

All Companies  
**STATEMENT**  
**May 20, 2018**

ERIK EXISTING DODDS-LPCM

BROKER #: 3055  
BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	---------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

04/01/2018 #87707079	ALTMAN, CONNIE	ENVESTNET .	B	0.00	102.84	0.00	0.00	102.84	89.0	91.53
04/01/2018 #87707131		ENVESTNET .	B	0.00	362.59	0.00	0.00	362.59	89.0	322.71
04/01/2018 #87706924	CHU, WILLIAM	ENVESTNET .	B	0.00	75.68	0.00	0.00	75.68	89.0	67.36
04/01/2018 #87706921	CHU, WILLIAM	ENVESTNET .	B	0.00	140.63	0.00	0.00	140.63	89.0	125.16
04/01/2018 #87706920	CHU, WILLIAM	ENVESTNET .	B	0.00	273.38	0.00	0.00	273.38	89.0	243.31
04/01/2018 #87707096		ENVESTNET .	B	0.00	201.44	0.00	0.00	201.44	89.0	179.28
04/01/2018 #87707081	DITTMANN, WALTER	ENVESTNET .	B	0.00	216.79	0.00	0.00	216.79	89.0	192.94
04/01/2018 #87707080	DITTMANN, TERESE	ENVESTNET .	B	0.00	280.01	0.00	0.00	280.01	89.0	249.21
04/01/2018 #87707012	DOULOS, MICHAEL	ENVESTNET .	B	0.00	19.44	0.00	0.00	19.44	89.0	17.30
04/01/2018 #87706986	EDWARDS, HAROLD	ENVESTNET .	B	0.00	38.52	0.00	0.00	38.52	89.0	34.28
04/01/2018 #87706992	FRALEY, JACQUELINE	ENVESTNET .	B	0.00	38.37	0.00	0.00	38.37	89.0	34.15
04/01/2018 #87707075	GALLO, SEBASTIANO	ENVESTNET .	B	0.00	321.58	0.00	0.00	321.58	89.0	286.21
04/01/2018 #87707076	GALLO, SONNIA	ENVESTNET .	B	0.00	53.07	0.00	0.00	53.07	89.0	47.23
04/01/2018 #87706919	GAY, LEO	ENVESTNET .	B	0.00	96.66	0.00	0.00	96.66	89.0	86.03
04/01/2018 #87707064	GOLUBOFF, VICTORIA	ENVESTNET .	B	0.00	151.95	0.00	0.00	151.95	89.0	135.24
04/01/2018 #87706998	HOKAMA, WAYSON	ENVESTNET .	B	0.00	104.60	0.00	0.00	104.60	89.0	93.09
04/01/2018 #87706946	HOKAMA, WAYSON	ENVESTNET .	B	0.00	5.13	0.00	0.00	5.13	89.0	4.57
04/01/2018 #87706987	IDEKER, CHRIS	ENVESTNET .	B	0.00	81.00	0.00	0.00	81.00	89.0	72.09
04/01/2018 #87707156		ENVESTNET .	B	0.00	248.02	0.00	0.00	248.02	89.0	220.74
04/01/2018 #87706976	MARIANO, RUSSELL	ENVESTNET .	B	0.00	260.94	0.00	0.00	260.94	89.0	232.24
04/01/2018 #87706970	MARIANO, DANIELLE	ENVESTNET .	B	0.00	256.62	0.00	0.00	256.62	89.0	228.39
04/01/2018 #87706975	MORRELL, BRIAN	ENVESTNET .	B	0.00	85.84	0.00	0.00	85.84	89.0	76.40
04/01/2018 #87706993	MORRELL, LYNN	ENVESTNET .	B	0.00	86.76	0.00	0.00	86.76	89.0	77.22
04/01/2018 #87706954	NICHOLSON, JAMES	ENVESTNET .	B	0.00	43.97	0.00	0.00	43.97	89.0	39.13
04/01/2018 #87707021	PARKER-KELLY, MURIEL	ENVESTNET .	B	0.00	77.35	0.00	0.00	77.35	89.0	68.84
04/01/2018 #87707034	PICCIONE,	ENVESTNET .	B	0.00	160.85	0.00	0.00	160.85	89.0	143.16
04/01/2018 #87706988	PLOEN, ALLISON	ENVESTNET .	B	0.00	575.21	0.00	0.00	575.21	89.0	511.94
04/01/2018 #87707103		ENVESTNET .	B	0.00	26.89	0.00	0.00	26.89	89.0	23.93
04/01/2018 #87707037	SCHNECK, LINDA	ENVESTNET .	B	0.00	100.66	0.00	0.00	100.66	89.0	89.59
04/01/2018 #87706949	UDVARDY, IMRE	ENVESTNET .	B	0.00	86.15	0.00	0.00	86.15	89.0	76.67
04/01/2018 #87707102		ENVESTNET .	B	0.00	83.72	0.00	0.00	83.72	89.0	74.51

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
04/01/2018	#87707089 WALDRIP, DEBRA	ENVESTNET .	B	0.00	270.90	0.00	0.00	270.90	89.0	241.10
04/01/2018	#87707131	ENVESTNET .	B	0.00	120.35	0.00	0.00	120.35	89.0	107.11
04/01/2018	#87707156	ENVESTNET .	B	0.00	55.14	0.00	0.00	55.14	89.0	49.07
04/01/2018	#87707080 DITTMANN, TERESE	ENVESTNET .	B	0.00	-19.40	0.00	0.00	-19.40	89.0	-17.27
04/01/2018	#87707156	ENVESTNET .	B	0.00	1.71	0.00	0.00	1.71	89.0	1.52
04/01/2018	#92117887701 DOULOS, FRANCES	FTJ	B	0.00	3.44	0.00	0.00	3.44	89.0	3.06
04/01/2018	#92117887701 DOULOS, FRANCES	FTJ	B	0.00	3.79	0.00	0.00	3.79	89.0	3.37
* RIA SUBTOTAL *				\$0.00	\$5,092.59	\$0.00	\$0.00	\$5,092.59		\$4,532.41
*** BROKER TRANSACTIONS TOTAL ***				\$0.00	\$5,092.59	\$0.00	\$0.00	\$5,092.59		\$4,532.41

ADJUSTMENTS

05/20/2018	OTHER: OTHER DEBIT FOR WRONG	-80.26
	CLIENT'S/SINGLETON COMMISSION IN	
	MARCH 20	
*** ADJUSTMENTS TOTAL ***		\$-80.26

BROKER COMMISSION TOTALS

Broker Transactions	\$4,532.41
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-80.26
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,452.15
--------------	------------