

**COMMISSION STATEMENT****January 15, 2018**BRION NORBY  
4905 Prairie LaneBROKER #: N121  
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #10</u></b>								
01/02/2018	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
01/02/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	3.01	0.00	3.01	90.0	2.71
01/02/2018	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	5.18	0.00	5.18	90.0	4.66
01/02/2018	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	3.43	0.00	3.43	90.0	3.09
01/02/2018	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	180.00	3.15	0.00	3.15	90.0	2.84
01/02/2018	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	160.00	2.80	0.00	2.80	90.0	2.52
01/02/2018	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	2.66	0.00	2.66	90.0	2.39
<b>* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *</b>			<b>\$1,585.00</b>	<b>\$24.17</b>	<b>\$0.00</b>	<b>\$24.17</b>		<b>\$21.76</b>
<b><u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u></b>								
12/20/2017	FEE, ERIC	COTTONWOOD MULTIFAMILY REIT II	75,000.00	2,250.00	0.00	2,250.00	90.0	2,025.00
12/22/2017	MILLER, CHARLES	COTTONWOOD MULTIFAMILY REIT II	72,750.00	2,182.50	0.00	2,182.50	90.0	1,964.25
12/28/2017	KASMER, SARA	COTTONWOOD MULTIFAMILY REIT II	35,000.00	1,268.75	0.00	1,268.75	90.0	1,141.88
12/28/2017	KASMER, SARA	COTTONWOOD MULTIFAMILY REIT II	35,000.00	1,050.00	0.00	1,050.00	90.0	945.00
01/02/2018	RETZLAFF, SANDRA	COTTONWOOD MULTIFAMILY REIT II, INC	25,000.00	0.00	0.00	0.00	90.0	0.00
<b>* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *</b>			<b>\$242,750.00</b>	<b>\$6,751.25</b>	<b>\$0.00</b>	<b>\$6,751.25</b>		<b>\$6,076.13</b>
<b><u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u></b>								
12/15/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.18	0.00	0.18	90.0	0.16
12/22/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
12/15/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.08	0.00	0.08	90.0	0.07
12/04/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	0.23	0.00	0.23	90.0	0.21
12/27/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	0.21	0.00	0.21	90.0	0.19
01/09/2018	SULLICAN, TAMARA	ISHARES TR S&P 500 INDEX FD	276.62	0.00	2.48	-2.48	90.0	-2.23
12/18/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.01	0.00	0.01	90.0	0.01
01/09/2018	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	-969.56	0.00	0.00	0.00	90.0	0.00
12/18/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.11	0.00	0.11	90.0	0.10

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u></b>								
12/18/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.56	0.00	0.56	90.0	0.50
01/09/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	-500.00	0.00	0.00	0.00	90.0	0.00
<b>* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *</b>			<b>\$-1,192.94</b>	<b>\$1.43</b>	<b>\$2.48</b>	<b>\$-1.05</b>		<b>\$-0.94</b>
<b><u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u></b>								
01/02/2018	RUFFIE, DAVID	CONTINENTAL RES INC COM ISIN#US2120	-41,630.42	8.80	9.27	-0.47	90.0	-0.42
12/29/2017	RUFFIE, DAVID	COTTONWOOD MULTIFAMILY REIT II	35,000.00	1,015.00	0.00	1,015.00	90.0	913.50
12/29/2017	RUFFIE, DAVID	COTTONWOOD MULTIFAMILY REIT II	35,000.00	840.00	0.00	840.00	90.0	756.00
12/27/2017	RUFFIE, DAVID	COTTONWOOD MULTIFAMILY REIT II, INC	35,000.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	HAUGEN, STACI	HPI REAL ESTATE FUND V LLC	85,925.00	0.00	0.00	0.00	90.0	0.00
<b>* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *</b>			<b>\$149,294.58</b>	<b>\$1,863.80</b>	<b>\$9.27</b>	<b>\$1,854.53</b>		<b>\$1,669.08</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$392,436.64</b>	<b>\$8,640.65</b>	<b>\$11.75</b>	<b>\$8,628.90</b>		<b>\$7,766.03</b>

**ADJUSTMENTS**

01/15/2018	*CONCIERGE SVC: 205491 MILLER	0.00	-25.00
01/15/2018	*CONCIERGE SVC: 205546 KASMER	0.00	-20.00
01/15/2018	*CONCIERGE SVC: 205584 HAUGEN	0.00	-20.00
01/15/2018	*CONCIERGE SVC: 205587 HAUGEN	0.00	-20.00
01/15/2018	*CONCIERGE SVC: 205607 RETZLAFF	0.00	-25.00
01/15/2018	*CONCIERGE SVC: 206270 RETZLAFF	0.00	-25.00
01/15/2018	*CONCIERGE SVC: 206458 ERNST	0.00	-5.50
01/15/2018	*CONCIERGE SVC: 206809 AAFEDT	0.00	-25.00
01/15/2018	*CONCIERGE SVC: 206814 HAUGEN	0.00	-20.00
01/15/2018	*CONCIERGE SVC: 206821 AAFEDT	0.00	-25.00
01/15/2018	*CONCIERGE SVC: 206872 EBEL	0.00	-25.00
01/15/2018	*CONCIERGE SVC: 206894 HAUGEN	0.00	-20.00
01/15/2018	*CONCIERGE SVC: 206905 RUFFIE	0.00	-20.00
01/15/2018	*CONCIERGE SVC: 206915 EBEL	0.00	-25.00
01/15/2018	*CONCIERGE SVC: 206920 EBEL	0.00	-25.00
01/15/2018	*CONCIERGE SVC: 207145 EBEL	0.00	-25.00
01/15/2018	*CONCIERGE SVC: 207149 EBEL	0.00	-25.00
01/15/2018	*EO INS: CURRENT MONTH INS	0.00	-300.00

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<b><u>ADJUSTMENTS</u></b>								
01/15/2018		*PERSHING: BOOKS AND RECORDS	0.00					-1.50
01/15/2018		*PERSHING: BOOKS AND RECORDS	0.00					-0.17
01/15/2018		*PERSHING: BOOKS AND RECORDS	0.00					-0.24
01/15/2018		*PERSHING: BOOKS AND RECORDS	0.00					-1.20
01/15/2018		*TECH: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-953.61</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$7,766.03
Override Transactions	\$0.00
Adjustments	\$-953.61
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-50.64
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$6,761.78</b>
<b>Year-to-date Gross</b>	<b>\$8,640.65</b>
<b>Year-to-date Earnings</b>	<b>\$7,766.03</b>

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