

COMMISSION STATEMENT

July 15, 2018

 RICK HAVARD-D361
 1600 Stillhouse Hollow

 BROKER #: D361
 BRANCH: DMN

Prosper, TX 75078

COMMISSION STATEMENT for RICK HAVARD-D361

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: VARIABLE ANNUITIES									
06/30/2018 009171445A	HAVARD, HAROLD	VA PERSPECTIVE II	B	103,067.74	42.94	0.00	42.94	90.0	38.65
06/30/2018 009246903A	MCCLUNG, MICHAEL	VA ADVS II (05/05)	B	683,380.76	569.48	0.00	569.48	90.0	512.53
06/30/2018 009250186A	SKINNER, LARRY &	VA PRPS II (0505)	B	50,387.54	20.99	0.00	20.99	90.0	18.89
06/30/2018 1003034506	LARSON, RONALD	VA L SERIES	B	246,484.71	267.03	0.00	267.03	90.0	240.33
06/30/2018 1003034522	LARSON, CHERYLE	VA L SERIES	B	113,717.43	123.19	0.00	123.19	90.0	110.87
06/30/2018 1003937581	GOUKLER, BARRY	VA ADVS II (05/05)	B	82,904.03	69.09	0.00	69.09	90.0	62.18
06/30/2018 1004082776	MARINER, KATHLEEN	VA ADVS II (05/05)	B	352,969.18	294.14	0.00	294.14	90.0	264.73
06/30/2018 1005152204	FREEMAN, KATHY	VA L SERIES	B	31,665.55	26.39	0.00	26.39	90.0	23.75
06/30/2018 1005152204	FREEMAN, KATHY	VA L SERIES	B	24,849.03	26.92	0.00	26.92	90.0	24.23
06/30/2018 1005153081	FREEMAN, DAN	VA L SERIES	B	32,162.44	26.80	0.00	26.80	90.0	24.12
06/30/2018 1005153081	FREEMAN, DAN	VA L SERIES	B	25,238.88	27.34	0.00	27.34	90.0	24.61
06/30/2018 1006408644	DUPLECHAIN, SUE	VA L SERIES	B	36,343.54	30.29	0.00	30.29	90.0	27.26
06/30/2018 1006408679	DUPLECHAIN, RODNEY	VA L SERIES	B	42,664.94	35.55	0.00	35.55	90.0	32.00
06/30/2018 1006408849	DUPLECHAIN, RODNEY	VA L SERIES	B	189,191.59	157.66	0.00	157.66	90.0	141.89
06/30/2018 1006612160	BERRY, KEVIN	VA L SERIES	B	9,287.72	10.06	0.00	10.06	90.0	9.05
06/30/2018 1006661412	PORTER, CYNTHIA	VA L SERIES	B	191,188.21	159.32	0.00	159.32	90.0	143.39
06/30/2018 1006661412	PORTER, CYNTHIA	VA L SERIES	B	106,282.18	115.14	0.00	115.14	90.0	103.63
06/30/2018 1008397607	PHILLIPS, WENDELL	VA PERSPECTIVE L	B	167,441.07	181.39	0.00	181.39	90.0	163.25
06/30/2018 1008430523	FORBESS, JACK P &	VA PERSPECTIVE L	B	71,389.84	77.34	0.00	77.34	90.0	69.61
06/30/2018 1008430558	FORBESS, JACK P &	VA PERSPECTIVE L	B	89,532.79	96.99	0.00	96.99	90.0	87.29
06/30/2018 1008788649	NEIDHOLT, HELEN	VA PERSPECTIVE L	B	77,564.40	64.64	0.00	64.64	90.0	58.18
06/30/2018 1008788649	NEIDHOLT, HELEN	VA PERSPECTIVE L	B	249,929.75	270.76	0.00	270.76	90.0	243.68
06/30/2018 1009278024	MOREAU, WILMA	VA PERSPECTIVE L	B	88,174.37	95.52	0.00	95.52	90.0	85.97
06/30/2018 1009337276	KNOWLES, LOU	VA PERSPECTIVE L	B	101,826.07	110.31	0.00	110.31	90.0	99.28
06/30/2018 1010060059	HOLWERDA, MICHELE	VA PERSPECTIVE II	B	142,709.57	59.46	0.00	59.46	90.0	53.51
06/30/2018 1010060067	HOLWERDA, JOHN	VA PERSPECTIVE II	B	218,004.04	90.84	0.00	90.84	90.0	81.76
06/30/2018 1010076710	BUDJENSKA, RICHARD	VA PERSPECTIVE II	B	205,775.70	85.74	0.00	85.74	90.0	77.17
06/30/2018 1011316723	CHANDLER,	VA ADVS II (08/11)	B	24,048.61	15.03	0.00	15.03	90.0	13.53
06/30/2018 1012100147	HAMMOND, GREGG	VA ELITE ACCESS	B	6,060.07	2.02	0.00	2.02	90.0	1.82
06/30/2018 1012137903	WALTHALL, JOHN	VA ELITE ACCESS	B	23,841.12	7.95	0.00	7.95	90.0	7.16
06/30/2018 1017547069	COMPTON, RICKY	VA PERSP II 04/14	B	340,590.58	141.91	0.00	141.91	90.0	127.72

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06/30/2018	1019204223 WARD, VIRGINIA	VA PERSP II 01/16	B	79,638.50	33.18	0.00	33.18	90.0	29.86
* VARIABLE ANNUITIES SUBTOTAL *				\$4,208,311.95	\$3,335.41	\$0.00	\$3,335.41		\$3,001.90
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
06/30/2018	(None) BUDJENSKA, MARTHA	VAT ALLIANZ LIFE	B	0.00	51.70	0.00	51.70	90.0	46.53
06/30/2018	(None) DUPLCHAIN, RODNEY	VAT ALLIANZ LIFE	B	0.00	499.50	0.00	499.50	90.0	449.55
06/30/2018	(None) FREEMAN, DAN	VAT ALLIANZ LIFE	B	0.00	231.52	0.00	231.52	90.0	208.37
06/30/2018	(None) FREEMAN, DAN	VAT AXA LIFE	B	0.00	186.05	0.00	186.05	90.0	167.45
06/30/2018	(None) VARIOUS, HAVARD-D361	VAT NATIONWIDE	B	0.00	514.82	0.00	514.82	90.0	463.34
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,483.59	\$0.00	\$1,483.59		\$1,335.24
*** DIRECT TRANSACTIONS TOTAL ***				\$4,208,311.95	\$4,819.00	\$0.00	\$4,819.00		\$4,337.14

BROKER COMMISSION TOTALS

Broker Transactions	\$4,337.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$4,337.14
Year-to-date Commissions	\$35,256.02