



All Companies
COMMISSION STATEMENT
July 02, 2018

06/28/2018
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MICHAEL C. MOYNIHAN
700 Throckmorton

BROKER #: 9528
BRANCH: HOME OFFICE

Fort Worth, TX 76102

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/31/2018	TRAILS	-TEXAS 529 PLAN	B	5.81	5.81	0.00	5.81	90.0	5.23
05/31/2018	TRAILS	-TEXAS 529 PLAN	B	0.68	0.68	0.00	0.68	90.0	0.61
05/31/2018	TRAILS	-TEXAS 529 PLAN	B	12.72	12.72	0.00	12.72	90.0	11.45
06/01/2018	RICHWINE, RANDALL	-TEXAS 529 PLAN	B	400.00	16.00	0.00	16.00	90.0	14.40
06/01/2018	RICHWINE, RANDALL	-TEXAS 529 PLAN	B	400.00	16.00	0.00	16.00	90.0	14.40
06/11/2018	PARISH, RUSSELL	-TEXAS 529 PLAN	B	150.00	7.13	0.00	7.13	90.0	6.42
06/11/2018	PARISH, RUSSELL	-TEXAS 529 PLAN	B	150.00	7.13	0.00	7.13	90.0	6.42
06/15/2018	HUDGINS/HYDINGER, CHRISTY/ELLERY	-TEXAS 529 PLAN	B	50.00	2.38	0.00	2.38	90.0	2.14
06/11/2018	MCEACHERN, JAMES M	FORESTERS FINANCIAL	B	100.00	3.00	0.00	3.00	90.0	2.70
06/11/2018	MCEACHERN, PAIGE A	FORESTERS FINANCIAL	B	100.00	3.00	0.00	3.00	90.0	2.70
06/15/2018	000000000705804 STRAWSER, ROBERT K.	FRK TEMP COREFOLIO ALLOC FND CL - A B		200.00	10.00	0.00	10.00	90.0	9.00
06/15/2018	000000000874040 STRAWSER, LAURA	FRK AGGRESSIVE GRWTH - CL A	B	150.00	7.50	0.00	7.50	90.0	6.75
06/15/2018	0000000001032921 BLADEN, WILLIAM T.	FRK AGGRESSIVE GRWTH - CL A	B	458.33	7.33	0.00	7.33	90.0	6.60
06/15/2018	0000000001281157 BLADEN, WILLIAM /KATHRI	FRK AGGRESSIVE GRWTH - CL A	B	100.00	1.60	0.00	1.60	90.0	1.44
06/15/2018	0000000001889841 BLADEN, WILLIAM T.	MUTUAL DISC FND - CL A	B	100.00	1.60	0.00	1.60	90.0	1.44
06/15/2018	0000000003044308 BLADEN, WILLIAM T.	FRANKLIN MUTUAL SHARES FD CL 1	B	100.00	1.60	0.00	1.60	90.0	1.44
06/15/2018	0000000004809378 BLADEN, KATHRINE	FRK TEMP FOUNDING FUNDS ALLOC FND C B		458.33	7.33	0.00	7.33	90.0	6.60
06/15/2018	0000000006891410 BLADEN, WILLIAM	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B		100.00	1.60	0.00	1.60	90.0	1.44
06/15/2018	0000000007430553 BLADEN, WILLIAM/BRYNJA	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B		300.00	4.80	0.00	4.80	90.0	4.32
06/15/2018	000013912664577 STRAWSER, LAURA	FRANKLIN SPECIAL EQUITY INCOME FD C B		150.00	7.50	0.00	7.50	90.0	6.75

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/15/2018	000015815261389 BLADEN, WILLIAM T.	FRANKLIN RISING DIVIDEND FD CL I	B	100.00	1.60	0.00	1.60	90.0	1.44
06/07/2018	00001611968 BELCHER, LORENA	LIFESTYLE AGGRESSIVE PORTFOLIO A	B	200.00	8.50	0.00	8.50	90.0	7.65
06/08/2018	00001664121 HUTCHISON, VIKKI	LIFESTYLE GROWTH PORTFOLIO A	B	223.08	6.36	0.00	6.36	90.0	5.72
06/05/2018	00001664145 KANESHIRO, JOAN A	LIFESTYLE GROWTH PORTFOLIO A	B	200.00	8.50	0.00	8.50	90.0	7.65
06/04/2018	00001731052 MITCHELL, NATALIE	LIFESTYLE BALANCED PORTFOLIO A	B	230.00	8.63	0.00	8.63	90.0	7.77
06/15/2018	00001827274 MARTIN, BECK/HEATHER	LIFESTYLE MODERATE PORTFOLIO A	B	100.00	3.75	0.00	3.75	90.0	3.38
06/15/2018	00002042873 MARTIN, BECK	US GLOBAL LEADERS GROWTH A	B	200.00	7.50	0.00	7.50	90.0	6.75
06/15/2018	00002108774 KIZA, EILEEN	LIFESTYLE BALANCED PORTFOLIO C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	00002109067 KIZA, EILEEN	LIFESTYLE GROWTH PORTFOLIO A	B	200.00	7.50	0.00	7.50	90.0	6.75
06/11/2018	00002172270 HALL, HARRISON	JOHN HANCOCK GROWTH & INCOME FD CL B	B	82.50	2.35	0.00	2.35	90.0	2.12
06/11/2018	00002172270 HALL, HARRISON	JOHN HANCOCK GROWTH & INCOME FD CL B	B	253.16	7.22	0.00	7.22	90.0	6.50
06/11/2018	00002172271 HALL, HARRISON	CLASSIC VALUE A	B	253.16	7.22	0.00	7.22	90.0	6.50
06/11/2018	00002172271 HALL, HARRISON	CLASSIC VALUE A	B	82.50	2.35	0.00	2.35	90.0	2.12
06/11/2018	00002172273 HALL, HARRISON	NEW OPPORTUNITIES A	B	82.50	2.35	0.00	2.35	90.0	2.12
06/11/2018	00002172273 HALL, HARRISON	NEW OPPORTUNITIES A	B	253.16	7.22	0.00	7.22	90.0	6.50
06/11/2018	00002172274 HALL, HARRISON	GLOBAL SHAREHOLDER YIELD A	B	41.25	1.18	0.00	1.18	90.0	1.06
06/11/2018	00002172274 HALL, HARRISON	GLOBAL SHAREHOLDER YIELD A	B	126.58	3.61	0.00	3.61	90.0	3.25
06/11/2018	00002172275 HALL, HARRISON	JOHN HANCOCK HIGH YLD BD FD CL A	B	41.25	1.24	0.00	1.24	90.0	1.12
06/11/2018	00002172275 HALL, HARRISON	JOHN HANCOCK HIGH YLD BD FD CL A	B	126.58	3.80	0.00	3.80	90.0	3.42
06/11/2018	00002172276 HALL, HARRISON	JOHN HANCOCK SOVEREIGN BD FD CL A	B	126.58	3.80	0.00	3.80	90.0	3.42
06/11/2018	00002172276 HALL, HARRISON	JOHN HANCOCK SOVEREIGN BD FD CL A	B	41.25	1.24	0.00	1.24	90.0	1.12
06/11/2018	00002172277 HALL, HARRISON	FLOATING RATE INCOME A	B	41.25	0.62	0.00	0.62	90.0	0.56
06/11/2018	00002172277 HALL, HARRISON	FLOATING RATE INCOME A	B	126.58	1.90	0.00	1.90	90.0	1.71
06/11/2018	00002174074 TERRY, BRANDI	LIFESTYLE GROWTH PORTFOLIO A	B	116.66	3.32	0.00	3.32	90.0	2.99
06/11/2018	00002174074 TERRY, BRANDI	LIFESTYLE GROWTH PORTFOLIO A	B	116.66	3.32	0.00	3.32	90.0	2.99
06/11/2018	00002177456 KORKUTOVIC, SEVLIJA	LIFESTYLE MODERATE PORTFOLIO A	B	117.50	3.35	0.00	3.35	90.0	3.02
06/11/2018	00002177456 KORKUTOVIC, SEVLIJA	LIFESTYLE MODERATE PORTFOLIO A	B	117.50	3.35	0.00	3.35	90.0	3.02
06/11/2018	00002393597 CUTSHALL, ALISON N.	LIFESTYLE GROWTH PORTFOLIO A	B	87.50	2.49	0.00	2.49	90.0	2.24
06/11/2018	00002393597 CUTSHALL, ALISON N.	LIFESTYLE GROWTH PORTFOLIO A	B	87.50	2.49	0.00	2.49	90.0	2.24
06/11/2018	00002393605 EUBANKS, STEPHANIE A.	LIFESTYLE GROWTH PORTFOLIO A	B	97.50	2.78	0.00	2.78	90.0	2.50
06/11/2018	00002393605 EUBANKS, STEPHANIE A.	LIFESTYLE GROWTH PORTFOLIO A	B	97.50	2.78	0.00	2.78	90.0	2.50
06/01/2018	00052796859 LOTT, BRIAN K	PORTFOLIO 2025-2028 A	B	100.00	4.25	0.00	4.25	90.0	3.83

