LIBERTY PARTNERS

STATEMENT April 20, 2018

GLENN GERSON

11190 SW Springtree Terrace SUITE 205 Port St. Lucie, FL 34987 BROKER #: U01

BRANCH: LU BRANCH

COMMISSION STATEMENT for GLENN GERSON

DATE CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE POSTA	NET GE COMMISSION	CLEARING CHARGE	BROKER COMMISSION
	BROKER TRA	NSACTIONS					
PRODUCT CATEGORY: STOCKS							
03/01/2018 # WANG, JIAN	FANG HOLDINGS LIMITED ADR	7,664.00	50.00	90.0 20.	00 65.00	19.95	45.05
03/05/2018 # GRABEL, GARY	CAPRICOR THERAPEUTICS INC	8,850.00	442.50	90.0 20.	00 418.25	19.95	398.30
03/14/2018 # GRABEL, ROSANNA	CAPRICOR THERAPEUTICS INC	8,850.00	442.50	90.0 20.	00 418.25	19.95	398.30
03/14/2018 # GRABEL, ROSANNA	COLONY NORTHSTAR INC CL A COM	13,880.56	437.75	90.0 20.	00 413.98	19.95	394.03
03/14/2018 # FANG, MING	GILEAD SCIENCES INC	69,750.00	767.07	90.0 20.	00 710.36	19.95	690.41
03/14/2018 # FANG, MING	GILEAD SCIENCES INC	-69,750.00	-767.07	90.0 20.	00 -670.36	19.95	-690.31
03/14/2018 # FANG, MING	GILEAD SCIENCES INC	69,750.00	1,723.83	90.0 20.	00 1,571.45	19.95	1,551.50
03/16/2018 # GRABEL, GARY	COLONY NORTHSTAR INC CL A COM	14,875.00	453.52	90.0 20.	00 428.17	19.95	408.22
03/16/2018 # FANG, MING	GILEAD SCIENCES INC	7,750.00	105.00	90.0 20.	00 114.50	19.95	94.55
03/26/2018 # GRABEL, GARY	CAPRICOR THERAPEUTICS INC	6,791.50	339.58	90.0 20.	00 325.62	19.95	305.67
03/26/2018 # GRABEL, GARY	CAPRICOR THERAPEUTICS INC	6,797.50	339.88	90.0 20.	00 325.89	19.95	305.94
03/26/2018 # GRABEL, ROSANNA	CAPRICOR THERAPEUTICS INC	6,794.78	339.74	90.0 20.	00 325.77	19.95	305.82
	* STOCKS SUBTOTAL *	\$152,003.34	\$4,674.30	\$240	00 \$4,446.88	\$239.40	\$4,207.48
PRODUCT CATEGORY: OPTIONS							
03/20/2018 # GRABEL, GARY	PUT O 09/21/18 40	2,504.00	125.20	90.0 20.	00 132.68	64.95	67.73
03/20/2018 # GRABEL, GARY	PUT TSLA 09/21/18 155	9,600.00	401.11	90.0 20.	00 381.00	46.95	334.05
03/21/2018 # GRABEL, GARY	CALL ECYT 07/20/18 15	5,000.00	250.00	90.0 20.	00 245.00	64.95	180.05
03/21/2018 # GRABEL, GARY	CALL RDNT 09/21/18 15	3,750.00	187.50	90.0 20.	00 188.75	64.95	123.80
03/22/2018 # GRABEL, GARY	CALL RDNT 09/21/18 15	4,750.00	237.50	90.0 20.	00 233.75	64.95	168.80
	* OPTIONS SUBTOTAL *	\$25,604.00	\$1,201.31	\$100	00 \$1,181.18	\$306.75	\$874.43
PRODUCT CATEGORY: MUTUAL FU	ND 12B1 TRAILS						
03/09/2018 # WEI, HONG SHENG	DAIN TRAILER	0.00	13.51	90.0 0.	00 12.16	0.00	12.16
03/09/2018 # LIANG, YUN PING	DAIN TRAILER	0.00	0.61	90.0 0.	00 0.55	0.00	0.55
03/09/2018 # PAN, CHIN YI	DAIN TRAILER	0.00	0.38	90.0 0.	00 0.34	0.00	0.34
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$14.50	\$0.	00 \$13.05	\$0.00	\$13.05
	*** BROKER TRANSACTIONS TOTAL ***	\$177,607.34	\$5,890.11	\$340.	00 \$5,641.11	\$546.15	\$5,094.96

04/17/2018 Page No. 2 COMMISSION STATEMENT for GLENN GERSON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE POSTA	NET SE COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		OVERDIDE						
		OVERRIDE	TRANSACTIONS					
		PARKIH, DHRUMIL		4,549.77				455.02
		PRASAD, ABHISHEK		13,874.93				1,387.54
		*** OVERRIDE TRANSACTIONS TOTAL *	**	\$18,424.70			•	\$1,842.56
ADJUSTMENTS								
04/20/20	18	BETA BACK OFFICE: ADMIN FEE						-390.00
04/20/20	18	E & O INSURANCE: E & O INSURANCE						-249.00
04/20/20	18	MWWOD:MISCELLANEOUS: WRITE-OFF						-2.00
		DEBIT BALANCE						
04/20/20	18	MWWOD:MISCELLANEOUS: WRITE-OFF						-2.00
		DEBIT BALANCE						
04/20/20	18	MWWOD:MISCELLANEOUS: WRITE-OFF						-2.00
		DEBIT BALANCE						
04/20/20	18	FINRA: FINRA ANNUAL ASSESMENT FEE						-215.00
		*** ADJUSTMENTS TOTAL *	**				•	\$-860.00
						DOVED COMM		73.T.C

BROKER	COMMISSION	TOTALS
DRUKEK	COLLEGE TON	TOTALS

Broker Transactions	\$5,094.96
Split Transactions	\$0.00
Override Transactions	\$1,842.56
Adjustments	\$-860.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

\$6,077.52

Check Amount