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R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT

August 31, 2017

BROKER #: 68

BRANCH: HOME OFFICE

VAN DREGGE OLMSTEAD
10 RICHARDS DRIVE

WILMINGTON, DE 19810

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRAN	SACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS							
08/01/2017 (None) VARIOUS, OLMSTEAD	AC ALTERNATIVES DISCIPLINED LONG SH B	0.00	138.37	0.00	138.37	70.0	96.86
08/01/2017 (None) VARIOUS, OLMSTEAD	AC ALTERNATIVES DISCIPLINED LONG SH B	0.00	106.89	0.00	106.89	70.0	74.82
08/31/2017 (None) VARIOUS, OLMSTEAD	AC ALTERNATIVES DISCIPLINED LONG SH B	0.00	19,474.00	0.00	19,474.00	70.0	13,631.80
08/31/2017 (None) VARIOUS, OLMSTEAD	AC ALTERNATIVES DISCIPLINED LONG SH B	0.00	294.83	0.00	294.83	70.0	206.38
08/31/2017 (None) VARIOUS, OLMSTEAD	AC ALTERNATIVES DISCIPLINED LONG SH B	0.00	87.35	0.00	87.35	70.0	61.15
08/03/2017 7	5501463 ZWASKIS, CAROLYN	**VIRTUS GLOBAL OPPORTUNITIES B	300.00	0.00	0.00	0.00	70.0	0.00
08/02/2017 7	75501776 DERR, LEROY	**VIRTUS MULTI SECTOR SHORT S	500.00	0.00	0.00	0.00	70.0	0.00
08/17/2017 7	75501776 DERR, LEROY	**VIRTUS MULTI SECTOR SHORT S	850.00	0.00	0.00	0.00	70.0	0.00
08/03/2017 7	5501842 STRAUGHN, SARAH	**VIRTUS MULTI SECTOR SHORT B	100,000.00	0.00	0.00	0.00	70.0	0.00
08/03/2017 7	5501844 STRAUGHN, SARAH	**VIRTUS EQUITY TREND FUND S	8,000.00	0.00	0.00	0.00	70.0	0.00
08/03/2017 7	5501846 STRAUGHN, SARAH	TEMP WORLD FND - CL A S	4,000.00	0.00	0.00	0.00	70.0	0.00
08/03/2017 7	5501846 STRAUGHN, SARAH	THE GROWTH FUND OF AMERICA-A S	9,000.00	0.00	0.00	0.00	70.0	0.00
08/03/2017 7	5501846 STRAUGHN, SARAH	THE INVESTMENT COMPANY OF AMERICA-A S	9,000.00	0.00	0.00	0.00	70.0	0.00
08/03/2017 7	5501865 BENJAMIN, WAYNE	RYDEX SECTOR ROTATION FUND CLASS C S	6,274.93	0.00	0.00	0.00	70.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$137,924.93	\$20,101.44	\$0.00	\$20,101.44		\$14,071.01
PRODUCT CA	TEGORY: STOCKS							
08/15/2017 7	75501727 PENN, SHELDON	CELANESE CORPORATION SERIES A B	3,942.48	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	75501727 PENN, SHELDON	EOG RES INC S	7,790.63	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	75501727 PENN, SHELDON	J P MORGAN CHASE & CO B	4,176.45	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	75501727 PENN, SHELDON	LAM RESEARCH CORP B	4,000.98	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	75501727 PENN, SHELDON	NETFLIX COM INC S	8,479.60	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	75501727 PENN, SHELDON	PIONEER NATURAL RESOURCES COMPANY S	7,331.17	0.00	0.00	0.00	70.0	0.00
08/22/2017 7	75501727 PENN, SHELDON	CHARLES RIV LABORATORIES INTL INC CB	8,238.12	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	75501773 SMITH, GLENN	CELANESE CORPORATION SERIES A B	1,971.24	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	75501773 SMITH, GLENN	EOG RES INC S	4,760.94	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	75501773 SMITH, GLENN	J P MORGAN CHASE & CO B	2,320.25	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	75501773 SMITH, GLENN	LAM RESEARCH CORP B	2,400.59	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	75501773 SMITH, GLENN	NETFLIX COM INC S	5,087.76	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	75501773 SMITH, GLENN	PIONEER NATURAL RESOURCES COMPANY S	4,665.29	0.00	0.00	0.00	70.0	0.00
08/22/2017 7	75501773 SMITH, GLENN	CHARLES RIV LABORATORIES INTL INC C B	5,148.83	0.00	0.00	0.00	70.0	0.00

INVESTMENT

GROSS

CLEARING

NET

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TRADE DATE	CLIENT	INV	ESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER
	<u> </u>									
			BROKER	TRANS.	ACTIONS					
PRODUCT CA	TEGORY: STOCKS									
08/15/2017 7	5501778 VORMWALD, G	ROBERT CELAN	ESE CORPORATION SERIES A	В	5,913.72	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501778 VORMWALD, G	ROBERT EOG R	ES INC	S	12,551.56	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501778 VORMWALD, G	ROBERT J P M	ORGAN CHASE & CO	В	6,032.64	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501778 VORMWALD, G	ROBERT LAM R	ESEARCH CORP	В	6,401.56	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501778 VORMWALD, G	ROBERT NETFL	IX COM INC	S	14,415.33	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501778 VORMWALD, G	ROBERT PIONE	ER NATURAL RESOURCES COMPAN	Y S	11,996.47	0.00	0.00	0.00	70.0	0.00
08/22/2017 7	5501778 VORMWALD, G	ROBERT CHARL	ES RIV LABORATORIES INTL IN	ССВ	13,386.95	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501779 OLMSTEAD, V	'AN CELAN	ESE CORPORATION SERIES A	В	2,464.05	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501779 OLMSTEAD, V	'AN EOG R	ES INC	S	4,760.94	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501779 OLMSTEAD, V	YAN JPM	ORGAN CHASE & CO	В	2,320.25	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501779 OLMSTEAD, V	'AN LAM R	ESEARCH CORP	В	2,400.59	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501779 OLMSTEAD, V	'AN NETFL	IX COM INC	S	5,935.72	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501779 OLMSTEAD, V	'AN PIONE	ER NATURAL RESOURCES COMPAN	Y S	4,665.29	0.00	0.00	0.00	70.0	0.00
08/22/2017 7	5501779 OLMSTEAD, V	'AN CHARL	ES RIV LABORATORIES INTL IN	ССВ	5,148.83	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501783 HALLAM, DON	IALD CELAN	ESE CORPORATION SERIES A	В	8,870.58	0.00	0.00	0.00	70.0	0.00
8/15/2017 7	5501783 HALLAM, DON	ALD EOG R	ES INC	S	19,909.38	0.00	0.00	0.00	70.0	0.0
8/15/2017 7	5501783 HALLAM, DON	IALD J P M	ORGAN CHASE & CO	В	9,745.04	0.00	0.00	0.00	70.0	0.00
8/15/2017 7	5501783 HALLAM, DON	MALD LAM R	ESEARCH CORP	В	9,602.34	0.00	0.00	0.00	70.0	0.00
8/15/2017 7	5501783 HALLAM, DON	ALD NETFL	IX COM INC	S	22,894.93	0.00	0.00	0.00	70.0	0.00
8/15/2017 7	5501783 HALLAM, DON	ALD PIONE	ER NATURAL RESOURCES COMPAN	Y S	19,327.64	0.00	0.00	0.00	70.0	0.0
8/22/2017 7	5501783 HALLAM, DON	IALD CHARL	ES RIV LABORATORIES INTL IN	ССВ	21,110.19	0.00	0.00	0.00	70.0	0.0
8/15/2017 7	5501790 SZANTO, PET	ER CELAN	ESE CORPORATION SERIES A	В	3,449.67	0.00	0.00	0.00	70.0	0.0
08/15/2017 7	5501790 SZANTO, PET	EN EOG R	ES INC	S	6,492.19	0.00	0.00	0.00	70.0	0.0
08/15/2017 7	5501790 SZANTO, PET	ER JPM	ORGAN CHASE & CO	В	3,248.35	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501790 SZANTO, PET	'ER LAM R	ESEARCH CORP	В	3,200.78	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501790 SZANTO, PET	ER NETFL	IX COM INC	S	7,631.64	0.00	0.00	0.00	70.0	0.0
	5501790 SZANTO, PET		ER NATURAL RESOURCES COMPAN	y s	6,664.70	0.00	0.00	0.00	70.0	0.0
	5501790 SZANTO, PET		ES RIV LABORATORIES INTL IN		7,208.36	0.00	0.00	0.00	70.0	0.0
	5501793 WITMAN, RUS		ESE CORPORATION SERIES A	В	1,478.43	0.00	0.00	0.00	70.0	0.0
	5501793 WITMAN, RUS		ES INC	S	2,596.88	0.00	0.00	0.00		0.00
	5501793 WITMAN, RUS		ORGAN CHASE & CO	В	928.10	0.00	0.00	0.00	70.0	0.0
	5501793 WITMAN, RUS		ESEARCH CORP	В	1,600.39	0.00	0.00	0.00		0.0
	5501793 WITMAN, RUS		IX COM INC	S	3,391.84	0.00	0.00		70.0	0.00
	5501793 WITMAN, RUS		ER NATURAL RESOURCES COMPAN		2,665.88	0.00	0.00		70.0	0.00
	5501793 WITMAN, RUS		ES RIV LABORATORIES INTL IN		3,089.30	0.00	0.00		70.0	0.0
	5501794 WITMAN, RUS		ESE CORPORATION SERIES A	В	2,464.05	0.00	0.00		70.0	0.00
	5501791 WITMAN, RUS 5501794 WITMAN, RUS		ES INC	S	5,193.75	0.00	0.00		70.0	0.00
	5501794 WITMAN, RUS 5501794 WITMAN, RUS		ORGAN CHASE & CO	В	2,784.30	0.00	0.00		70.0	0.00
	5501794 WITMAN, RUS		ESEARCH CORP	В	2,400.59	0.00	0.00		70.0	0.00
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00/13/201/ 7	5501794 WITMAN, RUS	SELL NELLY	IX COM INC	S	5,935.72	0.00	0.00	0.00	70.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER	TRANS	ACTTONS					
PRODUCT CA	TEGORY: STOCKS	DROKEN	110110	110110					
	5501794 WITMAN, RUSSELL	PIONEER NATURAL RESOURCES COMPAN	y s	4,665.29	0.00	0.00	0.00	70.0	0.00
	5501794 WITMAN, RUSSELL	CHARLES RIV LABORATORIES INTL IN		5,663.71	0.00	0.00	0.00		0.00
	5501805 BARBARAS, GLEN	CELANESE CORPORATION SERIES A	В	2,464.05	0.00	0.00	0.00		0.00
	5501805 BARBARAS, GLEN	EOG RES INC	S	5,193.75	0.00	0.00	0.00		0.00
	5501805 BARBARAS, GLEN	J P MORGAN CHASE & CO	В	2,320.25	0.00	0.00	0.00		0.00
	5501805 BARBARAS, GLEN	LAM RESEARCH CORP	В	2,400.59	0.00	0.00	0.00		0.00
	5501805 BARBARAS, GLEN	NETFLIX COM INC	S	5,935.72	0.00	0.00	0.00		0.00
	5501805 BARBARAS, GLEN	PIONEER NATURAL RESOURCES COMPAN	Y S	4,665.29	0.00	0.00	0.00		0.00
	5501805 BARBARAS, GLEN	CHARLES RIV LABORATORIES INTL IN		5,148.83	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	AGRIUM INC	S	981.53	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	AMAZON.COM INC	S	4,921.25	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	BECTON DICKINSON & CO	S	2,018.20	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	BROADCOM LIMITED SHS	S	2,536.63	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	CANADIAN PACIFIC RAILWAY LTD	S	1,555.63	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	CATERPILLAR INC	S	1,706.10	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	CELANESE CORPORATION SERIES A	В	2,464.05	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	CUMMINS INC	S	1,557.90	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	DOMINOS PIZZA INC	S	940.05	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	EAGLE MATERIALS INC	S	903.10	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	ELECTRONIC ARTS INC	S	1,169.90	0.00	0.00	0.00	70.0	0.00
	5501808 WRIGHT, PAMELA	EOG RES INC	S	4,760.94	0.00	0.00	0.00	70.0	0.00
	5501808 WRIGHT, PAMELA	FACEBOOK INC CL A	S	1,708.90	0.00	0.00	0.00	70.0	0.00
	5501808 WRIGHT, PAMELA	HEICO CORPORATION	S	1,295.14	0.00	0.00	0.00	70.0	0.00
	5501808 WRIGHT, PAMELA	ILLINOIS TOOL WORKS INC	S	1,392.30	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	J P MORGAN CHASE & CO	В	2,320.25	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	LAM RESEARCH CORP	В	2,400.59	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	LEAR CORPORATION	S	1,439.43	0.00	0.00	0.00	70.0	0.00
	5501808 WRIGHT, PAMELA	LENNOX INTL INC	S	1,676.53	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	LOCKHEED MARTIN CORP	S	1,529.10	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	NETFLIX COM INC	S	5,087.76	0.00	0.00	0.00	70.0	0.00
	5501808 WRIGHT, PAMELA	NORTHROP GRUMMAN CORP	S	1,353.01	0.00	0.00	0.00		0.00
08/15/2017 7	5501808 WRIGHT, PAMELA	PARKER HANNIFIN CORP	S	791.05	0.00	0.00	0.00	70.0	0.00
	5501808 WRIGHT, PAMELA	PIONEER NATURAL RESOURCES COMPAN		4,665.29	0.00	0.00		70.0	0.00
	5501808 WRIGHT, PAMELA	PROOFPOINT INC	S	882.80	0.00	0.00		70.0	0.00
	5501808 WRIGHT, PAMELA	S&P GLOBAL INC COM	S	758.00	0.00	0.00		70.0	0.00
	5501808 WRIGHT, PAMELA	SERVICENOW INC COM	S	1,074.93	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	THERMO FISHER SCIENTIFIC INC	S	868.70	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	TORO CO	S	1,447.85	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	VAIL RESORTS INC	S	1,090.10	0.00	0.00	0.00		0.00
	5501808 WRIGHT, PAMELA	CELANESE CORPORATION SERIES A	S	1,471.54	0.00	0.00		70.0	0.00
30,10,2011	IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	James John John Daniel A	D	_,1,1.31	0.00	0.00	0.00	. 3 . 3	0.00

INVESTMENT

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### PRODUCT CATEGORY, STOCKS PRODUCT CATEGORY, STOCKS	TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
PRODUCT CATEGORY: STOCKS											
PRODUCT CATEGORY: STOCKS				BROKE	R TRANS	ACTIONS					
BOILE/2017 75501808 WRIGHT, PAMELA J MORGAN CHARES COC S 1,280,30 0.00 0.00 0.00 70.0 0.00				<u>======</u>		10110110					
MAY											
0.00 0.00				J P MORGAN CHASE & CO				0.00	0.00		
	08/16/2017 7	5501808 WRIGHT, PA	AMELA	LAM RESEARCH CORP	S	1,632.23	0.00	0.00	0.00	70.0	0.00
17/31/2017 75501809 WRIGHT, PAMELA BED BATH & BEYOND INC S 4,981.00 166.79 0.00 166.79 70.0 116.75	08/22/2017 7	5501808 WRIGHT, PA	AMELA	CHARLES RIV LABORATORIES INTL	INC CB	3,604.18	0.00	0.00	0.00	70.0	0.00
17.131/2017 75501809 MICIGIT, PAMILIA GRE RECORPORATION SERIES 3 4,988.00 165.09 0.00 105.00 70.0 73.50 0.01 0.01 0.00 0.	07/31/2017 7	5501809 WRIGHT, PA	AMELA	BECTON DICKINSON & CO	S	1,416.38	50.06	0.00	50.06	70.0	35.04
17,14017 75501817 GELLIS, HOWARD CELANESC COFFORATION SERIES B 12,320.25 0.00 0.0	07/31/2017 7	5501809 WRIGHT, PA	AMELA	BED BATH & BEYOND INC	S	8,781.00	200.25	0.00	200.25	70.0	140.18
BAILS/2017 75501817 GELLIS, MOMARD CELAMESE CORPORATION SERIES A B 12,320.25 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501817 GELLIS, MOMARD JP MORGAN CHASE & CO B 12,993.39 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501817 GELLIS, MOMARD LAM RESEARCH CORP B 12,803.12 0.00 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501817 GELLIS, MOMARD TAM RESEARCH CORP B 12,803.12 0.00 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501817 GELLIS, MOMARD POWERE NATURAL RESOURCES COMPANY S 25,992.34 0.00 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501817 GELLIS, HOWARD POWERE NATURAL RESOURCES COMPANY S 25,992.34 0.00 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501812 ELAHN, ROBERT CELAMESE CORPORATION SERIES A B 2,464.05 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501822 ELAHN, ROBERT CELAMESE CORPORATION SERIES A B 2,464.05 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501822 ELAHN, ROBERT DY MORGAN CHASE & CO B 2,744.30 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501822 ELAHN, ROBERT LAM RESEARCH CORP B 2,400.59 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501822 ELAHN, ROBERT LAM RESEARCH CORP B 2,400.59 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501822 ELAHN, ROBERT NITELIX COM INC S 5,563.72 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501822 ELAHN, ROBERT NITELIX COM INC S 5,563.71 0.00 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501825 ELAHN, ROBERT CHARLES REV LABORANCHES ECON S 5,637.72 0.00 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501825 ELAHN, ROBERT CHARLES REV LABORANCHES ECON S 5,637.72 0.00 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501825 ELAHN, ROBERT CHARLES REV LABORANCHES ENTINE INTELIC E S 6,637.71 0.00 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501836 ERMINGTON, THOMAS CHARLES REV LABORANCHES ENTINE INTELIC E S 6,637.71 0.00 0.00 0.00 0.00 70.0 0.00 BA/15/2017 75501836 ERMINGTON,	07/31/2017 7	5501809 WRIGHT, PA	AMELA	QEP RESOURCES INC	S	4,998.00	166.79	0.00	166.79	70.0	116.75
08/15/2017 75501817 GELLIS, MOMARD JP MORGAN CHASE & CO B 12,931.39 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501817 GELLIS, MOMARD JP MORGAN CHASE & CO B 12,931.39 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501817 GELLIS, MOMARD LAM RESEARCH CORP B 12,931.39 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501817 GELLIS, MOMARD PIONER NATURAL RESOURCES COMPANY S 25,92.34 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501817 GELLIS, MOMARD PIONER NATURAL RESOURCES COMPANY S 25,932.34 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501817 GELLIS, MOMARD PIONER NATURAL RESOURCES COMPANY S 25,935.24 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501827 KIAMIN, ROBERT CHAMES CORPORATION SERIES A B 2,464.05 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KIAMIN, ROBERT D 30 RES INC S 5,662.56 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KIAMIN, ROBERT D 30 RES INC S 5,662.56 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KIAMIN, ROBERT LAM RESEARCH CORP B 2,784.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KIAMIN, ROBERT LAM RESEARCH CORP B 2,784.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KIAMIN, ROBERT LAM RESEARCH CORP S 5,935.72 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KIAMIN, ROBERT LAM RESOURCES COMPANY S 5,331.76 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KIAMIN, ROBERT CHARLES SITUL MEDIATORIES INTILIC C B 5,63.71 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501828 KEMINGTON, THOMAS CHARLES S CORPORATION SERIES A B 5,420.91 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DOES NOT CHARLES S CORPORATION SERIES A B 5,420.91 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DOES NOT CHARLES S CORPORATION SERIES A B 5,601.37 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DOES NOT CHARLES S CORPORATION SERIES A B 5,601.37 0.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DOES NOT CHARLES S CORPORATION SERIES A B 5,601.37 0.00 0.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DOES NOT CHARL	07/31/2017 7	5501809 WRIGHT, PA	AMELA	SHIRE PLC AMERICAN DEPOSITARY	SHARE S	7,450.96	105.00	0.00	105.00	70.0	73.50
08/15/2017 75501817 GELLIS, HOWARD LAM RESEARCH CORP B 12,993.39 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501817 GELLIS, HOWARD NETELIX COM INC S 3 31,7453 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501817 GELLIS, HOWARD HITCHIX COM INC S 3 31,7453 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501817 GELLIS, HOWARD CHARLES RIV LABORATORIES INTL INC C B 28,318.55 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501817 GELLIS, HOWARD CHARLES RIV LABORATORIES INTL INC C B 28,318.55 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT CHARLES RIV LABORATORIES A B 2,626.56 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT D J P MORGAN CHASE & CO B 2 2,740.59 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT D J P MORGAN CHASE & CO B 2 2,740.59 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT LAM RESEARCH CORP B 2,400.59 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT D FIDNMER HAUTHAL RESOURCES COMPANY S 5,935.72 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT P FIDNMER HAUTHAL RESOURCES COMPANY S 5,935.72 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT P FIDNMER HAUTHAL RESOURCES COMPANY S 5,935.74 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501828 KLAHN, ROBERT P FIDNMER HAUTHAL RESOURCES COMPANY S 5,935.74 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501838 REMINITORN, THOMAS D FIDNMES CORPORATION SERIES A B 6,032.64 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501838 REMINITORN, THOMAS D GREES INC S 5 14,415.33 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINITORN, THOMAS D GREES INC S 5 14,415.33 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINITORN, THOMAS D GREES INC S 5 12,856.86 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINITORN, THOMAS D GREES INC S 5 12,856.86 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINITORN, THOMAS D GREES INC S 5 12,856.86 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINITORN, THOMAS D GREES INC S 5 12,856.86 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 755018	08/15/2017 7	5501817 GELLIS, HC	OWARD	CELANESE CORPORATION SERIES A	В	12,320.25	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501817 GKLIIS, HOMARD LAM RESEARCH CORP B 12,803.12 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501817 GKLIIS, HOMARD NITFILIX COM INC S 31,374.53 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501817 GKLIIS, HOMARD PIONER NATURAL RESOURCES COMPANY S 25,992.34 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 755018187 GKLIIS, HOMARD PIONER NATURAL RESOURCES COMPANY S 25,992.34 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAIN, ROBERT CELANEE CORPORATION SERIES A B 28,318.55 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAIN, ROBERT CELANEE CORPORATION SERIES A B 2,764.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAIN, ROBERT JAM ROBERT LAM RESEARCH CORP B 2,764.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAIN, ROBERT LAM RESEARCH CORP B 2,764.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAIN, ROBERT POONER NATURAL RESOURCES COMPANY S 5,935.72 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAIN, ROBERT POONER NATURAL RESOURCES COMPANY S 5,935.72 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501828 KLAIN, ROBERT CHARLES RIV LABORATORIES INIT IN C B 5,603.71 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501828 KLAIN, ROBERT CHARLES RIV LABORATORIES INIT IN C B 5,603.71 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINISTON, THOMAS CECARS RIS INC S 1,118.75 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINISTON, THOMAS CECARS RIS INC S 1,118.75 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINISTON, THOMAS CECARS RIS INC S 1,118.75 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINISTON, THOMAS CECARS RIS INC S 1,118.75 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINISTON, THOMAS CECARS RIS INC S 1,118.75 0.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINISTON, THOMAS CECARS RIS INC S 1,118.75 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	08/15/2017 7	5501817 GELLIS, HC	OWARD	EOG RES INC	S	26,401.56	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501817 GELLIS, HOWARD PIONERE NATURAL RESOURCES COMPANY S 25,992.34 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501817 GELLIS, HOWARD CHARLES RIV LABORATORIES INTL INC CB 8,0818.55 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501817 GELLIS, HOWARD CHARLES RIV LABORATORIES INTL INC CB 8,0818.55 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501817 GELLIS, HOWARD CHARLES RIV LABORATORIES INTL INC CB 8,0818.55 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAIN, ROBERT CELANES CORPORATION SERIES A B 2,464.05 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAIN, ROBERT J P WORGAN CHASE & CO B 2,784.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAIN, ROBERT J P WORGAN CHASE & CO B 2,784.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAIN, ROBERT HINTOR CHARLES RIVE COMPAN B 2,400.59 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAIN, ROBERT HINTOR CHARLES RIVE COMPAN B 2,400.59 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAIN, ROBERT HINTOR CHARLES RIVE LABORATORIES INTL INC CB 5,531.76 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAIN, ROBERT CHARLES RIVE LABORATORIES INTL INC CB 5,663.71 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINISTON, THOMAS CHARLES CORPORATORIES INTL INC CB 5,663.71 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINISTON, THOMAS CHARLES CORPORATORIES INTL INC CB 5,663.71 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINISTON, THOMAS DIA RESEARCH COMP B 6,032.64 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINISTON, THOMAS CHARLES RIVE LABORATORIES INTL INC CB 1,418.75 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINISTON, THOMAS CHARLES RIVE LABORATORIES INTL INC CB 1,487.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINISTON, THOMAS CHARLES RIVE LABORATORIES INTL INC CB 1,487.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINISTON, THOMAS CHARLES RIVE LABORATORIES INTL INC CB 1,487.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINISTON, THOMAS CHARLES RIVE LABORATORIES INTL INC CB 1,487.00 0.00 0.00 0	08/15/2017 7	5501817 GELLIS, HC	OWARD	J P MORGAN CHASE & CO	В	12,993.39	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501817 GELLIS, HOWARD PIONEER NATURAL RESOURCES COMPANY S 25,992.34 0.00 0.00 0.00 70.0 70.0 0.00 08/22/2017 75501821 GELLIS, HOWARD CHARLES RIV LABORATORIES INTL INC C B 28,318.55 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT CLABORATORIES INTL INC C B 28,318.55 0.00 0.00 0.00 70.0 0.00 0.00 08/15/2017 75501822 KLAHN, ROBERT EDG RES INC S 5.626.56 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT LAM RESEARCH CORP B 2.784.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT LAM RESEARCH CORP B 2.784.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT LAM RESEARCH CORP B 2.784.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT NETFLIX COM INC S 5.935.72 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT CHARLES RIV LABORATORIES INTL INC C B 5.331.76 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501828 KEMINGTON, THOMAS CELANESE COMPONATY S 5.331.76 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS CELANESE COMPONATION SERIES A B 5.420.91 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS CELANESE COMPONATION SERIES A B 5.420.91 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS LAM RESEARCE CORP B 6.032.64 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS LAM RESEARCE CORP B 6.032.64 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS LAM RESEARCE CORP B 6.032.64 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS LAM RESEARCE CORP B 5.60.37 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS LAM RESEARCH CORP B 5.40.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS LAM RESEARCH CORP B 5.40.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS CELANESE COMPANY S 11,996.47 0.00 0.00 0.00 0.00 70.0 0.00 0.00 0	08/15/2017 7	5501817 GELLIS, HO	OWARD	LAM RESEARCH CORP	В	12,803.12	0.00	0.00	0.00	70.0	0.00
08/22/2017 75501817 GELLIS, HOWARD CHARLES RIV LABORATORIES INTL INC C B 28,318.55 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT CELAMESE CORPORATION SERIES A B 2.464.05 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT JP MORGAN CHASE & CO B 2.784.30 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT JP MORGAN CHASE & CO B 2.784.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT JP MORGAN CHASE & CO B 2.784.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT HAN THE FLIX COM INC S 5.935.72 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT PIONEER NATURAL RESOURCES COMPANY S 5.331.76 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT PIONEER NATURAL RESOURCES COMPANY S 5.331.76 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501856 REKINGTON, THOMAS CELAMESE CORPORATION SERIES A B 5.420.91 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 ERKINGTON, THOMAS DORGAN CHASE & CO B 5.661.71 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 ERKINGTON, THOMAS DORGAN CHASE & CO B 5.661.37 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 ERKINGTON, THOMAS LAM RESEARCH CORP B 5.661.37 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 ERKINGTON, THOMAS DORGAN CHASE & CO B 5.661.37 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 ERKINGTON, THOMAS DORGAN CHASE & CO B 5.661.37 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 ERKINGTON, THOMAS DORGAN CHASE & CO B 5.661.37 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 ERKINGTON, THOMAS DORGAN CHASE & CO B 5.661.37 0.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 ERKINGTON, THOMAS DORGAN CHASE & CO B 5.661.37 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	08/15/2017 7	5501817 GELLIS, HO	OWARD	NETFLIX COM INC	S	31,374.53	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501822 KLAHN, ROBERT CELANESE CORPORATION SERIES A B 2,464.05 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT EGG RES INC S 5,526.56 0.00 0.00 0.00 70.0 0.00 0.00 08/15/2017 75501822 KLAHN, ROBERT JP MORGAN CHASE & CO B 2,784.30 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT LAM RESEARCH CORP B 2,784.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT NETFLIX COM INC S 5,935.72 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT PIONERN NATURAL RESOURCES COMPANY S 5,331.76 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT CHARLES RIV LABORATORIES INTL INC C B 5,663.71 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT CHARLES RIV LABORATORIES INTL INC C B 5,663.71 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501828 REMINGTON, THOMAS CELANDES CORPORATION SERIES A B 5,420.91 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501882 REMINGTON, THOMAS GRES INC S 12,118.75 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501885 REMINGTON, THOMAS D JP MORGAN CHASE & CO B 6,032.64 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501885 REMINGTON, THOMAS D LAM RESEARCH CORP B 5,661.37 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501885 REMINGTON, THOMAS NOTETIL COM INC S 14,415.33 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501885 REMINGTON, THOMAS NOTETIL COM INC S 14,415.33 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501885 REMINGTON, THOMAS NOTETIL COM INC S 14,415.33 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501885 REMINGTON, THOMAS NOTETIL COM INC S 12,418.73 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501865 REMINGTON, THOMAS NOTETIL COM INC S 12,418.73 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501865 REMINGTON, THOMAS NOTETIL COM INC S 12,418.73 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501865 REMINGTON, THOMAS NOTETIL COM INC S 12,418.73 0.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501865 REMINGTON, THOMAS NOTETIL COM INC S 12,418.73 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	08/15/2017 7	5501817 GELLIS, HO	OWARD	PIONEER NATURAL RESOURCES COM	PANY S	25,992.34	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501822 KLAHN, ROBERT GOG RES INC S 5,626.56 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT JP MORGAN CHASE & CO B 2,784.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT LAM RESEARCH CORP B 2,400.59 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT PIONEER NATURAL RESOURCES COMPANY S 5,331.76 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT PIONEER NATURAL RESOURCES COMPANY S 5,331.76 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501828 KLAHN, ROBERT CHARLES RIV LABORATORIES INTL INC C B 5,663.71 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501828 KRMINGTON, THOMAS CELANESR CORPORATION SERIES A B 5,420.91 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS CELANESR CORPORATION SERIES A B 5,420.91 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DJ P MORGAN CHASE & CO B 6,032.64 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS LAM RESEARCH CORP B 5,601.37 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS LAM RESEARCH CORP B 5,601.37 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DIONEER NATURAL RESOURCES COMPANY S 11,996.47 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DIONEER NATURAL RESOURCES COMPANY S 11,996.47 0.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CLANEASE NOT LABORATORIES INTL INC C B 1,287.07 0.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE DJ P MORGAN CHASE & CO B 3,200.78 0.00 0.00 0.00 0.00 0.00 0.00 0.00	08/22/2017 7	5501817 GELLIS, HO	OWARD	CHARLES RIV LABORATORIES INTL	INC CB	28,318.55	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501822 KLAHN, ROBERT LAM RESEARCH CORP B 2,400.59 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT LAM RESEARCH CORP B 2,400.59 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT PIONEER NATURAL RESOURCES COMPANY S 5,331.76 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT PIONEER NATURAL RESOURCES COMPANY S 5,331.76 0.00 0.00 0.00 0.00 70.0 0.00 08/22/2017 75501822 KLAHN, ROBERT CHARLES RIV LABORATORIES INTL INC C B 5,663.71 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS CHARLES RIV LABORATORIES INTL INC C B 5,663.71 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DO RESOURCES COMPANY S 12,118.75 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DO RESOURCES COMPANY S 12,118.75 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS LAM RESEARCH CORP B 5,601.37 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS NETTLIX COM INC S 14,415.33 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS NETTLIX COM INC S 14,415.33 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS CHARLES RIV LABORATORIES INTL INC C B 12,872.07 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS CHARLES RIV LABORATORIES INTL INC C B 12,872.07 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS CHARLES RIV LABORATORIES INTL INC C B 12,872.07 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CELANESE CORPORATION SERIES A B 2,956.86 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CELANESE CORPORATION SERIES A B 2,956.86 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE DA CELANESE CORPORATION SERIES A B 2,784.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CELANESE CORPORATION SERIES A B 3,200.78 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CELANESE CORPORATION SERIES A B 3,600.0 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE DA CELANESE CORPORATION SERIES A B 3,600.0 0.00 0.00 0.00 0	08/15/2017 7	5501822 KLAHN, ROE	BERT	CELANESE CORPORATION SERIES A	В	2,464.05	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501822 KLAHN, ROBERT	08/15/2017 7	5501822 KLAHN, ROE	BERT	EOG RES INC	S	5,626.56	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501822 KLAHN, ROBERT PIONEER NATURAL RESOURCES COMPANY S 5,331.76 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT PIONEER NATURAL RESOURCES COMPANY S 5,331.76 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501822 KLAHN, ROBERT CHARLES RIV LABORATORIES INTL INC C B 5,663.71 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS CELAMESE CORPORATION SERIES A B 5,420.91 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DOE RESINC S 12,118.75 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DE RESINC S 12,118.75 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DE RESOURCES CORPORATION DE RESOURCES COMPANY S 14,415.33 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DEFILIX COM INC S 14,415.33 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DEFILIX COM INC S 14,415.33 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DEFILIX COM INC S 14,415.33 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS CHARLES RIV LABORATORIES INTL INC C B 12,872.07 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CELANESE CORPORATION SERIES A B 2,956.86 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CELANESE CORPORATION SERIES A B 2,956.86 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE DE RESINC S 6,059.38 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE DE RESINC S 6,059.38 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE NETFLIX COM INC S 6,784.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE NETFLIX COM INC S 6,784.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE NETFLIX COM INC S 6,784.50 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CHARLES RIV LABORATORIES INTL INC C B 6,178.59 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CHARLES RIV LABORATORIES INTL INC C B 6,178.59 0.00 0.00 0.00 0.00 0.00 70.0 0.00	08/15/2017 7	5501822 KLAHN, ROE	BERT	J P MORGAN CHASE & CO	В	2,784.30	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501822 KLAHN, ROBERT CHARLES RIV LABORATORIES INTL INC C B 5,631.71 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501828 KLAHN, ROBERT CHARLES RIV LABORATORIES INTL INC C B 5,631.71 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS CELANESE CORPORATION SERIES A B 5,420.91 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS EGG RES INC S 12.118.75 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS J P MORGAN CHASE & CO B 6,032.64 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS LAM RESEARCH CORP B 5,601.37 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DETELLATION OF SERIES A B 5,601.37 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DETELLATION OF SERIES A B 1.4,415.33 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS PIONEER NATURAL RESOURCES COMPANY S 11,996.47 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS CHARLES RIV LABORATORIES INTL INC C B 12,872.07 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CELANESE CORPORATION SERIES A B 2,956.86 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE GGG RES INC S 6,059.38 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE J P MORGAN CHASE & CO B 2,784.30 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE LAM RESEARCH CORP B 3,200.78 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE LAM RESEARCH CORP B 3,200.78 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE PIONEER NATURAL RESOURCES COMPANY S 5,998.23 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CHARLES RIV LABORATORIES INTL INC C B 6,783.68 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CHARLES RIV LABORATORIES INTL INC C B 6,783.68 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CHARLES RIV LABORATORIES INTL INC C B 6,783.68 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CHARLES RIV LABO	08/15/2017 7	5501822 KLAHN, ROE	BERT	LAM RESEARCH CORP	В	2,400.59	0.00	0.00	0.00	70.0	0.00
08/22/2017 75501822 KLAHN, ROBERT CHARLES RIV LABORATORIES INTL INC C B 5,663.71 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS CELANESE CORPORATION SERIES A B 5,420.91 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DJ P MORGAN CHASE & CO B 6,032.64 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS DJ P MORGAN CHASE & CO B 6,032.64 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS LAM RESEARCH CORP B 5,661.37 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS NETFLIX COM INC S 14,415.33 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS PIONER NATURAL RESOURCES COMPANY S 11,996.47 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS CHARLES RIV LABORATORIES INTL INC C B 12,872.07 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CELANESE CORPORATION SERIES A B 2,956.86 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE DG RES INC S 6,059.38 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE DG RES INC S 6,059.38 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE LAM RESEARCH CORP B 3,200.78 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE NETFLIX COM INC S 6,784.36 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE NETFLIX COM INC S 6,784.36 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE NETFLIX COM INC S 6,784.36 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE PIONER NATURAL RESOURCES COMPANY S 5,998.23 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE PIONER NATURAL RESOURCES COMPANY S 5,998.23 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CHARLES RIV LABORATORIES INTL INC C B 6,178.59 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CHARLES RIV LABORATORIES INTL INC C B 6,178.59 0.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CHARLES RIV LABORATORIES INTL INC C B 9,622.00 0.00 0.00 0.00	08/15/2017 7	5501822 KLAHN, ROE	BERT	NETFLIX COM INC	S	5,935.72	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501858 REMINGTON, THOMAS	08/15/2017 7	5501822 KLAHN, ROE	BERT	PIONEER NATURAL RESOURCES COM	PANY S	5,331.76	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501858 REMINGTON, THOMAS	08/22/2017 7	5501822 KLAHN, ROE	BERT	CHARLES RIV LABORATORIES INTL	INC CB	5,663.71	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501858 REMINGTON, THOMAS LAM RESEARCH CORP B 5,032.64 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS LAM RESEARCH CORP B 5,601.37 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS NETFLIX COM INC S 14,415.33 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501858 REMINGTON, THOMAS PIONEER NATURAL RESOURCES COMPANY S 11,996.47 0.00 0.00 0.00 0.00 70.0 0.00 08/22/2017 75501858 REMINGTON, THOMAS CHARLES RIV LABORATORIES INTL INC C B 12,872.07 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE CELANESE CORPORATION SERIES A B 2,956.86 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE EOG RES INC S 6,059.38 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE J P MORGAN CHASE & CO B 2,784.30 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE LAM RESEARCH CORP B 3,200.78 0.00 0.00 0.00 70.0 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE NETFLIX COM INC S 6,783.68 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE NETFLIX COM INC S 6,783.68 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE PIONEER NATURAL RESOURCES COMPANY S 5,998.23 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE PIONEER NATURAL RESOURCES COMPANY S 5,998.23 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE FIRST SOLAR INC B 9,622.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501862 BENJAMIN, WAYNE FIRST SOLAR INC B 9,622.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501862 BENJAMIN, WAYNE FIRST SOLAR INC B 9,622.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501862 BENJAMIN, WAYNE FIRST SOLAR INC B 9,622.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501862 BENJAMIN, WAYNE FIRST SOLAR INC B 9,622.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501862 BENJAMIN, WAYNE FIRST SOLAR INC B 9,622.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501867 ESKENAZI, PHOEBE CELANESE CORPORATION SERIES A B 1,478.43 0.00 0.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501870 ESKENAZI, PHOEBE CELANESE CORPORATION	08/15/2017 7	5501858 REMINGTON,	, THOMAS	CELANESE CORPORATION SERIES A	В	5,420.91	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501858 REMINGTON, THOMAS LAM RESEARCH CORP B 5,601.37 0.00 0.00 0.00 70.0 0.00 0.00 0.00 0	08/15/2017 7	5501858 REMINGTON,	, THOMAS	EOG RES INC	S	12,118.75	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501858 REMINGTON, THOMAS NETFLIX COM INC S 14,415.33 0.00 0.00 0.00 70.0 0.00 0.00 0.00 0	08/15/2017 7	5501858 REMINGTON,	, THOMAS	J P MORGAN CHASE & CO	В	6,032.64	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501858 REMINGTON, THOMAS PIONEER NATURAL RESOURCES COMPANY S 11,996.47 0.00 0.00 0.00 0.00 70.0 0.00 0.00 0	08/15/2017 7	5501858 REMINGTON,	, THOMAS	LAM RESEARCH CORP	В	5,601.37	0.00	0.00	0.00	70.0	0.00
08/22/2017 75501858 REMINGTON, THOMAS CHARLES RIV LABORATORIES INTL INC C B 12,872.07 0.00 0.00 0.00 70.0 0.00 0.00 0.00	08/15/2017 7	5501858 REMINGTON,	, THOMAS	NETFLIX COM INC	S	14,415.33	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501861 BENJAMIN, WAYNE CELANESE CORPORATION SERIES A B 2,956.86 0.00 0.00 0.00 70.0 0.00 0.00 0.00 0.0	08/15/2017 7	5501858 REMINGTON,	, THOMAS	PIONEER NATURAL RESOURCES COM	PANY S	11,996.47	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501861 BENJAMIN, WAYNE	08/22/2017 7	5501858 REMINGTON,	, THOMAS	CHARLES RIV LABORATORIES INTL	INC CB	12,872.07	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501861 BENJAMIN, WAYNE	08/15/2017 7	5501861 BENJAMIN,	WAYNE	CELANESE CORPORATION SERIES A	В	2,956.86	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501861 BENJAMIN, WAYNE LAM RESEARCH CORP B 3,200.78 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE NETFLIX COM INC S 6,783.68 0.00 0.00 0.00 70.0 0.00 0.00 0.00 0.0	08/15/2017 7	5501861 BENJAMIN,	WAYNE	EOG RES INC	S	6,059.38	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501861 BENJAMIN, WAYNE NETFLIX COM INC S 6,783.68 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501861 BENJAMIN, WAYNE PIONEER NATURAL RESOURCES COMPANY S 5,998.23 0.00 0.00 0.00 70.0 0.00 0.00 0.00 0.0	08/15/2017 7	5501861 BENJAMIN,	WAYNE	J P MORGAN CHASE & CO	В	2,784.30	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501861 BENJAMIN, WAYNE PIONEER NATURAL RESOURCES COMPANY S 5,998.23 0.00 0.00 0.00 70.0 0.00 08/22/2017 75501861 BENJAMIN, WAYNE CHARLES RIV LABORATORIES INTL INC C B 6,178.59 0.00 0.00 0.00 70.0 0.00 0.00 0.00 0.0	08/15/2017 7	5501861 BENJAMIN,	WAYNE	LAM RESEARCH CORP	В	3,200.78	0.00	0.00	0.00	70.0	0.00
08/22/2017 75501861 BENJAMIN, WAYNE CHARLES RIV LABORATORIES INTL INC C B 6,178.59 0.00 0.00 0.00 70.0 0.00 08/07/2017 75501862 BENJAMIN, WAYNE FIRST SOLAR INC B 9,622.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501870 ESKENAZI, PHOEBE CELANESE CORPORATION SERIES A B 1,478.43 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501870 ESKENAZI, PHOEBE EOG RES INC S 3,462.50 0.00 0.00 0.00 70.0 0.00 0.00 70.0 0.00 08/15/2017 75501870 ESKENAZI, PHOEBE J P MORGAN CHASE & CO B 1,392.15 0.00 0.00 0.00 70.0 0.00 0.00	08/15/2017 7	5501861 BENJAMIN,	WAYNE	NETFLIX COM INC	S	6,783.68	0.00	0.00	0.00	70.0	0.00
08/07/2017 75501862 BENJAMIN, WAYNE FIRST SOLAR INC B 9,622.00 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501870 ESKENAZI, PHOEBE CELANESE CORPORATION SERIES A B 1,478.43 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501870 ESKENAZI, PHOEBE EOG RES INC S 3,462.50 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501870 ESKENAZI, PHOEBE J P MORGAN CHASE & CO B 1,392.15 0.00 0.00 0.00 70.0 0.00 0.00	08/15/2017 7	5501861 BENJAMIN,	WAYNE	PIONEER NATURAL RESOURCES COM	PANY S	5,998.23	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501870 ESKENAZI, PHOEBE CELANESE CORPORATION SERIES A B 1,478.43 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501870 ESKENAZI, PHOEBE EOG RES INC S 3,462.50 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501870 ESKENAZI, PHOEBE J P MORGAN CHASE & CO B 1,392.15 0.00 0.00 0.00 70.0 0.00 0.00	08/22/2017 7	5501861 BENJAMIN,	WAYNE	CHARLES RIV LABORATORIES INTL	INC CB	6,178.59	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501870 ESKENAZI, PHOEBE EOG RES INC S 3,462.50 0.00 0.00 0.00 70.0 0.00 08/15/2017 75501870 ESKENAZI, PHOEBE J P MORGAN CHASE & CO B 1,392.15 0.00 0.00 0.00 70.0 0.00	08/07/2017 7	5501862 BENJAMIN,	WAYNE	FIRST SOLAR INC	В	9,622.00	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501870 ESKENAZI, PHOEBE J P MORGAN CHASE & CO B 1,392.15 0.00 0.00 0.00 70.0 0.00	08/15/2017 7	5501870 ESKENAZI,	PHOEBE	CELANESE CORPORATION SERIES A	В	1,478.43	0.00	0.00	0.00	70.0	0.00
	08/15/2017 7	5501870 ESKENAZI,	PHOEBE	EOG RES INC	S	3,462.50	0.00	0.00	0.00	70.0	0.00
08/15/2017 75501870 ESKENAZI, PHOEBE LAM RESEARCH CORP B 1,600.39 0.00 0.00 0.00 70.0 0.00	08/15/2017 7	5501870 ESKENAZI,	PHOEBE	J P MORGAN CHASE & CO	В	1,392.15	0.00	0.00	0.00	70.0	0.00
,	08/15/2017 7	5501870 ESKENAZI,	PHOEBE	LAM RESEARCH CORP	В	1,600.39	0.00	0.00	0.00	70.0	0.00

INVESTMENT

GROSS

CLEARING

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TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKER TR	ANSA	CTIONS					
מסטונטיי כאי	TEGORY: STOCKS								
-	'5501870 ESKENAZI, PHOEBE	NETFLIX COM INC	S	4,239.80	0.00	0.00	0.00	70.0	0.00
, -,	'5501870 ESKENAZI, PHOEBE	PIONEER NATURAL RESOURCES COMPANY	S	3,332.35	0.00	0.00		70.0	0.00
	5501870 ESKENAZI, PHOEBE	CHARLES RIV LABORATORIES INTL INC		3,604.18	0.00	0.00		70.0	0.00
	5501884 MARTIN, KATHY	CELANESE CORPORATION SERIES A	В	3,942.48	0.00	0.00		70.0	0.00
	5501884 MARTIN, KATHY	EOG RES INC	S	8,656.25	0.00	0.00		70.0	0.00
	5501884 MARTIN, KATHY	J P MORGAN CHASE & CO	В	4,176.45	0.00	0.00		70.0	0.00
	5501884 MARTIN, KATHY	LAM RESEARCH CORP	В	4,000.98	0.00	0.00		70.0	0.00
	5501884 MARTIN, KATHY	NETFLIX COM INC	S	10,175.52	0.00	0.00		70.0	0.00
	5501884 MARTIN, KATHY	PIONEER NATURAL RESOURCES COMPANY	S	8,664.11	0.00	0.00		70.0	0.00
	5501884 MARTIN, KATHY	CHARLES RIV LABORATORIES INTL INC		9,267.89	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	BECTON DICKINSON & CO	S	1,008.80	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	CATERPILLAR INC	S	565.30	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	CUMMINS INC	S	781.25	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	ILLINOIS TOOL WORKS INC	S	702.55	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	LAM RESEARCH CORP	S	788.30	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	LEAR CORPORATION	S	728.50	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	LENNOX INTL INC	S	851.85	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	MARTIN MARIETTA MATLS INC	S	1,051.35	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	PROOFPOINT INC	S	434.25	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	S&P GLOBAL INC COM	S	776.30	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	VAIL RESORTS INC	S	1,059.80	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	CELANESE CORPORATION SERIES A	В	985.62	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	EOG RES INC	S	2,164.06	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	J P MORGAN CHASE & CO	В	928.10	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	LAM RESEARCH CORP	В	1,600.39	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	NETFLIX COM INC	S	2,543.88	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	PIONEER NATURAL RESOURCES COMPANY	S	1,999.41	0.00	0.00		70.0	0.00
	5501888 KAYLOR, KEVIN	CHARLES RIV LABORATORIES INTL INC		2,059.53	0.00	0.00		70.0	0.00
	5501890 KAYLOR, KEVIN	CELANESE CORPORATION SERIES A	В	5,420.91	0.00	0.00		70.0	0.00
	5501890 KAYLOR, KEVIN	EOG RES INC	S	10,820.31	0.00	0.00		70.0	0.00
	5501890 KAYLOR, KEVIN	J P MORGAN CHASE & CO	В	5,568.59	0.00	0.00		70.0	0.00
	5501890 KAYLOR, KEVIN	LAM RESEARCH CORP	В	5,601.37	0.00	0.00		70.0	0.00
	5501890 KAYLOR, KEVIN	NETFLIX COM INC	S	12,719.40	0.00	0.00		70.0	0.00
	5501890 KAYLOR, KEVIN	PIONEER NATURAL RESOURCES COMPANY		10,663.53	0.00	0.00		70.0	0.00
	5501890 KAYLOR, KEVIN	CHARLES RIV LABORATORIES INTL INC (11,842.30	0.00	0.00		70.0	0.00
	5501914 NATASHA RAJ AHUJA	CELANESE CORPORATION SERIES A	В	2,464.05	0.00	0.00		70.0	0.00
	5501914 NATASHA RAJ AHUJA	EOG RES INC	S	5,626.56	0.00	0.00		70.0	0.00
	5501914 NATASHA RAJ AHUJA	J P MORGAN CHASE & CO	В	2,784.30	0.00	0.00		70.0	0.00
	5501914 NATASHA RAJ AHUJA	LAM RESEARCH CORP	В		0.00	0.00		70.0	0.00
				2,400.59					
00/I3/ZUI/ /	'5501914 NATASHA RAJ AHUJA	NETFLIX COM INC	S	5,935.72	0.00	0.00	0.00	70.0	0.00

TRADE DATE	CLIENT		INVESTME	INT		B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
					BROKER	TRANS	ACTIONS					
PRODUCT CA	TEGORY: STOC	CKS										
08/15/2017 7	'5501914 NATAS	HA RAJ AHUJA	PIONEER NA	TURAL RESOU	RCES COMPAN	IY S	5,331.76	0.00	0.00	0.00	70.0	0.00
	5501914 NATAS			V LABORATOR			5,663.71	0.00	0.00	0.00	70.0	0.00
	5501946 ANYA			ORPORATION		В	2,464.05	0.00	0.00	0.00	70.0	0.00
	5501946 ANYA		EOG RES IN	IC		S	5,626.56	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501946 ANYA	Р АНИЈА 1999	J P MORGAN	CHASE & CO		В	2,784.30	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501946 ANYA	Р АНИЈА 1999	LAM RESEAR	CH CORP		В	2,400.59	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501946 ANYA	Р АНИЈА 1999	NETFLIX CO	M INC		S	5,935.72	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	5501946 ANYA	Р АНИЈА 1999	PIONEER NA	TURAL RESOU	RCES COMPAN	IY S	5,331.76	0.00	0.00	0.00	70.0	0.00
08/22/2017 7	5501946 ANYA	Р АНИЈА 1999	CHARLES RI	V LABORATOR	IES INTL IN	IC CB	5,663.71	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	'5501953 MCCRA	Y, ROBIN	CELANESE C	ORPORATION	SERIES A	В	1,971.24	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	'5501953 MCCRA	Y, ROBIN	EOG RES IN	IC		S	3,895.31	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	'5501953 MCCRA	Y, ROBIN	J P MORGAN	CHASE & CO		В	1,856.20	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	'5501953 MCCRA	Y, ROBIN	LAM RESEAR	CH CORP		В	1,600.39	0.00	0.00	0.00	70.0	0.00
	'5501953 MCCRA		NETFLIX CO			S	4,239.80	0.00	0.00	0.00	70.0	0.00
	'5501953 MCCRA			TURAL RESOU	RCES COMPAN	IY S	3,998.82	0.00	0.00	0.00	70.0	0.00
	'5501953 MCCRA			V LABORATOR			4,119.06	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	'5501960 BLUE	PELICAN LLC	CELANESE C	ORPORATION	SERIES A	В	9,363.39	0.00	0.00	0.00	70.0	0.00
08/15/2017 7	'5501960 BLUE	PELICAN LLC	EOG RES IN			S	20,342.19	0.00	0.00	0.00	70.0	0.00
	'5501960 BLUE		J P MORGAN	CHASE & CO		В	9,745.04	0.00	0.00	0.00	70.0	0.00
	'5501960 BLUE		LAM RESEAR			В	9,602.34	0.00	0.00	0.00	70.0	0.00
	'5501960 BLUE		NETFLIX CO			S	23,742.89	0.00	0.00	0.00	70.0	0.00
	'5501960 BLUE			TURAL RESOU	RCES COMPAN	IY S	19,994.11	0.00	0.00	0.00	70.0	0.00
	'5501960 BLUE			V LABORATOR			21,625.08	0.00	0.00	0.00	70.0	0.00
					OCKS SUBTOT		\$1,119,702.49	\$522.10	\$0.00	\$522.10		\$365.47
PRODUCT CA	TEGORY: BOND	os										
08/03/2017 7	5501393 BORIS	H, MURIEL	GLOBAL MAR	INE INC NTS		В	27,975.00	450.00	0.00	450.00	70.0	315.00
				* B0	ONDS SUBTOT	AL *	\$27,975.00	\$450.00	\$0.00	\$450.00		\$315.00
PRODUCT CA	TEGORY: OPTI	ONS										
07/31/2017 7	5501726 PENN,	SHELDON	CALL CC	10/20/17	36	S	3,960.00	26.14	0.00	26.14	70.0	0.00
07/31/2017 7	5501726 PENN,	SHELDON	CALL VFC	11/17/17	57.50	В	1,830.00	62.32	0.00	62.32	70.0	43.62
07/31/2017 7	5501726 PENN,	SHELDON	PUT NEM	09/15/17	37	S	381.00	37.50	0.00	37.50	70.0	0.00
08/02/2017 7	5501726 PENN,	SHELDON	PUT ATO	01/19/18	85	S	555.00	37.50	0.00	37.50	70.0	0.00
08/02/2017 7	5501726 PENN,	SHELDON	PUT PSX	01/19/18	77.50	S	405.00	37.50	0.00	37.50	70.0	0.00
08/07/2017 7	5501726 PENN,	SHELDON	PUT GILD	11/17/17	77.50	В	1,980.00	64.58	0.00	64.58	70.0	45.21
08/07/2017 7	'5501726 PENN,	SHELDON	PUT WBA	10/20/17	82.50	S	1,035.00	38.81	0.00	38.81	70.0	0.00
08/14/2017 7	'5501726 PENN,	SHELDON	PUT APC	11/17/17	50	В	2,160.00	67.28	0.00	67.28	70.0	47.10
08/21/2017 7	'5501726 PENN,	SHELDON	CALL SPY	01/20/17	222	S	1,650.00	59.60	0.00	59.60	70.0	41.72
08/21/2017 7	'5501726 PENN,	SHELDON	CALL SQ	01/19/18	23	В	1,200.00	45.00	0.00	45.00	70.0	0.00

TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRANS	SACTIONS					
PRODUCT CATEGORY: OPTIONS							
08/28/2017 75501726 PENN, SHELDON	CALL DRI 01/19/18 80 B	2,070.00	65.93	0.00	65.93	70.0	46.15
	* OPTIONS SUBTOTAL *	\$17,226.00	\$542.16	\$0.00	\$542.16		\$223.80
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS						
08/09/2017 75501393 BORISH, MURIEL	**VIRTUS MULTI SECTOR SHORT B	0.00	18.62	0.00	18.62	70.0	13.03
08/09/2017 75501461 OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES B	0.00	2.32	0.00	2.32	70.0	1.62
08/09/2017 75501462 OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES B	0.00	0.98	0.00	0.98	70.0	0.00
08/09/2017 75501463 ZWASKIS, CAROLYN	**VIRTUS GLOBAL OPPORTUNITIES B	0.00	0.82	0.00	0.82	70.0	0.00
08/09/2017 75501474 PETRONIS, GEORGE	**VIRTUS MULTI SECTOR SHORT B	0.00	17.31	0.00	17.31	70.0	12.12
08/09/2017 75501498 LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES B	0.00	8.89	0.00	8.89	70.0	6.22
08/09/2017 75501498 LESTARDO, AVON	**VIRTUS MULTI ASSET TREND B	0.00	1.03	0.00	1.03	70.0	0.72
08/09/2017 75501498 LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	2.68	0.00	2.68	70.0	1.88
08/09/2017 75501498 LESTARDO, AVON	FRANKLIN INCOME FUND CLASS A B	0.00	0.05	0.00	0.05	70.0	0.00
08/09/2017 75501499 LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES B	0.00	0.47	0.00	0.47	70.0	0.00
08/09/2017 75501499 LESTARDO, AVON	**VIRTUS MULTI SECTOR SHORT B	0.00	2.06	0.00	2.06	70.0	1.44
08/09/2017 75501499 LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	2.02	0.00	2.02	70.0	1.41
08/09/2017 75501499 LESTARDO, AVON	FEDERATED WORLD INVT SER INC INTL S B	0.00	10.65	0.00	10.65	70.0	7.46
08/09/2017 75501499 LESTARDO, AVON	FRANKLIN INCOME FUND CLASS A B	0.00	1.75	0.00	1.75	70.0	1.23
08/09/2017 75501502 MATHEUS, JEANMARIE	**VIRTUS GLOBAL OPPORTUNITIES B	0.00	3.54	0.00	3.54	70.0	2.48
08/09/2017 75501610 VORMWALD, G ROBERT	**VIRTUS EQUITY TREND FUND B	0.00	4.24	0.00	4.24	70.0	2.97
08/09/2017 75501610 VORMWALD, G ROBERT	FRK UTIL FND - CL A B	0.00	2.54	0.00	2.54	70.0	1.78
08/09/2017 75501611 VORMWALD, G ROBERT	**VIRTUS EQUITY TREND FUND B	0.00	1.42	0.00	1.42	70.0	0.99
08/09/2017 75501612 VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND B	0.00	3.39	0.00	3.39	70.0	2.37
08/09/2017 75501613 VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND B	0.00	1.13	0.00	1.13	70.0	0.79
08/09/2017 75501614 VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND B	0.00	8.48	0.00	8.48	70.0	5.94
08/09/2017 75501614 VORMWALD, KATHLEEN	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	12.34	0.00	12.34	70.0	8.64
08/09/2017 75501726 PENN, SHELDON	**VIRTUS MULTI SECTOR SHORT B	0.00	22.70	0.00	22.70	70.0	15.89
08/09/2017 75501726 PENN, SHELDON	JANUS GLOBAL UNCONSTRAINED BOND FUN B	0.00	4.31	0.00	4.31	70.0	3.02
08/09/2017 75501729 PENN, ELAYNE	**VIRTUS EQUITY TREND FUND B	0.00	4.17	0.00	4.17	70.0	2.92
08/09/2017 75501729 PENN, ELAYNE	**VIRTUS MULTI SECTOR SHORT B	0.00	6.55	0.00	6.55	70.0	4.59
08/09/2017 75501729 PENN, ELAYNE	GOLDMAN SACHS TR RISING DIVIDEND GR B	0.00	1.74	0.00	1.74	70.0	1.22
08/09/2017 75501729 PENN, ELAYNE	LORD ABBETT MID CAP STOCK FUND INC B	0.00	24.83	0.00	24.83	70.0	17.38
08/09/2017 75501730 PENN, ELAYNE	FRANKLIN INCOME FUND CLASS C B	0.00	1.35	0.00	1.35	70.0	0.95
08/09/2017 75501735 GARNETT, ALFRED	DAVIS NEW YORK VENTURE CLASS A B	0.00	3.15	0.00	3.15	70.0	2.21
08/09/2017 75501770 CHRISTIAN, SARA	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	7.88	0.00	7.88	70.0	5.52
08/09/2017 75501770 CHRISTIAN, SARA	EUROPACIFIC GROWTH FUND-A B	0.00	73.22	0.00	73.22	70.0	51.25
08/09/2017 75501770 CHRISTIAN, SARA	THE GROWTH FUND OF AMERICA-A B	0.00	20.36	0.00	20.36	70.0	14.25
08/09/2017 75501775 SMITH, GLENN	**VIRTUS EQUITY TREND FUND B	0.00	5.55	0.00	5.55	70.0	3.89
08/09/2017 75501776 DERR, LEROY	**VIRTUS GLOBAL OPPORTUNITIES B	0.00	10.35	0.00	10.35	70.0	7.25
08/09/2017 75501776 DERR, LEROY	**VIRTUS MULTI SECTOR SHORT B	0.00	0.86	0.00	0.86	70.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER	TRANS	ACTIONS					
PRODUCT CAT	regory: Mutual fund 12B1	TRAILS							
08/09/2017 7!	5501787 PETRONIS, GEORGE	**VIRTUS GLOBAL OPPORTUNITIES	В	0.00	20.39	0.00	20.39	70.0	14.27
	5501792 WITMAN, RUSSELL	**VIRTUS MULTI SECTOR SHORT	В	0.00	1.81	0.00	1.81	70.0	1.27
08/09/2017 7	5501806 BARBARAS, GLEN	**VIRTUS MULTI ASSET TREND	В	0.00	47.86	0.00	47.86	70.0	33.50
08/09/2017 7	5501806 BARBARAS, GLEN	BLACKROCK GLOBAL ALLOCATION FUND	INB	0.00	29.34	0.00	29.34	70.0	20.54
08/09/2017 7	5501809 WRIGHT, PAMELA	**VIRTUS GLOBAL OPPORTUNITIES	В	0.00	26.38	0.00	26.38	70.0	18.47
08/09/2017 7	5501819 KLAHN, ROBERT	**VIRTUS EQUITY TREND FUND	В	0.00	20.04	0.00	20.04	70.0	14.03
08/09/2017 7	5501819 KLAHN, ROBERT	**VIRTUS GLOBAL OPPORTUNITIES	В	0.00	54.21	0.00	54.21	70.0	37.95
08/09/2017 7	5501819 KLAHN, ROBERT	**VIRTUS MULTI SECTOR SHORT	В	0.00	23.65	0.00	23.65	70.0	16.56
08/09/2017 7	5501819 KLAHN, ROBERT	FEDERATED GLOBAL ALLOCATION FUND	CL B	0.00	4.18	0.00	4.18	70.0	2.93
08/09/2017 7	5501819 KLAHN, ROBERT	JANUS GLOBAL UNCONSTRAINED BOND	FUN B	0.00	64.75	0.00	64.75	70.0	45.33
08/09/2017 7	5501831 COLACINO, JOSEPH	**VIRTUS GLOBAL OPPORTUNITIES	В	0.00	9.76	0.00	9.76	70.0	6.83
08/09/2017 7	5501842 STRAUGHN, SARAH	**GUGGENHEIM FDS TR	В	0.00	3.09	0.00	3.09	70.0	2.16
08/09/2017 7	5501842 STRAUGHN, SARAH	**VIRTUS EQUITY TREND FUND	В	0.00	6.90	0.00	6.90	70.0	4.83
08/09/2017 7	5501842 STRAUGHN, SARAH	**VIRTUS MULTI ASSET TREND	В	0.00	9.33	0.00	9.33	70.0	6.53
08/09/2017 7	5501842 STRAUGHN, SARAH	FEDERATED MUN SECS INCOME TR HIG	нув	0.00	5.09	0.00	5.09	70.0	3.56
08/09/2017 7	5501842 STRAUGHN, SARAH	JANUS GLOBAL UNCONSTRAINED BOND	FUN B	0.00	12.95	0.00	12.95	70.0	9.07
08/09/2017 7	5501844 STRAUGHN, SARAH	**VIRTUS EQUITY TREND FUND	В	0.00	2.36	0.00	2.36	70.0	1.65
08/09/2017 7	5501844 STRAUGHN, SARAH	LORD ABBETT MID CAP STOCK FUND I	NC B	0.00	61.90	0.00	61.90	70.0	43.33
08/09/2017 7	5501844 STRAUGHN, SARAH	LORD ABBETT AFFILIATED FUND INC-	CL B	0.00	42.64	0.00	42.64	70.0	29.85
08/09/2017 7	5501846 STRAUGHN, SARAH	TEMP WORLD FND - CL A	В	0.00	8.09	0.00	8.09	70.0	5.66
08/09/2017 7	5501846 STRAUGHN, SARAH	THE GROWTH FUND OF AMERICA-A	В	0.00	17.91	0.00	17.91	70.0	12.54
08/09/2017 7	5501846 STRAUGHN, SARAH	THE INVESTMENT COMPANY OF AMERIC	A-A B	0.00	18.64	0.00	18.64	70.0	13.05
08/09/2017 7	5501863 BENJAMIN, WAYNE	**VIRTUS EQUITY TREND FUND	В	0.00	9.87	0.00	9.87	70.0	6.91
08/09/2017 7	5501863 BENJAMIN, WAYNE	CAPITAL WORLD GROWTH AND INCOME	FUN B	0.00	28.02	0.00	28.02	70.0	19.61
08/09/2017 7	5501863 BENJAMIN, WAYNE	RYDEX SER FDS S&P 500 2X STRATEG	Y C B	0.00	35.57	0.00	35.57	70.0	24.90
08/09/2017 7	5501864 BENJAMIN, WAYNE	**VIRTUS EQUITY TREND FUND	В	0.00	2.22	0.00	2.22	70.0	1.55
08/09/2017 7	5501864 BENJAMIN, WAYNE	CAPITAL WORLD GROWTH AND INCOME	FUN B	0.00	6.90	0.00	6.90	70.0	4.83
08/09/2017 7	5501864 BENJAMIN, WAYNE	RYDEX SECTOR ROTATION FUND CLASS	СВ	0.00	3.47	0.00	3.47	70.0	2.43
08/09/2017 7	5501864 BENJAMIN, WAYNE	RYDEX SER FDS NOVA FD CL C	В	0.00	5.30	0.00	5.30	70.0	3.71
08/09/2017 7	5501864 BENJAMIN, WAYNE	RYDEX SER FDS S&P 500 2X STRATEG	Y C B	0.00	20.16	0.00	20.16	70.0	14.11
08/09/2017 7	5501865 BENJAMIN, WAYNE	AMCAP FUND-A	В	0.00	5.96	0.00	5.96	70.0	4.17
08/09/2017 7	5501865 BENJAMIN, WAYNE	RYDEX SECTOR ROTATION FUND CLASS	СВ	0.00	5.26	0.00	5.26	70.0	3.68
08/09/2017 7	5501871 ESKENAZI, PHOEBE	**VIRTUS GLOBAL OPPORTUNITIES	В	0.00	3.96	0.00	3.96	70.0	2.77
08/09/2017 7	5501871 ESKENAZI, PHOEBE	CAPITAL WORLD GROWTH AND INCOME	FUN B	0.00	3.09	0.00	3.09	70.0	2.16
08/09/2017 7	5501871 ESKENAZI, PHOEBE	LORD ABBETT MID CAP STOCK FUND I	NC B	0.00	67.71	0.00	67.71	70.0	47.40
08/09/2017 7	5501872 ESKENAZI, PHOEBE	**VIRTUS EQUITY TREND FUND	В	0.00	3.25	0.00	3.25	70.0	2.28
08/09/2017 7	5501872 ESKENAZI, PHOEBE	AMCAP FUND-A	В	0.00	3.33	0.00	3.33	70.0	2.33
08/09/2017 7	5501872 ESKENAZI, PHOEBE	CAPITAL WORLD GROWTH AND INCOME	FUN B	0.00	1.04	0.00	1.04	70.0	0.73
08/09/2017 7	5501872 ESKENAZI, PHOEBE	FUNDAMENTAL INVESTORS-A	В	0.00	3.15	0.00	3.15	70.0	2.21
08/11/2017 7	5501872 ESKENAZI, PHOEBE	DELAWARE GROUP HIGH YIELD OPPORT	UNI B	0.00	0.83	0.00	0.83	70.0	0.00

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INVESTMENT

GROSS

CLEARING

NET

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKER TI	RANSA	CTIONS					
ספרטנוטיד טאי	TEGORY: MUTUAL FUND 12B1	TDATI.S							
	5501880 WINDETT, ROSE	**VIRTUS EQUITY TREND FUND	В	0.00	0.69	0.00	0.69	70.0	0.00
	5501881 MARTIN, KATHY	JANUS INVT FD BALANCED FD CL C	В	0.00	41.61	0.00		70.0	29.13
	5501882 MARTIN, KATHY	**VIRTUS GLOBAL OPPORTUNITIES	В	0.00	6.76	0.00	6.76	70.0	4.73
	5501886 MARTIN, KATHY	**VIRTUS GLOBAL OPPORTUNITIES	В	0.00	9.67	0.00		70.0	6.77
	5501886 MARTIN, KATHY	**VIRTUS MULTI ASSET TREND	В	0.00	10.34	0.00		70.0	7.24
	5501891 KAYLOR, KEVIN	**VIRTUS GLOBAL OPPORTUNITIES	В	0.00	11.71	0.00		70.0	8.20
	5501891 KAYLOR, KEVIN	LORD ABBETT MID CAP STOCK FUND INC		0.00	11.19	0.00	11.19	70.0	7.83
	5501892 KAYLOR, KRISTENE	**VIRTUS GLOBAL OPPORTUNITIES	. в	0.00	4.54	0.00		70.0	3.18
	5501893 KLAHN, ROBERT	**VIRTUS EQUITY TREND FUND	В	0.00	2.30	0.00	2.30	70.0	1.61
	5501910 RUTTER, DOUGLAS	**VIRTUS GLOBAL OPPORTUNITIES	В	0.00	5.02	0.00	5.02	70.0	3.51
	5501911 RUTTER, KENNETH	**VIRTUS GLOBAL OPPORTUNITIES	В	0.00	5.02	0.00	5.04	70.0	3.53
	5501915 SPARRE, GERI	**VIRTUS GLOBAL OPPORTUNITIES	В	0.00	9.33	0.00	9.33		6.53
	5501927 VASSALOTTI, MARYANI		В	0.00	18.42	0.00		70.0	12.89
	5501928 GELLIS, HOWARD	**VIRTUS GLOBAL OPPORTUNITIES	В	0.00	10.12	0.00	10.12	70.0	7.13
	5501935 STAUFFER, EDWARD	RYDEX SERIES FDS EUROPE 1.25% STRA		0.00	0.60	0.00	0.60	70.0	0.00
	5501935 STAUFFER, EDWARD	**KINETICS PARADIGM FUND	В	0.00	1.14	0.00		70.0	0.80
	5501938 ROSENBERG, DAVID	**VIRTUS EQUITY TREND FUND	В	0.00	2.74	0.00		70.0	1.92
	5501938 ROSENBERG, DAVID	**VIRTUS MULTI ASSET TREND	В	0.00	10.13	0.00		70.0	7.09
	5501938 ROSENBERG, DAVID	AMERICAN MUTUAL FUND-A	В	0.00	38.35	0.00	38.35	70.0	26.85
	5501939 ROSENBERG, ELAINE	**VIRTUS MULTI ASSET TREND	В	0.00	1.50	0.00		70.0	1.05
	5501940 ROSENBERG, ELAINE	**VIRTUS EQUITY TREND FUND	В	0.00	6.84	0.00		70.0	4.79
	5501940 ROSENBERG, ELAINE	**VIRTUS MULTI ASSET TREND	В	0.00	4.63	0.00		70.0	3.24
	5501941 GIBBS, REBECCA	**VIRTUS EQUITY TREND FUND	В	0.00	2.40	0.00	2.40	70.0	1.68
	5501943 GIBBS, REBECCA	**VIRTUS EQUITY TREND FUND	В	0.00	4.24	0.00		70.0	2.97
	5501943 GIBBS, REBECCA	TEMPLETON GROWTH FUND CLASS A	В	0.00	2.31	0.00		70.0	1.62
	5501955 PENN, EVA	**GUGGENHEIM FDS TR	В	0.00	0.93	0.00		70.0	0.00
	5501955 PENN, EVA	**VIRTUS MULTI SECTOR SHORT	В	0.00	4.87	0.00	4.87		3.41
	5501955 PENN, EVA	FRANKLIN INCOME FUND CLASS A	В	0.00	2.42	0.00	2.42	70.0	1.69
	5501955 PENN, EVA	LORD ABBETT MID CAP STOCK FUND INC		0.00	25.57	0.00		70.0	17.90
	5501955 PENN, EVA	LORD ABBETT AFFILIATED FUND INC-CI		0.00	17.51	0.00		70.0	12.26
	5501955 PENN, EVA	ROCHESTER NATIONAL MUNICIPALS A	В	0.00	2.48	0.00	2.48	70.0	1.74
	5501956 PENN, EVA	**VIRTUS EQUITY TREND FUND	В	0.00	5.56	0.00		70.0	3.89
	5501956 PENN, EVA	LORD ABBETT MID CAP STOCK FUND INC	_	0.00	26.30	0.00	26.30		18.41
	5501956 PENN, EVA	LORD ABBETT AFFILIATED FUND INC-CI		0.00	10.06	0.00		70.0	7.04
	5501956 PENN, EVA	RYDEX SECTOR ROTATION FUND CLASS C		0.00	8.31	0.00		70.0	5.82
	5501957 PENN, EVA	**VIRTUS MULTI ASSET TREND	. в	0.00	1.20	0.00		70.0	0.84
	5501967 BENJAMIN, WAYNE	**VIRTUS GLOBAL OPPORTUNITIES	В	0.00	6.54	0.00		70.0	4.58
	5501997 LESTARDO, EUGENE	**VIRTUS GLOBAL OPPORTUNITIES	В	0.00	3.54	0.00		70.0	2.48
	5501997 LESTARDO, EUGENE	CAPITAL WORLD GROWTH AND INCOME FU		0.00	3.30	0.00		70.0	2.48
	5502039 SMITH, DAYNE	**VIRTUS MULTI ASSET TREND	В	0.00	57.52	0.00		70.0	40.26
00/09/2011 /:	JJUZUJJ BRILIT, DAINE	ATVIO LITION COLVIA	Д	0.00	51.52	0.00	37.52	70.0	40.20

09/25/2017 COMMISSION STATEMENT for VAN DEFCCE OLMSTFAD

09/25/2017		COMMISSION STATEMENT for	VAN DREGGE OLMS	TEAD				
TRADE DATE	CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		ADJUSTM	ENTS					
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,391.91	\$0.00	\$1,391.91		\$970.04
		*** BROKER TRANSACTIONS TOTAL ***	\$1,302,828.42	\$23,007.61	\$0.00	\$23,007.61		\$15,945.32
		ADJUSTM	ENTS					
08/31/2017		OTHER: OTHER-RBC CHARGES ON ADVISOR	0.00	0.00	0.00	0.00		-2,777.06
08/31/2017		OTHER: OTHER -AAM	0.00	0.00	0.00	0.00		-4,061.58
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-6,838.64
					Ī	BROKER COMM	SSION	TOTALS
					Broke	r Transactions		\$15,945.32
					Spli	t Transactions		\$0.00
					Overrid	e Transactions		\$0.00
						Adjustments		\$-6,838.64
						Payroll Draw		\$0.00
					_	Base Salary		\$0.00
						Period Balance		\$0.00
						NRA Assessment IPC Assessment		\$-28.76 \$-57.52
						Check Amount		\$9,020.40
					Year-to	-date Earnings		\$52,085.28