

**COMMISSION STATEMENT****September 30, 2017****BARRY LEMAY**

2242 Camden Ave

Suite 102

San Jose, CA 95124

**BROKER #: L122**

BRANCH: AMBAR FINANCIAL

| DATE                                | CLIENT          | INVESTMENT    | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|-------------------------------------|-----------------|---------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>   |                 |               |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: RIA</u></b> |                 |               |                      |                     |                    |                   |      |                      |
| 09/26/2017                          | CARRASCO, CLARA | TD AMERITRADE | 0.00                 | -29.20              | 0.00               | -29.20            | 90.0 | -26.28               |
| 09/26/2017                          | CARRASCO, CLARA | TD AMERITRADE | 0.00                 | -57.34              | 0.00               | -57.34            | 90.0 | -51.61               |
| 09/26/2017                          | CARRASCO, CLARA | TD AMERITRADE | 0.00                 | -29.48              | 0.00               | -29.48            | 90.0 | -26.53               |
| * RIA SUBTOTAL *                    |                 |               | \$0.00               | \$-116.02           | \$0.00             | \$-116.02         |      | \$-104.42            |
| *** BROKER TRANSACTIONS TOTAL ***   |                 |               | \$0.00               | \$-116.02           | \$0.00             | \$-116.02         |      | \$-104.42            |

**BROKER COMMISSION TOTALS**

|                       |           |
|-----------------------|-----------|
| Broker Transactions   | \$-104.42 |
| Split Transactions    | \$0.00    |
| Override Transactions | \$0.00    |
| Adjustments           | \$0.00    |
| Payroll Draw          | \$0.00    |
| Base Salary           | \$0.00    |
| Prior Period Balance  | \$0.00    |
| FINRA Assessment      | \$0.00    |
| SIPC Assessment       | \$0.00    |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |                    |
|------------------------------|--------------------|
| <b>Balance Forward</b>       | <b>\$-104.42</b>   |
| <b>Year-to-date Gross</b>    | <b>\$61,542.58</b> |
| <b>Year-to-date Earnings</b> | <b>\$55,388.35</b> |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed