

**COMMISSION STATEMENT****March 15, 2018**

MEREDITH MCCUTCHEN
2161 Spring Grove Drive West

BROKER #: M131 Terminated 02/29/2016
BRANCH: MEREDITH MCCUTCHEN

Mobile, AL 36695-

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|----------------------------------------|----------------|--------------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>CLEARING TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| 03/01/2018 | HESTER, WAYMON | EPR PPTYS COM SH BEN INT | -22,191.12 | 30.00 | 22.61 | 7.39 | 0.0 | 0.00 |
| | | * STOCKS SUBTOTAL * | \$-22,191.12 | \$30.00 | \$22.61 | \$7.39 | | \$0.00 |
| | | *** CLEARING TRANSACTIONS TOTAL *** | \$-22,191.12 | \$30.00 | \$22.61 | \$7.39 | | \$0.00 |

| | | | | | | | | |
|---------------------------------------------------------|--------------------|-------------------------------------|------|------|------|------|-----|------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 03/01/2018 | CUSUMANO, DARLENE | AM FUNDS AMERICAN MUTUAL 529A | 0.00 | 0.43 | 0.00 | 0.43 | 0.0 | 0.00 |
| 03/01/2018 | PITT, PATRICIA | AM FUNDS AMERICAN MUTUAL 529A | 0.00 | 6.65 | 0.00 | 6.65 | 0.0 | 0.00 |
| 03/01/2018 | PITT, PATRICIA | AM FUNDS AMERICAN MUTUAL 529A | 0.00 | 3.89 | 0.00 | 3.89 | 0.0 | 0.00 |
| 02/21/2018 | MCCUTCHEN, JERRY | AM FUNDS AMERICAN MUTUAL A | 0.00 | 5.35 | 0.00 | 5.35 | 0.0 | 0.00 |
| 02/21/2018 | MCCUTCHEN, DEBORAH | AM FUNDS AMERICAN MUTUAL A | 0.00 | 5.47 | 0.00 | 5.47 | 0.0 | 0.00 |
| 03/01/2018 | ROBINSON, SARAH | AM FUNDS AMERICAN MUTUAL A | 0.00 | 4.08 | 0.00 | 4.08 | 0.0 | 0.00 |
| 03/01/2018 | CUSUMANO, DARLENE | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 0.41 | 0.00 | 0.41 | 0.0 | 0.00 |
| 03/01/2018 | PITT, PATRICIA | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 5.76 | 0.00 | 5.76 | 0.0 | 0.00 |
| 03/01/2018 | PITT, PATRICIA | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 3.04 | 0.00 | 3.04 | 0.0 | 0.00 |
| 02/21/2018 | MCCUTCHEN, JERRY | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00 | 3.43 | 0.00 | 3.43 | 0.0 | 0.00 |
| 02/21/2018 | MCCUTCHEN, DEBORAH | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00 | 3.45 | 0.00 | 3.45 | 0.0 | 0.00 |
| 03/01/2018 | ROBINSON, SARAH | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00 | 3.68 | 0.00 | 3.68 | 0.0 | 0.00 |
| 03/01/2018 | CUSUMANO, DARLENE | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 0.55 | 0.00 | 0.55 | 0.0 | 0.00 |
| 03/01/2018 | PITT, PATRICIA | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 7.95 | 0.00 | 7.95 | 0.0 | 0.00 |
| 03/01/2018 | PITT, PATRICIA | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 5.03 | 0.00 | 5.03 | 0.0 | 0.00 |
| 02/21/2018 | MCCUTCHEN, JERRY | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 5.96 | 0.00 | 5.96 | 0.0 | 0.00 |
| 02/21/2018 | MCCUTCHEN, DEBORAH | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 6.11 | 0.00 | 6.11 | 0.0 | 0.00 |
| 03/01/2018 | ROBINSON, SARAH | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 4.77 | 0.00 | 4.77 | 0.0 | 0.00 |
| 03/01/2018 | CUSUMANO, DARLENE | AM FUNDS NEW PERSPECTIVE 529A | 0.00 | 0.49 | 0.00 | 0.49 | 0.0 | 0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------|--------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 03/01/2018 | PITT, PATRICIA | AM FUNDS NEW PERSPECTIVE 529A | 0.00 | 6.86 | 0.00 | 6.86 | 0.0 | 0.00 |
| 03/01/2018 | PITT, PATRICIA | AM FUNDS NEW PERSPECTIVE 529A | 0.00 | 4.07 | 0.00 | 4.07 | 0.0 | 0.00 |
| 02/21/2018 | MCCUTCHEN, JERRY | AM FUNDS NEW PERSPECTIVE A | 0.00 | 3.96 | 0.00 | 3.96 | 0.0 | 0.00 |
| 02/21/2018 | MCCUTCHEN, DEBORAH | AM FUNDS NEW PERSPECTIVE A | 0.00 | 3.98 | 0.00 | 3.98 | 0.0 | 0.00 |
| 03/01/2018 | ROBINSON, SARAH | AM FUNDS NEW PERSPECTIVE A | 0.00 | 4.05 | 0.00 | 4.05 | 0.0 | 0.00 |
| 02/14/2018 | WEST, GLENDA | CALVERT SHORT DURATION INCOME FUND | 0.00 | 4.69 | 0.00 | 4.69 | 0.0 | 0.00 |
| 03/05/2018 | TALBERT, ANN | COLUMBIA LARGE CAP GROWTH C | 0.00 | 8.18 | 0.00 | 8.18 | 0.0 | 0.00 |
| 03/05/2018 | TALBERT, ANN | COLUMBIA MIDCAP GROWTH FUND-C | 0.00 | 7.06 | 0.00 | 7.06 | 0.0 | 0.00 |
| 02/16/2018 | MCCUTCHEN, JERRY | DAVIS NEW YORK VENTURE A | 0.00 | 0.09 | 0.00 | 0.09 | 0.0 | 0.00 |
| 02/22/2018 | MCCUTCHEN, JERRY | OPPENHEIMER GLOBAL A | 0.00 | 0.15 | 0.00 | 0.15 | 0.0 | 0.00 |
| 02/22/2018 | MCCUTCHEN, JERRY | OPPENHEIMER MAIN STREET OPP A | 0.00 | 0.22 | 0.00 | 0.22 | 0.0 | 0.00 |
| 02/22/2018 | MCCUTCHEN, JERRY | OPPENHEIMER SMALL & MIDCAP GROWTH A | 0.00 | 0.23 | 0.00 | 0.23 | 0.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$120.04 | \$0.00 | \$120.04 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$0.00 | \$120.04 | \$0.00 | \$120.04 | | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$2,464.29 |
| Year-to-date Earnings | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed