

**COMMISSION STATEMENT****May 15, 2018**

DAN/JON PAUL FT- J1D NY RAUPP/CIR  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: J1D  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^04/19/2018	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	4.44	0.00	4.44	100.0	0.00
^04/27/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.67	0.00	13.67	100.0	0.00
^04/27/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.51	0.00	11.51	100.0	0.00
^04/27/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	34.53	0.00	34.53	100.0	0.00
^04/27/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.76	0.00	10.76	100.0	0.00
^04/27/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	32.28	0.00	32.28	100.0	0.00
^04/18/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.74	0.00	10.74	100.0	0.00
^04/18/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.23	0.00	32.23	100.0	0.00
^04/18/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.87	0.00	6.87	100.0	0.00
^04/18/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	20.62	0.00	20.62	100.0	0.00
^04/18/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.53	0.00	10.53	100.0	0.00
^04/18/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.59	0.00	31.59	100.0	0.00
^04/18/2018	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.47	0.00	4.47	100.0	0.00
^04/16/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	17.82	0.00	17.82	100.0	0.00
^04/16/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	20.65	0.00	20.65	100.0	0.00
^04/16/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	14.05	0.00	14.05	100.0	0.00
^04/11/2018	HLUDZINSKI, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.67	0.00	3.67	100.0	0.00
^04/11/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.79	0.00	0.79	100.0	0.00
^04/11/2018	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	16.84	0.00	16.84	100.0	0.00
^04/11/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.71	0.00	0.71	100.0	0.00
^04/12/2018	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	141.77	0.00	141.77	100.0	0.00
^04/17/2018	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	4.58	0.00	4.58	100.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$445.12</b>	<b>\$0.00</b>	<b>\$445.12</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$445.12</b>	<b>\$0.00</b>	<b>\$445.12</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
05/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					0.00
05/15/2018		*PERSHING: VOLUNTARY REORG - PNW083723 NEW YORK REIT	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed