

All Companies
STATEMENT
April 20, 2018

MIKE MARCOTULLIO
3031 Wynsum Ave
SUITE 100
Merrick, NY 11566

BROKER #: 153
BRANCH:

COMMISSION STATEMENT for MIKE MARCOTULLIO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/12/2018 #	GOLDSTEIN, STEVEN	TENET HEALTHCARE CORPORATION	18,187.50	350.00	75.0	0.00	262.50	19.95	242.55
03/23/2018 #	ZUCKERMAN, ANDREW	TENET HEALTHCARE CORPORATION	12,363.00	271.67	75.0	0.00	203.75	19.95	183.80
* STOCKS SUBTOTAL *			\$30,550.50	\$621.67		\$0.00	\$466.25	\$39.90	\$426.35
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/09/2018 #	AUTILIA ZUGARO	DAIN TRAILER	0.00	4.39	75.0	0.00	3.29	0.00	3.29
03/09/2018 #	SANBORN, ROBERT	DAIN TRAILER	0.00	1.01	75.0	0.00	0.76	0.00	0.76
03/09/2018 #	STANLEY, MICHELLE	DAIN TRAILER	0.00	0.45	75.0	0.00	0.34	0.00	0.34
03/09/2018 #	STANLEY, MICHELLE	DAIN TRAILER	0.00	4.03	75.0	0.00	3.02	0.00	3.02
03/09/2018 #	BERRY, ROBERT	DAIN TRAILER	0.00	0.72	75.0	0.00	0.54	0.00	0.54
03/09/2018 #	BERRY, ROBERT	DAIN TRAILER	0.00	2.67	75.0	0.00	2.00	0.00	2.00
03/09/2018 #	LA FEX, THOMAS	DAIN TRAILER	0.00	1.10	75.0	0.00	0.83	0.00	0.83
03/09/2018 #	RICKS, DONNA	DAIN TRAILER	0.00	1.18	75.0	0.00	0.89	0.00	0.89
03/09/2018 #	WORTHINGTON, FARIS	DAIN TRAILER	0.00	3.02	75.0	0.00	2.27	0.00	2.27
03/09/2018 #	WORTHINGTON, FARIS	DAIN TRAILER	0.00	0.81	75.0	0.00	0.61	0.00	0.61
03/09/2018 #	GOLDSTEIN, STEVEN	DAIN TRAILER	0.00	1.84	75.0	0.00	1.38	0.00	1.38
03/09/2018 #	FREDA, MARTIN	DAIN TRAILER	0.00	0.90	75.0	0.00	0.68	0.00	0.68
03/09/2018 #	BAILEY, MARTIN	DAIN TRAILER	0.00	0.71	75.0	0.00	0.53	0.00	0.53
03/09/2018 #	LA FEX, THOMAS	DAIN TRAILER	0.00	15.24	75.0	0.00	11.43	0.00	11.43
03/09/2018 #	GOLDSTEIN, STEVEN	DAIN TRAILER	0.00	6.68	75.0	0.00	5.01	0.00	5.01
03/09/2018 #	SFERRAZZA, PAUL	DAIN TRAILER	0.00	5.49	75.0	0.00	4.12	0.00	4.12
03/09/2018 #	SFERRAZZA, JOANN	DAIN TRAILER	0.00	1.47	75.0	0.00	1.10	0.00	1.10
03/09/2018 #	SFERRAZZA, PAUL	DAIN TRAILER	0.00	0.80	75.0	0.00	0.60	0.00	0.60
03/09/2018 #	PARKS-HALL, MONICA	DAIN TRAILER	0.00	4.49	75.0	0.00	3.37	0.00	3.37
03/09/2018 #	PARKS, DOMINIQUE	DAIN TRAILER	0.00	4.49	75.0	0.00	3.37	0.00	3.37
03/09/2018 #	BUCKNER, TYRONE	DAIN TRAILER	0.00	2.84	75.0	0.00	2.13	0.00	2.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$64.33		\$0.00	\$48.27	\$0.00	\$48.27
*** BROKER TRANSACTIONS TOTAL ***			\$30,550.50	\$686.00		\$0.00	\$514.52	\$39.90	\$474.62

COMMISSION STATEMENT for MIKE MARCOTULLIO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	------	---------	-------------------	--------------------	----------------------

ADJUSTMENTS

04/20/2018		BETA BACK OFFICE: ADMIN FEE							-390.00
04/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
04/20/2018		FINRA: FINRA ANNUAL ASSESMENT FEE							-215.00
*** ADJUSTMENTS TOTAL ***									<u>\$-784.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$474.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-784.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-240.81
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-550.19</u>
-----------------	------------------