



COMMISSION STATEMENT

March 26, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 03/12/2018 | ***-**-805P - IPPOLITO, NICOLE | AMCAP FUND-A | 5.52 | 0.15 | 0.00 | 0.15 | 70.0 | 0.11 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @35.4800 | | | | | | |
| 03/12/2018 | ***-**-805P - IPPOLITO, NICOLE | AMCAP FUND-A | 22.15 | 0.61 | 0.00 | 0.61 | 70.0 | 0.43 |
| | ACCOUNT #: 00063922927 | BUY 1 Units @35.4800 | | | | | | |
| 03/12/2018 | ***-**-515P - BAUMANN, ROBERT | AMCAP FUND-A | 5.07 | 0.14 | 0.00 | 0.14 | 70.0 | 0.10 |
| | ACCOUNT #: 00063922931 | BUY 0 Units @35.4800 | | | | | | |
| 03/12/2018 | ***-**-515P - BAUMANN, ROBERT | AMCAP FUND-A | 156.00 | 4.29 | 0.00 | 4.29 | 70.0 | 3.00 |
| | ACCOUNT #: 00063922931 | BUY 4 Units @35.4800 | | | | | | |
| 03/12/2018 | ***-**-195P - BAUMANN, TERESA | AMCAP FUND-A | 168.16 | 4.62 | 0.00 | 4.62 | 70.0 | 3.23 |
| | ACCOUNT #: 00063922933 | BUY 5 Units @35.4800 | | | | | | |
| 03/13/2018 | ***-**-805P - IPPOLITO, NICOLE | AMCAP FUND-A | 7.61 | 0.21 | 0.00 | 0.21 | 70.0 | 0.15 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @35.2700 | | | | | | |
| 03/13/2018 | ***-**-805P - IPPOLITO, NICOLE | AMCAP FUND-A | 30.43 | 0.84 | 0.00 | 0.84 | 70.0 | 0.59 |
| | ACCOUNT #: 00063922927 | BUY 1 Units @35.2700 | | | | | | |
| 03/13/2018 | ***-**-515P - BAUMANN, ROBERT | AMCAP FUND-A | 4.26 | 0.12 | 0.00 | 0.12 | 70.0 | 0.08 |
| | ACCOUNT #: 00063922931 | BUY 0 Units @35.2700 | | | | | | |
| 03/13/2018 | ***-**-515P - BAUMANN, ROBERT | AMCAP FUND-A | 130.00 | 3.58 | 0.00 | 3.58 | 70.0 | 2.51 |
| | ACCOUNT #: 00063922931 | BUY 4 Units @35.2700 | | | | | | |
| 03/13/2018 | ***-**-195P - BAUMANN, TERESA | AMCAP FUND-A | 168.16 | 4.62 | 0.00 | 4.62 | 70.0 | 3.23 |
| | ACCOUNT #: 00063922933 | BUY 5 Units @35.2700 | | | | | | |
| 03/12/2018 | ***-**-805P - IPPOLITO, NICOLE | AMERICAN MUTUAL FUND-A | 2.77 | 0.08 | 0.00 | 0.08 | 70.0 | 0.06 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @43.0100 | | | | | | |
| 03/12/2018 | ***-**-805P - IPPOLITO, NICOLE | AMERICAN MUTUAL FUND-A | 11.07 | 0.30 | 0.00 | 0.30 | 70.0 | 0.21 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @43.0100 | | | | | | |
| 03/12/2018 | ***-**-515P - BAUMANN, ROBERT | AMERICAN MUTUAL FUND-A | 5.09 | 0.14 | 0.00 | 0.14 | 70.0 | 0.10 |
| | ACCOUNT #: 00063922931 | BUY 0 Units @43.0100 | | | | | | |
| 03/12/2018 | ***-**-515P - BAUMANN, ROBERT | AMERICAN MUTUAL FUND-A | 156.00 | 4.29 | 0.00 | 4.29 | 70.0 | 3.00 |
| | ACCOUNT #: 00063922931 | BUY 4 Units @43.0100 | | | | | | |
| 03/12/2018 | ***-**-195P - BAUMANN, TERESA | AMERICAN MUTUAL FUND-A | 168.16 | 4.62 | 0.00 | 4.62 | 70.0 | 3.23 |
| | ACCOUNT #: 00063922933 | BUY 4 Units @43.0100 | | | | | | |
| 03/13/2018 | ***-**-805P - IPPOLITO, NICOLE | AMERICAN MUTUAL FUND-A | 3.80 | 0.10 | 0.00 | 0.10 | 70.0 | 0.07 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @42.8500 | | | | | | |



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|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 03/13/2018 | ***-**-805P - IPPOLITO, NICOLE | AMERICAN MUTUAL FUND-A | 15.22 | 0.42 | 0.00 | 0.42 | 70.0 | 0.29 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @42.8500 | | | | | | |
| 03/13/2018 | ***-**-515P - BAUMANN, ROBERT | AMERICAN MUTUAL FUND-A | 4.24 | 0.12 | 0.00 | 0.12 | 70.0 | 0.08 |
| | ACCOUNT #: 00063922931 | BUY 0 Units @42.8500 | | | | | | |
| 03/13/2018 | ***-**-515P - BAUMANN, ROBERT | AMERICAN MUTUAL FUND-A | 130.00 | 3.58 | 0.00 | 3.58 | 70.0 | 2.51 |
| | ACCOUNT #: 00063922931 | BUY 3 Units @42.8500 | | | | | | |
| 03/13/2018 | ***-**-195P - BAUMANN, TERESA | AMERICAN MUTUAL FUND-A | 168.16 | 4.62 | 0.00 | 4.62 | 70.0 | 3.23 |
| | ACCOUNT #: 00063922933 | BUY 4 Units @42.8500 | | | | | | |
| 03/12/2018 | ***-**-515P - BAUMANN, ROBERT | CAPITAL INCOME BUILDER-A | 4.70 | 0.13 | 0.00 | 0.13 | 70.0 | 0.09 |
| | ACCOUNT #: 00063922931 | BUY 0 Units @64.7900 | | | | | | |
| 03/12/2018 | ***-**-515P - BAUMANN, ROBERT | CAPITAL INCOME BUILDER-A | 144.00 | 3.96 | 0.00 | 3.96 | 70.0 | 2.77 |
| | ACCOUNT #: 00063922931 | BUY 2 Units @64.7900 | | | | | | |
| 03/13/2018 | ***-**-515P - BAUMANN, ROBERT | CAPITAL INCOME BUILDER-A | 3.91 | 0.11 | 0.00 | 0.11 | 70.0 | 0.08 |
| | ACCOUNT #: 00063922931 | BUY 0 Units @64.5500 | | | | | | |
| 03/13/2018 | ***-**-515P - BAUMANN, ROBERT | CAPITAL INCOME BUILDER-A | 120.00 | 3.30 | 0.00 | 3.30 | 70.0 | 2.31 |
| | ACCOUNT #: 00063922931 | BUY 2 Units @64.5500 | | | | | | |
| 03/12/2018 | ***-**-805P - IPPOLITO, NICOLE | CAPITAL WORLD GROWTH AND INCOME FUN | 2.77 | 0.08 | 0.00 | 0.08 | 70.0 | 0.06 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @55.2200 | | | | | | |
| 03/12/2018 | ***-**-805P - IPPOLITO, NICOLE | CAPITAL WORLD GROWTH AND INCOME FUN | 11.07 | 0.30 | 0.00 | 0.30 | 70.0 | 0.21 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @55.2200 | | | | | | |
| 03/13/2018 | ***-**-805P - IPPOLITO, NICOLE | CAPITAL WORLD GROWTH AND INCOME FUN | 3.80 | 0.10 | 0.00 | 0.10 | 70.0 | 0.07 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @54.9400 | | | | | | |
| 03/13/2018 | ***-**-805P - IPPOLITO, NICOLE | CAPITAL WORLD GROWTH AND INCOME FUN | 15.22 | 0.42 | 0.00 | 0.42 | 70.0 | 0.29 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @54.9400 | | | | | | |
| 03/12/2018 | ***-**-805P - IPPOLITO, NICOLE | FUNDAMENTAL INVESTORS-A | 5.54 | 0.15 | 0.00 | 0.15 | 70.0 | 0.11 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @67.2700 | | | | | | |
| 03/12/2018 | ***-**-805P - IPPOLITO, NICOLE | FUNDAMENTAL INVESTORS-A | 22.15 | 0.61 | 0.00 | 0.61 | 70.0 | 0.43 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @67.2700 | | | | | | |
| 03/12/2018 | ***-**-515P - BAUMANN, ROBERT | FUNDAMENTAL INVESTORS-A | 4.70 | 0.13 | 0.00 | 0.13 | 70.0 | 0.09 |
| | ACCOUNT #: 00063922931 | BUY 0 Units @67.2700 | | | | | | |
| 03/12/2018 | ***-**-515P - BAUMANN, ROBERT | FUNDAMENTAL INVESTORS-A | 144.00 | 3.96 | 0.00 | 3.96 | 70.0 | 2.77 |
| | ACCOUNT #: 00063922931 | BUY 2 Units @67.2700 | | | | | | |



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|---|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 03/12/2018 | ***-**-195P - BAUMANN, TERESA | FUNDAMENTAL INVESTORS-A | 155.22 | 4.27 | 0.00 | 4.27 | 70.0 | 2.99 |
| | ACCOUNT #: 00063922933 | BUY 2 Units @67.2700 | | | | | | |
| 03/13/2018 | ***-**-805P - IPPOLITO, NICOLE | FUNDAMENTAL INVESTORS-A | 7.61 | 0.21 | 0.00 | 0.21 | 70.0 | 0.15 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @66.7600 | | | | | | |
| 03/13/2018 | ***-**-805P - IPPOLITO, NICOLE | FUNDAMENTAL INVESTORS-A | 30.43 | 0.84 | 0.00 | 0.84 | 70.0 | 0.59 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @66.7600 | | | | | | |
| 03/13/2018 | ***-**-515P - BAUMANN, ROBERT | FUNDAMENTAL INVESTORS-A | 3.91 | 0.11 | 0.00 | 0.11 | 70.0 | 0.08 |
| | ACCOUNT #: 00063922931 | BUY 0 Units @66.7600 | | | | | | |
| 03/13/2018 | ***-**-515P - BAUMANN, ROBERT | FUNDAMENTAL INVESTORS-A | 120.00 | 3.30 | 0.00 | 3.30 | 70.0 | 2.31 |
| | ACCOUNT #: 00063922931 | BUY 2 Units @66.7600 | | | | | | |
| 03/13/2018 | ***-**-195P - BAUMANN, TERESA | FUNDAMENTAL INVESTORS-A | 155.22 | 4.27 | 0.00 | 4.27 | 70.0 | 2.99 |
| | ACCOUNT #: 00063922933 | BUY 2 Units @66.7600 | | | | | | |
| 03/12/2018 | ***-**-805P - IPPOLITO, NICOLE | THE GROWTH FUND OF AMERICA-A | 5.54 | 0.15 | 0.00 | 0.15 | 70.0 | 0.11 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @55.8800 | | | | | | |
| 03/12/2018 | ***-**-805P - IPPOLITO, NICOLE | THE GROWTH FUND OF AMERICA-A | 22.15 | 0.61 | 0.00 | 0.61 | 70.0 | 0.43 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @55.8800 | | | | | | |
| 03/12/2018 | ***-**-515P - BAUMANN, ROBERT | THE GROWTH FUND OF AMERICA-A | 4.70 | 0.13 | 0.00 | 0.13 | 70.0 | 0.09 |
| | ACCOUNT #: 00063922931 | BUY 0 Units @55.8800 | | | | | | |
| 03/12/2018 | ***-**-515P - BAUMANN, ROBERT | THE GROWTH FUND OF AMERICA-A | 144.00 | 3.96 | 0.00 | 3.96 | 70.0 | 2.77 |
| | ACCOUNT #: 00063922931 | BUY 3 Units @55.8800 | | | | | | |
| 03/12/2018 | ***-**-195P - BAUMANN, TERESA | THE GROWTH FUND OF AMERICA-A | 155.22 | 4.27 | 0.00 | 4.27 | 70.0 | 2.99 |
| | ACCOUNT #: 00063922933 | BUY 3 Units @55.8800 | | | | | | |
| 03/13/2018 | ***-**-805P - IPPOLITO, NICOLE | THE GROWTH FUND OF AMERICA-A | 7.61 | 0.21 | 0.00 | 0.21 | 70.0 | 0.15 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @55.4600 | | | | | | |
| 03/13/2018 | ***-**-805P - IPPOLITO, NICOLE | THE GROWTH FUND OF AMERICA-A | 30.43 | 0.84 | 0.00 | 0.84 | 70.0 | 0.59 |
| | ACCOUNT #: 00063922927 | BUY 1 Units @55.4600 | | | | | | |
| 03/13/2018 | ***-**-515P - BAUMANN, ROBERT | THE GROWTH FUND OF AMERICA-A | 3.91 | 0.11 | 0.00 | 0.11 | 70.0 | 0.08 |
| | ACCOUNT #: 00063922931 | BUY 0 Units @55.4600 | | | | | | |
| 03/13/2018 | ***-**-515P - BAUMANN, ROBERT | THE GROWTH FUND OF AMERICA-A | 120.00 | 3.30 | 0.00 | 3.30 | 70.0 | 2.31 |
| | ACCOUNT #: 00063922931 | BUY 2 Units @55.4600 | | | | | | |
| 03/13/2018 | ***-**-195P - BAUMANN, TERESA | THE GROWTH FUND OF AMERICA-A | 155.22 | 4.27 | 0.00 | 4.27 | 70.0 | 2.99 |
| | ACCOUNT #: 00063922933 | BUY 3 Units @55.4600 | | | | | | |



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|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 03/12/2018 | ***-**-195P - BAUMANN, TERESA | THE INCOME FUND OF AMERICA-A | 155.22 | 4.27 | 0.00 | 4.27 | 70.0 | 2.99 |
| | ACCOUNT #: 00063922933 | BUY 6 Units @24.2100 | | | | | | |
| 03/13/2018 | ***-**-195P - BAUMANN, TERESA | THE INCOME FUND OF AMERICA-A | 155.22 | 4.27 | 0.00 | 4.27 | 70.0 | 2.99 |
| | ACCOUNT #: 00063922933 | BUY 6 Units @24.1200 | | | | | | |
| 03/12/2018 | ***-**-515P - BAUMANN, ROBERT | THE INVESTMENT COMPANY OF AMERICA-A | 5.09 | 0.14 | 0.00 | 0.14 | 70.0 | 0.10 |
| | ACCOUNT #: 00063922931 | BUY 0 Units @43.6200 | | | | | | |
| 03/12/2018 | ***-**-515P - BAUMANN, ROBERT | THE INVESTMENT COMPANY OF AMERICA-A | 156.00 | 4.29 | 0.00 | 4.29 | 70.0 | 3.00 |
| | ACCOUNT #: 00063922931 | BUY 4 Units @43.6200 | | | | | | |
| 03/12/2018 | ***-**-195P - BAUMANN, TERESA | THE INVESTMENT COMPANY OF AMERICA-A | 168.14 | 4.62 | 0.00 | 4.62 | 70.0 | 3.23 |
| | ACCOUNT #: 00063922933 | BUY 4 Units @43.6200 | | | | | | |
| 03/13/2018 | ***-**-515P - BAUMANN, ROBERT | THE INVESTMENT COMPANY OF AMERICA-A | 4.24 | 0.12 | 0.00 | 0.12 | 70.0 | 0.08 |
| | ACCOUNT #: 00063922931 | BUY 0 Units @43.4000 | | | | | | |
| 03/13/2018 | ***-**-515P - BAUMANN, ROBERT | THE INVESTMENT COMPANY OF AMERICA-A | 130.00 | 3.58 | 0.00 | 3.58 | 70.0 | 2.51 |
