

COMMISSION STATEMENT
April 13, 2018

MARLI SMYTHE
3200 N. CENTRAL AVE STE 200
PHOENIX, AZ 85012

BROKER #: 73690
BRANCH: 04-#70A11 HOME OFFIC

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: CUE Financial Group, Inc

ADJUSTMENTS

| | | | | | | | |
|---------------------------------|--|--|--------|--------|--------|--------|--------|
| PRIOR PERIOD BALANCE(SEE BELOW) | | | | | | | 0.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Prior Period Balance | \$-5.00 |
| NASD Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|---------|
| Balance Forward | \$-5.00 |
| Year-to-date Earnings | \$0.00 |

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|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: Securities AmericaBROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

| | | | | | | | |
|---------------------|-----------------------|-----------------------|---------------|----------------|---------------|----------------|----------------|
| 04/12/2018 | SMYTHE (73690), MARLI | SAI PERSHING CHARGES | 0.00 | -25.00 | 0.00 | -25.00 | -7.50 |
| 04/12/2018 | SMYTHE (73690), MARLI | SAI SECURITIES INCOME | 0.00 | 79.00 | 0.00 | 79.00 | 23.70 |
| * STOCKS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$54.00</u> | <u>\$0.00</u> | <u>\$54.00</u> | <u>\$16.20</u> |

PRODUCT CATEGORY: TRAILERS

| | | | | | | | |
|-----------------------------------|-----------------------|------------|---------------|-----------------|---------------|-----------------|----------------|
| 04/12/2018 | SMYTHE (73690), MARLI | SAI.TRAILS | 0.00 | 246.30 | 0.00 | 246.30 | 73.89 |
| * TRAILERS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$246.30</u> | <u>\$0.00</u> | <u>\$246.30</u> | <u>\$73.89</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | <u>\$0.00</u> | <u>\$300.30</u> | <u>\$0.00</u> | <u>\$300.30</u> | <u>\$90.09</u> |

SPLIT TRANSACTIONSBROKER: MELBY/SMYTHE #73916

| | | | | | | | |
|----------------------------------|----------------------|------------|---------------|----------------|---------------|----------------|---------------|
| 04/12/2018 | MELBY/SMYTHE (73916) | SAI.TRAILS | 0.00 | 61.55 | 0.00 | 24.62 | 7.39 |
| * MELBY/SMYTHE SUBTOTAL * | | | <u>\$0.00</u> | <u>\$61.55</u> | <u>\$0.00</u> | <u>\$24.62</u> | <u>\$7.39</u> |
| *** SPLIT TRANSACTIONS TOTAL *** | | | <u>\$0.00</u> | <u>\$61.55</u> | <u>\$0.00</u> | <u>\$24.62</u> | <u>\$7.39</u> |

ADJUSTMENTS

| | | | | | | | |
|---------------------------------|--|--|---------------|---------------|---------------|---------------|-----------------|
| 6. MONTHLY SVC & TECHNOLOGY FEE | | | | | | | -50.00 |
| 22. SAI SIPC PE 4/6/18 | | | | | | | -0.02 |
| *** ADJUSTMENTS TOTAL *** | | | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$-50.02</u> |

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|------|--------|------------|----------------------|---------------------|--------------------|-------------------|---|
| | | | | | | | <u>BROKER COMMISSION TOTALS</u> |
| | | | | | | | Broker Transactions \$90.09 |
| | | | | | | | Split Transactions \$7.39 |
| | | | | | | | Override Transactions \$0.00 |
| | | | | | | | Adjustments \$-50.02 |
| | | | | | | | Prior Period Balance \$0.00 |
| | | | | | | | NASD Assessment \$0.00 |
| | | | | | | | SIPC Assessment \$0.00 |
| | | | | | | | <div><div>Check Amount\$47.46</div><div>Year-to-date Earnings\$1,013.77</div></div> |