

All Companies
STATEMENT
July 20, 2018

LPFS HOUSE

BROKER #: ZZQ
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/01/0018	#3084670	ACCESS FLEX HIGH YIELD-SVC	0.00	1.54	100.0	0.00	1.54	0.00	1.54
05/01/0018	#3264064	ACCESS FLEX HIGH YIELD-SVC	0.00	0.30	100.0	0.00	0.30	0.00	0.30
05/01/0018	#3301583	ACCESS FLEX HIGH YIELD-SVC	0.00	0.82	100.0	0.00	0.82	0.00	0.82
05/01/0018	#3301850	ACCESS FLEX HIGH YIELD-SVC	0.00	0.90	100.0	0.00	0.90	0.00	0.90
05/01/0018	#3301874	ACCESS FLEX HIGH YIELD-SVC	0.00	11.99	100.0	0.00	11.99	0.00	11.99
05/01/0018	#3301898	ACCESS FLEX HIGH YIELD-SVC	0.00	6.60	100.0	0.00	6.60	0.00	6.60
05/01/0018	#3084670	ACCESS FLEX HIGH YIELD-SVC	0.00	4.62	100.0	0.00	4.62	0.00	4.62
05/01/0018	#3264064	ACCESS FLEX HIGH YIELD-SVC	0.00	0.89	100.0	0.00	0.89	0.00	0.89
05/01/0018	#3301583	ACCESS FLEX HIGH YIELD-SVC	0.00	2.47	100.0	0.00	2.47	0.00	2.47
05/01/0018	#3301850	ACCESS FLEX HIGH YIELD-SVC	0.00	2.70	100.0	0.00	2.70	0.00	2.70
05/01/0018	#3301874	ACCESS FLEX HIGH YIELD-SVC	0.00	35.97	100.0	0.00	35.97	0.00	35.97
05/01/0018	#3301898	ACCESS FLEX HIGH YIELD-SVC	0.00	19.81	100.0	0.00	19.81	0.00	19.81
05/01/0018	#5294788558	BLCKRCK	0.00	0.38	100.0	0.00	0.38	0.00	0.38
05/01/0018	#5294788533	BLCKRCK	0.00	0.37	100.0	0.00	0.37	0.00	0.37
05/01/0018	#5294788582	BLCKRCK	0.00	0.71	100.0	0.00	0.71	0.00	0.71
05/01/0018	#5294788541	BLCKRCK	0.00	0.14	100.0	0.00	0.14	0.00	0.14
05/01/0018	#074480010277 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	6,752.09	2.87	100.0	0.00	2.87	0.00	2.87
05/01/0018	#6000067683	DREYFUS CALIFORNIA AMT-FREE MUNI BO	0.00	4.27	100.0	0.00	4.27	0.00	4.27
05/01/0018	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.57	100.0	0.00	1.57	0.00	1.57
05/01/0018	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.19	100.0	0.00	1.19	0.00	1.19
05/01/0018	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	0.80	100.0	0.00	0.80	0.00	0.80
05/01/0018	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.11	100.0	0.00	1.11	0.00	1.11
05/01/0018	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	6.76	100.0	0.00	6.76	0.00	6.76
05/01/0018	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	5.11	100.0	0.00	5.11	0.00	5.11
05/01/0018	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	3.44	100.0	0.00	3.44	0.00	3.44
05/01/0018	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	4.80	100.0	0.00	4.80	0.00	4.80
05/01/0018	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.65	100.0	0.00	0.65	0.00	0.65
05/01/0018	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.49	100.0	0.00	0.49	0.00	0.49

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/01/0018	#1003023422	DUMOND (RIRA), GUGGENHEIM RYDEX	0.00	0.33	100.0	0.00	0.33	0.00	0.33
05/01/0018	#1003023422	DUMOND (RIRA), GUGGENHEIM RYDEX	0.00	0.46	100.0	0.00	0.46	0.00	0.46
05/01/0018	#1003029611	CROCKETT (IRA), GUGGENHEIM RYDEX	0.00	0.46	100.0	0.00	0.46	0.00	0.46
05/01/0018	#1003029611	CROCKETT (IRA), GUGGENHEIM RYDEX	0.00	0.35	100.0	0.00	0.35	0.00	0.35
05/01/0018	#1003029611	CROCKETT (IRA), GUGGENHEIM RYDEX	0.00	0.23	100.0	0.00	0.23	0.00	0.23
05/01/0018	#1003029611	CROCKETT (IRA), GUGGENHEIM RYDEX	0.00	0.32	100.0	0.00	0.32	0.00	0.32
05/01/0018	#1003029964	MCCANN (RIRA), GUGGENHEIM RYDEX	0.00	0.26	100.0	0.00	0.26	0.00	0.26
05/01/0018	#1003029964	MCCANN (RIRA), GUGGENHEIM RYDEX	0.00	0.20	100.0	0.00	0.20	0.00	0.20
05/01/0018	#1003029964	MCCANN (RIRA), GUGGENHEIM RYDEX	0.00	0.13	100.0	0.00	0.13	0.00	0.13
05/01/0018	#1003029964	MCCANN (RIRA), GUGGENHEIM RYDEX	0.00	0.19	100.0	0.00	0.19	0.00	0.19
05/01/0018	#1003029976	MCCAIN, PAUL GUGGENHEIM RYDEX	0.00	2.07	100.0	0.00	2.07	0.00	2.07
05/01/0018	#1003029976	MCCAIN, PAUL GUGGENHEIM RYDEX	0.00	1.56	100.0	0.00	1.56	0.00	1.56
05/01/0018	#1003029976	MCCAIN, PAUL GUGGENHEIM RYDEX	0.00	1.05	100.0	0.00	1.05	0.00	1.05
05/01/0018	#1003043902	SEICK (RIRA), GUGGENHEIM RYDEX	0.00	1.47	100.0	0.00	1.47	0.00	1.47
05/01/0018	#1003043902	SEICK (RIRA), GUGGENHEIM RYDEX	0.00	2.65	100.0	0.00	2.65	0.00	2.65
05/01/0018	#1003043902	SEICK (RIRA), GUGGENHEIM RYDEX	0.00	2.01	100.0	0.00	2.01	0.00	2.01
05/01/0018	#1003043902	SEICK (RIRA), GUGGENHEIM RYDEX	0.00	1.35	100.0	0.00	1.35	0.00	1.35
05/01/0018	#1003043902	SEICK (RIRA), GUGGENHEIM RYDEX	0.00	1.88	100.0	0.00	1.88	0.00	1.88
05/01/0018	#77720991001	SMITH, ELVIN NYADVI JPM 529 CONSV AGE-BA A	5,946.78	1.26	100.0	0.00	1.26	0.00	1.26
06/01/0018	#2000344105	SANCHEZ, REBECCA A - DISCOVERY PORT	25.00	0.75	100.0	0.00	0.75	0.00	0.75
06/01/0018	#1001876384	MYERS, STANLEY PACIFIC LIFE FDS PL PORTFOLI OPTIMI	500.00	23.75	100.0	0.00	23.75	0.00	23.75
06/05/0018	#2000256393	MILKS, SEAN AGES 12-14 YEARS A	35.00	0.88	100.0	0.00	0.88	0.00	0.88
06/05/0018	#2000270551	SANCHEZ, REBECCA AGES 18 AND OVER A	25.00	0.75	100.0	0.00	0.75	0.00	0.75
06/05/0018	#2000256393	MILKS, SEAN CAPITAL PERSEVATION A	15.00	0.38	100.0	0.00	0.38	0.00	0.38
06/05/0018	#2000256391	MILKS, SEAN MODERATELY AGGRESSIVE A	50.00	1.25	100.0	0.00	1.25	0.00	1.25
06/05/0018	#2000256393	MILKS, SEAN MODERATELY AGGRESSIVE A	35.00	0.88	100.0	0.00	0.88	0.00	0.88
06/05/0018	#2000256391	MILKS, SEAN SCHOLARS EDGE 64710R404	50.00	1.25	100.0	0.00	1.25	0.00	1.25
06/08/0018	#1001755709	MILKS, SEAN PL PORTFOLIO OPTIMIZATION AGGRESSIV	100.00	4.75	100.0	0.00	4.75	0.00	4.75
06/20/0201	#77720991001	SMITH, ELVIN NY ADVISOR GUIDED 64981A627	125.00	5.06	100.0	0.00	5.06	0.00	5.06
05/16/2018	#05520920700	VARIOUS HOUSE OPPENHEIMER PORTFOLIO SER ACTIVE AL	350.00	3.50	100.0	0.00	3.50	0.00	3.50
05/16/2018	#05520921393	VARIOUS HOUSE OPPENHEIMER PORTFOLIO SER ACTIVE AL	225.00	2.25	100.0	0.00	2.25	0.00	2.25
05/16/2018	#05451039973	PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	0.00	6.65
05/16/2018	#02870523776	VARIOUS HOUSE TOTAL RETURN BOND FUND C	75.00	0.75	100.0	0.00	0.75	0.00	0.75
05/18/2018	#08270310475	BELCASTRO, INTERNATIONAL GROWTH FUND C	100.00	1.00	100.0	0.00	1.00	0.00	1.00
05/18/2018	#07023037287	BELCASTRO, MAIN STREET FUND C	100.00	1.00	100.0	0.00	1.00	0.00	1.00
05/18/2018	#02380716551	BELCASTRO, OPPENHEIMER FLEXIBLE STRATEGIES FUN	100.00	1.00	100.0	0.00	1.00	0.00	1.00

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/18/2018	#07230016643	BELCASTRO, SMALL- & MID-CAP GROWTH FUND C	100.00	1.00	100.0	0.00	1.00	0.00	1.00
05/21/2018	#02570974985	DAVIS, ZADIE GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	0.00	2.38
05/21/2018	#08270873631	BELCASTRO, INTERNATIONAL GROWTH FUND C	49.48	0.49	100.0	0.00	0.49	0.00	0.49
05/21/2018	#07024319018	BELCASTRO, MAIN STREET FUND C	49.49	0.49	100.0	0.00	0.49	0.00	0.49
05/21/2018	#03211283646	LAMAR, K OPPENHEIMER CAPITAL APPRECIATION FU	64.03	3.04	100.0	0.00	3.04	0.00	3.04
05/21/2018	#03211283646	LAMAR, K OPPENHEIMER CAPITAL APPRECIATION FU	64.03	3.04	100.0	0.00	3.04	0.00	3.04
05/21/2018	#03202920181	VARIOUS HOUSE OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	0.00	2.38
05/21/2018	#02381168002	BELCASTRO, OPPENHEIMER FLEXIBLE STRATEGIES FUN	49.49	0.49	100.0	0.00	0.49	0.00	0.49
05/21/2018	#03307227277	LAMAR, K OPPENHEIMER GLOBAL FUND CL A	21.35	1.01	100.0	0.00	1.01	0.00	1.01
05/21/2018	#03307227277	LAMAR, K OPPENHEIMER GLOBAL FUND CL A	21.35	1.01	100.0	0.00	1.01	0.00	1.01
05/21/2018	#07230242748	BELCASTRO, SMALL- & MID-CAP GROWTH FUND C	49.49	0.49	100.0	0.00	0.49	0.00	0.49
05/22/2018	#07024265492	LUVERA, V MAIN STREET FUND C	62.50	0.63	100.0	0.00	0.63	0.00	0.63
05/22/2018	#05470420794	MASTER, S OPPEN FUNDS	75.00	0.75	100.0	0.00	0.75	0.00	0.75
05/22/2018	#05520950642	LUVERA, V OPPENHEIMER PORTFOLIO SER ACTIVE AL	125.00	1.25	100.0	0.00	1.25	0.00	1.25
05/22/2018	#05920334191	LUVERA, V OPPENHEIMER REAL ESTATE FD CL C SHS	62.50	0.63	100.0	0.00	0.63	0.00	0.63
05/22/2018	#02870524601	MASTER, S TOTAL RETURN BOND FUND C	25.00	0.25	100.0	0.00	0.25	0.00	0.25
05/29/2018	#05020480458	KORETZ, S DISCOVERY FUND C	12.50	0.13	100.0	0.00	0.13	0.00	0.13
05/29/2018	#07024242711	KORETZ, S MAIN STREET FUND C	12.50	0.13	100.0	0.00	0.13	0.00	0.13
05/29/2018	#03211283646	LAMAR, K OPPENHEIMER CAPITAL APPRECIATION FU	65.94	3.13	100.0	0.00	3.13	0.00	3.13
05/29/2018	#03211283646	LAMAR, K OPPENHEIMER CAPITAL APPRECIATION FU	65.94	3.13	100.0	0.00	3.13	0.00	3.13
05/29/2018	#03307227277	LAMAR, K OPPENHEIMER GLOBAL FUND CL A	21.98	1.04	100.0	0.00	1.04	0.00	1.04
05/29/2018	#03307227277	LAMAR, K OPPENHEIMER GLOBAL FUND CL A	21.98	1.04	100.0	0.00	1.04	0.00	1.04
05/29/2018	#05520923714	KORETZ, S OPPENHEIMER PORTFOLIO SER ACTIVE AL	25.00	0.25	100.0	0.00	0.25	0.00	0.25
05/30/2018	#08185496308	HOLLE, RAYMOND MFS GLOBAL TOTAL RETURN FUND-A	181.00	5.43	100.0	0.00	5.43	0.00	5.43
05/30/2018	#08185496304	HOLLE, RAYMOND MFS GROWTH FUND CL A	181.00	5.43	100.0	0.00	5.43	0.00	5.43
05/30/2018	#08185496308	HOLLE, RAYMOND MFS TOTAL RETURN FUND-A	181.00	5.43	100.0	0.00	5.43	0.00	5.43
05/30/2018	#05420223784	MARIAN, S OPPENHEIMER FUNDS	37.50	0.38	100.0	0.00	0.38	0.00	0.38
05/30/2018	#05520944680	MARIAN, S OPPENHEIMER PORTFOLIO SER ACTIVE AL	112.50	1.13	100.0	0.00	1.13	0.00	1.13
06/01/2018	#00060225535	STILEKRISL MFS UTILITIES FUND-CL A	458.33	13.75	100.0	0.00	13.75	0.00	13.75
06/01/2018	#05520921393	VARIOUS HOUSE OPPENHEIMER PORTFOLIO SER ACTIVE AL	353.37	3.53	100.0	0.00	3.53	0.00	3.53
06/01/2018	#1001881298	HAAR-KRISM PACIFIC FUNDS PPOA	450.00	21.38	100.0	0.00	21.38	0.00	21.38
06/01/2018	#1001881305	HAAR-KRISM PACIFIC FUNDS PPOA	450.00	21.38	100.0	0.00	21.38	0.00	21.38
06/01/2018	#07150233245	GROSSDALE PORTFOLIO 2025-2028 A	150.00	6.38	100.0	0.00	6.38	0.00	6.38
06/01/2018	#07150233248	GROSSDALE PORTFOLIO 2025-2028 A	150.00	6.38	100.0	0.00	6.38	0.00	6.38
06/04/2018	#07024265492	LUVERA, V MAIN STREET FUND C	62.50	0.63	100.0	0.00	0.63	0.00	0.63
06/04/2018	#05470420794	MASTER, S OPPEN FUNDS	75.00	0.75	100.0	0.00	0.75	0.00	0.75

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/04/2018	#05520920700	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	350.00	3.50	100.0	0.00	3.50	3.50
06/04/2018	#05520921393	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	225.00	2.25	100.0	0.00	2.25	2.25
06/04/2018	#05520950642	LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	125.00	1.25	100.0	0.00	1.25	1.25
06/04/2018	#05920334191	LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	62.50	0.63	100.0	0.00	0.63	0.63
06/04/2018	#05451039973		PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	6.65
06/04/2018	#02870523776	VARIOUS HOUSE	TOTAL RETURN BOND FUND C	75.00	0.75	100.0	0.00	0.75	0.75
06/04/2018	#02870524601	MASTER, S	TOTAL RETURN BOND FUND C	25.00	0.25	100.0	0.00	0.25	0.25
06/06/2018	#002072166941	PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.62	100.0	0.00	0.62	0.62
06/06/2018	#002072166983	PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.29	100.0	0.00	0.29	0.29
06/06/2018	#002072167021	PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.29	100.0	0.00	0.29	0.29
06/06/2018	#002072167063	PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.29	100.0	0.00	0.29	0.29
06/06/2018	#071502332454	GROSSDALE	PORTFOLIO 2025-2028 A	0.00	4.82	100.0	0.00	4.82	4.82
06/06/2018	#071502332483	GROSSDALE	PORTFOLIO 2025-2028 A	0.00	5.53	100.0	0.00	5.53	5.53
06/07/2018	#1001382434	CLANCY, DENNIS	PL PORTFOLIO OPTIMIZATION MODERATE-	50.00	2.38	100.0	0.00	2.38	2.38
06/08/2018	#00700332646	BAPTISTE, MARIE	FIDELITY ADV TECHNOLOGY-CL T	100.00	3.00	100.0	0.00	3.00	3.00
06/11/2018	#05020480458	KORETZ, S	DISCOVERY FUND C	12.50	0.13	100.0	0.00	0.13	0.13
06/11/2018	#00689848828		FIDELITY ADV EQUITY VALUE-CL A	250.00	6.88	100.0	0.00	6.88	6.88
06/11/2018	#02570974985	DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	2.38
06/11/2018	#08270310475	BELCASTRO,	INTERNATIONAL GROWTH FUND C	100.00	1.00	100.0	0.00	1.00	1.00
06/11/2018	#08270873631	BELCASTRO,	INTERNATIONAL GROWTH FUND C	49.48	0.49	100.0	0.00	0.49	0.49
06/11/2018	#07023037287	BELCASTRO,	MAIN STREET FUND C	100.00	1.00	100.0	0.00	1.00	1.00
06/11/2018	#07024319018	BELCASTRO,	MAIN STREET FUND C	49.49	0.49	100.0	0.00	0.49	0.49
06/11/2018	#07024242711	KORETZ, S	MAIN STREET FUND C	12.50	0.13	100.0	0.00	0.13	0.13
06/11/2018	#03202920181	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	2.38
06/11/2018	#02380716551	BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	100.00	1.00	100.0	0.00	1.00	1.00
06/11/2018	#02381168002	BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	49.49	0.49	100.0	0.00	0.49	0.49
06/11/2018	#05420223784	MARIAN, S	OPPENHEIMER FUNDS	37.50	0.38	100.0	0.00	0.38	0.38
06/11/2018	#05520923714	KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	25.00	0.25	100.0	0.00	0.25	0.25
06/11/2018	#05520944680	MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	112.50	1.13	100.0	0.00	1.13	1.13
06/11/2018	#07230016643	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	100.00	1.00	100.0	0.00	1.00	1.00
06/11/2018	#07230242748	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	49.49	0.49	100.0	0.00	0.49	0.49
06/14/2018	#03211283646	LAMAR, K	OPPENHEIMER CAPITAL APPRECIATION FU	65.94	3.13	100.0	0.00	3.13	3.13
06/14/2018	#03211283646	LAMAR, K	OPPENHEIMER CAPITAL APPRECIATION FU	65.94	3.13	100.0	0.00	3.13	3.13
06/14/2018	#03307227277	LAMAR, K	OPPENHEIMER GLOBAL FUND CL A	21.98	1.04	100.0	0.00	1.04	1.04
06/14/2018	#03307227277	LAMAR, K	OPPENHEIMER GLOBAL FUND CL A	21.98	1.04	100.0	0.00	1.04	1.04
06/15/2018	#04000010563	GARRISONJU	AM FUNDS	200.00	10.00	100.0	0.00	10.00	10.00

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/15/2018	#00075427348	MONTERO, AMCAP FUND-529A	50.00	1.38	100.0	0.00	1.38	0.00	1.38
06/15/2018	#00075427348	MONTERO, AMCAP FUND-529A	50.00	1.38	100.0	0.00	1.38	0.00	1.38
06/15/2018	#00082239930	MONTERO, AMCAP FUND-529A	50.00	1.38	100.0	0.00	1.38	0.00	1.38
06/15/2018	#00082239930	MONTERO, AMCAP FUND-529A	50.00	1.38	100.0	0.00	1.38	0.00	1.38
06/15/2018	#00085191928	MONTERO, AMCAP FUND-529A	50.00	1.38	100.0	0.00	1.38	0.00	1.38
06/15/2018	#00089764435	CHALLASURE AMCAP FUND-529A	100.00	4.25	100.0	0.00	4.25	0.00	4.25
06/15/2018	#00089764458	CHALLASURE AMCAP FUND-529A	100.00	4.25	100.0	0.00	4.25	0.00	4.25
06/15/2018	#04000010563	GARRISONJU AMCAP FUND-529A	200.00	10.00	100.0	0.00	10.00	0.00	10.00
06/15/2018	#00089764458	CHALLASURE AMERICAN BALANCED FUND-529A	100.00	4.25	100.0	0.00	4.25	0.00	4.25
06/15/2018	#00089764435	CHALLASURE AMERICAN BALANCED FUND-529A	100.00	4.25	100.0	0.00	4.25	0.00	4.25
06/15/2018	#04000010563	GARRISONJU AMERICAN FUNDS 02629M58	200.00	7.00	100.0	0.00	7.00	0.00	7.00
06/15/2018	#00074892517	THURMAN, JEREMY AMR\ERICAN FUNDS 02629M645	200.00	4.00	100.0	0.00	4.00	0.00	4.00
06/15/2018	#00064989411	CHAVEZTAMI CAPITAL WORLD GROWTH & INCOME FUND	30.60	0.61	100.0	0.00	0.61	0.00	0.61
06/15/2018	#00064989411	CHAVEZTAMI CAPITAL WORLD GROWTH & INCOME FUND	30.60	0.61	100.0	0.00	0.61	0.00	0.61
06/15/2018	#00689433449	ARMAND, JUDITH FIDELITY ADV EQUITY GROWTH-CL T	100.00	3.00	100.0	0.00	3.00	0.00	3.00
06/15/2018	#00089764435	CHALLASURE FUNDAMENTAL INVESTORS-529A	100.00	4.25	100.0	0.00	4.25	0.00	4.25
06/15/2018	#00089764458	CHALLASURE FUNDAMENTAL INVESTORS-529A	100.00	4.25	100.0	0.00	4.25	0.00	4.25
06/15/2018	#04000010563	GARRISONJU FUNDAMENTAL INVESTORS-529A	200.00	10.00	100.0	0.00	10.00	0.00	10.00
06/15/2018	#00064989411	CHAVEZTAMI GROWTH FUND AMERICA INC CLASS A	30.60	0.61	100.0	0.00	0.61	0.00	0.61
06/15/2018	#00064989411	CHAVEZTAMI GROWTH FUND AMERICA INC CLASS A	30.60	0.61	100.0	0.00	0.61	0.00	0.61
06/15/2018	#00035777858	CARIOLA, IVY GLOBAL NATURAL RESOURCES FUND-C	25.00	1.25	100.0	0.00	1.25	0.00	1.25
06/15/2018	#00087188339	MCDOWAN, GEL NEW ECONOMY FUND SBI CLASS A	50.00	2.50	100.0	0.00	2.50	0.00	2.50
06/15/2018	#00089764435	CHALLASURE NEW PERSPECTIVE FUND-529A	100.00	4.25	100.0	0.00	4.25	0.00	4.25
06/15/2018	#00089764458	CHALLASURE NEW PERSPECTIVE FUND-529A	100.00	4.25	100.0	0.00	4.25	0.00	4.25
06/15/2018	#04000010563	GARRISONJU THE GROWTH FUND OF AMERICA-529A	200.00	10.00	100.0	0.00	10.00	0.00	10.00
06/15/2018	#00089764435	CHALLASURE THE INCOME FUND OF AMERICA-529A	100.00	4.25	100.0	0.00	4.25	0.00	4.25
06/15/2018	#00089764458	CHALLASURE THE INCOME FUND OF AMERICA-529A	100.00	4.25	100.0	0.00	4.25	0.00	4.25
06/15/2018	#00064989411	CHAVEZTAMI WASHINGTON MUTUAL INVS FD CLASS A	30.60	0.61	100.0	0.00	0.61	0.00	0.61
06/15/2018	#00064989411	CHAVEZTAMI WASHINGTON MUTUAL INVS FD CLASS A	30.60	0.61	100.0	0.00	0.61	0.00	0.61
06/20/2018	#00086791991	SANTOS, MARILU GROWTH FUND AMER INC CL C	50.00	0.50	100.0	0.00	0.50	0.00	0.50
06/27/2018	#00067575889	REYES, JUAN AMERICAN BALANCED FUND INC CL C	18.40	0.18	100.0	0.00	0.18	0.00	0.18
06/27/2018	#00067575889	REYES, JUAN AMERICAN BALANCED FUND INC CL C	50.00	0.50	100.0	0.00	0.50	0.00	0.50
06/29/2018	#00074892549	THURMAN, JEREMY AM FUNDS 02630R732	100.00	4.25	100.0	0.00	4.25	0.00	4.25
* MUTUAL FUNDS SUBTOTAL *			\$24,238.91	\$494.21		\$0.00	\$494.21	\$0.00	\$494.21

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/18/2018 #	NCIAL SVCS LLC, LIBERTY	GENERAL MILLS INC	5,214.88	0.00	100.0	0.00	0.00	0.00	0.00
05/18/2018 #	NCIAL SVCS LLC, LIBERTY	GENERAL MILLS INC	5,131.44	0.00	100.0	0.00	0.00	0.00	0.00
05/31/2018 #	CUDDY, SHARON	CITIGROUP INC	3,052.56	0.00	100.0	0.00	0.00	0.00	0.00
05/31/2018 #	CUDDY, SHARON	DENNYS CORPORATION	7,180.91	0.00	100.0	0.00	0.00	0.00	0.00
06/06/2018 #	JOOS, JODI	DAYBREAK OIL & GAS INC	23.14	0.00	100.0	0.00	0.00	0.00	0.00
06/06/2018 #	JOOS, JODI	DAYBREAK OIL & GAS INC	44.36	0.00	100.0	0.00	0.00	0.00	0.00
06/08/2018 #	JOOS, JODI	DAYBREAK OIL & GAS INC	13.20	0.00	100.0	0.00	0.00	0.00	0.00
06/08/2018 #	NCIAL SVCS LLC, LIBERTY	GENERAL MILLS INC	85.72	0.00	100.0	0.00	0.00	0.00	0.00
* STOCKS SUBTOTAL *			\$20,746.21	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: BONDS</u>									
05/29/2018 #	NCIAL SVCS LLC, LIBERTY	***CREDIT SUISSE AG NASSAU	-20,100.00	0.00	100.0	0.00	0.00	0.00	0.00
05/29/2018 #	NCIAL SVCS LLC, LIBERTY	***CREDIT SUISSE AG NASSAU	-19,850.00	0.00	100.0	0.00	0.00	0.00	0.00
06/12/2018 #	NCIAL SVCS LLC, LIBERTY	GENWORTH FINANCIAL INC NT	20,405.00	0.00	100.0	0.00	0.00	0.00	0.00
06/12/2018 #	NCIAL SVCS LLC, LIBERTY	GENWORTH FINANCIAL INC NT	19,965.00	0.00	100.0	0.00	0.00	0.00	0.00
06/12/2018 #	NCIAL SVCS LLC, LIBERTY	GENWORTH FINANCIAL INC NT	19,477.50	0.00	100.0	0.00	0.00	0.00	0.00
06/12/2018 #	NCIAL SVCS LLC, LIBERTY	GENWORTH FINANCIAL INC NT	19,057.50	0.00	100.0	0.00	0.00	0.00	0.00
06/12/2018 #	NCIAL SVCS LLC, LIBERTY	GENWORTH FINL INC SR NT	21,577.50	0.00	100.0	0.00	0.00	0.00	0.00
06/12/2018 #	NCIAL SVCS LLC, LIBERTY	GENWORTH FINL INC SR NT	21,787.50	0.00	100.0	0.00	0.00	0.00	0.00
06/12/2018 #	NCIAL SVCS LLC, LIBERTY	GENWORTH FINL INC SR NT	22,605.00	0.00	100.0	0.00	0.00	0.00	0.00
06/12/2018 #	NCIAL SVCS LLC, LIBERTY	GENWORTH FINL INC SR NT	22,825.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018 #	NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA0619M	55,849.53	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018 #	NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA0619M	57,245.76	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018 #	NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA0619M	60,038.24	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018 #	NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA0619M	61,539.20	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018 #	NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA0619M	30,717.24	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018 #	NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA0619M	31,485.17	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018 #	NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA0619M	13,124.64	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018 #	NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA0619M	13,452.75	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018 #	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	143,346.74	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018 #	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	23,422.50	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018 #	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	11,242.80	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018 #	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	9,369.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018 #	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	4,750.00	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018 #	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	4,684.50	0.00	100.0	0.00	0.00	0.00	0.00
06/28/2018 #	NCIAL SVCS LLC, LIBERTY	GK INVESTMENT HOLDINGS	5,621.40	0.00	100.0	0.00	0.00	0.00	0.00

