

**COMMISSION STATEMENT****February 28, 2018**

L149-ROBERT LUCHSINGER

BROKER #: L149

BRANCH: ROBERT LUCHSINGER

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/02/2018	CIO, ANNA	PACIFIC LIFE	100.00	4.75	0.00	4.75	0.0	0.00
02/02/2018	TERMINE, MASON	PACIFIC LIFE	100.00	4.75	0.00	4.75	0.0	0.00
02/02/2018	CIO, ROCCO	PACIFIC LIFE	100.00	4.75	0.00	4.75	0.0	0.00
02/02/2018	TERMINE, MILO	PACIFIC LIFE	100.00	4.75	0.00	4.75	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$400.00	\$19.00	\$0.00	\$19.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/22/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.19	0.00	3.19	0.0	0.00
03/21/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	2.99	0.00	2.99	0.0	0.00
04/21/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.44	0.00	3.44	0.0	0.00
05/24/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.40	0.00	3.40	0.0	0.00
06/21/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.49	0.00	3.49	0.0	0.00
07/21/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.39	0.00	3.39	0.0	0.00
08/22/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.60	0.00	3.60	0.0	0.00
09/21/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.62	0.00	3.62	0.0	0.00
10/21/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.48	0.00	3.48	0.0	0.00
11/21/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.58	0.00	3.58	0.0	0.00
12/14/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.58	0.00	3.58	0.0	0.00
02/22/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.49	0.00	2.49	0.0	0.00
03/21/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.29	0.00	2.29	0.0	0.00
04/21/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.61	0.00	2.61	0.0	0.00
05/24/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.59	0.00	2.59	0.0	0.00
06/21/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.67	0.00	2.67	0.0	0.00
07/21/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.60	0.00	2.60	0.0	0.00
08/22/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.76	0.00	2.76	0.0	0.00
09/21/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.78	0.00	2.78	0.0	0.00
10/21/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.67	0.00	2.67	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/21/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.76	0.00	2.76	0.0	0.00
12/14/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.77	0.00	2.77	0.0	0.00
02/10/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	17.71	0.00	17.71	0.0	0.00
03/16/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	16.22	0.00	16.22	0.0	0.00
04/25/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	18.36	0.00	18.36	0.0	0.00
05/13/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	18.25	0.00	18.25	0.0	0.00
06/15/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	18.76	0.00	18.76	0.0	0.00
07/20/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	18.22	0.00	18.22	0.0	0.00
08/12/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	19.43	0.00	19.43	0.0	0.00
09/13/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	19.81	0.00	19.81	0.0	0.00
10/12/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	18.99	0.00	18.99	0.0	0.00
11/15/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	19.50	0.00	19.50	0.0	0.00
12/21/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	19.11	0.00	19.11	0.0	0.00
02/16/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.17	0.00	2.17	0.0	0.00
03/16/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.01	0.00	2.01	0.0	0.00
04/15/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.28	0.00	2.28	0.0	0.00
05/13/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.27	0.00	2.27	0.0	0.00
06/14/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.36	0.00	2.36	0.0	0.00
07/14/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.31	0.00	2.31	0.0	0.00
08/15/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.47	0.00	2.47	0.0	0.00
09/14/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.50	0.00	2.50	0.0	0.00
10/18/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.42	0.00	2.42	0.0	0.00
11/15/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.52	0.00	2.52	0.0	0.00
12/16/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.44	0.00	2.44	0.0	0.00
02/22/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.55	0.00	1.55	0.0	0.00
03/17/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.38	0.00	1.38	0.0	0.00
04/21/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.56	0.00	1.56	0.0	0.00
05/19/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.55	0.00	1.55	0.0	0.00
06/30/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.59	0.00	1.59	0.0	0.00
07/18/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.52	0.00	1.52	0.0	0.00
08/24/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.60	0.00	1.60	0.0	0.00
09/22/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.67	0.00	1.67	0.0	0.00
10/21/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.63	0.00	1.63	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/21/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.68	0.00	1.68	0.0	0.00
12/19/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.62	0.00	1.62	0.0	0.00
02/22/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.26	0.00	3.26	0.0	0.00
02/22/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.33	0.00	1.33	0.0	0.00
03/17/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.08	0.00	3.08	0.0	0.00
03/17/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.26	0.00	1.26	0.0	0.00
04/21/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.30	0.00	3.30	0.0	0.00
04/21/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.35	0.00	1.35	0.0	0.00
05/19/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.22	0.00	3.22	0.0	0.00
05/19/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.31	0.00	1.31	0.0	0.00
06/30/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.35	0.00	3.35	0.0	0.00
06/30/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.37	0.00	1.37	0.0	0.00
07/18/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.33	0.00	3.33	0.0	0.00
07/18/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.36	0.00	1.36	0.0	0.00
08/24/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.52	0.00	3.52	0.0	0.00
08/24/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.44	0.00	1.44	0.0	0.00
09/22/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.54	0.00	3.54	0.0	0.00
09/22/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.45	0.00	1.45	0.0	0.00
10/21/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.44	0.00	3.44	0.0	0.00
10/21/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.41	0.00	1.41	0.0	0.00
11/21/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.56	0.00	3.56	0.0	0.00
11/21/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.45	0.00	1.45	0.0	0.00
12/19/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.39	0.00	3.39	0.0	0.00
12/19/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.38	0.00	1.38	0.0	0.00
02/22/2016	GALLAS, AGNES	OPPENHEIMER STEELPATH MLP INCOME FU	0.00	2.56	0.00	2.56	0.0	0.00
03/17/2016	GALLAS, AGNES	OPPENHEIMER STEELPATH MLP INCOME FU	0.00	0.77	0.00	0.77	0.0	0.00
02/22/2016	QUIGLEY, BARBARA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.81	0.00	0.81	0.0	0.00
02/22/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.95	0.00	0.95	0.0	0.00
02/22/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.20	0.00	1.20	0.0	0.00
02/22/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.72	0.00	4.72	0.0	0.00
02/22/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.94	0.00	1.94	0.0	0.00
02/22/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.57	0.00	0.57	0.0	0.00
03/18/2016	QUIGLEY, BARBARA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.75	0.00	0.75	0.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/18/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.88	0.00	0.88	0.0	0.00
03/18/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.11	0.00	1.11	0.0	0.00
03/18/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.39	0.00	4.39	0.0	0.00
03/18/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.80	0.00	1.80	0.0	0.00
03/18/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.53	0.00	0.53	0.0	0.00
04/20/2016	QUIGLEY, BARBARA	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.04	0.00	1.04	0.0	0.00
04/20/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.96	0.00	0.96	0.0	0.00
04/20/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.21	0.00	1.21	0.0	0.00
04/20/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.77	0.00	4.77	0.0	0.00
04/20/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.96	0.00	1.96	0.0	0.00
04/20/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.57	0.00	0.57	0.0	0.00
05/24/2016	QUIGLEY, BARBARA	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.10	0.00	1.10	0.0	0.00
05/24/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.94	0.00	0.94	0.0	0.00
05/24/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.18	0.00	1.18	0.0	0.00
05/24/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.67	0.00	4.67	0.0	0.00
05/24/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.92	0.00	1.92	0.0	0.00
05/24/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.56	0.00	0.56	0.0	0.00
06/16/2016	QUIGLEY, BARBARA	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.15	0.00	1.15	0.0	0.00
06/16/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.98	0.00	0.98	0.0	0.00
06/16/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.24	0.00	1.24	0.0	0.00
06/16/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.87	0.00	4.87	0.0	0.00
06/16/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	2.00	0.00	2.00	0.0	0.00
06/16/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.58	0.00	0.58	0.0	0.00
07/19/2016	QUIGLEY, BARBARA	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.12	0.00	1.12	0.0	0.00
07/19/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.95	0.00	0.95	0.0	0.00
07/19/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.20	0.00	1.20	0.0	0.00
07/19/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.73	0.00	4.73	0.0	0.00
07/19/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.95	0.00	1.95	0.0	0.00
07/19/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.57	0.00	0.57	0.0	0.00
08/18/2016	QUIGLEY, BARBARA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.67	0.00	0.67	0.0	0.00
08/18/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.99	0.00	0.99	0.0	0.00
08/18/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.25	0.00	1.25	0.0	0.00
08/18/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.92	0.00	4.92	0.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
08/18/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	2.02	0.00	2.02	0.0	0.00
08/18/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.59	0.00	0.59	0.0	0.00
09/22/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.00	0.00	1.00	0.0	0.00
09/22/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.26	0.00	1.26	0.0	0.00
09/22/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.95	0.00	4.95	0.0	0.00
09/22/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	2.04	0.00	2.04	0.0	0.00
09/22/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.59	0.00	0.59	0.0	0.00
10/20/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.97	0.00	0.97	0.0	0.00
