



COMMISSION STATEMENT

February 05, 2018

MICHAEL PINEDA
2068 N Emerson St
Denver, CO 80205

BROKER #: B14
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
01/26/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	ROKU INC COM CL A BUY 110 Units @44.2000	4,862.00	59.50	17.20	42.30	75.0	31.73
01/26/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	TOWER SEMICONDUCTOR LTD ORD ILS1 SELL 650 Units @34.1500	22,197.50	148.33	28.00	120.33	75.0	90.25
* STOCKS SUBTOTAL *			\$27,059.50	\$207.83	\$45.20	\$162.63		\$121.98
<u>PRODUCT CATEGORY: OPTIONS</u>								
01/30/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	CALL (AMD) ADVANCED MICRO FEB 16 18 BUY 10 Units @45.0000	450.00	51.75	28.00	23.75	75.0	17.81
01/30/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	CALL (CSCO) CISCO SYS INC COM MAR 1 BUY 1 Units @55.0000	55.00	1.75	19.00	-17.25	100.0	-17.25
01/30/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	CALL (CSCO) CISCO SYS INC COM MAR 1 BUY 6 Units @55.0000	330.00	44.75	6.00	38.75	75.0	29.06
01/30/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	CALL (CSCO) CISCO SYS INC COM MAR 1 BUY 3 Units @55.0000	165.00	5.25	3.00	2.25	75.0	1.69
* OPTIONS SUBTOTAL *			\$1,000.00	\$103.50	\$56.00	\$47.50		\$31.31
<u>PRODUCT CATEGORY: 529 PLAN</u>								
01/31/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: 0045785219	SCHOLARS CHOICE 529 AGE-BASED OPTIO BUY 0 Units @0.0000	0.00	280.00	0.00	280.00	75.0	210.00
* 529 PLAN SUBTOTAL *			\$0.00	\$280.00	\$0.00	\$280.00		\$210.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/31/2018	***-**-1595 - ASMUS, BETTY ACCOUNT #: 1012151817	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	37.08	0.00	37.08	75.0	27.81
01/31/2018	***-**-8383 - WHISLER, PAUL ACCOUNT #: 1017712752	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	10.35	0.00	10.35	75.0	7.76
01/31/2018	***-**-8383 - WHISLER, PAUL ACCOUNT #: 1017712876	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	9.43	0.00	9.43	75.0	7.07
01/31/2018	***-**-5707 - WHISLER, KATHRYN ACCOUNT #: 1017722928	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	0.85	0.00	0.85	75.0	0.64
01/31/2018	***-**-5707 - WHISLER, KATHRYN ACCOUNT #: 1017723568	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	6.79	0.00	6.79	75.0	5.09



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</u>								
01/31/2018	***-**-1994 - LEMKE, LYDIA ACCOUNT #: 1010807561	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	44.66	0.00	44.66	75.0	33.50
01/31/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: 1011515386	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	574.21	0.00	574.21	75.0	430.66
01/31/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: 1012905625	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	179.25	0.00	179.25	75.0	134.44
01/31/2018	***-**-2522 - BRINKLEY, ROGER ACCOUNT #: 1014657890	JACKSON NATIONAL PERSPECTIVE II BUY 0 Units @0.0000	0.00	330.37	0.00	330.37	75.0	247.78
01/31/2018	***-**-9610 - MOORE, MARVIN ACCOUNT #: 1014819610	JACKSON NATIONAL PERSPECTIVE II BUY 0 Units @0.0000	0.00	139.95	0.00	139.95	75.0	104.96
01/31/2018	***-**-4314 - SMITH, GREGORY ACCOUNT #: 1015209794	JACKSON NATIONAL PERSPECTIVE II BUY 0 Units @0.0000	0.00	47.63	0.00	47.63	75.0	35.72
01/31/2018	***-**-2440 - BARNETT, ELAINE ACCOUNT #: 1015587705	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	49.59	0.00	49.59	75.0	37.19
01/31/2018	***-**-8383 - WHISLER, PAUL ACCOUNT #: 1017712493	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	39.17	0.00	39.17	75.0	29.38
01/31/2018	***-**-8557 - PANUSKA, ACCOUNT #: 1017968838	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	100.99	0.00	100.99	75.0	75.74
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,570.32	\$0.00	\$1,570.32		\$1,177.74
*** BROKER TRANSACTIONS TOTAL ***			\$28,059.50	\$2,161.65	\$101.20	\$2,060.45		\$1,541.03



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				INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS									
							Broker Transactions		\$1,541.03
							Split Transactions		\$0.00
							Override Transactions		\$0.00
							Adjustments		\$0.00
							Payroll Draw		\$0.00
							Base Salary		\$0.00
							Prior Period Balance		\$0.00
							FINRA Assessment		\$0.00
							SIPC Assessment		\$0.00
							Net Commission Earned		\$1,541.03
							Year-to-date Earnings		\$1,541.03