



Concorde Investment Services, LLC

08/14/2018

Page No. 1

COMMISSION STATEMENT**August 15, 2018**NATHANIEL, K134 KUHN
612 S. Bristol LaneBROKER #: K134
BRANCH: KUHN FINANCIAL, INC

Arlington Heights, IL 60005-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
08/07/2018	BAUMANN, ALFRED	INLAND COLORADO MF PF IV DST	18,647.48	1,118.85	0.00	1,118.85	90.0	1,006.97
08/07/2018	MOCHEL, RICHARD	INLAND COLORADO MF PF IV DST	54,000.00	3,240.00	0.00	3,240.00	90.0	2,916.00
* 1031 EXCHANGES SUBTOTAL *			\$72,647.48	\$4,358.85	\$0.00	\$4,358.85		\$3,922.97
<u>PRODUCT CATEGORY: 529 PLANS</u>								
07/10/2018	MOLBERGER, KIMBERLY	AGGRESSIVE AGE BASED 0 - 02 - A	100.00	3.00	0.00	3.00	90.0	2.70
07/02/2018	MOLBERGER, KIMBERLY	AGGRESSIVE AGE BASED 06 - 08 - A	200.00	3.00	0.00	3.00	90.0	2.70
07/17/2018	SAAM, ROBERT	AGGRESSIVE AGE BASED 06 - 08 - A	111.00	6.00	0.00	6.00	90.0	5.40
07/05/2018	MOLBERGER, KIMBERLY	AGGRESSIVE AGE BASED 09 - 10 - A	200.00	3.00	0.00	3.00	90.0	2.70
07/16/2018	SAAM, ROBERT	AGGRESSIVE AGE BASED 09 - 10 - A	100.00	6.00	0.00	6.00	90.0	5.40
07/16/2018	BAXTER, KIMBERLY	AGGRESSIVE AGE BASED 09 - 10 - A	100.00	0.75	0.00	0.75	90.0	0.68
/ /	REHMAN, AFSHAN	FUND 80 A	0.00	2.25	0.00	2.25	90.0	2.03
07/11/2018	REHMAN, AFSHAN	FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
07/11/2018	REHMAN, AFSHAN	FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
07/20/2018	REHMAN, AFSHAN	FUND 80 A	50.00	2.25	0.00	2.25	90.0	2.03
07/25/2018	REHMAN, AFSHAN	FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
07/25/2018	REHMAN, AFSHAN	FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
/ /	BRANNAN, JESSE	MODERATE AGE BASED 0 - 02 - A	0.00	90.00	0.00	90.00	90.0	81.00
/ /	MARIQUINA, JACQUELINE	MODERATE AGE BASED 0 - 02 - A	0.00	0.30	0.00	0.30	90.0	0.27
/ /	MACK, ALEXANDER	MODERATE AGE BASED 0 - 02 - A	0.00	0.60	0.00	0.60	90.0	0.54
07/12/2018	LAMPI, KEITH	MODERATE AGE BASED 0 - 02 - A	3,000.00	4.98	0.00	4.98	90.0	4.48
07/16/2018	WASPI, GRANT	MODERATE AGE BASED 0 - 02 - A	50.00	1.50	0.00	1.50	90.0	1.35
07/17/2018	MACK, ALEXANDER	MODERATE AGE BASED 0 - 02 - A	250.00	7.50	0.00	7.50	90.0	6.75
07/17/2018	NORRIS, VALERIE	MODERATE AGE BASED 0 - 02 - A	150.00	4.50	0.00	4.50	90.0	4.05
07/17/2018	ROLLINGER, LAUREN	MODERATE AGE BASED 0 - 02 - A	30.00	0.90	0.00	0.90	90.0	0.81
07/17/2018	TUCCINI, MELISSA	MODERATE AGE BASED 0 - 02 - A	50.00	1.50	0.00	1.50	90.0	1.35
07/17/2018	DEUTSCH, SCOTT	MODERATE AGE BASED 0 - 02 - A	385.00	11.55	0.00	11.55	90.0	10.40

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: 529 PLANS								
07/17/2018	BRANNAN, JESSE	MODERATE AGE BASED 0 - 02 - A	250.00	7.50	0.00	7.50	90.0	6.75
07/17/2018	GASPER, JAYSON	MODERATE AGE BASED 0 - 02 - A	25.00	0.75	0.00	0.75	90.0	0.68
/ /	KLABACHA, JASON	MODERATE AGE BASED 06 - 08 - A	0.00	9.00	0.00	9.00	90.0	8.10
/ /	BRANNAN, JESSE	MODERATE AGE BASED 06 - 08 - A	0.00	120.00	0.00	120.00	90.0	108.00
/ /	MEYER, ELIZABETH	MODERATE AGE BASED 06 - 08 - A	0.00	0.75	0.00	0.75	90.0	0.68
/ /	CATANESE, ERIN	MODERATE AGE BASED 06 - 08 - A	0.00	15.00	0.00	15.00	90.0	13.50
/ /	COLAN, MICHAEL	MODERATE AGE BASED 06 - 08 - A	0.00	2.25	0.00	2.25	90.0	2.03
07/02/2018	KLABACHA, JASON	MODERATE AGE BASED 06 - 08 - A	300.00	9.00	0.00	9.00	90.0	8.10
07/02/2018	SILKAITIS, MYIA	MODERATE AGE BASED 06 - 08 - A	50.00	1.50	0.00	1.50	90.0	1.35
07/02/2018	LIM, SUSIE	MODERATE AGE BASED 06 - 08 - A	100.00	3.00	0.00	3.00	90.0	2.70
07/02/2018	REIDY, TIFFANY	MODERATE AGE BASED 06 - 08 - A	150.00	4.50	0.00	4.50	90.0	4.05
07/02/2018	BATTAGLIA, MICHAEL	MODERATE AGE BASED 06 - 08 - A	50.00	1.50	0.00	1.50	90.0	1.35
07/02/2018	BRANNAN, JESSE	MODERATE AGE BASED 06 - 08 - A	250.00	7.50	0.00	7.50	90.0	6.75
07/02/2018	NORRIS, VALERIE	MODERATE AGE BASED 06 - 08 - A	150.00	4.50	0.00	4.50	90.0	4.05
07/02/2018	CATANESE, JODY	MODERATE AGE BASED 06 - 08 - A	100.00	3.00	0.00	3.00	90.0	2.70
07/02/2018	COLAN, MICHAEL	MODERATE AGE BASED 06 - 08 - A	75.00	2.25	0.00	2.25	90.0	2.03
07/12/2018	MARTIN, JOELLYN	MODERATE AGE BASED 06 - 08 - A	4,000.00	7.50	0.00	7.50	90.0	6.75
07/16/2018	MARTIN, JOELLYN	MODERATE AGE BASED 06 - 08 - A	250.00	7.50	0.00	7.50	90.0	6.75
07/16/2018	LAMPI, KEITH	MODERATE AGE BASED 06 - 08 - A	667.00	20.01	0.00	20.01	90.0	18.01
07/16/2018	GREINER, PAUL	MODERATE AGE BASED 06 - 08 - A	150.00	4.50	0.00	4.50	90.0	4.05
07/17/2018	CONTRERAS, ANDY	MODERATE AGE BASED 06 - 08 - A	50.00	1.50	0.00	1.50	90.0	1.35
07/17/2018	SAYRE, MICHAEL	MODERATE AGE BASED 06 - 08 - A	50.00	1.50	0.00	1.50	90.0	1.35
07/17/2018	SAYRE, MICHAEL	MODERATE AGE BASED 06 - 08 - A	50.00	1.50	0.00	1.50	90.0	1.35
07/19/2018	CATANESE, ERIN	MODERATE AGE BASED 06 - 08 - A	500.00	15.00	0.00	15.00	90.0	13.50
/ /	WILKINS, AARON	MODERATE AGE BASED 09 - 10 - A	0.00	7.50	0.00	7.50	90.0	6.75
/ /	MEYER, ELIZABETH	MODERATE AGE BASED 09 - 10 - A	0.00	0.75	0.00	0.75	90.0	0.68
/ /	COLAN, MICHAEL	MODERATE AGE BASED 09 - 10 - A	0.00	2.25	0.00	2.25	90.0	2.03
07/02/2018	COLAN, MICHAEL	MODERATE AGE BASED 09 - 10 - A	75.00	2.25	0.00	2.25	90.0	2.03
07/02/2018	MUELLNER, MARK	MODERATE AGE BASED 09 - 10 - A	100.00	3.00	0.00	3.00	90.0	2.70
07/05/2018	LIEBERMAN, DAVID	MODERATE AGE BASED 09 - 10 - A	250.00	24.99	0.00	24.99	90.0	22.49
07/16/2018	LAMPI, KEITH	MODERATE AGE BASED 09 - 10 - A	833.00	24.99	0.00	24.99	90.0	22.49
07/17/2018	CONTRERAS, ANDY	MODERATE AGE BASED 09 - 10 - A	50.00	1.50	0.00	1.50	90.0	1.35
07/17/2018	COLAN, MICHAEL	MODERATE AGE BASED 09 - 10 - A	255.00	2.25	0.00	2.25	90.0	2.03

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
07/30/2018	COLAN, MICHAEL	MODERATE AGE BASED 09 - 10 - A	75.00	2.25	0.00	2.25	90.0	2.03
/ /	MUELLNER, MARK	MODERATE AGE BASED 11 - 12 - A	0.00	3.00	0.00	3.00	90.0	2.70
/ /	WILKINS, AARON	MODERATE AGE BASED 11 - 12 - A	0.00	7.50	0.00	7.50	90.0	6.75
07/02/2018	HINTCH, ELIZABETH	MODERATE AGE BASED 11 - 12 - A	917.00	27.51	0.00	27.51	90.0	24.76
07/02/2018	STETTER, MARIA	MODERATE AGE BASED 11 - 12 - A	50.00	1.50	0.00	1.50	90.0	1.35
07/05/2018	LIEBERMAN, DAVID	MODERATE AGE BASED 11 - 12 - A	250.00	24.99	0.00	24.99	90.0	22.49
07/16/2018	HIRSTIUS, KERRIE	MODERATE AGE BASED 11 - 12 - A	833.00	7.65	0.00	7.65	90.0	6.89
07/02/2018	LOPEZ, JOSE	MODERATE AGE BASED 17 - 18 - A	450.00	1.50	0.00	1.50	90.0	1.35
07/05/2018	ROSALES-HARRIGAN, ESTELA	MODERATE AGE BASED 17 - 18 - A	50.00	30.00	0.00	30.00	90.0	27.00
07/20/2018	ROSALES-HARRIGAN, ESTELA	MODERATE AGE BASED 17 - 18 - A	25.00	13.50	0.00	13.50	90.0	12.15
07/09/2018	ARATA, MATHEW	UBT AGGRESSIVE AGE BASED 03 - 05 -	111.00	3.33	0.00	3.33	90.0	3.00
07/17/2018	ARATA, MATHEW	UBT AGGRESSIVE AGE BASED 03 - 05 -	100.00	3.33	0.00	3.33	90.0	3.00
07/20/2018	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 11 - 12 -	100.00	0.75	0.00	0.75	90.0	0.68
07/05/2018	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 15 - 16 - A	50.00	3.00	0.00	3.00	90.0	2.70
07/13/2018	LOPEZ, JOSE	UBT MODERATE AGE BASED 15 - 16 - A	1,000.00	1.50	0.00	1.50	90.0	1.35
07/02/2018	LOPEZ, JOSE	UBT MODERATE AGE BASED 19+ - A	50.00	1.50	0.00	1.50	90.0	1.35
07/05/2018	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 19+ - A	50.00	3.00	0.00	3.00	90.0	2.70
/ /	BRANNAN, JESSE	UNION B&T MODERATE AGE BASED 03-05	0.00	120.00	0.00	120.00	90.0	108.00
/ /	WILSON, JOE	UNION B&T MODERATE AGE BASED 03-05	0.00	0.90	0.00	0.90	90.0	0.81
07/02/2018	WHITE, AMANDA	UNION B&T MODERATE AGE BASED 03-05	100.00	3.00	0.00	3.00	90.0	2.70
07/02/2018	REIDY, TIFFANY	UNION B&T MODERATE AGE BASED 03-05	150.00	4.50	0.00	4.50	90.0	4.05
07/02/2018	BRANNAN, JESSE	UNION B&T MODERATE AGE BASED 03-05	250.00	7.50	0.00	7.50	90.0	6.75
07/12/2018	ARIAS, MELISSA	UNION B&T MODERATE AGE BASED 03-05	4,000.00	9.00	0.00	9.00	90.0	8.10
07/16/2018	GREINER, PAUL	UNION B&T MODERATE AGE BASED 03-05	150.00	4.50	0.00	4.50	90.0	4.05
07/17/2018	CATANESE, JODY	UNION B&T MODERATE AGE BASED 03-05	100.00	3.00	0.00	3.00	90.0	2.70
07/17/2018	DEUTSCH, SCOTT	UNION B&T MODERATE AGE BASED 03-05	385.00	11.55	0.00	11.55	90.0	10.40
07/17/2018	TUCKER, BRANDON	UNION B&T MODERATE AGE BASED 03-05	250.00	7.50	0.00	7.50	90.0	6.75
07/17/2018	ROLLINGER, LAUREN	UNION B&T MODERATE AGE BASED 03-05	30.00	0.90	0.00	0.90	90.0	0.81
07/17/2018	ARIAS, MELISSA	UNION B&T MODERATE AGE BASED 03-05	300.00	9.00	0.00	9.00	90.0	8.10
07/17/2018	SILKAITIS, MYIA	UNION B&T MODERATE AGE BASED 03-05	50.00	1.50	0.00	1.50	90.0	1.35
07/17/2018	MARIQUINA, JACQUELINE	UNION B&T MODERATE AGE BASED 03-05	25.00	0.75	0.00	0.75	90.0	0.68
07/17/2018	MEGER, AMANDA	UNION B&T MODERATE AGE BASED 03-05	100.00	3.00	0.00	3.00	90.0	2.70
07/17/2018	TUCCINI, MELISSA	UNION B&T MODERATE AGE BASED 03-05	50.00	1.50	0.00	1.50	90.0	1.35

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
07/30/2018	ROLLINGER, LAUREN	UNION B&T MODERATE AGE BASED 03-05	75.00	0.90	0.00	0.90	90.0	0.81
* 529 PLANS SUBTOTAL *			\$23,732.00	\$796.40	\$0.00	\$796.40		\$716.84
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/01/2018	FUSCO, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	150.00	5.63	0.00	5.63	90.0	5.07
08/01/2018	FUSCO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
08/01/2018	LADUCA, BRIAN	FT CONSERVATIVE ALLOCATION C	50.00	0.50	0.00	0.50	90.0	0.45
07/20/2018	VOLKOV, ARTEM	MODERATE AGE BASED 09-10 - C	25.00	0.25	0.00	0.25	90.0	0.23
07/02/2018	MUELLNER, MARK	MODERATE AGE BASED 13 - 14 - A	100.00	3.00	0.00	3.00	90.0	2.70
07/20/2018	BATTAGLIA, MICHAEL	MODERATE AGE BASED 13 - 14 - A	100.00	3.00	0.00	3.00	90.0	2.70
/ /	VOLKOVER, SUSAN	UBT FUND 60 A	0.00	1.50	0.00	1.50	90.0	1.35
07/05/2018	VOLKOVER, SUSAN	UBT FUND 60 A	50.00	1.50	0.00	1.50	90.0	1.35
* MUTUAL FUNDS SUBTOTAL *			\$525.00	\$17.26	\$0.00	\$17.26		\$15.54
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/01/2018	GALLESE, ALLISON	JNL ELITE ACCESS	500.00	26.25	0.00	26.25	90.0	23.63
* VARIABLE ANNUITIES SUBTOTAL *			\$500.00	\$26.25	\$0.00	\$26.25		\$23.63
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/31/2018	LADUCA, BRIAN	FT CONSERVATIVE ALLOCATION C	0.00	3.58	0.00	3.58	90.0	3.22
07/31/2018	BIEGERT, LAUREN	FT GROWTH ALLOC A	0.00	44.79	0.00	44.79	90.0	40.31
07/31/2018	WEIDENFELLER, KIRSTEN	FT GROWTH ALLOC A	0.00	2.80	0.00	2.80	90.0	2.52
07/31/2018	GREINER, CARRIE	FT GROWTH ALLOC A	0.00	0.48	0.00	0.48	90.0	0.43
07/31/2018	WILSON, JOE	FT GROWTH ALLOC A	0.00	2.61	0.00	2.61	90.0	2.35
/ /	MEYER, WILLIAM	HARTFORD CHECKS AND BALANCES FUND A	0.00	6.33	0.00	6.33	90.0	5.70
/ /	LOPEZ, JOSE	HARTFORD CHECKS AND BALANCES FUND A	0.00	6.65	0.00	6.65	90.0	5.99
/ /	RAY, SCOTT	HARTFORD CONSERVATIVE ALLOC C	0.00	2.86	0.00	2.86	90.0	2.57
/ /	SANTOS, JAIMEE	HARTFORD FLOATING RATE C	0.00	12.25	0.00	12.25	90.0	11.03
/ /	STEFFEN, NATHAN	HARTFORD GROWTH ALLOCATION A	0.00	4.24	0.00	4.24	90.0	3.82
/ /	BIEGERT, LAUREN	HARTFORD GROWTH ALLOCATION A	0.00	14.06	0.00	14.06	90.0	12.65
/ /	FLORES, KARINA	HARTFORD GROWTH ALLOCATION A	0.00	0.76	0.00	0.76	90.0	0.68
/ /	STEFFEN, NATHAN	HARTFORD GROWTH OPP A	0.00	0.14	0.00	0.14	90.0	0.13
/ /	DELLAPORTAS, VASILIS	HARTFORD TOTAL RETURN BOND A	0.00	1.84	0.00	1.84	90.0	1.66
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$103.39	\$0.00	\$103.39		\$93.06

