



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**July 31, 2017**

07/27/2017  
Page No. 1

JOHN ROSS  
7261 W. Central Ave.  
  
Toledo, OH 43617

BROKER #: R119  
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
06/30/2017	SANDERSON, STEPHEN	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	24.93	0.00	24.93	92.0	22.94
06/30/2017	YOUNG, KEITH	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	12.47	0.00	12.47	92.0	11.47
* REIT SUBTOTAL *			\$0.00	\$37.40	\$0.00	\$37.40		\$34.41
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
06/30/2017	LONG, PAUL	GRIFFIN INST'L ACCESS R E FUND	0.00	3.31	0.00	3.31	92.0	3.05
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$3.31	\$0.00	\$3.31		\$3.05
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/10/2017	HENDERSON, BARBARA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	5.00	0.00	5.00	92.0	4.60
07/17/2017	GURNEY, ERICA	AM FUNDS NEW WORLD A	25.00	1.25	0.00	1.25	92.0	1.15
07/14/2017	ZARAGOZA, JULIE	AM FUNDS SHORT TERM BND FUND OF AM	250.00	5.00	0.00	5.00	92.0	4.60
07/10/2017	HENDERSON, BARBARA	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	92.0	2.30
07/10/2017	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52
07/12/2017	SEYMOUR, DONALD	AM FUNDS TAX-EXEMPT BOND FUND OF AM	250.00	2.50	0.00	2.50	92.0	2.30
07/11/2017	SEYMOUR, DONALD	AMERICAN FUNDS 2015 TARGET DATE-C	448.31	4.48	0.00	4.48	92.0	4.12
07/18/2017	SEYMOUR, DONALD	AMERICAN FUNDS 2015 TARGET DATE-C	250.00	2.50	0.00	2.50	92.0	2.30
07/19/2017	SEYMOUR, DONALD	AMERICAN FUNDS 2015 TARGET DATE-C	200.00	2.00	0.00	2.00	92.0	1.84
07/11/2017	SEYMOUR, DONALD	AMERICAN FUNDS 2020 TARGET DATE-C	500.00	5.00	0.00	5.00	92.0	4.60
07/18/2017	SEYMOUR, DONALD	AMERICAN FUNDS 2020 TARGET DATE-C	250.00	2.50	0.00	2.50	92.0	2.30
07/19/2017	SEYMOUR, DONALD	AMERICAN FUNDS 2020 TARGET DATE-C	300.00	3.00	0.00	3.00	92.0	2.76
07/03/2017	ROSS, VARIOUS	AMERICAN FUNDS 8504AFRKD	0.00	912.81	0.00	912.81	92.0	839.79
07/03/2017	ROSS, VARIOUS	AMERICAN FUNDS 8504AFRKD	0.00	1,429.65	0.00	1,429.65	92.0	1,315.28
07/12/2017	SEYMOUR, DONALD	AMERICAN HIGH-INCOME MUNI BOND FUND	250.00	2.50	0.00	2.50	92.0	2.30
/ /	WAGONER JR, JOHN	DELAWARE SMID CAP GROWTH A	0.00	1.89	0.00	1.89	92.0	1.74
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	1.32	0.00	1.32	92.0	1.21
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	0.90	0.00	0.90	92.0	0.83

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.29	0.00	1.29	92.0	1.19
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.07	0.00	1.07	92.0	0.98
/ /	MCLAUGHLIN, JAIME	FLEX MEEDER BALANCED FUND	0.00	0.75	0.00	0.75	92.0	0.69
/ /	MCLAUGHLIN, JAIME	FLEX MEEDER UTILITIES AND INFRASTRU	0.00	0.78	0.00	0.78	92.0	0.72
* MUTUAL FUNDS SUBTOTAL *			\$3,073.31	\$2,394.69	\$0.00	\$2,394.69		\$2,203.12
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
07/14/2017	KORAKAS, LARRY	SPROTT PHYSICAL SILVER TR TR UNIT I	-2,428.00	50.00	22.60	27.40	92.0	25.21
* STOCKS SUBTOTAL *			\$-2,428.00	\$50.00	\$22.60	\$27.40		\$25.21
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/13/2017	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
07/24/2017	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
07/25/2017	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
07/25/2017	SHOEMAKER, DENNIS	MML ARTISTRY	25.00	1.38	0.00	1.38	92.0	1.27
* VARIABLE ANNUITIES SUBTOTAL *			\$355.00	\$19.53	\$0.00	\$19.53		\$17.96
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
07/11/2017	STEDCKE, EILEEN	ING PREMIUM PLUS 2005	0.00	14.01	0.00	14.01	92.0	12.89
07/17/2017	HAUSFELD, DAVID	ING PREMIUM PLUS 2005	0.00	9.86	0.00	9.86	92.0	9.07
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$23.87	\$0.00	\$23.87		\$21.96
*** BROKER TRANSACTIONS TOTAL ***			\$1,000.31	\$2,528.80	\$22.60	\$2,506.20		\$2,305.71

**OVERRIDE TRANSACTIONS****BROKER: THOMAS HALSEY #82**

07/21/2017	WRAY, KIM	AM FUNDS EUROPACIFIC GROWTH FUND A	2,000.00	55.00	0.00	55.00	85.0	3.85
07/19/2017	GERE, AMY	AM FUNDS GROWTH FUND OF AMERICA A	772.53	32.83	0.00	32.83	85.0	2.30
07/21/2017	WRAY, KIM	AM FUNDS NEW PERSPECTIVE A	1,994.32	54.84	0.00	54.84	85.0	3.84
07/21/2017	WRAY, KIM	AM FUNDS NEW WORLD A	2,000.00	55.00	0.00	55.00	85.0	3.85
07/19/2017	GERE, AMY	AM FUNDS SMALLCAP WORLD A	772.53	32.83	0.00	32.83	85.0	2.30
07/21/2017	WRAY, KIM	AM FUNDS SMALLCAP WORLD A	2,000.00	55.00	0.00	55.00	85.0	3.85
06/30/2017	ZORNOW TRUST, BETTY	AMERITAS LIFE TRAILS	0.00	94.15	0.00	94.15	85.0	6.59
07/17/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	0.09
07/17/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	0.09

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: THOMAS HALSEY #82</u></b>								
07/17/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	300.00	11.25	0.00	11.25	85.0	0.79
/ /	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	11.25	0.00	11.25	85.0	0.79
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.17	0.00	7.17	85.0	0.50
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	3.29	0.00	3.29	85.0	0.23
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	4.60	0.00	4.60	85.0	0.32
/ /	KRAL, ADAM	FIDELITY ADV HEALTH CARE T	0.00	1.14	0.00	1.14	85.0	0.08
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	6.02	0.00	6.02	85.0	0.42
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	4.11	0.00	4.11	85.0	0.29
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	4.07	0.00	4.07	85.0	0.28
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	5.96	0.00	5.96	85.0	0.42
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	6.36	0.00	6.36	85.0	0.45
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	8.43	0.00	8.43	85.0	0.59
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	3.18	0.00	3.18	85.0	0.22
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.00	0.00	5.00	85.0	0.35
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	5.95	0.00	5.95	85.0	0.42
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	5.86	0.00	5.86	85.0	0.41
/ /	KRAL, ADAM	FIDELITY MIDCAP T	0.00	0.94	0.00	0.94	85.0	0.07
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	13.94	0.00	13.94	85.0	0.98
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.43	0.00	6.43	85.0	0.45
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	6.22	0.00	6.22	85.0	0.44
07/13/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
07/24/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
07/25/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	0.39
07/03/2017	PRZYBYLSKI, JOSHUA	PIMCO STOCK PLUS FUND A	0.00	4.06	0.00	4.06	85.0	0.28
07/03/2017	PRZYBYLSKI, JOSHUA	PIMCO STOCKSPPLUS C	0.00	0.44	0.00	0.44	85.0	0.03
07/03/2017	PRZYBYLSKI, JOSHUA	PIMCO STOCKSPPLUS C	0.00	0.79	0.00	0.79	85.0	0.06
<b>* THOMAS HALSEY SUBTOTAL *</b>			<b>\$10,089.38</b>	<b>\$519.61</b>	<b>\$0.00</b>	<b>\$519.61</b>		<b>\$36.40</b>
<b><u>BROKER: ROBERT THOMPSON #83</u></b>								
07/03/2017	THOMPSON, VARIOUS	AMERICAN FUNDS 8504AFRKD	0.00	499.82	0.00	499.82	90.0	10.00
07/24/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
06/30/2017	SCHMIDBAUER, JOSEPH	PHOENIX IND EDGE	381.29	0.95	0.00	0.95	90.0	0.02
<b>* ROBERT THOMPSON SUBTOTAL *</b>			<b>\$531.29</b>	<b>\$510.90</b>	<b>\$0.00</b>	<b>\$510.90</b>		<b>\$10.22</b>

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<b><u>BROKER TRANSACTIONS</u></b>								
*** OVERRIDE TRANSACTIONS TOTAL ***			\$10,620.67	\$1,030.51	\$0.00	\$1,030.51		\$46.62

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,305.71
Split Transactions	\$0.00
Override Transactions	\$46.62
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,352.33
Year-to-date Gross	\$75,512.15
Year-to-date Earnings	\$72,821.37

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