

COMMISSION STATEMENT

June 30, 2018

MONROE PARKER-D334

BROKER #: D334

BRANCH: DMN

, TX

COMMISSION STATEMENT for MONROE PARKER-D334

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/21/2018	mfc614631 PARKER 614631	MFT CAPITAL INCOME BUILDER-A	B	0.00	11.11	0.00	11.11	82.0	9.11
06/21/2018	mfc614631 PARKER 614631	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.26	0.00	4.26	82.0	3.49
06/21/2018	mfc614631 PARKER 614631	MFT DELAWARE SMALL CAP CORE FD A	B	0.00	3.23	0.00	3.23	82.0	2.65
06/21/2018	mfc614631 PARKER 614631	MFT DEVELOPING WORLD GROWTH &	B	0.00	1.98	0.00	1.98	82.0	1.62
06/21/2018	mfc614631 PARKER 614631	MFT DREYFUS AMT FREE MUNI BD FD C	B	0.00	159.09	0.00	159.09	82.0	130.45
06/21/2018	mfc614631 PARKER 614631	MFT DREYFUS STRATEGIC VALUE FD CL A	B	0.00	6.43	0.00	6.43	82.0	5.27
06/21/2018	mfc614631 PARKER 614631	MFT DREYFUS STRUCTURED MIDCAP FD CL	B	0.00	1.82	0.00	1.82	82.0	1.49
06/21/2018	mfc614631 PARKER 614631	MFT FRANKLIN BIOTECHNOLOGY	B	0.00	5.68	0.00	5.68	82.0	4.66
06/21/2018	mfc614631 PARKER 614631	MFT PUTNAM ASSET ALLOCATION	B	0.00	5.69	0.00	5.69	82.0	4.67
06/21/2018	mfc614631 PARKER 614631	MFT QUEST OPPORTUNITY VALUE A	B	0.00	2.23	0.00	2.23	82.0	1.83
06/21/2018	mfc614631 PARKER 614631	MFT RYDEX TRANSPORTATION FD CL A	B	0.00	4.38	0.00	4.38	82.0	3.59
06/21/2018	mfc614631 PARKER 614631	MFT THE HARTFORD DISCIPLINED EQUITY	B	0.00	6.19	0.00	6.19	82.0	5.08
06/21/2018	mfc614631 PARKER 614631	MFT THE NEW ECONOMY FUND-A	B	0.00	4.43	0.00	4.43	82.0	3.63
06/21/2018	mfc614631 PARKER 614631	MFT VAN ECK INTERNATIONAL INVESTORS	B	0.00	2.96	0.00	2.96	82.0	2.43
06/21/2018	mfc614631 PARKER 614631	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.16	0.00	6.16	82.0	5.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$225.64	\$0.00	\$225.64		\$185.02
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$225.64	\$0.00	\$225.64		\$185.02

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/15/2018	00089900405 LOVETT, PEG	MF BALANCED PORTFOLIO-C	B	400.00	4.00	0.00	4.00	82.0	3.28
* MUTUAL FUNDS SUBTOTAL *				\$400.00	\$4.00	\$0.00	\$4.00		\$3.28

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

06/08/2018	(None) MCELROY, TONIE	VAT TRANSAMERICA LIFE	B	0.00	65.18	0.00	65.18	82.0	53.45
06/08/2018	(None) HODGES, GERALD	VAT TRANSAMERICA LIFE	B	0.00	120.33	0.00	120.33	82.0	98.67
06/08/2018	(None) CASEY, AUSTIN	VAT TRANSAMERICA LIFE	B	0.00	62.70	0.00	62.70	82.0	51.41
06/08/2018	(None) COFFELT, JANE	VAT TRANSAMERICA LIFE	B	0.00	61.66	0.00	61.66	82.0	50.56
06/08/2018	(None) HODGES, GERALD	VAT TRANSAMERICA LIFE	B	0.00	89.63	0.00	89.63	82.0	73.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/08/2018 (None)	PARSONS, LEONARD	VAT TRANSAMERICA LIFE	B	0.00	220.12	0.00	220.12	82.0	180.50
06/08/2018 (None)	KOTARA, ADELA	VAT TRANSAMERICA LIFE	B	0.00	34.85	0.00	34.85	82.0	28.58
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$654.47	\$0.00	\$654.47		\$536.67
*** DIRECT TRANSACTIONS TOTAL ***				\$400.00	\$658.47	\$0.00	\$658.47		\$539.95

ADJUSTMENTS TRANSACTIONS

BROKER: #0

06/30/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
06/30/2018	: E&O	0.00	0.00	0.00	0.00	-120.00
06/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-175.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-175.00

BROKER COMMISSION TOTALS

Broker Transactions	\$724.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-175.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$549.97
Year-to-date Commissions	\$7,732.19