

COMMISSION STATEMENT  
July 27, 2018

MARLI SMYTHE  
3200 N. CENTRAL AVE STE 200  
PHOENIX, AZ 85012

BROKER #: 73690  
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: CUE Financial Group, Inc

ADJUSTMENTS

PRIOR PERIOD BALANCE(SEE BELOW)							0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$-5.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-5.00
Year-to-date Earnings	\$0.00

COMMISSION STATEMENT for MARLI SMYTHE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
<b><u>Company: Securities America</u></b>							
<b><u>BROKER TRANSACTIONS</u></b>							
<b><u>PRODUCT CATEGORY: STOCKS</u></b>							
07/25/2018	SMYTHE (73690), MARLI	SAI PERSHING CHARGES	0.00	-25.00	0.00	-25.00	-7.50
07/25/2018	SMYTHE (73690), MARLI	SAI SECURITIES INCOME	0.00	119.00	0.00	119.00	35.70
* STOCKS SUBTOTAL *			<u>\$0.00</u>	<u>\$94.00</u>	<u>\$0.00</u>	<u>\$94.00</u>	<u>\$28.20</u>
<b><u>PRODUCT CATEGORY: RIA</u></b>							
07/25/2018	SMYTHE (73690), MARLI	FEE BASED	0.00	88.19	0.00	88.19	26.46
07/25/2018	SMYTHE (73690), MARLI	FEE BASED	0.00	-3.53	0.00	-3.53	-1.06
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$84.66</u>	<u>\$0.00</u>	<u>\$84.66</u>	<u>\$25.40</u>
<b><u>PRODUCT CATEGORY: TRAILERS</u></b>							
07/25/2018	SMYTHE (73690), MARLI	SAI.TRAILS	0.00	130.97	0.00	130.97	39.29
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$130.97</u>	<u>\$0.00</u>	<u>\$130.97</u>	<u>\$39.29</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$309.63</u>	<u>\$0.00</u>	<u>\$309.63</u>	<u>\$92.89</u>
<b><u>SPLIT TRANSACTIONS</u></b>							
<b><u>BROKER: MELBY/SMYTHE #73916</u></b>							
07/25/2018	MELBY/SMYTHE (73916)	FEE BASED	0.00	4,688.02	0.00	1,875.21	562.56
07/25/2018	MELBY/SMYTHE (73916)	FEE BASED	0.00	-223.37	0.00	-89.35	-26.81
07/25/2018	MELBY/SMYTHE (73916)	SAI SECURITES INCOME	0.00	2.75	0.00	1.10	0.33
07/25/2018	MELBY/SMYTHE (73916)	SAI.TRAILS	0.00	38.56	0.00	15.42	4.63
* MELBY/SMYTHE SUBTOTAL *			<u>\$0.00</u>	<u>\$4,505.96</u>	<u>\$0.00</u>	<u>\$1,802.38</u>	<u>\$540.71</u>
*** SPLIT TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$4,505.96</u>	<u>\$0.00</u>	<u>\$1,802.38</u>	<u>\$540.71</u>
<b><u>ADJUSTMENTS</u></b>							
26. SIPC SAI STMT 7/20/18							-0.24
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-0.24</u>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$92.89
							Split Transactions \$540.71
							Override Transactions \$0.00
							Adjustments \$-0.24
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$633.36</u>
							<u>Year-to-date Earnings \$2,626.76</u>