

## COMMISSION STATEMENT

November 30, 2017

MARTIN MCNEILL

25 Pineneck Avenue

Sag Harbor, NY 11963-

BROKER #: 78

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for MARTIN MCNEILL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
10/30/2017	QGV001100	AND EDWARD FRENCH		APPFOLIO INC COM CL A	S	-13,584.99	73.00	0.00	73.00 40.0 29.20
10/30/2017	QGV001100	AND EDWARD FRENCH		CLEAN TEQ HOLDINGS LTD MELBOURNE SH B		2,838.00	20.00	0.00	20.00 40.0 8.00
10/30/2017	QGV001100	AND EDWARD FRENCH		CLEAN TEQ HOLDINGS LTD MELBOURNE SH B		-2,838.00	-20.00	0.00	-20.00 40.0 -8.00 C
10/30/2017	QGV001100	AND EDWARD FRENCH		COGNEX CORP	B	12,335.20	73.00	0.00	73.00 40.0 29.20
10/30/2017	QGV001100	AND EDWARD FRENCH		KRAKEN ROBOTICS INC COM ISIN#CA5007 B		11,248.00	120.00	0.00	120.00 40.0 48.00
10/30/2017	QGV001100	AND EDWARD FRENCH		MERITOR INC COM	S	-12,952.50	73.00	0.00	73.00 40.0 29.20
11/03/2017	QGV001100	AND EDWARD FRENCH		FLEX LTD ORDINARY SHARES	B	9,148.25	73.00	0.00	73.00 40.0 29.20
11/03/2017	QGV001100	AND EDWARD FRENCH		OVERSTOCK COM INC DEL COM	B	17,579.60	73.00	0.00	73.00 40.0 29.20
11/06/2017	QGV001100	AND EDWARD FRENCH		AEROVIRONMENT INC COM	S	-25,345.30	73.00	0.00	73.00 40.0 29.20
11/06/2017	QGV001100	AND EDWARD FRENCH		MATERIALISE NV SPONSORED ADS REPSTG B		7,692.30	73.00	0.00	73.00 40.0 29.20
11/06/2017	QGV001100	AND EDWARD FRENCH		ZTO EXPRESSCAYMAN INC SPONS ADR	B	8,369.95	73.00	0.00	73.00 40.0 29.20
11/08/2017	QGV001100	AND EDWARD FRENCH		OVERSTOCK COM INC DEL COM	S	-15,994.04	73.00	0.00	73.00 40.0 29.20
11/13/2017	QGV001100	AND EDWARD FRENCH		ROKU INC CLASS A COMMON STOCK	B	12,496.92	73.00	0.00	73.00 40.0 29.20
11/13/2017	QGV001100	AND EDWARD FRENCH		THE TRADE DESK INC COM CL A	S	-19,574.68	73.00	0.00	73.00 40.0 29.20
11/14/2017	QGV001100	AND EDWARD FRENCH		PROPETRO HLDG CORP COM	B	8,469.95	73.00	0.00	73.00 40.0 29.20
11/15/2017	QGV001100	AND EDWARD FRENCH		KRAKEN ROBOTICS INC COM ISIN#CA5007 B		6,275.00	125.00	0.00	125.00 40.0 50.00
11/15/2017	QGV001100	AND EDWARD FRENCH		KRAKEN ROBOTICS INC COM ISIN#CA5007 B		-6,275.00	-125.00	0.00	-125.00 40.0 -50.00 C
11/15/2017	QGV001100	AND EDWARD FRENCH		KRAKEN ROBOTICS INC COM ISIN#CA5007 B		6,275.00	125.00	0.00	125.00 40.0 50.00
11/15/2017	QGV001100	AND EDWARD FRENCH		MATERIALISE NV SPONSORED ADS REPSTG S		-6,813.80	73.00	0.00	73.00 40.0 29.20
11/15/2017	QGV001100	AND EDWARD FRENCH		ROCKY MTN HIGH BRANDS INC COM	S	-139.00	20.00	0.00	20.00 40.0 8.00
11/27/2017	QGV001100	AND EDWARD FRENCH		SQUARE INC CLASS A COM	B	8,689.66	73.00	0.00	73.00 40.0 29.20
11/27/2017	QGV001100	AND EDWARD FRENCH		ZTO EXPRESSCAYMAN INC SPONS ADR	S	-8,107.70	73.00	0.00	73.00 40.0 29.20
11/24/2017	QGV002439	YALINEWITZ, BOAZ		360 BLOCKCHAIN INC REGISTERED SHS I B		18,190.00	1,000.00	0.00	1,000.00 40.0 400.00
11/24/2017	QGV002439	YALINEWITZ, BOAZ		360 BLOCKCHAIN INC REGISTERED SHS I B		-18,190.00	-1,000.00	0.00	-1,000.00 40.0 -400.00 C
10/30/2017	QGW002180	FRENCH, JOHN		MONOLITHIC PWR SYS INC COM	B	11,965.83	73.00	0.00	73.00 40.0 29.20
10/30/2017	QGW002180	FRENCH, JOHN		PARATEK PHARMACEUTICALS INC COM	S	-10,825.00	73.00	0.00	73.00 40.0 29.20
10/31/2017	QGW002180	FRENCH, JOHN		INTELLIA THERAPEUTICS INC COM	B	6,440.50	73.00	0.00	73.00 40.0 29.20
11/03/2017	QGW002180	FRENCH, JOHN		AURORA CANNABIS INC COM ISIN#CA0515 B		2,459.90	73.00	0.00	73.00 40.0 29.20
11/09/2017	QGW002180	FRENCH, JOHN		BTL GROUP LTD SHS ISIN#CA0557661091 S		-4,012.50	54.75	0.00	54.75 40.0 21.90
11/09/2017	QGW002180	FRENCH, JOHN		BTL GROUP LTD SHS ISIN#CA0557661091 S		-266.16	3.65	0.00	3.65 40.0 1.46
11/09/2017	QGW002180	FRENCH, JOHN		BTL GROUP LTD SHS ISIN#CA0557661091 S		-1,064.64	14.60	0.00	14.60 40.0 5.84

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
11/17/2017	QGW002180	FRENCH, JOHN		CRONOS GROUP INC COM ISIN#CA22717L1 B	3,189.40	73.00	0.00	73.00	29.20
11/17/2017	QGW002180	FRENCH, JOHN		ORGANIGRAM HOLDINGS INC SHS ISIN#CA B	2,740.00	73.00	0.00	73.00	29.20
11/20/2017	QGW002180	FRENCH, JOHN		ZTO EXPRESSCAYMAN INC SPONS ADR B	3,395.00	73.00	0.00	73.00	29.20
11/10/2017	QGW002404	MAHARISHI UNIVERSITY		APPLE INC S	-5,073.89	75.00	0.00	75.00	30.00
11/20/2017	QGW002701	HIDEAWAY FARM		DIREXION SHS ETF TR DAILY GOLD MINE B	8,106.00	75.00	0.00	75.00	30.00
11/20/2017	QGW002701	HIDEAWAY FARM		FRANCO-NEVADA CORPORATION S	-25,314.81	75.00	0.00	75.00	30.00
11/20/2017	QGW002701	HIDEAWAY FARM		FREEMPORT MCMORAN INC S	-1,392.35	75.00	0.00	75.00	30.00
11/20/2017	QGW002701	HIDEAWAY FARM		VANECK VECTORS ETF TR GOLD MINERS E S	-11,261.75	75.00	0.00	75.00	30.00
11/20/2017	QGW002701	HIDEAWAY FARM		VANECK VECTORS ETF TR JR GOLD MINER S	-8,471.91	75.00	0.00	75.00	30.00
11/20/2017	QGW002701	HIDEAWAY FARM		WHEATON PRECIOUS METALS CORP COM S	-8,221.36	75.00	0.00	75.00	30.00
11/10/2017	QGW002776	ROBERTS, JAMES		AGNICO-EAGLE MINES LTD S	-13,055.25	75.00	0.00	75.00	30.00
11/10/2017	QGW002776	ROBERTS, JAMES		CBS CORP CLASS B B	5,620.13	60.00	0.00	60.00	24.00
11/10/2017	QGW002776	ROBERTS, JAMES		GOLDCORP INC S	-5,222.57	75.00	0.00	75.00	30.00
11/16/2017	QGW002776	ROBERTS, JAMES		ENTERCOM COMMUNICATIONS CORP CLASS S	-6,330.59	75.00	0.00	75.00	30.00
11/10/2017	QGW002792	MAHARISHI UNIVERSITY		ISHARES TR MSCI KLD 400 SOCIAL ETF S	-76,048.00	75.00	0.00	75.00	30.00
10/30/2017	QGW002925	BATHRICK, CHARLES		MERCK & CO INC S	-16,635.03	75.00	0.00	75.00	30.00
11/01/2017	QGW002925	BATHRICK, CHARLES		ORACLE CORPORATION B	10,161.00	75.00	0.00	75.00	30.00
11/02/2017	QGW002925	BATHRICK, CHARLES		CISCO SYS INC B	6,869.30	75.00	0.00	75.00	30.00
11/03/2017	QGW002925	BATHRICK, CHARLES		CHEVRON CORPORATION S	-11,537.50	75.00	0.00	75.00	30.00
11/10/2017	QGW002925	BATHRICK, CHARLES		CISCO SYS INC B	6,750.00	75.00	0.00	75.00	30.00
11/13/2017	QGW002925	BATHRICK, CHARLES		CBS CORP CLASS B B	5,552.46	50.00	0.00	50.00	20.00
11/14/2017	QGW002925	BATHRICK, CHARLES		DIAMOND OFFSHORE DRILLING INC B	4,802.31	75.00	0.00	75.00	30.00
11/16/2017	QGW002925	BATHRICK, CHARLES		ENTERCOM COMMUNICATIONS CORP CLASS S	-6,352.12	50.00	0.00	50.00	20.00
