

COMMISSION STATEMENT**April 26, 2018**

MICHAEL MELBY
 3200 N. Central Ave. #200
 Phoenix, AZ 85012

BROKER #: 73605
BRANCH: 70A11 - SAI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: Securities America**BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

| | | | | | | | |
|---------------------------|------------------------|----------------------|---------------|----------------|---------------|----------------|----------------|
| 04/26/2018 | MELBY (73605), MICHAEL | SAI PERSHING CHARGES | 0.00 | -21.00 | 0.00 | -21.00 | -6.30 |
| 04/26/2018 | MELBY (73605), MICHAEL | SAI SECURITES INCOME | 0.00 | 106.27 | 0.00 | 106.27 | 31.88 |
| * MUTUAL FUNDS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$85.27</u> | <u>\$0.00</u> | <u>\$85.27</u> | <u>\$25.58</u> |

PRODUCT CATEGORY: RIA

| | | | | | | | |
|------------------|------------------------|-------------------------------|---------------|--------------------|---------------|--------------------|--------------------|
| 04/26/2018 | MELBY (73605), MICHAEL | SAI ADVISORY INCOME (CUE RIA) | 0.00 | 60,873.65 | 0.00 | 60,873.65 | 18,262.10 |
| 04/26/2018 | MELBY (73605), MICHAEL | SAI ADVISORY INCOME (CUE RIA) | 0.00 | -1,820.79 | 0.00 | -1,820.79 | -546.24 |
| * RIA SUBTOTAL * | | | <u>\$0.00</u> | <u>\$59,052.86</u> | <u>\$0.00</u> | <u>\$59,052.86</u> | <u>\$17,715.86</u> |

PRODUCT CATEGORY: TRAILERS

| | | | | | | | |
|-----------------------------------|------------------------|----------------------|---------------|--------------------|---------------|--------------------|--------------------|
| 04/26/2018 | MELBY (73605), MICHAEL | SAI SECURITES INCOME | 0.00 | 238.29 | 0.00 | 238.29 | 71.49 |
| * TRAILERS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$238.29</u> | <u>\$0.00</u> | <u>\$238.29</u> | <u>\$71.49</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | <u>\$0.00</u> | <u>\$59,376.42</u> | <u>\$0.00</u> | <u>\$59,376.42</u> | <u>\$17,812.93</u> |

SPLIT TRANSACTIONS**BROKER: MELBY/SMYTHE #73916**

| | | | | | | | |
|----------------------------------|----------------------|-------------------------------|---------------|-------------------|---------------|-------------------|-----------------|
| 04/26/2018 | MELBY/SMYTHE (73916) | SAI ADVISORY INCOME (CUE RIA) | 0.00 | 4,777.61 | 0.00 | 2,866.57 | 859.97 |
| 04/26/2018 | MELBY/SMYTHE (73916) | SAI ADVISORY INCOME (CUE RIA) | 0.00 | -227.89 | 0.00 | -136.73 | -41.02 |
| 04/26/2018 | MELBY/SMYTHE (73916) | SAI SECURITES INCOME | 0.00 | 2.75 | 0.00 | 1.65 | 0.50 |
| * MELBY/SMYTHE SUBTOTAL * | | | <u>\$0.00</u> | <u>\$4,552.47</u> | <u>\$0.00</u> | <u>\$2,731.49</u> | <u>\$819.45</u> |
| *** SPLIT TRANSACTIONS TOTAL *** | | | <u>\$0.00</u> | <u>\$4,552.47</u> | <u>\$0.00</u> | <u>\$2,731.49</u> | <u>\$819.45</u> |

COMMISSION STATEMENT for MICHAEL MELBY

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: Securities America (continued)

ADJUSTMENTS

| | | | | | | | |
|---------------------------|--|--|--------|--------|--------|--------|---------|
| 21. SAI SIPC PE 4/20/18 | | | | | | | -4.19 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-4.19 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$17,812.93 |
| Split Transactions | \$819.45 |
| Override Transactions | \$0.00 |
| Adjustments | \$-4.19 |
| Prior Period Balance | \$0.00 |
| NASD Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$18,628.19 |
| Year-to-date Earnings | \$50,294.26 |