

## COMMISSION STATEMENT

March 31, 2018

ARTHUR KITTELSTAD  
3316 N FRONTAGE ROAD

BROKER #: 6  
BRANCH: HOME OFFICE

PLANT CITY, FL 33565

## COMMISSION STATEMENT for ARTHUR KITTELSTAD

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

|            |           |                    |                                       |   |            |        |       |        |      |        |
|------------|-----------|--------------------|---------------------------------------|---|------------|--------|-------|--------|------|--------|
| 03/02/2018 | QGW001307 | SMALL, WAYNE       | ALPS SECTOR DIVIDEND DOGS ETF         | B | 8,923.38   | 258.00 | 10.00 | 248.00 | 85.0 | 209.30 |
| 03/02/2018 | QGW001307 | SMALL, WAYNE       | OPKO HEALTH INC                       | S | -1,386.70  | 50.00  | 10.00 | 40.00  | 85.0 | 32.50  |
| 03/07/2018 | QGW018095 | GRAMPP, RAYMOND    | JUNIPER NETWORKS                      | B | 5,187.30   | 148.00 | 10.00 | 138.00 | 85.0 | 115.80 |
| 03/05/2018 | QGW018483 | KNUDSON, BENJAMIN  | JUNIPER NETWORKS                      | B | 7,837.98   | 227.00 | 10.00 | 217.00 | 85.0 | 182.95 |
| 03/05/2018 | QGW018483 | KNUDSON, BENJAMIN  | SKYWORKS SOLUTIONS INC                | B | 6,646.20   | 192.00 | 10.00 | 182.00 | 85.0 | 153.20 |
| 03/02/2018 | QGW018525 | WAYMEL, RAYMOND    | ALPS SECTOR DIVIDEND DOGS ETF         | B | 13,356.81  | 387.00 | 10.00 | 377.00 | 85.0 | 318.95 |
| 03/02/2018 | QGW018525 | WAYMEL, RAYMOND    | JUNIPER NETWORKS                      | B | 7,543.50   | 218.00 | 10.00 | 208.00 | 85.0 | 175.30 |
| 03/02/2018 | QGW018525 | WAYMEL, RAYMOND    | SKYWORKS SOLUTIONS INC                | B | 7,499.88   | 217.00 | 10.00 | 207.00 | 85.0 | 174.45 |
| 03/01/2018 | QGW018541 | LUNCEFORD, WENDELL | ALPS SECTOR DIVIDEND DOGS ETF         | B | 13,576.50  | 393.00 | 10.00 | 383.00 | 85.0 | 324.05 |
| 03/01/2018 | QGW018541 | LUNCEFORD, WENDELL | JUNIPER NETWORKS                      | B | 7,732.98   | 224.00 | 10.00 | 214.00 | 85.0 | 180.40 |
| 02/28/2018 | QGW018624 | BRYANT, RONALD     | ALPS SECTOR DIVIDEND DOGS ETF         | B | 4,601.45   | 133.00 | 10.00 | 123.00 | 85.0 | 103.05 |
| 03/20/2018 | QGW018657 | KNAUF, STEPHEN     | ALPS SECTOR DIVIDEND DOGS ETF         | B | 4,463.90   | 129.00 | 10.00 | 119.00 | 85.0 | 99.65  |
| 03/06/2018 | QGW018798 | SENTZ, ROBERT      | ALPS SECTOR DIVIDEND DOGS ETF         | B | 4,558.99   | 91.00  | 10.00 | 81.00  | 85.0 | 67.35  |
| 03/20/2018 | QGW018889 | DICE, GEORGE       | ALPS SECTOR DIVIDEND DOGS ETF         | B | 4,463.45   | 129.00 | 10.00 | 119.00 | 85.0 | 99.65  |
| 02/27/2018 | QGW019051 | VERTREES, TRACY    | CISCO SYS INC                         | S | -9,051.00  | 234.00 | 10.00 | 224.00 | 85.0 | 188.90 |
| 03/01/2018 | QGW019143 | HAECKER, DAWN      | ALPS SECTOR DIVIDEND DOGS ETF         | B | 8,966.90   | 259.00 | 10.00 | 249.00 | 85.0 | 210.15 |
| 03/20/2018 | QGW019143 | HAECKER, DAWN      | JUNIPER NETWORKS                      | B | 7,631.97   | 221.00 | 10.00 | 211.00 | 85.0 | 177.85 |
| 02/28/2018 | QGW019218 | POTI, NORMAN       | JUNIPER NETWORKS                      | B | 5,153.32   | 149.00 | 10.00 | 139.00 | 85.0 | 116.65 |
| 03/21/2018 | QGW024747 | WAYMEL, RAYMOND    | SUNTRUST BANKS INC                    | S | -14,108.70 | 366.00 | 10.00 | 356.00 | 85.0 | 301.10 |
| 03/05/2018 | QGW024754 | KNUDSON, SUSAN     | GLOBAL X FDS GLOBAL X SUPERDIVIDEND B |   | 9,767.92   | 283.00 | 10.00 | 273.00 | 85.0 | 230.55 |
| 03/27/2018 | QGW024754 | KNUDSON, SUSAN     | EATON VANCE ENHANCED EQUITY INCOME B  |   | 8,049.20   | 233.00 | 10.00 | 223.00 | 85.0 | 188.05 |
| 03/20/2018 | QGW024796 | LUNCEFORD, WENDELL | SKYWORKS SOLUTIONS INC                | B | 7,616.95   | 219.00 | 10.00 | 209.00 | 85.0 | 176.15 |
| 02/28/2018 | QGW024853 | LEO P LEBEL, SEP   | WELLTOWER INC COM                     | B | 5,232.70   | 150.00 | 10.00 | 140.00 | 85.0 | 117.50 |
| 03/21/2018 | QGW024895 | ROHDE, JEFFREY     | ALPS SECTOR DIVIDEND DOGS ETF         | B | 4,464.90   | 129.00 | 10.00 | 119.00 | 85.0 | 99.65  |
| 03/21/2018 | QGW024960 | ABLES, BRIAN       | ALPS SECTOR DIVIDEND DOGS ETF         | B | 4,476.38   | 129.00 | 10.00 | 119.00 | 85.0 | 99.65  |
| 03/05/2018 | QGW025082 | LEITI, KEVIN       | JUNIPER NETWORKS                      | B | 2,563.64   | 75.00  | 10.00 | 65.00  | 85.0 | 53.75  |
| 02/27/2018 | QGW025264 | BELLMAN, ALICIA    | ABERDEEN ASIA PACIFIC INCOME FUND I B |   | 4,799.90   | 145.00 | 10.00 | 135.00 | 85.0 | 113.25 |
| 02/27/2018 | QGW025264 | BELLMAN, ALICIA    | NUVEEN PREFERRED AND INCOME 2022 TE B |   | 4,847.98   | 125.00 | 10.00 | 115.00 | 85.0 | 96.25  |
| 02/28/2018 | QGW025942 | MCEVOY JT TEN,     | JUNIPER NETWORKS                      | B | 5,177.28   | 150.00 | 10.00 | 140.00 | 85.0 | 117.50 |
| 03/19/2018 | QGW028375 | CONARD, JULIE      | ALPS SECTOR DIVIDEND DOGS ETF         | B | 2,239.45   | 75.00  | 10.00 | 65.00  | 85.0 | 53.75  |
| 03/19/2018 | QGW028383 | DUDLEY, JUSTIN     | ALPS SECTOR DIVIDEND DOGS ETF         | B | 2,240.60   | 75.00  | 10.00 | 65.00  | 85.0 | 53.75  |

COMMISSION STATEMENT for ARTHUR KITTELSTAD

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

|                     |           |                     |  |   |                     |                   |                 |                   |      |                   |
|---------------------|-----------|---------------------|--|---|---------------------|-------------------|-----------------|-------------------|------|-------------------|
| 03/27/2018          | QGW028979 | DEKKER, KATHIE      | ALPS SECTOR DIVIDEND DOGS ETF          | B | 3,034.87            | 88.00             | 10.00           | 78.00             | 85.0 | 64.80             |
| 03/19/2018          | QGW029597 | M. PESSETTI JT TEN, | ALPS SECTOR DIVIDEND DOGS ETF          | B | 2,240.60            | 75.00             | 10.00           | 65.00             | 85.0 | 53.75             |
| 03/26/2018          | QGW029886 | KONKEN, LUCY        | ALPS SECTOR DIVIDEND DOGS ETF          | B | 4,283.45            | 124.00            | 10.00           | 114.00            | 85.0 | 95.40             |
| 03/19/2018          | QGW030686 | LUNCEFORD, JOHN     | ALPS SECTOR DIVIDEND DOGS ETF          | B | 6,702.26            | 194.00            | 10.00           | 184.00            | 85.0 | 154.90            |
| 03/06/2018          | QGW031189 | JOHNSON, KENNETH    | ALPS SECTOR DIVIDEND DOGS ETF          | B | 9,104.88            | 263.00            | 10.00           | 253.00            | 85.0 | 213.55            |
| 02/27/2018          | QGW031692 | BARFIELD, BARBARA   | ISHARES IBOXX \$ HIGH YIELD CORPORAT B | B | 6,916.72            | 201.00            | 10.00           | 191.00            | 85.0 | 160.85            |
| 03/21/2018          | QGW031700 | BARFIELD, BARBARA   | ALPS SECTOR DIVIDEND DOGS ETF          | B | 4,464.45            | 129.00            | 10.00           | 119.00            | 85.0 | 99.65             |
| 03/15/2018          | QGW038564 | WILLIS, LARRY       | ABERDEEN ASIA PACIFIC INCOME FUND I B  | B | 9,593.80            | 274.00            | 10.00           | 264.00            | 85.0 | 222.90            |
| 03/15/2018          | QGW038564 | WILLIS, LARRY       | ALPS SECTOR DIVIDEND DOGS ETF          | B | 9,107.92            | 264.00            | 10.00           | 254.00            | 85.0 | 214.40            |
| 03/15/2018          | QGW038564 | WILLIS, LARRY       | GLOBAL X FDS GLOBAL X SUPERDIVIDEND B  | B | 9,782.36            | 278.00            | 10.00           | 268.00            | 85.0 | 226.30            |
| 03/15/2018          | QGW038564 | WILLIS, LARRY       | ISHARES IBOXX \$ HIGH YIELD CORPORAT B | B | 9,411.30            | 268.00            | 10.00           | 258.00            | 85.0 | 217.80            |
| 03/15/2018          | QGW038564 | WILLIS, LARRY       | POWERSHARES EXCHANGE-TRADED FD TR I B  | B | 9,534.00            | 276.00            | 10.00           | 266.00            | 85.0 | 224.60            |
| 03/26/2018          | QGW038564 | WILLIS, LARRY       | GLOBAL X FDS SUPERDIVIDEND REIT ETF B  | B | 14,022.20           | 393.00            | 10.00           | 383.00            | 85.0 | 324.05            |
| 03/05/2018          | QGW038903 | LEITI, KEVIN        | GLOBAL X FDS GLOBAL X SUPERDIVIDEND B  | B | 4,857.92            | 141.00            | 10.00           | 131.00            | 85.0 | 109.85            |
| * STOCKS SUBTOTAL * |           |                     |  |   | <u>\$258,131.74</u> | <u>\$8,781.00</u> | <u>\$450.00</u> | <u>\$8,331.00</u> |      | <u>\$7,013.85</u> |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

|                                      |  |               |  |  |                     |                   |                 |                   |      |                   |
|--------------------------------------|--|---------------|--|--|---------------------|-------------------|-----------------|-------------------|------|-------------------|
| 03/31/2018                           |  | FEE COUNT - 7 |  |  | 0.00                | 15.44             | 0.00            | 15.44             | 85.0 | 13.12             |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * |  |               |  |  | <u>\$0.00</u>       | <u>\$15.44</u>    | <u>\$0.00</u>   | <u>\$15.44</u>    |      | <u>\$13.12</u>    |
| *** BROKER TRANSACTIONS TOTAL ***    |  |               |  |  | <u>\$258,131.74</u> | <u>\$8,796.44</u> | <u>\$450.00</u> | <u>\$8,346.44</u> |      | <u>\$7,026.97</u> |

ADJUSTMENTS

|                           |  |                                  |  |  |               |               |               |               |  |                 |
|---------------------------|--|----------------------------------|--|--|---------------|---------------|---------------|---------------|--|-----------------|
| 03/31/2018                |  | OTHER: COMPLIANCE AND TECHNOLOGY |  |  | 0.00          | 0.00          | 0.00          | 0.00          |  | -20.00          |
| 03/31/2018                |  | OTHER: OTHER-360 OFFICE          |  |  | 0.00          | 0.00          | 0.00          | 0.00          |  | -15.00          |
| *** ADJUSTMENTS TOTAL *** |  |                                  |  |  | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> |  | <u>\$-35.00</u> |

**BROKER COMMISSION TOTALS**

|                       |            |
|-----------------------|------------|
| Broker Transactions   | \$7,026.97 |
| Split Transactions    | \$0.00     |
| Override Transactions | \$0.00     |
| Adjustments           | \$-35.00   |
| Payroll Draw          | \$0.00     |
| Base Salary           | \$0.00     |
| Prior Period Balance  | \$0.00     |
| FINRA Assessment      | \$-11.00   |
| SIPC Assessment       | \$-21.99   |

|                              |                    |
|------------------------------|--------------------|
| <b>Check Amount</b>          | <b>\$6,958.98</b>  |
| <b>Year-to-date Earnings</b> | <b>\$20,279.42</b> |