



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
 August 15, 2017

08/14/2017  
 Page No. 1

ACCOUNT HOUSE  
 1120 E. LONG LAKE ROAD  
 SUITE 207  
 TROY, MI 48085-

BROKER #: G999  
 BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: OIL &amp; GAS</u></b>								
06/29/2017	MEREDITH, VAROUS	US ENERGY GENESIS VI	0.00	2.03	0.00	2.03	100.0	2.03
		* OIL & GAS SUBTOTAL *	\$0.00	\$2.03	\$0.00	\$2.03		\$2.03
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
07/30/2017	HERNDON, TIMOTHY	BR TOTAL INC & REAL ESTATE FUND A	0.00	1.09	0.00	1.09	0.0	0.00
07/30/2017	TWEED, VARIOUS	BR TOTAL INC & REAL ESTATE FUND A	0.00	8.44	0.00	8.44	0.0	0.00
07/28/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	6,930.00	0.00	0.00	0.00	0.0	0.00
07/28/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	19,800.00	0.00	0.00	0.00	0.0	0.00
07/28/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	35,640.00	0.00	0.00	0.00	0.0	0.00
07/28/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-20,000.00	0.00	0.00	0.00	0.0	0.00
07/28/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-36,000.00	0.00	0.00	0.00	0.0	0.00
07/28/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-7,000.00	0.00	0.00	0.00	0.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$-630.00	\$9.53	\$0.00	\$9.53		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/01/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
08/07/2017	CONCORDE, VARIOUS	AM FUNDS AMCAP A	71.81	0.00	0.00	0.00	100.0	0.00
08/07/2017	CONCORDE, VARIOUS	AM FUNDS AMCAP A	-75.00	0.00	0.00	0.00	100.0	0.00
07/28/2017	SOIORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
08/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
08/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
08/02/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
08/02/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
07/27/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-25,000.00	0.00	0.00	0.00	100.0	0.00
07/27/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	24,062.50	0.00	0.00	0.00	100.0	0.00
08/01/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
08/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/28/2017	ESSARY, MICHELLE	AM FUNDS AMERICAN MUTUAL A	115.00	3.16	0.00	3.16	100.0	3.16
08/01/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
08/01/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
07/31/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.28	0.00	1.28	100.0	1.28
08/01/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
08/01/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
07/27/2017	CONCORDE, VARIOUS	AM FUNDS CAPITAL WORLD GRTH & INC A	28,875.00	0.00	0.00	0.00	100.0	0.00
07/27/2017	CONCORDE, VARIOUS	AM FUNDS CAPITAL WORLD GRTH & INC A	-30,000.00	0.00	0.00	0.00	100.0	0.00
07/28/2017	ESSARY, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	3.16	0.00	3.16	100.0	3.16
08/02/2017	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
07/28/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
08/01/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
08/02/2017	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
