



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 15, 2017

05/11/2017
 Page No. 1

BRION NORBY
 4905 Prairie Lane

BROKER #: N121
 BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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SPLIT TRANSACTIONS

BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332

05/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	180.00	3.38	0.00	3.38	90.0	3.04
05/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	225.00	4.22	0.00	4.22	90.0	3.80
05/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	280.00	5.25	0.00	5.25	90.0	4.73
05/02/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	160.00	3.00	0.00	3.00	90.0	2.70
04/14/2017	HELLER, VICKIE	HPI FUND V	34,500.00	1,035.00	0.00	1,035.00	90.0	931.50
04/14/2017	HELLER, VICKIE	SEALEY STRATEGIC EQUITY PARTNERS LP	68,000.00	2,380.00	0.00	2,380.00	90.0	2,142.00

*** BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL ***

\$103,345.00 \$3,430.85 \$0.00 \$3,430.85 \$3,087.77

BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333

04/13/2017	LUCENTI, CHRISTINA	JH LIFESTYLE BALANCED PF C	4,675.00	5.14	0.00	5.14	90.0	4.63
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*** JBB JEN-BRENT-BRION TRU-DONAI-LEE- SUBTOTAL ***

\$4,675.00 \$5.14 \$0.00 \$5.14 \$4.63

BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335

05/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	111.00	1.22	0.00	1.22	90.0	1.10
05/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	58.00	0.64	0.00	0.64	90.0	0.58
05/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	73.00	0.80	0.00	0.80	90.0	0.72
05/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	74.00	0.82	0.00	0.82	90.0	0.74
05/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	60.00	0.66	0.00	0.66	90.0	0.59
05/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.55	0.00	0.55	90.0	0.50
05/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.55	0.00	0.55	90.0	0.50
05/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	111.00	1.22	0.00	1.22	90.0	1.10
05/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	65.00	0.72	0.00	0.72	90.0	0.65
05/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	57.00	0.63	0.00	0.63	90.0	0.57
05/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	72.00	0.79	0.00	0.79	90.0	0.71
05/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	74.00	0.82	0.00	0.82	90.0	0.74
05/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	65.00	0.72	0.00	0.72	90.0	0.65
05/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.55	0.00	0.55	90.0	0.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
05/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.55	0.00	0.55	90.0	0.50
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$1,020.00	\$11.24	\$0.00	\$11.24		\$10.15
*** SPLIT TRANSACTIONS TOTAL ***			\$109,040.00	\$3,447.23	\$0.00	\$3,447.23		\$3,102.55

ADJUSTMENTS

05/15/2017		*CONCIERGE SVC: 189759 & 190583 AAF	0.00					-40.00
05/15/2017		*CONCIERGE SVC: 189781 & 190081 KAS	0.00					-40.00
05/15/2017		*CONCIERGE SVC: 190055,58,61,88,95	0.00					-125.00
05/15/2017		*CONCIERGE SVC: 191111 LARAMIE	0.00					-5.50
05/15/2017		*CONCIERGE SVC: CONCIERGE SVC	0.00					-25.00
05/15/2017		*EO INS: CURRENT MONTH E&O	0.00					-300.00
05/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-2.25
05/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-3.19
05/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-39.00
05/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-20.76
05/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.78
05/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.17
05/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-4.23
05/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-880.88

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$3,102.55
Override Transactions	\$0.00
Adjustments	\$-880.88
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,221.67
Year-to-date Gross	\$31,973.33
Year-to-date Earnings	\$28,770.10