



All Companies
COMMISSION STATEMENT
March 15, 2018

03/14/2018
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RICHARD E. FENGLER
513 HARBOR LANE

BROKER #: 2990
BRANCH: HOME OFFICE

Hickory Creek, TX 75065-7546

COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/28/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	1,423.89	1,423.89	0.00	1,423.89	85.0	1,210.31
01/31/2018	TRAILS	ING FUNDS	B	763.19	763.19	0.00	763.19	85.0	648.71
02/26/2018	00002196237	PARKS, EILEEN/EMMA US GLOBAL LEADERS GROWTH A	B	25.00	1.06	0.00	1.06	85.0	0.90
02/26/2018	00002196244	PARKS, EILEEN/KATHERIN US GLOBAL LEADERS GROWTH A	B	25.00	1.06	0.00	1.06	85.0	0.90
02/15/2018	00063561880	WALKER, ROBERT A. FIDELITY ADV STRATEGIC INCOME CL C	B	300.00	3.00	0.00	3.00	85.0	2.55
02/15/2018	00655125367	TURNER, DONNA FIDELITY ADV CONSUMER STAPLES-CL A	B	125.00	3.44	0.00	3.44	85.0	2.92
03/01/2018	00689654523	BOYLE, JOHN FIDELITY ADVISORS HIGH INCOME MUNI	B	1,500.00	30.00	0.00	30.00	85.0	25.50
	/MARION								
02/12/2018	00701863771	EPPERSON, FA 529 PORT 2019-CL A	B	100.00	3.75	0.00	3.75	85.0	3.19
	JOANN/SUSAN								
03/01/2018	00704636216	MICHAEL A FA 529 PORT 2025-CL A	B	50.00	2.50	0.00	2.50	85.0	2.13
	SCHOEMEHL, BENE								
02/20/2018	01453480640	FITZGERALD, ELAINE FIDELITY ADV FLOATING RATE HIGH INC	B	132.14	1.32	0.00	1.32	85.0	1.12
02/15/2018	01476253412	DUNFORD, GINA M. FA 529 PORT 2019-CL C	B	50.00	0.50	0.00	0.50	85.0	0.43
02/15/2018	01482022975	FENGLER, KELLY FA 529 GROWTH OPPORTUNITIES PORT	B	100.00	1.00	0.00	1.00	85.0	0.85
02/20/2018	01489907152	BARGAS, FA 529 100% EQUITY PORT-CL C	B	200.00	2.00	0.00	2.00	85.0	1.70
	JEFFERY/MADISON								
02/26/2018	5450900284	BALLAS, MELISSA L MODERATE INVESTOR FUND A	B	100.00	4.75	0.00	4.75	85.0	4.04
* MUTUAL FUNDS SUBTOTAL *				\$4,894.22	\$2,241.46	\$0.00	\$2,241.46		\$1,905.25

PRODUCT CATEGORY: VARIABLE ANNUITIES

03/02/2018	TRAILS	-JOHN HANCOCK	B	9.34	9.34	0.00	9.34	85.0	7.94
* VARIABLE ANNUITIES SUBTOTAL *				\$9.34	\$9.34	\$0.00	\$9.34		\$7.94

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/15/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES		0.00	21.82	0.00	21.82	85.0	18.55
03/15/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	234.56	0.00	234.56	85.0	199.39
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$256.38	\$0.00	\$256.38		\$217.94
*** BROKER TRANSACTIONS TOTAL ***				\$4,903.56	\$2,507.18	\$0.00	\$2,507.18		\$2,131.13

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ADJUSTMENTS

03/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
03/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,131.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,003.63
Year-to-date Earnings	\$15,294.25