

**COMMISSION STATEMENT****July 31, 2018**

BARRY, L122 LE MAY
2242 Camden Ave
Suite 102
San Jose, CA 95124

BROKER #: L122
BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/17/2018	BARDELL, PAULA	TD AMERITRADE	0.00	532.65	0.00	532.65	90.0	479.39
07/17/2018	BARRETT, MARYALYS	TD AMERITRADE	0.00	140.39	0.00	140.39	90.0	126.35
07/17/2018	BHASKARAN, SURESH	TD AMERITRADE	0.00	179.98	0.00	179.98	90.0	161.98
07/17/2018	SALVADORI, DANIELA	TD AMERITRADE	0.00	141.36	0.00	141.36	90.0	127.22
07/17/2018	DE LA ROSA, MARIA	TD AMERITRADE	0.00	249.91	0.00	249.91	90.0	224.92
07/17/2018	DE LA ROSA, RAMON	TD AMERITRADE	0.00	17.40	0.00	17.40	90.0	15.66
07/17/2018	CARPIO, IRMINA	TD AMERITRADE	0.00	1,278.93	0.00	1,278.93	90.0	1,151.04
07/17/2018	CODY, SUZANNA	TD AMERITRADE	0.00	244.41	0.00	244.41	90.0	219.97
07/17/2018	CONLIN, MARGARET	TD AMERITRADE	0.00	129.04	0.00	129.04	90.0	116.14
07/17/2018	DEL ROSARIO, BYRON	TD AMERITRADE	0.00	108.23	0.00	108.23	90.0	97.41
07/17/2018	DEMARTINI, ALLEN	TD AMERITRADE	0.00	271.68	0.00	271.68	90.0	244.51
07/17/2018	HARE, CLAIRE	TD AMERITRADE	0.00	346.92	0.00	346.92	90.0	312.23
07/17/2018	HAUWERT SR, GERARDUS	TD AMERITRADE	0.00	186.42	0.00	186.42	90.0	167.78
07/17/2018	BDH CONSULTANTS	TD AMERITRADE	0.00	505.52	0.00	505.52	90.0	454.97
07/17/2018	HURLEY, KENNETH	TD AMERITRADE	0.00	338.87	0.00	338.87	90.0	304.98
07/17/2018	HURLEY, KENNETH	TD AMERITRADE	0.00	309.58	0.00	309.58	90.0	278.62
07/17/2018	HURLEY, KENNETH	TD AMERITRADE	0.00	269.33	0.00	269.33	90.0	242.40
07/17/2018	HURLEY, KENNETH	TD AMERITRADE	0.00	138.99	0.00	138.99	90.0	125.09
07/17/2018	KACHULIS, CASSANDRA	TD AMERITRADE	0.00	91.39	0.00	91.39	90.0	82.25
07/17/2018	KACHULIS, CASSANDRA	TD AMERITRADE	0.00	155.61	0.00	155.61	90.0	140.05
07/17/2018	KACHULIS, CASSANDRA	TD AMERITRADE	0.00	399.39	0.00	399.39	90.0	359.45
07/17/2018	KACHULIS, CASSANDRA	TD AMERITRADE	0.00	197.38	0.00	197.38	90.0	177.64
07/17/2018	LAKE, DAVID	TD AMERITRADE	0.00	98.10	0.00	98.10	90.0	88.29
07/17/2018	LIU, GRANT	TD AMERITRADE	0.00	85.12	0.00	85.12	90.0	76.61
07/17/2018	MACKENZIE, MICHELLE	TD AMERITRADE	0.00	168.91	0.00	168.91	90.0	152.02
07/17/2018	NEWMAN, PAUL	TD AMERITRADE	0.00	166.59	0.00	166.59	90.0	149.93

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/17/2018	NEWMAN, PAUL	TD AMERITRADE	0.00	258.13	0.00	258.13	90.0	232.32
07/17/2018	LODI, EDWARD	TD AMERITRADE	0.00	148.89	0.00	148.89	90.0	134.00
07/17/2018	LODI, EDWARD	TD AMERITRADE	0.00	153.89	0.00	153.89	90.0	138.50
07/17/2018	LODI, EDWARD	TD AMERITRADE	0.00	98.36	0.00	98.36	90.0	88.52
07/17/2018	RODRIGUEZ, MARCOS	TD AMERITRADE	0.00	154.01	0.00	154.01	90.0	138.61
07/17/2018	RODRIGUEZ, MARCOS	TD AMERITRADE	0.00	146.38	0.00	146.38	90.0	131.74
07/17/2018	SANDHU, KAMINI SHARMA	TD AMERITRADE	0.00	359.71	0.00	359.71	90.0	323.74
07/17/2018	SMART, THOMAS	TD AMERITRADE	0.00	149.36	0.00	149.36	90.0	134.42
07/17/2018	TRAN, TRANG	TD AMERITRADE	0.00	102.95	0.00	102.95	90.0	92.66
07/17/2018	TRAN, TRANG	TD AMERITRADE	0.00	87.59	0.00	87.59	90.0	78.83
07/17/2018	VIZIR, VITALI	TD AMERITRADE	0.00	749.57	0.00	749.57	90.0	674.61
* RIA SUBTOTAL *			\$0.00	\$9,160.94	\$0.00	\$9,160.94		\$8,244.85
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$9,160.94	\$0.00	\$9,160.94		\$8,244.85

ADJUSTMENTS

07/31/2018	*MANAGED FEE EXPENSE: TDAMERITRADE	0.00						-208.73
	ACCT MIN. FEE							
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$-208.73

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BROKER COMMISSION TOTALS

Broker Transactions	\$8,244.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-208.73
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,036.12
Year-to-date Gross	\$46,662.79
Year-to-date Earnings	\$41,996.57