



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2018

08/14/2018
Page No. 1

TIMOTHY, S118 STAUFFER
333 Fiddlers Cove

BROKER #: S118
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
07/31/2018	SHERRILL, TIMOTHY	ALPHABET INC CAP STK CL C	-9,742.81	28.00	22.50	5.50	90.0	4.95
07/31/2018	SHERRILL, TIMOTHY	AMAZON COM INC	-10,634.28	28.00	22.50	5.50	90.0	4.95
07/31/2018	SHERRILL, TIMOTHY	APPLE INC COM	-4,967.66	28.00	22.50	5.50	90.0	4.95
07/31/2018	SHERRILL, TIMOTHY	NVIDIA CORP COM	-10,058.33	28.00	22.50	5.50	90.0	4.95
* STOCKS SUBTOTAL *			\$-35,403.08	\$112.00	\$90.00	\$22.00		\$19.80
*** CLEARING TRANSACTIONS TOTAL ***			\$-35,403.08	\$112.00	\$90.00	\$22.00		\$19.80

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/01/2018	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
* MUTUAL FUNDS SUBTOTAL *			\$215.00	\$2.15	\$0.00	\$2.15		\$1.94

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/16/2018	ZEHR, BERTHA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.29	0.00	2.29	90.0	2.06
07/16/2018	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.29	0.00	2.29	90.0	2.06
07/16/2018	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.10	0.00	9.10	90.0	8.19
07/16/2018	BYERLEY, WAYNE & KATHLEE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.82	0.00	8.82	90.0	7.94
07/16/2018	ZAHM, CHRISTINE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.76	0.00	1.76	90.0	1.58
07/16/2018	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.37	0.00	1.37	90.0	1.23
07/16/2018	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	12.04	0.00	12.04	90.0	10.84
07/16/2018	SCHROEDER, JEFFERY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.00	0.00	2.00	90.0	1.80
07/16/2018	PIERSON III, CARL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.29	0.00	1.29	90.0	1.16
07/16/2018	PIERSON, JUDITH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.29	0.00	1.29	90.0	1.16
07/16/2018	MERVAR, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	7.36	0.00	7.36	90.0	6.62
/ /	ALLES, JENIFER	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.33	0.00	0.33	90.0	0.30
07/23/2018	MOHR, BROGAN	INVESCO AMERICAN FRANCHISE A	0.00	0.44	0.00	0.44	90.0	0.40

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/23/2018	MOHR, AMY	INVESCO AMERICAN FRANCHISE A	0.00	2.74	0.00	2.74	90.0	2.47
07/23/2018	MOHR, AMY	INVESCO UTILITIES A	0.00	1.93	0.00	1.93	90.0	1.74
07/19/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	5.48	0.00	5.48	90.0	4.93
07/19/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	5.18	0.00	5.18	90.0	4.66
07/19/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	5.51	0.00	5.51	90.0	4.96
07/09/2018	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.10	0.00	0.10	90.0	0.09
07/20/2018	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.09	0.00	0.09	90.0	0.08
/ /	MILLER, SEAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.52	0.00	0.52	90.0	0.47
/ /	BRENN, BRIAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.07	0.00	0.07	90.0	0.06
/ /	MILLER, SEAN	RYDEX GUGG ENERGY C	0.00	1.35	0.00	1.35	90.0	1.22
/ /	KROUSE, ANDRE	RYDEX GUGG ENERGY C	0.00	1.01	0.00	1.01	90.0	0.91
/ /	BRENN, BRIAN	RYDEX GUGG ENERGY C	0.00	0.06	0.00	0.06	90.0	0.05
/ /	ALLES, JENIFER	RYDEX GUGG PRECIOUS METALS A	0.00	0.25	0.00	0.25	90.0	0.23
/ /	MILLER, SEAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.58	0.00	0.58	90.0	0.52
/ /	KROUSE, ANDRE	RYDEX GUGG PRECIOUS METALS C	0.00	0.78	0.00	0.78	90.0	0.70
/ /	BRENN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	0.00	0.06	90.0	0.05
07/16/2018	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.27	0.00	1.27	90.0	1.14
07/16/2018	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	2.30	0.00	2.30	90.0	2.07
07/16/2018	DINGER, WILLIAM	TRANSAMERICA TACTICAL INCOME C	0.00	7.93	0.00	7.93	90.0	7.14
07/16/2018	ZEHR, PAUL	TRANSAMERICA TACTICAL INCOME C	0.00	8.45	0.00	8.45	90.0	7.61
07/16/2018	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	8.43	0.00	8.43	90.0	7.59
07/16/2018	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	10.10	0.00	10.10	90.0	9.09
07/16/2018	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	6.66	0.00	6.66	90.0	5.99
07/16/2018	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.50	0.00	6.50	90.0	5.85
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$127.73	\$0.00	\$127.73		\$114.96
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/19/2018	NUTTLE, JANNETTA	ING PREMIUM PLUS	15,171.84	9.48	0.00	9.48	90.0	8.53
07/31/2018	WILD, WILLIAM	JNL ELITE ACCESS	0.00	42.60	0.00	42.60	90.0	38.34
07/31/2018	NUTTLE, ALLEN	JNL ELITE ACCESS	0.00	5.94	0.00	5.94	90.0	5.35
07/31/2018	MOHR, AMY	JNL ELITE ACCESS	0.00	9.82	0.00	9.82	90.0	8.84
07/31/2018	LARKINS, TROY	JNL ELITE ACCESS	0.00	10.36	0.00	10.36	90.0	9.32
07/31/2018	ZEHR, PAUL	JNL ELITE ACCESS	0.00	17.68	0.00	17.68	90.0	15.91
07/31/2018	ZIMMERMAN, CATHERINE	JNL PERSPECTIVE	0.00	6.66	0.00	6.66	90.0	5.99

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/31/2018	COLEMAN, CHERYL	JNL PERSPECTIVE	0.00	8.15	0.00	8.15	90.0	7.34
07/31/2018	FLETCHER, MONA	JNL PERSPECTIVE II	0.00	11.66	0.00	11.66	90.0	10.49
07/31/2018	LEDERMAN, JOANNE	JNL PERSPECTIVE II	0.00	123.74	0.00	123.74	90.0	111.37
07/31/2018	WILD, CHRISTOPHER	JNL PERSPECTIVE II	0.00	6.13	0.00	6.13	90.0	5.52
07/31/2018	MIDDLETON, LUCINDA	JNL PERSPECTIVE II 04/14	0.00	34.71	0.00	34.71	90.0	31.24
07/31/2018	MIDDLETON, JAMES	JNL PERSPECTIVE II 04/14	0.00	33.57	0.00	33.57	90.0	30.21
07/31/2018	BERKSHIRE, LAURA	JNL PERSPECTIVE II 04/14	0.00	62.86	0.00	62.86	90.0	56.57
07/31/2018	HOLLE, DONELLE	JNL PERSPECTIVE II 04/14	0.00	33.20	0.00	33.20	90.0	29.88
07/31/2018	SMITH REV TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	0.00	265.39	0.00	265.39	90.0	238.85
07/31/2018	SMITH TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	0.00	95.09	0.00	95.09	90.0	85.58
07/31/2018	HALL, ROSEMARY	JNL PERSPECTIVE II 04/14	0.00	133.31	0.00	133.31	90.0	119.98
07/31/2018	MILLER, DANIEL	JNL PERSPECTIVE II 04/14	0.00	41.61	0.00	41.61	90.0	37.45
07/31/2018	BYERLEY, WAYNE	JNL PERSPECTIVE II 05/05	0.00	93.65	0.00	93.65	90.0	84.29
07/31/2018	ZAHM SR, RICHARD	JNL PERSPECTIVE II 05/05	0.00	60.44	0.00	60.44	90.0	54.40
07/31/2018	CHRIST, LINDA	JNL PERSPECTIVE II 05/05	0.00	59.31	0.00	59.31	90.0	53.38
07/31/2018	PARKER, DIANA	JNL PERSPECTIVE II 05/05	0.00	37.53	0.00	37.53	90.0	33.78
07/31/2018	PARKER, MICHAEL	JNL PERSPECTIVE II 05/05	0.00	41.68	0.00	41.68	90.0	37.51
07/31/2018	RAMIREZ, JOAQUIN	JNL PERSPECTIVE II 05/05	0.00	20.34	0.00	20.34	90.0	18.31
07/31/2018	GRABER, AMOS	JNL PERSPECTIVE II 05/05	0.00	19.44	0.00	19.44	90.0	17.50
07/31/2018	BLANCHARD, SUZETTE	JOHN HANCOCK VENTURE III	0.00	59.36	0.00	59.36	90.0	53.42
07/31/2018	SITCLER, PATRICIA	JOHN HANCOCK VENTURE III	0.00	92.68	0.00	92.68	90.0	83.41
07/31/2018	SHERRILL, TIMOTHY	JOHN HANCOCK VENTURE III	0.00	184.04	0.00	184.04	90.0	165.64
07/31/2018	SOBERALSKI, EDWARD	JOHN HANCOCK VENTURE III	0.00	171.18	0.00	171.18	90.0	154.06
07/16/2018	BLANCHARD, SUZETTE	PAC LIFE INNOVATIVE SELECT	0.00	635.48	0.00	635.48	90.0	571.93
07/31/2018	BROOKSHIRE, JEFFEREY	PRU AS LIFEVEST	0.00	13.70	0.00	13.70	90.0	12.33
07/31/2018	BROOKSHIRE, JEFFEREY	PRU AS LIFEVEST	0.00	4.57	0.00	4.57	90.0	4.11
07/30/2018	STAUFFER, PATTY	SBL ADVISORDESIGNS VA	0.00	15.86	0.00	15.86	90.0	14.27
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$15,171.84	\$2,461.22	\$0.00	\$2,461.22		\$2,215.10
*** DIRECT TRANSACTIONS TOTAL ***			\$15,386.84	\$2,591.10	\$0.00	\$2,591.10		\$2,332.00

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<u>ADJUSTMENTS</u>								
08/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
08/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
08/15/2018		*AUDIT FEE: BRANCH AUDIT 7-30-18	0.00					-750.00
08/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
08/15/2018		*PERSHING: MANDATORY REORG MERGER PNW005627	0.00					-10.00
08/15/2018		*PERSHING: MANDATORY REORG MERGER PNW005627	0.00					-10.00
08/15/2018		*PERSHING: OVERNIGHT CHECK DELIVERY FEE	0.00					-2.00
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-3.96
08/15/2018		*TECHNOLOGY: ADMIN - KAREN STAUFFER	0.00					-130.00
08/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,483.96

BROKER COMMISSION TOTALS

Broker Transactions	\$2,351.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,483.96
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$867.84
Year-to-date Gross	\$95,901.01
Year-to-date Earnings	\$85,480.58

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