

COMMISSION STATEMENT

May 31, 2018

ROBERT HACKEL

206 VAN BUREN

PARAMUS, NJ 07652

BROKER #: P76

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

05/03/2018	75501322	GILBERTSON, JAY		APPLE INC	B	1,414.71	0.00	0.00	0.00	80.0	0.00
05/04/2018	75501322	GILBERTSON, JAY		ACTIVISION BLIZZARD INC	B	838.29	0.00	0.00	0.00	80.0	0.00
05/04/2018	75501322	GILBERTSON, JAY		AMERICAN TOWER CORPORATION REIT	B	976.16	0.00	0.00	0.00	80.0	0.00
05/07/2018	75501322	GILBERTSON, JAY		ASTRAZENECA PLC EACH ADS REPRESENTI S		107.65	0.00	0.00	0.00	80.0	0.00
05/07/2018	75501322	GILBERTSON, JAY		ASTRAZENECA PLC EACH ADS REPRESENTI S		1,973.51	0.00	0.00	0.00	80.0	0.00
05/07/2018	75501322	GILBERTSON, JAY		KRAFT HEINZ COMPANY (THE) COMMON ST B		3,787.04	0.00	0.00	0.00	80.0	0.00
05/07/2018	75501322	GILBERTSON, JAY		MERCK & CO INC	S	114.76	0.00	0.00	0.00	80.0	0.00
05/10/2018	75501322	GILBERTSON, JAY		OLD DOMINION FREIGHT LINES INC	S	434.05	0.00	0.00	0.00	80.0	0.00
05/14/2018	75501322	GILBERTSON, JAY		IAC INTERACTIVECORP COM	S	1,302.25	0.00	0.00	0.00	80.0	0.00
05/14/2018	75501322	GILBERTSON, JAY		JAZZ PHARMACEUTICALS PLC US LISTED	B	992.50	0.00	0.00	0.00	80.0	0.00
05/14/2018	75501322	GILBERTSON, JAY		OWENS CORNING	S	397.49	0.00	0.00	0.00	80.0	0.00
05/14/2018	75501322	GILBERTSON, JAY		OWENS CORNING	S	861.22	0.00	0.00	0.00	80.0	0.00
05/14/2018	75501322	GILBERTSON, JAY		PULTEGROUP INC COM	B	1,213.47	0.00	0.00	0.00	80.0	0.00
05/14/2018	75501322	GILBERTSON, JAY		SAGE THERAPEUTICS INC COM	B	1,032.31	0.00	0.00	0.00	80.0	0.00
05/15/2018	75501322	GILBERTSON, JAY		CARNIVAL CORP COMMON PAIRED STOCK	B	1,236.26	0.00	0.00	0.00	80.0	0.00
05/15/2018	75501322	GILBERTSON, JAY		CISCO SYS INC	S	1,948.96	0.00	0.00	0.00	80.0	0.00
05/16/2018	75501322	GILBERTSON, JAY		GENPACT LIMITED	B	675.55	0.00	0.00	0.00	80.0	0.00
05/17/2018	75501322	GILBERTSON, JAY		ESTEE LAUDER COMPANIES INC CL A	S	289.86	0.00	0.00	0.00	80.0	0.00
05/24/2018	75501322	GILBERTSON, JAY		LOWES COMPANIES INC	B	1,538.33	0.00	0.00	0.00	80.0	0.00
04/27/2018	75501761	WRIGHTON, BRYAN		MERCURY SYS INC COM	B	1,632.62	0.00	0.00	0.00	80.0	0.00
04/27/2018	75501761	WRIGHTON, BRYAN		NATIONAL RESEARCH CORPORATION CLASS S		544.22	0.00	0.00	0.00	80.0	0.00
05/01/2018	75501761	WRIGHTON, BRYAN		NOVANTA INC COM ISIN#CA67000B1040	B	704.52	0.00	0.00	0.00	80.0	0.00
05/07/2018	75501761	WRIGHTON, BRYAN		KRAFT HEINZ COMPANY (THE) COMMON ST B		1,716.00	0.00	0.00	0.00	80.0	0.00
05/07/2018	75501761	WRIGHTON, BRYAN		MERCK & CO INC	S	688.57	0.00	0.00	0.00	80.0	0.00
05/07/2018	75501761	WRIGHTON, BRYAN		SOUTHERN CO	B	1,188.88	0.00	0.00	0.00	80.0	0.00
04/27/2018	QGN005027	GOLDSMITH, ELY &		GENERAL AMERICAN INVESTMENT 5.95% C S		-25,840.00	120.00	0.00	120.00	80.0	96.00
04/27/2018	QGN005464	RF LAFFERTY & CO		APTIV PLC SHS COM	S	-243,542.70	282.90	0.00	282.90	80.0	226.32
04/27/2018	QGN005464	RF LAFFERTY & CO		CHUBB LTD COM	S	-150,975.00	129.00	0.00	129.00	80.0	103.20
04/27/2018	QGN005464	RF LAFFERTY & CO		MICROSOFT CORP	S	-152,432.00	174.00	0.00	174.00	80.0	139.20
05/01/2018	QGN005712	DRURY W COOPER TRUST		FREEMPORT MCMORAN INC	S	-14,780.00	120.00	0.00	120.00	80.0	96.00
05/04/2018	QGN005712	DRURY W COOPER TRUST		TRANSOCEAN LTD US LISTED	B	12,150.00	120.00	0.00	120.00	80.0	96.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/02/2018	QGN005845 HARRIS, BRIANNA	T MOBILE US INC	B	2,264.40	20.00	0.00	20.00	80.0	16.00
05/07/2018	QGN006033 STACEY BENDET EISNER	TESLA MOTORS INC	S	-30,301.00	20.00	0.00	20.00	80.0	16.00
05/07/2018	QGN006090 MOZAIC LLC	TESLA MOTORS INC	S	-102,317.70	20.00	0.00	20.00	80.0	16.00
05/07/2018	QGN006215 MOZAIC LLC F/B/O	LIONS GATE ENTMT CORP CL B NON VTG	B	3,277.49	20.00	0.00	20.00	80.0	16.00
05/07/2018	QGN006215 MOZAIC LLC F/B/O	TWITTER INC	S	-4,683.48	20.00	0.00	20.00	80.0	16.00
* STOCKS SUBTOTAL *				\$-679,570.81	\$1,045.90	\$0.00	\$1,045.90		\$836.72
<u>PRODUCT CATEGORY: OPTIONS</u>									
05/07/2018	QGW031544 HEALY, TIMOTHY	PUT 100 SPDR S&P 500 ETF TR TR UNIT S		-11,320.00	40.00	0.00	40.00	80.0	32.00
05/07/2018	QGW031544 HEALY, TIMOTHY	PUT 100 SPDR S&P 500 ETF TR TR UNIT B		13,200.00	40.00	0.00	40.00	80.0	32.00
04/30/2018	QGW031551 HEALY, TIMOTHY	PUT 100 AUTONATION INC DEL COM EXP B		320.00	40.00	0.00	40.00	80.0	32.00
* OPTIONS SUBTOTAL *				\$2,200.00	\$120.00	\$0.00	\$120.00		\$96.00
<u>PRODUCT CATEGORY: RIA</u>									
04/01/2018	75501322 GILBERTSON, JAY	FAS FEES PT. II/III	B	-6,140.11	1,266.40	0.00	1,266.40	80.0	1,013.12
04/01/2018	75501761 WRIGHTON, BRYAN	FAS FEES PT. II/III	B	-2,626.03	541.12	0.00	541.12	80.0	432.90
04/01/2018	75502027 HACKEL, STUART	FAS FEES PT. II/III	B	0.00	60.95	0.00	60.95	80.0	48.76
04/01/2018	75502029 HACKEL, STUART	FAS FEES PT. II/III	B	0.00	69.95	0.00	69.95	80.0	55.96
04/01/2018	75502030 RYNN, SUSAN	FAS FEES PT. II/III	B	0.00	501.36	0.00	501.36	80.0	401.09
04/01/2018	75502031 RYNN, SUSAN	FAS FEES PT. II/III	B	0.00	10.88	0.00	10.88	80.0	8.70
04/01/2018	75502032 HACKEL, STUART	FAS FEES PT. II/III	B	-1,795.92	0.00	0.00	0.00	80.0	0.00
04/01/2018	75502032 HACKEL, STUART	FAS FEES PT. II/III	B	-968.97	250.31	0.00	250.31	80.0	200.25
04/01/2018	75502032 HACKEL, STUART	FAS FEES PT. II/III	B	-305.27	0.00	0.00	0.00	80.0	0.00
04/01/2018	75502032 HACKEL, STUART	FAS FEES PT. II/III	B	-266.00	0.00	0.00	0.00	80.0	0.00
04/01/2018	75502032 HACKEL, STUART	FAS FEES PT. II/III	B	-58.07	0.00	0.00	0.00	80.0	0.00
04/16/2018	75502032 HACKEL, STUART	FAS FEES PT. II/III	B	21.65	-6.73	0.00	-6.73	80.0	-5.38
* RIA SUBTOTAL *				\$-12,138.72	\$2,694.24	\$0.00	\$2,694.24		\$2,155.40
*** BROKER TRANSACTIONS TOTAL ***				\$-689,509.53	\$3,860.14	\$0.00	\$3,860.14		\$3,088.12

BROKER COMMISSION TOTALS

Broker Transactions	\$3,088.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-4.83
SIPC Assessment	\$-9.65

Check Amount	\$3,073.64
Year-to-date Earnings	\$81,817.72