

**COMMISSION STATEMENT****June 15, 2018**

101 CFGROUP/KOSANKE  
1120 E. Long Lake Road  
Suite 250  
Troy, MI 48085

**BROKER #: 101**  
**BRANCH: CONCORDE FINANCIAL G**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
05/23/2018	SILVERSTEIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	1.88	0.00	1.88	95.0	1.79
06/01/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
06/01/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
06/01/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
05/30/2018	MOELLER, RICHARD	BLACK ROCK 67776G527	50.00	0.50	0.00	0.50	95.0	0.48
05/30/2018	CFGROUP, VARIOUS	BLACK ROCK 67776G527	50.00	0.50	0.00	0.50	95.0	0.48
/ /	WETZEL, DIANNE	BLACK ROCK 67776G543	0.00	0.50	0.00	0.50	95.0	0.48
05/30/2018	WETZEL, DIANNE	BLACK ROCK 67776G543	50.00	0.50	0.00	0.50	95.0	0.48
/ /	MOELLER, RICHARD	BLACKROCK 67776G493	0.00	0.50	0.00	0.50	95.0	0.48
05/16/2018	KUCHAREK, PAUL	BLACKROCK 67776G469	50.00	1.75	0.00	1.75	95.0	1.66
05/16/2018	KUCHAREK, PAUL	BLACKROCK 67776G469	50.00	1.75	0.00	1.75	95.0	1.66
/ /	MOELLER, RICHARD	BLACKROCK 67776G477	0.00	0.50	0.00	0.50	95.0	0.48
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$450.00</b>	<b>\$14.02</b>	<b>\$0.00</b>	<b>\$14.02</b>		<b>\$13.36</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/21/2018	BRADEN, TERRI	ALLIANCEBERNSTEIN GROWTH B	50.00	2.00	0.00	2.00	95.0	1.90
05/25/2018	SILVERSTEIN, MICHAEL	AM FUNDS AMCAP A	50.00	1.88	0.00	1.88	95.0	1.79
05/31/2018	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	2.55	0.00	2.55	95.0	2.42
05/25/2018	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	28.00	1.40	0.00	1.40	95.0	1.33
05/25/2018	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	28.00	1.40	0.00	1.40	95.0	1.33
05/21/2018	NAGEOTTE, NIKOLAUS	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	4.75
06/01/2018	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	95.0	3.03
06/01/2018	BAILEY, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	95.0	2.38
06/04/2018	KEMP, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	95.0	2.02
06/01/2018	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
05/31/2018	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
06/01/2018	BAILEY, STEVEN	AM FUNDS ICA A	50.00	2.50	0.00	2.50	95.0	2.38

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/04/2018	MCLAUGHLIN, TRACY	AM FUNDS ICA A	150.00	6.38	0.00	6.38	95.0	6.06
05/25/2018	BUTT, DENNIS	AM FUNDS NEW WORLD A	84.00	4.20	0.00	4.20	95.0	3.99
05/25/2018	BUTT, DENNIS	AM FUNDS NEW WORLD A	84.00	4.20	0.00	4.20	95.0	3.99
06/08/2018	MOWINSKI, SERGIO	AM FUNDS NEW WORLD C	75.00	0.75	0.00	0.75	95.0	0.71
05/21/2018	ANASTASIOU, DESPINA	AM FUNDS WASHINGTON MUTUAL INVESTOR	6,500.00	276.25	0.00	276.25	95.0	262.44
05/30/2018	ULJAJ, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	95.0	2.38
06/01/2018	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
05/01/2018	PARTALIS, CHRISTINE	DAVIS NEW YORK VENTURE A	125.00	5.00	0.00	5.00	95.0	4.75
05/15/2018	ROBINETTE, JANET	DAVIS NEW YORK VENTURE A	50.00	2.00	0.00	2.00	95.0	1.90
05/15/2018	MORICI, MICHELLE	DAVIS NEW YORK VENTURE B	50.00	2.00	0.00	2.00	95.0	1.90
05/15/2018	GARLAND, DAWN	DAVIS NEW YORK VENTURE B	30.00	1.20	0.00	1.20	95.0	1.14
05/29/2018	PISTOLESI, VAUGHN	DAVIS NEW YORK VENTURE B	200.00	8.00	0.00	8.00	95.0	7.60
05/29/2018	RITTER, WILLIAM	DAVIS NEW YORK VENTURE B	25.00	1.00	0.00	1.00	95.0	0.95
05/29/2018	PISTOLESI, MORGAN	DAVIS NEW YORK VENTURE B	100.00	4.00	0.00	4.00	95.0	3.80
05/24/2018	BAKEWELL, RALPH	DWS DEUTSCHE HIGH INCOME FUND A	200.00	8.00	0.00	8.00	95.0	7.60
05/25/2018	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
/ /	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	0.00	3.75	0.00	3.75	95.0	3.56
05/17/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	100.00	3.75	0.00	3.75	95.0	3.56
05/17/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	100.00	3.75	0.00	3.75	95.0	3.56
05/25/2018	DAIZA, PHILLIP	INVESCO CHARTER FUND C	50.00	0.50	0.00	0.50	95.0	0.48
/ /	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	0.00	2.04	0.00	2.04	95.0	1.94
05/21/2018	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	36.72	0.37	0.00	0.37	95.0	0.35
05/21/2018	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	75.16	0.75	0.00	0.75	95.0	0.71
05/21/2018	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	45.10	0.45	0.00	0.45	95.0	0.43
05/25/2018	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	37.50	0.38	0.00	0.38	95.0	0.36
05/25/2018	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	37.50	0.38	0.00	0.38	95.0	0.36
05/25/2018	DINKA, JOHN	OPPENHEIMER CAPITAL APPRECIATION C	150.00	1.50	0.00	1.50	95.0	1.43
05/25/2018	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	140.00	1.40	0.00	1.40	95.0	1.33
05/25/2018	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	66.68	0.67	0.00	0.67	95.0	0.64
05/29/2018	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	17.87	0.18	0.00	0.18	95.0	0.17
05/29/2018	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	10.72	0.11	0.00	0.11	95.0	0.10
/ /	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	0.00	0.70	0.00	0.70	95.0	0.67
05/25/2018	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	33.34	0.33	0.00	0.33	95.0	0.31

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	0.00	1.75	0.00	1.75	95.0	1.66
05/29/2018	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	30.90	0.31	0.00	0.31	95.0	0.29
/ /	DITRI, ANN	OPPENHEIMER GLOBAL C	0.00	1.98	0.00	1.98	95.0	1.88
05/21/2018	DITRI, ANN	OPPENHEIMER GLOBAL C	35.64	0.36	0.00	0.36	95.0	0.34
05/29/2018	ELIAS, JANICE	OPPENHEIMER GLOBAL C	17.33	0.17	0.00	0.17	95.0	0.16
05/29/2018	ELIAS, JANICE	OPPENHEIMER GLOBAL C	10.40	0.10	0.00	0.10	95.0	0.10
/ /	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	0.30	0.00	0.30	95.0	0.29
05/21/2018	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	24.07	0.24	0.00	0.24	95.0	0.23
05/25/2018	DINKA, JOHN	OPPENHEIMER GLOBAL OPPORTUNITIES C	75.00	0.75	0.00	0.75	95.0	0.71
05/25/2018	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	140.00	1.40	0.00	1.40	95.0	1.33
05/25/2018	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	66.68	0.67	0.00	0.67	95.0	0.64
05/29/2018	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	175.08	1.75	0.00	1.75	95.0	1.66
05/29/2018	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	30.90	0.31	0.00	0.31	95.0	0.29
/ /	BAKER, STEVEN	OPPENHEIMER INTL BOND C	0.00	0.75	0.00	0.75	95.0	0.71
05/21/2018	BAKER, STEVEN	OPPENHEIMER INTL BOND C	45.10	0.45	0.00	0.45	95.0	0.43
/ /	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	0.00	0.75	0.00	0.75	95.0	0.71
05/21/2018	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	45.10	0.45	0.00	0.45	95.0	0.43
/ /	DAMORE, MONICA	OPPENHEIMER INTL SMALL CO C	0.00	1.80	0.00	1.80	95.0	1.71
05/29/2018	DAMORE, MONICA	OPPENHEIMER INTL SMALL CO C	31.83	0.32	0.00	0.32	95.0	0.30
/ /	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	0.00	0.38	0.00	0.38	95.0	0.36
05/25/2018	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	37.50	0.38	0.00	0.38	95.0	0.36
05/29/2018	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	17.33	0.17	0.00	0.17	95.0	0.16
05/29/2018	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	10.40	0.10	0.00	0.10	95.0	0.10
/ /	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	0.00	0.30	0.00	0.30	95.0	0.29
05/21/2018	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	24.07	0.24	0.00	0.24	95.0	0.23
/ /	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	2.25	0.00	2.25	95.0	2.14
05/25/2018	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	200.73	2.01	0.00	2.01	95.0	1.91
/ /	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	0.00	0.60	0.00	0.60	95.0	0.57
05/21/2018	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	48.12	0.48	0.00	0.48	95.0	0.46
05/21/2018	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	75.16	0.75	0.00	0.75	95.0	0.71
05/21/2018	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	45.10	0.45	0.00	0.45	95.0	0.43
05/25/2018	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	900.00	9.00	0.00	9.00	95.0	8.55
05/25/2018	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	131.38	1.31	0.00	1.31	95.0	1.24

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/25/2018	TORRICE, PAULA	OPPENHEIMER QUEST OPP VALUE C	50.00	0.50	0.00	0.50	95.0	0.48
05/25/2018	TORRICE, PAULA	OPPENHEIMER QUEST OPP VALUE C	50.00	0.50	0.00	0.50	95.0	0.48
/ /	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	0.00	1.00	0.00	1.00	95.0	0.95
05/29/2018	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	50.28	0.50	0.00	0.50	95.0	0.48
/ /	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	0.00	1.20	0.00	1.20	95.0	1.14
05/25/2018	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	120.00	1.20	0.00	1.20	95.0	1.14
/ /	DITRI, ANN	OPPENHEIMER VALUE C	0.00	1.98	0.00	1.98	95.0	1.88
05/21/2018	DITRI, ANN	OPPENHEIMER VALUE C	35.64	0.36	0.00	0.36	95.0	0.34
05/25/2018	DINKA, JOHN	OPPENHEIMER VALUE C	150.00	1.50	0.00	1.50	95.0	1.43
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$12,213.33</b>	<b>\$424.57</b>	<b>\$0.00</b>	<b>\$424.57</b>		<b>\$403.37</b>

**PRODUCT CATEGORY: VARIABLE ANNUITIES**

05/31/2018	BERTRAND, C JERRY	JNL ELITE ACCESS	56,302.42	30.50	0.00	30.50	95.0	28.98
05/31/2018	MELTZER, CLARENCE	JNL PERSPECTIVE ADVANTAGE	25,571.69	21.31	0.00	21.31	95.0	20.24
05/31/2018	COVYEAU, FREDERICK J & B	JNL PERSPECTIVE II	105,407.88	43.92	0.00	43.92	95.0	41.72
05/31/2018	JEANNETTE, JUSTIN	JNL PERSPECTIVE II 05/05	548.40	0.46	0.00	0.46	95.0	0.44
05/31/2018	MAGGARD, LORETTA	JNL PERSPECTIVE II 05/05	46,355.85	19.31	0.00	19.31	95.0	18.34
05/31/2018	RANG, MARILYN	JNL PERSPECTIVE II 05/05	73,151.41	30.48	0.00	30.48	95.0	28.96
05/31/2018	MCDONALD, GLENN	JNL PERSPECTIVE II 05/05	176,990.10	73.75	0.00	73.75	95.0	70.06
05/31/2018	KEELER, SUSAN	JNL PERSPECTIVE II 05/05	128,838.19	53.68	0.00	53.68	95.0	51.00
05/31/2018	PIROZZO, PETER	JNL PERSPECTIVE L	59,293.71	64.23	0.00	64.23	95.0	61.02
05/31/2018	LEGGE, GERALD	JNL PERSPECTIVE L	301,034.77	326.12	0.00	326.12	95.0	309.81
05/31/2018	DIEM, LINDA	JOHN HANCOCK VENTURE	700.58	0.15	0.00	0.15	95.0	0.14
05/31/2018	TYMCZY, MARY JANE	JOHN HANCOCK VENTURE	8,061.13	1.68	0.00	1.68	95.0	1.60
05/31/2018	DANIEL, SABRINA	JOHN HANCOCK VENTURE	309.09	0.06	0.00	0.06	95.0	0.06
04/30/2018	MIKLEVICH, MICHAEL	PRU AS ADVISOR PLAN - 1993	79,771.68	16.62	0.00	16.62	95.0	15.79
04/30/2018	HILDEBRAND, CHRISTINE	PRU AS ADVISOR PLAN - 1993	20,209.14	4.21	0.00	4.21	95.0	4.00
04/30/2018	O'CONNOR, FRANCIS	PRU AS ADVISOR PLAN - 1993	13,224.46	2.76	0.00	2.76	95.0	2.62
04/30/2018	WEHLER, MARY ANN	PRU AS ADVISOR PLAN - 1993	39,833.74	8.30	0.00	8.30	95.0	7.89
05/31/2018	MIKLEVICH, MICHAEL	PRU AS ADVISOR PLAN - 1993	82,086.68	17.10	0.00	17.10	95.0	16.25
05/31/2018	HILDEBRAND, CHRISTINE	PRU AS ADVISOR PLAN - 1993	20,164.76	4.20	0.00	4.20	95.0	3.99
05/31/2018	O'CONNOR, FRANCIS	PRU AS ADVISOR PLAN - 1993	13,312.25	2.77	0.00	2.77	95.0	2.63
05/31/2018	WEHLER, MARY ANN	PRU AS ADVISOR PLAN - 1993	40,256.80	8.39	0.00	8.39	95.0	7.97
04/30/2018	HATHAWAY, DEBORAH	PRU AS ADVISOR PLAN II - 1996	3,285.33	0.68	0.00	0.68	95.0	0.65

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
04/30/2018	CAVERLY, KEVIN	PRU AS ADVISOR PLAN II - 1996	4,485.54	0.93	0.00	0.93	95.0	0.88
04/30/2018	AVOURIS, TIMOTHY	PRU AS ADVISOR PLAN II - 1996	62,361.06	12.99	0.00	12.99	95.0	12.34
05/31/2018	HATHAWAY, DEBORAH	PRU AS ADVISOR PLAN II - 1996	3,338.71	0.70	0.00	0.70	95.0	0.67
05/31/2018	CAVERLY, KEVIN	PRU AS ADVISOR PLAN II - 1996	4,643.02	0.97	0.00	0.97	95.0	0.92
05/31/2018	AVOURIS, TIMOTHY	PRU AS ADVISOR PLAN II - 1996	64,143.31	13.36	0.00	13.36	95.0	12.69
04/30/2018	HAZARD, JOHN	PRU AS APEX II	90,948.22	75.79	0.00	75.79	95.0	72.00
04/30/2018	HAZARD, JOHN	PRU AS APEX II	90,948.22	18.95	0.00	18.95	95.0	18.00
04/30/2018	POLENYCHKO, SHIRLEY	PRU AS APEX II	15,333.42	12.78	0.00	12.78	95.0	12.14
04/30/2018	POLENYCHKO, SHIRLEY	PRU AS APEX II	15,333.42	3.19	0.00	3.19	95.0	3.03
05/31/2018	HAZARD, JOHN	PRU AS APEX II	91,840.10	76.53	0.00	76.53	95.0	72.70
05/31/2018	HAZARD, JOHN	PRU AS APEX II	91,840.10	19.13	0.00	19.13	95.0	18.17
05/31/2018	POLENYCHKO, SHIRLEY	PRU AS APEX II	15,614.59	13.01	0.00	13.01	95.0	12.36
05/31/2018	POLENYCHKO, SHIRLEY	PRU AS APEX II	15,614.59	3.25	0.00	3.25	95.0	3.09
04/30/2018	YANIS, ROSANN	PRU AS LIFEVEST II	44,391.84	9.25	0.00	9.25	95.0	8.79
04/30/2018	YANIS, ROSANN	PRU AS LIFEVEST II	44,391.84	27.74	0.00	27.74	95.0	26.35
04/30/2018	SARKISIAN, NORAY	PRU AS LIFEVEST II	68,057.07	14.18	0.00	14.18	95.0	13.47
04/30/2018	SARKISIAN, NORAY	PRU AS LIFEVEST II	68,057.07	42.54	0.00	42.54	95.0	40.41
04/30/2018	SOBIESKI, JOYCE	PRU AS LIFEVEST II	18,667.81	3.89	0.00	3.89	95.0	3.70
04/30/2018	SOBIESKI, JOYCE	PRU AS LIFEVEST II	18,667.81	11.67	0.00	11.67	95.0	11.09
04/30/2018	WETZEL, WILLIAM	PRU AS LIFEVEST II	32,645.89	6.80	0.00	6.80	95.0	6.46
04/30/2018	WETZEL, WILLIAM	PRU AS LIFEVEST II	32,645.89	20.40	0.00	20.40	95.0	19.38
04/30/2018	BLANKENSHIP, JANET	PRU AS LIFEVEST II	239,342.21	49.86	0.00	49.86	95.0	47.37
04/30/2018	BLANKENSHIP, JANET	PRU AS LIFEVEST II	239,342.21	149.59	0.00	149.59	95.0	142.11
04/30/2018	HOVIS, BARBARA	PRU AS LIFEVEST II	10,819.49	2.25	0.00	2.25	95.0	2.14
04/30/2018	HOVIS, BARBARA	PRU AS LIFEVEST II	10,819.49	6.76	0.00	6.76	95.0	6.42
04/30/2018	LOURIA, PHYLLIS	PRU AS LIFEVEST II	38,400.19	8.00	0.00	8.00	95.0	7.60
04/30/2018	LOURIA, PHYLLIS	PRU AS LIFEVEST II	38,400.19	24.00	0.00	24.00	95.0	22.80
04/30/2018	MERRITT, MARY LOU	PRU AS LIFEVEST II	112,020.59	23.34	0.00	23.34	95.0	22.17
04/30/2018	MERRITT, MARY LOU	PRU AS LIFEVEST II	112,020.59	70.01	0.00	70.01	95.0	66.51
05/31/2018	YANIS, ROSANN	PRU AS LIFEVEST II	44,621.89	9.30	0.00	9.30	95.0	8.84
05/31/2018	YANIS, ROSANN	PRU AS LIFEVEST II	44,621.89	27.89	0.00	27.89	95.0	26.50
05/31/2018	SARKISIAN, NORAY	PRU AS LIFEVEST II	67,947.42	14.16	0.00	14.16	95.0	13.45
05/31/2018	SARKISIAN, NORAY	PRU AS LIFEVEST II	67,947.42	42.47	0.00	42.47	95.0	40.35

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	SOBIESKI, JOYCE	PRU AS LIFEVEST II	18,974.92	3.95	0.00	3.95	95.0	3.75
05/31/2018	SOBIESKI, JOYCE	PRU AS LIFEVEST II	18,974.92	11.86	0.00	11.86	95.0	11.27
05/31/2018	WETZEL, WILLIAM	PRU AS LIFEVEST II	32,436.48	6.76	0.00	6.76	95.0	6.42
05/31/2018	WETZEL, WILLIAM	PRU AS LIFEVEST II	32,436.48	20.27	0.00	20.27	95.0	19.26
05/31/2018	BLANKENSHIP, JANET	PRU AS LIFEVEST II	242,182.93	50.45	0.00	50.45	95.0	47.93
05/31/2018	BLANKENSHIP, JANET	PRU AS LIFEVEST II	242,182.93	151.36	0.00	151.36	95.0	143.79
05/31/2018	HOVIS, BARBARA	PRU AS LIFEVEST II	10,724.04	2.23	0.00	2.23	95.0	2.12
05/31/2018	HOVIS, BARBARA	PRU AS LIFEVEST II	10,724.04	6.70	0.00	6.70	95.0	6.37
05/31/2018	LOURIA, PHYLLIS	PRU AS LIFEVEST II	38,590.59	8.04	0.00	8.04	95.0	7.64
05/31/2018	LOURIA, PHYLLIS	PRU AS LIFEVEST II	38,590.59	24.12	0.00	24.12	95.0	22.91
05/31/2018	MERRITT, MARY LOU	PRU AS LIFEVEST II	112,065.56	23.35	0.00	23.35	95.0	22.18
05/31/2018	MERRITT, MARY LOU	PRU AS LIFEVEST II	112,065.56	70.04	0.00	70.04	95.0	66.54
04/30/2018	THOMSON, DOUGLAS	PRU AS XTRA CREDIT SIX	63,197.93	13.17	0.00	13.17	95.0	12.51
04/30/2018	THOMSON, DOUGLAS	PRU AS XTRA CREDIT SIX	63,197.93	13.17	0.00	13.17	95.0	12.51
04/30/2018	KOZIKOWSKI, TERRY	PRU AS XTRA CREDIT SIX	120,881.52	25.18	0.00	25.18	95.0	23.92
04/30/2018	KOZIKOWSKI, TERRY	PRU AS XTRA CREDIT SIX	120,881.52	25.18	0.00	25.18	95.0	23.92
04/30/2018	JEFFRIES, BARBARA	PRU AS XTRA CREDIT SIX	183,408.04	38.21	0.00	38.21	95.0	36.30
04/30/2018	JEFFRIES, BARBARA	PRU AS XTRA CREDIT SIX	183,408.04	38.21	0.00	38.21	95.0	36.30
04/30/2018	VOGEL, KEITH	PRU AS XTRA CREDIT SIX	20,842.28	4.34	0.00	4.34	95.0	4.12
04/30/2018	VOGEL, KEITH	PRU AS XTRA CREDIT SIX	20,842.28	4.34	0.00	4.34	95.0	4.12
04/30/2018	BELLINGER, VICKIE	PRU AS XTRA CREDIT SIX	380,210.92	79.21	0.00	79.21	95.0	75.25
04/30/2018	BELLINGER, VICKIE	PRU AS XTRA CREDIT SIX	380,210.92	79.21	0.00	79.21	95.0	75.25
04/30/2018	BAKER, GENE	PRU AS XTRA CREDIT SIX	217,225.85	45.26	0.00	45.26	95.0	43.00
04/30/2018	BAKER, GENE	PRU AS XTRA CREDIT SIX	217,225.85	45.26	0.00	45.26	95.0	43.00
04/30/2018	AMMAN, KATHLEEN	PRU AS XTRA CREDIT SIX	85,067.29	17.72	0.00	17.72	95.0	16.83
04/30/2018	AMMAN, KATHLEEN	PRU AS XTRA CREDIT SIX	85,067.29	17.72	0.00	17.72	95.0	16.83
04/30/2018	MCDONALD, VICKI	PRU AS XTRA CREDIT SIX	26,768.56	5.58	0.00	5.58	95.0	5.30
04/30/2018	MCDONALD, VICKI	PRU AS XTRA CREDIT SIX	26,768.56	5.58	0.00	5.58	95.0	5.30
04/30/2018	MCDONALD, CRAIG	PRU AS XTRA CREDIT SIX	22,046.47	4.59	0.00	4.59	95.0	4.36
04/30/2018	MCDONALD, CRAIG	PRU AS XTRA CREDIT SIX	22,046.47	4.59	0.00	4.59	95.0	4.36
04/30/2018	O'CONNOR, FRANCIS	PRU AS XTRA CREDIT SIX	29,535.18	6.15	0.00	6.15	95.0	5.84
04/30/2018	O'CONNOR, FRANCIS	PRU AS XTRA CREDIT SIX	29,535.18	6.15	0.00	6.15	95.0	5.84
04/30/2018	DALTON, GRACE	PRU AS XTRA CREDIT SIX	81,355.01	16.95	0.00	16.95	95.0	16.10

