



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 17, 2017

01/13/2017
 Page No. 1

JENNIFER DONAIS
 78955 Via Trieste

BROKER #: D134
 BRANCH: TRUWEALTH FINANCIAL

LaQuinta, CA 92253-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/29/2016	DONAI, JENNIFER	DREYFUS SMALL CAP STOCK INDEX	7,000.00	0.00	23.00	-23.00	90.0	-20.70
12/29/2016	DONAI, BRADLEY	DREYFUS SMALL CAP STOCK INDEX	7,500.00	0.00	23.00	-23.00	90.0	-20.70
12/29/2016	DONAI, BRADLEY	FIDELITY SPARTAN 500 INDEX FUND INV	10,000.00	0.00	23.00	-23.00	90.0	-20.70
12/29/2016	DONAI, JENNIFER	FRK BIOTECHNOLOGY DISCOVERY FD ADV-	10,000.00	0.00	0.00	0.00	90.0	0.00
12/09/2016	SINNESS, LOYE	HARTFORD CAPITAL APPRECIATION A	0.00	1.35	0.00	1.35	90.0	1.22
12/09/2016	SINNESS, LOYE	PUTNAM MULTI CAP GROWTH A	0.00	2.31	0.00	2.31	90.0	2.08
* MUTUAL FUNDS SUBTOTAL *			\$34,500.00	\$3.66	\$69.00	\$-65.34		\$-58.80
*** BROKER TRANSACTIONS TOTAL ***			\$34,500.00	\$3.66	\$69.00	\$-65.34		\$-58.80

SPLIT TRANSACTIONS

BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333

12/01/2016	ALEXANDER TRUST, DAVID &	AB HIGH INCOME MUNICIPAL AD	130,000.00	0.00	7.53	-7.53	90.0	-6.78
12/09/2016	SINNESS, STEVEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	22.57	0.00	22.57	90.0	20.31
12/09/2016	SINNESS, STEVEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.61	0.00	3.61	90.0	3.25
12/09/2016	SINNESS, STEVEN	AM FUNDS ICA A	0.00	24.41	0.00	24.41	90.0	21.97
12/09/2016	SINNESS, STEVEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	33.27	0.00	33.27	90.0	29.94
12/09/2016	SINNESS, STEVEN	AM FUNDS NEW WORLD A	0.00	9.02	0.00	9.02	90.0	8.12
12/09/2016	SINNESS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	4.34	0.00	4.34	90.0	3.91
12/01/2016	ALEXANDER TRUST, DAVID &	BLACKROCK HIGH YIELD	50,000.00	0.00	0.00	0.00	90.0	0.00
12/09/2016	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.63	0.00	0.63	90.0	0.57
12/09/2016	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.69	0.00	0.69	90.0	0.62
12/09/2016	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.91	0.00	0.91	90.0	0.82
12/09/2016	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.66	0.00	0.66	90.0	0.59
12/09/2016	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.94	0.00	0.94	90.0	0.85
12/09/2016	MORRIS, PATRICK	COLUMBIA DIVIDEND OPP A	0.00	0.66	0.00	0.66	90.0	0.59

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
12/09/2016	MORRIS, SANDRA PACKER	COLUMBIA DIVIDEND OPP A	0.00	0.02	0.00	0.02	90.0	0.02
12/09/2016	KALIL, DANIEL	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.30	0.00	0.30	90.0	0.27
12/09/2016	KALIL, DANIEL	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.48	0.00	0.48	90.0	0.43
12/09/2016	KALIL, DANIEL	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.34	0.00	0.34	90.0	0.31
12/09/2016	KALIL, DANIEL	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.02	0.00	0.02	90.0	0.02
12/09/2016	MORRIS, PATRICK	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	2.11	0.00	2.11	90.0	1.90
12/09/2016	MORRIS, SANDRA PACKER	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	0.07	0.00	0.07	90.0	0.06
12/12/2016	MORRIS, PATRICK	DELAWARE VALUE A	0.00	2.15	0.00	2.15	90.0	1.94
12/12/2016	MORRIS, SANDRA PACKER	DELAWARE VALUE A	0.00	0.07	0.00	0.07	90.0	0.06
12/15/2016	KALIL, DANIEL	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	1.43	0.00	1.43	90.0	1.29
12/15/2016	MORRIS, PATRICK	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	2.56	0.00	2.56	90.0	2.30
12/15/2016	MORRIS, SANDRA PACKER	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	0.09	0.00	0.09	90.0	0.08
12/09/2016	KALIL, DANIEL	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.33	0.00	0.33	90.0	0.30
12/09/2016	KALIL, DANIEL	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.23	0.00	0.23	90.0	0.21
12/09/2016	KALIL, DANIEL	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.30	0.00	0.30	90.0	0.27
12/09/2016	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.76	0.00	0.76	90.0	0.68
12/09/2016	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.55	0.00	0.55	90.0	0.50
12/09/2016	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.77	0.00	0.77	90.0	0.69
12/09/2016	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.44	0.00	0.44	90.0	0.40
12/09/2016	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.48	0.00	0.48	90.0	0.43
12/09/2016	MORRIS, PATRICK	JP MORGAN STRATEGIC INCOME OPPORTUN	0.00	0.29	0.00	0.29	90.0	0.26
12/09/2016	MORRIS, SANDRA PACKER	JP MORGAN STRATEGIC INCOME OPPORTUN	0.00	0.01	0.00	0.01	90.0	0.01
12/09/2016	MORRIS, PATRICK	LEGG MASON BW ABSOLUTE RETURN OPP F	0.00	0.29	0.00	0.29	90.0	0.26
12/09/2016	MORRIS, SANDRA PACKER	LEGG MASON BW ABSOLUTE RETURN OPP F	0.00	0.01	0.00	0.01	90.0	0.01
12/09/2016	DONAI, RUTH	METROPOLITAN WEST TOTAL RETURN BOND	0.00	1.36	0.00	1.36	90.0	1.22
01/06/2017	NEWBERRY TRUST, GLORIA	PRINCIPAL FUNDS CONSERVATIVE GROWTH	-909.30	0.00	0.00	0.00	90.0	0.00
12/20/2016	RED RIVER SUPPLY	PRINCIPAL LIFE	0.00	302.66	0.00	302.66	90.0	272.39
12/31/2016	HATLESTAD, PATRICK	PRINCIPAL LIFE	0.00	113.55	0.00	113.55	90.0	102.20
01/06/2017	NEWBERRY TRUST, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	-2,870.94	0.00	0.00	0.00	90.0	0.00
12/09/2016	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.36	0.00	0.36	90.0	0.32
12/09/2016	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.40	0.00	0.40	90.0	0.36
12/09/2016	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.62	0.00	0.62	90.0	0.56
12/09/2016	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.44	0.00	0.44	90.0	0.40

