



COMMISSION STATEMENT

April 16, 2018

TIMOTHY STATES
1629 K ST NW STE 300
SUITE 300
WASHINGTON, DC 20001

BROKER #: A70
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/10/2018	***-**-44TR - LYNCH TTEE, ACCOUNT #: LSR010606	IVK GROWTH AND INCOME FUND - CLASS SELL 75 Units @26.5200	1,989.00	0.00	18.00	-18.00	100.0	-18.00
04/10/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	THE GROWTH FUND OF AMERICA-A BUY 184 Units @54.4000	10,000.03	500.00	18.00	482.00	75.0	361.50
* MUTUAL FUNDS SUBTOTAL *			\$11,989.03	\$500.00	\$36.00	\$464.00		\$343.50
<u>PRODUCT CATEGORY: STOCKS</u>								
04/10/2018	***-**-35IN - KERR, GAIL ACCOUNT #: LSR010562	CONOCOPHILLIPS UNSOLICITED ORDER BUY 200 Units @61.5360	12,307.20	200.00	19.00	181.00	75.0	135.75
04/10/2018	***-**-407I - LEWIS, FBO ACCOUNT #: LSR010564	CONOCOPHILLIPS UNSOLICITED ORDER BUY 150 Units @61.9250	9,288.75	150.00	18.00	132.00	75.0	99.00
04/10/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	CONOCOPHILLIPS UNSOLICITED ORDER BUY 200 Units @61.9560	12,391.20	200.00	19.00	181.00	75.0	135.75
04/10/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	CONOCOPHILLIPS UNSOLICITED ORDER BUY 150 Units @62.8850	9,432.75	150.00	18.00	132.00	75.0	99.00
04/10/2018	***-**-587I - KEARNEY, FBO MS ACCOUNT #: LSR010590	CONOCOPHILLIPS UNSOLICITED ORDER BUY 200 Units @61.4900	12,298.00	200.00	19.00	181.00	75.0	135.75
04/10/2018	***-**-35IN - ANTOINE, MS ACCOUNT #: LSR010597	CONOCOPHILLIPS UNSOLICITED ORDER BUY 150 Units @61.5050	9,225.75	150.00	18.00	132.00	75.0	99.00
04/10/2018	***-**-91IN - RICHARDS, RMR ACCOUNT #: LSR010600	CONOCOPHILLIPS UNSOLICITED ORDER BUY 150 Units @62.0000	9,300.00	150.00	18.00	132.00	75.0	99.00
04/10/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	CONOCOPHILLIPS UNSOLICITED ORDER BUY 250 Units @61.8750	15,468.75	225.00	20.00	205.00	75.0	153.75
04/10/2018	***-**-549I - WHITE, WILLIAM ACCOUNT #: LSR010690	CONOCOPHILLIPS UNSOLICITED ORDER BUY 150 Units @61.4600	9,219.00	150.00	18.00	132.00	75.0	99.00
04/10/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	CONOCOPHILLIPS UNSOLICITED ORDER BUY 150 Units @61.6050	9,240.75	150.00	18.00	132.00	75.0	99.00
04/06/2018	***-**-233J - SCALES, DARYL ACCOUNT #: LSR011378	NEKTAR THERAPEUTICS BUY 100 Units @92.0870	9,208.70	135.00	17.00	118.00	75.0	88.50
04/10/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	U S CONCRETE INC COM NEW BUY 200 Units @59.5720	11,914.40	150.00	19.00	131.00	75.0	98.25
04/10/2018	***-**-58IN - LUTZ, SAMUEL ACCOUNT #: LSR010594	U S CONCRETE INC COM NEW BUY 200 Units @59.3750	11,875.00	150.00	19.00	131.00	75.0	98.25



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
04/10/2018	***-**-74IN - DAVIS, MISCHA	U S CONCRETE INC COM NEW	11,849.40	150.00	19.00	131.00	75.0	98.25
	ACCOUNT #: LSR010627	BUY 200 Units @59.2470						
* STOCKS SUBTOTAL *			\$153,019.65	\$2,310.00	\$259.00	\$2,051.00		\$1,538.25
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/01/2018	***-**-067X - HIGHSMITH JR,	AIM CASH RESERVE SHARES	0.00	0.51	0.00	0.51	75.0	0.38
	ACCOUNT #: 00777137973	BUY 0 Units @0.0000						
04/01/2018	***-**-819I - BOYKIN,	AIM CASH RESERVE SHARES	0.00	5.41	0.00	5.41	75.0	4.06
	ACCOUNT #: 00777138169	BUY 0 Units @0.0000						
04/01/2018	***-**-819I - BOYKIN,	AIM CHARTER FUND - CLASS A	0.00	18.24	0.00	18.24	75.0	13.68
	ACCOUNT #: 00777138169	BUY 0 Units @0.0000						
04/01/2018	***-**-574I - DAVIS, MISCHA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	7.88	0.00	7.88	75.0	5.91
	ACCOUNT #: 00777135769	BUY 0 Units @0.0000						
04/01/2018	***-**-819I - BOYKIN,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	22.25	0.00	22.25	75.0	16.69
	ACCOUNT #: 00777138169	BUY 0 Units @0.0000						
04/01/2018	***-**-35IN - KERR, GAIL	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	18.18	0.00	18.18	75.0	13.64
	ACCOUNT #: 00777141178	BUY 0 Units @0.0000						
04/16/2018	***-**-44TR - LYNCH TTEE,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	5.94	0.00	5.94	75.0	4.46
	ACCOUNT #: LSR010606	BUY 1 Units @0.0000						
04/01/2018	***-**-574I - DAVIS, MISCHA	AIM GLOBAL GROWTH FUND - CLASS A	0.00	7.19	0.00	7.19	75.0	5.39
	ACCOUNT #: 00777135769	BUY 0 Units @0.0000						
04/01/2018	***-**-819I - BOYKIN,	AIM GLOBAL GROWTH FUND - CLASS A	0.00	9.60	0.00	9.60	75.0	7.20
	ACCOUNT #: 00777138169	BUY 0 Units @0.0000						
04/01/2018	***-**-35IN - KERR, GAIL	AIM GLOBAL GROWTH FUND - CLASS A	0.00	15.86	0.00	15.86	75.0	11.90
	ACCOUNT #: 00777141178	BUY 0 Units @0.0000						
04/01/2018	***-**-35IN - KERR, GAIL	AIM MID-CAP EQUITY FUND CLASS A	0.00	19.95	0.00	19.95	75.0	14.96
	ACCOUNT #: 00777141178	BUY 0 Units @0.0000						
04/16/2018	***-**-5420 - BROWN, MARY	AIM TAX-FREE INTERMEDIATE FUND -CL	0.00	0.74	0.00	0.74	75.0	0.56
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
04/16/2018	***-**-5420 - BROWN, MARY	CLEARBRIDGE APPRECIATION CLASS A	0.00	0.62	0.00	0.62	75.0	0.47
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
04/16/2018	***-**-437I - SIGUE, JOCELYN	DAVIS APPRECIATION & INCOME-CLASS A	0.00	3.23	0.00	3.23	75.0	2.42
	ACCOUNT #: LSR011597	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/16/2018	***-**-549I - WHITE, WILLIAM	DAVIS NEW YORK VENTURE CLASS A	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010690	BUY 1 Units @0.0000						
04/16/2018	***-**-437I - SIGUE, JOCELYN	DAVIS NEW YORK VENTURE CLASS A	0.00	14.12	0.00	14.12	75.0	10.59
	ACCOUNT #: LSR011597	BUY 1 Units @0.0000						
04/16/2018	***-**-542O - BROWN, MARY	EATON VANCE VIRGINIA MUNI INCOME CL	0.00	0.47	0.00	0.47	75.0	0.35
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
04/16/2018	***-**-542O - BROWN, MARY	EQUITY INCOME	0.00	1.39	0.00	1.39	75.0	1.04
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
04/01/2018	***-**-35IN - KERR, GAIL	INVESCO SMALL CAP EQUITY FUND-CLASS	0.00	10.79	0.00	10.79	75.0	8.09
	ACCOUNT #: 00777141178	BUY 0 Units @0.0000						
04/01/2018	***-**-35IN - KERR, GAIL	INVESCO VAN KAMPEN COMSTOCK CLASS A	0.00	52.22	0.00	52.22	75.0	39.17
	ACCOUNT #: 00777141178	BUY 0 Units @0.0000						
04/16/2018	***-**-542O - BROWN, MARY	INVESCO VAN KAMPEN COMSTOCK CLASS A	0.00	2.25	0.00	2.25	75.0	1.69
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
04/01/2018	***-**-574I - DAVIS, MISCHA	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	15.72	0.00	15.72	75.0	11.79
	ACCOUNT #: 00777135769	BUY 0 Units @0.0000						
04/01/2018	***-**-067X - HIGHSMITH JR,	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	10.96	0.00	10.96	75.0	8.22
	ACCOUNT #: 00777137973	BUY 0 Units @0.0000						
04/01/2018	***-**-819I - BOYKIN,	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	20.26	0.00	20.26	75.0	15.20
	ACCOUNT #: 00777138169	BUY 0 Units @0.0000						
04/16/2018	***-**-44TR - LYNCH TTEE,	IVK GROWTH AND INCOME FUND - CLASS	0.00	6.87	0.00	6.87	75.0	5.15
	ACCOUNT #: LSR010606	BUY 1 Units @0.0000						
04/16/2018	***-**-820I - HIGHSMITH,	IVK GROWTH AND INCOME FUND - CLASS	0.00	1.85	0.00	1.85	75.0	1.39
	ACCOUNT #: LSR010653	BUY 1 Units @0.0000						
04/16/2018	***-**-542O - BROWN, MARY	THORNBURG LTD TERM US GOVERNMENT CL	0.00	1.05	0.00	1.05	75.0	0.79
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
04/16/2018	***-**-542O - BROWN, MARY	WELLS FARGO ULTRA SHRT TRM MUNI CL	0.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
04/16/2018	***-**-542O - BROWN, MARY	WESTERN ASSET CORE BOND FUND CL A	0.00	0.90	0.00	0.90	75.0	0.68
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$274.60	\$0.00	\$274.60		\$205.98
*** BROKER TRANSACTIONS TOTAL ***			\$165,008.68	\$3,084.60	\$295.00	\$2,789.60		\$2,087.73



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				GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
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