

Bill Payment Stub

Check Date:	4/25/2018
Check No.:	ACH
Check Amount:	3,212.66

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: DAN FENCL
422 MAIN STREET
CEDAR FALLS, IA 50613

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
4/15/2018	Bill	COMMISSIONS	3,482.66	3,482.66		3,482.66
4/5/2018	Credit	FINRA ASSES-JOHNSON	-135.00	-135.00		-135.00
4/5/2018	Credit	FINRA ASSESMENT	-135.00	-135.00		-135.00

COMMISSION STATEMENT

April 15, 2018

DAN FENCL-D022

BROKER #: D022

BRANCH: DMG

, TX

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/23/2018 (None) MILLER	MF	PRINCIPAL	B	0.00	1.19	0.00	1.19	85.0	1.01
03/29/2018 (None) VARIOUS, FENCL	MF	JOHN HANCOCK MUTUAL FUND	B	0.00	16.15	0.00	16.15	85.0	13.73
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$17.34	\$0.00	\$17.34		\$14.74

PRODUCT CATEGORY: VARIABLE ANNUITIES

03/31/2018 009044607A BURMAN, HOWARD	VA	PERSPECTIVE	B	10,573.99	8.81	0.00	8.81	85.0	7.49
03/31/2018 1009150001 LAMPE, KAREN	VA	PERSPECTIVE L	B	125,465.42	104.55	0.00	104.55	85.0	88.87
03/31/2018 1009405336 HEIRES, ALAN	VA	PERSPECTIVE L	B	12,908.85	10.76	0.00	10.76	85.0	9.15
03/31/2018 1009412669 STEPHENS, CHERYL	VA	PERSPECTIVE L	B	34,188.47	28.49	0.00	28.49	85.0	24.22
03/31/2018 1009442347 NAPIER, JOHN	VA	PERSPECTIVE L	B	14,043.45	11.70	0.00	11.70	85.0	9.95
03/31/2018 1009561753 DES JARLAIS, TERRY	VA	PERSPECTIVE L	B	187,588.62	156.32	0.00	156.32	85.0	132.87
03/31/2018 1009604118 DUNHAM, ARTHUR	VA	PERSPECTIVE L	B	92,126.79	76.77	0.00	76.77	85.0	65.25
03/31/2018 1009660573 STORJOHANN, HAROLD	VA	PERSPECTIVE L	B	16,114.74	13.43	0.00	13.43	85.0	11.42
03/31/2018 1009698791 LAUVER, KEVIN	VA	PERSPECTIVE L	B	35,601.95	29.67	0.00	29.67	85.0	25.22
03/31/2018 1010047648 GRIMM, GREIG	VA	PERSP L 08/11	B	37,014.71	30.85	0.00	30.85	85.0	26.22
03/31/2018 1010047737 GRIMM, GREIG	VA	PERSP L 08/11	B	111,835.98	93.20	0.00	93.20	85.0	79.22
03/31/2018 1010072391 FENCL, DAVID	VA	PERSP L 08/11	B	218,486.55	182.07	0.00	182.07	85.0	154.76
03/31/2018 1010085205 PAISLEY, RUTH	VA	PERSP L 08/11	B	96,396.60	80.33	0.00	80.33	85.0	68.28
03/31/2018 1010151046 STORJOHANN, HAROLD	VA	PERSP L 08/11	B	26,585.52	22.15	0.00	22.15	85.0	18.83
03/31/2018 1010172710 DRENNER, MARTHA	VA	PERSP L 08/11	B	137,434.55	114.53	0.00	114.53	85.0	97.35
03/31/2018 1010832922 FENCL, ROBERT	VA	PERSP L 08/11	B	82,908.30	69.09	0.00	69.09	85.0	58.73
03/31/2018 1010954688 BAILEY, JAMES	VA	PERSP L 08/11	B	103,496.56	120.75	0.00	120.75	85.0	102.64
03/31/2018 1011040396 DRENNER, WILLIAM	VA	PERSP L 08/11	B	114,691.36	95.58	0.00	95.58	85.0	81.24
03/31/2018 1011040434 DRENNER, MARTHA	VA	PERSP L 08/11	B	67,107.73	55.92	0.00	55.92	85.0	47.53
03/31/2018 1011339294 DES JARLAIS, MARY	VA	PERSP L 08/11	B	170,186.19	141.82	0.00	141.82	85.0	120.55
03/31/2018 1011499550 JONES, CARLA	VA	PERSP L 08/11	B	141,189.45	117.66	0.00	117.66	85.0	100.01
03/31/2018 1011726468 ADAMS, DONALD	VA	PERSP L 08/11	B	65,374.17	54.48	0.00	54.48	85.0	46.31
03/31/2018 1012601693 STEFFEN, ALICE	VA	ELITE ACCESS	B	29,543.43	24.62	0.00	24.62	85.0	20.93
03/31/2018 1012601723 FENCL, RANDALL	VA	ELITE ACCESS	B	38,541.69	32.12	0.00	32.12	85.0	27.30
03/31/2018 1015625623 FENCL, RONALD	VA	PERSP L 08/11	B	163,521.36	190.77	0.00	190.77	85.0	162.15
03/31/2018 1016522321 ANDERSON, GREGORY	VA	PERSP L 08/11	B	112,013.31	93.34	0.00	93.34	85.0	79.34

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
* VARIABLE ANNUITIES SUBTOTAL *				\$2,244,939.74	\$1,959.78	\$0.00	\$1,959.78		\$1,665.83
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/31/2018 (None)	VARIOUS, FENCL	MFT OPPENHEIMER	B	0.00	19.12	0.00	19.12	85.0	16.25
03/31/2018 (None)	DUNHAM	MFT PACIFIC LIFE 12B1	B	0.00	43.04	0.00	43.04	85.0	36.58
03/31/2018 (None)	WEDE-LINDA	MFT PACIFIC LIFE 12B1	B	0.00	34.34	0.00	34.34	85.0	29.19
03/31/2018 (None)	WENTE ENTE	MFT PACIFIC LIFE 12B1	B	0.00	24.89	0.00	24.89	85.0	21.16
03/31/2018 (None)	BAKER KATH	MFT PACIFIC LIFE 12B1	B	0.00	62.76	0.00	62.76	85.0	53.35
03/31/2018 (None)	AZBILL, SCOTT	MFT PACIFIC LIFE 12B1	B	0.00	22.01	0.00	22.01	85.0	18.71
03/31/2018 (None)	RIPPEL, SONDR	MFT PACIFIC LIFE 12B1	B	0.00	18.53	0.00	18.53	85.0	15.75
04/06/2018 (None)	VARIOUS, FENCL	MFT FIDELITY ADVISOR FDS	B	0.00	0.80	0.00	0.80	85.0	0.68
04/03/2018 00621091956	DAVIS, KAREN	MFT PIONEER FUND A	B	0.00	4.03	0.00	4.03	85.0	3.43
04/03/2018 00621091956	DAVIS, KAREN	MFT PIONEER MID CAP VALUE FUND A	B	0.00	14.24	0.00	14.24	85.0	12.10
04/03/2018 00621220249	TONN, BILLIE	MFT PIONEER FUND A	B	0.00	48.22	0.00	48.22	85.0	40.99
04/03/2018 00663799662	HOOVER JT TEN,	MFT PIONEER FUND A	B	0.00	6.17	0.00	6.17	85.0	5.24
04/03/2018 00699887190	ADAMS, BRET	MFT TRANSAMERICA-IDEX 893962415	B	0.00	1.31	0.00	1.31	85.0	1.11
04/03/2018 00699887191	ADAMS, BRET	MFT TRANSAMERICA-IDEX 893961813	B	0.00	0.30	0.00	0.30	85.0	0.26
04/01/2018 05566037249	HOOVER JT TEN,	MFT MFS EMERGING MARKETS EQUITY	B	0.00	2.14	0.00	2.14	85.0	1.82
04/03/2018 06206144790	BERRY, DIANA	MFT PIONEER FUND A	B	0.00	33.61	0.00	33.61	85.0	28.57
04/01/2018 08189235404	STECHEER, JULIE	MFT MASS INVESTORS GROWTH STOCK	B	0.00	5.89	0.00	5.89	85.0	5.01
04/01/2018 08189709825	HOOVER JT TEN,	MFT MFS INTERNTL DIVERSIFICATION	B	0.00	1.86	0.00	1.86	85.0	1.58
04/01/2018 08189709829	HOOVER JT TEN,	MFT MFS RESEARCH INTERNATIONAL	B	0.00	1.53	0.00	1.53	85.0	1.30
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$344.79	\$0.00	\$344.79		\$293.08
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
03/29/2018 (None)	CRAVENS, VALERIE	VAT ALLIANZ LIFE	B	0.00	80.15	0.00	80.15	85.0	68.13
03/29/2018 (None)	CAMPBELL, LEO	VAT ALLIANZ LIFE	B	0.00	101.22	0.00	101.22	85.0	86.04
03/31/2018 (None)	VARIOUS, FENCL	VAT PRUDENTIAL LIFE	B	0.00	577.58	0.00	577.58	85.0	490.94
04/06/2018 (None)	VENEM, ROBERT	VAT JOHN HANCOCK LIFE	B	0.00	55.19	0.00	55.19	85.0	46.91
03/26/2018 VA96299150	FLEMING, ARLENE	VAT PACIFIC LIFE VA 694474206	B	0.00	38.43	0.00	38.43	85.0	32.67
04/02/2018 VA98909290	DEGROOTE	VAT PACIFIC LIFE CUSIP 694474107	B	0.00	125.54	0.00	125.54	85.0	106.71
03/26/2018 VR00011328	SCHRODER	VAT PACIFIC LIFE VA 694474883	B	0.00	4.80	0.00	4.80	85.0	4.08
03/26/2018 VR01000118	SCHOPPE	VAT PACIFIC LIFE VA 694474883	B	0.00	182.27	0.00	182.27	85.0	154.93
04/02/2018 VR01035126	BURMAN, HOWARD	VAT PACIFIC LIFE VA 694474594	B	0.00	115.38	0.00	115.38	85.0	98.07
03/27/2018 VR03020705	SBIRAL, SUSAN	VAT PACIFIC LIFE VA 694474586	B	0.00	54.34	0.00	54.34	85.0	46.19
04/05/2018 VR04059346	LAUVER, KEVIN	VAT PACIFIC LIFE VA 694474610	B	0.00	209.51	0.00	209.51	85.0	178.08
03/26/2018 VR05005533	HARVEY	VAT PACIFIC LIFE VA 694474594	B	0.00	5.25	0.00	5.25	85.0	4.46
04/02/2018 VR05016637	KREMPGES	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	4.40	0.00	4.40	85.0	3.74
04/04/2018 VR05016637	KREMPGES	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	44.24	0.00	44.24	85.0	37.60
04/04/2018 VR12012618	CLEMMENSEN	VAT PACIFIC LIFE VA 694474610	B	0.00	78.53	0.00	78.53	85.0	66.75
04/05/2018 VR16004270	NEDERHOFF	VAT PACIFIC LIFE VA 694474610	B	0.00	98.48	0.00	98.48	85.0	83.71
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,775.31	\$0.00	\$1,775.31		\$1,509.01
*** DIRECT TRANSACTIONS TOTAL ***				\$2,244,939.74	\$4,097.22	\$0.00	\$4,097.22		\$3,482.66

BROKER COMMISSION TOTALS

Broker Transactions	\$3,482.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,482.66
Year-to-date Commissions	\$26,994.17