



Concorde Investment Services, LLC

09/13/2017
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COMMISSION STATEMENT **September 15, 2017**

JOSEPH MICHALETZ
41474 Judson Bottom Road

North Mankato, MI 56003-

BROKER #: M138
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
08/16/2017	FLO LIV TRUST, SIGNE	BOURNE SENIORS HOUSING III	131,293.32	-8,534.07	0.00	-8,534.07	93.0	-7,936.69
08/30/2017	CORRIGAN TRUST, KEVIN	BOURNE SENIORS HOUSING III	325,000.00	22,750.00	0.00	22,750.00	93.0	21,157.50
08/28/2017	LANGHORST, STEVE	GPB AUTOMOTIVE PORTFOLIO LP	750,000.00	52,500.00	0.00	52,500.00	93.0	48,825.00
08/29/2017	ZIEGLER, DARRELL	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
08/30/2017	CORRIGAN TRUST, KEVIN	GPB AUTOMOTIVE PORTFOLIO LP	325,000.00	22,750.00	0.00	22,750.00	93.0	21,157.50
08/30/2017	WINCHESTER, WILLIAM	GPB AUTOMOTIVE PORTFOLIO LP	200,000.00	14,000.00	0.00	14,000.00	93.0	13,020.00
09/05/2017	CHRISTOFFER TRUST, BYRON	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
09/01/2017	HUGHES, STEPHEN	GPB WASTE MANAGEMENT LP	25,000.00	1,750.00	0.00	1,750.00	93.0	1,627.50
08/30/2017	CORRIGAN TRUST, KEVIN	HPI FUND V	325,000.00	19,500.00	0.00	19,500.00	93.0	18,135.00
09/05/2017	LARSON, CEASAR	SEALEY STRATEGIC EQUITY PARTNERS LP	2,000,000.00	65,000.00	0.00	65,000.00	93.0	60,450.00
09/05/2017	LARSON, CEASAR	SEALEY STRATEGIC EQUITY PARTNERS LP	100,000.00	2,250.00	0.00	2,250.00	93.0	2,092.50
* PRIVATE PLACEMENT SUBTOTAL *			\$4,281,293.32	\$198,965.93	\$0.00	\$198,965.93		\$185,038.31
<u>PRODUCT CATEGORY: OIL & GAS</u>								
08/28/2017	DIVENTURES LLC	WAVELAND RESOURCE PARTNERS IV	1,000,000.00	70,000.00	0.00	70,000.00	93.0	65,100.00
08/28/2017	LANGHORST, STEVE	WAVELAND RESOURCE PARTNERS IV	750,000.00	52,500.00	0.00	52,500.00	93.0	48,825.00
08/28/2017	WEIBLE IRREV TRUST, ARDIS	WAVELAND RESOURCE PARTNERS IV	250,000.00	17,500.00	0.00	17,500.00	93.0	16,275.00
08/30/2017	CORRIGAN TRUST, KEVIN	WAVELAND RESOURCE PARTNERS IV	200,000.00	14,000.00	0.00	14,000.00	93.0	13,020.00
08/30/2017	WINCHESTER, WILLIAM	WAVELAND RESOURCE PARTNERS IV	200,000.00	14,000.00	0.00	14,000.00	93.0	13,020.00
09/01/2017	FREDERICK, JULIE	WAVELAND RESOURCE PARTNERS IV	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
* OIL & GAS SUBTOTAL *			\$2,450,000.00	\$171,500.00	\$0.00	\$171,500.00		\$159,495.00
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
08/16/2017	TIMM FARMS INC	BOURNE POCONO DST	210,000.00	13,650.00	0.00	13,650.00	93.0	12,694.50
08/16/2017	SANGSTER, TOMMY	BOURNE POCONO DST	173,818.14	11,298.18	0.00	11,298.18	93.0	10,507.31
08/16/2017	DEMERRITT, JOY	BOURNE POCONO DST	148,429.39	9,647.91	0.00	9,647.91	93.0	8,972.56
08/16/2017	FLO LIV TRUST, SIGNE	BOURNE POCONO DST	131,293.32	8,534.07	0.00	8,534.07	93.0	7,936.69

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
08/17/2017	FARBER, RODNEY	BOURNE POCONO DST	500,000.00	32,500.00	0.00	32,500.00	93.0	30,225.00
08/18/2017	HYLEN, DARRELL	BOURNE POCONO DST	125,000.00	8,125.00	0.00	8,125.00	93.0	7,556.25
09/12/2017	KOTTSCHADE, GERALD	BOURNE POCONO DST	131,293.32	8,534.07	0.00	8,534.07	93.0	7,936.69
09/12/2017	BLAKE TRUST, JASON & SANDRA	BOURNE POCONO DST	970,000.00	63,050.00	0.00	63,050.00	93.0	58,636.50
08/30/2017	WINCHESTER, WILLIAM	CANA BRADFORD ROYALTIES LLC	200,000.00	12,000.00	0.00	12,000.00	93.0	11,160.00
08/25/2017	LOVETT TRUST, JEAN	INLAND SELF STORAGE PF V DST	100,000.00	5,000.00	0.00	5,000.00	93.0	4,650.00
09/06/2017	MITCHELL, ROBERT	INLAND SELF STORAGE PF V DST	200,000.00	10,000.00	0.00	10,000.00	93.0	9,300.00
08/25/2017	LOVETT TRUST, JEAN	INLAND TEXAS HEALTHCARE PF II DST	74,636.17	3,731.81	0.00	3,731.81	93.0	3,470.58
08/25/2017	SHANNAHAN TRUST, JOHN	INLAND TEXAS HEALTHCARE PF II DST	100,000.00	5,000.00	0.00	5,000.00	93.0	4,650.00
09/06/2017	MITCHELL, ROBERT	INLAND TEXAS HEALTHCARE PF II DST	200,000.00	10,000.00	0.00	10,000.00	93.0	9,300.00
08/16/2017	LOVETT TRUST, JEAN	PASSCO HAVEN DST	150,000.00	9,000.00	0.00	9,000.00	93.0	8,370.00
08/17/2017	DOBITZ TRUST, DIANE	PASSCO HAVEN DST	150,000.00	9,000.00	0.00	9,000.00	93.0	8,370.00
08/25/2017	SHANNAHAN TRUST, JOHN	PASSCO HAVEN DST	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
08/16/2017	LOVETT TRUST, JEAN	PASSCO LONGITUDE DST	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
08/17/2017	DOBITZ TRUST, DIANE	PASSCO LONGITUDE DST	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
08/25/2017	SHANNAHAN TRUST, JOHN	RRTY CANADIAN RIVER ROYALTIES LLC	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
08/28/2017	WEIBLE IRREV TRUST, ARDIS	RRTY CANADIAN RIVER ROYALTIES LLC	250,000.00	15,000.00	0.00	15,000.00	93.0	13,950.00
08/30/2017	CORRIGAN TRUST, KEVIN	RRTY CANADIAN RIVER ROYALTIES LLC	325,000.00	19,500.00	0.00	19,500.00	93.0	18,135.00
09/06/2017	MITCHELL, ROBERT	RRTY CANADIAN RIVER ROYALTIES LLC	600,000.00	36,000.00	0.00	36,000.00	93.0	33,480.00
* 1031 EXCHANGES SUBTOTAL *			\$5,139,470.34	\$313,571.04	\$0.00	\$313,571.04		\$291,621.08
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/18/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	25.00	0.25	0.00	0.25	93.0	0.23
/ /	WHITNEY, STANLEY	HARTFORD GROWTH OPP A	0.00	33.73	0.00	33.73	93.0	31.37
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	12.70	0.00	12.70	93.0	11.81
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	0.37	0.00	0.37	93.0	0.34
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	3.42	0.00	3.42	93.0	3.18
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	6.61	0.00	6.61	93.0	6.15
08/28/2017	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	231.00	2.31	0.00	2.31	93.0	2.15
08/28/2017	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	1,166.66	11.67	0.00	11.67	93.0	10.85
09/05/2017	KIECKER, MARK	TRANSAMERICA AA GROWTH C	0.00	34.57	0.00	34.57	93.0	32.15
09/05/2017	KIECKER, MARK	TRANSAMERICA AA GROWTH C	0.00	34.19	0.00	34.19	93.0	31.80
09/05/2017	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	0.00	36.94	0.00	36.94	93.0	34.35
09/05/2017	VOIGHT, KATHERINE	TRANSAMERICA AA GROWTH C	0.00	4.25	0.00	4.25	93.0	3.95

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/05/2017	VOIGHT, NICKOLAS	TRANSAMERICA AA GROWTH C	0.00	0.15	0.00	0.15	93.0	0.14
09/05/2017	THORP, MEGAN	TRANSAMERICA AA GROWTH C	0.00	1.80	0.00	1.80	93.0	1.67
09/05/2017	MICHALETZ, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	1.36	0.00	1.36	93.0	1.26
09/05/2017	FITTERER, JAMES	TRANSAMERICA AA GROWTH C	0.00	39.93	0.00	39.93	93.0	37.13
09/05/2017	ROBERTSON, KATELYN	TRANSAMERICA AA GROWTH C	0.00	4.30	0.00	4.30	93.0	4.00
09/05/2017	SCHOEN, JUDITH	TRANSAMERICA AA GROWTH C	0.00	18.32	0.00	18.32	93.0	17.04
09/05/2017	JONES, ERIN	TRANSAMERICA AA GROWTH C	0.00	4.77	0.00	4.77	93.0	4.44
09/05/2017	JONES, KEITH	TRANSAMERICA AA GROWTH C	0.00	4.77	0.00	4.77	93.0	4.44
09/05/2017	LELAND, CRAIG	TRANSAMERICA AA GROWTH C	0.00	29.55	0.00	29.55	93.0	27.48
09/05/2017	SEVERSON, STEVEN	TRANSAMERICA AA GROWTH C	0.00	2.51	0.00	2.51	93.0	2.33
09/05/2017	FREDERICK, THOMAS	TRANSAMERICA AA GROWTH C	0.00	4.78	0.00	4.78	93.0	4.45
09/05/2017	MORROW, ELLEN	TRANSAMERICA AA GROWTH C	0.00	83.64	0.00	83.64	93.0	77.79
09/05/2017	RENDL, MARK	TRANSAMERICA AA GROWTH C	0.00	3.73	0.00	3.73	93.0	3.47
09/05/2017	GROMMERSCH, IZABEL	TRANSAMERICA AA GROWTH C	0.00	4.30	0.00	4.30	93.0	4.00
09/05/2017	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	0.00	16.18	0.00	16.18	93.0	15.05
09/05/2017	HASKIN-BEEBE, JEAN	TRANSAMERICA AA GROWTH C	0.00	3.91	0.00	3.91	93.0	3.64
09/05/2017	KIECKER, DENISE	TRANSAMERICA AA GROWTH C	0.00	17.16	0.00	17.16	93.0	15.96
09/05/2017	MARK T KIECKER, SIM	TRANSAMERICA AA GROWTH C	0.00	17.78	0.00	17.78	93.0	16.54
09/05/2017	SHORE, HELEN	TRANSAMERICA AA GROWTH C	0.00	58.34	0.00	58.34	93.0	54.26
09/05/2017	DOBIE, SHERYL	TRANSAMERICA AA GROWTH C	0.00	6.03	0.00	6.03	93.0	5.61
09/05/2017	JONES, EVAN	TRANSAMERICA AA GROWTH C	0.00	1.42	0.00	1.42	93.0	1.32
09/05/2017	GROMMERSCH, JOHN	TRANSAMERICA AA GROWTH C	0.00	4.30	0.00	4.30	93.0	4.00
09/05/2017	WETZELL, PAMELA	TRANSAMERICA AA GROWTH C	0.00	3.88	0.00	3.88	93.0	3.61
09/05/2017	WETZELL, THOMAS	TRANSAMERICA AA GROWTH C	0.00	11.93	0.00	11.93	93.0	11.09
09/05/2017	JONES, WYATT	TRANSAMERICA AA GROWTH C	0.00	1.52	0.00	1.52	93.0	1.41
09/05/2017	THOMPSON, JO ANN	TRANSAMERICA AA GROWTH C	0.00	10.45	0.00	10.45	93.0	9.72
09/05/2017	JONES, DYLAN	TRANSAMERICA AA GROWTH C	0.00	1.25	0.00	1.25	93.0	1.16
09/05/2017	OTTO, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	11.78	0.00	11.78	93.0	10.96
09/05/2017	CHRISTMAN, PATRICK	TRANSAMERICA AA GROWTH C	0.00	9.48	0.00	9.48	93.0	8.82
09/05/2017	CHRISTMAN, LISA	TRANSAMERICA AA GROWTH C	0.00	8.52	0.00	8.52	93.0	7.92
09/05/2017	THORP, CALEB	TRANSAMERICA AA GROWTH C	0.00	6.44	0.00	6.44	93.0	5.99
09/05/2017	WETZELL, ELI	TRANSAMERICA AA GROWTH C	0.00	5.50	0.00	5.50	93.0	5.12
09/05/2017	WETZELL, BECKETT	TRANSAMERICA AA GROWTH C	0.00	5.92	0.00	5.92	93.0	5.51

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/05/2017	TOMM, JODI	TRANSAMERICA AA GROWTH C	0.00	57.59	0.00	57.59	93.0	53.56
09/05/2017	JONES, WILL	TRANSAMERICA AA GROWTH C	0.00	1.28	0.00	1.28	93.0	1.19
09/05/2017	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	13.44	0.00	13.44	93.0	12.50
09/05/2017	SANDBO, KAID	TRANSAMERICA AA GROWTH C	0.00	4.36	0.00	4.36	93.0	4.05
09/05/2017	SANDBO, ALYIAH	TRANSAMERICA AA GROWTH C	0.00	4.11	0.00	4.11	93.0	3.82
09/05/2017	WETZELL, PEYTON	TRANSAMERICA AA GROWTH C	0.00	2.93	0.00	2.93	93.0	2.72
09/05/2017	THORP, REED	TRANSAMERICA AA GROWTH C	0.00	3.16	0.00	3.16	93.0	2.94
09/05/2017	SOLOMON, ARIANNA	TRANSAMERICA AA GROWTH C	0.00	2.90	0.00	2.90	93.0	2.70
09/05/2017	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	23.24	0.00	23.24	93.0	21.61
09/05/2017	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	6.28	0.00	6.28	93.0	5.84
09/05/2017	GRUBISH, GERTRUDE	TRANSAMERICA AA GROWTH C	0.00	6.28	0.00	6.28	93.0	5.84
09/05/2017	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.08	0.00	1.08	93.0	1.00
09/05/2017	WETZELL, BRADY	TRANSAMERICA AA GROWTH C	0.00	1.03	0.00	1.03	93.0	0.96
09/05/2017	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.14	0.00	1.14	93.0	1.06
09/05/2017	THORP, QUINN	TRANSAMERICA AA GROWTH C	0.00	1.04	0.00	1.04	93.0	0.97
09/05/2017	WETZELL, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	1.01	0.00	1.01	93.0	0.94
09/05/2017	KIECKER, BENJAMIN	TRANSAMERICA AA GROWTH C	0.00	2.40	0.00	2.40	93.0	2.23
09/05/2017	KIECKER, DANIEL	TRANSAMERICA AA GROWTH C	0.00	2.78	0.00	2.78	93.0	2.59
09/05/2017	STEVENS, GAVIN	TRANSAMERICA AA GROWTH C	0.00	9.44	0.00	9.44	93.0	8.78
09/05/2017	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	0.00	3.46	0.00	3.46	93.0	3.22
09/11/2017	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	200.00	2.00	12.00	-10.00	93.0	-9.30
09/05/2017	CONRAD, MAREIDITH	TRANSAMERICA AA MOD GROWTH C	0.00	6.37	0.00	6.37	93.0	5.92
09/05/2017	CONRAD, DELBERT	TRANSAMERICA AA MOD GROWTH C	0.00	41.63	0.00	41.63	93.0	38.72
09/05/2017	LOE, GLORIA	TRANSAMERICA AA MOD GROWTH C	0.00	7.92	0.00	7.92	93.0	7.37
09/05/2017	GARTNER, TIMOTHY	TRANSAMERICA AA MOD GROWTH C	0.00	25.22	0.00	25.22	93.0	23.45
09/05/2017	GRAFF, GARY	TRANSAMERICA AA MOD GROWTH C	0.00	21.51	0.00	21.51	93.0	20.00
* MUTUAL FUNDS SUBTOTAL *			\$1,622.66	\$840.31	\$12.00	\$828.31		\$770.34

PRODUCT CATEGORY: VARIABLE ANNUITIES

08/31/2017	ANDERSEN, WAYNE	JNL PERSPECTIVE ADV II 5/05	55,336.02	46.11	0.00	46.11	93.0	42.88
08/31/2017	HAAK, HELEN	JNL PERSPECTIVE ADV II 5/05	65,845.20	68.59	0.00	68.59	93.0	63.79
08/31/2017	GARTNER TRUST	JNL PERSPECTIVE ADV II 5/05	157,795.58	164.37	0.00	164.37	93.0	152.86
08/31/2017	AMERICAN ENDOWMENT FUND	JNL PERSPECTIVE II 04/14	66,146.95	55.12	0.00	55.12	93.0	51.26
08/31/2017	ROSE, DENNIS	JNL PERSPECTIVE II 05/05	79,105.71	65.92	0.00	65.92	93.0	61.31

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/31/2017	ROSE, CHERYL	JNL PERSPECTIVE II 05/05	132,189.20	110.16	0.00	110.16	93.0	102.45
08/31/2017	LUTZ, TRACY	JNL PERSPECTIVE II 05/05	8,308.31	6.92	0.00	6.92	93.0	6.44
08/28/2017	WOLFF, JEAN	JNL PERSPECTIVE L	10,000.00	400.00	0.00	400.00	93.0	372.00
08/31/2017	THOMPSON, JO ANN	JNL PERSPECTIVE L	192,023.77	160.02	0.00	160.02	93.0	148.82
08/31/2017	VANDERHOOF, DONNA	JNL PERSPECTIVE L	320,706.56	267.26	0.00	267.26	93.0	248.55
08/31/2017	GREEN, STEVEN	JNL PERSPECTIVE L	81,078.88	67.57	0.00	67.57	93.0	62.84
08/31/2017	GREEN, STEVEN	JNL PERSPECTIVE L	67,965.01	56.64	0.00	56.64	93.0	52.68
08/31/2017	WOLFF, JEAN	JNL PERSPECTIVE L	82,666.48	68.89	0.00	68.89	93.0	64.07
08/31/2017	WOLFF, JEAN	JNL PERSPECTIVE L	416,808.21	347.34	0.00	347.34	93.0	323.03
08/31/2017	CURTIS, JEFFREY	JNL PERSPECTIVE L	135,540.34	112.95	0.00	112.95	93.0	105.04
08/31/2017	FUENTEZ, IVAN	JNL PERSPECTIVE L	234,615.70	195.51	0.00	195.51	93.0	181.82
08/31/2017	ROSE, ERIC	JNL PERSPECTIVE L	44,764.75	37.30	0.00	37.30	93.0	34.69
08/31/2017	ANDERSEN, WAYNE	JNL PERSPECTIVE L	147,792.05	123.16	0.00	123.16	93.0	114.54
08/31/2017	MAINE, VINCENT	JNL PERSPECTIVE L	136,741.50	56.98	0.00	56.98	93.0	52.99
08/31/2017	CALABRESE, CAROL	JNL PERSPECTIVE L	253,715.44	211.43	0.00	211.43	93.0	196.63
08/31/2017	SCHRIOCK, LINDA	JNL PERSPECTIVE L	56,257.16	46.88	0.00	46.88	93.0	43.60
08/31/2017	SCHRIOCK, STANLEY	JNL PERSPECTIVE L	24,174.98	20.15	0.00	20.15	93.0	18.74
08/31/2017	MAINE, VINCENT	JNL PERSPECTIVE L	162,198.81	67.58	0.00	67.58	93.0	62.85
08/31/2017	LUTZ, TRACY	JNL PERSPECTIVE L	22,890.78	19.08	0.00	19.08	93.0	17.74
08/31/2017	CHESNEY, TERRANCE	JNL PERSPECTIVE L	173,297.22	144.41	0.00	144.41	93.0	134.30
08/31/2017	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	105,269.41	87.72	0.00	87.72	93.0	81.58
08/31/2017	CHESNEY, TERRANCE	JNL PERSPECTIVE L	152,169.13	126.81	0.00	126.81	93.0	117.93
08/31/2017	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	155,960.70	129.97	0.00	129.97	93.0	120.87
08/31/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	85,692.83	71.41	0.00	71.41	93.0	66.41
08/31/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	184,644.18	153.87	0.00	153.87	93.0	143.10
08/31/2017	KOCON, RAYMOND	JNL PERSPECTIVE L	417,922.72	348.27	0.00	348.27	93.0	323.89
08/31/2017	HOGSTAD, MARY	JNL PERSPECTIVE L	146,514.97	122.10	0.00	122.10	93.0	113.55
08/31/2017	ROSE, DENNIS	JNL PERSPECTIVE L	162,016.60	135.01	0.00	135.01	93.0	125.56
08/31/2017	GARTNER TRUST, ORVAL	JNL PERSPECTIVE L	447,350.11	372.79	0.00	372.79	93.0	346.69
08/31/2017	LEASE, NATHELLE	JNL PERSPECTIVE L	278,459.93	232.05	0.00	232.05	93.0	215.81
08/31/2017	STRADTMAN, CHARLES	JNL PERSPECTIVE L	200,891.29	167.41	0.00	167.41	93.0	155.69
08/31/2017	VETTER, JOANN	JNL PERSPECTIVE L	658,933.12	549.11	0.00	549.11	93.0	510.67
08/31/2017	STRADTMAN, CHARLES	JNL PERSPECTIVE L	452,499.92	377.08	0.00	377.08	93.0	350.68

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/31/2017	VETTER, RICHARD	JNL PERSPECTIVE L	124,159.46	103.47	0.00	103.47	93.0	96.23
08/31/2017	VETTER, JOANN	JNL PERSPECTIVE L	114,214.28	95.18	0.00	95.18	93.0	88.52
08/31/2017	FLOR, PATRICIA	JNL PERSPECTIVE L	1,745,781.32	1,454.82	0.00	1,454.82	93.0	1,352.98
08/31/2017	KRAHMER, LE ANN	JNL PERSPECTIVE L	330,658.68	275.55	0.00	275.55	93.0	256.26
08/31/2017	ANDERSEN REV LIV TR, CRYSTAL	JNL PERSPECTIVE L	125,319.72	104.43	0.00	104.43	93.0	97.12
08/31/2017	KOPISCHKE, BEVERLY	JNL PERSPECTIVE L	155,967.22	129.97	0.00	129.97	93.0	120.87
08/31/2017	SHORE, JERRY	JNL PERSPECTIVE L	863,531.58	719.61	0.00	719.61	93.0	669.24
08/31/2017	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	1,098,369.82	915.31	0.00	915.31	93.0	851.24
08/31/2017	SHORE, JERRY	JNL PERSPECTIVE L	309,619.58	258.02	0.00	258.02	93.0	239.96
08/31/2017	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	263,860.65	219.88	0.00	219.88	93.0	204.49
08/31/2017	LILLEVOLD, KATHRYN	JNL PERSPECTIVE L	986,911.01	822.43	0.00	822.43	93.0	764.86
08/31/2017	JOHNSON, ELOIS	JNL PERSPECTIVE L	461,066.74	384.22	0.00	384.22	93.0	357.32
08/31/2017	BERKNESS, RONALD	JNL PERSPECTIVE L	360,925.85	300.77	0.00	300.77	93.0	279.72
08/31/2017	WOLFF, ANITA	JNL PERSPECTIVE L	587,701.72	489.75	0.00	489.75	93.0	455.47
08/31/2017	NAWROCKI, DONNA	JNL PERSPECTIVE L	788,113.91	656.76	0.00	656.76	93.0	610.79
08/31/2017	BERKNESS, CAROLE	JNL PERSPECTIVE L	151,447.06	126.21	0.00	126.21	93.0	117.38
08/31/2017	WOLFF, WALTER	JNL PERSPECTIVE L	824,769.21	687.31	0.00	687.31	93.0	639.20
08/31/2017	LARSON, LOIS	JNL PERSPECTIVE L	55,874.06	46.56	0.00	46.56	93.0	43.30
08/31/2017	LARSON, DEAN	JNL PERSPECTIVE L	115,798.43	96.50	0.00	96.50	93.0	89.75
08/31/2017	LEINENWEBER, LE ROY	JNL PERSPECTIVE L	303,488.66	252.91	0.00	252.91	93.0	235.21
08/31/2017	DALLMANN, HOWARD	JNL PERSPECTIVE L	192,322.64	160.27	0.00	160.27	93.0	149.05
08/31/2017	DALLMANN, MARGARET	JNL PERSPECTIVE L	23,093.92	19.24	0.00	19.24	93.0	17.89
08/31/2017	CLOW, SANDRA	JNL PERSPECTIVE L	713,489.10	594.57	0.00	594.57	93.0	552.95
08/31/2017	LARSON, DEAN L & LOIS N	JNL PERSPECTIVE L	543,815.31	453.18	0.00	453.18	93.0	421.46
08/31/2017	LOE, TIMOTHY	JNL PERSPECTIVE L	350,003.20	291.67	0.00	291.67	93.0	271.25
08/31/2017	NAWROCKI, DONNA	JNL PERSPECTIVE L	426,557.68	355.46	0.00	355.46	93.0	330.58
08/31/2017	DOBIE, SHERYL	JNL PERSPECTIVE L	898,132.69	748.44	0.00	748.44	93.0	696.05
08/31/2017	MICHALETZ, GERALD	JNL PERSPECTIVE L	150,295.37	125.25	0.00	125.25	93.0	116.48
08/31/2017	BANWART, ROGER	JNL PERSPECTIVE L	156,757.54	130.63	0.00	130.63	93.0	121.49
08/31/2017	FITTERER, DELORIS	JNL PERSPECTIVE L	105,583.15	87.99	0.00	87.99	93.0	81.83
08/31/2017	MICHALETZ, FAYE	JNL PERSPECTIVE L	66,904.62	55.75	0.00	55.75	93.0	51.85
08/31/2017	FUNFSINN TRUST, SUSAN	JNL PERSPECTIVE L	313,799.27	261.50	0.00	261.50	93.0	243.20
08/31/2017	FITTERER, DELORIS	JNL PERSPECTIVE L	240,312.78	200.26	0.00	200.26	93.0	186.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/31/2017	POST, TERRY	JNL PERSPECTIVE L	196,166.97	163.47	0.00	163.47	93.0	152.03
08/31/2017	HUISMAN, GERALDINE	JNL PERSPECTIVE L	163,328.40	136.11	0.00	136.11	93.0	126.58
08/31/2017	STEVENS, GEORGE	JNL PERSPECTIVE L	304,912.52	254.09	0.00	254.09	93.0	236.30
08/31/2017	BUCK, NORMAN	JNL PERSPECTIVE L	228,218.38	190.18	0.00	190.18	93.0	176.87
08/31/2017	PANOS, GERALDINE	JNL PERSPECTIVE L	115,871.08	96.56	0.00	96.56	93.0	89.80
08/31/2017	SHIRK, PAMELA	JNL PERSPECTIVE L	200,354.15	166.96	0.00	166.96	93.0	155.27
08/31/2017	PAHL, JAMES	JNL PERSPECTIVE L	145,860.43	121.55	0.00	121.55	93.0	113.04
08/31/2017	ENGELBRECHT, ALICE	JNL PERSPECTIVE L	250,062.19	208.39	0.00	208.39	93.0	193.80
08/31/2017	UTZKA, LANA	JNL PERSPECTIVE L	8,250.57	6.88	0.00	6.88	93.0	6.40
08/31/2017	SHAW, CHARLES	JNL PERSPECTIVE L	134,396.46	112.00	0.00	112.00	93.0	104.16
08/31/2017	WARD, JACQUELINE	JNL PERSPECTIVE L	37,376.60	31.15	0.00	31.15	93.0	28.97
08/31/2017	KRONBACK, MAYDELLE	JNL PERSPECTIVE L	171,640.95	143.03	0.00	143.03	93.0	133.02
08/31/2017	KENDALL, ARCHIE	JNL PERSPECTIVE L	474,060.53	395.05	0.00	395.05	93.0	367.40
08/31/2017	MINNEHAN, MICHAEL	JNL PERSPECTIVE L	138,228.59	115.19	0.00	115.19	93.0	107.13
08/31/2017	CLOW CHARTIBLE TRUST, SANDRA	JNL PERSPECTIVE L	52,979.70	44.15	0.00	44.15	93.0	41.06
08/31/2017	ESLINGER, DOUGLAS	JNL PERSPECTIVE L	43,787.41	36.49	0.00	36.49	93.0	33.94
08/31/2017	TRINITY EVANGELICAL	JNL PERSPECTIVE L	419,502.92	349.59	0.00	349.59	93.0	325.12
08/31/2017	ARNOLD HOLDINGS LP	JNL PERSPECTIVE L	700,181.32	583.48	0.00	583.48	93.0	542.64
08/31/2017	ARNOLD, EVERETT	JNL PERSPECTIVE L	708,599.37	590.50	0.00	590.50	93.0	549.17
08/31/2017	SCHMIDT, LORI	JNL PERSPECTIVE L	121,709.77	101.42	0.00	101.42	93.0	94.32
08/31/2017	SCHMIDT, HAROLD	JNL PERSPECTIVE L	497,875.50	414.90	0.00	414.90	93.0	385.86
08/31/2017	ZIEGLER, DARRELL	JNL PERSPECTIVE L	42,139.38	35.12	0.00	35.12	93.0	32.66
08/31/2017	MOLL TURKEYS INC	JNL PERSPECTIVE L	520,795.72	434.00	0.00	434.00	93.0	403.62
08/31/2017	KRONBACK, JEFFERY	JNL PERSPECTIVE L	24,210.41	20.18	0.00	20.18	93.0	18.77
08/31/2017	KRONBACK, JEFFERY	JNL PERSPECTIVE L	107,075.52	89.23	0.00	89.23	93.0	82.98
08/31/2017	KRONBACK, DEBRA	JNL PERSPECTIVE L	28,707.56	23.92	0.00	23.92	93.0	22.25
08/31/2017	KRONBACK, DEBRA	JNL PERSPECTIVE L	31,586.12	26.32	0.00	26.32	93.0	24.48
08/31/2017	FITZSIMMONS, GARY	JNL PERSPECTIVE L	342,688.22	285.57	0.00	285.57	93.0	265.58
08/31/2017	REED, MARY	JNL PERSPECTIVE L	271,161.67	225.97	0.00	225.97	93.0	210.15
08/31/2017	FITZSIMMONS, KEVIN	JNL PERSPECTIVE L	134,096.43	111.75	0.00	111.75	93.0	103.93
08/31/2017	DIETRICH, SHARON	JNL PERSPECTIVE L	207,139.34	172.62	0.00	172.62	93.0	160.54
08/31/2017	KUEHLWEIN, RICHARD	JNL PERSPECTIVE L	53,618.83	44.68	0.00	44.68	93.0	41.55
08/31/2017	JERROW, ROBERT	JNL PERSPECTIVE L	327,557.39	272.96	0.00	272.96	93.0	253.85

