



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2017

08/14/2017
Page No. 1

BRENT LEE
13423 Kings View Lane

BROKER #: L137
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/31/2017	KUHN, KENNETH	JNL PERSPECTIVE L	249,472.07	207.89	0.00	207.89	90.0	187.10
07/31/2017	KUHN, KRISTINE	JNL PERSPECTIVE L	134,515.04	112.10	0.00	112.10	90.0	100.89
07/31/2017	FREIH, DIANE	JNL PERSPECTIVE L	107,347.85	89.46	0.00	89.46	90.0	80.51
07/31/2017	KEMPER, SHERRY	JNL PERSPECTIVE L	214,573.34	178.81	0.00	178.81	90.0	160.93
07/31/2017	RETZLAFF, SANDRA	JNL PERSPECTIVE L	37,112.93	30.93	0.00	30.93	90.0	27.84
07/31/2017	GRANT, GREGORY	JNL PERSPECTIVE L	92,973.30	77.48	0.00	77.48	90.0	69.73
07/31/2017	MONETTE, RONALD	JNL PERSPECTIVE L	59,222.89	49.35	0.00	49.35	90.0	44.42
07/31/2017	LIESENER, MARTY	JNL PERSPECTIVE L	42,735.89	35.61	0.00	35.61	90.0	32.05
07/31/2017	BARTINGALE, JAMES	JNL PERSPECTIVE L	61,286.43	51.07	0.00	51.07	90.0	45.96
07/31/2017	MONETTE, RONALD	JNL PERSPECTIVE L	201,384.29	167.82	0.00	167.82	90.0	151.04
* VARIABLE ANNUITIES SUBTOTAL *			\$1,200,624.03	\$1,000.52	\$0.00	\$1,000.52		\$900.47
*** BROKER TRANSACTIONS TOTAL ***			\$1,200,624.03	\$1,000.52	\$0.00	\$1,000.52		\$900.47

SPLIT TRANSACTIONS

BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332

08/01/2017	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
08/01/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	180.00	3.15	0.00	3.15	90.0	2.84
08/02/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	160.00	2.80	0.00	2.80	90.0	2.52
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$565.00	\$9.89	\$0.00	\$9.89		\$8.91

BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333

07/18/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.18	0.00	0.18	90.0	0.16
07/28/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
07/19/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.08	0.00	0.08	90.0	0.07
07/18/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.02	0.00	0.02	90.0	0.02
07/18/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.11	0.00	0.11	90.0	0.10

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
07/18/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.53	0.00	0.53	90.0	0.48
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$0.00	\$0.97	\$0.00	\$0.97		\$0.88
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
08/01/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	2.37	0.00	2.37	90.0	2.13
08/01/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	4.07	0.00	4.07	90.0	3.66
08/01/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	2.69	0.00	2.69	90.0	2.42
08/01/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	2.09	0.00	2.09	90.0	1.88
08/03/2017	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	11.84	0.00	11.84	90.0	10.66
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$1,020.00	\$23.06	\$0.00	\$23.06		\$20.75
*** SPLIT TRANSACTIONS TOTAL ***			\$1,585.00	\$33.92	\$0.00	\$33.92		\$30.54
<u>ADJUSTMENTS</u>								
08/15/2017		*CONCIERGE SVC: 195512 RIVERA	0.00					-5.50
08/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
08/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-0.17
08/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.14
08/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-6.00
08/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.90
08/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-587.71

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$900.47
Split Transactions	\$30.54
Override Transactions	\$0.00
Adjustments	\$-587.71
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$343.30
Year-to-date Gross	\$42,257.79
Year-to-date Earnings	\$37,973.62