



Concorde Investment Services, LLC

03/13/2018

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**COMMISSION STATEMENT****March 15, 2018**JOSEPH (TERRY), M132 MANN  
219 E. 31st StreetBROKER #: M132  
BRANCH: JOSEPH "TERRY" MANN

Savannah, GA 31401

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/20/2018	MOORE, THOMAS	FIDELITY ADV MATERIALS C	250.00	2.50	0.00	2.50	91.0	2.28
/ /	MOORE, THOMAS	FIDELITY ADV MEGA CAP STOCK	0.00	2.50	0.00	2.50	91.0	2.28
* MUTUAL FUNDS SUBTOTAL *			<b>\$250.00</b>	<b>\$5.00</b>	<b>\$0.00</b>	<b>\$5.00</b>		<b>\$4.56</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
02/22/2018	BLACK, AMELIA	PAC LIFE VUL	175.60	1.76	0.00	1.76	91.0	1.60
02/22/2018	BLACK, RICHARD	PAC LIFE VUL	235.60	2.36	0.00	2.36	91.0	2.15
02/22/2018	JARRETT, LAURIE	PAC LIFE VUL	67.50	1.35	0.00	1.35	91.0	1.23
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			<b>\$478.70</b>	<b>\$5.47</b>	<b>\$0.00</b>	<b>\$5.47</b>		<b>\$4.98</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	DUNLAP, TONY	AM FUNDS AMCAP A	0.00	0.58	0.00	0.58	91.0	0.53
03/01/2018	MOORE, MELISSA	AM FUNDS AMCAP A	0.00	3.48	0.00	3.48	91.0	3.17
03/01/2018	MOORE, THOMAS	AM FUNDS AMCAP A	0.00	4.36	0.00	4.36	91.0	3.97
03/01/2018	MOORE, MELISSA	AM FUNDS AMCAP A	0.00	4.89	0.00	4.89	91.0	4.45
03/01/2018	DEWICK, TRENTEN	AM FUNDS AMCAP A	0.00	0.96	0.00	0.96	91.0	0.87
03/01/2018	KRAUS, KATHLEEN	AM FUNDS AMCAP A	0.00	2.56	0.00	2.56	91.0	2.33
03/01/2018	HOFFMAN, LISA	AM FUNDS AMCAP A	0.00	0.46	0.00	0.46	91.0	0.42
03/01/2018	DEWICK, DYLAN	AM FUNDS AMCAP A	0.00	0.26	0.00	0.26	91.0	0.24
03/01/2018	COLLINS, REGENA	AM FUNDS AMCAP A	0.00	4.52	0.00	4.52	91.0	4.11
03/01/2018	DEWICK, JAMES	AM FUNDS AMCAP A	0.00	0.51	0.00	0.51	91.0	0.46
03/01/2018	COLLINS, JAMES	AM FUNDS AMCAP A	0.00	2.39	0.00	2.39	91.0	2.17
03/01/2018	PAI, YOGISH	AM FUNDS AMCAP C	0.00	50.80	0.00	50.80	91.0	46.23
03/01/2018	EMBRY, JASON	AM FUNDS AMCAP C	0.00	2.83	0.00	2.83	91.0	2.58
03/01/2018	BLANCHARD, TALIA	AM FUNDS AMCAP C	0.00	11.93	0.00	11.93	91.0	10.86
03/01/2018	MOORE, MELISSA	AM FUNDS AMCAP C	0.00	96.18	0.00	96.18	91.0	87.52
03/01/2018	BLANCHARD, TALIA	AM FUNDS AMCAP F1	0.00	0.33	0.00	0.33	91.0	0.30

