

## **COMMISSION STATEMENT**

**April 30, 2017** 

L135-KEITH/JOE LAYMAN/WIEGAND

BROKER #: L135

BRANCH: APPLE TREE FINANCIAL

, MI

| DATE                                     | CLIENT               | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE   | NET<br>COMMISSI | ON RATE    | BROKER<br>COMMISSION |
|--|----------------------|-------------------------------------|----------------------|---------------------|--|-----------------|------------|----------------------|
|  |                      |                                     |                      |                     |  |                 |            |                      |
|  |                      | BROKER TRANS                        | ACTIONS              |                     |  |                 |            |                      |
| PRODUCT CAT                              | TEGORY: MUTUAL FUNDS |                                     |                      |                     |  |                 |            |                      |
| ^ / /                                    | MARKS, RICHARD       | ASCENSUS 529 2031 ENROLLMENT PORT A | 0.00                 | 0.70                | 0.00   | 0.70 100.0      |            | 0.00                 |
| ^04/13/2017                              | MULLINS, JAMES       | ASCENSUS INA 2022 ENROLLMENT PTF A  | 50.00                | 2.38                | 0.00   |                 | 2.38 100.0 | 0.00                 |
|  |                      | * MUTUAL FUNDS SUBTOTAL *           | \$50.00              | \$3.08              | \$0.00   | ş               | 3.08       | \$0.00               |
|  |                      | *** BROKER TRANSACTIONS TOTAL ***   | \$50.00              | \$3.08              | \$0.00   | ş               | 33.08      | \$0.00               |
|  |                      |                                     |                      |                     | <u> 1</u>  | BROKER C        | OMMISSION  | TOTALS               |
|  |                      |                                     |                      |                     | Broker Transactions  |                 |            | \$0.00               |
|  |                      |                                     |                      |                     | Split Transactions<br>Override Transactions<br>Adjustments |                 | \$0.00     |                      |
|  |                      |                                     |                      |                     |  |                 | \$0.00     |                      |
|  |                      |                                     |                      |                     |  |                 | \$0.00     |                      |
|  |                      |                                     |                      |                     | Payroll Draw   |                 |            | \$0.00               |
|  |                      |                                     |                      | Base Salary         |  |                 | \$0.00     |                      |
|  | Prior Period Balance |                                     |                      | lance               | \$0.00   |                 |            |                      |
|  |                      |                                     |                      |                     | FI   | NRA Asses       | sment      | \$0.00               |
|  |                      |                                     |                      |                     | S  | IPC Asses       | sment      | \$0.00               |
| Please Retain for<br>THERE WILL BE NO CH |                      |                                     |                      | )                   |  | Balance Fo      | orward     | \$0.00               |
|  |                      |                                     |                      |                     | Yea  | r-to-date       | Gross      | \$0.00               |
|  |                      |                                     |                      |                     | Year-to  | o-date Ear      | rnings     | \$0.00               |