



Concorde Investment Services, LLC

03/14/2017
Page No. 1

COMMISSION STATEMENT

March 15, 2017

ROBERT THOMPSON
7261 W. Central Ave.

BROKER #: T118
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	MANNON, ANN	AM FUNDS 2010 TARGET DATE R2	0.00	18.25	0.00	18.25	90.0	16.43
03/01/2017	SCHMIDBAUER, JOSEPH	AM FUNDS 2015 TARGET DATE R2	0.00	111.83	0.00	111.83	90.0	100.65
03/01/2017	SCHMIDBAUER, ROBIN	AM FUNDS 2025 TARGET DATE R2	0.00	62.47	0.00	62.47	90.0	56.22
03/01/2017	WILSON, SHANNON	AM FUNDS 2030 TARGET DATE R2	0.00	6.68	0.00	6.68	90.0	6.01
03/01/2017	COURTS, TRACI	AM FUNDS 2030 TARGET DATE R2	0.00	1.68	0.00	1.68	90.0	1.51
03/01/2017	RINGGER, MARK	AM FUNDS BOND FUND OF AMERICA A	0.00	3.42	0.00	3.42	90.0	3.08
03/01/2017	MOORE, EILEEN	AM FUNDS BOND FUND OF AMERICA A	0.00	11.34	0.00	11.34	90.0	10.21
03/01/2017	NEITZ, DONALD	AM FUNDS BOND FUND OF AMERICA A	0.00	4.06	0.00	4.06	90.0	3.65
03/01/2017	SCHUMACHER, ROSEMARY	AM FUNDS BOND FUND OF AMERICA A	0.00	5.40	0.00	5.40	90.0	4.86
03/01/2017	MAXFIELD, RICHARD	AM FUNDS BOND FUND OF AMERICA A	0.00	18.97	0.00	18.97	90.0	17.07
03/01/2017	PENNINGTON, STEVE	AM FUNDS BOND FUND OF AMERICA A	0.00	11.97	0.00	11.97	90.0	10.77
03/01/2017	SHAFFER TRUST, EMILY	AM FUNDS BOND FUND OF AMERICA A	0.00	14.02	0.00	14.02	90.0	12.62
03/01/2017	GRAHAM, JUDY	AM FUNDS BOND FUND OF AMERICA A	0.00	7.26	0.00	7.26	90.0	6.53
03/01/2017	DAVIS, HOWARD	AM FUNDS BOND FUND OF AMERICA A	0.00	6.38	0.00	6.38	90.0	5.74
03/01/2017	MICHALSKI, PAIGE	AM FUNDS BOND FUND OF AMERICA A	0.00	7.51	0.00	7.51	90.0	6.76
03/01/2017	HILEMAN, RONALD	AM FUNDS BOND FUND OF AMERICA A	0.00	27.22	0.00	27.22	90.0	24.50
03/01/2017	JACOB, MARILYN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.10	0.00	0.10	90.0	0.09
03/01/2017	MOORE CHARIT TRUST, EILEEN	AM FUNDS BOND FUND OF AMERICA A	0.00	16.40	0.00	16.40	90.0	14.76
03/01/2017	BLADEL, JACQUELYN	AM FUNDS BOND FUND OF AMERICA A	0.00	76.73	0.00	76.73	90.0	69.06
03/01/2017	RICE, GREGG	AM FUNDS BOND FUND OF AMERICA A	0.00	35.77	0.00	35.77	90.0	32.19
03/01/2017	MITTEN, ETTA	AM FUNDS BOND FUND OF AMERICA A	0.00	38.92	0.00	38.92	90.0	35.03
03/01/2017	GRUBB, BEVERLY	AM FUNDS BOND FUND OF AMERICA A	0.00	30.91	0.00	30.91	90.0	27.82
03/01/2017	JACOB TRUST, MARILYN	AM FUNDS BOND FUND OF AMERICA A	0.00	31.96	0.00	31.96	90.0	28.76
03/01/2017	RICE, PAMELA	AM FUNDS BOND FUND OF AMERICA A	0.00	8.24	0.00	8.24	90.0	7.42
03/01/2017	ROSSWURM, ELAINE	AM FUNDS BOND FUND OF AMERICA A	0.00	15.70	0.00	15.70	90.0	14.13
03/01/2017	SCHMIDBAUER, JOSEPH	AM FUNDS BOND FUND OF AMERICA R2	0.00	58.20	0.00	58.20	90.0	52.38

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/01/2017	MEYER, JILL	AM FUNDS BOND FUND OF AMERICA R2	0.00	11.39	0.00	11.39	90.0	10.25
03/01/2017	SHAFFER, CLARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.70	0.00	3.70	90.0	3.33
03/01/2017	JACOB TRUST, MARILYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.16	0.00	4.16	90.0	3.74
03/01/2017	MOORE, EILEEN	AM FUNDS CAPITAL WORLD BOND A	0.00	6.70	0.00	6.70	90.0	6.03
03/01/2017	JEFFERS, DAVID	AM FUNDS CAPITAL WORLD BOND A	0.00	4.64	0.00	4.64	90.0	4.18
03/01/2017	GAJEWSKI, DAVID	AM FUNDS CAPITAL WORLD BOND A	0.00	4.04	0.00	4.04	90.0	3.64
03/01/2017	JACOB, MARILYN	AM FUNDS CAPITAL WORLD BOND A	0.00	17.75	0.00	17.75	90.0	15.98
03/01/2017	BUEHLER, ARNOLD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.63	0.00	16.63	90.0	14.97
03/01/2017	SCHWEINHAGEN, RUPERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	30.42	0.00	30.42	90.0	27.38
03/01/2017	NEITZ, DONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	24.27	0.00	24.27	90.0	21.84
03/01/2017	PENNINGTON, SANDRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	22.66	0.00	22.66	90.0	20.39
03/01/2017	MAXFIELD, RICHARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	33.83	0.00	33.83	90.0	30.45
03/01/2017	BESTOR, DONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.00	0.00	15.00	90.0	13.50
03/01/2017	MOORE, EILEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	51.31	0.00	51.31	90.0	46.18
03/01/2017	SCHUMACHER, EDWARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	26.55	0.00	26.55	90.0	23.90
03/01/2017	GRIM, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.91	0.00	0.91	90.0	0.82
03/01/2017	ROSSWURM, ELAINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	21.53	0.00	21.53	90.0	19.38
03/01/2017	WODA, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.16	0.00	3.16	90.0	2.84
03/01/2017	RECKNER, GARTH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.58	0.00	1.58	90.0	1.42
03/01/2017	PITCHFORD ESTATE, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	31.68	0.00	31.68	90.0	28.51
03/01/2017	SHAFFER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.43	0.00	0.43	90.0	0.39
03/01/2017	SHAFFER, SCOTT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	35.23	0.00	35.23	90.0	31.71
03/01/2017	RICE, PAMELA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.70	0.00	3.70	90.0	3.33
03/01/2017	RICE JR, RUSSELL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.53	0.00	4.53	90.0	4.08
03/01/2017	WODA, HELENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.08	0.00	2.08	90.0	1.87
03/01/2017	SWEARINGEN, ERIC	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	45.73	0.00	45.73	90.0	41.16
03/01/2017	SCHMIDBAUER, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC R	0.00	72.11	0.00	72.11	90.0	64.90
03/01/2017	MEYER, JILL	AM FUNDS CAPITAL WORLD GRTH & INC R	0.00	14.06	0.00	14.06	90.0	12.65
03/01/2017	SCHMIDBAUER, ROBIN	AM FUNDS CAPITAL WORLD GRTH & INC R	0.00	48.19	0.00	48.19	90.0	43.37
03/01/2017	WILSON, SHANNON	AM FUNDS CAPITAL WORLD GRTH & INC R	0.00	3.01	0.00	3.01	90.0	2.71
03/01/2017	WARD, KIMBERLEY	AM FUNDS CAPITAL WORLD GRTH & INC R	0.00	1.23	0.00	1.23	90.0	1.11
03/01/2017	RINGGER, MARK	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	11.97	0.00	11.97	90.0	10.77
03/01/2017	JEFFERS, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.42	0.00	8.42	90.0	7.58

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	FLECK, GERALD	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	11.76	0.00	11.76	90.0	10.58
03/01/2017	SHAFFER, JAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	17.74	0.00	17.74	90.0	15.97
03/01/2017	RICE JR, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2017	RICE, GREGG	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.41	0.00	3.41	90.0	3.07
03/01/2017	PENNINGTON, STEVE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	25.12	0.00	25.12	90.0	22.61
03/01/2017	RICE, PAMELA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.79	0.00	3.79	90.0	3.41
03/01/2017	GRIM, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.33	0.00	0.33	90.0	0.30
03/01/2017	RICE JR, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.67	0.00	0.67	90.0	0.60
03/01/2017	SEITZINGER, MARK	AM FUNDS ICA A	0.00	14.61	0.00	14.61	90.0	13.15
03/01/2017	MOORE, EILEEN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	54.56	0.00	54.56	90.0	49.10
03/01/2017	SEXTON TRUST, MARY LOU	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	13.72	0.00	13.72	90.0	12.35
03/01/2017	PITCHFORD ESTATE, DIANE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	21.98	0.00	21.98	90.0	19.78
03/01/2017	DAVIS, HOWARD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	11.71	0.00	11.71	90.0	10.54
03/01/2017	SWEARINGEN, ERIC	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.93	0.00	1.93	90.0	1.74
03/01/2017	LYKES, EMMANUEL	AM FUNDS NEW PERSPECTIVE A	0.00	1.42	0.00	1.42	90.0	1.28
03/01/2017	NEITZ, CHRISTINA	AM FUNDS NEW WORLD A	0.00	4.06	0.00	4.06	90.0	3.65
03/01/2017	GRIM, SUSAN	AM FUNDS NEW WORLD A	0.00	0.26	0.00	0.26	90.0	0.23
03/01/2017	SCHMIDBAUER, JOSEPH	AM FUNDS NEW WORLD R2	0.00	23.67	0.00	23.67	90.0	21.30
03/01/2017	MEYER, JILL	AM FUNDS NEW WORLD R2	0.00	9.97	0.00	9.97	90.0	8.97
03/01/2017	SCHMIDBAUER, ROBIN	AM FUNDS NEW WORLD R2	0.00	10.00	0.00	10.00	90.0	9.00
03/01/2017	PENNINGTON, SANDRA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	21.74	0.00	21.74	90.0	19.57
03/01/2017	MAXFIELD, RICHARD	AM FUNDS SHORT TERM BND FUND OF AM	0.00	10.29	0.00	10.29	90.0	9.26
03/01/2017	PENNINGTON, STEVE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	6.44	0.00	6.44	90.0	5.80
03/01/2017	WODA, HELENE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	5.13	0.00	5.13	90.0	4.62
03/01/2017	MOORE, EILEEN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	13.12	0.00	13.12	90.0	11.81
03/01/2017	JACOB, MARILYN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	5.70	0.00	5.70	90.0	5.13
03/01/2017	SEXTON TRUST, MARY LOU	AM FUNDS SHORT TERM BND FUND OF AM	0.00	5.70	0.00	5.70	90.0	5.13
03/01/2017	SHAFFER, JAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	16.29	0.00	16.29	90.0	14.66
03/01/2017	JEFFERS, DAVID	AM FUNDS SMALLCAP WORLD A	0.00	6.70	0.00	6.70	90.0	6.03
03/01/2017	FLECK, GERALD	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	8.77	0.00	8.77	90.0	7.89
03/01/2017	GRIM, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.30	0.00	0.30	90.0	0.27
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$1,545.12	\$0.00	\$1,545.12		\$1,390.63