10/20/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.22	0.00	1.22	0.0	0.00
10/20/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.82	0.00	4.82	0.0	0.00
10/20/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.98	0.00	1.98	0.0	0.00
10/20/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.58	0.00	0.58	0.0	0.00
11/25/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.01	0.00	1.01	0.0	0.00
11/25/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.27	0.00	1.27	0.0	0.00
11/25/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	5.02	0.00	5.02	0.0	0.00
11/25/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	2.06	0.00	2.06	0.0	0.00
11/25/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.60	0.00	0.60	0.0	0.00
12/21/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.98	0.00	0.98	0.0	0.00
12/21/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.24	0.00	1.24	0.0	0.00
12/21/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.87	0.00	4.87	0.0	0.00
12/21/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	2.00	0.00	2.00	0.0	0.00
12/21/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.58	0.00	0.58	0.0	0.00
02/22/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	6.92	0.00	6.92	0.0	0.00
03/18/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	6.33	0.00	6.33	0.0	0.00
04/20/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.19	0.00	7.19	0.0	0.00
05/24/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.13	0.00	7.13	0.0	0.00
06/16/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.35	0.00	7.35	0.0	0.00
07/19/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.16	0.00	7.16	0.0	0.00
08/18/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.57	0.00	7.57	0.0	0.00
09/22/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.72	0.00	7.72	0.0	0.00
10/20/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.46	0.00	7.46	0.0	0.00
11/25/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.69	0.00	7.69	0.0	0.00
12/21/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.45	0.00	7.45	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
02/22/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	0.98	0.00	0.98	0.0	0.00
02/22/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.56	0.00	1.56	0.0	0.00
03/18/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	0.91	0.00	0.91	0.0	0.00
03/18/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.45	0.00	1.45	0.0	0.00
04/20/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.01	0.00	1.01	0.0	0.00
04/20/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.61	0.00	1.61	0.0	0.00
05/24/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.00	0.00	1.00	0.0	0.00
05/24/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.59	0.00	1.59	0.0	0.00
06/16/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.05	0.00	1.05	0.0	0.00
06/16/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.67	0.00	1.67	0.0	0.00
07/19/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.03	0.00	1.03	0.0	0.00
07/19/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.63	0.00	1.63	0.0	0.00
08/18/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.08	0.00	1.08	0.0	0.00
08/18/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.72	0.00	1.72	0.0	0.00
09/22/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.09	0.00	1.09	0.0	0.00
09/22/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.74	0.00	1.74	0.0	0.00
10/20/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.06	0.00	1.06	0.0	0.00
10/20/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.69	0.00	1.69	0.0	0.00
11/25/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.10	0.00	1.10	0.0	0.00
11/25/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.76	0.00	1.76	0.0	0.00
12/21/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.06	0.00	1.06	0.0	0.00
12/21/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.68	0.00	1.68	0.0	0.00
02/22/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.32	0.00	5.32	0.0	0.00
03/17/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	4.97	0.00	4.97	0.0	0.00
04/21/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.30	0.00	5.30	0.0	0.00
05/19/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.12	0.00	5.12	0.0	0.00
06/30/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.28	0.00	5.28	0.0	0.00
07/18/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.16	0.00	5.16	0.0	0.00
08/24/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.36	0.00	5.36	0.0	0.00
09/22/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.35	0.00	5.35	0.0	0.00
10/21/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.18	0.00	5.18	0.0	0.00
11/21/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.32	0.00	5.32	0.0	0.00
12/19/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.10	0.00	5.10	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	5.36	0.00	5.36	0.0	0.00
02/12/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.10	0.00	0.10	0.0	0.00
02/12/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.39	0.00	0.39	0.0	0.00
03/15/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.09	0.00	0.09	0.0	0.00
03/15/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.36	0.00	0.36	0.0	0.00
04/15/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.11	0.00	0.11	0.0	0.00
04/15/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.41	0.00	0.41	0.0	0.00
05/19/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.10	0.00	0.10	0.0	0.00
05/19/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.41	0.00	0.41	0.0	0.00
06/15/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.11	0.00	0.11	0.0	0.00
06/15/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.42	0.00	0.42	0.0	0.00
07/14/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.10	0.00	0.10	0.0	0.00
07/14/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.40	0.00	0.40	0.0	0.00
08/10/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.11	0.00	0.11	0.0	0.00
08/10/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.43	0.00	0.43	0.0	0.00
09/16/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.11	0.00	0.11	0.0	0.00
09/16/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.44	0.00	0.44	0.0	0.00
10/12/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.11	0.00	0.11	0.0	0.00
10/12/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.43	0.00	0.43	0.0	0.00
11/11/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.11	0.00	0.11	0.0	0.00
11/11/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.44	0.00	0.44	0.0	0.00
12/19/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.11	0.00	0.11	0.0	0.00
12/19/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.41	0.00	0.41	0.0	0.00
02/12/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.46	0.00	3.46	0.0	0.00
02/12/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.44	0.00	1.44	0.0	0.00
03/15/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.14	0.00	3.14	0.0	0.00
03/15/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.31	0.00	1.31	0.0	0.00
04/15/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.55	0.00	3.55	0.0	0.00
04/15/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.48	0.00	1.48	0.0	0.00
05/19/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.51	0.00	3.51	0.0	0.00
05/19/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.46	0.00	1.46	0.0	0.00
06/15/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.60	0.00	3.60	0.0	0.00
06/15/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.50	0.00	1.50	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/14/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.49	0.00	3.49	0.0	0.00
07/14/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.45	0.00	1.45	0.0	0.00
08/10/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.68	0.00	3.68	0.0	0.00
08/10/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.53	0.00	1.53	0.0	0.00
09/16/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.77	0.00	3.77	0.0	0.00
09/16/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.57	0.00	1.57	0.0	0.00
10/12/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.63	0.00	3.63	0.0	0.00
10/12/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.51	0.00	1.51	0.0	0.00
11/11/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.74	0.00	3.74	0.0	0.00
11/11/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.56	0.00	1.56	0.0	0.00
12/19/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.67	0.00	3.67	0.0	0.00
12/19/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.53	0.00	1.53	0.0	0.00
02/09/2018	WESTRENN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.62	0.00	1.62	0.0	0.00
02/09/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	12.86	0.00	12.86	0.0	0.00
02/09/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	4.13	0.00	4.13	0.0	0.00
02/12/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.69	0.00	0.69	0.0	0.00
03/15/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.64	0.00	0.64	0.0	0.00
04/15/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.70	0.00	0.70	0.0	0.00
05/19/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.69	0.00	0.69	0.0	0.00
06/15/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.71	0.00	0.71	0.0	0.00
07/14/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.69	0.00	0.69	0.0	0.00
08/10/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.72	0.00	0.72	0.0	0.00
09/16/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.73	0.00	0.73	0.0	0.00
10/12/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.71	0.00	0.71	0.0	0.00
11/11/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.73	0.00	0.73	0.0	0.00
12/19/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.70	0.00	0.70	0.0	0.00
02/12/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.05	0.00	1.05	0.0	0.00
03/15/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	0.97	0.00	0.97	0.0	0.00
04/15/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.07	0.00	1.07	0.0	0.00
05/19/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.05	0.00	1.05	0.0	0.00
06/15/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.09	0.00	1.09	0.0	0.00
07/14/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.07	0.00	1.07	0.0	0.00
08/10/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.12	0.00	1.12	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/16/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.13	0.00	1.13	0.0	0.00
10/12/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.09	0.00	1.09	0.0	0.00
11/11/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.13	0.00	1.13	0.0	0.00
12/19/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.07	0.00	1.07	0.0	0.00
02/09/2018	WESTRENN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.57	0.00	1.57	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$754.26	\$0.00	\$754.26		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
02/10/2018	TIERNAN, JOAN	ING EMPIRE INNOVATIONS STD OPT A	100,351.76	250.88	0.00	250.88	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$100,351.76	\$250.88	\$0.00	\$250.88		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$100,751.76	\$1,024.14	\$0.00	\$1,024.14		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$3,907.54
Year-to-date Earnings	\$0.00

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