

All Companies  
**STATEMENT**  
 August 20, 2018

NATHAN-LPCM ROGERO

BROKER #: 3084  
 BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for NATHAN-LPCM ROGERO

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | POSTAGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|---------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|---------|-------------------|------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

|              |                                   |   |           |          |        |        |          |      |          |
|--------------|-----------------------------------|---|-----------|----------|--------|--------|----------|------|----------|
| 07/17/2018 # | IAFEES                            | B | -484.55   | 375.52   | 0.00   | 0.00   | 375.52   | 75.0 | 281.64   |
|              | * MUTUAL FUNDS SUBTOTAL *         |   | \$-484.55 | \$375.52 | \$0.00 | \$0.00 | \$375.52 |      | \$281.64 |
|              | *** BROKER TRANSACTIONS TOTAL *** |   | \$-484.55 | \$375.52 | \$0.00 | \$0.00 | \$375.52 |      | \$281.64 |

BROKER COMMISSION TOTALS

|                       |          |
|-----------------------|----------|
| Broker Transactions   | \$281.64 |
| Split Transactions    | \$0.00   |
| Override Transactions | \$0.00   |
| Adjustments           | \$0.00   |
| Payroll Draw          | \$0.00   |
| Base Salary           | \$0.00   |
| Prior Period Balance  | \$0.00   |
| FINRA Assessment      | \$0.00   |
| SIPC Assessment       | \$0.00   |

|              |          |
|--------------|----------|
| Check Amount | \$281.64 |
|--------------|----------|