



All Companies

06/14/2018

Page No. 1

COMMISSION STATEMENT

June 15, 2018

KAREN CARTER BROOKMAN
1840 Hurstview Drive

BROKER #: 9102
BRANCH: HOME OFFICE

Hurst, TX 76054

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/31/2018	00061681148	RUBALCAVA, JOVITA	AMERICAN FUNDS GROWTH FUND OF AMERI B	8,971.68	89.72	0.00	89.72	90.0	80.75
05/31/2018	00061681148	RUBALCAVA, JOVITA	AMERICAN FUNDS NEW PERSPECTIVE FD B	10,561.26	105.61	0.00	105.61	90.0	95.05
06/01/2018	00067657556	GEIKEN, DARRYL	FUNDAMENTAL INVESTORS-C	50.00	0.50	0.00	0.50	90.0	0.45
06/01/2018	00067657556	GEIKEN, DARRYL	NEW PERSPECTIVE FUND-C	50.00	0.50	0.00	0.50	90.0	0.45
05/31/2018	00074438446	HOFFMAN, JEFFREY	AMERICAN FUNDS BALANCED FD	2,500.00	25.00	0.00	25.00	90.0	22.50
05/31/2018	00074438446	HOFFMAN, JEFFREY	AMERICAN FUNDS FUNDAMENTAL INVES B	2,000.00	20.00	0.00	20.00	90.0	18.00
05/31/2018	00074438446	HOFFMAN, JEFFREY	AMERICAN FUNDS HIGH INCOME TR FD B	2,000.00	20.00	0.00	20.00	90.0	18.00
05/25/2018	00082976741	SMITH, NATILLIE J	AMERICAN BALANCED FUND-C	60.00	0.60	0.00	0.60	90.0	0.54
05/25/2018	00082976741	SMITH, NATILLIE J	FUNDAMENTAL INVESTORS-C	60.00	0.60	0.00	0.60	90.0	0.54
05/25/2018	00082976741	SMITH, NATILLIE J	NEW PERSPECTIVE FUND-C	60.00	0.60	0.00	0.60	90.0	0.54
05/25/2018	00082976741	SMITH, NATILLIE J	THE NEW ECONOMY FUND-C	60.00	0.60	0.00	0.60	90.0	0.54
05/25/2018	00082976741	SMITH, NATILLIE J	WASHINGTON MUTUAL INVESTORS FUND-C B	60.00	0.60	0.00	0.60	90.0	0.54
05/25/2018	00083688576	SMITH, JEFFREY L	AMERICAN BALANCED FUND-C	104.00	1.04	0.00	1.04	90.0	0.94
05/25/2018	00083688576	SMITH, JEFFREY L	FUNDAMENTAL INVESTORS-C	96.00	0.96	0.00	0.96	90.0	0.86
05/25/2018	00083688576	SMITH, JEFFREY L	NEW PERSPECTIVE FUND-C	73.00	0.73	0.00	0.73	90.0	0.66
05/25/2018	00083688576	SMITH, JEFFREY L	THE NEW ECONOMY FUND-C	89.00	0.89	0.00	0.89	90.0	0.80
05/25/2018	00083688576	SMITH, JEFFREY L	WASHINGTON MUTUAL INVESTORS FUND-C B	96.33	0.96	0.00	0.96	90.0	0.86
05/31/2018	00084567631	CUMMINGS, GRADY	AMERICAN FUNDS NEW ECONOMY FD	5,400.00	108.00	0.00	108.00	90.0	97.20
05/21/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS BALANCED FD	90.00	1.80	0.00	1.80	90.0	1.62
05/21/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS HIGH INCOME MUNI B	90.00	1.80	0.00	1.80	90.0	1.62
05/21/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS INVESTMENT COMP OF A B	60.00	1.20	0.00	1.20	90.0	1.08
05/21/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS WA MUTUAL INVES B	60.00	1.20	0.00	1.20	90.0	1.08

* MUTUAL FUNDS SUBTOTAL *	\$32,591.27	\$382.91	\$0.00	\$382.91	\$344.62
---------------------------	-------------	----------	--------	----------	----------

PRODUCT CATEGORY: VARIABLE ANNUITIES

06/11/2018	TRAILS	-JACKSON NATIONAL	B	40.68	40.68	0.00	40.68	90.0	36.61
05/24/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	972.55	972.55	0.00	972.55	90.0	875.30
06/05/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	199.39	199.39	0.00	199.39	90.0	179.45
05/30/2018	TRAILS	DELAWARE LIFE	B	249.81	249.81	0.00	249.81	90.0	224.83

* VARIABLE ANNUITIES SUBTOTAL *	\$1,462.43	\$1,462.43	\$0.00	\$1,462.43	\$1,316.19
---------------------------------	------------	------------	--------	------------	------------

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/15/2018 TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES	0.00	9,467.78	0.00	9,467.78	90.0	8,521.15
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$9,467.78	\$0.00	\$9,467.78		\$8,521.15
	*** BROKER TRANSACTIONS TOTAL ***	\$34,053.70	\$11,313.12	\$0.00	\$11,313.12		\$10,181.96

ADJUSTMENTS

06/15/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
06/15/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$10,181.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$10,054.46
Year-to-date Earnings	\$78,265.53