

**COMMISSION STATEMENT****November 15, 2017**

THOMAS JAHNCKE
2050 Main Street
Suite 650
Irvine, CA 92614

BROKER #: J124 Terminated 08/05/2016
BRANCH: THOMAS JAHNCKE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
10/19/2017	BRINSER, LISA	ALLIANCEBERNSTEIN GROWTH A	0.00	1.71	0.00	1.71	0.0	0.00
10/19/2017	BRINSER, LISA	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	1.29	0.00	1.29	0.0	0.00
10/20/2017	JAHNCKE, JUDY	ALLIANZGI NFJ MID- CAP VALUE FUND C	0.00	1.34	0.00	1.34	0.0	0.00
10/19/2017	LOTT FAMILY TRUST	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.22	0.00	1.22	0.0	0.00
10/19/2017	LOTT FAMILY TRUST	AM FUNDS CAPITAL WORLD BOND A	0.00	1.99	0.00	1.99	0.0	0.00
10/19/2017	RUMBLE TRUST, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	4.43	0.00	4.43	0.0	0.00
10/19/2017	RUMBLE TRUST, JUDITH	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.91	0.00	7.91	0.0	0.00
10/19/2017	LOTT FAMILY TRUST	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.33	0.00	1.33	0.0	0.00
10/20/2017	BRINSER, LISA	CALAMOS GLOBAL GROWTH & INCOME C	0.00	5.23	0.00	5.23	0.0	0.00
10/20/2017	JAHNCKE, JUDY	CALAMOS GROWTH A	0.00	3.81	0.00	3.81	0.0	0.00
10/20/2017	RUMBLE TRUST, JUDITH	CALAMOS GROWTH C	0.00	39.23	0.00	39.23	0.0	0.00
10/16/2017	JAHNCKE, JUDY	DREYFUS RESEARCH GROWTH FUND CLASS	0.00	0.64	0.00	0.64	0.0	0.00
09/30/2017	GLOVER, NANCY	DUNHAM & ASSOCIATES	0.00	141.21	0.00	141.21	0.0	0.00
10/20/2017	JAHNCKE, JUDY	EAGLE CAPITAL APPRECIATION FUND CLA	0.00	2.09	0.00	2.09	0.0	0.00
10/16/2017	JAHNCKE TRUST, JUDY	EATON VANCE FLOATING-RATE ADVANTAGE	0.00	7.58	0.00	7.58	0.0	0.00
10/17/2017	RUMBLE TRUST, JUDITH	FEDERATED EQUITY INCOME FUND CLASS	0.00	19.81	0.00	19.81	0.0	0.00
10/12/2017	RUMBLE TRUST, JUDITH	FORWARD REAL ESTATE LONG/SHORT FUND	0.00	1.62	0.00	1.62	0.0	0.00
10/12/2017	JAHNCKE TRUST, JUDY	FORWARD SELECT INCOME FUND CLASS C	0.00	13.69	0.00	13.69	0.0	0.00
10/12/2017	RUMBLE TRUST, JUDITH	FORWARD SELECT INCOME FUND CLASS C	0.00	22.79	0.00	22.79	0.0	0.00
10/18/2017	RUMBLE TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.10	0.00	1.10	0.0	0.00
10/18/2017	RUMBLE TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.30	0.00	3.30	0.0	0.00
10/30/2017	RUMBLE TRUST, JUDITH	INVESCO ENERGY FUND CLASS C	0.00	29.91	0.00	29.91	0.0	0.00
10/11/2017	BRINSER, LISA	IVY ASSET STRATEGY FUND CLASS C	0.00	0.07	0.00	0.07	0.0	0.00
10/11/2017	BRINSER, LISA	IVY ASSET STRATEGY FUND CLASS C	0.00	12.87	0.00	12.87	0.0	0.00
10/24/2017	JAHNCKE TRUST, JUDY	MAINSTAY HIGH YIELD CORP BOND A	0.00	0.06	0.00	0.06	0.0	0.00
10/18/2017	JAHNCKE, JUDY	PUTNAM INVESTORS A	0.00	0.54	0.00	0.54	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
10/16/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	0.22	0.00	0.22	0.0	0.00
10/16/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	0.65	0.00	0.65	0.0	0.00
10/23/2017	RUMBLE TRUST, JUDITH	SIERRA CORE RETIREMENT FUND CLASS C	0.00	8.91	0.00	8.91	0.0	0.00
10/23/2017	BRINSER, LISA	TEMPLETON FOREIGN FUND C	0.00	3.26	0.00	3.26	0.0	0.00
10/16/2017	JAHNCKE TRUST, JUDY	THORNBURG INT'L VALUE A	0.00	10.62	0.00	10.62	0.0	0.00
10/16/2017	BRINSER, LISA	THORNBURG INVESTMENT INCOME BUILDER	0.00	2.16	0.00	2.16	0.0	0.00
10/16/2017	BRINSER, LISA	THORNBURG INVESTMENT INCOME BUILDER	0.00	4.68	0.00	4.68	0.0	0.00
10/16/2017	JAHNCKE, JUDY	THORNBURG INVESTMENT INCOME BUILDER	0.00	1.37	0.00	1.37	0.0	0.00
10/16/2017	JAHNCKE, JUDY	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.50	0.00	0.50	0.0	0.00
10/16/2017	JAHNCKE, JUDY	THORNBURG VALUE CL A	0.00	2.04	0.00	2.04	0.0	0.00
10/16/2017	BRINSER, LISA	THORNBURG VALUE CLASS C	0.00	2.43	0.00	2.43	0.0	0.00
10/16/2017	BRINSER, LISA	THORNBURG VALUE CLASS C	0.00	7.56	0.00	7.56	0.0	0.00
10/16/2017	JAHNCKE TRUST, JUDY	THORNBURG VALUE CLASS C	0.00	2.03	0.00	2.03	0.0	0.00
10/16/2017	JAHNCKE, JUDY	THORNBURG VALUE CLASS C	0.00	28.41	0.00	28.41	0.0	0.00
10/16/2017	RUMBLE TRUST, JUDITH	THORNBURG VALUE CLASS C	0.00	33.47	0.00	33.47	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$435.08	\$0.00	\$435.08		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$435.08	\$0.00	\$435.08		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$2,581.37
Year-to-date Earnings	\$0.00