

COMMISSION STATEMENT

October 31, 2017

VAN DREGGE OLMSTEAD
10 RICHARDS DRIVE

BROKER #: 68
BRANCH: HOME OFFICE

WILMINGTON, DE 19810

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/16/2017 75501391	BORISH, MURIEL	SPDR SERIES TRUST SPDR BLOOMBERG BA B		0.00	0.00	0.00	0.00	70.0	0.00
10/16/2017 75501475	PETRONIS, GEORGE	SPRINT CORPORATION COM SER 1	S	10,725.00	328.62	0.00	328.62	70.0	230.03
10/25/2017 75501475	PETRONIS, GEORGE	AT&T INC	B	3,344.50	86.64	0.00	86.64	70.0	60.65
10/20/2017 75501726	PENN, SHELDON	AT&T INC	B	15,200.00	303.21	0.00	303.21	70.0	212.25
10/20/2017 75501726	PENN, SHELDON	GOVERNMENT PROPERTIES INCOME TRUST	B	13,500.00	297.89	0.00	297.89	70.0	208.52
10/16/2017 75501727	PENN, SHELDON	DOMINOS PIZZA INC	S	7,784.98	0.00	0.00	0.00	70.0	0.00
10/16/2017 75501727	PENN, SHELDON	MARTIN MARIETTA MATLS INC	S	5,122.20	0.00	0.00	0.00	70.0	0.00
10/16/2017 75501727	PENN, SHELDON	TORO CO	S	8,109.74	0.00	0.00	0.00	70.0	0.00
10/16/2017 75501773	SMITH, GLENN	DOMINOS PIZZA INC	S	4,865.62	0.00	0.00	0.00	70.0	0.00
10/16/2017 75501773	SMITH, GLENN	MARTIN MARIETTA MATLS INC	S	3,073.32	0.00	0.00	0.00	70.0	0.00
10/16/2017 75501773	SMITH, GLENN	TORO CO	S	4,990.61	0.00	0.00	0.00	70.0	0.00
10/06/2017 75501774	SMITH, GLENN	FIDELITY COVINGTON TR MSCI UTILITIE B		3,998.59	0.00	0.00	0.00	70.0	0.00
10/06/2017 75501774	SMITH, GLENN	FIDELITY COVINGTON TR MSCI TELECOMM B		3,708.43	0.00	0.00	0.00	70.0	0.00
10/06/2017 75501774	SMITH, GLENN	FIDELITY COVINGTON TR MSCI MATERIAL B		1,614.48	0.00	0.00	0.00	70.0	0.00
10/06/2017 75501774	SMITH, GLENN	FIDELITY COVINGTON TRUST FIDELITY M B		3,436.30	0.00	0.00	0.00	70.0	0.00
10/06/2017 75501774	SMITH, GLENN	FIDELITY COVINGTON TR MSCI INFORMAT B		2,485.17	0.00	0.00	0.00	70.0	0.00
10/06/2017 75501774	SMITH, GLENN	FIDELITY MSCI CONSUMER DISCRETIONAR B		3,506.74	0.00	0.00	0.00	70.0	0.00
10/06/2017 75501774	SMITH, GLENN	FIDELITY MSCI CONSUMER STAPLES INDE S		22,242.83	0.00	0.00	0.00	70.0	0.00
10/06/2017 75501774	SMITH, GLENN	FIDELITY MSCI FINANCIALS INDEX ETF B		1,080.52	0.00	0.00	0.00	70.0	0.00
10/06/2017 75501774	SMITH, GLENN	FIDELITY MSCI INDUSTRIALS INDEX ETF B		2,212.80	0.00	0.00	0.00	70.0	0.00
10/06/2017 75501774	SMITH, GLENN	FIDELITY MSCI HEALTH CARE INDEX ETF B		1,723.42	0.00	0.00	0.00	70.0	0.00
10/18/2017 75501777	DERR, LEROY	SPDR S&P 500 ETF TRUST	S	274,831.13	0.00	0.00	0.00	70.0	0.00
10/16/2017 75501778	VORMWALD, G ROBERT	DOMINOS PIZZA INC	S	12,650.60	0.00	0.00	0.00	70.0	0.00
10/16/2017 75501778	VORMWALD, G ROBERT	MARTIN MARIETTA MATLS INC	S	9,219.96	0.00	0.00	0.00	70.0	0.00
10/16/2017 75501778	VORMWALD, G ROBERT	TORO CO	S	13,100.36	0.00	0.00	0.00	70.0	0.00
10/16/2017 75501779	OLMSTEAD, VAN	DOMINOS PIZZA INC	S	4,865.62	0.00	0.00	0.00	70.0	0.00
10/16/2017 75501779	OLMSTEAD, VAN	MARTIN MARIETTA MATLS INC	S	3,073.32	0.00	0.00	0.00	70.0	0.00
10/16/2017 75501779	OLMSTEAD, VAN	TORO CO	S	4,990.61	0.00	0.00	0.00	70.0	0.00
10/16/2017 75501783	HALLAM, DONALD	DOMINOS PIZZA INC	S	19,462.46	0.00	0.00	0.00	70.0	0.00
10/16/2017 75501783	HALLAM, DONALD	MARTIN MARIETTA MATLS INC	S	13,317.72	0.00	0.00	0.00	70.0	0.00
10/16/2017 75501783	HALLAM, DONALD	TORO CO	S	21,210.10	0.00	0.00	0.00	70.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
10/25/2017	75501787	PETRONIS, GEORGE	AMERICAN OUTDOOR BRANDS CORP COM	B	2,909.46	100.68	0.00	100.68	70.48
10/25/2017	75501787	PETRONIS, GEORGE	FORD MOTOR CO PAR \$0.01	B	2,395.00	92.88	0.00	92.88	65.02
10/27/2017	75501787	PETRONIS, GEORGE	FIRST SOLAR INC	S	24,525.00	454.59	0.00	454.59	318.21
10/16/2017	75501790	SZANTO, PETER	DOMINOS PIZZA INC	S	6,811.86	0.00	0.00	0.00	0.00
10/16/2017	75501790	SZANTO, PETER	MARTIN MARIETTA MATLS INC	S	5,122.20	0.00	0.00	0.00	0.00
10/16/2017	75501790	SZANTO, PETER	TORO CO	S	6,862.09	0.00	0.00	0.00	0.00
10/16/2017	75501793	WITMAN, RUSSELL	DOMINOS PIZZA INC	S	2,919.37	0.00	0.00	0.00	0.00
10/16/2017	75501793	WITMAN, RUSSELL	MARTIN MARIETTA MATLS INC	S	2,048.88	0.00	0.00	0.00	0.00
10/16/2017	75501793	WITMAN, RUSSELL	TORO CO	S	2,807.22	0.00	0.00	0.00	0.00
10/16/2017	75501794	WITMAN, RUSSELL	DOMINOS PIZZA INC	S	4,865.62	0.00	0.00	0.00	0.00
10/16/2017	75501794	WITMAN, RUSSELL	MARTIN MARIETTA MATLS INC	S	4,097.76	0.00	0.00	0.00	0.00
10/16/2017	75501794	WITMAN, RUSSELL	TORO CO	S	5,302.53	0.00	0.00	0.00	0.00
10/16/2017	75501805	BARBARAS, GLEN	DOMINOS PIZZA INC	S	4,865.62	0.00	0.00	0.00	0.00
10/16/2017	75501805	BARBARAS, GLEN	MARTIN MARIETTA MATLS INC	S	3,073.32	0.00	0.00	0.00	0.00
10/16/2017	75501805	BARBARAS, GLEN	TORO CO	S	5,614.44	0.00	0.00	0.00	0.00
10/06/2017	75501807	WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI UTILITIE B		6,571.59	0.00	0.00	0.00	0.00
10/06/2017	75501807	WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI TELECOMM B		6,038.51	0.00	0.00	0.00	0.00
10/06/2017	75501807	WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI MATERIAL B		2,589.90	0.00	0.00	0.00	0.00
10/06/2017	75501807	WRIGHT, PAMELA	FIDELITY COVINGTON TRUST FIDELITY M B		5,580.95	0.00	0.00	0.00	0.00
10/06/2017	75501807	WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI INFORMAT B		4,079.43	0.00	0.00	0.00	0.00
10/06/2017	75501807	WRIGHT, PAMELA	FIDELITY MSCI CONSUMER DISCRETIONAR B		5,734.97	0.00	0.00	0.00	0.00
10/06/2017	75501807	WRIGHT, PAMELA	FIDELITY MSCI CONSUMER STAPLES INDE S		36,520.98	0.00	0.00	0.00	0.00
10/06/2017	75501807	WRIGHT, PAMELA	FIDELITY MSCI FINANCIALS INDEX ETF B		1,736.55	0.00	0.00	0.00	0.00
10/06/2017	75501807	WRIGHT, PAMELA	FIDELITY MSCI INDUSTRIALS INDEX ETF B		3,614.24	0.00	0.00	0.00	0.00
10/06/2017	75501807	WRIGHT, PAMELA	FIDELITY MSCI HEALTH CARE INDEX ETF B		2,765.49	0.00	0.00	0.00	0.00
10/12/2017	75501807	WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI UTILITIE S		45,702.88	0.00	0.00	0.00	0.00
