

# Bill Payment Stub

Check Date:	5/7/2018
Check No.:	ACH
Check Amount:	10,115.64

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: RICHARD A. DURAND  
12919 KINGS FOREST  
SAN ANTONIO, TX 78230

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
4/30/2018	Bill	COMMISSIONS	10,235.64	10,235.64		10,235.64
5/1/2018	Credit	E&O	-120.00	-120.00		-120.00

# COMMISSION STATEMENT

April 30, 2018

RICHARD DURAND-D020

BROKER #: D020

BRANCH: DMN

, TX

## COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
04/12/2018	215122049	DOYLE U/W, JOHN	MF	COHEN & STEERS INTL REALTY FD	S	-8,537.50	0.00	5.00	-5.00 85.0 -4.25
04/12/2018	215122049	DOYLE U/W, JOHN	MF	FRK TEMP FOUNDING FUNDS ALLOC	S	-14,577.47	0.00	5.00	-5.00 85.0 -4.25
04/25/2018	368298719	MOORE, KERI	MF	OPPENHEIMER SENIOR FLOATING	S	-2,037.11	0.00	5.00	-5.00 85.0 -4.25
04/25/2018	368298719	MOORE, KERI	MF	OPPENHEIMER INTERNATIONAL	S	-2,532.63	0.00	5.00	-5.00 85.0 -4.25
04/25/2018	368298719	MOORE, KERI	MF	OPPENHEIMERFUND 68381A103	S	-2,402.11	0.00	5.00	-5.00 85.0 -4.25
04/25/2018	368298719	MOORE, KERI	MF	OPPENHEIMER DISCOVERY CLASS A	S	-2,903.82	0.00	5.00	-5.00 85.0 -4.25
04/25/2018	368298719	MOORE, KERI	MF	QUEST VALUE A	S	-2,566.76	0.00	5.00	-5.00 85.0 -4.25
04/03/2018	492392409	MATHESON, JO HELEN	MF	THE INVESTMENT COMPANY OF	B	-1,987.55	0.00	5.00	-5.00 85.0 -4.25
04/02/2018	645587824	RANDALL M D,	MF	HARTFORD DIVIDEND & GROWTH FD	S	-5,925.69	0.00	5.00	-5.00 85.0 -4.25
04/02/2018	645587824	RANDALL M D,	MF	OPPENHEIMER STEELPATH MLP ALPHA	S	-6,776.95	0.00	5.00	-5.00 85.0 -4.25
04/02/2018	645587824	RANDALL M D,	MF	OPPENHEIMERFUND 68381A103	S	-5,089.96	0.00	5.00	-5.00 85.0 -4.25
04/02/2018	645587824	RANDALL M D,	MF	THE HARTFORD CHECKS AND	S	-87,247.85	0.00	5.00	-5.00 85.0 -4.25
04/17/2018	646100327	RITCHIE, MATTHEW	MF	THE GROWTH FUND OF AMERICA-A	S	416.66	11.39	2.50	8.89 85.0 7.56
				<b>* MUTUAL FUNDS SUBTOTAL *</b>		<b>\$-142,168.74</b>	<b>\$11.39</b>	<b>\$62.50</b>	<b>\$-51.11 \$-43.44</b>

## PRODUCT CATEGORY: STOCKS

04/02/2018	123746900	AFT MINERALS LTD	S	DR PEPPER SNAPPLE GROUP INC	S	-117,695.63	200.00	15.00	185.00 85.0 157.25
04/16/2018	123746900	AFT MINERALS LTD	S	MARATHON OIL CORPORATION	S	-91,320.43	600.00	15.00	585.00 85.0 497.25
04/17/2018	123746900	AFT MINERALS LTD	S	OCCIDENTAL PETROLEUM CORP	B	150,362.25	500.00	15.00	485.00 85.0 412.25
04/24/2018	152918272	BRANNIES, CATHERINE	S	CEVA INC	B	52,077.45	400.00	15.00	385.00 85.0 327.25
04/11/2018	214443197	DURAND, RICHARD	S	CLOVIS ONCOLOGY INC	B	31,920.40	18.00	15.00	3.00 85.0 2.55
04/12/2018	215038013	DOYLE TOD, ROSEMARY	S	BHP BILLITON LIMITED-SPON ADR	S	-9,117.54	100.00	15.00	85.00 85.0 72.25
04/12/2018	215038013	DOYLE TOD, ROSEMARY	S	BP PLC-SPONS ADR	S	-14,873.05	150.00	15.00	135.00 85.0 114.75
04/12/2018	215038013	DOYLE TOD, ROSEMARY	S	PFIZER INCORPORATED	S	-10,787.57	100.00	15.00	85.00 85.0 72.25
04/12/2018	215038013	DOYLE TOD, ROSEMARY	S	SOUTH32- ADR	S	-2,068.18	45.00	15.00	30.00 85.0 25.50
04/12/2018	215038013	DOYLE TOD, ROSEMARY	S	WESTPAC BANKING LTD CORP	S	-16,747.39	125.00	15.00	110.00 85.0 93.50
04/12/2018	215122049	DOYLE U/W, JOHN	S	BP PLC-SPONS ADR	S	-6,342.90	100.00	15.00	85.00 85.0 72.25
04/12/2018	215122049	DOYLE U/W, JOHN	S	FRONTIER COMM CORP	S	-23.38	0.00	15.00	-15.00 85.0 -12.75
04/12/2018	215122049	DOYLE U/W, JOHN	S	ORICA LTD-UNSPONS ADR	S	-6,947.39	100.00	15.00	85.00 85.0 72.25
04/05/2018	368260253	HERNANDEZ, ANNA	S	INTEL CORPORATION	S	-11,321.54	50.00	15.00	35.00 85.0 29.75
04/05/2018	368262501	W HERNANDEZ, DR JOE	S	CVS HEALTH CORPORATION	B	64,910.25	50.00	15.00	35.00 85.0 29.75

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/05/2018 368262501	W HERNANDEZ, DR JOE S	INTEL CORPORATION	S	-33,603.08	50.00	15.00	35.00	85.0	29.75
04/16/2018 368262501	W HERNANDEZ, DR JOE S	CVS HEALTH CORPORATION .	S	-67,986.28	50.00	15.00	35.00	85.0	29.75
04/24/2018 368264188	OROSZ, TIBOR	BAYTEX ENERGY CORP ISIN	B	2,076.95	25.00	15.00	10.00	85.0	8.50
04/24/2018 368264188	OROSZ, TIBOR	TIER REIT, INC.	S	-1,840.81	25.00	15.00	10.00	85.0	8.50
04/04/2018 368364342	DOYLE, JOHN	CORNING INC	S	-15,954.18	240.00	15.00	225.00	85.0	191.25
04/16/2018 368435948	SCHRAER, KENNETH	CEVA INC	B	36,833.95	400.00	15.00	385.00	85.0	327.25
04/16/2018 368435948	SCHRAER, KENNETH	MARATHON OIL CORPORATION	S	-45,575.74	500.00	15.00	485.00	85.0	412.25
04/24/2018 429898620	KURTZ, ROYCE	CEVA INC	B	52,647.45	400.00	15.00	385.00	85.0	327.25
04/04/2018 429910206	KIRKPATRICK,	NVIDIA CORPORATION	S	-216,705.50	2,000.00	15.00	1,985.00	85.0	1,687.25
03/28/2018 583753688	PUE, ROGER	DELEK US HOLDINGS INC NEW COM	B	1,845.23	70.00	15.00	55.00	85.0	46.75
04/11/2018 584958911	J PECHE, DR WILLIAM S	OCULUS VISIONTECH INC	S	-21.13	0.00	15.00	-15.00	85.0	-12.75
04/02/2018 645587824	RANDALL M D,	APPLE INC	B	6,728.85	100.00	15.00	85.00	85.0	72.25
04/16/2018 677185341	SCHRAER, KENNETH	CEVA INC	B	18,399.95	200.00	15.00	185.00	85.0	157.25
04/16/2018 677185341	SCHRAER, KENNETH	MARATHON OIL CORPORATION	S	-21,864.60	250.00	15.00	235.00	85.0	199.75
04/09/2018 677593640	DURAND, RICHARD	NVIDIA CORPORATION	S	-43,978.53	18.00	15.00	3.00	85.0	2.55
04/24/2018 677593640	DURAND, RICHARD	CEVA INC	B	34,970.45	18.00	15.00	3.00	85.0	2.55
04/09/2018 706810903	TREADWELL, DENNIS	NVIDIA CORPORATION	S	-10,812.30	185.00	15.00	170.00	85.0	144.50
03/28/2018 707149578	THOMAS, MARGARET	FACEBOOK INC-CLASS A	S	-113,722.70	1,000.00	15.00	985.00	85.0	837.25
04/16/2018 707149578	THOMAS, MARGARET	MARATHON OIL CORPORATION	S	-45,631.49	400.00	15.00	385.00	85.0	327.25
* STOCKS SUBTOTAL *				<b>\$-452,168.16</b>	<b>\$8,469.00</b>	<b>\$510.00</b>	<b>\$7,959.00</b>		<b>\$6,765.15</b>

PRODUCT CATEGORY: MISCELLANEOUS

04/03/2018 a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 645587824	S	0.00	10.00	0.00	10.00	85.0	8.50
04/03/2018 a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 645587824	S	0.00	10.00	0.00	10.00	85.0	8.50
04/03/2018 a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 645587824	S	0.00	10.00	0.00	10.00	85.0	8.50
04/03/2018 a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 645587824	S	0.00	10.00	0.00	10.00	85.0	8.50
04/04/2018 a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 492392409	S	0.00	10.00	0.00	10.00	85.0	8.50
04/13/2018 a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 215122049	S	0.00	10.00	0.00	10.00	85.0	8.50
04/13/2018 a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 215122049	S	0.00	10.00	0.00	10.00	85.0	8.50
04/26/2018 a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 368298719	S	0.00	10.00	0.00	10.00	85.0	8.50
04/26/2018 a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 368298719	S	0.00	10.00	0.00	10.00	85.0	8.50
04/26/2018 a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 368298719	S	0.00	10.00	0.00	10.00	85.0	8.50
04/26/2018 a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 368298719	S	0.00	10.00	0.00	10.00	85.0	8.50
04/26/2018 a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 368298719	S	0.00	10.00	0.00	10.00	85.0	8.50
* MISCELLANEOUS SUBTOTAL *					<b>\$0.00</b>	<b>\$120.00</b>	<b>\$0.00</b>	<b>\$120.00</b>		<b>\$102.00</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/20/2018 mfc884599	DURAND 884599		MFT AMCAP FUND-A	B	0.00	2.37	0.00	2.37	85.0	2.01
04/20/2018 mfc884599	DURAND 884599		MFT AMERICAN BALANCED FUND-A	B	0.00	2.68	0.00	2.68	85.0	2.28
04/20/2018 mfc884599	DURAND 884599		MFT AMERICAN BALANCED FUND-A	B	0.00	3.32	0.00	3.32	85.0	2.82
04/20/2018 mfc884599	DURAND 884599		MFT AMERICAN BALANCED FUND-A	B	0.00	3.81	0.00	3.81	85.0	3.24
04/20/2018 mfc884599	DURAND 884599		MFT AMERICAN BALANCED FUND-A	B	0.00	4.11	0.00	4.11	85.0	3.49
04/20/2018 mfc884599	DURAND 884599		MFT AMERICAN BALANCED FUND-A	B	0.00	4.17	0.00	4.17	85.0	3.54
04/20/2018 mfc884599	DURAND 884599		MFT AMERICAN BALANCED FUND-A	B	0.00	4.52	0.00	4.52	85.0	3.84
04/20/2018 mfc884599	DURAND 884599		MFT AMERICAN BALANCED FUND-A	B	0.00	5.16	0.00	5.16	85.0	4.39

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
04/20/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.16	0.00	5.16	85.0	4.39
04/20/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.16	0.00	5.16	85.0	4.39
04/20/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.21	0.00	5.21	85.0	4.43
04/20/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	6.70	0.00	6.70	85.0	5.70
04/20/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	10.88	0.00	10.88	85.0	9.25
04/20/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	20.33	0.00	20.33	85.0	17.28
04/20/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	54.77	0.00	54.77	85.0	46.55
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.63	0.00	1.63	85.0	1.39
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.90	0.00	3.90	85.0	3.32
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.61	0.00	5.61	85.0	4.77
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.91	0.00	5.91	85.0	5.02
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.48	0.00	6.48	85.0	5.51
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	1.36	0.00	1.36	85.0	1.16
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-F1	B	0.00	0.43	0.00	0.43	85.0	0.37
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.11	0.00	1.11	85.0	0.94
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.13	0.00	1.13	85.0	0.96
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.33	0.00	6.33	85.0	5.38
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.09	0.00	0.09	85.0	0.08
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.89	0.00	0.89	85.0	0.76
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.88	0.00	0.88	85.0	0.75
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.94	0.00	0.94	85.0	0.80
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.09	0.00	1.09	85.0	0.93
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.60	0.00	1.60	85.0	1.36
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.66	0.00	1.66	85.0	1.41
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.67	0.00	1.67	85.0	1.42
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.94	0.00	1.94	85.0	1.65
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.98	0.00	1.98	85.0	1.68
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.15	0.00	2.15	85.0	1.83
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.63	0.00	2.63	85.0	2.24
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.74	0.00	2.74	85.0	2.33
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.50	0.00	4.50	85.0	3.83
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.33	0.00	5.33	85.0	4.53
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.33	0.00	5.33	85.0	4.53
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.33	0.00	5.33	85.0	4.53
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.61	0.00	6.61	85.0	5.62
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.87	0.00	6.87	85.0	5.84
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.18	0.00	7.18	85.0	6.10
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.92	0.00	7.92	85.0	6.73
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	20.70	0.00	20.70	85.0	17.60
04/20/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	95.25	0.00	95.25	85.0	80.96
04/20/2018	mfc884599	DURAND 884599	MFT COHEN & STEERS INTL REALTY FD	B	0.00	6.95	0.00	6.95	85.0	5.91
04/20/2018	mfc884599	DURAND 884599	MFT DAVIS NEW YORK VENTURE CLASS C	B	0.00	16.29	0.00	16.29	85.0	13.85
04/20/2018	mfc884599	DURAND 884599	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	0.15	0.00	0.15	85.0	0.13
04/20/2018	mfc884599	DURAND 884599	MFT EMERGING GROWTH FUND A	B	0.00	1.23	0.00	1.23	85.0	1.05

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/20/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F B	0.00	0.77	0.00	0.77	85.0	0.65
04/20/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F B	0.00	1.10	0.00	1.10	85.0	0.94
04/20/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	0.65	0.00	0.65	85.0	0.55
04/20/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	1.83	0.00	1.83	85.0	1.56
04/20/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	6.30	0.00	6.30	85.0	5.36
04/20/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	6.42	0.00	6.42	85.0	5.46
04/20/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	13.05	0.00	13.05	85.0	11.09
04/20/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T B	0.00	3.37	0.00	3.37	85.0	2.86
04/20/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T B	0.00	11.21	0.00	11.21	85.0	9.53
04/20/2018	mfc884599	DURAND 884599	MFT FRK TEMP FOUNDING FUNDS ALLOC B	0.00	12.39	0.00	12.39	85.0	10.53
04/20/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND B	0.00	1.51	0.00	1.51	85.0	1.28
04/20/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND B	0.00	2.18	0.00	2.18	85.0	1.85
04/20/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	0.42	0.00	0.42	85.0	0.36
04/20/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	1.90	0.00	1.90	85.0	1.62
04/20/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	2.12	0.00	2.12	85.0	1.80
04/20/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	2.14	0.00	2.14	85.0	1.82
04/20/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	3.54	0.00	3.54	85.0	3.01
04/20/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	3.62	0.00	3.62	85.0	3.08
04/20/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	4.48	0.00	4.48	85.0	3.81
04/20/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	7.15	0.00	7.15	85.0	6.08
04/20/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	24.01	0.00	24.01	85.0	20.41
04/20/2018	mfc884599	DURAND 884599	MFT GLOBAL FUND A B	0.00	4.16	0.00	4.16	85.0	3.54
04/20/2018	mfc884599	DURAND 884599	MFT GLOBAL OPPORTUNITIES A B	0.00	4.72	0.00	4.72	85.0	4.01
04/20/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F B	0.00	1.59	0.00	1.59	85.0	1.35
04/20/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F B	0.00	2.30	0.00	2.30	85.0	1.96
04/20/2018	mfc884599	DURAND 884599	MFT HARTFORD DIVIDEND & GROWTH FD B	0.00	5.23	0.00	5.23	85.0	4.45
04/20/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND B	0.00	1.29	0.00	1.29	85.0	1.10
04/20/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND B	0.00	1.50	0.00	1.50	85.0	1.28
04/20/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND B	0.00	11.23	0.00	11.23	85.0	9.55
04/20/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL SMALL COMPANY A B	0.00	1.28	0.00	1.28	85.0	1.09
04/20/2018	mfc884599	DURAND 884599	MFT LIFESTYLE GROWTH PORTFOLIO A B	0.00	5.66	0.00	5.66	85.0	4.81
04/20/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A B	0.00	3.45	0.00	3.45	85.0	2.93
04/20/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A B	0.00	3.48	0.00	3.48	85.0	2.96
04/20/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A B	0.00	3.12	0.00	3.12	85.0	2.65
04/20/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A B	0.00	3.15	0.00	3.15	85.0	2.68
04/20/2018	mfc884599	DURAND 884599	MFT NEW OPPORTUNITIES A B	0.00	16.85	0.00	16.85	85.0	14.32
04/20/2018	mfc884599	DURAND 884599	MFT NEW WORLD FUND-A B	0.00	1.40	0.00	1.40	85.0	1.19
04/20/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS B	0.00	1.22	0.00	1.22	85.0	1.04
04/20/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS B	0.00	1.30	0.00	1.30	85.0	1.11
04/20/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA B	0.00	0.48	0.00	0.48	85.0	0.41
04/20/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA B	0.00	1.40	0.00	1.40	85.0	1.19
04/20/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA B	0.00	1.53	0.00	1.53	85.0	1.30
04/20/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA B	0.00	2.88	0.00	2.88	85.0	2.45
04/20/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA B	0.00	2.98	0.00	2.98	85.0	2.53

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
04/20/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	3.81	0.00	3.81	85.0	3.24
04/20/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	0.52	0.00	0.52	85.0	0.44
04/20/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	1.12	0.00	1.12	85.0	0.95
04/20/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	2.61	0.00	2.61	85.0	2.22
04/20/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER INTERNATIONAL	B	0.00	0.55	0.00	0.55	85.0	0.47
04/20/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER INTERNATIONAL	B	0.00	2.66	0.00	2.66	85.0	2.26
04/20/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER SENIOR FLOATING	B	0.00	0.43	0.00	0.43	85.0	0.37
04/20/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER DISCOVERY CLASS A	B	0.00	0.63	0.00	0.63	85.0	0.54
04/20/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	2.27	0.00	2.27	85.0	1.93
04/20/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON VALUE FUND	B	0.00	2.34	0.00	2.34	85.0	1.99
04/20/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	0.74	0.00	0.74	85.0	0.63
04/20/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	3.23	0.00	3.23	85.0	2.75
04/20/2018	mfc884599	DURAND 884599	MFT QUEST VALUE A	B	0.00	0.56	0.00	0.56	85.0	0.48
04/20/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-C	B	0.00	1.34	0.00	1.34	85.0	1.14
04/20/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-C	B	0.00	2.61	0.00	2.61	85.0	2.22
04/20/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.34	0.00	0.34	85.0	0.29
04/20/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.35	0.00	0.35	85.0	0.30
04/20/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	0.98	0.00	0.98	85.0	0.83
04/20/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	3.09	0.00	3.09	85.0	2.63
04/20/2018	mfc884599	DURAND 884599	MFT TEMP WORLD FND - CL C	B	0.00	15.10	0.00	15.10	85.0	12.84
04/20/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.46	0.00	1.46	85.0	1.24
04/20/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.52	0.00	1.52	85.0	1.29
04/20/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.22	0.00	2.22	85.0	1.89
04/20/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.46	0.00	2.46	85.0	2.09
04/20/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.65	0.00	3.65	85.0	3.10
04/20/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.60	0.00	5.60	85.0	4.76
04/20/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.15	0.00	6.15	85.0	5.23
04/20/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.15	0.00	6.15	85.0	5.23
04/20/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.28	0.00	7.28	85.0	6.19
04/20/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.11	0.00	11.11	85.0	9.44
04/20/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	27.71	0.00	27.71	85.0	23.55
04/20/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	29.38	0.00	29.38	85.0	24.97
04/20/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	0.46	0.00	0.46	85.0	0.39
04/20/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	1.03	0.00	1.03	85.0	0.88
04/20/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	75.98	0.00	75.98	85.0	64.58
04/20/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CONSERVATIVE ALLOC	B	0.00	3.37	0.00	3.37	85.0	2.86
04/20/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	3.89	0.00	3.89	85.0	3.31
04/20/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.40	0.00	4.40	85.0	3.74
04/20/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.70	0.00	4.70	85.0	4.00
04/20/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.03	0.00	5.03	85.0	4.28
04/20/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.56	0.00	5.56	85.0	4.73
04/20/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.56	0.00	5.56	85.0	4.73
04/20/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	8.80	0.00	8.80	85.0	7.48
04/20/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	8.96	0.00	8.96	85.0	7.62

COMMISSION STATEMENT for RICHARD DURAND-D020

					INVESTMENT	GROSS	CLEARING	NET	BROKER	
TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
04/20/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	26.56	0.00	26.56	85.0	22.58
04/20/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	32.68	0.00	32.68	85.0	27.78
04/20/2018	mfc884599	DURAND 884599	MFT TRANS AMERICA IDEX 13	B	0.00	48.41	0.00	48.41	85.0	41.15
04/20/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA MULTI-MANAGED	B	0.00	150.95	0.00	150.95	85.0	128.31
04/20/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA ASSET ALLOC	B	0.00	42.85	0.00	42.85	85.0	36.42
04/20/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA SHORT TERM BOND FD	B	0.00	635.28	0.00	635.28	85.0	539.99
04/20/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA INTL EQUITY FD A	B	0.00	43.46	0.00	43.46	85.0	36.94
04/20/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA LARGE CAP VALUE FD	B	0.00	42.98	0.00	42.98	85.0	36.53
04/20/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.64	0.00	0.64	85.0	0.54
04/20/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.66	0.00	0.66	85.0	0.56
04/20/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.87	0.00	0.87	85.0	0.74
04/20/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.73	0.00	1.73	85.0	1.47
04/20/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.98	0.00	1.98	85.0	1.68
04/20/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.52	0.00	2.52	85.0	2.14
04/20/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.55	0.00	2.55	85.0	2.17
04/20/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.84	0.00	3.84	85.0	3.26
04/20/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.04	0.00	4.04	85.0	3.43
04/20/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.56	0.00	5.56	85.0	4.73
04/20/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.56	0.00	5.56	85.0	4.73
04/20/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.56	0.00	5.56	85.0	4.73
04/20/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.21	0.00	7.21	85.0	6.13
04/20/2018	mfc884599	DURAND 884599	MFT WELLS FARGO GOVT SEC FD CL A	B	0.00	5.59	0.00	5.59	85.0	4.75
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,923.69	\$0.00	\$1,923.69		\$1,635.28
*** CLEARING TRANSACTIONS TOTAL ***					\$-594,336.90	\$10,524.08	\$572.50	\$9,951.58		\$8,458.99

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

04/13/2018	00067562789	PEREZ, GRACIELA	MF AMERICAN HIGH-INCOME TRUST-A	B	150.00	4.50	0.00	4.50	85.0	3.83
04/17/2018	00086533271	VILLA, KELLIE	MF THE NEW ECONOMY FUND-529A	B	200.00	5.50	0.00	5.50	85.0	4.68
04/18/2018	00660109470	HANSON, CATHY	MF INVESCO AMERICAN FRANCHISE FUND	B	100.00	4.00	0.00	4.00	85.0	3.40
* MUTUAL FUNDS SUBTOTAL *					<u>\$450.00</u>	<u>\$14.00</u>	<u>\$0.00</u>	<u>\$14.00</u>		<u>\$11.91</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018	(None)	VARIOUS, DURAND	MFT COLUMBIA THREADNEEDLE	B	0.00	58.89	0.00	58.89	85.0	50.06
03/31/2018	(None)	VARIOUS, DURAND	MFT DAVIS	B	0.00	27.74	0.00	27.74	85.0	23.58
03/31/2018	(None)	VARIOUS, DURAND	MFT HARTFORD MUTUAL FUIND	B	0.00	2.05	0.00	2.05	85.0	1.74
03/31/2018	(None)	VARIOUS, DURAND	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	30.89	0.00	30.89	85.0	26.26
04/13/2018	00010158172	MARGARET B PARR,	MFT DEUTSCHE HIGH INCOME FUND-A	B	0.00	79.69	0.00	79.69	85.0	67.74
04/09/2018	02950003475	CAUGHEY, EVERETT	MFT AB HIGH INCOME A	B	0.00	66.61	0.00	66.61	85.0	56.62
04/09/2018	02950003476	CAUGHEY, MARILYN	MFT AB HIGH INCOME A	B	0.00	12.21	0.00	12.21	85.0	10.38
04/09/2018	03310155134	SUN C MAYO, IN	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	37.19	0.00	37.19	85.0	31.61
04/09/2018	04896976237	GIFFEN, BOBBIE	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	66.84	0.00	66.84	85.0	56.81

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/06/2018	08308608103 CORNELISON,	MFT WELLS FARGO MUNICIPAL BOND-A	B	0.00	0.74	0.00	0.74	85.0	0.63
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$382.85	\$0.00	\$382.85		\$325.43
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>									
04/06/2018	(None) DOYLE, ROSEMARY	VAT TRANSAMERICA LIFE	B	0.00	225.44	0.00	225.44	85.0	191.62
04/20/2018	(None) ALLENBUTL	VAT MASS MUTUAL	B	0.00	7.63	0.00	7.63	85.0	6.49
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$233.07	\$0.00	\$233.07		\$198.11
*** DIRECT TRANSACTIONS TOTAL ***				\$450.00	\$629.92	\$0.00	\$629.92		\$535.45

ADJUSTMENTS

04/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
04/30/2018	:	BROKER WORKSTATION-GIFFEN		0.00	0.00	0.00	0.00		-30.00
04/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
04/30/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		776.20
04/30/2018	:	REVERSAL OF CHARGES - CARSON		0.00	0.00	0.00	0.00		550.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$1,241.20

BROKER COMMISSION TOTALS

Broker Transactions	\$8,994.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$1,241.20
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$10,235.64
Year-to-date Commissions	\$58,841.90