

**COMMISSION STATEMENT****October 31, 2017**

ALAN LICHTENSTEIN
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/13/2017	WITKIN, CAROL ANN	EQIS CAPITAL MGMT	25,912.36	10.80	0.00	10.80	75.0	8.10
* RIA SUBTOTAL *			\$25,912.36	\$10.80	\$0.00	\$10.80		\$8.10
*** BROKER TRANSACTIONS TOTAL ***			\$25,912.36	\$10.80	\$0.00	\$10.80		\$8.10

BROKER COMMISSION TOTALS

Broker Transactions	\$8.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-9.06
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-0.96
Year-to-date Gross	\$458.81
Year-to-date Earnings	\$344.12

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed