

COMMISSION STATEMENT

July 31, 2018

BRISKIN DPM

BROKER #: D109

BRANCH: DWA

, TX

COMMISSION STATEMENT for BRISKIN DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS
PRODUCT CATEGORY: STOCKS

07/02/2018	368260050	RICE, GERALD	S	EAST WEST BANCORP INC	S	-9,083.83	15.00	15.00	0.00	0.0	0.00
07/03/2018	368260050	RICE, GERALD	S	MARATHON PETROLEUM CORP	S	-5,931.55	9.69	0.00	9.69	0.0	0.00
07/03/2018	368260050	RICE, GERALD	S	MARATHON PETROLEUM CORP	S	-3,175.17	5.19	15.00	-9.81	0.0	0.00
07/03/2018	368260050	RICE, GERALD	S	MARATHON PETROLEUM CORP	S	-70.61	0.12	0.00	0.12	0.0	0.00
07/13/2018	368260050	RICE, GERALD	S	CENTERSTATE BANKS OF FLORIDA	S	-4,090.24	15.00	15.00	0.00	0.0	0.00
07/18/2018	368260050	RICE, GERALD	S	COHU INCORPORATED	B	13,115.95	15.00	15.00	0.00	0.0	0.00
07/18/2018	368260050	RICE, GERALD	S	HUNTSMAN CORP	B	11,937.67	15.00	15.00	0.00	0.0	0.00
07/19/2018	368260050	RICE, GERALD	S	MARATHON PETROLEUM CORP	B	11,699.05	15.00	15.00	0.00	0.0	0.00
07/26/2018	368260050	RICE, GERALD	S	VISHAY INTERTECHNOLOGY INC	B	11,977.45	15.00	15.00	0.00	0.0	0.00
07/27/2018	368260050	RICE, GERALD	S	MERCER INTERNATIONAL INC-SBI	S	-1,587.35	2.63	0.00	2.63	0.0	0.00
07/27/2018	368260050	RICE, GERALD	S	MERCER INTERNATIONAL INC-SBI	S	-1,587.35	2.63	0.00	2.63	0.0	0.00
07/27/2018	368260050	RICE, GERALD	S	MERCER INTERNATIONAL INC-SBI	S	-1,523.85	2.53	0.00	2.53	0.0	0.00
07/27/2018	368260050	RICE, GERALD	S	MERCER INTERNATIONAL INC-SBI	S	-1,174.63	1.95	0.00	1.95	0.0	0.00
07/27/2018	368260050	RICE, GERALD	S	MERCER INTERNATIONAL INC-SBI	S	-886.12	1.47	0.00	1.47	0.0	0.00
07/27/2018	368260050	RICE, GERALD	S	MERCER INTERNATIONAL INC-SBI	S	-886.47	1.47	15.00	-13.53	0.0	0.00
07/27/2018	368260050	RICE, GERALD	S	MERCER INTERNATIONAL INC-SBI	S	-696.23	1.16	0.00	1.16	0.0	0.00
07/27/2018	368260050	RICE, GERALD	S	MERCER INTERNATIONAL INC-SBI	S	-696.23	1.16	0.00	1.16	0.0	0.00
07/03/2018	368357506	DIAZ, LETICIA	S	ISHARES DEVELOPED SMALL CAP	S	-5,575.48	15.00	15.00	0.00	0.0	0.00
07/11/2018	368357506	DIAZ, LETICIA	S	BROOKS AUTOMATION INC	S	-10,388.91	15.00	15.00	0.00	0.0	0.00
07/19/2018	368357506	DIAZ, LETICIA	S	COHEN & STEERS LIMITED DURATION	S	-9,559.19	15.00	15.00	0.00	0.0	0.00
07/19/2018	368357506	DIAZ, LETICIA	S	COHU INCORPORATED	B	2,631.45	3.00	15.00	-12.00	0.0	0.00
07/19/2018	368357506	DIAZ, LETICIA	S	COHU INCORPORATED	B	10,516.00	12.00	0.00	12.00	0.0	0.00
07/19/2018	368357506	DIAZ, LETICIA	S	MARATHON PETROLEUM CORP	B	11,678.09	15.00	15.00	0.00	0.0	0.00
07/26/2018	368357506	DIAZ, LETICIA	S	COGNIZANT TECH SOLUTIONS CORP	B	11,614.91	15.00	15.00	0.00	0.0	0.00
07/26/2018	368357506	DIAZ, LETICIA	S	VISHAY INTERTECHNOLOGY INC	B	11,977.45	15.00	15.00	0.00	0.0	0.00
07/27/2018	368357506	DIAZ, LETICIA	S	MERCER INTERNATIONAL INC-SBI	S	-3,860.93	6.42	0.00	6.42	0.0	0.00
07/27/2018	368357506	DIAZ, LETICIA	S	MERCER INTERNATIONAL INC-SBI	S	-1,582.35	2.63	0.00	2.63	0.0	0.00
07/27/2018	368357506	DIAZ, LETICIA	S	MERCER INTERNATIONAL INC-SBI	S	-1,582.35	2.63	0.00	2.63	0.0	0.00
07/27/2018	368357506	DIAZ, LETICIA	S	MERCER INTERNATIONAL INC-SBI	S	-886.12	1.47	0.00	1.47	0.0	0.00
07/27/2018	368357506	DIAZ, LETICIA	S	MERCER INTERNATIONAL INC-SBI	S	-696.23	1.16	0.00	1.16	0.0	0.00
07/27/2018	368357506	DIAZ, LETICIA	S	MERCER INTERNATIONAL INC-SBI	S	-408.96	0.68	15.00	-14.32	0.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/02/2018 430275860	KIMBRELL TRUST,	S EAST WEST BANCORP INC	S	-9,083.83	15.00	15.00	0.00	0.0	0.00
07/05/2018 430275860	KIMBRELL TRUST,	S ISHARES DEVELOPED SMALL CAP	S	-5,590.48	15.00	15.00	0.00	0.0	0.00
07/11/2018 430275860	KIMBRELL TRUST,	S BROOKS AUTOMATION INC	S	-3,227.34	4.62	0.00	4.62	0.0	0.00
07/11/2018 430275860	KIMBRELL TRUST,	S BROOKS AUTOMATION INC	S	-3,227.34	4.62	0.00	4.62	0.0	0.00
07/11/2018 430275860	KIMBRELL TRUST,	S BROOKS AUTOMATION INC	S	-3,225.89	4.62	15.00	-10.38	0.0	0.00
07/11/2018 430275860	KIMBRELL TRUST,	S BROOKS AUTOMATION INC	S	-806.37	1.15	0.00	1.15	0.0	0.00
07/19/2018 430275860	KIMBRELL TRUST,	S COHEN & STEERS LIMITED DURATION	S	-9,566.03	15.00	15.00	0.00	0.0	0.00
07/19/2018 430275860	KIMBRELL TRUST,	S COHU INCORPORATED	B	472.59	0.54	0.00	0.54	0.0	0.00
07/19/2018 430275860	KIMBRELL TRUST,	S COHU INCORPORATED	B	2,626.35	3.00	15.00	-12.00	0.0	0.00
07/19/2018 430275860	KIMBRELL TRUST,	S COHU INCORPORATED	B	2,624.00	3.00	0.00	3.00	0.0	0.00
07/19/2018 430275860	KIMBRELL TRUST,	S COHU INCORPORATED	B	2,627.00	3.00	0.00	3.00	0.0	0.00
07/19/2018 430275860	KIMBRELL TRUST,	S COHU INCORPORATED	B	4,777.50	5.46	0.00	5.46	0.0	0.00
07/19/2018 430275860	KIMBRELL TRUST,	S HUNTSMAN CORP	B	10,061.93	15.00	15.00	0.00	0.0	0.00
07/19/2018 430275860	KIMBRELL TRUST,	S MARATHON PETROLEUM CORP	B	11,699.05	15.00	15.00	0.00	0.0	0.00
07/26/2018 430275860	KIMBRELL TRUST,	S COGNIZANT TECH SOLUTIONS CORP	B	11,602.31	15.00	15.00	0.00	0.0	0.00
07/26/2018 430275860	KIMBRELL TRUST,	S VISHAY INTERTECHNOLOGY INC	B	728.91	0.91	0.00	0.91	0.0	0.00
07/26/2018 430275860	KIMBRELL TRUST,	S VISHAY INTERTECHNOLOGY INC	B	2,603.26	3.26	0.00	3.26	0.0	0.00
07/26/2018 430275860	KIMBRELL TRUST,	S VISHAY INTERTECHNOLOGY INC	B	2,605.71	3.26	15.00	-11.74	0.0	0.00
07/26/2018 430275860	KIMBRELL TRUST,	S VISHAY INTERTECHNOLOGY INC	B	6,039.57	7.57	0.00	7.57	0.0	0.00
07/27/2018 430275860	KIMBRELL TRUST,	S MERCER INTERNATIONAL INC-SBI	S	-9,073.93	15.00	15.00	0.00	0.0	0.00
07/11/2018 583773480	PARKS, HUBBARD	S BROOKS AUTOMATION INC	S	-4,031.81	5.77	0.00	5.77	0.0	0.00
07/11/2018 583773480	PARKS, HUBBARD	S BROOKS AUTOMATION INC	S	-3,227.34	4.62	0.00	4.62	0.0	0.00
07/11/2018 583773480	PARKS, HUBBARD	S BROOKS AUTOMATION INC	S	-3,224.89	4.62	15.00	-10.38	0.0	0.00
07/19/2018 583773480	PARKS, HUBBARD	S COHU INCORPORATED	B	13,147.45	15.00	15.00	0.00	0.0	0.00
07/19/2018 583773480	PARKS, HUBBARD	S HUNTSMAN CORP	B	11,960.85	15.00	15.00	0.00	0.0	0.00
07/19/2018 583773480	PARKS, HUBBARD	S MARATHON PETROLEUM CORP	B	11,695.85	15.00	15.00	0.00	0.0	0.00
07/26/2018 583773480	PARKS, HUBBARD	S COGNIZANT TECH SOLUTIONS CORP	B	11,606.51	15.00	15.00	0.00	0.0	0.00
07/26/2018 583773480	PARKS, HUBBARD	S VISHAY INTERTECHNOLOGY INC	B	11,977.45	15.00	15.00	0.00	0.0	0.00
07/27/2018 583773480	PARKS, HUBBARD	S MERCER INTERNATIONAL INC-SBI	S	-9,073.93	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *				\$86,714.98	\$495.01	\$495.00	\$0.01		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/23/2018 mfc513730	DPM BRISKIN 513730	MFT AIM ASIA PACIFIC GROWTH FUND -	B	0.00	2.76	0.00	2.76	0.0	0.00
07/23/2018 mfc513730	DPM BRISKIN 513730	MFT AIM ASIA PACIFIC GROWTH FUND -	B	0.00	9.87	0.00	9.87	0.0	0.00
07/23/2018 mfc513730	DPM BRISKIN 513730	MFT AIM ASIA PACIFIC GROWTH FUND -	B	0.00	32.86	0.00	32.86	0.0	0.00
07/23/2018 mfc513730	DPM BRISKIN 513730	MFT DREYFUS OPPORTUNISTIC MIDCAP	B	0.00	15.32	0.00	15.32	0.0	0.00
07/23/2018 mfc513730	DPM BRISKIN 513730	MFT INVESCO EQUALLY-WGHTD S&P 500	B	0.00	7.98	0.00	7.98	0.0	0.00
07/23/2018 mfc513730	DPM BRISKIN 513730	MFT INVESCO EQUALLY-WGHTD S&P 500	B	0.00	50.71	0.00	50.71	0.0	0.00
07/23/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG LTD TERM INCOME FD CL	B	0.00	12.99	0.00	12.99	0.0	0.00
07/23/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG INVESTMENT INCOME	B	0.00	1.84	0.00	1.84	0.0	0.00
07/23/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG INVESTMENT INCOME	B	0.00	6.69	0.00	6.69	0.0	0.00
07/23/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG INVESTMENT INCOME	B	0.00	9.84	0.00	9.84	0.0	0.00
07/23/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG STRATEGIC INCOME FD A	B	0.00	9.75	0.00	9.75	0.0	0.00
07/23/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	4.87	0.00	4.87	0.0	0.00

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07/23/2018	mfc513730 DPM BRISKIN 513730	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	10.98	0.00	10.98	0.0	0.00
07/23/2018	mfc513730 DPM BRISKIN 513730	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	14.62	0.00	14.62	0.0	0.00
07/23/2018	mfc513730 DPM BRISKIN 513730	MFT TRANSAMERICA SMALL/MID CAP	B	0.00	1.66	0.00	1.66	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$192.74	\$0.00	\$192.74		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$86,714.98	\$687.75	\$495.00	\$192.75		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00