

All Companies
STATEMENT
May 20, 2018

DENNIS JORDAN

BROKER #: 272
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DENNIS JORDAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/10/2018	#00088285586	MCNEILANDR	AMCAP FUND INC CLASS A	391.26	10.76	85.0	0.00	9.15	9.15
04/10/2018	#00088285586	MCNEILANDR	AMERICAN BALANCED FUND INC CLASS A	300.00	8.25	85.0	0.00	7.01	7.01
04/10/2018	#00089576353	MARSWENDY	AMERICAN BALANCED FUND INC CLASS A	50.00	2.50	85.0	0.00	2.13	2.13
04/10/2018	#00088285586	MCNEILANDR	FUNDAMENTAL INVESTORS INC CLASS A	400.00	11.00	85.0	0.00	9.35	9.35
04/10/2018	#00089576353	MARSWENDY	FUNDAMENTAL INVESTORS INC CLASS A	50.00	2.50	85.0	0.00	2.13	2.13
04/10/2018	#00088285586	MCNEILANDR	NEW PERSPECTIVE FUND INC CL A	300.00	8.25	85.0	0.00	7.01	7.01
04/20/2018	#00089580827	AKINS-ATEW	NEW WORLD FUND INC NEW	75.00	3.75	85.0	0.00	3.19	3.19
			* MUTUAL FUNDS SUBTOTAL *	\$1,566.26	\$47.01	\$0.00	\$39.97	\$0.00	\$39.97
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
03/30/0201	#016097302	GRIFFITH-REECE,	NATIONWIDE DESTINATION	0.00	288.51	85.0	0.00	245.23	245.23
04/02/2018	#016097302	GRIFFITH-REECE,	NATIONWIDE DESTINATION	500.00	10.50	85.0	0.00	8.93	8.93
			* VARIABLE ANNUITIES SUBTOTAL *	\$500.00	\$299.01	\$0.00	\$254.16	\$0.00	\$254.16
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/01/2018	#06910018050	VARIOUSJORDEAN	INVESCO UTILITIES FUND CL A	0.00	18.28	85.0	0.00	15.54	15.54
04/01/2018	#06910018050	VARIOUSJORDEAN	INVESCO VAN KAMPEN COMSTOCK FUND CL	0.00	12.96	85.0	0.00	11.02	11.02
04/01/2018	#06910018050	VARIOUSJORDEAN	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	23.90	85.0	0.00	20.32	20.32
04/02/2018	#00511106330		PUTNAM EQUITY INCOME FUND NEW-CL A	0.00	36.76	85.0	0.00	31.25	31.25
04/02/2018	#00551408365	JOSEPNANCI	PUTNAM EQUITY INCOME FUND NEW-CL A	0.00	0.69	85.0	0.00	0.59	0.59
04/02/2018	#00551408365	JOSEPNANCI	PUTNAM GLOBAL EQUITY FUND INC-CL A	0.00	0.36	85.0	0.00	0.31	0.31
04/10/2018	# JORDAN, EDWINA		DAIN TRAILER	0.00	1.42	85.0	0.00	1.21	1.21
04/10/2018	# JORDAN, EDWINA		DAIN TRAILER	0.00	2.23	85.0	0.00	1.90	1.90
04/10/2018	# JORDAN, EDWINA		DAIN TRAILER	0.00	5.57	85.0	0.00	4.73	4.73
04/10/2018	# REECE, CARLISLE		DAIN TRAILER	0.00	1.71	85.0	0.00	1.45	1.45
04/10/2018	# REECE, CARLISLE		DAIN TRAILER	0.00	18.35	85.0	0.00	15.60	15.60
04/10/2018	# REECE, CARLISLE		DAIN TRAILER	0.00	26.22	85.0	0.00	22.29	22.29
04/10/2018	# REECE, CARLISLE		DAIN TRAILER	0.00	25.04	85.0	0.00	21.28	21.28
04/10/2018	# REECE, CARLISLE		DAIN TRAILER	0.00	9.86	85.0	0.00	8.38	8.38

COMMISSION STATEMENT for DENNIS JORDAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
04/10/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	22.72	85.0	0.00	19.31	0.00	19.31
04/10/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	15.42	85.0	0.00	13.11	0.00	13.11
04/10/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	10.42	85.0	0.00	8.86	0.00	8.86
04/10/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	15.38	85.0	0.00	13.07	0.00	13.07
04/10/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	3.51	85.0	0.00	2.98	0.00	2.98
04/10/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	1.76	85.0	0.00	1.50	0.00	1.50
04/10/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	1.43	85.0	0.00	1.22	0.00	1.22
04/18/2018	# JORDAN, EDWINA	DAIN TRAILER	6,000.00	0.00	85.0	0.00	0.00	0.00	0.00
04/18/2018	# JORDAN, EDWINA	DAIN TRAILER	4,000.00	0.00	85.0	0.00	0.00	0.00	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$10,000.00	\$253.99		\$0.00	\$215.92	\$0.00	\$215.92
*** BROKER TRANSACTIONS TOTAL ***			\$12,066.26	\$600.01		\$0.00	\$510.05	\$0.00	\$510.05

ADJUSTMENTS

05/20/2018	ADMIN FEE: ADMIN FEE	-390.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-249.00
*** ADJUSTMENTS TOTAL ***		\$-639.00

BROKER COMMISSION TOTALS

Broker Transactions	\$510.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-639.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-128.95
-----------------	-----------