



All Companies

07/12/2018
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COMMISSION STATEMENT July 16, 2018

JEFFREY ROTHSCHILD
609 Gunnison Dr.

BROKER #: 9100
BRANCH: HOME OFFICE

ARLINGTON, TX 76006-

COMMISSION STATEMENT for JEFFREY ROTHSCHILD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	-PUTNAM	B	38.97	38.97	0.00	38.97	70.0	27.28	
07/02/2018	00075227122	GAYLORD, CYNTHIA	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	70.0	1.32
07/02/2018	00089965164	YETTER, CHRISTINA	NEW PERSPECTIVE FUND-529A	B	100.00	4.25	0.00	4.25	70.0	2.98
	B.									
07/02/2018	00089965211	TRIPPLE, JAMES M.	NEW PERSPECTIVE FUND-529A	B	100.00	4.25	0.00	4.25	70.0	2.98
06/25/2018	7500540727	HESS, MICHAEL &	OPPENHEIMER GLOBAL FD CL A	B	100.00	4.75	0.00	4.75	70.0	3.33
	LORA									
* MUTUAL FUNDS SUBTOTAL *					<u>\$388.97</u>	<u>\$54.10</u>	<u>\$0.00</u>	<u>\$54.10</u>		<u>\$37.89</u>

PRODUCT CATEGORY: VARIABLE ANNUITIES

06/30/2018	TRAILS	-HARTFORD LIFE	B	413.27	413.27	0.00	413.27	70.0	289.29
06/30/2018	TRAILS	-NATIONWIDE LIFE	B	1,199.85	0.00	0.00	0.00	70.0	0.00
06/30/2018	TRAILS	-NATIONWIDE LIFE	B	1,199.85	1,199.85	0.00	1,199.85	70.0	839.90
07/02/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	213.53	213.53	0.00	213.53	70.0	149.47
07/07/2018	TRAILS	AXA ACCUMULATOR	B	187.28	187.28	0.00	187.28	70.0	131.10
06/15/2018	GOETZ, CAROLYN S	CANADA LIFE VA	B	171.52	2.58	0.00	2.58	70.0	1.81
06/29/2018	TRAILS	COMMONWEALTH LIFE	B	45.80	0.00	0.00	0.00	70.0	0.00
06/29/2018	TRAILS	COMMONWEALTH LIFE	B	45.80	45.80	0.00	45.80	70.0	32.06
06/30/2018	TRAILS	LINCOLN NATIONAL LIFE INSURANCE COM B		157.27	0.00	0.00	0.00	70.0	0.00
06/30/2018	TRAILS	LINCOLN NATIONAL LIFE INSURANCE COM B		157.27	157.27	0.00	157.27	70.0	110.09
06/30/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	761.04	0.00	0.00	0.00	70.0	0.00
06/30/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	761.04	761.04	0.00	761.04	70.0	532.73
* VARIABLE ANNUITIES SUBTOTAL *				\$5,313.52	\$2,980.62	\$0.00	\$2,980.62		\$2,086.45

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/16/2018 TRAIL COMMISSIONS	OPPENHEIMER FUNDS FEES	0.00	1.77	0.00	1.77	70.0	1.24
07/16/2018 TRAIL COMMISSIONS	INVESCO FAMILY OF FUNDS FEES	0.00	10.04	0.00	10.04	70.0	7.02
07/16/2018 TRAIL COMMISSIONS	INVESCO LTD FEES	0.00	61.68	0.00	61.68	70.0	43.21
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$73.49	\$0.00	\$73.49		\$51.47
*** BROKER TRANSACTIONS TOTAL ***		\$5,702.49	\$3,108.21	\$0.00	\$3,108.21		\$2,175.81

COMMISSION STATEMENT for JEFFREY ROTHSCHILD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: ROTHSCHILD/POOL #9629									
06/18/2018	CAMAGANACAN, SIXTO	RELIASTAR LIFE INSURANCE	B	768.00	13.82	0.00	13.82	70.0	9.67
06/28/2018	ANGELO, CONCORDIA/ORLAN	RELIASTAR LIFE INSURANCE	B	206.82	3.10	0.00	3.10	70.0	2.17
06/25/2018	0000000000003549 CAMAGANACAN, SIXTO/ROSALINA	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	0.30	0.00	0.30	70.0	0.21
06/25/2018	0000000000817890 TEN, JT	FRANKLIN VALUE FD CL II	B	100.00	0.60	0.00	0.60	70.0	0.42
06/25/2018	0000000001223650 TEN, JT	FRANKLIN 102 AGE HIGH INCOME FD	C B	50.00	0.30	0.00	0.30	70.0	0.21
06/25/2018	0000000003564376 TEN, JT	TEMP GLOBAL BOND FND - CL C	B	50.00	0.30	0.00	0.30	70.0	0.21
07/02/2018	00063666255 JACKSON, STACY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	3.00	0.00	3.00	70.0	2.10
07/02/2018	00064082782 KRAATZ, MATTHEW	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	50.00	1.28	0.00	1.28	70.0	0.90
06/29/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS INVESTMENT COMP OF A B	B	100.00	2.55	0.00	2.55	70.0	1.79
06/29/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	2.55	0.00	2.55	70.0	1.79
06/29/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	2.55	0.00	2.55	70.0	1.79
07/16/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	569.80	0.00	569.80	70.0	398.89
* ROTHSCHILD/POOL SUBTOTAL *				<u>\$1,674.82</u>	<u>\$600.15</u>	<u>\$0.00</u>	<u>\$600.15</u>		<u>\$420.15</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$1,674.82</u>	<u>\$600.15</u>	<u>\$0.00</u>	<u>\$600.15</u>		<u>\$420.15</u>

ADJUSTMENTS

07/16/2018	POSTAGE: POSTAGE			0.00	0.00	0.00	0.00		-0.47
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-0.47</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,564.23
Split Transactions	\$31.73
Override Transactions	\$0.00
Adjustments	\$-0.47
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,595.49
Year-to-date Earnings	\$35,125.36