	0.16	0.00	0.16
05/02/2018 #08900012357	MONIX, EDWARD	PRINCIPAL INVS FD INC CAPITAL APPRE	0.00	0.40	90.0	0.00	0.36	0.00	0.36
05/02/2018 #08900012357	MONIX, EDWARD	PRINCIPAL INVS FD INC EQUITY INCOME	0.00	0.37	90.0	0.00	0.33	0.00	0.33
05/02/2018 #08900012357	MONIX, EDWARD	PRINCIPAL INVS FD INC MIDCAP BLEND	0.00	0.41	90.0	0.00	0.37	0.00	0.37
05/02/2018 #08900012357	MONIX, EDWARD	PRINCIPAL INVS FD INC REAL ESTATE S	0.00	0.30	90.0	0.00	0.27	0.00	0.27
05/02/2018 #00015232446	FYAN, THOMAS	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	2.75	90.0	0.00	2.48	0.00	2.48
05/02/2018 #08900023334	DUNCAN, LACEY	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	0.84	90.0	0.00	0.76	0.00	0.76
05/02/2018 #08900044381	BLANDFORD,	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	1.47	90.0	0.00	1.32	0.00	1.32
05/02/2018 #08900044535	BLANDFORD,	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	1.19	90.0	0.00	1.07	0.00	1.07
05/02/2018 #08900056028	WELLS, MICHELLE	PRINCIPAL INVS FD INC STRTIC ASST M	0.00	0.67	90.0	0.00	0.60	0.00	0.60
05/02/2018 #08900014069	WILLIAMS,	S A M BALANCED PORTFOLIO (C)	0.00	13.09	90.0	0.00	11.78	0.00	11.78
05/02/2018 #08900032969	MCKINZIE, SARAH	S A M CONSERVATIVE GROWTH PORT (C)	0.00	2.71	90.0	0.00	2.44	0.00	2.44
05/02/2018 #08900032968	MCKINZIE,	S A M STRATEGIC GROWTH PORTFOLIO (C	0.00	9.01	90.0	0.00	8.11	0.00	8.11
05/09/2018 #	LEFFEL, KEVIN	DAIN TRAILER	0.00	13.76	90.0	0.00	12.38	0.00	12.38
05/09/2018 #	CRAIG, CHERYL	DAIN TRAILER	0.00	1.60	90.0	0.00	1.44	0.00	1.44
05/09/2018 #	YAPP, BERNADETTE	DAIN TRAILER	0.00	0.46	90.0	0.00	0.41	0.00	0.41
05/09/2018 #	MCCALLISTER, ROBIN	DAIN TRAILER	0.00	0.61	90.0	0.00	0.55	0.00	0.55
05/09/2018 #	MCCALLISTER, ROBIN	DAIN TRAILER	0.00	1.04	90.0	0.00	0.94	0.00	0.94
05/09/2018 #	MCCALLISTER, ROBIN	DAIN TRAILER	0.00	0.86	90.0	0.00	0.77	0.00	0.77
05/09/2018 #	WELLS, INA	DAIN TRAILER	0.00	2.00	90.0	0.00	1.80	0.00	1.80
05/09/2018 #	BUELL, ROBERTA	DAIN TRAILER	0.00	2.21	90.0	0.00	1.99	0.00	1.99
05/09/2018 #	BUELL, ROBERTA	DAIN TRAILER	0.00	3.00	90.0	0.00	2.70	0.00	2.70
05/09/2018 #	BUELL, ROBERTA	DAIN TRAILER	0.00	2.19	90.0	0.00	1.97	0.00	1.97
05/09/2018 #		DAIN TRAILER	0.00	0.74	90.0	0.00	0.67	0.00	0.67
05/11/2018 #		DAIN TRAILER	6,128.17	0.00	90.0	0.00	0.00	0.00	0.00
05/16/2018 #		DAIN TRAILER	13,606.27	0.00	90.0	0.00	0.00	0.00	0.00
05/17/2018 #	CRAIG, CHERYL	DAIN TRAILER	0.00	0.43	90.0	0.00	0.39	0.00	0.39
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$19,734.44	\$89.68		\$0.00	\$80.72	\$0.00	\$80.72

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*** BROKER TRANSACTIONS TOTAL ***			\$120,852.51	\$656.02		\$0.00	\$507.96	\$79.85	\$428.11

ADJUSTMENTS

06/20/2018		BETA BACK OFFICE: ADMIN FEE							-390.00
06/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
06/20/2018		CIRCLEBLACK: CIRCLEBLACK							-175.00
*** ADJUSTMENTS TOTAL ***									\$-744.00

BROKER COMMISSION TOTALS

Broker Transactions	\$428.11
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-744.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,936.53
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward \$-2,252.42