



COMMISSION STATEMENT

January 22, 2018

BARRY BRESIN

11 Walnut Hill Drive
Penfield, NY 14526

BROKER #: 9

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/01/2018	***-**-805P - HALM, MATILDA	AIM CHARTER FUND - CLASS A	0.00	86.34	0.00	86.34	80.0	69.07
	ACCOUNT #: 01001312723	BUY 0 Units @0.0000						
01/01/2018	***-**-805P - HALM, MATILDA	AIM MID-CAP EQUITY FUND CLASS A	0.00	13.95	0.00	13.95	80.0	11.16
	ACCOUNT #: 01001312723	BUY 0 Units @0.0000						
01/08/2018	***-**-716J - STERN, ROBERT	ALLIANCEBERNSTEIN MUNI INCOME-NY A	0.00	10.21	0.00	10.21	80.0	8.17
	ACCOUNT #: 04892903435	BUY 0 Units @0.0000						
01/02/2018	***-**-13IN - CLEMENT, SANDRA	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	16.84	0.00	16.84	80.0	13.47
	ACCOUNT #: 00510129039	BUY 0 Units @0.0000						
01/22/2018	***-**-717I - MARSJANIK,	RESOURCE REAL ESTATE TRAILS	0.00	22.40	0.00	22.40	80.0	17.92
		BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$149.74	\$0.00	\$149.74		\$119.79
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$149.74	\$0.00	\$149.74		\$119.79

BROKER COMMISSION TOTALS

Broker Transactions	\$119.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$119.79
Year-to-date Earnings	\$5,443.44