



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 31, 2017

08/29/2017
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MARK-S141 REG SMITH

BROKER #: S141
BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/15/2017	UNTIL AGE 21, TX/UTMA	AM FUNDS GROWTH PORT A	50.00	2.13	0.00	2.13	48.0	1.02
08/07/2017	BOMMARITO, VICTORIA	FOUNDING FUNDS 529 PORTFOLIO - CLAS	50.00	2.50	0.00	2.50	48.0	1.20
08/07/2017	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	300.00	3.00	0.00	3.00	48.0	1.44
/ /	BONGIORNO, JANET	RYDEX BIOTECHNOLOGY A	0.00	0.04	0.00	0.04	48.0	0.02
/ /	BONGIORNO, JANET	RYDEX GUGG REAL ESTATE A	0.00	0.09	0.00	0.09	48.0	0.04
/ /	BONGIORNO, JANET	RYDEX GUGGENHEIM UTILITIES A	0.00	0.07	0.00	0.07	48.0	0.03
/ /	BONGIORNO, JANET	RYDEX HEALTH CARE-A	0.00	0.05	0.00	0.05	48.0	0.02
* MUTUAL FUNDS SUBTOTAL *			\$400.00	\$7.88	\$0.00	\$7.88		\$3.77
*** BROKER TRANSACTIONS TOTAL ***			\$400.00	\$7.88	\$0.00	\$7.88		\$3.77

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$3.77
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-267.09
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-263.32
Year-to-date Gross	\$4,328.01
Year-to-date Earnings	\$2,077.38