

COMMISSION STATEMENT

January 31, 2018

ERIC BELZ
1802 LITTLE JOHN STREET

MONTGOMERY, TX 77316

BROKER #: 26
BRANCH: HOME OFFICECOMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MISCELLANEOUS									
01/31/2018	VARIOUS, BELZ RICHARD	C 41	B	0.00	67.67	0.00	67.67	90.0	60.90
				* MISCELLANEOUS SUBTOTAL *	\$0.00	\$67.67	\$0.00	\$67.67	\$60.90
				*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$67.67	\$0.00	\$67.67	\$60.90

SPLIT TRANSACTIONS**BROKER: RICHARD BELZ #77**

01/08/2018	000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763	B	11.88	0.45	0.00	0.45		0.41
01/22/2018	0000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763	B	11.88	0.45	0.00	0.45		0.41
01/16/2018	0000000000888774	COLEMAN, FRK AGGRESSIVE GRWTH - CL A	B	5.44	0.15	0.00	0.15		0.14
01/08/2018	0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND	B	25.00	0.25	0.00	0.25		0.23
01/22/2018	0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND	B	25.00	0.25	0.00	0.25		0.23
01/16/2018	0000000004328098	COLEMAN, FRK TEMP FOUNDING FUNDS ALLOC FND C	B	18.13	0.51	0.00	0.51		0.46
01/16/2018	0000000004468094	COLEMAN, MUTUAL DISC FND - CL A	B	7.25	0.20	0.00	0.20		0.18
01/18/2018	0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A	B	6.25	0.31	0.00	0.31		0.28
01/08/2018	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS	B	11.88	0.45	0.00	0.45		0.41
01/22/2018	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS	B	11.88	0.45	0.00	0.45		0.41
01/16/2018	000019411906629	COLEMAN, FRK STRAT INC FND - CL A	B	5.44	0.15	0.00	0.15		0.14
01/18/2018	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	6.25	0.25	0.00	0.25		0.23
01/05/2018	00056956375	FERRADO, MICHAEL AMCAP FUND-A	B	20.00	0.85	0.00	0.85		0.77
01/12/2018	00063004460	SCHENK, STEVEN WASHINGTON MUTUAL INVESTORS FUND-A	B	6.25	0.23	0.00	0.23		0.21
01/22/2018	00063004460	SCHENK, STEVEN WASHINGTON MUTUAL INVESTORS FUND-A	B	6.25	0.23	0.00	0.23		0.21
12/26/2017	00064802351	SCHENK, ERIC THE GROWTH FUND OF AMERICA-A	B	1.15	0.04	0.00	0.04		0.04
12/26/2017	00064818808	SCHENK, ERIC AMCAP FUND-A	B	0.75	0.03	0.00	0.03		0.03
12/27/2017	00075280585	MILLER, SAMANTHA AMERICAN BALANCED FUND-A	B	11.25	0.31	0.00	0.31		0.28
12/27/2017	00075280585	MILLER, SAMANTHA THE GROWTH FUND OF AMERICA-A	B	11.25	0.31	0.00	0.31		0.28
01/10/2018	00075280585	MILLER, SAMANTHA AMERICAN BALANCED FUND-A	B	11.25	0.31	0.00	0.31		0.28
01/10/2018	00075280585	MILLER, SAMANTHA THE GROWTH FUND OF AMERICA-A	B	11.25	0.31	0.00	0.31		0.28
01/22/2018	00075280585	MILLER, SAMANTHA AMERICAN BALANCED FUND-A	B	11.25	0.31	0.00	0.31		0.28
01/22/2018	00075280585	MILLER, SAMANTHA THE GROWTH FUND OF AMERICA-A	B	11.25	0.31	0.00	0.31		0.28

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
01/16/2018	00084040075	WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME FUN B	5.00	0.21	0.00	0.21		0.19
01/16/2018	00084040075	WILLIAMS, ALYSSA	WASHINGTON MUTUAL INVESTORS FUND-A B	5.00	0.21	0.00	0.21		0.19
01/16/2018	00088094822	WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C B	2.50	0.03	0.00	0.03		0.03
12/12/2017	00453533309	BURNS, KATHLEEN	PUTNAM 746933100 B	7.50	0.21	0.00	0.21		0.19
12/12/2017	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	7.50	0.21	0.00	0.21		0.19
12/27/2017	00453533309	BURNS, KATHLEEN	PUTNAM 746933100 B	7.50	0.21	0.00	0.21		0.19
12/27/2017	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	7.50	0.21	0.00	0.21		0.19
01/08/2018	00453533309	BURNS, KATHLEEN	PUTNAM 746933100 B	7.50	0.21	0.00	0.21		0.19
01/08/2018	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	7.50	0.21	0.00	0.21		0.19
12/11/2017	00487862253	PERUGINI, MILDRED	PUTNAM 746745108 B	5.00	0.14	0.00	0.14		0.13
12/11/2017	00487862253	PERUGINI, MILDRED	PUTNAM 746802800 B	7.50	0.21	0.00	0.21		0.19
12/11/2017	00487862253	PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS B	7.50	0.21	0.00	0.21		0.19
01/11/2018	00487862253	PERUGINI, MILDRED	PUTNAM 746745108 B	5.00	0.14	0.00	0.14		0.13
01/11/2018	00487862253	PERUGINI, MILDRED	PUTNAM 746802800 B	7.50	0.21	0.00	0.21		0.19
01/11/2018	00487862253	PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS B	7.50	0.21	0.00	0.21		0.19
12/18/2017	00493247534	PLAN	PUTNAM 74680E101 B	7.50	0.28	0.00	0.28		0.25
01/16/2018	00493247534	PLAN	PUTNAM 74680E101 B	7.50	0.28	0.00	0.28		0.25
12/18/2017	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102 B	1.25	0.06	0.00	0.06		0.05
12/18/2017	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A B	1.25	0.06	0.00	0.06		0.05
01/17/2018	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102 B	1.25	0.06	0.00	0.06		0.05
01/17/2018	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A B	1.25	0.06	0.00	0.06		0.05
12/12/2017	00514953902	STELLATO, BRIAN	PUTNAM 746809102 B	5.00	0.25	0.00	0.25		0.23
12/12/2017	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A B	5.00	0.25	0.00	0.25		0.23
12/27/2017	00514953902	STELLATO, BRIAN	PUTNAM 746809102 B	5.00	0.25	0.00	0.25		0.23
12/27/2017	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A B	5.00	0.25	0.00	0.25		0.23
01/08/2018	00514953902	STELLATO, BRIAN	PUTNAM 746809102 B	5.00	0.19	0.00	0.19		0.17
01/08/2018	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A B	5.00	0.19	0.00	0.19		0.17
12/18/2017	00515030771	ONORATO, LAURA	PUTNAM 746933100 B	12.90	0.36	0.00	0.36		0.32
12/18/2017	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	8.70	0.24	0.00	0.24		0.22
12/18/2017	00515030771	ONORATO, LAURA	PUTNAM-746764109 B	8.40	0.23	0.00	0.23		0.21
01/17/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100 B	12.90	0.36	0.00	0.36		0.32
01/17/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	8.70	0.24	0.00	0.24		0.22
01/17/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109 B	8.40	0.23	0.00	0.23		0.21
12/18/2017	00550827572	PLAN	PUTNAM 74680E101 B	7.50	0.28	0.00	0.28		0.25
01/16/2018	00550827572	PLAN	PUTNAM 74680E101 B	7.50	0.28	0.00	0.28		0.25
12/12/2017	00551218283	C GARRUPPO,	PUTNAM 746802800 B	1.25	0.06	0.00	0.06		0.05
12/12/2017	00551218283	C GARRUPPO,	PUTNAM 746763200 B	2.50	0.13	0.00	0.13		0.12
12/12/2017	00551218283	C GARRUPPO,	PUTNAM-746764109 B	1.25	0.06	0.00	0.06		0.05
12/27/2017	00551218283	C GARRUPPO,	PUTNAM 746802800 B	1.25	0.06	0.00	0.06		0.05
12/27/2017	00551218283	C GARRUPPO,	PUTNAM 746763200 B	2.50	0.13	0.00	0.13		0.12

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<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
12/27/2017	00551218283 C GARRUPPO,	PUTNAM-746764109	B	1.25	0.06	0.00	0.06		0.05
01/08/2018	00551218283 C GARRUPPO,	PUTNAM 746802800	B	1.25	0.06	0.00	0.06		0.05
01/08/2018	00551218283 C GARRUPPO,	PUTNAM 746763200	B	2.50	0.13	0.00	0.13		0.12
01/08/2018	00551218283 C GARRUPPO,	PUTNAM-746764109	B	1.25	0.06	0.00	0.06		0.05
12/18/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746745108	B	2.50	0.13	0.00	0.13		0.12
12/18/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746933100	B	2.00	0.10	0.00	0.10		0.09
12/18/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746802800	B	2.50	0.13	0.00	0.13		0.12
12/18/2017	00551279988 AZZATO, JOSEPH	PUTNAM-746764109	B	3.00	0.15	0.00	0.15		0.14
01/17/2018	00551279988 AZZATO, JOSEPH	PUTNAM 746745108	B	2.50	0.13	0.00	0.13		0.12
01/17/2018	00551279988 AZZATO, JOSEPH	PUTNAM 746933100	B	2.00	0.10	0.00	0.10		0.09
01/17/2018	00551279988 AZZATO, JOSEPH	PUTNAM 746802800	B	2.50	0.13	0.00	0.13		0.12
01/17/2018	00551279988 AZZATO, JOSEPH	PUTNAM-746764109	B	3.00	0.15	0.00	0.15		0.14
12/12/2017	00551371986 ROSSO, RONALD	PUTNAM 746747104	B	1.25	0.06	0.00	0.06		0.05
12/12/2017	00551371986 ROSSO, RONALD	PUTNAM 746802800	B	2.00	0.10	0.00	0.10		0.09
12/12/2017	00551371986 ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	1.75	0.09	0.00	0.09		0.08
12/28/2017	00551371986 ROSSO, RONALD	PUTNAM 746747104	B	1.25	0.06	0.00	0.06		0.05
12/28/2017	00551371986 ROSSO, RONALD	PUTNAM 746802800	B	2.00	0.10	0.00	0.10		0.09
12/28/2017	00551371986 ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	1.75	0.09	0.00	0.09		0.08
01/08/2018	00551371986 ROSSO, RONALD	PUTNAM 746747104	B	1.25	0.06	0.00	0.06		0.05
01/08/2018	00551371986 ROSSO, RONALD	PUTNAM 746802800	B	2.00	0.10	0.00	0.10		0.09
01/08/2018	00551371986 ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	1.75	0.09	0.00	0.09		0.08
01/04/2018	QGW034027 HALL, JONATHAN	KRATOS DEFENSE & SEC SOLUTIONS	S	-561.00	8.25	0.60	7.65		6.83
01/18/2018	QGW034027 HALL, JONATHAN	MANHATTAN BRDG CAP INC COM	S	-300.00	4.40	0.60	3.80		3.36
01/19/2018	QGW034415 CHAIMOVITCH, BERNARD	BANK OF AMERICA CORP	S	-1,400.00	15.40	0.60	14.80		13.26
01/19/2018	QGW034415 CHAIMOVITCH, BERNARD	COHERUS BIOSCIENCES INC COM	S	-541.25	4.05	0.60	3.45		3.05
01/09/2018	QGW035040 THUILLOT, CHARLES	FAT BRANDS INC COMMON STOCK	S	-254.75	2.80	0.60	2.20		1.92
01/25/2018	QGW035040 THUILLOT, CHARLES	SACHEM CAPITAL CORP COMMON SHARES	B	1,395.24	42.00	0.60	41.40		37.20
01/19/2018	QGW035172 O'NEILL, RORY	BANK OF AMERICA CORP	S	-1,400.00	15.40	0.60	14.80		13.26
01/16/2018	QGW035198 WULFF, HANS	ENERGOUS CORP COM	B	457.88	7.75	0.60	7.15		6.38
01/19/2018	QGW035198 WULFF, HANS	BANK OF AMERICA CORP	S	-2,100.00	18.48	0.60	17.88		16.03
01/29/2018	QGW035206 SKAHILL, ROBERT	ENERGOUS CORP COM	B	548.40	6.75	0.60	6.15		5.48
01/10/2018	QGW035214 BIANCO, BARBARA	VUZIX CORP COM NEW	S	-905.00	11.25	0.60	10.65		9.53
01/16/2018	QGW035248 BELZ, ERIC	ENERGOUS CORP COM	B	921.00	11.25	0.60	10.65		9.53
01/19/2018	QGW035255 BROCHARD, MARIA	BANK OF AMERICA CORP	S	-2,100.00	18.48	0.60	17.88		16.03
01/26/2018	QGW035297 SIERRA, KATHLEEN	FORD MOTOR CO PAR \$0.01	S	-875.49	2.75	0.60	2.15		1.88
01/26/2018	QGW035297 SIERRA, KATHLEEN	GENERAL ELECTRIC CO	S	-399.58	2.75	0.60	2.15		1.88
01/26/2018	QGW035297 SIERRA, KATHLEEN	PAYPAL HOLDINGS INC COM	B	1,059.22	9.75	0.60	9.15		8.18
01/26/2018	QGW035297 SIERRA, KATHLEEN	RLJ LODGING TR COM	S	-987.96	2.75	0.60	2.15		1.88
01/26/2018	QGW035313 SIERRA, KENNETH	RLJ LODGING TR COM	S	-578.50	7.00	0.60	6.40		5.70
01/17/2018	QGW035339 GEANEY, JOSEPH	SACHEM CAPITAL CORP COMMON SHARES	B	1,568.15	47.40	0.60	46.80		42.06

