

# Bill Payment Stub

Check Date:	4/25/2018
Check No.:	ACH
Check Amount:	118.02

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: DOUG ELLIS  
5481 IVY DRIVE  
STEVENSVILLE, MI 49127

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
4/15/2018	Bill	COMMISSIONS	428.02	428.02		428.02
3/31/2018	Credit	COMMISSIONS	-55.00	-55.00		-55.00
4/1/2018	Credit	E&O	-120.00	-120.00		-120.00
4/5/2018	Credit	FINRA ASSESMENT	-135.00	-135.00		-135.00

# COMMISSION STATEMENT April 15, 2018

DOUG ELLIS-D335

 BROKER #: D335  
 BRANCH: DMN

, TX

## COMMISSION STATEMENT for DOUG ELLIS-D335

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
04/02/2018	04000252256	SWANSON, ADAM	MF	GROWTH PORTFOLIO-C	B	100.00	1.00	0.00	1.00	75.0	0.75
* MUTUAL FUNDS SUBTOTAL *						\$100.00	\$1.00	\$0.00	\$1.00		\$0.75
PRODUCT CATEGORY: VARIABLE ANNUITIES											
03/31/2018	1011931681	EVERSOLE, THOMAS	VA	ELITE ACCESS	B	77,116.77	25.71	0.00	25.71	75.0	19.28
03/31/2018	1012772110	CROSSE, LUCETTA	VA	ELITE ACCESS L	B	33,778.38	28.15	0.00	28.15	75.0	21.11
03/31/2018	1012928722	SWANSON, RICHARD	VA	ELITE ACCESS L	B	122,268.15	101.89	0.00	101.89	75.0	76.42
03/31/2018	1012929133	SWANSON, RICHARD	VA	ELITE ACCESS	B	163,810.45	54.60	0.00	54.60	75.0	40.95
03/31/2018	1013200706	WHITE, GLORIA	VA	PERSP II 09/12	B	166,651.09	69.44	0.00	69.44	75.0	52.08
03/31/2018	1013200811	WHITE, GLORIA	VA	ELITE ACCESS	B	45,285.28	37.74	0.00	37.74	75.0	28.31
03/31/2018	1013636601	SCHRADER, LARRY	VA	ELITE ACCESS L	B	141,904.32	118.25	0.00	118.25	75.0	88.69
03/31/2018	1016129964	MONETA, CAROL	VA	ELITE ACCESS L	B	36,660.67	30.55	0.00	30.55	75.0	22.91
03/31/2018	1016711213	CROSSE, LUCETTA	VA	ELITE ACCESS L	B	43,569.20	36.31	0.00	36.31	75.0	27.23
* VARIABLE ANNUITIES SUBTOTAL *						\$831,044.31	\$502.64	\$0.00	\$502.64		\$376.98
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS											
03/31/2018	(None)	MILLIKEN, ROBERT	VAT	PRUDENTIAL LIFE	B	0.00	67.05	0.00	67.05	75.0	50.29
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *						\$0.00	\$67.05	\$0.00	\$67.05		\$50.29
*** DIRECT TRANSACTIONS TOTAL ***						\$831,144.31	\$570.69	\$0.00	\$570.69		\$428.02

**BROKER COMMISSION TOTALS**

Broker Transactions	\$428.02
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$428.02</b>
<b>Year-to-date Commissions</b>	<b>\$1,773.96</b>