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R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT July 31, 2018

CLOSED Z-ACCOUNT

BROKER #: 75

BRANCH: HOME OFFICE

, NY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER T	RANS	ACTIONS					
PRODUCT CA	TEGORY: STOCKS								
06/28/2018 7	75599821 RF LAFFERTY & CO	IDEX CORP	В	27,000.00	0.00	0.00	0.00	80.0	0.00
06/28/2018 7	75599821 RF LAFFERTY & CO	IDEX CORP	S	13,500.00	0.00	0.00	0.00	80.0	0.00
06/28/2018 7	75599821 RF LAFFERTY & CO	IDEX CORP	S	2,025.00	0.00	0.00	0.00	80.0	0.00
06/28/2018 7	75599821 RF LAFFERTY & CO	IDEX CORP	S	11,475.00	0.00	0.00	0.00	80.0	0.00
06/28/2018 7	75599821 RF LAFFERTY & CO	SHOPIFY INC CL A ISIN#CA82509L107	6 S	28,596.00	0.00	0.00	0.00	80.0	0.00
06/28/2018 7	75599821 RF LAFFERTY & CO	SHOPIFY INC CL A ISIN#CA82509L107	6 В	28,596.00	0.00	0.00	0.00	80.0	0.00
06/28/2018 7	75599821 RF LAFFERTY & CO	THERMO FISHER SCIENTIFIC INC	S	30,471.00	0.00	0.00	0.00	80.0	0.00
06/28/2018 7	75599821 RF LAFFERTY & CO	THERMO FISHER SCIENTIFIC INC	В	20,314.00	0.00	0.00	0.00	80.0	0.00
06/28/2018 7	75599821 RF LAFFERTY & CO	THERMO FISHER SCIENTIFIC INC	В	10,157.00	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	FΒ	296,816.42	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	FS	18,902.10	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	FS	17,601.64	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	FS	22,026.13	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	FS	29,148.97	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	13,010.47	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	12,570.50	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	12,570.50	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	12,570.50	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	4,299.01	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	8,264.81	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	12,560.50	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	12,560.10	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	7,536.50	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	5,024.40	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	5,032.20	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	10,064.40	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	10,064.40	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	FS	22,279.97	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	FS	15,461.43	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	F S	15,989.82	0.00	0.00	0.00	80.0	0.00
06/29/2018 7	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN	FS	29,278.08	0.00	0.00	0.00	80.0	0.00

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06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 2 B 18,354.00 0.00 0.00 0.00 80.0 0.0 06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 1 B 18,354.00 0.00 0.00 0.00 0.00 80.0 0.0 06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 1 B 18,354.00 0.00 0.00 0.00 0.00 80.0 0.0 0.00 06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 1 B 18,354.00 0.00 0.00 0.00 0.00 80.0 0.0 0.00 06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 1 B 18,354.00 0.00 0.00 0.00 0.00 80.0 0.0 0.00 06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 1 B 30,555.00 0.00 0.00 0.00 0.00 80.0 0.0 0.00 06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 1 B 30,555.00 0.00 0.00 0.00 0.00 80.0 0.0 0.00 06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 1 B 52,599.00 0.00 0.00 0.00 0.00 80.0 0.0 0.00 06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 1 B 52,599.00 0.00 0.00 0.00 0.00 80.0 0.0 0.00 06/23/2018 75599821 MP LAPPENTY 6 CO MICK INVY COMM WIS COM B 20,593.50 0.00 0.00 0.00 0.00 0.00 80.0 0.0 0.0	TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/29/2018 7559921 RF LAFFERTY & CO			BRO	KER TRANS	ACTIONS					
06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 2 B 18,354.00 0.00 0.00 0.00 80.0 0.0 06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 1 B 18,354.00 0.00 0.00 0.00 0.00 80.0 0.0 06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 1 B 18,354.00 0.00 0.00 0.00 0.00 80.0 0.0 0.00 06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 1 B 18,354.00 0.00 0.00 0.00 0.00 80.0 0.0 0.00 06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 1 B 18,354.00 0.00 0.00 0.00 0.00 80.0 0.0 0.00 06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 1 B 30,555.00 0.00 0.00 0.00 0.00 80.0 0.0 0.00 06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 1 B 30,555.00 0.00 0.00 0.00 0.00 80.0 0.0 0.00 06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 1 B 52,599.00 0.00 0.00 0.00 0.00 80.0 0.0 0.00 06/23/2018 75599821 MP LAPPENTY 6 CO NUMEN NEW YORK ART PREE MUNCIPAL 1 B 52,599.00 0.00 0.00 0.00 0.00 80.0 0.0 0.00 06/23/2018 75599821 MP LAPPENTY 6 CO MICK INVY COMM WIS COM B 20,593.50 0.00 0.00 0.00 0.00 0.00 80.0 0.0 0.0	PRODUCT CA	TEGORY: STOCKS								
06/28/2018 75599821 NF LAPPERTY & CO NUMERN NEW YORK AMT FREE MUNCIPAL I 8 18,354.00 0.00 0.00 0.00 80.0 0.0 0.00 0.00 0	06/29/2018 7	5599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE N	MUNCIPAL I B	244,605.00	0.00	0.00	0.00	80.0	0.00
06/28/2018 75599821 NF LAPPERTY & CO NUMERN NEW YORK AMT FREE MUNCIPAL I 8 18,354.00 0.00 0.00 0.00 80.0 0.0 0.00 0.00 0					•					0.00
08/29/2018 75599821 RF LAFFRETY & CO NUVERN NEW YORK ANT PREE MINDETPAL IS 18,354.00 0.00 0.00 0.00 80.0 80.0 0.00 06/29/2018 75599821 RF LAFFRETY & CO NUVERN NEW YORK ANT PREE MINDETPAL IS 18,354.00 0.00 0.00 0.00 80.0 80.0 0.00 06/29/2018 75599821 RF LAFFRETY & CO NUVERN NEW YORK ANT PREE MINDETPAL IS 8,561.00 0.00 0.00 0.00 0.00 80.0 0.00 06/29/2018 75599821 RF LAFFRETY & CO NUVERN NEW YORK ANT PREE MINDETPAL IS 8,561.00 0.00 0.00 0.00 0.00 80.0 0.00 06/29/2018 75599821 RF LAFFRETY & CO NUVERN NEW YORK ANT PREE MINDETPAL IS 8,561.00 0.00 0.00 0.00 0.00 80.0 0.00 06/29/2018 75599821 RF LAFFRETY & CO NUVERN NEW YORK ANT PREE MINDETPAL IS 8,561.00 0.00 0.00 0.00 0.00 80.0 0.00 08/29/2018 75599821 RF LAFFRETY & CO NUVERN NEW YORK ANT PREE MINDETPAL IS 8,100.00 0.00 0.00 0.00 0.00 80.0 0.00 08/29/2018 75599821 RF LAFFRETY & CO NUTUREN NEW YORK ANT PREE MINDETPAL IS 8,100.00 0.00 0.00 0.00 0.00 80.0 0.00 08/29/2018 75599821 RF LAFFRETY & CO MIGIC INVIT CORP WIS COM B 26,963.50 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	06/29/2018 7	5599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE N	MUNCIPAL IS		0.00		0.00	80.0	0.00
06/29/2018 75599821 RF LAFFERTY & CO NUVERN NEW YORK ANT FREE MUNICIPAL IS 8 18,354.00 0.00 0.00 0.00 0.00 80.0 0.06 06/29/2018 75599821 RF LAFFERTY & CO NUVERN NEW YORK ANT FREE MUNICIPAL IS 8 1.51.00 0.00 0.00 0.00 0.00 80.0 0.00 06/29/2018 75599821 RF LAFFERTY & CO NUVERN NEW YORK ANT FREE MUNICIPAL IS 8 1.51.00 0.00 0.00 0.00 0.00 80.0 0.00 06/29/2018 75599821 RF LAFFERTY & CO NUVERN NEW YORK ANT FREE MUNICIPAL IS 8 1.51.00 0.00 0.00 0.00 0.00 80.0 0.00 0.00	06/29/2018 7	5599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE N	MUNCIPAL IS	18,354.00	0.00	0.00	0.00	80.0	0.00
06/29/2018 75599821 RF LAPPERTY & CO NUVEEN NEW YORK ANT FREE MUNCIPAL IS 30,585.00 0.00 0.00 0.00 0.00 80.0 0.06 06/29/2018 75599821 RF LAPPERTY & CO NUVEEN NEW YORK ANT FREE MUNCIPAL IS 52,585.00 0.00 0.00 0.00 0.00 80.0 0.00 06/29/2018 75599821 RF LAPPERTY & CO NUVEEN NEW YORK ANT FREE MUNCIPAL IS 52,585.00 0.00 0.00 0.00 0.00 80.0 0.00 06/29/2018 75599821 RF LAPPERTY & CO NUVEEN NEW YORK ANT FREE MUNCIPAL IS 52,585.00 0.00 0.00 0.00 0.00 80.0 0.00 06/29/2018 75599821 RF LAPPERTY & CO NUVEEN NEW YORK ANT FREE MUNCIPAL IS 52,585.00 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAPPERTY & CO MGIC INVIT CORP MIS COM B 26,665.50 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAPPERTY & CO MGIC INVIT CORP MIS COM B 22,649.34 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAPPERTY & CO MGIC INVIT CORP MIS COM B -22,649.34 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAPPERTY & CO MGIC INVIT CORP MIS COM S 22,649.34 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAPPERTY & CO MGIC INVIT CORP MIS COM S 22,649.34 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAPPERTY & CO MGIC INVIT CORP MIS COM S 31,277.66 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAPPERTY & CO MGIC INVIT CORP MIS COM S 31,277.70 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAPPERTY & CO MGIC INVIT CORP MIS COM S 31,277.70 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAPPERTY & CO MGIC INVIT CORP MIS COM S 31,277.76 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAPPERTY & CO MGIC INVIT CORP MIS COM S 31,277.76 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAPPERTY & CO MGIC INVIT CORP MIS COM S 31,277.66 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAPPERTY & CO MGIC INVIT CORP MIS COM S 31,277.76 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAPPERTY & CO MGIC INVIT CORP MIS COM S 31,277.76 0.00 0.00 0.00 0.00 0.00 80.0 0.00 0.0	06/29/2018 7	5599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE N	MUNCIPAL IS	18,354.00	0.00	0.00	0.00	80.0	0.00
06/29/2018 75599821 RF LAPPERTY & CO NUVERN NEW YORK ANT FREE MUNICIPAL IS 8,651.00 0.00 0.00 0.00 0.00 80.0 0.00 06/29/2018 75599821 RF LAPPERTY & CO NUVERN NEW YORK ANT FREE MUNICIPAL IS 61,100.00 0.00 0.00 0.00 0.00 80.0 0.00 06/29/2018 75599821 RF LAPPERTY & CO NUVERN NEW YORK ANT FREE MUNICIPAL IS 61,100.00 0.00 0.00 0.00 0.00 80.0 0.00 07/09/2018 75599821 RF LAPPERTY & CO NUVERN NEW YORK ANT FREE MUNICIPAL IS 61,100.00 0.00 0.00 0.00 0.00 80.0 0.00 07/09/2018 75599821 RF LAPPERTY & CO MGIC INVT CORP WIS COM B 26,963.50 0.00 0.00 0.00 0.00 80.0 0.00 07/09/2018 75599821 RF LAPPERTY & CO MGIC INVT CORP WIS COM B 22,649.30 0.00 0.00 0.00 0.00 80.0 0.00 07/09/2018 75599821 RF LAPPERTY & CO MGIC INVT CORP WIS COM B 22,649.30 0.00 0.00 0.00 0.00 80.0 0.00 07/09/2018 75599821 RF LAPPERTY & CO MGIC INVT CORP WIS COM B 22,649.30 0.00 0.00 0.00 0.00 80.0 0.00 07/09/2018 75599821 RF LAPPERTY & CO MGIC INVT CORP WIS COM S 22,649.34 0.00 0.00 0.00 0.00 80.0 0.00 07/09/2018 75599821 RF LAPPERTY & CO MGIC INVT CORP WIS COM S 31,277.70 0.00 0.00 0.00 0.00 80.0 0.00 07/09/2018 75599821 RF LAPPERTY & CO MGIC INVT CORP WIS COM B -31,277.70 0.00 0.00 0.00 0.00 80.0 0.00 07/09/2018 75599821 RF LAPPERTY & CO MGIC INVT CORP WIS COM S 31,277.70 0.00 0.00 0.00 0.00 80.0 0.00 07/09/2018 75599821 RF LAPPERTY & CO MGIC INVT CORP WIS COM S 31,277.70 0.00 0.00 0.00 0.00 80.0 0.00 07/09/2018 75599821 RF LAPPERTY & CO MGIC INVT CORP WIS COM S 31,277.70 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/09/2018 75599821 RF LAPPERTY & CO MGIC INVT CORP WIS COM S 31,277.70 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/09/2018 75599821 RF LAPPERTY & CO MGIC INVT CORP WIS COM S 31,277.70 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/09/2018 75599821 RF LAPPERTY & CO MGIC INVT CORP WIS COM S 31,277.70 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/09/2018 75599821 RF LAPPERTY & CO MGIC INVT CORP WIS COM S 31,277.70 0.00 0.00 0.00 0.00 0.00 0.00 0.0	06/29/2018 7	5599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE N	MUNCIPAL IS	18,354.00	0.00	0.00	0.00	80.0	0.00
06/29/2018 75599821 RF LAFFERTY & CO NUVERN NEW YORK ANT FREE MUNCIPAL IS 52,589.00 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO NUVERN NEW YORK ANT FREE MUNCIPAL IS 61,100.00 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO MGIC INVI CORP WIS COM B 26,963.50 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO MGIC INVI CORP WIS COM B 26,963.50 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO MGIC INVI CORP WIS COM B 26,963.50 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO MGIC INVI CORP WIS COM B 22,649.34 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO MGIC INVI CORP WIS COM B 22,649.34 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO MGIC INVI CORP WIS COM S 31,277.66 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO MGIC INVI CORP WIS COM B 33,277.66 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO MGIC INVI CORP WIS COM B 31,277.76 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO MGIC INVI CORP WIS COM B 31,277.76 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO MGIC INVI CORP WIS COM B 31,277.76 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO MGIC INVI CORP WIS COM B 31,377.66 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINBUS46267X10 B 3,339.00 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINBUS46267X10 B 3,339.00 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINBUS46267X10 B 3,339.00 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINBUS46267X10 B 3,339.00 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINBUS46267X10 B 3,344.00 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINBUS46267X10 B 3,344.00 0.00 0.00 0.00 0.00 80.0 0.00 0/02/2018 75599821 RF LAFFERTY	06/29/2018 7	5599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE N	MUNCIPAL IS	30,585.00	0.00	0.00	0.00	80.0	0.00
06/29/2018 75599821 RF LAFFERTY & CO MICEN NEW YORK ANT FREE MUNCIPAL IS 61,100.00 0.00 0.00 0.00 80.0 0.07 07/02/2018 75599821 RF LAFFERTY & CO MICE INTY CORP WIS COM B 26,963.50 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAFFERTY & CO MICE INTY CORP WIS COM B 26,963.50 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAFFERTY & CO MICE INTY CORP WIS COM B 22,649.34 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAFFERTY & CO MICE INTY CORP WIS COM B 22,649.34 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAFFERTY & CO MICE INTY CORP WIS COM B 31,277.66 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAFFERTY & CO MICE INTY CORP WIS COM S 31,277.66 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAFFERTY & CO MICE INTY CORP WIS COM S 31,277.66 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAFFERTY & CO MICE INTY CORP WIS COM S 31,277.66 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAFFERTY & CO MICE INTY CORP WIS COM S 31,277.66 0.00 0.00 0.00 0.00 80.0 0.00 07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267XIO B 33,438.00 0.00 0.00 0.00 0.00 80.0 0.00 07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267XIO B 3,339.00 0.00 0.00 0.00 80.0 0.00 07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267XIO B 3,339.00 0.00 0.00 0.00 80.0 0.00 07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267XIO B 3,339.00 0.00 0.00 0.00 80.0 0.00 07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267XIO B 3,339.00 0.00 0.00 0.00 80.0 0.00 07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267XIO B 3,344.00 0.00 0.00 0.00 80.0 0.00 07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267XIO B 3,344.00 0.00 0.00 0.00 80.0 0.00 07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267XIO B 3,344.00 0.00 0.00 0.00 80.0 0.00 07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267XIO B 3,344.00 0.00 0.00 0.00 0.00 80.0 0.00 07/03/2018 75599821 RF LAFFERTY & CO I	06/29/2018 7	5599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE N	MUNCIPAL IS	8,561.00	0.00	0.00	0.00	80.0	0.00
07/02/2018 75599821 RF LAFFERTY & CO MGIC INVT CORP WIS COM B 26,963.50 0.00 0.00 0.00 0.00 80.0 0.00 07/02/2018 75599821 RF LAFFERTY & CO MGIC INVT CORP WIS COM B 26,963.50 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	06/29/2018 7	5599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE N	MUNCIPAL IS	52,589.00	0.00	0.00	0.00	80.0	0.00
07/02/2018 75599821 RF LAFFERTY & CO MGIC INVT CORP WIS COM B 26,963.50 0.00 0.00 0.00 80.0 0.00 0.00 0.00 0	06/29/2018 7	5599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE N	MUNCIPAL IS	61,100.00	0.00	0.00	0.00	80.0	0.00
07/02/2018 75599821 RF LAFFERTY & CO	07/02/2018 7	5599821 RF LAFFERTY & CO	MGIC INVT CORP WIS COM	В	26,963.50	0.00	0.00	0.00	80.0	0.00
07/02/2018 75599821 RF LAFFERTY & CO MGIC INVT CORP WIS COM B -22,649.30 0.00 0.00 0.00 0.00 80.0 0.07/02/2018 75599821 RF LAFFERTY & CO MGIC INVT CORP WIS COM S 22,649.34 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0.0	07/02/2018 7	5599821 RF LAFFERTY & CO	MGIC INVT CORP WIS COM	В	26,963.50	0.00	0.00	0.00	80.0	0.00
07/02/2018 75599821 RF LAFFERTY & CO MGIC INVT CORP WIS COM S 12,649.34 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0.0	07/02/2018 7	5599821 RF LAFFERTY & CO	MGIC INVT CORP WIS COM	S	22,649.34	0.00	0.00	0.00	80.0	0.00
07/02/2018 75599821 RF LAFFERTY & CO MGIC INVT CORP WIS COM B -31,277.66 0.00 0.00 0.00 0.00 80.0 0.00 0.702/2018 75599821 RF LAFFERTY & CO MGIC INVT CORP WIS COM B -31,277.76 0.00 0.00 0.00 0.00 80.0 0.00 0.703/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267X10 S 334,308.00 0.00 0.00 0.00 0.00 80.0 0.00 0.703/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267X10 B 3,338.00 0.00 0.00 0.00 0.00 80.0 0.00 0.703/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267X10 B 3,339.00 0.00 0.00 0.00 0.00 80.0 0.00 0.703/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267X10 B 3,339.00 0.00 0.00 0.00 0.00 80.0 0.00 0.703/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267X10 B 3,339.00 0.00 0.00 0.00 0.00 80.0 0.00 0.703/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267X10 B 3,339.00 0.00 0.00 0.00 0.00 80.0 0.00 0.703/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267X10 B 3,339.00 0.00 0.00 0.00 0.00 80.0 0.00 0.703/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267X10 B 3,339.00 0.00 0.00 0.00 0.00 80.0 0.00 0.703/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267X10 B 3,339.00 0.00 0.00 0.00 0.00 80.0 0.00 0.703/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267X10 B 3,344.00 0.00 0.00 0.00 0.00 80.0 0.00 0.703/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267X10 B 3,344.00 0.00 0.00 0.00 0.00 80.0 0.00 0.703/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267X10 B 3,344.00 0.00 0.00 0.00 0.00 80.0 0.00 0.703/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267X10 B 3,344.00 0.00 0.00 0.00 0.00 80.0 0.00 0.703/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267X10 B 3,344.00 0.00 0.00 0.00 0.00 80.0 0.00 0.703/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267X10 B 3,344.00 0.00 0.00 0.00 0.00 80.0 0.00 0.703/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISINHUS46267X10 B 3,344.00 0.00 0.00 0.00 0.00 0.00 80.0 0.00 0.703/201	07/02/2018 7	5599821 RF LAFFERTY & CO	MGIC INVT CORP WIS COM	В	-22,649.30	0.00	0.00	0.00	80.0	0.00 C
07/02/2018 75599821 RF LAFFERTY & CO MGIC INVT CORP WIS COM B -31,277.70 0.00 0.00 0.00 80.0 0.07/02/2018 75599821 RF LAFFERTY & CO MGIC INVT CORP WIS COM S 31,277.66 0.00 0.00 0.00 80.0 0.00 0.70 0.70 0.70	07/02/2018 7	5599821 RF LAFFERTY & CO	MGIC INVT CORP WIS COM	S	22,649.34	0.00	0.00	0.00	80.0	0.00
07/02/2018 75599821 RF LAFFERTY & CO	07/02/2018 7	5599821 RF LAFFERTY & CO	MGIC INVT CORP WIS COM	S	31,277.66	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO	07/02/2018 7	5599821 RF LAFFERTY & CO	MGIC INVT CORP WIS COM	В	-31,277.70	0.00	0.00	0.00	80.0	0.00 0
07/03/2018 75599821 RF LAFFERTY & CO	07/02/2018 7	5599821 RF LAFFERTY & CO	MGIC INVT CORP WIS COM	S	31,277.66	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO 1QIYI INC SPONS ADR ISINHUS46267X10 B 3,339.00 0.00 0.00 0.00 80.0 0.00 0.00 0.00	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 S	334,308.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO 1QIYI INC SPONS ADR ISIN#US46267X10 B 3,339.00 0.00 0.00 0.00 80.0 0.00 0.00 0.00	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	3,338.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	3,339.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	3,339.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	3,339.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	3,339.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISIN#US46267X10 B 3,344.00 0.00 0.00 0.00 80.0 0.00 0.00 0.00	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	3,339.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISIN#US46267X10 B 3,344.00 0.00 0.00 0.00 0.00 80.0 0.00 0.00	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	3,344.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	3,344.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISIN#US46267X10 B 3,344.00 0.00 0.00 0.00 80.0 0.00 0.00 0.00	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	3,344.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISIN#US46267X10 B 3,344.00 0.00 0.00 0.00 80.0 0.00 0.00 0.00	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	3,344.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISIN#US46267X10 B 3,344.00 0.00 0.00 0.00 80.0 0.00 0.00 0.00	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	3,344.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISIN#US46267X10 B 3,344.00 0.00 0.00 0.00 80.0 0.00 0.00 0.00	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	3,344.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISIN#US46267X10 B 3,344.00 0.00 0.00 0.00 80.0 0.00 0.00 0.00	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	3,344.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISIN#US46267X10 B 3,344.00 0.00 0.00 0.00 80.0 0.00 0.00 0.00	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	3,344.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISIN#US46267X10 B 33,440.00 0.00 0.00 0.00 80.0 0.00 0.00 0.0	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	3,344.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	3,344.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	33,440.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISIN#US46267X10 B 65,943.68 0.00 0.00 0.00 80.0 0.00	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	33,440.00	0.00	0.00	0.00	80.0	0.00
	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	33,440.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 75599821 RF LAFFERTY & CO IQIYI INC SPONS ADR ISIN#US46267X10 B 934.92 0.00 0.00 0.00 80.0 0.00	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	65,943.68	0.00	0.00	0.00	80.0	0.00
	07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#U	JS46267X10 B	934.92	0.00	0.00	0.00	80.0	0.00

INVESTMENT

GROSS

CLEARING

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BROKER

NET

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKER TRA	ANSA	ACTIONS					
PRODUCT CAT	TEGORY: STOCKS								
07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#US46267X10	В	1,003.20	0.00	0.00	0.00	80.0	0.00
07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#US46267X10	В	11,670.56	0.00	0.00	0.00	80.0	0.00
07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#US46267X10	В	13,356.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#US46267X10	В	14,379.20	0.00	0.00	0.00	80.0	0.00
07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#US46267X10	В	19,763.04	0.00	0.00	0.00	80.0	0.00
07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#US46267X10	В	26,712.00	0.00	0.00	0.00	80.0	0.00
07/03/2018 7	5599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#US46267X10	В	26,752.00	0.00	0.00	0.00	80.0	0.00
07/06/2018 7	5599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	В	2,898.72	0.00	0.00	0.00	80.0	0.00
07/06/2018 7	5599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	2,898.75	0.00	0.00	0.00	80.0	0.00
07/06/2018 7	5599821 RF LAFFERTY & CO	MASTERCARD INCORPORATED	В	9,902.50	0.00	0.00	0.00	80.0	0.00
07/06/2018 7	5599821 RF LAFFERTY & CO	MASTERCARD INCORPORATED	S	9,902.50	0.00	0.00	0.00	80.0	0.00
07/10/2018 7	5599821 RF LAFFERTY & CO	GLOBAL BLOCKCHAIN TECHNLOGY CORP CO	S	555.00	0.00	0.00	0.00	80.0	0.00
07/10/2018 7	5599821 RF LAFFERTY & CO	GLOBAL BLOCKCHAIN TECHNLOGY CORP CO	В	185.00	0.00	0.00	0.00	80.0	0.00
07/10/2018 7	5599821 RF LAFFERTY & CO	GLOBAL BLOCKCHAIN TECHNLOGY CORP CO	В	185.00	0.00	0.00	0.00	80.0	0.00
07/10/2018 7	5599821 RF LAFFERTY & CO	GLOBAL BLOCKCHAIN TECHNLOGY CORP CO	В	92.50	0.00	0.00	0.00	80.0	0.00
07/10/2018 7	5599821 RF LAFFERTY & CO	GLOBAL BLOCKCHAIN TECHNLOGY CORP CO	В	92.50	0.00	0.00	0.00	80.0	0.00
07/10/2018 7	5599821 RF LAFFERTY & CO	HIVE BLOCKCHAIN TECHNOLOGIS LTD COM	S	3,315.00	0.00	0.00	0.00	80.0	0.00
07/10/2018 7	5599821 RF LAFFERTY & CO	HIVE BLOCKCHAIN TECHNOLOGIS LTD COM	В	3,315.00	0.00	0.00	0.00	80.0	0.00
07/12/2018 7	5599821 RF LAFFERTY & CO	RUSHNET INC	В	350.00	0.00	0.00	0.00	80.0	0.00
07/12/2018 7	5599821 RF LAFFERTY & CO	RUSHNET INC	S	140.00	0.00	0.00	0.00	80.0	0.00
07/12/2018 7	5599821 RF LAFFERTY & CO	RUSHNET INC	S	140.00	0.00	0.00	0.00	80.0	0.00
07/12/2018 7	5599821 RF LAFFERTY & CO	RUSHNET INC	S	70.00	0.00	0.00	0.00	80.0	0.00
07/17/2018 7	5599821 RF LAFFERTY & CO	GENERAL ELECTRIC CO	В	13,710.10	0.00	0.00	0.00	80.0	0.00
07/17/2018 7	5599821 RF LAFFERTY & CO	GENERAL ELECTRIC CO	S	13,710.10	0.00	0.00	0.00	80.0	0.00
07/18/2018 7	5599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	S	23,358.40	0.00	0.00	0.00	80.0	0.00
07/18/2018 7	5599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	В	177.50	0.00	0.00	0.00	80.0	0.00
07/18/2018 7	5599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	В	177.50	0.00	0.00	0.00	80.0	0.00
07/18/2018 7	5599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	В	177.50	0.00	0.00	0.00	80.0	0.00
07/18/2018 7	5599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	В	177.50	0.00	0.00	0.00	80.0	0.00
07/18/2018 7	5599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	В	177.50	0.00	0.00	0.00	80.0	0.00
07/18/2018 7	5599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	В	177.50	0.00	0.00	0.00	80.0	0.00
07/18/2018 7	5599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	В	177.50	0.00	0.00	0.00	80.0	0.00
07/18/2018 7	5599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	В	177.50	0.00	0.00	0.00	80.0	0.00
07/18/2018 7	5599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	В	177.50	0.00	0.00	0.00	80.0	0.00
07/18/2018 7	5599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	В	177.50	0.00	0.00	0.00	80.0	0.00
07/18/2018 7	5599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	В	355.00	0.00	0.00	0.00	80.0	0.00
07/18/2018 7	5599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	В	355.00	0.00	0.00	0.00	80.0	0.00
07/18/2018 7	5599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	В	355.00	0.00	0.00	0.00	80.0	0.00
07/18/2018 7	5599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	В	720.00	0.00	0.00	0.00	80.0	0.00
07/18/2018 7	5599821 RF LAFFERTY & CO	AFFIMED N V COM ISIN#NL0010872420	В	270.00	0.00	0.00	0.00	80.0	0.00

INVESTMENT

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BROKER

GROSS CLEARING NET

TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
•										
			BF	ROKER TRANSA	CTIONS					
										
PRODUCT CAT	EGORY: STOCKS									
07/18/2018 75	599821 RF LAFFEF	RTY & CO	AFFIMED N V COM ISIN#NL0	010872420 В	3,326.40	0.00	0.00	0.00	80.0	0.00
07/18/2018 75	599821 RF LAFFEF	RTY & CO	AFFIMED N V COM ISIN#NL0	010872420 В	3.60	0.00	0.00	0.00	80.0	0.00
07/18/2018 75	599821 RF LAFFEF	RTY & CO	AFFIMED N V COM ISIN#NL0	010872420 В	2,339.35	0.00	0.00	0.00	80.0	0.00
07/18/2018 75	599821 RF LAFFEF	RTY & CO	AFFIMED N V COM ISIN#NL0	010872420 В	1,259.65	0.00	0.00	0.00	80.0	0.00
07/18/2018 75	599821 RF LAFFEF	RTY & CO	AFFIMED N V COM ISIN#NL0	010872420 В	3,599.80	0.00	0.00	0.00	80.0	0.00
07/18/2018 75	599821 RF LAFFEF	RTY & CO	AFFIMED N V COM ISIN#NL0	010872420 В	8,999.50	0.00	0.00	0.00	80.0	0.00
07/19/2018 75	599821 RF LAFFEF	RTY & CO	REDHILL BIOPHARMA LTD AM	ERICAN DEPO B	31,801.50	0.00	0.00	0.00	80.0	0.00
07/19/2018 75	599821 RF LAFFEF	RTY & CO	REDHILL BIOPHARMA LTD AM	ERICAN DEPO S	1,060.00	0.00	0.00	0.00	80.0	0.00
07/19/2018 75	599821 RF LAFFEF	RTY & CO	REDHILL BIOPHARMA LTD AM	ERICAN DEPO S	1,060.00	0.00	0.00	0.00	80.0	0.00
07/19/2018 75	599821 RF LAFFEF	RTY & CO	REDHILL BIOPHARMA LTD AM	ERICAN DEPO S	1,060.00	0.00	0.00	0.00	80.0	0.00
07/19/2018 75	599821 RF LAFFEF	RTY & CO	REDHILL BIOPHARMA LTD AM	ERICAN DEPO S	1,061.50	0.00	0.00	0.00	80.0	0.00
07/19/2018 75	599821 RF LAFFEF	RTY & CO	REDHILL BIOPHARMA LTD AM	ERICAN DEPOS	19,080.00	0.00	0.00	0.00	80.0	0.00
07/19/2018 75	599821 RF LAFFEF	RTY & CO	REDHILL BIOPHARMA LTD AM	ERICAN DEPOS	1,060.00	0.00	0.00	0.00	80.0	0.00
07/19/2018 75	599821 RF LAFFER	RTY & CO	REDHILL BIOPHARMA LTD AM	ERICAN DEPO S	7,420.00	0.00	0.00	0.00	80.0	0.00
07/20/2018 75	599821 RF LAFFER	RTY & CO	NUVEEN NEW YORK AMT FREE	MUNCIPAL I B	305,000.00	0.00	0.00	0.00	80.0	0.00
07/20/2018 75	599821 RF LAFFEF	RTY & CO	NUVEEN NEW YORK AMT FREE	MUNCIPAL I S	12,203.00	0.00	0.00	0.00	80.0	0.00
07/20/2018 75	599821 RF LAFFEF	RTY & CO	NUVEEN NEW YORK AMT FREE	MUNCIPAL I S	12,203.00	0.00	0.00	0.00	80.0	0.00
07/20/2018 75	599821 RF LAFFEF	RTY & CO	NUVEEN NEW YORK AMT FREE	MUNCIPAL I S	24,404.00	0.00	0.00	0.00	80.0	0.00
07/20/2018 75	599821 RF LAFFEF	RTY & CO	NUVEEN NEW YORK AMT FREE	MUNCIPAL I S	12,203.00	0.00	0.00	0.00	80.0	0.00
07/20/2018 75	599821 RF LAFFEF	RTY & CO	NUVEEN NEW YORK AMT FREE	MUNCIPAL I S	36,600.00	0.00	0.00	0.00	80.0	0.00
07/20/2018 75	599821 RF LAFFEF	RTY & CO	NUVEEN NEW YORK AMT FREE	MUNCIPAL I S	24,404.00	0.00	0.00	0.00	80.0	0.00
07/20/2018 75	599821 RF LAFFEF	RTY & CO	NUVEEN NEW YORK AMT FREE	MUNCIPAL I S	61,000.00	0.00	0.00	0.00	80.0	0.00
07/20/2018 75	599821 RF LAFFEF	RTY & CO	NUVEEN NEW YORK AMT FREE	MUNCIPAL I S	61,000.00	0.00	0.00	0.00	80.0	0.00
07/20/2018 75	599821 RF LAFFEF	RTY & CO	NUVEEN NEW YORK AMT FREE	MUNCIPAL I S	61,000.00	0.00	0.00	0.00	80.0	0.00
07/23/2018 75	599821 RF LAFFEF	RTY & CO	ALTICE USA INC CL A	В	33,856.00	0.00	0.00	0.00	80.0	0.00
07/23/2018 75	599821 RF LAFFEF	RTY & CO	ALTICE USA INC CL A	S	1,693.00	0.00	0.00	0.00	80.0	0.00
07/23/2018 75	599821 RF LAFFEF	RTY & CO	ALTICE USA INC CL A	S	1,693.00	0.00	0.00	0.00	80.0	0.00
07/23/2018 75	599821 RF LAFFER	RTY & CO	ALTICE USA INC CL A	S	1,693.00	0.00	0.00	0.00	80.0	0.00
07/23/2018 75	599821 RF LAFFEF	RTY & CO	ALTICE USA INC CL A	S	1,693.50	0.00	0.00	0.00	80.0	0.00
07/23/2018 75	599821 RF LAFFEF	RTY & CO	ALTICE USA INC CL A	S	4,232.50	0.00	0.00	0.00	80.0	0.00
07/23/2018 75	599821 RF LAFFEF	RTY & CO	ALTICE USA INC CL A	S	67.72	0.00	0.00	0.00	80.0	0.00
07/23/2018 75	599821 RF LAFFEF	RTY & CO	ALTICE USA INC CL A	S	6,772.00	0.00	0.00	0.00	80.0	0.00
07/23/2018 75	599821 RF LAFFEF	RTY & CO	ALTICE USA INC CL A	S	795.71	0.00	0.00	0.00	80.0	0.00
	599821 RF LAFFEF		ALTICE USA INC CL A	S	829.57	0.00	0.00	0.00	80.0	0.00
07/23/2018 75	599821 RF LAFFEF	RTY & CO	ALTICE USA INC CL A	S	12,757.76	0.00	0.00	0.00	80.0	0.00
07/23/2018 75	599821 RF LAFFEF	RTY & CO	ALTICE USA INC CL A	S	1,625.76	0.00	0.00	0.00	80.0	0.00
	599821 RF LAFFEF		ALTICE USA INC CL A	В	49,955.70	0.00	0.00	0.00	80.0	0.00
	599821 RF LAFFEF		ALTICE USA INC CL A	S	49,955.70	0.00	0.00	0.00	80.0	0.00
	599821 RF LAFFEF		NUVEEN N Y DIVIDEND ADVA	NTAGE MUN FB	124,900.00	0.00	0.00	0.00	80.0	0.00
	599821 RF LAFFEF		NUVEEN N Y DIVIDEND ADVA		37,470.00	0.00	0.00	0.00		0.00

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER T	rans.	ACTIONS					
PRODUCT CAT	TEGORY: STOCKS								
		NUVEEN N Y DIVIDEND ADVANTAGE MUI	1 F S	37,470.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUI		49,960.30	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	FACEBOOK INC CL A	S	28,094.40	0.00	0.00	0.00	80.0	0.00
07/26/2018 7	5599821 RF LAFFERTY & CO	FACEBOOK INC CL A	В	28,094.40	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	AUTODESK INC	В	37,776.00	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	AUTODESK INC	S	37,776.00	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	CONSTELLATION BRANDS INC CL A	В	10,466.25	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	CONSTELLATION BRANDS INC CL A	S	10,466.25	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	ELECTRONIC ARTS INC	В	44,817.50	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	ELECTRONIC ARTS INC	S	44,817.50	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	IAC INTERACTIVECORP COM	В	14,670.00	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	IAC INTERACTIVECORP COM	S	14,670.00	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	PERSPECTA INC COMMON STOCK	В	8,632.00	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	PERSPECTA INC COMMON STOCK	S	4,314.00	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	PERSPECTA INC COMMON STOCK	S	4,318.00	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	ZEBRA TECHNOLOGIES CORP CL A	В	28,000.00	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	ZEBRA TECHNOLOGIES CORP CL A	В	5,600.00	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	ZEBRA TECHNOLOGIES CORP CL A	В	5,600.00	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	ZEBRA TECHNOLOGIES CORP CL A	S	28,000.00	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	ZEBRA TECHNOLOGIES CORP CL A	S	1,540.00	0.00	0.00	0.00	80.0	0.00
07/30/2018 7	5599821 RF LAFFERTY & CO	ZEBRA TECHNOLOGIES CORP CL A	S	9,660.00	0.00	0.00	0.00	80.0	0.00
07/31/2018 7	5599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN D	EPO S	14,968.40	0.00	0.00	0.00	80.0	0.00
07/31/2018 7	5599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN D	EPO B	744.00	0.00	0.00	0.00	80.0	0.00
07/31/2018 7	5599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN D	EPO B	745.00	0.00	0.00	0.00	80.0	0.00
07/31/2018 7	5599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN D	EPO B	746.00	0.00	0.00	0.00	80.0	0.00
07/31/2018 7	5599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN D	EPO B	746.00	0.00	0.00	0.00	80.0	0.00
07/31/2018 7	5599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN D	EPO B	746.00	0.00	0.00	0.00	80.0	0.00
07/31/2018 7	5599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN D	EPO B	746.00	0.00	0.00	0.00	80.0	0.00
07/31/2018 7	5599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DI	EPO B	747.00	0.00	0.00	0.00	80.0	0.00
07/31/2018 7	5599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DI	EPO B	1,504.00	0.00	0.00	0.00	80.0	0.00
07/31/2018 7	5599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DI	EPO B	2,232.00	0.00	0.00	0.00	80.0	0.00
07/31/2018 7	5599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DI	EPO B	2,632.00	0.00	0.00	0.00	80.0	0.00
07/31/2018 7	5599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DI	EPO B	3,007.96	0.00	0.00	0.00	80.0	0.00
07/31/2018 7	5599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DI	EPO B	372.50	0.00	0.00	0.00	80.0	0.00
08/01/2018 7	5599821 RF LAFFERTY & CO	ALLERGAN PLC	В	6,341.71	0.00	0.00	0.00	80.0	0.00
08/01/2018 7	5599821 RF LAFFERTY & CO	ALLERGAN PLC	В	5,782.14	0.00	0.00	0.00	80.0	0.00
08/01/2018 7	5599821 RF LAFFERTY & CO	ALLERGAN PLC	В	5,595.62	0.00	0.00	0.00	80.0	0.00
08/01/2018 7	5599821 RF LAFFERTY & CO	ALLERGAN PLC	S	17,719.48	0.00	0.00	0.00	80.0	0.00
08/01/2018 7	5599821 RF LAFFERTY & CO	FIRST TRUST ETF DOW JONES INTERNI	ET S	68,230.00	0.00	0.00	0.00	80.0	0.00
08/01/2018 7	5599821 RF LAFFERTY & CO	FIRST TRUST ETF DOW JONES INTERNI	ET B	68,230.00	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		<u> </u>	ROKER TRANSA	CTIONS					
PRODUCT CA	TEGORY: STOCKS								
08/01/2018 7	75599821 RF LAFFER	TY & CO MSCI INC	S	32,678.00	0.00	0.00	0.00	80.0	0.00
08/01/2018 7	75599821 RF LAFFER	TY & CO MSCI INC	В	32,677.98	0.00	0.00	0.00	80.0	0.00
08/01/2018 7	75599821 RF LAFFER	TY & CO REX ENERGY CORPORATION	COMMON STOCK B	24.00	0.00	0.00	0.00	80.0	0.00
08/01/2018 7	75599821 RF LAFFER	TY & CO REX ENERGY CORPORATION	COMMON STOCK S	24.00	0.00	0.00	0.00	80.0	0.00
08/01/2018 7	75599821 RF LAFFER	TY & CO TRIANGLE PETROLEUM CORP	В	260.00	0.00	0.00	0.00	80.0	0.00
08/01/2018 7	75599821 RF LAFFER	TY & CO TRIANGLE PETROLEUM CORP	S	260.00	0.00	0.00	0.00	80.0	0.00
08/08/2018 7	75599821 RF LAFFER	TY & CO CYBERARK SOFTWARE LTD S	HS ISIN#IL00 S	27,792.00	0.00	0.00	0.00	80.0	0.00
08/08/2018 7	75599821 RF LAFFER	TY & CO CYBERARK SOFTWARE LTD S	HS ISIN#IL00 B	27,791.96	0.00	0.00	0.00	80.0	0.00
08/08/2018 7	75599821 RF LAFFER	TY & CO GUIDEWIRE SOFTWARE INC	S	28,026.99	0.00	0.00	0.00	80.0	0.00
08/08/2018 7	75599821 RF LAFFER	TY & CO GUIDEWIRE SOFTWARE INC	В	9,339.00	0.00	0.00	0.00	80.0	0.00
08/08/2018 7	75599821 RF LAFFER	TY & CO GUIDEWIRE SOFTWARE INC	В	9,344.00	0.00	0.00	0.00	80.0	0.00
08/08/2018 7	75599821 RF LAFFER	TY & CO GUIDEWIRE SOFTWARE INC	В	9,344.00	0.00	0.00	0.00	80.0	0.00
08/08/2018 7	75599821 RF LAFFER	TY & CO VANECK VECTORS ETF TR G	OLD MINERS E S	1,031.30	0.00	0.00	0.00	80.0	0.00
08/08/2018 7	75599821 RF LAFFER	TY & CO VANECK VECTORS ETF TR G	OLD MINERS E S	1,031.30	0.00	0.00	0.00	80.0	0.00
08/08/2018 7	75599821 RF LAFFER	TY & CO VANECK VECTORS ETF TR G	OLD MINERS E S	1,031.30	0.00	0.00	0.00	80.0	0.00
08/08/2018 7	75599821 RF LAFFER	TY & CO VANECK VECTORS ETF TR G	OLD MINERS E B	3,093.90	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599822 RF LAFFER	TY & CO FIDELITY COVINGTON TR M	SCI INFORMAT S	784,300.24	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599822 RF LAFFER	TY & CO FIDELITY COVINGTON TR M	SCI INFORMAT B	-784,300.00	0.00	0.00	0.00	80.0	0.00 C
07/05/2018 7	75599822 RF LAFFER	TY & CO FIDELITY COVINGTON TR M	SCI INFORMAT S	768,508.96	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599822 RF LAFFER	TY & CO FIDELITY MSCI CONSUMER 1	DISCRETIONAR S	794,653.09	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599822 RF LAFFER	TY & CO FIDELITY MSCI CONSUMER 1	DISCRETIONAR B	-794,653.00	0.00	0.00	0.00	80.0	0.00 C
07/05/2018 7	75599822 RF LAFFER	TY & CO FIDELITY MSCI CONSUMER 1	DISCRETIONAR S	794,653.09	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599822 RF LAFFER	TY & CO FIDELITY MSCI INDUSTRIA	LS INDEX ETF S	754,701.09	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599822 RF LAFFER	TY & CO FIDELITY MSCI INDUSTRIA	LS INDEX ETF B	-754,701.00	0.00	0.00	0.00	80.0	0.00 C
07/05/2018 7	75599822 RF LAFFER	TY & CO FIDELITY MSCI INDUSTRIA	LS INDEX ETF S	747,442.41	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599822 RF LAFFER	TY & CO FIDELITY MSCI ENERGY IN	DEX ETF S	766,438.68	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599822 RF LAFFER	TY & CO FIDELITY MSCI ENERGY IN	DEX ETF B	-766,439.00	0.00	0.00	0.00	80.0	0.00 C
07/05/2018 7	75599822 RF LAFFER	TY & CO FIDELITY MSCI ENERGY IN	DEX ETF S	766,438.68	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599822 RF LAFFER	TY & CO MASTERCARD INCORPORATED	В	9,900.75	0.00	0.00	0.00	80.0	0.00
07/06/2018 7	75599822 RF LAFFER	TY & CO FIDELITY COVINGTON TR M	SCI INFORMAT B	784,300.24	0.00	0.00	0.00	80.0	0.00
07/06/2018 7	75599822 RF LAFFER	TY & CO FIDELITY MSCI CONSUMER 1	DISCRETIONAR B	802,619.62	0.00	0.00	0.00	80.0	0.00
07/06/2018 7	75599822 RF LAFFER	TY & CO FIDELITY MSCI INDUSTRIA	LS INDEX ETF B	754,701.09	0.00	0.00	0.00	80.0	0.00
07/06/2018 7	75599822 RF LAFFER	TY & CO FIDELITY MSCI ENERGY IN	DEX ETF B	769,697.04	0.00	0.00	0.00	80.0	0.00
07/06/2018 7	75599822 RF LAFFER	TY & CO MASTERCARD INCORPORATED	S	9,902.50	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599823 RF LAFFER	TY & CO FIDELITY COVINGTON TR M	SCI INFORMAT B	44,765.24	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599823 RF LAFFER	TY & CO FIDELITY COVINGTON TR M	SCI INFORMAT B	21,163.76	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599823 RF LAFFER	TY & CO FIDELITY COVINGTON TR M	SCI INFORMAT B	87,480.59	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599823 RF LAFFER	TY & CO FIDELITY COVINGTON TR M	SCI INFORMAT B	14,460.06	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599823 RF LAFFER	TY & CO FIDELITY COVINGTON TR M	SCI INFORMAT B	59,391.51	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599823 RF LAFFER	TY & CO FIDELITY COVINGTON TR M	SCI INFORMAT B	94,793.72	0.00	0.00	0.00	80.0	0.00

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NET

BROKER

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BRO	OKER TRANSA	CTIONS					
PRODUCT CA	TEGORY: STOCKS								
	'5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSC	T TNFORMAT B	47,978.59	0.00	0.00	0.00	80.0	0.00
. , ,	'5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI		35,402.21	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSC		32,133.47	0.00	0.00	0.00		0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSC		67,701.89	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSC		82,882.18	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSC		61,884.62	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSC	I INFORMAT B	69,419.37	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSC	I INFORMAT B	17,174.78	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSC	I INFORMAT B	30,803.80	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSC	I INFORMAT S	767,435.80	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSC	I INFORMAT S	768,508.96	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSC	I INFORMAT B	-768,509.00	0.00	0.00	0.00	80.0	0.00 0
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR B	46,178.67	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR B	21,811.33	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR B	90,142.12	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR B	14,910.09	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR B	61,216.55	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR B	97,724.97	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR B	49,458.89	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR B	36,508.41	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR B	33,142.99	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR B	69,821.80	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR B	85,413.50	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR B	63,772.57	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR B	71,568.41	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR B	17,721.70	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR B	31,737.18	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR S	791,129.20	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR S	794,653.09	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DIS	SCRETIONAR B	-794,653.00	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX	K ETF B	35,054.73	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX	K ETF B	31,843.13	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX	K ETF B	67,065.78	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX	K ETF B	82,074.25	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX	K ETF B	61,272.31	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX	K ETF B	68,745.05	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX	K ETF B	17,002.59	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX	K ETF B	30,478.72	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX	K ETF S	759,952.96	0.00	0.00	0.00	80.0	0.00
07/05/2018 7	'5599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX	K ETF S	766,438.68	0.00	0.00	0.00	80.0	0.00

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07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 43,507.30 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 84,9446.59 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0.0	TRADE DATE	CLIENT	INVESTMENT		B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
### PROBUCT CATEGORY: STOCKS 7/705/2016 75599823 FP LAFFERTY & CO				BROKER '	TRANSZ	ACTIONS					
07/05/2018 75599823 PE LAPPERTY & CO	PRODUCT CAT	TEGORY: STOCKS									
07/05/2018 75599923 F LAFFERTY & CO FIDELITY MSCI INDOSTRIALS INDEX FTF B			FIDELITY MSCI	ENERGY INDEX ETF	В	-766.439.00	0.00	0.00	0.00	80.0	0.00 C
19/16/2018 75599823 RF LAFFREYY & CO PIDELITY MSCI INDUSTRIALS INDEX NET B 8 48,941.5 4											
107/05/2018 75599823 RE LAFFERTY & CO											
07/05/2018 7559823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 92.078.75 0.00 0.00 0.00 80.0 0.00	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	INDUSTRIALS INDEX	ETF B	84,944.59	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF 8 46,575.36 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF 8 34,377.05 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF 8 34,377.05 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF 8 34,377.05 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF 8 65,59.98 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF 8 60,508.84 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF 8 67,433.83 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF 8 67,433.83 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF 8 67,433.83 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF 8 16,671.02 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF 8 29,904.34 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF 8 29,904.34 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF 8 29,904.34 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI EMBORY INDEX ETF 8 745,316,71 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI EMBORY INDEX ETF 8 44,333.68 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI EMBORY INDEX ETF 8 80,608.27 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI EMBORY INDEX ETF 8 80,608.27 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	INDUSTRIALS INDEX	ETF B	14,046.54	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 46,575,36 0.00 0.00 0.00 80.0 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 31,235.07 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 31,235.07 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 80,5759.98 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 80,104.40 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 80,104.40 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 80,104.40 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 80,104.40 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 16,671.02 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 12,904.34 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 747,442.41 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 747,442.40 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 747,442.40 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 747,442.41 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 84,333.80 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 84,333.80 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 84,333.80 0.00 0.00 0.00 0.00 0.00 0.00 0.0	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	INDUSTRIALS INDEX	ETF B	57,664.74	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 34,377.05 0.00 0.00 0.00 0.00 0.00 0.00 0.00	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	INDUSTRIALS INDEX	ETF B	92,078.75	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 31,235.07 0.00 0.00 0.00 0.00 0.00 0.00 0.00	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	INDUSTRIALS INDEX	ETF B	46,575.36	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 65,759.88 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 60,104.40 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 67,423.38 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 67,423.38 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 67,423.38 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 167,423.38 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 29,904.34 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 745,316.71 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 747,442.41 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 747,442.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI ENREGY INDEX ETF B 44,351.68 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI ENREGY INDEX ETF B 8 6,608.27 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI ENREGY INDEX ETF B 8 6,608.27 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI ENREGY INDEX ETF B 8 6,608.27 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI ENREGY INDEX ETF B 8 18,15.76 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI ENREGY INDEX ETF B 8 3,088,332.90 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI ENREGY INDEX ETF B 3,088,332.90 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFPERTY & CO FIDELITY MSCI ENREGY INDEX ETF B 3,088,332.90 0.00 0.00 0.00 0.00 0.00 80.0 0.	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	INDUSTRIALS INDEX	ETF B	34,377.05	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 60,104.40 0.00 0.00 0.00 80.0 0.00 0.00 0.00	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	INDUSTRIALS INDEX	ETF B	31,235.07	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	INDUSTRIALS INDEX	ETF B	65,759.98	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 16,671.02 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 12,671.02 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 29,904.34 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF S 745,316.71 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF S 747,442.41 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF S 747,442.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 747,442.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 844,533.68 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 86,608.27 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 86,608.27 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 86,816.38 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 86,816.38 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 87,825.21 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 87,825.21 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 87,825.21 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 87,825.21 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 87,825.21 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR SAP 500 ETF TRUST S 85,194.21 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY &	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	INDUSTRIALS INDEX	ETF B	80,508.84	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 29,904.34 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 29,904.34 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF S 745,316.71 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF S 747,442.41 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 747,442.41 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 747,442.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 20,927.88 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 86,608.27 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 86,608.27 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 86,608.27 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 58,816.38 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 58,816.38 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 58,816.38 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	INDUSTRIALS INDEX	ETF B	60,104.40	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 29,904.34 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF S 745,316.71 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 747,442.41 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF B 747,442.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 44,353.68 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 86,608.27 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 86,608.27 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 86,608.27 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 14,315.76 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 14,315.76 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 93,892.10 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 47,502.31 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 47,502.31 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 47,502.31 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 47,502.31 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 47,502.31 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 47,502.31 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	INDUSTRIALS INDEX	ETF B	67,423.38	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI INDUSTRIALS INDEX ETF S 745,316.71 0.00 0.00 0.00 80.0 0.00 0.00 0.00 0.0	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	INDUSTRIALS INDEX	ETF B	16,671.02	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	INDUSTRIALS INDEX	ETF B	29,904.34	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	INDUSTRIALS INDEX	ETF S	745,316.71	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 44,353.68 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 20,927.88 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 86,608.87 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 14,315.76 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 14,315.76 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 33,892.10 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 47,502.31 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 3,088,332.90 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&F 500 ETF TRUST B 3,088,332.90 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&F 500 ETF TRUST S 85,129.74 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&F 500 ETF TRUST S 85,129.74 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&F 500 ETF TRUST S 85,129.74 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&F 500 ETF TRUST S 85,129.74 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&F 500 ETF TRUST S 85,203.72 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&F 500 ETF TRUST S 83,15,87.94 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&F 500 ETF TRUST S 83,15,87.94 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&F 500 ETF TRUST S 83,15,87.94 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&F 500 ETF TRUST S 81,10,10,10,10,10,10,10,10,10,10,10,10,10	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	INDUSTRIALS INDEX	ETF S	747,442.41	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 86,608.27 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0.0	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	INDUSTRIALS INDEX	ETF B	-747,442.00	0.00	0.00	0.00	80.0	0.00 C
07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 86,608.27 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 14,315.76 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 58,816.38 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 93,892.10 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 93,892.10 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST B 3,088,332.90 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 180,322.74 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 351,992.12 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 351,992.12 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 351,992.12 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 351,992.12 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 381,587.94 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 381,587.94 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 381,587.94 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 381,587.94 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 193,105.80 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 193,105.80 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 129,462.48 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 129,462.48 0.00 0.00 0.00 0.00 0.00 0.00 80.0 0.00 07/05/2018 75599823 RF	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	ENERGY INDEX ETF	В	44,353.68	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 58,816.38 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	ENERGY INDEX ETF	В	20,927.88	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 93,892.10 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	ENERGY INDEX ETF	В	86,608.27	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO FIDELITY MSCI ENERGY INDEX ETF B 93,892.10 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	ENERGY INDEX ETF	В	14,315.76	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	ENERGY INDEX ETF	В	58,816.38	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST B 3,088,332.90 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	ENERGY INDEX ETF	В	93,892.10	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 180,322.74 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0.0	07/05/2018 75	5599823 RF LAFFERTY & CO	FIDELITY MSCI	ENERGY INDEX ETF	В	47,502.31	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 85,129.74 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0.0	07/05/2018 75	5599823 RF LAFFERTY & CO	SPDR S&P 500 E	TF TRUST	В	3,088,332.90	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 351,942.12 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0.0	07/05/2018 75	5599823 RF LAFFERTY & CO	SPDR S&P 500 E	TF TRUST	S	180,322.74	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 58,203.72 0.00 0.00 0.00 80.0 0.00 0.00 0.00 0.0	07/05/2018 75	5599823 RF LAFFERTY & CO	SPDR S&P 500 E	TF TRUST	S	85,129.74	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 239,070.42 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0.0	07/05/2018 75	5599823 RF LAFFERTY & CO	SPDR S&P 500 E	TF TRUST	S	351,942.12	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 381,587.94 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0.0	07/05/2018 75	5599823 RF LAFFERTY & CO	SPDR S&P 500 E	TF TRUST	S	58,203.72	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 193,105.80 0.00 0.00 0.00 0.00 80.0 0.00 0.00	07/05/2018 75	5599823 RF LAFFERTY & CO	SPDR S&P 500 E	TF TRUST	S	239,070.42	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 142,517.52 0.00 0.00 0.00 80.0 0.00 0.00 0.00 0.0	07/05/2018 75	5599823 RF LAFFERTY & CO	SPDR S&P 500 E	TF TRUST	S	381,587.94	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 129,462.48 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	07/05/2018 75	5599823 RF LAFFERTY & CO	SPDR S&P 500 E	TF TRUST	S	193,105.80	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 272,523.96 0.00 0.00 0.00 80.0 0.00 0.705/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 333,447.48 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	07/05/2018 75	5599823 RF LAFFERTY & CO	SPDR S&P 500 E	TF TRUST	S	142,517.52	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 333,447.48 0.00 0.00 0.00 80.0 0.00 0.00 0.00 0	07/05/2018 75	5599823 RF LAFFERTY & CO	SPDR S&P 500 E	TF TRUST	S	129,462.48	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 248,861.70 0.00 0.00 0.00 80.0 0.00	07/05/2018 75	5599823 RF LAFFERTY & CO	SPDR S&P 500 E	TF TRUST	S	272,523.96	0.00	0.00	0.00	80.0	0.00
	07/05/2018 75	5599823 RF LAFFERTY & CO	SPDR S&P 500 E	TF TRUST	S	333,447.48	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO SPDR S&P 500 ETF TRUST S 279,323.46 0.00 0.00 0.00 80.0 0.00	07/05/2018 75	5599823 RF LAFFERTY & CO	SPDR S&P 500 E	TF TRUST	S	248,861.70	0.00	0.00	0.00	80.0	0.00
	07/05/2018 75	5599823 RF LAFFERTY & CO	SPDR S&P 500 E	TF TRUST	S	279,323.46	0.00	0.00	0.00	80.0	0.00

TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRAN	SACTIONS					
PRODUCT CATEGORY: STOCKS							
07/05/2018 75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST S	69,082.92	0.00	0.00	0.00	80.0	0.00
07/05/2018 75599823 RF LAFFERTY & CO	SPDR S&P 500 ETF TRUST S	123,750.90	0.00	0.00	0.00	80.0	0.00
	* STOCKS SUBTOTAL *	\$22,496,064.58	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: BONDS							
07/16/2018 75599820 RF LAFFERTY & CO	BANK OF AMERICA CORPORATION S	25,225.00	0.00	0.00	0.00	80.0	0.00
07/16/2018 75599820 RF LAFFERTY & CO	BANK OF AMERICA CORPORATION B	24,725.00	0.00	0.00	0.00		0.00
07/16/2018 75599820 RF LAFFERTY & CO	DISH DBS CORP B	25,725.00	0.00	0.00	0.00		0.00
07/16/2018 75599820 RF LAFFERTY & CO	DISH DBS CORP S	26,325.00	0.00	0.00	0.00		0.00
07/16/2018 75599820 RF LAFFERTY & CO	GOLDMAN SACHS GROUP INC DEP SHS REP S	24,050.00	0.00	0.00	0.00		0.00
07/16/2018 75599820 RF LAFFERTY & CO	GOLDMAN SACHS GROUP INC DEP SHS REP B	23,550.00	0.00	0.00	0.00		0.00
07/19/2018 75599820 RF LAFFERTY & CO	FORD MTR CR CO S	51,437.50	0.00	0.00	0.00		0.00
07/19/2018 75599820 RF LAFFERTY & CO	FORD MTR CR CO B	50,625.00	0.00	0.00	0.00	80.0	0.00
07/19/2018 75599820 RF LAFFERTY & CO	PHILIP MORRIS INTL INC NT S	51,812.50	0.00	0.00	0.00	80.0	0.00
07/19/2018 75599820 RF LAFFERTY & CO	PHILIP MORRIS INTL INC NT B	51,437.50	0.00	0.00	0.00	80.0	0.00
07/27/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL B	1,180,296.00	0.00	0.00	0.00	80.0	0.00
07/27/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL S	1,182,096.00	0.00	0.00	0.00	80.0	0.00
07/27/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL B	196,716.00	0.00	0.00	0.00	80.0	0.00
07/27/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL S	197,016.00	0.00	0.00	0.00	80.0	0.00
07/27/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL S	1,191,822.00	0.00	0.00	0.00	80.0	0.00
07/27/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL B	1,190,022.00	0.00	0.00	0.00	80.0	0.00
07/27/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL B	198,337.00	0.00	0.00	0.00	80.0	0.00
07/27/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL S	198,637.00	0.00	0.00	0.00	80.0	0.00
07/27/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL RE-ISSU B	199,109.68	0.00	0.00	0.00	80.0	0.00
07/27/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL RE-ISSUS	199,309.68	0.00	0.00	0.00	80.0	0.00
07/27/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL RE-ISSU B	1,194,658.09	0.00	0.00	0.00	80.0	0.00
07/27/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL RE-ISSUS	1,195,558.09	0.00	0.00	0.00	80.0	0.00
07/27/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREAS BILLS 0.000% 02 B	1,185,144.00	0.00	0.00	0.00	80.0	0.00
07/27/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREAS BILLS 0.000% 02 S	1,186,644.00	0.00	0.00	0.00	80.0	0.00
07/27/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREAS BILLS 0.000% 02 B	197,524.00	0.00	0.00	0.00	80.0	0.00
07/27/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREAS BILLS 0.000% 02 S	197,774.00	0.00	0.00	0.00	80.0	0.00
07/31/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL B	393,011.84	0.00	0.00	0.00	80.0	0.00
07/31/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL S	393,211.84	0.00	0.00	0.00	80.0	0.00
07/31/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL B	1,198,453.20	0.00	0.00	0.00	80.0	0.00
07/31/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL S	1,198,753.20	0.00	0.00	0.00	80.0	0.00
07/25/2018 75599826 RF LAFFERTY & CO	BARCLAYS BANK PLC FR 8%073030 B	53,487.50	0.00	0.00	0.00	80.0	0.00
07/25/2018 75599826 RF LAFFERTY & CO	BARCLAYS BANK PLC FR 8%073030 S	53,900.00	0.00	0.00	0.00	80.0	0.00
08/03/2018 75599826 RF LAFFERTY & CO	JPMORGAN CHASE FINL CO LLC LKD RO S B	20,800.00	0.00	0.00	0.00	80.0	0.00
	* BONDS SUBTOTAL *	\$14,757,193.62	\$0.00	\$0.00	\$0.00		\$0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BRO	KER TRANS	ACTIONS					
PRODUCT CAT	TEGORY: RIA								
07/27/2018 75	5501861 BENJAMIN, WAYNE	FAS FEES PT. I/III	В	991.36	0.00	0.00	0.00	80.0	0.00
07/27/2018 75	5501862 BENJAMIN, WAYNE	FAS FEES PT. I/III	В	245.38	0.00	0.00	0.00	80.0	0.00
		* RIA	SUBTOTAL *	\$1,236.74	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CAT	regory: unit investment	TRUSTS							
07/02/2018 75	5599825 RF LAFFERTY & CO	UIT FT SABRIENT BAKERS DOZ	EN PORT JS	2,879.22	0.00	0.00	0.00	80.0	0.00
07/02/2018 75	5599825 RF LAFFERTY & CO	UIT FT SABRIENT BAKERS DOZ	EN PORT JB	-2,879.22	0.00	0.00	0.00	80.0	0.00
07/02/2018 75	5599825 RF LAFFERTY & CO	UIT FT SABRIENT BAKERS DOZ	EN PORT JS	2,879.22	0.00	0.00	0.00	80.0	0.00
07/02/2018 75	5599825 RF LAFFERTY & CO	UIT FT SABRIENT BAKERS DOZ	EN PORT JB	2,843.22	0.00	0.00	0.00	80.0	0.00
07/10/2018 75	5599825 RF LAFFERTY & CO	UIT FT STRATEGIC INCOME AD	VNTG OPTY S	5,052.11	0.00	0.00	0.00	80.0	0.00
07/10/2018 75	5599825 RF LAFFERTY & CO	UIT FT STRATEGIC INCOME AD	VNTG OPTY B	4,988.97	0.00	0.00	0.00	80.0	0.00
		* UNIT INVESTMENT TRUSTS	SUBTOTAL *	\$15,763.52	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CAT	regory: Mutual fund 12b	1 TRAILS							
07/31/2018		FEE COUNT - 12		0.00	149.31	0.00	149.31	80.0	119.45
		* MUTUAL FUND 12B1 TRAILS	SUBTOTAL *	\$0.00	\$149.31	\$0.00	\$149.31		\$119.45
		*** BROKER TRANSACTIONS	TOTAL ***	\$37,270,258.46	\$149.31	\$0.00	\$149.31		\$119.45

BROKER COMMISSION	TOTALS
Broker Transactions	\$119.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.19
SIPC Assessment	\$-0.37
Check Amount	\$118.89
Year-to-date Earnings	\$196.94