

# COMMISSION STATEMENT July 31, 2018

DOUG GRAUMANN-D365  
 101 S. Wenatchee Avenue  
 Suite C  
 Wenatchee, WA 98801

BROKER #: D365  
 BRANCH: D12

## COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>CLEARING TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
07/12/2018 368285504	MORGENSEN, STEVE	MF FIDELITY ADVISOR HEALTH CARE FD S		10,017.45	100.00	5.00	95.00	85.0	80.75
07/13/2018 368297707	MALM, LAVONNE	MF FIDELITY ADVISOR HEALTH CARE FD S		10,017.45	100.00	5.00	95.00	85.0	80.75
07/13/2018 368299678	MENDEZ, MARIA	MF FIDELITY ADVISOR HEALTH CARE FD S		10,017.45	100.00	5.00	95.00	85.0	80.75
07/13/2018 368326704	WOLF, SCOTT	MF FIDELITY ADVISOR FINANCIAL SERV S		5,017.45	50.00	5.00	45.00	85.0	38.25
07/13/2018 368326704	WOLF, SCOTT	MF FIDELITY ADVISOR FINANCIAL SERV S		5,017.45	50.00	5.00	45.00	85.0	38.25
07/13/2018 368326704	WOLF, SCOTT	MF FIDELITY ADVISOR FINANCIAL SERV S		-5,017.45	-50.00	-5.00	-45.00	85.0	-38.25
07/20/2018 368329206	OLIVER, DAVID	MF FIDELITY ADVISOR FINANCIAL SERV S		-11,892.58	0.00	5.00	-5.00	85.0	-4.25
07/20/2018 368329206	OLIVER, DAVID	MF JANUS FORTY CLASS C S		-11,108.29	0.00	5.00	-5.00	85.0	-4.25
07/20/2018 368329206	OLIVER, DAVID	MF THORNBURG CORE GROWTH CL C S		-8,193.45	0.00	5.00	-5.00	85.0	-4.25
07/12/2018 368362193	ADAMS, GLENN	MF FIDELITY ADVISOR HEALTH CARE FD S		10,017.45	100.00	5.00	95.00	85.0	80.75
07/13/2018 368461976	GARCIA, FRANK	MF FIDELITY ADVISOR HEALTH CARE FD S		7,517.45	75.00	5.00	70.00	85.0	59.50
07/13/2018 368468095	VINCENT, NICOLE	MF FIDELITY ADVISOR HEALTH CARE FD S		10,017.45	100.00	5.00	95.00	85.0	80.75
07/05/2018 676347486	SUNDHOLM, MELODY	MF OPPENHEIMER EQUITY INCM FUND C S		-8,922.95	0.00	5.00	-5.00	85.0	-4.25
07/05/2018 676347486	SUNDHOLM, MELODY	MF PIMCO REAL RETURN C S		-2,702.58	0.00	5.00	-5.00	85.0	-4.25
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$19,802.30</b>	<b>\$625.00</b>	<b>\$60.00</b>	<b>\$565.00</b>		<b>\$480.25</b>

## PRODUCT CATEGORY: MISCELLANEOUS

07/06/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 676347486 S		0.00	18.00	0.00	18.00	85.0	15.30
07/06/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 676347486 S		0.00	18.00	0.00	18.00	85.0	15.30
07/13/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368285504 S		0.00	15.00	0.00	15.00	85.0	12.75
07/13/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368362193 S		0.00	15.00	0.00	15.00	85.0	12.75
07/16/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368461976 S		0.00	15.00	0.00	15.00	85.0	12.75
07/16/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368468095 S		0.00	15.00	0.00	15.00	85.0	12.75
07/16/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368326704 S		0.00	15.00	0.00	15.00	85.0	12.75
07/16/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368326704 S		0.00	15.00	0.00	15.00	85.0	12.75
07/16/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368297707 S		0.00	15.00	0.00	15.00	85.0	12.75
07/16/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368299678 S		0.00	15.00	0.00	15.00	85.0	12.75
07/18/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368326704 S		0.00	-15.00	0.00	-15.00	85.0	-12.75
07/23/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368329206 S		0.00	10.00	0.00	10.00	85.0	8.50
07/23/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368329206 S		0.00	10.00	0.00	10.00	85.0	8.50
07/23/2018 a03268647	VARIOUS,	MI MUTUAL FUND MISC FEE 368329206 S		0.00	10.00	0.00	10.00	85.0	8.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$171.00	\$0.00	\$171.00		\$145.35	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/10/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR MULTI ASSET	B	0.00	31.83	0.00	31.83	85.0	27.06
07/10/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR MULTI ASSET	B	0.00	43.32	0.00	43.32	85.0	36.82
07/11/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS HENDERSON EMERGING MKTS	B	0.00	4.48	0.00	4.48	85.0	3.81
07/11/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS HENDERSON EMERGING MKTS	B	0.00	8.04	0.00	8.04	85.0	6.83
07/11/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS HENDERSON GLOBAL BOND FD	B	0.00	4.04	0.00	4.04	85.0	3.43
07/11/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS VENTURE FD C LIQUIDATIONS	B	0.00	7.06	0.00	7.06	85.0	6.00
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT AIM CHARTER FUND - CLASS A	B	0.00	2.08	0.00	2.08	85.0	1.77
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT AIM GLOBAL AGGRESSIVE GROWTH	B	0.00	9.17	0.00	9.17	85.0	7.79
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT AIM GLOBAL GROWTH FUND - CLASS	B	0.00	1.64	0.00	1.64	85.0	1.39
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	3.24	0.00	3.24	85.0	2.75
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK FLOAT RATE INCOME FD	B	0.00	5.52	0.00	5.52	85.0	4.69
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HIGH YIELD BOND FD CL	B	0.00	8.53	0.00	8.53	85.0	7.25
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HIGH YIELD BOND FD CL	B	0.00	20.93	0.00	20.93	85.0	17.79
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HIGH YIELD BOND FD CL	B	0.00	21.34	0.00	21.34	85.0	18.14
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HIGH YIELD BOND FD CL	B	0.00	25.05	0.00	25.05	85.0	21.29
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK HIGH YIELD BOND FD CL	B	0.00	57.51	0.00	57.51	85.0	48.88
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK STRATEGIC INC OPP FD	B	0.00	6.26	0.00	6.26	85.0	5.32
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK STRATEGIC INC OPP FD	B	0.00	7.89	0.00	7.89	85.0	6.71
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	0.99	0.00	0.99	85.0	0.84
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	3.62	0.00	3.62	85.0	3.08
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	4.21	0.00	4.21	85.0	3.58
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	4.41	0.00	4.41	85.0	3.75
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	4.50	0.00	4.50	85.0	3.83
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	4.55	0.00	4.55	85.0	3.87
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	4.70	0.00	4.70	85.0	4.00
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	5.64	0.00	5.64	85.0	4.79
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	6.21	0.00	6.21	85.0	5.28
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	6.37	0.00	6.37	85.0	5.41
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	7.24	0.00	7.24	85.0	6.15
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EMERGING MARKETS FD	B	0.00	14.56	0.00	14.56	85.0	12.38
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK EQUITY DIVIDEND FD C	B	0.00	4.44	0.00	4.44	85.0	3.77
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	3.41	0.00	3.41	85.0	2.90
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	3.95	0.00	3.95	85.0	3.36
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	4.20	0.00	4.20	85.0	3.57
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	5.61	0.00	5.61	85.0	4.77
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	5.63	0.00	5.63	85.0	4.79
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	8.09	0.00	8.09	85.0	6.88
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	9.44	0.00	9.44	85.0	8.02
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADVANTAGE GLOBAL FD C	B	0.00	13.68	0.00	13.68	85.0	11.63
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD	B	0.00	0.93	0.00	0.93	85.0	0.79
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD	B	0.00	2.08	0.00	2.08	85.0	1.77

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07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD	B	0.00	3.43	0.00	3.43	85.0	2.92
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD	B	0.00	10.71	0.00	10.71	85.0	9.10
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD	B	0.00	12.02	0.00	12.02	85.0	10.22
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD	B	0.00	15.30	0.00	15.30	85.0	13.01
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK NATURAL RESOURCES FD	B	0.00	20.14	0.00	20.14	85.0	17.12
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK LARGE CAP CORE FD C	B	0.00	32.48	0.00	32.48	85.0	27.61
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK LARGE CAP VALUE FD C	B	0.00	14.49	0.00	14.49	85.0	12.32
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ADV US TOTAL MARKET	B	0.00	5.82	0.00	5.82	85.0	4.95
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT BLACKROCK ENERGY & RESOURCES FD	B	0.00	2.45	0.00	2.45	85.0	2.08
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT CAPITAL INCOME BUILDER-A	B	0.00	18.68	0.00	18.68	85.0	15.88
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	8.80	0.00	8.80	85.0	7.48
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	12.24	0.00	12.24	85.0	10.40
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	16.30	0.00	16.30	85.0	13.86
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	16.42	0.00	16.42	85.0	13.96
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	20.16	0.00	20.16	85.0	17.14
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	20.45	0.00	20.45	85.0	17.38
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	23.93	0.00	23.93	85.0	20.34
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	24.63	0.00	24.63	85.0	20.94
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	40.98	0.00	40.98	85.0	34.83
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT COHEN & STEERS PREFERED SEC &	B	0.00	41.74	0.00	41.74	85.0	35.48
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA GLOBAL TECHNOLOGY	B	0.00	9.31	0.00	9.31	85.0	7.91
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	1.16	0.00	1.16	85.0	0.99
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	5.41	0.00	5.41	85.0	4.60
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT DEUTSCHE CROCI US FD A	B	0.00	1.44	0.00	1.44	85.0	1.22
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT EATON VANCE FLOATING RATE ADV	B	0.00	6.49	0.00	6.49	85.0	5.52
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT EATON VANCE FLOATING RATE ADV	B	0.00	32.27	0.00	32.27	85.0	27.43
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FEDERATED HIGH YIELD TRUST A	B	0.00	15.41	0.00	15.41	85.0	13.10
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FEDERATED FLTG RATE STR INCOME	B	0.00	46.39	0.00	46.39	85.0	39.43
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	4.88	0.00	4.88	85.0	4.15
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	4.90	0.00	4.90	85.0	4.17
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	4.90	0.00	4.90	85.0	4.17
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	9.81	0.00	9.81	85.0	8.34
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	9.81	0.00	9.81	85.0	8.34
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	9.81	0.00	9.81	85.0	8.34
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	9.81	0.00	9.81	85.0	8.34
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	9.81	0.00	9.81	85.0	8.34
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	9.81	0.00	9.81	85.0	8.34
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	14.71	0.00	14.71	85.0	12.50
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	15.10	0.00	15.10	85.0	12.84
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FINANCIAL SERV	B	0.00	24.51	0.00	24.51	85.0	20.83
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INC	B	0.00	14.35	0.00	14.35	85.0	12.20
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INC	B	0.00	32.87	0.00	32.87	85.0	27.94
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR EQUITY VALUE	B	0.00	16.29	0.00	16.29	85.0	13.85
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	1.25	0.00	1.25	85.0	1.06

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07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	4.73	0.00	4.73	85.0	4.02
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	6.36	0.00	6.36	85.0	5.41
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	6.37	0.00	6.37	85.0	5.41
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	7.64	0.00	7.64	85.0	6.49
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	8.80	0.00	8.80	85.0	7.48
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	8.93	0.00	8.93	85.0	7.59
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	9.01	0.00	9.01	85.0	7.66
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	9.41	0.00	9.41	85.0	8.00
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	9.46	0.00	9.46	85.0	8.04
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	9.79	0.00	9.79	85.0	8.32
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	9.79	0.00	9.79	85.0	8.32
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	10.08	0.00	10.08	85.0	8.57
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	10.13	0.00	10.13	85.0	8.61
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	19.39	0.00	19.39	85.0	16.48
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC DIV &	B	0.00	24.22	0.00	24.22	85.0	20.59
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	7.96	0.00	7.96	85.0	6.77
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	8.07	0.00	8.07	85.0	6.86
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	8.58	0.00	8.58	85.0	7.29
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	3.69	0.00	3.69	85.0	3.14
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	4.58	0.00	4.58	85.0	3.89
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	5.03	0.00	5.03	85.0	4.28
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	6.24	0.00	6.24	85.0	5.30
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	7.94	0.00	7.94	85.0	6.75
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	8.16	0.00	8.16	85.0	6.94
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	8.36	0.00	8.36	85.0	7.11
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	12.02	0.00	12.02	85.0	10.22
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	12.22	0.00	12.22	85.0	10.39
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	12.79	0.00	12.79	85.0	10.87
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	13.54	0.00	13.54	85.0	11.51
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	15.92	0.00	15.92	85.0	13.53
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	16.19	0.00	16.19	85.0	13.76
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	21.31	0.00	21.31	85.0	18.11
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	23.88	0.00	23.88	85.0	20.30
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	25.47	0.00	25.47	85.0	21.65
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	29.95	0.00	29.95	85.0	25.46
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	30.68	0.00	30.68	85.0	26.08
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	33.80	0.00	33.80	85.0	28.73
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	41.81	0.00	41.81	85.0	35.54
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	50.88	0.00	50.88	85.0	43.25
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	50.99	0.00	50.99	85.0	43.34
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	66.60	0.00	66.60	85.0	56.61
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	71.65	0.00	71.65	85.0	60.90
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	74.78	0.00	74.78	85.0	63.56
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV MEGA CAP STK FD C	B	0.00	5.33	0.00	5.33	85.0	4.53

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV MEGA CAP STK FD C B	0.00	7.04	0.00	7.04	85.0	5.98
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV MEGA CAP STK FD C B	0.00	14.42	0.00	14.42	85.0	12.26
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS B	0.00	11.62	0.00	11.62	85.0	9.88
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR HIGH INCOME FD B	0.00	19.58	0.00	19.58	85.0	16.64
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STOCK SELECTOR B	0.00	9.90	0.00	9.90	85.0	8.42
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR STOCK SELECTOR B	0.00	13.53	0.00	13.53	85.0	11.50
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV LEVERAGED CO STOCK B	0.00	8.81	0.00	8.81	85.0	7.49
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV LEVERAGED CO STOCK B	0.00	14.78	0.00	14.78	85.0	12.56
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV EMERGING MKTS-CL C B	0.00	10.57	0.00	10.57	85.0	8.98
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR REAL ESTATE B	0.00	4.14	0.00	4.14	85.0	3.52
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS B	0.00	0.71	0.00	0.71	85.0	0.60
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS B	0.00	3.49	0.00	3.49	85.0	2.97
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS B	0.00	12.79	0.00	12.79	85.0	10.87
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADV STRATEGIC INCOME B	0.00	6.33	0.00	6.33	85.0	5.38
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS B	0.00	7.66	0.00	7.66	85.0	6.51
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FIDELITY ADVISOR FUNDS B	0.00	7.86	0.00	7.86	85.0	6.68
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C B	0.00	10.67	0.00	10.67	85.0	9.07
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C B	0.00	11.64	0.00	11.64	85.0	9.89
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C B	0.00	12.85	0.00	12.85	85.0	10.92
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C B	0.00	13.88	0.00	13.88	85.0	11.80
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C B	0.00	14.86	0.00	14.86	85.0	12.63
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS C B	0.00	24.87	0.00	24.87	85.0	21.14
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS A B	0.00	2.16	0.00	2.16	85.0	1.84
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS A B	0.00	3.46	0.00	3.46	85.0	2.94
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN INCOME FUND CLASS A B	0.00	4.03	0.00	4.03	85.0	3.43
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN REAL ESTATE FD CL C B	0.00	4.13	0.00	4.13	85.0	3.51
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN REAL ESTATE FD CL C B	0.00	20.39	0.00	20.39	85.0	17.33
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN SMALL CAP VALUE C B	0.00	12.01	0.00	12.01	85.0	10.21
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRANKLIN/TEMPLETON FUNDS B	0.00	7.45	0.00	7.45	85.0	6.33
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRK RISING DIV FND - CL C B	0.00	2.37	0.00	2.37	85.0	2.01
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRK RISING DIV FND - CL C B	0.00	2.60	0.00	2.60	85.0	2.21
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	2.02	0.00	2.02	85.0	1.72
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	3.65	0.00	3.65	85.0	3.10
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	3.66	0.00	3.66	85.0	3.11
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	3.81	0.00	3.81	85.0	3.24
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	4.31	0.00	4.31	85.0	3.66
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	5.17	0.00	5.17	85.0	4.39
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	5.66	0.00	5.66	85.0	4.81
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	9.75	0.00	9.75	85.0	8.29
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	14.03	0.00	14.03	85.0	11.93
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	16.66	0.00	16.66	85.0	14.16
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	19.49	0.00	19.49	85.0	16.57
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	22.99	0.00	22.99	85.0	19.54
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT FRNKLN FLOATING RATE DLY ACCESS B	0.00	27.80	0.00	27.80	85.0	23.63

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT INVESCO AMERICAN FRANCHISE FUND B	0.00	3.16	0.00	3.16	85.0	2.69
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT INVESCO AMERICAN FRANCHISE FUND B	0.00	6.05	0.00	6.05	85.0	5.14
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT INVESCO EQUITY AND INCOME FUND B	0.00	6.80	0.00	6.80	85.0	5.78
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT INVESCO MID CAP GROWTH FUND - B	0.00	10.88	0.00	10.88	85.0	9.25
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS TRITON FD CL C B	0.00	9.14	0.00	9.14	85.0	7.77
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT JANUS TRITON FD CL C B	0.00	13.72	0.00	13.72	85.0	11.66
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON WA AGGRESSIVE GROW B	0.00	26.52	0.00	26.52	85.0	22.54
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON CB FUND VALUE FD C B	0.00	8.98	0.00	8.98	85.0	7.63
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT LEGG MASON CB LARGE CAP GROWTH B	0.00	14.34	0.00	14.34	85.0	12.19
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT MAIN STREET FUND C B	0.00	2.67	0.00	2.67	85.0	2.27
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT MAIN STREET FUND A B	0.00	0.94	0.00	0.94	85.0	0.80
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT MASSACHUSETTS INVESTORS TRUST-A B	0.00	3.10	0.00	3.10	85.0	2.64
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS EMERGING GROWTH FUND CLASS B	0.00	4.15	0.00	4.15	85.0	3.53
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS NEW DISCOVERY VALUE FUND - B	0.00	2.26	0.00	2.26	85.0	1.92
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS RESEARCH FUND-A B	0.00	2.49	0.00	2.49	85.0	2.12
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS TOTAL RETURN FUND-A B	0.00	2.16	0.00	2.16	85.0	1.84
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS TOTAL RETURN FUND-A B	0.00	4.43	0.00	4.43	85.0	3.77
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT MFS VALUE FUND-A B	0.00	2.26	0.00	2.26	85.0	1.92
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT MID CAP STOCK C B	0.00	7.23	0.00	7.23	85.0	6.15
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL FINL SRVCS FND - CL C B	0.00	3.43	0.00	3.43	85.0	2.92
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL QUAL FND - CL C B	0.00	14.03	0.00	14.03	85.0	11.93
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SERIES SHARES CLASS A B	0.00	0.98	0.00	0.98	85.0	0.83
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C B	0.00	2.79	0.00	2.79	85.0	2.37
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C B	0.00	10.49	0.00	10.49	85.0	8.92
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT MUTUAL SHRS FND - CL C B	0.00	12.42	0.00	12.42	85.0	10.56
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER EQUITY INCM FUND C B	0.00	7.44	0.00	7.44	85.0	6.32
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER EQUITY INCM FUND C B	0.00	17.50	0.00	17.50	85.0	14.88
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND B	0.00	4.69	0.00	4.69	85.0	3.99
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND B	0.00	14.32	0.00	14.32	85.0	12.17
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER QUEST VALUE FUND B	0.00	35.15	0.00	35.15	85.0	29.88
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C B	0.00	2.39	0.00	2.39	85.0	2.03
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C B	0.00	4.49	0.00	4.49	85.0	3.82
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C B	0.00	8.48	0.00	8.48	85.0	7.21
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C B	0.00	8.95	0.00	8.95	85.0	7.61
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTL BOND FUND C B	0.00	16.45	0.00	16.45	85.0	13.98
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTERNATIONAL B	0.00	2.13	0.00	2.13	85.0	1.81
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER INTERNATIONAL B	0.00	6.04	0.00	6.04	85.0	5.13
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMERFUNDS 68380L308 B	0.00	11.76	0.00	11.76	85.0	10.00
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMERFUNDS 68380L308 B	0.00	18.28	0.00	18.28	85.0	15.54
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET B	0.00	10.81	0.00	10.81	85.0	9.19
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET B	0.00	11.44	0.00	11.44	85.0	9.72
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL B	0.00	3.36	0.00	3.36	85.0	2.86
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL B	0.00	7.57	0.00	7.57	85.0	6.43
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL B	0.00	7.71	0.00	7.71	85.0	6.55

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	8.59	0.00	8.59	85.0	7.30
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	8.91	0.00	8.91	85.0	7.57
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	9.37	0.00	9.37	85.0	7.96
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	10.81	0.00	10.81	85.0	9.19
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	11.76	0.00	11.76	85.0	10.00
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	13.13	0.00	13.13	85.0	11.16
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	21.52	0.00	21.52	85.0	18.29
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	30.01	0.00	30.01	85.0	25.51
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER DISCOVERY CLASS A	B	0.00	2.34	0.00	2.34	85.0	1.99
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER REAL ESTATE FUND C	B	0.00	7.53	0.00	7.53	85.0	6.40
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT OPPENHEIMER REAL ESTATE FUND C	B	0.00	20.28	0.00	20.28	85.0	17.24
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	15.64	0.00	15.64	85.0	13.29
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	27.37	0.00	27.37	85.0	23.26
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO DIVERSIFIED INCOME FD CL	B	0.00	3.77	0.00	3.77	85.0	3.20
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	15.06	0.00	15.06	85.0	12.80
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	18.21	0.00	18.21	85.0	15.48
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	18.50	0.00	18.50	85.0	15.73
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	29.67	0.00	29.67	85.0	25.22
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO HIGH YIELD FD CL C	B	0.00	37.77	0.00	37.77	85.0	32.10
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO MORTGAGE-BACKED	B	0.00	6.08	0.00	6.08	85.0	5.17
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	0.94	0.00	0.94	85.0	0.80
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	1.33	0.00	1.33	85.0	1.13
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	1.41	0.00	1.41	85.0	1.20
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	1.56	0.00	1.56	85.0	1.33
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	2.39	0.00	2.39	85.0	2.03
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	3.22	0.00	3.22	85.0	2.74
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	3.67	0.00	3.67	85.0	3.12
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	3.74	0.00	3.74	85.0	3.18
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	5.31	0.00	5.31	85.0	4.51
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	5.40	0.00	5.40	85.0	4.59
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	5.65	0.00	5.65	85.0	4.80
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	6.39	0.00	6.39	85.0	5.43
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	6.58	0.00	6.58	85.0	5.59
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	7.04	0.00	7.04	85.0	5.98
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	8.02	0.00	8.02	85.0	6.82
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	9.10	0.00	9.10	85.0	7.74
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	10.89	0.00	10.89	85.0	9.26
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	11.48	0.00	11.48	85.0	9.76
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	12.21	0.00	12.21	85.0	10.38
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN C	B	0.00	13.89	0.00	13.89	85.0	11.81
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	0.58	0.00	0.58	85.0	0.49
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.09	0.00	1.09	85.0	0.93
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.98	0.00	1.98	85.0	1.68
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.44	0.00	2.44	85.0	2.07

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.25	0.00	3.25	85.0	2.76
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.72	0.00	3.72	85.0	3.16
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PUTNAM 746809102	B	0.00	1.36	0.00	1.36	85.0	1.16
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT PUTNAM MULTI-CAP CORE FUND-A	B	0.00	0.57	0.00	0.57	85.0	0.48
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON EMERGING MKTS SM CAP	B	0.00	5.51	0.00	5.51	85.0	4.68
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	1.77	0.00	1.77	85.0	1.50
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	1.96	0.00	1.96	85.0	1.67
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	5.71	0.00	5.71	85.0	4.85
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	5.91	0.00	5.91	85.0	5.02
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE BOND FUND OF AMERICA-A	B	0.00	13.75	0.00	13.75	85.0	11.69
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE HARTFORD CAPITAL	B	0.00	0.14	0.00	0.14	85.0	0.12
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	20.37	0.00	20.37	85.0	17.31
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT THE NEW ECONOMY FUND-A	B	0.00	0.29	0.00	0.29	85.0	0.25
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	7.36	0.00	7.36	85.0	6.26
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	7.59	0.00	7.59	85.0	6.45
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG CORE GROWTH CL C	B	0.00	11.11	0.00	11.11	85.0	9.44
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT THORNBURG INCOME BUILDER CL C	B	0.00	9.60	0.00	9.60	85.0	8.16
07/23/2018	mfc268647	VARIOUS, GRAUMANN	MFT TRANSAMERICA HIGH YIELD BD FD C B		0.00	19.09	0.00	19.09	85.0	16.23
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3,276.26	\$0.00	\$3,276.26		\$2,784.89
*** CLEARING TRANSACTIONS TOTAL ***					\$19,802.30	\$4,072.26	\$60.00	\$4,012.26		\$3,410.49

ADJUSTMENTS TRANSACTIONS

BROKER: #0

07/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
07/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
07/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00



**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,410.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$3,225.49</b>
<b>Year-to-date Commissions</b>	<b>\$31,459.45</b>