



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 15, 2018

03/13/2018
Page No. 1

JACK, M137 MICHALETZ
150 St. Andrews Ct.
Ste. 210
Mankato, MN 56001

BROKER #: M137
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/05/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	12.00	-9.72	40.0	-3.89
03/05/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	12.00	-9.73	40.0	-3.89
02/23/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	3,800.00	152.00	22.00	130.00	40.0	52.00
03/05/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	12.00	-9.00	40.0	-3.60
* MUTUAL FUNDS SUBTOTAL *			\$4,555.00	\$159.55	\$58.00	\$101.55		\$40.62
*** CLEARING TRANSACTIONS TOTAL ***			\$4,555.00	\$159.55	\$58.00	\$101.55		\$40.62

<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/02/2018	URBAN, CURTIS	MFS RESEARCH B	2,500.00	100.00	0.00	100.00	40.0	40.00
02/20/2018	PLAN	PUTNAM GROWTH OPP A	5,000.00	250.00	0.00	250.00	40.0	100.00
03/01/2018	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	40.0	1.90
03/01/2018	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	40.0	1.90
03/01/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	46.27	0.46	0.00	0.46	40.0	0.18
03/01/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	46.27	0.46	0.00	0.46	40.0	0.18
03/01/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	40.0	0.16
03/01/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	40.0	0.16
03/01/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	81.85	0.82	0.00	0.82	40.0	0.33
03/01/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	81.85	0.82	0.00	0.82	40.0	0.33
03/01/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	56.93	0.57	0.00	0.57	40.0	0.23
03/01/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	56.93	0.57	0.00	0.57	40.0	0.23
* MUTUAL FUNDS SUBTOTAL *			\$8,151.36	\$364.02	\$0.00	\$364.02		\$145.60

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	HAAK, HELEN	JNL PERSPECTIVE ADV II 5/05	68,890.84	71.76	0.00	71.76	40.0	28.70
02/28/2018	FIRST CONGREGATIONAL	JNL PERSPECTIVE II 04/14	182,811.78	38.08	0.00	38.08	40.0	15.23
02/28/2018	STEFFL, JAMES	JNL PERSPECTIVE II 04/14	166,598.90	34.71	0.00	34.71	40.0	13.88
02/28/2018	ZOARS UNITED CHURCH OF CH	JNL PERSPECTIVE II 04/14	36,288.96	7.56	0.00	7.56	40.0	3.02
02/28/2018	COLBY, TODD	JNL PERSPECTIVE II 04/14	212,174.73	44.20	0.00	44.20	40.0	17.68
02/28/2018	ROY, DEBRA	JNL PERSPECTIVE II 04/14	168,190.60	140.16	0.00	140.16	40.0	56.06
02/28/2018	STEVERMER, THOMAS	JNL PERSPECTIVE II 04/14	112,472.69	46.86	0.00	46.86	40.0	18.74
02/28/2018	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	47,890.61	39.91	0.00	39.91	40.0	15.96
02/28/2018	WATTS, BAMBI	JNL PERSPECTIVE II 04/14	5,904.63	4.92	0.00	4.92	40.0	1.97
02/28/2018	ROSE, DENNIS	JNL PERSPECTIVE II 05/05	85,467.80	71.22	0.00	71.22	40.0	28.49
02/28/2018	ROSE, CHERYL	JNL PERSPECTIVE II 05/05	142,844.94	119.04	0.00	119.04	40.0	47.62
02/28/2018	OTTO, CURTIS	JNL PERSPECTIVE II 05/05	8,739.52	7.28	0.00	7.28	40.0	2.91
02/28/2018	PETERSON, IONE	JNL PERSPECTIVE L	135,858.26	147.18	0.00	147.18	40.0	58.87
02/28/2018	PANKRATZ, SUSAN	JNL PERSPECTIVE L	120,117.23	100.10	0.00	100.10	40.0	40.04
02/28/2018	PANKRATZ, EDWARD	JNL PERSPECTIVE L	214,822.66	179.02	0.00	179.02	40.0	71.61
02/28/2018	ROSE, DENNIS	JNL PERSPECTIVE L	174,599.10	145.50	0.00	145.50	40.0	58.20
02/28/2018	KOPISCHKE, BEVERLY	JNL PERSPECTIVE L	162,853.90	135.71	0.00	135.71	40.0	54.28
02/28/2018	JOHNSON, ELOIS	JNL PERSPECTIVE L	499,961.82	416.63	0.00	416.63	40.0	166.65
02/28/2018	FITTERER, DELORIS	JNL PERSPECTIVE L	110,546.39	92.12	0.00	92.12	40.0	36.85
02/28/2018	FITTERER, DELORIS	JNL PERSPECTIVE L	250,232.48	208.53	0.00	208.53	40.0	83.41
02/28/2018	SHIRK, PAMELA	JNL PERSPECTIVE L	214,372.79	178.64	0.00	178.64	40.0	71.46
02/28/2018	ENGELBRECHT, ALICE	JNL PERSPECTIVE L	248,853.14	207.38	0.00	207.38	40.0	82.95
02/28/2018	UTZKA, LANA	JNL PERSPECTIVE L	8,576.26	7.15	0.00	7.15	40.0	2.86
02/28/2018	KRONBACK, MAYDELLE	JNL PERSPECTIVE L	185,328.37	154.44	0.00	154.44	40.0	61.78
02/28/2018	ESLINGER, DOUGLAS	JNL PERSPECTIVE L	47,237.01	39.36	0.00	39.36	40.0	15.74
02/28/2018	DAHLKE, RONALD	JNL PERSPECTIVE L	9,595.82	8.00	0.00	8.00	40.0	3.20
02/28/2018	HOL, PATRICIA	JNL PERSPECTIVE L	133,773.72	111.48	0.00	111.48	40.0	44.59
02/28/2018	HAGER, REBECCA	JNL PERSPECTIVE L	67,091.00	55.91	0.00	55.91	40.0	22.36
02/28/2018	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	37,868.59	31.56	0.00	31.56	40.0	12.62
02/28/2018	OLSON, BRENDA	JNL PERSPECTIVE L	57,275.47	47.73	0.00	47.73	40.0	19.09
02/28/2018	OLSON, BRYCE	JNL PERSPECTIVE L	463,963.51	386.64	0.00	386.64	40.0	154.66
02/28/2018	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	271,673.99	226.39	0.00	226.39	40.0	90.56
02/28/2018	GOFF, WESLEY	JNL PERSPECTIVE L	35,068.59	29.22	0.00	29.22	40.0	11.69

