

COMMISSION STATEMENT

August 31, 2018

RICHARD BELZ
40 Wall Street

New York, NY 10005

BROKER #: C40 C42
BRANCH: HOME OFFICE

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/17/2018 (None)	VARIOUS, BELZ RICHARD	BALANCED A	B	0.00	1.25	0.00	1.25	90.0	1.13
08/09/2018 000000000591610	VARIOUS, BELZ	309 - FRANKLIN INCOME FUND - CLASS	B	0.00	1,222.43	0.00	1,222.43	90.0	1,100.19
07/24/2018 000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
08/09/2018 000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
08/21/2018 000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
07/24/2018 000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	362.50	9.64	0.00	9.64	90.0	8.68
08/09/2018 000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	362.50	9.64	0.00	9.64	90.0	8.68
08/21/2018 000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	362.50	9.64	0.00	9.64	90.0	8.68
07/24/2018 000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	145.00	3.86	0.00	3.86	90.0	3.47
08/09/2018 000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	145.00	3.86	0.00	3.86	90.0	3.47
08/21/2018 000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	145.00	3.86	0.00	3.86	90.0	3.47
07/24/2018 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
08/09/2018 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
08/21/2018 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
08/06/2018 00056956375	FERRADO, MICHAEL	AMCAP FUND-A	B	300.00	12.11	0.00	12.11	90.0	10.90
08/21/2018 00064802351	SCHENK, ERIC	THE GROWTH FUND OF AMERICA-A	B	25.00	0.89	0.00	0.89	90.0	0.80
08/21/2018 00064818808	SCHENK, ERIC	AMCAP FUND-A	B	25.00	0.89	0.00	0.89	90.0	0.80
08/15/2018 00084040075	WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME FUN B	B	10.00	0.41	0.00	0.41	90.0	0.37
08/15/2018 00084040075	WILLIAMS, ALYSSA	WASHINGTON MUTUAL INVESTORS FUND-A	B	10.00	0.41	0.00	0.41	90.0	0.37
08/15/2018 00088094822	WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C	B	15.00	0.14	0.00	0.14	90.0	0.13
08/13/2018 00487862253	PERUGINI, MILDRED	PUTNAM 746745108	B	100.00	2.61	0.00	2.61	90.0	2.35
08/13/2018 00487862253	PERUGINI, MILDRED	PUTNAM 746802800	B	150.00	3.92	0.00	3.92	90.0	3.53
08/13/2018 00487862253	PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS B	B	150.00	3.92	0.00	3.92	90.0	3.53
08/16/2018 00493247534	PLAN	PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0	4.82
08/16/2018 00550827572	PLAN	PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0	4.82
08/22/2018 01000144893	VARIOUS, BELZ	OPPENHEIMER 683793400	B	0.00	2,160.56	0.00	2,160.56	90.0	1,944.50
08/08/2018 2158104580	VARIOUS, BELZ	OPPENHEIMER 68380L100	B	0.00	2,524.31	0.00	2,524.31	90.0	2,271.88
08/06/2018 QGW040081	CAUSIN, LAURENCE	JANUS HENDERSON MID CAP VALUE FUND S	S	-12,961.07	47.50	0.00	47.50	90.0	42.75
* MUTUAL FUNDS SUBTOTAL *				\$-9,701.07	\$6,049.95	\$0.00	\$6,049.95		\$5,444.98

