

## **COMMISSION STATEMENT**

**August 15, 2018** 

JAMES PANOS

330 North Street

Boston, MA 02113-

BROKER #: P116 Terminated 12/31/2015

BRANCH: JAMES PANOS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: 529 PLANS								
/ /	MACUCH, PAUL	FA 529 COLLEGE PORT C	0.00	0.27	0.00	0.27	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT CL A	0.00	2.08	0.00	2.08	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GRWTH PORT CL A	0.00	3.37	0.00	3.37	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT CL A	125.00	3.98	0.00	3.98	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT A	0.00	3.70	0.00	3.70	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 A	125.00	15.54	0.00	15.54	0.0	0.00
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 A	125.00	0.74	0.00	0.74	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2019 A	125.00	2.20	0.00	2.20	0.0	0.00
08/01/2018	DORAN, PATRICK	FA 529 PORT 2019 C	125.00	2.29	0.00	2.29	0.0	0.00
/ /	LORA, MARCOS	FA 529 PORT 2022 C	125.00	7.16	0.00	7.16	0.0	0.00
/ /	LORA, CATALINA	FA 529 PORT 2022 C	125.00	7.16	0.00	7.16	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	125.00	2.39	0.00	2.39	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	125.00	2.39	0.00	2.39	0.0	0.00
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	125.00	7.16	0.00	7.16	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	125.00	16.43	0.00	16.43	0.0	0.00
/ /	LORA, MARCOS	FA 529 PORT 2022 CL A	125.00	9.88	0.00	9.88	0.0	0.00
/ /	LORA, CATALINA	FA 529 PORT 2022 CL A	125.00	5.34	0.00	5.34	0.0	0.00
/ /	POWERS, GARY	FA 529 PORT 2022 CL A	125.00	2.80	0.00	2.80	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2022 CL A	125.00	1.40	0.00	1.40	0.0	0.00
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 CL A	125.00	7.36	0.00	7.36	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	125.00	1.67	0.00	1.67	0.0	0.00
/ /	LORA, ROLANDO	FA 529 PORT 2022 CL A	125.00	4.16	0.00	4.16	0.0	0.00
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 CL A	125.00	0.79	0.00	0.79	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	125.00	10.37	0.00	10.37	0.0	0.00
/ /	MURPHY, CHRIS	FA 529 PORT 2025 A	0.00	6.57	0.00	6.57	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2025 A	0.00	2.73	0.00	2.73	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

<sup>\*\* -</sup> Indicates adjustment is not included in Totals or Commissions

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DIRECT TRANS	ACTIONS					
PRODUCT CA	TEGORY: 529 PLANS							
/ /	MACK, NEIL	FA 529 PORT 2025 C	250.00	12.18	0.00	12.18	0.0	0.00
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	0.00
08/01/2018	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	6.70	0.00	6.70	0.0	0.00
08/01/2018	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	0.00
08/01/2018	MACK, NEIL	FA 529 PORT 2028 A	50.00	1.54	0.00	1.54	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2028 C	50.00	12.61	0.00	12.61	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2028 C	50.00	2.50	0.00	2.50	0.0	0.00
/ /	FACADA, MANUEL	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	7.24	0.00	7.24	0.0	0.00
/ /	FACADA, ANNA	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.18	0.00	6.18	0.0	0.00
/ /	FARMER, NEAL	FIDELITY ADVISORS 529 MONEY MARKET	0.00	320.00	0.00	320.00	0.0	0.00
		* 529 PLANS SUBTOTAL *	\$3,650.00	\$503.88	\$0.00	\$503.88		\$0.00
PRODUCT CA	TEGORY: VARIABLE ANN	UITY 12B1 TRAILS						
07/31/2018	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	0.00	68.95	0.00	68.95	0.0	0.00
07/31/2018	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	0.00	89.28	0.00	89.28	0.0	0.00
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$158.23	\$0.00	\$158.23		\$0.00
		*** DIRECT TRANSACTIONS TOTAL ***	\$3,650.00	\$662.11	\$0.00	\$662.11		\$0.00

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

## BROKER COMMISSION TOTALS

Broker	Transactions	\$0.00
Split	Transactions	\$0.00
Override	Transactions	\$0.00
	Adjustments	\$0.00
	Payroll Draw	\$0.00
	Base Salary	\$0.00
Prior P	eriod Balance	\$0.00
FIN	RA Assessment	\$0.00
SI	PC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$14,483.15
Year-to-date Earnings	\$0.00