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2017	RICHARDS, MILDRED	JNL PERSPECTIVE	38,193.32	7.96	0.00	7.96	90.0	7.16
03/01/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	9.12
02/28/2017	FLECK, GERALD	JNL PERSPECTIVE II 05/05	2,121.12	1.33	0.00	1.33	90.0	1.20
02/28/2017	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	42,197.74	35.16	0.00	35.16	90.0	31.64
02/28/2017	SMITH, FRANK	JOHN HANCOCK VENTURE III	100,724.24	83.94	0.00	83.94	90.0	75.55
02/28/2017	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	27,144.99	22.62	0.00	22.62	90.0	20.36
02/28/2017	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	38,955.10	32.46	0.00	32.46	90.0	29.21
02/28/2017	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	128,426.11	107.02	0.00	107.02	90.0	96.32
02/28/2017	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	139,063.53	115.89	0.00	115.89	90.0	104.30
02/28/2017	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	171,544.93	142.95	0.00	142.95	90.0	128.66
02/28/2017	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	23,977.22	19.98	0.00	19.98	90.0	17.98
02/28/2017	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	47,107.85	39.26	0.00	39.26	90.0	35.33
02/28/2017	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	16,828.34	14.02	0.00	14.02	90.0	12.62
02/28/2017	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	24,729.86	20.61	0.00	20.61	90.0	18.55
02/28/2017	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	168,895.59	140.75	0.00	140.75	90.0	126.68
02/28/2017	ELWING, LOUISE	JOHN HANCOCK VENTURE III	157,511.97	131.26	0.00	131.26	90.0	118.13
02/28/2017	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	155,031.03	129.19	0.00	129.19	90.0	116.27
02/28/2017	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	215,694.42	179.75	0.00	179.75	90.0	161.78
02/28/2017	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	42,810.61	35.68	0.00	35.68	90.0	32.11
02/28/2017	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	117,784.40	98.15	0.00	98.15	90.0	88.34
02/28/2017	RICE, PAMELA	JOHN HANCOCK VENTURE III	109,892.86	91.58	0.00	91.58	90.0	82.42
02/28/2017	RINGGER, LINDA	JOHN HANCOCK VENTURE III	119,609.23	99.67	0.00	99.67	90.0	89.70
02/28/2017	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	23,765.99	19.80	0.00	19.80	90.0	17.82
02/28/2017	WODA, HELENE	JOHN HANCOCK VENTURE III	29,043.06	24.20	0.00	24.20	90.0	21.78
* VARIABLE ANNUITIES SUBTOTAL *			\$1,941,203.51	\$1,603.36	\$0.00	\$1,603.36		\$1,443.03
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/31/2017	SHAFFER, CLARK	ICON BOND FUND C	0.00	13.43	0.00	13.43	90.0	12.09
01/31/2017	RICE, CHERYL	ICON BOND FUND C	0.00	2.05	0.00	2.05	90.0	1.85
01/31/2017	GECIK, STANLEY	ICON BOND FUND C	0.00	4.18	0.00	4.18	90.0	3.76
01/31/2017	RECKNER, GARTH	ICON BOND FUND C	0.00	1.06	0.00	1.06	90.0	0.95
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$20.72	\$0.00	\$20.72		\$18.65
*** BROKER TRANSACTIONS TOTAL ***			\$1,941,203.51	\$3,169.20	\$0.00	\$3,169.20		\$2,852.31

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<u>ADJUSTMENTS</u>								
03/15/2017		*ADVANCE: TRANSAMERICA ADVANCE	0.00					2,376.59
03/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$1,801.59

BROKER COMMISSION TOTALS

Broker Transactions	\$2,852.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$1,801.59
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,653.90
Year-to-date Gross	\$16,385.39
Year-to-date Earnings	\$14,805.79

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