



# COMMISSION STATEMENT

June 04, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/25/2018	***-**-336I - REEKIE, JANICE	AMERICAN FUNDS 2030 TARGET DATE-C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 04000004425	BUY 3 Units @14.3800						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$50.00</b>	<b>\$0.50</b>	<b>\$0.00</b>	<b>\$0.50</b>		<b>\$0.48</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$50.00</b>	<b>\$0.50</b>	<b>\$0.00</b>	<b>\$0.50</b>		<b>\$0.48</b>

## OVERRIDE TRANSACTIONS

### **BROKER: RONALD DRAKE**

05/24/2018	***-**-06IO - MARVIN, BOBBI	AMERICAN BALANCED FUND-A	5,000.00	194.50	0.00	194.50	20.0	38.90
	ACCOUNT #: LSR012683	BUY 175 Units @28.6000						
05/25/2018	***-**-4427 - ZOGBY, MICHAEL	AMERICAN BALANCED FUND-A	116.00	3.19	0.00	3.19	20.0	0.64
	ACCOUNT #: 00084492487	BUY 4 Units @28.1200						
05/25/2018	***-**-7449 - JONES, D	AMERICAN BALANCED FUND-A	115.00	4.31	0.00	4.31	20.0	0.86
	ACCOUNT #: 00087990221	BUY 4 Units @28.4200						
05/21/2018	***-**-4932 - BAKER, STEVEN	AMERICAN HIGH-INCOME TRUST-A	75.00	2.06	0.00	2.06	20.0	0.41
	ACCOUNT #: 00086683340	BUY 7 Units @10.5000						
05/24/2018	***-**-06IO - MARVIN, BOBBI	AMERICAN HIGH-INCOME TRUST-A	3,000.00	72.00	0.00	72.00	20.0	14.40
	ACCOUNT #: LSR012683	BUY 285 Units @10.5200						
05/15/2018	***-**-989I - WOODCOCK,	CAPITAL INCOME BUILDER-A	62,000.00	1,240.00	0.00	1,240.00	20.0	248.00
		BUY 0 Units @0.0000						
05/21/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME BUILDER-A	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00086683340	BUY 2 Units @63.5300						
05/25/2018	***-**-7449 - JONES, D	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 00087990221	BUY 1 Units @63.6300						
05/21/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD BOND FUND-A	75.00	2.06	0.00	2.06	20.0	0.41
	ACCOUNT #: 00086683340	BUY 4 Units @20.2300						
05/15/2018	***-**-989I - WOODCOCK,	CAPITAL WORLD GROWTH AND INCOME FUN	24,500.00	490.00	0.00	490.00	20.0	98.00
		BUY 0 Units @0.0000						
05/21/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	3.44	0.00	3.44	20.0	0.69
	ACCOUNT #: 00086683340	BUY 2 Units @54.6900						
05/24/2018	***-**-06IO - MARVIN, BOBBI	CAPITAL WORLD GROWTH AND INCOME FUN	2,500.00	88.25	0.00	88.25	20.0	17.65
	ACCOUNT #: LSR012683	BUY 45 Units @55.1500						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: RONALD DRAKE (continued) </u></b>								
05/25/2018	***-**-4427 - ZOGBY, MICHAEL	EUROPACIFIC GROWTH FUND-A	85.00	2.34	0.00	2.34	20.0	0.47
	ACCOUNT #: 00084492487	BUY 1 Units @59.0200						
05/31/2018	***-**-108X - FOREST, NSPS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.81	0.00	0.81	20.0	0.16
	ACCOUNT #: LSR010522	BUY 1 Units @0.0000						
05/31/2018	***-**-336I - GETCHELL, FBO	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	20.0	0.01
	ACCOUNT #: LSR011101	BUY 1 Units @0.0000						
05/31/2018	***-**-06IO - MARVIN, BOBBI	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.23	0.00	0.23	20.0	0.05
	ACCOUNT #: LSR012683	BUY 1 Units @0.0000						
05/31/2018	***-**-027I - DAVIS, KATHRYN	FRANKLIN INCOME FUND CLASS A	0.00	1.03	0.00	1.03	20.0	0.21
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
05/31/2018	***-**-027I - DAVIS, KATHRYN	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	1.65	0.00	1.65	20.0	0.33
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
05/31/2018	***-**-027I - DAVIS, KATHRYN	FRK STRAT INC FND - CL A	0.00	1.44	0.00	1.44	20.0	0.29
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
/ /	***-**-989I - WOODCOCK,	FUNDAMENTAL INVESTORS-A	60,000.00	1,200.00	0.00	1,200.00	20.0	240.00
		BUY 0 Units @0.0000						
05/24/2018	***-**-06IO - MARVIN, BOBBI	FUNDAMENTAL INVESTORS-A	4,500.02	173.25	0.00	173.25	20.0	34.65
	ACCOUNT #: LSR012683	BUY 67 Units @66.6700						
/ /	***-**-989I - WOODCOCK,	GROWTH FUND OF AMERICA CLASS A	36,000.00	720.00	0.00	720.00	20.0	144.00
		BUY 0 Units @0.0000						
06/01/2018	***-**-25FB - DUNN, BRENDAN	LIFEMARK INVESTMENT ADVISORY FEE	59.29	59.29	0.00	59.29	15.0	8.89
	ACCOUNT #: 925287945	BUY 0 Units @0.0000						
06/01/2018	***-**-25FB - DUNN, BRENDAN	LIFEMARK INVESTMENT ADVISORY FEE	124.23	124.23	0.00	124.23	15.0	18.63
	ACCOUNT #: 925287941	BUY 0 Units @0.0000						
06/01/2018	***-**-52FB - DUNN, MICHELE	LIFEMARK INVESTMENT ADVISORY FEE	128.35	128.35	0.00	128.35	15.0	19.25
	ACCOUNT #: 925287955	BUY 0 Units @0.0000						
05/31/2018	***-**-027I - DAVIS, KATHRYN	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.91	0.00	0.91	20.0	0.18
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						
/ /	***-**-989I - WOODCOCK,	NEW PERSPECTIVE FUND-A	25,000.00	500.00	0.00	500.00	20.0	100.00
		BUY 0 Units @0.0000						
05/31/2018	***-**-027I - DAVIS, KATHRYN	TEMP GLOBAL BOND FND - CL A	0.00	1.41	0.00	1.41	20.0	0.28
	ACCOUNT #: LSR010492	BUY 1 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: RONALD DRAKE (continued)</u> </b>								
05/24/2018	***-**-06IO - MARVIN, BOBBI ACCOUNT #: LSR012683	THE GROWTH FUND OF AMERICA-A BUY 89 Units @56.2100	4,999.99	194.50	0.00	194.50	20.0	38.90
05/25/2018	***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487	THE GROWTH FUND OF AMERICA-A BUY 3 Units @55.2600	150.00	4.13	0.00	4.13	20.0	0.83
05/25/2018	***-**-7449 - JONES, D ACCOUNT #: 00087990221	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.8400	50.00	1.88	0.00	1.88	20.0	0.38
05/21/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	THE INCOME FUND OF AMERICA-A BUY 6 Units @23.9100	150.00	4.13	0.00	4.13	20.0	0.83
05/25/2018	***-**-7449 - JONES, D ACCOUNT #: 00087990221	THE INCOME FUND OF AMERICA-A BUY 5 Units @24.0200	125.00	4.69	0.00	4.69	20.0	0.94
05/15/2018	***-**-989I - WOODCOCK,	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	34,545.40	690.91	0.00	690.91	20.0	138.18
05/25/2018	***-**-7449 - JONES, D ACCOUNT #: 00087990221	WASHINGTON MUTUAL INVESTORS FUND-A BUY 2 Units @48.4000	118.33	4.44	0.00	4.44	20.0	0.89
* RONALD DRAKE SUBTOTAL *			<b>\$263,691.61</b>	<b>\$5,924.09</b>	<b>\$0.00</b>	<b>\$5,924.09</b>		<b>\$1,169.24</b>
<b> <u>BROKER: RONALD CIOTOLI</u> </b>								
05/30/2018	***-**-7556 - WALKER, RANDY	JOHN HANCOCK VENTURE VA MFC GL LS M BUY 0 Units @0.0000	0.00	8.03	0.00	8.03	35.0	2.81
06/01/2018	***-**-39FB - CONTI, ELLEN ACCOUNT #: 925300576	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	196.16	196.16	0.00	196.16	35.0	68.66
06/01/2018	***-**-18FB - GORDON, DIANE ACCOUNT #: 925325360	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	236.35	236.35	0.00	236.35	35.0	82.72
06/01/2018	***-**-1730 - BENZI, ROBERT ACCOUNT #: 925340599	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	241.30	241.30	0.00	241.30	35.0	84.46
05/31/2018	***-**-295P - MILLER, PAUL ACCOUNT #: 77043335701	NYA JPM 529 CONSV GROWTH A BUY 0 Units @0.0000	200.00	8.80	0.00	8.80	35.0	3.08
05/25/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE INCOME FUND OF AMERICA-A BUY 8 Units @23.5300	190.00	3.80	0.00	3.80	35.0	1.33
05/25/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE INCOME FUND OF AMERICA-A BUY 2 Units @23.5300	57.00	1.14	0.00	1.14	35.0	0.40
* RONALD CIOTOLI SUBTOTAL *			<b>\$1,120.81</b>	<b>\$695.58</b>	<b>\$0.00</b>	<b>\$695.58</b>		<b>\$243.46</b>



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## BROKER TRANSACTIONS (continued)

*** OVERRIDE TRANSACTIONS TOTAL ***	\$264,812.42	\$6,619.67	\$0.00	\$6,619.67	\$1,412.70
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## BROKER COMMISSION TOTALS

Broker Transactions	\$0.48
Split Transactions	\$0.00
Override Transactions	\$1,412.70
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$37.06
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,450.24
Year-to-date Earnings	\$13,714.98