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/31/2018	RITCHIE, KARREN	PRU AS ADVISOR PLAN III	0.00	15.08	0.00	15.08	90.0	13.57
07/31/2018	RITCHIE, KARREN	PRU AS ADVISOR PLAN III	0.00	15.08	0.00	15.08	90.0	13.57
07/31/2018	RITCHIE, KARREN	PRU AS ADVISOR PLAN III	0.00	20.60	0.00	20.60	90.0	18.54
07/31/2018	RITCHIE, KARREN	PRU AS ADVISOR PLAN III	0.00	20.60	0.00	20.60	90.0	18.54
07/30/2018	LOIKA, GREGORY	SBL ADVISOR PROGRAM	0.00	7.29	0.00	7.29	90.0	6.56
07/30/2018	LOIKA, JENNIFER	SBL ADVISOR PROGRAM	0.00	7.29	0.00	7.29	90.0	6.56
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$85.94	\$0.00	\$85.94		\$77.34
*** DIRECT TRANSACTIONS TOTAL ***			\$97,404.48	\$5,388.09	\$0.00	\$5,388.09		\$4,849.38

ADJUSTMENTS

08/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
08/15/2018		*ALBRIDGE: FULL USER	0.00					-176.00
08/15/2018		*AUDIT FEE: BRANCH AUDIT 7-15-18	0.00					-750.00
08/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					1,881.00
08/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
08/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
08/15/2018		*PERSHING: MANDATORY REORG CUSIP PER001317MERGER PNW019164	0.00					-10.00
08/15/2018		*PERSHING: MANDATORY REORG CUSIP PER001317MERGER PNW019180	0.00					-10.00
08/15/2018		*PERSHING: MANDATORY REORG CUSIP PER025258 MERGER PNW019164	0.00					-10.00
08/15/2018		*PERSHING: MANDATORY REORG CUSIP PER025258 MERGER PNW019180	0.00					-10.00
08/15/2018		*TECH: ADMIN-K JALOWIECKI	0.00					-130.00
08/15/2018		*TECH: ADMIN-W CLARK	0.00					-130.00
08/15/2018		*TECHNOLOGY: ADMIN - MATT KUHN	0.00					-135.00
08/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$4,849.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,849.38
Year-to-date Gross	\$241,579.34
Year-to-date Earnings	\$217,422.56