

COMMISSION STATEMENT**February 01, 2018**

STEVEN COOK
3200 N. Central Ave.
Suite 200
Phoenix, AZ 85012

BROKER #: 73756
BRANCH: 04-#70A11 HOME OFFIC

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|--|---------------------|----------------------|----------------------|---------------------|--------------------|-------------------|----------------------|
| <u>Company: Securities America</u> | | | | | | | |
| <u>BROKER TRANSACTIONS</u> | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | |
| 02/01/2018 | COOK (73756), STEVE | SAI PERSHING CHARGES | 0.00 | -225.00 | 0.00 | -225.00 | -114.30 |
| 02/01/2018 | COOK (73756), STEVE | SAI SECURITES INCOME | 0.00 | 281.50 | 0.00 | 281.50 | 143.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$56.50</u> | <u>\$0.00</u> | <u>\$56.50</u> | <u>\$28.70</u> |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | |
| 02/01/2018 | COOK (73756), STEVE | SAI PERSHING CHARGES | 0.00 | -12.00 | 0.00 | -12.00 | -6.10 |
| * STOCKS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$-12.00</u> | <u>\$0.00</u> | <u>\$-12.00</u> | <u>\$-6.10</u> |
| <u>PRODUCT CATEGORY: TRAILERS</u> | | | | | | | |
| 02/01/2018 | COOK (73756), STEVE | SAI.TRAILS | 0.00 | 12.35 | 0.00 | 12.35 | 6.27 |
| * TRAILERS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$12.35</u> | <u>\$0.00</u> | <u>\$12.35</u> | <u>\$6.27</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | <u>\$0.00</u> | <u>\$56.85</u> | <u>\$0.00</u> | <u>\$56.85</u> | <u>\$28.87</u> |
| <u>ADJUSTMENTS</u> | | | | | | | |
| 17. SAI SIPC FOR PE 01/19/2018 | | | | | | | -0.91 |
| 32. SAI SIPC FOR PE 01/26/2018 | | | | | | | -185.51 |
| *** ADJUSTMENTS TOTAL *** | | | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$-186.42</u> |

COMMISSION STATEMENT for STEVEN COOK

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|--|
| | | | | | | | <u>BROKER COMMISSION TOTALS</u> |
| | | | | | | | Broker Transactions \$28.87 |
| | | | | | | | Split Transactions \$0.00 |
| | | | | | | | Override Transactions \$0.00 |
| | | | | | | | Adjustments \$-186.42 |
| | | | | | | | Prior Period Balance \$0.00 |
| | | | | | | | NASD Assessment \$0.00 |
| | | | | | | | SIPC Assessment \$0.00 |
| | | | | | | | <u>Balance Forward \$-157.55</u> |
| | | | | | | | <u>Year-to-date Earnings \$43,555.84</u> |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD