

**COMMISSION STATEMENT****October 31, 2017****R134-DAN/JEFF/JON P/ FT-NY RAUPP/KIES/CIR**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

BROKER #: R134

BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^10/24/2017	REILLY, TERRENCE	GPB WASTE MANAGEMENT LP	110,000.00	7,700.00	0.00	7,700.00	100.0	0.00
^10/23/2017	REILLY, TERRENCE	HPI FUND V	116,000.00	6,960.00	0.00	6,960.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$226,000.00	\$14,660.00	\$0.00	\$14,660.00		\$0.00
<u>PRODUCT CATEGORY: REIT</u>								
^09/28/2017	DAMONE, DENNIS	COTTONWOOD MULTIFAMILY REIT II	32,500.00	1,950.00	0.00	1,950.00	100.0	0.00
^09/28/2017	DAMONE, DENNIS	COTTONWOOD MULTIFAMILY REIT II	-32,500.00	-1,950.00	0.00	-1,950.00	100.0	0.00
* REIT SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^10/11/2017	REILLY, TERRENCE	GPB WASTE MANAGEMENT LP CLASS A	110,000.00	0.00	0.00	0.00	100.0	0.00
^10/12/2017	REILLY, TERRENCE	HPI REAL ESTATE FUND V LLC	116,000.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$226,000.00	\$0.00	\$0.00	\$0.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$452,000.00	\$14,660.00	\$0.00	\$14,660.00		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.02
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.02
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00