

COMMISSION STATEMENT

April 30, 2018

ROBERT HACKEL

206 VAN BUREN

PARAMUS, NJ 07652

BROKER #: P76

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

03/29/2018	75501322	GILBERTSON, JAY		APPLE INC	S	171.26	0.00	0.00	0.00	80.0	0.00
03/29/2018	75501322	GILBERTSON, JAY		APPLE INC	S	171.26	0.00	0.00	0.00	80.0	0.00
03/29/2018	75501322	GILBERTSON, JAY		ENVESTNET INC	B	1,276.04	0.00	0.00	0.00	80.0	0.00
04/04/2018	75501322	GILBERTSON, JAY		ABBVIE INC	S	2,395.42	0.00	0.00	0.00	80.0	0.00
04/04/2018	75501322	GILBERTSON, JAY		ABBVIE INC	S	2,671.81	0.00	0.00	0.00	80.0	0.00
04/04/2018	75501322	GILBERTSON, JAY		PHILIP MORRIS INTERNATIONAL INC	B	5,459.35	0.00	0.00	0.00	80.0	0.00
04/06/2018	75501322	GILBERTSON, JAY		BCE INC COM NEW	S	1,194.33	0.00	0.00	0.00	80.0	0.00
04/06/2018	75501322	GILBERTSON, JAY		DUKE ENERGY CORPORATION HOLDING COM B		625.25	0.00	0.00	0.00	80.0	0.00
04/06/2018	75501322	GILBERTSON, JAY		FACEBOOK INC CL A	S	314.26	0.00	0.00	0.00	80.0	0.00
04/06/2018	75501322	GILBERTSON, JAY		FACEBOOK INC CL A	S	628.52	0.00	0.00	0.00	80.0	0.00
04/06/2018	75501322	GILBERTSON, JAY		FACEBOOK INC CL A	S	942.78	0.00	0.00	0.00	80.0	0.00
04/06/2018	75501322	GILBERTSON, JAY		MERCK & CO INC	S	1,376.74	0.00	0.00	0.00	80.0	0.00
04/06/2018	75501322	GILBERTSON, JAY		OCCIDENTAL PETE CORP	B	934.05	0.00	0.00	0.00	80.0	0.00
04/06/2018	75501322	GILBERTSON, JAY		PHILIP MORRIS INTERNATIONAL INC	B	704.73	0.00	0.00	0.00	80.0	0.00
04/06/2018	75501322	GILBERTSON, JAY		PPL CORPORATION	B	670.73	0.00	0.00	0.00	80.0	0.00
04/06/2018	75501322	GILBERTSON, JAY		THERMO FISHER SCIENTIFIC INC	B	204.33	0.00	0.00	0.00	80.0	0.00
04/10/2018	75501322	GILBERTSON, JAY		SHERWIN WILLIAMS COMPANY	B	1,168.48	0.00	0.00	0.00	80.0	0.00
04/11/2018	75501322	GILBERTSON, JAY		ALKERMES PLC	S	427.45	0.00	0.00	0.00	80.0	0.00
04/11/2018	75501322	GILBERTSON, JAY		ALKERMES PLC	S	1,196.85	0.00	0.00	0.00	80.0	0.00
04/11/2018	75501322	GILBERTSON, JAY		CHINA LODGING GROUP LTD SPONSORED A S		1,459.81	0.00	0.00	0.00	80.0	0.00
04/11/2018	75501322	GILBERTSON, JAY		JAZZ PHARMACEUTICALS PLC US LISTED B		2,170.80	0.00	0.00	0.00	80.0	0.00
04/11/2018	75501322	GILBERTSON, JAY		SAGE THERAPEUTICS INC COM	B	1,343.45	0.00	0.00	0.00	80.0	0.00
04/11/2018	75501322	GILBERTSON, JAY		TESARO INC	S	167.42	0.00	0.00	0.00	80.0	0.00
04/11/2018	75501322	GILBERTSON, JAY		TESARO INC	S	279.03	0.00	0.00	0.00	80.0	0.00
04/12/2018	75501322	GILBERTSON, JAY		ACI WORLDWIDE INC	B	731.89	0.00	0.00	0.00	80.0	0.00
04/12/2018	75501322	GILBERTSON, JAY		SNAP-ON INC	S	1,165.23	0.00	0.00	0.00	80.0	0.00
04/12/2018	75501322	GILBERTSON, JAY		TERADATA CORP	B	1,015.21	0.00	0.00	0.00	80.0	0.00
04/16/2018	75501322	GILBERTSON, JAY		ESTEE LAUDER COMPANIES INC CL A	S	604.28	0.00	0.00	0.00	80.0	0.00
04/16/2018	75501322	GILBERTSON, JAY		ESTEE LAUDER COMPANIES INC CL A	S	604.28	0.00	0.00	0.00	80.0	0.00
04/17/2018	75501322	GILBERTSON, JAY		EDWARDS LIFESCIENCES CRP	S	829.14	0.00	0.00	0.00	80.0	0.00
04/19/2018	75501322	GILBERTSON, JAY		CARNIVAL CORP COMMON PAIRED STOCK	B	3,181.08	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/19/2018	75501322 GILBERTSON, JAY	MONSANTO CO NEW	S	373.33	0.00	0.00	0.00	80.0	0.00
04/19/2018	75501322 GILBERTSON, JAY	MONSANTO CO NEW	S	373.33	0.00	0.00	0.00	80.0	0.00
04/19/2018	75501322 GILBERTSON, JAY	MONSANTO CO NEW	S	746.67	0.00	0.00	0.00	80.0	0.00
04/19/2018	75501322 GILBERTSON, JAY	MONSANTO CO NEW	S	1,244.45	0.00	0.00	0.00	80.0	0.00
04/19/2018	75501322 GILBERTSON, JAY	TEXAS INSTRUMENTS INCORPORATED	B	303.68	0.00	0.00	0.00	80.0	0.00
04/20/2018	75501322 GILBERTSON, JAY	BCE INC COM NEW	S	1,131.19	0.00	0.00	0.00	80.0	0.00
04/20/2018	75501322 GILBERTSON, JAY	MERCK & CO INC	S	883.67	0.00	0.00	0.00	80.0	0.00
04/20/2018	75501322 GILBERTSON, JAY	PHILIP MORRIS INTERNATIONAL INC	B	843.06	0.00	0.00	0.00	80.0	0.00
04/25/2018	75501322 GILBERTSON, JAY	ACTIVISION BLIZZARD INC	B	711.91	0.00	0.00	0.00	80.0	0.00
04/26/2018	75501322 GILBERTSON, JAY	PEPSICO INC	S	931.37	0.00	0.00	0.00	80.0	0.00
04/06/2018	75501761 WRIGHTON, BRYAN	ABBVIE INC	S	622.63	0.00	0.00	0.00	80.0	0.00
04/06/2018	75501761 WRIGHTON, BRYAN	OCCIDENTAL PETE CORP	B	333.59	0.00	0.00	0.00	80.0	0.00
04/06/2018	75501761 WRIGHTON, BRYAN	PHILIP MORRIS INTERNATIONAL INC	B	201.35	0.00	0.00	0.00	80.0	0.00
04/06/2018	75501761 WRIGHTON, BRYAN	PPL CORPORATION	B	391.26	0.00	0.00	0.00	80.0	0.00
04/09/2018	75501761 WRIGHTON, BRYAN	WAGeworks INC	B	555.03	0.00	0.00	0.00	80.0	0.00
04/17/2018	75501761 WRIGHTON, BRYAN	COSTAR GROUP INC	S	1,500.64	0.00	0.00	0.00	80.0	0.00
04/20/2018	75501761 WRIGHTON, BRYAN	BCE INC COM NEW	S	796.02	0.00	0.00	0.00	80.0	0.00
04/20/2018	75501761 WRIGHTON, BRYAN	MERCK & CO INC	S	1,060.40	0.00	0.00	0.00	80.0	0.00
04/24/2018	75501761 WRIGHTON, BRYAN	BIO TECHNE CORP COM	S	454.49	0.00	0.00	0.00	80.0	0.00
04/24/2018	75501761 WRIGHTON, BRYAN	BLACKBAUD INC	S	420.15	0.00	0.00	0.00	80.0	0.00
04/24/2018	75501761 WRIGHTON, BRYAN	BLACKLINE INC COM	B	326.14	0.00	0.00	0.00	80.0	0.00
04/24/2018	75501761 WRIGHTON, BRYAN	GRAND CANYON EDUCATION INC	S	853.61	0.00	0.00	0.00	80.0	0.00
04/24/2018	75501761 WRIGHTON, BRYAN	LIGAND PHARMACEUTICALS INC	B	325.22	0.00	0.00	0.00	80.0	0.00
04/24/2018	75501761 WRIGHTON, BRYAN	MATADOR RESOURCES COMPANY	B	232.10	0.00	0.00	0.00	80.0	0.00
04/24/2018	75501761 WRIGHTON, BRYAN	MEDIDATA SOLUTIONS INC	S	281.65	0.00	0.00	0.00	80.0	0.00
04/24/2018	75501761 WRIGHTON, BRYAN	MESA LABS INC	S	342.53	0.00	0.00	0.00	80.0	0.00
04/24/2018	75501761 WRIGHTON, BRYAN	NVE CORPORATION	B	336.97	0.00	0.00	0.00	80.0	0.00
04/24/2018	75501761 WRIGHTON, BRYAN	OMNICELL INC	S	426.75	0.00	0.00	0.00	80.0	0.00
04/24/2018	75501761 WRIGHTON, BRYAN	PROTO LABS INC COM	B	356.93	0.00	0.00	0.00	80.0	0.00
04/24/2018	75501761 WRIGHTON, BRYAN	ROLLINS INC	S	1,462.39	0.00	0.00	0.00	80.0	0.00
04/06/2018	QGN005027 GOLDSMITH, ELY &	AMERICAN HOMES 4 RENT CL A	S	-70,707.41	351.12	0.00	351.12	80.0	280.90
04/11/2018	QGN005027 GOLDSMITH, ELY &	LANDMARK INFRASTRUCTURE PRTRN LP SE B		47,800.00	210.00	0.00	210.00	80.0	168.00
04/24/2018	QGN005027 GOLDSMITH, ELY &	BLUEKNIGHT ENERGY PARTNERS L P SER B		28,179.20	390.00	0.00	390.00	80.0	312.00
04/09/2018	QGN005514 JONATHAN T BARKER	AGNICO-EAGLE MINES LTD	S	-2,140.10	40.00	0.00	40.00	80.0	32.00
04/09/2018	QGN005514 JONATHAN T BARKER	ROYAL GOLD INC	S	-1,719.36	40.00	0.00	40.00	80.0	32.00
03/28/2018	QGN005555 HENRY L THOMPSON	DOMINION RESOURCES INC VA NEW	B	202,441.50	150.00	0.00	150.00	80.0	120.00
03/28/2018	QGN005555 HENRY L THOMPSON	DUKE ENERGY CORPORATION HOLDING COM S		-208,182.96	135.00	0.00	135.00	80.0	108.00
04/17/2018	QGN005712 DRURY W COOPER TRUST	AMPIO PHARMACEUTICALS INC	B	9,112.80	300.00	0.00	300.00	80.0	240.00
03/28/2018	QGN005738 MOZAIC LLC	FACEBOOK INC CL A	S	-25,405.10	20.00	0.00	20.00	80.0	16.00
03/28/2018	QGN005886 MOZAIC LLC	FACEBOOK INC CL A	S	-6,620.52	20.00	0.00	20.00	80.0	16.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
03/29/2018	QGN005936	MICHAEL D EISNER	FACEBOOK INC CL A	S	-6,583,154.57	1,230.75	0.00	1,230.75	80.0	984.60
04/09/2018	QGN006124	ANDERS D EISNER	MONDELEZ INTERNATIONAL INC COM	S	-399,660.60	50.00	0.00	50.00	80.0	40.00
03/28/2018	QGN006173	MOZAIC LLC	ADOBE SYSTEMS INC	B	249,923.32	50.00	0.00	50.00	80.0	40.00
03/28/2018	QGN006173	MOZAIC LLC	INTEL CORP	B	251,764.78	50.00	0.00	50.00	80.0	40.00
03/28/2018	QGN006199	MOZAIC LLC	ADOBE SYSTEMS INC	B	499,846.64	50.00	0.00	50.00	80.0	40.00
03/28/2018	QGN006199	MOZAIC LLC	INTEL CORP	B	503,529.56	50.00	0.00	50.00	80.0	40.00
04/10/2018	QGN006413	HARRIS, BRIANNA	COMCAST CORP CL A	B	7,430.85	20.00	0.00	20.00	80.0	16.00
