

**COMMISSION STATEMENT****April 30, 2017**KEITH LAYMAN
4321 FlagstaffBROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
04/18/2017	PEPPLE, GLEN	CLS INVESTMENTS	143,280.96	343.35	0.00	343.35	90.0	309.02
04/18/2017	BYRUM, GERALD	CLS INVESTMENTS	89,421.89	215.61	0.00	215.61	90.0	194.05
04/18/2017	BYRUM, GERALD	CLS INVESTMENTS	88,844.37	214.22	0.00	214.22	90.0	192.80
04/18/2017	SIEBER, AARON	CLS INVESTMENTS	84,317.12	202.39	0.00	202.39	90.0	182.15
04/18/2017	SHEARIN, DAVID	CLS INVESTMENTS	80,963.35	194.14	0.00	194.14	90.0	174.73
04/18/2017	LESHER, LINDA	CLS INVESTMENTS	61,279.91	146.12	0.00	146.12	90.0	131.51
04/18/2017	LESHER, LINDA	CLS INVESTMENTS	60,776.69	144.91	0.00	144.91	90.0	130.42
04/18/2017	PEPPLE, GLEN	CLS INVESTMENTS	49,999.99	138.51	0.00	138.51	90.0	124.66
04/18/2017	SIEBER, AARON	CLS INVESTMENTS	49,999.99	132.48	0.00	132.48	90.0	119.23
04/18/2017	SHEARIN, DAVID	CLS INVESTMENTS	49,999.99	132.36	0.00	132.36	90.0	119.12
04/18/2017	GALENTINE, ASHLEY	CLS INVESTMENTS	49,999.99	129.72	0.00	129.72	90.0	116.75
04/18/2017	PEE, MICHAEL	CLS INVESTMENTS	49,999.99	127.85	0.00	127.85	90.0	115.07
04/18/2017	HAFFNER, DOROTHY	CLS INVESTMENTS	49,999.99	126.89	0.00	126.89	90.0	114.20
04/18/2017	GOULD, NORMAN	CLS INVESTMENTS	49,999.99	126.14	0.00	126.14	90.0	113.53
04/18/2017	AMBRIOLE, WESLEY	CLS INVESTMENTS	49,999.99	125.84	0.00	125.84	90.0	113.26
04/18/2017	PLATT, GINA	CLS INVESTMENTS	49,999.99	125.22	0.00	125.22	90.0	112.70
04/18/2017	LESHER, DAVID	CLS INVESTMENTS	49,212.37	116.48	0.00	116.48	90.0	104.83
04/18/2017	LESHER, DAVID	CLS INVESTMENTS	48,980.33	115.93	0.00	115.93	90.0	104.34
04/18/2017	HOLLIS, TONYUA	CLS INVESTMENTS	45,986.55	114.65	0.00	114.65	90.0	103.19
04/18/2017	SHERBURNE, SUSAN	CLS INVESTMENTS	45,085.33	112.40	0.00	112.40	90.0	101.16
04/18/2017	CONTI, JAMES	CLS INVESTMENTS	43,025.34	107.27	0.00	107.27	90.0	96.54
04/18/2017	PARROT, CHARLES	CLS INVESTMENTS	37,217.12	92.79	0.00	92.79	90.0	83.51
04/18/2017	REESE, BARRY	CLS INVESTMENTS	33,688.62	83.99	0.00	83.99	90.0	75.59
04/18/2017	ISLEY, CURTIS	CLS INVESTMENTS	33,231.41	82.85	0.00	82.85	90.0	74.57
04/18/2017	GALENTINE, ASHLEY	CLS INVESTMENTS	34,241.63	80.31	0.00	80.31	90.0	72.28
04/18/2017	HOLLIS, JAMES	CLS INVESTMENTS	32,925.34	76.77	0.00	76.77	90.0	69.09

