

# Bill Payment Stub

Check Date:	5/7/2018
Check No.:	ACH
Check Amount:	3,872.39

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: KRIS B. LLOYD, SR.  
4265 SAN FELIPE ROAD SUITE 1100  
HOUSTON, TX 77027

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
4/15/2018	Bill	COMMISSIONS	1.88	1.88		1.88
4/30/2018	Bill	COMMISSIONS	4,125.51	4,125.51		4,125.51
4/5/2018	Credit	FINRA ASSESMENT	-135.00	-135.00		-135.00
5/1/2018	Credit	E&O	-120.00	-120.00		-120.00

# COMMISSION STATEMENT

April 30, 2018

KRIS LLOYD-D039

BROKER #: D039

BRANCH: DMJ

, TX

## COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>CLEARING TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
04/13/2018 368311919	LLOYD, KEITH	MF INTERNATIONAL GROWTH AND	S	9,002.45	247.50	2.50	245.00	90.0	220.50
04/04/2018 368363996	TISDEL, CICERO	MF GROWTH AND INCOME PORTFOLIO-A	B	-1,517.55	0.00	5.00	-5.00	90.0	-4.50
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$7,484.90</b>	<b>\$247.50</b>	<b>\$7.50</b>	<b>\$240.00</b>		<b>\$216.00</b>
<b>PRODUCT CATEGORY: STOCKS</b>									
04/20/2018 184006336	CONNOLLY, ANNE	S STARBUCKS CORP	B	5,996.28	137.84	15.00	122.84	90.0	110.56
04/24/2018 216051151	DAVID HOLLRAH IND	S VICTORY PORTFOLIOS II CEMP US	B	13,784.59	165.26	15.00	150.26	90.0	135.23
04/24/2018 337637241	HARPER, RICHARD	S SEADRILL LIMITED ISIN	S	27.73	-60.00	-15.00	-45.00	90.0	-40.50
04/24/2018 337637241	HARPER, RICHARD	S SEADRILL LIMITED ISIN	S	-27.73	60.00	15.00	45.00	90.0	40.50
04/24/2018 337637241	HARPER, RICHARD	S SEADRILL LIMITED ISIN	S	-72.73	15.00	15.00	0.00	90.0	0.00
04/25/2018 368259575	ROY, JOHN	S KINDER MORGAN INC	S	-7,931.43	171.58	15.00	156.58	90.0	140.92
04/25/2018 368259575	ROY, JOHN	S VICTORY PORTFOLIOS II CEMP US	B	8,140.69	169.53	15.00	154.53	90.0	139.08
04/26/2018 368259575	ROY, JOHN	S NEXTDECADE CORP	S	-6,473.02	149.38	15.00	134.38	90.0	120.94
04/26/2018 368259575	ROY, JOHN	S VICTORY PORTFOLIOS II CEMP US	B	5,860.67	135.84	15.00	120.84	90.0	108.76
04/26/2018 368350989	TOWNES, BETTY	S ADVANCED MICRO DEVICES INC	S	-4,265.69	115.76	15.00	100.76	90.0	90.68
04/26/2018 368350989	TOWNES, BETTY	S SUNOCO LOGISTICS ETP	S	-2,612.98	90.59	15.00	75.59	90.0	68.03
04/26/2018 368350989	TOWNES, BETTY	S VICTORY PORTFOLIOS II CEMP US	B	7,008.71	152.80	15.00	137.80	90.0	124.02
04/24/2018 368431919	COLEMAN, DONALD	S KINDER MORGAN INC	S	-15,895.71	292.87	15.00	277.87	90.0	250.08
04/24/2018 368431919	COLEMAN, DONALD	S NORDIC AMERICAN TANKER LIMITED	S	-1,590.49	75.02	15.00	60.02	90.0	54.02
04/24/2018 368431919	COLEMAN, DONALD	S VICTORY PORTFOLIOS II CEMP US	B	18,432.07	321.62	15.00	306.62	90.0	275.96
04/25/2018 645219153	ROYER TTEE, MARY	S KINDER MORGAN INC	S	-11,029.00	218.76	15.00	203.76	90.0	183.38
04/25/2018 645219153	ROYER TTEE, MARY	S VICTORY PORTFOLIOS II CEMP US	B	9,247.33	185.88	15.00	170.88	90.0	153.79
04/25/2018 645219578	ROYER TTEE, MARY	S KINDER MORGAN INC	S	-22,082.46	387.09	15.00	372.09	90.0	334.88
04/25/2018 645219578	ROYER TTEE, MARY	S VICTORY PORTFOLIOS II CEMP US	B	13,844.78	253.83	15.00	238.83	90.0	214.95
04/25/2018 645219949	ROYER, MARY	S KINDER MORGAN INC	S	-13,790.07	260.80	15.00	245.80	90.0	221.22
04/25/2018 645219949	ROYER, MARY	S VICTORY PORTFOLIOS II CEMP US	B	13,849.35	253.90	15.00	238.90	90.0	215.01
04/25/2018 645225418	ROYER TTEE, MARY	S KINDER MORGAN INC	S	-18,894.69	338.54	15.00	323.54	90.0	291.19
04/25/2018 645225418	ROYER TTEE, MARY	S VICTORY PORTFOLIOS II CEMP US	B	13,844.78	253.83	15.00	238.83	90.0	214.95
04/25/2018 645300697	ROYER, KENNETH	S KINDER MORGAN INC	S	-1,527.57	74.06	15.00	59.06	90.0	53.15
04/25/2018 645300697	ROYER, KENNETH	S VICTORY PORTFOLIOS II CEMP US	B	2,351.68	83.98	15.00	68.98	90.0	62.08
04/26/2018 645300697	ROYER, KENNETH	S ADVANCED MICRO DEVICES INC	B	3,392.78	99.36	15.00	84.36	90.0	75.92

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/26/2018	645300697 ROYER, KENNETH	S NEXTDECADE CORP	S	-3,212.75	99.72	15.00	84.72	90.0	76.25
* STOCKS SUBTOTAL *				\$6,375.12	\$4,502.84	\$375.00	\$4,127.84		\$3,715.05

PRODUCT CATEGORY: MISCELLANEOUS

04/05/2018	a03991470 LLOYD-D039, KRIS	MI MUTUAL FUND MISC FEE 368363996	S	0.00	10.00	0.00	10.00	90.0	9.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$10.00	\$0.00	\$10.00		\$9.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/20/2018	mfc991470 LLOYD 991470	MFT AMCAP FUND-A	B	0.00	5.55	0.00	5.55	90.0	5.00
04/20/2018	mfc991470 LLOYD 991470	MFT AMCAP FUND-A	B	0.00	8.28	0.00	8.28	90.0	7.45
04/20/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	0.51	0.00	0.51	90.0	0.46
04/20/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	3.23	0.00	3.23	90.0	2.91
04/20/2018	mfc991470 LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	13.21	0.00	13.21	90.0	11.89
04/20/2018	mfc991470 LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	0.58	0.00	0.58	90.0	0.52
04/20/2018	mfc991470 LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	1.17	0.00	1.17	90.0	1.05
04/20/2018	mfc991470 LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	3.06	0.00	3.06	90.0	2.75
04/20/2018	mfc991470 LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	6.03	0.00	6.03	90.0	5.43
04/20/2018	mfc991470 LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	15.31	0.00	15.31	90.0	13.78
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.41	0.00	0.41	90.0	0.37
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.55	0.00	0.55	90.0	0.50
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.79	0.00	0.79	90.0	0.71
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.94	0.00	0.94	90.0	0.85
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.36	0.00	1.36	90.0	1.22
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.74	0.00	1.74	90.0	1.57
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.89	0.00	1.89	90.0	1.70
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.32	0.00	2.32	90.0	2.09
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.68	0.00	4.68	90.0	4.21
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.47	0.00	5.47	90.0	4.92
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.50	0.00	5.50	90.0	4.95
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.59	0.00	6.59	90.0	5.93
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	8.03	0.00	8.03	90.0	7.23
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	8.14	0.00	8.14	90.0	7.33
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	11.39	0.00	11.39	90.0	10.25
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.52	0.00	4.52	90.0	4.07
04/20/2018	mfc991470 LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	8.15	0.00	8.15	90.0	7.34
04/20/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	3.45	0.00	3.45	90.0	3.11
04/20/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	4.14	0.00	4.14	90.0	3.73
04/20/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	4.76	0.00	4.76	90.0	4.28
04/20/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	6.59	0.00	6.59	90.0	5.93
04/20/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	7.76	0.00	7.76	90.0	6.98
04/20/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	24.59	0.00	24.59	90.0	22.13
04/20/2018	mfc991470 LLOYD 991470	MFT GROWTH PORTFOLIO-A	B	0.00	4.67	0.00	4.67	90.0	4.20
04/20/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	0.34	0.00	0.34	90.0	0.31
04/20/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	0.59	0.00	0.59	90.0	0.53
04/20/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	0.66	0.00	0.66	90.0	0.59

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/20/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.84	0.00	0.84	90.0	0.76
04/20/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.27	0.00	1.27	90.0	1.14
04/20/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.78	0.00	4.78	90.0	4.30
04/20/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.28	0.00	6.28	90.0	5.65
04/20/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	0.04	0.00	0.04	90.0	0.04
04/20/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	6.96	0.00	6.96	90.0	6.26
04/20/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	7.98	0.00	7.98	90.0	7.18
04/20/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD DIVIDEND & GROWTH	B	0.00	0.01	0.00	0.01	90.0	0.01
04/20/2018	mfc991470 LLOYD 991470	MFT THE INCOME FUND OF AMERICA-A	B	0.00	8.15	0.00	8.15	90.0	7.34
04/20/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.58	0.00	0.58	90.0	0.52
04/20/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.62	0.00	0.62	90.0	0.56
04/20/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.81	0.00	0.81	90.0	0.73
04/20/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.92	0.00	0.92	90.0	0.83
04/20/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.52	0.00	1.52	90.0	1.37
04/20/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.91	0.00	1.91	90.0	1.72
04/20/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.50	0.00	3.50	90.0	3.15
04/20/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.25	0.00	4.25	90.0	3.83
04/20/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.67	0.00	4.67	90.0	4.20
04/20/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.04	0.00	5.04	90.0	4.54
04/20/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.83	0.00	5.83	90.0	5.25
04/20/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.14	0.00	8.14	90.0	7.33
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$261.05	\$0.00	\$261.05		\$234.98
*** CLEARING TRANSACTIONS TOTAL ***				\$13,860.02	\$5,021.39	\$382.50	\$4,638.89		\$4,175.03

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018 (None)	CITTI	MFT COLLEGE BOUND	B	0.00	6.09	0.00	6.09	90.0	5.48
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$6.09	\$0.00	\$6.09		\$5.48
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$6.09	\$0.00	\$6.09		\$5.48

ADJUSTMENTS

04/30/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
04/30/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-55.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,180.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$4,125.51</b>
<b>Year-to-date Commissions</b>	<b>\$22,535.17</b>