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/20/2018	QGW034027	HALL, JONATHAN		GWG HLDGS INC COM	S	-17,475.00	156.75	11.40	145.35 90.0 129.68
08/17/2018	QGW035172	O'NEILL, RORY		VUZIX CORP COM NEW	B	22,500.00	235.12	11.40	223.72 90.0 200.21
08/17/2018	QGW035198	WULFF, HANS		COHERUS BIOSCIENCES INC COM	S	-70,000.00	412.30	11.40	400.90 90.0 359.67
08/17/2018	QGW035198	WULFF, HANS		VUZIX CORP COM NEW	B	18,750.00	229.79	11.40	218.39 90.0 195.41
08/09/2018	QGW035388	FAY, WALTER		SACHEM CAPITAL CORP COMMON SHARES	B	8,140.00	94.05	11.40	82.65 90.0 73.25
08/17/2018	QGW035511	LYDEN, MARY		VUZIX CORP COM NEW	B	22,500.00	235.12	11.40	223.72 90.0 200.21
08/17/2018	QGW035552	KMIECIAK, ZBIGNIEW		COHERUS BIOSCIENCES INC COM	S	-17,500.00	214.46	11.40	203.06 90.0 181.61
08/17/2018	QGW035560	AMENITA, FREDERICK		VUZIX CORP COM NEW	B	22,500.00	235.12	11.40	223.72 90.0 200.21
08/17/2018	QGW035594	DUSATKO, ANNE		VUZIX CORP COM NEW	B	22,500.00	235.12	11.40	223.72 90.0 200.21
08/21/2018	QGW035602	MURPHY, CHRISTIAN		WALT DISNEY CO	B	11,237.26	90.25	11.40	78.85 90.0 69.83
08/24/2018	QGW035677	KOLLAR, MAURA		OPKO HEALTH INC	B	12,000.00	147.06	11.40	135.66 90.0 120.95
08/17/2018	QGW035727	HERDTER, CYNTHIA		NABORS INDUSTRIES LTD	S	-6,515.85	83.60	11.40	72.20 90.0 63.84
08/17/2018	QGW035776	ZIMMERMAN, FRANCINE		BANK OF AMERICA CORP	S	-45,000.00	376.20	11.40	364.80 90.0 327.18
08/17/2018	QGW035776	ZIMMERMAN, FRANCINE		VUZIX CORP COM NEW	B	18,750.00	229.79	11.40	218.39 90.0 195.41
08/17/2018	QGW035792	NOLTE, DONNA		VUZIX CORP COM NEW	B	9,750.00	134.31	11.40	122.91 90.0 109.48
08/13/2018	QGW035867	ROGERS, MICHELE		SACHEM CAPITAL CORP COMMON SHARES	B	14,076.00	327.75	11.40	316.35 90.0 283.58
08/17/2018	QGW035917	BOVE, DOMINICK		VUZIX CORP COM NEW	B	22,500.00	235.12	11.40	223.72 90.0 200.21
08/17/2018	QGW035982	PANASUK, JOHN		BANK OF AMERICA CORP	S	-30,000.00	313.50	11.40	302.10 90.0 270.75
08/17/2018	QGW035982	PANASUK, JOHN		VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42 90.0 154.04
08/21/2018	QGW035982	PANASUK, JOHN		NABORS INDUSTRIES LTD	S	-15,585.00	147.25	11.40	135.85 90.0 121.13
