



# COMMISSION STATEMENT

April 23, 2018

DEVIN BORN  
128 Matthew Dr  
Sinking Springs, PA 19608

BROKER #: A95  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/10/2018	***-**-4593 - TOWER, GERTRUDE	FIDELITY ADV MF 040813	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 01471499648	BUY 2 Units @21.2700						
04/10/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD A	100.00	3.50	0.00	3.50	75.0	2.63
	ACCOUNT #: 00777296924	BUY 28 Units @3.5900						
04/10/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD A	100.00	3.50	0.00	3.50	75.0	2.63
	ACCOUNT #: 00777296925	BUY 28 Units @3.5900						
04/10/2018	***-**-03IN - COLLINS, CHRISTY	JOHN HANCOCK BLUE CHIP GROWTH CL A	100.00	3.75	0.00	3.75	75.0	2.81
	ACCOUNT #: 00003377198	BUY 3 Units @39.8000						
04/09/2018	***-**-0738 - WESSNER, TODD	JOHN HANCOCK DISCIPLINED VALUE A	30.84	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 00002492630	BUY 1 Units @22.8400						
04/09/2018	***-**-0738 - WESSNER, TODD	JOHN HANCOCK DISCIPLINED VALUE A	102.81	2.93	0.00	2.93	75.0	2.20
	ACCOUNT #: 00002492630	BUY 5 Units @22.8400						
04/09/2018	***-**-3295 - KUNKEL, WILLIAM	LIFESTYLE AGGRESSIVE PORTFOLIO A	43.73	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00002492633	BUY 3 Units @17.0100						
04/09/2018	***-**-3295 - KUNKEL, WILLIAM	LIFESTYLE AGGRESSIVE PORTFOLIO A	43.73	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00002492633	BUY 3 Units @17.0100						
04/09/2018	***-**-9183 - DISSINGER,	LIFESTYLE AGGRESSIVE PORTFOLIO A	5.56	0.16	0.00	0.16	75.0	0.12
	ACCOUNT #: 00003368835	BUY 0 Units @17.0100						
04/09/2018	***-**-9183 - DISSINGER,	LIFESTYLE AGGRESSIVE PORTFOLIO A	5.56	0.16	0.00	0.16	75.0	0.12
	ACCOUNT #: 00003368835	BUY 0 Units @17.0100						
04/09/2018	***-**-3321 - WILLIAMS, EDWARD	LIFESTYLE MODERATE PORTFOLIO A	22.38	0.64	0.00	0.64	75.0	0.48
	ACCOUNT #: 00002410404	BUY 2 Units @14.1600						
04/09/2018	***-**-3321 - WILLIAMS, EDWARD	LIFESTYLE MODERATE PORTFOLIO A	22.38	0.64	0.00	0.64	75.0	0.48
	ACCOUNT #: 00002410404	BUY 2 Units @14.1600						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$626.99</b>	<b>\$21.16</b>	<b>\$0.00</b>	<b>\$21.16</b>		<b>\$15.89</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
04/18/2018	***-**-014I - WHITE, DEBORAH	ANNALY CAP MANGEMENT INC	1,030.50	6.81	17.00	-10.19	100.0	-10.19
	ACCOUNT #: LSR012480	BUY 100 Units @10.3050						
04/18/2018	***-**-014I - WHITE, DEBORAH	ANNALY CAP MANGEMENT INC	3,091.50	75.90	6.00	69.90	75.0	52.43
	ACCOUNT #: LSR012480	BUY 300 Units @10.3050						
<b>* STOCKS SUBTOTAL *</b>			<b>\$4,122.00</b>	<b>\$82.71</b>	<b>\$23.00</b>	<b>\$59.71</b>		<b>\$42.24</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</b>								
04/19/2018	***-**-26IN - NYBERG-PENNELL, ACCOUNT #: LSR011843	ENERGY TRANSFER PARTNERS LP UNIT LT BUY 400 Units @18.3190	7,327.60	100.41	24.00	76.41	75.0	57.31
		<b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>	<b>\$7,327.60</b>	<b>\$100.41</b>	<b>\$24.00</b>	<b>\$76.41</b>		<b>\$57.31</b>
<b>PRODUCT CATEGORY: 529 PLAN</b>								
04/10/2018	***-**-03IN - COLLINS, CHRISTY ACCOUNT #: 00700912066	FA 529 PORT 2019-OLD CL A BUY 9 Units @21.1600	200.00	6.00	0.00	6.00	75.0	4.50
04/10/2018	***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499630	FA 529 PORT 2022-CL A BUY 2 Units @28.3500	50.00	2.50	0.00	2.50	75.0	1.88
04/10/2018	***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499655	FA 529 PORT 2022-CL A BUY 2 Units @28.3500	50.00	2.50	0.00	2.50	75.0	1.88
		<b>* 529 PLAN SUBTOTAL *</b>	<b>\$300.00</b>	<b>\$11.00</b>	<b>\$0.00</b>	<b>\$11.00</b>		<b>\$8.26</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
04/23/2018	***-**-90IO - LUBAS, AMY ACCOUNT #: LSR011748	ALLIANCEBERNSTEIN EMERG MKT DEBT A BUY 1 Units @0.0000	0.00	13.41	0.00	13.41	75.0	10.06
04/23/2018	***-**-36IO - CEGANICK, ACCOUNT #: LSM000176	ALLIANCEBERNSTEIN GROWTH AND INC A BUY 1 Units @0.0000	0.00	5.13	0.00	5.13	75.0	3.85
04/13/2018	***-**-94IR - BARRETT, JAMES ACCOUNT #: 00002174510	BALANCED A BUY 0 Units @0.0000	0.00	0.82	0.00	0.82	75.0	0.62
04/13/2018	***-**-6725 - BARRETT, TRACIE ACCOUNT #: 00002174511	BALANCED A BUY 0 Units @0.0000	0.00	0.82	0.00	0.82	75.0	0.62
04/23/2018	***-**-17IO - KILLIAN, FRANCES ACCOUNT #: LSM000170	BLACKROCK HEALTH SCIENCES FUND CLAS BUY 1 Units @0.0000	0.00	6.45	0.00	6.45	75.0	4.84
04/13/2018	***-**-9897 - ZIMMERMAN, PAUL ACCOUNT #: 00002034883	BOND A BUY 0 Units @0.0000	0.00	20.25	0.00	20.25	75.0	15.19
04/23/2018	***-**-26IN - NYBERG-PENNELL, ACCOUNT #: LSR011843	COLUMBIA HIGH YIELD BOND CL A BUY 1 Units @0.0000	0.00	1.18	0.00	1.18	75.0	0.89
04/23/2018	***-**-05IR - BORN, SHAWN ACCOUNT #: LSM000165	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	3.25	0.00	3.25	75.0	2.44
04/23/2018	***-**-87IR - BORN, LAUREN ACCOUNT #: LSM000173	FEDERATED BOND CLASS A-NFS BUY 1 Units @0.0000	0.00	2.00	0.00	2.00	75.0	1.50



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/23/2018	***-**-514J - WESSNER, LINDA	FEDERATED BOND CLASS A-NFS	0.00	1.29	0.00	1.29	75.0	0.97
	ACCOUNT #: LSR011799	BUY 1 Units @0.0000						
04/23/2018	***-**-514J - WESSNER, LINDA	FEDERATED CAPITAL INCOME FUND	0.00	4.64	0.00	4.64	75.0	3.48
	ACCOUNT #: LSR011799	BUY 1 Units @0.0000						
04/23/2018	***-**-514J - WESSNER, LINDA	FEDERATED KAUFMANN FUND	0.00	3.93	0.00	3.93	75.0	2.95
	ACCOUNT #: LSR011799	BUY 1 Units @0.0000						
04/23/2018	***-**-17IO - KILLIAN, FRANCES	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	42.52	0.00	42.52	75.0	31.89
	ACCOUNT #: LSM000170	BUY 1 Units @0.0000						
04/23/2018	***-**-087J - BORN, LAUREN	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	2.67	0.00	2.67	75.0	2.00
	ACCOUNT #: LSM000172	BUY 1 Units @0.0000						
04/23/2018	***-**-915I - FIDLER, JOYCE	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	13.79	0.00	13.79	75.0	10.34
	ACCOUNT #: LSM000191	BUY 1 Units @0.0000						
04/23/2018	***-**-72IO - BOYER, SUSAN	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	7.31	0.00	7.31	75.0	5.48
	ACCOUNT #: LSR011696	BUY 1 Units @0.0000						
04/23/2018	***-**-26IN - NYBERG-PENNELL,	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	9.38	0.00	9.38	75.0	7.04
	ACCOUNT #: LSR011842	BUY 1 Units @0.0000						
04/23/2018	***-**-26IN - NYBERG-PENNELL,	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	25.71	0.00	25.71	75.0	19.28
	ACCOUNT #: LSR011843	BUY 1 Units @0.0000						
04/23/2018	***-**-57IN - NAUGLE, DENNIS	FIDELITY ADV HIGH INCOME-CL A	0.00	9.06	0.00	9.06	75.0	6.80
	ACCOUNT #: LSM000136	BUY 1 Units @0.0000						
04/23/2018	***-**-34IO - KAUFFMAN, GARY	FIDELITY ADV HIGH INCOME-CL A	0.00	7.20	0.00	7.20	75.0	5.40
	ACCOUNT #: LSM000145	BUY 1 Units @0.0000						
04/23/2018	***-**-915I - FIDLER, JOYCE	FIDELITY ADV HIGH INCOME-CL A	0.00	12.91	0.00	12.91	75.0	9.68
	ACCOUNT #: LSM000191	BUY 1 Units @0.0000						
04/23/2018	***-**-274I - EDRIS, DEBRA	FIDELITY ADV HIGH INCOME-CL A	0.00	9.76	0.00	9.76	75.0	7.32
	ACCOUNT #: LSR011699	BUY 1 Units @0.0000						
04/23/2018	***-**-33IO - GARGIULO, PAUL	FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	1.17	0.00	1.17	75.0	0.88
	ACCOUNT #: LSR011694	BUY 1 Units @0.0000						
04/23/2018	***-**-72IO - BOYER, SUSAN	FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	4.65	0.00	4.65	75.0	3.49
	ACCOUNT #: LSR011696	BUY 1 Units @0.0000						
04/23/2018	***-**-33IN - SCHWEMMER, JOHN	FIDELITY ADV INVESTMENT GRADE BOND-	0.00	7.98	0.00	7.98	75.0	5.99
	ACCOUNT #: LSR012199	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/23/2018	***-**-17IO - KILLIAN, FRANCES	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	6.66	0.00	6.66	75.0	5.00
	ACCOUNT #: LSM000170	BUY 1 Units @0.0000						
04/23/2018	***-**-38IO - SCHWEMMER,	FIDELITY ADV NEW INSIGHTS-CL A	0.00	7.46	0.00	7.46	75.0	5.60
	ACCOUNT #: LSR011612	BUY 1 Units @0.0000						
04/23/2018	***-**-38IO - SCHWEMMER, MARY	FIDELITY ADV NEW INSIGHTS-CL A	0.00	6.30	0.00	6.30	75.0	4.73
	ACCOUNT #: LSR011798	BUY 1 Units @0.0000						
04/23/2018	***-**-17IO - KILLIAN, FRANCES	FIDELITY ADV VALUE LEADERS-CL A	0.00	7.13	0.00	7.13	75.0	5.35
	ACCOUNT #: LSM000170	BUY 1 Units @0.0000						
04/23/2018	***-**-87IR - BORN, LAUREN	FIDELITY ADV WORLDWIDE-CL A	0.00	1.49	0.00	1.49	75.0	1.12
	ACCOUNT #: LSM000173	BUY 1 Units @0.0000						
04/23/2018	***-**-4593 - TOWER, GERTRUDE	FIDELITY ADVISOR GOVERNMENT INCOME	0.00	6.72	0.00	6.72	75.0	5.04
	ACCOUNT #: LSM000148	BUY 1 Units @0.0000						
04/23/2018	***-**-596I - EDRIS, TERRY	FIDELITY ADVISOR HIGH INCOME	0.00	13.46	0.00	13.46	75.0	10.10
	ACCOUNT #: LSR011700	BUY 1 Units @0.0000						
04/23/2018	***-**-38IO - SCHWEMMER, MARY	FIDELITY ADVISOR LATIN AMERICA CL A	0.00	1.92	0.00	1.92	75.0	1.44
	ACCOUNT #: LSR011798	BUY 1 Units @0.0000						
04/13/2018	***-**-4330 - FRANTZ, LOIS	HIGH YIELD A	0.00	15.31	0.00	15.31	75.0	11.48
	ACCOUNT #: 00001455848	BUY 0 Units @0.0000						
04/13/2018	***-**-310I - NAUGLE, ANN	HIGH YIELD A	0.00	2.69	0.00	2.69	75.0	2.02
	ACCOUNT #: 00001860223	BUY 0 Units @0.0000						
04/13/2018	***-**-86IN - PATTON, JULIE	HIGH YIELD A	0.00	14.57	0.00	14.57	75.0	10.93
	ACCOUNT #: 00001999970	BUY 0 Units @0.0000						
04/13/2018	***-**-6725 - BARRETT, TRACIE	HIGH YIELD A	0.00	4.58	0.00	4.58	75.0	3.44
	ACCOUNT #: 00002174325	BUY 0 Units @0.0000						
04/13/2018	***-**-94IR - BARRETT, JAMES	HIGH YIELD A	0.00	4.05	0.00	4.05	75.0	3.04
	ACCOUNT #: 00002174327	BUY 0 Units @0.0000						
04/13/2018	***-**-9897 - ZIMMERMAN, PAUL	HIGH YIELD A	0.00	10.39	0.00	10.39	75.0	7.79
	ACCOUNT #: 00002176710	BUY 0 Units @0.0000						
04/13/2018	***-**-068I - SHOLLENBERGER,	HIGH YIELD A	0.00	2.84	0.00	2.84	75.0	2.13
	ACCOUNT #: 00002188879	BUY 0 Units @0.0000						
04/13/2018	***-**-0514 - WESSNER, ARLAN	HIGH YIELD A	0.00	7.24	0.00	7.24	75.0	5.43
	ACCOUNT #: 00002492869	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/13/2018	***-**-68IN - DODDS, JOHN	HIGH YIELD A	0.00	15.06	0.00	15.06	75.0	11.30
	ACCOUNT #: 00777291985	BUY 0 Units @0.0000						
04/13/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD A	0.00	6.04	0.00	6.04	75.0	4.53
	ACCOUNT #: 00777296924	BUY 0 Units @0.0000						
04/13/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD A	0.00	6.11	0.00	6.11	75.0	4.58
	ACCOUNT #: 00777296925	BUY 0 Units @0.0000						
04/13/2018	***-**-4803 - HERTZOG, MICHAEL	HIGH YIELD A	0.00	7.93	0.00	7.93	75.0	5.95
	ACCOUNT #: 00777305368	BUY 0 Units @0.0000						
04/13/2018	***-**-86IN - PATTON, JULIE	HIGH YIELD B	0.00	5.73	0.00	5.73	75.0	4.30
	ACCOUNT #: 00001858344	BUY 0 Units @0.0000						
04/13/2018	***-**-9736 - SINDACO, LINDA	HIGH YIELD B	0.00	2.56	0.00	2.56	75.0	1.92
	ACCOUNT #: 00002001832	BUY 0 Units @0.0000						
04/13/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD B	0.00	1.92	0.00	1.92	75.0	1.44
	ACCOUNT #: 00005979470	BUY 0 Units @0.0000						
04/13/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD B	0.00	1.92	0.00	1.92	75.0	1.44
	ACCOUNT #: 00005979471	BUY 0 Units @0.0000						
04/13/2018	***-**-68IN - DODDS, JOHN	HIGH YIELD B	0.00	4.58	0.00	4.58	75.0	3.44
	ACCOUNT #: 00006280812	BUY 0 Units @0.0000						
04/23/2018	***-**-87IR - BORN, LAUREN	INTERNATIONAL BOND A	0.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: LSM000173	BUY 1 Units @0.0000						
04/13/2018	***-**-03IN - COLLINS, CHRISTY	JOHN HANCOCK BLUE CHIP GROWTH CL A	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00003377198	BUY 0 Units @0.0000						
04/13/2018	***-**-94IR - BARRETT, JAMES	JOHN HANCOCK DISCIPLINED VALUE A	0.00	0.91	0.00	0.91	75.0	0.68
	ACCOUNT #: 00002174326	BUY 0 Units @0.0000						
04/13/2018	***-**-6725 - BARRETT, TRACIE	JOHN HANCOCK DISCIPLINED VALUE A	0.00	0.91	0.00	0.91	75.0	0.68
	ACCOUNT #: 00002174512	BUY 0 Units @0.0000						
04/13/2018	***-**-9897 - ZIMMERMAN, PAUL	JOHN HANCOCK DISCIPLINED VALUE A	0.00	0.60	0.00	0.60	75.0	0.45
	ACCOUNT #: 00002176709	BUY 0 Units @0.0000						
04/13/2018	***-**-0738 - WESSNER, TODD	JOHN HANCOCK DISCIPLINED VALUE A	0.00	18.47	0.00	18.47	75.0	13.85
	ACCOUNT #: 00002492630	BUY 0 Units @0.0000						
04/13/2018	***-**-6725 - BARRETT, TRACIE	JOHN HANCOCK GLOBAL SHAREHOLDER YIE	0.00	0.76	0.00	0.76	75.0	0.57
	ACCOUNT #: 00002174324	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/13/2018	***-**-514J - GEHRINGER, ACCOUNT #: 00777222058	JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 0 Units @0.0000	0.00	3.35	0.00	3.35	75.0	2.51
04/13/2018	***-**-514J - GEHRINGER, ACCOUNT #: 00777236470	JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 0 Units @0.0000	0.00	4.97	0.00	4.97	75.0	3.73
04/13/2018	***-**-2833 - SCHWEMMER, JOHN ACCOUNT #: 00777288968	JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 0 Units @0.0000	0.00	22.60	0.00	22.60	75.0	16.95
04/13/2018	***-**-514J - GEHRINGER, ACCOUNT #: 00777290682	JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 0 Units @0.0000	0.00	1.24	0.00	1.24	75.0	0.93
04/13/2018	***-**-514J - GEHRINGER, ACCOUNT #: 00002049765	LARGE CAP EQUITY A BUY 0 Units @0.0000	0.00	4.43	0.00	4.43	75.0	3.32
04/13/2018	***-**-10IN - YAKAITIS, JOSEPH ACCOUNT #: 00777289121	LARGE CAP EQUITY A BUY 0 Units @0.0000	0.00	1.60	0.00	1.60	75.0	1.20
04/13/2018	***-**-514J - GEHRINGER, ACCOUNT #: 00777290844	LARGE CAP EQUITY A BUY 0 Units @0.0000	0.00	1.40	0.00	1.40	75.0	1.05
04/13/2018	***-**-0491 - SUTTON, WAYNE ACCOUNT #: 00002409892	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 0 Units @0.0000	0.00	0.32	0.00	0.32	75.0	0.24
04/13/2018	***-**-3295 - KUNKEL, WILLIAM ACCOUNT #: 00002492633	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 0 Units @0.0000	0.00	0.65	0.00	0.65	75.0	0.49
04/13/2018	***-**-9183 - DISSINGER, ACCOUNT #: 00003368835	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 0 Units @0.0000	0.00	0.43	0.00	0.43	75.0	0.32
04/13/2018	***-**-3321 - WILLIAMS, EDWARD ACCOUNT #: 00002410404	LIFESTYLE MODERATE PORTFOLIO A BUY 0 Units @0.0000	0.00	1.27	0.00	1.27	75.0	0.95
04/23/2018	***-**-225I - GANGEWERE, MARK ACCOUNT #: LSR011891	OPPENHEIMER SMALL & MID CAP VALUE F BUY 1 Units @0.0000	0.00	2.57	0.00	2.57	75.0	1.93
04/23/2018	***-**-36IO - CEGANICK, ACCOUNT #: LSM000176	PIMCO TOTAL RETURN CLASS A BUY 1 Units @0.0000	0.00	2.25	0.00	2.25	75.0	1.69
04/23/2018	***-**-86IN - BACHMAN, SINDY ACCOUNT #: LSR011655	PIMCO TOTAL RETURN CLASS A BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	75.0	0.17
04/23/2018	***-**-87IR - BORN, LAUREN ACCOUNT #: LSM000173	PRINCIPAL INVESTOR HIGH YIELD II CL BUY 1 Units @0.0000	0.00	3.63	0.00	3.63	75.0	2.72
04/23/2018	***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	PUTNAM CAPITAL SPECTRUM FD CL B BUY 1 Units @0.0000	0.00	3.59	0.00	3.59	75.0	2.69



# COMMISSION STATEMENT

April 23, 2018

DEVIN BORN  
128 Matthew Dr  
Sinking Springs, PA 19608

BROKER #: A95  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/23/2018	***-**-87IR - BORN, LAUREN	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	1.93	0.00	1.93	75.0	1.45
	ACCOUNT #: LSM000173	BUY 1 Units @0.0000						
04/23/2018	***-**-33IO - GARGIULO, PAUL	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: LSR011694	BUY 1 Units @0.0000						
04/23/2018	***-**-4593 - TOWER, GERTRUDE	PUTNAM HIGH YIELD ADVANTAGE CLASS B	0.00	1.76	0.00	1.76	75.0	1.32
	ACCOUNT #: LSM000148	BUY 1 Units @0.0000						
04/23/2018	***-**-4593 - TOWER, GERTRUDE	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	5.04	0.00	5.04	75.0	3.78
	ACCOUNT #: LSM000148	BUY 1 Units @0.0000						
04/23/2018	***-**-38IO - SCHWEMMER, MARY	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	3.79	0.00	3.79	75.0	2.84
	ACCOUNT #: LSR011798	BUY 1 Units @0.0000						
04/23/2018	***-**-34IO - KAUFFMAN, GARY	PUTNAM INCOME FUND B	0.00	3.67	0.00	3.67	75.0	2.75
	ACCOUNT #: LSM000145	BUY 1 Units @0.0000						
04/23/2018	***-**-33IO - GARGIULO, PAUL	PUTNAM INCOME FUND B	0.00	5.24	0.00	5.24	75.0	3.93
	ACCOUNT #: LSR011694	BUY 1 Units @0.0000						
04/13/2018	***-**-4803 - HERTZOG, MICHAEL	RETIREMENT LIVING THROUGH 2040 PORT	0.00	4.48	0.00	4.48	75.0	3.36
	ACCOUNT #: 00001712975	BUY 0 Units @0.0000						
04/13/2018	***-**-514J - GEHRINGER,	STRATEGIC GROWTH A	0.00	2.60	0.00	2.60	75.0	1.95
	ACCOUNT #: 00777224541	BUY 0 Units @0.0000						
04/23/2018	***-**-652J - BUSHBY, WILLIAM	THE BOND FUND OF AMERICA-F1	0.00	0.86	0.00	0.86	75.0	0.65
	ACCOUNT #: LSR011728	BUY 1 Units @0.0000						
04/23/2018	***-**-17IO - KILLIAN, FRANCES	THE HARTFORD CAPITAL APPRECIATION F	0.00	34.14	0.00	34.14	75.0	25.61
	ACCOUNT #: LSM000170	BUY 1 Units @0.0000						
04/23/2018	***-**-57IN - NAUGLE, DENNIS	THE HARTFORD HIGH YIELD FUND-A	0.00	6.74	0.00	6.74	75.0	5.06
	ACCOUNT #: LSM000136	BUY 1 Units @0.0000						
04/23/2018	***-**-33IN - SCHWEMMER, JOHN	THE HARTFORD HIGH YIELD FUND-A	0.00	8.51	0.00	8.51	75.0	6.38
	ACCOUNT #: LSR012199	BUY 1 Units @0.0000						
04/23/2018	***-**-01IO - RATH, ROBERT	THE HARTFORD MID CAP FUND-A	0.00	17.15	0.00	17.15	75.0	12.86
	ACCOUNT #: LSM000189	BUY 1 Units @0.0000						
04/23/2018	***-**-652J - BUSHBY, WILLIAM	THE INCOME FUND OF AMERICA-F1	0.00	2.63	0.00	2.63	75.0	1.97
	ACCOUNT #: LSR011728	BUY 1 Units @0.0000						
04/23/2018	***-**-40IO - BERSTLER, DAVID	THE INCOME FUND OF AMERICA-F1	0.00	4.10	0.00	4.10	75.0	3.08
	ACCOUNT #: LSR011823	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

April 23, 2018

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BROKER #: A95  
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/23/2018	***-**-72IO - BUSHBY, JANET	TOUCHSTONE ACTIVE BOND A-NFS	0.00	7.77	0.00	7.77	75.0	5.83
	ACCOUNT #: LSR011729	BUY 1 Units @0.0000						
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$571.44</b>	<b>\$0.00</b>	<b>\$571.44</b>		<b>\$428.67</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$12,376.59</b>	<b>\$786.72</b>	<b>\$47.00</b>	<b>\$739.72</b>		<b>\$552.37</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$552.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$552.37</b>
<b>Year-to-date Earnings</b>	<b>\$19,960.89</b>