



Concorde Investment Services, LLC

08/17/2018

Page No. 1

COMMISSION STATEMENT

August 31, 2018

JEFFREY, K119 KAUFMAN
801 Gray Oak Drive

BROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/08/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	87.10	0.87	0.00	0.87	90.0	0.78
08/08/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	145.17	1.45	0.00	1.45	90.0	1.31
08/08/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
08/08/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
08/08/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	27.52	0.28	0.00	0.28	90.0	0.25
08/08/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	27.52	0.28	0.00	0.28	90.0	0.25
08/08/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
08/08/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
08/08/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.99	0.41	0.00	0.41	90.0	0.37
08/08/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.99	0.41	0.00	0.41	90.0	0.37
08/08/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	150.82	1.51	0.00	1.51	90.0	1.36
08/08/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	351.92	3.52	0.00	3.52	90.0	3.17
08/08/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	66.94	0.67	0.00	0.67	90.0	0.60
08/08/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	66.94	0.67	0.00	0.67	90.0	0.60
08/08/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	24.72	0.25	0.00	0.25	90.0	0.23
08/08/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	65.92	0.66	0.00	0.66	90.0	0.59
08/08/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	173.66	1.74	0.00	1.74	90.0	1.57
08/08/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	173.66	1.74	0.00	1.74	90.0	1.57
08/08/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	52.41	0.52	0.00	0.52	90.0	0.47
08/08/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	52.41	0.52	0.00	0.52	90.0	0.47
08/08/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	20.72	0.21	0.00	0.21	90.0	0.19
08/08/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	41.43	0.41	0.00	0.41	90.0	0.37
08/06/2018	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND A	200.00	10.00	0.00	10.00	90.0	9.00
08/06/2018	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	188.46	3.02	0.00	3.02	90.0	2.72
08/08/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	18.54	0.19	0.00	0.19	90.0	0.17
08/08/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	49.44	0.49	0.00	0.49	90.0	0.44

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/08/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	60.00	0.60	0.00	0.60	90.0	0.54
08/08/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	60.00	0.60	0.00	0.60	90.0	0.54
08/08/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	60.00	0.60	0.00	0.60	90.0	0.54
08/08/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	60.00	0.60	0.00	0.60	90.0	0.54
08/06/2018	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	376.92	6.03	0.00	6.03	90.0	5.43
08/06/2018	LEHMAN, COLBY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
08/06/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	200.00	10.00	0.00	10.00	90.0	9.00
08/08/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	41.43	0.41	0.00	0.41	90.0	0.37
08/08/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	82.87	0.83	0.00	0.83	90.0	0.75
08/08/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	15.22	0.15	0.00	0.15	90.0	0.14
08/08/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	55.80	0.56	0.00	0.56	90.0	0.50
08/08/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	18.54	0.19	0.00	0.19	90.0	0.17
08/08/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	49.44	0.49	0.00	0.49	90.0	0.44
08/08/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.76	0.31	0.00	0.31	90.0	0.28
08/08/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.76	0.31	0.00	0.31	90.0	0.28
08/08/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.68	0.00	0.68	90.0	0.61
08/08/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.68	0.00	0.68	90.0	0.61
08/08/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	61.07	0.61	0.00	0.61	90.0	0.55
08/08/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	61.07	0.61	0.00	0.61	90.0	0.55
08/08/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	61.20	0.61	0.00	0.61	90.0	0.55
08/08/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	244.80	2.45	0.00	2.45	90.0	2.21
08/08/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
08/08/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
08/06/2018	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	188.46	3.02	0.00	3.02	90.0	2.72
08/08/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.77	0.15	0.00	0.15	90.0	0.14
08/08/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	54.16	0.54	0.00	0.54	90.0	0.49
08/08/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	41.54	0.42	0.00	0.42	90.0	0.38
08/08/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	69.23	0.69	0.00	0.69	90.0	0.62
08/08/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	41.43	0.41	0.00	0.41	90.0	0.37
08/08/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	82.87	0.83	0.00	0.83	90.0	0.75
08/08/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.77	0.15	0.00	0.15	90.0	0.14
08/08/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	54.16	0.54	0.00	0.54	90.0	0.49
08/08/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.86	0.30	0.00	0.30	90.0	0.27