07/30/2018	QGW036030	BALLO, CATHERINE		HEICO CORPORATION	B	16,000.00	196.08	11.40	184.68 90.0 165.07
08/10/2018	QGW036030	BALLO, CATHERINE		MEDALLION FINL CORP COM	B	18,840.00	140.60	11.40	129.20 90.0 115.14
08/17/2018	QGW036030	BALLO, CATHERINE		HEICO CORPORATION	B	40,000.00	334.40	11.40	323.00 90.0 289.56
08/17/2018	QGW036030	BALLO, CATHERINE		VUZIX CORP COM NEW	B	22,500.00	235.12	11.40	223.72 90.0 200.21
08/20/2018	QGW036030	BALLO, CATHERINE		GWG HLDGS INC COM	S	-18,225.00	178.60	11.40	167.20 90.0 149.34
08/20/2018	QGW036030	BALLO, CATHERINE		NABORS INDUSTRIES LTD	S	-11,971.40	109.25	11.40	97.85 90.0 86.93
08/17/2018	QGW036048	FITZPATRICK, BARRY		VUZIX CORP COM NEW	B	18,750.00	229.79	11.40	218.39 90.0 195.41
08/01/2018	QGW036063	PICCININNI,		GWG HLDGS INC COM	S	-2,220.00	33.25	11.40	21.85 90.0 18.53
08/20/2018	QGW036071	HENNEMANN, DENNIS		GWG HLDGS INC COM	S	-12,705.00	147.25	11.40	135.85 90.0 121.13
08/03/2018	QGW036089	HENNEMANN, PATRICIA		YANDEX N V CLASS A	B	36,000.00	300.96	11.40	289.56 90.0 259.46
08/20/2018	QGW036089	HENNEMANN, PATRICIA		GWG HLDGS INC COM	S	-5,950.00	61.75	11.40	50.35 90.0 44.18
08/17/2018	QGW036105	ARDOLINO, SUSAN		VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42 90.0 154.04
08/13/2018	QGW036162	BENZA, GREGORY		MEDALLION FINL CORP COM	B	12,440.00	179.55	11.40	168.15 90.0 150.20
08/22/2018	QGW036162	BENZA, GREGORY		SACHEM CAPITAL CORP COMMON SHARES	B	27,072.00	608.00	11.40	596.60 90.0 535.80
08/20/2018	QGW036220	KOLLAR, RUTH		GWG HLDGS INC COM	S	-17,625.00	109.25	11.40	97.85 90.0 86.93
08/13/2018	QGW036279	MIKO, ARTHUR		SACHEM CAPITAL CORP COMMON SHARES	B	14,280.00	332.50	11.40	321.10 90.0 287.85
07/30/2018	QGW036311	FAST, KENNETH		SACHEM CAPITAL CORP COMMON SHARES	B	22,356.00	513.00	11.40	501.60 90.0 450.30
08/01/2018	QGW036386	FRANCK, RACHEL		GWG HLDGS INC COM	S	-3,750.05	77.90	11.40	66.50 90.0 58.71
07/30/2018	QGW037004	STAAB, MARY		ALCOA CORPORATION COMMON STOCK	S	-9,435.00	94.05	11.40	82.65 90.0 73.25
07/30/2018	QGW037004	STAAB, MARY		ARCONIC INC COM	S	-13,914.87	94.05	11.40	82.65 90.0 73.25

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
08/07/2018	QGW037004	STAAB, MARY	GWG HLDGS INC COM	S	-16,940.00	114.00	11.40	102.60	91.20
08/17/2018	QGW037012	STAAB, MARY	VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42	154.04
08/17/2018	QGW037087	01/19/2016, U/A	ENERGOUS CORP COM	B	15,000.00	183.82	11.40	172.42	154.04
08/13/2018	QGW037103	BURNS, KATHLEEN	SACHEM CAPITAL CORP COMMON SHARES	B	3,676.08	68.48	11.40	57.08	50.23
08/15/2018	QGW037103	BURNS, KATHLEEN	SACHEM CAPITAL CORP COMMON SHARES	B	1,774.80	33.06	11.40	21.66	18.35
08/17/2018	QGW037103	BURNS, KATHLEEN	VUZIX CORP COM NEW	B	48,750.00	407.55	11.40	396.15	355.40
08/28/2018	QGW037103	BURNS, KATHLEEN	SACHEM CAPITAL CORP COMMON SHARES	S	-234.85	1.69	11.40	-9.71	-9.88
08/17/2018	QGW038002	WICKERS, SCOTT	VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42	154.04
08/17/2018	QGW039125	WHITE, KERRY	VUZIX CORP COM NEW	B	22,500.00	235.12	11.40	223.72	200.21
07/30/2018	QGW039257	EVANGELISTA, DAVID	SACHEM CAPITAL CORP COMMON SHARES	B	19,776.00	456.00	11.40	444.60	399.00
08/03/2018	QGW039497	CAUSIN, KERRY	IQIYI INC SPONS ADR ISIN#US46267X10	B	8,850.00	121.91	11.40	110.51	98.32
08/10/2018	QGW039497	CAUSIN, KERRY	YANDEX N V CLASS A	B	17,750.00	217.53	11.40	206.13	184.38
08/17/2018	QGW039570	KMIECIAK, STEFANIA	MEDALLION FINL CORP COM	B	11,900.00	109.25	11.40	97.85	86.93
08/07/2018	QGW039612	DUSATKO, FRANK	VERIZON COMMUNICATIONS	B	52,483.20	422.75	11.40	411.35	369.08
08/24/2018	QGW039745	LEWIS, THOMAS	BUCKEYE PARTNERS LP UNITS LTD PARTN	S	-36,940.00	66.50	11.40	55.10	48.45
08/21/2018	QGW039869	OHARA, JOHN	BUCKEYE PARTNERS LP UNITS LTD PARTN	S	-37,350.00	213.75	11.40	202.35	180.98
08/20/2018	QGW039901	BELZ, OLGA	HEICO CORPORATION	B	4,749.94	11.40	11.40	0.00	-1.14
08/06/2018	QGW039943	NESTOR, MARYLOU	SACHEM CAPITAL CORP COMMON SHARES	B	6,150.00	175.75	11.40	164.35	146.78
08/24/2018	QGW040081	CAUSIN, LAURENCE	JD.COM INC SPONSORED ADR REPSTG COM	B	27,600.00	288.42	11.40	277.02	248.18
08/03/2018	QGW040099	CAUSIN, KERRY	NEW RESIDENTIAL INVESTMENT CORP	B	12,779.93	151.05	11.40	139.65	124.55
* STOCKS SUBTOTAL *					\$357,844.19	\$12,121.32	\$684.00	\$11,437.32	\$10,225.29
PRODUCT CATEGORY: BONDS									
08/21/2018	(None)	VARIOUS, BELZ RICHARD	GWG BONDS	B	0.00	950.00	11.40	938.60	843.60
08/28/2018	QGW035198	WULFF, HANS	BARCLAYS BANK PLC FR 8%083030	B	40,000.00	665.00	11.40	653.60	587.10
08/28/2018	QGW039612	DUSATKO, FRANK	BARCLAYS BANK PLC FR 8%083030	B	50,000.00	831.25	11.40	819.85	736.73
* BONDS SUBTOTAL *					\$90,000.00	\$2,446.25	\$34.20	\$2,412.05	\$2,167.43
PRODUCT CATEGORY: OPTIONS									
08/23/2018	QGW035040	THUILOT, CHARLES	CALL 100 VUZIX CORP COM NEW EXP 10-	S	-700.00	67.45	19.71	47.74	41.00
08/23/2018	QGW035172	O'NEILL, RORY	CALL 100 VUZIX CORP COM NEW EXP 10-	S	-700.00	67.45	19.71	47.74	41.00
08/23/2018	QGW035172	O'NEILL, RORY	CALL 100 VUZIX CORP COM NEW EXP 10-	S	700.00	-67.45	-19.71	-47.74	-41.00
08/23/2018	QGW035198	WULFF, HANS	CALL 100 VUZIX CORP COM NEW EXP 10-	S	-500.00	46.55	17.34	29.21	24.56
08/27/2018	QGW035198	WULFF, HANS	CALL 100 OPKO HEALTH INC COM EXP 10	S	-750.00	66.50	23.27	43.23	36.58
08/23/2018	QGW035511	LYDEN, MARY	CALL 100 VUZIX CORP COM NEW EXP 01-	S	-1,800.00	94.05	18.52	75.53	66.13
08/03/2018	QGW035529	AMENITA, FREDERICK	PUT 100 VUZIX CORP COM NEW EXP 09-2	S	-2,400.00	94.05	14.96	79.09	69.69
08/21/2018	QGW035552	KMIECIAK, ZBIGNIEW	CALL 100 PAYPAL HLDGS INC COM EXP 1	S	-396.00	36.10	12.11	23.99	20.38
08/22/2018	QGW035560	AMENITA, FREDERICK	CALL 100 VUZIX CORP COM NEW EXP 01-	S	-1,650.00	94.05	18.52	75.53	66.13
08/22/2018	QGW035560	AMENITA, FREDERICK	CALL 100 VUZIX CORP COM NEW EXP 01-	S	1,650.00	-94.05	-18.52	-75.53	-66.13
08/22/2018	QGW035560	AMENITA, FREDERICK	CALL 100 VUZIX CORP COM NEW EXP 01-	S	-1,650.00	94.05	18.52	75.53	66.13