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
12/09/2016	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.64	0.00	0.64	90.0	0.58
12/09/2016	KALIL, DANIEL	PRUDENTIAL SHORT-TERM CORP BOND CL	0.00	27.67	0.00	27.67	90.0	24.90
12/09/2016	MORRIS, PATRICK	RIVERNORTH CORE OPPORTUNITY FUND CL	0.00	1.12	0.00	1.12	90.0	1.01
12/09/2016	MORRIS, SANDRA PACKER	RIVERNORTH CORE OPPORTUNITY FUND CL	0.00	0.05	0.00	0.05	90.0	0.05
12/09/2016	MORRIS, PATRICK	T ROWE PRICE GROWTH STOCK FUND ADVI	0.00	2.22	0.00	2.22	90.0	2.00
12/09/2016	MORRIS, SANDRA PACKER	T ROWE PRICE GROWTH STOCK FUND ADVI	0.00	0.08	0.00	0.08	90.0	0.07
12/09/2016	MORRIS, PATRICK	T ROWE PRICE INT STOCK - ADVISOR CL	0.00	1.28	0.00	1.28	90.0	1.15
12/09/2016	MORRIS, SANDRA PACKER	T ROWE PRICE INT STOCK - ADVISOR CL	0.00	0.05	0.00	0.05	90.0	0.05
12/09/2016	MORRIS, PATRICK	TCW DIVIDEND FOCUSED FUND CLASS N	0.00	2.20	0.00	2.20	90.0	1.98
12/09/2016	MORRIS, SANDRA PACKER	TCW DIVIDEND FOCUSED FUND CLASS N	0.00	0.08	0.00	0.08	90.0	0.07
12/09/2016	KALIL, DANIEL	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.30	0.00	0.30	90.0	0.27
12/09/2016	DONAIS, RUTH	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.98	0.00	0.98	90.0	0.88
12/09/2016	HATLESTAD, PATRICK	WESTERN ASSET CORE PLUS BOND FUND C	0.00	2.41	0.00	2.41	90.0	2.17
12/09/2016	POWELL, WILLIAM	WESTERN ASSET CORE PLUS BOND FUND C	0.00	1.29	0.00	1.29	90.0	1.16
12/09/2016	KALIL, DANIEL	WESTERN ASSET CORE PLUS BOND FUND C	0.00	1.97	0.00	1.97	90.0	1.77
12/09/2016	VESTAL, KATHY	WESTERN ASSET CORE PLUS BOND FUND C	0.00	1.63	0.00	1.63	90.0	1.47
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$176,219.76	\$579.17	\$7.53	\$571.64		\$514.49
<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u>								
12/12/2016	PATOROS, JOHN	BBH CORE SELECT N	10,000.00	0.00	6.97	-6.97	90.0	-6.27
12/12/2016	PATOROS, LORI	FIDLEITY ADV STRATEGIC INCOME	8,000.00	0.00	6.97	-6.97	90.0	-6.27
12/12/2016	PATOROS, JOHN	RYDEX S&P 500 PURE GROWTH FUND	8,000.00	0.00	0.00	0.00	90.0	0.00
12/09/2016	PATOROS, LORI	WESTERN ASSET CORE PLUS BOND FUND C	0.00	1.34	0.00	1.34	90.0	1.21
* BJB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$26,000.00	\$1.34	\$13.94	\$-12.60		\$-11.33
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
01/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	111.00	0.61	0.00	0.61	90.0	0.55
01/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	58.00	0.32	0.00	0.32	90.0	0.29
01/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	73.00	0.40	0.00	0.40	90.0	0.36
01/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	74.00	0.41	0.00	0.41	90.0	0.37
01/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	60.00	0.33	0.00	0.33	90.0	0.30
01/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.28	0.00	0.28	90.0	0.25
01/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.28	0.00	0.28	90.0	0.25
01/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	111.00	0.61	0.00	0.61	90.0	0.55

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
01/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	65.00	0.36	0.00	0.36	90.0	0.32
01/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	57.00	0.31	0.00	0.31	90.0	0.28
01/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	72.00	0.40	0.00	0.40	90.0	0.36
01/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	74.00	0.41	0.00	0.41	90.0	0.37
01/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	65.00	0.36	0.00	0.36	90.0	0.32
01/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.28	0.00	0.28	90.0	0.25
01/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.28	0.00	0.28	90.0	0.25
12/30/2016	RASMUSSEN, PAMELA	GRIFFIN CAPITAL ESSENTIAL ASSET REI	27,000.00	0.00	0.00	0.00	90.0	0.00
12/30/2016	RASMUSSEN, CLINTON	LIGHTSTONE VALUE PLUS REAL ESTATE I	48,000.00	0.00	0.00	0.00	90.0	0.00
01/04/2017	RASMUSSEN, CLINTON	LIGHTSTONE VALUE PLUS REIT III INC	48,000.00	672.00	0.00	672.00	90.0	604.80
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$124,020.00	\$677.64	\$0.00	\$677.64		\$609.87
*** SPLIT TRANSACTIONS TOTAL ***			\$326,239.76	\$1,258.15	\$21.47	\$1,236.68		\$1,113.03

ADJUSTMENTS

01/17/2017	*EO INS: CURRENT MONTH E&O	0.00						-300.00
01/17/2017	*PERSHING: BOOKS & RECORDS	0.00						-1.20
01/17/2017	*PERSHING: ETF PROSPECTUS	0.00						-3.86
01/17/2017	*PERSHING: MF PROSPECTUS	0.00						-9.45
01/17/2017	*TECH: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-589.51

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BROKER COMMISSION TOTALS

Broker Transactions	\$-58.80
Split Transactions	\$1,113.03
Override Transactions	\$0.00
Adjustments	\$-589.51
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$464.72
Year-to-date Gross	\$1,261.81
Year-to-date Earnings	\$1,054.23