

**COMMISSION STATEMENT****April 15, 2018**DAVID, Z116 ZUPEK
1126 Bichara BlvdBROKER #: Z116
BRANCH: TADA WEALTH ADVISORS

The Villages, FL 32159

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/10/2018	ASHLEY, JONATHON	TD AMERITRADE	14,836.42	36.58	0.00	36.58	90.0	32.92
04/10/2018	BABICKY, SHERRY	TD AMERITRADE	202,049.47	996.41	0.00	996.41	90.0	896.77
04/10/2018	BABICKY, THOMAS	TD AMERITRADE	79,447.86	391.80	0.00	391.80	90.0	352.62
04/10/2018	BABICKY, THOMAS	TD AMERITRADE	55,491.21	573.66	0.00	573.66	90.0	516.29
04/10/2018	BACHAND, JERI	TD AMERITRADE	5,594.00	27.59	0.00	27.59	90.0	24.83
04/10/2018	BAHR, JEFFREY	TD AMERITRADE	44,562.98	109.88	0.00	109.88	90.0	98.89
04/10/2018	BARNES, JUDITH	TD AMERITRADE	99,340.17	489.90	0.00	489.90	90.0	440.91
04/10/2018	BARRETT, JOANNE	TD AMERITRADE	41,838.47	103.16	0.00	103.16	90.0	92.84
04/10/2018	BARRETT, JOANNE	TD AMERITRADE	49,763.78	245.41	0.00	245.41	90.0	220.87
04/10/2018	BECKER JR, JOHN	TD AMERITRADE	25,214.23	861.91	0.00	861.91	90.0	775.72
04/10/2018	BECKER JR, JOHN	TD AMERITRADE	35,657.93	158.26	0.00	158.26	90.0	142.43
04/10/2018	BERNHARDT, ROBERT	TD AMERITRADE	97,673.78	481.68	0.00	481.68	90.0	433.51
04/10/2018	BIRKHOLZ, WILLIAM	TD AMERITRADE	731.03	728.61	0.00	728.61	90.0	655.75
04/10/2018	BIRKHOLZ, WILLIAM	TD AMERITRADE	51,031.44	251.66	0.00	251.66	90.0	226.49
04/10/2018	BIRKHOLZ, GAY	TD AMERITRADE	73,537.90	362.65	0.00	362.65	90.0	326.39
04/10/2018	BODVEN, BRUCE	TD AMERITRADE	68,673.16	688.66	0.00	688.66	90.0	619.79
04/10/2018	BODVEN, BRUCE	TD AMERITRADE	18,767.33	92.55	0.00	92.55	90.0	83.30
04/10/2018	BODVEN, DEBRA	TD AMERITRADE	2,721.53	13.42	0.00	13.42	90.0	12.08
04/10/2018	BOHN, DONALD	TD AMERITRADE	37,885.77	361.83	0.00	361.83	90.0	325.65
04/10/2018	BOHN, DONALD	TD AMERITRADE	83,778.73	413.16	0.00	413.16	90.0	371.84
04/10/2018	BOHN, MARY	TD AMERITRADE	35.09	0.17	0.00	0.17	90.0	0.15
04/10/2018	BOHN, MARY	TD AMERITRADE	6,848.01	33.77	0.00	33.77	90.0	30.39
04/10/2018	BOHN, JAMES	TD AMERITRADE	89,360.80	500.68	0.00	500.68	90.0	450.61
04/10/2018	BOHN, JAMES	TD AMERITRADE	2,335.38	11.52	0.00	11.52	90.0	10.37
04/10/2018	BOHN, JAMES	TD AMERITRADE	358.96	1.77	0.00	1.77	90.0	1.59
04/10/2018	BOHN, JAMES	TD AMERITRADE	162,217.90	799.98	0.00	799.98	90.0	719.98

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/10/2018	BOHN, LISA	TD AMERITRADE	550.65	2.72	0.00	2.72	90.0	2.45
04/10/2018	BOLAND, TERRENCE	TD AMERITRADE	91,771.66	752.57	0.00	752.57	90.0	677.31
04/10/2018	BOLAND, TERRENCE	TD AMERITRADE	11,268.09	27.78	0.00	27.78	90.0	25.00
04/10/2018	BOLAND, TERRENCE	TD AMERITRADE	115,624.61	570.20	0.00	570.20	90.0	513.18
04/10/2018	CAPSTRAW, JAN	TD AMERITRADE	10,954.39	40.52	0.00	40.52	90.0	36.47
04/10/2018	CAPSTRAW, RONALD	TD AMERITRADE	10,968.99	40.57	0.00	40.57	90.0	36.51
04/10/2018	CAPSTRAW, RONALD	TD AMERITRADE	44,361.85	164.08	0.00	164.08	90.0	147.67
04/10/2018	CRAWFORD, JEANNE	TD AMERITRADE	7,920.50	29.30	0.00	29.30	90.0	26.37
04/10/2018	CRAWFORD, JEANNE	TD AMERITRADE	35,559.62	131.52	0.00	131.52	90.0	118.37
04/10/2018	CRAWFORD, JEANNE	TD AMERITRADE	7,006.31	25.91	0.00	25.91	90.0	23.32
04/10/2018	CRAWFORD, TIMOTHY	TD AMERITRADE	123,672.99	457.42	0.00	457.42	90.0	411.68
04/10/2018	CRAWFORD, TIMOTHY	TD AMERITRADE	39,415.33	145.78	0.00	145.78	90.0	131.20
04/10/2018	CRAWFORD, TIMOTHY	TD AMERITRADE	30,823.22	114.00	0.00	114.00	90.0	102.60
04/10/2018	DEHAHN, PHIL	TD AMERITRADE	14,869.32	73.33	0.00	73.33	90.0	66.00
04/10/2018	DUCHESNEAU, PHYLLIS	TD AMERITRADE	342,274.43	1,561.33	0.00	1,561.33	90.0	1,405.20
04/10/2018	DUCHESNEAU, PHYLLIS	TD AMERITRADE	115,609.11	527.37	0.00	527.37	90.0	474.63
04/10/2018	DUCZAK, MICHAEL	TD AMERITRADE	2,135.38	10.53	0.00	10.53	90.0	9.48
04/10/2018	DUCZAK, MICHAEL	TD AMERITRADE	77,347.36	381.44	0.00	381.44	90.0	343.30
04/10/2018	DUCZAK, SUSAN	TD AMERITRADE	36,804.30	181.50	0.00	181.50	90.0	163.35
04/10/2018	EBERLE, LINDA	TD AMERITRADE	187,422.01	924.27	0.00	924.27	90.0	831.84
04/10/2018	EBERLE, PHILLIP	TD AMERITRADE	32,785.12	161.68	0.00	161.68	90.0	145.51
04/10/2018	EIFERT, BEVERLY	TD AMERITRADE	55,315.68	272.79	0.00	272.79	90.0	245.51
04/10/2018	ESCHENBAUCH, PAULA	TD AMERITRADE	23.78	0.00	0.00	0.00	90.0	0.00
04/10/2018	ESCHENBAUCH, PAULA	TD AMERITRADE	127,440.21	628.47	0.00	628.47	90.0	565.62
04/10/2018	ESCHENBAUCH, PAULA	TD AMERITRADE	7,045.76	34.75	0.00	34.75	90.0	31.28
04/10/2018	FALBO, DIANE	TD AMERITRADE	91,598.42	327.50	0.00	327.50	90.0	294.75
04/10/2018	FALBO, LEWIS	TD AMERITRADE	272,051.23	972.68	0.00	972.68	90.0	875.41
04/10/2018	FISHER, ARLENE	TD AMERITRADE	28,879.95	142.42	0.00	142.42	90.0	128.18
04/10/2018	FISHER, ARLENE	TD AMERITRADE	4,910.30	24.22	0.00	24.22	90.0	21.80
04/10/2018	FISHER, ARLENE	TD AMERITRADE	124,348.55	613.23	0.00	613.23	90.0	551.91
04/10/2018	FRAID, JEROME	TD AMERITRADE	20,563.75	101.41	0.00	101.41	90.0	91.27
04/10/2018	FRYE, DONNA	TD AMERITRADE	11,319.32	27.91	0.00	27.91	90.0	25.12
04/10/2018	FRYE, RONALD	TD AMERITRADE	11,562.43	28.51	0.00	28.51	90.0	25.66

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/10/2018	GAROFALO, MATTHEW	TD AMERITRADE	3,840.14	18.94	0.00	18.94	90.0	17.05
04/10/2018	GARSKI, JERRY	TD AMERITRADE	5,920.55	29.20	0.00	29.20	90.0	26.28
04/10/2018	GARSKI, JERRY	TD AMERITRADE	9,286.23	45.80	0.00	45.80	90.0	41.22
04/10/2018	GIBSON, ROBERT	TD AMERITRADE	40,630.13	1,350.18	0.00	1,350.18	90.0	1,215.16
04/10/2018	GILBERT, DENNIS	TD AMERITRADE	95,970.71	473.28	0.00	473.28	90.0	425.95
04/10/2018	GILBERT, DENNIS	TD AMERITRADE	141.44	125.70	0.00	125.70	90.0	113.13
04/10/2018	GILBERT, JUDY	TD AMERITRADE	31,241.83	154.07	0.00	154.07	90.0	138.66
04/10/2018	GRECO, ROSE	TD AMERITRADE	12,459.74	61.45	0.00	61.45	90.0	55.31
04/10/2018	GREIG, AMY	TD AMERITRADE	36,398.46	179.50	0.00	179.50	90.0	161.55
04/10/2018	GREIG, AMY	TD AMERITRADE	64,954.82	320.33	0.00	320.33	90.0	288.30
04/10/2018	GREIG, PETER	TD AMERITRADE	9,936.02	49.00	0.00	49.00	90.0	44.10
04/10/2018	GREIG, PETER	TD AMERITRADE	1,129.69	5.57	0.00	5.57	90.0	5.01
04/10/2018	GRIFFITH, SARA	TD AMERITRADE	9,101.17	144.88	0.00	144.88	90.0	130.39
04/10/2018	GRIFFITH, SARA	TD AMERITRADE	20,446.02	100.83	0.00	100.83	90.0	90.75
04/10/2018	GRIFFITH, SARA	TD AMERITRADE	10,530.25	51.93	0.00	51.93	90.0	46.74
04/10/2018	GRIFFITH, CRAIG	TD AMERITRADE	72,932.02	359.66	0.00	359.66	90.0	323.69
04/10/2018	HALL, SUZANNE	TD AMERITRADE	698.09	2.58	0.00	2.58	90.0	2.32
04/10/2018	HALL, SUZANNE	TD AMERITRADE	64.25	0.24	0.00	0.24	90.0	0.22
04/10/2018	HAMILTON, RONALD	TD AMERITRADE	27.68	0.07	0.00	0.07	90.0	0.06
04/10/2018	HAMILTON, RONALD	TD AMERITRADE	258,819.30	638.18	0.00	638.18	90.0	574.36
04/10/2018	HAMILTON, RONALD	TD AMERITRADE	4,423.48	10.91	0.00	10.91	90.0	9.82
04/10/2018	HAMILTON, RONALD	TD AMERITRADE	18,368.81	720.29	0.00	720.29	90.0	648.26
04/10/2018	HARMANN, MICHAEL	TD AMERITRADE	43.25	0.21	0.00	0.21	90.0	0.19
04/10/2018	HARRIS, BILLY	TD AMERITRADE	400.00	400.00	0.00	400.00	90.0	360.00
04/10/2018	HARRIS, BILLY	TD AMERITRADE	4,904.55	24.19	0.00	24.19	90.0	21.77
04/10/2018	HARTGERINK, BRETT	TD AMERITRADE	54,519.81	443.86	0.00	443.86	90.0	399.47
04/10/2018	HARTGERINK, BRETT	TD AMERITRADE	19,246.86	94.92	0.00	94.92	90.0	85.43
04/10/2018	HARTGERINK, BRETT	TD AMERITRADE	92,062.82	454.01	0.00	454.01	90.0	408.61
04/10/2018	HARTGERINK, EDWARD	TD AMERITRADE	37,892.75	543.43	0.00	543.43	90.0	489.09
04/10/2018	HARTGERINK, EDWARD	TD AMERITRADE	129,868.50	320.22	0.00	320.22	90.0	288.20
04/10/2018	HARTGERINK, EDWARD	TD AMERITRADE	64,646.30	159.40	0.00	159.40	90.0	143.46
04/10/2018	HARTMAN, EDWARD	TD AMERITRADE	767.92	150.00	0.00	150.00	90.0	135.00
04/10/2018	HELD, LADON	TD AMERITRADE	22,807.95	98.42	0.00	98.42	90.0	88.58

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/10/2018	HELD, LADON	TD AMERITRADE	3,888.19	16.78	0.00	16.78	90.0	15.10
04/10/2018	HELD, RONALD	TD AMERITRADE	33,441.94	144.30	0.00	144.30	90.0	129.87
04/10/2018	HELD, RONALD	TD AMERITRADE	14,155.78	61.08	0.00	61.08	90.0	54.97
04/10/2018	HOOTEN, ROBERT	TD AMERITRADE	9,988.29	49.26	0.00	49.26	90.0	44.33
04/10/2018	HOOTEN, AUDREY	TD AMERITRADE	4,628.29	22.82	0.00	22.82	90.0	20.54
04/10/2018	HORNACEK, JOSEPH	TD AMERITRADE	14,991.80	709.15	0.00	709.15	90.0	638.24
04/10/2018	HORNACEK, JOSEPH	TD AMERITRADE	18,768.92	74.05	0.00	74.05	90.0	66.65
04/10/2018	HORNACEK, JOSEPH	TD AMERITRADE	36,720.85	144.87	0.00	144.87	90.0	130.38
04/10/2018	HOSS, GAIL	TD AMERITRADE	232.37	231.15	0.00	231.15	90.0	208.04
04/10/2018	HOSS, GAIL	TD AMERITRADE	43,702.07	215.52	0.00	215.52	90.0	193.97
04/10/2018	HUDEC, JOANNE	TD AMERITRADE	2.18	400.01	0.00	400.01	90.0	360.01
04/10/2018	HUDEC, JOANNE	TD AMERITRADE	8,312.13	40.99	0.00	40.99	90.0	36.89
04/10/2018	ISENBERG, PAUL	TD AMERITRADE	392,147.02	966.94	0.00	966.94	90.0	870.25
04/10/2018	ISENBERG, JANET	TD AMERITRADE	95,886.60	236.43	0.00	236.43	90.0	212.79
04/10/2018	ISON, ELLEN	TD AMERITRADE	21,874.51	53.94	0.00	53.94	90.0	48.55
04/10/2018	ISON, ELLEN	TD AMERITRADE	1,246.70	3.07	0.00	3.07	90.0	2.76
04/10/2018	ISON, ELLEN	TD AMERITRADE	27,438.69	67.66	0.00	67.66	90.0	60.89
04/10/2018	JARVIS, RICHARD	TD AMERITRADE	39,363.36	549.71	0.00	549.71	90.0	494.74
04/10/2018	JARVIS, RICHARD	TD AMERITRADE	82,203.02	364.85	0.00	364.85	90.0	328.37
04/10/2018	JEANQUART, ROBERT	TD AMERITRADE	235,114.69	1,372.51	0.00	1,372.51	90.0	1,235.26
04/10/2018	JENSEN, ANITA	TD AMERITRADE	30,330.40	349.57	0.00	349.57	90.0	314.61
04/10/2018	JENSEN, ANITA	TD AMERITRADE	2,480.27	12.23	0.00	12.23	90.0	11.01
04/10/2018	KAEDING, HAROLD	TD AMERITRADE	59,126.74	291.58	0.00	291.58	90.0	262.42
04/10/2018	KAEDING, HAROLD	TD AMERITRADE	295,880.53	1,329.57	0.00	1,329.57	90.0	1,196.61
04/10/2018	KALINSKI, BARBARA	TD AMERITRADE	1,326.63	6.54	0.00	6.54	90.0	5.89
04/10/2018	KALINSKI, EDWARD	TD AMERITRADE	3,553.38	167.52	0.00	167.52	90.0	150.77
04/10/2018	KALINSKI, EDWARD	TD AMERITRADE	55,450.49	273.45	0.00	273.45	90.0	246.11
04/10/2018	KALINSKI, EDWARD	TD AMERITRADE	9,057.84	44.67	0.00	44.67	90.0	40.20
04/10/2018	KARKOW, THOMAS	TD AMERITRADE	92,689.94	457.10	0.00	457.10	90.0	411.39
04/10/2018	KASDORF, JOSEPH	TD AMERITRADE	44,133.57	392.65	0.00	392.65	90.0	353.39
04/10/2018	KASDORF, JOSEPH	TD AMERITRADE	11,947.46	58.92	0.00	58.92	90.0	53.03
04/10/2018	KELLOGG, MARIANN	TD AMERITRADE	45,650.44	225.13	0.00	225.13	90.0	202.62
04/10/2018	KELLOGG, MICHAEL	TD AMERITRADE	1.50	700.01	0.00	700.01	90.0	630.01

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/10/2018	KELLOGG, MICHAEL	TD AMERITRADE	17,094.14	84.30	0.00	84.30	90.0	75.87
04/10/2018	KELLOGG, MICHAEL	TD AMERITRADE	10,907.30	53.79	0.00	53.79	90.0	48.41
04/10/2018	KELM, MARY	TD AMERITRADE	136,760.87	674.44	0.00	674.44	90.0	607.00
04/10/2018	KIELPINSKI, ANTHONY	TD AMERITRADE	83,859.88	538.56	0.00	538.56	90.0	484.70
04/10/2018	KLUENDER, KURT	TD AMERITRADE	11,250.89	55.48	0.00	55.48	90.0	49.93
04/10/2018	KLUENDER, KURT	TD AMERITRADE	55,147.21	271.96	0.00	271.96	90.0	244.76
04/10/2018	KLUENDER, MARK	TD AMERITRADE	0.89	175.00	0.00	175.00	90.0	157.50
04/10/2018	KLUENDER, MARK	TD AMERITRADE	31,966.68	157.64	0.00	157.64	90.0	141.88
04/10/2018	KLUENDER, MARK	TD AMERITRADE	5,914.51	29.17	0.00	29.17	90.0	26.25
04/10/2018	KLUENDER, MARK	TD AMERITRADE	0.89	125.00	0.00	125.00	90.0	112.50
04/10/2018	KLUENDER, MARK	TD AMERITRADE	450.49	2.22	0.00	2.22	90.0	2.00
04/10/2018	KOBER, JUDITH	TD AMERITRADE	549.34	2.71	0.00	2.71	90.0	2.44
04/10/2018	KOBER, GARY	TD AMERITRADE	510.93	502.52	0.00	502.52	90.0	452.27
04/10/2018	KONARSKE, GARY	TD AMERITRADE	1.07	500.00	0.00	500.00	90.0	450.00
04/10/2018	KONARSKE, GARY	TD AMERITRADE	55,853.53	254.78	0.00	254.78	90.0	229.30
04/10/2018	KONARSKE, GARY	TD AMERITRADE	13,239.38	60.39	0.00	60.39	90.0	54.35
04/10/2018	KONARSKE, LESLIE	TD AMERITRADE	5,439.29	24.81	0.00	24.81	90.0	22.33
04/10/2018	KRESCANKO, MARIE	TD AMERITRADE	0.72	300.00	0.00	300.00	90.0	270.00
04/10/2018	KRESCANKO, MARIE	TD AMERITRADE	10,385.01	51.21	0.00	51.21	90.0	46.09
04/10/2018	KRIEDERMANN, ROBERT	TD AMERITRADE	90,125.96	444.46	0.00	444.46	90.0	400.01
04/10/2018	LANDREMAN, THOMAS	TD AMERITRADE	133,849.51	660.08	0.00	660.08	90.0	594.07
04/10/2018	LUCAS, LINDA	TD AMERITRADE	23,901.12	117.87	0.00	117.87	90.0	106.08
04/10/2018	LUCAS, MICHAEL	TD AMERITRADE	15.74	0.08	0.00	0.08	90.0	0.07
04/10/2018	LUCAS, MICHAEL	TD AMERITRADE	27,936.29	137.77	0.00	137.77	90.0	123.99
04/10/2018	LUCAS, MICHAEL	TD AMERITRADE	69,322.63	341.87	0.00	341.87	90.0	307.68
04/10/2018	MARKSON, JEROME	TD AMERITRADE	3.59	200.02	0.00	200.02	90.0	180.02
04/10/2018	MARKSON, JEROME	TD AMERITRADE	3,253.64	14.04	0.00	14.04	90.0	12.64
04/10/2018	MARKSON, JEROME	TD AMERITRADE	63,616.38	274.51	0.00	274.51	90.0	247.06
04/10/2018	MARKSON, JEROME	TD AMERITRADE	17,005.16	73.38	0.00	73.38	90.0	66.04
04/10/2018	MAYER, DORIS	TD AMERITRADE	8,012.31	39.51	0.00	39.51	90.0	35.56
04/10/2018	MCFADDEN, CORRINE	TD AMERITRADE	103,074.48	508.31	0.00	508.31	90.0	457.48
04/10/2018	MCFADDEN, JAMES	TD AMERITRADE	4,142.31	20.43	0.00	20.43	90.0	18.39
04/10/2018	MILLER, JANICE	TD AMERITRADE	76,751.40	553.50	0.00	553.50	90.0	498.15

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/10/2018	MILLER, JANICE	TD AMERITRADE	34,456.53	169.92	0.00	169.92	90.0	152.93
04/10/2018	MUELLER, ROMAYNE	TD AMERITRADE	8,675.82	42.78	0.00	42.78	90.0	38.50
04/10/2018	MUELLER, THOMAS	TD AMERITRADE	0.91	225.00	0.00	225.00	90.0	202.50
04/10/2018	MYERS, ELLEN	TD AMERITRADE	40,461.10	199.53	0.00	199.53	90.0	179.58
04/10/2018	MYERS, ELLEN	TD AMERITRADE	30,519.56	150.51	0.00	150.51	90.0	135.46
04/10/2018	MYERS, ELLEN	TD AMERITRADE	8,466.84	41.75	0.00	41.75	90.0	37.58
04/10/2018	NICOLAZZI, JOYCE	TD AMERITRADE	52,424.51	383.53	0.00	383.53	90.0	345.18
04/10/2018	NICOLAZZI, JOYCE	TD AMERITRADE	109,204.42	538.54	0.00	538.54	90.0	484.69
04/10/2018	NICOLAZZI, RICHARD	TD AMERITRADE	477.85	1.18	0.00	1.18	90.0	1.06
04/10/2018	NONDORF, DENNIS	TD AMERITRADE	38.81	0.19	0.00	0.19	90.0	0.17
04/10/2018	NONDORF, DENNIS	TD AMERITRADE	38,666.98	190.69	0.00	190.69	90.0	171.62
04/10/2018	OERTEL, SUSAN	TD AMERITRADE	97,877.35	982.68	0.00	982.68	90.0	884.41
04/10/2018	PAULICK, BERNARD	TD AMERITRADE	800.44	303.95	0.00	303.95	90.0	273.56
04/10/2018	PAULICK, BERNARD	TD AMERITRADE	57,507.08	283.60	0.00	283.60	90.0	255.24
04/10/2018	PAULICK, IRENE	TD AMERITRADE	11,487.70	56.65	0.00	56.65	90.0	50.99
04/10/2018	PAULICK, IRENE	TD AMERITRADE	3,581.46	17.66	0.00	17.66	90.0	15.89
04/10/2018	PECKMAN, MARCIA	TD AMERITRADE	53,536.56	264.02	0.00	264.02	90.0	237.62
04/10/2018	PECKMAN, ROGER	TD AMERITRADE	8,045.59	739.68	0.00	739.68	90.0	665.71
04/10/2018	PECKMAN, ROGER	TD AMERITRADE	15,471.34	76.30	0.00	76.30	90.0	68.67
04/10/2018	PERONE, PASQUALE	TD AMERITRADE	53,537.25	744.22	0.00	744.22	90.0	669.80
04/10/2018	PERONE, PASQUALE	TD AMERITRADE	11,257.23	51.35	0.00	51.35	90.0	46.22
04/10/2018	PETERMAN, RANDALL	TD AMERITRADE	164,245.84	749.23	0.00	749.23	90.0	674.31
04/10/2018	PETERMAN, RANDALL	TD AMERITRADE	9,413.74	42.94	0.00	42.94	90.0	38.65
04/10/2018	PETERMAN, THERESA	TD AMERITRADE	18,844.13	85.96	0.00	85.96	90.0	77.36
04/10/2018	PETERMAN, THERESA	TD AMERITRADE	326,163.82	1,487.84	0.00	1,487.84	90.0	1,339.06
04/10/2018	PETERSEN, THOMAS	TD AMERITRADE	13,834.61	68.23	0.00	68.23	90.0	61.41
04/10/2018	POVKOVICH, DOROTHY	TD AMERITRADE	33,958.54	317.47	0.00	317.47	90.0	285.72
04/10/2018	POVKOVICH, DOROTHY	TD AMERITRADE	43,557.77	214.81	0.00	214.81	90.0	193.33
04/10/2018	POVKOVICH, DOROTHY	TD AMERITRADE	164,028.73	808.91	0.00	808.91	90.0	728.02
04/10/2018	POVKOVICH, GLENN	TD AMERITRADE	273,530.50	1,348.92	0.00	1,348.92	90.0	1,214.03
04/10/2018	POVKOVICH, GLENN	TD AMERITRADE	4,109.07	20.26	0.00	20.26	90.0	18.23
04/10/2018	RACK, JOAN	TD AMERITRADE	0.00	550.00	0.00	550.00	90.0	495.00
04/10/2018	RACK, JOAN	TD AMERITRADE	2,959.16	3.65	0.00	3.65	90.0	3.29

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/10/2018	REDMOND, LOIS	TD AMERITRADE	7,312.60	36.06	0.00	36.06	90.0	32.45
04/10/2018	REDMOND, LOIS	TD AMERITRADE	51,498.00	253.96	0.00	253.96	90.0	228.56
04/10/2018	REDMOND, LOIS	TD AMERITRADE	16,786.00	82.78	0.00	82.78	90.0	74.50
04/10/2018	ROGERS, WILMA	TD AMERITRADE	1.69	250.01	0.00	250.01	90.0	225.01
04/10/2018	ROGERS, WILMA	TD AMERITRADE	68,826.70	339.42	0.00	339.42	90.0	305.48
04/10/2018	ROSE, PETER	TD AMERITRADE	308,841.98	1,523.06	0.00	1,523.06	90.0	1,370.75
04/10/2018	ROVERUD, JOAN	TD AMERITRADE	80,665.56	397.80	0.00	397.80	90.0	358.02
04/10/2018	ROVERUD, JOAN	TD AMERITRADE	86,913.79	428.62	0.00	428.62	90.0	385.76
04/10/2018	EYNARD, STEPHEN	TD AMERITRADE	372,212.33	917.78	0.00	917.78	90.0	826.00
04/10/2018	EYNARD, STEPHEN	TD AMERITRADE	97,962.33	241.55	0.00	241.55	90.0	217.40
04/10/2018	ROWLANDS, GAYLE	TD AMERITRADE	108,048.58	532.84	0.00	532.84	90.0	479.56
04/10/2018	RUBIN, KENNETH	TD AMERITRADE	79,864.25	393.85	0.00	393.85	90.0	354.47
04/10/2018	RUFFOLO, BARBARA	TD AMERITRADE	44,333.49	218.63	0.00	218.63	90.0	196.77
04/10/2018	RUFFOLO, BENNY	TD AMERITRADE	1,319.35	6.51	0.00	6.51	90.0	5.86
04/10/2018	RUFFOLO, BENNY	TD AMERITRADE	54,347.08	268.01	0.00	268.01	90.0	241.21
04/10/2018	RUGH, BARBARA	TD AMERITRADE	8,503.30	41.93	0.00	41.93	90.0	37.74
04/10/2018	RUGH, BARBARA	TD AMERITRADE	176,650.16	871.15	0.00	871.15	90.0	784.04
04/10/2018	RUGH, DENNIS	TD AMERITRADE	18,966.12	93.53	0.00	93.53	90.0	84.18
04/10/2018	RUSCH, ROGER	TD AMERITRADE	7,292.58	33.27	0.00	33.27	90.0	29.94
04/10/2018	RUSCH, ROGER	TD AMERITRADE	140,050.16	638.86	0.00	638.86	90.0	574.97
04/10/2018	RUSCH, ROGER	TD AMERITRADE	221,072.92	1,008.46	0.00	1,008.46	90.0	907.61
04/10/2018	RUSCH, JACOB	TD AMERITRADE	28,405.53	140.08	0.00	140.08	90.0	126.07
04/10/2018	RUSCH, ROGER	TD AMERITRADE	297.41	0.73	0.00	0.73	90.0	0.66
04/10/2018	RUSCH, JOSHUA	TD AMERITRADE	47,497.08	317.12	0.00	317.12	90.0	285.41
04/10/2018	SCHULDT, CATHERINE	TD AMERITRADE	92,041.22	453.90	0.00	453.90	90.0	408.51
04/10/2018	SCHULDT, JAMES	TD AMERITRADE	16,484.97	81.30	0.00	81.30	90.0	73.17
04/10/2018	SLYFIELD, DORIS	TD AMERITRADE	36,381.07	179.41	0.00	179.41	90.0	161.47
04/10/2018	SMITH, BETTY	TD AMERITRADE	42,010.82	207.18	0.00	207.18	90.0	186.46
04/10/2018	SMITH, BETTY	TD AMERITRADE	25,425.30	125.39	0.00	125.39	90.0	112.85
04/10/2018	SMITHANA, MARGARET	TD AMERITRADE	80,925.31	199.54	0.00	199.54	90.0	179.59
04/10/2018	SOENS, JAMES	TD AMERITRADE	767.15	3.78	0.00	3.78	90.0	3.40
04/10/2018	SOENS, JAMES	TD AMERITRADE	8,511.90	41.98	0.00	41.98	90.0	37.78
04/10/2018	SOENS, LYNNE	TD AMERITRADE	13,903.05	68.56	0.00	68.56	90.0	61.70

