



# COMMISSION STATEMENT

March 19, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

| DATE   | CLIENT                         | INVESTMENT                   | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>            |                                |                              |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b> |                                |                              |                      |                     |                    |                   |      |                      |
| 03/08/2018                                   | ***-**-3246 - SGROI, TERESA    | AMCAP FUND-A                 | 212.77               | 10.64               | 0.00               | 10.64             | 75.0 | 7.98                 |
|  | ACCOUNT #: 00089976714         | BUY 6 Units @35.7300         |                      |                     |                    |                   |      |                      |
| 03/05/2018                                   | ***-**-9037 - NOURSE, STACIA   | AMERICAN BALANCED FUND-A     | 50.00                | 2.50                | 0.00               | 2.50              | 75.0 | 1.88                 |
|  | ACCOUNT #: 04000247689         | BUY 2 Units @28.7900         |                      |                     |                    |                   |      |                      |
| 03/05/2018                                   | ***-**-4639 - SCOONES,         | AMERICAN BALANCED FUND-A     | 90.75                | 2.50                | 0.00               | 2.50              | 75.0 | 1.88                 |
|  | ACCOUNT #: 00084481157         | BUY 3 Units @28.1100         |                      |                     |                    |                   |      |                      |
| 03/05/2018                                   | ***-**-408B - SNIZEK,          | AMERICAN BALANCED FUND-A     | 125.00               | 3.44                | 0.00               | 3.44              | 75.0 | 2.58                 |
|  | ACCOUNT #: 00085154620         | BUY 4 Units @28.1100         |                      |                     |                    |                   |      |                      |
| 03/05/2018                                   | ***-**-5152 - DUNN, MICHELE    | AMERICAN BALANCED FUND-A     | 400.00               | 11.00               | 0.00               | 11.00             | 75.0 | 8.25                 |
|  | ACCOUNT #: 00087316793         | BUY 14 Units @28.1100        |                      |                     |                    |                   |      |                      |
| 03/05/2018                                   | ***-**-0911 - SPAZIANI,        | AMERICAN BALANCED FUND-A     | 135.00               | 5.06                | 0.00               | 5.06              | 75.0 | 3.80                 |
|  | ACCOUNT #: 00082570536         | BUY 5 Units @28.4100         |                      |                     |                    |                   |      |                      |
| 03/05/2018                                   | ***-**-5556 - BURNS, ROBERT    | AMERICAN BALANCED FUND-A     | 37.50                | 1.59                | 0.00               | 1.59              | 75.0 | 1.19                 |
|  | ACCOUNT #: 00085993216         | BUY 1 Units @28.5600         |                      |                     |                    |                   |      |                      |
| 03/05/2018                                   | ***-**-0939 - LAWRENCE, JASON  | AMERICAN BALANCED FUND-A     | 60.00                | 3.00                | 0.00               | 3.00              | 75.0 | 2.25                 |
|  | ACCOUNT #: 00087909097         | BUY 2 Units @28.7900         |                      |                     |                    |                   |      |                      |
| 03/05/2018                                   | ***-**-9037 - NOURSE, STACIA   | AMERICAN HIGH-INCOME TRUST-A | 50.00                | 1.50                | 0.00               | 1.50              | 75.0 | 1.13                 |
|  | ACCOUNT #: 04000247689         | BUY 5 Units @10.6500         |                      |                     |                    |                   |      |                      |
| 03/05/2018                                   | ***-**-408B - SNIZEK,          | AMERICAN HIGH-INCOME TRUST-A | 100.00               | 2.75                | 0.00               | 2.75              | 75.0 | 2.06                 |
|  | ACCOUNT #: 00085154620         | BUY 9 Units @10.6200         |                      |                     |                    |                   |      |                      |
| 03/05/2018                                   | ***-**-7598 - HUMPF, MINDI     | AMERICAN HIGH-INCOME TRUST-A | 25.00                | 0.69                | 0.00               | 0.69              | 75.0 | 0.52                 |
|  | ACCOUNT #: 00085514851         | BUY 2 Units @10.6200         |                      |                     |                    |                   |      |                      |
| 03/05/2018                                   | ***-**-4848 - DOGGETT, AMY     | AMERICAN HIGH-INCOME TRUST-A | 165.00               | 4.95                | 0.00               | 4.95              | 75.0 | 3.71                 |
|  | ACCOUNT #: 00081620466         | BUY 15 Units @10.6500        |                      |                     |                    |                   |      |                      |
| 03/05/2018                                   | ***-**-0911 - SPAZIANI,        | AMERICAN HIGH-INCOME TRUST-A | 80.00                | 2.40                | 0.00               | 2.40              | 75.0 | 1.80                 |
|  | ACCOUNT #: 00082570536         | BUY 8 Units @10.6500         |                      |                     |                    |                   |      |                      |
| 03/05/2018                                   | ***-**-5556 - BURNS, ROBERT    | AMERICAN HIGH-INCOME TRUST-A | 52.50                | 1.58                | 0.00               | 1.58              | 75.0 | 1.19                 |
|  | ACCOUNT #: 00085993216         | BUY 5 Units @10.6500         |                      |                     |                    |                   |      |                      |
| 03/07/2018                                   | ***-**-1909 - BASHANT, MATTHEW | AMERICAN HIGH-INCOME TRUST-A | 25.50                | 0.70                | 0.00               | 0.70              | 75.0 | 0.53                 |
|  | ACCOUNT #: 00085839015         | BUY 2 Units @10.6300         |                      |                     |                    |                   |      |                      |
| 03/05/2018                                   | ***-**-5152 - DUNN, MICHELE    | CAPITAL INCOME BUILDER-A     | 400.00               | 11.00               | 0.00               | 11.00             | 75.0 | 8.25                 |
|  | ACCOUNT #: 00087316793         | BUY 6 Units @64.0900         |                      |                     |                    |                   |      |                      |



# COMMISSION STATEMENT

## March 19, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

| DATE  | CLIENT   | INVESTMENT  | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>            |  |   |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b> |  |   |                      |                     |                    |                   |      |                      |
| 03/05/2018  | ***-**-408B - SNIZEK,<br>ACCOUNT #: 00085154620          | CAPITAL WORLD GROWTH AND INCOME FUN<br>BUY 2 Units @54.0500 | 100.00               | 2.75                | 0.00               | 2.75              | 75.0 | 2.06                 |
| 03/05/2018  | ***-**-7598 - HUMPF, MINDI<br>ACCOUNT #: 00085514851     | CAPITAL WORLD GROWTH AND INCOME FUN<br>BUY 0 Units @54.0500 | 25.00                | 0.69                | 0.00               | 0.69              | 75.0 | 0.52                 |
| 03/05/2018  | ***-**-4848 - DOGETT, AMY<br>ACCOUNT #: 00081620466      | CAPITAL WORLD GROWTH AND INCOME FUN<br>BUY 3 Units @54.6200 | 165.00               | 6.19                | 0.00               | 6.19              | 75.0 | 4.64                 |
| 03/05/2018  | ***-**-5556 - BURNS, ROBERT<br>ACCOUNT #: 00085993216    | CAPITAL WORLD GROWTH AND INCOME FUN<br>BUY 1 Units @54.9100 | 37.50                | 1.59                | 0.00               | 1.59              | 75.0 | 1.19                 |
| 03/07/2018  | ***-**-1909 - BASHANT, MATTHEW<br>ACCOUNT #: 00085839015 | CAPITAL WORLD GROWTH AND INCOME FUN<br>BUY 1 Units @54.3300 | 31.50                | 0.87                | 0.00               | 0.87              | 75.0 | 0.65                 |
| 03/07/2018  | ***-**-1909 - BASHANT, MATTHEW<br>ACCOUNT #: 00085839015 | EUROPACIFIC GROWTH FUND-A<br>BUY 1 Units @59.4300           | 31.50                | 0.87                | 0.00               | 0.87              | 75.0 | 0.65                 |
| 03/05/2018  | ***-**-0911 - SPAZIANI,<br>ACCOUNT #: 00082570536        | FUNDAMENTAL INVESTORS-A<br>BUY 2 Units @66.3500             | 135.00               | 5.06                | 0.00               | 5.06              | 75.0 | 3.80                 |
| 03/05/2018  | ***-**-5556 - BURNS, ROBERT<br>ACCOUNT #: 00085993216    | SMALLCAP WORLD FUND-A<br>BUY 0 Units @59.9700               | 22.50                | 0.96                | 0.00               | 0.96              | 75.0 | 0.72                 |
| 03/05/2018  | ***-**-4639 - SCOONES,<br>ACCOUNT #: 00084481157         | THE BOND FUND OF AMERICA-A<br>BUY 7 Units @13.0400          | 90.75                | 2.50                | 0.00               | 2.50              | 75.0 | 1.88                 |
| 03/05/2018  | ***-**-4639 - SCOONES,<br>ACCOUNT #: 00084481157         | THE GROWTH FUND OF AMERICA-A<br>BUY 2 Units @54.3500        | 93.50                | 2.57                | 0.00               | 2.57              | 75.0 | 1.93                 |
| 03/05/2018  | ***-**-408B - SNIZEK,<br>ACCOUNT #: 00085154620          | THE GROWTH FUND OF AMERICA-A<br>BUY 3 Units @54.3500        | 175.00               | 4.81                | 0.00               | 4.81              | 75.0 | 3.61                 |
| 03/05/2018  | ***-**-7598 - HUMPF, MINDI<br>ACCOUNT #: 00085514851     | THE GROWTH FUND OF AMERICA-A<br>BUY 0 Units @54.3500        | 25.00                | 0.69                | 0.00               | 0.69              | 75.0 | 0.52                 |
| 03/05/2018  | ***-**-4848 - DOGETT, AMY<br>ACCOUNT #: 00081620466      | THE GROWTH FUND OF AMERICA-A<br>BUY 3 Units @54.9200        | 170.00               | 6.38                | 0.00               | 6.38              | 75.0 | 4.79                 |
| 03/05/2018  | ***-**-0911 - SPAZIANI,<br>ACCOUNT #: 00082570536        | THE GROWTH FUND OF AMERICA-A<br>BUY 1 Units @54.9200        | 55.00                | 2.06                | 0.00               | 2.06              | 75.0 | 1.55                 |
| 03/07/2018  | ***-**-1909 - BASHANT, MATTHEW<br>ACCOUNT #: 00085839015 | THE GROWTH FUND OF AMERICA-A<br>BUY 1 Units @54.7700        | 36.00                | 0.99                | 0.00               | 0.99              | 75.0 | 0.74                 |
| 03/05/2018  | ***-**-7598 - HUMPF, MINDI<br>ACCOUNT #: 00085514851     | THE INCOME FUND OF AMERICA-A<br>BUY 1 Units @23.9400        | 25.00                | 0.69                | 0.00               | 0.69              | 75.0 | 0.52                 |



