

STATEMENT

January 20, 2018

MICHAEL-TERMINATED ROASA

17470 N Pacesetter Way
SUITE 620
Scottsdale, AZ 85255

BROKER #: 165

BRANCH:

COMMISSION STATEMENT for MICHAEL-TERMINATED ROASA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
11/15/2017	#04000010563	GARRISONJU	AM FUNDS	200.00	10.00	92.0	0.00	9.20	9.20
11/15/2017	#00085191928	MONTERO,	AMCAP FUND-529A	50.00	1.88	92.0	0.00	1.73	1.73
11/15/2017	#00082239930	MONTERO,	AMCAP FUND-529A	50.00	1.88	92.0	0.00	1.73	1.73
11/15/2017	#00082239930	MONTERO,	AMCAP FUND-529A	50.00	1.88	92.0	0.00	1.73	1.73
11/15/2017	#00075427348	MONTERO,	AMCAP FUND-529A	50.00	1.88	92.0	0.00	1.73	1.73
11/15/2017	#00075427348	MONTERO,	AMCAP FUND-529A	50.00	1.88	92.0	0.00	1.73	1.73
11/15/2017	#04000010563	GARRISONJU	AMCAP FUND-529A	200.00	10.00	92.0	0.00	9.20	9.20
11/15/2017	#00089764458	CHALLASURE	AMCAP FUND-529A	100.00	5.00	92.0	0.00	4.60	4.60
11/15/2017	#00089764435	CHALLASURE	AMCAP FUND-529A	100.00	5.00	92.0	0.00	4.60	4.60
11/15/2017	#00089764435	CHALLASURE	AMERICAN BALANCED FUND-529A	100.00	5.00	92.0	0.00	4.60	4.60
11/15/2017	#00089764458	CHALLASURE	AMERICAN BALANCED FUND-529A	100.00	5.00	92.0	0.00	4.60	4.60
11/15/2017	#04000010563	GARRISONJU	AMERICAN FUNDS 02629M58	200.00	7.00	92.0	0.00	6.44	6.44
11/15/2017	#00087188339	MCDOWAN, GEL	BOND FUND OF AMERICA INC CL A	50.00	1.50	92.0	0.00	1.38	1.38
11/15/2017	#04000010563	GARRISONJU	FUNDAMENTAL INVESTORS-529A	200.00	10.00	92.0	0.00	9.20	9.20
11/15/2017	#00089764458	CHALLASURE	FUNDAMENTAL INVESTORS-529A	100.00	5.00	92.0	0.00	4.60	4.60
11/15/2017	#00089764435	CHALLASURE	FUNDAMENTAL INVESTORS-529A	100.00	5.00	92.0	0.00	4.60	4.60
11/15/2017	#00089764458	CHALLASURE	NEW PERSPECTIVE FUND-529A	100.00	5.00	92.0	0.00	4.60	4.60
11/15/2017	#00089764435	CHALLASURE	NEW PERSPECTIVE FUND-529A	100.00	5.00	92.0	0.00	4.60	4.60
11/15/2017	#04000010563	GARRISONJU	THE GROWTH FUND OF AMERICA-529A	200.00	10.00	92.0	0.00	9.20	9.20
11/15/2017	#00089764458	CHALLASURE	THE INCOME FUND OF AMERICA-529A	100.00	5.00	92.0	0.00	4.60	4.60
11/15/2017	#00089764435	CHALLASURE	THE INCOME FUND OF AMERICA-529A	100.00	5.00	92.0	0.00	4.60	4.60
11/16/2017	#00075746809	CHICK, SETH	AMCAP FUND-529A	50.00	2.13	92.0	0.00	1.96	1.96
11/16/2017	#00073849715	CHICK, MADISON	AMCAP FUND-529A	50.00	2.13	92.0	0.00	1.96	1.96
* MUTUAL FUNDS SUBTOTAL *				\$2,400.00	\$112.16	\$0.00	\$103.19	\$0.00	\$103.19
*** BROKER TRANSACTIONS TOTAL ***				\$2,400.00	\$112.16	\$0.00	\$103.19	\$0.00	\$103.19

BROKER COMMISSION TOTALS

Broker Transactions	\$103.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$103.19
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