

COMMISSION STATEMENT  
March 15, 2018

TRACY CUDA  
3200 N. Central Ave  
Suite 200  
Phoenix, AZ 85012

BROKER #: 73670  
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: CUE Financial Group, Inc

ADJUSTMENTS

PRIOR PERIOD BALANCE(SEE BELOW)							0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$-5.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-5.00
Year-to-date Earnings	\$0.00

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities AmericaBROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

03/15/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-550.00	0.00	-550.00	-201.03
03/15/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	606.38	0.00	606.38	221.63
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$56.38</u>	<u>\$0.00</u>	<u>\$56.38</u>	<u>\$20.60</u>

PRODUCT CATEGORY: TRAILERS

03/15/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	10,549.41	0.00	10,549.41	3,855.81
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$10,549.41</u>	<u>\$0.00</u>	<u>\$10,549.41</u>	<u>\$3,855.81</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$10,605.79</u>	<u>\$0.00</u>	<u>\$10,605.79</u>	<u>\$3,876.41</u>

OVERRIDE TRANSACTIONSBROKER: JODI GALLOVICH #73712

03/15/2018	GALLOVICH (73712), JODI	SAI CHARGES	0.00	-160.00	0.00	-160.00	-21.52
03/15/2018	GALLOVICH (73712), JODI	SAI INCOME	0.00	60.00	0.00	60.00	8.07
03/15/2018	GALLOVICH (73712), JODI	SAI PERSHING CHARGES	0.00	-20.00	0.00	-20.00	-2.69
03/15/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	9,264.61	0.00	9,264.61	1,246.09
03/15/2018	GALLOVICH (73712), JODI	SAI.TRAILS	0.00	3,602.07	0.00	3,602.07	484.48
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$12,746.68</u>	<u>\$0.00</u>	<u>\$12,746.68</u>	<u>\$1,714.43</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$12,746.68</u>	<u>\$0.00</u>	<u>\$12,746.68</u>	<u>\$1,714.43</u>

ADJUSTMENTS

16. SAI SIPC PE 3/9/18							-96.77
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-96.77</u>

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$3,876.41
							Split Transactions \$0.00
							Override Transactions \$1,714.43
							Adjustments \$-96.77
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$5,494.07</u>
							<u>Year-to-date Earnings \$102,207.78</u>