



Concorde Investment Services, LLC

12/28/2017

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## COMMISSION STATEMENT

December 31, 2017

**ACCOUNT HOUSE**

1120 E. LONG LAKE ROAD  
SUITE 207  
TROY, MI 48085-

**BROKER #: G999**

BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/13/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-28,000.00	0.00	0.00	0.00	100.0	0.00
12/13/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	26,810.00	0.00	0.00	0.00	100.0	0.00
12/19/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	26,427.00	0.00	0.00	0.00	100.0	0.00
12/19/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-27,600.00	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-111.84	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	106.25	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	-1,001.70	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	969.14	0.00	0.00	0.00	100.0	0.00
12/13/2017	CONCORDE, VARIOUS	COLUMBIA DIVIDEND INCOME A	-31,000.00	0.00	0.00	0.00	100.0	0.00
12/13/2017	CONCORDE, VARIOUS	COLUMBIA DIVIDEND INCOME A	29,450.00	0.00	0.00	0.00	100.0	0.00
12/19/2017	CONCORDE, VARIOUS	COLUMBIA DIVIDEND INCOME A	26,220.00	0.00	0.00	0.00	100.0	0.00
12/19/2017	CONCORDE, VARIOUS	COLUMBIA DIVIDEND INCOME A	-27,600.00	0.00	0.00	0.00	100.0	0.00
12/19/2017	CONCORDE, VARIOUS	FRANKLIN INCOME A	19,200.00	0.00	0.00	0.00	100.0	0.00
12/19/2017	CONCORDE, VARIOUS	FRANKLIN INCOME A	-20,000.00	0.00	0.00	0.00	100.0	0.00
12/19/2017	CONCORDE, VARIOUS	FRANKLIN INCOME A	-27,600.00	0.00	0.00	0.00	100.0	0.00
12/19/2017	CONCORDE, VARIOUS	FRANKLIN INCOME A	26,496.00	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	202.70	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	-204.75	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	142.08	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	193.74	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-146.85	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	749.14	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-200.25	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-961.20	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	929.96	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-774.30	0.00	0.00	0.00	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/15/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-186.19	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-138.56	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	938.31	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-770.74	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-952.60	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	136.48	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	183.40	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	759.18	0.00	0.00	0.00	100.0	0.00
12/14/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	47.50	0.00	0.00	0.00	100.0	0.00
12/14/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	-50.00	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	99.00	0.00	0.00	0.00	100.0	0.00
12/15/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-100.00	0.00	0.00	0.00	100.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$-7,339.10</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
12/12/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-759.99	0.00	1.00	-1.00	100.0	-1.00
12/12/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-728.97	0.00	1.00	-1.00	100.0	-1.00
12/12/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-77.55	0.00	1.00	-1.00	100.0	-1.00
12/12/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-837.00	0.00	1.00	-1.00	100.0	-1.00
12/12/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-775.00	0.00	1.00	-1.00	100.0	-1.00
12/12/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-155.00	0.00	1.00	-1.00	100.0	-1.00
12/12/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-770.00	0.00	1.00	-1.00	100.0	-1.00
12/12/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-770.00	0.00	1.00	-1.00	100.0	-1.00
12/12/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-1,510.00	0.00	1.00	-1.00	100.0	-1.00
12/12/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-606.40	0.00	1.00	-1.00	100.0	-1.00
12/12/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-755.00	0.00	1.00	-1.00	100.0	-1.00
12/12/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-755.00	0.00	1.00	-1.00	100.0	-1.00
12/12/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-14,269.50	0.00	1.00	-1.00	100.0	-1.00
12/12/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	22,770.00	0.00	0.00	0.00	100.0	0.00
12/14/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	3,450.00	0.00	1.00	-1.00	100.0	-1.00
12/14/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	1,154.60	0.00	1.00	-1.00	100.0	-1.00
12/14/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	7,008.00	0.00	1.00	-1.00	100.0	-1.00
12/14/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-11,610.00	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-2,490.00	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
12/18/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-498.00	0.00	1.00	-1.00	100.0	-1.00
12/18/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-13,366.00	0.00	1.00	-1.00	100.0	-1.00
12/18/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	16,350.00	0.00	0.00	0.00	100.0	0.00
12/20/2017	CONCORDE, VARIOUS	ENDO INTERNATIONAL PLC	997.73	0.00	0.00	0.00	100.0	0.00
12/20/2017	CONCORDE, VARIOUS	ENDO INTERNATIONAL PLC	-95.80	0.00	1.00	-1.00	100.0	-1.00
12/20/2017	CONCORDE, VARIOUS	ENDO INTERNATIONAL PLC	-901.92	0.00	1.00	-1.00	100.0	-1.00
12/18/2017	CONCORDE, VARIOUS	FINISAR CORP COM NEW	15,238.93	0.00	1.00	-1.00	100.0	-1.00
12/18/2017	CONCORDE, VARIOUS	FINISAR CORP COM NEW	28,288.00	0.00	1.00	-1.00	100.0	-1.00
12/18/2017	CONCORDE, VARIOUS	FINISAR CORP COM NEW	-43,527.00	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	3,698.00	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	770.42	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-4,468.42	0.00	1.00	-1.00	100.0	-1.00
12/18/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	4,073.70	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-15,480.06	0.00	1.00	-1.00	100.0	-1.00
12/18/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	11,406.36	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	1,917.47	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-199.42	0.00	1.00	-1.00	100.0	-1.00
12/18/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,718.03	0.00	1.00	-1.00	100.0	-1.00
12/19/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-3,912.70	0.00	1.00	-1.00	100.0	-1.00
12/19/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-727.43	0.00	1.00	-1.00	100.0	-1.00
12/19/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-391.50	0.00	1.00	-1.00	100.0	-1.00
12/19/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-602.68	0.00	1.00	-1.00	100.0	-1.00
12/19/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-391.50	0.00	1.00	-1.00	100.0	-1.00
12/19/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	6,025.87	0.00	0.00	0.00	100.0	0.00
12/12/2017	CONCORDE, VARIOUS	NUCOR CORP COM	20,129.46	0.00	0.00	0.00	100.0	0.00
12/12/2017	CONCORDE, VARIOUS	NUCOR CORP COM	-10,404.26	0.00	1.00	-1.00	100.0	-1.00
12/12/2017	CONCORDE, VARIOUS	NUCOR CORP COM	-9,725.21	0.00	1.00	-1.00	100.0	-1.00
12/22/2017	LINDHOLM, BRENDA	PIEDMONT OFFICE RLTY TR INC COM CL	-4,768.61	0.00	13.50	-13.50	100.0	-13.50
12/18/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-2,402.41	0.00	1.00	-1.00	100.0	-1.00
12/18/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	668.22	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	1,734.19	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	1,012.04	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-1,334.06	0.00	1.00	-1.00	100.0	-1.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
12/18/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	322.01	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-5,880.53	0.00	1.00	-1.00	100.0	-1.00
12/18/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	1,383.65	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	4,496.87	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	73.51	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	2,977.16	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-3,050.67	0.00	1.00	-1.00	100.0	-1.00
12/18/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	1,114.10	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-1,371.20	0.00	1.00	-1.00	100.0	-1.00
12/18/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	257.10	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-18,275.48	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-5,845.40	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	34,143.69	0.00	1.00	-1.00	100.0	-1.00
12/18/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-28,298.29	0.00	0.00	0.00	100.0	0.00
12/18/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	5,471.50	0.00	1.00	-1.00	100.0	-1.00
12/18/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	7,332.47	0.00	1.00	-1.00	100.0	-1.00
12/18/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	5,471.50	0.00	1.00	-1.00	100.0	-1.00
12/20/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-85,030.34	0.00	0.00	0.00	100.0	0.00
12/20/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	2,456.10	0.00	1.00	-1.00	100.0	-1.00
12/20/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	82,574.24	0.00	1.00	-1.00	100.0	-1.00
12/22/2017	CONCORDE, VARIOUS	WELLTOWER INC COM STK	-6,344.00	0.00	1.00	-1.00	100.0	-1.00
12/22/2017	CONCORDE, VARIOUS	WELLTOWER INC COM STK	-2,474.16	0.00	1.00	-1.00	100.0	-1.00
12/22/2017	CONCORDE, VARIOUS	WELLTOWER INC COM STK	-2,283.84	0.00	1.00	-1.00	100.0	-1.00
12/22/2017	CONCORDE, VARIOUS	WELLTOWER INC COM STK	11,102.00	0.00	0.00	0.00	100.0	0.00
12/21/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	28,350.00	0.00	0.00	0.00	100.0	0.00
12/21/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-2,268.00	0.00	1.00	-1.00	100.0	-1.00
12/21/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-5,670.00	0.00	1.00	-1.00	100.0	-1.00
12/21/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-5,670.00	0.00	1.00	-1.00	100.0	-1.00
12/21/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-5,670.00	0.00	1.00	-1.00	100.0	-1.00
12/21/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-3,402.00	0.00	1.00	-1.00	100.0	-1.00
12/21/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-5,670.00	0.00	1.00	-1.00	100.0	-1.00
<b>* STOCKS SUBTOTAL *</b>			<b>\$-4,769.44</b>	<b>\$0.00</b>	<b>\$67.50</b>	<b>\$-67.50</b>		<b>\$-67.50</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>								
12/12/2017	CONCORDE, VARIOUS	CALL 100 FINISAR CORP COM NEW EXP 1	-770.00	0.00	1.00	-1.00	100.0	-1.00
12/12/2017	CONCORDE, VARIOUS	CALL 100 FINISAR CORP COM NEW EXP 1	-770.00	0.00	1.00	-1.00	100.0	-1.00
12/12/2017	CONCORDE, VARIOUS	CALL 100 FINISAR CORP COM NEW EXP 1	1,540.00	0.00	0.00	0.00	100.0	0.00
* OPTIONS SUBTOTAL *			\$0.00	\$0.00	\$2.00	\$-2.00		\$-2.00
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
12/12/2017	CONCORDE, VARIOUS	FT FOR FUTURE ISSUES SEE 30305X UNI	-19,427.96	0.00	17.50	-17.50	100.0	-17.50
12/12/2017	CONCORDE, VARIOUS	FT FOR FUTURE ISSUES SEE 30305X UNI	19,039.37	0.00	17.50	-17.50	100.0	-17.50
12/12/2017	CONCORDE, VARIOUS	FT UNIT 6887 DIVERSIFIED EQUITY STR	-19,499.12	0.00	17.50	-17.50	100.0	-17.50
12/12/2017	CONCORDE, VARIOUS	FT UNIT 6887 DIVERSIFIED EQUITY STR	20,440.76	0.00	17.50	-17.50	100.0	-17.50
12/12/2017	CONCORDE, VARIOUS	FT UNIT 6887 DIVERSIFIED EQUITY STR	19,183.19	0.00	17.50	-17.50	100.0	-17.50
12/12/2017	CONCORDE, VARIOUS	FT UNIT 6887 DIVERSIFIED EQUITY STR	-19,426.05	0.00	17.50	-17.50	100.0	-17.50
12/12/2017	CONCORDE, VARIOUS	FT UNIT 6887 DIVERSIFIED EQUITY STR	-20,699.55	0.00	17.50	-17.50	100.0	-17.50
12/12/2017	CONCORDE, VARIOUS	FT UNIT 6887 DIVERSIFIED EQUITY STR	19,255.34	0.00	17.50	-17.50	100.0	-17.50
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$-1,134.02	\$0.00	\$140.00	\$-140.00		\$-140.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-13,242.56	\$0.00	\$209.50	\$-209.50		\$-209.50
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
12/15/2017	GOODING, VARIOUS	BR TOTAL INC & REAL ESTATE FUND A	0.00	1.08	0.00	1.08	100.0	1.08
12/19/2017	TWEED, VARIOUS	BR TOTAL INC & REAL ESTATE FUND A	0.00	8.36	0.00	8.36	100.0	8.36
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$9.44	\$0.00	\$9.44		\$9.44
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
11/28/2017	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
12/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
12/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
11/28/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
* 529 PLANS SUBTOTAL *			\$375.00	\$14.56	\$0.00	\$14.56		\$14.56
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/01/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
12/15/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
12/15/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/01/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
12/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
12/01/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
12/01/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
12/01/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
12/11/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	100.0	2.81
12/18/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.28	0.00	1.28	100.0	1.28
12/11/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	100.0	2.81
12/18/2017	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
12/18/2017	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
12/20/2017	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
12/11/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	100.0	1.88
12/15/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
12/18/2017	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.28	0.00	1.28	100.0	1.28
11/27/2017	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	100.0	1.50
11/27/2017	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	100.0	1.50
12/18/2017	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.28	0.00	1.28	100.0	1.28
12/15/2017	BUGGS, MICHELLE	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	100.0	2.50
12/18/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.28	0.00	1.28	100.0	1.28
12/18/2017	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.28	0.00	1.28	100.0	1.28
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$2,125.00</b>	<b>\$76.73</b>	<b>\$0.00</b>	<b>\$76.73</b>		<b>\$76.73</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/11/2017	ARREDONDO, JAQUELINE	ADV CENTURY	600.00	24.00	0.00	24.00	100.0	24.00
12/11/2017	BADEN, GERALDINE	ADV CENTURY	300.00	15.75	0.00	15.75	100.0	15.75
12/11/2017	BADEN, GERALDINE	ADV CENTURY	300.00	15.75	0.00	15.75	100.0	15.75
12/11/2017	BADEN, GERALDINE	ADV CENTURY	300.00	15.75	0.00	15.75	100.0	15.75
12/11/2017	BADEN, GERALDINE	ADV CENTURY	300.00	15.75	0.00	15.75	100.0	15.75
12/11/2017	BODNAR, AMY	ADV CENTURY	650.00	26.00	0.00	26.00	100.0	26.00
12/11/2017	JOHNSON, DAVID	ADV CENTURY	200.00	8.00	0.00	8.00	100.0	8.00
12/11/2017	MOLIK, REBECA	ADV CENTURY	500.00	20.00	0.00	20.00	100.0	20.00
12/11/2017	MORGAN, MICHAEL	ADV CENTURY	300.00	15.75	0.00	15.75	100.0	15.75
12/11/2017	ROBERTSON, JEFFERY	ADV CENTURY	1,000.00	40.00	0.00	40.00	100.0	40.00
12/11/2017	RUNNELS, JANICE	ADV CENTURY	400.00	16.00	0.00	16.00	100.0	16.00

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/11/2017	STEVENS, TALEEN	ADV CENTURY	50.00	2.63	0.00	2.63	100.0	2.63
12/11/2017	STEVENS, TALEEN	ADV CENTURY	50.00	2.63	0.00	2.63	100.0	2.63
12/11/2017	STEVENS, TALEEN	ADV CENTURY	50.00	2.63	0.00	2.63	100.0	2.63
12/11/2017	STEVENS, TALEEN	ADV CENTURY	50.00	2.63	0.00	2.63	100.0	2.63
12/11/2017	TEANEY, GRETCHEN	ADV CENTURY	687.00	27.48	0.00	27.48	100.0	27.48
12/12/2017	HALE, ALEXA	ADV CENTURY	100.00	5.25	0.00	5.25	100.0	5.25
12/12/2017	HALE, ALEXA	ADV CENTURY	100.00	5.25	0.00	5.25	100.0	5.25
12/12/2017	HALE, ALEXA	ADV CENTURY	100.00	5.25	0.00	5.25	100.0	5.25
12/12/2017	LENGNING, DUSTIN	ADV CENTURY	150.00	7.88	0.00	7.88	100.0	7.88
12/12/2017	LENGNING, DUSTIN	ADV CENTURY	150.00	7.88	0.00	7.88	100.0	7.88
12/12/2017	LENGNING, DUSTIN	ADV CENTURY	150.00	7.88	0.00	7.88	100.0	7.88
12/12/2017	ORTH, CHRISTINE	ADV CENTURY	25.00	1.31	0.00	1.31	100.0	1.31
12/12/2017	ORTH, CHRISTINE	ADV CENTURY	25.00	1.31	0.00	1.31	100.0	1.31
12/12/2017	ORTH, CHRISTINE	ADV CENTURY	25.00	1.31	0.00	1.31	100.0	1.31
12/12/2017	SENA, CHERYL	ADV CENTURY	150.00	7.88	0.00	7.88	100.0	7.88
12/12/2017	SENA, CHERYL	ADV CENTURY	150.00	7.88	0.00	7.88	100.0	7.88
12/12/2017	SENA, CHERYL	ADV CENTURY	150.00	7.88	0.00	7.88	100.0	7.88
12/15/2017	FORMELLA, ANNETTE	GENWORTH INS	25.00	0.25	0.00	0.25	100.0	0.25
12/15/2017	FORMELLA, DAVID	GENWORTH INS	35.00	0.35	0.00	0.35	100.0	0.35
12/18/2017	FORMELLA, ANNETTE	GENWORTH INS	11,565.60	2.41	0.00	2.41	100.0	2.41
12/18/2017	FORMELLA, DAVID	GENWORTH INS	11,383.61	2.37	0.00	2.37	100.0	2.37
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$30,021.21</b>	<b>\$323.09</b>	<b>\$0.00</b>	<b>\$323.09</b>		<b>\$323.09</b>
<b><u>PRODUCT CATEGORY: LIFE INSURANCE</u></b>								
12/04/2017	KENNARD, DEAN	PAC LIFE VUL	0.00	4.48	0.00	4.48	100.0	4.48
12/04/2017	PAI, ANITHA	PAC LIFE VUL	0.00	1.74	0.00	1.74	100.0	1.74
12/04/2017	PAI, ANITHA	PAC LIFE VUL	0.00	2.76	0.00	2.76	100.0	2.76
<b>* LIFE INSURANCE SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$8.98</b>	<b>\$0.00</b>	<b>\$8.98</b>		<b>\$8.98</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
12/11/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	0.25	0.00	0.25	100.0	0.25
12/11/2017	PAI, YOGISH & ANITA	PAC LIFE VUL	0.00	9.64	0.00	9.64	100.0	9.64
12/11/2017	PAI, YOGISH & ANITA	PAC LIFE VUL	0.00	4.36	0.00	4.36	100.0	4.36
12/12/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	1.00	0.00	1.00	100.0	1.00

