



Concorde Investment Services, LLC

08/14/2017

Page No. 1

COMMISSION STATEMENT

August 15, 2017

B151-JASON/MARIA BAIRD/BONGIOVANNI

BROKER #: B151 Terminated 12/31/2016

BRANCH: SECURE ASSET FINANCI

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/30/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	1.23	0.00	1.23	0.0	0.00
06/30/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.02	0.00	0.02	0.0	0.00
06/30/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.03	0.00	0.03	0.0	0.00
06/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.35	0.00	7.35	0.0	0.00
06/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	22.05	0.00	22.05	0.0	0.00
06/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	9.77	0.00	9.77	0.0	0.00
06/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	29.31	0.00	29.31	0.0	0.00
06/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	5.73	0.00	5.73	0.0	0.00
06/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	1.91	0.00	1.91	0.0	0.00
07/31/2017	POTTER, NEIL	FRANKLIN GROWTH A	0.00	10.61	0.00	10.61	0.0	0.00
07/31/2017	GABRIEL, WILLIAM	FRANKLIN GROWTH C	0.00	42.03	0.00	42.03	0.0	0.00
07/31/2017	POTTER, NEIL	FRANKLIN INCOME A	0.00	8.39	0.00	8.39	0.0	0.00
07/31/2017	CROCKETT, JAIME	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	11.70	0.00	11.70	0.0	0.00
07/31/2017	GABRIEL, WILLIAM	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	34.15	0.00	34.15	0.0	0.00
07/31/2017	STEVENSON, JANICE	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	49.44	0.00	49.44	0.0	0.00
07/31/2017	POTTER, NEIL	FRANKLIN RISING DIVIDENDS A	0.00	28.37	0.00	28.37	0.0	0.00
07/31/2017	STEVENSON, JANICE	FRANKLIN RISING DIVIDENDS C	0.00	53.67	0.00	53.67	0.0	0.00
07/31/2017	STEVENSON, JANICE	FRANKLIN SMALL CAP VALUE C	0.00	24.62	0.00	24.62	0.0	0.00
07/31/2017	WAX, JESSICA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.86	0.00	2.86	0.0	0.00
07/31/2017	STEVENSON, JANICE	FRANKLIN UTILITIES C	0.00	17.71	0.00	17.71	0.0	0.00
07/31/2017	POLL, ROGOR	FT EQUITY INC CL C	0.00	42.98	0.00	42.98	0.0	0.00
/ /	CHISHOLM, DANIEL	JH 529 PORT 2029-2032 A	0.00	2.99	0.00	2.99	0.0	0.00
07/31/2017	CROCKETT, JAIME	TEMPLETON GLOBAL BALANCED FUND	0.00	12.39	0.00	12.39	0.0	0.00
08/03/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	0.00
08/02/2017	CROCKETT, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	4.15	0.00	4.15	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$25.00	\$424.65	\$0.00	\$424.65		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/22/2017	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	4.32	0.00	4.32	0.0	0.00
08/02/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	350.00	1.75	0.00	1.75	0.0	0.00
08/03/2017	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	1.25	0.00	1.25	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$619.00	\$7.32	\$0.00	\$7.32		\$0.00
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
07/17/2017	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	0.00
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$152.00	\$1.52	\$0.00	\$1.52		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/29/2017	MILLER, MARTIN	METLIFE TRAILS	0.00	79.80	0.00	79.80	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$79.80	\$0.00	\$79.80		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$796.00	\$513.29	\$0.00	\$513.29		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$5,952.46
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed