

**COMMISSION STATEMENT****January 31, 2018**TIMOTHY STAUFFER  
333 Fiddlers CoveBROKER #: S118  
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/25/2018	STAUFFER, KAREN	AM FUNDS GROWTH FUND OF AMERICA C	10,000.00	100.00	22.00	78.00	90.0	70.20
01/22/2018	KROUSE, ANTHONY	COLUMBIA PPTY TR INC COM NEW	-21,922.12	172.00	23.52	148.48	90.0	133.63
* MUTUAL FUNDS SUBTOTAL *			<b>\$-11,922.12</b>	<b>\$272.00</b>	<b>\$45.52</b>	<b>\$226.48</b>		<b>\$203.83</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/22/2018	KROUSE, ANTHONY	ADVANCE AUTO PTS INC COM	-2,690.86	34.00	22.50	11.50	90.0	10.35
01/10/2018	MERVAR, REBECCA	BANK NEW YORK MELLON CORP DEP SHS R	8,767.50	24.00	22.52	1.48	90.0	1.33
01/10/2018	MERVAR, REBECCA	BB&T CORP DEP SHS REPSTG 1/1000 INT	9,393.64	24.00	22.56	1.44	90.0	1.30
01/22/2018	KROUSE, ANTHONY	FASTENAL CO	-2,492.97	34.00	22.50	11.50	90.0	10.35
01/10/2018	MERVAR, REBECCA	SOUTHERN CALIFORNIA EDISON CO 4.32%	8,999.03	24.00	22.56	1.44	90.0	1.30
01/22/2018	KROUSE, ANTHONY	STEEL DYNAMICS INC COM	-3,489.01	65.00	22.50	42.50	90.0	38.25
01/22/2018	KROUSE, ANTHONY	VISA INC COM CL A	-11,748.90	77.00	22.50	54.50	90.0	49.05
* STOCKS SUBTOTAL *			<b>\$6,738.43</b>	<b>\$282.00</b>	<b>\$157.64</b>	<b>\$124.36</b>		<b>\$111.93</b>
*** CLEARING TRANSACTIONS TOTAL ***			<b>\$-5,183.69</b>	<b>\$554.00</b>	<b>\$203.16</b>	<b>\$350.84</b>		<b>\$315.76</b>

**DIRECT TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

01/24/2018	STAUFFER, VARIOUS	AMERICAN FUNDS PERSHING	0.00	281.75	0.00	281.75	90.0	253.58
/ /	SMITH, ERIC	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	1.64	0.00	1.64	90.0	1.48
/ /	SMITH, LANCE	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.84	0.00	0.84	90.0	0.76
/ /	ROPP, ROBIN	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	2.38	0.00	2.38	90.0	2.14
/ /	SMITH, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	1.70	0.00	1.70	90.0	1.53
/ /	SMITH, LANCE	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.87	0.00	0.87	90.0	0.78
/ /	ROPP, ROBIN	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	2.44	0.00	2.44	90.0	2.20
/ /	SMITH, ERIC	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.57	0.00	1.57	90.0	1.41
/ /	SMITH, LANCE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.78	0.00	0.78	90.0	0.70

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	ROPP, ROBIN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	2.31	0.00	2.31	90.0	2.08
/ /	ALLES, JENIFER	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.36	0.00	0.36	90.0	0.32
/ /	MILLER, SEAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.52	0.00	0.52	90.0	0.47
/ /	BRENN, BRIAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.07	0.00	0.07	90.0	0.06
/ /	MILLER, SEAN	RYDEX GUGG ENERGY C	0.00	1.22	0.00	1.22	90.0	1.10
/ /	KROUSE, ANDRE	RYDEX GUGG ENERGY C	0.00	0.91	0.00	0.91	90.0	0.82
/ /	BRENN, BRIAN	RYDEX GUGG ENERGY C	0.00	0.06	0.00	0.06	90.0	0.05
/ /	ALLES, JENIFER	RYDEX GUGG PRECIOUS METALS A	0.00	0.26	0.00	0.26	90.0	0.23
/ /	MILLER, SEAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.60	0.00	0.60	90.0	0.54
/ /	KROUSE, ANDRE	RYDEX GUGG PRECIOUS METALS C	0.00	0.81	0.00	0.81	90.0	0.73
/ /	BRENN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.07	0.00	0.07	90.0	0.06
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$301.16</b>	<b>\$0.00</b>	<b>\$301.16</b>		<b>\$271.04</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
01/05/2018	REICHARD, DEBRA	ING ESII	32,088.03	20.06	0.00	20.06	90.0	18.05
01/16/2018	REICHARD, THOMAS	ING ESII	62,472.91	39.05	0.00	39.05	90.0	35.15
01/04/2018	NUTTLE, DARREN	ING PREMIUM PLUS	4,918.50	3.07	0.00	3.07	90.0	2.76
01/01/2018	NUTTLE, CHRIS	MFS EQUITY OPPORTUNITIES A	0.00	2.64	0.00	2.64	90.0	2.38
01/01/2018	NUTTLE, CHRIS	MFS EQUITY OPPORTUNITIES A	0.00	0.44	0.00	0.44	90.0	0.40
01/01/2018	NUTTLE, CHRIS	MFS EQUITY OPPORTUNITIES B	0.00	0.90	0.00	0.90	90.0	0.81
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$99,479.44</b>	<b>\$66.16</b>	<b>\$0.00</b>	<b>\$66.16</b>		<b>\$59.55</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$99,479.44</b>	<b>\$367.32</b>	<b>\$0.00</b>	<b>\$367.32</b>		<b>\$330.59</b>
<b><u>ADJUSTMENTS</u></b>								
01/31/2018		*TECHNOLOGY: ADMIN - JOSIAH FOR 1/2	0.00					-100.00
01/31/2018		*TECHNOLOGY: SET UP FEE JOSIAH	0.00					-250.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-350.00</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$646.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-350.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$296.35
Year-to-date Gross	\$20,707.20
Year-to-date Earnings	\$18,453.70