



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 15, 2018

04/17/2018
Page No. 1

TIMOTHY, S118 STAUFFER
333 Fiddlers Cove

BROKER #: S118
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
04/06/2018	GUNDER, GREG	AMTRUST FINL SVCS INC DEP SHS REPST	-8,557.30	107.00	22.62	84.38	90.0	75.94
04/06/2018	BYERLEY, WAYNE & KATHLEE	BANCROFT	15,160.98	88.00	22.90	65.10	90.0	58.59
04/06/2018	MISSON CHURCH, AT FORT WAYNE	GABELLI UTIL TR	10,037.12	24.00	22.60	1.40	90.0	1.26
04/06/2018	BYERLEY, KATHLEEN	GABELLI UTIL TR	9,979.52	88.00	22.60	65.40	90.0	58.86
04/03/2018	SHERRILL, TIMOTHY	GENTEX CORP COM	-4,672.82	35.00	22.50	12.50	90.0	11.25
04/06/2018	GUNDER, GREG	JPMORGAN CHASE & CO DEP SHS NONCUM	-9,821.18	98.00	22.56	75.44	90.0	67.90
04/06/2018	GUNDER, GREG	KKR & CO L P DEL PFD DIV RATE 6.50%	-10,050.30	106.00	22.58	83.42	90.0	75.08
* STOCKS SUBTOTAL *			\$2,076.02	\$546.00	\$158.36	\$387.64		\$348.88
*** CLEARING TRANSACTIONS TOTAL ***			\$2,076.02	\$546.00	\$158.36	\$387.64		\$348.88
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
03/15/2018	ZEHR, PAUL	HPI FUND VI LLC	100,000.00	6,000.00	0.00	6,000.00	90.0	5,400.00
* PRIVATE PLACEMENT SUBTOTAL *			\$100,000.00	\$6,000.00	\$0.00	\$6,000.00		\$5,400.00
<u>PRODUCT CATEGORY: REIT</u>								
03/27/2018	ZEHR, PAUL	COTTONWOOD MULTIFAMILY REIT II	10,200.00	612.00	0.00	612.00	90.0	550.80
* REIT SUBTOTAL *			\$10,200.00	\$612.00	\$0.00	\$612.00		\$550.80
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/02/2018	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
* MUTUAL FUNDS SUBTOTAL *			\$215.00	\$2.15	\$0.00	\$2.15		\$1.94
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2018	WILD, WILLIAM	JNL ELITE ACCESS	98,606.78	41.09	0.00	41.09	90.0	36.98
03/31/2018	NUTTLE, ALLEN	JNL ELITE ACCESS	27,479.95	5.72	0.00	5.72	90.0	5.15
03/31/2018	MOHR, AMY	JNL ELITE ACCESS	45,463.91	9.47	0.00	9.47	90.0	8.52

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2018	LARKINS, TROY	JNL ELITE ACCESS	48,000.23	10.00	0.00	10.00	90.0	9.00
03/31/2018	ZEHR, PAUL	JNL ELITE ACCESS	82,025.24	17.09	0.00	17.09	90.0	15.38
03/31/2018	ZIMMERMAN, CATHERINE	JNL PERSPECTIVE	29,565.10	6.16	0.00	6.16	90.0	5.54
03/31/2018	COLEMAN, CHERYL	JNL PERSPECTIVE	36,539.54	7.61	0.00	7.61	90.0	6.85
03/31/2018	FLETCHER, MONA	JNL PERSPECTIVE II	27,580.23	11.49	0.00	11.49	90.0	10.34
03/31/2018	LEDERMAN, JOANNE	JNL PERSPECTIVE II	301,432.67	125.60	0.00	125.60	90.0	113.04
03/31/2018	WILD, CHRISTOPHER	JNL PERSPECTIVE II	14,351.46	5.98	0.00	5.98	90.0	5.38
03/31/2018	MIDDLETON, LUCINDA	JNL PERSPECTIVE II 04/14	80,402.31	33.50	0.00	33.50	90.0	30.15
03/31/2018	MIDDLETON, JAMES	JNL PERSPECTIVE II 04/14	77,731.82	32.39	0.00	32.39	90.0	29.15
03/31/2018	BERKSHIRE, LAURA	JNL PERSPECTIVE II 04/14	145,745.66	60.73	0.00	60.73	90.0	54.66
03/31/2018	HOLLE, DONELLE	JNL PERSPECTIVE II 04/14	77,382.96	32.24	0.00	32.24	90.0	29.02
03/31/2018	SMITH REV TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	617,954.78	257.48	0.00	257.48	90.0	231.73
03/31/2018	SMITH TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	219,867.27	91.61	0.00	91.61	90.0	82.45
03/31/2018	HALL, ROSEMARY	JNL PERSPECTIVE II 04/14	311,345.74	129.73	0.00	129.73	90.0	116.76
03/31/2018	BYERLEY, WAYNE	JNL PERSPECTIVE II 05/05	218,662.53	91.11	0.00	91.11	90.0	82.00
03/31/2018	ZAHM SR, RICHARD	JNL PERSPECTIVE II 05/05	139,720.59	58.22	0.00	58.22	90.0	52.40
03/31/2018	CHRIST, LINDA	JNL PERSPECTIVE II 05/05	138,817.09	57.84	0.00	57.84	90.0	52.06
03/31/2018	PARKER, DIANA	JNL PERSPECTIVE II 05/05	86,021.51	35.84	0.00	35.84	90.0	32.26
03/31/2018	PARKER, MICHAEL	JNL PERSPECTIVE II 05/05	95,117.37	39.63	0.00	39.63	90.0	35.67
03/31/2018	RAMIREZ, JOAQUIN	JNL PERSPECTIVE II 05/05	47,068.87	19.61	0.00	19.61	90.0	17.65
03/31/2018	GRABER, AMOS	JNL PERSPECTIVE II 05/05	45,506.54	18.96	0.00	18.96	90.0	17.06
03/31/2018	BLANCHARD, SUZETTE	JOHN HANCOCK VENTURE III	69,074.24	57.56	0.00	57.56	90.0	51.80
03/31/2018	SITCLER, PATRICIA	JOHN HANCOCK VENTURE III	107,860.33	89.88	0.00	89.88	90.0	80.89
03/31/2018	SHERRILL, TIMOTHY	JOHN HANCOCK VENTURE III	214,177.83	178.48	0.00	178.48	90.0	160.63
03/31/2018	SOBERALSKI, EDWARD	JOHN HANCOCK VENTURE III	201,365.12	167.80	0.00	167.80	90.0	151.02
03/30/2018	LEDERMAN, JOANNE	NATIONWIDE BOA ACHIEVER 45	281,174.93	351.47	0.00	351.47	90.0	316.32
03/30/2018	HOUSER, MICHAEL	NATIONWIDE BOA ACHIEVER 45	62,749.99	117.66	0.00	117.66	90.0	105.89
03/30/2018	KIRKLAND, CINDY	NATIONWIDE BOA SUCCESSOR 33	6,957.89	17.39	0.00	17.39	90.0	15.65
03/30/2018	THIEME, HEIDI	NATIONWIDE DESTINATION C 01	20,251.40	63.29	0.00	63.29	90.0	56.96
03/30/2018	HITT, ERIN	NATIONWIDE DESTINATION C 01	9,007.29	22.52	0.00	22.52	90.0	20.27
03/30/2018	JACOBS, AMY	NATIONWIDE DESTINATION C 01	13,259.34	33.15	0.00	33.15	90.0	29.84
03/30/2018	HILER, BRITNEY	NATIONWIDE DESTINATION C 01	1,694.43	5.30	0.00	5.30	90.0	4.77
03/30/2018	CRANE, AMY	NATIONWIDE DESTINATION C 01	9,982.70	24.96	0.00	24.96	90.0	22.46

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
03/30/2018	MATHEWSON, KAYLA	NATIONWIDE DESTINATION C 01	7,091.31	17.73	0.00	17.73	90.0	15.96
03/30/2018	LEDERMAN, JOANNE	NATIONWIDE MARKETFLEX BB	20,037.52	25.05	0.00	25.05	90.0	22.55
03/30/2018	BOYLAN TRUST	NATIONWIDE MARKETFLEX BB	37,103.74	92.76	0.00	92.76	90.0	83.48
03/30/2018	RAMIREZ, HERLINDA GARCIA	NATIONWIDE MARKETFLEX BB	27,111.90	67.78	0.00	67.78	90.0	61.00
03/30/2018	DEHAVEN, ELLEN	NATIONWIDE MARKETFLEX BB	18,585.84	23.23	0.00	23.23	90.0	20.91
03/30/2018	NEELEY, JOHN	NATIONWIDE MARKETFLEX BB	23,007.20	28.76	0.00	28.76	90.0	25.88
03/30/2018	ZEHR, BERTHA	NW DESTINATION B2 05	103,649.61	129.56	0.00	129.56	90.0	116.60
03/30/2018	PARKER, MICHAEL	NW DESTINATION B2 05	126,816.82	158.52	0.00	158.52	90.0	142.67
03/30/2018	PARKER, DIANA	NW DESTINATION B2 05	86,431.38	108.04	0.00	108.04	90.0	97.24
03/30/2018	BURD, CHRISTINA	NWL SOLOIST 01	4,380.34	7.12	0.00	7.12	90.0	6.41
03/30/2018	SNYDER, PAIGE	NWL SOLOIST 01	7,237.04	11.76	0.00	11.76	90.0	10.58
03/30/2018	ROBROCK, KYLIA	NWL SOLOIST 01	1,895.51	3.08	0.00	3.08	90.0	2.77
03/30/2018	CIRCLE, MADISON	NWL SOLOIST 01	1,706.77	2.77	0.00	2.77	90.0	2.49
03/30/2018	RINEHOLD, BRANDI	NWL SOLOIST 01	5,961.48	9.69	0.00	9.69	90.0	8.72
03/30/2018	WILLS, LISA	NWL SOLOIST 01	5,970.61	9.70	0.00	9.70	90.0	8.73
03/30/2018	HEYNEMAN, ALEXA	NWL SOLOIST 01	2,538.00	4.12	0.00	4.12	90.0	3.71
03/30/2018	KELLEY, MEKENNA	NWL SOLOIST 01	705.09	1.15	0.00	1.15	90.0	1.04
03/31/2018	BROOKSHIRE, JEFFEREY	PRU AS LIFEVEST	21,929.44	13.71	0.00	13.71	90.0	12.34
03/31/2018	BROOKSHIRE, JEFFEREY	PRU AS LIFEVEST	21,929.44	41.12	0.00	41.12	90.0	37.01
03/28/2018	STAUFFER, PATTY	SBL ADVISORDESIGNS VA	19,150.42	15.96	0.00	15.96	90.0	14.36
* VARIABLE ANNUITIES SUBTOTAL *			\$4,553,185.10	\$3,100.17	\$0.00	\$3,100.17		\$2,790.15

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/19/2018	ZEHR, BERTHA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.07	0.00	2.07	90.0	1.86
03/19/2018	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.07	0.00	2.07	90.0	1.86
03/19/2018	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.22	0.00	8.22	90.0	7.40
03/19/2018	BYERLEY, WAYNE & KATHLEE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.11	0.00	8.11	90.0	7.30
03/19/2018	ZAHM, CHRISTINE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.62	0.00	1.62	90.0	1.46
03/19/2018	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.26	0.00	1.26	90.0	1.13
03/19/2018	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	11.07	0.00	11.07	90.0	9.96
03/19/2018	SCHROEDER, JEFFERY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.84	0.00	1.84	90.0	1.66
03/19/2018	PIERSON III, CARL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.19	0.00	1.19	90.0	1.07
03/19/2018	PIERSON, JUDITH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.19	0.00	1.19	90.0	1.07
03/19/2018	MERVAR, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.76	0.00	6.76	90.0	6.08

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/19/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	5.10	0.00	5.10	90.0	4.59
03/19/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	4.72	0.00	4.72	90.0	4.25
03/19/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	5.07	0.00	5.07	90.0	4.56
04/01/2018	NUTTLE, CHRIS	MFS EQUITY OPPORTUNITIES A	0.00	2.66	0.00	2.66	90.0	2.39
04/01/2018	NUTTLE, CHRIS	MFS EQUITY OPPORTUNITIES A	0.00	0.44	0.00	0.44	90.0	0.40
04/01/2018	NUTTLE, CHRIS	MFS EQUITY OPPORTUNITIES B	0.00	0.84	0.00	0.84	90.0	0.76
03/21/2018	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.08	0.00	0.08	90.0	0.07
03/20/2018	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.18	0.00	1.18	90.0	1.06
03/20/2018	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	2.15	0.00	2.15	90.0	1.94
03/20/2018	DINGER, WILLIAM	TRANSAMERICA TACTICAL INCOME C	0.00	7.48	0.00	7.48	90.0	6.73
03/20/2018	ZEHR, PAUL	TRANSAMERICA TACTICAL INCOME C	0.00	8.07	0.00	8.07	90.0	7.26
03/20/2018	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	7.95	0.00	7.95	90.0	7.16
03/20/2018	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	9.52	0.00	9.52	90.0	8.57
03/20/2018	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	6.28	0.00	6.28	90.0	5.65
03/20/2018	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.13	0.00	6.13	90.0	5.52
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$113.07	\$0.00	\$113.07		\$101.76
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/24/2018	REICHARD, THOMAS	ING ESII	54,361.86	67.95	0.00	67.95	90.0	61.16
04/04/2018	NUTTLE, DARREN	ING PREMIUM PLUS	4,809.56	3.01	0.00	3.01	90.0	2.71
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$59,171.42	\$70.96	\$0.00	\$70.96		\$63.87
*** DIRECT TRANSACTIONS TOTAL ***			\$4,722,771.52	\$9,898.35	\$0.00	\$9,898.35		\$8,908.52

ADJUSTMENTS

04/15/2018	*AI INSIGHT: CURRENT MONTH	0.00	-7.00
04/15/2018	*ALBRIDGE: LIGHT USER	0.00	-76.00
04/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00	-300.00
04/15/2018	*GLOBAL RELAY: WEBSITE	0.00	-15.00
04/15/2018	*PERSHING: BOOKS & RECORDS	0.00	-7.92
04/15/2018	*TECHNOLOGY: ADMIN - KAREN STAUFFER	0.00	-130.00
04/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00	-180.00

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DIRECT TRANSACTIONS

PRODUCT CATEGORY: OIL & GAS

*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-715.92
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BROKER COMMISSION TOTALS

Broker Transactions	\$9,257.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-715.92
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,541.48
Year-to-date Gross	\$54,256.84
Year-to-date Earnings	\$48,425.02

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