



COMMISSION STATEMENT

July 02, 2018

ALEXANDER HACKLEY
2305 E Arapahoe Rd. #235
Centennial, CO 80122

BROKER #: B04
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---|--|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 06/26/2018 | ***-**-74IN - STORMAN, JOEL ACCOUNT #: LSR012685 | ASG MANAGED FUTURES STRATEGY FUND CL SELL 85 Units @9.5300 | 807.83 | 0.00 | 18.00 | -18.00 | 100.0 | -18.00 |
| 06/26/2018 | ***-**-74IN - STORMAN, JOEL ACCOUNT #: LSR012685 | BLACKROCK FLOATING RATE INC PORT IN SELL 85 Units @10.1400 | 862.90 | 0.00 | 18.00 | -18.00 | 100.0 | -18.00 |
| 06/28/2018 | ***-**-4780 - WRIGHT, SCOTT ACCOUNT #: LSR012693 | COLUMBIA BALANCED FUND CL A BUY 1432 Units @42.6100 | 61,000.01 | 2,287.50 | 18.00 | 2,269.50 | 75.0 | 1,702.13 |
| 06/26/2018 | ***-**-74IN - STORMAN, JOEL ACCOUNT #: LSR012685 | DOUBLELINE TOTAL RETURN BOND-NFS SELL 307 Units @10.4400 | 3,209.30 | 35.00 | 18.00 | 17.00 | 75.0 | 12.75 |
| 06/26/2018 | ***-**-74IN - STORMAN, JOEL ACCOUNT #: LSR012685 | GUGGENHEIM MACRO OPPORTUNITIES CL A SELL 38 Units @26.5400 | 1,000.77 | 0.00 | 18.00 | -18.00 | 100.0 | -18.00 |
| 06/28/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | JANUS HENDERSON GLBL EQUITY INC CL BUY 8949 Units @7.7100 | 69,000.00 | 2,587.50 | 18.00 | 2,569.50 | 75.0 | 1,927.13 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$135,880.81 | \$4,910.00 | \$108.00 | \$4,802.00 | | \$3,588.01 |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| 06/25/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | FIRST TR EXCHANGE TRADED FD DOW JON SELL 15 Units @136.8110 | 2,052.17 | 54.00 | 15.30 | 38.70 | 75.0 | 29.03 |
| 06/28/2018 | ***-**-74IN - STORMAN, JOEL ACCOUNT #: LSR012685 | GEOPULSE EXPL INC COM SELL 100 Units @0.0160 | 1.60 | 0.00 | 18.00 | -18.00 | 100.0 | -18.00 |
| 06/26/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | INVESCO EXCHNG TRADED FD TR II EMRN SELL 41 Units @26.4440 | 1,084.20 | 47.93 | 15.82 | 32.11 | 75.0 | 24.08 |
| 06/26/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | INVESCO EXCHNG TRADED FD TR II PFD SELL 21 Units @14.5210 | 304.94 | 38.00 | 15.42 | 22.58 | 75.0 | 16.94 |
| 06/26/2018 | ***-**-74IN - STORMAN, JOEL ACCOUNT #: LSR012685 | ISHARES CORE S&P 500 ETF AVERAGE PR SELL 2 Units @274.2360 | 548.47 | 38.82 | 15.04 | 23.78 | 75.0 | 17.84 |
| 06/25/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | ISHARES IBOX \$ INVESTOP INVESTMENT SELL 14 Units @113.8910 | 1,594.47 | 54.00 | 15.28 | 38.72 | 75.0 | 29.04 |
| 06/25/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | ISHARES IBOX HIGH YIELD CORPORATE SELL 6 Units @85.6540 | 513.92 | 38.24 | 15.12 | 23.12 | 75.0 | 17.34 |
| 06/25/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | ISHARES INC CORE MSCI EMERGING MKTS SELL 99 Units @52.2040 | 5,168.20 | 54.00 | 16.98 | 37.02 | 75.0 | 27.77 |
| 06/25/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | ISHARES MBS ETF SELL 34 Units @103.8640 | 3,531.38 | 54.00 | 15.68 | 38.32 | 75.0 | 28.74 |



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|---|---|--|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: STOCKS (continued) | | | | | | | | |
| 06/25/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | ISHARES NASDAQ BIOTECHNOLOGY ETF SELL 15 Units @109.6300 | 1,644.45 | 54.00 | 15.30 | 38.70 | 75.0 | 29.03 |
| 06/25/2018 | ***-**-74IN - STORMAN, JOEL ACCOUNT #: LSR012685 | ISHARES RUSSELL 2000 ETF AVERAGE PR SELL 6 Units @164.6540 | 987.92 | 46.29 | 15.12 | 31.17 | 75.0 | 23.38 |
| 06/25/2018 | ***-**-74IN - STORMAN, JOEL ACCOUNT #: LSR012685 | ISHARES RUSSELL 2000 GROWTH ETF SELL 10 Units @205.2150 | 2,052.15 | 54.00 | 15.20 | 38.80 | 75.0 | 29.10 |
| 06/26/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | ISHARES TIPS BOND ETF EXEC ON MULT SELL 9 Units @112.4540 | 1,012.09 | 46.71 | 15.18 | 31.53 | 75.0 | 23.65 |
| 06/26/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | ISHARES TR 3-7 YR TR BD ETF SELL 13 Units @119.8300 | 1,557.79 | 54.00 | 15.26 | 38.74 | 75.0 | 29.06 |
| 06/25/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | ISHARES TRUST CORE MSCI EAFE ETF SELL 112 Units @63.1250 | 7,070.00 | 60.60 | 17.24 | 43.36 | 75.0 | 32.52 |
| 06/25/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | ISHARES TRUST CORE MSCI EAFE ETF SELL 100 Units @63.1250 | 6,312.50 | 55.00 | 2.00 | 53.00 | 75.0 | 39.75 |
| 06/26/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | SECTOR SPDR TR SHS BEN INT ENERGY SELL 15 Units @73.8940 | 1,108.41 | 48.34 | 15.30 | 33.04 | 75.0 | 24.78 |
| 06/26/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | SECTOR SPDR TR SHS BEN INT FINANCIA SELL 180 Units @26.6200 | 4,791.60 | 87.12 | 18.60 | 68.52 | 75.0 | 51.39 |
| 06/26/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | SECTOR SPDR TR SHS BEN INT UTILITIE SELL 14 Units @51.6540 | 723.16 | 41.79 | 15.28 | 26.51 | 75.0 | 19.88 |
| 06/26/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | SELECT SECTOR SPDR FD HEALTH CARE SELL 37 Units @84.1140 | 3,112.22 | 54.00 | 15.74 | 38.26 | 75.0 | 28.70 |
| 06/26/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | SELECT SECTOR SPDR TR CONSUMER DISC SELL 27 Units @109.5140 | 2,956.88 | 54.00 | 15.54 | 38.46 | 75.0 | 28.85 |
| 06/26/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | SELECT SECTOR SPDR TR RL EST SEL SE SELL 52 Units @32.3550 | 1,682.46 | 54.00 | 16.04 | 37.96 | 75.0 | 28.47 |
| 06/25/2018 | ***-**-74IN - STORMAN, JOEL ACCOUNT #: LSR012685 | SPDR PORTFOLIO S&P 500 GROWTH ETF SELL 44 Units @34.9210 | 1,536.52 | 54.00 | 15.88 | 38.12 | 75.0 | 28.59 |
| 06/25/2018 | ***-**-78IR - WRIGHT, SCOTT ACCOUNT #: LSR012695 | SPDR SER TR S&P INS ETF UNSOLICITED SELL 23 Units @30.0880 | 692.02 | 41.26 | 15.46 | 25.80 | 75.0 | 19.35 |
| 06/25/2018 | ***-**-74IN - STORMAN, JOEL ACCOUNT #: LSR012685 | TRUE NORTH ENERGY CORP COM NEW SELL 1 Units @0.0080 | 0.01 | 0.00 | 18.00 | -18.00 | 100.0 | -18.00 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: STOCKS (continued) | | | | | | | | |
| 06/25/2018 | ***-**-78IR - WRIGHT, SCOTT | VANECK VECTORS ETF TR JP MORGAN MKT | 428.60 | 38.00 | 15.50 | 22.50 | 75.0 | 16.88 |
| | ACCOUNT #: LSR012695 | SELL 25 Units @17.1440 | | | | | | |
| 06/25/2018 | ***-**-74IN - STORMAN, JOEL | VANGUARD ADMIRAL FDS INC S&P MIDCAP | 2,651.37 | 54.00 | 15.38 | 38.62 | 75.0 | 28.97 |
| | ACCOUNT #: LSR012685 | SELL 19 Units @139.5460 | | | | | | |
| 06/25/2018 | ***-**-78IR - WRIGHT, SCOTT | VANGUARD BD INDEX FD INC INTERMEDIA | 3,955.77 | 54.00 | 15.98 | 38.02 | 75.0 | 28.52 |
| | ACCOUNT #: LSR012695 | SELL 49 Units @80.7300 | | | | | | |
| 06/25/2018 | ***-**-78IR - WRIGHT, SCOTT | VANGUARD BD INDEX FD INC SHORT TERM | 2,500.93 | 54.00 | 15.64 | 38.36 | 75.0 | 28.77 |
| | ACCOUNT #: LSR012695 | SELL 32 Units @78.1540 | | | | | | |
| 06/25/2018 | ***-**-74IN - STORMAN, JOEL | VANGUARD FTSE DEVELOPED MARKET ETF | 1,195.18 | 49.82 | 15.56 | 34.26 | 75.0 | 25.70 |
| | ACCOUNT #: LSR012685 | SELL 28 Units @42.6850 | | | | | | |
| 06/25/2018 | ***-**-74IN - STORMAN, JOEL | VANGUARD INTL EQUITY INDEX FDS FTSE | 3,613.29 | 54.00 | 16.72 | 37.28 | 75.0 | 27.96 |
| | ACCOUNT #: LSR012685 | SELL 86 Units @42.0150 | | | | | | |
| 06/25/2018 | ***-**-74IN - STORMAN, JOEL | VANGUARD INTL EQUITY INDEX FDS FTSE | 1,006.11 | 46.60 | 15.36 | 31.24 | 75.0 | 23.43 |
| | ACCOUNT #: LSR012685 | SELL 18 Units @55.8950 | | | | | | |
| 06/26/2018 | ***-**-78IR - WRIGHT, SCOTT | VANGUARD SECTOR INDEX FDS VANGUARD | 3,125.95 | 54.00 | 15.46 | 38.54 | 75.0 | 28.91 |
| | ACCOUNT #: LSR012695 | SELL 23 Units @135.9110 | | | | | | |
| 06/26/2018 | ***-**-78IR - WRIGHT, SCOTT | VANGUARD SECTOR INDEX FDS VANGUARD | 4,664.26 | 54.00 | 15.68 | 38.32 | 75.0 | 28.74 |
| | ACCOUNT #: LSR012695 | SELL 34 Units @137.1840 | | | | | | |
| 06/26/2018 | ***-**-78IR - WRIGHT, SCOTT | VANGUARD SECTOR INDEX FDS VANGUARD | 6,040.32 | 54.00 | 15.66 | 38.34 | 75.0 | 28.76 |
| | ACCOUNT #: LSR012695 | SELL 33 Units @183.0400 | | | | | | |
| 06/26/2018 | ***-**-78IR - WRIGHT, SCOTT | VANGUARD SECTOR INDEX FDS VANGUARD | 2,101.44 | 54.00 | 15.32 | 38.68 | 75.0 | 29.01 |
| | ACCOUNT #: LSR012695 | SELL 16 Units @131.3400 | | | | | | |
| * STOCKS SUBTOTAL * | | | \$83,322.75 | \$1,750.52 | \$556.04 | \$1,194.48 | | \$886.93 |
| PRODUCT CATEGORY: RIA | | | | | | | | |
| 07/02/2018 | ***-**-02FB - TORRES, LINDSAY | LIFEMARK INVESTMENT ADVISORY FEE | 187.91 | 187.91 | 0.00 | 187.91 | 75.0 | 140.93 |
| | ACCOUNT #: 925496716 | BUY 0 Units @0.0000 | | | | | | |
| 07/02/2018 | ***-**-02FB - TORRES, LINDSAY | LIFEMARK INVESTMENT ADVISORY FEE | 14.49 | 14.49 | 0.00 | 14.49 | 75.0 | 10.87 |
| | ACCOUNT #: 925496722 | BUY 0 Units @0.0000 | | | | | | |
| 07/02/2018 | ***-**-02FB - TORRES, LINDSAY | LIFEMARK INVESTMENT ADVISORY FEE | 66.23 | 66.23 | 0.00 | 66.23 | 75.0 | 49.67 |
| | ACCOUNT #: 925496611 | BUY 0 Units @0.0000 | | | | | | |
| * RIA SUBTOTAL * | | | \$268.63 | \$268.63 | \$0.00 | \$268.63 | | \$201.47 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES | | | | | | | | |
| 07/02/2018 | ***-**-478I - WRIGHT, SCOTT | ASCENT 10 BONUS 2.0 BUY 1 Units @180000.000 | 175,000.00 | 11,375.00 | 0.00 | 11,375.00 | 95.0 | 10,806.25 |
| | | * EQUITY INDEXED ANNUITIES SUBTOTAL * | \$175,000.00 | \$11,375.00 | \$0.00 | \$11,375.00 | | \$10,806.25 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 07/02/2018 | ***-**-679I - VIGIL, ELLI ACCOUNT #: LSR011852 | BLACKROCK EVENT DRIVEN EQUITY FUND BUY 1 Units @0.0000 | 0.00 | 5.22 | 0.00 | 5.22 | 75.0 | 3.92 |
| 07/02/2018 | ***-**-74IN - STORMAN, JOEL ACCOUNT #: LSR012685 | BLACKROCK FLOATING RATE INC PORT IN BUY 1 Units @0.0000 | 0.00 | 0.11 | 0.00 | 0.11 | 75.0 | 0.08 |
| | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00 | \$5.33 | \$0.00 | \$5.33 | | \$4.00 |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$394,472.19 | \$18,309.48 | \$664.04 | \$17,645.44 | | \$15,486.66 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$15,486.66 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|------------------------------|--------------------|
| Net Commission Earned | \$15,486.66 |
| Year-to-date Earnings | \$46,565.74 |