

STATEMENT

June 20, 2018

EDWARD MC CLOUD
2720 Bella Vista Dr

BROKER #: 151
BRANCH:

Davenport, FL 33897

COMMISSION STATEMENT for EDWARD MC CLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/16/2018	#07003376246	MC CLOUD, SAMUEL	OPPENHEIMER MAIN STREET FUND CL A	50.00	2.38	95.0	0.00	2.26	2.26
04/17/2018	#03450421146	VARIOUS MC CLOUD	OPPENHEIMER CAPITAL INCOME FUND-CL	2,000.00	40.00	95.0	0.00	38.00	38.00
04/17/2018	#08800500984	VARIOUS MC CLOUD	OPPENHEIMER INTERNATIONAL BOND FUND	2,000.00	40.00	95.0	0.00	38.00	38.00
04/17/2018	#08251074854	VARIOUS MC CLOUD	OPPENHEIMER INTERNATIONAL GROWTH FU	2,000.00	40.00	95.0	0.00	38.00	38.00
04/17/2018	#02363255142	VARIOUS MC CLOUD	QUEST OPPORTUNITY VALUE FUND A	2,000.00	40.00	95.0	0.00	38.00	38.00
04/20/2018	#02560006765	TO STA TOD	INTERNATIONAL VALUE FUND C	30.00	0.30	95.0	0.00	0.29	0.29
04/20/2018	#07650692505	WISSEL, RICHARD	OPPENHEIMER CAPITAL APPRECIATION FU	25.00	1.00	95.0	0.00	0.95	0.95
04/20/2018	#08820012023	WISSEL, RICHARD	OPPENHEIMER INTERNATIONAL BOND FUND	25.00	0.25	95.0	0.00	0.24	0.24
04/26/2018	#07651047508	WALDORF 30,	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	95.0	0.00	2.26	2.26
04/30/2018	#00074284781	GAY, LEO	BALANCED PORTFOLIO-A	953.00	47.65	95.0	0.00	45.27	45.27
04/30/2018	#00088470759	GAMBOAJUAN	GROWTH PORTFOLIO C	300.00	3.00	95.0	0.00	2.85	2.85
04/30/2018	#00088473946	AZZAREL	GROWTH PORTFOLIO C	300.00	3.00	95.0	0.00	2.85	2.85
04/30/2018	#07002685510	ALLRED, CORBIN	OPPENHEIMER MAIN STREET FUND CL A	458.00	13.74	95.0	0.00	13.05	13.05
05/01/2018	#04000604491	MO/TOD	CAPITAL WORLD GROWTH & INCOME FUND	50.00	2.50	95.0	0.00	2.38	2.38
05/01/2018	#00085575331	LEIMKUEHLER,	CAPITAL WORLD GROWTH & INCOME FUND	50.00	0.50	95.0	0.00	0.48	0.48
05/01/2018	#000000001170861	FARLER,	FRANKLIN 628380883	360.29	3.60	95.0	0.00	3.42	3.42
05/01/2018	#000000000369443	FARLER,	FRANKLIN INVS SECS TR TOTAL RETURN	360.30	3.60	95.0	0.00	3.42	3.42
05/01/2018	#00100010737	FARLER, JUDITH	OPPENHEIMER 68385D202	360.29	3.60	95.0	0.00	3.42	3.42
05/01/2018	#02381186251	FARLER, JUDITH	OPPENHEIMER FLEXIBLE STRATEGIES FUN	360.29	3.60	95.0	0.00	3.42	3.42
05/01/2018	#02365509009	ALERD, M	QUEST OPPORTUNITY VALUE FUND A	50.00	1.50	95.0	0.00	1.43	1.43
05/07/2018	#000000006817263	BOYKI	FRANKLIN032	50.00	0.50	95.0	0.00	0.48	0.48
05/15/2018	#00085968220	KELLER, RACHEL	CAPITAL INCOME BLDR FUND CL C	50.00	0.50	95.0	0.00	0.48	0.48
05/15/2018	#00089844148	HARRYLEAN	CAPITAL INCOME BLDR FUND CL C	50.00	0.50	95.0	0.00	0.48	0.48
05/15/2018	#00088217181	ALVAREZ, RU	GROWTH PORTFOLIO C	200.00	2.00	95.0	0.00	1.90	1.90
05/15/2018	#04000859326		INVESTMENT CO AMER CLASS A	50.00	2.50	95.0	0.00	2.38	2.38
05/15/2018	#00087408983	UNTIL AGE 21,	NEW ECONOMY FUND SBI CLASS A	50.00	2.50	95.0	0.00	2.38	2.38
05/15/2018	#00085968135	KELLER, RACHEL	NEW WORLD FUND INC NEW CL C	50.00	0.50	95.0	0.00	0.48	0.48
05/15/2018	#00088720593	UNTIL AGE 21,	NEW WORLD FUND INC NEW CL C	100.00	1.00	95.0	0.00	0.95	0.95

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/15/2018	#07003376246	MCCLLOUD, SAMUEL	OPPENHEIMER MAIN STREET FUND CL A	50.00	2.38	95.0	0.00	2.26	2.26
05/15/2018	#00085968135	KELLER, RACHEL	THE NEW ECONOMY FUND-C	50.00	0.50	95.0	0.00	0.48	0.48
05/16/2018	#000000001170861	FARLER,	FRANKLIN 628380883	360.29	3.60	95.0	0.00	3.42	3.42
05/16/2018	#000000000369443	FARLER,	FRANKLIN INVS SECS TR TOTAL RETURN	360.30	3.60	95.0	0.00	3.42	3.42
05/29/2018	#04000412108	MARSH	AMERICAN BALANCED FUND-529C	100.00	1.00	95.0	0.00	0.95	0.95
05/29/2018	#00089192044	MARSH	BALANCED PORTFOLIO-529C	100.00	1.00	95.0	0.00	0.95	0.95
05/29/2018	#00089192056	MARSH	BALANCED PORTFOLIO-529C	100.00	1.00	95.0	0.00	0.95	0.95
05/29/2018	#00089610172	MARSH	BALANCED PORTFOLIO-529C	100.00	1.00	95.0	0.00	0.95	0.95
05/29/2018	#00088470759	GAMBOAJUAN	GROWTH PORTFOLIO C	300.00	3.00	95.0	0.00	2.85	2.85
05/29/2018	#00088473946	AZZAREL	GROWTH PORTFOLIO C	300.00	3.00	95.0	0.00	2.85	2.85
05/29/2018	#00069082916	BOSLEY, ARCHIE	INVESTMENT CO AMER CLASS A	270.00	5.40	95.0	0.00	5.13	5.13
05/29/2018	#00069082918	BUTLER, WILLIAM	INVESTMENT CO AMER CLASS A	270.00	5.40	95.0	0.00	5.13	5.13
05/29/2018	#00069082920	DIEHM, DAVID	INVESTMENT CO AMER CLASS A	531.41	10.63	95.0	0.00	10.10	10.10
05/29/2018	#00069082924	MAUPIN, KAREN	INVESTMENT CO AMER CLASS A	1,219.92	24.40	95.0	0.00	23.18	23.18
05/29/2018	#00069082928	GRIGGS, RUSSELL	INVESTMENT CO AMER CLASS A	234.00	4.68	95.0	0.00	4.45	4.45
05/29/2018	#00086977497	HOWERY, WESLEY	INVESTMENT CO AMER CLASS A	270.00	5.40	95.0	0.00	5.13	5.13
05/29/2018	#00086977487	HENSLEY, JAMES	NEW ECONOMY FUND SBI CLASS A	270.00	5.40	95.0	0.00	5.13	5.13
06/01/2018	#04000604491	MO/TOD	CAPITAL WORLD GROWTH & INCOME FUND	50.00	2.50	95.0	0.00	2.38	2.38
06/01/2018	#00085575331	LEIMKUEHLER,	CAPITAL WORLD GROWTH & INCOME FUND	50.00	0.50	95.0	0.00	0.48	0.48
* MUTUAL FUNDS SUBTOTAL *			\$17,368.09	\$346.99		\$0.00	\$329.71	\$0.00	\$329.71
<u>PRODUCT CATEGORY: STOCKS</u>									
04/30/2018	#	MCCLLOUD, ED	VEREIT INC COM	1,711.65	25.00	95.0	0.00	23.75	3.80
05/04/2018	#	HICKS, RAYMOND	VEREIT INC COM	39,396.00	54.00	95.0	0.00	51.30	31.35
* STOCKS SUBTOTAL *			\$41,107.65	\$79.00		\$0.00	\$75.05	\$39.90	\$35.15
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/04/0018	#C2242720W	OBRYAN, ROBERT	VOYA	0.00	57.86	95.0	0.00	54.97	54.97
05/08/0018	#E1470659	KELLY, KELLY	PRUDENTIAL	225.00	10.69	95.0	0.00	10.16	10.16
04/30/0201	#E1470659	KELLY, KELLY	PRUDETIAL TRAILS	0.00	10.06	95.0	0.00	9.56	9.56
04/30/0201	#E1216132	SWITALA, DONALD	PRUDETIAL TRAILS	0.00	8.50	95.0	0.00	8.08	8.08
04/30/0201	#E1398877	AHRENS, MARILYN	PRUDETIAL TRAILS	0.00	8.22	95.0	0.00	7.81	7.81
04/30/0201	#E1289481	SMITH, BETTY	PRUDETIAL TRAILS	0.00	12.57	95.0	0.00	11.94	11.94
04/30/0201	#E1288049	WHEELER, MICHAEL	PRUDETIAL TRAILS	0.00	75.69	95.0	0.00	71.91	71.91
04/30/0201	#E1370788	MCCUE, DANA	PRUDETIAL TRAILS	0.00	10.23	95.0	0.00	9.72	9.72
04/30/0201	#E1349206	CHANDLER, STANLEY	PRUDETIAL TRAILS	0.00	27.71	95.0	0.00	26.32	26.32

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/30/0201	#E1434414	HINNAH, DALE	PRUDETIAL TRAILS	0.00	21.63	95.0	0.00	20.55	20.55
04/30/0201	#E1136566	KELLY, KELLY	PRUDETIAL TRAILS	0.00	8.67	95.0	0.00	8.24	8.24
04/30/0201	#E1023693	RIFFLE, DONALD	PRUDETIAL TRAILS	0.00	7.54	95.0	0.00	7.16	7.16
04/30/0201	#E1023703	RIFFLE, GAIL	PRUDETIAL TRAILS	0.00	16.09	95.0	0.00	15.29	15.29
04/30/0201	#E1711242	BALLARD, BRUCE	PRUDETIAL TRAILS	0.00	65.70	95.0	0.00	62.42	62.42
04/30/0201	#E1478805	BURBEE, CAROLYNN	PRUDETIAL TRAILS	0.00	3.20	95.0	0.00	3.04	3.04
04/30/0201	#E1471589	MORGAN, CAROLYN	PRUDETIAL TRAILS	0.00	3.75	95.0	0.00	3.56	3.56
04/30/0201	#E1471617	MORGAN, LARRY	PRUDETIAL TRAILS	0.00	11.35	95.0	0.00	10.78	10.78
04/30/0201	#E1512167	SMITH, BETTY	PRUDETIAL TRAILS	0.00	56.22	95.0	0.00	53.41	53.41
04/30/0201	#E1432944	LATTIMER, MARILYN	PRUDETIAL TRAILS	0.00	33.74	95.0	0.00	32.05	32.05
04/30/0201	#E1219518	HOBBS, KAREN	PRUDETIAL TRAILS	0.00	3.85	95.0	0.00	3.66	3.66
04/30/0201	#E1305719	CHANDLER, STANLEY	PRUDETIAL TRAILS	0.00	24.30	95.0	0.00	23.09	23.09
04/30/0201	#E1453545	WHEELER, MICHAEL	PRUDETIAL TRAILS	0.00	11.23	95.0	0.00	10.67	10.67
04/30/0201	#E1391003	AHRENS, MARILYN	PRUDETIAL TRAILS	0.00	7.41	95.0	0.00	7.04	7.04
04/30/0201	#E1216981	HOBBS, KAREN	PRUDETIAL TRAILS	0.00	6.54	95.0	0.00	6.21	6.21
04/30/0201	#E1148757	LEIMKUEHLER,	PRUDETIAL TRAILS	0.00	37.79	95.0	0.00	35.90	35.90
04/30/0201	#E0669594	GENTRY, ELLIS	PRUDETIAL TRAILS	0.00	13.84	95.0	0.00	13.15	13.15
04/30/0201	#E0745755	CARTER, SANDRA	PRUDETIAL TRAILS	0.00	69.26	95.0	0.00	65.80	65.80
04/30/0201	#E0806197	KELLER, MARY	PRUDETIAL TRAILS	0.00	110.12	95.0	0.00	104.61	104.61
04/30/0201	#E0680847	ROBLES, ALICIA	PRUDETIAL TRAILS	0.00	13.96	95.0	0.00	13.26	13.26
04/30/0201	#E0680847	ROBLES, ALICIA	PRUDETIAL TRAILS	0.00	41.88	95.0	0.00	39.79	39.79
04/30/0201	#E0681442	ROBLES, ALICIA	PRUDETIAL TRAILS	0.00	146.38	95.0	0.00	139.06	139.06
04/30/0201	#E0678003	SMITH, GARY	PRUDETIAL TRAILS	0.00	62.54	95.0	0.00	59.41	59.41
04/30/0201	#E0831799	CORN, KELLY	PRUDETIAL TRAILS	0.00	37.71	95.0	0.00	35.82	35.82
04/30/0201	#E0937815	URBAN, JEFFREY	PRUDETIAL TRAILS	0.00	12.86	95.0	0.00	12.22	12.22
04/30/0201	#E0937777	URBAN, CAROL	PRUDETIAL TRAILS	0.00	33.72	95.0	0.00	32.03	32.03
04/30/0201	#E0985978	RIGGS, KEVIN	PRUDETIAL TRAILS	0.00	6.40	95.0	0.00	6.08	6.08
04/30/0201	#E0503759	BAKER, CAROL	PRUDETIAL TRAILS	0.00	11.92	95.0	0.00	11.32	11.32
04/30/0201	#E0503759	BAKER, CAROL	PRUDETIAL TRAILS	0.00	35.75	95.0	0.00	33.96	33.96
04/30/0201	#E0886340	MCKINLEY, RICK	PRUDETIAL TRAILS	0.00	9.96	95.0	0.00	9.46	9.46
04/30/0201	#E0783016	JAMES, TODD	PRUDETIAL TRAILS	0.00	26.74	95.0	0.00	25.40	25.40
04/30/0201	#E0751810	GROSSENBURG,	PRUDETIAL TRAILS	0.00	3.75	95.0	0.00	3.56	3.56
04/30/0201	#E0752215	GROSSENBURG, DAVID	PRUDETIAL TRAILS	0.00	5.94	95.0	0.00	5.64	5.64
04/30/0201	#E0595236	RIGGS, PAMELA	PRUDETIAL TRAILS	0.00	2.28	95.0	0.00	2.17	2.17
04/30/0201	#E0811297		PRUDETIAL TRAILS	0.00	26.87	95.0	0.00	25.53	25.53
04/30/0201	#E0585193	GROSSENBURG, DAVID	PRUDETIAL TRAILS	0.00	66.51	95.0	0.00	63.18	63.18

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/30/2021	#E0691225	DONZETTA TURNBOW	PRUDETIAL TRAILS	0.00	5.79	95.0	0.00	5.50	5.50
04/30/2021	#000460739	TURNBOW DDS APC,	PRUDETIAL TRAILS	0.00	1.01	95.0	0.00	0.96	0.96
04/30/2021	#E0938227	MCCLOUD, JOHN	PRUDETIAL TRAILS	0.00	5.27	95.0	0.00	5.01	5.01
04/30/2021	#E0902192	MCCLOUD, ED	PRUDETIAL TRAILS	0.00	2.38	95.0	0.00	2.26	2.26
04/30/2021	#E0905399	HARTLEY, WENDY	PRUDETIAL TRAILS	0.00	12.74	95.0	0.00	12.10	12.10
04/30/2021	#E0874940	HOOPER DECEA,	PRUDETIAL TRAILS	0.00	10.75	95.0	0.00	10.21	10.21
04/30/2021	#E0797192	MCMANNAMA, LINDA	PRUDETIAL TRAILS	0.00	18.94	95.0	0.00	17.99	17.99
04/30/2021	#E0547168	PETERS, ROBERT	PRUDETIAL TRAILS	0.00	17.12	95.0	0.00	16.26	16.26
04/30/2021	#E0726196	RARDON, SHARON	PRUDETIAL TRAILS	0.00	26.74	95.0	0.00	25.40	25.40
04/30/2021	#E0596667	LATTIMER, MARTIN	PRUDETIAL TRAILS	0.00	3.22	95.0	0.00	3.06	3.06
04/30/2021	#E0819400	KELLER, MARY	PRUDETIAL TRAILS	0.00	4.68	95.0	0.00	4.45	4.45
04/30/2021	#E0691275	SMITH, SUSAN	PRUDETIAL TRAILS	0.00	6.95	95.0	0.00	6.60	6.60
04/30/2021	#E0669594	GENTRY, ELLIS	PRUDETIAL TRAILS	0.00	41.53	95.0	0.00	39.45	39.45
04/30/2021	#E0675180	LATTIMER, MARILYN	PRUDETIAL TRAILS	0.00	11.49	95.0	0.00	10.92	10.92
04/30/2021	#E0788888	SWITALA, MYRA	PRUDETIAL TRAILS	0.00	7.23	95.0	0.00	6.87	6.87
04/30/2021	#E0901812	MCCLOUD, VICKIE	PRUDETIAL TRAILS	0.00	7.09	95.0	0.00	6.74	6.74
04/30/2021	#E0905302	HARTLEY, TODD	PRUDETIAL TRAILS	0.00	19.75	95.0	0.00	18.76	18.76
04/30/2021	#E0561607	MCNABB, JAMES	PRUDETIAL TRAILS	0.00	21.48	95.0	0.00	20.41	20.41
04/30/2021	#E0562383	MCNABB, CHARLA	PRUDETIAL TRAILS	0.00	9.93	95.0	0.00	9.43	9.43
04/30/2021	#E0538766	MCMANNAMA, LINDA	PRUDETIAL TRAILS	0.00	4.57	95.0	0.00	4.34	4.34
04/30/2021	#E0539143	FLORA, JOHN	PRUDETIAL TRAILS	0.00	52.04	95.0	0.00	49.44	49.44
04/30/2021	#E0539143	FLORA, JOHN	PRUDETIAL TRAILS	0.00	156.11	95.0	0.00	148.30	148.30
04/30/2021	#E0476729	NAYLOR, THOMAS	PRUDETIAL TRAILS	0.00	50.47	95.0	0.00	47.95	47.95
04/30/2021	#E0476729	NAYLOR, THOMAS	PRUDETIAL TRAILS	0.00	151.40	95.0	0.00	143.83	143.83
04/30/2021	#E0525026	CAMPBELL, HAROLD	PRUDETIAL TRAILS	0.00	10.35	95.0	0.00	9.83	9.83
04/30/2021	#E0525026	CAMPBELL, HAROLD	PRUDETIAL TRAILS	0.00	31.04	95.0	0.00	29.49	29.49
04/30/2021	#E0525044	CAMPBELL, VIRGINIA	PRUDETIAL TRAILS	0.00	9.22	95.0	0.00	8.76	8.76
04/30/2021	#E0525044	CAMPBELL, VIRGINIA	PRUDETIAL TRAILS	0.00	27.66	95.0	0.00	26.28	26.28
04/30/2021	#E0895873	SWAIN, THOMAS	PRUDETIAL TRAILS	0.00	79.85	95.0	0.00	75.86	75.86
05/03/2018	#C1875590W	AHRENS, DARRELL	VOYA	0.00	45.76	95.0	0.00	43.47	43.47
05/03/2018	#C1876910W	KELLY, KELLY	VOYA	0.00	41.38	95.0	0.00	39.31	39.31
05/03/2018	#C1881560W	WISER, BRENDA	VOYA	0.00	6.59	95.0	0.00	6.26	6.26
05/03/2018	#C1881670W	MIZER, PAUL	VOYA	0.00	27.27	95.0	0.00	25.91	25.91
* VARIABLE ANNUITIES SUBTOTAL *			\$225.00	\$2,206.73		\$0.00	\$2,096.40	\$0.00	\$2,096.40

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	#000016000021337 MCCL VAR	FRANKLIN TEMPLETON FUNDS MISSOURI T	0.00	22.10	95.0	0.00	21.00	0.00	21.00
04/30/2018	#000000000173880 SIMMOALLAL,	FRANKLIN TEMPLETON FUNDS MISSOURI T	0.00	167.07	95.0	0.00	158.72	0.00	158.72
04/30/2018	#000000000177598 FLORA, JOHN	FRANKLIN TEMPLETON FUNDS MISSOURI T	0.00	571.14	95.0	0.00	542.58	0.00	542.58
04/30/2018	#000000001017755 SIMMOALLAL,	FRANKLIN TEMPLETON MONEY FUND - CLA	0.00	654.45	95.0	0.00	621.73	0.00	621.73
05/07/2018	#00006844764 VARIOUS MCCLLOUD	FIDELITY ADV EQUITY GROWTH-CL T	0.00	9.61	95.0	0.00	9.13	0.00	9.13
05/07/2018	#00006844768 VARIOUS MCCLLOUD	FIDELITY ADV EQUITY GROWTH-CL T	0.00	17.88	95.0	0.00	16.99	0.00	16.99
05/07/2018	#00006855896 VARIOUS MCCLLOUD	FIDELITY ADV EQUITY GROWTH-CL T	0.00	2.14	95.0	0.00	2.03	0.00	2.03
05/07/2018	#00006986168 VARIOUS MCCLLOUD	FIDELITY ADV EQUITY GROWTH-CL T	0.00	4.27	95.0	0.00	4.06	0.00	4.06
05/07/2018	#00006857424 VARIOUS MCCLLOUD	FIDELITY ADV EQUITY INCOME-CL T	0.00	6.67	95.0	0.00	6.34	0.00	6.34
05/07/2018	#00009042526 VARIOUS MCCLLOUD	FIDELITY ADV HEALTH CARE-CL A	0.00	9.57	95.0	0.00	9.09	0.00	9.09
05/07/2018	#00006999746 VARIOUS MCCLLOUD	FIDELITY ADV MID CAP-CL A	0.00	8.54	95.0	0.00	8.11	0.00	8.11
05/07/2018	#00009029533 VARIOUS MCCLLOUD	FIDELITY ADV MID CAP-CL A	0.00	2.07	95.0	0.00	1.97	0.00	1.97
05/07/2018	#00009042526 VARIOUS MCCLLOUD	FIDELITY ADV MID CAP-CL A	0.00	9.11	95.0	0.00	8.65	0.00	8.65
05/07/2018	#00006844764 VARIOUS MCCLLOUD	FIDELITY ADV TECHNOLOGY-CL A	0.00	2.37	95.0	0.00	2.25	0.00	2.25
05/07/2018	#00009042526 VARIOUS MCCLLOUD	FIDELITY ADV TECHNOLOGY-CL A	0.00	11.41	95.0	0.00	10.84	0.00	10.84
05/07/2018	#00006844764 VARIOUS MCCLLOUD	FIDELITY ADVISOR ENERGY CL A	0.00	3.54	95.0	0.00	3.36	0.00	3.36
05/07/2018	#00006844768 VARIOUS MCCLLOUD	FIDELITY ADVISOR ENERGY CL A	0.00	7.35	95.0	0.00	6.98	0.00	6.98
05/07/2018	#00009029533 VARIOUS MCCLLOUD	FIDELITY ADVISOR ENERGY CL A	0.00	1.09	95.0	0.00	1.04	0.00	1.04
05/07/2018	#00009034826 STOUT, JOHN	FIDELITY ADVISOR ENERGY CL A	0.00	9.26	95.0	0.00	8.80	0.00	8.80
05/07/2018	#00063304257 VARIOUS MCCLLOUD	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	10.19	95.0	0.00	9.68	0.00	9.68
05/07/2018	#00006857424 VARIOUS MCCLLOUD	FIDELITY ADVISOR HIGH INCOME ADVANT	0.00	2.93	95.0	0.00	2.78	0.00	2.78
05/07/2018	#00009029533 VARIOUS MCCLLOUD	FIDELITY ADVISOR HIGH INCOME ADVANT	0.00	5.61	95.0	0.00	5.33	0.00	5.33
05/09/2018	# FULKS, MARK	DAIN TRAILER	0.00	2.81	95.0	0.00	2.67	0.00	2.67
05/09/2018	# FULKS, MARK	DAIN TRAILER	0.00	3.27	95.0	0.00	3.11	0.00	3.11
05/09/2018	# FULKS, MARK	DAIN TRAILER	0.00	3.69	95.0	0.00	3.51	0.00	3.51
05/09/2018	# FULKS, CHAD	DAIN TRAILER	0.00	0.71	95.0	0.00	0.67	0.00	0.67
05/09/2018	# FULKS, CHAD	DAIN TRAILER	0.00	4.16	95.0	0.00	3.95	0.00	3.95
05/09/2018	# FULKS, CHAD	DAIN TRAILER	0.00	1.33	95.0	0.00	1.26	0.00	1.26
05/09/2018	# FULKS, CHAD	DAIN TRAILER	0.00	5.23	95.0	0.00	4.97	0.00	4.97
05/09/2018	# FULKS, CHAD	DAIN TRAILER	0.00	5.89	95.0	0.00	5.60	0.00	5.60
05/09/2018	# FULKS, BLAKE	DAIN TRAILER	0.00	0.68	95.0	0.00	0.65	0.00	0.65
05/09/2018	# FULKS, BLAKE	DAIN TRAILER	0.00	0.86	95.0	0.00	0.82	0.00	0.82
05/09/2018	# FULKS, BLAKE	DAIN TRAILER	0.00	0.83	95.0	0.00	0.79	0.00	0.79
05/09/2018	# BUSHEY, MICHELLE	DAIN TRAILER	0.00	1.89	95.0	0.00	1.80	0.00	1.80
05/09/2018	# BUSHEY, MICHELLE	DAIN TRAILER	0.00	2.47	95.0	0.00	2.35	0.00	2.35
05/09/2018	# BUSHEY, MICHELLE	DAIN TRAILER	0.00	2.87	95.0	0.00	2.73	0.00	2.73

COMMISSION STATEMENT for EDWARD MCCLLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018 #	KELLER, MARY	DAIN TRAILER	0.00	38.21	95.0	0.00	36.30	0.00	36.30
05/09/2018 #	KELLER, MARY	DAIN TRAILER	0.00	36.39	95.0	0.00	34.57	0.00	34.57
05/09/2018 #	KELLER, MARY	DAIN TRAILER	0.00	38.52	95.0	0.00	36.59	0.00	36.59
05/09/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	37.47	95.0	0.00	35.60	0.00	35.60
05/09/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	46.48	95.0	0.00	44.16	0.00	44.16
05/09/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	51.88	95.0	0.00	49.29	0.00	49.29
05/09/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	46.44	95.0	0.00	44.12	0.00	44.12
05/09/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	12.65	95.0	0.00	12.02	0.00	12.02
05/09/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	17.28	95.0	0.00	16.42	0.00	16.42
05/09/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	17.07	95.0	0.00	16.22	0.00	16.22
05/09/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	24.90	95.0	0.00	23.66	0.00	23.66
05/09/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	17.91	95.0	0.00	17.01	0.00	17.01
05/09/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	11.41	95.0	0.00	10.84	0.00	10.84
05/09/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	20.16	95.0	0.00	19.15	0.00	19.15
05/09/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	8.30	95.0	0.00	7.89	0.00	7.89
05/09/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	7.39	95.0	0.00	7.02	0.00	7.02
05/09/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	1.46	95.0	0.00	1.39	0.00	1.39
05/09/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	11.62	95.0	0.00	11.04	0.00	11.04
05/09/2018 #	HAWKINS, M FAYE	DAIN TRAILER	0.00	21.04	95.0	0.00	19.99	0.00	19.99
05/09/2018 #	HOEFlicker, SHARON	DAIN TRAILER	0.00	14.22	95.0	0.00	13.51	0.00	13.51
05/09/2018 #	BURBEE, STEVE	DAIN TRAILER	0.00	33.61	95.0	0.00	31.93	0.00	31.93
05/09/2018 #	BURBEE, STEVE	DAIN TRAILER	0.00	17.53	95.0	0.00	16.65	0.00	16.65
05/09/2018 #	BURBEE, CAROLYNN	DAIN TRAILER	0.00	19.12	95.0	0.00	18.16	0.00	18.16
05/09/2018 #	BURBEE, CAROLYNN	DAIN TRAILER	0.00	14.64	95.0	0.00	13.91	0.00	13.91
05/09/2018 #	BURBEE, CAROLYNN	DAIN TRAILER	0.00	27.26	95.0	0.00	25.90	0.00	25.90
05/09/2018 #	BURBEE, CAROLYNN	DAIN TRAILER	0.00	8.61	95.0	0.00	8.18	0.00	8.18
05/09/2018 #	BURBEE, CAROLYNN	DAIN TRAILER	0.00	5.85	95.0	0.00	5.56	0.00	5.56
05/09/2018 #	BURBEE, CAROLYNN	DAIN TRAILER	0.00	5.38	95.0	0.00	5.11	0.00	5.11
05/09/2018 #	ELLIOTT, VICKI	DAIN TRAILER	0.00	1.54	95.0	0.00	1.46	0.00	1.46
05/09/2018 #	ELLIOTT, VICKI	DAIN TRAILER	0.00	2.02	95.0	0.00	1.92	0.00	1.92
05/09/2018 #	ELLIOTT, VICKI	DAIN TRAILER	0.00	0.82	95.0	0.00	0.78	0.00	0.78
05/09/2018 #	SHULL, ROSS	DAIN TRAILER	0.00	5.16	95.0	0.00	4.90	0.00	4.90
05/09/2018 #	SHULL, ROSS	DAIN TRAILER	0.00	5.86	95.0	0.00	5.57	0.00	5.57
05/09/2018 #	SHULL, ROBERT	DAIN TRAILER	0.00	6.32	95.0	0.00	6.00	0.00	6.00
05/09/2018 #	SHULL, ROBERT	DAIN TRAILER	0.00	11.98	95.0	0.00	11.38	0.00	11.38
05/09/2018 #	MCCLLOUD, JOHN	DAIN TRAILER	0.00	74.11	95.0	0.00	70.40	0.00	70.40

