



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
April 15, 2017

04/12/2017  
Page No. 1

DAMIAN DERING  
165 Culver Blvd  
Suite A  
Playa Del Rey, CA 90293

BROKER #: D127  
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/05/2017	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	500.00	18.75	0.00	18.75	92.0	17.25
/ /	MARTINEZ, ELSA	ASCENSUS NEA AGE-BASED GROWTH 15-18	0.00	5.43	0.00	5.43	92.0	5.00
/ /	MARTINEZ, ELSA	ASCENSUS NEA AGE-BASED GROWTH 6-10	0.00	9.91	0.00	9.91	92.0	9.12
/ /	MARTINEZ, ELSA	ASCENSUS NEA AGE-BASED GROWTH 6-10	0.00	5.50	0.00	5.50	92.0	5.06
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	0.00	2.04	0.00	2.04	92.0	1.88
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	0.82	0.00	0.82	92.0	0.75
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	0.77	0.00	0.77	92.0	0.71
/ /	MITSCH, JAY	OPPENHEIMER CAPITAL APPRECIATION A	0.00	4.73	0.00	4.73	92.0	4.35
/ /	MITSCH, JAY	OPPENHEIMER CAPITAL APPRECIATION C	0.00	3.11	0.00	3.11	92.0	2.86
/ /	ANDREWS, LISA	OPPENHEIMER GLOBAL A	0.00	9.48	0.00	9.48	92.0	8.72
/ /	MCDERMOTT, MICHAEL	OPPENHEIMER GLOBAL A	0.00	14.93	0.00	14.93	92.0	13.74
/ /	MITSCH, JAY	OPPENHEIMER INTL GROWTH C	0.00	2.87	0.00	2.87	92.0	2.64
/ /	MITSCH, JAY	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	3.33	0.00	3.33	92.0	3.06
/ /	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	166.67	0.00	166.67	92.0	153.34
/ /	MITSCH, JAY	OPPENHEIMER RISING DIVIDENDS C	0.00	3.30	0.00	3.30	92.0	3.04
/ /	MITSCH, JAY	OPPENHEIMER SMALL & MIDCAP GROWTH C	0.00	3.39	0.00	3.39	92.0	3.12
* MUTUAL FUNDS SUBTOTAL *			\$500.00	\$255.03	\$0.00	\$255.03		\$234.64
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/31/2017	LAXER, JACK	JNL PERSPECTIVE L	53,617.49	44.68	0.00	44.68	92.0	41.11
03/31/2017	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	59,472.25	49.56	0.00	49.56	92.0	45.60
03/31/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	75,862.64	63.22	0.00	63.22	92.0	58.16
03/31/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	76,205.79	63.50	0.00	63.50	92.0	58.42
03/11/2017	BAUTISTA, MARYANN	TRANSAMERICA LIBERTY	187,729.20	156.44	0.00	156.44	92.0	143.92
* VARIABLE ANNUITIES SUBTOTAL *			\$452,887.37	\$377.40	\$0.00	\$377.40		\$347.21

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
03/31/2017	LAXER, JACK		0.00	435.95	0.00	435.95	92.0	401.07
03/31/2017	PUGH, VERA	LFG TRAILS	0.00	309.28	0.00	309.28	92.0	284.54
03/31/2017	FITZSIMONS, DOROTHY	LFG TRAILS	0.00	324.60	0.00	324.60	92.0	298.63
03/31/2017	BEELEER, FRANCES	LFG TRAILS	0.00	501.31	0.00	501.31	92.0	461.21
03/31/2017	LAXER, JACK	LFG TRAILS	0.00	245.06	0.00	245.06	92.0	225.46
03/31/2017	SCANE, DONALD	LFG TRAILS	0.00	511.20	0.00	511.20	92.0	470.30
03/31/2017	ENCINA, OPHELIA	LFG TRAILS	0.00	409.17	0.00	409.17	92.0	376.44
03/31/2017	BAUTISTA, FEDERICO	LFG TRAILS	0.00	479.61	0.00	479.61	92.0	441.24
03/31/2017	PON, JAMES	LFG TRAILS	0.00	177.00	0.00	177.00	92.0	162.84
03/31/2017	ENCINA, ANTONIO	LFG TRAILS	0.00	403.68	0.00	403.68	92.0	371.39
03/31/2017	SUTZ, JACK	LFG TRAILS	0.00	194.49	0.00	194.49	92.0	178.93
03/31/2017	SUTZ, JUNE	LFG TRAILS	0.00	348.78	0.00	348.78	92.0	320.88
03/31/2017	ENTREKIN, GARY	LFG TRAILS	0.00	733.43	0.00	733.43	92.0	674.76
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$5,073.56</b>	<b>\$0.00</b>	<b>\$5,073.56</b>		<b>\$4,667.69</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$453,387.37</b>	<b>\$5,705.99</b>	<b>\$0.00</b>	<b>\$5,705.99</b>		<b>\$5,249.54</b>

**ADJUSTMENTS**

04/15/2017	*CREDIT CARD PYT: CREDIT CARD PYT	0.00						701.50
04/15/2017	*EO INSURANCE: CURRENT MONTH INS	0.00						-300.00
04/15/2017	*FINRA: FBI FINGERPRINT FEE DEBOER	0.00						-10.00
04/15/2017	*FINRA: FINGERPRINT FEE DEBOER	0.00						-15.00
04/15/2017	*PERSHING: BOOKS AND RECORDS	0.00						-1.50
04/15/2017	*TECHNOLOGY: ADMIN - CHRYSANTHA COS	0.00						-100.00
04/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,249.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,249.54
Year-to-date Gross	\$53,753.07
Year-to-date Earnings	\$49,121.09