



COMMISSION STATEMENT

January 15, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/02/2018	***-**-87IN - HALL, J	AMCAP FUND-A	437.50	12.03	0.00	12.03	90.0	10.83
	ACCOUNT #: 00084955124	BUY 13 Units @33.0500						
01/02/2018	***-**-0658 - MCKILLOP, NORMA	AMCAP FUND-C	992.84	9.93	0.00	9.93	90.0	8.94
	ACCOUNT #: 04000640538	BUY 35 Units @28.2200						
01/02/2018	***-**-87IN - HALL, J	CAPITAL WORLD GROWTH AND INCOME FUN	437.50	12.03	0.00	12.03	90.0	10.83
	ACCOUNT #: 00084955124	BUY 8 Units @53.5600						
01/02/2018	***-**-87IN - HALL, J	FUNDAMENTAL INVESTORS-A	437.50	12.03	0.00	12.03	90.0	10.83
	ACCOUNT #: 00084955124	BUY 7 Units @65.1000						
01/02/2018	***-**-0658 - MCKILLOP, NORMA	FUNDAMENTAL INVESTORS-C	1,000.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: 04000640538	BUY 16 Units @62.4900						
01/08/2018	***-**-547J - BEST III, JOHN	NEW PERSPECTIVE FUND-A	996.98	37.38	3.00	34.38	90.0	30.94
	ACCOUNT #: LSR010824	BUY 21 Units @46.7100						
01/05/2018	***-**-351C - SOWERS, CLIENTS	TEMPLETON GLBL OPPS TR FUND - CLASS	50.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: 000000000255036	BUY 2 Units @22.7500						
01/02/2018	***-**-0658 - MCKILLOP, NORMA	THE GROWTH FUND OF AMERICA-C	1,000.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: 04000640538	BUY 21 Units @46.5200						
01/08/2018	***-**-547J - BEST III, JOHN	THE INVESTMENT COMPANY OF AMERICA-A	997.01	37.38	3.00	34.38	90.0	30.94
	ACCOUNT #: LSR010824	BUY 23 Units @43.2900						
01/02/2018	***-**-87IN - HALL, J	WASHINGTON MUTUAL INVESTORS FUND-A	437.50	12.03	0.00	12.03	90.0	10.83
	ACCOUNT #: 00084955124	BUY 9 Units @47.5900						
* MUTUAL FUNDS SUBTOTAL *			\$6,786.83	\$153.31	\$6.00	\$147.31		\$132.59
<u>PRODUCT CATEGORY: RIA</u>								
01/15/2018	***-**-559I - HUBBARD, JAMES	MORNINGSTAR ADVISOR FEE	0.00	216.71	0.00	216.71	90.0	195.04
		BUY 1 Units @0.0000						
01/15/2018	***-**-43FB - CRAFT, ARTHUR	MORNINGSTAR ADVISOR FEE	0.00	277.03	0.00	277.03	90.0	249.33
		BUY 1 Units @0.0000						
01/15/2018	***-**-12FB - BALBIN, CATHY	MORNINGSTAR ADVISOR FEE	0.00	335.87	0.00	335.87	90.0	302.28
		BUY 1 Units @0.0000						
01/10/2018	***-**-60IO - BLACK, JENNIFER	NFS MANAGED FEES	0.00	403.65	0.00	403.65	90.0	363.29
		BUY 1 Units @93544.6100						
01/10/2018	***-**-60I2 - BLACK, JENNIFER	NFS MANAGED FEES	0.00	439.41	0.00	439.41	90.0	395.47
		BUY 1 Units @101832.520						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
* RIA SUBTOTAL *			\$0.00	\$1,672.67	\$0.00	\$1,672.67		\$1,505.41
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
12/31/2017	***-**-0132 - FUERST, PATRICIA	CAPITAL APPRECIATION A	0.00	2.65	0.00	2.65	90.0	2.39
	ACCOUNT #: 03201481271	BUY 0 Units @0.0000						
12/31/2017	***-**-612I - VINCE, RAYMOND	CAPITAL APPRECIATION A	0.00	0.14	0.00	0.14	90.0	0.13
	ACCOUNT #: 03205797638	BUY 0 Units @0.0000						
12/31/2017	***-**-17IN - VITALE, ESTHER	CASH RESERVES A	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: 07603670975	BUY 0 Units @0.0000						
12/31/2017	***-**-612I - VINCE, RAYMOND	GLOBAL FUND A	0.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: 03300714573	BUY 0 Units @0.0000						
12/31/2017	***-**-3152 - LORD, GEORGE D	MAIN STREET FUND A	0.00	8.06	0.00	8.06	90.0	7.25
	ACCOUNT #: 04206461158	BUY 0 Units @0.0000						
12/31/2017	***-**-612I - VINCE, RAYMOND	MAIN STREET FUND A	0.00	0.16	0.00	0.16	90.0	0.14
	ACCOUNT #: 07008251303	BUY 0 Units @0.0000						
12/31/2017	***-**-897I - SPENCER, JEANNIE	OPP PORT SERIES: ACTIVE ALLOC A	0.00	10.82	0.00	10.82	90.0	9.74
	ACCOUNT #: 05500608806	BUY 0 Units @0.0000						
12/31/2017	***-**-17IN - VITALE, ESTHER	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	3.84	0.00	3.84	90.0	3.46
	ACCOUNT #: 02302781727	BUY 0 Units @0.0000						
12/31/2017	***-**-897I - SPENCER, JEANNIE	RISE DIVIDENDS FUND A	0.00	5.44	0.00	5.44	90.0	4.90
	ACCOUNT #: 02252886983	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$31.20	\$0.00	\$31.20		\$28.09
*** BROKER TRANSACTIONS TOTAL ***			\$6,786.83	\$1,857.18	\$6.00	\$1,851.18		\$1,666.09



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
					Broker Transactions			\$1,666.09
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					Net Commission Earned			\$1,666.09
					Year-to-date Earnings			\$5,822.18