BROKER

All Companies

R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT

September 29, 2017

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

BROKER #: 5

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

COMMISSION STATEMENT for ANDREW STUART SACHS

INVESTMENT

### PRODUCT CATEGORY: STOCKS **O9/01/2017 OGM032031 STROPE, DALE	TRADE DATE	CLIENT	INVESTMENT B	3/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
Defoult Category: Stocks 09/01/2017 Gen032013 STROPE, DALE MOMO INC ADR B 10,436.25 300.00 0.00 300.00 82.5 09/31/2017 Gen032039 SANDERS, RALPH TEVA FHARMACEUTICAL INDUSTRIES LIM B 17,300.00 365.00 0.00 365.00 82.5 09/31/2017 Gen032047 SHIER, ARDY MOMO INC ADR B 14,780.00 425.00 0.00 425.00 82.5 09/31/2017 GEN032047 SHIER, ARDY MOMO INC ADR B 14,780.00 425.00 0.00 425.00 82.5 09/31/2017 GEN032047 SHIER, ARDY MOMO INC ADR B 14,780.00 425.00 0.00 425.00 82.5 09/31/2017 GEN032048 FARGION, JOSEPH TARGET CORP S -35,100.00 150.00 0.00 150.00 82.5 09/31/2017 GEN032048 FARGION, JOSEPH TARGET CORP B 14,780.00 440.00 0.00 440.00 82.5 09/21/2017 GEN032046 FARGION, JOSEPH TARGET CORP MISS B 14,180.00 440.00 0.00 440.00 82.5 09/31/2017 GEN032046 DAVIDOV, WILLIAM ADMIS PHARMACEUTICAL SCORP COM NEW B 2,230.00 40.00 0.00 440.00 82.5 09/31/2017 GEN032104 DAVIDOV, WILLIAM SOMD INC ADR B 3,699.00 75.00 0.00 75.00 42.5 09/01/2017 GEN032104 DAVIDOV, WILLIAM SUTHNEST AIRLINES COM B 3,689.00 35.00 0.00 35.00 82.5 09/14/2017 GEN032104 DAVIDOV, WILLIAM NEGENERSY INC COM B 2.655.00 40.00 0.00 40.00 82.5 09/14/2017 GEN032104 DAVIDOV, WILLIAM NEGENERSY INC COM B 2.655.00 40.00 0.00 40.00 82.5 09/14/2017 GEN032104 DAVIDOV, WILLIAM MICKON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/15/2017 GEN032104 DAVIDOV, WILLIAM MICKON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/15/2017 GEN032104 DAVIDOV, WILLIAM SOMRAT GLOBAL HLDGS INC SHR S 4,360.00 40.00 0.00 40.00 82.5 09/15/2017 GEN032104 DAVIDOV, WILLIAM SOMRAT GLOBAL HLDGS INC SHR S 4,360.00 40.00 0.00 40.00 82.5 09/31/2017 GEN032104 DAVIDOV, WILLIAM SOMHEST AIRLINES CO B 2,354.20 40.00 0.00 40.00 82.5 09/31/2017 GEN032104 DAVIDOV, WILLIAM SOMHEST AIRLINES CO B 4,360.00 40.00 0.00 40.00 82.5 09/31/2017 GEN032104 DAVIDOV, WILLIAM SOMHEST AIRLINES CO B 4,360.00 40.00 0.00 40.00 82.5 09/31/2017 GEN032104 DAVIDOV, WILLIAM SOMHEST AIRLINES CO B 4,749.00 40.00 0.00 40.00 82.5 09/31/2017 GEN032104 DAVIDOV, WILLIAM SOMHEST AIRLINES CO B 4,749.00 40.00 0.00 40.00 82.5										
9701/2017 QGN032013 STROPE, DALE MOMO INC ADR B 10,436.25 300.00 0.00 300.00 82.5 09/20/2017 CGN032039 SANDERS, RALDH TEVA PHARMACEUTICAL INDUSTRIES LIMB B 17,300.00 365.00 0.00 365.00 82.5 09/12/2017 QGN032034 PAEGLOW, JOSEPH TARGET CORP S -35,100.00 150.00 0.00 425.00 82.5 09/12/2017 QGN032054 PAEGLOW, JOSEPH TARGET CORP S -35,100.00 150.00 0.00 150.00 82.5 09/12/2017 QGN032054 PAEGLOW, JOSEPH TARGET CORP S -35,100.00 150.00 0.00 425.00 82.5 09/12/2017 QGN032054 PAEGLOW, JOSEPH TARGET CORP S -35,100.00 150.00 0.00 425.00 82.5 09/12/2017 QGN032054 PAIRMENTZ, BOAZ TEVAP HARMACEUTICAL INDUSTRIES LIMB B 15,480.00 400.00 0.00 420.00 82.5 09/27/2017 QGN032054 DAVIDOV, WILLIAM ADAMIS PHERMACEUTICAL SCORP COM NEW B 2,230.00 40.00 0.00 420.00 82.5 09/37/2017 QGN032104 DAVIDOV, WILLIAM ADAMIS PHERMACEUTICALS CORP COM NEW B 2,230.00 40.00 0.00 40.00 82.5 09/37/2017 QGN032104 DAVIDOV, WILLIAM BLECTRO SCIENTIFIC INDS INC COM S -4,217.50 40.00 0.00 40.00 82.5 09/14/2017 QGN032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 3,689.00 35.00 0.00 35.00 82.5 09/14/2017 QGN032104 DAVIDOV, WILLIAM NEG ENERGY INC S -2,331.30 40.00 0.00 40.00 82.5 09/14/2017 QGN032104 DAVIDOV, WILLIAM VIRCO MEG CO B 2,655.00 40.00 0.00 40.00 82.5 09/14/2017 QGN032104 DAVIDOV, WILLIAM VIRCO MEG CO B 2,655.00 40.00 0.00 40.00 82.5 09/14/2017 QGN032104 DAVIDOV, WILLIAM VIRCO MEG CO B 2,655.00 40.00 0.00 40.00 82.5 09/15/2017 QGN032104 DAVIDOV, WILLIAM TWITTER INC S -3,614.00 35.00 0.00 25.00 82.5 09/15/2017 QGN032104 DAVIDOV, WILLIAM SUMMERST AIRLINES CO B 4,655.00 40.00 0.00 40.00 82.5 09/15/2017 QGN032104 DAVIDOV, WILLIAM SWART GLOBAL HLDGS INC SHS S -3,614.00 35.00 0.00 35.00 82.5 09/15/2017 QGN032104 DAVIDOV, WILLIAM SWART GLOBAL HLDGS INC SHS S -3,614.00 35.00 0.00 35.00 82.5 09/15/2017 QGN032102 DAVIDOV, WILLIAM SWART GLOBAL HLDGS INC SHS S -3,614.00 35.00 0.00 35.00 82.5 09/15/2017 QGN032102 DAVIDOV, WILLIAM SWART GLOBAL HLDGS INC SHS S -3,614.00 35.00 0.00 35.00 82.5 09/15/2017 QGN032102 DAVIDOV, WILLIAM SWART GLOBAL HLDGS INC SHS S -3,614.00 35.			BROKER TRA	NSA	CTIONS					
99/20/2017 QW032039 SANDERS, RALPH TEVA PHARMACEUTICAL INDUSTRIES LIMI B 17,300.00 365.00 0.00 425.00 82.5 82.5 82.5 82.10 81.7 2017 QW032047 SHIER, ABDY MOMO INC ADR B 14,780.00 150.00 0.00 425.00 82.5 82.5 82.5 82.5 82.5 82.5 82.5 82.5	PRODUCT CATE	EGORY: STOCKS								
99/20/2017 QW032039 SANDERS, RALPH TEVA PHARMACEUTICAL INDUSTRIES LIMI B 17,300.00 365.00 0.00 425.00 82.5 82.5 82.5 82.10 81.7 2017 QW032047 SHIER, ABDY MOMO INC ADR B 14,780.00 150.00 0.00 425.00 82.5 82.5 82.5 82.5 82.5 82.5 82.5 82.5	09/01/2017 OGV	W032013 STROPE, DALE	MOMO INC ADR	В	10,436.25	300.00	0.00	300.00	82.5	247.50
09/12/2017 QGM032054 PAEGLOM, JOSEPH TARGET CORP S -35,100.00 150.00 0.00 440.00 82.5 09/01/2017 QGM032065 YALINEMITZ, BOAZ MOMO INC ADR B 15,480.00 440.00 0.00 440.00 82.5 08/07/2017 QGM032065 YALINEMITZ, BOAZ TWA PHARMACEUTICAL INDUSTRIES LIMI B 15,480.00 440.00 0.00 420.00 82.5 08/07/2017 QGM032104 DAVIDOV, WILLIAM ADAMIS PHARMACEUTICALS CORP COM NEW B 2,230.00 40.00 0.00 40.00 75.00 82.5 09/01/2017 QGM032104 DAVIDOV, WILLIAM MOMO INC ADR B 3,799.00 75.00 0.00 75.00 82.5 09/01/2017 QGM032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 3,689.00 35.00 0.00 35.00 82.5 09/11/2017 QGM032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 3,689.00 35.00 0.00 35.00 82.5 09/11/2017 QGM032104 DAVIDOV, WILLIAM NEG EMERGY INC S 2.7 331.30 40.00 0.00 40.00 40.00 82.5 09/11/2017 QGM032104 DAVIDOV, WILLIAM NEG EMERGY INC S 2.7 331.30 40.00 0.00 40.00 40.00 82.5 09/11/2017 QGM032104 DAVIDOV, WILLIAM VIRCO MFG CO B 2,655.00 40.00 0.00 40.00 42.5 09/11/2017 QGM032104 DAVIDOV, WILLIAM MICKON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/15/2017 QGM032104 DAVIDOV, WILLIAM MICKON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/15/2017 QGM032104 DAVIDOV, WILLIAM MICKON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/12/2017 QGM032104 DAVIDOV, WILLIAM MICKON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/12/2017 QGM032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 4,749.00 40.00 0.00 40.00 82.5 09/12/2017 QGM032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 4,749.00 40.00 0.00 40.00 82.5 09/12/2017 QGM032104 DAVIDOV, WILLIAM ADLERT TECHNOLOGIES INC B 1,555.00 35.00 0.00 0.00 40.00 82.5 09/12/2017 QGM032102 DAVIDOV, WILLIAM ADLERT TECHNOLOGIES INC B 1,555.00 35.00 0.00 0.00 40.00 82.5 09/12/2017 QGM032120 DAVIDOV, WILLIAM ADLERT TECHNOLOGIES INC B 1,555.00 40.00 0.00 0.00 40.00 82.5 09/12/2017 QGM032120 DAVIDOV, WILLIAM ADLERT TECHNOLOGIES INC B 1,555.00 35.00 0.00 0.00 0.00 0.00 0.00 0.			TEVA PHARMACEUTICAL INDUSTRIES LIMI	В		365.00	0.00	365.00	82.5	301.13
09/01/2017 QGM032096 YALINEWITZ, BOAZ MOMO INC ADR B 15,480.00 440.00 0.00 440.00 82.5 09/27/2017 QGM032096 YALINEWITZ, BOAZ TEVA PHARMACEUTICAL INDUSTRIES LIMI B 14,168.00 420.00 0.00 420.00 82.5 09/01/2017 QGM032104 DAVIDOV, WILLIAM ADAMIS PHARMACEUTICAL INDUSTRIES LIMI B 14,168.00 420.00 0.00 420.00 82.5 09/01/2017 QGM032104 DAVIDOV, WILLIAM MOMO INC ADR B 3,790.00 75.00 0.00 75.00 82.5 09/07/2017 QGM032104 DAVIDOV, WILLIAM ELECTRO SCIENTIFIC INDE INC COM S -4,217.50 40.00 0.00 40.00 82.5 09/11/2017 QGM032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 3,689.00 35.00 0.00 35.00 82.5 09/14/2017 QGM032104 DAVIDOV, WILLIAM NEENERGY INC S -2,331.30 40.00 0.00 40.00 82.5 09/14/2017 QGM032104 DAVIDOV, WILLIAM NEENERGY INC S -2,331.30 40.00 0.00 40.00 82.5 09/14/2017 QGM032104 DAVIDOV, WILLIAM NEENERGY INC S -2,331.30 40.00 0.00 40.00 82.5 09/15/2017 QGM032104 DAVIDOV, WILLIAM NEENERGY INC S -2,331.30 40.00 0.00 40.00 82.5 09/15/2017 QGM032104 DAVIDOV, WILLIAM NECRO TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/15/2017 QGM032104 DAVIDOV, WILLIAM NECRO TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/15/2017 QGM032104 DAVIDOV, WILLIAM TWITTER INC S -3,614.00 35.00 0.00 35.00 82.5 09/21/2017 QGM032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SHS S -3,614.00 40.00 0.00 40.00 82.5 09/21/2017 QGM032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SHS S -3,614.20 40.00 0.00 40.00 82.5 09/14/2017 QGM032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 2,749.00 40.00 0.00 40.00 82.5 09/14/2017 QGM032102 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 09/14/2017 QGM032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 4,175.63 40.00 0.00 40.00 82.5 09/14/2017 QGM032120 DAVIDOV, WILLIAM AGLERNT TECHNOLOGIES INC B 4,175.63 40.00 0.00 40.00 82.5 09/14/2017 QGM032120 DAVIDOV, WILLIAM AGLERNT TECHNOLOGIES INC B 4,175.63 40.00 0.00 35.00 82.5 09/14/2017 QGM032120 DAVIDOV, WILLIAM AGLERNT TECHNOLOGIES INC B 4,175.63 40.00 0.00 40.00 82.5 09/14/2017 QGM032120 DAVIDOV, WILLIAM AGLERNT TECHNOLOGIES INC B 4,175.63	08/31/2017 QGV	W032047 SHIER, ANDY	MOMO INC ADR	В	14,780.00	425.00	0.00	425.00	82.5	350.63
09/27/2017 QGW032104 DAVIDOV, WILLIAM ADAMIS PHARMACEUTICAL INDUSTRIES LIMI B 14,168.00 420.00 0.00 420.00 82.5 08/31/2017 QGW032104 DAVIDOV, WILLIAM MOMO INC ADR B 3,790.00 75.00 0.00 40.00 82.5 09/01/2017 QGW032104 DAVIDOV, WILLIAM ELECTRO SCIENTIFIC INDS INC COM B 3,790.00 75.00 0.00 40.00 82.5 09/01/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 3,699.00 35.00 0.00 35.00 82.5 09/11/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 3,699.00 35.00 0.00 40.00 82.5 09/11/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 3,699.00 35.00 0.00 40.00 82.5 09/11/2017 QGW032104 DAVIDOV, WILLIAM VIRCO MFG CO B 2,655.00 40.00 0.00 40.00 82.5 09/11/2017 QGW032104 DAVIDOV, WILLIAM VIRCO MFG CO B 2,655.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM ADAMIS PHARMACEUTICALS CORP COM NEW S -1,055.00 25.00 0.00 25.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM MICRON TECHNOLOGY INC B 4,360.0 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM MICRON TECHNOLOGY INC B 4,360.0 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SHS S -3,614.00 35.00 0.00 35.00 82.5 09/12/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 2,749.00 40.00 0.00 40.00 82.5 09/12/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 8,744.00 40.00 0.00 40.00 82.5 09/14/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 9,749.00 40.00 0.00 40.00 82.5 09/14/2017 QGW032102 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 1,852.50 35.00 0.00 35.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -2,738.68 35.00 0.00 35.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 11,852.50 35.00 0.00 35.00 35.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -2,738.68 35.00 0.00 35.00 35.00 35.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM	09/12/2017 QGV	W032054 PAEGLOW, JOSEPH	TARGET CORP	S	-35,100.00	150.00	0.00	150.00	82.5	123.75
08/31/2017 QGW032104 DAVIDOV, WILLIAM MOMO INC ADR B 3,790.00 75.00 0.00 40.00 82.5 09/01/2017 QGW032104 DAVIDOV, WILLIAM MOMO INC ADR B 3,790.00 75.00 0.00 75.00 82.5 09/11/2017 QGW032104 DAVIDOV, WILLIAM ELECTRO SCIENTIFIC INDS INC COM S -4,217.50 40.00 0.00 40.00 82.5 09/11/2017 QGW032104 DAVIDOV, WILLIAM SOUTHNEST AIRLINES CO B 3,689.00 35.00 0.00 35.00 82.5 09/14/2017 QGW032104 DAVIDOV, WILLIAM NRG ENERGY INC S -2,331.30 40.00 0.00 40.00 82.5 09/14/2017 QGW032104 DAVIDOV, WILLIAM VIRCO MRG CO B 2,655.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM ADAMIS PHARMACEUTICALS CORP COM NEW S -1,055.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM MICRON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM MICRON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/12/2017 QGW032104 DAVIDOV, WILLIAM TWITTER INC S -3,614.00 35.00 0.00 35.00 82.5 09/12/2017 QGW032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SIS S -3,614.00 35.00 0.00 40.00 82.5 09/12/2017 QGW032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SIS S -3,544.20 40.00 0.00 40.00 82.5 08/31/2017 QGW032112 CIARLO, ANTHONY MOMO INC ADR B 2,544.20 40.00 0.00 40.00 82.5 08/31/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 08/31/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 08/31/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 08/31/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 08/31/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 08/31/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 08/31/2017 QGW032219 NERY, GENEROSO STARBUCKS CORP STARBUCKS CORP S	09/01/2017 QGV	W032096 YALINEWITZ, BOAZ	MOMO INC ADR	В	15,480.00	440.00	0.00	440.00	82.5	363.00
09/01/2017 QGW032104 DAVIDOV, WILLIAM MOMO INC ADR B 3,790.00 75.00 0.00 75.00 82.5 09/07/2017 QGW032104 DAVIDOV, WILLIAM ELECTRO SCIENTIFIC INDS INC COM S -4,217.50 40.00 0.00 40.00 82.5 09/14/2017 QGW032104 DAVIDOV, WILLIAM NRG ENERGY INC S -2,331.30 40.00 0.00 40.00 82.5 09/14/2017 QGW032104 DAVIDOV, WILLIAM NRG ENERGY INC S -2,331.30 40.00 0.00 40.00 82.5 09/14/2017 QGW032104 DAVIDOV, WILLIAM VIRCO MFG CO B 2,655.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM ADAMIS PHARMACEUTICALS CORP COM NEW S -1,055.00 25.00 0.00 25.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM MICRON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM MICRON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/21/2017 QGW032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SS -3,614.00 35.00 0.00 35.00 82.5 09/21/2017 QGW032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SS -3,544.20 40.00 0.00 40.00 82.5 09/21/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 2,749.00 40.00 0.00 40.00 82.5 09/21/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 2,749.00 40.00 0.00 40.00 82.5 09/14/2017 QGW032112 CIARLO, ANTHONY MOMO INC ADR B 9,637.48 275.00 0.00 275.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 1,852.50 35.00 0.00 35.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 1,1571.51 330.00 0.00 330.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 1,1571.51 330.00 0.00 330.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 1,1571.51 330.00 0.00 330.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 1,1571.51 330.00 0.00 330.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 1,1571.51 330.00 0.00 330.00 82.5 09/19/2017 QGW032129 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 1,1571.51 330.00 0.00 330.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN MOMO INC ADR B 11,	09/27/2017 QGV	W032096 YALINEWITZ, BOAZ	TEVA PHARMACEUTICAL INDUSTRIES LIMI	В	14,168.00	420.00	0.00	420.00	82.5	346.50
09/07/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 3,669.00 35.00 0.00 40.00 82.5 09/11/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 3,669.00 35.00 0.00 35.00 82.5 09/14/2017 QGW032104 DAVIDOV, WILLIAM VIRCO MFG CO B 2,655.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM ADAMIS PHARMACEUTICALS CORP COM NEW S -1,055.00 25.00 0.00 25.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM TWITTER INC B 4,360.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM MICRON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM MICRON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SS -3,614.00 35.00 0.00 35.00 82.5 09/22/2017 QGW032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SS S -3,544.20 40.00 0.00 40.00 82.5 09/22/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 2,749.00 40.00 0.00 40.00 82.5 09/19/2017 QGW032112 CIARLO, ANTHONY MOMO INC ADR B 9,637.48 275.00 0.00 275.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,571.51 330.00 0.00 40.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 4,175.63 40.00 0.00 40.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 40.00 82.5 09/19/2017 QGW032195 NERY, GENREOSO STARBUCKS CORP S -2,738.68 35.00 0.00 330.00 82.5 09/19/2017 QGW032195 NERY, GENREOSO STARBUCKS CORP S -2,738.68 35.00 0.00 330.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN DOMO INC ADR B 11,325.00 330.00 0.00 330.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 0.00 330.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 1,2,355.00 365.00 0.00 365.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 1,2,355.0	08/31/2017 QGV	W032104 DAVIDOV, WILLIAM	ADAMIS PHARMACEUTICALS CORP COM NEW	В	2,230.00	40.00	0.00	40.00	82.5	33.00
09/11/2017 QGW032104 DAVIDOV, WILLIAM NRG ENERGY INC S -2,331.30 40.00 0.00 40.00 82.5 09/14/2017 QGW032104 DAVIDOV, WILLIAM NRG ENERGY INC S -2,331.30 40.00 0.00 40.00 82.5 09/14/2017 QGW032104 DAVIDOV, WILLIAM VIRCO MFG CO B 2,655.00 40.00 0.00 40.00 25.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM ADAMIS PHARMACEUTICALS CORP COM NEW S -1,055.00 25.00 0.00 25.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM MICRON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 25.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM TWITTER INC S -3,614.00 35.00 0.00 35.00 82.5 09/21/2017 QGW032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SHS S -3,544.20 40.00 0.00 40.00 82.5 09/22/2017 QGW032104 DAVIDOV, WILLIAM SWART GLOBAL HLDGS INC SHS S -3,544.20 40.00 0.00 40.00 82.5 09/22/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 2,749.00 40.00 0.00 40.00 82.5 09/14/2017 QGW032112 CIABLO, ANTHONY MONO INC ADR B 9,637.48 275.00 0.00 275.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 1,852.50 35.00 0.00 40.00 40.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 1,852.50 35.00 0.00 35.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 40.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 40.00 82.5 09/31/2017 QGW032125 NERY, GENEROSO MOMO INC ADR B 11,571.51 330.00 0.00 330.00 82.5 09/07/2017 QGW032203 SHIPLEY, CRAIG TEVA PHARMACEUTICAL INDUSTRIES LIMI B 4,635.00 130.00 0.00 130.00 82.5 08/31/2017 QGW032223 PETERSON, SHAWN MOMO INC ADR B 11,325.00 330.00 0.00 330.00 82.5 08/31/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -7,738.68 35.00 0.00 330.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -7,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -7,625.00 100.00 0.00 100.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -7,625.00 100.00 0.00 100.00 365.00 82.5 09/19/201	09/01/2017 QGV	W032104 DAVIDOV, WILLIAM	MOMO INC ADR	В	3,790.00	75.00	0.00	75.00	82.5	61.88
09/14/2017 QGW032104 DAVIDOV, WILLIAM VIRCO MFG CO B 2,655.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM VIRCO MFG CO B 2,655.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM ADAMIS PHARMACEUTICALS CORP COM NEW S -1,055.00 25.00 0.00 25.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM MICRON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM MICRON TECHNOLOGY INC S -3,614.00 35.00 0.00 35.00 82.5 09/21/2017 QGW032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SHS S -3,614.00 35.00 0.00 40.00 82.5 09/22/2017 QGW032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SHS S -3,544.20 40.00 0.00 40.00 82.5 09/22/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 2,749.00 40.00 0.00 40.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 275.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 1,852.50 35.00 0.00 35.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 4,175.63 40.00 0.00 40.00 82.5 08/31/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 330.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO STARBUCKS CORP S -2,738.68 35.00 0.00 35.00 82.5 08/31/2017 QGW0322195 NERY, GENEROSO STARBUCKS CORP S -2,738.68 35.00 0.00 35.00 82.5 08/31/2017 QGW032237 PETERSON, SHAWN MOMO INC ADR B 11,571.51 30.00 0.00 10.00 10.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 0.00 365.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 0.00 365.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 0.00 100.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 0.00 100.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 0.00 0.00 100.00 82.5	09/07/2017 QGV	W032104 DAVIDOV, WILLIAM	ELECTRO SCIENTIFIC INDS INC COM	S	-4,217.50	40.00	0.00	40.00	82.5	33.00
09/14/2017 QGW032104 DAVIDOV, WILLIAM VIRCO MFG CO B 2,655.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM ADAMIS PHARMACEUTICALS CORP COM NEW S -1,055.00 25.00 0.00 25.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM MICRON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM TWITTER INC S -3,614.00 35.00 0.00 35.00 82.5 09/21/2017 QGW032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SHS S -3,544.20 40.00 0.00 40.00 82.5 09/22/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 2,749.00 40.00 0.00 40.00 82.5 09/22/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 2,749.00 40.00 0.00 40.00 82.5 09/12/2017 QGW032112 CIARLO, ANTHONY MOMO INC ADR B 9,637.48 275.00 0.00 275.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 40.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO STARBUCKS CORP S -2,738.68 35.00 0.00 35.00 82.5 09/07/2017 QGW032195 NERY, GENEROSO STARBUCKS CORP S -2,738.68 35.00 0.00 35.00 82.5 08/31/2017 QGW032295 NERY, GENEROSO STARBUCKS CORP S -2,738.68 35.00 0.00 35.00 82.5 08/31/2017 QGW0322237 PETERSON, SHAWN MOMO INC ADR B 9,325.00 265.00 0.00 35.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 30.00 0.00 30.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.	09/11/2017 QGV	W032104 DAVIDOV, WILLIAM	SOUTHWEST AIRLINES CO	В	3,689.00	35.00	0.00	35.00	82.5	28.88
09/15/2017 QGW032104 DAVIDOV, WILLIAM ADAMIS PHARMACEUTICALS CORP COM NEW S -1,055.00 25.00 0.00 25.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM MICRON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM TWITTER INC S -3,614.00 35.00 0.00 35.00 82.5 09/22/2017 QGW032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SHS S -3,544.20 40.00 0.00 40.00 82.5 09/22/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 2,749.00 40.00 0.00 40.00 82.5 09/22/2017 QGW032112 CIARLO, ANTHONY MOMO INC ADR B 9,637.48 275.00 0.00 275.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 1,852.50 35.00 0.00 35.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 4,175.63 40.00 0.00 40.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO MOMO INC ADR B 11,571.51 330.00 0.00 330.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO STARBUCKS CORP S -2,738.68 35.00 0.00 35.00 82.5 09/07/2017 QGW032229 WATSON, ROGER MOMO INC ADR B 9,325.00 265.00 0.00 330.00 82.5 09/10/2017 QGW0322237 PETERSON, SHAWN MOMO INC ADR B 11,325.00 330.00 0.00 330.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -16,590.00 100.00 0.00 100.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -16,590.00 100.00 0.00	09/14/2017 QGV	W032104 DAVIDOV, WILLIAM	NRG ENERGY INC	S	-2,331.30	40.00	0.00	40.00	82.5	33.00
09/15/2017 QGW032104 DAVIDOV, WILLIAM MICRON TECHNOLOGY INC B 4,360.00 40.00 0.00 40.00 82.5 09/15/2017 QGW032104 DAVIDOV, WILLIAM TWITTER INC S -3,614.00 35.00 0.00 35.00 82.5 09/21/2017 QGW032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SHS S -3,544.20 40.00 0.00 40.00 82.5 09/22/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 2,749.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032112 CIARLO, ANTHONY MOMO INC ADR B 9,637.48 275.00 0.00 275.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 4,175.63 40.00 0.00 40.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032125 DREY, GENEROSO MOMO INC ADR B 11,571.51 330.00 0.00 330.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO STARBUCKS CORP S -2,738.68 35.00 0.00 330.00 82.5 09/07/2017 QGW032223 SHIPLEY, CRAIG TEVA PHARMACEUTICAL INDUSTRIES LIMI B 4,635.00 130.00 0.00 130.00 82.5 08/31/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 330.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 365.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5	09/14/2017 QGV	W032104 DAVIDOV, WILLIAM	VIRCO MFG CO	В	2,655.00	40.00	0.00	40.00	82.5	33.00
09/15/2017 QGW032104 DAVIDOV, WILLIAM TWITTER INC S -3,614.00 35.00 0.00 35.00 82.5 09/21/2017 QGW032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SHS S -3,544.20 40.00 0.00 40.00 82.5 09/22/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 2,749.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032112 CIARLO, ANTHONY MOMO INC ADR B 9,637.48 275.00 0.00 275.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 4,175.63 40.00 0.00 40.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO MOMO INC ADR B 11,571.51 330.00 0.00 330.00 82.5 09/07/2017 QGW032195 NERY, GENEROSO STARBUCKS CORP S -2,738.68 35.00 0.00 35.00 82.5 09/07/2017 QGW0322237 BETERSON, SHAWN MOMO INC ADR B 9,325.00 265.00 0.00 330.00 82.5 08/31/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK	09/15/2017 QGV	W032104 DAVIDOV, WILLIAM	ADAMIS PHARMACEUTICALS CORP COM NEW	S	-1,055.00	25.00	0.00	25.00	82.5	20.63
09/21/2017 QGW032104 DAVIDOV, WILLIAM SMART GLOBAL HLDGS INC SHS S -3,544.20 40.00 0.00 40.00 82.5 09/22/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 2,749.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032112 CIARLO, ANTHONY MOMO INC ADR B 9,637.48 275.00 0.00 275.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 4,175.63 40.00 0.00 40.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO MOMO INC ADR B 11,571.51 330.00 0.00 330.00 82.5 09/07/2017 QGW032195 NERY, GENEROSO STARBUCKS CORP S -2,738.68 35.00 0.00 35.00 82.5 09/07/2017 QGW032203 SHIPLEY, CRAIG TEVA PHARMACEUTICAL INDUSTRIES LIMI B 4,635.00 130.00 0.00 130.00 82.5 08/31/2017 QGW032229 WATSON, ROGER MOMO INC ADR B 9,325.00 265.00 0.00 265.00 82.5 08/31/2017 QGW032237 PETERSON, SHAWN MOMO INC ADR B 11,325.00 330.00 0.00 330.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK	09/15/2017 QGV	W032104 DAVIDOV, WILLIAM	MICRON TECHNOLOGY INC	В	4,360.00	40.00	0.00	40.00	82.5	33.00
09/22/2017 QGW032104 DAVIDOV, WILLIAM SOUTHWEST AIRLINES CO B 2,749.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032112 CIARLO, ANTHONY MOMO INC ADR B 9,637.48 275.00 0.00 275.00 82.5 09/14/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 4,175.63 40.00 0.00 40.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO MOMO INC ADR B 11,571.51 330.00 0.00 330.00 82.5 09/107/2017 QGW032195 NERY, GENEROSO STARBUCKS CORP S -2,738.68 35.00 0.00 35.00 82.5 09/107/2017 QGW032203 SHIPLEY, CRAIG TEVA PHARMACEUTICAL INDUSTRIES LIMI B 4,635.00 130.00 0.00 130.00 82.5 08/31/2017 QGW032229 WATSON, ROGER MOMO INC ADR B 9,325.00 265.00 0.00 265.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN MOMO INC ADR B 11,325.00 330.00 0.00 330.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 365.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 12,355.00 365.00 0.00 365.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 12,355.00 365.00 0.00 365.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5	09/15/2017 QGV	W032104 DAVIDOV, WILLIAM	TWITTER INC	S	-3,614.00	35.00	0.00	35.00	82.5	28.88
08/31/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 275.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 1,852.50 35.00 0.00 40.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 4,175.63 40.00 0.00 40.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO MOMO INC ADR B 11,571.51 330.00 0.00 330.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO STARBUCKS CORP S -2,738.68 35.00 0.00 35.00 82.5 09/07/2017 QGW032203 SHIPLEY, CRAIG TEVA PHARMACEUTICAL INDUSTRIES LIMI B 4,635.00 130.00 0.00 130.00 82.5 08/31/2017 QGW032229 WATSON, ROGER MOMO INC ADR B 9,325.00 265.00 0.00 265.00 82.5 08/31/2017 QGW032237 PETERSON, SHAWN MOMO INC ADR B 11,325.00 330.00 0.00 330.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 12,355.00 365.00 0.00 365.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 12,355.00 365.00 0.00 365.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 12,355.00 365.00 0.00 365.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5	09/21/2017 QGV	W032104 DAVIDOV, WILLIAM	SMART GLOBAL HLDGS INC SHS	S	-3,544.20	40.00	0.00	40.00	82.5	33.00
09/14/2017 QGW032120 DAVIDOV, WILLIAM ADVANCED MICRO DEVICES INC B 1,852.50 35.00 0.00 35.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 4,175.63 40.00 0.00 40.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO MOMO INC ADR B 11,571.51 330.00 0.00 330.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO STARBUCKS CORP S -2,738.68 35.00 0.00 35.00 82.5 09/07/2017 QGW032203 SHIPLEY, CRAIG TEVA PHARMACEUTICAL INDUSTRIES LIMI B 4,635.00 130.00 0.00 130.00 82.5 08/31/2017 QGW032229 WATSON, ROGER MOMO INC ADR B 9,325.00 265.00 0.00 265.00 82.5 08/31/2017 QGW032237 PETERSON, SHAWN MOMO INC ADR B 11,325.00 330.00 0.00 330.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 12,355.00 365.00 0.00 365.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5	09/22/2017 QGV	w032104 DAVIDOV, WILLIAM	SOUTHWEST AIRLINES CO	В	2,749.00	40.00	0.00	40.00	82.5	33.00
09/19/2017 QGW032120 DAVIDOV, WILLIAM AGILENT TECHNOLOGIES INC B 4,175.63 40.00 0.00 40.00 82.5 09/19/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO MOMO INC ADR B 11,571.51 330.00 0.00 330.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO STARBUCKS CORP S -2,738.68 35.00 0.00 35.00 82.5 09/07/2017 QGW032203 SHIPLEY, CRAIG TEVA PHARMACEUTICAL INDUSTRIES LIMI B 4,635.00 130.00 0.00 130.00 82.5 08/31/2017 QGW032229 WATSON, ROGER MOMO INC ADR B 9,325.00 265.00 0.00 265.00 82.5 08/31/2017 QGW032237 PETERSON, SHAWN MOMO INC ADR B 11,325.00 330.00 0.00 330.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 365.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 12,355.00 365.00 0.00 365.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5	08/31/2017 QGV	w032112 CIARLO, ANTHONY	MOMO INC ADR	В	9,637.48	275.00	0.00	275.00	82.5	226.88
09/19/2017 QGW032120 DAVIDOV, WILLIAM COMMERCIAL METALS CO S -3,622.00 40.00 0.00 40.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO MOMO INC ADR B 11,571.51 330.00 0.00 330.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO STARBUCKS CORP S -2,738.68 35.00 0.00 35.00 82.5 09/07/2017 QGW032203 SHIPLEY, CRAIG TEVA PHARMACEUTICAL INDUSTRIES LIMI B 4,635.00 130.00 0.00 130.00 82.5 08/31/2017 QGW032229 WATSON, ROGER MOMO INC ADR B 9,325.00 265.00 0.00 265.00 82.5 08/31/2017 QGW032237 PETERSON, SHAWN MOMO INC ADR B 11,325.00 330.00 0.00 330.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 12,355.00 365.00 0.00 365.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5	09/14/2017 QGV	W032120 DAVIDOV, WILLIAM	ADVANCED MICRO DEVICES INC	В	1,852.50	35.00	0.00	35.00	82.5	28.88
08/31/2017 QGW032195 NERY, GENEROSO MOMO INC ADR B 11,571.51 330.00 0.00 330.00 82.5 08/31/2017 QGW032195 NERY, GENEROSO STARBUCKS CORP S -2,738.68 35.00 0.00 35.00 82.5 09/07/2017 QGW032203 SHIPLEY, CRAIG TEVA PHARMACEUTICAL INDUSTRIES LIMI B 4,635.00 130.00 0.00 130.00 82.5 08/31/2017 QGW032229 WATSON, ROGER MOMO INC ADR B 9,325.00 265.00 0.00 265.00 82.5 08/31/2017 QGW032237 PETERSON, SHAWN MOMO INC ADR B 11,325.00 330.00 0.00 330.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 12,355.00 365.00 0.00 365.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5	09/19/2017 QGV	W032120 DAVIDOV, WILLIAM	AGILENT TECHNOLOGIES INC	В	4,175.63	40.00	0.00	40.00	82.5	33.00
08/31/2017 QGW032195 NERY, GENEROSO STARBUCKS CORP S -2,738.68 35.00 0.00 35.00 82.5 09/07/2017 QGW032203 SHIPLEY, CRAIG TEVA PHARMACEUTICAL INDUSTRIES LIMI B 4,635.00 130.00 0.00 130.00 82.5 08/31/2017 QGW032229 WATSON, ROGER MOMO INC ADR B 9,325.00 265.00 0.00 265.00 82.5 08/31/2017 QGW032237 PETERSON, SHAWN MOMO INC ADR B 11,325.00 330.00 0.00 330.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 12,355.00 365.00 0.00 365.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5	09/19/2017 QGV	W032120 DAVIDOV, WILLIAM	COMMERCIAL METALS CO	S	-3,622.00	40.00	0.00	40.00	82.5	33.00
09/07/2017 QGW032203 SHIPLEY, CRAIG TEVA PHARMACEUTICAL INDUSTRIES LIMI B 4,635.00 130.00 0.00 130.00 82.5 08/31/2017 QGW032229 WATSON, ROGER MOMO INC ADR B 9,325.00 265.00 0.00 265.00 82.5 08/31/2017 QGW032237 PETERSON, SHAWN MOMO INC ADR B 11,325.00 330.00 0.00 330.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 12,355.00 365.00 0.00 365.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5	08/31/2017 QGV	W032195 NERY, GENEROSO	MOMO INC ADR	В	11,571.51	330.00	0.00	330.00	82.5	272.25
08/31/2017 QGW032229 WATSON, ROGER MOMO INC ADR B 9,325.00 265.00 0.00 265.00 82.5 08/31/2017 QGW032237 PETERSON, SHAWN MOMO INC ADR B 11,325.00 330.00 0.00 330.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 12,355.00 365.00 0.00 365.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5	08/31/2017 QGV	W032195 NERY, GENEROSO	STARBUCKS CORP	S	-2,738.68	35.00	0.00	35.00	82.5	28.88
08/31/2017 QGW032237 PETERSON, SHAWN MOMO INC ADR B 11,325.00 330.00 0.00 330.00 82.5 09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 12,355.00 365.00 0.00 365.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5	09/07/2017 QGV	W032203 SHIPLEY, CRAIG	TEVA PHARMACEUTICAL INDUSTRIES LIMI	В	4,635.00	130.00	0.00	130.00	82.5	107.25
09/13/2017 QGW032237 PETERSON, SHAWN TARGET CORP S -17,625.00 100.00 0.00 100.00 82.5 09/18/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 12,355.00 365.00 0.00 365.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5	08/31/2017 QGV	W032229 WATSON, ROGER	MOMO INC ADR	В	9,325.00	265.00	0.00	265.00	82.5	218.63
09/18/2017 QGW032237 PETERSON, SHAWN TEVA PHARMACEUTICAL INDUSTRIES LIMI B 12,355.00 365.00 0.00 365.00 82.5 09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5	08/31/2017 QGV	W032237 PETERSON, SHAWN	MOMO INC ADR	В	11,325.00	330.00	0.00	330.00	82.5	272.25
09/19/2017 QGW032237 PETERSON, SHAWN TORONTO-DOMINION BANK S -16,590.00 100.00 0.00 100.00 82.5	09/13/2017 QGV	W032237 PETERSON, SHAWN	TARGET CORP	S	-17,625.00	100.00	0.00	100.00	82.5	82.50
	09/18/2017 QGV	W032237 PETERSON, SHAWN	TEVA PHARMACEUTICAL INDUSTRIES LIMI	В	12,355.00	365.00	0.00	365.00	82.5	301.13
00/05/00/55 00/00/55 0	09/19/2017 QGV	W032237 PETERSON, SHAWN	TORONTO-DOMINION BANK	S	-16,590.00	100.00	0.00	100.00	82.5	82.50
09/27/2017 QGW032237 PETERSON, SHAWN COTY INC COM CL A B 16,300.00 475.00 0.00 475.00 82.5	09/27/2017 QGV	W032237 PETERSON, SHAWN	COTY INC COM CL A	В	16,300.00	475.00	0.00	475.00	82.5	391.88
09/14/2017 QGW032252 OMAR, ZEKI ROCKY MTN HIGH BRANDS INC COM B 277.00 35.00 0.00 35.00 82.5	09/14/2017 QGV	W032252 OMAR, ZEKI	ROCKY MTN HIGH BRANDS INC COM	В	277.00	35.00	0.00	35.00	82.5	28.88

COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRAN	SACTIONS					
PRODUCT CATEGORY: STOCKS							
09/01/2017 QGW032385 GIBBINGS, WAYNE	MOMO INC ADR B	3,770.00	100.00	0.00	100.00	82.5	82.50
09/22/2017 QGW032674 ONESTO, RICHARD	APPLE INC B	16,000.00	80.73	0.00	80.73	82.5	66.60
09/01/2017 QGW033151 DEROIN, JOHN	MOMO INC ADR B	6,562.50	190.00	0.00	190.00	82.5	156.75
09/05/2017 QGW033334 FERRARO, SARAH	OPKO HEALTH INC S	-2,848.50	50.00	0.00	50.00	82.5	41.25
09/05/2017 QGW033334 FERRARO, SARAH	TEVA PHARMACEUTICAL INDUSTRIES LIMI B	3,068.00	65.00	0.00	65.00	82.5	53.63
08/31/2017 QGW033581 RYKEN, MICHAEL	MOMO INC ADR B	12,936.00	375.00	0.00	375.00	82.5	309.38
09/12/2017 QGW033581 RYKEN, MICHAEL	KINDER MORGAN INC S	-4,474.28	75.00	0.00	75.00	82.5	61.88
09/01/2017 QGW033870 BROWN, KENNETH	MOMO INC ADR B	11,468.97	340.00	0.00	340.00	82.5	280.50
09/18/2017 QGW033870 BROWN, KENNETH	TEVA PHARMACEUTICAL INDUSTRIES LIMI B	14,160.00	420.00	0.00	420.00	82.5	346.50
09/27/2017 QGW033870 BROWN, KENNETH	TORONTO-DOMINION BANK S	-22,708.00	250.00	0.00	250.00	82.5	206.25
09/11/2017 QGW037319 PETERSON, GARY	MOMO INC ADR B	4,956.90	50.00	0.00	50.00	82.5	41.25
09/08/2017 QGW038549 MORAN, STEVEN	ROCKWELL COLLINS INC DEL COM STK B	9,830.25	50.00	0.00	50.00	82.5	41.25
09/11/2017 QGW038598 DUVALL, CHARLES	MOMO INC ADR B	953.00	24.00	0.00	24.00	82.5	19.80
	* STOCKS SUBTOTAL *	\$136,328.53	\$7,174.73	\$0.00	\$7,174.73		\$5,919.23
PRODUCT CATEGORY: OPTIONS							
09/06/2017 QGW032674 ONESTO, RICHARD	CALL 100 MAZOR ROBOTICS LTD SPONSOR B	2,040.00	35.00	0.00	35.00	82.5	28.88
09/25/2017 QGW032674 ONESTO, RICHARD	PUT 100 FACEBOOK INC CL A EXP 11-17 S	-495.00	30.00	0.00		82.5	24.75
	* OPTIONS SUBTOTAL *	\$1,545.00	\$65.00	\$0.00	\$65.00		\$53.63
PRODUCT CATEGORY: MISCELLANEOUS							
09/05/2017 VARIOUS, SACHS	INSURANCE B	0.00	40.52	0.00	40.52	82.5	33.43
09/05/2017 VARIOUS, SACHS	WILLIAM PENN B	0.00	93.50	0.00	93.50	82.5	77.14
	* MISCELLANEOUS SUBTOTAL *	\$0.00	\$134.02	\$0.00	\$134.02		\$110.57
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS						
09/13/2017 QGW033185 DEVORE, DOREEN	AIT ARROW DWA BALANCED FUND CLASS C B	0.00	5.99	0.00	5.99	82.5	4.94
09/15/2017 QGW033185 DEVORE, DOREEN	WELLS FARGO EMERGING MARKETS EQUITY B	0.00	0.53	0.00	0.53	82.5	0.44
09/14/2017 QGW033383 DEROIN, KATHLEEN	FRK AGE HIGH INC FND - CL A B	0.00	0.70	0.00	0.70	82.5	0.58
09/14/2017 QGW035305 POORMAN, JEFFREY	FRANKLIN INCOME FUND CLASS A B	0.00	0.25	0.00	0.25	82.5	0.21
09/14/2017 QGW035305 POORMAN, JEFFREY	MUTUAL SERIES SHARES CLASS A B	0.00	0.47	0.00	0.47	82.5	0.39
09/18/2017 QGW036923 CHAPMAN, HERBERT	EUROPACIFIC GROWTH FUND-A B	0.00	0.31	0.00	0.31	82.5	0.26
09/18/2017 QGW036923 CHAPMAN, HERBERT	FUNDAMENTAL INVESTORS-A B	0.00	0.37	0.00	0.37	82.5	0.31
09/18/2017 QGW036923 CHAPMAN, HERBERT	SMALLCAP WORLD FUND-A B	0.00	0.17	0.00	0.17	82.5	0.14
09/18/2017 QGW036923 CHAPMAN, HERBERT	THE BOND FUND OF AMERICA-A B	0.00	0.29	0.00	0.29	82.5	0.24
09/18/2017 QGW036923 CHAPMAN, HERBERT	THE GROWTH FUND OF AMERICA-A B	0.00	0.38	0.00	0.38	82.5	0.31
09/18/2017 QGW036923 CHAPMAN, HERBERT	THE INVESTMENT COMPANY OF AMERICA-A B	0.00	0.16	0.00	0.16	82.5	0.13
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$9.62	\$0.00	\$9.62		\$7.95
	*** BROKER TRANSACTIONS TOTAL ***	\$137,873.53	\$7,383.37	\$0.00	\$7,383.37		\$6,091.38
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COMMISSION STATEMENT for ANDREW STUART SACHS INVESTMENT GROSS CLEARING NET BROKER TRADE DATE CLIENT INVESTMENT B/S AMOUNT COMMISSION CHARGE COMMISSION RATE COMMISSION **ADJUSTMENTS** 09/29/2017 0.00 0.00 0.00 0.00 -123.75 OTHER: COMPLIANCE AND TECHNOLOGY -8.00 09/29/2017 OTHER: OTHER-360 OFFICE 0.00 0.00 0.00 0.00 09/29/2017 0.00 -23.66 OTHER: OTHER-POSTAGE 0.00 0.00 0.00 09/29/2017 0.00 OTHER: OTHER --POSTAGE 0.00 0.00 0.00 -27.84 *** ADJUSTMENTS TOTAL *** \$0.00 \$0.00 \$0.00 \$0.00 \$-183.25 BROKER COMMISSION TOTALS Broker Transactions \$6,091.38 \$0.00 Split Transactions \$0.00 Override Transactions Adjustments \$-183.25 \$0.00 Payroll Draw \$0.00 Base Salary

\$0.00

\$-9.06 \$-18.12

\$5,880.95

\$23,656.79

Prior Period Balance FINRA Assessment

Year-to-date Earnings

SIPC Assessment

Check Amount