

**COMMISSION STATEMENT****July 15, 2018**

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
 11617 Coldwater Road
 Suite 103
 Fort Wayne, IN 46845

BROKER #: L131
BRANCH: APPLE TREE FINANCIAL

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: INTERVAL FUNDS</u> | | | | | | | | |
| ^05/31/2018 | BURTNETTE, PATRICIA | BR TOTAL INC & REAL ESTATE FUND C | 53,107.82 | 45.11 | 0.00 | 45.11 | 90.0 | 0.00 |
| | | * INTERVAL FUNDS SUBTOTAL * | \$53,107.82 | \$45.11 | \$0.00 | \$45.11 | | \$0.00 |
| <u>PRODUCT CATEGORY: 529 PLANS</u> | | | | | | | | |
| ^06/29/2018 | JOHNSON, ERIC | INA 2022 ENROLLMENT PORT CLASS A | 10.00 | 4.75 | 0.00 | 4.75 | 90.0 | 0.00 |
| ^06/29/2018 | HABESHY, BARBARA | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 0.30 | 0.00 | 0.30 | 90.0 | 0.00 |
| ^06/29/2018 | EGLY, KEITH | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.00 |
| ^06/29/2018 | EGLY, KEITH | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.00 |
| ^06/29/2018 | PETERSON, BRYAN | INA BOSTON COMPANY DYNAMIC LARGE CA | 25.00 | 1.19 | 0.00 | 1.19 | 90.0 | 0.00 |
| ^06/29/2018 | EGLY, KEITH | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 2.38 | 0.00 | 2.38 | 90.0 | 0.00 |
| ^ / / | HABESHY, BARBARA | INA TIPS INDEX PORT CLASS C | 0.00 | 0.70 | 0.00 | 0.70 | 90.0 | 0.00 |
| | | * 529 PLANS SUBTOTAL * | \$235.00 | \$10.32 | \$0.00 | \$10.32 | | \$0.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^06/29/2018 | ROEBEL, DAVID | AM FUNDS 2020 TARGET DATE-A | 200.00 | 7.50 | 0.00 | 7.50 | 90.0 | 0.00 |
| ^06/29/2018 | ROEBEL, DAVID | AM FUNDS 2020 TARGET DATE-A | 138.46 | 5.19 | 0.00 | 5.19 | 90.0 | 0.00 |
| ^06/29/2018 | RICE, SCOTT | AM FUNDS 2025 TARGET DATE-A | 143.55 | 5.38 | 0.00 | 5.38 | 90.0 | 0.00 |
| ^06/29/2018 | RICE, SCOTT | AM FUNDS 2025 TARGET DATE-A | 143.55 | 5.38 | 0.00 | 5.38 | 90.0 | 0.00 |
| ^06/29/2018 | SCOTT, JAMES | AM FUNDS 2040 TARGET DATE-A | 138.46 | 5.19 | 0.00 | 5.19 | 90.0 | 0.00 |
| ^06/29/2018 | SCOTT, JAMES | AM FUNDS 2040 TARGET DATE-A | 276.92 | 10.38 | 0.00 | 10.38 | 90.0 | 0.00 |
| ^06/29/2018 | SITES, AMY | AM FUNDS AMERICAN BALANCED A | 125.00 | 6.25 | 0.00 | 6.25 | 90.0 | 0.00 |
| ^07/02/2018 | SHERWOOD, JULIE | AM FUNDS FUNDAMENTAL INVESTORS A | 50.00 | 2.50 | 0.00 | 2.50 | 90.0 | 0.00 |
| ^06/28/2018 | GATER-BITTING, DEVIN | AM FUNDS GROWTH FUND OF AMERICA A | 50.00 | 2.50 | 0.00 | 2.50 | 90.0 | 0.00 |
| ^06/29/2018 | RABER, DANIEL | AM FUNDS GROWTH FUND OF AMERICA A | 350.00 | 17.50 | 0.00 | 17.50 | 90.0 | 0.00 |
| ^ / / | SITES, EDWARD | INA COLLEGE PORT CLASS A | 0.00 | 1.63 | 0.00 | 1.63 | 90.0 | 0.00 |
| ^06/29/2018 | LINN, JASON | INA INTER EQTY INDEX PORTF A | 8.25 | 0.39 | 0.00 | 0.39 | 90.0 | 0.00 |
| ^06/29/2018 | LINN, JASON | INA LG CAP INDEX PORT CLASS A | 38.50 | 1.83 | 0.00 | 1.83 | 90.0 | 0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^06/29/2018 | HINTON, SCOTT | INA LG CAP INDEX PORT CLASS A | 25.00 | 1.19 | 0.00 | 1.19 | 90.0 | 0.00 |
| ^06/29/2018 | HINTON, SCOTT | INA LG CAP INDEX PORT CLASS A | 25.00 | 1.19 | 0.00 | 1.19 | 90.0 | 0.00 |
| ^06/29/2018 | LINN, JASON | INA PIMCO TOT RET PORT CLA A | 8.25 | 0.27 | 0.00 | 0.27 | 90.0 | 0.00 |
| ^ / / | HABESHY, BARBARA | INA PIMCO TOT RET PORT CLA C | 0.00 | 0.70 | 0.00 | 0.70 | 90.0 | 0.00 |
