



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 15, 2018

03/13/2018
Page No. 1

A132 - HERB/FRED ALSTON/CHASALOW

BROKER #: A132
BRANCH: HERBERT ALSTON

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^03/06/2018	LAYCOCK, VICKI	APPLE INC COM	-39,758.63	22.00	22.50	-0.50	100.0	0.00
^03/06/2018	LAYCOCK, VICKI	ARK ETF TR WEB X.0 ETF	39,762.59	22.00	23.12	-1.12	100.0	0.00
^02/28/2018	CHASALOW, FRED	ONELIFE TECHNOLOGIES CORP	2,820.00	22.00	25.00	-3.00	100.0	0.00
^03/06/2018	CHASALOW, FRED	PATTERN ENERGY GROUP INC CL A	17,029.90	22.00	23.50	-1.50	100.0	0.00
* STOCKS SUBTOTAL *			\$19,853.86	\$88.00	\$94.12	\$-6.12		\$0.00
<u>PRODUCT CATEGORY: OPTIONS</u>								
^03/07/2018	CHASALOW, FRED	CALL 100 PATTERN ENERGY GROUP INC C	-1,060.00	27.00	27.00	0.00	100.0	0.00
* OPTIONS SUBTOTAL *			\$-1,060.00	\$27.00	\$27.00	\$0.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$18,793.86	\$115.00	\$121.12	\$-6.12		\$0.00

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^03/01/2018	COHEN, LORI PIERCE-	AM FUNDS AMERICAN MUTUAL 529A	0.00	2.76	0.00	2.76	100.0	0.00
^03/01/2018	COHEN, LORI PIERCE-	AM FUNDS AMERICAN MUTUAL 529C	0.00	4.89	0.00	4.89	100.0	0.00
^03/01/2018	BRADY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	2.11	0.00	2.11	100.0	0.00
^03/01/2018	BRADY, JOHN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	12.67	0.00	12.67	100.0	0.00
^03/01/2018	COHEN, LORI PIERCE-	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.20	0.00	3.20	100.0	0.00
^03/01/2018	COHEN, LORI PIERCE-	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	23.03	0.00	23.03	100.0	0.00
^03/01/2018	BRADY, JOHN	AM FUNDS HIGH INCOME TRUST 529A	0.00	2.94	0.00	2.94	100.0	0.00
^03/01/2018	BRADY, JOHN	AM FUNDS ICA 529A	0.00	34.08	0.00	34.08	100.0	0.00
^03/01/2018	COHEN, LORI PIERCE-	AM FUNDS NEW PERSPECTIVE 529A	0.00	2.48	0.00	2.48	100.0	0.00
^03/01/2018	COHEN, LORI PIERCE-	AM FUNDS NEW PERSPECTIVE 529C	0.00	21.10	0.00	21.10	100.0	0.00
^03/01/2018	BRADY, JOHN	AM FUNDS SMALLCAP WORLD-529A	0.00	4.06	0.00	4.06	100.0	0.00
^02/12/2018	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	8.36	0.00	8.36	100.0	0.00
^02/12/2018	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	1.24	0.00	1.24	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^02/12/2018	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	6.07	0.00	6.07	100.0	0.00
^02/15/2018	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	1.31	0.00	1.31	100.0	0.00
^02/16/2018	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	3.08	0.00	3.08	100.0	0.00
^02/16/2018	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	12.11	0.00	12.11	100.0	0.00
^02/21/2018	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	2.32	0.00	2.32	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$147.81	\$0.00	\$147.81		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$147.81	\$0.00	\$147.81		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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