



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**March 31, 2017**

04/18/2017  
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MARK-S142 ALTS SMITH

BROKER #: S142  
BRANCH: WOODS FINANCIAL

, MI

| DATE                      | CLIENT | INVESTMENT                      | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------|--------|---------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>ADJUSTMENTS</u></b> |        |                                 |                      |                     |                    |                   |      |                      |
| 03/31/2017                |        | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00                 |                     |                    |                   |      | 0.00                 |
|                           |        | *** ADJUSTMENTS TOTAL ***       | \$0.00               | \$0.00              | \$0.00             | \$0.00            |      | \$0.00               |

| <b><u>BROKER COMMISSION TOTALS</u></b> |        |
|--|--------|
| Broker Transactions                    | \$0.00 |
| Split Transactions                     | \$0.00 |
| Override Transactions                  | \$0.00 |
| Adjustments                            | \$0.00 |
| Payroll Draw                           | \$0.00 |
| Base Salary                            | \$0.00 |
| Prior Period Balance                   | \$0.33 |
| FINRA Assessment                       | \$0.00 |
| SIPC Assessment                        | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |                   |
|------------------------------|-------------------|
| <b>Balance Forward</b>       | <b>\$0.33</b>     |
| <b>Year-to-date Gross</b>    | <b>\$1,750.67</b> |
| <b>Year-to-date Earnings</b> | <b>\$857.83</b>   |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed