



All Companies

01/30/2018
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COMMISSION STATEMENT February 01, 2018

WILLIAM L. BROOKMAN
1840 Hurstview Drive

BROKER #: 9081
BRANCH: HOME OFFICE

HURST, TX 76054

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/31/2017	TRAILS	-PUTNAM	B	15.06	15.06	0.00	15.06	90.0	13.55	
01/08/2018	00074687854	MIZELL, NICOLE	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/08/2018	00074687854	MIZELL, NICOLE	THE INVESTMENT COMPANY OF AMERICA-5	B	50.00	0.50	0.00	0.50	90.0	0.45
01/08/2018	00083143340	MIZELL, NICOLE	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/08/2018	00083143340	MIZELL, NICOLE	THE INVESTMENT COMPANY OF AMERICA-5	B	50.00	0.50	0.00	0.50	90.0	0.45
01/08/2018	00085317312	MIZELL, NICOLE	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/08/2018	00085317312	MIZELL, NICOLE	THE INVESTMENT COMPANY OF AMERICA-5	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00088728542	CABLE, MARTHA I.	AMERICAN BALANCED FUND-529C	B	25.00	0.25	0.00	0.25	90.0	0.23
01/16/2018	00088728542	CABLE, MARTHA I.	FUNDAMENTAL INVESTORS-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
01/16/2018	00088728542	CABLE, MARTHA I.	NEW PERSPECTIVE FUND-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
01/16/2018	00088728542	CABLE, MARTHA I.	WASHINGTON MUTUAL INVESTORS FUND-52	B	25.00	0.25	0.00	0.25	90.0	0.23
* MUTUAL FUNDS SUBTOTAL *					\$515.06	\$20.06	\$0.00	\$20.06		\$18.07

PRODUCT CATEGORY: VARIABLE ANNUITIES

01/12/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	36.82	36.82	0.00	36.82	90.0	33.14
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	2,852.64	2,852.64	0.00	2,852.64	90.0	2,567.38
12/29/2017	TRAILS	GENWORTH LIFE ANNUITY	B	101.23	101.23	0.00	101.23	90.0	91.11
* VARIABLE ANNUITIES SUBTOTAL *				\$2,990.69	\$2,990.69	\$0.00	\$2,990.69		\$2,691.63

PRODUCT CATEGORY: RIA

01/12/2018	25632715	DIAZ, CARMEN A	SIGNAL SECURITIES RIA	B	0.00	118.33	0.00	118.33	90.0	106.50
01/12/2018	49283223	HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
01/12/2018	62315710	HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
* RIA SUBTOTAL *					\$0.00	\$149.33	\$0.00	\$149.33		\$134.40

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/01/2018	TRAIL COMMISSIONS	DAVIS FUNDS FEES		0.00	115.27	0.00	115.27	90.0	103.74
02/01/2018	TRAIL COMMISSIONS	WELLS FARGO FUNDS FEES		0.00	3.43	0.00	3.43	90.0	3.09
02/01/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	8.44	0.00	8.44	90.0	7.59

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ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$127.14	\$0.00	\$127.14	\$114.42
*** BROKER TRANSACTIONS TOTAL ***	\$3,505.75	\$3,287.22	\$0.00	\$3,287.22	\$2,958.52

ADJUSTMENTS

02/01/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
02/01/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-7.50
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-107.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,958.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-107.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,851.02
Year-to-date Earnings	\$4,710.44