11/22/2017	QGW002925	BATHRICK, CHARLES		DEVON ENERGY CORPORATION NEW B	7,558.00	75.00	0.00	75.00	30.00
11/22/2017	QGW002925	BATHRICK, CHARLES		SPDR SER TR S&P OIL & GAS EXPL & PR B	10,550.49	75.00	0.00	75.00	30.00
10/30/2017	QGW002974	CAIN, ANTHONY		KRAKEN ROBOTICS INC COM ISIN#CA5007 B	2,860.00	200.00	0.00	200.00	80.00
10/31/2017	QGW002974	CAIN, ANTHONY		BAIDU INC SPONSORED ADR REPSTG ORD B	119,961.85	250.00	0.00	250.00	100.00
10/31/2017	QGW002974	CAIN, ANTHONY		TENCENT HOLDINGS LIMITED UNSPONSORE S	-134,765.10	300.00	0.00	300.00	120.00
11/01/2017	QGW002974	CAIN, ANTHONY		BITCOIN INVT TR SHS B	18,060.00	150.00	0.00	150.00	60.00
11/06/2017	QGW002974	CAIN, ANTHONY		NVIDIA CORP S	-186,988.59	300.00	0.00	300.00	120.00
11/07/2017	QGW002974	CAIN, ANTHONY		BAIDU INC SPONSORED ADR REPSTG ORD S	-121,910.70	250.00	0.00	250.00	100.00
11/07/2017	QGW002974	CAIN, ANTHONY		BLUEBIRD BIO INC COM B	146,062.50	250.00	0.00	250.00	100.00
11/07/2017	QGW002974	CAIN, ANTHONY		CELGENE CORP B	102,105.00	175.00	0.00	175.00	70.00
11/08/2017	QGW002974	CAIN, ANTHONY		BAIDU INC SPONSORED ADR REPSTG ORD B	119,739.75	250.00	0.00	250.00	100.00
11/08/2017	QGW002974	CAIN, ANTHONY		WEIBO CORP SPONS ADR ISIN#US9485961 S	-100,770.00	200.00	0.00	200.00	80.00
11/09/2017	QGW002974	CAIN, ANTHONY		BAIDU INC SPONSORED ADR REPSTG ORD B	119,119.70	250.00	0.00	250.00	100.00
11/09/2017	QGW002974	CAIN, ANTHONY		BLUEBIRD BIO INC COM S	-149,975.00	250.00	0.00	250.00	100.00
11/10/2017	QGW002974	CAIN, ANTHONY		TENCENT HOLDINGS LIMITED UNSPONSORE B	69,335.98	200.00	0.00	200.00	80.00
11/15/2017	QGW002974	CAIN, ANTHONY		CELGENE CORP S	-100,814.70	175.00	0.00	175.00	70.00
11/15/2017	QGW002974	CAIN, ANTHONY		TENCENT HOLDINGS LIMITED UNSPONSORE S	-70,026.32	200.00	0.00	200.00	80.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/15/2017	QGW002974	CAIN, ANTHONY	YY INC ADS REPSTG COM CL A ISIN#US9 S	-104,798.60	250.00	0.00	250.00	40.0	100.00
11/16/2017	QGW002974	CAIN, ANTHONY	BAIDU INC SPONSORED ADR REPSTG ORD S	-240,189.30	400.00	0.00	400.00	40.0	160.00
11/16/2017	QGW002974	CAIN, ANTHONY	NVIDIA CORP B	213,529.60	300.00	0.00	300.00	40.0	120.00
11/17/2017	QGW002974	CAIN, ANTHONY	KRAKEN ROBOTICS INC COM ISIN#CA5007 B	2,708.00	200.00	0.00	200.00	40.0	80.00
11/17/2017	QGW002974	CAIN, ANTHONY	KRAKEN ROBOTICS INC COM ISIN#CA5007 B	-2,708.00	-200.00	0.00	-200.00	40.0	-80.00 C
11/17/2017	QGW002974	CAIN, ANTHONY	KRAKEN ROBOTICS INC COM ISIN#CA5007 B	2,708.00	125.00	0.00	125.00	40.0	50.00
11/17/2017	QGW002974	CAIN, ANTHONY	TENCENT HOLDINGS LIMITED UNSPONSORE B	52,019.80	73.00	0.00	73.00	40.0	29.20
11/17/2017	QGW002974	CAIN, ANTHONY	TENCENT HOLDINGS LIMITED UNSPONSORE B	-52,019.80	-73.00	0.00	-73.00	40.0	-29.20 C
11/17/2017	QGW002974	CAIN, ANTHONY	TENCENT HOLDINGS LIMITED UNSPONSORE B	52,019.80	150.00	0.00	150.00	40.0	60.00
11/22/2017	QGW002974	CAIN, ANTHONY	JD.COM INC SPONSORED ADR REPSTG COM B	39,916.50	150.00	0.00	150.00	40.0	60.00
11/22/2017	QGW002974	CAIN, ANTHONY	SINA CORPORATION FORMERLY SINA COM B	111,490.00	200.00	0.00	200.00	40.0	80.00
11/22/2017	QGW002974	CAIN, ANTHONY	SPDR SER TR S&P OIL & GAS EXPL & PR B	35,186.80	150.00	0.00	150.00	40.0	60.00
11/22/2017	QGW002974	CAIN, ANTHONY	TENCENT HOLDINGS LIMITED UNSPONSORE B	55,109.80	150.00	0.00	150.00	40.0	60.00
11/27/2017	QGW002974	CAIN, ANTHONY	BTCS INC COM NEW B	3,987.50	150.00	0.00	150.00	40.0	60.00
11/27/2017	QGW002974	CAIN, ANTHONY	GLOBAL ARENA HLDG INC COM B	2,017.50	100.00	0.00	100.00	40.0	40.00
11/28/2017	QGW002974	CAIN, ANTHONY	BITCOIN INVT TR SHS S	-29,689.90	250.00	0.00	250.00	40.0	100.00
11/28/2017	QGW002974	CAIN, ANTHONY	ENERGY RECOVERY INC COM B	10,111.20	125.00	0.00	125.00	40.0	50.00
11/28/2017	QGW002974	CAIN, ANTHONY	SAN JUAN BASIN ROYALTY TR-UBI B	8,320.00	100.00	0.00	100.00	40.0	40.00
11/28/2017	QGW002974	CAIN, ANTHONY	SOUTHWESTERN ENERGY CO B	6,376.50	100.00	0.00	100.00	40.0	40.00
11/13/2017	QGW003287	KLUTH, KENT	CBS CORP CLASS B B	5,522.12	45.00	0.00	45.00	40.0	18.00
11/16/2017	QGW003287	KLUTH, KENT	ENTERCOM COMMUNICATIONS CORP CLASS S	-6,321.04	100.00	0.00	100.00	40.0	40.00
11/06/2017	QGW003345	COOKE, ROBERT	DIREXION SHARES ETF TRUST DIREXION B	15,280.00	75.00	0.00	75.00	40.0	30.00
11/22/2017	QGW003345	COOKE, ROBERT	DIREXION SHARES ETF TRUST DIREXION B	15,120.00	75.00	0.00	75.00	40.0	30.00
11/22/2017	QGW003568	SZTELLE, MATTHEW	TANZANIAN ROYALTY EXPLORATION CORPO B	2,991.55	75.00	0.00	75.00	40.0	30.00
10/31/2017	QGW004392	VALENTINE, OLIVIA	INTERNATIONAL BUSINESS MACHINES COR B	76,893.10	150.00	0.00	150.00	40.0	60.00
11/15/2017	QGW004392	VALENTINE, OLIVIA	INTERNATIONAL BUSINESS MACHINES COR S	-73,980.00	150.00	0.00	150.00	40.0	60.00
11/17/2017	QGW004392	VALENTINE, OLIVIA	NVIDIA CORP B	106,634.15	250.00	0.00	250.00	40.0	100.00
11/14/2017	QGW004541	HEALY, KEVIN	CBS CORP CLASS B B	5,567.66	45.00	0.00	45.00	40.0	18.00
11/14/2017	QGW004541	HEALY, KEVIN	GENERAL ELECTRIC CO S	-10,633.80	75.00	0.00	75.00	40.0	30.00
11/14/2017	QGW004541	HEALY, KEVIN	HOME DEPOT INC B	10,019.34	75.00	0.00	75.00	40.0	30.00
11/15/2017	QGW004541	HEALY, KEVIN	AT&T INC B	9,486.37	75.00	0.00	75.00	40.0	30.00
11/16/2017	QGW004541	HEALY, KEVIN	ENTERCOM COMMUNICATIONS CORP CLASS S	-6,304.07	75.00	0.00	75.00	40.0	30.00
11/22/2017	QGW008468	DRUERY, MARIE	UNITED TECHNOLOGIES CORP S	-11,674.03	250.00	0.00	250.00	40.0	100.00
11/13/2017	QGW014458	B, MICHELLE	CBS CORP CLASS B B	5,512.62	45.00	0.00	45.00	40.0	18.00
11/16/2017	QGW014458	B, MICHELLE	ENTERCOM COMMUNICATIONS CORP CLASS S	-6,300.13	100.00	0.00	100.00	40.0	40.00
11/24/2017	QGW021552	-, MONTAGUE	360 BLOCKCHAIN INC REGISTERED SHS I B	18,190.00	1,000.00	0.00	1,000.00	40.0	400.00
11/27/2017	QGW021552	-, MONTAGUE	360 BLOCKCHAIN INC REGISTERED SHS I S	-21,600.00	500.00	0.00	500.00	40.0	200.00
10/31/2017	QGW021990	STEINMETZ, GEORGE	COLONY NORTHSTAR INC CL A COM S	-15,302.25	60.00	0.00	60.00	40.0	24.00
10/31/2017	QGW021990	STEINMETZ, GEORGE	WISDOMTREE JAPAN HEDGED EQUITY FUND B	11,612.00	60.00	0.00	60.00	40.0	24.00
11/07/2017	QGW021990	STEINMETZ, GEORGE	WISDOMTREE JAPAN HEDGED EQUITY FUND B	14,756.50	60.00	0.00	60.00	40.0	24.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
11/08/2017	QGW021990	STEINMETZ, GEORGE		MASTERCARD INCORPORATED	B	19,490.43	60.00	0.00	60.00	40.0	24.00
11/17/2017	QGW021990	STEINMETZ, GEORGE		APPLIED MATERIALS INC	B	14,529.10	60.00	0.00	60.00	40.0	24.00
11/10/2017	QGW022485	HAERINGER, JACQUES		CBS CORP CLASS B	B	5,628.14	60.00	0.00	60.00	40.0	24.00
11/16/2017	QGW022485	HAERINGER, JACQUES		ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,328.12	75.00	0.00	75.00	40.0	30.00
11/07/2017	QGW029290	BAUMHARDT, DANECA		FRANCO-NEVADA CORPORATION	S	-41,423.60	75.00	0.00	75.00	40.0	30.00
11/20/2017	QGW029290	BAUMHARDT, DANECA		DIREXION SHS ETF TR DAILY GOLD MINE B		5,408.60	75.00	0.00	75.00	40.0	30.00
* STOCKS SUBTOTAL *						\$25,732.12	\$13,374.00	\$0.00	\$13,374.00		\$5,349.60
PRODUCT CATEGORY: OPTIONS											
11/24/2017	QGW002180	FRENCH, JOHN		CALL 100 SHOPIFY INC CL A ISIN#CA82 S		-2,966.00	66.76	0.00	66.76	40.0	26.70
11/16/2017	QGW002776	ROBERTS, JAMES		WI ENTERCOM COMMUNICATIONS CORP CL S		0.00	75.00	0.00	75.00	40.0	30.00
11/16/2017	QGW002776	ROBERTS, JAMES		WI ENTERCOM COMMUNICATIONS CORP CL S		0.00	-75.00	0.00	-75.00	40.0	-30.00 C
11/16/2017	QGW002925	BATHRICK, CHARLES		WI ENTERCOM COMMUNICATIONS CORP CL S		0.00	50.00	0.00	50.00	40.0	20.00
11/16/2017	QGW002925	BATHRICK, CHARLES		WI ENTERCOM COMMUNICATIONS CORP CL S		0.00	-50.00	0.00	-50.00	40.0	-20.00 C
11/16/2017	QGW003287	KLUTH, KENT		WI ENTERCOM COMMUNICATIONS CORP CL S		0.00	100.00	0.00	100.00	40.0	40.00
11/16/2017	QGW003287	KLUTH, KENT		WI ENTERCOM COMMUNICATIONS CORP CL S		0.00	-100.00	0.00	-100.00	40.0	-40.00 C
11/16/2017	QGW004541	HEALY, KEVIN		WI ENTERCOM COMMUNICATIONS CORP CL S		0.00	75.00	0.00	75.00	40.0	30.00
11/16/2017	QGW004541	HEALY, KEVIN		WI ENTERCOM COMMUNICATIONS CORP CL S		0.00	-75.00	0.00	-75.00	40.0	-30.00 C
11/16/2017	QGW014458	B, MICHELLE		WI ENTERCOM COMMUNICATIONS CORP CL S		0.00	100.00	0.00	100.00	40.0	40.00
11/16/2017	QGW014458	B, MICHELLE		WI ENTERCOM COMMUNICATIONS CORP CL S		0.00	-100.00	0.00	-100.00	40.0	-40.00 C
11/16/2017	QGW022485	HAERINGER, JACQUES		WI ENTERCOM COMMUNICATIONS CORP CL S		0.00	75.00	0.00	75.00	40.0	30.00
11/16/2017	QGW022485	HAERINGER, JACQUES		WI ENTERCOM COMMUNICATIONS CORP CL S		0.00	-75.00	0.00	-75.00	40.0	-30.00 C
* OPTIONS SUBTOTAL *						\$-2,966.00	\$66.76	\$0.00	\$66.76		\$26.70
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
11/22/2017	QGW002511	SKELLY, HENRY		COLUMBIA NEW YORK TAX EXEMPT FUND C B		0.00	13.31	0.00	13.31	40.0	5.32
11/16/2017	QGW002776	ROBERTS, JAMES		FEDERATED PRUDENT BEAR FUND CLASS A B		0.00	0.94	0.00	0.94	40.0	0.38
11/17/2017	QGW002776	ROBERTS, JAMES		VOYA GLOBAL EQUITY FUND CLASS A	B	0.00	0.48	0.00	0.48	40.0	0.19
11/10/2017	QGW008468	DRUERY, MARIE		LOOMIS SAYLES INVESTMENT GRADE BOND B		0.00	2.10	0.00	2.10	40.0	0.84
11/16/2017	QGW022113	ROBERTS, PAGE		FEDERATED PRUDENT BEAR FUND CLASS A B		0.00	0.43	0.00	0.43	40.0	0.17
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$17.26	\$0.00	\$17.26		\$6.90
*** BROKER TRANSACTIONS TOTAL ***						\$22,766.12	\$13,458.02	\$0.00	\$13,458.02		\$5,383.20

COMMISSION STATEMENT for MARTIN MCNEILL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

11/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,383.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.82
SIPC Assessment	\$-33.65

Check Amount	\$5,312.73
Year-to-date Earnings	\$33,944.51