COMMISSION STATEMENT for EDWARD MCCLLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	# RIGGS, KEVIN	DAIN TRAILER	0.00	17.35	95.0	0.00	16.48	0.00	16.48
05/09/2018	# RIGGS, KEVIN	DAIN TRAILER	0.00	20.05	95.0	0.00	19.05	0.00	19.05
05/09/2018	# RIGGS, KEVIN	DAIN TRAILER	0.00	87.49	95.0	0.00	83.12	0.00	83.12
05/09/2018	# REDFORD, FRANCES	DAIN TRAILER	0.00	4.69	95.0	0.00	4.46	0.00	4.46
05/09/2018	# REDFORD, FRANCES	DAIN TRAILER	0.00	7.50	95.0	0.00	7.13	0.00	7.13
05/09/2018	# REDFORD, FRANCES	DAIN TRAILER	0.00	7.92	95.0	0.00	7.52	0.00	7.52
05/09/2018	# REDFORD, FRANCES	DAIN TRAILER	0.00	282.08	95.0	0.00	267.98	0.00	267.98
05/09/2018	# POINTER, ANDREW	DAIN TRAILER	0.00	4.89	95.0	0.00	4.65	0.00	4.65
05/09/2018	# POINTER, ANDREW	DAIN TRAILER	0.00	1.53	95.0	0.00	1.45	0.00	1.45
05/09/2018	# WHEELER, JEANIE	DAIN TRAILER	0.00	2.50	95.0	0.00	2.38	0.00	2.38
05/09/2018	# AHRENS, DARRELL	DAIN TRAILER	0.00	3.37	95.0	0.00	3.20	0.00	3.20
05/09/2018	# AHRENS, DARRELL	DAIN TRAILER	0.00	10.26	95.0	0.00	9.75	0.00	9.75
05/09/2018	# AHRENS, DARRELL	DAIN TRAILER	0.00	5.73	95.0	0.00	5.44	0.00	5.44
05/09/2018	# T CHURCH, FIRST UNITED ME	DAIN TRAILER	0.00	19.33	95.0	0.00	18.36	0.00	18.36
05/09/2018	# T CHURCH, FIRST UNITED ME	DAIN TRAILER	0.00	5.56	95.0	0.00	5.28	0.00	5.28
05/09/2018	# T CHURCH, FIRST UNITED ME	DAIN TRAILER	0.00	4.18	95.0	0.00	3.97	0.00	3.97
05/09/2018	# CAMPBELL, VIRGINIA	DAIN TRAILER	0.00	59.12	95.0	0.00	56.16	0.00	56.16
05/09/2018	# CAMPBELL, HAROLD	DAIN TRAILER	0.00	16.23	95.0	0.00	15.42	0.00	15.42
05/09/2018	# CAMPBELL, HAROLD	DAIN TRAILER	0.00	48.95	95.0	0.00	46.50	0.00	46.50
05/09/2018	# POINTER, JILLIAN	DAIN TRAILER	0.00	1.48	95.0	0.00	1.41	0.00	1.41
05/09/2018	# POINTER, JILLIAN	DAIN TRAILER	0.00	2.16	95.0	0.00	2.05	0.00	2.05
05/09/2018	# SWITALA, DONALD	DAIN TRAILER	0.00	41.33	95.0	0.00	39.26	0.00	39.26
05/09/2018	# BARRETH, PAMELA	DAIN TRAILER	0.00	24.84	95.0	0.00	23.60	0.00	23.60
05/09/2018	# BARRETH, PAMELA	DAIN TRAILER	0.00	45.30	95.0	0.00	43.04	0.00	43.04
05/09/2018	# SCHROER, MARK	DAIN TRAILER	0.00	18.44	95.0	0.00	17.52	0.00	17.52
05/09/2018	# SCHROER, MARK	DAIN TRAILER	0.00	1.97	95.0	0.00	1.87	0.00	1.87
05/09/2018	# SCHROER, MARK	DAIN TRAILER	0.00	5.63	95.0	0.00	5.35	0.00	5.35
05/09/2018	# SCHROER, MARK	DAIN TRAILER	0.00	4.25	95.0	0.00	4.04	0.00	4.04
05/09/2018	# HAWKINS, M FAYE	DAIN TRAILER	0.00	14.75	95.0	0.00	14.01	0.00	14.01
05/09/2018	# FULKS, MARK	DAIN TRAILER	0.00	199.30	95.0	0.00	189.34	0.00	189.34
05/09/2018	# RARDON, SHARON	DAIN TRAILER	0.00	199.31	95.0	0.00	189.34	0.00	189.34
05/09/2018	# MCCUE, LARRY	DAIN TRAILER	0.00	16.69	95.0	0.00	15.86	0.00	15.86
05/09/2018	# MCCUE, LARRY	DAIN TRAILER	0.00	16.73	95.0	0.00	15.89	0.00	15.89
05/09/2018	# MCCUE, LARRY	DAIN TRAILER	0.00	18.58	95.0	0.00	17.65	0.00	17.65
05/09/2018	# MCCUE, LARRY	DAIN TRAILER	0.00	18.88	95.0	0.00	17.94	0.00	17.94
05/09/2018	# MCGLAUGHLIN, TEMPE	DAIN TRAILER	0.00	9.82	95.0	0.00	9.33	0.00	9.33

COMMISSION STATEMENT for EDWARD MCCLLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
05/09/2018 #	MCGLAUGHLIN, TEMPE	DAIN TRAILER	0.00	16.45	95.0	0.00	15.63	0.00	15.63
05/09/2018 #	BUSHEY, STEFAN	DAIN TRAILER	0.00	0.82	95.0	0.00	0.78	0.00	0.78
05/09/2018 #	BUSHEY, STEFAN	DAIN TRAILER	0.00	0.73	95.0	0.00	0.69	0.00	0.69
05/09/2018 #	BUSHEY, STEFAN	DAIN TRAILER	0.00	1.13	95.0	0.00	1.07	0.00	1.07
05/09/2018 #	STOUT, OLIVE	DAIN TRAILER	0.00	0.33	95.0	0.00	0.31	0.00	0.31
05/11/2018 #	RARDON, SHARON	DAIN TRAILER	2,636.45	26.36	95.0	0.00	25.04	0.00	25.04
05/29/2018 #	BUSHEY, STEFAN	DAIN TRAILER	167.00	8.35	95.0	0.00	7.93	0.00	7.93
05/29/2018 #	BUSHEY, STEFAN	DAIN TRAILER	167.00	8.35	95.0	0.00	7.93	0.00	7.93
05/29/2018 #	POINTER, ANDREW	DAIN TRAILER	200.04	8.50	95.0	0.00	8.08	0.00	8.08
05/29/2018 #	POINTER, JILLIAN	DAIN TRAILER	165.03	8.25	95.0	0.00	7.84	0.00	7.84
05/29/2018 #	POINTER, JILLIAN	DAIN TRAILER	165.03	8.25	95.0	0.00	7.84	0.00	7.84
05/29/2018 #	BUSHEY, STEFAN	DAIN TRAILER	167.00	7.93	95.0	0.00	7.53	0.00	7.53
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$3,667.55	\$3,639.31		\$0.00	\$3,457.41	\$0.00	\$3,457.41
*** BROKER TRANSACTIONS TOTAL ***			\$62,368.29	\$6,272.03		\$0.00	\$5,958.57	\$39.90	\$5,918.67

OVERRIDE TRANSACTIONS

HERRON, CYNTHIA	7,061.31	106.19
*** OVERRIDE TRANSACTIONS TOTAL ***	\$7,061.31	\$106.19

BROKER COMMISSION TOTALS

Broker Transactions	\$5,918.67
Split Transactions	\$0.00
Override Transactions	\$106.19
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,024.86
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