



Concorde Investment Services, LLC

05/30/2018

Page No. 1

COMMISSION STATEMENT**May 31, 2018**DELMAR (BO)-M143 MCKINNEY
5 Red Bud TrailBROKER #: M143
BRANCH: EAGLE WEALTH MANAGEM

Round Rock, TX 78655-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
05/21/2018	BUROW, PAUL	ACADIA PHARMACEUTICALS INC COM	-1,783.64	59.00	22.50	36.50	90.0	32.85
05/17/2018	DROMGOOLE, NONA	RETAIL PPTYS AMER INC CL A	-14,336.13	99.00	23.92	75.08	90.0	67.57
* STOCKS SUBTOTAL *			\$-16,119.77	\$158.00	\$46.42	\$111.58		\$100.42
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
05/14/2018	HOEFT, DANIEL	FT UNIT 6551 US INFRASTRUCTURE PORT	-7,037.13	0.00	44.00	-44.00	90.0	-39.60
05/14/2018	HOEFT, DANIEL	SMART TR DYNAMIC; SECTOR INCOME TR	-7,226.30	0.00	44.00	-44.00	90.0	-39.60
05/14/2018	HOEFT, DANIEL	SMART TR HIGH 20; DIVID STRATEGY	-13,387.67	0.00	44.00	-44.00	90.0	-39.60
05/14/2018	HOEFT, DANIEL	SMART TR MILLER / HOWARD NORTH AMER	-7,283.39	0.00	44.00	-44.00	90.0	-39.60
05/14/2018	HOEFT, DANIEL	SMART TR STRATEGIC; GROWTH & INCOME	-13,665.65	0.00	44.00	-44.00	90.0	-39.60
05/14/2018	HOEFT, DANIEL	SMART TR ZACKS GARP; COMPOSITE 35 T	-2,008.60	0.00	44.00	-44.00	90.0	-39.60
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$-50,608.74	\$0.00	\$264.00	\$-264.00		\$-237.60
*** CLEARING TRANSACTIONS TOTAL ***			\$-66,728.51	\$158.00	\$310.42	\$-152.42		\$-137.18
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
05/07/2018	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
05/07/2018	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
* 529 PLANS SUBTOTAL *			\$200.00	\$5.50	\$0.00	\$5.50		\$4.96
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/15/2018	BRUECKNER, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	200.00	2.40	0.00	2.40	90.0	2.16
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$2.40	\$0.00	\$2.40		\$2.16

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/11/2018	CERVENKA, KENNETH	JNL ELITE ACCESS	276,318.47	14,506.72	0.00	14,506.72	90.0	13,056.05
* VARIABLE ANNUITIES SUBTOTAL *			\$276,318.47	\$14,506.72	\$0.00	\$14,506.72		\$13,056.05
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	SMITH, MARLO	BLACKROCK GROWTH PORTFOLIO CL A	0.00	0.48	0.00	0.48	90.0	0.43
04/30/2018	KORNEGAY, ALISA	BLACKROCK GROWTH PORTFOLIO CL A	0.00	1.23	0.00	1.23	90.0	1.11
04/30/2018	NAIZER, JOHNNIE	BLACKROCK GROWTH PORTFOLIO CL A	0.00	1.96	0.00	1.96	90.0	1.76
04/30/2018	SMITH, MARLO	BLK AGG GROWTH A	0.00	0.38	0.00	0.38	90.0	0.34
05/14/2018	LINDELL, HOWARD	LORD ABBETT & CO 543916530	0.00	164.99	0.00	164.99	90.0	148.49
05/14/2018	EVANS, DAVID	LORD ABBETT & CO 543916530	0.00	1.59	0.00	1.59	90.0	1.43
05/14/2018	BUTLER, SHELLY	LORD ABBETT & CO 543916589	0.00	0.01	0.00	0.01	90.0	0.01
05/14/2018	LINDELL, HOWARD	LORD ABBETT & CO 543916589	0.00	106.24	0.00	106.24	90.0	95.62
05/14/2018	EVANS, DAVID	LORD ABBETT & CO 543916589	0.00	1.57	0.00	1.57	90.0	1.41
05/14/2018	MEYER, KATHY	LORD ABBETT & CO 543916605	0.00	73.15	0.00	73.15	90.0	65.84
05/14/2018	BUTLER, SHELLY	LORD ABBETT & CO 543916605	0.00	0.01	0.00	0.01	90.0	0.01
05/14/2018	LINDELL, HOWARD	LORD ABBETT & CO 543916605	0.00	102.69	0.00	102.69	90.0	92.42
05/14/2018	EVANS, DAVID	LORD ABBETT & CO 543916605	0.00	1.53	0.00	1.53	90.0	1.38
05/14/2018	LINDELL, HOWARD	LORD ABBETT ALPHA STRATEGY C	0.00	184.29	0.00	184.29	90.0	165.86
05/14/2018	EVANS, DAVID	LORD ABBETT ALPHA STRATEGY C	0.00	1.71	0.00	1.71	90.0	1.54
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$641.83	\$0.00	\$641.83		\$577.65
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/12/2018	BARTOSH, PATRICIA	AM GEN POLIRIS II FLEXIBLE	0.00	25.61	0.00	25.61	90.0	23.05
05/12/2018	BARTOSH, PATRICIA	ANCHOR ADVISOR 11 FLEX	0.00	16.97	0.00	16.97	90.0	15.27
05/20/2018	LUEDTKE, LOIS	ANCHOR ADVISOR FLEX PYMT DEF ANN	0.00	747.65	0.00	747.65	90.0	672.89
05/21/2018	DAWSON, SIDNEY	ANCHOR ADVISOR FLEX PYMT DEF ANN	0.00	1.29	0.00	1.29	90.0	1.16
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$791.52	\$0.00	\$791.52		\$712.37
*** DIRECT TRANSACTIONS TOTAL ***			\$276,718.47	\$15,947.97	\$0.00	\$15,947.97		\$14,353.19

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$14,216.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14,216.01
Year-to-date Gross	\$163,496.69
Year-to-date Earnings	\$146,423.45