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
* BONDS SUBTOTAL *			\$653,639.47	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/03/0018	#15N6004375	TRANSAMERICA PRE	0.00	37.66	100.0	0.00	37.66	0.00	37.66
05/04/0018	#124409LB8 VAIANELLA	TRANSAMERICA FLDP08	390,773.21	325.64	100.0	0.00	325.64	0.00	325.64
05/04/0018	#132926LB8 VAIANELLA	TRANSAMERICA FLDP08	82,453.71	68.71	100.0	0.00	68.71	0.00	68.71
05/05/0018	#VP60469140 BOREN, GARY	PACIFIC LIFE EXE	100.00	0.50	100.0	0.00	0.50	0.00	0.50
05/05/0018	#127463LK2 VAIANELLA	TRANS LIFE FE22MA	8,764.17	7.30	100.0	0.00	7.30	0.00	7.30
05/05/0018	#123639LK8 VAIANELLA	TRANS LIFE FL10MS	29,775.76	24.81	100.0	0.00	24.81	0.00	24.81
05/05/0018	#123641LK8 VAIANELLA	TRANS LIFE FL10MS	28,953.77	24.13	100.0	0.00	24.13	0.00	24.13
05/05/0018	#151587LK8 VAIANELLA	TRANSA FIN LIFE FL27SP	115,308.51	96.09	100.0	0.00	96.09	0.00	96.09
05/06/0018	#110089LK2 VAIANELLA	TRANS LIFE FE22MA	139,554.78	116.30	100.0	0.00	116.30	0.00	116.30
05/07/0018	#VP65344130 FIEST, MICHAEL	PACIFIC LIFE EXE	142.02	2.84	100.0	0.00	2.84	0.00	2.84
05/07/0018	#VP65344130 FIEST, MICHAEL	PACIFIC LIFE EXE	7.98	0.16	100.0	0.00	0.16	0.00	0.16
05/07/0018	#40005742LK2 VAIANELLA	TRANS LIFE FE22MC	68,694.44	57.25	100.0	0.00	57.25	0.00	57.25
05/08/0018	#40007096LK2 VAIANELLA	TRANS LIFE FE22MA	22,967.34	19.14	100.0	0.00	19.14	0.00	19.14
06/03/0018	#P67D2517236 UPCHURCH CHRIS	AMERICAN GEN TRAILS	0.00	114.33	100.0	0.00	114.33	0.00	114.33
06/03/0018	#I1830840W LAWRENCE, LANA	VOYA	0.00	48.70	100.0	0.00	48.70	0.00	48.70
06/03/0018	#I1831310W LAWRENCE, JOHNNY	VOYA	0.00	69.14	100.0	0.00	69.14	0.00	69.14
06/04/0018	#500002AEO OILFIELD, TK	TRANSAMERICA FIN LIFE	104.00	0.04	100.0	0.00	0.04	0.00	0.04
06/04/0018	#500002BBB VISTA, ,OUNTAIN	TRANSAMERICA FIN LIFE	52,164.38	21.74	100.0	0.00	21.74	0.00	21.74
06/04/0018	#500002BCO GONZA, AMPARO	TRANSAMERICA FIN LIFE	173.84	0.07	100.0	0.00	0.07	0.00	0.07
06/04/0018	#500002BKK HECKMA, DEVON	TRANSAMERICA FIN LIFE	4,808.13	2.00	100.0	0.00	2.00	0.00	2.00
06/04/0018	#500002BLY LA, MICHAEL	TRANSAMERICA FIN LIFE	311.91	0.13	100.0	0.00	0.13	0.00	0.13
06/04/0018	#500002BMD ASAP TOWING	TRANSAMERICA FIN LIFE	16,600.64	6.92	100.0	0.00	6.92	0.00	6.92
06/04/0018	#500002T83 ACE APPRAOSAL	TRANSAMERICA FIN LIFE	110,157.26	45.90	100.0	0.00	45.90	0.00	45.90
06/04/0018	#500002U17 NORMA, ALICIA	TRANSAMERICA FIN LIFE	104.97	0.04	100.0	0.00	0.04	0.00	0.04
06/04/0018	#15N6041714	TRANSAMERICA PRE	0.00	77.87	100.0	0.00	77.87	0.00	77.87
06/05/0018	#VP60469140 BOREN, GARY	PACIFIC LIFE EXE	100.00	0.50	100.0	0.00	0.50	0.00	0.50
06/05/0018	#VP65344130 FIEST, MICHAEL	PACIFIC LIFE EXE	150.00	3.00	100.0	0.00	3.00	0.00	3.00
06/09/0018	#DA907050 BARKATE	ALLIANZ VA	0.00	18.04	100.0	0.00	18.04	0.00	18.04
06/09/0018	#DA259193 BARKATE	ALLIANZ VA	0.00	56.33	100.0	0.00	56.33	0.00	56.33
06/09/0018	#DA258278 BARKATE	ALLIANZ VA	0.00	12.32	100.0	0.00	12.32	0.00	12.32
06/09/0018	#DA258280 BARKATE	ALLIANZ VA	0.00	30.05	100.0	0.00	30.05	0.00	30.05
06/09/0018	#DA929960 BRADY, MARGARET	ALLIANZ VA	0.00	201.97	100.0	0.00	201.97	0.00	201.97
06/09/0018	#DA930054 BRADY, MARGARET	ALLIANZ VA	0.00	113.99	100.0	0.00	113.99	0.00	113.99
06/09/0018	#DAA03061 BYRAM, DAVID	ALLIANZ VA	0.00	94.44	100.0	0.00	94.44	0.00	94.44
06/09/0018	#DAV78308 PETERSON, JOE	ALLIANZ VA	0.00	629.05	100.0	0.00	629.05	0.00	629.05
06/09/0018	#DAV83746 WELCH, BRENDA	ALLIANZ VA	0.00	49.53	100.0	0.00	49.53	0.00	49.53
06/09/0018	#DAV83638 WELCH SR, JACK	ALLIANZ VA	0.00	103.91	100.0	0.00	103.91	0.00	103.91

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/09/0018	#DAV81975 SNYDER, SUSAN	ALLIANZ VA	0.00	739.62	100.0	0.00	739.62	0.00	739.62
06/09/0018	#DAV18326 KOESTER	ALLIANZ VA	0.00	152.99	100.0	0.00	152.99	0.00	152.99
06/09/0018	#B16557107085585407 ARROYO,	SECURITY BENEFIT	0.00	0.31	100.0	0.00	0.31	0.00	0.31
06/09/0018	#B16557107085585407 ARROYO,	SECURITY BENEFIT	0.00	0.31	100.0	0.00	0.31	0.00	0.31
06/09/0018	#B16632107134807134 MILANA,	SECURITY BENEFIT	0.00	1.00	100.0	0.00	1.00	0.00	1.00
06/09/0018	#B16632107134807134 MILANA,	SECURITY BENEFIT	0.00	1.00	100.0	0.00	1.00	0.00	1.00
06/09/0018	#B16632107077700242 RIZZO	SECURITY BENEFIT	0.00	0.76	100.0	0.00	0.76	0.00	0.76
06/09/0018	#B16632107077700242 RIZZO	SECURITY BENEFIT	0.00	0.76	100.0	0.00	0.76	0.00	0.76
06/09/0018	#B16557107106726917 MERCADO,	SECURITY BENEFIT	0.00	76.88	100.0	0.00	76.88	0.00	76.88
06/09/0018	#B16650007102702501 KELLY,	SECURITY BENEFIT	0.00	0.13	100.0	0.00	0.13	0.00	0.13
06/09/0018	#B16683104096622808 MILANA,	SECURITY BENEFIT	0.00	1.03	100.0	0.00	1.03	0.00	1.03
06/09/0018	#B16557104099429268 BARNES,	SECURITY BENEFIT	0.00	15.00	100.0	0.00	15.00	0.00	15.00
06/09/0018	#B16557104085646439 BARNES,	SECURITY BENEFIT	0.00	5.94	100.0	0.00	5.94	0.00	5.94
06/09/0018	#B16632107134807134 MILANA,	SECURITY BENEFIT	0.00	2.70	100.0	0.00	2.70	0.00	2.70
06/09/0018	#B16683107133661824 FL, UTMA	SECURITY BENEFIT	0.00	0.47	100.0	0.00	0.47	0.00	0.47
06/09/0018	#B16557107085585407 ARROYO,	SECURITY BENEFIT	0.00	0.67	100.0	0.00	0.67	0.00	0.67
06/09/0018	#B16557107068705771 BARNES,	SECURITY BENEFIT	0.00	5.86	100.0	0.00	5.86	0.00	5.86
06/09/0018	#B16683107062661009 DEMASI,	SECURITY BENEFIT	0.00	3.10	100.0	0.00	3.10	0.00	3.10
06/09/0018	#B16632107077700242 RIZZO	SECURITY BENEFIT	0.00	2.00	100.0	0.00	2.00	0.00	2.00
06/09/0018	#B16683107069663772 MILANA,	SECURITY BENEFIT	0.00	3.75	100.0	0.00	3.75	0.00	3.75
04/20/0201	#15N6043293 DELUCA, BRENDA	TRANSAMERICA PRE	0.00	95.29	100.0	0.00	95.29	0.00	95.29
05/30/0201	#507707700126339 MORGAN,	DELAWARE LIFE RGTGD12	0.00	97.96	100.0	0.00	97.96	0.00	97.96
05/30/0201	#507707700506551 MULLARKEY,	DELAWARE LIFE RGTGD12	0.00	15.94	100.0	0.00	15.94	0.00	15.94
06/30/0201	#924056105 PHILLIPS, HELEN	LINCOLN	0.00	266.37	100.0	0.00	266.37	0.00	266.37
06/30/0201	#E1080714 CHADWICK, DIANE	PRUDETIAL TRAILS	0.00	19.83	100.0	0.00	19.83	0.00	19.83
06/30/0201	#E1229810 ARTHUR, ROBERT	PRUDETIAL TRAILS	0.00	8.26	100.0	0.00	8.26	0.00	8.26
06/30/0201	#E1226791 ARTHUR, ROBERT	PRUDETIAL TRAILS	0.00	8.64	100.0	0.00	8.64	0.00	8.64
06/30/0201	#E0840605 SCHNEIDER,	PRUDETIAL TRAILS	0.00	59.33	100.0	0.00	59.33	0.00	59.33
06/30/0201	#E0840605 SCHNEIDER,	PRUDETIAL TRAILS	0.00	19.78	100.0	0.00	19.78	0.00	19.78
06/30/0201	#E0648375 SCHNEIDER,	PRUDETIAL TRAILS	0.00	9.27	100.0	0.00	9.27	0.00	9.27
06/30/0201	#E0648375 SCHNEIDER,	PRUDETIAL TRAILS	0.00	3.09	100.0	0.00	3.09	0.00	3.09
06/30/0201	#E0892527 BAIRD, SCOTT	PRUDETIAL TRAILS	0.00	0.02	100.0	0.00	0.02	0.00	0.02
06/30/0201	#E0892527 BAIRD, SCOTT	PRUDETIAL TRAILS	0.00	0.02	100.0	0.00	0.02	0.00	0.02
06/30/0201	#000611048 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	15.25	100.0	0.00	15.25	0.00	15.25
06/30/0201	#000611043 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	43.30	100.0	0.00	43.30	0.00	43.30
06/30/0201	#000611043 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	14.43	100.0	0.00	14.43	0.00	14.43

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/30/2021	#E0847719	CARLSON, KENNETH	PRUDETIAL TRAILS	0.00	31.20	100.0	0.00	31.20	31.20
06/30/2021	#E0810598	POWELL, MARC	PRUDETIAL TRAILS	0.00	11.85	100.0	0.00	11.85	11.85
06/30/2021	#E0791963	POWELL, MARC	PRUDETIAL TRAILS	0.00	2.09	100.0	0.00	2.09	2.09
06/30/2021	#E0847719	CARLSON, KENNETH	PRUDETIAL TRAILS	0.00	7.80	100.0	0.00	7.80	7.80
06/30/2021	#E0791963	POWELL, MARC	PRUDETIAL TRAILS	0.00	8.37	100.0	0.00	8.37	8.37
06/30/2021	#E0810598	POWELL, MARC	PRUDETIAL TRAILS	0.00	2.96	100.0	0.00	2.96	2.96
06/30/2021	#E0746868	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	17.60	100.0	0.00	17.60	17.60
06/30/2021	#E0746868	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	5.87	100.0	0.00	5.87	5.87
06/30/2021	#E0454848	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	34.16	100.0	0.00	34.16	34.16
06/30/2021	#E0454848	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	8.54	100.0	0.00	8.54	8.54
06/30/2021	#000611048	SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	45.76	100.0	0.00	45.76	45.76
04/04/2018	#7511227	ACKERMAN, JANE	VOYA	0.00	24.67	100.0	0.00	24.67	24.67
05/01/2018	#124709LK8	VAIANELLA	TRANS LIFE FL10MS	98,168.86	81.81	100.0	0.00	81.81	81.81
05/04/2018	#106245LK2	VAIANELLA	TRANS LIFE FE22MA	105,201.39	87.67	100.0	0.00	87.67	87.67
05/04/2018	#7511246	LEVERTON, FLORINDA	VOYA	0.00	36.63	100.0	0.00	36.63	36.63
05/04/2018	#7511246	LEVERTON, FLORINDA	VOYA	0.00	138.37	100.0	0.00	138.37	138.37
05/05/2018	#7511246	LEVERTON, FLORINDA	VOYA	0.00	11.41	100.0	0.00	11.41	11.41
05/07/2018	#127893E2K	VAIANELLA	TRANS LIFE FE2DR1	386.44	0.32	100.0	0.00	0.32	0.32
05/07/2018	#123973LK8	VAIANELLA	TRANS LIFE FL10MS	29,255.47	24.38	100.0	0.00	24.38	24.38
05/07/2018	#123974LK8	VAIANELLA	TRANS LIFE FL10MS	70,269.21	58.56	100.0	0.00	58.56	58.56
06/01/2018	#70051358	THOMPSON, GEORGE	JOHN HANCOCK LIFE TRAILS	0.00	72.78	100.0	0.00	72.78	72.78
06/01/2018	#2486143	WILLIAMS, KATRINA	JOHN HANCOCK LIFE TRAILS	0.00	10.88	100.0	0.00	10.88	10.88
06/01/2018	#2486144	WILLIAMS, KELLY	JOHN HANCOCK LIFE TRAILS	0.00	5.32	100.0	0.00	5.32	5.32
06/01/2018	#2557053	VILLALON, MITZI	JOHN HANCOCK LIFE TRAILS	0.00	39.78	100.0	0.00	39.78	39.78
06/01/2018	#2557056	GREEN, JILL	JOHN HANCOCK LIFE TRAILS	0.00	1.94	100.0	0.00	1.94	1.94
06/01/2018	#2580265	SHARMA, RAHUL	JOHN HANCOCK LIFE TRAILS	0.00	73.07	100.0	0.00	73.07	73.07
06/01/2018	#2589636	LINDE, RYAN	JOHN HANCOCK LIFE TRAILS	0.00	10.36	100.0	0.00	10.36	10.36
06/01/2018	#2790498	YUNGMANN, WENDELIN	JOHN HANCOCK LIFE TRAILS	0.00	17.85	100.0	0.00	17.85	17.85
06/01/2018	#95021964	YUNGMANN, WENDELIN	JOHN HANCOCK LIFE TRAILS	0.00	25.29	100.0	0.00	25.29	25.29
06/03/2018	#P55D2533100	STRONG, CHARLEY	AMERICAN GEN TRAILS	0.00	66.12	100.0	0.00	66.12	66.12
06/30/2018	#1017364452	VESCUSO	ELITE ACCESS	7,146.78	5.96	100.0	0.00	5.96	5.96
06/30/2018	#1017364320	VESCUSO	ELITE ACCESS	9,396.10	7.83	100.0	0.00	7.83	7.83
06/30/2018	#009420996A	LYON, LEIGH	RTMT LAT	173,510.78	72.30	100.0	0.00	72.30	72.30
06/30/2018	#009421048A	RYAN, THOMAS	RTMT LAT	21,722.61	9.05	100.0	0.00	9.05	9.05
* VARIABLE ANNUITIES SUBTOTAL *				\$1,587,228.46	\$5,230.15	\$0.00	\$5,230.15	\$0.00	\$5,230.15

