



Concorde Investment Services, LLC

09/28/2017
Page No. 1

COMMISSION STATEMENT

September 30, 2017

JENNIFER DONAIS
78955 Via Trieste

BROKER #: D134
BRANCH: TRUWEALTH FINANCIAL

LaQuinta, CA 92253-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	EDISS, ALEXANDER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	35.86	0.00	35.86	90.0	32.27
09/01/2017	EDISS, ALEXANDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	39.48	0.00	39.48	90.0	35.53
09/01/2017	RIVERA, MARISA	AM FUNDS GROWTH PORT 529A	0.00	6.14	0.00	6.14	90.0	5.53
09/01/2017	RIVERA, MARISA	AM FUNDS GROWTH PORT 529A	0.00	6.14	0.00	6.14	90.0	5.53
09/15/2017	LUCENTI, CHRISTINA	JH LIFESTYLE BALANCED PF C	0.00	18.68	0.00	18.68	90.0	16.81
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$106.30	\$0.00	\$106.30		\$95.67
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
09/15/2017	DONAIS, VARIOUS	PRINCIPAL LIFE VUL	0.00	32.97	0.00	32.97	90.0	29.67
* LIFE INSURANCE SUBTOTAL *			\$0.00	\$32.97	\$0.00	\$32.97		\$29.67
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
09/15/2017	DONAIS, VARIOUS	PRINCIPAL LIFE VUL	0.00	21.69	0.00	21.69	90.0	19.52
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$21.69	\$0.00	\$21.69		\$19.52
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$160.96	\$0.00	\$160.96		\$144.86

SPLIT TRANSACTIONS

BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333

09/15/2017	HATLESTAD, PATRICK	PRINCIPAL LIFE	0.00	349.17	0.00	349.17	90.0	314.25
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$0.00	\$349.17	\$0.00	\$349.17		\$314.25

BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335

09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.09	0.00	0.09	90.0	0.08
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.09	0.00	0.09	90.0	0.08
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.08	0.00	0.08	90.0	0.07
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.09	0.00	0.09	90.0	0.08
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.08	0.00	0.08	90.0	0.07

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.06	0.00	0.06	90.0	0.05
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.07	0.00	0.07	90.0	0.06
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.07	0.00	0.07	90.0	0.06
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.10	0.00	0.10	90.0	0.09
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.10	0.00	0.10	90.0	0.09
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.10	0.00	0.10	90.0	0.09
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.10	0.00	0.10	90.0	0.09
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.05	0.00	0.05	90.0	0.05
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.22	0.00	0.22	90.0	0.20
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.19	0.00	0.19	90.0	0.17
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.03	0.00	0.03	90.0	0.03
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.03	0.00	0.03	90.0	0.03
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.03	0.00	0.03	90.0	0.03
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.14	0.00	0.14	90.0	0.13
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.14	0.00	0.14	90.0	0.13
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.14	0.00	0.14	90.0	0.13
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.14	0.00	0.14	90.0	0.13
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.14	0.00	0.14	90.0	0.13
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.11	0.00	0.11	90.0	0.10
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.10	0.00	0.10	90.0	0.09
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.10	0.00	0.10	90.0	0.09
09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.14	0.00	0.14	90.0	0.13
09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.14	0.00	0.14	90.0	0.13
09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.14	0.00	0.14	90.0	0.13
09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.14	0.00	0.14	90.0	0.13
09/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.06	0.00	0.06	90.0	0.05
09/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.34	0.00	0.34	90.0	0.31
09/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.28	0.00	0.28	90.0	0.25
09/20/2017	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.03	0.00	0.03	90.0	0.03
09/20/2017	LEE, DONALD	AM FUNDS ICA 529C	17.00	0.03	0.00	0.03	90.0	0.03
09/20/2017	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.03	0.00	0.03	90.0	0.03

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
09/01/2017	UNTIL AGE 21, MN/UTMA	AM FUNDS ICA A	0.00	0.14	0.00	0.14	90.0	0.13
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.15	0.00	0.15	90.0	0.14
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.15	0.00	0.15	90.0	0.14
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.15	0.00	0.15	90.0	0.14
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.15	0.00	0.15	90.0	0.14
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.16	0.00	0.16	90.0	0.14
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.12	0.00	0.12	90.0	0.11
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.11	0.00	0.11	90.0	0.10
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.11	0.00	0.11	90.0	0.10
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.14	0.00	0.14	90.0	0.13
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.14	0.00	0.14	90.0	0.13
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.14	0.00	0.14	90.0	0.13
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.14	0.00	0.14	90.0	0.13
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.14	0.00	0.14	90.0	0.13
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.06	0.00	0.06	90.0	0.05
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.31	0.00	0.31	90.0	0.28
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.27	0.00	0.27	90.0	0.24
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.03	0.00	0.03	90.0	0.03
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	16.50	0.03	0.00	0.03	90.0	0.03
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.03	0.00	0.03	90.0	0.03
09/01/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.15	0.00	0.15	90.0	0.14
09/20/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	50.00	0.43	0.00	0.43	90.0	0.39
09/01/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.08	0.00	0.08	90.0	0.07
09/01/2017	RASMUSSEN, CLINTON	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.05	0.00	0.05	90.0	0.05
09/20/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	50.00	0.43	0.00	0.43	90.0	0.39
09/08/2017	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	8.88	0.00	8.88	90.0	7.99
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$500.00	\$16.85	\$0.00	\$16.85		\$15.27
*** SPLIT TRANSACTIONS TOTAL ***			\$500.00	\$366.02	\$0.00	\$366.02		\$329.52

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ADJUSTMENTS

09/30/2017		*TECHNOLOGY: NEW COMPUTER SETUP	0.00					-250.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-250.00

BROKER COMMISSION TOTALS

Broker Transactions	\$144.86
Split Transactions	\$329.52
Override Transactions	\$0.00
Adjustments	\$-250.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-140.61
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$83.77
Year-to-date Gross	\$20,056.24
Year-to-date Earnings	\$17,380.85

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