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/18/2017	HOLLIS, JAMES	CLS INVESTMENTS	32,808.63	76.49	0.00	76.49	90.0	68.84
04/18/2017	BYRUM, GERALD	CLS INVESTMENTS	25,080.98	69.86	0.00	69.86	90.0	62.87
04/18/2017	BYRUM, GERALD	CLS INVESTMENTS	24,919.01	69.40	0.00	69.40	90.0	62.46
04/18/2017	LESHER, LINDA	CLS INVESTMENTS	25,103.06	69.25	0.00	69.25	90.0	62.33
04/18/2017	LESHER, LINDA	CLS INVESTMENTS	24,896.93	68.68	0.00	68.68	90.0	61.81
04/18/2017	LESHER, DAVID	CLS INVESTMENTS	25,059.07	68.68	0.00	68.68	90.0	61.81
04/18/2017	LESHER, DAVID	CLS INVESTMENTS	24,940.92	68.36	0.00	68.36	90.0	61.52
04/18/2017	DAVID, SCOTT	CLS INVESTMENTS	25,276.14	67.85	0.00	67.85	90.0	61.07
04/18/2017	HOLLIS, JAMES	CLS INVESTMENTS	25,044.39	67.76	0.00	67.76	90.0	60.98
04/18/2017	HOLLIS, JAMES	CLS INVESTMENTS	24,955.60	67.52	0.00	67.52	90.0	60.77
04/18/2017	DAVID, SCOTT	CLS INVESTMENTS	24,723.85	66.36	0.00	66.36	90.0	59.72
04/18/2017	RUSK, RANDALL	CLS INVESTMENTS	25,436.17	63.42	0.00	63.42	90.0	57.08
04/18/2017	DAVID, SCOTT	CLS INVESTMENTS	26,407.09	61.01	0.00	61.01	90.0	54.91
04/18/2017	DAVID, SCOTT	CLS INVESTMENTS	25,830.08	59.68	0.00	59.68	90.0	53.71
04/18/2017	COSTANZA, JOSEPH	CLS INVESTMENTS	20,035.12	49.95	0.00	49.95	90.0	44.96
04/18/2017	PLATT, GINA	CLS INVESTMENTS	20,013.53	49.89	0.00	49.89	90.0	44.90
04/18/2017	PEE, MICHAEL	CLS INVESTMENTS	17,255.40	39.83	0.00	39.83	90.0	35.85
04/18/2017	AMBRIOLE, WESLEY	CLS INVESTMENTS	12,541.31	31.27	0.00	31.27	90.0	28.14
04/18/2017	PLATT, GINA	CLS INVESTMENTS	7,970.43	19.87	0.00	19.87	90.0	17.88
04/18/2017	GOULD, NORMAN	CLS INVESTMENTS	6,723.09	15.28	0.00	15.28	90.0	13.75
04/18/2017	HAFFNER, DOROTHY	CLS INVESTMENTS	6,774.53	14.65	0.00	14.65	90.0	13.19
04/18/2017	AMBRIOLE, WESLEY	CLS INVESTMENTS	3,889.73	9.70	0.00	9.70	90.0	8.73
04/18/2017	BUNN, LINDA	CLS INVESTMENTS	2,993.04	7.46	0.00	7.46	90.0	6.71
04/18/2017	AMBRIOLE, WESLEY	CLS INVESTMENTS	3,381.30	7.25	0.00	7.25	90.0	6.53
04/18/2017	PLATT, GINA	CLS INVESTMENTS	1,548.35	3.30	0.00	3.30	90.0	2.97
04/18/2017	FORTE, ANDREW	CLS INVESTMENTS	581.71	1.45	0.00	1.45	90.0	1.31
04/13/2017	BUNN, LINDA	FINANICAL PLANNING	0.00	750.00	0.00	750.00	90.0	675.00
04/19/2017	WILBANKS, GREGORY	FINANICAL PLANNING	0.00	300.00	0.00	300.00	90.0	270.00
04/19/2017	PEE, MICHAEL	QUARTERLY FEE	0.00	189.83	0.00	189.83	90.0	170.85
04/19/2017	ARCHBOLD, DANNY	SAWTOOTH	0.00	1,012.60	0.00	1,012.60	90.0	911.34
04/19/2017	BAILEY, BRUCE	SAWTOOTH	0.00	753.44	0.00	753.44	90.0	678.10
04/19/2017	BERCOT, THERESA	SAWTOOTH	0.00	408.37	0.00	408.37	90.0	367.53
04/19/2017	BERGER, LARRY	SAWTOOTH	0.00	487.99	0.00	487.99	90.0	439.19