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
06/21/2018	00073345834	VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD	BD FD B	26.77	0.74	0.00	0.74	90.0	0.67
06/21/2018	00073345834	VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD	BD FD B	26.77	0.74	0.00	0.74	90.0	0.67
06/18/2018	00075397174	MCCARTHY, CHRIS/ANNALIE	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
06/15/2018	00075979142	LARA-MONTGOMERY, JENNIFER A	AMERICAN FUNDS CAPITAL WORLD	GROWT B	100.00	2.00	0.00	2.00	90.0	1.80
06/18/2018	00081508155	MCCARTHY, CHRIS/OLIVIA	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
06/15/2018	00082630504	THURMAN, MEGAN	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	2.50	0.00	2.50	90.0	2.25
06/15/2018	00082697643	MCEACHERN, KYLIE	CAPITAL WORLD GROWTH AND INCOME-529	B	100.00	4.25	0.00	4.25	90.0	3.83
06/15/2018	00083124311	MONTGOMERY, ZANDER	CAPITAL WORLD GROWTH AND INCOME-529	B	75.00	1.50	0.00	1.50	90.0	1.35
06/15/2018	00084124051	PARISH/ALLEN, VICTORIA/JULIE	CAPITAL INCOME BUILDER-529A	B	25.00	1.06	0.00	1.06	90.0	0.95
06/15/2018	00084124070	PARISH/ALLEN, VICTORIA/JULIE	CAPITAL INCOME BUILDER-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
06/15/2018	00085752451	MONTGOMERY, ZANDER	CAPITAL WORLD GROWTH AND INCOME-529	B	75.00	1.50	0.00	1.50	90.0	1.35
06/15/2018	00087076225	PARISH/ALLEN, VICTORIA/JULIE	CAPITAL WORLD GROWTH AND INCOME-529	B	50.00	2.13	0.00	2.13	90.0	1.92
06/15/2018	00087416414	WHITE, CINDY C.	GROWTH PORTFOLIO-A	B	150.00	7.50	0.00	7.50	90.0	6.75
06/15/2018	00088409989	PARISH/ALLEN, VICTORIA/JULIE	COLLEGE 2030 FUND-529A	B	50.00	1.75	0.00	1.75	90.0	1.58
06/15/2018	00088439412	RICHARDSON, CLAY & BRIANA	COLLEGE 2030 FUND-529A	B	500.00	17.50	0.00	17.50	90.0	15.75
06/15/2018	00088439441	RICHARDSON, CLAY & BRIANA	COLLEGE 2030 FUND-529A	B	500.00	17.50	0.00	17.50	90.0	15.75
06/21/2018	00088625904	SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A	B	150.00	4.13	0.00	4.13	90.0	3.72
06/21/2018	00088625904	SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A	B	61.42	1.69	0.00	1.69	90.0	1.52
06/21/2018	00088628251	GRINDELE, RUSSELL G.	AMERICAN FUNDS 2025 TARGET DATE-A	B	26.52	0.73	0.00	0.73	90.0	0.66
06/21/2018	00088628251	GRINDELE, RUSSELL G.	AMERICAN FUNDS 2025 TARGET DATE-A	B	26.52	0.73	0.00	0.73	90.0	0.66
06/18/2018	00089201070	PARISH, SAMMY/CALEB	COLLEGE 2033 FUND-529A	B	50.00	1.75	0.00	1.75	90.0	1.58
06/21/2018	00089316769	JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A	B	10.00	0.28	0.00	0.28	90.0	0.25
06/21/2018	00089316769	JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A	B	10.00	0.28	0.00	0.28	90.0	0.25
06/21/2018	00089357575	CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A	B	70.00	1.93	0.00	1.93	90.0	1.74
06/21/2018	00089357575	CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A	B	28.80	0.79	0.00	0.79	90.0	0.71
06/04/2018	01471247542	OWENS, CATHERINE J.	FIDELITY ADV ASSET MANAGER 85%-CL	A B	458.33	22.92	0.00	22.92	90.0	20.63
06/15/2018	02000061966	MARTIN,	AGE BASED PORTFOLIO 30 A	B	100.00	3.00	0.00	3.00	90.0	2.70

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
BECK/REBECCA										
06/15/2018	04000405006	HILLMAN, DUSTIN	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	90.0	3.15
06/15/2018	04000928379	UNRUH, TIFFANY L.	AMERICAN FUNDS 2040 TARGET DATE-A	B	100.00	5.00	0.00	5.00	90.0	4.50
06/15/2018	04718377567	MIERS, JOSHUA	ALLIANCE TECHNOLOGY FD CL A	B	458.33	18.33	0.00	18.33	90.0	16.50
06/05/2018	07000073711	MINTER, MERIDITH	PRINCIPAL INVESTORS SAM STRAT GRO (B	229.16	9.17	0.00	9.17	90.0	8.25
06/05/2018	07000073711	MINTER, MERIDITH	PRINCIPAL INVESTORS WEST COAST EQTY	B	229.17	9.17	0.00	9.17	90.0	8.25
06/05/2018	08900188177	MINTER, MERIDITH	PRINCIPAL INVESTORS SAM CONSERV GRO	B	1,000.00	10.00	0.00	10.00	90.0	9.00
06/01/2018	5551032020	WHITT, CHAD M.	PORTFOLIO SERIES: EQUITY INVESTOR F	B	200.00	9.50	0.00	9.50	90.0	8.55
* MUTUAL FUNDS SUBTOTAL *					\$12,792.36	\$421.74	\$0.00	\$421.74		\$379.66
PRODUCT CATEGORY: STOCKS										
06/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	131.64	131.64	0.00	131.64	90.0	118.48	
* STOCKS SUBTOTAL *					\$131.64	\$131.64	\$0.00	\$131.64		\$118.48
PRODUCT CATEGORY: VARIABLE ANNUITIES										
06/15/2018	1020889370	RICHARDSON, BRIANA	-JACKSON NATIONAL	B	5,000.00	62.50	0.00	62.50	90.0	56.25
* VARIABLE ANNUITIES SUBTOTAL *					\$5,000.00	\$62.50	\$0.00	\$62.50		\$56.25
PRODUCT CATEGORY: RIA										
04/13/2018	11567839	BARTON, LISA D	SIGNAL SECURITIES RIA	B	0.00	7.66	0.00	7.66	90.0	6.89
04/13/2018	11750216	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	95.34	0.00	95.34	90.0	85.81
04/13/2018	11754268	KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	21.34	0.00	21.34	90.0	19.21
04/13/2018	13004139	SUTTON, TERRE I	SIGNAL SECURITIES RIA	B	0.00	34.00	0.00	34.00	90.0	30.60
04/13/2018	14849603	SCASTA, BRANDI	SIGNAL SECURITIES RIA	B	0.00	16.66	0.00	16.66	90.0	14.99
04/13/2018	15090246	RICHWINE, RANDALL & KIMBE	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	90.0	9.00
04/13/2018	15327103	PARISH, VICTORIA J	SIGNAL SECURITIES RIA	B	0.00	42.34	0.00	42.34	90.0	38.11
04/13/2018	15535924	HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	779.00	0.00	779.00	90.0	701.10
04/13/2018	15961193	RICE, JULIE D	SIGNAL SECURITIES RIA	B	0.00	142.66	0.00	142.66	90.0	128.39
04/13/2018	16538757	HANKINS, GARY & DEBRA	SIGNAL SECURITIES RIA	B	0.00	10.34	0.00	10.34	90.0	9.31
04/13/2018	16731246	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	0.66	0.00	0.66	90.0	0.59
04/13/2018	16737554	BROWN, WILMA JO	SIGNAL SECURITIES RIA	B	0.00	220.00	0.00	220.00	90.0	198.00
04/13/2018	16830093	HALL, MICHAEL & AIMEE	SIGNAL SECURITIES RIA	B	0.00	1,065.66	0.00	1,065.66	90.0	959.09
04/13/2018	19861374	GOLDENBERG, KRISTY	SIGNAL SECURITIES RIA	B	0.00	12.34	0.00	12.34	90.0	11.11
04/13/2018	20873922	WEST, MARSHA R	SIGNAL SECURITIES RIA	B	0.00	36.34	0.00	36.34	90.0	32.71
04/13/2018	20966370	MCCARTHY, CHRIS/CANDICE	SIGNAL SECURITIES RIA	B	0.00	4.00	0.00	4.00	90.0	3.60
04/13/2018	21210794	KIGER, MICHAEL K	SIGNAL SECURITIES RIA	B	0.00	189.00	0.00	189.00	90.0	170.10
04/13/2018	21603998	PALO, REBECCA K.	SIGNAL SECURITIES RIA	B	0.00	71.66	0.00	71.66	90.0	64.49
04/13/2018	22006691	MCCOY, RAYMOND/LINDA	SIGNAL SECURITIES RIA	B	0.00	580.00	0.00	580.00	90.0	522.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018 22739240	COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	27.34	0.00	27.34	90.0	24.61
04/13/2018 23822702	STRAWSER, ROBERT KEVIN	SIGNAL SECURITIES RIA	B	0.00	310.00	0.00	310.00	90.0	279.00
04/13/2018 25342680	VISTINE, TIM A	SIGNAL SECURITIES RIA	B	0.00	16.34	0.00	16.34	90.0	14.71
04/13/2018 26871153	VISTINE, CHARLES DAVID	SIGNAL SECURITIES RIA	B	0.00	174.34	0.00	174.34	90.0	156.91
04/13/2018 27056511	SUTTON, TERRE I	SIGNAL SECURITIES RIA	B	0.00	147.66	0.00	147.66	90.0	132.89
04/13/2018 27336748	SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	22.66	0.00	22.66	90.0	20.39
04/13/2018 27636763	MCCRANIE, JACK & PERRI	SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00	90.0	18.00
04/13/2018 29148734	POWELL, LAMONT	SIGNAL SECURITIES RIA	B	0.00	173.34	0.00	173.34	90.0	156.01
04/13/2018 29861027	ZIMMERMAN, BROCK S	SIGNAL SECURITIES RIA	B	0.00	13.34	0.00	13.34	90.0	12.01
04/13/2018 30118591	PARISH, RUSSELL & KELLY	SIGNAL SECURITIES RIA	B	0.00	188.00	0.00	188.00	90.0	169.20
04/13/2018 30212369	COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	71.66	0.00	71.66	90.0	64.49
04/13/2018 30854428	HARTMAN, MATTIE E	SIGNAL SECURITIES RIA	B	0.00	40.66	0.00	40.66	90.0	36.59
04/13/2018 30933785	JAYMES, SHELEEN A	SIGNAL SECURITIES RIA	B	0.00	72.66	0.00	72.66	90.0	65.39
04/13/2018 31462737	BOBER, KATHERINE K	SIGNAL SECURITIES RIA	B	0.00	23.66	0.00	23.66	90.0	21.29
04/13/2018 31660678	SPENCER, KELLI/ABBY	SIGNAL SECURITIES RIA	B	0.00	9.66	0.00	9.66	90.0	8.69
04/13/2018 31805488	ZIMMERMAN, MARY ALYCE	SIGNAL SECURITIES RIA	B	0.00	22.66	0.00	22.66	90.0	20.39
04/13/2018 31811649	WILLIAMS, BRENDA ANN	SIGNAL SECURITIES RIA	B	0.00	25.34	0.00	25.34	90.0	22.81
04/13/2018 32562790	SPENCER, KELLI S	SIGNAL SECURITIES RIA	B	0.00	216.00	0.00	216.00	90.0	194.40
04/13/2018 33609517	WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	69.66	0.00	69.66	90.0	62.69
04/13/2018 33996338	CAMPBELL, IAN & MARY	SIGNAL SECURITIES RIA	B	0.00	153.00	0.00	153.00	90.0	137.70
04/13/2018 34850080	RICE, BRIAN & JULIE	SIGNAL SECURITIES RIA	B	0.00	220.00	0.00	220.00	90.0	198.00
04/13/2018 36650710	GOLDENBERG, ADAM M	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
04/13/2018 36816725	PHILP, JAMES & BETH	SIGNAL SECURITIES RIA	B	0.00	642.66	0.00	642.66	90.0	578.39
04/13/2018 37455579	HILLMAN, DUSTIN & CHELSY	SIGNAL SECURITIES RIA	B	0.00	28.00	0.00	28.00	90.0	25.20
04/13/2018 37648579	LUBWAMA, ADRIAN & ROSETT	SIGNAL SECURITIES RIA	B	0.00	8.34	0.00	8.34	90.0	7.51
04/13/2018 38767645	LOONEY, RANDY L	SIGNAL SECURITIES RIA	B	0.00	168.66	0.00	168.66	90.0	151.79
04/13/2018 38839950	CAMPBELL, MARY G	SIGNAL SECURITIES RIA	B	0.00	171.34	0.00	171.34	90.0	154.21
04/13/2018 39267061	KASPER, ANTHONY T	SIGNAL SECURITIES RIA	B	0.00	44.00	0.00	44.00	90.0	39.60
04/13/2018 39273336	PARISH, SAM D	SIGNAL SECURITIES RIA	B	0.00	136.00	0.00	136.00	90.0	122.40
04/13/2018 39438762	LUCUS, THOMAS BRENT	SIGNAL SECURITIES RIA	B	0.00	119.34	0.00	119.34	90.0	107.41
04/13/2018 39508930	LIPP, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
04/13/2018 39898429	BELCHER, TAMMY JEAN	SIGNAL SECURITIES RIA	B	0.00	50.66	0.00	50.66	90.0	45.59
04/13/2018 40149138	SAUNDERS, MARTHA	SIGNAL SECURITIES RIA	B	0.00	45.66	0.00	45.66	90.0	41.09

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	40488610 SHISLER, LOREN W/JOYCE	SIGNAL SECURITIES RIA	B	0.00	171.34	0.00	171.34	90.0	154.21
04/13/2018	41387564 HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	165.66	0.00	165.66	90.0	149.09
04/13/2018	41427542 MEADE, ROBERT & GAIL	SIGNAL SECURITIES RIA	B	0.00	262.66	0.00	262.66	90.0	236.39
04/13/2018	41566521 MCCARTHY, CANDICE M	SIGNAL SECURITIES RIA	B	0.00	42.00	0.00	42.00	90.0	37.80
04/13/2018	41607521 MCCARTHY, CHRIS/CANDICE	SIGNAL SECURITIES RIA	B	0.00	39.66	0.00	39.66	90.0	35.69
04/13/2018	41778487 VISTINE, TIM A	SIGNAL SECURITIES RIA	B	0.00	114.00	0.00	114.00	90.0	102.60
04/13/2018	43046430 BETTIS, ZACHERY & ASHLE	SIGNAL SECURITIES RIA	B	0.00	5.00	0.00	5.00	90.0	4.50
04/13/2018	44608676 MACNAUGHTON, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	51.34	0.00	51.34	90.0	46.21
04/13/2018	44819267 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	122.00	0.00	122.00	90.0	109.80
04/13/2018	45214078 SAUNDERS, MARTHA	SIGNAL SECURITIES RIA	B	0.00	15.34	0.00	15.34	90.0	13.81
04/13/2018	45397194 CAMPBELL, IAN & MARY	SIGNAL SECURITIES RIA	B	0.00	272.00	0.00	272.00	90.0	244.80
04/13/2018	45838820 COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	43.00	0.00	43.00	90.0	38.70
04/13/2018	46403906 ROYAL, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	55.66	0.00	55.66	90.0	50.09
04/13/2018	46599623 RICHWINE, RANDALL & KIMBE	SIGNAL SECURITIES RIA	B	0.00	41.00	0.00	41.00	90.0	36.90
04/13/2018	48515094 SHISLER, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	6.66	0.00	6.66	90.0	5.99
04/13/2018	49164045 BETTIS, ZACHERY & ASHLE	SIGNAL SECURITIES RIA	B	0.00	6.34	0.00	6.34	90.0	5.71
04/13/2018	49201215 RICHARDSON, CLAY & BRIANA	SIGNAL SECURITIES RIA	B	0.00	432.66	0.00	432.66	90.0	389.39
04/13/2018	49301407 HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	758.34	0.00	758.34	90.0	682.51
04/13/2018	49711323 RUSSELL, JAMES E	SIGNAL SECURITIES RIA	B	0.00	5.66	0.00	5.66	90.0	5.09
04/13/2018	50668393 MILLER FAMILY TRUST, LARRY/ANDREA	SIGNAL SECURITIES RIA	B	0.00	60.66	0.00	60.66	90.0	54.59
04/13/2018	50743604 RICE, BRIAN & JULIE	SIGNAL SECURITIES RIA	B	0.00	104.34	0.00	104.34	90.0	93.91
04/13/2018	51491652 MOYNIHAN, LINDA A.	SIGNAL SECURITIES RIA	B	0.00	14.66	0.00	14.66	90.0	13.19
04/13/2018	52388846 MOYNIHAN, LINDA A.	SIGNAL SECURITIES RIA	B	0.00	8.34	0.00	8.34	90.0	7.51
04/13/2018	53144778 LUBWAMA, ROSETTE	SIGNAL SECURITIES RIA	B	0.00	5.66	0.00	5.66	90.0	5.09
04/13/2018	53175378 WILLIAMS III, THEODORE/BRENDA	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
04/13/2018	53186569 RANEY, JASON	SIGNAL SECURITIES RIA	B	0.00	0.66	0.00	0.66	90.0	0.59
04/13/2018	54673339 ZIMMERMAN, NOAH B	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
04/13/2018	54771115 HYDINGER, DANIEL/MICHELLE	SIGNAL SECURITIES RIA	B	0.00	336.66	0.00	336.66	90.0	302.99
04/13/2018	54860066 BURKETT, MICHAEL R	SIGNAL SECURITIES RIA	B	0.00	357.66	0.00	357.66	90.0	321.89
04/13/2018	55421837 SPENCER,	SIGNAL SECURITIES RIA	B	0.00	10.34	0.00	10.34	90.0	9.31

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
KELLI/JEFFREY									
04/13/2018	55632540 DELGADO, EDUARDO/ P GAIL	SIGNAL SECURITIES RIA	B	0.00	364.00	0.00	364.00	90.0	327.60
04/13/2018	55689243 PARISH, KELLY PAIGE	SIGNAL SECURITIES RIA	B	0.00	5.34	0.00	5.34	90.0	4.81
04/13/2018	56480647 KEITH, CHARLOTTE MEEK	SIGNAL SECURITIES RIA	B	0.00	227.00	0.00	227.00	90.0	204.30
04/13/2018	56483663 SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	19.66	0.00	19.66	90.0	17.69
04/13/2018	58128434 LIPP, CHRISTOPHER S	SIGNAL SECURITIES RIA	B	0.00	29.34	0.00	29.34	90.0	26.41
04/13/2018	58720438 LIPP, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	4.66	0.00	4.66	90.0	4.19
04/13/2018	58847212 HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	75.66	0.00	75.66	90.0	68.09
04/13/2018	59247893 PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	207.34	0.00	207.34	90.0	186.61
04/13/2018	59303330 GETTO, MARY VIRGINIA	SIGNAL SECURITIES RIA	B	0.00	101.34	0.00	101.34	90.0	91.21
04/13/2018	59483304 KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	320.34	0.00	320.34	90.0	288.31
04/13/2018	60172642 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	83.00	0.00	83.00	90.0	74.70
04/13/2018	60176438 HUTCHISON, ROBERT & VIKKI	SIGNAL SECURITIES RIA	B	0.00	207.66	0.00	207.66	90.0	186.89
04/13/2018	60650863 BREAUX, SANDRA K	SIGNAL SECURITIES RIA	B	0.00	182.34	0.00	182.34	90.0	164.11
04/13/2018	62006841 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	64.00	0.00	64.00	90.0	57.60
04/13/2018	63523092 BREAUX, SANDRA K	SIGNAL SECURITIES RIA	B	0.00	76.34	0.00	76.34	90.0	68.71
04/13/2018	63534306 WATKINS, RICHARD/ ASHLEY	SIGNAL SECURITIES RIA	B	0.00	57.66	0.00	57.66	90.0	51.89
04/13/2018	65038561 PALO, REBECCA K.	SIGNAL SECURITIES RIA	B	0.00	28.00	0.00	28.00	90.0	25.20
04/13/2018	65071642 LUBWAMA, ADRIAN & ROSETT	SIGNAL SECURITIES RIA	B	0.00	5.34	0.00	5.34	90.0	4.81
04/13/2018	65960021 REEVES/HARRIS, TYLER/COURTNEY	SIGNAL SECURITIES RIA	B	0.00	3.34	0.00	3.34	90.0	3.01
04/13/2018	66465361 MUFUNBIRO, LISA M	SIGNAL SECURITIES RIA	B	0.00	5.66	0.00	5.66	90.0	5.09
04/13/2018	66475080 PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	90.66	0.00	90.66	90.0	81.59
04/13/2018	66477226 SCASTA, STEPHEN	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
04/13/2018	67017804 COTE, PATTY	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
04/13/2018	67138671 BOBER, THOMAS & KATHY	SIGNAL SECURITIES RIA	B	0.00	53.34	0.00	53.34	90.0	48.01
04/13/2018	67763155 COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	90.66	0.00	90.66	90.0	81.59
04/13/2018	69525005 GOLDEN, DARRYL LYNN	SIGNAL SECURITIES RIA	B	0.00	218.34	0.00	218.34	90.0	196.51
04/13/2018	71272747 PARISH, WESLEY & LESLIE	SIGNAL SECURITIES RIA	B	0.00	333.66	0.00	333.66	90.0	300.29
04/13/2018	71349299 WEST TEST. TRUST, DAVID S	SIGNAL SECURITIES RIA	B	0.00	441.66	0.00	441.66	90.0	397.49
04/13/2018	71376962 SCOTT, TIMOTHY L	SIGNAL SECURITIES RIA	B	0.00	194.00	0.00	194.00	90.0	174.60
04/13/2018	71717760 ZIMMERMAN, W B &MARY ALYCE	SIGNAL SECURITIES RIA	B	0.00	262.34	0.00	262.34	90.0	236.11

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	72586770 PARISH, SAM D	SIGNAL SECURITIES RIA	B	0.00	382.34	0.00	382.34	90.0	344.11
04/13/2018	72722158 PHILP, REBECCA	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	90.0	14.40
	ELIZABE								
04/13/2018	73441084 COX, SHARON	SIGNAL SECURITIES RIA	B	0.00	118.34	0.00	118.34	90.0	106.51
04/13/2018	74258191 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
04/13/2018	74717597 HUBBARD, MARK R	SIGNAL SECURITIES RIA	B	0.00	35.66	0.00	35.66	90.0	32.09
04/13/2018	74742534 SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
04/13/2018	75508848 GETTO, MARY VIRGINIA	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	90.0	14.40
04/13/2018	75899513 BRYAN, ROBERT/MELISSA	SIGNAL SECURITIES RIA	B	0.00	23.66	0.00	23.66	90.0	21.29
04/13/2018	76384301 RANEY, JASON	SIGNAL SECURITIES RIA	B	0.00	39.66	0.00	39.66	90.0	35.69
04/13/2018	77083119 WEST, MARSHA R	SIGNAL SECURITIES RIA	B	0.00	62.66	0.00	62.66	90.0	56.39
04/13/2018	78079060 LEON, ASHLEY MICHELLE	SIGNAL SECURITIES RIA	B	0.00	38.34	0.00	38.34	90.0	34.51
04/13/2018	78489883 SHISLER, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	87.00	0.00	87.00	90.0	78.30
04/13/2018	80005105 SMITH, AVONNE M	SIGNAL SECURITIES RIA	B	0.00	91.00	0.00	91.00	90.0	81.90
04/13/2018	80455638 RUSSELL, JAMES E	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
04/13/2018	81582307 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	236.66	0.00	236.66	90.0	212.99
04/13/2018	82214691 HARRIS, GARY D	SIGNAL SECURITIES RIA	B	0.00	151.34	0.00	151.34	90.0	136.21
04/13/2018	82904951 HUBBARD, MARK R	SIGNAL SECURITIES RIA	B	0.00	46.34	0.00	46.34	90.0	41.71
04/13/2018	83237717 MOYNIHAN, P. JOHN	SIGNAL SECURITIES RIA	B	0.00	72.00	0.00	72.00	90.0	64.80
04/13/2018	83318344 KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	29.00	0.00	29.00	90.0	26.10
04/13/2018	83532025 LANCE, CHERYL L	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
04/13/2018	83978523 ADKINS, PEGGY R	SIGNAL SECURITIES RIA	B	0.00	63.34	0.00	63.34	90.0	57.01
04/13/2018	84984988 HALL, ALISA LYNN	SIGNAL SECURITIES RIA	B	0.00	85.00	0.00	85.00	90.0	76.50
04/13/2018	85627507 RUSSELL, CHRISTINE C	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
04/13/2018	85704471 FOWLKES, STEVEN &	SIGNAL SECURITIES RIA	B	0.00	1,887.00	0.00	1,887.00	90.0	1,698.30
	ELLEN								
04/13/2018	86788791 ZIMMERMAN, ZACHARY J	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
04/13/2018	88805216 WILLIAMS, BRENDA ANN	SIGNAL SECURITIES RIA	B	0.00	34.00	0.00	34.00	90.0	30.60
04/13/2018	89271206 TESTA, JOSEPH A	SIGNAL SECURITIES RIA	B	0.00	281.00	0.00	281.00	90.0	252.90
04/13/2018	90142952 HARTMAN, CRAIG T	SIGNAL SECURITIES RIA	B	0.00	19.66	0.00	19.66	90.0	17.69
04/13/2018	90584473 HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	50.34	0.00	50.34	90.0	45.31
04/13/2018	91672459 TOWNSEND, MARILYN &	SIGNAL SECURITIES RIA	B	0.00	49.00	0.00	49.00	90.0	44.10
	LAWSO								
04/13/2018	91748202 HARRIS, COURTNEY A	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
04/13/2018	91781366 LIPP, CHRISTOPHER S	SIGNAL SECURITIES RIA	B	0.00	39.66	0.00	39.66	90.0	35.69
04/13/2018	92167936 REEVES/HARRIS,	SIGNAL SECURITIES RIA	B	0.00	1.00	0.00	1.00	90.0	0.90
	TYLER/COURTNEY								
04/13/2018	92531509 BUELL, JEFFREY &	SIGNAL SECURITIES RIA	B	0.00	238.66	0.00	238.66	90.0	214.79
	CHARL F.								
04/13/2018	92627603 BARTON, LISA D	SIGNAL SECURITIES RIA	B	0.00	93.34	0.00	93.34	90.0	84.01

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	95074654 DOYLE, TERESA M	SIGNAL SECURITIES RIA	B	0.00	39.66	0.00	39.66	90.0	35.69
04/13/2018	95140665 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	90.0	9.00
04/13/2018	95683095 LANCE, CHERYL L	SIGNAL SECURITIES RIA	B	0.00	52.00	0.00	52.00	90.0	46.80
04/13/2018	95788083 LUCUS, LADONNA	SIGNAL SECURITIES RIA	B	0.00	131.00	0.00	131.00	90.0	117.90
04/13/2018	96521624 MOYNIHAN, P. JOHN	SIGNAL SECURITIES RIA	B	0.00	13.66	0.00	13.66	90.0	12.29
04/13/2018	97505602 SPENCER, KELLI S	SIGNAL SECURITIES RIA	B	0.00	19.66	0.00	19.66	90.0	17.69
04/13/2018	97611628 MILLER FAMILY TRUST, LARRY/ANDREA	SIGNAL SECURITIES RIA	B	0.00	307.34	0.00	307.34	90.0	276.61
04/13/2018	97754476 WILLIAMS III, THEODORE/BRENDA	SIGNAL SECURITIES RIA	B	0.00	33.66	0.00	33.66	90.0	30.29
04/13/2018	98213803 SASSMAN, KATHLEEN/JAMES	SIGNAL SECURITIES RIA	B	0.00	437.00	0.00	437.00	90.0	393.30
04/13/2018	99473573 MACNAUGHTON, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	22.66	0.00	22.66	90.0	20.39
* RIA SUBTOTAL *				\$0.00	\$19,542.26	\$0.00	\$19,542.26		\$17,587.99
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/02/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	562.38	0.00	562.38	90.0	506.23
07/02/2018	TRAIL COMMISSIONS	PRINCIPAL MUTUAL FUNDS FEES		0.00	11.63	0.00	11.63	90.0	10.47
07/02/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	21.94	0.00	21.94	90.0	19.75
07/02/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	2.98	0.00	2.98	90.0	2.68
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$598.93	\$0.00	\$598.93		\$539.13
*** BROKER TRANSACTIONS TOTAL ***				\$17,924.00	\$20,757.07	\$0.00	\$20,757.07		\$18,681.51
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SNOW/MOYNIHAN #9543</u>									
04/13/2018	47895792 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	168.26	0.00	168.26	90.0	151.43
04/13/2018	75756775 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	104.66	0.00	104.66	90.0	94.19
04/13/2018	83385351 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	14.66	0.00	14.66	90.0	13.19
04/13/2018	91616746 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	6.80	0.00	6.80	90.0	6.12
* SNOW/MOYNIHAN SUBTOTAL *				\$0.00	\$294.38	\$0.00	\$294.38		\$264.93
<u>BROKER: SINGLETON/MOYNIHAN #9595</u>									
04/13/2018	17599493 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	62.67	0.00	62.67	90.0	56.40
04/13/2018	31646344 DAVIS, RUFUS L	SIGNAL SECURITIES RIA	B	0.00	104.17	0.00	104.17	90.0	93.75
04/13/2018	41564753 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	12.50	0.00	12.50	90.0	11.25
04/13/2018	41989330 YOUNGBERG, THOMAS N	SIGNAL SECURITIES RIA	B	0.00	53.67	0.00	53.67	90.0	48.30

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SINGLETON/MOYNIHAN #9595</u>									
04/13/2018	42529214 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	79.50	0.00	79.50	90.0	71.55
04/13/2018	45771580 WARREN, THOMAS & SUSAN	SIGNAL SECURITIES RIA	B	0.00	125.00	0.00	125.00	90.0	112.50
04/13/2018	51406766 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	93.50	0.00	93.50	90.0	84.15
04/13/2018	62437500 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	241.83	0.00	241.83	90.0	217.65
04/13/2018	63181693 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	88.33	0.00	88.33	90.0	79.50
04/13/2018	67846422 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	0.83	0.00	0.83	90.0	0.75
04/13/2018	68333401 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	63.67	0.00	63.67	90.0	57.30
04/13/2018	69683604 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	63.50	0.00	63.50	90.0	57.15
04/13/2018	82910782 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	57.50	0.00	57.50	90.0	51.75
* SINGLETON/MOYNIHAN SUBTOTAL *				<u>\$0.00</u>	<u>\$1,046.67</u>	<u>\$0.00</u>	<u>\$1,046.67</u>		<u>\$942.00</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$1,341.05</u>	<u>\$0.00</u>	<u>\$1,341.05</u>		<u>\$1,206.93</u>

OVERRIDE TRANSACTIONS

<u>BROKER: THOMPSON/SIGNAL #9622</u>									
04/13/2018	35296442 DAUM (SEPARATE PROP), JERRY F	SIGNAL SECURITIES RIA	B	0.00	5,145.66	0.00	5,145.66		283.01
* THOMPSON/SIGNAL SUBTOTAL *				<u>\$0.00</u>	<u>\$5,145.66</u>	<u>\$0.00</u>	<u>\$5,145.66</u>		<u>\$283.01</u>
*** OVERRIDE TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$5,145.66</u>	<u>\$0.00</u>	<u>\$5,145.66</u>		<u>\$283.01</u>

ADJUSTMENTS

07/02/2018	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
07/02/2018	RENT: 1/2 MONTH			0.00	0.00	0.00	0.00		-125.00
07/02/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-252.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$18,681.51
Split Transactions	\$1,206.93
Override Transactions	\$283.01
Adjustments	\$-252.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$19,918.95
Year-to-date Earnings	\$159,856.27