



COMMISSION STATEMENT

January 08, 2018

GARRETT MORETZ

181 North Main Street, Ste 208
Mooresville, NC 28115

BROKER #: B09

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u>								
01/08/2018	***-**-31FB - MERLO, RALPH	GWG L BOND - 7 YR	45,000.00	2,700.00	0.00	2,700.00	82.0	2,214.00
	ACCOUNT #: LG2E6VXS11800	BUY 0 Units @0.0000						
12/07/2017	***-**-8915 - MANSFIELD,	GWG L BOND - 5 YR	105,000.00	6,195.00	0.00	6,195.00	82.0	5,079.90
		BUY 105 Units @1000.0000						
		* ALTERNATIVE INVESTMENTS SUBTOTAL *	\$150,000.00	\$8,895.00	\$0.00	\$8,895.00		\$7,293.90
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/28/2017	***-**-972I - GRIFFIN, OTIS	EATON VANCE INCOME FUND OF BOSTON C	737.91	0.00	0.00	0.00	82.0	0.00
	ACCOUNT #: LSR011960	SELL 128 Units @5.7500						
01/03/2018	***-**-98IR - PFYFFER, ALBERT	FMI LARGE CAP FUND-NFS	299.99	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012324	SELL 14 Units @21.7400						
12/28/2017	***-**-972I - GRIFFIN, OTIS	JANUS HENDERSON HIGH YIELD A-NFS	668.75	0.00	0.00	0.00	82.0	0.00
	ACCOUNT #: LSR011960	SELL 79 Units @8.4600						
		* MUTUAL FUNDS SUBTOTAL *	\$1,706.65	\$0.00	\$18.00	\$-18.00		\$-18.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/31/2017	***-**-2039 - GRINDLE, ROBERT	JACKSON NATIONAL PERSPECTIVE II	0.00	31.58	0.00	31.58	82.0	25.90
	ACCOUNT #: 1007032060	BUY 0 Units @0.0000						
12/31/2017	***-**-9972 - GRINDLE, ANN	JACKSON NATIONAL PERSPECTIVE II	0.00	82.24	0.00	82.24	82.0	67.44
	ACCOUNT #: 1007032079	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$113.82	\$0.00	\$113.82		\$93.34
		*** BROKER TRANSACTIONS TOTAL ***	\$151,706.65	\$9,008.82	\$18.00	\$8,990.82		\$7,369.24



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$7,369.24
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$-12.97
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$7,356.27
						Year-to-date Earnings		\$7,356.27