



COMMISSION STATEMENT

April 09, 2018

JEFFREY MORRISON
10 Chadwick Road
Livingston, NJ 07039

BROKER #: 982
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/05/2018	***-**-120I - SEARS, MARY	FIDELITY SPARTAN TOTAL MARKET INDEX	215.02	1.50	1.50	0.00	90.0	0.00
	ACCOUNT #: LSR010352	BUY 3 Units @76.5200						
04/06/2018	***-**-6280 - KESSLER, LEWIS	GRIFFIN-AMERICAN HEALTHCARE REIT IV	60,000.00	0.00	9.00	-9.00	100.0	-9.00
	ACCOUNT #: LSR012597	BUY 6000 Units @10.0000						
04/03/2018	***-**-05IO - SREEKUMAR,	RESOURCE CREDIT INCOME FUND C	10,000.00	50.00	9.00	41.00	90.0	36.90
	ACCOUNT #: LSR012520	BUY 898 Units @11.1300						
* MUTUAL FUNDS SUBTOTAL *			\$70,215.02	\$51.50	\$19.50	\$32.00		\$27.90
<u>PRODUCT CATEGORY: STOCKS</u>								
04/03/2018	***-**-450I - ROSENBLATT, MARK	ADAMS DIVERSIFIED EQUITY FUND INC	3,916.35	40.68	10.20	30.48	90.0	27.43
	ACCOUNT #: LSR012160	SELL 270 Units @14.5050						
04/03/2018	***-**-450I - ROSENBLATT, MARK	AMERICAN WTR WKS CO INC NEW COM UNS	4,042.50	27.00	8.00	19.00	90.0	17.10
	ACCOUNT #: LSR012160	SELL 50 Units @80.8500						
03/29/2018	***-**-24IN - BONGORT,	BCB BANCORP INC (NJ)	1,577.60	27.00	8.50	18.50	90.0	16.65
	ACCOUNT #: LSR010325	BUY 100 Units @15.7760						
03/29/2018	***-**-24IN - BONGORT,	CRONOS GROUP INC COM NPV ISIN #CA22	666.50	20.42	8.50	11.92	90.0	10.73
	ACCOUNT #: LSR010325	BUY 100 Units @6.6650						
04/03/2018	***-**-450I - ROSENBLATT, MARK	MICROSOFT CORP UNSOLICITED ORDER EX	2,226.88	27.00	9.00	18.00	90.0	16.20
	ACCOUNT #: LSR012160	SELL 25 Units @89.0750						
03/29/2018	***-**-24IN - BONGORT,	WALMART STORES INC UNSOLICITED ORDE	2,230.55	27.00	7.75	19.25	90.0	17.33
	ACCOUNT #: LSR010325	BUY 25 Units @89.2220						
04/02/2018	***-**-24IN - BONGORT,	WALMART STORES INC UNSOLICITED ORDE	852.75	22.00	7.60	14.40	90.0	12.96
	ACCOUNT #: LSR010325	SELL 10 Units @85.2750						
04/03/2018	***-**-05IO - SREEKUMAR,	WALMART STORES INC UNSOLICITED ORDE	8,576.50	27.00	8.50	18.50	90.0	16.65
	ACCOUNT #: LSR012520	BUY 100 Units @85.7650						
* STOCKS SUBTOTAL *			\$24,089.63	\$218.10	\$68.05	\$150.05		\$135.05
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/04/2018	***-**-5634 - BLOCH, RAMNIT	PACIFIC LIFE SE5-NYB DTCC	50.00	0.75	0.00	0.75	90.0	0.68
	ACCOUNT #: VP71073350	BUY 0 Units @0.0000						
04/06/2018	***-**-7728 - BALBONA, ADRIANA	PACIFIC LIFE SE5-NYB DTCC	135.00	2.03	0.00	2.03	90.0	1.83
	ACCOUNT #: VP71061840	BUY 0 Units @0.0000						



COMMISSION STATEMENT

April 09, 2018

JEFFREY MORRISON
10 Chadwick Road
Livingston, NJ 07039

BROKER #: 982
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)								
04/02/2018	***-**-0944 - GEOGHEGAN, TRACY	PACIFIC LIFE VA DTCC RENEWALS	100.00	0.13	0.00	0.13	90.0	0.12
	ACCOUNT #: VP71050220	BUY 0 Units @0.0000						
04/02/2018	***-**-0944 - GEOGHEGAN, TRACY	PACIFIC LIFE VA DTCC RENEWALS	100.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: VP71050220	BUY 0 Units @0.0000						
04/02/2018	***-**-0944 - GEOGHEGAN, TRACY	PACIFIC LIFE VA DTCC RENEWALS	100.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71050220	BUY 0 Units @0.0000						
04/03/2018	***-**-8062 - MANEL, GIOVANNA	PACIFIC LIFE VA DTCC RENEWALS	350.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: VP71049720	BUY 0 Units @0.0000						
04/03/2018	***-**-8062 - MANEL, GIOVANNA	PACIFIC LIFE VA DTCC RENEWALS	350.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: VP71049720	BUY 0 Units @0.0000						
04/03/2018	***-**-8062 - MANEL, GIOVANNA	PACIFIC LIFE VA DTCC RENEWALS	350.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: VP71049720	BUY 0 Units @0.0000						
04/03/2018	***-**-8062 - MANEL, GIOVANNA	PACIFIC LIFE VA DTCC RENEWALS	350.00	1.97	0.00	1.97	90.0	1.77
	ACCOUNT #: VP71049720	BUY 0 Units @0.0000						
04/02/2018	***-**-485I - YANKILEVICH,	PACIFIC LIFE VA RENEWAL DTCC	250.00	2.50	0.00	2.50	90.0	2.25
	ACCOUNT #: VP65871480	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$2,135.00	\$10.24	\$0.00	\$10.24		\$9.21
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
03/29/2018	***-**-24IN - BONGORT,	AGNC INVT CORP COM UNSOLICITED ORDE	2,842.35	37.13	12.00	25.13	90.0	22.62
	ACCOUNT #: LSR010325	BUY 150 Units @18.9490						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$2,842.35	\$37.13	\$12.00	\$25.13		\$22.62
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/09/2018	***-**-9385 - JOSEPH, BRIAN	NEW YORK 529 TRAILS	0.00	2.78	0.00	2.78	90.0	2.50
	ACCOUNT #: 77094508001	BUY 0 Units @0.0000						
04/09/2018	***-**-7673 - MORRISON,	NEW YORK 529 TRAILS	0.00	8.35	0.00	8.35	90.0	7.52
	ACCOUNT #: 77152277401	BUY 0 Units @0.0000						
04/09/2018	***-**-446J - RUBIN	NEW YORK 529 TRAILS	0.00	3.83	0.00	3.83	90.0	3.45
	ACCOUNT #: 77334416801	BUY 0 Units @0.0000						
04/09/2018	***-**-7724 - NANDAA, NEIL	NEW YORK 529 TRAILS	0.00	2.17	0.00	2.17	90.0	1.95
	ACCOUNT #: 77348040901	BUY 0 Units @0.0000						



COMMISSION STATEMENT

April 09, 2018

JEFFREY MORRISON
10 Chadwick Road
Livingston, NJ 07039

BROKER #: 982
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/09/2018	***-**-18IN - WATSON	NEW YORK 529 TRAILS	0.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: 77398834701	BUY 0 Units @0.0000						
04/09/2018	***-**-165P - BRILL, AMY	NEW YORK 529 TRAILS	0.00	13.76	0.00	13.76	90.0	12.38
		BUY 0 Units @0.0000						
04/09/2018	***-**-405P - KITOGRA, JAY	NEW YORK 529 TRAILS	0.00	1.67	0.00	1.67	90.0	1.50
	ACCOUNT #: 77739941101	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$33.06	\$0.00	\$33.06		\$29.75
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
03/31/2018	***-**-60TR - BRILL FAMILY	CUSIP 74429P326-PRUCO-NFS	0.00	121.58	0.00	121.58	90.0	109.42
	ACCOUNT #: E1854454	BUY 0 Units @0.0000						
03/31/2018	***-**-24IO - BONGORT,	JACKSON NAT VA TRAILS DTCC	0.00	15.63	0.00	15.63	90.0	14.07
	ACCOUNT #: 1014016666	BUY 0 Units @0.0000						
03/30/2018	***-**-2790 - RONAN, WILLIAM	NATIONWIDE CUSIP 638662247-NSCC	0.00	8.48	0.00	8.48	90.0	7.63
	ACCOUNT #: 015852602	BUY 0 Units @0.0000						
04/06/2018	***-**-7658 - SALEMI, MAXINE	PACIFIC LIFE EXEC II DTCC	0.00	23.18	0.00	23.18	90.0	20.86
	ACCOUNT #: VP65617030	BUY 0 Units @0.0000						
04/06/2018	***-**-7658 - SALEMI, MAXINE	PACIFIC LIFE EXEC II DTCC	0.00	23.18	0.00	23.18	90.0	20.86
	ACCOUNT #: VP65617030	BUY 0 Units @0.0000						
04/09/2018	***-**-545P - TURPAN, PETER	PENN MUTUAL VA TRAILS	0.00	-2.98	0.00	-2.98	90.0	-2.68
	ACCOUNT #: 8564256	BUY 1 Units @0.0000						
04/09/2018	***-**-8374 - GURIAN	PENN MUTUAL VA TRAILS	0.00	-2.10	0.00	-2.10	90.0	-1.89
	ACCOUNT #: 008568374	BUY 1 Units @0.0000						
04/09/2018	***-**-8374 - GURIAN	PENN MUTUAL VA TRAILS	0.00	-2.10	0.00	-2.10	90.0	-1.89
	ACCOUNT #: 008568374	BUY 1 Units @0.0000						
04/09/2018	***-**-3312 - TRAUTMAN,	PENN MUTUAL VA TRAILS	0.00	3.80	0.00	3.80	90.0	3.42
	ACCOUNT #: 8569288	BUY 1 Units @0.0000						
04/09/2018	***-**-3312 - TRAUTMAN,	PENN MUTUAL VA TRAILS	0.00	0.17	0.00	0.17	90.0	0.15
	ACCOUNT #: 8569288	BUY 1 Units @0.0000						
04/09/2018	***-**-3312 - TRAUTMAN,	PENN MUTUAL VA TRAILS	0.00	-3.80	0.00	-3.80	90.0	-3.42
	ACCOUNT #: 8569288	BUY 1 Units @0.0000						
04/09/2018	***-**-3312 - TRAUTMAN,	PENN MUTUAL VA TRAILS	0.00	-0.34	0.00	-0.34	90.0	-0.31
	ACCOUNT #: 8569288	BUY 1 Units @0.0000						



COMMISSION STATEMENT

April 09, 2018

JEFFREY MORRISON
10 Chadwick Road
Livingston, NJ 07039

BROKER #: 982
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
04/09/2018	***-**-3312 - TRAUTMAN, ACCOUNT #: 8569288	PENN MUTUAL VA TRAILS BUY 1 Units @0.0000	0.00	-7.60	0.00	-7.60	90.0	-6.84
04/09/2018	***-**-3312 - TRAUTMAN, ACCOUNT #: 8569288	PENN MUTUAL VA TRAILS BUY 1 Units @0.0000	0.00	-0.17	0.00	-0.17	90.0	-0.15
03/31/2018	***-**-7863 - MARK, ROBERT ACCOUNT #: E1778853	PRUDENTIAL VA 74429E347 BUY 0 Units @0.0000	0.00	516.11	0.00	516.11	90.0	464.50
03/31/2018	***-**-7863 - MARK, ROBERT ACCOUNT #: E1778850	PRUDENTIAL VA 74429E347 BUY 0 Units @0.0000	0.00	298.22	0.00	298.22	90.0	268.40
03/31/2018	***-**-640I - OLLECH, MARCEL ACCOUNT #: E1173153	PRUDENTIAL VA TRAILS 74429P128 BUY 0 Units @0.0000	0.00	209.54	0.00	209.54	90.0	188.59
03/31/2018	***-**-27IN - PELL, ANDREA ACCOUNT #: E0636105	PRUDENTIAL VA TRAILS 74430A227 BUY 0 Units @0.0000	0.00	25.21	0.00	25.21	90.0	22.69
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,226.01	\$0.00	\$1,226.01		\$1,103.41
*** BROKER TRANSACTIONS TOTAL ***			\$99,282.00	\$1,576.04	\$99.55	\$1,476.49		\$1,327.94

SPLIT TRANSACTIONS

BROKER: RICHARD PASCALE

04/06/2018	***-**-9101 - PASCALE, DEBRA ACCOUNT #: LSR107220	AMG REN LC GR IN BUY 1 Units @0.0000	0.00	0.06	0.00	0.06	90.0	0.05
03/31/2018	***-**-01IN - PASCALE, ROBERT ACCOUNT #: 1200005320	JACKSON 468511480 BUY 0 Units @0.0000	0.00	113.45	0.00	113.45	90.0	102.11
03/31/2018	***-**-67IN - SOLA, MARIA ACCOUNT #: 1200291765	JACKSON 468511480 BUY 0 Units @0.0000	0.00	27.04	0.00	27.04	90.0	24.34
04/02/2018	***-**-05IN - ARMA, JOSEPH ACCOUNT #: 1100040719	NATIONAL LIFE GROUP VA TRAILS BUY 0 Units @0.0000	0.00	13.25	0.00	13.25	90.0	11.93
03/30/2018	***-**-94SI - BECKERMAN, ACCOUNT #: 016222557	NATIONWIDE CUSIP 638660100-NSCC BUY 0 Units @0.0000	0.00	39.39	0.00	39.39	90.0	35.45
03/30/2018	***-**-59IN - COSMA, EILEEN ACCOUNT #: 016331062	NATIONWIDE CUSIP 638660100-NSCC BUY 0 Units @0.0000	0.00	3.97	0.00	3.97	90.0	3.57
03/30/2018	***-**-49IN - PARMAR, GURPREET ACCOUNT #: 016331063	NATIONWIDE CUSIP 638660100-NSCC BUY 0 Units @0.0000	0.00	3.92	0.00	3.92	90.0	3.53



COMMISSION STATEMENT

April 09, 2018

JEFFREY MORRISON
10 Chadwick Road
Livingston, NJ 07039

BROKER #: 982
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: RICHARD PASCALE (continued)</u>								
03/30/2018	***-**-51IN - SINGH, ACCOUNT #: 016331211	NATIONWIDE CUSIP 638660100-NSCC BUY 0 Units @0.0000	0.00	4.94	0.00	4.94	90.0	4.45
03/30/2018	***-**-87SI - RAMARAO, ASHWINI ACCOUNT #: 016351504	NATIONWIDE CUSIP 638660100-NSCC BUY 0 Units @0.0000	0.00	1.16	0.00	1.16	90.0	1.04
03/30/2018	***-**-86SI - DAT, YUGAISHTRI ACCOUNT #: 016351506	NATIONWIDE CUSIP 638660100-NSCC BUY 0 Units @0.0000	0.00	1.24	0.00	1.24	90.0	1.12
03/30/2018	***-**-62IN - SAHIN, ESMA ACCOUNT #: 016351517	NATIONWIDE CUSIP 638660100-NSCC BUY 0 Units @0.0000	0.00	5.52	0.00	5.52	90.0	4.97
03/30/2018	***-**-41SI - TURPIN, KELLY ACCOUNT #: 016351546	NATIONWIDE CUSIP 638660100-NSCC BUY 0 Units @0.0000	0.00	3.75	0.00	3.75	90.0	3.38
03/30/2018	***-**-3485 - GOKER, MERT ACCOUNT #: 016352765	NATIONWIDE CUSIP 638660100-NSCC BUY 0 Units @0.0000	0.00	4.09	0.00	4.09	90.0	3.68
04/09/2018	***-**-545P - FELLIN, DIANE ACCOUNT #: 77169971001	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.07	0.00	0.07	90.0	0.06
04/09/2018	***-**-465P - KALANTZOPOULOS, ACCOUNT #: 77280070001	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	1.07	0.00	1.07	90.0	0.96
04/09/2018	***-**-623I - LEIBOWITZ, IRWIN ACCOUNT #: 77287712601	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.41	0.00	0.41	90.0	0.37
04/09/2018	***-**-895P - POWER, KATHLEEN ACCOUNT #: 77321173601	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.27	0.00	0.27	90.0	0.24
04/09/2018	***-**-775P - RHODES, EDWARD ACCOUNT #: 77426669701	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.30	0.00	0.30	90.0	0.27
04/09/2018	***-**-515P - PASSARO, MARY ACCOUNT #: 77507742202	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.53	0.00	0.53	90.0	0.48
04/09/2018	***-**-815P - WILSON, JOHN	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	1.07	0.00	1.07	90.0	0.96
04/06/2018	***-**-0286 - STRAUDEL, ACCOUNT #: VP71067620	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	250.00	3.75	0.00	3.75	90.0	3.38
04/02/2018	***-**-7948 - GEOGHEGAN, JOHN ACCOUNT #: VP71049160	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	200.00	1.13	0.00	1.13	90.0	1.02
04/02/2018	***-**-7948 - GEOGHEGAN, JOHN ACCOUNT #: VP71049160	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	200.00	1.50	0.00	1.50	90.0	1.35



COMMISSION STATEMENT

April 09, 2018

JEFFREY MORRISON
10 Chadwick Road
Livingston, NJ 07039

BROKER #: 982
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: RICHARD PASCALE (continued)</u>								
04/02/2018	***-**-3981 - HALL, WAYNE	PACIFIC LIFE VA DTCC RENEWALS	75.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71050690	BUY 0 Units @0.0000						
04/02/2018	***-**-7948 - GEOGHEGAN, JOHN	PACIFIC LIFE VA DTCC RENEWALS	200.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71049160	BUY 0 Units @0.0000						
04/02/2018	***-**-3981 - HALL, WAYNE	PACIFIC LIFE VA DTCC RENEWALS	75.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71050690	BUY 0 Units @0.0000						
04/05/2018	***-**-64CL - PASCALE, CLIENTS	PENN LIFE VL TRAILS	0.00	37.44	0.00	37.44	90.0	33.70
		BUY 1 Units @0.0000						
04/09/2018	***-**-4205 - TURPAN	PENN MUTUAL VA TRAILS	0.00	-2.98	0.00	-2.98	90.0	-2.68
	ACCOUNT #: 8564255	BUY 1 Units @0.0000						
04/09/2018	***-**-4205 - TURPAN	PENN MUTUAL VA TRAILS	0.00	-5.96	0.00	-5.96	90.0	-5.36
	ACCOUNT #: 8564255	BUY 1 Units @0.0000						
04/09/2018	***-**-6780 - SERRINS, RICHARD	PENN MUTUAL VA TRAILS	0.00	-5.56	0.00	-5.56	90.0	-5.00
	ACCOUNT #: 8567518	BUY 1 Units @0.0000						
* RICHARD PASCALE SUBTOTAL *			\$1,000.00	\$255.96	\$0.00	\$255.96		\$230.39
*** SPLIT TRANSACTIONS TOTAL ***			\$1,000.00	\$255.96	\$0.00	\$255.96		\$230.39

OVERRIDE TRANSACTIONS

BROKER: ALAN FISHMAN

03/29/2018	***-**-430I - BLUMEN, ROBERT	ALLIANZ 018817155	0.00	353.57	0.00	353.57	7.5	26.52
	ACCOUNT #: DAX25472	BUY 0 Units @0.0000						
03/29/2018	***-**-430I - BLUMEN, ROBERT	ALLIANZ 018817155	0.00	473.69	0.00	473.69	7.5	35.53
	ACCOUNT #: DAX25507	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817502	0.00	16.48	0.00	16.48	7.5	1.24
	ACCOUNT #: DA737088	BUY 0 Units @0.0000						
03/29/2018	***-**-3921 - BRADY, MICHAEL	ALLIANZ TRAILS 018817866	0.00	167.90	0.00	167.90	7.5	12.59
	ACCOUNT #: DA309184	BUY 0 Units @0.0000						
03/29/2018	***-**-3921 - BRADY, MICHAEL	ALLIANZ TRAILS 018817866	0.00	407.47	0.00	407.47	7.5	30.56
	ACCOUNT #: DA310294	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	90.46	0.00	90.46	7.5	6.78
	ACCOUNT #: DA929914	BUY 0 Units @0.0000						



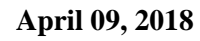
COMMISSION STATEMENT

April 09, 2018

JEFFREY MORRISON
10 Chadwick Road
Livingston, NJ 07039

BROKER #: 982
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: ALAN FISHMAN (continued)</u> 								
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	158.24	0.00	158.24	7.5	11.87
	ACCOUNT #: DA930883	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	174.71	0.00	174.71	7.5	13.10
	ACCOUNT #: DA930884	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	748.02	0.00	748.02	7.5	56.10
	ACCOUNT #: DA937680	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	37.30	0.00	37.30	7.5	2.80
	ACCOUNT #: DA941620	BUY 0 Units @0.0000						
03/29/2018	***-**-535P - KOZLOWSKI,	ALLIANZ TRAILS 018817882	0.00	99.27	0.00	99.27	7.5	7.45
	ACCOUNT #: DA370020	BUY 0 Units @0.0000						
03/29/2018	***-**-6538 - KOZLOWSKI, MARIA	ALLIANZ TRAILS 018817882	0.00	176.87	0.00	176.87	7.5	13.27
	ACCOUNT #: DA370021	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	82.91	0.00	82.91	7.5	6.22
	ACCOUNT #: DA370747	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	37.83	0.00	37.83	7.5	2.84
	ACCOUNT #: DA371348	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	44.78	0.00	44.78	7.5	3.36
	ACCOUNT #: DA371349	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	49.37	0.00	49.37	7.5	3.70
	ACCOUNT #: DA371350	BUY 0 Units @0.0000						
03/29/2018	***-**-195P - BLUMEN, IAN	ALLIANZ TRAILS 018817882	0.00	116.24	0.00	116.24	7.5	8.72
	ACCOUNT #: DA371477	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	42.46	0.00	42.46	7.5	3.18
	ACCOUNT #: DA371478	BUY 0 Units @0.0000						
03/29/2018	***-**-2171 - LIEPOLD, GERHARD	ALLIANZ TRAILS 018817882	0.00	128.34	0.00	128.34	7.5	9.63
	ACCOUNT #: DA372127	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	32.79	0.00	32.79	7.5	2.46
	ACCOUNT #: DA372611	BUY 0 Units @0.0000						
03/29/2018	***-**-2171 - LIEPOLD, GERHARD	ALLIANZ TRAILS 018817882	0.00	94.07	0.00	94.07	7.5	7.06
	ACCOUNT #: DA372612	BUY 0 Units @0.0000						
* ALAN FISHMAN SUBTOTAL *			\$0.00	\$3,532.77	\$0.00	\$3,532.77		\$264.98
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$3,532.77	\$0.00	\$3,532.77		\$264.98



BROKER #: 982
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$1,327.94
						Split Transactions		\$230.39
						Override Transactions		\$264.98
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,823.31
						Year-to-date Earnings		\$17,167.95