



Concorde Investment Services, LLC

01/13/2017
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COMMISSION STATEMENT

January 17, 2017

DOUGLAS NEVIN
600 Johnson Ave.
Suite B5
Bohemia, NY 11716

BROKER #: N118
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	STRYJEWSKI, THOMAS	OPPENHEIMER CAPITAL INCOME A	0.00	3.66	0.00	3.66	90.0	3.29
/ /	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	12.65	0.00	12.65	90.0	11.39
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	4.61	0.00	4.61	90.0	4.15
11/10/2016	WESTRENN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.12	0.00	1.12	90.0	1.01
11/10/2016	KNICHEL, JOHN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	0.10	0.00	0.10	90.0	0.09
12/27/2016	KNICHEL, JOHN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	25.00	1.25	0.00	1.25	90.0	1.13
11/10/2016	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	10.66	0.00	10.66	90.0	9.59
11/10/2016	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.45	0.00	3.45	90.0	3.11
11/10/2016	WESTRENN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.22	0.00	1.22	90.0	1.10
* MUTUAL FUNDS SUBTOTAL *			\$25.00	\$38.72	\$0.00	\$38.72		\$34.86
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	118,612.55	98.84	0.00	98.84	90.0	88.96
12/30/2016	TUCKER, PATRICIA	ALLIANZ LIFE NY VISION	69,446.83	173.62	0.00	173.62	90.0	156.26
12/30/2016	LEONARD, EVELYN	HARTFORD LEADERS OUTLOOK	26,607.27	66.52	0.00	66.52	90.0	59.87
12/30/2016	TOOLEY, PATRICIA	HARTFORD LEADERS OUTLOOK	146,602.06	366.51	0.00	366.51	90.0	329.86
12/30/2016	ROARKE, MARY ELIZABETH	HARTFORD LEADERS OUTLOOK	18,537.38	46.34	0.00	46.34	90.0	41.71
12/30/2016	LEAVY, THOMAS	HARTFORD LEADERS OUTLOOK	123,356.54	308.39	0.00	308.39	90.0	277.55
12/30/2016	SINGLETON, GWENDOLYN	HARTFORD LEADERS OUTLOOK 2	18,064.45	45.16	0.00	45.16	90.0	40.64
12/30/2016	BELLOMO, MARIO	HARTFORD LEADERS OUTLOOK 2	22,320.13	55.80	0.00	55.80	90.0	50.22
12/31/2016	PANZZIE, FRANK	JOHN HANCOCK LIFE INS	322,684.41	67.23	0.00	67.23	90.0	60.51
12/20/2016	VIONE, LESLEY	PAC LIFE INNOVATIVE SELECT NY	0.00	222.93	0.00	222.93	90.0	200.64
12/27/2016	GORMAN, LORAIN	PAC LIFE INNOVATIVE SELECT NY	0.00	62.66	0.00	62.66	90.0	56.39
12/28/2016	PUMA, FRANK	PAC LIFE INNOVATIVE SELECT NY	0.00	166.96	0.00	166.96	90.0	150.26
12/29/2016	RISO, CARMELITA	PAC LIFE INNOVATIVE SELECT NY	0.00	529.45	0.00	529.45	90.0	476.51
12/29/2016	KNAACK, JOHN	SBL SECURE DESIGNS VA	115,711.61	96.42	0.00	96.42	90.0	86.78
12/13/2016	PUMA, ANN	TRANSAMERICA LIBERTY	35,266.85	29.39	0.00	29.39	90.0	26.45

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS**PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS**

* VARIABLE ANNUITIES SUBTOTAL *			\$1,017,210.08	\$2,336.22	\$0.00	\$2,336.22		\$2,102.61
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/31/2016	KNAACK, JOHN	LFG TRAILS	0.00	537.11	0.00	537.11	90.0	483.40
12/31/2016	STRYJEWSKI, DONALD	LFG TRAILS	0.00	815.09	0.00	815.09	90.0	733.58
12/31/2016	BELLOMO, MARIO	LFG TRAILS	0.00	135.88	0.00	135.88	90.0	122.29
12/31/2016	GORMAN, ANN	LFG TRAILS	0.00	937.30	0.00	937.30	90.0	843.57
12/24/2016	LOITSCH, ROBERT	ING EMPIRE INNOVATIONS STD OPT A	0.00	372.54	0.00	372.54	90.0	335.29
12/29/2016	BELLOMO, DIANE	ING EMPIRE INNOVATIONS STD OPT A	0.00	195.11	0.00	195.11	90.0	175.60
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,993.03	\$0.00	\$2,993.03		\$2,693.73
*** BROKER TRANSACTIONS TOTAL ***			\$1,017,235.08	\$5,367.97	\$0.00	\$5,367.97		\$4,831.20

ADJUSTMENTS

01/17/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
01/17/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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BROKER COMMISSION TOTALS

Broker Transactions	\$4,831.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,256.20
Year-to-date Gross	\$5,367.97
Year-to-date Earnings	\$4,831.20