

COMMISSION STATEMENT**February 28, 2018****PHYLLIS FATTORUSO**

89-40 151ST AVENUE

APT 5G

HOWARD BEACH, NY 11414

BROKER #: 53

BRANCH: HOME OFFICE

COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
02/06/2018	QGW007700	SARNA, MARK		BANK OF AMERICA CORP	S	-30,365.00	50.00	0.00	50.00	52.0	26.00
02/06/2018	QGW007700	SARNA, MARK		GENERAL ELECTRIC CO	B	29,895.20	100.00	0.00	100.00	52.0	52.00
02/16/2018	QGW007700	SARNA, MARK		BANK OF AMERICA CORP	B	28,000.00	50.00	0.00	50.00	52.0	26.00
02/16/2018	QGW007700	SARNA, MARK		GENERAL ELECTRIC CO	B	30,000.00	100.00	0.00	100.00	52.0	52.00
02/06/2018	QGW007825	SARNA FAMILY LTD		BANK OF AMERICA CORP	S	-30,365.00	50.00	0.00	50.00	52.0	26.00
02/06/2018	QGW007825	SARNA FAMILY LTD		GENERAL ELECTRIC CO	B	29,890.00	100.00	0.00	100.00	52.0	52.00
02/16/2018	QGW007825	SARNA FAMILY LTD		BANK OF AMERICA CORP	B	56,000.00	100.00	0.00	100.00	52.0	52.00
02/16/2018	QGW007825	SARNA FAMILY LTD		GENERAL ELECTRIC CO	B	30,000.00	100.00	0.00	100.00	52.0	52.00
02/05/2018	QGW020927	KNIGHT, DAVID		PROSHARES TR PROSHARES ULTRASHORT T S		-11,035.08	50.00	0.00	50.00	52.0	26.00
* STOCKS SUBTOTAL *					\$132,020.12	\$700.00	\$0.00	\$700.00		\$364.00	

PRODUCT CATEGORY: OPTIONS

02/01/2018	QGW007700	SARNA, MARK		CALL 100 BANK AMER CORP COM EXP 02- S		-4,400.00	40.00	0.00	40.00	52.0	20.80
02/01/2018	QGW007700	SARNA, MARK		CALL 100 GENERAL ELECTRIC CO COM EX B		6,330.00	90.00	0.00	90.00	52.0	46.80
02/02/2018	QGW007700	SARNA, MARK		CALL 100 APPLE INC COM EXP 03-16-18 B		14,910.00	40.00	0.00	40.00	52.0	20.80
02/02/2018	QGW007700	SARNA, MARK		CALL 100 APPLE INC COM EXP 03-16-18 B		9,500.00	40.00	0.00	40.00	52.0	20.80
02/05/2018	QGW007700	SARNA, MARK		CALL 100 BANK OF AMERICA CORPORATIO B		7,350.00	90.00	0.00	90.00	52.0	46.80
02/05/2018	QGW007700	SARNA, MARK		CALL 100 GENERAL ELECTRIC CO COM EX B		8,010.00	90.00	0.00	90.00	52.0	46.80
02/07/2018	QGW007700	SARNA, MARK		CALL 100 FREEPORT-MCMORAN INC CL B B		12,930.00	120.00	0.00	120.00	52.0	62.40
02/07/2018	QGW007700	SARNA, MARK		CALL 100 WYNN RESORTS LTD COM EXP 0 S		-33,448.50	40.00	0.00	40.00	52.0	20.80
02/07/2018	QGW007700	SARNA, MARK		CALL 100 WYNN RESORTS LTD COM EXP 0 S		-4,200.00	40.00	0.00	40.00	52.0	20.80
02/08/2018	QGW007700	SARNA, MARK		CALL 100 BANK OF AMERICA CORPORATIO B		6,400.00	80.00	0.00	80.00	52.0	41.60
02/08/2018	QGW007700	SARNA, MARK		CALL 100 GOLDMAN SACHS GROUP INC CO B		15,200.00	40.00	0.00	40.00	52.0	20.80
02/09/2018	QGW007700	SARNA, MARK		CALL 100 GOLDMAN SACHS GROUP INC CO B		14,002.00	40.00	0.00	40.00	52.0	20.80
02/09/2018	QGW007700	SARNA, MARK		CALL 100 GOLDMAN SACHS GROUP INC CO S		-6,925.00	40.00	0.00	40.00	52.0	20.80
02/12/2018	QGW007700	SARNA, MARK		CALL 100 BANK AMER CORP COM EXP 02- S		-3,410.00	40.00	0.00	40.00	52.0	20.80
02/12/2018	QGW007700	SARNA, MARK		CALL 100 GOLDMAN SACHS GROUP INC CO B		7,650.50	40.00	0.00	40.00	52.0	20.80
02/12/2018	QGW007700	SARNA, MARK		CALL 100 WYNN RESORTS LTD COM EXP 0 B		15,500.00	40.00	0.00	40.00	52.0	20.80
02/14/2018	QGW007700	SARNA, MARK		CALL 100 GOLDMAN SACHS GROUP INC CO S		-5,520.00	40.00	0.00	40.00	52.0	20.80
02/16/2018	QGW007700	SARNA, MARK		CALL 100 BANK AMER CORP COM EXP 02- S		-8,000.00	80.00	0.00	80.00	52.0	41.60
02/16/2018	QGW007700	SARNA, MARK		CALL 100 BANK OF AMERICA CORPORATIO B		6,360.00	80.00	0.00	80.00	52.0	41.60

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
02/16/2018	QGW007700	SARNA, MARK	CALL 100	FACEBOOK INC CL A EXP 02-1 S	-7,700.00	40.00	0.00	40.00	52.0 20.80
02/16/2018	QGW007700	SARNA, MARK	CALL 100	FACEBOOK INC CL A EXP 03-1 B	11,170.00	40.00	0.00	40.00	52.0 20.80
02/26/2018	QGW007700	SARNA, MARK	CALL 100	APPLE INC COM EXP 03-16-18 S	-29,000.00	40.00	0.00	40.00	52.0 20.80
02/26/2018	QGW007700	SARNA, MARK	CALL 100	APPLE INC COM EXP 03-09-18 S	-1,910.00	30.00	0.00	30.00	52.0 15.60
02/26/2018	QGW007700	SARNA, MARK	CALL 100	FACEBOOK INC CL A EXP 03-0 S	-2,691.00	30.00	0.00	30.00	52.0 15.60
02/26/2018	QGW007700	SARNA, MARK	CALL 100	GENERAL ELECTRIC CO COM EX B	5,000.00	40.00	0.00	40.00	52.0 20.80
02/26/2018	QGW007700	SARNA, MARK	CALL 100	GOLDMAN SACHS GROUP INC CO S	-31,000.00	40.00	0.00	40.00	52.0 20.80
02/26/2018	QGW007700	SARNA, MARK	CALL 100	GOLDMAN SACHS GROUP INC CO B	7,500.00	40.00	0.00	40.00	52.0 20.80
02/26/2018	QGW007700	SARNA, MARK	CALL 100	GOLDMAN SACHS GROUP INC CO S	-3,100.00	40.00	0.00	40.00	52.0 20.80
02/26/2018	QGW007700	SARNA, MARK	CALL 100	GOLDMAN SACHS GROUP INC CO S	3,100.00	-40.00	0.00	-40.00	52.0 -20.80 C
02/26/2018	QGW007700	SARNA, MARK	CALL 100	GOLDMAN SACHS GROUP INC CO S	-3,100.00	40.00	0.00	40.00	52.0 20.80
02/26/2018	QGW007700	SARNA, MARK	CALL 100	WYNN RESORTS LTD COM EXP 0 S	-4,750.00	40.00	0.00	40.00	52.0 20.80
02/26/2018	QGW007700	SARNA, MARK	CALL 100	WYNN RESORTS LTD COM EXP 0 S	4,750.00	-40.00	0.00	-40.00	52.0 -20.80 C
02/26/2018	QGW007700	SARNA, MARK	CALL 100	WYNN RESORTS LTD COM EXP 0 S	-4,750.00	40.00	0.00	40.00	52.0 20.80
02/27/2018	QGW007700	SARNA, MARK	CALL 100	FREEPORT-MCMORAN INC CL B B	15,690.00	120.00	0.00	120.00	52.0 62.40
02/27/2018	QGW007700	SARNA, MARK	CALL 100	FREEPORT-MCMORAN INC CL B B	19,050.00	120.00	0.00	120.00	52.0 62.40
02/27/2018	QGW007700	SARNA, MARK	CALL 100	FACEBOOK INC CL A EXP 03-0 B	1,890.00	30.00	0.00	30.00	52.0 15.60
02/01/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 02- S	-4,400.00	40.00	0.00	40.00	52.0 20.80
02/01/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX B	6,360.00	90.00	0.00	90.00	52.0 46.80
02/02/2018	QGW007825	SARNA FAMILY LTD	CALL 100	APPLE INC COM EXP 03-16-18 B	14,921.00	40.00	0.00	40.00	52.0 20.80
02/02/2018	QGW007825	SARNA FAMILY LTD	CALL 100	APPLE INC COM EXP 03-16-18 B	9,500.00	40.00	0.00	40.00	52.0 20.80
02/05/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK OF AMERICA CORPORATIO B	7,260.00	90.00	0.00	90.00	52.0 46.80
02/05/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX B	8,040.00	90.00	0.00	90.00	52.0 46.80
02/07/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FREEPORT-MCMORAN INC CL B B	12,930.00	120.00	0.00	120.00	52.0 62.40
02/07/2018	QGW007825	SARNA FAMILY LTD	CALL 100	WYNN RESORTS LTD COM EXP 0 S	-33,448.50	40.00	0.00	40.00	52.0 20.80
02/07/2018	QGW007825	SARNA FAMILY LTD	CALL 100	WYNN RESORTS LTD COM EXP 0 S	-4,200.00	40.00	0.00	40.00	52.0 20.80
02/08/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK OF AMERICA CORPORATIO B	6,400.00	80.00	0.00	80.00	52.0 41.60
02/08/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	15,200.00	40.00	0.00	40.00	52.0 20.80
02/09/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	14,002.00	40.00	0.00	40.00	52.0 20.80
02/09/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO S	-6,925.00	40.00	0.00	40.00	52.0 20.80
02/12/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 02- S	-3,420.00	40.00	0.00	40.00	52.0 20.80
02/12/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	7,650.50	40.00	0.00	40.00	52.0 20.80
02/12/2018	QGW007825	SARNA FAMILY LTD	CALL 100	WYNN RESORTS LTD COM EXP 0 B	15,500.00	40.00	0.00	40.00	52.0 20.80
02/14/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO S	-5,520.00	40.00	0.00	40.00	52.0 20.80
02/16/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 02- S	-11,919.90	120.00	0.00	120.00	52.0 62.40
02/16/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK OF AMERICA CORPORATIO B	9,570.00	120.00	0.00	120.00	52.0 62.40
02/16/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FACEBOOK INC CL A EXP 02-1 S	-7,700.00	40.00	0.00	40.00	52.0 20.80
02/16/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FACEBOOK INC CL A EXP 03-1 B	11,170.00	40.00	0.00	40.00	52.0 20.80
02/20/2018	QGW007825	SARNA FAMILY LTD	CALL 100	UNITED STS STL CORP NEW CO S	-2,420.00	60.00	0.00	60.00	52.0 31.20
02/22/2018	QGW007825	SARNA FAMILY LTD	CALL 100	UNITED STS STL CORP NEW CO B	240.00	40.00	0.00	40.00	52.0 20.80

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
02/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100	APPLE INC COM EXP 03-16-18 S	-29,000.00	40.00	0.00	40.00	52.0	20.80
02/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100	APPLE INC COM EXP 03-09-18 S	-1,910.00	30.00	0.00	30.00	52.0	15.60
02/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FACEBOOK INC CL A EXP 03-0 S	-2,691.00	30.00	0.00	30.00	52.0	15.60
02/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX B	5,000.00	40.00	0.00	40.00	52.0	20.80
02/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO S	-31,000.00	40.00	0.00	40.00	52.0	20.80
02/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	7,500.00	40.00	0.00	40.00	52.0	20.80
02/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO S	-3,081.00	40.00	0.00	40.00	52.0	20.80
02/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO S	3,081.00	-40.00	0.00	-40.00	52.0	-20.80 C
02/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO S	-3,081.00	40.00	0.00	40.00	52.0	20.80
02/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100	WYNN RESORTS LTD COM EXP 0 S	-4,752.00	40.00	0.00	40.00	52.0	20.80
02/27/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FREEPORT-MCMORAN INC CL B B	15,690.00	120.00	0.00	120.00	52.0	62.40
02/27/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FREEPORT-MCMORAN INC CL B B	19,050.00	120.00	0.00	120.00	52.0	62.40
02/27/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FACEBOOK INC CL A EXP 03-0 B	1,890.00	30.00	0.00	30.00	52.0	15.60
* OPTIONS SUBTOTAL *					\$73,874.10	\$3,700.00	\$0.00	\$3,700.00	\$1,924.00	

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/14/2018	QGW021909	MASSA, MARY	TRANSAMERICA MULTI-MANAGED BALANCED B	0.00	8.45	0.00	8.45	52.0	4.39
02/20/2018	QGW028755	SARNA, MARK	THORNBURG INVESTMENT INCOME BUILDER B	0.00	17.94	0.00	17.94	52.0	9.33
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$26.39	\$0.00	\$26.39		\$13.72
*** BROKER TRANSACTIONS TOTAL ***				\$205,894.22	\$4,426.39	\$0.00	\$4,426.39		\$2,301.72

ADJUSTMENTS

02/28/2018		ADVANCE: ADVANCE	0.00	0.00	0.00	0.00			-1,800.00
02/28/2018		OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00			-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-1,820.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,301.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,820.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-461.08
FINRA Assessment	\$-5.53
SIPC Assessment	\$-11.07

Check Amount	\$4.04
Year-to-date Earnings	\$4.04