



COMMISSION STATEMENT

July 30, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/16/2018	***-**-40CL - LUPIANI, CLIENTS	FA 529 PORT 2019-CL C	275.00	2.75	0.00	2.75	95.0	2.61
	ACCOUNT #: 00625374327	BUY 15 Units @18.2600						
07/19/2018	***-**-095J - MORAN, MARY	PUTNAM NEW OPPORTUNITIES-C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 00476905514	BUY 1 Units @84.9700						
* MUTUAL FUNDS SUBTOTAL *			\$325.00	\$3.25	\$0.00	\$3.25		\$3.09
<u>PRODUCT CATEGORY: 529 PLAN</u>								
07/17/2018	***-**-1377 - MARCHESE, PAUL	AGE BASED INVESTMENT OPTION	913.75	9.14	0.00	9.14	95.0	8.68
	ACCOUNT #: 77056237301	BUY 0 Units @0.0000						
07/17/2018	***-**-1377 - MARCHESE, PAUL	AGE BASED INVESTMENT OPTION	1,827.50	18.28	0.00	18.28	95.0	17.37
	ACCOUNT #: 77056237301	BUY 0 Units @0.0000						
07/17/2018	***-**-1377 - MARCHESE, PAUL	NYA JPM 529 EQUITY INCOME PORTF C	913.75	9.14	0.00	9.14	95.0	8.68
	ACCOUNT #: 77056237301	BUY 0 Units @0.0000						
07/13/2018	***-**-605P - BONSIGNORE,	RIA INVSC COLLGBUND TODAY PORT RA	50.00	0.20	0.00	0.20	95.0	0.19
	ACCOUNT #: 81328824601	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$3,705.00	\$36.76	\$0.00	\$36.76		\$34.92
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/31/2018	***-**-50IN - RAYMOND, TODD	BLACKROCK GLOBAL ALLOCATION FUND IN	0.00	4.41	0.00	4.41	95.0	4.19
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
07/31/2018	***-**-50IN - RAYMOND, TODD	BLACKROCK GLOBAL DIVIDEND PORT CL A	0.00	0.64	0.00	0.64	95.0	0.61
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
07/31/2018	***-**-50IN - RAYMOND, TODD	BLACKROCK HEALTH SCIENCES FUND CLAS	0.00	0.72	0.00	0.72	95.0	0.68
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
07/31/2018	***-**-50IN - RAYMOND, TODD	BLACKROCK SMALL CAP GROWTH EQUITY C	0.00	0.97	0.00	0.97	95.0	0.92
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
07/31/2018	***-**-50IN - RAYMOND, TODD	BLACKROCK TOTAL RETURN FUND CL A	0.00	1.40	0.00	1.40	95.0	1.33
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
07/31/2018	***-**-01IN - SAUTTER, HOWARD	CAPITAL GROWTH FUND A	0.00	1.95	0.00	1.95	95.0	1.85
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
07/31/2018	***-**-22IN - SAUTTER, TERRIE	CAPITAL GROWTH FUND A	0.00	2.29	0.00	2.29	95.0	2.18
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/31/2018	***-**-565J - KASE, LEROY	FIDELITY CASH MM	0.00	0.00	0.00	0.00	95.0	0.00
	ACCOUNT #: LSR010786	BUY 1 Units @0.0000						
07/31/2018	***-**-31IN - SMITH, MS VIVICA	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	95.0	0.00
	ACCOUNT #: LSR011147	BUY 1 Units @0.0000						
07/31/2018	***-**-01IN - SAUTTER, HOWARD	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	95.0	0.00
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
07/31/2018	***-**-4205 - SPECIAL RISK	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.30	0.00	0.30	95.0	0.29
	ACCOUNT #: LSR107948	BUY 1 Units @0.0000						
07/31/2018	***-**-38IO - GRAHAM	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.44	0.00	0.44	95.0	0.42
	ACCOUNT #: LSR005428	BUY 1 Units @0.0000						
07/31/2018	***-**-853J - SAUTTER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.33	0.00	0.33	95.0	0.31
	ACCOUNT #: LSR006386	BUY 1 Units @0.0000						
07/31/2018	***-**-43IO - MCGUIRE, NANCY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	5.67	0.00	5.67	95.0	5.39
	ACCOUNT #: LSR011708	BUY 1 Units @0.0000						
07/31/2018	***-**-7545 - LACY, LEEANNE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	95.0	0.01
	ACCOUNT #: LSR102873	BUY 1 Units @0.0000						
07/31/2018	***-**-588X - RUSSELL, JUDY	GOLD & SPECIAL MINERALS A	0.00	0.45	0.00	0.45	95.0	0.43
	ACCOUNT #: LSR011098	BUY 1 Units @0.0000						
07/31/2018	***-**-853J - SAUTTER	GOLDMAN SACHS CORE PLUS FIXED INCOM	0.00	0.14	0.00	0.14	95.0	0.13
	ACCOUNT #: LSR006386	BUY 1 Units @0.0000						
07/31/2018	***-**-01IN - SAUTTER, HOWARD	GOLDMAN SACHS CORE PLUS FIXED INCOM	0.00	1.98	0.00	1.98	95.0	1.88
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
07/31/2018	***-**-22IN - SAUTTER, TERRIE	GOLDMAN SACHS CORE PLUS FIXED INCOM	0.00	4.40	0.00	4.40	95.0	4.18
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
07/31/2018	***-**-6468 - WARNER, JANET	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	0.35	0.00	0.35	95.0	0.33
	ACCOUNT #: LSR010223	BUY 1 Units @0.0000						
07/31/2018	***-**-107J - SMITH, KRISTEN	PUTNAM EQUITY INCOME FUND-A	0.00	0.44	0.00	0.44	95.0	0.42
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
07/31/2018	***-**-107J - SMITH, KRISTEN	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	0.93	0.00	0.93	95.0	0.88
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
07/31/2018	***-**-107J - SMITH, KRISTEN	PUTNAM INVESTORS FUND-A	0.00	0.22	0.00	0.22	95.0	0.21
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/31/2018	***-**-107J - SMITH, KRISTEN	PUTNAM MULTI-CAP CORE FUND CL A	0.00	0.09	0.00	0.09	95.0	0.09
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
07/31/2018	***-**-107J - SMITH, KRISTEN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.62	0.00	0.62	95.0	0.59
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
07/31/2018	***-**-30IN - HALL, CHEREEZE	ROCHESTER FUND MUNICIPALS C	0.00	0.95	0.00	0.95	95.0	0.90
	ACCOUNT #: LSR010611	BUY 1 Units @0.0000						
07/31/2018	***-**-31IN - SMITH, MS VIVICA	ROCHESTER FUND MUNICIPALS C	0.00	0.87	0.00	0.87	95.0	0.83
	ACCOUNT #: LSR011147	BUY 1 Units @0.0000						
07/31/2018	***-**-66IN - BENNETT, KALENA	ROCHESTER FUND MUNICIPALS C	0.00	0.95	0.00	0.95	95.0	0.90
	ACCOUNT #: LSR011317	BUY 1 Units @0.0000						
07/31/2018	***-**-01IN - SAUTTER, HOWARD	STRUCTURED U.S. EQUITY CLASS A	0.00	1.67	0.00	1.67	95.0	1.59
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
07/31/2018	***-**-22IN - SAUTTER, TERRIE	STRUCTURED U.S. EQUITY CLASS A	0.00	4.42	0.00	4.42	95.0	4.20
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
07/30/2018	***-**-7637 - SAFRANEK,	VICTORY TRAILS	0.00	1.46	0.00	1.46	95.0	1.39
		BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$39.07	\$0.00	\$39.07		\$37.13
		*** BROKER TRANSACTIONS TOTAL ***	\$4,030.00	\$79.08	\$0.00	\$79.08		\$75.14

OVERRIDE TRANSACTIONS

BROKER: BARRY BRESIN

07/30/2018	***-**-717I - MARSJANIK,	SAMMONS MF TRAILS	0.00	177.21	0.00	177.21	15.0	26.58
	ACCOUNT #: 7200008226	BUY 0 Units @0.0000						
07/30/2018	***-**-4892 - STERN, ROBERT	SAMMONS MF TRAILS	0.00	20.51	0.00	20.51	15.0	3.08
	ACCOUNT #: 7200027682	BUY 0 Units @0.0000						
		* BARRY BRESIN SUBTOTAL *	\$0.00	\$197.72	\$0.00	\$197.72		\$29.66



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA</u>								
07/20/2018	***-**-9501 - SHALLENBERGER, ACCOUNT #: 00086494801	AMCAP FUND-C BUY 0 Units @29.8200	6.37	0.06	0.00	0.06	10.0	0.01
07/20/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMCAP FUND-C BUY 0 Units @29.8200	11.58	0.12	0.00	0.12	10.0	0.01
07/20/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMCAP FUND-C BUY 1 Units @29.8200	35.96	0.36	0.00	0.36	10.0	0.04
07/25/2018	***-**-9501 - SHALLENBERGER, ACCOUNT #: 00086494801	AMCAP FUND-C BUY 0 Units @30.1800	13.32	0.13	0.00	0.13	10.0	0.01
07/25/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMCAP FUND-C BUY 0 Units @30.1800	11.05	0.11	0.00	0.11	10.0	0.01
07/25/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMCAP FUND-C BUY 1 Units @30.1800	34.48	0.34	0.00	0.34	10.0	0.03
07/26/2018	***-**-8208 - KARNs, SHANNON ACCOUNT #: 04000409714	AMCAP FUND-C BUY 16 Units @30.1000	480.00	4.80	0.00	4.80	10.0	0.48
07/26/2018	***-**-8208 - KARNs, SHANNON ACCOUNT #: 04000409714	AMCAP FUND-C BUY 1 Units @30.1000	15.80	0.16	0.00	0.16	10.0	0.02
07/20/2018	***-**-965P - SHAFER, PAUL ACCOUNT #: 00073549401	AMERICAN BALANCED FUND-A BUY 1 Units @28.1800	17.60	0.28	0.00	0.28	10.0	0.03
07/20/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	AMERICAN BALANCED FUND-A BUY 1 Units @28.1800	17.10	0.27	0.00	0.27	10.0	0.03
07/20/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	AMERICAN BALANCED FUND-A BUY 2 Units @28.1800	64.00	1.02	0.00	1.02	10.0	0.10
07/25/2018	***-**-965P - SHAFER, PAUL ACCOUNT #: 00073549401	AMERICAN BALANCED FUND-A BUY 1 Units @28.4100	17.00	0.27	0.00	0.27	10.0	0.03
07/25/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	AMERICAN BALANCED FUND-A BUY 1 Units @28.4100	17.10	0.27	0.00	0.27	10.0	0.03
07/25/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	AMERICAN BALANCED FUND-A BUY 2 Units @28.4100	64.00	1.02	0.00	1.02	10.0	0.10
07/20/2018	***-**-6000 - SMITH, KENNETH ACCOUNT #: 00083081099	AMERICAN BALANCED FUND-C BUY 1 Units @27.4400	17.60	0.18	0.00	0.18	10.0	0.02
07/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00086979418	AMERICAN BALANCED FUND-C BUY 2 Units @27.4400	42.50	0.43	0.00	0.43	10.0	0.04



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u>								
07/20/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00086979418	AMERICAN BALANCED FUND-C BUY 1 Units @27.4400	20.00	0.20	0.00	0.20	10.0	0.02
07/20/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	AMERICAN BALANCED FUND-C BUY 1 Units @27.4400	23.00	0.23	0.00	0.23	10.0	0.02
07/20/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	AMERICAN BALANCED FUND-C BUY 3 Units @27.4400	88.86	0.89	0.00	0.89	10.0	0.09
07/23/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	AMERICAN BALANCED FUND-C BUY 1 Units @27.4400	28.05	0.28	0.00	0.28	10.0	0.03
07/23/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN BALANCED FUND-C BUY 0 Units @27.4400	6.00	0.06	0.00	0.06	10.0	0.01
07/23/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	AMERICAN BALANCED FUND-C BUY 1 Units @27.4400	16.00	0.16	0.00	0.16	10.0	0.02
07/23/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	AMERICAN BALANCED FUND-C BUY 0 Units @27.4400	4.58	0.05	0.00	0.05	10.0	0.01
07/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @27.5000	40.00	0.40	0.00	0.40	10.0	0.04
07/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.5000	40.80	0.41	0.00	0.41	10.0	0.04
07/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.5000	16.32	0.16	0.00	0.16	10.0	0.02
07/24/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	AMERICAN BALANCED FUND-C BUY 1 Units @27.5000	15.25	0.15	0.00	0.15	10.0	0.02
07/25/2018	***-**-6000 - SMITH, KENNETH ACCOUNT #: 00083081099	AMERICAN BALANCED FUND-C BUY 1 Units @27.6500	17.60	0.18	0.00	0.18	10.0	0.02
07/25/2018	***-**-398I - GUERRIERI, PAUL ACCOUNT #: 00086040659	AMERICAN BALANCED FUND-C BUY 4 Units @27.6500	100.00	1.00	0.00	1.00	10.0	0.10
07/25/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00086979418	AMERICAN BALANCED FUND-C BUY 2 Units @27.6500	42.50	0.43	0.00	0.43	10.0	0.04
07/25/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00086979418	AMERICAN BALANCED FUND-C BUY 1 Units @27.6500	20.00	0.20	0.00	0.20	10.0	0.02
07/25/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	AMERICAN BALANCED FUND-C BUY 1 Units @27.6500	23.00	0.23	0.00	0.23	10.0	0.02



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u>								
07/25/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	AMERICAN BALANCED FUND-C BUY 3 Units @27.6500	86.33	0.86	0.00	0.86	10.0	0.09
07/25/2018	***-**-8208 - KARNS, SHANNON ACCOUNT #: 04000886858	AMERICAN BALANCED FUND-C BUY 36 Units @27.6500	1,000.00	10.00	0.00	10.00	10.0	1.00
07/26/2018	***-**-5838 - SPOLETA, BRENDA ACCOUNT #: 00087435959	AMERICAN BALANCED FUND-C BUY 2 Units @27.6200	50.00	0.50	0.00	0.50	10.0	0.05
07/26/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	AMERICAN BALANCED FUND-C BUY 4 Units @27.6200	120.00	1.20	0.00	1.20	10.0	0.12
07/23/2018	***-**-4478 - YACONO, JOSEPH ACCOUNT #: 04000820464	AMERICAN FUNDS 2055 TARGET DATE-C BUY 2 Units @19.2700	47.76	0.48	0.00	0.48	10.0	0.05
07/23/2018	***-**-4478 - YACONO, JOSEPH ACCOUNT #: 04000820464	AMERICAN FUNDS 2055 TARGET DATE-C BUY 0 Units @19.2700	7.96	0.08	0.00	0.08	10.0	0.01
07/20/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMERICAN GLOBAL BALANCED CL C BUY 0 Units @32.2200	9.92	0.10	0.00	0.10	10.0	0.01
07/20/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @32.2200	30.83	0.31	0.00	0.31	10.0	0.03
07/25/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMERICAN GLOBAL BALANCED CL C BUY 0 Units @32.5200	9.48	0.09	0.00	0.09	10.0	0.01
07/25/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @32.5200	29.56	0.30	0.00	0.30	10.0	0.03
07/26/2018	***-**-5838 - SPOLETA, BRENDA ACCOUNT #: 00088037501	AMERICAN GLOBAL BALANCED CL C BUY 3 Units @32.4500	100.00	1.00	0.00	1.00	10.0	0.10
07/26/2018	***-**-5838 - SPOLETA, BRENDA ACCOUNT #: 00087435959	AMERICAN HIGH INCOME MUNI BOND CLAS BUY 3 Units @15.7900	50.00	0.50	0.00	0.50	10.0	0.05
07/23/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @10.1900	4.55	0.05	0.00	0.05	10.0	0.01
07/23/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 10 Units @10.1900	105.00	1.05	0.00	1.05	10.0	0.11
07/26/2018	***-**-5838 - SPOLETA, BRENDA ACCOUNT #: 00087435959	AMERICAN HIGH-INCOME TRUST-C BUY 5 Units @10.2100	50.00	0.50	0.00	0.50	10.0	0.05
07/20/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMERICAN MUTUAL FUND-C BUY 0 Units @40.8800	11.58	0.12	0.00	0.12	10.0	0.01



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u>								
07/20/2018	***-**-511I - ROOD, SCOTT	AMERICAN MUTUAL FUND-C	35.97	0.36	0.00	0.36	10.0	0.04
	ACCOUNT #: 00088607840	BUY 1 Units @40.8800						
07/23/2018	***-**-895P - ARBORE,	AMERICAN MUTUAL FUND-C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 00081632761	BUY 2 Units @40.9100						
07/23/2018	***-**-23UG - ARBORE,	AMERICAN MUTUAL FUND-C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 00084503705	BUY 2 Units @40.9100						
07/23/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00085904181	BUY 0 Units @40.9100						
07/23/2018	***-**-482I - MARCONI, TODD	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @40.9100						
07/25/2018	***-**-511I - ROOD, SCOTT	AMERICAN MUTUAL FUND-C	11.05	0.11	0.00	0.11	10.0	0.01
	ACCOUNT #: 00088607840	BUY 0 Units @41.3300						
07/25/2018	***-**-511I - ROOD, SCOTT	AMERICAN MUTUAL FUND-C	34.48	0.34	0.00	0.34	10.0	0.03
	ACCOUNT #: 00088607840	BUY 1 Units @41.3300						
07/26/2018	***-**-6781 - KARNS, DANIELLE	AMERICAN MUTUAL FUND-C	8.44	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 04000409710	BUY 0 Units @41.4500						
07/26/2018	***-**-6781 - KARNS, DANIELLE	AMERICAN MUTUAL FUND-C	63.36	0.63	0.00	0.63	10.0	0.06
	ACCOUNT #: 04000409710	BUY 2 Units @41.4500						
07/20/2018	***-**-7171 - GIUDICE, JUSTIN	CAPITAL INCOME BUILDER CLASS C	16.09	0.16	0.00	0.16	10.0	0.02
	ACCOUNT #: 00086494794	BUY 0 Units @60.6500						
07/20/2018	***-**-7171 - GIUDICE, JUSTIN	CAPITAL INCOME BUILDER CLASS C	46.20	0.46	0.00	0.46	10.0	0.05
	ACCOUNT #: 00086494794	BUY 1 Units @60.6500						
07/20/2018	***-**-0962 - TRETYAK,	CAPITAL INCOME BUILDER CLASS C	23.00	0.23	0.00	0.23	10.0	0.02
	ACCOUNT #: 00089399972	BUY 0 Units @60.6500						
07/20/2018	***-**-0962 - TRETYAK,	CAPITAL INCOME BUILDER CLASS C	88.86	0.89	0.00	0.89	10.0	0.09
	ACCOUNT #: 00089399972	BUY 1 Units @60.6500						
07/23/2018	***-**-9611 - MACDUFFIE, LINDA	CAPITAL INCOME BUILDER CLASS C	300.00	3.00	0.00	3.00	10.0	0.30
	ACCOUNT #: 00087299599	BUY 5 Units @60.5600						
07/25/2018	***-**-7171 - GIUDICE, JUSTIN	CAPITAL INCOME BUILDER CLASS C	16.25	0.16	0.00	0.16	10.0	0.02
	ACCOUNT #: 00086494794	BUY 0 Units @61.0800						
07/25/2018	***-**-7171 - GIUDICE, JUSTIN	CAPITAL INCOME BUILDER CLASS C	46.20	0.46	0.00	0.46	10.0	0.05
	ACCOUNT #: 00086494794	BUY 1 Units @61.0800						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
07/25/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	CAPITAL INCOME BUILDER CLASS C BUY 0 Units @61.0800	23.00	0.23	0.00	0.23	10.0	0.02
07/25/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @61.0800	86.33	0.86	0.00	0.86	10.0	0.09
07/26/2018	***-**-5838 - SPOLETA, BRENDA ACCOUNT #: 00088037501	CAPITAL INCOME BUILDER CLASS C BUY 2 Units @61.0300	100.00	1.00	0.00	1.00	10.0	0.10
07/20/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	CAPITAL INCOME BUILDER-A BUY 0 Units @61.8200	17.10	0.27	0.00	0.27	10.0	0.03
07/20/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	CAPITAL INCOME BUILDER-A BUY 1 Units @61.8200	64.00	1.02	0.00	1.02	10.0	0.10
07/25/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	CAPITAL INCOME BUILDER-A BUY 0 Units @62.2700	17.10	0.27	0.00	0.27	10.0	0.03
07/25/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	CAPITAL INCOME BUILDER-A BUY 1 Units @62.2700	64.00	1.02	0.00	1.02	10.0	0.10
07/25/2018	***-**-398I - GUERRIERI, PAUL ACCOUNT #: 00086040659	CAPITAL WORLD BOND FUND-C BUY 5 Units @19.1700	100.00	1.00	0.00	1.00	10.0	0.10
07/20/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @52.4800	17.10	0.27	0.00	0.27	10.0	0.03
07/20/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @52.4800	64.00	1.02	0.00	1.02	10.0	0.10
07/20/2018	***-**-4036 - AMES, JOHN ACCOUNT #: 00083414092	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @50.8600	24.00	0.24	0.00	0.24	10.0	0.02
07/20/2018	***-**-4036 - AMES, JOHN ACCOUNT #: 00083414092	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @50.8600	32.00	0.32	0.00	0.32	10.0	0.03
07/20/2018	***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @50.8600	21.15	0.21	0.00	0.21	10.0	0.02
07/20/2018	***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @50.8600	84.60	0.85	0.00	0.85	10.0	0.09
07/23/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @50.7600	96.40	0.96	0.00	0.96	10.0	0.10
07/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.0900	30.00	0.30	0.00	0.30	10.0	0.03



