



# COMMISSION STATEMENT

August 20, 2018

JOHN KELLY  
2400 E. Arizona Biltmore Cir.  
Suite 1100  
Phoenix, AZ 85016

BROKER #: 279  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/16/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @29.5900						
08/16/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @29.5900						
08/16/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	39.98	0.40	0.00	0.40	90.0	0.36
	ACCOUNT #: 00089949168	BUY 3 Units @14.9500						
08/16/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	39.00	0.39	0.00	0.39	90.0	0.35
	ACCOUNT #: 00089949168	BUY 3 Units @14.9500						
08/16/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @14.9500						
08/16/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @14.9500						
08/16/2018	***-**-6584 - BACON, ANDREA	AMERICAN 2040 TARGET DATE RETIREMNT	66.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: 00089949172	BUY 4 Units @15.3300						
08/16/2018	***-**-6584 - BACON, ANDREA	AMERICAN 2040 TARGET DATE RETIREMNT	66.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: 00089949172	BUY 4 Units @15.3300						
08/16/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @14.4300						
08/16/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @14.4300						
08/16/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @41.5000						
08/16/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @41.5000						
08/16/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @50.6400						
08/16/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @50.6400						
08/16/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.7600						
08/16/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.7600						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/16/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @60.7400						
08/16/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @60.7400						
08/16/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @51.3500						
08/16/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @51.3500						
08/16/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.5800						
08/16/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.5800						
08/16/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @50.4600						
08/16/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @50.4600						
08/16/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @42.8700						
08/16/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @42.8700						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$330.98</b>	<b>\$3.31</b>	<b>\$0.00</b>	<b>\$3.31</b>		<b>\$3.01</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
08/12/2018	***-**-8258 - IFG, KELLY,J	PACIFIC LIFE CHOICE DTCC	495.00	9.90	0.00	9.90	90.0	8.91
	ACCOUNT #: VP60358060	BUY 0 Units @0.0000						
08/15/2018	***-**-7979 - THOMPSON,	PACIFIC LIFE DTCC RENEWAL	500.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: VP60440630	BUY 0 Units @0.0000						
08/17/2018	***-**-0795 - SWARTZ, SHARI	PACIFIC LIFE DTCC RENEWAL	100.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: VP60737990	BUY 0 Units @0.0000						
08/17/2018	***-**-0304 - LOUGHRIDGE,	PACIFIC LIFE DTCC RENEWAL	1,666.00	24.99	0.00	24.99	90.0	22.49
	ACCOUNT #: VP60638610	BUY 0 Units @0.0000						
08/17/2018	***-**-6551 - SWARTZ, MICHAEL	PACIFIC LIFE DTCC RENEWAL	100.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: VP60738000	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)</b>								
08/17/2018	***-**-921I - OSBORNE, DARIN	PACIFIC LIFE DTCC RENEWAL	1,546.50	30.93	0.00	30.93	90.0	27.84
	ACCOUNT #: VP60570240	BUY 0 Units @0.0000						
08/13/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE EXEC II DTCC	230.35	2.30	0.00	2.30	90.0	2.07
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
08/13/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE EXEC II DTCC	230.35	2.28	0.00	2.28	90.0	2.05
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
08/13/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE EXEC II DTCC	186.33	1.86	0.00	1.86	90.0	1.67
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
08/13/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE EXEC II DTCC	186.33	1.84	0.00	1.84	90.0	1.66
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$5,240.86</b>	<b>\$86.10</b>	<b>\$0.00</b>	<b>\$86.10</b>		<b>\$77.49</b>
<b>PRODUCT CATEGORY: 529 PLAN</b>								
08/15/2018	***-**-015P - GARCHA,	EUROPACIFIC GROWTH FUND-529A	50.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: 00087584124	BUY 1 Units @53.8100						
08/15/2018	***-**-015P - GARCHA,	EUROPACIFIC GROWTH FUND-529A	50.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: 00087584201	BUY 1 Units @53.8100						
08/15/2018	***-**-015P - GARCHA,	THE BOND FUND OF AMERICA-529A	50.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: 00087584124	BUY 4 Units @13.0800						
08/15/2018	***-**-015P - GARCHA,	THE BOND FUND OF AMERICA-529A	50.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: 00087584201	BUY 4 Units @13.0800						
08/15/2018	***-**-015P - GARCHA,	THE GROWTH FUND OF AMERICA-529A	50.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: 00087584124	BUY 1 Units @56.4500						
08/15/2018	***-**-015P - GARCHA,	THE GROWTH FUND OF AMERICA-529A	50.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: 00087584201	BUY 1 Units @56.4500						
08/15/2018	***-**-015P - GARCHA,	THE INVESTMENT COMPANY OF AMERICA-5	50.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: 00087584124	BUY 1 Units @42.8100						
08/15/2018	***-**-015P - GARCHA,	THE INVESTMENT COMPANY OF AMERICA-5	50.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: 00087584201	BUY 1 Units @42.8100						
<b>* 529 PLAN SUBTOTAL *</b>			<b>\$400.00</b>	<b>\$14.28</b>	<b>\$0.00</b>	<b>\$14.28</b>		<b>\$12.84</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
/ /	***-**-85TR - KELLY TRUST, ACCOUNT #: LSR005290	AIM DEVELOPING MARKETS FUND CLASS A BUY 1 Units @0.0000	0.00	7.45	0.00	7.45	90.0	6.71
/ /	***-**-85TR - KELLY TRUST, ACCOUNT #: LSR005290	AIM GLOBAL AGGRESSIVE GROWTH FUND C BUY 1 Units @0.0000	0.00	7.57	0.00	7.57	90.0	6.81
/ /	***-**-65IO - MAHAMA, NURUDEEN ACCOUNT #: LSR010681	AMCAP FUND-A BUY 1 Units @0.0000	0.00	0.32	0.00	0.32	90.0	0.29
/ /	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107409	AMCAP FUND-A BUY 1 Units @0.0000	0.00	1.44	0.00	1.44	90.0	1.30
/ /	***-**-85TR - KELLY TRUST, ACCOUNT #: LSR005290	COLUMBIA SELIGMAN COM AND INFO CL A BUY 1 Units @0.0000	0.00	10.21	0.00	10.21	90.0	9.19
08/20/2018	***-**-970I - KLEVER, JAMES	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	3.97	0.00	3.97	90.0	3.57
08/20/2018	***-**-970I - KLEVER, JAMES	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	4.74	0.00	4.74	90.0	4.27
/ /	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107425	DREYFUS RESEARCH GROWTH FD CL A BUY 1 Units @0.0000	0.00	2.08	0.00	2.08	90.0	1.87
/ /	***-**-7034 - DAHL, ROXANNE ACCOUNT #: LSR108782	DREYFUS RESEARCH GROWTH FD CL A BUY 1 Units @0.0000	0.00	4.19	0.00	4.19	90.0	3.77
/ /	***-**-65IO - MAHAMA, NURUDEEN ACCOUNT #: LSR010681	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	0.82	0.00	0.82	90.0	0.74
/ /	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107409	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	1.06	0.00	1.06	90.0	0.95
/ /	***-**-85TR - KELLY TRUST, ACCOUNT #: LSR005290	INVESCO VAN KAMPEN COMSTOCK CLASS A BUY 1 Units @0.0000	0.00	15.21	0.00	15.21	90.0	13.69
/ /	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107425	MFS HIGH-YIELD OPPORTUNITIES FUND C BUY 1 Units @0.0000	0.00	0.63	0.00	0.63	90.0	0.57
/ /	***-**-7034 - DAHL, ROXANNE ACCOUNT #: LSR108782	MFS HIGH-YIELD OPPORTUNITIES FUND C BUY 1 Units @0.0000	0.00	1.47	0.00	1.47	90.0	1.32
/ /	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107409	NEW WORLD FUND-A BUY 1 Units @0.0000	0.00	0.23	0.00	0.23	90.0	0.21
/ /	***-**-83IN - BARNETT, ROBERT ACCOUNT #: LSR010053	NEW WORLD FUND-F1 BUY 1 Units @0.0000	0.00	0.77	0.00	0.77	90.0	0.69



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
08/20/2018	***-**-98JK - VESTAR	QUALIFIED PLAN SUMMARY	0.00	161.31	0.00	161.31	90.0	145.18
	ACCOUNT #: 07019024122	BUY 0 Units @0.0000						
/ /	***-**-65IO - MAHAMA, NURUDEEN	SMALLCAP WORLD FUND-A	0.00	0.31	0.00	0.31	90.0	0.28
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
/ /	***-**-0726 - DAHL, KEVIN	SMALLCAP WORLD FUND-A	0.00	0.45	0.00	0.45	90.0	0.41
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
/ /	***-**-65IO - MAHAMA, NURUDEEN	THE BOND FUND OF AMERICA-A	0.00	0.69	0.00	0.69	90.0	0.62
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
/ /	***-**-0726 - DAHL, KEVIN	THE BOND FUND OF AMERICA-A	0.00	0.98	0.00	0.98	90.0	0.88
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
/ /	***-**-65IO - MAHAMA, NURUDEEN	THE GROWTH FUND OF AMERICA-A	0.00	0.99	0.00	0.99	90.0	0.89
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
/ /	***-**-0726 - DAHL, KEVIN	THE GROWTH FUND OF AMERICA-A	0.00	2.16	0.00	2.16	90.0	1.94
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
/ /	***-**-65IO - MAHAMA, NURUDEEN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.61	0.00	0.61	90.0	0.55
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
/ /	***-**-0726 - DAHL, KEVIN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.97	0.00	1.97	90.0	1.77
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$231.63</b>	<b>\$0.00</b>	<b>\$231.63</b>		<b>\$208.47</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$5,971.84</b>	<b>\$335.32</b>	<b>\$0.00</b>	<b>\$335.32</b>		<b>\$301.81</b>



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				GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
								</