



COMMISSION STATEMENT

April 09, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/26/2018	***-**-105P - RICHCREEK, ACCOUNT #: 00075150658	THE GROWTH FUND OF AMERICA-A BUY 4 Units @55.0100	200.00	10.00	0.00	10.00	90.0	9.00
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$10.00	\$0.00	\$10.00		\$9.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/02/2018	***-**-52IN - LORD, GEORGE ACCOUNT #: 1011780284	JACKSON NATIONAL PERSPECTIVE II BUY 0 Units @0.0000	2,000.00	150.00	0.00	150.00	90.0	135.00
* VARIABLE ANNUITIES SUBTOTAL *			\$2,000.00	\$150.00	\$0.00	\$150.00		\$135.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/03/2018	***-**-48IN - BEST, JOHN & ACCOUNT #: 06205487975	PIONEER EQUITY INCOME FUND A BUY 0 Units @0.0000	0.00	101.43	0.00	101.43	90.0	91.29
04/09/2018	***-**-93IN - WARD, WILLIAM ACCOUNT #: 7200013199	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	20.77	0.00	20.77	90.0	18.69
04/09/2018	***-**-9117 - RAY, EILEEN ACCOUNT #: 7200037826	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	4.69	0.00	4.69	90.0	4.22
04/09/2018	***-**-3994 - RAY, CLYDE ACCOUNT #: 7200037829	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	4.76	0.00	4.76	90.0	4.28
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$131.65	\$0.00	\$131.65		\$118.48
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/29/2018	***-**-240P - CAVIN, EMMA ACCOUNT #: DA460485	ALLIANZ VA TRAILS 018817403 BUY 0 Units @0.0000	0.00	0.51	0.00	0.51	90.0	0.46
04/02/2018	***-**-4155 - OUELLETTE, JOYCE ACCOUNT #: T06422061	GENWORTH 37250G277 BUY 0 Units @0.0000	0.00	39.66	0.00	39.66	90.0	35.69
03/29/2018	***-**-7249 - LERNER, INGER ACCOUNT #: 710409829	HARTFORD VA TRAILS 416594851 BUY 0 Units @0.0000	0.00	24.91	0.00	24.91	90.0	22.42
03/29/2018	***-**-67IN - ROSEN, DAVID ACCOUNT #: 710369542	HARTFORD VA TRAILS 416594877 BUY 0 Units @0.0000	0.00	103.89	0.00	103.89	90.0	93.50
03/29/2018	***-**-67IN - ROSEN, DAVID ACCOUNT #: 710437311	HARTFORD VA TRAILS 416594877 BUY 0 Units @0.0000	0.00	40.49	0.00	40.49	90.0	36.44
03/29/2018	***-**-2508 - LOCASIO, STEVEN ACCOUNT #: 712696512	HARTFORD VA TRAILS 416594877 BUY 0 Units @0.0000	0.00	7.62	0.00	7.62	90.0	6.86



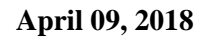
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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
03/29/2018	***-**-2602 - LOCASIO, PETER	HARTFORD VA TRAILS 416594877	0.00	7.61	0.00	7.61	90.0	6.85
	ACCOUNT #: 712696524	BUY 0 Units @0.0000						
04/03/2018	***-**-454I - STRONACH,	ING ADVANTAGE SELECT	0.00	9.18	0.00	9.18	90.0	8.26
	ACCOUNT #: R078802-ZS	BUY 0 Units @0.0000						
03/31/2018	***-**-32RI - MITCHEN, DORIS	JACKSON ELITE ACCESS OPTION A	0.00	19.12	0.00	19.12	90.0	17.21
	ACCOUNT #: 1015309900	BUY 0 Units @0.0000						
03/31/2018	***-**-94NQ - REGULSKI, SUSAN	JACKSON ELITE ACCESS OPTION A	0.00	6.13	0.00	6.13	90.0	5.52
	ACCOUNT #: 1015441751	BUY 0 Units @0.0000						
03/31/2018	***-**-36IN - CLAWSON,	JACKSON ELITE ACCESS OPTION A	0.00	5.13	0.00	5.13	90.0	4.62
	ACCOUNT #: 1016486066	BUY 0 Units @0.0000						
03/31/2018	***-**-559I - HUBBARD, JAMES	JACKSON NATIONAL PERSPECTIVE II	0.00	30.64	0.00	30.64	90.0	27.58
	ACCOUNT #: 1013454350	BUY 0 Units @0.0000						
03/31/2018	***-**-21IN - KELLER, TERESA	JOHN HANCOCK 41014C168	0.00	6.82	0.00	6.82	90.0	6.14
	ACCOUNT #: 2110977	BUY 0 Units @0.0000						
03/31/2018	***-**-404I - MCCONNELL, JOHN	LINCOLN FIN VA TRAILS	0.00	19.13	0.00	19.13	90.0	17.22
	ACCOUNT #: 959165562	BUY 0 Units @0.0000						
03/31/2018	***-**-356I - WENNER, WARREN	LINCOLN FIN VA TRAILS	0.00	28.86	0.00	28.86	90.0	25.97
	ACCOUNT #: 959165593	BUY 0 Units @0.0000						
03/30/2018	***-**-3199 - MAY, DAVID	NATIONWIDE CUSIP 63866W167-NFS	0.00	189.08	0.00	189.08	90.0	170.17
	ACCOUNT #: 016226206	BUY 0 Units @0.0000						
03/31/2018	***-**-3199 - MAY, DAVID	PERSPECTIVE II DTCC	0.00	39.34	0.00	39.34	90.0	35.41
	ACCOUNT #: 1019236311	BUY 0 Units @0.0000						
03/31/2018	***-**-6444 - DAVILA, MARITZA	PRUDENTIAL AS XTRA CREDIT	0.00	127.19	0.00	127.19	90.0	114.47
	ACCOUNT #: 000151168	BUY 0 Units @0.0000						
03/31/2018	***-**-2307 - BARTEL, BRADLEY	PRUDENTIAL VA TRAILS	0.00	86.25	0.00	86.25	90.0	77.63
	ACCOUNT #: 000087520	BUY 0 Units @0.0000						
03/31/2018	***-**-6873 - WALKER, JOHN	PRUDENTIAL VA TRAILS	0.00	31.94	0.00	31.94	90.0	28.75
	ACCOUNT #: 000110302	BUY 0 Units @0.0000						
04/09/2018	***-**-9657 - LIBERTY	QUALIFIED PLAN SUMMARY	0.00	157.96	0.00	157.96	90.0	142.16
	ACCOUNT #: 015948883	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$981.46	\$0.00	\$981.46		\$883.33
*** BROKER TRANSACTIONS TOTAL ***			\$2,200.00	\$1,273.11	\$0.00	\$1,273.11		\$1,145.81



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				INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT			AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS									
						Broker Transactions			\$1,145.81
						Split Transactions			\$0.00
						Override Transactions			\$0.00
						Adjustments			\$0.00
						Payroll Draw			\$0.00
						Base Salary			\$0.00
						Prior Period Balance			\$0.00
						FINRA Assessment			\$0.00
						SIPC Assessment			\$0.00
						Net Commission Earned			\$1,145.81
						Year-to-date Earnings			\$16,808.31