

**COMMISSION STATEMENT****March 31, 2018**

DANIEL, R132 RAUPP  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: R132  
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: R137 DAN/ROY/JEFF FT-RAUPP/PFLEG/K #346</u></b>								
03/26/2018	LOCASTRO, DONNA	SEI	0.00	0.78	0.00	0.78	100.0	0.78
* R137 DAN/ROY/JEFF FT-RAUPP/PFLEG/K SUBTOTAL *			\$0.00	\$0.78	\$0.00	\$0.78		\$0.78
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$0.78	\$0.00	\$0.78		\$0.78
<b><u>OVERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: ROY, P123 PFLEGER II #244</u></b>								
03/02/2018	DIDERIKSEN, CHRISTIAN	SEI	0.00	1.92	0.00	1.92	75.0	0.24
03/14/2018	KUSHNER, CHRISTOPHER	SEI	0.00	4.34	0.00	4.34	75.0	0.54
* ROY, P123 PFLEGER II SUBTOTAL *			\$0.00	\$6.26	\$0.00	\$6.26		\$0.78
<b><u>BROKER: ALAN, L133 LICHTENSTEIN #249</u></b>								
03/15/2018	WITKIN, CAROL ANN	EQIS CAPITAL MGMT	25,795.98	10.75	0.00	10.75	75.0	1.34
* ALAN, L133 LICHTENSTEIN SUBTOTAL *			\$25,795.98	\$10.75	\$0.00	\$10.75		\$1.34
*** OVERRIDE TRANSACTIONS TOTAL ***			\$25,795.98	\$17.01	\$0.00	\$17.01		\$2.12

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.78
Override Transactions	\$2.12
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$1.38
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$4.28</b>
<b>Year-to-date Gross</b>	<b>\$4,119.90</b>
<b>Year-to-date Earnings</b>	<b>\$4,259.68</b>