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/19/2017	BOYD, LARRY	SAWTOOTH	0.00	169.71	0.00	169.71	90.0	152.74
04/19/2017	BOYD, BONNE	SAWTOOTH	0.00	121.14	0.00	121.14	90.0	109.03
04/19/2017	BOYD, BONNE	SAWTOOTH	0.00	113.42	0.00	113.42	90.0	102.08
04/19/2017	BOYD, LARRY	SAWTOOTH	0.00	119.37	0.00	119.37	90.0	107.43
04/19/2017	BRUNTON, WILLIAM	SAWTOOTH	0.00	354.93	0.00	354.93	90.0	319.44
04/19/2017	BUSH, RANDALL	SAWTOOTH	0.00	364.60	0.00	364.60	90.0	328.14
04/19/2017	BUSH, SANDRA	SAWTOOTH	0.00	450.86	0.00	450.86	90.0	405.77
04/19/2017	BYRUM JR, GERALD	SAWTOOTH	0.00	174.89	0.00	174.89	90.0	157.40
04/19/2017	CALKINS, BARBARA	SAWTOOTH	0.00	97.03	0.00	97.03	90.0	87.33
04/19/2017	CLARK, PATRICIA	SAWTOOTH	0.00	143.64	0.00	143.64	90.0	129.28
04/19/2017	CLARK, PATRICIA	SAWTOOTH	0.00	165.65	0.00	165.65	90.0	149.09
04/19/2017	COLCORD, ALNETTA	SAWTOOTH	0.00	1,011.33	0.00	1,011.33	90.0	910.20
04/19/2017	DAILEY, RITA	SAWTOOTH	0.00	530.95	0.00	530.95	90.0	477.86
04/19/2017	DELAGARZA, MARK	SAWTOOTH	0.00	246.72	0.00	246.72	90.0	222.05
04/19/2017	DERBYSHIRE, KAREN	SAWTOOTH	0.00	549.19	0.00	549.19	90.0	494.27
04/19/2017	DERBYSHIRE, JAMES	SAWTOOTH	0.00	256.25	0.00	256.25	90.0	230.63
04/19/2017	DIETSCH, RHONDA	SAWTOOTH	0.00	161.32	0.00	161.32	90.0	145.19
04/19/2017	DIETSCH, DANIEL	SAWTOOTH	0.00	177.64	0.00	177.64	90.0	159.88
04/19/2017	DILLIE, MAXIE	SAWTOOTH	0.00	87.11	0.00	87.11	90.0	78.40
04/19/2017	DODGE, CAROLYN	SAWTOOTH	0.00	576.57	0.00	576.57	90.0	518.91
04/19/2017	DURKES, DEBRA	SAWTOOTH	0.00	97.47	0.00	97.47	90.0	87.72
04/19/2017	FLORES, PATRICIA	SAWTOOTH	0.00	437.34	0.00	437.34	90.0	393.61
04/19/2017	GEVERINK, ANN	SAWTOOTH	0.00	137.76	0.00	137.76	90.0	123.98
04/19/2017	GREATHEAD, PHILIP	SAWTOOTH	0.00	222.09	0.00	222.09	90.0	199.88
04/19/2017	GRIDER, SHARON	SAWTOOTH	0.00	85.45	0.00	85.45	90.0	76.91
04/19/2017	GRIDER, SHARON	SAWTOOTH	0.00	177.02	0.00	177.02	90.0	159.32
04/19/2017	GUNSOLLEY, MARY	SAWTOOTH	0.00	500.20	0.00	500.20	90.0	450.18
04/19/2017	HARMEYER, SHARYN	SAWTOOTH	0.00	721.35	0.00	721.35	90.0	649.22
04/19/2017	HINCKS, ELIZABETH A LIF	SAWTOOTH	0.00	371.71	0.00	371.71	90.0	334.54
04/19/2017	HINCKS, ELIZABETH A LIF	SAWTOOTH	0.00	199.98	0.00	199.98	90.0	179.98
04/19/2017	HODAPP, CYNTHIA	SAWTOOTH	0.00	234.47	0.00	234.47	90.0	211.02
04/19/2017	IGNEY, SHELLEY	SAWTOOTH	0.00	205.70	0.00	205.70	90.0	185.13
04/19/2017	IGNEY, SHELLEY	SAWTOOTH	0.00	108.87	0.00	108.87	90.0	97.98

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/19/2017	ISLEY, MICHAEL	SAWTOOTH	0.00	725.02	0.00	725.02	90.0	652.52
04/19/2017	JORDAN, NEAL	SAWTOOTH	0.00	153.23	0.00	153.23	90.0	137.91
04/19/2017	JORDAN, PAM	SAWTOOTH	0.00	218.22	0.00	218.22	90.0	196.40
04/19/2017	KANOST, RUTH	SAWTOOTH	0.00	188.51	0.00	188.51	90.0	169.66
04/19/2017	KEMP, DAVID	SAWTOOTH	0.00	189.41	0.00	189.41	90.0	170.47
04/19/2017	KLITZMAN, RANDALL	SAWTOOTH	0.00	207.54	0.00	207.54	90.0	186.79
04/19/2017	KLITZMAN, MARY	SAWTOOTH	0.00	220.66	0.00	220.66	90.0	198.59
04/19/2017	LIPPERT, KENNETH	SAWTOOTH	0.00	468.26	0.00	468.26	90.0	421.43
04/19/2017	LOVETT, DAVID	SAWTOOTH	0.00	182.47	0.00	182.47	90.0	164.22
04/19/2017	LOWDEN, JAMES	SAWTOOTH	0.00	712.03	0.00	712.03	90.0	640.83
04/19/2017	LOWDEN TRUST, KATHERINE	SAWTOOTH	0.00	433.63	0.00	433.63	90.0	390.27
04/19/2017	MAIERS, NANCY	SAWTOOTH	0.00	169.26	0.00	169.26	90.0	152.33
04/19/2017	MAIERS, GREGORY	SAWTOOTH	0.00	152.08	0.00	152.08	90.0	136.87
04/19/2017	MARTIN, NINA	SAWTOOTH	0.00	248.99	0.00	248.99	90.0	224.09
04/19/2017	MAYER, PEGGY	SAWTOOTH	0.00	200.19	0.00	200.19	90.0	180.17
04/19/2017	MILLER, REBECCA	SAWTOOTH	0.00	129.42	0.00	129.42	90.0	116.48
04/19/2017	MILLER, THOMAS	SAWTOOTH	0.00	201.71	0.00	201.71	90.0	181.54
04/19/2017	MILLS, ROBERT	SAWTOOTH	0.00	147.49	0.00	147.49	90.0	132.74
04/19/2017	MULDOON, DANIEL	SAWTOOTH	0.00	289.36	0.00	289.36	90.0	260.42
04/19/2017	MURPHY, WILLIAM	SAWTOOTH	0.00	1,208.05	0.00	1,208.05	90.0	1,087.25
04/19/2017	MURPHY, SUSAN	SAWTOOTH	0.00	671.11	0.00	671.11	90.0	604.00
04/19/2017	PEE, MICHAEL	SAWTOOTH	0.00	671.58	0.00	671.58	90.0	604.42
04/19/2017	PEE, MICHAEL	SAWTOOTH	0.00	157.20	0.00	157.20	90.0	141.48
04/19/2017	PEPPLE, GLEN	SAWTOOTH	0.00	246.56	0.00	246.56	90.0	221.90
04/19/2017	PEPPLE, NICOLE	SAWTOOTH	0.00	107.75	0.00	107.75	90.0	96.98
04/19/2017	PIER, SPENCER	SAWTOOTH	0.00	48.53	0.00	48.53	90.0	43.68
04/19/2017	RAABE, RICHARD	SAWTOOTH	0.00	126.45	0.00	126.45	90.0	113.81
04/19/2017	REINHART, RUSSELL	SAWTOOTH	0.00	315.31	0.00	315.31	90.0	283.78
04/19/2017	REINHART, ROCHELLE	SAWTOOTH	0.00	24.41	0.00	24.41	90.0	21.97
04/19/2017	REMENSCHNEIDER, MARY	SAWTOOTH	0.00	606.38	0.00	606.38	90.0	545.74
04/19/2017	ROTHGEB, CRAIG	SAWTOOTH	0.00	124.19	0.00	124.19	90.0	111.77
04/19/2017	RUSK, RANDALL	SAWTOOTH	0.00	755.23	0.00	755.23	90.0	679.71
04/19/2017	SAMMETINGER, EVELYN	SAWTOOTH	0.00	178.23	0.00	178.23	90.0	160.41

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/19/2017	SAMMETINGER, EVELYN	SAWTOOTH	0.00	138.82	0.00	138.82	90.0	124.94
04/19/2017	SAUTER, DOROTHY	SAWTOOTH	0.00	68.69	0.00	68.69	90.0	61.82
04/19/2017	SAUTER, DOROTHY	SAWTOOTH	0.00	220.36	0.00	220.36	90.0	198.32
04/19/2017	SAUTER, JOHN	SAWTOOTH	0.00	52.21	0.00	52.21	90.0	46.99
04/19/2017	SCHAEFER, LOLA	SAWTOOTH	0.00	46.80	0.00	46.80	90.0	42.12
04/19/2017	SENGER, SALLY	SAWTOOTH	0.00	349.35	0.00	349.35	90.0	314.42
04/19/2017	SENGER, SALLY	SAWTOOTH	0.00	53.65	0.00	53.65	90.0	48.29
04/19/2017	SHANK, DEAN	SAWTOOTH	0.00	412.10	0.00	412.10	90.0	370.89
04/19/2017	SIEBER, AARON	SAWTOOTH	0.00	318.05	0.00	318.05	90.0	286.25
04/19/2017	SIMPSON, DAYNA	SAWTOOTH	0.00	145.20	0.00	145.20	90.0	130.68
04/19/2017	SIMPSON, DAYNA	SAWTOOTH	0.00	130.89	0.00	130.89	90.0	117.80
04/19/2017	SORG, BECKY	SAWTOOTH	0.00	391.38	0.00	391.38	90.0	352.24
04/19/2017	STANSBERRY, NANCY	SAWTOOTH	0.00	600.64	0.00	600.64	90.0	540.58
04/19/2017	STOPPENHAGEN, DENNIS	SAWTOOTH	0.00	715.95	0.00	715.95	90.0	644.36
04/19/2017	SULLIVAN, DIAN	SAWTOOTH	0.00	288.26	0.00	288.26	90.0	259.43
04/19/2017	THOMAS, THELMA	SAWTOOTH	0.00	228.60	0.00	228.60	90.0	205.74
04/19/2017	TULLOCH, CAROLYN	SAWTOOTH	0.00	124.35	0.00	124.35	90.0	111.92
04/19/2017	TULLOCH, DAVID	SAWTOOTH	0.00	517.48	0.00	517.48	90.0	465.73
04/19/2017	TYGER, CATHIE	SAWTOOTH	0.00	480.04	0.00	480.04	90.0	432.04
04/19/2017	WALLACE, FREDERICK	SAWTOOTH	0.00	221.84	0.00	221.84	90.0	199.66
04/19/2017	WEBER, KAY	SAWTOOTH	0.00	305.89	0.00	305.89	90.0	275.30
04/19/2017	WEIMER, THOMAS	SAWTOOTH	0.00	693.21	0.00	693.21	90.0	623.89
04/19/2017	WILLING, PATRICIA	SAWTOOTH	0.00	222.75	0.00	222.75	90.0	200.48
04/19/2017	WILLING, LEONARD	SAWTOOTH	0.00	104.48	0.00	104.48	90.0	94.03
* RIA SUBTOTAL *			\$1,926,667.70	\$35,386.82	\$0.00	\$35,386.82		\$31,848.25
*** BROKER TRANSACTIONS TOTAL ***			\$1,926,667.70	\$35,386.82	\$0.00	\$35,386.82		\$31,848.25

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
04/18/2017	SCHENKEL, ROBERT	CLS INVESTMENTS	37,864.38	33.04	0.00	33.04	90.0	29.74
04/14/2017	FIRE POLICE CITY, 401K	PRINCIPAL LIFE INS	0.00	865.16	0.00	865.16	90.0	778.64
04/18/2017	FIRE POLICE CITY, DB PLAN	PRINCIPAL LIFE INS	0.00	698.66	0.00	698.66	90.0	628.79
04/19/2017	DORSETT, JERRY	SAWTOOTH	0.00	32.93	0.00	32.93	90.0	29.64
04/19/2017	DORSETT, LARA	SAWTOOTH	0.00	62.49	0.00	62.49	90.0	56.24
04/19/2017	EISERLE, DAVID	SAWTOOTH	0.00	224.11	0.00	224.11	90.0	201.70
04/19/2017	FOLTZ, SCOTT	SAWTOOTH	0.00	23.65	0.00	23.65	90.0	21.29
04/19/2017	FOLTZ, KATHRYN	SAWTOOTH	0.00	37.10	0.00	37.10	90.0	33.39
04/19/2017	JACOBS, BARRY	SAWTOOTH	0.00	80.83	0.00	80.83	90.0	72.75
04/19/2017	KLINE, THOMAS	SAWTOOTH	0.00	48.61	0.00	48.61	90.0	43.75
04/19/2017	MOUNSEY, CHRISTINE	SAWTOOTH	0.00	109.06	0.00	109.06	90.0	98.15
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$37,864.38	\$2,215.64	\$0.00	\$2,215.64		\$1,994.08
*** SPLIT TRANSACTIONS TOTAL ***			\$37,864.38	\$2,215.64	\$0.00	\$2,215.64		\$1,994.08

ADJUSTMENTS

04/30/2017	*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00						-22.86
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$-22.86

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$31,848.25
Split Transactions	\$1,994.08
Override Transactions	\$0.00
Adjustments	\$-22.86
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$33,819.47
Year-to-date Gross	\$159,912.83
Year-to-date Earnings	\$143,780.10