



COMMISSION STATEMENT

July 09, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/29/2018	***-**-9806 - KERINS, MARILYN	GENWORTH 37250G327	0.00	11.16	0.00	11.16	90.0	10.04
	ACCOUNT #: T06433169	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$11.16	\$0.00	\$11.16		\$10.04
	*** BROKER TRANSACTIONS TOTAL ***		\$0.00	\$11.16	\$0.00	\$11.16		\$10.04

OVERRIDE TRANSACTIONS

BROKER: CHRISTOPHER MILGROOM

06/28/2018	***-**-6647 - CABLE, ROBERT	AIM SELECT REAL ESTATE INCOME FD-CL	1,400.00	14.00	0.00	14.00	15.0	2.10
	ACCOUNT #: 07122874988	BUY 156 Units @8.9900						
06/27/2018	***-**-1982 - HOHNECKER, LAURA	AMERICAN MUTUAL FUND-A	62.50	3.13	0.00	3.13	15.0	0.47
	ACCOUNT #: 00086976260	BUY 1 Units @42.7100						
06/27/2018	***-**-1982 - HOHNECKER, LAURA	CAPITAL WORLD BOND FUND-A	62.50	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00086976260	BUY 3 Units @20.1700						
06/27/2018	***-**-1982 - HOHNECKER, LAURA	CAPITAL WORLD GROWTH AND INCOME FUN	62.50	3.13	0.00	3.13	15.0	0.47
	ACCOUNT #: 00086976260	BUY 1 Units @53.2700						
07/01/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	450.00	4.50	0.00	4.50	15.0	0.68
	ACCOUNT #: R074824-ZS	BUY 0 Units @0.0000						
07/04/2018	***-**-1022 - ZYLKA, VERONICA	ING ADVANTAGE SELECT	0.00	4.39	0.00	4.39	15.0	0.66
	ACCOUNT #: R078851-ZS	BUY 0 Units @0.0000						
07/06/2018	***-**-8694 - FLUSBERG,	ING ADVANTAGE SELECT	0.00	103.69	0.00	103.69	15.0	15.55
	ACCOUNT #: R106699-ZS	BUY 0 Units @0.0000						
07/03/2018	***-**-67CL - MILGROOM,	PIONEER FUNDAMENTAL GROWTH FUND R	0.00	60.70	0.00	60.70	15.0	9.11
	ACCOUNT #: 02554065885	BUY 0 Units @0.0000						
07/03/2018	***-**-67CL - MILGROOM,	PIONEER FUNDAMENTAL GROWTH FUND R	0.00	63.94	0.00	63.94	15.0	9.59
	ACCOUNT #: 02554066517	BUY 0 Units @0.0000						
07/03/2018	***-**-67CL - MILGROOM,	PIONEER FUNDAMENTAL GROWTH FUND R	0.00	4.88	0.00	4.88	15.0	0.73
	ACCOUNT #: 02554066523	BUY 0 Units @0.0000						
07/09/2018	***-**-345P - WIEBE, PHILIP	PORTFOLIO PLAN C	150.00	1.50	0.00	1.50	15.0	0.23
	ACCOUNT #: 02000070602	BUY 0 Units @0.0000						
07/09/2018	***-**-0834 - WIEBE, PHILIP	SCHOLAR'S EDGE TRAILS	0.00	44.52	0.00	44.52	15.0	6.68
		BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> 								
06/27/2018	***-**-1982 - HOHNECKER, LAURA THE GROWTH FUND OF AMERICA-A		62.50	3.13	0.00	3.13	15.0	0.47
	ACCOUNT #: 00086976260	BUY 1 Units @56.7300						
		* CHRISTOPHER MILGROOM SUBTOTAL *	\$2,250.00	\$313.39	\$0.00	\$313.39		\$47.02
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$2,250.00	\$313.39	\$0.00	\$313.39		\$47.02

BROKER COMMISSION TOTALS

Broker Transactions	\$10.04
Split Transactions	\$0.00
Override Transactions	\$47.02
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$53.49
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$110.55
Year-to-date Earnings	\$2,081.49