

All Companies
STATEMENT
April 20, 2018

LPCM HOUSE

BROKER #: 3ZZH
BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for LPCM HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/09/2018	# SALEEM, HASHIR	IAFEES	83.77	0.00	100.0	0.00	0.00	0.00	0.00
03/12/2018	# LI, ZHEXIANG	IAFEES	448.05	0.00	100.0	0.00	0.00	0.00	0.00
03/13/2018	# LEVITT, RUSSELL	IAFEES	123.30	0.00	100.0	0.00	0.00	0.00	0.00
03/13/2018	# LEVITT, RUSSELL	IAFEES	65.78	0.00	100.0	0.00	0.00	0.00	0.00
03/21/2018	# PLANT, MARTHA	IAFEES	0.00	-8.04	100.0	0.00	-8.04	0.00	-8.04
* MUTUAL FUNDS SUBTOTAL *			\$720.90	\$-8.04		\$0.00	\$-8.04	\$0.00	\$-8.04
<u>PRODUCT CATEGORY: RIA</u>									
03/01/0018	#87707096	ENVESTNET FIRM FEE	0.00	7.14	100.0	0.00	7.14	0.00	7.14
03/01/0018	#87706565	ENVESTNET FIRM FEE	0.00	4.85	100.0	0.00	4.85	0.00	4.85
03/01/0018	#87706862 BOYKIN, THOMAS	ENVESTNET FIRM FEE	0.00	-3.02	100.0	0.00	-3.02	0.00	-3.02
03/01/0018	#87706479 DAMIAN, KEVIN	ENVESTNET FIRM FEE	0.00	-3.01	100.0	0.00	-3.01	0.00	-3.01
03/01/0018	#87706597 HOPSON, ERNEST	ENVESTNET FIRM FEE	0.00	-3.83	100.0	0.00	-3.83	0.00	-3.83
03/01/0018	#87705896 MUOLO, RICHARD	ENVESTNET FIRM FEE	0.00	1.07	100.0	0.00	1.07	0.00	1.07
03/01/0018	#87706926 MILLER, DANIEL	ENVESTNET FIRM FEE	0.00	-14.88	100.0	0.00	-14.88	0.00	-14.88
03/01/0018	#87706632 TUMLIN, THOMAS	ENVESTNET FIRM FEE	0.00	20.60	100.0	0.00	20.60	0.00	20.60
03/01/0018	#87706632 TUMLIN, THOMAS	ENVESTNET FIRM FEE	0.00	1.17	100.0	0.00	1.17	0.00	1.17
03/01/0018	#87707089 WALDRIP, DEBRA	ENVESTNET FIRM FEE	0.00	1.04	100.0	0.00	1.04	0.00	1.04
03/01/0018	#87706509 WARE, WILLIAM	ENVESTNET FIRM FEE	0.00	19.98	100.0	0.00	19.98	0.00	19.98
03/01/0018	#87706507 WARE, WILLIAM	ENVESTNET FIRM FEE	0.00	-19.95	100.0	0.00	-19.95	0.00	-19.95
03/01/0018	#87706989 ALI, ASAD	ENVESTNET FIRM FEE	0.00	21.68	100.0	0.00	21.68	0.00	21.68
03/01/0018	#87707064 GOLUBOFF, VICTORIA	ENVESTNET FIRM FEE	0.00	3.52	100.0	0.00	3.52	0.00	3.52
04/01/2018	#564157 TCA HOUSE VAR	LPCM MOD 30/30/40	29,534.95	49.23	100.0	0.00	49.23	0.00	49.23
04/01/2018	#564157 TCA HOUSE VAR	LPCM MOD 30/30/40	29,534.95	-14.77	100.0	0.00	-14.77	0.00	-14.77
* RIA SUBTOTAL *			\$59,069.90	\$70.82		\$0.00	\$70.82	\$0.00	\$70.82
*** BROKER TRANSACTIONS TOTAL ***			\$59,790.80	\$62.78		\$0.00	\$62.78	\$0.00	\$62.78

BROKER COMMISSION TOTALS

Broker Transactions	\$62.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$62.78
---------------------	----------------