



COMMISSION STATEMENT

January 29, 2018

ANNE PLATSKY
10310 Sugarberry Court #402
402
Raleigh, NC 27614

BROKER #: 911
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/29/2018	***-**-7254 - GREEN, MICHAEL	DELAWARE CASH RESERVE FUND CLASS C	200.00	4.00	0.00	4.00	60.0	2.40
	ACCOUNT #: 5163225106	BUY 0 Units @0.0000						
		* MUTUAL FUNDS SUBTOTAL *	\$200.00	\$4.00	\$0.00	\$4.00		\$2.40
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/29/2018	***-**-807I - WALSH III, FBO	CAPITAL GROWTH FUND A	0.00	13.84	0.00	13.84	60.0	8.30
	ACCOUNT #: LSR100781	BUY 1 Units @0.0000						
01/29/2018	***-**-150I - SPRING, HENRY	CAPITAL GROWTH FUND A	0.00	2.30	0.00	2.30	60.0	1.38
	ACCOUNT #: LSR101109	BUY 1 Units @0.0000						
01/29/2018	***-**-415K - SCHORR, FBO NINA	CAPITAL GROWTH FUND A	0.00	16.11	0.00	16.11	60.0	9.67
	ACCOUNT #: LSR101265	BUY 1 Units @0.0000						
01/29/2018	***-**-5380 - LEE, WAI	CAPITAL GROWTH FUND A	0.00	48.42	0.00	48.42	60.0	29.05
	ACCOUNT #: LSR101311	BUY 1 Units @0.0000						
01/29/2018	***-**-5380 - LEE, WAI	CAPITAL INCOME A	0.00	20.79	0.00	20.79	60.0	12.47
	ACCOUNT #: LSR101311	BUY 1 Units @0.0000						
01/29/2018	***-**-7254 - GREEN, MICHAEL	DELAWARE INV MF TRAILS	0.00	83.60	0.00	83.60	60.0	50.16
	ACCOUNT #: 5163225106	BUY 0 Units @0.0000						
01/29/2018	***-**-119I - LEVIN MD, HENRY	DELAWARE INV MF TRAILS	0.00	5.26	0.00	5.26	60.0	3.16
		BUY 0 Units @0.0000						
01/29/2018	***-**-931S - THE SEP ACCOUNT	DELAWARE INV MF TRAILS	0.00	13.56	0.00	13.56	60.0	8.14
		BUY 0 Units @0.0000						
01/29/2018	***-**-398I - PLATSKY, ANNE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	60.0	0.01
	ACCOUNT #: LSR100536	BUY 1 Units @0.0000						
01/29/2018	***-**-8943 - KOULOS, JOHN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.30	0.00	0.30	60.0	0.18
	ACCOUNT #: LSR100811	BUY 1 Units @0.0000						
01/29/2018	***-**-72IN - ZUCKERMAN, MARC	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.49	0.00	1.49	60.0	0.89
	ACCOUNT #: LSR100820	BUY 1 Units @0.0000						
01/29/2018	***-**-1833 - ARONOW, DOREEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	60.0	0.00
	ACCOUNT #: LSR100870	BUY 1 Units @0.0000						
01/29/2018	***-**-6415 - SCHORR, NINA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.85	0.00	0.85	60.0	0.51
	ACCOUNT #: LSR101257	BUY 1 Units @0.0000						
01/29/2018	***-**-415K - SCHORR, FBO NINA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.37	0.00	0.37	60.0	0.22
	ACCOUNT #: LSR101265	BUY 1 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
01/29/2018	***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.68	0.00	0.68	60.0	0.41
01/29/2018	***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.57	0.00	0.57	60.0	0.34
01/29/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.61	0.00	0.61	60.0	0.37
01/29/2018	***-**-05IS - BRUNCKHORST, ACCOUNT #: LSR101818	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.38	0.00	0.38	60.0	0.23
01/29/2018	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	60.0	0.04
01/29/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FRANKLIN DYNATECH FUND CLASS A BUY 1 Units @0.0000	0.00	27.70	0.00	27.70	60.0	16.62
01/29/2018	***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	FRANKLIN FEDERAL TAX FREE INCOME FU BUY 1 Units @0.0000	0.00	2.95	0.00	2.95	60.0	1.77
01/29/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FRANKLIN GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	26.93	0.00	26.93	60.0	16.16
01/29/2018	***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	FRANKLIN HIGH-YIELD TAX-FREE INCOME BUY 1 Units @0.0000	0.00	2.54	0.00	2.54	60.0	1.52
01/29/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	28.00	0.00	28.00	60.0	16.80
01/29/2018	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	3.92	0.00	3.92	60.0	2.35
01/29/2018	***-**-150I - SPRING, HENRY ACCOUNT #: LSR101109	GOLDMAN SACHS ASSET ALLOC GRWTH STR BUY 1 Units @0.0000	0.00	7.92	0.00	7.92	60.0	4.75
01/29/2018	***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	GOLDMAN SACHS ASSET ALLOC GRWTH STR BUY 1 Units @0.0000	0.00	6.89	0.00	6.89	60.0	4.13
01/29/2018	***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	GOLDMAN SACHS GROWTH AND INCOME CLA BUY 1 Units @0.0000	0.00	2.78	0.00	2.78	60.0	1.67
01/29/2018	***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	GOLDMAN SACHS GROWTH AND INCOME CLA BUY 1 Units @0.0000	0.00	12.28	0.00	12.28	60.0	7.37
01/29/2018	***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	GOLDMAN SACHS HIGH YIELD CLASS A BUY 1 Units @0.0000	0.00	3.55	0.00	3.55	60.0	2.13



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
01/29/2018	***-**-18IN - ZAMECK, RICKI	GRIFFIN-AMERICAN HEALTHCARE REIT IV BUY 0 Units @0.0000	0.00	25.21	0.00	25.21	60.0	15.13
01/29/2018	***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: 00091130985	GRIFFIN-AMERICAN HEALTHCARE REIT IV BUY 0 Units @0.0000	0.00	50.41	0.00	50.41	60.0	30.25
01/29/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	INTERNATIONAL BOND A BUY 1 Units @0.0000	0.00	22.97	0.00	22.97	60.0	13.78
01/29/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	OPPENHEIMER STRATEGIC INCOME FD CL BUY 1 Units @0.0000	0.00	24.07	0.00	24.07	60.0	14.44
01/29/2018	***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	STRUCTURED SMALL CAP VALUE FUND A BUY 1 Units @0.0000	0.00	9.05	0.00	9.05	60.0	5.43
01/29/2018	***-**-150I - SPRING, HENRY ACCOUNT #: LSR101109	STRUCTURED SMALL CAP VALUE FUND A BUY 1 Units @0.0000	0.00	6.88	0.00	6.88	60.0	4.13
01/29/2018	***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	STRUCTURED U.S. EQUITY CLASS A BUY 1 Units @0.0000	0.00	9.07	0.00	9.07	60.0	5.44
01/29/2018	***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	STRUCTURED U.S. EQUITY CLASS A BUY 1 Units @0.0000	0.00	12.10	0.00	12.10	60.0	7.26
01/04/2018	***-**-4866 - KOVEL, ARTHUR ACCOUNT #: 08324760907	WELLS FARGO HIGH YLD INC FD CL A BUY 0 Units @0.0000	0.00	0.15	0.00	0.15	60.0	0.09
01/04/2018	***-**-4866 - KOVEL, ARTHUR ACCOUNT #: 08324760907	WELLS FGO AVTG DIVERSFD CAPITAL BLD BUY 0 Units @0.0000	0.00	2.96	0.00	2.96	60.0	1.78
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$497.54	\$0.00	\$497.54		\$298.53
*** BROKER TRANSACTIONS TOTAL ***			\$200.00	\$501.54	\$0.00	\$501.54		\$300.93



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
Broker Transactions \$300.93								
Split Transactions \$0.00								
Override Transactions \$0.00								
Adjustments \$0.00								
Payroll Draw \$0.00								
Base Salary \$0.00								
Prior Period Balance \$0.00								
FINRA Assessment \$0.00								
SIPC Assessment \$0.00								
Net Commission Earned \$300.93								
Year-to-date Earnings \$1,448.71								