

**COMMISSION STATEMENT****August 15, 2018**

KENT F129 FITZPATRICK
 5 Commonwealth Road
 Suite 3A
 Natick, MA 01760

BROKER #: F129
 BRANCH: CHARLES RIVER FINANC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	HANCHETT, RYDER	PORTFOLIO 2025-2028 C2	0.00	0.50	0.00	0.50	90.0	0.45
/ /	HANCHETT, RYDER	PORTFOLIO 2029-2032 C2	0.00	0.50	0.00	0.50	90.0	0.45
08/01/2018	HANCHETT, RYDER	PORTFOLIO 2029-2032 C2	50.00	0.50	0.00	0.50	90.0	0.45
08/01/2018	HANCHETT, RYDER	PORTFOLIO 2029-2032 C2	50.00	0.50	0.00	0.50	90.0	0.45
* 529 PLANS SUBTOTAL *			\$100.00	\$2.00	\$0.00	\$2.00		\$1.80
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	BAYER, AIDAN	J HANCOCK PORTFOLIO 2017-2020 C2	0.00	38.18	0.00	38.18	90.0	34.36
/ /	BRAUN, COLIN	J HANCOCK PORTFOLIO 2017-2020 C2	0.00	183.78	0.00	183.78	90.0	165.40
/ /	NANCY, AIDAN	J HANCOCK PORTFOLIO 2017-2020 C2	0.00	131.55	0.00	131.55	90.0	118.40
/ /	BAYER, AIDAN	JH 529 COLLEGE PORT A	0.00	12.88	0.00	12.88	90.0	11.59
/ /	BAYER, AIDAN	JH 529 PORT 2017-2020 A	0.00	9.04	0.00	9.04	90.0	8.14
/ /	HANCHETT, RYDER	JH 529 PORT 2025-2028 A	0.00	1.48	0.00	1.48	90.0	1.33
/ /	BAYER, AIDAN	JHF 529 COLLEGE PORTFOLIO C2	0.00	75.59	0.00	75.59	90.0	68.03
/ /	BRAUN, COLIN	JHF 529 PORTFOLIO 2021-2024 C2	0.00	193.63	0.00	193.63	90.0	174.27
/ /	HANCHETT, RYDER	PORTFOLIO 2025-2028 C2	0.00	8.68	0.00	8.68	90.0	7.81
/ /	HANCHETT, RYDER	PORTFOLIO 2029-2032 C2	0.00	1.86	0.00	1.86	90.0	1.67
/ /	HANCHETT, RYDER	PORTFOLIO 2029-2032 C2	0.00	4.84	0.00	4.84	90.0	4.36
/ /	HANCHETT, RYDER	PORTFOLIO 2029-2032 C2	0.00	4.84	0.00	4.84	90.0	4.36
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$666.35	\$0.00	\$666.35		\$599.72
*** DIRECT TRANSACTIONS TOTAL ***			\$100.00	\$668.35	\$0.00	\$668.35		\$601.52

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: F132-KENT/WILLIAM FITZPATRICK/MAHON #440								
08/03/2018	SANNICANDRO, TOM	HIGHLAND CAPITAL NREA MERITAGE DST	131,000.00	4,912.50	0.00	4,912.50	90.0	4,421.25
* F132-KENT/WILLIAM FITZPATRICK/MAHON SUBTOTAL *			\$131,000.00	\$4,912.50	\$0.00	\$4,912.50		\$4,421.25
*** SPLIT TRANSACTIONS TOTAL ***			\$131,000.00	\$4,912.50	\$0.00	\$4,912.50		\$4,421.25

ADJUSTMENTS

08/15/2018		*AI INSIGHT: AI INSIGHT - K. FITZPATRICK	0.00					-7.00
08/15/2018		*EO INS: MONTHLY EO INS - FITZPATRICK	0.00					-300.00
08/15/2018		*FINRA: AZ INDIVIDUAL REG. FEE	0.00					-45.00
08/15/2018		*FINRA: CT INDIVIDUAL REG. FEE	0.00					-125.00
08/15/2018		*FINRA: FL INDIVIDUAL REG. FEE	0.00					-50.00
08/15/2018		*FINRA: NH INDIVIDUAL REG. FEE	0.00					-130.00
08/15/2018		*FINRA: NY INDIVIDUAL REG. FEE	0.00					-70.00
08/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO FITZPATRICK FROM MAHONEY	0.00					1,074.36
08/15/2018		*TECHNOLOGY: ADMIN K. STEVENS	0.00					-65.00
08/15/2018		*TECHNOLOGY: MONTHLY TECH - ADMIN WHALEN	0.00					-135.00
08/15/2018		*TECHNOLOGY: MONTHLY TECH - FITZPATRICK	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-32.64

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

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BROKER COMMISSION TOTALS

Broker Transactions	\$601.52
Split Transactions	\$4,421.25
Override Transactions	\$0.00
Adjustments	\$-32.64
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,990.13
Year-to-date Gross	\$868,080.85
Year-to-date Earnings	\$781,272.77