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
04/30/2018	DALTON, GRACE	PRU AS XTRA CREDIT SIX	81,355.01	16.95	0.00	16.95	95.0	16.10
04/30/2018	HOOTNER-JONES, ANN	PRU AS XTRA CREDIT SIX	158,666.48	33.06	0.00	33.06	95.0	31.41
04/30/2018	HOOTNER-JONES, ANN	PRU AS XTRA CREDIT SIX	158,666.48	33.06	0.00	33.06	95.0	31.41
04/30/2018	TAMM, JAMES	PRU AS XTRA CREDIT SIX	9,574.12	1.99	0.00	1.99	95.0	1.89
04/30/2018	TAMM, JAMES	PRU AS XTRA CREDIT SIX	9,574.12	1.99	0.00	1.99	95.0	1.89
04/30/2018	SIMONY, JOAN	PRU AS XTRA CREDIT SIX	146,614.26	30.54	0.00	30.54	95.0	29.01
04/30/2018	SIMONY, JOAN	PRU AS XTRA CREDIT SIX	146,614.26	30.54	0.00	30.54	95.0	29.01
04/30/2018	CHEUNG, EDWARD	PRU AS XTRA CREDIT SIX	329,928.35	68.74	0.00	68.74	95.0	65.30
04/30/2018	CHEUNG, EDWARD	PRU AS XTRA CREDIT SIX	329,928.35	68.74	0.00	68.74	95.0	65.30
04/30/2018	KAWALA, CHRISTINA	PRU AS XTRA CREDIT SIX	129,646.91	27.01	0.00	27.01	95.0	25.66
04/30/2018	KAWALA, CHRISTINA	PRU AS XTRA CREDIT SIX	129,646.91	27.01	0.00	27.01	95.0	25.66
04/30/2018	RIOS-DORIA, H	PRU AS XTRA CREDIT SIX	56,931.49	11.86	0.00	11.86	95.0	11.27
04/30/2018	RIOS-DORIA, H	PRU AS XTRA CREDIT SIX	56,931.49	11.86	0.00	11.86	95.0	11.27
04/30/2018	BUSZEK, PAUL	PRU AS XTRA CREDIT SIX	30,148.31	6.28	0.00	6.28	95.0	5.97
04/30/2018	BUSZEK, PAUL	PRU AS XTRA CREDIT SIX	30,148.31	6.28	0.00	6.28	95.0	5.97
05/31/2018	THOMSON, DOUGLAS	PRU AS XTRA CREDIT SIX	63,348.88	13.20	0.00	13.20	95.0	12.54
05/31/2018	THOMSON, DOUGLAS	PRU AS XTRA CREDIT SIX	63,348.88	13.20	0.00	13.20	95.0	12.54
05/31/2018	KOZIKOWSKI, TERRY	PRU AS XTRA CREDIT SIX	121,352.66	25.28	0.00	25.28	95.0	24.02
05/31/2018	KOZIKOWSKI, TERRY	PRU AS XTRA CREDIT SIX	121,352.66	25.28	0.00	25.28	95.0	24.02
05/31/2018	JEFFRIES, BARBARA	PRU AS XTRA CREDIT SIX	184,884.19	38.52	0.00	38.52	95.0	36.59
05/31/2018	JEFFRIES, BARBARA	PRU AS XTRA CREDIT SIX	184,884.19	38.52	0.00	38.52	95.0	36.59
05/31/2018	VOGEL, KEITH	PRU AS XTRA CREDIT SIX	20,350.29	4.24	0.00	4.24	95.0	4.03
05/31/2018	VOGEL, KEITH	PRU AS XTRA CREDIT SIX	20,350.29	4.24	0.00	4.24	95.0	4.03
05/31/2018	BELLINGER, VICKIE	PRU AS XTRA CREDIT SIX	381,723.31	79.53	0.00	79.53	95.0	75.55
05/31/2018	BELLINGER, VICKIE	PRU AS XTRA CREDIT SIX	381,723.31	79.53	0.00	79.53	95.0	75.55
05/31/2018	BAKER, GENE	PRU AS XTRA CREDIT SIX	216,856.69	45.18	0.00	45.18	95.0	42.92
05/31/2018	BAKER, GENE	PRU AS XTRA CREDIT SIX	216,856.69	45.18	0.00	45.18	95.0	42.92
05/31/2018	AMMAN, KATHLEEN	PRU AS XTRA CREDIT SIX	84,996.18	17.71	0.00	17.71	95.0	16.82
05/31/2018	AMMAN, KATHLEEN	PRU AS XTRA CREDIT SIX	84,996.18	17.71	0.00	17.71	95.0	16.82
05/31/2018	MCDONALD, VICKI	PRU AS XTRA CREDIT SIX	26,922.58	5.61	0.00	5.61	95.0	5.33
05/31/2018	MCDONALD, VICKI	PRU AS XTRA CREDIT SIX	26,922.58	5.61	0.00	5.61	95.0	5.33
05/31/2018	MCDONALD, CRAIG	PRU AS XTRA CREDIT SIX	22,146.06	4.61	0.00	4.61	95.0	4.38
05/31/2018	MCDONALD, CRAIG	PRU AS XTRA CREDIT SIX	22,146.06	4.61	0.00	4.61	95.0	4.38

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	O'CONNOR, FRANCIS	PRU AS XTRA CREDIT SIX	30,025.45	6.26	0.00	6.26	95.0	5.95
05/31/2018	O'CONNOR, FRANCIS	PRU AS XTRA CREDIT SIX	30,025.45	6.26	0.00	6.26	95.0	5.95
05/31/2018	DALTON, GRACE	PRU AS XTRA CREDIT SIX	83,192.39	17.33	0.00	17.33	95.0	16.46
05/31/2018	DALTON, GRACE	PRU AS XTRA CREDIT SIX	83,192.39	17.33	0.00	17.33	95.0	16.46
05/31/2018	HOOTNER-JONES, ANN	PRU AS XTRA CREDIT SIX	159,456.14	33.22	0.00	33.22	95.0	31.56
05/31/2018	HOOTNER-JONES, ANN	PRU AS XTRA CREDIT SIX	159,456.14	33.22	0.00	33.22	95.0	31.56
05/31/2018	TAMM, JAMES	PRU AS XTRA CREDIT SIX	9,512.10	1.98	0.00	1.98	95.0	1.88
05/31/2018	TAMM, JAMES	PRU AS XTRA CREDIT SIX	9,512.10	1.98	0.00	1.98	95.0	1.88
05/31/2018	SIMONY, JOAN	PRU AS XTRA CREDIT SIX	147,311.22	30.69	0.00	30.69	95.0	29.16
05/31/2018	SIMONY, JOAN	PRU AS XTRA CREDIT SIX	147,311.22	30.69	0.00	30.69	95.0	29.16
05/31/2018	CHEUNG, EDWARD	PRU AS XTRA CREDIT SIX	331,475.57	69.06	0.00	69.06	95.0	65.61
05/31/2018	CHEUNG, EDWARD	PRU AS XTRA CREDIT SIX	331,475.57	69.06	0.00	69.06	95.0	65.61
05/31/2018	KAWALA, CHRISTINA	PRU AS XTRA CREDIT SIX	129,527.30	26.98	0.00	26.98	95.0	25.63
05/31/2018	KAWALA, CHRISTINA	PRU AS XTRA CREDIT SIX	129,527.30	26.98	0.00	26.98	95.0	25.63
05/31/2018	RIOS-DORIA, H	PRU AS XTRA CREDIT SIX	56,884.10	11.85	0.00	11.85	95.0	11.26
05/31/2018	RIOS-DORIA, H	PRU AS XTRA CREDIT SIX	56,884.10	11.85	0.00	11.85	95.0	11.26
05/31/2018	BUSZEK, PAUL	PRU AS XTRA CREDIT SIX	30,532.08	6.36	0.00	6.36	95.0	6.04
05/31/2018	BUSZEK, PAUL	PRU AS XTRA CREDIT SIX	30,532.08	6.36	0.00	6.36	95.0	6.04
04/30/2018	MURPHY, PHILIP	PRU PREMIER RETIREMENT B	95,950.99	19.99	0.00	19.99	95.0	18.99
04/30/2018	POLANCE, BARBARA	PRU PREMIER RETIREMENT B	280,211.01	58.38	0.00	58.38	95.0	55.46
04/30/2018	KEELER, SUSAN	PRU PREMIER RETIREMENT B	133,564.08	27.83	0.00	27.83	95.0	26.44
04/30/2018	ANDERSON, JUDITH	PRU PREMIER RETIREMENT B	174,179.37	36.29	0.00	36.29	95.0	34.48
04/30/2018	PARKE, WALTER	PRU PREMIER RETIREMENT B	109,060.75	22.72	0.00	22.72	95.0	21.58
04/30/2018	GEISLER, JUDY	PRU PREMIER RETIREMENT B	11,376.23	2.37	0.00	2.37	95.0	2.25
04/30/2018	GRAMMATICO, DENNIS	PRU PREMIER RETIREMENT B	678,530.69	141.36	0.00	141.36	95.0	134.29
04/30/2018	HANSEN, SUSAN	PRU PREMIER RETIREMENT B	244,002.92	50.83	0.00	50.83	95.0	48.29
04/30/2018	WILLS, KELLEY	PRU PREMIER RETIREMENT B	157,326.75	32.78	0.00	32.78	95.0	31.14
05/31/2018	MURPHY, PHILIP	PRU PREMIER RETIREMENT B	96,570.57	20.12	0.00	20.12	95.0	19.11
05/31/2018	POLANCE, BARBARA	PRU PREMIER RETIREMENT B	282,283.98	58.81	0.00	58.81	95.0	55.87
05/31/2018	KEELER, SUSAN	PRU PREMIER RETIREMENT B	133,824.70	27.88	0.00	27.88	95.0	26.49
05/31/2018	ANDERSON, JUDITH	PRU PREMIER RETIREMENT B	174,164.18	36.28	0.00	36.28	95.0	34.47
05/31/2018	PARKE, WALTER	PRU PREMIER RETIREMENT B	109,284.55	22.77	0.00	22.77	95.0	21.63
05/31/2018	GEISLER, JUDY	PRU PREMIER RETIREMENT B	10,761.20	2.24	0.00	2.24	95.0	2.13

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
05/31/2018	GRAMMATICO, DENNIS	PRU PREMIER RETIREMENT B	682,644.42	142.22	0.00	142.22	95.0	135.11
05/31/2018	HANSEN, SUSAN	PRU PREMIER RETIREMENT B	245,646.34	51.18	0.00	51.18	95.0	48.62
05/31/2018	WILLS, KELLEY	PRU PREMIER RETIREMENT B	158,383.06	33.00	0.00	33.00	95.0	31.35
04/30/2018	MULRATH, JOHN	PRU PREMIER RETIREMENT L	161,836.11	134.86	0.00	134.86	95.0	128.12
04/30/2018	NICOLOSI, ROSE	PRU PREMIER RETIREMENT L	489,906.48	408.26	0.00	408.26	95.0	387.85
04/30/2018	WAGNER, SHARON	PRU PREMIER RETIREMENT L	381,665.13	318.05	0.00	318.05	95.0	302.15
04/30/2018	WAGNER II, HARRY	PRU PREMIER RETIREMENT L	379,007.76	315.84	0.00	315.84	95.0	300.05
04/30/2018	RAONA, GARY	PRU PREMIER RETIREMENT L	10,616.52	8.85	0.00	8.85	95.0	8.41
04/30/2018	SPEARY, LISA	PRU PREMIER RETIREMENT L	102,070.81	85.06	0.00	85.06	95.0	80.81
04/30/2018	SCHROETER, MARY KAY	PRU PREMIER RETIREMENT L	170,122.15	141.77	0.00	141.77	95.0	134.68
05/31/2018	MULRATH, JOHN	PRU PREMIER RETIREMENT L	162,980.58	135.82	0.00	135.82	95.0	129.03
05/31/2018	NICOLOSI, ROSE	PRU PREMIER RETIREMENT L	490,692.96	408.91	0.00	408.91	95.0	388.46
05/31/2018	WAGNER, SHARON	PRU PREMIER RETIREMENT L	382,812.47	319.01	0.00	319.01	95.0	303.06
05/31/2018	WAGNER II, HARRY	PRU PREMIER RETIREMENT L	380,804.16	317.34	0.00	317.34	95.0	301.47
05/31/2018	RAONA, GARY	PRU PREMIER RETIREMENT L	10,691.54	8.91	0.00	8.91	95.0	8.46
05/31/2018	SPEARY, LISA	PRU PREMIER RETIREMENT L	102,712.70	85.59	0.00	85.59	95.0	81.31
05/31/2018	SCHROETER, MARY KAY	PRU PREMIER RETIREMENT L	171,325.56	142.77	0.00	142.77	95.0	135.63
04/30/2018	OLSON, MELINDA	PRU PREMIER RETIREMENT X	138,533.56	28.86	0.00	28.86	95.0	27.42
04/30/2018	HENRY, JACQUELINE	PRU PREMIER RETIREMENT X	68,309.51	14.23	0.00	14.23	95.0	13.52
04/30/2018	TYNAN, SHELLEY	PRU PREMIER RETIREMENT X	89,588.88	18.66	0.00	18.66	95.0	17.73
04/30/2018	DUNK, KATHLEEN	PRU PREMIER RETIREMENT X	41,834.70	8.72	0.00	8.72	95.0	8.28
04/30/2018	VOGEL, KEITH	PRU PREMIER RETIREMENT X	115,039.96	23.97	0.00	23.97	95.0	22.77
04/30/2018	DEMAN, KENNETH	PRU PREMIER RETIREMENT X	92,963.42	19.37	0.00	19.37	95.0	18.40
04/30/2018	JOHNSON, RODNEY	PRU PREMIER RETIREMENT X	207,072.32	43.14	0.00	43.14	95.0	40.98
04/30/2018	KNIGHT, SHARON	PRU PREMIER RETIREMENT X	101,091.52	21.06	0.00	21.06	95.0	20.01
04/30/2018	FEWLESS, SCOTT	PRU PREMIER RETIREMENT X	20,778.61	4.33	0.00	4.33	95.0	4.11
05/31/2018	OLSON, MELINDA	PRU PREMIER RETIREMENT X	139,931.75	29.15	0.00	29.15	95.0	27.69
05/31/2018	HENRY, JACQUELINE	PRU PREMIER RETIREMENT X	68,920.05	14.36	0.00	14.36	95.0	13.64
05/31/2018	TYNAN, SHELLEY	PRU PREMIER RETIREMENT X	90,388.75	18.83	0.00	18.83	95.0	17.89
05/31/2018	DUNK, KATHLEEN	PRU PREMIER RETIREMENT X	42,208.17	8.79	0.00	8.79	95.0	8.35
05/31/2018	VOGEL, KEITH	PRU PREMIER RETIREMENT X	115,405.90	24.04	0.00	24.04	95.0	22.84
05/31/2018	DEMAN, KENNETH	PRU PREMIER RETIREMENT X	93,500.37	19.48	0.00	19.48	95.0	18.51
05/31/2018	JOHNSON, RODNEY	PRU PREMIER RETIREMENT X	208,410.30	43.42	0.00	43.42	95.0	41.25

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	KNIGHT, SHARON	PRU PREMIER RETIREMENT X	101,983.42	21.25	0.00	21.25	95.0	20.19
05/31/2018	FEWLESS, SCOTT	PRU PREMIER RETIREMENT X	20,907.25	4.36	0.00	4.36	95.0	4.14
04/30/2018	RYAN, JACK	PRU XTRA CREDIT EIGHT	57,723.96	12.03	0.00	12.03	95.0	11.43
04/30/2018	RYAN, JACK	PRU XTRA CREDIT EIGHT	57,723.96	24.05	0.00	24.05	95.0	22.85
04/30/2018	RANG, JAMES	PRU XTRA CREDIT EIGHT	98,842.96	20.59	0.00	20.59	95.0	19.56
04/30/2018	RANG, JAMES	PRU XTRA CREDIT EIGHT	98,842.96	41.18	0.00	41.18	95.0	39.12
04/30/2018	NACHAZEL, THOMAS	PRU XTRA CREDIT EIGHT	48,793.66	10.17	0.00	10.17	95.0	9.66
04/30/2018	NACHAZEL, THOMAS	PRU XTRA CREDIT EIGHT	48,793.66	20.33	0.00	20.33	95.0	19.31
05/31/2018	RYAN, JACK	PRU XTRA CREDIT EIGHT	57,783.20	12.04	0.00	12.04	95.0	11.44
05/31/2018	RYAN, JACK	PRU XTRA CREDIT EIGHT	57,783.20	24.08	0.00	24.08	95.0	22.88
05/31/2018	RANG, JAMES	PRU XTRA CREDIT EIGHT	98,841.73	20.59	0.00	20.59	95.0	19.56
05/31/2018	RANG, JAMES	PRU XTRA CREDIT EIGHT	98,841.73	41.18	0.00	41.18	95.0	39.12
05/31/2018	NACHAZEL, THOMAS	PRU XTRA CREDIT EIGHT	49,119.58	10.23	0.00	10.23	95.0	9.72
05/31/2018	NACHAZEL, THOMAS	PRU XTRA CREDIT EIGHT	49,119.58	20.47	0.00	20.47	95.0	19.45
05/04/2018	SCHROETER, RICHARD	TRANSAMERICA EXTRA	39,155.09	32.63	0.00	32.63	95.0	31.00
05/14/2018	GRUSH, ERNEST	TRANSAMERICA LANDMARK	156,282.28	130.24	0.00	130.24	95.0	123.73
05/30/2018	MADSEN, JOYCE	TRANSAMERICA LANDMARK	4,944.04	4.12	0.00	4.12	95.0	3.91
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$22,464,495.27</b>	<b>\$8,080.43</b>	<b>\$0.00</b>	<b>\$8,080.43</b>		<b>\$7,676.43</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

06/01/2018	HIGGINS, JAMES	529 AMCAP FUND CLASS A	0.00	18.81	0.00	18.81	95.0	17.87
06/01/2018	BOWEN, ED	529 AMCAP FUND CLASS A	0.00	1.08	0.00	1.08	95.0	1.03
06/01/2018	HOLLAND, JENNIFER	AM FUNDS 2015 TARGET DATE A	0.00	4.81	0.00	4.81	95.0	4.57
06/01/2018	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	0.00	3.68	0.00	3.68	95.0	3.50
06/01/2018	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	0.00	4.06	0.00	4.06	95.0	3.86
06/01/2018	BROSHEAR, KARA	AM FUNDS 2040 TARGET DATE-A	0.00	0.97	0.00	0.97	95.0	0.92
06/01/2018	EVANGELISTA, JACQUELINE	AM FUNDS AMCAP A	0.00	13.53	0.00	13.53	95.0	12.85
06/01/2018	KELLEY, GAYLE	AM FUNDS AMCAP A	0.00	17.32	0.00	17.32	95.0	16.45
06/01/2018	HOFFMAN, BARBARA	AM FUNDS AMCAP A	0.00	16.41	0.00	16.41	95.0	15.59
06/01/2018	PHILLIPS, RANDALL	AM FUNDS AMCAP A	0.00	16.71	0.00	16.71	95.0	15.87
06/01/2018	SILVERSTEIN, MICHAEL	AM FUNDS AMCAP A	0.00	10.43	0.00	10.43	95.0	9.91
06/01/2018	PARDEE, LORRAINE	AM FUNDS AMCAP A	0.00	2.37	0.00	2.37	95.0	2.25
06/01/2018	JONES, GERALD	AM FUNDS AMCAP A	0.00	1.54	0.00	1.54	95.0	1.46
06/01/2018	ALVEY, CODY	AM FUNDS AMCAP A	0.00	0.27	0.00	0.27	95.0	0.26

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
06/01/2018	SIMMONDS, JOSHUA	AM FUNDS AMCAP A	0.00	4.03	0.00	4.03	95.0	3.83
06/01/2018	STILLWELL, ERIK	AM FUNDS AMCAP A	0.00	1.60	0.00	1.60	95.0	1.52
06/01/2018	BLAYLOCK, JENNIFER	AM FUNDS AMCAP A	0.00	0.69	0.00	0.69	95.0	0.66
06/01/2018	GINGELL, JANET	AM FUNDS AMCAP A	0.00	47.94	0.00	47.94	95.0	45.54
06/01/2018	ARMSTRONG, ROBERT	AM FUNDS AMERICAN BALANCED 529A	0.00	1.27	0.00	1.27	95.0	1.21
06/01/2018	BOWEN, ED	AM FUNDS AMERICAN BALANCED 529A	0.00	0.27	0.00	0.27	95.0	0.26
06/01/2018	SMITH, H DENNIS	AM FUNDS AMERICAN BALANCED A	0.00	4.58	0.00	4.58	95.0	4.35
06/01/2018	FINK, JENNIFER	AM FUNDS AMERICAN BALANCED A	0.00	16.52	0.00	16.52	95.0	15.69
06/01/2018	BRINDLE, ALAN	AM FUNDS AMERICAN BALANCED A	0.00	53.35	0.00	53.35	95.0	50.68
06/01/2018	BALAEV, ALEXANDER	AM FUNDS AMERICAN BALANCED A	0.00	1.32	0.00	1.32	95.0	1.25
06/01/2018	HELD, JEFFREY	AM FUNDS AMERICAN BALANCED A	0.00	9.22	0.00	9.22	95.0	8.76
06/01/2018	SHALDA, ROSE	AM FUNDS AMERICAN BALANCED A	0.00	8.74	0.00	8.74	95.0	8.30
06/01/2018	BUSTER, RICKY	AM FUNDS AMERICAN BALANCED A	0.00	1.84	0.00	1.84	95.0	1.75
06/01/2018	BRINDLE, ALAN	AM FUNDS AMERICAN BALANCED A	0.00	14.50	0.00	14.50	95.0	13.78
06/01/2018	NICOLOSI, ROSE	AM FUNDS AMERICAN BALANCED A	0.00	22.47	0.00	22.47	95.0	21.35
06/01/2018	GRIFFIN, SHEILA	AM FUNDS AMERICAN BALANCED A	0.00	8.54	0.00	8.54	95.0	8.11
06/01/2018	MOELLER, STEVE	AM FUNDS AMERICAN BALANCED A	0.00	0.26	0.00	0.26	95.0	0.25
06/01/2018	RAYMER, SUZANNE	AM FUNDS AMERICAN BALANCED A	0.00	11.13	0.00	11.13	95.0	10.57
06/01/2018	GREGORY, CAROL	AM FUNDS AMERICAN BALANCED A	0.00	7.70	0.00	7.70	95.0	7.32
06/01/2018	BABIN, WILLIAM	AM FUNDS AMERICAN BALANCED A	0.00	7.58	0.00	7.58	95.0	7.20
06/01/2018	TREINEN, MATT	AM FUNDS AMERICAN BALANCED A	0.00	2.03	0.00	2.03	95.0	1.93
06/01/2018	VELAYUDHAN, NALINI	AM FUNDS AMERICAN BALANCED A	0.00	2.55	0.00	2.55	95.0	2.42
06/01/2018	OMELIANOFF, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	2.32	0.00	2.32	95.0	2.20
06/01/2018	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	0.00	2.37	0.00	2.37	95.0	2.25
06/01/2018	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	0.00	16.19	0.00	16.19	95.0	15.38
06/01/2018	CYRULEWSKI, JIM	AM FUNDS AMERICAN BALANCED C	0.00	1.74	0.00	1.74	95.0	1.65
06/01/2018	BLANKENSHIP, JANET	AM FUNDS AMERICAN BALANCED C	0.00	23.77	0.00	23.77	95.0	22.58
06/01/2018	KEELER, DAVID	AM FUNDS AMERICAN BALANCED C	0.00	148.99	0.00	148.99	95.0	141.54
06/01/2018	KOSANKE, MARK	AM FUNDS AMERICAN BALANCED C	0.00	30.47	0.00	30.47	95.0	28.95
06/01/2018	KISH, VIVIAN	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	98.23	0.00	98.23	95.0	93.32
06/01/2018	NICOLOSI, ROSE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	6.05	0.00	6.05	95.0	5.75
06/01/2018	GRIFFIN, SHEILA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	13.92	0.00	13.92	95.0	13.22
06/01/2018	GREGORY, CAROL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.59	0.00	1.59	95.0	1.51

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	FINK, MARGARET	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	27.53	0.00	27.53	95.0	26.15
06/01/2018	ILICH, NORMA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	7.22	0.00	7.22	95.0	6.86
06/01/2018	KRAUSE, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	78.30	0.00	78.30	95.0	74.39
06/01/2018	SKOWRONSKI, GABRIELLA	AM FUNDS AMERICAN MUTUAL 529A	0.00	3.50	0.00	3.50	95.0	3.33
06/01/2018	MATAR, ROBERT	AM FUNDS AMERICAN MUTUAL 529A	0.00	8.14	0.00	8.14	95.0	7.73
06/01/2018	SKOWRONSKI, GABRIELLA	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.06	0.00	1.06	95.0	1.01
06/01/2018	MERREN, DANELL	AM FUNDS AMERICAN MUTUAL 529C	0.00	0.06	0.00	0.06	95.0	0.06
06/01/2018	MURDOCK, DANIELLE	AM FUNDS AMERICAN MUTUAL 529C	0.00	1.35	0.00	1.35	95.0	1.28
06/01/2018	BERNARDI, MARGARET	AM FUNDS AMERICAN MUTUAL A	0.00	13.89	0.00	13.89	95.0	13.20
06/01/2018	GRAVES, ANNE	AM FUNDS AMERICAN MUTUAL A	0.00	70.57	0.00	70.57	95.0	67.04
06/01/2018	BALAEV, ALEXANDER	AM FUNDS AMERICAN MUTUAL A	0.00	0.77	0.00	0.77	95.0	0.73
06/01/2018	HAVLIK, BRIAN	AM FUNDS AMERICAN MUTUAL A	0.00	0.01	0.00	0.01	95.0	0.01
06/01/2018	WOJTKOWSKI, ROBERT	AM FUNDS AMERICAN MUTUAL A	0.00	5.05	0.00	5.05	95.0	4.80
06/01/2018	FULTON, JOHN	AM FUNDS AMERICAN MUTUAL A	0.00	11.26	0.00	11.26	95.0	10.70
06/01/2018	BABIN, WILLIAM	AM FUNDS AMERICAN MUTUAL A	0.00	8.59	0.00	8.59	95.0	8.16
06/01/2018	LISIECKI, MARGARET	AM FUNDS AMERICAN MUTUAL A	0.00	33.11	0.00	33.11	95.0	31.45
06/01/2018	MCKEE, DUANE	AM FUNDS AMERICAN MUTUAL A	0.00	5.76	0.00	5.76	95.0	5.47
06/01/2018	ROGERS, THOMAS	AM FUNDS AMERICAN MUTUAL A	0.00	10.67	0.00	10.67	95.0	10.14
06/01/2018	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	0.00	2.40	0.00	2.40	95.0	2.28
06/01/2018	SCHECK, VICTORIA	AM FUNDS AMERICAN MUTUAL A	0.00	9.89	0.00	9.89	95.0	9.40
06/01/2018	BUSARSKY, KATHLEEN	AM FUNDS AMERICAN MUTUAL A	0.00	385.65	0.00	385.65	95.0	366.37
06/01/2018	KEBA, STEPHEN	AM FUNDS AMERICAN MUTUAL A	0.00	7.37	0.00	7.37	95.0	7.00
06/01/2018	MCKEE, DUANE	AM FUNDS AMERICAN MUTUAL C	0.00	0.35	0.00	0.35	95.0	0.33
06/01/2018	WETZEL, ROBERT	AM FUNDS AMERICAN MUTUAL C	0.00	212.44	0.00	212.44	95.0	201.82
06/01/2018	GURKE/BENE, NIKOLE	AM FUNDS AMERICAN MUTUAL C	0.00	67.57	0.00	67.57	95.0	64.19
06/01/2018	KAWALA, CHRISTINA	AM FUNDS AMERICAN MUTUAL C	0.00	56.73	0.00	56.73	95.0	53.89
06/01/2018	MI/TOD	AM FUNDS AMERICAN MUTUAL C	0.00	58.17	0.00	58.17	95.0	55.26
06/01/2018	SUTTON, LYNETTE	AM FUNDS AMERICAN MUTUAL C	0.00	51.27	0.00	51.27	95.0	48.71
06/01/2018	HOWEY, JEREMY	AM FUNDS AMERICAN MUTUAL C	0.00	1.35	0.00	1.35	95.0	1.28
06/01/2018	HLADKI, WILLIAM	AM FUNDS AMERICAN MUTUAL C	0.00	5.99	0.00	5.99	95.0	5.69
06/01/2018	KANAKRI, JOSEPH	AM FUNDS AMERICAN MUTUAL C	0.00	3.31	0.00	3.31	95.0	3.14
06/01/2018	WILSON, KAYLEE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.79	0.00	0.79	95.0	0.75
06/01/2018	WILSON, KAYLEE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.80	0.00	0.80	95.0	0.76

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	ARMSTRONG, ROBERT	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.59	0.00	0.59	95.0	0.56
06/01/2018	BOWEN, ED	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.87	0.00	0.87	95.0	0.83
06/01/2018	HIGGINS, JAMES	AM FUNDS BOND FUND OF AMERICA 529A	0.00	6.71	0.00	6.71	95.0	6.37
06/01/2018	BERNARDI, MARGARET	AM FUNDS BOND FUND OF AMERICA A	0.00	2.14	0.00	2.14	95.0	2.03
06/01/2018	FINK, MARGARET	AM FUNDS BOND FUND OF AMERICA A	0.00	46.58	0.00	46.58	95.0	44.25
06/01/2018	MANNING, ELSIE	AM FUNDS BOND FUND OF AMERICA A	0.00	14.14	0.00	14.14	95.0	13.43
06/01/2018	BUSTER, RICKY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.51	0.00	1.51	95.0	1.43
06/01/2018	JAMES, RODNEY	AM FUNDS BOND FUND OF AMERICA A	0.00	0.12	0.00	0.12	95.0	0.11
06/01/2018	RILEY, ROBERT	AM FUNDS BOND FUND OF AMERICA A	0.00	1.04	0.00	1.04	95.0	0.99
06/01/2018	CYRULEWSKI, JIM	AM FUNDS BOND FUND OF AMERICA C	0.00	1.07	0.00	1.07	95.0	1.02
06/01/2018	CYRULEWSKI, JIM	AM FUNDS BOND FUND OF AMERICA F1	0.00	1.96	0.00	1.96	95.0	1.86
06/01/2018	FROMM, MARY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.86	0.00	1.86	95.0	1.77
06/01/2018	FROMM, MARY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.77	0.00	1.77	95.0	1.68
06/01/2018	LUMETTA, PAUL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	3.08	0.00	3.08	95.0	2.93
06/01/2018	VARTABEDIAN, LISA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	5.71	0.00	5.71	95.0	5.42
06/01/2018	VARTABEDIAN, LISA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	10.00	0.00	10.00	95.0	9.50
06/01/2018	BARNETT, RICHARD	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.08	0.00	0.08	95.0	0.08
06/01/2018	LUMETTA, PAUL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.43	0.00	0.43	95.0	0.41
06/01/2018	FROMM, MARY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.90	0.00	0.90	95.0	0.86
06/01/2018	VARTABEDIAN, LISA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	77.27	0.00	77.27	95.0	73.41
06/01/2018	VARTABEDIAN, LISA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	91.80	0.00	91.80	95.0	87.21
06/01/2018	FROMM, MARY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.32	0.00	1.32	95.0	1.25
06/01/2018	HOLLAND, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.85	0.00	3.85	95.0	3.66
06/01/2018	BALAEV, ALEXANDER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.86	0.00	0.86	95.0	0.82
06/01/2018	HELD, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.62	0.00	8.62	95.0	8.19
06/01/2018	BUSTER, RICKY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.88	0.00	0.88	95.0	0.84
06/01/2018	FROMM, JULIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.52	0.00	0.52	95.0	0.49
06/01/2018	NICOLOSI, ROSE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.53	0.00	13.53	95.0	12.85
06/01/2018	GRECH, ANDREW	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.45	0.00	14.45	95.0	13.73
06/01/2018	IMUS, ARLEEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.03	0.00	3.03	95.0	2.88
06/01/2018	GREGORY, CAROL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.81	0.00	5.81	95.0	5.52
06/01/2018	MUSAL, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.80	0.00	2.80	95.0	2.66
06/01/2018	BABIN, WILLIAM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.40	0.00	5.40	95.0	5.13

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	TREINEN, MATT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.89	0.00	1.89	95.0	1.80
06/01/2018	VELAYUDHAN, NALINI	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.46	0.00	1.46	95.0	1.39
06/01/2018	CHALKEY, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.32	0.00	8.32	95.0	7.90
06/01/2018	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.79	0.00	0.79	95.0	0.75
06/01/2018	MARTIN, ELENA MARIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.48	0.00	0.48	95.0	0.46
06/01/2018	MARTIN, ANDREW	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.48	0.00	0.48	95.0	0.46
06/01/2018	MARTIN, ISABELLA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.48	0.00	0.48	95.0	0.46
06/01/2018	MEDAUGH, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.48	0.00	0.48	95.0	0.46
06/01/2018	MEDAUGH, MATTHEW	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.48	0.00	0.48	95.0	0.46
06/01/2018	STEBBINS, JANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.89	0.00	2.89	95.0	2.75
06/01/2018	KRAUSE, LAURA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	24.72	0.00	24.72	95.0	23.48
06/01/2018	KRAUSE, LAURA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.28	0.00	0.28	95.0	0.27
06/01/2018	SCHECK, VICTORIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	16.62	0.00	16.62	95.0	15.79
06/01/2018	KEBA, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.51	0.00	6.51	95.0	6.18
06/01/2018	WEST TRUST, NORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.65	0.00	4.65	95.0	4.42
06/01/2018	WHITEFOOT, BEVERLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	21.09	0.00	21.09	95.0	20.04
06/01/2018	KNIGHT, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	0.00	38.92	0.00	38.92	95.0	36.97
06/01/2018	JOHNSON, RODNEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.43	0.00	2.43	95.0	2.31
06/01/2018	JOHNSON, RODNEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.43	0.00	2.43	95.0	2.31
06/01/2018	MERREN, DANELL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	40.86	0.00	40.86	95.0	38.82
06/01/2018	ROGERS, DONNA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	40.71	0.00	40.71	95.0	38.67
06/01/2018	ADAMS, JACKSON	AM FUNDS CAPITAL WORLD BOND 529A	0.00	0.22	0.00	0.22	95.0	0.21
06/01/2018	BLANKENSHIP, JANET	AM FUNDS CAPITAL WORLD BOND C	0.00	13.93	0.00	13.93	95.0	13.23
06/01/2018	KEELER, DAVID	AM FUNDS CAPITAL WORLD BOND C	0.00	87.79	0.00	87.79	95.0	83.40
06/01/2018	HIGGINS, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	14.27	0.00	14.27	95.0	13.56
06/01/2018	STOLL, BENJAMIN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	5.65	0.00	5.65	95.0	5.37
06/01/2018	SCHLEICH, SIERRA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.03	0.00	0.03	95.0	0.03
06/01/2018	LUMETTA, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.90	0.00	3.90	95.0	3.71
06/01/2018	SILVERSTEIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	12.19	0.00	12.19	95.0	11.58
06/01/2018	SIMMONDS, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.78	0.00	1.78	95.0	1.69
06/01/2018	BOWEN, ED	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	5.91	0.00	5.91	95.0	5.61
06/01/2018	SAWYER, ALONZO	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.87	0.00	0.87	95.0	0.83
06/01/2018	HIGGINS, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	9.85	0.00	9.85	95.0	9.36

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	NICOLOSI, ROSE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	23.52	0.00	23.52	95.0	22.34
06/01/2018	NICOLOSI, ROSE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	23.17	0.00	23.17	95.0	22.01
06/01/2018	VAN DAELE, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	6.49	0.00	6.49	95.0	6.17
06/01/2018	ALEX, ADELINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	10.55	0.00	10.55	95.0	10.02
06/01/2018	SAWYER, ALONZO	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.87	0.00	0.87	95.0	0.83
06/01/2018	SAWYER, ALONZO	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.87	0.00	0.87	95.0	0.83
06/01/2018	NICOLOSI, ROSE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	23.17	0.00	23.17	95.0	22.01
06/01/2018	ADAMS, JACKSON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.49	0.00	2.49	95.0	2.37
06/01/2018	GREENE, LUCIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	65.23	0.00	65.23	95.0	61.97
06/01/2018	ODELL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	28.22	0.00	28.22	95.0	26.81
06/01/2018	SWEENEY, EILEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	204.81	0.00	204.81	95.0	194.57
06/01/2018	SWEENEY, EILEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	24.94	0.00	24.94	95.0	23.69
06/01/2018	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	35.60	0.00	35.60	95.0	33.82
06/01/2018	GREENE, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.45	0.00	14.45	95.0	13.73
06/01/2018	GREENE, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	114.99	0.00	114.99	95.0	109.24
06/01/2018	ALVEY, SHERRIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.77	0.00	1.77	95.0	1.68
06/01/2018	MILLER, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.49	0.00	0.49	95.0	0.47
06/01/2018	WALKER TRUST, SUE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.06	0.00	5.06	95.0	4.81
06/01/2018	VARTABEDIAN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	36.73	0.00	36.73	95.0	34.89
06/01/2018	ZOGRAFOS TRUST, REGINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	29.87	0.00	29.87	95.0	28.38
06/01/2018	SCHRITZ, BRYAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	39.34	0.00	39.34	95.0	37.37
06/01/2018	BYERS, HERBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	17.36	0.00	17.36	95.0	16.49
06/01/2018	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.31	0.00	15.31	95.0	14.54
06/01/2018	SCHLEICH, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	17.89	0.00	17.89	95.0	17.00
06/01/2018	GORSEK, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.78	0.00	10.78	95.0	10.24
06/01/2018	MERKLE, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.80	0.00	14.80	95.0	14.06
06/01/2018	CHARLES, JOEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.69	0.00	1.69	95.0	1.61
06/01/2018	FOX UBER, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	76.80	0.00	76.80	95.0	72.96
06/01/2018	BYERS, HERBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.26	0.00	11.26	95.0	10.70
06/01/2018	GRECH, ANDREW	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.53	0.00	16.53	95.0	15.70
06/01/2018	IMUS, ARLEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	88.77	0.00	88.77	95.0	84.33
06/01/2018	JONES, MEREK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.72	0.00	1.72	95.0	1.63
06/01/2018	MANSOUR, EVA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.17	0.00	15.17	95.0	14.41

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	NANAS, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.33	0.00	2.33	95.0	2.21
06/01/2018	STILLWELL, LYNNE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.75	0.00	0.75	95.0	0.71
06/01/2018	ZOGRAFOS, REGINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.76	0.00	4.76	95.0	4.52
06/01/2018	DELISLE, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.78	0.00	0.78	95.0	0.74
06/01/2018	WALKER TRUST, SUE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.52	0.00	4.52	95.0	4.29
06/01/2018	WALKER TRUST, SUE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.52	0.00	4.52	95.0	4.29
06/01/2018	WALKER TRUST, SUE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.52	0.00	4.52	95.0	4.29
06/01/2018	BOZA, VICTOR	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.50	0.00	1.50	95.0	1.43
06/01/2018	DILL, JAKOB	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.20	0.00	0.20	95.0	0.19
06/01/2018	PARDEE, CRAIG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	24.66	0.00	24.66	95.0	23.43
06/01/2018	SIMMONDS, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.71	0.00	2.71	95.0	2.57
06/01/2018	SIMMONDS, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.07	0.00	3.07	95.0	2.92
06/01/2018	STILLWELL, ERIK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.58	0.00	1.58	95.0	1.50
06/01/2018	TSANGANOS, OURANIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.37	0.00	7.37	95.0	7.00
06/01/2018	TSANGANOS, NICHOLAOS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.07	0.00	9.07	95.0	8.62
06/01/2018	TSANGANOS, CHRISTOPHER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.03	0.00	6.03	95.0	5.73
06/01/2018	GAULKE, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.99	0.00	0.99	95.0	0.94
06/01/2018	KARGULA, CURT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.72	0.00	3.72	95.0	3.53
06/01/2018	GALL, REBECCA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.36	0.00	4.36	95.0	4.14
06/01/2018	DEFRANCESCO, STEVEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.07	0.00	1.07	95.0	1.02
06/01/2018	BLAYLOCK, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.31	0.00	0.31	95.0	0.29
06/01/2018	BUTTY, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	25.05	0.00	25.05	95.0	23.80
06/01/2018	TSANGANOS, OURANIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.53	0.00	4.53	95.0	4.30
06/01/2018	TSANGANOS, NICHOLAOS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.53	0.00	4.53	95.0	4.30
06/01/2018	GREGORY, CAROL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.78	0.00	6.78	95.0	6.44
06/01/2018	TSANGANOS, LABRENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.71	0.00	12.71	95.0	12.07
06/01/2018	TSANGANOS, LABRENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.86	0.00	7.86	95.0	7.47
06/01/2018	CHASSE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.04	0.00	6.04	95.0	5.74
06/01/2018	BROWNING, RYAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.26	0.00	0.26	95.0	0.25
06/01/2018	MUSAL, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.26	0.00	3.26	95.0	3.10
06/01/2018	BABIN, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.06	0.00	7.06	95.0	6.71
06/01/2018	CARSON, JESSICA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.27	0.00	0.27	95.0	0.26
06/01/2018	TSOUKALAS, IOANNIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.53	0.00	0.53	95.0	0.50

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	HIGEL, STEVEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.17	0.00	0.17	95.0	0.16
06/01/2018	SHEPHERD, JOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.02	0.00	0.02	95.0	0.02
06/01/2018	GIBSON, KATHLEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.85	0.00	1.85	95.0	1.76
06/01/2018	CARSON, JESSICA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.00	0.00	1.00	95.0	0.95
06/01/2018	BENDER, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.41	0.00	0.41	95.0	0.39
06/01/2018	HABERMAN, IAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.24	0.00	14.24	95.0	13.53
06/01/2018	PER, ELIZABETH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.13	0.00	0.13	95.0	0.12
06/01/2018	OMELIANOFF, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.74	0.00	3.74	95.0	3.55
06/01/2018	JORDAN, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.87	0.00	11.87	95.0	11.28
06/01/2018	NAGEOTTE, NIKOLAUS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.40	0.00	11.40	95.0	10.83
06/01/2018	MCKEE, DUANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.06	0.00	8.06	95.0	7.66
06/01/2018	TSANGANOS, LABRENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.10	0.00	14.10	95.0	13.40
06/01/2018	TSANGANOS, LEONIDAS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.90	0.00	7.90	95.0	7.51
06/01/2018	SLAYBAUGH, YVONNE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	23.92	0.00	23.92	95.0	22.72
06/01/2018	THOMPSON, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	27.77	0.00	27.77	95.0	26.38
06/01/2018	RILEY, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.44	0.00	3.44	95.0	3.27
06/01/2018	DELISLE/AUTH PER, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.10	0.00	1.10	95.0	1.05
06/01/2018	SCHRITZ, AMANDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.48	0.00	11.48	95.0	10.91
06/01/2018	SCHRITZ, BRYAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.48	0.00	11.48	95.0	10.91
06/01/2018	SIEDLAK, TERRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.77	0.00	12.77	95.0	12.13
06/01/2018	SIEDLAK, LESLIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.27	0.00	1.27	95.0	1.21
06/01/2018	KRAUSE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.58	0.00	8.58	95.0	8.15
06/01/2018	KRAUSE, LAURA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	30.11	0.00	30.11	95.0	28.60
06/01/2018	SCHUBA, LAWRENCE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.50	0.00	0.50	95.0	0.48
06/01/2018	KRAUSE, LAURA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.35	0.00	0.35	95.0	0.33
06/01/2018	HAWK, MARYBELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	36.18	0.00	36.18	95.0	34.37
06/01/2018	WARNER, KRISTA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.19	0.00	0.19	95.0	0.18
06/01/2018	KRAUSE, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	158.54	0.00	158.54	95.0	150.61
06/01/2018	LEDFORD, JAMIE	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	43.43	0.00	43.43	95.0	41.26
06/01/2018	WATKINS, MARK	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	83.60	0.00	83.60	95.0	79.42
06/01/2018	CYRULEWSKI, JIM	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.45	0.00	1.45	95.0	1.38
06/01/2018	BLANKENSHIP, JANET	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	35.19	0.00	35.19	95.0	33.43
06/01/2018	KEELER, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	222.72	0.00	222.72	95.0	211.58

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	KOSANKE, MARK	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	46.29	0.00	46.29	95.0	43.98
06/01/2018	MCKEE, DUANE	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.20	0.00	4.20	95.0	3.99
06/01/2018	VINING, KRISTOPHER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.20	0.00	1.20	95.0	1.14
06/01/2018	LEDFORD, JAMIE	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.39	0.00	0.39	95.0	0.37
06/01/2018	WATKINS, MARK	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.52	0.00	0.52	95.0	0.49
06/01/2018	CYRULEWSKI, JIM	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.65	0.00	2.65	95.0	2.52
06/01/2018	MICHON, ERIK	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	1.62	0.00	1.62	95.0	1.54
06/01/2018	SIMMONDS, JOSHUA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	1.51	0.00	1.51	95.0	1.43
06/01/2018	LUMETTA, PAUL	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.55	0.00	0.55	95.0	0.52
06/01/2018	RICHARDS, COLE	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.59	0.00	0.59	95.0	0.56
06/01/2018	VAN DAELE, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	5.96	0.00	5.96	95.0	5.66
06/01/2018	HOFFMAN, BARBARA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	15.43	0.00	15.43	95.0	14.66
06/01/2018	GINGELL, PAUL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.12	0.00	3.12	95.0	2.96
06/01/2018	MARGERUM, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.96	0.00	0.96	95.0	0.91
06/01/2018	ALVEY, SHERRIE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.62	0.00	0.62	95.0	0.59
06/01/2018	WALKER TRUST, SUE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.91	0.00	3.91	95.0	3.71
06/01/2018	PARDEE, LORRAINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.69	0.00	1.69	95.0	1.61
06/01/2018	WASHINGTON, ANGELA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.17	0.00	0.17	95.0	0.16
06/01/2018	TAYLOR, GORDON	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.89	0.00	0.89	95.0	0.85
06/01/2018	MACKENZIE-TAYLOR, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.89	0.00	0.89	95.0	0.85
06/01/2018	MACKENZIE-TAYLOR, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.91	0.00	1.91	95.0	1.81
06/01/2018	TAYLOR, ALLAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.91	0.00	1.91	95.0	1.81
06/01/2018	JONES, GERALD	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.31	0.00	1.31	95.0	1.24
06/01/2018	ALVEY, CODY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.66	0.00	5.66	95.0	5.38
06/01/2018	ALVEY, BRETT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.29	0.00	8.29	95.0	7.88
06/01/2018	KENDZIERSKI, JENNIE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.32	0.00	1.32	95.0	1.25
06/01/2018	GRECH, ANDREW	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.54	0.00	14.54	95.0	13.81
06/01/2018	NANAS, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.01	0.00	1.01	95.0	0.96
06/01/2018	STILLWELL, LYNNE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.89	0.00	0.89	95.0	0.85
06/01/2018	DELISLE, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.71	0.00	0.71	95.0	0.67
06/01/2018	SIMMONDS, JOSHUA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.35	0.00	2.35	95.0	2.23
06/01/2018	SIMMONDS, ASHLEIGH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.16	0.00	2.16	95.0	2.05
06/01/2018	SIMMONDS, JOSHUA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.70	0.00	2.70	95.0	2.57

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	STILLWELL, ERIK	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.41	0.00	0.41	95.0	0.39
06/01/2018	TSANGANOS, OURANIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.83	0.00	4.83	95.0	4.59
06/01/2018	TSANGANOS, NICHOLAOS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.36	0.00	6.36	95.0	6.04
06/01/2018	TSANGANOS, CHRISTOPHER	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.49	0.00	5.49	95.0	5.22
06/01/2018	KARGULA, CURT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.18	0.00	2.18	95.0	2.07
06/01/2018	BLAYLOCK, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.27	0.00	0.27	95.0	0.26
06/01/2018	BUTTY, NANCY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	19.31	0.00	19.31	95.0	18.34
06/01/2018	TSANGANOS, OURANIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.98	0.00	3.98	95.0	3.78
06/01/2018	TSANGANOS, NICHOLAOS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.98	0.00	3.98	95.0	3.78
06/01/2018	GINGELL, JANET	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	77.97	0.00	77.97	95.0	74.07
06/01/2018	TSANGANOS, LABRENE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	11.20	0.00	11.20	95.0	10.64
06/01/2018	TSANGANOS, LABRENE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.04	0.00	7.04	95.0	6.69
06/01/2018	CARSON, JESSICA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.22	0.00	0.22	95.0	0.21
06/01/2018	TSOUKALAS, IOANNIS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.46	0.00	0.46	95.0	0.44
06/01/2018	CARSON, JESSICA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.65	0.00	1.65	95.0	1.57
06/01/2018	BENDER, SHARON	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.25	0.00	0.25	95.0	0.24
06/01/2018	PER, ELIZABETH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.11	0.00	0.11	95.0	0.10
06/01/2018	NATHAN, SANDRA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.13	0.00	1.13	95.0	1.07
06/01/2018	DILL, MADELINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.25	0.00	0.25	95.0	0.24
06/01/2018	TSANGANOS, LABRENE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	19.67	0.00	19.67	95.0	18.69
06/01/2018	TSANGANOS, LEONIDAS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	22.48	0.00	22.48	95.0	21.36
06/01/2018	RILEY, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.66	0.00	1.66	95.0	1.58
06/01/2018	DELISLE/AUTH PER, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.03	0.00	1.03	95.0	0.98
06/01/2018	KRAUSE, LAURA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	25.48	0.00	25.48	95.0	24.21
06/01/2018	KRAUSE, LAURA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.32	0.00	0.32	95.0	0.30
06/01/2018	HINDS, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.92	0.00	3.92	95.0	3.72
06/01/2018	BOWEN, ED	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	1.21	0.00	1.21	95.0	1.15
06/01/2018	HIGGINS, JAMES	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	12.02	0.00	12.02	95.0	11.42
06/01/2018	ADAMS, JACKSON	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	1.76	0.00	1.76	95.0	1.67
06/01/2018	HOLT, DEBBIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	72.48	0.00	72.48	95.0	68.86
06/01/2018	MAKI-DRAGER, CHRISTINE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.56	0.00	1.56	95.0	1.48
06/01/2018	MIELKE, DAVID	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	54.18	0.00	54.18	95.0	51.47
06/01/2018	HAMER, ANN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.28	0.00	1.28	95.0	1.22

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	BURGESS, WILLIAM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.57	0.00	3.57	95.0	3.39
06/01/2018	ZECH, DONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	14.39	0.00	14.39	95.0	13.67
06/01/2018	JONES, GERALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.03	0.00	2.03	95.0	1.93
06/01/2018	SIMON, JUDITH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.59	0.00	0.59	95.0	0.56
06/01/2018	SCHRITZ, BRYAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	21.19	0.00	21.19	95.0	20.13
06/01/2018	TSANGANOS, OURANIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.00	0.00	9.00	95.0	8.55
06/01/2018	TSANGANOS, NICHOLAOS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	11.04	0.00	11.04	95.0	10.49
06/01/2018	TSANGANOS, CHRISTOPHER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.36	0.00	3.36	95.0	3.19
06/01/2018	KARGULA, CURT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.01	0.00	3.01	95.0	2.86
06/01/2018	TSANGANOS, OURANIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.21	0.00	5.21	95.0	4.95
06/01/2018	TSANGANOS, NICHOLAOS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.21	0.00	5.21	95.0	4.95
06/01/2018	GREGORY, CAROL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.37	0.00	8.37	95.0	7.95
06/01/2018	TSANGANOS, LABRENE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	14.71	0.00	14.71	95.0	13.97
06/01/2018	TSANGANOS, LABRENE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.50	0.00	9.50	95.0	9.03
06/01/2018	TSOUKALAS, IOANNIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.68	0.00	0.68	95.0	0.65
06/01/2018	TSANGANOS, LABRENE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	27.44	0.00	27.44	95.0	26.07
06/01/2018	TSANGANOS, LEONIDAS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	30.12	0.00	30.12	95.0	28.61
06/01/2018	KOSANKE, MARK	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	232.61	0.00	232.61	95.0	220.98
06/01/2018	WHITEFOOT, BEVERLY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	25.41	0.00	25.41	95.0	24.14
06/01/2018	VINING, KRISTOPHER	AM FUNDS FUNDAMENTAL INVESTORS-F1	0.00	2.57	0.00	2.57	95.0	2.44
06/01/2018	WALKER, SUE	AM FUNDS GLOBAL BALANCED A	0.00	13.21	0.00	13.21	95.0	12.55
06/01/2018	KACZOR, CHRISTOPHER	AM FUNDS GLOBAL BALANCED A	0.00	1.20	0.00	1.20	95.0	1.14
06/01/2018	KACZOR, LISA	AM FUNDS GLOBAL BALANCED A	0.00	10.33	0.00	10.33	95.0	9.81
06/01/2018	KACZOR, CHRISTOPHER	AM FUNDS GLOBAL BALANCED A	0.00	1.34	0.00	1.34	95.0	1.27
06/01/2018	KELLY, SCOTT	AM FUNDS GLOBAL BALANCED A	0.00	1.81	0.00	1.81	95.0	1.72
06/01/2018	LUMETTA, PAUL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.86	0.00	0.86	95.0	0.82
06/01/2018	WILSON, KAYLEE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.55	0.00	2.55	95.0	2.42
06/01/2018	WILSON, KAYLEE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.61	0.00	2.61	95.0	2.48
06/01/2018	KAPFHAMER, SCOTT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	10.76	0.00	10.76	95.0	10.22
06/01/2018	KAPFHAMER, SCOTT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	6.76	0.00	6.76	95.0	6.42
06/01/2018	LUMETTA, PAUL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.75	0.00	4.75	95.0	4.51
06/01/2018	BARNETT, RICHARD	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.15	0.00	0.15	95.0	0.14
06/01/2018	BARNETT, RICHARD	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.35	0.00	0.35	95.0	0.33

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	BARNETT, RICHARD	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.04	0.00	1.04	95.0	0.99
06/01/2018	BOWEN, ED	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	9.77	0.00	9.77	95.0	9.28
06/01/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	9.67	0.00	9.67	95.0	9.19
06/01/2018	HIGGINS, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	12.80	0.00	12.80	95.0	12.16
06/01/2018	RICHARDS, COLE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.67	0.00	0.67	95.0	0.64
06/01/2018	KOUZA, SOPHIA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	6.56	0.00	6.56	95.0	6.23
06/01/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	5.24	0.00	5.24	95.0	4.98
06/01/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.16	0.00	8.16	95.0	7.75
06/01/2018	FOLLETT, JACOB	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.38	0.00	1.38	95.0	1.31
06/01/2018	FOLLETT, JACOB	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.76	0.00	1.76	95.0	1.67
06/01/2018	ADAMS, JACKSON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.54	0.00	1.54	95.0	1.46
06/01/2018	GREENE, LUCIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	45.32	0.00	45.32	95.0	43.05
06/01/2018	BRINKER/BENE, TIMOTHY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.27	0.00	0.27	95.0	0.26
06/01/2018	MAKI, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.21	0.00	3.21	95.0	3.05
06/01/2018	HOLT, DEBBIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	82.51	0.00	82.51	95.0	78.38
06/01/2018	HOLLAND, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.15	0.00	5.15	95.0	4.89
06/01/2018	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	63.28	0.00	63.28	95.0	60.12
06/01/2018	ALVEY, SHERRIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.01	0.00	2.01	95.0	1.91
06/01/2018	VOGLER, BRIAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.96	0.00	3.96	95.0	3.76
06/01/2018	MAKI-DRAGER, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.74	0.00	3.74	95.0	3.55
06/01/2018	MIELKE, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	41.37	0.00	41.37	95.0	39.30
06/01/2018	PARDEE, LORRAINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.06	0.00	3.06	95.0	2.91
06/01/2018	KOLESNIKOW, AMY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.79	0.00	11.79	95.0	11.20
06/01/2018	VOGLER, JAKOB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.68	0.00	5.68	95.0	5.40
06/01/2018	VOGLER, JAKOB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.28	0.00	6.28	95.0	5.97
06/01/2018	BRINKER, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.58	0.00	1.58	95.0	1.50
06/01/2018	BRINKER, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.58	0.00	1.58	95.0	1.50
06/01/2018	GILLETTE, BETTYANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.67	0.00	2.67	95.0	2.54
06/01/2018	NICOLOSI, ROSE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.10	0.00	14.10	95.0	13.40
06/01/2018	REDDEN, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	30.89	0.00	30.89	95.0	29.35
06/01/2018	SHIELDS, E PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.04	0.00	6.04	95.0	5.74
06/01/2018	DAUGHERTY, RIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.75	0.00	1.75	95.0	1.66
06/01/2018	GRANE/, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.64	0.00	1.64	95.0	1.56

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	GRANE, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.62	0.00	1.62	95.0	1.54
06/01/2018	VOGLER, GRACE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.07	0.00	9.07	95.0	8.62
06/01/2018	LAQUE, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.96	0.00	3.96	95.0	3.76
06/01/2018	MERKLE, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.70	0.00	1.70	95.0	1.62
06/01/2018	MERKLE, JACK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.70	0.00	1.70	95.0	1.62
06/01/2018	SHALDA, ROSE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	33.92	0.00	33.92	95.0	32.22
06/01/2018	MILLER-TAMM/AUTH PER, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.95	0.00	7.95	95.0	7.55
06/01/2018	PARTNERSHIP, CARLSON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.01	0.00	7.01	95.0	6.66
06/01/2018	GIVENS, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	130.74	0.00	130.74	95.0	124.20
06/01/2018	WALKER TRUST, SUE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.16	0.00	3.16	95.0	3.00
06/01/2018	VANDERMEER, BRYON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	44.39	0.00	44.39	95.0	42.17
06/01/2018	SIMON, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.25	0.00	0.25	95.0	0.24
06/01/2018	VOGLER, ALEX	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.67	0.00	8.67	95.0	8.24
06/01/2018	VOGLER, ALEX	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.06	0.00	3.06	95.0	2.91
06/01/2018	NIKOLLAU, RIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	21.75	0.00	21.75	95.0	20.66
06/01/2018	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	0.00	38.13	0.00	38.13	95.0	36.22
06/01/2018	BAILEY, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.39	0.00	4.39	95.0	4.17
06/01/2018	SCHRITZ, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	76.39	0.00	76.39	95.0	72.57
06/01/2018	VOGLER, BREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.08	0.00	12.08	95.0	11.48
06/01/2018	VOGLER, KYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.97	0.00	9.97	95.0	9.47
06/01/2018	STRAYVE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.23	0.00	0.23	95.0	0.22
06/01/2018	RIETH, HOLLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.82	0.00	1.82	95.0	1.73
06/01/2018	STARKEY, RENEE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.94	0.00	3.94	95.0	3.74
06/01/2018	SCHLEICH, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	50.25	0.00	50.25	95.0	47.74
06/01/2018	ALVEY, BRETT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.96	0.00	2.96	95.0	2.81
06/01/2018	GEE, JACKSON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.94	0.00	0.94	95.0	0.89
06/01/2018	GEE, LOGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.02	0.00	1.02	95.0	0.97
06/01/2018	VOGLER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.90	0.00	7.90	95.0	7.51
06/01/2018	VOGLER, ANNA RENEE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.90	0.00	7.90	95.0	7.51
06/01/2018	BOZA, VICTOR	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.59	0.00	1.59	95.0	1.51
06/01/2018	PARDEE, CRAIG	AM FUNDS GROWTH FUND OF AMERICA A	0.00	33.03	0.00	33.03	95.0	31.38
06/01/2018	TSANGANOS, OURANIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.30	0.00	7.30	95.0	6.94
06/01/2018	TSANGANOS, NICHOLAOS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.44	0.00	9.44	95.0	8.97

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
06/01/2018	TSANGANOS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.91	0.00	7.91	95.0	7.51
06/01/2018	GAULKE, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.07	0.00	1.07	95.0	1.02
06/01/2018	RACHEL, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.33	0.00	0.33	95.0	0.31
06/01/2018	TSANGANOS, OURANIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.04	0.00	6.04	95.0	5.74
06/01/2018	TSANGANOS, NICHOLAOS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.04	0.00	6.04	95.0	5.74
06/01/2018	TSANGANOS, LABRENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	17.01	0.00	17.01	95.0	16.16
06/01/2018	TSANGANOS, LABRENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.10	0.00	10.10	95.0	9.60
06/01/2018	BROWNING, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.21	0.00	0.21	95.0	0.20
06/01/2018	MUSAL, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.08	0.00	5.08	95.0	4.83
06/01/2018	BABIN, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.56	0.00	9.56	95.0	9.08
06/01/2018	TSOUKALAS, IOANNIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.55	0.00	0.55	95.0	0.52
06/01/2018	BENNETT, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.12	0.00	1.12	95.0	1.06
06/01/2018	CARSON, JESSICA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.96	0.00	0.96	95.0	0.91
06/01/2018	MARBLEY, SHEILA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.21	0.00	0.21	95.0	0.20
06/01/2018	NATHAN, SANDRA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.61	0.00	1.61	95.0	1.53
06/01/2018	TSANGANOS, LABRENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	18.90	0.00	18.90	95.0	17.96
06/01/2018	TSANGANOS, LEONIDAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.17	0.00	10.17	95.0	9.66
06/01/2018	KRAUSE, LAURA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	41.07	0.00	41.07	95.0	39.02
06/01/2018	KRAUSE, LAURA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.37	0.00	0.37	95.0	0.35
06/01/2018	ROTH, DANNY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	19.49	0.00	19.49	95.0	18.52
06/01/2018	SCHMITT, KEITH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.54	0.00	10.54	95.0	10.01
06/01/2018	SCHECK, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	21.68	0.00	21.68	95.0	20.60
06/01/2018	SCHNEIDER, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	37.36	0.00	37.36	95.0	35.49
06/01/2018	SHAW, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.40	0.00	7.40	95.0	7.03
06/01/2018	TROGAN/BENE, CHRISTINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	81.96	0.00	81.96	95.0	77.86
06/01/2018	BRINKER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.55	0.00	1.55	95.0	1.47
06/01/2018	TROGAN, CHRISTINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.51	0.00	6.51	95.0	6.18
06/01/2018	PITRAGO, ALEX	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.94	0.00	8.94	95.0	8.49
06/01/2018	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	49.28	0.00	49.28	95.0	46.82
06/01/2018	PRICOPIO, MARIO	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.15	0.00	14.15	95.0	13.44
06/01/2018	PETERSON, ALEXA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.31	0.00	1.31	95.0	1.24
06/01/2018	PETERSON, TREY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.31	0.00	1.31	95.0	1.24
06/01/2018	CYRULEWSKI, JIM	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.99	0.00	1.99	95.0	1.89

