



# COMMISSION STATEMENT February 15, 2017

DAMIAN DERING  
165 Culver Blvd  
Suite A  
Playa Del Rey, CA 90293

BROKER #: D127  
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
02/10/2017	AMIN, CHIRAG	ASSETMARK	1,848.55	2.08	0.00	2.08	90.0	1.87
02/10/2017	AVONDO, ROSE	ASSETMARK	650.00	1.94	0.00	1.94	90.0	1.75
02/10/2017	AVONDO, ROSE	ASSETMARK	-650.00	-1.91	0.00	-1.91	90.0	-1.72
02/10/2017	CHUCK, LAURENCE	ASSETMARK	487.50	1.45	0.00	1.45	90.0	1.31
02/10/2017	CHUCK, LAURENCE	ASSETMARK	-487.50	-1.44	0.00	-1.44	90.0	-1.30
02/10/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	11,250.00	29.07	0.00	29.07	90.0	26.16
02/10/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	-11,250.00	-28.71	0.00	-28.71	90.0	-25.84
02/10/2017	DERING, WILLIAM	ASSETMARK	-200.00	-0.68	0.00	-0.68	90.0	-0.61
02/10/2017	DERING, WILLIAM	ASSETMARK	200.00	0.69	0.00	0.69	90.0	0.62
02/10/2017	FARFAN, FRANK	ASSETMARK	18,655.94	60.70	0.00	60.70	90.0	54.63
02/10/2017	FARFAN, FRANK	ASSETMARK	55,941.87	182.06	0.00	182.06	90.0	163.85
02/10/2017	FARFAN, FRANK	ASSETMARK	38,635.09	125.75	0.00	125.75	90.0	113.18
02/10/2017	KUSUNOKI, MIKE	ASSETMARK	1,000.00	2.98	0.00	2.98	90.0	2.68
02/10/2017	KUSUNOKI, MIKE	ASSETMARK	-1,000.00	-2.95	0.00	-2.95	90.0	-2.66
02/10/2017	PEARSON, ROBERT	ASSETMARK	1,000.00	2.33	0.00	2.33	90.0	2.10
02/10/2017	RTJ MANAGEMENT	ASSETMARK	-3,000.00	-6.90	0.00	-6.90	90.0	-6.21
02/10/2017	RTJ MANAGEMENT	ASSETMARK	3,000.00	6.99	0.00	6.99	90.0	6.29
02/10/2017	SCANE, DONALD	ASSETMARK	325,000.00	678.94	0.00	678.94	90.0	611.05
02/10/2017	SECRIST HOLDINGS LLC	ASSETMARK	3,546.55	7.50	0.00	7.50	90.0	6.75
02/10/2017	SECRIST-ROUSE TRUST, RUTH	ASSETMARK	17,564.09	40.30	0.00	40.30	90.0	36.27
<b>* RIA SUBTOTAL *</b>			<b>\$462,192.09</b>	<b>\$1,100.19</b>	<b>\$0.00</b>	<b>\$1,100.19</b>		<b>\$990.17</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$462,192.09</b>	<b>\$1,100.19</b>	<b>\$0.00</b>	<b>\$1,100.19</b>		<b>\$990.17</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$990.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$990.17
Year-to-date Gross	\$34,995.48
Year-to-date Earnings	\$31,495.95