

STATEMENT

January 20, 2018

GARY SPRUNGER - LPCM

BROKER #: 3132

BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for GARY SPRUNGER - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/01/2018	#514113	TCA SPRUNGER VAR	ADDL CASH FOR ALLOC	6,153.37	-1.79	95.0	0.00	-1.70	-1.70
01/01/2018	#514117	NUGENT, FRANCES	ADDL CASH FOR ALLOC	18,175.67	-5.30	95.0	0.00	-5.04	-5.04
01/01/2018	#514164	CHICORELLI,	ADDL CASH FOR ALLOC	16,619.86	-4.85	95.0	0.00	-4.61	-4.61
01/01/2018	#514247	CHICORELLI,	ADDL CASH FOR ALLOC	4,943.26	-1.44	95.0	0.00	-1.37	-1.37
01/01/2018	#514159	TCA SPRUNGER VAR	LPCM MOD 30/30/40	607,035.18	-263.05	95.0	0.00	-249.90	-249.90
01/01/2018	#514164	CHICORELLI,	LPCM MOD 30/30/40	425,598.63	-184.43	95.0	0.00	-175.21	-175.21
01/01/2018	#514247	CHICORELLI,	LPCM MOD 30/30/40	111,335.35	-48.25	95.0	0.00	-45.84	-45.84
01/01/2018	#514261	TCA SPRUNGER VAR	LPCM MOD 30/30/40	108,723.05	-47.11	95.0	0.00	-44.75	-44.75
01/01/2018	#514105	SCHUGAM, PAULA	LPCM MOD 30/30/40	209,881.04	-101.44	95.0	0.00	-96.37	-96.37
01/01/2018	#514117	NUGENT, FRANCES	LPCM MOD 30/30/40	416,177.97	-201.15	95.0	0.00	-191.09	-191.09
01/01/2018	#514304	SCHUGAM, MARTIN	LPCM MOD 30/30/40	207,291.68	-100.19	95.0	0.00	-95.18	-95.18
01/01/2018	#514113	TCA SPRUNGER VAR	LPCM MOD 30/30/40	162,878.20	-81.44	95.0	0.00	-77.37	-77.37
01/01/2018	#514105	SCHUGAM, PAULA	LPCM MOD 30/30/40	209,881.04	306.08	95.0	0.00	290.78	290.78
01/01/2018	#514113	TCA SPRUNGER VAR	LPCM MOD 30/30/40	162,878.20	237.53	95.0	0.00	225.65	225.65
01/01/2018	#514117	NUGENT, FRANCES	LPCM MOD 30/30/40	416,177.97	606.92	95.0	0.00	576.57	576.57
01/01/2018	#514159	TCA SPRUNGER VAR	LPCM MOD 30/30/40	607,035.18	885.26	95.0	0.00	841.00	841.00
01/01/2018	#514164	CHICORELLI,	LPCM MOD 30/30/40	425,598.63	620.66	95.0	0.00	589.63	589.63
01/01/2018	#514247	CHICORELLI,	LPCM MOD 30/30/40	111,335.35	162.36	95.0	0.00	154.24	154.24
01/01/2018	#514261	TCA SPRUNGER VAR	LPCM MOD 30/30/40	108,723.05	158.55	95.0	0.00	150.62	150.62
01/01/2018	#514304	SCHUGAM, MARTIN	LPCM MOD 30/30/40	207,291.68	302.30	95.0	0.00	287.19	287.19
01/01/2018	#514113	TCA SPRUNGER VAR	TCA FEES	6,153.37	8.97	95.0	0.00	8.52	8.52
01/01/2018	#514117	NUGENT, FRANCES	TCA FEES	18,175.67	26.51	95.0	0.00	25.18	25.18
01/01/2018	#514164	CHICORELLI,	TCA FEES	16,619.86	24.24	95.0	0.00	23.03	23.03
01/01/2018	#514247	CHICORELLI,	TCA FEES	4,943.26	7.21	95.0	0.00	6.85	6.85
* RIA SUBTOTAL *			\$4,589,626.52	\$2,306.15		\$0.00	\$2,190.83	\$0.00	\$2,190.83
*** BROKER TRANSACTIONS TOTAL ***			\$4,589,626.52	\$2,306.15		\$0.00	\$2,190.83	\$0.00	\$2,190.83

BROKER COMMISSION TOTALS

Broker Transactions	\$2,190.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,190.83
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