



COMMISSION STATEMENT

August 20, 2018

ALEXANDER HACKLEY
2305 E Arapahoe Rd. #235
Centennial, CO 80122

BROKER #: B04
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS								
PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS								
08/01/2018	***-**-5790 - ETHRIDGE, MAX	GWG L BOND - 3YR BUY 74 Units @1000.0000	73,852.00	3,692.60	0.00	3,692.60	75.0	2,769.45
08/01/2018	***-**-5790 - ETHRIDGE, MAX	GWG L BOND - 5 YR BUY 74 Units @1000.0000	73,700.00	4,348.30	0.00	4,348.30	75.0	3,261.23
* ALTERNATIVE INVESTMENTS SUBTOTAL *			\$147,552.00	\$8,040.90	\$0.00	\$8,040.90		\$6,030.68
PRODUCT CATEGORY: MUTUAL FUNDS								
08/15/2018	***-**-13IO - JONES, LYNN ACCOUNT #: LSR012776	COLUMBIA BALANCED FUND CL A BUY 586 Units @44.3900	26,000.02	1,300.00	18.00	1,282.00	75.0	961.50
08/10/2018	***-**-4660 - FOX, PAMELA ACCOUNT #: LSR012621	THE INCOME FUND OF AMERICA-A SELL 432 Units @23.1300	10,000.00	0.00	18.00	-18.00	100.0	-18.00
* MUTUAL FUNDS SUBTOTAL *			\$36,000.02	\$1,300.00	\$36.00	\$1,264.00		\$943.50
PRODUCT CATEGORY: STOCKS								
08/13/2018	***-**-13IO - JONES, LYNN ACCOUNT #: LSR012776	ETFS PHYSCL SWISS GOLD SHRS SELL 40 Units @115.2980	4,611.92	54.00	15.80	38.20	75.0	28.65
08/14/2018	***-**-246T - JESSIE MAE ACCOUNT #: LSR012571	EXXON MOBIL CORP UNSOLICITED ORDER SELL 1300 Units @78.6550	102,251.50	266.98	41.00	225.98	75.0	169.49
08/13/2018	***-**-13IO - JONES, LYNN ACCOUNT #: LSR012776	SPROTT PHYSICAL SILVER TRUST TRUST SELL 440 Units @5.4510	2,398.44	70.27	23.80	46.47	75.0	34.85
* STOCKS SUBTOTAL *			\$109,261.86	\$391.25	\$80.60	\$310.65		\$232.99
PRODUCT CATEGORY: RIA								
08/21/2018	***-**-90FB - ETHRIDGE, MAX ACCOUNT #: RE2947000R	HOWARD CAPITAL MANAGMENT RIA FEE BUY 0 Units @0.0000	451.79	451.79	0.00	451.79	75.0	338.84
* RIA SUBTOTAL *			\$451.79	\$451.79	\$0.00	\$451.79		\$338.84
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	***-**-69IR - FONTENOT, ACCOUNT #: LSR012445	AMCAP FUND-A BUY 1 Units @0.0000	0.00	2.28	0.00	2.28	75.0	1.71
/ /	***-**-69IR - FONTENOT, ACCOUNT #: LSR012445	AMERICAN GLOBAL BALANCED CLASS A BUY 1 Units @0.0000	0.00	1.03	0.00	1.03	75.0	0.77



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-69IR - FONTENOT, ACCOUNT #: LSR012445	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	0.97	0.00	0.97	75.0	0.73
/ /	***-**-69IR - FONTENOT, ACCOUNT #: LSR012445	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	2.27	0.00	2.27	75.0	1.70
/ /	***-**-69IR - FONTENOT, ACCOUNT #: LSR012445	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.40	0.00	2.40	75.0	1.80
/ /	***-**-246T - JESSIE MAE ACCOUNT #: LSR012571	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	1.54	0.00	1.54	75.0	1.16
/ /	***-**-466O - FOX, PAMELA ACCOUNT #: LSR012621	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	0.67	0.00	0.67	75.0	0.50
/ /	***-**-69IR - FONTENOT, ACCOUNT #: LSR012445	CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	75.0	0.75
/ /	***-**-69IR - FONTENOT, ACCOUNT #: LSR012445	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	0.91	0.00	0.91	75.0	0.68
/ /	***-**-09IO - BROCK, SHELLEY ACCOUNT #: LSR011831	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	3.56	0.00	3.56	75.0	2.67
/ /	***-**-679I - VIGIL, ELLI ACCOUNT #: LSR011852	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	20.81	0.00	20.81	75.0	15.61
/ /	***-**-593I - HUFF, CYNTHIA ACCOUNT #: LSR011879	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	10.42	0.00	10.42	75.0	7.82
/ /	***-**-365O - ROSINI, LINDA ACCOUNT #: LSR011888	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	4.90	0.00	4.90	75.0	3.68
/ /	***-**-14IR - MEDLICOTT, ACCOUNT #: LSR011900	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	5.01	0.00	5.01	75.0	3.76
/ /	***-**-53IR - MEDLICOTT, ACCOUNT #: LSR011901	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	6.27	0.00	6.27	75.0	4.70
/ /	***-**-553I - MEDLICOTT, ACCOUNT #: LSR011902	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	2.66	0.00	2.66	75.0	2.00
/ /	***-**-321J - STERTZ, MICHAEL ACCOUNT #: LSR012194	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	14.10	0.00	14.10	75.0	10.58
/ /	***-**-335I - ENGEL, ILYNE ACCOUNT #: LSR012346	COLUMBIA BALANCED FUND CL A BUY 1 Units @0.0000	0.00	3.25	0.00	3.25	75.0	2.44



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-4780 - WRIGHT, SCOTT	COLUMBIA BALANCED FUND CL A	0.00	12.61	0.00	12.61	75.0	9.46
	ACCOUNT #: LSR012693	BUY 1 Units @0.0000						
/ /	***-**-184I - SANTIAGO, THELMA	COLUMBIA BALANCED FUND CL A	0.00	3.37	0.00	3.37	75.0	2.53
	ACCOUNT #: LSR012760	BUY 1 Units @0.0000						
/ /	***-**-97IR - VIDGER, JAMES	FIDELITY ADVISOR CONSUMER STAPLES F	0.00	1.31	0.00	1.31	75.0	0.98
	ACCOUNT #: LSR012653	BUY 1 Units @0.0000						
/ /	***-**-5970 - VIDGER, JAMES	FIDELITY ADVISOR CONSUMER STAPLES F	0.00	6.88	0.00	6.88	75.0	5.16
	ACCOUNT #: LSR012654	BUY 1 Units @0.0000						
/ /	***-**-1010 - ESSELMAN, KELLY	FIRST EAGLE GLOBAL FUND CLASS A	0.00	2.35	0.00	2.35	75.0	1.76
	ACCOUNT #: LSR012496	BUY 1 Units @0.0000						
/ /	***-**-4780 - WRIGHT, SCOTT	FUNDAMENTAL INVESTORS FUND CLASS F	0.00	9.46	0.00	9.46	75.0	7.10
	ACCOUNT #: LSR012693	BUY 1 Units @0.0000						
/ /	***-**-127I - SCHEAFER, HARRY	FUNDAMENTAL INVESTORS-A	0.00	2.64	0.00	2.64	75.0	1.98
	ACCOUNT #: LSR011853	BUY 1 Units @0.0000						
/ /	***-**-69IR - FONTENOT,	FUNDAMENTAL INVESTORS-A	0.00	1.98	0.00	1.98	75.0	1.49
	ACCOUNT #: LSR012445	BUY 1 Units @0.0000						
/ /	***-**-4780 - WRIGHT, SCOTT	GROWTH FUND OF AMERICA CLASS F	0.00	8.40	0.00	8.40	75.0	6.30
	ACCOUNT #: LSR012693	BUY 1 Units @0.0000						
/ /	***-**-848I - SCHNEIDER,	HARTFORD BALANCED INCOME FD CL A	0.00	3.17	0.00	3.17	75.0	2.38
	ACCOUNT #: LSR011876	BUY 1 Units @0.0000						
/ /	***-**-978I - LITTON, KENT	HARTFORD BALANCED INCOME FD CL A	0.00	8.51	0.00	8.51	75.0	6.38
	ACCOUNT #: LSR011882	BUY 1 Units @0.0000						
/ /	***-**-679I - BURGDORF, SANDRA	HARTFORD BALANCED INCOME FD CL A	0.00	3.14	0.00	3.14	75.0	2.36
	ACCOUNT #: LSR011921	BUY 1 Units @0.0000						
/ /	***-**-502I - GRANDSTAFF,	HARTFORD BALANCED INCOME FD CL A	0.00	1.90	0.00	1.90	75.0	1.43
	ACCOUNT #: LSR011929	BUY 1 Units @0.0000						
/ /	***-**-266I - RONS, JANICE	HARTFORD BALANCED INCOME FD CL A	0.00	5.53	0.00	5.53	75.0	4.15
	ACCOUNT #: LSR012038	BUY 1 Units @0.0000						
/ /	***-**-632J - HIGGINSON,	HARTFORD BALANCED INCOME FD CL A	0.00	6.19	0.00	6.19	75.0	4.64
	ACCOUNT #: LSR012042	BUY 1 Units @0.0000						
/ /	***-**-82TR - DAVIES, JANET	HARTFORD BALANCED INCOME FD CL A	0.00	7.48	0.00	7.48	75.0	5.61
	ACCOUNT #: LSR012422	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-105I - HUEBNER, CAROLYN HARTFORD CHECKS AND BALANCED FUND C	BUY 1 Units @0.0000	0.00	0.76	0.00	0.76	75.0	0.57
	ACCOUNT #: LSR011910							
/ /	***-**-14IN - MEDLICOTT,	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	9.15	0.00	9.15	75.0	6.86
	ACCOUNT #: LSR011899	BUY 1 Units @0.0000						
/ /	***-**-502J - GRANDSTAFF,	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	9.33	0.00	9.33	75.0	7.00
	ACCOUNT #: LSR011931	BUY 1 Units @0.0000						
/ /	***-**-32IR - HIGGINSON,	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	1.57	0.00	1.57	75.0	1.18
	ACCOUNT #: LSR012041	BUY 1 Units @0.0000						
/ /	***-**-626I - STERTZ, DALE	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	8.62	0.00	8.62	75.0	6.47
	ACCOUNT #: LSR012238	BUY 1 Units @0.0000						
/ /	***-**-632I - HIGGINSON,	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	3.56	0.00	3.56	75.0	2.67
	ACCOUNT #: LSR012342	BUY 1 Units @0.0000						
/ /	***-**-25IR - HIGGINSON, GAIL	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	1.20	0.00	1.20	75.0	0.90
	ACCOUNT #: LSR012345	BUY 1 Units @0.0000						
/ /	***-**-74IN - STORMAN, JOEL	JANUS HENDERSON GLBL EQUITY INC CL	0.00	2.63	0.00	2.63	75.0	1.97
	ACCOUNT #: LSR012685	BUY 1 Units @0.0000						
/ /	***-**-78IR - WRIGHT, SCOTT	JANUS HENDERSON GLBL EQUITY INC CL	0.00	14.28	0.00	14.28	75.0	10.71
	ACCOUNT #: LSR012695	BUY 1 Units @0.0000						
/ /	***-**-101I - ESSELMAN, KELLY	JP MORGAN INCOME BUILDER FUND CL A	0.00	2.61	0.00	2.61	75.0	1.96
