



COMMISSION STATEMENT

April 23, 2018

JOHN TRUMAN
1932 W Chesterfield Blvd #110
Springfield, MO 65807

BROKER #: B02
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/17/2018	***-**-750I - FORE, DEWEY	PIMCO INCOME FUND INSTITUTIONAL-NFS	7,200.81	35.00	18.00	17.00	75.0	12.75
	ACCOUNT #: LSR012620	SELL 592 Units @12.1700						
04/13/2018	***-**-550I - CATHEY, NANCY	PIMCO INVESTMENT GRADE CORP	244.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011845	SELL 24 Units @10.2800						
04/18/2018	***-**-283X - GOODALL, TERRI	THE GROWTH FUND OF AMERICA-A	257.33	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012130	SELL 5 Units @52.8500						
04/18/2018	***-**-750I - FORE, DEWEY	THE HARTFORD MIDCAP VALUE FUND-I	4,478.83	35.00	18.00	17.00	75.0	12.75
	ACCOUNT #: LSR012620	SELL 283 Units @15.8500						
* MUTUAL FUNDS SUBTOTAL *			\$12,180.97	\$70.00	\$72.00	\$-2.00		\$-10.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/23/2018	***-**-600I - HARTER, BRADLEY	AMCAP FUND-A	0.00	0.96	0.00	0.96	75.0	0.72
	ACCOUNT #: LSR011811	BUY 1 Units @0.0000						
04/23/2018	***-**-78IO - SWECKARD, KEVIN	AMCAP FUND-A	0.00	1.31	0.00	1.31	75.0	0.98
	ACCOUNT #: LSR012025	BUY 1 Units @0.0000						
04/23/2018	***-**-206X - ROLLINGS, KEITH	AMCAP FUND-A	0.00	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: LSR012149	BUY 1 Units @0.0000						
04/23/2018	***-**-9175 - GARDNER, JAMES	AMCAP FUND-A	0.00	7.05	0.00	7.05	75.0	5.29
	ACCOUNT #: LSR012304	BUY 1 Units @0.0000						
04/23/2018	***-**-212X - MOCTEZUMA, ANA	AMCAP FUND-A	0.00	3.70	0.00	3.70	75.0	2.78
	ACCOUNT #: LSR012361	BUY 1 Units @0.0000						
04/23/2018	***-**-034I - FRANKLIN, JEAN	AMERICAN BALANCED FUND-A	0.00	4.95	0.00	4.95	75.0	3.71
	ACCOUNT #: LSR011981	BUY 1 Units @0.0000						
04/23/2018	***-**-729X - FAIR, LISA	AMERICAN BALANCED FUND-A	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: LSR012147	BUY 1 Units @0.0000						
04/23/2018	***-**-784X - NIPPER, SHERICIA	AMERICAN BALANCED FUND-A	0.00	0.07	0.00	0.07	75.0	0.05
	ACCOUNT #: LSR012148	BUY 1 Units @0.0000						
04/23/2018	***-**-206X - ROLLINGS, KEITH	AMERICAN BALANCED FUND-A	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: LSR012149	BUY 1 Units @0.0000						
04/23/2018	***-**-523X - FROST, JULIE	AMERICAN BALANCED FUND-A	0.00	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: LSR012151	BUY 1 Units @0.0000						
04/23/2018	***-**-29IO - MORELAND, BRIAN	AMERICAN BALANCED FUND-A	0.00	0.93	0.00	0.93	75.0	0.70
	ACCOUNT #: LSR012281	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-49IO - HELTON, PEARL	AMERICAN BALANCED FUND-A	0.00	0.98	0.00	0.98	75.0	0.74
	ACCOUNT #: LSR012308	BUY 1 Units @0.0000						
04/23/2018	***-**-212X - MOCTEZUMA, ANA	AMERICAN MUTUAL FUND-A	0.00	2.83	0.00	2.83	75.0	2.12
	ACCOUNT #: LSR012361	BUY 1 Units @0.0000						
04/23/2018	***-**-19IR - MATTOX, JENNIFER	FIDELITY ADV EQUITY GROWTH CL A	0.00	0.78	0.00	0.78	75.0	0.59
	ACCOUNT #: LSR012339	BUY 1 Units @0.0000						
04/23/2018	***-**-784X - NIPPER, SHERICIA	FUNDAMENTAL INVESTORS-A	0.00	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: LSR012148	BUY 1 Units @0.0000						
04/23/2018	***-**-171I - BALLARD, SCOTT	FUNDAMENTAL INVESTORS-A	0.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: LSR012282	BUY 1 Units @0.0000						
04/23/2018	***-**-9175 - GARDNER, JAMES	FUNDAMENTAL INVESTORS-A	0.00	10.39	0.00	10.39	75.0	7.79
	ACCOUNT #: LSR012304	BUY 1 Units @0.0000						
04/23/2018	***-**-619O - GRAFFT, JODELL	MASS INVESTORS GROWTH STOCK FUND-A	0.00	0.55	0.00	0.55	75.0	0.41
	ACCOUNT #: LSR012280	BUY 1 Units @0.0000						
04/23/2018	***-**-784I - PARKS, CARL	PIMCO FLOATING INCOME FD CL A	0.00	5.60	0.00	5.60	75.0	4.20
	ACCOUNT #: LSR012273	BUY 1 Units @0.0000						
04/23/2018	***-**-9175 - GARDNER, JAMES	PIMCO FLOATING INCOME FD CL A	0.00	7.18	0.00	7.18	75.0	5.39
	ACCOUNT #: LSR012304	BUY 1 Units @0.0000						
04/23/2018	***-**-206X - ROLLINGS, KEITH	PIMCO INCOME A	0.00	0.14	0.00	0.14	75.0	0.11
	ACCOUNT #: LSR012149	BUY 1 Units @0.0000						
04/23/2018	***-**-600I - HARTER, BRADLEY	PIMCO INV GRADE CORP	0.00	0.34	0.00	0.34	75.0	0.26
	ACCOUNT #: LSR011811	BUY 1 Units @0.0000						
04/23/2018	***-**-034I - FRANKLIN, JEAN	PIMCO INV GRADE CORP	0.00	5.07	0.00	5.07	75.0	3.80
	ACCOUNT #: LSR011981	BUY 1 Units @0.0000						
04/23/2018	***-**-78IO - SWECKARD, KEVIN	PIMCO INV GRADE CORP	0.00	3.37	0.00	3.37	75.0	2.53
	ACCOUNT #: LSR012025	BUY 1 Units @0.0000						
04/23/2018	***-**-206X - ROLLINGS, KEITH	PIMCO INV GRADE CORP	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: LSR012149	BUY 1 Units @0.0000						
04/23/2018	***-**-784I - PARKS, CARL	PIMCO INV GRADE CORP	0.00	5.47	0.00	5.47	75.0	4.10
	ACCOUNT #: LSR012273	BUY 1 Units @0.0000						
04/23/2018	***-**-171I - BALLARD, SCOTT	PIMCO INV GRADE CORP	0.00	1.51	0.00	1.51	75.0	1.13
	ACCOUNT #: LSR012282	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-9175 - GARDNER, JAMES	PIMCO INV GRADE CORP	0.00	7.94	0.00	7.94	75.0	5.96
	ACCOUNT #: LSR012304	BUY 1 Units @0.0000						
04/23/2018	***-**-4910 - HELTON, PEARL	PIMCO INV GRADE CORP	0.00	0.41	0.00	0.41	75.0	0.31
	ACCOUNT #: LSR012308	BUY 1 Units @0.0000						
04/23/2018	***-**-5501 - CATHEY, NANCY	PIMCO INVESTMENT GRADE CORP	0.00	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: LSR011845	BUY 1 Units @0.0000						
04/23/2018	***-**-3580 - TRUMAN, RYAN	PIMCO INVESTMENT GRADE CORP	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: LSR012386	BUY 1 Units @0.0000						
04/23/2018	***-**-5501 - CATHEY, NANCY	PIMCO INVESTMENT GRADE CORP	0.00	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: LSR011845	BUY 1 Units @0.0000						
04/23/2018	***-**-283X - GOODALL, TERRI	THE GROWTH FUND OF AMERICA-A	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: LSR012130	BUY 1 Units @0.0000						
04/23/2018	***-**-523X - FROST, JULIE	THE GROWTH FUND OF AMERICA-A	0.00	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: LSR012151	BUY 1 Units @0.0000						
04/23/2018	***-**-7810 - SWECKARD, KEVIN	THE INCOME FUND OF AMERICA-A	0.00	5.76	0.00	5.76	75.0	4.32
	ACCOUNT #: LSR012025	BUY 1 Units @0.0000						
04/23/2018	***-**-7841 - PARKS, CARL	THE INCOME FUND OF AMERICA-A	0.00	5.29	0.00	5.29	75.0	3.97
	ACCOUNT #: LSR012273	BUY 1 Units @0.0000						
04/23/2018	***-**-1711 - BALLARD, SCOTT	THE INCOME FUND OF AMERICA-A	0.00	0.73	0.00	0.73	75.0	0.55
	ACCOUNT #: LSR012282	BUY 1 Units @0.0000						
04/23/2018	***-**-212X - MOCTEZUMA, ANA	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.85	0.00	2.85	75.0	2.14
	ACCOUNT #: LSR012361	BUY 1 Units @0.0000						
04/23/2018	***-**-212X - MOCTEZUMA, ANA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.51	0.00	3.51	75.0	2.63
	ACCOUNT #: LSR012361	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$90.91	\$0.00	\$90.91		\$68.23
*** BROKER TRANSACTIONS TOTAL ***			\$12,180.97	\$160.91	\$72.00	\$88.91		\$57.73



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$57.73
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$13.23
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
Balance Forward								\$70.96
Year-to-date Earnings								\$7,656.38