



COMMISSION STATEMENT

March 31, 2017

TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-LEE-NOR

BROKER #: TBB

BRANCH: TRUWEALTH FINANCIAL

, MI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|----------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^03/20/2017 | HENNEN, STUART | CAMBIAR INTERNATIONAL EQUITY FUND I | 136,340.76 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/27/2017 | HENNEN, ANN | CAMBIAR INTERNATIONAL EQUITY FUND I | -135,085.43 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/20/2017 | HENNEN, STUART | CONGRESS MID CAP GROWTH FUND INSTIT | 15,713.03 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/27/2017 | HENNEN, ANN | CONGRESS MID CAP GROWTH FUND INSTIT | -15,496.30 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/20/2017 | HENNEN, STUART | CREDIT SUISSE COMMODITY RETURN STRA | 16,921.73 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/27/2017 | HENNEN, ANN | CREDIT SUISSE COMMODITY RETURN STRA | -16,785.26 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/20/2017 | HENNEN, STUART | HORIZON ACTIVE ASSET ALLOCATION FUN | 87,026.02 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/27/2017 | HENNEN, ANN | HORIZON ACTIVE ASSET ALLOCATION FUN | -86,051.33 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/20/2017 | HENNEN, STUART | JH DISCIPLINED VAL CL 1 | 35,293.89 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/27/2017 | HENNEN, ANN | JH DISCIPLINED VAL CL 1 | -34,563.67 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/20/2017 | HENNEN, STUART | MFS INTL NEW DISCOVERY FUND I | 33,843.44 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/27/2017 | HENNEN, ANN | MFS INTL NEW DISCOVERY FUND I | -33,944.69 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/20/2017 | HENNEN, STUART | NAVIGATOR TACTICAL FIXED INCOME FUN | 9,669.56 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/27/2017 | HENNEN, ANN | NAVIGATOR TACTICAL FIXED INCOME FUN | -9,650.91 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/20/2017 | HENNEN, STUART | NORTHERN MULTI- MANAGER EMERGING MA | 19,339.12 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/27/2017 | HENNEN, ANN | NORTHERN MULTI- MANAGER EMERGING MA | -19,159.67 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/20/2017 | HENNEN, STUART | RESEARCH MARKET NEUTRAL FUND-INST | 16,921.73 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/27/2017 | HENNEN, ANN | RESEARCH MARKET NEUTRAL FUND-INST | -16,910.52 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/20/2017 | HENNEN, STUART | RIDGEWORTH SEIX FLOATING RATE HIGH | 14,504.34 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/27/2017 | HENNEN, ANN | RIDGEWORTH SEIX FLOATING RATE HIGH | -14,454.73 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/20/2017 | HENNEN, STUART | RIVERPARK/WEDGEWOOD FUND INSTITUTIO | 39,161.71 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/27/2017 | HENNEN, ANN | RIVERPARK/WEDGEWOOD FUND INSTITUTIO | -38,583.27 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/20/2017 | HENNEN, STUART | STERLING CAP FUNDS | 15,713.03 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/27/2017 | HENNEN, ANN | STERLING CAP FUNDS | -15,435.29 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$4,327.29 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|----------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| ^03/20/2017 | HENNEN, STUART | ISHARES TR RUSSELL 2000 INDEX FD | 23,609.37 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/28/2017 | HENNEN, ANN | ISHARES TR RUSSELL 2000 INDEX FD | 6,076.63 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/28/2017 | HENNEN, ANN | ISHARES TR S&P 500 INDEX FD | 91,899.67 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/28/2017 | HENNEN, ANN | POWERSHARES DB COMMODITY INDEX TRAC | 16,709.85 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/28/2017 | HENNEN, ANN | POWERSHARES EXCHANGE-TRADED FD TR I | 11,941.05 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/28/2017 | HENNEN, ANN | SPDR S&P MIDCAP 400 ETF TR UNIT SER | 38,894.16 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/28/2017 | HENNEN, ANN | SPDR SER TR BARCLAYS HIGH YIELD BD | 33,440.85 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/20/2017 | HENNEN, STUART | VANGUARD INDEX FDS VANGUARD REIT ET | 9,660.28 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/28/2017 | HENNEN, ANN | VANGUARD INDEX FDS VANGUARD REIT ET | 2,437.14 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| ^03/28/2017 | HENNEN, ANN | VANGUARD INTL EQUITY INDEX FD INC F | 234,095.77 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| * STOCKS SUBTOTAL * | | | \$468,764.77 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | |
| ^03/27/2017 | HENNEN, ANN | PERSHING | 0.00 | 212.33 | 0.00 | 212.33 | 100.0 | 0.00 |
| * RIA SUBTOTAL * | | | \$0.00 | \$212.33 | \$0.00 | \$212.33 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$473,092.06 | \$212.33 | \$0.00 | \$212.33 | | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|---------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |

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 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed