



Concorde Investment Services, LLC

09/13/2017
Page No. 1

COMMISSION STATEMENT **September 15, 2017**

JEFFREY KIESNOSKI
417 East Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: K141
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/07/2017	DEMIAN, CAROLE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.91	0.00	2.91	94.0	2.74
08/14/2017	FROH, PAULA SANDRA	FRANKLIN OREGON TAX- FREE INCOME FU	0.00	0.29	0.00	0.29	94.0	0.27
08/15/2017	DEMIAN, CAROLE	JP MORGAN CORE BOND A	0.00	2.86	0.00	2.86	94.0	2.69
08/15/2017	DEMIAN, CAROLE	JP MORGAN INCOME BUILDER FUND CLASS	0.00	1.35	0.00	1.35	94.0	1.27
08/17/2017	COREY, JEFFREY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.36	0.00	0.36	94.0	0.34
08/17/2017	FROH, PAULA SANDRA	MFS GLOBAL EQUITY A	0.00	2.26	0.00	2.26	94.0	2.12
08/14/2017	FROH, PAULA SANDRA	PRU JENNISON GROWTH	0.00	1.01	0.00	1.01	94.0	0.95
08/16/2017	FROH, PAULA SANDRA	PUTNAM EQUITY INCOME A	0.00	0.99	0.00	0.99	94.0	0.93
08/16/2017	FROH, PAULA SANDRA	PUTNAM GLOBAL HEALTH CARE A	0.00	0.11	0.00	0.11	94.0	0.10
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$12.14	\$0.00	\$12.14		\$11.41
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$12.14	\$0.00	\$12.14		\$11.41

SPLIT TRANSACTIONS

BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251

09/11/2017	TK MARSHALL INC	CANTOR CF NET LEASE PF 9 DST	500,000.00	9,900.00	0.00	9,900.00	94.0	9,306.00
08/07/2017	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.52	0.00	2.52	94.0	2.37
09/11/2017	TK MARSHALL INC	HIGHLAND CAPITAL NREA GARDENS DST	1,091,261.59	21,606.98	0.00	21,606.98	94.0	20,310.56
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$1,591,261.59	\$31,509.50	\$0.00	\$31,509.50		\$29,618.93

BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252

08/07/2017	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.27	0.00	1.27	94.0	1.19
08/07/2017	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.01	0.00	3.01	94.0	2.83
08/07/2017	WYFFELS, ALAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.64	0.00	3.64	94.0	3.42
08/07/2017	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.27	0.00	1.27	94.0	1.19
08/17/2017	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.27	0.00	0.27	94.0	0.25
08/17/2017	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.21	0.00	0.21	94.0	0.20

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u>								
08/17/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.19	0.00	1.19	94.0	1.12
08/17/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.28	0.00	0.28	94.0	0.26
08/17/2017	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.31	0.00	0.31	94.0	0.29
08/17/2017	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.15	0.00	0.15	94.0	0.14
08/29/2017	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.11	0.00	0.11	94.0	0.10
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$0.00	\$11.71	\$0.00	\$11.71		\$10.99
<u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u>								
08/07/2017	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.72	0.00	2.72	94.0	2.56
08/07/2017	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.19	0.00	1.19	94.0	1.12
08/07/2017	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.92	0.00	2.92	94.0	2.74
08/17/2017	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	1.60	0.00	1.60	94.0	1.50
08/17/2017	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	6.39	0.00	6.39	94.0	6.01
08/14/2017	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	3.80	0.00	3.80	94.0	3.57
08/16/2017	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	1.51	0.00	1.51	94.0	1.42
08/16/2017	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.49	0.00	2.49	94.0	2.34
08/15/2017	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.99	0.00	0.99	94.0	0.93
08/17/2017	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.41	0.00	1.41	94.0	1.33
08/17/2017	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.77	0.00	0.77	94.0	0.72
08/17/2017	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.11	0.00	2.11	94.0	1.98
08/17/2017	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.27	0.00	0.27	94.0	0.25
08/17/2017	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.64	0.00	1.64	94.0	1.54
08/17/2017	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.06	0.00	1.06	94.0	1.00
08/17/2017	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.59	0.00	0.59	94.0	0.55
08/17/2017	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.31	0.00	1.31	94.0	1.23
08/17/2017	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	15.94	0.00	15.94	94.0	14.98
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			\$0.00	\$48.71	\$0.00	\$48.71		\$45.77
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
08/17/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.03	0.00	0.03	94.0	0.03
08/16/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.09	0.00	0.09	94.0	0.08
08/16/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.15	0.00	0.15	94.0	0.14
08/15/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.27	0.00	0.27	94.0	0.25
08/15/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.24	0.00	0.24	94.0	0.23

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
08/15/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.11	0.00	0.11	94.0	0.10
08/15/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.10	0.00	0.10	94.0	0.09
08/15/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.20	0.00	0.20	94.0	0.19
08/15/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.29	0.00	1.29	94.0	1.21
08/15/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.14	0.00	1.14	94.0	1.07
08/15/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.12	0.00	0.12	94.0	0.11
08/15/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.13	0.00	0.13	94.0	0.12
08/15/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.09	0.00	0.09	94.0	0.08
08/17/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.07	0.00	0.07	94.0	0.07
08/10/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.15	0.00	0.15	94.0	0.14
08/10/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.70	0.00	0.70	94.0	0.66
08/18/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.32	0.00	0.32	94.0	0.30
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$0.00	\$5.20	\$0.00	\$5.20		\$4.87
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
08/07/2017	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	13.73	0.00	13.73	94.0	12.91
08/07/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.33	0.00	1.33	94.0	1.25
09/01/2017	SKOLNICK, SHEILA	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	1,750.00	0.00	1,750.00	94.0	1,645.00
08/16/2017	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.51	0.00	0.51	94.0	0.48
08/17/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.26	0.00	3.26	94.0	3.06
08/17/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.33	0.00	1.33	94.0	1.25
08/17/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.70	0.00	0.70	94.0	0.66
08/17/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.28	0.00	2.28	94.0	2.14
08/17/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.59	0.00	0.59	94.0	0.55
08/17/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.69	0.00	2.69	94.0	2.53
08/17/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.83	0.00	0.83	94.0	0.78
08/17/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.02	0.00	2.02	94.0	1.90
08/17/2017	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.37	0.00	1.37	94.0	1.29
08/17/2017	STARK, LINDA	MAINSTAY MAP A	0.00	0.48	0.00	0.48	94.0	0.45
08/17/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	0.45	0.00	0.45	94.0	0.42
08/10/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.14	0.00	2.14	94.0	2.01
08/21/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	2.61	0.00	2.61	94.0	2.45
08/15/2017	DOBUCKI, CHARLES	PASSCO HAVEN DST	185,000.00	2,775.00	0.00	2,775.00	94.0	2,608.50
08/09/2017	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.08	0.00	0.08	94.0	0.08

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
08/09/2017	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.21	0.00	0.21	94.0	0.20
08/18/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.21	0.00	0.21	94.0	0.20
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$285,000.00	\$4,561.82	\$0.00	\$4,561.82		\$4,288.11
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
08/15/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.15	0.00	0.15	94.0	0.14
08/07/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.33	0.00	4.33	94.0	4.07
08/07/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.69	0.00	0.69	94.0	0.65
08/07/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.30	0.00	2.30	94.0	2.16
08/07/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.19	0.00	3.19	94.0	3.00
08/21/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	1.65	0.00	1.65	94.0	1.55
08/17/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.11	0.00	2.11	94.0	1.98
08/17/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.70	0.00	4.70	94.0	4.42
08/17/2017	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.27	0.00	0.27	94.0	0.25
08/17/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.67	0.00	2.67	94.0	2.51
08/17/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.85	0.00	1.85	94.0	1.74
08/10/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.81	0.00	4.81	94.0	4.52
08/14/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	1.50	0.00	1.50	94.0	1.41
08/14/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	1.42	0.00	1.42	94.0	1.33
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$0.00	\$31.64	\$0.00	\$31.64		\$29.73
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
08/07/2017	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.42	0.00	3.42	94.0	3.21
08/17/2017	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.66	0.00	0.66	94.0	0.62
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$4.08	\$0.00	\$4.08		\$3.83
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
08/15/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.50	0.00	0.50	94.0	0.47
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.50	\$0.00	\$0.50		\$0.47
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
08/15/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.03	0.00	0.03	94.0	0.03
08/17/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.65	0.00	0.65	94.0	0.61
08/17/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.10	0.00	2.10	94.0	1.97
08/17/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.39	0.00	1.39	94.0	1.31

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
08/17/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.01	0.00	1.01	94.0	0.95
08/09/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.16	0.00	0.16	94.0	0.15
08/09/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.39	0.00	0.39	94.0	0.37
08/09/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.02	0.00	0.02	94.0	0.02
08/09/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.06	0.00	0.06	94.0	0.06
08/16/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.39	0.00	0.39	94.0	0.37
08/16/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.18	0.00	1.18	94.0	1.11
08/16/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.49	0.00	0.49	94.0	0.46
08/16/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.48	0.00	1.48	94.0	1.39
08/16/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.33	0.00	0.33	94.0	0.31
08/16/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.98	0.00	0.98	94.0	0.92
08/16/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.39	0.00	0.39	94.0	0.37
08/16/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.18	0.00	1.18	94.0	1.11
08/16/2017	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.25	0.00	0.25	94.0	0.24
08/16/2017	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.39	0.00	0.39	94.0	0.37
08/16/2017	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.67	0.00	0.67	94.0	0.63
08/16/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.54	0.00	0.54	94.0	0.51
08/16/2017	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.64	0.00	0.64	94.0	0.60
08/16/2017	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.95	0.00	1.95	94.0	1.83
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$0.00	\$16.67	\$0.00	\$16.67		\$15.69
<u>BROKER: M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR #277</u>								
08/09/2017	KISSIL, GEORGE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.63	0.00	0.63	94.0	0.59
08/09/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.32	0.00	1.32	94.0	1.24
08/09/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.96	0.00	3.96	94.0	3.72
* M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR SUBTOTAL *			\$0.00	\$5.91	\$0.00	\$5.91		\$5.55
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
08/25/2017	CSD FAIRWAYS HLDGS	BLUEROCK SONOMA POINTE DST	2,000,000.00	6,620.76	0.00	6,620.76	94.0	6,223.51
08/28/2017	CSD PARK CRESCENT TH	BLUEROCK SONOMA POINTE DST	721,570.00	2,386.79	0.00	2,386.79	94.0	2,243.58
08/29/2017	CSD MAIDEN HLDGS	BLUEROCK SONOMA POINTE DST	2,000,000.00	6,620.76	0.00	6,620.76	94.0	6,223.51
09/01/2017	CSD ERIE HOLDINGS	BLUEROCK SONOMA POINTE DST	373,316.00	1,235.82	0.00	1,235.82	94.0	1,161.67
08/25/2017	FHL PARK CRESCENT	INLAND DENVER MSA MULTIFAM'Y II DST	1,000,000.00	2,500.00	0.00	2,500.00	94.0	2,350.00
08/25/2017	CSD FAIRWAYS HLDGS	INLAND DENVER MSA MULTIFAM'Y II DST	500,000.00	1,250.00	0.00	1,250.00	94.0	1,175.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381								
08/28/2017	CSD PARKLANDS	INLAND DENVER MSA MULTIFAM'Y II DST	500,000.00	1,250.00	0.00	1,250.00	94.0	1,175.00
08/30/2017	FHL WILLOW POND	INLAND DENVER MSA MULTIFAM'Y II DST	500,000.00	1,250.00	0.00	1,250.00	94.0	1,175.00
08/28/2017	CSD PARK CRESCENT TH	INLAND GULF COAST IND'L DST	308,118.00	770.30	0.00	770.30	94.0	724.08
09/01/2017	CSD ERIE HOLDINGS	INLAND GULF COAST IND'L DST	1,490,646.00	3,726.62	0.00	3,726.62	94.0	3,503.02
08/25/2017	FHL PARK CRESCENT	INLAND SELF STORAGE PF V DST	2,000,000.00	5,000.00	0.00	5,000.00	94.0	4,700.00
08/25/2017	CSD FAIRWAYS HLDGS	INLAND SELF STORAGE PF V DST	505,000.00	1,262.50	0.00	1,262.50	94.0	1,186.75
08/25/2017	AMD GV HOLDINGS LLC	INLAND SELF STORAGE PF V DST	1,500,000.00	3,750.00	0.00	3,750.00	94.0	3,525.00
08/28/2017	CSD PARKLANDS	INLAND SELF STORAGE PF V DST	755,000.00	1,887.50	0.00	1,887.50	94.0	1,774.25
08/29/2017	CSD MAIDEN HLDGS	INLAND SELF STORAGE PF V DST	1,010,000.00	2,525.00	0.00	2,525.00	94.0	2,373.50
08/30/2017	FHL WILLOW POND	INLAND SELF STORAGE PF V DST	863,960.00	2,159.90	0.00	2,159.90	94.0	2,030.31
08/25/2017	AMD GV HOLDINGS LLC	INLAND TEXAS HEALTHCARE PF II DST	2,125,000.00	5,312.50	0.00	5,312.50	94.0	4,993.75
08/30/2017	FHL WILLOW POND	INLAND TEXAS HEALTHCARE PF II DST	700,000.00	1,750.00	0.00	1,750.00	94.0	1,645.00
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$18,852,610.00	\$51,258.45	\$0.00	\$51,258.45		\$48,182.93
*** SPLIT TRANSACTIONS TOTAL ***			\$20,728,871.59	\$87,454.19	\$0.00	\$87,454.19		\$82,206.87

VERRIDE TRANSACTIONS**BROKER: MARC LINSKY #263**

09/07/2017	LINSKY, VARIOUS	TRANSAMERICA	0.00	37.15	0.00	37.15	90.0	0.63
09/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	1,000.00	10.00	0.00	10.00	90.0	0.17
09/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	17.00	0.00	17.00	90.0	0.29
09/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
08/15/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.11	0.00	5.11	90.0	0.09
08/15/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	20.60	0.00	20.60	90.0	0.35
09/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	90.0	0.29
08/03/2017	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	-2,482.25	22.00	0.00	22.00	90.0	0.37
08/25/2017	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	100,003.20	1,000.03	0.00	1,000.03	90.0	17.00
08/29/2017	ROSENBLUM, LUCILLE	GRIFFIN INST'L ACCESS R E FUND C	25,025.13	250.25	0.00	250.25	90.0	4.25
09/08/2017	KRAMER, LARRY	GRIFFIN INST'L ACCESS R E FUND C	28,005.26	280.05	0.00	280.05	90.0	4.76
08/16/2017	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.11	0.00	5.11	90.0	0.09
08/31/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	259,259.05	216.05	0.00	216.05	90.0	3.67
08/31/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	265,503.80	221.25	0.00	221.25	90.0	3.76
08/31/2017	NANI, JOSEPH	JNL PERSPECTIVE L	408,064.44	340.05	0.00	340.05	90.0	5.78

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
08/31/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	509,774.84	424.81	0.00	424.81	90.0	7.22
08/31/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	105,803.17	88.17	0.00	88.17	90.0	1.50
08/31/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,034.24	2.92	0.00	2.92	90.0	0.05
08/31/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	208,983.82	174.15	0.00	174.15	90.0	2.96
09/01/2017	PISANI, NICHOLAS	LFG LNCTV679U	250.00	10.00	0.00	10.00	90.0	0.17
09/01/2017	PISANI, CARLA	LFG LNDEV679U	541.00	25.70	0.00	25.70	90.0	0.44
08/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	28.80	0.00	28.80	90.0	0.49
08/29/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	4,197.80	136.43	22.00	114.43	90.0	1.95
08/29/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	3,002.40	97.58	22.00	75.58	90.0	1.28
08/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.66	0.00	15.66	90.0	0.27
08/29/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	3,002.19	45.03	22.00	23.03	90.0	0.39
09/05/2017	CARROLLTON, DONNA	METLIFE SHIELD LEVEL SELECT 6 YR	50,000.00	3,000.00	0.00	3,000.00	90.0	51.00
08/28/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	147.24	0.00	147.24	90.0	2.50
08/29/2017	KRAMER, LARRY	SELECT INCOME REIT COM SH BEN INT	-19,311.43	30.00	23.28	6.72	90.0	0.11
08/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	115,822.09	96.52	0.00	96.52	90.0	1.64
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	4.64	0.00	4.64	90.0	0.08
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.44	0.00	0.44	90.0	0.01
08/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.13
08/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
/ /	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	0.00	6.98	0.00	6.98	90.0	0.12
08/28/2017	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	200.00	5.00	0.00	5.00	90.0	0.09
* MARC LINSKY SUBTOTAL *			\$2,082,153.75	\$6,804.22	\$89.28	\$6,714.94		\$114.15
<u>BROKER: ANDREW (ANDREAS) ZIGOURAS #282</u>								
09/05/2017	DILLON, ROBERT	BIODELIVERY SCIENCES INTL INC COM	8,985.00	90.00	26.50	63.50	90.0	2.10
09/08/2017	KWO, RITA	EATON VANCE TAX ADVANTAGED GLO GLOB	74,823.65	747.83	27.00	720.83	90.0	23.79
09/08/2017	KWO, RITA	EATON VANCE TAX ADVANTAGED GLO GLOB	-844.55	0.00	22.50	-22.50	90.0	-0.74
09/08/2017	KWO, RITA	EATON VANCE TAX ADVANTAGED GLO GLOB	11,832.24	89.29	23.05	66.24	90.0	2.19
09/08/2017	KWO, RITA	MONDELEZ INTL INC CL A	-42,239.75	422.36	23.56	398.80	90.0	13.16
09/08/2017	KWO, RITA	PJT PARTNERS INC CL A COM	-1,328.39	50.00	22.50	27.50	90.0	0.91
09/08/2017	KWO, RITA	TCW STRATEGIC INCOME FD INC COM	-25,139.71	251.26	27.00	224.26	90.0	7.40
* ANDREW (ANDREAS) ZIGOURAS SUBTOTAL *			\$26,088.49	\$1,650.74	\$172.11	\$1,478.63		\$48.81
*** OVERRIDE TRANSACTIONS TOTAL ***			\$2,108,242.24	\$8,454.96	\$261.39	\$8,193.57		\$162.96

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
09/15/2017		*ADVANCE: 9/15/17	0.00					-44,800.00
09/15/2017		*EO INS: CURRENT MONTH INS	0.00					-45.00
09/15/2017		*EO INS: CURRENT MONTH-T THOMPSON	0.00					-45.00
09/15/2017		*EO INS: N ELIAS	0.00					-45.00
09/15/2017		*FEDERAL EXPRESS: 5-920-79549 9/6/1	0.00					-25.77
09/15/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-45.00
09/15/2017		*MISC: 9/11/17 OVERNIGHT FEE	0.00					-40.00
09/15/2017		*MISC: C FULMER, A LEEDS	0.00					-540.00
09/15/2017		*MISC: ROY, AFLAC, 1031DST	0.00					-1,326.00
09/15/2017		*MISC: SARAH, FT L, 1031DST	0.00					-205.00
09/15/2017		*MISC: ZIGOURAS FIA ADJ	0.00					-100.00
09/15/2017		*OUT OF CYCLE: OUT OF CYCLE	0.00					-100.00
09/15/2017		*OVERRIDE: BRANCH ADJ-KIESNOSKI	0.00					-876.29
09/15/2017		*OVERRIDE: LINSKY ADJUSTMENT	0.00					163.50
09/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.23
09/15/2017		*TECH: ADMIN FULMER	0.00					-25.00
09/15/2017		*TECH: ADMIN-AMY LEEDS	0.00					-33.00
09/15/2017		*TECH: CURRENT MONTH TECH	0.00					-41.25
09/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					-41.25
09/15/2017		*TECH: N ELIAS	0.00					-41.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-48,211.54

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BROKER COMMISSION TOTALS

Broker Transactions	\$11.41
Split Transactions	\$82,206.87
Override Transactions	\$162.96
Adjustments	\$-48,211.54
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$7.22
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$34,176.92
Year-to-date Gross	\$245,440.84
Year-to-date Earnings	\$246,058.46