

COMMISSION STATEMENT  
July 13, 2018

TRACY CUDA  
3200 N. Central Ave  
Suite 200  
Phoenix, AZ 85012

BROKER #: 73670  
BRANCH: 04-#70A11 HOME OFFIC

| DATE | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | BROKER<br>COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: CUE Financial Group, Inc

ADJUSTMENTS

|                                 |  |  |        |        |        |        |        |
|---------------------------------|--|--|--------|--------|--------|--------|--------|
| PRIOR PERIOD BALANCE(SEE BELOW) |  |  |        |        |        |        | 0.00   |
| *** ADJUSTMENTS TOTAL ***       |  |  | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |

BROKER COMMISSION TOTALS

|                       |         |
|-----------------------|---------|
| Broker Transactions   | \$0.00  |
| Split Transactions    | \$0.00  |
| Override Transactions | \$0.00  |
| Adjustments           | \$0.00  |
| Prior Period Balance  | \$-5.00 |
| NASD Assessment       | \$0.00  |
| SIPC Assessment       | \$0.00  |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                       |         |
|-----------------------|---------|
| Balance Forward       | \$-5.00 |
| Year-to-date Earnings | \$0.00  |

COMMISSION STATEMENT for TRACY CUDA

| DATE | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | BROKER<br>COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: Securities AmericaBROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

|                           |                     |                      |               |                 |               |                 |                 |
|---------------------------|---------------------|----------------------|---------------|-----------------|---------------|-----------------|-----------------|
| 07/11/2018                | CUDA (73670), TRACY | SAI PERSHING CHARGES | 0.00          | -375.00         | 0.00          | -375.00         | -137.06         |
| 07/11/2018                | CUDA (73670), TRACY | SAI SECURITES INCOME | 0.00          | 904.90          | 0.00          | 904.90          | 330.74          |
| * MUTUAL FUNDS SUBTOTAL * |                     |                      | <u>\$0.00</u> | <u>\$529.90</u> | <u>\$0.00</u> | <u>\$529.90</u> | <u>\$193.68</u> |

PRODUCT CATEGORY: TRAILERS

|                                   |                     |            |               |                    |               |                    |                    |
|-----------------------------------|---------------------|------------|---------------|--------------------|---------------|--------------------|--------------------|
| 07/11/2018                        | CUDA (73670), TRACY | SAI.TRAILS | 0.00          | 61,148.39          | 0.00          | 61,148.39          | 22,349.74          |
| * TRAILERS SUBTOTAL *             |                     |            | <u>\$0.00</u> | <u>\$61,148.39</u> | <u>\$0.00</u> | <u>\$61,148.39</u> | <u>\$22,349.74</u> |
| *** BROKER TRANSACTIONS TOTAL *** |                     |            | <u>\$0.00</u> | <u>\$61,678.29</u> | <u>\$0.00</u> | <u>\$61,678.29</u> | <u>\$22,543.42</u> |

OVERRIDE TRANSACTIONSBROKER: JODI GALLOVICH #73712

|                                     |                         |                      |               |                   |               |                   |                   |
|-------------------------------------|-------------------------|----------------------|---------------|-------------------|---------------|-------------------|-------------------|
| 07/11/2018                          | GALLOVICH (73712), JODI | SAI SECURITES INCOME | 0.00          | 3,739.20          | 0.00          | 3,739.20          | 502.92            |
| 07/11/2018                          | GALLOVICH (73712), JODI | SAI.TRAILS           | 0.00          | 4,533.68          | 0.00          | 4,533.68          | 609.78            |
| * JODI GALLOVICH SUBTOTAL *         |                         |                      | <u>\$0.00</u> | <u>\$8,272.88</u> | <u>\$0.00</u> | <u>\$8,272.88</u> | <u>\$1,112.70</u> |
| *** OVERRIDE TRANSACTIONS TOTAL *** |                         |                      | <u>\$0.00</u> | <u>\$8,272.88</u> | <u>\$0.00</u> | <u>\$8,272.88</u> | <u>\$1,112.70</u> |

ADJUSTMENTS

|                                 |  |  |               |               |               |               |                  |
|---------------------------------|--|--|---------------|---------------|---------------|---------------|------------------|
| 2. MONTHLY SVC & TECHNOLOGY FEE |  |  |               |               |               |               | -227.50          |
| 9. MONTHLY ADMIN SVC FEE        |  |  |               |               |               |               | -25.00           |
| 15. SAI SIPC PE 07/06/18        |  |  |               |               |               |               | -10.43           |
| *** ADJUSTMENTS TOTAL ***       |  |  | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$-262.93</u> |

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|------|--------|------------|----------------------|---------------------|--------------------|-------------------|---|
|      |        |            |                      |                     |                    |                   | <u>BROKER COMMISSION TOTALS</u>           |
|      |        |            |                      |                     |                    |                   | Broker Transactions \$22,543.42           |
|      |        |            |                      |                     |                    |                   | Split Transactions \$0.00                 |
|      |        |            |                      |                     |                    |                   | Override Transactions \$1,112.70          |
|      |        |            |                      |                     |                    |                   | Adjustments \$-262.93                     |
|      |        |            |                      |                     |                    |                   | Prior Period Balance \$0.00               |
|      |        |            |                      |                     |                    |                   | NASD Assessment \$0.00                    |
|      |        |            |                      |                     |                    |                   | SIPC Assessment \$0.00                    |
|      |        |            |                      |                     |                    |                   | <u>Check Amount \$23,393.19</u>           |
|      |        |            |                      |                     |                    |                   | <u>Year-to-date Earnings \$259,337.86</u> |