

COMMISSION STATEMENT

April 26, 2018

HOUSE
3200 N. Central Ave., Ste 200
Phoenix, AZ 85012

BROKER #: 73853
BRANCH: 04-#70A11 HOME OFFIC

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: Securities America

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | |
|---------------------------|----------------------|----------------------|---------------|-----------------|---------------|-----------------|-----------------|
| 04/26/2018 | MELBY (73853), HOUSE | SAI PERSHING CHARGES | 0.00 | -60.00 | 0.00 | -60.00 | -18.00 |
| 04/26/2018 | MELBY (73853), HOUSE | SAI SECURITES INCOME | 0.00 | 459.80 | 0.00 | 459.80 | 137.94 |
| * MUTUAL FUNDS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$399.80</u> | <u>\$0.00</u> | <u>\$399.80</u> | <u>\$119.94</u> |

PRODUCT CATEGORY: TRAILERS

| | | | | | | | |
|-----------------------------------|----------------------|------------|---------------|-----------------|---------------|-----------------|-----------------|
| 04/26/2018 | MELBY (73853), HOUSE | SAI.TRAILS | 0.00 | 242.60 | 0.00 | 242.60 | 72.78 |
| * TRAILERS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$242.60</u> | <u>\$0.00</u> | <u>\$242.60</u> | <u>\$72.78</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | <u>\$0.00</u> | <u>\$642.40</u> | <u>\$0.00</u> | <u>\$642.40</u> | <u>\$192.72</u> |

ADJUSTMENTS

| | | | | | | | |
|---------------------------|--|--|---------------|---------------|---------------|---------------|----------------|
| 19. SAI SIPC PE 4/20/18 | | | | | | | -3.97 |
| *** ADJUSTMENTS TOTAL *** | | | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$-3.97</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$192.72 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-3.97 |
| Prior Period Balance | \$0.00 |
| NASD Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|------------|
| Check Amount | \$188.75 |
| Year-to-date Earnings | \$4,105.97 |