



# COMMISSION STATEMENT

## January 29, 2018

DENNIS CONNOR  
9 Woodland Rd.  
Miller Place, NY 11764

BROKER #: A87  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/10/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 08352452703	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
01/10/2018	***-**-02IN - MANCHESTER, ACCOUNT #: 08352452714	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	75.00	3.00	0.00	3.00	75.0	2.25
01/08/2018	***-**-52IN - METZLER, JANET ACCOUNT #: 02154900346	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
01/10/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 02156080382	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	21.25	1.01	0.00	1.01	75.0	0.76
01/08/2018	***-**-52IN - METZLER, JANET ACCOUNT #: 08251985687	INTERNATIONAL GROWTH FUND A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
01/10/2018	***-**-02IN - MANCHESTER, ACCOUNT #: 08152119953	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	75.00	3.00	0.00	3.00	75.0	2.25
01/10/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 08152121988	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
01/10/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 07005702293	MAIN STREET FUND A BUY 0 Units @0.0000	41.25	1.96	0.00	1.96	75.0	1.47
01/10/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 07859297629	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	21.25	1.01	0.00	1.01	75.0	0.76
01/10/2018	***-**-02IN - MANCHESTER, ACCOUNT #: 08477443689	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	75.00	3.00	0.00	3.00	75.0	2.25
01/10/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 02514874144	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
01/10/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 05900733095	REAL ESTATE FUND A BUY 0 Units @0.0000	20.00	0.95	0.00	0.95	75.0	0.71
01/08/2018	***-**-52IN - METZLER, JANET ACCOUNT #: 06000341495	SELECT VALUE FUND A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
01/08/2018	***-**-52IN - METZLER, JANET ACCOUNT #: 07210302126	SMALL- & MID-CAP GROWTH FUND A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
01/10/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 07210729069	SMALL- & MID-CAP GROWTH FUND A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
01/10/2018	***-**-02IN - MANCHESTER, ACCOUNT #: 07210729080	SMALL- & MID-CAP GROWTH FUND A BUY 0 Units @0.0000	75.00	3.00	0.00	3.00	75.0	2.25



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u></b>								
01/10/2018	***-**-0075 - MEHIT, LAURA	SMALL- & MID-CAP GROWTH FUND A	21.25	1.01	0.00	1.01	75.0	0.76
	ACCOUNT #: 07210834460	BUY 0 Units @0.0000						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$725.00</b>	<b>\$32.18</b>	<b>\$0.00</b>	<b>\$32.18</b>		<b>\$24.18</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/29/2018	***-**-6710 - EVA JAYNE	ALLIANZ AGIC CONVERTIBLE FD CL A	0.00	0.54	0.00	0.54	75.0	0.41
	ACCOUNT #: LSR011403	BUY 1 Units @0.0000						
01/03/2018	***-**-7753 - WERNER,	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	6.81	0.00	6.81	75.0	5.11
	ACCOUNT #: 01222976639	BUY 0 Units @0.0000						
01/03/2018	***-**-3590 - HEALY, THOMAS	ALLIANZ NFJ INTERNATIONAL FUND CLAS	0.00	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 01221473406	BUY 0 Units @0.0000						
01/03/2018	***-**-2635 - HALE, JONATHAN	ALLIANZ NFJ LARGE CAP VALUE FUND CL	0.00	2.72	0.00	2.72	75.0	2.04
	ACCOUNT #: 01221822669	BUY 0 Units @0.0000						
01/03/2018	***-**-9220 -	ALLIANZ NFJ LARGE CAP VALUE FUND CL	0.00	1.79	0.00	1.79	75.0	1.34
	ACCOUNT #: 01221974874	BUY 0 Units @0.0000						
01/03/2018	***-**-3904 - DENNIS, CONNOR	ALLIANZ NFJ LARGE CAP VALUE FUND CL	0.00	3.04	0.00	3.04	75.0	2.28
	ACCOUNT #: 01226314548	BUY 0 Units @0.0000						
01/03/2018	***-**-4572 - MELIN, LUCILLE	ALLIANZ NFJ LARGE CAP VALUE FUND CL	0.00	2.91	0.00	2.91	75.0	2.18
	ACCOUNT #: 01218043212	BUY 0 Units @0.0000						
01/03/2018	***-**-3982 - VANNI, JEANETTE	ALLIANZ NFJ LARGE CAP VALUE FUND CL	0.00	4.27	0.00	4.27	75.0	3.20
	ACCOUNT #: 01226790564	BUY 0 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	ALLIANZ NFJ LARGE CAP VALUE FUND CL	0.00	3.89	0.00	3.89	75.0	2.92
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-033I - KATZENBERGER,	ALLIANZ NFJ LARGE CAP VALUE FUND CL	0.00	1.36	0.00	1.36	75.0	1.02
	ACCOUNT #: LSR011507	BUY 1 Units @0.0000						
01/03/2018	***-**-6935 - FINK, GEORGE	ALLIANZ NFJ SMALL CAP VALUE FUND CL	0.00	13.72	0.00	13.72	75.0	10.29
	ACCOUNT #: 01215900091	BUY 0 Units @0.0000						
01/03/2018	***-**-1832 - WEISMAN, DORIS	ALLIANZ OCC RENAISSANCE FUND CLASS	0.00	3.57	0.00	3.57	75.0	2.68
	ACCOUNT #: 01216809861	BUY 0 Units @0.0000						
01/03/2018	***-**-63IN - FANNAN, RAYMOND	ALLIANZ OCC RENAISSANCE FUND CLASS	0.00	0.56	0.00	0.56	75.0	0.42
	ACCOUNT #: 01221496142	BUY 0 Units @0.0000						
01/03/2018	***-**-2635 - HALE, JONATHAN	ALLIANZ OCC RENAISSANCE FUND CLASS	0.00	4.14	0.00	4.14	75.0	3.11
	ACCOUNT #: 01221822669	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/03/2018	***-**-9220 - ACCOUNT #: 01221974874	ALLIANZ OCC RENAISSANCE FUND CLASS BUY 0 Units @0.0000	0.00	4.09	0.00	4.09	75.0	3.07
01/03/2018	***-**-3904 - DENNIS, CONNOR ACCOUNT #: 01226314548	ALLIANZ OCC RENAISSANCE FUND CLASS BUY 0 Units @0.0000	0.00	4.83	0.00	4.83	75.0	3.62
01/03/2018	***-**-3754 - MAHER, EDWARD ACCOUNT #: 01216556629	ALLIANZ OCC RENAISSANCE FUND CLASS BUY 0 Units @0.0000	0.00	2.59	0.00	2.59	75.0	1.94
01/03/2018	***-**-4572 - MELIN, LUCILLE ACCOUNT #: 01218043212	ALLIANZ OCC RENAISSANCE FUND CLASS BUY 0 Units @0.0000	0.00	4.68	0.00	4.68	75.0	3.51
01/03/2018	***-**-3982 - VANNI, JEANETTE ACCOUNT #: 01226790564	ALLIANZ OCC RENAISSANCE FUND CLASS BUY 0 Units @0.0000	0.00	2.57	0.00	2.57	75.0	1.93
01/29/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	ALLIANZ OCC RENAISSANCE FUND CLASS BUY 1 Units @0.0000	0.00	5.76	0.00	5.76	75.0	4.32
01/29/2018	***-**-033I - KATZENBERGER, ACCOUNT #: LSR011507	ALLIANZ OCC RENAISSANCE FUND CLASS BUY 1 Units @0.0000	0.00	2.09	0.00	2.09	75.0	1.57
01/29/2018	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	ALLIANZ OCC RENAISSANCE FUND CLASS BUY 1 Units @0.0000	0.00	1.91	0.00	1.91	75.0	1.43
01/03/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: 01216265361	ALLIANZ RCM GLOBAL SMALL-CAP FUND C BUY 0 Units @0.0000	0.00	12.76	0.00	12.76	75.0	9.57
01/03/2018	***-**-4572 - MELIN, LUCILLE ACCOUNT #: 01218043212	ALLIANZ RCM GLOBAL SMALL-CAP FUND C BUY 0 Units @0.0000	0.00	2.97	0.00	2.97	75.0	2.23
01/03/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 01219780457	ALLIANZGI HEALTH SCIENCES A BUY 0 Units @0.0000	0.00	10.25	0.00	10.25	75.0	7.69
01/29/2018	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	ALLIANZGI ULTRA MICRO CAP CL A BUY 1 Units @0.0000	0.00	1.28	0.00	1.28	75.0	0.96
01/29/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	BLACKROCK 09251M108 BUY 1 Units @0.0000	0.00	6.17	0.00	6.17	75.0	4.63
01/29/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK 09251M108 BUY 1 Units @0.0000	0.00	2.50	0.00	2.50	75.0	1.88
01/29/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	BLACKROCK 09251M108 BUY 1 Units @0.0000	0.00	1.75	0.00	1.75	75.0	1.31
01/29/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK FLOATING RATE INC PORT IN BUY 1 Units @0.0000	0.00	1.23	0.00	1.23	75.0	0.92



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/29/2018	***-**-1832 - WEISMAN, DORIS	BLACKROCK GLBL SCI & TECH OPPORT CL	0.00	5.30	0.00	5.30	75.0	3.98
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
01/29/2018	***-**-1832 - WEISMAN, DORIS	BLACKROCK GLOBAL ALLOCATION FUND IN	0.00	3.15	0.00	3.15	75.0	2.36
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK GLOBAL DIVIDEND PORT CL A	0.00	2.14	0.00	2.14	75.0	1.61
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK GLOBAL RESOURCES FUND CLA	0.00	0.65	0.00	0.65	75.0	0.49
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK GLOBAL SMALL CAP FD CL A	0.00	7.19	0.00	7.19	75.0	5.39
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK GNMA PORT CLASS A	0.00	1.56	0.00	1.56	75.0	1.17
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK HEALTH SCIENCES FUND CLAS	0.00	11.64	0.00	11.64	75.0	8.73
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-033I - KATZENBERGER,	BLACKROCK HEALTH SCIENCES FUND CLAS	0.00	2.76	0.00	2.76	75.0	2.07
	ACCOUNT #: LSR011507	BUY 1 Units @0.0000						
01/29/2018	***-**-3590 - HEALY, THOMAS	BLACKROCK HEALTH SCIENCES FUND CLAS	0.00	2.13	0.00	2.13	75.0	1.60
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
01/29/2018	***-**-70IN - CUTILLO, JAMES	BLACKROCK HEALTH SCIENCES FUND CLAS	0.00	1.17	0.00	1.17	75.0	0.88
	ACCOUNT #: LSR011647	BUY 1 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK HIGH YIELD BOND FUND CLAS	0.00	3.21	0.00	3.21	75.0	2.41
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-3590 - HEALY, THOMAS	BLACKROCK HIGH YIELD BOND FUND CLAS	0.00	1.37	0.00	1.37	75.0	1.03
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK INVESTMENT GRADE BOND POR	0.00	1.64	0.00	1.64	75.0	1.23
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK MULTI ASSET INCOME PORT A	0.00	3.15	0.00	3.15	75.0	2.36
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK STRATEGIC INC OPP PORT CL	0.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-1832 - WEISMAN, DORIS	BLACKROCK US OPPORT CL A	0.00	7.27	0.00	7.27	75.0	5.45
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/29/2018	***-**-101I - LEMOINE, STEVEN	CALAMOS GROWTH AND INCOME CLASS A	0.00	7.55	0.00	7.55	75.0	5.66
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	CALAMOS GROWTH FUND CLASS A	0.00	8.18	0.00	8.18	75.0	6.14
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-033I - KATZENBERGER,	CALAMOS GROWTH FUND CLASS A	0.00	4.52	0.00	4.52	75.0	3.39
	ACCOUNT #: LSR011507	BUY 1 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	CALAMOS HIGH INCOME CLASS A	0.00	4.96	0.00	4.96	75.0	3.72
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/26/2018	***-**-3590 - HEALY, THOMAS	CALAMOS TRAILS	0.00	25.40	0.00	25.40	75.0	19.05
	ACCOUNT #: 06020002347	BUY 0 Units @0.0000						
01/26/2018	***-**-3754 - MAHER, EDWARD	CALAMOS TRAILS	0.00	8.22	0.00	8.22	75.0	6.17
	ACCOUNT #: 06020007502	BUY 0 Units @0.0000						
01/26/2018	***-**-6935 - FINK, GEORGE	CALAMOS TRAILS	0.00	22.48	0.00	22.48	75.0	16.86
	ACCOUNT #: 06020010270	BUY 0 Units @0.0000						
01/26/2018	***-**-5276 - JENNERICH,	CALAMOS TRAILS	0.00	4.94	0.00	4.94	75.0	3.71
	ACCOUNT #: 06020015450	BUY 0 Units @0.0000						
01/26/2018	***-**-2671 - LAPHAM, JOHN	CALAMOS TRAILS	0.00	5.81	0.00	5.81	75.0	4.36
	ACCOUNT #: 06020018728	BUY 0 Units @0.0000						
01/26/2018	***-**-7193 - GALE, WALTER	CALAMOS TRAILS	0.00	2.24	0.00	2.24	75.0	1.68
	ACCOUNT #: 06020020185	BUY 0 Units @0.0000						
01/26/2018	***-**-5276 - JENNERICH,	CALAMOS TRAILS	0.00	3.36	0.00	3.36	75.0	2.52
	ACCOUNT #: 06020021674	BUY 0 Units @0.0000						
01/26/2018	***-**-0804 - GALE, MARY	CALAMOS TRAILS	0.00	1.24	0.00	1.24	75.0	0.93
	ACCOUNT #: 06020026130	BUY 0 Units @0.0000						
01/26/2018	***-**-7560 - ELLEN, BEVERLY	CALAMOS TRAILS	0.00	4.72	0.00	4.72	75.0	3.54
	ACCOUNT #: 06020026581	BUY 0 Units @0.0000						
01/26/2018	***-**-4303 - OSBORNE,	CALAMOS TRAILS	0.00	3.62	0.00	3.62	75.0	2.72
	ACCOUNT #: 01460045182	BUY 0 Units @0.0000						
01/26/2018	***-**-3904 - DENNIS, CONNOR	CALAMOS TRAILS	0.00	5.87	0.00	5.87	75.0	4.40
	ACCOUNT #: 01460045226	BUY 0 Units @0.0000						
01/26/2018	***-**-1832 - WEISMAN, DORIS	CALAMOS TRAILS	0.00	3.72	0.00	3.72	75.0	2.79
	ACCOUNT #: 01460046868	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/26/2018	***-**-3740 - KAVANAGH, KELLY	CALAMOS TRAILS	0.00	8.96	0.00	8.96	75.0	6.72
	ACCOUNT #: 01460047144	BUY 0 Units @0.0000						
01/26/2018	***-**-3590 - HEALY, THOMAS	CALAMOS TRAILS	0.00	32.59	0.00	32.59	75.0	24.44
	ACCOUNT #: 06020002347	BUY 0 Units @0.0000						
01/26/2018	***-**-9241 - PITTS, MICHAEL	CALAMOS TRAILS	0.00	9.19	0.00	9.19	75.0	6.89
	ACCOUNT #: 06020004133	BUY 0 Units @0.0000						
01/26/2018	***-**-3754 - MAHER, EDWARD	CALAMOS TRAILS	0.00	11.94	0.00	11.94	75.0	8.96
	ACCOUNT #: 06020007502	BUY 0 Units @0.0000						
01/26/2018	***-**-6935 - FINK, GEORGE	CALAMOS TRAILS	0.00	24.97	0.00	24.97	75.0	18.73
	ACCOUNT #: 06020010270	BUY 0 Units @0.0000						
01/26/2018	***-**-5276 - JENNERICH,	CALAMOS TRAILS	0.00	8.40	0.00	8.40	75.0	6.30
	ACCOUNT #: 06020015450	BUY 0 Units @0.0000						
01/26/2018	***-**-2671 - LAPHAM, JOHN	CALAMOS TRAILS	0.00	9.36	0.00	9.36	75.0	7.02
	ACCOUNT #: 06020018728	BUY 0 Units @0.0000						
01/26/2018	***-**-2635 - HALE, JONATHAN	CALAMOS TRAILS	0.00	4.45	0.00	4.45	75.0	3.34
	ACCOUNT #: 06020019229	BUY 0 Units @0.0000						
01/26/2018	***-**-7193 - GALE, WALTER	CALAMOS TRAILS	0.00	6.62	0.00	6.62	75.0	4.97
	ACCOUNT #: 06020020185	BUY 0 Units @0.0000						
01/26/2018	***-**-5276 - JENNERICH,	CALAMOS TRAILS	0.00	6.12	0.00	6.12	75.0	4.59
	ACCOUNT #: 06020021674	BUY 0 Units @0.0000						
01/26/2018	***-**-2773 - DASARO, ANN	CALAMOS TRAILS	0.00	10.24	0.00	10.24	75.0	7.68
	ACCOUNT #: 6020024982	BUY 0 Units @0.0000						
01/26/2018	***-**-0804 - GALE, MARY	CALAMOS TRAILS	0.00	3.68	0.00	3.68	75.0	2.76
	ACCOUNT #: 06020026130	BUY 0 Units @0.0000						
01/26/2018	***-**-7560 - ELLEN, BEVERLY	CALAMOS TRAILS	0.00	12.34	0.00	12.34	75.0	9.26
	ACCOUNT #: 06020026581	BUY 0 Units @0.0000						
01/26/2018	***-**-7560 - ELLEN, BEVERLY	CALAMOS TRAILS	0.00	12.58	0.00	12.58	75.0	9.44
	ACCOUNT #: 06020026817	BUY 0 Units @0.0000						
01/26/2018	***-**-3904 - DENNIS, CONNOR	CALAMOS TRAILS	0.00	2.61	0.00	2.61	75.0	1.96
	ACCOUNT #: 01460045226	BUY 0 Units @0.0000						
01/26/2018	***-**-3754 - MAHER, EDWARD	CALAMOS TRAILS	0.00	4.46	0.00	4.46	75.0	3.35
	ACCOUNT #: 06020007502	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/26/2018	***-**-7193 - GALE, WALTER	CALAMOS TRAILS	0.00	1.86	0.00	1.86	75.0	1.40
	ACCOUNT #: 06020020185	BUY 0 Units @0.0000						
01/26/2018	***-**-0804 - GALE, MARY	CALAMOS TRAILS	0.00	1.03	0.00	1.03	75.0	0.77
	ACCOUNT #: 06020026130	BUY 0 Units @0.0000						
01/26/2018	***-**-7560 - ELLEN, BEVERLY	CALAMOS TRAILS	0.00	0.09	0.00	0.09	75.0	0.07
	ACCOUNT #: 06020026581	BUY 0 Units @0.0000						
01/26/2018	***-**-3904 - DENNIS, CONNOR	CALAMOS TRAILS	0.00	1.93	0.00	1.93	75.0	1.45
	ACCOUNT #: 01460045226	BUY 0 Units @0.0000						
01/26/2018	***-**-5276 - JENNERICH,	CALAMOS TRAILS	0.00	2.75	0.00	2.75	75.0	2.06
	ACCOUNT #: 06020021674	BUY 0 Units @0.0000						
01/26/2018	***-**-6155 - LIPKOWITZ, VICKI	CALAMOS TRAILS	0.00	7.90	0.00	7.90	75.0	5.93
	ACCOUNT #: 06020028767	BUY 0 Units @0.0000						
01/26/2018	***-**-9241 - PITTS, MICHAEL	CALAMOS TRAILS	0.00	9.27	0.00	9.27	75.0	6.95
	ACCOUNT #: 06020001088	BUY 0 Units @0.0000						
01/26/2018	***-**-6155 - LIPKOWITZ, VICKI	CALAMOS TRAILS	0.00	19.27	0.00	19.27	75.0	14.45
	ACCOUNT #: 06020028767	BUY 0 Units @0.0000						
01/26/2018	***-**-6155 - LIPKOWITZ, VICKI	CALAMOS TRAILS	0.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: 06020028767	BUY 0 Units @0.0000						
01/29/2018	***-**-04CL - CONNOR, CLIENTS	DELAWARE INV MF TRAILS	0.00	1.55	0.00	1.55	75.0	1.16
	ACCOUNT #: 6000181415	BUY 0 Units @0.0000						
01/16/2018	***-**-4546 - KENNEDY,	DEUTSCHE MID CAP VALUE FUND-A	0.00	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00026229793	BUY 0 Units @0.0000						
01/16/2018	***-**-4546 - KENNEDY,	DEUTSCHE SMALL CAP CORE FUND-A	0.00	0.65	0.00	0.65	75.0	0.49
	ACCOUNT #: 00026229793	BUY 0 Units @0.0000						
01/16/2018	***-**-6155 - LIPKOWITZ, VICKI	DEUTSCHE SMALL CAP GROWTH FND -A	0.00	0.76	0.00	0.76	75.0	0.57
	ACCOUNT #: 00000256552	BUY 0 Units @0.0000						
01/16/2018	***-**-4546 - KENNEDY,	DEUTSCHE SMALL CAP GROWTH FND -A	0.00	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 00026229793	BUY 0 Units @0.0000						
01/16/2018	***-**-6155 - LIPKOWITZ, VICKI	DWS TRAILS 25157M10	0.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: 00000256552	BUY 0 Units @0.0000						
01/16/2018	***-**-4546 - KENNEDY,	DWS TRAILS 25157M10	0.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 00026229793	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/16/2018	***-**-6155 - LIPKOWITZ, VICKI	DWS TRAILS 25157M72	0.00	1.35	0.00	1.35	75.0	1.01
	ACCOUNT #: 00000256552	BUY 0 Units @0.0000						
01/16/2018	***-**-4546 - KENNEDY,	DWS TRAILS 25157M72	0.00	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 00026229793	BUY 0 Units @0.0000						
01/16/2018	***-**-6155 - LIPKOWITZ, VICKI	DWS TRAILS 25159G78	0.00	2.05	0.00	2.05	75.0	1.54
	ACCOUNT #: 00000256552	BUY 0 Units @0.0000						
01/16/2018	***-**-4546 - KENNEDY,	DWS TRAILS 25159G78	0.00	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00026229793	BUY 0 Units @0.0000						
01/16/2018	***-**-4546 - KENNEDY,	DWS TRAILS 25159L50	0.00	0.68	0.00	0.68	75.0	0.51
	ACCOUNT #: 00026229793	BUY 0 Units @0.0000						
01/16/2018	***-**-6155 - LIPKOWITZ, VICKI	DWS TRAILS 25159L56	0.00	0.40	0.00	0.40	75.0	0.30
	ACCOUNT #: 00000256552	BUY 0 Units @0.0000						
01/16/2018	***-**-4546 - KENNEDY,	DWS TRAILS 25159L56	0.00	0.28	0.00	0.28	75.0	0.21
	ACCOUNT #: 00026229793	BUY 0 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	FEDERATED KAUFMANN FUND	0.00	11.81	0.00	11.81	75.0	8.86
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-1832 - WEISMAN, DORIS	FRK UTIL FND - CL A	0.00	0.73	0.00	0.73	75.0	0.55
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
01/29/2018	***-**-70IN - CUTILLO, JAMES	FRK UTIL FND - CL A	0.00	1.08	0.00	1.08	75.0	0.81
	ACCOUNT #: LSR011647	BUY 1 Units @0.0000						
01/29/2018	***-**-70IN - CUTILLO, JAMES	GLOBAL OPPORTUNITIES A	0.00	2.92	0.00	2.92	75.0	2.19
	ACCOUNT #: LSR011647	BUY 1 Units @0.0000						
01/29/2018	***-**-70IR - CUTILLO, JAMES	GLOBAL OPPORTUNITIES A	0.00	0.80	0.00	0.80	75.0	0.60
	ACCOUNT #: LSR011648	BUY 1 Units @0.0000						
01/29/2018	***-**-1810 - STEVEN A	INTERNATIONAL GROWTH FUND A	0.00	2.29	0.00	2.29	75.0	1.72
	ACCOUNT #: LSR011388	BUY 1 Units @0.0000						
01/29/2018	***-**-1810 - STEVEN A	INTERNATIONAL SMALL COMPANY A	0.00	3.03	0.00	3.03	75.0	2.27
	ACCOUNT #: LSR011388	BUY 1 Units @0.0000						
01/29/2018	***-**-572I - MELIN, LUCILLE	INTERNATIONAL SMALL COMPANY A	0.00	2.16	0.00	2.16	75.0	1.62
	ACCOUNT #: LSR011508	BUY 1 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	JPMORGAN MID CAP VALUE FUND CLASS A	0.00	13.13	0.00	13.13	75.0	9.85
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						





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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/29/2018	***-**-033I - KATZENBERGER, ACCOUNT #: LSR011507	JPMORGAN MID CAP VALUE FUND CLASS A BUY 1 Units @0.0000	0.00	5.43	0.00	5.43	75.0	4.07
01/29/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	MUTUAL EURO FND - CL A BUY 1 Units @0.0000	0.00	1.97	0.00	1.97	75.0	1.48
01/29/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 1 Units @0.0000	0.00	3.51	0.00	3.51	75.0	2.63
01/29/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 1 Units @0.0000	0.00	4.79	0.00	4.79	75.0	3.59
01/29/2018	***-**-255I - GRAHAM, DEBORAH ACCOUNT #: LSR011397	OPPENHEIMER DISCOVERY CLASS A BUY 1 Units @0.0000	0.00	3.21	0.00	3.21	75.0	2.41
01/29/2018	***-**-4250 - KEKKONEN, ACCOUNT #: LSR011491	OPPENHEIMER ROCHEST NATIONAL MUNI C BUY 1 Units @0.0000	0.00	69.09	0.00	69.09	75.0	51.82
01/03/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: 01216265361	PIM 018919704 BUY 0 Units @0.0000	0.00	6.63	0.00	6.63	75.0	4.97
01/03/2018	***-**-3982 - VANNI, JEANETTE ACCOUNT #: 01226790564	PIM 018919704 BUY 0 Units @0.0000	0.00	6.18	0.00	6.18	75.0	4.64
01/03/2018	***-**-2844 - COMERFOND, ACCOUNT #: 01217142320	PIMCO 01880B603 BUY 0 Units @0.0000	0.00	1.07	0.00	1.07	75.0	0.80
01/03/2018	***-**-7753 - WERNER, ACCOUNT #: 01222976639	PIMCO 01880B603 BUY 0 Units @0.0000	0.00	3.43	0.00	3.43	75.0	2.57
01/03/2018	***-**-3982 - VANNI, JEANETTE ACCOUNT #: 01226790564	PIMCO 01880B603 BUY 0 Units @0.0000	0.00	2.72	0.00	2.72	75.0	2.04
01/29/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PIMCO EMERG MKTS BOND NFS BUY 1 Units @0.0000	0.00	7.35	0.00	7.35	75.0	5.51
01/29/2018	***-**-033I - KATZENBERGER, ACCOUNT #: LSR011507	PIMCO EMERG MKTS BOND NFS BUY 1 Units @0.0000	0.00	1.93	0.00	1.93	75.0	1.45
01/29/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PIMCO HIGH YIELD CLASS A BUY 1 Units @0.0000	0.00	6.88	0.00	6.88	75.0	5.16
01/29/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	PIMCO LONG TERM US GOV'T BUY 1 Units @0.0000	0.00	7.19	0.00	7.19	75.0	5.39
01/29/2018	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	PIMCO REAL ESTATE REAL BUY 1 Units @0.0000	0.00	1.98	0.00	1.98	75.0	1.49



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/29/2018	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	PIMCO STOCKSPUS SMALL FUND A BUY 1 Units @0.0000	0.00	7.02	0.00	7.02	75.0	5.27
01/29/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	PIMCO STOCKSPUS SMALL FUND A BUY 1 Units @0.0000	0.00	7.32	0.00	7.32	75.0	5.49
01/29/2018	***-**-6935 - FINK, GEORGE ACCOUNT #: 1215900091	PIMCO TRAILS BUY 0 Units @0.0000	0.00	10.43	0.00	10.43	75.0	7.82
01/29/2018	***-**-3590 - HEALY, THOMAS	PIMCO TRAILS BUY 0 Units @0.0000	0.00	4.74	0.00	4.74	75.0	3.56
01/29/2018	***-**-7193 - GALE, WALTER ACCOUNT #: 01216556637	PIMCO TRAILS BUY 0 Units @0.0000	0.00	17.27	0.00	17.27	75.0	12.95
01/29/2018	***-**-6502 - MANCHESTER, ACCOUNT #: 1216790632	PIMCO TRAILS BUY 0 Units @0.0000	0.00	4.40	0.00	4.40	75.0	3.30
01/29/2018	***-**-1832 - WEISMAN, DORIS	PIMCO TRAILS BUY 0 Units @0.0000	0.00	4.52	0.00	4.52	75.0	3.39
01/29/2018	***-**-2844 - COMERFOND, ACCOUNT #: 01217142320	PIMCO TRAILS BUY 0 Units @0.0000	0.00	1.67	0.00	1.67	75.0	1.25
01/29/2018	***-**-4572 - MELIN, LUCILLE ACCOUNT #: 01218043212	PIMCO TRAILS BUY 0 Units @0.0000	0.00	7.54	0.00	7.54	75.0	5.66
01/29/2018	***-**-5033 - KATZENBERGER, ACCOUNT #: 01218043220	PIMCO TRAILS BUY 0 Units @0.0000	0.00	2.75	0.00	2.75	75.0	2.06
01/29/2018	***-**-4110 - KAVANAGH,	PIMCO TRAILS BUY 0 Units @0.0000	0.00	7.61	0.00	7.61	75.0	5.71
01/29/2018	***-**-2635 - HALE, JONATHAN ACCOUNT #: 1221822669	PIMCO TRAILS BUY 0 Units @0.0000	0.00	3.86	0.00	3.86	75.0	2.90
01/29/2018	***-**-3982 - VANNI, JEANETTE	PIMCO TRAILS BUY 0 Units @0.0000	0.00	2.66	0.00	2.66	75.0	2.00
01/29/2018	***-**-5276 - JENNERICH,	PIMCO TRAILS BUY 0 Units @0.0000	0.00	3.24	0.00	3.24	75.0	2.43
01/29/2018	***-**-4546 - KENNEDY, ACCOUNT #: 07050084925	PIMCO TRAILS BUY 0 Units @0.0000	0.00	1.07	0.00	1.07	75.0	0.80
01/29/2018	***-**-6935 - FINK, GEORGE ACCOUNT #: 1215900091	PIMCO TRAILS BUY 0 Units @0.0000	0.00	7.09	0.00	7.09	75.0	5.32



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/29/2018	***-**-4110 - KAVANAGH,	PIMCO TRAILS BUY 0 Units @0.0000	0.00	6.78	0.00	6.78	75.0	5.09
01/29/2018	***-**-5276 - JENNERICH,	PIMCO TRAILS BUY 0 Units @0.0000	0.00	2.59	0.00	2.59	75.0	1.94
01/29/2018	***-**-3982 - VANNI, JEANETTE	PIMCO TRAILS BUY 0 Units @0.0000	0.00	1.11	0.00	1.11	75.0	0.83
01/29/2018	***-**-3982 - VANNI, JEANETTE	PIMCO TRAILS BUY 0 Units @0.0000	0.00	7.45	0.00	7.45	75.0	5.59
01/29/2018	***-**-6935 - FINK, GEORGE ACCOUNT #: 1215900091	PIMCO TRAILS BUY 0 Units @0.0000	0.00	5.49	0.00	5.49	75.0	4.12
01/29/2018	***-**-7193 - GALE, WALTER ACCOUNT #: 01216556637	PIMCO TRAILS BUY 0 Units @0.0000	0.00	7.25	0.00	7.25	75.0	5.44
01/29/2018	***-**-4572 - MELIN, LUCILLE ACCOUNT #: 01218043212	PIMCO TRAILS BUY 0 Units @0.0000	0.00	5.13	0.00	5.13	75.0	3.85
01/29/2018	***-**-5033 - KATZENBERGER, ACCOUNT #: 01218043220	PIMCO TRAILS BUY 0 Units @0.0000	0.00	1.88	0.00	1.88	75.0	1.41
01/29/2018	***-**-3982 - VANNI, JEANETTE	PIMCO TRAILS BUY 0 Units @0.0000	0.00	1.88	0.00	1.88	75.0	1.41
01/29/2018	***-**-5276 - JENNERICH,	PIMCO TRAILS BUY 0 Units @0.0000	0.00	2.05	0.00	2.05	75.0	1.54
01/29/2018	***-**-4546 - KENNEDY, ACCOUNT #: 07050084925	PIMCO TRAILS BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	75.0	0.01
01/29/2018	***-**-3754 - MAHER, EDWARD	PIMCO TRAILS BUY 0 Units @0.0000	0.00	1.35	0.00	1.35	75.0	1.01
01/29/2018	***-**-63IN - FANNAN, RAYMOND ACCOUNT #: 1221496142	PIMCO TRAILS BUY 0 Units @0.0000	0.00	0.64	0.00	0.64	75.0	0.48
01/29/2018	***-**-3904 - DENNIS, CONNOR ACCOUNT #: 01226314548	PIMCO TRAILS BUY 0 Units @0.0000	0.00	4.10	0.00	4.10	75.0	3.08
01/29/2018	***-**-3754 - MAHER, EDWARD	PIMCO TRAILS BUY 0 Units @0.0000	0.00	1.30	0.00	1.30	75.0	0.98
01/29/2018	***-**-3904 - DENNIS, CONNOR ACCOUNT #: 01226314548	PIMCO TRAILS BUY 0 Units @0.0000	0.00	3.50	0.00	3.50	75.0	2.63



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/29/2018	***-**-5033 - KATZENBERGER, ACCOUNT #: 01218043220	PIMCO TRAILS BUY 0 Units @0.0000	0.00	0.55	0.00	0.55	75.0	0.41
01/29/2018	***-**-3754 - MAHER, EDWARD	PIMCO TRAILS BUY 0 Units @0.0000	0.00	1.39	0.00	1.39	75.0	1.04
01/29/2018	***-**-6502 - MANCHESTER, ACCOUNT #: 1216790632	PIMCO TRAILS BUY 0 Units @0.0000	0.00	3.42	0.00	3.42	75.0	2.57
01/29/2018	***-**-4110 - KAVANAGH,	PIMCO TRAILS BUY 0 Units @0.0000	0.00	6.29	0.00	6.29	75.0	4.72
01/29/2018	***-**-7753 - WERNER, ACCOUNT #: 01222976639	PIMCO TRAILS BUY 0 Units @0.0000	0.00	15.41	0.00	15.41	75.0	11.56
01/29/2018	***-**-3904 - DENNIS, CONNOR ACCOUNT #: 01226314548	PIMCO TRAILS BUY 0 Units @0.0000	0.00	4.59	0.00	4.59	75.0	3.44
01/29/2018	***-**-9220 -	PIMCO TRAILS BUY 0 Units @0.0000	0.00	3.67	0.00	3.67	75.0	2.75
01/29/2018	***-**-9220 -	PIMCO TRAILS BUY 0 Units @0.0000	0.00	2.33	0.00	2.33	75.0	1.75
01/29/2018	***-**-572I - MELIN, LUCILLE ACCOUNT #: LSR011508	PUTNAM CAPITAL SPECTRUM FUND CL A BUY 1 Units @0.0000	0.00	1.54	0.00	1.54	75.0	1.16
01/29/2018	***-**-255I - GRAHAM, DEBORAH ACCOUNT #: LSR011397	RISING DIVIDENDS FUND A BUY 1 Units @0.0000	0.00	0.60	0.00	0.60	75.0	0.45
01/26/2018	***-**-6935 - FINK, GEORGE ACCOUNT #: 0000263228	RMB MF TRAILS BUY 0 Units @0.0000	0.00	21.02	0.00	21.02	75.0	15.77
01/26/2018	***-**-2671 - LAPHAM, JOHN ACCOUNT #: 6000081569	RMB MF TRAILS BUY 0 Units @0.0000	0.00	8.74	0.00	8.74	75.0	6.56
01/29/2018	***-**-2635 - HALE, JONATHAN	SALIENT-FORWARD FUNDS TRAILS BUY 0 Units @0.0000	0.00	1.49	0.00	1.49	75.0	1.12
01/29/2018	***-**-033I - KATZENBERGER, ACCOUNT #: LSR011507	SMALL- & MID-CAP GROWTH FUND A BUY 1 Units @0.0000	0.00	0.87	0.00	0.87	75.0	0.65
01/29/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	TEMP GLOBAL BOND FND - CL A BUY 1 Units @0.0000	0.00	2.72	0.00	2.72	75.0	2.04
01/29/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: 5009484840	VICTORY TRAILS BUY 0 Units @0.0000	0.00	14.19	0.00	14.19	75.0	10.64



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/29/2018	***-**-101I - LEMOINE, STEVEN	VOYA HIGH YIELD BOND CLASS A	0.00	2.23	0.00	2.23	75.0	1.67
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	VOYA LARGE CAP GROWTH CLASS A	0.00	3.44	0.00	3.44	75.0	2.58
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	VOYA LARGE CAP VALUE CLASS A	0.00	2.81	0.00	2.81	75.0	2.11
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-359O - HEALY, THOMAS	VOYA LARGE CAP VALUE CLASS A	0.00	1.34	0.00	1.34	75.0	1.01
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	VOYA MIDCAP OPPORTUNITIES CL A	0.00	2.94	0.00	2.94	75.0	2.21
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-359O - HEALY, THOMAS	VOYA MIDCAP OPPORTUNITIES CL A	0.00	1.42	0.00	1.42	75.0	1.07
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
01/29/2018	***-**-101I - LEMOINE, STEVEN	VOYA RUSSIA CLASS A	0.00	0.78	0.00	0.78	75.0	0.59
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
01/29/2018	***-**-359O - HEALY, THOMAS	VOYA SMALL CAP OPPORTUNITIES CL A	0.00	1.46	0.00	1.46	75.0	1.10
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
01/29/2018	***-**-359O - HEALY, THOMAS	VOYA SMALL COMPANY CLASS A	0.00	1.45	0.00	1.45	75.0	1.09
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
01/29/2018	***-**-70IN - CUTILLO, JAMES	VOYA SMALL COMPANY CLASS A	0.00	2.18	0.00	2.18	75.0	1.64
	ACCOUNT #: LSR011647	BUY 1 Units @0.0000						
01/04/2018	***-**-572O - MELIN, LUCILLE	WELLS FARGO 94984B223	0.00	4.39	0.00	4.39	75.0	3.29
	ACCOUNT #: 01007029270	BUY 0 Units @0.0000						
01/04/2018	***-**-033O - KATZENBERGER,	WELLS FARGO 94984B223	0.00	0.21	0.00	0.21	75.0	0.16
	ACCOUNT #: 01007029314	BUY 0 Units @0.0000						
01/04/2018	***-**-572O - MELIN, LUCILLE	WELLS FARGO 94984B389	0.00	2.25	0.00	2.25	75.0	1.69
	ACCOUNT #: 01007029270	BUY 0 Units @0.0000						
01/04/2018	***-**-3069 - CONNOR, ZENYA	WELLS FARGO 94984B389	0.00	0.19	0.00	0.19	75.0	0.14
	ACCOUNT #: 01007075576	BUY 0 Units @0.0000						
01/04/2018	***-**-0804 - GALE, MARY	WELLS FARGO 94984B389	0.00	1.94	0.00	1.94	75.0	1.46
	ACCOUNT #: 01007327894	BUY 0 Units @0.0000						
01/04/2018	***-**-7193 - GALE, WALTER	WELLS FARGO 94984B389	0.00	1.11	0.00	1.11	75.0	0.83
	ACCOUNT #: 01007328456	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## January 29, 2018

DENNIS CONNOR  
9 Woodland Rd.  
Miller Place, NY 11764

BROKER #: A87  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/04/2018	***-**-3069 - CONNOR, ZENYA	WELLS FARGO 94985D251	0.00	0.21	0.00	0.21	75.0	0.16
	ACCOUNT #: 01007075576	BUY 0 Units @0.0000						
01/04/2018	***-**-3904 - DENNIS, CONNOR	WELLS FARGO 949915177	0.00	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 01007075530	BUY 0 Units @0.0000						
01/04/2018	***-**-3904 - DENNIS, CONNOR	WELLS FARGO DISCOVERY FD CL A	0.00	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: 01007075530	BUY 0 Units @0.0000						
01/04/2018	***-**-5720 - MELIN, LUCILLE	WELLS FARGO GLOBAL OPPT FD CL A	0.00	2.42	0.00	2.42	75.0	1.82
	ACCOUNT #: 01007029270	BUY 0 Units @0.0000						
01/04/2018	***-**-0330 - KATZENBERGER,	WELLS FARGO GLOBAL OPPT FD CL A	0.00	0.67	0.00	0.67	75.0	0.50
	ACCOUNT #: 01007029314	BUY 0 Units @0.0000						
01/04/2018	***-**-3904 - DENNIS, CONNOR	WELLS FARGO GLOBAL OPPT FD CL A	0.00	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 01007075530	BUY 0 Units @0.0000						
01/04/2018	***-**-3069 - CONNOR, ZENYA	WELLS FARGO GLOBAL OPPT FD CL A	0.00	0.22	0.00	0.22	75.0	0.17
	ACCOUNT #: 01007075576	BUY 0 Units @0.0000						
01/04/2018	***-**-5720 - MELIN, LUCILLE	WELLS FARGO OMEGA GRWTH FD CL A	0.00	3.52	0.00	3.52	75.0	2.64
	ACCOUNT #: 01007029270	BUY 0 Units @0.0000						
01/04/2018	***-**-0330 - KATZENBERGER,	WELLS FARGO OMEGA GRWTH FD CL A	0.00	1.97	0.00	1.97	75.0	1.48
	ACCOUNT #: 01007029314	BUY 0 Units @0.0000						
01/04/2018	***-**-3904 - DENNIS, CONNOR	WELLS FARGO OMEGA GRWTH FD CL A	0.00	0.09	0.00	0.09	75.0	0.07
	ACCOUNT #: 01007075530	BUY 0 Units @0.0000						
01/04/2018	***-**-4535 - RATHGABER,	WELLS FARGO SPECIAL SMALL CAP VALUE	0.00	0.35	0.00	0.35	75.0	0.26
	ACCOUNT #: 01330001337	BUY 0 Units @0.0000						
01/04/2018	***-**-5720 - MELIN, LUCILLE	WELLS FARGO SPECIAL SMALL CAP VALUE	0.00	2.87	0.00	2.87	75.0	2.15
	ACCOUNT #: 01007029270	BUY 0 Units @0.0000						
01/04/2018	***-**-0330 - KATZENBERGER,	WELLS FARGO SPECIAL SMALL CAP VALUE	0.00	1.48	0.00	1.48	75.0	1.11
	ACCOUNT #: 01007029314	BUY 0 Units @0.0000						
01/04/2018	***-**-0804 - GALE, MARY	WELLS FARGO SPECIAL SMALL CAP VALUE	0.00	4.04	0.00	4.04	75.0	3.03
	ACCOUNT #: 01007327894	BUY 0 Units @0.0000						
01/04/2018	***-**-7193 - GALE, WALTER	WELLS FARGO SPECIAL SMALL CAP VALUE	0.00	2.52	0.00	2.52	75.0	1.89
	ACCOUNT #: 01007328456	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$973.54</b>	<b>\$0.00</b>	<b>\$973.54</b>		<b>\$730.35</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$725.00</b>	<b>\$1,005.72</b>	<b>\$0.00</b>	<b>\$1,005.72</b>		<b>\$754.53</b>



BROKER #: A87  
BRANCH #01001 - LifeMark Home Office

					BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	NET BROKER COMMISSION
BROKER COMMISSION TOTALS					
				Broker Transactions	\$754.53
				Split Transactions	\$0.00
				Override Transactions	\$0.00
				Adjustments	\$0.00
				Payroll Draw	\$0.00
				Base Salary	\$0.00
				Prior Period Balance	\$0.00
				FINRA Assessment	\$0.00
				SIPC Assessment	\$0.00
				Net Commission Earned	\$754.53
				Year-to-date Earnings	\$2,898.25