



All Companies
COMMISSION STATEMENT
April 02, 2018

03/29/2018
Page No. 1

SIGNAL POOL

BROKER #: 9623
BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

03/20/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	400.20	400.20	0.00	400.20	100.0	400.20
* VARIABLE ANNUITIES SUBTOTAL *				\$400.20	\$400.20	\$0.00	\$400.20		\$400.20

PRODUCT CATEGORY: RIA

01/12/2018 15626417 VILBIG, MOLLYE S	SIGNAL SECURITIES RIA	B	0.00	347.34	0.00	347.34	100.0	347.34
* RIA SUBTOTAL *			\$0.00	\$347.34	\$0.00	\$347.34		\$347.34
*** BROKER TRANSACTIONS TOTAL ***			\$400.20	\$747.54	\$0.00	\$747.54		\$747.54

SPLIT TRANSACTIONS

BROKER: ROTHSCHILD/POOL #9629

03/19/2018	000000000026397	BUSA, JOSEPHINE JANE	FRK LIFESMART 2015 RTMT TARGET - CL B		75,121.98	841.37	0.00	841.37	100.0	841.37
03/20/2018	0000000000894129	BUSA, JOSEPHINE JANE	FRANKLIN VALUE FD CL II	B	50.00	0.20	0.00	0.20	100.0	0.20
03/19/2018	00061397698	RIVARD, JOHN M	AMERICAN FUNDS INVESTMENT COMP OF A B		65.00	0.72	0.00	0.72	100.0	0.72
03/15/2018	00061957268	SMITH, MARK	AMERICAN FUNDS INVESTMENT COMP OF A B		100.00	1.10	0.00	1.10	100.0	1.10
03/19/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS BD FD OF AMER FD B		62.50	0.69	0.00	0.69	100.0	0.69
03/19/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS INCOME FD OF AMERI B		62.50	0.69	0.00	0.69	100.0	0.69
03/19/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS NEW PERSPECTIVE FD B		62.50	0.69	0.00	0.69	100.0	0.69
03/19/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS WA MUTUAL INVES B		62.50	0.69	0.00	0.69	100.0	0.69
03/15/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS INCOME FD OF AMERI B		50.00	1.00	0.00	1.00	100.0	1.00
03/15/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	1.00	0.00	1.00	100.0	1.00
03/15/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	1.00	0.00	1.00	100.0	1.00
03/15/2018	00067724745	ANGELO, CONCORDIA/MILES	AMERICAN FUNDS - GENERAL	B	30.00	0.36	0.00	0.36	100.0	0.36
03/15/2018	00067724745	ANGELO, CONCORDIA/MILES	AMERICAN MUTUAL FUND-529A	B	30.00	0.51	0.00	0.51	100.0	0.51
03/15/2018	00067724745	ANGELO, CONCORDIA/MILES	CAPITAL WORLD BOND FUND-529A	B	30.00	0.36	0.00	0.36	100.0	0.36

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: ROTHSCHILD/POOL #9629									
03/15/2018	00067724745 ANGELO, CONCORDIA/MILES	THE INCOME FUND OF AMERICA-529A	B	30.00	0.51	0.00	0.51	100.0	0.51
03/15/2018	00067724745 ANGELO, CONCORDIA/MILES	THE NEW ECONOMY FUND-529A	B	30.00	0.51	0.00	0.51	100.0	0.51
03/16/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD	B	203.08	2.44	0.00	2.44	100.0	2.44
03/16/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD	B	203.08	2.44	0.00	2.44	100.0	2.44
03/16/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B		209.24	4.18	0.00	4.18	100.0	4.18
03/16/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B		209.24	4.18	0.00	4.18	100.0	4.18
03/16/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	203.08	4.06	0.00	4.06	100.0	4.06
03/16/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	203.08	4.06	0.00	4.06	100.0	4.06
03/23/2018	00075957331 SEIBERT, STEVEN	AMERICAN FUNDS CAPITAL WORLD GROWT B		665.00	5.32	0.00	5.32	100.0	5.32
03/21/2018	00082344402 BRISTOL, JR., JAMES A	AMERICAN FUNDS NEW PERSPECTIVE FD	B	6,500.00	97.50	0.00	97.50	100.0	97.50
03/15/2018	00082838137 HODGES, MICHAEL L	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	0.85	0.00	0.85	100.0	0.85
03/15/2018	00084382054 ROBERTS, ALEXXA	AMERICAN FUNDS BD FD OF AMER FD	B	50.00	0.60	0.00	0.60	100.0	0.60
03/15/2018	00084382054 ROBERTS, ALEXXA	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	1.00	0.00	1.00	100.0	1.00
03/15/2018	00084382054 ROBERTS, ALEXXA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.00	0.00	1.00	100.0	1.00
03/15/2018	00085777888 BABAO, ROMMEL/ISABELLE	NEW PERSPECTIVE FUND-529C	B	100.00	0.40	0.00	0.40	100.0	0.40
03/15/2018	00085777888 BABAO, ROMMEL/ISABELLE	THE GROWTH FUND OF AMERICA-529C	B	100.00	0.40	0.00	0.40	100.0	0.40
03/15/2018	00085777916 BABAO, ROMMEL/RAPHAEL	AMERICAN BALANCED FUND-529C	B	50.00	0.20	0.00	0.20	100.0	0.20
03/15/2018	00085777916 BABAO, ROMMEL/RAPHAEL	FUNDAMENTAL INVESTORS-529C	B	100.00	0.40	0.00	0.40	100.0	0.40
03/15/2018	00085777916 BABAO, ROMMEL/RAPHAEL	NEW PERSPECTIVE FUND-529C	B	100.00	0.40	0.00	0.40	100.0	0.40
03/15/2018	00085777916 BABAO, ROMMEL/RAPHAEL	THE GROWTH FUND OF AMERICA-529C	B	200.00	0.80	0.00	0.80	100.0	0.80
03/20/2018	00087441316 DAMRON/HUPP, MARTHA/ABIGAIL	COLLEGE 2018 FUND-529A	B	50.00	0.70	0.00	0.70	100.0	0.70
03/20/2018	00087716306 ARCHER, JENNIFER	GROWTH PORTFOLIO-A	B	5,500.00	44.00	0.00	44.00	100.0	44.00
03/19/2018	00088056101 PYLE, DYLAN	AMERICAN FUNDS INVESTMENT COMP OF A B		45.00	0.76	0.00	0.76	100.0	0.76
* ROTHSCHILD/POOL SUBTOTAL *				<u>\$90,727.78</u>	<u>\$1,027.09</u>	<u>\$0.00</u>	<u>\$1,027.09</u>		<u>\$1,027.09</u>
BROKER: WILLIAMS/HOUSE #9640									
03/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	3.11	1.24	0.00	1.24	100.0	1.24
* WILLIAMS/HOUSE SUBTOTAL *				<u>\$3.11</u>	<u>\$1.24</u>	<u>\$0.00</u>	<u>\$1.24</u>		<u>\$1.24</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$90,730.89</u>	<u>\$1,028.33</u>	<u>\$0.00</u>	<u>\$1,028.33</u>		<u>\$1,028.33</u>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JUDE RAMON L. BARCENAS #9620									
02/26/2018	DEVEZA, MICHAEL NORMAN	-PACIFIC LIFE INSURANCE	B	29.25	0.88	0.00	0.88		0.01
02/26/2018	DEVEZA, NOEMLINA ONG	-PACIFIC LIFE INSURANCE	B	26.92	0.81	0.00	0.81		0.01
02/08/2018	HAMMETT, CATHERINE M.	LINCOLN BENEFIT LIFE	B	29.45	0.96	0.00	0.96		0.01
02/13/2018	AFRICA, YOLANDA E	LINCOLN BENEFIT LIFE	B	31.42	1.02	0.00	1.02		0.01
02/18/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	49.03	1.59	0.00	1.59		0.02
02/18/2018	CALUNGSUD, KELLY M.	LINCOLN BENEFIT LIFE	B	20.00	0.65	0.00	0.65		0.01
02/18/2018	CRUZ, HAYLEY T.	LINCOLN BENEFIT LIFE	B	97.54	3.17	0.00	3.17		0.03
02/18/2018	AUSTRIA, FELIX	LINCOLN BENEFIT LIFE	B	127.00	4.13	0.00	4.13		0.04
02/28/2018	TRAILS	LINCOLN BENEFIT LIFE	B	63.16	63.16	0.00	63.16		0.63
02/28/2018	VALDENOR, MARGARET O.	LINCOLN BENEFIT LIFE	B	222.00	8.33	0.00	8.33		0.08
02/28/2018	GERIO, EMMA B.	LINCOLN BENEFIT LIFE	B	43.00	1.40	0.00	1.40		0.01
02/28/2018	CAMAGANACAN, CLIFORD JOHN	LINCOLN BENEFIT LIFE	B	48.18	1.57	0.00	1.57		0.02
03/05/2018	TRAILS	LINCOLN BENEFIT LIFE	B	9.75	9.75	0.00	9.75		0.10
03/13/2018	TRAILS	LINCOLN BENEFIT LIFE	B	1.43	1.43	0.00	1.43		0.01
03/05/2018	000000000011193 CABRERA, IVY/CHARLES	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	0.50	0.00	0.50		0.01
03/05/2018	000000000026245 BUSA, JOSEPHINE JANE	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	0.50	0.00	0.50		0.01
03/05/2018	0000000000188476 CABRERA, IVY/CHARLES	FRANKLIN TOTAL RETURN FUND - CLASS B	B	50.00	0.50	0.00	0.50		0.01
03/05/2018	0000000000870307 CABRERA, IVY/CHARLES	FRANKLIN STRATEGIC INC FD CL II B	B	50.00	0.50	0.00	0.50		0.01
03/05/2018	0000000001603101 CABRERA, IVY/CHARLES	FRANKLIN TEMPLETON DEVELOPING MARKE B	B	50.00	0.50	0.00	0.50		0.01
03/12/2018	0000000006329360 EVANGELISTA, AURELIO/MONIQUE	529 GROWTH & INCOME - C	B	50.00	0.50	0.00	0.50		0.01
03/12/2018	0000000006329360 EVANGELISTA, AURELIO/MONIQUE	529 SMALL-MID CAP GROWTH C	B	50.00	0.50	0.00	0.50		0.01
03/12/2018	0000000006330997 EVANGELISTA, AURELIO/BEATRIC	529 GROWTH & INCOME - C	B	75.00	0.75	0.00	0.75		0.01
03/15/2018	00006634371 JOSE, ANICIA P.	FIDELITY ADVISORS EQUITY INCM FD CL B	B	100.00	2.50	0.00	2.50		0.03
03/15/2018	00006634379 JOSE, ANTONIO C.	FIDELITY ADVISORS GRWTH OPPOR FD C B	B	100.00	2.50	0.00	2.50		0.03
03/12/2018	00059867817 AUSTRIA, FELIX	AMERICAN FUNDS INVESTMENT COMP OF A B	B	50.00	2.50	0.00	2.50		0.03
03/12/2018	00060555032 JOSE, ANTONIO C.	AMERICAN FUNDS NEW ECONOMY FD	B	50.00	1.88	0.00	1.88		0.02
03/12/2018	00060555033 JOSE, ANICIA P.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88		0.02
03/12/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50		0.02
03/12/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS CAPITAL WORLD BD FD B	B	40.00	1.20	0.00	1.20		0.01
03/12/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20		0.01
03/12/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS INCOME FD OF AMERI B	B	40.00	1.50	0.00	1.50		0.02
03/12/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50		0.02

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<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JUDE RAMON L. BARCENAS #9620 </u>									
03/12/2018	00061689866 JAVELOSA, SANDRA M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		200.00	7.50	0.00	7.50		0.08
03/15/2018	00062049074 CALUNGSUD, KELLY M.	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	1.88	0.00	1.88		0.02
03/15/2018	00062049074 CALUNGSUD, KELLY M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	1.88	0.00	1.88		0.02
03/15/2018	00062049074 CALUNGSUD, KELLY M.	AMERICAN FUNDS HIGH INCOME TR FD B		50.00	1.50	0.00	1.50		0.02
03/15/2018	00062049074 CALUNGSUD, KELLY M.	NEW WORLD FUND-A	B	50.00	1.88	0.00	1.88		0.02
03/15/2018	00064071443 LABRADOR, THERESA/DANIEL	AMERICAN FUNDS AMERICAN MUTUAL FD B		20.00	1.00	0.00	1.00		0.01
03/15/2018	00064071443 LABRADOR, THERESA/DANIEL	AMERICAN FUNDS CAPITAL WORLD BD FD B		20.00	0.60	0.00	0.60		0.01
03/15/2018	00064071443 LABRADOR, THERESA/DANIEL	AMERICAN FUNDS HIGH INCOME TR FD B		20.00	0.60	0.00	0.60		0.01
03/15/2018	00064071443 LABRADOR, THERESA/DANIEL	AMERICAN FUNDS INCOME FD OF AMERI B		20.00	1.00	0.00	1.00		0.01
03/15/2018	00064071443 LABRADOR, THERESA/DANIEL	AMERICAN FUNDS NEW ECONOMY FD	B	20.00	1.00	0.00	1.00		0.01
03/15/2018	00066945809 BARCENAS, RODOLFO L.	AMERICAN HIGH-INCOME TRUST-C	B	110.00	1.10	0.00	1.10		0.01
03/15/2018	00066945809 BARCENAS, RODOLFO L.	AMERICAN MUTUAL FUND-C	B	100.00	1.00	0.00	1.00		0.01
03/15/2018	00066945809 BARCENAS, RODOLFO L.	CAPITAL WORLD BOND FUND-C	B	80.00	0.80	0.00	0.80		0.01
03/15/2018	00066945809 BARCENAS, RODOLFO L.	THE INCOME FUND OF AMERICA-C	B	110.00	1.10	0.00	1.10		0.01
03/15/2018	00066945809 BARCENAS, RODOLFO L.	THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00		0.01
03/12/2018	00073447265 JUMAO-AS, LAUREN	AMERICAN FUNDS - GENERAL	B	20.00	0.60	0.00	0.60		0.01
03/12/2018	00073447265 JUMAO-AS, LAUREN	AMERICAN MUTUAL FUND-529A	B	20.00	1.00	0.00	1.00		0.01
03/12/2018	00073447265 JUMAO-AS, LAUREN	CAPITAL WORLD BOND FUND-529A	B	20.00	0.60	0.00	0.60		0.01
03/12/2018	00073447265 JUMAO-AS, LAUREN	THE INCOME FUND OF AMERICA-529A	B	20.00	1.00	0.00	1.00		0.01
03/12/2018	00073447265 JUMAO-AS, LAUREN	THE NEW ECONOMY FUND-529A	B	20.00	1.00	0.00	1.00		0.01
03/15/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	AMERICAN FUNDS - GENERAL	B	30.00	0.83	0.00	0.83		0.01
03/15/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	AMERICAN MUTUAL FUND-529A	B	30.00	0.83	0.00	0.83		0.01
03/15/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	CAPITAL WORLD BOND FUND-529A	B	30.00	0.83	0.00	0.83		0.01

