

COMMISSION STATEMENT

December 15, 2017

R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/KIES/CI

BROKER #: R141

BRANCH: FORTITUDE INVESTMENT

, MI

CLIENT	INVESTMENT	AMOUNT	COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	DIRECT TRANS	ACTIONS					
regory: 529 plans							
RASMUSSEN, MELANIE	ASCENSUS NY 529 PLAN	0.00	6.08	0.00	6.08	100.0	0.00
^11/15/2017 RASMUSSEN, MELANIE	ASCENSUS NY 529 PLAN	0.00	2.03	0.00	2.03	100.0	0.00
	* 529 PLANS SUBTOTAL *	\$0.00	\$8.11	\$0.00	\$8.11		\$0.00
regory: Mutual fund 12	2B1 TRAILS						
RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.10	0.00	0.10	100.0	0.00
^11/10/2017 RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	8.70	0.00	8.70	100.0	0.00
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$8.80	\$0.00	\$8.80		\$0.00
	*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$16.91	\$0.00	\$16.91		\$0.00
				<u> </u>	BROKER COMM	ISSION	TOTALS
				Broker Transactions		\$0.00	
				Split Transactions		\$0.00	
				Overrid	e Transactions	3	\$0.00
					Adjustments	5	\$0.00
					Payroll Draw	I	\$0.00
					_		\$0.00
							\$0.00
							\$0.00
				S	IPC Assessment	<u> </u>	\$0.00
]	Balance Forwar	·d	\$0.00
	111111 11111 111 111 111			Year	r-to-date Gros	s	\$0.00
				Year-to	o-date Earning	s	\$0.00
	RASMUSSEN, MELANIE RASMUSSEN, MELANIE EGORY: MUTUAL FUND 12 RASMUSSEN, MELANIE	RASMUSSEN, MELANIE ASCENSUS NY 529 PLAN RASMUSSEN, MELANIE ASCENSUS NY 529 PLAN * 529 PLANS SUBTOTAL * * EGGORY: MUTUAL FUND 12B1 TRAILS RASMUSSEN, MELANIE MAINSTAY CUSHING MLP PREMIER FUND C RASMUSSEN, MELANIE MILLER CONVERTIBLE BOND FUND CLASS * MUTUAL FUND 12B1 TRAILS SUBTOTAL * **** DIRECT TRANSACTIONS TOTAL *** Please Retain for	RASMUSSEN, MELANIE ASCENSUS NY 529 PLAN 0.00 RASMUSSEN, MELANIE ASCENSUS NY 529 PLAN 0.00 *********************************	RASMUSSEN, MELANIE ASCENSUS NY 529 PLAN 0.00 6.08 RASMUSSEN, MELANIE ASCENSUS NY 529 PLAN 0.00 2.03 * 529 PLANS SUBTOTAL * \$0.00 \$8.11 **EGORY: MUTUAL FUND 12B1 TRAILS RASMUSSEN, MELANIE MAINSTAY CUSHING MLP PREMIER FUND C 0.00 0.10 RASMUSSEN, MELANIE MILLER CONVERTIBLE BOND FUND CLASS 0.00 8.70 * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$8.80 **** DIRECT TRANSACTIONS TOTAL *** \$0.00 \$16.91	REGORY: 529 PLANS RASMUSSEN, MELANIE ASCENSUS NY 529 PLAN 0.00 6.08 0.00 RASMUSSEN, MELANIE ASCENSUS NY 529 PLAN 0.00 2.03 0.00 * 529 PLANS SUBTOTAL * \$0.00 \$8.11 \$0.00 REGORY: MUTUAL FUND 12B1 TRAILS RASMUSSEN, MELANIE MAINSTAY CUSHING MLP PREMIER FUND C 0.00 0.10 0.00 RASMUSSEN, MELANIE MILLER CONVERTIBLE BOND FUND CLASS 0.00 8.70 0.00 * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$8.80 \$0.00 **** DIRECT TRANSACTIONS TOTAL *** PROPER SPIN OVERTIGE **** DIRECT TRANSACTIONS TOTAL *** **** D	RASMUSSEN, MELANIE ASCENSUS NY 529 PLAN 0.00 6.08 0.00 6.08 RASMUSSEN, MELANIE ASCENSUS NY 529 PLAN 0.00 2.03 0.00 2.03 * 529 PLANS SUBTOTAL * \$0.00 \$8.11 \$0.00 \$8.11 EGGRY: MUTUAL FUND 12B1 TRAILS RASMUSSEN, MELANIE MAINSTAY CUSHING MLP PREMIER FUND C 0.00 0.10 0.00 8.70 * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$8.70 0.00 \$8.70 * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$8.80 \$0.00 \$8.70 * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$16.91 \$0.00 \$16.91 *** DIRECT TRANSACTIONS TOTAL *** *** DIR	RASMUSSEN, MELANIE ASCENSUS NY 529 PLAN 0.00 6.08 0.00 6.08 100.0 RASMUSSEN, MELANIE ASCENSUS NY 529 PLAN 0.00 \$8.11 \$0.00 \$8.11 REGORY: MUTUAL FUND 12B1 TRAILS RASMUSSEN, MELANIE MAINSTAY CUSHING MLP PREMIER FUND C 0.00 0.10 0.00 0.10 100.0 * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$8.70 0.00 8.70 100.0 * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$8.80 \$0.00 \$8.80 * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$16.91 * BROKER COMMISSION Broker Transactions Split Transactions Split Transactions Override Transactions Override Transactions Override Transactions Adjustments Payroll Draw Base Salary Prior Period Balance FINNA Assessment SIPC Assessment

^{** -} Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed