

COMMISSION STATEMENT

June 09, 2017

KINGSBURY INC.

BROKER #: 86

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
05/17/2017 75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS BANKING FD CL A	B	27,500.00	825.00	15.00	810.00	0.0	0.00
05/17/2017 75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS FINL SVCS FD CL A	B	27,500.00	825.00	15.00	810.00	0.0	0.00
05/23/2017 75597005	MOUGHAN, PATRICK	BLACKROCK HEALTH SCIENCES FUND CLAS B	B	15,000.00	562.50	15.00	547.50	0.0	0.00
05/23/2017 75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS BANKING FD CL A	B	15,000.00	450.00	15.00	435.00	0.0	0.00
05/23/2017 75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS FINL SVCS FD CL A	B	15,000.00	450.00	15.00	435.00	0.0	0.00
05/17/2017 75597007	BRENT, NANCY	CAPITAL INCOME A	S	3,492.00	0.00	5,226.90	-5,226.90	0.0	0.00
05/23/2017 75597008	VELLON, MICHAEL	BLACKROCK HEALTH SCIENCES FUND CLAS B	B	16,000.00	600.00	15.00	585.00	0.0	0.00
05/23/2017 75597008	VELLON, MICHAEL	RYDEX SER FUNDS BANKING FD CL A	B	17,000.00	510.00	15.00	495.00	0.0	0.00
05/23/2017 75597008	VELLON, MICHAEL	RYDEX SER FUNDS FINL SVCS FD CL A	B	17,000.00	510.00	15.00	495.00	0.0	0.00
05/25/2017 75597017	ANDRE, BRUCE	BLACKROCK HEALTH SCIENCES FUND CLAS B	B	50,000.00	1,875.00	15.00	1,860.00	0.0	0.00
05/01/2017 75597024	PRESKILL, RICHARD	FRK UTIL FND - CL A	S	12,000.00	0.00	9,671.55	-9,671.55	0.0	0.00
05/08/2017 75597024	PRESKILL, RICHARD	EATON VANCE HIGH YIELD MUNICIPAL IN S	S	145.09	0.00	264.30	-264.30	0.0	0.00
05/08/2017 75597024	PRESKILL, RICHARD	FRANKLIN FEDERAL TAX FREE INCOME FU S	S	318.85	0.00	413.85	-413.85	0.0	0.00
05/08/2017 75597024	PRESKILL, RICHARD	FRANKLIN HIGH-YIELD TAX-FREE INCOME S	S	178.80	0.00	276.60	-276.60	0.0	0.00
05/08/2017 75597024	PRESKILL, RICHARD	FRK AGE HIGH INC FND - CL A	S	195.30	0.00	1,564.95	-1,564.95	0.0	0.00
05/08/2017 75597024	PRESKILL, RICHARD	FRK FL TF INC FND - CL A	S	470.35	0.00	666.45	-666.45	0.0	0.00
05/01/2017 75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	B	2,000.00	20.00	943.20	-923.20	0.0	0.00
05/11/2017 75597035	PERKINSON, DOLORES	FRANKLIN U.S. GOVERNMENT SECURITIES S	S	1,500.00	0.00	3,667.50	-3,667.50	0.0	0.00
05/03/2017 75597059	LUKASIK, CAROLINE	TEMP GLOBAL BOND FND - CL A	S	6,449.00	0.00	7,772.40	-7,772.40	0.0	0.00
05/18/2017 75597081	BLITZ, DAVID	FIDELITY ADVISOR ENERGY CL I	S	3,415.00	0.00	1,548.15	-1,548.15	0.0	0.00
05/18/2017 75597081	BLITZ, DAVID	FIDELITY ADVISOR HEALTH CARE FUND C B	B	3,415.00	0.00	1,230.45	-1,230.45	0.0	0.00
05/18/2017 75597081	BLITZ, DAVID	FIDELITY ADVISOR GOLD FUND CL I	S	4,759.49	0.00	3,492.45	-3,492.45	0.0	0.00
05/18/2017 75597081	BLITZ, DAVID	FIDELITY MAGELLAN FUND	B	5,000.00	0.00	819.00	-819.00	0.0	0.00
05/01/2017 75597089	BORKHOVA, ALLA	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	B	984.70	9.85	471.90	-462.05	0.0	0.00
05/26/2017 75597091	BOL, BEVERLY	CAPITAL APPRECIATION A	S	344.00	0.00	103.65	-103.65	0.0	0.00
05/30/2017 75597104	WILKOS, JEANETTE	FRK AGE HIGH INC FND - CL A	S	533.47	0.00	4,204.50	-4,204.50	0.0	0.00
05/30/2017 75597105	DAVIS, DONALD	NEW PERSPECTIVE FUND-A	S	2,800.00	0.00	1,034.10	-1,034.10	0.0	0.00
05/12/2017 75597135	JOHN W STEINBORN TTEE PIMCO TOTAL RETURN CLASS A		S	47,974.64	0.00	70,913.40	-70,913.40	0.0	0.00
05/19/2017 75597135	JOHN W STEINBORN TTEE BALANCED A		S	74,878.23	0.00	57,407.55	-57,407.55	0.0	0.00
05/22/2017 75597135	JOHN W STEINBORN TTEE AMERICAN BALANCED FUND-A		B	74,878.23	1,497.56	41,815.20	-40,317.64	0.0	0.00
05/22/2017 75597173	NELSON, CAROLE	MAINSTAY LARGE CAP GROWTH FUND CLAS S	S	800.00	0.00	1,287.45	-1,287.45	0.0	0.00

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
05/26/2017	75597185	BOL, BEVERLY	PIONEER FUND A	S	231.00	0.00	125.70	-125.70	0.0	0.00
05/12/2017	75597186	ORRIN PROPERTY	GLOBAL OPPORTUNITIES A	B	250,000.00	4,000.00	15.00	3,985.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$696,763.15	\$12,134.91	215,071.20	\$-202,936.29		\$0.00
PRODUCT CATEGORY: STOCKS										
05/25/2017	75597043	RIZZO, MICHAEL	SPRINT CORPORATION COM SER 1	B	8,377.00	80.00	12.00	68.00	0.0	0.00
05/19/2017	75597046	MENZ, SUSAN	CNO FINANCIAL GROUP INC	B	20,358.30	436.26	12.00	424.26	0.0	0.00
05/19/2017	75597046	MENZ, SUSAN	COMCAST CORP CL A	B	19,403.50	373.98	12.00	361.98	0.0	0.00
05/19/2017	75597046	MENZ, SUSAN	OMEROS CORPORATION	S	16,145.20	375.08	12.00	363.08	0.0	0.00
05/18/2017	75597061	LOIACANO, LAURETTE	JOHNSON CONTROLS INTERNATIONAL PLC	S	8,370.68	184.60	12.00	172.60	0.0	0.00
05/18/2017	75597061	LOIACANO, LAURETTE	OMEROS CORPORATION	S	7,401.06	211.52	12.00	199.52	0.0	0.00
05/18/2017	75597081	BLITZ, DAVID	SIRIUS XM HOLDINGS INC COM	B	2,808.88	20.00	12.00	8.00	0.0	0.00
05/18/2017	75597081	BLITZ, DAVID	VUZIX CORP COM NEW	S	2,875.00	20.00	12.00	8.00	0.0	0.00
05/04/2017	75597096	SCHRAM, STEWART	GRUBHUB INC COM	B	4,487.30	20.00	12.00	8.00	0.0	0.00
05/18/2017	75597136	JAMES WEBSTER PLAN	NUVEEN GLOBAL HIGH INCOME FD SHS	S	27,168.65	351.00	12.00	339.00	0.0	0.00
05/18/2017	75597136	JAMES WEBSTER PLAN	VEREIT INC COM	S	7,633.00	251.40	12.00	239.40	0.0	0.00
05/19/2017	75597136	JAMES WEBSTER PLAN	CNO FINANCIAL GROUP INC	B	10,189.10	240.10	12.00	228.10	0.0	0.00
05/09/2017	75597137	VEKOE PARTNERS LLC	ALPHABET INC CLASS A COMMON STOCK	B	4,792.30	25.00	12.00	13.00	0.0	0.00
05/09/2017	75597137	VEKOE PARTNERS LLC	AMAZON.COM INC	B	4,772.40	25.00	12.00	13.00	0.0	0.00
05/09/2017	75597137	VEKOE PARTNERS LLC	MICROSOFT CORP	B	4,961.52	25.00	12.00	13.00	0.0	0.00
05/09/2017	75597137	VEKOE PARTNERS LLC	TENCENT HOLDINGS LIMITED UNSPONSORE	B	4,870.50	25.00	12.00	13.00	0.0	0.00
05/22/2017	75597157	STOTTS, SARA	CNO FINANCIAL GROUP INC	B	10,199.30	150.00	12.00	138.00	0.0	0.00
05/23/2017	75597157	STOTTS, SARA	COMCAST CORP CL A	B	7,955.32	100.00	12.00	88.00	0.0	0.00
05/22/2017	75597161	STOTTS, ALLISON	CNO FINANCIAL GROUP INC	B	15,276.45	245.00	12.00	233.00	0.0	0.00
05/22/2017	75597161	STOTTS, ALLISON	LOCKHEED MARTIN CORP	B	27,716.30	105.00	12.00	93.00	0.0	0.00
05/22/2017	75597161	STOTTS, ALLISON	VEREIT INC COM	S	11,764.50	245.00	12.00	233.00	0.0	0.00
* STOCKS SUBTOTAL *					\$227,526.26	\$3,508.94	\$252.00	\$3,256.94		\$0.00
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS										
05/24/2017	75597015	EDWARDS, PAMELA	UIT GUGGENHEIM DEFINED PORTFOL ZACK B		12,503.77	275.12	12.00	263.12	0.0	0.00
05/24/2017	75597015	EDWARDS, PAMELA	UIT GUGGENHEIM DEFINED PORTFOL SER B		12,506.42	325.22	12.00	313.22	0.0	0.00
05/24/2017	75597016	EDWARDS, PAMELA	UIT GUGGENHEIM DEFINED PORTFOL BLUE B		15,009.34	330.20	12.00	318.20	0.0	0.00
05/24/2017	75597017	ANDRE, BRUCE	UIT GUGGENHEIM DEFINED PORTFOL SER S		32,918.16	0.00	12.00	-12.00	0.0	0.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *					\$72,937.69	\$930.54	\$48.00	\$882.54		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
05/09/2017	75597002	WEINER, MARK	IVY INTERNATIONAL CORE EQUITY FUND B		0.00	3.11	0.00	3.11	0.0	0.00
05/09/2017	75597002	WEINER, MARK	VIRTUS SMALL CAP SUSTAINABLE GROWTH B		0.00	2.22	0.00	2.22	0.0	0.00
05/09/2017	75597002	WEINER, MARK	WELLS FARGO FDS SPL SMALL CAP VALUE B		0.00	2.24	0.00	2.24	0.0	0.00
05/09/2017	75597002	WEINER, MARK	WELLS FARGO SPECIAL MID CAP VALUE F B		0.00	2.16	0.00	2.16	0.0	0.00
05/09/2017	75597003	WEINER, MARK	**HENDERSON INTERNATIONAL	B	0.00	5.55	0.00	5.55	0.0	0.00

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2017	75597003	WEINER, MARK	**VOYA EQUITY TR	B	0.00	3.24	0.00	3.24	0.00
05/09/2017	75597003	WEINER, MARK	IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	5.94	0.00	5.94	0.00
05/09/2017	75597003	WEINER, MARK	NEW WORLD FUND-A	B	0.00	3.14	0.00	3.14	0.00
05/09/2017	75597003	WEINER, MARK	WELLS FARGO FDS SPL SMALL CAP VALUE	B	0.00	5.16	0.00	5.16	0.00
05/09/2017	75597003	WEINER, MARK	WELLS FARGO SPECIAL MID CAP VALUE F	B	0.00	8.78	0.00	8.78	0.00
05/09/2017	75597005	MOUGHAN, PATRICK	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	12.24	0.00	12.24	0.00
05/09/2017	75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS BANKING FD CL A	B	0.00	2.60	0.00	2.60	0.00
05/09/2017	75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS FINL SVCS FD CL A	B	0.00	2.56	0.00	2.56	0.00
05/09/2017	75597007	BRENT, NANCY	CAPITAL INCOME A	B	0.00	18.05	0.00	18.05	0.00
05/09/2017	75597008	VELLON, MICHAEL	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	4.48	0.00	4.48	0.00
05/09/2017	75597008	VELLON, MICHAEL	RYDEX SER FUNDS BANKING FD CL A	B	0.00	4.62	0.00	4.62	0.00
05/09/2017	75597008	VELLON, MICHAEL	RYDEX SER FUNDS FINL SVCS FD CL A	B	0.00	4.60	0.00	4.60	0.00
05/09/2017	75597009	ARNOLD, GENE	TEMP GLOBAL SM CO FND - CL A	B	0.00	9.88	0.00	9.88	0.00
05/09/2017	75597009	ARNOLD, GENE	TEMP WORLD FND - CL A	B	0.00	10.87	0.00	10.87	0.00
05/09/2017	75597013	DANTONIO, JUSTIN	FIDELITY ADVISOR EMERGING MARKETS F	B	0.00	0.52	0.00	0.52	0.00
05/09/2017	75597013	DANTONIO, JUSTIN	FIDELITY COMWLTH TR FIDELITY ADV EV	B	0.00	0.71	0.00	0.71	0.00
05/09/2017	75597014	PAMELA J EDWARDS	TTEE BOND-DEBENTURE FUND CLASS A	B	0.00	5.56	0.00	5.56	0.00
05/09/2017	75597018	BERKSON, DEBORAH	STRATEGIC INCOME A	B	0.00	6.02	0.00	6.02	0.00
05/09/2017	75597021	BERKSON, MARC	FIDELITY ADVISOR HIGH INCOME ADVANT	B	0.00	7.52	0.00	7.52	0.00
05/09/2017	75597021	BERKSON, MARC	MAINSTAY CONVERTIBLE FUND A	B	0.00	3.67	0.00	3.67	0.00
05/09/2017	75597021	BERKSON, MARC	MFS TOTAL RETURN FUND-A	B	0.00	3.19	0.00	3.19	0.00
05/09/2017	75597021	BERKSON, MARC	STRATEGIC INCOME A	B	0.00	0.77	0.00	0.77	0.00
05/09/2017	75597021	BERKSON, MARC	THE INCOME FUND OF AMERICA-A	B	0.00	4.58	0.00	4.58	0.00
05/09/2017	75597021	BERKSON, MARC	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	5.57	0.00	5.57	0.00
05/09/2017	75597022	BERKSON, MARC	INVESTMENT GRADE BOND A	B	0.00	3.53	0.00	3.53	0.00
05/09/2017	75597022	BERKSON, MARC	STRATEGIC INCOME A	B	0.00	0.83	0.00	0.83	0.00
05/09/2017	75597024	PRESKILL, RICHARD	EATON VANCE HIGH YIELD MUNICIPAL IN	B	0.00	9.57	0.00	9.57	0.00
05/09/2017	75597024	PRESKILL, RICHARD	FRANKLIN FEDERAL TAX FREE INCOME FU	B	0.00	7.53	0.00	7.53	0.00
05/09/2017	75597024	PRESKILL, RICHARD	FRANKLIN HIGH-YIELD TAX-FREE INCOME	B	0.00	4.08	0.00	4.08	0.00
05/09/2017	75597024	PRESKILL, RICHARD	FRK AGE HIGH INC FND - CL A	B	0.00	5.12	0.00	5.12	0.00
05/09/2017	75597024	PRESKILL, RICHARD	FRK FL TF INC FND - CL A	B	0.00	12.17	0.00	12.17	0.00
05/09/2017	75597024	PRESKILL, RICHARD	FRK UTIL FND - CL A	B	0.00	33.53	0.00	33.53	0.00
05/09/2017	75597025	PRESKILL, M	STRATEGIC INCOME A	B	0.00	0.39	0.00	0.39	0.00
05/09/2017	75597027	BOUTON, LUCILLE	FRANKLIN INCOME FUND CLASS C	B	0.00	10.50	0.00	10.50	0.00
05/09/2017	75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER CHINA GROWTH FU	B	0.00	2.27	0.00	2.27	0.00
05/09/2017	75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER CHINA GROWTH FU	B	0.00	0.26	0.00	0.26	0.00
05/09/2017	75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER INDIA FUND-CL	B	0.00	0.49	0.00	0.49	0.00
05/09/2017	75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER INDIA FUND-CL	B	0.00	1.89	0.00	1.89	0.00
05/09/2017	75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR LARGE CAP FUND-CL	B	0.00	2.16	0.00	2.16	0.00
05/09/2017	75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR FUNDS 315918326	B	0.00	10.94	0.00	10.94	0.00

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2017	75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	8.41	0.00	8.41	0.0	0.00
05/09/2017	75597028	DUBINSKY, ALEKSANDER	FIDELITY INVESTMENT TRUST ADVISOR J B	0.00	6.64	0.00	6.64	0.0	0.00
05/09/2017	75597028	DUBINSKY, ALEKSANDER	MAINSTAY FDS LARGE CAP GROWTH FD CL B	0.00	5.61	0.00	5.61	0.0	0.00
05/09/2017	75597029	MOSES, GAIL	FRANKLIN GROWTH FUND CLASS A B	0.00	10.91	0.00	10.91	0.0	0.00
05/09/2017	75597029	MOSES, GAIL	FRANKLIN INDIA GROWTH FD FD CL A B	0.00	2.93	0.00	2.93	0.0	0.00
05/09/2017	75597029	MOSES, GAIL	GLOBAL FUND A B	0.00	10.12	0.00	10.12	0.0	0.00
05/09/2017	75597029	MOSES, GAIL	MAIN STREET FUND A B	0.00	5.80	0.00	5.80	0.0	0.00
05/09/2017	75597029	MOSES, GAIL	NEW PERSPECTIVE FUND-A B	0.00	1.40	0.00	1.40	0.0	0.00
05/09/2017	75597029	MOSES, GAIL	TEMP DEV MRKTS TR FND - CL A B	0.00	1.77	0.00	1.77	0.0	0.00
05/09/2017	75597030	MOSES, GAIL	FUNDAMENTAL INVESTORS-A B	0.00	14.10	0.00	14.10	0.0	0.00
05/09/2017	75597030	MOSES, GAIL	NEW PERSPECTIVE FUND-A B	0.00	0.66	0.00	0.66	0.0	0.00
05/09/2017	75597030	MOSES, GAIL	NEW WORLD FUND-A B	0.00	8.65	0.00	8.65	0.0	0.00
05/09/2017	75597030	MOSES, GAIL	THE GROWTH FUND OF AMERICA-A B	0.00	11.30	0.00	11.30	0.0	0.00
05/09/2017	75597030	MOSES, GAIL	THE INVESTMENT COMPANY OF AMERICA-A B	0.00	12.14	0.00	12.14	0.0	0.00
05/09/2017	75597031	MORGAN, STEVEN	FRANKLIN INCOME FUND CLASS A B	0.00	2.14	0.00	2.14	0.0	0.00
05/09/2017	75597032	SCHIPFER, RYAN	AMCAP FUND-C B	0.00	7.12	0.00	7.12	0.0	0.00
05/09/2017	75597032	SCHIPFER, RYAN	GLOBAL BALANCED FUND-C B	0.00	5.34	0.00	5.34	0.0	0.00
05/09/2017	75597032	SCHIPFER, RYAN	INVESTMENT COMPANY OF AMERICA CLASS B	0.00	7.29	0.00	7.29	0.0	0.00
05/09/2017	75597032	SCHIPFER, RYAN	WASHINGTON MUTUAL INVESTORS FUND-C B	0.00	7.28	0.00	7.28	0.0	0.00
05/09/2017	75597034	PERKINSON, DOLORES	MAINSTAY FDS TR FLTG RATE FD CL C B	0.00	3.14	0.00	3.14	0.0	0.00
05/09/2017	75597035	PERKINSON, DOLORES	BLACKROCK FUNDS-LOW DURATION BOND P B	0.00	0.11	0.00	0.11	0.0	0.00
05/09/2017	75597035	PERKINSON, DOLORES	BLACKROCK ENERGY & RESOURCES PORTFO B	0.00	3.54	0.00	3.54	0.0	0.00
05/09/2017	75597035	PERKINSON, DOLORES	BLACKROCK GLOBAL ALLOCATION FD INC B	0.00	8.16	0.00	8.16	0.0	0.00
05/09/2017	75597035	PERKINSON, DOLORES	FRANKLIN U.S. GOVERNMENT SECURITIES B	0.00	2.49	0.00	2.49	0.0	0.00
05/09/2017	75597036	ROBERTSON, DANIEL	BOND-DEBENTURE FUND CLASS A B	0.00	2.41	0.00	2.41	0.0	0.00
05/09/2017	75597036	ROBERTSON, DANIEL	LORD ABBETT CALIBRATED DIVIDEND GRO B	0.00	2.49	0.00	2.49	0.0	0.00
05/09/2017	75597036	ROBERTSON, DANIEL	LORD ABBETT ALPHA STRATEGY FUND CL B	0.00	4.49	0.00	4.49	0.0	0.00
05/09/2017	75597036	ROBERTSON, DANIEL	LORD ABBETT INVT TR FLTG RATE FD CL B	0.00	2.68	0.00	2.68	0.0	0.00
05/09/2017	75597041	ZIMBALIST, NAOMI	FINANCIAL INDUSTRIES A B	0.00	8.79	0.00	8.79	0.0	0.00
05/09/2017	75597041	ZIMBALIST, NAOMI	GOLD & SPECIAL MINERALS A B	0.00	1.02	0.00	1.02	0.0	0.00
05/09/2017	75597041	ZIMBALIST, NAOMI	JOHN HANCOCK FDS III GLOBAL SHAREHO B	0.00	2.88	0.00	2.88	0.0	0.00
05/09/2017	75597041	ZIMBALIST, NAOMI	OPPENHEIMER GLOBAL VALUE FD SHS CL B	0.00	3.70	0.00	3.70	0.0	0.00
05/09/2017	75597041	ZIMBALIST, NAOMI	OPPENHEIMER AMT FREE MUNICIPALS FUN B	0.00	59.02	0.00	59.02	0.0	0.00
05/09/2017	75597041	ZIMBALIST, NAOMI	REGIONAL BANK A B	0.00	14.03	0.00	14.03	0.0	0.00
05/09/2017	75597042	ZIMBALIST, NAOMI	TOUCHSTONE INVT TRUST ACTIVE BOND F B	0.00	4.17	0.00	4.17	0.0	0.00
05/09/2017	75597043	RIZZO, MICHAEL	MAINSTAY FDS TR FLTG RATE FD CL C B	0.00	21.22	0.00	21.22	0.0	0.00
05/09/2017	75597043	RIZZO, MICHAEL	VIRTUS MID-CAP GROWTH FUND CLASS A B	0.00	7.21	0.00	7.21	0.0	0.00
05/09/2017	75597044	RIZZO, MICHAEL	CAPITAL WORLD GROWTH & INCOME FUND B	0.00	0.46	0.00	0.46	0.0	0.00
05/09/2017	75597044	RIZZO, MICHAEL	EUROPACIFIC GROWTH FUND CLASS C B	0.00	1.03	0.00	1.03	0.0	0.00
05/09/2017	75597044	RIZZO, MICHAEL	GROWTH FUND OF AMERICA CLASS F B	0.00	0.63	0.00	0.63	0.0	0.00

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2017	75597044	RIZZO, MICHAEL	NEW PERSPECTIVE FUND INC CL F1	B	0.00	0.51	0.00	0.51	0.00
05/09/2017	75597044	RIZZO, MICHAEL	NEW WORLD FUND-C	B	0.00	1.71	0.00	1.71	0.00
05/09/2017	75597044	RIZZO, MICHAEL	VIRTUS MID-CAP GROWTH FUND CLASS A	B	0.00	2.25	0.00	2.25	0.00
05/09/2017	75597044	RIZZO, MICHAEL	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.04	0.00	1.04	0.00
05/09/2017	75597045	MATEGRANO, ALBERT	MAINSTAY HIGH-YIELD CORPORATE BOND	B	0.00	0.45	0.00	0.45	0.00
05/09/2017	75597046	MENZ, SUSAN	BLACKROCK HEALTH SCIENCES FUND CLAS B	B	0.00	2.82	0.00	2.82	0.00
05/09/2017	75597046	MENZ, SUSAN	BOND-DEBENTURE FUND CLASS A	B	0.00	3.00	0.00	3.00	0.00
05/09/2017	75597048	HESSELBERG, SHIRLEY	FRANKLIN FEDERAL TAX FREE INCOME FU B	B	0.00	1.27	0.00	1.27	0.00
05/09/2017	75597048	HESSELBERG, SHIRLEY	INVESTMENT COMPANY OF AMERICA CLASS B	B	0.00	14.68	0.00	14.68	0.00
05/09/2017	75597048	HESSELBERG, SHIRLEY	IVY FDS INC LARGE CAP GROWTH FD CL B	B	0.00	14.67	0.00	14.67	0.00
05/09/2017	75597048	HESSELBERG, SHIRLEY	SENTINEL GROUP FDS INC SENTINEL SMA B	B	0.00	5.61	0.00	5.61	0.00
05/09/2017	75597048	HESSELBERG, SHIRLEY	THORNBURG INVT TR INTL VALUE FD CL B	B	0.00	2.85	0.00	2.85	0.00
05/09/2017	75597049	ELLIS, SHARON	INTERMEDIATE BOND FUND AMER CL F1	B	0.00	2.42	0.00	2.42	0.00
05/09/2017	75597049	ELLIS, SHARON	PUTNAM 746802206	B	0.00	1.92	0.00	1.92	0.00
05/09/2017	75597049	ELLIS, SHARON	PUTNAM FUND FOR GROWTH & INCOME CLA B	B	0.00	5.30	0.00	5.30	0.00
05/09/2017	75597049	ELLIS, SHARON	PUTNAM NEW OPPORTUNITIES FUND CLASS B	B	0.00	2.60	0.00	2.60	0.00
05/09/2017	75597054	HARRIS, REBECCA	AIG FOCUSED DIVIDEND STRATEGY FUND B	B	0.00	3.11	0.00	3.11	0.00
05/09/2017	75597054	HARRIS, REBECCA	EMERGING GROWTH FUND A	B	0.00	3.25	0.00	3.25	0.00
05/09/2017	75597054	HARRIS, REBECCA	IVY INTERNATIONAL CORE EQUITY FUND B	B	0.00	1.22	0.00	1.22	0.00
05/09/2017	75597054	HARRIS, REBECCA	THE HARTFORD DIVIDEND & GROWTH FUND B	B	0.00	3.17	0.00	3.17	0.00
05/09/2017	75597054	HARRIS, REBECCA	WELLS FARGO FDS SPL SMALL CAP VALUE B	B	0.00	2.20	0.00	2.20	0.00
05/09/2017	75597054	HARRIS, REBECCA	WELLS FARGO SPECIAL MID CAP VALUE F B	B	0.00	3.42	0.00	3.42	0.00
05/09/2017	75597055	HARRIS, REBECCA	AIG FOCUSED DIVIDEND STRATEGY FUND B	B	0.00	0.44	0.00	0.44	0.00
05/09/2017	75597055	HARRIS, REBECCA	EMERGING GROWTH FUND A	B	0.00	0.43	0.00	0.43	0.00
05/09/2017	75597055	HARRIS, REBECCA	THE HARTFORD DIVIDEND & GROWTH FUND B	B	0.00	0.51	0.00	0.51	0.00
05/09/2017	75597055	HARRIS, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	1.33	0.00	1.33	0.00
05/09/2017	75597055	HARRIS, REBECCA	WELLS FARGO FDS SPL SMALL CAP VALUE B	B	0.00	0.33	0.00	0.33	0.00
05/09/2017	75597055	HARRIS, REBECCA	WELLS FARGO SPECIAL MID CAP VALUE F B	B	0.00	0.46	0.00	0.46	0.00
05/09/2017	75597059	LUKASIK, CAROLINE	FRANKLIN GROWTH FUND CLASS A	B	0.00	13.51	0.00	13.51	0.00
05/09/2017	75597059	LUKASIK, CAROLINE	TEMP GLOBAL BOND FND - CL A	B	0.00	6.99	0.00	6.99	0.00
05/09/2017	75597060	BROOKS, JOYCE	PIONEER VALUE FUND A	B	0.00	1.28	0.00	1.28	0.00
05/09/2017	75597061	LOIACANO, LAURETTE	BLACKROCK HEALTH SCIENCES FUND CLAS B	B	0.00	2.08	0.00	2.08	0.00
05/09/2017	75597062	RAGHIAN, PARISA	AMERICAN BALANCED FUND-A	B	0.00	1.19	0.00	1.19	0.00
05/09/2017	75597062	RAGHIAN, PARISA	AMERICAN FUNDS 02629W106	B	0.00	0.96	0.00	0.96	0.00
05/09/2017	75597062	RAGHIAN, PARISA	FUNDAMENTAL INVESTORS-A	B	0.00	1.33	0.00	1.33	0.00
05/09/2017	75597062	RAGHIAN, PARISA	NEW PERSPECTIVE FUND-A	B	0.00	1.14	0.00	1.14	0.00
05/09/2017	75597062	RAGHIAN, PARISA	THE GROWTH FUND OF AMERICA-A	B	0.00	1.37	0.00	1.37	0.00
05/09/2017	75597062	RAGHIAN, PARISA	U.S. GOVERNMENT SECURITIES FUND CLA B	B	0.00	0.61	0.00	0.61	0.00
05/09/2017	75597063	PASCUA, MICHAEL	FUNDAMENTAL INVESTORS-C	B	0.00	0.43	0.00	0.43	0.00
05/09/2017	75597063	PASCUA, MICHAEL	NEW PERSPECTIVE FUND-C	B	0.00	0.42	0.00	0.42	0.00

