



Concorde Investment Services, LLC

09/13/2017
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COMMISSION STATEMENT **September 15, 2017**

DAMIAN DERING
165 Culver Blvd
Suite A
Playa Del Rey, CA 90293

BROKER #: D127
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
08/30/2017	ENTREKIN TRUST, GARY	BOURNE SENIORS HOUSING III	100,000.00	7,000.00	0.00	7,000.00	92.0	6,440.00
09/01/2017	SCANE FAMILY TRUST	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	92.0	3,220.00
* PRIVATE PLACEMENT SUBTOTAL *			\$150,000.00	\$10,500.00	\$0.00	\$10,500.00		\$9,660.00
<u>PRODUCT CATEGORY: REIT</u>								
08/28/2017	SCANE, DARYL	CARTER VALIDUS MC REIT II CLASS A	16,000.00	1,120.00	0.00	1,120.00	92.0	1,030.40
* REIT SUBTOTAL *			\$16,000.00	\$1,120.00	\$0.00	\$1,120.00		\$1,030.40
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
08/17/2017	6712 CULVER PLACE LL	BOURNE POCONO DST	100,000.00	6,500.00	0.00	6,500.00	92.0	5,980.00
08/28/2017	6712 CULVER PLACE LL	INLAND SELF STORAGE PF V DST	200,000.00	10,000.00	0.00	10,000.00	92.0	9,200.00
* 1031 EXCHANGES SUBTOTAL *			\$300,000.00	\$16,500.00	\$0.00	\$16,500.00		\$15,180.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/05/2017	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	500.00	18.75	0.00	18.75	92.0	17.25
/ /	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	0.00	2.00	0.00	2.00	92.0	1.84
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	0.00	2.26	0.00	2.26	92.0	2.08
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	0.97	0.00	0.97	92.0	0.89
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	0.92	0.00	0.92	92.0	0.85
08/31/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
08/31/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
* MUTUAL FUNDS SUBTOTAL *			\$800.00	\$37.66	\$0.00	\$37.66		\$34.65
<u>PRODUCT CATEGORY: STOCKS</u>								
09/11/2017	ENTREKIN TRUST, GARY	ALLIANZGI NFJ DIVID INT & PREM STRA	12,909.80	129.10	23.50	105.60	92.0	97.15
09/11/2017	ENTREKIN TRUST, GARY	COHEN & STEERS INFRASTRUCTURE FD IN	23,695.60	236.96	23.50	213.46	92.0	196.38
09/11/2017	ENTREKIN TRUST, GARY	COLONY NORTHSTAR INC CL A COM	-21,768.94	217.69	24.47	193.22	92.0	177.76
09/11/2017	ENTREKIN CHARB TRUST, GARY	CUSHING RENAISSANCE FD COM	26,518.65	265.19	24.25	240.94	92.0	221.66

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
09/11/2017	ENTREKIN TRUST, GARY	CUSHING RENAISSANCE FD COM	26,493.90	264.94	24.25	240.69	92.0	221.43
09/11/2017	ENTREKIN TRUST, GARY	NEUBERGER BERMAN REAL ESTATE SECS I	13,914.50	139.15	25.75	113.40	92.0	104.33
09/11/2017	ENTREKIN TRUST, GARY	NEW YORK REIT INC COM	-13,232.96	132.33	24.40	107.93	92.0	99.30
09/11/2017	ENTREKIN TRUST, GARY	WELLS FARGO & CO NEW COM	-15,174.75	151.75	22.50	129.25	92.0	118.91
09/11/2017	ENTREKIN CHARB TRUST, GARY	WELLS FARGO ADVANTAGE GLOBAL DIVID	19,500.00	195.00	26.50	168.50	92.0	155.02
* STOCKS SUBTOTAL *			\$72,855.80	\$1,732.11	\$219.12	\$1,512.99		\$1,391.94
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/30/2017	FARFAN, FRANK	AM GEN SEASONS ELITE	85,861.45	214.65	0.00	214.65	92.0	197.48
08/31/2017	PUGH, CECILIA	JNL PERSPECTIVE L	42,913.48	35.76	0.00	35.76	92.0	32.90
08/31/2017	LAXER, JACK	JNL PERSPECTIVE L	56,141.55	46.78	0.00	46.78	92.0	43.04
08/31/2017	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	58,978.88	49.15	0.00	49.15	92.0	45.22
08/31/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	72,807.13	60.67	0.00	60.67	92.0	55.82
08/31/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	71,624.37	59.69	0.00	59.69	92.0	54.91
08/11/2017	BAUTISTA, MARYANN	TRANSAMERICA LIBERTY	192,813.37	160.68	0.00	160.68	92.0	147.83
* VARIABLE ANNUITIES SUBTOTAL *			\$581,140.23	\$627.38	\$0.00	\$627.38		\$577.20
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/15/2017	SUTZ, JACK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.01	0.00	5.01	92.0	4.61
08/17/2017	SUTZ, JACK	FIDELITY DIVIDEND GROWTH A	0.00	2.06	0.00	2.06	92.0	1.90
08/21/2017	SUTZ, JACK	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	3.85	0.00	3.85	92.0	3.54
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$10.92	\$0.00	\$10.92		\$10.05
*** BROKER TRANSACTIONS TOTAL ***			\$1,120,796.03	\$30,528.07	\$219.12	\$30,308.95		\$27,884.24
<u>ADJUSTMENTS</u>								
09/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					675.00
09/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
09/15/2017		*TECHNOLOGY: ADMIN - CHRYSANTHA COS	0.00					-100.00
09/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$27,884.24
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$27,884.24
Year-to-date Gross	\$146,293.23
Year-to-date Earnings	\$133,726.43