



Concorde Investment Services, LLC

07/27/2018

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COMMISSION STATEMENT

July 31, 2018

JOSEPH (TERRY), M132 MANN
219 E. 31st Street

BROKER #: M132
BRANCH: JOSEPH "TERRY" MANN

Savannah, GA 31401

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/16/2018	COLLINS, REGENA	AM FUNDS AMCAP A	65.00	2.44	0.00	2.44	91.0	2.22
07/16/2018	COLLINS, JAMES	AM FUNDS AMCAP A	60.00	2.25	0.00	2.25	91.0	2.05
07/16/2018	PAI, YOGISH	AM FUNDS AMCAP C	125.00	1.25	0.00	1.25	91.0	1.14
07/16/2018	MOORE, MAX	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	2.75	0.00	2.75	91.0	2.50
07/16/2018	COLLINS, REGENA	AM FUNDS EUROPACIFIC GROWTH FUND A	60.00	2.25	0.00	2.25	91.0	2.05
07/16/2018	COLLINS, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	60.00	2.25	0.00	2.25	91.0	2.05
07/16/2018	DUNLAP, TONY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	91.0	1.71
07/16/2018	PAI, YOGISH	AM FUNDS NEW ECONOMY C	125.00	1.25	0.00	1.25	91.0	1.14
07/16/2018	COLLINS, REGENA	AM FUNDS NEW PERSPECTIVE A	60.00	2.25	0.00	2.25	91.0	2.05
07/16/2018	COLLINS, JAMES	AM FUNDS NEW PERSPECTIVE A	65.00	2.44	0.00	2.44	91.0	2.22
07/16/2018	DUNLAP, TONY	AM FUNDS NEW WORLD A	50.00	1.88	0.00	1.88	91.0	1.71
07/16/2018	PAI, YOGISH	AM FUNDS SMALLCAP WORLD C	125.00	1.25	0.00	1.25	91.0	1.14
07/16/2018	GASPAROTTI, ABIGAIL	AM FUNDS SMALLCAP WORLD C	50.00	0.50	0.00	0.50	91.0	0.46
07/20/2018	MOORE, THOMAS	FIDELITY ADV MATERIALS C	250.00	2.50	0.00	2.50	91.0	2.28
07/16/2018	MOORE, THOMAS	FIDELITY ADV MEGA CAP STOCK	125.00	2.50	0.00	2.50	91.0	2.28
/ /	GAMBRELL, PERRY	OPPENHEIMER DISCOVERY C	0.00	2.92	0.00	2.92	91.0	2.66
* MUTUAL FUNDS SUBTOTAL *			\$1,370.00	\$32.56	\$0.00	\$32.56		\$29.66
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
06/25/2018	GODLESKI, KEVIN	PAC LIFE VUL	153.45	3.07	0.00	3.07	91.0	2.79
06/25/2018	GODLESKI, KEVIN	PAC LIFE VUL	14.55	0.29	0.00	0.29	91.0	0.26
06/25/2018	GODLESKI, KEVIN	PAC LIFE VUL	132.00	2.64	0.00	2.64	91.0	2.40
07/10/2018	MANN, JOSEPH(TERRY)	PAC LIFE VUL	300.00	6.00	0.00	6.00	91.0	5.46
07/12/2018	GALLAGHER, MICHAEL	PAC LIFE VUL	475.00	9.50	0.00	9.50	91.0	8.65
07/13/2018	DUNLAP, TONY	PAC LIFE VUL	90.00	1.80	0.00	1.80	91.0	1.64
07/13/2018	VERMA, ANAND	PAC LIFE VUL	500.00	10.00	0.00	10.00	91.0	9.10
07/16/2018	CONLEY, CHRISTOPHER	PAC LIFE VUL	92.64	0.93	0.00	0.93	91.0	0.85

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
07/16/2018	HOFFMAN, ADON	PAC LIFE VUL	170.00	3.40	0.00	3.40	91.0	3.09
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$1,927.64	\$37.63	\$0.00	\$37.63		\$34.24
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/03/2018	MANN, JASON	ALLIANZ GLOBAL WATER A	0.00	0.78	0.00	0.78	91.0	0.71
07/03/2018	MANN, JASON	ALLIANZ RCM GLOBAL SMALL CAP A	0.00	3.11	0.00	3.11	91.0	2.83
07/03/2018	MANN, JASON	ALLIANZGI FOCUSED GROWTH A	0.00	1.95	0.00	1.95	91.0	1.77
06/30/2018	HEALEY, BRIAN	EATON VANCE NATL MUNI INCOME C	0.00	0.61	0.00	0.61	91.0	0.56
06/30/2018	HEALEY, BRIAN	EATON VANCE NATL MUNI INCOME C	0.00	0.20	0.00	0.20	91.0	0.18
07/01/2018	MOSS, KAREN	INVESCO BALANCED RISK ALLOCATION A	0.00	2.98	0.00	2.98	91.0	2.71
07/01/2018	DUNLAP, TONY	INVESCO DEVELOPING MKTS C	0.00	3.45	0.00	3.45	91.0	3.14
07/01/2018	DUNLAP, TONY	INVESCO DIVERSIFIED DIVIDEND FD-CL	0.00	19.28	0.00	19.28	91.0	17.54
07/01/2018	HOFFMAN, LISA	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	4.97	0.00	4.97	91.0	4.52
07/01/2018	KASIAN, JUSTIN PETER	INVESCO EQUALLY-WGHTED S&P 500 FD-C	0.00	5.65	0.00	5.65	91.0	5.14
07/01/2018	KASIAN, JUSTIN PETER	INVESCO INTERNATIONAL CORE EQUITY A	0.00	0.14	0.00	0.14	91.0	0.13
07/01/2018	HOFFMAN, LISA	INVESCO INTERNATIONAL GROWTH A	0.00	0.26	0.00	0.26	91.0	0.24
07/01/2018	MILLER, MEREDITH	INVESCO INTERNATIONAL GROWTH A	0.00	0.62	0.00	0.62	91.0	0.56
07/01/2018	MOSS, JANICE	INVESCO MONEY MARKET C	0.00	77.49	0.00	77.49	91.0	70.52
07/01/2018	MILLER, MEREDITH	INVESCO S&P 500 INDEX FUND	0.00	0.50	0.00	0.50	91.0	0.46
07/01/2018	HOFFMAN, LISA	INVESCO SMALL COMPANIES FD -A	0.00	4.98	0.00	4.98	91.0	4.53
07/01/2018	ZARAPKAR, SAUDAMINI	MFS GLOBAL REAL ESTATE FUND A	0.00	3.13	0.00	3.13	91.0	2.85
07/01/2018	ZARAPKAR, SAUDAMINI	MFS MIDCAP GROWTH A	0.00	5.17	0.00	5.17	91.0	4.70
07/01/2018	GA, UTMA	MFS TECHNOLOGY FUND A	0.00	2.79	0.00	2.79	91.0	2.54
07/01/2018	ZARAPKAR, SAUDAMINI	MFS TECHNOLOGY FUND A	0.00	6.66	0.00	6.66	91.0	6.06
07/01/2018	ZARAPKAR, SAUDAMINI	MFS VALUE A	0.00	4.90	0.00	4.90	91.0	4.46
/ /	ARCHER, THOMAS	OPPENHEIMER AMT MUNICIPALS C	0.00	1.52	0.00	1.52	91.0	1.38
/ /	GAMBRELL, PERRY	OPPENHEIMER DEVELOPING MARKETS C	0.00	13.08	0.00	13.08	91.0	11.90
/ /	WARSTADT, ELIZABETH	OPPENHEIMER DIC MID CAP GR FD R	0.00	1.00	0.00	1.00	91.0	0.91
/ /	GAMBRELL, PERRY	OPPENHEIMER DISCOVERY C	0.00	17.65	0.00	17.65	91.0	16.06
/ /	ARCHER, THOMAS	OPPENHEIMER FUNDS GLOBAL VALUE FUND	0.00	20.24	0.00	20.24	91.0	18.42
/ /	WARSTADT, ELIZABETH	OPPENHEIMER GLBL OPP FUND R	0.00	3.22	0.00	3.22	91.0	2.93
/ /	GAMBRELL, PERRY	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	16.61	0.00	16.61	91.0	15.12
/ /	SEELY, CHARLES	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	21.41	0.00	21.41	91.0	19.48
/ /	GAMBRELL, PERRY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	2.88	0.00	2.88	91.0	2.62

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	GAMBRELL, PERRY	OPPENHEIMER INTL GROWTH C	0.00	10.34	0.00	10.34	91.0	9.41
/ /	ARCHER, THOMAS	OPPENHEIMER INTL GROWTH C	0.00	2.83	0.00	2.83	91.0	2.58
/ /	WARSTADT, ELIZABETH	OPPENHEIMER INTL SMALL COMPANY FD R	0.00	2.09	0.00	2.09	91.0	1.90
/ /	WARSTADT, ELIZABETH	OPPENHEIMER MAIN ST FUND R	0.00	5.84	0.00	5.84	91.0	5.31
/ /	WARSTADT, ELIZABETH	OPPENHEIMER MAIN ST SM & MID CAP FD	0.00	4.04	0.00	4.04	91.0	3.68
/ /	WARSTADT, ELIZABETH	OPPENHEIMER REAL ESTATE FUND R	0.00	1.89	0.00	1.89	91.0	1.72
/ /	GAMBRELL, PERRY	OPPENHEIMER SENIOR FLOATING RATE C	0.00	1.68	0.00	1.68	91.0	1.53
/ /	WARSTADT, ELIZABETH	OPPENHEIMER SENIOR FLOATING RATE FU	0.00	1.95	0.00	1.95	91.0	1.77
07/05/2018	HUNSTIGER, SELINA	PIMCO FUNDAMENTAL INDEXPLUS AR FUND	0.00	3.34	0.00	3.34	91.0	3.04
07/05/2018	BLANCHARD JR, MALCOLM	PIMCO INCOME FUND C	0.00	7.89	0.00	7.89	91.0	7.18
07/05/2018	BLANCHARD JR, MALCOLM	PIMCO INCOME FUND C	0.00	20.50	0.00	20.50	91.0	18.66
07/05/2018	MANN, JASON	PIMCO INTL STOCK PLUS TR STRATEGY A	0.00	3.32	0.00	3.32	91.0	3.02
07/05/2018	HUNSTIGER, SELINA	PIMCO INTL STOCK PLUS TR STRATEGY A	0.00	0.09	0.00	0.09	91.0	0.08
07/05/2018	HUNSTIGER, SELINA	PIMCO RAE FUNDAMENTAL PLUS SMALL A	0.00	2.05	0.00	2.05	91.0	1.87
07/05/2018	MANN, JASON	PIMCO STOCK PLUS FUND A	0.00	2.79	0.00	2.79	91.0	2.54
07/05/2018	HUNSTIGER, SELINA	PIMCO STOCKSPUS INTL C	0.00	1.49	0.00	1.49	91.0	1.36
07/05/2018	HUNSTIGER, SELINA	PIMCO STOCKSPUS INTL C	0.00	3.87	0.00	3.87	91.0	3.52
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$323.23	\$0.00	\$323.23		\$294.14
*** DIRECT TRANSACTIONS TOTAL ***			\$3,297.64	\$393.42	\$0.00	\$393.42		\$358.04

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BROKER COMMISSION TOTALS

Broker Transactions	\$358.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$358.04
Year-to-date Gross	\$36,983.04
Year-to-date Earnings	\$33,660.35