

COMMISSION STATEMENT

April 30, 2017

DAVID REILLY
8 ACORN TERRACEBROKER #:
BRANCH: HOME OFFICE

NEW CITY, NY 10956

COMMISSION STATEMENT for DAVID REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

04/19/2017	QGW007957	CAPUANO CASELLA,	YAHOO INC	S	-14,086.05	207.13	0.00	207.13	45.0	93.21
04/05/2017	QGW007973	CAPUANO CASELLA,	UNITED PARCEL SERVICE INC CL B	B	10,698.30	99.00	0.00	99.00	45.0	44.55
04/19/2017	QGW007973	CAPUANO CASELLA,	YAHOO INC	S	-14,083.05	207.10	0.00	207.10	45.0	93.20
04/21/2017	QGW007973	CAPUANO CASELLA,	LILLY ELI & CO	S	-32,000.00	322.92	0.00	322.92	45.0	145.31
04/12/2017	QGW030074	WALKER, MARGARET	ACADIA PHARMACEUTICALS INC COM	S	-3,307.40	56.94	0.00	56.94	45.0	25.62
				* STOCKS SUBTOTAL *	\$-52,778.20	\$893.09	\$0.00	\$893.09		\$401.89

PRODUCT CATEGORY: OPTIONS

04/19/2017	QGW007957	CAPUANO CASELLA,	CALL 100 CELGENE CORP EXP 04-21-17@ B		700.00	50.00	0.00	50.00	45.0	22.50
04/19/2017	QGW007957	CAPUANO CASELLA,	CALL 100 EXELON CORP COM EXP 04-21- B		375.00	50.00	0.00	50.00	45.0	22.50
04/21/2017	QGW007957	CAPUANO CASELLA,	CALL 100 ACADIA PHARMACEUTICALS INC B		500.00	50.00	0.00	50.00	45.0	22.50
04/27/2017	QGW007957	CAPUANO CASELLA,	CALL 100 COLGATE PALMOLIVE CO COM E B		1,230.00	50.00	0.00	50.00	45.0	22.50
03/31/2017	QGW007973	CAPUANO CASELLA,	CALL 100 INTERNATIONAL BUSINESS MAC S		-930.00	50.00	0.00	50.00	45.0	22.50
03/31/2017	QGW007973	CAPUANO CASELLA,	CALL 100 INTERNATIONAL BUSINESS MAC S		930.00	-50.00	0.00	-50.00	45.0	-22.50 C
04/19/2017	QGW007973	CAPUANO CASELLA,	CALL 100 OCCIDENTAL PETE CORP COM E B		24.00	10.00	0.00	10.00	45.0	4.50
04/21/2017	QGW007973	CAPUANO CASELLA,	CALL 100 DOW CHEM CO COM EXP 06-16- B		150.00	50.00	0.00	50.00	45.0	22.50
04/19/2017	QGW008070	CASELLA, LORRAINE	CALL 100 EXELON CORP COM EXP 04-21- B		140.00	20.00	0.00	20.00	45.0	9.00
04/19/2017	QGW023848	BYRNE, GERARD	CALL 100 CELGENE CORP EXP 04-21-17@ B		1,584.00	50.00	0.00	50.00	45.0	22.50
04/20/2017	QGW023848	BYRNE, GERARD	CALL 100 CELGENE CORP EXP 06-16-17@ S		-2,050.00	50.00	0.00	50.00	45.0	22.50
04/19/2017	QGW033961	CAPUANO CASELLA,	CALL 100 CELGENE CORP EXP 04-21-17@ B		650.00	50.00	0.00	50.00	45.0	22.50
04/19/2017	QGW033961	CAPUANO CASELLA,	CALL 100 EXELON CORP COM EXP 04-21- B		180.00	20.00	0.00	20.00	45.0	9.00
04/21/2017	QGW033961	CAPUANO CASELLA,	CALL 100 DOW CHEM CO COM EXP 06-16- B		280.00	50.00	0.00	50.00	45.0	22.50
04/27/2017	QGW033961	CAPUANO CASELLA,	CALL 100 ACADIA PHARMACEUTICALS INC B		650.00	50.00	0.00	50.00	45.0	22.50
04/27/2017	QGW033961	CAPUANO CASELLA,	CALL 100 COLGATE PALMOLIVE CO COM E B		1,250.00	50.00	0.00	50.00	45.0	22.50
				* OPTIONS SUBTOTAL *	\$5,663.00	\$600.00	\$0.00	\$600.00		\$270.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/13/2017	QGW007957	CAPUANO CASELLA,	DREYFUS BALANCED OPPORTUNITYFUND CL B		0.00	3.80	0.00	3.80	45.0	1.71
04/17/2017	QGW007957	CAPUANO CASELLA,	FIDELITY ADVISOR BALANCED CLASS T B		0.00	1.29	0.00	1.29	45.0	0.58
04/18/2017	QGW007957	CAPUANO CASELLA,	DEUTSCHE STRATEGIC GOVERNMENT SECUR B		0.00	4.03	0.00	4.03	45.0	1.81
04/18/2017	QGW007957	CAPUANO CASELLA,	GROWTH AND INCOME FUND - A B		0.00	2.87	0.00	2.87	45.0	1.29
04/18/2017	QGW007957	CAPUANO CASELLA,	MFS INTERNTL DIVERSIFICATION FUND-A B		0.00	1.40	0.00	1.40	45.0	0.63

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/21/2017	QGW007957	CAPUANO CASELLA,	PUTNAM FUND FOR GROWTH & INCOME CLA B	0.00	2.75	0.00	2.75	45.0	1.24
04/17/2017	QGW007973	CAPUANO CASELLA,	FIDELITY ADVISOR BALANCED CLASS T B	0.00	1.29	0.00	1.29	45.0	0.58
04/17/2017	QGW007973	CAPUANO CASELLA,	FINANCIAL INDUSTRIES A B	0.00	3.11	0.00	3.11	45.0	1.40
04/17/2017	QGW007973	CAPUANO CASELLA,	HIGH YIELD A B	0.00	3.37	0.00	3.37	45.0	1.52
04/18/2017	QGW007973	CAPUANO CASELLA,	DEUTSCHE STRATEGIC GOVERNMENT SECUR B	0.00	4.03	0.00	4.03	45.0	1.81
04/18/2017	QGW007973	CAPUANO CASELLA,	GROWTH AND INCOME FUND - A B	0.00	2.87	0.00	2.87	45.0	1.29
04/18/2017	QGW007973	CAPUANO CASELLA,	MFS INTERNTL DIVERSIFICATION FUND-A B	0.00	3.74	0.00	3.74	45.0	1.68
04/18/2017	QGW007973	CAPUANO CASELLA,	MFS TOTAL RETURN FUND-A B	0.00	3.77	0.00	3.77	45.0	1.70
04/20/2017	QGW007973	CAPUANO CASELLA,	FRANKLIN NEW YORK TAX-FREE INCOME F B	0.00	0.16	0.00	0.16	45.0	0.07
04/20/2017	QGW007973	CAPUANO CASELLA,	ROCHESTER FUND MUNICIPALS A B	0.00	41.95	0.00	41.95	45.0	18.88
04/21/2017	QGW007973	CAPUANO CASELLA,	PUTNAM FUND FOR GROWTH & INCOME CLA B	0.00	2.75	0.00	2.75	45.0	1.24
04/20/2017	QGW008005	SCHULZ, CARL	ROCH. LIMITED TERM NEW YORK MUNICI B	0.00	13.45	0.00	13.45	45.0	6.05
04/20/2017	QGW008005	SCHULZ, CARL	ROCHESTER FUND MUNICIPALS A B	0.00	41.19	0.00	41.19	45.0	18.54
04/20/2017	QGW008039	STEFANO, MARGARET	ROCHESTER FUND MUNICIPALS A B	0.00	1.92	0.00	1.92	45.0	0.86
04/17/2017	QGW008047	DOUGHERTY, JANE	HIGH YIELD A B	0.00	1.12	0.00	1.12	45.0	0.50
04/20/2017	QGW008047	DOUGHERTY, JANE	ROCHESTER NATIONAL MUNICIPALS A B	0.00	3.90	0.00	3.90	45.0	1.76
04/18/2017	QGW008070	CASELLA, LORRAINE	MFS INTERNTL DIVERSIFICATION FUND-A B	0.00	8.86	0.00	8.86	45.0	3.99
04/20/2017	QGW023798	OLSSON, RITA	CAPITAL APPRECIATION A B	0.00	0.48	0.00	0.48	45.0	0.22
04/18/2017	QGW023814	STEFANO, MARGARET	EUROPACIFIC GROWTH FUND-A B	0.00	0.47	0.00	0.47	45.0	0.21
04/18/2017	QGW023814	STEFANO, MARGARET	THE GROWTH FUND OF AMERICA-A B	0.00	2.16	0.00	2.16	45.0	0.97
04/18/2017	QGW023848	BYRNE, GERARD	MFS INTERNTL DIVERSIFICATION FUND-A B	0.00	2.34	0.00	2.34	45.0	1.05
04/13/2017	QGW033961	CAPUANO CASELLA,	DREYFUS BALANCED OPPORTUNITYFUND CL B	0.00	3.80	0.00	3.80	45.0	1.71
04/17/2017	QGW033961	CAPUANO CASELLA,	FIDELITY ADVISOR BALANCED CLASS T B	0.00	1.29	0.00	1.29	45.0	0.58
04/18/2017	QGW033961	CAPUANO CASELLA,	DEUTSCHE STRATEGIC GOVERNMENT SECUR B	0.00	4.03	0.00	4.03	45.0	1.81
04/18/2017	QGW033961	CAPUANO CASELLA,	GROWTH AND INCOME FUND - A B	0.00	2.87	0.00	2.87	45.0	1.29
04/18/2017	QGW033961	CAPUANO CASELLA,	MFS INTERNTL DIVERSIFICATION FUND-A B	0.00	1.87	0.00	1.87	45.0	0.84
04/21/2017	QGW033961	CAPUANO CASELLA,	PUTNAM FUND FOR GROWTH & INCOME CLA B	0.00	2.75	0.00	2.75	45.0	1.24
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$175.68	\$0.00	\$175.68		\$79.05
*** BROKER TRANSACTIONS TOTAL ***				\$-47,115.20	\$1,668.77	\$0.00	\$1,668.77		\$750.94

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ADJUSTMENTS

04/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$750.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.09
SIPC Assessment	\$-31.29

Check Amount	\$697.56
Year-to-date Earnings	\$2,113.21