



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**July 31, 2017**

07/27/2017  
 Page No. 1

KEITH LAYMAN  
 4321 Flagstaff

BROKER #: L128  
 BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: INTERVAL FUNDS</b>								
07/14/2017	LAYMAN, VARIOUS	BLUEROCK TOTAL INC & RE FUND	0.00	190.76	0.00	190.76	90.0	171.68
06/30/2017	LOWDEN TRUST, KATHERINE	GRIFFIN INST'L ACCESS R E FUND C	0.00	66.44	0.00	66.44	90.0	59.80
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$257.20	\$0.00	\$257.20		\$231.48
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
07/17/2017	NEWBAUER, CAMERON	AM FUNDS AMERICAN BALANCED 529A	50.00	1.38	0.00	1.38	90.0	1.24
07/10/2017	GRIME, STEPHEN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	90.0	3.38
07/10/2017	HERRIMAN, JANET	AM FUNDS AMERICAN BALANCED A	50.00	2.13	0.00	2.13	90.0	1.92
07/17/2017	PEARSON, STACEY	AM FUNDS AMERICAN BALANCED A	15.00	0.75	0.00	0.75	90.0	0.68
07/17/2017	CACCAMO, MICHAEL	AM FUNDS AMERICAN BALANCED A	540.00	22.95	0.00	22.95	90.0	20.66
07/17/2017	WHITE, IAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
07/17/2017	RATLIFF, MICHAEL	AM FUNDS AMERICAN BALANCED A	75.00	3.75	0.00	3.75	90.0	3.38
07/17/2017	WEIMER, RITA	AM FUNDS AMERICAN BALANCED A	300.00	15.00	0.00	15.00	90.0	13.50
07/20/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS AMERICAN BALANCED A	550.00	6.60	0.00	6.60	90.0	5.94
07/17/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	1.38	0.00	1.38	90.0	1.24
07/20/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS CAPITAL INCOME BUILDER A	550.00	6.60	0.00	6.60	90.0	5.94
07/17/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	2.75	0.00	2.75	90.0	2.48
07/17/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	2.75	0.00	2.75	90.0	2.48
07/17/2017	MOSURE, MONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	109.00	3.00	0.00	3.00	90.0	2.70
07/17/2017	NEACE, VICTORIA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
07/17/2017	MUSKIN, VERONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
07/20/2017	JACKSON, AIMEE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	90.0	2.25
07/17/2017	MOSURE, MONICA	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.38	0.00	1.38	90.0	1.24
07/17/2017	NEWBAUER, CAMERON	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
07/10/2017	GRIME, STEPHEN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
07/17/2017	MOSURE, MONICA	AM FUNDS GROWTH FUND OF AMERICA A	89.00	2.45	0.00	2.45	90.0	2.21
07/17/2017	NEACE, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/17/2017	MUSKIN, VERONICA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
07/17/2017	NEWBAUER, CAMERON	AM FUNDS ICA 529A	100.00	2.75	0.00	2.75	90.0	2.48
07/10/2017	GRIME, STEPHEN	AM FUNDS ICA A	100.00	3.75	0.00	3.75	90.0	3.38
07/17/2017	MOSURE, MONICA	AM FUNDS INCOME FUND OF AMERICA A	60.00	1.65	0.00	1.65	90.0	1.49
07/20/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS INCOME FUND OF AMERICA A	550.00	6.60	0.00	6.60	90.0	5.94
07/20/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS NEW ECONOMY A	550.00	6.60	0.00	6.60	90.0	5.94
07/17/2017	MOSURE, MONICA	AM FUNDS NEW PERSPECTIVE A	50.00	1.38	0.00	1.38	90.0	1.24
07/17/2017	MUSKIN, VERONICA	AM FUNDS NEW PERSPECTIVE A	50.00	1.88	0.00	1.88	90.0	1.69
07/17/2017	MUSSEY, LORI	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
07/17/2017	MOSURE, MONICA	AM FUNDS NEW WORLD A	50.00	1.38	0.00	1.38	90.0	1.24
07/17/2017	ROEMER, SHERRI	AM FUNDS NEW WORLD A	50.00	2.13	0.00	2.13	90.0	1.92
07/17/2017	MOSURE, MONICA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.38	0.00	1.38	90.0	1.24
/ /	SHERBURNE, STEVEN	ASCENSUS 529 2031 ENROLLMENT PORT A	0.00	0.06	0.00	0.06	90.0	0.05
/ /	SHERBURNE, STEVEN	ASCENSUS 529 2031 ENROLLMENT PORT A	0.00	0.06	0.00	0.06	90.0	0.05
/ /	SULTAN, LYDIA	ASCENSUS COLLEGE SAV 194524518	0.00	1.77	0.00	1.77	90.0	1.59
/ /	SULTAN, LYDIA	ASCENSUS COLLEGE SAV 194524518	0.00	1.59	0.00	1.59	90.0	1.43
/ /	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	0.00	1.77	0.00	1.77	90.0	1.59
/ /	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	0.00	2.59	0.00	2.59	90.0	2.33
/ /	PEPPLE, GLEN	ASCENSUS COLLEGE SAV 194524518	0.00	1.62	0.00	1.62	90.0	1.46
/ /	MARKS, GAREN	ASCENSUS COLLEGE SAV 194524518	0.00	0.52	0.00	0.52	90.0	0.47
/ /	GEVERINK, ANN	ASCENSUS COLLEGE SAV 194524518	0.00	4.64	0.00	4.64	90.0	4.18
/ /	HOEFFEL, MARCIA	ASCENSUS COLLEGE SAV 194524518	0.00	0.09	0.00	0.09	90.0	0.08
/ /	HELLER, ADAM	ASCENSUS COLLEGE SAV 194524518	0.00	0.56	0.00	0.56	90.0	0.50
/ /	BERNDT, JAMIE	ASCENSUS COLLEGE SAV 194524518	0.00	0.14	0.00	0.14	90.0	0.13
/ /	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	0.00	1.94	0.00	1.94	90.0	1.75
/ /	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	0.00	1.38	0.00	1.38	90.0	1.24
/ /	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	0.00	2.29	0.00	2.29	90.0	2.06
/ /	SLATER, JUSTIN	ASCENSUS COLLEGE SAV 194524518	0.00	3.63	0.00	3.63	90.0	3.27
/ /	COCKROFT, MARK	ASCENSUS COLLEGE SAV 194524518	0.00	1.13	0.00	1.13	90.0	1.02
/ /	COCKROFT, MARK	ASCENSUS COLLEGE SAV 194524518	0.00	1.64	0.00	1.64	90.0	1.48
/ /	COCKROFT, MARK	ASCENSUS COLLEGE SAV 194524518	0.00	0.70	0.00	0.70	90.0	0.63
/ /	DAVID, SCOTT	ASCENSUS COLLEGE SAV 194524518	0.00	3.18	0.00	3.18	90.0	2.86
/ /	JACKSON, BRENDA	ASCENSUS COLLEGE SAV 194524518	0.00	0.71	0.00	0.71	90.0	0.64

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	JACKSON, BRENDA	ASCENSUS COLLEGE SAV 194524518	0.00	0.75	0.00	0.75	90.0	0.68
07/14/2017	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	15.00	0.71	0.00	0.71	90.0	0.64
07/19/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
07/19/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
07/19/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
/ /	JOHNSON, JAMES	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.90	0.00	0.90	90.0	0.81
/ /	DRUHOT, SCOTT	ASCENSUS INA 2022 ENROLLMENT PTF C	0.00	33.93	0.00	33.93	90.0	30.54
/ /	SULTAN, LYDIA	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	1.43	0.00	1.43	90.0	1.29
/ /	SULTAN, LYDIA	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	1.39	0.00	1.39	90.0	1.25
/ /	FREEZE, CORTNEY	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	1.58	0.00	1.58	90.0	1.42
/ /	SIEBER, PAUL	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	3.37	0.00	3.37	90.0	3.03
/ /	SIEBER, PAUL	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	2.98	0.00	2.98	90.0	2.68
/ /	LINNEMEIER, JAY	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	1.49	0.00	1.49	90.0	1.34
/ /	MOSURE, MONICA	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	9.12	0.00	9.12	90.0	8.21
/ /	MOSURE, MONICA	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	8.95	0.00	8.95	90.0	8.06
/ /	PEPPLE, GLEN	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	1.57	0.00	1.57	90.0	1.41
/ /	SAYA, CHRISTINE	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	0.76	0.00	0.76	90.0	0.68
/ /	GEVERINK, ANN	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	3.42	0.00	3.42	90.0	3.08
/ /	HOEFFEL, MARCIA	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	0.16	0.00	0.16	90.0	0.14
/ /	HELLER, ADAM	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	2.19	0.00	2.19	90.0	1.97
/ /	SIEGER, NORMAN	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	0.14	0.00	0.14	90.0	0.13
/ /	ARCHBOLD, PAMELA	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	1.00	0.00	1.00	90.0	0.90
/ /	BERNDT, JAMIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	0.13	0.00	0.13	90.0	0.12
/ /	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	1.60	0.00	1.60	90.0	1.44
/ /	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	1.17	0.00	1.17	90.0	1.05
/ /	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	1.85	0.00	1.85	90.0	1.67
/ /	SLATER, JUSTIN	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	2.13	0.00	2.13	90.0	1.92
/ /	SLATER, JUSTIN	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	2.12	0.00	2.12	90.0	1.91
/ /	DAVID, SCOTT	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	2.58	0.00	2.58	90.0	2.32
07/14/2017	FREEZE, CORTNEY	ASCENSUS INA AMERICAN FUNDS EUROPAC	15.00	0.71	0.00	0.71	90.0	0.64
07/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
07/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
07/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	BENEFICIARY, ELLIOTT	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	4.53	0.00	4.53	90.0	4.08
/ /	PATTERSON, JANITA	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	2.88	0.00	2.88	90.0	2.59
/ /	KINDSCHY, CYNTHIA	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	2.96	0.00	2.96	90.0	2.66
/ /	PEPPLE, GLEN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	3.44	0.00	3.44	90.0	3.10
/ /	ROSS, AARON	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	7.16	0.00	7.16	90.0	6.44
/ /	MULLINS, CYNTHIA	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.50	0.00	0.50	90.0	0.45
/ /	BENEFICIARY, ELLIOTT	ASCENSUS INA COLLEGE PORTFOLIO CL C	0.00	2.61	0.00	2.61	90.0	2.35
/ /	MULLINS, CYNTHIA	ASCENSUS INA COLLEGE PORTFOLIO CL C	0.00	1.13	0.00	1.13	90.0	1.02
07/14/2017	MULLINS, CYNTHIA	ASCENSUS INA COLLEGE PORTFOLIO CL C	50.00	0.50	0.00	0.50	90.0	0.45
/ /	GOULET, LETTA	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	1.49	0.00	1.49	90.0	1.34
/ /	FREEZE, CORTNEY	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	2.66	0.00	2.66	90.0	2.39
/ /	PEE, MICHAEL	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	4.12	0.00	4.12	90.0	3.71
/ /	MARKS, GAREN	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.49	0.00	0.49	90.0	0.44
/ /	HOEFFEL, MARCIA	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.08	0.00	0.08	90.0	0.07
/ /	HELLER, ADAM	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.68	0.00	0.68	90.0	0.61
/ /	SLATER, JUSTIN	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.32	0.00	0.32	90.0	0.29
/ /	COCKROFT, MARK	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	1.06	0.00	1.06	90.0	0.95
/ /	COCKROFT, MARK	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	1.22	0.00	1.22	90.0	1.10
/ /	COCKROFT, MARK	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.69	0.00	0.69	90.0	0.62
/ /	LAYMAN, KEITH	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.25	0.00	0.25	90.0	0.23
/ /	BENEFICIARY, ELLIOTT	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	10.69	0.00	10.69	90.0	9.62
/ /	PATTERSON, JANITA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	21.35	0.00	21.35	90.0	19.22
/ /	KINDSCHY, CYNTHIA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	21.98	0.00	21.98	90.0	19.78
/ /	SULTAN, LYDIA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	1.30	0.00	1.30	90.0	1.17
/ /	SULTAN, LYDIA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.79	0.00	0.79	90.0	0.71
/ /	FREEZE, CORTNEY	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	1.57	0.00	1.57	90.0	1.41
/ /	PEE, MICHAEL	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	12.49	0.00	12.49	90.0	11.24
/ /	GOODMAN, LAUREN	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.01	0.00	0.01	90.0	0.01
/ /	GOODMAN, LAUREN	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.01	0.00	0.01	90.0	0.01
/ /	WILLIAMS, BEVERLY	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	3.12	0.00	3.12	90.0	2.81
/ /	SIEBER, PAUL	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	5.53	0.00	5.53	90.0	4.98
/ /	SIEBER, PAUL	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	5.49	0.00	5.49	90.0	4.94
/ /	LINNEMEIER, JAY	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	4.05	0.00	4.05	90.0	3.65

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	LINNEMEIER, JAY	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	1.53	0.00	1.53	90.0	1.38
/ /	PEPPLE, GLEN	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	5.41	0.00	5.41	90.0	4.87
/ /	DERBYSHIRE, KAREN	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	3.14	0.00	3.14	90.0	2.83
/ /	SAYA, CHRISTINE	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	1.42	0.00	1.42	90.0	1.28
/ /	MARKS, GAREN	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	1.21	0.00	1.21	90.0	1.09
/ /	GEVERINK, ANN	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	4.30	0.00	4.30	90.0	3.87
/ /	SHERBURNE, STEVEN	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.03	0.00	0.03	90.0	0.03
/ /	SHERBURNE, STEVEN	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.12	0.00	0.12	90.0	0.11
/ /	SIEGER, NORMAN	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.14	0.00	0.14	90.0	0.13
/ /	FEDLER, CHARLES	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	3.23	0.00	3.23	90.0	2.91
/ /	BERNDT, JAMIE	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.38	0.00	0.38	90.0	0.34
/ /	GALENTINE, STEPHANIE	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.99	0.00	0.99	90.0	0.89
/ /	GALENTINE, STEPHANIE	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	2.33	0.00	2.33	90.0	2.10
/ /	SHADY, HARRY	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.02	0.00	0.02	90.0	0.02
/ /	STANSBERRY, NANCY	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.21	0.00	0.21	90.0	0.19
/ /	LINSKY, MARCIA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	1.22	0.00	1.22	90.0	1.10
/ /	RUSK, RANDALL F	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.16	0.00	0.16	90.0	0.14
07/14/2017	FREEZE, CORTNEY	ASCENSUS INA PIMCO TOTAL RETURN POR	15.00	0.49	0.00	0.49	90.0	0.44
07/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA PIMCO TOTAL RETURN POR	13.87	0.45	0.00	0.45	90.0	0.41
07/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA PIMCO TOTAL RETURN POR	27.73	0.90	0.00	0.90	90.0	0.81
/ /	BENEFICIARY, ELLIOTT	ASCENSUS INA SHORT ERM TREASURY IND	0.00	5.82	0.00	5.82	90.0	5.24
/ /	PATTERSON, JANITA	ASCENSUS INA SHORT ERM TREASURY IND	0.00	8.26	0.00	8.26	90.0	7.43
/ /	KINDSCHY, CYNTHIA	ASCENSUS INA SHORT ERM TREASURY IND	0.00	8.49	0.00	8.49	90.0	7.64
/ /	GOODMAN, LAUREN	ASCENSUS INA SHORT TERM TREASURY IN	0.00	0.01	0.00	0.01	90.0	0.01
/ /	GOODMAN, LAUREN	ASCENSUS INA SHORT TERM TREASURY IN	0.00	0.01	0.00	0.01	90.0	0.01
/ /	PEPPLE, GLEN	ASCENSUS INA SHORT TERM TREASURY IN	0.00	1.94	0.00	1.94	90.0	1.75
/ /	MARKS, GAREN	ASCENSUS INA SHORT TERM TREASURY IN	0.00	0.41	0.00	0.41	90.0	0.37
/ /	FEDLER, CHARLES	ASCENSUS INA SHORT TERM TREASURY IN	0.00	3.09	0.00	3.09	90.0	2.78
/ /	STANSBERRY, NANCY	ASCENSUS INA SHORT TERM TREASURY IN	0.00	0.21	0.00	0.21	90.0	0.19
/ /	LINSKY, MARCIA	ASCENSUS INA SHORT TERM TREASURY IN	0.00	2.25	0.00	2.25	90.0	2.03
/ /	RUSK, RANDALL F	ASCENSUS INA SHORT TERM TREASURY IN	0.00	0.07	0.00	0.07	90.0	0.06
/ /	GOULET, LETTA	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	2.50	0.00	2.50	90.0	2.25
/ /	SULTAN, LYDIA	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	4.34	0.00	4.34	90.0	3.91

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	SULTAN, LYDIA	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	3.83	0.00	3.83	90.0	3.45
/ /	PEE, MICHAEL	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	10.52	0.00	10.52	90.0	9.47
/ /	SIEBER, PAUL	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	4.20	0.00	4.20	90.0	3.78
/ /	SIEBER, PAUL	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	4.74	0.00	4.74	90.0	4.27
/ /	LINNEMEIER, JAY	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	2.66	0.00	2.66	90.0	2.39
/ /	PEPPLE, GLEN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	2.31	0.00	2.31	90.0	2.08
/ /	SAYA, CHRISTINE	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	3.86	0.00	3.86	90.0	3.47
/ /	MARKS, GAREN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.86	0.00	0.86	90.0	0.77
/ /	GEVERINK, ANN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	9.48	0.00	9.48	90.0	8.53
/ /	SHERBURNE, STEVEN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.07	0.00	0.07	90.0	0.06
/ /	SIEGER, NORMAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.55	0.00	0.55	90.0	0.50
/ /	BERNDT, JAMIE	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.25	0.00	0.25	90.0	0.23
/ /	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	4.85	0.00	4.85	90.0	4.37
/ /	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	3.93	0.00	3.93	90.0	3.54
/ /	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	4.82	0.00	4.82	90.0	4.34
/ /	SLATER, JUSTIN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	1.19	0.00	1.19	90.0	1.07
/ /	SLATER, JUSTIN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.53	0.00	0.53	90.0	0.48
/ /	SAMELS, GREGORY	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.12	0.00	0.12	90.0	0.11
/ /	SAMELS, GREGORY	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.12	0.00	0.12	90.0	0.11
/ /	MCGUIRE, EDWARD	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	2.86	0.00	2.86	90.0	2.57
/ /	MCGUIRE, EDWARD	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	2.86	0.00	2.86	90.0	2.57
/ /	DAVID, SCOTT	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	4.47	0.00	4.47	90.0	4.02
/ /	GALENTINE, ASHLEY	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	4.37	0.00	4.37	90.0	3.93
07/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	41.60	1.66	0.00	1.66	90.0	1.49
07/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	48.53	1.94	0.00	1.94	90.0	1.75
07/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	34.67	1.39	0.00	1.39	90.0	1.25
/ /	BENEFICIARY, ELLIOTT	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	3.66	0.00	3.66	90.0	3.29
/ /	PATTERSON, JANITA	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	20.07	0.00	20.07	90.0	18.06
/ /	KINDSCHY, CYNTHIA	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	20.44	0.00	20.44	90.0	18.40
/ /	GOODMAN, LAUREN	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	GOODMAN, LAUREN	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	SIEBER, PAUL	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	4.27	0.00	4.27	90.0	3.84
/ /	SIEBER, PAUL	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	3.09	0.00	3.09	90.0	2.78

