



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 15, 2018

06/15/2018
Page No. 1

MARC, L134 LINSKY
104 B. Centre Blvd

BROKER #: L134
BRANCH: E STREET FINANCIAL L

Marlton, NJ 08053

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
06/07/2018	KRAMER, LARRY	US BANCORP DEL COM	-18,462.98	30.00	22.53	7.47	90.0	6.72
		* STOCKS SUBTOTAL *	\$-18,462.98	\$30.00	\$22.53	\$7.47		\$6.72
		*** CLEARING TRANSACTIONS TOTAL ***	\$-18,462.98	\$30.00	\$22.53	\$7.47		\$6.72

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
06/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	1,000.00	10.00	0.00	10.00	90.0	9.00
06/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	13.50
06/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	13.50
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	10.00	0.00	10.00	90.0	9.00
05/30/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	1,000.00	25.00	0.00	25.00	90.0	22.50
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	7.50	0.00	7.50	90.0	6.75
05/29/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	3.38
05/30/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	2,000.00	50.00	0.00	50.00	90.0	45.00
		* 529 PLANS SUBTOTAL *	\$4,950.00	\$136.25	\$0.00	\$136.25		\$122.63

<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	9.56	0.00	9.56	90.0	8.60
		* MUTUAL FUNDS SUBTOTAL *	\$225.00	\$9.56	\$0.00	\$9.56		\$8.60

<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/04/2018	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	18.90
05/31/2018	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	272,542.61	227.12	0.00	227.12	90.0	204.41
05/31/2018	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	291,841.88	243.20	0.00	243.20	90.0	218.88
05/31/2018	NANI, JOSEPH	JNL PERSPECTIVE L	412,494.26	343.75	0.00	343.75	90.0	309.38
05/31/2018	FELDNER, BARBARA	JNL PERSPECTIVE L	487,066.02	405.89	0.00	405.89	90.0	365.30

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2018	STERR, CHRISTOPHER	JNL PERSPECTIVE L	111,046.63	92.54	0.00	92.54	90.0	83.29
05/31/2018	OTT, CAROL	JOHN HANCOCK VENTURE	13,966.43	2.91	0.00	2.91	90.0	2.62
05/31/2018	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	199,484.02	166.24	0.00	166.24	90.0	149.62
06/01/2018	PISANI, CARLA	LFG CHOICEPLUS ASSURANCE	541.00	25.70	0.00	25.70	90.0	23.13
06/01/2018	PISANI, NICHOLAS	LFG CHOICEPLUS ASSURANCE	250.00	10.00	0.00	10.00	90.0	9.00
05/24/2018	GALERMAN, ALAN	TRANSAMERICA LIBERTY	126,645.29	105.54	0.00	105.54	90.0	94.99
* VARIABLE ANNUITIES SUBTOTAL *			\$1,916,178.14	\$1,643.89	\$0.00	\$1,643.89		\$1,479.52

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2018	BENDICK, ETHEL	529 AMCAP FUND CLASS A	0.00	3.90	0.00	3.90	90.0	3.51
05/10/2018	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.90	0.00	0.90	90.0	0.81
05/10/2018	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	13.95	0.00	13.95	90.0	12.56
05/17/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.51	0.00	4.51	90.0	4.06
05/15/2018	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	4.09	0.00	4.09	90.0	3.68
05/15/2018	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	6.80	0.00	6.80	90.0	6.12
05/15/2018	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.44	0.00	0.44	90.0	0.40
06/01/2018	CANUSO, CANDACE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.35	0.00	1.35	90.0	1.22
06/01/2018	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.51	0.00	1.51	90.0	1.36
06/01/2018	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.66	0.00	0.66	90.0	0.59
06/01/2018	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.34	0.00	0.34	90.0	0.31
06/01/2018	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.43	0.00	1.43	90.0	1.29
06/01/2018	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.43	0.00	1.43	90.0	1.29
06/01/2018	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.94	0.00	0.94	90.0	0.85
06/01/2018	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.94	0.00	0.94	90.0	0.85
06/01/2018	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	4.60	0.00	4.60	90.0	4.14
06/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	0.00	129.34	0.00	129.34	90.0	116.41
06/01/2018	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.76	0.00	3.76	90.0	3.38
06/01/2018	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.76	0.00	3.76	90.0	3.38
05/15/2018	BERK, SHERRI	AM FUNDS AMERICAN BALANCED A	0.00	0.18	0.00	0.18	90.0	0.16
05/15/2018	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.48	0.00	1.48	90.0	1.33
05/15/2018	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.48	0.00	0.48	90.0	0.43
05/15/2018	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.48	0.00	0.48	90.0	0.43
05/15/2018	APPLEGATE, PAMAEALA	AM FUNDS AMERICAN BALANCED A	0.00	1.00	0.00	1.00	90.0	0.90
06/01/2018	MORETTI, VINCENT	AM FUNDS AMERICAN BALANCED A	0.00	3.62	0.00	3.62	90.0	3.26

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	MORETTI, GINA	AM FUNDS AMERICAN BALANCED A	0.00	3.75	0.00	3.75	90.0	3.38
06/01/2018	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.66	0.00	0.66	90.0	0.59
06/01/2018	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.31	0.00	0.31	90.0	0.28
06/01/2018	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.51	0.00	1.51	90.0	1.36
06/01/2018	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.51	0.00	1.51	90.0	1.36
06/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	0.00	135.67	0.00	135.67	90.0	122.10
06/01/2018	CANUSO, CANDACE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2018	ALTMAN, SHARON	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.73	0.00	1.73	90.0	1.56
06/01/2018	BENDICK, ETHEL	AM FUNDS BOND FUND OF AMERICA 529A	0.00	2.16	0.00	2.16	90.0	1.94
06/01/2018	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	6.19	0.00	6.19	90.0	5.57
06/01/2018	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.04	0.00	1.04	90.0	0.94
06/01/2018	ALTMAN, SHARON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.08	0.00	2.08	90.0	1.87
06/01/2018	BENDICK, ETHEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.66	0.00	2.66	90.0	2.39
05/15/2018	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.96	0.00	0.96	90.0	0.86
05/15/2018	APPLEGATE, PAMAEALA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.79	0.00	0.79	90.0	0.71
06/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD BOND 529A	0.00	0.98	0.00	0.98	90.0	0.88
06/01/2018	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.73	0.00	7.73	90.0	6.96
06/01/2018	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.28	0.00	1.28	90.0	1.15
06/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	10.29	0.00	10.29	90.0	9.26
06/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	8.64	0.00	8.64	90.0	7.78
06/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.16	0.00	2.16	90.0	1.94
06/01/2018	ALTMAN, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.00	0.00	4.00	90.0	3.60
06/01/2018	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.88	0.00	0.88	90.0	0.79
06/01/2018	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.58	0.00	0.58	90.0	0.52
06/01/2018	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.46	0.00	1.46	90.0	1.31
06/01/2018	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.46	0.00	1.46	90.0	1.31
06/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	5.26	0.00	5.26	90.0	4.73
06/01/2018	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	132.85	0.00	132.85	90.0	119.57
06/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.10	0.00	4.10	90.0	3.69
06/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.10	0.00	4.10	90.0	3.69
05/15/2018	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.95	0.00	3.95	90.0	3.56
05/15/2018	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.14	0.00	3.14	90.0	2.83
05/15/2018	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.31	0.00	1.31	90.0	1.18

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/15/2018	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.75	0.00	0.75	90.0	0.68
05/15/2018	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.87	0.00	2.87	90.0	2.58
06/01/2018	CENTANNI, RICHARD	AM FUNDS COLLEGE 2030 FUND 529A	0.00	7.85	0.00	7.85	90.0	7.07
05/15/2018	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.48	0.00	13.48	90.0	12.13
06/01/2018	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	9.39	0.00	9.39	90.0	8.45
06/01/2018	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.80	0.00	0.80	90.0	0.72
05/15/2018	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.59	0.00	1.59	90.0	1.43
06/01/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	14.75	0.00	14.75	90.0	13.28
06/01/2018	CANUSO, CANDACE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	9.31	0.00	9.31	90.0	8.38
06/01/2018	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.83	0.00	3.83	90.0	3.45
06/01/2018	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.53	0.00	3.53	90.0	3.18
06/01/2018	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2018	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.01	0.00	1.01	90.0	0.91
05/15/2018	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.95	0.00	0.95	90.0	0.86
05/15/2018	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.86	0.00	4.86	90.0	4.37
05/15/2018	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.61	0.00	1.61	90.0	1.45
05/15/2018	OLIVETTI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.66	0.00	6.66	90.0	5.99
05/15/2018	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.55	0.00	4.55	90.0	4.10
05/15/2018	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.91	0.00	1.91	90.0	1.72
05/15/2018	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.41	0.00	0.41	90.0	0.37
05/15/2018	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.01	0.00	1.01	90.0	0.91
05/15/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.77	0.00	1.77	90.0	1.59
05/15/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.69	0.00	0.69	90.0	0.62
05/15/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.13	0.00	1.13	90.0	1.02
05/15/2018	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.69	0.00	0.69	90.0	0.62
06/01/2018	MORETTI, VINCENT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.58	0.00	4.58	90.0	4.12
06/01/2018	MORETTI, GINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.82	0.00	4.82	90.0	4.34
05/15/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.86	0.00	2.86	90.0	2.57
05/15/2018	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.82	0.00	2.82	90.0	2.54
05/15/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.78	0.00	5.78	90.0	5.20
05/15/2018	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.86	0.00	5.86	90.0	5.27
05/15/2018	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.53	0.00	1.53	90.0	1.38
05/15/2018	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	9.15	0.00	9.15	90.0	8.24

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/15/2018	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	0.81	0.00	0.81	90.0	0.73
05/15/2018	LINSKY, MARC	AM FUNDS ICA A	0.00	1.53	0.00	1.53	90.0	1.38
05/15/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.58	0.00	0.58	90.0	0.52
05/15/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.95	0.00	0.95	90.0	0.86
05/15/2018	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.58	0.00	0.58	90.0	0.52
05/15/2018	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.59	0.00	0.59	90.0	0.53
05/15/2018	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.59	0.00	0.59	90.0	0.53
05/15/2018	APPLEGATE, PAMAELA	AM FUNDS ICA A	0.00	1.02	0.00	1.02	90.0	0.92
05/15/2018	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.48	0.00	5.48	90.0	4.93
05/15/2018	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	12.84	0.00	12.84	90.0	11.56
05/15/2018	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	4.98	0.00	4.98	90.0	4.48
05/15/2018	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.77	0.00	4.77	90.0	4.29
05/15/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.32	0.00	0.32	90.0	0.29
05/15/2018	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.28	0.00	0.28	90.0	0.25
05/15/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.32	0.00	1.32	90.0	1.19
05/15/2018	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.08	0.00	3.08	90.0	2.77
06/01/2018	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.71	0.00	0.71	90.0	0.64
06/01/2018	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.71	0.00	0.71	90.0	0.64
06/01/2018	ALTMAN, SHARON	AM FUNDS INTL GROWTH AND INCOME 529	0.00	1.52	0.00	1.52	90.0	1.37
06/01/2018	ALTMAN, SHARON	AM FUNDS NEW ECONOMY 529A	0.00	3.80	0.00	3.80	90.0	3.42
06/01/2018	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.72	0.00	0.72	90.0	0.65
05/15/2018	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.79	0.00	0.79	90.0	0.71
05/15/2018	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.79	0.00	0.79	90.0	0.71
05/15/2018	APPLEGATE, PAMAELA	AM FUNDS NEW PERSPECTIVE A	0.00	1.13	0.00	1.13	90.0	1.02
06/01/2018	CANUSO, CANDACE	AM FUNDS NEW WORLD 529A	0.00	7.18	0.00	7.18	90.0	6.46
05/15/2018	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.62	0.00	1.62	90.0	1.46
06/01/2018	CANUSO, CANDACE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.42	0.00	0.42	90.0	0.38
06/01/2018	CAMPAGNA, MARIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.69	0.00	0.69	90.0	0.62
06/01/2018	ALTMAN, SHARON	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.12	0.00	1.12	90.0	1.01
06/01/2018	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	3.19	0.00	3.19	90.0	2.87
06/01/2018	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.50	0.00	2.50	90.0	2.25
06/01/2018	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.50	0.00	2.50	90.0	2.25
05/15/2018	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.90	0.00	5.90	90.0	5.31

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/15/2018	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.81	0.00	0.81	90.0	0.73
05/15/2018	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.49	0.00	1.49	90.0	1.34
05/15/2018	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.54	0.00	1.54	90.0	1.39
05/15/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.58	0.00	0.58	90.0	0.52
05/15/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.95	0.00	0.95	90.0	0.86
05/15/2018	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.58	0.00	0.58	90.0	0.52
06/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.10	0.00	9.10	90.0	8.19
06/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.40	0.00	7.40	90.0	6.66
06/01/2018	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.69	0.00	0.69	90.0	0.62
05/17/2018	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	2.73	0.00	2.73	90.0	2.46
05/16/2018	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	18.25	0.00	18.25	90.0	16.43
05/17/2018	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.54	0.00	1.54	90.0	1.39
05/17/2018	DUBIN, JON	CALAMOS GROWTH A	0.00	4.82	0.00	4.82	90.0	4.34
06/01/2018	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	3.25	0.00	3.25	90.0	2.93
06/01/2018	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.61	0.00	2.61	90.0	2.35
06/01/2018	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.61	0.00	2.61	90.0	2.35
06/01/2018	ARMSTRONG, CARTER	COLLEGE 2033 FUND-529A	0.00	3.63	0.00	3.63	90.0	3.27
06/01/2018	CENTANNI, RICHARD	COLLEGE 2033 FUND-529A	0.00	7.87	0.00	7.87	90.0	7.08
06/01/2018	CAMARDO, SHANE	COLLEGE 2033 FUND-529A	0.00	4.03	0.00	4.03	90.0	3.63
05/14/2018	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.14	0.00	1.14	90.0	1.03
05/14/2018	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	15.39	0.00	15.39	90.0	13.85
05/14/2018	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	7.82	0.00	7.82	90.0	7.04
05/14/2018	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.93	0.00	2.93	90.0	2.64
05/14/2018	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.09	0.00	1.09	90.0	0.98
05/14/2018	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.30	0.00	0.30	90.0	0.27
05/14/2018	MEDIA ENTERPRISES	COLUMBIA LARGE CAP GROWTH A	0.00	0.34	0.00	0.34	90.0	0.31
05/14/2018	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.97	0.00	0.97	90.0	0.87
05/14/2018	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.41	0.00	2.41	90.0	2.17
05/14/2018	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.11	0.00	1.11	90.0	1.00
05/14/2018	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	11.99	0.00	11.99	90.0	10.79
05/14/2018	BOWERS, DORA	COLUMBIA SMALL CAP VALUE FUND II CL	0.00	0.19	0.00	0.19	90.0	0.17
05/14/2018	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.37	0.00	2.37	90.0	2.13
05/14/2018	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.42	0.00	0.42	90.0	0.38

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/14/2018	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.57	0.00	0.57	90.0	0.51
05/11/2018	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.37	0.00	3.37	90.0	3.03
05/11/2018	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	61.16	0.00	61.16	90.0	55.04
05/11/2018	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.75	0.00	0.75	90.0	0.68
05/21/2018	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.07	0.00	1.07	90.0	0.96
05/21/2018	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.39	0.00	0.39	90.0	0.35
05/18/2018	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.76	0.00	7.76	90.0	6.98
05/18/2018	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.72	0.00	8.72	90.0	7.85
05/18/2018	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.49	0.00	1.49	90.0	1.34
05/18/2018	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	5.95	0.00	5.95	90.0	5.36
05/18/2018	BOWERS, DORA	FIDELITY ADVISOR MID CAP II FUND CL	0.00	0.27	0.00	0.27	90.0	0.24
05/18/2018	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.16	0.00	2.16	90.0	1.94
05/17/2018	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	13.86	0.00	13.86	90.0	12.47
05/17/2018	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.89	0.00	2.89	90.0	2.60
05/17/2018	HASTINGS TRUST, OSCAR	FRANKLIN INCOME A	0.00	1.10	0.00	1.10	90.0	0.99
05/17/2018	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.13	0.00	5.13	90.0	4.62
05/17/2018	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.76	0.00	0.76	90.0	0.68
05/17/2018	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.57	0.00	0.57	90.0	0.51
05/17/2018	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.79	0.00	2.79	90.0	2.51
05/22/2018	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	17.03	0.00	17.03	90.0	15.33
05/22/2018	BOWERS, DORA	GOLDMAN SACHS MID CAP VALUE FD CLAS	0.00	0.22	0.00	0.22	90.0	0.20
05/22/2018	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.66	0.00	0.66	90.0	0.59
05/17/2018	PRATOLA, PRESTON	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.31	0.00	13.31	90.0	11.98
05/17/2018	PRATOLA, PRESTON	GRIFFIN INST'L ACCESS R E FUND C	0.00	39.94	0.00	39.94	90.0	35.95
05/17/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.09	0.00	3.09	90.0	2.78
05/17/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.26	0.00	9.26	90.0	8.33
05/17/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.78	0.00	4.78	90.0	4.30
05/17/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.35	0.00	14.35	90.0	12.92
05/17/2018	HASTINGS TRUST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.52	0.00	7.52	90.0	6.77
05/17/2018	HASTINGS TRUST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	22.57	0.00	22.57	90.0	20.31
05/17/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.32	0.00	10.32	90.0	9.29
05/17/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	30.96	0.00	30.96	90.0	27.86
05/17/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.29	0.00	2.29	90.0	2.06