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	PAI, YOGISH	AM FUNDS AMCAP F1	0.00	29.63	0.00	29.63	91.0	26.96
03/01/2018	EMBRY, JASON	AM FUNDS AMCAP F1	0.00	0.54	0.00	0.54	91.0	0.49
03/01/2018	BLANCHARD, TALIA	AM FUNDS AMCAP F1	0.00	0.71	0.00	0.71	91.0	0.65
03/01/2018	MOORE, MELISSA	AM FUNDS AMCAP F1	0.00	5.79	0.00	5.79	91.0	5.27
03/01/2018	WHELDON, ALYSON	AM FUNDS AMERICAN BALANCED A	0.00	2.15	0.00	2.15	91.0	1.96
03/01/2018	BOYD/BENE, DEVON	AM FUNDS AMERICAN BALANCED A	0.00	1.36	0.00	1.36	91.0	1.24
03/01/2018	FRAME/BENE, WADE	AM FUNDS AMERICAN BALANCED A	0.00	11.55	0.00	11.55	91.0	10.51
03/01/2018	DUNLAP, ANNA	AM FUNDS AMERICAN BALANCED C	0.00	5.50	0.00	5.50	91.0	5.01
03/01/2018	MOORE, THOMAS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.84	0.00	3.84	91.0	3.49
03/01/2018	DUNLAP, ANNA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	4.05	0.00	4.05	91.0	3.69
03/01/2018	KRAUS, JOHN	AM FUNDS AMERICAN MUTUAL C	0.00	14.02	0.00	14.02	91.0	12.76
03/01/2018	KRAUS, KATHLEEN	AM FUNDS BOND FUND OF AMERICA A	0.00	2.32	0.00	2.32	91.0	2.11
03/01/2018	DUNLAP, ANNA	AM FUNDS BOND FUND OF AMERICA C	0.00	3.11	0.00	3.11	91.0	2.83
03/01/2018	BOYD/BENE, DEVON	AM FUNDS BOND FUND OF AMERICA C	0.00	4.63	0.00	4.63	91.0	4.21
03/01/2018	FRAME/BENE, WADE	AM FUNDS BOND FUND OF AMERICA C	0.00	38.71	0.00	38.71	91.0	35.23
03/01/2018	DUNLAP, TONY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.40	0.00	0.40	91.0	0.36
03/01/2018	BLANCHARD, TALIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.18	0.00	0.18	91.0	0.16
03/01/2018	BLANCHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.18	0.00	0.18	91.0	0.16
03/01/2018	KRAUS, KATHLEEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.02	0.00	4.02	91.0	3.66
03/01/2018	MOORE, LUCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.76	0.00	4.76	91.0	4.33
03/01/2018	MOORE, MAX	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.70	0.00	4.70	91.0	4.28
03/01/2018	COLLINS, REGENA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.03	0.00	1.03	91.0	0.94
03/01/2018	BLANCHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	21.57	0.00	21.57	91.0	19.63
03/01/2018	MOORE, THOMAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	88.19	0.00	88.19	91.0	80.25
03/01/2018	MOORE, MELISSA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	64.61	0.00	64.61	91.0	58.80
03/01/2018	KRAUS, JOHN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	18.55	0.00	18.55	91.0	16.88
03/01/2018	KRAUS, KATHLEEN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.88	0.00	5.88	91.0	5.35
03/01/2018	MOORE, THOMAS	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.38	0.00	1.38	91.0	1.26
03/01/2018	BLANCHARD, TALIA	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.26	0.00	0.26	91.0	0.24
03/01/2018	MOORE, MELISSA	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	3.65	0.00	3.65	91.0	3.32
03/01/2018	BOYD/BENE, DEVON	AM FUNDS CAPITAL WORLD BOND A	0.00	0.61	0.00	0.61	91.0	0.56
03/01/2018	FRAME/BENE, WADE	AM FUNDS CAPITAL WORLD BOND A	0.00	5.11	0.00	5.11	91.0	4.65
03/01/2018	MOORE, THOMAS	AM FUNDS CAPITAL WORLD BOND C	0.00	3.52	0.00	3.52	91.0	3.20

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	KRAUS, JOHN	AM FUNDS CAPITAL WORLD BOND C	0.00	6.92	0.00	6.92	91.0	6.30
03/01/2018	DUNLAP, ANNA	AM FUNDS CAPITAL WORLD BOND C	0.00	2.51	0.00	2.51	91.0	2.28
03/01/2018	DUNLAP, TONY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.61	0.00	0.61	91.0	0.56
03/01/2018	MOORE, MELISSA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.19	0.00	3.19	91.0	2.90
03/01/2018	MOORE, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.74	0.00	3.74	91.0	3.40
03/01/2018	BLANCHARD, TALIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.18	0.00	1.18	91.0	1.07
03/01/2018	BLANCHARD, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.16	0.00	1.16	91.0	1.06
03/01/2018	HOFFMAN, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.59	0.00	0.59	91.0	0.54
03/01/2018	COLLINS, REGENA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.67	0.00	3.67	91.0	3.34
03/01/2018	COLLINS, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.00	0.00	1.00	91.0	0.91
03/01/2018	BOYD/BENE, DEVON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.06	0.00	1.06	91.0	0.96
03/01/2018	FRAME/BENE, WADE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.93	0.00	8.93	91.0	8.13
03/01/2018	SALOME, KIMBERLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	6.11	0.00	6.11	91.0	5.56
03/01/2018	KRAUS, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	9.12	0.00	9.12	91.0	8.30
03/01/2018	BLANCHARD, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.84	0.00	1.84	91.0	1.67
03/01/2018	MOORE, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.84	0.00	0.84	91.0	0.76
03/01/2018	BLANCHARD, TALIA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.56	0.00	0.56	91.0	0.51
03/01/2018	DUNLAP, TONY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.24	0.00	0.24	91.0	0.22
03/01/2018	SAMPLES, FAY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.17	0.00	0.17	91.0	0.15
03/01/2018	DUNLAP, ANNA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.87	0.00	4.87	91.0	4.43
03/01/2018	MOORE, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.64	0.00	3.64	91.0	3.31
03/01/2018	MOORE, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.56	0.00	4.56	91.0	4.15
03/01/2018	MOORE, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.67	0.00	4.67	91.0	4.25
03/01/2018	HOFFMAN, LANDON	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.06	0.00	2.06	91.0	1.87
03/01/2018	DEWICK, TRENTEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.47	0.00	0.47	91.0	0.43
03/01/2018	KRAUS, KATHLEEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.64	0.00	2.64	91.0	2.40
03/01/2018	HOFFMAN, LISA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.50	0.00	0.50	91.0	0.46
03/01/2018	DEWICK, DYLAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.69	0.00	1.69	91.0	1.54
03/01/2018	MOORE, LUCY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.32	0.00	5.32	91.0	4.84
03/01/2018	MOORE, MAX	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.51	0.00	7.51	91.0	6.83
03/01/2018	COLLINS, REGENA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.99	0.00	0.99	91.0	0.90
03/01/2018	DEWICK, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.15	0.00	0.15	91.0	0.14
03/01/2018	COLLINS, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.99	0.00	0.99	91.0	0.90

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	BLANCHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	22.50	0.00	22.50	91.0	20.48
03/01/2018	MOORE, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	26.91	0.00	26.91	91.0	24.49
03/01/2018	EMBRY, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.97	0.00	1.97	91.0	1.79
03/01/2018	BLANCHARD, TALIA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.22	0.00	8.22	91.0	7.48
03/01/2018	MACQUARRIE, MILES	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	28.25	0.00	28.25	91.0	25.71
03/01/2018	KRAUS, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.29	0.00	8.29	91.0	7.54
03/01/2018	EMBRY, JASON	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.43	0.00	0.43	91.0	0.39
03/01/2018	BLANCHARD, TALIA	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.46	0.00	0.46	91.0	0.42
03/01/2018	MOORE, MELISSA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.36	0.00	4.36	91.0	3.97
03/01/2018	KRAUS, KATHLEEN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	8.25	0.00	8.25	91.0	7.51
03/01/2018	KRAUS, KATHLEEN	AM FUNDS GLOBAL BALANCED A	0.00	5.64	0.00	5.64	91.0	5.13
03/01/2018	BOYD/BENE, DEVON	AM FUNDS GLOBAL BALANCED A	0.00	1.30	0.00	1.30	91.0	1.18
03/01/2018	FRAME/BENE, WADE	AM FUNDS GLOBAL BALANCED A	0.00	10.92	0.00	10.92	91.0	9.94
03/01/2018	GALLAGHER, RYAN	AM FUNDS GLOBAL BALANCED C	0.00	65.18	0.00	65.18	91.0	59.31
03/01/2018	BOYD/BENE, DEVON	AM FUNDS GLOBAL BALANCED C	0.00	3.96	0.00	3.96	91.0	3.60
03/01/2018	FRAME/BENE, WADE	AM FUNDS GLOBAL BALANCED C	0.00	33.31	0.00	33.31	91.0	30.31
03/01/2018	MOORE, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.55	0.00	5.55	91.0	5.05
03/01/2018	MOORE, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.90	0.00	5.90	91.0	5.37
03/01/2018	TITTLE, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.12	0.00	0.12	91.0	0.11
03/01/2018	DUNLAP, TONY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.75	0.00	4.75	91.0	4.32
03/01/2018	DUNLAP, TONY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.86	0.00	2.86	91.0	2.60
03/01/2018	DEWICK, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.26	0.00	0.26	91.0	0.24
03/01/2018	VOGEL, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.78	0.00	2.78	91.0	2.53
03/01/2018	BLANCHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	41.38	0.00	41.38	91.0	37.66
03/01/2018	MOORE, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	119.09	0.00	119.09	91.0	108.37
03/01/2018	BLANCHARD, TALIA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.86	0.00	11.86	91.0	10.79
03/01/2018	MOORE, MELISSA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	96.07	0.00	96.07	91.0	87.42
03/01/2018	MACQUARRIE, MILES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	41.23	0.00	41.23	91.0	37.52
03/01/2018	KRAUS, JOHN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.44	0.00	14.44	91.0	13.14
03/01/2018	MOORE, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.14	0.00	2.14	91.0	1.95
03/01/2018	BLANCHARD, TALIA	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.72	0.00	0.72	91.0	0.66
03/01/2018	MOORE, MELISSA	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.88	0.00	5.88	91.0	5.35
03/01/2018	DUNLAP, TONY	AM FUNDS ICA A	0.00	0.45	0.00	0.45	91.0	0.41

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	DUNLAP, ANNA	AM FUNDS ICA A	0.00	4.61	0.00	4.61	91.0	4.20
03/01/2018	KRAUS, KATHLEEN	AM FUNDS ICA A	0.00	4.96	0.00	4.96	91.0	4.51
03/01/2018	COLLINS, REGENA	AM FUNDS ICA A	0.00	1.08	0.00	1.08	91.0	0.98
03/01/2018	DUNLAP, TONY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.82	0.00	0.82	91.0	0.75
03/01/2018	GA/TOD	AM FUNDS INCOME FUND OF AMERICA A	0.00	45.62	0.00	45.62	91.0	41.51
03/01/2018	KRAUS, KATHLEEN	AM FUNDS INCOME FUND OF AMERICA C	0.00	6.78	0.00	6.78	91.0	6.17
03/01/2018	BOYD/BENE, DEVON	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.67	0.00	7.67	91.0	6.98
03/01/2018	FRAME/BENE, WADE	AM FUNDS INCOME FUND OF AMERICA C	0.00	64.45	0.00	64.45	91.0	58.65
03/01/2018	BOYD/BENE, DEVON	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.18	0.00	0.18	91.0	0.16
03/01/2018	FRAME/BENE, WADE	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.52	0.00	1.52	91.0	1.38
03/01/2018	DUNLAP, TONY	AM FUNDS NEW ECONOMY A	0.00	0.22	0.00	0.22	91.0	0.20
03/01/2018	MOORE, MELISSA	AM FUNDS NEW ECONOMY A	0.00	4.31	0.00	4.31	91.0	3.92
03/01/2018	PAI, YOGISH	AM FUNDS NEW ECONOMY C	0.00	18.97	0.00	18.97	91.0	17.26
03/01/2018	LUPO, ROBERT	AM FUNDS NEW ECONOMY C	0.00	50.13	0.00	50.13	91.0	45.62
03/01/2018	PAI, YOGISH	AM FUNDS NEW ECONOMY FUND	0.00	38.77	0.00	38.77	91.0	35.28
03/01/2018	DUNLAP, TONY	AM FUNDS NEW PERSPECTIVE A	0.00	1.48	0.00	1.48	91.0	1.35
03/01/2018	DUNLAP, ANNA	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	91.0	0.17
03/01/2018	MOORE, MELISSA	AM FUNDS NEW PERSPECTIVE A	0.00	5.63	0.00	5.63	91.0	5.12
03/01/2018	DEWICK, TRENTEN	AM FUNDS NEW PERSPECTIVE A	0.00	0.24	0.00	0.24	91.0	0.22
03/01/2018	BLANCHARD, TALIA	AM FUNDS NEW PERSPECTIVE A	0.00	0.70	0.00	0.70	91.0	0.64
03/01/2018	BLANCHARD, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	0.66	0.00	0.66	91.0	0.60
03/01/2018	HOFFMAN, LISA	AM FUNDS NEW PERSPECTIVE A	0.00	0.60	0.00	0.60	91.0	0.55
03/01/2018	DEWICK, DYLAN	AM FUNDS NEW PERSPECTIVE A	0.00	0.15	0.00	0.15	91.0	0.14
03/01/2018	COLLINS, REGENA	AM FUNDS NEW PERSPECTIVE A	0.00	6.43	0.00	6.43	91.0	5.85
03/01/2018	DEWICK, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	0.35	0.00	0.35	91.0	0.32
03/01/2018	COLLINS, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	5.00	0.00	5.00	91.0	4.55
03/01/2018	GODLESKI, MADISON	AM FUNDS NEW PERSPECTIVE A	0.00	0.70	0.00	0.70	91.0	0.64
03/01/2018	MOORE, MELISSA	AM FUNDS NEW PERSPECTIVE C	0.00	84.20	0.00	84.20	91.0	76.62
03/01/2018	DEFEO, DAVID	AM FUNDS NEW PERSPECTIVE C	0.00	37.92	0.00	37.92	91.0	34.51
03/01/2018	SHERRILL, WILLIAM	AM FUNDS NEW PERSPECTIVE C	0.00	1.54	0.00	1.54	91.0	1.40
03/01/2018	KRAUS, JOHN	AM FUNDS NEW PERSPECTIVE C	0.00	11.00	0.00	11.00	91.0	10.01
03/01/2018	LUPO, ROBERT	AM FUNDS NEW PERSPECTIVE C	0.00	41.36	0.00	41.36	91.0	37.64
03/01/2018	MOORE, MELISSA	AM FUNDS NEW PERSPECTIVE F-1	0.00	4.95	0.00	4.95	91.0	4.50

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	DUNLAP, TONY	AM FUNDS NEW WORLD A	0.00	0.51	0.00	0.51	91.0	0.46
03/01/2018	MOORE, THOMAS	AM FUNDS NEW WORLD A	0.00	5.11	0.00	5.11	91.0	4.65
03/01/2018	DEWICK, TRENTEN	AM FUNDS NEW WORLD A	0.00	0.82	0.00	0.82	91.0	0.75
03/01/2018	DEWICK, DYLAN	AM FUNDS NEW WORLD A	0.00	0.73	0.00	0.73	91.0	0.66
03/01/2018	COLLINS, REGENA	AM FUNDS NEW WORLD A	0.00	1.73	0.00	1.73	91.0	1.57
03/01/2018	DEWICK, JAMES	AM FUNDS NEW WORLD A	0.00	0.82	0.00	0.82	91.0	0.75
03/01/2018	COLLINS, JAMES	AM FUNDS NEW WORLD A	0.00	3.79	0.00	3.79	91.0	3.45
03/01/2018	BOYD/BENE, DEVON	AM FUNDS NEW WORLD A	0.00	0.77	0.00	0.77	91.0	0.70
03/01/2018	FRAME/BENE, WADE	AM FUNDS NEW WORLD A	0.00	6.52	0.00	6.52	91.0	5.93
03/01/2018	BLANCHARD, DAVID	AM FUNDS NEW WORLD C	0.00	23.75	0.00	23.75	91.0	21.61
03/01/2018	MOORE, THOMAS	AM FUNDS NEW WORLD C	0.00	4.40	0.00	4.40	91.0	4.00
03/01/2018	BLANCHARD, TALIA	AM FUNDS NEW WORLD C	0.00	7.49	0.00	7.49	91.0	6.82
03/01/2018	KRAUS, KATHLEEN	AM FUNDS NEW WORLD C	0.00	5.21	0.00	5.21	91.0	4.74
03/01/2018	BLANCHARD, TALIA	AM FUNDS NEW WORLD FUND F1	0.00	0.31	0.00	0.31	91.0	0.28
03/01/2018	BLANCHARD, TALIA	AM FUNDS NEW WORLD FUND F1	0.00	0.51	0.00	0.51	91.0	0.46
03/01/2018	HOFFMAN, LOGAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.60	0.00	0.60	91.0	0.55
03/01/2018	DUNLAP, TONY	AM FUNDS SMALLCAP WORLD A	0.00	4.11	0.00	4.11	91.0	3.74
03/01/2018	DUNLAP, ANNA	AM FUNDS SMALLCAP WORLD A	0.00	1.85	0.00	1.85	91.0	1.68
03/01/2018	MOORE, MELISSA	AM FUNDS SMALLCAP WORLD A	0.00	5.95	0.00	5.95	91.0	5.41
03/01/2018	DEWICK, TRENTEN	AM FUNDS SMALLCAP WORLD A	0.00	0.94	0.00	0.94	91.0	0.86
03/01/2018	DEWICK, JAMES	AM FUNDS SMALLCAP WORLD A	0.00	0.36	0.00	0.36	91.0	0.33
03/01/2018	GODLESKI, MADISON	AM FUNDS SMALLCAP WORLD A	0.00	0.70	0.00	0.70	91.0	0.64
03/01/2018	BOYD/BENE, DEVON	AM FUNDS SMALLCAP WORLD A	0.00	0.32	0.00	0.32	91.0	0.29
03/01/2018	FRAME/BENE, WADE	AM FUNDS SMALLCAP WORLD A	0.00	2.68	0.00	2.68	91.0	2.44
03/01/2018	PAI, YOGISH	AM FUNDS SMALLCAP WORLD C	0.00	17.68	0.00	17.68	91.0	16.09
03/01/2018	GALLAGHER, RYAN	AM FUNDS SMALLCAP WORLD C	0.00	6.69	0.00	6.69	91.0	6.09
03/01/2018	HOFFMAN, LANDON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.21	0.00	0.21	91.0	0.19
03/01/2018	KRAUS, KATHLEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.15	0.00	4.15	91.0	3.78
03/01/2018	COLLINS, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.23	0.00	1.23	91.0	1.12
03/01/2018	BLANCHARD, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	76.74	0.00	76.74	91.0	69.83
03/01/2018	MOORE, THOMAS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	117.51	0.00	117.51	91.0	106.93
03/01/2018	PAI, YOGISH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	43.16	0.00	43.16	91.0	39.28
03/01/2018	MOORE, THOMAS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.87	0.00	1.87	91.0	1.70

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	PAI, YOGISH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	20.53	0.00	20.53	91.0	18.68
03/01/2018	BLANCHARD, VIVIA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.31	0.00	0.31	91.0	0.28
03/01/2018	BLANCHARD, RIKER	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.35	0.00	0.35	91.0	0.32
03/01/2018	WHELDON, ALYSON	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	2.38	0.00	2.38	91.0	2.17
03/01/2018	BRANSON, BENJAMIN	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.66	0.00	1.66	91.0	1.51
03/01/2018	PAI, YOGISH	AMERICAN FUND SMALLCAP WORKD FUND-F	0.00	33.73	0.00	33.73	91.0	30.69
03/01/2018	HADAWAY, DEBORAH	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	2.66	0.00	2.66	91.0	2.42
02/28/2018	HEALEY, BRIAN	EATON VANCE NATL MUNI INCOME C	0.00	0.56	0.00	0.56	91.0	0.51
02/28/2018	HEALEY, BRIAN	EATON VANCE NATL MUNI INCOME C	0.00	0.19	0.00	0.19	91.0	0.17
/ /	MOORE, THOMAS	FIDELITY ADV MATERIALS C	0.00	4.01	0.00	4.01	91.0	3.65
/ /	MOORE, THOMAS	FIDELITY ADV MEGA CAP STOCK	0.00	5.20	0.00	5.20	91.0	4.73
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$2,096.47</b>	<b>\$0.00</b>	<b>\$2,096.47</b>		<b>\$1,907.77</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
02/16/2018	PAI, YOGISH & ANITA	PAC LIFE PORTFOLIOS	0.00	10.00	0.00	10.00	91.0	9.10
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$10.00</b>	<b>\$0.00</b>	<b>\$10.00</b>		<b>\$9.10</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$728.70</b>	<b>\$2,116.94</b>	<b>\$0.00</b>	<b>\$2,116.94</b>		<b>\$1,926.41</b>
<b><u>ADJUSTMENTS</u></b>								
03/15/2018		*CONCIERGE SVC: 210608 WARSTADT	0.00					-50.00
03/15/2018		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
03/15/2018		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
03/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-566.00</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,926.41
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-566.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-401.24
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$959.17
Year-to-date Gross	\$15,983.76
Year-to-date Earnings	\$14,550.77