

**COMMISSION STATEMENT****December 31, 2017**

DAMIAN DERING
 165 Culver Blvd
 Suite A
 Playa Del Rey, CA 90293

BROKER #: D127
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
12/01/2017	SECRIST TRUST	BOURNE SENIORS HOUSING III	25,000.00	1,750.00	0.00	1,750.00	92.0	1,610.00
12/14/2017	CROCKETT, PATRICIA	BOURNE SENIORS HOUSING III	30,000.00	2,100.00	0.00	2,100.00	92.0	1,932.00
* PRIVATE PLACEMENT SUBTOTAL *			\$55,000.00	\$3,850.00	\$0.00	\$3,850.00		\$3,542.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
11/27/2017	PEARSON, ROBERT	AM FUNDS AMCAP 529C	200.00	2.00	0.00	2.00	92.0	1.84
11/27/2017	PEARSON, ROBERT	AM FUNDS AMCAP 529C	200.00	2.00	0.00	2.00	92.0	1.84
11/27/2017	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
11/27/2017	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
11/27/2017	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
11/27/2017	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
12/12/2017	MARTINEZ, ELSA	ASCENSUS NEA AGE-BASED GROWTH 6-10	500.00	15.00	0.00	15.00	92.0	13.80
12/19/2017	MARTINEZ, ELSA	ASCENSUS NEA AGE-BASED GROWTH 6-10	500.00	15.00	0.00	15.00	92.0	13.80
/ /	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	0.00	2.00	0.00	2.00	92.0	1.84
12/15/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
12/15/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
* 529 PLANS SUBTOTAL *			\$2,300.00	\$54.76	\$0.00	\$54.76		\$50.38
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/08/2017	ANDREWS, LISA	OPPENHEIMER GLOBAL A	1,000.00	47.50	0.00	47.50	92.0	43.70
12/07/2017	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	500.00	5.00	0.00	5.00	92.0	4.60
* MUTUAL FUNDS SUBTOTAL *			\$1,500.00	\$52.50	\$0.00	\$52.50		\$48.30
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/21/2017	HAVENS, JACK	AM GEN SEASONS TRIPLE ELITE	85,067.33	319.00	0.00	319.00	92.0	293.48
* VARIABLE ANNUITIES SUBTOTAL *			\$85,067.33	\$319.00	\$0.00	\$319.00		\$293.48

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/05/2017	AMIN FOUNDATION	TRILINC GLOBAL IMPACT FUND CLASS C	0.00	20.44	0.00	20.44	92.0	18.80
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$20.44	\$0.00	\$20.44		\$18.80
		*** DIRECT TRANSACTIONS TOTAL ***	\$143,867.33	\$4,296.70	\$0.00	\$4,296.70		\$3,952.96

ADJUSTMENTS

12/31/2017		*REIMBURSEMENT: PASSCO CT 6028203	0.00					1,522.74
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$1,522.74

BROKER COMMISSION TOTALS

Broker Transactions	\$3,952.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$1,522.74
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,475.70
Year-to-date Gross	\$298,519.11
Year-to-date Earnings	\$273,703.82

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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