| | ACCOUNT #: 00063922931 | BUY 3 Units @43.4000 | | | | | | |
| 03/13/2018 | ***-**-195P - BAUMANN, TERESA | THE INVESTMENT COMPANY OF AMERICA-A | 168.14 | 4.62 | 0.00 | 4.62 | 70.0 | 3.23 |
| | ACCOUNT #: 00063922933 | BUY 4 Units @43.4000 | | | | | | |
| 03/12/2018 | ***-**-805P - IPPOLITO, NICOLE | THE NEW ECONOMY FUND-A | 5.54 | 0.15 | 0.00 | 0.15 | 70.0 | 0.11 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @51.6200 | | | | | | |
| 03/12/2018 | ***-**-805P - IPPOLITO, NICOLE | THE NEW ECONOMY FUND-A | 22.15 | 0.61 | 0.00 | 0.61 | 70.0 | 0.43 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @51.6200 | | | | | | |
| 03/12/2018 | ***-**-515P - BAUMANN, ROBERT | THE NEW ECONOMY FUND-A | 4.70 | 0.13 | 0.00 | 0.13 | 70.0 | 0.09 |
| | ACCOUNT #: 00063922931 | BUY 0 Units @51.6200 | | | | | | |
| 03/12/2018 | ***-**-515P - BAUMANN, ROBERT | THE NEW ECONOMY FUND-A | 144.00 | 3.96 | 0.00 | 3.96 | 70.0 | 2.77 |
| | ACCOUNT #: 00063922931 | BUY 3 Units @51.6200 | | | | | | |
| 03/12/2018 | ***-**-195P - BAUMANN, TERESA | THE NEW ECONOMY FUND-A | 155.22 | 4.27 | 0.00 | 4.27 | 70.0 | 2.99 |
| | ACCOUNT #: 00063922933 | BUY 3 Units @51.6200 | | | | | | |
| 03/13/2018 | ***-**-805P - IPPOLITO, NICOLE | THE NEW ECONOMY FUND-A | 7.61 | 0.21 | 0.00 | 0.21 | 70.0 | 0.15 |
| | ACCOUNT #: 00063922927 | BUY 0 Units @51.2500 | | | | | | |
| 03/13/2018 | ***-**-805P - IPPOLITO, NICOLE | THE NEW ECONOMY FUND-A | 30.43 | 0.84 | 0.00 | 0.84 | 70.0 | 0.59 |
| | ACCOUNT #: 00063922927 | BUY 1 Units @51.2500 | | | | | | |
| 03/13/2018 | ***-**-515P - BAUMANN, ROBERT | THE NEW ECONOMY FUND-A | 3.91 | 0.11 | 0.00 | 0.11 | 70.0 | 0.08 |
| | ACCOUNT #: 00063922931 | BUY 0 Units @51.2500 | | | | | | |



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|---|--------------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 03/13/2018 | ***-**-515P - BAUMANN, ROBERT | THE NEW ECONOMY FUND-A | 120.00 | 3.30 | 0.00 | 3.30 | 70.0 | 2.31 |
| | ACCOUNT #: 00063922931 | BUY 2 Units @51.2500 | | | | | | |
| 03/13/2018 | ***-**-195P - BAUMANN, TERESA | THE NEW ECONOMY FUND-A | 155.22 | 4.27 | 0.00 | 4.27 | 70.0 | 2.99 |
| | ACCOUNT #: 00063922933 | BUY 3 Units @51.2500 | | | | | | |
| 03/12/2018 | ***-**-515P - BAUMANN, ROBERT | WASHINGTON MUTUAL INVESTORS FUND-A | 5.09 | 0.14 | 0.00 | 0.14 | 70.0 | 0.10 |
| | ACCOUNT #: 00063922931 | BUY 0 Units @48.5200 | | | | | | |
| 03/12/2018 | ***-**-515P - BAUMANN, ROBERT | WASHINGTON MUTUAL INVESTORS FUND-A | 156.00 | 4.29 | 0.00 | 4.29 | 70.0 | 3.00 |
| | ACCOUNT #: 00063922931 | BUY 3 Units @48.5200 | | | | | | |
| 03/12/2018 | ***-**-195P - BAUMANN, TERESA | WASHINGTON MUTUAL INVESTORS FUND-A | 168.16 | 4.62 | 0.00 | 4.62 | 70.0 | 3.23 |
| | ACCOUNT #: 00063922933 | BUY 3 Units @48.5200 | | | | | | |
| 03/13/2018 | ***-**-515P - BAUMANN, ROBERT | WASHINGTON MUTUAL INVESTORS FUND-A | 4.24 | 0.12 | 0.00 | 0.12 | 70.0 | 0.08 |
| | ACCOUNT #: 00063922931 | BUY 0 Units @48.2400 | | | | | | |
| 03/13/2018 | ***-**-515P - BAUMANN, ROBERT | WASHINGTON MUTUAL INVESTORS FUND-A | 130.00 | 3.58 | 0.00 | 3.58 | 70.0 | 2.51 |
| | ACCOUNT #: 00063922931 | BUY 3 Units @48.2400 | | | | | | |
| 03/13/2018 | ***-**-195P - BAUMANN, TERESA | WASHINGTON MUTUAL INVESTORS FUND-A | 168.16 | 4.62 | 0.00 | 4.62 | 70.0 | 3.23 |
| | ACCOUNT #: 00063922933 | BUY 3 Units @48.2400 | | | | | | |
| * MUTUAL FUNDS SUBTOTAL * | | | \$5,187.38 | \$142.68 | \$0.00 | \$142.68 | | \$99.90 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 03/26/2018 | ***-**-1184 - SPORRER, SHIRLEY | FIRST EAGLE GOLD FUND CLASS A | 0.00 | 0.84 | 0.00 | 0.84 | 70.0 | 0.59 |
| | ACCOUNT #: LSR105244 | BUY 1 Units @0.0000 | | | | | | |
| 03/26/2018 | ***-**-184I - SPORRER, SHIRLEY | FIRST EAGLE GOLD FUND CLASS A | 0.00 | 1.36 | 0.00 | 1.36 | 70.0 | 0.95 |
| | ACCOUNT #: LSR105252 | BUY 1 Units @0.0000 | | | | | | |
| 03/26/2018 | ***-**-00IR - SPORRER, JERALD | FIRST EAGLE GOLD FUND CLASS A | 0.00 | 1.58 | 0.00 | 1.58 | 70.0 | 1.11 |
| | ACCOUNT #: LSR105317 | BUY 1 Units @0.0000 | | | | | | |
| 03/26/2018 | ***-**-0724 - STROMMESEN, | FRANKLIN INCOME FUND CLASS A | 0.00 | 4.07 | 0.00 | 4.07 | 70.0 | 2.85 |
| | ACCOUNT #: LSR105090 | BUY 1 Units @0.0000 | | | | | | |
| 03/26/2018 | ***-**-1788 - STROMMESEN, | FRANKLIN INCOME FUND CLASS A | 0.00 | 2.63 | 0.00 | 2.63 | 70.0 | 1.84 |
| | ACCOUNT #: LSR105104 | BUY 1 Units @0.0000 | | | | | | |
| 03/26/2018 | ***-**-9761 - MCCARTHY, NANCY | FRANKLIN INCOME FUND CLASS A | 0.00 | 2.34 | 0.00 | 2.34 | 70.0 | 1.64 |
| | ACCOUNT #: LSR105490 | BUY 1 Units @0.0000 | | | | | | |
| 03/26/2018 | ***-**-568J - MARSHALL, BRUCE | FRANKLIN INCOME FUND CLASS A | 0.00 | 6.50 | 0.00 | 6.50 | 70.0 | 4.55 |
| | ACCOUNT #: LSR105600 | BUY 1 Units @0.0000 | | | | | | |



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|--|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/26/2018 | ***-**-0383 - MARSHALL, ACCOUNT #: LSR105619 | FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000 | 0.00 | 0.52 | 0.00 | 0.52 | 70.0 | 0.36 |
| 03/26/2018 | ***-**-5712 - RAMSEY, GUSSIE ACCOUNT #: LSR105929 | FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000 | 0.00 | 12.14 | 0.00 | 12.14 | 70.0 | 8.50 |
| 03/26/2018 | ***-**-2425 - BRIAN N CALNAN, ACCOUNT #: LSR105945 | FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000 | 0.00 | 0.81 | 0.00 | 0.81 | 70.0 | 0.57 |
| 03/26/2018 | ***-**-10TR - SAUDER TRUST ACCOUNT #: LSR010138 | FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000 | 0.00 | 76.85 | 0.00 | 76.85 | 70.0 | 53.80 |
| 03/26/2018 | ***-**-92IO - SAUDER ACCOUNT #: LSR105538 | FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000 | 0.00 | 40.75 | 0.00 | 40.75 | 70.0 | 28.53 |
| 03/26/2018 | ***-**-1184 - SPORRER, SHIRLEY ACCOUNT #: LSR105244 | FRK GOLD FUND - CLASS A BUY 1 Units @0.0000 | 0.00 | 0.17 | 0.00 | 0.17 | 70.0 | 0.12 |
| 03/26/2018 | ***-**-184I - SPORRER, SHIRLEY ACCOUNT #: LSR105252 | FRK GOLD FUND - CLASS A BUY 1 Units @0.0000 | 0.00 | 0.27 | 0.00 | 0.27 | 70.0 | 0.19 |
| 03/26/2018 | ***-**-0400 - SPORRER, JERALD ACCOUNT #: LSR105287 | FRK GOLD FUND - CLASS A BUY 1 Units @0.0000 | 0.00 | 0.28 | 0.00 | 0.28 | 70.0 | 0.20 |
| 03/26/2018 | ***-**-00IR - SPORRER, JERALD ACCOUNT #: LSR105317 | FRK GOLD FUND - CLASS A BUY 1 Units @0.0000 | 0.00 | 0.21 | 0.00 | 0.21 | 70.0 | 0.15 |
| 03/26/2018 | ***-**-184I - SPORRER, SHIRLEY ACCOUNT #: LSR105252 | NATIONWIDE INVESTOR DESTIN CONSERV BUY 1 Units @0.0000 | 0.00 | 3.11 | 0.00 | 3.11 | 70.0 | 2.18 |
| 03/26/2018 | ***-**-0400 - SPORRER, JERALD ACCOUNT #: LSR105287 | NATIONWIDE INVESTOR DESTIN CONSERV BUY 1 Units @0.0000 | 0.00 | 0.91 | 0.00 | 0.91 | 70.0 | 0.64 |
| 03/26/2018 | ***-**-514I - BERGIN, JOSEPH ACCOUNT #: LSR004910 | PUTNAM ABSOLUTE RETURN 300 FUND CLA BUY 1 Units @0.0000 | 0.00 | 0.33 | 0.00 | 0.33 | 70.0 | 0.23 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$155.67 | \$0.00 | \$155.67 | | \$109.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$5,187.38 | \$298.35 | \$0.00 | \$298.35 | | \$208.90 |



BROKER #: 698
BRANCH #01001 - LifeMark Home Office

| | | | | | BROKER |
|--------------------------|--------|------------|--------|--|-----------------------|
| DATE | CLIENT | INVESTMENT | AMOUNT | GROSS COMMISSION | NET BROKER COMMISSION |
| BROKER COMMISSION TOTALS | | | | | |
| | | | | Broker Transactions | \$208.90 |
| | | | | Split Transactions | \$0.00 |
| | | | | Override Transactions | \$0.00 |
| | | | | Adjustments | \$0.00 |
| | | | | Payroll Draw | \$0.00 |
| | | | | Base Salary | \$0.00 |
| | | | | Prior Period Balance | \$0.00 |
| | | | | FINRA Assessment | \$0.00 |
| | | | | SIPC Assessment | \$0.00 |
| | | | | <div>Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD</div> | |
| | | | | Balance Forward | \$0.00 |
| | | | | Year-to-date Earnings | \$0.00 |