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
12/13/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	20.58	0.00	20.58	100.0	20.58
12/13/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	0.50	0.00	0.50	100.0	0.50
12/13/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	0.30	0.00	0.30	100.0	0.30
12/13/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	20.76	0.00	20.76	100.0	20.76
12/14/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	0.60	0.00	0.60	100.0	0.60
12/14/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	1.00	0.00	1.00	100.0	1.00
12/14/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	0.50	0.00	0.50	100.0	0.50
12/15/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	0.25	0.00	0.25	100.0	0.25
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$59.74</b>	<b>\$0.00</b>	<b>\$59.74</b>		<b>\$59.74</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/07/2017	SELLS, SUSAN	PACIFIC LIFE TRAILS	0.00	5.56	0.00	5.56	100.0	5.56
11/20/2017	DUB, DAWN	ADV CENTURY	0.00	10.50	0.00	10.50	100.0	10.50
11/20/2017	MORALES, CHRISTINE	ADV CENTURY	0.00	26.25	0.00	26.25	100.0	26.25
11/30/2017	HARTOUNIAN, HAGOB BEN	GENWORTH TRAILS	0.00	9.80	0.00	9.80	100.0	9.80
11/29/2017	CHIDSEY, CYNTHIA	ING PREMIUM PLUS	0.00	5.14	0.00	5.14	100.0	5.14
12/17/2017	WALSH, JAMES	ING PREMIUM PLUS	131,409.56	82.13	0.00	82.13	100.0	82.13
12/11/2017	DAVIS, PATRICIA	PAC LIFE INNOVATIVE SELECT	0.00	109.74	0.00	109.74	100.0	109.74
12/12/2017	GUGLIELME, SAUNDRA	PAC LIFE PACIFIC CHOICE	0.00	41.95	0.00	41.95	100.0	41.95
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$131,409.56</b>	<b>\$291.07</b>	<b>\$0.00</b>	<b>\$291.07</b>		<b>\$291.07</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$163,930.77</b>	<b>\$783.61</b>	<b>\$0.00</b>	<b>\$783.61</b>		<b>\$783.61</b>

**OVERRIDE TRANSACTIONS****BROKER: ANTHONY GUGLIELME #8**

12/15/2017	BRANDUZZI, DOMENIC	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	4.25	0.00	4.25	0.0	4.25
12/15/2017	BRANDUZZI, DOMENIC	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	0.0	4.25
<b>* ANTHONY GUGLIELME SUBTOTAL *</b>			<b>\$200.00</b>	<b>\$8.50</b>	<b>\$0.00</b>	<b>\$8.50</b>		<b>\$8.50</b>

**BROKER: JIM LUND #10**

12/01/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	0.0	6.38
12/20/2017	GARZA, NATHAN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.50	0.00	2.50	0.0	2.50
12/20/2017	GARZA, NATHAN	AM FUNDS NEW PERSPECTIVE 529A	50.00	2.50	0.00	2.50	0.0	2.50
12/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #10</u></b>								
12/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	0.82	0.00	0.82	0.0	0.82
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	0.27	0.00	0.27	0.0	0.27
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	4.48	0.00	4.48	0.0	4.48
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	3.50	0.00	3.50	0.0	3.50
12/15/2017	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	70.00	0.70	0.00	0.70	0.0	0.70
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT CL A	0.00	0.90	0.00	0.90	0.0	0.90
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT CL A	0.00	2.00	0.00	2.00	0.0	2.00
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	2.88	0.00	2.88	0.0	2.88
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	0.41	0.00	0.41	0.0	0.41
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	1.53	0.00	1.53	0.0	1.53
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GRWTH PORT CL A	0.00	2.70	0.00	2.70	0.0	2.70
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	3.11	0.00	3.11	0.0	3.11
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	0.44	0.00	0.44	0.0	0.44
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	0.00	8.61	0.00	8.61	0.0	8.61
12/15/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
12/15/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT CL A	0.00	3.38	0.00	3.38	0.0	3.38
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT CL A	0.00	5.38	0.00	5.38	0.0	5.38
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT A	0.00	3.18	0.00	3.18	0.0	3.18
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT A	0.00	1.11	0.00	1.11	0.0	1.11
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	3.14	0.00	3.14	0.0	3.14
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	0.44	0.00	0.44	0.0	0.44
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	3.08	0.00	3.08	0.0	3.08
/ /	SOIORIO, ROSE	FA 529 PORT 2016 C	0.00	1.69	0.00	1.69	0.0	1.69
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 A	0.00	15.16	0.00	15.16	0.0	15.16
/ /	MACUCH, PAUL	FA 529 PORT 2019 A	0.00	0.55	0.00	0.55	0.0	0.55
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 A	0.00	0.72	0.00	0.72	0.0	0.72
/ /	DORAN, PATRICK	FA 529 PORT 2019 A	0.00	2.01	0.00	2.01	0.0	2.01
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	0.00	2.29	0.00	2.29	0.0	2.29
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	2.29	0.00	2.29	0.0	2.29
12/15/2017	MACUCH, PAUL	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
12/15/2017	DORAN, PATRICK	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAMES PANOS #10 </u></b>								
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	7.14	0.00	7.14	0.0	7.14
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	7.14	0.00	7.14	0.0	7.14
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	2.38	0.00	2.38	0.0	2.38
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	2.38	0.00	2.38	0.0	2.38
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	2.38	0.00	2.38	0.0	2.38
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	2.38	0.00	2.38	0.0	2.38
/ /	AHERN, KEVIN	FA 529 PORT 2022 C	0.00	2.34	0.00	2.34	0.0	2.34
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	7.14	0.00	7.14	0.0	7.14
12/15/2017	LORA, MARCOS	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
12/15/2017	LORA, CATALINA	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
12/15/2017	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
12/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
12/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
12/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
12/15/2017	LORA, ROLANDO	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	0.00	15.65	0.00	15.65	0.0	15.65
/ /	LORA, MARCOS	FA 529 PORT 2022 CL A	0.00	9.08	0.00	9.08	0.0	9.08
/ /	LORA, CATALINA	FA 529 PORT 2022 CL A	0.00	4.76	0.00	4.76	0.0	4.76
/ /	POWERS, GARY	FA 529 PORT 2022 CL A	0.00	2.67	0.00	2.67	0.0	2.67
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	0.00	4.05	0.00	4.05	0.0	4.05
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	0.00	6.09	0.00	6.09	0.0	6.09
/ /	DORAN, PATRICK	FA 529 PORT 2022 CL A	0.00	1.22	0.00	1.22	0.0	1.22
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	1.91	0.00	1.91	0.0	1.91
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	2.37	0.00	2.37	0.0	2.37
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 CL A	0.00	7.01	0.00	7.01	0.0	7.01
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	1.48	0.00	1.48	0.0	1.48
/ /	AHERN, KEVIN	FA 529 PORT 2022 CL A	0.00	0.77	0.00	0.77	0.0	0.77
/ /	DORAN, SHAWN	FA 529 PORT 2022 CL A	0.00	0.83	0.00	0.83	0.0	0.83
/ /	LORA, ROLANDO	FA 529 PORT 2022 CL A	0.00	3.63	0.00	3.63	0.0	3.63
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 CL A	0.00	0.76	0.00	0.76	0.0	0.76
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	0.00	9.87	0.00	9.87	0.0	9.87
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.46	0.00	1.46	0.0	1.46
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.46	0.00	1.46	0.0	1.46

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAMES PANOS #10 </u></b>								
/ /	AHERN, KEVIN	FA 529 PORT 2025 A	0.00	4.77	0.00	4.77	0.0	4.77
/ /	AHERN, KEVIN	FA 529 PORT 2025 A	0.00	0.62	0.00	0.62	0.0	0.62
/ /	MURPHY, CHRIS	FA 529 PORT 2025 A	0.00	4.62	0.00	4.62	0.0	4.62
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 A	0.00	3.47	0.00	3.47	0.0	3.47
/ /	MACK, NEIL	FA 529 PORT 2025 A	0.00	2.00	0.00	2.00	0.0	2.00
12/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
12/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	16.65	0.00	16.65	0.0	16.65
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	0.00	9.70	0.00	9.70	0.0	9.70
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	12.13	0.00	12.13	0.0	12.13
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	6.00	0.00	6.00	0.0	6.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.58	0.00	3.58	0.0	3.58
/ /	MACK, NEIL	FA 529 PORT 2028 A	0.00	0.83	0.00	0.83	0.0	0.83
/ /	MACUCH, PAUL	FA 529 PORT 2028 A	0.00	0.74	0.00	0.74	0.0	0.74
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	13.26	0.00	13.26	0.0	13.26
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	12.51	0.00	12.51	0.0	12.51
/ /	MACUCH, PAUL	FA 529 PORT 2028 C	0.00	2.54	0.00	2.54	0.0	2.54
12/15/2017	MACUCH, PAUL	FA 529 PORT 2028 C	100.00	1.00	0.00	1.00	0.0	1.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.63	0.00	0.63	0.0	0.63
/ /	FACADA, MANUEL	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.77	0.00	6.77	0.0	6.77
/ /	FACADA, ANNA	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	5.95	0.00	5.95	0.0	5.95
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.11	0.00	0.11	0.0	0.11
12/15/2017	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	200.00	10.00	0.00	10.00	0.0	10.00
/ /	FARMER, NEAL	FIDELITY ADVISORS 529 MONEY MARKET	0.00	0.03	0.00	0.03	0.0	0.03
12/04/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
12/04/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
12/04/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
12/04/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
* DANIEL COLLINS SUBTOTAL *			\$2,420.00	\$344.81	\$0.00	\$344.81		\$344.81
<b><u> BROKER: ERIC HORNYAK #29 </u></b>								
11/30/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	1.06	0.00	1.06	0.0	1.06
11/30/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	0.21	0.00	0.21	0.0	0.21
* ERIC HORNYAK SUBTOTAL *			\$0.00	\$1.27	\$0.00	\$1.27		\$1.27

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: THOMAS BOAKS #33 </u></b>								
/ /	SKIBA, TODD	BLK GLOBAL ALLOCATION A	0.00	6.38	0.00	6.38	0.0	6.38
11/30/2017	WITT-AMANS	BLK GLOBAL ALLOCATION A	0.00	0.33	0.00	0.33	0.0	0.33
11/30/2017	PITTE, JOELA	BLK GLOBAL ALLOCATION A	0.00	2.17	0.00	2.17	0.0	2.17
11/30/2017	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
* THOMAS BOAKS SUBTOTAL *			\$30.00	\$9.18	\$0.00	\$9.18		\$9.18
<b><u> BROKER: TIMOTHY BROWN #36 </u></b>								
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	2.98	0.00	2.98	0.0	2.98
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	8.93	0.00	8.93	0.0	8.93
* TIMOTHY BROWN SUBTOTAL *			\$0.00	\$11.91	\$0.00	\$11.91		\$11.91
<b><u> BROKER: BRUCE BAXTER #38 </u></b>								
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.08	0.00	0.08	0.0	0.08
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.08	0.00	0.08	0.0	0.08
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.23	0.00	0.23	0.0	0.23
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	8.09	0.00	8.09	0.0	8.09
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	DENNIS, MARK	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	24.27	0.00	24.27	0.0	24.27
/ /	GOLSCH, LORI	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.09	0.00	0.09	0.0	0.09
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.09	0.00	0.09	0.0	0.09
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.26	0.00	0.26	0.0	0.26
* BRUCE BAXTER SUBTOTAL *			\$0.00	\$33.45	\$0.00	\$33.45		\$33.45

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MELANIE DICENSO #43</u></b>								
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.07	0.00	1.07	0.0	1.07
		<b>* MELANIE DICENSO SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$1.07</b>	<b>\$0.00</b>	<b>\$1.07</b>		<b>\$1.07</b>
<b><u>BROKER: JOHN BEAN #45</u></b>								
12/01/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	0.0	2.50
12/01/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	0.0	2.50
12/11/2017	TOTZKE, LARRY	CALVERT INCOME FUND CLASS A	0.00	1.30	0.00	1.30	0.0	1.30
		<b>* JOHN BEAN SUBTOTAL *</b>	<b>\$100.00</b>	<b>\$6.30</b>	<b>\$0.00</b>	<b>\$6.30</b>		<b>\$6.30</b>
<b><u>BROKER: ROBERT (RUSTY) TWEED #58</u></b>								
12/05/2017	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	2.60	0.00	2.60	0.0	2.60
12/05/2017	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.86	0.00	0.86	0.0	0.86
12/05/2017	UMBRELLO, ALBERT	COLUMBIA LARGECAP GROWTH A	0.00	6.88	0.00	6.88	0.0	6.88
12/05/2017	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.06	0.00	6.06	0.0	6.06
12/14/2017	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	2.17	0.00	2.17	0.0	2.17
12/14/2017	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	2.15	0.00	2.15	0.0	2.15
12/14/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.08	0.00	1.08	0.0	1.08
12/14/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.76	0.00	1.76	0.0	1.76
12/14/2017	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	2.10	0.00	2.10	0.0	2.10
		<b>* ROBERT (RUSTY) TWEED SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$25.66</b>	<b>\$0.00</b>	<b>\$25.66</b>		<b>\$25.66</b>
<b><u>BROKER: JIM LUND #60</u></b>								
12/01/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	0.0	5.63
12/15/2017	WURLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
12/05/2017	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	4.00
12/01/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
12/08/2017	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	9.50
12/11/2017	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	247.50	11.76	0.00	11.76	0.0	11.76
12/11/2017	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	82.50	3.92	0.00	3.92	0.0	3.92
/ /	GUTHRIE, JACOB	VAN ECK GLOBAL HARD ASSETS A	0.00	15.02	0.00	15.02	0.0	15.02
		<b>* JIM LUND SUBTOTAL *</b>	<b>\$1,380.00</b>	<b>\$55.83</b>	<b>\$0.00</b>	<b>\$55.83</b>		<b>\$55.83</b>
<b><u>BROKER: RENE CASTRO #92</u></b>								
12/14/2017	BUSTOS, ARMANDO	JH HIGH YIELD A	0.00	2.31	0.00	2.31	0.0	2.31
12/14/2017	BUSTOS, ARMANDO	JH STRATEGIC INCOME A	0.00	9.03	0.00	9.03	0.0	9.03

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VERRIDE TRANSACTIONSBROKER: DANIEL COLLINS #106

* RENE CASTRO SUBTOTAL *			\$0.00	\$11.34	\$0.00	\$11.34		\$11.34
* DANIEL COLLINS SUBTOTAL *			\$330.00	\$38.06	\$0.00	\$38.06		\$38.06

BROKER: JAY MCCLELLAN #120

12/05/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	3.11	0.00	3.11	0.0	3.11
12/15/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	2.50	0.00	2.50	0.0	2.50
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.98	0.00	2.98	0.0	2.98
12/17/2017	CIPRIAN, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.30	0.00	2.30	0.0	2.30
12/17/2017	FORTKAMP TRUST, LAVERN & MARY	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.06	0.00	4.06	0.0	4.06
12/11/2017	CRABTREE, GARY	MET LIFE 10922P124	8,191.23	1.70	0.00	1.70	0.0	1.70
12/11/2017	CRABTREE, GARY	MET LIFE 10922P124	36,466.71	7.59	0.00	7.59	0.0	7.59
12/11/2017	CRABTREE, GARY	MET LIFE 10922P124	6,617.56	1.38	0.00	1.38	0.0	1.38
12/11/2017	CRABTREE, GARY	MET LIFE 10922P124	8,927.78	1.86	0.00	1.86	0.0	1.86
12/11/2017	CRABTREE, GARY	MET LIFE 10922P124	7,661.21	1.59	0.00	1.59	0.0	1.59

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAY MCCLELLAN #120 </u></b>								
12/11/2017	CRABTREE, GARY	MET LIFE 10922P124	11,290.62	2.35	0.00	2.35	0.0	2.35
11/30/2017	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	4.38	0.00	4.38	0.0	4.38
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.62	0.00	1.62	0.0	1.62
* JAY MCCLELLAN SUBTOTAL *			<b>\$79,205.11</b>	<b>\$37.42</b>	<b>\$0.00</b>	<b>\$37.42</b>		<b>\$37.42</b>
<b><u> BROKER: WILLIAM WALSH #187 </u></b>								
11/30/2017	WALSH, VARIOUS	JOHN HANCOCK LIFE INS CO	0.00	22.29	0.00	22.29	0.0	22.29
12/05/2017	BROOKS, JAMES F & MARGE	PACIFIC LIFE TRAILS	0.00	121.99	0.00	121.99	0.0	121.99
11/30/2017	WALSH, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	26.12	0.00	26.12	0.0	26.12
12/12/2017	HESSELL, LOUIS	PAC LIFE INNOVATIVE SELECT	0.00	460.13	0.00	460.13	0.0	460.13
12/13/2017	BROOKS, JAMES	PAC LIFE VALUE	0.00	91.28	0.00	91.28	0.0	91.28
* WILLIAM WALSH SUBTOTAL *			<b>\$0.00</b>	<b>\$721.81</b>	<b>\$0.00</b>	<b>\$721.81</b>		<b>\$721.81</b>
<b><u> BROKER: THOMAS JAHNCKE #193 </u></b>								
12/11/2017	GLOVER, FRANK	THORNBURG VALUE CLASS C	0.00	92.53	0.00	92.53	0.0	92.53
* THOMAS JAHNCKE SUBTOTAL *			<b>\$0.00</b>	<b>\$92.53</b>	<b>\$0.00</b>	<b>\$92.53</b>		<b>\$92.53</b>
<b><u> BROKER: MEREDITH MCCUTCHEN #221 </u></b>								
12/05/2017	TALBERT, ANN	COLUMBIA LARGE CAP GROWTH C	0.00	8.91	0.00	8.91	0.0	8.91
12/05/2017	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND-C	0.00	7.95	0.00	7.95	0.0	7.95
* MEREDITH MCCUTCHEN SUBTOTAL *			<b>\$0.00</b>	<b>\$16.86</b>	<b>\$0.00</b>	<b>\$16.86</b>		<b>\$16.86</b>
<b><u> BROKER: WILLIAM THOMAS #239 </u></b>								
12/16/2017	KUPPE, MARK	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
12/21/2017	KUPPE, PATRICIA	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
12/17/2017	THOMAS, MARIA	NWL NEXT GEN II FP VUL	250.00	5.00	0.00	5.00	0.0	5.00
* WILLIAM THOMAS SUBTOTAL *			<b>\$650.00</b>	<b>\$13.00</b>	<b>\$0.00</b>	<b>\$13.00</b>		<b>\$13.00</b>
<b><u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u></b>								
11/30/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.03	0.00	0.03	0.0	0.03
11/30/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.04	0.00	0.04	0.0	0.04
11/30/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	2.00	0.00	2.00	0.0	2.00
11/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.40	0.00	2.40	0.0	2.40
11/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.20	0.00	7.20	0.0	7.20
11/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	31.08	0.00	31.08	0.0	31.08
11/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	10.36	0.00	10.36	0.0	10.36

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u></b>								
11/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	24.50	0.00	24.50	0.0	24.50
11/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.17	0.00	8.17	0.0	8.17
12/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	0.0	0.90
12/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	2.84	0.00	2.84	0.0	2.84
12/22/2017	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	2.88	0.00	2.88	0.0	2.88
12/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	2.10	0.00	2.10	0.0	2.10
12/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	0.0	1.11
12/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	4.92	0.00	4.92	0.0	4.92
12/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.89	0.00	0.89	0.0	0.89
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$1,217.78	\$101.42	\$0.00	\$101.42		\$101.42
<b><u>BROKER: GARRETT MILLS #306</u></b>								
12/13/2017	LIGHTMAN, ANTHONY	GLOBAL PAYOUT INC COM	-12,000.00	22.00	27.00	-5.00	0.0	-5.00
12/14/2017	LIGHTMAN, ANTHONY	GLOBAL PAYOUT INC COM	-12,100.00	22.00	27.00	-5.00	0.0	-5.00
* GARRETT MILLS SUBTOTAL *			\$-24,100.00	\$44.00	\$54.00	\$-10.00		\$-10.00
<b><u>BROKER: L149-ROBERT LUCHSINGER #388</u></b>								
12/04/2017	GALLAS, MATTHEW	PACIFIC LIFE TRAILS	0.00	425.92	0.00	425.92	0.0	42.59
12/07/2017	GARDNER, MARILYN	PACIFIC LIFE TRAILS	0.00	336.41	0.00	336.41	0.0	33.64
12/14/2017	ROARKE, MARY ELIZABETH	ING EMPIRE INNOVATIONS STD OPT B	60,946.60	137.13	0.00	137.13	0.0	13.71
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	4.87	0.00	4.87	0.0	0.49
12/11/2017	WESTRENEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.46	0.00	1.46	0.0	0.15
12/11/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	11.79	0.00	11.79	0.0	1.18
12/11/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.79	0.00	3.79	0.0	0.38
12/11/2017	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.40	0.00	1.40	0.0	0.14
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$60,946.60	\$922.77	\$0.00	\$922.77		\$92.28
*** OVERRIDE TRANSACTIONS TOTAL ***			\$122,379.49	\$2,497.19	\$54.00	\$2,443.19		\$1,612.70

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



**BROKER COMMISSION TOTALS**

Broker Transactions	\$574.11
Split Transactions	\$0.00
Override Transactions	\$1,612.70
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,186.81
Year-to-date Gross	\$79,839.95
Year-to-date Earnings	\$235,103.25