

COMMISSION STATEMENT**May 17, 2018**

MICHAEL MELBY
 3200 N. Central Ave. #200
 Phoenix, AZ 85012

BROKER #: 73861
 BRANCH: 04-#70A11 HOME OFFIC

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|--|------------------------|-----------------------|----------------------|---------------------|--------------------|-------------------|----------------------|
| <u>Company: Securities America</u> | | | | | | | |
| <u>BROKER TRANSACTIONS</u> | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | |
| 05/17/2018 | MELBY (73861), MICHAEL | SAI PERSHING CHARGES | 0.00 | -50.00 | 0.00 | -50.00 | -15.00 |
| 05/17/2018 | MELBY (73861), MICHAEL | SAI SECURITES INCOME | 0.00 | 1,874.60 | 0.00 | 1,874.60 | 562.38 |
| * MUTUAL FUNDS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$1,824.60</u> | <u>\$0.00</u> | <u>\$1,824.60</u> | <u>\$547.38</u> |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | |
| 05/17/2018 | MELBY (73861), MICHAEL | SAI PERSHING CHARGES | 0.00 | -115.72 | 0.00 | -115.72 | -34.72 |
| 05/17/2018 | MELBY (73861), MICHAEL | SAI SECURITIES INCOME | 0.00 | 515.50 | 0.00 | 515.50 | 154.65 |
| * STOCKS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$399.78</u> | <u>\$0.00</u> | <u>\$399.78</u> | <u>\$119.93</u> |
| <u>PRODUCT CATEGORY: BONDS</u> | | | | | | | |
| 05/17/2018 | MELBY (73861), MICHAEL | SAI CHARGES | 0.00 | -30.00 | 0.00 | -30.00 | -9.00 |
| * BONDS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$-30.00</u> | <u>\$0.00</u> | <u>\$-30.00</u> | <u>\$-9.00</u> |
| <u>PRODUCT CATEGORY: TRAILERS</u> | | | | | | | |
| 05/17/2018 | MELBY (73861), MICHAEL | SAI.TRAILS | 0.00 | 316.31 | 0.00 | 316.31 | 94.89 |
| * TRAILERS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$316.31</u> | <u>\$0.00</u> | <u>\$316.31</u> | <u>\$94.89</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | <u>\$0.00</u> | <u>\$2,510.69</u> | <u>\$0.00</u> | <u>\$2,510.69</u> | <u>\$753.20</u> |

COMMISSION STATEMENT for MICHAEL MELBY

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: Securities America (continued)

ADJUSTMENTS

| | | | | | | | |
|---------------------------|--|--|--------|--------|--------|--------|----------|
| 30. SAI SIPC PE 5/11/18 | | | | | | | -43.12 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-43.12 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$753.20 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-43.12 |
| Prior Period Balance | \$0.00 |
| NASD Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$710.08 |
| Year-to-date Earnings | \$56,230.82 |