All Companies

LIBERTY PARTNERS

STATEMENT May 20, 2018

LEE THOMAS

530 WESTOVER DR

ROXBORO, NC 27573-

BROKER #: 056

BRANCH: LA BRANCH

COMMISSION STATEMENT for LEE THOMAS

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION RATE	BROKER COMMISSION
	BROKER TE	RANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS								
04/16/2018 #04000777216 KELLEY, KENLEY	THE GROWTH FUND OF AMERICA-529A	В	50.00	2.50	0.00	0.00	2.50 75.0	1.88
	* MUTUAL FUNDS SUBTOTAL	*	\$50.00	\$2.50	\$0.00	\$0.00	\$2.50	\$1.88
PRODUCT CATEGORY: STOCKS								
04/10/2018 #	3-D SYSTEMS CORP-DEL	S	1,186.34	50.00	19.95	0.00	30.05 75.0	22.54
04/10/2018 #	BIOCRYST PHARMACEUTICALS INC	S	2,237.86	111.89	19.95	0.00	91.94 75.0	68.96
04/10/2018 #	PEPSICO INC	S	2,183.65	65.47	19.95	0.00	45.52 75.0	34.14
04/10/2018 #	YUM CHINA HOLDINGS INC COM	S	2,450.10	70.82	19.95	0.00	50.87 75.0	38.15
04/20/2018 # NICHOLS, WILLIAM	HEWLETT PACKARD ENTERPRISE COMPANY	S	3,500.74	121.21	19.95	0.00	101.26 75.0	75.95
04/20/2018 # NICHOLS, WILLIAM	HP INC COM	S	4,465.82	136.32	19.95	0.00	116.37 75.0	87.28
	* STOCKS SUBTOTAL	*	\$16,024.51	\$555.71	\$119.70	\$0.00	\$436.01	\$327.02
PRODUCT CATEGORY: VARIABLE ANNUITIE	ES .							
04/02/2018 #1021113693 LENNON, CYNTHIA	PERSP II 01/16	В	250,000.00	13,750.00	0.00	0.00	13,750.00 25.0	3,437.50
04/23/2018 #1021182806 CROWE, BARBARA	PERSP II 01/16	В	71,687.00	5,018.09	0.00	0.00	5,018.09 25.0	1,254.52
04/23/2018 #1021182806 CROWE, BARBARA	PERSP II 01/16	В	71,211.12	4,984.78	0.00	0.00	4,984.78 25.0	1,246.20
04/24/2018 #1021182601 CROWE, BARBARA	PERSP II 01/16	В	21,502.03	1,505.14	0.00	0.00	1,505.14 25.0	376.29
04/24/2018 #1021182610 CROWE, CHRIS	PERSP II 01/16	В	13,365.76	935.60	0.00	0.00	935.60 25.0	233.90
04/25/2018 #1021182776 CROWE, CHRIS	PERSP II 01/16	В	21,599.92	1,511.99	0.00	0.00	1,511.99 25.0	378.00
04/26/2018 #1021182610 CROWE, CHRIS	PERSP II 01/16	В	98,643.82	6,905.07	0.00	0.00	6,905.07 25.0	1,726.27
	* VARIABLE ANNUITIES SUBTOTAL	*	\$548,009.65	\$34,610.67	\$0.00	\$0.00	\$34,610.67	\$8,652.68
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
04/05/2018 # GLENN, JOHNATHAN	DAIN TRAILER	В	0.00	1.98	0.00	0.00	1.98 75.0	1.49
04/05/2018 # LENNON, CYNTHIA	DAIN TRAILER	В	0.00	0.61	0.00	0.00	0.61 75.0	0.46
04/05/2018 # LENNON, CYNTHIA	DAIN TRAILER	В	0.00	8.50	0.00	0.00	8.50 75.0	6.38
04/05/2018 # LENNON, CYNTHIA	DAIN TRAILER	В	0.00	25.32	0.00	0.00	25.32 75.0	18.99
04/10/2018 # HAWKINS, DORIS	DAIN TRAILER	В	0.00	3.85	0.00	0.00	3.85 75.0	2.89
04/10/2018 # NICHOLS, WILLIAM	DAIN TRAILER	В	0.00	8.22	0.00	0.00	8.22 75.0	6.17
04/10/2018 # NICHOLS, WILLIAM	DAIN TRAILER	В	0.00	4.31	0.00	0.00	4.31 75.0	3.23
04/10/2018 # THOMAS, BETTY	DAIN TRAILER	В	0.00	2.62	0.00	0.00	2.62 75.0	1.97
04/10/2018 # THOMAS, BETTY	DAIN TRAILER	В	0.00	1.73	0.00	0.00	1.73 75.0	1.30

COMMISSION STATEMENT for LEE THOMAS

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		- /-	INVESTMENT	GROSS	CLEARING		NET		BROKER
TRADE DATE CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	POSTAGE	COMMISSION	RATE	COMMISSION
	BROKE	ER TRANSA	CTIONS						
PRODUCT CATEGORY: MUTUAL FUND 12E	B1 TRAILS								
04/10/2018 # GLENN, JOHNATHAN	DAIN TRAILER	В	0.00	2.90	0.00	0.00	2.90	75.0	2.18
04/10/2018 # JAMES, KELLY	DAIN TRAILER	В	0.00	1.89	0.00	0.00	1.89	75.0	1.42
04/10/2018 # LENNON, CYNTHIA	DAIN TRAILER	В	0.00	1.03	0.00	0.00	1.03	75.0	0.77
04/10/2018 # LENNON, CYNTHIA	DAIN TRAILER	В	0.00	0.57	0.00	0.00	0.57	75.0	0.43
04/10/2018 # LENNON, CYNTHIA	DAIN TRAILER	В	0.00	2.03	0.00	0.00	2.03	75.0	1.52
04/10/2018 # GIBSON, JOHN	DAIN TRAILER	В	0.00	0.51	0.00	0.00	0.51	75.0	0.38
04/10/2018 # GIBSON, JOHN	DAIN TRAILER	В	0.00	1.23	0.00	0.00	1.23	75.0	0.92
04/10/2018 # KNIGHT GIBSON, NANCY	DAIN TRAILER	В	0.00	2.32	0.00	0.00	2.32	75.0	1.74
04/10/2018	DAIN TRAILER	В	0.00	0.99	0.00	0.00	0.99	75.0	0.74
04/10/2018	DAIN TRAILER	В	0.00	4.37	0.00	0.00	4.37	75.0	3.28
04/10/2018 # WICKER, KEVIN	DAIN TRAILER	В	0.00	1.29	0.00	0.00	1.29	75.0	0.97
04/10/2018	DAIN TRAILER	В	0.00	4.77	0.00	0.00	4.77	75.0	3.58
04/13/2018 #	DAIN TRAILER	В	25,000.00	1,062.50	0.00	0.00	1,062.50	75.0	796.88
	* MUTUAL FUND 12B1 TRAILS SUE	BTOTAL *	\$25,000.00	\$1,143.54	\$0.00	\$0.00	\$1,143.54	-	\$857.69
	*** BROKER TRANSACTIONS TO	TAL ***	\$589,084.16	\$36,312.42	\$119.70	\$0.00	\$36,192.72	•	\$9,839.27
	2	ADJUSTMEN	TTC						
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05/20/2018	ADMIN FEE: ADMIN FEE								-390.00
05/20/2018	E & O INSURANCE: E & O INSURA								-179.00
05/20/2018	MWWOD:MISCELLANEOUS: WRITE-OF	FF							-49.00
	DEBIT BALANCE								
05/20/2018	MWWOD:MISCELLANEOUS: WRITE-OF	FF							-49.00
05 (00 (004 0	DEBIT BALANCE								45 040
05/20/2018	NEGATIVE BALANCE CARRIED FOR								-17,218.00
	NEGATIVE BALANCE CARRIED FORM	WARD							
	FRO								

\$-17,885.00

*** ADJUSTMENTS TOTAL ***

BROKER COMMISSION TOTALS

Broker Transactions	\$9,839.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-17,885.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance	Forward	\$-8,045.73