

**COMMISSION STATEMENT****April 15, 2018**

**BARRY, L122 LE MAY**  
 2242 Camden Ave  
 Suite 102  
 San Jose, CA 95124

**BROKER #: L122**  
**BRANCH: AMBAR FINANCIAL**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
04/03/2018	SARMIENTO, ZOLIO	BOURNE SENIORS HOUSING III	0.00	-3,920.00	0.00	-3,920.00	90.0	-3,528.00
03/23/2018	HOPWOOD TRUST, PAUL & GINGER	HPI REAL ESTATE FUND VI LLC	100,000.00	6,000.00	0.00	6,000.00	90.0	5,400.00
<b>* PRIVATE PLACEMENT SUBTOTAL *</b>			<b>\$100,000.00</b>	<b>\$2,080.00</b>	<b>\$0.00</b>	<b>\$2,080.00</b>		<b>\$1,872.00</b>
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
03/16/2018	PERRY TRUST	PASSCO HOMEPLACE DST	221,520.00	13,291.20	0.00	13,291.20	90.0	11,962.08
<b>* 1031 EXCHANGES SUBTOTAL *</b>			<b>\$221,520.00</b>	<b>\$13,291.20</b>	<b>\$0.00</b>	<b>\$13,291.20</b>		<b>\$11,962.08</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/27/2018	LEMAY, MONIQUE	ALTEGRIS WINTON FUTURES FUND LP CL	9,735.69	16.25	0.00	16.25	90.0	14.63
03/27/2018	VIZIR, VITALI	ALTEGRIS WINTON FUTURES FUND LP CL	48,678.48	81.27	0.00	81.27	90.0	73.14
03/27/2018	BARDELL, PAULA	ALTEGRIS WINTON FUTURES FUND LP CL	11,910.91	19.88	0.00	19.88	90.0	17.89
02/28/2018	MACKENZIE, MICHELLE	BLK GLOBAL ALLOCATION C	0.00	13.79	0.00	13.79	90.0	12.41
02/28/2018	MACKENZIE, MICHELLE	BLK GLOBAL ALLOCATION C	0.00	4.60	0.00	4.60	90.0	4.14
03/01/2018	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.98	0.00	10.98	90.0	9.88
03/01/2018	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.10	0.00	1.10	90.0	0.99
03/23/2018	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.96	0.00	9.96	90.0	8.96
03/23/2018	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.00	0.00	1.00	90.0	0.90
03/19/2018	DINAPOLI TRUST, BENNY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.92	0.00	0.92	90.0	0.83
03/05/2018	KACHULIS, CASSANDRA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.70	0.00	1.70	90.0	1.53
03/15/2018	KACHULIS, CASSANDRA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.50	0.00	1.50	90.0	1.35
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$70,325.08</b>	<b>\$162.95</b>	<b>\$0.00</b>	<b>\$162.95</b>		<b>\$146.65</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$391,845.08</b>	<b>\$15,534.15</b>	<b>\$0.00</b>	<b>\$15,534.15</b>		<b>\$13,980.73</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
04/15/2018		*: TECHNOLOGY AI INSIGHT	0.00					-7.00
04/15/2018		*: TECHNOLOGY DOCUSIGN	0.00					-18.00
04/15/2018		*: TECHNOLOGY GLOBAL RELAY - WEBSITE	0.00					-15.00
04/15/2018		*: TECHNOLOGY LASER APP	0.00					-18.00
04/15/2018		*: TECHNOLOGYGLOBAL RELAY - FACEBOOK	0.00					-5.00
04/15/2018		*CONCIERGE SVC: 211593 PARKER, TTEE	0.00					-50.00
04/15/2018		*CONCIERGE SVC: 211633 LEE	0.00					-50.00
04/15/2018		*CONCIERGE SVC: 211917 PERRY	0.00					-50.00
04/15/2018		*CONCIERGE SVC: 212467 HOPWOOD, TTEES	0.00					-50.00
04/15/2018		*CONCIERGE SVC: 213371 SPANG, TTEE	0.00					-50.00
04/15/2018		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
04/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-2.01
04/15/2018		*TECHNOLOGY: ALBRIDGE LIGHT	0.00					-76.00
04/15/2018		*TECHNOLOGY: BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-871.01

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$13,980.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-871.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$13,109.72
Year-to-date Gross	\$124,876.21
Year-to-date Earnings	\$112,266.91