



All Companies
COMMISSION STATEMENT
August 01, 2018

07/30/2018
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RAY WALKER
P. O. Box 88

BROKER #: 2954
BRANCH: HOME OFFICE

Cranfills Gap, TX 76637-

COMMISSION STATEMENT for RAY WALKER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/30/2018	TRAILS	COLLEGEBOUND 529	B	225.04	225.04	0.00	225.04	85.0	191.28
		* MUTUAL FUNDS SUBTOTAL *		\$225.04	\$225.04	\$0.00	\$225.04		\$191.28
<u>PRODUCT CATEGORY: STOCKS</u>									
07/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	377.51	377.51	0.00	377.51	85.0	320.88
		* STOCKS SUBTOTAL *		\$377.51	\$377.51	\$0.00	\$377.51		\$320.88
<u>PRODUCT CATEGORY: RIA</u>									
07/13/2018	13760969 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	34.33	0.00	34.33	85.0	29.18
07/13/2018	25597640 MACLEAN, JOHN R & CAROL	SIGNAL SECURITIES RIA	B	0.00	509.33	0.00	509.33	85.0	432.93
07/13/2018	26246524 JOSE, JOHN STUART	SIGNAL SECURITIES RIA	B	0.00	50.00	0.00	50.00	85.0	42.50
07/13/2018	26706109 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	153.33	0.00	153.33	85.0	130.33
07/13/2018	34585794 MACLEAN III, JOHN R.	SIGNAL SECURITIES RIA	B	0.00	78.33	0.00	78.33	85.0	66.58
07/13/2018	35483887 LEE, KATIE LYNN	SIGNAL SECURITIES RIA	B	0.00	1,266.67	0.00	1,266.67	85.0	1,076.67
07/13/2018	35741070 KELTNER, LARISA	SIGNAL SECURITIES RIA	B	0.00	2.67	0.00	2.67	85.0	2.27
07/13/2018	37021536 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	4,193.00	0.00	4,193.00	85.0	3,564.05
07/13/2018	40184316 MACLEAN III, JOHN R.	SIGNAL SECURITIES RIA	B	0.00	499.00	0.00	499.00	85.0	424.15
07/13/2018	44016824 MARTIN, AIMEE WILSON	SIGNAL SECURITIES RIA	B	0.00	186.67	0.00	186.67	85.0	158.67
07/13/2018	44459525 MILLIANS, DAN R & SHARON	SIGNAL SECURITIES RIA	B	0.00	352.33	0.00	352.33	85.0	299.48
07/13/2018	45082495 MACLEAN, JOHN R & CAROL	SIGNAL SECURITIES RIA	B	0.00	140.67	0.00	140.67	85.0	119.57
07/13/2018	45866447 MACLEAN, JOHN R & CAROL	SIGNAL SECURITIES RIA	B	0.00	225.33	0.00	225.33	85.0	191.53
07/13/2018	50342706 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	1,330.00	0.00	1,330.00	85.0	1,130.50
07/13/2018	51306592 MILLIANS, SHARON S.	SIGNAL SECURITIES RIA	B	0.00	641.00	0.00	641.00	85.0	544.85
07/13/2018	58909071 RICE, CHRISTEL E	SIGNAL SECURITIES RIA	B	0.00	72.00	0.00	72.00	85.0	61.20

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BROKER TRANSACTIONS											
PRODUCT CATEGORY: RIA											
07/13/2018	63401646	KELTNER, LARISA		SIGNAL SECURITIES RIA	B	0.00	165.67	0.00	165.67	85.0	140.82
07/13/2018	66032684	MILES O'LOUGHLIN, MARITAL TRUST		SIGNAL SECURITIES RIA	B	0.00	1,430.67	0.00	1,430.67	85.0	1,216.07
07/13/2018	70019589	CLANCY, LISE A		SIGNAL SECURITIES RIA	B	0.00	11.33	0.00	11.33	85.0	9.63
07/13/2018	72530120	KELTNER, LARISA		SIGNAL SECURITIES RIA	B	0.00	558.00	0.00	558.00	85.0	474.30
07/13/2018	75505044	KELTNER, DAVID E & LARIS		SIGNAL SECURITIES RIA	B	0.00	132.00	0.00	132.00	85.0	112.20
07/13/2018	78212467	ALVARADO, ANNA KINGSLEY		SIGNAL SECURITIES RIA	B	0.00	553.33	0.00	553.33	85.0	470.33
07/13/2018	79494777	MACLEAN, CAROL JEAN		SIGNAL SECURITIES RIA	B	0.00	80.33	0.00	80.33	85.0	68.28
07/13/2018	86198628	KELTNER, DAVID E & LARIS		SIGNAL SECURITIES RIA	B	0.00	25.00	0.00	25.00	85.0	21.25
07/13/2018	91894239	PRICE, MICHAEL D		SIGNAL SECURITIES RIA	B	0.00	76.33	0.00	76.33	85.0	64.88
07/13/2018	97572617	RALPH, JEAN M		SIGNAL SECURITIES RIA	B	0.00	212.00	0.00	212.00	85.0	180.20
07/13/2018	99078640	O'LOUGHLIN, LESLIE WILSON		SIGNAL SECURITIES RIA	B	0.00	867.00	0.00	867.00	85.0	736.95
				* RIA SUBTOTAL *		0.00	13,846.32	0.00	13,846.32		11,769.37
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
08/01/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES				0.00	45.56	0.00	45.56	85.0	38.72
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		0.00	45.56	0.00	45.56		38.72
				*** BROKER TRANSACTIONS TOTAL ***		602.55	14,494.43	0.00	14,494.43		12,320.25
ADJUSTMENTS											
08/01/2018		CABLE: 1/2 MONTHLY				0.00	0.00	0.00	0.00		-35.00
08/01/2018		HILLTOP: CLEARING CHARGES				0.00	0.00	0.00	0.00		-47.00
08/01/2018		MISC: LONG DISTANCE CHARGES				0.00	0.00	0.00	0.00		-25.00
08/01/2018		RENT: 1/2 MONTHLY				0.00	0.00	0.00	0.00		-225.00
				*** ADJUSTMENTS TOTAL ***		0.00	0.00	0.00	0.00		-332.00

BROKER COMMISSION TOTALS

Broker Transactions	\$12,320.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-332.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-290.59

Check Amount	\$11,697.66
Year-to-date Earnings	\$129,388.39