LIBERTY PARTNERS

STATEMENT February 20, 2018

RON RENSBERGER

707 E 41st Street Suite 226 Sioux Falls, SD 57105

BROKER #: 076

BRANCH:

DATE	CLIENT IN	VESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRAN	ISACTIONS						
PRODUCT (CATEGORY: MUTUAL FUNDS								
01/02/2018	8 #00084595526 LETELLIER,	COLLEGE 2024 FUND 529A	100.00	3.50	95.0	0.00	3.33	0.00	3.33
01/02/2018	8 #00036307156 METZJOAN	INVESTED AGGRESSIVE PORT A	100.00	2.00	95.0	0.00	1.90	0.00	1.90
01/02/2018	8 #00035543848 SPENNY, DAVID	INVESTED CONSERVATIVE PORTFOLIO A	25.00	0.50	95.0	0.00	0.48	0.00	0.48
01/02/2018	8 #00035485711 WANG, DAVID	INVESTED GROWTH PORTFOLIO A	480.00	4.80	95.0	0.00	4.56	0.00	4.56
01/02/2018	8 #00036218685 METZKELL	INVESTED GROWTH PORTFOLIO A	100.00	2.00	95.0	0.00	1.90	0.00	1.90
01/02/2018	8 #00032423005 SMOOK, CURTIS	IVY EUROPEAN OPPORTUNITIES A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
01/02/2018	8 #00036027743 TRAUT, TODD	IVY FDS INC ASSET STRATEGY FD CL A	25.00	1.25	95.0	0.00	1.19	0.00	1.19
01/02/2018	8 #00032423005 SMOOK, CURTIS	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
01/02/2018	8 #00036143265 HOGUETRACTL	IVY FDS INC ASSET STRATEGY FD CL A	300.00	12.00	95.0	0.00	11.40	0.00	11.40
01/02/2018	8 #00035631725 WEBER, JESSICA	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
01/02/2018	8 #00035746714 STACEY, JON	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
01/02/2018	8 #00032418293 SMOOK, MARY	IVY FDS INC HIGH INCOME FD CL A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
01/02/2018	8 #00032423005 SMOOK, CURTIS	IVY FDS INC HIGH INCOME FD CL A	75.00	3.00	95.0	0.00	2.85	0.00	2.85
01/02/2018	8 #00036143265 HOGUETRACTL	IVY FDS INC HIGH INCOME FD CL A	125.00	5.00	95.0	0.00	4.75	0.00	4.75
01/02/2018	8 #00032374436 RENTSCHLER,	IVY FDS INC LARGE CAP GROWTH FD CL	50.00	2.50	95.0	0.00	2.38	0.00	2.38
01/02/2018	8 #00036187511 BEANEDALEF	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.25	95.0	0.00	2.14	0.00	2.14
01/02/2018	8 #00032418293 SMOOK, MARY	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
01/02/2018	8 #00032423005 SMOOK, CURTIS	IVY FDS INC SCIENCE & TECH FD CL A	75.00	3.00	95.0	0.00	2.85	0.00	2.85
01/02/2018	8 #00036143265 HOGUETRACTL	IVY FDS INC SCIENCE & TECH FD CL A	425.00	17.00	95.0	0.00	16.15	0.00	16.15
01/02/2018	8 #00032374436 RENTSCHLER,	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
01/02/2018	8 #00032375891 SPENNY, DAVID	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	0.00	1.19
01/02/2018	8 #00032384266 SKORCZEWSKI,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	0.00	1.19
01/02/2018	8 #00035631725 WEBER, JESSICA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
01/02/2018	8 #00032423005 SMOOK, CURTIS	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.00	95.0	0.00	1.90	0.00	1.90
01/02/2018	8 #00036143265 HOGUETRACTL	IVY REAL ESTATE SECURITIES A	75.00	3.00	95.0	0.00	2.85	0.00	2.85
01/02/2018	8 #00036143265 HOGUETRACTL	IVY SMALL CAP GROWTH A	75.00	3.00	95.0	0.00	2.85	0.00	2.85
01/03/2018	8 #00035579219 BAKER, JANIE	INVESTED INCOME	25.00	0.50	95.0	0.00	0.48	0.00	0.48
01/03/2018	8 #00032398031 KEMP, DANIEL	IVY CUNDILL GLOBAL VALUE A	82.50	0.83	95.0	0.00	0.79	0.00	0.79

DATE CLIENT IN	VESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
	BROKER TRAN	SACTIONS						
PRODUCT CATEGORY: MUTUAL FUNDS								
01/03/2018 #00032398032 KEMP, MICHELE	IVY CUNDILL GLOBAL VALUE A	82.50	0.83	95.0	0.00	0.79	0.00	0.79
01/03/2018 #00032398031 KEMP, DANIEL	IVY EUROPEAN OPPORTUNITIES A	48.75	0.49	95.0	0.00	0.47	0.00	0.47
01/03/2018 #00032398032 KEMP, MICHELE	IVY EUROPEAN OPPORTUNITIES A	48.75	0.49	95.0	0.00	0.47	0.00	0.47
01/03/2018 #00032398031 KEMP, DANIEL	IVY FD BD FD CL A	82.50	0.83	95.0	0.00	0.79	0.00	0.79
01/03/2018 #00032398032 KEMP, MICHELE	IVY FD BD FD CL A	82.50	0.83	95.0	0.00	0.79	0.00	0.79
01/03/2018 #00032398031 KEMP, DANIEL	IVY FDS INC ASSET STRATEGY FD CL A	101.25	1.01	95.0	0.00	0.96	0.00	0.96
01/03/2018 #00032398032 KEMP, MICHELE	IVY FDS INC ASSET STRATEGY FD CL A	101.25	1.01	95.0	0.00	0.96	0.00	0.96
01/03/2018 #00032398031 KEMP, DANIEL	IVY FDS INC HIGH INCOME FD CL A	71.25	0.71	95.0	0.00	0.67	0.00	0.67
01/03/2018 #00032398032 KEMP, MICHELE	IVY FDS INC HIGH INCOME FD CL A	26.25	0.26	95.0	0.00	0.25	0.00	0.25
01/03/2018 #00032398031 KEMP, DANIEL	IVY FDS INC LARGE CAP GROWTH FD CL	48.75	0.49	95.0	0.00	0.47	0.00	0.47
01/03/2018 #00032398032 KEMP, MICHELE	IVY FDS INC LARGE CAP GROWTH FD CL	48.75	0.49	95.0	0.00	0.47	0.00	0.47
01/03/2018 #00032398031 KEMP, DANIEL	IVY MID CAP GROWTH FUND CLASS A	78.75	0.79	95.0	0.00	0.75	0.00	0.75
01/03/2018 #00032398032 KEMP, MICHELE	IVY MID CAP GROWTH FUND CLASS A	33.75	0.34	95.0	0.00	0.32	0.00	0.32
01/03/2018 #00032398032 KEMP, MICHELE	IVY PACIFIC OPPORTUNITIES A	90.00	0.90	95.0	0.00	0.86	0.00	0.86
01/03/2018 #00032398031 KEMP, DANIEL	IVY SMALL CAP VALUE A	26.25	0.26	95.0	0.00	0.25	0.00	0.25
01/03/2018 #00032398032 KEMP, MICHELE	IVY SMALL CAP VALUE A	26.25	0.26	95.0	0.00	0.25	0.00	0.25
01/05/2018 #00086459796 GORHAM,	AMERICAN BALANCED FUND INC CLASS A	100.00	3.75	95.0	0.00	3.56	0.00	3.56
01/05/2018 #00086459796 GORHAM,	BOND FUND OF AMERICA INC CL A	75.00	2.25	95.0	0.00	2.14	0.00	2.14
01/05/2018 #00086459796 GORHAM,	CAPITAL WORLD GROWTH & INCOME FUND	100.00	3.75	95.0	0.00	3.56	0.00	3.56
01/05/2018 #00086459796 GORHAM,	GROWTH FUND AMERICA INC CLASS A	50.00	1.88	95.0	0.00	1.79	0.00	1.79
01/05/2018 #00035579226 BAKER, JANIE	INVESTED CONSERVATIVE PORTFOLIO A	25.00	0.50	95.0	0.00	0.48	0.00	0.48
01/05/2018 #00036140009 BAKER, JANIE	INVESTED GROWTH PORTFOLIO A	50.00	1.00	95.0	0.00	0.95	0.00	0.95
01/05/2018 #00035998467 GORHAM,	IVY BALANCED A	100.00	1.20	95.0	0.00	1.14	0.00	1.14
01/05/2018 #00036208319 TAYLOR, NICK	IVY BALANCED A	76.00	3.04	95.0	0.00	2.89	0.00	2.89
01/05/2018 #00036208332 TAYLOCALI	IVY BALANCED A	76.00	3.04	95.0	0.00	2.89	0.00	2.89
01/05/2018 #00036098588 LEVEDCRYS	IVY BALANCED A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
01/05/2018 #00032398033 ALLEN, KENNETH	IVY CORE EQUITY FUND CLASS A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
01/05/2018 #00032398033 ALLEN, KENNETH	IVY FD BD FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
01/05/2018 #00035998467 GORHAM,	IVY FDS INC ASSET STRATEGY FD CL A	200.00	2.40	95.0	0.00	2.28	0.00	2.28
01/05/2018 #00036098588 LEVEDCRYS	IVY FDS INC ASSET STRATEGY FD CL A	150.00	7.50	95.0	0.00	7.13	0.00	7.13
01/05/2018 #00036208319 TAYLOR, NICK	IVY FDS INC HIGH INCOME FD CL A	78.00	3.12	95.0	0.00	2.96	0.00	2.96
01/05/2018 #00036208332 TAYLOCALI	IVY FDS INC HIGH INCOME FD CL A	78.00	3.12	95.0	0.00	2.96	0.00	2.96
01/05/2018 #00036208319 TAYLOR, NICK	IVY FDS INC LARGE CAP GROWTH FD CL	76.00	3.04	95.0	0.00	2.89	0.00	2.89
01/05/2018 #00036208332 TAYLOCALI	IVY FDS INC LARGE CAP GROWTH FD CL	76.00	3.04	95.0	0.00	2.89	0.00	2.89
01/05/2018 #00035998467 GORHAM,	IVY FDS INC SCIENCE & TECH FD CL A	200.00	2.40	95.0	0.00	2.28	0.00	2.28
01/05/2018 #00032387551 ROBERTSON,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.00	0.67

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PRODUCT CATROONY: NUTUAL FUNDS	DATE	CLIENT	INV	/ESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
PRODUCT CATEGORY; NUTUAL PUNDS				BROKER TRAN	ISACTIONS						
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1005/2018 100016208332 TAYLOCALI				TIV ENC THE CETENCE C TECH EN AL A	76 00	2 04	0 E 0	0 00	2 90	0.00	2 00
101/05/2018 #000032398033 ALLEN, XENNETH 1VV FES INC SCIENCE & THOCH PD CL A 50.00 2.50 95.0 0.00 2.38 0.00 0.											
01/05/2018 #00036098588 LEVERDERYS											
01/05/2018 *00032526555 NOBERTSON, 174 FOR INC SCIENCE & TECH FD CL A 100.00 5.00 95.0 0.00 0.00 0.00 1.00 0.00 0.00 0.00 0											
01/05/2018 #00033287551 ROBERTSON, IVY GLOBAL NATURAL RESOURCES FUND—C 76.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0											
01/05/2018 #00038208319 TAYLOR, NICK											
01/05/2018 #00036208332 TAYLOCALT											
01/05/2018 #00032398033 ALLEN, KENNETH 1VY GLOBAL NATURAL RESOURCES FUND C 50.00 2.50 0.00 2.89 0.00 0.05 0.05 0.00											
01/05/2018 #100036208319 TAYLOR, NICK											
01/05/2018 H00036208332 TAYLOCALI											
01/05/2018 #000365459796 GORHAM, NEW WORLD FUND INC NEW 100.00 3.75 95.0 0.00 3.56 0.00 1.48 0.00 1.48 0.10/95/2018 #00035578513 CHRISTOPHER, IVY FD BD FD CL A 55.79 1.56 95.0 0.00 1.48 0.00 1.48 0.10/95/2018 #00035578513 CHRISTOPHER, IVY FD BD FD CL A 55.79 1.56 95.0 0.00 1.48 0.00 1.48 0.10/95/2018 #00035578513 CHRISTOPHER, IVY FDS INC HIGH INCOME FD CL A 55.79 1.56 95.0 0.00 1.48 0.00 1.48 0.10/95/2018 #00035578513 CHRISTOPHER, IVY FDS INC LARGE CAP GROWTH FD CL 55.80 1.56 95.0 0.00 1.48 0.00 1.48 0.00 1.48 0.10/95/2018 #00035578513 CHRISTOPHER, IVY FDS INC SCIENCE & TECH FD CL A 139.48 1.56 95.0 0.00 1.48 0.00 1.48 0.00 1.48 0.10/95/2018 #00035578513 CHRISTOPHER, IVY FDS INC SCIENCE & TECH FD CL A 139.48 1.56 95.0 0.00 1.48 0.00 1.48 0.00 1.48 0.10/95/2018 #00035578513 CHRISTOPHER, IVY FDS INC SCIENCE & TECH FD CL A 139.48 1.51 95.0 0.00 1.48 0.00 1.48 0.00 1.48 0.10/95/2018 #00035578513 CHRISTOPHER, IVY FDS INC SCIENCE & TECH FD CL A 139.48 1.51 95.0 0.00 1.48 0.00 1.48 0.00 1.48 0.10/95/2018 #00035578513 CHRISTOPHER, IVY REAL STATES SCURITIES A 139.48 1.51 95.0 0.00 1.50 0.00 1.48 0.00 1.48 0.10/95/2018 #00035578513 CHRISTOPHER, IVY CORE EQUITY FUND CLASS A 25.00 1.00 95.0 0.00 0.00 0.55 0.00 0.05 0.10/10/2018 #00035578512 CHRISTOPHER, IVY FDS INC ASSET STRATEGY FD CL A 200.00 95.0 0.00 0.00 0.05 0.00 0.05 0.10/10/2018 #000355785852 VARIOUS IVY GLOBAL NATURAL RESOURCES FUND-C 50.00 0.00 95.0 0.00 0.05 0.00 0.05 0.00 0.05 0.10/10/2018 #00035578552 EMERSON, SHAMN IVY FDS INC HIGH INCOME FD CL A 50.00 1.00 95.0 0.00 0.05 0.00 0.95 0.00 0.95 0.10/10/2018 #00035578552 EMERSON, SHAMN IVY FDS INC HIGH INCOME FD CL A 50.00 1.00 95.0 0.00 0.95 0.00 0.95 0.00 0.95 0.10/10/2018 #0003557852 EMERSON, SHAMN IVY FDS INC HIGH INCOME FD CL A 50.00 0.00 95.0 0.00 0.95 0.00 0.95 0.00 0.95 0.10/10/2018 #0003557852 EMERSON, SHAMN IVY FDS INC HIGH INCOME FD CL A 50.00 0.00 95.0 0.00 0.95 0.00 0.95 0.00 0.95 0.10/10/2018 #00035578533 VARIOUS IVY BALANCED A 1.00 0.00 0.00 0.00 0.00 0.00 0.00 0.											
01/09/2018 #00035578513 CHRISTOPHER, IVY END BD ED CL A 55.79 1.56 95.0 0.00 1.48 0.00 1.48 0.10 1.48 0.10/09/2018 #00035578513 CHRISTOPHER, IVY ED BD ED CL A 55.79 1.56 95.0 0.00 1.48 0.00 1.48 0.10 1.48 0.10/09/2018 #00035578513 CHRISTOPHER, IVY EDS INC HIGH INCOME FD CL A 55.79 1.56 95.0 0.00 1.48 0.00 1.48 0.10 0.148 0.10/09/2018 #00035578513 CHRISTOPHER, IVY EDS INC LARGE CAP GROWTH FD CL A 55.80 1.56 95.0 0.00 1.48 0.00 1.48 0.10 0.148 0.10/09/2018 #00035578513 CHRISTOPHER, IVY EDS INC SCIENCE & TECH FD CL A 139.48 3.91 95.0 0.00 3.71 0.00 3.71 0.00 3.71 0.10/09/2018 #00035578513 CHRISTOPHER, IVY EDS INC SCIENCE & TECH FD CL A 139.48 3.91 95.0 0.00 1.48 0.00 1.48 0.00 1.48 0.10/09/2018 #00035578513 CHRISTOPHER, IVY EDS INC SCIENCE & TECH FD CL A 139.48 3.91 95.0 0.00 1.48 0.00 3.71 0.00 3.71 0.10/09/2018 #00035578513 CHRISTOPHER, IVY EDS INC SCIENCE & TECH FD CL A 139.48 3.91 95.0 0.00 1.00 3.71 0.00 3.71 0.10/09/2018 #00035578513 CHRISTOPHER, IVY EDS INC ASSET STATES SCURITIES A 139.48 3.91 95.0 0.00 0.00 3.71 0.00 3.71 0.10/09/2018 #00035378852 VARIOUS IVY CORE EQUITY FUND CLASS A 25.00 1.00 95.0 0.00 0.00 0.95 0.00 0.00 0.05 0.10/10/2018 #00035378852 VARIOUS IVY GORE EQUITY FUND CLASS A 25.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0											
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01/09/2018 #00035578513 CHRISTOPHER, IVY FDS INC LARGE CAP GROWTH FDC LL 55.80 1.56 95.0 0.00 1.48 0.00 1.48 0.10 1.48 0.10 0.10 1.48 0.10 0.14 0.14 0.14 0.14 0.14 0.14 0.14											
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01/09/2018 #00035578513 CHRISTOPHER, IVY MID CAP GROWTH FUND CLASS A 55.79 1.56 95.0 0.00 1.48 0.00 1.48 0.10 0.10 0.10 0.10 0.10 0.10 0.10 0.1			•								
01/09/2018 #000355788513 CHRISTOPHER, IVY REAL ESTATE SECURITIES A 139.48 3.91 95.0 0.00 3.71 0.00 3.71 0.10 01/10/2018 #00035378852 VARIOUS IVY CORE EQUITY FUND CLASS A 25.00 1.00 95.0 0.00 0.95 0.00 0.95 0.00 0.95 01/10/2018 #00035378852 VARIOUS IVY CORE EQUITY FUND CLASS A -25.00 -1.00 95.0 0.00 -0.95 0.00 -0.95 01/10/2018 #00033578852 VARIOUS IVY CORE EQUITY FUND CLASS A -25.00 -1.00 95.0 0.00 85.5 0.00 8.55 01/10/2018 #00033578852 VARIOUS IVY GLOBAL NATURAL RESOURCES FUND-C 50.00 2.00 95.0 0.00 1.90 0.00 1.90 01/10/2018 #00035378852 VARIOUS IVY GLOBAL NATURAL RESOURCES FUND-C 50.00 -2.00 95.0 0.00 1.90 0.00 -1.90 0.00 1.90 01/10/2018 #00035378852 VARIOUS IVY GLOBAL NATURAL RESOURCES FUND-C 50.00 2.00 95.0 0.00 0.95 0.00 0.00											
01/10/2018 #00035378852 VARIOUS IVY CORE EQUITY FUND CLASS A 25.00 1.00 95.0 0.00 0.95 0.00 0.00											
01/10/2018 #00035378852 VARIOUS IVY CORE EQUITY FUND CLASS A -25.00 -1.00 95.0 0.00 -0.95 0.00 0.00 -0.95 0.00 -0.95 0.00 -0.95 0.00 -0.95 0.00 -0.95 0.00 -0.95 0.00 -0.95 0.00 -0.95 0.00 -0.95 0.00 -0.95 0.00 -0.95 0.00 -0.95 0.00 0.00											
01/10/2018 #00032421732 BEANEDALEF IVY FDS INC ASSET STRATEGY FD CL A 200.00 9.00 95.0 0.00 8.55 0.00 8.55 0.00 1.90 0/10/2018 #00035378852 VARIOUS IVY GLOBAL NATURAL RESOURCES FUND-C 50.00 2.00 95.0 0.00 1.90 0.00 1.90 0.00 1.90 0.00 1.90 0.00 1.90 0/10/2018 #00035378852 VARIOUS IVY GLOBAL NATURAL RESOURCES FUND-C -50.00 -2.00 95.0 0.00 -1.90 0.00 -1.90 0.00 -1.90 0.00 1.90 0/12/2018 #00035492585 EMERSON, SHAWN IVY FDS INC HIGH INCOME FD CL A 50.00 1.00 95.0 0.00 0.95 0.00 0.00				-							
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DATE	CLIENT	INVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TRANS	SACTIONS						
DRODUCT	CATEGORY: MUTUAL FUNDS									
	8 #00032411317 ANDREWS,	IVY FDS INC ASSET STRAT:	ECA ED CI V	50.00	2.50	95.0	0.00	2.38	0.00	2.38
	.8 #00032411317 ANDREWS, .8 #00035502928 ANDREWS, SAR			75.00	3.75	95.0	0.00	3.56	0.00	3.56
	.8 #00035302920 ANDREWS, SAN	IVY FDS INC ASSET STRAT		50.00	2.50	95.0	0.00	2.38	0.00	2.38
	.8 #00036325146 TELLI, TERRI			2,000.00	40.00	95.0	0.00	38.00	0.00	38.00
		SEPH IVY FDS INC HIGH INCOME		79.00	3.56	95.0	0.00	3.38	0.00	3.38
	.8 #00036253281 REEKERS, DON			92.32	4.62	95.0	0.00	4.39	0.00	4.39
	.8 #00036253281 REEKERS, DON			92.36	4.62	95.0	0.00	4.39	0.00	4.39
	.8 #00036351929 (TOD), COREY			1,800.00	90.00	95.0	0.00	85.50	0.00	85.50
	.8 #00036325146 TELLI, TERRI			3,000.00	60.00	95.0	0.00	57.00	0.00	57.00
	.8 #00036254373 VARIOUS	IVY FDS INC LARGE CAP G		825.00	41.25	95.0	0.00	39.19	0.00	39.19
	.8 #00036351929 (TOD), COREY			2,400.00	120.00	95.0	0.00	114.00	0.00	114.00
	.8 #00032408864 DEMPSEY, DAR			75.00	1.50	95.0	0.00	1.43	0.00	1.43
	.8 #00036325146 TELLI, TERRI			2,911.25	58.23	95.0	0.00	55.32	0.00	55.32
		SEPH IVY FDS INC SCIENCE & T		100.00	4.50	95.0	0.00	4.28	0.00	4.28
	.8 #00035864350 HALL, JASON	IVY FDS INC SCIENCE & T		50.00	2.50	95.0	0.00	2.38	0.00	2.38
01/16/201	.8 #00036149962 SPENNY, DAVI	D IVY FDS INC SCIENCE & T	ECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
01/16/201	.8 #00036193565 EVANS, LARRI	IVY FDS INC SCIENCE & T	ECH FD CL A	60.00	3.00	95.0	0.00	2.85	0.00	2.85
01/16/201	.8 #00036247691 OKUWEZAKA	IVY FDS INC SCIENCE & T	ECH FD CL A	60.00	3.00	95.0	0.00	2.85	0.00	2.85
01/16/201	.8 #00036247691 OKUWEZAKA	IVY FDS INC SCIENCE & T	ECH FD CL A	60.00	3.00	95.0	0.00	2.85	0.00	2.85
01/16/201	.8 #00036254373 VARIOUS	IVY FDS INC SCIENCE & T	ECH FD CL A	1,100.00	55.00	95.0	0.00	52.25	0.00	52.25
01/16/201	.8 #00036276384 HOITEN, LAUR	A IVY FDS INC SCIENCE & T	ECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
01/16/201	.8 #00036306920 BRYAN, CLAIN	I IVY FDS INC SCIENCE & T	ECH FD CL A	100.00	5.00	95.0	0.00	4.75	0.00	4.75
01/16/201	.8 #00036306920 BRYAN, CLAIN	I IVY FDS INC SCIENCE & T	ECH FD CL A	100.00	5.00	95.0	0.00	4.75	0.00	4.75
01/16/201	.8 #00036326947 EMERSOLIV	IVY FDS INC SCIENCE & T	ECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
01/16/201	.8 #00036351929 (TOD), COREY	IVY FDS INC SCIENCE & T	ECH FD CL A	2,400.00	120.00	95.0	0.00	114.00	0.00	114.00
01/16/201	.8 #00036325146 TELLI, TERRI	IVY GLOBAL NATURAL RESO	URCES FUND-C	2,000.00	40.00	95.0	0.00	38.00	0.00	38.00
01/16/201	.8 #00036325146 TELLI, TERRI	IVY INTERNATIONAL CORE	EQUITY A	1,000.00	20.00	95.0	0.00	19.00	0.00	19.00
01/16/201	.8 #00036254373 VARIOUS	IVY INTERNATIONAL CORE	EQUITY A	825.00	41.25	95.0	0.00	39.19	0.00	39.19
01/16/201	.8 #00036325146 TELLI, TERRI	IVY REAL ESTATE SECURIT	IES A	3,000.00	60.00	95.0	0.00	57.00	0.00	57.00
01/16/201	.8 #00036150657 JOHNSON, JOS	EPH IVY REAL ESTATE SECURIT	IES A	100.00	4.50	95.0	0.00	4.28	0.00	4.28
01/16/201	.8 #00035864350 HALL, JASON	IVY REAL ESTATE SECURIT	IES A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
01/16/201	.8 #00036149954 SPENNIDA, L	IVY REAL ESTATE SECURIT	IES A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
01/16/201	.8 #00036254373 VARIOUS	IVY REAL ESTATE SECURIT	IES A	825.00	41.25	95.0	0.00	39.19	0.00	39.19
01/16/201	.8 #00036351929 (TOD), COREY	IVY REAL ESTATE SECURIT	IES A	2,400.00	120.00	95.0	0.00	114.00	0.00	114.00
01/16/201	.8 #00036150657 JOHNSON, JOS	EPH IVY SMALL CAP GROWTH A		79.00	3.56	95.0	0.00	3.38	0.00	3.38
01/17/201	8 #00036077197 LYKKEN, ARLC	IVY BALANCED A		100.00	2.80	95.0	0.00	2.66	0.00	2.66

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GROSS

NET

CLEARING

COMMISSION STATEMENT FOR RON RENSBERG
INVESTMENT

DATE CLIENT	IN	VESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TRAN	SACTIONS						
PRODUCT CATEGORY: MUTUA	AL FUNDS								
)1/17/2018 #00036077197 LY	KKEN, ARLO	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
)1/17/2018 #00036077197 LY	KKEN, ARLO	IVY GLOBAL NATURAL RESOURCES FUND-C	100.00	2.80	95.0	0.00	2.66	0.00	2.66
)1/17/2018 #00036077197 LY	KKEN, ARLO	IVY REAL ESTATE SECURITIES A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
01/22/2018 #00035763248 GL	OVER, JESSICA	A IVY ASSET STRATEGY E	150.00	3.00	95.0	0.00	2.85	0.00	2.85
01/22/2018 #00032396121 CC	OPER TRACY,	IVY BALANCED A	200.00	5.60	95.0	0.00	5.32	0.00	5.32
01/22/2018 #00035633441 KC	COUREK,	IVY BALANCED A	60.00	3.00	95.0	0.00	2.85	0.00	2.85
1/22/2018 #00032408419 DE	MPSEY, STEVEN	I IVY CORE EQUITY FUND CLASS A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
1/22/2018 #00032408419 DE	MPSEY, STEVEN	I IVY CUNDILL GLOBAL VALUE A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
01/22/2018 #00032396121 CC	OPER TRACY,	IVY ENERGY A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
1/22/2018 #00035202865 GI	OVER, JESSICA	IVY FDS INC ASSET STRATEGY FD CL A	50.00	1.40	95.0	0.00	1.33	0.00	1.33
01/22/2018 #00032396121 CC	OPER TRACY,	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
01/22/2018 #00035633441 KC	COUREK,	IVY FDS INC ASSET STRATEGY FD CL A	60.00	3.00	95.0	0.00	2.85	0.00	2.85
1/22/2018 #00032408419 DE	MPSEY, STEVEN	I IVY FDS INC HIGH INCOME FD CL A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
1/22/2018 #00035202865 GI	OVER, JESSICA	IVY FDS INC HIGH INCOME FD CL A	50.00	1.40	95.0	0.00	1.33	0.00	1.33
1/22/2018 #00035436381 GI	OVER, KEITH	IVY FDS INC HIGH INCOME FD CL A	50.00	1.40	95.0	0.00	1.33	0.00	1.33
1/22/2018 #00032396121 CC	OPER TRACY,	IVY FDS INC LARGE CAP GROWTH FD CL	200.00	5.60	95.0	0.00	5.32	0.00	5.32
1/22/2018 #00032408419 DE	MPSEY, STEVEN	I IVY FDS INC SCIENCE & TECH FD CL A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
1/22/2018 #00035202865 GI	OVER, JESSICA	A IVY FDS INC SCIENCE & TECH FD CL A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
1/22/2018 #00032396121 CC	OPER TRACY,	IVY FDS INC SCIENCE & TECH FD CL A	200.00	5.60	95.0	0.00	5.32	0.00	5.32
1/22/2018 #00035436381 GL	OVER, KEITH	IVY FDS INC SCIENCE & TECH FD CL A	75.00	2.10	95.0	0.00	2.00	0.00	2.00
1/22/2018 #00032410232 CH	RISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	0.00	1.19
01/22/2018 #00035633441 KC	COUREK,	IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	0.00	2.85
1/22/2018 #00032396121 CC	OPER TRACY,	IVY INTERNATIONAL CORE EQUITY A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
1/22/2018 #00035202865 GI	OVER, JESSICA	A IVY MID CAP GROWTH FUND CLASS A	158.00	4.42	95.0	0.00	4.20	0.00	4.20
1/22/2018 #00035436381 GI	OVER, KEITH	IVY MID CAP GROWTH FUND CLASS A	92.00	2.58	95.0	0.00	2.45	0.00	2.45
1/22/2018 #00032396121 CC	OPER TRACY,	IVY REAL ESTATE SECURITIES A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
1/22/2018 #00036130945 CH	RIS, JANE	IVY REAL ESTATE SECURITIES A	80.00	2.24	95.0	0.00	2.13	0.00	2.13
1/25/2018 #00085194319 GR	EGORY, NANCY	GROWTH FUND AMERICA INC CLASS A	50.00	2.13	95.0	0.00	2.02	0.00	2.02
1/25/2018 #00032397882 RF	EKERS, DONNA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
1/26/2018 #00035492585 EM	ERSON, SHAWN	IVY FDS INC HIGH INCOME FD CL A	50.00	0.60	95.0	0.00	0.57	0.00	0.57
1/26/2018 #00035492585 EM	IERSON, SHAWN	IVY FDS INC SCIENCE & TECH FD CL A	50.00	0.60	95.0	0.00	0.57	0.00	0.57
1/26/2018 #00035492585 EM	IERSON, SHAWN	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	0.60	95.0	0.00	0.57	0.00	0.57
1/29/2018 #00035649857 VA	RIOUS	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
1/29/2018 #00036192778 VA	RIOUS	IVY FDS INC SCIENCE & TECH FD CL A	100.00	4.00	95.0	0.00	3.80	0.00	3.80
1/30/2018 #00035492588 EM	ERSON, BECKY	IVY CORE EQUITY FUND CLASS A	125.00	1.50	95.0	0.00	1.43	0.00	1.43
01/30/2018 #00035572064 KE	MP, DANIEL	IVY CUNDILL GLOBAL VALUE A	500.00	5.00	95.0	0.00	4.75	0.00	4.75

DATE	CLIENT IN	VESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRANS	SACTIONS						
PRODUCT	CATEGORY: MUTUAL FUNDS								
01/30/201	.8 #00035492588 EMERSON, BECKY	IVY EUROPEAN OPPORTUNITIES A	25.00	0.30	95.0	0.00	0.29	0.00	0.29
01/30/201	.8 #00035572064 KEMP, DANIEL	IVY FD BD FD CL A	200.00	2.00	95.0	0.00	1.90	0.00	1.90
01/30/201	.8 #00035572064 KEMP, DANIEL	IVY FDS INC ASSET STRATEGY FD CL A	400.00	4.00	95.0	0.00	3.80	0.00	3.80
01/30/201	.8 #00035492588 EMERSON, BECKY	IVY FDS INC ASSET STRATEGY FD CL A	110.00	1.32	95.0	0.00	1.25	0.00	1.25
01/30/201	.8 #00035572064 KEMP, DANIEL	IVY FDS INC HIGH INCOME FD CL A	200.00	2.00	95.0	0.00	1.90	0.00	1.90
01/30/201	.8 #00035492588 EMERSON, BECKY	IVY FDS INC HIGH INCOME FD CL A	50.00	0.60	95.0	0.00	0.57	0.00	0.57
01/30/201	.8 #00035572064 KEMP, DANIEL	IVY FDS INC LARGE CAP GROWTH FD CL	500.00	5.00	95.0	0.00	4.75	0.00	4.75
01/30/201	.8 #00035572064 KEMP, DANIEL	IVY FDS INC SCIENCE & TECH FD CL A	200.00	2.00	95.0	0.00	1.90	0.00	1.90
01/30/201	8 #00035492588 EMERSON, BECKY	IVY FDS INC SCIENCE & TECH FD CL A	75.00	0.90	95.0	0.00	0.86	0.00	0.86
01/30/201	8 #00035492588 EMERSON, BECKY	IVY GLOBAL NATURAL RESOURCES FUND-C	125.00	1.50	95.0	0.00	1.43	0.00	1.43
01/30/201	.8 #00032370316 CLINTON, PAUL	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.50	95.0	0.00	2.38	0.00	2.38
02/01/201	8 #00084595526 LETELLIER,	COLLEGE 2024 FUND 529A	100.00	3.50	95.0	0.00	3.33	0.00	3.33
		* MUTUAL FUNDS SUBTOTAL *	\$51,862.85	\$1,683.34		\$0.00	\$1,599.42	\$0.00	\$1,599.42
PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS							
01/11/201	.8 #00036307156 METZJOAN	INVESTED AGGRESSIVE PORT A	0.00	0.84	95.0	0.00	0.80	0.00	0.80
01/11/201	.8 #00035543848 SPENNY, DAVID	INVESTED CONSERVATIVE PORTFOLIO A	0.00	3.34	95.0	0.00	3.17	0.00	3.17
01/11/201	.8 #00035579226 BAKER, JANIE	INVESTED CONSERVATIVE PORTFOLIO A	0.00	3.58	95.0	0.00	3.40	0.00	3.40
01/11/201	.8 #00036140009 BAKER, JANIE	INVESTED GROWTH PORTFOLIO A	0.00	1.78	95.0	0.00	1.69	0.00	1.69
01/11/201	.8 #00035485711 WANG, DAVID	INVESTED GROWTH PORTFOLIO A	0.00	52.08	95.0	0.00	49.48	0.00	49.48
01/11/201	.8 #00036069316 VARIOUS	INVESTED GROWTH PORTFOLIO A	0.00	19.80	95.0	0.00	18.81	0.00	18.81
01/11/201	.8 #00036089150 VARIOUS	INVESTED GROWTH PORTFOLIO A	0.00	1.31	95.0	0.00	1.24	0.00	1.24
01/11/201	.8 #00036103479 VARIOUS	INVESTED GROWTH PORTFOLIO A	0.00	0.92	95.0	0.00	0.87	0.00	0.87
01/11/201	.8 #00036103483 VARIOUS	INVESTED GROWTH PORTFOLIO A	0.00	0.92	95.0	0.00	0.87	0.00	0.87
01/11/201	.8 #00036218685 METZKELL	INVESTED GROWTH PORTFOLIO A	0.00	4.86	95.0	0.00	4.62	0.00	4.62
01/11/201	.8 #00035565823 VARIOUS	INVESTED INCOME	0.00	9.15	95.0	0.00	8.69	0.00	8.69
01/11/201	.8 #00035579219 BAKER, JANIE	INVESTED INCOME	0.00	3.89	95.0	0.00	3.70	0.00	3.70
01/11/201	.8 #00035580054 VARIOUS	IVY ADVANTUS	0.00	78.47	95.0	0.00	74.55	0.00	74.55
01/11/201	.8 #00035763248 GLOVER, JESSICA	IVY ASSET STRATEGY E	0.00	3.22	95.0	0.00	3.06	0.00	3.06
01/11/201	.8 #00036149731 VARIOUS	IVY ASSET STRATEGY FD CL C	0.00	2.76	95.0	0.00	2.62	0.00	2.62
01/11/201	.8 #00036149737 VARIOUS	IVY ASSET STRATEGY FD CL C	0.00	2.14	95.0	0.00	2.03	0.00	2.03
01/11/201	.8 #00035998467 GORHAM,	IVY BALANCED A	0.00	5.77	95.0	0.00	5.48	0.00	5.48
01/11/201	.8 #00036022546 VARIOUS	IVY BALANCED A	0.00	1.39	95.0	0.00	1.32	0.00	1.32
01/11/201	.8 #00036022549 VARIOUS	IVY BALANCED A	0.00	2.74	95.0	0.00	2.60	0.00	2.60
01/11/201	.8 #00036027743 TRAUT, TODD	IVY BALANCED A	0.00	1.46	95.0	0.00	1.39	0.00	1.39
01/11/201	.8 #00036029241 VARIOUS	IVY BALANCED A	0.00	2.00	95.0	0.00	1.90	0.00	1.90

01/11/2018 #00035649857 VARIOUS

IVY BALANCED A

02/15/201	8		COMMISSION STATEMENT for RON RENSBER	GER				Page I	No. 7
DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TRANSACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND	12B1 TRAILS							
01/11/201	8 #00036102550 VARIOUS	IVY BALANCED A	0.00	1.73	95.0	0.00	1.64	0.00	1.64
01/11/201	8 #00036143432 VARIOUS	IVY BALANCED A	0.00	1.55	95.0	0.00	1.47	0.00	1.47
01/11/201	8 #00036143435 VARIOUS	IVY BALANCED A	0.00	1.03	95.0	0.00	0.98	0.00	0.98
01/11/201	8 #00036143438 VARIOUS	IVY BALANCED A	0.00	1.19	95.0	0.00	1.13	0.00	1.13
01/11/201	8 #00036150657 JOHNSON,	JOSEPH IVY BALANCED A	0.00	3.31	95.0	0.00	3.14	0.00	3.14
01/11/201	8 #00036150731 VARIOUS	IVY BALANCED A	0.00	0.74	95.0	0.00	0.70	0.00	0.70
01/11/201	8 #00036163036 VARIOUS	IVY BALANCED A	0.00	4.95	95.0	0.00	4.70	0.00	4.70
01/11/201	8 #00036171938 VARIOUS	IVY BALANCED A	0.00	1.02	95.0	0.00	0.97	0.00	0.97
01/11/201	8 #00036185398 VARIOUS	IVY BALANCED A	0.00	0.51	95.0	0.00	0.48	0.00	0.48
01/11/201	8 #00036187511 BEANEDALE	EF IVY BALANCED A	0.00	1.90	95.0	0.00	1.81	0.00	1.81
01/11/201	8 #00036191000 VARIOUS	IVY BALANCED A	0.00	7.71	95.0	0.00	7.32	0.00	7.32
01/11/201	8 #00036200421 VARIOUS	IVY BALANCED A	0.00	14.53	95.0	0.00	13.80	0.00	13.80
01/11/201	8 #00036200467 VARIOUS	IVY BALANCED A	0.00	0.51	95.0	0.00	0.48	0.00	0.48
01/11/201	8 #00036325628 VARIOUS	IVY BALANCED A	0.00	20.39	95.0	0.00	19.37	0.00	19.37
01/11/201	8 #00032375891 SPENNY, D	DAVID IVY BALANCED A	0.00	0.70	95.0	0.00	0.67	0.00	0.67
01/11/201	8 #00032381393 VARIOUS	IVY BALANCED A	0.00	3.98	95.0	0.00	3.78	0.00	3.78
01/11/201	8 #00032386705 VARIOUS	IVY BALANCED A	0.00	7.83	95.0	0.00	7.44	0.00	7.44
01/11/201	8 #00032390050 VARIOUS	IVY BALANCED A	0.00	2.74	95.0	0.00	2.60	0.00	2.60
01/11/201	8 #00032396121 COOPER TR	RACY, IVY BALANCED A	0.00	3.88	95.0	0.00	3.69	0.00	3.69
01/11/201	8 #00032398536 VARIOUS	IVY BALANCED A	0.00	1.95	95.0	0.00	1.85	0.00	1.85
01/11/201	8 #00032399652 VARIOUS	IVY BALANCED A	0.00	1.04	95.0	0.00	0.99	0.00	0.99
01/11/201	8 #00032400094 VARIOUS	IVY BALANCED A	0.00	5.06	95.0	0.00	4.81	0.00	4.81
01/11/201	8 #00032401984 ANN D HAV	/ILAND, IVY BALANCED A	0.00	9.62	95.0	0.00	9.14	0.00	9.14
01/11/201	8 #00032409103 VARIOUS	IVY BALANCED A	0.00	1.28	95.0	0.00	1.22	0.00	1.22
01/11/201	8 #00032418731 VARIOUS	IVY BALANCED A	0.00	7.16	95.0	0.00	6.80	0.00	6.80
01/11/201	8 #00032418732 VARIOUS	IVY BALANCED A	0.00	1.10	95.0	0.00	1.05	0.00	1.05
01/11/201	8 #00035376555 VARIOUS	IVY BALANCED A	0.00	7.18	95.0	0.00	6.82	0.00	6.82
01/11/201	8 #00035376560 VARIOUS	IVY BALANCED A	0.00	2.90	95.0	0.00	2.76	0.00	2.76
01/11/201	8 #00035419793 VARIOUS	IVY BALANCED A	0.00	3.23	95.0	0.00	3.07	0.00	3.07
01/11/201	8 #00035491952 VARIOUS	IVY BALANCED A	0.00	0.97	95.0	0.00	0.92	0.00	0.92
01/11/201	8 #00035494498 VARIOUS	IVY BALANCED A	0.00	3.78	95.0	0.00	3.59	0.00	3.59
01/11/201	8 #00035522407 HARSH, RA	AYMOND IVY BALANCED A	0.00	2.18	95.0	0.00	2.07	0.00	2.07
01/11/201	8 #00035522691 GRAVES, H	HELEN IVY BALANCED A	0.00	3.21	95.0	0.00	3.05	0.00	3.05
01/11/201	8 #00035589263 JOHNSON,	DONALD IVY BALANCED A	0.00	0.98	95.0	0.00	0.93	0.00	0.93
01/11/201	8 #00035633441 KOCOUREK,	IVY BALANCED A	0.00	1.44	95.0	0.00	1.37	0.00	1.37

0.00 1.51 95.0 0.00 1.43 0.00 1.43

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				INVESTMENT	GROSS			NET	CLEARING	BROKER
DATE	CLIENT	IN	IVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
				BROKER TRANSACTIONS						
PRODUCT	CATEGORY: MUTU	AL FUND 12B1	TRAILS							
01/11/201	8 #00035709381 V	ARIOUS	IVY BALANCED A	0.00	0.02	95.0	0.00	0.02	0.00	0.02
01/11/201	8 #00035740156 V	ARIOUS	IVY BALANCED A	0.00	11.67	95.0	0.00	11.09	0.00	11.09
01/11/201	8 #00035746115 V	ARIOUS	IVY BALANCED A	0.00	1.49	95.0	0.00	1.42	0.00	1.42
01/11/201	8 #00035812240 V	ARIOUS	IVY BALANCED A	0.00	5.05	95.0	0.00	4.80	0.00	4.80
01/11/201	8 #00035812257 V	ARIOUS	IVY BALANCED A	0.00	2.02	95.0	0.00	1.92	0.00	1.92
01/11/201	8 #00035812274 V	ARIOUS	IVY BALANCED A	0.00	0.67	95.0	0.00	0.64	0.00	0.64
01/11/201	8 #00035825644 V	ARIOUS	IVY BALANCED A	0.00	2.32	95.0	0.00	2.20	0.00	2.20
01/11/201	8 #00035830897 V	ARIOUS	IVY BALANCED A	0.00	1.01	95.0	0.00	0.96	0.00	0.96
01/11/201	8 #00035888536 V	ARIOUS	IVY BALANCED A	0.00	2.71	95.0	0.00	2.57	0.00	2.57
01/11/201	8 #00035895678 V	ARIOUS	IVY BALANCED A	0.00	43.69	95.0	0.00	41.51	0.00	41.51
01/11/201	8 #00035899205 V	ARIOUS	IVY BALANCED A	0.00	0.82	95.0	0.00	0.78	0.00	0.78
01/11/201	8 #00035920047 V	ARIOUS	IVY BALANCED A	0.00	4.74	95.0	0.00	4.50	0.00	4.50
01/11/201	8 #00036009200 V	ARIOUS	IVY BALANCED A	0.00	4.73	95.0	0.00	4.49	0.00	4.49
01/11/201	8 #00036016083 V	ARIOUS	IVY BALANCED A	0.00	9.06	95.0	0.00	8.61	0.00	8.61
01/11/201	8 #00036067833 V	ARIOUS	IVY BALANCED A	0.00	15.91	95.0	0.00	15.11	0.00	15.11
01/11/201	8 #00036075428 V	ARIOUS	IVY BALANCED A	0.00	1.09	95.0	0.00	1.04	0.00	1.04
01/11/201	8 #00036075904 V	ARIOUS	IVY BALANCED A	0.00	6.84	95.0	0.00	6.50	0.00	6.50
01/11/201	8 #00036077188 V	ARIOUS	IVY BALANCED A	0.00	1.35	95.0	0.00	1.28	0.00	1.28
01/11/201	8 #00036077197 L	YKKEN, ARLO	IVY BALANCED A	0.00	0.84	95.0	0.00	0.80	0.00	0.80
01/11/201	8 #00036078347 V	ARIOUS	IVY BALANCED A	0.00	7.07	95.0	0.00	6.72	0.00	6.72
01/11/201	8 #00036087285 V	ARIOUS	IVY BALANCED A	0.00	0.89	95.0	0.00	0.85	0.00	0.85
01/11/201	8 #00036087317 V	ARIOUS	IVY BALANCED A	0.00	1.20	95.0	0.00	1.14	0.00	1.14
01/11/201	8 #00036098588 L	EVEDCRYS	IVY BALANCED A	0.00	1.81	95.0	0.00	1.72	0.00	1.72
01/11/201	8 #00036102027 V	ARIOUS	IVY BALANCED A	0.00	1.77	95.0	0.00	1.68	0.00	1.68
01/11/201	8 #00036110221 V	ARIOUS	IVY BALANCED A	0.00	7.89	95.0	0.00	7.50	0.00	7.50
01/11/201	8 #00036136229 V	ARIOUS	IVY BALANCED A	0.00	8.96	95.0	0.00	8.51	0.00	8.51
01/11/201	8 #00036140579 V	ARIOUS	IVY BALANCED A	0.00	1.00	95.0	0.00	0.95	0.00	0.95
01/11/201	8 #00036140585 V	ARIOUS	IVY BALANCED A	0.00	0.58	95.0	0.00	0.55	0.00	0.55
01/11/201	8 #00036140592 V	ARIOUS	IVY BALANCED A	0.00	1.28	95.0	0.00	1.22	0.00	1.22
01/11/201	8 #00036144075 V	ARIOUS	IVY BALANCED A	0.00	0.48	95.0	0.00	0.46	0.00	0.46
01/11/201	8 #00036144736 V	ARIOUS	IVY BALANCED A	0.00	0.56	95.0	0.00	0.53	0.00	0.53
01/11/201	8 #00036144737 V	ARIOUS	IVY BALANCED A	0.00	0.56	95.0	0.00	0.53	0.00	0.53
01/11/201	8 #00036149117 V	ARIOUS	IVY BALANCED A	0.00	3.14	95.0	0.00	2.98	0.00	2.98
01/11/201	8 #00036149954 S	PENNIDA, L	IVY BALANCED A	0.00	1.32	95.0	0.00	1.25	0.00	1.25
01/11/201	8 #00036149956 V	ARIOUS	IVY BALANCED A	0.00	2.51	95.0	0.00	2.38	0.00	2.38
01/11/201	8 #00036150735 V	ARIOUS	IVY BALANCED A	0.00	0.74	95.0	0.00	0.70	0.00	0.70

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### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 01/11/2018 #00036163019 VARIOUS IVY BALANCED A 0.00 0.48 95.0 0.00 01/11/2018 #00036196256 VARIOUS IVY BALANCED A 0.00 1.66 95.0 0.00 01/11/2018 #00036200166 VARIOUS IVY BALANCED A 0.00 1.66 95.0 0.00 01/11/2018 #00036200166 VARIOUS IVY BALANCED A 0.00 1.06 95.0 0.00 01/11/2018 #00036204717 VARIOUS IVY BALANCED A 0.00 0.78 95.0 0.00 01/11/2018 #00036208319 TAYLOR, NICK IVY BALANCED A 0.00 1.88 95.0 0.00 01/11/2018 #00036208332 TAYLOCALI IVY BALANCED A 0.00 1.88 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.00 0.56 95.0 0.00 01/11/2018 #00036209650 VARIO	NET MISSION	CLEARING CHARGE	BROKER COMMISSION
01/11/2018 #00036163019 VARIOUS IVY BALANCED A 0.00 0.48 95.0 0.00 01/11/2018 #00036175845 VARIOUS IVY BALANCED A 0.00 27.70 95.0 0.00 01/11/2018 #00036196256 VARIOUS IVY BALANCED A 0.00 1.66 95.0 0.00 01/11/2018 #00036200166 VARIOUS IVY BALANCED A 0.00 1.06 95.0 0.00 01/11/2018 #00036204717 VARIOUS IVY BALANCED A 0.00 0.78 95.0 0.00 01/11/2018 #00036208319 TAYLOR, NICK IVY BALANCED A 0.00 1.88 95.0 0.00 01/11/2018 #00036208332 TAYLOCALI IVY BALANCED A 0.00 1.88 95.0 0.00 01/11/2018 #00036208332 TAYLOCALI IVY BALANCED A 0.00 1.88 95.0 0.00			
01/11/2018 #00036175845 VARIOUS IVY BALANCED A 0.00 27.70 95.0 0.00 01/11/2018 #00036196256 VARIOUS IVY BALANCED A 0.00 1.66 95.0 0.00 01/11/2018 #00036200166 VARIOUS IVY BALANCED A 0.00 1.06 95.0 0.00 01/11/2018 #00036204717 VARIOUS IVY BALANCED A 0.00 0.78 95.0 0.00 01/11/2018 #00036208319 TAYLOR, NICK IVY BALANCED A 0.00 1.88 95.0 0.00 01/11/2018 #00036208332 TAYLOCALI IVY BALANCED A 0.00 1.88 95.0 0.00 01/11/2018 #00036208332 TAYLOCALI IVY BALANCED A			
01/11/2018 #00036196256 VARIOUS IVY BALANCED A 0.00 1.66 95.0 0.00 01/11/2018 #00036200166 VARIOUS IVY BALANCED A 0.00 1.06 95.0 0.00 01/11/2018 #00036204717 VARIOUS IVY BALANCED A 0.00 0.78 95.0 0.00 01/11/2018 #00036208319 TAYLOR, NICK IVY BALANCED A 0.00 1.88 95.0 0.00 01/11/2018 #00036208332 TAYLOCALI IVY BALANCED A 0.00 1.88 95.0 0.00	0.46	0.00	0.46
01/11/2018 #00036200166 VARIOUS IVY BALANCED A 0.00 1.06 95.0 0.00 01/11/2018 #00036204717 VARIOUS IVY BALANCED A 0.00 0.78 95.0 0.00 01/11/2018 #00036208319 TAYLOR, NICK IVY BALANCED A 0.00 1.88 95.0 0.00 01/11/2018 #00036208332 TAYLOCALI IVY BALANCED A 0.00 1.88 95.0 0.00	26.32	0.00	26.32
01/11/2018 #00036204717 VARIOUS IVY BALANCED A 0.00 0.78 95.0 0.00 01/11/2018 #00036208319 TAYLOR, NICK IVY BALANCED A 0.00 1.88 95.0 0.00 01/11/2018 #00036208332 TAYLOCALI IVY BALANCED A 0.00 1.88 95.0 0.00	1.58	0.00	1.58
01/11/2018 #00036208319 TAYLOR, NICK IVY BALANCED A 0.00 1.88 95.0 0.00 01/11/2018 #00036208332 TAYLOCALI IVY BALANCED A 0.00 1.88 95.0 0.00	1.01	0.00	1.01
01/11/2018 #00036208332 TAYLOCALI IVY BALANCED A 0.00 1.88 95.0 0.00	0.74	0.00	0.74
	1.79	0.00	1.79
01/11/2018 #00036209650 VARIOUS IVY BALANCED A 0.00 0.56 95.0 0.00	1.79	0.00	1.79
	0.53	0.00	0.53
01/11/2018 #00036209658 VARIOUS IVY BALANCED A 0.00 0.53 95.0 0.00	0.50	0.00	0.50
01/11/2018 #00036254373 VARIOUS IVY BALANCED A 0.00 1.08 95.0 0.00	1.03	0.00	1.03
01/11/2018 #00036256712 VARIOUS IVY BALANCED A 0.00 0.89 95.0 0.00	0.85	0.00	0.85
01/11/2018 #00036265357 VARIOUS IVY BALANCED A 0.00 0.60 95.0 0.00	0.57	0.00	0.57
01/11/2018 #00036265974 VARIOUS IVY BALANCED A 0.00 1.65 95.0 0.00	1.57	0.00	1.57
01/11/2018 #00036266208 VARIOUS IVY BALANCED A 0.00 0.82 95.0 0.00	0.78	0.00	0.78
01/11/2018 #00036271912 VARIOUS IVY BALANCED A 0.00 0.69 95.0 0.00	0.66	0.00	0.66
01/11/2018 #00036272612 VARIOUS IVY BALANCED A 0.00 0.99 95.0 0.00	0.94	0.00	0.94
01/11/2018 #00036285574 VARIOUS IVY BALANCED A 0.00 1.66 95.0 0.00	1.58	0.00	1.58
01/11/2018 #00036302624 VARIOUS IVY BALANCED A 0.00 7.10 95.0 0.00	6.75	0.00	6.75
01/11/2018 #00036325146 TELLI, TERRL IVY BALANCED A 0.00 9.43 95.0 0.00	8.96	0.00	8.96
01/11/2018 #00036331945 VARIOUS IVY BALANCED A 0.00 0.70 95.0 0.00	0.67	0.00	0.67
01/11/2018 #00035580054 VARIOUS IVY BALANCED FUND 0.00 57.21 95.0 0.00	54.35	0.00	54.35
01/11/2018 #00036149737 VARIOUS IVY BALANCED FUND 0.00 2.59 95.0 0.00	2.46	0.00	2.46
01/11/2018 #00036100908 VARIOUS IVY CORE EQUITY FUND CLASS A 0.00 29.21 95.0 0.00	27.75	0.00	27.75
01/11/2018 #00036325628 VARIOUS IVY CORE EQUITY FUND CLASS A 0.00 21.83 95.0 0.00	20.74	0.00	20.74
01/11/2018 #00032366772 VARIOUS IVY CORE EQUITY FUND CLASS A 0.00 1.48 95.0 0.00	1.41	0.00	1.41
01/11/2018 #00032367069 VARIOUS IVY CORE EQUITY FUND CLASS A 0.00 0.73 95.0 0.00	0.69	0.00	0.69
01/11/2018 #00032372557 VARIOUS IVY CORE EQUITY FUND CLASS A 0.00 7.27 95.0 0.00	6.91	0.00	6.91
01/11/2018 #00032377142 VARIOUS IVY CORE EQUITY FUND CLASS A 0.00 1.16 95.0 0.00	1.10	0.00	1.10
01/11/2018 #00032377143 VARIOUS IVY CORE EQUITY FUND CLASS A 0.00 1.62 95.0 0.00	1.54	0.00	1.54
01/11/2018 #00032381218 VARIOUS IVY CORE EQUITY FUND CLASS A 0.00 1.43 95.0 0.00	1.36	0.00	1.36
01/11/2018 #00032381219 VARIOUS IVY CORE EQUITY FUND CLASS A 0.00 1.42 95.0 0.00	1.35	0.00	1.35
01/11/2018 #00032381393 VARIOUS IVY CORE EQUITY FUND CLASS A 0.00 5.11 95.0 0.00	4.85	0.00	4.85
01/11/2018 #00032382061 VARIOUS IVY CORE EQUITY FUND CLASS A 0.00 2.13 95.0 0.00	2.02	0.00	2.02
01/11/2018 #00032382774 VARIOUS IVY CORE EQUITY FUND CLASS A 0.00 4.73 95.0 0.00	4.49		4 40
01/11/2018 #00032387551 ROBERTSON, IVY CORE EQUITY FUND CLASS A 0.00 1.43 95.0 0.00		0.00	4.49
01/11/2018 #00032390050 VARIOUS IVY CORE EQUITY FUND CLASS A 0.00 15.76 95.0 0.00	1.36		1.36

INVESTMENT

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CLEARING

DATE	CLIENT INV	/ESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TE	ANSACTIONS						
PRODUCT (CATEGORY: MUTUAL FUND 12B1	TRAILS							
01/11/2018	8 #00032392205 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	4.04	95.0	0.00	3.84	0.00	3.84
01/11/2018	8 #00032396121 COOPER TRACY,	IVY CORE EQUITY FUND CLASS A	0.00	7.78	95.0	0.00	7.39	0.00	7.39
01/11/2018	8 #00032397882 REEKERS, DONNA	IVY CORE EQUITY FUND CLASS A	0.00	0.77	95.0	0.00	0.73	0.00	0.73
01/11/2018	8 #00032398033 ALLEN, KENNETH	IVY CORE EQUITY FUND CLASS A	0.00	5.69	95.0	0.00	5.41	0.00	5.41
01/11/2018	8 #00032400094 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	3.32	95.0	0.00	3.15	0.00	3.15
01/11/2018	8 #00032401984 ANN D HAVILAND,	IVY CORE EQUITY FUND CLASS A	0.00	8.74	95.0	0.00	8.30	0.00	8.30
01/11/2018	8 #00032405010 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	4.31	95.0	0.00	4.09	0.00	4.09
01/11/2018	8 #00032408419 DEMPSEY, STEVEN	IVY CORE EQUITY FUND CLASS A	0.00	10.60	95.0	0.00	10.07	0.00	10.07
01/11/2018	8 #00032408864 DEMPSEY, DARLA	IVY CORE EQUITY FUND CLASS A	0.00	0.85	95.0	0.00	0.81	0.00	0.81
01/11/2018	8 #00032428411 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	27.83	95.0	0.00	26.44	0.00	26.44
01/11/2018	8 #00035335795 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	21.98	95.0	0.00	20.88	0.00	20.88
01/11/2018	8 #00035346023 ANN D HAVILAND,	IVY CORE EQUITY FUND CLASS A	0.00	7.21	95.0	0.00	6.85	0.00	6.85
01/11/2018	8 #00035376555 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	9.28	95.0	0.00	8.82	0.00	8.82
01/11/2018	8 #00035377025 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	2.84	95.0	0.00	2.70	0.00	2.70
01/11/2018	8 #00035378852 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	6.54	95.0	0.00	6.21	0.00	6.21
01/11/2018	8 #00035381389 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	0.91	95.0	0.00	0.86	0.00	0.86
01/11/2018	8 #00035419793 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	2.56	95.0	0.00	2.43	0.00	2.43
01/11/2018	8 #00035419838 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	2.17	95.0	0.00	2.06	0.00	2.06
01/11/2018	8 #00035461171 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	23.29	95.0	0.00	22.13	0.00	22.13
01/11/2018	8 #00035461175 JOHNSON, DONALD	IVY CORE EQUITY FUND CLASS A	0.00	3.74	95.0	0.00	3.55	0.00	3.55
01/11/2018	8 #00035474804 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	0.73	95.0	0.00	0.69	0.00	0.69
01/11/2018	8 #00035478180 COOPER TRACY,	IVY CORE EQUITY FUND CLASS A	0.00	8.18	95.0	0.00	7.77	0.00	7.77
01/11/2018	8 #00035492585 EMERSON, SHAWN	IVY CORE EQUITY FUND CLASS A	0.00	1.39	95.0	0.00	1.32	0.00	1.32
01/11/2018	8 #00035492588 EMERSON, BECKY	IVY CORE EQUITY FUND CLASS A	0.00	16.47	95.0	0.00	15.65	0.00	15.65
01/11/2018	8 #00035494498 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	24.10	95.0	0.00	22.90	0.00	22.90
01/11/2018	8 #00035500850 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	4.36	95.0	0.00	4.14	0.00	4.14
01/11/2018	8 #00035500866 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	1.47	95.0	0.00	1.40	0.00	1.40
01/11/2018	8 #00035500870 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	1.48	95.0	0.00	1.41	0.00	1.41
01/11/2018	8 #00035502928 ANDREWS, SARA	IVY CORE EQUITY FUND CLASS A	0.00	1.72	95.0	0.00	1.63	0.00	1.63
01/11/2018	8 #00035505447 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	32.14	95.0	0.00	30.53	0.00	30.53
01/11/2018	8 #00035521755 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	1.12	95.0	0.00	1.06	0.00	1.06
01/11/2018	8 #00035522407 HARSH, RAYMOND	IVY CORE EQUITY FUND CLASS A	0.00	1.49	95.0	0.00	1.42	0.00	1.42
01/11/2018	8 #00035522691 GRAVES, HELEN	IVY CORE EQUITY FUND CLASS A	0.00	6.56	95.0	0.00	6.23	0.00	6.23
01/11/2018	8 #00035529678 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	7.33	95.0	0.00	6.96	0.00	6.96
01/11/2018	8 #00035565309 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	4.96	95.0	0.00	4.71	0.00	4.71
01/11/2018	8 #00035572013 VARIOUS	IVY CORE EQUITY FUND CLASS A	0.00	5.85	95.0	0.00	5.56	0.00	5.56

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DATE	CLIENT	INVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			DDOKED W							
			BROKER II	RANSACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND	12B1 TRAILS								
01/11/201	8 #00035589263 JOHNSON, I	OONALD IVY CORE EQUIT	Y FUND CLASS A	0.00	48.18	95.0	0.00	45.77	0.00	45.77
01/11/201	8 #00035606261 VARIOUS	IVY CORE EQUIT	Y FUND CLASS A	0.00	5.30	95.0	0.00	5.04	0.00	5.04
01/11/201	8 #00035637930 VARIOUS	IVY CORE EQUIT	Y FUND CLASS A	0.00	3.98	95.0	0.00	3.78	0.00	3.78
01/11/201	8 #00035637933 VARIOUS	IVY CORE EQUIT	Y FUND CLASS A	0.00	0.68	95.0	0.00	0.65	0.00	0.65
01/11/201	8 #00035746667 VARIOUS	IVY CORE EQUIT	Y FUND CLASS A	0.00	0.61	95.0	0.00	0.58	0.00	0.58
01/11/201	8 #00036009200 VARIOUS	IVY CORE EQUIT	Y FUND CLASS A	0.00	4.74	95.0	0.00	4.50	0.00	4.50
01/11/201	8 #00036110221 VARIOUS	IVY CORE EQUIT	Y FUND CLASS A	0.00	8.36	95.0	0.00	7.94	0.00	7.94
01/11/201	8 #00036149117 VARIOUS	IVY CORE EQUIT	Y FUND CLASS A	0.00	2.30	95.0	0.00	2.19	0.00	2.19
01/11/201	8 #00036331945 VARIOUS	IVY CORE EQUIT	Y FUND CLASS A	0.00	0.72	95.0	0.00	0.68	0.00	0.68
01/11/201	8 #00036100908 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	9.45	95.0	0.00	8.98	0.00	8.98
01/11/201	8 #00032366772 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	4.99	95.0	0.00	4.74	0.00	4.74
01/11/201	8 #00032366773 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	5.12	95.0	0.00	4.86	0.00	4.86
01/11/201	8 #00032374436 RENTSCHLER	R, IVY CUNDILL GL	OBAL VALUE A	0.00	0.87	95.0	0.00	0.83	0.00	0.83
01/11/201	8 #00032381218 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	1.65	95.0	0.00	1.57	0.00	1.57
01/11/201	8 #00032381219 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	1.63	95.0	0.00	1.55	0.00	1.55
01/11/201	8 #00032386705 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	2.43	95.0	0.00	2.31	0.00	2.31
01/11/201	8 #00032390050 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	14.81	95.0	0.00	14.07	0.00	14.07
01/11/201	8 #00032398031 KEMP, DANI	EL IVY CUNDILL GL	OBAL VALUE A	0.00	9.77	95.0	0.00	9.28	0.00	9.28
01/11/201	8 #00032398032 KEMP, MICH	HELE IVY CUNDILL GL	OBAL VALUE A	0.00	9.11	95.0	0.00	8.65	0.00	8.65
01/11/201	8 #00032399652 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	0.74	95.0	0.00	0.70	0.00	0.70
01/11/201	8 #00032400094 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	4.67	95.0	0.00	4.44	0.00	4.44
01/11/201	8 #00032401125 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	0.78	95.0	0.00	0.74	0.00	0.74
01/11/201	8 #00032405010 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	5.60	95.0	0.00	5.32	0.00	5.32
01/11/201	8 #00032405628 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	0.58	95.0	0.00	0.55	0.00	0.55
01/11/201	8 #00032408419 DEMPSEY, S	STEVEN IVY CUNDILL GL	OBAL VALUE A	0.00	7.84	95.0	0.00	7.45	0.00	7.45
01/11/201	8 #00032409103 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	0.86	95.0	0.00	0.82	0.00	0.82
01/11/201	8 #00032418731 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	1.44	95.0	0.00	1.37	0.00	1.37
01/11/201	8 #00032418732 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	1.44	95.0	0.00	1.37	0.00	1.37
01/11/201	8 #00032428411 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	1.73	95.0	0.00	1.64	0.00	1.64
01/11/201	8 #00035335795 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	6.23	95.0	0.00	5.92	0.00	5.92
01/11/201	8 #00035376545 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	1.98	95.0	0.00	1.88	0.00	1.88
01/11/201	8 #00035376555 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	5.23	95.0	0.00	4.97	0.00	4.97
01/11/201	8 #00035376560 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	2.10	95.0	0.00	2.00	0.00	2.00
01/11/201	8 #00035377025 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	3.85	95.0	0.00	3.66	0.00	3.66
01/11/201	8 #00035383226 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	0.59	95.0	0.00	0.56	0.00	0.56
01/11/201	8 #00035409359 VARIOUS	IVY CUNDILL GL	OBAL VALUE A	0.00	0.69	95.0	0.00	0.66	0.00	0.66

INVESTMENT

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CLEARING

DATE	CLIENT IN	VESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TRA	NSACTIONS						
PRODUCT (CATEGORY: MUTUAL FUND 12B1	TRAILS							
01/11/2018	8 #00035419793 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.94	95.0	0.00	0.89	0.00	0.89
01/11/2018	8 #00035419838 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	4.11	95.0	0.00	3.90	0.00	3.90
01/11/2018	8 #00035461171 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	10.37	95.0	0.00	9.85	0.00	9.85
01/11/2018	8 #00035491952 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	2.32	95.0	0.00	2.20	0.00	2.20
01/11/2018	8 #00035492585 EMERSON, SHAWN	IVY CUNDILL GLOBAL VALUE A	0.00	0.73	95.0	0.00	0.69	0.00	0.69
01/11/2018	8 #00035500866 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.61	95.0	0.00	0.58	0.00	0.58
01/11/2018	8 #00035500870 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.62	95.0	0.00	0.59	0.00	0.59
01/11/2018	8 #00035505447 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	37.94	95.0	0.00	36.04	0.00	36.04
01/11/2018	8 #00035522407 HARSH, RAYMOND	IVY CUNDILL GLOBAL VALUE A	0.00	1.40	95.0	0.00	1.33	0.00	1.33
01/11/2018	8 #00035522691 GRAVES, HELEN	IVY CUNDILL GLOBAL VALUE A	0.00	4.65	95.0	0.00	4.42	0.00	4.42
01/11/2018	8 #00035529678 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	2.92	95.0	0.00	2.77	0.00	2.77
01/11/2018	8 #00035565309 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	3.34	95.0	0.00	3.17	0.00	3.17
01/11/2018	8 #00035571940 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	7.77	95.0	0.00	7.38	0.00	7.38
01/11/2018	8 #00035572013 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	2.30	95.0	0.00	2.19	0.00	2.19
01/11/2018	8 #00035572064 KEMP, DANIEL	IVY CUNDILL GLOBAL VALUE A	0.00	71.40	95.0	0.00	67.83	0.00	67.83
01/11/2018	8 #00035572237 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	1.86	95.0	0.00	1.77	0.00	1.77
01/11/2018	8 #00035572251 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	10.23	95.0	0.00	9.72	0.00	9.72
01/11/2018	8 #00035578513 CHRISTOPHER,	IVY CUNDILL GLOBAL VALUE A	0.00	9.10	95.0	0.00	8.65	0.00	8.65
01/11/2018	8 #00035584889 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	3.88	95.0	0.00	3.69	0.00	3.69
01/11/2018	8 #00035614936 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.94	95.0	0.00	0.89	0.00	0.89
01/11/2018	8 #00035619764 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	14.04	95.0	0.00	13.34	0.00	13.34
01/11/2018	8 #00035644796 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	3.32	95.0	0.00	3.15	0.00	3.15
01/11/2018	8 #00035709381 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.01	95.0	0.00	0.01	0.00	0.01
01/11/2018	8 #00035740156 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.61	95.0	0.00	0.58	0.00	0.58
01/11/2018	8 #00035742403 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	3.75	95.0	0.00	3.56	0.00	3.56
01/11/2018	8 #00035746115 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.78	95.0	0.00	0.74	0.00	0.74
01/11/2018	8 #00035746667 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	0.68	95.0	0.00	0.65	0.00	0.65
01/11/2018	8 #00035747495 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	2.15	95.0	0.00	2.04	0.00	2.04
01/11/2018	8 #00036077188 VARIOUS	IVY CUNDILL GLOBAL VALUE A	0.00	1.35	95.0	0.00	1.28	0.00	1.28
01/11/2018	8 #00032398536 VARIOUS	IVY DIVIDEND OPPORTUNITIES CL A	0.00	2.37	95.0	0.00	2.25	0.00	2.25
01/11/2018	8 #00035491952 VARIOUS	IVY DIVIDEND OPPORTUNITIES CL A	0.00	3.28	95.0	0.00	3.12	0.00	3.12
01/11/2018	8 #00035511829 VARIOUS	IVY DIVIDEND OPPORTUNITIES CL A	0.00	0.80	95.0	0.00	0.76	0.00	0.76
01/11/2018	8 #00035888536 VARIOUS	IVY DIVIDEND OPPORTUNITIES CL A	0.00	2.80	95.0	0.00	2.66	0.00	2.66
01/11/2018	8 #00036100908 VARIOUS	IVY ENERGY A	0.00	1.79	95.0	0.00	1.70	0.00	1.70
01/11/2018	8 #00036163036 VARIOUS	IVY ENERGY A	0.00	2.95	95.0	0.00	2.80	0.00	2.80
01/11/2018	8 #00036200421 VARIOUS	IVY ENERGY A	0.00	14.24	95.0	0.00	13.53	0.00	13.53

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DATE	CLIENT	NVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TR	ANSACTIONS						
RODUCT (CATEGORY: MUTUAL FUND 12B1	TRAILS							
1/11/2018	3 #00036325628 VARIOUS	IVY ENERGY A	0.00	10.54	95.0	0.00	10.01	0.00	10.01
1/11/2018	3 #00032381218 VARIOUS	IVY ENERGY A	0.00	0.66	95.0	0.00	0.63	0.00	0.63
1/11/2018	3 #00032381219 VARIOUS	IVY ENERGY A	0.00	0.65	95.0	0.00	0.62	0.00	0.62
1/11/2018	3 #00032390050 VARIOUS	IVY ENERGY A	0.00	2.25	95.0	0.00	2.14	0.00	2.14
1/11/2018	3 #00032396121 COOPER TRACY,	IVY ENERGY A	0.00	2.63	95.0	0.00	2.50	0.00	2.50
1/11/2018	3 #00032400094 VARIOUS	IVY ENERGY A	0.00	5.07	95.0	0.00	4.82	0.00	4.82
1/11/2018	3 #00032401984 ANN D HAVILAND	, IVY ENERGY A	0.00	3.81	95.0	0.00	3.62	0.00	3.62
1/11/2018	3 #00032409103 VARIOUS	IVY ENERGY A	0.00	1.76	95.0	0.00	1.67	0.00	1.67
1/11/2018	3 #00035376555 VARIOUS	IVY ENERGY A	0.00	3.59	95.0	0.00	3.41	0.00	3.41
1/11/2018	3 #00035377025 VARIOUS	IVY ENERGY A	0.00	1.29	95.0	0.00	1.23	0.00	1.23
1/11/2018	3 #00035381389 VARIOUS	IVY ENERGY A	0.00	0.60	95.0	0.00	0.57	0.00	0.57
1/11/2018	3 #00035409359 VARIOUS	IVY ENERGY A	0.00	0.44	95.0	0.00	0.42	0.00	0.42
1/11/2018	3 #00035521755 VARIOUS	IVY ENERGY A	0.00	0.65	95.0	0.00	0.62	0.00	0.62
1/11/2018	3 #00035522407 HARSH, RAYMOND	IVY ENERGY A	0.00	4.11	95.0	0.00	3.90	0.00	3.90
1/11/2018	3 #00035522691 GRAVES, HELEN	IVY ENERGY A	0.00	5.73	95.0	0.00	5.44	0.00	5.44
1/11/2018	3 #00035747495 VARIOUS	IVY ENERGY A	0.00	1.45	95.0	0.00	1.38	0.00	1.38
1/11/2018	8 #00035895678 VARIOUS	IVY ENERGY A	0.00	17.91	95.0	0.00	17.01	0.00	17.01
1/11/2018	3 #00035920047 VARIOUS	IVY ENERGY A	0.00	1.27	95.0	0.00	1.21	0.00	1.21
1/11/2018	3 #00036009200 VARIOUS	IVY ENERGY A	0.00	2.32	95.0	0.00	2.20	0.00	2.20
1/11/2018	3 #00036087285 VARIOUS	IVY ENERGY A	0.00	0.75	95.0	0.00	0.71	0.00	0.71
1/11/2018	3 #00036149117 VARIOUS	IVY ENERGY A	0.00	1.73	95.0	0.00	1.64	0.00	1.64
1/11/2018	3 #00036163019 VARIOUS	IVY ENERGY A	0.00	8.63	95.0	0.00	8.20	0.00	8.20
1/11/2018	8 #00036175845 VARIOUS	IVY ENERGY A	0.00	6.18	95.0	0.00	5.87	0.00	5.87
1/11/2018	3 #00036254373 VARIOUS	IVY ENERGY A	0.00	1.08	95.0	0.00	1.03	0.00	1.03
1/11/2018	3 #00036265357 VARIOUS	IVY ENERGY A	0.00	1.54	95.0	0.00	1.46	0.00	1.46
1/11/2018	3 #00036265974 VARIOUS	IVY ENERGY A	0.00	1.59	95.0	0.00	1.51	0.00	1.51
1/11/2018	3 #00036272612 VARIOUS	IVY ENERGY A	0.00	0.85	95.0	0.00	0.81	0.00	0.81
1/11/2018	8 #00036285574 VARIOUS	IVY ENERGY A	0.00	1.44	95.0	0.00	1.37	0.00	1.37
1/11/2018	3 #00036302624 VARIOUS	IVY ENERGY A	0.00	5.33	95.0	0.00	5.06	0.00	5.06
1/11/2018	3 #00036319206 VARIOUS	IVY ENERGY A	0.00	2.28	95.0	0.00	2.17	0.00	2.17
1/11/2018	3 #00036325146 TELLI, TERRL	IVY ENERGY A	0.00	19.85	95.0	0.00	18.86	0.00	18.86
1/11/2018	3 #00036331945 VARIOUS	IVY ENERGY A	0.00	0.79	95.0	0.00	0.75	0.00	0.75
1/11/2018	8 #00036343490 O'BRIEN,	IVY ENERGY A	0.00	0.03	95.0	0.00	0.03	0.00	0.03
1/11/2018	8 #00036100908 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	9.44	95.0	0.00	8.97	0.00	8.97
1/11/2018	8 #00036102550 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.17	95.0	0.00	1.11	0.00	1.11
	3 #00036143432 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.90	95.0	0.00	1.81	0.00	1.81

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DATE	CLIENT	NVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TRA	NG A C'TT ON G						
	aa.	1	DROKER TRA	NDACTIOND						
	CATEGORY: MUTUAL FUND 12B			0.00	2.15	05.0	0.00	0.00	0.00	0.00
	8 #00036150657 JOHNSON, JOSEF			0.00	3.15	95.0	0.00	2.99	0.00	2.99
	8 #00036163036 VARIOUS	IVY EUROPEAN OPPORTUI		0.00	8.85	95.0	0.00	8.41	0.00	8.41
	8 #00036171938 VARIOUS	IVY EUROPEAN OPPORTUI		0.00	1.04	95.0	0.00	0.99	0.00	0.99
	8 #00036185398 VARIOUS	IVY EUROPEAN OPPORTUI		0.00	0.52	95.0	0.00	0.49	0.00	0.49
	8 #00036187511 BEANEDALEF	IVY EUROPEAN OPPORTUI		0.00	2.01	95.0	0.00	1.91	0.00	1.91
	8 #00036191000 VARIOUS	IVY EUROPEAN OPPORTUI		0.00	8.35	95.0	0.00	7.93	0.00	7.93
	8 #00036200421 VARIOUS	IVY EUROPEAN OPPORTUI		0.00	30.30	95.0	0.00	28.79	0.00	28.79
	8 #00032367069 VARIOUS	IVY EUROPEAN OPPORTUI		0.00	0.80	95.0	0.00	0.76	0.00	0.76
	8 #00032386705 VARIOUS	IVY EUROPEAN OPPORTUI		0.00	8.28	95.0	0.00	7.87	0.00	7.87
	8 #00032387551 ROBERTSON,	IVY EUROPEAN OPPORTUI		0.00	0.62	95.0	0.00	0.59	0.00	0.59
	8 #00032390050 VARIOUS	IVY EUROPEAN OPPORTUI		0.00	1.80	95.0	0.00	1.71	0.00	1.71
	8 #00032392205 VARIOUS	IVY EUROPEAN OPPORTUI		0.00	2.08	95.0	0.00	1.98	0.00	1.98
	8 #00032398031 KEMP, DANIEL	IVY EUROPEAN OPPORTUI		0.00	6.58	95.0	0.00	6.25	0.00	6.25
	8 #00032398032 KEMP, MICHELE	IVY EUROPEAN OPPORTU		0.00	6.16	95.0	0.00	5.85	0.00	5.85
	8 #00032398536 VARIOUS	IVY EUROPEAN OPPORTU		0.00	0.78	95.0	0.00	0.74	0.00	0.74
01/11/201	8 #00032400094 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	7.04	95.0	0.00	6.69	0.00	6.69
01/11/201	8 #00032401984 ANN D HAVILANI), IVY EUROPEAN OPPORTUI	NITIES A	0.00	7.28	95.0	0.00	6.92	0.00	6.92
01/11/201	8 #00032409103 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	1.95	95.0	0.00	1.85	0.00	1.85
01/11/201	8 #00032418293 SMOOK, MARY	IVY EUROPEAN OPPORTU	NITIES A	0.00	1.71	95.0	0.00	1.62	0.00	1.62
01/11/201	8 #00032418731 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	1.62	95.0	0.00	1.54	0.00	1.54
01/11/201	8 #00032418732 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	2.52	95.0	0.00	2.39	0.00	2.39
01/11/201	8 #00032423005 SMOOK, CURTIS	IVY EUROPEAN OPPORTU	NITIES A	0.00	4.58	95.0	0.00	4.35	0.00	4.35
01/11/201	8 #00032428411 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	13.88	95.0	0.00	13.19	0.00	13.19
01/11/201	8 #00032435738 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	8.25	95.0	0.00	7.84	0.00	7.84
01/11/201	8 #00035335795 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	5.38	95.0	0.00	5.11	0.00	5.11
01/11/201	8 #00035376545 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	3.23	95.0	0.00	3.07	0.00	3.07
01/11/201	8 #00035376555 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	6.92	95.0	0.00	6.57	0.00	6.57
01/11/201	8 #00035376560 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	6.56	95.0	0.00	6.23	0.00	6.23
01/11/201	8 #00035377025 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	5.34	95.0	0.00	5.07	0.00	5.07
01/11/201	8 #00035381389 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	1.84	95.0	0.00	1.75	0.00	1.75
01/11/201	8 #00035402325 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	0.60	95.0	0.00	0.57	0.00	0.57
01/11/201	8 #00035419793 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	2.02	95.0	0.00	1.92	0.00	1.92
01/11/201	8 #00035419838 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	1.44	95.0	0.00	1.37	0.00	1.37
01/11/201	8 #00035461171 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	9.49	95.0	0.00	9.02	0.00	9.02
01/11/201	8 #00035461175 JOHNSON, DONAL	D IVY EUROPEAN OPPORTUI	NITIES A	0.00	6.85	95.0	0.00	6.51	0.00	6.51
01/11/201	8 #00035491952 VARIOUS	IVY EUROPEAN OPPORTU	NITIES A	0.00	3.81	95.0	0.00	3.62	0.00	3.62

DATE	CLIENT I	NVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		DD044D #							
		BROKER TI	RANSACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND 12B	1 TRAILS							
01/11/201	.8 #00035492585 EMERSON, SHAWN	N IVY EUROPEAN OPPORTUNITIES A	0.00	2.21	95.0	0.00	2.10	0.00	2.10
01/11/201	.8 #00035492588 EMERSON, BECKY	Y IVY EUROPEAN OPPORTUNITIES A	0.00	3.59	95.0	0.00	3.41	0.00	3.41
01/11/201	.8 #00035494498 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	7.38	95.0	0.00	7.01	0.00	7.01
01/11/201	.8 #00035495624 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.91	95.0	0.00	1.81	0.00	1.81
01/11/201	.8 #00035500866 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.40	95.0	0.00	1.33	0.00	1.33
01/11/201	.8 #00035500870 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.41	95.0	0.00	1.34	0.00	1.34
01/11/201	.8 #00035511829 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.51	95.0	0.00	0.48	0.00	0.48
01/11/201	.8 #00035521755 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.52	95.0	0.00	0.49	0.00	0.49
01/11/201	8 #00035522691 GRAVES, HELEN	IVY EUROPEAN OPPORTUNITIES A	0.00	5.60	95.0	0.00	5.32	0.00	5.32
01/11/201	.8 #00035564881 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	3.44	95.0	0.00	3.27	0.00	3.27
01/11/201	.8 #00035565309 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.82	95.0	0.00	1.73	0.00	1.73
01/11/201	.8 #00035569396 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.99	95.0	0.00	0.94	0.00	0.94
01/11/201	.8 #00035571940 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.85	95.0	0.00	0.81	0.00	0.81
01/11/201	.8 #00035572251 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.57	95.0	0.00	0.54	0.00	0.54
01/11/201	.8 #00035578513 CHRISTOPHER,	IVY EUROPEAN OPPORTUNITIES A	0.00	10.40	95.0	0.00	9.88	0.00	9.88
01/11/201	.8 #00035589263 JOHNSON, DONAL	LD IVY EUROPEAN OPPORTUNITIES A	0.00	13.16	95.0	0.00	12.50	0.00	12.50
01/11/201	.8 #00035593601 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.17	95.0	0.00	1.11	0.00	1.11
01/11/201	.8 #00035593604 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	2.16	95.0	0.00	2.05	0.00	2.05
01/11/201	.8 #00035601977 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	4.03	95.0	0.00	3.83	0.00	3.83
01/11/201	.8 #00035606261 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	2.14	95.0	0.00	2.03	0.00	2.03
01/11/201	.8 #00035614936 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.89	95.0	0.00	0.85	0.00	0.85
01/11/201	.8 #00035619764 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	7.65	95.0	0.00	7.27	0.00	7.27
01/11/201	.8 #00035633441 KOCOUREK,	IVY EUROPEAN OPPORTUNITIES A	0.00	0.75	95.0	0.00	0.71	0.00	0.71
01/11/201	.8 #00035637930 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	2.18	95.0	0.00	2.07	0.00	2.07
01/11/201	8 #00035637933 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.12	95.0	0.00	1.06	0.00	1.06
01/11/201	8 #00035644796 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	3.85	95.0	0.00	3.66	0.00	3.66
01/11/201	.8 #00035649857 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.66	95.0	0.00	1.58	0.00	1.58
01/11/201	.8 #00035709381 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.27	95.0	0.00	1.21	0.00	1.21
01/11/201	.8 #00035740156 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.68	95.0	0.00	0.65	0.00	0.65
01/11/201	.8 #00035742403 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	3.13	95.0	0.00	2.97	0.00	2.97
01/11/201	.8 #00035746115 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.81	95.0	0.00	0.77	0.00	0.77
01/11/201	.8 #00035746667 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.69	95.0	0.00	0.66	0.00	0.66
01/11/201	.8 #00035747495 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	2.16	95.0	0.00	2.05	0.00	2.05
01/11/201	.8 #00035762704 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	3.97	95.0	0.00	3.77	0.00	3.77
01/11/201	.8 #00035799004 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	3.72	95.0	0.00	3.53	0.00	3.53
01/11/201	.8 #00035823816 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.36	95.0	0.00	1.29	0.00	1.29

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INVESTMENT

GROSS

NET

CLEARING

DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKI	ER TRANSACTIONS						
PRODUCT (CATEGORY: MUTUAL FUND	12B1 TRAILS	_						
)1/11/2018	8 #00035888536 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.09	95.0	0.00	1.04	0.00	1.04
01/11/2018	8 #00035943714 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.39	95.0	0.00	1.32	0.00	1.32
01/11/2018	8 #00035959118 (TOD), AR	THY IVY EUROPEAN OPPORTUNITIES A	0.00	2.07	95.0	0.00	1.97	0.00	1.97
1/11/2018	8 #00036009200 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	2.36	95.0	0.00	2.24	0.00	2.24
1/11/2018	8 #00036067833 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	9.09	95.0	0.00	8.64	0.00	8.64
1/11/2018	8 #00036075428 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.40	95.0	0.00	1.33	0.00	1.33
)1/11/2018	8 #00036075904 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	5.60	95.0	0.00	5.32	0.00	5.32
1/11/2018	8 #00036077188 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.40	95.0	0.00	1.33	0.00	1.33
1/11/2018	8 #00036140579 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.96	95.0	0.00	0.91	0.00	0.91
1/11/2018	8 #00036140585 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.56	95.0	0.00	0.53	0.00	0.53
1/11/2018	8 #00036140592 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.54	95.0	0.00	1.46	0.00	1.46
1/11/2018	8 #00036175845 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	12.92	95.0	0.00	12.27	0.00	12.27
1/11/2018	8 #00036196256 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.75	95.0	0.00	1.66	0.00	1.66
1/11/2018	8 #00036204717 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.81	95.0	0.00	0.77	0.00	0.77
1/11/2018	8 #00036208319 TAYLOR, N	ICK IVY EUROPEAN OPPORTUNITIES A	0.00	0.74	95.0	0.00	0.70	0.00	0.70
1/11/2018	8 #00036208332 TAYLOCALI	IVY EUROPEAN OPPORTUNITIES A	0.00	0.74	95.0	0.00	0.70	0.00	0.70
1/11/2018	8 #00036209658 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.54	95.0	0.00	0.51	0.00	0.51
1/11/2018	8 #00036256712 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.79	95.0	0.00	0.75	0.00	0.75
1/11/2018	8 #00036265357 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.33	95.0	0.00	1.26	0.00	1.26
1/11/2018	8 #00036265974 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.81	95.0	0.00	1.72	0.00	1.72
01/11/2018	8 #00036266208 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.34	95.0	0.00	1.27	0.00	1.27
01/11/2018	8 #00036272612 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	1.66	95.0	0.00	1.58	0.00	1.58
01/11/2018	8 #00036285574 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	2.81	95.0	0.00	2.67	0.00	2.67
01/11/2018	8 #00036319206 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	2.59	95.0	0.00	2.46	0.00	2.46
1/11/2018	8 #00036325146 TELLI, TE	RRL IVY EUROPEAN OPPORTUNITIES A	0.00	16.67	95.0	0.00	15.84	0.00	15.84
1/11/2018	8 #00036328297 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.40	95.0	0.00	0.38	0.00	0.38
1/11/2018	8 #00036331945 VARIOUS	IVY EUROPEAN OPPORTUNITIES A	0.00	0.71	95.0	0.00	0.67	0.00	0.67
1/11/2018	8 #00036027743 TRAUT, TO	DDD IVY FD BD FD CL A	0.00	1.11	95.0	0.00	1.05	0.00	1.05
1/11/2018	8 #00036100908 VARIOUS	IVY FD BD FD CL A	0.00	21.83	95.0	0.00	20.74	0.00	20.74
1/11/2018	8 #00036200421 VARIOUS	IVY FD BD FD CL A	0.00	13.10	95.0	0.00	12.45	0.00	12.45
1/11/2018	8 #00032372557 VARIOUS	IVY FD BD FD CL A	0.00	11.82	95.0	0.00	11.23	0.00	11.23
1/11/2018	8 #00032375891 SPENNY, D	DAVID IVY FD BD FD CL A	0.00	0.58	95.0	0.00	0.55	0.00	0.55
1/11/2018	8 #00032381218 VARIOUS	IVY FD BD FD CL A	0.00	4.12	95.0	0.00	3.91	0.00	3.91
1/11/2018	8 #00032381219 VARIOUS	IVY FD BD FD CL A	0.00	4.05	95.0	0.00	3.85	0.00	3.85
1/11/2018	8 #00032381393 VARIOUS	IVY FD BD FD CL A	0.00	3.55	95.0	0.00	3.37	0.00	3.37
1/11/2018	8 #00032382061 VARIOUS	IVY FD BD FD CL A	0.00	0.67	95.0	0.00	0.64	0.00	0.64

INVESTMENT

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DATE	CLIENT IN	VESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
			BROKER TRANSACTIONS						
PRODUCT C	ATEGORY: MUTUAL FUND 12B1	TRAILS							
1/11/2018	#00032382774 VARIOUS	IVY FD BD FD CL A	0.00	1.57	95.0	0.00	1.49	0.00	1.49
1/11/2018	#00032385108 PETERSON,	IVY FD BD FD CL A	0.00	2.80	95.0	0.00	2.66	0.00	2.66
1/11/2018	#00032387551 ROBERTSON,	IVY FD BD FD CL A	0.00	0.52	95.0	0.00	0.49	0.00	0.49
1/11/2018	#00032390050 VARIOUS	IVY FD BD FD CL A	0.00	19.85	95.0	0.00	18.86	0.00	18.86
1/11/2018	#00032398031 KEMP, DANIEL	IVY FD BD FD CL A	0.00	10.14	95.0	0.00	9.63	0.00	9.63
1/11/2018	#00032398032 KEMP, MICHELE	IVY FD BD FD CL A	0.00	9.43	95.0	0.00	8.96	0.00	8.96
1/11/2018	#00032398033 ALLEN, KENNETH	IVY FD BD FD CL A	0.00	4.64	95.0	0.00	4.41	0.00	4.41
1/11/2018	#00032398536 VARIOUS	IVY FD BD FD CL A	0.00	0.43	95.0	0.00	0.41	0.00	0.41
1/11/2018	#00032401984 ANN D HAVILAND,	IVY FD BD FD CL A	0.00	5.52	95.0	0.00	5.24	0.00	5.24
1/11/2018	#00032405010 VARIOUS	IVY FD BD FD CL A	0.00	4.72	95.0	0.00	4.48	0.00	4.48
1/11/2018	#00032409103 VARIOUS	IVY FD BD FD CL A	0.00	2.69	95.0	0.00	2.56	0.00	2.56
1/11/2018	#00032410240 VARIOUS	IVY FD BD FD CL A	0.00	1.07	95.0	0.00	1.02	0.00	1.02
1/11/2018	#00032415136 VARIOUS	IVY FD BD FD CL A	0.00	0.69	95.0	0.00	0.66	0.00	0.66
1/11/2018	#00032418731 VARIOUS	IVY FD BD FD CL A	0.00	1.43	95.0	0.00	1.36	0.00	1.36
1/11/2018	#00032418732 VARIOUS	IVY FD BD FD CL A	0.00	1.99	95.0	0.00	1.89	0.00	1.89
1/11/2018	#00032428411 VARIOUS	IVY FD BD FD CL A	0.00	16.60	95.0	0.00	15.77	0.00	15.77
1/11/2018	#00032435738 VARIOUS	IVY FD BD FD CL A	0.00	0.64	95.0	0.00	0.61	0.00	0.61
1/11/2018	#00035335795 VARIOUS	IVY FD BD FD CL A	0.00	2.92	95.0	0.00	2.77	0.00	2.77
1/11/2018	#00035346023 ANN D HAVILAND,	IVY FD BD FD CL A	0.00	11.63	95.0	0.00	11.05	0.00	11.05
1/11/2018	#00035376545 VARIOUS	IVY FD BD FD CL A	0.00	3.55	95.0	0.00	3.37	0.00	3.37
1/11/2018	#00035376555 VARIOUS	IVY FD BD FD CL A	0.00	5.27	95.0	0.00	5.01	0.00	5.01
1/11/2018	#00035376560 VARIOUS	IVY FD BD FD CL A	0.00	3.93	95.0	0.00	3.73	0.00	3.73
1/11/2018	#00035378852 VARIOUS	IVY FD BD FD CL A	0.00	0.57	95.0	0.00	0.54	0.00	0.54
1/11/2018	#00035402325 VARIOUS	IVY FD BD FD CL A	0.00	2.27	95.0	0.00	2.16	0.00	2.16
1/11/2018	#00035409359 VARIOUS	IVY FD BD FD CL A	0.00	0.77	95.0	0.00	0.73	0.00	0.73
1/11/2018	#00035422292 VARIOUS	IVY FD BD FD CL A	0.00	4.28	95.0	0.00	4.07	0.00	4.07
1/11/2018	#00035461171 VARIOUS	IVY FD BD FD CL A	0.00	23.98	95.0	0.00	22.78	0.00	22.78
1/11/2018	#00035461175 JOHNSON, DONALD	IVY FD BD FD CL A	0.00	8.96	95.0	0.00	8.51	0.00	8.51
1/11/2018	#00035478180 COOPER TRACY,	IVY FD BD FD CL A	0.00	13.43	95.0	0.00	12.76	0.00	12.76
	#00035494498 VARIOUS	IVY FD BD FD CL A	0.00	7.19	95.0	0.00	6.83	0.00	6.83
	#00035500850 VARIOUS	IVY FD BD FD CL A	0.00	5.21	95.0	0.00	4.95	0.00	4.95
1/11/2018	#00035521755 VARIOUS	IVY FD BD FD CL A	0.00	0.83	95.0	0.00	0.79	0.00	0.79
	#00035522407 HARSH, RAYMOND	IVY FD BD FD CL A	0.00	12.01	95.0	0.00	11.41	0.00	11.41
	#00035522691 GRAVES, HELEN	IVY FD BD FD CL A	0.00	9.78	95.0	0.00	9.29	0.00	9.29
	#00035569396 VARIOUS	IVY FD BD FD CL A	0.00	1.54	95.0	0.00	1.46		1.46
	#00035571940 VARIOUS	IVY FD BD FD CL A	0.00	7.18	95.0	0.00	6.82		6.82

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DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
			BROKER TRANSACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND 1:	2B1 TRAILS							
01/11/201	8 #00035572064 KEMP, DANIEI	IVY FD BD FD CL A	0.00	35.83	95.0	0.00	34.04	0.00	34.04
01/11/201	8 #00035572237 VARIOUS	IVY FD BD FD CL A	0.00	1.50	95.0	0.00	1.43	0.00	1.43
01/11/2018	8 #00035572251 VARIOUS	IVY FD BD FD CL A	0.00	4.94	95.0	0.00	4.69	0.00	4.69
01/11/201	8 #00035578513 CHRISTOPHER,	IVY FD BD FD CL A	0.00	6.81	95.0	0.00	6.47	0.00	6.47
01/11/201	8 #00035580054 VARIOUS	IVY FD BD FD CL A	0.00	0.94	95.0	0.00	0.89	0.00	0.89
01/11/201	8 #00035584889 VARIOUS	IVY FD BD FD CL A	0.00	5.26	95.0	0.00	5.00	0.00	5.00
01/11/201	8 #00035589263 JOHNSON, DON	NALD IVY FD BD FD CL A	0.00	11.26	95.0	0.00	10.70	0.00	10.70
01/11/201	8 #00035593601 VARIOUS	IVY FD BD FD CL A	0.00	0.50	95.0	0.00	0.48	0.00	0.48
01/11/201	8 #00035593604 VARIOUS	IVY FD BD FD CL A	0.00	2.04	95.0	0.00	1.94	0.00	1.94
01/11/201	8 #00035606261 VARIOUS	IVY FD BD FD CL A	0.00	5.32	95.0	0.00	5.05	0.00	5.05
01/11/201	8 #00035644796 VARIOUS	IVY FD BD FD CL A	0.00	1.20	95.0	0.00	1.14	0.00	1.14
01/11/201	8 #00035649857 VARIOUS	IVY FD BD FD CL A	0.00	2.06	95.0	0.00	1.96	0.00	1.96
01/11/201	8 #00035709381 VARIOUS	IVY FD BD FD CL A	0.00	0.58	95.0	0.00	0.55	0.00	0.55
01/11/201	8 #00035742403 VARIOUS	IVY FD BD FD CL A	0.00	2.74	95.0	0.00	2.60	0.00	2.60
01/11/201	8 #00035746667 VARIOUS	IVY FD BD FD CL A	0.00	0.66	95.0	0.00	0.63	0.00	0.63
01/11/201	8 #00035799004 VARIOUS	IVY FD BD FD CL A	0.00	4.86	95.0	0.00	4.62	0.00	4.62
01/11/201	8 #00035826948 VARIOUS	IVY FD BD FD CL A	0.00	2.39	95.0	0.00	2.27	0.00	2.27
01/11/201	8 #00035836837 VARIOUS	IVY FD BD FD CL A	0.00	1.26	95.0	0.00	1.20	0.00	1.20
01/11/201	8 #00035888536 VARIOUS	IVY FD BD FD CL A	0.00	1.22	95.0	0.00	1.16	0.00	1.16
01/11/201	8 #00035895678 VARIOUS	IVY FD BD FD CL A	0.00	41.01	95.0	0.00	38.96	0.00	38.96
01/11/201	8 #00035920047 VARIOUS	IVY FD BD FD CL A	0.00	5.22	95.0	0.00	4.96	0.00	4.96
01/11/201	8 #00035943714 VARIOUS	IVY FD BD FD CL A	0.00	0.96	95.0	0.00	0.91	0.00	0.91
01/11/201	8 #00035959118 (TOD), ARTHY	Y IVY FD BD FD CL A	0.00	1.53	95.0	0.00	1.45	0.00	1.45
01/11/201	8 #00036009200 VARIOUS	IVY FD BD FD CL A	0.00	4.67	95.0	0.00	4.44	0.00	4.44
01/11/201	8 #00036067833 VARIOUS	IVY FD BD FD CL A	0.00	10.48	95.0	0.00	9.96	0.00	9.96
01/11/201	8 #00036075428 VARIOUS	IVY FD BD FD CL A	0.00	0.54	95.0	0.00	0.51	0.00	0.51
01/11/201	8 #00036075904 VARIOUS	IVY FD BD FD CL A	0.00	3.01	95.0	0.00	2.86	0.00	2.86
01/11/201	8 #00036077188 VARIOUS	IVY FD BD FD CL A	0.00	4.31	95.0	0.00	4.09	0.00	4.09
01/11/201	8 #00036264275 WIPFCAROK	IVY FD BD FD CL A	0.00	1.26	95.0	0.00	1.20	0.00	1.20
01/11/201	8 #00036265357 VARIOUS	IVY FD BD FD CL A	0.00	0.56	95.0	0.00	0.53	0.00	0.53
01/11/201	8 #00036266208 VARIOUS	IVY FD BD FD CL A	0.00	0.77	95.0	0.00	0.73	0.00	0.73
01/11/201	8 #00036272612 VARIOUS	IVY FD BD FD CL A	0.00	0.93	95.0	0.00	0.88	0.00	0.88
01/11/201	8 #00036285574 VARIOUS	IVY FD BD FD CL A	0.00	1.55	95.0	0.00	1.47	0.00	1.47
01/11/201	8 #00036325146 TELLI, TERRI	IVY FD BD FD CL A	0.00	18.41	95.0	0.00	17.49	0.00	17.49
01/11/201	8 #00036328297 VARIOUS	IVY FD BD FD CL A	0.00	0.38	95.0	0.00	0.36	0.00	0.36
01/11/2018	8 #00036331945 VARIOUS	IVY FD BD FD CL A	0.00	0.67	95.0	0.00	0.64	0.00	0.64

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DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BRO	KER TRANSACTIONS						
RODUCT	CATEGORY: MUTUAL FUND	12B1 TRAILS							
1/11/2018	8 #00032381218 VARIOUS	IVY FD VALUE FD CL A	0.00	0.81	95.0	0.00	0.77	0.00	0.77
1/11/2018	8 #00032381219 VARIOUS	IVY FD VALUE FD CL A	0.00	0.79	95.0	0.00	0.75	0.00	0.75
1/11/2018	8 #00035521755 VARIOUS	IVY FD VALUE FD CL A	0.00	1.04	95.0	0.00	0.99	0.00	0.99
1/11/2018	8 #00035522407 HARSH, RAY	MOND IVY FD VALUE FD CL A	0.00	6.93	95.0	0.00	6.58	0.00	6.58
1/11/2018	8 #00035522691 GRAVES, HE	LEN IVY FD VALUE FD CL A	0.00	4.47	95.0	0.00	4.25	0.00	4.25
1/11/2018	8 #00035564881 VARIOUS	IVY FD VALUE FD CL A	0.00	8.00	95.0	0.00	7.60	0.00	7.60
1/11/2018	8 #00035614936 VARIOUS	IVY FD VALUE FD CL A	0.00	1.36	95.0	0.00	1.29	0.00	1.29
1/11/2018	8 #00035644796 VARIOUS	IVY FD VALUE FD CL A	0.00	7.05	95.0	0.00	6.70	0.00	6.70
1/11/2018	8 #00035649857 VARIOUS	IVY FD VALUE FD CL A	0.00	2.75	95.0	0.00	2.61	0.00	2.61
1/11/2018	8 #00035742403 VARIOUS	IVY FD VALUE FD CL A	0.00	7.60	95.0	0.00	7.22	0.00	7.22
1/11/2018	8 #00035998467 GORHAM,	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	37.04	95.0	0.00	35.19	0.00	35.19
1/11/2018	8 #00036022546 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	1.12	95.0	0.00	1.06	0.00	1.06
1/11/2018	8 #00036022549 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	2.26	95.0	0.00	2.15	0.00	2.15
1/11/2018	8 #00036027743 TRAUT, TOD	DD IVY FDS INC ASSET STRATEGY	FD CL A 0.00	2.56	95.0	0.00	2.43	0.00	2.43
1/11/2018	8 #00036028948 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	1.76	95.0	0.00	1.67	0.00	1.67
1/11/2018	8 #00036029241 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	1.63	95.0	0.00	1.55	0.00	1.55
1/11/2018	8 #00036100908 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	14.98	95.0	0.00	14.23	0.00	14.23
1/11/2018	8 #00036102550 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	3.38	95.0	0.00	3.21	0.00	3.21
1/11/2018	8 #00036143432 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	2.70	95.0	0.00	2.57	0.00	2.57
1/11/2018	8 #00036143435 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	1.11	95.0	0.00	1.05	0.00	1.05
1/11/2018	8 #00036143438 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	0.79	95.0	0.00	0.75	0.00	0.75
1/11/2018	8 #00036150657 JOHNSON, J	OSEPH IVY FDS INC ASSET STRATEGY	FD CL A 0.00	7.20	95.0	0.00	6.84	0.00	6.84
1/11/2018	8 #00036150662 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	0.57	95.0	0.00	0.54	0.00	0.54
1/11/2018	8 #00036150731 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	0.68	95.0	0.00	0.65	0.00	0.65
1/11/2018	8 #00036163036 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	15.73	95.0	0.00	14.94	0.00	14.94
1/11/2018	8 #00036171938 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	1.30	95.0	0.00	1.24	0.00	1.24
1/11/2018	8 #00036185398 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	0.52	95.0	0.00	0.49	0.00	0.49
1/11/2018	8 #00036185416 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	0.51	95.0	0.00	0.48	0.00	0.48
1/11/2018	8 #00036187511 BEANEDALEF	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	2.51	95.0	0.00	2.38	0.00	2.38
1/11/2018	8 #00036191000 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	6.93	95.0	0.00	6.58	0.00	6.58
1/11/2018	8 #00036200421 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	27.93	95.0	0.00	26.53	0.00	26.53
1/11/2018	8 #00036200467 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	0.52	95.0	0.00	0.49	0.00	0.49
1/11/2018	8 #00036259618 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	0.88	95.0	0.00	0.84	0.00	0.84
1/11/2018	8 #00036325628 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	21.19	95.0	0.00	20.13	0.00	20.13
1/11/2018	8 #00032366772 VARIOUS	IVY FDS INC ASSET STRATEGY	FD CL A 0.00	1.96	95.0	0.00	1.86	0.00	1.86
1/11/2018	8 #00032370316 CLINTON, P	PAUL IVY FDS INC ASSET STRATEGY	FD CL A 0.00	1.46	95.0	0.00	1.39	0.00	1.39

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DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TRAN	NSACTIONS						
PRODUCT (CATEGORY: MUTUAL FUND 12								
01/11/2018	3 #00032372557 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	10.53	95.0	0.00	10.00	0.00	10.00
01/11/2018	8 #00032375891 SPENNY, DAVII	O IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.54	95.0	0.00	0.51	0.00	0.51
01/11/2018	8 #00032381218 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.42	95.0	0.00	2.30	0.00	2.30
01/11/2018	3 #00032381219 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.40	95.0	0.00	2.28	0.00	2.28
01/11/2018	3 #00032381393 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.55	95.0	0.00	2.42	0.00	2.42
01/11/2018	3 #00032385108 PETERSON,	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.77	95.0	0.00	2.63	0.00	2.63
01/11/2018	3 #00032386705 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	10.79	95.0	0.00	10.25	0.00	10.25
01/11/2018	3 #00032387551 ROBERTSON,	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.09	95.0	0.00	1.04	0.00	1.04
01/11/2018	3 #00032388137 CHRISTOPHER,	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.63	95.0	0.00	3.45	0.00	3.45
01/11/2018	3 #00032390050 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	20.30	95.0	0.00	19.29	0.00	19.29
01/11/2018	3 #00032396121 COOPER TRACY,	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.69	95.0	0.00	2.56	0.00	2.56
01/11/2018	3 #00032397882 REEKERS, DONN	NA IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.25	95.0	0.00	1.19	0.00	1.19
01/11/2018	3 #00032398031 KEMP, DANIEL	IVY FDS INC ASSET STRATEGY FD CL A	0.00	11.98	95.0	0.00	11.38	0.00	11.38
01/11/2018	3 #00032398032 KEMP, MICHELE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	11.24	95.0	0.00	10.68	0.00	10.68
01/11/2018	3 #00032398536 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.69	95.0	0.00	3.51	0.00	3.51
01/11/2018	3 #00032399652 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.93	95.0	0.00	0.88	0.00	0.88
01/11/2018	3 #00032400094 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.68	95.0	0.00	2.55	0.00	2.55
01/11/2018	8 #00032401984 ANN D HAVILAN	ND, IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.68	95.0	0.00	2.55	0.00	2.55
01/11/2018	8 #00032405010 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	4.57	95.0	0.00	4.34	0.00	4.34
01/11/2018	3 #00032408419 DEMPSEY, STEV	VEN IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.64	95.0	0.00	0.61	0.00	0.61
01/11/2018	3 #00032408864 DEMPSEY, DARI	A IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.79	95.0	0.00	0.75	0.00	0.75
01/11/2018	3 #00032409103 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	4.51	95.0	0.00	4.28	0.00	4.28
01/11/2018	3 #00032410239 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	4.84	95.0	0.00	4.60	0.00	4.60
01/11/2018	8 #00032410240 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.75	95.0	0.00	1.66	0.00	1.66
01/11/2018	3 #00032411317 ANDREWS,	IVY FDS INC ASSET STRATEGY FD CL A	0.00	2.67	95.0	0.00	2.54	0.00	2.54
01/11/2018	3 #00032415135 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.70	95.0	0.00	1.62	0.00	1.62
01/11/2018	3 #00032415136 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.90	95.0	0.00	1.81	0.00	1.81
01/11/2018	3 #00032418293 SMOOK, MARY	IVY FDS INC ASSET STRATEGY FD CL A	0.00	4.98	95.0	0.00	4.73	0.00	4.73
01/11/2018	3 #00032418731 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	9.35	95.0	0.00	8.88	0.00	8.88
01/11/2018	3 #00032418732 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.81	95.0	0.00	5.52	0.00	5.52
01/11/2018	3 #00032421732 BEANEDALEF	IVY FDS INC ASSET STRATEGY FD CL A	0.00	17.20	95.0	0.00	16.34	0.00	16.34
01/11/2018	3 #00032423005 SMOOK, CURTIS	S IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.83	95.0	0.00	5.54	0.00	5.54
01/11/2018	3 #00032428411 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	22.54	95.0	0.00	21.41	0.00	21.41
01/11/2018	3 #00032431392 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.89	95.0	0.00	0.85	0.00	0.85
01/11/2018	3 #00032434345 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.75	95.0	0.00	0.71	0.00	0.71
01/11/2018	8 #00032434346 VARIOUS	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.75	95.0	0.00	0.71	0.00	0.71

DATE	CLIENT	I)	NVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
				BROKER TRAN	ISACTIONS						
PRODUCT	CATEGORY: MI	UTUAL FUND 12B	1 TRATIS								
-	8 #0003243573			T STRATEGY FD CL A	0.00	18.17	95.0	0.00	17.26	0.00	17.26
			A IVY FDS INC ASSE		0.00	7.13	95.0	0.00	6.77	0.00	6.77
	8 #0003533579			T STRATEGY FD CL A	0.00	18.25	95.0	0.00	17.34	0.00	17.34
01/11/201	8 #0003537654	5 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	8.61	95.0	0.00	8.18	0.00	8.18
01/11/201	8 #0003537655	5 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	15.14	95.0	0.00	14.38	0.00	14.38
01/11/201	8 #0003537656	0 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	4.84	95.0	0.00	4.60	0.00	4.60
01/11/201	8 #0003537702	5 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	7.73	95.0	0.00	7.34	0.00	7.34
01/11/201	8 #0003538138	9 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	0.93	95.0	0.00	0.88	0.00	0.88
01/11/201	8 #0003540232	5 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	4.11	95.0	0.00	3.90	0.00	3.90
01/11/201	8 #0003540935	9 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	0.46	95.0	0.00	0.44	0.00	0.44
01/11/201	8 #0003541979	3 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	5.05	95.0	0.00	4.80	0.00	4.80
01/11/201	8 #0003542229	2 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	5.30	95.0	0.00	5.04	0.00	5.04
01/11/201	8 #0003543638	1 GLOVER, KEITH	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	3.76	95.0	0.00	3.57	0.00	3.57
01/11/201	8 #0003546117	1 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	19.75	95.0	0.00	18.76	0.00	18.76
01/11/201	8 #0003546117	5 JOHNSON, DONAL	D IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	12.38	95.0	0.00	11.76	0.00	11.76
01/11/201	8 #0003547818	O COOPER TRACY,	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	7.29	95.0	0.00	6.93	0.00	6.93
01/11/201	8 #0003549195	2 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	2.86	95.0	0.00	2.72	0.00	2.72
01/11/201	8 #0003549258	8 EMERSON, BECKY	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	11.24	95.0	0.00	10.68	0.00	10.68
01/11/201	8 #0003549449	8 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	7.45	95.0	0.00	7.08	0.00	7.08
01/11/201	8 #0003550292	8 ANDREWS, SARA	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	5.73	95.0	0.00	5.44	0.00	5.44
01/11/201	8 #0003550544	7 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	3.02	95.0	0.00	2.87	0.00	2.87
01/11/201	8 #0003551182	9 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	0.65	95.0	0.00	0.62	0.00	0.62
01/11/201	8 #0003552175	5 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	0.58	95.0	0.00	0.55	0.00	0.55
01/11/201	8 #0003552240	7 HARSH, RAYMOND	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	7.95	95.0	0.00	7.55	0.00	7.55
01/11/201	8 #0003552269	1 GRAVES, HELEN	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	5.74	95.0	0.00	5.45	0.00	5.45
01/11/201	8 #0003552967	8 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	5.52	95.0	0.00	5.24	0.00	5.24
01/11/201	8 #0003553488	4 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	0.51	95.0	0.00	0.48	0.00	0.48
01/11/201	8 #0003554650	1 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	3.87	95.0	0.00	3.68	0.00	3.68
01/11/201	8 #0003556488	1 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	6.80	95.0	0.00	6.46	0.00	6.46
01/11/201	8 #0003556530	9 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	5.53	95.0	0.00	5.25	0.00	5.25
01/11/201	8 #0003557194	0 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	9.63	95.0	0.00	9.15	0.00	9.15
01/11/201	8 #0003557201	3 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	1.25	95.0	0.00	1.19	0.00	1.19
01/11/201	8 #0003557206	4 KEMP, DANIEL	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	70.86	95.0	0.00	67.32	0.00	67.32
01/11/201	8 #0003557223	7 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	1.30	95.0	0.00	1.24	0.00	1.24
01/11/201	8 #0003557225	1 VARIOUS	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	10.80	95.0	0.00	10.26	0.00	10.26
01/11/201	8 #0003557851	3 CHRISTOPHER,	IVY FDS INC ASSE	T STRATEGY FD CL A	0.00	2.76	95.0	0.00	2.62	0.00	2.62

DATE	CLIENT	INV	/ESTMENT			INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
					BROKER TRAN	SACTIONS						
PRODUCT	CATEGORY: MUTUA	L FUND 12B1	TRAILS									
01/11/201	8 #00035580054 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	1.17	95.0	0.00	1.11	0.00	1.11
01/11/201	8 #00035584889 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	1.71	95.0	0.00	1.62	0.00	1.62
01/11/201	8 #00035589263 JO	HNSON, DONALD	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	36.85	95.0	0.00	35.01	0.00	35.01
01/11/201	8 #00035601977 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	4.36	95.0	0.00	4.14	0.00	4.14
01/11/201	8 #00035606261 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	3.54	95.0	0.00	3.36	0.00	3.36
01/11/201	8 #00035614936 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	1.28	95.0	0.00	1.22	0.00	1.22
01/11/201	8 #00035619764 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	5.87	95.0	0.00	5.58	0.00	5.58
01/11/201	8 #00035631124 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	0.51	95.0	0.00	0.48	0.00	0.48
01/11/201	8 #00035631138 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	0.58	95.0	0.00	0.55	0.00	0.55
01/11/201	8 #00035631725 WE	BER, JESSICA	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	5.39	95.0	0.00	5.12	0.00	5.12
01/11/201	8 #00035633441 KO	COUREK,	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	2.95	95.0	0.00	2.80	0.00	2.80
01/11/201	8 #00035637930 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	3.47	95.0	0.00	3.30	0.00	3.30
01/11/201	8 #00035637933 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	0.73	95.0	0.00	0.69	0.00	0.69
01/11/201	8 #00035644796 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	7.80	95.0	0.00	7.41	0.00	7.41
01/11/201	8 #00035646466 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	0.48	95.0	0.00	0.46	0.00	0.46
01/11/201	8 #00035649857 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	9.47	95.0	0.00	9.00	0.00	9.00
01/11/201	8 #00035676896 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	1.46	95.0	0.00	1.39	0.00	1.39
01/11/201	8 #00035709381 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	1.46	95.0	0.00	1.39	0.00	1.39
01/11/201	8 #00035740156 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	15.88	95.0	0.00	15.09	0.00	15.09
01/11/201	8 #00035742403 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	24.45	95.0	0.00	23.23	0.00	23.23
01/11/201	8 #00035746115 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	14.62	95.0	0.00	13.89	0.00	13.89
01/11/201	8 #00035746667 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	0.52	95.0	0.00	0.49	0.00	0.49
01/11/201	8 #00035746714 ST	ACEY, JON	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	4.14	95.0	0.00	3.93	0.00	3.93
01/11/201	8 #00035762704 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	11.37	95.0	0.00	10.80	0.00	10.80
01/11/201	8 #00035790917 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	0.52	95.0	0.00	0.49	0.00	0.49
01/11/201	8 #00035799004 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	11.72	95.0	0.00	11.13	0.00	11.13
01/11/201	8 #00035812238 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	1.40	95.0	0.00	1.33	0.00	1.33
01/11/201	8 #00035812240 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	12.40	95.0	0.00	11.78	0.00	11.78
01/11/201	8 #00035812251 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	2.00	95.0	0.00	1.90	0.00	1.90
01/11/201	8 #00035812257 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	2.27	95.0	0.00	2.16	0.00	2.16
01/11/201	8 #00035812274 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	2.51	95.0	0.00	2.38	0.00	2.38
01/11/201	8 #00035823816 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	3.15	95.0	0.00	2.99	0.00	2.99
01/11/201	8 #00035825644 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	3.62	95.0	0.00	3.44	0.00	3.44
01/11/201	8 #00035826948 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	2.72	95.0	0.00	2.58	0.00	2.58
01/11/201	8 #00035830897 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	9.56	95.0	0.00	9.08	0.00	9.08
01/11/201	8 #00035836837 VA	RIOUS	IVY FDS	INC ASSET	STRATEGY FD CL A	0.00	1.24	95.0	0.00	1.18	0.00	1.18

INVESTMENT

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CLEARING

DATE	CLIENT	INVES	TMENT		AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
				BROKER TRANS	SACTIONS						
PRODUCT	CATEGORY: M	UTUAL FUND 12B1 TR	AILS								
01/11/201	8 #0003586435	0 HALL, JASON IV	Y FDS I	NC ASSET STRATEGY FD CL A	0.00	3.26	95.0	0.00	3.10	0.00	3.10
01/11/201	8 #0003588853	36 VARIOUS IV	Y FDS I	NC ASSET STRATEGY FD CL A	0.00	8.02	95.0	0.00	7.62	0.00	7.62
01/11/201	8 #0003589370	2 VARIOUS IV	Y FDS I	NC ASSET STRATEGY FD CL A	0.00	1.76	95.0	0.00	1.67	0.00	1.67
01/11/201	8 #0003589567	78 VARIOUS IN	Y FDS I	NC ASSET STRATEGY FD CL A	0.00	41.86	95.0	0.00	39.77	0.00	39.77
01/11/201	8 #0003589920	5 VARIOUS IV	Y FDS I	NC ASSET STRATEGY FD CL A	0.00	1.67	95.0	0.00	1.59	0.00	1.59
01/11/201	8 #0003592004	7 VARIOUS IV	Y FDS I	NC ASSET STRATEGY FD CL A	0.00	5.78	95.0	0.00	5.49	0.00	5.49
01/11/201	8 #0003594371	4 VARIOUS IV	Y FDS I	NC ASSET STRATEGY FD CL A	0.00	0.68	95.0	0.00	0.65	0.00	0.65
01/11/201	8 #0003595204	8 VARIOUS IV	Y FDS I	NC ASSET STRATEGY FD CL A	0.00	1.65	95.0	0.00	1.57	0.00	1.57
01/11/201	8 #0003595911	.8 (TOD), ARTHY IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	2.26	95.0	0.00	2.15	0.00	2.15
01/11/201	8 #0003600920	00 VARIOUS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	12.06	95.0	0.00	11.46	0.00	11.46
01/11/201	8 #0003601608	33 VARIOUS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	9.76	95.0	0.00	9.27	0.00	9.27
01/11/201	8 #0003602648	33 VARIOUS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	1.68	95.0	0.00	1.60	0.00	1.60
01/11/201	8 #0003606783	33 VARIOUS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	9.59	95.0	0.00	9.11	0.00	9.11
01/11/201	8 #0003607269	4 VARIOUS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	0.87	95.0	0.00	0.83	0.00	0.83
01/11/201	8 #0003607462	0 VARIOUS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	1.07	95.0	0.00	1.02	0.00	1.02
01/11/201	8 #0003607542	28 VARIOUS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	1.42	95.0	0.00	1.35	0.00	1.35
01/11/201	8 #0003607590	4 VARIOUS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	6.84	95.0	0.00	6.50	0.00	6.50
01/11/201	8 #0003607717	3 BACKHROGEL IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	1.76	95.0	0.00	1.67	0.00	1.67
01/11/201	8 #0003607718	88 VARIOUS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	2.39	95.0	0.00	2.27	0.00	2.27
01/11/201	8 #0003607719	7 LYKKEN, ARLO IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	0.74	95.0	0.00	0.70	0.00	0.70
01/11/201	8 #0003607834	7 VARIOUS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	5.80	95.0	0.00	5.51	0.00	5.51
01/11/201	8 #0003608731	.7 VARIOUS IN	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	1.38	95.0	0.00	1.31	0.00	1.31
01/11/201	8 #0003609348	34 VARIOUS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	1.85	95.0	0.00	1.76	0.00	1.76
01/11/201	8 #0003609858	88 LEVEDCRYS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	4.94	95.0	0.00	4.69	0.00	4.69
01/11/201	8 #0003610094	6 VARIOUS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	1.61	95.0	0.00	1.53	0.00	1.53
01/11/201	8 #0003610202	27 VARIOUS IN	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	1.42	95.0	0.00	1.35	0.00	1.35
01/11/201	8 #0003610531	.0 VARIOUS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	1.32	95.0	0.00	1.25	0.00	1.25
01/11/201	8 #0003611022	21 VARIOUS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	6.64	95.0	0.00	6.31	0.00	6.31
01/11/201	8 #0003613019	7 VARIOUS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	0.97	95.0	0.00	0.92	0.00	0.92
01/11/201	8 #0003613622	9 VARIOUS IV	7Y FDS I	NC ASSET STRATEGY FD CL A	0.00	27.53	95.0	0.00	26.15	0.00	26.15
01/11/201	8 #0003613892	25 VARIOUS IV	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	4.70	95.0	0.00	4.47	0.00	4.47
01/11/201	8 #0003613963	5 VARIOUS IV	Y FDS I	NC ASSET STRATEGY FD CL A	0.00	6.86	95.0	0.00	6.52	0.00	6.52
01/11/201	8 #0003614057	9 VARIOUS IN	/Y FDS I	NC ASSET STRATEGY FD CL A	0.00	1.22	95.0	0.00	1.16	0.00	1.16
01/11/201	8 #0003614058	35 VARIOUS IV	Y FDS I	NC ASSET STRATEGY FD CL A	0.00	0.70	95.0	0.00	0.67	0.00	0.67
01/11/201	8 #0003614059	2 VARIOUS IV	Y FDS I	NC ASSET STRATEGY FD CL A	0.00	1.55	95.0	0.00	1.47	0.00	1.47
01/11/201	8 #0003614326	5 HOGUETRACTL IV	Y FDS I	NC ASSET STRATEGY FD CL A	0.00	2.59	95.0	0.00	2.46	0.00	2.46

INVESTMENT

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CLEARING

DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROI	KER TRANSACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND	12B1 TRAILS							
1/11/201	8 #00036144075 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	0.61	95.0	0.00	0.58	0.00	0.58
1/11/201	8 #00036144736 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	0.47	95.0	0.00	0.45	0.00	0.45
1/11/201	8 #00036144737 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	0.47	95.0	0.00	0.45	0.00	0.45
1/11/201	8 #00036149117 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	3.02	95.0	0.00	2.87	0.00	2.87
1/11/201	8 #00036149956 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	2.19	95.0	0.00	2.08	0.00	2.08
1/11/201	8 #00036149962 SPENNY, D	DAVID IVY FDS INC ASSET STRATEGY F	D CL A 0.00	0.99	95.0	0.00	0.94	0.00	0.94
1/11/201	8 #00036150735 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	0.68	95.0	0.00	0.65	0.00	0.65
1/11/201	8 #00036163019 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	9.46	95.0	0.00	8.99	0.00	8.99
1/11/201	8 #00036175845 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	15.91	95.0	0.00	15.11	0.00	15.11
1/11/201	8 #00036192778 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	0.52	95.0	0.00	0.49	0.00	0.49
1/11/201	8 #00036194732 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	0.34	95.0	0.00	0.32	0.00	0.32
1/11/201	8 #00036196256 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	2.24	95.0	0.00	2.13	0.00	2.13
1/11/201	8 #00036200166 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	0.94	95.0	0.00	0.89	0.00	0.89
1/11/201	8 #00036204717 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	0.45	95.0	0.00	0.43	0.00	0.43
1/11/201	8 #00036208319 TAYLOR, N	NICK IVY FDS INC ASSET STRATEGY F	D CL A 0.00	0.72	95.0	0.00	0.68	0.00	0.68
1/11/2018	8 #00036208332 TAYLOCALI	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	0.72	95.0	0.00	0.68	0.00	0.68
1/11/2018	8 #00036209658 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	0.43	95.0	0.00	0.41	0.00	0.41
1/11/201	8 #00036253281 REEKERS,	DONNA IVY FDS INC ASSET STRATEGY F	D CL A 0.00	0.88	95.0	0.00	0.84	0.00	0.84
1/11/201	8 #00036254373 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	1.27	95.0	0.00	1.21	0.00	1.21
1/11/2018	8 #00036266208 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	1.23	95.0	0.00	1.17	0.00	1.17
1/11/201	8 #00036272612 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	1.55	95.0	0.00	1.47	0.00	1.47
1/11/201	8 #00036285574 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	2.12	95.0	0.00	2.01	0.00	2.01
1/11/201	8 #00036302624 VARIOUS	IVY FDS INC ASSET STRATEGY F	D CL A 0.00	15.12	95.0	0.00	14.36	0.00	14.36
1/11/201	8 #00035202865 GLOVER, J	ESSICA IVY FDS INC ASSET STRATEGY F	D CL B 0.00	0.23	95.0	0.00	0.22	0.00	0.22
1/11/201	8 #00035436381 GLOVER, K	EITH IVY FDS INC ASSET STRATEGY F	D CL B 0.00	0.12	95.0	0.00	0.11	0.00	0.11
1/11/2018	8 #00036022546 VARIOUS	IVY FDS INC HIGH INCOME FD C	L A 0.00	1.26	95.0	0.00	1.20	0.00	1.20
1/11/2018	8 #00036022549 VARIOUS	IVY FDS INC HIGH INCOME FD C	L A 0.00	2.48	95.0	0.00	2.36	0.00	2.36
1/11/201	8 #00036028948 VARIOUS	IVY FDS INC HIGH INCOME FD C	L A 0.00	3.77	95.0	0.00	3.58	0.00	3.58
1/11/201	8 #00036029241 VARIOUS	IVY FDS INC HIGH INCOME FD C	L A 0.00	1.78	95.0	0.00	1.69	0.00	1.69
1/11/201	8 #00036100908 VARIOUS	IVY FDS INC HIGH INCOME FD C	L A 0.00	16.56	95.0	0.00	15.73	0.00	15.73
1/11/201	8 #00036143432 VARIOUS	IVY FDS INC HIGH INCOME FD C	L A 0.00	1.99	95.0	0.00	1.89	0.00	1.89
1/11/201	8 #00036143435 VARIOUS	IVY FDS INC HIGH INCOME FD C	L A 0.00	1.03	95.0	0.00	0.98	0.00	0.98
1/11/201	8 #00036143438 VARIOUS	IVY FDS INC HIGH INCOME FD C	L A 0.00	1.19	95.0	0.00	1.13	0.00	1.13
1/11/201	8 #00036150657 JOHNSON,	JOSEPH IVY FDS INC HIGH INCOME FD C	L A 0.00	4.22	95.0	0.00	4.01	0.00	4.01
1/11/201	8 #00036150662 VARIOUS	IVY FDS INC HIGH INCOME FD C	L A 0.00	0.94	95.0	0.00	0.89	0.00	0.89
1/11/2018	8 #00036150731 VARIOUS	IVY FDS INC HIGH INCOME FD C	L A 0.00	0.84	95.0	0.00	0.80	0.00	0.80

INVESTMENT

GROSS

NET

CLEARING

DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TRA	NSACTIONS						
PRODUCT (CATEGORY: MUTUAL FUND 12	B1 TRAILS							
)1/11/2018	8 #00036163036 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	8.82	95.0	0.00	8.38	0.00	8.38
01/11/2018	8 #00036171938 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.01	95.0	0.00	0.96	0.00	0.96
)1/11/2018	8 #00036185398 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.52	95.0	0.00	0.49	0.00	0.49
01/11/2018	8 #00036185416 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.63	95.0	0.00	0.60	0.00	0.60
)1/11/2018	8 #00036187511 BEANEDALEF	IVY FDS INC HIGH INCOME FD CL A	0.00	1.96	95.0	0.00	1.86	0.00	1.86
)1/11/2018	8 #00036191000 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	8.08	95.0	0.00	7.68	0.00	7.68
)1/11/2018	8 #00036200421 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	15.67	95.0	0.00	14.89	0.00	14.89
)1/11/2018	8 #00036200467 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.52	95.0	0.00	0.49	0.00	0.49
)1/11/2018	8 #00036259618 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.02	95.0	0.00	0.97	0.00	0.97
)1/11/2018	8 #00036325628 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	20.03	95.0	0.00	19.03	0.00	19.03
)1/11/2018	8 #00032366772 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	4.33	95.0	0.00	4.11	0.00	4.11
)1/11/2018	8 #00032366773 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	4.12	95.0	0.00	3.91	0.00	3.91
01/11/2018	8 #00032367069 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.51	95.0	0.00	0.48	0.00	0.48
01/11/2018	8 #00032370316 CLINTON, PAU	L IVY FDS INC HIGH INCOME FD CL A	0.00	1.57	95.0	0.00	1.49	0.00	1.49
01/11/2018	8 #00032372557 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	12.28	95.0	0.00	11.67	0.00	11.67
01/11/2018	8 #00032375891 SPENNY, DAVI	O IVY FDS INC HIGH INCOME FD CL A	0.00	0.63	95.0	0.00	0.60	0.00	0.60
01/11/2018	8 #00032381218 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	3.63	95.0	0.00	3.45	0.00	3.45
01/11/2018	8 #00032381219 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	3.58	95.0	0.00	3.40	0.00	3.40
)1/11/2018	8 #00032381393 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	2.83	95.0	0.00	2.69	0.00	2.69
)1/11/2018	8 #00032382061 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.07	95.0	0.00	1.02	0.00	1.02
)1/11/2018	8 #00032382774 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	2.17	95.0	0.00	2.06	0.00	2.06
)1/11/2018	8 #00032385108 PETERSON,	IVY FDS INC HIGH INCOME FD CL A	0.00	2.91	95.0	0.00	2.76	0.00	2.76
)1/11/2018	8 #00032386705 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	8.26	95.0	0.00	7.85	0.00	7.85
)1/11/2018	8 #00032387551 ROBERTSON,	IVY FDS INC HIGH INCOME FD CL A	0.00	1.40	95.0	0.00	1.33	0.00	1.33
)1/11/2018	8 #00032388137 CHRISTOPHER,	IVY FDS INC HIGH INCOME FD CL A	0.00	3.97	95.0	0.00	3.77	0.00	3.77
)1/11/2018	8 #00032390050 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	25.28	95.0	0.00	24.02	0.00	24.02
)1/11/2018	8 #00032390799 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	4.60	95.0	0.00	4.37	0.00	4.37
)1/11/2018	8 #00032390801 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	5.30	95.0	0.00	5.04	0.00	5.04
)1/11/2018	8 #00032390802 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	1.18	95.0	0.00	1.12	0.00	1.12
)1/11/2018	8 #00032392205 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	6.40	95.0	0.00	6.08	0.00	6.08
)1/11/2018	8 #00032397882 REEKERS, DON	NA IVY FDS INC HIGH INCOME FD CL A	0.00	1.53	95.0	0.00	1.45	0.00	1.45
)1/11/2018	8 #00032398031 KEMP, DANIEL	IVY FDS INC HIGH INCOME FD CL A	0.00	5.45	95.0	0.00	5.18	0.00	5.18
)1/11/2018	8 #00032398032 KEMP, MICHEL	E IVY FDS INC HIGH INCOME FD CL A	0.00	4.35	95.0	0.00	4.13	0.00	4.13
)1/11/2018	8 #00032398536 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	6.33	95.0	0.00	6.01	0.00	6.01
)1/11/2018	8 #00032400094 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	19.03	95.0	0.00	18.08	0.00	18.08
01/11/2018	8 #00032401125 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	2.10	95.0	0.00	2.00	0.00	2.00

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DATE	CLIENT	INVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TRA	NSACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND 12	B1 TRAILS								
01/11/201	.8 #00032401984 ANN D HAVILA	ND, IVY FDS INC HIG	H INCOME FD CL A	0.00	5.25	95.0	0.00	4.99	0.00	4.99
01/11/201	.8 #00032405628 VARIOUS	IVY FDS INC HIG	H INCOME FD CL A	0.00	0.74	95.0	0.00	0.70	0.00	0.70
01/11/201	.8 #00032408419 DEMPSEY, STE	VEN IVY FDS INC HIG	H INCOME FD CL A	0.00	8.39	95.0	0.00	7.97	0.00	7.97
01/11/201	.8 #00032409103 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	5.17	95.0	0.00	4.91	0.00	4.91
01/11/201	.8 #00032411317 ANDREWS,	IVY FDS INC HIGH	H INCOME FD CL A	0.00	1.72	95.0	0.00	1.63	0.00	1.63
01/11/201	.8 #00032415136 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	1.12	95.0	0.00	1.06	0.00	1.06
01/11/201	.8 #00032418293 SMOOK, MARY	IVY FDS INC HIGH	H INCOME FD CL A	0.00	3.14	95.0	0.00	2.98	0.00	2.98
01/11/201	.8 #00032418731 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	9.41	95.0	0.00	8.94	0.00	8.94
01/11/201	.8 #00032418732 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	4.41	95.0	0.00	4.19	0.00	4.19
01/11/201	.8 #00032423005 SMOOK, CURTI	S IVY FDS INC HIGH	H INCOME FD CL A	0.00	5.91	95.0	0.00	5.61	0.00	5.61
01/11/201	.8 #00032428411 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	28.73	95.0	0.00	27.29	0.00	27.29
01/11/201	.8 #00032434345 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	0.97	95.0	0.00	0.92	0.00	0.92
01/11/201	.8 #00032434346 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	0.97	95.0	0.00	0.92	0.00	0.92
01/11/201	.8 #00032435738 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	11.39	95.0	0.00	10.82	0.00	10.82
01/11/201	.8 #00035202865 GLOVER, JESS	ICA IVY FDS INC HIGH	H INCOME FD CL A	0.00	9.84	95.0	0.00	9.35	0.00	9.35
01/11/201	.8 #00035335795 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	9.05	95.0	0.00	8.60	0.00	8.60
01/11/201	.8 #00035346023 ANN D HAVILA	ND, IVY FDS INC HIGH	H INCOME FD CL A	0.00	0.01	95.0	0.00	0.01	0.00	0.01
01/11/201	.8 #00035376555 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	10.58	95.0	0.00	10.05	0.00	10.05
01/11/201	.8 #00035377025 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	4.52	95.0	0.00	4.29	0.00	4.29
01/11/201	.8 #00035378852 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	2.06	95.0	0.00	1.96	0.00	1.96
01/11/201	.8 #00035381389 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	0.91	95.0	0.00	0.86	0.00	0.86
01/11/201	.8 #00035383226 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	0.57	95.0	0.00	0.54	0.00	0.54
01/11/201	.8 #00035402325 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	0.69	95.0	0.00	0.66	0.00	0.66
01/11/201	.8 #00035409359 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	0.71	95.0	0.00	0.67	0.00	0.67
01/11/201	.8 #00035419793 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	8.59	95.0	0.00	8.16	0.00	8.16
01/11/201	.8 #00035419838 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	4.99	95.0	0.00	4.74	0.00	4.74
01/11/201	.8 #00035436381 GLOVER, KEIT	H IVY FDS INC HIGH	H INCOME FD CL A	0.00	4.95	95.0	0.00	4.70	0.00	4.70
01/11/201	.8 #00035461171 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	19.90	95.0	0.00	18.91	0.00	18.91
01/11/201	.8 #00035461175 JOHNSON, DON.	ALD IVY FDS INC HIG	H INCOME FD CL A	0.00	14.97	95.0	0.00	14.22	0.00	14.22
01/11/201	.8 #00035474804 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	1.10	95.0	0.00	1.05	0.00	1.05
01/11/201	.8 #00035478180 COOPER TRACY	, IVY FDS INC HIGH	H INCOME FD CL A	0.00	11.07	95.0	0.00	10.52	0.00	10.52
01/11/201	.8 #00035491952 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	1.33	95.0	0.00	1.26	0.00	1.26
01/11/201	.8 #00035492585 EMERSON, SHA	WN IVY FDS INC HIGH	H INCOME FD CL A	0.00	8.39	95.0	0.00	7.97	0.00	7.97
01/11/201	.8 #00035492588 EMERSON, BEC	KY IVY FDS INC HIG	H INCOME FD CL A	0.00	9.84	95.0	0.00	9.35	0.00	9.35
01/11/201	.8 #00035494498 VARIOUS	IVY FDS INC HIG	H INCOME FD CL A	0.00	15.26	95.0	0.00	14.50	0.00	14.50
01/11/201	.8 #00035500866 VARIOUS	IVY FDS INC HIGH	H INCOME FD CL A	0.00	2.93	95.0	0.00	2.78	0.00	2.78

DATE	CLIENT IN	VESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TR	ANSACTIONS						
DDODUGE	CAMBGODY, MUMILLE BURE 1201	MDATI G	DIOILIN III							
	CATEGORY: MUTUAL FUND 12B1 8 #00035500870 VARIOUS	IVY FDS INC HIGH	TNGOME ED GI A	0.00	2.96	95.0	0.00	2.81	0.00	2.81
	8 #00035502928 ANDREWS, SARA	IVY FDS INC HIGH		0.00	3.14	95.0	0.00	2.98	0.00	2.98
	8 #00035505447 VARIOUS	IVY FDS INC HIGH		0.00	41.78	95.0	0.00	39.69	0.00	39.69
	8 #00035511829 VARIOUS	IVY FDS INC HIGH		0.00	0.77	95.0	0.00	0.73	0.00	0.73
	8 #00035511025 VARIOUS	IVY FDS INC HIGH		0.00	1.01	95.0	0.00	0.75	0.00	0.96
	8 #00035522407 HARSH, RAYMOND	IVY FDS INC HIGH		0.00	8.11	95.0	0.00	7.70	0.00	7.70
	8 #00035522691 GRAVES, HELEN	IVY FDS INC HIGH		0.00	7.53	95.0	0.00	7.75	0.00	7.15
	8 #00035529678 VARIOUS	IVY FDS INC HIGH		0.00	3.21	95.0	0.00	3.05	0.00	3.05
	8 #00035534884 VARIOUS	IVY FDS INC HIGH		0.00	0.55	95.0	0.00	0.52	0.00	0.52
	8 #00035564881 VARIOUS	IVY FDS INC HIGH		0.00	4.16	95.0	0.00	3.95	0.00	3.95
	8 #00035565309 VARIOUS	IVY FDS INC HIGH		0.00	7.17	95.0	0.00	6.81	0.00	6.81
	8 #00035571940 VARIOUS	IVY FDS INC HIGH		0.00	8.24	95.0	0.00	7.83	0.00	7.83
	8 #00035572013 VARIOUS	IVY FDS INC HIGH		0.00	3.97	95.0	0.00	3.77	0.00	3.77
	8 #00035572064 KEMP, DANIEL	IVY FDS INC HIGH		0.00	50.98	95.0	0.00	48.43	0.00	48.43
	8 #00035572237 VARIOUS	IVY FDS INC HIGH		0.00	1.00	95.0	0.00	0.95	0.00	0.95
01/11/201	8 #00035572251 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	7.32	95.0	0.00	6.95	0.00	6.95
01/11/201	8 #00035572728 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.28	95.0	0.00	0.27	0.00	0.27
01/11/201	8 #00035578513 CHRISTOPHER,	IVY FDS INC HIGH	INCOME FD CL A	0.00	11.47	95.0	0.00	10.90	0.00	10.90
01/11/201	8 #00035580054 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.94	95.0	0.00	0.89	0.00	0.89
01/11/201	8 #00035589263 JOHNSON, DONALD	IVY FDS INC HIGH	INCOME FD CL A	0.00	27.77	95.0	0.00	26.38	0.00	26.38
01/11/201	8 #00035601977 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	9.70	95.0	0.00	9.22	0.00	9.22
01/11/201	8 #00035614936 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.93	95.0	0.00	0.88	0.00	0.88
01/11/201	8 #00035619764 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	3.00	95.0	0.00	2.85	0.00	2.85
01/11/201	8 #00035633441 KOCOUREK,	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.77	95.0	0.00	0.73	0.00	0.73
01/11/201	8 #00035637930 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	1.74	95.0	0.00	1.65	0.00	1.65
01/11/201	8 #00035644796 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	3.49	95.0	0.00	3.32	0.00	3.32
01/11/201	8 #00035649857 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	5.71	95.0	0.00	5.42	0.00	5.42
01/11/201	8 #00035740156 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	1.04	95.0	0.00	0.99	0.00	0.99
01/11/201	8 #00035742403 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	9.10	95.0	0.00	8.65	0.00	8.65
01/11/201	8 #00035746115 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	12.63	95.0	0.00	12.00	0.00	12.00
01/11/201	8 #00035746667 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.56	95.0	0.00	0.53	0.00	0.53
01/11/201	8 #00035762704 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	2.53	95.0	0.00	2.40	0.00	2.40
01/11/201	8 #00035790917 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.57	95.0	0.00	0.54	0.00	0.54
01/11/201	8 #00035799004 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	17.58	95.0	0.00	16.70	0.00	16.70
01/11/201	8 #00035812240 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	6.91	95.0	0.00	6.56	0.00	6.56
01/11/201	8 #00035812274 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.72	95.0	0.00	0.68	0.00	0.68

INVESTMENT

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CLEARING

DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BRO!	KER TRANSACTIONS						
PRODUCT (CATEGORY: MUTUAL FUND	12B1 TRAILS							
1/11/2018	3 #00035825644 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	4.39	95.0	0.00	4.17	0.00	4.17
1/11/2018	8 #00035826948 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	3.04	95.0	0.00	2.89	0.00	2.89
1/11/2018	8 #00035830897 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	4.65	95.0	0.00	4.42	0.00	4.42
1/11/2018	3 #00035888536 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	3.24	95.0	0.00	3.08	0.00	3.08
1/11/2018	8 #00035895678 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	43.15	95.0	0.00	40.99	0.00	40.99
1/11/2018	8 #00035899205 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	0.94	95.0	0.00	0.89	0.00	0.89
1/11/2018	8 #00035920047 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	4.40	95.0	0.00	4.18	0.00	4.18
1/11/2018	3 #00035943714 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	0.55	95.0	0.00	0.52	0.00	0.52
1/11/2018	8 #00035959118 (TOD), AR	THY IVY FDS INC HIGH INCOME FD (L A 0.00	1.83	95.0	0.00	1.74	0.00	1.74
1/11/2018	8 #00036009200 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	5.32	95.0	0.00	5.05	0.00	5.05
1/11/2018	3 #00036016083 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	8.59	95.0	0.00	8.16	0.00	8.16
1/11/2018	3 #00036026483 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	1.56	95.0	0.00	1.48	0.00	1.48
1/11/2018	8 #00036067833 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	9.97	95.0	0.00	9.47	0.00	9.47
1/11/2018	8 #00036074615 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	0.60	95.0	0.00	0.57	0.00	0.57
1/11/2018	8 #00036075428 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	1.46	95.0	0.00	1.39	0.00	1.39
1/11/2018	8 #00036075904 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	6.34	95.0	0.00	6.02	0.00	6.02
1/11/2018	8 #00036077188 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	3.54	95.0	0.00	3.36	0.00	3.36
1/11/2018	8 #00036078347 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	10.10	95.0	0.00	9.60	0.00	9.60
1/11/2018	8 #00036087285 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	0.92	95.0	0.00	0.87	0.00	0.87
1/11/2018	8 #00036087317 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	1.15	95.0	0.00	1.09	0.00	1.09
1/11/2018	8 #00036093484 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	2.21	95.0	0.00	2.10	0.00	2.10
1/11/2018	3 #00036100946 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	1.87	95.0	0.00	1.78	0.00	1.78
1/11/2018	3 #00036102027 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	1.67	95.0	0.00	1.59	0.00	1.59
1/11/2018	3 #00036105310 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	1.54	95.0	0.00	1.46	0.00	1.46
1/11/2018	3 #00036110221 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	7.01	95.0	0.00	6.66	0.00	6.66
1/11/2018	8 #00036136229 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	15.67	95.0	0.00	14.89	0.00	14.89
1/11/2018	8 #00036138925 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	3.83	95.0	0.00	3.64	0.00	3.64
1/11/2018	8 #00036140579 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	1.26	95.0	0.00	1.20	0.00	1.20
1/11/2018	8 #00036140585 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	0.82	95.0	0.00	0.78	0.00	0.78
1/11/2018	8 #00036140592 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	1.23	95.0	0.00	1.17	0.00	1.17
1/11/2018	8 #00036143265 HOGUETRAC	TL IVY FDS INC HIGH INCOME FD (L A 0.00	0.80	95.0	0.00	0.76	0.00	0.76
1/11/2018	8 #00036144075 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	0.54	95.0	0.00	0.51	0.00	0.51
1/11/2018	3 #00036149117 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	3.11	95.0	0.00	2.95	0.00	2.95
1/11/2018	3 #00036149956 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	2.34	95.0	0.00	2.22	0.00	2.22
1/11/2018	3 #00036149962 SPENNY, DA	AVID IVY FDS INC HIGH INCOME FD C	L A 0.00	1.08	95.0	0.00	1.03	0.00	1.03
1/11/2018	3 #00036150735 VARIOUS	IVY FDS INC HIGH INCOME FD (L A 0.00	0.84	95.0	0.00	0.80	0.00	0.80

DATE	CLIENT	NVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			DDOVED WDAN	ICA CITTONIC						
			BROKER TRAN	BACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND 12B	1 TRAILS								
01/11/201	8 #00036175845 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	28.79	95.0	0.00	27.35	0.00	27.35
01/11/201	8 #00036196256 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	1.72	95.0	0.00	1.63	0.00	1.63
01/11/201	8 #00036200166 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	1.11	95.0	0.00	1.05	0.00	1.05
01/11/201	8 #00036204717 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.82	95.0	0.00	0.78	0.00	0.78
01/11/201	8 #00036208319 TAYLOR, NICK	IVY FDS INC HIGH	INCOME FD CL A	0.00	1.98	95.0	0.00	1.88	0.00	1.88
01/11/201	8 #00036208332 TAYLOCALI	IVY FDS INC HIGH	INCOME FD CL A	0.00	1.98	95.0	0.00	1.88	0.00	1.88
01/11/201	8 #00036209650 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.59	95.0	0.00	0.56	0.00	0.56
01/11/201	8 #00036209658 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.55	95.0	0.00	0.52	0.00	0.52
01/11/201	8 #00036253281 REEKERS, DONNA	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.88	95.0	0.00	0.84	0.00	0.84
01/11/201	8 #00036265357 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.49	95.0	0.00	0.47	0.00	0.47
01/11/201	8 #00036266208 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.88	95.0	0.00	0.84	0.00	0.84
01/11/201	8 #00036272612 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	1.01	95.0	0.00	0.96	0.00	0.96
01/11/201	8 #00036284255 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.53	95.0	0.00	0.50	0.00	0.50
01/11/201	8 #00036285574 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	1.68	95.0	0.00	1.60	0.00	1.60
01/11/201	8 #00036292781 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.98	95.0	0.00	0.93	0.00	0.93
01/11/201	8 #00036302624 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	6.94	95.0	0.00	6.59	0.00	6.59
01/11/201	8 #00036319206 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	3.31	95.0	0.00	3.14	0.00	3.14
01/11/201	8 #00036325146 TELLI, TERRL	IVY FDS INC HIGH	INCOME FD CL A	0.00	12.05	95.0	0.00	11.45	0.00	11.45
01/11/201	8 #00036328297 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.38	95.0	0.00	0.36	0.00	0.36
01/11/201	8 #00036331945 VARIOUS	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.68	95.0	0.00	0.65	0.00	0.65
01/11/201	8 #00036343490 O'BRIEN,	IVY FDS INC HIGH	INCOME FD CL A	0.00	0.03	95.0	0.00	0.03	0.00	0.03
01/11/201	8 #00036100908 VARIOUS	IVY FDS INC LARG	E CAP GROWTH FD CL	0.00	30.05	95.0	0.00	28.55	0.00	28.55
01/11/201	8 #00036143432 VARIOUS	IVY FDS INC LARG	E CAP GROWTH FD CL	0.00	3.74	95.0	0.00	3.55	0.00	3.55
01/11/201	8 #00036143435 VARIOUS	IVY FDS INC LARG	E CAP GROWTH FD CL	0.00	1.37	95.0	0.00	1.30	0.00	1.30
01/11/201	8 #00036143438 VARIOUS	IVY FDS INC LARG	E CAP GROWTH FD CL	0.00	1.34	95.0	0.00	1.27	0.00	1.27
01/11/201	8 #00036150657 JOHNSON, JOSEP	H IVY FDS INC LARG	E CAP GROWTH FD CL	0.00	6.48	95.0	0.00	6.16	0.00	6.16
01/11/201	8 #00036150662 VARIOUS	IVY FDS INC LARG	E CAP GROWTH FD CL	0.00	0.97	95.0	0.00	0.92	0.00	0.92
01/11/201	8 #00036163036 VARIOUS	IVY FDS INC LARG	E CAP GROWTH FD CL	0.00	14.07	95.0	0.00	13.37	0.00	13.37
01/11/201	8 #00036171938 VARIOUS	IVY FDS INC LARG	E CAP GROWTH FD CL	0.00	1.90	95.0	0.00	1.81	0.00	1.81
01/11/201	8 #00036185398 VARIOUS	IVY FDS INC LARG	E CAP GROWTH FD CL	0.00	0.63	95.0	0.00	0.60	0.00	0.60
01/11/201	8 #00036187511 BEANEDALEF	IVY FDS INC LARG	E CAP GROWTH FD CL	0.00	3.49	95.0	0.00	3.32	0.00	3.32
01/11/201	8 #00036191000 VARIOUS		E CAP GROWTH FD CL	0.00	9.42	95.0	0.00	8.95	0.00	8.95
	8 #00036200421 VARIOUS		E CAP GROWTH FD CL	0.00	33.80	95.0	0.00	32.11	0.00	32.11
	8 #00036200467 VARIOUS	IVY FDS INC LARG	E CAP GROWTH FD CL	0.00	0.63	95.0	0.00	0.60	0.00	0.60
	8 #00036325628 VARIOUS		E CAP GROWTH FD CL	0.00	22.07	95.0	0.00	20.97	0.00	20.97
	8 #00032366773 VARIOUS		E CAP GROWTH FD CL	0.00	3.95	95.0	0.00	3.75	0.00	3.75
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INVESTMENT

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DATE	CLIENT	INVESTMENT	JOMA		COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROK	ER TRANSACTION	1 <u>s</u>						
RODUCT (CATEGORY: MUTUAL FUND 1	L2B1 TRAILS								
1/11/2018	8 #00032374436 RENTSCHLER,	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	5.97	95.0	0.00	5.67	0.00	5.67
1/11/2018	8 #00032381393 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	0.89	95.0	0.00	0.85	0.00	0.85
1/11/2018	8 #00032382061 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	5.74	95.0	0.00	5.45	0.00	5.45
1/11/2018	8 #00032382774 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	11.10	95.0	0.00	10.55	0.00	10.55
1/11/2018	8 #00032385108 PETERSON,	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	3.62	95.0	0.00	3.44	0.00	3.44
1/11/2018	8 #00032386705 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	13.92	95.0	0.00	13.22	0.00	13.22
1/11/2018	8 #00032387551 ROBERTSON,	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	1.35	95.0	0.00	1.28	0.00	1.28
1/11/2018	8 #00032390050 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	48.83	95.0	0.00	46.39	0.00	46.39
1/11/2018	8 #00032392205 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	10.41	95.0	0.00	9.89	0.00	9.89
1/11/2018	8 #00032396121 COOPER TRAC	Y, IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	6.93	95.0	0.00	6.58	0.00	6.58
1/11/2018	8 #00032397882 REEKERS, DC	NNA IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	2.04	95.0	0.00	1.94	0.00	1.94
1/11/2018	8 #00032398031 KEMP, DANIE	L IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	13.02	95.0	0.00	12.37	0.00	12.37
1/11/2018	8 #00032398032 KEMP, MICHE	CLE IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	11.56	95.0	0.00	10.98	0.00	10.98
1/11/2018	8 #00032398536 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	3.77	95.0	0.00	3.58	0.00	3.58
1/11/2018	8 #00032400094 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	7.72	95.0	0.00	7.33	0.00	7.33
1/11/2018	8 #00032401125 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	2.75	95.0	0.00	2.61	0.00	2.61
1/11/2018	8 #00032401984 ANN D HAVIL	AND, IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	7.26	95.0	0.00	6.90	0.00	6.90
1/11/2018	8 #00032405010 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	2.94	95.0	0.00	2.79	0.00	2.79
1/11/2018	8 #00032411317 ANDREWS,	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	2.36	95.0	0.00	2.24	0.00	2.24
1/11/2018	8 #00032418731 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	2.75	95.0	0.00	2.61	0.00	2.61
1/11/2018	8 #00032418732 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	2.75	95.0	0.00	2.61	0.00	2.61
1/11/2018	8 #00032435738 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	4.50	95.0	0.00	4.28	0.00	4.28
1/11/2018	8 #00035335795 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	21.92	95.0	0.00	20.82	0.00	20.82
1/11/2018	8 #00035376555 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	15.60	95.0	0.00	14.82	0.00	14.82
1/11/2018	8 #00035376560 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	6.36	95.0	0.00	6.04	0.00	6.04
1/11/2018	8 #00035377025 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	11.26	95.0	0.00	10.70	0.00	10.70
1/11/2018	8 #00035378852 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	8.52	95.0	0.00	8.09	0.00	8.09
1/11/2018	8 #00035381389 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	0.88	95.0	0.00	0.84	0.00	0.84
1/11/2018	8 #00035383226 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	3.67	95.0	0.00	3.49	0.00	3.49
1/11/2018	8 #00035402325 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	1.44	95.0	0.00	1.37	0.00	1.37
1/11/2018	8 #00035419793 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	7.15	95.0	0.00	6.79	0.00	6.79
1/11/2018	8 #00035422292 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	6.06	95.0	0.00	5.76	0.00	5.76
1/11/2018	8 #00035461171 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	30.74	95.0	0.00	29.20	0.00	29.20
1/11/2018	8 #00035461175 JOHNSON, DC	NALD IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	10.78	95.0	0.00	10.24	0.00	10.24
1/11/2018	8 #00035478180 COOPER TRAC	Y, IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	14.65	95.0	0.00	13.92	0.00	13.92
1/11/2018	8 #00035494498 VARIOUS	IVY FDS INC LARGE CAP GROWTH	FD CL	0.00	7.06	95.0	0.00	6.71	0.00	6.71

INVESTMENT

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DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BRO	KER TRANSACTIONS						
RODUCT (CATEGORY: MUTUAL FUNI) 12B1 TRAILS							
1/11/2018	3 #00035500850 VARIOUS	IVY FDS INC LARGE CAP GROWT:	H FD CL 0.00	3.31	95.0	0.00	3.14	0.00	3.14
1/11/2018	3 #00035522691 GRAVES, F	HELEN IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	1.37	95.0	0.00	1.30	0.00	1.30
1/11/2018	8 #00035571940 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	11.95	95.0	0.00	11.35	0.00	11.35
1/11/2018	8 #00035572064 KEMP, DAN	HIEL IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	116.89	95.0	0.00	111.05	0.00	111.05
1/11/2018	3 #00035572237 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	2.65	95.0	0.00	2.52	0.00	2.52
1/11/2018	3 #00035572251 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	17.38	95.0	0.00	16.51	0.00	16.51
1/11/2018	3 #00035572771 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	2.30	95.0	0.00	2.19	0.00	2.19
1/11/2018	3 #00035578513 CHRISTOPE	HER, IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	23.45	95.0	0.00	22.28	0.00	22.28
1/11/2018	8 #00035589263 JOHNSON,	DONALD IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	39.59	95.0	0.00	37.61	0.00	37.61
1/11/2018	8 #00035606261 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	6.15	95.0	0.00	5.84	0.00	5.84
1/11/2018	8 #00035614936 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	1.93	95.0	0.00	1.83	0.00	1.83
1/11/2018	8 #00035619764 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	9.53	95.0	0.00	9.05	0.00	9.05
1/11/2018	8 #00035633441 KOCOUREK,	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	1.32	95.0	0.00	1.25	0.00	1.25
1/11/2018	8 #00035709381 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	1.23	95.0	0.00	1.17	0.00	1.17
1/11/2018	8 #00035740156 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	9.31	95.0	0.00	8.84	0.00	8.84
1/11/2018	8 #00035746115 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	12.53	95.0	0.00	11.90	0.00	11.90
1/11/2018	8 #00035746667 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	0.74	95.0	0.00	0.70	0.00	0.70
1/11/2018	8 #00035790917 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	0.71	95.0	0.00	0.67	0.00	0.67
1/11/2018	8 #00035799004 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	24.45	95.0	0.00	23.23	0.00	23.23
1/11/2018	8 #00035812274 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	0.80	95.0	0.00	0.76	0.00	0.76
1/11/2018	8 #00035826948 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	4.99	95.0	0.00	4.74	0.00	4.74
1/11/2018	8 #00035830897 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	1.40	95.0	0.00	1.33	0.00	1.33
1/11/2018	8 #00035836837 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	2.35	95.0	0.00	2.23	0.00	2.23
1/11/2018	8 #00035888536 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	2.65	95.0	0.00	2.52	0.00	2.52
1/11/2018	8 #00035920047 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	6.67	95.0	0.00	6.34	0.00	6.34
1/11/2018	3 #00035959118 (TOD), AF	THY IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	4.03	95.0	0.00	3.83	0.00	3.83
1/11/2018	8 #00036009200 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	4.72	95.0	0.00	4.48	0.00	4.48
1/11/2018	8 #00036016083 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	12.04	95.0	0.00	11.44	0.00	11.44
1/11/2018	8 #00036067833 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	5.43	95.0	0.00	5.16	0.00	5.16
1/11/2018	8 #00036075428 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	0.67	95.0	0.00	0.64	0.00	0.64
1/11/2018	8 #00036075904 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	6.02	95.0	0.00	5.72	0.00	5.72
1/11/2018	8 #00036077188 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	16.16	95.0	0.00	15.35	0.00	15.35
1/11/2018	8 #00036078347 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	8.31	95.0	0.00	7.89	0.00	7.89
1/11/2018	8 #00036087285 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	1.03	95.0	0.00	0.98	0.00	0.98
1/11/2018	8 #00036093484 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	2.12	95.0	0.00	2.01	0.00	2.01
1/11/2019	8 #00036140579 VARIOUS	IVY FDS INC LARGE CAP GROWT	H FD CL 0.00	1.25	95.0	0.00	1.19	0.00	1.19

DATE	CLIENT	INVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TRAN	SACTIONS						
DDODUGE	CATECODY - MURITAL BUMD 12	D1 TDATEC	Ditolilli Ildat	<u> </u>						
	CATEGORY: MUTUAL FUND 12 8 #00036140585 VARIOUS	IVY FDS INC LARGE CAP (POWTU ED CI	0.00	1.09	95.0	0.00	1.04	0.00	1.04
	8 #00036140592 VARIOUS	IVY FDS INC LARGE CAP (0.00	2.41	95.0	0.00	2.29	0.00	2.29
	8 #00036149392 VARIOUS	IVY FDS INC LARGE CAP (0.00	4.76	95.0	0.00	4.52	0.00	4.52
	8 #000361499117 VARIOUS	IVY FDS INC LARGE CAP (0.00	2.29	95.0	0.00	2.18	0.00	2.18
	8 #00036163019 VARIOUS	IVY FDS INC LARGE CAP (0.00	12.33	95.0	0.00	11.71	0.00	11.71
	8 #00036175845 VARIOUS	IVY FDS INC LARGE CAP (0.00	36.98	95.0	0.00	35.13	0.00	35.13
	8 #00036196256 VARIOUS	IVY FDS INC LARGE CAP (0.00	3.01	95.0	0.00	2.86	0.00	2.86
	8 #00036208319 TAYLOR, NICK	IVY FDS INC LARGE CAP (0.00	2.21	95.0	0.00	2.10	0.00	2.10
	8 #00036208332 TAYLOCALI	IVY FDS INC LARGE CAP (0.00	1.97	95.0	0.00	1.87	0.00	1.87
	8 #00036209650 VARIOUS	IVY FDS INC LARGE CAP (0.00	0.67	95.0	0.00	0.64	0.00	0.64
	8 #00036209658 VARIOUS	IVY FDS INC LARGE CAP (0.00	0.59	95.0	0.00	0.56	0.00	0.56
	8 #00036256712 VARIOUS	IVY FDS INC LARGE CAP (0.00	0.85	95.0	0.00	0.81	0.00	0.81
	8 #00036264275 WIPFCAROK	IVY FDS INC LARGE CAP (0.00	1.51	95.0	0.00	1.43	0.00	1.43
01/11/201	8 #00036265357 VARIOUS	IVY FDS INC LARGE CAP (0.00	1.94	95.0	0.00	1.84	0.00	1.84
	8 #00036271912 VARIOUS	IVY FDS INC LARGE CAP (0.00	0.81	95.0	0.00	0.77	0.00	0.77
01/11/201	8 #00036284255 VARIOUS	IVY FDS INC LARGE CAP (GROWTH FD CL	0.00	0.62	95.0	0.00	0.59	0.00	0.59
01/11/201	8 #00036292781 VARIOUS	IVY FDS INC LARGE CAP (GROWTH FD CL	0.00	1.11	95.0	0.00	1.05	0.00	1.05
01/11/201	8 #00036319206 VARIOUS	IVY FDS INC LARGE CAP (GROWTH FD CL	0.00	4.01	95.0	0.00	3.81	0.00	3.81
01/11/201	8 #00036325146 TELLI, TERRL	IVY FDS INC LARGE CAP (GROWTH FD CL	0.00	22.83	95.0	0.00	21.69	0.00	21.69
01/11/201	8 #00036328297 VARIOUS	IVY FDS INC LARGE CAP (GROWTH FD CL	0.00	0.41	95.0	0.00	0.39	0.00	0.39
01/11/201	8 #00036331945 VARIOUS	IVY FDS INC LARGE CAP (GROWTH FD CL	0.00	0.72	95.0	0.00	0.68	0.00	0.68
01/11/201	8 #00036343490 O'BRIEN,	IVY FDS INC LARGE CAP (GROWTH FD CL	0.00	0.03	95.0	0.00	0.03	0.00	0.03
01/11/201	8 #00035998467 GORHAM,	IVY FDS INC SCIENCE & T	TECH FD CL A	0.00	8.81	95.0	0.00	8.37	0.00	8.37
01/11/201	8 #00036022546 VARIOUS	IVY FDS INC SCIENCE & T	TECH FD CL A	0.00	2.10	95.0	0.00	2.00	0.00	2.00
01/11/201	8 #00036022549 VARIOUS	IVY FDS INC SCIENCE & T	TECH FD CL A	0.00	4.14	95.0	0.00	3.93	0.00	3.93
01/11/201	8 #00036027743 TRAUT, TODD	IVY FDS INC SCIENCE & T	TECH FD CL A	0.00	1.64	95.0	0.00	1.56	0.00	1.56
01/11/201	8 #00036100908 VARIOUS	IVY FDS INC SCIENCE & T	TECH FD CL A	0.00	33.02	95.0	0.00	31.37	0.00	31.37
01/11/201	8 #00036102550 VARIOUS	IVY FDS INC SCIENCE & T	TECH FD CL A	0.00	4.26	95.0	0.00	4.05	0.00	4.05
01/11/201	8 #00036143432 VARIOUS	IVY FDS INC SCIENCE & T	TECH FD CL A	0.00	4.83	95.0	0.00	4.59	0.00	4.59
01/11/201	8 #00036143435 VARIOUS	IVY FDS INC SCIENCE & T	TECH FD CL A	0.00	1.63	95.0	0.00	1.55	0.00	1.55
01/11/201	8 #00036143438 VARIOUS	IVY FDS INC SCIENCE & 7	TECH FD CL A	0.00	1.20	95.0	0.00	1.14	0.00	1.14
01/11/201	8 #00036150657 JOHNSON, JOSE	EPH IVY FDS INC SCIENCE & T	TECH FD CL A	0.00	9.74	95.0	0.00	9.25	0.00	9.25
01/11/201	8 #00036150662 VARIOUS	IVY FDS INC SCIENCE & 7	TECH FD CL A	0.00	1.60	95.0	0.00	1.52	0.00	1.52
01/11/201	8 #00036150731 VARIOUS	IVY FDS INC SCIENCE & 7	TECH FD CL A	0.00	1.04	95.0	0.00	0.99	0.00	0.99
01/11/201	8 #00036163036 VARIOUS	IVY FDS INC SCIENCE & 7	TECH FD CL A	0.00	20.50	95.0	0.00	19.48	0.00	19.48
01/11/201	8 #00036171938 VARIOUS	IVY FDS INC SCIENCE & T	FECH FD CL A	0.00	1.61	95.0	0.00	1.53	0.00	1.53

INVESTMENT

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CLEARING

DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TR	ANSACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND 12E	31 TRAILS							
1/11/2018	3 #00036185398 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.62	95.0	0.00	0.59	0.00	0.59
1/11/2018	3 #00036185416 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.72	95.0	0.00	0.68	0.00	0.68
1/11/2018	3 #00036187511 BEANEDALEF	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.75	95.0	0.00	4.51	0.00	4.51
1/11/2018	3 #00036191000 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	9.10	95.0	0.00	8.65	0.00	8.65
1/11/2018	3 #00036200421 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	71.71	95.0	0.00	68.12	0.00	68.12
1/11/2018	3 #00036200467 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.62	95.0	0.00	0.59	0.00	0.59
1/11/2018	3 #00036259618 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.16	95.0	0.00	1.10	0.00	1.10
1/11/2018	3 #00036325628 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	33.95	95.0	0.00	32.25	0.00	32.25
1/11/2018	3 #00032366772 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	9.04	95.0	0.00	8.59	0.00	8.59
1/11/2018	3 #00032366773 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	8.63	95.0	0.00	8.20	0.00	8.20
1/11/2018	3 #00032367069 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.36	95.0	0.00	1.29	0.00	1.29
1/11/2018	3 #00032370316 CLINTON, PAUL	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.86	95.0	0.00	1.77	0.00	1.77
1/11/2018	3 #00032372557 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	7.93	95.0	0.00	7.53	0.00	7.53
1/11/2018	3 #00032374436 RENTSCHLER,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	5.97	95.0	0.00	5.67	0.00	5.67
1/11/2018	3 #00032375891 SPENNY, DAVID	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.76	95.0	0.00	1.67	0.00	1.67
1/11/2018	3 #00032377142 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.41	95.0	0.00	1.34	0.00	1.34
1/11/2018	3 #00032377143 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	0.90	95.0	0.00	0.86	0.00	0.86
1/11/2018	3 #00032381218 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.62	95.0	0.00	2.49	0.00	2.49
1/11/2018	3 #00032381219 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	2.59	95.0	0.00	2.46	0.00	2.46
1/11/2018	3 #00032381393 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.92	95.0	0.00	4.67	0.00	4.67
1/11/2018	3 #00032384266 SKORCZEWSKI,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	6.43	95.0	0.00	6.11	0.00	6.11
1/11/2018	3 #00032385108 PETERSON,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.49	95.0	0.00	3.32	0.00	3.32
1/11/2018	3 #00032386705 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	18.59	95.0	0.00	17.66	0.00	17.66
1/11/2018	3 #00032387551 ROBERTSON,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	5.88	95.0	0.00	5.59	0.00	5.59
1/11/2018	3 #00032388137 CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	12.15	95.0	0.00	11.54	0.00	11.54
1/11/2018	3 #00032390050 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	40.23	95.0	0.00	38.22	0.00	38.22
1/11/2018	3 #00032392205 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	7.36	95.0	0.00	6.99	0.00	6.99
1/11/2018	3 #00032396121 COOPER TRACY,	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.51	95.0	0.00	4.28	0.00	4.28
1/11/2018	3 #00032397882 REEKERS, DONN	A IVY FDS INC SCIENCE & TECH FD CL A	0.00	5.19	95.0	0.00	4.93	0.00	4.93
1/11/2018	3 #00032398033 ALLEN, KENNET	H IVY FDS INC SCIENCE & TECH FD CL A	0.00	7.80	95.0	0.00	7.41	0.00	7.41
1/11/2018	3 #00032398536 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	7.05	95.0	0.00	6.70	0.00	6.70
1/11/2018	3 #00032399652 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.93	95.0	0.00	3.73	0.00	3.73
1/11/2018	3 #00032400094 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	27.35	95.0	0.00	25.98	0.00	25.98
1/11/2018	3 #00032401125 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.83	95.0	0.00	1.74	0.00	1.74
1/11/2018	3 #00032401984 ANN D HAVILAN	D, IVY FDS INC SCIENCE & TECH FD CL A	0.00	8.25	95.0	0.00	7.84	0.00	7.84
1/11/2018	8 #00032405010 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.28	95.0	0.00	1.22	0.00	1.22

INVESTMENT

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DATE	CLIENT IN	/ESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER	TRANSACTIONS						
RODUCT C	CATEGORY: MUTUAL FUND 12B1	TRAILS							
1/11/2018	3 #00032405628 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	0.74	95.0	0.00	0.70	0.00	0.70
1/11/2018	3 #00032408419 DEMPSEY, STEVEN	IVY FDS INC SCIENCE & TECH FD CI	0.00	12.53	95.0	0.00	11.90	0.00	11.90
1/11/2018	3 #00032408864 DEMPSEY, DARLA	IVY FDS INC SCIENCE & TECH FD CI	0.00	7.84	95.0	0.00	7.45	0.00	7.45
1/11/2018	3 #00032409103 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	9.72	95.0	0.00	9.23	0.00	9.23
1/11/2018	3 #00032410232 CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CI	0.00	5.03	95.0	0.00	4.78	0.00	4.78
1/11/2018	3 #00032410239 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	9.95	95.0	0.00	9.45	0.00	9.45
1/11/2018	3 #00032410240 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	10.86	95.0	0.00	10.32	0.00	10.32
1/11/2018	3 #00032411317 ANDREWS,	IVY FDS INC SCIENCE & TECH FD CI	0.00	4.81	95.0	0.00	4.57	0.00	4.57
1/11/2018	3 #00032418293 SMOOK, MARY	IVY FDS INC SCIENCE & TECH FD CI	0.00	5.12	95.0	0.00	4.86	0.00	4.86
1/11/2018	3 #00032418731 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	15.70	95.0	0.00	14.92	0.00	14.92
1/11/2018	3 #00032418732 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	7.51	95.0	0.00	7.13	0.00	7.13
1/11/2018	3 #00032423005 SMOOK, CURTIS	IVY FDS INC SCIENCE & TECH FD CI	0.00	8.15	95.0	0.00	7.74	0.00	7.74
1/11/2018	3 #00032428411 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	42.47	95.0	0.00	40.35	0.00	40.35
1/11/2018	3 #00032435738 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	28.11	95.0	0.00	26.70	0.00	26.70
1/11/2018	3 #00032436812 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	3.35	95.0	0.00	3.18	0.00	3.18
1/11/2018	3 #00035202865 GLOVER, JESSICA	IVY FDS INC SCIENCE & TECH FD CI	0.00	23.32	95.0	0.00	22.15	0.00	22.15
1/11/2018	3 #00035335795 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	20.73	95.0	0.00	19.69	0.00	19.69
1/11/2018	3 #00035346023 ANN D HAVILAND,	IVY FDS INC SCIENCE & TECH FD CI	0.00	1.59	95.0	0.00	1.51	0.00	1.51
1/11/2018	3 #00035376545 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	21.97	95.0	0.00	20.87	0.00	20.87
1/11/2018	3 #00035376555 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	21.51	95.0	0.00	20.43	0.00	20.43
1/11/2018	3 #00035376560 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	13.47	95.0	0.00	12.80	0.00	12.80
1/11/2018	3 #00035377025 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	22.89	95.0	0.00	21.75	0.00	21.75
1/11/2018	3 #00035378852 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	9.25	95.0	0.00	8.79	0.00	8.79
1/11/2018	3 #00035381389 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	3.52	95.0	0.00	3.34	0.00	3.34
1/11/2018	3 #00035383226 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	0.68	95.0	0.00	0.65	0.00	0.65
1/11/2018	3 #00035402325 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	1.03	95.0	0.00	0.98	0.00	0.98
1/11/2018	3 #00035409359 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	0.60	95.0	0.00	0.57	0.00	0.57
1/11/2018	3 #00035419793 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	8.16	95.0	0.00	7.75	0.00	7.75
1/11/2018	3 #00035419838 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	7.94	95.0	0.00	7.54	0.00	7.54
1/11/2018	8 #00035436381 GLOVER, KEITH	IVY FDS INC SCIENCE & TECH FD CI	0.00	27.45	95.0	0.00	26.08	0.00	26.08
1/11/2018	3 #00035461171 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	24.58	95.0	0.00	23.35	0.00	23.35
L/11/2018	3 #00035461175 JOHNSON, DONALD	IVY FDS INC SCIENCE & TECH FD CI	0.00	18.96	95.0	0.00	18.01	0.00	18.01
1/11/2018	3 #00035478180 COOPER TRACY,	IVY FDS INC SCIENCE & TECH FD CI	0.00	10.58	95.0	0.00	10.05	0.00	10.05
1/11/2018	3 #00035491952 VARIOUS	IVY FDS INC SCIENCE & TECH FD CI	0.00	10.98	95.0	0.00	10.43	0.00	10.43
1/11/2018	3 #00035492585 EMERSON, SHAWN	IVY FDS INC SCIENCE & TECH FD CI	0.00	11.60	95.0	0.00	11.02	0.00	11.02
1/11/2018	8 #00035492588 EMERSON, BECKY	IVY FDS INC SCIENCE & TECH FD CI	A 0.00	17.24	95.0	0.00	16.38	0.00	16.38

INVESTMENT

GROSS

NET

CLEARING

DATE	CLIENT	NVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER	TRANSACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND 12B1	L TRAILS							
)1/11/2018	8 #00035494498 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	25.10	95.0	0.00	23.85	0.00	23.85
)1/11/2018	8 #00035495624 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	3.46	95.0	0.00	3.29	0.00	3.29
)1/11/2018	8 #00035500866 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	5.22	95.0	0.00	4.96	0.00	4.96
)1/11/2018	8 #00035500870 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	5.26	95.0	0.00	5.00	0.00	5.00
)1/11/2018	8 #00035502928 ANDREWS, SARA	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	12.49	95.0	0.00	11.87	0.00	11.87
)1/11/2018	8 #00035505447 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	82.75	95.0	0.00	78.61	0.00	78.61
)1/11/2018	8 #00035511829 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	2.04	95.0	0.00	1.94	0.00	1.94
)1/11/2018	8 #00035521755 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	6.68	95.0	0.00	6.35	0.00	6.35
)1/11/2018	8 #00035522407 HARSH, RAYMOND	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	10.16	95.0	0.00	9.65	0.00	9.65
)1/11/2018	8 #00035522691 GRAVES, HELEN	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	17.62	95.0	0.00	16.74	0.00	16.74
)1/11/2018	8 #00035529678 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	10.68	95.0	0.00	10.15	0.00	10.15
01/11/2018	8 #00035546501 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	7.11	95.0	0.00	6.75	0.00	6.75
01/11/2018	8 #00035564881 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	14.00	95.0	0.00	13.30	0.00	13.30
01/11/2018	8 #00035565309 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	8.27	95.0	0.00	7.86	0.00	7.86
01/11/2018	8 #00035569396 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	1.88	95.0	0.00	1.79	0.00	1.79
)1/11/2018	8 #00035571940 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	12.53	95.0	0.00	11.90	0.00	11.90
)1/11/2018	8 #00035572013 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	6.41	95.0	0.00	6.09	0.00	6.09
)1/11/2018	8 #00035572064 KEMP, DANIEL	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	114.19	95.0	0.00	108.48	0.00	108.48
)1/11/2018	8 #00035572237 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	1.88	95.0	0.00	1.79	0.00	1.79
)1/11/2018	8 #00035572251 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	9.53	95.0	0.00	9.05	0.00	9.05
)1/11/2018	8 #00035572728 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	13.32	95.0	0.00	12.65	0.00	12.65
01/11/2018	8 #00035572734 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	7.55	95.0	0.00	7.17	0.00	7.17
)1/11/2018	8 #00035578513 CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	3.42	95.0	0.00	3.25	0.00	3.25
01/11/2018	8 #00035578623 CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	5.15	95.0	0.00	4.89	0.00	4.89
)1/11/2018	8 #00035578629 CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	4.71	95.0	0.00	4.47	0.00	4.47
)1/11/2018	8 #00035580054 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	0.80	95.0	0.00	0.76	0.00	0.76
)1/11/2018	8 #00035584889 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	2.51	95.0	0.00	2.38	0.00	2.38
)1/11/2018	8 #00035589263 JOHNSON, DONAL	D IVY FDS INC SCIENCE & TECH FD CL	A 0.00	68.55	95.0	0.00	65.12	0.00	65.12
)1/11/2018	8 #00035593601 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	2.47	95.0	0.00	2.35	0.00	2.35
01/11/2018	8 #00035593604 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	4.36	95.0	0.00	4.14	0.00	4.14
01/11/2018	8 #00035601977 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	30.18	95.0	0.00	28.67	0.00	28.67
)1/11/2018	8 #00035606261 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	6.78	95.0	0.00	6.44	0.00	6.44
01/11/2018	8 #00035614936 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	2.47	95.0	0.00	2.35	0.00	2.35
)1/11/2018	8 #00035619764 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	10.92	95.0	0.00	10.37	0.00	10.37
01/11/2018	8 #00035631124 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	1.32	95.0	0.00	1.25	0.00	1.25
01/11/2018	8 #00035631138 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL	A 0.00	1.44	95.0	0.00	1.37	0.00	1.37

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DATE	CLIENT	NVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TRAN	SACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND 12E	1 TRAILS	·							
	8 #00035631141 VARIOUS		SCIENCE & TECH FD CL A	0.00	0.95	95.0	0.00	0.90	0.00	0.90
	8 #00035631725 WEBER, JESSIC	A IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	10.08	95.0	0.00	9.58	0.00	9.58
01/11/201	8 #00035633441 KOCOUREK,	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	3.31	95.0	0.00	3.14	0.00	3.14
01/11/201	8 #00035637930 VARIOUS	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	6.33	95.0	0.00	6.01	0.00	6.01
01/11/201	8 #00035637933 VARIOUS	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	1.33	95.0	0.00	1.26	0.00	1.26
01/11/201	8 #00035644796 VARIOUS	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	13.11	95.0	0.00	12.45	0.00	12.45
01/11/201	8 #00035646466 VARIOUS	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	0.90	95.0	0.00	0.86	0.00	0.86
01/11/201	8 #00035649857 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	15.36	95.0	0.00	14.59	0.00	14.59
01/11/201	8 #00035709381 VARIOUS	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	2.43	95.0	0.00	2.31	0.00	2.31
01/11/201	8 #00035740156 VARIOUS	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	12.25	95.0	0.00	11.64	0.00	11.64
01/11/201	8 #00035742403 VARIOUS	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	18.57	95.0	0.00	17.64	0.00	17.64
01/11/201	8 #00035746115 VARIOUS	IVY FDS INC S	CCIENCE & TECH FD CL A	0.00	6.07	95.0	0.00	5.77	0.00	5.77
01/11/201	8 #00035746667 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	0.75	95.0	0.00	0.71	0.00	0.71
01/11/201	8 #00035747495 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	14.39	95.0	0.00	13.67	0.00	13.67
01/11/201	8 #00035762704 VARIOUS	IVY FDS INC S	CCIENCE & TECH FD CL A	0.00	6.56	95.0	0.00	6.23	0.00	6.23
01/11/201	8 #00035790917 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	0.76	95.0	0.00	0.72	0.00	0.72
01/11/201	8 #00035799004 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	22.78	95.0	0.00	21.64	0.00	21.64
01/11/201	8 #00035812238 VARIOUS	IVY FDS INC S	CCIENCE & TECH FD CL A	0.00	0.60	95.0	0.00	0.57	0.00	0.57
01/11/201	8 #00035812240 VARIOUS	IVY FDS INC S	CCIENCE & TECH FD CL A	0.00	14.00	95.0	0.00	13.30	0.00	13.30
01/11/201	8 #00035812241 VARIOUS	IVY FDS INC S	CCIENCE & TECH FD CL A	0.00	0.22	95.0	0.00	0.21	0.00	0.21
01/11/201	8 #00035812257 VARIOUS	IVY FDS INC S	CCIENCE & TECH FD CL A	0.00	6.00	95.0	0.00	5.70	0.00	5.70
01/11/201	8 #00035812274 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	0.78	95.0	0.00	0.74	0.00	0.74
01/11/201	8 #00035823816 VARIOUS	IVY FDS INC S	CCIENCE & TECH FD CL A	0.00	4.58	95.0	0.00	4.35	0.00	4.35
01/11/201	8 #00035825644 VARIOUS	IVY FDS INC S	CCIENCE & TECH FD CL A	0.00	6.56	95.0	0.00	6.23	0.00	6.23
01/11/201	8 #00035826948 VARIOUS	IVY FDS INC S	CCIENCE & TECH FD CL A	0.00	5.42	95.0	0.00	5.15	0.00	5.15
01/11/201	8 #00035830897 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	9.62	95.0	0.00	9.14	0.00	9.14
01/11/201	8 #00035836837 VARIOUS	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	1.64	95.0	0.00	1.56	0.00	1.56
01/11/201	8 #00035864350 HALL, JASON	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	3.18	95.0	0.00	3.02	0.00	3.02
01/11/201	8 #00035888536 VARIOUS	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	1.87	95.0	0.00	1.78	0.00	1.78
01/11/201	8 #00035893702 VARIOUS	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	3.69	95.0	0.00	3.51	0.00	3.51
01/11/201	8 #00035895678 VARIOUS	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	108.95	95.0	0.00	103.50	0.00	103.50
01/11/201	8 #00035899205 VARIOUS	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	2.47	95.0	0.00	2.35	0.00	2.35
01/11/201	8 #00035920047 VARIOUS	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	7.28	95.0	0.00	6.92	0.00	6.92
01/11/201	8 #00035943714 VARIOUS	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	0.84	95.0	0.00	0.80	0.00	0.80
01/11/201	8 #00035959118 (TOD), ARTHY	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	4.12	95.0	0.00	3.91	0.00	3.91
01/11/201	8 #00035964475 VARIOUS	IVY FDS INC S	SCIENCE & TECH FD CL A	0.00	8.81	95.0	0.00	8.37	0.00	8.37

DATE	CLIENT	INVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TRAN	SACTIONS						
PRODIICT	CATEGORY: MUTUAL FUND	12B1 TRATIS								
	8 #00036009200 VARIOUS		CIENCE & TECH FD CL A	0.00	16.31	95.0	0.00	15.49	0.00	15.49
	8 #00036016083 VARIOUS		CIENCE & TECH FD CL A	0.00	8.89	95.0	0.00	8.45	0.00	8.45
	8 #00036026483 VARIOUS		CIENCE & TECH FD CL A	0.00	2.94	95.0	0.00	2.79	0.00	2.79
	8 #00036067833 VARIOUS		CIENCE & TECH FD CL A	0.00	21.89	95.0	0.00	20.80	0.00	20.80
	8 #00036074615 VARIOUS		CIENCE & TECH FD CL A	0.00	1.19	95.0	0.00	1.13	0.00	1.13
	8 #00036074619 VARIOUS		CIENCE & TECH FD CL A	0.00	0.98	95.0	0.00	0.93	0.00	0.93
	8 #00036075428 VARIOUS		CIENCE & TECH FD CL A	0.00	1.78	95.0	0.00	1.69	0.00	1.69
	8 #00036075904 VARIOUS		CIENCE & TECH FD CL A	0.00	10.55	95.0	0.00	10.02		10.02
01/11/201	8 #00036077188 VARIOUS		CIENCE & TECH FD CL A	0.00	2.94	95.0	0.00	2.79	0.00	2.79
	8 #00036078347 VARIOUS		CIENCE & TECH FD CL A	0.00	8.96	95.0	0.00	8.51	0.00	8.51
01/11/201	8 #00036087285 VARIOUS		CIENCE & TECH FD CL A	0.00	1.15	95.0	0.00	1.09	0.00	1.09
01/11/201	8 #00036087317 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	2.06	95.0	0.00	1.96	0.00	1.96
01/11/201	8 #00036091641 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	0.13	95.0	0.00	0.12	0.00	0.12
01/11/201	8 #00036093484 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	2.10	95.0	0.00	2.00	0.00	2.00
01/11/201	8 #00036098588 LEVEDCRYS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	2.28	95.0	0.00	2.17	0.00	2.17
01/11/201	8 #00036100946 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	13.44	95.0	0.00	12.77	0.00	12.77
01/11/201	8 #00036102027 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	3.71	95.0	0.00	3.52	0.00	3.52
01/11/201	8 #00036105310 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	1.84	95.0	0.00	1.75	0.00	1.75
01/11/201	8 #00036110221 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	8.17	95.0	0.00	7.76	0.00	7.76
01/11/201	8 #00036130197 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	1.22	95.0	0.00	1.16	0.00	1.16
01/11/201	8 #00036136229 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	33.46	95.0	0.00	31.79	0.00	31.79
01/11/201	8 #00036138925 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	6.59	95.0	0.00	6.26	0.00	6.26
01/11/201	8 #00036139635 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	12.17	95.0	0.00	11.56	0.00	11.56
01/11/201	8 #00036140579 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	1.99	95.0	0.00	1.89	0.00	1.89
01/11/201	8 #00036140585 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	0.82	95.0	0.00	0.78	0.00	0.78
01/11/201	8 #00036140592 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	2.00	95.0	0.00	1.90	0.00	1.90
01/11/201	8 #00036143265 HOGUETRACT	L IVY FDS INC S	CIENCE & TECH FD CL A	0.00	5.03	95.0	0.00	4.78	0.00	4.78
01/11/201	8 #00036144075 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	0.63	95.0	0.00	0.60	0.00	0.60
01/11/201	8 #00036149117 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	4.57	95.0	0.00	4.34	0.00	4.34
01/11/201	8 #00036149954 SPENNIDA,	L IVY FDS INC S	CIENCE & TECH FD CL A	0.00	0.90	95.0	0.00	0.86	0.00	0.86
01/11/201	8 #00036149956 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	2.10	95.0	0.00	2.00	0.00	2.00
01/11/201	8 #00036149962 SPENNY, DA	VID IVY FDS INC S	CIENCE & TECH FD CL A	0.00	3.02	95.0	0.00	2.87	0.00	2.87
01/11/201	8 #00036150735 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	1.04	95.0	0.00	0.99	0.00	0.99
01/11/201	8 #00036155645 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	0.98	95.0	0.00	0.93	0.00	0.93
01/11/201	8 #00036163019 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	14.51	95.0	0.00	13.78	0.00	13.78
01/11/201	8 #00036175845 VARIOUS	IVY FDS INC S	CIENCE & TECH FD CL A	0.00	49.06	95.0	0.00	46.61	0.00	46.61

DATE	CLIENT	NVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TRAN	IS A CTTONS						
			DROKEK TRAN	BACTIONS						
	CATEGORY: MUTUAL FUND 12B									
	8 #00036191199 VARIOUS	IVY FDS INC SCIENCE		0.00	6.26	95.0	0.00	5.95	0.00	5.95
	8 #00036192778 VARIOUS	IVY FDS INC SCIENCE		0.00	2.04	95.0	0.00	1.94	0.00	1.94
	8 #00036193565 EVANS, LARRL	IVY FDS INC SCIENCE		0.00	4.39	95.0	0.00	4.17	0.00	4.17
	8 #00036196256 VARIOUS	IVY FDS INC SCIENCE		0.00	2.98	95.0	0.00	2.83	0.00	2.83
	8 #00036197304 VARIOUS	IVY FDS INC SCIENCE		0.00	0.79	95.0	0.00	0.75	0.00	0.75
	8 #00036200166 VARIOUS	IVY FDS INC SCIENCE		0.00	1.24	95.0	0.00	1.18	0.00	1.18
	8 #00036204717 VARIOUS	IVY FDS INC SCIENCE		0.00	0.60	95.0	0.00	0.57	0.00	0.57
	8 #00036208319 TAYLOR, NICK	IVY FDS INC SCIENCE		0.00	2.37	95.0	0.00	2.25	0.00	2.25
01/11/201	8 #00036208332 TAYLOCALI	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	2.37	95.0	0.00	2.25	0.00	2.25
01/11/201	8 #00036209650 VARIOUS	IVY FDS INC SCIENCE		0.00	0.66	95.0	0.00	0.63	0.00	0.63
01/11/201	8 #00036209658 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	0.61	95.0	0.00	0.58	0.00	0.58
01/11/201	8 #00036210514 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	0.47	95.0	0.00	0.45	0.00	0.45
01/11/201	8 #00036247691 OKUWEZAKA	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	2.39	95.0	0.00	2.27	0.00	2.27
01/11/201	8 #00036253281 REEKERS, DONNA	A IVY FDS INC SCIENCE	& TECH FD CL A	0.00	1.14	95.0	0.00	1.08	0.00	1.08
01/11/201	8 #00036254373 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	1.84	95.0	0.00	1.75	0.00	1.75
01/11/201	8 #00036256712 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	0.94	95.0	0.00	0.89	0.00	0.89
01/11/201	8 #00036264275 WIPFCAROK	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	3.03	95.0	0.00	2.88	0.00	2.88
01/11/201	8 #00036265282 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	2.92	95.0	0.00	2.77	0.00	2.77
01/11/201	8 #00036265357 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	2.80	95.0	0.00	2.66	0.00	2.66
01/11/201	8 #00036265974 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	2.00	95.0	0.00	1.90	0.00	1.90
01/11/201	8 #00036266208 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	2.65	95.0	0.00	2.52	0.00	2.52
01/11/201	8 #00036271912 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	0.88	95.0	0.00	0.84	0.00	0.84
01/11/201	8 #00036272612 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	16.07	95.0	0.00	15.27	0.00	15.27
01/11/201	8 #00036276384 HOITEN, LAURA	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	0.85	95.0	0.00	0.81	0.00	0.81
01/11/201	8 #00036284255 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	0.65	95.0	0.00	0.62	0.00	0.62
01/11/201	8 #00036285574 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	3.10	95.0	0.00	2.95	0.00	2.95
01/11/201	8 #00036292781 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	1.18	95.0	0.00	1.12	0.00	1.12
01/11/201	8 #00036293375 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	0.78	95.0	0.00	0.74	0.00	0.74
01/11/201	8 #00036302624 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	17.17	95.0	0.00	16.31	0.00	16.31
01/11/201	8 #00036306920 BRYAN, CLAIN	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	1.32	95.0	0.00	1.25	0.00	1.25
01/11/201	8 #00036319206 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	3.47	95.0	0.00	3.30	0.00	3.30
01/11/201	8 #00036325146 TELLI, TERRL	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	30.33	95.0	0.00	28.81	0.00	28.81
01/11/201	8 #00036326947 EMERSOLIV	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	0.60	95.0	0.00	0.57	0.00	0.57
01/11/201	8 #00036328297 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	0.88	95.0	0.00	0.84	0.00	0.84
01/11/201	8 #00036331945 VARIOUS	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	0.74	95.0	0.00	0.70	0.00	0.70
01/11/201	8 #00036343490 O'BRIEN,	IVY FDS INC SCIENCE	& TECH FD CL A	0.00	0.16	95.0	0.00	0.15	0.00	0.15

INVESTMENT

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DATE	CLIENT IN	NVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TRAI	SACTIONS						
PRODUCT (CATEGORY: MUTUAL FUND 12B1	L TRAILS							
01/11/2018	8 #00032385108 PETERSON,	IVY GLOBAL BOND A	0.00	0.47	95.0	0.00	0.45	0.00	0.45
01/11/2018	8 #00032401984 ANN D HAVILAND	, IVY GLOBAL BOND A	0.00	9.04	95.0	0.00	8.59	0.00	8.59
01/11/2018	8 #00032415136 VARIOUS	IVY GLOBAL BOND A	0.00	0.60	95.0	0.00	0.57	0.00	0.57
01/11/2018	8 #00035376555 VARIOUS	IVY GLOBAL BOND A	0.00	2.16	95.0	0.00	2.05	0.00	2.05
01/11/2018	8 #00035478180 COOPER TRACY,	IVY GLOBAL BOND A	0.00	11.88	95.0	0.00	11.29	0.00	11.29
01/11/2018	8 #00035534884 VARIOUS	IVY GLOBAL BOND A	0.00	0.72	95.0	0.00	0.68	0.00	0.68
01/11/2018	8 #00035580054 VARIOUS	IVY GLOBAL BOND A	0.00	0.79	95.0	0.00	0.75	0.00	0.75
01/11/2018	8 #00035826948 VARIOUS	IVY GLOBAL BOND A	0.00	2.27	95.0	0.00	2.16	0.00	2.16
01/11/2018	8 #00035959118 (TOD), ARTHY	IVY GLOBAL BOND A	0.00	1.40	95.0	0.00	1.33	0.00	1.33
01/11/2018	8 #00035998467 GORHAM,	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.26	95.0	0.00	3.10	0.00	3.10
01/11/2018	8 #00036100908 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	4.95	95.0	0.00	4.70	0.00	4.70
01/11/2018	8 #00036102550 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.76	95.0	0.00	0.72	0.00	0.72
01/11/2018	8 #00036143432 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.80	95.0	0.00	1.71	0.00	1.71
01/11/2018	8 #00036143435 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.74	95.0	0.00	0.70	0.00	0.70
01/11/2018	8 #00036143438 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.88	95.0	0.00	0.84	0.00	0.84
01/11/2018	8 #00036150657 JOHNSON, JOSEP	H IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.45	95.0	0.00	2.33	0.00	2.33
01/11/2018	8 #00036150731 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.47	95.0	0.00	0.45	0.00	0.45
01/11/2018	8 #00036163036 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	6.11	95.0	0.00	5.80	0.00	5.80
01/11/2018	8 #00036171938 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.65	95.0	0.00	0.62	0.00	0.62
01/11/2018	8 #00036185398 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.52	95.0	0.00	0.49	0.00	0.49
01/11/2018	8 #00036185416 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.50	95.0	0.00	0.48	0.00	0.48
01/11/2018	8 #00036187511 BEANEDALEF	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.57	95.0	0.00	1.49	0.00	1.49
01/11/2018	8 #00036191000 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	6.43	95.0	0.00	6.11	0.00	6.11
01/11/2018	8 #00036200421 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.52	95.0	0.00	0.49	0.00	0.49
01/11/2018	8 #00036200467 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.52	95.0	0.00	0.49	0.00	0.49
01/11/2018	8 #00036259618 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.90	95.0	0.00	0.86	0.00	0.86
01/11/2018	8 #00036325628 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	10.65	95.0	0.00	10.12	0.00	10.12
01/11/2018	8 #00032370316 CLINTON, PAUL	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.39	95.0	0.00	2.27	0.00	2.27
01/11/2018	8 #00032372557 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	4.17	95.0	0.00	3.96	0.00	3.96
01/11/2018	8 #00032375891 SPENNY, DAVID	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.43	95.0	0.00	0.41	0.00	0.41
01/11/2018	8 #00032386705 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	4.52	95.0	0.00	4.29	0.00	4.29
01/11/2018	8 #00032387551 ROBERTSON,	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.22	95.0	0.00	2.11	0.00	2.11
01/11/2018	8 #00032390050 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	8.01	95.0	0.00	7.61	0.00	7.61
01/11/2018	8 #00032392205 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.23	95.0	0.00	1.17	0.00	1.17
01/11/2018	8 #00032397882 REEKERS, DONNA	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.92	95.0	0.00	0.87	0.00	0.87
01/11/2018	8 #00032398033 ALLEN, KENNETH	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.78	95.0	0.00	1.69	0.00	1.69

DATE	CLIENT	INVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TRAN	SACTTONS						
DD ODUGE	CAMPOON AGENTAL TIP		DROKEN IKAN	BACTIONS						
	CATEGORY: MUTUAL FUI		I DEGOLIDADA ELINID A	0.00	1 00	05.0	0.00	1 16	0.00	1 16
	8 #00032398536 VARIOUS		L RESOURCES FUND-C	0.00	1.22	95.0	0.00	1.16	0.00	1.16
	8 #00032399652 VARIOUS		L RESOURCES FUND-C	0.00	0.53	95.0	0.00	0.50	0.00	0.50
	8 #00032400094 VARIOUS		L RESOURCES FUND-C	0.00	3.78	95.0	0.00	3.59	0.00	3.59
	8 #00032401125 VARIOUS		L RESOURCES FUND-C	0.00	1.18	95.0	0.00	1.12	0.00	1.12
		AVILAND, IVY GLOBAL NATURA		0.00	7.88	95.0	0.00	7.49	0.00	7.49
		, STEVEN IVY GLOBAL NATURA		0.00	0.49	95.0	0.00	0.47	0.00	0.47
	8 #00032408864 DEMPSEY		L RESOURCES FUND-C	0.00	0.51	95.0	0.00	0.48	0.00	0.48
	8 #00032411317 ANDREWS		L RESOURCES FUND-C	0.00	0.55	95.0	0.00	0.52		0.52
	8 #00032418293 SMOOK, 1		L RESOURCES FUND-C	0.00	1.99	95.0	0.00	1.89	0.00	1.89
	8 #00032418731 VARIOUS		L RESOURCES FUND-C	0.00	0.81	95.0	0.00	0.77	0.00	0.77
	8 #00032418732 VARIOUS 8 #00032423005 SMOOK,		L RESOURCES FUND-C	0.00	1.25	95.0	0.00	1.19	0.00	1.19
			L RESOURCES FUND C	0.00	2.96	95.0	0.00	2.81	0.00	2.81
	8 #00032428411 VARIOUS		L RESOURCES FUND C	0.00	9.01	95.0	0.00	8.56		8.56
	8 #00032435738 VARIOUS		L RESOURCES FUND C	0.00	5.05	95.0	0.00	4.80	0.00	4.80 3.37
	8 #00035335795 VARIOUS	IVI GLOBAL NATURA AVILAND, IVY GLOBAL NATURA	L RESOURCES FUND C	0.00	3.55	95.0	0.00	3.37	0.00	
		,		0.00	1.30	95.0	0.00	1.24	0.00	1.24
	8 #00035376545 VARIOUS		L RESOURCES FUND C	0.00	1.37	95.0	0.00	1.30	0.00	1.30
	8 #00035376555 VARIOUS		L RESOURCES FUND-C	0.00	5.58	95.0	0.00	5.30	0.00	5.30
	8 #00035376560 VARIOUS		L RESOURCES FUND-C	0.00	8.74	95.0	0.00	8.30	0.00	8.30
	8 #00035377025 VARIOUS		L RESOURCES FUND-C	0.00	3.93	95.0	0.00	3.73	0.00	3.73
	8 #00035378852 VARIOUS		L RESOURCES FUND-C	0.00	3.12	95.0	0.00	2.96		2.96
	8 #00035381389 VARIOUS		L RESOURCES FUND-C	0.00	0.69	95.0	0.00	0.66	0.00	0.66
	8 #00035383226 VARIOUS		L RESOURCES FUND-C	0.00	0.45	95.0	0.00	0.43	0.00	0.43
	8 #00035419793 VARIOUS		L RESOURCES FUND C	0.00	1.42	95.0	0.00	1.35	0.00	1.35
	8 #00035419838 VARIOUS		L RESOURCES FUND-C	0.00	0.92	95.0	0.00	0.87	0.00	0.87
	8 #00035461171 VARIOUS			0.00	5.81	95.0 95.0	0.00	5.52		5.52
	8 #00035478180 COOPER ' 8 #00035491952 VARIOUS		L RESOURCES FUND C	0.00	5.16			4.90	0.00	4.90
			L RESOURCES FUND-C	0.00	1.85	95.0	0.00	1.76		1.76
		, SHAWN IVY GLOBAL NATURA		0.00	5.45	95.0	0.00	5.18		5.18
		, BECKY IVY GLOBAL NATURA		0.00	8.38	95.0	0.00	7.96		7.96
	8 #00035494498 VARIOUS		L RESOURCES FUND-C	0.00	3.26	95.0	0.00	3.10		3.10
	8 #00035495624 VARIOUS		L RESOURCES FUND-C	0.00	3.24	95.0	0.00	3.08		3.08
	8 #00035500866 VARIOUS		L RESOURCES FUND-C	0.00	1.36	95.0	0.00	1.29		1.29
	8 #00035500870 VARIOUS		L RESOURCES FUND-C	0.00	1.36	95.0	0.00	1.29		1.29
	8 #00035521755 VARIOUS		L RESOURCES FUND C	0.00	0.47	95.0	0.00	0.45		0.45
01/11/201	8 #00035522691 GRAVES,	HELEN IVY GLOBAL NATURA	L RESOURCES FUND-C	0.00	1.45	95.0	0.00	1.38	0.00	1.38

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DATE	CLIENT	INI	VESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
			BROKER TRA	NSACTIONS						
PRODUCT	CATEGORY: MU	TUAL FUND 12B1	TRAILS							
01/11/201	8 #00035529678	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.43	95.0	0.00	1.36	0.00	1.36
01/11/201	8 #00035564881	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.91	95.0	0.00	1.81	0.00	1.81
01/11/201	8 #00035572771	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.66	95.0	0.00	0.63	0.00	0.63
01/11/201	8 #00035578513	CHRISTOPHER,	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	6.27	95.0	0.00	5.96	0.00	5.96
01/11/201	8 #00035589263	JOHNSON, DONALD	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	8.56	95.0	0.00	8.13	0.00	8.13
01/11/201	8 #00035601977	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.94	95.0	0.00	1.84	0.00	1.84
01/11/201	8 #00035606261	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.23	95.0	0.00	1.17	0.00	1.17
01/11/201	8 #00035614936	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.54	95.0	0.00	0.51	0.00	0.51
01/11/201	8 #00035619764	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	4.74	95.0	0.00	4.50	0.00	4.50
01/11/201	8 #00035631141	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.53	95.0	0.00	0.50	0.00	0.50
01/11/201	8 #00035633441	KOCOUREK,	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.61	95.0	0.00	0.58	0.00	0.58
01/11/201	8 #00035637930	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.39	95.0	0.00	1.32	0.00	1.32
01/11/201	8 #00035637933	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.66	95.0	0.00	0.63	0.00	0.63
01/11/201	8 #00035644796	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.51	95.0	0.00	2.38	0.00	2.38
01/11/201	8 #00035649857	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.73	95.0	0.00	3.54	0.00	3.54
01/11/201	8 #00035683176	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.08	95.0	0.00	1.03	0.00	1.03
01/11/201	8 #00035709381	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.77	95.0	0.00	0.73	0.00	0.73
01/11/201	8 #00035740156	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.38	95.0	0.00	3.21	0.00	3.21
01/11/201	8 #00035742403	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	4.55	95.0	0.00	4.32	0.00	4.32
01/11/201	8 #00035746115	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	5.00	95.0	0.00	4.75	0.00	4.75
01/11/201	8 #00035746667	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.52	95.0	0.00	0.49	0.00	0.49
01/11/201	8 #00035747495	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.51	95.0	0.00	3.33	0.00	3.33
01/11/201	8 #00035762704	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.70	95.0	0.00	2.57	0.00	2.57
01/11/201	8 #00035799004	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	4.16	95.0	0.00	3.95	0.00	3.95
01/11/201	8 #00035812240	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	8.90	95.0	0.00	8.46	0.00	8.46
01/11/201	8 #00035830897	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.30	95.0	0.00	1.24	0.00	1.24
01/11/201	8 #00035836837	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.46	95.0	0.00	0.44	0.00	0.44
01/11/201	8 #00035864350	HALL, JASON	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.28	95.0	0.00	1.22	0.00	1.22
01/11/201	8 #00035888536	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.88	95.0	0.00	0.84	0.00	0.84
01/11/201	8 #00035895678	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	23.95	95.0	0.00	22.75	0.00	22.75
01/11/201	8 #00035920047	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.63	95.0	0.00	2.50	0.00	2.50
01/11/201	8 #00035959118	(TOD), ARTHY	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	0.94	95.0	0.00	0.89	0.00	0.89
01/11/201	8 #00036009200	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	2.56	95.0	0.00	2.43	0.00	2.43
01/11/201	8 #00036067833	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	7.08	95.0	0.00	6.73	0.00	6.73
01/11/201	8 #00036075428	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.00	95.0	0.00	0.95	0.00	0.95
01/11/201	8 #00036075904	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	3.62	95.0	0.00	3.44	0.00	3.44

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DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROK	ER TRANSACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND	12B1 TRAILS							
1/11/2018	8 #00036077188 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	2.38	95.0	0.00	2.26	0.00	2.26
1/11/2018	8 #00036077197 LYKKEN, A	RLO IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	0.92	95.0	0.00	0.87	0.00	0.87
1/11/2018	8 #00036078347 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	4.20	95.0	0.00	3.99	0.00	3.99
1/11/2018	8 #00036087317 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	0.86	95.0	0.00	0.82	0.00	0.82
1/11/2018	8 #00036100946 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	1.36	95.0	0.00	1.29	0.00	1.29
1/11/2018	8 #00036102027 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	0.78	95.0	0.00	0.74	0.00	0.74
1/11/2018	8 #00036136229 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	12.30	95.0	0.00	11.69	0.00	11.69
1/11/2018	8 #00036138925 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	3.94	95.0	0.00	3.74	0.00	3.74
1/11/2018	8 #00036139635 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	9.05	95.0	0.00	8.60	0.00	8.60
1/11/2018	8 #00036140579 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	0.67	95.0	0.00	0.64	0.00	0.64
1/11/2018	8 #00036140585 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	0.52	95.0	0.00	0.49	0.00	0.49
1/11/2018	8 #00036140592 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	1.10	95.0	0.00	1.05	0.00	1.05
1/11/2018	8 #00036144075 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	0.52	95.0	0.00	0.49	0.00	0.49
1/11/2018	8 #00036144736 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	0.48	95.0	0.00	0.46	0.00	0.46
1/11/2018	8 #00036144737 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	0.48	95.0	0.00	0.46	0.00	0.46
1/11/2018	8 #00036149954 SPENNIDA,	L IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	0.70	95.0	0.00	0.67	0.00	0.67
1/11/2018	8 #00036150735 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	0.47	95.0	0.00	0.45	0.00	0.45
1/11/2018	8 #00036175845 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	4.97	95.0	0.00	4.72	0.00	4.72
1/11/2018	8 #00036191199 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	4.98	95.0	0.00	4.73	0.00	4.73
1/11/2018	8 #00036196256 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	1.45	95.0	0.00	1.38	0.00	1.38
1/11/2018	8 #00036204717 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	0.65	95.0	0.00	0.62	0.00	0.62
1/11/2018	8 #00036208319 TAYLOR, N	ICK IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	1.64	95.0	0.00	1.56	0.00	1.56
1/11/2018	8 #00036208332 TAYLOCALI	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	1.84	95.0	0.00	1.75	0.00	1.75
1/11/2018	8 #00036209658 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	0.46	95.0	0.00	0.44	0.00	0.44
1/11/2018	8 #00036302624 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	12.60	95.0	0.00	11.97	0.00	11.97
1/11/2018	8 #00036325146 TELLI, TE	RRL IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	6.01	95.0	0.00	5.71	0.00	5.71
1/11/2018	8 #00036328297 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	0.42	95.0	0.00	0.40	0.00	0.40
1/11/2018	8 #00036331945 VARIOUS	IVY GLOBAL NATURAL RESOURCES	FUND-C 0.00	0.76	95.0	0.00	0.72	0.00	0.72
1/11/2018	8 #00035202865 GLOVER, J	ESSICA IVY HIGH INCOME B	0.00	0.31	95.0	0.00	0.29	0.00	0.29
1/11/2018	8 #00035436381 GLOVER, K	EITH IVY HIGH INCOME B	0.00	0.15	95.0	0.00	0.14	0.00	0.14
1/11/2018	8 #00036149737 VARIOUS	IVY HIGH INCOME C	0.00	2.46	95.0	0.00	2.34	0.00	2.34
1/11/2018	8 #00036200421 VARIOUS	IVY INTERNATIONAL CORE EQUITY	A 0.00	31.47	95.0	0.00	29.90	0.00	29.90
1/11/2018	8 #00036325628 VARIOUS	IVY INTERNATIONAL CORE EQUITY	A 0.00	10.39	95.0	0.00	9.87	0.00	9.87
1/11/2018	8 #00032381393 VARIOUS	IVY INTERNATIONAL CORE EQUITY	A 0.00	2.90	95.0	0.00	2.76	0.00	2.76
1/11/2018	8 #00032387551 ROBERTSON	, IVY INTERNATIONAL CORE EQUITY	0.00	1.47	95.0	0.00	1.40	0.00	1.40
1/11/2018	8 #00032396121 COOPER TR	ACY, IVY INTERNATIONAL CORE EQUITY	A 0.00	1.79	95.0	0.00	1.70	0.00	1.70

INVESTMENT

GROSS

NET

CLEARING

PRODUCT CATRGORY: MUTUAL FUND 1281 TRAILS	LIENT	NVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
01/11/2018 #00032399652 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.18 95.0 0.00 1.12 0.00 01/11/2018 #0003240094 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 5.01 95.0 0.00 4.76 0.00 01/11/2018 #00032418731 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 7.80 95.0 0.00 4.76 0.00 01/11/2018 #00032418732 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.16 95.0 0.00 1.10 0.00 01/11/2018 #00032418732 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.16 95.0 0.00 1.10 0.00 01/11/2018 #00035376555 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.16 95.0 0.00 1.13 0.00 01/11/2018 #00035376555 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.08 95.0 0.00 1.03 0.00 01/11/2018 #00035491952 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.08 95.0 0.00 1.03 0.00 01/11/2018 #00035491952 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.54 95.0 0.00 1.04 0.00 01/11/2018 #00035491954 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.54 95.0 0.00 1.06 0.00 01/11/2018 #00035491954 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 3.26 95.0 0.00 1.04 0.00 01/11/2018 #00035496498 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 3.65 95.0 0.00 0.81 0.00 01/11/2018 #0003549615 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 1.00 0.01 0.00 01/11/2018 #0003549615 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 1.00 0.00 01/11/2018 #00036409200 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.36 95.0 0.00 0.00 0.00 01/11/2018 #000364050920 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.36 95.0 0.00 0.75 0.00 01/11/2018 #000364050920 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.01 95.0 0.00 0.77 0.00 01/11/2018 #00036405407 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.01 95.0 0.00 0.77 0.00 01/11/2018 #00036405407 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.01 95.0 0.00 0.77 0.00 01/11/2018 #00036405407 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.01 95.0 0.00 0.77 0.00 01/11/2018 #0003642575 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.76 95.0 0.00 0.77 0.00 01/11/2018 #00036252757 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00		BROKER TRA	NSACTIONS						
01/11/2018 #00032400094 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 5.01 95.0 0.00 7.20 0.00 10/11/2018 #00032418731 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 7.56 95.0 0.00 7.20 0.00 10/11/2018 #00032418732 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.16 95.0 0.00 1.00 1.00 01/11/2018 #00033478555 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 4.46 95.0 0.00 1.13 0.00 11/11/2018 #00035478690 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.08 95.0 0.00 1.03 0.00 01/11/2018 #000354994890 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.08 95.0 0.00 1.03 0.00 01/11/2018 #000354994890 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.08 95.0 0.00 1.03 0.00 01/11/2018 #000354994890 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.54 95.0 0.00 1.06 0.00 01/11/2018 #000354994890 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.54 95.0 0.00 1.06 0.00 01/11/2018 #000354994890 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.01 0.00 01/11/2018 #000354994890 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.81 0.00 01/11/2018 #000354994890 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.81 0.00 01/11/2018 #000354994890 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.81 0.00 01/11/2018 #0003609200 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.87 0.00 01/11/2018 #0003609200 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.91 95.0 0.00 0.00 0.75 0.00 01/11/2018 #0003609200 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.91 95.0 0.00 0.07 0.00 01/11/2018 #00036254973 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.81 95.0 0.00 0.75 0.00 01/11/2018 #00036254973 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.81 95.0 0.00 0.77 0.00 01/11/2018 #00036254975 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.81 95.0 0.00 0.74 0.00 01/11/2018 #00036254975 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #00036254975 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #00036254975 VARIOUS IVY INTERNATIONAL CORE EQUITY	EGORY: MUTUAL FUND 12	1 TRAILS							
01/11/2018 #00032418731 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.16 95.0 0.00 7.20 0.00 01/11/2018 #00032418732 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.16 95.0 0.00 1.00 1.00 01/11/2018 #00035376555 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.19 95.0 0.00 1.03 0.00 01/11/2018 #00035474804 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.19 95.0 0.00 1.03 0.00 01/11/2018 #00035491952 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.08 95.0 0.00 1.03 0.00 01/11/2018 #00035494498 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.54 95.0 0.00 1.00 1.00 01/11/2018 #00035592691 GRAVES, HELEN IVY INTERNATIONAL CORE EQUITY A 0.00 1.54 95.0 0.00 1.00 0.00 01/11/2018 #00035592691 GRAVES, HELEN IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.00 0.81 0.00 01/11/2018 #00035594615 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.00 0.81 0.00 01/11/2018 #00035594615 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.00 0.81 0.00 01/11/2018 #00036009200 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.00 0.22 0.00 01/11/2018 #00036009200 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.55 0.00 0.00 0.7.51 0.00 01/11/2018 #0003605954973 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.01 0.00 0.00 0.00 0.00 0.00 0.	0032399652 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.18	95.0	0.00	1.12	0.00	1.12
01/11/2018 #00032418732 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.16 95.0 0.00 1.10 0.00 01/11/2018 #00035476555 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.19 95.0 0.00 4.24 0.00 01/11/2018 #00035474804 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.19 95.0 0.00 1.03 0.00 01/11/2018 #000354919952 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.08 95.0 0.00 1.03 0.00 01/11/2018 #000354919952 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.54 95.0 0.00 1.46 0.00 01/11/2018 #000355246115 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 3.26 95.0 0.00 1.46 0.00 01/11/2018 #000355246115 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 3.26 95.0 0.00 3.10 0.00 01/11/2018 #000355246115 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.81 0.00 01/11/2018 #000355246115 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.55 95.0 0.00 0.01 0.00 01/11/2018 #00036016083 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.56 95.0 0.00 0.00 2.24 0.00 01/11/2018 #00036016083 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 9.18 95.0 0.00 8.72 0.00 01/11/2018 #00036158845 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 9.18 95.0 0.00 7.51 0.00 01/11/2018 #000362564373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 9.18 95.0 0.00 7.51 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.21 95.0 0.00 1.15 0.00 01/11/2018 #000362564373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.21 95.0 0.00 1.15 0.00 01/11/2018 #000362564373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.24 95.0 0.00 1.27 0.00 01/11/2018 #000362564373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.24 95.0 0.00 1.27 0.00 01/11/2018 #000362564275 WARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.61 95.0 0.00 1.27 0.00 01/11/2018 #00036256257 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.68 95.0 0.00 0.00 1.29 0.00 01/11/2018 #00036254574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.74 0.00 0.74 0.00 01/11/2018 #00036254574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.00 0.74 0.00 01/11/2018 #00036252574 VARIOUS IVY INTERNATIONAL C	0032400094 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	5.01	95.0	0.00	4.76	0.00	4.76
01/11/2018 #00035376555 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.19 95.0 0.00 4.24 0.00 01/11/2018 #00035474804 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.19 95.0 0.00 1.13 0.00 01/11/2018 #000354974804 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.08 95.0 0.00 1.03 0.00 01/11/2018 #00035497498 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.54 95.0 0.00 1.46 0.00 01/11/2018 #0003552691 GRAVES, HELEN IVY INTERNATIONAL CORE EQUITY A 0.00 1.54 95.0 0.00 3.10 0.00 01/11/2018 #000355746115 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.81 0.00 01/11/2018 #00035888536 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.81 0.00 01/11/2018 #00035888536 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.15 95.0 0.00 0.00 0.00 01/11/2018 #00036008200 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.2.36 95.0 0.00 8.72 0.00 01/11/2018 #00036016083 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.91.8 95.0 0.00 8.72 0.00 01/11/2018 #00036015845 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 7.90 95.0 0.00 7.51 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 7.90 95.0 0.00 7.51 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.21 95.0 0.00 1.15 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.81 95.0 0.00 1.37 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.81 95.0 0.00 1.37 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.81 95.0 0.00 1.27 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.81 95.0 0.00 1.27 0.00 01/11/2018 #00036254537 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.84 95.0 0.00 1.27 0.00 01/11/2018 #00036254537 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.00 1.27 0.00 01/11/2018 #00036254537 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.00 1.54 0.00 01/11/2018 #00036254537 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.00 1.54 0.00 01/11/2018 #0003632546 TELLI, TERRL IVY INTERN	0032418731 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	7.58	95.0	0.00	7.20	0.00	7.20
01/11/2018 H00035474804 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.09 95.0 0.00 1.13 0.00 01/11/2018 H00035491952 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.08 95.0 0.00 1.03 0.00 01/11/2018 H000355249498 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.54 95.0 0.00 1.46 0.00 01/11/2018 H00035524091 GRAVES, HELEN IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 3.10 0.00 01/11/2018 H00035746115 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.81 0.00 01/11/2018 H00035808536 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.00 1.09 0.00 01/11/2018 H00035808536 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.36 95.0 0.00 1.00 0.81 0.00 01/11/2018 H00036009200 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.36 95.0 0.00 2.24 0.00 01/11/2018 H00036015845 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 9.18 95.0 0.00 8.72 0.00 01/11/2018 H00036015845 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 7.90 95.0 0.00 7.51 0.00 01/11/2018 H00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 7.90 95.0 0.00 7.51 0.00 01/11/2018 H00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 7.90 95.0 0.00 0.77 0.00 01/11/2018 H00036256712 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.81 95.0 0.00 0.77 0.00 01/11/2018 H00036256712 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.81 95.0 0.00 0.77 0.00 01/11/2018 H00036256712 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.144 95.0 0.00 0.77 0.00 01/11/2018 H00036256712 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.144 95.0 0.00 0.00 1.27 0.00 01/11/2018 H0003627912 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 H0003627912 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 H0003628574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 H0003628594 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.00 0.74 0.00 01/11/2018 H00036285047 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.00 0.38 0.00 01/11/2018 H00036285047 VARIOUS IVY INTERN	0032418732 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.16	95.0	0.00	1.10	0.00	1.10
01/11/2018 #00035491952 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.08 95.0 0.00 1.03 0.00 01/11/2018 #00035494498 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.54 95.0 0.00 1.46 0.00 01/11/2018 #00035522691 GRAVES, HELEN IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 3.10 0.00 01/11/2018 #00035746115 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.81 0.00 01/11/2018 #00035888536 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.15 95.0 0.00 1.09 0.00 01/11/2018 #00036009200 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.36 95.0 0.00 2.24 0.00 01/11/2018 #00036016083 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 9.18 95.0 0.00 8.72 0.00 01/11/2018 #00036016083 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 9.18 95.0 0.00 7.51 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 7.90 95.0 0.00 7.51 0.00 01/11/2018 #00036254737 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.21 95.0 0.00 1.15 0.00 01/11/2018 #00036256712 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.21 95.0 0.00 1.37 0.00 01/11/2018 #00036256737 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.44 95.0 0.00 1.37 0.00 01/11/2018 #00036256712 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.44 95.0 0.00 1.37 0.00 01/11/2018 #00036256712 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 1.27 0.00 01/11/2018 #00036256357 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 0.74 0.00 01/11/2018 #000362571912 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #000362572612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #00036257012 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.77 95.0 0.00 0.77 0.00 01/11/2018 #00036257012 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.77 95.0 0.00 0.77 0.00 0.77 0.00 01/11/2018 #00036257012 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.57 95.0 0.00 0.57 0.00 0.57 0.00 01/11/2018 #00036257012 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.57 95.0 0.00 0.57 0.00 0.57 0.00 01/11/2018 #00036257012 VARIOUS IV	0035376555 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	4.46	95.0	0.00	4.24	0.00	4.24
01/11/2018 #00035494498 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.54 95.0 0.00 1.46 0.00 01/11/2018 #00035522691 GRAVES, HELEN IVY INTERNATIONAL CORE EQUITY A 0.00 3.26 95.0 0.00 3.10 0.00 01/11/2018 #00035746115 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.88 95.0 0.00 0.81 0.00 01/11/2018 #00035888536 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.15 95.0 0.00 1.09 0.00 01/11/2018 #00036016083 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.36 95.0 0.00 2.24 0.00 01/11/2018 #0003615083 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 9.18 95.0 0.00 8.72 0.00 01/11/2018 #0003615083 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 9.18 95.0 0.00 7.51 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 7.90 95.0 0.00 7.51 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.21 95.0 0.00 1.15 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.21 95.0 0.00 1.37 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.44 95.0 0.00 1.37 0.00 01/11/2018 #00036265287 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.44 95.0 0.00 1.37 0.00 01/11/2018 #00036265287 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 1.27 0.00 01/11/2018 #00036276312 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.34 95.0 0.00 1.27 0.00 01/11/2018 #00036276202 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.34 95.0 0.00 1.27 0.00 01/11/2018 #0003627612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #0003627612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 1.54 0.00 01/11/2018 #00036285474 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 1.54 0.00 01/11/2018 #000362854674 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.79 95.0 0.00 0.74 0.00 01/11/2018 #000362854674 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.79 95.0 0.00 1.54 0.00 01/11/2018 #0003628574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.79 95.0 0.00 1.54 0.00 0.70 0.70 0.70 0.70 0.70 0.70 0.7	0035474804 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.19	95.0	0.00	1.13	0.00	1.13
01/11/2018 #00035522691 GRAVES, HELEN IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.81 0.00 01/11/2018 #00035746115 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.81 0.00 01/11/2018 #00035888536 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.15 95.0 0.00 1.09 0.00 01/11/2018 #0003609200 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.36 95.0 0.00 2.24 0.00 01/11/2018 #00036016083 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 9.18 95.0 0.00 8.72 0.00 01/11/2018 #00036016083 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 9.18 95.0 0.00 7.90 0.00 01/11/2018 #00036175845 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 7.90 95.0 0.00 7.51 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.21 95.0 0.00 1.15 0.00 01/11/2018 #0003625475 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.21 95.0 0.00 1.15 0.00 01/11/2018 #00036264275 WIFFCAROK IVY INTERNATIONAL CORE EQUITY A 0.00 1.44 95.0 0.00 1.37 0.00 01/11/2018 #00036264275 WIFFCAROK IVY INTERNATIONAL CORE EQUITY A 0.00 1.44 95.0 0.00 1.37 0.00 01/11/2018 #00036264275 WIFFCAROK IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 1.27 0.00 01/11/2018 #00036264275 WIFFCAROK IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 1.27 0.00 01/11/2018 #00036264275 WIFFCAROK IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 1.27 0.00 01/11/2018 #00036264275 WIFFCAROK IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 1.27 0.00 01/11/2018 #00036265357 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 1.27 0.00 01/11/2018 #00036272612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 1.54 0.00 0.74 0.00 01/11/2018 #00036285574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.55 95.0 0.00 1.54 0.00 0.01 0.71 0.00 01/11/2018 #00036285574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.55 95.0 0.00 0.55 95.0 0.00 0.38 0.00 01/11/2018 #0003632548597 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	0035491952 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.08	95.0	0.00	1.03	0.00	1.03
01/11/2018 #00035746115 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.85 95.0 0.00 0.81 0.00 01/11/2018 #00035888536 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.15 95.0 0.00 1.09 0.00 01/11/2018 #0003609920 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.36 95.0 0.00 2.24 0.00 01/11/2018 #00036016083 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 9.18 95.0 0.00 8.72 0.00 01/11/2018 #00036175845 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 7.90 95.0 0.00 7.51 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.21 95.0 0.00 1.15 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.21 95.0 0.00 0.77 0.00 01/11/2018 #000362564275 WIPFCAROX IVY INTERNATIONAL CORE EQUITY A 0.00 1.44 95.0 0.00 1.37 0.00 01/11/2018 #00036264275 WIPFCAROX IVY INTERNATIONAL CORE EQUITY A 0.00 1.44 95.0 0.00 1.37 0.00 01/11/2018 #00036265457 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 1.29 0.00 01/11/2018 #00036265457 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 1.27 0.00 01/11/2018 #00036265457 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 1.27 0.00 01/11/2018 #0003626525 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #00036272612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #00036285574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.05 0.00 0.74 0.00 01/11/2018 #00036285574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.57 95.0 0.00 0.00 0.55 0.00 01/11/2018 #00036319206 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.55 0.00 0.00 0.54 0.00 01/11/2018 #00036319206 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	0035494498 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.54	95.0	0.00	1.46	0.00	1.46
01/11/2018 #00035888536 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.15 95.0 0.00 1.09 0.00 01/11/2018 #00036009200 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.36 95.0 0.00 2.24 0.00 01/11/2018 #00036016083 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 9.18 95.0 0.00 8.72 0.00 01/11/2018 #00036175845 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 7.90 95.0 0.00 7.51 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.21 95.0 0.00 1.15 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.81 95.0 0.00 0.77 0.00 01/11/2018 #00036256712 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.81 95.0 0.00 1.37 0.00 01/11/2018 #00036264275 WIPFCAROK IVY INTERNATIONAL CORE EQUITY A 0.00 1.44 95.0 0.00 1.37 0.00 01/11/2018 #0003626625357 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 1.29 0.00 01/11/2018 #00036266208 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.34 95.0 0.00 1.27 0.00 01/11/2018 #00036266208 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #00036272612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #00036285574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 1.54 0.00 01/11/2018 #00036285574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 1.54 0.00 01/11/2018 #00036319206 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 1.54 0.00 01/11/2018 #00036319206 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	0035522691 GRAVES, HELEN	IVY INTERNATIONAL CORE EQUITY A	0.00	3.26	95.0	0.00	3.10	0.00	3.10
01/11/2018 #00036019200 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.36 95.0 0.00 2.24 0.00 01/11/2018 #00036016083 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 9.18 95.0 0.00 8.72 0.00 01/11/2018 #00036175845 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 7.90 95.0 0.00 7.51 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.21 95.0 0.00 1.15 0.00 01/11/2018 #0003625475 WIRDUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.81 95.0 0.00 0.77 0.00 01/11/2018 #00036256757 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.44 95.0 0.00 1.37 0.00 01/11/2018 #00036265357 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 1.29 0.00 01/11/2018 #00036266208 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.34 95.0 0.00 1.27 0.00 01/11/2018 #00036266208 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.34 95.0 0.00 1.27 0.00 01/11/2018 #00036272612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 1.27 0.00 01/11/2018 #00036255357 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #0003625740 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 1.54 0.00 01/11/2018 #0003625740 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 2.59 0.00 01/11/2018 #0003631945 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.25 95.0 0.00 1.54 0.00 01/11/2018 #0003631945 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.05 0.00 0.05 0.00 0.38 0.00 01/11/2018 #00036331945 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	0035746115 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	0.85	95.0	0.00	0.81	0.00	0.81
01/11/2018 #00036016083 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 9.18 95.0 0.00 8.72 0.00 01/11/2018 #00036175845 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 7.90 95.0 0.00 7.51 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.21 95.0 0.00 1.15 0.00 01/11/2018 #00036256712 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.81 95.0 0.00 0.77 0.00 01/11/2018 #00036264275 WIPFCAROK IVY INTERNATIONAL CORE EQUITY A 0.00 1.44 95.0 0.00 1.37 0.00 01/11/2018 #00036265357 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 1.29 0.00 01/11/2018 #00036266208 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.34 95.0 0.00 1.27 0.00 01/11/2018 #0003626120 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.34 95.0 0.00 1.27 0.00 01/11/2018 #0003627612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.34 95.0 0.00 1.27 0.00 01/11/2018 #0003627612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.62 95.0 0.00 1.54 0.00 01/11/2018 #0003627612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.62 95.0 0.00 1.54 0.00 01/11/2018 #00036285574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.253 95.0 0.00 2.59 0.00 01/11/2018 #00036325146 TELLI, TERRL IVY INTERNATIONAL CORE EQUITY A 0.00 0.253 95.0 0.00 1.541 0.00 01/11/2018 #00036325146 TELLI, TERRL IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036325146 TELLI, TERRL IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036325628 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036325628 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036325628 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036325628 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036325628 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.40 95.0 0.00 0.50 0.50 0.50 0.50 0.50 0.5	0035888536 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.15	95.0	0.00	1.09	0.00	1.09
01/11/2018 #00036175845 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 7.90 95.0 0.00 7.51 0.00 01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.21 95.0 0.00 1.15 0.00 01/11/2018 #00036256712 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.81 95.0 0.00 0.77 0.00 01/11/2018 #00036256712 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.44 95.0 0.00 1.37 0.00 01/11/2018 #00036264275 WIPFCAROK IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 1.29 0.00 01/11/2018 #00036265357 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.34 95.0 0.00 1.29 0.00 01/11/2018 #00036266208 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.34 95.0 0.00 1.27 0.00 01/11/2018 #000362751912 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #0003627512 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 1.54 0.00 01/11/2018 #0003627512 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.273 95.0 0.00 2.59 0.00 01/11/2018 #00036319206 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.53 95.0 0.00 2.59 0.00 01/11/2018 #00036325146 TELLI, TERRL IVY INTERNATIONAL CORE EQUITY A 0.00 0.00 2.53 95.0 0.00 2.40 0.00 01/11/2018 #00036325146 TELLI, TERRL IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.54 0.00 01/11/2018 #00036325145 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036325145 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036325145 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036325145 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.538 0.00 01/11/2018 #00036325145 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.54 0.50 0.50 0.50 0.50 0.50 0	0036009200 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	2.36	95.0	0.00	2.24	0.00	2.24
01/11/2018 #00036254373 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.21 95.0 0.00 1.15 0.00 01/11/2018 #00036256712 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.81 95.0 0.00 0.77 0.00 01/11/2018 #00036264275 WIPFCAROK IVY INTERNATIONAL CORE EQUITY A 0.00 1.44 95.0 0.00 1.37 0.00 01/11/2018 #00036265357 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 1.29 0.00 01/11/2018 #00036266208 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.34 95.0 0.00 1.27 0.00 01/11/2018 #00036271912 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #00036272612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #00036272612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 1.54 0.00 01/11/2018 #00036319206 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.00 1.62 95.0 0.00 1.54 0.00 01/11/2018 #00036319206 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.00 2.73 95.0 0.00 2.40 0.00 01/11/2018 #00036325146 TELLI, TERRL IVY INTERNATIONAL CORE EQUITY A 0.00 0.00 1.6.22 95.0 0.00 1.5.41 0.00 01/11/2018 #00036328297 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.04 95.0 0.00 0.38 0.00 01/11/2018 #00036331945 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.07 95.0 0.00 0.38 0.00 01/11/2018 #00036325628 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.07 95.0 0.00 0.38 0.00 01/11/2018 #00036325628 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.07 95.0 0.00 0.06 0.06 0.00 01/11/2018 #00036325628 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	0036016083 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	9.18	95.0	0.00	8.72	0.00	8.72
01/11/2018 #00036256712 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.81 95.0 0.00 0.77 0.00 01/11/2018 #00036264275 WIPFCAROK IVY INTERNATIONAL CORE EQUITY A 0.00 1.44 95.0 0.00 1.37 0.00 01/11/2018 #00036265357 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 1.29 0.00 01/11/2018 #00036266208 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.34 95.0 0.00 1.27 0.00 01/11/2018 #00036271912 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #00036272612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.62 95.0 0.00 1.54 0.00 01/11/2018 #00036285574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.73 95.0 0.00 2.59 0.00 01/11/2018 #00036319206 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.53 95.0 0.00 2.59 0.00 01/11/2018 #00036325146 TELLI, TERRL IVY INTERNATIONAL CORE EQUITY A 0.00 01/11/2018 #00036328297 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 01/11/2018 #00036331945 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 1.54 0.00 01/11/2018 #00036331945 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036325628 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.70 95.0 0.00 0.38 0.00 01/11/2018 #00036325628 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.70 95.0 0.00 0.38 0.00 01/11/2018 #00036325628 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.70 95.0 0.00 0.37 0.00 0.67 0.00 01/11/2018 #00036325628 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.70 95.0 0.00 0.67 0.00 01/11/2018 #00036325628 VARIOUS IVY LIMITED-TERM BOND A 0.00 5.36 95.0 0.00 5.00 5.09 0.00	0036175845 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	7.90	95.0	0.00	7.51	0.00	7.51
01/11/2018 #00036264275 WIPFCAROK IVY INTERNATIONAL CORE EQUITY A 0.00 1.44 95.0 0.00 1.37 0.00 01/11/2018 #00036265357 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 1.29 0.00 01/11/2018 #00036266208 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.34 95.0 0.00 1.27 0.00 01/11/2018 #00036271912 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #00036272612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.00 0.78 95.0 0.00 1.54 0.00 01/11/2018 #00036285574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.00 0.73 95.0 0.00 0.00 0.00 0.00 01/11/2018 #00036319206 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	0036254373 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.21	95.0	0.00	1.15	0.00	1.15
01/11/2018 #00036265357 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.36 95.0 0.00 1.29 0.00 01/11/2018 #00036266208 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 1.27 0.00 01/11/2018 #00036271912 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #00036272612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.62 95.0 0.00 1.54 0.00 01/11/2018 #00036285574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.73 95.0 0.00 0.00 0.75 0.00 01/11/2018 #00036319206 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.00 0.73 95.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00	0036256712 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	0.81	95.0	0.00	0.77	0.00	0.77
01/11/2018 #00036266208 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.34 95.0 0.00 1.27 0.00 01/11/2018 #00036271912 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #00036272612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.62 95.0 0.00 1.54 0.00 01/11/2018 #00036285574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.73 95.0 0.00 2.59 0.00 01/11/2018 #00036319206 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.53 95.0 0.00 2.40 0.00 01/11/2018 #00036325146 TELLI, TERRL IVY INTERNATIONAL CORE EQUITY A 0.00 16.22 95.0 0.00 15.41 0.00 01/11/2018 #00036328297 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036331945 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.70 95.0 0.00 0.38 0.00 01/11/2018 #00036325628 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.70 95.0 0.00 0.70 95.0 0.00 0.67 0.00 01/11/2018 #00036325574 VARIOUS IVY LIMITED-TERM BOND A 0.00 20.36 95.0 0.00 5.09 0.00 01/11/2018 #00032372557 VARIOUS IVY LIMITED-TERM BOND A 0.00 5.36 95.0 0.00 5.09 0.00	0036264275 WIPFCAROK	IVY INTERNATIONAL CORE EQUITY A	0.00	1.44	95.0	0.00	1.37	0.00	1.37
01/11/2018 #00036271912 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.78 95.0 0.00 0.74 0.00 01/11/2018 #00036272612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.62 95.0 0.00 1.54 0.00 01/11/2018 #00036285574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.73 95.0 0.00 2.59 0.00 01/11/2018 #00036319206 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.53 95.0 0.00 2.40 0.00 01/11/2018 #00036325146 TELLI, TERRL IVY INTERNATIONAL CORE EQUITY A 0.00 16.22 95.0 0.00 15.41 0.00 01/11/2018 #0003632546 TELLI, TERRL IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036331945 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.70 95.0 0.00 0.67 0.00 01/11/2018 #00036325628 VARIOUS IVY LIMITED-TERM BOND A 0.00 5.36 95.0 0.00 5.09 0.00 01/11/2018 #00032372557 VARIOUS IVY LIMITED-TERM BOND A 0.00 5.36 95.0 0.00 5.09 0.00	0036265357 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.36	95.0	0.00	1.29	0.00	1.29
01/11/2018 #00036272612 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 1.62 95.0 0.00 1.54 0.00 01/11/2018 #00036285574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.73 95.0 0.00 2.59 0.00 01/11/2018 #00036319206 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.53 95.0 0.00 2.40 0.00 01/11/2018 #00036325146 TELLI, TERRL IVY INTERNATIONAL CORE EQUITY A 0.00 16.22 95.0 0.00 15.41 0.00 01/11/2018 #00036328297 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036331945 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.70 95.0 0.00 0.67 0.00 01/11/2018 #00036325628 VARIOUS IVY LIMITED-TERM BOND A 0.00 5.36 95.0 0.00 5.00 5.09 0.00 01/11/2018 #00032372557 VARIOUS IVY LIMITED-TERM BOND A 0.00 5.36 95.0 0.00 5.00 5.09 0.00	0036266208 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.34	95.0	0.00	1.27	0.00	1.27
01/11/2018 #00036285574 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.73 95.0 0.00 2.59 0.00 01/11/2018 #00036319206 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.53 95.0 0.00 2.40 0.00 01/11/2018 #00036325146 TELLI, TERRL IVY INTERNATIONAL CORE EQUITY A 0.00 16.22 95.0 0.00 15.41 0.00 01/11/2018 #00036328297 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036331945 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.70 95.0 0.00 0.67 0.00 01/11/2018 #00036325628 VARIOUS IVY LIMITED-TERM BOND A 0.00 20.36 95.0 0.00 19.34 0.00 01/11/2018 #00032372557 VARIOUS IVY LIMITED-TERM BOND A 0.00 5.36 95.0 0.00 5.09 0.00	0036271912 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	0.78	95.0	0.00	0.74	0.00	0.74
01/11/2018 #00036319206 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 2.53 95.0 0.00 2.40 0.00 01/11/2018 #00036325146 TELLI, TERRL IVY INTERNATIONAL CORE EQUITY A 0.00 16.22 95.0 0.00 15.41 0.00 01/11/2018 #00036328297 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036331945 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.70 95.0 0.00 0.67 0.00 01/11/2018 #00036325628 VARIOUS IVY LIMITED-TERM BOND A 0.00 20.36 95.0 0.00 19.34 0.00 01/11/2018 #00032372557 VARIOUS IVY LIMITED-TERM BOND A 0.00 5.36 95.0 0.00 5.09 0.00	0036272612 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	1.62	95.0	0.00	1.54	0.00	1.54
01/11/2018 #00036325146 TELLI, TERRL IVY INTERNATIONAL CORE EQUITY A 0.00 16.22 95.0 0.00 15.41 0.00 01/11/2018 #00036328297 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036331945 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.70 95.0 0.00 0.67 0.00 01/11/2018 #00036325628 VARIOUS IVY LIMITED-TERM BOND A 0.00 20.36 95.0 0.00 19.34 0.00 01/11/2018 #00032372557 VARIOUS IVY LIMITED-TERM BOND A 0.00 5.36 95.0 0.00 5.09 0.00	0036285574 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	2.73	95.0	0.00	2.59	0.00	2.59
01/11/2018 #00036328297 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.40 95.0 0.00 0.38 0.00 01/11/2018 #00036331945 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.70 95.0 0.00 0.67 0.00 01/11/2018 #00036325628 VARIOUS IVY LIMITED-TERM BOND A 0.00 20.36 95.0 0.00 19.34 0.00 01/11/2018 #00032372557 VARIOUS IVY LIMITED-TERM BOND A 0.00 5.36 95.0 0.00 5.09 0.00	0036319206 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	2.53	95.0	0.00	2.40	0.00	2.40
01/11/2018 #00036331945 VARIOUS IVY INTERNATIONAL CORE EQUITY A 0.00 0.70 95.0 0.00 0.67 0.00 01/11/2018 #00036325628 VARIOUS IVY LIMITED-TERM BOND A 0.00 20.36 95.0 0.00 19.34 0.00 01/11/2018 #00032372557 VARIOUS IVY LIMITED-TERM BOND A 0.00 5.36 95.0 0.00 5.09 0.00	0036325146 TELLI, TERRL	IVY INTERNATIONAL CORE EQUITY A	0.00	16.22	95.0	0.00	15.41	0.00	15.41
01/11/2018 #00036325628 VARIOUS IVY LIMITED-TERM BOND A 0.00 20.36 95.0 0.00 19.34 0.00 01/11/2018 #00032372557 VARIOUS IVY LIMITED-TERM BOND A 0.00 5.36 95.0 0.00 5.09 0.00	0036328297 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	0.40	95.0	0.00	0.38	0.00	0.38
01/11/2018 #00032372557 VARIOUS IVY LIMITED-TERM BOND A 0.00 5.36 95.0 0.00 5.09 0.00	0036331945 VARIOUS	IVY INTERNATIONAL CORE EQUITY A	0.00	0.70	95.0	0.00	0.67	0.00	0.67
	0036325628 VARIOUS	IVY LIMITED-TERM BOND A	0.00	20.36	95.0	0.00	19.34	0.00	19.34
01/11/2018 #00032390050 VARIOUS TVV LIMITED-TERM ROND A 0.00 3.93.95.0 0.00 3.73.0.00	0032372557 VARIOUS	IVY LIMITED-TERM BOND A	0.00	5.36	95.0	0.00	5.09	0.00	5.09
01/11/2010 #00032330030 VARIOUS 1V1 HIMITED TERM BOND A 0.00 3.75 93.0 0.00 3.75 0.00	0032390050 VARIOUS	IVY LIMITED-TERM BOND A	0.00	3.93	95.0	0.00	3.73	0.00	3.73
01/11/2018 #00032390799 VARIOUS IVY LIMITED-TERM BOND A 0.00 14.50 95.0 0.00 13.78 0.00	0032390799 VARIOUS	IVY LIMITED-TERM BOND A	0.00	14.50	95.0	0.00	13.78	0.00	13.78
01/11/2018 #00032390800 VARIOUS IVY LIMITED-TERM BOND A 0.00 2.64 95.0 0.00 2.51 0.00	0032390800 VARIOUS	IVY LIMITED-TERM BOND A	0.00	2.64	95.0	0.00	2.51	0.00	2.51
01/11/2018 #00032390801 VARIOUS IVY LIMITED-TERM BOND A 0.00 6.69 95.0 0.00 6.36 0.00	0032390801 VARIOUS	IVY LIMITED-TERM BOND A	0.00	6.69	95.0	0.00	6.36	0.00	6.36
01/11/2018 #00032390802 VARIOUS IVY LIMITED-TERM BOND A 0.00 3.78 95.0 0.00 3.59 0.00	0032390802 VARIOUS	IVY LIMITED-TERM BOND A	0.00	3.78	95.0	0.00	3.59	0.00	3.59
01/11/2018 #00032401984 ANN D HAVILAND, IVY LIMITED-TERM BOND A 0.00 2.71 95.0 0.00 2.57 0.00	0032401984 ANN D HAVILAN), IVY LIMITED-TERM BOND A	0.00	2.71	95.0	0.00	2.57	0.00	2.57
01/11/2018 #00032415135 VARIOUS IVY LIMITED-TERM BOND A 0.00 1.23 95.0 0.00 1.17 0.00	0032415135 VARIOUS	IVY LIMITED-TERM BOND A	0.00	1.23	95.0	0.00	1.17	0.00	1.17
01/11/2018 #00032415136 VARIOUS IVY LIMITED-TERM BOND A 0.00 2.24 95.0 0.00 2.13 0.00	0032415136 VARIOUS	IVY LIMITED-TERM BOND A	0.00	2.24	95.0	0.00	2.13	0.00	2.13

INVESTMENT

GROSS

NET

CLEARING

DATE	CLIENT INV	/ESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TRA	NSACTIONS						
RODUCT C	CATEGORY: MUTUAL FUND 12B1	TRAILS							
1/11/2018	#00032418732 VARIOUS	IVY LIMITED-TERM BOND A	0.00	1.10	95.0	0.00	1.05	0.00	1.05
1/11/2018	#00032434345 VARIOUS	IVY LIMITED-TERM BOND A	0.00	0.82	95.0	0.00	0.78	0.00	0.78
1/11/2018	#00032434346 VARIOUS	IVY LIMITED-TERM BOND A	0.00	0.82	95.0	0.00	0.78	0.00	0.78
1/11/2018	#00035346023 ANN D HAVILAND,	IVY LIMITED-TERM BOND A	0.00	0.45	95.0	0.00	0.43	0.00	0.43
1/11/2018	#00035376555 VARIOUS	IVY LIMITED-TERM BOND A	0.00	1.79	95.0	0.00	1.70	0.00	1.70
1/11/2018	#00035478180 COOPER TRACY,	IVY LIMITED-TERM BOND A	0.00	11.70	95.0	0.00	11.12	0.00	11.12
1/11/2018	#00035534884 VARIOUS	IVY LIMITED-TERM BOND A	0.00	3.76	95.0	0.00	3.57	0.00	3.57
1/11/2018	#00035580054 VARIOUS	IVY LIMITED-TERM BOND A	0.00	1.03	95.0	0.00	0.98	0.00	0.98
1/11/2018	#00035589263 JOHNSON, DONALD	IVY LIMITED-TERM BOND A	0.00	0.45	95.0	0.00	0.43	0.00	0.43
1/11/2018	#00035590168 VARIOUS	IVY LIMITED-TERM BOND A	0.00	1.59	95.0	0.00	1.51	0.00	1.51
1/11/2018	#00035649857 VARIOUS	IVY LIMITED-TERM BOND A	0.00	1.56	95.0	0.00	1.48	0.00	1.48
1/11/2018	#00035830897 VARIOUS	IVY LIMITED-TERM BOND A	0.00	2.41	95.0	0.00	2.29	0.00	2.29
1/11/2018	#00035895678 VARIOUS	IVY LIMITED-TERM BOND A	0.00	30.26	95.0	0.00	28.75	0.00	28.75
1/11/2018	#00035943714 VARIOUS	IVY LIMITED-TERM BOND A	0.00	0.77	95.0	0.00	0.73	0.00	0.73
1/11/2018	#00036009200 VARIOUS	IVY LIMITED-TERM BOND A	0.00	2.30	95.0	0.00	2.19	0.00	2.19
1/11/2018	#00036325146 TELLI, TERRL	IVY LIMITED-TERM BOND A	0.00	13.01	95.0	0.00	12.36	0.00	12.3
1/11/2018	#00036331945 VARIOUS	IVY LIMITED-TERM BOND A	0.00	0.69	95.0	0.00	0.66	0.00	0.60
1/11/2018	#00035202865 GLOVER, JESSICA	IVY MID CAP GROWTH B	0.00	1.01	95.0	0.00	0.96	0.00	0.9
1/11/2018	#00035436381 GLOVER, KEITH	IVY MID CAP GROWTH B	0.00	0.58	95.0	0.00	0.55	0.00	0.5
1/11/2018	#00032385108 PETERSON,	IVY MID CAP GROWTH FUND CLASS A	0.00	0.58	95.0	0.00	0.55	0.00	0.5
1/11/2018	#00032396121 COOPER TRACY,	IVY MID CAP GROWTH FUND CLASS A	0.00	4.18	95.0	0.00	3.97	0.00	3.9
1/11/2018	#00032398031 KEMP, DANIEL	IVY MID CAP GROWTH FUND CLASS A	0.00	8.35	95.0	0.00	7.93	0.00	7.93
1/11/2018	#00032398032 KEMP, MICHELE	IVY MID CAP GROWTH FUND CLASS A	0.00	6.91	95.0	0.00	6.56	0.00	6.50
1/11/2018	#00032400094 VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	12.43	95.0	0.00	11.81	0.00	11.81
1/11/2018	#00032401984 ANN D HAVILAND,	IVY MID CAP GROWTH FUND CLASS A	0.00	2.84	95.0	0.00	2.70	0.00	2.70
1/11/2018	#00032410240 VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	1.66	95.0	0.00	1.58	0.00	1.58
1/11/2018	#00035202865 GLOVER, JESSICA	IVY MID CAP GROWTH FUND CLASS A	0.00	27.95	95.0	0.00	26.55	0.00	26.5
1/11/2018	#00035376545 VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	12.49	95.0	0.00	11.87	0.00	11.8
1/11/2018	#00035376555 VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	4.67	95.0	0.00	4.44	0.00	4.4
1/11/2018	#00035376560 VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	8.45	95.0	0.00	8.03	0.00	8.0
1/11/2018	#00035436381 GLOVER, KEITH	IVY MID CAP GROWTH FUND CLASS A	0.00	18.90	95.0	0.00	17.96	0.00	17.9
1/11/2018	#00035461171 VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	15.90	95.0	0.00	15.11	0.00	15.13
1/11/2018	#00035461175 JOHNSON, DONALD	IVY MID CAP GROWTH FUND CLASS A	0.00	11.73	95.0	0.00	11.14	0.00	11.1
1/11/2018	#00035478180 COOPER TRACY,	IVY MID CAP GROWTH FUND CLASS A	0.00	12.36	95.0	0.00	11.74	0.00	11.7
1/11/2018	#00035492585 EMERSON, SHAWN	IVY MID CAP GROWTH FUND CLASS A	0.00	6.17	95.0	0.00	5.86	0.00	5.80
1/11/2018	#00035500866 VARIOUS	IVY MID CAP GROWTH FUND CLASS A	0.00	2.15	95.0	0.00	2.04	0.00	2.04

DATE	CLIENT IN	VESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		PD/	OKER TRANSACTIONS						
			OKEK TRANSACTIONS						
	CATEGORY: MUTUAL FUND 12B1								
	8 #00035500870 VARIOUS	IVY MID CAP GROWTH FUND CLA		2.17	95.0	0.00	2.06	0.00	2.06
	8 #00035564881 VARIOUS	IVY MID CAP GROWTH FUND CLA		1.30	95.0	0.00	1.24	0.00	1.24
	8 #00035569396 VARIOUS	IVY MID CAP GROWTH FUND CLA		1.09	95.0	0.00	1.04	0.00	1.04
	8 #00035572251 VARIOUS	IVY MID CAP GROWTH FUND CLA		0.57	95.0	0.00	0.54	0.00	0.54
	8 #00035572728 VARIOUS	IVY MID CAP GROWTH FUND CLA		5.10	95.0	0.00	4.85	0.00	4.85
	8 #00035572734 VARIOUS	IVY MID CAP GROWTH FUND CLA		6.67	95.0	0.00	6.34	0.00	6.34
	8 #00035578513 CHRISTOPHER,	IVY MID CAP GROWTH FUND CLA		7.54	95.0	0.00	7.16	0.00	7.16
	8 #00035584889 VARIOUS	IVY MID CAP GROWTH FUND CLA		6.24	95.0	0.00	5.93	0.00	5.93
01/11/201	8 #00035589263 JOHNSON, DONALI	O IVY MID CAP GROWTH FUND CLA	ASS A 0.00	2.96	95.0	0.00	2.81	0.00	2.81
01/11/201	8 #00035593601 VARIOUS	IVY MID CAP GROWTH FUND CLA	ASS A 0.00	2.34	95.0	0.00	2.22	0.00	2.22
01/11/201	8 #00035593604 VARIOUS	IVY MID CAP GROWTH FUND CLA	ASS A 0.00	3.42	95.0	0.00	3.25	0.00	3.25
01/11/201	8 #00035614936 VARIOUS	IVY MID CAP GROWTH FUND CLA	ASS A 0.00	1.30	95.0	0.00	1.24	0.00	1.24
01/11/201	8 #00035709381 VARIOUS	IVY MID CAP GROWTH FUND CLA	ASS A 0.00	0.05	95.0	0.00	0.05	0.00	0.05
01/11/201	8 #00035742403 VARIOUS	IVY MID CAP GROWTH FUND CLA	ASS A 0.00	6.33	95.0	0.00	6.01	0.00	6.01
01/11/201	8 #00035790917 VARIOUS	IVY MID CAP GROWTH FUND CLA	ASS A 0.00	0.77	95.0	0.00	0.73	0.00	0.73
01/11/201	8 #00035826948 VARIOUS	IVY MID CAP GROWTH FUND CLA	ASS A 0.00	4.32	95.0	0.00	4.10	0.00	4.10
01/11/201	8 #00035959118 (TOD), ARTHY	IVY MID CAP GROWTH FUND CLA	ASS A 0.00	3.24	95.0	0.00	3.08	0.00	3.08
01/11/201	8 #00036026244 VARIOUS	IVY MUNICIPAL BOND A	0.00	3.46	95.0	0.00	3.29	0.00	3.29
01/11/201	8 #00036028948 VARIOUS	IVY MUNICIPAL BOND A	0.00	3.70	95.0	0.00	3.52	0.00	3.52
01/11/201	8 #00036102550 VARIOUS	IVY MUNICIPAL HIGH INCOME A	0.00	1.63	95.0	0.00	1.55	0.00	1.55
01/11/201	8 #00035825644 VARIOUS	IVY MUNICIPAL HIGH INCOME A	0.00	3.87	95.0	0.00	3.68	0.00	3.68
01/11/201	8 #00035998467 GORHAM,	IVY PACIFIC OPPORTUNITIES A	0.00	5.23	95.0	0.00	4.97	0.00	4.97
01/11/201	8 #00036143432 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.84	95.0	0.00	1.75	0.00	1.75
01/11/201	8 #00036143435 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.24	95.0	0.00	1.18	0.00	1.18
01/11/201	8 #00036143438 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.43	95.0	0.00	1.36	0.00	1.36
01/11/201	8 #00036150657 JOHNSON, JOSEPH	H IVY PACIFIC OPPORTUNITIES A	0.00	1.99	95.0	0.00	1.89	0.00	1.89
01/11/201	8 #00036163036 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	7.39	95.0	0.00	7.02	0.00	7.02
01/11/201	8 #00036171938 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	0.77	95.0	0.00	0.73	0.00	0.73
01/11/201	8 #00036187511 BEANEDALEF	IVY PACIFIC OPPORTUNITIES A	0.00	1.16	95.0	0.00	1.10	0.00	1.10
01/11/201	8 #00036191000 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	9.48	95.0	0.00	9.01	0.00	9.01
01/11/201	8 #00032367069 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.25	95.0	0.00	1.19	0.00	1.19
01/11/201	8 #00032370316 CLINTON, PAUL	IVY PACIFIC OPPORTUNITIES A	0.00	0.82	95.0	0.00	0.78	0.00	0.78
01/11/201	8 #00032382061 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	4.91	95.0	0.00	4.66	0.00	4.66
01/11/201	8 #00032386705 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	5.71	95.0	0.00	5.42	0.00	5.42
01/11/201	8 #00032387551 ROBERTSON,	IVY PACIFIC OPPORTUNITIES A	0.00	1.76	95.0	0.00	1.67	0.00	1.67
01/11/201	8 #00032390050 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	6.57	95.0	0.00	6.24	0.00	6.24

INVESTMENT

GROSS

NET

CLEARING

DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER '	TRANSACTIONS						
RODUCT	CATEGORY: MUTUAL FUND 1		_						
	8 #00032398031 KEMP, DANIE		0.00	0.79	95.0	0.00	0.75	0.00	0.75
1/11/2018	8 #00032398032 KEMP, MICHE	ELE IVY PACIFIC OPPORTUNITIES A	0.00	3.02	95.0	0.00	2.87	0.00	2.87
1/11/2018	8 #00032398536 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	8.17	95.0	0.00	7.76	0.00	7.76
1/11/2018	8 #00032401984 ANN D HAVII	LAND, IVY PACIFIC OPPORTUNITIES A	0.00	9.44	95.0	0.00	8.97	0.00	8.97
1/11/2018	8 #00032408419 DEMPSEY, ST	FEVEN IVY PACIFIC OPPORTUNITIES A	0.00	0.80	95.0	0.00	0.76	0.00	0.76
1/11/2018	8 #00032408864 DEMPSEY, DA	ARLA IVY PACIFIC OPPORTUNITIES A	0.00	1.00	95.0	0.00	0.95	0.00	0.95
1/11/2018	8 #00032409103 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	2.09	95.0	0.00	1.99	0.00	1.99
1/11/2018	8 #00032418731 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	0.85	95.0	0.00	0.81	0.00	0.81
1/11/2018	8 #00032428411 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	28.14	95.0	0.00	26.73	0.00	26.73
1/11/2018	8 #00035335795 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	8.42	95.0	0.00	8.00	0.00	8.00
1/11/2018	8 #00035376545 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	9.96	95.0	0.00	9.46	0.00	9.46
1/11/2018	8 #00035376555 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	5.00	95.0	0.00	4.75	0.00	4.75
1/11/2018	8 #00035376560 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	9.85	95.0	0.00	9.36	0.00	9.36
1/11/2018	8 #00035377025 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	7.41	95.0	0.00	7.04	0.00	7.04
1/11/2018	8 #00035461171 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	19.46	95.0	0.00	18.49	0.00	18.49
1/11/2018	8 #00035461175 JOHNSON, DC	ONALD IVY PACIFIC OPPORTUNITIES A	0.00	5.25	95.0	0.00	4.99	0.00	4.99
1/11/2018	8 #00035474804 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.47	95.0	0.00	1.40	0.00	1.40
1/11/2018	8 #00035492585 EMERSON, SH	HAWN IVY PACIFIC OPPORTUNITIES A	0.00	3.52	95.0	0.00	3.34	0.00	3.34
1/11/2018	8 #00035492588 EMERSON, BE	ECKY IVY PACIFIC OPPORTUNITIES A	0.00	1.43	95.0	0.00	1.36	0.00	1.36
1/11/2018	8 #00035494498 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	9.37	95.0	0.00	8.90	0.00	8.90
1/11/2018	8 #00035529678 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	3.20	95.0	0.00	3.04	0.00	3.04
1/11/2018	8 #00035564881 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	4.06	95.0	0.00	3.86	0.00	3.86
1/11/2018	8 #00035572013 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.33	95.0	0.00	1.26	0.00	1.26
1/11/2018	8 #00035589263 JOHNSON, DC	ONALD IVY PACIFIC OPPORTUNITIES A	0.00	14.48	95.0	0.00	13.76	0.00	13.76
1/11/2018	8 #00035614936 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.39	95.0	0.00	1.32	0.00	1.32
1/11/2018	8 #00035637930 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	2.05	95.0	0.00	1.95	0.00	1.95
1/11/2018	8 #00035637933 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	0.86	95.0	0.00	0.82	0.00	0.82
1/11/2018	8 #00035644796 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	4.58	95.0	0.00	4.35	0.00	4.35
1/11/2018	8 #00035649857 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	5.98	95.0	0.00	5.68	0.00	5.68
1/11/2018	8 #00035709381 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.51	95.0	0.00	1.43	0.00	1.43
1/11/2018	8 #00035742403 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	4.33	95.0	0.00	4.11	0.00	4.11
1/11/2018	8 #00035746115 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	8.27	95.0	0.00	7.86	0.00	7.86
1/11/2018	8 #00035747495 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	2.56	95.0	0.00	2.43	0.00	2.43
1/11/2018	8 #00035762704 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	4.28	95.0	0.00	4.07	0.00	4.07
1/11/2018	8 #00035790917 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	0.77	95.0	0.00	0.73	0.00	0.73
1/11/2019	8 #00035799004 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	3.03	95.0	0.00	2.88	0.00	2.88

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		DDOVED MI	ANG A CITTONG						
	_		RANSACTIONS						
	CATEGORY: MUTUAL FUND 1								
	8 #00035826948 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.98	95.0	0.00	1.88	0.00	1.88
	8 #00035830897 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.46	95.0	0.00	1.39	0.00	1.39
	8 #00035888536 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.61	95.0	0.00	1.53	0.00	1.53
	8 #00035895678 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	36.98	95.0	0.00	35.13	0.00	35.13
01/11/201	8 #00035920047 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	3.96	95.0	0.00	3.76	0.00	3.76
01/11/201	8 #00035952048 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	2.16	95.0	0.00	2.05	0.00	2.05
01/11/201	8 #00036067833 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	19.40	95.0	0.00	18.43	0.00	18.43
01/11/201	8 #00036075428 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.19	95.0	0.00	1.13	0.00	1.13
01/11/201	8 #00036075904 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	8.49	95.0	0.00	8.07	0.00	8.07
01/11/201	8 #00036077188 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.25	95.0	0.00	1.19	0.00	1.19
01/11/201	8 #00036078347 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	4.27	95.0	0.00	4.06	0.00	4.06
01/11/201	8 #00036087317 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.08	95.0	0.00	1.03	0.00	1.03
01/11/201	8 #00036136229 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	20.82	95.0	0.00	19.78	0.00	19.78
01/11/201	8 #00036138925 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	6.95	95.0	0.00	6.60	0.00	6.60
01/11/201	8 #00036139635 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	7.00	95.0	0.00	6.65	0.00	6.65
01/11/201	8 #00036140579 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.19	95.0	0.00	1.13	0.00	1.13
01/11/201	8 #00036140585 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	0.69	95.0	0.00	0.66	0.00	0.66
01/11/201	8 #00036140592 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	1.85	95.0	0.00	1.76	0.00	1.76
01/11/201	8 #00036144075 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	0.73	95.0	0.00	0.69	0.00	0.69
01/11/201	8 #00036149956 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	2.45	95.0	0.00	2.33	0.00	2.33
01/11/201	8 #00036175845 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	3.98	95.0	0.00	3.78	0.00	3.78
01/11/201	8 #00036196256 VARIOUS	IVY PACIFIC OPPORTUNITIES A	0.00	0.99	95.0	0.00	0.94	0.00	0.94
01/11/201	8 #00036022546 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.43	95.0	0.00	1.36	0.00	1.36
01/11/201	8 #00036022549 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.82	95.0	0.00	2.68	0.00	2.68
01/11/201	8 #00036027743 TRAUT, TODD	IVY REAL ESTATE SECURITIES A	0.00	1.49	95.0	0.00	1.42	0.00	1.42
01/11/201	8 #00036028948 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.57	95.0	0.00	2.44	0.00	2.44
01/11/201	8 #00036029241 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.06	95.0	0.00	1.96	0.00	1.96
01/11/201	8 #00036102550 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.82	95.0	0.00	1.73	0.00	1.73
01/11/201	8 #00036143432 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.78	95.0	0.00	1.69	0.00	1.69
01/11/201	8 #00036143435 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.13	95.0	0.00	1.07	0.00	1.07
01/11/201	8 #00036143438 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.11	95.0	0.00	1.05	0.00	1.05
01/11/201	8 #00036150657 JOHNSON, JO	SEPH IVY REAL ESTATE SECURITIES A	0.00	2.66	95.0	0.00	2.53	0.00	2.53
01/11/201	8 #00036150731 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.59	95.0	0.00	0.56	0.00	0.56
01/11/201	8 #00036163036 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	12.39	95.0	0.00	11.77	0.00	11.77
01/11/201	8 #00036171938 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.56	95.0	0.00	0.53	0.00	0.53
01/11/201	8 #00036185398 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.58	95.0	0.00	0.55	0.00	0.55

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DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TI	RANSACTIONS						
RODUCT (CATEGORY: MUTUAL FUND 1	2B1 TRAILS							
1/11/2018	3 #00036185416 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.63	95.0	0.00	0.60	0.00	0.60
1/11/2018	3 #00036187511 BEANEDALEF	IVY REAL ESTATE SECURITIES A	0.00	1.00	95.0	0.00	0.95	0.00	0.95
1/11/2018	3 #00036191000 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	7.82	95.0	0.00	7.43	0.00	7.43
1/11/2018	3 #00036200421 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	41.33	95.0	0.00	39.26	0.00	39.26
1/11/2018	3 #00036200467 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.65	95.0	0.00	0.62	0.00	0.62
1/11/2018	3 #00036259618 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.97	95.0	0.00	0.92	0.00	0.92
1/11/2018	3 #00036325628 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	20.13	95.0	0.00	19.12	0.00	19.12
1/11/2018	3 #00032370316 CLINTON, PA	UL IVY REAL ESTATE SECURITIES A	0.00	1.53	95.0	0.00	1.45	0.00	1.45
1/11/2018	3 #00032375891 SPENNY, DAV	ID IVY REAL ESTATE SECURITIES A	0.00	0.69	95.0	0.00	0.66	0.00	0.66
1/11/2018	3 #00032381393 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	4.01	95.0	0.00	3.81	0.00	3.81
1/11/2018	3 #00032386705 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	4.77	95.0	0.00	4.53	0.00	4.53
1/11/2018	3 #00032390050 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	7.95	95.0	0.00	7.55	0.00	7.55
1/11/2018	3 #00032396121 COOPER TRAC	Y, IVY REAL ESTATE SECURITIES A	0.00	2.89	95.0	0.00	2.75	0.00	2.75
1/11/2018	3 #00032398536 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.08	95.0	0.00	1.03	0.00	1.03
1/11/2018	3 #00032399652 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.09	95.0	0.00	1.04	0.00	1.04
1/11/2018	3 #00032400094 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	7.51	95.0	0.00	7.13	0.00	7.13
1/11/2018	3 #00032401984 ANN D HAVIL	AND, IVY REAL ESTATE SECURITIES A	0.00	6.92	95.0	0.00	6.57	0.00	6.57
1/11/2018	3 #00032408419 DEMPSEY, ST	EVEN IVY REAL ESTATE SECURITIES A	0.00	0.68	95.0	0.00	0.65	0.00	0.65
1/11/2018	3 #00032408864 DEMPSEY, DAI	RLA IVY REAL ESTATE SECURITIES A	0.00	0.84	95.0	0.00	0.80	0.00	0.80
1/11/2018	3 #00032409103 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	6.09	95.0	0.00	5.79	0.00	5.79
1/11/2018	3 #00032428411 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	19.82	95.0	0.00	18.83	0.00	18.83
1/11/2018	3 #00032435738 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.80	95.0	0.00	2.66	0.00	2.66
1/11/2018	3 #00035335795 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	11.86	95.0	0.00	11.27	0.00	11.27
1/11/2018	3 #00035376555 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	4.55	95.0	0.00	4.32	0.00	4.32
1/11/2018	3 #00035376560 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	5.35	95.0	0.00	5.08	0.00	5.08
1/11/2018	3 #00035377025 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	4.38	95.0	0.00	4.16	0.00	4.16
1/11/2018	3 #00035402325 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.99	95.0	0.00	1.89	0.00	1.89
1/11/2018	3 #00035419793 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	3.09	95.0	0.00	2.94	0.00	2.94
1/11/2018	3 #00035491952 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.00	95.0	0.00	0.95	0.00	0.95
1/11/2018	3 #00035492585 EMERSON, SH	AWN IVY REAL ESTATE SECURITIES A	0.00	1.52	95.0	0.00	1.44	0.00	1.44
1/11/2018	3 #00035492588 EMERSON, BE	CKY IVY REAL ESTATE SECURITIES A	0.00	2.31	95.0	0.00	2.19	0.00	2.19
1/11/2018	3 #00035494498 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	12.34	95.0	0.00	11.72	0.00	11.72
1/11/2018	3 #00035500850 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	4.93	95.0	0.00	4.68	0.00	4.68
1/11/2018	3 #00035511829 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.67	95.0	0.00	0.64	0.00	0.64
	3 #00035521755 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.93	95.0	0.00	0.88	0.00	0.88
		OND IVY REAL ESTATE SECURITIES A	0.00	2.14		0.00	2.03	0.00	2.03

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DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BRO	OKER TRANSACTIONS						
RODUCT (CATEGORY: MUTUAL FUNI	O 12B1 TRAILS							
1/11/2018	3 #00035522691 GRAVES, F	HELEN IVY REAL ESTATE SECURITIES	A 0.00	4.74	95.0	0.00	4.50	0.00	4.50
1/11/2018	8 #00035529678 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	5.90	95.0	0.00	5.61	0.00	5.61
1/11/2018	8 #00035564881 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	8.18	95.0	0.00	7.77	0.00	7.77
1/11/2018	8 #00035565309 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	3.49	95.0	0.00	3.32	0.00	3.32
1/11/2018	8 #00035578513 CHRISTOPE	HER, IVY REAL ESTATE SECURITIES	A 0.00	2.83	95.0	0.00	2.69	0.00	2.69
1/11/2018	8 #00035580054 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	0.90	95.0	0.00	0.86	0.00	0.86
1/11/2018	8 #00035584889 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	3.18	95.0	0.00	3.02	0.00	3.02
1/11/2018	8 #00035589263 JOHNSON,	DONALD IVY REAL ESTATE SECURITIES	A 0.00	21.02	95.0	0.00	19.97	0.00	19.97
1/11/2018	8 #00035614936 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	0.78	95.0	0.00	0.74	0.00	0.74
1/11/2018	8 #00035619764 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	7.98	95.0	0.00	7.58	0.00	7.58
1/11/2018	8 #00035633441 KOCOUREK,	IVY REAL ESTATE SECURITIES	A 0.00	1.14	95.0	0.00	1.08	0.00	1.08
1/11/2018	3 #00035637930 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	2.78	95.0	0.00	2.64	0.00	2.64
1/11/2018	3 #00035637933 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	0.58	95.0	0.00	0.55	0.00	0.55
1/11/2018	3 #00035644796 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	6.28	95.0	0.00	5.97	0.00	5.97
1/11/2018	3 #00035649857 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	3.86	95.0	0.00	3.67	0.00	3.67
1/11/2018	3 #00035709381 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	0.73	95.0	0.00	0.69	0.00	0.69
1/11/2018	3 #00035740156 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	13.02	95.0	0.00	12.37	0.00	12.37
1/11/2018	3 #00035742403 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	8.65	95.0	0.00	8.22	0.00	8.22
1/11/2018	3 #00035746115 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	2.08	95.0	0.00	1.98	0.00	1.98
1/11/2018	3 #00035799004 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	2.55	95.0	0.00	2.42	0.00	2.42
1/11/2018	3 #00035812240 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	13.60	95.0	0.00	12.92	0.00	12.92
1/11/2018	3 #00035812257 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	3.83	95.0	0.00	3.64	0.00	3.64
1/11/2018	3 #00035812274 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	0.68	95.0	0.00	0.65	0.00	0.65
1/11/2018	8 #00035825644 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	2.47	95.0	0.00	2.35	0.00	2.35
1/11/2018	3 #00035864350 HALL, JAS	SON IVY REAL ESTATE SECURITIES	A 0.00	0.36	95.0	0.00	0.34	0.00	0.34
1/11/2018	3 #00035888536 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	1.34	95.0	0.00	1.27	0.00	1.27
1/11/2018	3 #00035899205 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	0.97	95.0	0.00	0.92	0.00	0.92
1/11/2018	3 #00035920047 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	2.61	95.0	0.00	2.48	0.00	2.48
1/11/2018	3 #00036009200 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	9.22	95.0	0.00	8.76	0.00	8.76
	3 #00036016083 VARIOUS	IVY REAL ESTATE SECURITIES		6.38	95.0	0.00	6.06		6.06
1/11/2018	3 #00036026483 VARIOUS	IVY REAL ESTATE SECURITIES		2.18	95.0	0.00	2.07		2.07
1/11/2018	3 #00036067833 VARIOUS	IVY REAL ESTATE SECURITIES		12.96	95.0	0.00	12.31		12.31
1/11/2018	8 #00036075428 VARIOUS	IVY REAL ESTATE SECURITIES	A 0.00	1.34	95.0	0.00	1.27	0.00	1.27
	3 #00036075904 VARIOUS	IVY REAL ESTATE SECURITIES		5.59	95.0	0.00	5.31		5.31
	8 #00036077188 VARIOUS	IVY REAL ESTATE SECURITIES		3.96	95.0	0.00	3.76		3.76
	3 #00036077197 LYKKEN, A			0.95	95.0	0.00	0.90		0.90

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DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKEF	R TRANSACTIONS						
RODUCT (CATEGORY: MUTUAL FUND								
1/11/2018	8 #00036078347 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	7.69	95.0	0.00	7.31	0.00	7.31
1/11/2018	8 #00036087285 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.83	95.0	0.00	0.79	0.00	0.79
1/11/2018	8 #00036087317 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.62	95.0	0.00	1.54	0.00	1.54
1/11/2018	8 #00036093484 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.18	95.0	0.00	2.07	0.00	2.07
1/11/2018	8 #00036100946 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.09	95.0	0.00	1.99	0.00	1.99
1/11/2018	8 #00036102027 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	2.51	95.0	0.00	2.38	0.00	2.38
1/11/2018	8 #00036130945 CHRIS, JAN	NE IVY REAL ESTATE SECURITIES A	0.00	2.68	95.0	0.00	2.55	0.00	2.55
1/11/2018	8 #00036136229 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	41.57	95.0	0.00	39.49	0.00	39.49
1/11/2018	8 #00036138925 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	6.91	95.0	0.00	6.56	0.00	6.56
1/11/2018	8 #00036139635 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	8.05	95.0	0.00	7.65	0.00	7.65
1/11/2018	8 #00036140579 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.56	95.0	0.00	0.53	0.00	0.53
1/11/2018	8 #00036143265 HOGUETRACT	TL IVY REAL ESTATE SECURITIES A	0.00	0.71	95.0	0.00	0.67	0.00	0.67
1/11/2018	8 #00036144075 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.79	95.0	0.00	0.75	0.00	0.75
1/11/2018	8 #00036149117 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	3.08	95.0	0.00	2.93	0.00	2.93
1/11/2018	8 #00036149954 SPENNIDA,	L IVY REAL ESTATE SECURITIES A	0.00	2.66	95.0	0.00	2.53	0.00	2.53
1/11/2018	8 #00036149956 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.52	95.0	0.00	0.49	0.00	0.49
1/11/2018	8 #00036150735 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.59	95.0	0.00	0.56	0.00	0.56
1/11/2018	8 #00036163019 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	10.59	95.0	0.00	10.06	0.00	10.06
1/11/2018	8 #00036175845 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	26.11	95.0	0.00	24.80	0.00	24.80
1/11/2018	8 #00036192778 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.60	95.0	0.00	0.57	0.00	0.57
1/11/2018	8 #00036196256 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.78	95.0	0.00	0.74	0.00	0.74
1/11/2018	8 #00036200166 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.07	95.0	0.00	1.02	0.00	1.02
1/11/2018	8 #00036204717 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.51	95.0	0.00	0.48	0.00	0.48
1/11/2018	8 #00036208319 TAYLOR, N	ICK IVY REAL ESTATE SECURITIES A	0.00	1.74	95.0	0.00	1.65	0.00	1.65
1/11/2018	8 #00036208332 TAYLOCALI	IVY REAL ESTATE SECURITIES A	0.00	1.74	95.0	0.00	1.65	0.00	1.65
1/11/2018	8 #00036209650 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.59	95.0	0.00	0.56	0.00	0.56
1/11/2018	8 #00036209658 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.55	95.0	0.00	0.52	0.00	0.52
1/11/2018	8 #00036254373 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.05	95.0	0.00	1.00	0.00	1.00
1/11/2018	8 #00036256712 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.69	95.0	0.00	0.66	0.00	0.66
1/11/2018	8 #00036265357 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.39	95.0	0.00	1.32	0.00	1.32
1/11/2018	8 #00036265974 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	1.56	95.0	0.00	1.48	0.00	1.48
1/11/2018	8 #00036271912 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.64	95.0	0.00	0.61	0.00	0.61
1/11/2018	8 #00036302624 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	6.96	95.0	0.00	6.61	0.00	6.61
1/11/2018	8 #00036319206 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	3.74	95.0	0.00	3.55	0.00	3.55
1/11/2018	8 #00036325146 TELLI, TER	RRL IVY REAL ESTATE SECURITIES A	0.00	27.45	95.0	0.00	26.08	0.00	26.08
1/11/2018	8 #00036328297 VARIOUS	IVY REAL ESTATE SECURITIES A	0.00	0.80	95.0	0.00	0.76	0.00	0.76

DATE	CLIENT IN	VESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			DDOKED MD	NIG NOTICE						
			BROKER TRA	ANSACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS								
01/11/201	8 #00036331945 VARIOUS	IVY REAL ESTATE	SECURITIES A	0.00	0.68	95.0	0.00	0.65	0.00	0.65
01/11/201	8 #00035202865 GLOVER, JESSICA	A IVY SCIENCE AND	TECHNOLOGY B	0.00	0.93	95.0	0.00	0.88	0.00	0.88
01/11/201	8 #00035436381 GLOVER, KEITH	IVY SCIENCE AND	TECHNOLOGY B	0.00	0.91	95.0	0.00	0.86	0.00	0.86
01/11/201	8 #00035580054 VARIOUS	IVY SCIENCE AND	TECHNOLOGY C	0.00	100.58	95.0	0.00	95.55	0.00	95.55
01/11/201	8 #00036149737 VARIOUS	IVY SCIENCE AND	TECHNOLOGY C	0.00	3.09	95.0	0.00	2.94	0.00	2.94
01/11/201	8 #00036100908 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	10.83	95.0	0.00	10.29	0.00	10.29
01/11/201	8 #00036143432 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	1.97	95.0	0.00	1.87	0.00	1.87
01/11/201	8 #00036150657 JOHNSON, JOSEPH	H IVY SMALL CAP GR	OWTH A	0.00	2.71	95.0	0.00	2.57	0.00	2.57
01/11/201	8 #00036163036 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	9.77	95.0	0.00	9.28	0.00	9.28
01/11/201	8 #00036171938 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	0.63	95.0	0.00	0.60	0.00	0.60
01/11/201	8 #00036185398 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	0.60	95.0	0.00	0.57	0.00	0.57
01/11/201	8 #00036187511 BEANEDALEF	IVY SMALL CAP GR	OWTH A	0.00	1.13	95.0	0.00	1.07	0.00	1.07
01/11/201	8 #00036191000 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	9.21	95.0	0.00	8.75	0.00	8.75
01/11/201	8 #00036200421 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	18.46	95.0	0.00	17.54	0.00	17.54
01/11/201	8 #00036200467 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	0.65	95.0	0.00	0.62	0.00	0.62
01/11/201	8 #00032386705 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	4.58	95.0	0.00	4.35	0.00	4.35
01/11/201	8 #00032390050 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	6.24	95.0	0.00	5.93	0.00	5.93
01/11/201	8 #00032397882 REEKERS, DONNA	IVY SMALL CAP GR	OWTH A	0.00	1.81	95.0	0.00	1.72	0.00	1.72
01/11/201	8 #00032398536 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	1.17	95.0	0.00	1.11	0.00	1.11
01/11/201	8 #00032401984 ANN D HAVILAND,	IVY SMALL CAP GR	OWTH A	0.00	5.17	95.0	0.00	4.91	0.00	4.91
01/11/201	8 #00032435738 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	1.98	95.0	0.00	1.88	0.00	1.88
01/11/201	8 #00035376555 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	7.93	95.0	0.00	7.53	0.00	7.53
01/11/201	8 #00035377025 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	3.60	95.0	0.00	3.42	0.00	3.42
01/11/201	8 #00035494498 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	1.43	95.0	0.00	1.36	0.00	1.36
01/11/201	8 #00035505447 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	31.37	95.0	0.00	29.80	0.00	29.80
01/11/201	8 #00035522691 GRAVES, HELEN	IVY SMALL CAP GR	OWTH A	0.00	3.28	95.0	0.00	3.12	0.00	3.12
01/11/201	8 #00035565309 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	4.73	95.0	0.00	4.49	0.00	4.49
01/11/201	8 #00035572013 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	4.78	95.0	0.00	4.54	0.00	4.54
01/11/201	8 #00035742403 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	8.57	95.0	0.00	8.14	0.00	8.14
01/11/201	8 #00035762704 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	5.78	95.0	0.00	5.49	0.00	5.49
01/11/201	8 #00035790917 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	0.70	95.0	0.00	0.67	0.00	0.67
01/11/201	8 #00035799004 VARIOUS	IVY SMALL CAP GR	OWTH A	0.00	2.94	95.0	0.00	2.79	0.00	2.79
01/11/201	8 #00035812257 VARIOUS	IVY SMALL CAP GR		0.00	0.76	95.0	0.00	0.72	0.00	0.72
01/11/201	8 #00035826948 VARIOUS	IVY SMALL CAP GR		0.00	3.18	95.0	0.00	3.02	0.00	3.02
01/11/201	8 #00035830897 VARIOUS	IVY SMALL CAP GR		0.00	2.52	95.0	0.00	2.39	0.00	2.39
	8 #00035888536 VARIOUS	IVY SMALL CAP GR		0.00	1.33	95.0	0.00	1.26	0.00	1.26

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INVESTMENT

GROSS

NET

CLEARING

DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER	TRANSACTIONS						
יפטטנוכיד מ	CATEGORY: MUTUAL FUND								
	8 #00035920047 VARIOUS	IVY SMALL CAP GROWTH A	0.00	3.05	95.0	0.00	2.90	0.00	2.90
	8 #00035959118 (TOD), ART		0.00	2.34	95.0	0.00	2.22		2.22
	8 #00036009200 VARIOUS	IVY SMALL CAP GROWTH A	0.00	4.92	95.0	0.00	4.67	0.00	4.67
	8 #00036067833 VARIOUS	IVY SMALL CAP GROWTH A	0.00	9.96	95.0	0.00	9.46	0.00	9.46
	8 #00036075428 VARIOUS	IVY SMALL CAP GROWTH A	0.00	0.92	95.0	0.00	0.87	0.00	0.87
1/11/2018	8 #00036075904 VARIOUS	IVY SMALL CAP GROWTH A	0.00	6.01	95.0	0.00	5.71	0.00	5.71
1/11/2018	8 #00036078347 VARIOUS	IVY SMALL CAP GROWTH A	0.00	6.44	95.0	0.00	6.12	0.00	6.12
1/11/2018	8 #00036136229 VARIOUS	IVY SMALL CAP GROWTH A	0.00	19.67	95.0	0.00	18.69	0.00	18.69
1/11/2018	8 #00036138925 VARIOUS	IVY SMALL CAP GROWTH A	0.00	6.61	95.0	0.00	6.28	0.00	6.28
1/11/2018	8 #00036139635 VARIOUS	IVY SMALL CAP GROWTH A	0.00	5.77	95.0	0.00	5.48	0.00	5.48
1/11/2018	8 #00036140579 VARIOUS	IVY SMALL CAP GROWTH A	0.00	0.80	95.0	0.00	0.76	0.00	0.76
1/11/2018	8 #00036140592 VARIOUS	IVY SMALL CAP GROWTH A	0.00	0.60	95.0	0.00	0.57	0.00	0.57
1/11/2018	8 #00036143265 HOGUETRACT	TL IVY SMALL CAP GROWTH A	0.00	1.33	95.0	0.00	1.26	0.00	1.26
1/11/2018	8 #00036144075 VARIOUS	IVY SMALL CAP GROWTH A	0.00	0.58	95.0	0.00	0.55	0.00	0.55
1/11/2018	8 #00036149962 SPENNY, DA	AVID IVY SMALL CAP GROWTH A	0.00	1.42	95.0	0.00	1.35	0.00	1.35
1/11/2018	8 #00036175845 VARIOUS	IVY SMALL CAP GROWTH A	0.00	2.14	95.0	0.00	2.03	0.00	2.03
1/11/2018	8 #00036196256 VARIOUS	IVY SMALL CAP GROWTH A	0.00	1.00	95.0	0.00	0.95	0.00	0.95
1/11/2018	8 #00036204717 VARIOUS	IVY SMALL CAP GROWTH A	0.00	0.57	95.0	0.00	0.54	0.00	0.54
1/11/2018	8 #00036319206 VARIOUS	IVY SMALL CAP GROWTH A	0.00	1.92	95.0	0.00	1.82	0.00	1.82
1/11/2018	8 #00036149737 VARIOUS	IVY SMALL CAP GROWTH C	0.00	2.95	95.0	0.00	2.80	0.00	2.80
1/11/2018	8 #00036100908 VARIOUS	IVY SMALL CAP VALUE A	0.00	12.19	95.0	0.00	11.58	0.00	11.58
1/11/2018	8 #00032381218 VARIOUS	IVY SMALL CAP VALUE A	0.00	0.84	95.0	0.00	0.80	0.00	0.80
1/11/2018	8 #00032381219 VARIOUS	IVY SMALL CAP VALUE A	0.00	0.83	95.0	0.00	0.79	0.00	0.79
1/11/2018	8 #00032382061 VARIOUS	IVY SMALL CAP VALUE A	0.00	1.28	95.0	0.00	1.22	0.00	1.22
1/11/2018	8 #00032382774 VARIOUS	IVY SMALL CAP VALUE A	0.00	2.82	95.0	0.00	2.68	0.00	2.68
1/11/2018	8 #00032387551 ROBERTSON,	, IVY SMALL CAP VALUE A	0.00	0.60	95.0	0.00	0.57	0.00	0.57
1/11/2018	8 #00032390050 VARIOUS	IVY SMALL CAP VALUE A	0.00	14.09	95.0	0.00	13.39	0.00	13.39
1/11/2018	8 #00032398031 KEMP, DANI	IEL IVY SMALL CAP VALUE A	0.00	5.52	95.0	0.00	5.24	0.00	5.24
1/11/2018	8 #00032398032 KEMP, MICH	HELE IVY SMALL CAP VALUE A	0.00	5.23	95.0	0.00	4.97	0.00	4.97
	8 #00032398536 VARIOUS	IVY SMALL CAP VALUE A	0.00	2.25	95.0	0.00	2.14	0.00	2.14
	8 #00032399652 VARIOUS	IVY SMALL CAP VALUE A	0.00	1.84	95.0	0.00	1.75		1.75
		ILAND, IVY SMALL CAP VALUE A	0.00	3.23	95.0	0.00	3.07		3.07
		STEVEN IVY SMALL CAP VALUE A	0.00	0.71	95.0	0.00	0.67		0.67
		DARLA IVY SMALL CAP VALUE A	0.00	0.88	95.0	0.00	0.84		0.84
	8 #00032409103 VARIOUS	IVY SMALL CAP VALUE A	0.00	2.66	95.0	0.00	2.53		2.53
. ,	8 #00032411317 ANDREWS,	IVY SMALL CAP VALUE A	0.00	1.96	95.0	0.00	1.86		1.86

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DATE	CLIENT IN	VESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TRANSACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND 12B1	. TRAILS							
	8 #00032418731 VARIOUS	IVY SMALL CAP VALUE A	0.00	1.82	95.0	0.00	1.73	0.00	1.73
01/11/201	8 #00032418732 VARIOUS	IVY SMALL CAP VALUE A	0.00	2.23	95.0	0.00	2.12	0.00	2.12
01/11/201	8 #00032428411 VARIOUS	IVY SMALL CAP VALUE A	0.00	17.44	95.0	0.00	16.57	0.00	16.57
01/11/201	8 #00032435738 VARIOUS	IVY SMALL CAP VALUE A	0.00	1.80	95.0	0.00	1.71	0.00	1.71
01/11/201	8 #00032436812 VARIOUS	IVY SMALL CAP VALUE A	0.00	0.57	95.0	0.00	0.54	0.00	0.54
01/11/201	8 #00035335795 VARIOUS	IVY SMALL CAP VALUE A	0.00	19.71	95.0	0.00	18.72	0.00	18.72
01/11/201	8 #00035376545 VARIOUS	IVY SMALL CAP VALUE A	0.00	14.48	95.0	0.00	13.76	0.00	13.76
01/11/201	8 #00035376555 VARIOUS	IVY SMALL CAP VALUE A	0.00	7.82	95.0	0.00	7.43	0.00	7.43
01/11/201	8 #00035377025 VARIOUS	IVY SMALL CAP VALUE A	0.00	6.20	95.0	0.00	5.89	0.00	5.89
01/11/201	8 #00035381389 VARIOUS	IVY SMALL CAP VALUE A	0.00	1.48	95.0	0.00	1.41	0.00	1.41
01/11/201	8 #00035419793 VARIOUS	IVY SMALL CAP VALUE A	0.00	4.27	95.0	0.00	4.06	0.00	4.06
01/11/201	8 #00035461171 VARIOUS	IVY SMALL CAP VALUE A	0.00	18.13	95.0	0.00	17.22	0.00	17.22
01/11/201	8 #00035461175 JOHNSON, DONALI	O IVY SMALL CAP VALUE A	0.00	12.94	95.0	0.00	12.29	0.00	12.29
01/11/201	8 #00035491952 VARIOUS	IVY SMALL CAP VALUE A	0.00	3.76	95.0	0.00	3.57	0.00	3.57
01/11/201	8 #00035492585 EMERSON, SHAWN	IVY SMALL CAP VALUE A	0.00	2.41	95.0	0.00	2.29	0.00	2.29
01/11/201	8 #00035492588 EMERSON, BECKY	IVY SMALL CAP VALUE A	0.00	2.08	95.0	0.00	1.98	0.00	1.98
01/11/201	8 #00035494498 VARIOUS	IVY SMALL CAP VALUE A	0.00	14.28	95.0	0.00	13.57	0.00	13.57
01/11/201	8 #00035495624 VARIOUS	IVY SMALL CAP VALUE A	0.00	0.91	95.0	0.00	0.86	0.00	0.86
01/11/201	8 #00035511829 VARIOUS	IVY SMALL CAP VALUE A	0.00	1.10	95.0	0.00	1.05	0.00	1.05
01/11/201	8 #00035521755 VARIOUS	IVY SMALL CAP VALUE A	0.00	0.61	95.0	0.00	0.58	0.00	0.58
01/11/201	8 #00035522407 HARSH, RAYMOND	IVY SMALL CAP VALUE A	0.00	7.23	95.0	0.00	6.87	0.00	6.87
01/11/201	8 #00035522691 GRAVES, HELEN	IVY SMALL CAP VALUE A	0.00	2.57	95.0	0.00	2.44	0.00	2.44
01/11/201	8 #00035529678 VARIOUS	IVY SMALL CAP VALUE A	0.00	6.16	95.0	0.00	5.85	0.00	5.85
01/11/201	8 #00035564881 VARIOUS	IVY SMALL CAP VALUE A	0.00	7.40	95.0	0.00	7.03	0.00	7.03
01/11/201	8 #00035572251 VARIOUS	IVY SMALL CAP VALUE A	0.00	0.63	95.0	0.00	0.60	0.00	0.60
01/11/201	8 #00035578513 CHRISTOPHER,	IVY SMALL CAP VALUE A	0.00	9.84	95.0	0.00	9.35	0.00	9.35
01/11/201	8 #00035589263 JOHNSON, DONALI	O IVY SMALL CAP VALUE A	0.00	3.75	95.0	0.00	3.56	0.00	3.56
01/11/201	8 #00035606261 VARIOUS	IVY SMALL CAP VALUE A	0.00	3.57	95.0	0.00	3.39	0.00	3.39
01/11/201	8 #00035619764 VARIOUS	IVY SMALL CAP VALUE A	0.00	9.37	95.0	0.00	8.90	0.00	8.90
01/11/201	8 #00035637930 VARIOUS	IVY SMALL CAP VALUE A	0.00	3.20	95.0	0.00	3.04	0.00	3.04
01/11/201	8 #00035637933 VARIOUS	IVY SMALL CAP VALUE A	0.00	0.67	95.0	0.00	0.64	0.00	0.64
01/11/201	8 #00035644796 VARIOUS	IVY SMALL CAP VALUE A	0.00	8.52	95.0	0.00	8.09	0.00	8.09
01/11/201	8 #00035742403 VARIOUS	IVY SMALL CAP VALUE A	0.00	7.39	95.0	0.00	7.02	0.00	7.02
01/11/201	8 #00035746115 VARIOUS	IVY SMALL CAP VALUE A	0.00	2.07	95.0	0.00	1.97	0.00	1.97
01/11/201	8 #00035747495 VARIOUS	IVY SMALL CAP VALUE A	0.00	3.36	95.0	0.00	3.19	0.00	3.19
01/11/201	8 #00035799004 VARIOUS	IVY SMALL CAP VALUE A	0.00	8.98	95.0	0.00	8.53	0.00	8.53

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRANS	SACTIONS						
PRODUCT (CATEGORY: MUTUAL FUND	12B1 TRAILS							
01/11/2018	3 #00035895678 VARIOUS	IVY SMALL CAP VALUE A	0.00	39.24	95.0	0.00	37.28	0.00	37.28
01/11/2018	3 #00035920047 VARIOUS	IVY SMALL CAP VALUE A	0.00	1.89	95.0	0.00	1.80	0.00	1.80
01/11/2018	3 #00035943714 VARIOUS	IVY SMALL CAP VALUE A	0.00	1.49	95.0	0.00	1.42	0.00	1.42
01/11/2018	3 #00036077188 VARIOUS	IVY SMALL CAP VALUE A	0.00	8.57	95.0	0.00	8.14	0.00	8.14
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$10,001.87	_	\$0.00	\$9,501.89	\$0.00	\$9,501.89
		*** BROKER TRANSACTIONS TOTAL ***	\$51,862.85	\$11,685.21		\$0.00	\$11,101.31	\$0.00	\$11,101.31
		ADJUSTM	ENTS						
02/20/2018	3	BETA BACK OFFICE: ADMIN FEE							-320.00
02/20/2018	3	E & O INSURANCE: E & O INSURANCE							-179.00
		*** ADJUSTMENTS TOTAL ***						-	\$-499.00

BROKER	COMMISSION	TOTALS
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Check Amount	\$10,602.31
SIPC Assessment	\$0.00
FINRA Assessment	\$0.00
Prior Period Balance	\$0.00
Base Salary	\$0.00
Payroll Draw	\$0.00
Adjustments	\$-499.00
Override Transactions	\$0.00
Split Transactions	\$0.00
Broker Transactions	\$11,101.31