



All Companies
COMMISSION STATEMENT
April 02, 2018

03/29/2018
Page No. 1

WILLIAM PRICE SCHWENNSEN
501 GREEN RIVER TRAIL

BROKER #: 9544
BRANCH: HOME OFFICE

Fort Worth, TX 76103-

COMMISSION STATEMENT for WILLIAM PRICE SCHWENNSEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/19/2018	00075904063 MANSELL, DEANNA	AMERICAN FUNDS CAPITAL INCOME BUILD B		6,683.35	133.67	0.00	133.67	85.0	113.62
		* MUTUAL FUNDS SUBTOTAL *		<u>\$6,683.35</u>	<u>\$133.67</u>	<u>\$0.00</u>	<u>\$133.67</u>		<u>\$113.62</u>
<u>PRODUCT CATEGORY: STOCKS</u>									
03/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	4,626.34	4,626.34	0.00	4,626.34	85.0	3,932.39
		* STOCKS SUBTOTAL *		<u>\$4,626.34</u>	<u>\$4,626.34</u>	<u>\$0.00</u>	<u>\$4,626.34</u>		<u>\$3,932.39</u>
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	12422258 BROWN, FRANCES ELISE	SIGNAL SECURITIES RIA	B	0.00	83.34	0.00	83.34	85.0	70.84
01/12/2018	13105054 WHITE LAKE HILLS COM	SIGNAL SECURITIES RIA	B	0.00	113.00	0.00	113.00	85.0	96.05
01/12/2018	64481780 BROWN, FRANCES ELISE	SIGNAL SECURITIES RIA	B	0.00	133.66	0.00	133.66	85.0	113.61
01/12/2018	68177451 FERGUSON, CHARLES & ERNES	SIGNAL SECURITIES RIA	B	0.00	257.34	0.00	257.34	85.0	218.74
01/12/2018	79635223 RYON, RODY & BEVERLY	SIGNAL SECURITIES RIA	B	0.00	107.00	0.00	107.00	85.0	90.95
01/12/2018	84275067 FERGUSON, CHARLES & ERNES	SIGNAL SECURITIES RIA	B	0.00	324.66	0.00	324.66	85.0	275.96
01/12/2018	97084977 FERGUSON, ERNESTINE	SIGNAL SECURITIES RIA	B	0.00	30.00	0.00	30.00	85.0	25.50
		* RIA SUBTOTAL *		<u>\$0.00</u>	<u>\$1,049.00</u>	<u>\$0.00</u>	<u>\$1,049.00</u>		<u>\$891.65</u>
		*** BROKER TRANSACTIONS TOTAL ***		<u>\$11,309.69</u>	<u>\$5,809.01</u>	<u>\$0.00</u>	<u>\$5,809.01</u>		<u>\$4,937.66</u>
<u>ADJUSTMENTS</u>									
04/02/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
04/02/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-175.00
04/02/2018		HILLTOP: CUSTOMER WRITE OFF		0.00	0.00	0.00	0.00		-14.06
04/02/2018		HILLTOP: FIXED INCOME TICKET CHARGE		0.00	0.00	0.00	0.00		-17.50
04/02/2018		HILLTOP: MISC TICKET FEES		0.00	0.00	0.00	0.00		7.00
04/02/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
		*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-327.06</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$4,937.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-327.06
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$4,610.60
Year-to-date Earnings	\$23,605.49