



Concorde Investment Services, LLC

06/15/2018

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COMMISSION STATEMENT**June 15, 2018**DAVID KOTZ
601 Mine Brook RdBROKER #: K135 Terminated 05/04/2017
BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/09/2018	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.36	0.00	4.36	0.0	0.00
05/09/2018	NAGY, ZOLTAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.97	0.00	0.97	0.0	0.00
05/09/2018	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.12	0.00	10.12	0.0	0.00
05/09/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	28.32	0.00	28.32	0.0	0.00
05/09/2018	NAGY, ZOLTAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.92	0.00	0.92	0.0	0.00
05/09/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.42	0.00	2.42	0.0	0.00
05/09/2018	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.05	0.00	3.05	0.0	0.00
05/09/2018	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.71	0.00	1.71	0.0	0.00
05/09/2018	NAGY, ZOLTAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.35	0.00	2.35	0.0	0.00
05/09/2018	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	2.11	0.00	2.11	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$56.33	\$0.00	\$56.33		\$0.00
<u>PRODUCT CATEGORY: BONDS</u>								
05/25/2018	MARGOLIN, BRUCE	METROPOLITAN TRANSN AUTH N Y	14,786.70	435.00	28.00	407.00	0.0	0.00
* BONDS SUBTOTAL *			\$14,786.70	\$435.00	\$28.00	\$407.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$14,786.70	\$491.33	\$28.00	\$463.33		\$0.00

DIRECT TRANSACTIONS

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	SHELLEY, SUZANNE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	35.25	0.00	35.25	0.0	0.00
06/01/2018	SHELLEY, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	228.78	0.00	228.78	0.0	0.00
06/01/2018	SHELLEY, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	434.27	0.00	434.27	0.0	0.00
06/01/2018	MILESニック, SCOTT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	125.93	0.00	125.93	0.0	0.00
06/01/2018	MILESニック, SCOTT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	65.46	0.00	65.46	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$889.69	\$0.00	\$889.69		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>ADJUSTMENTS</div>								
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$889.69	\$0.00	\$889.69		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$2,578.08
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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