



COMMISSION STATEMENT

May 14, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2018	***-**-539I - JALLOH, ABDULAI	AMCAP FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00084394270	BUY 2 Units @29.0800						
04/30/2018	***-**-395P - DIVASTA, ANTHONY	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00059597416	BUY 2 Units @28.0700						
05/01/2018	***-**-975P - COTTONE, MARIA	AMERICAN BALANCED FUND-A	250.00	9.38	0.00	9.38	80.0	7.50
	ACCOUNT #: 00086785798	BUY 9 Units @28.0800						
04/30/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	2.14	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @26.6200						
04/30/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	6.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00085904181	BUY 0 Units @26.6200						
04/30/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @26.6200						
04/30/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 1 Units @26.6200						
05/01/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00089051066	BUY 2 Units @26.6300						
05/01/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00089051066	BUY 0 Units @26.6300						
05/01/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089045192	BUY 1 Units @26.6300						
05/01/2018	***-**-984I - DELUCA, SHARI	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00064868315	BUY 2 Units @26.6300						
05/01/2018	***-**-255U - LIPPA, HARITINI	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000385806	BUY 2 Units @26.6300						
05/01/2018	***-**-312S - DISCH, JASMINE	AMERICAN BALANCED FUND-C	17.61	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00089583477	BUY 1 Units @26.6300						
05/03/2018	***-**-3834 - SWARTWOUT,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089957234	BUY 2 Units @26.4900						
05/03/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00089051066	BUY 0 Units @26.4900						
05/03/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00089051066	BUY 2 Units @26.4900						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/03/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @26.4900	20.00	0.20	0.00	0.20	80.0	0.16
05/03/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089722785	AMERICAN BALANCED FUND-C BUY 3 Units @26.4900	67.20	0.67	0.00	0.67	80.0	0.54
04/30/2018	***-**-4478 - YACONO, JOSEPH ACCOUNT #: 04000820464	AMERICAN FUNDS 2055 TARGET DATE-C BUY 0 Units @18.6900	7.26	0.07	0.00	0.07	80.0	0.06
04/30/2018	***-**-4478 - YACONO, JOSEPH ACCOUNT #: 04000820464	AMERICAN FUNDS 2055 TARGET DATE-C BUY 2 Units @18.6900	43.57	0.44	0.00	0.44	80.0	0.35
04/30/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @10.1900	4.55	0.05	0.00	0.05	80.0	0.04
04/30/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 10 Units @10.1900	105.00	1.05	0.00	1.05	80.0	0.84
04/30/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN MUTUAL FUND-C BUY 0 Units @39.4000	5.00	0.05	0.00	0.05	80.0	0.04
04/30/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	AMERICAN MUTUAL FUND-C BUY 0 Units @39.4000	5.00	0.05	0.00	0.05	80.0	0.04
04/30/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN MUTUAL FUND-C BUY 0 Units @39.4000	1.78	0.02	0.00	0.02	80.0	0.02
05/03/2018	***-**-4392 - GARCIA, MICHELLE ACCOUNT #: 00085764363	CAPITAL INCOME BUILDER CLASS C BUY 0 Units @60.4200	25.00	0.25	0.00	0.25	80.0	0.20
05/01/2018	***-**-975P - COTTONE, MARIA ACCOUNT #: 00086785798	CAPITAL INCOME BUILDER-A BUY 4 Units @63.5100	250.00	9.38	0.00	9.38	80.0	7.50
04/30/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.1900	3.60	0.04	0.00	0.04	80.0	0.03
04/30/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @51.1900	96.40	0.96	0.00	0.96	80.0	0.77
05/01/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.2200	15.00	0.15	0.00	0.15	80.0	0.12
05/01/2018	***-**-048I - DEAN, MARY ACCOUNT #: 00065085393	CAPITAL WORLD GROWTH AND INCOME FUN BUY 10 Units @51.2200	500.00	5.00	0.00	5.00	80.0	4.00
05/03/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @50.9300	15.00	0.15	0.00	0.15	80.0	0.12



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/03/2018	***-**-4392 - GARCIA, MICHELLE	CAPITAL WORLD GROWTH AND INCOME FUN	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00085764363	BUY 0 Units @50.9300						
05/03/2018	***-**-1209 - NATALE, KIMBERLY	CAPITAL WORLD GROWTH AND INCOME FUN	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00085764285	BUY 1 Units @50.9300						
04/30/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @61.4800						
04/30/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	2.25	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @61.4800						
04/30/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00085904203	BUY 0 Units @61.4800						
04/30/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	9.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00085902226	BUY 0 Units @61.4800						
04/30/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	2.40	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @61.4800						
05/01/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @61.6300						
05/01/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @61.6300						
05/03/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @61.1500						
05/03/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @61.1500						
05/03/2018	***-**-4392 - GARCIA, MICHELLE	FUNDAMENTAL INVESTORS-C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00085764363	BUY 0 Units @61.1500						
05/03/2018	***-**-7625 - PRINCE, ADAM	FUNDAMENTAL INVESTORS-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00085764342	BUY 1 Units @61.1500						
04/30/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	1.95	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087236607	BUY 0 Units @22.4800						
04/30/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00087236607	BUY 2 Units @22.4800						
04/30/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089563161	BUY 0 Units @39.6500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/30/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	3.74	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @39.6500						
04/30/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00085902249	BUY 1 Units @39.6500						
05/01/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	17.10	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 00089583477	BUY 0 Units @39.7900						
05/02/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089563161	BUY 0 Units @39.4300						
05/03/2018	***-**-96CL - SCARPULLA,	INVESTMENT COMPANY OF AMERICA CLASS	50.40	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089722785	BUY 1 Units @39.3100						
05/03/2018	***-**-1209 - NATALE, KIMBERLY	INVESTMENT COMPANY OF AMERICA CLASS	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00085764285	BUY 1 Units @39.3100						
04/30/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	2.62	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @42.5100						
04/30/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @42.5100						
04/30/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @42.5100						
04/30/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @42.5100						
04/30/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @42.5100						
04/30/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @42.5100						
05/01/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @42.5700						
05/01/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @42.5700						
05/03/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @42.3600						
05/03/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @42.3600						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/30/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	NEW WORLD FUND-C BUY 0 Units @64.9100	1.80	0.02	0.00	0.02	80.0	0.02
04/30/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	NEW WORLD FUND-C BUY 1 Units @64.9100	48.20	0.48	0.00	0.48	80.0	0.38
04/30/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW WORLD FUND-C BUY 0 Units @64.9100	2.63	0.03	0.00	0.03	80.0	0.02
04/30/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW WORLD FUND-C BUY 0 Units @64.9100	21.00	0.21	0.00	0.21	80.0	0.17
04/30/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @64.9100	10.50	0.11	0.00	0.11	80.0	0.09
04/30/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @64.9100	2.80	0.03	0.00	0.03	80.0	0.02
05/07/2018	***-**-20IN - GIANGRECO, TERRY ACCOUNT #: LSR010603	ROCH. LIMITED TERM NEW YORK MUNICI BUY 3510 Units @2.8500	10,003.00	50.01	3.00	47.01	80.0	37.61
04/30/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 0 Units @49.7200	1.80	0.02	0.00	0.02	80.0	0.02
04/30/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 1 Units @49.7200	48.20	0.48	0.00	0.48	80.0	0.38
05/01/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.8500	20.40	0.20	0.00	0.20	80.0	0.16
05/01/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.8500	2.00	0.02	0.00	0.02	80.0	0.02
05/03/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.8400	2.00	0.02	0.00	0.02	80.0	0.02
05/03/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.8400	20.40	0.20	0.00	0.20	80.0	0.16
04/30/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5200	3.20	0.03	0.00	0.03	80.0	0.02
04/30/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 1 Units @12.5200	9.00	0.09	0.00	0.09	80.0	0.07
04/30/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5200	3.63	0.04	0.00	0.04	80.0	0.03



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/30/2018	***-**-2163 - TRAPANI, JAMES	THE BOND FUND OF AMERICA-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 2 Units @12.5200						
04/30/2018	***-**-995P - ARBORE, CARL	THE GROWTH FUND OF AMERICA-A	50.00	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 00081166789	BUY 1 Units @53.6200						
05/01/2018	***-**-42IR - ADAMS, GRACE	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00073505114	BUY 1 Units @55.1200						
04/30/2018	***-**-482I - MARCONI, TODD	THE GROWTH FUND OF AMERICA-C	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089570936	BUY 0 Units @47.8700						
04/30/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @47.8700						
04/30/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @47.8700						
04/30/2018	***-**-05IN - RANDALL, ADAM	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00085941585	BUY 1 Units @47.8700						
04/30/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @47.8700						
04/30/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @47.8700						
04/30/2018	***-**-5945 - INFANTINO,	THE GROWTH FUND OF AMERICA-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 04000722726	BUY 8 Units @47.8700						
05/01/2018	***-**-312S - DISCH, JASMINE	THE GROWTH FUND OF AMERICA-C	17.09	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 00089583477	BUY 0 Units @48.0600						
05/01/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @48.0600						
05/01/2018	***-**-984I - DELUCA, SHARI	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00064868315	BUY 1 Units @48.0600						
05/03/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @47.6500						
05/03/2018	***-**-4392 - GARCIA, MICHELLE	THE GROWTH FUND OF AMERICA-C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00085764363	BUY 1 Units @47.6500						
05/03/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	50.40	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089722785	BUY 1 Units @47.6500						



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BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/30/2018	***-**-395P - DIVASTA, ANTHONY	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00059597416	BUY 1 Units @41.9800						
05/01/2018	***-**-545P - VISCA, ANGELO	THE INVESTMENT COMPANY OF AMERICA-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00063056262	BUY 2 Units @41.6800						
05/01/2018	***-**-9024 - HACKETT, ETHAN	THE NEW ECONOMY FUND-C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00088123299	BUY 1 Units @42.6100						
04/30/2018	***-**-278J - GUERRIERI, JOHN	THE TAX-EXEMPT BOND FUND OF AMERICA	2,000.00	20.00	0.00	20.00	80.0	16.00
	ACCOUNT #: 00084395514	BUY 157 Units @12.7400						
04/30/2018	***-**-75UG - GUERRIERI, SOFIA	THE TAX-EXEMPT BOND FUND OF AMERICA	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00085399166	BUY 20 Units @12.7400						
04/30/2018	***-**-51IN - GUERRIERI, KIM	THE TAX-EXEMPT BOND FUND OF AMERICA	1,000.00	10.00	0.00	10.00	80.0	8.00
	ACCOUNT #: 00085399151	BUY 78 Units @12.7400						
05/01/2018	***-**-44UG - GUERRIERI,	THE TAX-EXEMPT BOND FUND OF AMERICA	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00085399145	BUY 20 Units @12.7400						
05/01/2018	***-**-935J - RUSH, DONNA	THE TAX-EXEMPT BOND FUND OF AMERICA	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00085296459	BUY 4 Units @12.7400						
05/07/2018	***-**-20IN - GIANGRECO, TERRY	THE TAX-EXEMPT BOND FUND OF AMERICA	10,003.00	100.03	3.00	97.03	80.0	77.62
	ACCOUNT #: LSR010603	BUY 781 Units @12.8000						
05/01/2018	***-**-545P - VISCA, ANGELO	WASHINGTON MUTUAL INVESTORS FUND-A	50.00	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 00063056262	BUY 1 Units @46.9300						
04/30/2018	***-**-482I - MARCONI, TODD	WASHINGTON MUTUAL INVESTORS FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @44.6600						
05/01/2018	***-**-984I - DELUCA, SHARI	WASHINGTON MUTUAL INVESTORS FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00064868315	BUY 1 Units @44.6900						
* MUTUAL FUNDS SUBTOTAL *			\$27,215.75	\$244.19	\$6.00	\$238.19		\$190.53
PRODUCT CATEGORY: 529 PLAN								
05/01/2018	***-**-7255 - LIPPA, HARITINI	AMERICAN BALANCED FUND-529C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087198137	BUY 2 Units @26.7500						
05/01/2018	***-**-7255 - LIPPA, HARITINI	AMERICAN BALANCED FUND-529C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087198121	BUY 2 Units @26.7500						
05/14/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	188.52	2.91	0.00	2.91	80.0	2.33
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						



COMMISSION STATEMENT

May 14, 2018

FREDERIC SCARPULLA
400 West Metro Park
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: 529 PLAN (continued)								
05/14/2018	***-**-6352 - GINERVA, ENZA	NYA JPM 529 EQUITY INCOME PORTF C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 77766297801	BUY 0 Units @0.0000						
05/14/2018	***-**-015P - FANTAUZZO,	NYA JPM 529 REALTY INCOME PORTF C	215.00	2.15	0.00	2.15	80.0	1.72
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$553.52	\$6.56	\$0.00	\$6.56		\$5.25
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/14/2018	***-**-264I - SIDOTI, LAURA	EATON VANCE MF TRAILS	0.00	9.93	0.00	9.93	80.0	7.94
	ACCOUNT #: 0104134960	BUY 0 Units @0.0000						
05/14/2018	***-**-96CL - SCARPULLA,	EATON VANCE MF TRAILS	0.00	9.48	0.00	9.48	80.0	7.58
	ACCOUNT #: 5054692727	BUY 0 Units @0.0000						
05/07/2018	***-**-4550 - JONES, JEFFREY	FA 529 PORT 2019-CL P	0.00	7.57	0.00	7.57	80.0	6.06
	ACCOUNT #: 00529631491	BUY 0 Units @0.0000						
05/07/2018	***-**-7278 - GUERRIERI DDS,	FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	0.69	0.00	0.69	80.0	0.55
	ACCOUNT #: 00655383206	BUY 0 Units @0.0000						
05/07/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV FREEDOM 2020-CL C	0.00	1.71	0.00	1.71	80.0	1.37
	ACCOUNT #: 01475410302	BUY 0 Units @0.0000						
05/07/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV INTL DISCOVERY-CL A	0.00	1.65	0.00	1.65	80.0	1.32
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
05/07/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	3.53	0.00	3.53	80.0	2.82
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
05/07/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV MID CAP II-CL A	0.00	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
05/07/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV NEW INSIGHTS-CL A	0.00	4.60	0.00	4.60	80.0	3.68
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
05/07/2018	***-**-7352 - GEISELHART,	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	29.98	0.00	29.98	80.0	23.98
	ACCOUNT #: 00618796254	BUY 0 Units @0.0000						
05/07/2018	***-**-5703 - DIODATO,	FIDELITY ADV STRATEGIC INCOME CL C	0.00	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 03900218260	BUY 0 Units @0.0000						
05/07/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV TECHNOLOGY CL A	0.00	4.58	0.00	4.58	80.0	3.66
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
05/07/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADVISOR FREEDOM 2020 CL A	0.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 01475410302	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/30/2018	***-**-27UG - RYAN, JOHN	FRANKLIN GROWTH FUND CLASS A	0.00	9.25	0.00	9.25	80.0	7.40
	ACCOUNT #: 000060171830130	BUY 0 Units @0.0000						
04/30/2018	***-**-45UG - SASSONE, RENEE	FRK GRWTH FND - CL C	0.00	4.26	0.00	4.26	80.0	3.41
	ACCOUNT #: 000000001261522	BUY 0 Units @0.0000						
04/30/2018	***-**-4956 - COOPER, KELI	FT MODERATE TARGET FUND - CLASS A	0.00	0.85	0.00	0.85	80.0	0.68
	ACCOUNT #: 000000000714840	BUY 0 Units @0.0000						
05/03/2018	***-**-572I - CRUZ, FELIX	GOLDMAN SACHS ASSET ALLOC GR & INC	0.00	4.81	0.00	4.81	80.0	3.85
	ACCOUNT #: 00519076596	BUY 0 Units @0.0000						
05/14/2018	***-**-63IR - DIAMOND, ANN	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	0.81	0.00	0.81	80.0	0.65
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
04/30/2018	***-**-45UG - SASSONE, RENEE	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	1.22	0.00	1.22	80.0	0.98
	ACCOUNT #: 000000001981075	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$100.70	\$0.00	\$100.70		\$80.56
*** BROKER TRANSACTIONS TOTAL ***			\$27,769.27	\$351.45	\$6.00	\$345.45		\$276.34

BROKER COMMISSION TOTALS

Broker Transactions	\$276.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$276.34
Year-to-date Earnings	\$77,594.31