

STATEMENT April 20, 2018

CYNTHIA HERRON

2321 Travis Lane #608 Overland Park, KS 66209-

BROKER #: 227

BRANCH: LA BRANCH

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT INV	/ESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRA	NSACTIONS						
PRODUCT (CATEGORY: MUTUAL FUNDS								
03/01/2018	8 #00085613691 LISHER, GINA	AMERICAN BALANCED FUND-529A	75.00	3.75	92.0	0.00	3.45	0.00	3.45
03/01/2018	8 #00088727323 RUSSELL, JOHN	COLLEGE 2024 FUND 529A	100.00	3.50	92.0	0.00	3.22	0.00	3.22
03/02/2018	8 #00088046313 BAUCOMKARA	AMCAP FUND INC CLASS A	46.20	1.96	92.0	0.00	1.80	0.00	1.80
3/02/2018	8 #00088046313 BAUCOMKARA	AMCAP FUND INC CLASS A	153.80	6.54	92.0	0.00	6.02	0.00	6.02
3/02/2018	8 #00088046313 BAUCOMKARA	AMERICAN FUNDS 2015 TARGET DATE-A	28.88	1.23	92.0	0.00	1.13	0.00	1.13
3/02/2018	8 #00088046313 BAUCOMKARA	AMERICAN FUNDS 2015 TARGET DATE-A	96.12	4.09	92.0	0.00	3.76	0.00	3.76
3/02/2018	8 #00088046313 BAUCOMKARA	AMERICAN MUTUAL FUND INC CLASS A	40.42	1.72	92.0	0.00	1.58	0.00	1.58
3/02/2018	8 #00088046313 BAUCOMKARA	AMERICAN MUTUAL FUND INC CLASS A	134.58	5.72	92.0	0.00	5.26	0.00	5.26
3/05/2018	8 #00085515885 GUITY, NIQUICHE	COLLEGE 2024 FUND-529C	50.00	0.50	92.0	0.00	0.46	0.00	0.46
3/05/2018	8 #00085515930 GUITYORBIL	COLLEGE 2027 FUND-529C	50.00	0.50	92.0	0.00	0.46	0.00	0.46
3/05/2018	8 #00088467617 GUITY, NIQUICHE	COLLEGE 2030 FUND-529A	50.00	1.75	92.0	0.00	1.61	0.00	1.61
3/05/2018	8 # HALL, ERIN	MFS EQUITY OPPORTUNITIES FD CL A	65.00	3.25	92.0	0.00	2.99	20.00	-17.01
3/05/2018	8 #00085978086 RARDIN, JOHN	THE BOND FUND OF AMERICA-529A	50.00	1.50	92.0	0.00	1.38	0.00	1.38
3/06/2018	8 #005226561946 FREY, RUTH	JOHN HANCOCK FRE	0.00	28.82	92.0	0.00	26.51	0.00	26.51
3/06/2018	8 #005226562026 FREY, RUTH	JOHN HANCOCK FRE	0.00	24.33	92.0	0.00	22.38	0.00	22.38
3/07/2018	8 #00088576061 DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	32.15	0.64	92.0	0.00	0.59	0.00	0.59
3/07/2018	8 #00088576061 DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	107.16	2.14	92.0	0.00	1.97	0.00	1.97
3/07/2018	8 #00086985099 LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	395.70	7.91	92.0	0.00	7.28	0.00	7.28
3/07/2018	8 #00086985099 LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	94.97	1.90	92.0	0.00	1.75	0.00	1.75
3/07/2018	8 #00087552633 TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	471.42	9.43	92.0	0.00	8.68	0.00	8.68
3/07/2018	8 #00087552633 TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	88.39	1.77	92.0	0.00	1.63	0.00	1.63
3/07/2018	8 #00087708562 JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	0.00	1.41
3/07/2018	8 #00087708562 JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	0.00	1.41
3/07/2018	8 #04000101422 MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	0.00	1.09
3/07/2018	8 #04000101422 MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	0.00	1.09
3/07/2018	8 #04000101439 GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	0.00	1.06
3/07/2018	8 #04000101439 GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	0.00	1.06
3/07/2018	8 #04000790583	AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.00	0.80

COMMISSION STATEMENT for CYNTHIA HERRON

INVESTMENT

GROSS

NET

CLEARING

BROKER

DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
		BROKER TRAN	SACTIONS						
RODUCT (CATEGORY: MUTUAL FUNDS								
	3 #04000790583	AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.00	0.80
3/07/2018	3 #04000834615 ERPELDING	AMERICAN FUNDS 2015 TARGET DATE-A	80.76	1.62	92.0	0.00	1.49	0.00	1.49
3/07/2018	3 #04000834615 ERPELDING	AMERICAN FUNDS 2015 TARGET DATE-A	107.68	2.15	92.0	0.00	1.98	0.00	1.98
3/07/2018	3 #00086986934 KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	298.07	5.96	92.0	0.00	5.48	0.00	5.48
3/07/2018	3 #00086986934 KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	63.26	1.27	92.0	0.00	1.17	0.00	1.17
3/07/2018	3 #00088576061 DAKEMICHEL	BOND FUND OF AMERICA INC CL A	6.43	0.13	92.0	0.00	0.12	0.00	0.12
3/07/2018	3 #00088576061 DAKEMICHEL	BOND FUND OF AMERICA INC CL A	21.43	0.43	92.0	0.00	0.40	0.00	0.40
3/07/2018	3 #00086986934 KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	298.08	5.96	92.0	0.00	5.48	0.00	5.48
3/07/2018	3 #00086986934 KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	63.27	1.27	92.0	0.00	1.17	0.00	1.17
3/07/2018	3 #04000101795 BEDDINGFIE	INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	0.00	1.63
3/07/2018	3 #04000101795 BEDDINGFIE	INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	0.00	1.63
3/07/2018	3 #00088576061 DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	25.72	0.51	92.0	0.00	0.47	0.00	0.47
3/07/2018	3 #00088576061 DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	85.73	1.71	92.0	0.00	1.57	0.00	1.57
3/12/2018	3 #04000672747 LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	10.06	0.38	92.0	0.00	0.35	0.00	0.35
3/12/2018	3 #04000672747 LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	40.00	1.50	92.0	0.00	1.38	0.00	1.38
3/12/2018	3 #04000748210 RALEIGH, GRA	HAM 2055 TARGET DATE RETIREMENT FUND	8.66	0.32	92.0	0.00	0.29	0.00	0.29
3/12/2018	3 #04000748210 RALEIGH, GRA	HAM 2055 TARGET DATE RETIREMENT FUND	12.00	0.45	92.0	0.00	0.41	0.00	0.41
3/12/2018	3 #04000652154 GRAHAM, TAMM	AMERICAN FDS PORTFOLIO SER GROWTH F	13.44	0.50	92.0	0.00	0.46	0.00	0.46
3/12/2018	3 #04000652154 GRAHAM, TAMM	AMERICAN FDS PORTFOLIO SER GROWTH F	40.00	1.50	92.0	0.00	1.38	0.00	1.38
3/12/2018	3 #04000652163 PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	10.17	0.38	92.0	0.00	0.35	0.00	0.35
3/12/2018	3 #04000652163 PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	16.95	0.64	92.0	0.00	0.59	0.00	0.59
3/12/2018	3 #04000652169 WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.00	0.52
3/12/2018	3 #04000652169 WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.00	0.52
3/12/2018	3 #04000672747 LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	15.09	0.57	92.0	0.00	0.52	0.00	0.52
3/12/2018	3 #04000672747 LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	60.00	2.25	92.0	0.00	2.07	0.00	2.07
3/12/2018	3 #04000672757 SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.43	0.39	92.0	0.00	0.36	0.00	0.36
3/12/2018	3 #04000672757 SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.43	0.39	92.0	0.00	0.36	0.00	0.36
3/12/2018	3 #04000748210 RALEIGH, GRA	HAM AMERICAN FDS PORTFOLIO SER GROWTH F	13.00	0.49	92.0	0.00	0.45	0.00	0.45
3/12/2018	3 #04000748210 RALEIGH, GRA	HAM AMERICAN FDS PORTFOLIO SER GROWTH F	18.00	0.68	92.0	0.00	0.63	0.00	0.63
3/12/2018	3 #04000652154 GRAHAM, TAMM	AMERICAN FUNDS 2030 TARGET DATE-A	20.16	0.76	92.0	0.00	0.70	0.00	0.70
3/12/2018	3 #04000652154 GRAHAM, TAMM	AMERICAN FUNDS 2030 TARGET DATE-A	60.00	2.25	92.0	0.00	2.07		2.07
3/12/2018	3 #04000652163 PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	15.26	0.57	92.0	0.00	0.52		0.52
3/12/2018	3 #04000652163 PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	25.43	0.95	92.0	0.00	0.87		0.87
	3 #04000652169 WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77		0.77
	3 #04000652169 WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77		0.77
	3 #04000672757 SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	15.65	0.59	92.0	0.00	0.54		0.54

