

# STATEMENT

## February 20, 2018

DHRUMIL PARKIH

BROKER #: 606

BRANCH: LP BRANCH

, CA

### COMMISSION STATEMENT for DHRUMIL PARKIH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
01/04/2018 #	CHHABRA, GURDEEP	DREYFUS ALCENTRA GLOBAL CR INCM 202	10,635.50	302.90	80.0	0.00	242.32	19.95	222.37
01/10/2018 #	CHHABRA, GURDEEP	NUVEEN EMERGING MARKETS DEBT 2022 T	9,600.00	279.98	80.0	0.00	223.98	19.95	204.03
01/18/2018 #	CHHABRA, GURDEEP	DREYFUS ALCENTRA GLOBAL CR INCM 202	9,810.00	275.00	80.0	0.00	220.00	19.95	200.05
01/18/2018 #	CHHABRA, GURDEEP	GENERAL ELECTRIC CO	8,525.00	215.91	80.0	0.00	172.73	19.95	152.78
01/18/2018 #	CHHABRA, GURDEEP	POST HOLDINGS INC	11,790.00	274.00	80.0	0.00	219.20	19.95	199.25
01/22/2018 #	CHHABRA, GURDEEP	ADOBE SYSTEMS INC	19,795.00	445.00	80.0	0.00	356.00	19.95	336.05
01/22/2018 #	CHHABRA, GURDEEP	NUVEEN EMERGING MARKETS DEBT 2022 T	9,553.00	275.00	80.0	0.00	220.00	19.95	200.05
01/23/2018 #	CHHABRA, GURDEEP	TWITTER INC	11,275.00	260.00	80.0	0.00	208.00	19.95	188.05
01/25/2018 #	CHHABRA, GURDEEP	STARBUCKS CORP	12,141.00	279.00	80.0	0.00	223.20	19.95	203.25
01/25/2018 #	CHHABRA, GURDEEP	VMWARE INC CL A	13,800.00	324.00	80.0	0.00	259.20	19.95	239.25
01/26/2018 #	PATEL, DAHYABHAI	CENTURYLINK INC	12,719.00	296.23	80.0	0.00	236.98	19.95	217.03
01/26/2018 #	PATEL, RAMAN	CENTURYLINK INC	9,094.40	224.18	80.0	0.00	179.34	19.95	159.39
01/26/2018 #	CHHABRA, GURDEEP	CENTURYLINK INC	9,085.00	224.05	80.0	0.00	179.24	19.95	159.29
01/26/2018 #	CHHABRA, GURDEEP	COMCAST CORP NEW CL A	12,630.00	279.00	80.0	0.00	223.20	19.95	203.25
01/26/2018 #	PATEL, DAHYABHAI	GENERAL ELECTRIC CO	7,990.00	208.13	80.0	0.00	166.50	19.95	146.55
01/26/2018 #	PATEL, RAMAN	GENERAL ELECTRIC CO	8,039.40	208.85	80.0	0.00	167.08	19.95	147.13
01/26/2018 #	PATEL, DAHYABHAI	ICICI BANK LTD SPONSORED ADR	11,130.00	302.21	80.0	0.00	241.77	19.95	221.82
01/26/2018 #	CHHABRA, GURDEEP	MAGELLAN HEALTH INC	10,050.00	231.00	80.0	0.00	184.80	19.95	164.85
01/26/2018 #	CHHABRA, GURDEEP	MORGAN STANLEY	11,390.00	264.00	80.0	0.00	211.20	19.95	191.25
01/26/2018 #	PATEL, RAMAN	NUVEEN EMERGING MARKETS DEBT 2022 T	19,200.00	475.00	80.0	0.00	380.00	19.95	360.05
01/26/2018 #	CHHABRA, GURDEEP	T MOBILE US INC	12,998.00	305.00	80.0	0.00	244.00	19.95	224.05
01/26/2018 #	PATEL, DAHYABHAI	UNITED CONTINENTAL HLDGS INC	11,541.25	268.00	80.0	0.00	214.40	19.95	194.45
01/29/2018 #	CHHABRA, GURDEEP	TWITTER INC	12,725.00	298.00	80.0	0.00	238.40	19.95	218.45
* STOCKS SUBTOTAL *			\$265,516.55	\$6,514.44		\$0.00	\$5,211.54	\$458.85	\$4,752.69
*** BROKER TRANSACTIONS TOTAL ***			\$265,516.55	\$6,514.44		\$0.00	\$5,211.54	\$458.85	\$4,752.69

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>									
<b>BROKER: SPLIT 619 PRASAD/PARIKH #296</b>									
01/26/2018 #	ADAPA, SRINIVASA	ADOBE SYSTEMS INC	19,985.00	239.50		0.00	191.60	9.98	181.62
01/26/2018 #	ADAPA, SRINIVASA	APPLE INC	17,028.76	202.50		0.00	162.00	9.98	152.02
01/26/2018 #	ADAPA, SRINIVASA	AUTOMATIC DATA PROCESSING INC	19,444.00	233.00		0.00	186.40	9.98	176.42
01/26/2018 #	PATEL, BHARAT	BOEING CO	17,132.50	152.50		0.00	122.00	9.98	112.02
01/26/2018 #	RAM, KALPESH	BOEING CO	10,284.00	131.00		0.00	104.80	9.98	94.82
01/26/2018 #	RAM, MEENA	BOEING CO	11,651.80	152.50		0.00	122.00	9.98	112.02
01/26/2018 #	RAM, KALPESH	COMCAST CORP NEW CL A	10,683.35	136.50		0.00	109.20	9.98	99.22
01/26/2018 #	RAM, KALPESH	COSTCO WHOLESALE CORP-NEW	10,913.10	137.50		0.00	110.00	9.98	100.02
01/26/2018 #	RAM, MEENA	COSTCO WHOLESALE CORP-NEW	11,908.81	152.50		0.00	122.00	9.98	112.02
01/26/2018 #	PATEL, BHARAT	GENERAL ELECTRIC CO	8,029.40	104.36		0.00	83.49	9.98	73.51
01/26/2018 #	RAM, KALPESH	GENERAL ELECTRIC CO	10,413.00	137.18		0.00	109.74	9.98	99.76
01/26/2018 #	ADAPA, SRINIVASA	GOLDMAN SACHS GROUP INC	20,077.49	237.50		0.00	190.00	9.98	180.02
01/26/2018 #	PATEL, BHARAT	ICICI BANK LTD SPONSORED ADR	12,281.50	162.70		0.00	130.16	9.98	120.18
01/26/2018 #	PATEL, BHARAT	MORGAN STANLEY	11,450.00	132.50		0.00	106.00	9.98	96.02
01/26/2018 #	ADAPA, SRINIVASA	NETFLIX COM INC	20,426.24	239.50		0.00	191.60	9.98	181.62
01/26/2018 #	ADAPA, SRINIVASA	NORTHROP GRUMMAN CORP	16,549.00	195.00		0.00	156.00	9.98	146.02
01/26/2018 #	ADAPA, SRINIVASA	SALESFORCE.COM INC	11,340.00	131.50		0.00	105.20	9.98	95.22
01/26/2018 #	PATEL, BHARAT	SALESFORCE.COM INC	11,349.51	130.00		0.00	104.00	9.98	94.02
01/26/2018 #	PATEL, BHARAT	SKECHERS USA INC	10,166.70	121.80		0.00	97.44	9.98	87.46
01/26/2018 #	PATEL, BHARAT	STARBUCKS CORP	14,200.00	137.50		0.00	110.00	9.98	100.02
01/26/2018 #	PATEL, BHARAT	T MOBILE US INC	12,960.00	152.00		0.00	121.60	9.98	111.62
01/26/2018 #	ADAPA, SRINIVASA	TWITTER INC	11,975.00	139.50		0.00	111.60	9.98	101.62
01/26/2018 #	ADAPA, SRINIVASA	VISA INC	20,089.55	239.50		0.00	191.60	9.98	181.62
01/26/2018 #	ADAPA, SRINIVASA	WYNN RESORTS LTD	20,350.00	247.50		0.00	198.00	9.98	188.02
<b>* SPLIT 619 PRASAD/PARIKH SUBTOTAL *</b>			<b>\$340,688.71</b>	<b>\$4,045.54</b>		<b>\$0.00</b>	<b>\$3,236.43</b>	<b>\$239.52</b>	<b>\$2,996.91</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$340,688.71</b>	<b>\$4,045.54</b>		<b>\$0.00</b>	<b>\$3,236.43</b>	<b>\$239.52</b>	<b>\$2,996.91</b>

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ADJUSTMENTS

02/20/2018		ADMIN FEE: ADMIN FEE							-320.00
02/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$4,752.69
Split Transactions	\$2,996.91
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$7,250.60
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