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/31/2017	KASTER, JANICE	JNL PERSPECTIVE L	160,310.72	133.59	0.00	133.59	93.0	124.24
08/31/2017	FEAR, DUANE	JNL PERSPECTIVE L	75,435.05	62.86	0.00	62.86	93.0	58.46
08/31/2017	FEAR, LINDA	JNL PERSPECTIVE L	42,740.08	35.62	0.00	35.62	93.0	33.13
08/31/2017	ESLINGER, PATRICIA	JNL PERSPECTIVE L	23,695.80	19.75	0.00	19.75	93.0	18.37
08/31/2017	OLSON, MARK	JNL PERSPECTIVE L	345,122.49	287.60	0.00	287.60	93.0	267.47
08/31/2017	CHAPMAN, FRANCIS	JNL PERSPECTIVE L	306,088.19	255.07	0.00	255.07	93.0	237.22
08/31/2017	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	35,056.57	29.21	0.00	29.21	93.0	27.17
08/31/2017	ZIEGLER, DIANNE	JNL PERSPECTIVE L	14,873.74	12.39	0.00	12.39	93.0	11.52
08/31/2017	GRUBISH, MAHLON	JNL PERSPECTIVE L	40,000.00	500.00	0.00	500.00	93.0	465.00
08/31/2017	GRUBISH, MAHLON	JNL PERSPECTIVE L	373,468.69	311.22	0.00	311.22	93.0	289.43
08/31/2017	ROSS TRUST, MARY JO	JNL PERSPECTIVE L	973,348.87	811.12	0.00	811.12	93.0	754.34
08/31/2017	ANDERSON, DONALD	JNL PERSPECTIVE L	156,617.12	130.51	0.00	130.51	93.0	121.37
08/31/2017	ROY, JAMES	JNL PERSPECTIVE L	206,687.98	172.24	0.00	172.24	93.0	160.18
08/31/2017	OLSON, BRENDA	JNL PERSPECTIVE L	53,163.28	44.30	0.00	44.30	93.0	41.20
08/31/2017	OLSON, BRYCE	JNL PERSPECTIVE L	430,680.64	358.90	0.00	358.90	93.0	333.78
08/31/2017	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	258,299.55	215.25	0.00	215.25	93.0	200.18
08/31/2017	GOFF, WESLEY	JNL PERSPECTIVE L	32,534.10	27.11	0.00	27.11	93.0	25.21
08/31/2017	CONRAD, RONALD	JNL PERSPECTIVE L	130,406.43	108.67	0.00	108.67	93.0	101.06
08/31/2017	CONRAD, DELBERT L & ELL	JNL PERSPECTIVE L	447,096.02	372.58	0.00	372.58	93.0	346.50
08/31/2017	GRAFF, BRENT	JNL PERSPECTIVE L	59,376.52	49.48	0.00	49.48	93.0	46.02
08/31/2017	FOSTER, RODNEY	JNL PERSPECTIVE L	189,634.02	158.03	0.00	158.03	93.0	146.97
08/31/2017	SKELLY, SCOTT	JNL PERSPECTIVE L	232,804.43	194.00	0.00	194.00	93.0	180.42
08/31/2017	SKELLY, BRENDA	JNL PERSPECTIVE L	25,925.37	21.60	0.00	21.60	93.0	20.09
08/31/2017	KRAFT, JUDITH	JNL PERSPECTIVE L	159,767.22	133.14	0.00	133.14	93.0	123.82
08/31/2017	SEVERSON, STANLEY	JNL PERSPECTIVE L	277,970.84	231.64	0.00	231.64	93.0	215.43
08/31/2017	KRAFT, JUDITH	JNL PERSPECTIVE L	102,391.60	85.33	0.00	85.33	93.0	79.36
08/31/2017	GUNDERSON, HOLLY	JNL PERSPECTIVE L	38,765.16	32.30	0.00	32.30	93.0	30.04
08/31/2017	SEVERSON, JOYCE	JNL PERSPECTIVE L	116,441.64	97.03	0.00	97.03	93.0	90.24
08/31/2017	GUNDERSON, BRIAN	JNL PERSPECTIVE L	95,632.96	79.69	0.00	79.69	93.0	74.11
08/31/2017	SIEBERG, JOSEPH	JNL PERSPECTIVE L	70,348.63	58.62	0.00	58.62	93.0	54.52
08/31/2017	SIEBERG, DIANE	JNL PERSPECTIVE L	24,904.19	20.75	0.00	20.75	93.0	19.30
08/31/2017	CONRAD, DELBERT	JNL PERSPECTIVE L	110,945.39	92.45	0.00	92.45	93.0	85.98
08/31/2017	NELSON, BRENDA	JNL PERSPECTIVE L	238,750.75	198.96	0.00	198.96	93.0	185.03