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PRODUCT CATRGORY: MUTUAL FUNDS 8/112/2018 #604000523145 GRANAM, TARMER MURIES 2003 TARGET EART—A 15.65 0.05 0.00 0.00 0.05 0.00 0.05 0.00 0.05 0.00 0.	DATE	CLIENT INV	COMMISSION STATEMENT 1	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
15.65 15.6			BROKER TRAN	SACTIONS						
15.65 15.6	PRODUCT	CATEGORY: MUTUAL FUNDS	-							
13/12/2018 #04000652158 (PARAM, TANNY AMERICAN PUNDS 2045 TARGET DATE A 7.83 0.29 0.00 0.00 0.10 0.00 0.27 0.00 0.28 0.20 0.20 0.00 0.29 0.00 0.29 0.20 0.00 0.27 0.27			AMERICAN FUNDS 2030 TARGET DATE-A	15.65	0.59	92.0	0.00	0.54	0.00	0.54
03/12/2018 #04000652163 PRICE B J AMERICAN FUNDS 2045 TARGET DATE-A 7.63	03/12/201	8 #04000652154 GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	10.08	0.38	92.0	0.00	0.35	0.00	0.35
03/12/2018 F04000652163 PRICE B J AMERICAN FUNDS 2045 TARGET DATE-A 11.25 0.42 92.0 0.00 0.39 0.00 0.39 0.00 0.39 0.00 0.39 0.01 0.39 03/12/2018 04000652169 WATKINS, AMERICAN FUNDS 2045 TARGET DATE-A 11.25 0.42 92.0 0.00 0.39 0.00 0.39 0.00 0.39 03/12/2018 04000652757 SHANNON, AMERICAN FUNDS 2045 TARGET DATE-A 11.25 0.42 92.0 0.00 0.27 0.00 0.39 0.00 0.27 0.00 0.39 03/12/2018 04000652757 SHANNON, AMERICAN FUNDS 2045 TARGET DATE-A 7.83 0.28 92.0 0.00 0.27 0.00 0.27 0.00 0.27 03/12/2018 04000652757 SHANNON, AMERICAN FUNDS 2045 TARGET DATE-A 7.83 0.28 92.0 0.00 0.00 0.27 0.00 0.27 03/12/2018 04000652747 LISHEN, SIMA AMERICAN FUNDS TARGET DATE-BETTERME 10.06 0.38 92.0 0.00 0.00 0.35 0.00 0.35 0.00 0.35 03/12/2018 04000652747 LISHEN, SIMA AMERICAN FUNDS TARGET DATE RETIERME 10.06 0.38 92.0 0.00 0.00 0.29 0.00 0.35 0.00 0.39 03/12/2018 04000672747 LISHEN, SIMA AMERICAN FUNDS TARGET DATE RETIERME 10.06 0.38 92.0 0.00 0.00 0.29 0.00 0.29 0.00 0.39 03/12/2018 04000672747 LISHEN, SIMA GLOBAL GROWTH PORTFOLIO-A 15.09 0.57 92.0 0.00 0.29 0.00 0.29 0.00 0.29 03/12/2018 04000672747 LISHEN, SIMA GLOBAL GROWTH PORTFOLIO-A 15.09 0.57 92.0 0.00 0.52 0.00 0.52 0.00 0.52 03/12/2018 04000672747 LISHEN, SIMA GLOBAL GROWTH PORTFOLIO-A 15.09 0.59 0.00 0.00 0.00 0.00 0.00 0.00	03/12/201	8 #04000652154 GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	30.00	1.13	92.0	0.00	1.04	0.00	1.04
03/12/2018 0404000652169 WATKINS, AMERICAN FUNDS 2045 TARGET DATE-A 11.25 0.42 92.0 0.00 0.39 0.00 0.39 0.00 0.39 03/12/2018 040000652169 WATKINS, AMERICAN FUNDS 2045 TARGET DATE-A 1.25 0.42 92.0 0.00 0.39 0.00 0.39 0.00 0.39 03/12/2018 040000672797 SHANNON, AMERICAN FUNDS 2045 TARGET DATE-A 7.83 0.29 92.0 0.00 0.02 0.07 0.00 0.27 0.00 0.27 03/12/2018 040000672797 LISHER, GINA AMERICAN FUNDS 2045 TARGET DATE RETIREME 10.06 0.38 92.0 0.00 0.35 0.00 0.35 0.00 0.35 0.35 03/12/2018 040000672797 LISHER, GINA AMERICAN FUNDS TARGET DATE RETIREME 10.06 0.38 92.0 0.00 0.35 0.00 0.35 0.00 0.35 03/12/2018 040000672797 LISHER, GINA AMERICAN FUNDS TARGET DATE RETIREME 10.06 0.38 92.0 0.00 0.00 0.29 0.00 0.29 0.00 0.39 03/12/2018 040000748210 RALEIGH, GRAHAM AMERICAN FUNDS TARGET DATE RETIREME 12.00 0.59 92.0 0.00 0.01 1.88 0.00 0.01 0.00 0.00 0.	03/12/201	8 #04000652163 PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	7.63	0.29	92.0	0.00	0.27	0.00	0.27
03/12/2018 04000652169 WATKINS, AMERICAN FUNDS 2045 TARGET DATE—A 7.83 0.29 92.0 0.00 0.39 0.00 0.27 0.00 0.27 0.00 03/12/2018 04000652757 SHANNON, AMERICAN FUNDS 2045 TARGET DATE—A 7.83 0.29 92.0 0.00 0.27 0.00 0.27 0.00 0.27 0.00 03/12/2018 04000652747 LISHER, GINA AMERICAN FUNDS TARGET DATE—A 7.83 0.29 92.0 0.00 0.27 0.00 0.25 03/12/2018 04000652747 LISHER, GINA AMERICAN FUNDS TARGET DATE—B 7.83 0.29 92.0 0.00 0.03 0.05 0.00 0.35 0.00 0.35 03/12/2018 04000652747 LISHER, GINA AMERICAN FUNDS TARGET DATE RETIREME 10.06 0.38 92.0 0.00 0.03 0.05 0.00 0.35 0.00 0.35 03/12/2018 04000652747 LISHER, GINA AMERICAN FUNDS TARGET DATE RETIREME 8.66 0.32 92.0 0.00 0.02 0.00 0.00 0.29 0.00 0.29 03/12/2018 04000652747 LISHER, GINA AMERICAN FUNDS TARGET DATE RETIREME 8.60 0.32 92.0 0.00 0.00 0.41 0.00 0.41 0.00 0.41 0.00 0.41 0.00 0.45 03/12/2018 040006672747 LISHER, GINA GENERAL PROFESSION OF COLOR OF C	03/12/201	8 #04000652163 PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	12.71	0.48	92.0	0.00	0.44	0.00	0.44
03/12/2018 #04000672757 SHANNON, AMERICAN FUNDS 2045 TARGET DATE-A 7.83 0.29 92.0 0.00 0.27 0.00 0.27 0.00 0.27 0.01 03/12/2018 #04000672747 LISHER, GINA AMERICAN FUNDS TARGET DATE METIRENE 10.0 0.38 92.0 0.00 0.27 0.00 0.35 0.00 0.35 0.00 0.31 0.31 0.31 0.31 0.31 0.31 0.31	03/12/201	8 #04000652169 WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
03/12/2018 #04000672775 SHANNON, AMERICAN FUNDS 2015 TARGET DATE-A 7.83 0.29 92.0 0.00 0.27 0.00 0.35 0.03 03.12/2018 #04000672747 LISHERK, GINA AMERICAN FUNDS TARGET DATE RETIRENE 10.06 0.38 92.0 0.00 1.38 0.00 0.35 0.31 0.31 0.31 0.31 0.31 0.31 0.31 0.31	03/12/201	8 #04000652169 WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
03/12/2018 #04000672747 LISHER, GINA AMERICAN FUNDS TARGET DATE RETITEME 10.06 0.38 92.0 0.00 0.35 0.00 0.35 0.01 0.35 0.01 0.37 0.01 0.37 0.01 0.37 0.01 0.37 0.01 0.37 0.01 0.37 0.01 0.37 0.01 0.01 0.37 0.01 0.01 0.37 0.01 0.01 0.37 0.01 0.01 0.01 0.01 0.01 0.01 0.01 0.0	03/12/201	8 #04000672757 SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	7.83	0.29	92.0	0.00	0.27	0.00	0.27
03/12/2018 #04000672747 LISHER, GINA AMERICAN FUNDS TARGET DATE RETIREME #8.66 0.32 92.0 0.00 0.29 0.20 0.00 0.29 0.20 0.20	03/12/201	8 #04000672757 SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	7.83	0.29	92.0	0.00	0.27	0.00	0.27
03/12/2018 #04000748210 RALEIGH, GRAHAM AMERICAN FUNDS TARGET DATE RETIREME 12.00 0.45 92.0 0.00 0.41 0.00 0.45 03/12/2018 #04000748210 RALEIGH, GRAHAM AMERICAN FUNDS TARGET DATE RETIREME 12.00 0.45 92.0 0.00 0.41 0.00 0.41 0.00 0.75 03/12/2018 #04000672747 LISHER, GINA GLOBAL GROWTH FORTFOLIO-A 15.00 0.57 92.0 0.00 0.52 0.00 0.52 0.00 03/12/2018 #04000748210 RALEIGH, GRAHAM GLOBAL GROWTH FORTFOLIO-A 12.09 0.49 92.0 0.00 0.45 0.00 0.45 0.00 0.45 03/12/2018 #04000748210 RALEIGH, GRAHAM GLOBAL GROWTH FORTFOLIO-A 18.00 0.68 92.0 0.00 0.63 0.00 0.63 03/12/2018 #04000748210 RALEIGH, GRAHAM GLOBAL GROWTH FORTFOLIO-A 18.00 0.68 92.0 0.00 0.63 0.00 0.63 03/12/2018 #04000748210 RALEIGH, GRAHAM GROWTH AND INCOME FORTFOLIO-A 23.52 0.88 92.0 0.00 0.00 0.61 0.00 0.61 03/12/2018 #04000652154 GRAHAM, TAMMY GROWTH AND INCOME FORTFOLIO-A 70.00 0.67 92.0 0.00 0.00 0.62 0.00 0.62 03/12/2018 #04000652163 FRICE B J GROWTH AND INCOME FORTFOLIO-A 17.80 0.67 92.0 0.00 0.02 0.62 0.00 0.70 0.70 0.70 0.70 0.70 0.70 0.7	03/12/201	8 #04000672747 LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	10.06	0.38	92.0	0.00	0.35	0.00	0.35
03/12/2018 #04000748210 RALEIGH, GRAHAM AMERICAN FUNDS TARGET DATE RETIREME 12.00 0.45 92.0 0.00 0.41 0.00 0.41 0.3/12/2018 #04000672747 LISHER, GINA GLOBAL GROWTH PORTFOLIO-A 15.09 0.57 92.0 0.00 0.52 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.62 0.00 0.62 0.00 0.63 0.00 0.63 0.00 0.62 0.00 0.62 0.00 0.63 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.02 0.62 0.00 0.00	03/12/201	8 #04000672747 LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	40.00	1.50	92.0	0.00	1.38	0.00	1.38
03/12/2018 #04000672747 LISHER, GINA GLOBAL GROWTH PORTFOLIO-A 15.09 0.57 92.0 0.00 0.52 0.00 0.52 0.00 03/12/2018 #04000672747 LISHER, GINA GLOBAL GROWTH PORTFOLIO-A 60.00 2.25 92.0 0.00 0.00 2.07 0.00 2.07 0.00 0.31 0.00 0.31 0.00 0.31 0.00 0.31 0.00 0.45 0.00 0.31 0.00 0.31 0.00 0.31 0.00 0.31 0.00 0.31 0.00 0.45 0.00 0.31 0.00 0.45 0.00 0.31 0.00 0.45 0.00 0.31 0.00 0.45 0.00 0.31 0.00 0.45 0.45	03/12/201	8 #04000748210 RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	8.66	0.32	92.0	0.00	0.29	0.00	0.29
03/12/2018 #04000672747 LISHER, GINA GLOBAL GROWTH PORTFOLIO-A 60.00 2.25 92.0 0.00 2.07 0.00 2.07 03/12/2018 #04000748210 RALEIGH, GRAHAM GLOBAL GROWTH PORTFOLIO-A 12.99 0.49 92.0 0.00 0.45 0.00 0.45 03/12/2018 #04000748210 RALEIGH, GRAHAM GLOBAL GROWTH PORTFOLIO-A 18.00 0.68 92.0 0.00 0.63 0.00 0.63 0.00 0.63 03/12/2018 #04000652154 GRAHAM, TAMMY GROWTH AND INCOME PORTFOLIO-A 23.52 0.88 92.0 0.00 0.00 0.81 0.00 0.81 03/12/2018 #04000652154 GRAHAM, TAMMY GROWTH AND INCOME PORTFOLIO-A 70.00 2.63 92.0 0.00 0.00 2.42 0.00 0.62 03/12/2018 #04000652163 PRICE B J GROWTH AND INCOME PORTFOLIO-A 17.80 0.67 92.0 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.012 0.00 0.012 0.00 0.00 0.00 0	03/12/201	8 #04000748210 RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	12.00	0.45	92.0	0.00	0.41	0.00	0.41
