



COMMISSION STATEMENT

July 02, 2018

ALFREDO BALLESTEROS
21830 Walnut Street
Wildmar, CA 92595

BROKER #: B10
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/20/2018	***-**-51VL - BALLESTEROS, VL	PACIFIC LIFE DTCC RENEWAL	157.00	1.57	0.00	1.57	80.0	1.26
	ACCOUNT #: VP60123270	BUY 0 Units @0.0000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$157.00	\$1.57	\$0.00	\$1.57		\$1.26
<u>PRODUCT CATEGORY: RIA</u>								
07/02/2018	***-**-16FB - DOWNS-MINETREE,	LIFEMARK INVESTMENT ADVISORY FEE	104.44	104.44	0.00	104.44	80.0	83.55
	ACCOUNT #: 925310184	BUY 0 Units @0.0000						
07/02/2018	***-**-55FB - LAROCK, ANDREA	LIFEMARK INVESTMENT ADVISORY FEE	34.41	34.41	0.00	34.41	80.0	27.53
	ACCOUNT #: 925394309	BUY 0 Units @0.0000						
07/02/2018	***-**-55FB - LAROCK, ANDREA	LIFEMARK INVESTMENT ADVISORY FEE	55.75	55.75	0.00	55.75	80.0	44.60
	ACCOUNT #: 925396270	BUY 0 Units @0.0000						
07/02/2018	***-**-43FB - GOLLINGER, DAVID	LIFEMARK INVESTMENT ADVISORY FEE	181.59	181.59	0.00	181.59	80.0	145.27
	ACCOUNT #: 925429570	BUY 0 Units @0.0000						
07/02/2018	***-**-90FB - DUARTE, BERTHA	LIFEMARK INVESTMENT ADVISORY FEE	33.82	33.82	0.00	33.82	80.0	27.06
	ACCOUNT #: 925332301	BUY 0 Units @0.0000						
07/02/2018	***-**-20FB - TOWNSEND,	LIFEMARK INVESTMENT ADVISORY FEE	21.66	21.66	0.00	21.66	80.0	17.33
	ACCOUNT #: 925348380	BUY 0 Units @0.0000						
07/02/2018	***-**-20FB - TOWNSEND,	LIFEMARK INVESTMENT ADVISORY FEE	38.87	38.87	0.00	38.87	80.0	31.10
	ACCOUNT #: 925348773	BUY 0 Units @0.0000						
07/02/2018	***-**-11FB - FRIEDLANDER,	LIFEMARK INVESTMENT ADVISORY FEE	19.85	19.85	0.00	19.85	80.0	15.88
	ACCOUNT #: 925348770	BUY 0 Units @0.0000						
07/02/2018	***-**-11FB - FRIEDLANDER,	LIFEMARK INVESTMENT ADVISORY FEE	119.77	119.77	0.00	119.77	80.0	95.82
	ACCOUNT #: 925348711	BUY 0 Units @0.0000						
07/02/2018	***-**-99FB - GARCIA, JENNY	LIFEMARK INVESTMENT ADVISORY FEE	131.65	131.65	0.00	131.65	80.0	105.32
	ACCOUNT #: 925348382	BUY 0 Units @0.0000						
07/02/2018	***-**-87FB - GARCIA, ONORIO	LIFEMARK INVESTMENT ADVISORY FEE	46.13	46.13	0.00	46.13	80.0	36.90
	ACCOUNT #: 925348386	BUY 0 Units @0.0000						
07/02/2018	***-**-38FB - WUSTHOF, PAMELA	LIFEMARK INVESTMENT ADVISORY FEE	21.69	21.69	0.00	21.69	80.0	17.35
	ACCOUNT #: 925353396	BUY 0 Units @0.0000						
07/02/2018	***-**-38FB - WUSTHOF, PAMELA	LIFEMARK INVESTMENT ADVISORY FEE	23.72	23.72	0.00	23.72	80.0	18.98
	ACCOUNT #: 925353402	BUY 0 Units @0.0000						
07/02/2018	***-**-38FB - WUSTHOF, PAMELA	LIFEMARK INVESTMENT ADVISORY FEE	13.15	13.15	0.00	13.15	80.0	10.52
	ACCOUNT #: 925353393	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
07/02/2018	***-**-48FB - WAY, JANET ACCOUNT #: 925367463	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.75	23.75	0.00	23.75	80.0	19.00
07/02/2018	***-**-98FB - RUFFING, JODIE ACCOUNT #: 925378876	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	14.77	14.77	0.00	14.77	80.0	11.82
07/02/2018	***-**-98FB - RUFFING, JODIE ACCOUNT #: 925379613	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	65.63	65.63	0.00	65.63	80.0	52.50
07/02/2018	***-**-54FB - COOK, DENNIS ACCOUNT #: 925402359	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	15.28	15.28	0.00	15.28	80.0	12.22
07/02/2018	***-**-54FB - COOK, DENNIS ACCOUNT #: 925402364	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	8.95	8.95	0.00	8.95	80.0	7.16
07/02/2018	***-**-97FB - GASTON-PIERCE, ACCOUNT #: 925305856	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	25.05	25.05	0.00	25.05	80.0	20.04
07/02/2018	***-**-61FB - LONGSWORTH, CITA ACCOUNT #: 925319593	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	31.23	31.23	0.00	31.23	80.0	24.98
07/02/2018	***-**-46FB - HAYWOOD, CARLA ACCOUNT #: 925320981	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	358.69	358.69	0.00	358.69	80.0	286.95
07/02/2018	***-**-46FB - HAYWOOD, CARLA ACCOUNT #: 925320095	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	19.45	19.45	0.00	19.45	80.0	15.56
07/02/2018	***-**-46FB - HAYWOOD, CARLA ACCOUNT #: 925320080	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	42.82	42.82	0.00	42.82	80.0	34.26
07/02/2018	***-**-85FB - HAYWOOD, JOHN ACCOUNT #: 925320991	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	177.17	177.17	0.00	177.17	80.0	141.74
07/02/2018	***-**-33FB - CLAY, MARIA ACCOUNT #: 925323203	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	82.35	82.35	0.00	82.35	80.0	65.88
07/02/2018	***-**-21FB - VALLE, NORMA ACCOUNT #: 925331129	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	8.41	8.41	0.00	8.41	80.0	6.73
07/02/2018	***-**-21FB - VALLE, NORMA ACCOUNT #: 925331134	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.42	23.42	0.00	23.42	80.0	18.74
07/02/2018	***-**-48FB - WONG, WALTER ACCOUNT #: 925406630	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	54.53	54.53	0.00	54.53	80.0	43.62
07/02/2018	***-**-48FB - WONG, WALTER ACCOUNT #: 925406639	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	40.22	40.22	0.00	40.22	80.0	32.18



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: RIA (continued)								
07/02/2018	***-**-52FB - NGUYEN, VICKIE ACCOUNT #: 925329024	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	97.53	97.53	0.00	97.53	80.0	78.02
07/02/2018	***-**-52FB - NGUYEN, VICKIE ACCOUNT #: 925344068	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	6.98	6.98	0.00	6.98	80.0	5.58
07/02/2018	***-**-61FB - VALLE, EDUARDO ACCOUNT #: 925332341	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	4.78	4.78	0.00	4.78	80.0	3.82
07/02/2018	***-**-90FB - MAI, JOHNNY ACCOUNT #: 925336235	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	37.48	37.48	0.00	37.48	80.0	29.98
07/02/2018	***-**-90FB - MAI, JOHNNY ACCOUNT #: 925344043	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	9.27	9.27	0.00	9.27	80.0	7.42
07/02/2018	***-**-62FB - METZGER, JEANNE ACCOUNT #: 925344624	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	21.92	21.92	0.00	21.92	80.0	17.54
07/02/2018	***-**-31FB - WATSON, ACCOUNT #: 925362048	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	73.71	73.71	0.00	73.71	80.0	58.97
07/02/2018	***-**-14FB - DE LOS SANTOS, ACCOUNT #: 925435795	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	9.21	9.21	0.00	9.21	80.0	7.37
07/02/2018	***-**-14FB - DE LOS SANTOS, ACCOUNT #: 925446200	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	144.72	144.72	0.00	144.72	80.0	115.78
07/02/2018	***-**-14FB - DE LOS SANTOS, ACCOUNT #: 925435799	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	5.93	5.93	0.00	5.93	80.0	4.74
07/02/2018	***-**-14FB - DE LOS SANTOS, ACCOUNT #: 925435789	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	16.50	16.50	0.00	16.50	80.0	13.20
07/02/2018	***-**-57FB - LARKIN, MICHAEL ACCOUNT #: 925427487	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	58.84	58.84	0.00	58.84	80.0	47.07
07/02/2018	***-**-57FB - LARKIN, MICHAEL ACCOUNT #: 925409229	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	57.26	57.26	0.00	57.26	80.0	45.81
07/02/2018	***-**-18FB - LARKIN, KAREN ACCOUNT #: 925427475	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	41.09	41.09	0.00	41.09	80.0	32.87
07/02/2018	***-**-86FB - GALLEGOS, ACCOUNT #: 925401872	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	6.40	6.40	0.00	6.40	80.0	5.12
07/02/2018	***-**-86FB - GALLEGOS, ACCOUNT #: 925401890	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	87.18	87.18	0.00	87.18	80.0	69.74



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: RIA (continued)								
07/02/2018	***-**-22FB - KARR-CHEBAT, ACCOUNT #: 925373485	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	138.02	138.02	0.00	138.02	80.0	110.42
07/02/2018	***-**-22FB - KARR-CHEBAT, ACCOUNT #: 925402005	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	8.74	8.74	0.00	8.74	80.0	6.99
07/02/2018	***-**-08FB - HORNER, COLBY ACCOUNT #: 925373490	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	136.19	136.19	0.00	136.19	80.0	108.95
07/02/2018	***-**-53FB - CHEBAT, JOE ACCOUNT #: 925401991	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	2.77	2.77	0.00	2.77	80.0	2.22
07/02/2018	***-**-43FB - MINETREE, ACCOUNT #: 925411309	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	120.50	120.50	0.00	120.50	80.0	96.40
07/02/2018	***-**-90FB - RIVERA, LYNDIA ACCOUNT #: 925422680	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	22.46	22.46	0.00	22.46	80.0	17.97
07/02/2018	***-**-90FB - RIVERA, LYNDIA ACCOUNT #: 925419577	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.60	23.60	0.00	23.60	80.0	18.88
07/02/2018	***-**-97FB - RIVERA, ACCOUNT #: 925419429	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	96.01	96.01	0.00	96.01	80.0	76.81
07/02/2018	***-**-65FB - FRANKIAN, TIAHNA ACCOUNT #: 925422175	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	4.15	4.15	0.00	4.15	80.0	3.32
07/02/2018	***-**-52FB - FRANKIAN, ACCOUNT #: 925422183	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	3.22	3.22	0.00	3.22	80.0	2.58
07/02/2018	***-**-17FB - GOLLINGER, ACCOUNT #: 925429573	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	4.62	4.62	0.00	4.62	80.0	3.70
07/02/2018	***-**-17FB - GOLLINGER, ACCOUNT #: 925429578	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	1.96	1.96	0.00	1.96	80.0	1.57
07/02/2018	***-**-68FB - KIEL, JANET ACCOUNT #: 925434255	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	27.81	27.81	0.00	27.81	80.0	22.25
07/02/2018	***-**-68FB - KIEL, JANET ACCOUNT #: 925434257	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	17.55	17.55	0.00	17.55	80.0	14.04
* RIA SUBTOTAL *			\$3,124.62	\$3,124.62	\$0.00	\$3,124.62		\$2,499.72



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN</u>								
06/11/2018	***-**-5718 - DE LOS SANTOS,	FRANKLIN TEMP 645769480	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 000000006871246	BUY 1 Units @39.9600						
		* 529 PLAN SUBTOTAL *	\$50.00	\$1.88	\$0.00	\$1.88		\$1.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/11/2018	***-**-5718 - DE LOS SANTOS,	TMP GBL BD 529 PORT CL A	50.00	2.00	0.00	2.00	80.0	1.60
	ACCOUNT #: 000000006871246	BUY 5 Units @10.5000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$50.00	\$2.00	\$0.00	\$2.00		\$1.60
		*** BROKER TRANSACTIONS TOTAL ***	\$3,381.62	\$3,130.07	\$0.00	\$3,130.07		\$2,504.08

BROKER COMMISSION TOTALS

Broker Transactions	\$2,504.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$57.92
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$2,562.00
Year-to-date Earnings	\$21,105.14