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/31/2017	DORN, SANDRA	JNL PERSPECTIVE L	323,227.75	269.36	0.00	269.36	93.0	250.50
08/31/2017	HAGEN, LINDA	JNL PERSPECTIVE L	1,714,925.75	1,429.10	0.00	1,429.10	93.0	1,329.06
08/31/2017	HUGGINS, JEANNE	JNL PERSPECTIVE L	189,074.66	157.56	0.00	157.56	93.0	146.53
08/31/2017	THOMPSON, MAX	JNL PERSPECTIVE L	145,718.02	121.43	0.00	121.43	93.0	112.93
08/31/2017	THOMPSON, JO ANN	JNL PERSPECTIVE L	172,124.77	143.44	0.00	143.44	93.0	133.40
08/31/2017	THOMPSON, MAX	JNL PERSPECTIVE L	474,474.22	395.40	0.00	395.40	93.0	367.72
08/31/2017	HUGGINS, TONY	JNL PERSPECTIVE L	294,435.29	245.36	0.00	245.36	93.0	228.18
08/31/2017	HOWELL, NICOLE	JNL PERSPECTIVE L	198,967.55	165.81	0.00	165.81	93.0	154.20
08/31/2017	STIERLEN, ROGER	JNL PERSPECTIVE L	43,686.58	36.41	0.00	36.41	93.0	33.86
08/31/2017	WOLFF, LINDA	JNL PERSPECTIVE L	708,049.80	590.04	0.00	590.04	93.0	548.74
08/31/2017	OTTO, JANET	JNL PERSPECTIVE L	24,439.59	20.37	0.00	20.37	93.0	18.94
08/31/2017	OTTO, JAMES	JNL PERSPECTIVE L	586,746.21	488.96	0.00	488.96	93.0	454.73
08/31/2017	BUCK, DELORES	JNL PERSPECTIVE L	252,752.95	210.63	0.00	210.63	93.0	195.89
08/31/2017	BRIELMAIER, MICHELE	JNL PERSPECTIVE L	506,564.97	422.14	0.00	422.14	93.0	392.59
08/31/2017	BRIELMAIER, MICHELE	JNL PERSPECTIVE L	229,723.91	191.44	0.00	191.44	93.0	178.04
08/31/2017	KLEMENT, GARY	JNL PERSPECTIVE L	690,268.35	575.22	0.00	575.22	93.0	534.95
08/31/2017	KLEMENT, GARY	JNL PERSPECTIVE L	686,200.04	571.83	0.00	571.83	93.0	531.80
08/31/2017	CLOW, SANDRA	JNL PERSPECTIVE L	460,507.49	383.76	0.00	383.76	93.0	356.90
08/31/2017	FAMILY CHARITABLE REMAIND,	JNL PERSPECTIVE L	722,010.09	601.68	0.00	601.68	93.0	559.56
08/31/2017	DAWSON, DEANNA	JNL PERSPECTIVE L	150,516.16	125.43	0.00	125.43	93.0	116.65
08/31/2017	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	32,697.53	27.25	0.00	27.25	93.0	25.34
08/31/2017	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	63,948.11	53.29	0.00	53.29	93.0	49.56
08/31/2017	DAWSON, DEANNA	JNL PERSPECTIVE L	36,208.92	30.17	0.00	30.17	93.0	28.06
08/31/2017	GARTNER, MARIE	JNL PERSPECTIVE L	162,650.56	135.54	0.00	135.54	93.0	126.05
08/31/2017	GARTNER, LEONARD	JNL PERSPECTIVE L	1,116,851.09	930.71	0.00	930.71	93.0	865.56
08/31/2017	GARTNER, LEONARD	JNL PERSPECTIVE L	203,879.94	169.90	0.00	169.90	93.0	158.01
08/31/2017	FITTERER, RUTH	JNL PERSPECTIVE L	201,427.87	167.86	0.00	167.86	93.0	156.11
08/31/2017	MICHELS, DAVID	JNL PERSPECTIVE L	130,667.94	108.89	0.00	108.89	93.0	101.27
08/31/2017	MICHELS, BEVERLEY	JNL PERSPECTIVE L	309,176.64	257.65	0.00	257.65	93.0	239.61
08/31/2017	HANSON, JOY	JNL PERSPECTIVE L	201,763.70	168.14	0.00	168.14	93.0	156.37
08/31/2017	SCHOEN, RICHARD	JNL PERSPECTIVE L	528,835.94	440.70	0.00	440.70	93.0	409.85
08/31/2017	SCHOEN, RICHARD	JNL PERSPECTIVE L	591,258.57	492.72	0.00	492.72	93.0	458.23
08/31/2017	ROBINSON, MARILYN	JNL PERSPECTIVE L	134,535.35	112.11	0.00	112.11	93.0	104.26

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/31/2017	FOSTER, RICHARD	JNL PERSPECTIVE L	986,674.13	822.23	0.00	822.23	93.0	764.67
08/31/2017	STAFFEN, ROBERT	JNL PERSPECTIVE L	113,238.35	94.37	0.00	94.37	93.0	87.76
08/31/2017	TURNER, MYRNA	JNL PERSPECTIVE L	178,109.17	148.42	0.00	148.42	93.0	138.03
08/31/2017	TURNER, WENDELL &	JNL PERSPECTIVE L	162,001.00	135.00	0.00	135.00	93.0	125.55
08/31/2017	BENZ, FORREST	JNL PERSPECTIVE L	29,916.12	24.93	0.00	24.93	93.0	23.18
08/31/2017	BENZ, FORREST	JNL PERSPECTIVE L	259,624.30	216.35	0.00	216.35	93.0	201.21
08/31/2017	WEIBLE, DEAN	JNL PERSPECTIVE L	47,048.41	39.21	0.00	39.21	93.0	36.47
08/31/2017	SOUKUP, LAVERN	JNL PERSPECTIVE L	249,902.84	208.25	0.00	208.25	93.0	193.67
08/31/2017	JOHNSON, HERMIT	JNL PERSPECTIVE L	117,315.06	97.76	0.00	97.76	93.0	90.92
08/31/2017	BOUDREAU, WILLIAM	JNL PERSPECTIVE L	397,790.43	331.49	0.00	331.49	93.0	308.29
08/31/2017	SHEARER, KAREN	JNL PERSPECTIVE L	117,428.49	97.86	0.00	97.86	93.0	91.01
08/31/2017	SHEARER, DONALD	JNL PERSPECTIVE L	318,003.68	265.00	0.00	265.00	93.0	246.45
08/31/2017	JOHNSON, JESSE	JNL PERSPECTIVE L	110,096.58	91.75	0.00	91.75	93.0	85.33
08/31/2017	JOHNSON, HERMIT	JNL PERSPECTIVE L	7,769.74	6.47	0.00	6.47	93.0	6.02
08/31/2017	JOHNSON, KAREN	JNL PERSPECTIVE L	7,766.48	6.47	0.00	6.47	93.0	6.02
08/31/2017	SNYDER, CYNTHIA	JNL PERSPECTIVE L	28,213.20	23.51	0.00	23.51	93.0	21.86
08/31/2017	STEVENS, SANDRA	JNL PERSPECTIVE L	84,792.97	70.66	0.00	70.66	93.0	65.71
08/31/2017	STEVENS, SANDRA	JNL PERSPECTIVE L	23,434.44	19.53	0.00	19.53	93.0	18.16
08/31/2017	STEVENS, GREGORY	JNL PERSPECTIVE L	79,686.71	66.41	0.00	66.41	93.0	61.76
08/31/2017	LOE, TIMOTHY	JNL PERSPECTIVE L	121,735.57	65.94	0.00	65.94	93.0	61.32
08/31/2017	WOLFF, LINDA	JNL PERSPECTIVE L	121,735.56	65.94	0.00	65.94	93.0	61.32
08/31/2017	WOLFF, LINDA	JNL PERSPECTIVE L	131,249.32	109.37	0.00	109.37	93.0	101.71
08/31/2017	LABRIER, JOHN	JNL PERSPECTIVE L	72,796.75	60.66	0.00	60.66	93.0	56.41
08/31/2017	LABRIER, PAULA	JNL PERSPECTIVE L	15,113.11	12.59	0.00	12.59	93.0	11.71
08/31/2017	WETZELL, PAMELA	JNL PERSPECTIVE L	84,015.47	70.01	0.00	70.01	93.0	65.11
08/31/2017	JOHNSON, SHERI	JNL PERSPECTIVE L	153,478.69	127.90	0.00	127.90	93.0	118.95
08/31/2017	MULFORD, PATRICIA	JOHN HANCOCK VANTAGE	247,983.01	206.65	0.00	206.65	93.0	192.18
08/31/2017	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	42,353.88	35.29	0.00	35.29	93.0	32.82
08/31/2017	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	33,566.52	27.97	0.00	27.97	93.0	26.01
08/31/2017	BOESCH, RODNEY	JOHN HANCOCK VENTURE	146,288.61	30.48	0.00	30.48	93.0	28.35
09/01/2017	HOLZER, IDA	PAC LIFE VALUE	0.00	180.31	0.00	180.31	93.0	167.69
08/01/2017	GRONAU, ROLAND	TRANS LIFE LANDMARK 2003 VA	24,006.61	20.01	0.00	20.01	93.0	18.61
08/09/2017	JACKSON, SHARON	TRANS LIFE LANDMARK 2003 VA	457,407.33	381.17	0.00	381.17	93.0	354.49

