



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 31, 2017

07/31/2017
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MARK-S141 REG SMITH

BROKER #: S141
BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
07/17/2017	UNTIL AGE 21, TX/UTMA	AM FUNDS GROWTH PORT A	50.00	2.13	0.00	2.13	48.0	1.02
07/06/2017	BOMMARITO, VICTORIA	FOUNDING FUNDS 529 PORTFOLIO - CLAS	50.00	2.50	0.00	2.50	48.0	1.20
07/06/2017	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	300.00	3.00	0.00	3.00	48.0	1.44
07/01/2017	BRIDGES, JANIS	INVESCO BALANCED RISK ALLOCATION A	0.00	13.41	0.00	13.41	48.0	6.44
07/01/2017	TMICO	INVESCO BALANCED RISK ALLOCATION A	0.00	4.94	0.00	4.94	48.0	2.37
07/01/2017	TMICO	INVESCO BALANCED RISK ALLOCATION A	0.00	14.36	0.00	14.36	48.0	6.89
07/01/2017	WALLACE, SHEILA	INVESCO BALANCED RISK ALLOCATION A	0.00	2.55	0.00	2.55	48.0	1.22
07/01/2017	GODWIN, SANDRA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	37.53	0.00	37.53	48.0	18.01
07/01/2017	TMICO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	42.14	0.00	42.14	48.0	20.23
07/01/2017	WALLACE, CHARLES	INVESCO BALANCED-RISK ALLOCATION-C	0.00	25.88	0.00	25.88	48.0	12.42
07/01/2017	DODD, AIMEE	INVESCO BALANCED-RISK ALLOCATION-C	0.00	55.51	0.00	55.51	48.0	26.64
07/03/2017	WARD, SHERRY	PIMCO INCOME FUND C	0.00	2.49	0.00	2.49	48.0	1.20
07/03/2017	WARD, SHERRY	PIMCO INCOME FUND C	0.00	6.47	0.00	6.47	48.0	3.11
* MUTUAL FUNDS SUBTOTAL *			\$400.00	\$212.91	\$0.00	\$212.91		\$102.19
*** BROKER TRANSACTIONS TOTAL ***			\$400.00	\$212.91	\$0.00	\$212.91		\$102.19
<u>ADJUSTMENTS</u>								
07/31/2017		*MISC: 7/15 DB BAL NETTED W/ S142	0.00					425.67
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$425.67

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$102.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$425.67
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-425.67
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$102.19
Year-to-date Gross	\$3,839.46
Year-to-date Earnings	\$1,842.89