



COMMISSION STATEMENT

January 08, 2018

BARRY BRESIN
11 Walnut Hill Drive
Penfield, NY 14526

BROKER #: 9
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/05/2018	***-**-06TD - BECKER, MARK	MASS MUTUAL VA	0.00	49.93	0.00	49.93	80.0	39.94
	ACCOUNT #: CM15598111	BUY 1 Units @0.0000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$0.00	\$49.93	\$0.00	\$49.93		\$39.94
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/08/2018	***-**-717I - MARSJANIK,	SAMMONS MF TRAILS	0.00	176.29	0.00	176.29	80.0	141.03
	ACCOUNT #: 7200008226	BUY 0 Units @0.0000						
01/08/2018	***-**-4892 - STERN, ROBERT	SAMMONS MF TRAILS	0.00	16.49	0.00	16.49	80.0	13.19
	ACCOUNT #: 7200027682	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$192.78	\$0.00	\$192.78		\$154.22
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/31/2017	***-**-513I - CLEMENT, SANDRA	AS ADVISORS PLAN III	0.00	27.74	0.00	27.74	80.0	22.19
	ACCOUNT #: E0894754	BUY 0 Units @0.0000						
12/31/2017	***-**-513I - CLEMENT, SANDRA	AS ADVISORS PLAN III	0.00	27.74	0.00	27.74	80.0	22.19
	ACCOUNT #: E0894754	BUY 0 Units @0.0000						
12/31/2017	***-**-54IN - MARSJANIK,	AS ADVISORS PLAN III	0.00	378.75	0.00	378.75	80.0	303.00
	ACCOUNT #: E0892522	BUY 0 Units @0.0000						
12/31/2017	***-**-54IN - MARSJANIK,	AS ADVISORS PLAN III	0.00	378.75	0.00	378.75	80.0	303.00
	ACCOUNT #: E0892522	BUY 0 Units @0.0000						
12/31/2017	***-**-910I - CLEMENT, JAMES	AS ADVISORS PLAN III	0.00	106.89	0.00	106.89	80.0	85.51
	ACCOUNT #: E0897411	BUY 0 Units @0.0000						
12/31/2017	***-**-910I - CLEMENT, JAMES	AS ADVISORS PLAN III	0.00	106.89	0.00	106.89	80.0	85.51
	ACCOUNT #: E0897411	BUY 0 Units @0.0000						
12/31/2017	***-**-892I - STERN, ROBERT	AS ADVISORS PLAN III	0.00	477.08	0.00	477.08	80.0	381.66
	ACCOUNT #: E0879974	BUY 0 Units @0.0000						
12/31/2017	***-**-892I - STERN, ROBERT	AS ADVISORS PLAN III	0.00	477.08	0.00	477.08	80.0	381.66
	ACCOUNT #: E0879974	BUY 0 Units @0.0000						
12/31/2017	***-**-910I - CLEMENT, JAMES	AS ADVISORS PLAN III	0.00	139.90	0.00	139.90	80.0	111.92
	ACCOUNT #: E0599491	BUY 0 Units @0.0000						
12/31/2017	***-**-910I - CLEMENT, JAMES	AS ADVISORS PLAN III	0.00	139.90	0.00	139.90	80.0	111.92
	ACCOUNT #: E0599491	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</u>								
12/31/2017	***-**-754I - MARSJANIK, ACCOUNT #: E0482001	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	365.46	0.00	365.46	80.0	292.37
12/31/2017	***-**-754I - MARSJANIK, ACCOUNT #: E0482001	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	365.46	0.00	365.46	80.0	292.37
12/31/2017	***-**-196I - BRESIN, JANET ACCOUNT #: E0558828	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	94.92	0.00	94.92	80.0	75.94
12/31/2017	***-**-196I - BRESIN, JANET ACCOUNT #: E0558828	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	94.92	0.00	94.92	80.0	75.94
12/31/2017	***-**-180I - HALM, MATILDA ACCOUNT #: 029010125A	JACKSON 468511654 BUY 0 Units @0.0000	0.00	39.77	0.00	39.77	80.0	31.82
12/30/2017	***-**-716I - STERN, PATRICIA ACCOUNT #: 015431641	NATIONWIDE CUSIP 638661330-NSCC BUY 0 Units @0.0000	0.00	135.29	0.00	135.29	80.0	108.23
12/30/2017	***-**-010I - LOMBARD, LAURIE ACCOUNT #: 015463968	NATIONWIDE CUSIP 638661330-NSCC BUY 0 Units @0.0000	0.00	96.97	0.00	96.97	80.0	77.58
12/30/2017	***-**-470I - MELLINA, EDWARD ACCOUNT #: 015465764	NATIONWIDE CUSIP 638661330-NSCC BUY 0 Units @0.0000	0.00	88.57	0.00	88.57	80.0	70.86
12/30/2017	***-**-091I - BECKER, HILDA ACCOUNT #: 015472817	NATIONWIDE CUSIP 638661330-NSCC BUY 0 Units @0.0000	0.00	360.43	0.00	360.43	80.0	288.34
12/30/2017	***-**-084I - BECKER, SHERMAN ACCOUNT #: 015473385	NATIONWIDE CUSIP 638661330-NSCC BUY 0 Units @0.0000	0.00	111.37	0.00	111.37	80.0	89.10
12/30/2017	***-**-1223 - MELLINA, ALBERTA ACCOUNT #: 015476838	NATIONWIDE CUSIP 638661330-NSCC BUY 0 Units @0.0000	0.00	43.39	0.00	43.39	80.0	34.71
12/30/2017	***-**-60IN - BRESIN, FLORENCE ACCOUNT #: 015631725	NATIONWIDE CUSIP 638661330-NSCC BUY 0 Units @0.0000	0.00	376.14	0.00	376.14	80.0	300.91
12/30/2017	***-**-010I - LOMBARD, LAURIE ACCOUNT #: 015730387	NATIONWIDE CUSIP 638661330-NSCC BUY 0 Units @0.0000	0.00	105.23	0.00	105.23	80.0	84.18
12/30/2017	***-**-27IN - COOK, DOROTHY ACCOUNT #: 016157940	NATIONWIDE CUSIP 638661397-NSCC BUY 0 Units @0.0000	0.00	27.68	0.00	27.68	80.0	22.14
12/30/2017	***-**-27IN - COOK, DOROTHY ACCOUNT #: 016157941	NATIONWIDE CUSIP 638661397-NSCC BUY 0 Units @0.0000	0.00	18.63	0.00	18.63	80.0	14.90
12/30/2017	***-**-091I - BECKER, HILDA ACCOUNT #: 015923184	NATIONWIDE CUSIP 638942714-NSCC BUY 0 Units @0.0000	0.00	296.31	0.00	296.31	80.0	237.05



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</u>								
12/31/2017	***-**-939I - BRESIN, HOWARD	PRUDENTIAL VA 74430T119	0.00	930.86	0.00	930.86	80.0	744.69
	ACCOUNT #: E1737618	BUY 0 Units @0.0000						
12/31/2017	***-**-513I - CLEMENT, SANDRA	PRUDENTIAL VA TRAILS 74430A227	0.00	99.88	0.00	99.88	80.0	79.90
	ACCOUNT #: E0612940	BUY 0 Units @0.0000						
12/31/2017	***-**-513I - CLEMENT, SANDRA	PRUDENTIAL VA TRAILS 74430A227	0.00	99.88	0.00	99.88	80.0	79.90
	ACCOUNT #: E0612940	BUY 0 Units @0.0000						
12/31/2017	***-**-13IN - CLEMENT, SANDRA	PRUDENTIAL VA TRAILS 74430A227	0.00	31.26	0.00	31.26	80.0	25.01
	ACCOUNT #: E0612770	BUY 0 Units @0.0000						
12/31/2017	***-**-13IN - CLEMENT, SANDRA	PRUDENTIAL VA TRAILS 74430A227	0.00	31.26	0.00	31.26	80.0	25.01
	ACCOUNT #: E0612770	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$6,074.40	\$0.00	\$6,074.40		\$4,859.51
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$6,317.11	\$0.00	\$6,317.11		\$5,053.67

BROKER COMMISSION TOTALS

Broker Transactions	\$5,053.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$13.06
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$5,066.73
Year-to-date Earnings	\$5,066.73