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	BLANKENSHIP, JANET	AM FUNDS GROWTH FUND OF AMERICA C	0.00	34.11	0.00	34.11	95.0	32.40
06/01/2018	KEELER, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	218.49	0.00	218.49	95.0	207.57
06/01/2018	KOSANKE, MARK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	63.31	0.00	63.31	95.0	60.14
06/01/2018	KOSANKE, MARK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	278.27	0.00	278.27	95.0	264.36
06/01/2018	WHITEFOOT, BEVERLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	20.16	0.00	20.16	95.0	19.15
06/01/2018	WAGNER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.60	0.00	7.60	95.0	7.22
06/01/2018	WOJDYNSKI, ROSE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	25.11	0.00	25.11	95.0	23.85
06/01/2018	MCKENZIE, DONNA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	98.62	0.00	98.62	95.0	93.69
06/01/2018	MCKENZIE, ROBERT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	80.61	0.00	80.61	95.0	76.58
06/01/2018	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.11	0.00	13.11	95.0	12.45
06/01/2018	ROMESBURG, AMBER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	0.86	0.00	0.86	95.0	0.82
06/01/2018	VINING, KRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.34	0.00	2.34	95.0	2.22
06/01/2018	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.48	0.00	6.48	95.0	6.16
06/01/2018	PRICOPIO, MARIO	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.43	0.00	0.43	95.0	0.41
06/01/2018	CYRULEWSKI, JIM	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.62	0.00	3.62	95.0	3.44
06/01/2018	BOWEN, ED	AM FUNDS HIGH INCOME TRUST 529A	0.00	0.28	0.00	0.28	95.0	0.27
06/01/2018	MARCOLA, ETHAN	AM FUNDS ICA 529A	0.00	1.05	0.00	1.05	95.0	1.00
06/01/2018	BOWEN, ED	AM FUNDS ICA 529A	0.00	0.71	0.00	0.71	95.0	0.67
06/01/2018	MIHELICH, DARLENE	AM FUNDS ICA 529A	0.00	5.71	0.00	5.71	95.0	5.42
06/01/2018	NICOLOSI, ROSE	AM FUNDS ICA 529A	0.00	26.67	0.00	26.67	95.0	25.34
06/01/2018	NICOLOSI, ROSE	AM FUNDS ICA 529A	0.00	26.55	0.00	26.55	95.0	25.22
06/01/2018	NICOLOSI, ROSE	AM FUNDS ICA 529A	0.00	26.53	0.00	26.53	95.0	25.20
06/01/2018	MCVAY, JOHN	AM FUNDS ICA A	0.00	16.22	0.00	16.22	95.0	15.41
06/01/2018	BREIDENSTEIN, CHARLES	AM FUNDS ICA A	0.00	37.87	0.00	37.87	95.0	35.98
06/01/2018	MARQUARDSEN, HOLGER	AM FUNDS ICA A	0.00	75.74	0.00	75.74	95.0	71.95
06/01/2018	MARQUARDSEN, ANNA	AM FUNDS ICA A	0.00	56.00	0.00	56.00	95.0	53.20
06/01/2018	SEMENIK, EMILY	AM FUNDS ICA A	0.00	197.16	0.00	197.16	95.0	187.30
06/01/2018	BODNAR, NANCY	AM FUNDS ICA A	0.00	13.31	0.00	13.31	95.0	12.64
06/01/2018	DROGELL, DAVID	AM FUNDS ICA A	0.00	16.79	0.00	16.79	95.0	15.95
06/01/2018	BORKHOLDER, STEVEN	AM FUNDS ICA A	0.00	16.25	0.00	16.25	95.0	15.44
06/01/2018	MORELLI, MARY	AM FUNDS ICA A	0.00	68.85	0.00	68.85	95.0	65.41
06/01/2018	HOFFMAN, BARBARA	AM FUNDS ICA A	0.00	47.77	0.00	47.77	95.0	45.38
06/01/2018	YOUNG, SUSAN	AM FUNDS ICA A	0.00	0.66	0.00	0.66	95.0	0.63

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	SPEICHER, JANET	AM FUNDS ICA A	0.00	12.83	0.00	12.83	95.0	12.19
06/01/2018	MILLER, JANE	AM FUNDS ICA A	0.00	0.63	0.00	0.63	95.0	0.60
06/01/2018	JENERETTE, ANGULNETTE	AM FUNDS ICA A	0.00	1.65	0.00	1.65	95.0	1.57
06/01/2018	CLOTHIER /SAR-SEP, JAMES	AM FUNDS ICA A	0.00	0.31	0.00	0.31	95.0	0.29
06/01/2018	BAKEWELL, RALPH	AM FUNDS ICA A	0.00	68.95	0.00	68.95	95.0	65.50
06/01/2018	HOLLAND, JENNIFER	AM FUNDS ICA A	0.00	2.59	0.00	2.59	95.0	2.46
06/01/2018	HIMELHOCH, SETH	AM FUNDS ICA A	0.00	1.49	0.00	1.49	95.0	1.42
06/01/2018	GRENADIER, KAREN	AM FUNDS ICA A	0.00	33.56	0.00	33.56	95.0	31.88
06/01/2018	REETZ, TIMOTHY	AM FUNDS ICA A	0.00	0.52	0.00	0.52	95.0	0.49
06/01/2018	GINGELL, PAUL	AM FUNDS ICA A	0.00	49.13	0.00	49.13	95.0	46.67
06/01/2018	CAVANAUGH, CAROL	AM FUNDS ICA A	0.00	3.99	0.00	3.99	95.0	3.79
06/01/2018	MIHELICH, JOHN	AM FUNDS ICA A	0.00	15.97	0.00	15.97	95.0	15.17
06/01/2018	NOONAN, PATRICIA	AM FUNDS ICA A	0.00	4.20	0.00	4.20	95.0	3.99
06/01/2018	FINK, MARGARET	AM FUNDS ICA A	0.00	120.33	0.00	120.33	95.0	114.31
06/01/2018	RAMOS/DEC'D, RENEE	AM FUNDS ICA A	0.00	4.09	0.00	4.09	95.0	3.89
06/01/2018	SIEDLAK, PATRICIA	AM FUNDS ICA A	0.00	15.56	0.00	15.56	95.0	14.78
06/01/2018	KRAUSE, MICHAEL	AM FUNDS ICA A	0.00	11.92	0.00	11.92	95.0	11.32
06/01/2018	KRAUSE, MICHAEL	AM FUNDS ICA A	0.00	6.37	0.00	6.37	95.0	6.05
06/01/2018	SIEDLAK JT TEN, TERRY	AM FUNDS ICA A	0.00	15.09	0.00	15.09	95.0	14.34
06/01/2018	ALVEY, SHERRIE	AM FUNDS ICA A	0.00	2.30	0.00	2.30	95.0	2.19
06/01/2018	KING, PETER	AM FUNDS ICA A	0.00	5.28	0.00	5.28	95.0	5.02
06/01/2018	KING, CRYSTAL	AM FUNDS ICA A	0.00	5.14	0.00	5.14	95.0	4.88
06/01/2018	GEORGES, ELIZABETH	AM FUNDS ICA A	0.00	23.58	0.00	23.58	95.0	22.40
06/01/2018	PAVLINA, MICHAEL	AM FUNDS ICA A	0.00	0.10	0.00	0.10	95.0	0.10
06/01/2018	ABEL, JEFFREY	AM FUNDS ICA A	0.00	0.18	0.00	0.18	95.0	0.17
06/01/2018	DROGELL, LINDA	AM FUNDS ICA A	0.00	12.99	0.00	12.99	95.0	12.34
06/01/2018	GREENE, DAVID	AM FUNDS ICA A	0.00	24.07	0.00	24.07	95.0	22.87
06/01/2018	PASEK, CHRISTINE	AM FUNDS ICA A	0.00	3.36	0.00	3.36	95.0	3.19
06/01/2018	PASEK, KEVIN	AM FUNDS ICA A	0.00	3.36	0.00	3.36	95.0	3.19
06/01/2018	BACHTELL, JAMES	AM FUNDS ICA A	0.00	28.94	0.00	28.94	95.0	27.49
06/01/2018	MIHELICH, DARLENE	AM FUNDS ICA A	0.00	22.81	0.00	22.81	95.0	21.67
06/01/2018	DZIATCZAK, LISA	AM FUNDS ICA A	0.00	3.00	0.00	3.00	95.0	2.85
06/01/2018	KRAUSE, MICHAEL	AM FUNDS ICA A	0.00	2.40	0.00	2.40	95.0	2.28

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	KOLESNIKOW, AMY	AM FUNDS ICA A	0.00	13.70	0.00	13.70	95.0	13.02
06/01/2018	CAVANAUGH, CAROL	AM FUNDS ICA A	0.00	2.41	0.00	2.41	95.0	2.29
06/01/2018	NIEKEMP, KATHY	AM FUNDS ICA A	0.00	2.36	0.00	2.36	95.0	2.24
06/01/2018	NIEHAUS, MARK	AM FUNDS ICA A	0.00	8.12	0.00	8.12	95.0	7.71
06/01/2018	GERSPACHER, BRIANA	AM FUNDS ICA A	0.00	2.03	0.00	2.03	95.0	1.93
06/01/2018	KNOTT, PAUL	AM FUNDS ICA A	0.00	13.14	0.00	13.14	95.0	12.48
06/01/2018	BALAEV, ALEXANDER	AM FUNDS ICA A	0.00	0.47	0.00	0.47	95.0	0.45
06/01/2018	MARKOVITZ, EDWARD	AM FUNDS ICA A	0.00	0.62	0.00	0.62	95.0	0.59
06/01/2018	SZOCINSKI, CASIMER	AM FUNDS ICA A	0.00	8.11	0.00	8.11	95.0	7.70
06/01/2018	LEWIS, MARK	AM FUNDS ICA A	0.00	3.50	0.00	3.50	95.0	3.33
06/01/2018	LAREAU, ALEXANDER	AM FUNDS ICA A	0.00	0.98	0.00	0.98	95.0	0.93
06/01/2018	JOHNSON, ALBERT	AM FUNDS ICA A	0.00	0.94	0.00	0.94	95.0	0.89
06/01/2018	HAVLIK, BRIAN	AM FUNDS ICA A	0.00	0.06	0.00	0.06	95.0	0.06
06/01/2018	VOLAKAKIS, VEGA	AM FUNDS ICA A	0.00	9.70	0.00	9.70	95.0	9.22
06/01/2018	BAILEY, STEVEN	AM FUNDS ICA A	0.00	3.89	0.00	3.89	95.0	3.70
06/01/2018	CAVANAUGH, COLLIN	AM FUNDS ICA A	0.00	1.87	0.00	1.87	95.0	1.78
06/01/2018	BUTLER, KATHLEEN	AM FUNDS ICA A	0.00	4.45	0.00	4.45	95.0	4.23
06/01/2018	BURTZLAFF, MATTHEW	AM FUNDS ICA A	0.00	83.85	0.00	83.85	95.0	79.66
06/01/2018	MCLAUGHLIN, TRACY	AM FUNDS ICA A	0.00	15.78	0.00	15.78	95.0	14.99
06/01/2018	KRAUSE, EVELYN	AM FUNDS ICA A	0.00	112.46	0.00	112.46	95.0	106.84
06/01/2018	STRAYVE, MICHAEL	AM FUNDS ICA A	0.00	0.39	0.00	0.39	95.0	0.37
06/01/2018	VOLAKAKIS, LOGAN	AM FUNDS ICA A	0.00	8.18	0.00	8.18	95.0	7.77
06/01/2018	SULLIVAN, ZACHARY	AM FUNDS ICA A	0.00	2.47	0.00	2.47	95.0	2.35
06/01/2018	KNOTT, MARY	AM FUNDS ICA A	0.00	0.89	0.00	0.89	95.0	0.85
06/01/2018	GORSEK, JOHN	AM FUNDS ICA A	0.00	10.54	0.00	10.54	95.0	10.01
06/01/2018	IMBRIGOTTA, MICHAEL	AM FUNDS ICA A	0.00	37.09	0.00	37.09	95.0	35.24
06/01/2018	KENDZIERSKI, JENNIE	AM FUNDS ICA A	0.00	1.85	0.00	1.85	95.0	1.76
06/01/2018	MC GEE, MICHAEL	AM FUNDS ICA A	0.00	1.17	0.00	1.17	95.0	1.11
06/01/2018	FULTON, JOHN	AM FUNDS ICA A	0.00	12.27	0.00	12.27	95.0	11.66
06/01/2018	JAMES, RODNEY	AM FUNDS ICA A	0.00	0.04	0.00	0.04	95.0	0.04
06/01/2018	OLSON, ERIC	AM FUNDS ICA A	0.00	4.11	0.00	4.11	95.0	3.90
06/01/2018	NICOLOSI TRUST, ROSE	AM FUNDS ICA A	0.00	302.39	0.00	302.39	95.0	287.27
06/01/2018	MUSAL, NANCY	AM FUNDS ICA A	0.00	3.68	0.00	3.68	95.0	3.50

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	BABIN, WILLIAM	AM FUNDS ICA A	0.00	8.19	0.00	8.19	95.0	7.78
06/01/2018	FINK, MARGARET	AM FUNDS ICA A	0.00	136.62	0.00	136.62	95.0	129.79
06/01/2018	STAMPER, JANET	AM FUNDS ICA A	0.00	82.13	0.00	82.13	95.0	78.02
06/01/2018	CARSON, JESSICA	AM FUNDS ICA A	0.00	0.61	0.00	0.61	95.0	0.58
06/01/2018	RIGGERT, ANDREW	AM FUNDS ICA A	0.00	5.37	0.00	5.37	95.0	5.10
06/01/2018	TSANGANOS, LEONIDAS	AM FUNDS ICA A	0.00	23.01	0.00	23.01	95.0	21.86
06/01/2018	PERSIC, ERNST	AM FUNDS ICA A	0.00	8.71	0.00	8.71	95.0	8.27
06/01/2018	KOSANKE, MARK	AM FUNDS ICA A	0.00	6.82	0.00	6.82	95.0	6.48
06/01/2018	SIEDLAK, LESLIE	AM FUNDS ICA A	0.00	5.11	0.00	5.11	95.0	4.85
06/01/2018	WONCH, ELIZABETH	AM FUNDS ICA A	0.00	2.83	0.00	2.83	95.0	2.69
06/01/2018	LINDEN, MARGARET	AM FUNDS ICA A	0.00	6.08	0.00	6.08	95.0	5.78
06/01/2018	BURTZLAFF, MATTHEW	AM FUNDS ICA A	0.00	6.60	0.00	6.60	95.0	6.27
06/01/2018	KALINOWSKI, BARBARA	AM FUNDS ICA A	0.00	3.31	0.00	3.31	95.0	3.14
06/01/2018	GIVENS, DEBRA	AM FUNDS ICA A	0.00	4.86	0.00	4.86	95.0	4.62
06/01/2018	BAXTER, COLE	AM FUNDS ICA A	0.00	6.96	0.00	6.96	95.0	6.61
06/01/2018	KEBA, STEPHEN	AM FUNDS ICA A	0.00	0.96	0.00	0.96	95.0	0.91
06/01/2018	WAUGH, ERIN	AM FUNDS ICA A	0.00	1.05	0.00	1.05	95.0	1.00
06/01/2018	MACK JR, SAMUEL	AM FUNDS ICA A	0.00	1.67	0.00	1.67	95.0	1.59
06/01/2018	ANDERSON, AMY	AM FUNDS ICA A	0.00	1.36	0.00	1.36	95.0	1.29
06/01/2018	KEBA, STEPHEN	AM FUNDS ICA A	0.00	1.09	0.00	1.09	95.0	1.04
06/01/2018	WEST TRUST, NORA	AM FUNDS ICA A	0.00	5.75	0.00	5.75	95.0	5.46
06/01/2018	KRAUSE, BARBARA	AM FUNDS ICA A	0.00	525.70	0.00	525.70	95.0	499.42
06/01/2018	MI/TOD	AM FUNDS ICA A	0.00	191.33	0.00	191.33	95.0	181.76
06/01/2018	CHERRY, JACOB	AM FUNDS ICA C	0.00	2.24	0.00	2.24	95.0	2.13
06/01/2018	PRICOPIO, VANESSA	AM FUNDS ICA C	0.00	3.39	0.00	3.39	95.0	3.22
06/01/2018	KAWALA, CHRISTINA	AM FUNDS ICA C	0.00	4.06	0.00	4.06	95.0	3.86
06/01/2018	NACHAZEL, MARY	AM FUNDS ICA C	0.00	49.85	0.00	49.85	95.0	47.36
06/01/2018	JORDAN, TRAVIS	AM FUNDS ICA F1	0.00	3.91	0.00	3.91	95.0	3.71
06/01/2018	CHERRY, JACOB	AM FUNDS ICA F1	0.00	0.37	0.00	0.37	95.0	0.35
06/01/2018	LONGACRE, BROOKLYNN	AM FUNDS ICA F1	0.00	0.75	0.00	0.75	95.0	0.71
06/01/2018	YAGIELA, CODY	AM FUNDS ICA F1	0.00	1.62	0.00	1.62	95.0	1.54
06/01/2018	LONGACRE, JAXON	AM FUNDS ICA F1	0.00	1.16	0.00	1.16	95.0	1.10
06/01/2018	YAGIELA, LOGAN	AM FUNDS ICA F1	0.00	1.57	0.00	1.57	95.0	1.49

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	PRICOPIO, VANESSA	AM FUNDS ICA F1	0.00	0.68	0.00	0.68	95.0	0.65
06/01/2018	BODNAR, NANCY	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.45	0.00	6.45	95.0	6.13
06/01/2018	MORELLI, MARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	54.55	0.00	54.55	95.0	51.82
06/01/2018	WELCH, TIMOTHY	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.09	0.00	5.09	95.0	4.84
06/01/2018	ARCE, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	53.12	0.00	53.12	95.0	50.46
06/01/2018	ZOGRAFOS TRUST, REGINA	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.84	0.00	13.84	95.0	13.15
06/01/2018	BALAEV, ALEXANDER	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.91	0.00	0.91	95.0	0.86
06/01/2018	ESSE, DAVID	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.98	0.00	1.98	95.0	1.88
06/01/2018	ESSE, ANGELINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.98	0.00	1.98	95.0	1.88
06/01/2018	ZERVAS, HELEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	19.48	0.00	19.48	95.0	18.51
06/01/2018	NICOLOSI, ROSE	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.40	0.00	7.40	95.0	7.03
06/01/2018	MANKO, MARGARET	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.35	0.00	4.35	95.0	4.13
06/01/2018	MUSAL, NANCY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.24	0.00	3.24	95.0	3.08
06/01/2018	SMITH, MARTHA	AM FUNDS INCOME FUND OF AMERICA A	0.00	72.10	0.00	72.10	95.0	68.50
06/01/2018	OLSON, MELINDA	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.45	0.00	5.45	95.0	5.18
06/01/2018	SCHECK, VICTORIA	AM FUNDS INCOME FUND OF AMERICA A	0.00	18.50	0.00	18.50	95.0	17.58
06/01/2018	KEBA, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.60	0.00	15.60	95.0	14.82
06/01/2018	KOSANKE, MARK	AM FUNDS INCOME FUND OF AMERICA C	0.00	98.25	0.00	98.25	95.0	93.34
06/01/2018	WHITEFOOT, BEVERLY	AM FUNDS INCOME FUND OF AMERICA C	0.00	19.04	0.00	19.04	95.0	18.09
06/01/2018	MAGGARD, LORETTA	AM FUNDS INCOME FUND OF AMERICA C	0.00	79.54	0.00	79.54	95.0	75.56
06/01/2018	NACHAZEL, THOMAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	61.45	0.00	61.45	95.0	58.38
06/01/2018	HAYES, DORIS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	7.56	0.00	7.56	95.0	7.18
06/01/2018	VARTABEDIAN, LISA	AM FUNDS INCOME FUND OF AMERICA-529	0.00	3.12	0.00	3.12	95.0	2.96
06/01/2018	VARTABEDIAN, LISA	AM FUNDS INCOME FUND OF AMERICA-529	0.00	3.40	0.00	3.40	95.0	3.23
06/01/2018	ADAMS, JACKSON	AM FUNDS INCOME FUND OF AMERICA-529	0.00	2.64	0.00	2.64	95.0	2.51
06/01/2018	MATAR, ROBERT	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	3.74	0.00	3.74	95.0	3.55
06/01/2018	DUNN, CATHERINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	16.99	0.00	16.99	95.0	16.14
06/01/2018	MARCOLA, ETHAN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.46	0.00	0.46	95.0	0.44
06/01/2018	HIGGINS, JAMES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	8.21	0.00	8.21	95.0	7.80
06/01/2018	NICKOLOPOULOS, HAILEY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.21	0.00	0.21	95.0	0.20
06/01/2018	SMITH, H DENNIS	AM FUNDS INTL GROWTH AND INCOME A	0.00	2.84	0.00	2.84	95.0	2.70
06/01/2018	RILEY, ROBERT	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.32	0.00	4.32	95.0	4.10
06/01/2018	LIONAS, MARIKA	AM FUNDS INTL GROWTH AND INCOME A	0.00	2.62	0.00	2.62	95.0	2.49

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	GARDNER, THERESA	AM FUNDS MORTGAGE A	0.00	1.35	0.00	1.35	95.0	1.28
06/01/2018	MICHON, ERIK	AM FUNDS NEW ECONOMY 529A	0.00	1.67	0.00	1.67	95.0	1.59
06/01/2018	RICHARDS, COLE	AM FUNDS NEW ECONOMY 529A	0.00	0.74	0.00	0.74	95.0	0.70
06/01/2018	FOLLETT, JACOB	AM FUNDS NEW ECONOMY 529A	0.00	1.48	0.00	1.48	95.0	1.41
06/01/2018	FOLLETT, JACOB	AM FUNDS NEW ECONOMY 529A	0.00	1.82	0.00	1.82	95.0	1.73
06/01/2018	LINDERMAN, JEAN	AM FUNDS NEW ECONOMY A	0.00	2.33	0.00	2.33	95.0	2.21
06/01/2018	HOFFMAN, BARBARA	AM FUNDS NEW ECONOMY A	0.00	38.65	0.00	38.65	95.0	36.72
06/01/2018	ERMAN, NOLAKAY	AM FUNDS NEW ECONOMY A	0.00	57.27	0.00	57.27	95.0	54.41
06/01/2018	PHILLIPS, RANDALL	AM FUNDS NEW ECONOMY A	0.00	16.30	0.00	16.30	95.0	15.49
06/01/2018	SABOOL, NICHOLAS	AM FUNDS NEW ECONOMY A	0.00	13.73	0.00	13.73	95.0	13.04
06/01/2018	MIELKE, DAVID	AM FUNDS NEW ECONOMY A	0.00	45.15	0.00	45.15	95.0	42.89
06/01/2018	SAMMONS, DAVID	AM FUNDS NEW ECONOMY A	0.00	0.20	0.00	0.20	95.0	0.19
06/01/2018	GERSPACHER, BRIANA	AM FUNDS NEW ECONOMY A	0.00	9.72	0.00	9.72	95.0	9.23
06/01/2018	CHAMPION, STEPHANIE	AM FUNDS NEW ECONOMY A	0.00	7.31	0.00	7.31	95.0	6.94
06/01/2018	JONES, GERALD	AM FUNDS NEW ECONOMY A	0.00	1.43	0.00	1.43	95.0	1.36
06/01/2018	SHALDA, ROSE	AM FUNDS NEW ECONOMY A	0.00	17.49	0.00	17.49	95.0	16.62
06/01/2018	KENDZIERSKI, JENNIE	AM FUNDS NEW ECONOMY A	0.00	1.94	0.00	1.94	95.0	1.84
06/01/2018	DELISLE, JAMES	AM FUNDS NEW ECONOMY A	0.00	0.87	0.00	0.87	95.0	0.83
06/01/2018	SIMMONDS, JOSHUA	AM FUNDS NEW ECONOMY A	0.00	3.72	0.00	3.72	95.0	3.53
06/01/2018	NATHAN, SANDRA	AM FUNDS NEW ECONOMY A	0.00	2.10	0.00	2.10	95.0	2.00
06/01/2018	SCHMITT, KEITH	AM FUNDS NEW ECONOMY A	0.00	6.46	0.00	6.46	95.0	6.14
06/01/2018	SCHNEIDER, RYAN	AM FUNDS NEW ECONOMY A	0.00	36.82	0.00	36.82	95.0	34.98
06/01/2018	KEBA, STEPHEN	AM FUNDS NEW ECONOMY A	0.00	8.59	0.00	8.59	95.0	8.16
06/01/2018	PRICOPIO, MARIO	AM FUNDS NEW ECONOMY C	0.00	19.79	0.00	19.79	95.0	18.80
06/01/2018	MERREN, MICHAEL	AM FUNDS NEW ECONOMY C	0.00	44.00	0.00	44.00	95.0	41.80
06/01/2018	PRICOPIO, MARIO	AM FUNDS NEW ECONOMY FUND	0.00	0.57	0.00	0.57	95.0	0.54
06/01/2018	RUF, ANDREW	AM FUNDS NEW PERSPECTIVE 529A	0.00	5.86	0.00	5.86	95.0	5.57
06/01/2018	BARNETT, RICHARD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.11	0.00	0.11	95.0	0.10
06/01/2018	BARNETT, RICHARD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.28	0.00	0.28	95.0	0.27
06/01/2018	BARNETT, RICHARD	AM FUNDS NEW PERSPECTIVE 529A	0.00	1.17	0.00	1.17	95.0	1.11
06/01/2018	BOWEN, ED	AM FUNDS NEW PERSPECTIVE 529A	0.00	1.56	0.00	1.56	95.0	1.48
06/01/2018	ADAMS, JACKSON	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.42	0.00	0.42	95.0	0.40
06/01/2018	HOFFMAN, BARBARA	AM FUNDS NEW PERSPECTIVE A	0.00	14.09	0.00	14.09	95.0	13.39

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	HOLT, DEBBIE	AM FUNDS NEW PERSPECTIVE A	0.00	37.92	0.00	37.92	95.0	36.02
06/01/2018	FARKASH /SEP, NICHOLAS	AM FUNDS NEW PERSPECTIVE A	0.00	7.25	0.00	7.25	95.0	6.89
06/01/2018	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	0.00	29.93	0.00	29.93	95.0	28.43
06/01/2018	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	0.00	0.30	0.00	0.30	95.0	0.29
06/01/2018	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	0.00	3.53	0.00	3.53	95.0	3.35
06/01/2018	SILVERSTEIN, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	9.26	0.00	9.26	95.0	8.80
06/01/2018	MAKI-DRAGER, CHRISTINE	AM FUNDS NEW PERSPECTIVE A	0.00	4.80	0.00	4.80	95.0	4.56
06/01/2018	MIELKE, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	38.73	0.00	38.73	95.0	36.79
06/01/2018	BOZELKA, KEVIN	AM FUNDS NEW PERSPECTIVE A	0.00	2.43	0.00	2.43	95.0	2.31
06/01/2018	MACKENZIE-TAYLOR, DEBORAH	AM FUNDS NEW PERSPECTIVE A	0.00	2.14	0.00	2.14	95.0	2.03
06/01/2018	TAYLOR, ALLAN	AM FUNDS NEW PERSPECTIVE A	0.00	2.14	0.00	2.14	95.0	2.03
06/01/2018	KRUSAC, CHRISTINA	AM FUNDS NEW PERSPECTIVE A	0.00	3.92	0.00	3.92	95.0	3.72
06/01/2018	VARTABEDIAN, LISA	AM FUNDS NEW PERSPECTIVE A	0.00	51.28	0.00	51.28	95.0	48.72
06/01/2018	SHALDA, ROSE	AM FUNDS NEW PERSPECTIVE A	0.00	19.28	0.00	19.28	95.0	18.32
06/01/2018	VANDERMEER, BRYON	AM FUNDS NEW PERSPECTIVE A	0.00	19.05	0.00	19.05	95.0	18.10
06/01/2018	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	0.00	34.84	0.00	34.84	95.0	33.10
06/01/2018	BAILEY, STEVEN	AM FUNDS NEW PERSPECTIVE A	0.00	0.25	0.00	0.25	95.0	0.24
06/01/2018	GRECH, ANDREW	AM FUNDS NEW PERSPECTIVE A	0.00	18.61	0.00	18.61	95.0	17.68
06/01/2018	FULTON, JOHN	AM FUNDS NEW PERSPECTIVE A	0.00	6.79	0.00	6.79	95.0	6.45
06/01/2018	CISSELL, JOHN	AM FUNDS NEW PERSPECTIVE A	0.00	42.84	0.00	42.84	95.0	40.70
06/01/2018	CISSELL, PATRICIA	AM FUNDS NEW PERSPECTIVE A	0.00	42.84	0.00	42.84	95.0	40.70
06/01/2018	JAMES, RODNEY	AM FUNDS NEW PERSPECTIVE A	0.00	0.22	0.00	0.22	95.0	0.21
06/01/2018	OLSON, ERIC	AM FUNDS NEW PERSPECTIVE A	0.00	4.46	0.00	4.46	95.0	4.24
06/01/2018	SIMMONDS, JOSHUA	AM FUNDS NEW PERSPECTIVE A	0.00	3.68	0.00	3.68	95.0	3.50
06/01/2018	RACHEL, JOHN	AM FUNDS NEW PERSPECTIVE A	0.00	1.09	0.00	1.09	95.0	1.04
06/01/2018	MUSAL, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	3.96	0.00	3.96	95.0	3.76
06/01/2018	HABERMAN, IAN	AM FUNDS NEW PERSPECTIVE A	0.00	19.44	0.00	19.44	95.0	18.47
06/01/2018	DELISLE/AUTH PER, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	1.30	0.00	1.30	95.0	1.24
06/01/2018	SCHUBA, LAWRENCE	AM FUNDS NEW PERSPECTIVE A	0.00	1.09	0.00	1.09	95.0	1.04
06/01/2018	DOOTSAS, FREIDA	AM FUNDS NEW PERSPECTIVE A	0.00	31.35	0.00	31.35	95.0	29.78
06/01/2018	KEBA, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	7.32	0.00	7.32	95.0	6.95
06/01/2018	HINDS, RUSSELL	AM FUNDS NEW PERSPECTIVE C	0.00	4.79	0.00	4.79	95.0	4.55
06/01/2018	VINING, KRISTOPHER	AM FUNDS NEW PERSPECTIVE F-1	0.00	0.59	0.00	0.59	95.0	0.56

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	FROMM, MARY	AM FUNDS NEW WORLD 529A	0.00	1.78	0.00	1.78	95.0	1.69
06/01/2018	FROMM, MARY	AM FUNDS NEW WORLD 529A	0.00	1.70	0.00	1.70	95.0	1.62
06/01/2018	VARTABEDIAN, LISA	AM FUNDS NEW WORLD 529A	0.00	4.76	0.00	4.76	95.0	4.52
06/01/2018	VARTABEDIAN, LISA	AM FUNDS NEW WORLD 529A	0.00	9.98	0.00	9.98	95.0	9.48
06/01/2018	NICOLOSI, ROSE	AM FUNDS NEW WORLD 529A	0.00	21.85	0.00	21.85	95.0	20.76
06/01/2018	NICOLOSI, ROSE	AM FUNDS NEW WORLD 529A	0.00	21.59	0.00	21.59	95.0	20.51
06/01/2018	RICHARDS, COLE	AM FUNDS NEW WORLD 529A	0.00	0.66	0.00	0.66	95.0	0.63
06/01/2018	KOUZA, SOPHIA	AM FUNDS NEW WORLD 529A	0.00	11.57	0.00	11.57	95.0	10.99
06/01/2018	FROMM, MARY	AM FUNDS NEW WORLD 529A	0.00	0.80	0.00	0.80	95.0	0.76
06/01/2018	KOUZA, SOPHIA	AM FUNDS NEW WORLD 529A	0.00	10.64	0.00	10.64	95.0	10.11
06/01/2018	BOLOGNA, ADAM	AM FUNDS NEW WORLD 529A	0.00	0.80	0.00	0.80	95.0	0.76
06/01/2018	NICOLOSI, ROSE	AM FUNDS NEW WORLD 529A	0.00	21.59	0.00	21.59	95.0	20.51
06/01/2018	VARTABEDIAN, LISA	AM FUNDS NEW WORLD 529C	0.00	78.62	0.00	78.62	95.0	74.69
06/01/2018	VARTABEDIAN, LISA	AM FUNDS NEW WORLD 529C	0.00	84.50	0.00	84.50	95.0	80.28
06/01/2018	FROMM, MARY	AM FUNDS NEW WORLD 529C	0.00	1.37	0.00	1.37	95.0	1.30
06/01/2018	BAKER, BEVERLY	AM FUNDS NEW WORLD A	0.00	0.33	0.00	0.33	95.0	0.31
06/01/2018	MERKLE, PAUL	AM FUNDS NEW WORLD A	0.00	10.28	0.00	10.28	95.0	9.77
06/01/2018	WALKER TRUST, SUE	AM FUNDS NEW WORLD A	0.00	4.16	0.00	4.16	95.0	3.95
06/01/2018	HAVLIK, BRIAN	AM FUNDS NEW WORLD A	0.00	0.12	0.00	0.12	95.0	0.11
06/01/2018	BUSTER, RICKY	AM FUNDS NEW WORLD A	0.00	1.08	0.00	1.08	95.0	1.03
06/01/2018	IMUS, ARLEEN	AM FUNDS NEW WORLD A	0.00	11.10	0.00	11.10	95.0	10.55
06/01/2018	KINGSBURY, RONALD	AM FUNDS NEW WORLD A	0.00	0.84	0.00	0.84	95.0	0.80
06/01/2018	NEEDHAM, DOUG	AM FUNDS NEW WORLD A	0.00	0.18	0.00	0.18	95.0	0.17
06/01/2018	PARDEE, CRAIG	AM FUNDS NEW WORLD A	0.00	10.33	0.00	10.33	95.0	9.81
06/01/2018	OLSON, ERIC	AM FUNDS NEW WORLD A	0.00	3.63	0.00	3.63	95.0	3.45
06/01/2018	SIMMONDS, ASHLEIGH	AM FUNDS NEW WORLD A	0.00	1.75	0.00	1.75	95.0	1.66
06/01/2018	SIMMONDS, JOSHUA	AM FUNDS NEW WORLD A	0.00	4.18	0.00	4.18	95.0	3.97
06/01/2018	TSANGANOS, OURANIA	AM FUNDS NEW WORLD A	0.00	4.74	0.00	4.74	95.0	4.50
06/01/2018	TSANGANOS, NICHOLAOS	AM FUNDS NEW WORLD A	0.00	6.12	0.00	6.12	95.0	5.81
06/01/2018	TSANGANOS, CHRISTOPHER	AM FUNDS NEW WORLD A	0.00	5.67	0.00	5.67	95.0	5.39
06/01/2018	KARGULA, CURT	AM FUNDS NEW WORLD A	0.00	2.20	0.00	2.20	95.0	2.09
06/01/2018	TSANGANOS, OURANIA	AM FUNDS NEW WORLD A	0.00	4.15	0.00	4.15	95.0	3.94
06/01/2018	TSANGANOS, NICHOLAOS	AM FUNDS NEW WORLD A	0.00	4.15	0.00	4.15	95.0	3.94

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
06/01/2018	TSANGANOS, LABRENE	AM FUNDS NEW WORLD A	0.00	11.61	0.00	11.61	95.0	11.03
06/01/2018	TSANGANOS, LABRENE	AM FUNDS NEW WORLD A	0.00	6.68	0.00	6.68	95.0	6.35
06/01/2018	MUSAL, NANCY	AM FUNDS NEW WORLD A	0.00	2.99	0.00	2.99	95.0	2.84
06/01/2018	PLUMB, KYLE	AM FUNDS NEW WORLD A	0.00	0.42	0.00	0.42	95.0	0.40
06/01/2018	TSOUKALAS, IOANNIS	AM FUNDS NEW WORLD A	0.00	0.46	0.00	0.46	95.0	0.44
06/01/2018	CHALKEY, MICHAEL	AM FUNDS NEW WORLD A	0.00	25.24	0.00	25.24	95.0	23.98
06/01/2018	BUTT, DENNIS	AM FUNDS NEW WORLD A	0.00	1.06	0.00	1.06	95.0	1.01
06/01/2018	SHEPHERD, JOE	AM FUNDS NEW WORLD A	0.00	0.01	0.00	0.01	95.0	0.01
06/01/2018	CARSON, JESSICA	AM FUNDS NEW WORLD A	0.00	0.71	0.00	0.71	95.0	0.67
06/01/2018	MARTIN, ELENA MARIE	AM FUNDS NEW WORLD A	0.00	0.44	0.00	0.44	95.0	0.42
06/01/2018	MARTIN, ANDREW	AM FUNDS NEW WORLD A	0.00	0.44	0.00	0.44	95.0	0.42
06/01/2018	MARTIN, ISABELLA	AM FUNDS NEW WORLD A	0.00	0.44	0.00	0.44	95.0	0.42
06/01/2018	MEDAUGH, JOSEPH	AM FUNDS NEW WORLD A	0.00	0.44	0.00	0.44	95.0	0.42
06/01/2018	MEDAUGH, MATTHEW	AM FUNDS NEW WORLD A	0.00	0.44	0.00	0.44	95.0	0.42
06/01/2018	BENDER, SHARON	AM FUNDS NEW WORLD A	0.00	0.33	0.00	0.33	95.0	0.31
06/01/2018	PER, ELIZABETH	AM FUNDS NEW WORLD A	0.00	0.10	0.00	0.10	95.0	0.10
06/01/2018	NATHAN, SANDRA	AM FUNDS NEW WORLD A	0.00	1.05	0.00	1.05	95.0	1.00
06/01/2018	COX, MICHAEL	AM FUNDS NEW WORLD A	0.00	2.99	0.00	2.99	95.0	2.84
06/01/2018	TSANGANOS, LABRENE	AM FUNDS NEW WORLD A	0.00	19.65	0.00	19.65	95.0	18.67
06/01/2018	TSANGANOS, LEONIDAS	AM FUNDS NEW WORLD A	0.00	21.89	0.00	21.89	95.0	20.80
06/01/2018	MATAR, ROBERT	AM FUNDS NEW WORLD A	0.00	6.67	0.00	6.67	95.0	6.34
06/01/2018	DELISLE/AUTH PER, JAMES	AM FUNDS NEW WORLD A	0.00	1.00	0.00	1.00	95.0	0.95
06/01/2018	SANDERS, GARY	AM FUNDS NEW WORLD A	0.00	0.51	0.00	0.51	95.0	0.48
06/01/2018	PRICOPIO, MARIO	AM FUNDS NEW WORLD C	0.00	7.54	0.00	7.54	95.0	7.16
06/01/2018	MOWINSKI, VINCENZO	AM FUNDS NEW WORLD C	0.00	7.86	0.00	7.86	95.0	7.47
06/01/2018	MOWINSKI, SERGIO	AM FUNDS NEW WORLD C	0.00	7.24	0.00	7.24	95.0	6.88
06/01/2018	PRICOPIO, MARIO	AM FUNDS NEW WORLD FUND F1	0.00	0.31	0.00	0.31	95.0	0.29
06/01/2018	MURPHY, PHILIP	AM FUNDS SHORT TERM BND FUND OF AM	0.00	9.38	0.00	9.38	95.0	8.91
06/01/2018	GINGELL, PAUL	AM FUNDS SMALLCAP WORLD A	0.00	13.48	0.00	13.48	95.0	12.81
06/01/2018	ALVEY, SHERRIE	AM FUNDS SMALLCAP WORLD A	0.00	1.79	0.00	1.79	95.0	1.70
06/01/2018	MERKLE, PAUL	AM FUNDS SMALLCAP WORLD A	0.00	9.03	0.00	9.03	95.0	8.58
06/01/2018	JONES, GERALD	AM FUNDS SMALLCAP WORLD A	0.00	1.30	0.00	1.30	95.0	1.24
06/01/2018	HAVLIK, BRIAN	AM FUNDS SMALLCAP WORLD A	0.00	0.07	0.00	0.07	95.0	0.07

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	ALVEY, CODY	AM FUNDS SMALLCAP WORLD A	0.00	0.51	0.00	0.51	95.0	0.48
06/01/2018	KENDZIERSKI, JENNIE	AM FUNDS SMALLCAP WORLD A	0.00	1.65	0.00	1.65	95.0	1.57
06/01/2018	GRECH, ANDREW	AM FUNDS SMALLCAP WORLD A	0.00	29.85	0.00	29.85	95.0	28.36
06/01/2018	GRECH, ANDREW	AM FUNDS SMALLCAP WORLD A	0.00	1.61	0.00	1.61	95.0	1.53
06/01/2018	GRECH, ANDREW	AM FUNDS SMALLCAP WORLD A	0.00	4.55	0.00	4.55	95.0	4.32
06/01/2018	JONES, MEREK	AM FUNDS SMALLCAP WORLD A	0.00	2.17	0.00	2.17	95.0	2.06
06/01/2018	STILLWELL, LYNNE	AM FUNDS SMALLCAP WORLD A	0.00	0.57	0.00	0.57	95.0	0.54
06/01/2018	DELISLE, JAMES	AM FUNDS SMALLCAP WORLD A	0.00	0.90	0.00	0.90	95.0	0.86
06/01/2018	OLSON, ERIC	AM FUNDS SMALLCAP WORLD A	0.00	4.11	0.00	4.11	95.0	3.90
06/01/2018	SIMMONDS, JOSHUA	AM FUNDS SMALLCAP WORLD A	0.00	3.06	0.00	3.06	95.0	2.91
06/01/2018	STILLWELL, ERIK	AM FUNDS SMALLCAP WORLD A	0.00	0.40	0.00	0.40	95.0	0.38
06/01/2018	KARGULA, CURT	AM FUNDS SMALLCAP WORLD A	0.00	2.92	0.00	2.92	95.0	2.77
06/01/2018	CARSON, JESSICA	AM FUNDS SMALLCAP WORLD A	0.00	0.33	0.00	0.33	95.0	0.31
06/01/2018	SHEPHERD, JOE	AM FUNDS SMALLCAP WORLD A	0.00	0.01	0.00	0.01	95.0	0.01
06/01/2018	BENDER, SHARON	AM FUNDS SMALLCAP WORLD A	0.00	0.48	0.00	0.48	95.0	0.46
06/01/2018	PERSIC, ERNST	AM FUNDS SMALLCAP WORLD A	0.00	10.62	0.00	10.62	95.0	10.09
06/01/2018	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	0.00	1.69	0.00	1.69	95.0	1.61
06/01/2018	MCCAFFERTY, COLLEEN	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	12.24	0.00	12.24	95.0	11.63
06/01/2018	FINK, JENNIFER	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.18	0.00	1.18	95.0	1.12
06/01/2018	SHEPHERD, JOE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.02	0.00	0.02	95.0	0.02
06/01/2018	JANSEN, ANTHONY	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	32.80	0.00	32.80	95.0	31.16
06/01/2018	KOSANKE, MARK	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	9.19	0.00	9.19	95.0	8.73
06/01/2018	KOSANKE, MARK	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	12.99	0.00	12.99	95.0	12.34
06/01/2018	WHITEFOOT, BEVERLY	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	11.10	0.00	11.10	95.0	10.55
06/01/2018	GALLO, ANTHONY	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	164.19	0.00	164.19	95.0	155.98
06/01/2018	HENTKOWSKI, ARTHUR	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.33	0.00	3.33	95.0	3.16
06/01/2018	BUXBAUM, DEBORA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	94.34	0.00	94.34	95.0	89.62
06/01/2018	MUSSILL, KATHARINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	122.42	0.00	122.42	95.0	116.30
06/01/2018	GREENE, LUCIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	146.21	0.00	146.21	95.0	138.90
06/01/2018	HIRSCHHORN, LAURA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	17.44	0.00	17.44	95.0	16.57
06/01/2018	MERROW / SAR SEP, DARREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.98	0.00	1.98	95.0	1.88
06/01/2018	MERROW, DARREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.22	0.00	0.22	95.0	0.21
06/01/2018	MARVIN, PATRICIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	42.11	0.00	42.11	95.0	40.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	IVEZAJ, GYSTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	39.67	0.00	39.67	95.0	37.69
06/01/2018	ODELL, JEFFREY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	28.32	0.00	28.32	95.0	26.90
06/01/2018	O'DELL, ANN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.25	0.00	9.25	95.0	8.79
06/01/2018	HOLLAND, JENNIFER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.77	0.00	3.77	95.0	3.58
06/01/2018	SWEENEY, EILEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	197.90	0.00	197.90	95.0	188.01
06/01/2018	SWEENEY, EILEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	309.70	0.00	309.70	95.0	294.22
06/01/2018	BALINSKI, BARB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.91	0.00	0.91	95.0	0.86
06/01/2018	REETZ, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.72	0.00	1.72	95.0	1.63
06/01/2018	NOONAN, PATRICIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.73	0.00	6.73	95.0	6.39
06/01/2018	JOHNSON, GREGORY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.79	0.00	14.79	95.0	14.05
06/01/2018	WATTERS, JEANNE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.96	0.00	10.96	95.0	10.41
06/01/2018	GREENE, GREG	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	21.32	0.00	21.32	95.0	20.25
06/01/2018	GREENE, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	90.64	0.00	90.64	95.0	86.11
06/01/2018	MACINA, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.45	0.00	6.45	95.0	6.13
06/01/2018	DAY, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.48	0.00	5.48	95.0	5.21
06/01/2018	DOMBROWSKI, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	24.77	0.00	24.77	95.0	23.53
06/01/2018	JOHNSTON, LAUREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.69	0.00	4.69	95.0	4.46
06/01/2018	JOHNSTON, KELLIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.20	0.00	5.20	95.0	4.94
06/01/2018	BONDS, SALLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	21.91	0.00	21.91	95.0	20.81
06/01/2018	LEWIS, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.47	0.00	2.47	95.0	2.35
06/01/2018	FARINO, ALEXANDER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.80	0.00	6.80	95.0	6.46
06/01/2018	POUPORE, ISABELLE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	23.18	0.00	23.18	95.0	22.02
06/01/2018	IRONS, DENNIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.31	0.00	8.31	95.0	7.89
06/01/2018	CORNEY, JENNIFER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.68	0.00	10.68	95.0	10.15
06/01/2018	CHASSE, JENNIFER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.29	0.00	7.29	95.0	6.93
06/01/2018	CELLI, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.32	0.00	0.32	95.0	0.30
06/01/2018	DAVIS, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.33	0.00	1.33	95.0	1.26
06/01/2018	ESKOVITZ, KENNETH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.41	0.00	0.41	95.0	0.39
06/01/2018	FOLEY, KAREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	21.76	0.00	21.76	95.0	20.67
06/01/2018	ALEXOPOULOS, ALEX	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.91	0.00	10.91	95.0	10.36
06/01/2018	ALEXOPOULOS, ALEX	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.91	0.00	10.91	95.0	10.36
06/01/2018	KNOTT, PAUL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.40	0.00	7.40	95.0	7.03
06/01/2018	BERTOLINI, LEO	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.40	0.00	1.40	95.0	1.33

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	LEWIS, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.20	0.00	4.20	95.0	3.99
06/01/2018	REETZ, CHARLIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	95.0	0.57
06/01/2018	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	16.41	0.00	16.41	95.0	15.59
06/01/2018	ESSE, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.73	0.00	1.73	95.0	1.64
06/01/2018	ESSE, ANGELINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.07	0.00	3.07	95.0	2.92
06/01/2018	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	34.63	0.00	34.63	95.0	32.90
06/01/2018	NIEMETTA, NINA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.02	0.00	0.02	95.0	0.02
06/01/2018	BYRD, KATHRYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	43.46	0.00	43.46	95.0	41.29
06/01/2018	KARAOUZAS, OURANIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.29	0.00	9.29	95.0	8.83
06/01/2018	VESCOSKY, ANTOINETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.26	0.00	0.26	95.0	0.25
06/01/2018	BUSTER, RICKY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.03	0.00	4.03	95.0	3.83
06/01/2018	KISH, VIVIAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	51.53	0.00	51.53	95.0	48.95
06/01/2018	ZERVAS, HELEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	17.44	0.00	17.44	95.0	16.57
06/01/2018	BEHNKE, DANIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	15.31	0.00	15.31	95.0	14.54
06/01/2018	ANASTASIOU, DESPINA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	23.31	0.00	23.31	95.0	22.14
06/01/2018	NICOLOSI, ROSE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	17.44	0.00	17.44	95.0	16.57
06/01/2018	SKOWRONSKI, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.09	0.00	2.09	95.0	1.99
06/01/2018	GRIFFIN, SHEILA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.35	0.00	9.35	95.0	8.88
06/01/2018	SKOWRONSKI, LUISA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.74	0.00	1.74	95.0	1.65
06/01/2018	STRAYVE, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.19	0.00	0.19	95.0	0.18
06/01/2018	GORSEK, JOHN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.90	0.00	9.90	95.0	9.41
06/01/2018	BEHNKE, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.05	0.00	1.05	95.0	1.00
06/01/2018	GRECH, ANDREW	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.67	0.00	5.67	95.0	5.39
06/01/2018	GRECH, ANDREW	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	16.92	0.00	16.92	95.0	16.07
06/01/2018	FULTON, JOHN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.99	0.00	6.99	95.0	6.64
06/01/2018	WATTERS, JEANNE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.54	0.00	8.54	95.0	8.11
06/01/2018	CISSELL, JOHN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	295.14	0.00	295.14	95.0	280.38
06/01/2018	CISSELL, PATRICIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	295.14	0.00	295.14	95.0	280.38
06/01/2018	TSANGANOS, CHRISTOPHER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.80	0.00	3.80	95.0	3.61
06/01/2018	BODE, SHELBI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.56	0.00	5.56	95.0	5.28
06/01/2018	LEWIS, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.71	0.00	1.71	95.0	1.62
06/01/2018	BENNETT, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.00	0.00	1.00	95.0	0.95
06/01/2018	PRICE, PATRICIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.49	0.00	0.49	95.0	0.47

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	HABERMAN, IAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	15.84	0.00	15.84	95.0	15.05
06/01/2018	RILEY, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.39	0.00	0.39	95.0	0.37
06/01/2018	LIONAS, MARIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.42	0.00	1.42	95.0	1.35
06/01/2018	GEORGOULIS, ELEANNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.95	0.00	3.95	95.0	3.75
06/01/2018	GEORGOULIS, ANDREANNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.77	0.00	3.77	95.0	3.58
06/01/2018	BUSARSKY, KATHLEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	28.92	0.00	28.92	95.0	27.47
06/01/2018	ULJAJ, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.39	0.00	12.39	95.0	11.77
06/01/2018	WEST TRUST, NORA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.88	0.00	6.88	95.0	6.54
06/01/2018	MERRITT, MARY LOU	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	61.18	0.00	61.18	95.0	58.12
06/01/2018	BODE, SHELBBIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.35	0.00	6.35	95.0	6.03
06/01/2018	BODE/BENE, GEORGIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.09	0.00	1.09	95.0	1.04
06/01/2018	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	46.16	0.00	46.16	95.0	43.85
06/01/2018	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.17	0.00	5.17	95.0	4.91
06/01/2018	LUMETTA, PAUL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.75	0.00	0.75	95.0	0.71
06/01/2018	KAPFHAMER, SCOTT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.55	0.00	8.55	95.0	8.12
06/01/2018	KAPFHAMER, SCOTT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.32	0.00	5.32	95.0	5.05
06/01/2018	HIGGINS, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	17.22	0.00	17.22	95.0	16.36
06/01/2018	NICKOLOPOULOS, HAILEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.84	0.00	3.84	95.0	3.65
06/01/2018	SKOWRONSKI, GABRIELLA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.62	0.00	3.62	95.0	3.44
06/01/2018	LUMETTA, PAUL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.86	0.00	3.86	95.0	3.67
06/01/2018	BARNETT, RICHARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.10	0.00	0.10	95.0	0.10
06/01/2018	BARNETT, RICHARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.11	0.00	0.11	95.0	0.10
06/01/2018	SAWYER, ALONZO	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.04	0.00	1.04	95.0	0.99
06/01/2018	SKOWRONSKI, GABRIELLA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.09	0.00	1.09	95.0	1.04
06/01/2018	SAWYER, ALONZO	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.04	0.00	1.04	95.0	0.99
06/01/2018	SAWYER, ALONZO	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.04	0.00	1.04	95.0	0.99
06/01/2018	FOLLETT, JACOB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.30	0.00	1.30	95.0	1.24
06/01/2018	FOLLETT, JACOB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.55	0.00	1.55	95.0	1.47
06/01/2018	POLANT, LOUIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	42.50	0.00	42.50	95.0	40.38
06/01/2018	VINING, KRISTOPHER	AMERICAN FUND SMALLCAP WORKD FUND-F	0.00	2.96	0.00	2.96	95.0	2.81
06/01/2018	HAYES, DORIS	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	7.76	0.00	7.76	95.0	7.37
06/01/2018	CYRULEWSKI, JIM	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	3.18	0.00	3.18	95.0	3.02
06/01/2018	HEGEL, SUSAN	AMERICAN FUNDS CORPORATE BOND FD A	0.00	30.29	0.00	30.29	95.0	28.78

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
05/31/2018	BUSSELL, VARIOUS	DREYFUS	343,191.80	72.87	0.00	72.87	95.0	69.23
05/31/2018	JOHNSON, VARIOUS	DREYFUS	29,837.11	6.34	0.00	6.34	95.0	6.02
05/31/2018	CFGROUP, VARIOUS	DREYFUS	67,328.45	14.29	0.00	14.29	95.0	13.58
/ /	BLEIL, STEPHEN	FIDELITY ADV GOLD	0.00	0.08	0.00	0.08	95.0	0.08
/ /	ROCCA, JACQUELYN	FIDELITY ADV GROWTH OPP	0.00	0.63	0.00	0.63	95.0	0.60
/ /	ROCCA, ALEX	FIDELITY ADV GROWTH OPP	0.00	0.77	0.00	0.77	95.0	0.73
/ /	ROCCA, AARON	FIDELITY ADV GROWTH OPP	0.00	0.77	0.00	0.77	95.0	0.73
/ /	MCQUEENEY, JULIE	FIDELITY ADV GROWTH OPP	0.00	1.25	0.00	1.25	95.0	1.19
/ /	FELIS-ROSS, CAROL	FIDELITY ADV GROWTH OPP	0.00	0.10	0.00	0.10	95.0	0.10
/ /	SCALISE, CYNTHIA	FIDELITY ADV GROWTH OPP	0.00	1.46	0.00	1.46	95.0	1.39
/ /	DUDZIK, ELZBIETA	FIDELITY ADV GROWTH OPP	0.00	28.20	0.00	28.20	95.0	26.79
/ /	VIVIANO, JASON	FIDELITY ADV GROWTH OPP A	0.00	2.08	0.00	2.08	95.0	1.98
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV HEALTH CARE T	0.00	14.60	0.00	14.60	95.0	13.87
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV LARGE CAP	0.00	10.20	0.00	10.20	95.0	9.69
/ /	HERWARTH, CHARLES	FIDELITY ADV LARGE CAP A	0.00	2.03	0.00	2.03	95.0	1.93
/ /	FELIS-ROSS, CAROL	FIDELITY ADV MORTGAGE SECURITIES T	0.00	0.10	0.00	0.10	95.0	0.10
/ /	SCALISE, CYNTHIA	FIDELITY ADV MORTGAGE SECURITIES T	0.00	1.62	0.00	1.62	95.0	1.54
/ /	HERWARTH, CHARLES	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	2.33	0.00	2.33	95.0	2.21
/ /	DUDZIK, ELZBIETA	FIDELITY BALANCED T	0.00	21.25	0.00	21.25	95.0	20.19
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MARKETS	0.00	0.10	0.00	0.10	95.0	0.10
/ /	ROCCA, ALEX	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	ROCCA, AARON	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MARKETS	0.00	3.31	0.00	3.31	95.0	3.14
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MKTS T	0.00	0.29	0.00	0.29	95.0	0.28
/ /	ROCCA, ALEX	FIDELITY EMERGING MKTS T	0.00	0.19	0.00	0.19	95.0	0.18
/ /	ROCCA, AARON	FIDELITY EMERGING MKTS T	0.00	0.19	0.00	0.19	95.0	0.18
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MKTS T	0.00	7.42	0.00	7.42	95.0	7.05
/ /	HERWARTH, CHARLES	FIDELITY EQUITY GROWTH A	0.00	2.13	0.00	2.13	95.0	2.02
/ /	BESS, JAMES	FIDELITY EQUITY GROWTH A	0.00	4.28	0.00	4.28	95.0	4.07
/ /	ZOBOSKI, JAYNE	FIDELITY EQUITY INCOME A	0.00	3.68	0.00	3.68	95.0	3.50
/ /	FRINTNER, SEAN EDWARD	FIDELITY EQUITY INCOME A	0.00	1.28	0.00	1.28	95.0	1.22
/ /	ZERILLI, ROSA	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.44	0.00	3.44	95.0	3.27
/ /	HERWARTH, CHARLES	FIDELITY TECHNOLOGY A	0.00	1.76	0.00	1.76	95.0	1.67

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	ROHLOFF, SHARON	FIDELITY TECHNOLOGY A	0.00	0.74	0.00	0.74	95.0	0.70
/ /	BEARD, LATOYA	FIDELITY TECHNOLOGY A	0.00	0.03	0.00	0.03	95.0	0.03
05/17/2018	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	90.65	0.00	90.65	95.0	86.12
05/17/2018	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	271.94	0.00	271.94	95.0	258.34
05/17/2018	ANDERSON, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.07	0.00	11.07	95.0	10.52
05/17/2018	ANDERSON, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	33.20	0.00	33.20	95.0	31.54
/ /	WAGNER, SHARON	GUGGENHEIM NASDAQ 100-H	0.00	0.06	0.00	0.06	95.0	0.06
/ /	ROCCA, HELEN	HARTFORD CAPITAL APPRECIATION A	0.00	0.70	0.00	0.70	95.0	0.67
/ /	HARTMAN, GREGORY	HARTFORD CAPITAL APPRECIATION A	0.00	2.22	0.00	2.22	95.0	2.11
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD CAPITAL APPRECIATION C	0.00	5.84	0.00	5.84	95.0	5.55
/ /	COLE, JAMES WILLIAM	HARTFORD DIVIDEND & GROWTH C	0.00	13.95	0.00	13.95	95.0	13.25
/ /	HARTMAN, GREGORY	HARTFORD HEALTHCARE A	0.00	0.87	0.00	0.87	95.0	0.83
/ /	RUFFINO, ERNESTINE	HARTFORD HEALTHCARE A	0.00	18.95	0.00	18.95	95.0	18.00
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD HEALTHCARE C	0.00	5.31	0.00	5.31	95.0	5.04
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP A	0.00	2.40	0.00	2.40	95.0	2.28
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD MIDCAP C	0.00	5.33	0.00	5.33	95.0	5.06
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP VALUE A	0.00	0.01	0.00	0.01	95.0	0.01
/ /	HARTMAN, GREGORY	HARTFORD SCHRDRS US SM MD CAP OPP-A	0.00	1.37	0.00	1.37	95.0	1.30
06/06/2018	HARTMAN, GREGORY	HARTFORD SMALLCAP GROWTH A	0.00	4.52	0.00	4.52	95.0	4.29
/ /	HARTMAN, GREGORY	HARTFUND EQUITY INCIME FUND A	0.00	2.00	0.00	2.00	95.0	1.90
/ /	WAGNER, SHARON	RYDEX GUGG S&P 500 H	0.00	0.38	0.00	0.38	95.0	0.36
06/04/2018	PETERSON, ALEXA	TRANSAMERICA AA CONSERVATIVE C	0.00	34.34	0.00	34.34	95.0	32.62
06/04/2018	PETERSON, TREY	TRANSAMERICA AA CONSERVATIVE C	0.00	34.34	0.00	34.34	95.0	32.62
06/04/2018	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	5.16	0.00	5.16	95.0	4.90
06/04/2018	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	6.82	0.00	6.82	95.0	6.48
06/04/2018	HESTER, RICHARD	TRANSAMERICA AA GROWTH C	0.00	0.80	0.00	0.80	95.0	0.76
06/04/2018	SULLIVAN, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	21.81	0.00	21.81	95.0	20.72
06/04/2018	MAYNARD, TRAVIS	TRANSAMERICA AA GROWTH C	0.00	2.25	0.00	2.25	95.0	2.14
06/04/2018	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	10.42	0.00	10.42	95.0	9.90
06/04/2018	COOPER, LISA	TRANSAMERICA AA GROWTH C	0.00	0.48	0.00	0.48	95.0	0.46
06/04/2018	WEBSTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	1.04	0.00	1.04	95.0	0.99
06/04/2018	JOUPPI, JEFFREY	TRANSAMERICA AA GROWTH C	0.00	90.31	0.00	90.31	95.0	85.79
06/04/2018	COMAN, RONALD	TRANSAMERICA AA GROWTH C	0.00	21.41	0.00	21.41	95.0	20.34

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/04/2018	PALAZZOLO, SALATORE	TRANSAMERICA AA GROWTH C	0.00	12.69	0.00	12.69	95.0	12.06
06/04/2018	BARTOI, MIKAELA	TRANSAMERICA AA GROWTH C	0.00	4.26	0.00	4.26	95.0	4.05
06/04/2018	ROWAN, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	29.02	0.00	29.02	95.0	27.57
06/04/2018	KUCHAREK, NORMA	TRANSAMERICA AA GROWTH C	0.00	9.53	0.00	9.53	95.0	9.05
06/04/2018	OLSEN, MARTHA	TRANSAMERICA AA GROWTH C	0.00	10.89	0.00	10.89	95.0	10.35
06/04/2018	HESSLER, GARY	TRANSAMERICA AA GROWTH C	0.00	41.03	0.00	41.03	95.0	38.98
06/04/2018	BLANKENSHIP, JANET	TRANSAMERICA AA GROWTH C	0.00	12.38	0.00	12.38	95.0	11.76
06/04/2018	RONDO, DENISE	TRANSAMERICA AA GROWTH C	0.00	12.89	0.00	12.89	95.0	12.25
06/04/2018	PALAZZOLO, ANNA	TRANSAMERICA AA GROWTH C	0.00	21.10	0.00	21.10	95.0	20.05
06/04/2018	LINDOW, RONALD	TRANSAMERICA AA GROWTH C	0.00	1.58	0.00	1.58	95.0	1.50
06/04/2018	WILMAN, ANN	TRANSAMERICA AA GROWTH C	0.00	61.07	0.00	61.07	95.0	58.02
06/04/2018	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	39.47	0.00	39.47	95.0	37.50
06/04/2018	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	0.00	46.17	0.00	46.17	95.0	43.86
06/04/2018	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	23.45	0.00	23.45	95.0	22.28
06/04/2018	RIOS-DORIA, H. WALTER	TRANSAMERICA AA GROWTH C	0.00	51.11	0.00	51.11	95.0	48.55
06/04/2018	WETZEL, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	13.96	0.00	13.96	95.0	13.26
06/04/2018	KEELER, DAVID	TRANSAMERICA AA GROWTH C	0.00	51.56	0.00	51.56	95.0	48.98
06/04/2018	HILDEBRAND, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	28.81	0.00	28.81	95.0	27.37
06/04/2018	DEHEM, GARY	TRANSAMERICA AA GROWTH C	0.00	40.56	0.00	40.56	95.0	38.53
06/04/2018	LINDOW, RICHARD	TRANSAMERICA AA GROWTH C	0.00	24.85	0.00	24.85	95.0	23.61
06/04/2018	MULDOON, SHEILA	TRANSAMERICA AA GROWTH C	0.00	25.45	0.00	25.45	95.0	24.18
06/04/2018	KUCHAREK, SARAH	TRANSAMERICA AA GROWTH C	0.00	5.14	0.00	5.14	95.0	4.88
06/04/2018	HECKER, GENE	TRANSAMERICA AA GROWTH C	0.00	6.69	0.00	6.69	95.0	6.36
06/04/2018	WATERS, SALLY	TRANSAMERICA AA GROWTH C	0.00	13.96	0.00	13.96	95.0	13.26
06/04/2018	WATERS, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	5.41	0.00	5.41	95.0	5.14
06/04/2018	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	0.00	14.67	0.00	14.67	95.0	13.94
06/04/2018	FARRAR, CHARLES	TRANSAMERICA AA GROWTH C	0.00	5.23	0.00	5.23	95.0	4.97
06/04/2018	BUSZEK, PAUL	TRANSAMERICA AA GROWTH C	0.00	1.29	0.00	1.29	95.0	1.23
06/04/2018	HOOTNER-JONES, ANN	TRANSAMERICA AA GROWTH C	0.00	8.11	0.00	8.11	95.0	7.70
06/04/2018	PALAZZOLO, SALVATORE	TRANSAMERICA AA GROWTH C	0.00	14.90	0.00	14.90	95.0	14.16
06/04/2018	BASTIN, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	4.78	0.00	4.78	95.0	4.54
06/04/2018	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	4.84	0.00	4.84	95.0	4.60
06/04/2018	ROWAN, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	10.54	0.00	10.54	95.0	10.01

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/04/2018	KOLLINGER, ROBERT	TRANSAMERICA AA MOD GROWTH C	0.00	0.96	0.00	0.96	95.0	0.91
06/04/2018	BELLINGER, VICKIE	TRANSAMERICA AA MOD GROWTH C	0.00	33.90	0.00	33.90	95.0	32.21
06/04/2018	BELLINGER, GEORGE	TRANSAMERICA AA MOD GROWTH C	0.00	27.39	0.00	27.39	95.0	26.02
06/04/2018	POLENYCHKO, SHIRLEY	TRANSAMERICA AA MOD GROWTH C	0.00	9.54	0.00	9.54	95.0	9.06
06/04/2018	AUGUSTYN, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.72	0.00	11.72	95.0	11.13
06/04/2018	BLANKENSHIP, JANET	TRANSAMERICA AA MOD GROWTH C	0.00	214.72	0.00	214.72	95.0	203.98
06/04/2018	KOLLINGER, CINDY	TRANSAMERICA AA MOD GROWTH C	0.00	9.81	0.00	9.81	95.0	9.32
06/04/2018	KEELER, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	22.51	0.00	22.51	95.0	21.38
06/04/2018	TYSZKIEWICZ, KURT	TRANSAMERICA AA MOD GROWTH C	0.00	24.51	0.00	24.51	95.0	23.28
06/04/2018	KUCHAREK, MARCIA	TRANSAMERICA AA MOD GROWTH C	0.00	12.13	0.00	12.13	95.0	11.52
06/04/2018	MCGUIRE, MARY	TRANSAMERICA AA MOD GROWTH C	0.00	12.20	0.00	12.20	95.0	11.59
06/04/2018	LEHMAN, JEFFREY	TRANSAMERICA AA MOD GROWTH C	0.00	0.10	0.00	0.10	95.0	0.10
06/04/2018	HENRY, JACQUELINE	TRANSAMERICA AA MOD GROWTH C	0.00	26.38	0.00	26.38	95.0	25.06
06/04/2018	O'CONNOR, FRANCIS	TRANSAMERICA AA MOD GROWTH C	0.00	59.83	0.00	59.83	95.0	56.84
06/04/2018	BAKER, JEAN-ANNE	TRANSAMERICA AA MOD GROWTH C	0.00	39.48	0.00	39.48	95.0	37.51
06/04/2018	KOSANKE, BARBARA	TRANSAMERICA AA MOD GROWTH C	0.00	33.26	0.00	33.26	95.0	31.60
06/04/2018	DEW, DESIREE	TRANSAMERICA AA MOD GROWTH C	0.00	45.86	0.00	45.86	95.0	43.57
06/04/2018	CYRULEWSKI TRUST, LOIS	TRANSAMERICA AA MOD GROWTH C	0.00	12.10	0.00	12.10	95.0	11.50
06/04/2018	NIZIO, EDMUND	TRANSAMERICA AA MOD GROWTH C	0.00	3.70	0.00	3.70	95.0	3.52
06/04/2018	PALAZZOLO, SALATORE	TRANSAMERICA AA MOD GROWTH C	0.00	10.05	0.00	10.05	95.0	9.55
06/04/2018	KOSANKE, CHARLES W	TRANSAMERICA AA MOD GROWTH C	0.00	16.44	0.00	16.44	95.0	15.62
06/04/2018	MERRITT, MARY LOU	TRANSAMERICA AA MOD GROWTH C	0.00	117.79	0.00	117.79	95.0	111.90
06/04/2018	GROBAR TRUST, MARVIN & MARY	TRANSAMERICA AA MOD GROWTH C	0.00	56.66	0.00	56.66	95.0	53.83
06/04/2018	OLSEN, MARTHA	TRANSAMERICA AA MOD GROWTH C	0.00	10.18	0.00	10.18	95.0	9.67
06/04/2018	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	10.43	0.00	10.43	95.0	9.91
06/04/2018	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	5.27	0.00	5.27	95.0	5.01
06/04/2018	CARLSON, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	2.80	0.00	2.80	95.0	2.66
06/04/2018	RIOS-DORIA, H. WALTER	TRANSAMERICA AA MOD GROWTH C	0.00	46.03	0.00	46.03	95.0	43.73
06/04/2018	RYAN, VICKI	TRANSAMERICA AA MOD GROWTH C	0.00	3.61	0.00	3.61	95.0	3.43
06/04/2018	BARTOI, MIKAELA	TRANSAMERICA AA MOD GROWTH C	0.00	157.36	0.00	157.36	95.0	149.49
06/04/2018	BUTLER, SANDRA	TRANSAMERICA AA MOD GROWTH C	0.00	56.14	0.00	56.14	95.0	53.33
06/04/2018	KANAKRI, JOSEPH	TRANSAMERICA AA MOD GROWTH C	0.00	2.17	0.00	2.17	95.0	2.06
06/04/2018	KANAKRI, MARIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.42	0.00	3.42	95.0	3.25

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/04/2018	BUSZEK, PAUL	TRANSAMERICA AA MOD GROWTH C	0.00	13.42	0.00	13.42	95.0	12.75
06/04/2018	LAWRENCE, PEGGY	TRANSAMERICA AA MOD GROWTH C	0.00	13.60	0.00	13.60	95.0	12.92
06/04/2018	BERDESKI, AUDREY	TRANSAMERICA AA MOD GROWTH C	0.00	11.70	0.00	11.70	95.0	11.12
06/04/2018	KAWALA, CHRISTINA	TRANSAMERICA AA MOD GROWTH C	0.00	116.58	0.00	116.58	95.0	110.75
06/04/2018	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	27.86	0.00	27.86	95.0	26.47
06/04/2018	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	0.79	0.00	0.79	95.0	0.75
06/04/2018	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	88.02	0.00	88.02	95.0	83.62
06/04/2018	BARTOI, JOHN	TRANSAMERICA AA MODERATE C	0.00	4.73	0.00	4.73	95.0	4.49
06/04/2018	MANOLATOS, STEVE	TRANSAMERICA AA MODERATE C	0.00	7.94	0.00	7.94	95.0	7.54
06/04/2018	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	9.93	0.00	9.93	95.0	9.43
06/04/2018	PALAZZOLO, SALATORE	TRANSAMERICA MULTI MGR INTL C	0.00	0.35	0.00	0.35	95.0	0.33
06/04/2018	BARTOI, JOHN	TRANSAMERICA MULTI MGR INTL C	0.00	13.54	0.00	13.54	95.0	12.86
06/04/2018	BARTOI, MIKAELA	TRANSAMERICA MULTI MGR INTL C	0.00	9.50	0.00	9.50	95.0	9.03
06/04/2018	FARRAR, CHARLES	TRANSAMERICA MULTI MGR INTL C	0.00	36.55	0.00	36.55	95.0	34.72
06/04/2018	WILMAN, ANN	TRANSAMERICA MULTI MGR INTL C	0.00	26.41	0.00	26.41	95.0	25.09
06/04/2018	KUCHAREK, MARK	TRANSAMERICA MULTI MGR INTL C	0.00	11.08	0.00	11.08	95.0	10.53
06/04/2018	DUMOND, PHYLLIS	TRANSAMERICA MULTI MGR INTL C	0.00	5.35	0.00	5.35	95.0	5.08
06/04/2018	KEELER, DAVID	TRANSAMERICA MULTI MGR INTL C	0.00	14.82	0.00	14.82	95.0	14.08
06/04/2018	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR INTL C	0.00	12.84	0.00	12.84	95.0	12.20
06/04/2018	DEHEM, GARY	TRANSAMERICA MULTI MGR INTL C	0.00	15.60	0.00	15.60	95.0	14.82
06/04/2018	WAGNER, MALLORY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	3.09	0.00	3.09	95.0	2.94
06/04/2018	EVANS, SANDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.46	0.00	6.46	95.0	6.14
06/04/2018	EVANS, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.74	0.00	7.74	95.0	7.35
06/04/2018	GRECH, ROSALIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	33.84	0.00	33.84	95.0	32.15
06/04/2018	CANNON TRUST, HENRY & BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	115.05	0.00	115.05	95.0	109.30
06/04/2018	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	45.52	0.00	45.52	95.0	43.24
06/04/2018	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.17	0.00	7.17	95.0	6.81
06/04/2018	DOUTSAS, MADELYN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	3.04	0.00	3.04	95.0	2.89
06/04/2018	HOOTNER-JONES, ANN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.82	0.00	2.82	95.0	2.68
06/04/2018	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	17.43	0.00	17.43	95.0	16.56
06/04/2018	RICHARDSON, SHANE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.25	0.00	1.25	95.0	1.19
06/04/2018	MAGGARD, LORETTA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	12.52	0.00	12.52	95.0	11.89
06/04/2018	KAWALA, CHRISTINA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	17.71	0.00	17.71	95.0	16.82

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/04/2018	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	41.17	0.00	41.17	95.0	39.11
06/04/2018	BELLINGER, VICKIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	48.90	0.00	48.90	95.0	46.46
06/04/2018	OLSEN, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	10.92	0.00	10.92	95.0	10.37
06/04/2018	MOORE, SUSAN ANN PRIES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	103.68	0.00	103.68	95.0	98.50
06/04/2018	YOUNG, RICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	139.03	0.00	139.03	95.0	132.08
06/04/2018	DINKA, CATHERINE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	78.79	0.00	78.79	95.0	74.85
06/04/2018	PARKE, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	16.56	0.00	16.56	95.0	15.73
06/04/2018	SMITH, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	103.80	0.00	103.80	95.0	98.61
06/04/2018	FARRAR, KAREN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	45.05	0.00	45.05	95.0	42.80
06/04/2018	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	21.49	0.00	21.49	95.0	20.42
06/04/2018	ROLLINGS, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	30.19	0.00	30.19	95.0	28.68
06/04/2018	DOUTSAS, ALEXANDER	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.12	0.00	6.12	95.0	5.81
06/04/2018	GRAMMATICO, DENNIS	TRANSAMERICA SHORT-TERM BOND C	0.00	9.80	0.00	9.80	95.0	9.31
06/04/2018	GRAMMATICO, SHARON	TRANSAMERICA SHORT-TERM BOND C	0.00	9.81	0.00	9.81	95.0	9.32
06/04/2018	SARKISIAN, NORAY	TRANSAMERICA SHORT-TERM BOND C	0.00	37.83	0.00	37.83	95.0	35.94
06/04/2018	MERRITT, MARY LOU	TRANSAMERICA SHORT-TERM BOND C	0.00	22.66	0.00	22.66	95.0	21.53
06/04/2018	O'CONNOR, FRANCIS	TRANSAMERICA SHORT-TERM BOND C	0.00	62.47	0.00	62.47	95.0	59.35
06/04/2018	EVANS, SANDRA	TRANSAMERICA TACTICAL INCOME C	0.00	4.71	0.00	4.71	95.0	4.47
06/04/2018	EVANS, ROBERT	TRANSAMERICA TACTICAL INCOME C	0.00	5.65	0.00	5.65	95.0	5.37
06/04/2018	PIZZO, ROSOLINO	TRANSAMERICA WMC DIV EQUITY C	0.00	1.90	0.00	1.90	95.0	1.81
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$440,357.36</b>	<b>\$19,593.85</b>	<b>\$0.00</b>	<b>\$19,593.85</b>		<b>\$18,614.43</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
05/31/2018	BYERS, SHARRON	GENWORTH TRAILS	115,829.08	19.30	0.00	19.30	95.0	18.34
05/31/2018	EMBACH, KEVIN	GENWORTH TRAILS	85,601.98	71.33	0.00	71.33	95.0	67.76
05/31/2018	EMBACH, JAMES	GENWORTH TRAILS	85,601.99	71.33	0.00	71.33	95.0	67.76
05/31/2018	ZACK, MAUREEN	GENWORTH TRAILS	85,601.99	71.33	0.00	71.33	95.0	67.76
05/31/2018	HARTOUNIAN, HAGOB	GENWORTH TRAILS	12,215.56	10.18	0.00	10.18	95.0	9.67
05/31/2018	MCCLAIN, DOROTHY	GENWORTH TRAILS	103,594.14	86.33	0.00	86.33	95.0	82.01
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$488,444.74</b>	<b>\$329.80</b>	<b>\$0.00</b>	<b>\$329.80</b>		<b>\$313.30</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$23,405,960.70</b>	<b>\$28,442.67</b>	<b>\$0.00</b>	<b>\$28,442.67</b>		<b>\$27,020.89</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: MARK, 103 KOSANKE #3</u></b>								
05/09/2018	MARCOLA, THADDEUS	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	0.41	0.00	0.41	95.0	0.39
05/09/2018	CAUL, MARTINA	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	95.0	0.20
05/09/2018	CAUL, MARTINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.19	0.00	0.19	95.0	0.18
05/09/2018	GOOSSENS, LORI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.66	0.00	2.66	95.0	2.53
05/09/2018	CAUL, MARTINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.51	0.00	0.51	95.0	0.48
05/09/2018	SCULLY, DOROTHY	AM FUNDS ICA A	0.00	12.71	0.00	12.71	95.0	12.07
05/09/2018	MCCALL, JEFFREY	AM FUNDS SMALLCAP WORLD A	0.00	1.32	0.00	1.32	95.0	1.25
05/09/2018	DENNIS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	6.97	0.00	6.97	95.0	6.62
05/09/2018	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.65	0.00	1.65	95.0	1.57
05/09/2018	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.65	0.00	1.65	95.0	1.57
05/09/2018	DENNIS, STEVEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.51	0.00	5.51	95.0	5.23
05/09/2018	NICOLOSI, ROSE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.65	0.00	2.65	95.0	2.52
05/09/2018	DENNIS, STEVEN	DAVIS NEW YORK VENTURE A	0.00	17.12	0.00	17.12	95.0	16.26
05/09/2018	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	10.64	0.00	10.64	95.0	10.11
05/09/2018	ADAMS, ERIK	FEDERATED HIGH INCOME BOND FUND INC	0.00	0.72	0.00	0.72	95.0	0.68
05/09/2018	ADAMS, ERIK	FEDERATED MARKET OPPORTUNITY A	0.00	2.34	0.00	2.34	95.0	2.22
05/09/2018	GARCIA-SANTOS, VICKY	FIRST EAGLE GLOBAL FUND CL A	0.00	7.32	0.00	7.32	95.0	6.95
05/07/2018	RILEY, ROBERT	FORD MOTOR CO DEL COM PAR	4,428.38	90.00	14.00	76.00	95.0	72.20
05/31/2018	KRASS, DELORES	JNL PERSPECTIVE REWARDS	14,411.13	3.00	0.00	3.00	95.0	2.85
05/09/2018	ADAMS, TONJA	PUTNAM INTERNATIONAL VALUE-A	0.00	0.28	0.00	0.28	95.0	0.27
06/04/2018	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	29.90	0.00	29.90	95.0	28.41
05/24/2018	HOSKIN, JOHN	VICTORY CAPITAL MANAGEMENT	0.00	0.73	0.00	0.73	95.0	0.69
05/24/2018	MARCOLA, ERIKA	VICTORY CAPITAL MANAGEMENT	0.00	1.16	0.00	1.16	95.0	1.10
* MARK, 103 KOSANKE SUBTOTAL *			\$18,839.51	\$199.65	\$14.00	\$185.65		\$176.35
<b><u>BROKER: J117-PETE/JOAN JOHNSON/FLAM #19</u></b>								
/ /	PRICOPIO, VANESSA	JH 529 COLLEGE PORT A	0.00	1.80	0.00	1.80	95.0	1.71
* J117-PETE/JOAN JOHNSON/FLAM SUBTOTAL *			\$0.00	\$1.80	\$0.00	\$1.80		\$1.71
<b><u>BROKER: GROUP, B119 FLAM/BUSSELL #32</u></b>								
05/31/2018	M, OSIP	DELAWARE VALUE A	0.00	1.34	0.00	1.34	95.0	1.27
* GROUP, B119 FLAM/BUSSELL SUBTOTAL *			\$0.00	\$1.34	\$0.00	\$1.34		\$1.27

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: PETER JOHNSON #63</b>								
05/31/2018	ROSS, DAVID	DELAWARE HIGH YIELD OPP A	0.00	25.55	0.00	25.55	95.0	24.27
05/31/2018	KAPELN, J	DELAWARE TAX FREE USA A	0.00	9.27	0.00	9.27	95.0	8.81
05/31/2018	PAPPAS, LARRY	DELAWARE VALUE A	0.00	35.07	0.00	35.07	95.0	33.32
05/31/2018	PAPPAS, JANE	DELAWARE VALUE A	0.00	7.27	0.00	7.27	95.0	6.91
* PETER JOHNSON SUBTOTAL *			\$0.00	\$77.16	\$0.00	\$77.16		\$73.31
*** SPLIT TRANSACTIONS TOTAL ***			\$18,839.51	\$279.95	\$14.00	\$265.95		\$252.64

<b><u>OVERRIDE TRANSACTIONS</u></b>								
<b>BROKER: THOMAS SCHNEIDER #30</b>								
05/30/2018	SCHECK, VICTORIA	SBL ADVISOR PROGRAM	14,859.29	3.10	0.00	3.10	0.0	2.95
05/30/2018	KELLER, MICHELLE	SBL ADVISOR PROGRAM	29,376.60	6.12	0.00	6.12	0.0	5.81
05/30/2018	YOUNG, MARCIA	SBL ADVISOR PROGRAM	25,746.24	5.36	0.00	5.36	0.0	5.09
05/30/2018	GREGORY, RICHARD	SBL ADVISORDESIGNS VA	9,011.74	1.89	0.00	1.89	0.0	1.80
05/30/2018	DEFRANCESCO, MARY	SBL ADVISORDESIGNS VA	7,560.94	1.57	0.00	1.57	0.0	1.49
05/30/2018	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,613.85	0.76	0.00	0.76	0.0	0.72
05/30/2018	HARTONG, DEAN	SBL ADVISORDESIGNS VA	10,877.86	2.28	0.00	2.28	0.0	2.17
05/30/2018	MCCRANN, JAMES	SBL ADVISORDESIGNS VA	6,400.69	1.35	0.00	1.35	0.0	1.28
05/30/2018	BAKER, MARILYN	SBL ADVISORDESIGNS VA	7,111.60	1.47	0.00	1.47	0.0	1.40
05/30/2018	KUBAN, JOANNE	SBL ADVISORDESIGNS VA	20,716.22	4.32	0.00	4.32	0.0	4.10
05/30/2018	HAWK, GLENN	SBL ADVISORDESIGNS VA	5,788.15	1.20	0.00	1.20	0.0	1.14
05/30/2018	FULTON, JOHN	SBL ADVISORDESIGNS VA	8,290.66	1.73	0.00	1.73	0.0	1.64
05/30/2018	GREGORY, CAROL	SBL ADVISORDESIGNS VA	16,967.36	3.53	0.00	3.53	0.0	3.35
05/30/2018	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,763.24	1.62	0.00	1.62	0.0	1.54
05/30/2018	DEFRANCESCO, STEVEN	SBL ADVISORDESIGNS VA	8,326.66	1.73	0.00	1.73	0.0	1.64
05/30/2018	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,253.27	0.68	0.00	0.68	0.0	0.65
05/30/2018	LITTRELL, REX	SBL ADVISORDESIGNS VA	6,655.15	1.39	0.00	1.39	0.0	1.32
05/30/2018	BABIN, WILLIAM	SBL ADVISORDESIGNS VA	132,117.16	27.53	0.00	27.53	0.0	26.15
05/30/2018	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,600.08	1.38	0.00	1.38	0.0	1.31
05/30/2018	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,547.04	1.57	0.00	1.57	0.0	1.49
* THOMAS SCHNEIDER SUBTOTAL *			\$338,583.80	\$70.58	\$0.00	\$70.58		\$67.04
*** OVERRIDE TRANSACTIONS TOTAL ***			\$338,583.80	\$70.58	\$0.00	\$70.58		\$67.04

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
06/15/2018		*EO INS: MONTHY - HEATHER	0.00					-300.00
06/15/2018		*TECHNOLOGY: MONTHLY - HEATHER	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-575.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$27,020.89
Split Transactions	\$252.64
Override Transactions	\$67.04
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<b>\$26,765.57</b>
Year-to-date Gross	<b>\$176,505.27</b>
Year-to-date Earnings	<b>\$178,494.33</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed