



Concorde Investment Services, LLC

01/27/2017  
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## COMMISSION STATEMENT

January 31, 2017

B151-JASON/MARIA BAIRD/BONGIOVANNI

BROKER #: B151 Terminated 12/31/2016

BRANCH: SECURE ASSET FINANCI

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^01/17/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	100.0	0.00
^01/17/2017	FEY, MICHAEL	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	100.0	0.00
^01/17/2017	THOMPSON, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC C	200.00	2.00	0.00	2.00	100.0	0.00
^01/17/2017	BRACHER, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA-529	50.00	0.50	0.00	0.50	100.0	0.00
^01/17/2017	BOWLBY, TAMIE	AMERICAN FUND COLLEGE 2027 FUND 529	200.00	7.00	0.00	7.00	100.0	0.00
^01/17/2017	BOWLBY, BRET	AMERICAN FUNDS COLLEGE 2024 FD-529A	250.00	8.75	0.00	8.75	100.0	0.00
^12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.31	0.00	7.31	100.0	0.00
^12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.76	0.00	7.76	100.0	0.00
^12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.44	0.00	2.44	100.0	0.00
^12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	9.57	0.00	9.57	100.0	0.00
^12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	28.71	0.00	28.71	100.0	0.00
^12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	23.29	0.00	23.29	100.0	0.00
^01/17/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	100.0	0.00
^01/17/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	100.0	0.00
^01/17/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	6.00	0.00	6.00	100.0	0.00
^01/01/2017	BANKS, MICHAEL	INVESCO BALANCED RISK ALLOCATION A	0.00	4.36	0.00	4.36	100.0	0.00
^01/01/2017	BANKS, DIANE	INVESCO BALANCED RISK ALLOCATION A	0.00	2.38	0.00	2.38	100.0	0.00
^01/05/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.50	0.00	0.50	100.0	0.00
^01/05/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.50	0.00	0.50	100.0	0.00
^01/17/2017	BONGIOVANNI TOD, CLARICE	TRANSAMERICA AA CONSERVATIVE A	250.00	11.88	0.00	11.88	100.0	0.00
^01/17/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$2,375.00	\$131.14	\$0.00	\$131.14		\$0.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
^01/03/2017	NORCROSS, NANCY	METLIFE SERIES L	33,451.15	83.63	0.00	83.63	100.0	0.00
^01/03/2017	NORCROSS, NANCY	METLIFE SERIES L	36,835.64	92.09	0.00	92.09	100.0	0.00
^01/03/2017	NORCROSS, NANCY	METLIFE SERIES L	32,141.56	80.35	0.00	80.35	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
^01/07/2017	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	100.0	0.00
^01/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	100.0	0.00
^01/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	4.26	0.00	4.26	100.0	0.00
^01/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	2.10	0.00	2.10	100.0	0.00
^01/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	100.0	0.00
^01/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	4.92	0.00	4.92	100.0	0.00
^01/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.89	0.00	0.89	100.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$103,602.13	\$271.25	\$0.00	\$271.25		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$105,977.13	\$402.39	\$0.00	\$402.39		\$0.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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^Date - Split Trade - full Investment Amount & Gross Commission displayed