

**COMMISSION STATEMENT****July 15, 2018**

OVERRIDE REJECT

BROKER #: C999

BRANCH: CIS HOME OFFICE

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/18/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.21	0.00	3.21	0.0	0.00
06/18/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.63	0.00	9.63	0.0	0.00
06/18/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.44	0.00	6.44	0.0	0.00
06/18/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	19.31	0.00	19.31	0.0	0.00
06/18/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.46	0.00	21.46	0.0	0.00
06/18/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	64.39	0.00	64.39	0.0	0.00
06/18/2018	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.83	0.00	10.83	0.0	0.00
06/18/2018	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.50	0.00	32.50	0.0	0.00
06/18/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.33	0.00	5.33	0.0	0.00
06/18/2018	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	23.69	0.00	23.69	0.0	0.00
06/21/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	31.06	0.00	31.06	0.0	0.00
06/21/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	14.46	0.00	14.46	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$242.31	\$0.00	\$242.31		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	249,346.75	103.65	0.00	103.65	0.0	0.00
06/30/2018	PICCIRILLO, ALEXANDER	LFG TRAILS	488,328.94	305.21	0.00	305.21	0.0	0.00
06/30/2018	FARBMAN, ERIC	LFG TRAILS	544,354.51	340.22	0.00	340.22	0.0	0.00
06/30/2018	GALERMAN, ALAN	LFG TRAILS	182,931.01	457.33	0.00	457.33	0.0	0.00
06/30/2018	GALERMAN, LIORA	LFG TRAILS	26,939.33	67.35	0.00	67.35	0.0	0.00
06/30/2018	KIM, CHUNG	LFG TRAILS	65,666.54	164.17	0.00	164.17	0.0	0.00
06/30/2018	CHERRY, ROBERTA	LFG TRAILS	18,421.76	46.05	0.00	46.05	0.0	0.00
06/30/2018	CUNNINGHAM, EDWARD	LFG TRAILS	67,310.43	168.28	0.00	168.28	0.0	0.00
06/30/2018	KRAMER, LARRY	LFG TRAILS	658,465.22	1,646.16	0.00	1,646.16	0.0	0.00
06/30/2018	CRAMER, FRED	LFG TRAILS	142,747.62	356.87	0.00	356.87	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$2,444,512.11	\$3,655.29	\$0.00	\$3,655.29		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

*** DIRECT TRANSACTIONS TOTAL ***	\$2,444,512.11	\$3,897.60	\$0.00	\$3,897.60	\$0.00
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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$4,748.87
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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