03/12/2018 #04000748210 RALEIGH, GRAHAM GLOBAL GROWTH PORTFOLIO-A 12.99 0.49 92.0 0.00 0.45 0.00 0.45 0.00 0.63 0.01 0.63 0.01 0.63 0.01 0.62 0.01 0.01 0.02 0.01 0.02 0.02 0.01 0.02 0.03 0.02 0.02 0.02 0.02 0.02 0.02	03/12/201	8 #04000672747 LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	15.09	0.57	92.0	0.00	0.52	0.00	0.52
03/12/2018 #04000748210 RALEIGH, GRAHAM GLOBAL GROWTH FORTFOLIO-A 18.00 0.68 92.0 0.00 0.63 0.00 0.63 0.00 0.70 0.70 0.70 0.70 0.70 0.70 0.7	03/12/201	8 #04000672747 LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	60.00	2.25	92.0	0.00	2.07	0.00	2.07
03/12/2018 #04000652154 GRAHAM, TAMMY GROWTH AND INCOME PORTFOLIO-A 23.52 0.88 92.0 0.00 0.81 0.00 0.81 0.00 0.81 0.00 0.31 0.01 0.31 0.01 0.31 0.01 0.31 0.01 0.31 0.01 0.31 0.3	03/12/201	8 #04000748210 RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	12.99	0.49	92.0	0.00	0.45	0.00	0.45
03/12/2018 #04000652163 PRICE B J GROWTH AND INCOME PORTFOLIO-A 70.00 2.63 92.0 0.00 2.42 0.00 0.62 0.00 0.62 0.01 0.62 0.00 0.62 0.00 0.62 0.01 0.00 0.62 0.00 0.62 0.00 0.01 0.02 0.00 0.00 0.62 0.00 0.00 0.00 0.00	03/12/201	8 #04000748210 RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	18.00	0.68	92.0	0.00	0.63	0.00	0.63
03/12/2018 #04000652163 PRICE B J GROWTH AND INCOME PORTFOLIO-A 17.80 0.67 92.0 0.00 0.62 0.00 0.62 0.00 1.02 0.01 0.01	03/12/201	8 #04000652154 GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	23.52	0.88	92.0	0.00	0.81	0.00	0.81
03/12/2018 #04000652163 PRICE B J GROWTH AND INCOME PORTFOLIO-A 29.67 1.11 92.0 0.00 1.02 0.00 0.90 0.00 0.312/2018 #04000652169 WATKINS, GROWTH AND INCOME PORTFOLIO-A 26.25 0.98 92.0 0.00 0.90 0.00 0.90 0.00 0.90 0.312/2018 #04000652169 WATKINS, GROWTH AND INCOME PORTFOLIO-A 26.25 0.98 92.0 0.00 0.00 0.90 0.00 0.90 0.00 0.90 0.312/2018 #04000672757 SHANNON, GROWTH AND INCOME PORTFOLIO-A 18.26 0.68 92.0 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.65 0.00 0.00 0.00 0.00 0.00 0.00	03/12/201	8 #04000652154 GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	70.00	2.63	92.0	0.00	2.42	0.00	2.42
03/12/2018 #04000652169 WATKINS, GROWTH AND INCOME PORTFOLIO-A 26.25 0.98 92.0 0.00 0.90 0.00 0.63 0.00 0.00	03/12/201	8 #04000652163 PRICE B J	GROWTH AND INCOME PORTFOLIO-A	17.80	0.67	92.0	0.00	0.62	0.00	0.62
03/12/2018 #04000652169 WATKINS, GROWTH AND INCOME PORTFOLIO-A 26.25 0.98 92.0 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.63 0.00 0.03 0.00 0.	03/12/201	8 #04000652163 PRICE B J	GROWTH AND INCOME PORTFOLIO-A	29.67	1.11	92.0	0.00	1.02	0.00	1.02
03/12/2018 #04000672757 SHANNON, GROWTH AND INCOME PORTFOLIO-A 18.26 0.68 92.0 0.00 0.63 0.00 0.63 0.00 0.63 0.312/2018 #04000672757 SHANNON, GROWTH AND INCOME PORTFOLIO-A 18.26 0.68 92.0 0.00 0.63 0.00 0.63 0.00 0.63 0.312/2018 # RUSSELL, JOHN MFS EQUITY OPPORTUNITIES FD CL A 100.00 5.00 92.0 0.00 4.60 20.00 -15.40 0.31/15/2018 #04000652154 GRAHAM, TAMMY AMERICAN FDS PORTFOLIO SER GROWTH F 0.89 0.03 92.0 0.00 0.03 0.00 0.03 0.00 0.03 0.315/2018 #04000652154 GRAHAM, TAMMY AMERICAN FDS PORTFOLIO SER GROWTH F 0.96 0.04 92.0 0.00 1.04 0.00 1.04 0.00 0.04 0.31/15/2018 #04000652163 PRICE B J AMERICAN FDS PORTFOLIO SER GROWTH F 0.96 0.04 92.0 0.00 0.00 0.04 0.00 0.04 0.00 0.01 0.03/15/2018 #04000652163 PRICE B J AMERICAN FDS PORTFOLIO SER GROWTH F 0.30 0.01 92.0 0.00 0.01 0.00 0.01 0.00 0.01 0.03/15/2018 #04000672757 SHANNON, AMERICAN FDS PORTFOLIO SER GROWTH F 0.30 0.01 92.0 0.00 0.01 0.00 0.01 0.00 0.01 0.01 0	03/12/201	8 #04000652169 WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.00	0.90
03/12/2018 #04000672757 SHANNON, GROWTH AND INCOME PORTFOLIO-A 18.26 0.68 92.0 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.312/2018 # RUSSELL, JOHN MFS EQUITY OPPORTUNITIES FD CL A 100.00 5.00 92.0 0.00 4.60 20.00 -15.40 0.03/15/2018 #04000652154 GRAHAM, TAMMY AMERICAN FDS PORTFOLIO SER GROWTH F 0.89 0.03 92.0 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.04 0.00 0.05 0	03/12/201	8 #04000652169 WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.00	0.90
03/12/2018 # RUSSELL, JOHN MFS EQUITY OPPORTUNITIES FD CL A 100.00 5.00 92.0 0.00 4.60 20.00 -15.40 03/15/2018 #04000652154 GRAHAM, TAMMY AMERICAN FDS PORTFOLIO SER GROWTH F 0.89 0.03 92.0 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.04 0.00 0.05 0.00 0.	03/12/201	8 #04000672757 SHANNON,	GROWTH AND INCOME PORTFOLIO-A	18.26	0.68	92.0	0.00	0.63	0.00	0.63
03/15/2018 #04000652154 GRAHAM, TAMMY AMERICAN FDS PORTFOLIO SER GROWTH F 0.89 0.03 92.0 0.00 0.03 0.00 0.03 0.00 0.3/15/2018 #04000652154 GRAHAM, TAMMY AMERICAN FDS PORTFOLIO SER GROWTH F 30.00 1.13 92.0 0.00 1.04 0.00 1.04 0.00 0.03/15/2018 #04000652163 PRICE B J AMERICAN FDS PORTFOLIO SER GROWTH F 32.00 1.20 92.0 0.00 0.04 0.00 0.04 0.00 0.04 0.3/15/2018 #04000652163 PRICE B J AMERICAN FDS PORTFOLIO SER GROWTH F 32.00 1.20 92.0 0.00 1.10 0.00 1.10 0.3/15/2018 #04000672757 SHANNON, AMERICAN FDS PORTFOLIO SER GROWTH F 0.30 0.01 92.0 0.00 0.01 0.00 0.01 0.3/15/2018 #04000672757 SHANNON, AMERICAN FDS PORTFOLIO SER GROWTH F 10.00 0.38 92.0 0.00 0.35 0.00 0.35 0.00 0.35 0.3/15/2018 #04000652154 GRAHAM, TAMMY AMERICAN FUNDS 2030 TARGET DATE-A 1.35 0.05 92.0 0.00 0.00 0.05 0.00 1.55 0.00 1.55 0.00 1.55 0.00 0.05 0.3/15/2018 #04000652154 GRAHAM, TAMMY AMERICAN FUNDS 2030 TARGET DATE-A 45.00 1.69 92.0 0.00 0.00 0.05 0.00 0.05 0.00 0.05 0.00 0.05	03/12/201	8 #04000672757 SHANNON,	GROWTH AND INCOME PORTFOLIO-A	18.26	0.68	92.0	0.00	0.63	0.00	0.63
03/15/2018 #04000652154 GRAHAM, TAMMY AMERICAN FDS PORTFOLIO SER GROWTH F 30.00 1.13 92.0 0.00 1.04 0.00 1.04 0.3/15/2018 #04000652163 PRICE B J AMERICAN FDS PORTFOLIO SER GROWTH F 0.96 0.04 92.0 0.00 0.04 0.00 0.05	03/12/201	8 # RUSSELL, JOHN	MFS EQUITY OPPORTUNITIES FD CL A	100.00	5.00	92.0	0.00	4.60	20.00	-15.40
03/15/2018 #04000652163 PRICE B J AMERICAN FDS PORTFOLIO SER GROWTH F 0.96 0.04 92.0 0.00 0.04 0.00 0.04 0.00 0.04 0.00 0.04 0.01 0.05 0.05 0.05 0.05 0.05 0.05 0.05	03/15/201	8 #04000652154 GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	0.89	0.03	92.0	0.00	0.03	0.00	0.03
03/15/2018 #04000652163 PRICE B J AMERICAN FDS PORTFOLIO SER GROWTH F 32.00 1.20 92.0 0.00 1.10 0.00 1.10 0.01 0.01 0.01 0	03/15/201	8 #04000652154 GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	30.00	1.13	92.0	0.00	1.04	0.00	1.04
03/15/2018 #04000672757 SHANNON, AMERICAN FDS PORTFOLIO SER GROWTH F 0.30 0.01 92.0 0.00 0.01 0.00 0.01 0.00 0.01 0.01 0	03/15/201	8 #04000652163 PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	0.96	0.04	92.0	0.00	0.04	0.00	0.04
03/15/2018 #04000672757 SHANNON, AMERICAN FDS PORTFOLIO SER GROWTH F 10.00 0.38 92.0 0.00 0.35 0.00 0.35 0.00 0.35 0.00 0.31 0.31 0.31 0.31 0.31 0.31 0.31	03/15/201	8 #04000652163 PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	32.00	1.20	92.0	0.00	1.10	0.00	1.10
03/15/2018 #04000652154 GRAHAM, TAMMY AMERICAN FUNDS 2030 TARGET DATE-A 1.35 0.05 92.0 0.00 0.05 0.00 0.00 0.05 0.00 0.00 0.05 0.00 0.00 0.00 0.05 0.00	03/15/201	8 #04000672757 SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	0.30	0.01	92.0	0.00	0.01	0.00	0.01
03/15/2018 #04000652154 GRAHAM, TAMMY AMERICAN FUNDS 2030 TARGET DATE-A 45.00 1.69 92.0 0.00 1.55 0.00 1.55 0.00 1.55 0.00 0.05	03/15/201	8 #04000672757 SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.00	0.38	92.0	0.00	0.35	0.00	0.35
03/15/2018 #04000652163 PRICE B J AMERICAN FUNDS 2030 TARGET DATE-A 1.44 0.05 92.0 0.00 0.05 0.00 0.05	03/15/201	8 #04000652154 GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	1.35	0.05	92.0	0.00	0.05	0.00	0.05
	03/15/201	8 #04000652154 GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	45.00	1.69	92.0	0.00	1.55	0.00	1.55
03/15/2018 #04000652163 PRICE B J AMERICAN FUNDS 2030 TARGET DATE-A 48.00 1.80 92.0 0.00 1.66 0.00 1.66	03/15/201	8 #04000652163 PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	1.44	0.05	92.0	0.00	0.05	0.00	0.05
	03/15/201	8 #04000652163 PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	48.00	1.80	92.0	0.00	1.66	0.00	1.66

INVESTMENT

GROSS

NET

CLEARING

BROKER

DATE CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
	BROKER TRAN	SACTIONS						
PRODUCT CATEGORY: MUTUAL FUNDS								
03/15/2018 #04000672757 SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	0.45	0.02	92.0	0.00	0.02	0.00	0.02
03/15/2018 #04000672757 SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	15.00	0.56	92.0	0.00	0.52	0.00	0.52
03/15/2018 #04000652154 GRAHAM, TAMM	Y AMERICAN FUNDS 2045 TARGET DATE-A	0.68	0.03	92.0	0.00	0.03	0.00	0.03
03/15/2018 #04000652154 GRAHAM, TAMM	Y AMERICAN FUNDS 2045 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.00	0.77
03/15/2018 #04000652163 PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	0.72	0.03	92.0	0.00	0.03	0.00	0.03
03/15/2018 #04000652163 PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	24.00	0.90	92.0	0.00	0.83	0.00	0.83
03/15/2018 #04000672757 SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	0.22	0.01	92.0	0.00	0.01	0.00	0.01
03/15/2018 #04000672757 SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	7.50	0.28	92.0	0.00	0.26	0.00	0.26
03/15/2018 #04000652154 GRAHAM, TAMM	Y GROWTH AND INCOME PORTFOLIO-A	1.58	0.06	92.0	0.00	0.06	0.00	0.06
03/15/2018 #04000652154 GRAHAM, TAMM	Y GROWTH AND INCOME PORTFOLIO-A	52.50	1.97	92.0	0.00	1.81	0.00	1.81
03/15/2018 #04000652163 PRICE B J	GROWTH AND INCOME PORTFOLIO-A	56.00	2.10	92.0	0.00	1.93	0.00	1.93
03/15/2018 #04000652163 PRICE B J	GROWTH AND INCOME PORTFOLIO-A	1.68	0.06	92.0	0.00	0.06	0.00	0.06
03/15/2018 #04000672757 SHANNON,	GROWTH AND INCOME PORTFOLIO-A	0.53	0.02	92.0	0.00	0.02	0.00	0.02
03/15/2018 #04000672757 SHANNON,	GROWTH AND INCOME PORTFOLIO-A	17.50	0.66	92.0	0.00	0.61	0.00	0.61
3/19/2018 #00088576061 DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	32.15	0.64	92.0	0.00	0.59	0.00	0.59
3/19/2018 #00088576061 DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	107.16	2.14	92.0	0.00	1.97	0.00	1.97
3/19/2018 #04000834615 ERPELDING	AMERICAN FDS PORTFOLIO SER GROWTH F	21.54	0.43	92.0	0.00	0.40	0.00	0.40
3/19/2018 #04000834615 ERPELDING	AMERICAN FDS PORTFOLIO SER GROWTH F	16.15	0.32	92.0	0.00	0.29	0.00	0.29
3/19/2018 #00086985099 LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	395.70	7.91	92.0	0.00	7.28	0.00	7.28
03/19/2018 #00086985099 LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	94.97	1.90	92.0	0.00	1.75	0.00	1.75
03/19/2018 #00087552633 TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	88.39	1.77	92.0	0.00	1.63	0.00	1.63
3/19/2018 #00087552633 TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	626.11	12.52	92.0	0.00	11.52	0.00	11.52
03/19/2018 #00087708562 JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	0.00	1.41
03/19/2018 #00087708562 JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	0.00	1.41
3/19/2018 #04000101422 MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	0.00	1.09
3/19/2018 #04000101422 MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	0.00	1.09
3/19/2018 #04000101439 GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	0.00	1.06
3/19/2018 #04000101439 GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	0.00	1.06
03/19/2018 #04000790583	AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.00	0.80
3/19/2018 #04000790583	AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.00	0.80
3/19/2018 #04000834615 ERPELDING	AMERICAN FUNDS 2030 TARGET DATE-A	32.30	0.65	92.0	0.00	0.60	0.00	0.60
3/19/2018 #04000834615 ERPELDING	AMERICAN FUNDS 2030 TARGET DATE-A	24.23	0.48	92.0	0.00	0.44	0.00	0.44
3/19/2018 #00086986934 KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	63.26	1.27	92.0	0.00	1.17	0.00	1.17
3/19/2018 #00086986934 KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	298.07	5.96	92.0	0.00	5.48	0.00	5.48
03/19/2018 #04000834615 ERPELDING	AMERICAN FUNDS 2045 TARGET DATE-A	16.15	0.32	92.0	0.00	0.29	0.00	0.29
03/19/2018 #04000834615 ERPELDING	AMERICAN FUNDS 2045 TARGET DATE-A	12.11	0.24	92.0	0.00	0.22	0.00	0.22

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BDOKED TD	ANSACTIONS						
		DROKER IN	ANDACITOND						
	CATEGORY: MUTUAL FUNDS		- 10	0.10					0.10
	8 #00088576061 DAKEMICHEL	BOND FUND OF AMERICA INC CL A	6.43	0.13	92.0	0.00	0.12	0.00	0.12
	8 #00088576061 DAKEMICHEL	BOND FUND OF AMERICA INC CL A	21.43	0.43	92.0	0.00	0.40	0.00	0.40
	8 #00086986934 KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	63.27	1.27	92.0	0.00	1.17	0.00	1.17
	8 #00086986934 KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	298.08	5.96	92.0	0.00	5.48	0.00	5.48
	8 #04000834615 ERPELDING	GROWTH AND INCOME PORTFOLIO-A	37.69	0.75	92.0	0.00	0.69	0.00	0.69
	8 #04000834615 ERPELDING	GROWTH AND INCOME PORTFOLIO-A	28.27	0.57	92.0	0.00	0.52		0.52
	8 #04000101795 BEDDINGFIE	INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	0.00	1.63
03/19/201	8 #04000101795 BEDDINGFIE	INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	0.00	1.63
03/19/201	8 #00088576061 DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	25.72	0.51	92.0	0.00	0.47	0.00	0.47
03/19/201	8 #00088576061 DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	85.73	1.71	92.0	0.00	1.57	0.00	1.57
03/23/201	8 #04000672747 LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	10.06	0.38	92.0	0.00	0.35	0.00	0.35
03/23/201	8 #04000672747 LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	40.00	1.50	92.0	0.00	1.38	0.00	1.38
03/23/201	8 #04000748210 RALEIGH, GRA	HAM 2055 TARGET DATE RETIREMENT FUND	8.72	0.33	92.0	0.00	0.30	0.00	0.30
03/23/201	8 #04000748210 RALEIGH, GRA	HAM 2055 TARGET DATE RETIREMENT FUND	12.00	0.45	92.0	0.00	0.41	0.00	0.41
03/23/201	8 #04000652154 GRAHAM, TAMM	Y AMERICAN FDS PORTFOLIO SER GROWTH F	13.44	0.50	92.0	0.00	0.46	0.00	0.46
03/23/201	8 #04000652154 GRAHAM, TAMM	Y AMERICAN FDS PORTFOLIO SER GROWTH F	40.00	1.50	92.0	0.00	1.38	0.00	1.38
03/23/201	8 #04000652163 PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	10.17	0.38	92.0	0.00	0.35	0.00	0.35
03/23/201	8 #04000652163 PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	16.95	0.64	92.0	0.00	0.59	0.00	0.59
03/23/201	8 #04000652169 WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.00	0.52
03/23/201	8 #04000652169 WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.00	0.52
03/23/201	8 #04000672747 LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	15.09	0.57	92.0	0.00	0.52	0.00	0.52
03/23/201	8 #04000672747 LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	60.00	2.25	92.0	0.00	2.07	0.00	2.07
03/23/201	8 #04000672757 SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.30	0.39	92.0	0.00	0.36	0.00	0.36
03/23/201	8 #04000672757 SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.30	0.39	92.0	0.00	0.36	0.00	0.36
03/23/201	8 #04000748210 RALEIGH, GRA	HAM AMERICAN FDS PORTFOLIO SER GROWTH F	18.00	0.68	92.0	0.00	0.63	0.00	0.63
03/23/201	8 #04000748210 RALEIGH, GRA	HAM AMERICAN FDS PORTFOLIO SER GROWTH F	13.07	0.49	92.0	0.00	0.45	0.00	0.45
03/23/201	8 #04000652154 GRAHAM, TAMM	Y AMERICAN FUNDS 2030 TARGET DATE-A	20.16	0.76	92.0	0.00	0.70	0.00	0.70
03/23/201	8 #04000652154 GRAHAM, TAMM	Y AMERICAN FUNDS 2030 TARGET DATE-A	60.00	2.25	92.0	0.00	2.07	0.00	2.07
03/23/201	8 #04000652163 PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	15.26	0.57	92.0	0.00	0.52	0.00	0.52
03/23/201	8 #04000652163 PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	25.43	0.95	92.0	0.00	0.87	0.00	0.87
03/23/201	8 #04000652169 WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.00	0.77
03/23/201	8 #04000652169 WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.00	0.77
03/23/201	8 #04000672757 SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	15.46	0.58	92.0	0.00	0.53	0.00	0.53
03/23/201	8 #04000672757 SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	15.46	0.58	92.0	0.00	0.53	0.00	0.53
03/23/201	8 #04000652154 GRAHAM, TAMM	Y AMERICAN FUNDS 2045 TARGET DATE-A	10.08	0.38	92.0	0.00	0.35	0.00	0.35
	8 #04000652154 GRAHAM, TAMM		30.00	1.13	92.0	0.00	1.04		1.04