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/17/2017	ROBINSON, CHARLES	TRANS LIFE LANDMARK 2003 VA	114,085.47	95.07	0.00	95.07	93.0	88.42
08/18/2017	ROBINSON, MARILYN	TRANS LIFE LANDMARK 2003 VA	203,956.47	169.96	0.00	169.96	93.0	158.06
08/10/2017	GILOW, HENRY	TRANSAMERICA B-SHARE	77,412.69	64.51	0.00	64.51	93.0	59.99
08/19/2017	STEVENS, JANICE	TRANSAMERICA CATALYST	17,250.54	3.59	0.00	3.59	93.0	3.34
08/01/2017	STEVENS, DOUGLAS	TRANSAMERICA CLASSIC	11,852.23	2.47	0.00	2.47	93.0	2.30
08/24/2017	KIRCHNER, JACOB	TRANSAMERICA CLASSIC	17,041.93	3.55	0.00	3.55	93.0	3.30
08/04/2017	SEVERSON, STEVEN	TRANSAMERICA EXTRA	173,124.94	144.27	0.00	144.27	93.0	134.17
08/08/2017	KIRCHNER, DUANE	TRANSAMERICA EXTRA	43,950.87	36.63	0.00	36.63	93.0	34.07
08/09/2017	DRESSEN, KATHRYN	TRANSAMERICA EXTRA	312,064.17	260.05	0.00	260.05	93.0	241.85
08/09/2017	VOIGHT, RICKY	TRANSAMERICA EXTRA	658,339.27	548.62	0.00	548.62	93.0	510.22
08/09/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	23,469.92	19.56	0.00	19.56	93.0	18.19
08/11/2017	MICHALETZ, CHRISTINE	TRANSAMERICA EXTRA	6,292.66	5.24	0.00	5.24	93.0	4.87
08/13/2017	VETTER, LORI	TRANSAMERICA EXTRA	35,123.53	29.27	0.00	29.27	93.0	27.22
08/13/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	17,961.11	14.97	0.00	14.97	93.0	13.92
08/13/2017	VOIGHT, RICKY	TRANSAMERICA EXTRA	6,379.50	5.32	0.00	5.32	93.0	4.95
08/13/2017	TRIPP, TIMOTHY	TRANSAMERICA EXTRA	24,293.38	20.24	0.00	20.24	93.0	18.82
08/14/2017	GRAFF, JOYCE	TRANSAMERICA EXTRA	47,699.16	39.75	0.00	39.75	93.0	36.97
08/14/2017	GRAFF, JOYCE	TRANSAMERICA EXTRA	16,734.23	13.95	0.00	13.95	93.0	12.97
08/17/2017	ELLIS, ROSEMARY	TRANSAMERICA EXTRA	229,840.16	191.53	0.00	191.53	93.0	178.12
08/19/2017	WETZELL, THOMAS	TRANSAMERICA EXTRA	1,312,809.32	1,094.01	0.00	1,094.01	93.0	1,017.43
08/19/2017	VANDERHOOF, DONALD	TRANSAMERICA EXTRA	7,603.95	6.34	0.00	6.34	93.0	5.90
08/19/2017	LEVIK, LADONNA	TRANSAMERICA EXTRA	218,706.69	182.26	0.00	182.26	93.0	169.50
08/20/2017	GEWERTH, CHERYL	TRANSAMERICA EXTRA	18,451.82	15.38	0.00	15.38	93.0	14.30
08/21/2017	VOTCA, JOHN	TRANSAMERICA EXTRA	63,433.19	52.86	0.00	52.86	93.0	49.16
08/21/2017	KRONBACK, EUGENE	TRANSAMERICA EXTRA	54,744.72	45.62	0.00	45.62	93.0	42.43
08/21/2017	GRISWOLD, ELLEN	TRANSAMERICA EXTRA	34,073.02	28.39	0.00	28.39	93.0	26.40
08/23/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	31,336.19	26.11	0.00	26.11	93.0	24.28
08/27/2017	BOESCH, RODNEY	TRANSAMERICA EXTRA	52,237.06	43.53	0.00	43.53	93.0	40.48
08/29/2017	BULLER, CAROL	TRANSAMERICA EXTRA	13,609.68	11.34	0.00	11.34	93.0	10.55
08/29/2017	KIRCHNER, JANET	TRANSAMERICA EXTRA	29,628.07	24.69	0.00	24.69	93.0	22.96
08/01/2017	BOESCH, JEFFERY	TRANSAMERICA EXTRA 89352F889	223,027.51	185.86	0.00	185.86	93.0	172.85
08/07/2017	MICHALETZ, JOSEPH	TRANSAMERICA EXTRA 89352F889	12,506.35	10.42	0.00	10.42	93.0	9.69
08/10/2017	HORNING, DONALD	TRANSAMERICA EXTRA 89352F889	111,121.45	92.60	0.00	92.60	93.0	86.12

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/10/2017	BRAUN, VIVIAN	TRANSAMERICA EXTRA 89352F889	960,412.04	800.34	0.00	800.34	93.0	744.32
08/10/2017	BRAUN, VIVIAN	TRANSAMERICA EXTRA 89352F889	781,965.83	651.64	0.00	651.64	93.0	606.03
08/16/2017	FEMRITE, LYLE	TRANSAMERICA EXTRA 89352F889	78,372.34	65.31	0.00	65.31	93.0	60.74
08/23/2017	PAULSEN, TAMI	TRANSAMERICA EXTRA 89352F889	65,860.92	54.88	0.00	54.88	93.0	51.04
08/23/2017	PAULSEN, BRYAN	TRANSAMERICA EXTRA 89352F889	176,136.98	146.78	0.00	146.78	93.0	136.51
08/23/2017	FOSTER, RODNEY	TRANSAMERICA EXTRA 89352F889	1,289,510.49	1,074.59	0.00	1,074.59	93.0	999.37
08/23/2017	FOSTER, BARBARA	TRANSAMERICA EXTRA 89352F889	285,632.78	238.03	0.00	238.03	93.0	221.37
08/01/2017	IRELAND, DANIEL	TRANSAMERICA LANDMARK	101,389.61	84.49	0.00	84.49	93.0	78.58
08/02/2017	PLOTZ, LEO	TRANSAMERICA LANDMARK	328,626.56	273.86	0.00	273.86	93.0	254.69
08/05/2017	TURNER, WENDELL	TRANSAMERICA LANDMARK	118,430.52	98.69	0.00	98.69	93.0	91.78
08/07/2017	SHORE, ROSALIND	TRANSAMERICA LANDMARK	132,107.14	110.09	0.00	110.09	93.0	102.38
08/09/2017	BRIELMAIER, MARK	TRANSAMERICA LANDMARK	70,412.03	58.68	0.00	58.68	93.0	54.57
08/15/2017	BENZ, JERRILYN	TRANSAMERICA LANDMARK	376,503.17	313.75	0.00	313.75	93.0	291.79
08/15/2017	JOHNSON, PAUL	TRANSAMERICA LANDMARK	449,838.24	374.87	0.00	374.87	93.0	348.63
08/18/2017	KLEMENT, LINDA	TRANSAMERICA LANDMARK	14,405.97	12.00	0.00	12.00	93.0	11.16
08/20/2017	BERGLING, PAUL	TRANSAMERICA LANDMARK	496,666.41	413.89	0.00	413.89	93.0	384.92
08/22/2017	DALLMANN, MARGARET	TRANSAMERICA LANDMARK	156,480.99	130.40	0.00	130.40	93.0	121.27
08/22/2017	BERGLING, SHARON	TRANSAMERICA LANDMARK	691,285.96	576.07	0.00	576.07	93.0	535.75
08/25/2017	FITTERER, DELORIS	TRANSAMERICA LANDMARK	50,504.36	42.09	0.00	42.09	93.0	39.14
08/26/2017	DALLMANN, HOWARD	TRANSAMERICA LANDMARK	13,341.16	11.12	0.00	11.12	93.0	10.34
08/28/2017	KLEMENT, LINDA	TRANSAMERICA LANDMARK	69,057.29	57.55	0.00	57.55	93.0	53.52
08/29/2017	SANDER, GLORIAN	TRANSAMERICA LANDMARK	225,635.04	188.03	0.00	188.03	93.0	174.87
08/29/2017	ATKINSON, VONDA	TRANSAMERICA LANDMARK 89352F772	51,412.91	42.84	0.00	42.84	93.0	39.84
08/04/2017	HULST, TIMOTHY	TRANSAMERICA LIBERTY	58,051.40	48.38	0.00	48.38	93.0	44.99
08/11/2017	HAUGH, JOANNE	TRANSAMERICA LIBERTY	36,140.87	30.12	0.00	30.12	93.0	28.01
08/24/2017	MICHALETZ, GERALD	TRANSAMERICA LIBERTY	81,737.24	68.11	0.00	68.11	93.0	63.34
08/24/2017	AYERS, KATHRYN	TRANSAMERICA LIBERTY	214,286.24	178.57	0.00	178.57	93.0	166.07
08/28/2017	TOAY, BARBARA	TRANSAMERICA LIBERTY	1,818.48	1.52	0.00	1.52	93.0	1.41
* VARIABLE ANNUITIES SUBTOTAL *			\$62,433,403.25	\$52,797.19	\$0.00	\$52,797.19		\$49,101.41
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
09/05/2017	OTTO, CHRISTOPHER	LINCOLN LIFE	0.00	4.05	0.00	4.05	93.0	3.77
09/01/2017	FOELS, BRUCE	TRANSAMERICA LIFE	0.00	1.04	0.00	1.04	93.0	0.97
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$5.09	\$0.00	\$5.09		\$4.74

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/15/2017	FITZSIMMONS, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	0.86	0.00	0.86	93.0	0.80
08/15/2017	TURPEN, ERIC	AM FUNDS AMERICAN MUTUAL A	0.00	0.24	0.00	0.24	93.0	0.22
08/15/2017	TURPEN, RACHEL	AM FUNDS AMERICAN MUTUAL A	0.00	0.66	0.00	0.66	93.0	0.61
08/15/2017	FITZSIMMONS, CHRISTINE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.68	0.00	0.68	93.0	0.63
08/15/2017	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.12	0.00	0.12	93.0	0.11
08/15/2017	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.81	0.00	0.81	93.0	0.75
08/15/2017	FITZSIMMONS, CHRISTINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.02	0.00	1.02	93.0	0.95
08/15/2017	LABRIER, PAULA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.70	0.00	1.70	93.0	1.58
08/15/2017	TURPEN, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.11	0.00	0.11	93.0	0.10
08/15/2017	TURPEN, RACHEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.68	0.00	0.68	93.0	0.63
08/15/2017	LOWE, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.55	0.00	1.55	93.0	1.44
08/15/2017	FITZSIMMONS, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.90	0.00	0.90	93.0	0.84
08/15/2017	FITZSIMMONS, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.55	0.00	0.55	93.0	0.51
08/15/2017	LOWE, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.47	0.00	1.47	93.0	1.37
08/15/2017	TURPEN, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.68	0.00	0.68	93.0	0.63
08/15/2017	TURPEN, RACHEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.77	0.00	1.77	93.0	1.65
08/15/2017	TURPEN, ERIC	AM FUNDS NEW ECONOMY A	0.00	0.13	0.00	0.13	93.0	0.12
08/15/2017	TURPEN, RACHEL	AM FUNDS NEW ECONOMY A	0.00	0.34	0.00	0.34	93.0	0.32
08/15/2017	FITZSIMMONS, CHRISTINE	AM FUNDS NEW WORLD A	0.00	0.33	0.00	0.33	93.0	0.31
08/15/2017	TURPEN, ERIC	AM FUNDS NEW WORLD A	0.00	0.11	0.00	0.11	93.0	0.10
08/15/2017	TURPEN, RACHEL	AM FUNDS NEW WORLD A	0.00	0.66	0.00	0.66	93.0	0.61
08/15/2017	TURPEN, ERIC	AM FUNDS SMALLCAP WORLD A	0.00	0.08	0.00	0.08	93.0	0.07
08/15/2017	TURPEN, RACHEL	AM FUNDS SMALLCAP WORLD A	0.00	0.50	0.00	0.50	93.0	0.47
08/15/2017	TURPEN, ERIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.52	0.00	0.52	93.0	0.48
08/15/2017	TURPEN, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.34	0.00	1.34	93.0	1.25
08/09/2017	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.15	0.00	4.15	93.0	3.86
08/09/2017	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.44	0.00	12.44	93.0	11.57
08/09/2017	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	21.17	0.00	21.17	93.0	19.69
08/09/2017	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	63.51	0.00	63.51	93.0	59.06
08/09/2017	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	42.08	0.00	42.08	93.0	39.13
08/09/2017	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	126.23	0.00	126.23	93.0	117.39
08/09/2017	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.13	0.00	2.13	93.0	1.98
08/09/2017	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.38	0.00	6.38	93.0	5.93

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/09/2017	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	31.74	0.00	31.74	93.0	29.52
08/09/2017	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	95.23	0.00	95.23	93.0	88.56
08/17/2017	REILLY, KAREN	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	21.48	0.00	21.48	93.0	19.98
08/17/2017	VAN SISTINE, JEANNE	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	18.55	0.00	18.55	93.0	17.25
08/17/2017	REINKE, RONALD	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	2.76	0.00	2.76	93.0	2.57
08/17/2017	REILLY, KAREN	EATON VANCE TAX MANAGED VALUE FD CL	0.00	8.62	0.00	8.62	93.0	8.02
08/17/2017	VAN SISTINE, JEANNE	EATON VANCE TAX MANAGED VALUE FD CL	0.00	16.35	0.00	16.35	93.0	15.21
08/18/2017	TURNER, WANDA	JH HIGH YIELD A	0.00	1.40	0.00	1.40	93.0	1.30
08/18/2017	REINKE, RONALD	JH LARGE CAP EQUITY A	0.00	3.90	0.00	3.90	93.0	3.63
08/18/2017	REILLY, KAREN	JH NEW OPPORTUNITIES A	0.00	9.14	0.00	9.14	93.0	8.50
08/18/2017	VAN SISTINE, JEANNE	JH NEW OPPORTUNITIES A	0.00	8.53	0.00	8.53	93.0	7.93
08/15/2017	PLOTZ, ROSANN	JP MORGAN GROWTH AND INCOME FUND CL	0.00	0.46	0.00	0.46	93.0	0.43
08/15/2017	REILLY, KAREN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	7.73	0.00	7.73	93.0	7.19
08/15/2017	POLTZ, ALAN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	0.72	0.00	0.72	93.0	0.67
08/15/2017	REINKE, RONALD	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	4.00	0.00	4.00	93.0	3.72
08/17/2017	SCHLOMANN, DAVID	MFS GROWTH ALLOCATION C	0.00	13.26	0.00	13.26	93.0	12.33
08/17/2017	SCHLOMANN, DAVID	MFS MODERATE ALLOCATION C	0.00	5.39	0.00	5.39	93.0	5.01
08/17/2017	SCHLOMANN, ANN	MFS MODERATE ALLOCATION C	0.00	8.10	0.00	8.10	93.0	7.53
08/17/2017	POLTZ, ALAN	MFS RESEARCH A	0.00	0.49	0.00	0.49	93.0	0.46
08/16/2017	REINKE, RONALD	PUTNAM HIGH YIELD ADVANTAGE A	0.00	3.59	0.00	3.59	93.0	3.34
08/15/2017	OTTO, CHRISTOPHER	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.64	0.00	0.64	93.0	0.60
08/15/2017	REILLY, KAREN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.10	0.00	4.10	93.0	3.81
08/17/2017	SWANSON, GLENN	TRANSAMERICA AA CONSERVATIVE C	0.00	25.92	0.00	25.92	93.0	24.11
08/17/2017	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH A	0.00	2.34	0.00	2.34	93.0	2.18
08/17/2017	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	0.00	0.08	0.00	0.08	93.0	0.07
08/17/2017	BOUDREAU, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	12.09	0.00	12.09	93.0	11.24
08/17/2017	BOUDREAU, DIANE	TRANSAMERICA AA GROWTH C	0.00	24.67	0.00	24.67	93.0	22.94
08/17/2017	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	3.24	0.00	3.24	93.0	3.01
08/17/2017	GRUBISH, JAMES	TRANSAMERICA AA GROWTH C	0.00	30.47	0.00	30.47	93.0	28.34
08/17/2017	LILLEVOLD, KATHRYN	TRANSAMERICA AA GROWTH C	0.00	15.09	0.00	15.09	93.0	14.03
08/17/2017	FITTERER TRUST, RUTH	TRANSAMERICA AA GROWTH C	0.00	72.10	0.00	72.10	93.0	67.05
08/17/2017	VETTER, BRIAN	TRANSAMERICA AA MOD GROWTH C	0.00	4.94	0.00	4.94	93.0	4.59
08/17/2017	SWANSON, GLENN	TRANSAMERICA AA MOD GROWTH C	0.00	33.21	0.00	33.21	93.0	30.89

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
08/17/2017	FITTERER TRUST, RUTH	TRANSAMERICA AA MOD GROWTH C	0.00	66.03	0.00	66.03	93.0	61.41
08/17/2017	VETTER, BRIAN	TRANSAMERICA AA MODERATE C	0.00	1.98	0.00	1.98	93.0	1.84
08/17/2017	OTTO, CHRISTOPHER	TRANSAMERICA CAPITAL GROWTH A	0.00	0.72	0.00	0.72	93.0	0.67
08/17/2017	OTTO, CHRISTOPHER	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.74	0.00	0.74	93.0	0.69
08/17/2017	OTTO, CHRISTOPHER	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	0.90	0.00	0.90	93.0	0.84
08/17/2017	OTTO, CHRISTOPHER	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	1.23	0.00	1.23	93.0	1.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$857.83	\$0.00	\$857.83		\$797.76
*** BROKER TRANSACTIONS TOTAL ***			\$74,305,789.57	\$738,537.39	\$12.00	\$738,525.39		\$686,828.64

SPLIT TRANSACTIONS**BROKER: 46G-JOE/JACK MICHALETZ #290**

08/09/2017	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	8.45	0.00	8.45	93.0	7.86
08/09/2017	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	25.35	0.00	25.35	93.0	23.58
08/21/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	1.32	0.00	1.32	93.0	1.23
08/29/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	1.32	0.00	1.32	93.0	1.23
08/21/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	1.06	0.00	1.06	93.0	0.99
08/29/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	1.06	0.00	1.06	93.0	0.99
08/21/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	1.06	0.00	1.06	93.0	0.99
08/29/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	1.06	0.00	1.06	93.0	0.99
08/21/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.79	0.00	0.79	93.0	0.73
08/29/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.79	0.00	0.79	93.0	0.73
08/17/2017	OLSON, MARK	WAVELAND RESOURCE PARTNERS IV	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
* 46G-JOE/JACK MICHALETZ SUBTOTAL *			\$50,844.80	\$3,542.26	\$0.00	\$3,542.26		\$3,294.32
*** SPLIT TRANSACTIONS TOTAL ***			\$50,844.80	\$3,542.26	\$0.00	\$3,542.26		\$3,294.32

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK MICHALETZ #287 </u>								
08/25/2017	MURRY, CHARLES	BOURNE SENIORS HOUSING III	50,000.00	3,500.00	0.00	3,500.00	0.0	3,255.00
08/25/2017	MURRY, CHARLES	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	0.0	6,510.00
08/25/2017	MURRY, CHARLES	HPI FUND V	100,000.00	6,000.00	0.00	6,000.00	0.0	5,580.00
08/31/2017	FIRST CONGREGATIONAL	JNL PERSPECTIVE II 04/14	169,917.11	35.40	0.00	35.40	0.0	32.92
08/31/2017	ZOARS UNITED CHURCH OF CH	JNL PERSPECTIVE II 04/14	33,721.38	7.03	0.00	7.03	0.0	6.54
08/31/2017	OTTO, CURTIS	JNL PERSPECTIVE II 05/05	8,118.23	6.77	0.00	6.77	0.0	6.30
08/31/2017	PETERSON, IONE	JNL PERSPECTIVE L	126,127.51	136.64	0.00	136.64	0.0	127.08
08/31/2017	PANKRATZ, SUSAN	JNL PERSPECTIVE L	114,446.83	95.37	0.00	95.37	0.0	88.69
08/31/2017	PANKRATZ, EDWARD	JNL PERSPECTIVE L	205,868.19	171.56	0.00	171.56	0.0	159.55
08/31/2017	DAHLKE, RONALD	JNL PERSPECTIVE L	8,975.22	7.48	0.00	7.48	0.0	6.96
08/31/2017	HOL, PATRICIA	JNL PERSPECTIVE L	124,557.99	103.80	0.00	103.80	0.0	96.53
08/31/2017	HAGER, REBECCA	JNL PERSPECTIVE L	62,256.33	51.88	0.00	51.88	0.0	48.25
08/31/2017	MARSH, JAMES	JNL PERSPECTIVE L	38,021.60	31.68	0.00	31.68	0.0	29.46
08/31/2017	FEAR, WILLIAM	JNL PERSPECTIVE L	135,010.32	112.51	0.00	112.51	0.0	104.63
08/31/2017	PEMBLE, MICHELLE	JNL PERSPECTIVE L	294,042.90	245.04	0.00	245.04	0.0	227.89
08/31/2017	HART, JILLANE	JNL PERSPECTIVE L	94,893.10	79.08	0.00	79.08	0.0	73.54
08/31/2017	HART, KEVIN	JNL PERSPECTIVE L	280,523.46	233.76	0.00	233.76	0.0	217.40
08/31/2017	STEFFL, CHRISTINE	JNL PERSPECTIVE L	24,085.45	20.07	0.00	20.07	0.0	18.67
08/31/2017	BEVAN, HELEN	JNL PERSPECTIVE L	38,269.26	31.90	0.00	31.90	0.0	29.67
09/02/2017	LORENTZ, ANDREW	METLIFE LIFE INS	0.00	43.28	0.00	43.28	0.0	40.25
09/05/2017	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	12.00	-9.72	0.0	-9.04
09/05/2017	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	12.00	-9.73	0.0	-9.05
08/24/2017	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	0.0	4.42
08/25/2017	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	0.0	4.42
08/31/2017	LORENTZ, ROBERT	TRANSAMERICA AA GROWTH A	25,000.00	1,187.50	22.00	1,165.50	0.0	1,083.92
08/21/2017	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	1,500.00	15.00	0.00	15.00	0.0	13.95
08/30/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	45.69	0.46	0.00	0.46	0.0	0.43
08/30/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	45.69	0.46	0.00	0.46	0.0	0.43
08/30/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
08/30/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
08/30/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	68.40	0.68	0.00	0.68	0.0	0.63
08/30/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	68.40	0.68	0.00	0.68	0.0	0.63
08/30/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	50.25	0.50	0.00	0.50	0.0	0.47

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
08/30/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	50.25	0.50	0.00	0.50	0.0	0.47
09/05/2017	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	12.00	-9.00	0.0	-8.37
09/05/2017	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.79	0.00	2.79	0.0	2.59
09/05/2017	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	5.74	0.00	5.74	0.0	5.34
09/05/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	0.00	50.91	0.00	50.91	0.0	47.35
09/05/2017	IRELAND, DANIEL	TRANSAMERICA AA GROWTH C	0.00	44.30	0.00	44.30	0.0	41.20
09/05/2017	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	38.01	0.00	38.01	0.0	35.35
09/05/2017	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	38.01	0.00	38.01	0.0	35.35
09/05/2017	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.56	0.00	5.56	0.0	5.17
09/05/2017	FITTERER, PAMELA	TRANSAMERICA AA GROWTH C	0.00	17.06	0.00	17.06	0.0	15.87
09/05/2017	DORN, SANDRA	TRANSAMERICA AA GROWTH C	0.00	5.78	0.00	5.78	0.0	5.38
09/05/2017	FITTERER, EMILY	TRANSAMERICA AA GROWTH C	0.00	1.78	0.00	1.78	0.0	1.66
09/05/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	16.40	0.00	16.40	0.0	15.25
09/05/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	50.06	0.00	50.06	0.0	46.56
09/05/2017	FITTERER, SAMUEL	TRANSAMERICA AA GROWTH C	0.00	1.54	0.00	1.54	0.0	1.43
09/05/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	43.19	0.00	43.19	0.0	40.17
09/05/2017	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	6.91	0.00	6.91	0.0	6.43
09/05/2017	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	7.88	0.00	7.88	0.0	7.33
09/05/2017	MCRAE, HEATHER	TRANSAMERICA AA GROWTH C	0.00	1.72	0.00	1.72	0.0	1.60
09/05/2017	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.62	0.00	2.62	0.0	2.44
09/05/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	0.00	7.55	0.00	7.55	0.0	7.02
09/05/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	17.83	0.00	17.83	0.0	16.58
09/05/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	0.00	17.25	0.00	17.25	0.0	16.04
09/05/2017	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	11.51	0.00	11.51	0.0	10.70
09/05/2017	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.16	0.00	2.16	0.0	2.01
09/05/2017	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.18	0.00	2.18	0.0	2.03
09/05/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.41	0.00	1.41	0.0	1.31
09/05/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.62	0.00	3.62	0.0	3.37
09/05/2017	MICHALETZ, ADISYN	TRANSAMERICA AA GROWTH C	0.00	1.12	0.00	1.12	0.0	1.04
09/05/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.10	0.00	1.10	0.0	1.02
09/05/2017	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.71	0.00	5.71	0.0	5.31
09/05/2017	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	20.91	0.00	20.91	0.0	19.45
09/05/2017	ROY TOD, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	9.46	0.00	9.46	0.0	8.80

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
09/05/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	4.94	0.00	4.94	0.0	4.59
09/05/2017	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	3.83	0.00	3.83	0.0	3.56
09/05/2017	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	8.02	0.00	8.02	0.0	7.46
09/05/2017	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	1.17	0.00	1.17	0.0	1.09
09/05/2017	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.42	0.00	8.42	0.0	7.83
09/05/2017	SIEBERG, KYLE	TRANSAMERICA AA GROWTH C	0.00	2.64	0.00	2.64	0.0	2.46
09/05/2017	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	13.18	0.00	13.18	0.0	12.26
09/05/2017	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	15.36	0.00	15.36	0.0	14.28
09/05/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	0.00	0.52	0.00	0.52	0.0	0.48
09/05/2017	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.73	0.00	4.73	0.0	4.40
09/05/2017	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.85	0.00	1.85	0.0	1.72
09/05/2017	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.78	0.00	2.78	0.0	2.59
09/05/2017	BOESCH, SKYE	TRANSAMERICA AA GROWTH C	0.00	1.78	0.00	1.78	0.0	1.66
09/05/2017	FLYGARE, MATTHEW	TRANSAMERICA AA GROWTH C	0.00	3.02	0.00	3.02	0.0	2.81
09/05/2017	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.93	0.00	0.93	0.0	0.86
09/05/2017	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.30	0.00	4.30	0.0	4.00
09/05/2017	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	0.00	6.60	0.00	6.60	0.0	6.14
09/05/2017	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.31	0.00	3.31	0.0	3.08
09/05/2017	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	5.09	0.00	5.09	0.0	4.73
09/05/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	45.16	0.00	45.16	0.0	42.00
09/05/2017	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	0.00	3.74	0.00	3.74	0.0	3.48
09/05/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	6.66	0.00	6.66	0.0	6.19
09/05/2017	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.30	0.00	1.30	0.0	1.21
09/05/2017	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.36	0.00	2.36	0.0	2.19
09/05/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	9.85	0.00	9.85	0.0	9.16
09/05/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	0.00	15.28	0.00	15.28	0.0	14.21
09/05/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	6.47	0.00	6.47	0.0	6.02
09/05/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	2.40	0.00	2.40	0.0	2.23
08/16/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	17,178.27	14.32	0.00	14.32	0.0	13.32
08/16/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	17,659.97	14.72	0.00	14.72	0.0	13.69
08/08/2017	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	84,094.08	70.08	0.00	70.08	0.0	65.17
08/13/2017	BECKWITH, ALTON	TRANSAMERICA LIBERTY	87,680.85	73.07	0.00	73.07	0.0	67.96
08/25/2017	MURRY, CHARLES	WAVELAND RESOURCE PARTNERS IV	100,000.00	7,000.00	0.00	7,000.00	0.0	6,510.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
* JACK MICHALETZ SUBTOTAL *			\$2,343,312.99	\$26,936.85	\$58.00	\$26,878.85		\$24,997.38
*** OVERRIDE TRANSACTIONS TOTAL ***			\$2,343,312.99	\$26,936.85	\$58.00	\$26,878.85		\$24,997.38

<u>ADJUSTMENTS</u>								
09/15/2017		*: ETF PROSPECTUS MAILING	0.00					-1.55
09/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					2,606.96
09/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
09/15/2017		*EO INS: CURRENT MONTH INS-JACK	0.00					-300.00
09/15/2017		*MISC: 7-28-17 DATA EXTRACTION	0.00					-500.00
09/15/2017		*MISC: 8-14-17 DATA EXTRACTION	0.00					-450.00
09/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-3.00
09/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-2.41
09/15/2017		*TECH: ADMIN - BRIDGET	0.00					-100.00
09/15/2017		*TECH: ADMIN - CHRISTINE	0.00					-100.00
09/15/2017		*TECH: ADMIN - JANE	0.00					-100.00
09/15/2017		*TECH: ADMIN - NICOLE	0.00					-100.00
09/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
09/15/2017		*TECH: CURRENT MONTH TECH-BORST	0.00					-100.00
09/15/2017		*TECH: CURRENT MONTH TECH-JACK	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$686,828.64
Split Transactions	\$3,294.32
Override Transactions	\$24,997.38
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$715,120.34
Year-to-date Gross	\$4,746,110.88
Year-to-date Earnings	\$4,564,938.40