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SPLIT TRANSACTIONS										
BROKER: RICHARD BELZ #77										
01/23/2018	QGW035347	MODUGNO, ROCCO		COHERUS BIOSCIENCES INC COM	S	-583.75	2.50	0.60	1.90	1.65
01/23/2018	QGW035347	MODUGNO, ROCCO		GENERAL ELECTRIC CO	S	-830.66	4.45	0.60	3.85	3.41
01/29/2018	QGW035347	MODUGNO, ROCCO		PAYPAL HOLDINGS INC COM	B	1,059.27	9.75	0.60	9.15	8.18
01/19/2018	QGW035404	RICCA, MARIA		MANHATTAN BRDG CAP INC COM	S	-432.80	6.62	0.60	6.02	5.36
01/22/2018	QGW035404	RICCA, MARIA		MANHATTAN BRDG CAP INC COM	S	-24.71	2.50	0.60	1.90	1.65
01/26/2018	QGW035412	AMENITA, PATRICIA		FAT BRANDS INC COMMON STOCK	S	-638.25	2.75	0.60	2.15	1.88
01/12/2018	QGW035511	LYDEN, MARY		ENERGOUS CORP COM	B	1,499.86	11.25	0.60	10.65	9.53
01/23/2018	QGW035511	LYDEN, MARY		FAT BRANDS INC COMMON STOCK	S	-399.09	2.24	0.60	1.64	1.42
01/24/2018	QGW035511	LYDEN, MARY		FAT BRANDS INC COMMON STOCK	S	-1.32	0.01	0.60	-0.59	-0.59
01/29/2018	QGW035511	LYDEN, MARY		FAT BRANDS INC COMMON STOCK	S	-315.40	1.77	0.60	1.17	0.99
01/09/2018	QGW035537	LYDEN, MARY		VUZIX CORP COM NEW	S	-840.00	10.75	0.60	10.15	9.08
01/19/2018	QGW035552	KMIECIAK, ZBIGNIEW		BANK OF AMERICA CORP	S	-1,400.00	15.40	0.60	14.80	13.26
01/19/2018	QGW035586	MUSSLER, JAMES		ARCONIC INC COM	S	-451.75	1.75	0.60	1.15	0.98
12/28/2017	QGW035594	DUSATKO, ANNE		MANHATTAN BRDG CAP INC COM	S	-375.00	3.33	0.60	2.73	2.40
12/28/2017	QGW035594	DUSATKO, ANNE		SACHEM CAPITAL CORP COMMON SHARES	B	1,759.50	54.00	0.60	53.40	48.00
01/09/2018	QGW035594	DUSATKO, ANNE		VUZIX CORP COM NEW	S	-1,419.50	18.75	0.60	18.15	16.28
01/09/2018	QGW035594	DUSATKO, ANNE		VUZIX CORP COM NEW	S	1,419.50	-18.75	-0.60	-18.15	-16.28 C
01/09/2018	QGW035594	DUSATKO, ANNE		VUZIX CORP COM NEW	S	-1,252.50	18.75	0.60	18.15	16.28
01/11/2018	QGW035594	DUSATKO, ANNE		FAT BRANDS INC COMMON STOCK	S	-1,014.00	14.25	0.60	13.65	12.23
01/12/2018	QGW035594	DUSATKO, ANNE		ENERGOUS CORP COM	B	1,499.49	11.25	0.60	10.65	9.53
01/18/2018	QGW035594	DUSATKO, ANNE		VUZIX CORP COM NEW	S	-450.00	6.53	0.60	5.93	5.28
01/19/2018	QGW035594	DUSATKO, ANNE		BANK OF AMERICA CORP	S	-2,100.00	18.48	0.60	17.88	16.03
01/19/2018	QGW035594	DUSATKO, ANNE		VUZIX CORP COM NEW	S	-75.00	1.09	0.60	0.49	0.38
01/04/2018	QGW035610	DAVIS, VICTORIA		PAYPAL HOLDINGS INC COM	B	816.12	6.95	0.60	6.35	5.66
01/18/2018	QGW035636	SEIDEL, ANNE		VUZIX CORP COM NEW	S	-487.50	7.07	0.60	6.47	5.76
01/19/2018	QGW035636	SEIDEL, ANNE		VUZIX CORP COM NEW	S	-112.50	1.63	0.60	1.03	0.87
12/28/2017	QGW035644	MONGELUZZI, LINDA		SACHEM CAPITAL CORP COMMON SHARES	B	1,955.00	60.00	0.60	59.40	53.40
01/04/2018	QGW035644	MONGELUZZI, LINDA		GWG HLDGS INC COM	S	-257.93	1.75	0.60	1.15	0.98
01/18/2018	QGW035644	MONGELUZZI, LINDA		OPKO HEALTH INC	B	700.00	9.03	0.60	8.43	7.53
01/19/2018	QGW035644	MONGELUZZI, LINDA		OPKO HEALTH INC	B	50.00	0.73	0.60	0.13	0.06
01/11/2018	QGW035669	KOLLAR, ROBERT		FAT BRANDS INC COMMON STOCK	S	-505.50	2.50	0.60	1.90	1.65
01/19/2018	QGW035685	EICHENHOLZ, SONDRA		VUZIX CORP COM NEW	S	-750.00	9.68	0.60	9.08	8.11
01/29/2018	QGW035685	EICHENHOLZ, SONDRA		PAYPAL HOLDINGS INC COM	B	844.94	8.00	0.60	7.40	6.60
01/19/2018	QGW035693	MASSA, WILLIAM		BANK OF AMERICA CORP	S	-1,960.00	17.25	0.60	16.65	14.93
01/29/2018	QGW035693	MASSA, WILLIAM		PAYPAL HOLDINGS INC COM	B	1,274.19	11.75	0.60	11.15	9.98
01/16/2018	QGW035701	SZARKA, MICHAEL		ENERGOUS CORP COM	B	920.75	12.75	0.60	12.15	10.88
01/19/2018	QGW035701	SZARKA, MICHAEL		BANK OF AMERICA CORP	S	-1,400.00	15.40	0.60	14.80	13.26
01/29/2018	QGW035701	SZARKA, MICHAEL		PAYPAL HOLDINGS INC COM	B	1,275.00	11.25	0.60	10.65	9.53
01/19/2018	QGW035727	HERDTER, CYNTHIA		BANK OF AMERICA CORP	S	-1,400.00	15.40	0.60	14.80	13.26
01/16/2018	QGW035735	STELLATO, MICHAEL		ENERGOUS CORP COM	B	924.77	12.75	0.60	12.15	10.88

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<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
01/19/2018	QGW035735	STELLATO, MICHAEL							
		BANK OF AMERICA CORP	S	-1,400.00	15.40	0.60	14.80		13.26
01/19/2018	QGW035768	MASSA, PATRICIA							
		BANK OF AMERICA CORP	S	-2,940.00	18.23	0.60	17.63		15.81
01/19/2018	QGW035768	MASSA, PATRICIA							
		COHERUS BIOSCIENCES INC COM	S	-540.00	4.05	0.60	3.45		3.05
01/19/2018	QGW035768	MASSA, PATRICIA							
		FAT BRANDS INC COMMON STOCK	S	-37.61	0.24	0.60	-0.36		-0.38
01/23/2018	QGW035768	MASSA, PATRICIA							
		FAT BRANDS INC COMMON STOCK	S	-1.35	0.01	0.60	-0.59		-0.59
01/18/2018	QGW035776	ZIMMERMAN, FRANCINE							
		COHERUS BIOSCIENCES INC COM	S	-577.50	2.75	0.60	2.15		1.88
01/19/2018	QGW035776	ZIMMERMAN, FRANCINE							
		BANK OF AMERICA CORP	S	-1,400.00	15.40	0.60	14.80		13.26
01/09/2018	QGW035792	NOLTE, DONNA							
		VUZIX CORP COM NEW	S	-622.50	9.25	0.60	8.65		7.73
01/19/2018	QGW035792	NOLTE, DONNA							
		BANK OF AMERICA CORP	S	-1,400.00	15.40	0.60	14.80		13.26
01/19/2018	QGW035792	NOLTE, DONNA							
		VUZIX CORP COM NEW	S	-75.00	1.09	0.60	0.49		0.38
01/19/2018	QGW035800	SHEEHAN, MARY							
		BANK OF AMERICA CORP	S	-1,400.00	15.40	0.60	14.80		13.26
01/19/2018	QGW035834	CHAUDHRY, JAHANZEB							
		COHERUS BIOSCIENCES INC COM	S	-1,085.00	4.75	0.60	4.15		3.68
01/19/2018	QGW035834	CHAUDHRY, JAHANZEB							
		NABORS INDUSTRIES LTD	S	-800.00	10.32	0.60	9.72		8.69
01/19/2018	QGW035834	CHAUDHRY, JAHANZEB							
		OPKO HEALTH INC	B	675.00	8.71	0.60	8.11		7.24
01/19/2018	QGW035834	CHAUDHRY, JAHANZEB							
		VUZIX CORP COM NEW	S	-2,212.50	19.47	0.60	18.87		16.92
01/19/2018	QGW035859	SZABO, JOSEPH							
		BANK OF AMERICA CORP	S	-1,120.00	12.32	0.60	11.72		10.49
01/29/2018	QGW035859	SZABO, JOSEPH							
		ENERGOUS CORP COM	B	867.00	9.75	0.60	9.15		8.18
01/09/2018	QGW035867	ROGERS, MICHELE							
		SACHEM CAPITAL CORP COMMON SHARES	B	691.25	21.00	0.60	20.40		18.30
01/03/2018	QGW035883	BUDKEVICS, YANA							
		GWG HLDGS INC COM	S	-41.60	1.45	0.60	0.85		0.71
01/19/2018	QGW035917	BOVE, DOMINICK							
		BANK OF AMERICA CORP	S	-2,800.00	17.36	0.60	16.76		15.02
01/12/2018	QGW035982	PANASUK, JOHN							
		ENERGOUS CORP COM	B	1,999.76	14.50	0.60	13.90		12.45
01/18/2018	QGW035982	PANASUK, JOHN							
		COHERUS BIOSCIENCES INC COM	S	-690.13	4.45	0.60	3.85		3.41
01/03/2018	QGW035990	HERDTER, RICHARD							
		SACHEM CAPITAL CORP COMMON SHARES	B	977.50	30.00	0.60	29.40		26.40
01/16/2018	QGW036030	BALLO, CATHERINE							
		ENERGOUS CORP COM	B	1,382.63	14.50	0.60	13.90		12.45
01/19/2018	QGW036030	BALLO, CATHERINE							
		COHERUS BIOSCIENCES INC COM	S	-2,452.50	4.95	0.60	4.35		3.86
01/19/2018	QGW036030	BALLO, CATHERINE							
		FAT BRANDS INC COMMON STOCK	S	-440.50	2.25	0.60	1.65		1.43
01/19/2018	QGW036030	BALLO, CATHERINE							
		VUZIX CORP COM NEW	S	-750.00	9.68	0.60	9.08		8.11
01/19/2018	QGW036048	FITZPATRICK, BARRY							
		COHERUS BIOSCIENCES INC COM	S	-541.25	4.20	0.60	3.60		3.18
01/29/2018	QGW036089	HENNEMANN, PATRICIA							
		OPKO HEALTH INC	S	-596.25	4.75	0.60	4.15		3.68
01/19/2018	QGW036105	ARDOLINO, SUSAN							
		BANK OF AMERICA CORP	S	-1,400.00	15.40	0.60	14.80		13.26
01/26/2018	QGW036121	CZACHOR, MICHAEL							
		FAT BRANDS INC COMMON STOCK	S	-422.70	2.75	0.60	2.15		1.88
01/16/2018	QGW036154	CALLAHAN, PATRICK							
		ENERGOUS CORP COM	B	457.75	6.75	0.60	6.15		5.48
01/16/2018	QGW036154	CALLAHAN, PATRICK							
		FAT BRANDS INC COMMON STOCK	S	-474.72	4.40	0.60	3.80		3.36
01/19/2018	QGW036170	STAPLES, CHARLES							
		BANK OF AMERICA CORP	S	-1,400.00	15.40	0.60	14.80		13.26
01/18/2018	QGW036220	KOLLAR, RUTH							
		HERC HLDGS INC COM	S	-207.98	2.40	0.60	1.80		1.56
01/23/2018	QGW036220	KOLLAR, RUTH							
		SACHEM CAPITAL CORP COMMON SHARES	B	1,026.51	31.20	0.60	30.60		27.48
01/19/2018	QGW036279	MIKO, ARTHUR							
		TRANSOCEAN LTD US LISTED	S	-997.50	12.87	0.60	12.27		10.98
01/03/2018	QGW036311	FAST, KENNETH							
		ABATTIS BIOCEUTICALS CORP COM ISIN# B		67.54	2.75	0.60	2.15		1.88
01/03/2018	QGW036311	FAST, KENNETH							
		EMERALD HEALTH THERAPEUTICS INC COM B		315.50	3.25	0.60	2.65		2.33
01/03/2018	QGW036311	FAST, KENNETH							
		NAMASTE TECHNOLOGIES INC SHS ISIN# C B		146.45	2.75	0.60	2.15		1.88

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
SPLIT TRANSACTIONS										
BROKER: RICHARD BELZ #77										
01/19/2018	QGW036329	WOLF, KIM		NABORS INDUSTRIES LTD	S	-700.00	9.03	0.60	8.43	7.53
01/19/2018	QGW036329	WOLF, KIM		VUZIX CORP COM NEW	S	-750.00	9.68	0.60	9.08	8.11
01/29/2018	QGW036329	WOLF, KIM		PAYPAL HOLDINGS INC COM	B	2,112.75	15.75	0.60	15.15	13.58
01/12/2018	QGW036394	LIPANI, CHRISTINE		TRANSOCEAN LTD US LISTED	S	-840.00	10.84	0.60	10.24	9.16
01/02/2018	QGW036725	CIRNIGLIARO,		SACHEM CAPITAL CORP COMMON SHARES	B	977.50	30.00	0.60	29.40	26.40
01/19/2018	QGW036899	SARLO, JESSICA		COHERUS BIOSCIENCES INC COM	S	-545.00	2.75	0.60	2.15	1.88
01/23/2018	QGW037038	TUIFEL, FRANK		SACHEM CAPITAL CORP COMMON SHARES	B	395.00	12.00	0.60	11.40	10.20
12/29/2017	QGW037103	BURNS, KATHLEEN		GWG HLDGS INC COM	B	755.30	5.69	0.60	5.09	4.52
01/12/2018	QGW037103	BURNS, KATHLEEN		ENERGOUS CORP COM	B	999.84	7.75	0.60	7.15	6.38
01/18/2018	QGW037103	BURNS, KATHLEEN		OPKO HEALTH INC	B	750.00	9.68	0.60	9.08	8.11
01/19/2018	QGW037103	BURNS, KATHLEEN		OPKO HEALTH INC	B	675.00	8.71	0.60	8.11	7.24
01/17/2018	QGW037111	GILMARTIN, MARGARET		AMERICAN INTERNATIONAL GROUP INC	S	-125.80	2.25	0.60	1.65	1.43
01/17/2018	QGW037111	GILMARTIN, MARGARET		GENERAL ELECTRIC CO	S	-71.39	2.20	0.60	1.60	1.38
01/17/2018	QGW037111	GILMARTIN, MARGARET		WTS AMERICAN INTERNATIONAL GROUP IN	S	-20.92	1.50	0.60	0.90	0.75
01/04/2018	QGW038382	VINCENTI, ROBERT		PAYPAL HOLDINGS INC COM	B	694.53	6.25	0.60	5.65	5.03
01/09/2018	QGW038556	LAPINTA, LEONARD		VUZIX CORP COM NEW	S	-1,650.00	22.25	0.60	21.65	19.43
01/16/2018	QGW039042	VODOLA, RICHARD		SACHEM CAPITAL CORP COMMON SHARES	B	2,464.62	74.40	0.60	73.80	66.36
01/16/2018	QGW039042	VODOLA, RICHARD		SACHEM CAPITAL CORP COMMON SHARES	B	-2,464.62	-74.40	-0.60	-73.80	-66.36 C
01/16/2018	QGW039042	VODOLA, RICHARD		SACHEM CAPITAL CORP COMMON SHARES	B	2,464.62	58.90	0.60	58.30	52.41
01/29/2018	QGW039117	CUPAIOLO, JOSEPH		AMAZON.COM INC	B	778.24	5.75	0.60	5.15	4.58
01/29/2018	QGW039117	CUPAIOLO, JOSEPH		PAYPAL HOLDINGS INC COM	B	507.69	4.75	0.60	4.15	3.68
01/29/2018	QGW039216	MCCARTHY, MARCIA		VUZIX CORP COM NEW	B	701.25	7.25	0.60	6.65	5.93
01/23/2018	QGW031783	JACKSON, FRANK		CALL 100 SNAP INC CL A EXP 04-20-18	S	-22.20	2.50	0.68	1.82	1.57
01/10/2018	QGW035040	THUILOT, CHARLES		CALL 100 NABORS INDS LTD SHS ISIN#B	S	-35.00	3.40	0.85	2.55	2.21
01/22/2018	QGW035040	THUILOT, CHARLES		CALL 100 TRANSOCEAN LTD REG SHS ISI	S	-70.00	4.95	0.85	4.10	3.61
01/22/2018	QGW035040	THUILOT, CHARLES		PUT 100 VUZIX CORP COM NEW EXP 07-2	S	-175.00	7.75	0.85	6.90	6.13
01/23/2018	QGW035040	THUILOT, CHARLES		CALL 100 SNAP INC CL A EXP 04-20-18	S	-72.00	5.50	0.79	4.71	4.16
01/03/2018	QGW035123	BOWLEY, KEVIN		CALL 100 TRANSOCEAN LTD REG SHS ISI	S	-8.25	1.20	0.79	0.41	0.29
01/29/2018	QGW035172	O'NEILL, RORY		CALL 100 TWITTER INC COM EXP 03-16-	S	-31.50	3.00	0.68	2.32	2.02
01/29/2018	QGW035172	O'NEILL, RORY		PUT 100 ENERGOUS CORP COM EXP 05-18	S	-170.00	9.00	0.73	8.27	7.37
01/29/2018	QGW035172	O'NEILL, RORY		PUT 100 ENERGOUS CORP COM EXP 05-18	S	170.00	-9.00	-0.73	-8.27	-7.37 C
01/29/2018	QGW035172	O'NEILL, RORY		PUT 100 ENERGOUS CORP COM EXP 05-18	S	-170.00	8.25	0.73	7.52	6.70
01/29/2018	QGW035172	O'NEILL, RORY		PUT 100 VUZIX CORP COM NEW EXP 04-2	S	-245.00	9.75	0.85	8.90	7.93
01/23/2018	QGW035180	PUE, MARYANN		CALL 100 SNAP INC CL A EXP 04-20-18	S	-5.05	0.47	0.61	-0.14	-0.19
01/24/2018	QGW035180	PUE, MARYANN		CALL 100 SNAP INC CL A EXP 04-20-18	S	-40.40	3.78	0.70	3.08	2.70
01/24/2018	QGW035198	WULFF, HANS		CALL 100 SNAP INC CL A EXP 04-20-18	S	-51.00	4.95	0.73	4.22	3.73
01/26/2018	QGW035198	WULFF, HANS		CALL 100 SIRIUS XM HLDGS INC COM EX	S	-30.00	2.90	0.98	1.92	1.63
01/10/2018	QGW035214	BIANCO, BARBARA		PUT 100 VUZIX CORP COM NEW EXP 07-2	S	-180.00	7.75	0.85	6.90	6.13
01/10/2018	QGW035214	BIANCO, BARBARA		PUT 100 VUZIX CORP COM NEW EXP 07-2	S	180.00	-7.75	-0.85	-6.90	-6.13 C
01/10/2018	QGW035214	BIANCO, BARBARA		PUT 100 VUZIX CORP COM NEW EXP 07-2	S	-180.00	7.75	0.85	6.90	6.13

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
01/29/2018	QGW035214	BIANCO, BARBARA	CALL 100	TWITTER INC COM EXP 03-16- S	-45.50	4.40	0.73	3.67	3.23
01/29/2018	QGW035214	BIANCO, BARBARA	CALL 100	TWITTER INC COM EXP 03-16- S	45.50	-4.40	-0.73	-3.67	-3.23 C
01/29/2018	QGW035214	BIANCO, BARBARA	CALL 100	TWITTER INC COM EXP 03-16- S	-45.50	4.40	0.73	3.67	3.23
01/03/2018	QGW035222	BIANCO, BARBARA	CALL 100	SNAP INC CL A EXP 04-20-18 S	-51.30	4.95	0.71	4.24	3.75
01/03/2018	QGW035248	BELZ, ERIC	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-0.80	0.10	0.61	-0.51	-0.52
01/04/2018	QGW035248	BELZ, ERIC	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-11.20	1.45	0.78	0.67	0.53
01/29/2018	QGW035255	BROCHARD, MARIA	PUT 100	ENERGOUS CORP COM EXP 05-18 S	-210.00	8.50	0.85	7.65	6.80
01/26/2018	QGW035297	SIERRA, KATHLEEN	PUT 100	ENERGOUS CORP COM EXP 05-18 S	-180.00	4.95	0.73	4.22	3.73
01/26/2018	QGW035297	SIERRA, KATHLEEN	PUT 100	VUZIX CORP COM NEW EXP 04-2 S	-220.00	3.40	0.85	2.55	2.21
01/26/2018	QGW035313	SIERRA, KENNETH	PUT 100	VUZIX CORP COM NEW EXP 10-1 S	-225.00	9.40	0.85	8.55	7.61
01/23/2018	QGW035347	MODUGNO, ROCCO	PUT 100	VUZIX CORP COM NEW EXP 04-2 S	-112.50	7.25	0.91	6.34	5.62
01/24/2018	QGW035347	MODUGNO, ROCCO	CALL 100	SNAP INC CL A EXP 04-20-18 S	-51.00	4.95	0.73	4.22	3.73
01/03/2018	QGW035362	MOON, STEVEN	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-10.45	1.45	0.84	0.61	0.47
01/23/2018	QGW035404	RICCA, MARIA	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-170.00	8.00	0.85	7.15	6.35
01/29/2018	QGW035404	RICCA, MARIA	PUT 100	ENERGOUS CORP COM EXP 05-18 S	-95.00	4.10	0.66	3.44	3.03
01/29/2018	QGW035412	AMENITA, PATRICIA	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-247.50	8.00	0.79	7.21	6.41
01/03/2018	QGW035511	LYDEN, MARY	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-12.00	1.45	0.85	0.60	0.46
01/04/2018	QGW035511	LYDEN, MARY	CALL 100	NABORS INDS LTD SHS ISIN#B S	-45.00	4.75	0.98	3.77	3.30
01/23/2018	QGW035511	LYDEN, MARY	CALL 100	SNAP INC CL A EXP 04-20-18 S	-55.50	4.95	0.79	4.16	3.67
01/04/2018	QGW035537	LYDEN, MARY	CALL 100	NABORS INDS LTD SHS ISIN#B S	-30.00	3.55	0.85	2.70	2.35
01/10/2018	QGW035537	LYDEN, MARY	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-185.00	7.75	0.85	6.90	6.13
01/18/2018	QGW035545	KMIECIAK, INES	PUT 100	VUZIX CORP COM NEW EXP 04-2 S	-180.00	7.75	0.98	6.77	6.00
01/30/2018	QGW035578	MUSSLER, LINDA	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-52.50	2.50	0.64	1.86	1.61
01/02/2018	QGW035594	DUSATKO, ANNE	CALL 100	NABORS INDS LTD SHS ISIN#B S	-38.25	3.85	1.16	2.69	2.31
01/24/2018	QGW035594	DUSATKO, ANNE	CALL 100	SNAP INC CL A EXP 04-20-18 S	-61.20	5.75	0.75	5.00	4.43
01/26/2018	QGW035594	DUSATKO, ANNE	PUT 100	ENERGOUS CORP COM EXP 03-16 S	-191.25	7.75	0.79	6.96	6.19
01/29/2018	QGW035594	DUSATKO, ANNE	PUT 100	VUZIX CORP COM NEW EXP 04-2 S	-287.50	9.75	0.91	8.84	7.87
01/04/2018	QGW035610	DAVIS, VICTORIA	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-9.00	1.75	0.66	1.09	0.92
01/02/2018	QGW035644	MONGELUZZI, LINDA	CALL 100	NABORS INDS LTD SHS ISIN#B S	-18.00	1.70	0.85	0.85	0.68
01/03/2018	QGW035644	MONGELUZZI, LINDA	CALL 100	SNAP INC CL A EXP 02-16-18 S	-43.00	4.30	0.73	3.57	3.14
01/22/2018	QGW035644	MONGELUZZI, LINDA	CALL 100	TWITTER INC COM EXP 03-16- S	-82.50	4.95	0.91	4.04	3.55
01/22/2018	QGW035644	MONGELUZZI, LINDA	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-36.00	3.40	0.79	2.61	2.27
01/29/2018	QGW035644	MONGELUZZI, LINDA	PUT 100	ENERGOUS CORP COM EXP 05-18 S	-187.50	8.00	0.73	7.27	6.47
01/24/2018	QGW035669	KOLLAR, ROBERT	CALL 100	SNAP INC CL A EXP 04-20-18 S	-51.00	4.95	0.73	4.22	3.73
01/26/2018	QGW035669	KOLLAR, ROBERT	PUT 100	ENERGOUS CORP COM EXP 05-18 S	-370.00	8.50	0.85	7.65	6.80
01/26/2018	QGW035669	KOLLAR, ROBERT	PUT 100	VUZIX CORP COM NEW EXP 04-2 S	-85.00	4.95	0.85	4.10	3.61
01/24/2018	QGW035685	EICHENHOLZ, SONDR	CALL 100	SNAP INC CL A EXP 04-20-18 S	-40.40	4.00	0.70	3.30	2.90
01/26/2018	QGW035685	EICHENHOLZ, SONDR	PUT 100	VUZIX CORP COM NEW EXP 04-2 S	-168.75	8.00	0.79	7.21	6.41
01/04/2018	QGW035701	SZARKA, MICHAEL	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-10.80	1.55	0.75	0.80	0.65
01/02/2018	QGW035727	HERDTER, CYNTHIA	CALL 100	NABORS INDS LTD SHS ISIN#B S	-9.90	0.95	0.74	0.21	0.12

