



COMMISSION STATEMENT

January 15, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/02/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744276	BUY 0 Units @32.7100						
01/02/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744276	BUY 1 Units @32.7100						
01/02/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @32.7100						
01/02/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 1 Units @32.7100						
01/05/2018	***-**-949I - ZAGER, YVONNE	AMERICAN 2025 TARGET DATE RETIREMNT	7,000.00	70.00	18.00	52.00	75.0	39.00
	ACCOUNT #: LSR012050	BUY 521 Units @13.4400						
01/05/2018	***-**-949I - ZAGER, YVONNE	AMERICAN 2035 TARGET DATE RETIREMNT	5,000.00	50.00	18.00	32.00	75.0	24.00
	ACCOUNT #: LSR012050	BUY 334 Units @14.9500						
01/02/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744276	BUY 0 Units @27.9500						
01/02/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744276	BUY 1 Units @27.9500						
01/02/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	11.25	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 00086069280	BUY 1 Units @12.9300						
01/02/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 00086069280	BUY 2 Units @12.9300						
01/05/2018	***-**-4547 - NORWOOD, CLINTON	AMERICAN FUNDS 2025 TARGET DATE-A	300.00	15.00	0.00	15.00	75.0	11.25
	ACCOUNT #: 00083594601	BUY 21 Units @14.4700						
01/02/2018	***-**-6382 - ZOGBY, KAREN	AMERICAN FUNDS 2030 TARGET DATE-A	100.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 00089152479	BUY 6 Units @15.4100						
01/03/2018	***-**-2478 - ALAGIC, INDIRA	AMERICAN FUNDS 2030 TARGET DATE-A	2,083.33	104.17	0.00	104.17	75.0	78.13
	ACCOUNT #: 04000400299	BUY 135 Units @15.4600						
01/03/2018	***-**-2478 - ALAGIC, INDIRA	AMERICAN FUNDS 2030 TARGET DATE-A	67.68	3.38	0.00	3.38	75.0	2.54
	ACCOUNT #: 04000400299	BUY 4 Units @15.4600						
01/05/2018	***-**-949I - ZAGER, YVONNE	AMERICAN FUNDS 2030 TARGET DATE-C	7,000.01	70.00	18.00	52.00	75.0	39.00
	ACCOUNT #: LSR012050	BUY 484 Units @14.4700						
01/02/2018	***-**-8758 - RIOLO, LAWRENCE	AMERICAN FUNDS 2035 TARGET DATE-A	44.18	1.66	0.00	1.66	75.0	1.25
	ACCOUNT #: 04000070058	BUY 3 Units @15.6600						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/02/2018	***-**-8758 - RIOLO, LAWRENCE	AMERICAN FUNDS 2035 TARGET DATE-A	44.40	1.67	0.00	1.67	75.0	1.25
	ACCOUNT #: 04000070058	BUY 3 Units @15.6600						
01/02/2018	***-**-2542 - OGIYEVICH,	CAPITAL INCOME BUILDER CLASS C	3.70	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @63.1300						
01/02/2018	***-**-2542 - OGIYEVICH,	CAPITAL INCOME BUILDER CLASS C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @63.1300						
01/02/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	3.17	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @63.1300						
01/02/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	35.77	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @63.1300						
01/02/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	1.58	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @19.6700						
01/02/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	17.88	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 00083468583	BUY 1 Units @19.6700						
01/02/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	5.53	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744335	BUY 0 Units @53.0200						
01/02/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @53.0200						
01/02/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	5.45	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744742	BUY 0 Units @53.0200						
01/02/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744742	BUY 1 Units @53.0200						
01/02/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @54.1300						
01/02/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @54.1300						
01/02/2018	***-**-2542 - OGIYEVICH,	CAPITAL WORLD GROWTH AND INCOME FUN	3.70	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @51.1400						
01/02/2018	***-**-2542 - OGIYEVICH,	CAPITAL WORLD GROWTH AND INCOME FUN	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @51.1400						
01/02/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	3.17	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @51.1400						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/02/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	35.75	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @51.1400						
01/02/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	6.23	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @64.4300						
01/02/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @64.4300						
01/02/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	2.90	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @62.4900						
01/02/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @62.4900						
01/02/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	2.90	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @23.1500						
01/02/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @23.1500						
01/02/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @44.7600						
01/02/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @44.7600						
01/02/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	7.38	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744276	BUY 0 Units @69.5000						
01/02/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744276	BUY 0 Units @69.5000						
01/02/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @70.9500						
01/02/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @70.9500						
01/02/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	2.90	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @65.5100						
01/02/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @65.5100						
01/02/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744276	BUY 0 Units @57.7800						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/02/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744276	BUY 1 Units @57.7800						
01/02/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @57.7800						
01/02/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @57.7800						
01/02/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @58.9900						
01/02/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @58.9900						
01/02/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	2.90	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @49.5600						
01/02/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @49.5600						
01/02/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	5.53	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744335	BUY 0 Units @13.1900						
01/02/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 2 Units @13.1900						
01/02/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	20.40	0.61	0.00	0.61	75.0	0.46
	ACCOUNT #: 00085629076	BUY 2 Units @13.3600						
01/02/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	53.00	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085629076	BUY 4 Units @13.3600						
01/02/2018	***-**-2542 - OGIYEVICH,	THE BOND FUND OF AMERICA-C	3.71	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @12.8600						
01/02/2018	***-**-2542 - OGIYEVICH,	THE BOND FUND OF AMERICA-C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 1 Units @12.8600						
01/02/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	2.39	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @12.8600						
01/02/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 2 Units @12.8600						
