

**COMMISSION STATEMENT****February 28, 2018****G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PFLE/KIE**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

BROKER #: G3G

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^02/20/2018	BRIDGEHAMPTON CHILD	CANTEL MED CORP COM	-28,431.88	0.00	22.50	-22.50	100.0	0.00
		* STOCKS SUBTOTAL *	\$-28,431.88	\$0.00	\$22.50	\$-22.50		\$0.00
		*** CLEARING TRANSACTIONS TOTAL ***	\$-28,431.88	\$0.00	\$22.50	\$-22.50		\$0.00

DIRECT TRANSACTIONS**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

^03/17/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.11	0.00	0.11	100.0	0.00
^04/19/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.19	0.00	0.19	100.0	0.00
^05/24/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.19	0.00	0.19	100.0	0.00
^06/15/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.19	0.00	0.19	100.0	0.00
^07/19/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.19	0.00	0.19	100.0	0.00
^08/16/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.20	0.00	0.20	100.0	0.00
^09/19/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.20	0.00	0.20	100.0	0.00
^10/19/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.20	0.00	0.20	100.0	0.00
^11/18/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.20	0.00	0.20	100.0	0.00
^12/16/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.20	0.00	0.20	100.0	0.00
^04/22/2016	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.78	0.00	1.78	100.0	0.00
^04/22/2016	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.97	0.00	2.97	100.0	0.00
^08/01/2016	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.80	0.00	1.80	100.0	0.00
^08/01/2016	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.00	0.00	3.00	100.0	0.00
^10/17/2016	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.84	0.00	1.84	100.0	0.00
^10/17/2016	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.06	0.00	3.06	100.0	0.00
^07/19/2016	NICASTRO, JOSEPH	INVESCO AMERICAN FRANCHISE A	0.00	0.09	0.00	0.09	100.0	0.00
^10/17/2016	NICASTRO, JOSEPH	INVESCO AMERICAN FRANCHISE A	0.00	0.06	0.00	0.06	100.0	0.00
^07/25/2016	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.02	0.00	0.02	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^08/15/2016	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.64	0.00	0.64	100.0	0.00
^09/15/2016	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.05	0.00	0.05	100.0	0.00
^09/15/2016	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.42	0.00	0.42	100.0	0.00
^09/15/2016	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.64	0.00	0.64	100.0	0.00
^10/14/2016	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.54	0.00	1.54	100.0	0.00
^10/14/2016	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.38	0.00	1.38	100.0	0.00
^10/14/2016	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.62	0.00	0.62	100.0	0.00
^11/21/2016	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.57	0.00	1.57	100.0	0.00
^11/21/2016	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.42	0.00	1.42	100.0	0.00
^11/21/2016	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.63	0.00	0.63	100.0	0.00
^12/16/2016	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.56	0.00	1.56	100.0	0.00
^12/16/2016	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.41	0.00	1.41	100.0	0.00
^12/16/2016	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.63	0.00	0.63	100.0	0.00
^02/19/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.71	0.00	0.71	100.0	0.00
^02/19/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.00	0.00	1.00	100.0	0.00
^03/17/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.63	0.00	0.63	100.0	0.00
^03/17/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.88	0.00	0.88	100.0	0.00
^04/25/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.72	0.00	0.72	100.0	0.00
^04/25/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.02	0.00	1.02	100.0	0.00
^05/16/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.73	0.00	0.73	100.0	0.00
^05/16/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.03	0.00	1.03	100.0	0.00
^06/20/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.74	0.00	0.74	100.0	0.00
^06/20/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.05	0.00	1.05	100.0	0.00
^07/25/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.72	0.00	0.72	100.0	0.00
^07/25/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.03	0.00	1.03	100.0	0.00
^08/15/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.55	0.00	0.55	100.0	0.00
^08/15/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.08	0.00	1.08	100.0	0.00
^09/15/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.56	0.00	0.56	100.0	0.00
^09/15/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.11	0.00	1.11	100.0	0.00
^10/14/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.54	0.00	0.54	100.0	0.00
^10/14/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.06	0.00	1.06	100.0	0.00
^11/21/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.55	0.00	0.55	100.0	0.00
^11/21/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.10	0.00	1.10	100.0	0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^12/16/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.54	0.00	0.54	100.0	0.00
^12/16/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.07	0.00	1.07	100.0	0.00
^12/16/2016	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	0.40	0.00	0.40	100.0	0.00
^02/19/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.92	0.00	0.92	100.0	0.00
^03/17/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.83	0.00	0.83	100.0	0.00
^04/25/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.95	0.00	0.95	100.0	0.00
^05/16/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.95	0.00	0.95	100.0	0.00
^06/20/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.98	0.00	0.98	100.0	0.00
^07/25/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.94	0.00	0.94	100.0	0.00
