

STATEMENT

March 20, 2018

KRISTIN FRENCH
9431 Coppertop Loop NE Suite 2
SUITE 620
Bainbridge Island, WA 98110

BROKER #: 125
BRANCH:

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/16/2018 #	BARBARA HERMAN TTEE	DXC TECHNOLOGY COMPANY COMMON STOCK	1,011.75	0.00	90.0	0.00	0.00	19.95	-19.95
02/16/2018 #	BARBARA HERMAN TTEE	HEWLETT PACKARD ENTERPRISE COMPANY	2,071.68	0.00	90.0	0.00	0.00	19.95	-19.95
02/16/2018 #	BARBARA HERMAN TTEE	HP INC COM	2,704.30	0.00	90.0	0.00	0.00	19.95	-19.95
02/16/2018 #	BARBARA HERMAN TTEE	INTEL CORP	6,411.08	0.00	90.0	0.00	0.00	19.95	-19.95
02/16/2018 #	BARBARA HERMAN TTEE	MICRO FOCUS INTERNATIONAL PLC AMERI	502.86	0.00	90.0	0.00	0.00	19.95	-19.95
* STOCKS SUBTOTAL *			\$12,701.67	\$0.00		\$0.00	\$0.00	\$99.75	\$-99.75
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/01/0018 #E0737443	KIRKREIT, JUDY	PRUDETIAL TRAILS	0.00	34.42	90.0	0.00	30.98	0.00	30.98
01/05/0018 #I083459LM	KITSSAP ROOFING	VOYA FINANCIAL	0.00	1,075.63	90.0	0.00	968.07	0.00	968.07
01/30/0201 #503503505001460	HOLMQUIST,	DELAWARE LIFE	0.00	259.68	90.0	0.00	233.71	0.00	233.71
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$1,369.73		\$0.00	\$1,232.76	\$0.00	\$1,232.76
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/02/2018 #	BRESKE, PAMELA	DAIN TRAILER	20,000.00	400.00	90.0	0.00	360.00	0.00	360.00
02/02/2018 #	BRESKE, PAMELA	DAIN TRAILER	10,000.00	275.00	90.0	0.00	247.50	0.00	247.50
02/02/2018 #	LLC, WINIFRED ENTERP	DAIN TRAILER	20,000.00	200.00	90.0	0.00	180.00	0.00	180.00
02/02/2018 #	BRESKE, PAMELA	DAIN TRAILER	10,000.00	225.00	90.0	0.00	202.50	0.00	202.50
02/02/2018 #	BRESKE, PAMELA	DAIN TRAILER	10,000.00	225.00	90.0	0.00	202.50	0.00	202.50
02/02/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	5,000.00	150.00	90.0	0.00	135.00	0.00	135.00
02/02/2018 #	TAYLOR AND ALASKA IN	DAIN TRAILER	5,000.00	150.00	90.0	0.00	135.00	0.00	135.00
02/02/2018 #	LLC, WINIFRED ENTERP	DAIN TRAILER	15,000.00	150.00	90.0	0.00	135.00	0.00	135.00
02/02/2018 #	LLC, WINIFRED ENTERP	DAIN TRAILER	20,000.00	200.00	90.0	0.00	180.00	0.00	180.00
02/09/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	2.75	90.0	0.00	2.48	0.00	2.48
02/09/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	4.17	90.0	0.00	3.75	0.00	3.75
02/09/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	6.04	90.0	0.00	5.44	0.00	5.44
02/09/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	17.41	90.0	0.00	15.67	0.00	15.67
02/09/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	2.94	90.0	0.00	2.65	0.00	2.65
02/09/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	21.70	90.0	0.00	19.53	0.00	19.53

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	27.91	90.0	0.00	25.12	0.00	25.12
02/09/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	0.11	90.0	0.00	0.10	0.00	0.10
02/09/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	0.11	90.0	0.00	0.10	0.00	0.10
02/09/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	0.48	90.0	0.00	0.43	0.00	0.43
02/09/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	1.24	90.0	0.00	1.12	0.00	1.12
02/09/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	1.25	90.0	0.00	1.13	0.00	1.13
02/09/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	6.76	90.0	0.00	6.08	0.00	6.08
02/09/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	0.23	90.0	0.00	0.21	0.00	0.21
02/09/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	2.30	90.0	0.00	2.07	0.00	2.07
02/09/2018 #	COATES DESIGN INC	DAIN TRAILER	0.00	2.70	90.0	0.00	2.43	0.00	2.43
02/09/2018 #	COATES DESIGN INC	DAIN TRAILER	0.00	7.88	90.0	0.00	7.09	0.00	7.09
02/09/2018 #	COATES DESIGN INC	DAIN TRAILER	0.00	2.32	90.0	0.00	2.09	0.00	2.09
02/09/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	2.86	90.0	0.00	2.57	0.00	2.57
02/09/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	2.78	90.0	0.00	2.50	0.00	2.50
02/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	1.63	90.0	0.00	1.47	0.00	1.47
02/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	23.15	90.0	0.00	20.84	0.00	20.84
02/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	4.12	90.0	0.00	3.71	0.00	3.71
02/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	13.06	90.0	0.00	11.75	0.00	11.75
02/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	34.01	90.0	0.00	30.61	0.00	30.61
02/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	10.31	90.0	0.00	9.28	0.00	9.28
02/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	7.21	90.0	0.00	6.49	0.00	6.49
02/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	9.60	90.0	0.00	8.64	0.00	8.64
02/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	12.80	90.0	0.00	11.52	0.00	11.52
02/09/2018 #	VELOSYS LLC	DAIN TRAILER	0.00	60.83	90.0	0.00	54.75	0.00	54.75
02/09/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	10.24	90.0	0.00	9.22	0.00	9.22
02/09/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	55.22	90.0	0.00	49.70	0.00	49.70
02/09/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	50.01	90.0	0.00	45.01	0.00	45.01
02/09/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	30.32	90.0	0.00	27.29	0.00	27.29
02/09/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	15.59	90.0	0.00	14.03	0.00	14.03
02/09/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	35.85	90.0	0.00	32.27	0.00	32.27
02/09/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	23.00	90.0	0.00	20.70	0.00	20.70
02/09/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	3.27	90.0	0.00	2.94	0.00	2.94
02/09/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	3.59	90.0	0.00	3.23	0.00	3.23
02/09/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	11.61	90.0	0.00	10.45	0.00	10.45
02/09/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	6.09	90.0	0.00	5.48	0.00	5.48
02/09/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	9.81	90.0	0.00	8.83	0.00	8.83

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	28.08	90.0	0.00	25.27	0.00	25.27
02/09/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	11.62	90.0	0.00	10.46	0.00	10.46
02/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.78	90.0	0.00	3.40	0.00	3.40
02/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	4.35	90.0	0.00	3.92	0.00	3.92
02/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	4.26	90.0	0.00	3.83	0.00	3.83
02/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.62	90.0	0.00	2.36	0.00	2.36
02/09/2018	# HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	4.26	90.0	0.00	3.83	0.00	3.83
02/09/2018	# HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	2.71	90.0	0.00	2.44	0.00	2.44
02/09/2018	# HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	4.24	90.0	0.00	3.82	0.00	3.82
02/09/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	0.88	90.0	0.00	0.79	0.00	0.79
02/09/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	1.35	90.0	0.00	1.22	0.00	1.22
02/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.88	90.0	0.00	1.69	0.00	1.69
02/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	3.10	90.0	0.00	2.79	0.00	2.79
02/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.59	90.0	0.00	1.43	0.00	1.43
02/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.72	90.0	0.00	2.45	0.00	2.45
02/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.95	90.0	0.00	4.46	0.00	4.46
02/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.68	90.0	0.00	1.51	0.00	1.51
02/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.76	90.0	0.00	2.48	0.00	2.48
02/09/2018	# DAHLEM, DARCY	DAIN TRAILER	0.00	2.56	90.0	0.00	2.30	0.00	2.30
02/09/2018	# DAHLEM, DARCY	DAIN TRAILER	0.00	8.53	90.0	0.00	7.68	0.00	7.68
02/09/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	6.43	90.0	0.00	5.79	0.00	5.79
02/09/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	1.94	90.0	0.00	1.75	0.00	1.75
02/09/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	1.81	90.0	0.00	1.63	0.00	1.63
02/09/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	2.84	90.0	0.00	2.56	0.00	2.56
02/09/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	2.58	90.0	0.00	2.32	0.00	2.32
02/09/2018	# INC, STARGAZER MANAG	DAIN TRAILER	0.00	5.65	90.0	0.00	5.09	0.00	5.09
02/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.63	90.0	0.00	4.17	0.00	4.17
02/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	5.60	90.0	0.00	5.04	0.00	5.04
02/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	5.21	90.0	0.00	4.69	0.00	4.69
02/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.36	90.0	0.00	2.12	0.00	2.12
02/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	3.33	90.0	0.00	3.00	0.00	3.00
02/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	7.22	90.0	0.00	6.50	0.00	6.50
02/09/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	5.02	90.0	0.00	4.52	0.00	4.52
02/09/2018	# ARCANDIER INC	DAIN TRAILER	0.00	29.48	90.0	0.00	26.53	0.00	26.53
02/09/2018	# ARCANDIER INC	DAIN TRAILER	0.00	24.08	90.0	0.00	21.67	0.00	21.67
02/09/2018	# ARCANDIER INC	DAIN TRAILER	0.00	9.91	90.0	0.00	8.92	0.00	8.92

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	# ARCANDIER INC	DAIN TRAILER	0.00	10.80	90.0	0.00	9.72	0.00	9.72
02/09/2018	# ARCANDIER INC	DAIN TRAILER	0.00	8.05	90.0	0.00	7.25	0.00	7.25
02/09/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	10.77	90.0	0.00	9.69	0.00	9.69
02/09/2018	# BRESKE, THOMAS	DAIN TRAILER	0.00	3.39	90.0	0.00	3.05	0.00	3.05
02/09/2018	# BRESKE, THOMAS	DAIN TRAILER	0.00	3.57	90.0	0.00	3.21	0.00	3.21
02/09/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	8.65	90.0	0.00	7.79	0.00	7.79
02/09/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	2.69	90.0	0.00	2.42	0.00	2.42
02/09/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	11.48	90.0	0.00	10.33	0.00	10.33
02/09/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	4.18	90.0	0.00	3.76	0.00	3.76
02/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	23.08	90.0	0.00	20.77	0.00	20.77
02/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	24.40	90.0	0.00	21.96	0.00	21.96
02/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	20.08	90.0	0.00	18.07	0.00	18.07
02/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	2.09	90.0	0.00	1.88	0.00	1.88
02/09/2018	# SARDINIA, SANDHYA	DAIN TRAILER	0.00	0.69	90.0	0.00	0.62	0.00	0.62
02/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	4.07	90.0	0.00	3.66	0.00	3.66
02/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.84	90.0	0.00	1.66	0.00	1.66
02/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.97	90.0	0.00	1.77	0.00	1.77
02/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.91	90.0	0.00	2.62	0.00	2.62
02/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	6.56	90.0	0.00	5.90	0.00	5.90
02/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.17	90.0	0.00	1.95	0.00	1.95
02/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	0.84	90.0	0.00	0.76	0.00	0.76
02/09/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.10	90.0	0.00	0.99	0.00	0.99
02/09/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	1.16	90.0	0.00	1.04	0.00	1.04
02/09/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	3.99	90.0	0.00	3.59	0.00	3.59
02/09/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	4.46	90.0	0.00	4.01	0.00	4.01
02/09/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	1.48	90.0	0.00	1.33	0.00	1.33
02/09/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.67	90.0	0.00	2.40	0.00	2.40
02/09/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.92	90.0	0.00	2.63	0.00	2.63
02/09/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	6.33	90.0	0.00	5.70	0.00	5.70
02/09/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	4.81	90.0	0.00	4.33	0.00	4.33
02/09/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.82	90.0	0.00	2.54	0.00	2.54
02/09/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.36	90.0	0.00	2.12	0.00	2.12
02/09/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.63	90.0	0.00	2.37	0.00	2.37
02/09/2018	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	3.18	90.0	0.00	2.86	0.00	2.86
02/09/2018	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	4.60	90.0	0.00	4.14	0.00	4.14
02/09/2018	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	6.61	90.0	0.00	5.95	0.00	5.95

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	2.21	90.0	0.00	1.99	0.00	1.99
02/09/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	1.16	90.0	0.00	1.04	0.00	1.04
02/09/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	3.99	90.0	0.00	3.59	0.00	3.59
02/09/2018	# TODD ALTOM TTEE	DAIN TRAILER	0.00	2.29	90.0	0.00	2.06	0.00	2.06
02/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	14.88	90.0	0.00	13.39	0.00	13.39
02/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	8.42	90.0	0.00	7.58	0.00	7.58
02/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	11.49	90.0	0.00	10.34	0.00	10.34
02/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	16.28	90.0	0.00	14.65	0.00	14.65
02/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	8.89	90.0	0.00	8.00	0.00	8.00
02/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	5.37	90.0	0.00	4.83	0.00	4.83
02/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	5.29	90.0	0.00	4.76	0.00	4.76
02/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	11.05	90.0	0.00	9.95	0.00	9.95
02/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	6.36	90.0	0.00	5.72	0.00	5.72
02/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	2.80	90.0	0.00	2.52	0.00	2.52
02/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	18.37	90.0	0.00	16.53	0.00	16.53
02/09/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	4.54	90.0	0.00	4.09	0.00	4.09
02/09/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	5.66	90.0	0.00	5.09	0.00	5.09
02/09/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	35.41	90.0	0.00	31.87	0.00	31.87
02/09/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	19.30	90.0	0.00	17.37	0.00	17.37
02/09/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	42.86	90.0	0.00	38.57	0.00	38.57
02/09/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	43.44	90.0	0.00	39.10	0.00	39.10
02/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	22.11	90.0	0.00	19.90	0.00	19.90
02/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	36.62	90.0	0.00	32.96	0.00	32.96
02/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	17.29	90.0	0.00	15.56	0.00	15.56
02/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	14.10	90.0	0.00	12.69	0.00	12.69
02/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	31.11	90.0	0.00	28.00	0.00	28.00
02/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	23.42	90.0	0.00	21.08	0.00	21.08
02/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	20.81	90.0	0.00	18.73	0.00	18.73
02/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	48.92	90.0	0.00	44.03	0.00	44.03
02/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	47.27	90.0	0.00	42.54	0.00	42.54
02/09/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	13.09	90.0	0.00	11.78	0.00	11.78
02/09/2018	# JADILE LLC	DAIN TRAILER	0.00	19.56	90.0	0.00	17.60	0.00	17.60
02/09/2018	# JADILE LLC	DAIN TRAILER	0.00	8.58	90.0	0.00	7.72	0.00	7.72
02/09/2018	# JADILE LLC	DAIN TRAILER	0.00	14.46	90.0	0.00	13.01	0.00	13.01
02/09/2018	# JADILE LLC	DAIN TRAILER	0.00	15.30	90.0	0.00	13.77	0.00	13.77
02/09/2018	# JADILE LLC	DAIN TRAILER	0.00	7.94	90.0	0.00	7.15	0.00	7.15

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018 #	JADILE LLC	DAIN TRAILER	0.00	8.21	90.0	0.00	7.39	0.00	7.39
02/09/2018 #	JADILE LLC	DAIN TRAILER	0.00	10.15	90.0	0.00	9.14	0.00	9.14
02/09/2018 #	JADILE LLC	DAIN TRAILER	0.00	6.33	90.0	0.00	5.70	0.00	5.70
02/09/2018 #	JADILE LLC	DAIN TRAILER	0.00	17.02	90.0	0.00	15.32	0.00	15.32
02/09/2018 #	JADILE LLC	DAIN TRAILER	0.00	4.05	90.0	0.00	3.65	0.00	3.65
02/09/2018 #	JOHNSON, MARGARET	DAIN TRAILER	0.00	69.98	90.0	0.00	62.98	0.00	62.98
02/09/2018 #	JOHNSON, MARGARET	DAIN TRAILER	0.00	83.33	90.0	0.00	75.00	0.00	75.00
02/09/2018 #	JOHNSON, MARGARET	DAIN TRAILER	0.00	21.58	90.0	0.00	19.42	0.00	19.42
02/09/2018 #	JOHNSON, ROGER	DAIN TRAILER	0.00	39.06	90.0	0.00	35.15	0.00	35.15
02/09/2018 #	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	8.59	90.0	0.00	7.73	0.00	7.73
02/09/2018 #	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	3.99	90.0	0.00	3.59	0.00	3.59
02/09/2018 #	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	4.49	90.0	0.00	4.04	0.00	4.04
02/09/2018 #	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	3.52	90.0	0.00	3.17	0.00	3.17
02/09/2018 #	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	5.15	90.0	0.00	4.64	0.00	4.64
02/09/2018 #	GERMAN, BONNIE	DAIN TRAILER	0.00	2.35	90.0	0.00	2.12	0.00	2.12
02/09/2018 #	GERMAN, BONNIE	DAIN TRAILER	0.00	2.00	90.0	0.00	1.80	0.00	1.80
02/09/2018 #	GERMAN, BONNIE	DAIN TRAILER	0.00	4.41	90.0	0.00	3.97	0.00	3.97
02/09/2018 #	HOLMQUIST, ANN	DAIN TRAILER	0.00	3.37	90.0	0.00	3.03	0.00	3.03
02/09/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	7.00	90.0	0.00	6.30	0.00	6.30
02/09/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	15.96	90.0	0.00	14.36	0.00	14.36
02/09/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	3.01	90.0	0.00	2.71	0.00	2.71
02/09/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	3.26	90.0	0.00	2.93	0.00	2.93
02/09/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	3.28	90.0	0.00	2.95	0.00	2.95
02/09/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	7.29	90.0	0.00	6.56	0.00	6.56
02/09/2018 #	HOLMQUIST, JON	DAIN TRAILER	0.00	6.30	90.0	0.00	5.67	0.00	5.67
02/09/2018 #	WALPOLE, WILLIAM	DAIN TRAILER	0.00	1.98	90.0	0.00	1.78	0.00	1.78
02/09/2018 #	SORENSEN, LORI	DAIN TRAILER	0.00	0.22	90.0	0.00	0.20	0.00	0.20
02/09/2018 #	SORENSEN, LORI	DAIN TRAILER	0.00	2.89	90.0	0.00	2.60	0.00	2.60
02/09/2018 #	SORENSEN, LORI	DAIN TRAILER	0.00	6.45	90.0	0.00	5.81	0.00	5.81
02/09/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	13.02	90.0	0.00	11.72	0.00	11.72
02/09/2018 #	REBECCA LALIBERTE TTEE	DAIN TRAILER	0.00	10.99	90.0	0.00	9.89	0.00	9.89
02/09/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	2.97	90.0	0.00	2.67	0.00	2.67
02/09/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	1.45	90.0	0.00	1.31	0.00	1.31
02/09/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	3.08	90.0	0.00	2.77	0.00	2.77
02/09/2018 #	BRADEN, RANDALL	DAIN TRAILER	0.00	5.20	90.0	0.00	4.68	0.00	4.68
02/09/2018 #	BRADEN, RANDALL	DAIN TRAILER	0.00	5.28	90.0	0.00	4.75	0.00	4.75

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018 #	BRADEN, RANDALL	DAIN TRAILER	0.00	3.72	90.0	0.00	3.35	0.00	3.35
02/09/2018 #	BRADEN, RANDALL	DAIN TRAILER	0.00	1.82	90.0	0.00	1.64	0.00	1.64
02/09/2018 #	BARBARA HERMAN TTEE	DAIN TRAILER	0.00	3.47	90.0	0.00	3.12	0.00	3.12
02/09/2018 #	BARBARA HERMAN TTEE	DAIN TRAILER	0.00	7.41	90.0	0.00	6.67	0.00	6.67
02/09/2018 #	BARBARA HERMAN TTEE	DAIN TRAILER	0.00	3.67	90.0	0.00	3.30	0.00	3.30
02/09/2018 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	1.21	90.0	0.00	1.09	0.00	1.09
02/09/2018 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	3.96	90.0	0.00	3.56	0.00	3.56
02/09/2018 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	4.45	90.0	0.00	4.01	0.00	4.01
02/14/2018 #	BARBARA HERMAN TTEE	DAIN TRAILER	7,109.76	0.00	90.0	0.00	0.00	0.00	0.00
02/14/2018 #	BARBARA HERMAN TTEE	DAIN TRAILER	15,291.88	0.00	90.0	0.00	0.00	0.00	0.00
02/14/2018 #	BARBARA HERMAN TTEE	DAIN TRAILER	32,297.98	0.00	90.0	0.00	0.00	0.00	0.00
02/14/2018 #	BARBARA HERMAN TTEE	DAIN TRAILER	15,923.24	0.00	90.0	0.00	0.00	0.00	0.00
02/16/2018 #	BRESKE, PAMELA	DAIN TRAILER	19,312.88	0.00	90.0	0.00	0.00	0.00	0.00
02/16/2018 #	BRESKE, PAMELA	DAIN TRAILER	9,477.13	0.00	90.0	0.00	0.00	0.00	0.00
02/16/2018 #	BRESKE, PAMELA	DAIN TRAILER	9,672.19	0.00	90.0	0.00	0.00	0.00	0.00
02/16/2018 #	BRESKE, PAMELA	DAIN TRAILER	9,554.97	0.00	90.0	0.00	0.00	0.00	0.00
02/21/2018 #	ANN CURRY TTEE	DAIN TRAILER	6,867.00	343.35	90.0	0.00	309.02	0.00	309.02
02/21/2018 #	JOHNSON, JACK	DAIN TRAILER	13,430.00	671.50	90.0	0.00	604.35	0.00	604.35
02/21/2018 #	JOHNSON, JACK	DAIN TRAILER	5,800.00	275.50	90.0	0.00	247.95	0.00	247.95
02/21/2018 #	JOHNSON, JACK	DAIN TRAILER	10,900.00	517.75	90.0	0.00	465.98	0.00	465.98
02/21/2018 #	JOHNSON, JACK	DAIN TRAILER	7,440.00	372.00	90.0	0.00	334.80	0.00	334.80
02/21/2018 #	ANN CURRY TTEE	DAIN TRAILER	4,805.50	48.06	90.0	0.00	43.25	0.00	43.25
02/21/2018 #	JOHNSON, JACK	DAIN TRAILER	11,770.00	470.80	90.0	0.00	423.72	0.00	423.72
02/21/2018 #	ANN CURRY TTEE	DAIN TRAILER	7,217.00	288.68	90.0	0.00	259.81	0.00	259.81
02/21/2018 #	ANN CURRY TTEE	DAIN TRAILER	2,404.50	96.18	90.0	0.00	86.56	0.00	86.56
02/21/2018 #	JOHNSON, JACK	DAIN TRAILER	4,500.00	225.00	90.0	0.00	202.50	0.00	202.50
02/21/2018 #	ANN CURRY TTEE	DAIN TRAILER	3,552.50	35.53	90.0	0.00	31.98	0.00	31.98
02/21/2018 #	JOHNSON, JACK	DAIN TRAILER	2,870.00	114.80	90.0	0.00	103.32	0.00	103.32
02/21/2018 #	ANN CURRY TTEE	DAIN TRAILER	4,833.50	48.34	90.0	0.00	43.51	0.00	43.51
02/21/2018 #	ANN CURRY TTEE	DAIN TRAILER	3,615.50	135.58	90.0	0.00	122.02	0.00	122.02
02/21/2018 #	JOHNSON, JACK	DAIN TRAILER	14,050.00	526.88	90.0	0.00	474.19	0.00	474.19
02/21/2018 #	JOHNSON, JACK	DAIN TRAILER	7,800.00	390.00	90.0	0.00	351.00	0.00	351.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$345,495.53	\$8,539.18		\$0.00	\$7,685.30	\$0.00	\$7,685.30
*** BROKER TRANSACTIONS TOTAL ***			\$358,197.20	\$9,908.91		\$0.00	\$8,918.06	\$99.75	\$8,818.31

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
03/20/2018		BETA BACK OFFICE: ADMIN FEE							-260.00
03/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE							-50.00
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE							-46.92
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE							-48.72
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE							-49.83
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE							-49.77
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE							-49.97
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE							-49.97
03/20/2018		PAST DUE MARGIN: PAST DUE MARGIN							-100.00
03/20/2018		PAST DUE MARGIN: PAST DUE MARGIN							-200.00
*** ADJUSTMENTS TOTAL ***									<u>\$-1,084.18</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$8,818.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,084.18
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<u>\$7,734.13</u>
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