

**COMMISSION STATEMENT****January 31, 2018**

**DAMIAN DERING**  
165 Culver Blvd  
Suite A  
Playa Del Rey, CA 90293

**BROKER #: D127**  
**BRANCH:** DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/16/2018	CHUCK, LAURENCE	ASSETMARK	487.50	0.43	0.00	0.43	90.0	0.39
01/16/2018	CHUCK, LAURENCE	ASSETMARK	-487.50	-0.42	0.00	-0.42	90.0	-0.38
01/16/2018	JOHNSON, MORGAN	ASSETMARK	1,000.00	0.63	0.00	0.63	90.0	0.57
01/16/2018	O'CONNELL, MAUREEN	ASSETMARK	-1,225.00	-0.61	0.00	-0.61	90.0	-0.55
01/16/2018	O'CONNELL, MAUREEN	ASSETMARK	-3,240.00	-1.52	0.00	-1.52	90.0	-1.37
01/16/2018	O'CONNELL, MAUREEN	ASSETMARK	4,778.60	2.41	0.00	2.41	90.0	2.17
01/16/2018	PEARSON, ROBERT	ASSETMARK	1,000.00	0.54	0.00	0.54	90.0	0.49
01/16/2018	SECRIST HOLDINGS LLC	ASSETMARK	4,166.22	2.14	0.00	2.14	90.0	1.93
01/18/2018	AGUILUZ, LUCILLE	ASSETMARK	140,614.97	438.23	0.00	438.23	90.0	394.41
01/18/2018	AGUILUZ, LUCILLE	ASSETMARK	121,835.15	379.70	0.00	379.70	90.0	341.73
01/18/2018	AMIN, CHIRAG	ASSETMARK	128,745.62	232.04	0.00	232.04	90.0	208.84
01/18/2018	AMIN, CHIRAG	ASSETMARK	2,575,109.94	4,112.87	0.00	4,112.87	90.0	3,701.58
01/18/2018	ARMAGANIAN, ANDREA	ASSETMARK	310,409.61	765.16	0.00	765.16	90.0	688.64
01/18/2018	ARMAGANIAN, ANDREA	ASSETMARK	48,607.71	149.77	0.00	149.77	90.0	134.79
01/18/2018	BAGAMASPAD, FELICITAS	ASSETMARK	100,689.77	310.25	0.00	310.25	90.0	279.23
01/18/2018	BAUTISTA, FEDERICO	ASSETMARK	152,233.34	469.07	0.00	469.07	90.0	422.16
01/18/2018	BAUTISTA, FEDERICO	ASSETMARK	70,459.46	217.10	0.00	217.10	90.0	195.39
01/18/2018	BEELE, FRANCES	ASSETMARK	7,774.87	23.96	0.00	23.96	90.0	21.56
01/18/2018	BOLENE, JAMES	ASSETMARK	80,979.13	249.52	0.00	249.52	90.0	224.57
01/18/2018	CASANOVA, JESSE	ASSETMARK	536,125.78	1,321.55	0.00	1,321.55	90.0	1,189.40
01/18/2018	CASANOVA, KENDRA	ASSETMARK	54,784.43	182.31	0.00	182.31	90.0	164.08
01/18/2018	CASANOVA, KENDRA	ASSETMARK	25,448.14	84.69	0.00	84.69	90.0	76.22
01/18/2018	CHUCK, LAURENCE	ASSETMARK	31,393.25	96.73	0.00	96.73	90.0	87.06
01/18/2018	CHUCK, LAURENCE	ASSETMARK	50,515.44	155.65	0.00	155.65	90.0	140.09
01/18/2018	CHUCK, LAURENCE	ASSETMARK	349,317.91	1,076.34	0.00	1,076.34	90.0	968.71
01/18/2018	COHEN, JANET	ASSETMARK	7,430.00	23.60	0.00	23.60	90.0	21.24

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/18/2018	COHEN, JANET	ASSETMARK	89,391.26	110.17	0.00	110.17	90.0	99.15
01/18/2018	COHEN, JANET	ASSETMARK	119,404.80	147.17	0.00	147.17	90.0	132.45
01/18/2018	CROCKETT, PATRICIA	ASSETMARK	106,930.92	332.23	0.00	332.23	90.0	299.01
01/18/2018	CROCKETT, PATRICIA	ASSETMARK	97,903.66	304.18	0.00	304.18	90.0	273.76
01/18/2018	CROCKETT, PATRICIA	ASSETMARK	66,821.95	207.61	0.00	207.61	90.0	186.85
01/18/2018	DAINES, AUSTYN	ASSETMARK	21,270.46	70.78	0.00	70.78	90.0	63.70
01/18/2018	DENNIS-ABILLA, CHRISTINE	ASSETMARK	16,097.38	53.57	0.00	53.57	90.0	48.21
01/18/2018	DENNIS-ABILLA, CHRISTINE	ASSETMARK	15,960.80	48.82	0.00	48.82	90.0	43.94
01/18/2018	DENNIS-ABILLA, CHRISTINE	ASSETMARK	285,890.31	874.39	0.00	874.39	90.0	786.95
01/18/2018	DENNIS-ABILLA, CHRISTINE	ASSETMARK	14,926.74	45.65	0.00	45.65	90.0	41.09
01/18/2018	DENNIS-ABILLA, CHRISTINE	ASSETMARK	13,747.30	42.05	0.00	42.05	90.0	37.85
01/18/2018	DERING, WILLIAM	ASSETMARK	3,958.69	13.17	0.00	13.17	90.0	11.85
01/18/2018	DERING, WILLIAM	ASSETMARK	49,209.34	163.76	0.00	163.76	90.0	147.38
01/18/2018	DERING, WILLIAM	ASSETMARK	77,775.72	258.82	0.00	258.82	90.0	232.94
01/18/2018	ENCINA, ANTONIO	ASSETMARK	8,324.97	25.65	0.00	25.65	90.0	23.09
01/18/2018	ENCINA, ANTONIO	ASSETMARK	44,806.98	138.06	0.00	138.06	90.0	124.25
01/18/2018	ENCINA, ANTONIO	ASSETMARK	222,574.38	685.81	0.00	685.81	90.0	617.23
01/18/2018	ERICKSON, KAY	ASSETMARK	21,054.65	64.87	0.00	64.87	90.0	58.38
01/18/2018	ERICKSON, KAY	ASSETMARK	125,690.42	309.83	0.00	309.83	90.0	278.85
01/18/2018	ESC INSURANCE CO	ASSETMARK	221,847.26	702.83	0.00	702.83	90.0	632.55
01/18/2018	FARFAN, FRANK	ASSETMARK	21,469.38	71.44	0.00	71.44	90.0	64.30
01/18/2018	FARFAN, FRANK	ASSETMARK	8,344.28	27.77	0.00	27.77	90.0	24.99
01/18/2018	FARFAN, FRANK	ASSETMARK	64,378.02	214.23	0.00	214.23	90.0	192.81
01/18/2018	FARFAN, FRANK	ASSETMARK	45,268.88	150.64	0.00	150.64	90.0	135.58
01/18/2018	GIBSON, PATRICK	ASSETMARK	171,591.05	422.97	0.00	422.97	90.0	380.67
01/18/2018	GIBSON, PATRICK	ASSETMARK	324,920.33	800.93	0.00	800.93	90.0	720.84
01/18/2018	GIBSON, PATRICK	ASSETMARK	126,944.25	312.92	0.00	312.92	90.0	281.63
01/18/2018	GIBSON, PATRICK	ASSETMARK	75,357.73	185.76	0.00	185.76	90.0	167.18
01/18/2018	GREEN, ROY	ASSETMARK	299,602.69	738.52	0.00	738.52	90.0	664.67
01/18/2018	HAVENS, JACK	ASSETMARK	22,736.39	75.66	0.00	75.66	90.0	68.09
01/18/2018	HAVENS, JACK	ASSETMARK	91,355.41	281.49	0.00	281.49	90.0	253.34
01/18/2018	HAVENS, JACK	ASSETMARK	17,890.86	55.13	0.00	55.13	90.0	49.62
01/18/2018	HOLLINGSWORTH, MELISSA	ASSETMARK	29,005.05	89.37	0.00	89.37	90.0	80.43

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/18/2018	HOLLINGSWORTH, MELISSA	ASSETMARK	19,631.78	60.49	0.00	60.49	90.0	54.44
01/18/2018	JOHNSON, MORGAN	ASSETMARK	14,691.56	48.89	0.00	48.89	90.0	44.00
01/18/2018	JOHNSON, MORGAN	ASSETMARK	6,240.17	20.77	0.00	20.77	90.0	18.69
01/18/2018	JOHNSON, MORGAN	ASSETMARK	8,306.25	27.64	0.00	27.64	90.0	24.88
01/18/2018	KUSUNOKI, MIKE	ASSETMARK	13,482.30	41.54	0.00	41.54	90.0	37.39
01/18/2018	KUSUNOKI, MIKE	ASSETMARK	59,615.58	183.69	0.00	183.69	90.0	165.32
01/18/2018	KUSUNOKI, MIKE	ASSETMARK	9,812.53	30.23	0.00	30.23	90.0	27.21
01/18/2018	KUSUNOKI, MIKE	ASSETMARK	50,141.23	154.50	0.00	154.50	90.0	139.05
01/18/2018	LICHTENSTEIN, LOUISE	ASSETMARK	173,160.39	522.45	0.00	522.45	90.0	470.21
01/18/2018	LICHTENSTEIN, LOUISE	ASSETMARK	232,243.88	700.72	0.00	700.72	90.0	630.65
01/18/2018	MITSCH, JAY	ASSETMARK	12,996.37	43.25	0.00	43.25	90.0	38.93
01/18/2018	MITSCH, JAY	ASSETMARK	5,529.03	18.40	0.00	18.40	90.0	16.56
01/18/2018	MITSCH, JAY	ASSETMARK	7,415.65	24.68	0.00	24.68	90.0	22.21
01/18/2018	MOORE, JODIE	ASSETMARK	9,987.57	30.77	0.00	30.77	90.0	27.69
01/18/2018	MOORE, JODIE	ASSETMARK	18,174.60	56.00	0.00	56.00	90.0	50.40
01/18/2018	MOORE, JODIE	ASSETMARK	35,655.66	109.86	0.00	109.86	90.0	98.87
01/18/2018	MORTIMER, RICHARD	ASSETMARK	238,778.85	746.60	0.00	746.60	90.0	671.94
01/18/2018	MORTIMER, RICHARD	ASSETMARK	14,498.04	45.33	0.00	45.33	90.0	40.80
01/18/2018	O'CONNELL, MAUREEN	ASSETMARK	55,221.18	160.26	0.00	160.26	90.0	144.23
01/18/2018	O'CONNELL, MAUREEN	ASSETMARK	217,724.00	631.88	0.00	631.88	90.0	568.69
01/18/2018	O'CONNELL, MAUREEN	ASSETMARK	169,787.11	492.75	0.00	492.75	90.0	443.48
01/18/2018	O'CONNELL, MAUREEN	ASSETMARK	129,051.66	374.53	0.00	374.53	90.0	337.08
01/18/2018	PON, AGNES	ASSETMARK	28,299.14	87.20	0.00	87.20	90.0	78.48
01/18/2018	PRIETO, DANA	ASSETMARK	136,519.15	454.30	0.00	454.30	90.0	408.87
01/18/2018	RICHMOND, JACOB	ASSETMARK	2,244.94	7.47	0.00	7.47	90.0	6.72
01/18/2018	RICHMOND, JACOB	ASSETMARK	2,192.44	7.30	0.00	7.30	90.0	6.57
01/18/2018	ROBELO, FRANSISCO	ASSETMARK	126,700.28	390.40	0.00	390.40	90.0	351.36
01/18/2018	PEARSON, ROBERT	ASSETMARK	160,521.85	494.61	0.00	494.61	90.0	445.15
01/18/2018	PEARSON, ROBERT	ASSETMARK	182,465.90	562.22	0.00	562.22	90.0	506.00
01/18/2018	PEARSON, ROBERT	ASSETMARK	130,957.37	403.51	0.00	403.51	90.0	363.16
01/18/2018	PEARSON, ROBERT	ASSETMARK	22,639.11	67.55	0.00	67.55	90.0	60.80
01/18/2018	RTJ MANAGEMENT	ASSETMARK	70,286.04	173.26	0.00	173.26	90.0	155.93
01/18/2018	RTJ MANAGEMENT	ASSETMARK	563,819.26	1,389.81	0.00	1,389.81	90.0	1,250.83

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/18/2018	RTJ MANAGEMENT	ASSETMARK	336,628.05	829.79	0.00	829.79	90.0	746.81
01/18/2018	RTJ MANAGEMENT	ASSETMARK	202,275.88	498.61	0.00	498.61	90.0	448.75
01/18/2018	RUSSELL, RONALD	ASSETMARK	28,297.91	69.75	0.00	69.75	90.0	62.78
01/18/2018	RUSSELL, RONALD	ASSETMARK	243,656.97	600.61	0.00	600.61	90.0	540.55
01/18/2018	RUSSELL, RYAN	ASSETMARK	34,037.77	104.88	0.00	104.88	90.0	94.39
01/18/2018	RUSSELL, RYAN	ASSETMARK	2,286.88	7.05	0.00	7.05	90.0	6.35
01/18/2018	SECRIST-ROUSE TRUST, RUTH	ASSETMARK	124,098.98	195.64	0.00	195.64	90.0	176.08
01/18/2018	SCANE, DONALD	ASSETMARK	37,777.11	107.44	0.00	107.44	90.0	96.70
01/18/2018	SCANE, DONALD	ASSETMARK	205,444.22	633.03	0.00	633.03	90.0	569.73
01/18/2018	SCANE, DONALD	ASSETMARK	369,964.14	1,139.95	0.00	1,139.95	90.0	1,025.96
01/18/2018	SECRIST HOLDINGS LLC	ASSETMARK	1,698,567.17	4,186.97	0.00	4,186.97	90.0	3,768.27
01/18/2018	SECRIST HOLDINGS LLC	ASSETMARK	943,215.63	2,325.03	0.00	2,325.03	90.0	2,092.53
01/18/2018	SECRIST-ROUSE TRUST, RUTH	ASSETMARK	100,749.48	310.43	0.00	310.43	90.0	279.39
01/18/2018	SIMMONS, SANDRA	ASSETMARK	129,712.33	319.74	0.00	319.74	90.0	287.77
01/18/2018	SIMMONS, SANDRA	ASSETMARK	109,175.08	269.12	0.00	269.12	90.0	242.21
01/18/2018	SIMMONS, SANDRA	ASSETMARK	25,142.72	61.98	0.00	61.98	90.0	55.78
01/18/2018	SIMMONS, SANDRA	ASSETMARK	201,159.45	495.86	0.00	495.86	90.0	446.27
01/18/2018	LILLARD FAMILY TRUST	ASSETMARK	488,767.51	1,506.01	0.00	1,506.01	90.0	1,355.41
01/18/2018	VENUS, FELY	ASSETMARK	24,618.68	81.92	0.00	81.92	90.0	73.73
01/26/2018	SCANE, DARYL	PACIFIC FINANCIAL	2,218.32	1.41	0.00	1.41	90.0	1.27
01/26/2018	SCANE, DARYL	PACIFIC FINANCIAL	45,511.02	33.82	0.00	33.82	90.0	30.44
01/26/2018	MITSCH, BRUCE	PACIFIC FINANCIAL	21,261.00	9.03	0.00	9.03	90.0	8.13
01/26/2018	SCANE, DARYL	PACIFIC FINANCIAL	58,172.57	24.70	0.00	24.70	90.0	22.23
<b>* RIA SUBTOTAL *</b>			<b>\$16,082,936.39</b>	<b>\$40,694.02</b>	<b>\$0.00</b>	<b>\$40,694.02</b>		<b>\$36,624.70</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$16,082,936.39</b>	<b>\$40,694.02</b>	<b>\$0.00</b>	<b>\$40,694.02</b>		<b>\$36,624.70</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$36,624.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$36,624.70
Year-to-date Gross	\$41,386.28
Year-to-date Earnings	\$37,247.73