



COMMISSION STATEMENT

June 04, 2018

ALFREDO BALLESTEROS
21830 Walnut Street
Wildmar, CA 92595

BROKER #: B10
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/22/2018	***-**-3245 - SIMKO, W	AMERICAN BALANCED FUND-A	2,077.52	103.88	0.00	103.88	80.0	83.10
	ACCOUNT #: 04000964563	BUY 72 Units @28.8200						
05/22/2018	***-**-3245 - SIMKO, W	CAPITAL WORLD BOND FUND-A	2,077.50	62.33	0.00	62.33	80.0	49.86
	ACCOUNT #: 04000964563	BUY 102 Units @20.3000						
05/22/2018	***-**-3245 - SIMKO, W	NEW PERSPECTIVE FUND-A	2,077.50	103.88	0.00	103.88	80.0	83.10
	ACCOUNT #: 04000964563	BUY 43 Units @48.1800						
05/22/2018	***-**-3245 - SIMKO, W	THE INVESTMENT COMPANY OF AMERICA-A	2,077.50	103.88	0.00	103.88	80.0	83.10
	ACCOUNT #: 04000964563	BUY 48 Units @43.7100						
* MUTUAL FUNDS SUBTOTAL *			\$8,310.02	\$373.97	\$0.00	\$373.97		\$299.16
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/21/2018	***-**-51VL - BALLESTEROS, VL	PACIFIC LIFE DTCC RENEWAL	157.00	1.57	0.00	1.57	80.0	1.26
	ACCOUNT #: VP60123270	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$157.00	\$1.57	\$0.00	\$1.57		\$1.26
<u>PRODUCT CATEGORY: RIA</u>								
06/01/2018	***-**-16FB - DOWNS-MINETREE,	LIFEMARK INVESTMENT ADVISORY FEE	104.04	104.04	0.00	104.04	80.0	83.23
	ACCOUNT #: 925310184	BUY 0 Units @0.0000						
06/01/2018	***-**-55FB - LAROCK, ANDREA	LIFEMARK INVESTMENT ADVISORY FEE	55.51	55.51	0.00	55.51	80.0	44.41
	ACCOUNT #: 925396270	BUY 0 Units @0.0000						
06/01/2018	***-**-55FB - LAROCK, ANDREA	LIFEMARK INVESTMENT ADVISORY FEE	34.28	34.28	0.00	34.28	80.0	27.42
	ACCOUNT #: 925394309	BUY 0 Units @0.0000						
06/01/2018	***-**-43FB - GOLLINGER, DAVID	LIFEMARK INVESTMENT ADVISORY FEE	180.93	180.93	0.00	180.93	80.0	144.74
	ACCOUNT #: 925429570	BUY 0 Units @0.0000						
06/01/2018	***-**-90FB - DUARTE, BERTHA	LIFEMARK INVESTMENT ADVISORY FEE	33.70	33.70	0.00	33.70	80.0	26.96
	ACCOUNT #: 925332301	BUY 0 Units @0.0000						
06/01/2018	***-**-20FB - TOWNSEND,	LIFEMARK INVESTMENT ADVISORY FEE	38.73	38.73	0.00	38.73	80.0	30.98
	ACCOUNT #: 925348773	BUY 0 Units @0.0000						
06/01/2018	***-**-20FB - TOWNSEND,	LIFEMARK INVESTMENT ADVISORY FEE	21.58	21.58	0.00	21.58	80.0	17.26
	ACCOUNT #: 925348380	BUY 0 Units @0.0000						
06/01/2018	***-**-11FB - FRIEDLANDER,	LIFEMARK INVESTMENT ADVISORY FEE	19.78	19.78	0.00	19.78	80.0	15.82
	ACCOUNT #: 925348770	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
06/01/2018	***-**-11FB - FRIEDLANDER, ACCOUNT #: 925348711	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	118.92	118.92	0.00	118.92	80.0	95.14
06/01/2018	***-**-99FB - GARCIA, JENNY ACCOUNT #: 925348382	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	132.83	132.83	0.00	132.83	80.0	106.26
06/01/2018	***-**-87FB - GARCIA, ONORIO ACCOUNT #: 925348386	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	45.97	45.97	0.00	45.97	80.0	36.78
06/01/2018	***-**-38FB - WUSTHOF, PAMELA ACCOUNT #: 925353402	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.64	23.64	0.00	23.64	80.0	18.91
06/01/2018	***-**-38FB - WUSTHOF, PAMELA ACCOUNT #: 925353396	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	21.62	21.62	0.00	21.62	80.0	17.30
06/01/2018	***-**-38FB - WUSTHOF, PAMELA ACCOUNT #: 925353393	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	13.11	13.11	0.00	13.11	80.0	10.49
06/01/2018	***-**-48FB - WAY, JANET ACCOUNT #: 925367463	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.50	23.50	0.00	23.50	80.0	18.80
06/01/2018	***-**-98FB - RUFFING, JODIE ACCOUNT #: 925378876	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	14.68	14.68	0.00	14.68	80.0	11.74
06/01/2018	***-**-98FB - RUFFING, JODIE ACCOUNT #: 925379613	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	65.34	65.34	0.00	65.34	80.0	52.27
06/01/2018	***-**-54FB - COOK, DENNIS ACCOUNT #: 925402364	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	8.92	8.92	0.00	8.92	80.0	7.14
06/01/2018	***-**-54FB - COOK, DENNIS ACCOUNT #: 925402359	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	15.18	15.18	0.00	15.18	80.0	12.14
06/01/2018	***-**-97FB - GASTON-PIERCE, ACCOUNT #: 925305856	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	24.96	24.96	0.00	24.96	80.0	19.97
06/01/2018	***-**-61FB - LONGSWORTH, CITA ACCOUNT #: 925319593	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	31.12	31.12	0.00	31.12	80.0	24.90
06/01/2018	***-**-46FB - HAYWOOD, CARLA ACCOUNT #: 925320080	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	42.66	42.66	0.00	42.66	80.0	34.13
06/01/2018	***-**-46FB - HAYWOOD, CARLA ACCOUNT #: 925320095	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	18.87	18.87	0.00	18.87	80.0	15.10
06/01/2018	***-**-46FB - HAYWOOD, CARLA ACCOUNT #: 925320981	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	356.56	356.56	0.00	356.56	80.0	285.25



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
06/01/2018	***-**-85FB - HAYWOOD, JOHN	LIFEMARK INVESTMENT ADVISORY FEE	178.21	178.21	0.00	178.21	80.0	142.57
	ACCOUNT #: 925320991	BUY 0 Units @0.0000						
06/01/2018	***-**-33FB - CLAY, MARIA	LIFEMARK INVESTMENT ADVISORY FEE	82.07	82.07	0.00	82.07	80.0	65.66
	ACCOUNT #: 925323203	BUY 0 Units @0.0000						
06/01/2018	***-**-21FB - VALLE, NORMA	LIFEMARK INVESTMENT ADVISORY FEE	8.38	8.38	0.00	8.38	80.0	6.70
	ACCOUNT #: 925331129	BUY 0 Units @0.0000						
06/01/2018	***-**-21FB - VALLE, NORMA	LIFEMARK INVESTMENT ADVISORY FEE	23.33	23.33	0.00	23.33	80.0	18.66
	ACCOUNT #: 925331134	BUY 0 Units @0.0000						
06/01/2018	***-**-48FB - WONG, WALTER	LIFEMARK INVESTMENT ADVISORY FEE	39.60	39.60	0.00	39.60	80.0	31.68
	ACCOUNT #: 925406639	BUY 0 Units @0.0000						
06/01/2018	***-**-48FB - WONG, WALTER	LIFEMARK INVESTMENT ADVISORY FEE	54.32	54.32	0.00	54.32	80.0	43.46
	ACCOUNT #: 925406630	BUY 0 Units @0.0000						
06/01/2018	***-**-52FB - NGUYEN, VICKIE	LIFEMARK INVESTMENT ADVISORY FEE	6.54	6.54	0.00	6.54	80.0	5.23
	ACCOUNT #: 925344068	BUY 0 Units @0.0000						
06/01/2018	***-**-52FB - NGUYEN, VICKIE	LIFEMARK INVESTMENT ADVISORY FEE	96.98	96.98	0.00	96.98	80.0	77.58
	ACCOUNT #: 925329024	BUY 0 Units @0.0000						
06/01/2018	***-**-61FB - VALLE, EDUARDO	LIFEMARK INVESTMENT ADVISORY FEE	4.77	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 925332341	BUY 0 Units @0.0000						
06/01/2018	***-**-90FB - MAI, JOHNNY	LIFEMARK INVESTMENT ADVISORY FEE	9.24	9.24	0.00	9.24	80.0	7.39
	ACCOUNT #: 925344043	BUY 0 Units @0.0000						
06/01/2018	***-**-90FB - MAI, JOHNNY	LIFEMARK INVESTMENT ADVISORY FEE	37.33	37.33	0.00	37.33	80.0	29.86
	ACCOUNT #: 925336235	BUY 0 Units @0.0000						
06/01/2018	***-**-62FB - METZGER, JEANNE	LIFEMARK INVESTMENT ADVISORY FEE	21.84	21.84	0.00	21.84	80.0	17.47
	ACCOUNT #: 925344624	BUY 0 Units @0.0000						
06/01/2018	***-**-31FB - WATSON,	LIFEMARK INVESTMENT ADVISORY FEE	73.44	73.44	0.00	73.44	80.0	58.75
	ACCOUNT #: 925362048	BUY 0 Units @0.0000						
06/01/2018	***-**-14FB - DE LOS SANTOS,	LIFEMARK INVESTMENT ADVISORY FEE	144.08	144.08	0.00	144.08	80.0	115.26
	ACCOUNT #: 925446200	BUY 0 Units @0.0000						
06/01/2018	***-**-14FB - DE LOS SANTOS,	LIFEMARK INVESTMENT ADVISORY FEE	5.91	5.91	0.00	5.91	80.0	4.73
	ACCOUNT #: 925435799	BUY 0 Units @0.0000						
06/01/2018	***-**-14FB - DE LOS SANTOS,	LIFEMARK INVESTMENT ADVISORY FEE	9.18	9.18	0.00	9.18	80.0	7.34
	ACCOUNT #: 925435795	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: RIA (continued)								
06/01/2018	***-**-14FB - DE LOS SANTOS, ACCOUNT #: 925435789	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	16.44	16.44	0.00	16.44	80.0	13.15
06/01/2018	***-**-57FB - LARKIN, MICHAEL ACCOUNT #: 925427487	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	59.25	59.25	0.00	59.25	80.0	47.40
06/01/2018	***-**-57FB - LARKIN, MICHAEL ACCOUNT #: 925409229	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	56.91	56.91	0.00	56.91	80.0	45.53
06/01/2018	***-**-18FB - LARKIN, KAREN ACCOUNT #: 925427475	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	40.94	40.94	0.00	40.94	80.0	32.75
06/01/2018	***-**-86FB - GALLEGOS, ACCOUNT #: 925401890	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	86.46	86.46	0.00	86.46	80.0	69.17
06/01/2018	***-**-86FB - GALLEGOS, ACCOUNT #: 925401872	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	6.38	6.38	0.00	6.38	80.0	5.10
06/01/2018	***-**-22FB - KARR-CHEBAT, ACCOUNT #: 925373485	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	137.46	137.46	0.00	137.46	80.0	109.97
06/01/2018	***-**-22FB - KARR-CHEBAT, ACCOUNT #: 925402005	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	8.71	8.71	0.00	8.71	80.0	6.97
06/01/2018	***-**-08FB - HORNER, COLBY ACCOUNT #: 925373490	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	135.65	135.65	0.00	135.65	80.0	108.52
06/01/2018	***-**-53FB - CHEBAT, JOE ACCOUNT #: 925401991	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	2.76	2.76	0.00	2.76	80.0	2.21
06/01/2018	***-**-43FB - MINETREE, ACCOUNT #: 925411309	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	119.01	119.01	0.00	119.01	80.0	95.21
06/01/2018	***-**-90FB - RIVERA, LYNDA ACCOUNT #: 925419577	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.53	23.53	0.00	23.53	80.0	18.82
06/01/2018	***-**-90FB - RIVERA, LYNDA ACCOUNT #: 925422680	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	22.38	22.38	0.00	22.38	80.0	17.90
06/01/2018	***-**-97FB - RIVERA, ACCOUNT #: 925419429	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	95.67	95.67	0.00	95.67	80.0	76.54
06/01/2018	***-**-65FB - FRANKIAN, TIAHNA ACCOUNT #: 925422175	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	4.14	4.14	0.00	4.14	80.0	3.31
06/01/2018	***-**-52FB - FRANKIAN, ACCOUNT #: 925422183	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	3.21	3.21	0.00	3.21	80.0	2.57



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
06/01/2018	***-**-17FB - GOLLINGER, ACCOUNT #: 925429578	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	1.96	1.96	0.00	1.96	80.0	1.57
06/01/2018	***-**-17FB - GOLLINGER, ACCOUNT #: 925429573	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	4.35	4.35	0.00	4.35	80.0	3.48
06/01/2018	***-**-68FB - KIEL, JANET ACCOUNT #: 925434257	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	17.49	17.49	0.00	17.49	80.0	13.99
06/01/2018	***-**-68FB - KIEL, JANET ACCOUNT #: 925434255	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	27.71	27.71	0.00	27.71	80.0	22.17
* RIA SUBTOTAL *			<u>\$3,112.06</u>	<u>\$3,112.06</u>	<u>\$0.00</u>	<u>\$3,112.06</u>		<u>\$2,489.63</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$11,579.08</u>	<u>\$3,487.60</u>	<u>\$0.00</u>	<u>\$3,487.60</u>		<u>\$2,790.05</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,790.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$5.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$2,795.05
Year-to-date Earnings	\$16,553.51