



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 15, 2017

06/13/2017
 Page No. 1

G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PFLE/KIE
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: G3G
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^06/12/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	-5,482.32	22.00	22.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-5,482.32	\$22.00	\$22.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^05/18/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.21	0.00	0.21	100.0	0.00
^05/17/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.69	0.00	1.69	100.0	0.00
^05/17/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.52	0.00	1.52	100.0	0.00
^05/17/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.67	0.00	0.67	100.0	0.00
^05/17/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.60	0.00	0.60	100.0	0.00
^05/17/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.18	0.00	1.18	100.0	0.00
^05/17/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	12.27	0.00	12.27	100.0	0.00
^05/17/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.19	0.00	1.19	100.0	0.00
^05/17/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.72	0.00	0.72	100.0	0.00
^05/17/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.79	0.00	0.79	100.0	0.00
^05/17/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.56	0.00	0.56	100.0	0.00
^05/10/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.50	0.00	0.50	100.0	0.00
^05/11/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.96	0.00	0.96	100.0	0.00
^05/11/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.44	0.00	4.44	100.0	0.00
^05/23/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.10	0.00	2.10	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$29.40	\$0.00	\$29.40		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
^05/31/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	0.00	64.24	0.00	64.24	100.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$64.24	\$0.00	\$64.24		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$-5,482.32	\$115.64	\$22.00	\$93.64		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

06/15/2017		*PERSHING: MARK UPS	0.00					0.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.02
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.02
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed