

COMMISSION STATEMENT

June 09, 2017

VAN DREGGE OLMSTEAD
10 RICHARDS DRIVE

BROKER #: 68
BRANCH: HOME OFFICE

WILMINGTON, DE 19810

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

05/22/2017	75501871	ESKENAZI, PHOEBE	**VIRTUS MULTI ASSET TREND	S	10,000.00	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501871	ESKENAZI, PHOEBE	**VIRTUS GLOBAL OPPORTUNITIES	B	18,254.65	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501871	ESKENAZI, PHOEBE	**VIRTUS MULTI ASSET TREND	S	18,254.65	0.00	0.00	0.00	70.0	0.00
05/11/2017	75501882	MARTIN, KATHY	**VIRTUS EQUITY TREND FUND	S	30,616.33	0.00	0.00	0.00	70.0	0.00
05/11/2017	75501882	MARTIN, KATHY	**VIRTUS GLOBAL OPPORTUNITIES	B	30,616.33	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY	**KEELEY SMALL CAP VALUE FD	S	8,935.05	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY	**VIRTUS FOREIGN OPPORTUNITIES	S	5,856.43	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY	AMERICAN BEACON LARGE CAP VALUE FUN S		20,925.82	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY	AMG TR IV MNRS FAIRPOINTE MDCP FD S		15,044.79	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY	AQR FDS MANAGED FUTURES STRATEGY FD S		5,032.41	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY	GROWTH FD AMER INC SHS CL F-2	S	23,946.60	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY	HARBOR HIGH YIELD BOND FUND INSTITU S		3,958.55	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY	HARBOR INTERNATIONAL FUND INSTITUTI S		5,335.95	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY	INVESCO DEVELOPING MARKETS FD CL Y S		13,875.22	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY	INVESCO INTERNATIONAL SMALL COMPANY S		5,215.36	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY	INVESTMENT MANAGERS SER TR BERWYN F S		4,119.80	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY	LEGG MASON GBL ASSET MGT TR LEGG MA S		4,143.19	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY	RIDGEWORTH FDS SEIX FLTG RATE INCOM S		3,869.57	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY	RIVERNORTH FDS DOUBLELINE STRATEGIC S		5,515.59	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY	TCW FDS INC EMERGING MKTS INCOME FD S		5,042.40	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501886	MARTIN, KATHY	**VIRTUS GLOBAL OPPORTUNITIES	B	45,000.00	1,462.50	0.00	1,462.50	70.0	1,023.75
* MUTUAL FUNDS SUBTOTAL *					\$283,558.69	\$1,462.50	\$0.00	\$1,462.50		\$1,023.75

PRODUCT CATEGORY: STOCKS

05/05/2017	75501461	OLMSTEAD, ELIZABETH	APPLE INC	B	7,435.50	78.75	0.00	78.75	70.0	0.00
05/05/2017	75501462	OLMSTEAD, ELIZABETH	APPLE INC	B	7,435.50	78.75	0.00	78.75	70.0	0.00
05/05/2017	75501462	OLMSTEAD, ELIZABETH	APPLE INC	S	-7,435.50	-78.75	0.00	-78.75	70.0	-55.13 C
05/24/2017	75501499	LESTARDO, AVON	FIRST SOLAR INC	B	9,404.15	231.67	0.00	231.67	70.0	0.00
05/24/2017	75501727	PENN, SHELDON	BECTON DICKINSON & CO	B	2,791.20	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501727	PENN, SHELDON	DOMINOS PIZZA INC	B	8,105.06	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501727	PENN, SHELDON	F5 NETWORKS INC	S	7,558.22	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/24/2017	75501727 PENN, SHELDON	MCCORMICK & CO INC NON-VOTING	B	3,593.38	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501727 PENN, SHELDON	O REILLY AUTOMOTIVE INC	S	7,404.51	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501733 OLMSTEAD, CHRISTYN	FIDELITY COVINGTON TR MSCI INFORMAT S		2,540.50	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501733 OLMSTEAD, CHRISTYN	FIDELITY COVINGTON TR MSCI UTILITIE S		2,203.96	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501733 OLMSTEAD, CHRISTYN	FIDELITY COVINGTON TR MSCI TELECOMM B		17,902.63	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501733 OLMSTEAD, CHRISTYN	FIDELITY COVINGTON TR MSCI MATERIAL S		2,283.04	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501733 OLMSTEAD, CHRISTYN	FIDELITY MSCI CONSUMER DISCRETIONAR S		2,525.95	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501733 OLMSTEAD, CHRISTYN	FIDELITY MSCI CONSUMER STAPLES INDE S		2,286.97	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501733 OLMSTEAD, CHRISTYN	FIDELITY MSCI FINANCIALS INDEX ETF S		1,968.03	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501733 OLMSTEAD, CHRISTYN	FIDELITY MSCI HEALTH CARE INDEX ETF S		2,438.90	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501733 OLMSTEAD, CHRISTYN	FIDELITY MSCI INDUSTRIALS INDEX ETF S		2,423.83	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501769 CHRISTIAN, SARA	FIDELITY COVINGTON TR MSCI INFORMAT S		1,608.98	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501769 CHRISTIAN, SARA	FIDELITY COVINGTON TR MSCI UTILITIE S		1,435.92	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501769 CHRISTIAN, SARA	FIDELITY COVINGTON TR MSCI TELECOMM B		11,618.71	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501769 CHRISTIAN, SARA	FIDELITY COVINGTON TR MSCI MATERIAL S		1,480.89	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501769 CHRISTIAN, SARA	FIDELITY MSCI CONSUMER DISCRETIONAR S		1,672.11	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501769 CHRISTIAN, SARA	FIDELITY MSCI CONSUMER STAPLES INDE S		1,491.50	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501769 CHRISTIAN, SARA	FIDELITY MSCI FINANCIALS INDEX ETF S		1,265.16	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501769 CHRISTIAN, SARA	FIDELITY MSCI HEALTH CARE INDEX ETF S		1,565.26	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501769 CHRISTIAN, SARA	FIDELITY MSCI INDUSTRIALS INDEX ETF S		1,570.37	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501772 OLMSTEAD, ELIZABETH	FIDELITY COVINGTON TR MSCI INFORMAT S		846.83	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501772 OLMSTEAD, ELIZABETH	FIDELITY COVINGTON TR MSCI UTILITIE S		768.05	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501772 OLMSTEAD, ELIZABETH	FIDELITY COVINGTON TR MSCI TELECOMM B		6,087.55	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501772 OLMSTEAD, ELIZABETH	FIDELITY COVINGTON TR MSCI MATERIAL S		771.30	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501772 OLMSTEAD, ELIZABETH	FIDELITY MSCI CONSUMER DISCRETIONAR S		889.42	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501772 OLMSTEAD, ELIZABETH	FIDELITY MSCI CONSUMER STAPLES INDE S		762.32	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501772 OLMSTEAD, ELIZABETH	FIDELITY MSCI FINANCIALS INDEX ETF S		667.72	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501772 OLMSTEAD, ELIZABETH	FIDELITY MSCI HEALTH CARE INDEX ETF S		800.83	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501772 OLMSTEAD, ELIZABETH	FIDELITY MSCI INDUSTRIALS INDEX ETF S		819.32	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501773 SMITH, GLENN	BECTON DICKINSON & CO	B	1,860.80	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501773 SMITH, GLENN	DOMINOS PIZZA INC	B	5,065.67	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501773 SMITH, GLENN	F5 NETWORKS INC	S	4,408.96	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501773 SMITH, GLENN	MCCORMICK & CO INC NON-VOTING	B	2,053.36	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501773 SMITH, GLENN	O REILLY AUTOMOTIVE INC	S	4,538.25	0.00	0.00	0.00	70.0	0.00
05/23/2017	75501774 SMITH, GLENN	FIDELITY COVINGTON TR MSCI INFORMAT B		26,497.30	0.00	0.00	0.00	70.0	0.00
05/23/2017	75501774 SMITH, GLENN	FIDELITY COVINGTON TR MSCI UTILITIE B		26,196.05	0.00	0.00	0.00	70.0	0.00
05/23/2017	75501774 SMITH, GLENN	FIDELITY COVINGTON TR MSCI TELECOMM B		25,608.74	0.00	0.00	0.00	70.0	0.00
05/23/2017	75501774 SMITH, GLENN	FIDELITY COVINGTON TR MSCI MATERIAL B		25,359.45	0.00	0.00	0.00	70.0	0.00
05/23/2017	75501774 SMITH, GLENN	FIDELITY MSCI CONSUMER DISCRETIONAR B		25,463.83	0.00	0.00	0.00	70.0	0.00
05/23/2017	75501774 SMITH, GLENN	FIDELITY MSCI CONSUMER STAPLES INDE B		26,018.47	0.00	0.00	0.00	70.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/23/2017	75501774	SMITH, GLENN	FIDELITY MSCI FINANCIALS INDEX ETF B	25,375.15	0.00	0.00	0.00	70.0	0.00
05/23/2017	75501774	SMITH, GLENN	FIDELITY MSCI HEALTH CARE INDEX ETF B	25,768.94	0.00	0.00	0.00	70.0	0.00
05/23/2017	75501774	SMITH, GLENN	FIDELITY MSCI INDUSTRIALS INDEX ETF B	25,571.08	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501777	DERR, LEROY	FIDELITY COVINGTON TR MSCI INFORMAT S	4,022.46	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501777	DERR, LEROY	FIDELITY COVINGTON TR MSCI UTILITIE S	3,472.91	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501777	DERR, LEROY	FIDELITY COVINGTON TR MSCI TELECOMM B	28,441.29	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501777	DERR, LEROY	FIDELITY COVINGTON TR MSCI MATERIAL S	3,609.67	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501777	DERR, LEROY	FIDELITY MSCI CONSUMER DISCRETIONAR S	4,055.76	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501777	DERR, LEROY	FIDELITY MSCI CONSUMER STAPLES INDE S	3,612.75	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501777	DERR, LEROY	FIDELITY MSCI FINANCIALS INDEX ETF S	3,092.62	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501777	DERR, LEROY	FIDELITY MSCI HEALTH CARE INDEX ETF S	3,822.15	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501777	DERR, LEROY	FIDELITY MSCI INDUSTRIALS INDEX ETF S	3,891.79	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501778	VORMWALD, G ROBERT	BECTON DICKINSON & CO B	4,652.00	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501778	VORMWALD, G ROBERT	DOMINOS PIZZA INC B	13,170.73	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501778	VORMWALD, G ROBERT	F5 NETWORKS INC S	12,597.03	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501778	VORMWALD, G ROBERT	MCCORMICK & CO INC NON-VOTING B	5,133.40	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501778	VORMWALD, G ROBERT	O REILLY AUTOMOTIVE INC S	12,181.61	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501779	OLMSTEAD, VAN	BECTON DICKINSON & CO B	2,791.20	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501779	OLMSTEAD, VAN	DOMINOS PIZZA INC B	5,065.67	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501779	OLMSTEAD, VAN	F5 NETWORKS INC S	5,038.81	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501779	OLMSTEAD, VAN	MCCORMICK & CO INC NON-VOTING B	2,053.36	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501779	OLMSTEAD, VAN	O REILLY AUTOMOTIVE INC S	5,015.96	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501780	OLMSTEAD, VAN	FIDELITY COVINGTON TR MSCI INFORMAT S	1,736.01	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501780	OLMSTEAD, VAN	FIDELITY COVINGTON TR MSCI UTILITIE S	1,502.70	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501780	OLMSTEAD, VAN	FIDELITY COVINGTON TR MSCI TELECOMM B	12,306.01	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501780	OLMSTEAD, VAN	FIDELITY COVINGTON TR MSCI MATERIAL S	1,573.45	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501780	OLMSTEAD, VAN	FIDELITY MSCI CONSUMER DISCRETIONAR S	1,743.26	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501780	OLMSTEAD, VAN	FIDELITY MSCI CONSUMER STAPLES INDE S	1,557.79	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501780	OLMSTEAD, VAN	FIDELITY MSCI FINANCIALS INDEX ETF S	1,370.59	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501780	OLMSTEAD, VAN	FIDELITY MSCI HEALTH CARE INDEX ETF S	1,674.47	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501780	OLMSTEAD, VAN	FIDELITY MSCI INDUSTRIALS INDEX ETF S	1,672.79	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501782	HALLAM, DONALD	FIDELITY COVINGTON TR MSCI INFORMAT S	1,270.25	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501782	HALLAM, DONALD	FIDELITY COVINGTON TR MSCI UTILITIE S	1,101.98	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501782	HALLAM, DONALD	FIDELITY COVINGTON TR MSCI TELECOMM B	9,065.87	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501782	HALLAM, DONALD	FIDELITY COVINGTON TR MSCI MATERIAL S	1,172.37	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501782	HALLAM, DONALD	FIDELITY MSCI CONSUMER DISCRETIONAR S	1,280.76	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501782	HALLAM, DONALD	FIDELITY MSCI CONSUMER STAPLES INDE S	1,160.06	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501782	HALLAM, DONALD	FIDELITY MSCI FINANCIALS INDEX ETF S	984.02	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501782	HALLAM, DONALD	FIDELITY MSCI HEALTH CARE INDEX ETF S	1,237.65	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501782	HALLAM, DONALD	FIDELITY MSCI INDUSTRIALS INDEX ETF S	1,228.99	0.00	0.00	0.00	70.0	0.00

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
05/24/2017	75501783 HALLAM, DONALD	BECTON DICKINSON & CO	B	8,373.59	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501783 HALLAM, DONALD	DOMINOS PIZZA INC	B	20,262.66	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501783 HALLAM, DONALD	F5 NETWORKS INC	S	19,525.40	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501783 HALLAM, DONALD	MCCORMICK & CO INC NON-VOTING	B	8,213.44	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501783 HALLAM, DONALD	O REILLY AUTOMOTIVE INC	S	19,347.26	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501784 HALLAM, DONALD	FIDELITY COVINGTON TR MSCI INFORMAT S		4,911.64	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501784 HALLAM, DONALD	FIDELITY COVINGTON TR MSCI UTILITIE S		4,307.75	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501784 HALLAM, DONALD	FIDELITY COVINGTON TR MSCI TELECOMM B		34,954.32	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501784 HALLAM, DONALD	FIDELITY COVINGTON TR MSCI MATERIAL S		4,442.67	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501784 HALLAM, DONALD	FIDELITY MSCI CONSUMER DISCRETIONAR S		4,980.75	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501784 HALLAM, DONALD	FIDELITY MSCI CONSUMER STAPLES INDE S		4,441.36	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501784 HALLAM, DONALD	FIDELITY MSCI FINANCIALS INDEX ETF S		3,830.63	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501784 HALLAM, DONALD	FIDELITY MSCI HEALTH CARE INDEX ETF S		4,732.19	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501784 HALLAM, DONALD	FIDELITY MSCI INDUSTRIALS INDEX ETF S		4,779.39	0.00	0.00	0.00	70.0	0.00
05/04/2017	75501787 PETRONIS, GEORGE	FORD MOTOR CO PAR \$0.01	B	3,298.98	115.37	0.00	115.37	70.0	0.00
05/24/2017	75501790 SZANTO, PETER	BECTON DICKINSON & CO	B	2,791.20	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501790 SZANTO, PETER	DOMINOS PIZZA INC	B	7,091.93	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501790 SZANTO, PETER	F5 NETWORKS INC	S	6,928.37	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501790 SZANTO, PETER	MCCORMICK & CO INC NON-VOTING	B	2,566.70	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501790 SZANTO, PETER	O REILLY AUTOMOTIVE INC	S	6,449.09	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501793 WITMAN, RUSSELL	BECTON DICKINSON & CO	B	930.40	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501793 WITMAN, RUSSELL	DOMINOS PIZZA INC	B	3,039.40	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501793 WITMAN, RUSSELL	F5 NETWORKS INC	S	2,519.41	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501793 WITMAN, RUSSELL	MCCORMICK & CO INC NON-VOTING	B	1,026.68	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501793 WITMAN, RUSSELL	O REILLY AUTOMOTIVE INC	S	2,627.41	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501794 WITMAN, RUSSELL	BECTON DICKINSON & CO	B	1,860.80	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501794 WITMAN, RUSSELL	DOMINOS PIZZA INC	B	5,065.67	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501794 WITMAN, RUSSELL	F5 NETWORKS INC	S	5,038.81	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501794 WITMAN, RUSSELL	MCCORMICK & CO INC NON-VOTING	B	2,053.36	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501794 WITMAN, RUSSELL	O REILLY AUTOMOTIVE INC	S	5,015.96	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501805 BARBARAS, GLEN	BECTON DICKINSON & CO	B	2,791.20	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501805 BARBARAS, GLEN	DOMINOS PIZZA INC	B	5,065.67	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501805 BARBARAS, GLEN	F5 NETWORKS INC	S	5,038.81	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501805 BARBARAS, GLEN	MCCORMICK & CO INC NON-VOTING	B	2,053.36	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501805 BARBARAS, GLEN	O REILLY AUTOMOTIVE INC	S	4,777.10	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501807 WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI INFORMAT S		5,716.13	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501807 WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI UTILITIE S		5,042.40	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501807 WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI TELECOMM B		42,187.37	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501807 WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI MATERIAL S		5,059.71	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501807 WRIGHT, PAMELA	FIDELITY MSCI CONSUMER DISCRETIONAR S		5,870.17	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
05/01/2017	75501807	WRIGHT, PAMELA		FIDELITY MSCI CONSUMER STAPLES INDE S	5,369.40	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501807	WRIGHT, PAMELA		FIDELITY MSCI FINANCIALS INDEX ETF S	3,936.06	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501807	WRIGHT, PAMELA		FIDELITY MSCI HEALTH CARE INDEX ETF S	4,841.39	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501807	WRIGHT, PAMELA		FIDELITY MSCI INDUSTRIALS INDEX ETF S	5,496.30	0.00	0.00	0.00	70.0	0.00	
05/24/2017	75501808	WRIGHT, PAMELA		BECTON DICKINSON & CO	B	1,860.80	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501808	WRIGHT, PAMELA		DOMINOS PIZZA INC	B	5,065.67	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501808	WRIGHT, PAMELA		F5 NETWORKS INC	S	4,408.96	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501808	WRIGHT, PAMELA		MCCORMICK & CO INC NON-VOTING	B	2,053.36	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501808	WRIGHT, PAMELA		O REILLY AUTOMOTIVE INC	S	4,777.10	0.00	0.00	0.00	70.0	0.00
05/18/2017	75501809	WRIGHT, PAMELA		ALNYLAM PHARMACEUTICALS INC	S	14,092.00	210.00	0.00	210.00	70.0	0.00
05/24/2017	75501817	GELLIS, HOWARD		BECTON DICKINSON & CO	B	11,164.79	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501817	GELLIS, HOWARD		DOMINOS PIZZA INC	B	27,354.59	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501817	GELLIS, HOWARD		F5 NETWORKS INC	S	26,453.76	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501817	GELLIS, HOWARD		MCCORMICK & CO INC NON-VOTING	B	11,293.48	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501817	GELLIS, HOWARD		O REILLY AUTOMOTIVE INC	S	25,318.63	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501818	GELLIS, HOWARD		FIDELITY COVINGTON TR MSCI INFORMAT S	12,025.04	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501818	GELLIS, HOWARD		FIDELITY COVINGTON TR MSCI UTILITIE S	10,418.74	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501818	GELLIS, HOWARD		FIDELITY COVINGTON TR MSCI TELECOMM B	84,963.86	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501818	GELLIS, HOWARD		FIDELITY COVINGTON TR MSCI MATERIAL S	10,829.02	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501818	GELLIS, HOWARD		FIDELITY MSCI CONSUMER DISCRETIONAR S	12,131.69	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501818	GELLIS, HOWARD		FIDELITY MSCI CONSUMER STAPLES INDE S	10,805.10	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501818	GELLIS, HOWARD		FIDELITY MSCI FINANCIALS INDEX ETF S	9,313.00	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501818	GELLIS, HOWARD		FIDELITY MSCI HEALTH CARE INDEX ETF S	11,466.45	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501818	GELLIS, HOWARD		FIDELITY MSCI INDUSTRIALS INDEX ETF S	11,572.95	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501820	KLAHN, ROBERT		FIDELITY COVINGTON TR MSCI INFORMAT S	3,472.02	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501820	KLAHN, ROBERT		FIDELITY COVINGTON TR MSCI UTILITIE S	3,038.80	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501820	KLAHN, ROBERT		FIDELITY COVINGTON TR MSCI TELECOMM B	24,775.67	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501820	KLAHN, ROBERT		FIDELITY COVINGTON TR MSCI MATERIAL S	3,146.89	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501820	KLAHN, ROBERT		FIDELITY MSCI CONSUMER DISCRETIONAR S	3,557.68	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501820	KLAHN, ROBERT		FIDELITY MSCI CONSUMER STAPLES INDE S	3,148.72	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501820	KLAHN, ROBERT		FIDELITY MSCI FINANCIALS INDEX ETF S	2,706.04	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501820	KLAHN, ROBERT		FIDELITY MSCI HEALTH CARE INDEX ETF S	3,348.93	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501820	KLAHN, ROBERT		FIDELITY MSCI INDUSTRIALS INDEX ETF S	3,379.71	0.00	0.00	0.00	70.0	0.00	
05/24/2017	75501822	KLAHN, ROBERT		BECTON DICKINSON & CO	B	1,860.80	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501822	KLAHN, ROBERT		DOMINOS PIZZA INC	B	6,078.80	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501822	KLAHN, ROBERT		F5 NETWORKS INC	S	5,668.66	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501822	KLAHN, ROBERT		MCCORMICK & CO INC NON-VOTING	B	2,566.70	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501822	KLAHN, ROBERT		O REILLY AUTOMOTIVE INC	S	5,254.81	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501857	REMINGTON, THOMAS		FIDELITY COVINGTON TR MSCI INFORMAT S	1,016.20	0.00	0.00	0.00	70.0	0.00	
05/01/2017	75501857	REMINGTON, THOMAS		FIDELITY COVINGTON TR MSCI UTILITIE S	834.84	0.00	0.00	0.00	70.0	0.00	

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/01/2017	75501857	REMINGTON, THOMAS	FIDELITY COVINGTON TR MSCI TELECOMM B	6,873.04	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501857	REMINGTON, THOMAS	FIDELITY COVINGTON TR MSCI MATERIAL S	863.85	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501857	REMINGTON, THOMAS	FIDELITY MSCI CONSUMER DISCRETIONAR S	960.57	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501857	REMINGTON, THOMAS	FIDELITY MSCI CONSUMER STAPLES INDE S	861.76	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501857	REMINGTON, THOMAS	FIDELITY MSCI FINANCIALS INDEX ETF S	738.01	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501857	REMINGTON, THOMAS	FIDELITY MSCI HEALTH CARE INDEX ETF S	946.44	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501857	REMINGTON, THOMAS	FIDELITY MSCI INDUSTRIALS INDEX ETF S	955.88	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501858	REMINGTON, THOMAS	BECTON DICKINSON & CO B	5,582.39	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501858	REMINGTON, THOMAS	DOMINOS PIZZA INC B	13,170.73	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501858	REMINGTON, THOMAS	F5 NETWORKS INC S	11,967.18	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501858	REMINGTON, THOMAS	MCCORMICK & CO INC NON-VOTING B	5,133.40	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501858	REMINGTON, THOMAS	O REILLY AUTOMOTIVE INC S	11,942.75	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501859	LOVE, MARGARET	FIDELITY COVINGTON TR MSCI INFORMAT S	1,820.69	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501859	LOVE, MARGARET	FIDELITY COVINGTON TR MSCI UTILITIE S	1,602.88	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501859	LOVE, MARGARET	FIDELITY COVINGTON TR MSCI TELECOMM B	12,862.40	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501859	LOVE, MARGARET	FIDELITY COVINGTON TR MSCI MATERIAL S	1,635.15	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501859	LOVE, MARGARET	FIDELITY MSCI CONSUMER DISCRETIONAR S	1,849.99	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501859	LOVE, MARGARET	FIDELITY MSCI CONSUMER STAPLES INDE S	1,624.08	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501859	LOVE, MARGARET	FIDELITY MSCI FINANCIALS INDEX ETF S	1,405.74	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501859	LOVE, MARGARET	FIDELITY MSCI HEALTH CARE INDEX ETF S	1,747.27	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501859	LOVE, MARGARET	FIDELITY MSCI INDUSTRIALS INDEX ETF S	1,741.06	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501860	LOVE, MARGARET	FIDELITY COVINGTON TR MSCI INFORMAT S	3,810.75	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501860	LOVE, MARGARET	FIDELITY COVINGTON TR MSCI UTILITIE S	3,305.95	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501860	LOVE, MARGARET	FIDELITY COVINGTON TR MSCI TELECOMM B	27,066.69	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501860	LOVE, MARGARET	FIDELITY COVINGTON TR MSCI MATERIAL S	3,455.41	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501860	LOVE, MARGARET	FIDELITY MSCI CONSUMER DISCRETIONAR S	3,842.29	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501860	LOVE, MARGARET	FIDELITY MSCI CONSUMER STAPLES INDE S	3,447.03	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501860	LOVE, MARGARET	FIDELITY MSCI FINANCIALS INDEX ETF S	2,987.19	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501860	LOVE, MARGARET	FIDELITY MSCI HEALTH CARE INDEX ETF S	3,640.14	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501860	LOVE, MARGARET	FIDELITY MSCI INDUSTRIALS INDEX ETF S	3,686.96	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501861	BENJAMIN, WAYNE	BECTON DICKINSON & CO B	1,860.80	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501861	BENJAMIN, WAYNE	DOMINOS PIZZA INC B	6,078.80	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501861	BENJAMIN, WAYNE	F5 NETWORKS INC S	5,668.66	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501861	BENJAMIN, WAYNE	MCCORMICK & CO INC NON-VOTING B	2,566.70	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501861	BENJAMIN, WAYNE	O REILLY AUTOMOTIVE INC S	5,732.52	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501866	WELAISH, JEFFREY	FIDELITY COVINGTON TR MSCI INFORMAT S	5,335.05	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501866	WELAISH, JEFFREY	FIDELITY COVINGTON TR MSCI UTILITIE S	4,641.68	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501866	WELAISH, JEFFREY	FIDELITY COVINGTON TR MSCI TELECOMM B	37,899.91	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501866	WELAISH, JEFFREY	FIDELITY COVINGTON TR MSCI MATERIAL S	4,843.75	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501866	WELAISH, JEFFREY	FIDELITY MSCI CONSUMER DISCRETIONAR S	5,407.67	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/01/2017	75501866 WELAISH, JEFFREY	FIDELITY MSCI CONSUMER STAPLES INDE S		4,805.95	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501866 WELAISH, JEFFREY	FIDELITY MSCI FINANCIALS INDEX ETF S		4,182.06	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501866 WELAISH, JEFFREY	FIDELITY MSCI HEALTH CARE INDEX ETF S		5,132.60	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501866 WELAISH, JEFFREY	FIDELITY MSCI INDUSTRIALS INDEX ETF S		5,154.91	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501870 ESKENAZI, PHOEBE	BECTON DICKINSON & CO	B	1,860.80	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501870 ESKENAZI, PHOEBE	DOMINOS PIZZA INC	B	3,039.40	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501870 ESKENAZI, PHOEBE	F5 NETWORKS INC	S	3,149.26	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501870 ESKENAZI, PHOEBE	MCCORMICK & CO INC NON-VOTING	B	1,540.02	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501870 ESKENAZI, PHOEBE	O REILLY AUTOMOTIVE INC	S	3,105.12	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	ISHARES 7 TO 10 YEAR TREASURY BOND S		425.29	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	ISHARES FLOATING RATE BOND ETF	S	915.66	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	ISHARES IBOXX \$ INVESTMENT GRADE CO S		593.40	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	ISHARES IBOXX \$ HIGH YIELD CORPORAT S		970.12	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	ISHARES INC MSCI EUROZONE ETF	S	2,088.74	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	ISHARES MSCI SWITZERLAND CAPPED ETF S		797.76	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	ISHARES MSCI PACIFIC EX JAPAN ETF	S	671.70	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	ISHARES MSCI CANADA ETF	S	479.21	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	ISHARES MSCI EMERGING MARKETS ETF	S	1,445.13	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	ISHARES TIPS BOND ETF	S	917.36	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	ISHARES U S REAL ESTATE ETF	S	957.63	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	ISHARES U S INDUSTRIALS ETF	S	1,162.98	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	ISHARES U S HEALTHCARE ETF	S	947.54	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	ISHARES U S FINANCIALS ETF	S	1,056.63	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	ISHARES U S TECHNOLOGY ETF	S	959.91	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	SECTOR SPDR TRUST SBI CONSUMER STAP S		776.20	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	SELECT SECTOR SPDR FUND SHS BEN INT S		1,127.91	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	SELECT SECTOR SPDR TRUST THE UTILIT S		935.51	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	SELECT SECTOR SPDR TRUST SHS BEN IN S		413.42	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	SELECT SECTOR SPDR FUND SHS BEN CON S		1,082.07	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501883 MARTIN, KATHY	SPDR GOLD TR GOLD SHS	S	1,204.93	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883 MARTIN, KATHY	ISHARES 7 TO 10 YEAR TREASURY BOND S		9,015.10	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883 MARTIN, KATHY	ISHARES FLOATING RATE BOND ETF	S	8,895.25	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883 MARTIN, KATHY	ISHARES IBOXX \$ INVESTMENT GRADE CO S		9,028.80	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883 MARTIN, KATHY	ISHARES IBOXX \$ HIGH YIELD CORPORAT S		9,070.18	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883 MARTIN, KATHY	ISHARES INC MSCI EUROZONE ETF	S	10,832.56	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883 MARTIN, KATHY	ISHARES MSCI SWITZERLAND CAPPED ETF S		5,300.88	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883 MARTIN, KATHY	ISHARES MSCI PACIFIC EX JAPAN ETF	S	5,142.28	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883 MARTIN, KATHY	ISHARES MSCI CANADA ETF	S	4,966.60	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883 MARTIN, KATHY	ISHARES MSCI EMERGING MARKETS ETF	S	10,650.08	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883 MARTIN, KATHY	ISHARES TIPS BOND ETF	S	8,980.72	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
05/12/2017	75501883	MARTIN, KATHY		ISHARES U S REAL ESTATE ETF	S	7,242.84	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883	MARTIN, KATHY		ISHARES U S INDUSTRIALS ETF	S	6,166.08	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883	MARTIN, KATHY		ISHARES U S HEALTHCARE ETF	S	6,478.82	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883	MARTIN, KATHY		ISHARES U S FINANCIALS ETF	S	6,204.00	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883	MARTIN, KATHY		ISHARES U S TECHNOLOGY ETF	S	6,950.16	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883	MARTIN, KATHY		SECTOR SPDR TRUST SBI CONSUMER STAP S		6,388.12	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883	MARTIN, KATHY		SELECT SECTOR SPDR FUND SHS BEN INT S		6,113.20	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883	MARTIN, KATHY		SELECT SECTOR SPDR TRUST THE UTILIT S		6,450.00	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883	MARTIN, KATHY		SELECT SECTOR SPDR TRUST SHS BEN IN S		5,570.26	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883	MARTIN, KATHY		SELECT SECTOR SPDR FUND SHS BEN CON S		6,397.10	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501883	MARTIN, KATHY		SPDR GOLD TR GOLD SHS	S	7,141.27	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501883	MARTIN, KATHY		SPDR INDEX SHS FDS S&P INTERNATIONAL B		3,750.60	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501883	MARTIN, KATHY		SPDR INDEX SHS FDS S&P WORLD EX-US B		26,291.07	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501883	MARTIN, KATHY		SPDR INDEX SHS FDS S&P EMERGING MAR B		7,481.24	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501883	MARTIN, KATHY		SPDR INDEX SHS FDS DOW JONES GLOBAL B		10,031.70	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501883	MARTIN, KATHY		SPDR INDEX SHARES FUNDS SPDR S&P EM B		1,244.16	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501883	MARTIN, KATHY		SPDR RUSSELL 1000 ETF	B	35,051.33	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501883	MARTIN, KATHY		SPDR RUSSELL 2000 ETF	B	9,942.09	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501883	MARTIN, KATHY		SPDR SERIES TRUST SPDR BLOOMBERG BA B		6,261.36	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501883	MARTIN, KATHY		SPDR SERIES TRUST SPDR BLOOMBERG BA B		18,732.65	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501883	MARTIN, KATHY		SPDR SERIES TRUST SPDR BLOOMBERG BA B		2,515.15	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501883	MARTIN, KATHY		SPDR SERIES TRUST SPDR BLOOMBERG BA B		3,740.88	0.00	0.00	0.00	70.0	0.00
04/28/2017	75501884	MARTIN, KATHY		ALPHABET INC CLASS C CAPITAL STOCK B		4,568.55	0.00	0.00	0.00	70.0	0.00
04/28/2017	75501884	MARTIN, KATHY		EAGLE MATERIALS INC	B	480.20	0.00	0.00	0.00	70.0	0.00
04/28/2017	75501884	MARTIN, KATHY		ELECTRONIC ARTS INC	B	471.00	0.00	0.00	0.00	70.0	0.00
04/28/2017	75501884	MARTIN, KATHY		J P MORGAN CHASE & CO	B	437.89	0.00	0.00	0.00	70.0	0.00
04/28/2017	75501884	MARTIN, KATHY		PROOFPOINT INC	S	748.60	0.00	0.00	0.00	70.0	0.00
04/28/2017	75501884	MARTIN, KATHY		TORO CO	S	324.26	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501884	MARTIN, KATHY		BECTON DICKINSON & CO	B	3,721.60	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501884	MARTIN, KATHY		DOMINOS PIZZA INC	B	9,118.20	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501884	MARTIN, KATHY		F5 NETWORKS INC	S	8,817.92	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501884	MARTIN, KATHY		MCCORMICK & CO INC NON-VOTING	B	3,593.38	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501884	MARTIN, KATHY		O REILLY AUTOMOTIVE INC	S	8,359.93	0.00	0.00	0.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY		ISHARES 1 TO 3 YEAR TREASURY BOND E S		6,422.00	105.00	0.00	105.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY		VANGUARD INTERMEDIATE TERM CORPORAT S		5,999.55	105.00	0.00	105.00	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY		VANGUARD SPECIALIZED FUNDS VANGUARD S		18,984.16	243.98	0.00	243.98	70.0	0.00
05/12/2017	75501885	MARTIN, KATHY		VANGUARD TOTAL BOND MARKET ETF	S	13,738.01	210.00	0.00	210.00	70.0	0.00
05/22/2017	75501885	MARTIN, KATHY		FIDELITY COVINGTON TR MSCI INFORMAT B		20,270.95	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501885	MARTIN, KATHY		FIDELITY COVINGTON TR MSCI UTILITIE B		19,988.17	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501885	MARTIN, KATHY		FIDELITY COVINGTON TR MSCI TELECOMM B		19,582.26	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/22/2017	75501885 MARTIN, KATHY	FIDELITY COVINGTON TR MSCI MATERIAL B		19,424.23	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501885 MARTIN, KATHY	FIDELITY MSCI CONSUMER DISCRETIONAR B		19,524.51	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501885 MARTIN, KATHY	FIDELITY MSCI CONSUMER STAPLES INDE B		19,813.35	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501885 MARTIN, KATHY	FIDELITY MSCI FINANCIALS INDEX ETF B		19,455.70	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501885 MARTIN, KATHY	FIDELITY MSCI HEALTH CARE INDEX ETF B		19,624.88	0.00	0.00	0.00	70.0	0.00
05/22/2017	75501885 MARTIN, KATHY	FIDELITY MSCI INDUSTRIALS INDEX ETF B		19,588.07	0.00	0.00	0.00	70.0	0.00
05/08/2017	75501888 KAYLOR, KEVIN	CANADIAN PACIFIC RAILWAY LTD	S	777.36	0.00	0.00	0.00	70.0	0.00
05/08/2017	75501888 KAYLOR, KEVIN	CELANESE CORPORATION SERIES A	S	432.61	0.00	0.00	0.00	70.0	0.00
05/08/2017	75501888 KAYLOR, KEVIN	GRAINGER W W INC	S	945.85	0.00	0.00	0.00	70.0	0.00
05/08/2017	75501888 KAYLOR, KEVIN	LENNOX INTL INC	S	834.26	0.00	0.00	0.00	70.0	0.00
05/08/2017	75501888 KAYLOR, KEVIN	MCCORMICK & CO INC NON-VOTING	S	501.61	0.00	0.00	0.00	70.0	0.00
05/08/2017	75501888 KAYLOR, KEVIN	NETFLIX COM INC	S	778.70	0.00	0.00	0.00	70.0	0.00
05/08/2017	75501888 KAYLOR, KEVIN	PROOFPOINT INC	S	407.65	0.00	0.00	0.00	70.0	0.00
05/08/2017	75501888 KAYLOR, KEVIN	TORO CO	S	331.96	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501888 KAYLOR, KEVIN	BECTON DICKINSON & CO	B	930.40	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501888 KAYLOR, KEVIN	DOMINOS PIZZA INC	B	3,039.40	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501888 KAYLOR, KEVIN	F5 NETWORKS INC	S	2,519.41	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501888 KAYLOR, KEVIN	MCCORMICK & CO INC NON-VOTING	B	1,026.68	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501888 KAYLOR, KEVIN	O REILLY AUTOMOTIVE INC	S	2,866.26	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501889 KAYLOR, KEVIN	FIDELITY COVINGTON TR MSCI INFORMAT S		2,032.40	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501889 KAYLOR, KEVIN	FIDELITY COVINGTON TR MSCI UTILITIE S		1,736.46	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501889 KAYLOR, KEVIN	FIDELITY COVINGTON TR MSCI TELECOMM B		14,367.93	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501889 KAYLOR, KEVIN	FIDELITY COVINGTON TR MSCI MATERIAL S		1,820.26	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501889 KAYLOR, KEVIN	FIDELITY MSCI CONSUMER DISCRETIONAR S		2,027.88	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501889 KAYLOR, KEVIN	FIDELITY MSCI CONSUMER STAPLES INDE S		1,822.95	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501889 KAYLOR, KEVIN	FIDELITY MSCI FINANCIALS INDEX ETF S		1,546.31	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501889 KAYLOR, KEVIN	FIDELITY MSCI HEALTH CARE INDEX ETF S		1,929.28	0.00	0.00	0.00	70.0	0.00
05/01/2017	75501889 KAYLOR, KEVIN	FIDELITY MSCI INDUSTRIALS INDEX ETF S		1,980.03	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501890 KAYLOR, KEVIN	BECTON DICKINSON & CO	B	4,652.00	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501890 KAYLOR, KEVIN	DOMINOS PIZZA INC	B	11,144.46	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501890 KAYLOR, KEVIN	F5 NETWORKS INC	S	11,337.33	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501890 KAYLOR, KEVIN	MCCORMICK & CO INC NON-VOTING	B	4,620.06	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501890 KAYLOR, KEVIN	O REILLY AUTOMOTIVE INC	S	10,748.48	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501914 NATASHA RAJ AHUJA	BECTON DICKINSON & CO	B	1,860.80	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501914 NATASHA RAJ AHUJA	DOMINOS PIZZA INC	B	6,078.80	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501914 NATASHA RAJ AHUJA	F5 NETWORKS INC	S	5,668.66	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501914 NATASHA RAJ AHUJA	MCCORMICK & CO INC NON-VOTING	B	2,566.70	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501914 NATASHA RAJ AHUJA	O REILLY AUTOMOTIVE INC	S	5,254.81	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501946 ANYA P AHUJA 1999	BECTON DICKINSON & CO	B	1,860.80	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501946 ANYA P AHUJA 1999	DOMINOS PIZZA INC	B	6,078.80	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/24/2017	75501946 ANYA P AHUJA 1999	F5 NETWORKS INC	S	5,668.66	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501946 ANYA P AHUJA 1999	MCCORMICK & CO INC NON-VOTING	B	2,566.70	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501946 ANYA P AHUJA 1999	O REILLY AUTOMOTIVE INC	S	5,254.81	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501953 MCCRAY, ROBIN	BECTON DICKINSON & CO	B	1,860.80	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501953 MCCRAY, ROBIN	DOMINOS PIZZA INC	B	4,052.53	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501953 MCCRAY, ROBIN	F5 NETWORKS INC	S	3,779.11	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501953 MCCRAY, ROBIN	MCCORMICK & CO INC NON-VOTING	B	1,540.02	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501953 MCCRAY, ROBIN	O REILLY AUTOMOTIVE INC	S	4,060.54	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501960 BLUE PELICAN LLC	BECTON DICKINSON & CO	B	8,373.59	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501960 BLUE PELICAN LLC	DOMINOS PIZZA INC	B	21,275.79	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501960 BLUE PELICAN LLC	F5 NETWORKS INC	S	20,785.10	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501960 BLUE PELICAN LLC	MCCORMICK & CO INC NON-VOTING	B	8,213.44	0.00	0.00	0.00	70.0	0.00
05/24/2017	75501960 BLUE PELICAN LLC	O REILLY AUTOMOTIVE INC	S	20,302.68	0.00	0.00	0.00	70.0	0.00
05/01/2017	75502088 KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI INFORMAT S		3,937.78	0.00	0.00	0.00	70.0	0.00
05/01/2017	75502088 KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI UTILITIE S		3,406.13	0.00	0.00	0.00	70.0	0.00
05/01/2017	75502088 KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI TELECOMM B		27,753.99	0.00	0.00	0.00	70.0	0.00
05/01/2017	75502088 KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI MATERIAL S		3,547.97	0.00	0.00	0.00	70.0	0.00
05/01/2017	75502088 KLAHN, ROBERT	FIDELITY MSCI CONSUMER DISCRETIONAR S		3,984.60	0.00	0.00	0.00	70.0	0.00
05/01/2017	75502088 KLAHN, ROBERT	FIDELITY MSCI CONSUMER STAPLES INDE S		3,546.46	0.00	0.00	0.00	70.0	0.00
05/01/2017	75502088 KLAHN, ROBERT	FIDELITY MSCI FINANCIALS INDEX ETF S		3,057.48	0.00	0.00	0.00	70.0	0.00
05/01/2017	75502088 KLAHN, ROBERT	FIDELITY MSCI HEALTH CARE INDEX ETF S		3,749.35	0.00	0.00	0.00	70.0	0.00
05/01/2017	75502088 KLAHN, ROBERT	FIDELITY MSCI INDUSTRIALS INDEX ETF S		3,789.37	0.00	0.00	0.00	70.0	0.00
* STOCKS SUBTOTAL *				\$2,323,591.44	\$1,299.77	\$0.00	\$1,299.77		\$-55.13
<u>PRODUCT CATEGORY: OPTIONS</u>									
05/01/2017	75501726 PENN, SHELDON	CALL NCR 07/21/17 38	B	1,290.00	48.38	0.00	48.38	70.0	0.00
05/08/2017	75501726 PENN, SHELDON	CALL GRMN 10/20/17 47.50	B	1,860.00	62.77	0.00	62.77	70.0	0.00
05/08/2017	75501726 PENN, SHELDON	CALL NKE 10/20/17 52.50	S	1,140.00	42.75	0.00	42.75	70.0	0.00
05/08/2017	75501726 PENN, SHELDON	PUT C 07/21/17 62.50	S	1,035.00	38.81	0.00	38.81	70.0	0.00
05/15/2017	75501726 PENN, SHELDON	CALL XLNX 09/15/17 60	B	1,920.00	63.67	0.00	63.67	70.0	0.00
05/19/2017	75501726 PENN, SHELDON	PUT ALNY 06/16/17 40	B	18.00	15.00	0.00	15.00	70.0	0.00
05/19/2017	75501726 PENN, SHELDON	PUT ALNY 09/15/17 65	S	2,400.00	70.90	0.00	70.90	70.0	0.00
05/22/2017	75501726 PENN, SHELDON	CALL MAR 10/20/17 97.50	B	2,790.00	75.43	0.00	75.43	70.0	0.00
05/22/2017	75501726 PENN, SHELDON	CALL NCR 07/21/17 38	S	810.00	37.50	0.00	37.50	70.0	0.00
05/24/2017	75501726 PENN, SHELDON	PUT SIG 07/21/17 75	S	5,910.00	112.85	0.00	112.85	70.0	0.00
05/30/2017	75501726 PENN, SHELDON	PUT NEM 09/15/17 37	B	1,290.00	48.38	0.00	48.38	70.0	0.00
* OPTIONS SUBTOTAL *				\$20,463.00	\$616.44	\$0.00	\$616.44		\$0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
05/16/2017	75501391 BORISH, MURIEL	FAS FEES	B	0.00	0.00	0.00	0.00	70.0	0.00
05/16/2017	75501727 PENN, SHELDON	FAS FEES	B	0.00	-791.22	0.00	-791.22	70.0	-553.85
05/16/2017	75501727 PENN, SHELDON	FAS FEES	B	0.00	791.22	0.00	791.22	70.0	553.85
05/04/2017	75501733 OLMSTEAD, CHRISTYN	FAS FEES	B	79.01	0.00	0.00	0.00	70.0	0.00
05/11/2017	75501733 OLMSTEAD, CHRISTYN	FAS FEES	B	0.00	-353.39	0.00	-353.39	70.0	-247.37
05/11/2017	75501733 OLMSTEAD, CHRISTYN	FAS FEES	B	0.00	353.39	0.00	353.39	70.0	247.37
05/04/2017	75501769 CHRISTIAN, SARA	FAS FEES	B	51.30	0.00	0.00	0.00	70.0	0.00
05/16/2017	75501769 CHRISTIAN, SARA	FAS FEES	B	0.00	206.90	0.00	206.90	70.0	144.83
05/16/2017	75501769 CHRISTIAN, SARA	FAS FEES	B	0.00	-206.90	0.00	-206.90	70.0	-144.83
05/04/2017	75501772 OLMSTEAD, ELIZABETH	FAS FEES	B	34.04	0.00	0.00	0.00	70.0	0.00
05/18/2017	75501772 OLMSTEAD, ELIZABETH	FAS FEES	B	0.00	-103.52	0.00	-103.52	70.0	-72.46
05/18/2017	75501772 OLMSTEAD, ELIZABETH	FAS FEES	B	0.00	103.52	0.00	103.52	70.0	72.46
05/16/2017	75501773 SMITH, GLENN	FAS FEES	B	0.00	-475.45	0.00	-475.45	70.0	-332.82
05/16/2017	75501773 SMITH, GLENN	FAS FEES	B	0.00	475.45	0.00	475.45	70.0	332.82
05/04/2017	75501774 SMITH, GLENN	FAS FEES	B	115.16	0.00	0.00	0.00	70.0	0.00
05/10/2017	75501774 SMITH, GLENN	FAS FEES	B	676.06	-676.06	0.00	-676.06	70.0	-473.24
05/11/2017	75501774 SMITH, GLENN	FAS FEES	B	-513.03	513.03	0.00	513.03	70.0	359.12
05/16/2017	75501774 SMITH, GLENN	FAS FEES	B	0.00	-462.73	0.00	-462.73	70.0	-323.91
05/16/2017	75501774 SMITH, GLENN	FAS FEES	B	0.00	462.73	0.00	462.73	70.0	323.91
05/16/2017	75501776 DERR, LEROY	FAS FEES	B	0.00	80.62	0.00	80.62	70.0	56.43
05/16/2017	75501776 DERR, LEROY	FAS FEES	B	0.00	-80.62	0.00	-80.62	70.0	-56.43
05/04/2017	75501777 DERR, LEROY	FAS FEES	B	125.51	0.00	0.00	0.00	70.0	0.00
05/16/2017	75501777 DERR, LEROY	FAS FEES	B	0.00	-506.41	0.00	-506.41	70.0	-354.49
05/16/2017	75501777 DERR, LEROY	FAS FEES	B	0.00	506.41	0.00	506.41	70.0	354.49
05/16/2017	75501778 VORMWALD, G ROBERT	FAS FEES	B	0.00	-1,246.39	0.00	-1,246.39	70.0	-872.47
05/16/2017	75501778 VORMWALD, G ROBERT	FAS FEES	B	0.00	1,246.39	0.00	1,246.39	70.0	872.47
05/16/2017	75501779 OLMSTEAD, VAN	FAS FEES	B	0.00	-514.97	0.00	-514.97	70.0	-360.48
05/16/2017	75501779 OLMSTEAD, VAN	FAS FEES	B	0.00	514.97	0.00	514.97	70.0	360.48
05/04/2017	75501780 OLMSTEAD, VAN	FAS FEES	B	68.77	0.00	0.00	0.00	70.0	0.00
05/16/2017	75501780 OLMSTEAD, VAN	FAS FEES	B	0.00	219.10	0.00	219.10	70.0	153.37
05/16/2017	75501780 OLMSTEAD, VAN	FAS FEES	B	0.00	-219.10	0.00	-219.10	70.0	-153.37
05/16/2017	75501781 HALLAM, DONALD	FAS FEES	B	0.00	116.81	0.00	116.81	70.0	81.77
05/16/2017	75501781 HALLAM, DONALD	FAS FEES	B	0.00	-116.81	0.00	-116.81	70.0	-81.77
05/04/2017	75501782 HALLAM, DONALD	FAS FEES	B	40.05	0.00	0.00	0.00	70.0	0.00
05/16/2017	75501782 HALLAM, DONALD	FAS FEES	B	0.00	161.58	0.00	161.58	70.0	113.11
05/16/2017	75501782 HALLAM, DONALD	FAS FEES	B	0.00	-161.58	0.00	-161.58	70.0	-113.11
05/16/2017	75501783 HALLAM, DONALD	FAS FEES	B	0.00	-1,879.51	0.00	-1,879.51	70.0	-1,315.66
05/16/2017	75501783 HALLAM, DONALD	FAS FEES	B	0.00	1,879.51	0.00	1,879.51	70.0	1,315.66
05/04/2017	75501784 HALLAM, DONALD	FAS FEES	B	160.15	0.00	0.00	0.00	70.0	0.00
05/16/2017	75501784 HALLAM, DONALD	FAS FEES	B	0.00	-622.37	0.00	-622.37	70.0	-435.66

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
05/16/2017	75501784 HALLAM, DONALD	FAS FEES	B	0.00	622.37	0.00	622.37	70.0	435.66
05/16/2017	75501790 SZANTO, PETER	FAS FEES	B	0.00	-674.21	0.00	-674.21	70.0	-471.95
05/16/2017	75501790 SZANTO, PETER	FAS FEES	B	0.00	674.21	0.00	674.21	70.0	471.95
05/16/2017	75501791 SZANTO, PETER	FAS FEES	B	0.00	748.58	0.00	748.58	70.0	524.01
05/16/2017	75501791 SZANTO, PETER	FAS FEES	B	0.00	-748.58	0.00	-748.58	70.0	-524.01
05/16/2017	75501793 WITMAN, RUSSELL	FAS FEES	B	0.00	-281.15	0.00	-281.15	70.0	-196.81
05/16/2017	75501793 WITMAN, RUSSELL	FAS FEES	B	0.00	281.15	0.00	281.15	70.0	196.81
05/16/2017	75501794 WITMAN, RUSSELL	FAS FEES	B	0.00	-523.30	0.00	-523.30	70.0	-366.31
05/16/2017	75501794 WITMAN, RUSSELL	FAS FEES	B	0.00	523.30	0.00	523.30	70.0	366.31
05/16/2017	75501805 BARBARAS, GLEN	FAS FEES	B	0.00	-514.99	0.00	-514.99	70.0	-360.49
05/16/2017	75501805 BARBARAS, GLEN	FAS FEES	B	0.00	514.99	0.00	514.99	70.0	360.49
05/04/2017	75501807 WRIGHT, PAMELA	FAS FEES	B	21.12	0.00	0.00	0.00	70.0	0.00
05/16/2017	75501807 WRIGHT, PAMELA	FAS FEES	B	0.00	990.65	0.00	990.65	70.0	693.46
05/16/2017	75501807 WRIGHT, PAMELA	FAS FEES	B	0.00	-990.65	0.00	-990.65	70.0	-693.46
05/16/2017	75501808 WRIGHT, PAMELA	FAS FEES	B	0.00	-504.69	0.00	-504.69	70.0	-353.28
05/16/2017	75501808 WRIGHT, PAMELA	FAS FEES	B	0.00	504.69	0.00	504.69	70.0	353.28
05/16/2017	75501817 GELLIS, HOWARD	FAS FEES	B	0.00	2,349.66	0.00	2,349.66	70.0	1,644.76
05/16/2017	75501817 GELLIS, HOWARD	FAS FEES	B	0.00	-2,349.66	0.00	-2,349.66	70.0	-1,644.76
05/16/2017	75501818 GELLIS, HOWARD	FAS FEES	B	0.00	-1,493.47	0.00	-1,493.47	70.0	-1,045.43
05/16/2017	75501818 GELLIS, HOWARD	FAS FEES	B	0.00	1,493.47	0.00	1,493.47	70.0	1,045.43
05/16/2017	75501820 KLAHN, ROBERT	FAS FEES	B	0.00	-441.22	0.00	-441.22	70.0	-308.85
05/16/2017	75501820 KLAHN, ROBERT	FAS FEES	B	0.00	441.22	0.00	441.22	70.0	308.85
05/16/2017	75501822 KLAHN, ROBERT	FAS FEES	B	0.00	556.31	0.00	556.31	70.0	389.42
05/16/2017	75501822 KLAHN, ROBERT	FAS FEES	B	0.00	-556.31	0.00	-556.31	70.0	-389.42
05/04/2017	75501857 REMINGTON, THOMAS	FAS FEES	B	30.34	0.00	0.00	0.00	70.0	0.00
05/16/2017	75501857 REMINGTON, THOMAS	FAS FEES	B	0.00	122.39	0.00	122.39	70.0	85.67
05/16/2017	75501857 REMINGTON, THOMAS	FAS FEES	B	0.00	-122.39	0.00	-122.39	70.0	-85.67
05/16/2017	75501858 REMINGTON, THOMAS	FAS FEES	B	0.00	1,218.67	0.00	1,218.67	70.0	853.07
05/16/2017	75501858 REMINGTON, THOMAS	FAS FEES	B	0.00	-1,218.67	0.00	-1,218.67	70.0	-853.07
05/04/2017	75501859 LOVE, MARGARET	FAS FEES	B	56.71	0.00	0.00	0.00	70.0	0.00
05/16/2017	75501859 LOVE, MARGARET	FAS FEES	B	0.00	228.77	0.00	228.77	70.0	160.14
05/16/2017	75501859 LOVE, MARGARET	FAS FEES	B	0.00	-228.77	0.00	-228.77	70.0	-160.14
05/04/2017	75501860 LOVE, MARGARET	FAS FEES	B	124.01	0.00	0.00	0.00	70.0	0.00
05/16/2017	75501860 LOVE, MARGARET	FAS FEES	B	0.00	-481.89	0.00	-481.89	70.0	-337.32
05/16/2017	75501860 LOVE, MARGARET	FAS FEES	B	0.00	481.89	0.00	481.89	70.0	337.32
05/16/2017	75501861 BENJAMIN, WAYNE	FAS FEES	B	0.00	599.84	0.00	599.84	70.0	419.89
05/16/2017	75501861 BENJAMIN, WAYNE	FAS FEES	B	0.00	-599.84	0.00	-599.84	70.0	-419.89
05/16/2017	75501862 BENJAMIN, WAYNE	FAS FEES	B	0.00	-163.33	0.00	-163.33	70.0	-114.33
05/16/2017	75501862 BENJAMIN, WAYNE	FAS FEES	B	0.00	163.33	0.00	163.33	70.0	114.33
05/04/2017	75501866 WELAISH, JEFFREY	FAS FEES	B	167.23	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
05/11/2017	75501866 WELAISH, JEFFREY	FAS FEES	B	0.00	748.01	0.00	748.01	70.0	523.61
05/11/2017	75501866 WELAISH, JEFFREY	FAS FEES	B	0.00	-748.01	0.00	-748.01	70.0	-523.61
05/16/2017	75501870 ESKENAZI, PHOEBE	FAS FEES	B	0.00	-334.69	0.00	-334.69	70.0	-234.28
05/16/2017	75501870 ESKENAZI, PHOEBE	FAS FEES	B	0.00	334.69	0.00	334.69	70.0	234.28
05/16/2017	75501883 MARTIN, KATHY	FAS FEES	B	0.00	440.54	0.00	440.54	70.0	308.38
05/16/2017	75501883 MARTIN, KATHY	FAS FEES	B	0.00	-440.54	0.00	-440.54	70.0	-308.38
05/18/2017	75501883 MARTIN, KATHY	FAS FEES	B	421.39	-421.39	0.00	-421.39	70.0	-294.97
05/16/2017	75501884 MARTIN, KATHY	FAS FEES	B	0.00	-862.58	0.00	-862.58	70.0	-603.81
05/16/2017	75501884 MARTIN, KATHY	FAS FEES	B	0.00	862.58	0.00	862.58	70.0	603.81
05/17/2017	75501885 MARTIN, KATHY	FAS FEES	B	-344.46	344.46	0.00	344.46	70.0	241.12
05/23/2017	75501885 MARTIN, KATHY	FAS FEES	B	0.00	-298.53	0.00	-298.53	70.0	-208.97
05/23/2017	75501885 MARTIN, KATHY	FAS FEES	B	0.00	298.53	0.00	298.53	70.0	208.97
05/16/2017	75501888 KAYLOR, KEVIN	FAS FEES	B	0.00	260.24	0.00	260.24	70.0	182.17
05/16/2017	75501888 KAYLOR, KEVIN	FAS FEES	B	0.00	-260.24	0.00	-260.24	70.0	-182.17
05/04/2017	75501889 KAYLOR, KEVIN	FAS FEES	B	34.41	0.00	0.00	0.00	70.0	0.00
05/16/2017	75501889 KAYLOR, KEVIN	FAS FEES	B	0.00	255.75	0.00	255.75	70.0	179.03
05/16/2017	75501889 KAYLOR, KEVIN	FAS FEES	B	0.00	-255.75	0.00	-255.75	70.0	-179.03
05/16/2017	75501890 KAYLOR, KEVIN	FAS FEES	B	0.00	-1,103.22	0.00	-1,103.22	70.0	-772.25
05/16/2017	75501890 KAYLOR, KEVIN	FAS FEES	B	0.00	1,103.22	0.00	1,103.22	70.0	772.25
05/11/2017	75501914 NATASHA RAJ AHUJA	FAS FEES	B	0.00	-617.35	0.00	-617.35	70.0	-432.15
05/11/2017	75501914 NATASHA RAJ AHUJA	FAS FEES	B	0.00	617.35	0.00	617.35	70.0	432.15
05/11/2017	75501925 HOWELL, WILLIAM	FAS FEES	B	0.00	144.19	0.00	144.19	70.0	100.93
05/11/2017	75501925 HOWELL, WILLIAM	FAS FEES	B	0.00	-144.19	0.00	-144.19	70.0	-100.93
05/11/2017	75501926 KILPATRICK, CHARLES	FAS FEES	B	0.00	520.63	0.00	520.63	70.0	364.44
05/11/2017	75501926 KILPATRICK, CHARLES	FAS FEES	B	0.00	-520.63	0.00	-520.63	70.0	-364.44
05/12/2017	75501946 ANYA P AHUJA 1999	FAS FEES	B	0.00	-604.91	0.00	-604.91	70.0	-423.44
05/12/2017	75501946 ANYA P AHUJA 1999	FAS FEES	B	0.00	604.91	0.00	604.91	70.0	423.44
05/11/2017	75501952 HOWELL, WILLIAM	FAS FEES	B	0.00	176.62	0.00	176.62	70.0	123.63
05/11/2017	75501952 HOWELL, WILLIAM	FAS FEES	B	0.00	-176.62	0.00	-176.62	70.0	-123.63
05/11/2017	75501953 MCCRAY, ROBIN	FAS FEES	B	0.00	435.33	0.00	435.33	70.0	304.73
05/11/2017	75501953 MCCRAY, ROBIN	FAS FEES	B	0.00	-435.33	0.00	-435.33	70.0	-304.73
05/11/2017	75501954 MCCRAY, GAIL	FAS FEES	B	0.00	-129.80	0.00	-129.80	70.0	-90.86
05/11/2017	75501954 MCCRAY, GAIL	FAS FEES	B	0.00	129.80	0.00	129.80	70.0	90.86
05/16/2017	75501960 BLUE PELICAN LLC	FAS FEES	B	0.00	1,930.20	0.00	1,930.20	70.0	1,351.14
05/16/2017	75501960 BLUE PELICAN LLC	FAS FEES	B	0.00	-1,930.20	0.00	-1,930.20	70.0	-1,351.14
05/16/2017	75502088 KLAHN, ROBERT	FAS FEES	B	0.00	-494.16	0.00	-494.16	70.0	-345.91
05/16/2017	75502088 KLAHN, ROBERT	FAS FEES	B	0.00	494.16	0.00	494.16	70.0	345.91
* RIA SUBTOTAL *				\$1,347.77	\$-239.96	\$0.00	\$-239.96		\$-167.97

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2017	75501393	BORISH, MURIEL	**VIRTUS MULTI SECTOR SHORT	B	0.00	17.90	0.00	17.90	70.0 12.53
05/09/2017	75501461	OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	2.31	0.00	2.31	70.0 1.62
05/09/2017	75501462	OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	1.20	0.00	1.20	70.0 0.84
05/09/2017	75501463	ZWASKIS, CAROLYN	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	0.73	0.00	0.73	70.0 0.00
05/09/2017	75501474	PETRONIS, GEORGE	**VIRTUS MULTI SECTOR SHORT	B	0.00	16.65	0.00	16.65	70.0 11.66
05/09/2017	75501498	LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	7.99	0.00	7.99	70.0 5.59
05/09/2017	75501498	LESTARDO, AVON	**VIRTUS MULTI ASSET TREND	B	0.00	0.97	0.00	0.97	70.0 0.00
05/09/2017	75501498	LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	2.42	0.00	2.42	70.0 1.69
05/09/2017	75501498	LESTARDO, AVON	FRANKLIN INCOME FUND CLASS A	B	0.00	0.04	0.00	0.04	70.0 0.00
05/09/2017	75501499	LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	0.43	0.00	0.43	70.0 0.00
05/09/2017	75501499	LESTARDO, AVON	**VIRTUS MULTI SECTOR SHORT	B	0.00	1.99	0.00	1.99	70.0 1.39
05/09/2017	75501499	LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	1.85	0.00	1.85	70.0 1.30
05/09/2017	75501499	LESTARDO, AVON	FEDERATED WORLD INVT SER INC INTL S B	B	0.00	9.47	0.00	9.47	70.0 6.63
05/09/2017	75501499	LESTARDO, AVON	FRANKLIN INCOME FUND CLASS A	B	0.00	1.68	0.00	1.68	70.0 1.18
05/09/2017	75501502	MATHEUS, JEANMARIE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.19	0.00	3.19	70.0 2.23
05/09/2017	75501610	VORMWALD, G ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	3.93	0.00	3.93	70.0 2.75
05/09/2017	75501610	VORMWALD, G ROBERT	FRK UTIL FND - CL A	B	0.00	2.44	0.00	2.44	70.0 1.71
05/09/2017	75501611	VORMWALD, G ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	1.31	0.00	1.31	70.0 0.92
05/09/2017	75501612	VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	3.14	0.00	3.14	70.0 2.20
05/09/2017	75501613	VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	1.05	0.00	1.05	70.0 0.74
05/09/2017	75501614	VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	7.85	0.00	7.85	70.0 5.50
05/09/2017	75501614	VORMWALD, KATHLEEN	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	11.18	0.00	11.18	70.0 7.83
05/09/2017	75501726	PENN, SHELDON	**VIRTUS MULTI SECTOR SHORT	B	0.00	21.83	0.00	21.83	70.0 15.28
05/09/2017	75501726	PENN, SHELDON	JANUS GLOBAL UNCONSTRAINED BOND FUN B	B	0.00	4.12	0.00	4.12	70.0 2.88
05/09/2017	75501729	PENN, ELAYNE	**VIRTUS EQUITY TREND FUND	B	0.00	3.86	0.00	3.86	70.0 2.70
05/09/2017	75501729	PENN, ELAYNE	**VIRTUS MULTI SECTOR SHORT	B	0.00	6.30	0.00	6.30	70.0 4.41
05/09/2017	75501729	PENN, ELAYNE	GOLDMAN SACHS TR RISING DIVIDEND GR B	B	0.00	1.66	0.00	1.66	70.0 1.16
05/09/2017	75501729	PENN, ELAYNE	LORD ABBETT MID CAP STOCK FUND INC B	B	0.00	23.51	0.00	23.51	70.0 16.46
05/09/2017	75501730	PENN, ELAYNE	FRANKLIN INCOME FUND CLASS C	B	0.00	1.28	0.00	1.28	70.0 0.90
05/09/2017	75501735	GARNETT, ALFRED	DAVIS NEW YORK VENTURE CLASS A	B	0.00	2.87	0.00	2.87	70.0 2.01
05/09/2017	75501770	CHRISTIAN, SARA	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	7.14	0.00	7.14	70.0 5.00
05/09/2017	75501770	CHRISTIAN, SARA	EUROPACIFIC GROWTH FUND-A	B	0.00	64.95	0.00	64.95	70.0 45.47
05/09/2017	75501770	CHRISTIAN, SARA	THE GROWTH FUND OF AMERICA-A	B	0.00	18.58	0.00	18.58	70.0 13.01
05/09/2017	75501775	SMITH, GLENN	**VIRTUS EQUITY TREND FUND	B	0.00	5.14	0.00	5.14	70.0 3.60
05/09/2017	75501776	DERR, LEROY	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.30	0.00	9.30	70.0 6.51
05/09/2017	75501776	DERR, LEROY	**VIRTUS MULTI SECTOR SHORT	B	0.00	1.26	0.00	1.26	70.0 0.88
05/09/2017	75501787	PETRONIS, GEORGE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	18.36	0.00	18.36	70.0 12.85
05/09/2017	75501792	WITMAN, RUSSELL	**VIRTUS MULTI SECTOR SHORT	B	0.00	1.74	0.00	1.74	70.0 1.22
05/09/2017	75501806	BARBARAS, GLEN	**VIRTUS MULTI ASSET TREND	B	0.00	45.11	0.00	45.11	70.0 31.58
05/09/2017	75501806	BARBARAS, GLEN	BLACKROCK GLOBAL ALLOCATION FUND IN B	B	0.00	27.38	0.00	27.38	70.0 19.17

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2017	75501809	WRIGHT, PAMELA		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	23.71	0.00	23.71 70.0 16.60
05/09/2017	75501819	KLAHN, ROBERT		**VIRTUS EQUITY TREND FUND	B	0.00	18.55	0.00	18.55 70.0 12.99
05/09/2017	75501819	KLAHN, ROBERT		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	48.73	0.00	48.73 70.0 34.11
05/09/2017	75501819	KLAHN, ROBERT		**VIRTUS MULTI SECTOR SHORT	B	0.00	22.73	0.00	22.73 70.0 15.91
05/09/2017	75501819	KLAHN, ROBERT		FEDERATED GLOBAL ALLOCATION FUND CL B		0.00	3.86	0.00	3.86 70.0 2.70
05/09/2017	75501819	KLAHN, ROBERT		JANUS GLOBAL UNCONSTRAINED BOND FUN B		0.00	61.80	0.00	61.80 70.0 43.26
05/09/2017	75501831	COLACINO, JOSEPH		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	8.77	0.00	8.77 70.0 6.14
05/09/2017	75501842	STRAUGHN, SARAH		**GUGGENHEIM FDS TR	B	0.00	2.95	0.00	2.95 70.0 2.07
05/09/2017	75501842	STRAUGHN, SARAH		**VIRTUS EQUITY TREND FUND	B	0.00	6.39	0.00	6.39 70.0 4.47
05/09/2017	75501842	STRAUGHN, SARAH		**VIRTUS MULTI ASSET TREND	B	0.00	8.78	0.00	8.78 70.0 6.15
05/09/2017	75501842	STRAUGHN, SARAH		FEDERATED MUN SECS INCOME TR HIGH Y B		0.00	4.83	0.00	4.83 70.0 3.38
05/09/2017	75501842	STRAUGHN, SARAH		JANUS GLOBAL UNCONSTRAINED BOND FUN B		0.00	12.36	0.00	12.36 70.0 8.65
05/09/2017	75501844	STRAUGHN, SARAH		**VIRTUS EQUITY TREND FUND	B	0.00	2.18	0.00	2.18 70.0 1.53
05/09/2017	75501844	STRAUGHN, SARAH		LORD ABBETT MID CAP STOCK FUND INC B		0.00	58.62	0.00	58.62 70.0 41.03
05/09/2017	75501844	STRAUGHN, SARAH		LORD ABBETT AFFILIATED FUND INC-CL B		0.00	40.14	0.00	40.14 70.0 28.10
05/09/2017	75501846	STRAUGHN, SARAH		TEMP WORLD FND - CL A	B	0.00	7.53	0.00	7.53 70.0 5.27
05/09/2017	75501846	STRAUGHN, SARAH		THE GROWTH FUND OF AMERICA-A	B	0.00	16.34	0.00	16.34 70.0 11.44
05/09/2017	75501846	STRAUGHN, SARAH		THE INVESTMENT COMPANY OF AMERICA-A B		0.00	17.39	0.00	17.39 70.0 12.17
05/09/2017	75501862	BENJAMIN, WAYNE		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	7.68	0.00	7.68 70.0 5.38
05/09/2017	75501863	BENJAMIN, WAYNE		**VIRTUS EQUITY TREND FUND	B	0.00	9.14	0.00	9.14 70.0 6.40
05/09/2017	75501863	BENJAMIN, WAYNE		CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	25.39	0.00	25.39 70.0 17.77
05/09/2017	75501863	BENJAMIN, WAYNE		RYDEX SER FDS S&P 500 2X STRATEGY C B		0.00	31.83	0.00	31.83 70.0 22.28
05/09/2017	75501864	BENJAMIN, WAYNE		**VIRTUS EQUITY TREND FUND	B	0.00	2.05	0.00	2.05 70.0 1.44
05/09/2017	75501864	BENJAMIN, WAYNE		CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	6.26	0.00	6.26 70.0 4.38
05/09/2017	75501864	BENJAMIN, WAYNE		RYDEX SECTOR ROTATION FUND CLASS C B		0.00	3.19	0.00	3.19 70.0 2.23
05/09/2017	75501864	BENJAMIN, WAYNE		RYDEX SER FDS S&P 500 2X STRATEGY C B		0.00	18.04	0.00	18.04 70.0 12.63
05/09/2017	75501864	BENJAMIN, WAYNE		RYDEX SER FDS NOVA FD CL C	B	0.00	4.84	0.00	4.84 70.0 3.39
05/09/2017	75501865	BENJAMIN, WAYNE		AMCAP FUND-A	B	0.00	5.58	0.00	5.58 70.0 3.91
05/09/2017	75501865	BENJAMIN, WAYNE		RYDEX SECTOR ROTATION FUND CLASS C B		0.00	4.84	0.00	4.84 70.0 3.39
05/09/2017	75501871	ESKENAZI, PHOEBE		**VIRTUS MULTI ASSET TREND	B	0.00	5.71	0.00	5.71 70.0 4.00
05/09/2017	75501871	ESKENAZI, PHOEBE		CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	2.80	0.00	2.80 70.0 1.96
05/09/2017	75501871	ESKENAZI, PHOEBE		LORD ABBETT MID CAP STOCK FUND INC B		0.00	64.12	0.00	64.12 70.0 44.88
05/09/2017	75501872	ESKENAZI, PHOEBE		**VIRTUS EQUITY TREND FUND	B	0.00	3.01	0.00	3.01 70.0 2.11
05/09/2017	75501872	ESKENAZI, PHOEBE		AMCAP FUND-A	B	0.00	3.08	0.00	3.08 70.0 2.16
05/09/2017	75501872	ESKENAZI, PHOEBE		CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	0.94	0.00	0.94 70.0 0.00
05/09/2017	75501872	ESKENAZI, PHOEBE		FUNDAMENTAL INVESTORS-A	B	0.00	2.91	0.00	2.91 70.0 2.04
05/10/2017	75501872	ESKENAZI, PHOEBE		DELAWARE GROUP HIGH YIELD OPPORTUNI B		0.00	0.78	0.00	0.78 70.0 0.00
05/09/2017	75501880	WINDETT, ROSE		**VIRTUS EQUITY TREND FUND	B	0.00	0.64	0.00	0.64 70.0 0.00
05/09/2017	75501881	MARTIN, KATHY		JANUS INVT FD BALANCED FD CL C	B	0.00	38.75	0.00	38.75 70.0 27.13
05/09/2017	75501882	MARTIN, KATHY		**VIRTUS EQUITY TREND FUND	B	0.00	6.17	0.00	6.17 70.0 4.32

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2017	75501885	MARTIN, KATHY		**VIRTUS FOREIGN OPPORTUNITIES	B	0.00	1.14	0.00	1.14 70.0 0.80
05/11/2017	75501885	MARTIN, KATHY		**KEELEY SMALL CAP VALUE FD	B	0.00	1.89	0.00	1.89 70.0 1.32
05/09/2017	75501886	MARTIN, KATHY		**VIRTUS MULTI ASSET TREND	B	0.00	9.73	0.00	9.73 70.0 6.81
05/09/2017	75501891	KAYLOR, KEVIN		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	10.52	0.00	10.52 70.0 7.36
05/09/2017	75501891	KAYLOR, KEVIN		LORD ABBETT MID CAP STOCK FUND INC	B	0.00	10.60	0.00	10.60 70.0 7.42
05/09/2017	75501892	KAYLOR, KRISTENE		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	4.08	0.00	4.08 70.0 2.86
05/09/2017	75501893	KLAHN, ROBERT		**VIRTUS EQUITY TREND FUND	B	0.00	2.13	0.00	2.13 70.0 1.49
05/09/2017	75501910	RUTTER, DOUGLAS		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	4.52	0.00	4.52 70.0 3.16
05/09/2017	75501911	RUTTER, KENNETH		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	4.53	0.00	4.53 70.0 3.17
05/09/2017	75501915	SPARRE, GERI		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	8.38	0.00	8.38 70.0 5.87
05/09/2017	75501926	KILPATRICK, CHARLES		**VIRTUS EQUITY TREND FUND	B	0.00	28.43	0.00	28.43 70.0 19.90
05/09/2017	75501926	KILPATRICK, CHARLES		CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	8.24	0.00	8.24 70.0 5.77
05/09/2017	75501927	VASSALOTTI, MARYANN		JANUS INVT FD BALANCED FD CL C	B	0.00	17.15	0.00	17.15 70.0 12.01
05/09/2017	75501928	GELLIS, HOWARD		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.16	0.00	9.16 70.0 6.41
05/09/2017	75501935	STAUFFER, EDWARD		RYDEX SERIES FDS EUROPE 1.25X STRAT B	B	0.00	0.53	0.00	0.53 70.0 0.00
05/17/2017	75501935	STAUFFER, EDWARD		**KINETICS PARADIGM FUND	B	0.00	1.04	0.00	1.04 70.0 0.73
05/09/2017	75501938	ROSENBERG, DAVID		**VIRTUS EQUITY TREND FUND	B	0.00	2.53	0.00	2.53 70.0 1.77
05/09/2017	75501938	ROSENBERG, DAVID		**VIRTUS MULTI ASSET TREND	B	0.00	9.53	0.00	9.53 70.0 6.67
05/09/2017	75501938	ROSENBERG, DAVID		AMERICAN MUTUAL FUND-A	B	0.00	35.98	0.00	35.98 70.0 25.19
05/09/2017	75501939	ROSENBERG, ELAINE		**VIRTUS MULTI ASSET TREND	B	0.00	1.41	0.00	1.41 70.0 0.99
05/09/2017	75501940	ROSENBERG, ELAINE		**VIRTUS EQUITY TREND FUND	B	0.00	6.33	0.00	6.33 70.0 4.43
05/09/2017	75501940	ROSENBERG, ELAINE		**VIRTUS MULTI ASSET TREND	B	0.00	4.35	0.00	4.35 70.0 3.05
05/09/2017	75501941	GIBBS, REBECCA		**VIRTUS EQUITY TREND FUND	B	0.00	2.22	0.00	2.22 70.0 1.55
05/09/2017	75501943	GIBBS, REBECCA		**VIRTUS EQUITY TREND FUND	B	0.00	3.93	0.00	3.93 70.0 2.75
05/09/2017	75501943	GIBBS, REBECCA		TEMPLETON GROWTH FUND CLASS A	B	0.00	2.10	0.00	2.10 70.0 1.47
05/09/2017	75501955	PENN, EVA		**GUGGENHEIM FDS TR	B	0.00	0.88	0.00	0.88 70.0 0.00
05/09/2017	75501955	PENN, EVA		**VIRTUS MULTI SECTOR SHORT	B	0.00	4.69	0.00	4.69 70.0 3.28
05/09/2017	75501955	PENN, EVA		FRANKLIN INCOME FUND CLASS A	B	0.00	2.30	0.00	2.30 70.0 1.61
05/09/2017	75501955	PENN, EVA		LORD ABBETT MID CAP STOCK FUND INC	B	0.00	24.21	0.00	24.21 70.0 16.95
05/09/2017	75501955	PENN, EVA		LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	16.48	0.00	16.48 70.0 11.54
05/09/2017	75501955	PENN, EVA		ROCHESTER NATIONAL MUNICIPALS A	B	0.00	2.38	0.00	2.38 70.0 1.67
05/09/2017	75501956	PENN, EVA		**VIRTUS EQUITY TREND FUND	B	0.00	5.14	0.00	5.14 70.0 3.60
05/09/2017	75501956	PENN, EVA		LORD ABBETT MID CAP STOCK FUND INC	B	0.00	24.91	0.00	24.91 70.0 17.44
05/09/2017	75501956	PENN, EVA		LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	9.47	0.00	9.47 70.0 6.63
05/09/2017	75501956	PENN, EVA		RYDEX SECTOR ROTATION FUND CLASS C	B	0.00	7.64	0.00	7.64 70.0 5.35
05/09/2017	75501957	PENN, EVA		**VIRTUS MULTI ASSET TREND	B	0.00	1.13	0.00	1.13 70.0 0.79
05/09/2017	75501967	BENJAMIN, WAYNE		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.74	0.00	3.74 70.0 2.62
05/09/2017	75501997	LESTARDO, EUGENE		**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.19	0.00	3.19 70.0 2.23
05/09/2017	75501997	LESTARDO, EUGENE		CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	3.01	0.00	3.01 70.0 2.11
05/09/2017	75502039	SMITH, DAYNE		**VIRTUS MULTI ASSET TREND	B	0.00	54.21	0.00	54.21 70.0 37.95

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,331.35	\$0.00	\$1,331.35	\$927.89
*** BROKER TRANSACTIONS TOTAL ***	\$2,628,960.90	\$4,470.10	\$0.00	\$4,470.10	\$1,728.54

ADJUSTMENTS

06/09/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,728.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.59
SIPC Assessment	\$-83.81

Check Amount	\$1,619.14
Year-to-date Earnings	\$44,195.57