



COMMISSION STATEMENT

March 12, 2018

STANLEY (DARRELL) KEATHLEY
9724 Kingston Pike, Suite 103
Knoxville, TN 37934

BROKER #: B07
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/02/2018	***-**-20IR - PFAFF, SHEILA	CAPITAL INCOME BUILDER-A	40,000.01	1,700.00	18.00	1,682.00	80.0	1,345.60
	ACCOUNT #: LSR011999	BUY 618 Units @64.7700						
03/08/2018	***-**-820J - PFAFF, ROBERT	HCM DIVIDEND SECTOR PLUS FND CL A-N	40,000.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012004	SELL 3035 Units @13.1800						
03/08/2018	***-**-814I - SELVIDGE, SHELIA	HCM DIVIDEND SECTOR PLUS FND CL A-N	47,593.24	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012009	SELL 3611 Units @13.1800						
03/02/2018	***-**-20IR - PFAFF, SHEILA	TRANSAMERICA LARGE CAP VALUE CL A	25,000.00	1,187.50	18.00	1,169.50	80.0	935.60
	ACCOUNT #: LSR011999	BUY 1929 Units @12.9600						
03/02/2018	***-**-20IR - PFAFF, SHEILA	TRS SMALL/MID CAP VALUE A NFS	17,999.99	855.00	18.00	837.00	80.0	669.60
	ACCOUNT #: LSR011999	BUY 633 Units @28.4400						
* MUTUAL FUNDS SUBTOTAL *			\$170,593.24	\$3,742.50	\$90.00	\$3,652.50		\$2,914.80
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/02/2018	***-**-20IR - PFAFF, SHEILA	SUNAMERICA FOCUSED DIVIDEND STRAT C	26,500.01	1,060.00	0.00	1,060.00	80.0	848.00
	ACCOUNT #: LSR011999	BUY 1369 Units @19.3600						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$26,500.01	\$1,060.00	\$0.00	\$1,060.00		\$848.00
*** BROKER TRANSACTIONS TOTAL ***			\$197,093.25	\$4,802.50	\$90.00	\$4,712.50		\$3,762.80



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BROKER COMMISSION TOTALS									
Broker Transactions \$3,762.80									
Split Transactions \$0.00									
Override Transactions \$0.00									
Adjustments \$0.00									
Payroll Draw \$0.00									
Base Salary \$0.00									
Prior Period Balance \$0.00									
FINRA Assessment \$0.00									
SIPC Assessment \$0.00									
Please Retain for Your Records									
THERE WILL BE NO PAYMENT THIS PERIOD									
					Balance Forward \$0.00				
					Year-to-date Earnings \$0.00				