All Companies

LIBERTY PARTNERS

STATEMENT

June 20, 2018

KENNETH STOKES

29 Central Ave Unit 2 Montclair, NJ 07042BROKER #: 034

BRANCH: LA BRANCH

COMMISSION STATEMENT for KENNETH STOKES

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION RATE	BROKER COMMISSION
	BROKER	TRANSA	CTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS								
04/30/0201 #0051666527 JONATHAN P	BLCKRCK	В	0.00	0.56	0.00	0.00	0.56 85.	0.48
04/30/0201 #0051922870 PAI TRUST	BLCKRCK	В	0.00	8.17	0.00	0.00	8.17 85.	6.94
04/30/0201 #0051922870 PAI TRUST	BLCKRCK	В	0.00	8.17	0.00	0.00	8.17 85.	6.94
04/30/0201 #090271220739 &TRU 401K	FEDERATED EQUITY	В	0.00	691.02	0.00	0.00	691.02 85.	587.37
04/30/0201 #090243637842 &TRU 401K	FEDERATED EQUITY FUNDS KAUFMAN	FUND B	0.00	717.46	0.00	0.00	717.46 85.	609.84
04/30/0201 #090278216416 &TRU 401K	FEDERATED GLOBAL	В	0.00	483.29	0.00	0.00	483.29 85.	410.80
04/30/0201 #090286299551 &TRU 401K	FEDERATED KAUFMANN SMALL CAP F	UND C B	0.00	434.84	0.00	0.00	434.84 85.	369.61
04/30/0201 #090277217199 &TRU 401K	FEDERATED MAX-CAP	В	0.00	720.52	0.00	0.00	720.52 85.	612.44
04/30/0201 #090227279239 &TRU 401K	FEDERATED SECURITIES CORP	В	0.00	686.70	0.00	0.00	686.70 85.	583.70
04/30/0201 #090302278207 &TRU 401K	FEDERATED TOTAL RETURN CL A	В	0.00	268.38	0.00	0.00	268.38 85.	228.12
04/30/0201 #090264228874 &TRU 401K	FEDERATED U.S.	В	0.00	218.52	0.00	0.00	218.52 85.	185.74
04/30/0201 #090209037389 &TRU 401K	FEDERATEED KAUF	В	0.00	307.42	0.00	0.00	307.42 85.	261.31
04/30/0201 #070344107084 MSDS-CONSU	NATIONWIDE FUNDS	В	0.00	22.79	0.00	0.00	22.79 85.	19.37
	* MUTUAL FUNDS SUBT	OTAL *	\$0.00	\$4,567.84	\$0.00	\$0.00	\$4,567.84	\$3,882.66
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
04/30/2018 #000028212302385 JONATHAN P	FRANKLIN SMALL CAP VALUE FUND	CLASS B	0.00	339.28	0.00	0.00	339.28 85.	288.39
05/07/2018 #01468689839 COMPUANAL	FIDELITY ADVISOR ENERGY CL A	В	0.00	41.94	0.00	0.00	41.94 85.	35.65
05/07/2018 #01468689839 COMPUANAL	FIDELITY ADVISOR SER VII ADVIS	OR RE B	0.00	60.62	0.00	0.00	60.62 85.	51.53
05/07/2018 #00485888168 PARK-B-SMI	FIDELITY FMR1205	В	0.00	0.49	0.00	0.00	0.49 85.	0.42
05/07/2018 #00485888168 PARK-B-SMI	FIDELITY FMR1291	В	0.00	0.05	0.00	0.00	0.05 85.	0.04
05/07/2018 #00485888168 PARK-B-SMI	FIDELITY FMR1321	В	0.00	0.52	0.00	0.00	0.52 85.	0.44
05/07/2018 #00485888168 PARK-B-SMI	FIDELITY FMR186	В	0.00	0.76	0.00	0.00	0.76 85.	0.65
05/07/2018 #00485888168 PARK-B-SMI	FIDELITY FMR2443	В	0.00	0.71	0.00	0.00	0.71 85.	0.60
05/07/2018 #00485888168 PARK-B-SMI	FIDELITY FMR251	В	0.00	0.72	0.00	0.00	0.72 85.	0.61
05/07/2018 #00485888168 PARK-B-SMI	FIDELITY FMR258	В	0.00	4.64	0.00	0.00	4.64 85.	3.94
05/07/2018 #00485888168 PARK-B-SMI	FIDELITY FMR2709	В	0.00	0.47	0.00	0.00	0.47 85.	0.40
05/07/2018 #00485888168 PARK-B-SMI	FMR1128	В	0.00	2.42	0.00	0.00	2.42 85.	2.06
05/07/2018 #00485888168 PARK-B-SMI	FMR1184	В	0.00	27.20	0.00	0.00	27.20 85.	23.12
05/07/2018 #00485888168 PARK-B-SMI	FMR1189	В	0.00	82.95	0.00	0.00	82.95 85.	70.51
05/07/2018 #00485888168 PARK-B-SMI	FMR1194	В	0.00	21.61	0.00	0.00	21.61 85.	18.37

COMMISSION STATEMENT for KENNETH STOKES

INVESTMENT

GROSS CLEARING

NET

BROKER

\$-499.00

TRADE DATE CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	POSTAGE	COMMISSION F	RATE	COMMISSION
	BROKER	TRANSA	CTIONS						
PRODUCT CATEGORY: MUTUAL FUND 12B1									
05/07/2018 #00485888168 PARK-B-SMI	FMR1199	В	0.00	1.71	0.00	0.00	1.71	85.0	1.45
05/07/2018 #00485888168 PARK-B-SMI	FMR1296	В	0.00	8.42	0.00	0.00	8.42	85.0	7.16
05/07/2018 #00485888168 PARK-B-SMI	FMR1302	В	0.00	69.78	0.00	0.00	69.78	85.0	59.31
05/07/2018 #00485888168 PARK-B-SMI	FMR1307	В	0.00	82.68	0.00	0.00	82.68	85.0	70.28
05/07/2018 #00485888168 PARK-B-SMI	FMR1397	В	0.00	2.12	0.00	0.00	2.12	85.0	1.80
05/07/2018 #00485888168 PARK-B-SMI	FMR1599	В	0.00	15.40	0.00	0.00	15.40	85.0	13.09
05/07/2018 #00485888168 PARK-B-SMI	FMR1605	В	0.00	2.65	0.00	0.00	2.65	85.0	2.25
05/07/2018 #00485888168 PARK-B-SMI	FMR1816	В	0.00	3.07	0.00	0.00	3.07	85.0	2.61
05/07/2018 #00485888168 PARK-B-SMI	FMR246	В	0.00	2.35	0.00	0.00	2.35	85.0	2.00
05/07/2018 #00485888168 PARK-B-SMI	FMR260	В	0.00	5.79	0.00	0.00	5.79	85.0	4.92
05/07/2018 #00485888168 PARK-B-SMI	FMR272	В	0.00	2.79	0.00	0.00	2.79	85.0	2.37
05/07/2018 #00485888168 PARK-B-SMI	FMR2740	В	0.00	54.17	0.00	0.00	54.17	85.0	46.04
05/07/2018 #00485888168 PARK-B-SMI	FMR294	В	0.00	2.74	0.00	0.00	2.74	85.0	2.33
05/14/2018 #07273002658 PLAN-ZAM-T	CORE FIXED INCOME A	В	0.00	5.87	0.00	0.00	5.87	85.0	4.99
05/14/2018 #07333509841 PLAN-ZAM-T	GROWTH LEADERS	В	0.00	27.26	0.00	0.00	27.26	85.0	23.17
05/14/2018 #07259010288 PLAN-ZAM-T	LORD ABBETT BALANCED STRATEGY	FUND B	0.00	12.58	0.00	0.00	12.58	85.0	10.69
05/14/2018 #07262032056 PLAN-ZAM-T	LORD ABBETT FUNDAMENTAL EQUITY	FD C B	0.00	23.08	0.00	0.00	23.08	85.0	19.62
05/14/2018 #07141809133 DBA OUR FAMILY	LORD ABBETT SECS TR INTL CORE	EQUIT B	0.00	14.31	0.00	0.00	14.31	85.0	12.16
05/14/2018 #07580014716 DBA OUR FAMILY	LORD ABBETT SECS TR VALUE OPPT	YS FD B	0.00	20.24	0.00	0.00	20.24	85.0	17.20
05/14/2018 #07275008294 PLAN-ZAM-T	TOTAL RETURN A	В	0.00	6.19	0.00	0.00	6.19	85.0	5.26
	* MUTUAL FUND 12B1 TRAILS SUBTO	OTAL *	\$0.00	\$947.58	\$0.00	\$0.00	\$947.58		\$805.43
	*** BROKER TRANSACTIONS TOTA	AL ***	\$0.00	\$5,515.42	\$0.00	\$0.00	\$5,515.42	-	\$4,688.09
	AD	JUSTMEN	ITS						
06/20/2018	ADMIN FEE: ADMIN FEE		<u></u>						-320.00
		ਾ ਜ							-179.00
06/20/2018	E & O INSURANCE: E & O INSURANCE	CE							-17

*** ADJUSTMENTS TOTAL ***

BROKER COMMISSION TOTALS \$4,688.09 Broker Transactions Split Transactions \$0.00 \$0.00 Override Transactions Adjustments \$-499.00 Payroll Draw \$0.00 Base Salary \$0.00 \$0.00 Prior Period Balance FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$4,189.09 Check Amount