

COMMISSION STATEMENT

May 31, 2018

ANDREW LINDNER
37 GOLDENEYE LANE

BROKER #: I60 I61 I62
BRANCH: HOME OFFICE

PORT MONMOUTH, NJ 07758

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
05/01/2018	QGN005274	OLSTEIN ALL CAP	EBAY INC	B	225,750.00	180.00	6.00	174.00	50.0	87.00
05/02/2018	QGN005274	OLSTEIN ALL CAP	EBAY INC	B	187,500.00	150.00	6.00	144.00	50.0	72.00
05/02/2018	QGN005274	OLSTEIN ALL CAP	WILLIS TOWERS WATSON PUBLIC LIMITED	B	146,500.00	30.00	6.00	24.00	50.0	12.00
05/03/2018	QGN005274	OLSTEIN ALL CAP	CITIZENS FINANCIAL GROUP INC COM	B	403,730.00	300.00	6.00	294.00	50.0	147.00
05/03/2018	QGN005274	OLSTEIN ALL CAP	EATON CORPORATION PLC	B	215,250.00	90.00	6.00	84.00	50.0	42.00
05/03/2018	QGN005274	OLSTEIN ALL CAP	WILLIS TOWERS WATSON PUBLIC LIMITED	B	145,000.00	30.00	6.00	24.00	50.0	12.00
05/07/2018	QGN005274	OLSTEIN ALL CAP	VISA INC CL A COMMON STOCK	S	-258,111.00	60.00	6.00	54.00	50.0	27.00
05/07/2018	QGN005274	OLSTEIN ALL CAP	WALGREEN BOOTS ALLIANCE INC COM	B	311,500.00	150.00	6.00	144.00	50.0	72.00
05/08/2018	QGN005274	OLSTEIN ALL CAP	GOODYEAR TIRE & RUBBER CO	B	124,038.50	150.00	6.00	144.00	50.0	72.00
05/09/2018	QGN005274	OLSTEIN ALL CAP	INTEL CORP	S	-162,000.00	90.00	6.00	84.00	50.0	42.00
05/10/2018	QGN005274	OLSTEIN ALL CAP	APTIV PLC SHS COM	S	-574,606.80	180.00	6.00	174.00	50.0	87.00
05/11/2018	QGN005274	OLSTEIN ALL CAP	APTIV PLC SHS COM	S	-289,500.00	90.00	6.00	84.00	50.0	42.00
05/16/2018	QGN005274	OLSTEIN ALL CAP	HORMEL FOODS CORP	S	-108,750.00	90.00	6.00	84.00	50.0	42.00
05/16/2018	QGN005274	OLSTEIN ALL CAP	TAPESTRY INC COM	B	177,700.00	120.00	6.00	114.00	50.0	57.00
05/24/2018	QGN005274	OLSTEIN ALL CAP	COTY INC COM CL A	B	68,871.00	150.00	6.00	144.00	50.0	72.00
05/24/2018	QGN005274	OLSTEIN ALL CAP	INVESCO LTD ORD SHS ISIN#BMG491BT11	B	278,439.00	300.00	6.00	294.00	50.0	147.00
05/29/2018	QGN005274	OLSTEIN ALL CAP	COTY INC COM CL A	B	67,500.00	150.00	6.00	144.00	50.0	72.00
04/30/2018	QGN005282	OPPORTUNITIES,	SPARTAN MTRS INC COM	S	-114,560.00	192.00	6.00	186.00	50.0	93.00
05/08/2018	QGN005282	OPPORTUNITIES,	GOODYEAR TIRE & RUBBER CO	B	124,038.50	150.00	6.00	144.00	50.0	72.00
05/08/2018	QGN005282	OPPORTUNITIES,	HENDERSON GROUP PLC REGISTERED SHS	B	158,667.50	150.00	6.00	144.00	50.0	72.00
05/14/2018	QGN005282	OPPORTUNITIES,	PRESTIGE BRANDS HLDGS INC	S	-295,783.90	237.00	6.00	231.00	50.0	115.50
05/18/2018	QGN005282	OPPORTUNITIES,	HAIN CELESTIAL GROUP INC	B	52,661.00	60.00	6.00	54.00	50.0	27.00
04/30/2018	QGN005308	DIOCESE OF ARLINGTON	SPARTAN MTRS INC COM	S	-10,740.00	18.00	6.00	12.00	50.0	6.00
05/08/2018	QGN005308	DIOCESE OF ARLINGTON	GOODYEAR TIRE & RUBBER CO	B	2,480.77	3.00	6.00	-3.00	50.0	-1.50
05/08/2018	QGN005308	DIOCESE OF ARLINGTON	HENDERSON GROUP PLC REGISTERED SHS	B	15,866.75	15.00	6.00	9.00	50.0	4.50
05/14/2018	QGN005308	DIOCESE OF ARLINGTON	PRESTIGE BRANDS HLDGS INC	S	-13,104.35	10.50	6.00	4.50	50.0	2.25
05/18/2018	QGN005308	DIOCESE OF ARLINGTON	HAIN CELESTIAL GROUP INC	B	2,633.05	3.00	6.00	-3.00	50.0	-1.50
05/02/2018	QGN027302	BROTHERHOOD OF	BRINK'S COMPANY	B	1,099,791.72	438.00	6.00	432.00	50.0	216.00
05/02/2018	QGN027302	BROTHERHOOD OF	ESTEE LAUDER COMPANIES INC CL A	B	270,218.00	60.00	6.00	54.00	50.0	27.00
05/04/2018	QGV005689	MERRILL LYNCH PROF	PORTOLA PHARMACEUTICALS INC COM	S	-4,370,410.00	4,000.00	6.00	3,994.00	50.0	1,997.00
* STOCKS SUBTOTAL *					\$-2,119,430.26	\$7,646.50	\$180.00	\$7,466.50		\$3,733.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
05/01/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS BDS 2.750% 08/1 B	46,968.75	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.375% 05/1 B	47,671.88	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREASURY NOTE INVESTM B	49,601.56	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.375% 05/1 B	47,265.63	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.625% 05/1 B	49,851.56	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001018	UNION OF OPERATING,	UNITED STATES TREASURY NOTE INVESTM B	49,445.31	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001018	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.625% 05/1 B	49,851.56	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN001018	UNION OF OPERATING,	UNITED STATES TREAS BDS 3.000% 05/1 B	19,659.38	50.00	5.00	45.00	50.0	22.50
05/25/2018	QGN001018	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.750% 04/3 B	49,968.75	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001059	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.375% 05/1 B	33,085.94	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001059	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.625% 05/1 B	49,851.56	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001075	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.625% 05/1 B	19,940.63	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN001075	UNION OF OPERATING,	UNITED STATES TREAS BDS 3.000% 05/1 B	14,744.53	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	47,265.63	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.625% 05/1 B	49,851.56	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS BDS 3.000% 05/1 B	49,148.44	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN001117	ASSOCIATES, STACEY	UNITED STATES TREAS BDS 3.000% 05/1 B	14,744.53	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 2.375% 05/1 B	47,265.63	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 2.625% 05/1 B	49,851.56	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.375% 05/1 B	23,632.81	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.625% 05/1 B	49,851.56	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001190	LOCOMOTIVE,	UNITED STATES TREAS NTS 2.375% 05/1 B	23,632.81	50.00	5.00	45.00	50.0	22.50
05/15/2018	QGN001216	STACEY BRAUN	UNITED STATES TREAS BDS 3.000% 05/1 S	-24,250.00	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001216	STACEY BRAUN	UNITED STATES TREAS NTS 2.625% 05/1 B	49,851.56	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN001216	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 04/3 B	49,906.25	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001364	LOCAL 300 CIVIL	UNITED STATES TREAS NTS 2.375% 05/1 B	47,265.63	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN001380	TEAMSTERS LOCAL 445	UNITED STATES TREAS BDS 3.000% 05/1 B	29,489.06	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN001414	11, TEAMSTERS	UNITED STATES TREASURY NOTE INVESTM B	49,601.56	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001414	11, TEAMSTERS	UNITED STATES TREAS NTS 2.375% 05/1 B	47,265.63	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001414	11, TEAMSTERS	UNITED STATES TREAS NTS 2.625% 05/1 B	49,859.38	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001414	11, TEAMSTERS	UNITED STATES TREAS NTS 2.625% 05/1 B	49,851.56	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN001414	11, TEAMSTERS	UNITED STATES TREAS BDS 3.000% 05/1 B	49,148.44	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001422	WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.375% 05/1 B	33,113.28	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001422	WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.625% 05/1 B	34,896.09	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN001422	WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.750% 04/3 B	49,906.25	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN001430	WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.750% 04/3 B	14,971.88	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001463	COUNCIL, TEAMSTER	UNITED STATES TREASURY NOTE INVESTM B	49,445.31	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN001489	RETIREE, DOCTORS	UNITED STATES TREAS NTS 2.750% 04/3 B	14,971.88	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.375% 05/1 B	70,957.03	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.375% 05/1 B	70,898.44	50.00	5.00	45.00	50.0	22.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
05/21/2018	QGN001521	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	28,359.38	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	95,343.75	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN001554	STACEY BRAUN	UNITED STATES TREASURY NOTE INVESTM B	99,203.13	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS BDS 3.125% 11/1 B	34,671.88	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	47,265.63	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	94,609.38	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.625% 05/1 B	34,896.09	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001620	LOCAL, WESTCHESTER	UNITED STATES TREAS NTS 2.625% 05/1 B	49,851.56	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 3.000% 05/1 B	63,892.97	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001711	OPPENHEIMER & CO	UNITED STATES TREAS NTS 2.375% 05/1 B	33,113.28	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.625% 05/1 B	199,437.50	62.50	5.00	57.50	50.0	28.75
05/21/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.625% 05/1 B	99,703.13	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.750% 04/3 B	299,437.50	93.75	5.00	88.75	50.0	44.38
05/25/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.750% 04/3 B	299,812.50	93.75	5.00	88.75	50.0	44.38
05/21/2018	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS BILLS 0.000% 02 B	491,579.17	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 2.375% 04/3 B	349,289.06	109.38	5.00	104.38	50.0	52.19
05/24/2018	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 2.375% 04/3 B	24,949.22	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 2.375% 04/3 B	-24,949.22	-50.00	-5.00	-45.00	50.0	-22.50 C
05/21/2018	QGN001828	INTERNS, COMMIOF	UNITED STATES TREAS NTS 2.375% 05/1 B	18,906.25	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN001828	INTERNS, COMMIOF	UNITED STATES TREAS BDS 3.000% 05/1 B	14,744.53	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001885	BRAUN ASSOCIATES IN,	UNITED STATES TREAS NTS 2.625% 05/1 B	24,925.78	50.00	5.00	45.00	50.0	22.50
05/15/2018	QGN001968	NO1, BRICKLAYERS	PNC BK N A PITTSBURGH PA MEDIUM TER S	-23,912.50	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN001992	INTERNS, COMMITTE	UNITED STATES TREAS NTS 2.375% 04/3 B	24,910.16	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS NTS 2.625% 05/1 B	49,859.38	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS NTS 2.625% 05/1 B	49,851.56	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS NTS 2.750% 04/3 B	299,437.50	93.75	5.00	88.75	50.0	44.38
05/01/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREASURY NOTE INVESTM B	49,601.56	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREASURY NOTE INVESTM B	49,445.31	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.625% 05/1 B	49,851.56	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS NTS 2.625% 05/1 B	24,925.78	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS NTS 2.375% 05/1 B	23,652.34	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS NTS 2.625% 05/1 B	49,851.56	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREASURY NOTE INVESTM B	24,722.66	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN002313	LABORERS LOCAL 235	UNITED STATES TREAS NTS 2.625% 05/1 B	24,925.78	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 2.625% 05/1 B	24,925.78	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 2.750% 04/3 B	19,962.50	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN002479	AND STONE MASONS,	UNITED STATES TREAS BDS 3.000% 05/1 B	19,659.38	50.00	5.00	45.00	50.0	22.50
05/25/2018	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.750% 04/3 B	49,968.75	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS NTS 2.375% 05/1 B	23,632.81	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS BDS 3.000% 05/1 B	49,148.44	50.00	5.00	45.00	50.0	22.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
05/21/2018	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 2.375% 04/3 B	24,910.16	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN002503	CITY EMPLOYEES UNION	UNITED STATES TREAS NTS 2.750% 04/3 B	49,906.25	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN002610	GROSS, ARTHUR	UNITED STATES TREAS NTS 2.375% 05/1 B	9,453.13	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN002842	CLEMENT SL. MANG,,	UNITED STATES TREAS NTS 2.750% 04/3 B	24,953.13	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN002891	STACEY BRAUN ASSOC,	UNITED STATES TREAS NTS 2.625% 05/1 B	299,109.38	93.75	5.00	88.75	50.0	44.38
05/21/2018	QGN003212	ODONNELL, THOMAS	UNITED STATES TREASURY NOTE INVESTM B	14,833.59	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN003212	ODONNELL, THOMAS	UNITED STATES TREAS NTS 2.625% 05/1 B	14,955.47	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN003311	I.B.E.W, LOCAL	UNITED STATES TREAS NTS 2.625% 05/1 B	24,925.78	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN003618	OPPENHEIMER & CO	UNITED STATES TREAS NTS 2.625% 05/1 B	24,925.78	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN004913	LOCAL, LABORERS	UNITED STATES TREAS NTS 2.375% 05/1 B	23,835.94	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN004913	LOCAL, LABORERS	UNITED STATES TREASURY NOTE INVESTM B	24,800.78	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN004913	LOCAL, LABORERS	UNITED STATES TREAS NTS 2.375% 05/1 B	23,632.81	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN004913	LOCAL, LABORERS	UNITED STATES TREAS NTS 2.625% 05/1 B	24,925.78	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN004913	LOCAL, LABORERS	UNITED STATES TREAS BDS 3.000% 05/1 B	63,892.97	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN005175	OPPENHEIMER & CO	UNITED STATES TREAS NTS 2.625% 05/1 B	19,940.63	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN005241	DEL JERSEY, NECA,	UNITED STATES TREASURY NOTE INVESTM B	24,722.66	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN005241	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 2.625% 05/1 B	24,925.78	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN005316	LOCAL, LABORERS	UNITED STATES TREAS BDS 3.125% 11/1 B	24,765.63	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN005324	LOCAL 235 WELFARE	UNITED STATES TREAS NTS 2.625% 05/1 B	24,925.78	50.00	5.00	45.00	50.0	22.50
05/25/2018	QGN005324	LOCAL 235 WELFARE	UNITED STATES TREAS NTS 2.750% 04/3 B	24,984.38	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 2.375% 05/1 B	23,835.94	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREASURY NOTE INVESTM B	24,800.78	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREASURY NOTE INVESTM B	124,003.91	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREASURY NOTE INVESTM B	-124,003.91	-50.00	-5.00	-45.00	50.0	-22.50 C
05/21/2018	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 2.375% 05/1 B	23,632.81	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 2.625% 05/1 B	24,925.78	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREAS BDS 3.000% 05/1 B	39,318.75	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN006223	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.375% 05/1 B	23,632.81	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN006223	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.625% 05/1 B	24,925.78	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN006272	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.375% 04/3 B	24,949.22	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN006363	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.375% 05/1 B	95,343.75	50.00	5.00	45.00	50.0	22.50
05/07/2018	QGN006363	STACEY BRAUN & ASSOC	GE CAPITAL INTERNATIONAL FUNDING CO S	-180,500.00	62.50	5.00	57.50	50.0	28.75
05/21/2018	QGN006363	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.375% 05/1 B	51,992.19	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN006363	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.375% 05/1 B	47,304.69	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN006363	STACEY BRAUN & ASSOC	UNITED STATES TREAS BDS 3.000% 05/1 B	201,508.59	64.06	5.00	59.06	50.0	29.53
05/24/2018	QGN026098	DOCTORS COUNCIL	UNITED STATES TREAS NTS 2.750% 04/3 B	19,962.50	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN026114	DEL JERSEY, NECA,	UNITED STATES TREASURY NOTE INVESTM B	24,800.78	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN026114	DEL JERSEY, NECA,	UNITED STATES TREAS BDS 3.000% 05/1 B	24,574.22	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN026122	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.375% 05/1 B	23,632.81	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN026122	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.625% 05/1 B	24,925.78	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
05/24/2018	QGN026122	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.750% 04/3 B	24,953.13	50.00	5.00	45.00	50.0	22.50
05/09/2018	QGN026205	DEFINED CONTRIBUTION SOUTHERN PWR CO SR NT SER 2011A 5.1 S		-130,031.25	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN026205	DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 2.375% 05/1 B	47,265.63	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN026205	DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 2.625% 05/1 B	99,703.13	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN026239	PNC BANK	UNITED STATES TREAS NTS 2.375% 05/1 B	47,265.63	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN026239	PNC BANK	UNITED STATES TREAS NTS 2.625% 05/1 B	49,851.56	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN026239	PNC BANK	UNITED STATES TREAS NTS 2.750% 04/3 B	199,625.00	62.50	5.00	57.50	50.0	28.75
05/21/2018	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.625% 05/1 B	49,851.56	50.00	5.00	45.00	50.0	22.50
05/15/2018	QGN026346	FEDERATION OF	GNMA 30 YEAR TBA SETT MAY 3.500% 05 B	199,312.50	62.50	5.00	57.50	50.0	28.75
05/15/2018	QGN026346	FEDERATION OF	GNMA 30 YEAR TBA SETT MAY 3.500% 05 B	-199,312.50	-62.50	-5.00	-57.50	50.0	-28.75 C
05/15/2018	QGN026346	FEDERATION OF	GNMA GTD MTG PASS THRU CTFS POOL # B	199,312.20	62.50	5.00	57.50	50.0	28.75
05/21/2018	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.625% 05/1 B	24,925.78	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN026627	STACEY BRAUN	UNITED STATES TREAS BDS 3.125% 11/1 B	24,765.63	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN026627	STACEY BRAUN	UNITED STATES TREAS NTS 2.625% 05/1 B	24,925.78	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 2.375% 05/1 B	23,632.81	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 2.625% 05/1 B	99,703.13	50.00	5.00	45.00	50.0	22.50
05/25/2018	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 2.750% 04/3 B	199,875.00	62.50	5.00	57.50	50.0	28.75
05/21/2018	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	47,265.63	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN026759	STACEY BRAUN	UNITED STATES TREASURY NOTE INVESTM B	49,445.31	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN026957	LOCAL 177 UNION	UNITED STATES TREAS NTS 2.625% 05/1 B	24,925.78	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 2.375% 05/1 B	47,671.88	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREASURY NOTE INVESTM B	99,203.13	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 2.375% 05/1 B	94,531.25	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 2.625% 05/1 B	99,703.13	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.375% 05/1 B	94,531.25	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.375% 05/1 B	70,957.03	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.625% 05/1 B	74,789.06	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.625% 05/1 B	74,777.34	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.750% 04/3 B	299,437.50	93.75	5.00	88.75	50.0	44.38
05/21/2018	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 2.375% 05/1 B	23,632.81	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREASURY NOTE INVESTM B	99,203.13	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS BDS 3.125% 11/1 B	24,765.63	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.625% 05/1 B	99,703.13	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS BDS 3.000% 05/1 B	132,700.78	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS BDS 3.000% 05/1 B	122,871.09	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 2.375% 05/1 B	47,304.69	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN027187	SAVASTA, MR	UNITED STATES TREAS BDS 3.000% 05/1 B	73,722.66	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 1.375% 11/3 S	-174,289.06	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.375% 05/1 B	141,796.88	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.625% 05/1 B	99,703.13	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
05/24/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.750% 04/3 B	329,381.25	103.13	5.00	98.13	50.0	49.07
05/01/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.375% 05/1 B	119,179.69	50.00	5.00	45.00	50.0	22.50
05/01/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREASURY NOTE INVESTM B	124,003.91	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS BDS 3.125% 11/1 B	24,765.63	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.375% 05/1 B	118,164.06	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.375% 05/1 B	283,828.13	93.75	5.00	88.75	50.0	44.38
05/21/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREASURY NOTE INVESTM B	296,671.88	93.75	5.00	88.75	50.0	44.38
05/21/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.375% 04/3 B	298,921.88	93.75	5.00	88.75	50.0	44.38
05/21/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.625% 05/1 B	99,703.13	50.00	5.00	45.00	50.0	22.50
05/25/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS BDS 3.000% 05/1 B	346,718.75	109.38	5.00	104.38	50.0	52.19
05/21/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 3.125% 11/1 B	74,414.06	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.375% 05/1 B	141,796.88	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREASURY NOTE INVESTM B	98,890.63	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.375% 04/3 B	99,640.63	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.625% 05/1 B	99,703.13	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.750% 04/3 B	299,437.50	93.75	5.00	88.75	50.0	44.38
05/24/2018	QGN027351	LOCAL 1205 PENSION	DISNEY WALT CO NEW MEDIUM TERM NTS S	-51,087.50	50.00	5.00	45.00	50.0	22.50
05/24/2018	QGN027351	LOCAL 1205 PENSION	UNITED TECHNOLOGIES CORP FIXED RT N S	-48,575.50	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.375% 05/1 B	47,265.63	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN027898	LOCAL 237	UNITED STATES TREASURY NOTE INVESTM B	74,167.97	50.00	5.00	45.00	50.0	22.50
05/21/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.375% 05/1 B	23,632.81	50.00	5.00	45.00	50.0	22.50
* BONDS SUBTOTAL *				<u>\$11,875,259.00</u>	<u>\$9,392.20</u>	<u>\$875.00</u>	<u>\$8,517.20</u>		<u>\$4,258.65</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$9,755,828.74</u>	<u>\$17,038.70</u>	<u>\$1,055.00</u>	<u>\$15,983.70</u>		<u>\$7,991.90</u>

ADJUSTMENTS

05/31/2018	OTHER: BLOOMBERG	0.00	0.00	0.00	0.00	-996.21
05/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
05/31/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-15.00
05/31/2018	OTHER: REAL TICK	0.00	0.00	0.00	0.00	-212.50
05/31/2018	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00	-54.33
05/31/2018	OTHER: EZ CASTLE	0.00	0.00	0.00	0.00	-55.56
05/31/2018	OTHER: PIVOT	0.00	0.00	0.00	0.00	-68.05
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-1,421.65</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$7,991.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,421.65
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-21.30
SIPC Assessment	\$-42.60

Check Amount	\$6,506.35
Year-to-date Earnings	\$24,370.93