



Concorde Investment Services, LLC

09/13/2017

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COMMISSION STATEMENT

September 15, 2017

JON PAUL CIRELLI
14255 US Hwy One
Suite 232
Juno Beach, FL 33408

BROKER #: C141
BRANCH: FORTITUDE-JUNO BEACH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
08/18/2017	BELKE FAMILY HOLDING	GPB AUTOMOTIVE PORTFOLIO LP	45,000.00	3,150.00	0.00	3,150.00	95.0	2,992.50
		* PRIVATE PLACEMENT SUBTOTAL *	\$45,000.00	\$3,150.00	\$0.00	\$3,150.00		\$2,992.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/09/2017	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.04	0.00	11.04	95.0	10.49
08/09/2017	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	33.12	0.00	33.12	95.0	31.46
08/16/2017	WAGNER, DENNIS	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.55	0.00	5.55	95.0	5.27
08/16/2017	JARDIN, DEAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	10.49	0.00	10.49	95.0	9.97
08/17/2017	DODGE, CHERYL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.96	0.00	5.96	95.0	5.66
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$66.16	\$0.00	\$66.16		\$62.85
		*** BROKER TRANSACTIONS TOTAL ***	\$45,000.00	\$3,216.16	\$0.00	\$3,216.16		\$3,055.35

SPLIT TRANSACTIONS

BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251

09/11/2017	TK MARSHALL INC	CANTOR CF NET LEASE PF 9 DST	500,000.00	9,900.00	0.00	9,900.00	95.0	9,405.00
08/07/2017	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.52	0.00	2.52	95.0	2.39
09/11/2017	TK MARSHALL INC	HIGHLAND CAPITAL NREA GARDENS DST	1,091,261.59	21,606.98	0.00	21,606.98	95.0	20,526.63
		* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *	\$1,591,261.59	\$31,509.50	\$0.00	\$31,509.50		\$29,934.02

BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254

08/17/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.03	0.00	0.03	95.0	0.03
08/16/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.09	0.00	0.09	95.0	0.09
08/16/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.15	0.00	0.15	95.0	0.14
08/15/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.27	0.00	0.27	95.0	0.26
08/15/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.24	0.00	0.24	95.0	0.23
08/15/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.11	0.00	0.11	95.0	0.10

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
08/15/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.10	0.00	0.10	95.0	0.10
08/15/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.20	0.00	0.20	95.0	0.19
08/15/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.29	0.00	1.29	95.0	1.23
08/15/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.14	0.00	1.14	95.0	1.08
08/15/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.12	0.00	0.12	95.0	0.11
08/15/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.13	0.00	0.13	95.0	0.12
08/15/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.09	0.00	0.09	95.0	0.09
08/17/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.07	0.00	0.07	95.0	0.07
08/10/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.15	0.00	0.15	95.0	0.14
08/10/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.70	0.00	0.70	95.0	0.67
08/18/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.32	0.00	0.32	95.0	0.30
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$0.00	\$5.20	\$0.00	\$5.20		\$4.95
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
08/25/2017	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.22	0.00	2.22	95.0	2.11
08/09/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.54	0.00	6.54	95.0	6.21
08/09/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.53	0.00	5.53	95.0	5.25
08/09/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.60	0.00	16.60	95.0	15.77
08/09/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.32	0.00	5.32	95.0	5.05
08/09/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.94	0.00	15.94	95.0	15.14
09/12/2017	KLANSKY, ARTHUR	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	1,750.00	0.00	1,750.00	95.0	1,662.50
08/16/2017	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.30	0.00	5.30	95.0	5.04
08/16/2017	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.90	0.00	15.90	95.0	15.11
08/16/2017	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.17	0.00	2.17	95.0	2.06
08/15/2017	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	1.80	0.00	1.80	95.0	1.71
08/15/2017	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	2.26	0.00	2.26	95.0	2.15
08/15/2017	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.62	0.00	1.62	95.0	1.54
08/17/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.44	0.00	0.44	95.0	0.42
08/17/2017	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.25	0.00	9.25	95.0	8.79
08/17/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.34	0.00	0.34	95.0	0.32
08/10/2017	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	68.82	0.00	68.82	95.0	65.38
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			\$50,000.00	\$1,910.05	\$0.00	\$1,910.05		\$1,814.55

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
08/07/2017	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	13.73	0.00	13.73	95.0	13.04
08/07/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.33	0.00	1.33	95.0	1.26
09/01/2017	SKOLNICK, SHEILA	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	1,750.00	0.00	1,750.00	95.0	1,662.50
08/16/2017	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.51	0.00	0.51	95.0	0.48
08/17/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.26	0.00	3.26	95.0	3.10
08/17/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.33	0.00	1.33	95.0	1.26
08/17/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.70	0.00	0.70	95.0	0.67
08/17/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.28	0.00	2.28	95.0	2.17
08/17/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.59	0.00	0.59	95.0	0.56
08/17/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.69	0.00	2.69	95.0	2.56
08/17/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.83	0.00	0.83	95.0	0.79
08/17/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.02	0.00	2.02	95.0	1.92
08/17/2017	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.37	0.00	1.37	95.0	1.30
08/17/2017	STARK, LINDA	MAINSTAY MAP A	0.00	0.48	0.00	0.48	95.0	0.46
08/17/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	0.45	0.00	0.45	95.0	0.43
08/10/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.14	0.00	2.14	95.0	2.03
08/21/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	2.61	0.00	2.61	95.0	2.48
08/15/2017	DOBUCKI, CHARLES	PASSCO HAVEN DST	185,000.00	2,775.00	0.00	2,775.00	95.0	2,636.25
08/09/2017	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.08	0.00	0.08	95.0	0.08
08/09/2017	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.21	0.00	0.21	95.0	0.20
08/18/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.21	0.00	0.21	95.0	0.20
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$285,000.00	\$4,561.82	\$0.00	\$4,561.82		\$4,333.74
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
08/15/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.07	0.00	0.07	95.0	0.07
08/07/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.16	0.00	2.16	95.0	2.05
08/07/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.35	0.00	0.35	95.0	0.33
08/07/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.16	0.00	1.16	95.0	1.10
08/07/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.60	0.00	1.60	95.0	1.52
08/21/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.82	0.00	0.82	95.0	0.78
08/17/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.06	0.00	1.06	95.0	1.01
08/17/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.35	0.00	2.35	95.0	2.23
08/17/2017	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.13	0.00	0.13	95.0	0.12
08/17/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.34	0.00	1.34	95.0	1.27

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
08/17/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.92	0.00	0.92	95.0	0.87
08/10/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.41	0.00	2.41	95.0	2.29
08/14/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.75	0.00	0.75	95.0	0.71
08/14/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.72	0.00	0.72	95.0	0.68
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$0.00	\$15.84	\$0.00	\$15.84		\$15.03
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
08/07/2017	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.42	0.00	3.42	95.0	3.25
08/17/2017	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.66	0.00	0.66	95.0	0.63
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$4.08	\$0.00	\$4.08		\$3.88
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
08/15/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.22	0.00	0.22	95.0	0.21
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.22	\$0.00	\$0.22		\$0.21
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
08/15/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	95.0	0.06
08/17/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.51	0.00	1.51	95.0	1.43
08/17/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.91	0.00	4.91	95.0	4.66
08/17/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.23	0.00	3.23	95.0	3.07
08/17/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.34	0.00	2.34	95.0	2.22
08/09/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.36	0.00	0.36	95.0	0.34
08/09/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.90	0.00	0.90	95.0	0.86
08/09/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.04	0.00	0.04	95.0	0.04
08/09/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.13	0.00	0.13	95.0	0.12
08/16/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.92	0.00	0.92	95.0	0.87
08/16/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.76	0.00	2.76	95.0	2.62
08/16/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.15	0.00	1.15	95.0	1.09
08/16/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.44	0.00	3.44	95.0	3.27
08/16/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.76	0.00	0.76	95.0	0.72
08/16/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.30	0.00	2.30	95.0	2.19
08/16/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.92	0.00	0.92	95.0	0.87
08/16/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.76	0.00	2.76	95.0	2.62
08/16/2017	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.59	0.00	0.59	95.0	0.56
08/16/2017	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.90	0.00	0.90	95.0	0.86