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u>									
06/08/2018	# NCIAL SVCS LLC, LIBERTY	WELLS FARGO BANK NATL ASSN CD	24,975.00	0.00	100.0	0.00	0.00	0.00	0.00
06/08/2018	# NCIAL SVCS LLC, LIBERTY	WELLS FARGO BANK NATL ASSN CD	25,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/26/2018	# NCIAL SVCS LLC, LIBERTY	BMO HARRIS BK NATL ASSN	48,750.00	0.00	100.0	0.00	0.00	0.00	0.00
06/26/2018	# NCIAL SVCS LLC, LIBERTY	BMO HARRIS BK NATL ASSN	50,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/26/2018	# NCIAL SVCS LLC, LIBERTY	BMO HARRIS BK NATL ASSN	24,375.00	0.00	100.0	0.00	0.00	0.00	0.00
06/26/2018	# NCIAL SVCS LLC, LIBERTY	BMO HARRIS BK NATL ASSN	25,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/26/2018	# NCIAL SVCS LLC, LIBERTY	BMO HARRIS BK NATL ASSN	4,875.00	0.00	100.0	0.00	0.00	0.00	0.00
06/26/2018	# NCIAL SVCS LLC, LIBERTY	BMO HARRIS BK NATL ASSN	5,000.00	0.00	100.0	0.00	0.00	0.00	0.00
06/26/2018	# NCIAL SVCS LLC, LIBERTY	JPMORGAN CHASE BK NA COLUMBUS	48,250.00	0.00	100.0	0.00	0.00	0.00	0.00
06/26/2018	# NCIAL SVCS LLC, LIBERTY	JPMORGAN CHASE BK NA COLUMBUS	50,000.00	0.00	100.0	0.00	0.00	0.00	0.00
* CERTIFICATES OF DEPOSIT SUBTOTAL *			\$306,225.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
06/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT MEGA CAP PORTFOLIO	150,008.93	0.00	100.0	0.00	0.00	19.95	-19.95
06/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT MEGA CAP PORTFOLIO	30,003.81	0.00	100.0	0.00	0.00	19.95	-19.95
06/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT MEGA CAP PORTFOLIO	176,411.78	0.00	100.0	0.00	0.00	19.95	-19.95
06/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT UTILS SELECT PORT	20,004.71	0.00	100.0	0.00	0.00	19.95	-19.95
06/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT UTILS SELECT PORT	12,262.26	0.00	100.0	0.00	0.00	19.95	-19.95
06/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT UTILS SELECT PORT	9,282.84	0.00	100.0	0.00	0.00	19.95	-19.95
06/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT UTILS SELECT PORT	40,718.74	0.00	100.0	0.00	0.00	19.95	-19.95
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$438,693.07	\$0.00		\$0.00	\$0.00	\$139.65	\$-139.65
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/29/2018	#000000001700374	**MUTUAL GLOBAL DISCOVERY FUND	100.00	1.00	100.0	0.00	1.00	0.00	1.00
05/30/2018	#VR01011472 TRUDELL, CAROLYN	PL.1	0.00	22.00	100.0	0.00	22.00	0.00	22.00
06/01/2018	#VR02020599	694474883	0.00	4.13	100.0	0.00	4.13	0.00	4.13
06/01/2018	#04000010563 GARRISONJU	AM FUNDS	0.00	1.59	100.0	0.00	1.59	0.00	1.59
06/01/2018	#00074892549 THURMAN, JEREMY	AM FUNDS 02630R732	0.00	7.15	100.0	0.00	7.15	0.00	7.15
06/01/2018	#00063740422 ORTOLI, COLLEEN	AMCAP FUND INC CLASS A	0.00	4.94	100.0	0.00	4.94	0.00	4.94
06/01/2018	#00073644294 WILKINSON,	AMCAP FUND INC CLASS A	0.00	9.06	100.0	0.00	9.06	0.00	9.06
06/01/2018	#00073644307 LOGAN, SANDRA	AMCAP FUND INC CLASS A	0.00	10.36	100.0	0.00	10.36	0.00	10.36
06/01/2018	#00073985169 STILES, EDWARD	AMCAP FUND INC CLASS A	0.00	5.12	100.0	0.00	5.12	0.00	5.12
06/01/2018	#00074105500 BELLO, FERNANDO	AMCAP FUND INC CLASS A	0.00	6.16	100.0	0.00	6.16	0.00	6.16
06/01/2018	#00075351853 DUNCANSON,	AMCAP FUND INC CLASS A	0.00	64.42	100.0	0.00	64.42	0.00	64.42
06/01/2018	#00081128279 OBLEAS, ALEX	AMCAP FUND INC CLASS A	0.00	2.51	100.0	0.00	2.51	0.00	2.51
06/01/2018	#00081177003 DUNCANSON,	AMCAP FUND INC CLASS A	0.00	64.56	100.0	0.00	64.56	0.00	64.56

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00085366483	ELLEGAARD, ERIC	AMCAP FUND INC CLASS A	0.00	0.84	100.0	0.00	0.84	0.84
06/01/2018	#00087188339	MCDOWAN, GEL	AMCAP FUND INC CLASS A	0.00	0.46	100.0	0.00	0.46	0.46
06/01/2018	#00083665204	SMITH, MARGARET	AMCAP FUND INC CLASS A	0.00	5.46	100.0	0.00	5.46	5.46
06/01/2018	#00068782693	MANGUBAT,	AMCAP FUND-529A	0.00	10.07	100.0	0.00	10.07	10.07
06/01/2018	#00068782695	MANGUBAT,	AMCAP FUND-529A	0.00	40.57	100.0	0.00	40.57	40.57
06/01/2018	#00075427348	MONTERO,	AMCAP FUND-529A	0.00	20.65	100.0	0.00	20.65	20.65
06/01/2018	#00082239930	MONTERO,	AMCAP FUND-529A	0.00	18.15	100.0	0.00	18.15	18.15
06/01/2018	#00085191928	MONTERO,	AMCAP FUND-529A	0.00	10.15	100.0	0.00	10.15	10.15
06/01/2018	#00089764435	CHALLASURE	AMCAP FUND-529A	0.00	1.26	100.0	0.00	1.26	1.26
06/01/2018	#00089764458	CHALLASURE	AMCAP FUND-529A	0.00	1.26	100.0	0.00	1.26	1.26
06/01/2018	#00089813030	PUNNAM,	AMCAP FUND-529A	0.00	0.77	100.0	0.00	0.77	0.77
06/01/2018	#00089813049	PUNNAM,	AMCAP FUND-529A	0.00	0.77	100.0	0.00	0.77	0.77
06/01/2018	#04000010563	GARRISONJU	AMCAP FUND-529A	0.00	1.67	100.0	0.00	1.67	1.67
06/01/2018	#00068782693	MANGUBAT,	AMER FUNDS	0.00	32.63	100.0	0.00	32.63	32.63
06/01/2018	#00084075231	JOE, DAVID	AMER FUNDS	0.00	5.35	100.0	0.00	5.35	5.35
06/01/2018	#00074892517	THURMAN, JEREMY	AMER FUNDS	0.00	1.40	100.0	0.00	1.40	1.40
06/01/2018	#00067575889	REYES, JUAN	AMERICAN BALANCED FUND INC CL C	0.00	38.71	100.0	0.00	38.71	38.71
06/01/2018	#00075351853	DUNCANSON,	AMERICAN BALANCED FUND INC CLASS A	0.00	37.29	100.0	0.00	37.29	37.29
06/01/2018	#00081177003	DUNCANSON,	AMERICAN BALANCED FUND INC CLASS A	0.00	46.94	100.0	0.00	46.94	46.94
06/01/2018	#00085366483	ELLEGAARD, ERIC	AMERICAN BALANCED FUND INC CLASS A	0.00	0.70	100.0	0.00	0.70	0.70
06/01/2018	#00083665204	SMITH, MARGARET	AMERICAN BALANCED FUND INC CLASS A	0.00	9.99	100.0	0.00	9.99	9.99
06/01/2018	#00086048248	GARCIA, JUAN	AMERICAN BALANCED FUND INC CLASS A	0.00	0.54	100.0	0.00	0.54	0.54
06/01/2018	#00087996032	ASHRAF, IRVING	AMERICAN BALANCED FUND INC CLASS A	0.00	4.10	100.0	0.00	4.10	4.10
06/01/2018	#00074023647	WENTZ, KELLY	AMERICAN BALANCED FUND INC CLASS A	0.00	3.85	100.0	0.00	3.85	3.85
06/01/2018	#00073458940	DIVVER, ANDREW	AMERICAN BALANCED FUND-529A	0.00	1.42	100.0	0.00	1.42	1.42
06/01/2018	#00073459048	DIVVER, ANDREW	AMERICAN BALANCED FUND-529A	0.00	1.42	100.0	0.00	1.42	1.42
06/01/2018	#00075682479	VARDHAN, VISHNU	AMERICAN BALANCED FUND-529A	0.00	4.55	100.0	0.00	4.55	4.55
06/01/2018	#00084075231	JOE, DAVID	AMERICAN BALANCED FUND-529A	0.00	4.66	100.0	0.00	4.66	4.66
06/01/2018	#00089764435	CHALLASURE	AMERICAN BALANCED FUND-529A	0.00	1.16	100.0	0.00	1.16	1.16
06/01/2018	#00089764458	CHALLASURE	AMERICAN BALANCED FUND-529A	0.00	1.16	100.0	0.00	1.16	1.16
06/01/2018	#00067575889	REYES, JUAN	AMERICAN BALANCED FUND-F1	0.00	10.53	100.0	0.00	10.53	10.53
06/01/2018	#00074884010	THURMAN, JERRY	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	2.83	100.0	0.00	2.83	2.83
06/01/2018	#00081285955	THURMAN, JERRY	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	1.55	100.0	0.00	1.55	1.55
06/01/2018	#04000010563	GARRISONJU	AMERICAN FUNDS 02629M58	0.00	1.62	100.0	0.00	1.62	1.62
06/01/2018	#00083636886	KROOP, AIDEN	AMERICAN FUNDS 026300509	0.00	0.16	100.0	0.00	0.16	0.16
06/01/2018	#00083636892	KROOP, AIDEN	AMERICAN FUNDS 026300509	0.00	0.16	100.0	0.00	0.16	0.16

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/01/2018	#00087996032	ASHRAF, IRVING	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	3.73	100.0	0.00	3.73	0.00	3.73
06/01/2018	#00081285134	THURMAN, JERRY	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	2.26	100.0	0.00	2.26	0.00	2.26
06/01/2018	#00075479261	HAMMANG, THOMAS	AMERICAN FUNDS 643822406	0.00	22.97	100.0	0.00	22.97	0.00	22.97
06/01/2018	#00075479261	HAMMANG, THOMAS	AMERICAN FUNDS 831681408	0.00	18.91	100.0	0.00	18.91	0.00	18.91
06/01/2018	#00086048248	GARCIA, JUAN	AMERICAN FUNDS INCOME SERIES U S GO	0.00	0.35	100.0	0.00	0.35	0.00	0.35
06/01/2018	#00087996032	ASHRAF, IRVING	AMERICAN FUNDS INCOME SERIES U S GO	0.00	0.60	100.0	0.00	0.60	0.00	0.60
06/01/2018	#00073614025	PLAN, SIMPLE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.69	100.0	0.00	0.69	0.00	0.69
06/01/2018	#00081177003	DUNCANSON,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	11.74	100.0	0.00	11.74	0.00	11.74
06/01/2018	#00086824800		AMERICAN HIGH INCOME TR SH BEN INT	0.00	5.57	100.0	0.00	5.57	0.00	5.57
06/01/2018	#00074567363	EHRlich,	AMERICAN HIGH-INCOME TRUST-529A	0.00	4.02	100.0	0.00	4.02	0.00	4.02
06/01/2018	#00068782693	MANGUBAT,	AMR\ERICAN FUNDS 02629M645	0.00	27.35	100.0	0.00	27.35	0.00	27.35
06/01/2018	#00084075231	JOE, DAVID	AMR\ERICAN FUNDS 02629M645	0.00	4.48	100.0	0.00	4.48	0.00	4.48
06/01/2018	#00074892517	THURMAN, JEREMY	AMR\ERICAN FUNDS 02629M645	0.00	1.27	100.0	0.00	1.27	0.00	1.27
06/01/2018	#00073614025	PLAN, SIMPLE	BOND FUND OF AMERICA INC CL A	0.00	0.36	100.0	0.00	0.36	0.00	0.36
06/01/2018	#00086048248	GARCIA, JUAN	BOND FUND OF AMERICA INC CL A	0.00	0.37	100.0	0.00	0.37	0.00	0.37
06/01/2018	#00086530177		BOND FUND OF AMERICA INC CL A	0.00	1.31	100.0	0.00	1.31	0.00	1.31
06/01/2018	#00086530219		BOND FUND OF AMERICA INC CL A	0.00	1.28	100.0	0.00	1.28	0.00	1.28
06/01/2018	#00086530245		BOND FUND OF AMERICA INC CL A	0.00	1.35	100.0	0.00	1.35	0.00	1.35
06/01/2018	#00086530265		BOND FUND OF AMERICA INC CL A	0.00	1.27	100.0	0.00	1.27	0.00	1.27
06/01/2018	#00087996032	ASHRAF, IRVING	BOND FUND OF AMERICA INC CL A	0.00	0.60	100.0	0.00	0.60	0.00	0.60
06/01/2018	#00068402893	KURZ, KERRY	BOND FUND OF AMERICA INC CL A	0.00	17.04	100.0	0.00	17.04	0.00	17.04
06/01/2018	#00082530869	BUCCINO, MARY	CAPITAL INCOME BUILDER FD CL A	0.00	1.96	100.0	0.00	1.96	0.00	1.96
06/01/2018	#00082811781	NEECE, GINGER	CAPITAL INCOME BUILDER FD CL A	0.00	3.12	100.0	0.00	3.12	0.00	3.12
06/01/2018	#00083614513	SHARIFI-TAKIEH,	CAPITAL INCOME BUILDER FD CL A	0.00	1.08	100.0	0.00	1.08	0.00	1.08
06/01/2018	#00086824800		CAPITAL INCOME BUILDER FD CL A	0.00	6.13	100.0	0.00	6.13	0.00	6.13
06/01/2018	#00087399223		CAPITAL INCOME BUILDER FD CL A	0.00	0.38	100.0	0.00	0.38	0.00	0.38
06/01/2018	#00084301400	SHEPPARD, JIMMY	CAPITAL INCOME BUILDER FD CL A	0.00	11.45	100.0	0.00	11.45	0.00	11.45
06/01/2018	#00085887214	HILLIUS,	CAPITAL INCOME BUILDER FD CL A	0.00	0.80	100.0	0.00	0.80	0.00	0.80
06/01/2018	#00087996032	ASHRAF, IRVING	CAPITAL INCOME BUILDER FD CL A	0.00	1.69	100.0	0.00	1.69	0.00	1.69
06/01/2018	#00068402893	KURZ, KERRY	CAPITAL INCOME BUILDER FD CL A	0.00	19.22	100.0	0.00	19.22	0.00	19.22
06/01/2018	#00075194331	KURZ, KERRY	CAPITAL INCOME BUILDER FD CL A	0.00	4.02	100.0	0.00	4.02	0.00	4.02
06/01/2018	#00085886363	GARCIA, JUAN	CAPITAL INCOME BUILDER FD CL A	0.00	1.61	100.0	0.00	1.61	0.00	1.61
06/01/2018	#00068782695	MANGUBAT,	CAPITAL INCOME BUILDER-529A	0.00	1.84	100.0	0.00	1.84	0.00	1.84
06/01/2018	#00073458940	DIVVER, ANDREW	CAPITAL INCOME BUILDER-529A	0.00	1.43	100.0	0.00	1.43	0.00	1.43
06/01/2018	#00073459048	DIVVER, ANDREW	CAPITAL INCOME BUILDER-529A	0.00	1.43	100.0	0.00	1.43	0.00	1.43
06/01/2018	#00083902726	SINGH, MEHAR	CAPITAL INCOME BUILDER-529A	0.00	2.41	100.0	0.00	2.41	0.00	2.41

