

COMMISSION STATEMENT**January 05, 2018**

TRACY CUDA
 3200 N. Central Ave
 Suite 200
 Phoenix, AZ 85012

BROKER #: 73670
 BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities America**BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

01/05/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-165.00	0.00	-165.00	-60.31
01/05/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	339.77	0.00	339.77	124.19
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$174.77</u>	<u>\$0.00</u>	<u>\$174.77</u>	<u>\$63.88</u>

PRODUCT CATEGORY: TRAILERS

01/05/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	264.63	0.00	264.63	96.72
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$264.63</u>	<u>\$0.00</u>	<u>\$264.63</u>	<u>\$96.72</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$439.40</u>	<u>\$0.00</u>	<u>\$439.40</u>	<u>\$160.60</u>

OVERRIDE TRANSACTIONS**BROKER: JODI GALLOVICH #73712**

01/05/2018	GALLOVICH (73712), JODI	SAI PERSHING CHARGES	0.00	-50.06	0.00	-50.06	-6.73
01/05/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	321.61	0.00	321.61	43.26
01/05/2018	GALLOVICH (73712), JODI	SAI SECURITIES INCOME	0.00	70.00	0.00	70.00	9.42
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$341.55</u>	<u>\$0.00</u>	<u>\$341.55</u>	<u>\$45.95</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$341.55</u>	<u>\$0.00</u>	<u>\$341.55</u>	<u>\$45.95</u>

COMMISSION STATEMENT for TRACY CUDA

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Company: Securities America (continued)

ADJUSTMENTS

13. SAI SIPC FOR P/E 12/28/2017							-1.90
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-1.90

BROKER COMMISSION TOTALS

Broker Transactions	\$160.60
Split Transactions	\$0.00
Override Transactions	\$45.95
Adjustments	\$-1.90
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$204.65
Year-to-date Earnings	\$204.65