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
07/25/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL WORLD GROWTH AND INCOME FUN	17.10	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00073550085	BUY 0 Units @53.1400						
07/25/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL WORLD GROWTH AND INCOME FUN	64.00	1.02	0.00	1.02	10.0	0.10
	ACCOUNT #: 00073550085	BUY 1 Units @53.1400						
07/25/2018	***-**-4036 - AMES, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	24.00	0.24	0.00	0.24	10.0	0.02
	ACCOUNT #: 00083414092	BUY 0 Units @51.4900						
07/25/2018	***-**-4036 - AMES, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	32.00	0.32	0.00	0.32	10.0	0.03
	ACCOUNT #: 00083414092	BUY 1 Units @51.4900						
07/25/2018	***-**-4926 - HAUN, GARY	CAPITAL WORLD GROWTH AND INCOME FUN	21.15	0.21	0.00	0.21	10.0	0.02
	ACCOUNT #: 04000492822	BUY 0 Units @51.4900						
07/25/2018	***-**-4926 - HAUN, GARY	CAPITAL WORLD GROWTH AND INCOME FUN	84.60	0.85	0.00	0.85	10.0	0.09
	ACCOUNT #: 04000492822	BUY 2 Units @51.4900						
07/26/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	10.0	0.04
	ACCOUNT #: 00089910177	BUY 1 Units @51.3800						
07/30/2018	***-**-526I - CAVALLARO,	CARILLON FAMILY FUNDS TRAILS	0.00	8.57	0.00	8.57	10.0	0.86
		BUY 0 Units @0.0000						
07/30/2018	***-**-526I - CAVALLARO,	CARILLON FAMILY FUNDS TRAILS	0.00	2.56	0.00	2.56	10.0	0.26
		BUY 0 Units @0.0000						
07/30/2018	***-**-526I - CAVALLARO,	CARILLON FAMILY FUNDS TRAILS	0.00	2.32	0.00	2.32	10.0	0.23
		BUY 0 Units @0.0000						
07/31/2018	***-**-63IR - DIAMOND, ANN	FEDERATED STRATEGIC INCOME FUND CLA	0.00	0.62	0.00	0.62	10.0	0.06
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
07/20/2018	***-**-925P - BURNS, JASON	FUNDAMENTAL INVESTORS-A	22.28	0.36	0.00	0.36	10.0	0.04
	ACCOUNT #: 00073550035	BUY 0 Units @65.5100						
07/20/2018	***-**-925P - BURNS, JASON	FUNDAMENTAL INVESTORS-A	132.11	2.11	0.00	2.11	10.0	0.21
	ACCOUNT #: 00073550035	BUY 2 Units @65.5100						
07/25/2018	***-**-925P - BURNS, JASON	FUNDAMENTAL INVESTORS-A	22.28	0.36	0.00	0.36	10.0	0.04
	ACCOUNT #: 00073550035	BUY 0 Units @66.6100						
07/25/2018	***-**-925P - BURNS, JASON	FUNDAMENTAL INVESTORS-A	107.91	1.73	0.00	1.73	10.0	0.17
	ACCOUNT #: 00073550035	BUY 2 Units @66.6100						
07/20/2018	***-**-7171 - GIUDICE, JUSTIN	FUNDAMENTAL INVESTORS-C	16.09	0.16	0.00	0.16	10.0	0.02
	ACCOUNT #: 00086494794	BUY 0 Units @63.8300						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u>								
07/20/2018	***-**-7171 - GIUDICE, JUSTIN	FUNDAMENTAL INVESTORS-C	46.20	0.46	0.00	0.46	10.0	0.05
	ACCOUNT #: 00086494794	BUY 1 Units @63.8300						
07/23/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	9.00	0.09	0.00	0.09	10.0	0.01
	ACCOUNT #: 00085902226	BUY 0 Units @63.9300						
07/23/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	10.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @63.9300						
07/23/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @63.9300						
07/24/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @64.2100						
07/24/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	8.16	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 00089051066	BUY 0 Units @64.2100						
07/25/2018	***-**-615P - LE, TU	FUNDAMENTAL INVESTORS-C	150.00	1.50	0.00	1.50	10.0	0.15
	ACCOUNT #: 00075107985	BUY 2 Units @64.9000						
07/25/2018	***-**-7171 - GIUDICE, JUSTIN	FUNDAMENTAL INVESTORS-C	16.24	0.16	0.00	0.16	10.0	0.02
	ACCOUNT #: 00086494794	BUY 0 Units @64.9000						
07/25/2018	***-**-7171 - GIUDICE, JUSTIN	FUNDAMENTAL INVESTORS-C	46.20	0.46	0.00	0.46	10.0	0.05
	ACCOUNT #: 00086494794	BUY 1 Units @64.9000						
07/26/2018	***-**-6171 - ROWE, KEVIN	FUNDAMENTAL INVESTORS-C	53.00	0.53	0.00	0.53	10.0	0.05
	ACCOUNT #: 04000409801	BUY 1 Units @64.5600						
07/26/2018	***-**-6171 - ROWE, KEVIN	FUNDAMENTAL INVESTORS-C	480.00	4.80	0.00	4.80	10.0	0.48
	ACCOUNT #: 04000409801	BUY 7 Units @64.5600						
07/31/2018	***-**-92IR - CHEN, CYNTHIA	GLOBAL OPPORTUNITIES A	0.00	1.88	0.00	1.88	10.0	0.19
	ACCOUNT #: LSR011412	BUY 1 Units @0.0000						
07/23/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	10.0	0.05
	ACCOUNT #: 00087236607	BUY 2 Units @22.7500						
07/23/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	10.0	0.03
	ACCOUNT #: 00085902249	BUY 1 Units @40.7900						
07/23/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: 00089563161	BUY 0 Units @40.7900						
07/24/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	14.80	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 00089583477	BUY 0 Units @41.0500						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u> 								
07/26/2018	***-**-3727 - D'ANGELO, ANDREW	INVESTMENT COMPANY OF AMERICA CLASS	13.00	0.13	0.00	0.13	10.0	0.01
	ACCOUNT #: 04000409699	BUY 0 Units @41.2700						
07/26/2018	***-**-3727 - D'ANGELO, ANDREW	INVESTMENT COMPANY OF AMERICA CLASS	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 04000409699	BUY 0 Units @41.2700						
07/26/2018	***-**-6781 - KARNS, DANIELLE	INVESTMENT COMPANY OF AMERICA CLASS	8.45	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 04000409710	BUY 0 Units @41.2700						
07/26/2018	***-**-6781 - KARNS, DANIELLE	INVESTMENT COMPANY OF AMERICA CLASS	63.37	0.63	0.00	0.63	10.0	0.06
	ACCOUNT #: 04000409710	BUY 2 Units @41.2700						
07/31/2018	***-**-631R - DIAMOND, ANN	LORD ABBETT SHORT DURATION INCOME C	0.00	0.26	0.00	0.26	10.0	0.03
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
07/20/2018	***-**-955P - ROSE, JUSTIN	NEW PERSPECTIVE FUND-A	20.11	0.32	0.00	0.32	10.0	0.03
	ACCOUNT #: 00073550044	BUY 0 Units @47.4000						
07/20/2018	***-**-955P - ROSE, JUSTIN	NEW PERSPECTIVE FUND-A	150.00	2.40	0.00	2.40	10.0	0.24
	ACCOUNT #: 00073550044	BUY 3 Units @47.4000						
07/25/2018	***-**-955P - ROSE, JUSTIN	NEW PERSPECTIVE FUND-A	20.10	0.32	0.00	0.32	10.0	0.03
	ACCOUNT #: 00073550044	BUY 0 Units @48.0500						
07/25/2018	***-**-955P - ROSE, JUSTIN	NEW PERSPECTIVE FUND-A	150.00	2.40	0.00	2.40	10.0	0.24
	ACCOUNT #: 00073550044	BUY 3 Units @48.0500						
07/20/2018	***-**-6000 - SMITH, KENNETH	NEW PERSPECTIVE FUND-C	8.80	0.09	0.00	0.09	10.0	0.01
	ACCOUNT #: 00083081099	BUY 0 Units @44.4500						
07/20/2018	***-**-4036 - AMES, JOHN	NEW PERSPECTIVE FUND-C	18.00	0.18	0.00	0.18	10.0	0.02
	ACCOUNT #: 00083414092	BUY 0 Units @44.4500						
07/20/2018	***-**-4036 - AMES, JOHN	NEW PERSPECTIVE FUND-C	24.00	0.24	0.00	0.24	10.0	0.02
	ACCOUNT #: 00083414092	BUY 1 Units @44.4500						
07/23/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	10.0	0.01
	ACCOUNT #: 00085902226	BUY 0 Units @44.3200						
07/23/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	10.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @44.3200						
07/24/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @44.5300						
07/24/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	8.15	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 00089051066	BUY 0 Units @44.5300						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
07/25/2018	***-**-6000 - SMITH, KENNETH	NEW PERSPECTIVE FUND-C	8.80	0.09	0.00	0.09	10.0	0.01
	ACCOUNT #: 00083081099	BUY 0 Units @45.0500						
07/25/2018	***-**-4036 - AMES, JOHN	NEW PERSPECTIVE FUND-C	18.00	0.18	0.00	0.18	10.0	0.02
	ACCOUNT #: 00083414092	BUY 0 Units @45.0500						
07/25/2018	***-**-4036 - AMES, JOHN	NEW PERSPECTIVE FUND-C	24.00	0.24	0.00	0.24	10.0	0.02
	ACCOUNT #: 00083414092	BUY 1 Units @45.0500						
07/26/2018	***-**-1955 - MARCELLUS, JAMES	NEW PERSPECTIVE FUND-C	23.08	0.23	0.00	0.23	10.0	0.02
	ACCOUNT #: 04000409718	BUY 1 Units @44.6600						
07/26/2018	***-**-1955 - MARCELLUS, JAMES	NEW PERSPECTIVE FUND-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000409718	BUY 1 Units @44.6600						
07/26/2018	***-**-9004 - MARCELLUS,	NEW PERSPECTIVE FUND-C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 04000409785	BUY 2 Units @44.6600						
07/26/2018	***-**-9004 - MARCELLUS,	NEW PERSPECTIVE FUND-C	17.30	0.17	0.00	0.17	10.0	0.02
	ACCOUNT #: 04000409785	BUY 0 Units @44.6600						
07/20/2018	***-**-4036 - AMES, JOHN	NEW WORLD FUND-C	18.00	0.18	0.00	0.18	10.0	0.02
	ACCOUNT #: 00083414092	BUY 0 Units @63.7300						
07/20/2018	***-**-4036 - AMES, JOHN	NEW WORLD FUND-C	24.00	0.24	0.00	0.24	10.0	0.02
	ACCOUNT #: 00083414092	BUY 0 Units @63.7300						
07/23/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	10.50	0.11	0.00	0.11	10.0	0.01
	ACCOUNT #: 00085902226	BUY 0 Units @63.5500						
07/23/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	21.00	0.21	0.00	0.21	10.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @63.5500						
07/23/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	48.20	0.48	0.00	0.48	10.0	0.05
	ACCOUNT #: 00087038420	BUY 1 Units @63.5500						
07/25/2018	***-**-4036 - AMES, JOHN	NEW WORLD FUND-C	18.00	0.18	0.00	0.18	10.0	0.02
	ACCOUNT #: 00083414092	BUY 0 Units @64.6700						
07/25/2018	***-**-4036 - AMES, JOHN	NEW WORLD FUND-C	24.00	0.24	0.00	0.24	10.0	0.02
	ACCOUNT #: 00083414092	BUY 0 Units @64.6700						
07/25/2018	***-**-3981 - GUERRIERI, PAUL	NEW WORLD FUND-C	150.00	1.50	0.00	1.50	10.0	0.15
	ACCOUNT #: 00086040659	BUY 2 Units @64.6700						
07/13/2018	***-**-6793 - ESTEP, ARDEN	NYA JPM 529 AGGR AGE-BASE C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 77375699601	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u>								
07/16/2018	***-**-3758 - CONNORS, DAVID	NYA JPM 529 AGGR AGE-BASE C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 77162702001	BUY 0 Units @0.0000						
07/13/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 COLLEGE PORT - C	45.71	0.46	0.00	0.46	10.0	0.05
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
07/13/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 COLLEGE PORT - C	45.71	0.46	0.00	0.46	10.0	0.05
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
07/20/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 COLLEGE PORT - C	45.71	0.46	0.00	0.46	10.0	0.05
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
07/13/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 CONSV GROWTH C	47.10	0.47	0.00	0.47	10.0	0.05
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
07/20/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 CONSV GROWTH C	47.10	0.47	0.00	0.47	10.0	0.05
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
07/13/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	45.71	0.46	0.00	0.46	10.0	0.05
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
07/20/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	45.71	0.46	0.00	0.46	10.0	0.05
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
07/16/2018	***-**-275P - ROBERTS,	NYA JPM 529 MOD GROWTH POR C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 77891325801	BUY 0 Units @0.0000						
07/31/2018	***-**-20IN - GIANGRECO, TERRY	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	102.00	0.00	102.00	10.0	10.20
	ACCOUNT #: LSR010603	BUY 1 Units @0.0000						
07/31/2018	***-**-92IR - CHEN, CYNTHIA	SELECT VALUE FUND A	0.00	1.25	0.00	1.25	10.0	0.13
	ACCOUNT #: LSR011412	BUY 1 Units @0.0000						
07/20/2018	***-**-925P - BURNS, JASON	SMALLCAP WORLD FUND-A	22.28	0.36	0.00	0.36	10.0	0.04
	ACCOUNT #: 00073550035	BUY 0 Units @61.1400						
07/20/2018	***-**-925P - BURNS, JASON	SMALLCAP WORLD FUND-A	132.11	2.11	0.00	2.11	10.0	0.21
	ACCOUNT #: 00073550035	BUY 2 Units @61.1400						
07/25/2018	***-**-925P - BURNS, JASON	SMALLCAP WORLD FUND-A	22.27	0.36	0.00	0.36	10.0	0.04
	ACCOUNT #: 00073550035	BUY 0 Units @61.1600						
07/25/2018	***-**-925P - BURNS, JASON	SMALLCAP WORLD FUND-A	107.91	1.73	0.00	1.73	10.0	0.17
	ACCOUNT #: 00073550035	BUY 2 Units @61.1600						
07/23/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	48.20	0.48	0.00	0.48	10.0	0.05
	ACCOUNT #: 00087038420	BUY 1 Units @52.4100						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
07/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @52.1300	20.40	0.20	0.00	0.20	10.0	0.02
07/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @52.1300	8.16	0.08	0.00	0.08	10.0	0.01
07/30/2018	***-**-90FB - DIODATO, CAROL ACCOUNT #: 525805	SYMMETRY RIA BUY 0 Units @36.9279	369,279.70	923.20	0.00	923.20	10.0	92.32
07/30/2018	***-**-44FB - PIAZZA, ANNIE ACCOUNT #: 528418	SYMMETRY RIA BUY 0 Units @1.9373	19,373.85	48.44	0.00	48.44	10.0	4.84
07/30/2018	***-**-22FB - WAGNER, LAUREL ACCOUNT #: 544373	SYMMETRY RIA BUY 0 Units @16.7957	167,957.59	419.90	0.00	419.90	10.0	41.99
07/30/2018	***-**-58IR - HINDERLAND, GARY ACCOUNT #: 553934	SYMMETRY RIA BUY 0 Units @5.5191	55,191.61	137.98	0.00	137.98	10.0	13.80
07/20/2018	***-**-7171 - GIUDICE, JUSTIN ACCOUNT #: 00086494794	THE BOND FUND OF AMERICA-C BUY 1 Units @12.5600	16.57	0.17	0.00	0.17	10.0	0.02
07/20/2018	***-**-7171 - GIUDICE, JUSTIN ACCOUNT #: 00086494794	THE BOND FUND OF AMERICA-C BUY 4 Units @12.5600	47.60	0.48	0.00	0.48	10.0	0.05
07/23/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 2 Units @12.5100	28.05	0.28	0.00	0.28	10.0	0.03
07/23/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 1 Units @12.5100	9.00	0.09	0.00	0.09	10.0	0.01
07/25/2018	***-**-7171 - GIUDICE, JUSTIN ACCOUNT #: 00086494794	THE BOND FUND OF AMERICA-C BUY 1 Units @12.5200	16.73	0.17	0.00	0.17	10.0	0.02
07/25/2018	***-**-7171 - GIUDICE, JUSTIN ACCOUNT #: 00086494794	THE BOND FUND OF AMERICA-C BUY 4 Units @12.5200	47.60	0.48	0.00	0.48	10.0	0.05
07/26/2018	***-**-5838 - SPOLETA, BRENDA ACCOUNT #: 00088037501	THE BOND FUND OF AMERICA-C BUY 8 Units @12.5100	100.00	1.00	0.00	1.00	10.0	0.10
07/20/2018	***-**-965P - SHAFER, PAUL ACCOUNT #: 00073549401	THE GROWTH FUND OF AMERICA-A BUY 0 Units @56.5500	17.60	0.28	0.00	0.28	10.0	0.03
07/20/2018	***-**-925P - BURNS, JASON ACCOUNT #: 00073550035	THE GROWTH FUND OF AMERICA-A BUY 0 Units @56.5500	22.94	0.37	0.00	0.37	10.0	0.04
07/20/2018	***-**-925P - BURNS, JASON ACCOUNT #: 00073550035	THE GROWTH FUND OF AMERICA-A BUY 2 Units @56.5500	136.10	2.18	0.00	2.18	10.0	0.22



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u>								
07/20/2018	***-**-955P - ROSE, JUSTIN	THE GROWTH FUND OF AMERICA-A	20.10	0.32	0.00	0.32	10.0	0.03
	ACCOUNT #: 00073550044	BUY 0 Units @56.5500						
07/20/2018	***-**-955P - ROSE, JUSTIN	THE GROWTH FUND OF AMERICA-A	150.00	2.40	0.00	2.40	10.0	0.24
	ACCOUNT #: 00073550044	BUY 3 Units @56.5500						
07/20/2018	***-**-625P - BORRELLI, LOUIS	THE GROWTH FUND OF AMERICA-A	34.20	0.55	0.00	0.55	10.0	0.06
	ACCOUNT #: 00073550085	BUY 1 Units @56.5500						
07/20/2018	***-**-625P - BORRELLI, LOUIS	THE GROWTH FUND OF AMERICA-A	128.00	2.05	0.00	2.05	10.0	0.21
	ACCOUNT #: 00073550085	BUY 2 Units @56.5500						
07/25/2018	***-**-965P - SHAFER, PAUL	THE GROWTH FUND OF AMERICA-A	17.00	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00073549401	BUY 0 Units @57.4300						
07/25/2018	***-**-925P - BURNS, JASON	THE GROWTH FUND OF AMERICA-A	22.95	0.37	0.00	0.37	10.0	0.04
	ACCOUNT #: 00073550035	BUY 0 Units @57.4300						
07/25/2018	***-**-925P - BURNS, JASON	THE GROWTH FUND OF AMERICA-A	111.18	1.78	0.00	1.78	10.0	0.18
	ACCOUNT #: 00073550035	BUY 2 Units @57.4300						
07/25/2018	***-**-955P - ROSE, JUSTIN	THE GROWTH FUND OF AMERICA-A	20.11	0.32	0.00	0.32	10.0	0.03
	ACCOUNT #: 00073550044	BUY 0 Units @57.4300						
07/25/2018	***-**-955P - ROSE, JUSTIN	THE GROWTH FUND OF AMERICA-A	150.00	2.40	0.00	2.40	10.0	0.24
	ACCOUNT #: 00073550044	BUY 3 Units @57.4300						
07/25/2018	***-**-625P - BORRELLI, LOUIS	THE GROWTH FUND OF AMERICA-A	34.20	0.55	0.00	0.55	10.0	0.06
	ACCOUNT #: 00073550085	BUY 1 Units @57.4300						
07/25/2018	***-**-625P - BORRELLI, LOUIS	THE GROWTH FUND OF AMERICA-A	128.00	2.05	0.00	2.05	10.0	0.21
	ACCOUNT #: 00073550085	BUY 2 Units @57.4300						
07/20/2018	***-**-6000 - SMITH, KENNETH	THE GROWTH FUND OF AMERICA-C	8.80	0.09	0.00	0.09	10.0	0.01
	ACCOUNT #: 00083081099	BUY 0 Units @51.1800						
07/20/2018	***-**-9501 - SHALLENBERGER,	THE GROWTH FUND OF AMERICA-C	6.37	0.06	0.00	0.06	10.0	0.01
	ACCOUNT #: 00086494801	BUY 0 Units @51.1800						
07/20/2018	***-**-4926 - HAUN, GARY	THE GROWTH FUND OF AMERICA-C	28.20	0.28	0.00	0.28	10.0	0.03
	ACCOUNT #: 04000492822	BUY 1 Units @51.1800						
07/20/2018	***-**-4926 - HAUN, GARY	THE GROWTH FUND OF AMERICA-C	112.80	1.13	0.00	1.13	10.0	0.11
	ACCOUNT #: 04000492822	BUY 2 Units @51.1800						
07/23/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	48.20	0.48	0.00	0.48	10.0	0.05
	ACCOUNT #: 00087038420	BUY 1 Units @51.2300						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
07/23/2018	***-**-482I - MARCONI, TODD	THE GROWTH FUND OF AMERICA-C	10.00	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @51.2300						
07/24/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	30.00	0.30	0.00	0.30	10.0	0.03
	ACCOUNT #: 00089045192	BUY 1 Units @51.3700						
07/24/2018	***-**-312S - DISCH, JASMINE	THE GROWTH FUND OF AMERICA-C	14.80	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 00089583477	BUY 0 Units @51.3700						
07/25/2018	***-**-6000 - SMITH, KENNETH	THE GROWTH FUND OF AMERICA-C	8.80	0.09	0.00	0.09	10.0	0.01
	ACCOUNT #: 00083081099	BUY 0 Units @51.9700						
07/25/2018	***-**-9501 - SHALLENBERGER,	THE GROWTH FUND OF AMERICA-C	13.32	0.13	0.00	0.13	10.0	0.01
	ACCOUNT #: 00086494801	BUY 0 Units @51.9700						
07/25/2018	***-**-7186 - VELLA, DOMENICO	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000248474	BUY 1 Units @51.9700						
07/25/2018	***-**-2678 - VELLA, VINCENZO	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000248491	BUY 1 Units @51.9700						
07/25/2018	***-**-4926 - HAUN, GARY	THE GROWTH FUND OF AMERICA-C	28.20	0.28	0.00	0.28	10.0	0.03
	ACCOUNT #: 04000492822	BUY 1 Units @51.9700						
07/25/2018	***-**-4926 - HAUN, GARY	THE GROWTH FUND OF AMERICA-C	112.80	1.13	0.00	1.13	10.0	0.11
	ACCOUNT #: 04000492822	BUY 2 Units @51.9700						
07/26/2018	***-**-6375 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	24.00	0.24	0.00	0.24	10.0	0.02
	ACCOUNT #: 04000598159	BUY 0 Units @51.5500						
07/26/2018	***-**-6375 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 04000598159	BUY 2 Units @51.5500						
07/26/2018	***-**-3727 - D'ANGELO, ANDREW	THE GROWTH FUND OF AMERICA-C	13.00	0.13	0.00	0.13	10.0	0.01
	ACCOUNT #: 04000409699	BUY 0 Units @51.5500						
07/26/2018	***-**-3727 - D'ANGELO, ANDREW	THE GROWTH FUND OF AMERICA-C	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 04000409699	BUY 0 Units @51.5500						
07/26/2018	***-**-8208 - KARNS, SHANNON	THE GROWTH FUND OF AMERICA-C	15.80	0.16	0.00	0.16	10.0	0.02
	ACCOUNT #: 04000409714	BUY 0 Units @51.5500						
07/26/2018	***-**-8208 - KARNS, SHANNON	THE GROWTH FUND OF AMERICA-C	480.00	4.80	0.00	4.80	10.0	0.48
	ACCOUNT #: 04000409714	BUY 9 Units @51.5500						
07/26/2018	***-**-1955 - MARCELLUS, JAMES	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000409718	BUY 1 Units @51.5500						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: FREDERIC SCARPULLA (continued) </u>								
07/26/2018	***-**-1955 - MARCELLUS, JAMES	THE GROWTH FUND OF AMERICA-C	23.08	0.23	0.00	0.23	10.0	0.02
	ACCOUNT #: 04000409718	BUY 0 Units @51.5500						
07/26/2018	***-**-9004 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 04000409785	BUY 2 Units @51.5500						
07/26/2018	***-**-9004 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	17.30	0.17	0.00	0.17	10.0	0.02
	ACCOUNT #: 04000409785	BUY 0 Units @51.5500						
07/26/2018	***-**-6171 - ROWE, KEVIN	THE GROWTH FUND OF AMERICA-C	53.00	0.53	0.00	0.53	10.0	0.05
	ACCOUNT #: 04000409801	BUY 1 Units @51.5500						
07/26/2018	***-**-6171 - ROWE, KEVIN	THE GROWTH FUND OF AMERICA-C	480.00	4.80	0.00	4.80	10.0	0.48
	ACCOUNT #: 04000409801	BUY 9 Units @51.5500						
07/27/2018	***-**-3572 - GIANGRECO,	THE GROWTH FUND OF AMERICA-C	35,000.00	350.00	0.00	350.00	10.0	35.00
	ACCOUNT #: 00087777483	BUY 685 Units @51.1000						
07/27/2018	***-**-1439 - GIANGRECO,	THE GROWTH FUND OF AMERICA-C	35,000.00	350.00	0.00	350.00	10.0	35.00
	ACCOUNT #: 00087777516	BUY 685 Units @51.1000						
07/30/2018	***-**-69IN - BUCK, LESLIE	TOUCHSTONE FUNDS TRAILS	0.00	3.24	0.00	3.24	10.0	0.32
		BUY 0 Units @0.0000						
07/20/2018	***-**-4926 - HAUN, GARY	WASHINGTON MUTUAL INVESTORS FUND-C	21.15	0.21	0.00	0.21	10.0	0.02
	ACCOUNT #: 04000492822	BUY 0 Units @45.0100						
07/20/2018	***-**-4926 - HAUN, GARY	WASHINGTON MUTUAL INVESTORS FUND-C	84.60	0.85	0.00	0.85	10.0	0.09
	ACCOUNT #: 04000492822	BUY 2 Units @45.0100						
07/23/2018	***-**-482I - MARCONI, TODD	WASHINGTON MUTUAL INVESTORS FUND-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @45.1000						
07/25/2018	***-**-398I - GUERRIERI, PAUL	WASHINGTON MUTUAL INVESTORS FUND-C	150.00	1.50	0.00	1.50	10.0	0.15
	ACCOUNT #: 00086040659	BUY 3 Units @45.5600						
07/25/2018	***-**-4926 - HAUN, GARY	WASHINGTON MUTUAL INVESTORS FUND-C	21.15	0.21	0.00	0.21	10.0	0.02
	ACCOUNT #: 04000492822	BUY 0 Units @45.5600						
07/25/2018	***-**-4926 - HAUN, GARY	WASHINGTON MUTUAL INVESTORS FUND-C	84.60	0.85	0.00	0.85	10.0	0.09
	ACCOUNT #: 04000492822	BUY 2 Units @45.5600						
07/26/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	40.00	0.40	0.00	0.40	10.0	0.04
	ACCOUNT #: 00089910177	BUY 1 Units @45.5000						
 * FREDERIC SCARPULLA SUBTOTAL * 			\$693,615.98	\$2,484.87	\$0.00	\$2,484.87		\$248.69



