



# COMMISSION STATEMENT

## February 26, 2018

DAVID BRODER  
143 Longfellow Rd  
Shelton, CT 06484

BROKER #: 198  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/26/2018	***-**-925P - FENGLER, DANIEL	CHET 529	200.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 68939901201	BUY 0 Units @0.0000						
02/20/2018	***-**-84IR - FARQUHAR, TARA	FIDELITY ADV NEW INSIGHTS-CL A	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: LSR012312	BUY 1 Units @34.1300						
02/20/2018	***-**-84IR - FARQUHAR, TARA	PUTNAM EQUITY INCOME FUND-A	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: LSR012312	BUY 2 Units @26.2900						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$300.00</b>	<b>\$10.00</b>	<b>\$0.00</b>	<b>\$10.00</b>		<b>\$7.00</b>

### **PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

02/26/2018	***-**-752I - BRODER, RUTH	FIDELITY ADV BALANCED CL A	0.00	1.84	0.00	1.84	70.0	1.29
	ACCOUNT #: LSR010670	BUY 1 Units @0.0000						
02/26/2018	***-**-287O - AMANTE, JAMES	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.80	0.00	0.80	70.0	0.56
	ACCOUNT #: LSR010473	BUY 1 Units @0.0000						
02/26/2018	***-**-26IN - SANTOS, FATIMA	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.52	0.00	0.52	70.0	0.36
	ACCOUNT #: LSR011234	BUY 1 Units @0.0000						
02/26/2018	***-**-340J - PERRONE,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	12.15	0.00	12.15	70.0	8.51
	ACCOUNT #: LSR011761	BUY 1 Units @0.0000						
02/26/2018	***-**-287K - AMANTE, JAMES	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: LSR011868	BUY 1 Units @0.0000						
02/26/2018	***-**-84IR - FARQUHAR, TARA	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.37	0.00	0.37	70.0	0.26
	ACCOUNT #: LSR012312	BUY 1 Units @0.0000						
02/26/2018	***-**-732J - FARQUHAR,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	1.32	0.00	1.32	70.0	0.92
	ACCOUNT #: LSR012313	BUY 1 Units @0.0000						
02/26/2018	***-**-43IN - RISKALLA,	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	4.84	0.00	4.84	70.0	3.39
	ACCOUNT #: LSR010472	BUY 1 Units @0.0000						
02/26/2018	***-**-521I - SCHRYNEMAKERS,	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	7.75	0.00	7.75	70.0	5.43
	ACCOUNT #: LSR010663	BUY 1 Units @0.0000						
02/26/2018	***-**-809I - RICH, JOSEPH	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	4.11	0.00	4.11	70.0	2.88
	ACCOUNT #: LSR010668	BUY 1 Units @0.0000						
02/26/2018	***-**-069I - RICH, PATRICIA	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	3.81	0.00	3.81	70.0	2.67
	ACCOUNT #: LSR010684	BUY 1 Units @0.0000						
02/26/2018	***-**-76TR - STEELE	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	11.59	0.00	11.59	70.0	8.11
	ACCOUNT #: LSR011320	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/26/2018	***-**-76TR - STEELE ACCOUNT #: LSR011320	FIDELITY ADV CONSUMER STAPLES-CL T BUY 1 Units @0.0000	0.00	9.82	0.00	9.82	70.0	6.87
02/26/2018	***-**-809I - RICH, JOSEPH ACCOUNT #: LSR010668	FIDELITY ADV HEALTH CARE CL T BUY 1 Units @0.0000	0.00	12.54	0.00	12.54	70.0	8.78
02/26/2018	***-**-069I - RICH, PATRICIA ACCOUNT #: LSR010684	FIDELITY ADV HEALTH CARE CL T BUY 1 Units @0.0000	0.00	6.06	0.00	6.06	70.0	4.24
02/26/2018	***-**-226O - MAYERSON, MS ACCOUNT #: LSR010890	FIDELITY ADV HEALTH CARE CL T BUY 1 Units @0.0000	0.00	3.03	0.00	3.03	70.0	2.12
02/26/2018	***-**-84IR - FARQUHAR, TARA ACCOUNT #: LSR012312	FIDELITY ADV NEW INSIGHTS-CL A BUY 1 Units @0.0000	0.00	0.73	0.00	0.73	70.0	0.51
02/26/2018	***-**-732J - FARQUHAR, ACCOUNT #: LSR012313	FIDELITY ADV NEW INSIGHTS-CL A BUY 1 Units @0.0000	0.00	2.56	0.00	2.56	70.0	1.79
02/26/2018	***-**-43IN - RISKALLA, ACCOUNT #: LSR010472	FIDELITY ADV STRATEGIC DIV & INCOME BUY 1 Units @0.0000	0.00	22.88	0.00	22.88	70.0	16.02
02/26/2018	***-**-521I - SCHRYNEMAKERS, ACCOUNT #: LSR010663	FIDELITY ADVISOR EQUITY INCOME CL T BUY 1 Units @0.0000	0.00	15.75	0.00	15.75	70.0	11.03
02/26/2018	***-**-43IN - RISKALLA, ACCOUNT #: LSR010472	FIDELITY ADVISOR REAL ESTATE INCOME BUY 1 Units @0.0000	0.00	6.92	0.00	6.92	70.0	4.84
02/26/2018	***-**-657I - BERRIEN, ALLEN ACCOUNT #: LSR010323	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.04	0.00	0.04	70.0	0.03
02/26/2018	***-**-226O - MAYERSON, MS ACCOUNT #: LSR010890	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.48	0.00	0.48	70.0	0.34
02/26/2018	***-**-889I - BAILLARGEON, ACCOUNT #: LSR012333	JANUS HENDERSON GLBL EQUITY INC CL BUY 1 Units @0.0000	0.00	4.58	0.00	4.58	70.0	3.21
02/20/2018	***-**-674I - RAMSEY, MARION ACCOUNT #: LSR012275	JOHN HANCOCK TECHNICAL OPPS CL A BUY 1 Units @0.0000	0.00	0.91	0.00	0.91	70.0	0.64
02/26/2018	***-**-226O - MAYERSON, MS ACCOUNT #: LSR010890	PACIFIC FUNDS FLOAT RATE INCOME CL BUY 1 Units @0.0000	0.00	2.84	0.00	2.84	70.0	1.99
02/26/2018	***-**-736I - UNGER, HELEN ACCOUNT #: LSR011196	PACIFIC FUNDS FLOAT RATE INCOME CL BUY 1 Units @0.0000	0.00	0.98	0.00	0.98	70.0	0.69
02/26/2018	***-**-673I - CZUBIK, ACCOUNT #: LSR010662	PACIFIC FUNDS LMT DURATION HIGH INC BUY 1 Units @0.0000	0.00	2.97	0.00	2.97	70.0	2.08



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/26/2018	***-**-521I - SCHRYNEMAKERS, ACCOUNT #: LSR010663	PACIFIC FUNDS LMT DURATION HIGH INC BUY 1 Units @0.0000	0.00	4.37	0.00	4.37	70.0	3.06
02/26/2018	***-**-809I - RICH, JOSEPH ACCOUNT #: LSR010668	PACIFIC FUNDS LMT DURATION HIGH INC BUY 1 Units @0.0000	0.00	2.57	0.00	2.57	70.0	1.80
02/26/2018	***-**-069I - RICH, PATRICIA ACCOUNT #: LSR010684	PACIFIC FUNDS LMT DURATION HIGH INC BUY 1 Units @0.0000	0.00	2.09	0.00	2.09	70.0	1.46
02/26/2018	***-**-918I - SANCHEZ, THERESA ACCOUNT #: LSR010742	PACIFIC FUNDS LMT DURATION HIGH INC BUY 1 Units @0.0000	0.00	2.81	0.00	2.81	70.0	1.97
02/26/2018	***-**-18IN - SANCHEZ, THERESA ACCOUNT #: LSR010749	PACIFIC FUNDS LMT DURATION HIGH INC BUY 1 Units @0.0000	0.00	3.30	0.00	3.30	70.0	2.31
02/26/2018	***-**-736I - UNGER, HELEN ACCOUNT #: LSR011196	PACIFIC FUNDS STRATEGIC INC FD A BUY 1 Units @0.0000	0.00	2.96	0.00	2.96	70.0	2.07
02/26/2018	***-**-43IN - RISKALLA, ACCOUNT #: LSR010472	PIMCO COMMODITIES PLUS STRATEGY CL BUY 1 Units @0.0000	0.00	2.84	0.00	2.84	70.0	1.99
02/26/2018	***-**-57IO - SOCHA, CYNTHIA ACCOUNT #: LSR010500	PIMCO COMMODITIES PLUS STRATEGY CL BUY 1 Units @0.0000	0.00	1.95	0.00	1.95	70.0	1.37
02/26/2018	***-**-38UT - SANTOS UTMA CT, ACCOUNT #: LSR011266	PL PORT OPTIMIZATION MOD AGGRESSIVE BUY 1 Units @0.0000	0.00	1.04	0.00	1.04	70.0	0.73
02/26/2018	***-**-24UT - SANTOS UTMA CT, ACCOUNT #: LSR011267	PL PORT OPTIMIZATION MOD AGGRESSIVE BUY 1 Units @0.0000	0.00	1.04	0.00	1.04	70.0	0.73
02/26/2018	***-**-68UT - SANTOS UTMA CT, ACCOUNT #: LSR011270	PL PORT OPTIMIZATION MOD AGGRESSIVE BUY 1 Units @0.0000	0.00	0.52	0.00	0.52	70.0	0.36
02/26/2018	***-**-71UT - SANTOS UTMA CT, ACCOUNT #: LSR011271	PL PORT OPTIMIZATION MOD AGGRESSIVE BUY 1 Units @0.0000	0.00	0.52	0.00	0.52	70.0	0.36
02/26/2018	***-**-223I - GAY, LISA ACCOUNT #: LSR011789	PL PORTFOLIO OPTIMIZATION AGGRESSIV BUY 1 Units @0.0000	0.00	1.31	0.00	1.31	70.0	0.92
02/26/2018	***-**-340I - PERRONE, JOSEPH ACCOUNT #: LSR011803	PL PORTFOLIO OPTIMIZATION AGGRESSIV BUY 1 Units @0.0000	0.00	1.30	0.00	1.30	70.0	0.91
02/26/2018	***-**-732I - FARGUHAR, ACCOUNT #: LSR011806	PL PORTFOLIO OPTIMIZATION AGGRESSIV BUY 1 Units @0.0000	0.00	2.47	0.00	2.47	70.0	1.73
02/26/2018	***-**-839X - D'AMICO, AMY ACCOUNT #: LSR012357	PL PORTFOLIO OPTIMIZATION AGGRESSIV BUY 1 Units @0.0000	0.00	3.33	0.00	3.33	70.0	2.33



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/26/2018	***-**-57IO - SOCHA, CYNTHIA ACCOUNT #: LSR010500	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	6.35	0.00	6.35	70.0	4.45
02/26/2018	***-**-340J - PERRONE, ACCOUNT #: LSR011761	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	1.31	0.00	1.31	70.0	0.92
02/26/2018	***-**-223I - GAY, LISA ACCOUNT #: LSR011789	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	3.00	0.00	3.00	70.0	2.10
02/26/2018	***-**-059O - LIBBY, AMY ACCOUNT #: LSR012236	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	2.59	0.00	2.59	70.0	1.81
02/26/2018	***-**-036I - LIBBY, GREGORY ACCOUNT #: LSR012248	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	2.34	0.00	2.34	70.0	1.64
02/26/2018	***-**-059I - LIBBY, AMY ACCOUNT #: LSR012269	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	2.65	0.00	2.65	70.0	1.86
02/26/2018	***-**-036I - LIBBY, GREGORY ACCOUNT #: LSR012248	PL PORTFOLIO OPTIMIZATION MODERATE BUY 1 Units @0.0000	0.00	1.04	0.00	1.04	70.0	0.73
02/26/2018	***-**-721I - GUARNA, CATERINA ACCOUNT #: LSR012322	PUTNAM DIVERSIFIED INCOME FUND CLAS BUY 1 Units @0.0000	0.00	0.93	0.00	0.93	70.0	0.65
02/26/2018	***-**-889I - BAILLARGEON, ACCOUNT #: LSR012333	PUTNAM DIVERSIFIED INCOME FUND CLAS BUY 1 Units @0.0000	0.00	4.56	0.00	4.56	70.0	3.19
02/26/2018	***-**-287O - AMANTE, JAMES ACCOUNT #: LSR010473	PUTNAM EQUITY INCOME FUND-A BUY 1 Units @0.0000	0.00	3.19	0.00	3.19	70.0	2.23
02/26/2018	***-**-340J - PERRONE, ACCOUNT #: LSR011761	PUTNAM EQUITY INCOME FUND-A BUY 1 Units @0.0000	0.00	2.42	0.00	2.42	70.0	1.69
02/26/2018	***-**-54UT - BRODER, JOSHUA ACCOUNT #: LSR011808	PUTNAM EQUITY INCOME FUND-A BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	70.0	0.02
02/26/2018	***-**-99UT - BRODER, ZACHARY ACCOUNT #: LSR011809	PUTNAM EQUITY INCOME FUND-A BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	70.0	0.01
02/26/2018	***-**-287K - AMANTE, JAMES ACCOUNT #: LSR011868	PUTNAM EQUITY INCOME FUND-A BUY 1 Units @0.0000	0.00	2.67	0.00	2.67	70.0	1.87
02/26/2018	***-**-31IR - BRUNO, CAROL ACCOUNT #: LSR012058	PUTNAM EQUITY INCOME FUND-A BUY 1 Units @0.0000	0.00	1.23	0.00	1.23	70.0	0.86
02/26/2018	***-**-674O - RAMSEY, MARION ACCOUNT #: LSR012274	PUTNAM EQUITY INCOME FUND-A BUY 1 Units @0.0000	0.00	2.16	0.00	2.16	70.0	1.51



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/26/2018	***-**-674I - RAMSEY, MARION	PUTNAM EQUITY INCOME FUND-A	0.00	1.82	0.00	1.82	70.0	1.27
	ACCOUNT #: LSR012275	BUY 1 Units @0.0000						
02/26/2018	***-**-84IR - FARQUHAR, TARA	PUTNAM EQUITY INCOME FUND-A	0.00	0.71	0.00	0.71	70.0	0.50
	ACCOUNT #: LSR012312	BUY 1 Units @0.0000						
02/26/2018	***-**-732J - FARQUHAR,	PUTNAM EQUITY INCOME FUND-A	0.00	4.33	0.00	4.33	70.0	3.03
	ACCOUNT #: LSR012313	BUY 1 Units @0.0000						
02/26/2018	***-**-04UT - BRODER, HARRISON	PUTNAM EQUITY INCOME FUND-B	0.00	0.45	0.00	0.45	70.0	0.32
	ACCOUNT #: LSR011807	BUY 1 Units @0.0000						
02/26/2018	***-**-54UT - BRODER, JOSHUA	PUTNAM EQUITY INCOME FUND-B	0.00	0.52	0.00	0.52	70.0	0.36
	ACCOUNT #: LSR011808	BUY 1 Units @0.0000						
02/26/2018	***-**-99UT - BRODER, ZACHARY	PUTNAM EQUITY INCOME FUND-B	0.00	0.52	0.00	0.52	70.0	0.36
	ACCOUNT #: LSR011809	BUY 1 Units @0.0000						
02/26/2018	***-**-04UT - BRODER, HARRISON	PUTNAM EQUITY INCOME FUND-C	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR011807	BUY 1 Units @0.0000						
02/26/2018	***-**-43IN - RISKALLA,	PUTNAM HIGH YIELD ADVANTAGE CLASS B	0.00	7.61	0.00	7.61	70.0	5.33
	ACCOUNT #: LSR010472	BUY 1 Units @0.0000						
02/26/2018	***-**-069I - RICH, PATRICIA	PUTNAM HIGH YIELD ADVANTAGE CLASS B	0.00	4.23	0.00	4.23	70.0	2.96
	ACCOUNT #: LSR010684	BUY 1 Units @0.0000						
02/26/2018	***-**-76TR - STEELE	PUTNAM HIGH YIELD ADVANTAGE CLASS B	0.00	6.62	0.00	6.62	70.0	4.63
	ACCOUNT #: LSR011320	BUY 1 Units @0.0000						
02/26/2018	***-**-673I - CZUBIK,	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	3.42	0.00	3.42	70.0	2.39
	ACCOUNT #: LSR010662	BUY 1 Units @0.0000						
02/26/2018	***-**-18IN - SANCHEZ, THERESA	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: LSR010749	BUY 1 Units @0.0000						
02/26/2018	***-**-26IN - SANTOS, FATIMA	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	0.25	0.00	0.25	70.0	0.18
	ACCOUNT #: LSR011234	BUY 1 Units @0.0000						
02/26/2018	***-**-674O - RAMSEY, MARION	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	2.07	0.00	2.07	70.0	1.45
	ACCOUNT #: LSR012274	BUY 1 Units @0.0000						
02/26/2018	***-**-674I - RAMSEY, MARION	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	3.04	0.00	3.04	70.0	2.13
	ACCOUNT #: LSR012275	BUY 1 Units @0.0000						
02/26/2018	***-**-84IR - FARQUHAR, TARA	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	0.34	0.00	0.34	70.0	0.24
	ACCOUNT #: LSR012312	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/26/2018	***-**-732J - FARQUHAR, ACCOUNT #: LSR012313	PUTNAM HIGH YIELD ADVANTAGE-A BUY 1 Units @0.0000	0.00	2.48	0.00	2.48	70.0	1.74
02/26/2018	***-**-2260 - MAYERSON, MS ACCOUNT #: LSR010890	PUTNAM TAX-FREE HIGH YIELD FUND CLA BUY 1 Units @0.0000	0.00	5.27	0.00	5.27	70.0	3.69
02/26/2018	***-**-76TR - STEELE ACCOUNT #: LSR011320	PUTNAM TAX-FREE HIGH YIELD-B BUY 1 Units @0.0000	0.00	5.65	0.00	5.65	70.0	3.96
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$267.44</b>	<b>\$0.00</b>	<b>\$267.44</b>		<b>\$187.26</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$300.00</b>	<b>\$277.44</b>	<b>\$0.00</b>	<b>\$277.44</b>		<b>\$194.26</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$194.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-25.67
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$168.59</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>