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
08/16/2017	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.56	0.00	1.56	95.0	1.48
08/16/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.24	0.00	1.24	95.0	1.18
08/16/2017	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.49	0.00	1.49	95.0	1.42
08/16/2017	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.56	0.00	4.56	95.0	4.33
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$0.00	\$38.83	\$0.00	\$38.83		\$36.88
<u>BROKER: M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR #277</u>								
08/09/2017	KISSIL, GEORGE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.26	0.00	1.26	95.0	1.20
08/09/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.64	0.00	2.64	95.0	2.51
08/09/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.92	0.00	7.92	95.0	7.52
* M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR SUBTOTAL *			\$0.00	\$11.82	\$0.00	\$11.82		\$11.23
<u>BROKER: R138 DAN/JON PAUL FT-RAUPP/CIRELLI #350</u>								
09/01/2017	DAN ADAM LLC	BOURNE POCONO DST	200,000.00	3,250.00	0.00	3,250.00	95.0	3,087.50
08/29/2017	LEONARD, THOMAS	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	1,750.00	0.00	1,750.00	95.0	1,662.50
08/31/2017	LEONARD, THOMAS	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	0.00	0.00	0.00	95.0	0.00
09/01/2017	DAN ADAM LLC	HIGHLAND CAPITAL NREA GARDENS DST	342,000.00	5,130.00	0.00	5,130.00	95.0	4,873.50
09/01/2017	DAN ADAM LLC	PASSCO VOYAGER DST	200,000.00	3,000.00	0.00	3,000.00	95.0	2,850.00
* R138 DAN/JON PAUL FT-RAUPP/CIRELLI SUBTOTAL *			\$942,000.00	\$13,130.00	\$0.00	\$13,130.00		\$12,473.50
<u>BROKER: P128-ROB/DAN/JON PAU FT-PECHA/RAUPP #379</u>								
09/05/2017	KRESLAVSKAYA, YEVGENIYA	GPB AUTOMOTIVE PORTFOLIO LP	40,000.00	700.00	0.00	700.00	95.0	665.00
* P128-ROB/DAN/JON PAU FT-PECHA/RAUPP SUBTOTAL *			\$40,000.00	\$700.00	\$0.00	\$700.00		\$665.00
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
08/25/2017	CSD FAIRWAYS HLDGS	BLUEROCK SONOMA POINTE DST	2,000,000.00	6,620.76	0.00	6,620.76	95.0	6,289.72
08/28/2017	CSD PARK CRESCENT TH	BLUEROCK SONOMA POINTE DST	721,570.00	2,386.79	0.00	2,386.79	95.0	2,267.45
08/29/2017	CSD MAIDEN HLDGS	BLUEROCK SONOMA POINTE DST	2,000,000.00	6,620.76	0.00	6,620.76	95.0	6,289.72
09/01/2017	CSD ERIE HOLDINGS	BLUEROCK SONOMA POINTE DST	373,316.00	1,235.82	0.00	1,235.82	95.0	1,174.03
08/25/2017	FHL PARK CRESCENT	INLAND DENVER MSA MULTIFAM'Y II DST	1,000,000.00	2,500.00	0.00	2,500.00	95.0	2,375.00
08/25/2017	CSD FAIRWAYS HLDGS	INLAND DENVER MSA MULTIFAM'Y II DST	500,000.00	1,250.00	0.00	1,250.00	95.0	1,187.50
08/28/2017	CSD PARKLANDS	INLAND DENVER MSA MULTIFAM'Y II DST	500,000.00	1,250.00	0.00	1,250.00	95.0	1,187.50
08/30/2017	FHL WILLOW POND	INLAND DENVER MSA MULTIFAM'Y II DST	500,000.00	1,250.00	0.00	1,250.00	95.0	1,187.50
08/28/2017	CSD PARK CRESCENT TH	INLAND GULF COAST IND'L DST	308,118.00	770.30	0.00	770.30	95.0	731.79
09/01/2017	CSD ERIE HOLDINGS	INLAND GULF COAST IND'L DST	1,490,646.00	3,726.62	0.00	3,726.62	95.0	3,540.29

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381								
08/25/2017	FHL PARK CRESCENT	INLAND SELF STORAGE PF V DST	2,000,000.00	5,000.00	0.00	5,000.00	95.0	4,750.00
08/25/2017	CSD FAIRWAYS HLDGS	INLAND SELF STORAGE PF V DST	505,000.00	1,262.50	0.00	1,262.50	95.0	1,199.38
08/25/2017	AMD GV HOLDINGS LLC	INLAND SELF STORAGE PF V DST	1,500,000.00	3,750.00	0.00	3,750.00	95.0	3,562.50
08/28/2017	CSD PARKLANDS	INLAND SELF STORAGE PF V DST	755,000.00	1,887.50	0.00	1,887.50	95.0	1,793.13
08/29/2017	CSD MAIDEN HLDGS	INLAND SELF STORAGE PF V DST	1,010,000.00	2,525.00	0.00	2,525.00	95.0	2,398.75
08/30/2017	FHL WILLOW POND	INLAND SELF STORAGE PF V DST	863,960.00	2,159.90	0.00	2,159.90	95.0	2,051.91
08/25/2017	AMD GV HOLDINGS LLC	INLAND TEXAS HEALTHCARE PF II DST	2,125,000.00	5,312.50	0.00	5,312.50	95.0	5,046.88
08/30/2017	FHL WILLOW POND	INLAND TEXAS HEALTHCARE PF II DST	700,000.00	1,750.00	0.00	1,750.00	95.0	1,662.50
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$18,852,610.00	\$51,258.45	\$0.00	\$51,258.45		\$48,695.55
*** SPLIT TRANSACTIONS TOTAL ***			\$21,760,871.59	\$103,145.81	\$0.00	\$103,145.81		\$97,988.54

OVERRIDE TRANSACTIONS

BROKER: MARC LINSKY #263								
09/07/2017	LINSKY, VARIOUS	TRANSAMERICA	0.00	37.15	0.00	37.15	90.0	0.63
09/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	1,000.00	10.00	0.00	10.00	90.0	0.17
09/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	17.00	0.00	17.00	90.0	0.29
09/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
08/15/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.11	0.00	5.11	90.0	0.09
08/15/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	20.60	0.00	20.60	90.0	0.35
09/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	90.0	0.29
08/03/2017	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	-2,482.25	22.00	0.00	22.00	90.0	0.37
08/25/2017	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	100,003.20	1,000.03	0.00	1,000.03	90.0	17.00
08/29/2017	ROSENBLUM, LUCILLE	GRIFFIN INST'L ACCESS R E FUND C	25,025.13	250.25	0.00	250.25	90.0	4.25
09/08/2017	KRAMER, LARRY	GRIFFIN INST'L ACCESS R E FUND C	28,005.26	280.05	0.00	280.05	90.0	4.76
08/16/2017	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.11	0.00	5.11	90.0	0.09
08/31/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	259,259.05	216.05	0.00	216.05	90.0	3.67
08/31/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	265,503.80	221.25	0.00	221.25	90.0	3.76
08/31/2017	NANI, JOSEPH	JNL PERSPECTIVE L	408,064.44	340.05	0.00	340.05	90.0	5.78
08/31/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	509,774.84	424.81	0.00	424.81	90.0	7.22
08/31/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	105,803.17	88.17	0.00	88.17	90.0	1.50
08/31/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,034.24	2.92	0.00	2.92	90.0	0.05
08/31/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	208,983.82	174.15	0.00	174.15	90.0	2.96

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
09/01/2017	PISANI, NICHOLAS	LFG LNCTV679U	250.00	10.00	0.00	10.00	90.0	0.17
09/01/2017	PISANI, CARLA	LFG LNDEV679U	541.00	25.70	0.00	25.70	90.0	0.44
08/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	28.80	0.00	28.80	90.0	0.49
08/29/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	4,197.80	136.43	22.00	114.43	90.0	1.95
08/29/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	3,002.40	97.58	22.00	75.58	90.0	1.28
08/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.66	0.00	15.66	90.0	0.27
08/29/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	3,002.19	45.03	22.00	23.03	90.0	0.39
09/05/2017	CARROLLTON, DONNA	METLIFE SHIELD LEVEL SELECT 6 YR	50,000.00	3,000.00	0.00	3,000.00	90.0	51.00
08/28/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	147.24	0.00	147.24	90.0	2.50
08/29/2017	KRAMER, LARRY	SELECT INCOME REIT COM SH BEN INT	-19,311.43	30.00	23.28	6.72	90.0	0.11
08/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	115,822.09	96.52	0.00	96.52	90.0	1.64
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	4.64	0.00	4.64	90.0	0.08
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.44	0.00	0.44	90.0	0.01
08/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.13
08/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
/ /	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	0.00	6.98	0.00	6.98	90.0	0.12
08/28/2017	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	200.00	5.00	0.00	5.00	90.0	0.09
* MARC LINSKY SUBTOTAL *			\$2,082,153.75	\$6,804.22	\$89.28	\$6,714.94		\$114.15
<u>BROKER: ANDREW (ANDREAS) ZIGOURAS #282</u>								
09/05/2017	DILLON, ROBERT	BIODELIVERY SCIENCES INTL INC COM	8,985.00	90.00	26.50	63.50	90.0	2.10
09/08/2017	KWO, RITA	EATON VANCE TAX ADVANTAGED GLO GLOB	74,823.65	747.83	27.00	720.83	90.0	23.79
09/08/2017	KWO, RITA	EATON VANCE TAX ADVANTAGED GLO GLOB	-844.55	0.00	22.50	-22.50	90.0	-0.74
09/08/2017	KWO, RITA	EATON VANCE TAX ADVANTAGED GLO GLOB	11,832.24	89.29	23.05	66.24	90.0	2.19
09/08/2017	KWO, RITA	MONDELEZ INTL INC CL A	-42,239.75	422.36	23.56	398.80	90.0	13.16
09/08/2017	KWO, RITA	PJT PARTNERS INC CL A COM	-1,328.39	50.00	22.50	27.50	90.0	0.91
09/08/2017	KWO, RITA	TCW STRATEGIC INCOME FD INC COM	-25,139.71	251.26	27.00	224.26	90.0	7.40
* ANDREW (ANDREAS) ZIGOURAS SUBTOTAL *			\$26,088.49	\$1,650.74	\$172.11	\$1,478.63		\$48.81
*** OVERRIDE TRANSACTIONS TOTAL ***			\$2,108,242.24	\$8,454.96	\$261.39	\$8,193.57		\$162.96

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
09/15/2017		*EO INS: CURRENT MONTH INS	0.00					-75.00
09/15/2017		*EO INS: CURRENT MONTH INS	0.00					-105.00
09/15/2017		*EO INS: CURRENT MONTH-T THOMPSON	0.00					-105.00
09/15/2017		*EO INS: N ELIAS	0.00					-105.00
09/15/2017		*FEDERAL EXPRESS: 5-920-79549 9/6/1	0.00					-29.46
09/15/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-45.00
09/15/2017		*MISC: 8-31 OVERNIGHT FEE	0.00					-40.00
09/15/2017		*MISC: ADJUSTMENT TO R132	0.00					-11,500.00
09/15/2017		*MISC: C FULMER, A LEEDS	0.00					-540.00
09/15/2017		*MISC: ROY AFLAC 1031DST	0.00					-1,126.00
09/15/2017		*MISC: SARAH, FT L, 1031DST	0.00					-205.00
09/15/2017		*MISC: ZIGOURAS FIA ADJ	0.00					-100.00
09/15/2017		*OVERRIDE: BRANCH ADJ-CIRELLI	0.00					-1,034.58
09/15/2017		*OVERRIDE: LINSKY ADJUSTMENT	0.00					163.50
09/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-0.50
09/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.54
09/15/2017		*TECH: ADMIN FULMER	0.00					-25.00
09/15/2017		*TECH: ADMIN-AMY LEEDS	0.00					-33.00
09/15/2017		*TECH: CURRENT MONTH TECH	0.00					-68.75
09/15/2017		*TECH: CURRENT MONTH TECH	0.00					-96.25
09/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					-96.25
09/15/2017		*TECH: N ELIAS	0.00					-96.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-15,263.08

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BROKER COMMISSION TOTALS

Broker Transactions	\$3,055.35
Split Transactions	\$97,988.54
Override Transactions	\$162.96
Adjustments	\$-15,263.08
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$85,943.77
Year-to-date Gross	\$474,515.62
Year-to-date Earnings	\$456,738.51