

**COMMISSION STATEMENT****December 15, 2017**

G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRELLI/
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: G0B
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^12/04/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	-27,141.00	22.00	22.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-27,141.00	\$22.00	\$22.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^12/05/2017	GROVA, BARBARA	ARMOUR RESIDENTIAL REIT INC COM NEW	-4,804.67	25.00	22.50	2.50	100.0	0.00
^12/05/2017	GROVA, BARBARA	BLACKSTONE / GSO STRATEGIC CR FD CO	-2,373.38	25.00	22.50	2.50	100.0	0.00
^12/05/2017	GROVA, BARBARA	GLOBAL NET LEASE INC COM	-10,738.75	25.00	22.75	2.25	100.0	0.00
^12/04/2017	MAKRIDES, MARIE	VANECK VECTORS ETF TR JR GOLD MINER	-19,293.04	50.00	22.92	27.08	100.0	0.00
* STOCKS SUBTOTAL *			\$-37,209.84	\$125.00	\$90.67	\$34.33		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-64,350.84	\$147.00	\$112.67	\$34.33		\$0.00

DIRECT TRANSACTIONS**PRODUCT CATEGORY: PRIVATE PLACEMENT**

^11/22/2017	VALERI, JOSEPH	GPB AUTOMOTIVE PORTFOLIO LP	200,000.00	14,000.00	0.00	14,000.00	100.0	0.00
^12/12/2017	REVERE, TERRENCE	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$300,000.00	\$21,000.00	\$0.00	\$21,000.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

^11/09/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	18.19	0.00	18.19	100.0	0.00
^11/07/2017	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	58.00	0.00	58.00	100.0	0.00
^11/07/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	5.61	0.00	5.61	100.0	0.00
^11/16/2017	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.02	0.00	2.02	100.0	0.00
^11/20/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	12.48	0.00	12.48	100.0	0.00
^11/20/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.10	0.00	5.10	100.0	0.00
^11/20/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.26	0.00	0.26	100.0	0.00
^11/20/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.53	0.00	8.53	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^11/20/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.27	0.00	2.27	100.0	0.00
^11/20/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.31	0.00	10.31	100.0	0.00
^11/20/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.10	0.00	3.10	100.0	0.00
^11/20/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	16.37	0.00	16.37	100.0	0.00
^11/20/2017	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	8.86	0.00	8.86	100.0	0.00
^11/20/2017	STARK, LINDA	MAINSTAY MAP A	0.00	1.99	0.00	1.99	100.0	0.00
^11/16/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	10.07	0.00	10.07	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$163.16	\$0.00	\$163.16		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$300,000.00	\$21,163.16	\$0.00	\$21,163.16		\$0.00

ADJUSTMENTS

12/15/2017	*: PERSHING:OUTGOING TRANS WALBURG	0.00						0.00
12/15/2017	*: PERSHING:REORG FEE J JOHNSON	0.00						0.00
12/15/2017	*PERSHING: MARKUP TICKET CHARGES 5	0.00						0.00
12/15/2017	*TECH: ADMIN FULMER	0.00						0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.11
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.11
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00