

**COMMISSION STATEMENT****November 30, 2017**DAVID KERN
4321 Flagstaff CoveBROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
11/21/2017	PICCIANO, DEAN	PACIFIC FINANCIAL	124,182.46	92.17	0.00	92.17	90.0	82.95
* RIA SUBTOTAL *			\$124,182.46	\$92.17	\$0.00	\$92.17		\$82.95
*** DIRECT TRANSACTIONS TOTAL ***			\$124,182.46	\$92.17	\$0.00	\$92.17		\$82.95

BROKER COMMISSION TOTALS

Broker Transactions	\$82.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$82.95
Year-to-date Gross	\$5,830.43
Year-to-date Earnings	\$5,247.39

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed