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COMMISSION STATEMENT

August 01, 2018

JUDE RAMON L. BARCENAS
3201 WAREHAM CIRCLE

Richardson, TX 75082-

BROKER #: HOUSE Terminated 11/17/2013

BRANCH: HOME OFFICE

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

PRODUCT CATEGORY: MUTUAL FUNDS	TRADE DATE	CLIENT	INVESTMENT E	3/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
OF/30/2018 TRAILS			BROKER TRA	NSZ	ACTIONS					
07/10/2018 00060006329360 EVANGELISTA, PERMIKLIN TEMPLETON B 50.00 0.50 0.00 0.50 0.00 0.00 0.00 0	PRODUCT CA	TEGORY: MUTUAL FUNDS								
07/10/2018 000000006329360 EVANGELISTA, PERANKLIN TEMPLETON B 50.00 0.00 0.00 0.00 0.00 0.00 0.00 0	06/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	В	163.27	163.27	0.00	163.27	60.0	97.96
AURELIO/MONIQUE 07/10/2018 00000006330997 EVANGELISTA, FRANKLIN TEMPLETON B 50.00 0.00 0.00 0.00 0.00 0.00 0.00 0	07/10/2018	JOSE, ANTONIO C.	-INVESCO FAMILY OF FUNDS	В	50.00	2.50	0.00	2.50	60.0	1.50
07/10/2018 00000006330997 EVANGELISTA, -FRANKLIN TEMPLETON B 50.00 0.00 0.00 0.00 0.00 0.00 0.00 0	07/10/2018 0	000000006329360 EVANGELISTA,	-FRANKLIN TEMPLETON	В	50.00	0.50	0.00	0.50	60.0	0.30
AURELIO/BEATRIC 07/10/2018 00000006330997 EVANGELISTA, FRANKLIN TEMPLETON B 75.00 0.05 0.00 0.05 0.00 0.05 0.00 0.05 0.00 0.05 0.00 0.05 0.00 0.05 0.00 0.05 0.00 0.05 0.00 0.05 0.00 0.05 0.00	P	AURELIO/MONIQUE								
07/10/2018 00000006330997 EVANGELISTA, FEARKLIN TEMPLETON B 75.00 0.75 0.00 0.00 0.00 0.00 0.00 0.0	07/10/2018 0	00000006330997 EVANGELISTA,	-FRANKLIN TEMPLETON	В	50.00	0.50	0.00	0.50	60.0	0.30
AURELIO/BEATRIC 07/10/2018 00069987817 AUSTIR, FELIX AMERICAN FUNDS INVESIMENT COMP OF A B 50.00 10.00 0.00 10.00 10.00 60.0 6.00 6.0	P	AURELIO/BEATRIC								
07/10/2018 00059867817 AUSTRIA, FELIX AMERICAN FUNDS INVESTMENT COMP OF A B 200.00 10.00 0.00 10.00 60.0 60.00 07/10/2018 00060555032 JOSE, ANTONIO C. AMERICAN FUNDS NEW ECONOMY FD B 50.00 1.88 0.00 1.88 60.0 1.13 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS AMERICAN MUTUAL FD B 40.00 1.50 0.00 1.80 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS CAPITAL WORLD BD FD B 40.00 1.20 0.00 1.20 60.0 0.72 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS CAPITAL WORLD BD FD B 40.00 1.20 0.00 1.20 60.0 0.72 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS HIGH INCOME TR FD B 40.00 1.50 0.00 1.50 60.0 0.72 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS HIGH INCOME TR FD B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS NEW ECONOMY FD B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS NEW ECONOMY FD B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS GROWTH FUND OF AMERI B 200.00 7.50 0.00 7.50 60.0 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS GROWTH FUND OF AMERI B 200.00 7.50 0.00 7.50 60.0 1.50 60.0 0.90 07/10/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS GROWTH FUND OF AMERI B 50.00 1.88 0.00 1.88 60.0 1.88 60.0 1.13 0.00 0.00 0.00 0.00 0.00 0.00 0	07/10/2018 0	000000006330997 EVANGELISTA,	-FRANKLIN TEMPLETON	В	75.00	0.75	0.00	0.75	60.0	0.45
07/10/2018 00060555032 JOSE, ANTONIO C. AMERICAN FUNDS NEW ECONOMY FD B 50.00 1.88 0.00 1.88 60.0 1.13 07/10/2018 00060555033 JOSE, ANTONIO P. AMERICAN FUNDS AMERICAN MUTUAL FD B 50.00 1.88 0.00 1.88 60.0 1.13 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS AMERICAN MUTUAL FD B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS CAPITAL WORLD BD FD B 40.00 1.20 0.00 1.20 60.0 0.72 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS HIGH INCOME TR FD B 40.00 1.20 0.00 1.20 60.0 0.72 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS HIGH INCOME TR FD B 40.00 1.50 0.00 1.50 60.0 0.72 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS HIGH INCOME TR FD B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS INCOME FD OF AMERI B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS GROWTH FUND OF AMERI B 200.00 7.50 0.00 1.50 60.0 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS GROWTH FUND OF AMERI B 200.00 7.50 0.00 7.50 60.0 1.88 60.0 1.88 07/10/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS GROWTH FUND OF AMERI B 50.00 1.88 0.00 1.88 60.0 1.88 60.0 1.88 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS GROWTH FUND OF AMERI B 50.00 1.88 0.00 1.88 60.0 1.80 60.0 0.90 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.88 0.00 1.88 60.0 1.88 60.0 0.90 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.88 0.00 1.88 60.0 1.80 60.0 0.90 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C B 100.00 1.00 0.00 1.00 60.0 1.00 60.0 0.00 60.0 60.	P	AURELIO/BEATRIC								
07/10/2018 00060555033 JOSE, ANICIA F. AMERICAN FUNDS AMERICAN MUTUAL FD B 50.00 1.88 0.00 1.88 60.0 1.31 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS AMERICAN MUTUAL FD B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS CAPITAL WORLD BD FD B 40.00 1.20 0.00 1.20 60.0 0.72 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS HIGH INCOME TR FD B 40.00 1.20 0.00 1.20 60.0 0.72 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS INCOME FD F AMERI B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS NEW ECONOMY FD B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS NEW ECONOMY FD B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS GROWTH FUND OF AMERI B 20.000 7.50 0.00 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS GROWTH FUND OF AMERI B 20.000 7.50 0.00 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS GROWTH FUND OF AMERI B 20.000 7.50 0.00 1.50 60.0 0.90 07/10/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS GROWTH FUND OF AMERI B 50.00 1.88 0.00 1.88 60.0 1.88 60.0 1.31 0.00 0.00 0.00 0.00 0.00 0.00 0	07/10/2018 0	00059867817 AUSTRIA, FELIX	AMERICAN FUNDS INVESTMENT COMP OF A	В	200.00	10.00	0.00	10.00	60.0	6.00
07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS AMERICAN MUTUAL FD B 40.00 1.50 0.00 1.50 60.0 0.72 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS HIGH INCOME TR FD B 40.00 1.20 0.00 1.20 60.0 0.72 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS HIGH INCOME TR FD B 40.00 1.20 0.00 1.20 60.0 0.72 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS HIGH INCOME TR FD B 40.00 1.50 0.00 1.50 60.0 0.79 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS HIGH INCOME TR FD B 40.00 1.50 0.00 1.50 60.0 0.99 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS NEW ECONOMY FD B 40.00 1.50 0.00 1.50 60.0 0.99 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS GROWTH FUND OF AMERI B 200.00 7.50 0.00 1.50 60.0 0.99 07/10/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS CAPITAL WORLD GROWTH FUND OF AMERI B 50.00 1.88 0.00 1.88 60.0 1.88 60.0 1.13 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS GROWTH FUND OF AMERI B 50.00 1.88 0.00 1.88 60.0 1.88 60.0 1.13 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.88 50.00 1.88 60.0 1.88 60.0 1.13 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.88 0.00 1.88 60.0 1.88 60.0 1.88 60.0 1.30 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TRUST-C B 50.00 1.88 60.0 1.88 60.0 1.88 60.0 1.88 60.0 1.30 M. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C B 100.00 1.00 0.00 1.00 60.0 60.0 60.0 60	07/10/2018 0	00060555032 JOSE, ANTONIO C.	AMERICAN FUNDS NEW ECONOMY FD	В	50.00	1.88	0.00	1.88	60.0	1.13
07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS CAPITAL WORLD BD FD B 40.00 1.20 0.00 1.20 60.0 0.72 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS HIGH INCOME TR FD B 40.00 1.50 0.00 1.20 60.0 0.72 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS INCOME FD OF AMERI B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS NEW ECONOMY FD B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS GROWTH FUND OF AMERI B 200.00 7.50 0.00 7.50 60.0 0.90 0.90 07/10/2018 00061616986 JAVELOSA, SANDRA AMERICAN FUNDS CAPITAL WORLD GROWT B 200.00 7.50 0.00 7.50 60.0 0.90 0.90 0.90 0.90 0.90 0.90 0.9	07/10/2018 0	00060555033 JOSE, ANICIA P.	AMERICAN FUNDS AMERICAN MUTUAL FD	В	50.00	1.88	0.00	1.88	60.0	1.13
07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS HIGH INCOME TR FD B 40.00 1.20 0.00 1.20 60.0 0.72 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS INCOME FD OF AMERI B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS NEW ECONOMY FD B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 00061689866 JAVELOSA, SANDRA AMERICAN FUNDS GROWTH FUND OF AMERI B 200.00 7.50 0.00 7.50 60.0 7.50 60.0 0.90 07/10/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS GROWTH FUND OF AMERI B 50.00 1.88 0.00 1.88 60.0 1.13 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS GROWTH FUND OF AMERI B 50.00 1.88 0.00 1.88 60.0 1.13 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.88 0.00 1.50 60.0 0.90 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.50 0.00 1.50 60.0 0.90 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.50 0.00 1.50 60.0 0.90 M. 07/16/2018 00062049074 CALUNGSUD, KELLY NEW WORLD FUND-A B 50.00 1.88 0.00 1.88 60.0 1.30 60.0 0.90 M. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C B 100.00 1.00 0.00 1.00 60.0 0.00 60.0 0.66 M. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C B 110.00 1.00 0.00 1.00 60.0 0.00 60.0 0.66 M.	07/10/2018 0	00061616683 GERIO, EMMA B.	AMERICAN FUNDS AMERICAN MUTUAL FD	В	40.00	1.50	0.00	1.50	60.0	0.90
07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS INCOME FD OF AMERI B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 0006161683 GERIO, EMMA B. AMERICAN FUNDS NEW ECONOMY FD B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 00061689866 JAVELOSA, SANDRA AMERICAN FUNDS GROWTH FUND OF AMERI B 200.00 7.50 0.00 7.50 60.0 4.50 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS CAPITAL WORLD GROWT B 50.00 1.88 0.00 1.88 60.0 1.88 60.0 1.13 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS GROWTH FUND OF AMERI B 50.00 1.88 0.00 1.88 60.0 1.88 60.0 1.13 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.50 0.00 1.50 60.0 0.90 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.88 0.00 1.88 60.0 1.88 60.0 1.13 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.88 0.00 1.88 60.0 1.88 60.0 1.18 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN HIGH-INCOME TRUST-C B 100.00 1.00 0.00 1.00 60.0 60.0 0.60 L. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C B 100.00 1.00 0.00 1.00 60.0 60.0 0.66 L. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 L. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 MERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 MERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 MERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 MERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 MERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 MERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 MERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.00 60.00	07/10/2018 0	00061616683 GERIO, EMMA B.	AMERICAN FUNDS CAPITAL WORLD BD FD	В	40.00	1.20	0.00	1.20	60.0	0.72
