



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 31, 2018

08/17/2018
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JOEL, W143 WOODS
1412 Blue Quail Run

BROKER #: W143
BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
08/09/2018	WEAVER, GEORGE	PT HOTEL VALUE AND INCOME FUND II	50,000.00	3,000.00	0.00	3,000.00	92.0	2,760.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$50,000.00	\$3,000.00	\$0.00	\$3,000.00		\$2,760.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
08/10/2018	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	90.0	1.92
08/08/2018	GRAVES, BENJAMIN	COLLEGE 2033 FUND-529A	10.00	0.35	0.00	0.35	90.0	0.32
		* 529 PLANS SUBTOTAL *	\$60.00	\$2.48	\$0.00	\$2.48		\$2.24
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/10/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	205.00	2.05	0.00	2.05	90.0	1.85
08/10/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
08/10/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
08/10/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
		* MUTUAL FUNDS SUBTOTAL *	\$380.00	\$3.80	\$0.00	\$3.80		\$3.43
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/07/2018	HIPKINS, DAVID	JNL PERSPECTIVE II 04/14	1.03	0.07	0.00	0.07	90.0	0.06
08/13/2018	JONES, WILL	JNL PERSPECTIVE II 04/14	41,000.00	2,870.00	0.00	2,870.00	90.0	2,583.00
		* VARIABLE ANNUITIES SUBTOTAL *	\$41,001.03	\$2,870.07	\$0.00	\$2,870.07		\$2,583.06
		*** DIRECT TRANSACTIONS TOTAL ***	\$91,441.03	\$5,876.35	\$0.00	\$5,876.35		\$5,348.73

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$5,348.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,348.73
Year-to-date Gross	\$159,640.34
Year-to-date Earnings	\$186,506.93