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/17/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.88	0.00	6.88	90.0	6.19
05/17/2018	FENN, RONALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.56	0.00	13.56	90.0	12.20
05/17/2018	FENN, RONALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	40.69	0.00	40.69	90.0	36.62
05/17/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.62	0.00	14.62	90.0	13.16
05/17/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	43.85	0.00	43.85	90.0	39.47
05/17/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.19	0.00	6.19	90.0	5.57
05/17/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	18.57	0.00	18.57	90.0	16.71
05/17/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	44.30	0.00	44.30	90.0	39.87
05/17/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	132.90	0.00	132.90	90.0	119.61
05/17/2018	CAMARDO, DONNA	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.51	0.00	4.51	90.0	4.06
05/17/2018	CAMARDO, DONNA	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.54	0.00	13.54	90.0	12.19
05/17/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.14	0.00	1.14	90.0	1.03
05/17/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.43	0.00	3.43	90.0	3.09
05/17/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.97	0.00	8.97	90.0	8.07
05/17/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	26.91	0.00	26.91	90.0	24.22
05/17/2018	TOBIAS, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.28	0.00	2.28	90.0	2.05
05/17/2018	TOBIAS, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.85	0.00	6.85	90.0	6.17
05/17/2018	SPECTER, SHELBY	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.43	0.00	1.43	90.0	1.29
05/17/2018	SPECTER, SHELBY	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.28	0.00	4.28	90.0	3.85
05/17/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	20.64	0.00	20.64	90.0	18.58
05/17/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	61.92	0.00	61.92	90.0	55.73
05/17/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.29	0.00	7.29	90.0	6.56
05/17/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.86	0.00	21.86	90.0	19.67
05/17/2018	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.42	0.00	10.42	90.0	9.38
05/17/2018	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.26	0.00	31.26	90.0	28.13
05/17/2018	CACCESE, LOUIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.10	0.00	4.10	90.0	3.69
05/17/2018	CACCESE, LOUIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	12.29	0.00	12.29	90.0	11.06
05/17/2018	FARBMAN TRUST, DANIEL & SANDRAGRIFFIN	INST'L ACCESS R E FUND C	0.00	10.15	0.00	10.15	90.0	9.14
05/17/2018	FARBMAN TRUST, DANIEL & SANDRAGRIFFIN	INST'L ACCESS R E FUND C	0.00	30.46	0.00	30.46	90.0	27.41
05/17/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.31	0.00	4.31	90.0	3.88
05/17/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.10	0.00	6.10	90.0	5.49
05/17/2018	PERETTI, FRANCES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.11	0.00	4.11	90.0	3.70
05/17/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.13	0.00	5.13	90.0	4.62

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/31/2018	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.52	0.00	12.52	90.0	11.27
05/31/2018	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.25	0.00	4.25	90.0	3.83
05/17/2018	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.86	0.00	3.86	90.0	3.47
05/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	87.76	0.00	87.76	90.0	78.98
05/17/2018	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	42.21	0.00	42.21	90.0	37.99
05/17/2018	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	38.43	0.00	38.43	90.0	34.59
05/17/2018	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	461.26	0.00	461.26	90.0	415.13
05/17/2018	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	11.60	0.00	11.60	90.0	10.44
05/17/2018	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.56	0.00	7.56	90.0	6.80
05/17/2018	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	8.06	0.00	8.06	90.0	7.25
05/17/2018	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	34.13	0.00	34.13	90.0	30.72
05/17/2018	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	31.86	0.00	31.86	90.0	28.67
05/17/2018	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	11.61	0.00	11.61	90.0	10.45
05/17/2018	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	24.41	0.00	24.41	90.0	21.97
05/17/2018	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	10.93	0.00	10.93	90.0	9.84
05/17/2018	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.79	0.00	2.79	90.0	2.51
05/17/2018	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.79	0.00	2.79	90.0	2.51
05/17/2018	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.19	0.00	4.19	90.0	3.77
05/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	75.31	0.00	75.31	90.0	67.78
05/17/2018	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	46.97	0.00	46.97	90.0	42.27
05/17/2018	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	21.61	0.00	21.61	90.0	19.45
05/17/2018	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	14.85	0.00	14.85	90.0	13.37
05/17/2018	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	21.62	0.00	21.62	90.0	19.46
05/17/2018	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	22.67	0.00	22.67	90.0	20.40
05/17/2018	WILSON, KEVIN	HARTFORD DIVIDEND & GROWTH C	0.00	4.27	0.00	4.27	90.0	3.84
05/17/2018	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	44.55	0.00	44.55	90.0	40.10
05/17/2018	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	19.94	0.00	19.94	90.0	17.95
05/17/2018	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	10.75	0.00	10.75	90.0	9.68
05/17/2018	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.11	0.00	4.11	90.0	3.70
05/18/2018	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.08	0.00	1.08	90.0	0.97
05/11/2018	BOWERS, DORA	JN DISCIPLINED VALUE INT'L A	0.00	0.17	0.00	0.17	90.0	0.15
05/30/2018	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.63	0.00	0.63	90.0	0.57
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.99	0.00	2.99	90.0	2.69

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.09	0.00	2.09	90.0	1.88
05/18/2018	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.78	0.00	2.78	90.0	2.50
05/18/2018	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	7.76	0.00	7.76	90.0	6.98
05/18/2018	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.50	0.00	2.50	90.0	2.25
05/18/2018	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	116.23	0.00	116.23	90.0	104.61
05/18/2018	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	119.08	0.00	119.08	90.0	107.17
05/18/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	28.61	0.00	28.61	90.0	25.75
05/18/2018	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	19.40	0.00	19.40	90.0	17.46
05/18/2018	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.90	0.00	0.90	90.0	0.81
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	6.98	0.00	6.98	90.0	6.28
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.28	0.00	1.28	90.0	1.15
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	8.17	0.00	8.17	90.0	7.35
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.79	0.00	1.79	90.0	1.61
05/18/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.38	0.00	0.38	90.0	0.34
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.31	0.00	1.31	90.0	1.18
05/18/2018	APPLEBAUM, RHEA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.40	0.00	3.40	90.0	3.06
05/18/2018	ROMERO, MARIA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.19	0.00	0.19	90.0	0.17
05/18/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	10.45	0.00	10.45	90.0	9.41
05/18/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.68	0.00	5.68	90.0	5.11
05/18/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.93	0.00	5.93	90.0	5.34
05/18/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	13.91	0.00	13.91	90.0	12.52
05/18/2018	LIBERTY, SUSAN	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	0.01	0.00	0.01	90.0	0.01
05/18/2018	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	2.81	0.00	2.81	90.0	2.53
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.64	0.00	3.64	90.0	3.28
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.78	0.00	0.78	90.0	0.70
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	4.03	0.00	4.03	90.0	3.63
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.81	0.00	0.81	90.0	0.73
05/18/2018	APPLEBAUM, RHEA	LORD ABBETT SHORT DURATION INCOME A	0.00	2.05	0.00	2.05	90.0	1.85
05/18/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.47	0.00	1.47	90.0	1.32
05/18/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	19.90	0.00	19.90	90.0	17.91
05/18/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.67	0.00	1.67	90.0	1.50
05/18/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.69	0.00	2.69	90.0	2.42
05/18/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.06	0.00	2.06	90.0	1.85

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/18/2018	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	10.78	0.00	10.78	90.0	9.70
05/18/2018	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	96.56	0.00	96.56	90.0	86.90
05/18/2018	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	10.89	0.00	10.89	90.0	9.80
05/18/2018	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	3.88	0.00	3.88	90.0	3.49
05/18/2018	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.26	0.00	6.26	90.0	5.63
05/18/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	8.97	0.00	8.97	90.0	8.07
05/18/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.55	0.00	4.55	90.0	4.10
05/18/2018	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	12.69	0.00	12.69	90.0	11.42
05/21/2018	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.52	0.00	9.52	90.0	8.57
05/21/2018	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.90	0.00	2.90	90.0	2.61
05/21/2018	FARBMAN TRUST, DANIEL & SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.00	0.00	2.00	90.0	1.80
05/21/2018	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.49	0.00	0.49	90.0	0.44
05/21/2018	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.21	0.00	1.21	90.0	1.09
05/21/2018	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.77	0.00	0.77	90.0	0.69
05/11/2018	KROSNOWSKI, THOMAS	MFS CORE EQUITY A	0.00	0.45	0.00	0.45	90.0	0.41
05/11/2018	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	4.94	0.00	4.94	90.0	4.45
05/11/2018	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	12.29	0.00	12.29	90.0	11.06
05/11/2018	KROSNOWSKI, THOMAS	MFS EMERGING MARKETS EQUITY A	0.00	1.11	0.00	1.11	90.0	1.00
05/11/2018	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	7.69	0.00	7.69	90.0	6.92
05/11/2018	SPECTER TRUST, STEPHANIE	MFS GROWTH A	0.00	1.12	0.00	1.12	90.0	1.01
05/11/2018	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	12.27	0.00	12.27	90.0	11.04
05/11/2018	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	11.63	0.00	11.63	90.0	10.47
05/11/2018	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.69	0.00	0.69	90.0	0.62
05/11/2018	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND CLASS	0.00	1.05	0.00	1.05	90.0	0.95
05/11/2018	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS A	0.00	0.64	0.00	0.64	90.0	0.58
05/11/2018	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS A	0.00	1.52	0.00	1.52	90.0	1.37
05/11/2018	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	6.92	0.00	6.92	90.0	6.23
05/11/2018	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	16.57	0.00	16.57	90.0	14.91
05/11/2018	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH A	0.00	0.58	0.00	0.58	90.0	0.52
05/11/2018	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH A	0.00	1.38	0.00	1.38	90.0	1.24
05/11/2018	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH A	0.00	0.40	0.00	0.40	90.0	0.36
05/11/2018	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	6.43	0.00	6.43	90.0	5.79
05/11/2018	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	15.17	0.00	15.17	90.0	13.65

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/11/2018	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	4.40	0.00	4.40	90.0	3.96
05/11/2018	KROSNOWSKI, THOMAS	MFS RESEARCH A	0.00	0.41	0.00	0.41	90.0	0.37
05/11/2018	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	4.46	0.00	4.46	90.0	4.01
05/11/2018	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL A	0.00	1.86	0.00	1.86	90.0	1.67
05/11/2018	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	20.43	0.00	20.43	90.0	18.39
05/11/2018	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND A	0.00	0.85	0.00	0.85	90.0	0.77
05/11/2018	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	9.30	0.00	9.30	90.0	8.37
05/11/2018	SPECTER TRUST, BRADLEY	MFS VALUE A	0.00	0.62	0.00	0.62	90.0	0.56
05/11/2018	SPECTER TRUST, STEPHANIE	MFS VALUE A	0.00	0.96	0.00	0.96	90.0	0.86
05/11/2018	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	6.83	0.00	6.83	90.0	6.15
05/11/2018	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	10.62	0.00	10.62	90.0	9.56
05/24/2018	SCARPIGNATO, ANTHONY	NUVEEN HIGH YIELD MUNI A	0.00	3.07	0.00	3.07	90.0	2.76
05/17/2018	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.32	0.00	1.32	90.0	1.19
05/17/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.25	0.00	1.25	90.0	1.13
05/17/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.40	0.00	0.40	90.0	0.36
05/17/2018	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.63	0.00	0.63	90.0	0.57
05/17/2018	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.38	0.00	2.38	90.0	2.14
05/17/2018	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.06	0.00	3.06	90.0	2.75
05/17/2018	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.58	0.00	2.58	90.0	2.32
05/17/2018	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.74	0.00	1.74	90.0	1.57
05/17/2018	SCARPIGNATO, ANTHONY	OPPENHEIMER ROCHESTER NATIONAL MUNI	0.00	0.02	0.00	0.02	90.0	0.02
05/25/2018	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	252.57	0.00	252.57	90.0	227.31
05/15/2018	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	14.22	0.00	14.22	90.0	12.80
05/15/2018	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	3.20	0.00	3.20	90.0	2.88
05/16/2018	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	12.88	0.00	12.88	90.0	11.59
05/16/2018	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	17.59	0.00	17.59	90.0	15.83
05/16/2018	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.79	0.00	5.79	90.0	5.21
05/16/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	43.84	0.00	43.84	90.0	39.46
05/16/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	131.53	0.00	131.53	90.0	118.38
05/17/2018	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	11.06	0.00	11.06	90.0	9.95
05/17/2018	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.62	0.00	1.62	90.0	1.46
05/17/2018	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.35	0.00	0.35	90.0	0.32
05/17/2018	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	10.73	0.00	10.73	90.0	9.66

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/23/2018	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.49	0.00	0.49	90.0	0.44
05/21/2018	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	6.70	0.00	6.70	90.0	6.03
05/11/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	10.10	0.00	10.10	90.0	9.09
05/11/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	22.20	0.00	22.20	90.0	19.98
05/11/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	10.57	0.00	10.57	90.0	9.51
05/11/2018	BOWERS, DORA	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.20	0.00	0.20	90.0	0.18
05/11/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	18.18	0.00	18.18	90.0	16.36
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	8.25	0.00	8.25	90.0	7.43
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	1.08	0.00	1.08	90.0	0.97
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.73	0.00	0.73	90.0	0.66
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$4,228.86	\$0.00	\$4,228.86		\$3,806.06
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/29/2018	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	151.82	0.00	151.82	90.0	136.64
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$151.82	\$0.00	\$151.82		\$136.64
*** DIRECT TRANSACTIONS TOTAL ***			\$1,921,353.14	\$6,170.38	\$0.00	\$6,170.38		\$5,553.45

SPLIT TRANSACTIONS

<u>BROKER: J30 MARC/MICHAEL LINSKY/FOX #266</u>								
05/15/2018	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.17	0.00	1.17	90.0	1.05
05/15/2018	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.08	0.00	0.08	90.0	0.07
05/15/2018	BERK, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.77	0.00	1.77	90.0	1.59
05/17/2018	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.12	0.00	0.12	90.0	0.11
05/22/2018	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.66	0.00	0.66	90.0	0.59
05/17/2018	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.19	0.00	3.19	90.0	2.87
05/18/2018	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	1.13	0.00	1.13	90.0	1.02
05/18/2018	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	1.56	0.00	1.56	90.0	1.40
05/18/2018	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.96	0.00	3.96	90.0	3.56
05/23/2018	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	13.58	0.00	13.58	90.0	12.22
05/15/2018	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.64	0.00	0.64	90.0	0.58
05/16/2018	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	8.68	0.00	8.68	90.0	7.81
* J30 MARC/MICHAEL LINSKY/FOX SUBTOTAL *			\$0.00	\$36.54	\$0.00	\$36.54		\$32.87

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/JEFF/JON P/ FT-R134 NY RAUPP/KI #272</u>								
05/30/2018	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.22	0.00	0.22	90.0	0.20
* DAN/JEFF/JON P/ FT-R134 NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.22	\$0.00	\$0.22		\$0.20
<u>BROKER: DAN/MARC FT-C148 CHARLEY/LINS #315</u>								
05/15/2018	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.45	0.00	0.45	90.0	0.41
05/15/2018	NEELD, JUDEANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.30	0.00	1.30	90.0	1.17
05/15/2018	NEELD, JUDEANN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.33	0.00	3.33	90.0	3.00
05/17/2018	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	2.75	0.00	2.75	90.0	2.48
05/16/2018	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	2.49	0.00	2.49	90.0	2.24
05/17/2018	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	0.92	0.00	0.92	90.0	0.83
05/14/2018	ANDERSON, WILLIAM	CARILLON EAGLE GROWTH & INCOME FUND	0.00	6.31	0.00	6.31	90.0	5.68
05/14/2018	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	3.41	0.00	3.41	90.0	3.07
05/14/2018	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	2.07	0.00	2.07	90.0	1.86
05/21/2018	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	0.97	0.00	0.97	90.0	0.87
05/17/2018	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.42	0.00	10.42	90.0	9.38
05/17/2018	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.24	0.00	31.24	90.0	28.12
05/17/2018	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.54	0.00	13.54	90.0	12.19
05/17/2018	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	40.61	0.00	40.61	90.0	36.55
05/17/2018	GELFGAT, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.13	0.00	5.13	90.0	4.62
05/17/2018	GELFGAT, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.38	0.00	15.38	90.0	13.84
05/17/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.69	0.00	1.69	90.0	1.52
05/17/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.07	0.00	5.07	90.0	4.56
05/17/2018	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.09	0.00	5.09	90.0	4.58
05/17/2018	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.26	0.00	15.26	90.0	13.73
05/17/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.92	0.00	2.92	90.0	2.63
05/17/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.75	0.00	8.75	90.0	7.88
06/01/2018	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	28,000.00	140.00	0.00	140.00	90.0	126.00
05/18/2018	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	3.24	0.00	3.24	90.0	2.92
05/11/2018	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.43	0.00	0.43	90.0	0.39
05/17/2018	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.01	0.00	0.01	90.0	0.01
05/23/2018	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	1.66	0.00	1.66	90.0	1.49
05/11/2018	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.02	0.00	1.02	90.0	0.92
05/11/2018	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.60	0.00	0.60	90.0	0.54
* DAN/MARC FT-C148 CHARLEY/LINS SUBTOTAL *			\$28,000.00	\$326.06	\$0.00	\$326.06		\$293.48

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI #400								
05/17/2018	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.67	0.00	1.67	90.0	1.50
05/17/2018	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.19	0.00	1.19	90.0	1.07
* DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI SUBTOTAL *			\$0.00	\$2.86	\$0.00	\$2.86		\$2.57
*** SPLIT TRANSACTIONS TOTAL ***			\$28,000.00	\$365.68	\$0.00	\$365.68		\$329.12

ADJUSTMENTS

06/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
06/15/2018		*FEDERAL EXPRESS: 6-198-22435 5/30/18	0.00					-26.10
06/15/2018		*FEDERAL EXPRESS: 6-204-97039 6/6/18	0.00					-17.69
06/15/2018		*MARKETING LIBRARY: MARKETING LIBRARY PRO	0.00					-45.00
06/15/2018		*PERSHING: BOND REDEMPTION PNW099737 CUSIP# 89837LAA3	0.00					-2.50
06/15/2018		*PERSHING: MANDITORY REORG PNW099182 CUSIP 97382A200	0.00					-10.00
06/15/2018		*PERSHING: MANDITORY REORG PNW100378 CUSIP 97382A200	0.00					-10.00
06/15/2018		*PERSHING: MANDITORY REORG PNW100394 CUSIP 97382A200	0.00					-10.00
06/15/2018		*PERSHING: MANDITORY REORG PNW102408 CUSIP 003031101	0.00					-10.00
06/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-6.20
06/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-2.37
06/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-3.00
06/15/2018		*TECH: ADMIN-CHRISTINE GILMER	0.00					-100.00
06/15/2018		*TECH: ADMIN-LINDA BOND-HILTNER	0.00					-100.00
06/15/2018		*TECH: CURRENT MONTH TECH	0.00					-275.00
06/15/2018		*TECHNOLOGY: ADMIN D. LINSKY	0.00					-135.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,052.86

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$5,560.17
Split Transactions	\$329.12
Override Transactions	\$0.00
Adjustments	\$-1,052.86
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,836.43
Year-to-date Gross	\$199,093.08
Year-to-date Earnings	\$177,433.73