10/12/2017	75501807	WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI TELECOMM S		43,696.16	0.00	0.00	0.00	0.00
10/12/2017	75501807	WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI MATERIAL S		44,805.82	0.00	0.00	0.00	0.00
10/12/2017	75501807	WRIGHT, PAMELA	FIDELITY COVINGTON TRUST FIDELITY M S		45,095.13	0.00	0.00	0.00	0.00
10/12/2017	75501807	WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI INFORMAT S		45,514.88	0.00	0.00	0.00	0.00
10/12/2017	75501807	WRIGHT, PAMELA	FIDELITY MSCI CONSUMER DISCRETIONAR S		44,439.32	0.00	0.00	0.00	0.00
10/12/2017	75501807	WRIGHT, PAMELA	FIDELITY MSCI FINANCIALS INDEX ETF S		44,813.88	0.00	0.00	0.00	0.00
10/12/2017	75501807	WRIGHT, PAMELA	FIDELITY MSCI INDUSTRIALS INDEX ETF S		44,817.24	0.00	0.00	0.00	0.00
10/12/2017	75501807	WRIGHT, PAMELA	FIDELITY MSCI HEALTH CARE INDEX ETF S		44,679.04	0.00	0.00	0.00	0.00
10/12/2017	75501807	WRIGHT, PAMELA	SPDR S&P 500 ETF TRUST	B	394,223.02	0.00	0.00	0.00	0.00
10/16/2017	75501808	WRIGHT, PAMELA	DOMINOS PIZZA INC	S	3,892.49	0.00	0.00	0.00	0.00
10/16/2017	75501808	WRIGHT, PAMELA	MARTIN MARIETTA MATLS INC	S	3,073.32	0.00	0.00	0.00	0.00
10/16/2017	75501808	WRIGHT, PAMELA	TORO CO	S	3,742.96	0.00	0.00	0.00	0.00
10/16/2017	75501817	GELLIS, HOWARD	DOMINOS PIZZA INC	S	26,274.32	0.00	0.00	0.00	0.00
10/16/2017	75501817	GELLIS, HOWARD	MARTIN MARIETTA MATLS INC	S	18,439.92	0.00	0.00	0.00	0.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
10/16/2017	75501817	GELLIS, HOWARD	TORO CO	S	28,072.19	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501818	GELLIS, HOWARD	FIDELITY COVINGTON TR MSCI UTILITIE B		13,351.80	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501818	GELLIS, HOWARD	FIDELITY COVINGTON TR MSCI TELECOMM B		12,273.93	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501818	GELLIS, HOWARD	FIDELITY COVINGTON TR MSCI MATERIAL B		5,280.70	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501818	GELLIS, HOWARD	FIDELITY COVINGTON TRUST FIDELITY M B		11,332.49	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501818	GELLIS, HOWARD	FIDELITY COVINGTON TR MSCI INFORMAT B		8,299.53	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501818	GELLIS, HOWARD	FIDELITY MSCI CONSUMER DISCRETIONAR B		11,652.59	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501818	GELLIS, HOWARD	FIDELITY MSCI CONSUMER STAPLES INDE S		73,592.36	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501818	GELLIS, HOWARD	FIDELITY MSCI FINANCIALS INDEX ETF B		3,627.46	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501818	GELLIS, HOWARD	FIDELITY MSCI INDUSTRIALS INDEX ETF B		7,376.00	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501818	GELLIS, HOWARD	FIDELITY MSCI HEALTH CARE INDEX ETF B		5,691.29	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501822	KLAHN, ROBERT	DOMINOS PIZZA INC	S	5,838.74	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501822	KLAHN, ROBERT	MARTIN MARIETTA MATLS INC	S	4,097.76	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501822	KLAHN, ROBERT	TORO CO	S	5,614.44	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501858	REMINGTON, THOMAS	DOMINOS PIZZA INC	S	12,650.60	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501858	REMINGTON, THOMAS	MARTIN MARIETTA MATLS INC	S	8,195.52	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501858	REMINGTON, THOMAS	TORO CO	S	13,100.36	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501861	BENJAMIN, WAYNE	DOMINOS PIZZA INC	S	5,838.74	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501861	BENJAMIN, WAYNE	MARTIN MARIETTA MATLS INC	S	4,097.76	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501861	BENJAMIN, WAYNE	TORO CO	S	6,238.27	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501870	ESKENAZI, PHOEBE	DOMINOS PIZZA INC	S	2,919.37	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501870	ESKENAZI, PHOEBE	MARTIN MARIETTA MATLS INC	S	2,048.88	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501870	ESKENAZI, PHOEBE	TORO CO	S	3,742.96	0.00	0.00	0.00	70.0	0.00
09/29/2017	75501883	MARTIN, KATHY	SPDR INDEX SHS FDS DOW JONES GLOBAL B		381.28	0.00	0.00	0.00	70.0	0.00
09/29/2017	75501883	MARTIN, KATHY	SPDR INDEX SHS FDS S&P EMERGING MAR S		361.42	0.00	0.00	0.00	70.0	0.00
09/29/2017	75501883	MARTIN, KATHY	SPDR INDEX SHS FDS S&P INTERNATIONAL S		34.91	0.00	0.00	0.00	70.0	0.00
09/29/2017	75501883	MARTIN, KATHY	SPDR INDEX SHS FDS S&P WORLD EX-US S		489.60	0.00	0.00	0.00	70.0	0.00
09/29/2017	75501883	MARTIN, KATHY	SPDR INDEX SHARES FUNDS SPDR S&P EM S		49.58	0.00	0.00	0.00	70.0	0.00
09/29/2017	75501883	MARTIN, KATHY	SPDR RUSSELL 2000 ETF	S	262.63	0.00	0.00	0.00	70.0	0.00
09/29/2017	75501883	MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		635.36	0.00	0.00	0.00	70.0	0.00
09/29/2017	75501883	MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		112.98	0.00	0.00	0.00	70.0	0.00
09/29/2017	75501883	MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		91.49	0.00	0.00	0.00	70.0	0.00
09/29/2017	75501883	MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		186.55	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501883	MARTIN, KATHY	SPDR INDEX SHS FDS S&P EMERGING MAR B		0.00	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501883	MARTIN, KATHY	SPDR RUSSELL 1000 ETF	B	0.00	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501883	MARTIN, KATHY	SPDR RUSSELL 2000 ETF	B	0.00	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501883	MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		0.00	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501884	MARTIN, KATHY	DOMINOS PIZZA INC	S	8,758.11	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501884	MARTIN, KATHY	MARTIN MARIETTA MATLS INC	S	6,146.64	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501884	MARTIN, KATHY	TORO CO	S	9,045.48	0.00	0.00	0.00	70.0	0.00

