



COMMISSION STATEMENT

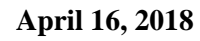
April 16, 2018

WILLIAM ROBERTS
400 West Metro Park
Rochester, NY 14623

BROKER #: 16
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/02/2018	***-**-1606 - REUS, MARTHA	PUTNAM EQUITY INCOME FUND-A	0.00	42.61	0.00	42.61	85.0	36.22
	ACCOUNT #: 00266280057	BUY 0 Units @0.0000						
03/31/2018	***-**-9904 - PRZYLUKE,	ROCHESTER FUND MUNICIPALS A	0.00	201.53	0.00	201.53	85.0	171.30
	ACCOUNT #: 00011753000	BUY 0 Units @0.0000						
03/31/2018	***-**-07IN - MULLER,	ROCHESTER FUND MUNICIPALS A	0.00	149.03	0.00	149.03	85.0	126.68
	ACCOUNT #: 03650014091	BUY 0 Units @0.0000						
03/31/2018	***-**-74IN - KUBIAK, HENRY J	ROCHESTER FUND MUNICIPALS A	0.00	2.78	0.00	2.78	85.0	2.36
	ACCOUNT #: 03650163174	BUY 0 Units @0.0000						
03/31/2018	***-**-42IN - RUES, JAMES	ROCHESTER FUND MUNICIPALS A	0.00	90.79	0.00	90.79	85.0	77.17
	ACCOUNT #: 03651611720	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$486.74	\$0.00	\$486.74		\$413.73
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$486.74	\$0.00	\$486.74		\$413.73

<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: FREDERIC SCARPULLA</u>								
03/31/2018	***-**-11IN - ROBERTS NEEDS	EQUITY INCOME FUND, INC. A	0.00	106.61	0.00	106.61	85.0	90.62
	ACCOUNT #: 02401512863	BUY 0 Units @0.0000						
03/31/2018	***-**-11IN - ROBERTS NEEDS	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	217.99	0.00	217.99	85.0	185.29
	ACCOUNT #: 03551129898	BUY 0 Units @0.0000						
* FREDERIC SCARPULLA SUBTOTAL *			\$0.00	\$324.60	\$0.00	\$324.60		\$275.91
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$324.60	\$0.00	\$324.60		\$275.91



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				GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
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