

All Companies  
**STATEMENT**  
**May 20, 2018**

**BRUCE COHEN**  
8 Kings HWY West Suite 6D  
SUITE 6B  
Haddonfield, NJ 08033

**BROKER #: 077**  
**BRANCH:**

COMMISSION STATEMENT for BRUCE COHEN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
01/01/0018	#2000311057 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	5.86	92.0	0.00	5.39	0.00	5.39
01/01/0018	#2000311059 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	7.22	92.0	0.00	6.64	0.00	6.64
01/01/0018	#2000311108 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	7.21	92.0	0.00	6.63	0.00	6.63
02/08/0018	#2000311057 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	4.97	92.0	0.00	4.57	0.00	4.57
02/08/0018	#2000311059 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	6.31	92.0	0.00	5.81	0.00	5.81
02/08/0018	#2000311108 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	6.31	92.0	0.00	5.81	0.00	5.81
03/01/0018	#2000311057 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	5.48	92.0	0.00	5.04	0.00	5.04
03/01/0018	#2000311059 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	6.96	92.0	0.00	6.40	0.00	6.40
03/01/0018	#2000311108 MITCHELL, DAVID	LARGE CAP VALUE A	0.00	6.95	92.0	0.00	6.39	0.00	6.39
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$57.27</b>		<b>\$0.00</b>	<b>\$52.68</b>	<b>\$0.00</b>	<b>\$52.68</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
04/10/2018	# OSINSKI, SIGMUND	GENERAL ELECTRIC CO	1,305.60	50.00	92.0	0.00	46.00	19.95	26.05
04/10/2018	# OSINSKI, SIGMUND	GENERAL ELECTRIC CO	1,306.60	50.00	92.0	0.00	46.00	19.95	26.05
04/11/2018	# GROSS, LORETTA	PEPSICO INC	7,622.30	105.00	92.0	0.00	96.60	19.95	76.65
<b>* STOCKS SUBTOTAL *</b>			<b>\$10,234.50</b>	<b>\$205.00</b>		<b>\$0.00</b>	<b>\$188.60</b>	<b>\$59.85</b>	<b>\$128.75</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
04/24/2018	# TAYLOR TAYLOR	G N M A PASS THRU POOL MA4783M	189,106.35	4,612.35	92.0	0.00	4,243.36	20.00	4,223.36
04/24/2018	# TAYLOR TAYLOR	G N M A PASS THRU POOL MA5022M	80,003.67	1,939.48	92.0	0.00	1,784.32	20.00	1,764.32
04/24/2018	# TAYLOR TAYLOR	G N M A PASS THRU POOL MA5022M	-80,003.70	-1,939.48	92.0	0.00	-1,784.32	30.00	-1,814.32
04/24/2018	# TAYLOR TAYLOR	G N M A PASS THRU POOL MA5022M	79,518.79	1,939.48	92.0	0.00	1,784.32	30.00	1,754.32
04/27/2018	# TAYLOR, ALAN	FEDL HOME LOAN MTG CORP#S03814	148,007.04	3,609.93	92.0	0.00	3,321.14	20.00	3,301.14
04/27/2018	# TAYLOR, ALAN	FEDL HOME LOAN MTG CORP#S03814	-148,007.00	-3,609.93	92.0	0.00	-3,321.14	30.00	-3,351.14
04/27/2018	# TAYLOR, ALAN	FEDL HOME LOAN MTG CORP#S03814	148,007.04	3,609.93	92.0	0.00	3,321.14	30.00	3,291.14
04/27/2018	# GREATHOUSE, SHARLENE	FEDL HOME LOAN MTG CORP#S03814	26,842.21	654.69	92.0	0.00	602.31	20.00	582.31
04/27/2018	# GREATHOUSE, SHARLENE	FEDL HOME LOAN MTG CORP#S03814	-26,842.20	-654.69	92.0	0.00	-602.31	30.00	-632.31
04/27/2018	# GREATHOUSE, SHARLENE	FEDL HOME LOAN MTG CORP#S03814	26,842.21	654.69	92.0	0.00	602.31	30.00	572.31
04/27/2018	# ELWELL, JAY	G N M A PASS THRU POOL MA5022M	161,186.50	3,931.38	92.0	0.00	3,616.87	20.00	3,596.87

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* BONDS SUBTOTAL *			\$604,660.91	\$14,747.83		\$0.00	\$13,568.00	\$280.00	\$13,288.00
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>									
04/19/2018	# WOODBURY, MARY SUE	UIT FIRST TRUST DIVERSIFIED SER 201	62,069.29	20.00	92.0	0.00	18.40	18.00	0.40
04/19/2018	# ELWELL, JAY	UIT FIRST TRUST DIVID INCOME PORTFO	160,823.86	20.00	92.0	0.00	18.40	18.00	0.40
04/19/2018	# GREATHOUSE, SHARLENE	UIT FIRST TRUST DIVID INCOME PORTFO	26,686.19	20.00	92.0	0.00	18.40	18.00	0.40
04/19/2018	# WOODBURY, MARY SUE	UIT FIRST TRUST ENERGY SELECT SER 6	27,337.36	20.00	92.0	0.00	18.40	18.00	0.40
04/19/2018	# WOODBURY, MARY SUE	UIT FIRST TRUST FINLS SELECT SER 58	32,886.26	20.00	92.0	0.00	18.40	18.00	0.40
04/19/2018	# ELWELL, JAY	UIT FIRST TRUST FINLS SELECT SER 58	60,313.13	20.00	92.0	0.00	18.40	18.00	0.40
04/19/2018	# WOODBURY, MARY SUE	UIT FIRST TRUST INCOME DIVID SER 12	53,082.13	20.00	92.0	0.00	18.40	18.00	0.40
04/19/2018	# ELWELL, JAY	UIT FIRST TRUST INCOME DIVID SER 12	133,032.46	20.00	92.0	0.00	18.40	18.00	0.40
04/24/2018	# TAYLOR, VICTOR	UIT FT HIGH DIVID EQUITY	110,005.38	2,200.00	92.0	0.00	2,024.00	18.00	2,006.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$666,236.06	\$2,360.00		\$0.00	\$2,171.20	\$162.00	\$2,009.20
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
03/31/2018	#02900009559 OLSEN, WARREN	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	65.64	92.0	0.00	60.39	0.00	60.39
03/31/2018	#02900009570 OLSEN, SHIRLEY	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	80.92	92.0	0.00	74.45	0.00	74.45
03/31/2018	#05001735283 OLSEN, WARREN	OPPENHEIMER DISCOVERY FUND CL A	0.00	4.13	92.0	0.00	3.80	0.00	3.80
03/31/2018	#08350257213 OLSEN, SHIRLEY	OPPENHEIMER EQUITY INCOME FUND INC-	0.00	76.70	92.0	0.00	70.56	0.00	70.56
03/31/2018	#08350257213 OLSEN, SHIRLEY	OPPENHEIMER EQUITY INCOME FUND INC-	0.00	1.70	92.0	0.00	1.56	0.00	1.56
03/31/2018	#03300126335 OLSEN, WARREN	OPPENHEIMER GLOBAL FUND CL A	0.00	1,631.67	92.0	0.00	1,501.14	0.00	1,501.14
03/31/2018	#08253151335 OLSEN, WARREN	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	266.35	92.0	0.00	245.04	0.00	245.04
03/31/2018	#07001345443 OLSEN, WARREN	OPPENHEIMER MAIN STREET FUND CL A	0.00	307.61	92.0	0.00	283.00	0.00	283.00
03/31/2018	#02514678201 OLSEN, WARREN	SMALL- & MID- CAP VALUE FUND A	0.00	313.52	92.0	0.00	288.44	0.00	288.44
03/31/2018	#02514678201 OLSEN, WARREN	SMALL- & MID- CAP VALUE FUND A	0.00	0.26	92.0	0.00	0.24	0.00	0.24
04/02/2018	#00202912600 OLSEN, WARREN	DAVIS NEW YORK VENTURE FUND INC-CL	0.00	130.18	92.0	0.00	119.77	0.00	119.77
04/10/2018	# LEONETTI, MARK	DAIN TRAILER	0.00	5.16	92.0	0.00	4.75	0.00	4.75
04/10/2018	# LEONETTI, MARK	DAIN TRAILER	0.00	4.90	92.0	0.00	4.51	0.00	4.51
04/10/2018	# WHITE, ANN	DAIN TRAILER	0.00	13.35	92.0	0.00	12.28	0.00	12.28
04/10/2018	# WHITE, ANN	DAIN TRAILER	0.00	1.49	92.0	0.00	1.37	0.00	1.37
04/10/2018	# WHITE, ANN	DAIN TRAILER	0.00	17.44	92.0	0.00	16.04	0.00	16.04
04/10/2018	# OLSEN, WARREN	DAIN TRAILER	0.00	26.67	92.0	0.00	24.54	0.00	24.54
04/10/2018	# OLSEN, WARREN	DAIN TRAILER	0.00	13.51	92.0	0.00	12.43	0.00	12.43
04/10/2018	# MCCULLOUGH, WILLIAM	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
04/10/2018	# LEVINE, HYMAN	DAIN TRAILER	0.00	0.55	92.0	0.00	0.51	0.00	0.51
04/10/2018	# LEVINE, HYMAN	DAIN TRAILER	0.00	13.59	92.0	0.00	12.50	0.00	12.50
04/10/2018	# LEVINE, HYMAN	DAIN TRAILER	0.00	10.83	92.0	0.00	9.96	0.00	9.96
04/10/2018	# LEVINE, HYMAN	DAIN TRAILER	0.00	0.51	92.0	0.00	0.47	0.00	0.47
04/10/2018	# LEVINE, HYMAN	DAIN TRAILER	0.00	0.91	92.0	0.00	0.84	0.00	0.84
04/10/2018	# LEVINE, HYMAN	DAIN TRAILER	0.00	3.83	92.0	0.00	3.52	0.00	3.52

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/10/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	11.17	92.0	0.00	10.28	0.00	10.28
04/10/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	14.67	92.0	0.00	13.50	0.00	13.50
04/10/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	9.91	92.0	0.00	9.12	0.00	9.12
04/10/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	13.30	92.0	0.00	12.24	0.00	12.24
04/10/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	3.29	92.0	0.00	3.03	0.00	3.03
04/10/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	5.88	92.0	0.00	5.41	0.00	5.41
04/10/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	10.44	92.0	0.00	9.60	0.00	9.60
04/10/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	2.34	92.0	0.00	2.15	0.00	2.15
04/10/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	3.64	92.0	0.00	3.35	0.00	3.35
04/10/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	4.05	92.0	0.00	3.73	0.00	3.73
04/10/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	8.69	92.0	0.00	7.99	0.00	7.99
04/10/2018 #	GROSS, LORETTA	DAIN TRAILER	0.00	5.21	92.0	0.00	4.79	0.00	4.79
04/10/2018 #	LEONETTI, MARK	DAIN TRAILER	0.00	33.57	92.0	0.00	30.88	0.00	30.88
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$3,117.60</b>		<b>\$0.00</b>	<b>\$2,868.20</b>	<b>\$0.00</b>	<b>\$2,868.20</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,281,131.47</b>	<b>\$20,487.70</b>		<b>\$0.00</b>	<b>\$18,848.68</b>	<b>\$501.85</b>	<b>\$18,346.83</b>

**ADJUSTMENTS**

05/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
05/20/2018	BONDS & UIT'S: BONDS & UIT'S	118.00
05/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC DOW JONES INDICES	-2.02
05/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC MDS INDEX DATA	-2.68
05/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC NASDAQ LEVEL 1	-25.68
05/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC NEW YORK STOCK EXCHANGE	-1.00
05/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC OPRA	-1.00
05/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC THOMSON ONE WEALTH	-69.00
05/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC NASDAQ INDICES	-3.00
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$-555.38</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$18,346.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-555.38
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$17,791.45</b>
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