

COMMISSION STATEMENT

August 31, 2018

JOHN BOYCE
15408 DUNCAN HILL ROADBROKER #: C15 P7N
BRANCH: HOME OFFICE

BULTER, MD 21023

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

08/10/2018	75500360	BOYCE, ANN HAGERTY	NETFLIX COM INC	S	17,339.51	25.00	10.00	15.00	70.0	7.50
08/15/2018	75500360	BOYCE, ANN HAGERTY	ALCOA CORPORATION COMMON STOCK	S	12,639.06	50.00	10.00	40.00	70.0	25.00
08/27/2018	75500360	BOYCE, ANN HAGERTY	NORBORD INC	B	12,900.00	25.00	10.00	15.00	70.0	7.50
08/08/2018	75500361	BOYCE, JOHN C	HUYA INC ADS REPSTG CL A SHS ISIN#U S		18,569.94	50.00	10.00	40.00	70.0	25.00
08/08/2018	75500361	BOYCE, JOHN C	IQIYI INC SPONS ADR ISIN#US46267X10 S		37,611.47	50.00	10.00	40.00	70.0	25.00
08/14/2018	75500361	BOYCE, JOHN C	IQIYI INC SPONS ADR ISIN#US46267X10 S		5,381.08	30.00	10.00	20.00	70.0	11.00
08/15/2018	75500361	BOYCE, JOHN C	CISCO SYS INC	S	10,840.43	30.00	10.00	20.00	70.0	11.00
08/15/2018	75500361	BOYCE, JOHN C	DELEK US HOLDINGS INC COMMON STOCK	S	14,557.14	40.00	10.00	30.00	70.0	18.00
08/15/2018	75500361	BOYCE, JOHN C	FMC CORP NEW	S	8,370.56	25.00	10.00	15.00	70.0	7.50
08/15/2018	75500361	BOYCE, JOHN C	MARATHON OIL CORP	S	19,833.00	50.00	10.00	40.00	70.0	25.00
08/27/2018	75500361	BOYCE, JOHN C	ADVANCED MICRO DEVICES INC	B	25,356.75	30.00	10.00	20.00	70.0	11.00
08/27/2018	75500361	BOYCE, JOHN C	NETFLIX COM INC	B	36,829.50	30.00	10.00	20.00	70.0	11.00
08/08/2018	75500365	BOYCE, ANN HAGERTY	BAOZUN INC AMERICAN DEPOSITARY SHAR S		7,800.97	25.00	10.00	15.00	70.0	7.50
08/24/2018	75500365	BOYCE, ANN HAGERTY	AVX CORP NEW	B	7,758.12	30.00	10.00	20.00	70.0	11.00
08/14/2018	75500366	BOYCE, JOHN C	IQIYI INC SPONS ADR ISIN#US46267X10 S		12,640.84	30.00	10.00	20.00	70.0	11.00
08/15/2018	75500366	BOYCE, JOHN C	ADVANCED MICRO DEVICES INC	S	19,325.00	50.00	10.00	40.00	70.0	25.00
08/15/2018	75500366	BOYCE, JOHN C	TENCENT HOLDINGS LIMITED UNSPONSORE S		20,805.00	100.00	10.00	90.00	70.0	60.00
08/16/2018	75500366	BOYCE, JOHN C	ALIBABA GROUP HOLDING LTD SPONSORED B		19,094.13	40.00	10.00	30.00	70.0	18.00
08/16/2018	75500366	BOYCE, JOHN C	FACEBOOK INC CL A	B	18,025.25	40.00	10.00	30.00	70.0	18.00
08/24/2018	75500366	BOYCE, JOHN C	ADVANCED MICRO DEVICES INC	B	15,482.36	30.00	10.00	20.00	70.0	11.00
08/24/2018	75500366	BOYCE, JOHN C	AVX CORP NEW	B	12,533.10	30.00	10.00	20.00	70.0	11.00
08/24/2018	75500366	BOYCE, JOHN C	VANECK VECTORS ETF TR JR GOLD MINER S		13,587.28	30.00	10.00	20.00	70.0	11.00
08/08/2018	75500368	PETR, THOMAS	BAOZUN INC AMERICAN DEPOSITARY SHAR S		167,460.00	180.00	10.00	170.00	70.0	116.00
08/08/2018	75500368	PETR, THOMAS	ELECTRONIC ARTS INC	S	382,980.30	200.00	10.00	190.00	70.0	130.00
08/08/2018	75500368	PETR, THOMAS	HUYA INC ADS REPSTG CL A SHS ISIN#U S		155,264.68	300.00	10.00	290.00	70.0	200.00
08/08/2018	75500368	PETR, THOMAS	IQIYI INC SPONS ADR ISIN#US46267X10 S		439,930.08	900.00	10.00	890.00	70.0	620.00
08/08/2018	75500368	PETR, THOMAS	IQIYI INC SPONS ADR ISIN#US46267X10 S		288,940.00	600.00	10.00	590.00	70.0	410.00
08/08/2018	75500368	PETR, THOMAS	NETFLIX COM INC	S	351,121.00	70.00	10.00	60.00	70.0	39.00
08/13/2018	75500368	PETR, THOMAS	BAOZUN INC AMERICAN DEPOSITARY SHAR S		263,500.50	300.00	10.00	290.00	70.0	200.00
08/13/2018	75500368	PETR, THOMAS	NETFLIX COM INC	S	345,554.52	60.00	10.00	50.00	70.0	32.00
08/15/2018	75500368	PETR, THOMAS	BILIBILI INC ADS REPSTG SHS CL Z IS S		45,609.50	300.00	10.00	290.00	70.0	200.00

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
08/15/2018	75500368	PETR, THOMAS	HUYA INC ADS REPSTG CL A SHS ISIN#U S	123,529.00	300.00	10.00	290.00	70.0	200.00
08/15/2018	75500368	PETR, THOMAS	IQIYI INC SPONS ADR ISIN#US46267X10 S	506,200.00	1,200.00	10.00	1,190.00	70.0	830.00
08/15/2018	75500368	PETR, THOMAS	NETFLIX COM INC S	967,839.90	180.00	10.00	170.00	70.0	116.00
08/24/2018	75500368	PETR, THOMAS	ADVANCED MICRO DEVICES INC B	114,574.93	300.00	10.00	290.00	70.0	200.00
08/24/2018	75500368	PETR, THOMAS	AVX CORP NEW B	145,932.26	420.00	10.00	410.00	70.0	284.00
08/27/2018	75500368	PETR, THOMAS	ADVANCED MICRO DEVICES INC B	126,537.00	300.00	10.00	290.00	70.0	200.00
08/27/2018	75500368	PETR, THOMAS	ATTUNITY LTD B	215,398.00	600.00	10.00	590.00	70.0	410.00
08/29/2018	75500368	PETR, THOMAS	ADOBE SYSTEMS INC B	536,787.10	120.00	10.00	110.00	70.0	74.00
08/29/2018	75500368	PETR, THOMAS	APPLE INC B	442,649.20	120.00	10.00	110.00	70.0	74.00
08/29/2018	75500368	PETR, THOMAS	MASTERCARD INCORPORATED B	428,996.68	120.00	10.00	110.00	70.0	74.00
08/29/2018	75500368	PETR, THOMAS	VISA INC CL A COMMON STOCK B	293,819.80	120.00	10.00	110.00	70.0	74.00
08/06/2018	75500385	HUBARD, JOHN	CITIGROUP INC COM B	120,000.00	1,133.67	10.00	1,123.67	70.0	783.57
08/06/2018	75500385	HUBARD, JOHN	CITIGROUP INC COM S	-120,000.00	-1,133.67	-10.00	-1,123.67	70.0	-783.57 C
08/06/2018	75500385	HUBARD, JOHN	CITIGROUP INC COM B	120,000.00	70.00	10.00	60.00	70.0	39.00
08/15/2018	75500413	RUSSELL, WILLIAM	IQIYI INC SPONS ADR ISIN#US46267X10 S	6,532.75	30.00	10.00	20.00	70.0	11.00
08/01/2018	75500424	JOHN B HUBARD TTEE	MACQUARIE INFRASTRUCTURE CORPORATIO B	22,582.25	70.00	10.00	60.00	70.0	39.00
08/15/2018	75500443	GOOD, TIMOTHY	BAOZUN INC AMERICAN DEPOSITARY SHAR S	47,327.30	70.00	10.00	60.00	70.0	39.00
08/15/2018	75500443	GOOD, TIMOTHY	TENCENT HOLDINGS LIMITED UNSPONSORE S	8,328.20	50.00	10.00	40.00	70.0	25.00
08/17/2018	75500443	GOOD, TIMOTHY	U S CONCRETE INC COM NEW B	24,000.00	315.00	10.00	305.00	70.0	210.50
08/29/2018	75500443	GOOD, TIMOTHY	ILLUMINA INC B	17,506.50	50.00	10.00	40.00	70.0	25.00
08/29/2018	75500443	GOOD, TIMOTHY	NVIDIA CORP B	27,835.17	70.00	10.00	60.00	70.0	39.00
08/06/2018	75500445	GOOD, TIMOTHY	ENPHASE ENERGY INC B	4,296.75	60.00	10.00	50.00	70.0	32.00
08/06/2018	75500445	GOOD, TIMOTHY	HUDSON TECHNOLOGIES INC B	9,349.50	75.00	10.00	65.00	70.0	42.50
08/06/2018	75500445	GOOD, TIMOTHY	INNERWORKINGS INC S	15,529.00	75.00	10.00	65.00	70.0	42.50
08/15/2018	75500525	BADEN, JOHN	ALIBABA GROUP HOLDING LTD SPONSORED S	8,361.63	60.00	10.00	50.00	70.0	32.00
08/21/2018	75500525	BADEN, JOHN	IQIYI INC SPONS ADR ISIN#US46267X10 B	1,449.50	45.00	10.00	35.00	70.0	21.50
08/15/2018	75501338	BOYCE, ROGER F	BAOZUN INC AMERICAN DEPOSITARY SHAR S	9,579.88	40.00	10.00	30.00	70.0	18.00
08/15/2018	75501338	BOYCE, ROGER F	IQIYI INC SPONS ADR ISIN#US46267X10 S	5,221.66	40.00	10.00	30.00	70.0	18.00
08/16/2018	75502209	JOHN C G BOYCE JR	BLACKSTONE GROUP L P (THE) COMMON U S	8,637.05	125.00	10.00	115.00	70.0	77.50
08/16/2018	75502209	JOHN C G BOYCE JR	PJT PARTNERS INC CLASS A COM S	237.60	12.00	10.00	2.00	70.0	-1.60
08/16/2018	75502209	JOHN C G BOYCE JR	VERIZON COMMUNICATIONS S	25,250.00	150.00	10.00	140.00	70.0	95.00
07/30/2018	QGV002942	ASSOCIATES, F/A/O	BROADRIDGE FINANCIAL SOLUTIONS INC S	-38,528.87	13.60	10.00	3.60	70.0	-0.48
07/30/2018	QGV002942	ASSOCIATES, F/A/O	GENERAL MILLS INC B	47,805.14	42.00	10.00	32.00	70.0	19.40
07/30/2018	QGV002942	ASSOCIATES, F/A/O	ICU MEDICAL INC S	-114,910.45	16.20	10.00	6.20	70.0	1.34
07/30/2018	QGV002942	ASSOCIATES, F/A/O	LEGGETT & PLATT INC B	41,322.40	37.60	10.00	27.60	70.0	16.32
07/30/2018	QGV002942	ASSOCIATES, F/A/O	UNITED BANKSHARES INC W VA COM B	40,120.89	42.40	10.00	32.40	70.0	19.68
07/30/2018	QGV002942	ASSOCIATES, F/A/O	WILLIAMS COMPANIES (DEL) B	44,100.00	60.00	10.00	50.00	70.0	32.00
08/06/2018	QGV002942	ASSOCIATES, F/A/O	AMGEN INC B	3,956.20	0.80	10.00	-9.20	70.0	-9.44
08/06/2018	QGV002942	ASSOCIATES, F/A/O	BROADRIDGE FINANCIAL SOLUTIONS INC S	-5,201.33	1.80	10.00	-8.20	70.0	-8.74
08/06/2018	QGV002942	ASSOCIATES, F/A/O	GENERAL MILLS INC B	4,960.20	4.20	10.00	-5.80	70.0	-7.06

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/06/2018	QGV002942 ASSOCIATES, F/A/O	LEGGETT & PLATT INC	B	4,623.15	4.20	10.00	-5.80	70.0	-7.06
08/06/2018	QGV002942 ASSOCIATES, F/A/O	MCGRATH RENTCORP	S	-6,209.11	4.32	10.00	-5.68	70.0	-6.98
08/06/2018	QGV002942 ASSOCIATES, F/A/O	PORTLAND GEN ELEC CO COM NEW	S	-6,641.13	5.80	10.00	-4.20	70.0	-5.94
08/06/2018	QGV002942 ASSOCIATES, F/A/O	TIFFANY & CO NEW	B	21,674.74	6.40	10.00	-3.60	70.0	-5.52
08/06/2018	QGV002942 ASSOCIATES, F/A/O	UNION PACIFIC CORP	B	5,233.15	1.40	10.00	-8.60	70.0	-9.02
08/06/2018	QGV002942 ASSOCIATES, F/A/O	UNITED BANKSHARES INC W VA COM	B	6,835.93	7.20	10.00	-2.80	70.0	-4.96
08/06/2018	QGV002942 ASSOCIATES, F/A/O	WILLIAMS COMPANIES (DEL)	B	4,709.97	6.00	10.00	-4.00	70.0	-5.80
08/07/2018	QGV002942 ASSOCIATES, F/A/O	MCGRATH RENTCORP	S	-8,731.09	6.08	10.00	-3.92	70.0	-5.74
08/08/2018	QGV002942 ASSOCIATES, F/A/O	VISTEON CORP COM NEW	B	557,503.14	184.76	10.00	174.76	70.0	119.33
08/09/2018	QGV002942 ASSOCIATES, F/A/O	BROADRIDGE FINANCIAL SOLUTIONS INC	S	-5,060.56	1.56	10.00	-8.44	70.0	-8.91
08/09/2018	QGV002942 ASSOCIATES, F/A/O	BROADRIDGE FINANCIAL SOLUTIONS INC	S	-44,263.58	13.60	10.00	3.60	70.0	-0.48
08/09/2018	QGV002942 ASSOCIATES, F/A/O	DUN & BRADSTREET CORP DEL NEW COM	S	-193,886.95	54.20	10.00	44.20	70.0	27.94
08/10/2018	QGV002942 ASSOCIATES, F/A/O	KNOLL INC COM NEW	B	35,990.13	61.68	10.00	51.68	70.0	33.18
08/10/2018	QGV002942 ASSOCIATES, F/A/O	PERRIGO COMPANY PLC	S	-128,462.75	75.40	10.00	65.40	70.0	42.78
08/15/2018	QGV002942 ASSOCIATES, F/A/O	BROADRIDGE FINANCIAL SOLUTIONS INC	S	-117,215.60	36.20	10.00	26.20	70.0	15.34
08/15/2018	QGV002942 ASSOCIATES, F/A/O	FACEBOOK INC CL A	B	307,276.55	68.60	10.00	58.60	70.0	38.02
08/15/2018	QGV002942 ASSOCIATES, F/A/O	ONE GAS INC COM	B	182,115.60	93.20	10.00	83.20	70.0	55.24
08/15/2018	QGV002942 ASSOCIATES, F/A/O	TIFFANY & CO NEW	B	283,705.80	86.80	10.00	76.80	70.0	50.76
08/15/2018	QGV002942 ASSOCIATES, F/A/O	TRANSUNION COM	S	-286,943.75	155.00	10.00	145.00	70.0	98.50
08/15/2018	QGV002942 ASSOCIATES, F/A/O	UNION PACIFIC CORP	B	67,485.60	18.20	10.00	8.20	70.0	2.74
08/16/2018	QGV002942 ASSOCIATES, F/A/O	ONE GAS INC COM	B	47,118.00	24.00	10.00	14.00	70.0	6.80
08/17/2018	QGV002942 ASSOCIATES, F/A/O	CHEVRON CORPORATION	B	293,258.25	100.00	10.00	90.00	70.0	60.00
08/17/2018	QGV002942 ASSOCIATES, F/A/O	TIFFANY & CO NEW	S	-226,979.00	70.60	10.00	60.60	70.0	39.42
08/17/2018	QGV002942 ASSOCIATES, F/A/O	WILLIAMS COMPANIES (DEL)	B	323,238.92	426.40	10.00	416.40	70.0	288.48
08/21/2018	QGV002942 ASSOCIATES, F/A/O	SEMTECH CORP	B	123,387.70	98.40	10.00	88.40	70.0	58.88
08/22/2018	QGV002942 ASSOCIATES, F/A/O	TIFFANY & CO NEW	S	-21,005.58	6.40	10.00	-3.60	70.0	-5.52
08/22/2018	QGV002942 ASSOCIATES, F/A/O	TIFFANY & CO NEW	S	-182,376.48	55.60	10.00	45.60	70.0	28.92
08/28/2018	QGV002942 ASSOCIATES, F/A/O	ANDEAVOR LOGISTICS LP COM UNIT LTD	S	-861,634.25	692.44	10.00	682.44	70.0	474.71
08/28/2018	QGV002942 ASSOCIATES, F/A/O	ENERGY TRANSFER PARTNERS L P	S	-1,514,975.76	2,656.00	10.00	2,646.00	70.0	1,849.20
08/28/2018	QGV002942 ASSOCIATES, F/A/O	ENTERPRISE PRODUCTS PARTNERS LP	S	-1,957,986.42	2,683.80	10.00	2,673.80	70.0	1,868.66
08/28/2018	QGV002942 ASSOCIATES, F/A/O	EQT GP HLDGS LP COM UNIT REPSTG LTD	S	-309,983.50	577.88	10.00	567.88	70.0	394.52
08/28/2018	QGV002942 ASSOCIATES, F/A/O	EQT MIDSTREAM PARTNERS L P UNIT LTD	S	-777,910.44	545.20	10.00	535.20	70.0	371.64
08/28/2018	QGV002942 ASSOCIATES, F/A/O	KINDER MORGAN INC	S	-1,181,416.09	1,319.90	10.00	1,309.90	70.0	913.93
08/28/2018	QGV002942 ASSOCIATES, F/A/O	MAGELLAN MIDSTREAM PARTNERS LP UNIT	S	-1,239,371.99	714.32	10.00	704.32	70.0	490.02
08/28/2018	QGV002942 ASSOCIATES, F/A/O	MPLX LP COM UNIT REPSTG LTD PARTNER	S	-1,456,393.54	1,615.20	10.00	1,605.20	70.0	1,120.64
08/28/2018	QGV002942 ASSOCIATES, F/A/O	ONEOK INC	S	-1,670,716.04	989.04	10.00	979.04	70.0	682.33
08/28/2018	QGV002942 ASSOCIATES, F/A/O	PLAINS ALL AMERICAN PIPELINE L P UN	S	-939,800.22	1,423.60	10.00	1,413.60	70.0	986.52
08/28/2018	QGV002942 ASSOCIATES, F/A/O	SPECTRA ENERGY PARTNERS LP	S	-1,242,792.21	1,278.08	10.00	1,268.08	70.0	884.66
08/28/2018	QGV002942 ASSOCIATES, F/A/O	VALERO ENERGY PARTNERS LP COM UNIT	S	-472,848.57	509.52	10.00	499.52	70.0	346.66
08/28/2018	QGV002942 ASSOCIATES, F/A/O	WESTERN GAS EQUITY PARTNERS LP COM	S	-287,981.45	328.96	10.00	318.96	70.0	220.27

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/28/2018	QGV002942 ASSOCIATES, F/A/O	WESTERN GAS PARTNERS LP COM UNIT RE S		-835,327.42	650.64	10.00	640.64	70.0	445.45
08/28/2018	QGV002942 ASSOCIATES, F/A/O	WILLIAMS COMPANIES (DEL) S		-2,055,658.14	2,723.24	10.00	2,713.24	70.0	1,896.27
08/29/2018	QGV002942 ASSOCIATES, F/A/O	ANDEAVOR LOGISTICS LP COM UNIT LTD S		-223,252.53	179.96	10.00	169.96	70.0	115.97
08/29/2018	QGV002942 ASSOCIATES, F/A/O	EQT GP HLDGS LP COM UNIT REPSTG LTD S		-132,836.21	250.16	10.00	240.16	70.0	165.11
08/29/2018	QGV002942 ASSOCIATES, F/A/O	EQT MIDSTREAM PARTNERS L P UNIT LTD S		-623,160.17	441.88	10.00	431.88	70.0	299.32
08/29/2018	QGV002942 ASSOCIATES, F/A/O	MAGELLAN MIDSTREAM PARTNERS LP UNIT S		-626,639.67	364.68	10.00	354.68	70.0	245.28
08/29/2018	QGV002942 ASSOCIATES, F/A/O	MPLX LP COM UNIT REPSTG LTD PARTNER S		-295,790.70	331.00	10.00	321.00	70.0	221.70
08/29/2018	QGV002942 ASSOCIATES, F/A/O	SPECTRA ENERGY PARTNERS LP S		-254,207.20	263.52	10.00	253.52	70.0	174.46
08/29/2018	QGV002942 ASSOCIATES, F/A/O	VALERO ENERGY PARTNERS LP COM UNIT S		-160,950.25	176.28	10.00	166.28	70.0	113.40
08/29/2018	QGV002942 ASSOCIATES, F/A/O	WESTERN GAS EQUITY PARTNERS LP COM S		-249,004.12	289.08	10.00	279.08	70.0	192.36
08/29/2018	QGV002942 ASSOCIATES, F/A/O	WESTERN GAS PARTNERS LP COM UNIT RE S		-557,837.06	442.64	10.00	432.64	70.0	299.85
07/30/2018	QGV002959 PERSHING LLC	BROADRIDGE FINANCIAL SOLUTIONS INC S		-14,731.63	5.20	10.00	-4.80	70.0	-6.36
07/30/2018	QGV002959 PERSHING LLC	GENERAL MILLS INC B		18,666.77	16.40	10.00	6.40	70.0	1.48
07/30/2018	QGV002959 PERSHING LLC	ICU MEDICAL INC S		-123,422.33	17.40	10.00	7.40	70.0	2.18
07/30/2018	QGV002959 PERSHING LLC	LEGGETT & PLATT INC B		16,265.20	14.80	10.00	4.80	70.0	0.36
07/30/2018	QGV002959 PERSHING LLC	UNITED BANKSHARES INC W VA COM B		15,518.46	16.40	10.00	6.40	70.0	1.48
07/30/2018	QGV002959 PERSHING LLC	WILLIAMS COMPANIES (DEL) B		17,052.00	23.20	10.00	13.20	70.0	6.24
08/06/2018	QGV002959 PERSHING LLC	AMGEN INC B		167,149.45	33.80	10.00	23.80	70.0	13.66
08/06/2018	QGV002959 PERSHING LLC	BROADRIDGE FINANCIAL SOLUTIONS INC S		-163,552.78	56.60	10.00	46.60	70.0	29.62
08/06/2018	QGV002959 PERSHING LLC	GENERAL MILLS INC B		192,975.40	163.40	10.00	153.40	70.0	104.38
08/06/2018	QGV002959 PERSHING LLC	LEGGETT & PLATT INC B		169,295.35	153.80	10.00	143.80	70.0	97.66
08/06/2018	QGV002959 PERSHING LLC	MCGRATH RENTCORP S		-200,761.37	139.68	10.00	129.68	70.0	87.78
08/06/2018	QGV002959 PERSHING LLC	PORTLAND GEN ELEC CO COM NEW S		-216,409.25	189.00	10.00	179.00	70.0	122.30
08/06/2018	QGV002959 PERSHING LLC	TIFFANY & CO NEW B		726,780.99	214.60	10.00	204.60	70.0	140.22
08/06/2018	QGV002959 PERSHING LLC	UNION PACIFIC CORP B		202,597.84	54.20	10.00	44.20	70.0	27.94
08/06/2018	QGV002959 PERSHING LLC	UNITED BANKSHARES INC W VA COM B		240,017.17	252.80	10.00	242.80	70.0	166.96
08/06/2018	QGV002959 PERSHING LLC	WILLIAMS COMPANIES (DEL) B		186,514.81	237.60	10.00	227.60	70.0	156.32
08/07/2018	QGV002959 PERSHING LLC	MCGRATH RENTCORP S		-279,624.74	194.72	10.00	184.72	70.0	126.30
08/08/2018	QGV002959 PERSHING LLC	VISTEON CORP COM NEW B		149,665.27	49.60	10.00	39.60	70.0	24.72
08/09/2018	QGV002959 PERSHING LLC	BROADRIDGE FINANCIAL SOLUTIONS INC S		-168,296.00	51.88	10.00	41.88	70.0	26.32
08/09/2018	QGV002959 PERSHING LLC	BROADRIDGE FINANCIAL SOLUTIONS INC S		-18,226.18	5.60	10.00	-4.40	70.0	-6.08
08/10/2018	QGV002959 PERSHING LLC	KNOLL INC COM NEW B		137,775.43	236.12	10.00	226.12	70.0	155.28
08/13/2018	QGV002959 PERSHING LLC	VANGUARD SCOTTSDALE FDS VANGUARD RU B		2,471,073.60	640.00	10.00	630.00	70.0	438.00
08/15/2018	QGV002959 PERSHING LLC	ONE GAS INC COM B		206,345.57	105.60	10.00	95.60	70.0	63.92
08/17/2018	QGV002959 PERSHING LLC	CHEVRON CORPORATION B		78,593.21	26.80	10.00	16.80	70.0	8.76
08/17/2018	QGV002959 PERSHING LLC	TIFFANY & CO NEW S		-60,442.00	18.80	10.00	8.80	70.0	3.16
08/21/2018	QGV002959 PERSHING LLC	SEMTECH CORP B		115,362.48	92.00	10.00	82.00	70.0	54.40
08/22/2018	QGV002959 PERSHING LLC	TIFFANY & CO NEW S		-704,343.49	214.60	10.00	204.60	70.0	140.22
08/22/2018	QGV002959 PERSHING LLC	TIFFANY & CO NEW S		-70,851.29	21.60	10.00	11.60	70.0	5.12
08/28/2018	QGV002959 PERSHING LLC	ANDEAVOR LOGISTICS LP COM UNIT LTD S		-2,620,938.99	2,106.28	10.00	2,096.28	70.0	1,464.40

