



All Companies

# COMMISSION STATEMENT February 15, 2018

ROTHSCHILD/POOL

BROKER #: 9629  
BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for ROTHSCCHILD/POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	118.73	0.00	0.00	0.00	100.0	0.00
01/25/2018	0000000000003549 CAMAGANACAN, SIXTO/ROSALINA	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	0.00	0.00	0.00	100.0	0.00
01/25/2018	0000000000817890 TEN, JT	FRANKLIN VALUE FD CL II	B	100.00	0.00	0.00	0.00	100.0	0.00
01/25/2018	0000000001223650 TEN, JT	FRANKLIN 102 AGE HIGH INCOME FD	C B	50.00	0.00	0.00	0.00	100.0	0.00
01/25/2018	0000000003564376 TEN, JT	TEMP GLOBAL BOND FND - CL C	B	50.00	0.00	0.00	0.00	100.0	0.00
01/30/2018	00063666255 JACKSON, STACY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	0.00	0.00	0.00	100.0	0.00
01/31/2018	00063666255 JACKSON, STACY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	900.00	0.00	0.00	0.00	100.0	0.00
02/01/2018	00064082782 KRAATZ, MATTHEW	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	50.00	0.00	0.00	0.00	100.0	0.00
02/02/2018	00081247942 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	250.00	0.00	0.00	0.00	100.0	0.00
01/22/2018	00087441316 DAMRON/HUPP, MARTHA/ABIGAIL	COLLEGE 2018 FUND-529A	B	50.00	0.00	0.00	0.00	100.0	0.00
01/25/2018	04000758622 CHUA, SERGIO	AMERICAN FUNDS BALANCED FD	B	2,000.00	0.00	0.00	0.00	100.0	0.00
01/25/2018	04000758622 CHUA, SERGIO	AMERICAN FUNDS BD FD OF AMER FD	B	2,500.00	0.00	0.00	0.00	100.0	0.00
01/25/2018	04000758622 CHUA, SERGIO	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	2,000.00	0.00	0.00	0.00	100.0	0.00
01/25/2018	04000758622 CHUA, SERGIO	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	1,500.00	0.00	0.00	0.00	100.0	0.00
01/25/2018	04000758622 CHUA, SERGIO	AMERICAN FUNDS INCOME FD OF AMERI B	B	2,000.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$11,718.73	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***				\$11,718.73	\$0.00	\$0.00	\$0.00		\$0.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>