

COMMISSION STATEMENT May 31, 2018

CYNTHIA MICHAEL-D181

BROKER #: D181

BRANCH: DMR

, TX

COMMISSION STATEMENT for CYNTHIA MICHAEL-D181

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: STOCKS

04/30/2018	368440829	CRIST MARITAL	S	CATERPILLAR INC	B	14,634.45	135.00	15.00	120.00	80.0	96.00
05/22/2018	492311175	MEDINA, ALEJANDRO	S	EXXON-MOBIL CORPORATION	B	762,034.05	360.00	15.00	345.00	80.0	276.00
05/22/2018	492311175	MEDINA, ALEJANDRO	S	KINDER MORGAN INC	B	507,137.67	360.00	15.00	345.00	80.0	276.00
05/22/2018	492311175	MEDINA, ALEJANDRO	S	SABINE ROYALTY TRUST	S	-24,524.73	50.00	15.00	35.00	80.0	28.00
				* STOCKS SUBTOTAL *		\$1,259,281.44	\$905.00	\$60.00	\$845.00		\$676.00

PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT

05/07/2018	400397408	JACKSON, VIRGINIA	CD	FIRST DAKOTA NATL BK YANKTON	S B	40,002.45	17.00	20.00	-3.00	80.0	-2.40
05/15/2018	799164709	WEYRICK, FRANCES	CD	ASSOCIATED BK GREEN BAY WIS	B	53,002.45	33.79	20.00	13.79	80.0	11.03
				* CERTIFICATES OF DEPOSIT SUBTOTAL *		\$93,004.90	\$50.79	\$40.00	\$10.79		\$8.63

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMCAP FUND-A	B	0.00	3.73	0.00	3.73	80.0	2.98
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN BALANCED FUND-F1	B	0.00	24.85	0.00	24.85	80.0	19.88
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN FDS ST TAX EXEMPT BOND	B	0.00	0.01	0.00	0.01	80.0	0.01
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN HIGH-INCOME TRUST-A	B	0.00	40.85	0.00	40.85	80.0	32.68
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN HIGH-INCOME MUNI BOND	B	0.00	0.10	0.00	0.10	80.0	0.08
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	7.51	0.00	7.51	80.0	6.01
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	15.58	0.00	15.58	80.0	12.46
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	34.36	0.00	34.36	80.0	27.49
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK MULTI-ASSET INCOME FD	B	0.00	5.20	0.00	5.20	80.0	4.16
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK GLOBAL ALLOCATION FD	B	0.00	13.93	0.00	13.93	80.0	11.14
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK GLOBAL ALLOCATION	B	0.00	4.31	0.00	4.31	80.0	3.45
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK GLOBAL ALLOCATION	B	0.00	14.89	0.00	14.89	80.0	11.91
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	3.94	0.00	3.94	80.0	3.15
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	4.79	0.00	4.79	80.0	3.83
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	4.86	0.00	4.86	80.0	3.89
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	COLUMBIA TAX-EXEMPT FUND-A	B	0.00	0.53	0.00	0.53	80.0	0.42
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	DEUTSCHE FLOATING RATE FD C	B	0.00	19.28	0.00	19.28	80.0	15.42
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	DEUTSCHE FLOATING RATE FD C	B	0.00	21.20	0.00	21.20	80.0	16.96
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	FRANKLIN INCOME FUND CLASS C	B	0.00	8.15	0.00	8.15	80.0	6.52
05/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	FRANKLIN INCOME FUND CLASS C	B	0.00	11.16	0.00	11.16	80.0	8.93

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05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	17.04	0.00	17.04	80.0	13.63
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	22.35	0.00	22.35	80.0	17.88
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	51.04	0.00	51.04	80.0	40.83
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	64.04	0.00	64.04	80.0	51.23
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	67.75	0.00	67.75	80.0	54.20
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.57	0.00	7.57	80.0	6.06
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	16.36	0.00	16.36	80.0	13.09
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.88	0.00	3.88	80.0	3.10
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	4.22	0.00	4.22	80.0	3.38
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LOOMIS SAYLES GROWTH FD CL C	B	0.00	15.45	0.00	15.45	80.0	12.36
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	23.77	0.00	23.77	80.0	19.02
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	49.45	0.00	49.45	80.0	39.56
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT MULTI-ASSET INCOME	B	0.00	3.95	0.00	3.95	80.0	3.16
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT SHORT DURATION	B	0.00	19.39	0.00	19.39	80.0	15.51
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT MAINSTAY HIGH YIELD MUNI BD C	B	0.00	44.63	0.00	44.63	80.0	35.70
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT MFS GROWTH ALLOCATION FUND-C	B	0.00	8.88	0.00	8.88	80.0	7.10
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT MID-CAP VALUE FUND CLASS A	B	0.00	4.79	0.00	4.79	80.0	3.83
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.53	0.00	3.53	80.0	2.82
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT NUVEEN SHORT DUR HIGH YLD MUNI	B	0.00	14.46	0.00	14.46	80.0	11.57
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	0.07	0.00	0.07	80.0	0.06
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	8.70	0.00	8.70	80.0	6.96
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	12.36	0.00	12.36	80.0	9.89
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.15	0.00	15.15	80.0	12.12
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	8.71	0.00	8.71	80.0	6.97
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	89.50	0.00	89.50	80.0	71.60
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.64	0.00	3.64	80.0	2.91
05/21/2018	mfc495845 MICHAEL CYNTHIA	MFT WELLS FARGO ASSET ALLOCATION FD	B	0.00	7.24	0.00	7.24	80.0	5.79
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$827.15	\$0.00	\$827.15		\$661.70
*** CLEARING TRANSACTIONS TOTAL ***				\$1,352,286.34	\$1,782.94	\$100.00	\$1,682.94		\$1,346.33

DIRECT TRANSACTIONSPRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

05/23/2018	FX16001643 PETTEY	VAT CUSIP 694475286	B	0.00	91.98	0.00	91.98	80.0	73.58
05/25/2018	VM13402652 CRIST	VAT PACIFIC LIFE VA 69448B175	B	0.00	57.28	0.00	57.28	80.0	45.82
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$149.26	\$0.00	\$149.26		\$119.40
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$149.26	\$0.00	\$149.26		\$119.40

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
05/31/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
05/31/2018		: CUSTOMER WRITE OFF 400397408		0.00	0.00	0.00	0.00		-2.45
05/31/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
05/31/2018		: QUODD CHARGES		0.00	0.00	0.00	0.00		-130.37
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-187.82

BROKER COMMISSION TOTALS

Broker Transactions	\$1,465.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-187.82
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,277.91
Year-to-date Commissions	\$8,119.28