



COMMISSION STATEMENT

May 28, 2018

RICHARD PASCALE
321 Grover Avenue North
Massapequa Park, NY 11762

BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
05/28/2018	***-**-4205 - TURPAN	PENN LIFE VL TRAILS	0.00	11.81	0.00	11.81	90.0	10.63
	ACCOUNT #: 8564255	BUY 1 Units @0.0000						
05/28/2018	***-**-6780 - SERRINS, RICHARD	PENN LIFE VL TRAILS	0.00	5.53	0.00	5.53	90.0	4.98
	ACCOUNT #: 8567518	BUY 1 Units @0.0000						
05/28/2018	***-**-5723 - PONTURO,	PENN LIFE VL TRAILS	0.00	5.36	0.00	5.36	90.0	4.82
	ACCOUNT #: 8571704	BUY 1 Units @0.0000						
	* VARIABLE UNIVERSAL LIFE SUBTOTAL *		\$0.00	\$22.70	\$0.00	\$22.70		\$20.43
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/28/2018	***-**-693I - PONTURO, ANTHONY	VICTORY TRAILS	0.00	0.41	0.00	0.41	90.0	0.37
		BUY 0 Units @0.0000						
05/28/2018	***-**-723I - PONTURO,	VICTORY TRAILS	0.00	0.49	0.00	0.49	90.0	0.44
		BUY 0 Units @0.0000						
05/28/2018	***-**-693I - PONTURO, ANTHONY	VICTORY TRAILS	0.00	0.32	0.00	0.32	90.0	0.29
		BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$1.22	\$0.00	\$1.22		\$1.10
	*** BROKER TRANSACTIONS TOTAL ***		\$0.00	\$23.92	\$0.00	\$23.92		\$21.53

SPLIT TRANSACTIONS

BROKER: JEFFREY MORRISON

05/15/2018	***-**-965P - GUERREIRO, MARIA	FA 529 PORT 2034	200.00	3.00	0.00	3.00	90.0	2.70
	ACCOUNT #: 01489789329	BUY 15 Units @13.7600						
05/15/2018	***-**-8240 - CHOWDHURY, NAHID	FIDELITY ADV FREEDOM 2025-CL A	200.00	2.75	0.00	2.75	90.0	2.48
	ACCOUNT #: 00525061339	BUY 14 Units @13.9000						
05/15/2018	***-**-54IR - SAVARESE-DILL,	FIDELITY ADV FREEDOM 2025-CL A	300.00	4.13	0.00	4.13	90.0	3.72
	ACCOUNT #: 00525061420	BUY 22 Units @13.9000						
05/15/2018	***-**-54IR - SAVARESE-DILL,	FIDELITY ADV FREEDOM 2025-CL A	200.00	2.75	0.00	2.75	90.0	2.48
	ACCOUNT #: 00525061420	BUY 14 Units @13.9000						
05/15/2018	***-**-8240 - CHOWDHURY, NAHID	FIDELITY ADV FREEDOM 2025-CL A	300.00	4.13	0.00	4.13	90.0	3.72
	ACCOUNT #: 00525061339	BUY 22 Units @13.9000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
05/22/2018	***-**-85IN - YANKILEVICH, ACCOUNT #: LSR010898	FIDELITY ADV GROWTH & INCOME CL C BUY 9 Units @26.8900	250.00	1.25	1.50	-0.25	100.0	-0.25
05/22/2018	***-**-85IN - YANKILEVICH, ACCOUNT #: LSR010898	FIDELITY ADV HEALTH CARE CL C BUY 7 Units @38.4000	249.98	1.25	1.50	-0.25	100.0	-0.25
05/22/2018	***-**-120I - SEARS, MARY ACCOUNT #: LSR010352	FIDELITY SPARTAN TOTAL MARKET INDEX SELL 2 Units @78.4200	142.10	0.00	9.00	-9.00	100.0	-9.00
05/11/2018	***-**-724J - PIZZA, MICHAEL	GRIFFIN HEALTHCARE REIT IV BUY 6650 Units @10.0500	66,832.50	1,002.49	0.00	1,002.49	90.0	902.24
05/28/2018	***-**-75IN - WATSON, SANDRA	GRIFFIN INSTITUTIONAL ACCESS RE FUN BUY 0 Units @0.0000	0.00	1.14	0.00	1.14	90.0	1.03
05/28/2018	***-**-24IN - BONGORT, ACCOUNT #: 91119450	GRIFFIN INSTITUTIONAL ACCESS RE FUN BUY 0 Units @0.0000	0.00	1.70	0.00	1.70	90.0	1.53
05/28/2018	***-**-8374 - GURIAN ACCOUNT #: 008568374	PENN LIFE VL TRAILS BUY 1 Units @0.0000	0.00	8.43	0.00	8.43	90.0	7.59
05/28/2018	***-**-6318 - ZALINSKY, STEVEN ACCOUNT #: 8566318	PENN LIFE VL TRAILS BUY 1 Units @0.0000	0.00	8.50	0.00	8.50	90.0	7.65
05/28/2018	***-**-8268 - LEHMANN ACCOUNT #: 8569173	PENN LIFE VL TRAILS BUY 1 Units @0.0000	0.00	0.47	0.00	0.47	90.0	0.42
05/28/2018	***-**-3312 - TRAUTMAN, ACCOUNT #: 8569288	PENN LIFE VL TRAILS BUY 1 Units @0.0000	0.00	3.75	0.00	3.75	90.0	3.38
05/28/2018	***-**-3312 - TRAUTMAN, ACCOUNT #: 8569288	PENN LIFE VL TRAILS BUY 1 Units @0.0000	0.00	3.75	0.00	3.75	90.0	3.38
05/28/2018	***-**-8826 - LAFRANCE, ACCOUNT #: 8570988	PENN LIFE VL TRAILS BUY 1 Units @0.0000	0.00	0.35	0.00	0.35	90.0	0.32
05/28/2018	***-**-1915 - VAGLIO, JOSEPH ACCOUNT #: 8567826	PENN LIFE VL TRAILS BUY 1 Units @0.0000	0.00	7.88	0.00	7.88	90.0	7.09
05/28/2018	***-**-5572 - MORRISON, ACCOUNT #: 8568575	PENN LIFE VL TRAILS BUY 1 Units @0.0000	0.00	0.12	0.00	0.12	90.0	0.11
05/18/2018	***-**-91IN - MILLER SPINETTI, ACCOUNT #: LSR010224	WALMART STORES INC UNSOLICITED ORDE BUY 230 Units @83.6170	19,231.91	62.75	9.80	52.95	90.0	47.66
* JEFFREY MORRISON SUBTOTAL *			\$87,906.49	\$1,120.59	\$21.80	\$1,098.79		\$988.00
*** SPLIT TRANSACTIONS TOTAL ***			\$87,906.49	\$1,120.59	\$21.80	\$1,098.79		\$988.00



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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ALAN FISHMAN</u>								
05/28/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.48	0.00	0.48	7.5	0.04
05/28/2018	***-**-067J - SOMMO, WILLIAM	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.16	0.00	0.16	7.5	0.01
05/28/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS BUY 0 Units @0.0000	0.00	1.30	0.00	1.30	7.5	0.10
05/28/2018	***-**-955P - VICARI,	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.72	0.00	0.72	7.5	0.05
05/28/2018	***-**-9623 - SELKIN, ARTHUR	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.76	0.00	0.76	7.5	0.06
05/28/2018	***-**-690I - LEIFER, JONATHAN	VICTORY TRAILS BUY 0 Units @0.0000	0.00	67.39	0.00	67.39	7.5	5.05
05/28/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS BUY 0 Units @0.0000	0.00	1.29	0.00	1.29	7.5	0.10
* ALAN FISHMAN SUBTOTAL *			\$0.00	\$72.10	\$0.00	\$72.10		\$5.41
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$72.10	\$0.00	\$72.10		\$5.41



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				GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
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