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
08/28/2018	QGV002959	PERSHING LLC		ENERGY TRANSFER PARTNERS L P	S	-4,580,520.08	8,030.40	10.00	8,020.40	70.0	5,611.28
08/28/2018	QGV002959	PERSHING LLC		ENTERPRISE PRODUCTS PARTNERS LP	S	-5,954,794.23	8,162.20	10.00	8,152.20	70.0	5,703.54
08/28/2018	QGV002959	PERSHING LLC		EQT GP HLDGS LP COM UNIT REPSTG LTD S		-937,245.74	1,747.24	10.00	1,737.24	70.0	1,213.07
08/28/2018	QGV002959	PERSHING LLC		EQT MIDSTREAM PARTNERS L P UNIT LTD S		-2,367,461.71	1,659.24	10.00	1,649.24	70.0	1,151.47
08/28/2018	QGV002959	PERSHING LLC		KINDER MORGAN INC	S	-3,592,761.61	4,013.90	10.00	4,003.90	70.0	2,799.73
08/28/2018	QGV002959	PERSHING LLC		MAGELLAN MIDSTREAM PARTNERS LP UNIT S		-3,747,333.99	2,159.80	10.00	2,149.80	70.0	1,501.86
08/28/2018	QGV002959	PERSHING LLC		MPLX LP COM UNIT REPSTG LTD PARTNER S		-4,403,516.58	4,883.68	10.00	4,873.68	70.0	3,408.58
08/28/2018	QGV002959	PERSHING LLC		ONEOK INC	S	-4,992,215.20	2,955.32	10.00	2,945.32	70.0	2,058.72
08/28/2018	QGV002959	PERSHING LLC		PLAINS ALL AMERICAN PIPELINE L P UN S		-2,859,802.29	4,332.00	10.00	4,322.00	70.0	3,022.40
08/28/2018	QGV002959	PERSHING LLC		SPECTRA ENERGY PARTNERS LP	S	-3,782,636.00	3,890.04	10.00	3,880.04	70.0	2,713.03
08/28/2018	QGV002959	PERSHING LLC		VALERO ENERGY PARTNERS LP COM UNIT S		-1,429,644.92	1,540.52	10.00	1,530.52	70.0	1,068.36
08/28/2018	QGV002959	PERSHING LLC		WESTERN GAS EQUITY PARTNERS LP COM S		-870,737.70	994.64	10.00	984.64	70.0	686.25
08/28/2018	QGV002959	PERSHING LLC		WESTERN GAS PARTNERS LP COM UNIT RE S		-2,461,304.10	1,917.12	10.00	1,907.12	70.0	1,331.98
08/28/2018	QGV002959	PERSHING LLC		WILLIAMS COMPANIES (DEL)	S	-6,078,988.22	8,053.16	10.00	8,043.16	70.0	5,627.21
08/29/2018	QGV002959	PERSHING LLC		ANDEAVOR LOGISTICS LP COM UNIT LTD S		-679,235.52	547.52	10.00	537.52	70.0	373.26
08/29/2018	QGV002959	PERSHING LLC		EQT GP HLDGS LP COM UNIT REPSTG LTD S		-401,609.70	756.32	10.00	746.32	70.0	519.42
08/29/2018	QGV002959	PERSHING LLC		EQT MIDSTREAM PARTNERS L P UNIT LTD S		-1,896,557.25	1,344.84	10.00	1,334.84	70.0	931.39
08/29/2018	QGV002959	PERSHING LLC		MAGELLAN MIDSTREAM PARTNERS LP UNIT S		-1,894,627.90	1,102.60	10.00	1,092.60	70.0	761.82
08/29/2018	QGV002959	PERSHING LLC		MPLX LP COM UNIT REPSTG LTD PARTNER S		-894,449.64	1,000.92	10.00	990.92	70.0	690.64
08/29/2018	QGV002959	PERSHING LLC		SPECTRA ENERGY PARTNERS LP	S	-773,811.67	802.16	10.00	792.16	70.0	551.51
08/29/2018	QGV002959	PERSHING LLC		VALERO ENERGY PARTNERS LP COM UNIT S		-486,685.51	533.04	10.00	523.04	70.0	363.13
08/29/2018	QGV002959	PERSHING LLC		WESTERN GAS EQUITY PARTNERS LP COM S		-752,973.01	874.16	10.00	864.16	70.0	601.91
08/29/2018	QGV002959	PERSHING LLC		WESTERN GAS PARTNERS LP COM UNIT RE S		-1,643,567.64	1,304.16	10.00	1,294.16	70.0	902.91
07/30/2018	QGV006034	BANK OF AMERICA		BROADRIDGE FINANCIAL SOLUTIONS INC	S	-2,833.01	1.00	10.00	-9.00	70.0	-9.30
07/30/2018	QGV006034	BANK OF AMERICA		GENERAL MILLS INC	B	2,276.44	2.00	10.00	-8.00	70.0	-8.60
07/30/2018	QGV006034	BANK OF AMERICA		ICU MEDICAL INC	S	-11,349.18	1.60	10.00	-8.40	70.0	-8.88
07/30/2018	QGV006034	BANK OF AMERICA		LEGGETT & PLATT INC	B	2,637.60	2.40	10.00	-7.60	70.0	-8.32
07/30/2018	QGV006034	BANK OF AMERICA		UNITED BANKSHARES INC W VA COM	B	7,191.48	7.60	10.00	-2.40	70.0	-4.68
07/30/2018	QGV006034	BANK OF AMERICA		WILLIAMS COMPANIES (DEL)	B	2,940.00	4.00	10.00	-6.00	70.0	-7.20
08/02/2018	QGV006034	BANK OF AMERICA		GENERAL MILLS INC	B	12,483.16	11.20	10.00	1.20	70.0	-2.16
08/02/2018	QGV006034	BANK OF AMERICA		LEGGETT & PLATT INC	B	15,754.60	14.80	10.00	4.80	70.0	0.36
08/02/2018	QGV006034	BANK OF AMERICA		MCGRATH RENTCORP	S	-40,420.20	27.60	10.00	17.60	70.0	9.32
08/02/2018	QGV006034	BANK OF AMERICA		UNITED BANKSHARES INC W VA COM	B	73,027.31	78.00	10.00	68.00	70.0	44.60
08/08/2018	QGV006034	BANK OF AMERICA		VISTEON CORP COM NEW	B	275,794.47	91.40	10.00	81.40	70.0	53.98
08/09/2018	QGV006034	BANK OF AMERICA		BROADRIDGE FINANCIAL SOLUTIONS INC	S	-3,254.68	1.00	10.00	-9.00	70.0	-9.30
08/15/2018	QGV006034	BANK OF AMERICA		ONE GAS INC COM	B	13,287.40	6.80	10.00	-3.20	70.0	-5.24
08/16/2018	QGV006034	BANK OF AMERICA		ONE GAS INC COM	B	7,853.00	4.00	10.00	-6.00	70.0	-7.20
08/17/2018	QGV006034	BANK OF AMERICA		CHEVRON CORPORATION	B	144,869.58	49.40	10.00	39.40	70.0	24.58
08/17/2018	QGV006034	BANK OF AMERICA		ISHARES EUROPE ETF	S	-1,324,398.00	1,200.00	10.00	1,190.00	70.0	830.00
08/17/2018	QGV006034	BANK OF AMERICA		ISHARES RUSSELL 1000 GROWTH ETF	S	-11,738,417.63	3,114.00	10.00	3,104.00	70.0	2,169.80

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/17/2018	QGV006034	BANK OF AMERICA	ISHARES RUSSELL 2000 GRO GROWTH IND S	-2,615,804.80	496.00	10.00	486.00	70.0	337.20
08/17/2018	QGV006034	BANK OF AMERICA	TIFFANY & CO NEW	S -112,525.00	35.00	10.00	25.00	70.0	14.50
08/21/2018	QGV006034	BANK OF AMERICA	SEMTECH CORP	B 11,034.67	8.80	10.00	-1.20	70.0	-3.84
08/22/2018	QGV006034	BANK OF AMERICA	TIFFANY & CO NEW	S -65,642.45	20.00	10.00	10.00	70.0	4.00
08/22/2018	QGV006034	BANK OF AMERICA	TIFFANY & CO NEW	S -11,808.55	3.60	10.00	-6.40	70.0	-7.48
08/28/2018	QGV006034	BANK OF AMERICA	ANDEAVOR LOGISTICS LP COM UNIT LTD S	-695,688.40	559.08	10.00	549.08	70.0	381.36
08/28/2018	QGV006034	BANK OF AMERICA	ENERGY TRANSFER PARTNERS L P	S -1,203,880.96	2,110.60	10.00	2,100.60	70.0	1,467.42
08/28/2018	QGV006034	BANK OF AMERICA	ENTERPRISE PRODUCTS PARTNERS LP	S -1,580,513.37	2,166.40	10.00	2,156.40	70.0	1,506.48
08/28/2018	QGV006034	BANK OF AMERICA	EQT GP HLDGS LP COM UNIT REPSTG LTD S	-249,690.45	465.48	10.00	455.48	70.0	315.84
08/28/2018	QGV006034	BANK OF AMERICA	EQT MIDSTREAM PARTNERS L P UNIT LTD S	-621,757.62	435.76	10.00	425.76	70.0	295.03
08/28/2018	QGV006034	BANK OF AMERICA	KINDER MORGAN INC	S -953,528.72	1,065.30	10.00	1,055.30	70.0	735.71
08/28/2018	QGV006034	BANK OF AMERICA	MAGELLAN MIDSTREAM PARTNERS LP UNIT S	-1,003,337.49	578.28	10.00	568.28	70.0	394.80
08/28/2018	QGV006034	BANK OF AMERICA	MPLX LP COM UNIT REPSTG LTD PARTNER S	-1,179,144.97	1,307.72	10.00	1,297.72	70.0	905.40
08/28/2018	QGV006034	BANK OF AMERICA	ONEOK INC	S -1,306,518.05	773.44	10.00	763.44	70.0	531.41
08/28/2018	QGV006034	BANK OF AMERICA	PLAINS ALL AMERICAN PIPELINE L P UN S	-758,917.06	1,149.60	10.00	1,139.60	70.0	794.72
08/28/2018	QGV006034	BANK OF AMERICA	SPECTRA ENERGY PARTNERS LP	S -992,537.92	1,020.72	10.00	1,010.72	70.0	704.50
08/28/2018	QGV006034	BANK OF AMERICA	VALERO ENERGY PARTNERS LP COM UNIT S	-382,867.03	412.56	10.00	402.56	70.0	278.79
08/28/2018	QGV006034	BANK OF AMERICA	WESTERN GAS EQUITY PARTNERS LP COM S	-233,039.47	266.20	10.00	256.20	70.0	176.34
08/28/2018	QGV006034	BANK OF AMERICA	WESTERN GAS PARTNERS LP COM UNIT RE S	-674,177.94	525.12	10.00	515.12	70.0	357.58
08/28/2018	QGV006034	BANK OF AMERICA	WILLIAMS COMPANIES (DEL)	S -1,650,994.13	2,187.16	10.00	2,177.16	70.0	1,521.01
08/29/2018	QGV006034	BANK OF AMERICA	ANDEAVOR LOGISTICS LP COM UNIT LTD S	-180,279.27	145.32	10.00	135.32	70.0	91.72
08/29/2018	QGV006034	BANK OF AMERICA	EQT GP HLDGS LP COM UNIT REPSTG LTD S	-107,008.13	201.52	10.00	191.52	70.0	131.06
08/29/2018	QGV006034	BANK OF AMERICA	EQT MIDSTREAM PARTNERS L P UNIT LTD S	-498,212.24	353.28	10.00	343.28	70.0	237.30
08/29/2018	QGV006034	BANK OF AMERICA	MAGELLAN MIDSTREAM PARTNERS LP UNIT S	-507,456.48	295.32	10.00	285.32	70.0	196.72
08/29/2018	QGV006034	BANK OF AMERICA	MPLX LP COM UNIT REPSTG LTD PARTNER S	-239,563.66	268.08	10.00	258.08	70.0	177.66
08/29/2018	QGV006034	BANK OF AMERICA	SPECTRA ENERGY PARTNERS LP	S -203,041.64	210.48	10.00	200.48	70.0	137.34
08/29/2018	QGV006034	BANK OF AMERICA	VALERO ENERGY PARTNERS LP COM UNIT S	-130,272.19	142.68	10.00	132.68	70.0	89.88
08/29/2018	QGV006034	BANK OF AMERICA	WESTERN GAS EQUITY PARTNERS LP COM S	-201,560.00	234.00	10.00	224.00	70.0	153.80
08/29/2018	QGV006034	BANK OF AMERICA	WESTERN GAS PARTNERS LP COM UNIT RE S	-450,161.30	357.20	10.00	347.20	70.0	240.04
* STOCKS SUBTOTAL *				***,***,***.**	\$122,643.58	\$2,180.00	\$120,463.58		\$83,670.51
<u>PRODUCT CATEGORY: OPTIONS</u>									
08/03/2018	75500360	BOYCE, ANN HAGERTY	PUT AAPL 01/18/19 185	B 1,750.00	30.00	10.00	20.00	70.0	11.00
08/03/2018	75500360	BOYCE, ANN HAGERTY	PUT AAPL 02/15/19 200	S 4,450.00	30.00	10.00	20.00	70.0	11.00
08/03/2018	75500360	BOYCE, ANN HAGERTY	PUT JNJ 01/18/19 125	B 1,496.00	30.00	10.00	20.00	70.0	11.00
08/03/2018	75500360	BOYCE, ANN HAGERTY	PUT JNJ 06/21/19 130	S 3,560.00	30.00	10.00	20.00	70.0	11.00
08/10/2018	75500360	BOYCE, ANN HAGERTY	PUT TJX 01/18/19 100	S 5,350.00	40.00	10.00	30.00	70.0	18.00
08/15/2018	75500360	BOYCE, ANN HAGERTY	PUT SPOT 01/18/19 160	B 2,825.00	30.00	10.00	20.00	70.0	11.00
08/20/2018	75500360	BOYCE, ANN HAGERTY	PUT GS 01/18/19 235	B 11,800.00	40.00	10.00	30.00	70.0	18.00
08/20/2018	75500360	BOYCE, ANN HAGERTY	PUT GS 06/21/19 235	S 17,360.00	40.00	10.00	30.00	70.0	18.00

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
08/21/2018	75500360	BOYCE, ANN HAGERTY	PUT NFLX	01/18/19	330	S	7,389.00	30.00	10.00	20.00	70.0	11.00
08/22/2018	75500360	BOYCE, ANN HAGERTY	PUT TJX	04/18/19	110	S	8,030.00	30.00	10.00	20.00	70.0	11.00
08/27/2018	75500360	BOYCE, ANN HAGERTY	PUT AMD	04/18/19	26	S	4,420.00	30.00	10.00	20.00	70.0	11.00
08/27/2018	75500360	BOYCE, ANN HAGERTY	PUT MA	06/21/19	200	S	10,400.00	40.00	10.00	30.00	70.0	18.00
08/27/2018	75500360	BOYCE, ANN HAGERTY	PUT MA	01/18/19	190	B	3,400.00	40.00	10.00	30.00	70.0	18.00
08/29/2018	75500360	BOYCE, ANN HAGERTY	PUT AAPL	06/21/19	200	S	7,830.00	30.00	10.00	20.00	70.0	11.00
08/15/2018	75500361	BOYCE, JOHN C	PUT BIDU	01/18/19	250	B	21,565.00	20.00	10.00	10.00	70.0	4.00
08/15/2018	75500361	BOYCE, JOHN C	PUT BZUN	01/18/19	65	B	19,930.00	40.00	10.00	30.00	70.0	18.00
08/21/2018	75500361	BOYCE, JOHN C	PUT HACK	03/15/19	40	S	2,450.00	30.00	10.00	20.00	70.0	11.00
08/22/2018	75500368	PETR, THOMAS	PUT HUYA	04/18/19	30	S	43,500.00	300.00	10.00	290.00	70.0	200.00
08/22/2018	75500368	PETR, THOMAS	PUT HUYA	04/18/19	30	B	-43,500.00	-300.00	-10.00	-290.00	70.0	-200.00 C
08/22/2018	75500368	PETR, THOMAS	PUT HUYA	04/18/19	30	S	43,500.00	100.00	10.00	90.00	70.0	60.00
08/22/2018	75500368	PETR, THOMAS	PUT IQ	03/15/19	30	S	17,252.00	100.00	10.00	90.00	70.0	60.00
08/23/2018	75500368	PETR, THOMAS	PUT IQ	03/15/19	30	S	11,400.00	50.00	10.00	40.00	70.0	25.00
08/23/2018	75500370	HUBARD, MARGARET	PUT BABA	06/21/19	200	S	41,400.00	60.00	10.00	50.00	70.0	32.00
08/23/2018	75500370	HUBARD, MARGARET	PUT BABA	01/18/19	200	B	34,185.00	60.00	10.00	50.00	70.0	32.00
08/23/2018	75500370	HUBARD, MARGARET	PUT PEP	06/21/19	115	S	13,200.00	50.00	10.00	40.00	70.0	25.00
08/23/2018	75500370	HUBARD, MARGARET	PUT PEP	01/18/19	115	B	9,975.00	50.00	10.00	40.00	70.0	25.00
08/27/2018	75500370	HUBARD, MARGARET	PUT AMD	04/18/19	26	S	4,450.00	40.00	10.00	30.00	70.0	18.00
08/29/2018	75500370	HUBARD, MARGARET	PUT MPC	06/21/19	85	S	15,360.00	50.00	10.00	40.00	70.0	25.00
08/29/2018	75500370	HUBARD, MARGARET	PUT MPC	01/18/19	80	B	6,380.00	50.00	10.00	40.00	70.0	25.00
08/29/2018	75500370	HUBARD, MARGARET	PUT NVDA	06/21/19	270	S	25,790.00	50.00	10.00	40.00	70.0	25.00
08/29/2018	75500370	HUBARD, MARGARET	PUT NVDA	01/18/19	230	B	6,810.00	50.00	10.00	40.00	70.0	25.00
08/23/2018	75500385	HUBARD, JOHN	CALL C	12/21/18	75	S	1,992.00	30.00	10.00	20.00	70.0	11.00
08/23/2018	75500385	HUBARD, JOHN	PUT BABA	06/21/19	200	S	30,640.00	60.00	10.00	50.00	70.0	32.00
08/23/2018	75500385	HUBARD, JOHN	PUT BABA	01/18/19	200	B	26,350.00	60.00	10.00	50.00	70.0	32.00
08/23/2018	75500385	HUBARD, JOHN	PUT DIS	06/21/19	115	S	8,780.00	60.00	10.00	50.00	70.0	32.00
08/23/2018	75500385	HUBARD, JOHN	PUT DIS	09/21/18	115	B	2,268.00	40.00	10.00	30.00	70.0	18.00
08/23/2018	75500385	HUBARD, JOHN	PUT HP	03/15/19	70	S	8,960.00	50.00	10.00	40.00	70.0	25.00
08/23/2018	75500385	HUBARD, JOHN	PUT HP	09/21/18	70	B	5,450.00	50.00	10.00	40.00	70.0	25.00
08/27/2018	75500385	HUBARD, JOHN	PUT AMD	04/18/19	26	S	4,450.00	40.00	10.00	30.00	70.0	18.00
08/15/2018	75500424	JOHN B HUBARD TTEE	PUT ANDV	01/18/19	110	B	1,185.00	30.00	10.00	20.00	70.0	11.00
08/03/2018	75500443	GOOD, TIMOTHY	PUT AAPL	01/18/19	185	B	3,480.00	50.00	10.00	40.00	70.0	25.00
08/03/2018	75500443	GOOD, TIMOTHY	PUT AAPL	02/15/19	200	S	8,860.00	50.00	10.00	40.00	70.0	25.00
08/29/2018	75500443	GOOD, TIMOTHY	PUT ILMN	01/18/19	270	B	3,860.00	50.00	10.00	40.00	70.0	25.00
08/29/2018	75500443	GOOD, TIMOTHY	PUT ILMN	03/15/19	340	S	25,000.00	50.00	10.00	40.00	70.0	25.00
08/29/2018	75500443	GOOD, TIMOTHY	PUT NVDA	06/21/19	270	S	12,930.00	40.00	10.00	30.00	70.0	18.00
* OPTIONS SUBTOTAL *						\$507,912.00	\$1,930.00	\$430.00	\$1,500.00		\$921.00	

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/31/2018		FEE COUNT - 4		0.00	73.69	0.00	73.69	70.0	51.58
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$73.69	\$0.00	\$73.69		\$51.58
		*** BROKER TRANSACTIONS TOTAL ***		-99,662,510.58	\$124,647.27	\$2,610.00	\$122,037.27		\$84,643.09

ADJUSTMENTS

08/31/2018		OTHER: LUNCH FOR OPS		0.00	0.00	0.00	0.00		-132.55
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-132.55

BROKER COMMISSION TOTALS

Broker Transactions	\$84,643.09
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-132.55
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-155.81
SIPC Assessment	\$-311.62

Check Amount	\$84,043.11
Year-to-date Earnings	\$160,644.51