



All Companies

02/27/2018
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COMMISSION STATEMENT March 01, 2018

DANIEL WILLIAM ASHLEY
10809 Pebblecreek Court

BROKER #: 5276
BRANCH: HOME OFFICE

Benbrook, TX 76126

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/12/2018 00089239225	LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
02/12/2018 00089239225	LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	75.00	0.75	0.00	0.75	70.0	0.53
02/12/2018 00089239238	LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	480.00	4.80	0.00	4.80	70.0	3.36
02/12/2018 00089239238	LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	75.00	0.75	0.00	0.75	70.0	0.53
02/12/2018 00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/12/2018 00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/12/2018 00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/12/2018 00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/12/2018 00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/12/2018 00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/12/2018 00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/12/2018 00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/12/2018 00089262606	PETERSON, SCOTT S.	THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/12/2018 00089262606	PETERSON, SCOTT S.	THE NEW ECONOMY FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/12/2018 00089300272	HORTON, COREY A.	AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
02/12/2018 00089300272	HORTON, COREY A.	AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
02/12/2018 00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
02/12/2018 00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
02/12/2018 00089300309	VESTA III, DON	AMERICAN FUNDS 2045 TARGET DATE-C	B	81.62	0.82	0.00	0.82	70.0	0.57
02/12/2018 00089300309	VESTA III, DON	AMERICAN FUNDS 2045 TARGET DATE-C	B	48.97	0.49	0.00	0.49	70.0	0.34
02/12/2018 00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/12/2018 00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-C	B	33.00	0.33	0.00	0.33	70.0	0.23
02/12/2018 00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-C	B	34.74	0.35	0.00	0.35	70.0	0.25
02/12/2018 00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-C	B	25.20	0.25	0.00	0.25	70.0	0.18
02/12/2018 00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
02/12/2018 00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045 TARGET DATE-C	B	24.95	0.25	0.00	0.25	70.0	0.18
02/12/2018 00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/12/2018 00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-C	B	19.95	0.20	0.00	0.20	70.0	0.14
02/12/2018 04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
02/12/2018 04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-C	B	24.60	0.25	0.00	0.25	70.0	0.18
* MUTUAL FUNDS SUBTOTAL *				\$2,110.53	\$21.14	\$0.00	\$21.14		\$14.85

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

02/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	506.24	506.24	0.00	506.24	70.0	354.37
* STOCKS SUBTOTAL *				\$506.24	\$506.24	\$0.00	\$506.24		\$354.37

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	24.69	0.00	24.69	70.0	17.28
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$24.69	\$0.00	\$24.69		\$17.28
*** BROKER TRANSACTIONS TOTAL ***				\$2,616.77	\$552.07	\$0.00	\$552.07		\$386.50

ADJUSTMENTS

03/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
03/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-10.75
03/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-138.25

BROKER COMMISSION TOTALS

Broker Transactions	\$386.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-138.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$248.25
Year-to-date Earnings	\$3,165.59