



All Companies
COMMISSION STATEMENT
May 01, 2018

04/27/2018
Page No. 1

SIGNAL SECURITIES HOUSE ACCOUNT

BROKER #: 7496
BRANCH: RETAIL REPS.

COMMISSION STATEMENT for SIGNAL SECURITIES HOUSE ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/29/2018	TRAILS	-PRUDENTIAL MUTUAL FUND	B	65.46	65.46	0.00	65.46	100.0	65.46
03/29/2018	TRAILS	-PRUDENTIAL MUTUAL FUND	B	0.43	0.43	0.00	0.43	100.0	0.43
03/31/2018	TRAILS	-VIRTUS FUNDS	B	6.90	6.90	0.00	6.90	100.0	6.90
03/31/2018	TRAILS	-VIRTUS FUNDS	B	3.87	3.87	0.00	3.87	100.0	3.87
03/31/2018	TRAILS	AMERICAN CENTURY INVESTMENTS	B	2.19	2.19	0.00	2.19	100.0	2.19
03/31/2018	TRAILS	DEUTSCHE CAPITAL GROWTH FUND-A	B	45.73	45.73	0.00	45.73	100.0	45.73
04/13/2018	TRAILS	DEUTSCHE CAPITAL GROWTH FUND-A	B	14.78	14.78	0.00	14.78	100.0	14.78
* MUTUAL FUNDS SUBTOTAL *				\$139.36	\$139.36	\$0.00	\$139.36		\$139.36
<u>PRODUCT CATEGORY: STOCKS</u>									
04/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	41.27	41.27	0.00	41.27	100.0	41.27
* STOCKS SUBTOTAL *				\$41.27	\$41.27	\$0.00	\$41.27		\$41.27
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/02/2018	TRAILS	-PACIFIC LIFE INSURANCE	B	257.04	257.04	0.00	257.04	100.0	257.04
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	83.85	83.85	0.00	83.85	100.0	83.85
03/29/2018	TRAILS	GENWORTH LIFE ANNUITY	B	6.66	6.66	0.00	6.66	100.0	6.66
04/04/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	156.76	156.76	0.00	156.76	100.0	156.76
04/11/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	32.30	32.30	0.00	32.30	100.0	32.30
* VARIABLE ANNUITIES SUBTOTAL *				\$536.61	\$536.61	\$0.00	\$536.61		\$536.61
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>									
04/09/2018	SANTIAGO, CECILE A	NATIONWIDE LIFE	B	125.00	1.25	0.00	1.25	100.0	1.25
* LIFE INSURANCE SUBTOTAL *				\$125.00	\$1.25	\$0.00	\$1.25		\$1.25
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/03/2018	06205450406 MALOFF, PERRY	PIONEER INDEPENDENCE FUND A	B	0.00	2.86	0.00	2.86	100.0	2.86
04/03/2018	07000069472 HIGDON, DANIEL	PRINCIPAL INVESTORS SAM CONSERV GRO B	B	0.00	16.89	0.00	16.89	100.0	16.89
04/04/2018	07226430004 SEGERSON, SHEILA ANN	COLUMBIA TAX EXEMPT	B	0.00	17.73	0.00	17.73	100.0	17.73
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$37.48	\$0.00	\$37.48		\$37.48

COMMISSION STATEMENT for SIGNAL SECURITIES HOUSE ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$842.24	\$755.97	\$0.00	\$755.97	\$755.97
-----------------------------------	----------	----------	--------	----------	----------

ADJUSTMENTS

05/01/2018	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-10.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-10.00

BROKER COMMISSION TOTALS

Broker Transactions	\$755.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-10.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$745.97
Year-to-date Earnings	\$4,925.96