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: WILLIAM AGNELLO</u>								
07/23/2018	***-**-43IN - CUP, NICHOLAS	FEDERATED MID CAP GROWTH STRATEGIES	10,000.01	82.00	0.00	82.00	25.0	20.50
	ACCOUNT #: LSR006890	BUY 319 Units @31.3600						
07/31/2018	***-**-963I - GAMMARIELLO,	FEDERATED REAL RETRN BOND FUND CL A	0.00	1.07	0.00	1.07	25.0	0.27
	ACCOUNT #: LSR007102	BUY 1 Units @0.0000						
07/31/2018	***-**-963I - GAMMARIELLO,	FEDERATED TOTAL RETURN BOND CL A	0.00	1.96	0.00	1.96	25.0	0.49
	ACCOUNT #: LSR007102	BUY 1 Units @0.0000						
07/31/2018	***-**-925I - DIPAOLO, JOSEPH	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.27	0.00	1.27	25.0	0.32
	ACCOUNT #: LSR006874	BUY 1 Units @0.0000						
07/31/2018	***-**-43IN - CUP, NICHOLAS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	6.07	0.00	6.07	25.0	1.52
	ACCOUNT #: LSR006890	BUY 1 Units @0.0000						
07/31/2018	***-**-59T2 - ETHEL CUP IRREV	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.14	0.00	0.14	25.0	0.04
	ACCOUNT #: LSR006912	BUY 1 Units @0.0000						
07/31/2018	***-**-59T3 - ETHEL CUP IRREV	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	25.0	0.01
	ACCOUNT #: LSR006947	BUY 1 Units @0.0000						
07/31/2018	***-**-59T4 - ETHEL CUP TRUST	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	25.0	0.02
	ACCOUNT #: LSR006955	BUY 1 Units @0.0000						
07/31/2018	***-**-59T5 - ETHEL CUP TRUST	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.15	0.00	0.15	25.0	0.04
	ACCOUNT #: LSR006963	BUY 1 Units @0.0000						
07/31/2018	***-**-13IR - HAGER, MICHAEL	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.04	0.00	0.04	25.0	0.01
	ACCOUNT #: LSR006971	BUY 1 Units @0.0000						
07/31/2018	***-**-613K - HAGER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.28	0.00	0.28	25.0	0.07
	ACCOUNT #: LSR006980	BUY 1 Units @0.0000						
07/31/2018	***-**-002I - NFS/FMTC IRA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.30	0.00	1.30	25.0	0.33
	ACCOUNT #: LSR007005	BUY 1 Units @0.0000						
07/31/2018	***-**-963I - GAMMARIELLO,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.74	0.00	0.74	25.0	0.19
	ACCOUNT #: LSR007102	BUY 1 Units @0.0000						
07/31/2018	***-**-90IR - NFS/FMTC ROTH	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.15	0.00	0.15	25.0	0.04
	ACCOUNT #: LSR007110	BUY 1 Units @0.0000						
07/31/2018	***-**-28IR - CUP, ANDREW	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.45	0.00	0.45	25.0	0.11
	ACCOUNT #: LSR007129	BUY 1 Units @0.0000						
07/31/2018	***-**-28IN - CUP, ANDREW	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.10	0.00	0.10	25.0	0.03
	ACCOUNT #: LSR007137	BUY 1 Units @0.0000						



COMMISSION STATEMENT

July 30, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: WILLIAM AGNELLO (continued)</u>								
07/31/2018	***-**-11IO - CRANDALL, ACCOUNT #: LSR007145	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.58	0.00	0.58	25.0	0.15
07/31/2018	***-**-36IO - IRWIN, CHARLES ACCOUNT #: LSR007200	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	1.44	0.00	1.44	25.0	0.36
07/31/2018	***-**-39IN - IRWIN, DIANE ACCOUNT #: LSR007234	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	25.0	0.01
07/31/2018	***-**-39IR - IRWIN ACCOUNT #: LSR007242	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.05	0.00	0.05	25.0	0.01
07/31/2018	***-**-439I - IRWIN, DIANE ACCOUNT #: LSR007250	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.06	0.00	0.06	25.0	0.02
07/31/2018	***-**-82IO - PARISI ACCOUNT #: LSR007331	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.09	0.00	0.09	25.0	0.02
07/31/2018	***-**-75IO - DELCOUR ACCOUNT #: LSR007358	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.05	0.00	0.05	25.0	0.01
07/31/2018	***-**-657I - RIEL, KEVIN ACCOUNT #: LSR007366	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.64	0.00	0.64	25.0	0.16
07/31/2018	***-**-951I - SCHAEFER, ACCOUNT #: LSR007382	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.13	0.00	0.13	25.0	0.03
07/31/2018	***-**-728X - CHIAVETTA, NSPS ACCOUNT #: LSR008699	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	25.0	0.01
07/31/2018	***-**-28IN - CHIAVETTA, ACCOUNT #: LSR008842	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.04	0.00	0.04	25.0	0.01
07/31/2018	***-**-461J - PANZARELLA, ACCOUNT #: LSR010004	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	25.0	0.01
07/31/2018	***-**-72IN - MAZZOCHETTI, ACCOUNT #: LSR010021	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.30	0.00	0.30	25.0	0.08
07/31/2018	***-**-87IN - IRWIN, IRENE ACCOUNT #: LSR010100	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.20	0.00	0.20	25.0	0.05
07/31/2018	***-**-25IN - DIPAOLO, JOSEPH ACCOUNT #: LSR010260	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.61	0.00	0.61	25.0	0.15
07/31/2018	***-**-87IN - IRWIN, IRENE ACCOUNT #: LSR010100	MAIN STREET FUND A BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	25.0	0.02



COMMISSION STATEMENT

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** OVERRIDE TRANSACTIONS (continued) ***								
<u>BROKER: WILLIAM AGNELLO (continued)</u>								
07/31/2018	***-**-87IN - IRWIN, IRENE	OPPENHEIMER DISCOVERY CLASS A	0.00	0.21	0.00	0.21	25.0	0.05
	ACCOUNT #: LSR010100	BUY 1 Units @0.0000						
07/31/2018	***-**-93UT - CUP CUST, MARCIE	PORT SERIES: EQUITY INV FD A	0.00	0.36	0.00	0.36	25.0	0.09
	ACCOUNT #: LSR011103	BUY 1 Units @0.0000						
07/30/2018	***-**-36FB - IRWIN, CHARLES	SYMMETRY RIA	123,228.88	462.11	0.00	462.11	15.0	69.32
	ACCOUNT #: 413444	BUY 0 Units @12.3228						
07/30/2018	***-**-43FB - CUP, NICHOLAS	SYMMETRY RIA	100,266.47	376.00	0.00	376.00	15.0	56.40
	ACCOUNT #: 413590	BUY 0 Units @10.0266						
07/30/2018	***-**-28FB - CUP, ANDREW	SYMMETRY RIA	26,005.83	97.52	0.00	97.52	15.0	14.63
	ACCOUNT #: 414485	BUY 0 Units @2.6005						
07/30/2018	***-**-99FB - LINTON, TELSA	SYMMETRY RIA	20,355.05	76.33	0.00	76.33	15.0	11.45
	ACCOUNT #: 418389	BUY 0 Units @2.0355						
	* WILLIAM AGNELLO SUBTOTAL *		\$279,856.24	\$1,112.69	\$0.00	\$1,112.69		\$177.03
<u>BROKER: JAMES LOMBARDO</u>								
07/16/2018	***-**-6195 - BACKUS, THOMAS	INVESCO AMERICAN FRANCHISE FUND -CL	50.00	2.50	0.00	2.50	15.0	0.38
	ACCOUNT #: 03908954377	BUY 2 Units @23.8800						
07/16/2018	***-**-4379 - MATICIC, JOSEPH	PUTNAM EQUITY INCOME FUND-A	50.00	2.50	0.00	2.50	15.0	0.38
	ACCOUNT #: 00461190200	BUY 2 Units @26.5400						
07/27/2018	***-**-8806 - MABRY, REBECCA	THE BOND FUND OF AMERICA-A	75.00	2.25	0.00	2.25	15.0	0.34
	ACCOUNT #: 00082729374	BUY 6 Units @13.0200						
07/27/2018	***-**-8806 - MABRY, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-A	75.00	2.81	0.00	2.81	15.0	0.42
	ACCOUNT #: 00082729374	BUY 2 Units @48.0400						
	* JAMES LOMBARDO SUBTOTAL *		\$250.00	\$10.06	\$0.00	\$10.06		\$1.52
	*** OVERRIDE TRANSACTIONS TOTAL ***		\$973,722.22	\$3,805.34	\$0.00	\$3,805.34		\$456.90



BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$75.14
						Split Transactions		\$0.00
						Override Transactions		\$456.90
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$532.04
						Year-to-date Earnings		\$73,534.09