



All Companies

07/30/2018

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COMMISSION STATEMENT

August 01, 2018

DANIEL WILLIAM ASHLEY
10809 Pebblecreek Court

BROKER #: 5276
BRANCH: HOME OFFICE

Benbrook, TX 76126

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	172.33	172.33	0.00	172.33	70.0	120.63
06/30/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	4.72	4.72	0.00	4.72	70.0	3.30
07/09/2018	000060177986769	SEXTON, THOMAS FRANKLIN GROWTH FD CL I	B	5,600.00	280.00	0.00	280.00	70.0	196.00
07/13/2018	00089239225	LUTT, ROBERT R FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
07/13/2018	00089239225	LUTT, ROBERT R FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
07/13/2018	00089239238	LUTT, ANDREW T FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
07/13/2018	00089239238	LUTT, ANDREW T FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
07/13/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
07/13/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
07/20/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
07/20/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
07/13/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
07/13/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
07/20/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
07/20/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
07/13/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
07/13/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
07/20/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
07/20/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
07/13/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
07/13/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
07/20/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
07/20/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
07/13/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
07/13/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
07/20/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
07/20/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
07/13/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
07/13/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
07/20/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
07/20/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/13/2018	00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
07/13/2018	00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
07/20/2018	00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
07/20/2018	00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
07/13/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045 TARGET DATE-C	B	49.61	0.50	0.00	0.50	70.0	0.35
07/13/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045 TARGET DATE-C	B	29.77	0.30	0.00	0.30	70.0	0.21
07/20/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045 TARGET DATE-C	B	29.95	0.30	0.00	0.30	70.0	0.21
07/20/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045 TARGET DATE-C	B	49.92	0.50	0.00	0.50	70.0	0.35
07/13/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
07/13/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
07/20/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
07/20/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
07/13/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-C	B	27.68	0.28	0.00	0.28	70.0	0.20
07/13/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-C	B	34.76	0.35	0.00	0.35	70.0	0.25
07/20/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-C	B	21.60	0.22	0.00	0.22	70.0	0.15
07/20/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-C	B	21.60	0.22	0.00	0.22	70.0	0.15
07/13/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
07/13/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045 TARGET DATE-C	B	24.60	0.25	0.00	0.25	70.0	0.18
07/20/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
07/20/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
07/13/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-C	B	22.23	0.22	0.00	0.22	70.0	0.15
07/13/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
07/20/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
07/20/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-C	B	22.80	0.23	0.00	0.23	70.0	0.16
07/13/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
07/13/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-C	B	19.92	0.20	0.00	0.20	70.0	0.14
07/20/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
07/20/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-C	B	12.96	0.13	0.00	0.13	70.0	0.09
07/16/2018	04001044756	BEJARANO, MANUEL	AMERICAN FUNDS NEW PERSPECTIVE FD	B	2,438.28	121.91	0.00	121.91	70.0	85.34
* MUTUAL FUNDS SUBTOTAL *					\$11,217.33	\$609.01	\$0.00	\$609.01		\$426.36
PRODUCT CATEGORY: STOCKS										
07/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES		B	76.12	76.12	0.00	76.12	70.0	53.28
* STOCKS SUBTOTAL *					\$76.12	\$76.12	\$0.00	\$76.12		\$53.28
PRODUCT CATEGORY: VARIABLE ANNUITIES										
07/02/2018	TRAMMELL, TAMMY S.	-SECURITY BENEFIT		B	500.00	5.00	0.00	5.00	70.0	3.50
07/03/2018	SEXTON, THOMAS	-SECURITY BENEFIT		B	250.00	12.50	0.00	12.50	70.0	8.75
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL		B	1,293.22	1,293.22	0.00	1,293.22	70.0	905.25
07/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL		B	293.33	293.33	0.00	293.33	70.0	205.33

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BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

07/06/2018	TRAILS	JOHN HANCOCK	B	29.57	29.57	0.00	29.57	70.0	20.70
* VARIABLE ANNUITIES SUBTOTAL *				\$2,366.12	\$1,633.62	\$0.00	\$1,633.62		\$1,143.53

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	23.43	0.00	23.43	70.0	16.40
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$23.43	\$0.00	\$23.43		\$16.40
*** BROKER TRANSACTIONS TOTAL ***				\$13,659.57	\$2,342.18	\$0.00	\$2,342.18		\$1,639.57

ADJUSTMENTS

08/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
08/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,639.57
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,512.07
Year-to-date Earnings	\$11,337.22