07/10/2018 00061616683 GERIO, EMMA B. AMERICAN FUNDS NEW ECONOMY FD B 40.00 1.50 0.00 1.50 60.0 0.90 07/10/2018 00061689866 JAVELOSA, SANDRA AMERICAN FUNDS GROWTH FUND OF AMERI B 200.00 7.50 0.00 7.50 60.0 4.50 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS CAPITAL WORLD GROWT B 50.00 1.88 0.00 1.88 60.0 1.13 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS GROWTH FUND OF AMERI B 50.00 1.88 0.00 1.88 60.0 1.88 60.0 1.30 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS GROWTH FUND OF AMERI B 50.00 1.88 0.00 1.88 60.0 1.30 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.88 0.00 1.88 60.0 1.50 M. 07/16/2018 00062049074 CALUNGSUD, KELLY NEW WORLD FUND-A B 50.00 1.88 0.00 1.88 60.0 1.13 M. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C B 100.00 1.00 0.00 1.00 60.0 0.66 M. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 M.	07/10/2018 0	00061616683 GERIO, EMMA B.	AMERICAN FUNDS HIGH INCOME TR FD	В	40.00	1.20	0.00	1.20	60.0	0.72
07/10/2018 00061689866 JAVELOSA, SANDRA AMERICAN FUNDS GROWTH FUND OF AMERI B 200.00 7.50 0.00 7.50 60.0 4.50 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS CAPITAL WORLD GROWT B 50.00 1.88 0.00 1.88 60.0 1.13 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS GROWTH FUND OF AMERI B 50.00 1.88 0.00 1.88 60.0 1.13 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.50 0.00 1.50 60.0 0.90 M. 07/16/2018 00062049074 CALUNGSUD, KELLY NEW WORLD FUND-A B 50.00 1.88 0.00 1.88 60.0 1.31 M. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C B 100.00 1.00 0.00 1.00 60.0 0.60 L. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C B 110.00 1.00 0.00 1.10 60.0 0.66 L.	07/10/2018 0	00061616683 GERIO, EMMA B.	AMERICAN FUNDS INCOME FD OF AMERI	В	40.00	1.50	0.00	1.50	60.0	0.90
M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS CAPITAL WORLD GROWT B 50.00 1.88 0.00	07/10/2018 0	00061616683 GERIO, EMMA B.	AMERICAN FUNDS NEW ECONOMY FD	В	40.00	1.50	0.00	1.50	60.0	0.90
07/16/2018 00062049074 CALUNGSUD, KELLY MERICAN FUNDS GROWTH FUND OF AMERIB 50.00 1.88 0.00 1.88 60.0 1.13 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS GROWTH FUND OF AMERIB 50.00 1.88 50.00 1.88 60.0 1.13 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.50 0.00 1.50 60.0 0.90 M. 07/16/2018 00062049074 CALUNGSUD, KELLY NEW WORLD FUND-A B 50.00 1.88 50.00 1.88 0.00 1.88 60.0 1.13 M. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C B 100.00 1.00 0.00 1.00 60.0 0.60 L. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 L.	07/10/2018 0	00061689866 JAVELOSA, SANDRA	AMERICAN FUNDS GROWTH FUND OF AMERI	В	200.00	7.50	0.00	7.50	60.0	4.50
M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS GROWTH FUND OF AMERI B 50.00 1.88 0.00 1.88 60.0 1.13 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.50 0.00 1.50 60.0 0.90 M. 07/16/2018 00062049074 CALUNGSUD, KELLY NEW WORLD FUND-A B 50.00 1.88 0.00 1.88 60.0 1.13 M. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C B 100.00 1.00 0.00 1.00 60.0 0.60 1.00 1.0	M	1.								
07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS GROWTH FUND OF AMERI B 50.00 1.88 0.00 1.88 60.0 1.13 M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.50 0.00 1.50 60.0 0.90 M. 07/16/2018 00062049074 CALUNGSUD, KELLY NEW WORLD FUND-A B 50.00 1.88 0.00 1.88 60.0 1.13 M. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C B 100.00 1.00 0.00 1.00 60.0 0.60 0.60 1.00 0.00 1.00 0.00 0	07/16/2018 0	00062049074 CALUNGSUD, KELLY	AMERICAN FUNDS CAPITAL WORLD GROWT	В	50.00	1.88	0.00	1.88	60.0	1.13
M. 07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.50 0.00 1.50 60.0 0.90 M. 07/16/2018 00062049074 CALUNGSUD, KELLY NEW WORLD FUND-A B 50.00 1.88 0.00 1.88 60.0 1.13 M. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C B 100.00 1.00 0.00 1.00 60.0 0.60 L. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 L.	M	1.								
07/16/2018 00062049074 CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD B 50.00 1.50 0.00 1.50 60.0 0.90 M. 07/16/2018 00062049074 CALUNGSUD, KELLY NEW WORLD FUND-A B 50.00 1.88 0.00 1.88 60.0 1.13 M. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C B 100.00 1.00 0.00 1.00 60.0 0.60 L. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 L.	07/16/2018 0	00062049074 CALUNGSUD, KELLY	AMERICAN FUNDS GROWTH FUND OF AMERI	В	50.00	1.88	0.00	1.88	60.0	1.13
M. 07/16/2018 00062049074 CALUNGSUD, KELLY NEW WORLD FUND-A B 50.00 1.88 0.00 1.88 60.0 1.13 M. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C B 100.00 1.00 0.00 1.00 60.0 0.60 L. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 L.										
07/16/2018 00062049074 CALUNGSUD, KELLY NEW WORLD FUND-A B 50.00 1.88 0.00 1.88 60.0 1.13 M. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C B 100.00 1.00 0.00 1.00 60.0 0.60 L. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 L.		,	AMERICAN FUNDS HIGH INCOME TR FD	В	50.00	1.50	0.00	1.50	60.0	0.90
M. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C B 100.00 1.00 0.00 1.00 60.0 0.60 L. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 L.										
07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C B 100.00 1.00 0.00 1.00 60.0 0.60 L. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 L.		,	NEW WORLD FUND-A	В	50.00	1.88	0.00	1.88	60.0	1.13
L. 07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 L.										
07/16/2018 00066945809 BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C B 110.00 1.10 0.00 1.10 60.0 0.66 L.			AMERICAN HIGH-INCOME TRUST-C	В	100.00	1.00	0.00	1.00	60.0	0.60
L.			AMEDICAN MICHAEL FIDE		110 00	1 10	0.00	1 10	60.0	0.55
			AMERICAN MUTUAL FUND-C	В	110.00	1.10	0.00	1.10	60.0	0.66
07/10/2010 00000945009 BARCENAS, ROPOLEO CAPITAL WORLD BOND FUND-C B 80.00 0.80 0.00 0.80 60.0 0.48			CADITAL MODID DOND TIME C	D	00.00	0.00	0.00	0.00	60 0	0.40
	01/10/2018 (JUUUU9438U9 BARCENAS, RODOLFO	CAPITAL WORLD BOND FUND-C	В	80.00	0.80	0.00	0.80	00.0	0.48

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER T	RANS	ACTIONS					
PRODUCT CAT	TEGORY: MUTUAL FUNDS								
L.									
07/16/2018 00	0066945809 BARCENAS, RODOLFO	THE INCOME FUND OF AMERICA-C	В	100.00	1.00	0.00	1.00	60.0	0.60
L.	•								
07/16/2018 00	0066945809 BARCENAS, RODOLFO	THE NEW ECONOMY FUND-C	В	110.00	1.10	0.00	1.10	60.0	0.66
L.									
	0073580088 FILOTEO-GONZALES,	AMERICAN FUNDS - GENERAL	В	30.00	0.83	0.00	0.83	60.0	0.50
	UDELIA/ISABEL								
	0073580088 FILOTEO-GONZALES,	AMERICAN MUTUAL FUND-529A	В	30.00	0.83	0.00	0.83	60.0	0.50
	UDELIA/ISABEL		_						0.50
		CAPITAL WORLD BOND FUND-529A	В	30.00	0.83	0.00	0.83	60.0	0.50
	UDELIA/ISABEL	THE INCOME TIME OF AMERICA FACA	ъ.	20.00	0.02	0.00	0.02	60.0	0.50
		THE INCOME FUND OF AMERICA-529A	В	30.00	0.83	0.00	0.83	60.0	0.50
	UDELIA/ISABEL	THE NEW ECONOMY PUND FOOT	В	30.00	0.83	0.00	0.83	60.0	0.50
	0073580088 FILOTEO-GONZALES, UDELIA/ISABEL	THE NEW ECONOMI FUND-529A	ь	30.00	0.63	0.00	0.63	60.0	0.50
	0075871895 SEGUNDO,	CAPITAL WORLD GROWTH AND INCOME-5.	29 B	50.00	2.50	0.00	2.50	60.0	1.50
	ORENCE/DANIEL	CALITAL WORLD GROWIII AND INCOME S	ם כב	30.00	2.50	0.00	2.50	00.0	1.50
		. AMERICAN FUNDS AMERICAN MUTUAL FD	В	40.00	1.50	0.00	1.50	60.0	0.90
		. AMERICAN FUNDS CAPITAL WORLD BD		40.00	1.20	0.00	1.20		0.72
		. AMERICAN FUNDS HIGH INCOME TR FD	В	40.00	1.20	0.00		60.0	0.72
		. AMERICAN FUNDS INCOME FD OF AME	RIB	40.00	1.50	0.00		60.0	0.90
		. AMERICAN FUNDS NEW ECONOMY FD	В	40.00	1.50	0.00	1.50		0.90
07/20/2018 00	0075984620 GARCIA, MARIVIC D.	. AMERICAN FUNDS AMERICAN MUTUAL FD	В	40.00	1.50	0.00	1.50	60.0	0.90
07/20/2018 00	0075984620 GARCIA, MARIVIC D.	. AMERICAN FUNDS CAPITAL WORLD BD	FD B	40.00	1.20	0.00	1.20	60.0	0.72
07/20/2018 00	0075984620 GARCIA, MARIVIC D.	. AMERICAN FUNDS HIGH INCOME TR FD	В	40.00	1.20	0.00	1.20	60.0	0.72
07/20/2018 00	0075984620 GARCIA, MARIVIC D.	. AMERICAN FUNDS INCOME FD OF AME	RIB	40.00	1.50	0.00	1.50	60.0	0.90
07/20/2018 00	0075984620 GARCIA, MARIVIC D.	. AMERICAN FUNDS NEW ECONOMY FD	В	40.00	1.50	0.00	1.50	60.0	0.90
07/16/2018 00	0081127981 GARCIA,	AMERICAN FUNDS - GENERAL	В	30.00	0.90	0.00	0.90	60.0	0.54
RC	DDOLFO/RODIVIC								
07/16/2018 00	0081127981 GARCIA,	AMERICAN MUTUAL FUND-529A	В	30.00	1.13	0.00	1.13	60.0	0.68
RC	DDOLFO/RODIVIC								
07/16/2018 00	0081127981 GARCIA,	CAPITAL WORLD BOND FUND-529A	В	30.00	0.90	0.00	0.90	60.0	0.54
RC	DDOLFO/RODIVIC								
07/16/2018 00	0081127981 GARCIA,	THE INCOME FUND OF AMERICA-529A	В	30.00	1.13	0.00	1.13	60.0	0.68
RC	DDOLFO/RODIVIC								
07/16/2018 00	0081127981 GARCIA,	THE NEW ECONOMY FUND-529A	В	30.00	1.13	0.00	1.13	60.0	0.68
RC	DDOLFO/RODIVIC								
07/16/2018 00	0082732703 CABRERA, IVY	AMERICAN FUNDS AMERICAN MUTUAL FD	В	100.00	4.25	0.00	4.25	60.0	2.55
	0083580212 MASINAG,	AMERICAN FUNDS - GENERAL	В	100.00	3.00	0.00	3.00	60.0	1.80
NE	ELSON/KEVIN								

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER T	'RANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS								
07/16/2018 00083580212 MASINAG, NELSON/KEVIN	AMERICAN MUTUAL FUND-529A	В	100.00	3.75	0.00	3.75	60.0	2.25
07/16/2018 00083580212 MASINAG, NELSON/KEVIN	CAPITAL WORLD BOND FUND-529A	В	100.00	3.00	0.00	3.00	60.0	1.80
07/16/2018 00083580212 MASINAG, NELSON/KEVIN	THE INCOME FUND OF AMERICA-529A	В	100.00	3.75	0.00	3.75	60.0	2.25
07/16/2018 00083580212 MASINAG, NELSON/KEVIN	THE NEW ECONOMY FUND-529A	В	100.00	3.75	0.00	3.75	60.0	2.25
07/10/2018 00087696729 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A	В	100.00	5.00	0.00	5.00	60.0	3.00
07/10/2018 00087696759 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A	В	100.00	5.00	0.00	5.00	60.0	3.00
07/02/2018 2203006069 TACUYAN, JERRY	OPPENHEIMER US GOVT TRUST FD CL	A B	50.00	1.00	0.00	1.00	60.0	0.60
07/02/2018 2304545753 TACUYAN, JERRY	OPPENHEIMER STRATEGIC INCOME FD	CL B	50.00	1.88	0.00	1.88	60.0	1.13
	* MUTUAL FUNDS SUBTOTA	L *	\$3,438.27	\$267.84	\$0.00	\$267.84		\$160.73
PRODUCT CATEGORY: VARIABLE ANNUITIE	<u>s</u>							
07/16/2018 ALONZO, KELLY/KACEY	-PACIFIC LIFE INSURANCE	В	34.75	0.70	0.00	0.70	60.0	0.42
07/16/2018 ALONZO, KELLY/KYLER C.	-PACIFIC LIFE INSURANCE	В	17.83	0.36	0.00	0.36	60.0	0.22
07/16/2018 ALONZO, KELLY/KYLER C.	-PACIFIC LIFE INSURANCE	В	72.10	1.44	0.00	1.44	60.0	0.86
07/16/2018 DEVEZA, NENITA	-PACIFIC LIFE INSURANCE	В	283.17	8.50	0.00	8.50	60.0	5.10
07/10/2018 TRAILS	LINCOLN BENEFIT LIFE	В	8.84	8.84	0.00	8.84	60.0	5.30
	* VARIABLE ANNUITIES SUBTOTA	L *	\$416.69	\$19.84	\$0.00	\$19.84		\$11.90
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
08/01/2018 TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	5.56	0.00	5.56	60.0	3.34
	* MUTUAL FUND 12B1 TRAILS SUBTOTA	\L *	\$0.00	\$5.56	\$0.00	\$5.56		\$3.34
	*** BROKER TRANSACTIONS TOTAL	***	\$3,854.96	\$293.24	\$0.00	\$293.24		\$175.97

BROKER COMMISSION TOTALS \$175.97 Broker Transactions \$0.00 Split Transactions \$0.00 Override Transactions \$0.00 Adjustments Payroll Draw \$0.00 \$0.00 Base Salary \$0.00 Prior Period Balance Check Amount \$175.97 Year-to-date Earnings \$9,323.03