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
08/23/2018	QGW035594	DUSATKO, ANNE	CALL 100	NABORS INDS LTD SHS ISIN#B S	-810.00	76.95	22.09	54.86	90.0	47.17
08/21/2018	QGW035693	MASSA, WILLIAM	CALL 100	PAYPAL HLDGS INC COM EXP 1 S	-396.00	36.10	12.11	23.99	90.0	20.38
08/21/2018	QGW035701	SZARKA, MICHAEL	CALL 100	PAYPAL HLDGS INC COM EXP 1 S	-405.00	38.00	12.11	25.89	90.0	22.09
08/17/2018	QGW035727	HERDTER, CYNTHIA	CALL 100	ENERGOUS CORP COM EXP 11-1 S	-959.00	90.25	13.77	76.48	90.0	67.46
08/21/2018	QGW035768	MASSA, PATRICIA	CALL 100	PAYPAL HLDGS INC COM EXP 1 S	-405.00	38.00	12.11	25.89	90.0	22.09
08/23/2018	QGW035768	MASSA, PATRICIA	CALL 100	NABORS INDS LTD SHS ISIN#B S	-360.00	31.35	16.15	15.20	90.0	12.07
08/23/2018	QGW035768	MASSA, PATRICIA	CALL 100	VUZIX CORP COM NEW EXP 10- S	-1,250.00	94.05	23.27	70.78	90.0	61.38
08/21/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100	VUZIX CORP COM NEW EXP 01- S	-1,300.00	94.05	17.34	76.71	90.0	67.31
08/23/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-220.00	23.75	16.15	7.60	90.0	5.23
08/21/2018	QGW035792	NOLTE, DONNA	CALL 100	VUZIX CORP COM NEW EXP 01- S	-650.00	61.75	14.49	47.26	90.0	41.09
08/29/2018	QGW035792	NOLTE, DONNA	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-407.00	37.05	14.01	23.04	90.0	19.34
08/21/2018	QGW035834	CHAUDHRY, JAHANZEB	CALL 100	PAYPAL HLDGS INC COM EXP 1 S	-420.00	41.80	12.11	29.69	90.0	25.51
08/22/2018	QGW035917	BOVE, DOMINICK	CALL 100	VUZIX CORP COM NEW EXP 10- S	-600.00	58.90	18.52	40.38	90.0	34.49
08/22/2018	QGW035982	PANASUK, JOHN	CALL 100	VUZIX CORP COM NEW EXP 01- S	-1,100.00	94.05	16.15	77.90	90.0	68.50
08/17/2018	QGW035990	HERDTER, RICHARD	CALL 100	ENERGOUS CORP COM EXP 11-1 S	-1,920.00	94.05	16.15	77.90	90.0	68.50
08/23/2018	QGW036006	WALLNER, ERIC	CALL 100	VUZIX CORP COM NEW EXP 01- S	-1,200.00	94.05	16.15	77.90	90.0	68.50
08/01/2018	QGW036030	BALLO, CATHERINE	PUT 100	TRANSOCEAN LTD REG SHS ISIN S	-1,250.00	94.05	17.34	76.71	90.0	67.31
08/14/2018	QGW036030	BALLO, CATHERINE	CALL 100	HEICO CORP NEW COM EXP 09- S	-290.00	31.35	11.87	19.48	90.0	16.35
08/22/2018	QGW036030	BALLO, CATHERINE	CALL 100	HEICO CORP NEW COM EXP 09- S	-200.00	27.55	12.59	14.96	90.0	12.21
08/22/2018	QGW036030	BALLO, CATHERINE	CALL 100	VUZIX CORP COM NEW EXP 01- S	-1,650.00	94.05	18.52	75.53	90.0	66.13
08/23/2018	QGW036030	BALLO, CATHERINE	CALL 100	ENERGOUS CORP COM EXP 10-1 S	-3,125.00	94.05	17.34	76.71	90.0	67.31
08/22/2018	QGW036048	FITZPATRICK, BARRY	CALL 100	VUZIX CORP COM NEW EXP 01- S	-1,375.00	94.05	17.34	76.71	90.0	67.31
08/03/2018	QGW036055	MATACOTTA, CORINNA	PUT 100	IQIYI INC SPONS ADR ISIN#US S	-750.00	72.20	13.77	58.43	90.0	51.21
08/23/2018	QGW036071	HENNEMANN, DENNIS	CALL 100	PAYPAL HLDGS INC COM EXP 1 S	-480.00	50.35	12.11	38.24	90.0	33.21
08/15/2018	QGW036089	HENNEMANN, PATRICIA	PUT 100	VUZIX CORP COM NEW EXP 01-1 S	-1,500.00	94.05	16.15	77.90	90.0	68.50
08/23/2018	QGW036105	ARDOLINO, SUSAN	CALL 100	VUZIX CORP COM NEW EXP 01- S	-1,300.00	94.05	16.15	77.90	90.0	68.50
08/23/2018	QGW037012	STAAB, MARY	CALL 100	VUZIX CORP COM NEW EXP 01- S	-1,300.00	94.05	16.15	77.90	90.0	68.50
08/16/2018	QGW037087	01/19/2016, U/A	PUT 100	COHERUS BIOSCIENCES INC COM S	-1,100.00	94.05	13.77	80.28	90.0	70.88
08/28/2018	QGW037087	01/19/2016, U/A	CALL 100	OPKO HEALTH INC COM EXP 01 S	-1,500.00	94.05	18.52	75.53	90.0	66.13
08/01/2018	QGW037103	BURNS, KATHLEEN	PUT 100	TRANSOCEAN LTD REG SHS ISIN S	-1,000.00	94.05	16.15	77.90	90.0	68.50
08/21/2018	QGW037103	BURNS, KATHLEEN	CALL 100	VUZIX CORP COM NEW EXP 01- S	-3,250.00	94.05	26.84	67.21	90.0	57.81
08/24/2018	QGW038002	WICKERS, SCOTT	CALL 100	VUZIX CORP COM NEW EXP 10- S	-120.00	11.59	12.35	-0.76	90.0	-1.92
08/28/2018	QGW038556	LAPINTA, LEONARD	CALL 100	OPKO HEALTH INC COM EXP 09 S	-450.00	33.25	18.52	14.73	90.0	11.41
08/15/2018	QGW038978	KMIECIAK, INES	PUT 100	TRANSOCEAN LTD REG SHS ISIN S	-660.00	61.75	16.15	45.60	90.0	39.43
08/23/2018	QGW039091	CITARELLA, FRANK	CALL 100	PAYPAL HLDGS INC COM EXP 1 S	-474.00	56.05	12.11	43.94	90.0	38.34
08/23/2018	QGW039125	WHITE, KERRY	CALL 100	VUZIX CORP COM NEW EXP 10- S	-750.00	64.60	18.52	46.08	90.0	39.62
08/23/2018	QGW039125	WHITE, KERRY	PUT 100	HEICO CORP NEW COM EXP 09-2 S	-1,950.00	94.05	13.77	80.28	90.0	70.88
07/31/2018	QGW039257	EVANGELISTA, DAVID	PUT 100	VUZIX CORP COM NEW EXP 09-2 S	-500.00	45.60	16.15	29.45	90.0	24.89
08/03/2018	QGW039471	MARTINEZ, MARY	PUT 100	COHERUS BIOSCIENCES INC COM S	-690.00	93.10	12.82	80.28	90.0	70.97
08/15/2018	QGW039562	BENZA, GREGORY	PUT 100	VUZIX CORP COM NEW EXP 01-1 S	-1,500.00	94.05	16.15	77.90	90.0	68.50

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
08/08/2018	QGW039570	KMIECIAK, STEFANIA	PUT 100	ENERGIOUS CORP COM EXP 09-21 S	-600.00	56.05	13.77	42.28	90.0	36.68
08/07/2018	QGW039612	DUSATKO, FRANK	PUT 100	ZILLOW GROUP INC CL C CAP S S	-1,350.00	94.05	13.77	80.28	90.0	70.88
08/15/2018	QGW039612	DUSATKO, FRANK	PUT 100	TRANSOCEAN LTD REG SHS ISIN S	-660.00	61.75	16.15	45.60	90.0	39.43
08/15/2018	QGW039612	DUSATKO, FRANK	PUT 100	VUZIX CORP COM NEW EXP 01-1 S	-2,250.00	94.05	18.52	75.53	90.0	66.13
08/16/2018	QGW039612	DUSATKO, FRANK	PUT 100	COHERUS BIOSCIENCES INC COM S	-1,650.00	94.05	14.96	79.09	90.0	69.69
08/27/2018	QGW039612	DUSATKO, FRANK	PUT 100	TESLA INC EXP 09-07-18 @280 S	-580.00	56.05	11.87	44.18	90.0	38.58
08/21/2018	QGW039745	LEWIS, THOMAS	CALL 100	PAYPAL HLDGS INC COM EXP 0 S	-736.00	67.45	13.30	54.15	90.0	47.41
08/24/2018	QGW039745	LEWIS, THOMAS	PUT 100	NATIONAL OILWELL VARCO INC S	-460.00	33.25	13.77	19.48	90.0	16.16
08/24/2018	QGW039745	LEWIS, THOMAS	PUT 100	VUZIX CORP COM NEW EXP 10-1 S	-800.00	64.60	23.27	41.33	90.0	34.87
08/24/2018	QGW039869	OHARA, JOHN	CALL 100	PAYPAL HLDGS INC COM EXP 1 S	-408.00	49.40	12.11	37.29	90.0	32.35
08/24/2018	QGW039869	OHARA, JOHN	PUT 100	TRANSOCEAN LTD REG SHS ISIN S	-720.00	70.30	16.15	54.15	90.0	47.12
08/10/2018	QGW040081	CAUSIN, LAURENCE	PUT 100	JD COM INC SPONS ADR REPSTG S	-632.00	57.00	13.30	43.70	90.0	38.00
* OPTIONS SUBTOTAL *					\$-58,608.00	\$4,070.94	\$939.20	\$3,131.74	\$2,724.86	

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/31/2018		FEE COUNT - 27		0.00	187.09	0.00	187.09	90.0	168.41
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$187.09	\$0.00	\$187.09	\$168.41
				*** BROKER TRANSACTIONS TOTAL ***	\$379,535.12	\$24,875.55	\$1,657.40	\$23,218.15	\$20,730.97

ADJUSTMENTS

08/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
08/31/2018		OTHER: OTHER		0.00	0.00	0.00	0.00		-150.00
08/31/2018		OTHER: OTHER-TELEPHONE		0.00	0.00	0.00	0.00		-61.75
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-231.75

BROKER COMMISSION TOTALS

Broker Transactions	\$20,730.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-231.75
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-31.09
SIPC Assessment	\$-62.19

Check Amount	\$20,405.94
Year-to-date Earnings	\$184,782.17