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/08/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.86	0.30	0.00	0.30	90.0	0.27
08/06/2018	CROWLEY, MICHA	AM FUNDS NEW WORLD A	188.46	3.02	0.00	3.02	90.0	2.72
08/08/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.86	0.30	0.00	0.30	90.0	0.27
08/08/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.86	0.30	0.00	0.30	90.0	0.27
08/08/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	57.70	0.58	0.00	0.58	90.0	0.52
08/08/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	57.70	0.58	0.00	0.58	90.0	0.52
08/08/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.53	0.35	0.00	0.35	90.0	0.32
08/08/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	69.06	0.69	0.00	0.69	90.0	0.62
/ /	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	0.00	1.50	0.00	1.50	90.0	1.35
* MUTUAL FUNDS SUBTOTAL *			\$5,153.42	\$78.75	\$0.00	\$78.75		\$70.92
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
08/06/2018	GUIER, CLINT	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
08/06/2018	CALLIS, CHARLES	PAC LIFE VUL	416.67	8.33	0.00	8.33	90.0	7.50
08/06/2018	BROCKSMITH, RACHEL	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
08/06/2018	STEWART, CHAD	PAC LIFE VUL	420.00	8.40	0.00	8.40	90.0	7.56
08/06/2018	ELLIOTT, PATRICIA	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
08/07/2018	DANIELS, BEVERLY	PAC LIFE VUL	100.00	1.00	0.00	1.00	90.0	0.90
08/07/2018	HILL, MIKE	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
08/08/2018	GUIER, CLINT	PAC LIFE VUL	13,093.52	13.09	0.00	13.09	90.0	11.78
08/09/2018	GUIER, CLINT	PAC LIFE VUL	74.29	0.74	0.00	0.74	90.0	0.67
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$14,554.48	\$40.56	\$0.00	\$40.56		\$36.51
*** DIRECT TRANSACTIONS TOTAL ***			\$19,707.90	\$119.31	\$0.00	\$119.31		\$107.43

SPLIT TRANSACTIONS**BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349**

08/10/2018	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	800.00	22.00	0.00	22.00	90.0	19.80
08/08/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.15	0.00	0.15	90.0	0.14
08/08/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.15	0.00	0.15	90.0	0.14
08/08/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	40.44	0.22	0.00	0.22	90.0	0.20
08/08/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	40.44	0.22	0.00	0.22	90.0	0.20
08/08/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	40.44	0.22	0.00	0.22	90.0	0.20
08/08/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	40.44	0.22	0.00	0.22	90.0	0.20

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
08/08/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	73.98	0.41	0.00	0.41	90.0	0.37
08/08/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	73.98	0.41	0.00	0.41	90.0	0.37
08/08/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.10	0.00	0.10	90.0	0.09
08/08/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.00	0.13	0.00	0.13	90.0	0.12
08/08/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	56.94	0.31	0.00	0.31	90.0	0.28
08/08/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	56.94	0.31	0.00	0.31	90.0	0.28
08/08/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
08/08/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
08/08/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.16	0.00	0.16	90.0	0.14
08/08/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.16	0.00	0.16	90.0	0.14
08/08/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	56.31	0.31	0.00	0.31	90.0	0.28
08/08/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	56.31	0.31	0.00	0.31	90.0	0.28
08/08/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.27	0.00	0.27	90.0	0.24
08/08/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.27	0.00	0.27	90.0	0.24
08/08/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	14.74	0.08	0.00	0.08	90.0	0.07
08/08/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	14.74	0.08	0.00	0.08	90.0	0.07
08/08/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	25.97	0.14	0.00	0.14	90.0	0.13
08/08/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	60.61	0.34	0.00	0.34	90.0	0.31
08/08/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	7.53	0.04	0.00	0.04	90.0	0.04
08/08/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	7.53	0.04	0.00	0.04	90.0	0.04
08/08/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	56.10	0.31	0.00	0.31	90.0	0.28
08/08/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	56.10	0.31	0.00	0.31	90.0	0.28
08/08/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	34.63	0.19	0.00	0.19	90.0	0.17
08/08/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	34.63	0.19	0.00	0.19	90.0	0.17
08/08/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.24	0.00	0.24	90.0	0.22
08/08/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.24	0.00	0.24	90.0	0.22
08/08/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.17	0.00	0.17	90.0	0.15
08/08/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.17	0.00	0.17	90.0	0.15
08/08/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	40.32	0.22	0.00	0.22	90.0	0.20
08/08/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	40.32	0.22	0.00	0.22	90.0	0.20
08/09/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	89.91	1.85	0.00	1.85	90.0	1.67
08/09/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	520.84	10.74	0.00	10.74	90.0	9.67
08/09/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	520.84	10.74	0.00	10.74	90.0	9.67

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<u>SPLIT TRANSACTIONS</u>								
BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349								
08/09/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	19.36	0.40	0.00	0.40	90.0	0.36
08/10/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	6.30	0.00	6.30	90.0	5.67
08/08/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	61.18	0.34	0.00	0.34	90.0	0.31
08/08/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	203.93	1.12	0.00	1.12	90.0	1.01
08/08/2018	ZELLMER, RIANNON	AM FUNDS ICA A	23.49	0.13	0.00	0.13	90.0	0.12
08/08/2018	ZELLMER, RIANNON	AM FUNDS ICA A	23.49	0.13	0.00	0.13	90.0	0.12
08/08/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.10	0.00	0.10	90.0	0.09
08/08/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.10	0.00	0.10	90.0	0.09
08/06/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	60.00	1.65	0.00	1.65	90.0	1.49
08/08/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	45.78	0.25	0.00	0.25	90.0	0.23
08/08/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	45.78	0.25	0.00	0.25	90.0	0.23
08/09/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	89.92	1.85	0.00	1.85	90.0	1.67
08/09/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	520.83	10.74	0.00	10.74	90.0	9.67
08/09/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	19.35	0.40	0.00	0.40	90.0	0.36
08/09/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	520.83	10.74	0.00	10.74	90.0	9.67
08/08/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.68	0.00	0.68	90.0	0.61
08/08/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	312.85	1.72	0.00	1.72	90.0	1.55
08/08/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.00	0.10	0.00	0.10	90.0	0.09
08/08/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.00	0.13	0.00	0.13	90.0	0.12
08/08/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	25.97	0.14	0.00	0.14	90.0	0.13
08/08/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	43.29	0.24	0.00	0.24	90.0	0.22
08/08/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.10	0.00	0.10	90.0	0.09
08/08/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.10	0.00	0.10	90.0	0.09
08/08/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.15	0.00	0.15	90.0	0.14
08/08/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.15	0.00	0.15	90.0	0.14
08/10/2018	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	200.00	5.50	0.00	5.50	90.0	4.95
* JEFF/JASON, K148 KAUFMAN/KEMBLE SUBTOTAL *			\$6,030.20	\$96.29	\$0.00	\$96.29		\$86.76
*** SPLIT TRANSACTIONS TOTAL ***			\$6,030.20	\$96.29	\$0.00	\$96.29		\$86.76

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** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: K146-JASON KEMBLE #348</u>								
08/10/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	1.70
08/10/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	0.85
08/10/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	50.00	2.13	0.00	2.13	90.0	0.85
08/10/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	4.25	0.00	4.25	90.0	1.70
* K146-JASON KEMBLE SUBTOTAL *			\$300.00	\$12.76	\$0.00	\$12.76		\$5.10
*** OVERRIDE TRANSACTIONS TOTAL ***			\$300.00	\$12.76	\$0.00	\$12.76		\$5.10

BROKER COMMISSION TOTALS

Broker Transactions	\$107.43
Split Transactions	\$86.76
Override Transactions	\$5.10
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$199.29
Year-to-date Gross	\$116,979.69
Year-to-date Earnings	\$111,568.21

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed