



All Companies

05/30/2018
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COMMISSION STATEMENT June 01, 2018

KAREN CARTER BROOKMAN
1840 Hurstview Drive

BROKER #: 9102
BRANCH: HOME OFFICE

Hurst, TX 76054

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/30/2018	TRAILS	EATON VANCE - GENERAL	B	21.45	21.45	0.00	21.45	90.0	19.31	
05/15/2018	00067657556	GEIKEN, DARRYL	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
05/15/2018	00067657556	GEIKEN, DARRYL	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
* MUTUAL FUNDS SUBTOTAL *				\$121.45	\$22.45	\$0.00	\$22.45		\$20.21	
PRODUCT CATEGORY: STOCKS										
05/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	210.75	210.75	0.00	210.75	90.0	189.68	
* STOCKS SUBTOTAL *				\$210.75	\$210.75	\$0.00	\$210.75		\$189.68	
PRODUCT CATEGORY: VARIABLE ANNUITIES										
04/30/2018	TRAILS	-JACKSON NATIONAL	B	39.91	39.91	0.00	39.91	90.0	35.92	
05/16/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	103.00	103.00	0.00	103.00	90.0	92.70	
03/31/2018	TRAILS	INTEGRITY LIFE INSURANCE COMPANY	B	625.32	625.32	0.00	625.32	90.0	562.79	
04/30/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	162.79	162.79	0.00	162.79	90.0	146.51	
* VARIABLE ANNUITIES SUBTOTAL *				\$931.02	\$931.02	\$0.00	\$931.02		\$837.92	
PRODUCT CATEGORY: RIA										
04/13/2018	12706161	HALVORSON, DAVID E	SIGNAL SECURITIES RIA	B	0.00	382.67	0.00	382.67	90.0	344.40
04/13/2018	37791527	NORIS, SCOTT A	SIGNAL SECURITIES RIA	B	0.00	531.33	0.00	531.33	90.0	478.20
04/13/2018	82968618	BROWN, JACKIE L	SIGNAL SECURITIES RIA	B	0.00	89.33	0.00	89.33	90.0	80.40
* RIA SUBTOTAL *				\$0.00	\$1,003.33	\$0.00	\$1,003.33		\$903.00	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/01/2018	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	62.20	0.00	62.20	90.0	55.98	
06/01/2018	TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES		0.00	54.03	0.00	54.03	90.0	48.63	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$116.23	\$0.00	\$116.23		\$104.61	
*** BROKER TRANSACTIONS TOTAL ***				\$1,263.22	\$2,283.78	\$0.00	\$2,283.78		\$2,055.42	

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

06/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
06/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-33.50
06/01/2018		HILLTOP: MISC TICKET FEES		0.00	0.00	0.00	0.00		6.50
06/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-154.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,055.42
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-154.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,900.92
Year-to-date Earnings	\$68,211.07