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OVERRIDE TRANSACTIONS									
BROKER: JUDE RAMON L. BARCENAS #9620									
	JUDELIA/ISABEL								
03/15/2018	00073580088	FILOTEO-GONZALES, THE INCOME FUND OF AMERICA-529A	B	30.00	0.83	0.00	0.83		0.01
	JUDELIA/ISABEL								
03/15/2018	00073580088	FILOTEO-GONZALES, THE NEW ECONOMY FUND-529A	B	30.00	0.83	0.00	0.83		0.01
	JUDELIA/ISABEL								
03/15/2018	00075871895	SEGUNDO, CAPITAL WORLD GROWTH AND INCOME-529	B	50.00	2.50	0.00	2.50		0.03
	LORENCE/DANIEL								
03/20/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50		0.02
03/20/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS CAPITAL WORLD	BD FD B	40.00	1.20	0.00	1.20		0.01
03/20/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS HIGH INCOME TR	FD B	40.00	1.20	0.00	1.20		0.01
03/20/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS INCOME FD OF	AMERI B	40.00	1.50	0.00	1.50		0.02
03/20/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50		0.02
03/20/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50		0.02
03/20/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS CAPITAL WORLD	BD FD B	40.00	1.20	0.00	1.20		0.01
03/20/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS HIGH INCOME TR	FD B	40.00	1.20	0.00	1.20		0.01
03/20/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS INCOME FD OF	AMERI B	40.00	1.50	0.00	1.50		0.02
03/20/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50		0.02
03/15/2018	00081127981	GARCIA, AMERICAN FUNDS - GENERAL	B	30.00	0.90	0.00	0.90		0.01
	RODOLFO/RODIVIC								
03/15/2018	00081127981	GARCIA, AMERICAN MUTUAL FUND-529A	B	30.00	1.13	0.00	1.13		0.01
	RODOLFO/RODIVIC								
03/15/2018	00081127981	GARCIA, CAPITAL WORLD BOND FUND-529A	B	30.00	0.90	0.00	0.90		0.01
	RODOLFO/RODIVIC								
03/15/2018	00081127981	GARCIA, THE INCOME FUND OF AMERICA-529A	B	30.00	1.13	0.00	1.13		0.01
	RODOLFO/RODIVIC								
03/15/2018	00081127981	GARCIA, THE NEW ECONOMY FUND-529A	B	30.00	1.13	0.00	1.13		0.01
	RODOLFO/RODIVIC								
03/12/2018	00081663389	BUSA, JOSEPHINE AMERICAN FUNDS HIGH INCOME TR	FD B	50.00	1.50	0.00	1.50		0.02
	JANE								
03/12/2018	00081663389	BUSA, JOSEPHINE AMERICAN FUNDS NEW ECONOMY FD	B	50.00	2.50	0.00	2.50		0.03
	JANE								
03/15/2018	00082732703	CABRERA, IVY AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	4.25	0.00	4.25		0.04
03/15/2018	00083580212	MASINAG, AMERICAN FUNDS - GENERAL	B	100.00	3.00	0.00	3.00		0.03
	NELSON/KEVIN								
03/15/2018	00083580212	MASINAG, AMERICAN MUTUAL FUND-529A	B	100.00	3.75	0.00	3.75		0.04
	NELSON/KEVIN								
03/15/2018	00083580212	MASINAG, CAPITAL WORLD BOND FUND-529A	B	100.00	3.00	0.00	3.00		0.03
	NELSON/KEVIN								
03/15/2018	00083580212	MASINAG, THE INCOME FUND OF AMERICA-529A	B	100.00	3.75	0.00	3.75		0.04
	NELSON/KEVIN								

