



COMMISSION STATEMENT September 30, 2017

BRION NORBY
4905 Prairie Lane

BROKER #: N121
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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SPLIT TRANSACTIONS

BROKER: JBB JEN-BRENT-BRION TRU-DONAIIS-LEE- #333

08/31/2017	ALEXANDER, LAWRENCE	RBC	0.00	149.46	0.00	149.46	90.0	134.51
08/31/2017	LARAMIE, ELAINE	RBC	0.00	2.32	0.00	2.32	90.0	2.09
08/31/2017	ALEXANDER, LAWRENCE	RBC	0.00	-69.86	0.00	-69.86	90.0	-62.87
* JBB JEN-BRENT-BRION TRU-DONAIIS-LEE- SUBTOTAL *			\$0.00	\$81.92	\$0.00	\$81.92		\$73.73

BROKER: BJB JEN-BRENT-BRION TRU-DONAIIS-LEE- #334

09/21/2017	KINCAID INDUSTRIES	PERSHING	0.00	7.56	0.00	7.56	90.0	6.80
09/21/2017	KINCAID INDUSTRIES	PERSHING	0.00	2.08	0.00	2.08	90.0	1.87
08/31/2017	HUGGINS, JAMES	RBC	0.00	25.72	0.00	25.72	90.0	23.15
08/31/2017	HUGGINS, JAMES	RBC	0.00	93.90	0.00	93.90	90.0	84.51
* BJB JEN-BRENT-BRION TRU-DONAIIS-LEE- SUBTOTAL *			\$0.00	\$129.26	\$0.00	\$129.26		\$116.33

BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335

09/21/2017	KRINGEN, MARK	CONNECTICUT ST SER F 5.000% 11/15/2	-29,200.00	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	DOWAGIAC MICH UN SCH DIST SCH BLDG	-28,162.50	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	EL PASO TEX INDPT SCH DIST RFDG 5.0	-25,775.00	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	GRAND FORKS N D SANTN REV RFDG-RESE	-27,812.50	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	HAWAII ST SER ET 5.000% 10/01/24 B/	-30,187.50	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	LONGVIEW TEX RFDG 5.000% 06/01/20 B	-27,300.00	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	NORTH DAKOTA PUB FIN AUTH CAP FING	-29,575.00	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	NORTH DAKOTA ST UNIV REV HSG & AUXI	-29,137.50	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	SALT RIVER PROJ ARIZ AGRIC IMPT & P	-22,860.00	0.00	0.00	0.00	90.0	0.00
09/25/2017	HEEN, JEREMY	SEI	0.00	162.32	0.00	162.32	90.0	146.09
09/26/2017	HEEN, KRISTA	SEI	0.00	77.70	0.00	77.70	90.0	69.93
09/21/2017	KRINGEN, MARK	UNIVERSITY CINCINNATI OHIO GEN RCPT	-15,022.50	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	VIRGINIA ST RES AUTH INFRASTRUCTURE	-28,875.00	0.00	0.00	0.00	90.0	0.00
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$-293,907.50	\$240.02	\$0.00	\$240.02		\$216.02

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
		*** SPLIT TRANSACTIONS TOTAL ***	\$-293,907.50	\$451.20	\$0.00	\$451.20		\$406.08

<u>ADJUSTMENTS</u>								
09/30/2017		*BAL CARRIED FORWARD: 9/15/17	0.00					1,539.45
09/30/2017		*MISC: 9/15 CANCEL/REBILL TRADES	0.00					-1,497.96
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$41.49

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$0.00
Split Transactions	\$406.08
Override Transactions	\$0.00
Adjustments	\$41.49
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$447.57
Year-to-date Gross	\$33,064.10
Year-to-date Earnings	\$28,790.13

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