| ^06/29/2018 | HABESHY, BARBARA | INA T ROWE PRICE LARGE CAP GR CLASS | 2.50 | 0.30 | 0.00 | 0.30 | 90.0 | 0.00 |
| ^06/29/2018 | PETERSON, BRYAN | INA T ROWE PRICE LG CAP GROWTH PO A | 25.00 | 1.19 | 0.00 | 1.19 | 90.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$1,748.44 | \$76.46 | \$0.00 | \$76.46 | | \$0.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| ^06/15/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS AMERICAN BALANCED A | 0.00 | 1.07 | 0.00 | 1.07 | 90.0 | 0.00 |
| ^06/15/2018 | BRAUTZSCH, CHRIS | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.91 | 0.00 | 0.91 | 90.0 | 0.00 |
| ^06/15/2018 | CRAWFORD, JOHN | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.61 | 0.00 | 0.61 | 90.0 | 0.00 |
| ^06/15/2018 | COOK, JEANNIE | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.40 | 0.00 | 0.40 | 90.0 | 0.00 |
| ^06/15/2018 | SORG, DAWN | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.54 | 0.00 | 0.54 | 90.0 | 0.00 |
| ^06/15/2018 | SORG, MAURICE | AM FUNDS AMERICAN BALANCED A | 0.00 | 1.25 | 0.00 | 1.25 | 90.0 | 0.00 |
| ^06/15/2018 | CRAWFORD, GERALD | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.54 | 0.00 | 0.54 | 90.0 | 0.00 |
| ^06/15/2018 | JUNOD, BARBARA | AM FUNDS AMERICAN BALANCED C | 0.00 | 1.57 | 0.00 | 1.57 | 90.0 | 0.00 |
| ^06/15/2018 | BRAUTZSCH, CHRIS | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00 | 0.95 | 0.00 | 0.95 | 90.0 | 0.00 |
| ^06/15/2018 | CRAWFORD, JOHN | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00 | 0.72 | 0.00 | 0.72 | 90.0 | 0.00 |
| ^06/15/2018 | JUNOD, BARBARA | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00 | 0.67 | 0.00 | 0.67 | 90.0 | 0.00 |
| ^06/15/2018 | JUNOD, BARBARA | AM FUNDS BOND FUND OF AMERICA C | 0.00 | 2.27 | 0.00 | 2.27 | 90.0 | 0.00 |
| ^06/15/2018 | CLINE, DAVIS | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.07 | 0.00 | 0.07 | 90.0 | 0.00 |
| ^06/15/2018 | BRAUTZSCH, CHRIS | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00 | 0.42 | 0.00 | 0.42 | 90.0 | 0.00 |
| ^06/15/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS EUROPACIFIC GROWTH FUND A | 0.00 | 0.60 | 0.00 | 0.60 | 90.0 | 0.00 |
| ^06/15/2018 | BRAUTZSCH, CHRIS | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 0.57 | 0.00 | 0.57 | 90.0 | 0.00 |
| ^06/15/2018 | CLINE, DAVIS | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 0.14 | 0.00 | 0.14 | 90.0 | 0.00 |
| ^06/15/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS INCOME FUND OF AMERICA A | 0.00 | 0.54 | 0.00 | 0.54 | 90.0 | 0.00 |
| ^06/15/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS INTERMEDIATE BOND FUND OF | 0.00 | 0.74 | 0.00 | 0.74 | 90.0 | 0.00 |
| ^06/15/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 0.57 | 0.00 | 0.57 | 90.0 | 0.00 |
| ^06/15/2018 | JUNOD, BARBARA | AMERICAN EMERGING MARKETS BOND FUND | 0.00 | 0.87 | 0.00 | 0.87 | 90.0 | 0.00 |
| ^06/15/2018 | JUNOD, BARBARA | AMERICAN FUNDS STRATEGIC BOND FUND | 0.00 | 1.73 | 0.00 | 1.73 | 90.0 | 0.00 |
| ^06/15/2018 | FLECK, KATHIE | FEDERATED KAUFMANN FUND A | 0.00 | 3.77 | 0.00 | 3.77 | 90.0 | 0.00 |
| ^06/21/2018 | FLECK, KATHIE | FIDELITY ADV NEW INSIGHTS C | 0.00 | 14.59 | 0.00 | 14.59 | 90.0 | 0.00 |
| ^06/13/2018 | FLECK, KATHIE | FIRST EAGLE GLOBAL FUND CL A | 0.00 | 0.55 | 0.00 | 0.55 | 90.0 | 0.00 |

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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| ^06/22/2018 | FLECK, KATHIE | GOLDMAN SACHS SMALL CAP VALUE FUND- | 0.00 | 2.04 | 0.00 | 2.04 | 90.0 | 0.00 |
| ^06/15/2018 | CRAWFORD, JOHN | INFLATION LINKED BOND FUND A | 0.00 | 0.93 | 0.00 | 0.93 | 90.0 | 0.00 |
| ^06/14/2018 | BROCKMYER, PHYLLIS | PIMCO INCOME FUND C | 0.00 | 3.66 | 0.00 | 3.66 | 90.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$43.29 | \$0.00 | \$43.29 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$55,091.26 | \$175.18 | \$0.00 | \$175.18 | | \$0.00 |

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10**

| | | | | | | | | |
|--|------------------|-------------------------------------|----------|--------|--------|--------|------|--------|
| 06/29/2018 | JOHNSON, ERIC | INA 2022 ENROLLMENT PORT CLASS A | 10.00 | 1.43 | 0.00 | 1.43 | 90.0 | 1.29 |
| 06/29/2018 | HABESHY, BARBARA | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 06/29/2018 | EGLY, KEITH | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 06/29/2018 | EGLY, KEITH | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 06/29/2018 | PETERSON, BRYAN | INA BOSTON COMPANY DYNAMIC LARGE CA | 25.00 | 0.36 | 0.00 | 0.36 | 90.0 | 0.32 |
| 06/29/2018 | EGLY, KEITH | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 0.71 | 0.00 | 0.71 | 90.0 | 0.64 |
| / / | HABESHY, BARBARA | INA TIPS INDEX PORT CLASS C | 0.00 | 0.21 | 0.00 | 0.21 | 90.0 | 0.19 |
| * L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL * | | | \$235.00 | \$3.10 | \$0.00 | \$3.10 | | \$2.80 |

BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209

| | | | | | | | | |
|------------|----------------------|------------------------------|--------|------|------|------|------|------|
| 06/29/2018 | ROEBEL, DAVID | AM FUNDS 2020 TARGET DATE-A | 200.00 | 2.25 | 0.00 | 2.25 | 90.0 | 2.03 |
| 06/29/2018 | ROEBEL, DAVID | AM FUNDS 2020 TARGET DATE-A | 138.46 | 1.56 | 0.00 | 1.56 | 90.0 | 1.40 |
| 06/29/2018 | RICE, SCOTT | AM FUNDS 2025 TARGET DATE-A | 143.55 | 1.61 | 0.00 | 1.61 | 90.0 | 1.45 |
| 06/29/2018 | RICE, SCOTT | AM FUNDS 2025 TARGET DATE-A | 143.55 | 1.61 | 0.00 | 1.61 | 90.0 | 1.45 |
| 06/29/2018 | SCOTT, JAMES | AM FUNDS 2040 TARGET DATE-A | 138.46 | 1.56 | 0.00 | 1.56 | 90.0 | 1.40 |
| 06/29/2018 | SCOTT, JAMES | AM FUNDS 2040 TARGET DATE-A | 276.92 | 3.11 | 0.00 | 3.11 | 90.0 | 2.80 |
| 06/15/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.32 | 0.00 | 0.32 | 90.0 | 0.29 |
| 06/15/2018 | BRAUTZSCH, CHRIS | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.27 | 0.00 | 0.27 | 90.0 | 0.24 |
| 06/15/2018 | CRAWFORD, JOHN | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.18 | 0.00 | 0.18 | 90.0 | 0.16 |
| 06/15/2018 | COOK, JEANNIE | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.12 | 0.00 | 0.12 | 90.0 | 0.11 |
| 06/15/2018 | SORG, DAWN | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.16 | 0.00 | 0.16 | 90.0 | 0.14 |
| 06/15/2018 | SORG, MAURICE | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.38 | 0.00 | 0.38 | 90.0 | 0.34 |
| 06/15/2018 | CRAWFORD, GERALD | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.16 | 0.00 | 0.16 | 90.0 | 0.14 |
| 06/29/2018 | SITES, AMY | AM FUNDS AMERICAN BALANCED A | 125.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| 06/15/2018 | JUNOD, BARBARA | AM FUNDS AMERICAN BALANCED C | 0.00 | 0.47 | 0.00 | 0.47 | 90.0 | 0.42 |

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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u> | | | | | | | | |
| 06/15/2018 | BRAUTZSCH, CHRIS | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00 | 0.29 | 0.00 | 0.29 | 90.0 | 0.26 |
| 06/15/2018 | CRAWFORD, JOHN | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00 | 0.22 | 0.00 | 0.22 | 90.0 | 0.20 |
| 06/15/2018 | JUNOD, BARBARA | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| 06/15/2018 | JUNOD, BARBARA | AM FUNDS BOND FUND OF AMERICA C | 0.00 | 0.68 | 0.00 | 0.68 | 90.0 | 0.61 |
| 06/15/2018 | CLINE, DAVIS | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 06/15/2018 | BRAUTZSCH, CHRIS | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 06/15/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS EUROPACIFIC GROWTH FUND A | 0.00 | 0.18 | 0.00 | 0.18 | 90.0 | 0.16 |
| 07/02/2018 | SHERWOOD, JULIE | AM FUNDS FUNDAMENTAL INVESTORS A | 50.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 06/15/2018 | BRAUTZSCH, CHRIS | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 0.17 | 0.00 | 0.17 | 90.0 | 0.15 |
| 06/15/2018 | CLINE, DAVIS | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 0.04 | 0.00 | 0.04 | 90.0 | 0.04 |
| 06/28/2018 | GATER-BITTING, DEVIN | AM FUNDS GROWTH FUND OF AMERICA A | 50.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 06/29/2018 | RABER, DANIEL | AM FUNDS GROWTH FUND OF AMERICA A | 350.00 | 5.25 | 0.00 | 5.25 | 90.0 | 4.73 |
| 06/15/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS INCOME FUND OF AMERICA A | 0.00 | 0.16 | 0.00 | 0.16 | 90.0 | 0.14 |
| 06/15/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS INTERMEDIATE BOND FUND OF | 0.00 | 0.22 | 0.00 | 0.22 | 90.0 | 0.20 |
| 06/15/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 0.17 | 0.00 | 0.17 | 90.0 | 0.15 |
| 06/15/2018 | JUNOD, BARBARA | AMERICAN EMERGING MARKETS BOND FUND | 0.00 | 0.26 | 0.00 | 0.26 | 90.0 | 0.23 |
| 06/15/2018 | JUNOD, BARBARA | AMERICAN FUNDS STRATEGIC BOND FUND | 0.00 | 0.52 | 0.00 | 0.52 | 90.0 | 0.47 |
| 05/31/2018 | BURTNETTE, PATRICIA | BR TOTAL INC & REAL ESTATE FUND C | 53,107.82 | 13.53 | 0.00 | 13.53 | 90.0 | 12.18 |
| 06/15/2018 | FLECK, KATHIE | FEDERATED KAUFMANN FUND A | 0.00 | 1.13 | 0.00 | 1.13 | 90.0 | 1.02 |
| 06/21/2018 | FLECK, KATHIE | FIDELITY ADV NEW INSIGHTS C | 0.00 | 4.38 | 0.00 | 4.38 | 90.0 | 3.94 |
| 06/13/2018 | FLECK, KATHIE | FIRST EAGLE GLOBAL FUND CL A | 0.00 | 0.17 | 0.00 | 0.17 | 90.0 | 0.15 |
| 06/22/2018 | FLECK, KATHIE | GOLDMAN SACHS SMALL CAP VALUE FUND- | 0.00 | 0.61 | 0.00 | 0.61 | 90.0 | 0.55 |
| / / | SITES, EDWARD | INA COLLEGE PORT CLASS A | 0.00 | 0.49 | 0.00 | 0.49 | 90.0 | 0.44 |
| 06/29/2018 | LINN, JASON | INA INTER EQTY INDEX PORTF A | 8.25 | 0.12 | 0.00 | 0.12 | 90.0 | 0.11 |
| 06/29/2018 | LINN, JASON | INA LG CAP INDEX PORT CLASS A | 38.50 | 0.55 | 0.00 | 0.55 | 90.0 | 0.50 |
| 06/29/2018 | HINTON, SCOTT | INA LG CAP INDEX PORT CLASS A | 25.00 | 0.36 | 0.00 | 0.36 | 90.0 | 0.32 |
| 06/29/2018 | HINTON, SCOTT | INA LG CAP INDEX PORT CLASS A | 25.00 | 0.36 | 0.00 | 0.36 | 90.0 | 0.32 |
| 06/29/2018 | LINN, JASON | INA PIMCO TOT RET PORT CLA A | 8.25 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| / / | HABESHY, BARBARA | INA PIMCO TOT RET PORT CLA C | 0.00 | 0.21 | 0.00 | 0.21 | 90.0 | 0.19 |
| 06/29/2018 | HABESHY, BARBARA | INA T ROWE PRICE LARGE CAP GR CLASS | 2.50 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 06/29/2018 | PETERSON, BRYAN | INA T ROWE PRICE LG CAP GROWTH PO A | 25.00 | 0.36 | 0.00 | 0.36 | 90.0 | 0.32 |
| 06/15/2018 | CRAWFORD, JOHN | INFLATION LINKED BOND FUND A | 0.00 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 06/14/2018 | BROCKMYER, PHYLLIS | PIMCO INCOME FUND C | 0.00 | 1.10 | 0.00 | 1.10 | 90.0 | 0.99 |

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|---------------------------|--------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>ADJUSTMENTS</u> | | | | | | | | |
| | | * L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ | \$54,856.26 | \$49.47 | \$0.00 | \$49.47 | | \$44.51 |
| | | *** SPLIT TRANSACTIONS TOTAL *** | \$55,091.26 | \$52.57 | \$0.00 | \$52.57 | | \$47.31 |

| | | | | | | | | |
|---------------------------|--|----------------------------------|--------|--------|--------|--------|--|---------|
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 07/15/2018 | | *PERSHING: MF PROSPECTUS MAILING | 0.00 | | | | | 0.01 |
| 07/15/2018 | | *PERSHING: MF PROSPECTUS MAILING | 0.00 | | | | | -0.93 |
| | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-0.92 |

| <u>BROKER COMMISSION TOTALS</u> | |
|--|---------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$47.31 |
| Override Transactions | \$0.00 |
| Adjustments | \$-0.92 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$32.96 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|----------|
| Balance Forward | \$79.35 |
| Year-to-date Gross | \$853.36 |
| Year-to-date Earnings | \$744.72 |

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 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed