



All Companies

02/14/2018  
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# COMMISSION STATEMENT

## February 15, 2018

RICHARD E. FENGLER  
513 HARBOR LANE

BROKER #: 2990  
BRANCH: HOME OFFICE

Hickory Creek, TX 75065-7546

### COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
01/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	1,607.68	1,607.68	0.00	1,607.68	85.0	1,366.53
12/31/2017	TRAILS	-VOYA	B	767.33	767.33	0.00	767.33	85.0	652.23
01/25/2018	00002196237	PARKS, EILEEN/EMMA US GLOBAL LEADERS GROWTH A	B	25.00	1.06	0.00	1.06	85.0	0.90
01/25/2018	00002196244	PARKS, EILEEN/KATHERIN US GLOBAL LEADERS GROWTH A	B	25.00	1.06	0.00	1.06	85.0	0.90
01/10/2018	00063561880	WALKER, ROBERT A. FIDELITY ADV STRATEGIC INCOME CL C	B	650.00	6.50	0.00	6.50	85.0	5.53
01/08/2018	00701154544	DUNFORD, GINA FIDELITY ADV DIVERSIFIED INTL FD-CL B	B	0.23	0.01	0.00	0.01	85.0	0.01
01/08/2018	00701154544	DUNFORD, GINA FIDELITY ADV DIVERSIFIED INTL FD-CL B	B	50.00	2.50	0.00	2.50	85.0	2.13
01/08/2018	00701154544	DUNFORD, GINA FIDELITY ADV DIVERSIFIED INTL FD-CL B	B	-0.23	-0.01	0.00	-0.01	85.0	-0.01
01/10/2018	00701863771	EPPERSON, JOANN/SUSAN FA 529 PORT 2019-CL A	B	100.00	3.75	0.00	3.75	85.0	3.19
01/08/2018	01481958690	KLING, MELISSA K. FA 529 PORT 2019-CL C	B	400.00	4.00	0.00	4.00	85.0	3.40
01/08/2018	01481958708	KLING, MELISSA K. FA 529 DIVIDEND GROWTH PORT-CL C	B	400.00	4.00	0.00	4.00	85.0	3.40
01/22/2018	01489907152	BARGAS, JEFFERY/MADISON FA 529 100% EQUITY PORT-CL C	B	200.00	2.00	0.00	2.00	85.0	1.70
01/25/2018	5450900284	BALLAS, MELISSA L MODERATE INVESTOR FUND A	B	100.00	4.75	0.00	4.75	85.0	4.04
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$4,325.01</b>	<b>\$2,404.63</b>	<b>\$0.00</b>	<b>\$2,404.63</b>		<b>\$2,043.95</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
02/02/2018	TRAILS	-JOHN HANCOCK	B	9.46	9.46	0.00	9.46	85.0	8.04
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$9.46</b>	<b>\$9.46</b>	<b>\$0.00</b>	<b>\$9.46</b>		<b>\$8.04</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
02/15/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES		0.00	24.46	0.00	24.46	85.0	20.80
02/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	517.56	0.00	517.56	85.0	439.93
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$542.02</b>	<b>\$0.00</b>	<b>\$542.02</b>		<b>\$460.73</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$4,334.47</b>	<b>\$2,956.11</b>	<b>\$0.00</b>	<b>\$2,956.11</b>		<b>\$2,512.72</b>

COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

02/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
02/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,512.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,385.22
Year-to-date Earnings	\$11,166.89