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	KRAFT, JUDITH	JNL PERSPECTIVE L	167,983.44	139.99	0.00	139.99	40.0	56.00
02/28/2018	KRAFT, JUDITH	JNL PERSPECTIVE L	107,657.19	89.71	0.00	89.71	40.0	35.88
02/28/2018	SIEBERG, JOSEPH	JNL PERSPECTIVE L	72,758.58	60.63	0.00	60.63	40.0	24.25
02/28/2018	DORN, SANDRA	JNL PERSPECTIVE L	529,416.86	441.18	0.00	441.18	40.0	176.47
02/28/2018	HOWELL, NICOLE	JNL PERSPECTIVE L	214,347.40	178.62	0.00	178.62	40.0	71.45
02/28/2018	STIERLEN, ROGER	JNL PERSPECTIVE L	90,848.51	75.71	0.00	75.71	40.0	30.28
02/28/2018	MARSH, JAMES	JNL PERSPECTIVE L	40,953.42	34.13	0.00	34.13	40.0	13.65
02/28/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	155,472.71	129.56	0.00	129.56	40.0	51.82
02/28/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	34,323.00	28.60	0.00	28.60	40.0	11.44
02/28/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	65,297.39	54.41	0.00	54.41	40.0	21.76
02/28/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	38,046.62	31.71	0.00	31.71	40.0	12.68
02/28/2018	FEAR, WILLIAM	JNL PERSPECTIVE L	145,555.80	121.30	0.00	121.30	40.0	48.52
02/28/2018	PEMBLE, MICHELLE	JNL PERSPECTIVE L	317,019.03	264.18	0.00	264.18	40.0	105.67
02/28/2018	HART, JILLANE	JNL PERSPECTIVE L	102,305.84	85.26	0.00	85.26	40.0	34.10
02/28/2018	HART, KEVIN	JNL PERSPECTIVE L	302,273.50	251.90	0.00	251.90	40.0	100.76
02/28/2018	STEFFL, CHRISTINE	JNL PERSPECTIVE L	25,027.96	20.86	0.00	20.86	40.0	8.34
02/28/2018	BEVAN, HELEN	JNL PERSPECTIVE L	39,088.19	32.57	0.00	32.57	40.0	13.03
02/28/2018	SOUKUP, LAVERN	JNL PERSPECTIVE L	263,427.44	219.52	0.00	219.52	40.0	87.81
02/28/2018	MULFORD, PATRICIA	JOHN HANCOCK VANTAGE	257,236.67	214.36	0.00	214.36	40.0	85.74
02/01/2018	GRONAU, ROLAND	TRANS LIFE LANDMARK 2003 VA	25,185.15	20.99	0.00	20.99	40.0	8.40
02/01/2018	STEVENS, DOUGLAS	TRANSAMERICA CLASSIC	13,373.21	2.79	0.00	2.79	40.0	1.12
02/24/2018	KIRCHNER, JACOB	TRANSAMERICA CLASSIC	19,720.35	4.11	0.00	4.11	40.0	1.64
02/08/2018	KIRCHNER, DUANE	TRANSAMERICA EXTRA	45,239.67	37.70	0.00	37.70	40.0	15.08
02/09/2018	DRESSEN, KATHRYN	TRANSAMERICA EXTRA	340,968.44	284.14	0.00	284.14	40.0	113.66
02/20/2018	GEWERTH, CHERYL	TRANSAMERICA EXTRA	20,702.26	17.25	0.00	17.25	40.0	6.90
02/21/2018	KRONBACK, EUGENE	TRANSAMERICA EXTRA	61,520.82	51.27	0.00	51.27	40.0	20.51
02/28/2018	BULLER, CAROL	TRANSAMERICA EXTRA	14,087.03	11.74	0.00	11.74	40.0	4.70
02/28/2018	KIRCHNER, JANET	TRANSAMERICA EXTRA	33,361.11	27.80	0.00	27.80	40.0	11.12
02/01/2018	IRELAND, DANIEL	TRANSAMERICA LANDMARK	112,072.24	93.39	0.00	93.39	40.0	37.36
02/16/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	19,540.80	16.28	0.00	16.28	40.0	6.51
02/16/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	20,079.74	16.73	0.00	16.73	40.0	6.69
02/25/2018	FITTERER, DELORIS	TRANSAMERICA LANDMARK	55,098.28	45.92	0.00	45.92	40.0	18.37
02/26/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	40.0	1.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/26/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	40.0	1.00
02/28/2018	ATKINSON, VONDA	TRANSAMERICA LANDMARK 89352F772	57,890.76	48.24	0.00	48.24	40.0	19.30
02/08/2018	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	88,005.35	73.34	0.00	73.34	40.0	29.34
02/13/2018	BECKWITH, ALTON	TRANSAMERICA LIBERTY	89,991.25	74.99	0.00	74.99	40.0	30.00
* VARIABLE ANNUITIES SUBTOTAL *			\$8,673,922.11	\$6,840.27	\$0.00	\$6,840.27		\$2,736.08
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
03/04/2018	LORENTZ, ANDREW	METLIFE LIFE INS	200.00	1.00	0.00	1.00	40.0	0.40
01/05/2018	ENZ, JAMES	TRANSAMERICA LIFE	0.00	15.66	0.00	15.66	40.0	6.26
01/05/2018	GRONAU, ROLAND	TRANSAMERICA LIFE	0.00	65.10	0.00	65.10	40.0	26.04
01/05/2018	BARNARD, JOSHUA	TRANSAMERICA LIFE	0.00	1.80	0.00	1.80	40.0	0.72
01/05/2018	HILL, JESSEN	TRANSAMERICA LIFE	0.00	1.59	0.00	1.59	40.0	0.64
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$200.00	\$85.15	\$0.00	\$85.15		\$34.06
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/21/2018	PEMBLE, JOCELYN	529 AMCAP FUND CLASS A	0.00	0.40	0.00	0.40	40.0	0.16
03/01/2018	CARNEY, KARLA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.40	0.00	7.40	40.0	2.96
03/01/2018	CARNEY, KARLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.32	0.00	3.32	40.0	1.33
03/01/2018	APPEL, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.61	0.00	3.61	40.0	1.44
02/21/2018	PEMBLE, JOCELYN	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.29	0.00	0.29	40.0	0.12
02/15/2018	ATKINSON, VONDA	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	5.38	0.00	5.38	40.0	2.15
02/16/2018	GROMMERSCH, STACI	JH CLASSIC VALUE A	0.00	0.06	0.00	0.06	40.0	0.02
02/16/2018	GROMMERSCH, STACI	JH LARGE CAP EQUITY A	0.00	0.07	0.00	0.07	40.0	0.03
02/16/2018	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.06	0.00	0.06	40.0	0.02
02/16/2018	STEFFL, CHRISTINE	JH STRATEGIC GROWTH A	0.00	0.32	0.00	0.32	40.0	0.13
02/16/2018	STEFFL, JOSEPH	JH STRATEGIC GROWTH A	0.00	0.46	0.00	0.46	40.0	0.18
02/16/2018	ATKINSON, VONDA	JH STRATEGIC GROWTH A	0.00	2.67	0.00	2.67	40.0	1.07
02/16/2018	GROMMERSCH, STACI	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	0.05	0.00	0.05	40.0	0.02
02/13/2018	PAHL, ADAM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	18.57	0.00	18.57	40.0	7.43
02/13/2018	SWARD, KIM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	40.98	0.00	40.98	40.0	16.39
02/13/2018	SORUM, JEFFREY	MFS GROWTH ALLOCATION C	0.00	4.79	0.00	4.79	40.0	1.92
02/13/2018	WHITE, STACEY	MFS GROWTH ALLOCATION C	0.00	12.81	0.00	12.81	40.0	5.12
02/22/2018	SORENSEN, JOSHUA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.44	0.00	0.44	40.0	0.18
02/21/2018	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	0.00	2.89	0.00	2.89	40.0	1.16

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/21/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	0.00	0.01	0.00	0.01	40.0	0.00
02/21/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	0.00	0.04	0.00	0.04	40.0	0.02
02/21/2018	ATKINSON, VONDA	PUTNAM INTERNATIONAL GROWTH-A	0.00	11.01	0.00	11.01	40.0	4.40
02/21/2018	SCHULLER, ALEC	PUTNAM MULTI CAP GROWTH A	0.00	0.98	0.00	0.98	40.0	0.39
02/13/2018	ATKINSON, VONDA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	24.11	0.00	24.11	40.0	9.64
02/14/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	0.00	3.14	0.00	3.14	40.0	1.26
02/14/2018	GILBERTO, SARAH	TRANSAMERICA AA GROWTH A	0.00	4.26	0.00	4.26	40.0	1.70
02/14/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH A	0.00	2.63	0.00	2.63	40.0	1.05
02/14/2018	LORENTZ, ROBERT	TRANSAMERICA AA GROWTH A	0.00	5.57	0.00	5.57	40.0	2.23
02/14/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	0.00	5.35	0.00	5.35	40.0	2.14
02/14/2018	SIEBERG, KYLE	TRANSAMERICA AA GROWTH C	0.00	1.27	0.00	1.27	40.0	0.51
02/14/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	19.87	0.00	19.87	40.0	7.95
02/14/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	18.55	0.00	18.55	40.0	7.42
03/02/2018	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.66	0.00	2.66	40.0	1.06
03/02/2018	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	5.46	0.00	5.46	40.0	2.18
03/02/2018	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	37.47	0.00	37.47	40.0	14.99
03/02/2018	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	37.47	0.00	37.47	40.0	14.99
03/02/2018	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.28	0.00	5.28	40.0	2.11
03/02/2018	FITTERER, PAMELA	TRANSAMERICA AA GROWTH C	0.00	16.24	0.00	16.24	40.0	6.50
03/02/2018	DORN, SANDRA	TRANSAMERICA AA GROWTH C	0.00	5.50	0.00	5.50	40.0	2.20
03/02/2018	FITTERER, EMILY	TRANSAMERICA AA GROWTH C	0.00	1.70	0.00	1.70	40.0	0.68
03/02/2018	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	15.62	0.00	15.62	40.0	6.25
03/02/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	48.69	0.00	48.69	40.0	19.48
03/02/2018	FITTERER, SAMUEL	TRANSAMERICA AA GROWTH C	0.00	1.47	0.00	1.47	40.0	0.59
03/02/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	42.14	0.00	42.14	40.0	16.86
03/02/2018	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	6.57	0.00	6.57	40.0	2.63
03/02/2018	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	7.50	0.00	7.50	40.0	3.00
03/02/2018	MCRAE, HEATHER	TRANSAMERICA AA GROWTH C	0.00	1.63	0.00	1.63	40.0	0.65
03/02/2018	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.50	0.00	2.50	40.0	1.00
03/02/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	17.95	0.00	17.95	40.0	7.18
03/02/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	0.00	17.24	0.00	17.24	40.0	6.90
03/02/2018	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	10.96	0.00	10.96	40.0	4.38
03/02/2018	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.06	0.00	2.06	40.0	0.82

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/02/2018	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.08	0.00	2.08	40.0	0.83
03/02/2018	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.34	0.00	1.34	40.0	0.54
03/02/2018	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.44	0.00	3.44	40.0	1.38
03/02/2018	MICHALETZ, ADISYN	TRANSAMERICA AA GROWTH C	0.00	1.06	0.00	1.06	40.0	0.42
03/02/2018	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.04	0.00	1.04	40.0	0.42
03/02/2018	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.43	0.00	5.43	40.0	2.17
03/02/2018	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	19.90	0.00	19.90	40.0	7.96
03/02/2018	ROY TOD, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	9.02	0.00	9.02	40.0	3.61
03/02/2018	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	4.95	0.00	4.95	40.0	1.98
03/02/2018	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	3.65	0.00	3.65	40.0	1.46
03/02/2018	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	7.64	0.00	7.64	40.0	3.06
03/02/2018	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	2.01	0.00	2.01	40.0	0.80
03/02/2018	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.01	0.00	8.01	40.0	3.20
03/02/2018	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	12.54	0.00	12.54	40.0	5.02
03/02/2018	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	14.89	0.00	14.89	40.0	5.96
03/02/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	0.00	0.94	0.00	0.94	40.0	0.38
03/02/2018	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.50	0.00	4.50	40.0	1.80
03/02/2018	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.75	0.00	1.75	40.0	0.70
03/02/2018	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.64	0.00	2.64	40.0	1.06
03/02/2018	BOESCH, SKYE	TRANSAMERICA AA GROWTH C	0.00	1.68	0.00	1.68	40.0	0.67
03/02/2018	FLYGARE, MATTHEW	TRANSAMERICA AA GROWTH C	0.00	2.86	0.00	2.86	40.0	1.14
03/02/2018	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.63	0.00	0.63	40.0	0.25
03/02/2018	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.09	0.00	4.09	40.0	1.64
03/02/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	0.00	0.23	0.00	0.23	40.0	0.09
03/02/2018	MICHALETZ, WHITNEY	TRANSAMERICA AA GROWTH C	0.00	0.82	0.00	0.82	40.0	0.33
03/02/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH C	0.00	9.07	0.00	9.07	40.0	3.63
03/02/2018	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH C	0.00	15.37	0.00	15.37	40.0	6.15
02/14/2018	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	0.00	33.70	0.00	33.70	40.0	13.48
03/02/2018	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	0.00	6.97	0.00	6.97	40.0	2.79
03/02/2018	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.28	0.00	3.28	40.0	1.31
03/02/2018	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	4.72	0.00	4.72	40.0	1.89
03/02/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	46.63	0.00	46.63	40.0	18.65
03/02/2018	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	0.00	7.28	0.00	7.28	40.0	2.91

