

**COMMISSION STATEMENT****October 15, 2017**

JAMES BREWER
 6500 Busch Blvd
 Suite 132
 Columbus, OH 43229

BROKER #: B153
BRANCH: BREWER & ASSOCIATIES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/06/2017	MOORE, CHARLES	COLUMBIA DIVIDEND INCOME A	30,000.00	1,500.00	90.0	1,350.00	22.00	1,328.00
10/06/2017	BARNETT, ALVA	COLUMBIA DIVIDEND INCOME A	15,000.00	750.00	90.0	675.00	22.00	653.00
* MUTUAL FUNDS SUBTOTAL *			\$45,000.00	\$2,250.00		\$2,025.00	\$44.00	\$1,981.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/29/2017	COMPTON, WILLIAM	AXA ACCUM 04 ELITE (RO IRA)	47,272.84	147.73	90.0	132.96	0.00	132.96
09/30/2017	LAWVER, JANET	JNL ELITE CHOICE	78,739.23	16.24	90.0	14.62	0.00	14.62
09/30/2017	KUSKOWSKI, MARK	JNL PERSPECTIVE ADV II 5/05	22,770.24	18.98	90.0	17.08	0.00	17.08
09/30/2017	MYERS MACHINERY MOVERS IN	JNL PERSPECTIVE L	951,138.05	1,030.40	90.0	927.36	0.00	927.36
12/31/2016	CHAMBERLAIN, MARILYN	PAC LIFE INNOVATIVE SELECT	0.00	14.81	90.0	13.33	0.00	13.33
03/31/2017	CHAMBERLAIN, MARILYN	PAC LIFE INNOVATIVE SELECT	0.00	15.38	90.0	13.84	0.00	13.84
* VARIABLE ANNUITIES SUBTOTAL *			\$1,099,920.36	\$1,243.54		\$1,119.19	\$0.00	\$1,119.19
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/18/2017	SELCER, RICHARD	AM FUNDS AMCAP A	0.00	6.45	90.0	5.81	0.00	5.81
09/18/2017	BALE, RICHARD	AM FUNDS AMCAP A	0.00	0.19	90.0	0.17	0.00	0.17
09/18/2017	VANDERGRIFF, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	5.59	90.0	5.03	0.00	5.03
09/18/2017	ROWE, ERNEST	AM FUNDS AMERICAN BALANCED A	0.00	2.71	90.0	2.44	0.00	2.44
09/18/2017	CROSBY, RONALD	AM FUNDS AMERICAN BALANCED A	0.00	9.53	90.0	8.58	0.00	8.58
09/18/2017	DUNCAN, DONALD	AM FUNDS AMERICAN BALANCED A	0.00	2.25	90.0	2.03	0.00	2.03
09/18/2017	LAWVER, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	2.32	90.0	2.09	0.00	2.09
09/18/2017	COMPTON, SHARON	AM FUNDS AMERICAN BALANCED A	0.00	3.60	90.0	3.24	0.00	3.24
09/18/2017	ALLEN, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	3.87	90.0	3.48	0.00	3.48
09/18/2017	COMPTON, SHARON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.82	90.0	0.74	0.00	0.74
09/18/2017	MYERS, GARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.25	90.0	0.23	0.00	0.23
09/18/2017	MYERS, GARY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	52.93	90.0	47.64	0.00	47.64
09/18/2017	VANDERGRIFF, CHARLES	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.72	90.0	3.35	0.00	3.35

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/18/2017	GOUDY, BEVERLY	AM FUNDS NEW ECONOMY A	0.00	10.61	90.0	9.55	0.00	9.55
09/18/2017	GOUDY, BEVERLY	AM FUNDS SMALLCAP WORLD A	0.00	8.97	90.0	8.07	0.00	8.07
09/14/2017	SCHOPPELREI, CLEMENT	AMERICAN CENTURY MID CAP VALUE	0.00	0.05	90.0	0.05	0.00	0.05
09/18/2017	SELCER, RICHARD	AMERICAN FUNDS RETIREMENT INCOME	0.00	11.71	90.0	10.54	0.00	10.54
09/18/2017	WESS, DONALD	AMERICAN FUNDS RETIREMENT INCOME	0.00	3.53	90.0	3.18	0.00	3.18
09/18/2017	FALTER, THEODORE	AMERICAN FUNDS RETIREMENT INCOME	0.00	3.52	90.0	3.17	0.00	3.17
09/18/2017	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME	0.00	2.10	90.0	1.89	0.00	1.89
09/18/2017	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME	0.00	1.12	90.0	1.01	0.00	1.01
09/18/2017	SELCER, RICHARD	AMERICAN FUNDS RETIREMENT INCOME	0.00	12.06	90.0	10.85	0.00	10.85
09/18/2017	FALTER, THEODORE	AMERICAN FUNDS RETIREMENT INCOME	0.00	3.44	90.0	3.10	0.00	3.10
09/18/2017	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME	0.00	2.06	90.0	1.85	0.00	1.85
09/18/2017	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME	0.00	1.10	90.0	0.99	0.00	0.99
09/26/2017	MOORE, CHARLES	BLACKROCK NATURAL RESOURCES TRUST	0.00	3.44	90.0	3.10	0.00	3.10
09/26/2017	SCHOPPELREI, CLEMENT	BLACKROCK STRATEGIC INCOME OPPT	0.00	0.06	90.0	0.05	0.00	0.05
09/07/2017	ROWE, ERNEST	CATALYST/MAP GLOBAL CAPITAL	0.00	2.55	90.0	2.30	0.00	2.30
09/07/2017	SELCER, RICHARD	CATALYST/MAP GLOBAL CAPITAL	0.00	5.31	90.0	4.78	0.00	4.78
09/07/2017	DUNCAN, DONALD	CATALYST/MAP GLOBAL TOTAL RETURN	0.00	2.04	90.0	1.84	0.00	1.84
09/07/2017	SELCER, RICHARD	CATALYST/MAP GLOBAL TOTAL RETURN	0.00	9.48	90.0	8.53	0.00	8.53
09/07/2017	LAWVER, DAVID	CATALYST/MAP GLOBAL TOTAL RETURN	0.00	2.44	90.0	2.20	0.00	2.20
09/07/2017	MCANINCH, JUDY	CATALYST/MAP GLOBAL TOTAL RETURN	0.00	4.91	90.0	4.42	0.00	4.42
09/15/2017	WESS, DONALD	COLUMBIA DIVIDEND INCOME A	0.00	3.58	90.0	3.22	0.00	3.22
09/15/2017	SCHOPPELREI, CLEMENT	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.05	90.0	0.05	0.00	0.05
09/15/2017	MOORE, CHARLES	DELAWARE REAL ESTATE INVESTMENT	0.00	4.13	90.0	3.72	0.00	3.72
09/15/2017	STEIN, JANICE	DELAWARE SELECT GROWTH FUND CLASS	0.00	0.32	90.0	0.29	0.00	0.29
09/14/2017	COMPTON, SHARON	FRANKLIN INCOME A	0.00	0.50	90.0	0.45	0.00	0.45
09/14/2017	MCANINCH, JUDY	FRANKLIN INCOME A	0.00	3.64	90.0	3.28	0.00	3.28
09/14/2017	FALTER, THEODORE	FRANKLIN RISING DIVIDENDS A	0.00	0.05	90.0	0.05	0.00	0.05
09/14/2017	FRESHLY, BEATRICE	FRANKLIN UTILITIES A	0.00	3.57	90.0	3.21	0.00	3.21
09/14/2017	MCANINCH, JUDY	GUGGENHEIM RISK MANAGED REAL	0.00	10.15	90.0	9.14	0.00	9.14
09/19/2017	SELCER, RICHARD	HARTFORD HEALTHCARE A	0.00	5.62	90.0	5.06	0.00	5.06
09/19/2017	GOUDY, BEVERLY	HARTFORD HEALTHCARE A	0.00	6.66	90.0	5.99	0.00	5.99
09/19/2017	FALTER, THEODORE	HARTFORD HEALTHCARE A	0.00	2.87	90.0	2.58	0.00	2.58
09/19/2017	MCANINCH, JUDY	HARTFORD HEALTHCARE A	0.00	10.24	90.0	9.22	0.00	9.22

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
09/14/2017	SCHOPPELREI, CLEMENT	JP MORGAN LARGE-CAP GROWTH A	0.00	0.05	90.0	0.05	0.00	0.05
09/21/2017	SCHOPPELREI, CLEMENT	LORD ABBETT INFLATION FOCUSED FUND	0.00	0.01	90.0	0.01	0.00	0.01
09/14/2017	GOUDY, BEVERLY	MFS INTERNATIONAL DISCOVERY A	0.00	7.49	90.0	6.74	0.00	6.74
09/14/2017	SCHOPPELREI, CLEMENT	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.03	90.0	0.03	0.00	0.03
09/14/2017	SCHOPPELREI, CLEMENT	MFS VALUE A	0.00	0.06	90.0	0.05	0.00	0.05
09/22/2017	ROSS, CARL	NATIONWIDE ALTERNATIVE ALLOCATIONS	0.00	1.18	90.0	1.06	0.00	1.06
09/22/2017	BARNETT, ALVA	NATIONWIDE ALTERNATIVE ALLOCATIONS	0.00	1.67	90.0	1.50	0.00	1.50
09/22/2017	CROSBY, RONALD	NATIONWIDE ALTERNATIVE ALLOCATIONS	0.00	2.74	90.0	2.47	0.00	2.47
09/22/2017	BITONTE, RICHARD	NATIONWIDE ALTERNATIVE ALLOCATIONS	0.00	0.36	90.0	0.32	0.00	0.32
09/21/2017	COMPTON, SHARON	OPPENHEIMER GLOBAL A	0.00	0.82	90.0	0.74	0.00	0.74
09/21/2017	MYERS, GARY	OPPENHEIMER GLOBAL A	0.00	52.14	90.0	46.93	0.00	46.93
09/21/2017	SCHOPPELREI, CLEMENT	OPPENHEIMER INTL GROWTH A	0.00	0.03	90.0	0.03	0.00	0.03
09/20/2017	BALE, RICHARD	PAC LIFE FUNDS PORTFOLIO	0.00	0.09	90.0	0.08	0.00	0.08
09/12/2017	GOUDY, BEVERLY	PRUDENTIAL QMA MID CAP VALUE FUND	0.00	21.49	90.0	19.34	0.00	19.34
09/19/2017	SELCER, RICHARD	PUTNAM GLOBAL HEALTH CARE A	0.00	5.07	90.0	4.56	0.00	4.56
09/18/2017	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND	0.00	2.08	90.0	1.87	0.00	1.87
09/18/2017	FALTER, THEODORE	RESOURCE R E DIVERSIFIED INC FUND	0.00	3.42	90.0	3.08	0.00	3.08
09/18/2017	VANDERGRIFF, CHARLES	RESOURCE R E DIVERSIFIED INC FUND	0.00	6.77	90.0	6.09	0.00	6.09
09/18/2017	WESS, DONALD	RESOURCE R E DIVERSIFIED INC FUND	0.00	5.19	90.0	4.67	0.00	4.67
09/18/2017	CALABRETTA, SHIRLEY	RESOURCE R E DIVERSIFIED INC FUND	0.00	2.98	90.0	2.68	0.00	2.68
09/18/2017	SELCER, RICHARD	RESOURCE R E DIVERSIFIED INC FUND	0.00	3.16	90.0	2.84	0.00	2.84
09/18/2017	BEBOUT, ELMA	RESOURCE R E DIVERSIFIED INC FUND	0.00	2.27	90.0	2.04	0.00	2.04
09/18/2017	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND	0.00	5.87	90.0	5.28	0.00	5.28
09/18/2017	CHAMBERLAIN, LARRY	RESOURCE R E DIVERSIFIED INC FUND	0.00	7.92	90.0	7.13	0.00	7.13
09/18/2017	COMPTON, WILLIAM	RESOURCE R E DIVERSIFIED INC FUND	0.00	6.09	90.0	5.48	0.00	5.48
09/14/2017	MYERS, GARY	TEMPLETON GROWTH A	0.00	30.34	90.0	27.31	0.00	27.31
09/14/2017	MYERS, GARY	TEMPLETON WORLD A	0.00	0.43	90.0	0.39	0.00	0.39
09/15/2017	SCHOPPELREI, CLEMENT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.03	90.0	0.03	0.00	0.03
09/15/2017	MOORE, CHARLES	WELLS FARGO INDEX ASSET ALLOCATION	0.00	5.14	90.0	4.63	0.00	4.63
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$411.03		\$369.99	\$0.00	\$369.99

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2017	CHAMBERLAIN, MARILYN	PACIFIC LIFE TRAILS	0.00	15.79	90.0	14.21	0.00	14.21
09/16/2017	SELCER, RICHARD	ING LANDMARK	0.00	276.24	90.0	248.62	0.00	248.62
10/02/2017	CHAMBERLAIN, MARILYN	PAC LIFE INNOVATIVE SELECT	0.00	16.39	90.0	14.75	0.00	14.75
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$308.42		\$277.58	\$0.00	\$277.58
*** BROKER TRANSACTIONS TOTAL ***			\$1,144,920.36	\$4,212.99		\$3,791.76	\$44.00	\$3,747.76

ADJUSTMENTS

10/15/2017	*EO INS: CURRENT MONTH INS	0.00						-300.00
10/15/2017	*PERSHING: MF PROSPECTUS	0.00						-3.85
10/15/2017	*TECH: ADMIN - MICHELE BARR	0.00						-100.00
10/15/2017	*TECH: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-678.85	\$0.00	\$-678.85

BROKER COMMISSION TOTALS

Broker Transactions	\$3,747.76
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-678.85
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,068.91
Year-to-date Gross	\$42,194.79
Year-to-date Earnings	\$37,403.62

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