

**COMMISSION STATEMENT****February 15, 2018**

BARRY, L122 LE MAY
 2242 Camden Ave
 Suite 102
 San Jose, CA 95124

BROKER #: L122
BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
01/30/2018	CHUI TRUST	GPB WASTE MANAGEMENT LP	350,000.00	24,500.00	0.00	24,500.00	90.0	22,050.00
01/30/2018	HURLEY, KENNETH	HPI FUND V	80,000.00	4,800.00	0.00	4,800.00	90.0	4,320.00
* PRIVATE PLACEMENT SUBTOTAL *			\$430,000.00	\$29,300.00	\$0.00	\$29,300.00		\$26,370.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/26/2018	LEMAY, MONIQUE	ALTEGRIS WINTON FUTURES FUND LP CL	10,027.44	16.79	0.00	16.79	90.0	15.11
01/26/2018	VIZIR, VITALI	ALTEGRIS WINTON FUTURES FUND LP CL	50,137.19	83.96	0.00	83.96	90.0	75.56
01/26/2018	BARDELL, PAULA	ALTEGRIS WINTON FUTURES FUND LP CL	12,267.82	20.54	0.00	20.54	90.0	18.49
12/31/2017	MACKENZIE, MICHELLE	BLK GLOBAL ALLOCATION C	0.00	5.04	0.00	5.04	90.0	4.54
12/31/2017	MACKENZIE, MICHELLE	BLK GLOBAL ALLOCATION C	0.00	15.11	0.00	15.11	90.0	13.60
01/22/2018	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.07	0.00	11.07	90.0	9.96
01/22/2018	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.09	0.00	1.09	90.0	0.98
01/19/2018	DINAPOLI TRUST, BENNY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.03	0.00	1.03	90.0	0.93
01/25/2018	HAYTON, KEN	INVESCO HIGH YIELD MUNICIPAL A	0.00	0.42	0.00	0.42	90.0	0.38
01/18/2018	KACHULIS, CASSANDRA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.70	0.00	1.70	90.0	1.53
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$72,432.45	\$156.75	\$0.00	\$156.75		\$141.08
*** DIRECT TRANSACTIONS TOTAL ***			\$502,432.45	\$29,456.75	\$0.00	\$29,456.75		\$26,511.08

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
02/15/2018		*: TECHNOLOGY AI INSIGHT	0.00					-7.00
02/15/2018		*: TECHNOLOGY DOCUSIGN	0.00					-18.00
02/15/2018		*: TECHNOLOGY GLOBAL RELAY - WEBSIT	0.00					-15.00
02/15/2018		*: TECHNOLOGY LASER APP	0.00					-18.00
02/15/2018		*: TECHNOLOGYGLOBAL RELAY - FACEBOO	0.00					-5.00
02/15/2018		*CONCIERGE SVC: 207496 HEWITSON TTE	0.00					-50.00
02/15/2018		*CONCIERGE SVC: 207528 HEWITSON TTE	0.00					-50.00
02/15/2018		*CONCIERGE SVC: 207861 HURLEY	0.00					-50.00
02/15/2018		*CONCIERGE SVC: 208226 CHUI, TTEE	0.00					-50.00
02/15/2018		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-23.94
02/15/2018		*TECHNOLOGY: ALBRIDGE LIGHT	0.00					-76.00
02/15/2018		*TECHNOLOGY: BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-842.94

BROKER COMMISSION TOTALS

Broker Transactions	\$26,511.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-842.94
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$25,668.14
Year-to-date Gross	\$85,496.07
Year-to-date Earnings	\$76,926.24

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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