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/02/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	6.87	0.00	6.87	40.0	2.75
03/02/2018	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.20	0.00	1.20	40.0	0.48
03/02/2018	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.19	0.00	2.19	40.0	0.88
03/02/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	9.93	0.00	9.93	40.0	3.97
03/02/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	6.78	0.00	6.78	40.0	2.71
03/02/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	3.87	0.00	3.87	40.0	1.55
03/02/2018	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	0.03	0.00	0.03	40.0	0.01
02/14/2018	STEFFL, CHRISTINE	TRANSAMERICA CAPITAL GROWTH A	0.00	0.74	0.00	0.74	40.0	0.30
02/14/2018	STEFFL, JOSEPH	TRANSAMERICA CAPITAL GROWTH A	0.00	0.69	0.00	0.69	40.0	0.28
02/14/2018	STEFFL, CHRISTINE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.49	0.00	0.49	40.0	0.20
02/14/2018	STEFFL, JOSEPH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.44	0.00	0.44	40.0	0.18
02/14/2018	SCHULLER, CORY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.63	0.00	1.63	40.0	0.65
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$766.49	\$0.00	\$766.49		\$306.63
*** DIRECT TRANSACTIONS TOTAL ***			\$8,682,273.47	\$8,055.93	\$0.00	\$8,055.93		\$3,222.37

SPLIT TRANSACTIONS**BROKER: 46G-JOE/JACK MICHALETZ #290**

02/13/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	0.66	0.00	0.66	40.0	0.26
02/28/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	0.66	0.00	0.66	40.0	0.26
02/13/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	0.53	0.00	0.53	40.0	0.21
02/28/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	0.53	0.00	0.53	40.0	0.21
02/13/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	0.53	0.00	0.53	40.0	0.21
02/28/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	0.53	0.00	0.53	40.0	0.21
02/13/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.40	0.00	0.40	40.0	0.16
02/28/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.40	0.00	0.40	40.0	0.16
* 46G-JOE/JACK MICHALETZ SUBTOTAL *			\$844.80	\$4.24	\$0.00	\$4.24		\$1.68
*** SPLIT TRANSACTIONS TOTAL ***			\$844.80	\$4.24	\$0.00	\$4.24		\$1.68

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
03/15/2018		*AI INSIGHT: AI INSIGHT - JACK	0.00					-7.00
03/15/2018		*ALBRIDGE: LIGHT USER - JACK	0.00					-76.00
03/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					619.90
03/15/2018		*EO INS: CURRENT MONTH INS-JACK	0.00					-300.00
03/15/2018		*LASER APP: LASER APP - JACK	0.00					-18.00
03/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-8.90
03/15/2018		*PERSHING: MANDITORY REORG - VAN TO	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - VAN TO	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - WOLFE	0.00					-10.00
03/15/2018		*TECHNOLOGY: BASIC PACKAGE - JACK	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,262.99
Split Transactions	\$1.68
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,264.67
Year-to-date Gross	\$49,788.85
Year-to-date Earnings	\$19,878.57

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