

All Companies
STATEMENT
May 20, 2018

LAWRENCE ZELLER

BROKER #: 047
BRANCH: LA BRANCH

, NJ -

COMMISSION STATEMENT for LAWRENCE ZELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

03/08/0018 #ZH6340	VOYA RETIREMENT	B	3,708.36	0.00	0.00	0.00	0.00	86.0	0.00
03/09/0018 #64721 N.J. MOTOR TR	LINCOLN	B	269,948.42	56.24	0.00	0.00	56.24	86.0	48.37
03/09/0018 #76028 LICHTENSTEIN, LEE	LINCOLN	B	236,709.16	236.71	0.00	0.00	236.71	86.0	203.57
03/09/0018 #ZH1307	VOYA RETIREMENT	B	1,580,446.78	658.52	0.00	0.00	658.52	86.0	566.33
03/09/0018 #ZH6340	VOYA RETIREMENT	B	2,242,653.21	934.44	0.00	0.00	934.44	86.0	803.62
04/05/0018 #GAPB3RZ02 SERVICES, ELECON	NATIONWIDE 020	B	0.00	0.33	0.00	0.00	0.33	86.0	0.28
04/05/0018 #GAPB2AK5Z ESSEX NEUROLOGICAL	NATIONWIDE 020	B	42.34	4.29	0.00	0.00	4.29	86.0	3.69
04/05/0018 #GAPB0EK0R CONHAGEN, ALFRED	NATIONWIDE 020	B	74.70	4.37	0.00	0.00	4.37	86.0	3.76
04/05/0018 #GAPB2HB5P ENTERPRISES, N.C.	NATIONWIDE 020	B	0.00	0.12	0.00	0.00	0.12	86.0	0.10
04/05/0018 #GAPCPHE6Z SOLAR, TRINITY	NATIONWIDE 020	B	921.74	26.92	0.00	0.00	26.92	86.0	23.15
04/05/0018 #GAPCPHV9L DESANTIS, LEVINE	NATIONWIDE 020	B	1,090.96	59.45	0.00	0.00	59.45	86.0	51.13
04/05/0018 #GAPCPJV9A BEDARD, KUROWICKI	NATIONWIDE 020	B	168.32	0.41	0.00	0.00	0.41	86.0	0.35
04/05/0018 #GAPC3KF4I SHADE TREE, NJ	NATIONWIDE 620	B	1,540.00	2.28	0.00	0.00	2.28	86.0	1.96
04/05/0018 #GAPC4ZS68 MORTGAGE, MOUNTAIN	NATIONWIDE 620	B	0.00	78.96	0.00	0.00	78.96	86.0	67.91
04/05/0018 #GAPC4RX46 SERVICES, ELECON	NATIONWIDE 620	B	0.00	384.36	0.00	0.00	384.36	86.0	330.55
04/05/0018 #GAPC7AK5X ESSEX NEUROLOGICAL	NATIONWIDE 620	B	2,172.66	198.55	0.00	0.00	198.55	86.0	170.75
04/05/0018 #GAPC9CX9N CONSULTING, DVL	NATIONWIDE 620	B	0.00	807.42	0.00	0.00	807.42	86.0	694.38
04/05/0018 #GAPC7EK0Q CONHAGEN, ALFRED	NATIONWIDE 620	B	4,407.34	379.70	0.00	0.00	379.70	86.0	326.54
04/05/0018 #GAPC4ZC68 CURTIN, GRAHAM	NATIONWIDE 620	B	8,682.69	995.56	0.00	0.00	995.56	86.0	856.18
04/05/0018 #GAPC7EN9R DIVISION TEN	NATIONWIDE 620	B	942.31	76.29	0.00	0.00	76.29	86.0	65.61
04/05/0018 #GAPC3EZ6Z DENTISTRY, ADVANCED	NATIONWIDE 620	B	3,756.60	213.05	0.00	0.00	213.05	86.0	183.22
04/05/0018 #GAPC7FG6J FOODS, CUGINE	NATIONWIDE 620	B	0.00	89.41	0.00	0.00	89.41	86.0	76.89
04/05/0018 #GAPC3FH9C , ALZHEIMERS NJ	NATIONWIDE 620	B	3,073.61	173.83	0.00	0.00	173.83	86.0	149.49
04/05/0018 #GAPCGFULU ROCK INN, CHIMNEY	NATIONWIDE 620	B	190.20	5.97	0.00	0.00	5.97	86.0	5.13
04/05/0018 #GAPCGGC8C CONSTRUCTION, ALBAN	NATIONWIDE 620	B	3,666.66	36.85	0.00	0.00	36.85	86.0	31.69
04/05/0018 #GAPC4JD80 INFOLINK	NATIONWIDE 620	B	4,812.44	208.47	0.00	0.00	208.47	86.0	179.28
04/05/0018 #GAPC3GJ0H ORAL&MAXWELL,	NATIONWIDE 620	B	0.00	79.86	0.00	0.00	79.86	86.0	68.68
04/05/0018 #GAPCGHB5O ENTERPRISES, N.C.	NATIONWIDE 620	B	1,619.85	33.01	0.00	0.00	33.01	86.0	28.39
04/05/0018 #GAPC3HE6Y SOLAR, TRINITY	NATIONWIDE 620	B	160,734.47	1,626.16	0.00	0.00	1,626.16	86.0	1,398.50
04/05/0018 #GAPC3HV9K DESANTIS, LEVINE	NATIONWIDE 620	B	5,406.58	211.41	0.00	0.00	211.41	86.0	181.81
04/05/0018 #GAPC4RK58 PLACE, THE	NATIONWIDE 620	B	1,364.58	17.28	0.00	0.00	17.28	86.0	14.86

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<u>BROKER TRANSACTIONS</u>										
PRODUCT CATEGORY: VARIABLE ANNUITIES										
04/05/0018	#GAPC7AF8I BROTHERS, BENNETT	NATIONWIDE 620	B	1,549.13	181.64	0.00	0.00	181.64	86.0	156.21
04/05/0018	#GAPC3JT1O HELPERS, HOME	NATIONWIDE 620	B	1,353.00	13.40	0.00	0.00	13.40	86.0	11.52
04/05/0018	#GAPC3JV8Y BEDARD, KUROWICKI	NATIONWIDE 620	B	23,571.94	113.03	0.00	0.00	113.03	86.0	97.21
04/05/0018	#GAPC7CH4G FAMILY SERVICES,	NATIONWIDE 620	B	300.00	12.26	0.00	0.00	12.26	86.0	10.54
04/05/0018	#GAPC3JZ0D FAMILY SERVICES,	NATIONWIDE 620	B	9,556.68	163.17	0.00	0.00	163.17	86.0	140.33
04/05/0018	#GAPC3KH4F AMERICAS FLORIST	NATIONWIDE 620	B	0.00	144.13	0.00	0.00	144.13	86.0	123.95
04/05/0018	#GAPC3KK1C THE DENTAL FAMILY	NATIONWIDE 620	B	2,510.00	55.53	0.00	0.00	55.53	86.0	47.76
04/30/0201	#GAPB3RZ02 SERVICES, ELECON	NATIONWIDE 020P15685	B	0.00	0.34	0.00	0.00	0.34	86.0	0.29
04/30/0201	#GAPB2AK5Z ESSEX NEUROLOGICAL	NATIONWIDE 020P15685	B	0.00	4.31	0.00	0.00	4.31	86.0	3.71
04/30/0201	#GAPB0EK0R CONHAGEN, ALFRED	NATIONWIDE 020P15685	B	0.00	4.38	0.00	0.00	4.38	86.0	3.77
04/30/0201	#GAPB2HB5P ENTERPRISES, N.C.	NATIONWIDE 020P15685	B	0.00	0.12	0.00	0.00	0.12	86.0	0.10
04/30/0201	#GAPCPHE6Z SOLAR, TRINITY	NATIONWIDE 020P15685	B	0.00	27.10	0.00	0.00	27.10	86.0	23.31
04/30/0201	#GAPCPHV9L DESANTIS, LEVINE	NATIONWIDE 020P15685	B	0.00	58.15	0.00	0.00	58.15	86.0	50.01
04/30/0201	#GAPCPJV9A BEDARD, KUROWICKI	NATIONWIDE 020P15685	B	0.00	0.42	0.00	0.00	0.42	86.0	0.36
04/30/0201	#GAPC3KF4I SHADE TREE, NJ	NATIONWIDE 620	B	0.00	2.44	0.00	0.00	2.44	86.0	2.10
04/30/0201	#GAPC4ZS68 MORTGAGE, MOUNTAIN	NATIONWIDE 620	B	0.00	79.13	0.00	0.00	79.13	86.0	68.05
04/30/0201	#GAPC4RX46 SERVICES, ELECON	NATIONWIDE 620	B	0.00	386.87	0.00	0.00	386.87	86.0	332.71
04/30/0201	#GAPC7AK5X ESSEX NEUROLOGICAL	NATIONWIDE 620	B	0.00	199.84	0.00	0.00	199.84	86.0	171.86
04/30/0201	#GAPC9CX9N CONSULTING, DVL	NATIONWIDE 620	B	0.00	812.98	0.00	0.00	812.98	86.0	699.16
04/30/0201	#GAPC7EK0Q CONHAGEN, ALFRED	NATIONWIDE 620	B	0.00	380.55	0.00	0.00	380.55	86.0	327.27
04/30/0201	#GAPC4ZC68 CURTIN, GRAHAM	NATIONWIDE 620	B	0.00	955.85	0.00	0.00	955.85	86.0	822.03
04/30/0201	#GAPC7EN9R DIVISION TEN	NATIONWIDE 620	B	0.00	76.39	0.00	0.00	76.39	86.0	65.70
04/30/0201	#GAPC3EZ6Z DENTISTRY, ADVANCED	NATIONWIDE 620	B	0.00	213.61	0.00	0.00	213.61	86.0	183.70
04/30/0201	#GAPC7FG6J FOODS, CUGINE	NATIONWIDE 620	B	0.00	89.33	0.00	0.00	89.33	86.0	76.82
04/30/0201	#GAPC3FH9C , ALZHEIMERS NJ	NATIONWIDE 620	B	0.00	173.73	0.00	0.00	173.73	86.0	149.41
04/30/0201	#GAPCGFU1U ROCK INN, CHIMNEY	NATIONWIDE 620	B	0.00	6.01	0.00	0.00	6.01	86.0	5.17
04/30/0201	#GAPCGGC8C CONSTRUCTION, ALBAN	NATIONWIDE 620	B	0.00	37.18	0.00	0.00	37.18	86.0	31.97
04/30/0201	#GAPC4JD80 INFOLINK	NATIONWIDE 620	B	0.00	210.09	0.00	0.00	210.09	86.0	180.68
04/30/0201	#GAPCGHB50 ENTERPRISES, N.C.	NATIONWIDE 620	B	0.00	33.30	0.00	0.00	33.30	86.0	28.64
04/30/0201	#GAPC3HE6Y SOLAR, TRINITY	NATIONWIDE 620	B	0.00	1,651.10	0.00	0.00	1,651.10	86.0	1,419.95
04/30/0201	#GAPC3HV9K DESANTIS, LEVINE	NATIONWIDE 620	B	0.00	203.09	0.00	0.00	203.09	86.0	174.66
04/30/0201	#GAPC4RK58 PLACE, THE	NATIONWIDE 620	B	0.00	17.38	0.00	0.00	17.38	86.0	14.95
04/30/0201	#GAPC7AF8I BROTHERS, BENNETT	NATIONWIDE 620	B	0.00	182.46	0.00	0.00	182.46	86.0	156.92
04/30/0201	#GAPC3JT1O HELPERS, HOME	NATIONWIDE 620	B	0.00	13.77	0.00	0.00	13.77	86.0	11.84
04/30/0201	#GAPC3JV8Y BEDARD, KUROWICKI	NATIONWIDE 620	B	0.00	113.64	0.00	0.00	113.64	86.0	97.73
04/30/0201	#GAPC7CH4G FAMILY SERVICES,	NATIONWIDE 620	B	0.00	12.93	0.00	0.00	12.93	86.0	11.12
04/30/0201	#GAPC3JZ0D FAMILY SERVICES,	NATIONWIDE 620	B	0.00	163.47	0.00	0.00	163.47	86.0	140.58
04/30/0201	#GAPC3KH4F AMERICAS FLORIST	NATIONWIDE 620	B	0.00	144.22	0.00	0.00	144.22	86.0	124.03
04/30/0201	#GAPC3KK1C THE DENTAL FAMILY	NATIONWIDE 620	B	0.00	56.13	0.00	0.00	56.13	86.0	48.27
* VARIABLE ANNUITIES SUBTOTAL *				\$4,576,974.73	\$14,593.69	\$0.00	\$0.00	\$14,593.69		\$12,550.56

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/05/2018 #IRK131919 HAWORTH, DE	AMERICAN FUNDS	B	0.00	898.82	0.00	0.00	898.82	86.0	772.99
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$898.82	\$0.00	\$0.00	\$898.82	\$772.99
*** BROKER TRANSACTIONS TOTAL ***				\$4,576,974.73	\$15,492.51	\$0.00	\$0.00	\$15,492.51	\$13,323.55

ADJUSTMENTS

05/20/2018	ADMIN FEE: ADMIN FEE								-320.00
05/20/2018	E & O INSURANCE: E & O INSURANCE								-179.00
*** ADJUSTMENTS TOTAL ***									\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$13,323.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,824.55
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