



Concorde Investment Services, LLC

11/13/2017

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**COMMISSION STATEMENT****November 15, 2017**DAVID MIKE BREEDLOVE  
1024 Pruitt PlaceBROKER #: B132  
BRANCH: DAVID MICHAEL BREEDL

Tyler, TX 75703

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
11/06/2017	COR NRR LLC	GPB HOLDINGS GPBCAP401	100,000.00	7,000.00	90.0	6,300.00	0.00	6,300.00
11/06/2017	COR KMC LLC	GPB HOLDINGS GPBCAP401	150,000.00	10,500.00	90.0	9,450.00	0.00	9,450.00
* PRIVATE PLACEMENT SUBTOTAL *			\$250,000.00	\$17,500.00		\$15,750.00	\$0.00	\$15,750.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	KENNEDY, EVELYN	FIDELITY ENERGY T	0.00	1.92	90.0	1.73	0.00	1.73
/ /	KENNEDY, EVELYN	FIDELITY EQUITY GROWTH T	0.00	8.17	90.0	7.35	0.00	7.35
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$10.09		\$9.08	\$0.00	\$9.08
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/31/2017	BREEDLOVE, TOMMYE	JNL ELITE ACCESS W/ LIQUIDITY	4,106.58	3.42	90.0	3.08	0.00	3.08
10/31/2017	SPEED, LINDA	JNL PERSPECTIVE II 05/05	35,690.82	29.74	90.0	26.77	0.00	26.77
* VARIABLE ANNUITIES SUBTOTAL *			\$39,797.40	\$33.16		\$29.85	\$0.00	\$29.85
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/13/2017	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.34	90.0	2.11	0.00	2.11
10/13/2017	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.88	90.0	0.79	0.00	0.79
10/13/2017	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.78	90.0	0.70	0.00	0.70
10/17/2017	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	2.46	90.0	2.21	0.00	2.21
10/23/2017	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	4.58	90.0	4.12	0.00	4.12
10/23/2017	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	12.59	90.0	11.33	0.00	11.33
10/23/2017	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	0.00	1.75	90.0	1.58	0.00	1.58
10/23/2017	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	14.70	90.0	13.23	0.00	13.23
10/23/2017	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	62.53	90.0	56.28	0.00	56.28
10/23/2017	CAPEL TRUST, NARLENE FORTENB	PIMCO INCOME FUND CLASS A	0.00	15.01	90.0	13.51	0.00	13.51
10/23/2017	CAPEL, BETTYE	PIMCO INCOME FUND CLASS A	0.00	10.42	90.0	9.38	0.00	9.38
10/23/2017	LADNER TRUST	PIMCO INCOME FUND CLASS A	0.00	65.41	90.0	58.87	0.00	58.87
10/23/2017	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	2.22	90.0	2.00	0.00	2.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
10/23/2017	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	6.10	90.0	5.49	0.00	5.49
10/23/2017	LADNER TRUST	PIMCO INVESTMENT GRADE CORP BOND A	0.00	43.19	90.0	38.87	0.00	38.87
10/23/2017	SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	2.15	90.0	1.94	0.00	1.94
10/23/2017	SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	5.89	90.0	5.30	0.00	5.30
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$253.00</b>		<b>\$227.71</b>	<b>\$0.00</b>	<b>\$227.71</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$289,797.40</b>	<b>\$17,796.25</b>		<b>\$16,016.64</b>	<b>\$0.00</b>	<b>\$16,016.64</b>

**ADJUSTMENTS**

11/15/2017	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
11/15/2017	*ALBRIDGE: LIGHT USER	0.00						-76.00
11/15/2017	*CONCIERGE SVC: 201577 SMITH,	0.00						-100.00
11/15/2017	*E & O INSURANCE: CURRENT MONTH	0.00						-300.00
11/15/2017	*GLOBAL RELAY: WEBSITE	0.00						-15.00
11/15/2017	*LASER APP: CURRENT MONTH	0.00						-18.00
11/15/2017	*TECHNOLOGY: ADMIN - K BREEDLOVE	0.00						-130.00
11/15/2017	*TECHNOLOGY: ADMIN - LESLIE DALY	0.00						-135.00
11/15/2017	*TECHNOLOGY: ADMIN - R WILLIAMS	0.00						-130.00
11/15/2017	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-1,091.00</b>	<b>\$0.00</b>	<b>\$-1,091.00</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$16,016.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,091.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14,925.64
Year-to-date Gross	\$59,111.38
Year-to-date Earnings	\$52,957.90