



Concorde Investment Services, LLC
COMMISSION STATEMENT
September 15, 2017

09/13/2017
 Page No. 1

JAMES BREWER
 6500 Busch Blvd
 Suite 132
 Columbus, OH 43229

BROKER #: B153
 BRANCH: BREWER & ASSOCIATIES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/31/2017	KUSKOWSKI, MARK	JNL PERSPECTIVE ADV II 5/05	21,741.58	18.12	90.0	16.31	0.00	16.31
08/31/2017	MYERS MACHINERY MOVERS IN	JNL PERSPECTIVE L	939,804.30	1,018.12	90.0	916.31	0.00	916.31
* VARIABLE ANNUITIES SUBTOTAL *			\$961,545.88	\$1,036.24		\$932.62	\$0.00	\$932.62
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/15/2017	SELCER, RICHARD	AM FUNDS AMCAP A	0.00	6.48	90.0	5.83	0.00	5.83
08/15/2017	BALE, RICHARD	AM FUNDS AMCAP A	0.00	0.19	90.0	0.17	0.00	0.17
08/15/2017	VANDERGRIFF, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	5.55	90.0	5.00	0.00	5.00
08/15/2017	ROWE, ERNEST	AM FUNDS AMERICAN BALANCED A	0.00	2.69	90.0	2.42	0.00	2.42
08/15/2017	DUNCAN, DONALD	AM FUNDS AMERICAN BALANCED A	0.00	2.24	90.0	2.02	0.00	2.02
08/15/2017	LAWVER, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	2.31	90.0	2.08	0.00	2.08
08/15/2017	COMPTON, SHARON	AM FUNDS AMERICAN BALANCED A	0.00	3.58	90.0	3.22	0.00	3.22
08/15/2017	ALLEN, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	3.85	90.0	3.47	0.00	3.47
08/15/2017	MYERS, GARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.25	90.0	0.23	0.00	0.23
08/15/2017	MYERS, GARY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	52.79	90.0	47.51	0.00	47.51
08/15/2017	VANDERGRIFF, CHARLES	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.68	90.0	3.31	0.00	3.31
08/15/2017	GOUDY, BEVERLY	AM FUNDS NEW ECONOMY A	0.00	10.57	90.0	9.51	0.00	9.51
08/15/2017	GOUDY, BEVERLY	AM FUNDS SMALLCAP WORLD A	0.00	9.00	90.0	8.10	0.00	8.10
08/17/2017	SCHOPPELREI, CLEMENT	AMERICAN CENTURY MID CAP VALUE	0.00	0.05	90.0	0.05	0.00	0.05
08/15/2017	SELCER, RICHARD	AMERICAN FUNDS RETIREMENT INCOME	0.00	11.64	90.0	10.48	0.00	10.48
08/15/2017	WESS, DONALD	AMERICAN FUNDS RETIREMENT INCOME	0.00	3.51	90.0	3.16	0.00	3.16
08/15/2017	FALTER, THEODORE	AMERICAN FUNDS RETIREMENT INCOME	0.00	3.51	90.0	3.16	0.00	3.16
08/15/2017	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME	0.00	2.09	90.0	1.88	0.00	1.88
08/15/2017	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME	0.00	1.12	90.0	1.01	0.00	1.01
08/15/2017	SELCER, RICHARD	AMERICAN FUNDS RETIREMENT INCOME	0.00	12.00	90.0	10.80	0.00	10.80
08/15/2017	FALTER, THEODORE	AMERICAN FUNDS RETIREMENT INCOME	0.00	3.43	90.0	3.09	0.00	3.09
08/15/2017	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME	0.00	2.05	90.0	1.85	0.00	1.85

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/15/2017	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME	0.00	1.10	90.0	0.99	0.00	0.99
08/25/2017	MOORE, CHARLES	BLACKROCK NATURAL RESOURCES TRUST	0.00	4.45	90.0	4.01	0.00	4.01
08/25/2017	SCHOPPELREI, CLEMENT	BLACKROCK STRATEGIC INCOME OPPT	0.00	0.06	90.0	0.05	0.00	0.05
08/07/2017	ROWE, ERNEST	CATALYST/MAP GLOBAL CAPITAL	0.00	2.58	90.0	2.32	0.00	2.32
08/07/2017	SELCER, RICHARD	CATALYST/MAP GLOBAL CAPITAL	0.00	5.37	90.0	4.83	0.00	4.83
08/07/2017	DUNCAN, DONALD	CATALYST/MAP GLOBAL TOTAL RETURN	0.00	2.05	90.0	1.85	0.00	1.85
08/07/2017	SELCER, RICHARD	CATALYST/MAP GLOBAL TOTAL RETURN	0.00	9.52	90.0	8.57	0.00	8.57
08/07/2017	LAWVER, DAVID	CATALYST/MAP GLOBAL TOTAL RETURN	0.00	2.45	90.0	2.21	0.00	2.21
08/07/2017	MCANINCH, JUDY	CATALYST/MAP GLOBAL TOTAL RETURN	0.00	4.93	90.0	4.44	0.00	4.44
08/17/2017	WESS, DONALD	COLUMBIA DIVIDEND INCOME A	0.00	3.56	90.0	3.20	0.00	3.20
08/17/2017	SCHOPPELREI, CLEMENT	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.05	90.0	0.05	0.00	0.05
08/18/2017	MOORE, CHARLES	DELAWARE REAL ESTATE INVESTMENT	0.00	4.16	90.0	3.74	0.00	3.74
08/18/2017	STEIN, JANICE	DELAWARE SELECT GROWTH FUND CLASS	0.00	0.31	90.0	0.28	0.00	0.28
08/14/2017	MCANINCH, JUDY	FRANKLIN INCOME A	0.00	3.65	90.0	3.29	0.00	3.29
08/14/2017	FALTER, THEODORE	FRANKLIN RISING DIVIDENDS A	0.00	0.05	90.0	0.05	0.00	0.05
08/14/2017	FRESHLY, BEATRICE	FRANKLIN UTILITIES A	0.00	3.45	90.0	3.11	0.00	3.11
08/16/2017	DUNCAN, JAMES	GOOD HARBOR US TACTICAL CORE FUND	0.00	5.24	90.0	4.72	0.00	4.72
08/18/2017	MCANINCH, JUDY	GUGGENHEIM RISK MANAGED REAL	0.00	10.04	90.0	9.04	0.00	9.04
08/16/2017	SELCER, RICHARD	HARTFORD HEALTHCARE A	0.00	5.77	90.0	5.19	0.00	5.19
08/16/2017	GOUDY, BEVERLY	HARTFORD HEALTHCARE A	0.00	6.83	90.0	6.15	0.00	6.15
08/16/2017	FALTER, THEODORE	HARTFORD HEALTHCARE A	0.00	2.94	90.0	2.65	0.00	2.65
08/16/2017	MCANINCH, JUDY	HARTFORD HEALTHCARE A	0.00	10.51	90.0	9.46	0.00	9.46
08/18/2017	SELCER, RICHARD	ICON ENERGY FUND CLASS A	0.00	5.97	90.0	5.37	0.00	5.37
08/18/2017	LAWVER, DAVID	ICON LEISURE AND CONSUMER STAPLES	0.00	1.87	90.0	1.68	0.00	1.68
08/18/2017	SELCER, RICHARD	ICON UTILITIES FUND CLASS A	0.00	6.00	90.0	5.40	0.00	5.40
08/18/2017	MCANINCH, JUDY	ICON UTILITIES FUND CLASS A	0.00	5.97	90.0	5.37	0.00	5.37
08/15/2017	SCHOPPELREI, CLEMENT	JP MORGAN LARGE-CAP GROWTH A	0.00	0.05	90.0	0.05	0.00	0.05
08/21/2017	SCHOPPELREI, CLEMENT	LORD ABBETT INFLATION FOCUSED FUND	0.00	0.01	90.0	0.01	0.00	0.01
08/17/2017	GOUDY, BEVERLY	MFS INTERNATIONAL DISCOVERY A	0.00	7.40	90.0	6.66	0.00	6.66
08/17/2017	SCHOPPELREI, CLEMENT	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.03	90.0	0.03	0.00	0.03
08/17/2017	SCHOPPELREI, CLEMENT	MFS VALUE A	0.00	0.06	90.0	0.05	0.00	0.05
08/17/2017	ROSS, CARL	NATIONWIDE ALTERNATIVE ALLOCATIONS	0.00	2.26	90.0	2.03	0.00	2.03
08/17/2017	BARNETT, ALVA	NATIONWIDE ALTERNATIVE ALLOCATIONS	0.00	3.21	90.0	2.89	0.00	2.89

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<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/17/2017	BITONTE, RICHARD	NATIONWIDE ALTERNATIVE ALLOCATIONS	0.00	0.68	90.0	0.61	0.00	0.61
08/21/2017	MYERS, GARY	OPPENHEIMER GLOBAL A	0.00	51.81	90.0	46.63	0.00	46.63
08/21/2017	SCHOPPELREI, CLEMENT	OPPENHEIMER INTL GROWTH A	0.00	0.03	90.0	0.03	0.00	0.03
08/21/2017	BALE, RICHARD	PAC LIFE FUNDS PORTFOLIO	0.00	0.09	90.0	0.08	0.00	0.08
08/14/2017	GOUDY, BEVERLY	PRUDENTIAL QMA MID CAP VALUE FUND	0.00	21.80	90.0	19.62	0.00	19.62
08/16/2017	SELCER, RICHARD	PUTNAM GLOBAL HEALTH CARE A	0.00	5.17	90.0	4.65	0.00	4.65
08/14/2017	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND	0.00	2.10	90.0	1.89	0.00	1.89
08/14/2017	FALTER, THEODORE	RESOURCE R E DIVERSIFIED INC FUND	0.00	3.45	90.0	3.11	0.00	3.11
08/14/2017	VANDERGRIFF, CHARLES	RESOURCE R E DIVERSIFIED INC FUND	0.00	6.84	90.0	6.16	0.00	6.16
08/14/2017	WESS, DONALD	RESOURCE R E DIVERSIFIED INC FUND	0.00	5.25	90.0	4.73	0.00	4.73
08/14/2017	CALABRETTA, SHIRLEY	RESOURCE R E DIVERSIFIED INC FUND	0.00	3.01	90.0	2.71	0.00	2.71
08/14/2017	BEBOUT, ELMA	RESOURCE R E DIVERSIFIED INC FUND	0.00	2.29	90.0	2.06	0.00	2.06
08/14/2017	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND	0.00	5.93	90.0	5.34	0.00	5.34
08/14/2017	CHAMBERLAIN, LARRY	RESOURCE R E DIVERSIFIED INC FUND	0.00	8.00	90.0	7.20	0.00	7.20
08/14/2017	COMPTON, WILLIAM	RESOURCE R E DIVERSIFIED INC FUND	0.00	6.15	90.0	5.54	0.00	5.54
08/14/2017	MYERS, GARY	TEMPLETON GROWTH A	0.00	30.62	90.0	27.56	0.00	27.56
08/14/2017	MYERS, GARY	TEMPLETON WORLD A	0.00	0.43	90.0	0.39	0.00	0.39
08/08/2017	NIXON, CHARLENE	TIMOTHY PLAN CONSERVATIVE GROWTH	0.00	4.23	90.0	3.81	0.00	3.81
08/08/2017	NIXON, CHARLENE	TIMOTHY PLAN DEFENSIVE STRATEGY	0.00	1.98	90.0	1.78	0.00	1.78
08/14/2017	SCHOPPELREI, CLEMENT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.03	90.0	0.03	0.00	0.03
08/14/2017	MOORE, CHARLES	WELLS FARGO INDEX ASSET ALLOCATION	0.00	5.13	90.0	4.62	0.00	4.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$429.50		\$386.64	\$0.00	\$386.64
*** BROKER TRANSACTIONS TOTAL ***			\$961,545.88	\$1,465.74		\$1,319.26	\$0.00	\$1,319.26

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<u>ADJUSTMENTS</u>								
09/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
09/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-6.20
09/15/2017		*TECH: ADMIN - MICHELE BARR	0.00					-100.00
09/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-681.20	\$0.00	\$-681.20

BROKER COMMISSION TOTALS

Broker Transactions	\$1,319.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-681.20
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$638.06
Year-to-date Gross	\$35,781.80
Year-to-date Earnings	\$31,719.86

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