^08/15/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.68	0.00	0.68	100.0	0.00
^09/15/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.69	0.00	0.69	100.0	0.00
^10/14/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.67	0.00	0.67	100.0	0.00
^11/21/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.69	0.00	0.69	100.0	0.00
^12/16/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.68	0.00	0.68	100.0	0.00
^02/19/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.65	0.00	0.65	100.0	0.00
^02/19/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.46	0.00	0.46	100.0	0.00
^03/17/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.60	0.00	0.60	100.0	0.00
^03/17/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.42	0.00	0.42	100.0	0.00
^04/25/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.69	0.00	0.69	100.0	0.00
^04/25/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.48	0.00	0.48	100.0	0.00
^05/16/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.68	0.00	0.68	100.0	0.00
^05/16/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.48	0.00	0.48	100.0	0.00
^06/20/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.70	0.00	0.70	100.0	0.00
^06/20/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.50	0.00	0.50	100.0	0.00
^07/25/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.68	0.00	0.68	100.0	0.00
^07/25/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.48	0.00	0.48	100.0	0.00
^08/15/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.72	0.00	0.72	100.0	0.00
^08/15/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.51	0.00	0.51	100.0	0.00
^09/15/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.74	0.00	0.74	100.0	0.00
^09/15/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.52	0.00	0.52	100.0	0.00
^10/14/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.71	0.00	0.71	100.0	0.00
^10/14/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.50	0.00	0.50	100.0	0.00
^11/21/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.73	0.00	0.73	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^11/21/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.52	0.00	0.52	100.0	0.00
^12/16/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.74	0.00	0.74	100.0	0.00
^12/16/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.52	0.00	0.52	100.0	0.00
^02/25/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.39	0.00	0.39	100.0	0.00
^03/08/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.32	0.00	0.32	100.0	0.00
^04/22/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.39	0.00	0.39	100.0	0.00
^05/17/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.41	0.00	0.41	100.0	0.00
^06/15/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.46	0.00	0.46	100.0	0.00
^07/14/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.47	0.00	0.47	100.0	0.00
^08/18/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.50	0.00	0.50	100.0	0.00
^09/15/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.50	0.00	0.50	100.0	0.00
^10/21/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.49	0.00	0.49	100.0	0.00
^11/09/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.50	0.00	0.50	100.0	0.00
^12/16/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.47	0.00	0.47	100.0	0.00
^02/08/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	3.31	0.00	3.31	100.0	0.00
^03/07/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.02	0.00	4.02	100.0	0.00
^04/11/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.16	0.00	4.16	100.0	0.00
^05/12/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.00	0.00	4.00	100.0	0.00
^06/09/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.44	0.00	4.44	100.0	0.00
^07/13/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.22	0.00	4.22	100.0	0.00
^08/11/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.42	0.00	4.42	100.0	0.00
^09/12/2016	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.25	0.00	0.25	100.0	0.00
^09/12/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.50	0.00	4.50	100.0	0.00
^10/11/2016	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.93	0.00	0.93	100.0	0.00
^10/11/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.39	0.00	4.39	100.0	0.00
^11/10/2016	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.96	0.00	0.96	100.0	0.00
^11/10/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.51	0.00	4.51	100.0	0.00
^12/12/2016	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.94	0.00	0.94	100.0	0.00
^12/12/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.39	0.00	4.39	100.0	0.00
^02/23/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	1.94	0.00	1.94	100.0	0.00
^03/21/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	1.87	0.00	1.87	100.0	0.00
^04/28/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.12	0.00	2.12	100.0	0.00
^05/24/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.07	0.00	2.07	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^06/22/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.14	0.00	2.14	100.0	0.00
^07/22/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.12	0.00	2.12	100.0	0.00
^08/19/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.24	0.00	2.24	100.0	0.00
^09/21/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.18	0.00	2.18	100.0	0.00
^10/20/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.08	0.00	2.08	100.0	0.00
^11/22/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.09	0.00	2.09	100.0	0.00
^12/27/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.00	0.00	2.00	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$147.02	\$0.00	\$147.02		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$147.02	\$0.00	\$147.02		\$0.00

ADJUSTMENTS

02/28/2018	*PERSHING: PERSHING MARKUP		0.00					0.00
	*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.03
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.03
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed