



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 31, 2017

05/25/2017
Page No. 1

1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOSK
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: 1JR
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^05/16/2017	LAGALA, JASON	MAINSTAY CUSHING MLP PREMIER FUND C	3,000.00	30.00	22.00	8.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$3,000.00	\$30.00	\$22.00	\$8.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^04/06/2017	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.95	0.00	1.95	100.0	0.00
^04/06/2017	WYFFELS, ALAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	7.23	0.00	7.23	100.0	0.00
^04/06/2017	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.95	0.00	1.95	100.0	0.00
^04/18/2017	VICTOR, ELLEN	JP MORGAN GROWTH ADV A	0.00	2.18	0.00	2.18	100.0	0.00
^04/28/2017	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.58	0.00	0.58	100.0	0.00
^04/28/2017	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.46	0.00	0.46	100.0	0.00
^04/28/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.58	0.00	2.58	100.0	0.00
^04/28/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.62	0.00	0.62	100.0	0.00
^04/28/2017	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.67	0.00	0.67	100.0	0.00
^04/28/2017	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.33	0.00	0.33	100.0	0.00
^04/20/2017	VICTOR, ELLEN	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.20	0.00	1.20	100.0	0.00
^04/20/2017	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.21	0.00	0.21	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$19.96	\$0.00	\$19.96		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$3,000.00	\$49.96	\$22.00	\$27.96		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

05/31/2017		*PERSHING: MARK UPS	0.00					0.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.03
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.03
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed