



Concorde Investment Services, LLC

11/30/2017

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COMMISSION STATEMENT**November 30, 2017**

MATT BRANSTETTER
 PO Box 250
 111 S. Main Street
 Noble, OK 73068

BROKER #: B116
 BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/06/2017	FOX, JERALDINE	AM FUNDS CAPITAL INCOME BUILDER C	120.00	1.20	80.0	0.96	0.00	0.96
11/15/2017	DOBELBOWER, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	80.0	2.00	0.00	2.00
11/15/2017	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL	100.00	5.00	80.0	4.00	0.00	4.00
11/13/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 100-A	6.75	0.07	80.0	0.06	0.00	0.06
11/13/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 300-A	6.75	0.07	80.0	0.06	0.00	0.06
11/13/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 500-A	6.75	0.34	80.0	0.27	0.00	0.27
11/13/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 700-A	6.75	0.34	80.0	0.27	0.00	0.27
11/16/2017	CASTLE, DAVID	PUTNAM EQUITY SPECTRUM A	100.00	5.00	80.0	4.00	0.00	4.00
11/17/2017	TAYLOR, CATHERINE	PUTNAM GROWTH OPP C	66.61	0.67	80.0	0.54	0.00	0.54
11/06/2017	MYERS, JON	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
11/16/2017	DOWNING, JOHN	PUTNAM MULTI-CAP GROWTH M	75.00	2.25	80.0	1.80	0.00	1.80
11/06/2017	SNYDER, LESTER	PUTNAM SMALL CAP GROWTH-C	100.00	1.00	80.0	0.80	0.00	0.80
/ /	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	3.00	80.0	2.40	0.00	2.40
11/20/2017	ARRINGTON, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	100.00	1.00	80.0	0.80	0.00	0.80
* MUTUAL FUNDS SUBTOTAL *			\$838.61	\$23.44		\$18.76	\$0.00	\$18.76
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/20/2017	ARRINGTON, NANCY	JNL ELITE ACCESS	100.00	5.25	80.0	4.20	0.00	4.20
11/20/2017	MCFERRON, LARRY	JNL PERSPECTIVE L	5,500.00	327.25	80.0	261.80	0.00	261.80
11/13/2017	STANFORD, DAVID	VOYA RETIREMENT & ANNUITY	0.00	1.12	80.0	0.90	0.00	0.90
* VARIABLE ANNUITIES SUBTOTAL *			\$5,600.00	\$333.62		\$266.90	\$0.00	\$266.90
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/31/2017	ROWE-BRANF	BLK CAPITAL APPRECIATION A	0.00	1.05	80.0	0.84	0.00	0.84
10/31/2017	ROWE-BRANF	BLK CAPITAL APPRECIATION A	0.00	0.27	80.0	0.22	0.00	0.22
10/31/2017	ROWE-BRANF	BLK MOD 17+ AGE BASED A	0.00	0.17	80.0	0.14	0.00	0.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.49		\$1.20	\$0.00	\$1.20

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
11/09/2017	TREADWAY, TOMMY	PAC LIFE INNOVATIVE SELECT	0.00	96.21	80.0	76.97	0.00	76.97
11/13/2017	NEWBERN, BRENDA	PAC LIFE INNOVATIVE SELECT	0.00	4.43	80.0	3.54	0.00	3.54
11/07/2017	BRANCH, BILLY	PAC LIFE PORTFOLIOS	0.00	274.01	80.0	219.21	0.00	219.21
11/09/2017	WINKLER, CARLA	PAC LIFE VALUE	0.00	23.38	80.0	18.70	0.00	18.70
11/14/2017	CRETSINGER, DON	PAC LIFE VALUE	0.00	515.90	80.0	412.72	0.00	412.72
11/14/2017	JOHNSON, LAURENCE	PAC LIFE VALUE	0.00	48.66	80.0	38.93	0.00	38.93
11/14/2017	WHITE, JOANNE	PAC LIFE VALUE	0.00	353.90	80.0	283.12	0.00	283.12
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,316.49		\$1,053.19	\$0.00	\$1,053.19
*** DIRECT TRANSACTIONS TOTAL ***			\$6,438.61	\$1,675.04		\$1,340.05	\$0.00	\$1,340.05

BROKER COMMISSION TOTALS

Broker Transactions	\$1,340.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,340.05
Year-to-date Gross	\$60,424.38
Year-to-date Earnings	\$47,462.82

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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