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00083902768	SINGH, MEHAR	CAPITAL INCOME BUILDER-529A	0.00	2.41	100.0	0.00	2.41	2.41
06/01/2018	#00083902778	SINGH, MEHAR	CAPITAL INCOME BUILDER-529A	0.00	3.89	100.0	0.00	3.89	3.89
06/01/2018	#00084075231	JOE, DAVID	CAPITAL INCOME BUILDER-529A	0.00	4.61	100.0	0.00	4.61	4.61
06/01/2018	#00082911748	DONNELLY, RONAN	CAPITAL INCOME BUILDER-529A	0.00	0.23	100.0	0.00	0.23	0.23
06/01/2018	#00074709359	MOORE, JONATHAN	CAPITAL INCOME BUILDER-529A	0.00	6.75	100.0	0.00	6.75	6.75
06/01/2018	#00074709423	MOORE, JONATHAN	CAPITAL INCOME BUILDER-529A	0.00	8.20	100.0	0.00	8.20	8.20
06/01/2018	#00074710614	MOORE, JONATHAN	CAPITAL INCOME BUILDER-529A	0.00	7.93	100.0	0.00	7.93	7.93
06/01/2018	#00073614025	PLAN, SIMPLE	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.53	100.0	0.00	0.53	0.53
06/01/2018	#00073851390	DUVAL, LINDA	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	4.83	100.0	0.00	4.83	4.83
06/01/2018	#00075554876	KOONCE, JANICE	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	4.96	100.0	0.00	4.96	4.96
06/01/2018	#00086048248	GARCIA, JUAN	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.36	100.0	0.00	0.36	0.36
06/01/2018	#00063740422	ORTOLI, COLLEEN	CAPITAL WORLD GROWTH & INCOME FUND	0.00	11.29	100.0	0.00	11.29	11.29
06/01/2018	#00073851390	DUVAL, LINDA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	9.16	100.0	0.00	9.16	9.16
06/01/2018	#00082530869	BUCCINO, MARY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.12	100.0	0.00	2.12	2.12
06/01/2018	#00082811781	NEECE, GINGER	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.41	100.0	0.00	0.41	0.41
06/01/2018	#00087188339	MCDOWAN, GEL	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.56	100.0	0.00	0.56	0.56
06/01/2018	#00064989411	CHAVEZTAMI	CAPITAL WORLD GROWTH & INCOME FUND	0.00	15.58	100.0	0.00	15.58	15.58
06/01/2018	#00075554876	KOONCE, JANICE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	7.43	100.0	0.00	7.43	7.43
06/01/2018	#00083665204	SMITH, MARGARET	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.92	100.0	0.00	1.92	1.92
06/01/2018	#00087996032	ASHRAF, IRVING	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.74	100.0	0.00	1.74	1.74
06/01/2018	#00074023647	WENTZ, KELLY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	9.48	100.0	0.00	9.48	9.48
06/01/2018	#00081518553	HUMPHREYS, TORI	CAPITAL WORLD GROWTH & INCOME FUND	0.00	8.87	100.0	0.00	8.87	8.87
06/01/2018	#00083902726	SINGH, MEHAR	CAPITAL WORLD GROWTH AND INCOME-529	0.00	2.64	100.0	0.00	2.64	2.64
06/01/2018	#00083902768	SINGH, MEHAR	CAPITAL WORLD GROWTH AND INCOME-529	0.00	2.64	100.0	0.00	2.64	2.64
06/01/2018	#00083902778	SINGH, MEHAR	CAPITAL WORLD GROWTH AND INCOME-529	0.00	4.18	100.0	0.00	4.18	4.18
06/01/2018	#00082911748	DONNELLY, RONAN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	0.26	100.0	0.00	0.26	0.26
06/01/2018	#00085008254	NEFF, WESTON	CAPITAL WORLD GROWTH AND INCOME-529	0.00	9.30	100.0	0.00	9.30	9.30
06/01/2018	#00074709359	MOORE, JONATHAN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	8.06	100.0	0.00	8.06	8.06
06/01/2018	#00074709423	MOORE, JONATHAN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	9.78	100.0	0.00	9.78	9.78
06/01/2018	#00074710614	MOORE, JONATHAN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	9.60	100.0	0.00	9.60	9.60
06/01/2018	#00068782695	MANGUBAT,	COLLEGE 2021 FUND-529A	0.00	18.20	100.0	0.00	18.20	18.20
06/01/2018	#00089813030	PUNNAM,	COLLEGE 2024 FUND 529A	0.00	1.92	100.0	0.00	1.92	1.92
06/01/2018	#00089813049	PUNNAM,	COLLEGE 2027 FUND-529A	0.00	2.00	100.0	0.00	2.00	2.00
06/01/2018	#00063740421	ORTOLI, COLLEEN	EURO PACIFIC GROWTH FUND CLASS A	0.00	7.13	100.0	0.00	7.13	7.13
06/01/2018	#00073644310	LOGAN, SANDRA	EURO PACIFIC GROWTH FUND CLASS A	0.00	7.33	100.0	0.00	7.33	7.33
06/01/2018	#00081128317	OBLEAS, ALEX	EURO PACIFIC GROWTH FUND CLASS A	0.00	2.28	100.0	0.00	2.28	2.28

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00082421253	UNTIL AGE 18, EURO PACIFIC GROWTH FUND CLASS A	0.00	1.10	100.0	0.00	1.10	0.00	1.10
06/01/2018	#00082530869	BUCCINO, MARY EURO PACIFIC GROWTH FUND CLASS A	0.00	1.89	100.0	0.00	1.89	0.00	1.89
06/01/2018	#00083975413	ALTADONNA, EURO PACIFIC GROWTH FUND CLASS A	0.00	0.49	100.0	0.00	0.49	0.00	0.49
06/01/2018	#00084301400	SHEPPARD, JIMMY EURO PACIFIC GROWTH FUND CLASS A	0.00	13.09	100.0	0.00	13.09	0.00	13.09
06/01/2018	#00087996032	ASHRAF, IRVING EURO PACIFIC GROWTH FUND CLASS A	0.00	1.71	100.0	0.00	1.71	0.00	1.71
06/01/2018	#00074884010	THURMAN, JERRY EURO PACIFIC GROWTH FUND CLASS A	0.00	3.05	100.0	0.00	3.05	0.00	3.05
06/01/2018	#00081285134	THURMAN, JERRY EURO PACIFIC GROWTH FUND CLASS A	0.00	2.20	100.0	0.00	2.20	0.00	2.20
06/01/2018	#00081285955	THURMAN, JERRY EURO PACIFIC GROWTH FUND CLASS A	0.00	2.74	100.0	0.00	2.74	0.00	2.74
06/01/2018	#00081518553	HUMPHREYS, TORI EURO PACIFIC GROWTH FUND CLASS A	0.00	2.33	100.0	0.00	2.33	0.00	2.33
06/01/2018	#00082335059	CADWALLADER, EURO PACIFIC GROWTH FUND CLASS A	0.00	0.56	100.0	0.00	0.56	0.00	0.56
06/01/2018	#00082335086	CADWALLADER, EURO PACIFIC GROWTH FUND CLASS A	0.00	0.67	100.0	0.00	0.67	0.00	0.67
06/01/2018	#00085886363	GARCIA, JUAN EURO PACIFIC GROWTH FUND CLASS A	0.00	3.22	100.0	0.00	3.22	0.00	3.22
06/01/2018	#00064344320	SCHORLE, EURO PACIFIC GROWTH FUND CLASS A	0.00	1.55	100.0	0.00	1.55	0.00	1.55
06/01/2018	#00064344321	SCHORLE, EURO PACIFIC GROWTH FUND CLASS A	0.00	1.55	100.0	0.00	1.55	0.00	1.55
06/01/2018	#00058252358	KELLY, RICHARD EURO PACIFIC GROWTH FUND CLASS A	0.00	3.44	100.0	0.00	3.44	0.00	3.44
06/01/2018	#00083403724	OGLESBY, KAILYN EUROPACIFIC GROWTH FUND-529A	0.00	0.20	100.0	0.00	0.20	0.00	0.20
06/01/2018	#00074567363	EHRlich, EUROPACIFIC GROWTH FUND-529A	0.00	3.99	100.0	0.00	3.99	0.00	3.99
06/01/2018	#00074892517	THURMAN, JEREMY EUROPACIFIC GROWTH FUND-529A	0.00	4.44	100.0	0.00	4.44	0.00	4.44
06/01/2018	#00074892549	THURMAN, JEREMY EUROPACIFIC GROWTH FUND-529A	0.00	6.73	100.0	0.00	6.73	0.00	6.73
06/01/2018	#00082811781	NEECE, GINGER FUNDAMENTAL INVESTORS INC CLASS A	0.00	6.16	100.0	0.00	6.16	0.00	6.16
06/01/2018	#00083614513	SHARIFI-TAKIEH, FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.17	100.0	0.00	3.17	0.00	3.17
06/01/2018	#00086824800	FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.84	100.0	0.00	4.84	0.00	4.84
06/01/2018	#00087188339	MCDOWAN, GEL FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.56	100.0	0.00	0.56	0.00	0.56
06/01/2018	#00083665204	SMITH, MARGARET FUNDAMENTAL INVESTORS INC CLASS A	0.00	5.47	100.0	0.00	5.47	0.00	5.47
06/01/2018	#00087996032	ASHRAF, IRVING FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.78	100.0	0.00	4.78	0.00	4.78
06/01/2018	#00085886363	GARCIA, JUAN FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.91	100.0	0.00	3.91	0.00	3.91
06/01/2018	#00068440916	MOUKARZEL, ANNA FUNDAMENTAL INVESTORS-529A	0.00	7.20	100.0	0.00	7.20	0.00	7.20
06/01/2018	#00068440918	MOUKARZEL, ANNA FUNDAMENTAL INVESTORS-529A	0.00	7.20	100.0	0.00	7.20	0.00	7.20
06/01/2018	#00083902726	SINGH, MEHAR FUNDAMENTAL INVESTORS-529A	0.00	3.59	100.0	0.00	3.59	0.00	3.59
06/01/2018	#00083902768	SINGH, MEHAR FUNDAMENTAL INVESTORS-529A	0.00	3.59	100.0	0.00	3.59	0.00	3.59
06/01/2018	#00083902778	SINGH, MEHAR FUNDAMENTAL INVESTORS-529A	0.00	5.84	100.0	0.00	5.84	0.00	5.84
06/01/2018	#00084075231	JOE, DAVID FUNDAMENTAL INVESTORS-529A	0.00	6.33	100.0	0.00	6.33	0.00	6.33
06/01/2018	#00089764435	CHALLASURE FUNDAMENTAL INVESTORS-529A	0.00	1.23	100.0	0.00	1.23	0.00	1.23
06/01/2018	#00089764458	CHALLASURE FUNDAMENTAL INVESTORS-529A	0.00	1.23	100.0	0.00	1.23	0.00	1.23
06/01/2018	#00089813030	PUNNAM, FUNDAMENTAL INVESTORS-529A	0.00	0.77	100.0	0.00	0.77	0.00	0.77
06/01/2018	#00089813049	PUNNAM, FUNDAMENTAL INVESTORS-529A	0.00	0.77	100.0	0.00	0.77	0.00	0.77

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#04000010563	GARRISONJU	FUNDAMENTAL INVESTORS-529A	0.00	1.62	100.0	0.00	1.62	1.62
06/01/2018	#00082011177	UNTIL AGE 21,	GROWTH FUND AMER INC CL C	0.00	1.60	100.0	0.00	1.60	1.60
06/01/2018	#00086791991	SANTOS, MARILU	GROWTH FUND AMER INC CL C	0.00	6.98	100.0	0.00	6.98	6.98
06/01/2018	#00063740421	ORTOLI, COLLEEN	GROWTH FUND AMERICA INC CLASS A	0.00	31.54	100.0	0.00	31.54	31.54
06/01/2018	#00063740422	ORTOLI, COLLEEN	GROWTH FUND AMERICA INC CLASS A	0.00	3.49	100.0	0.00	3.49	3.49
06/01/2018	#00073117259	BOHN, CATHERINE	GROWTH FUND AMERICA INC CLASS A	0.00	7.44	100.0	0.00	7.44	7.44
06/01/2018	#00073644310	LOGAN, SANDRA	GROWTH FUND AMERICA INC CLASS A	0.00	10.00	100.0	0.00	10.00	10.00
06/01/2018	#00075351853	DUNCANSON,	GROWTH FUND AMERICA INC CLASS A	0.00	65.88	100.0	0.00	65.88	65.88
06/01/2018	#00081128279	OBLEAS, ALEX	GROWTH FUND AMERICA INC CLASS A	0.00	2.44	100.0	0.00	2.44	2.44
06/01/2018	#00081177003	DUNCANSON,	GROWTH FUND AMERICA INC CLASS A	0.00	58.80	100.0	0.00	58.80	58.80
06/01/2018	#00082421253	UNTIL AGE 18,	GROWTH FUND AMERICA INC CLASS A	0.00	0.50	100.0	0.00	0.50	0.50
06/01/2018	#00084997003		GROWTH FUND AMERICA INC CLASS A	0.00	0.84	100.0	0.00	0.84	0.84
06/01/2018	#00085366483	ELLEGAARD, ERIC	GROWTH FUND AMERICA INC CLASS A	0.00	0.34	100.0	0.00	0.34	0.34
06/01/2018	#00085729877		GROWTH FUND AMERICA INC CLASS A	0.00	0.72	100.0	0.00	0.72	0.72
06/01/2018	#00087188339	MCDOWAN, GEL	GROWTH FUND AMERICA INC CLASS A	0.00	0.56	100.0	0.00	0.56	0.56
06/01/2018	#00087399223		GROWTH FUND AMERICA INC CLASS A	0.00	0.57	100.0	0.00	0.57	0.57
06/01/2018	#00064989411	CHAVEZTAMI	GROWTH FUND AMERICA INC CLASS A	0.00	17.79	100.0	0.00	17.79	17.79
06/01/2018	#00065032342	SHEPPARD/AUTH	GROWTH FUND AMERICA INC CLASS A	0.00	1.20	100.0	0.00	1.20	1.20
06/01/2018	#00082011177	UNTIL AGE 21,	GROWTH FUND AMERICA INC CLASS A	0.00	1.83	100.0	0.00	1.83	1.83
06/01/2018	#00063623058	CHRISP/AUTH	GROWTH FUND AMERICA INC CLASS A	0.00	5.51	100.0	0.00	5.51	5.51
06/01/2018	#00074023647	WENTZ, KELLY	GROWTH FUND AMERICA INC CLASS A	0.00	9.06	100.0	0.00	9.06	9.06
06/01/2018	#00089627136		GROWTH FUND AMERICA INC CLASS A	0.00	0.64	100.0	0.00	0.64	0.64
06/01/2018	#00064344320	SCHORLE,	GROWTH FUND AMERICA INC CLASS A	0.00	3.66	100.0	0.00	3.66	3.66
06/01/2018	#00064344321	SCHORLE,	GROWTH FUND AMERICA INC CLASS A	0.00	3.66	100.0	0.00	3.66	3.66
06/01/2018	#00067588886	BROWN, VICKI	INCOME FUND OF AMERICA INC CLASS A	0.00	8.09	100.0	0.00	8.09	8.09
06/01/2018	#00073851390	DUVAL, LINDA	INCOME FUND OF AMERICA INC CLASS A	0.00	17.23	100.0	0.00	17.23	17.23
06/01/2018	#00075351853	DUNCANSON,	INCOME FUND OF AMERICA INC CLASS A	0.00	22.68	100.0	0.00	22.68	22.68
06/01/2018	#00081177003	DUNCANSON,	INCOME FUND OF AMERICA INC CLASS A	0.00	29.05	100.0	0.00	29.05	29.05
06/01/2018	#00074615300	SCHARP, JOYCE	INCOME FUND OF AMERICA INC CLASS A	0.00	4.76	100.0	0.00	4.76	4.76
06/01/2018	#00083665204	SMITH, MARGARET	INCOME FUND OF AMERICA INC CLASS A	0.00	6.06	100.0	0.00	6.06	6.06
06/01/2018	#00087996032	ASHRAF, IRVING	INCOME FUND OF AMERICA INC CLASS A	0.00	4.52	100.0	0.00	4.52	4.52
06/01/2018	#00068402893	KURZ, KERRY	INCOME FUND OF AMERICA INC CLASS A	0.00	11.71	100.0	0.00	11.71	11.71
06/01/2018	#00075194307	MC NAAB, SHARON	INCOME FUND OF AMERICA INC CLASS A	0.00	15.23	100.0	0.00	15.23	15.23
06/01/2018	#00087996032	ASHRAF, IRVING	INFLATION LINKED BOND FUND-A	0.00	0.51	100.0	0.00	0.51	0.51
06/01/2018	#00074709359	MOORE, JONATHAN	INTERMEDIATE BOND FUND OF AMERICA-5	0.00	4.79	100.0	0.00	4.79	4.79
06/01/2018	#00074709423	MOORE, JONATHAN	INTERMEDIATE BOND FUND OF AMERICA-5	0.00	5.82	100.0	0.00	5.82	5.82

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/01/2018	#00074710614	MOORE, JONATHAN	INTERMEDIATE BOND FUND OF AMERICA-5	0.00	5.38	100.0	0.00	5.38	0.00	5.38
06/01/2018	#00073614025	PLAN, SIMPLE	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.41	100.0	0.00	0.41	0.00	0.41
06/01/2018	#00073851390	DUVAL, LINDA	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	4.02	100.0	0.00	4.02	0.00	4.02
06/01/2018	#00075554876	KOONCE, JANICE	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	4.63	100.0	0.00	4.63	0.00	4.63
06/01/2018	#00083665204	SMITH, MARGARET	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.87	100.0	0.00	0.87	0.00	0.87
06/01/2018	#00084301400	SHEPPARD, JIMMY	INTERNATIONAL GROWTH AND INCOME-A	0.00	11.84	100.0	0.00	11.84	0.00	11.84
06/01/2018	#00084760739		INTERNATIONAL GROWTH AND INCOME-A	0.00	1.08	100.0	0.00	1.08	0.00	1.08
06/01/2018	#00063740421	ORTOLI, COLLEEN	INVESTMENT CO AMER CLASS A	0.00	15.30	100.0	0.00	15.30	0.00	15.30
06/01/2018	#00063740422	ORTOLI, COLLEEN	INVESTMENT CO AMER CLASS A	0.00	26.02	100.0	0.00	26.02	0.00	26.02
06/01/2018	#00073503410	STEVENS, EVELYN	INVESTMENT CO AMER CLASS A	0.00	20.36	100.0	0.00	20.36	0.00	20.36
06/01/2018	#00073985169	STILES, EDWARD	INVESTMENT CO AMER CLASS A	0.00	4.68	100.0	0.00	4.68	0.00	4.68
06/01/2018	#00075351803	DUNCANSON,	INVESTMENT CO AMER CLASS A	0.00	10.24	100.0	0.00	10.24	0.00	10.24
06/01/2018	#00081128317	OBLEAS, ALEX	INVESTMENT CO AMER CLASS A	0.00	2.78	100.0	0.00	2.78	0.00	2.78
06/01/2018	#00084997003		INVESTMENT CO AMER CLASS A	0.00	0.74	100.0	0.00	0.74	0.00	0.74
06/01/2018	#00085366483	ELLEGAARD, ERIC	INVESTMENT CO AMER CLASS A	0.00	0.48	100.0	0.00	0.48	0.00	0.48
06/01/2018	#00085729877		INVESTMENT CO AMER CLASS A	0.00	0.65	100.0	0.00	0.65	0.00	0.65
06/01/2018	#00087938875		INVESTMENT CO AMER CLASS A	0.00	0.89	100.0	0.00	0.89	0.00	0.89
06/01/2018	#00086048248	GARCIA, JUAN	INVESTMENT CO AMER CLASS A	0.00	0.63	100.0	0.00	0.63	0.00	0.63
06/01/2018	#00087996032	ASHRAF, IRVING	INVESTMENT CO AMER CLASS A	0.00	2.81	100.0	0.00	2.81	0.00	2.81
06/01/2018	#00068402893	KURZ, KERRY	INVESTMENT CO AMER CLASS A	0.00	29.52	100.0	0.00	29.52	0.00	29.52
06/01/2018	#00087188339	MCDOWAN, GEL	NEW ECONOMY FUND SBI CLASS A	0.00	0.61	100.0	0.00	0.61	0.00	0.61
06/01/2018	#00063740421	ORTOLI, COLLEEN	NEW PERSPECTIVE FUND INC CL A	0.00	9.63	100.0	0.00	9.63	0.00	9.63
06/01/2018	#00063740422	ORTOLI, COLLEEN	NEW PERSPECTIVE FUND INC CL A	0.00	1.20	100.0	0.00	1.20	0.00	1.20
06/01/2018	#00085498364	HADDAD, NASSIM	NEW PERSPECTIVE FUND INC CL A	0.00	2.39	100.0	0.00	2.39	0.00	2.39
06/01/2018	#00084301400	SHEPPARD, JIMMY	NEW PERSPECTIVE FUND INC CL A	0.00	17.47	100.0	0.00	17.47	0.00	17.47
06/01/2018	#00081518553	HUMPHREYS, TORI	NEW PERSPECTIVE FUND INC CL A	0.00	3.03	100.0	0.00	3.03	0.00	3.03
06/01/2018	#00085886363	GARCIA, JUAN	NEW PERSPECTIVE FUND INC CL A	0.00	4.12	100.0	0.00	4.12	0.00	4.12
06/01/2018	#00089764435	CHALLASURE	NEW PERSPECTIVE FUND-529A	0.00	1.26	100.0	0.00	1.26	0.00	1.26
06/01/2018	#00089764458	CHALLASURE	NEW PERSPECTIVE FUND-529A	0.00	1.26	100.0	0.00	1.26	0.00	1.26
06/01/2018	#00082911748	DONNELLY, RONAN	NEW PERSPECTIVE FUND-529A	0.00	0.29	100.0	0.00	0.29	0.00	0.29
06/01/2018	#00073923483	CHRISP, JARED	NEW PERSPECTIVE FUND-529A	0.00	5.89	100.0	0.00	5.89	0.00	5.89
06/01/2018	#00073644307	LOGAN, SANDRA	NEW WORLD FUND INC NEW	0.00	8.20	100.0	0.00	8.20	0.00	8.20
06/01/2018	#00082530869	BUCCINO, MARY	NEW WORLD FUND INC NEW	0.00	1.18	100.0	0.00	1.18	0.00	1.18
06/01/2018	#00084301400	SHEPPARD, JIMMY	NEW WORLD FUND INC NEW	0.00	13.65	100.0	0.00	13.65	0.00	13.65
06/01/2018	#00085384932	MARTINO, JOSEPH	NEW WORLD FUND INC NEW	0.00	81.07	100.0	0.00	81.07	0.00	81.07
06/01/2018	#00074023647	WENTZ, KELLY	NEW WORLD FUND INC NEW	0.00	6.91	100.0	0.00	6.91	0.00	6.91

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00085886363	GARCIA, JUAN	NEW WORLD FUND INC NEW	0.00	2.46	100.0	0.00	2.46	2.46
06/01/2018	#00068440916	MOUKARZEL, ANNA	NEW WORLD FUND-529A	0.00	5.48	100.0	0.00	5.48	5.48
06/01/2018	#00068440918	MOUKARZEL, ANNA	NEW WORLD FUND-529A	0.00	5.48	100.0	0.00	5.48	5.48
06/01/2018	#00083902726	SINGH, MEHAR	NEW WORLD FUND-529A	0.00	2.17	100.0	0.00	2.17	2.17
06/01/2018	#00083902768	SINGH, MEHAR	NEW WORLD FUND-529A	0.00	2.17	100.0	0.00	2.17	2.17
06/01/2018	#00083902778	SINGH, MEHAR	NEW WORLD FUND-529A	0.00	3.43	100.0	0.00	3.43	3.43
06/01/2018	#00082911748	DONNELLY, RONAN	NEW WORLD FUND-529A	0.00	0.21	100.0	0.00	0.21	0.21
06/01/2018	#00075351853	DUNCANSON,	SMALLCAP WORLD FUND INC CLASS A	0.00	42.17	100.0	0.00	42.17	42.17
06/01/2018	#00081177003	DUNCANSON,	SMALLCAP WORLD FUND INC CLASS A	0.00	31.16	100.0	0.00	31.16	31.16
06/01/2018	#00082421253	UNTIL AGE 18,	SMALLCAP WORLD FUND INC CLASS A	0.00	0.41	100.0	0.00	0.41	0.41
06/01/2018	#00085366483	ELLEGAARD, ERIC	SMALLCAP WORLD FUND INC CLASS A	0.00	1.73	100.0	0.00	1.73	1.73
06/01/2018	#00085498364	HADDAD, NASSIM	SMALLCAP WORLD FUND INC CLASS A	0.00	2.30	100.0	0.00	2.30	2.30
06/01/2018	#00063755323	EASTON, KENT	SMALLCAP WORLD FUND INC CLASS A	0.00	2.00	100.0	0.00	2.00	2.00
06/01/2018	#00064344320	SCHORLE,	SMALLCAP WORLD FUND INC CLASS A	0.00	1.80	100.0	0.00	1.80	1.80
06/01/2018	#00064344321	SCHORLE,	SMALLCAP WORLD FUND INC CLASS A	0.00	1.80	100.0	0.00	1.80	1.80
06/01/2018	#00085961395	SAENZ, BRANDON	SMALLCAP WORLD FUND-529A	0.00	1.58	100.0	0.00	1.58	1.58
06/01/2018	#00073923483	CHRISP, JARED	SMALLCAP WORLD FUND-529A	0.00	0.50	100.0	0.00	0.50	0.50
06/01/2018	#00068440916	MOUKARZEL, ANNA	THE GROWTH FUND OF AMERICA-529A	0.00	7.88	100.0	0.00	7.88	7.88
06/01/2018	#00068440918	MOUKARZEL, ANNA	THE GROWTH FUND OF AMERICA-529A	0.00	6.58	100.0	0.00	6.58	6.58
06/01/2018	#00084075231	JOE, DAVID	THE GROWTH FUND OF AMERICA-529A	0.00	6.27	100.0	0.00	6.27	6.27
06/01/2018	#04000010563	GARRISONJU	THE GROWTH FUND OF AMERICA-529A	0.00	1.68	100.0	0.00	1.68	1.68
06/01/2018	#00074709359	MOORE, JONATHAN	THE GROWTH FUND OF AMERICA-529A	0.00	10.31	100.0	0.00	10.31	10.31
06/01/2018	#00074709423	MOORE, JONATHAN	THE GROWTH FUND OF AMERICA-529A	0.00	12.56	100.0	0.00	12.56	12.56
06/01/2018	#00074710614	MOORE, JONATHAN	THE GROWTH FUND OF AMERICA-529A	0.00	12.53	100.0	0.00	12.53	12.53
06/01/2018	#00085961395	SAENZ, BRANDON	THE GROWTH FUND OF AMERICA-529A	0.00	14.14	100.0	0.00	14.14	14.14
06/01/2018	#00074567363	EHRlich,	THE GROWTH FUND OF AMERICA-529A	0.00	17.76	100.0	0.00	17.76	17.76
06/01/2018	#00087012194	JACKETTA,	THE GROWTH FUND OF AMERICA-529A	0.00	4.82	100.0	0.00	4.82	4.82
06/01/2018	#00084075231	JOE, DAVID	THE INCOME FUND OF AMERICA-529A	0.00	4.38	100.0	0.00	4.38	4.38
06/01/2018	#00089764435	CHALLASURE	THE INCOME FUND OF AMERICA-529A	0.00	1.14	100.0	0.00	1.14	1.14
06/01/2018	#00089764458	CHALLASURE	THE INCOME FUND OF AMERICA-529A	0.00	1.14	100.0	0.00	1.14	1.14
06/01/2018	#00082911748	DONNELLY, RONAN	THE INCOME FUND OF AMERICA-529A	0.00	0.18	100.0	0.00	0.18	0.18
06/01/2018	#00074709359	MOORE, JONATHAN	THE INCOME FUND OF AMERICA-529A	0.00	7.66	100.0	0.00	7.66	7.66
06/01/2018	#00074709423	MOORE, JONATHAN	THE INCOME FUND OF AMERICA-529A	0.00	9.30	100.0	0.00	9.30	9.30
06/01/2018	#00074710614	MOORE, JONATHAN	THE INCOME FUND OF AMERICA-529A	0.00	9.09	100.0	0.00	9.09	9.09
06/01/2018	#00068440916	MOUKARZEL, ANNA	THE NEW ECONOMY FUND-529A	0.00	8.68	100.0	0.00	8.68	8.68
06/01/2018	#00068440918	MOUKARZEL, ANNA	THE NEW ECONOMY FUND-529A	0.00	8.68	100.0	0.00	8.68	8.68

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00068782693	MANGUBAT, THE NEW ECONOMY FUND-529A	0.00	82.66	100.0	0.00	82.66	0.00	82.66
06/01/2018	#00068782695	MANGUBAT, THE NEW ECONOMY FUND-529A	0.00	41.62	100.0	0.00	41.62	0.00	41.62
06/01/2018	#00085961395	SAENZ, BRANDON THE NEW ECONOMY FUND-529A	0.00	0.07	100.0	0.00	0.07	0.00	0.07
06/01/2018	#00068782693	MANGUBAT, WASHINGTON MUTUAL INVESTORS FUND-52	0.00	69.21	100.0	0.00	69.21	0.00	69.21
06/01/2018	#00068782695	MANGUBAT, WASHINGTON MUTUAL INVESTORS FUND-52	0.00	32.05	100.0	0.00	32.05	0.00	32.05
06/01/2018	#00081901404	GUTRIDGE, MYKA WASHINGTON MUTUAL INVESTORS FUND-52	0.00	2.37	100.0	0.00	2.37	0.00	2.37
06/01/2018	#00081904320	GUTRIDGE, MYKA WASHINGTON MUTUAL INVESTORS FUND-52	0.00	1.52	100.0	0.00	1.52	0.00	1.52
06/01/2018	#00085961395	SAENZ, BRANDON WASHINGTON MUTUAL INVESTORS FUND-52	0.00	11.35	100.0	0.00	11.35	0.00	11.35
06/01/2018	#00074567363	EHRlich, WASHINGTON MUTUAL INVESTORS FUND-52	0.00	29.72	100.0	0.00	29.72	0.00	29.72
06/01/2018	#00074105500	BELLO, FERNANDO WASHINGTON MUTUAL INVS FD CLASS A	0.00	5.27	100.0	0.00	5.27	0.00	5.27
06/01/2018	#00074800445	EYRE, CYNTHIA WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.77	100.0	0.00	4.77	0.00	4.77
06/01/2018	#00075351853	DUNCANSON, WASHINGTON MUTUAL INVS FD CLASS A	0.00	38.52	100.0	0.00	38.52	0.00	38.52
06/01/2018	#00081128279	OBLEAS, ALEX WASHINGTON MUTUAL INVS FD CLASS A	0.00	2.10	100.0	0.00	2.10	0.00	2.10
06/01/2018	#00081177003	DUNCANSON, WASHINGTON MUTUAL INVS FD CLASS A	0.00	20.63	100.0	0.00	20.63	0.00	20.63
06/01/2018	#00082421253	UNTIL AGE 18, WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.85	100.0	0.00	0.85	0.00	0.85
06/01/2018	#00085366483	ELLEGAARD, ERIC WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.28	100.0	0.00	0.28	0.00	0.28
06/01/2018	#00062669982	RADO, JENNIFER WASHINGTON MUTUAL INVS FD CLASS A	0.00	24.01	100.0	0.00	24.01	0.00	24.01
06/01/2018	#00064989411	CHAVEZTAMI WASHINGTON MUTUAL INVS FD CLASS A	0.00	16.50	100.0	0.00	16.50	0.00	16.50
06/01/2018	#00075554876	KOONCE, JANICE WASHINGTON MUTUAL INVS FD CLASS A	0.00	8.65	100.0	0.00	8.65	0.00	8.65
06/01/2018	#00063623058	CHRISP/AUTH WASHINGTON MUTUAL INVS FD CLASS A	0.00	5.22	100.0	0.00	5.22	0.00	5.22
06/01/2018	#00064681035	FORD/AUTH PER, WASHINGTON MUTUAL INVS FD CLASS A	0.00	5.64	100.0	0.00	5.64	0.00	5.64
06/01/2018	#00067480263	FORD/AUTH PER, WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.11	100.0	0.00	4.11	0.00	4.11
06/01/2018	#00074023647	WENTZ, KELLY WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.38	100.0	0.00	0.38	0.00	0.38
06/01/2018	#00056527279	GERDING, MARY WASHINGTON MUTUAL INVS FD CLASS A	0.00	38.78	100.0	0.00	38.78	0.00	38.78
06/07/2018	#01468970379	VARIOUS HOUSE FIDELITY ADV HIGH INCOME-CL A	0.00	25.07	100.0	0.00	25.07	0.00	25.07
06/07/2018	#01456449279	VARIOUS FIDELITY ADV HIGH INCOME-CL A	0.00	0.63	100.0	0.00	0.63	0.00	0.63
06/07/2018	#01485263345	VARIOUS FIDELITY ADV HIGH INCOME-CL A	0.00	0.82	100.0	0.00	0.82	0.00	0.82
06/07/2018	#00700876071	VARIOUS HOUSE FA 529 COLLEGE PORT-CL D	0.00	2.04	100.0	0.00	2.04	0.00	2.04
06/07/2018	#00511602831	VARIOUS HOUSE FA ADVISOR SHORT TERM BOND FUND	0.00	4.89	100.0	0.00	4.89	0.00	4.89
06/07/2018	#01456449279	VARIOUS FA ADVISOR SHORT TERM BOND FUND	0.00	0.30	100.0	0.00	0.30	0.00	0.30
06/07/2018	#01468970379	VARIOUS HOUSE FA REAL ESTATE INCOME FUND	0.00	92.08	100.0	0.00	92.08	0.00	92.08
06/07/2018	#00500331723	VARIOUS HOUSE FA SHORT TERM BOND C	0.00	1.34	100.0	0.00	1.34	0.00	1.34
06/07/2018	#00689683829	VARIOUS HOUSE FIDELITY ADV BALANCED-CL T	0.00	0.49	100.0	0.00	0.49	0.00	0.49
06/07/2018	#00501330088	VARIOUS HOUSE FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.93	100.0	0.00	0.93	0.00	0.93
06/07/2018	#00501330088	VARIOUS HOUSE FIDELITY ADV COMM EQUIPMENT-CL A	0.00	0.22	100.0	0.00	0.22	0.00	0.22
06/07/2018	#00063440929	VARIOUS HOUSE FIDELITY ADV EMERGING MRKTS INC-CL	0.00	0.81	100.0	0.00	0.81	0.00	0.81

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/07/2018	#01456449279	VARIOUS	FIDELITY ADV EMERGING MRKTS INC-CL	0.00	0.62	100.0	0.00	0.62	0.62
06/07/2018	#00655923407	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.83	100.0	0.00	0.83	0.83
06/07/2018	#00689433449	ARMAND, JUDITH	FIDELITY ADV EQUITY GROWTH-CL T	0.00	13.75	100.0	0.00	13.75	13.75
06/07/2018	#00689505493	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	19.87	100.0	0.00	19.87	19.87
06/07/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.50	100.0	0.00	0.50	0.50
06/07/2018	#00700332646	BAPTISTE, MARIE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.56	100.0	0.00	0.56	0.56
06/07/2018	#00700381551	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	3.73	100.0	0.00	3.73	3.73
06/07/2018	#00006803894	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	25.79	100.0	0.00	25.79	25.79
06/07/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	0.11	100.0	0.00	0.11	0.11
06/07/2018	#00689697233	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	1.18	100.0	0.00	1.18	1.18
06/07/2018	#00689698223	LORD, SUSAN	FIDELITY ADV EQUITY INCOME-CL A	0.00	1.20	100.0	0.00	1.20	1.20
06/07/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL T	0.00	2.36	100.0	0.00	2.36	2.36
06/07/2018	#00689848828		FIDELITY ADV EQUITY VALUE-CL A	0.00	17.69	100.0	0.00	17.69	17.69
06/07/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.35	100.0	0.00	0.35	0.35
06/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.35	100.0	0.00	0.35	0.35
06/07/2018	#00689698223	LORD, SUSAN	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.07	100.0	0.00	0.07	0.07
06/07/2018	#00689848828		FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.16	100.0	0.00	0.16	0.16
06/07/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.31	100.0	0.00	0.31	0.31
06/07/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADV FLOATING RATE HIGH INC	0.00	0.15	100.0	0.00	0.15	0.15
06/07/2018	#01456449279	VARIOUS	FIDELITY ADV FLOATING RATE HIGH INC	0.00	0.79	100.0	0.00	0.79	0.79
06/07/2018	#00065009839	VARIOUS HOUSE	FIDELITY ADV GOLD-CL A	0.00	0.69	100.0	0.00	0.69	0.69
06/07/2018	#01456449279	VARIOUS	FIDELITY ADV GOVERNMENT INCOME-CL A	0.00	0.66	100.0	0.00	0.66	0.66
06/07/2018	#01485263345	VARIOUS	FIDELITY ADV GOVERNMENT INCOME-CL A	0.00	0.74	100.0	0.00	0.74	0.74
06/07/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.12	100.0	0.00	0.12	0.12
06/07/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.28	100.0	0.00	0.28	0.28
06/07/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.25	100.0	0.00	0.25	0.25
06/07/2018	#01485263345	VARIOUS	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.43	100.0	0.00	0.43	0.43
06/07/2018	#00062804968	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	2.61	100.0	0.00	2.61	2.61
06/07/2018	#00062990338	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.14	100.0	0.00	0.14	0.14
06/07/2018	#00618796379	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	1.52	100.0	0.00	1.52	1.52
06/07/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.23	100.0	0.00	0.23	0.23
06/07/2018	#01485263345	VARIOUS	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.44	100.0	0.00	0.44	0.44
06/07/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.06	100.0	0.00	0.06	0.06
06/07/2018	#00063272603	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.14	100.0	0.00	0.14	0.14
06/07/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	1.90	100.0	0.00	1.90	1.90
06/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	1.90	100.0	0.00	1.90	1.90

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	3.49	100.0	0.00	3.49	0.00	3.49
06/07/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.62	100.0	0.00	0.62	0.00	0.62
06/07/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.61	100.0	0.00	0.61	0.00	0.61
06/07/2018	#00655979805	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.18	100.0	0.00	0.18	0.00	0.18
06/07/2018	#00689848828		FIDELITY ADV HEALTH CARE-CL A	0.00	0.36	100.0	0.00	0.36	0.00	0.36
06/07/2018	#00689853968	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL T	0.00	0.60	100.0	0.00	0.60	0.00	0.60
06/07/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADV HIGH INCOME	0.00	84.61	100.0	0.00	84.61	0.00	84.61
06/07/2018	#01456449279	VARIOUS	FIDELITY ADV INFLATION PROTECTED BD	0.00	0.63	100.0	0.00	0.63	0.00	0.63
06/07/2018	#01485263345	VARIOUS	FIDELITY ADV INFLATION PROTECTED BD	0.00	0.71	100.0	0.00	0.71	0.00	0.71
06/07/2018	#00510641756	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	1.29	100.0	0.00	1.29	0.00	1.29
06/07/2018	#01456449279	VARIOUS	FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	0.51	100.0	0.00	0.51	0.00	0.51
06/07/2018	#00700381551	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL T	0.00	0.19	100.0	0.00	0.19	0.00	0.19
06/07/2018	#00700467251	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL T	0.00	1.12	100.0	0.00	1.12	0.00	1.12
06/07/2018	#00065009839	VARIOUS HOUSE	FIDELITY ADV INTERNATIONAL VALUE-CL	0.00	0.48	100.0	0.00	0.48	0.00	0.48
06/07/2018	#00062778436	VARIOUS HOUSE	FIDELITY ADV LARGE CAP-CL A	0.00	4.25	100.0	0.00	4.25	0.00	4.25
06/07/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADV LARGE CAP-CL T	0.00	0.55	100.0	0.00	0.55	0.00	0.55
06/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	1.78	100.0	0.00	1.78	0.00	1.78
06/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.45	100.0	0.00	0.45	0.00	0.45
06/07/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.45	100.0	0.00	0.45	0.00	0.45
06/07/2018	#00501330088	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.22	100.0	0.00	0.22	0.00	0.22
06/07/2018	#00618264410	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	2.14	100.0	0.00	2.14	0.00	2.14
06/07/2018	#00655540011	LAMARRE, MARIE	FIDELITY ADV MID CAP-CL A	0.00	9.40	100.0	0.00	9.40	0.00	9.40
06/07/2018	#00689697225	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.66	100.0	0.00	0.66	0.00	0.66
06/07/2018	#00700516297	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.03	100.0	0.00	0.03	0.00	0.03
06/07/2018	#00700431885	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL T	0.00	19.96	100.0	0.00	19.96	0.00	19.96
06/07/2018	#01487227504	TRUST,	FIDELITY ADV MID CAP-CL T	0.00	3.87	100.0	0.00	3.87	0.00	3.87
06/07/2018	#01487228155	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL T	0.00	0.06	100.0	0.00	0.06	0.00	0.06
06/07/2018	#01485263345	VARIOUS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.43	100.0	0.00	0.43	0.00	0.43
06/07/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV OVERSEAS-CL A	0.00	0.30	100.0	0.00	0.30	0.00	0.30
06/07/2018	#01485263345	VARIOUS	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	0.42	100.0	0.00	0.42	0.00	0.42
06/07/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	88.34	100.0	0.00	88.34	0.00	88.34
06/07/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	1.51	100.0	0.00	1.51	0.00	1.51
06/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.96	100.0	0.00	0.96	0.00	0.96
06/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.74	100.0	0.00	0.74	0.00	0.74
06/07/2018	#00618721112	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.58	100.0	0.00	0.58	0.00	0.58
06/07/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.39	100.0	0.00	0.39	0.00	0.39

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/07/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	38.15	100.0	0.00	38.15	38.15
06/07/2018	#00655540011	LAMARRE, MARIE	FIDELITY ADV TECHNOLOGY-CL A	0.00	7.67	100.0	0.00	7.67	7.67
06/07/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.18	100.0	0.00	0.18	0.18
06/07/2018	#00655711414	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.03	100.0	0.00	0.03	0.03
06/07/2018	#00655979805	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.28	100.0	0.00	0.28	0.28
06/07/2018	#00689848828		FIDELITY ADV TECHNOLOGY-CL A	0.00	0.21	100.0	0.00	0.21	0.21
06/07/2018	#00701252348	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.51	100.0	0.00	0.51	0.51
06/07/2018	#00655923407	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	0.45	100.0	0.00	0.45	0.45
06/07/2018	#00689853968	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	1.06	100.0	0.00	1.06	1.06
06/07/2018	#00700332646	BAPTISTE, MARIE	FIDELITY ADV TECHNOLOGY-CL T	0.00	2.08	100.0	0.00	2.08	2.08
06/07/2018	#00700431885	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	11.76	100.0	0.00	11.76	11.76
06/07/2018	#01456449279	VARIOUS	FIDELITY ADV TOTAL BOND-CL A	0.00	1.46	100.0	0.00	1.46	1.46
06/07/2018	#01485263345	VARIOUS	FIDELITY ADV TOTAL BOND-CL A	0.00	0.82	100.0	0.00	0.82	0.82
06/07/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADV UTILITIES-CL A	0.00	5.51	100.0	0.00	5.51	5.51
06/07/2018	#00689848828		FIDELITY ADV UTILITIES-CL A	0.00	0.24	100.0	0.00	0.24	0.24
06/07/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV UTILITIES-CL T	0.00	0.91	100.0	0.00	0.91	0.91
06/07/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	0.38	100.0	0.00	0.38	0.38
06/07/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	1.73	100.0	0.00	1.73	1.73
06/07/2018	#00700381551	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	3.54	100.0	0.00	3.54	3.54
06/07/2018	#00700467251	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	8.33	100.0	0.00	8.33	8.33
06/07/2018	#01475366801	VARIOUS HOUSE	FIDELITY ADVISOR EMERGING MARKETS F	0.00	1.48	100.0	0.00	1.48	1.48
06/07/2018	#00689960367	VARIOUS HOUSE	FIDELITY ADVISOR ENERGY CL A	0.00	1.06	100.0	0.00	1.06	1.06
06/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.43	100.0	0.00	0.43	0.43
06/07/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.37	100.0	0.00	0.37	0.37
06/07/2018	#00501330088	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.64	100.0	0.00	1.64	1.64
06/07/2018	#00618721112	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.27	100.0	0.00	0.27	0.27
06/07/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.30	100.0	0.00	0.30	0.30
06/07/2018	#00618796379	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.84	100.0	0.00	1.84	1.84
06/07/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	11.97	100.0	0.00	11.97	11.97
06/07/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.28	100.0	0.00	0.28	0.28
06/07/2018	#00655610640	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.42	100.0	0.00	0.42	0.42
06/07/2018	#00689697225	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.02	100.0	0.00	1.02	1.02
06/07/2018	#00689698223	LORD, SUSAN	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.25	100.0	0.00	0.25	0.25
06/07/2018	#01485263345	VARIOUS	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.43	100.0	0.00	0.43	0.43
06/07/2018	#00700516297	VARIOUS HOUSE	FIDELITY ADVISOR SER II MID CAP II	0.00	7.32	100.0	0.00	7.32	7.32
06/07/2018	#00062990338	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.20	100.0	0.00	0.20	0.20

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.11	100.0	0.00	0.11	0.11
06/07/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	1.37	100.0	0.00	1.37	1.37
06/07/2018	#00655071025	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	5.83	100.0	0.00	5.83	5.83
06/07/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	6.61	100.0	0.00	6.61	6.61
06/07/2018	#00655540011	LAMARRE, MARIE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	7.55	100.0	0.00	7.55	7.55
06/07/2018	#00689697225	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.67	100.0	0.00	0.67	0.67
06/07/2018	#00655923407	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	2.38	100.0	0.00	2.38	2.38
06/07/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.59	100.0	0.00	0.59	0.59
06/07/2018	#00700467251	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	10.56	100.0	0.00	10.56	10.56
06/07/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	26.88	100.0	0.00	26.88	26.88
06/07/2018	#01456449279	VARIOUS	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	1.62	100.0	0.00	1.62	1.62
06/07/2018	#01485263345	VARIOUS	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.89	100.0	0.00	0.89	0.89
06/07/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.12	100.0	0.00	0.12	0.12
06/07/2018	#00064878069	VARIOUS HOUSE	FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.47	100.0	0.00	0.47	0.47
06/07/2018	#00510641756	VARIOUS HOUSE	FIDELITY ADVISORS VALUE STRATEGIES	0.00	3.53	100.0	0.00	3.53	3.53
06/07/2018	#00689698223	LORD, SUSAN	FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.99	100.0	0.00	0.99	0.99
06/07/2018	#00689848828		FIDELITY ADVISORS VALUE STRATEGIES	0.00	4.63	100.0	0.00	4.63	4.63
06/07/2018	#00063113120	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.29	100.0	0.00	0.29	0.29
06/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	10.25	100.0	0.00	10.25	10.25
06/07/2018	#00656147402	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.01	100.0	0.00	0.01	0.01
06/07/2018	#01445570219	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.17	100.0	0.00	0.17	0.17
06/07/2018	#01485263345	VARIOUS	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.36	100.0	0.00	0.36	0.36
06/07/2018	#00510641756	VARIOUS HOUSE	FIDELITY INVT TR	0.00	1.08	100.0	0.00	1.08	1.08
06/07/2018	#01468970379	VARIOUS HOUSE	FIDELITY SECS FD REAL ESTATE INCOME	0.00	27.85	100.0	0.00	27.85	27.85
06/07/2018	#01468970379	VARIOUS HOUSE	FMR 316203777	0.00	85.36	100.0	0.00	85.36	85.36
06/07/2018	#01468970379	VARIOUS HOUSE	FMR 316203793	0.00	26.39	100.0	0.00	26.39	26.39
06/07/2018	#00065427064	VARIOUS HOUSE	TREASURY FUND - DAILY MONEY CLASS	0.00	0.37	100.0	0.00	0.37	0.37
06/08/2018	# SHARMA, MADHU		DAIN TRAILER	0.00	5.26	100.0	0.00	5.26	5.26
06/08/2018	# LAKEY, KEVIN		DAIN TRAILER	0.00	1.44	100.0	0.00	1.44	1.44
06/11/2018	# AL MEIER LIVING TRUS		DAIN TRAILER	0.00	2.54	100.0	0.00	2.54	2.54
06/11/2018	# RUTTER, DAVID		DAIN TRAILER	0.00	0.96	100.0	0.00	0.96	0.96
06/11/2018	# SALES, JOSHUA		DAIN TRAILER	0.00	4.02	100.0	0.00	4.02	4.02
06/11/2018	# SALES, JOSHUA		DAIN TRAILER	0.00	0.64	100.0	0.00	0.64	0.64
06/11/2018	# SALES, JOSHUA		DAIN TRAILER	0.00	3.42	100.0	0.00	3.42	3.42
06/11/2018	# SALES, JOSHUA		DAIN TRAILER	0.00	1.80	100.0	0.00	1.80	1.80
06/11/2018	# SALES, JOSHUA		DAIN TRAILER	0.00	20.23	100.0	0.00	20.23	20.23

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/11/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	3.17	100.0	0.00	3.17	0.00	3.17
06/11/2018	# SALES, MIRIAM	DAIN TRAILER	0.00	4.02	100.0	0.00	4.02	0.00	4.02
06/11/2018	# SALES, MIRIAM	DAIN TRAILER	0.00	0.65	100.0	0.00	0.65	0.00	0.65
06/11/2018	# SCOTT, JIM	DAIN TRAILER	0.00	12.87	100.0	0.00	12.87	0.00	12.87
06/11/2018	# GUARANTEE & TRUST CO	DAIN TRAILER	0.00	2.30	100.0	0.00	2.30	0.00	2.30
06/11/2018	# THORPE, JANE	DAIN TRAILER	0.00	1.53	100.0	0.00	1.53	0.00	1.53
06/11/2018	# BRICKNER, DIANA	DAIN TRAILER	0.00	0.16	100.0	0.00	0.16	0.00	0.16
06/11/2018	# BRICKNER, DIANA	DAIN TRAILER	0.00	0.01	100.0	0.00	0.01	0.00	0.01
06/11/2018	# WHITE, SHELBY	DAIN TRAILER	0.00	11.82	100.0	0.00	11.82	0.00	11.82
06/11/2018	# WHITE, SHELBY	DAIN TRAILER	0.00	3.32	100.0	0.00	3.32	0.00	3.32
06/11/2018	# WILLIS, BARBARA	DAIN TRAILER	0.00	0.49	100.0	0.00	0.49	0.00	0.49
06/11/2018	# MORRIS, JOHN	DAIN TRAILER	0.00	0.80	100.0	0.00	0.80	0.00	0.80
06/11/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	1.11	100.0	0.00	1.11	0.00	1.11
06/11/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	2.18	100.0	0.00	2.18	0.00	2.18
06/11/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	27.28	100.0	0.00	27.28	0.00	27.28
06/11/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	1.40	100.0	0.00	1.40	0.00	1.40
06/11/2018	# ARASE, ANNABEL	DAIN TRAILER	0.00	0.83	100.0	0.00	0.83	0.00	0.83
06/11/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.45	100.0	0.00	1.45	0.00	1.45
06/11/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.30	100.0	0.00	1.30	0.00	1.30
06/11/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.83	100.0	0.00	1.83	0.00	1.83
06/11/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	2.18	100.0	0.00	2.18	0.00	2.18
06/11/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.88	100.0	0.00	1.88	0.00	1.88
06/11/2018	# WROTSLAVSKY, MAGDA	DAIN TRAILER	0.00	0.96	100.0	0.00	0.96	0.00	0.96
06/11/2018	# VANDEVANter, SARAH	DAIN TRAILER	0.00	7.12	100.0	0.00	7.12	0.00	7.12
06/11/2018	# VANDEVANter, SARAH	DAIN TRAILER	0.00	0.79	100.0	0.00	0.79	0.00	0.79
06/11/2018	# VANDEVANter, SARAH	DAIN TRAILER	0.00	0.54	100.0	0.00	0.54	0.00	0.54
06/11/2018	# HERZICK, JERRY	DAIN TRAILER	0.00	4.16	100.0	0.00	4.16	0.00	4.16
06/11/2018	# HERZICK, JERRY	DAIN TRAILER	0.00	19.59	100.0	0.00	19.59	0.00	19.59
06/11/2018	# MAYER, LEOTA	DAIN TRAILER	0.00	12.50	100.0	0.00	12.50	0.00	12.50
06/11/2018	# CASTRO, NANCY	DAIN TRAILER	0.00	7.38	100.0	0.00	7.38	0.00	7.38
06/11/2018	# GONZALEZ, GEORGE	DAIN TRAILER	0.00	4.47	100.0	0.00	4.47	0.00	4.47
06/11/2018	# GONZALEZ, GEORGE	DAIN TRAILER	0.00	9.63	100.0	0.00	9.63	0.00	9.63
06/11/2018	# MCGARRAH, DENNIS	DAIN TRAILER	0.00	1.61	100.0	0.00	1.61	0.00	1.61
06/11/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.48	100.0	0.00	0.48	0.00	0.48
06/11/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	1.09	100.0	0.00	1.09	0.00	1.09
06/11/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.76	100.0	0.00	0.76	0.00	0.76

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/11/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	1.13	100.0	0.00	1.13	0.00	1.13
06/11/2018	# HORTON, KATHY	DAIN TRAILER	0.00	24.44	100.0	0.00	24.44	0.00	24.44
06/11/2018	# ALVES, ELMIRA	DAIN TRAILER	0.00	7.77	100.0	0.00	7.77	0.00	7.77
06/11/2018	# BOWLEY, KAREN	DAIN TRAILER	0.00	0.37	100.0	0.00	0.37	0.00	0.37
06/11/2018	# BOWLEY, KAREN	DAIN TRAILER	0.00	0.96	100.0	0.00	0.96	0.00	0.96
06/11/2018	# BOWLEY, KAREN	DAIN TRAILER	0.00	2.49	100.0	0.00	2.49	0.00	2.49
06/11/2018	# MARTIN, JILL	DAIN TRAILER	0.00	5.32	100.0	0.00	5.32	0.00	5.32
06/11/2018	# MARTIN, JILL	DAIN TRAILER	0.00	6.80	100.0	0.00	6.80	0.00	6.80
06/11/2018	# MARTIN, JILL	DAIN TRAILER	0.00	5.30	100.0	0.00	5.30	0.00	5.30
06/11/2018	# MARTIN, JILL	DAIN TRAILER	0.00	0.39	100.0	0.00	0.39	0.00	0.39
06/11/2018	# MARTIN, JILL	DAIN TRAILER	0.00	1.54	100.0	0.00	1.54	0.00	1.54
06/11/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.40	100.0	0.00	0.40	0.00	0.40
06/11/2018	# BRYANT, MORGAN	DAIN TRAILER	0.00	5.06	100.0	0.00	5.06	0.00	5.06
06/11/2018	# FRENCH, KENNER	DAIN TRAILER	0.00	0.29	100.0	0.00	0.29	0.00	0.29
06/11/2018	# GALLAGHER, JAMES	DAIN TRAILER	0.00	5.93	100.0	0.00	5.93	0.00	5.93
06/11/2018	# BOCK, MARION	DAIN TRAILER	0.00	1.16	100.0	0.00	1.16	0.00	1.16
06/11/2018	# JOHNSON, DANIEL	DAIN TRAILER	0.00	3.72	100.0	0.00	3.72	0.00	3.72
06/11/2018	# JOHNSON, DANIEL	DAIN TRAILER	0.00	3.85	100.0	0.00	3.85	0.00	3.85
06/11/2018	# HAVENER, RANDAL	DAIN TRAILER	0.00	5.31	100.0	0.00	5.31	0.00	5.31
06/11/2018	# BOHANAN, LINDA	DAIN TRAILER	0.00	4.35	100.0	0.00	4.35	0.00	4.35
06/11/2018	# ARMSTRONG, MERILEE	DAIN TRAILER	0.00	0.02	100.0	0.00	0.02	0.00	0.02
06/11/2018	# WILLIS, BARBARA	DAIN TRAILER	0.00	0.55	100.0	0.00	0.55	0.00	0.55
06/11/2018	# KEAU, ALEXIS	DAIN TRAILER	0.00	2.60	100.0	0.00	2.60	0.00	2.60
06/11/2018	# JARVIS, RACHEL	DAIN TRAILER	0.00	7.08	100.0	0.00	7.08	0.00	7.08
06/11/2018	# STOUT, RHONDA	DAIN TRAILER	0.00	2.03	100.0	0.00	2.03	0.00	2.03
06/11/2018	# STOUT, RHONDA	DAIN TRAILER	0.00	7.61	100.0	0.00	7.61	0.00	7.61
06/11/2018	# JARVIS, RACHEL	DAIN TRAILER	0.00	3.77	100.0	0.00	3.77	0.00	3.77
06/11/2018	# JARVIS, RACHEL	DAIN TRAILER	0.00	3.79	100.0	0.00	3.79	0.00	3.79
06/11/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.36	100.0	0.00	2.36	0.00	2.36
06/11/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.53	100.0	0.00	2.53	0.00	2.53
06/11/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	1.86	100.0	0.00	1.86	0.00	1.86
06/11/2018	# LAURA CAVALUZZO TTEE	DAIN TRAILER	0.00	1.80	100.0	0.00	1.80	0.00	1.80
06/11/2018	# LAURA CAVALUZZO TTEE	DAIN TRAILER	0.00	1.77	100.0	0.00	1.77	0.00	1.77
06/11/2018	# CRAWFORD, JOSHUA	DAIN TRAILER	0.00	0.68	100.0	0.00	0.68	0.00	0.68
06/11/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.97	100.0	0.00	2.97	0.00	2.97
06/11/2018	# SMITH, MARGARET	DAIN TRAILER	0.00	3.79	100.0	0.00	3.79	0.00	3.79

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/11/2018 #	LAKEY, KEVIN	DAIN TRAILER	0.00	0.35	100.0	0.00	0.35	0.00	0.35
06/11/2018 #	LAKEY, KEVIN	DAIN TRAILER	0.00	0.72	100.0	0.00	0.72	0.00	0.72
06/11/2018 #	LAKEY, KEVIN	DAIN TRAILER	0.00	0.94	100.0	0.00	0.94	0.00	0.94
06/11/2018 #	MEDALEN, TERRY	DAIN TRAILER	0.00	2.65	100.0	0.00	2.65	0.00	2.65
06/11/2018 #	MARTINO, JOSEPH	DAIN TRAILER	0.00	2.53	100.0	0.00	2.53	0.00	2.53
06/11/2018 #	MARTINO, JOSEPH	DAIN TRAILER	0.00	26.90	100.0	0.00	26.90	0.00	26.90
06/11/2018 #	MARTINO, JOSEPH	DAIN TRAILER	0.00	81.13	100.0	0.00	81.13	0.00	81.13
06/11/2018 #	MARTINO, JOSEPH	DAIN TRAILER	0.00	54.78	100.0	0.00	54.78	0.00	54.78
06/11/2018 #	BECKLES, LIONEL	DAIN TRAILER	0.00	0.52	100.0	0.00	0.52	0.00	0.52
06/11/2018 #	BECKLES, LIONEL	DAIN TRAILER	0.00	2.70	100.0	0.00	2.70	0.00	2.70
06/11/2018 #	BECKLES, LIONEL	DAIN TRAILER	0.00	0.27	100.0	0.00	0.27	0.00	0.27
06/11/2018 #		DAIN TRAILER	0.00	2.38	100.0	0.00	2.38	0.00	2.38
06/11/2018 #	CRAWFORD, JOSHUA	DAIN TRAILER	0.00	1.14	100.0	0.00	1.14	0.00	1.14
06/11/2018 #	BARRERA, ADRIANA	DAIN TRAILER	0.00	7.29	100.0	0.00	7.29	0.00	7.29
06/12/2018 #	VR01011472 TRUDELL, CAROLYN	PL.1	0.00	22.00	100.0	0.00	22.00	0.00	22.00
06/14/2018 #	BOWLEY, KAREN	DAIN TRAILER	0.00	0.62	100.0	0.00	0.62	0.00	0.62
06/14/2018 #	00002132507 VARIOUS BARRONE	JOHN HANCOCK FDS II LIFESTYLE CONSE	0.00	3.54	100.0	0.00	3.54	0.00	3.54
06/14/2018 #	00026023772 VARIOUS HOUSE	US GLOBAL LEADERS GROWTH A	0.00	3.89	100.0	0.00	3.89	0.00	3.89
06/19/2018 #	WILLARD SYLVAN GROVE	DAIN TRAILER	0.00	23.78	100.0	0.00	23.78	0.00	23.78
06/21/2018 #	VM15008207	69448B191	0.00	96.94	100.0	0.00	96.94	0.00	96.94
06/25/2018 #	ARMSTRONG, MERILEE	DAIN TRAILER	106.69	0.00	100.0	0.00	0.00	0.00	0.00
06/25/2018 #	VR02013420 ADAIR, DAVID	PL-3	0.00	8.26	100.0	0.00	8.26	0.00	8.26
06/26/2018 #	SHARMA, MADHU	DAIN TRAILER	0.00	1.34	100.0	0.00	1.34	0.00	1.34
06/27/2018 #	VR01011472 TRUDELL, CAROLYN	PL.1	0.00	22.00	100.0	0.00	22.00	0.00	22.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$206.69	\$3,899.51		\$0.00	\$3,899.51	\$0.00	\$3,899.51
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
05/21/2018 #	VM15005627 SANCHEZ	694448B191 PAC IFIC LIFE	0.00	2.69	100.0	0.00	2.69	0.00	2.69
06/07/2018 #	VM15005623	694448B191 PAC IFIC LIFE	0.00	20.34	100.0	0.00	20.34	0.00	20.34
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$23.03		\$0.00	\$23.03	\$0.00	\$23.03
*** BROKER TRANSACTIONS TOTAL ***			\$3,030,977.81	\$9,646.90		\$0.00	\$9,646.90	\$139.65	\$9,507.25

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
		AITKEN, TODD - TERMINATED		10.51					10.51
		GLEDHILL, MIKE - TERMINATED		61.07					61.07
		HEADRICK, JEFF - TERMINATED		264.08					264.08
		MILLER, DWIGHT - TERMINATED		8.78					8.78
		SANCHEZ, ISAAC - TERMINATED		16.17					16.17
		SUTTON, VIC - TERMINATED		19.09					19.09
		TRESSLER, ANDREW - TERMINATED		10.58					10.58
		BERENZ, BRIAN - TERMINATED		5.29					5.29
		MCTIER, MAHARI - TERMINATED		7.05					7.05
		KOESTER, CHASE - TERMINATED		100.16					100.16
		STROUD, JOSHUA - TERMINATED		1.00					1.00
		GERSON - HOUSE, GLENN		1.40					1.40
		*** OVERRIDE TRANSACTIONS TOTAL ***		<u>\$505.18</u>					<u>\$505.18</u>

ADJUSTMENTS

07/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-2.00
07/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-2.00
07/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-2.00
07/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-4.00
07/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-2.00
07/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-2.00
07/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-2.00
07/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-49.70
07/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-12.67

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	------	---------	-------------------	--------------------	----------------------

ADJUSTMENTS

07/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-72.69
		DEBIT BALANCE							
07/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-0.07
		DEBIT BALANCE							
07/20/2018		MMWDA:OTHER: REV WRITE-OFF DEBIT							50.00
		BALANCE							
*** ADJUSTMENTS TOTAL ***									\$-101.13

BROKER COMMISSION TOTALS

Broker Transactions	\$9,507.25
Split Transactions	\$0.00
Override Transactions	\$505.18
Adjustments	\$-101.13
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$9,911.30
--------------	------------