



COMMISSION STATEMENT

January 31, 2017

BARRY LEMAY

901 Campisi Way
Suite 200
Campbell, CA 95008

BROKER #: L122

BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/23/2017	AKIEL, MAROUN	SAWTOOTH	0.00	311.31	0.00	311.31	90.0	280.18
01/23/2017	BARDELL, PAULA	SAWTOOTH	0.00	482.64	0.00	482.64	90.0	434.38
01/23/2017	BARRETT, MARYALYS	SAWTOOTH	0.00	244.62	0.00	244.62	90.0	220.16
01/23/2017	BHASKARAN, SURESH	SAWTOOTH	0.00	180.62	0.00	180.62	90.0	162.56
01/23/2017	BRENNAN, CHRISTOPHER	SAWTOOTH	0.00	544.09	0.00	544.09	90.0	489.68
01/23/2017	SALVADORI, DANIELA	SAWTOOTH	0.00	141.88	0.00	141.88	90.0	127.69
01/23/2017	DE LA ROSA, MARIA	SAWTOOTH	0.00	226.28	0.00	226.28	90.0	203.65
01/23/2017	DE LA ROSA, RAMON	SAWTOOTH	0.00	143.62	0.00	143.62	90.0	129.26
01/23/2017	CARPIO, IRMINA	SAWTOOTH	0.00	78.91	0.00	78.91	90.0	71.02
01/23/2017	CARPIO, IRMINA	SAWTOOTH	0.00	1,007.04	0.00	1,007.04	90.0	906.34
01/23/2017	CARRASCO, CLARA	SAWTOOTH	0.00	440.57	0.00	440.57	90.0	396.51
01/23/2017	CODY, SUZANNA	SAWTOOTH	0.00	203.19	0.00	203.19	90.0	182.87
01/23/2017	CONLIN, MARGARET	SAWTOOTH	0.00	129.54	0.00	129.54	90.0	116.59
01/23/2017	DEL ROSARIO, BYRON	SAWTOOTH	0.00	99.76	0.00	99.76	90.0	89.78
01/23/2017	DEMARTINI, ALLEN	SAWTOOTH	0.00	280.24	0.00	280.24	90.0	252.22
01/23/2017	HARE, CLAIRE	SAWTOOTH	0.00	349.59	0.00	349.59	90.0	314.63
01/23/2017	HAUWERT SR, GERARDUS	SAWTOOTH	0.00	187.07	0.00	187.07	90.0	168.36
01/23/2017	HEY, GEORGE MICHAEL	SAWTOOTH	0.00	166.96	0.00	166.96	90.0	150.26
01/23/2017	HEY, GEORGE MICHAEL	SAWTOOTH	0.00	147.55	0.00	147.55	90.0	132.80
01/23/2017	HEY, GEORGE MICHAEL	SAWTOOTH	0.00	244.46	0.00	244.46	90.0	220.01
01/23/2017	HEY, GEORGE MICHAEL	SAWTOOTH	0.00	210.75	0.00	210.75	90.0	189.68
01/23/2017	HEY, GEORGE MICHAEL	SAWTOOTH	0.00	208.29	0.00	208.29	90.0	187.46
01/23/2017	HEY, GEORGE MICHAEL	SAWTOOTH	0.00	207.73	0.00	207.73	90.0	186.96
01/23/2017	HEY, GEORGE MICHAEL	SAWTOOTH	0.00	183.84	0.00	183.84	90.0	165.46
01/23/2017	BDH CONSULTANTS	SAWTOOTH	0.00	268.07	0.00	268.07	90.0	241.26
01/23/2017	HURLEY, KENNETH	SAWTOOTH	0.00	290.01	0.00	290.01	90.0	261.01

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/23/2017	HURLEY, KENNETH	SAWTOOTH	0.00	284.85	0.00	284.85	90.0	256.37
01/23/2017	HURLEY, KENNETH	SAWTOOTH	0.00	259.66	0.00	259.66	90.0	233.69
01/23/2017	HURLEY, KENNETH	SAWTOOTH	0.00	130.01	0.00	130.01	90.0	117.01
01/23/2017	KACHULIS, CASSANDRA	SAWTOOTH	0.00	88.08	0.00	88.08	90.0	79.27
01/23/2017	KACHULIS, CASSANDRA	SAWTOOTH	0.00	156.18	0.00	156.18	90.0	140.56
01/23/2017	KACHULIS, CASSANDRA	SAWTOOTH	0.00	332.47	0.00	332.47	90.0	299.22
01/23/2017	KACHULIS, CASSANDRA	SAWTOOTH	0.00	164.10	0.00	164.10	90.0	147.69
01/23/2017	LAKE, DAVID	SAWTOOTH	0.00	98.52	0.00	98.52	90.0	88.67
01/23/2017	LIU, GRANT	SAWTOOTH	0.00	85.50	0.00	85.50	90.0	76.95
01/23/2017	LOCKE, MATTHEW	SAWTOOTH	0.00	78.40	0.00	78.40	90.0	70.56
01/23/2017	MACKENZIE, MICHELLE	SAWTOOTH	0.00	140.51	0.00	140.51	90.0	126.46
01/23/2017	NEWMAN, PAUL	SAWTOOTH	0.00	153.41	0.00	153.41	90.0	138.07
01/23/2017	NEWMAN, PAUL	SAWTOOTH	0.00	215.02	0.00	215.02	90.0	193.52
01/23/2017	NG, EDWARD	SAWTOOTH	0.00	833.73	0.00	833.73	90.0	750.36
01/23/2017	NG, EDWARD	SAWTOOTH	0.00	92.56	0.00	92.56	90.0	83.30
01/23/2017	LODI, EDWARD	SAWTOOTH	0.00	137.36	0.00	137.36	90.0	123.62
01/23/2017	LODI, EDWARD	SAWTOOTH	0.00	127.67	0.00	127.67	90.0	114.90
01/23/2017	LODI, EDWARD	SAWTOOTH	0.00	94.82	0.00	94.82	90.0	85.34
01/23/2017	PRYLE, KIM	SAWTOOTH	0.00	135.47	0.00	135.47	90.0	121.92
01/23/2017	RODRIGUEZ, MARCOS	SAWTOOTH	0.00	136.03	0.00	136.03	90.0	122.43
01/23/2017	RODRIGUEZ, MARCOS	SAWTOOTH	0.00	154.56	0.00	154.56	90.0	139.10
01/23/2017	SANDHU, KAMINI SHARMA	SAWTOOTH	0.00	299.52	0.00	299.52	90.0	269.57
01/23/2017	SHAVER, CAROLE	SAWTOOTH	0.00	104.66	0.00	104.66	90.0	94.19
01/23/2017	SMART, THOMAS	SAWTOOTH	0.00	149.90	0.00	149.90	90.0	134.91
01/23/2017	TRAN, TRANG	SAWTOOTH	0.00	94.90	0.00	94.90	90.0	85.41
01/23/2017	TRAN, TRANG	SAWTOOTH	0.00	71.77	0.00	71.77	90.0	64.59
01/23/2017	VIZIR, VITALI	SAWTOOTH	0.00	583.81	0.00	583.81	90.0	525.43
* RIA SUBTOTAL *			\$0.00	\$12,182.10	\$0.00	\$12,182.10		\$10,963.89
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$12,182.10	\$0.00	\$12,182.10		\$10,963.89

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
01/31/2017		*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00					-294.80
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-294.80

BROKER COMMISSION TOTALS

Broker Transactions	\$10,963.89
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-294.80
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.02
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,669.11
Year-to-date Gross	\$12,182.10
Year-to-date Earnings	\$10,963.89

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