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	LINNEMEIER, JAY	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	1.45	0.00	1.45	90.0	1.31
/ /	PEPPLE, GLEN	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	2.65	0.00	2.65	90.0	2.39
/ /	FEDLER, CHARLES	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	3.14	0.00	3.14	90.0	2.83
/ /	BERNDT, JAMIE	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	0.12	0.00	0.12	90.0	0.11
/ /	STANSBERRY, NANCY	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	0.20	0.00	0.20	90.0	0.18
/ /	LINSKY, MARCIA	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	1.18	0.00	1.18	90.0	1.06
/ /	RUSK, RANDALL F	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	0.11	0.00	0.11	90.0	0.10
/ /	GOULET, LETTA	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	2.00	0.00	2.00	90.0	1.80
/ /	SULTAN, LYDIA	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	3.11	0.00	3.11	90.0	2.80
/ /	SULTAN, LYDIA	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	3.35	0.00	3.35	90.0	3.02
/ /	PEE, MICHAEL	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	10.03	0.00	10.03	90.0	9.03
/ /	GOODMAN, LAUREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.01	0.00	0.01	90.0	0.01
/ /	GOODMAN, LAUREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.01	0.00	0.01	90.0	0.01
/ /	SIEBER, PAUL	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	3.16	0.00	3.16	90.0	2.84
/ /	SIEBER, PAUL	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	3.66	0.00	3.66	90.0	3.29
/ /	LINNEMEIER, JAY	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	6.00	0.00	6.00	90.0	5.40
/ /	LINNEMEIER, JAY	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	2.16	0.00	2.16	90.0	1.94
/ /	PEPPLE, GLEN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	1.64	0.00	1.64	90.0	1.48
/ /	SAYA, CHRISTINE	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	3.33	0.00	3.33	90.0	3.00
/ /	MARKS, GAREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.75	0.00	0.75	90.0	0.68
/ /	GEVERINK, ANN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	7.72	0.00	7.72	90.0	6.95
/ /	HOEFFEL, MARCIA	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.22	0.00	0.22	90.0	0.20
/ /	SIEGER, NORMAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.54	0.00	0.54	90.0	0.49
/ /	ARCHBOLD, PAMELA	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	2.55	0.00	2.55	90.0	2.30
/ /	BERNDT, JAMIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.22	0.00	0.22	90.0	0.20
/ /	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	4.06	0.00	4.06	90.0	3.65
/ /	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	3.36	0.00	3.36	90.0	3.02
/ /	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	3.99	0.00	3.99	90.0	3.59
/ /	SLATER, JUSTIN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.91	0.00	0.91	90.0	0.82
/ /	MCGUIRE, EDWARD	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	2.64	0.00	2.64	90.0	2.38
/ /	MCGUIRE, EDWARD	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	2.64	0.00	2.64	90.0	2.38
/ /	STANSBERRY, NANCY	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.20	0.00	0.20	90.0	0.18
/ /	JACKSON, BRENDA	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.15	0.00	0.15	90.0	0.14

