

**COMMISSION STATEMENT****August 31, 2018**THOMAS, H121 HALSEY
7261 W. Central Ave.**BROKER #: H121**

BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	LEROUX, LAURA	BLACKROCK 67776G519	0.00	11.25	0.00	11.25	85.0	9.56
		* 529 PLANS SUBTOTAL *	\$0.00	\$11.25	\$0.00	\$11.25		\$9.56
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/10/2018	PAPIO, AARON	AM FUNDS FUNDAMENTAL INVESTORS A	60.00	3.00	0.00	3.00	85.0	2.55
08/13/2018	WRAY, KIM	AM FUNDS GROWTH FUND OF AMERICA A	1,966.29	54.07	0.00	54.07	85.0	45.96
08/10/2018	PAPIO, AARON	AM FUNDS SMALLCAP WORLD A	60.00	3.00	0.00	3.00	85.0	2.55
07/30/2018	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	-50.00	-2.50	0.00	-2.50	85.0	-2.13
/ /	LEININGER, LISA	BLACKROCK 67776G535	0.00	1.25	0.00	1.25	85.0	1.06
08/15/2018	LEININGER, LISA	BLACKROCK 67776G535	25.00	1.25	0.00	1.25	85.0	1.06
		* MUTUAL FUNDS SUBTOTAL *	\$2,061.29	\$60.07	\$0.00	\$60.07		\$51.05
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/15/2018	MINCK, BEVERLY	TRANSAMERICA B-SHARE	172,000.00	12,384.00	0.00	12,384.00	85.0	10,526.40
		* VARIABLE ANNUITIES SUBTOTAL *	\$172,000.00	\$12,384.00	\$0.00	\$12,384.00		\$10,526.40
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/31/2018	LEROUX, LAURA	BLACKROCK 67776G469	0.00	8.88	0.00	8.88	85.0	7.55
07/31/2018	BUEHRER, SARAH	BLACKROCK 67776G519	0.00	1.61	0.00	1.61	85.0	1.37
07/31/2018	LEROUX, LAURA	BLACKROCK 67776G519	0.00	9.44	0.00	9.44	85.0	8.02
/ /	LEININGER, LISA	BLACKROCK 67776G535	0.00	0.55	0.00	0.55	85.0	0.47
07/31/2018	LEININGER, LISA	BLACKROCK 67776G535	0.00	0.55	0.00	0.55	85.0	0.47
07/31/2018	BUEHRER, SARAH	GLOBAL ALLOCATION FUND	0.00	1.56	0.00	1.56	85.0	1.33
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$22.59	\$0.00	\$22.59		\$19.21
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/02/2018	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	0.00	110.47	0.00	110.47	85.0	93.90
07/05/2018	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	0.00	94.51	0.00	94.51	85.0	80.33

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/05/2018	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	0.00	69.89	0.00	69.89	85.0	59.41
07/06/2018	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	0.00	202.78	0.00	202.78	85.0	172.36
07/07/2018	EYLER, BARBARA	TRANSAMERICA LIBERTY	0.00	87.74	0.00	87.74	85.0	74.58
07/07/2018	BUEHRER, SARAH	TRANSAMERICA LIBERTY	0.00	128.45	0.00	128.45	85.0	109.18
07/07/2018	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	0.00	126.53	0.00	126.53	85.0	107.55
07/07/2018	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	0.00	163.77	0.00	163.77	85.0	139.20
07/08/2018	FERGUSON, MARK	TRANSAMERICA LIBERTY	0.00	218.88	0.00	218.88	85.0	186.05
07/08/2018	DE SELMS, KAREN	TRANSAMERICA LIBERTY	0.00	113.57	0.00	113.57	85.0	96.53
07/09/2018	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	0.00	147.29	0.00	147.29	85.0	125.20
07/10/2018	THANASIU, THOMAS	TRANSAMERICA LIBERTY	0.00	94.04	0.00	94.04	85.0	79.93
07/10/2018	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	0.00	80.35	0.00	80.35	85.0	68.30
07/10/2018	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	0.00	75.28	0.00	75.28	85.0	63.99
07/12/2018	DIENER, JUDITH	TRANSAMERICA LIBERTY	0.00	114.64	0.00	114.64	85.0	97.44
07/12/2018	RUCH, RONALD	TRANSAMERICA LIBERTY	0.00	54.79	0.00	54.79	85.0	46.57
07/13/2018	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	0.00	23.65	0.00	23.65	85.0	20.10
07/16/2018	BAKER, ELAINE	TRANSAMERICA LIBERTY	0.00	193.96	0.00	193.96	85.0	164.87
07/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	0.00	58.88	0.00	58.88	85.0	50.05
07/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	0.00	58.88	0.00	58.88	85.0	50.05
07/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	0.00	50.56	0.00	50.56	85.0	42.98
07/20/2018	RICHARDS, STEPHEN	TRANSAMERICA LIBERTY	0.00	88.77	0.00	88.77	85.0	75.45
07/21/2018	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	0.00	174.86	0.00	174.86	85.0	148.63
07/22/2018	AUGUSTYNIAC JR, ROGER	TRANSAMERICA LIBERTY	0.00	352.62	0.00	352.62	85.0	299.73
07/22/2018	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	0.00	78.90	0.00	78.90	85.0	67.07
07/25/2018	PERRY, ALAN	TRANSAMERICA LIBERTY	0.00	337.10	0.00	337.10	85.0	286.54
07/25/2018	TAYLOR, LILY	TRANSAMERICA LIBERTY	0.00	32.71	0.00	32.71	85.0	27.80
07/26/2018	ANNESSER, CAROL	TRANSAMERICA LIBERTY	0.00	89.55	0.00	89.55	85.0	76.12
07/27/2018	DESELMS, KAREN	TRANSAMERICA LIBERTY	0.00	104.75	0.00	104.75	85.0	89.04
07/27/2018	WRAY, JOCELYN	TRANSAMERICA LIBERTY	0.00	24.27	0.00	24.27	85.0	20.63
07/27/2018	WRAY, KIM	TRANSAMERICA LIBERTY	0.00	56.60	0.00	56.60	85.0	48.11
07/27/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	0.00	29.49	0.00	29.49	85.0	25.07
07/30/2018	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	0.00	40.61	0.00	40.61	85.0	34.52
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,679.14	\$0.00	\$3,679.14		\$3,127.28
*** DIRECT TRANSACTIONS TOTAL ***			\$174,061.29	\$16,157.05	\$0.00	\$16,157.05		\$13,733.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
08/31/2018		*ADVANCE: ADVANCE	0.00					-7,000.00
08/31/2018		*OUT OF CYCLE: ADVANCE ON 8.31 COMMISSIONS	0.00					-100.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-7,100.00

BROKER COMMISSION TOTALS

Broker Transactions	\$13,733.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-7,100.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-138.36
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,495.14
Year-to-date Gross	\$54,310.59
Year-to-date Earnings	\$46,164.48

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed