

January 01, 2018

DEVIN BORN 128 Matthew Dr Sinking Springs, PA 19608 BROKER #: A95

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER	TRANSACTIONS					
PRODUCT	CATEGORY: MUTUAL FUNDS							
12/11/201	7 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499648	FIDELITY ADV MF 040813 BUY 2 Units @20.8100	50.00	1.88	0.00	1.88	75.0	1.41
12/15/201	7 ***-**-4794 - BARRETT, JAMES ACCOUNT #: 00700238868	FIDELITY ADV TOTAL BOND-CL A BUY 27 Units @11.1300	300.00	11.25	0.00	11.25	75.0	8.44
12/11/201	7 ***-**-03IN - COLLINS, CHRISTY ACCOUNT #: 00777296924	HIGH YIELD A BUY 27 Units @3.6500	100.00	3.50	0.00	3.50	75.0	2.63
12/11/201	7 ***-**-03IN - COLLINS, CHRISTY ACCOUNT #: 00777296925	HIGH YIELD A BUY 27 Units @3.6500	100.00	3.50	0.00	3.50	75.0	2.63
12/12/201	7 ***-**-0738 - WESSNER, TODD ACCOUNT #: 00002492630	JOHN HANCOCK DISCIPLINED VALUE A BUY 1 Units @24.4500	33.84	0.96	0.00	0.96	75.0	0.72
12/12/201	7 ***-**-0738 - WESSNER, TODD ACCOUNT #: 00002492630	JOHN HANCOCK DISCIPLINED VALUE A BUY 5 Units @24.4500	112.80	3.21	0.00	3.21	75.0	2.41
12/12/201	7 ***-**-0491 - SUTTON, WAYNE ACCOUNT #: 00002409892	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 2 Units @18.5100	30.28	0.86	0.00	0.86	75.0	0.65
12/12/201	7 ***-**-0491 - SUTTON, WAYNE ACCOUNT #: 00002409892	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 2 Units @18.5100	30.28	0.86	0.00	0.86	75.0	0.65
12/12/201	7 ***-**-3295 - KUNKEL, WILLIAM ACCOUNT #: 00002492633	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 2 Units @18.5100	32.09	0.91	0.00	0.91	75.0	0.68
12/12/201	7 ***-**-3295 - KUNKEL, WILLIAM ACCOUNT #: 00002492633	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 2 Units @18.5100	32.09	0.91	0.00	0.91	75.0	0.68
12/12/201	7 ***-**-9183 - DISSINGER, ACCOUNT #: 00003368835	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 1 Units @18.5100	26.79	0.76	0.00	0.76	75.0	0.57
12/12/201	7 ***-**-9183 - DISSINGER, ACCOUNT #: 00003368835	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 1 Units @18.5100	26.79	0.76	0.00	0.76	75.0	0.57
12/12/201	7 ***-**-3321 - WILLIAMS, EDWARD ACCOUNT #: 00002410404	D LIFESTYLE MODERATE PORTFOLIO A BUY 2 Units @15.0400	26.62	0.76	0.00	0.76	75.0	0.57
12/12/201	7 ***-**-3321 - WILLIAMS, EDWARD ACCOUNT #: 00002410404	D LIFESTYLE MODERATE PORTFOLIO A BUY 2 Units @15.0400	26.62	0.76	0.00	0.76	75.0	0.57
		* MUTUAL FUNDS SUBTOTAL	\$928.20	\$30.88	\$0.00	\$30.88		\$23.18



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		BROKER TRANSACTI	ONS (contin	ued)				
PRODUCT C	CATEGORY: STOCKS							
12/22/2017	7 ***-**-68IN - DODDS, JOHN ACCOUNT #: LSM000177	VANGUARD INDEX FDS FORMERLY VANGUAR SELL 5 Units @247.1100	1,235.55	6.67	0.00	6.67	75.0	5.00
12/22/2017	7 ***-**-68IN - DODDS, JOHN ACCOUNT #: LSM000177	VANGUARD INDEX FDS FORMERLY VANGUAR SELL 25 Units @247.1100	6,177.75	33.33	0.00	33.33	75.0	25.00
		* STOCKS SUBTOTAL *	\$7,413.30	\$40.00	\$0.00	\$40.00		\$30.00
PRODUCT O	CATEGORY: 529 PLAN							
12/11/2017	7 ***-**-03IN - COLLINS, CHRISTY ACCOUNT #: 00700912066	FA 529 PORT 2019-OLD CL A BUY 9 Units @21.1900	200.00	6.00	0.00	6.00	75.0	4.50
12/11/2017	7 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499655	FA 529 PORT 2022-CL A BUY 2 Units @27.9600	50.00	1.88	0.00	1.88	75.0	1.41
12/11/2017	7 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499630	FA 529 PORT 2022-CL A BUY 2 Units @27.9600	50.00	1.88	0.00	1.88	75.0	1.41
		* 529 PLAN SUBTOTAL *	\$300.00	\$9.76	\$0.00	\$9.76		\$7.32
PRODUCT C	CATEGORY: MUTUAL FUND 12B1 T	TRAILS						
12/26/2017	7 ***-**-1710 - KILLIAN, FRANCES ACCOUNT #: LSM000170	BLACKROCK HEALTH SCIENCES FUND CLAS BUY 1 Units @0.0000	0.00	6.03	0.00	6.03	75.0	4.52
12/26/2017	7 ***-**-14IN - WHITE, DEBORAH ACCOUNT #: LSM000141	BOND A BUY 1 Units @0.0000	0.00	22.29	0.00	22.29	75.0	16.72
12/26/2017	7 ***-**-087J - BORN, LAUREN ACCOUNT #: LSM000172	BOND A BUY 1 Units @0.0000	0.00	14.28	0.00	14.28	75.0	10.71
12/26/2017	7 ***-**-72IO - BUSHBY, JANET ACCOUNT #: LSR011729	DREYFUS GLOBAL-NFS BUY 1 Units @0.0000	0.00	2.94	0.00	2.94	75.0	2.21
12/26/2017	7 ***-**-05IR - BORN, SHAWN ACCOUNT #: LSM000165	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	2.92	0.00	2.92	75.0	2.19
12/26/2017	7 ***-**-514J - WESSNER, LINDA ACCOUNT #: LSR011799	FEDERATED BOND CLASS A-NFS BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	75.0	0.95
12/26/2017	7 ***-**-514J - WESSNER, LINDA ACCOUNT #: LSR011799	FEDERATED CAPITAL INCOME FUND BUY 1 Units @0.0000	0.00	4.44	0.00	4.44	75.0	3.33
12/26/2017	7 ***-**-514J - WESSNER, LINDA ACCOUNT #: LSR011799	FEDERATED KAUFMANN FUND BUY 1 Units @0.0000	0.00	3.35	0.00	3.35	75.0	2.51



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PRODUCT	CATEGORY: MUTUAL FUND 12B1 T		TRANSACTIONS	(continu	ued)				
	7 ***-**-087J - BORN, LAUREN ACCOUNT #: LSM000172	FINANCIAL INDUSTRIES A BUY 1 Units @0.0000		0.00	1.53	0.00	1.53	75.0	1.15
12/26/201	7 ***-**-05IR - BORN, SHAWN ACCOUNT #: LSM000165	GOLD & SPECIAL MINERALS A BUY 1 Units @0.0000		0.00	0.19	0.00	0.19	75.0	0.14
12/26/201	7 ***-**-87IR - BORN, LAUREN ACCOUNT #: LSM000173	GOLD & SPECIAL MINERALS A BUY 1 Units @0.0000		0.00	0.58	0.00	0.58	75.0	0.44
12/26/201	7 ***-**-087J - BORN, LAUREN ACCOUNT #: LSM000172	GREATER CHINA OPP A BUY 1 Units @0.0000		0.00	2.00	0.00	2.00	75.0	1.50
12/26/201	7 ***-**-57IN - NAUGLE, DENNIS ACCOUNT #: LSM000136	HIGH YIELD A BUY 1 Units @0.0000		0.00	10.67	0.00	10.67	75.0	8.00
12/26/201	7 ***-**-014I - WHITE, DEBORAH ACCOUNT #: LSM000140	HIGH YIELD A BUY 1 Units @0.0000		0.00	20.08	0.00	20.08	75.0	15.06
12/26/201	7 ***-**-068I - SHOLLENBERGER, ACCOUNT #: LSM000143	HIGH YIELD A BUY 1 Units @0.0000		0.00	16.04	0.00	16.04	75.0	12.03
12/26/201	7 ***-**-2810 - KAUFFMAN, ACCOUNT #: LSM000146	HIGH YIELD A BUY 1 Units @0.0000		0.00	20.64	0.00	20.64	75.0	15.48
12/26/201	7 ***-**-86IN - PATTON, JULIE ACCOUNT #: LSM000147	HIGH YIELD A BUY 1 Units @0.0000		0.00	14.86	0.00	14.86	75.0	11.15
12/26/201	7 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: LSM000148	HIGH YIELD A BUY 1 Units @0.0000		0.00	4.40	0.00	4.40	75.0	3.30
12/26/201	7 ***-**-393I - HIMMELBERGER, ACCOUNT #: LSM000154	HIGH YIELD A BUY 1 Units @0.0000		0.00	18.66	0.00	18.66	75.0	14.00
12/26/201	7 ***-**-715I - HIMMELBERGER, ACCOUNT #: LSM000155	HIGH YIELD A BUY 1 Units @0.0000		0.00	11.76	0.00	11.76	75.0	8.82
12/26/201	7 ***-**-5510 - HARTMAN, RICHARI ACCOUNT #: LSM000169	HIGH YIELD A BUY 1 Units @0.0000		0.00	11.99	0.00	11.99	75.0	8.99
12/26/201	7 ***-**-529J - KILLIAN, FRANCES ACCOUNT #: LSM000171	S HIGH YIELD A BUY 1 Units @0.0000		0.00	7.07	0.00	7.07	75.0	5.30
12/26/201	.7 ***-**-087J - BORN, LAUREN ACCOUNT #: LSM000172	HIGH YIELD A BUY 1 Units @0.0000		0.00	17.11	0.00	17.11	75.0	12.83
12/26/201	.7 ***-**-2510 - SMITH, CAROL ACCOUNT #: LSM000178	HIGH YIELD A BUY 1 Units @0.0000		0.00	8.46	0.00	8.46	75.0	6.35



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PPODIICT	CATEGORY: MUTUAL FUND 12B1		SACTIONS (continu	ıed)				
	17 ***-**-9151 - FIDLER, JOYCE ACCOUNT #: LSM000191	HIGH YIELD A BUY 1 Units @0.0000	0.00	8.15	0.00	8.15	75.0	6.11
12/26/201	17 ***-**-596I - EDRIS, TERRY ACCOUNT #: LSR011700	HIGH YIELD A BUY 1 Units @0.0000	0.00	15.33	0.00	15.33	75.0	11.50
12/26/201	17 ***-**-225I - GANGEWERE, MARK ACCOUNT #: LSR011891	HIGH YIELD A BUY 1 Units @0.0000	0.00	0.66	0.00	0.66	75.0	0.50
12/26/201	17 ***-**-5610 - TOWER, PAUL ACCOUNT #: LSM000144	HIGH YIELD B BUY 1 Units @0.0000	0.00	1.36	0.00	1.36	75.0	1.02
12/26/201	17 ***-**-2810 - KAUFFMAN, ACCOUNT #: LSM000146	HIGH YIELD B BUY 1 Units @0.0000	0.00	1.32	0.00	1.32	75.0	0.99
12/26/201	17 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: LSM000148	HIGH YIELD B BUY 1 Units @0.0000	0.00	3.10	0.00	3.10	75.0	2.33
12/26/201	17 ***-**-87IR - BORN, LAUREN ACCOUNT #: LSM000173	INTERNATIONAL BOND A BUY 1 Units @0.0000	0.00	0.39	0.00	0.39	75.0	0.29
12/26/201	17 ***-**-514J - GEHRINGER, ACCOUNT #: LSR011750	JOHN HANCOCK ALTER ASSET ALLOC BUY 1 Units @0.0000	FUND 0.00	2.01	0.00	2.01	75.0	1.51
12/26/201	17 ***-**-38IO - SCHWEMMER, ACCOUNT #: LSR011612	JOHN HANCOCK BOND FUND CLASS B BUY 1 Units @0.0000	0.00	6.46	0.00	6.46	75.0	4.85
12/26/201	17 ***-**-3310 - GARGIULO, PAUL ACCOUNT #: LSR011694	JOHN HANCOCK BOND FUND CLASS B BUY 1 Units @0.0000	0.00	10.41	0.00	10.41	75.0	7.81
12/26/201	17 ***-**-3510 - CAMERON, NANCY ACCOUNT #: LSR011782	JOHN HANCOCK BOND FUND CLASS B BUY 1 Units @0.0000	0.00	6.90	0.00	6.90	75.0	5.18
12/26/201	17 ***-**-710J - MOYER, EVELYN ACCOUNT #: LSR011892	JOHN HANCOCK BOND FUND CLASS B BUY 1 Units @0.0000	0.00	10.01	0.00	10.01	75.0	7.51
12/26/201	17 ***-**-40IO - BERSTLER, DAVID ACCOUNT #: LSR011823	JOHN HANCOCK CLASSIC VALUE FUND BUY 1 Units @0.0000) CLA 0.00	0.84	0.00	0.84	75.0	0.63
12/26/201	17 ***-**-0110 - RATH, ROBERT ACCOUNT #: LSM000189	JOHN HANCOCK LIFESTYLE AGGR POR BUY 1 Units @0.0000	TTFOL 0.00	10.45	0.00	10.45	75.0	7.84
12/26/201	17 ***-**-10IN - YAKAITIS, JOSEPH ACCOUNT #: LSM000175	H JOHN HANCOCK NEW OPPORTUNITIES BUY 1 Units @0.0000	CL A 0.00	3.13	0.00	3.13	75.0	2.35
12/26/201	17 ***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	JOHN HANCOCK NEW OPPORTUNITIES BUY 1 Units @0.0000	CL A 0.00	2.07	0.00	2.07	75.0	1.55



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PRODUCT	CATEGORY: MUTUAL FUND 12B1	BROKER TRANSACT	IONS (continu	ied)				
	7 ***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	` _	0.00	4.86	0.00	4.86	75.0	3.65
12/26/201	7 ***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	LARGE CAP EQUITY B BUY 1 Units @0.0000	0.00	0.20	0.00	0.20	75.0	0.15
12/26/201	7 ***-**-514J - GEHRINGER, ACCOUNT #: LSR011750	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	9.14	0.00	9.14	75.0	6.86
12/26/201	7 ***-**-05IR - BORN, SHAWN ACCOUNT #: LSM000165	LORD ABBETT MULTI ASSET GLOBAL OPP BUY 1 Units @0.0000	0.00	0.12	0.00	0.12	75.0	0.09
12/26/201	7 ***-**-05IR - BORN, SHAWN ACCOUNT #: LSM000165	LORD ABBETT MULTI ASSET GLOBAL OPP BUY 1 Units @0.0000	0.00	0.76	0.00	0.76	75.0	0.57
12/26/201	7 ***-**-2251 - GANGEWERE, MARK ACCOUNT #: LSR011891	OPPENHEIMER SMALL & MID CAP VALUE F BUY 1 Units @0.0000	0.00	2.46	0.00	2.46	75.0	1.85
12/26/201	7 ***-**-87IR - BORN, LAUREN ACCOUNT #: LSM000173	PRINCIPAL INVESTOR HIGH YIELD II CL BUY 1 Units @0.0000	0.00	3.54	0.00	3.54	75.0	2.66
12/26/201	7 ***-**-72IO - BUSHBY, JANET ACCOUNT #: LSR011729	PUTNAM ABSOLUTE RETURN 500 FUND CLA BUY 1 Units @0.0000	0.00	2.59	0.00	2.59	75.0	1.94
12/26/201	7 ***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	PUTNAM CAPITAL SPECTRUM FD CL B BUY 1 Units @0.0000	0.00	3.57	0.00	3.57	75.0	2.68
12/26/201	7 ***-**-87IR - BORN, LAUREN ACCOUNT #: LSM000173	PUTNAM GROWTH OPPORTUNITIES FUND CL BUY 1 Units @0.0000	0.00	1.75	0.00	1.75	75.0	1.31
12/26/201	7 ***-**-3310 - GARGIULO, PAUL ACCOUNT #: LSR011694	PUTNAM GROWTH OPPORTUNITIES FUND CL BUY 1 Units @0.0000	0.00	1.71	0.00	1.71	75.0	1.28
12/26/201	7 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: LSM000148	PUTNAM HIGH YIELD ADVANTAGE CLASS B BUY 1 Units @0.0000	0.00	6.61	0.00	6.61	75.0	4.96
12/26/201	7 ***-**-3810 - SCHWEMMER, MARY ACCOUNT #: LSR011798	PUTNAM HIGH YIELD ADVANTAGE-A BUY 1 Units @0.0000	0.00	5.29	0.00	5.29	75.0	3.97
12/26/201	7 ***-**-34IO - KAUFFMAN, GARY ACCOUNT #: LSM000145	PUTNAM INCOME FUND B BUY 1 Units @0.0000	0.00	4.02	0.00	4.02	75.0	3.02
12/26/201	7 ***-**-3310 - GARGIULO, PAUL ACCOUNT #: LSR011694	PUTNAM INCOME FUND B BUY 1 Units @0.0000	0.00	5.08	0.00	5.08	75.0	3.81
12/26/201	7 ***-**-1710 - KILLIAN, FRANCES ACCOUNT #: LSM000170	THE HARTFORD CAPITAL APPRECIATION F BUY 1 Units @0.0000	0.00	31.31	0.00	31.31	75.0	23.48



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		BROKER TRANSACTION	ONS (continu	ıed)				
PRODUCT	CATEGORY: MUTUAL FUND 12B1 1	RAILS (continued)						
12/26/201	7 ***-**-57IN - NAUGLE, DENNIS ACCOUNT #: LSM000136	THE HARTFORD HIGH YIELD FUND-A BUY 1 Units @0.0000	0.00	6.53	0.00	6.53	75.0	4.90
12/26/201	7 ***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	THE HARTFORD HIGH YIELD FUND-A BUY 1 Units @0.0000	0.00	8.24	0.00	8.24	75.0	6.18
12/26/201	7 ***-**-0110 - RATH, ROBERT ACCOUNT #: LSM000189	THE HARTFORD MID CAP FUND-A BUY 1 Units @0.0000	0.00	15.29	0.00	15.29	75.0	11.47
12/26/201	7 ***-**-4010 - BERSTLER, DAVID ACCOUNT #: LSR011823	US GLOBAL LEADERS GROWTH C BUY 1 Units @0.0000	0.00	16.98	0.00	16.98	75.0	12.74
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$436.20	\$0.00	\$436.20		\$327.22
PRODUCT	CATEGORY: VARIABLE ANNUITY 1	2B1 TRAILS						
12/26/201	7 ***-**-68IN - ESHBACH, ERIC ACCOUNT #: C255635-0W	ING VA 380789701 BUY 0 Units @0.0000	0.00	70.33	0.00	70.33	75.0	52.75
12/18/201	7 ***-**-2999 - GODFREY, MICHAEI ACCOUNT #: VR06088505	PACIFIC LIFE PORTFOLIOS TRAILS BUY 0 Units @0.0000	0.00	17.40	0.00	17.40	75.0	13.05
	* VA	RIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$87.73	\$0.00	\$87.73		\$65.80
		*** BROKER TRANSACTIONS TOTAL ***	\$8,641.50	\$604.57	\$0.00	\$604.57		\$453.52



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						BROKER COMM	SSION	TOTALS
					Brok	er Transactions		\$453.52
					Spl	it Transactions		\$0.00
					Overri	de Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw	,	\$0.00
						Base Salary		\$0.00
					Prior	Period Balance		\$0.00
					F	INRA Assessment		\$0.00
						SIPC Assessment		\$0.00
					Net Co	mmission Earned	Į.	\$453.52
					Year-to	o-date Earnings		\$453.52