PRODUCT CATEGORY: MUTUAL FUNDS PROPERTY	DATE	CLIENT INV	/estment	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
PRODUCT CATEGORY: MUTUAL FUNDS										
			BROKER TRAN	SACTIONS						
03/23/2018 004000652169 WARTINE, AMERICAN FUNDS 2045 TARGET DATE A 11.29 0.48 0.00 0.00 0.00 0.00 0.39 0.00 0.39 0.30 0.33 0.32 0.32 0.32 0.00 0.00 0.39 0.00 0.33 0.33 0.32 0.32 0.32 0.32 0.00 0.00	PRODUCT	CATEGORY: MUTUAL FUNDS								
03/23/2018 040000652169 WARKINS, AMERICAN PUNDS 2045 YARGHT DATE-A 11.25 0.46 0.00 0.00 0.00 0.00 0.00 0.00 0.00	03/23/201	.8 #04000652163 PRICE В J	AMERICAN FUNDS 2045 TARGET DATE-A	7.63	0.29	92.0	0.00	0.27	0.00	0.27
03/23/2018 040000652169 WATKINS. AMERICAN FUNDS 2045 TARGET DATE A 7.73 0.09 92.0 0.00 0.03 0.00 0.27 0.00 0.07 0.00 0.27 0.00 0.27 0.00 0.27 0.00 0.00	03/23/201	.8 #04000652163 PRICE В J	AMERICAN FUNDS 2045 TARGET DATE-A	12.71	0.48	92.0	0.00	0.44	0.00	0.44
03/23/2018 0804000672757 SHANNON, MERICAN FUNDS 2045 TARGET DATE-A, 7.73 0.09 02.0 0.00 0.07 0.00 0.27 0.00 0.07 0.00 0.00	03/23/201	.8 #04000652169 WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
03/23/2018 #040006727747 LISHER, CHINA AMERICAN FUNES TARGET DATE RETIREMS 40.00 1.00 0.00 0.00 0.00 0.00 0.00 0.0	03/23/201	.8 #04000652169 WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
03/23/2018 #04000672747 LISHER, GINA AMERICAN FUNDS TARGET DATE RETITEME 40.00	03/23/201	8 #04000672757 SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	7.73	0.29	92.0	0.00	0.27	0.00	0.27
03/23/2018 #040000742310 RALEIGH, GHARLAN AMERICAN FUNDS TARGET DATE RETIREME 8.72 0.03 92.0 0.00 0.03 0.00 0.03 0.03 0.03 0.03 0	03/23/201	8 #04000672757 SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	7.73	0.29	92.0	0.00	0.27	0.00	0.27
03/23/2018 #04000748210 RALEIGH, GRAHAM AMERICAN FUNDS TARGET DATE RETITEME 12.00 0.45 92.0 0.00 0.40 0.41 0.00 0.45 0.43 0.43 0.40 0.45 0.45 0.45 0.45 0.45 0.45 0.45	03/23/201	8 #04000672747 LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	10.06	0.38	92.0	0.00	0.35	0.00	0.35
03/23/2018 #04000748210 RALEIGH, GRAHA MERICAN FUNDS TARGET DATE RETIREME 12.00	03/23/201	8 #04000672747 LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	40.00	1.50	92.0	0.00	1.38	0.00	1.38
03/23/2018 H04000672747 LISHER, GINA GLOBAL GROWTH PORTFOLIO-A 60.00 2.25 92.0 0.00 2.07 0.00 2.07 0.00 2.07 0.00 2.07 0.00 2.07 0.00 2.07 0.00 2.07 0.00 2.07 0.00 2.07 0.00 2.07 0.00 2.07 0.00 2.07 0.00 2.07 0.00 0.03 0.03 0.03 0.03 0.03 0.03 0	03/23/201	8 #04000748210 RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	8.72	0.33	92.0	0.00	0.30	0.00	0.30
03/23/2018 #04000672747 LISHER, GINA GLOBAL GROWTH PORTFOLIC-A 18.00 0.68 2.0 0.00 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.63 0.00 0.00	03/23/201	8 #04000748210 RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	12.00	0.45	92.0	0.00	0.41	0.00	0.41
03/23/2018 04000748210 RALEIGH, GRAHAM GLOBAL GROWTH PORTFOLIO-A 13.07 0.68 92.0 0.00 0.63 0.00 0.45 0.45	03/23/201	8 #04000672747 LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	15.09	0.57	92.0	0.00	0.52	0.00	0.52
03/23/2018 #04000652154 GRAHAM, TAMMY GROWTH AND INCOME PORTFOLIO-A 23.52 0.88 92.0 0.00 0.61 0.00 0.81 0.00 0.00	03/23/201	8 #04000672747 LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	60.00	2.25	92.0	0.00	2.07	0.00	2.07
03/23/2018 #04000652154 GRAHAM, TAMMY GROWTH AND INCOME PORTFOLIO-A 70.00 2.63 0.00 0.00 0.81 0.00 0.00 0.00 0.00 0.00	03/23/201	8 #04000748210 RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	18.00	0.68	92.0	0.00	0.63	0.00	0.63
03/23/2018 #04000652154 GRAHAM, TAMMY GROWTH AND INCOME PORTFOLIO-A 17.80 0.67 92.0 0.00 2.42 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.00	03/23/201	.8 #04000748210 RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	13.07	0.49	92.0	0.00	0.45	0.00	0.45
03/23/2018 #04000652163 PRICE B J GROWTH AND INCOME PORTFOLIO-A 29.67 1.11 92.0 0.00 0.62 0.00 1.02 0.00 0.323/2018 #04000652163 PRICE B J GROWTH AND INCOME PORTFOLIO-A 29.67 1.11 92.0 0.00 0.00 1.02 0.00 1.02 0.00 0.03/23/2018 #04000652169 WARKINS, GROWTH AND INCOME PORTFOLIO-A 26.25 0.98 92.0 0.00 0.90 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.00	03/23/201	.8 #04000652154 GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	23.52	0.88	92.0	0.00	0.81	0.00	0.81
03/23/2018 #04000652163 PRICE B J GROWTH AND INCOME PORTFOLIO-A 29.67 1.11 92.0 0.00 1.02 0.00 0.90 0.00 0.00 0.90 0.00 0	03/23/201	.8 #04000652154 GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	70.00	2.63	92.0	0.00	2.42	0.00	2.42
03/23/2018 #04000652169 WATKINS, GROWTH AND INCOME PORTFOLIO-A 26.25 0.98 92.0 0.00 0.90 0.00 0.90 0.00 0.90 0.00 0.90 0.	03/23/201	.8 #04000652163 PRICE В J	GROWTH AND INCOME PORTFOLIO-A	17.80	0.67	92.0	0.00	0.62	0.00	0.62
03/23/2018 #04000652169 WATKINS, GROWTH AND INCOME PORTFOLIO-A 18.03 0.68 92.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00	03/23/201	.8 #04000652163 PRICE B J	GROWTH AND INCOME PORTFOLIO-A	29.67	1.11	92.0	0.00	1.02	0.00	1.02
03/23/2018 #04000672757 SHANNON, GROWTH AND INCOME PORTFOLIO-A 18.03 0.68 92.0 0.00 0.63 0.00 0.00	03/23/201	.8 #04000652169 WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.00	0.90
03/23/2018 #04000672757 SHANNON, GROWTH AND INCOME PORTFOLIO-A 18.03 0.68 \$9.00 0.00 0.63 0.00 0.63 0.00 \$238.43 \$40.00 \$238.43 \$40.00 \$238.43 \$40.00 \$238.43 \$40.00 \$238.43 \$40.00 \$238.43 \$40.00 \$238.43 \$40.00 \$238.43 \$40.00 \$238.43 \$40.00 \$20.00	03/23/201	.8 #04000652169 WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.00	0.90
*MUTUAL FUNDS SUBTOTAL * \$9,366.80 \$302.60 \$0.00 \$278.43 \$40.00 \$238.43 \$40.00 \$238.43 \$40.00 \$238.43 \$40.00 \$238.43 \$40.00 \$238.43 \$40.00 \$238.43 \$40.00 \$238.43 \$40.00 \$20.00 \$	03/23/201	8 #04000672757 SHANNON,	GROWTH AND INCOME PORTFOLIO-A	18.03	0.68	92.0	0.00	0.63	0.00	0.63
PRODUCT CATEGORY: VARIABLE ANNUITIES 02/08/0018 #575320000 LANE HEALTH, JOHN HANCOCK LIFE-TRAILS 0.00 100.07 92.0 0.00 92.06 0.00 92.06 02/08/0018 #1315500000 SOLUTIONS, JOHN HANCOCK LIFE-TRAILS 0.00 33.41 92.0 0.00 30.74 0.00 30.74 0.00 114.54 0.00 115.00 0.00 0.00 0.00 0.00 0.00 0.	03/23/201	8 #04000672757 SHANNON,	GROWTH AND INCOME PORTFOLIO-A	18.03	0.68	92.0	0.00	0.63	0.00	0.63
02/08/0018 #575320000 LANE HEALTH, JOHN HANCOCK LIFE-TRAILS 0.00 100.07 92.0 0.00 92.06 0.00 92.06 0.00 02/08/0018 #1315500000 SOLUTIONS, JOHN HANCOCK LIFE-TRAILS 0.00 33.41 92.0 0.00 30.74 0.00 30.74 0.00 114.54 0.00 114.54 0.00 114.54 0.00 114.54 0.00 114.54 0.00 114.54 0.00 114.54 0.00 0.00 0.00 0.00 0.00 0.00 0.00			* MUTUAL FUNDS SUBTOTAL *	\$9,366.80	\$302.60	_	\$0.00	\$278.43	\$40.00	\$238.43
02/08/0018 #1315500000 SOLUTIONS, JOHN HANCOCK LIFE-TRAILS 0.00 33.41 92.0 0.00 30.74 0.00 30.74 0.00 20/08/0018 #1226040000 PRESTRESSED JOHN HANCOCK LIFE-TRAILS 0.00 124.50 92.0 0.00 114.54 0.00 114.54 0.00 114.54 0.00 114.54 0.00 114.54 0.00 114.54 0.00 0.00 0.00 0.00 0.00 0.00 0.00	PRODUCT	CATEGORY: VARIABLE ANNUITIE	<u>s</u>							
02/08/0018 #1226040000 PRESTRESSED JOHN HANCOCK LIFE-TRAILS 0.00 124.50 92.0 0.00 114.54 0.00 114.54 0.00 03/01/0018 #E1851074 NELSON, MIKE OHIO NATIONAL 0.00 206.45 92.0 0.00 189.93 0.00 189.93 0.00 189.93 0.00 03/01/0018 #E1856397 LARKIN, RUTH OHIO NATIONAL 0.00 3.49 92.0 0.00 3.21 0.00 3.21 0.00 3.21 0.00 03/01/0018 #E1859180 DAVIDSON, JANEL OHIO NATIONAL 0.00 47.43 92.0 0.00 43.64 0.00 43.64 0.304/0018 #E1853484 CANTRELL, MARGIE OHIO NATIONAL 0.00 209.07 92.0 0.00 192.34 0.00 192.34 0.305/2018 #1016423315 MOOT, PEGGY PERSP II 04/14 836.71 41.84 92.0 0.00 38.49 0.00 38.49 0.312/2018 #1016423315 MOOT, PEGGY PERSP II 04/14 0.06 0.69 0.03 92.0 0.00 0.00 0.03 0.00 0.03 0.00 0.33/19/2018 #1014026084 KELLY, MARY PERSP II 09/12 2,600.00 136.50 92.0 0.00 0.00 125.58 0.00 125.58	02/08/001	8 #575320000 LANE HEALTH,	JOHN HANCOCK LIFE-TRAILS	0.00	100.07	92.0	0.00	92.06	0.00	92.06
03/01/0018 #E1851074 NELSON, MIKE OHIO NATIONAL 0.00 206.45 92.0 0.00 189.93 0.00 189.93 0.00 3/01/0018 #E1856397 LARKIN, RUTH OHIO NATIONAL 0.00 3.49 92.0 0.00 3.21 0.00 3.21 0.00 3/01/0018 #E1859180 DAVIDSON, JANEL OHIO NATIONAL 0.00 47.43 92.0 0.00 43.64 0.00 43.64 0.00 43.64 0.00 43.64 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	02/08/001	8 #1315500000 SOLUTIONS,	JOHN HANCOCK LIFE-TRAILS	0.00	33.41	92.0	0.00	30.74	0.00	30.74
03/01/0018 #E1856397 LARKIN, RUTH OHIO NATIONAL 0.00 3.49 92.0 0.00 3.21 0.00 3.21 0.00 3.21 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	02/08/001	8 #1226040000 PRESTRESSED	JOHN HANCOCK LIFE-TRAILS	0.00	124.50	92.0	0.00	114.54	0.00	114.54
03/01/0018 #E1859180 DAVIDSON, JANEL OHIO NATIONAL 0.00 47.43 92.0 0.00 43.64 0.00 43.64 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	03/01/001	8 #E1851074 NELSON, MIKE	OHIO NATIONAL	0.00	206.45	92.0	0.00	189.93	0.00	189.93
03/04/0018 #E1853484 CANTRELL, MARGIE OHIO NATIONAL 0.00 209.07 92.0 0.00 192.34 0.00 192.34 0.00 192.34 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	03/01/001	8 #E1856397 LARKIN, RUTH	OHIO NATIONAL	0.00	3.49	92.0	0.00	3.21	0.00	3.21
03/05/2018 #1016423315 MOOT, PEGGY PERSP II 04/14 836.71 41.84 92.0 0.00 38.49 0.00 38.49 0.00 3/12/2018 #1016423315 MOOT, PEGGY PERSP II 04/14 0.69 0.03 92.0 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.03 0.00 0.	03/01/001	8 #E1859180 DAVIDSON, JANEL	OHIO NATIONAL	0.00	47.43	92.0	0.00	43.64	0.00	43.64
03/12/2018 #1016423315 MOOT, PEGGY PERSP II 04/14 0.69 0.03 92.0 0.00 0.03 0.00 0.03 0.00 0.3/19/2018 #1014026084 KELLY, MARY PERSP II 09/12 2,600.00 136.50 92.0 0.00 125.58 0.00 125.58	03/04/001	8 #E1853484 CANTRELL, MARGIE	OHIO NATIONAL	0.00	209.07	92.0	0.00	192.34	0.00	192.34
03/19/2018 #1014026084 KELLY, MARY PERSP II 09/12 2,600.00 136.50 92.0 0.00 125.58 0.00 125.58	03/05/201	.8 #1016423315 MOOT, PEGGY	PERSP II 04/14	836.71	41.84	92.0	0.00	38.49	0.00	38.49
	03/12/201	8 #1016423315 MOOT, PEGGY	PERSP II 04/14	0.69	0.03	92.0	0.00	0.03	0.00	0.03
03/20/2018 #1013722249 OLIVER, HAROLD PERSP II 09/12 150.00 11.25 92.0 0.00 10.35 0.00 10.35	03/19/201	8 #1014026084 KELLY, MARY	PERSP II 09/12	2,600.00	136.50	92.0	0.00	125.58	0.00	125.58
	03/20/201	8 #1013722249 OLIVER, HAROLD	PERSP II 09/12	150.00	11.25	92.0	0.00	10.35	0.00	10.35

DATE	CLIENT IN	IVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		* VARIABLE ANNUITIES SUBTOTAL *	\$3,587.40	\$914.04		\$0.00	\$840.91	\$0.00	\$840.91
PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS							
03/01/201	8 #00088576061 DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	0.00	3.71	92.0	0.00	3.41	0.00	3.41
03/01/201	8 #04000515434 LEE, CHRISTINA	2055 TARGET DATE RETIREMENT FUND	0.00	0.50	92.0	0.00	0.46	0.00	0.46
03/01/201	8 #04000539467 KELLY, STEVEN	2055 TARGET DATE RETIREMENT FUND	0.00	2.14	92.0	0.00	1.97	0.00	1.97
03/01/201	8 #04000672747 LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	0.00	0.02	92.0	0.00	0.02	0.00	0.02
03/01/201	8 #04000748210 RALEIGH, GRAHA	M 2055 TARGET DATE RETIREMENT FUND	0.00	0.01	92.0	0.00	0.01	0.00	0.01
03/01/201	8 #00088046313 BAUCOMKARA	AMCAP FUND INC CLASS A	0.00	5.50	92.0	0.00	5.06	0.00	5.06
03/01/201	8 #00085613691 LISHER, GINA	AMERICAN BALANCED FUND-529A	0.00	6.00	92.0	0.00	5.52	0.00	5.52
03/01/201	8 #00085789570 NYANG, LAMIN	AMERICAN BALANCED FUND-529A	0.00	1.17	92.0	0.00	1.08	0.00	1.08
03/01/201	8 #00085978086 RARDIN, JOHN	AMERICAN BALANCED FUND-529A	0.00	3.93	92.0	0.00	3.62	0.00	3.62
03/01/201	8 #00085978095 RARDIN, JOHN	AMERICAN BALANCED FUND-529A	0.00	2.18	92.0	0.00	2.01	0.00	2.01
03/01/201	8 #04000652154 GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	2.67	92.0	0.00	2.46	0.00	2.46
03/01/201	8 #04000652163 PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	0.63	92.0	0.00	0.58	0.00	0.58
03/01/201	8 #04000652169 WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	0.02	92.0	0.00	0.02	0.00	0.02
03/01/201	8 #04000672747 LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	0.03	92.0	0.00	0.03	0.00	0.03
03/01/201	8 #04000672757 SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	0.60	92.0	0.00	0.55	0.00	0.55
03/01/201	8 #04000748210 RALEIGH, GRAHA	M AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	0.02	92.0	0.00	0.02	0.00	0.02
03/01/201	8 #00089560144	AMERICAN FUNDS 02629M58	0.00	0.27	92.0	0.00	0.25	0.00	0.25
03/01/201	8 #00089601023	AMERICAN FUNDS 02629M58	0.00	0.32	92.0	0.00	0.29	0.00	0.29
03/01/201	8 #00086984142	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	2.72	92.0	0.00	2.50	0.00	2.50
03/01/201	8 #00086985099 LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	17.00	92.0	0.00	15.64	0.00	15.64
03/01/201	8 #00087552633 TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	5.25	92.0	0.00	4.83	0.00	4.83
03/01/201	8 #00088050835	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	0.43	92.0	0.00	0.40	0.00	0.40
03/01/201	8 #00087708562 JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	1.74	92.0	0.00	1.60	0.00	1.60
03/01/201	8 #00088046313 BAUCOMKARA	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	4.81	92.0	0.00	4.43	0.00	4.43
03/01/201	8 #00088167164	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	0.97	92.0	0.00	0.89	0.00	0.89
03/01/201	8 #04000101404	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	0.08	92.0	0.00	0.07	0.00	0.07
03/01/201	8 #04000101422 MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	0.84	92.0	0.00	0.77	0.00	0.77
03/01/201	8 #04000101425 SHIPLEYSAR	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	0.70	92.0	0.00	0.64	0.00	0.64
03/01/201	8 #04000101439 GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	0.81	92.0	0.00	0.75	0.00	0.75
03/01/201	8 #04000652154 GRAHAM, TAMMY	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	5.08	92.0	0.00	4.67	0.00	4.67
03/01/201	8 #04000652163 PRICE B J	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	0.84	92.0	0.00	0.77	0.00	0.77
03/01/201	8 #04000672757 SHANNON,	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	1.39	92.0	0.00	1.28	0.00	1.28
03/01/201	8 #00087552633 TALBERTLUC	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	5.69	92.0	0.00	5.23	0.00	5.23
03/01/201	8 #00088050835	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	0.46	92.0	0.00	0.42	0.00	0.42
03/01/201	8 #00086984142	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	15.15	92.0	0.00	13.94	0.00	13.94
03/01/201	8 #00089031414	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	1.47	92.0	0.00	1.35	0.00	1.35
03/01/201	8 #04000652154 GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	3.97	92.0	0.00	3.65	0.00	3.65

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TRAN	SACTIONS						
PRODUCT	CATEGORY: MUTUAL FU	ND 12B1 TRAILS								
03/01/201	8 #04000652163 PRICE	B J AMERICAN FUND	S 2030 TARGET DATE-A	0.00	0.94	92.0	0.00	0.86	0.00	0.86
03/01/201	.8 #04000652169 WATKINS	S, AMERICAN FUND	S 2030 TARGET DATE-A	0.00	0.02	92.0	0.00	0.02	0.00	0.02
03/01/201	8 #04000672757 SHANNON	I, AMERICAN FUND	S 2030 TARGET DATE-A	0.00	0.89	92.0	0.00	0.82	0.00	0.82
03/01/201	8 #00086986934 KUNKELO	FRET AMERICAN FUND	S 2035 TARGET DATE-A	0.00	32.14	92.0	0.00	29.57	0.00	29.57
03/01/201	8 #00087552633 TALBERT	CLUC AMERICAN FUND	S 2035 TARGET DATE-A	0.00	8.59	92.0	0.00	7.90	0.00	7.90
03/01/201	8 #04000539467 KELLY,	STEVEN AMERICAN FUND	S 2035 TARGET DATE-A	0.00	2.13	92.0	0.00	1.96	0.00	1.96
03/01/201	8 #04000515434 LEE, CH	RISTINA AMERICAN FUND	S 2040 TARGET DATE-A	0.00	0.49	92.0	0.00	0.45	0.00	0.45
03/01/201	.8 #04000652154 GRAHAM,	TAMMY AMERICAN FUND	S 2045 TARGET DATE-A	0.00	1.99	92.0	0.00	1.83	0.00	1.83
03/01/201	8 #04000652163 PRICE	B J AMERICAN FUND	S 2045 TARGET DATE-A	0.00	0.47	92.0	0.00	0.43	0.00	0.43
03/01/201	.8 #04000652169 WATKINS	S, AMERICAN FUND	S 2045 TARGET DATE-A	0.00	0.01	92.0	0.00	0.01	0.00	0.01
03/01/201	8 #04000672757 SHANNON	I, AMERICAN FUND	S 2045 TARGET DATE-A	0.00	0.45	92.0	0.00	0.41	0.00	0.41
03/01/201	8 #04000672747 LISHER,	GINA AMERICAN FUND	S TARGET DATE RETIREME	0.00	0.02	92.0	0.00	0.02	0.00	0.02
03/01/201	8 #04000748210 RALEIGH	I, GRAHAM AMERICAN FUND	S TARGET DATE RETIREME	0.00	0.01	92.0	0.00	0.01	0.00	0.01
03/01/201	8 #00088050835	AMERICAN HIGH	INCOME TR SH BEN INT	0.00	0.41	92.0	0.00	0.38	0.00	0.38
03/01/201	8 #00088046313 BAUCOM	CARA AMERICAN MUTU	AL FUND INC CLASS A	0.00	4.70	92.0	0.00	4.32	0.00	4.32
03/01/201	8 #00085613691 LISHER,	GINA AMERICAN MUTU	AL FUND-529A	0.00	1.51	92.0	0.00	1.39	0.00	1.39
03/01/201	8 #00085789570 NYANG,	LAMIN AMERICAN MUTU	AL FUND-529A	0.00	1.67	92.0	0.00	1.54	0.00	1.54
03/01/201	8 #00088576061 DAKEMIC	CHEL BOND FUND OF	AMERICA INC CL A	0.00	0.31	92.0	0.00	0.29	0.00	0.29
03/01/201	8 #00085789570 NYANG,	LAMIN CAPITAL WORLD	GROWTH AND INCOME-529	0.00	1.17	92.0	0.00	1.08	0.00	1.08
03/01/201	8 #00085978086 RARDIN,	JOHN CAPITAL WORLD	GROWTH AND INCOME-529	0.00	2.30	92.0	0.00	2.12	0.00	2.12
03/01/201	8 #00088727323 RUSSELI	, JOHN COLLEGE 2024	FUND 529A	0.00	1.90	92.0	0.00	1.75	0.00	1.75
03/01/201	8 #00085515885 GUITY,	NIQUICHE COLLEGE 2024	FUND-529C	0.00	37.71	92.0	0.00	34.69	0.00	34.69
03/01/201	8 #00085515930 GUITYOF	RBIL COLLEGE 2027	FUND-529C	0.00	16.45	92.0	0.00	15.13	0.00	15.13
03/01/201	8 #00088467617 GUITY,	NIQUICHE COLLEGE 2030	FUND-529A	0.00	6.07	92.0	0.00	5.58	0.00	5.58
03/01/201	8 #00089144766	COLLEGE 2030	FUND-529A	0.00	2.49	92.0	0.00	2.29	0.00	2.29
03/01/201	8 #00085613691 LISHER,	GINA FUNDAMENTAL I	NVESTORS-529A	0.00	1.67	92.0	0.00	1.54	0.00	1.54
03/01/201	8 #04000672747 LISHER,	GINA GLOBAL GROWTH	PORTFOLIO-A	0.00	0.03	92.0	0.00	0.03	0.00	0.03
03/01/201	8 #04000748210 RALEIGH	I, GRAHAM GLOBAL GROWTH	PORTFOLIO-A	0.00	0.02	92.0	0.00	0.02	0.00	0.02
03/01/201	8 #00086986934 KUNKELG	GRET GROWTH AND IN	COME PORTFOLIO-A	0.00	31.33	92.0	0.00	28.82	0.00	28.82
03/01/201	8 #04000652154 GRAHAM,	TAMMY GROWTH AND IN	COME PORTFOLIO-A	0.00	4.64	92.0	0.00	4.27	0.00	4.27
03/01/201	8 #04000652163 PRICE	B J GROWTH AND IN	COME PORTFOLIO-A	0.00	1.10	92.0	0.00	1.01	0.00	1.01
03/01/201	8 #04000652169 WATKINS	GROWTH AND IN	COME PORTFOLIO-A	0.00	0.03	92.0	0.00	0.03	0.00	0.03
03/01/201	8 #04000672757 SHANNON	, GROWTH AND IN	COME PORTFOLIO-A	0.00	1.04	92.0	0.00	0.96	0.00	0.96
03/01/201	8 #00087708562 JONES-W	VISN GROWTH FUND A	MERICA INC CLASS A	0.00	3.58	92.0	0.00	3.29	0.00	3.29
03/01/201	8 #00087708562 JONES-W	VISN INVESTMENT CO	AMER CLASS A	0.00	2.28	92.0	0.00	2.10	0.00	2.10
03/01/201	8 #00088050835	INVESTMENT CO	AMER CLASS A	0.00	0.52	92.0	0.00	0.48	0.00	0.48

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	NVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRAN	ISACTTONS						
DDODUGE.	CAMECODY, MUNICAL EIND 12D								
-	CATEGORY: MUTUAL FUND 12B 8 #04000101795 BEDDINGFIE	INVESTMENT CO AMER CLASS A	0.00	1.11	92.0	0.00	1.02	0.00	1.02
	8 #00088050835	NEW ECONOMY FUND SBI CLASS A	0.00	1.39	92.0	0.00	1.02	0.00	1.02
	8 #00085978086 RARDIN, JOHN	NEW PERSPECTIVE FUND-529A	0.00	3.35	92.0	0.00	3.08	0.00	3.08
	8 #00085978095 RARDIN, JOHN	NEW PERSPECTIVE FUND-529A	0.00	1.42	92.0	0.00	1.31	0.00	1.31
	8 #00088050835	NEW WORLD FUND INC NEW	0.00	1.16	92.0	0.00	1.07	0.00	1.07
	8 #00088576061 DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	0.00	3.08	92.0	0.00	2.83	0.00	2.83
	8 #00085978086 RARDIN, JOHN	THE BOND FUND OF AMERICA-529A	0.00	4.59	92.0	0.00	4.22	0.00	4.22
	8 #00085978086 RARDIN, JOHN	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	2.72	92.0	0.00	2.50	0.00	2.50
	8 #00085978095 RARDIN, JOHN	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	0.81	92.0	0.00	0.75	0.00	0.75
	8 #00088050835	WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.50	92.0	0.00	0.46	0.00	0.46
	8 # HALL, ERIN	DAIN TRAILER	65.00	3.25	92.0	0.00	2.99	0.00	2.99
	8 # RUSSELL, MEREDITH	DAIN TRAILER	145.00	5.80	92.0	0.00	5.34	0.00	5.34
	8	DAIN TRAILER	65.00	2.60	92.0	0.00	2.39	0.00	2.39
	8	DAIN TRAILER	0.00	1.09	92.0	0.00	1.00	0.00	1.00
	8 # BERG, ERIK	DAIN TRAILER	0.00	1.10	92.0	0.00	1.01	0.00	1.01
	8 # BERG, ERIK	DAIN TRAILER	0.00	0.59	92.0	0.00	0.54	0.00	0.54
	8 # HALL, ERIN	DAIN TRAILER	0.00	0.62	92.0	0.00	0.57	0.00	0.57
	8 # HALL, ERIN	DAIN TRAILER	0.00	0.64	92.0	0.00	0.59	0.00	0.59
	8 # HALL, ERIN	DAIN TRAILER	0.00	0.60	92.0	0.00	0.55	0.00	0.55
	8 # WILLIAMS, DARRELL	DAIN TRAILER	0.00	0.27	92.0	0.00	0.25	0.00	0.25
	8 # WILLIAMS, DARRELL	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
	8 # BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.57	92.0	0.00	0.52	0.00	0.52
03/09/2018	8 # BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.24	92.0	0.00	0.22	0.00	0.22
	8 # BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.17	92.0	0.00	0.16	0.00	0.16
03/09/2018	8 # BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.28	92.0	0.00	0.26	0.00	0.26
03/09/2018	8 # WILLIAMS, KERSTIN	DAIN TRAILER	0.00	0.35	92.0	0.00	0.32	0.00	0.32
03/09/2018	8 # WILLIAMS, KERSTIN	DAIN TRAILER	0.00	0.19	92.0	0.00	0.17	0.00	0.17
03/09/2018	8 # ALBIN, JANETTE	DAIN TRAILER	0.00	2.19	92.0	0.00	2.01	0.00	2.01
03/09/2018	8 # ALBIN, JANETTE	DAIN TRAILER	0.00	0.79	92.0	0.00	0.73	0.00	0.73
	8 # ALBIN, JANETTE	DAIN TRAILER	0.00	0.22	92.0	0.00	0.20	0.00	0.20
03/09/2018	8 # ALBIN, JANETTE	DAIN TRAILER	0.00	0.55	92.0	0.00	0.51	0.00	0.51
03/09/2018	8 # GALVAN, DAMIAN	DAIN TRAILER	0.00	3.12	92.0	0.00	2.87	0.00	2.87
03/09/2018	8 # GALVAN, DAMIAN	DAIN TRAILER	0.00	0.77	92.0	0.00	0.71	0.00	0.71
	8 # GALVAN, DAMIAN	DAIN TRAILER	0.00	0.81	92.0	0.00	0.75	0.00	0.75
03/09/2018	8 # BAUM, HARRY	DAIN TRAILER	0.00	3.71	92.0	0.00	3.41	0.00	3.41
03/09/2018	8 # BAUM, HARRY	DAIN TRAILER	0.00	1.15	92.0	0.00	1.06	0.00	1.06

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TRANSACTIONS						
PRODUCT	CATEGORY: MUTUAL FU	JND 12B1 TRAILS							
03/09/201	8 # BAUM, HARRY	DAIN TRAILER	0.00	6.92	92.0	0.00	6.37	0.00	6.37
03/09/201	8 # BAUM, HARRY	DAIN TRAILER	0.00	6.33	92.0	0.00	5.82	0.00	5.82
03/09/201	8 # DAVIDSON, JANEL	DAIN TRAILER	0.00	0.47	92.0	0.00	0.43	0.00	0.43
03/09/201	8 # DAVIDSON, JANEL	DAIN TRAILER	0.00	0.30	92.0	0.00	0.28	0.00	0.28
03/09/201	8 # DAVIDSON, JANEL	DAIN TRAILER	0.00	0.80	92.0	0.00	0.74	0.00	0.74
03/09/201	8 # MAGARGEE, RAY	DAIN TRAILER	0.00	0.14	92.0	0.00	0.13	0.00	0.13
03/09/201	8 # MAGARGEE, RAY	DAIN TRAILER	0.00	2.45	92.0	0.00	2.25	0.00	2.25
03/09/201	8 # MAGARGEE, RAY	DAIN TRAILER	0.00	0.09	92.0	0.00	0.08	0.00	0.08
03/09/201	8 # MAGARGEE, RAY	DAIN TRAILER	0.00	2.76	92.0	0.00	2.54	0.00	2.54
03/09/201	8 # MAGARGEE, RAY	DAIN TRAILER	0.00	1.18	92.0	0.00	1.09	0.00	1.09
03/09/201	8 # MAGARGEE, RAY	DAIN TRAILER	0.00	0.23	92.0	0.00	0.21	0.00	0.21
03/09/201	8 # AREY, GARRISON	DAIN TRAILER	0.00	0.19	92.0	0.00	0.17	0.00	0.17
03/09/201	8 # AREY, GARRISON	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
03/09/201	8 # AREY, GARRISON	DAIN TRAILER	0.00	0.41	92.0	0.00	0.38	0.00	0.38
03/09/201	8 # AREY, GARRISON	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
03/09/201	8 # AREY, GARRISON	DAIN TRAILER	0.00	0.03	92.0	0.00	0.03	0.00	0.03
03/09/201	8 # AREY, GARRISON	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
03/09/201	8 # AREY, GARRISON	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
03/09/201	8 # LANE, JAMES	DAIN TRAILER	0.00	1.62	92.0	0.00	1.49	0.00	1.49
03/09/201	8 # LANE, JAMES	DAIN TRAILER	0.00	0.60	92.0	0.00	0.55	0.00	0.55
03/09/201	8 # LANE, JAMES	DAIN TRAILER	0.00	2.67	92.0	0.00	2.46	0.00	2.46
03/09/201	8 # LANE, JAMES	DAIN TRAILER	0.00	1.55	92.0	0.00	1.43	0.00	1.43
03/09/201	8 # LANE, JAMES	DAIN TRAILER	0.00	0.23	92.0	0.00	0.21	0.00	0.21
03/09/201	8 # LANE, JAMES	DAIN TRAILER	0.00	1.11	92.0	0.00	1.02	0.00	1.02
03/09/201	8 # LANE, JAMES	DAIN TRAILER	0.00	0.22	92.0	0.00	0.20	0.00	0.20
03/09/201	8 # LANE, JAMES	DAIN TRAILER	0.00	3.50	92.0	0.00	3.22	0.00	3.22
03/09/201	8 # RANSOM, REBA	DAIN TRAILER	0.00	0.29	92.0	0.00	0.27	0.00	0.27
03/09/201	8 # RANSOM, REBA	DAIN TRAILER	0.00	0.68	92.0	0.00	0.63	0.00	0.63
03/09/201	8 # RANSOM, HERMAN	DAIN TRAILER	0.00	0.12	92.0	0.00	0.11	0.00	0.11
03/09/201	8 # RANSOM, HERMAN	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
03/09/201	8 # RANSOM, HERMAN	DAIN TRAILER	0.00	0.08	92.0	0.00	0.07	0.00	0.07
03/09/201	8 # RANSOM, HERMAN	DAIN TRAILER	0.00	0.19	92.0	0.00	0.17	0.00	0.17
03/09/201	8 # HARRIMAN, DARELL	DAIN TRAILER	0.00	1.06	92.0	0.00	0.98	0.00	0.98
03/09/201	8 # HARRIMAN, DARELL	DAIN TRAILER	0.00	0.18	92.0	0.00	0.17	0.00	0.17
03/09/201	8 # HARRIMAN, DARELL	DAIN TRAILER	0.00	1.35	92.0	0.00	1.24	0.00	1.24
03/09/201	8 # MARCUM, MICHAEL	DAIN TRAILER	0.00	6.09	92.0	0.00	5.60	0.00	5.60

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INVESTMENT

GROSS

NET

CLEARING

BROKER

DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
			BROKER TRANSACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND 1	2B1 TRAILS							
3/09/2018	8 # PENDER, PENNY	DAIN TRAILER	0.00	0.01	92.0	0.00	0.01	0.00	0.01
3/09/2018	8 # SMITH, MATT	DAIN TRAILER	0.00	0.93	92.0	0.00	0.86	0.00	0.86
3/09/2018	8 # SMITH, MATT	DAIN TRAILER	0.00	0.92	92.0	0.00	0.85	0.00	0.85
3/09/2018	8 # SMITH, MATT	DAIN TRAILER	0.00	0.78	92.0	0.00	0.72	0.00	0.72
3/09/2018	8 # OLSON, SALLY	DAIN TRAILER	0.00	2.66	92.0	0.00	2.45	0.00	2.45
3/09/2018	8 # OLSON, SALLY	DAIN TRAILER	0.00	1.24	92.0	0.00	1.14	0.00	1.14
3/09/2018	8 # OLSON, SALLY	DAIN TRAILER	0.00	1.14	92.0	0.00	1.05	0.00	1.05
3/09/2018	8 # ELL, MICHAEL	DAIN TRAILER	0.00	0.04	92.0	0.00	0.04	0.00	0.04
3/09/2018	8 # ELL, MICHAEL	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
3/09/2018	8 # WILLIAMS, DARRELL	DAIN TRAILER	0.00	0.30	92.0	0.00	0.28	0.00	0.28
3/09/2018	8 # MOON, MELISSA	DAIN TRAILER	0.00	1.97	92.0	0.00	1.81	0.00	1.81
3/09/2018	8 # MOON, MELISSA	DAIN TRAILER	0.00	1.32	92.0	0.00	1.21	0.00	1.21
3/09/2018	8 # WILKERSON, BARBARA	DAIN TRAILER	0.00	6.23	92.0	0.00	5.73	0.00	5.73
3/09/2018	8 # WILKERSON, BARBARA	DAIN TRAILER	0.00	5.66	92.0	0.00	5.21	0.00	5.21
3/09/2018	8 # WILKERSON, BARBARA	DAIN TRAILER	0.00	5.87	92.0	0.00	5.40	0.00	5.40
3/09/2018	8 # WILKERSON, BARBARA	DAIN TRAILER	0.00	1.29	92.0	0.00	1.19	0.00	1.19
3/09/2018	8 # WILKERSON, BARBARA	DAIN TRAILER	0.00	1.02	92.0	0.00	0.94	0.00	0.94
3/09/2018	8 # WILKERSON, TERRY	DAIN TRAILER	0.00	0.86	92.0	0.00	0.79	0.00	0.79
3/09/2018	8 # WILKERSON, TERRY	DAIN TRAILER	0.00	0.43	92.0	0.00	0.40	0.00	0.40
3/09/2018	8 # WILKERSON, TERRY	DAIN TRAILER	0.00	0.42	92.0	0.00	0.39	0.00	0.39
3/09/2018	8 # LISHER, GINA	DAIN TRAILER	0.00	0.30	92.0	0.00	0.28	0.00	0.28
3/09/2018	8 # LISHER, GINA	DAIN TRAILER	0.00	1.32	92.0	0.00	1.21	0.00	1.21
3/09/2018	8 # LISHER, GINA	DAIN TRAILER	0.00	1.23	92.0	0.00	1.13	0.00	1.13
3/09/2018	8 # LISHER, GINA	DAIN TRAILER	0.00	1.25	92.0	0.00	1.15	0.00	1.15
3/09/2018	8 # WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	5.47	92.0	0.00	5.03	0.00	5.03
3/09/2018	8 # WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	6.55	92.0	0.00	6.03	0.00	6.03
3/09/2018	8 # WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	5.58	92.0	0.00	5.13	0.00	5.13
3/09/2018	8 # WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	6.36	92.0	0.00	5.85	0.00	5.85
3/09/2018	8 # WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	0.20	92.0	0.00	0.18	0.00	0.18
3/09/2018	8 # WILKERSON, BARBARA	DAIN TRAILER	0.00	0.05	92.0	0.00	0.05	0.00	0.05
3/09/2018	8 # WILKERSON, BARBARA	DAIN TRAILER	0.00	0.05	92.0	0.00	0.05	0.00	0.05
3/09/2018	8 # WILKERSON, BARBARA	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
3/09/2018	8 # WILKERSON, BARBARA	DAIN TRAILER	0.00	0.54	92.0	0.00	0.50	0.00	0.50
3/09/2018	8 # MYERS, DAVE	DAIN TRAILER	0.00	0.87	92.0	0.00	0.80	0.00	0.80
3/09/2018	8 # MYERS, DAVE	DAIN TRAILER	0.00	4.42	92.0	0.00	4.07	0.00	4.07
3/09/2018	8 # MARCUM, MICHAEL	DAIN TRAILER	0.00	1.44	92.0	0.00	1.32	0.00	1.32