04/10/2018	QGN006413	HARRIS, BRIANNA	WELLS FARGO & CO	S	-5,250.16	20.00	0.00	20.00	80.0	16.00
04/20/2018	QGW006942	FAMILY, SAC	APPLE INC	B	664,144.00	10,000.00	0.00	10,000.00	80.0	8,000.00
04/20/2018	QGW006942	FAMILY, SAC	APPLE INC	S	-660,000.00	12,000.00	0.00	12,000.00	80.0	9,600.00
04/11/2018	QGW031544	HEALY, TIMOTHY	BARCLAYS BK PLC IPATH BLOOMBERG COM B		12,502.50	90.00	0.00	90.00	80.0	72.00
* STOCKS SUBTOTAL *					\$-5,431,257.86	\$25,266.87	\$0.00	\$25,266.87		\$20,213.50
PRODUCT CATEGORY: BONDS										
04/11/2018	QGW006959	FAMILY, SAC	SOCIETE GENERALE MTN REV CONV LKD T B		200,000.00	2,500.00	0.00	2,500.00	80.0	2,000.00
* BONDS SUBTOTAL *					\$200,000.00	\$2,500.00	\$0.00	\$2,500.00		\$2,000.00
PRODUCT CATEGORY: OPTIONS										
04/02/2018	QGW031551	HEALY, TIMOTHY	PUT 100 CARNIVAL CORP PAIRED CTF 1 S	S	-1,480.00	40.00	0.00	40.00	80.0	32.00
04/05/2018	QGW031551	HEALY, TIMOTHY	PUT 100 TESLA INC EXP 09-21-18 @200 B		11,600.00	40.00	0.00	40.00	80.0	32.00
* OPTIONS SUBTOTAL *					\$10,120.00	\$80.00	\$0.00	\$80.00		\$64.00
PRODUCT CATEGORY: RIA										
04/01/2018	75501322	GILBERTSON, JAY	FAS FEES PT. I/III	B	-6,140.11	1,266.40	0.00	1,266.40	80.0	1,013.12
04/01/2018	75501761	WRIGHTON, BRYAN	FAS FEES PT. I/III	B	-2,626.03	541.12	0.00	541.12	80.0	432.90
04/01/2018	75502027	HACKEL, STUART	FAS FEES PT. I/III	B	0.00	60.95	0.00	60.95	80.0	48.76
04/01/2018	75502029	HACKEL, STUART	FAS FEES PT. I/III	B	0.00	69.95	0.00	69.95	80.0	55.96
04/01/2018	75502030	RYNN, SUSAN	FAS FEES PT. I/III	B	0.00	501.36	0.00	501.36	80.0	401.09
04/01/2018	75502031	RYNN, SUSAN	FAS FEES PT. I/III	B	0.00	10.88	0.00	10.88	80.0	8.70
04/01/2018	75502032	HACKEL, STUART	FAS FEES PT. I/III	B	-1,795.92	0.00	0.00	0.00	80.0	0.00
04/01/2018	75502032	HACKEL, STUART	FAS FEES PT. I/III	B	-266.00	0.00	0.00	0.00	80.0	0.00
04/01/2018	75502032	HACKEL, STUART	FAS FEES PT. I/III	B	-58.07	0.00	0.00	0.00	80.0	0.00
04/01/2018	75502032	HACKEL, STUART	FAS FEES PT. I/III	B	-968.97	250.31	0.00	250.31	80.0	200.25
04/01/2018	75502032	HACKEL, STUART	FAS FEES PT. I/III	B	-305.27	0.00	0.00	0.00	80.0	0.00
04/16/2018	75502032	HACKEL, STUART	FAS FEES PT. I/III	B	21.65	-3.31	0.00	-3.31	80.0	-2.65
* RIA SUBTOTAL *					\$-12,138.72	\$2,697.66	\$0.00	\$2,697.66		\$2,158.13
*** BROKER TRANSACTIONS TOTAL ***					\$-5,233,276.58	\$30,544.53	\$0.00	\$30,544.53		\$24,435.63

BROKER COMMISSION TOTALS

Broker Transactions	\$24,435.63
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-38.18
SIPC Assessment	\$-76.36

Check Amount	\$24,321.09
Year-to-date Earnings	\$78,744.08