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<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JUDE RAMON L. BARCENAS #9620 </u>									
03/15/2018	00083580212 MASINAG, NELSON/KEVIN	THE NEW ECONOMY FUND-529A	B	100.00	3.75	0.00	3.75		0.04
03/12/2018	00087696729 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00		0.05
03/12/2018	00087696759 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00		0.05
03/12/2018	04038841757 JOSE, ANTONIO C.	AIM ENERGY FUND - CLASS A	B	50.00	2.50	0.00	2.50		0.03
03/15/2018	2203006058 TACUYAN, EVA/ANGELINE	OPPENHEIMER US GOVT TRUST FD CL A	B	100.00	2.00	0.00	2.00		0.02
03/01/2018	2203006069 TACUYAN, JERRY	OPPENHEIMER US GOVT TRUST FD CL A	B	50.00	1.00	0.00	1.00		0.01
03/15/2018	2304545742 TACUYAN, EVA/ANGELINE	OPPENHEIMER STRATEGIC INCOME FD CL B	B	100.00	3.75	0.00	3.75		0.04
03/01/2018	2304545753 TACUYAN, JERRY	OPPENHEIMER STRATEGIC INCOME FD CL B	B	50.00	1.88	0.00	1.88		0.02
 * JUDE RAMON L. BARCENAS SUBTOTAL * 				\$4,873.13	\$221.57	\$0.00	\$221.57		\$2.37
<u> BROKER: THOMPSON/SIGNAL #9622 </u>									
01/12/2018	35296442 DAUM (SEPARATE PROP), JERRY F	SIGNAL SECURITIES RIA	B	0.00	5,603.66	0.00	5,603.66		364.24
 * THOMPSON/SIGNAL SUBTOTAL * 				\$0.00	\$5,603.66	\$0.00	\$5,603.66		\$364.24
<u> BROKER: MATTHEW THOMAS KUNZ #9631 </u>									
01/12/2018	11304428 OREO, KARENLEE M	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00		0.07
01/12/2018	14454837 KUNZ, GEORGE JAMES	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00		0.08
01/12/2018	18165138 GARCIA, ERICK	SIGNAL SECURITIES RIA	B	0.00	24.34	0.00	24.34		0.24
01/12/2018	19423693 MASSEY, ROY	SIGNAL SECURITIES RIA	B	0.00	70.00	0.00	70.00		0.70
01/12/2018	30098457 BITTNER, DEBBIE	SIGNAL SECURITIES RIA	B	0.00	47.66	0.00	47.66		0.48
01/12/2018	34357806 BITTNER, MARK & DEBBIE	SIGNAL SECURITIES RIA	B	0.00	5.34	0.00	5.34		0.05
01/12/2018	35223174 COULTER, JEFF & MARY	SIGNAL SECURITIES RIA	B	0.00	145.34	0.00	145.34		1.45
01/12/2018	35873038 LARGE/OREO, LANCE C. & KARE	SIGNAL SECURITIES RIA	B	0.00	5.34	0.00	5.34		0.05
01/12/2018	36130788 HABEGGER, TIM FBO RILEY	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00		0.07
01/12/2018	36635455 GIANNINO, RICHARD & ELLEN	SIGNAL SECURITIES RIA	B	0.00	181.34	0.00	181.34		1.81
01/12/2018	39035372 LAMMERS, JILL	SIGNAL SECURITIES RIA	B	0.00	44.00	0.00	44.00		0.44
01/12/2018	41063658 KUNZ, MARY SUE	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00		0.08
01/12/2018	43666774 DOSTER, JIM & STEPHANIE	SIGNAL SECURITIES RIA	B	0.00	199.66	0.00	199.66		2.00
01/12/2018	46130317 MCCLEARY, PAMELA R	SIGNAL SECURITIES RIA	B	0.00	10.34	0.00	10.34		0.10

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
BROKER: MATTHEW THOMAS KUNZ #9631									
01/12/2018	47094293 PASTORE, CRISTINA	SIGNAL SECURITIES RIA	B	0.00	37.34	0.00	37.34		0.37
01/12/2018	47342185 POPHAM, RICHARD (RICK)	SIGNAL SECURITIES RIA	B	0.00	55.66	0.00	55.66		0.56
01/12/2018	50152262 MEYERS REV. LIV TRST, ROBERT S	SIGNAL SECURITIES RIA	B	0.00	70.34	0.00	70.34		0.70
01/12/2018	56921472 GIANNINO, MARY ELLEN	SIGNAL SECURITIES RIA	B	0.00	113.34	0.00	113.34		1.13
01/12/2018	61467712 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	51.34	0.00	51.34		0.51
01/12/2018	62130162 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	29.66	0.00	29.66		0.30
01/12/2018	62263883 FERRARI, JAMES J	SIGNAL SECURITIES RIA	B	0.00	187.34	0.00	187.34		1.87
01/12/2018	63431955 FERRARI, JAMES J	SIGNAL SECURITIES RIA	B	0.00	3.34	0.00	3.34		0.03
01/12/2018	63575586 MCCLEARY, PAMELA R	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00		0.09
01/12/2018	74491798 LARGE/OREO, LANCE C. & KARE	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00		0.07
01/12/2018	76118748 MCCLEARY, PAMELA R	SIGNAL SECURITIES RIA	B	0.00	56.34	0.00	56.34		0.56
01/12/2018	80576825 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	9.34	0.00	9.34		0.09
01/12/2018	83507537 BITTNER, MARK & DEBBIE	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00		0.09
01/12/2018	85830018 WALLACE, RONALD G	SIGNAL SECURITIES RIA	B	0.00	920.34	0.00	920.34		9.20
01/12/2018	86727200 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	9.66	0.00	9.66		0.10
01/12/2018	86846823 LAMMERS, ROBERT & JILL	SIGNAL SECURITIES RIA	B	0.00	17.34	0.00	17.34		0.17
01/12/2018	88062363 PASTORE, CRISTINA	SIGNAL SECURITIES RIA	B	0.00	2.34	0.00	2.34		0.02
01/12/2018	88968596 COULTER, JEFF & MARY	SIGNAL SECURITIES RIA	B	0.00	4.34	0.00	4.34		0.04
01/12/2018	89518307 KUNZ, MARY SUE	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00		0.23
01/12/2018	92937702 WELCH, ITASKA	SIGNAL SECURITIES RIA	B	0.00	32.66	0.00	32.66		0.33
01/12/2018	93877838 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	177.00	0.00	177.00		1.77
01/12/2018	95921292 BITTNER, MARK & DEBBIE	SIGNAL SECURITIES RIA	B	0.00	130.00	0.00	130.00		1.30
01/12/2018	98256346 LAMMERS, ROBERT & JILL	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00		0.23
01/12/2018	98398336 GIANNINO, ELLEN	SIGNAL SECURITIES RIA	B	0.00	3.66	0.00	3.66		0.04
01/12/2018	98523493 HABEGGER, DENISE M	SIGNAL SECURITIES RIA	B	0.00	34.00	0.00	34.00		0.34
01/12/2018	98811946 CREWS-MORRIS, SHONDEANA	SIGNAL SECURITIES RIA	B	0.00	285.00	0.00	285.00		2.85
01/12/2018	99183964 HABEGGER, TIMOTHY J	SIGNAL SECURITIES RIA	B	0.00	24.66	0.00	24.66		0.25

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: MATTHEW THOMAS KUNZ #9631</u>									
01/12/2018	99251079 POPHAM, RICHARD (RICK)	SIGNAL SECURITIES RIA	B	0.00	17.34	0.00	17.34		0.17
* MATTHEW THOMAS KUNZ SUBTOTAL *				<u>\$0.00</u>	<u>\$3,106.74</u>	<u>\$0.00</u>	<u>\$3,106.74</u>		<u>\$31.03</u>
<u>BROKER: JOHN HOWARD RUSSELL #9632</u>									
03/19/2018	TRAILS	VOYA INSURANCE AND ANNUITY	B	583.36	583.36	0.00	583.36		5.83
03/20/2018	00062722452 POFF, RICKY L	AMERICAN FUNDS AMERICAN MUTUAL FD	B	69.48	0.83	0.00	0.83		0.01
03/20/2018	00062722452 POFF, RICKY L	AMERICAN FUNDS AMERICAN MUTUAL FD	B	69.48	0.83	0.00	0.83		0.01
03/20/2018	00062722452 POFF, RICKY L	AMERICAN FUNDS INCOME FD OF AMERI	B	49.63	0.60	0.00	0.60		0.01
03/20/2018	00062722452 POFF, RICKY L	AMERICAN FUNDS INCOME FD OF AMERI	B	49.63	0.60	0.00	0.60		0.01
03/20/2018	00062722452 POFF, RICKY L	GLOBAL BALANCED FUND-A	B	49.63	0.60	0.00	0.60		0.01
03/20/2018	00062722452 POFF, RICKY L	GLOBAL BALANCED FUND-A	B	49.63	0.60	0.00	0.60		0.01
03/20/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD GROWT	B	200.00	2.40	0.00	2.40		0.02
03/20/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD GROWT	B	84.00	1.01	0.00	1.01		0.01
03/20/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	B	200.00	2.40	0.00	2.40		0.02
03/20/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	B	84.00	1.01	0.00	1.01		0.01
03/20/2018	00067425273 GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC GROWT	B	118.23	1.42	0.00	1.42		0.01
03/20/2018	00067425273 GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC GROWT	B	118.22	1.42	0.00	1.42		0.01
03/20/2018	00067425273 GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	118.23	1.42	0.00	1.42		0.01
03/20/2018	00067425273 GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	118.23	1.42	0.00	1.42		0.01
03/20/2018	00067425273 GREEN, ARTHUR C	NEW WORLD FUND-A	B	121.80	1.46	0.00	1.46		0.01
03/20/2018	00067425273 GREEN, ARTHUR C	NEW WORLD FUND-A	B	121.81	1.46	0.00	1.46		0.01
03/15/2018	00073302989 RUSSELL, DAVID/NICKY	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50		0.01
03/19/2018	00073404574 SULLIVAN/POTTS, SHERRY/JADEN	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00		0.01
03/12/2018	00073552220 BARR, CHESTER A	CAPITAL WORLD GROWTH AND INCOME-529	B	75.00	2.81	0.00	2.81		0.03
03/12/2018	00073552220 BARR, CHESTER A	WASHINGTON MUTUAL INVESTORS FUND-52	B	75.00	2.81	0.00	2.81		0.03
03/19/2018	00074657443 SULLIVAN/POTTS, SHERRY/GRANT	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00		0.01
03/20/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	97.82	1.17	0.00	1.17		0.01
03/20/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	97.82	1.17	0.00	1.17		0.01
03/20/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL WORLD GROWT	B	58.69	0.70	0.00	0.70		0.01
03/20/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL WORLD GROWT	B	58.69	0.70	0.00	0.70		0.01
03/20/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS AMCAP FD	B	98.28	1.18	0.00	1.18		0.01
03/20/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS CAPITAL INCOME BUILD	B	73.72	0.88	0.00	0.88		0.01

COMMISSION STATEMENT for SIGNAL POOL

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<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: JOHN HOWARD RUSSELL #9632</u>									
03/20/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL WORLD GROWT B	73.72	0.88	0.00	0.88		0.01
03/15/2018	00075700317	BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B	403.36	8.07	0.00	8.07		0.08
03/15/2018	00075700317	BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B	201.68	4.03	0.00	4.03		0.04
03/15/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD B	47.01	0.94	0.00	0.94		0.01
03/15/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD B	141.03	2.82	0.00	2.82		0.03
03/15/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B	253.86	5.08	0.00	5.08		0.05
03/15/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B	84.62	1.69	0.00	1.69		0.02
03/15/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B	169.24	3.38	0.00	3.38		0.03
03/15/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B	56.41	1.13	0.00	1.13		0.01
03/15/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B	80.00	1.60	0.00	1.60		0.02
03/15/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B	30.67	0.61	0.00	0.61		0.01
03/15/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B	80.00	1.60	0.00	1.60		0.02
03/15/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B	30.67	0.61	0.00	0.61		0.01
03/15/2018	00082170622	SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B	60.35	1.21	0.00	1.21		0.01
03/15/2018	00082170622	SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B	60.35	1.21	0.00	1.21		0.01
03/15/2018	00082474109	KUETHE, ALLAN	AMERICAN MUTUAL FUND-C B	300.00	3.00	0.00	3.00		0.03
03/15/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS EURO PACIFIC GROWT B	28.07	0.56	0.00	0.56		0.01
	R								
03/15/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS EURO PACIFIC GROWT B	70.18	1.40	0.00	1.40		0.01
	R								
03/15/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS GROWTH FUND OF AMERI B	84.21	1.68	0.00	1.68		0.02
	R								
03/15/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS GROWTH FUND OF AMERI B	210.53	4.21	0.00	4.21		0.04
	R								
03/15/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD GROWT B	80.66	1.61	0.00	1.61		0.02
03/15/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD GROWT B	161.32	3.23	0.00	3.23		0.03
03/15/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL INVES B	80.66	1.61	0.00	1.61		0.02
03/15/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL INVES B	161.32	3.23	0.00	3.23		0.03
03/22/2018	00083499515	SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN B	200.00	2.00	0.00	2.00		0.02
03/16/2018	00083626829	SEYMORE, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN B	150.00	1.50	0.00	1.50		0.02
03/15/2018	00084758983	HOWARD/BEAUDOIN, IRENE/LAUREN.	GLOBAL BALANCED FUND-529A B	50.00	2.13	0.00	2.13		0.02
03/15/2018	00084759195	HOWARD/BEAUDOIN, IRENE/LANDON	GLOBAL BALANCED FUND-529A B	50.00	2.13	0.00	2.13		0.02
03/15/2018	00084759209	HOWARD/BEAUDOIN, IRENE/AUSTIN	GLOBAL BALANCED FUND-529A B	50.00	2.13	0.00	2.13		0.02
03/15/2018	00084759232	HOWARD/BEAUDOIN, IRENE/DAKOTA	THE INCOME FUND OF AMERICA-529A B	50.00	2.13	0.00	2.13		0.02
03/19/2018	00085209795	SULLIVAN/MCGRAFF, SHERRY/REECE	NEW WORLD FUND-529A B	50.00	1.00	0.00	1.00		0.01

COMMISSION STATEMENT for SIGNAL POOL

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<u>VERRIDE TRANSACTIONS</u>									
BROKER: JOHN HOWARD RUSSELL #9632									
03/20/2018	00085797272	RUSSELL/PEEPLES, AMERICAN BALANCED FUND-529A	B	50.00	1.88	0.00	1.88		0.02
		DAVID/HAVEN							
03/20/2018	00085797295	RUSSELL/PEEPLES, NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88		0.02
		DAVID/KATE							
03/20/2018	00085797300	RUSSELL/PEEPLES, NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88		0.02
		DAVID/ELLE							
03/20/2018	00087000587	MORGAN, CAPITAL INCOME BUILDER-529C	B	100.00	1.00	0.00	1.00		0.01
		CHERIE/CHASE							
03/20/2018	00087000599	MORGAN, CAPITAL INCOME BUILDER-529C	B	100.00	1.00	0.00	1.00		0.01
		CHERIE/PIERCE							
03/15/2018	00087897269	ASHCRAFT OGDEN, FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00		0.02
		ZOIE							
03/16/2018	00088272160	DUNN, CHESTER R. SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26		0.01
03/16/2018	00088272160	DUNN, CHESTER R. SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26		0.01
03/23/2018	00088272160	DUNN, CHESTER R. SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26		0.01
03/23/2018	00088272160	DUNN, CHESTER R. SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26		0.01
03/16/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62		0.01
03/16/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62		0.01
03/23/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62		0.01
03/23/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62		0.01
03/20/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS FUNDAMENTAL	INVES B	102.48	1.23	0.00	1.23		0.01
03/20/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS FUNDAMENTAL	INVES B	70.48	0.85	0.00	0.85		0.01
03/20/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS NEW ECONOMY FD	B	102.49	1.23	0.00	1.23		0.01
03/20/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS NEW ECONOMY FD	B	70.49	0.85	0.00	0.85		0.01
03/15/2018	00089986176	YOUNG, MARCUS FUNDAMENTAL INVESTORS-529C	B	100.00	1.00	0.00	1.00		0.01
03/05/2018	00203097761	MILAM, JOYCE H INTERNATIONAL VALUE A	B	0.00	71.24	0.00	71.24		0.71
03/05/2018	00203097761	MILAM, JOYCE H THORNBURG VALUE FD CL A	B	0.00	91.94	0.00	91.94		0.92
03/05/2018	00203148905	CLANTON, CHARLOTTE THORNBURG DEVELOPING WORLD FND CL C	B	0.00	21.31	0.00	21.31		0.21
03/05/2018	00203148905	CLANTON, CHARLOTTE THORNBURG INCOME BUILDER CL C	B	0.00	79.42	0.00	79.42		0.79
03/05/2018	00203148905	CLANTON, CHARLOTTE THORNBURG INTERNATIONAL VALUE CL C	B	0.00	25.64	0.00	25.64		0.26
03/05/2018	00203148905	CLANTON, CHARLOTTE THORNBURG VALUE FD CL C	B	0.00	111.28	0.00	111.28		1.11
03/05/2018	00203704228	CLANTON, ARCHIE D INTERNATIONAL VALUE A	B	0.00	6.79	0.00	6.79		0.07
03/05/2018	00203704228	CLANTON, ARCHIE D THORNBURG VALUE FD CL A	B	0.00	56.34	0.00	56.34		0.56
03/05/2018	00203704228	CLANTON, ARCHIE D THORNBURG VALUE FD CL C	B	0.00	22.30	0.00	22.30		0.22
03/05/2018	00203706840	CLANTON, ARCHIE D THORNBURG INCOME BUILDER CL C	B	0.00	71.79	0.00	71.79		0.72
03/05/2018	00203706840	CLANTON, ARCHIE D THORNBURG INTERNATIONAL VALUE CL C	B	0.00	38.32	0.00	38.32		0.38
03/05/2018	00204000300	MULACEK, SPOUSAL INTERNATIONAL VALUE A	B	0.00	16.25	0.00	16.25		0.16
03/15/2018	04000108054	HAMILTON, JAMES FUNDAMENTAL INVESTORS-529C	B	50.00	0.50	0.00	0.50		0.01
03/20/2018	04000150405	BLAKE, MATTHEW THE GROWTH FUND OF AMERICA-C	B	153.00	1.53	0.00	1.53		0.02
03/20/2018	04000150405	BLAKE, MATTHEW THE GROWTH FUND OF AMERICA-C	B	153.00	1.53	0.00	1.53		0.02

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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OVERRIDE TRANSACTIONS

BROKER: JOHN HOWARD RUSSELL #9632

03/16/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B	54.53	0.55	0.00	0.55		0.01
03/16/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B	54.53	0.55	0.00	0.55		0.01
03/20/2018	04000623745	BLAKE, DAVID	THE GROWTH FUND OF AMERICA-C B	132.00	1.32	0.00	1.32		0.01
03/20/2018	04000623745	BLAKE, DAVID	THE GROWTH FUND OF AMERICA-C B	132.00	1.32	0.00	1.32		0.01
03/20/2018	04000695668	GILBREATH, LAURA	AMERICAN FUNDS FUNDAMENTAL INVES B	100.00	1.20	0.00	1.20		0.01
		D.							
03/20/2018	04000695668	GILBREATH, LAURA	AMERICAN FUNDS FUNDAMENTAL INVES B	76.80	0.92	0.00	0.92		0.01
		D.							
03/15/2018	04000752171	BOZEMAN, JENNIFER	NEW WORLD FUND-A B	38.46	0.77	0.00	0.77		0.01
03/15/2018	04000752171	BOZEMAN, JENNIFER	NEW WORLD FUND-A B	25.63	0.51	0.00	0.51		0.01
* JOHN HOWARD RUSSELL SUBTOTAL *				\$9,256.43	\$1,330.81	\$0.00	\$1,330.81		\$13.33
*** OVERRIDE TRANSACTIONS TOTAL ***				\$14,129.56	\$10,262.78	\$0.00	\$10,262.78		\$410.97

BROKER COMMISSION TOTALS

Broker Transactions	\$747.54
Split Transactions	\$1,028.33
Override Transactions	\$410.97
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,186.84
Year-to-date Earnings	\$8,813.94