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
10/06/2017	75501885 MARTIN, KATHY	FIDELITY COVINGTON TR MSCI UTILITIE B		3,059.79	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501885 MARTIN, KATHY	FIDELITY COVINGTON TR MSCI TELECOMM B		2,855.17	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501885 MARTIN, KATHY	FIDELITY COVINGTON TR MSCI MATERIAL B		1,210.86	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501885 MARTIN, KATHY	FIDELITY COVINGTON TRUST FIDELITY M B		2,607.69	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501885 MARTIN, KATHY	FIDELITY COVINGTON TR MSCI INFORMAT B		1,922.49	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501885 MARTIN, KATHY	FIDELITY MSCI CONSUMER DISCRETIONAR B		2,666.58	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501885 MARTIN, KATHY	FIDELITY MSCI CONSUMER STAPLES INDE S		16,933.04	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501885 MARTIN, KATHY	FIDELITY MSCI FINANCIALS INDEX ETF B		848.98	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501885 MARTIN, KATHY	FIDELITY MSCI INDUSTRIALS INDEX ETF B		1,696.48	0.00	0.00	0.00	70.0	0.00
10/06/2017	75501885 MARTIN, KATHY	FIDELITY MSCI HEALTH CARE INDEX ETF B		1,322.62	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501888 KAYLOR, KEVIN	DOMINOS PIZZA INC	S	1,946.25	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501888 KAYLOR, KEVIN	MARTIN MARIETTA MATLS INC	S	1,024.44	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501888 KAYLOR, KEVIN	TORO CO	S	2,183.39	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501890 KAYLOR, KEVIN	DOMINOS PIZZA INC	S	10,704.35	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501890 KAYLOR, KEVIN	MARTIN MARIETTA MATLS INC	S	8,195.52	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501890 KAYLOR, KEVIN	TORO CO	S	11,852.70	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501914 NATASHA RAJ AHUJA	DOMINOS PIZZA INC	S	5,838.74	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501914 NATASHA RAJ AHUJA	MARTIN MARIETTA MATLS INC	S	4,097.76	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501914 NATASHA RAJ AHUJA	TORO CO	S	5,614.44	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501946 ANYA P AHUJA 1999	DOMINOS PIZZA INC	S	5,838.74	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501946 ANYA P AHUJA 1999	MARTIN MARIETTA MATLS INC	S	4,097.76	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501946 ANYA P AHUJA 1999	TORO CO	S	5,614.44	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501953 MCCRAY, ROBIN	DOMINOS PIZZA INC	S	3,892.49	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501953 MCCRAY, ROBIN	MARTIN MARIETTA MATLS INC	S	3,073.32	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501953 MCCRAY, ROBIN	TORO CO	S	4,054.87	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953 MCCRAY, ROBIN	AGRIUM INC	S	1,067.83	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953 MCCRAY, ROBIN	BECTON DICKINSON & CO	S	2,043.50	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953 MCCRAY, ROBIN	BROADCOM LIMITED SHS	S	1,205.56	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953 MCCRAY, ROBIN	CANADIAN PACIFIC RAILWAY LTD	S	886.71	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953 MCCRAY, ROBIN	CATERPILLAR INC	S	1,972.50	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953 MCCRAY, ROBIN	CELANESE CORPORATION SERIES A	S	1,549.84	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953 MCCRAY, ROBIN	CHARLES RIV LABORATORIES INTL INC C S		1,144.10	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953 MCCRAY, ROBIN	CUMMINS INC	S	1,747.00	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953 MCCRAY, ROBIN	EAGLE MATERIALS INC	S	1,629.30	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953 MCCRAY, ROBIN	ELECTRONIC ARTS INC	S	1,127.60	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953 MCCRAY, ROBIN	FACEBOOK INC CL A	S	1,762.50	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953 MCCRAY, ROBIN	HEICO CORPORATION	S	1,344.30	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953 MCCRAY, ROBIN	ILLINOIS TOOL WORKS INC	S	1,539.50	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953 MCCRAY, ROBIN	J P MORGAN CHASE & CO	S	1,468.65	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953 MCCRAY, ROBIN	LAM RESEARCH CORP	S	1,933.33	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
10/18/2017	75501953	MCCRAY, ROBIN		LEAR CORPORATION	S	1,729.93	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953	MCCRAY, ROBIN		LENNOX INTL INC	S	1,815.73	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953	MCCRAY, ROBIN		LOCKHEED MARTIN CORP	S	1,578.40	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953	MCCRAY, ROBIN		NORTHROP GRUMMAN CORP	S	1,459.61	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953	MCCRAY, ROBIN		PARKER HANNIFIN CORP	S	907.90	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953	MCCRAY, ROBIN		PROOFPOINT INC	S	1,408.20	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953	MCCRAY, ROBIN		S&P GLOBAL INC COM	S	1,613.83	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953	MCCRAY, ROBIN		SERVICENOW INC COM	S	1,821.34	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953	MCCRAY, ROBIN		THERMO FISHER SCIENTIFIC INC	S	1,878.20	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953	MCCRAY, ROBIN		THOR INDS INC	S	1,957.39	0.00	0.00	0.00	70.0	0.00
10/18/2017	75501953	MCCRAY, ROBIN		VAIL RESORTS INC	S	2,190.30	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501960	BLUE PELICAN LLC		DOMINOS PIZZA INC	S	20,435.58	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501960	BLUE PELICAN LLC		MARTIN MARIETTA MATLS INC	S	14,342.16	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501960	BLUE PELICAN LLC		TORO CO	S	21,833.93	0.00	0.00	0.00	70.0	0.00
* STOCKS SUBTOTAL *						\$2,004,147.89	\$1,664.51	\$0.00	\$1,664.51		\$1,165.16
PRODUCT CATEGORY: OPTIONS											
10/05/2017	75501726	PENN, SHELDON		CALL SQ 01/19/18 23	S	2,400.00	70.90	0.00	70.90	70.0	49.63
10/09/2017	75501726	PENN, SHELDON		PUT NWL 01/19/18 47	B	1,320.00	49.50	0.00	49.50	70.0	34.65
10/16/2017	75501726	PENN, SHELDON		CALL DLTR 02/16/18 87.50	B	2,370.00	70.45	0.00	70.45	70.0	49.32
10/16/2017	75501726	PENN, SHELDON		CALL DPZ 01/19/18 185	S	4,080.00	88.88	0.00	88.88	70.0	62.22
10/16/2017	75501726	PENN, SHELDON		PUT DIS 01/19/18 105	S	2,565.00	73.08	0.00	73.08	70.0	51.16
10/23/2017	75501726	PENN, SHELDON		CALL AXP 01/19/18 87.50	B	2,025.00	65.25	0.00	65.25	70.0	45.68
10/23/2017	75501726	PENN, SHELDON		CALL SYMC 01/19/18 29	S	2,125.00	79.69	0.00	79.69	70.0	55.78
10/30/2017	75501726	PENN, SHELDON		CALL EXPE 01/19/18 140	S	405.00	37.50	0.00	37.50	70.0	26.25
10/30/2017	75501726	PENN, SHELDON		PUT CAG 03/16/18 35	B	1,280.00	44.80	0.00	44.80	70.0	31.36
10/30/2017	75501726	PENN, SHELDON		PUT NWL 01/19/18 47	S	2,160.00	67.28	0.00	67.28	70.0	47.10
* OPTIONS SUBTOTAL *						\$20,730.00	\$647.33	\$0.00	\$647.33		\$453.15
PRODUCT CATEGORY: VARIABLE ANNUITIES											
10/23/2017	(None)	VARIOUS, OLMSTEAD		JOHN HANCOCK	B	0.00	283.12	0.00	283.12	70.0	198.18
10/23/2017	(None)	VARIOUS, OLMSTEAD		JOHN HANCOCK	B	0.00	1.00	0.00	1.00	70.0	0.70
10/23/2017	(None)	VARIOUS, OLMSTEAD		NATIONWIDE	B	0.00	32.22	0.00	32.22	70.0	22.55
* VARIABLE ANNUITIES SUBTOTAL *						\$0.00	\$316.34	\$0.00	\$316.34		\$221.43
PRODUCT CATEGORY: MISCELLANEOUS											
10/31/2017	VARIOUS, OLMSTEAD			ADVISORY INCOM	B	0.00	-40,196.66	0.00	-40,196.66	70.0	-28,137.66
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$-40,196.66	\$0.00	\$-40,196.66		\$-28,137.66

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
10/16/2017	75501391 BORISH, MURIEL	FAS FEES	B	-595.78	595.78	0.00	595.78	70.0	417.05
10/16/2017	75501726 PENN, SHELDON	FAS FEES	B	-1,740.99	0.00	0.00	0.00	70.0	0.00
10/16/2017	75501727 PENN, SHELDON	FAS FEES	B	0.00	1,740.99	0.00	1,740.99	70.0	1,218.69
10/16/2017	75501733 OLMSTEAD, CHRISTYN	FAS FEES	B	-678.14	678.14	0.00	678.14	70.0	474.70
10/16/2017	75501772 OLMSTEAD, ELIZABETH	FAS FEES	B	-230.30	230.30	0.00	230.30	70.0	161.21
10/16/2017	75501773 SMITH, GLENN	FAS FEES	B	-1,036.67	1,036.67	0.00	1,036.67	70.0	725.67
10/16/2017	75501774 SMITH, GLENN	FAS FEES	B	-968.37	968.37	0.00	968.37	70.0	677.86
10/16/2017	75501777 DERR, LEROY	FAS FEES	B	-1,077.43	1,077.43	0.00	1,077.43	70.0	754.20
10/16/2017	75501778 VORMWALD, G ROBERT	FAS FEES	B	-2,700.02	2,700.02	0.00	2,700.02	70.0	1,890.01
10/16/2017	75501779 OLMSTEAD, VAN	FAS FEES	B	-1,082.80	1,082.80	0.00	1,082.80	70.0	757.96
10/16/2017	75501780 OLMSTEAD, VAN	FAS FEES	B	-466.23	466.23	0.00	466.23	70.0	326.36
10/16/2017	75501781 HALLAM, DONALD	FAS FEES	B	-470.10	470.10	0.00	470.10	70.0	329.07
10/16/2017	75501782 HALLAM, DONALD	FAS FEES	B	-343.78	343.78	0.00	343.78	70.0	240.65
10/16/2017	75501783 HALLAM, DONALD	FAS FEES	B	-4,039.44	4,039.44	0.00	4,039.44	70.0	2,827.61
10/16/2017	75501784 HALLAM, DONALD	FAS FEES	B	-1,324.15	1,324.15	0.00	1,324.15	70.0	926.91
10/16/2017	75501790 SZANTO, PETER	FAS FEES	B	-1,467.42	1,467.42	0.00	1,467.42	70.0	1,027.19
10/16/2017	75501791 SZANTO, PETER	FAS FEES	B	-1,573.61	1,573.61	0.00	1,573.61	70.0	1,101.53
10/16/2017	75501793 WITMAN, RUSSELL	FAS FEES	B	-616.30	616.30	0.00	616.30	70.0	431.41
10/16/2017	75501794 WITMAN, RUSSELL	FAS FEES	B	-1,147.59	1,147.59	0.00	1,147.59	70.0	803.31
10/16/2017	75501805 BARBARAS, GLEN	FAS FEES	B	-1,123.80	1,123.80	0.00	1,123.80	70.0	786.66
10/16/2017	75501807 WRIGHT, PAMELA	FAS FEES	B	-2,011.89	2,011.89	0.00	2,011.89	70.0	1,408.32
10/16/2017	75501808 WRIGHT, PAMELA	FAS FEES	B	-768.89	768.89	0.00	768.89	70.0	538.22
10/16/2017	75501817 GELLIS, HOWARD	FAS FEES	B	-4,950.57	4,950.57	0.00	4,950.57	70.0	3,465.40
10/16/2017	75501818 GELLIS, HOWARD	FAS FEES	B	-3,156.53	3,156.53	0.00	3,156.53	70.0	2,209.57
10/16/2017	75501820 KLAHN, ROBERT	FAS FEES	B	-938.31	938.31	0.00	938.31	70.0	656.82
10/16/2017	75501822 KLAHN, ROBERT	FAS FEES	B	-1,215.28	1,215.28	0.00	1,215.28	70.0	850.70
10/16/2017	75501857 REMINGTON, THOMAS	FAS FEES	B	-260.23	260.23	0.00	260.23	70.0	182.16
10/16/2017	75501858 REMINGTON, THOMAS	FAS FEES	B	-2,641.61	2,641.61	0.00	2,641.61	70.0	1,849.13
10/16/2017	75501859 LOVE, MARGARET	FAS FEES	B	-486.71	486.71	0.00	486.71	70.0	340.70
10/16/2017	75501860 LOVE, MARGARET	FAS FEES	B	-1,025.27	1,025.27	0.00	1,025.27	70.0	717.69
10/16/2017	75501861 BENJAMIN, WAYNE	FAS FEES	B	-1,314.62	1,314.62	0.00	1,314.62	70.0	920.23
10/16/2017	75501862 BENJAMIN, WAYNE	FAS FEES	B	-336.03	336.03	0.00	336.03	70.0	235.22
10/16/2017	75501866 WELAISH, JEFFREY	FAS FEES	B	-1,435.43	1,435.43	0.00	1,435.43	70.0	1,004.80
10/16/2017	75501870 ESKENAZI, PHOEBE	FAS FEES	B	-730.59	730.59	0.00	730.59	70.0	511.41
10/16/2017	75501884 MARTIN, KATHY	FAS FEES	B	-1,907.97	1,907.97	0.00	1,907.97	70.0	1,335.58
10/16/2017	75501885 MARTIN, KATHY	FAS FEES	B	-737.92	737.92	0.00	737.92	70.0	516.54
10/16/2017	75501888 KAYLOR, KEVIN	FAS FEES	B	-420.74	420.74	0.00	420.74	70.0	294.52
10/16/2017	75501889 KAYLOR, KEVIN	FAS FEES	B	-544.14	544.14	0.00	544.14	70.0	380.90
10/16/2017	75501890 KAYLOR, KEVIN	FAS FEES	B	-2,400.69	2,400.69	0.00	2,400.69	70.0	1,680.48
10/16/2017	75501914 NATASHA RAJ AHUJA	FAS FEES	B	-1,216.44	1,216.44	0.00	1,216.44	70.0	851.51

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
10/16/2017	75501925	HOWELL, WILLIAM	FAS FEES	B	-272.99	272.99	0.00	272.99	70.0	191.09
10/16/2017	75501926	KILPATRICK, CHARLES	FAS FEES	B	-988.26	988.26	0.00	988.26	70.0	691.78
10/16/2017	75501946	ANYA P AHUJA 1999	FAS FEES	B	-1,215.84	1,215.84	0.00	1,215.84	70.0	851.09
10/16/2017	75501952	HOWELL, WILLIAM	FAS FEES	B	-336.26	336.26	0.00	336.26	70.0	235.38
10/16/2017	75501953	MCCRAY, ROBIN	FAS FEES	B	-853.08	853.08	0.00	853.08	70.0	597.16
10/16/2017	75501954	MCCRAY, GAIL	FAS FEES	B	-246.70	246.70	0.00	246.70	70.0	172.69
10/16/2017	75501960	BLUE PELICAN LLC	FAS FEES	B	-4,148.14	4,148.14	0.00	4,148.14	70.0	2,903.70
10/16/2017	75502088	KLAHN, ROBERT	FAS FEES	B	-1,050.88	1,050.88	0.00	1,050.88	70.0	735.62
* RIA SUBTOTAL *					\$-60,294.93	\$60,294.93	\$0.00	\$60,294.93		\$42,206.46

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/10/2017	75501392	BORISH, MURIEL	PIMCO TOTAL RETURN CLASS A	B	0.00	1.15	0.00	1.15	70.0	0.81
10/10/2017	75501393	BORISH, MURIEL	**VIRTUS MULTI SECTOR SHORT	B	0.00	18.17	0.00	18.17	70.0	12.72
10/10/2017	75501461	OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	2.28	0.00	2.28	70.0	1.60
10/10/2017	75501462	OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	0.78	0.00	0.78	70.0	0.00
10/10/2017	75501463	ZWASKIS, CAROLYN	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	0.87	0.00	0.87	70.0	0.00
10/10/2017	75501474	PETRONIS, GEORGE	**VIRTUS MULTI SECTOR SHORT	B	0.00	16.90	0.00	16.90	70.0	11.83
10/10/2017	75501498	LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	8.75	0.00	8.75	70.0	6.13
10/10/2017	75501498	LESTARDO, AVON	**VIRTUS MULTI ASSET TREND	B	0.00	1.01	0.00	1.01	70.0	0.71
10/10/2017	75501498	LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	2.65	0.00	2.65	70.0	1.86
10/10/2017	75501498	LESTARDO, AVON	FRANKLIN INCOME FUND CLASS A	B	0.00	0.05	0.00	0.05	70.0	0.00
10/05/2017	75501499	LESTARDO, AVON	PACIFIC FDS STRATEGIC INCOME FD CL	B	0.00	12.62	0.00	12.62	70.0	8.83
10/10/2017	75501499	LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	0.47	0.00	0.47	70.0	0.00
10/10/2017	75501499	LESTARDO, AVON	**VIRTUS MULTI SECTOR SHORT	B	0.00	2.00	0.00	2.00	70.0	1.40
10/10/2017	75501499	LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	2.00	0.00	2.00	70.0	1.40
10/10/2017	75501499	LESTARDO, AVON	FEDERATED WORLD INVT SER INC INTL S B		0.00	10.85	0.00	10.85	70.0	7.60
10/10/2017	75501499	LESTARDO, AVON	FRANKLIN INCOME FUND CLASS A	B	0.00	1.70	0.00	1.70	70.0	1.19
10/10/2017	75501502	MATHEUS, JEANMARIE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.49	0.00	3.49	70.0	2.44
10/10/2017	75501610	VORMWALD, G ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	4.10	0.00	4.10	70.0	2.87
10/10/2017	75501610	VORMWALD, G ROBERT	FRK UTIL FND - CL A	B	0.00	2.54	0.00	2.54	70.0	1.78
10/10/2017	75501611	VORMWALD, G ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	1.37	0.00	1.37	70.0	0.96
10/10/2017	75501612	VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	3.28	0.00	3.28	70.0	2.30
10/10/2017	75501613	VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	1.09	0.00	1.09	70.0	0.76
10/10/2017	75501614	VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	8.20	0.00	8.20	70.0	5.74
10/10/2017	75501614	VORMWALD, KATHLEEN	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	12.21	0.00	12.21	70.0	8.55
10/10/2017	75501726	PENN, SHELDON	**VIRTUS MULTI SECTOR SHORT	B	0.00	22.15	0.00	22.15	70.0	15.51
10/10/2017	75501726	PENN, SHELDON	JANUS GLOBAL UNCONSTRAINED BOND FUN B		0.00	4.21	0.00	4.21	70.0	2.95
10/10/2017	75501729	PENN, ELAYNE	**VIRTUS EQUITY TREND FUND	B	0.00	4.03	0.00	4.03	70.0	2.82
10/10/2017	75501729	PENN, ELAYNE	**VIRTUS MULTI SECTOR SHORT	B	0.00	6.39	0.00	6.39	70.0	4.47
10/10/2017	75501729	PENN, ELAYNE	GOLDMAN SACHS TR RISING DIVIDEND GR B		0.00	1.71	0.00	1.71	70.0	1.20

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/10/2017	75501729 PENN, ELAYNE	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	23.48	0.00	23.48	70.0	16.44
10/10/2017	75501730 PENN, ELAYNE	FRANKLIN INCOME FUND CLASS C	B	0.00	1.32	0.00	1.32	70.0	0.92
10/10/2017	75501735 GARNETT, ALFRED	DAVIS NEW YORK VENTURE CLASS A	B	0.00	3.06	0.00	3.06	70.0	2.14
10/10/2017	75501775 SMITH, GLENN	**VIRTUS EQUITY TREND FUND	B	0.00	5.37	0.00	5.37	70.0	3.76
10/10/2017	75501776 DERR, LEROY	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	6.79	0.00	6.79	70.0	4.75
10/10/2017	75501776 DERR, LEROY	**VIRTUS MULTI SECTOR SHORT	B	0.00	0.38	0.00	0.38	70.0	0.00
10/10/2017	75501787 PETRONIS, GEORGE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	20.02	0.00	20.02	70.0	14.01
10/10/2017	75501792 WITMAN, RUSSELL	**VIRTUS MULTI SECTOR SHORT	B	0.00	1.77	0.00	1.77	70.0	1.24
10/10/2017	75501806 BARBARAS, GLEN	**VIRTUS MULTI ASSET TREND	B	0.00	46.71	0.00	46.71	70.0	32.70
10/10/2017	75501806 BARBARAS, GLEN	BLACKROCK GLOBAL ALLOCATION FUND IN B	B	0.00	28.95	0.00	28.95	70.0	20.27
10/10/2017	75501809 WRIGHT, PAMELA	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	25.95	0.00	25.95	70.0	18.17
10/10/2017	75501819 KLAHN, ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	19.37	0.00	19.37	70.0	13.56
10/10/2017	75501819 KLAHN, ROBERT	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	53.31	0.00	53.31	70.0	37.32
10/10/2017	75501819 KLAHN, ROBERT	**VIRTUS MULTI SECTOR SHORT	B	0.00	23.08	0.00	23.08	70.0	16.16
10/10/2017	75501819 KLAHN, ROBERT	FEDERATED GLOBAL ALLOCATION FUND CL B	B	0.00	4.15	0.00	4.15	70.0	2.91
10/10/2017	75501819 KLAHN, ROBERT	JANUS GLOBAL UNCONSTRAINED BOND FUN B	B	0.00	63.16	0.00	63.16	70.0	44.21
10/10/2017	75501831 COLACINO, JOSEPH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.60	0.00	9.60	70.0	6.72
10/05/2017	75501842 STRAUGHN, SARAH	PACIFIC FDS CORE INCOME CL A	B	0.00	18.89	0.00	18.89	70.0	13.22
10/10/2017	75501842 STRAUGHN, SARAH	**DEUTSCHE MANAGED MUNICIPAL	B	0.00	33.16	0.00	33.16	70.0	23.21
10/10/2017	75501842 STRAUGHN, SARAH	**GUGGENHEIM FDS TR	B	0.00	3.03	0.00	3.03	70.0	2.12
10/10/2017	75501842 STRAUGHN, SARAH	**VIRTUS EQUITY TREND FUND	B	0.00	6.67	0.00	6.67	70.0	4.67
10/10/2017	75501842 STRAUGHN, SARAH	**VIRTUS MULTI ASSET TREND	B	0.00	9.11	0.00	9.11	70.0	6.38
10/10/2017	75501842 STRAUGHN, SARAH	**VIRTUS MULTI SECTOR SHORT	B	0.00	41.26	0.00	41.26	70.0	28.88
10/10/2017	75501842 STRAUGHN, SARAH	FEDERATED MUN SECS INCOME TR HIGH Y B	B	0.00	4.99	0.00	4.99	70.0	3.49
10/10/2017	75501842 STRAUGHN, SARAH	JANUS GLOBAL UNCONSTRAINED BOND FUN B	B	0.00	12.63	0.00	12.63	70.0	8.84
10/10/2017	75501844 STRAUGHN, SARAH	**VIRTUS EQUITY TREND FUND	B	0.00	0.63	0.00	0.63	70.0	0.00
10/10/2017	75501844 STRAUGHN, SARAH	AIM INTERNATIONAL GROWTH FUND - CL B	B	0.00	6.95	0.00	6.95	70.0	4.87
10/10/2017	75501844 STRAUGHN, SARAH	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	58.53	0.00	58.53	70.0	40.97
10/10/2017	75501844 STRAUGHN, SARAH	LORD ABBETT AFFILIATED FUND INC-CL B	B	0.00	41.51	0.00	41.51	70.0	29.06
10/10/2017	75501846 STRAUGHN, SARAH	TEMP WORLD FND - CL A	B	0.00	6.99	0.00	6.99	70.0	4.89
10/10/2017	75501846 STRAUGHN, SARAH	THE GROWTH FUND OF AMERICA-A	B	0.00	15.78	0.00	15.78	70.0	11.05
10/10/2017	75501846 STRAUGHN, SARAH	THE INVESTMENT COMPANY OF AMERICA-A B	B	0.00	16.47	0.00	16.47	70.0	11.53
10/10/2017	75501863 BENJAMIN, WAYNE	**VIRTUS EQUITY TREND FUND	B	0.00	9.54	0.00	9.54	70.0	6.68
10/10/2017	75501863 BENJAMIN, WAYNE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	27.73	0.00	27.73	70.0	19.41
10/10/2017	75501863 BENJAMIN, WAYNE	INVESCO MID CAP GROWTH FUND CLASS A B	B	0.00	26.95	0.00	26.95	70.0	18.87
10/10/2017	75501863 BENJAMIN, WAYNE	RYDEX SER FDS S&P 500 2X STRATEGY C B	B	0.00	35.49	0.00	35.49	70.0	24.84
10/10/2017	75501864 BENJAMIN, WAYNE	**VIRTUS EQUITY TREND FUND	B	0.00	2.14	0.00	2.14	70.0	1.50
10/10/2017	75501864 BENJAMIN, WAYNE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	6.83	0.00	6.83	70.0	4.78
10/10/2017	75501864 BENJAMIN, WAYNE	RYDEX SECTOR ROTATION FUND CLASS C B	B	0.00	3.43	0.00	3.43	70.0	2.40
10/10/2017	75501864 BENJAMIN, WAYNE	RYDEX SER FDS S&P 500 2X STRATEGY C B	B	0.00	20.11	0.00	20.11	70.0	14.08

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/10/2017	75501864 BENJAMIN, WAYNE	RYDEX SER FDS NOVA FD CL C	B	0.00	5.25	0.00	5.25	70.0	3.68
10/10/2017	75501865 BENJAMIN, WAYNE	AMCAP FUND-A	B	0.00	5.89	0.00	5.89	70.0	4.12
10/10/2017	75501871 ESKENAZI, PHOEBE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.89	0.00	3.89	70.0	2.72
10/10/2017	75501871 ESKENAZI, PHOEBE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	3.06	0.00	3.06	70.0	2.14
10/10/2017	75501871 ESKENAZI, PHOEBE	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	64.02	0.00	64.02	70.0	44.81
10/10/2017	75501872 ESKENAZI, PHOEBE	**VIRTUS EQUITY TREND FUND	B	0.00	3.14	0.00	3.14	70.0	2.20
10/10/2017	75501872 ESKENAZI, PHOEBE	AMCAP FUND-A	B	0.00	3.30	0.00	3.30	70.0	2.31
10/10/2017	75501872 ESKENAZI, PHOEBE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	1.03	0.00	1.03	70.0	0.72
10/10/2017	75501872 ESKENAZI, PHOEBE	FUNDAMENTAL INVESTORS-A	B	0.00	3.11	0.00	3.11	70.0	2.18
10/11/2017	75501872 ESKENAZI, PHOEBE	DELAWARE GROUP HIGH YIELD OPPORTUNI B	B	0.00	0.81	0.00	0.81	70.0	0.00
10/10/2017	75501880 WINDETT, ROSE	**VIRTUS EQUITY TREND FUND	B	0.00	0.67	0.00	0.67	70.0	0.00
10/10/2017	75501881 MARTIN, KATHY	JANUS INVT FD BALANCED FD CL C	B	0.00	40.97	0.00	40.97	70.0	28.68
10/10/2017	75501882 MARTIN, KATHY	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	6.64	0.00	6.64	70.0	4.65
10/10/2017	75501886 MARTIN, KATHY	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.51	0.00	9.51	70.0	6.66
10/10/2017	75501886 MARTIN, KATHY	**VIRTUS MULTI ASSET TREND	B	0.00	10.10	0.00	10.10	70.0	7.07
10/10/2017	75501891 KAYLOR, KEVIN	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	11.51	0.00	11.51	70.0	8.06
10/10/2017	75501891 KAYLOR, KEVIN	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	10.58	0.00	10.58	70.0	7.41
10/10/2017	75501892 KAYLOR, KRISTENE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	4.46	0.00	4.46	70.0	3.12
10/10/2017	75501893 KLAHN, ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	2.23	0.00	2.23	70.0	1.56
10/10/2017	75501910 RUTTER, DOUGLAS	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	4.93	0.00	4.93	70.0	3.45
10/10/2017	75501911 RUTTER, KENNETH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	4.95	0.00	4.95	70.0	3.47
10/10/2017	75501915 SPARRE, GERI	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.17	0.00	9.17	70.0	6.42
10/10/2017	75501927 VASSALOTTI, MARYANN	JANUS INVT FD BALANCED FD CL C	B	0.00	18.13	0.00	18.13	70.0	12.69
10/10/2017	75501928 GELLIS, HOWARD	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	10.02	0.00	10.02	70.0	7.01
10/10/2017	75501935 STAUFFER, EDWARD	RYDEX SERIES FDS EUROPE 1.25X STRAT B	B	0.00	0.59	0.00	0.59	70.0	0.00
10/18/2017	75501935 STAUFFER, EDWARD	**KINETICS PARADIGM FUND	B	0.00	1.20	0.00	1.20	70.0	0.84
10/10/2017	75501938 ROSENBERG, DAVID	**VIRTUS EQUITY TREND FUND	B	0.00	2.64	0.00	2.64	70.0	1.85
10/10/2017	75501938 ROSENBERG, DAVID	**VIRTUS MULTI ASSET TREND	B	0.00	9.90	0.00	9.90	70.0	6.93
10/10/2017	75501938 ROSENBERG, DAVID	AMERICAN MUTUAL FUND-A	B	0.00	38.08	0.00	38.08	70.0	26.66
10/10/2017	75501939 ROSENBERG, ELAINE	**VIRTUS MULTI ASSET TREND	B	0.00	1.46	0.00	1.46	70.0	1.02
10/10/2017	75501940 ROSENBERG, ELAINE	**VIRTUS EQUITY TREND FUND	B	0.00	6.61	0.00	6.61	70.0	4.63
10/10/2017	75501940 ROSENBERG, ELAINE	**VIRTUS MULTI ASSET TREND	B	0.00	4.52	0.00	4.52	70.0	3.16
10/10/2017	75501941 GIBBS, REBECCA	**VIRTUS EQUITY TREND FUND	B	0.00	2.32	0.00	2.32	70.0	1.62
10/10/2017	75501943 GIBBS, REBECCA	**VIRTUS EQUITY TREND FUND	B	0.00	4.10	0.00	4.10	70.0	2.87
10/10/2017	75501943 GIBBS, REBECCA	TEMPLETON GROWTH FUND CLASS A	B	0.00	2.25	0.00	2.25	70.0	1.58
10/10/2017	75501955 PENN, EVA	**GUGGENHEIM FDS TR	B	0.00	0.91	0.00	0.91	70.0	0.00
10/10/2017	75501955 PENN, EVA	**VIRTUS MULTI SECTOR SHORT	B	0.00	4.76	0.00	4.76	70.0	3.33
10/10/2017	75501955 PENN, EVA	FRANKLIN INCOME FUND CLASS A	B	0.00	2.38	0.00	2.38	70.0	1.67
10/10/2017	75501955 PENN, EVA	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	24.18	0.00	24.18	70.0	16.93
10/10/2017	75501955 PENN, EVA	LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	17.04	0.00	17.04	70.0	11.93

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/10/2017	75501955	PENN, EVA	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	2.41	0.00	2.41	70.0	1.69
10/10/2017	75501956	PENN, EVA	**VIRTUS EQUITY TREND FUND	B	0.00	5.37	0.00	5.37	70.0	3.76
10/10/2017	75501956	PENN, EVA	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	24.87	0.00	24.87	70.0	17.41
10/10/2017	75501956	PENN, EVA	LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	9.80	0.00	9.80	70.0	6.86
10/10/2017	75501956	PENN, EVA	RYDEX SECTOR ROTATION FUND CLASS C	B	0.00	8.20	0.00	8.20	70.0	5.74
10/10/2017	75501957	PENN, EVA	**VIRTUS MULTI ASSET TREND	B	0.00	1.17	0.00	1.17	70.0	0.82
10/10/2017	75501967	BENJAMIN, WAYNE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	6.43	0.00	6.43	70.0	4.50
10/10/2017	75501997	LESTARDO, EUGENE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.49	0.00	3.49	70.0	2.44
10/10/2017	75501997	LESTARDO, EUGENE	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	3.26	0.00	3.26	70.0	2.28
10/10/2017	75502039	SMITH, DAYNE	**VIRTUS MULTI ASSET TREND	B	0.00	56.13	0.00	56.13	70.0	39.29
10/10/2017	75502040	SMITH, MARY BETH	CAPITAL APPRECIATION - CLASS A	B	0.00	3.07	0.00	3.07	70.0	2.15
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,386.82	\$0.00	\$1,386.82		\$966.54
*** BROKER TRANSACTIONS TOTAL ***					\$1,964,582.96	\$24,113.27	\$0.00	\$24,113.27		\$16,875.08

ADJUSTMENTS

10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-17.50
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-81.25
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-40.83
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-17.50
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-48.38
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-58.31
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-64.88
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-130.56
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-50.53
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-17.50
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-17.50
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-23.34
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-206.26
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-79.74
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-68.99
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-74.20
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-28.76
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-53.56
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-52.44
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-95.73
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-35.88
10/31/2017	DEUNB:UNBUNDLED	QTRLY FEE (LPCM):	0.00	0.00	0.00	0.00	-242.19

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-67.56
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-19.78
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-56.71
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-23.34
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-127.44
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-29.31
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-61.74
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-61.48
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-17.50
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-86.44
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-34.10
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-90.63
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-44.44
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-23.34
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-32.77
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-114.84
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-56.77
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-17.50
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-27.14
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-56.74
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-17.50
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-40.67
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-17.50
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-213.02
10/31/2017		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-22.15
10/31/2017		OTHER: OTHER--ADVISOR ASSET MANAGEM		0.00	0.00	0.00	0.00		-2,855.82
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-5,722.06</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$16,875.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-5,722.06
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-80.39
SIPC Assessment	\$-160.77

Check Amount	\$10,911.86
Year-to-date Earnings	\$71,355.51