



COMMISSION STATEMENT

July 02, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/25/2018	***-**-752I - BRODER, RUTH	FIDELITY ADV BALANCED CL A	550.00	18.00	18.00	0.00	70.0	0.00
	ACCOUNT #: LSR010670	SELL 26 Units @21.4100						
06/27/2018	***-**-736I - UNGER, HELEN	PACIFIC FUNDS FLOAT RATE INCOME CL	100.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011196	SELL 10 Units @10.0800						
06/27/2018	***-**-736I - UNGER, HELEN	PACIFIC FUNDS STRATEGIC INC FD A	100.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011196	SELL 9 Units @10.6600						
06/27/2018	***-**-721I - GUARNA, CATERINA	PUTNAM DIVERSIFIED INCOME FUND CLAS	111.24	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012322	SELL 16 Units @7.0300						
* MUTUAL FUNDS SUBTOTAL *			\$861.24	\$18.00	\$18.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	***-**-642O - DINNERSTEIN,	FIDELITY CASH MM	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011108	BUY 1 Units @0.0000						
07/02/2018	***-**-657I - BERRIEN, ALLEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: LSR010323	BUY 1 Units @0.0000						
07/02/2018	***-**-226O - MAYERSON, MS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR010890	BUY 1 Units @0.0000						
07/02/2018	***-**-226O - MAYERSON, MS	PACIFIC FUNDS FLOAT RATE INCOME CL	0.00	0.56	0.00	0.56	70.0	0.39
	ACCOUNT #: LSR010890	BUY 1 Units @0.0000						
07/02/2018	***-**-736I - UNGER, HELEN	PACIFIC FUNDS FLOAT RATE INCOME CL	0.00	0.90	0.00	0.90	70.0	0.63
	ACCOUNT #: LSR011196	BUY 1 Units @0.0000						
07/02/2018	***-**-714O - MAYERSON, STEVEN	PACIFIC FUNDS FLOAT RATE INCOME CL	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: LSR012678	BUY 1 Units @0.0000						
07/02/2018	***-**-608O - MAYERSON, ERIC	PACIFIC FUNDS FLOAT RATE INCOME CL	0.00	1.16	0.00	1.16	70.0	0.81
	ACCOUNT #: LSR012679	BUY 1 Units @0.0000						
07/02/2018	***-**-673I - CZUBIK,	PACIFIC FUNDS LMT DURATION HIGH INC	0.00	2.91	0.00	2.91	70.0	2.04
	ACCOUNT #: LSR010662	BUY 1 Units @0.0000						
07/02/2018	***-**-521I - SCHRYNEMAKERS,	PACIFIC FUNDS LMT DURATION HIGH INC	0.00	4.34	0.00	4.34	70.0	3.04
	ACCOUNT #: LSR010663	BUY 1 Units @0.0000						
07/02/2018	***-**-918I - SANCHEZ, THERESA	PACIFIC FUNDS LMT DURATION HIGH INC	0.00	2.75	0.00	2.75	70.0	1.93
	ACCOUNT #: LSR010742	BUY 1 Units @0.0000						
07/02/2018	***-**-18IN - SANCHEZ, THERESA	PACIFIC FUNDS LMT DURATION HIGH INC	0.00	3.28	0.00	3.28	70.0	2.30
	ACCOUNT #: LSR010749	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/02/2018	***-**-736I - UNGER, HELEN ACCOUNT #: LSR011196	PACIFIC FUNDS STRATEGIC INC FD A BUY 1 Units @0.0000	0.00	2.23	0.00	2.23	70.0	1.56
07/02/2018	***-**-38UT - SANTOS UTMA CT, ACCOUNT #: LSR011266	PL PORT OPTIMIZATION MOD AGGRESSIVE BUY 1 Units @0.0000	0.00	1.01	0.00	1.01	70.0	0.71
07/02/2018	***-**-24UT - SANTOS UTMA CT, ACCOUNT #: LSR011267	PL PORT OPTIMIZATION MOD AGGRESSIVE BUY 1 Units @0.0000	0.00	1.01	0.00	1.01	70.0	0.71
07/02/2018	***-**-68UT - SANTOS UTMA CT, ACCOUNT #: LSR011270	PL PORT OPTIMIZATION MOD AGGRESSIVE BUY 1 Units @0.0000	0.00	0.50	0.00	0.50	70.0	0.35
07/02/2018	***-**-71UT - SANTOS UTMA CT, ACCOUNT #: LSR011271	PL PORT OPTIMIZATION MOD AGGRESSIVE BUY 1 Units @0.0000	0.00	0.50	0.00	0.50	70.0	0.35
07/02/2018	***-**-223I - GAY, LISA ACCOUNT #: LSR011789	PL PORTFOLIO OPTIMIZATION AGGRESSIV BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	70.0	0.89
07/02/2018	***-**-340I - PERRONE, JOSEPH ACCOUNT #: LSR011803	PL PORTFOLIO OPTIMIZATION AGGRESSIV BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	70.0	0.89
07/02/2018	***-**-732I - FARGUHAR, ACCOUNT #: LSR011806	PL PORTFOLIO OPTIMIZATION AGGRESSIV BUY 1 Units @0.0000	0.00	2.41	0.00	2.41	70.0	1.69
07/02/2018	***-**-839X - D'AMICO, AMY ACCOUNT #: LSR012357	PL PORTFOLIO OPTIMIZATION AGGRESSIV BUY 1 Units @0.0000	0.00	4.15	0.00	4.15	70.0	2.91
07/02/2018	***-**-57IO - SOCHA, CYNTHIA ACCOUNT #: LSR010500	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	7.47	0.00	7.47	70.0	5.23
07/02/2018	***-**-340J - PERRONE, ACCOUNT #: LSR011761	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	70.0	0.89
07/02/2018	***-**-223I - GAY, LISA ACCOUNT #: LSR011789	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	4.22	0.00	4.22	70.0	2.95
07/02/2018	***-**-059O - LIBBY, AMY ACCOUNT #: LSR012236	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	3.01	0.00	3.01	70.0	2.11
07/02/2018	***-**-036I - LIBBY, GREGORY ACCOUNT #: LSR012248	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	3.04	0.00	3.04	70.0	2.13
07/02/2018	***-**-059I - LIBBY, AMY ACCOUNT #: LSR012269	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	3.31	0.00	3.31	70.0	2.32
07/02/2018	***-**-036I - LIBBY, GREGORY ACCOUNT #: LSR012248	PL PORTFOLIO OPTIMIZATION MODERATE BUY 1 Units @0.0000	0.00	1.01	0.00	1.01	70.0	0.71



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<u>ADJUSTMENTS (continued)</u>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$53.68	\$0.00	\$53.68		\$37.62
		*** BROKER TRANSACTIONS TOTAL ***	\$861.24	\$71.68	\$18.00	\$53.68		\$37.62

BROKER COMMISSION TOTALS

Broker Transactions	\$37.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$37.62
Year-to-date Earnings	\$18,907.77