



Concorde Investment Services, LLC

08/29/2017  
Page No. 1

## COMMISSION STATEMENT

August 31, 2017

ROBERT (RUSTY) TWEED  
2060 Huntington Drive  
Suite 1  
San Marino, CA 91108

BROKER #: T117 Terminated 10/30/2015  
BRANCH: TWEED FINANCIAL

| DATE  | CLIENT                  | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                       |                         |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>            |                         |                                     |                      |                     |                    |                   |      |                      |
| 08/14/2017  | VAN DE BRAKE, MARY      | JH FINANCIAL INDUSTRIES A           | 0.00                 | 2.17                | 0.00               | 2.17              | 0.0  | 0.00                 |
| 08/14/2017  | VAN DE BRAKE, ARTHUR    | JH FINANCIAL INDUSTRIES A           | 0.00                 | 2.15                | 0.00               | 2.15              | 0.0  | 0.00                 |
| 08/14/2017  | PANTON, GARY            | JH GOVERNMENT INCOME A              | 0.00                 | 1.11                | 0.00               | 1.11              | 0.0  | 0.00                 |
| 08/14/2017  | PANTON, GARY            | JH GOVERNMENT INCOME A              | 0.00                 | 1.82                | 0.00               | 1.82              | 0.0  | 0.00                 |
| 08/14/2017  | FURUKAWA, CLARA         | JH STRATEGIC GROWTH A               | 0.00                 | 2.00                | 0.00               | 2.00              | 0.0  | 0.00                 |
| * MUTUAL FUNDS SUBTOTAL *                               |                         |                                     | \$0.00               | \$9.25              | \$0.00             | \$9.25            |      | \$0.00               |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                         |                                     |                      |                     |                    |                   |      |                      |
| 08/03/2017  | ENGSTROM, COLEMAN       | COLUMBIA ACORN INT'L A              | 0.00                 | 2.52                | 0.00               | 2.52              | 0.0  | 0.00                 |
| 08/03/2017  | PANTON, GARY T & DEBORA | COLUMBIA CALIFORNIA TAX-EXEMPT FUND | 0.00                 | 0.88                | 0.00               | 0.88              | 0.0  | 0.00                 |
| 08/03/2017  | UMBRELLO, ALBERT        | COLUMBIA LARGE CAP GROWTH A         | 0.00                 | 6.73                | 0.00               | 6.73              | 0.0  | 0.00                 |
| 08/03/2017  | UMBRELLO, ALBERT        | COLUMBIA SELIGMAN COMM AND INFO A   | 0.00                 | 5.52                | 0.00               | 5.52              | 0.0  | 0.00                 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL *                    |                         |                                     | \$0.00               | \$15.65             | \$0.00             | \$15.65           |      | \$0.00               |
| *** BROKER TRANSACTIONS TOTAL ***                       |                         |                                     | \$0.00               | \$24.90             | \$0.00             | \$24.90           |      | \$0.00               |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

|                       |         |
|-----------------------|---------|
| Broker Transactions   | \$0.00  |
| Split Transactions    | \$0.00  |
| Override Transactions | \$0.00  |
| Adjustments           | \$0.00  |
| Payroll Draw          | \$0.00  |
| Base Salary           | \$0.00  |
| Prior Period Balance  | \$-7.50 |
| FINRA Assessment      | \$0.00  |
| SIPC Assessment       | \$0.00  |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |                   |
|------------------------------|-------------------|
| <b>Balance Forward</b>       | <b>\$-7.50</b>    |
| <b>Year-to-date Gross</b>    | <b>\$9,171.07</b> |
| <b>Year-to-date Earnings</b> | <b>\$0.00</b>     |