



# COMMISSION STATEMENT

August 13, 2018

**GARRETT MORETZ**

181 North Main Street, Ste 208  
Mooresville, NC 28115

**BROKER #: B09**

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/08/2018	***-**-94IR - RUSSELL, LYNN	AB ALL MARKET TOTAL RETURN PORT CLA	8,321.54	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011980	SELL 562 Units @14.8000						
08/10/2018	***-**-501J - DAGENHART, JAMES	MORGAN STANLEY GROWTH PORT A-NFS	6,299.99	315.00	18.00	297.00	82.0	243.54
	ACCOUNT #: LSR012798	BUY 125 Units @50.4100						
08/08/2018	***-**-113I - RUSSELL, MARK	NUVEEN STRATEGY BAL ALLOC A	12,167.73	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011979	SELL 1141 Units @10.6600						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$26,789.26</b>	<b>\$315.00</b>	<b>\$54.00</b>	<b>\$261.00</b>		<b>\$207.54</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
08/09/2018	***-**-501J - DAGENHART, JAMES	AMERICAN FIN TR INC COM	19,255.97	140.97	18.00	122.97	82.0	100.84
	ACCOUNT #: LSR012798	SELL 1292 Units @14.9040						
<b>* STOCKS SUBTOTAL *</b>			<b>\$19,255.97</b>	<b>\$140.97</b>	<b>\$18.00</b>	<b>\$122.97</b>		<b>\$100.84</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$46,045.23</b>	<b>\$455.97</b>	<b>\$72.00</b>	<b>\$383.97</b>		<b>\$308.38</b>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$308.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$308.38</b>
<b>Year-to-date Earnings</b>	<b>\$54,155.30</b>