# COMMISSION STATEMENT

## March 19, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

| DATE  | CLIENT                         | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>            |                                |                                     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b> |                                |                                     |                      |                     |                    |                   |      |                      |
| 03/05/2018  | ***-**-0911 - SPAZIANI,        | THE INCOME FUND OF AMERICA-A        | 135.00               | 5.06                | 0.00               | 5.06              | 75.0 | 3.80                 |
|   | ACCOUNT #: 00082570536         | BUY 6 Units @24.1900                |                      |                     |                    |                   |      |                      |
| 03/05/2018  | ***-**-0939 - LAWRENCE, JASON  | THE INCOME FUND OF AMERICA-A        | 60.00                | 3.00                | 0.00               | 3.00              | 75.0 | 2.25                 |
|   | ACCOUNT #: 00087909097         | BUY 2 Units @24.5100                |                      |                     |                    |                   |      |                      |
| 03/07/2018  | ***-**-1909 - BASHANT, MATTHEW | THE INCOME FUND OF AMERICA-A        | 25.50                | 0.70                | 0.00               | 0.70              | 75.0 | 0.53                 |
|   | ACCOUNT #: 00085839015         | BUY 1 Units @23.9700                |                      |                     |                    |                   |      |                      |
| <b>* MUTUAL FUNDS SUBTOTAL *</b>                  |                                |                                     | <b>\$3,447.77</b>    | <b>\$113.73</b>     | <b>\$0.00</b>      | <b>\$113.73</b>   |      | <b>\$85.35</b>       |
| <b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>       |                                |                                     |                      |                     |                    |                   |      |                      |
| 03/13/2018  | ***-**-9500 - KELLER, BILLIE   | AXA EQUITABLE RETIREMENT CORNERSTON | 6,500.00             | 325.00              | 0.00               | 325.00            | 75.0 | 243.75               |
|   |                                | BUY 1 Units @6500.0000              |                      |                     |                    |                   |      |                      |
| 03/13/2018  | ***-**-9692 - KELLER, KENNETH  | CHOICEPLUS ANNUITY                  | 6,500.00             | 373.75              | 0.00               | 373.75            | 75.0 | 280.31               |
|   |                                | BUY 1 Units @6500.0000              |                      |                     |                    |                   |      |                      |
| <b>* VARIABLE ANNUITIES SUBTOTAL *</b>            |                                |                                     | <b>\$13,000.00</b>   | <b>\$698.75</b>     | <b>\$0.00</b>      | <b>\$698.75</b>   |      | <b>\$524.06</b>      |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>  |                                |                                     |                      |                     |                    |                   |      |                      |
| 03/19/2018  | ***-**-108X - FOREST, NSPS     | AMCAP FUND-A                        | 0.00                 | 0.29                | 0.00               | 0.29              | 75.0 | 0.22                 |
|   | ACCOUNT #: LSR010522           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018  | ***-**-78IO - DONOVAN, FBO     | AMERICAN BALANCED FUND-A            | 0.00                 | 0.63                | 0.00               | 0.63              | 75.0 | 0.47                 |
|   | ACCOUNT #: LSR010557           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018  | ***-**-190X - HULL, JENNIFER   | AMERICAN BALANCED FUND-A            | 0.00                 | 0.22                | 0.00               | 0.22              | 75.0 | 0.17                 |
|   | ACCOUNT #: LSR010646           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018  | ***-**-09IO - CAREY, FBO       | AMERICAN BALANCED FUND-A            | 0.00                 | 4.90                | 0.00               | 4.90              | 75.0 | 3.68                 |
|   | ACCOUNT #: LSR011076           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018  | ***-**-108X - FOREST, NSPS     | AMERICAN BALANCED FUND-A            | 0.00                 | 1.53                | 0.00               | 1.53              | 75.0 | 1.15                 |
|   | ACCOUNT #: LSR010522           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018  | ***-**-653X - WERNER, FBO EVAN | AMERICAN BALANCED FUND-A            | 0.00                 | 1.14                | 0.00               | 1.14              | 75.0 | 0.86                 |
|   | ACCOUNT #: LSR010638           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018  | ***-**-641X - BULLARO, FBO     | AMERICAN BALANCED FUND-A            | 0.00                 | 0.62                | 0.00               | 0.62              | 75.0 | 0.47                 |
|   | ACCOUNT #: LSR010641           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018  | ***-**-435X - KEITH, FBO       | AMERICAN BALANCED FUND-A            | 0.00                 | 0.62                | 0.00               | 0.62              | 75.0 | 0.47                 |
|   | ACCOUNT #: LSR010643           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |



# COMMISSION STATEMENT

## March 19, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

| DATE   | CLIENT   | INVESTMENT  | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>                       |  |   |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b> |  |   |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-721X - VAILLANCOURT,<br>ACCOUNT #: LSR010644    | AMERICAN BALANCED FUND-A<br>BUY 1 Units @0.0000           | 0.00                 | 0.19                | 0.00               | 0.19              | 75.0 | 0.14                 |
| 03/19/2018   | ***-**-008X - HICKS, FBO<br>ACCOUNT #: LSR010645       | AMERICAN BALANCED FUND-A<br>BUY 1 Units @0.0000           | 0.00                 | 0.91                | 0.00               | 0.91              | 75.0 | 0.68                 |
| 03/19/2018   | ***-**-653I - WERNER, EVAN<br>ACCOUNT #: LSR010661     | AMERICAN BALANCED FUND-A<br>BUY 1 Units @0.0000           | 0.00                 | 2.29                | 0.00               | 2.29              | 75.0 | 1.72                 |
| 03/19/2018   | ***-**-051R - DAVIS, STEPHEN<br>ACCOUNT #: LSR010693   | AMERICAN BALANCED FUND-A<br>BUY 1 Units @0.0000           | 0.00                 | 1.70                | 0.00               | 1.70              | 75.0 | 1.28                 |
| 03/19/2018   | ***-**-722I - SCOONES, FBO<br>ACCOUNT #: LSR010722     | AMERICAN BALANCED FUND-A<br>BUY 1 Units @0.0000           | 0.00                 | 5.89                | 0.00               | 5.89              | 75.0 | 4.42                 |
| 03/19/2018   | ***-**-7767 - DONOVAN, WILLIAM<br>ACCOUNT #: LSR012405 | AMERICAN BALANCED FUND-A<br>BUY 1 Units @0.0000           | 0.00                 | 1.96                | 0.00               | 1.96              | 75.0 | 1.47                 |
| 03/19/2018   | ***-**-091O - CAREY, FBO<br>ACCOUNT #: LSR011076       | AMERICAN FUNDS 2035 TARGET DATE-A<br>BUY 1 Units @0.0000  | 0.00                 | 4.74                | 0.00               | 4.74              | 75.0 | 3.56                 |
| 03/19/2018   | ***-**-091R - CAREY, FBO<br>ACCOUNT #: LSR011102       | AMERICAN FUNDS 2035 TARGET DATE-A<br>BUY 1 Units @0.0000  | 0.00                 | 0.12                | 0.00               | 0.12              | 75.0 | 0.09                 |
| 03/19/2018   | ***-**-595X - WERNER, FBO<br>ACCOUNT #: LSR010640      | AMERICAN HIGH-INCOME TRUST-A<br>BUY 1 Units @0.0000       | 0.00                 | 0.61                | 0.00               | 0.61              | 75.0 | 0.46                 |
| 03/19/2018   | ***-**-595I - WERNER, GWENN<br>ACCOUNT #: LSR010658    | AMERICAN HIGH-INCOME TRUST-A<br>BUY 1 Units @0.0000       | 0.00                 | 2.37                | 0.00               | 2.37              | 75.0 | 1.78                 |
| 03/19/2018   | ***-**-722I - SCOONES, FBO<br>ACCOUNT #: LSR010722     | AMERICAN HIGH-INCOME TRUST-A<br>BUY 1 Units @0.0000       | 0.00                 | 4.34                | 0.00               | 4.34              | 75.0 | 3.26                 |
| 03/19/2018   | ***-**-336J - GETCHELL, DIANNE<br>ACCOUNT #: LSR011100 | AMERICAN HIGH-INCOME TRUST-A<br>BUY 1 Units @0.0000       | 0.00                 | 0.19                | 0.00               | 0.19              | 75.0 | 0.14                 |
| 03/19/2018   | ***-**-336I - GETCHELL, FBO<br>ACCOUNT #: LSR011101    | AMERICAN HIGH-INCOME TRUST-A<br>BUY 1 Units @0.0000       | 0.00                 | 0.27                | 0.00               | 0.27              | 75.0 | 0.20                 |
| 03/19/2018   | ***-**-7767 - DONOVAN, WILLIAM<br>ACCOUNT #: LSR012405 | AMERICAN HIGH-INCOME TRUST-A<br>BUY 1 Units @0.0000       | 0.00                 | 1.99                | 0.00               | 1.99              | 75.0 | 1.49                 |
| 03/19/2018   | ***-**-336J - GETCHELL, DIANNE<br>ACCOUNT #: LSR011100 | AMERICAN TAX EXEMPT OF NEW YORK CL<br>BUY 1 Units @0.0000 | 0.00                 | 0.20                | 0.00               | 0.20              | 75.0 | 0.15                 |
| 03/19/2018   | ***-**-595I - WERNER, GWENN<br>ACCOUNT #: LSR010658    | CAPITAL INCOME BUILDER-A<br>BUY 1 Units @0.0000           | 0.00                 | 2.42                | 0.00               | 2.42              | 75.0 | 1.82                 |



# COMMISSION STATEMENT

March 19, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

| DATE   | CLIENT                         | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>                       |                                |                                     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b> |                                |                                     |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-7767 - DONOVAN, WILLIAM | CAPITAL INCOME BUILDER-A            | 0.00                 | 1.47                | 0.00               | 1.47              | 75.0 | 1.10                 |
|  | ACCOUNT #: LSR012405           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-108X - FOREST, NSPS     | CAPITAL WORLD BOND FUND-A           | 0.00                 | 0.54                | 0.00               | 0.54              | 75.0 | 0.41                 |
|  | ACCOUNT #: LSR010522           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-108X - FOREST, NSPS     | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00                 | 1.10                | 0.00               | 1.10              | 75.0 | 0.83                 |
|  | ACCOUNT #: LSR010522           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-653X - WERNER, FBO EVAN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00                 | 1.16                | 0.00               | 1.16              | 75.0 | 0.87                 |
|  | ACCOUNT #: LSR010638           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-653I - WERNER, EVAN     | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00                 | 1.72                | 0.00               | 1.72              | 75.0 | 1.29                 |
|  | ACCOUNT #: LSR010661           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-722I - SCOONES, FBO     | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00                 | 3.40                | 0.00               | 3.40              | 75.0 | 2.55                 |
|  | ACCOUNT #: LSR010722           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-7767 - DONOVAN, WILLIAM | EUROPACIFIC GROWTH FUND-A           | 0.00                 | 0.99                | 0.00               | 0.99              | 75.0 | 0.74                 |
|  | ACCOUNT #: LSR012405           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-595X - WERNER, FBO      | FUNDAMENTAL INVESTORS-A             | 0.00                 | 1.15                | 0.00               | 1.15              | 75.0 | 0.86                 |
|  | ACCOUNT #: LSR010640           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-595I - WERNER, GWENN    | FUNDAMENTAL INVESTORS-A             | 0.00                 | 3.02                | 0.00               | 3.02              | 75.0 | 2.27                 |
|  | ACCOUNT #: LSR010658           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-108X - FOREST, NSPS     | THE BOND FUND OF AMERICA-A          | 0.00                 | 0.64                | 0.00               | 0.64              | 75.0 | 0.48                 |
|  | ACCOUNT #: LSR010522           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-722I - SCOONES, FBO     | THE BOND FUND OF AMERICA-A          | 0.00                 | 1.99                | 0.00               | 1.99              | 75.0 | 1.49                 |
|  | ACCOUNT #: LSR010722           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-09IO - CAREY, FBO       | THE GROWTH FUND OF AMERICA-A        | 0.00                 | 5.67                | 0.00               | 5.67              | 75.0 | 4.25                 |
|  | ACCOUNT #: LSR011076           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-653X - WERNER, FBO EVAN | THE GROWTH FUND OF AMERICA-A        | 0.00                 | 1.16                | 0.00               | 1.16              | 75.0 | 0.87                 |
|  | ACCOUNT #: LSR010638           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-595I - WERNER, GWENN    | THE GROWTH FUND OF AMERICA-A        | 0.00                 | 3.05                | 0.00               | 3.05              | 75.0 | 2.29                 |
|  | ACCOUNT #: LSR010658           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-722I - SCOONES, FBO     | THE GROWTH FUND OF AMERICA-A        | 0.00                 | 5.09                | 0.00               | 5.09              | 75.0 | 3.82                 |
|  | ACCOUNT #: LSR010722           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-149X - PTAK, MATTHEW    | THE GROWTH FUND OF AMERICA-A        | 0.00                 | 0.12                | 0.00               | 0.12              | 75.0 | 0.09                 |
|  | ACCOUNT #: LSR011220           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |



# COMMISSION STATEMENT

March 19, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

| DATE   | CLIENT                                      | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|---|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>                       |   |                                     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b> |   |                                     |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-7767 - DONOVAN, WILLIAM              | THE GROWTH FUND OF AMERICA-A        | 0.00                 | 1.48                | 0.00               | 1.48              | 75.0 | 1.11                 |
|  | ACCOUNT #: LSR012405                        | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-0910 - CAREY, FBO                    | THE INCOME FUND OF AMERICA-A        | 0.00                 | 4.84                | 0.00               | 4.84              | 75.0 | 3.63                 |
|  | ACCOUNT #: LSR011076                        | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-108X - FOREST, NSPS                  | THE INCOME FUND OF AMERICA-A        | 0.00                 | 1.68                | 0.00               | 1.68              | 75.0 | 1.26                 |
|  | ACCOUNT #: LSR010522                        | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-595X - WERNER, FBO                   | THE INCOME FUND OF AMERICA-A        | 0.00                 | 1.16                | 0.00               | 1.16              | 75.0 | 0.87                 |
|  | ACCOUNT #: LSR010640                        | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-595I - WERNER, GWENN                 | THE INCOME FUND OF AMERICA-A        | 0.00                 | 2.56                | 0.00               | 2.56              | 75.0 | 1.92                 |
|  | ACCOUNT #: LSR010658                        | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-7767 - DONOVAN, WILLIAM              | THE INCOME FUND OF AMERICA-A        | 0.00                 | 1.96                | 0.00               | 1.96              | 75.0 | 1.47                 |
|  | ACCOUNT #: LSR012405                        | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-336I - GETCHELL, FBO                 | THE INVESTMENT COMPANY OF AMERICA-A | 0.00                 | 0.31                | 0.00               | 0.31              | 75.0 | 0.23                 |
|  | ACCOUNT #: LSR011101                        | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
|  | <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b> |                                     | <b>\$0.00</b>        | <b>\$86.40</b>      | <b>\$0.00</b>      | <b>\$86.40</b>    |      | <b>\$64.85</b>       |
|  | <b>*** BROKER TRANSACTIONS TOTAL ***</b>    |                                     | <b>\$16,447.77</b>   | <b>\$898.88</b>     | <b>\$0.00</b>      | <b>\$898.88</b>   |      | <b>\$674.26</b>      |



# COMMISSION STATEMENT

March 19, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

| DATE   | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION     | RATE | BROKER<br>COMMISSION |
|--|--------|------------|----------------------|---------------------|--------------------|-----------------------|------|----------------------|
| <b><u>BROKER COMMISSION TOTALS</u></b>                                 |        |            |                      |                     |                    |                       |      |                      |
|  |        |            |                      |                     |                    | Broker Transactions   |      | \$674.26             |
|  |        |            |                      |                     |                    | Split Transactions    |      | \$0.00               |
|  |        |            |                      |                     |                    | Override Transactions |      | \$0.00               |
|  |        |            |                      |                     |                    | Adjustments           |      | \$0.00               |
|  |        |            |                      |                     |                    | Payroll Draw          |      | \$0.00               |
|  |        |            |                      |                     |                    | Base Salary           |      | \$0.00               |
|  |        |            |                      |                     |                    | Prior Period Balance  |      | \$0.00               |
|  |        |            |                      |                     |                    | FINRA Assessment      |      | \$0.00               |
|  |        |            |                      |                     |                    | SIPC Assessment       |      | \$0.00               |
| Please Retain for Your Records<br>THERE WILL BE NO PAYMENT THIS PERIOD |        |            |                      |                     |                    |                       |      |                      |
| <b>Balance Forward</b>   |        |            |                      |                     |                    |                       |      | <b>\$0.00</b>        |
| <b>Year-to-date Earnings</b>   |        |            |                      |                     |                    |                       |      | <b>\$3,070.24</b>    |