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/10/2018	SOENS, LYNNE	TD AMERITRADE	3,164.76	15.61	0.00	15.61	90.0	14.05
04/10/2018	SOENS, LYNNE	TD AMERITRADE	6,682.76	257.96	0.00	257.96	90.0	232.16
04/10/2018	SORENSEN SR, ROBERT	TD AMERITRADE	1,647.03	558.12	0.00	558.12	90.0	502.31
04/10/2018	SORENSEN SR, ROBERT	TD AMERITRADE	597.60	2.95	0.00	2.95	90.0	2.66
04/10/2018	SPERER, MARION	TD AMERITRADE	0.19	50.00	0.00	50.00	90.0	45.00
04/10/2018	SPERER, MARION	TD AMERITRADE	48,230.53	237.85	0.00	237.85	90.0	214.07
04/10/2018	SPERER, MARION	TD AMERITRADE	4,766.54	23.51	0.00	23.51	90.0	21.16
04/10/2018	SUS, SANDRA	TD AMERITRADE	27,554.74	260.89	0.00	260.89	90.0	234.80
04/10/2018	SUS, SANDRA	TD AMERITRADE	94,309.02	465.09	0.00	465.09	90.0	418.58
04/10/2018	SUS, SANDRA	TD AMERITRADE	16,786.99	82.79	0.00	82.79	90.0	74.51
04/10/2018	TASKONIS, RICHARD	TD AMERITRADE	19,300.95	245.18	0.00	245.18	90.0	220.66
04/10/2018	TASKONIS, RICHARD	TD AMERITRADE	82,561.91	407.15	0.00	407.15	90.0	366.44
04/10/2018	THOMPSON, CAROL	TD AMERITRADE	30,410.96	149.97	0.00	149.97	90.0	134.97
04/10/2018	THOMPSON, MICHAEL	TD AMERITRADE	65,393.95	522.49	0.00	522.49	90.0	470.24
04/10/2018	THOMPSON, MICHAEL	TD AMERITRADE	15,088.71	74.41	0.00	74.41	90.0	66.97
04/10/2018	THOMSON, LYNN	TD AMERITRADE	373.96	1.84	0.00	1.84	90.0	1.66
04/10/2018	THOMSON, RUTH	TD AMERITRADE	5,773.22	28.47	0.00	28.47	90.0	25.62
04/10/2018	THOMSON, RUTH	TD AMERITRADE	15,660.14	77.23	0.00	77.23	90.0	69.51
04/10/2018	URBUSH, HELEN	TD AMERITRADE	27,917.55	68.84	0.00	68.84	90.0	61.96
04/10/2018	URBUSH, HELEN	TD AMERITRADE	13,448.56	33.16	0.00	33.16	90.0	29.84
04/10/2018	URBUSH, HELEN	TD AMERITRADE	6,141.42	15.14	0.00	15.14	90.0	13.63
04/10/2018	WATRING, MARGARET	TD AMERITRADE	372.60	372.61	0.00	372.61	90.0	335.35
04/10/2018	WAYO, DARLENE	TD AMERITRADE	6.25	750.03	0.00	750.03	90.0	675.03
04/10/2018	WAYO, DARLENE	TD AMERITRADE	49,905.62	246.11	0.00	246.11	90.0	221.50
04/10/2018	WELLMAN, JAMES	TD AMERITRADE	31,484.01	155.26	0.00	155.26	90.0	139.73
04/10/2018	WENDT, RENNE	TD AMERITRADE	15,507.48	76.48	0.00	76.48	90.0	68.83
04/10/2018	WENDT, WILLIAM	TD AMERITRADE	47,171.57	232.63	0.00	232.63	90.0	209.37
04/10/2018	WOLFE, MARCIA	TD AMERITRADE	70,014.72	345.28	0.00	345.28	90.0	310.75
04/10/2018	WILLIAMS, WARNER	TD AMERITRADE	20.66	0.10	0.00	0.10	90.0	0.09
04/10/2018	ZARBOCK, CYNTHIA	TD AMERITRADE	57,967.38	285.87	0.00	285.87	90.0	257.28
04/10/2018	ZARBOCK, JEFFREY	TD AMERITRADE	10,434.78	51.46	0.00	51.46	90.0	46.31
04/10/2018	ZUPEK, ALBERT	TD AMERITRADE	120,799.15	297.86	0.00	297.86	90.0	268.07
04/10/2018	ZUPEK, ALBERT	TD AMERITRADE	2,869.99	7.08	0.00	7.08	90.0	6.37

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/10/2018	ZUPEK, DENISE	TD AMERITRADE	744,385.03	1,835.47	0.00	1,835.47	90.0	1,651.92
04/10/2018	ZUPEK, STEVEN	TD AMERITRADE	170,359.92	420.07	0.00	420.07	90.0	378.06
* RIA SUBTOTAL *			\$13,216,891.29	\$73,263.43	\$0.00	\$73,263.43		\$65,937.14
*** DIRECT TRANSACTIONS TOTAL ***			\$13,216,891.29	\$73,263.43	\$0.00	\$73,263.43		\$65,937.14

VERRIDE TRANSACTIONS**BROKER: MARK-H122 HUBER #89**

04/09/2018	ARCIUCH, ARTUR	TD AMERITRADE	155,216.18	382.72	0.00	382.72	0.0	344.45
04/09/2018	ARNETT, DANIEL	TD AMERITRADE	33,057.52	1,163.02	0.00	1,163.02	0.0	1,046.72
04/09/2018	BRINKMAN, J	TD AMERITRADE	49,050.69	5.38	0.00	5.38	0.0	4.84
04/09/2018	BROGAN, BARBARA	TD AMERITRADE	94,134.58	464.23	0.00	464.23	0.0	417.81
04/09/2018	BROWN, KEVIN	TD AMERITRADE	48,340.17	238.39	0.00	238.39	0.0	214.55
04/09/2018	CIPPARRONE, NANCY	TD AMERITRADE	187,833.82	926.30	0.00	926.30	0.0	833.67
04/09/2018	DANEK, BEATA	TD AMERITRADE	422,797.36	1,563.77	0.00	1,563.77	0.0	1,407.39
04/09/2018	DANEK, BEATA	TD AMERITRADE	84,244.60	311.59	0.00	311.59	0.0	280.43
04/09/2018	DELAO, CHRISTINE	TD AMERITRADE	190,899.22	470.71	0.00	470.71	0.0	423.64
04/09/2018	ELLIOTT, REBECCA	TD AMERITRADE	165,614.92	816.73	0.00	816.73	0.0	735.06
04/09/2018	FRASCH, BRUCE	TD AMERITRADE	221,975.64	1,094.67	0.00	1,094.67	0.0	985.20
04/09/2018	GALE, KEN	TD AMERITRADE	64,155.34	316.38	0.00	316.38	0.0	284.74
04/09/2018	GRANZYK, KATHLEEN	TD AMERITRADE	121,280.63	598.10	0.00	598.10	0.0	538.29
04/09/2018	GROSZ, RUTH	TD AMERITRADE	27,367.86	134.96	0.00	134.96	0.0	121.46
04/09/2018	HUDEC, JOANNE	TD AMERITRADE	55,590.44	274.14	0.00	274.14	0.0	246.73
04/09/2018	KANE, SUSAN	TD AMERITRADE	63,074.91	311.05	0.00	311.05	0.0	279.95
04/09/2018	KUSZ, KATARZYNA	TD AMERITRADE	40,433.74	19.94	0.00	19.94	0.0	17.95
04/09/2018	KEENON, CHARLES	TD AMERITRADE	427,357.52	2,107.52	0.00	2,107.52	0.0	1,896.77
04/09/2018	KOBER, GARY	TD AMERITRADE	21.12	0.10	0.00	0.10	0.0	0.09
04/09/2018	KOBER, GARY	TD AMERITRADE	112,784.86	556.20	0.00	556.20	0.0	500.58
04/09/2018	MAY, ROBERT	TD AMERITRADE	271,051.24	1,918.35	0.00	1,918.35	0.0	1,726.52
04/09/2018	MAY, KAREN	TD AMERITRADE	12,468.24	30.74	0.00	30.74	0.0	27.67
04/09/2018	MARTIN, CHARMAINE	TD AMERITRADE	26,696.24	131.65	0.00	131.65	0.0	118.49
04/09/2018	MCCORKLE, JANET	TD AMERITRADE	64,698.99	319.06	0.00	319.06	0.0	287.15
04/09/2018	MUELLER, THOMAS	TD AMERITRADE	36,769.59	181.33	0.00	181.33	0.0	163.20

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARK-H122 HUBER #89</u>								
04/09/2018	NARDINI, RONALD	TD AMERITRADE	29,491.78	145.44	0.00	145.44	0.0	130.90
04/09/2018	NARDINI, BARBARA	TD AMERITRADE	31,490.63	155.30	0.00	155.30	0.0	139.77
04/09/2018	POVKOVICH, DOROTHY	TD AMERITRADE	40,630.00	20.04	0.00	20.04	0.0	18.04
04/09/2018	ROESKE, MICHAEL	TD AMERITRADE	97.36	0.48	0.00	0.48	0.0	0.43
04/09/2018	ROST, DAVID	TD AMERITRADE	285,262.93	703.39	0.00	703.39	0.0	633.05
04/09/2018	SHAYKIN, STEVEN	TD AMERITRADE	493.28	2.43	0.00	2.43	0.0	2.19
04/09/2018	SHAYKIN, STEVEN	TD AMERITRADE	61,285.98	302.23	0.00	302.23	0.0	272.01
04/09/2018	SKOWRON, ALEKSANDER	TD AMERITRADE	32,508.03	120.24	0.00	120.24	0.0	108.22
04/09/2018	SMITHANA, WILLIAM	TD AMERITRADE	118,266.21	687.42	0.00	687.42	0.0	618.68
04/09/2018	SWAFFORD, WILLIAM	TD AMERITRADE	61,522.32	303.40	0.00	303.40	0.0	273.06
04/09/2018	TRIPP, STEVEN	TD AMERITRADE	164,481.33	811.14	0.00	811.14	0.0	730.03
04/09/2018	TRIPP, STEVEN	TD AMERITRADE	66,395.22	327.43	0.00	327.43	0.0	294.69
04/09/2018	VAUGHN, MEREDITH	TD AMERITRADE	14,213.02	70.09	0.00	70.09	0.0	63.08
04/09/2018	VAUGHN, MEREDITH	TD AMERITRADE	83,354.17	411.06	0.00	411.06	0.0	369.95
04/09/2018	VOGL, NICOLE	TD AMERITRADE	158,438.93	781.34	0.00	781.34	0.0	703.21
04/09/2018	VOGL, NICOLE	TD AMERITRADE	75,675.21	373.19	0.00	373.19	0.0	335.87
04/09/2018	VOGL, ISABELLA	TD AMERITRADE	12,283.84	30.29	0.00	30.29	0.0	27.26
04/09/2018	WENDT, RENNE	TD AMERITRADE	135,201.21	666.75	0.00	666.75	0.0	600.08
04/09/2018	WHEELER, MARSHA	TD AMERITRADE	90,180.45	444.73	0.00	444.73	0.0	400.26
04/09/2018	WOLF, CHRISTY	TD AMERITRADE	39,900.12	196.77	0.00	196.77	0.0	177.09
04/09/2018	ZGAJEWSKI, MARIUSZ	TD AMERITRADE	62,322.31	307.34	0.00	307.34	0.0	276.61
* MARK-H122 HUBER SUBTOTAL *			\$4,540,409.75	\$21,197.53	\$0.00	\$21,197.53		\$19,077.83
*** OVERRIDE TRANSACTIONS TOTAL ***			\$4,540,409.75	\$21,197.53	\$0.00	\$21,197.53		\$19,077.83

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BROKER COMMISSION TOTALS

Broker Transactions	\$65,937.14
Split Transactions	\$0.00
Override Transactions	\$19,077.83
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$85,014.97
Year-to-date Gross	\$170,109.40
Year-to-date Earnings	\$172,176.47