

All Companies  
**STATEMENT**  
**April 20, 2018**

MALVIN JOHNSON - LPCM

BROKER #: 3036  
BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

04/01/2018	#514160	CARTER, JOANNE	LPCM AGGR 40/40/20	176,240.62	176.24	100.0	0.00	176.24	0.00	176.24
04/01/2018	#514199	KEENE, JEANIVIC	LPCM AGGR 40/40/20	68,040.82	90.72	100.0	0.00	90.72	0.00	90.72
04/01/2018	#514311	CARTER, IAN	LPCM AGGR 40/40/20	218,303.21	218.30	100.0	0.00	218.30	0.00	218.30
04/01/2018	#528098	GAY-ROBBINS, SEILA	LPCM AGGR 40/40/20	95,570.70	127.43	100.0	0.00	127.43	0.00	127.43
04/01/2018	#529749	EDWARDS, ELLEN	LPCM AGGR 40/40/20	73,194.85	85.40	100.0	0.00	85.40	0.00	85.40
04/01/2018	#529755	EDWARDS & ASSOCIATES	LPCM AGGR 40/40/20	29,886.71	34.87	100.0	0.00	34.87	0.00	34.87
04/01/2018	#531251	CARTER, JOANNE	LPCM AGGR 40/40/20	243,703.43	223.39	100.0	0.00	223.39	0.00	223.39
04/01/2018	#514160	CARTER, JOANNE	LPCM AGGR 40/40/20	176,240.62	-88.12	100.0	0.00	-88.12	0.00	-88.12
04/01/2018	#514199	KEENE, JEANIVIC	LPCM AGGR 40/40/20	68,040.82	-34.02	100.0	0.00	-34.02	0.00	-34.02
04/01/2018	#514311	CARTER, IAN	LPCM AGGR 40/40/20	218,303.21	-109.15	100.0	0.00	-109.15	0.00	-109.15
04/01/2018	#528098	GAY-ROBBINS, SEILA	LPCM AGGR 40/40/20	95,570.70	-47.79	100.0	0.00	-47.79	0.00	-47.79
04/01/2018	#529749	EDWARDS, ELLEN	LPCM AGGR 40/40/20	73,194.85	-36.60	100.0	0.00	-36.60	0.00	-36.60
04/01/2018	#529755	EDWARDS & ASSOCIATES	LPCM AGGR 40/40/20	29,886.71	-14.94	100.0	0.00	-14.94	0.00	-14.94
04/01/2018	#531251	CARTER, JOANNE	LPCM AGGR 40/40/20	243,703.43	-121.85	100.0	0.00	-121.85	0.00	-121.85
04/01/2018	#514072	SIMMONS, SHARON	LPCM CONS 20/20/60	117,242.63	170.98	100.0	0.00	170.98	0.00	170.98
04/01/2018	#514093	VENOSDEL, HELEN	LPCM CONS 20/20/60	141,372.97	164.93	100.0	0.00	164.93	0.00	164.93
04/01/2018	#514108	PALMER TRUST,	LPCM CONS 20/20/60	36,734.45	48.98	100.0	0.00	48.98	0.00	48.98
04/01/2018	#514122	WESTON, RAYMOND	LPCM CONS 20/20/60	30,075.30	40.10	100.0	0.00	40.10	0.00	40.10
04/01/2018	#514151	GEORGE, CHERYL	LPCM CONS 20/20/60	65,517.79	76.44	100.0	0.00	76.44	0.00	76.44
04/01/2018	#514200	WESTON, RAYMOND	LPCM CONS 20/20/60	61,616.65	89.86	100.0	0.00	89.86	0.00	89.86
04/01/2018	#514203	WESTON, RAYMOND	LPCM CONS 20/20/60	63,399.35	92.46	100.0	0.00	92.46	0.00	92.46
04/01/2018	#514285	PALMER TRUST,	LPCM CONS 20/20/60	63,796.94	85.06	100.0	0.00	85.06	0.00	85.06
04/01/2018	#514295	POUNCEY, HARRY	LPCM CONS 20/20/60	22,602.68	33.90	100.0	0.00	33.90	0.00	33.90
04/01/2018	#514331	ABLE, ERIC H WESTON	LPCM CONS 20/20/60	110,388.58	147.19	100.0	0.00	147.19	0.00	147.19
04/01/2018	#529295	BJORNSEN, LONA	LPCM CONS 20/20/60	231,008.68	211.76	100.0	0.00	211.76	0.00	211.76
04/01/2018	#514072	SIMMONS, SHARON	LPCM CONS 20/20/60	117,242.63	-58.62	100.0	0.00	-58.62	0.00	-58.62
04/01/2018	#514093	VENOSDEL, HELEN	LPCM CONS 20/20/60	141,372.97	-70.69	100.0	0.00	-70.69	0.00	-70.69
04/01/2018	#514108	PALMER TRUST,	LPCM CONS 20/20/60	36,734.45	-18.37	100.0	0.00	-18.37	0.00	-18.37

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/01/2018	#514122 WESTON, RAYMOND	LPCM CONS 20/20/60	30,075.30	-15.04	100.0	0.00	-15.04	0.00	-15.04
04/01/2018	#514151 GEORGE, CHERYL	LPCM CONS 20/20/60	65,517.79	-32.76	100.0	0.00	-32.76	0.00	-32.76
04/01/2018	#514200 WESTON, RAYMOND	LPCM CONS 20/20/60	61,616.65	-30.81	100.0	0.00	-30.81	0.00	-30.81
04/01/2018	#514203 WESTON, RAYMOND	LPCM CONS 20/20/60	63,399.35	-31.70	100.0	0.00	-31.70	0.00	-31.70
04/01/2018	#514285 PALMER TRUST,	LPCM CONS 20/20/60	63,796.94	-31.90	100.0	0.00	-31.90	0.00	-31.90
04/01/2018	#514295 POUNCEY, HARRY	LPCM CONS 20/20/60	22,602.68	-11.30	100.0	0.00	-11.30	0.00	-11.30
04/01/2018	#514331 ABLE, ERIC H WESTON	LPCM CONS 20/20/60	110,388.58	-55.19	100.0	0.00	-55.19	0.00	-55.19
04/01/2018	#529295 BJORNSEN, LONA	LPCM CONS 20/20/60	231,008.68	-115.50	100.0	0.00	-115.50	0.00	-115.50
04/01/2018	#513049 HASTINGS, JOANNE	LPCM MOD 30/30/40	175,685.68	175.68	100.0	0.00	175.68	0.00	175.68
04/01/2018	#513051 HASTINGS, JOHN	LPCM MOD 30/30/40	134,744.37	134.75	100.0	0.00	134.75	0.00	134.75
04/01/2018	#514069 HORNE, FRANCES	LPCM MOD 30/30/40	440,701.90	403.98	100.0	0.00	403.98	0.00	403.98
04/01/2018	#514082 PORTER, FANNIE	LPCM MOD 30/30/40	152,395.03	139.70	100.0	0.00	139.70	0.00	139.70
04/01/2018	#514087 WALKER, JERRY	LPCM MOD 30/30/40	252,950.71	252.95	100.0	0.00	252.95	0.00	252.95
04/01/2018	#514132 RAMSEUR, PATRICIA	LPCM MOD 30/30/40	122,857.17	184.29	100.0	0.00	184.29	0.00	184.29
04/01/2018	#514148 GEORGE, DAVID	LPCM MOD 30/30/40	130,508.47	152.26	100.0	0.00	152.26	0.00	152.26
04/01/2018	#514155 LAMBERT, INEZ	LPCM MOD 30/30/40	35,572.52	41.50	100.0	0.00	41.50	0.00	41.50
04/01/2018	#514169 WALKER, SUSAN	LPCM MOD 30/30/40	14,346.38	14.35	100.0	0.00	14.35	0.00	14.35
04/01/2018	#514175 MONTGOMERY, PATRICE	LPCM MOD 30/30/40	161,989.86	188.99	100.0	0.00	188.99	0.00	188.99
04/01/2018	#514198 LAMBERT, INEZ	LPCM MOD 30/30/40	183,362.62	213.92	100.0	0.00	213.92	0.00	213.92
04/01/2018	#514222 GEORGE, DAVID	LPCM MOD 30/30/40	43,931.46	51.25	100.0	0.00	51.25	0.00	51.25
04/01/2018	#514250 POUNCEY, SONJA	LPCM MOD 30/30/40	108,316.75	99.29	100.0	0.00	99.29	0.00	99.29
04/01/2018	#514258 EDWARDS, ELLEN	LPCM MOD 30/30/40	49,154.64	57.35	100.0	0.00	57.35	0.00	57.35
04/01/2018	#514260 WALKER, JERRY	LPCM MOD 30/30/40	155,225.88	155.23	100.0	0.00	155.23	0.00	155.23
04/01/2018	#514274 WALKER, SUSAN	LPCM MOD 30/30/40	74,048.43	74.05	100.0	0.00	74.05	0.00	74.05
04/01/2018	#514316 EDWARDS, ELLEN	LPCM MOD 30/30/40	81,863.31	95.51	100.0	0.00	95.51	0.00	95.51
04/01/2018	#514318 HORNE, FRANCES	LPCM MOD 30/30/40	529,645.11	485.51	100.0	0.00	485.51	0.00	485.51
04/01/2018	#552860 POUNCEY, SONJA	LPCM MOD 30/30/40	118,318.82	138.04	100.0	0.00	138.04	0.00	138.04
04/01/2018	#513049 HASTINGS, JOANNE	LPCM MOD 30/30/40	175,685.68	-87.84	100.0	0.00	-87.84	0.00	-87.84
04/01/2018	#513051 HASTINGS, JOHN	LPCM MOD 30/30/40	134,744.37	-67.37	100.0	0.00	-67.37	0.00	-67.37
04/01/2018	#514069 HORNE, FRANCES	LPCM MOD 30/30/40	440,701.90	-220.35	100.0	0.00	-220.35	0.00	-220.35
04/01/2018	#514082 PORTER, FANNIE	LPCM MOD 30/30/40	152,395.03	-76.20	100.0	0.00	-76.20	0.00	-76.20
04/01/2018	#514087 WALKER, JERRY	LPCM MOD 30/30/40	252,950.71	-126.48	100.0	0.00	-126.48	0.00	-126.48
04/01/2018	#514132 RAMSEUR, PATRICIA	LPCM MOD 30/30/40	122,857.17	-61.43	100.0	0.00	-61.43	0.00	-61.43
04/01/2018	#514148 GEORGE, DAVID	LPCM MOD 30/30/40	130,508.47	-65.25	100.0	0.00	-65.25	0.00	-65.25
04/01/2018	#514155 LAMBERT, INEZ	LPCM MOD 30/30/40	35,572.52	-17.79	100.0	0.00	-17.79	0.00	-17.79
04/01/2018	#514169 WALKER, SUSAN	LPCM MOD 30/30/40	14,346.38	-7.17	100.0	0.00	-7.17	0.00	-7.17

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
04/01/2018	#514175	MONTGOMERY, PATRICE	LPCM MOD 30/30/40	161,989.86	-80.99	100.0	0.00	-80.99	-80.99
04/01/2018	#514198	LAMBERT, INEZ	LPCM MOD 30/30/40	183,362.62	-91.68	100.0	0.00	-91.68	-91.68
04/01/2018	#514222	GEORGE, DAVID	LPCM MOD 30/30/40	43,931.46	-21.97	100.0	0.00	-21.97	-21.97
04/01/2018	#514250	POUNCEY, SONJA	LPCM MOD 30/30/40	108,316.75	-54.16	100.0	0.00	-54.16	-54.16
04/01/2018	#514258	EDWARDS, ELLEN	LPCM MOD 30/30/40	49,154.64	-24.58	100.0	0.00	-24.58	-24.58
04/01/2018	#514260	WALKER, JERRY	LPCM MOD 30/30/40	155,225.88	-77.61	100.0	0.00	-77.61	-77.61
04/01/2018	#514274	WALKER, SUSAN	LPCM MOD 30/30/40	74,048.43	-37.02	100.0	0.00	-37.02	-37.02
04/01/2018	#514316	EDWARDS, ELLEN	LPCM MOD 30/30/40	81,863.31	-40.93	100.0	0.00	-40.93	-40.93
04/01/2018	#514318	HORNE, FRANCES	LPCM MOD 30/30/40	529,645.11	-264.82	100.0	0.00	-264.82	-264.82
04/01/2018	#552860	POUNCEY, SONJA	LPCM MOD 30/30/40	118,318.82	-59.16	100.0	0.00	-59.16	-59.16
04/01/2018	#568135	TCA JOHNSON VAR	LPCM ULTRA CONS 10/10/80	48,832.66	36.62	100.0	0.00	36.62	36.62
04/01/2018	#568135	TCA JOHNSON VAR	LPCM ULTRA CONS 10/10/80	48,832.66	-24.42	100.0	0.00	-24.42	-24.42
04/01/2018	#514311	CARTER, IAN	TCA PLATFORM FEE	99,752.01	-29.09	100.0	0.00	-29.09	-29.09
04/01/2018	#514093	VENOSDEL, HELEN	TCA PLATFORM FEE	451.56	-0.13	100.0	0.00	-0.13	-0.13
04/01/2018	#514160	CARTER, JOANNE	VL AGGRESSIVE	202,545.67	202.55	90.0	0.00	182.30	182.30
04/01/2018	#514199	KEENE, JEANIVIC	VL AGGRESSIVE	78,211.12	104.28	90.0	0.00	93.85	93.85
04/01/2018	#514311	CARTER, IAN	VL AGGRESSIVE	250,897.06	250.90	90.0	0.00	225.81	225.81
04/01/2018	#528098	GAY-ROBBINS, SEILA	VL AGGRESSIVE	109,839.88	146.45	90.0	0.00	131.81	131.81
04/01/2018	#529749	EDWARDS, ELLEN	VL AGGRESSIVE	84,104.26	98.12	90.0	0.00	88.31	88.31
04/01/2018	#529755	EDWARDS & ASSOCIATES	VL AGGRESSIVE	34,406.75	40.14	90.0	0.00	36.13	36.13
04/01/2018	#531251	CARTER, JOANNE	VL AGGRESSIVE	279,980.03	256.65	90.0	0.00	230.99	230.99
04/01/2018	#514160	CARTER, JOANNE	VL AGGRESSIVE	202,545.67	-59.08	100.0	0.00	-59.08	-59.08
04/01/2018	#514199	KEENE, JEANIVIC	VL AGGRESSIVE	78,211.12	-22.81	100.0	0.00	-22.81	-22.81
04/01/2018	#514311	CARTER, IAN	VL AGGRESSIVE	250,897.06	-73.18	100.0	0.00	-73.18	-73.18
04/01/2018	#528098	GAY-ROBBINS, SEILA	VL AGGRESSIVE	109,839.88	-32.04	100.0	0.00	-32.04	-32.04
04/01/2018	#529749	EDWARDS, ELLEN	VL AGGRESSIVE	84,104.26	-24.53	100.0	0.00	-24.53	-24.53
04/01/2018	#529755	EDWARDS & ASSOCIATES	VL AGGRESSIVE	34,406.75	-10.04	100.0	0.00	-10.04	-10.04
04/01/2018	#531251	CARTER, JOANNE	VL AGGRESSIVE	279,980.03	-81.66	100.0	0.00	-81.66	-81.66
04/01/2018	#514108	PALMER TRUST,	VL CONSERV	39,018.88	52.02	90.0	0.00	46.82	46.82
04/01/2018	#514122	WESTON, RAYMOND	VL CONSERV	31,967.85	42.62	90.0	0.00	38.36	38.36
04/01/2018	#514151	GEORGE, CHERYL	VL CONSERV	69,530.19	81.12	90.0	0.00	73.01	73.01
04/01/2018	#514200	WESTON, RAYMOND	VL CONSERV	65,374.09	95.34	90.0	0.00	85.81	85.81
04/01/2018	#514203	WESTON, RAYMOND	VL CONSERV	67,385.94	98.27	90.0	0.00	88.44	88.44
04/01/2018	#514285	PALMER TRUST,	VL CONSERV	67,697.81	90.27	90.0	0.00	81.24	81.24
04/01/2018	#514331	ABLE, ERIC H WESTON	VL CONSERV	117,129.59	156.17	90.0	0.00	140.55	140.55
04/01/2018	#529295	BJORNSSEN, LONA	VL CONSERV	245,120.91	224.69	90.0	0.00	202.22	202.22

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
04/01/2018	#514108 PALMER TRUST,	VL CONSERV	39,018.88	-11.38	100.0	0.00	-11.38	0.00	-11.38
04/01/2018	#514122 WESTON, RAYMOND	VL CONSERV	31,967.85	-9.32	100.0	0.00	-9.32	0.00	-9.32
04/01/2018	#514151 GEORGE, CHERYL	VL CONSERV	69,530.19	-20.28	100.0	0.00	-20.28	0.00	-20.28
04/01/2018	#514200 WESTON, RAYMOND	VL CONSERV	65,374.09	-19.07	100.0	0.00	-19.07	0.00	-19.07
04/01/2018	#514203 WESTON, RAYMOND	VL CONSERV	67,385.94	-19.65	100.0	0.00	-19.65	0.00	-19.65
04/01/2018	#514285 PALMER TRUST,	VL CONSERV	67,697.81	-19.75	100.0	0.00	-19.75	0.00	-19.75
04/01/2018	#514331 ABLE, ERIC H WESTON	VL CONSERV	117,129.59	-34.16	100.0	0.00	-34.16	0.00	-34.16
04/01/2018	#529295 BJORNSEN, LONA	VL CONSERV	245,120.91	-71.49	100.0	0.00	-71.49	0.00	-71.49
04/01/2018	#514072 SIMMONS, SHARON	VL INCOME	130,256.83	189.96	90.0	0.00	170.96	0.00	170.96
04/01/2018	#514093 VENOSDEL, HELEN	VL INCOME	157,101.70	183.29	90.0	0.00	164.96	0.00	164.96
04/01/2018	#514295 POUNCEY, HARRY	VL INCOME	25,123.18	37.69	90.0	0.00	33.92	0.00	33.92
04/01/2018	#514072 SIMMONS, SHARON	VL INCOME	130,256.83	-37.99	100.0	0.00	-37.99	0.00	-37.99
04/01/2018	#514093 VENOSDEL, HELEN	VL INCOME	157,101.70	-45.82	100.0	0.00	-45.82	0.00	-45.82
04/01/2018	#514295 POUNCEY, HARRY	VL INCOME	25,123.18	-7.33	100.0	0.00	-7.33	0.00	-7.33
04/01/2018	#513049 HASTINGS, JOANNE	VL MODERATE	194,657.25	194.66	90.0	0.00	175.19	0.00	175.19
04/01/2018	#513051 HASTINGS, JOHN	VL MODERATE	149,461.11	149.46	90.0	0.00	134.51	0.00	134.51
04/01/2018	#514069 HORNE, FRANCES	VL MODERATE	488,813.35	448.08	90.0	0.00	403.27	0.00	403.27
04/01/2018	#514082 PORTER, FANNIE	VL MODERATE	168,882.74	154.81	90.0	0.00	139.33	0.00	139.33
04/01/2018	#514087 WALKER, JERRY	VL MODERATE	280,658.85	280.66	90.0	0.00	252.59	0.00	252.59
04/01/2018	#514132 RAMSEUR, PATRICIA	VL MODERATE	136,315.70	204.47	90.0	0.00	184.02	0.00	184.02
04/01/2018	#514148 GEORGE, DAVID	VL MODERATE	139,147.34	162.34	90.0	0.00	146.11	0.00	146.11
04/01/2018	#514155 LAMBERT, INEZ	VL MODERATE	39,433.20	46.01	90.0	0.00	41.41	0.00	41.41
04/01/2018	#514169 WALKER, SUSAN	VL MODERATE	15,909.73	15.91	90.0	0.00	14.32	0.00	14.32
04/01/2018	#514175 MONTGOMERY, PATRICE	VL MODERATE	179,655.50	209.60	90.0	0.00	188.64	0.00	188.64
04/01/2018	#514198 LAMBERT, INEZ	VL MODERATE	203,361.42	237.26	90.0	0.00	213.53	0.00	213.53
04/01/2018	#514222 GEORGE, DAVID	VL MODERATE	48,787.78	56.92	90.0	0.00	51.23	0.00	51.23
04/01/2018	#514250 POUNCEY, SONJA	VL MODERATE	120,045.71	110.04	90.0	0.00	99.04	0.00	99.04
04/01/2018	#514258 EDWARDS, ELLEN	VL MODERATE	54,542.55	63.63	90.0	0.00	57.27	0.00	57.27
04/01/2018	#514260 WALKER, JERRY	VL MODERATE	152,723.01	152.72	90.0	0.00	137.45	0.00	137.45
04/01/2018	#514274 WALKER, SUSAN	VL MODERATE	82,087.06	82.09	90.0	0.00	73.88	0.00	73.88
04/01/2018	#514316 EDWARDS, ELLEN	VL MODERATE	90,768.54	105.89	90.0	0.00	95.30	0.00	95.30
04/01/2018	#514318 HORNE, FRANCES	VL MODERATE	587,477.09	538.52	90.0	0.00	484.67	0.00	484.67
04/01/2018	#552860 POUNCEY, SONJA	VL MODERATE	131,135.72	152.99	90.0	0.00	137.69	0.00	137.69
04/01/2018	#513049 HASTINGS, JOANNE	VL MODERATE	194,657.25	-56.78	100.0	0.00	-56.78	0.00	-56.78
04/01/2018	#513051 HASTINGS, JOHN	VL MODERATE	149,461.11	-43.59	100.0	0.00	-43.59	0.00	-43.59
04/01/2018	#514069 HORNE, FRANCES	VL MODERATE	488,813.35	-142.57	100.0	0.00	-142.57	0.00	-142.57

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
04/01/2018	#514082	PORTER, FANNIE	VL MODERATE	168,882.74	-49.26	100.0	0.00	-49.26	-49.26
04/01/2018	#514087	WALKER, JERRY	VL MODERATE	280,658.85	-81.86	100.0	0.00	-81.86	-81.86
04/01/2018	#514132	RAMSEUR, PATRICIA	VL MODERATE	136,315.70	-39.76	100.0	0.00	-39.76	-39.76
04/01/2018	#514148	GEORGE, DAVID	VL MODERATE	139,147.34	-40.58	100.0	0.00	-40.58	-40.58
04/01/2018	#514155	LAMBERT, INEZ	VL MODERATE	39,433.20	-11.50	100.0	0.00	-11.50	-11.50
04/01/2018	#514169	WALKER, SUSAN	VL MODERATE	15,909.73	-4.64	100.0	0.00	-4.64	-4.64
04/01/2018	#514175	MONTGOMERY, PATRICE	VL MODERATE	179,655.50	-52.40	100.0	0.00	-52.40	-52.40
04/01/2018	#514198	LAMBERT, INEZ	VL MODERATE	203,361.42	-59.31	100.0	0.00	-59.31	-59.31
04/01/2018	#514222	GEORGE, DAVID	VL MODERATE	48,787.78	-14.23	100.0	0.00	-14.23	-14.23
04/01/2018	#514250	POUNCEY, SONJA	VL MODERATE	120,045.71	-35.01	100.0	0.00	-35.01	-35.01
04/01/2018	#514258	EDWARDS, ELLEN	VL MODERATE	54,542.55	-15.91	100.0	0.00	-15.91	-15.91
04/01/2018	#514260	WALKER, JERRY	VL MODERATE	152,723.01	-44.54	100.0	0.00	-44.54	-44.54
04/01/2018	#514274	WALKER, SUSAN	VL MODERATE	82,087.06	-23.94	100.0	0.00	-23.94	-23.94
04/01/2018	#514316	EDWARDS, ELLEN	VL MODERATE	90,768.54	-26.47	100.0	0.00	-26.47	-26.47
04/01/2018	#514318	HORNE, FRANCES	VL MODERATE	587,477.09	-171.35	100.0	0.00	-171.35	-171.35
04/01/2018	#552860	POUNCEY, SONJA	VL MODERATE	131,135.72	-38.25	100.0	0.00	-38.25	-38.25
* RIA SUBTOTAL *				<u>\$20,465,610.61</u>	<u>\$6,917.50</u>	<u>\$0.00</u>	<u>\$6,345.85</u>	<u>\$0.00</u>	<u>\$6,345.85</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$20,465,610.61</u>	<u>\$6,917.50</u>	<u>\$0.00</u>	<u>\$6,345.85</u>	<u>\$0.00</u>	<u>\$6,345.85</u>

**ADJUSTMENTS**

04/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		<u>\$-499.00</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$6,345.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$5,846.85</b>
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