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2017	75597067	REZNICEK, DELWYN	MAIN STREET FUND C	B	0.00	64.64	0.00	64.64	0.00
05/09/2017	75597068	SACKHEIM, KAREN	CLEARBRIDGE MID CAP FUND CLASS C	B	0.00	5.51	0.00	5.51	0.00
05/09/2017	75597068	SACKHEIM, KAREN	DAVIS NEW YORK VENTURE CLASS C	B	0.00	9.35	0.00	9.35	0.00
05/09/2017	75597068	SACKHEIM, KAREN	LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	6.42	0.00	6.42	0.00
05/09/2017	75597069	SACKHEIM, KAREN	BLACKROCK GLOBAL ALLOCATION FD INC	B	0.00	6.17	0.00	6.17	0.00
05/09/2017	75597069	SACKHEIM, KAREN	DAVIS NEW YORK VENTURE CLASS C	B	0.00	2.68	0.00	2.68	0.00
05/09/2017	75597072	JAMES R MENZ TTEE	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	1.94	0.00	1.94	0.00
05/09/2017	75597072	JAMES R MENZ TTEE	BOND-DEBENTURE FUND CLASS A	B	0.00	5.08	0.00	5.08	0.00
05/09/2017	75597074	WILKOS, STANLEY	GOLDMAN SACHS GROWTH & INCOME FUND	B	0.00	2.87	0.00	2.87	0.00
05/09/2017	75597074	WILKOS, STANLEY	GOLDMAN SACHS CAPITAL GROWTH FUND C	B	0.00	4.79	0.00	4.79	0.00
05/09/2017	75597075	VONEY, SUSAN	AMERICAN BALANCED FUND INC CL F1	B	0.00	5.91	0.00	5.91	0.00
05/09/2017	75597075	VONEY, SUSAN	FRANKLIN CONVERTIBLE SECS FUND CLAS	B	0.00	5.76	0.00	5.76	0.00
05/09/2017	75597075	VONEY, SUSAN	FRANKLIN INCOME FUND CLASS A	B	0.00	4.07	0.00	4.07	0.00
05/09/2017	75597075	VONEY, SUSAN	FRANKLIN TEMPLETON FUNDS CUST FDS U	B	0.00	0.06	0.00	0.06	0.00
05/09/2017	75597075	VONEY, SUSAN	FRK UTIL FND - CL A	B	0.00	3.12	0.00	3.12	0.00
05/09/2017	75597075	VONEY, SUSAN	INVESTMENT CO AMER CL F1	B	0.00	6.76	0.00	6.76	0.00
05/09/2017	75597076	DAVIS, MARGARET	MID-CAP VALUE FUND CLASS A	B	0.00	2.97	0.00	2.97	0.00
05/09/2017	75597077	DAVIS, MARGARET	AMERICAN MUTUAL FUND-A	B	0.00	3.71	0.00	3.71	0.00
05/09/2017	75597077	DAVIS, MARGARET	AMERICAN MUTUAL FUND-R4	B	0.00	1.24	0.00	1.24	0.00
05/09/2017	75597077	DAVIS, MARGARET	FRANKLIN/TEMPLETON FUNDS 88020E100	B	0.00	1.56	0.00	1.56	0.00
05/09/2017	75597077	DAVIS, MARGARET	NEW WORLD FUND-A	B	0.00	0.64	0.00	0.64	0.00
05/09/2017	75597077	DAVIS, MARGARET	PUTNAM 746745108	B	0.00	6.16	0.00	6.16	0.00
05/09/2017	75597077	DAVIS, MARGARET	THE GROWTH FUND OF AMERICA-A	B	0.00	2.85	0.00	2.85	0.00
05/09/2017	75597078	DAVIS, MARGARET	AMERICAN BALANCED FUND-A	B	0.00	5.39	0.00	5.39	0.00
05/09/2017	75597078	DAVIS, MARGARET	GOLD & SPECIAL MINERALS A	B	0.00	0.53	0.00	0.53	0.00
05/09/2017	75597078	DAVIS, MARGARET	OPPENHEIMER SMALL & MID CAP VALUE F	B	0.00	1.80	0.00	1.80	0.00
05/09/2017	75597078	DAVIS, MARGARET	THE INCOME FUND OF AMERICA-A	B	0.00	4.20	0.00	4.20	0.00
05/09/2017	75597079	OWERKO, JOSEPH	AMERICAN MUTUAL FUND-C	B	0.00	1.05	0.00	1.05	0.00
05/09/2017	75597079	OWERKO, JOSEPH	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	1.79	0.00	1.79	0.00
05/09/2017	75597082	LAYSTROM, JAMES	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	3.44	0.00	3.44	0.00
05/09/2017	75597082	LAYSTROM, JAMES	LORD ABBETT MULTI ASSET GLOBAL OPPO	B	0.00	2.20	0.00	2.20	0.00
05/09/2017	75597084	MAYBERRY, DWIGHT	AMERICAN BALANCED FUND-A	B	0.00	3.51	0.00	3.51	0.00
05/09/2017	75597087	FLANNERY JR, ROBERT	FIDELITY ADV EQUITY GROWTH CL A	B	0.00	44.46	0.00	44.46	0.00
05/09/2017	75597087	FLANNERY JR, ROBERT	FIDELITY ADVISOR FUNDS 315807834	B	0.00	29.64	0.00	29.64	0.00
05/09/2017	75597087	FLANNERY JR, ROBERT	FIDELITY ADVISOR SER VII BIOTECHNOL	B	0.00	32.48	0.00	32.48	0.00
05/09/2017	75597087	FLANNERY JR, ROBERT	FIDELITY ADVISOR VALUE STRATEGIES C	B	0.00	24.37	0.00	24.37	0.00
05/09/2017	75597089	BORKHOVA, ALLA	EATON VANCE GREATER CHINA GROWTH FU	B	0.00	1.44	0.00	1.44	0.00
05/09/2017	75597089	BORKHOVA, ALLA	EATON VANCE GREATER INDIA FUND-CL A	B	0.00	1.30	0.00	1.30	0.00
05/09/2017	75597089	BORKHOVA, ALLA	FIDELITY ADVISOR FOCUS FUNDS HEALTH	B	0.00	1.66	0.00	1.66	0.00
05/09/2017	75597089	BORKHOVA, ALLA	MAINSTAY FDS LARGE CAP GROWTH FD CL	B	0.00	5.84	0.00	5.84	0.00

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2017	75597090	MONAGHAN, JOYCE	CAPITAL INCOME A	B	0.00	10.67	0.00	10.67	0.00
05/09/2017	75597090	MONAGHAN, JOYCE	FRANKLIN U.S. GOVERNMENT SECURITIES B		0.00	5.80	0.00	5.80	0.00
05/09/2017	75597090	MONAGHAN, JOYCE	NEW PERSPECTIVE FUND-A	B	0.00	18.73	0.00	18.73	0.00
05/09/2017	75597090	MONAGHAN, JOYCE	THE INVESTMENT COMPANY OF AMERICA-A B		0.00	16.62	0.00	16.62	0.00
05/09/2017	75597091	BOL, BEVERLY	CAPITAL APPRECIATION A	B	0.00	7.21	0.00	7.21	0.00
05/09/2017	75597091	BOL, BEVERLY	MAIN STREET FUND A	B	0.00	7.40	0.00	7.40	0.00
05/09/2017	75597092	CLARK JR, PHILLIP	FRK STRAT INC FND - CL A	B	0.00	2.14	0.00	2.14	0.00
05/09/2017	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR SMALL CAP FUND CLA B		0.00	1.25	0.00	1.25	0.00
05/09/2017	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR MID CAP II FUND CL B		0.00	1.21	0.00	1.21	0.00
05/09/2017	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR INDUSTRIALS CL A B		0.00	1.31	0.00	1.31	0.00
05/09/2017	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR DIVERSIFIED INTL F B		0.00	1.59	0.00	1.59	0.00
05/09/2017	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR SMALL CAP FUND CLA B		0.00	2.30	0.00	2.30	0.00
05/09/2017	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR MID CAP II FUND CL B		0.00	2.45	0.00	2.45	0.00
05/09/2017	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR INDUSTRIALS CL A B		0.00	2.68	0.00	2.68	0.00
05/09/2017	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR DIVERSIFIED INTL F B		0.00	1.98	0.00	1.98	0.00
05/09/2017	75597095	BRENT, GEORGE	CAPITAL INCOME A	B	0.00	9.81	0.00	9.81	0.00
05/09/2017	75597097	ZILZ, SUSAN	JPMORGAN INVESTOR GROWTH FD CL A B		0.00	6.24	0.00	6.24	0.00
05/09/2017	75597098	SODERBERG, VIRGINIA	FRANKLIN HIGH-YIELD TAX-FREE INCOME B		0.00	1.63	0.00	1.63	0.00
05/09/2017	75597098	SODERBERG, VIRGINIA	TOUCHSTONE INVT TRUST ACTIVE BOND F B		0.00	5.71	0.00	5.71	0.00
05/09/2017	75597099	VERENSKI, DANIEL	FRANKLIN FEDERAL TAX FREE INCOME FU B		0.00	15.78	0.00	15.78	0.00
05/09/2017	75597100	BRUNO, CAROL	FRANKLIN INCOME FUND CLASS A	B	0.00	8.03	0.00	8.03	0.00
05/09/2017	75597101	STEINBORN, DEBRA	AMCAP FUND-A	B	0.00	3.71	0.00	3.71	0.00
05/09/2017	75597101	STEINBORN, DEBRA	AMERICAN BALANCED FUND-A	B	0.00	6.02	0.00	6.02	0.00
05/09/2017	75597101	STEINBORN, DEBRA	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	2.43	0.00	2.43	0.00
05/09/2017	75597102	COHEN, MITCHELL	JOHN HANCOCK FDS III STRATEGIC GROW B		0.00	1.19	0.00	1.19	0.00
05/09/2017	75597103	LUKASIK, ALEXANDER	FRANKLIN FEDERAL TAX FREE INCOME FU B		0.00	53.00	0.00	53.00	0.00
05/09/2017	75597104	WILKOS, JEANETTE	FRK AGE HIGH INC FND - CL A	B	0.00	13.85	0.00	13.85	0.00
05/09/2017	75597104	WILKOS, JEANETTE	REGIONAL BANK A	B	0.00	1.41	0.00	1.41	0.00
05/09/2017	75597104	WILKOS, JEANETTE	THE INVESTMENT COMPANY OF AMERICA-A B		0.00	31.46	0.00	31.46	0.00
05/09/2017	75597104	WILKOS, JEANETTE	U.S. GOVERNMENT SECURITIES FUND CLA B		0.00	7.75	0.00	7.75	0.00
05/09/2017	75597104	WILKOS, JEANETTE	WASHINGTON MUTUAL INVESTORS FUND-A B		0.00	22.73	0.00	22.73	0.00
05/09/2017	75597105	DAVIS, DONALD	FRK GOLD FUND - CLASS A	B	0.00	1.70	0.00	1.70	0.00
05/09/2017	75597105	DAVIS, DONALD	FUNDAMENTAL INVESTORS-A	B	0.00	52.00	0.00	52.00	0.00
05/09/2017	75597105	DAVIS, DONALD	NEW PERSPECTIVE FUND-A	B	0.00	51.63	0.00	51.63	0.00
05/09/2017	75597105	DAVIS, DONALD	REGIONAL BANK A	B	0.00	22.63	0.00	22.63	0.00
05/09/2017	75597105	DAVIS, DONALD	STRATEGIC INCOME A	B	0.00	9.92	0.00	9.92	0.00
05/09/2017	75597105	DAVIS, DONALD	THE NEW ECONOMY FUND-A	B	0.00	45.34	0.00	45.34	0.00
05/09/2017	75597106	MORGAN, LESTER	AMCAP FUND-A	B	0.00	13.08	0.00	13.08	0.00
05/09/2017	75597106	MORGAN, LESTER	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	15.34	0.00	15.34	0.00
05/09/2017	75597106	MORGAN, LESTER	FIRST EAGLE GOLD FUND CLASS A	B	0.00	11.25	0.00	11.25	0.00

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2017	75597106	MORGAN, LESTER		FRANKLIN HIGH-YIELD TAX-FREE INCOME B	0.00	12.00	0.00	12.00	0.00
05/09/2017	75597106	MORGAN, LESTER		HANCOCK JOHN CAP SER GRTR CHINA OPP B	0.00	9.71	0.00	9.71	0.00
05/09/2017	75597106	MORGAN, LESTER		NEW PERSPECTIVE FUND-A	B	0.00	98.28	0.00	98.28
05/09/2017	75597106	MORGAN, LESTER		REGIONAL BANK A	B	0.00	4.64	0.00	4.64
05/09/2017	75597106	MORGAN, LESTER		TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	17.79	0.00	17.79
05/09/2017	75597107	MORGAN, LESTER		AMCAP FUND-A	B	0.00	5.37	0.00	5.37
05/09/2017	75597107	MORGAN, LESTER		THE INVESTMENT COMPANY OF AMERICA-A B		0.00	25.59	0.00	25.59
05/09/2017	75597109	KROL, DANIELLE		WELLS FARGO ENTERPRISE FUND CL A	B	0.00	35.34	0.00	35.34
05/09/2017	75597110	KROL, DANIELLE		FIDELITY ADV EQUITY GROWTH CL A	B	0.00	4.51	0.00	4.51
05/09/2017	75597112	HOWELL, DONALD		FRANKLIN GROWTH FUND CLASS A	B	0.00	8.69	0.00	8.69
05/09/2017	75597112	HOWELL, DONALD		FRK VAL FND - CL A	B	0.00	5.18	0.00	5.18
05/09/2017	75597112	HOWELL, DONALD		MASS INVESTORS GROWTH STOCK FUND-A B		0.00	22.53	0.00	22.53
05/09/2017	75597112	HOWELL, DONALD		MFS EMERGING GROWTH FUND CLASS A	B	0.00	1.93	0.00	1.93
05/09/2017	75597112	HOWELL, DONALD		MFS NEW DISCOVERY FUND-A	B	0.00	39.52	0.00	39.52
05/09/2017	75597114	SPALDING, MARY		FRANKLIN INCOME FUND CLASS A	B	0.00	34.67	0.00	34.67
05/09/2017	75597118	GREENE, M HOWARD		FRANKLIN HIGH-YIELD TAX-FREE INCOME B		0.00	21.22	0.00	21.22
05/09/2017	75597118	GREENE, M HOWARD		FRANKLIN/TEMPLETON FUNDS 353612690 B		0.00	6.42	0.00	6.42
05/09/2017	75597118	GREENE, M HOWARD		LORD ABBETT SHORT DURATION INCOME F B		0.00	6.57	0.00	6.57
05/09/2017	75597119	GREENE, M HOWARD		FRANKLIN U.S. GOVERNMENT SECURITIES B		0.00	2.25	0.00	2.25
05/09/2017	75597120	KINGERY, DEBORAH		**PRUDENTIAL GLOBAL REAL	B	0.00	0.28	0.00	0.28
05/09/2017	75597120	KINGERY, DEBORAH		**PRUDENTIAL JENNISON NATURAL	B	0.00	0.29	0.00	0.29
05/09/2017	75597120	KINGERY, DEBORAH		CAPITAL INCOME BUILDER-A	B	0.00	0.31	0.00	0.31
05/09/2017	75597120	KINGERY, DEBORAH		FIRST EAGLE OVERSEAS FUND CLASS A	B	0.00	0.95	0.00	0.95
05/09/2017	75597120	KINGERY, DEBORAH		FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	0.94	0.00	0.94
05/09/2017	75597120	KINGERY, DEBORAH		LORD ABBETT SECS TR VALUE OPPTYS FD B		0.00	0.63	0.00	0.63
05/09/2017	75597120	KINGERY, DEBORAH		OPPENHEIMER SMALL & MID CAP VALUE F B		0.00	0.82	0.00	0.82
05/09/2017	75597120	KINGERY, DEBORAH		THE GROWTH FUND OF AMERICA-A	B	0.00	0.62	0.00	0.62
05/09/2017	75597123	AMBERG, JOHN		ALLIANZ NFJ SMALL CAP VALUE FUND CL B		0.00	0.43	0.00	0.43
05/09/2017	75597123	AMBERG, JOHN		AMERICAN BALANCED FUND-C	B	0.00	0.98	0.00	0.98
05/09/2017	75597123	AMBERG, JOHN		FUNDAMENTAL INVESTORS-A	B	0.00	0.96	0.00	0.96
05/09/2017	75597123	AMBERG, JOHN		FUNDAMENTAL INVESTORS-C	B	0.00	1.06	0.00	1.06
05/09/2017	75597123	AMBERG, JOHN		INVESTMENT COMPANY OF AMERICA CLASS B		0.00	2.12	0.00	2.12
05/09/2017	75597123	AMBERG, JOHN		JOHN HANCOCK REGIONAL BANK FUND CL B		0.00	1.23	0.00	1.23
05/09/2017	75597123	AMBERG, JOHN		JOHN HANCOCK FINANCIAL INDS FUND CL B		0.00	0.98	0.00	0.98
05/09/2017	75597123	AMBERG, JOHN		JOHN HANCOCK FDS II MLTMNGR LFSTYL B		0.00	1.78	0.00	1.78
05/09/2017	75597123	AMBERG, JOHN		JOHN HANCOCK FDS II MLTMNGR LFSTYL B		0.00	3.76	0.00	3.76
05/09/2017	75597123	AMBERG, JOHN		LIFESTYLE MODERATE PORTFOLIO C	B	0.00	2.25	0.00	2.25
05/09/2017	75597123	AMBERG, JOHN		NEW PERSPECTIVE FUND-A	B	0.00	1.31	0.00	1.31
05/09/2017	75597123	AMBERG, JOHN		NEW PERSPECTIVE FUND-C	B	0.00	0.95	0.00	0.95
05/09/2017	75597123	AMBERG, JOHN		THE GROWTH FUND OF AMERICA-A	B	0.00	0.95	0.00	0.95

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2017	75597123	AMBERG, JOHN	THE GROWTH FUND OF AMERICA-C	B	0.00	1.07	0.00	1.07	0.00
05/09/2017	75597123	AMBERG, JOHN	THE NEW ECONOMY FUND-A	B	0.00	0.45	0.00	0.45	0.00
05/09/2017	75597123	AMBERG, JOHN	VOYA GLOBAL EQUITY FUND CLASS A	B	0.00	0.61	0.00	0.61	0.00
05/09/2017	75597123	AMBERG, JOHN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.61	0.00	1.61	0.00
05/09/2017	75597123	AMBERG, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	4.09	0.00	4.09	0.00
05/09/2017	75597124	BROOKS, JOYCE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	2.19	0.00	2.19	0.00
05/09/2017	75597125	SHAVITZ, ERNEST	FRANKLIN TAX FREE TR FEDERAL INTER	B	0.00	99.11	0.00	99.11	0.00
05/09/2017	75597125	SHAVITZ, ERNEST	MAINSTAY FDS HIGH YIELD CORPORATE-C	B	0.00	36.81	0.00	36.81	0.00
05/09/2017	75597129	ELLIS, HARRY	FRK GOLD FUND - CLASS A	B	0.00	1.04	0.00	1.04	0.00
05/09/2017	75597129	ELLIS, HARRY	JOHN HANCOCK REGIONAL BANK FUND CL	B	0.00	111.22	0.00	111.22	0.00
05/09/2017	75597129	ELLIS, HARRY	OPPENHEIMER SENIOR FLOATING RATE FU B	B	0.00	27.53	0.00	27.53	0.00
05/09/2017	75597129	ELLIS, HARRY	TEMP DEV MRKTS TR FND - CL A	B	0.00	3.23	0.00	3.23	0.00
05/09/2017	75597131	MICHAEL RIZZO TTEE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	11.92	0.00	11.92	0.00
05/09/2017	75597131	MICHAEL RIZZO TTEE	FUNDAMENTAL INVESTORS-A	B	0.00	15.61	0.00	15.61	0.00
05/09/2017	75597131	MICHAEL RIZZO TTEE	NEW PERSPECTIVE FUND-A	B	0.00	23.84	0.00	23.84	0.00
05/09/2017	75597131	MICHAEL RIZZO TTEE	THE GROWTH FUND OF AMERICA-A	B	0.00	35.70	0.00	35.70	0.00
05/09/2017	75597131	MICHAEL RIZZO TTEE	VIRTUS MID-CAP GROWTH FUND CLASS A	B	0.00	10.10	0.00	10.10	0.00
05/09/2017	75597132	SHAVITZ, EVELYN	FRANKLIN TAX FREE TR FEDERAL INTER	B	0.00	80.27	0.00	80.27	0.00
05/09/2017	75597135	JOHN W STEINBORN TTEE	AMCAP FUND-A	B	0.00	6.49	0.00	6.49	0.00
05/09/2017	75597135	JOHN W STEINBORN TTEE	AMERICAN BALANCED FUND-A	B	0.00	14.74	0.00	14.74	0.00
05/09/2017	75597135	JOHN W STEINBORN TTEE	BALANCED A	B	0.00	14.26	0.00	14.26	0.00
05/09/2017	75597135	JOHN W STEINBORN TTEE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	8.54	0.00	8.54	0.00
05/09/2017	75597135	JOHN W STEINBORN TTEE	FINANCIAL INDUSTRIES A	B	0.00	4.95	0.00	4.95	0.00
05/09/2017	75597135	JOHN W STEINBORN TTEE	JOHN HANCOCK INVT TR DISCIPLINED VA B	B	0.00	5.50	0.00	5.50	0.00
05/09/2017	75597135	JOHN W STEINBORN TTEE	LARGE CAP EQUITY A	B	0.00	17.78	0.00	17.78	0.00
05/09/2017	75597135	JOHN W STEINBORN TTEE	SMALLCAP WORLD FUND-A	B	0.00	17.22	0.00	17.22	0.00
05/09/2017	75597135	JOHN W STEINBORN TTEE	THE INCOME FUND OF AMERICA-A	B	0.00	5.60	0.00	5.60	0.00
05/09/2017	75597136	JAMES WEBSTER PLAN	BLACKROCK HEALTH SCIENCES FUND CLAS B	B	0.00	19.26	0.00	19.26	0.00
05/09/2017	75597136	JAMES WEBSTER PLAN	BOND-DEBENTURE FUND CLASS A	B	0.00	8.86	0.00	8.86	0.00
05/09/2017	75597136	JAMES WEBSTER PLAN	LORD ABBETT ALPHA STRATEGY FUND CL	B	0.00	10.66	0.00	10.66	0.00
05/09/2017	75597136	JAMES WEBSTER PLAN	RYDEX SER FUNDS BANKING FD CL A	B	0.00	13.60	0.00	13.60	0.00
05/09/2017	75597136	JAMES WEBSTER PLAN	RYDEX SER FUNDS FINL SVCS FD CL A	B	0.00	13.76	0.00	13.76	0.00
05/09/2017	75597138	WEINER, PATRICIA	IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	0.41	0.00	0.41	0.00
05/09/2017	75597138	WEINER, PATRICIA	PUTNAM GLOBAL EQUITY FUND CLASS A	B	0.00	0.16	0.00	0.16	0.00
05/09/2017	75597138	WEINER, PATRICIA	WELLS FARGO SPECIAL MID CAP VALUE F	B	0.00	0.43	0.00	0.43	0.00
05/09/2017	75597139	WEINER, PATRICIA	AIG FOCUSED DIVIDEND STRATEGY FUND	B	0.00	7.07	0.00	7.07	0.00
05/09/2017	75597139	WEINER, PATRICIA	EMERGING GROWTH FUND A	B	0.00	2.13	0.00	2.13	0.00
05/09/2017	75597139	WEINER, PATRICIA	IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	3.16	0.00	3.16	0.00
05/09/2017	75597139	WEINER, PATRICIA	LORD ABBETT SHORT DURATION INCOME F	B	0.00	1.17	0.00	1.17	0.00
05/09/2017	75597139	WEINER, PATRICIA	THE HARTFORD DIVIDEND & GROWTH FUND B	B	0.00	6.43	0.00	6.43	0.00

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2017	75597139	WEINER, PATRICIA		VIRTUS SMALL CAP SUSTAINABLE GROWTH B	0.00	1.11	0.00	1.11	0.00
05/09/2017	75597139	WEINER, PATRICIA		WELLS FARGO FDS SPL SMALL CAP VALUE B	0.00	2.24	0.00	2.24	0.00
05/09/2017	75597141	ADLER, JULIE		LIFESTYLE GROWTH PORTFOLIO A B	0.00	0.95	0.00	0.95	0.00
05/09/2017	75597141	ADLER, JULIE		LIFESTYLE BALANCED PORTFOLIO A B	0.00	0.92	0.00	0.92	0.00
05/09/2017	75597142	ADLER, JULIE		AMERICAN BALANCED FUND-C B	0.00	0.94	0.00	0.94	0.00
05/09/2017	75597142	ADLER, JULIE		AMERICAN MUTUAL FUND-C B	0.00	2.94	0.00	2.94	0.00
05/09/2017	75597142	ADLER, JULIE		FUNDAMENTAL INVESTORS-C B	0.00	4.10	0.00	4.10	0.00
05/09/2017	75597142	ADLER, JULIE		INVESTMENT COMPANY OF AMERICA CLASS B	0.00	4.06	0.00	4.06	0.00
05/09/2017	75597142	ADLER, JULIE		NEW PERSPECTIVE FUND-C B	0.00	2.74	0.00	2.74	0.00
05/09/2017	75597142	ADLER, JULIE		WASHINGTON MUTUAL INVESTORS FUND-C B	0.00	4.00	0.00	4.00	0.00
05/09/2017	75597143	ADLER, JULIE		FUNDAMENTAL INVESTORS-A B	0.00	0.55	0.00	0.55	0.00
05/09/2017	75597143	ADLER, JULIE		NEW PERSPECTIVE FUND-A B	0.00	0.57	0.00	0.57	0.00
05/09/2017	75597143	ADLER, JULIE		WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	0.52	0.00	0.52	0.00
05/09/2017	75597145	WEBSTER, JAMES		BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	5.94	0.00	5.94	0.00
05/09/2017	75597148	PARRY, CHARLENE		FRANKLIN FEDERAL TAX FREE INCOME FU B	0.00	16.43	0.00	16.43	0.00
05/09/2017	75597149	PARRY, CHARLENE		FRANKLIN GROWTH FUND CLASS A B	0.00	8.89	0.00	8.89	0.00
05/09/2017	75597151	BLITZ, EDWIN		GOLD & SPECIAL MINERALS A B	0.00	2.24	0.00	2.24	0.00
05/09/2017	75597152	LEE, MICHELLE		CAPITAL INCOME BUILDER-A B	0.00	2.86	0.00	2.86	0.00
05/09/2017	75597152	LEE, MICHELLE		CAPITAL WORLD BOND FUND-A B	0.00	0.87	0.00	0.87	0.00
05/09/2017	75597152	LEE, MICHELLE		FUNDAMENTAL INVESTORS-A B	0.00	3.58	0.00	3.58	0.00
05/09/2017	75597152	LEE, MICHELLE		NEW WORLD FUND-A B	0.00	2.85	0.00	2.85	0.00
05/09/2017	75597152	LEE, MICHELLE		SMALLCAP WORLD FUND-A B	0.00	4.02	0.00	4.02	0.00
05/09/2017	75597152	LEE, MICHELLE		THE GROWTH FUND OF AMERICA-A B	0.00	1.68	0.00	1.68	0.00
05/09/2017	75597153	LEE, MICHELLE		FIDELITY ADVISOR FUNDS 315910661 B	0.00	1.10	0.00	1.10	0.00
05/09/2017	75597154	BLITZ, HERMAN		ALLIANCEBERNSTEIN GLO GOVT INC TRST B	0.00	77.75	0.00	77.75	0.00
05/09/2017	75597154	BLITZ, HERMAN		GOLD & SPECIAL MINERALS A B	0.00	1.59	0.00	1.59	0.00
05/09/2017	75597154	BLITZ, HERMAN		LORD ABBETT INCOME FUND CL C B	0.00	27.86	0.00	27.86	0.00
05/09/2017	75597154	BLITZ, HERMAN		LORD ABBETT SHORT DURATION INCOME F B	0.00	67.50	0.00	67.50	0.00
05/09/2017	75597154	BLITZ, HERMAN		LORD ABBETT BOND-DEBENTURE FUND INC B	0.00	59.72	0.00	59.72	0.00
05/09/2017	75597160	MILES, GARY		BOND-DEBENTURE FUND CLASS A B	0.00	3.82	0.00	3.82	0.00
05/09/2017	75597161	STOTTS, ALLISON		BOND-DEBENTURE FUND CLASS A B	0.00	6.34	0.00	6.34	0.00
05/09/2017	75597161	STOTTS, ALLISON		LORD ABBETT EQUITY TR CALIBRTD MID B	0.00	4.52	0.00	4.52	0.00
05/09/2017	75597167	SCHNEFF, CONSTANCE		BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	3.00	0.00	3.00	0.00
05/09/2017	75597167	SCHNEFF, CONSTANCE		BOND-DEBENTURE FUND CLASS A B	0.00	5.58	0.00	5.58	0.00
05/09/2017	75597170	BROOKS, JOYCE		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	2.11	0.00	2.11	0.00
05/09/2017	75597173	NELSON, CAROLE		MAINSTAY LARGE CAP GROWTH FUND CLAS B	0.00	5.76	0.00	5.76	0.00
05/09/2017	75597175	DEBORAH A IRANI TTEE		FINANCIAL INDUSTRIES A B	0.00	8.66	0.00	8.66	0.00
05/09/2017	75597175	DEBORAH A IRANI TTEE		FRANKLIN GROWTH FUND CLASS A B	0.00	67.03	0.00	67.03	0.00
05/09/2017	75597175	DEBORAH A IRANI TTEE		FRANKLIN RISING DIVIDENDS FD CLASS B	0.00	20.60	0.00	20.60	0.00
05/09/2017	75597175	DEBORAH A IRANI TTEE		FRANKLIN U.S. GOVERNMENT SECURITIES B	0.00	15.06	0.00	15.06	0.00

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/09/2017	75597175	DEBORAH A IRANI TTEE	FRK UTIL FND - CL A	B	0.00	12.88	0.00	12.88	0.0	0.00
05/09/2017	75597176	TARNOW, BRENT	FIDELITY ADVISOR FUNDS 316145408	B	0.00	1.11	0.00	1.11	0.0	0.00
05/09/2017	75597177	CLARK JR, PHILLIP	FRK VAL FND - CL A	B	0.00	0.21	0.00	0.21	0.0	0.00
05/09/2017	75597178	BOL, BEVERLY	PIONEER FUND A	B	0.00	3.64	0.00	3.64	0.0	0.00
05/09/2017	75597181	BOL, BEVERLY	HANCOCK JOHN CAP SER GRTR CHINA OPP B		0.00	4.07	0.00	4.07	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$2,978.01	\$0.00	\$2,978.01		\$0.00
*** BROKER TRANSACTIONS TOTAL ***					\$997,227.10	\$19,552.40	215,371.20	\$-195,818.80		\$0.00

ADJUSTMENTS

06/09/2017	:			0.00	0.00	0.00	0.00		0.00
06/09/2017	OTHER: OTHER			0.00	0.00	0.00	0.00		-1,800.00
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00	\$-1,800.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,800.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-231.63
FINRA Assessment	\$-24.44
SIPC Assessment	\$-366.61

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-2,422.68
Year-to-date Earnings	\$-2,422.68