

**COMMISSION STATEMENT****August 15, 2018**

DAN/MARC FT-C148 CHARLEY/LINS

BROKER #: C148

BRANCH: E STREET FINANCIAL L

, NJ

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^07/17/2018	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.90	0.00	0.90	100.0	0.00
^07/17/2018	NEELD, JUDEANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.60	0.00	2.60	100.0	0.00
^07/17/2018	NEELD, JUDEANN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.86	0.00	6.86	100.0	0.00
^07/12/2018	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	5.45	0.00	5.45	100.0	0.00
^07/24/2018	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	4.95	0.00	4.95	100.0	0.00
^07/19/2018	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	1.90	0.00	1.90	100.0	0.00
^07/23/2018	ANDERSON, WILLIAM	CARILLON EAGLE GROWTH & INCOME FUND	0.00	12.83	0.00	12.83	100.0	0.00
^07/16/2018	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	6.92	0.00	6.92	100.0	0.00
^07/16/2018	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	4.43	0.00	4.43	100.0	0.00
^07/19/2018	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.92	0.00	1.92	100.0	0.00
^07/16/2018	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.18	0.00	21.18	100.0	0.00
^07/16/2018	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	63.54	0.00	63.54	100.0	0.00
^07/16/2018	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	27.53	0.00	27.53	100.0	0.00
^07/16/2018	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	82.60	0.00	82.60	100.0	0.00
^07/16/2018	GELFGAT, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.42	0.00	10.42	100.0	0.00
^07/16/2018	GELFGAT, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.27	0.00	31.27	100.0	0.00
^07/16/2018	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.31	0.00	10.31	100.0	0.00
^07/16/2018	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	30.92	0.00	30.92	100.0	0.00
^07/16/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.36	0.00	9.36	100.0	0.00
^07/16/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	28.09	0.00	28.09	100.0	0.00
^07/23/2018	FIAMINGO, FRANK	INVESCO TECHNOLOGY A	0.00	0.02	0.00	0.02	100.0	0.00
^07/16/2018	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	6.70	0.00	6.70	100.0	0.00
^07/13/2018	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.84	0.00	0.84	100.0	0.00
^07/23/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.48	0.00	1.48	100.0	0.00
^07/23/2018	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.01	0.00	0.01	100.0	0.00
^07/20/2018	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	3.32	0.00	3.32	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^07/13/2018	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	2.07	0.00	2.07	100.0	0.00
^07/16/2018	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	-2.07	0.00	-2.07	100.0	0.00
^07/23/2018	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	2.07	0.00	2.07	100.0	0.00
^07/13/2018	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	1.30	0.00	1.30	100.0	0.00
^07/16/2018	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	-1.30	0.00	-1.30	100.0	0.00
^07/23/2018	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	1.30	0.00	1.30	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$379.72	\$0.00	\$379.72		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$379.72	\$0.00	\$379.72		\$0.00

ADJUSTMENTS

08/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					0.00
08/15/2018		*PERSHING: MANDATORY REORG MERGER PNW099711	0.00					0.00
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00