01/02/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	5.53	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744335	BUY 0 Units @51.4500						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
01/02/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	THE GROWTH FUND OF AMERICA-A BUY 1 Units @51.4500	31.25	0.63	0.00	0.63	75.0	0.47
01/02/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE GROWTH FUND OF AMERICA-A BUY 0 Units @51.4500	5.45	0.11	0.00	0.11	75.0	0.08
01/02/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE GROWTH FUND OF AMERICA-A BUY 1 Units @51.4500	31.25	0.63	0.00	0.63	75.0	0.47
01/02/2018	***-**-7287 - VINCI, DAVID ACCOUNT #: 00085629076	THE GROWTH FUND OF AMERICA-A BUY 0 Units @52.5200	20.40	0.77	0.00	0.77	75.0	0.58
01/02/2018	***-**-7287 - VINCI, DAVID ACCOUNT #: 00085629076	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.5200	53.00	1.99	0.00	1.99	75.0	1.49
01/02/2018	***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551	THE GROWTH FUND OF AMERICA-C BUY 0 Units @46.5200	3.70	0.04	0.00	0.04	75.0	0.03
01/02/2018	***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551	THE GROWTH FUND OF AMERICA-C BUY 0 Units @46.5200	12.50	0.13	0.00	0.13	75.0	0.10
01/02/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	THE GROWTH FUND OF AMERICA-C BUY 0 Units @46.5200	2.38	0.02	0.00	0.02	75.0	0.02
01/02/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	THE GROWTH FUND OF AMERICA-C BUY 1 Units @46.5200	26.83	0.27	0.00	0.27	75.0	0.20
01/02/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	THE INCOME FUND OF AMERICA-A BUY 0 Units @24.0400	5.51	0.11	0.00	0.11	75.0	0.08
01/02/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335	THE INCOME FUND OF AMERICA-A BUY 1 Units @24.0400	31.25	0.63	0.00	0.63	75.0	0.47
01/02/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE INCOME FUND OF AMERICA-A BUY 0 Units @24.0400	5.45	0.11	0.00	0.11	75.0	0.08
01/02/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE INCOME FUND OF AMERICA-A BUY 1 Units @24.0400	31.25	0.63	0.00	0.63	75.0	0.47
01/02/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	U.S. GOVERNMENT SECURITIES FUND-C BUY 0 Units @13.6100	2.11	0.02	0.00	0.02	75.0	0.02
01/02/2018	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	U.S. GOVERNMENT SECURITIES FUND-C BUY 2 Units @13.6100	23.85	0.24	0.00	0.24	75.0	0.18
01/02/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @47.1000	5.45	0.11	0.00	0.11	75.0	0.08



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/02/2018	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @47.1000	31.25	0.63	0.00	0.63	75.0	0.47
* MUTUAL FUNDS SUBTOTAL *			\$22,980.56	\$349.83	\$54.00	\$295.83		\$221.87
PRODUCT CATEGORY: STOCKS								
01/10/2018	***-**-74IN - GIGLIO, MARIA ACCOUNT #: LSR012031	CITIGROUP INC COM NEW UNSOLICITED O SELL 17 Units @75.6000	1,285.20	20.00	15.34	4.66	75.0	3.50
01/10/2018	***-**-74IN - GIGLIO, MARIA ACCOUNT #: LSR012031	EASTMAN KODAK CO COM NEW BUY 100 Units @12.1250	1,212.50	20.00	17.00	3.00	75.0	2.25
01/05/2018	***-**-87IO - WIGGINS, DONNA ACCOUNT #: LSM000203	ISHARES IBOXX HIGH YIELD CORPORATE BUY 60 Units @87.9600	5,277.60	0.00	0.00	0.00	75.0	0.00
01/05/2018	***-**-87IO - WIGGINS, DONNA ACCOUNT #: LSM000203	ISHARES IBOXX HIGH YIELD CORPORATE BUY 40 Units @87.9590	3,518.36	0.00	0.00	0.00	75.0	0.00
01/05/2018	***-**-87IO - WIGGINS, DONNA ACCOUNT #: LSM000203	ISHARES IBOXX HIGH YIELD CORPORATE BUY 40 Units @87.9590	3,518.36	0.00	0.00	0.00	75.0	0.00
01/05/2018	***-**-87IO - WIGGINS, DONNA ACCOUNT #: LSM000203	ISHARES IBOXX HIGH YIELD CORPORATE BUY 40 Units @87.9590	3,518.36	0.00	0.00	0.00	75.0	0.00
01/05/2018	***-**-87IO - WIGGINS, DONNA ACCOUNT #: LSM000203	ISHARES IBOXX HIGH YIELD CORPORATE BUY 60 Units @87.9590	5,277.54	0.00	0.00	0.00	75.0	0.00
01/05/2018	***-**-87IO - WIGGINS, DONNA ACCOUNT #: LSM000203	ISHARES IBOXX HIGH YIELD CORPORATE BUY 35 Units @87.9600	3,078.60	0.00	0.00	0.00	75.0	0.00
01/05/2018	***-**-87IO - WIGGINS, DONNA ACCOUNT #: LSM000203	ISHARES TRUST HIGH DIVIDEND ETF UNS BUY 275 Units @90.6990	24,942.23	0.00	0.00	0.00	75.0	0.00
01/05/2018	***-**-87IO - WIGGINS, DONNA ACCOUNT #: LSM000203	ISHARES US PREFERRED STOCK ETF UNSO BUY 250 Units @38.0450	9,511.25	0.00	0.00	0.00	75.0	0.00
01/05/2018	***-**-87IO - WIGGINS, DONNA ACCOUNT #: LSM000203	ISHARES US PREFERRED STOCK ETF UNSO BUY 400 Units @38.0450	15,218.00	0.00	0.00	0.00	75.0	0.00
01/09/2018	***-**-76IN - KRAWIEC, PETER ACCOUNT #: LSM000204	SYSCO CORP SELL 100 Units @61.9050	6,190.50	0.00	0.00	0.00	75.0	0.00
01/05/2018	***-**-87IO - WIGGINS, DONNA ACCOUNT #: LSM000203	VANGUARD BD INDEX FD INC SHORT TERM SELL 949 Units @78.9940	74,965.31	0.00	0.00	0.00	75.0	0.00
01/05/2018	***-**-87IO - WIGGINS, DONNA ACCOUNT #: LSM000203	VANGUARD SECTOR INDEX FDS VANGUARD BUY 50 Units @102.6380	5,131.90	0.00	0.00	0.00	75.0	0.00



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JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
* STOCKS SUBTOTAL *			\$162,645.71	\$40.00	\$32.34	\$7.66		\$5.75
PRODUCT CATEGORY: RIA								
01/10/2018	***-**-58IO - SPINELLA, CAROL	NFS MANAGED FEES	0.00	723.65	0.00	723.65	75.0	542.74
		BUY 1 Units @419257.560						
01/10/2018	***-**-75IO - BRIGGS, LYNDIA	NFS MANAGED FEES	0.00	267.03	0.00	267.03	75.0	200.27
		BUY 1 Units @144394.220						
01/10/2018	***-**-190X - WALTER S	NFS MANAGED FEES	0.00	365.73	0.00	365.73	75.0	274.30
		BUY 1 Units @197765.620						
01/10/2018	***-**-90IO - BABROWICZ,	NFS MANAGED FEES	0.00	150.58	0.00	150.58	75.0	112.94
		BUY 1 Units @81426.6700						
01/10/2018	***-**-90IO - BABROWICZ,	NFS MANAGED FEES	0.00	48.24	0.00	48.24	75.0	36.18
		BUY 1 Units @26087.5800						
01/10/2018	***-**-90IO - BABROWICZ,	NFS MANAGED FEES	0.00	42.77	0.00	42.77	75.0	32.08
		BUY 1 Units @23125.9100						
01/10/2018	***-**-87IO - WIGGINS, DONNA	NFS MANAGED FEES	0.00	1,809.06	0.00	1,809.06	75.0	1,356.80
		BUY 1 Units @1048103.62						
01/10/2018	***-**-36TR - HANRETTY,	NFS MANAGED FEES	0.00	486.40	0.00	486.40	75.0	364.80
		BUY 1 Units @246576.320						
01/10/2018	***-**-56IO - SZYMANSKI,	NFS MANAGED FEES	0.00	364.84	0.00	364.84	75.0	273.63
		BUY 1 Units @184953.850						
01/10/2018	***-**-85FB - SCHUMACI, FRANK	NFS MANAGED FEES	0.00	261.45	0.00	261.45	75.0	196.09
		BUY 1 Units @203909.620						
01/10/2018	***-**-98IO - BRENNAN, ROBIN	NFS MANAGED FEES	0.00	887.27	0.00	887.27	75.0	665.45
		BUY 1 Units @599728.320						
01/10/2018	***-**-58IO - SPINELLA, CAROL	NFS MANAGED FEES-DID NOT DEBIT	0.00	0.00	0.00	0.00	75.0	0.00
		BUY 1 Units @0.0000						
01/10/2018	***-**-190X - WALTER S	NFS MANAGED FEES-DID NOT DEBIT	0.00	0.00	0.00	0.00	75.0	0.00
		BUY 1 Units @0.0000						
01/10/2018	***-**-10IO - PARKOLA, KATHRYN	NFS MANAGED FEES-PARTIAL DEBIT	0.00	326.23	0.00	326.23	75.0	244.67
		BUY 1 Units @132302.590						
* RIA SUBTOTAL *			\$0.00	\$5,733.25	\$0.00	\$5,733.25		\$4,299.95



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/31/2017	***-**-8084 - YANGINSKI, ACCOUNT #: 03208816148	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	3.26	0.00	3.26	75.0	2.45
12/31/2017	***-**-7437 - FERRONE, KAREN ACCOUNT #: 08850976327	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	2.68	0.00	2.68	75.0	2.01
12/31/2017	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 02706293518	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	11.87	0.00	11.87	75.0	8.90
12/31/2017	***-**-3503 - SPINELLA, ACCOUNT #: 03220595958	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	57.57	0.00	57.57	75.0	43.18
12/31/2017	***-**-3335 - SPENCER, DAVID ACCOUNT #: 03220677006	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	21.44	0.00	21.44	75.0	16.08
12/31/2017	***-**-6924 - LITTLER, BRUCE ACCOUNT #: 03220835263	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	2.42	0.00	2.42	75.0	1.82
12/31/2017	***-**-3569 - YOZZO, ROSA ACCOUNT #: 03221003563	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	22.10	0.00	22.10	75.0	16.58
12/31/2017	***-**-9311 - YOZZO, JOSEPH ACCOUNT #: 08870120626	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	4.98	0.00	4.98	75.0	3.74
12/31/2017	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 03430002783	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	41.79	0.00	41.79	75.0	31.34
12/31/2017	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 03450598378	CAPITAL INCOME A BUY 0 Units @0.0000	0.00	10.64	0.00	10.64	75.0	7.98
12/31/2017	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 07621392897	CASH RESERVES C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	75.0	0.00
12/31/2017	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 07621455190	CASH RESERVES C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	75.0	0.00
12/31/2017	***-**-3569 - YOZZO, ROSA ACCOUNT #: 02870149193	CORE BOND FND C BUY 0 Units @0.0000	0.00	7.45	0.00	7.45	75.0	5.59
12/31/2017	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 02870496166	CORE BOND FND C BUY 0 Units @0.0000	0.00	3.51	0.00	3.51	75.0	2.63
12/31/2017	***-**-3503 - SPINELLA, ACCOUNT #: 05370001133	CORE BOND FND C BUY 0 Units @0.0000	0.00	23.91	0.00	23.91	75.0	17.93
12/31/2017	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 02870271007	CORE BOND FND C BUY 0 Units @0.0000	0.00	6.65	0.00	6.65	75.0	4.99



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-6663 - ZOGBY, KAMIL	CORE BOND FND C	0.00	9.44	0.00	9.44	75.0	7.08
	ACCOUNT #: 02870602360	BUY 0 Units @0.0000						
12/31/2017	***-**-9443 - SPINELLA, JOHN	CORE BOND FND C	0.00	17.85	0.00	17.85	75.0	13.39
	ACCOUNT #: 05370001144	BUY 0 Units @0.0000						
12/31/2017	***-**-3569 - YOZZO, ROSA	DISCOVERY FUND C	0.00	11.51	0.00	11.51	75.0	8.63
	ACCOUNT #: 07470379137	BUY 0 Units @0.0000						
12/31/2017	***-**-3503 - SPINELLA,	DISCOVERY FUND C	0.00	51.09	0.00	51.09	75.0	38.32
	ACCOUNT #: 07470380193	BUY 0 Units @0.0000						
12/31/2017	***-**-0357 - ALLEN, PAMELA	EQUITY INCOME FUND, INC. A	0.00	8.79	0.00	8.79	75.0	6.59
	ACCOUNT #: 02401590974	BUY 0 Units @0.0000						
12/31/2017	***-**-8166 - SUYDAM, JERRY	EQUITY INCOME FUND, INC. C	0.00	3.59	0.00	3.59	75.0	2.69
	ACCOUNT #: 08370430485	BUY 0 Units @0.0000						
12/31/2017	***-**-7437 - FERRONE, KAREN	GLOBAL FUND A	0.00	2.73	0.00	2.73	75.0	2.05
	ACCOUNT #: 03300536461	BUY 0 Units @0.0000						
12/31/2017	***-**-1837 - WAMPFLER-SUYDAM,	GLOBAL FUND A	0.00	8.23	0.00	8.23	75.0	6.17
	ACCOUNT #: 03304237664	BUY 0 Units @0.0000						
12/31/2017	***-**-8084 - YANGINSKI,	GLOBAL FUND A	0.00	2.90	0.00	2.90	75.0	2.18
	ACCOUNT #: 03306186435	BUY 0 Units @0.0000						
12/31/2017	***-**-3203 - COMPION, ELLEN	GLOBAL FUND A	0.00	0.56	0.00	0.56	75.0	0.42
	ACCOUNT #: 03307715985	BUY 0 Units @0.0000						
12/31/2017	***-**-6663 - ZOGBY, KAMIL	GLOBAL FUND A	0.00	3.46	0.00	3.46	75.0	2.60
	ACCOUNT #: 03309630555	BUY 0 Units @0.0000						
12/31/2017	***-**-1837 - WAMPFLER-SUYDAM,	GLOBAL FUND B	0.00	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: 03314089679	BUY 0 Units @0.0000						
12/31/2017	***-**-0357 - ALLEN, PAMELA	GLOBAL OPPORTUNITIES A	0.00	9.88	0.00	9.88	75.0	7.41
	ACCOUNT #: 02154347618	BUY 0 Units @0.0000						
12/31/2017	***-**-8084 - YANGINSKI,	LIMITED TERM GOVERNMENT A	0.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 08552948099	BUY 0 Units @0.0000						
12/31/2017	***-**-1837 - WAMPFLER-SUYDAM,	MAIN STREET FUND A	0.00	6.07	0.00	6.07	75.0	4.55
	ACCOUNT #: 07002590602	BUY 0 Units @0.0000						
12/31/2017	***-**-8084 - YANGINSKI,	MAIN STREET FUND A	0.00	3.73	0.00	3.73	75.0	2.80
	ACCOUNT #: 07002853271	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-6663 - ZOGBY, KAMIL	MAIN STREET FUND A	0.00	8.49	0.00	8.49	75.0	6.37
	ACCOUNT #: 07006458160	BUY 0 Units @0.0000						
12/31/2017	***-**-0357 - ALLEN, PAMELA	MAIN STREET FUND A	0.00	10.67	0.00	10.67	75.0	8.00
	ACCOUNT #: 07001196605	BUY 0 Units @0.0000						
12/31/2017	***-**-1837 - WAMPFLER-SUYDAM,	MAIN STREET FUND B	0.00	0.35	0.00	0.35	75.0	0.26
	ACCOUNT #: 07012776515	BUY 0 Units @0.0000						
12/31/2017	***-**-9311 - YOZZO, JOSEPH	MAIN STREET FUND C	0.00	3.34	0.00	3.34	75.0	2.51
	ACCOUNT #: 07022553287	BUY 0 Units @0.0000						
12/31/2017	***-**-3569 - YOZZO, ROSA	MAIN STREET FUND C	0.00	13.94	0.00	13.94	75.0	10.46
	ACCOUNT #: 07022553320	BUY 0 Units @0.0000						
12/31/2017	***-**-3503 - SPINELLA,	MAIN STREET FUND C	0.00	83.09	0.00	83.09	75.0	62.32
	ACCOUNT #: 07022555509	BUY 0 Units @0.0000						
12/31/2017	***-**-3335 - SPENCER, DAVID	MAIN STREET FUND C	0.00	25.01	0.00	25.01	75.0	18.76
	ACCOUNT #: 07022642860	BUY 0 Units @0.0000						
12/31/2017	***-**-1837 - WAMPFLER-SUYDAM,	MAIN STREET FUND C	0.00	14.84	0.00	14.84	75.0	11.13
	ACCOUNT #: 07024172894	BUY 0 Units @0.0000						
12/31/2017	***-**-8166 - SUYDAM, JERRY	MAIN STREET FUND C	0.00	3.07	0.00	3.07	75.0	2.30
	ACCOUNT #: 07024172916	BUY 0 Units @0.0000						
12/31/2017	***-**-9443 - SPINELLA, JOHN	MAIN STREET FUND C	0.00	73.91	0.00	73.91	75.0	55.43
	ACCOUNT #: 07022555531	BUY 0 Units @0.0000						
12/31/2017	***-**-1837 - WAMPFLER-SUYDAM,	MAIN STREET OPPORTUNITY FUND B	0.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 07320231803	BUY 0 Units @0.0000						
12/31/2017	***-**-0357 - ALLEN, PAMELA	OPP ROCHSTR SH TRM MUNI FND A	0.00	35.17	0.00	35.17	75.0	26.38
	ACCOUNT #: 06210038368	BUY 0 Units @0.0000						
12/31/2017	***-**-7437 - FERRONE, KAREN	OPPENHEIMER CORE BOND FUND A	0.00	1.40	0.00	1.40	75.0	1.05
	ACCOUNT #: 02850911702	BUY 0 Units @0.0000						
12/31/2017	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER CORE BOND FUND A	0.00	2.12	0.00	2.12	75.0	1.59
	ACCOUNT #: 02851530067	BUY 0 Units @0.0000						
12/31/2017	***-**-8084 - YANGINSKI,	OPPENHEIMER CORE BOND FUND A	0.00	0.62	0.00	0.62	75.0	0.47
	ACCOUNT #: 02851937375	BUY 0 Units @0.0000						
12/31/2017	***-**-3203 - COMPION, ELLEN	OPPENHEIMER CORE BOND FUND A	0.00	0.44	0.00	0.44	75.0	0.33
	ACCOUNT #: 02852429284	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER CORE BOND FUND A	0.00	2.71	0.00	2.71	75.0	2.03
	ACCOUNT #: 02852069034	BUY 0 Units @0.0000						
12/31/2017	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER CORE BOND FUND B	0.00	0.10	0.00	0.10	75.0	0.08
	ACCOUNT #: 02860285274	BUY 0 Units @0.0000						
12/31/2017	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	16.51	0.00	16.51	75.0	12.38
	ACCOUNT #: 07860681693	BUY 0 Units @0.0000						
12/31/2017	***-**-0357 - ALLEN, PAMELA	OPPENHEIMER DISCOVERY CLASS A	0.00	14.94	0.00	14.94	75.0	11.21
	ACCOUNT #: 05004466528	BUY 0 Units @0.0000						
12/31/2017	***-**-3569 - YOZZO, ROSA	OPPENHEIMER GLOBAL CLASS C	0.00	15.01	0.00	15.01	75.0	11.26
	ACCOUNT #: 03320553916	BUY 0 Units @0.0000						
12/31/2017	***-**-3503 - SPINELLA,	OPPENHEIMER GLOBAL CLASS C	0.00	62.21	0.00	62.21	75.0	46.66
	ACCOUNT #: 03320555797	BUY 0 Units @0.0000						
12/31/2017	***-**-6924 - LITTLER, BRUCE	OPPENHEIMER GLOBAL CLASS C	0.00	1.85	0.00	1.85	75.0	1.39
	ACCOUNT #: 03320759759	BUY 0 Units @0.0000						
12/31/2017	***-**-9311 - YOZZO, JOSEPH	OPPENHEIMER GLOBAL CLASS C	0.00	9.19	0.00	9.19	75.0	6.89
	ACCOUNT #: 03321360260	BUY 0 Units @0.0000						
12/31/2017	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER GLOBAL CLASS C	0.00	14.87	0.00	14.87	75.0	11.15
	ACCOUNT #: 03322626976	BUY 0 Units @0.0000						
12/31/2017	***-**-9443 - SPINELLA, JOHN	OPPENHEIMER GLOBAL CLASS C	0.00	101.82	0.00	101.82	75.0	76.37
	ACCOUNT #: 03320555830	BUY 0 Units @0.0000						
12/31/2017	***-**-3503 - SPINELLA,	OPPENHEIMER INT'L BOND CLASS C	0.00	19.30	0.00	19.30	75.0	14.48
	ACCOUNT #: 08820644567	BUY 0 Units @0.0000						
12/31/2017	***-**-8084 - YANGINSKI,	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	3.63	0.00	3.63	75.0	2.72
	ACCOUNT #: 01952306227	BUY 0 Units @0.0000						
12/31/2017	***-**-3569 - YOZZO, ROSA	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	2.97	0.00	2.97	75.0	2.23
	ACCOUNT #: 01970270413	BUY 0 Units @0.0000						
12/31/2017	***-**-3503 - SPINELLA,	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	16.88	0.00	16.88	75.0	12.66
	ACCOUNT #: 01970376552	BUY 0 Units @0.0000						
12/31/2017	***-**-6663 - ZOGBY, KAMIL	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	9.85	0.00	9.85	75.0	7.39
	ACCOUNT #: 01970011044	BUY 0 Units @0.0000						
12/31/2017	***-**-9443 - SPINELLA, JOHN	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	3.87	0.00	3.87	75.0	2.90
	ACCOUNT #: 01970376563	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
12/31/2017	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 01971060983	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	15.98	0.00	15.98	75.0	11.99
12/31/2017	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 01952779766	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	4.48	0.00	4.48	75.0	3.36
12/31/2017	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 07314176381	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	5.30	0.00	5.30	75.0	3.98
12/31/2017	***-**-3503 - SPINELLA, ACCOUNT #: 07330263890	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	56.56	0.00	56.56	75.0	42.42
12/31/2017	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 07331164691	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	18.33	0.00	18.33	75.0	13.75
12/31/2017	***-**-8166 - SUYDAM, JERRY ACCOUNT #: 07331164724	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	2.90	0.00	2.90	75.0	2.18
12/31/2017	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 07330263912	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	49.18	0.00	49.18	75.0	36.89
12/31/2017	***-**-3569 - YOZZO, ROSA ACCOUNT #: 08490783794	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	5.76	0.00	5.76	75.0	4.32
12/31/2017	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 08490783816	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	8.43	0.00	8.43	75.0	6.32
12/31/2017	***-**-3503 - SPINELLA, ACCOUNT #: 02270728079	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	0.00	13.24	0.00	13.24	75.0	9.93
12/31/2017	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 02271217425	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	0.00	23.39	0.00	23.39	75.0	17.54
12/31/2017	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 05620002497	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	0.00	18.67	0.00	18.67	75.0	14.00
12/31/2017	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 02913858756	OPPENHEIMER SENIOR FLOATING RATE CL BUY 0 Units @0.0000	0.00	8.51	0.00	8.51	75.0	6.38
12/31/2017	***-**-7437 - FERRONE, KAREN ACCOUNT #: 02512098437	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	2.63	0.00	2.63	75.0	1.97
12/31/2017	***-**-8084 - YANGINSKI, ACCOUNT #: 02514169231	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	2.27	0.00	2.27	75.0	1.70
12/31/2017	***-**-3203 - COMPION, ELLEN ACCOUNT #: 02514937295	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	0.51	0.00	0.51	75.0	0.38



COMMISSION STATEMENT

January 15, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 02514813402	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	5.66	0.00	5.66	75.0	4.25
12/31/2017	***-**-6663 - ZOGBY, KAMIL ACCOUNT #: 02510909909	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	8.78	0.00	8.78	75.0	6.59
12/31/2017	***-**-2562 - SPENCER, IRENE ACCOUNT #: 02303109887	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	43.70	0.00	43.70	75.0	32.78
12/31/2017	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 02203270157	OPPENHEIMER U.S. GOVT TR CLASS A BUY 0 Units @0.0000	0.00	0.58	0.00	0.58	75.0	0.44
12/31/2017	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 02575870139	QUEST BALANCED A BUY 0 Units @0.0000	0.00	6.04	0.00	6.04	75.0	4.53
12/31/2017	***-**-8084 - YANGINSKI, ACCOUNT #: 02576135404	QUEST BALANCED A BUY 0 Units @0.0000	0.00	1.69	0.00	1.69	75.0	1.27
12/31/2017	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 02582859626	QUEST BALANCED B BUY 0 Units @0.0000	0.00	0.25	0.00	0.25	75.0	0.19
12/31/2017	***-**-3569 - YOZZO, ROSA ACCOUNT #: 02590391138	QUEST BALANCED FUND C BUY 0 Units @0.0000	0.00	8.26	0.00	8.26	75.0	6.20
12/31/2017	***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 02592264273	QUEST BALANCED FUND C BUY 0 Units @0.0000	0.00	12.38	0.00	12.38	75.0	9.29
12/31/2017	***-**-8166 - SUYDAM, JERRY ACCOUNT #: 02592264306	QUEST BALANCED FUND C BUY 0 Units @0.0000	0.00	2.83	0.00	2.83	75.0	2.12
12/31/2017	***-**-9311 - YOZZO, JOSEPH ACCOUNT #: 02380607024	QUEST OPPORTUNITY VALUE FUND C BUY 0 Units @0.0000	0.00	5.97	0.00	5.97	75.0	4.48
12/31/2017	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 02380837243	QUEST OPPORTUNITY VALUE FUND C BUY 0 Units @0.0000	0.00	9.19	0.00	9.19	75.0	6.89
12/31/2017	***-**-8084 - YANGINSKI, ACCOUNT #: 05901010823	REAL ESTATE FUND A BUY 0 Units @0.0000	0.00	0.95	0.00	0.95	75.0	0.71
12/31/2017	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 05900887128	REAL ESTATE FUND A BUY 0 Units @0.0000	0.00	1.59	0.00	1.59	75.0	1.19
12/31/2017	***-**-3569 - YOZZO, ROSA ACCOUNT #: 05920131582	REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	1.27	0.00	1.27	75.0	0.95
12/31/2017	***-**-0357 - ALLEN, PAMELA ACCOUNT #: 02254343295	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	10.09	0.00	10.09	75.0	7.57



COMMISSION STATEMENT

January 15, 2018

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BROKER #: B08
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-3503 - SPINELLA, ACCOUNT #: 06020038852	SELECT VALUE C BUY 0 Units @0.0000	0.00	23.38	0.00	23.38	75.0	17.54
12/31/2017	***-**-3569 - YOZZO, ROSA ACCOUNT #: 06020063217	SELECT VALUE C BUY 0 Units @0.0000	0.00	2.70	0.00	2.70	75.0	2.03
12/31/2017	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 06020038863	SELECT VALUE C BUY 0 Units @0.0000	0.00	21.56	0.00	21.56	75.0	16.17
12/31/2017	***-**-3203 - COMPION, ELLEN ACCOUNT #: 06000770220	SELECT VALUE FUND A BUY 0 Units @0.0000	0.00	0.50	0.00	0.50	75.0	0.38
12/31/2017	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 07230002222	SMALL & MID-CAP GRWTH FND C BUY 0 Units @0.0000	0.00	60.68	0.00	60.68	75.0	45.51
12/31/2017	***-**-6924 - LITTLER, BRUCE ACCOUNT #: 02530122727	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	0.00	2.25	0.00	2.25	75.0	1.69
12/31/2017	***-**-3503 - SPINELLA, ACCOUNT #: 02531090265	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	0.00	12.81	0.00	12.81	75.0	9.61
12/31/2017	***-**-9443 - SPINELLA, JOHN ACCOUNT #: 02321477630	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	0.00	19.25	0.00	19.25	75.0	14.44
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,432.78	\$0.00	\$1,432.78		\$1,074.70
*** BROKER TRANSACTIONS TOTAL ***			\$185,626.27	\$7,555.86	\$86.34	\$7,469.52		\$5,602.27



BROKER #: B08
BRANCH #01001 - LifeMark Home Office

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
					Broker Transactions			\$5,602.27
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					Net Commission Earned			\$5,602.27
					Year-to-date Earnings			\$7,893.32