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
01/23/2018	QGW035727	HERDTER, CYNTHIA	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-170.00	7.75	0.85	6.90		6.13
01/26/2018	QGW035727	HERDTER, CYNTHIA	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-112.50	5.50	0.73	4.77		4.22
01/29/2018	QGW035727	HERDTER, CYNTHIA	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-120.00	4.95	0.73	4.22		3.73
01/03/2018	QGW035735	STELLATO, MICHAEL	CALL 100 SNAP INC CL A EXP 04-20-18 S	-28.50	3.05	0.66	2.39		2.09
01/18/2018	QGW035743	KUDLIK, CHRIS	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-60.00	4.95	0.73	4.22		3.73
01/29/2018	QGW035743	KUDLIK, CHRIS	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-107.25	4.95	0.76	4.19		3.70
01/18/2018	QGW035768	MASSA, PATRICIA	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-180.00	6.25	0.98	5.27		4.65
01/22/2018	QGW035768	MASSA, PATRICIA	CALL 100 NABORS INDS LTD SHS ISIN#B S	-47.00	4.90	0.85	4.05		3.56
01/22/2018	QGW035768	MASSA, PATRICIA	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-73.00	4.95	0.85	4.10		3.61
01/22/2018	QGW035768	MASSA, PATRICIA	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-170.00	6.25	0.85	5.40		4.78
01/26/2018	QGW035768	MASSA, PATRICIA	PUT 100 ENERGOUS CORP COM EXP 03-16 S	-122.50	5.75	0.73	5.02		4.45
01/02/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 NABORS INDS LTD SHS ISIN#B S	-36.00	3.85	1.10	2.75		2.37
01/04/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-16.00	1.90	0.85	1.05		0.86
01/23/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 SNAP INC CL A EXP 04-20-18 S	-74.25	5.75	0.79	4.96		4.39
01/29/2018	QGW035776	ZIMMERMAN, FRANCINE	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-281.25	9.25	0.79	8.46		7.54
01/29/2018	QGW035776	ZIMMERMAN, FRANCINE	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-90.00	4.95	0.85	4.10		3.61
01/09/2018	QGW035792	NOLTE, DONNA	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-150.00	4.95	0.85	4.10		3.61
01/22/2018	QGW035792	NOLTE, DONNA	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-27.50	2.95	0.74	2.21		1.92
01/29/2018	QGW035792	NOLTE, DONNA	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-165.00	7.00	0.85	6.15		5.45
12/29/2017	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 OPKO HEALTH S	-22.50	2.45	0.98	1.47		1.23
01/10/2018	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 OPKO HEALTH INC COM EXP 01 S	-15.00	1.75	1.35	0.40		0.23
01/26/2018	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 OPKO HEALTH INC COM EXP 03 S	-70.43	4.95	1.73	3.22		2.73
01/26/2018	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-277.50	9.75	0.79	8.96		7.99
01/24/2018	QGW035891	DICKIE, JAYNE	CALL 100 SNAP INC CL A EXP 04-20-18 S	-30.90	3.15	0.68	2.47		2.16
01/05/2018	QGW035917	BOVE, DOMINICK	CALL 100 PENTAIR PLC SHS ISIN#IE00B S	-18.75	2.25	0.66	1.59		1.37
01/03/2018	QGW035925	MOTT, LINDA	CALL 100 SNAP INC CL A EXP 04-20-18 S	-46.00	4.60	0.70	3.90		3.44
01/03/2018	QGW035982	PANASUK, JOHN	CALL 100 SNAP INC CL A EXP 02-16-18 S	-43.50	4.25	0.73	3.52		3.10
01/11/2018	QGW035982	PANASUK, JOHN	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-50.00	3.75	1.10	2.65		2.28
01/03/2018	QGW035990	HERDTER, RICHARD	CALL 100 SNAP INC CL A EXP 04-20-18 S	-40.95	4.00	0.69	3.31		2.91
01/22/2018	QGW035990	HERDTER, RICHARD	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-36.00	4.05	0.79	3.26		2.86
01/22/2018	QGW036006	WALLNER, ERIC	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-40.15	2.25	0.74	1.51		1.29
01/26/2018	QGW036006	WALLNER, ERIC	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-320.00	8.00	0.85	7.15		6.35
01/04/2018	QGW036030	BALLO, CATHERINE	CALL 100 NABORS INDS LTD SHS ISIN#B S	-22.00	2.40	0.85	1.55		1.31
01/23/2018	QGW036030	BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 04-20-18 S	-5.05	0.37	0.61	-0.24		-0.28
01/23/2018	QGW036030	BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 04-20-18 S	5.05	-0.37	-0.61	0.24		0.28 C
01/23/2018	QGW036030	BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 04-20-18 S	-5.05	0.37	0.61	-0.24		-0.28
01/24/2018	QGW036030	BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 04-20-18 S	-70.70	5.13	0.78	4.35		3.84
01/24/2018	QGW036030	BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 04-20-18 S	70.70	-5.13	-0.78	-4.35		-3.84 C
01/24/2018	QGW036030	BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 04-20-18 S	-70.70	5.13	0.78	4.35		3.84
01/25/2018	QGW036030	BALLO, CATHERINE	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-93.50	2.59	0.74	1.85		1.59

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
01/29/2018	QGW036030	BALLO, CATHERINE	PUT 100 ENERGOUS CORP COM EXP 03-16 S	-130.00	5.50	0.73	4.77		4.22
01/29/2018	QGW036030	BALLO, CATHERINE	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-204.00	5.66	0.90	4.76		4.19
01/03/2018	QGW036048	FITZPATRICK, BARRY	CALL 100 SNAP INC CL A EXP 04-20-18 S	-51.75	4.95	0.71	4.24		3.75
01/04/2018	QGW036048	FITZPATRICK, BARRY	CALL 100 NABORS INDS LTD SHS ISIN#B S	-21.00	2.50	0.85	1.65		1.40
01/04/2018	QGW036048	FITZPATRICK, BARRY	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-12.75	1.60	0.79	0.81		0.65
01/23/2018	QGW036063	PICCININNI,	CALL 100 SNAP INC CL A EXP 02-16-18 S	-19.25	2.20	0.66	1.54		1.32
01/22/2018	QGW036071	HENNMANN, DENNIS	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-25.00	2.00	0.73	1.27		1.07
01/29/2018	QGW036071	HENNMANN, DENNIS	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-190.00	7.25	0.73	6.52		5.80
01/03/2018	QGW036089	HENNMANN, PATRICIA	CALL 100 SNAP INC CL A EXP 02-16-18 S	-42.50	4.20	0.73	3.47		3.05
01/11/2018	QGW036089	HENNMANN, PATRICIA	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-31.25	1.60	0.91	0.69		0.53
01/26/2018	QGW036089	HENNMANN, PATRICIA	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-185.00	7.75	0.73	7.02		6.25
01/04/2018	QGW036105	ARDOLINO, SUSAN	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-11.10	1.45	0.68	0.77		0.63
01/24/2018	QGW036238	LENTO, JOHN	CALL 100 SNAP INC CL A EXP 04-20-18 S	-41.20	3.60	0.70	2.90		2.54
01/29/2018	QGW036279	MIKO, ARTHUR	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-175.00	9.00	0.73	8.27		7.37
01/29/2018	QGW036279	MIKO, ARTHUR	PUT 100 ENERGOUS CORP COM EXP 05-18 S	175.00	-9.00	-0.73	-8.27		-7.37 C
01/29/2018	QGW036279	MIKO, ARTHUR	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-175.00	8.50	0.73	7.77		6.92
01/24/2018	QGW036311	FAST, KENNETH	CALL 100 SNAP INC CL A EXP 04-20-18 S	-49.50	4.75	0.73	4.02		3.55
01/22/2018	QGW036329	WOLF, KIM	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-77.00	4.95	0.85	4.10		3.61
01/29/2018	QGW036329	WOLF, KIM	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-175.00	9.00	0.73	8.27		7.37
01/29/2018	QGW036329	WOLF, KIM	PUT 100 ENERGOUS CORP COM EXP 05-18 S	175.00	-9.00	-0.73	-8.27		-7.37 C
01/29/2018	QGW036329	WOLF, KIM	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-112.50	7.00	0.91	6.09		5.39
01/04/2018	QGW036345	GALATI, VINCENT	CALL 100 NABORS INDS LTD SHS ISIN#B S	-18.00	2.45	0.75	1.70		1.46
01/23/2018	QGW036899	SARLO, JESSICA	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-136.00	5.40	0.80	4.60		4.06
01/29/2018	QGW036899	SARLO, JESSICA	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-80.75	3.75	0.81	2.94		2.57
01/29/2018	QGW036956	BROCHARD, THOMAS	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-115.00	4.95	0.85	4.10		3.61
01/03/2018	QGW037087	01/19/2016, U/A	CALL 100 SNAP INC CL A EXP 04-20-18 S	-45.60	4.40	0.70	3.70		3.26
01/26/2018	QGW037087	01/19/2016, U/A	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-85.00	4.95	0.85	4.10		3.61
01/30/2018	QGW037087	01/19/2016, U/A	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-105.00	4.95	0.68	4.27		3.78
01/30/2018	QGW037087	01/19/2016, U/A	PUT 100 ENERGOUS CORP COM EXP 05-18 S	105.00	-4.95	-0.68	-4.27		-3.78 C
01/30/2018	QGW037087	01/19/2016, U/A	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-105.00	4.95	0.68	4.27		3.78
12/29/2017	QGW037103	BURNS, KATHLEEN	PUT 100 OPKO HEALTH S	-22.50	2.45	0.98	1.47		1.23
01/05/2018	QGW037103	BURNS, KATHLEEN	CALL 100 PENTAIR PLC SHS ISIN#IE00B S	-40.00	4.05	0.73	3.32		2.92
01/11/2018	QGW037103	BURNS, KATHLEEN	CALL 100 COHERUS BIOSCIENCES INC CO S	-55.00	4.90	0.85	4.05		3.56
01/23/2018	QGW037103	BURNS, KATHLEEN	CALL 100 OPKO HEALTH INC COM EXP 02 S	-45.00	4.40	1.35	3.05		2.61
01/10/2018	QGW038556	LAPINTA, LEONARD	PUT 100 VUZIX CORP COM NEW EXP 02-1 S	-100.00	5.75	1.10	4.65		4.08
01/18/2018	QGW038556	LAPINTA, LEONARD	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-120.00	6.00	0.85	5.15		4.55
01/31/2018	VARIOUS, BELZ RICHARD	ADVISOR GUIDED	B	0.00	0.70	0.00	0.70		0.63
01/31/2018	VARIOUS, BELZ RICHARD	FRANKLIN	B	0.00	3.86	0.00	3.86		3.47
01/31/2018	VARIOUS, BELZ RICHARD	GWG	B	0.00	255.00	0.00	255.00		229.50
01/31/2018	VARIOUS, BELZ RICHARD	HANCOCK	B	0.00	0.06	0.00	0.06		0.05

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RICHARD BELZ #77									
01/31/2018	VARIOUS, BELZ RICHARD	OPPENHEIMER	B	0.00	593.33	0.00	593.33		534.00
01/22/2018	QGW031783 JACKSON, FRANK	FRANKLIN GROWTH FUND CLASS A	B	0.00	0.21	0.00	0.21		0.19
01/22/2018	QGW034035 FAMILY 2012 IRREV	PUTNAM 746745207	B	0.00	1.13	0.00	1.13		1.02
01/22/2018	QGW034035 FAMILY 2012 IRREV	PUTNAM 746802511	B	0.00	0.52	0.00	0.52		0.47
01/22/2018	QGW035503 SIMPSON, DAVID	GLOBAL OPPORTUNITIES A	B	0.00	0.06	0.00	0.06		0.05
01/12/2018	QGW036774 STOCKWELL, GLENN	DEUTSCHE HIGH INCOME FUND CLASS A	B	0.00	0.30	0.00	0.30		0.27
01/12/2018	QGW036774 STOCKWELL, GLENN	DREYFUS RESH GROWTH FD INC SHS CL A B	B	0.00	0.49	0.00	0.49		0.44
01/18/2018	QGW036774 STOCKWELL, GLENN	ALLIANCEBERNSTEIN GROWTH AND INC A	B	0.00	0.21	0.00	0.21		0.19
01/18/2018	QGW036774 STOCKWELL, GLENN	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	0.60	0.00	0.60		0.54
01/25/2018	QGW036774 STOCKWELL, GLENN	INVESCO GLOBAL REAL ESTATE INCOME F	B	0.00	1.29	0.00	1.29		1.16
01/22/2018	QGW036980 MARCOLINI, COREY	ROCHESTER FUND MUNICIPALS A	B	0.00	1.02	0.00	1.02		0.92
01/17/2018	QGW037111 GILMARTIN, MARGARET	PRINCIPAL PREFERRED SECURITIES FUND B	B	0.00	0.01	0.00	0.01		0.01
01/17/2018	QGW037129 GILMARTIN, MARGARET	PRINCIPAL PREFERRED SECURITIES FUND B	B	0.00	0.03	0.00	0.03		0.03
01/17/2018	QGW037129 GILMARTIN, MARGARET	THE HARTFORD CAPITAL APPRECIATION F	B	0.00	0.28	0.00	0.28		0.25
01/17/2018	QGW038002 WICKERS, SCOTT	FIDELITY ADVISOR BALANCED CLASS A	B	0.00	0.14	0.00	0.14		0.13
01/17/2018	QGW038002 WICKERS, SCOTT	FIDELITY ADVISOR EQUITY INCOME CL A B	B	0.00	0.15	0.00	0.15		0.14
01/24/2018	QGW038002 WICKERS, SCOTT	BLACKROCK HIGH YIELD BOND FUND CLAS B	B	0.00	0.09	0.00	0.09		0.08
01/24/2018	QGW038002 WICKERS, SCOTT	BLACKROCK BASIC VALUE FUND INC CLAS B	B	0.00	0.17	0.00	0.17		0.15
01/24/2018	QGW038002 WICKERS, SCOTT	BLACKROCK EMERGING MARKETS FUND, IN B	B	0.00	0.17	0.00	0.17		0.15
01/24/2018	QGW038002 WICKERS, SCOTT	BLACKROCK CAP APPRECIATION FD INC C B	B	0.00	0.20	0.00	0.20		0.18
01/24/2018	QGW038002 WICKERS, SCOTT	BLACKROCK GLOBAL ALLOCATION FUND IN B	B	0.00	0.15	0.00	0.15		0.14
01/24/2018	QGW038002 WICKERS, SCOTT	BLACKROCK VALUE OPPORTUNITIES FUND B	B	0.00	0.07	0.00	0.07		0.06
01/24/2018	QGW038002 WICKERS, SCOTT	BLACKROCK SMALL CAP GROWTH FUND II B	B	0.00	0.08	0.00	0.08		0.07
01/24/2018	QGW038002 WICKERS, SCOTT	BLACKROCK STRATEGIC INCOME OPPORTUN B	B	0.00	0.07	0.00	0.07		0.06
01/22/2018	QGW038556 LAPINTA, LEONARD	GLOBAL FUND A	B	0.00	0.28	0.00	0.28		0.25
01/22/2018	QGW038556 LAPINTA, LEONARD	OPPENHEIMER DEVELOPING MARKETS CLAS B	B	0.00	0.34	0.00	0.34		0.31
01/22/2018	QGW038556 LAPINTA, LEONARD	QUEST VALUE A	B	0.00	0.42	0.00	0.42		0.38
* RICHARD BELZ SUBTOTAL *				\$-33,118.85	\$2,698.04	\$166.34	\$2,531.70		\$2,262.57
*** SPLIT TRANSACTIONS TOTAL ***				\$-33,118.85	\$2,698.04	\$166.34	\$2,531.70		\$2,262.57

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
01/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-20.00
01/31/2018		OTHER: OTHER-TELEPHONE		0.00	0.00	0.00	0.00		-3.25
01/31/2018		OTHER: OTHER-RFL		0.00	0.00	0.00	0.00		-277.12
01/31/2018		OTHER: OTHER		0.00	0.00	0.00	0.00		65.88
01/31/2018		OTHER: TECHNOLOGY		0.00	0.00	0.00	0.00		-150.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-384.49

BROKER COMMISSION TOTALS

Broker Transactions	\$60.90
Split Transactions	\$2,262.57
Override Transactions	\$0.00
Adjustments	\$-384.49
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.31
SIPC Assessment	\$-4.61

Check Amount	\$1,932.06
Year-to-date Earnings	\$1,932.06