

**COMMISSION STATEMENT****December 31, 2017**KEITH LAYMAN
4321 FlagstaffBROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
12/13/2017	ROBERTS, LORETTA	AMERICAN FUNDS	0.00	4.67	0.00	4.67	90.0	4.20
12/13/2017	ROBERTS, LORETTA	AMERICAN FUNDS	0.00	3.48	0.00	3.48	90.0	3.13
12/13/2017	ROBERTS, LORETTA	AMERICAN FUNDS	0.00	5.78	0.00	5.78	90.0	5.20
12/13/2017	ROBERTS, LORETTA	AMERICAN FUNDS	0.00	4.81	0.00	4.81	90.0	4.33
12/13/2017	ROBERTS, LORETTA	AMERICAN FUNDS	0.00	5.98	0.00	5.98	90.0	5.38
12/13/2017	ROBERTS, LORETTA	AMERICAN FUNDS	0.00	5.63	0.00	5.63	90.0	5.07
12/13/2017	ROBERTS, LORETTA	AMERICAN FUNDS	0.00	6.09	0.00	6.09	90.0	5.48
12/13/2017	ROBERTS, LORETTA	AMERICAN FUNDS	0.00	3.27	0.00	3.27	90.0	2.94
12/13/2017	HOFFMAN, JOANN	AMERICAN FUNDS	0.00	3.99	0.00	3.99	90.0	3.59
12/13/2017	HOFFMAN, JOANN	AMERICAN FUNDS	0.00	4.22	0.00	4.22	90.0	3.80
12/13/2017	HOFFMAN, JOANN	AMERICAN FUNDS	0.00	3.27	0.00	3.27	90.0	2.94
12/13/2017	HOFFMAN, JOANN	AMERICAN FUNDS	0.00	3.81	0.00	3.81	90.0	3.43
12/13/2017	HOFFMAN, JOANN	AMERICAN FUNDS	0.00	2.88	0.00	2.88	90.0	2.59
12/13/2017	HOFFMAN, JOANN	AMERICAN FUNDS	0.00	2.81	0.00	2.81	90.0	2.53
12/13/2017	HOFFMAN, MARK	AMERICAN FUNDS	0.00	2.18	0.00	2.18	90.0	1.96
12/13/2017	HOFFMAN, MARK	AMERICAN FUNDS	0.00	4.12	0.00	4.12	90.0	3.71
12/13/2017	HOFFMAN, MARK	AMERICAN FUNDS	0.00	3.19	0.00	3.19	90.0	2.87
12/13/2017	HOFFMAN, MARK	AMERICAN FUNDS	0.00	2.09	0.00	2.09	90.0	1.88
12/13/2017	HOFFMAN, MARK	AMERICAN FUNDS	0.00	2.11	0.00	2.11	90.0	1.90
12/13/2017	HOFFMAN, MARK	AMERICAN FUNDS	0.00	2.05	0.00	2.05	90.0	1.85
12/13/2017	AMBRIOLE, WESLEY	AMERICAN FUNDS	0.00	0.77	0.00	0.77	90.0	0.69
12/13/2017	AMBRIOLE, WESLEY	AMERICAN FUNDS	0.00	0.57	0.00	0.57	90.0	0.51
12/13/2017	AMBRIOLE, WESLEY	AMERICAN FUNDS	0.00	0.51	0.00	0.51	90.0	0.46
12/13/2017	AMBRIOLE, WESLEY	AMERICAN FUNDS	0.00	0.83	0.00	0.83	90.0	0.75
12/13/2017	AMBRIOLE, WESLEY	AMERICAN FUNDS	0.00	1.19	0.00	1.19	90.0	1.07
12/13/2017	AMBRIOLE, WESLEY	AMERICAN FUNDS	0.00	0.86	0.00	0.86	90.0	0.77

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
12/13/2017	BRUNTON, EMILY	AMERICAN FUNDS	0.00	3.01	0.00	3.01	90.0	2.71
12/13/2017	BRUNTON, EMILY	AMERICAN FUNDS	0.00	3.54	0.00	3.54	90.0	3.19
12/13/2017	SCHAEFER, LOLA	AMERICAN FUNDS	0.00	3.08	0.00	3.08	90.0	2.77
12/13/2017	BRUNTON, RYAN	AMERICAN FUNDS	0.00	1.95	0.00	1.95	90.0	1.76
12/13/2017	BRUNTON, RYAN	AMERICAN FUNDS	0.00	2.87	0.00	2.87	90.0	2.58
12/13/2017	WARSTLER, MARK	AMERICAN FUNDS	0.00	0.65	0.00	0.65	90.0	0.59
12/13/2017	WARSTLER, MARK	AMERICAN FUNDS	0.00	0.69	0.00	0.69	90.0	0.62
12/13/2017	WARSTLER, MARK	AMERICAN FUNDS	0.00	0.80	0.00	0.80	90.0	0.72
12/13/2017	WARSTLER, MARK	AMERICAN FUNDS	0.00	0.54	0.00	0.54	90.0	0.49
12/13/2017	WARSTLER, MARK	AMERICAN FUNDS	0.00	0.77	0.00	0.77	90.0	0.69
12/13/2017	MCBRIDE, KEITH	AMERICAN FUNDS	0.00	2.15	0.00	2.15	90.0	1.94
12/13/2017	RAMUS, CHARLES	AMERICAN FUNDS	0.00	119.64	0.00	119.64	90.0	107.68
12/13/2017	RAMUS, CHARLES	AMERICAN FUNDS	0.00	111.80	0.00	111.80	90.0	100.62
12/13/2017	RAMUS, CHARLES	AMERICAN FUNDS	0.00	94.07	0.00	94.07	90.0	84.66
12/13/2017	RAMUS, CHARLES	AMERICAN FUNDS	0.00	87.57	0.00	87.57	90.0	78.81
12/13/2017	RAMUS, CHARLES	AMERICAN FUNDS	0.00	98.27	0.00	98.27	90.0	88.44
12/13/2017	RAMUS, CHARLES	AMERICAN FUNDS	0.00	81.23	0.00	81.23	90.0	73.11
12/13/2017	RAMUS, CHARLES	AMERICAN FUNDS	0.00	331.57	0.00	331.57	90.0	298.41
12/13/2017	LEVERMAN, THEODORE	AMERICAN FUNDS	0.00	4.75	0.00	4.75	90.0	4.28
12/13/2017	LEVERMAN, THEODORE	AMERICAN FUNDS	0.00	5.20	0.00	5.20	90.0	4.68
12/13/2017	LEVERMAN, PENNY	AMERICAN FUNDS	0.00	3.69	0.00	3.69	90.0	3.32
12/13/2017	LEVERMAN, PENNY	AMERICAN FUNDS	0.00	4.76	0.00	4.76	90.0	4.28
12/13/2017	HEIDENREICH, PATRICK	AMERICAN FUNDS	0.00	7.92	0.00	7.92	90.0	7.13
12/13/2017	HEIDENREICH, PATRICK	AMERICAN FUNDS	0.00	1.52	0.00	1.52	90.0	1.37
12/13/2017	HEIDENREICH, PATRICK	AMERICAN FUNDS	0.00	1.18	0.00	1.18	90.0	1.06
12/13/2017	HEIDENREICH, PATRICK	AMERICAN FUNDS	0.00	1.50	0.00	1.50	90.0	1.35
12/13/2017	HEIDENREICH, PATRICK	AMERICAN FUNDS	0.00	1.24	0.00	1.24	90.0	1.12
12/13/2017	HEIDENREICH, PATRICK	AMERICAN FUNDS	0.00	7.65	0.00	7.65	90.0	6.89
12/13/2017	HEIDENREICH, PATRICK	AMERICAN FUNDS	0.00	1.18	0.00	1.18	90.0	1.06
12/13/2017	HEIDENREICH, PATRICK	AMERICAN FUNDS	0.00	1.12	0.00	1.12	90.0	1.01
12/13/2017	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	0.00	10.36	0.00	10.36	90.0	9.32
12/13/2017	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	0.00	12.58	0.00	12.58	90.0	11.32
12/13/2017	RAMUS, DARLENE	AMERICAN FUNDS	0.00	22.38	0.00	22.38	90.0	20.14

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
12/13/2017	RAMUS, DARLENE	AMERICAN FUNDS	0.00	9.33	0.00	9.33	90.0	8.40
12/13/2017	RAMUS, DARLENE	AMERICAN FUNDS	0.00	8.08	0.00	8.08	90.0	7.27
12/13/2017	SIEBER, AARON	AMERICAN FUNDS	0.00	4.24	0.00	4.24	90.0	3.82
12/13/2017	SIEBER, AARON	AMERICAN FUNDS	0.00	10.14	0.00	10.14	90.0	9.13
12/13/2017	SIEBER, AARON	AMERICAN FUNDS	0.00	4.22	0.00	4.22	90.0	3.80
12/13/2017	SIEBER, AARON	AMERICAN FUNDS	0.00	1.49	0.00	1.49	90.0	1.34
12/13/2017	SIEBER, AARON	AMERICAN FUNDS	0.00	2.43	0.00	2.43	90.0	2.19
12/13/2017	SIEBER, AARON	AMERICAN FUNDS	0.00	3.88	0.00	3.88	90.0	3.49
12/13/2017	SIEBER, AARON	AMERICAN FUNDS	0.00	3.90	0.00	3.90	90.0	3.51
12/13/2017	SIEBER, AARON	AMERICAN FUNDS	0.00	3.69	0.00	3.69	90.0	3.32
12/13/2017	ASCHLIMAN, BRETT	AMERICAN FUNDS	0.00	0.94	0.00	0.94	90.0	0.85
12/13/2017	ASCHLIMAN, BRETT	AMERICAN FUNDS	0.00	2.71	0.00	2.71	90.0	2.44
12/13/2017	MCFEETERS, VALERIE	AMERICAN FUNDS	0.00	18.03	0.00	18.03	90.0	16.23
12/13/2017	MCFEETERS, VALERIE	AMERICAN FUNDS	0.00	3.70	0.00	3.70	90.0	3.33
12/13/2017	MCFEETERS, VALERIE	AMERICAN FUNDS	0.00	2.66	0.00	2.66	90.0	2.39
12/13/2017	MCFEETERS, VALERIE	AMERICAN FUNDS	0.00	1.72	0.00	1.72	90.0	1.55
12/13/2017	MCFEETERS, VALERIE	AMERICAN FUNDS	0.00	8.77	0.00	8.77	90.0	7.89
12/13/2017	MCFEETERS, VALERIE	AMERICAN FUNDS	0.00	2.87	0.00	2.87	90.0	2.58
12/13/2017	MCFEETERS, VALERIE	AMERICAN FUNDS	0.00	3.58	0.00	3.58	90.0	3.22
12/13/2017	MCFEETERS, RYAN	AMERICAN FUNDS	0.00	3.39	0.00	3.39	90.0	3.05
12/13/2017	MCFEETERS, RYAN	AMERICAN FUNDS	0.00	0.80	0.00	0.80	90.0	0.72
12/13/2017	MCFEETERS, RYAN	AMERICAN FUNDS	0.00	0.75	0.00	0.75	90.0	0.68
12/13/2017	MCFEETERS, RYAN	AMERICAN FUNDS	0.00	0.58	0.00	0.58	90.0	0.52
12/13/2017	MCFEETERS, RYAN	AMERICAN FUNDS	0.00	0.66	0.00	0.66	90.0	0.59
12/13/2017	MCFEETERS, RYAN	AMERICAN FUNDS	0.00	1.13	0.00	1.13	90.0	1.02
12/13/2017	MCFEETERS, RYAN	AMERICAN FUNDS	0.00	2.75	0.00	2.75	90.0	2.48
12/13/2017	MCFEETERS, RYAN	AMERICAN FUNDS	0.00	0.75	0.00	0.75	90.0	0.68
12/13/2017	MCFEETERS, RYAN	AMERICAN FUNDS	0.00	0.86	0.00	0.86	90.0	0.77
12/13/2017	MCFEETERS, RYAN	AMERICAN FUNDS	0.00	0.49	0.00	0.49	90.0	0.44
12/13/2017	STEBING, CHRISTEN	AMERICAN FUNDS	0.00	1.37	0.00	1.37	90.0	1.23
12/13/2017	ELIAS, CRISTA	AMERICAN FUNDS	0.00	0.55	0.00	0.55	90.0	0.50
12/13/2017	ELIAS, CRISTA	AMERICAN FUNDS	0.00	0.60	0.00	0.60	90.0	0.54
12/13/2017	ELIAS, CRISTA	AMERICAN FUNDS	0.00	0.49	0.00	0.49	90.0	0.44

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
12/13/2017	ELIAS, CRISTA	AMERICAN FUNDS	0.00	0.46	0.00	0.46	90.0	0.41
12/13/2017	URATA, SUSAN	AMERICAN FUNDS	0.00	2.82	0.00	2.82	90.0	2.54
12/13/2017	URATA, SUSAN	AMERICAN FUNDS	0.00	2.85	0.00	2.85	90.0	2.57
12/13/2017	WHITE, IAN	AMERICAN FUNDS	0.00	2.77	0.00	2.77	90.0	2.49
12/13/2017	RATLIFF, MICHAEL	AMERICAN FUNDS	0.00	0.52	0.00	0.52	90.0	0.47
12/13/2017	BOUTWELL, SHANE	AMERICAN FUNDS	0.00	43.73	0.00	43.73	90.0	39.36
12/13/2017	LOWE, MELISSA	AMERICAN FUNDS	0.00	6.33	0.00	6.33	90.0	5.70
12/13/2017	LOWE, MELISSA	AMERICAN FUNDS	0.00	2.90	0.00	2.90	90.0	2.61
12/13/2017	MEADOWS, SHEILA	AMERICAN FUNDS	0.00	3.56	0.00	3.56	90.0	3.20
12/13/2017	LAMOTT, KEVIN	AMERICAN FUNDS	0.00	0.06	0.00	0.06	90.0	0.05
12/13/2017	NDLOVU, NKULULEKO	AMERICAN FUNDS	0.00	0.05	0.00	0.05	90.0	0.05
12/13/2017	NDLOVU, NKULULEKO	AMERICAN FUNDS	0.00	0.21	0.00	0.21	90.0	0.19
12/13/2017	NDLOVU, NKULULEKO	AMERICAN FUNDS	0.00	0.17	0.00	0.17	90.0	0.15
* RIA SUBTOTAL *			\$0.00	\$1,290.37	\$0.00	\$1,290.37		\$1,161.35
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$1,290.37	\$0.00	\$1,290.37		\$1,161.35

<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
12/13/2017	BROWN, KAY	AMERICAN FUNDS	0.00	0.27	0.00	0.27	90.0	0.24
12/13/2017	BUFFENBARGER, LORETTA	AMERICAN FUNDS	0.00	0.17	0.00	0.17	90.0	0.15
12/13/2017	BEGHTEL, JOEL	AMERICAN FUNDS	0.00	0.01	0.00	0.01	90.0	0.01
12/13/2017	MICHELL, JOSEPH	AMERICAN FUNDS	0.00	0.01	0.00	0.01	90.0	0.01
12/13/2017	MICHELL, JOSEPH	AMERICAN FUNDS	0.00	0.03	0.00	0.03	90.0	0.03
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$0.00	\$0.49	\$0.00	\$0.49		\$0.44
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$0.49	\$0.00	\$0.49		\$0.44

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,161.35
Split Transactions	\$0.44
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,161.79
Year-to-date Gross	\$356,957.26
Year-to-date Earnings	\$321,454.76