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TRANSACTIONS						
PRODUCT	CATEGORY: MUTUAL FUND 1	12B1 TRAILS							
	8 # WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.54	92.0	0.00	1.42	0.00	1.42
03/09/201	8 # WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	3.26	92.0	0.00	3.00	0.00	3.00
03/09/201	8 # WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.14	92.0	0.00	1.05	0.00	1.05
03/09/201	8 # WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	2.12	92.0	0.00	1.95	0.00	1.95
03/09/201	8 # WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.35	92.0	0.00	1.24	0.00	1.24
03/09/201	8 # WATSON, ROSEZENA	DAIN TRAILER	0.00	0.05	92.0	0.00	0.05	0.00	0.05
03/09/201	8 # WATSON, ROSEZENA	DAIN TRAILER	0.00	0.03	92.0	0.00	0.03	0.00	0.03
03/09/201	8 # WATSON, ROSEZENA	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
03/09/201	8 # WATSON, ROSEZENA	DAIN TRAILER	0.00	0.24	92.0	0.00	0.22	0.00	0.22
03/09/201	8 # RUSSELL, JOHN	DAIN TRAILER	0.00	2.42	92.0	0.00	2.23	0.00	2.23
03/09/201	8 # RUSSELL, JOHN	DAIN TRAILER	0.00	3.01	92.0	0.00	2.77	0.00	2.77
03/09/201	8 # RUSSELL, JOHN	DAIN TRAILER	0.00	1.19	92.0	0.00	1.09	0.00	1.09
03/09/201	8 # RUSSELL, JOHN	DAIN TRAILER	0.00	3.77	92.0	0.00	3.47	0.00	3.47
03/09/201	8 # HARDY, JAMES WILLIAM	DAIN TRAILER	0.00	2.25	92.0	0.00	2.07	0.00	2.07
03/09/201	8 # HARDY, JAMES WILLIAM	DAIN TRAILER	0.00	1.24	92.0	0.00	1.14	0.00	1.14
03/09/201	8 # RUSSELL, MEREDITH	DAIN TRAILER	0.00	2.70	92.0	0.00	2.48	0.00	2.48
03/09/201	8 # RUSSELL, MEREDITH	DAIN TRAILER	0.00	1.97	92.0	0.00	1.81	0.00	1.81
03/09/201	8 # RUSSELL, MEREDITH	DAIN TRAILER	0.00	1.94	92.0	0.00	1.78	0.00	1.78
03/09/201	8 # NELSON, MIKE	DAIN TRAILER	0.00	0.39	92.0	0.00	0.36	0.00	0.36
03/09/201	8 # NYANG, LAMIN	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
03/09/201	8 # LEWANDOWSKI, KIM	DAIN TRAILER	0.00	0.55	92.0	0.00	0.51	0.00	0.51
03/09/201	8 # LEWANDOWSKI, KIM	DAIN TRAILER	0.00	0.83	92.0	0.00	0.76	0.00	0.76
03/09/201	8 # LEWANDOWSKI, KIM	DAIN TRAILER	0.00	0.30	92.0	0.00	0.28	0.00	0.28
03/09/201	8 # LEWANDOWSKI, KIM	DAIN TRAILER	0.00	2.02	92.0	0.00	1.86	0.00	1.86
03/09/201	8 # NYANG, LAMIN	DAIN TRAILER	0.00	0.11	92.0	0.00	0.10	0.00	0.10
03/09/201	8 # NYANG, LAMIN	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
03/09/201	8 # MOOT, PEGGY	DAIN TRAILER	0.00	1.00	92.0	0.00	0.92	0.00	0.92
03/09/201	8 # MOOT, PEGGY	DAIN TRAILER	0.00	1.35	92.0	0.00	1.24	0.00	1.24
03/09/201	8 # MOOT, PEGGY	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
03/09/201	8 # MOOT, PEGGY	DAIN TRAILER	0.00	0.49	92.0	0.00	0.45	0.00	0.45
03/09/201	8 # ARVIN, PEGGY	DAIN TRAILER	0.00	0.88	92.0	0.00	0.81	0.00	0.81
03/09/201	8 # ARVIN, PEGGY	DAIN TRAILER	0.00	0.22	92.0	0.00	0.20	0.00	0.20
03/09/201	8 # ARVIN, PEGGY	DAIN TRAILER	0.00	0.32	92.0	0.00	0.29	0.00	0.29
03/09/201	8 # ARVIN, PEGGY	DAIN TRAILER	0.00	1.19	92.0	0.00	1.09	0.00	1.09
03/09/201	8 # HARDY, PATRICIA	DAIN TRAILER	0.00	0.92	92.0	0.00	0.85	0.00	0.85
03/09/201	8 # HARDY, PATRICIA	DAIN TRAILER	0.00	0.61	92.0	0.00	0.56	0.00	0.56

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			BROKER TRANSACTIONS						
PRODUCT	CATEGORY: MUT	UAL FUND 12B1 TRAILS							
03/09/201	8 # EUBANKS, WI	LLONE DAIN TRAILER	0.00	0.45	92.0	0.00	0.41	0.00	0.41
03/09/201	8 # EUBANKS, WI	LLONE DAIN TRAILER	0.00	0.22	92.0	0.00	0.20	0.00	0.20
03/09/201	8 # EUBANKS, WI	LLONE DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
03/09/201	8 # NELSON, MIK	E DAIN TRAILER	0.00	0.40	92.0	0.00	0.37	0.00	0.37
03/09/201	8 # NELSON, MIK	E DAIN TRAILER	0.00	0.50	92.0	0.00	0.46	0.00	0.46
03/09/201	8 # NELSON, MIK	E DAIN TRAILER	0.00	0.62	92.0	0.00	0.57	0.00	0.57
03/09/201	8 # NELSON, MIK	E DAIN TRAILER	0.00	2.51	92.0	0.00	2.31	0.00	2.31
03/09/201	8 # NELSON, MIK	E DAIN TRAILER	0.00	0.24	92.0	0.00	0.22	0.00	0.22
03/09/201	8 # HILTE, MONT	Y DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
03/09/201	8 # HILTE, MONT	Y DAIN TRAILER	0.00	0.04	92.0	0.00	0.04	0.00	0.04
03/09/201	8 # HILTE, MONT	Y DAIN TRAILER	0.00	0.80	92.0	0.00	0.74	0.00	0.74
03/09/201	8 # PEKAREK, MA	RJAN DAIN TRAILER	0.00	0.89	92.0	0.00	0.82	0.00	0.82
03/09/201	8 # PEKAREK, MA	RJAN DAIN TRAILER	0.00	1.17	92.0	0.00	1.08	0.00	1.08
03/09/201	8 # PEKAREK, MA	RJAN DAIN TRAILER	0.00	0.59	92.0	0.00	0.54	0.00	0.54
03/09/201	8 # PEKAREK, MA	RJAN DAIN TRAILER	0.00	1.15	92.0	0.00	1.06	0.00	1.06
03/09/201	8 # SHANDY, RON	NITA DAIN TRAILER	0.00	0.29	92.0	0.00	0.27	0.00	0.27
03/09/201	8 # SHANDY, RON	NITA DAIN TRAILER	0.00	0.19	92.0	0.00	0.17	0.00	0.17
03/09/201	8 # SHANDY, RON	NITA DAIN TRAILER	0.00	0.51	92.0	0.00	0.47	0.00	0.47
03/09/201	8 # RARDIN, JOH	N DAIN TRAILER	0.00	1.36	92.0	0.00	1.25	0.00	1.25
03/09/201	8 # RARDIN, JOH	N DAIN TRAILER	0.00	0.19	92.0	0.00	0.17	0.00	0.17
03/09/201	8 # RARDIN, JOH	N DAIN TRAILER	0.00	0.87	92.0	0.00	0.80	0.00	0.80
03/09/201	8 # RARDIN, JOH	N DAIN TRAILER	0.00	1.66	92.0	0.00	1.53	0.00	1.53
03/09/201	8 # STOUT, KYLE	DAIN TRAILER	0.00	4.47	92.0	0.00	4.11	0.00	4.11
03/09/201	8 # STOUT, KYLE	DAIN TRAILER	0.00	6.72	92.0	0.00	6.18	0.00	6.18
03/09/201	8 # STOUT, KYLE	DAIN TRAILER	0.00	3.43	92.0	0.00	3.16	0.00	3.16
03/09/201	8 # GUITY, NIQU	ICHE DAIN TRAILER	0.00	2.69	92.0	0.00	2.47	0.00	2.47
03/09/201	8 # GUITY, NIQU	ICHE DAIN TRAILER	0.00	0.23	92.0	0.00	0.21	0.00	0.21
03/09/201	8 # GUITY, NIQU	ICHE DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
03/09/201	8 # GALVAS, MAR	IE DAIN TRAILER	0.00	0.86	92.0	0.00	0.79	0.00	0.79
03/09/201	8 # GALVAS, MAR	IE DAIN TRAILER	0.00	0.41	92.0	0.00	0.38	0.00	0.38
03/12/201	8 # RUSSELL, JO	HN DAIN TRAILER	100.00	4.75	92.0	0.00	4.37	0.00	4.37
03/12/201	8 # RUSSELL, ME	REDITH DAIN TRAILER	100.00	5.00	92.0	0.00	4.60	0.00	4.60
03/12/201	8 # SMITH, MATT	DAIN TRAILER	0.00	4.63	92.0	0.00	4.26	0.00	4.26
03/12/201	8 # RANSOM, HER	MAN DAIN TRAILER	25.00	1.25	92.0	0.00	1.15	0.00	1.15
03/12/201	8 # RUSSELL, JO	HN DAIN TRAILER	100.00	5.00	92.0	0.00	4.60	0.00	4.60
03/12/201	8 # RUSSELL, JO	HN DAIN TRAILER	115.00	4.60	92.0	0.00	4.23	0.00	4.23

COMMISSION STATEMENT for CYNTHIA HERRON

DATE CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
	BROKER TRANS	SACTIONS						
PRODUCT CATEGORY: MUTUAL FUN	ND 12B1 TRAILS							
03/12/2018 # RANSOM, HERMAN	DAIN TRAILER	25.00	1.25	92.0	0.00	1.15	0.00	1.15
03/15/2018 # LISHER, GINA	DAIN TRAILER	200.00	10.00	92.0	0.00	9.20	0.00	9.20
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$940.00	\$547.63		\$0.00	\$503.84	\$0.00	\$503.84
	*** BROKER TRANSACTIONS TOTAL ***	\$13,894.20	\$1,764.27		\$0.00	\$1,623.18	\$40.00	\$1,583.18
	ADJUSTM	ENTS						
04/20/2018	ADMIN FEE: ADMIN FEE							-320.00
04/20/2018	E & O INSURANCE: E & O INSURANCE							-179.00
04/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF							-30.00
	DEBIT BALANCE							
04/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF							-35.00
	DEBIT BALANCE							
04/20/2018	POSTAGE: POSTAGE FEDEX							-10.56
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE							-215.00
04/20/2018	DOCUSIGN: DOCUSIGN							-51.00
	*** ADJUSTMENTS TOTAL ***						•	\$-840.56
						OKER COMMIS	SION TO	TALS
					Broker T	Transactions	\$1	,583.18

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Broker Transactions	\$1,583.18
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-840.56
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00
1	

Check Amount

\$742.62