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	MESSER, JENNIFER	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	4.68	0.00	4.68	90.0	4.21
/ /	BAILEY, BRUCE	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.40	0.00	0.40	90.0	0.36
/ /	BAILEY, BRUCE	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.95	0.00	0.95	90.0	0.86
/ /	GALENTINE, ASHLEY	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	3.81	0.00	3.81	90.0	3.43
07/19/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	41.60	1.66	0.00	1.66	90.0	1.49
07/19/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	48.53	1.94	0.00	1.94	90.0	1.75
07/19/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	34.67	1.39	0.00	1.39	90.0	1.25
/ /	FREEZE, CORTNEY	ASCENSUS-INA CORE BOND INDEX PF CL	0.00	0.95	0.00	0.95	90.0	0.86
/ /	HOEFFEL, MARCIA	ASCENSUS-INA CORE BOND INDEX PF CL	0.00	0.07	0.00	0.07	90.0	0.06
/ /	HELLER, ADAM	ASCENSUS-INA CORE BOND INDEX PF CL	0.00	0.25	0.00	0.25	90.0	0.23
/ /	BERNDT, JAMIE	ASCENSUS-INA CORE BOND INDEX PF CL	0.00	0.13	0.00	0.13	90.0	0.12
/ /	COCKROFT, MARK	ASCENSUS-INA CORE BOND INDEX PF CL	0.00	1.47	0.00	1.47	90.0	1.32
/ /	COCKROFT, MARK	ASCENSUS-INA CORE BOND INDEX PF CL	0.00	2.55	0.00	2.55	90.0	2.30
/ /	COCKROFT, MARK	ASCENSUS-INA CORE BOND INDEX PF CL	0.00	1.33	0.00	1.33	90.0	1.20
/ /	RUSK, RANDALL F	ASCENSUS-INA CORE BOND INDEX PF CL	0.00	0.11	0.00	0.11	90.0	0.10
/ /	GOULET, LETTA	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	2.20	0.00	2.20	90.0	1.98
/ /	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	4.46	0.00	4.46	90.0	4.01
/ /	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	7.26	0.00	7.26	90.0	6.53
/ /	WILLIAMS, BEVERLY	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	3.68	0.00	3.68	90.0	3.31
/ /	SCHUCH, AUTUMN	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	1.07	0.00	1.07	90.0	0.96
/ /	SCHUCH, AUTUMN	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	1.12	0.00	1.12	90.0	1.01
/ /	LINNEMEIER, JAY	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.66	0.00	0.66	90.0	0.59
/ /	PEPPLE, GLEN	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	4.22	0.00	4.22	90.0	3.80
/ /	HOEFFEL, MARCIA	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.24	0.00	0.24	90.0	0.22
/ /	STEBING, MATTHEW	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.47	0.00	0.47	90.0	0.42
/ /	SHERBURNE, STEVEN	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	1.07	0.00	1.07	90.0	0.96
/ /	SHERBURNE, STEVEN	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.81	0.00	0.81	90.0	0.73
/ /	SHERBURNE, STEVEN	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.76	0.00	0.76	90.0	0.68
/ /	SHERBURNE, STEVEN	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.03	0.00	0.03	90.0	0.03
/ /	SHERBURNE, STEVEN	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.52	0.00	0.52	90.0	0.47
/ /	SHERBURNE, STEVEN	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.04	0.00	0.04	90.0	0.04
/ /	SHERBURNE, STEVEN	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.13	0.00	0.13	90.0	0.12
/ /	SHERBURNE, STEVEN	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.04	0.00	0.04	90.0	0.04

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	HELLER, ADAM	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	1.78	0.00	1.78	90.0	1.60
/ /	SAMELS, GREGORY	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.19	0.00	0.19	90.0	0.17
/ /	COCKROFT, MARK	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	1.09	0.00	1.09	90.0	0.98
/ /	COCKROFT, MARK	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	2.01	0.00	2.01	90.0	1.81
/ /	COCKROFT, MARK	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.98	0.00	0.98	90.0	0.88
/ /	JACKSON, BRENDA	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.62	0.00	0.62	90.0	0.56
/ /	JACKSON, BRENDA	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.43	0.00	0.43	90.0	0.39
/ /	JACKSON, BRENDA	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.33	0.00	0.33	90.0	0.30
/ /	JACKSON, BRENDA	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.29	0.00	0.29	90.0	0.26
/ /	JACKSON, BRENDA	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.22	0.00	0.22	90.0	0.20
/ /	JACKSON, NICK	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.29	0.00	0.29	90.0	0.26
07/14/2017	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	55.00	2.61	0.00	2.61	90.0	2.35
07/14/2017	SCHUCH, AUTUMN	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	1.07
07/14/2017	SCHUCH, AUTUMN	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	1.07
07/13/2017	HODAPP, CYNTHIA	BLACKROCK 19452P784	500.00	25.00	0.00	25.00	90.0	22.50
07/03/2017	JACKSON, BRENDA	DAVIS NEW YORK VENTURE A	0.00	3.61	0.00	3.61	90.0	3.25
/ /	DRUHOT, SCOTT	INA 2025 ENROLLMENT PORTFOLIO CLAS	0.00	7.23	0.00	7.23	90.0	6.51
07/01/2017	MCBRIDE, KEITH	INVESCO AMERICAN FRANCHISE A	0.00	6.66	0.00	6.66	90.0	5.99
07/01/2017	DILLIE, KYLE	INVESCO AMERICAN FRANCHISE A	0.00	0.13	0.00	0.13	90.0	0.12
07/01/2017	DILLIE, KENNETH	INVESCO AMERICAN FRANCHISE A	0.00	55.94	0.00	55.94	90.0	50.35
07/01/2017	MOELLERING, STEVEN	INVESCO AMERICAN FRANCHISE A	0.00	3.75	0.00	3.75	90.0	3.38
07/01/2017	ELLIOTT, RANDALL	INVESCO AMERICAN FRANCHISE C	0.00	3.82	0.00	3.82	90.0	3.44
07/01/2017	WILBANKS, GREGORY	INVESCO AMERICAN FRANCHISE FUND CL-	0.00	0.60	0.00	0.60	90.0	0.54
07/01/2017	MOELLERING, STEVEN	INVESCO AMERICAN VALUE A	0.00	3.59	0.00	3.59	90.0	3.23
07/01/2017	ELLIOTT, RANDALL	INVESCO BALANCED-RISK RTRMNT 2020 C	0.00	3.38	0.00	3.38	90.0	3.04
07/01/2017	WILBANKS, GREGORY	INVESCO CHARTER FUND B	0.00	0.49	0.00	0.49	90.0	0.44
07/01/2017	WILBANKS, GREGORY	INVESCO DEIVERSIFIED DIVIDEND FD CL	0.00	0.57	0.00	0.57	90.0	0.51
07/01/2017	ELLIOTT, RANDALL	INVESCO DIVERSIFIED DIVIDEND FD-CL	0.00	3.80	0.00	3.80	90.0	3.42
07/01/2017	WILBANKS, GREGORY	INVESCO DIVIDEND INCOME FUND B	0.00	0.35	0.00	0.35	90.0	0.32
07/01/2017	ELLIOTT, RANDALL	INVESCO EMERGING MARKETS EQTY FD CL	0.00	1.38	0.00	1.38	90.0	1.24
07/01/2017	WILBANKS, GREGORY	INVESCO ENERGY FUND B	0.00	0.18	0.00	0.18	90.0	0.16
07/01/2017	ELLIOTT, RANDALL	INVESCO FLOATING RATE FD-CL C	0.00	1.31	0.00	1.31	90.0	1.18
07/01/2017	ELLIOTT, RANDALL	INVESCO GBL LOW VOLATILITY EQTY YLD	0.00	1.94	0.00	1.94	90.0	1.75

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/01/2017	MCBRIDE, KEITH	INVESCO GBL SMALL & MID CAP GROWTH	0.00	9.70	0.00	9.70	90.0	8.73
07/01/2017	WILBANKS, GREGORY	INVESCO INTERNATIONAL ALLOCATION -	0.00	0.40	0.00	0.40	90.0	0.36
07/01/2017	ELLIOTT, RANDALL	INVESCO INTERNATIONAL TOTAL RETURN	0.00	1.45	0.00	1.45	90.0	1.31
07/01/2017	WILBANKS, GREGORY	INVESCO MODERATE ALLOCATION B	0.00	0.54	0.00	0.54	90.0	0.49
07/01/2017	WILBANKS, GREGORY	INVESCO MONEY MARKET FUND CLASS B	0.00	0.82	0.00	0.82	90.0	0.74
07/01/2017	ELLIOTT, RANDALL	INVESCO US GOVERNMENT FD CL C	0.00	1.46	0.00	1.46	90.0	1.31
07/17/2017	URICK, DANIEL	TRANSAMERICA CAPITAL GROWTH A	100.00	4.00	0.00	4.00	90.0	3.60
07/03/2017	URICK, DANIEL	TRANSAMERICA DIVERSIFIED EQUITY A	100.00	4.00	0.00	4.00	90.0	3.60
07/17/2017	DELANEY, DIANA	VANGUARD 500 INDEX FUND INVESTOR SH	150.00	0.00	12.00	-12.00	90.0	-10.80
07/17/2017	DELANEY, MATTHEW	VANGUARD 500 INDEX FUND INVESTOR SH	25.00	0.00	12.00	-12.00	90.0	-10.80
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$6,379.00</b>	<b>\$862.53</b>	<b>\$24.00</b>	<b>\$838.53</b>		<b>\$754.89</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/15/2017	KAUFMAN, KATRINA	NWL BOA NG FP VUL	50.00	1.00	0.00	1.00	90.0	0.90
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$50.00</b>	<b>\$1.00</b>	<b>\$0.00</b>	<b>\$1.00</b>		<b>\$0.90</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$6,429.00</b>	<b>\$1,120.73</b>	<b>\$24.00</b>	<b>\$1,096.73</b>		<b>\$987.27</b>

**SPLIT TRANSACTIONS****BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

07/17/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
07/20/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	2.18	0.00	2.18	90.0	1.96
07/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	6.56	0.00	6.56	90.0	5.90
07/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	6.56	0.00	6.56	90.0	5.90
07/17/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.50	0.00	3.50	90.0	3.15
/ /	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.04	0.00	0.04	90.0	0.04
07/14/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	50.00	0.84	0.00	0.84	90.0	0.76
/ /	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.39	0.00	0.39	90.0	0.35
/ /	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.44	0.00	0.44	90.0	0.40
07/14/2017	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	0.84	0.00	0.84	90.0	0.76
/ /	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	0.74	0.00	0.74	90.0	0.67
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	7.77	0.00	7.77	90.0	6.99
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	5.70	0.00	5.70	90.0	5.13
07/14/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
07/14/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
/ /	CRAWFORD, JOHN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.10	0.00	0.10	90.0	0.09
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.69	0.00	1.69	90.0	1.52
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.12	0.00	1.12	90.0	1.01
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.13	0.00	1.13	90.0	1.02
/ /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.57	0.00	0.57	90.0	0.51
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.57	0.00	0.57	90.0	0.51
/ /	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.17	0.00	0.17	90.0	0.15
07/14/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12
/ /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	1.56	0.00	1.56	90.0	1.40
/ /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.17	0.00	0.17	90.0	0.15
07/14/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	90.0	0.09
/ /	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.36	0.00	0.36	90.0	0.32
/ /	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	1.53	0.00	1.53	90.0	1.38
/ /	LINN, ROSANNA	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	0.08	0.00	0.08	90.0	0.07
/ /	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.34	0.00	0.34	90.0	0.31
/ /	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.48	0.00	0.48	90.0	0.43
07/14/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
/ /	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.87	0.00	0.87	90.0	0.78
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.50	0.00	0.50	90.0	0.45
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.50	0.00	0.50	90.0	0.45
07/14/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
07/14/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
07/14/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
07/14/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & RE FUND	0.00	15.18	0.00	15.18	90.0	13.66
06/30/2017	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	0.00	46.31	0.00	46.31	90.0	41.68
/ /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	1.28	0.00	1.28	90.0	1.15
/ /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	0.71	0.00	0.71	90.0	0.64
/ /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.03	0.00	0.03	90.0	0.03
/ /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	0.78	0.00	0.78	90.0	0.70
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$1,505.00</b>	<b>\$115.79</b>	<b>\$0.00</b>	<b>\$115.79</b>		<b>\$104.21</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L135-KEITH/JOE LAYMAN/WIEGAND #267</u></b>								
/ /	MARKS, RICHARD	ASCENSUS 529 2031 ENROLLMENT PORT A	0.00	0.36	0.00	0.36	90.0	0.32
* L135-KEITH/JOE LAYMAN/WIEGAND SUBTOTAL *			\$0.00	\$0.36	\$0.00	\$0.36		\$0.32
*** SPLIT TRANSACTIONS TOTAL ***			\$1,505.00	\$116.15	\$0.00	\$116.15		\$104.53

<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: WILLIAM LAYMAN #207</u></b>								
07/17/2017	RABER, AMY	AM FUNDS AMERICAN MUTUAL A	300.00	15.00	0.00	15.00	45.0	6.75
/ /	BOUTWELL BENEFICIARY, LILLIAN	ASCENSUS 529 2031 ENROLLMENT PORT A	0.00	0.25	0.00	0.25	45.0	0.11
/ /	BOUTWELL BENEFICIARY, LILLIAN	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.68	0.00	0.68	45.0	0.31
/ /	BOUTWELL BENEFICIARY, LILLIAN	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.51	0.00	0.51	45.0	0.23
/ /	BOUTWELL BENEFICIARY, LILLIAN	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	0.51	0.00	0.51	45.0	0.23
/ /	BOUTWELL BENEFICIARY, LILLIAN	INA 2028 ENROLLMENT PORT CL A	0.00	0.41	0.00	0.41	45.0	0.18
/ /	BOUTWELL BENEFICIARY, LILLIAN	INA 2028 ENROLLMENT PORT CL A	0.00	0.52	0.00	0.52	45.0	0.23
/ /	BOUTWELL BENEFICIARY, LILLIAN	INA 2028 ENROLLMENT PORT CL A	0.00	0.52	0.00	0.52	45.0	0.23
* WILLIAM LAYMAN SUBTOTAL *			\$300.00	\$18.40	\$0.00	\$18.40		\$8.27
*** OVERRIDE TRANSACTIONS TOTAL ***			\$300.00	\$18.40	\$0.00	\$18.40		\$8.27

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$987.27
Split Transactions	\$104.53
Override Transactions	\$8.27
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,100.07
Year-to-date Gross	\$34,011.19
Year-to-date Earnings	\$29,661.60