



Concorde Investment Services, LLC

02/14/2017
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COMMISSION STATEMENT **February 15, 2017**

ROBIN EDGAR
1161 WAYZATA BLVD E
#400
Wayzata, MN 55391

BROKER #: E119
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
12/31/2016	KOSS-WINN BANC ESOP	GRIFFIN INST'L ACCESS R E FUND	0.00	48.18	0.00	48.18	90.0	43.36
12/31/2016	HANSON, SCOTT	GRIFFIN INST'L ACCESS R E FUND	0.00	10.73	0.00	10.73	90.0	9.66
12/31/2016	OBERMEYER, HEIDI	GRIFFIN INST'L ACCESS R E FUND	0.00	10.33	0.00	10.33	90.0	9.30
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$69.24	\$0.00	\$69.24		\$62.32
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/30/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	1.29	0.00	1.29	90.0	1.16
01/30/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	1.29	0.00	1.29	90.0	1.16
02/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	90.0	2.53
02/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
01/24/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38
01/24/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
01/24/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	31.25	1.17	0.00	1.17	90.0	1.05
01/24/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	53.65	2.01	0.00	2.01	90.0	1.81
01/24/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	35.40	1.33	0.00	1.33	90.0	1.20
01/24/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	34.37	1.29	0.00	1.29	90.0	1.16
01/23/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	42.99	1.61	0.00	1.61	90.0	1.45
01/23/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	25.79	0.97	0.00	0.97	90.0	0.87
01/23/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	18.38	0.69	0.00	0.69	90.0	0.62
01/23/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	11.03	0.41	0.00	0.41	90.0	0.37
01/24/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
01/24/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
01/24/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	154.50	5.79	0.00	5.79	90.0	5.21
01/24/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	90.00	3.38	0.00	3.38	90.0	3.04
01/30/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	22.52	0.84	0.00	0.84	90.0	0.76
01/30/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	37.53	1.41	0.00	1.41	90.0	1.27
01/30/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	6.41	0.24	0.00	0.24	90.0	0.22

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/30/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	10.69	0.40	0.00	0.40	90.0	0.36
02/01/2017	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	75.00	2.81	0.00	2.81	90.0	2.53
01/23/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	10.80	0.41	0.00	0.41	90.0	0.37
01/23/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	10.80	0.41	0.00	0.41	90.0	0.37
01/23/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	58.43	2.19	0.00	2.19	90.0	1.97
01/23/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	29.21	1.10	0.00	1.10	90.0	0.99
01/24/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	31.25	1.17	0.00	1.17	90.0	1.05
01/24/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	53.64	2.01	0.00	2.01	90.0	1.81
01/24/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	193.13	7.24	0.00	7.24	90.0	6.52
01/24/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	56.25	2.11	0.00	2.11	90.0	1.90
01/24/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	35.41	1.33	0.00	1.33	90.0	1.20
01/24/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	34.38	1.29	0.00	1.29	90.0	1.16
01/30/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	18.00	0.68	0.00	0.68	90.0	0.61
01/30/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	18.00	0.68	0.00	0.68	90.0	0.61
01/30/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	29.21	1.10	0.00	1.10	90.0	0.99
01/30/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	58.43	2.19	0.00	2.19	90.0	1.97
01/30/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	96.00	3.60	0.00	3.60	90.0	3.24
01/30/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	224.00	8.40	0.00	8.40	90.0	7.56
* MUTUAL FUNDS SUBTOTAL *			\$2,175.45	\$81.58	\$0.00	\$81.58		\$73.44
<u>PRODUCT CATEGORY: STOCKS</u>								
01/31/2017	KOSS-WINN BANC ESOP	ACADIA PHARMACEUTICALS INC COM	16,887.70	50.00	22.75	27.25	90.0	24.53
02/06/2017	KOSS-WINN BANC ESOP	ACADIA PHARMACEUTICALS INC COM	-18,554.20	50.00	22.75	27.25	90.0	24.53
02/01/2017	KOSS-WINN BANC ESOP	ALPHABET INC CAP STK CL C	59,512.80	50.00	22.50	27.50	90.0	24.75
02/03/2017	KOSS-WINN BANC ESOP	AMAZON COM INC	61,224.75	50.00	22.50	27.50	90.0	24.75
01/31/2017	KOSS-WINN BANC ESOP	DH CORP COM ISIN#CA23290R1010	1,763.00	6.66	10.88	-4.22	90.0	-3.80
01/31/2017	KOSS-WINN BANC ESOP	DH CORP COM ISIN#CA23290R1010	885.95	3.33	9.94	-6.61	90.0	-5.95
01/31/2017	KOSS-WINN BANC ESOP	DH CORP COM ISIN#CA23290R1010	7,087.60	26.66	16.53	10.13	90.0	9.12
01/31/2017	KOSS-WINN BANC ESOP	DH CORP COM ISIN#CA23290R1010	1,771.90	6.66	10.88	-4.22	90.0	-3.80
01/31/2017	KOSS-WINN BANC ESOP	DH CORP COM ISIN#CA23290R1010	1,771.90	6.69	10.88	-4.19	90.0	-3.77
01/31/2017	KOSS-WINN BANC ESOP	PERRIGO CO PLC SHS ISIN#IE00BGH1M56	15,229.00	50.00	22.50	27.50	90.0	24.75
01/31/2017	KOSS-WINN BANC ESOP	VERTEX PHARMACEUTICALS INC COM	16,905.90	50.00	22.50	27.50	90.0	24.75
02/06/2017	KOSS-WINN BANC ESOP	WINNEBAGO INDUSTRIES INC	31,660.00	50.00	23.50	26.50	90.0	23.85
* STOCKS SUBTOTAL *			\$196,146.30	\$400.00	\$218.11	\$181.89		\$163.71

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
*** BROKER TRANSACTIONS TOTAL ***			\$198,321.75	\$550.82	\$218.11	\$332.71		\$299.47

ADJUSTMENTS								
02/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2017		*PERSHING: UIT PROSPECTUS	0.00					-2.41
02/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-577.41

BROKER COMMISSION TOTALS	
Broker Transactions	\$299.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-577.41
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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Balance Forward	\$-277.94
Year-to-date Gross	\$30,107.67
Year-to-date Earnings	\$26,675.21

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