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
/ /	***-**-101I - ESSELMAN, KELLY	JP MORGAN INTL EQUITY INCOME CL A	0.00	2.64	0.00	2.64	75.0	1.98
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
/ /	***-**-101I - ESSELMAN, KELLY	JPMORGAN EMERGING MARKETS EQUITY CL	0.00	0.79	0.00	0.79	75.0	0.59
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
/ /	***-**-101I - ESSELMAN, KELLY	JPMORGAN EMERGING MRKTS STRATEGIC DB	0.00	1.22	0.00	1.22	75.0	0.92
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
/ /	***-**-101I - ESSELMAN, KELLY	JPMORGAN EQUITY INCOME FUND CL A	0.00	2.19	0.00	2.19	75.0	1.64
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
/ /	***-**-101I - ESSELMAN, KELLY	JPMORGAN FLOATING RATE INCOME CL A	0.00	1.17	0.00	1.17	75.0	0.88
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
/ /	***-**-101I - ESSELMAN, KELLY	JPMORGAN HIGH YIELD BOND FUND CLASS	0.00	3.02	0.00	3.02	75.0	2.27
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-101I - ESSELMAN, KELLY	JPMORGAN INCOME FUND CLASS A	0.00	2.14	0.00	2.14	75.0	1.61
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
/ /	***-**-101I - ESSELMAN, KELLY	JPMORGAN REALTY INCOME FUND CLASS A	0.00	0.91	0.00	0.91	75.0	0.68
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
/ /	***-**-101I - ESSELMAN, KELLY	JPMORGAN SHORT DURATION CORE PLUS A	0.00	0.35	0.00	0.35	75.0	0.26
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
/ /	***-**-7700 - WEBER, F DIANNE	MFS VALUE FUND-A	0.00	2.62	0.00	2.62	75.0	1.97
	ACCOUNT #: LSR011972	BUY 1 Units @0.0000						
/ /	***-**-127I - SCHEAFER, HARRY	NEW PERSPECTIVE FUND-A	0.00	1.03	0.00	1.03	75.0	0.77
	ACCOUNT #: LSR011853	BUY 1 Units @0.0000						
/ /	***-**-4780 - WRIGHT, SCOTT	SMALLCAP WORLD FUND-F1	0.00	7.19	0.00	7.19	75.0	5.39
	ACCOUNT #: LSR012693	BUY 1 Units @0.0000						
/ /	***-**-4660 - FOX, PAMELA	THE BOND FUND OF AMERICA-A	0.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: LSR012621	BUY 1 Units @0.0000						
/ /	***-**-127I - SCHEAFER, HARRY	THE GROWTH FUND OF AMERICA-A	0.00	1.04	0.00	1.04	75.0	0.78
	ACCOUNT #: LSR011853	BUY 1 Units @0.0000						
/ /	***-**-65IR - ROSINI, LINDA	THE HARTFORD MID CAP FUND-A	0.00	2.59	0.00	2.59	75.0	1.94
	ACCOUNT #: LSR011887	BUY 1 Units @0.0000						
/ /	***-**-335I - ENGEL, ILYNE	THE HARTFORD MID CAP FUND-A	0.00	3.21	0.00	3.21	75.0	2.41
	ACCOUNT #: LSR012346	BUY 1 Units @0.0000						
/ /	***-**-4660 - FOX, PAMELA	THE INCOME FUND OF AMERICA-A	0.00	3.51	0.00	3.51	75.0	2.63
	ACCOUNT #: LSR012621	BUY 1 Units @0.0000						
/ /	***-**-69IR - FONTENOT,	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.03	0.00	1.03	75.0	0.77
	ACCOUNT #: LSR012445	BUY 1 Units @0.0000						
/ /	***-**-4780 - WRIGHT, SCOTT	THE INVESTMENT COMPANY OF AMERICA-A	0.00	4.71	0.00	4.71	75.0	3.53
	ACCOUNT #: LSR012693	BUY 1 Units @0.0000						
/ /	***-**-787I - VONHEDEMANN, HAL	WELLS FARGO INDEX ASSET ALLOC A	0.00	12.24	0.00	12.24	75.0	9.18
	ACCOUNT #: LSR011985	BUY 1 Units @0.0000						
/ /	***-**-21IR - STERTZ, MICHAEL	WELLS FARGO INDEX ASSET ALLOC A	0.00	3.06	0.00	3.06	75.0	2.30
	ACCOUNT #: LSR012195	BUY 1 Units @0.0000						
/ /	***-**-70IR - STERTZ, CARRIE	WELLS FARGO INDEX ASSET ALLOC A	0.00	3.06	0.00	3.06	75.0	2.30
	ACCOUNT #: LSR012197	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)

PRODUCT CATEGORY: MUTUAL FUNDS (continued)

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$283.35	\$0.00	\$283.35	\$212.57
*** BROKER TRANSACTIONS TOTAL ***	\$293,265.67	\$10,467.29	\$116.60	\$10,350.69	\$7,758.58

BROKER COMMISSION TOTALS

Broker Transactions	\$7,758.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$12.74
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$7,771.32
Year-to-date Earnings	\$61,143.88