07/28/2017	ESSARY, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	115.00	3.16	0.00	3.16	100.0	3.16
07/31/2017	JOHNSTON, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	100.0	4.25
07/31/2017	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.28	0.00	1.28	100.0	1.28
08/01/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
08/01/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
08/07/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-75.00	0.00	0.00	0.00	100.0	0.00
08/07/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	71.81	0.00	0.00	0.00	100.0	0.00
07/31/2017	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.28	0.00	1.28	100.0	1.28
07/28/2017	ESSARY, MICHELLE	AM FUNDS NEW PERSPECTIVE A	115.00	3.16	0.00	3.16	100.0	3.16
07/31/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.28	0.00	1.28	100.0	1.28
07/28/2017	WHITE, KRISTIN	AM FUNDS SMALLCAP WORLD C	200.00	2.00	0.00	2.00	100.0	2.00
07/31/2017	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.28	0.00	1.28	100.0	1.28
07/31/2017	SIDLOROBEG	DELAWARE VALUE A	0.00	32.35	0.00	32.35	100.0	32.35
07/31/2017	KLEMP, DANIAL	DELAWARE VALUE A	0.00	7.44	0.00	7.44	100.0	7.44
08/07/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	66,509.25	0.00	0.00	0.00	100.0	0.00
08/07/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-70,009.74	0.00	0.00	0.00	100.0	0.00
07/27/2017	CONCORDE, VARIOUS	IVY GLOBAL RISK-MANAGED REAL ESTATE	23,750.00	0.00	0.00	0.00	100.0	0.00
07/27/2017	CONCORDE, VARIOUS	IVY GLOBAL RISK-MANAGED REAL ESTATE	-25,000.00	0.00	0.00	0.00	100.0	0.00
08/07/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	225.72	0.00	0.00	0.00	100.0	0.00
08/07/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-228.00	0.00	0.00	0.00	100.0	0.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/07/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	224.73	0.00	0.00	0.00	100.0	0.00
08/07/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-227.00	0.00	0.00	0.00	100.0	0.00
08/07/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-300.00	0.00	0.00	0.00	100.0	0.00
08/07/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	297.00	0.00	0.00	0.00	100.0	0.00
08/10/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-200.00	0.00	0.00	0.00	100.0	0.00
08/10/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	198.00	0.00	0.00	0.00	100.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$-3,718.92</b>	<b>\$152.48</b>	<b>\$0.00</b>	<b>\$152.48</b>		<b>\$152.48</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
08/09/2017	CONCORDE, VARIOUS	APOLLO GLOBAL MGMT LLC CL A SHS	30,196.92	0.00	0.00	0.00	100.0	0.00
08/09/2017	CONCORDE, VARIOUS	APOLLO GLOBAL MGMT LLC CL A SHS	-3,633.16	0.00	1.00	-1.00	100.0	-1.00
08/09/2017	CONCORDE, VARIOUS	APOLLO GLOBAL MGMT LLC CL A SHS	-7,445.00	0.00	1.00	-1.00	100.0	-1.00
08/09/2017	CONCORDE, VARIOUS	APOLLO GLOBAL MGMT LLC CL A SHS	-1,935.70	0.00	1.00	-1.00	100.0	-1.00
08/09/2017	CONCORDE, VARIOUS	APOLLO GLOBAL MGMT LLC CL A SHS	-238.24	0.00	1.00	-1.00	100.0	-1.00
08/09/2017	CONCORDE, VARIOUS	APOLLO GLOBAL MGMT LLC CL A SHS	-2,233.50	0.00	1.00	-1.00	100.0	-1.00
08/09/2017	CONCORDE, VARIOUS	APOLLO GLOBAL MGMT LLC CL A SHS	-59.56	0.00	1.00	-1.00	100.0	-1.00
08/09/2017	CONCORDE, VARIOUS	APOLLO GLOBAL MGMT LLC CL A SHS	-11,673.76	0.00	1.00	-1.00	100.0	-1.00
08/09/2017	CONCORDE, VARIOUS	APOLLO GLOBAL MGMT LLC CL A SHS	-2,978.00	0.00	1.00	-1.00	100.0	-1.00
08/08/2017	CONCORDE, VARIOUS	BLACKROCK LTD DURATION INCOME TR CO	-11,278.40	0.00	1.00	-1.00	100.0	-1.00
08/08/2017	CONCORDE, VARIOUS	BLACKROCK LTD DURATION INCOME TR CO	-8,056.00	0.00	1.00	-1.00	100.0	-1.00
08/08/2017	CONCORDE, VARIOUS	BLACKROCK LTD DURATION INCOME TR CO	19,334.40	0.00	0.00	0.00	100.0	0.00
07/27/2017	CONCORDE, VARIOUS	CLAYMORE EXCHANGE-TRADED FD TR GUGG	-27,965.23	0.00	0.00	0.00	100.0	0.00
07/27/2017	CONCORDE, VARIOUS	CLAYMORE EXCHANGE-TRADED FD TR GUGG	2,538.00	0.00	1.00	-1.00	100.0	-1.00
07/27/2017	CONCORDE, VARIOUS	CLAYMORE EXCHANGE-TRADED FD TR GUGG	25,427.25	0.00	1.00	-1.00	100.0	-1.00
07/28/2017	CONCORDE, VARIOUS	GAMCO GLOBAL GOLD NAT RES & INCOME	150.93	0.00	1.00	-1.00	100.0	-1.00
07/28/2017	CONCORDE, VARIOUS	GAMCO GLOBAL GOLD NAT RES & INCOME	2,034.76	0.00	1.00	-1.00	100.0	-1.00
07/28/2017	CONCORDE, VARIOUS	GAMCO GLOBAL GOLD NAT RES & INCOME	609.31	0.00	1.00	-1.00	100.0	-1.00
07/28/2017	CONCORDE, VARIOUS	GAMCO GLOBAL GOLD NAT RES & INCOME	-2,795.00	0.00	0.00	0.00	100.0	0.00
08/08/2017	CONCORDE, VARIOUS	HANESBRANDS INC COM	-7,365.66	0.00	1.00	-1.00	100.0	-1.00
08/08/2017	CONCORDE, VARIOUS	HANESBRANDS INC COM	-12,275.00	0.00	1.00	-1.00	100.0	-1.00
08/08/2017	CONCORDE, VARIOUS	HANESBRANDS INC COM	-7,365.66	0.00	1.00	-1.00	100.0	-1.00
08/08/2017	CONCORDE, VARIOUS	HANESBRANDS INC COM	-4,910.00	0.00	1.00	-1.00	100.0	-1.00
08/08/2017	CONCORDE, VARIOUS	HANESBRANDS INC COM	-2,455.01	0.00	1.00	-1.00	100.0	-1.00
08/08/2017	CONCORDE, VARIOUS	HANESBRANDS INC COM	-2,455.01	0.00	1.00	-1.00	100.0	-1.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
08/08/2017	CONCORDE, VARIOUS	HANESBRANDS INC COM	36,826.35	0.00	0.00	0.00	100.0	0.00
07/18/2017	CONCORDE IS	ISHARES S&P 500 VALUE ETF	4,220.00	0.00	0.00	0.00	100.0	0.00
07/18/2017	CONCORDE IS	ISHARES S&P 500 VALUE ETF	4,220.00	0.00	0.00	0.00	100.0	0.00
07/18/2017	CONCORDE IS	ISHARES TIPS BOND ETF	2,499.64	0.00	0.00	0.00	100.0	0.00
07/18/2017	CONCORDE IS	ISHARES TIPS BOND ETF	2,499.64	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	ISHARES TR CORE TOTAL U S BD MKT ET	2,287.95	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	ISHARES TR CORE TOTAL U S BD MKT ET	2,287.95	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	ISHARES TR RUSSELL 2000 INDEX FD	1,115.68	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	ISHARES TR RUSSELL 2000 INDEX FD	1,115.68	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	ISHARES TR S&P 500 INDEX FD	4,123.69	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	ISHARES TR S&P 500 INDEX FD	4,123.69	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	POWERSHARES DB COMMODITY INDEX TRAC	1,887.26	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	POWERSHARES DB COMMODITY INDEX TRAC	1,887.26	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	POWERSHARES EXCHANGE-TRADED FD TR I	901.58	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	POWERSHARES EXCHANGE-TRADED FD TR I	901.59	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	1,895.66	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	1,895.67	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	SPDR SER TR BARCLAYS HIGH YIELD BD	368.85	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	SPDR SER TR BARCLAYS HIGH YIELD BD	368.86	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	VANGUARD CHARLOTTE FDS TOTAL INTL B	378.61	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	VANGUARD CHARLOTTE FDS TOTAL INTL B	378.61	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	VANGUARD INDEX FDS VANGUARD REIT ET	327.20	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	VANGUARD INDEX FDS VANGUARD REIT ET	327.20	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	VANGUARD INTL EQUITY INDEX FD INC F	6,472.05	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE IS	VANGUARD INTL EQUITY INDEX FD INC F	6,472.06	0.00	0.00	0.00	100.0	0.00
07/27/2017	CONCORDE, VARIOUS	XENIA HOTELS & RESORTS INC COM	23,258.94	0.00	0.00	0.00	100.0	0.00
07/27/2017	CONCORDE, VARIOUS	XENIA HOTELS & RESORTS INC COM	-4,052.00	0.00	1.00	-1.00	100.0	-1.00
07/27/2017	CONCORDE, VARIOUS	XENIA HOTELS & RESORTS INC COM	-16,208.08	0.00	1.00	-1.00	100.0	-1.00
07/27/2017	CONCORDE, VARIOUS	XENIA HOTELS & RESORTS INC COM	-2,026.39	0.00	1.00	-1.00	100.0	-1.00
07/27/2017	CONCORDE, VARIOUS	XENIA HOTELS & RESORTS INC COM	-972.48	0.00	1.00	-1.00	100.0	-1.00
<b>* STOCKS SUBTOTAL *</b>			<b>\$52,956.40</b>	<b>\$0.00</b>	<b>\$25.00</b>	<b>\$-25.00</b>		<b>\$-25.00</b>

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>								
08/07/2017	CONCORDE, VARIOUS	CALL 100 AT&T INC COM EXP 01-19-18@	-231.00	0.00	1.00	-1.00	100.0	-1.00
08/07/2017	CONCORDE, VARIOUS	CALL 100 AT&T INC COM EXP 01-19-18@	-231.00	0.00	1.00	-1.00	100.0	-1.00
08/07/2017	CONCORDE, VARIOUS	CALL 100 AT&T INC COM EXP 01-19-18@	-154.00	0.00	1.00	-1.00	100.0	-1.00
08/07/2017	CONCORDE, VARIOUS	CALL 100 AT&T INC COM EXP 01-19-18@	616.00	0.00	0.00	0.00	100.0	0.00
* OPTIONS SUBTOTAL *			\$0.00	\$0.00	\$3.00	\$-3.00		\$-3.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/31/2017	PEEK, EUNICE	JOHN HANCOCK VANTAGE	15,098.60	3.15	0.00	3.15	100.0	3.15
07/31/2017	PEEK LI, VASSIE	JOHN HANCOCK VENTURE III	18,690.38	15.58	0.00	15.58	100.0	15.58
07/19/2017	CALA, MARGHERITA	PAC LIFE PACIFIC CHOICE	0.00	13.52	0.00	13.52	100.0	13.52
07/25/2017	THOMAS, JILLIAN	PRU PREMIER RETIREMENT B	150.00	10.50	0.00	10.50	100.0	10.50
07/28/2017	RIDDLEBARGE, DAWN	SBL ADVISOR PROGRAM	780.18	0.15	0.00	0.15	100.0	0.15
07/28/2017	BURNSIDE, SHARON	SBL ADVISOR PROGRAM	10,093.80	2.11	0.00	2.11	100.0	2.11
07/28/2017	LOOMIS, CATHERINE	SBL ADVISOR PROGRAM	5,010.42	1.05	0.00	1.05	100.0	1.05
* VARIABLE ANNUITIES SUBTOTAL *			\$49,823.38	\$46.06	\$0.00	\$46.06		\$46.06
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
08/07/2017	CONCORDE, VARIOUS	SMART TR CEFA SELECT BDC TR SER 10	19,359.69	0.00	0.00	0.00	100.0	0.00
08/07/2017	CONCORDE, VARIOUS	SMART TR CEFA SELECT BDC TR SER 10	-19,754.75	0.00	0.00	0.00	100.0	0.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$-395.06	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
07/21/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	5.47	0.00	5.47	100.0	5.47
07/28/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	1.46	0.00	1.46	100.0	1.46
07/28/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	3.05	0.00	3.05	100.0	3.05
08/02/2017	KENNARD, DEAN	PAC LIFE VUL	223.83	4.48	0.00	4.48	100.0	4.48
08/02/2017	PAI, ANITHA	PAC LIFE VUL	86.83	1.74	0.00	1.74	100.0	1.74
08/02/2017	PAI, ANITHA	PAC LIFE VUL	138.17	2.76	0.00	2.76	100.0	2.76
08/04/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	2.76	0.00	2.76	100.0	2.76
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$448.83	\$21.72	\$0.00	\$21.72		\$21.72
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/11/2017	KORNITSKY, MARK	FIDELITY TRAILS	0.00	2.79	0.00	2.79	100.0	2.79
07/18/2017	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.80	0.00	0.80	100.0	0.80
07/18/2017	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.40	0.00	0.40	100.0	0.40

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/18/2017	WHITING, DENISE	AM FUNDS NEW PERSPECTIVE A	0.00	1.88	0.00	1.88	100.0	1.88
07/13/2017	LOHR, KIMBERLY	FRANKLIN INCOME A	0.00	0.01	0.00	0.01	100.0	0.01
07/13/2017	MCCORKLE, ERIC	FT FOUNDING FDS ALLOC C	0.00	1.54	0.00	1.54	100.0	1.54
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$7.42</b>	<b>\$0.00</b>	<b>\$7.42</b>		<b>\$7.42</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
07/31/2017	LUND, VARIOUS	ING RELIASTAR	0.00	538.75	0.00	538.75	100.0	538.75
06/29/2017	MONROE, JOHN	METLIFE TRAILS	0.00	13.53	0.00	13.53	100.0	13.53
07/26/2017	MONROE, JOHN	METLIFE TRAILS	0.00	2.25	0.00	2.25	100.0	2.25
07/19/2017	RICHARDSON, CALVIN	ALLSTATE TRAILS	0.00	2.28	0.00	2.28	100.0	2.28
07/30/2017	JONES, GREGORY	LANDMARK 2002	0.00	164.08	0.00	164.08	100.0	164.08
07/30/2017	BROPHY, CHARLES	LANDMARK 2002	0.00	671.95	0.00	671.95	100.0	671.95
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,392.84</b>	<b>\$0.00</b>	<b>\$1,392.84</b>		<b>\$1,392.84</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$98,484.63</b>	<b>\$1,632.08</b>	<b>\$28.00</b>	<b>\$1,604.08</b>		<b>\$1,594.55</b>

**OVERRIDE TRANSACTIONS****BROKER: ACCOUNT HOUSE #1**

08/01/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
07/28/2017	SOIORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
08/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
08/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
08/02/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
08/02/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
08/01/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
08/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
07/28/2017	ESSARY, MICHELLE	AM FUNDS AMERICAN MUTUAL A	115.00	3.16	0.00	3.16	100.0	3.16
08/01/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
08/01/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
08/01/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
08/01/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
07/28/2017	ESSARY, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	3.16	0.00	3.16	100.0	3.16
07/28/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
08/01/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ACCOUNT HOUSE #1</u></b>								
07/28/2017	ESSARY, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	115.00	3.16	0.00	3.16	100.0	3.16
08/01/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
08/01/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
07/28/2017	ESSARY, MICHELLE	AM FUNDS NEW PERSPECTIVE A	115.00	3.16	0.00	3.16	100.0	3.16
* ACCOUNT HOUSE SUBTOTAL *			\$2,560.00	\$97.28	\$0.00	\$97.28		\$97.28
<b><u>BROKER: MATT BRANSTETTER #10</u></b>								
08/01/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	80.0	5.00
08/03/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	250.00	12.50	0.00	12.50	80.0	12.50
* MATT BRANSTETTER SUBTOTAL *			\$350.00	\$17.50	\$0.00	\$17.50		\$17.50
<b><u>BROKER: ROBERT CHESTER #21</u></b>								
06/29/2017	MYERS, DAVID	METLIFE TRAILS	0.00	38.85	0.00	38.85	0.0	38.85
06/29/2017	FRAZIER, BETTY	METLIFE TRAILS	0.00	23.44	0.00	23.44	0.0	23.44
06/29/2017	BARBARITA, JOSEPH	METLIFE TRAILS	0.00	17.33	0.00	17.33	0.0	17.33
06/29/2017	BARBARITA, KATHLEEN	METLIFE TRAILS	0.00	10.83	0.00	10.83	0.0	10.83
06/29/2017	MONROE, CAROL	METLIFE TRAILS	0.00	18.16	0.00	18.16	0.0	18.16
06/29/2017	KELLEY, TERESE	METLIFE TRAILS	0.00	155.82	0.00	155.82	0.0	155.82
06/29/2017	KELLEY, DENNIS	METLIFE TRAILS	0.00	89.20	0.00	89.20	0.0	89.20
06/29/2017	EVERSON, ROBERT	METLIFE TRAILS	0.00	1.91	0.00	1.91	0.0	1.91
06/29/2017	BECHTEL, MICHAEL	METLIFE TRAILS	0.00	40.71	0.00	40.71	0.0	40.71
* ROBERT CHESTER SUBTOTAL *			\$0.00	\$396.25	\$0.00	\$396.25		\$396.25
<b><u>BROKER: ERIC HORNYAK #29</u></b>								
07/12/2017	JOHNS, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	25.85	0.00	25.85	0.0	25.85
07/12/2017	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.57	0.00	0.57	0.0	0.57
07/12/2017	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.51	0.00	0.51	0.0	0.51
07/12/2017	CAUL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.40	0.00	0.40	0.0	0.40
07/12/2017	PALFFY, CARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.32	0.00	4.32	0.0	4.32
07/12/2017	CAUL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.65	0.00	0.65	0.0	0.65
07/12/2017	JOHNS, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.15	0.00	13.15	0.0	13.15
07/12/2017	JOHNS, JOHN	BLK GLOBAL ALLOCATION A	0.00	1.29	0.00	1.29	0.0	1.29
/ /	CROSSFIRE GROUP Q3AM	BLK HEALTH SCIENCES A	0.00	0.28	0.00	0.28	0.0	0.28
06/30/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	1.00	0.00	1.00	0.0	1.00
06/30/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	0.77	0.00	0.77	0.0	0.77

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ERIC HORNYAK #29</u></b>								
07/12/2017	HOWARD, JAMES	FT TEMPLETON GLOBAL OPP TRUST C	0.00	24.70	0.00	24.70	0.0	24.70
07/12/2017	JOHNS, JOHN	INVESCO HIGH YIELD MUNICIPAL A	0.00	6.22	0.00	6.22	0.0	6.22
/ /	CAUL, JEFFREY	JH 529 PORT 2021-2024 A	0.00	0.56	0.00	0.56	0.0	0.56
07/06/2017	BLINKE, RONALD	MAINSTAY HIGH YIELD CORP BOND A	0.00	68.98	0.00	68.98	0.0	68.98
07/12/2017	JOHNS, JOHN	PIMCO TOTAL RETURN C	0.00	23.57	0.00	23.57	0.0	23.57
07/31/2017	CROSSFIRE GRP 401K	TEMPLETON GLOBAL BOND A	0.00	1.85	0.00	1.85	0.0	1.85
07/12/2017	HOWARD, JAMES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	27.06	0.00	27.06	0.0	27.06
07/12/2017	SIELSKI, OLA	TRANSAMERICA SHORT-TERM BOND C	0.00	8.89	0.00	8.89	0.0	8.89
07/12/2017	HOWARD, JAMES	TRANSAMERICA SHORT-TERM BOND C	0.00	21.18	0.00	21.18	0.0	21.18
* ERIC HORNYAK SUBTOTAL *			\$0.00	\$231.80	\$0.00	\$231.80		\$231.80
<b><u>BROKER: THOMAS BOAKS #33</u></b>								
06/30/2017	WITT-AMANS	BLK GLOBAL ALLOCATION A	0.00	0.32	0.00	0.32	0.0	0.32
06/30/2017	PITTE, JOELA	BLK GLOBAL ALLOCATION A	0.00	2.09	0.00	2.09	0.0	2.09
06/30/2017	SKIBA, TODD	BLK GLOBAL ALLOCATION A	0.00	6.16	0.00	6.16	0.0	6.16
07/31/2017	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
07/31/2017	FRANKOVICH TRUST, BRIAN &	FRANKLIN MI TAX FR INCOME A	0.00	8.83	0.00	8.83	0.0	8.83
07/31/2017	FROST, VERA	FRANKLIN US GOVT SEC FUND A	0.00	1.21	0.00	1.21	0.0	1.21
* THOMAS BOAKS SUBTOTAL *			\$30.00	\$18.91	\$0.00	\$18.91		\$18.91
<b><u>BROKER: BRUCE BAXTER #38</u></b>								
07/31/2017	LAMBERT, DONNA	ING PREMIUM PLUS	0.00	57.45	0.00	57.45	0.0	57.45
07/31/2017	LAMBERT, JOHN	ING PREMIUM PLUS	0.00	57.45	0.00	57.45	0.0	57.45
08/01/2017	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	5.00
07/28/2017	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	15,741.16	5.90	0.00	5.90	0.0	5.90
* BRUCE BAXTER SUBTOTAL *			\$15,841.16	\$125.80	\$0.00	\$125.80		\$125.80
<b><u>BROKER: MELANIE DICENSO #43</u></b>								
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.07	0.00	1.07	0.0	1.07
07/26/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	50.00	2.00	0.00	2.00	0.0	2.00
07/28/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	16,621.58	3.46	0.00	3.46	0.0	3.46
* MELANIE DICENSO SUBTOTAL *			\$16,671.58	\$6.53	\$0.00	\$6.53		\$6.53

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ROBERT (RUSTY) TWEED #58 </u></b>								
07/31/2017	ZAX TRUST	JNL PERSPECTIVE	405.30	0.08	0.00	0.08	0.0	0.08
07/31/2017	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	8,602.84	3.58	0.00	3.58	0.0	3.58
07/31/2017	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	60,739.59	65.80	0.00	65.80	0.0	65.80
07/31/2017	WHITE, LINDA	JNL PERSPECTIVE L	75,969.74	63.31	0.00	63.31	0.0	63.31
07/31/2017	ENGSTROM, WAYNE	JNL PERSPECTIVE L	34,251.82	28.54	0.00	28.54	0.0	28.54
07/31/2017	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	15,949.28	13.29	0.00	13.29	0.0	13.29
<b> * ROBERT (RUSTY) TWEED SUBTOTAL * </b>			<b>\$195,918.57</b>	<b>\$174.60</b>	<b>\$0.00</b>	<b>\$174.60</b>		<b>\$174.60</b>
<b><u> BROKER: JIM LUND #60 </u></b>								
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	2.96	0.00	2.96	0.0	2.96
/ /	SOLORIO, ROSE	FA 529 PORT 2016 C	0.00	1.61	0.00	1.61	0.0	1.61
08/01/2017	SOLORIO, ROSE	FA 529 PORT 2016 C	125.00	6.25	0.00	6.25	0.0	6.25
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.37	0.00	1.37	0.0	1.37
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.37	0.00	1.37	0.0	1.37
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.44	0.00	3.44	0.0	3.44
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	13.12	0.00	13.12	0.0	13.12
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.58	0.00	0.58	0.0	0.58
08/01/2017	SANTANA, NICOLE	FA 529 PORT 2031 A	50.00	2.50	0.00	2.50	0.0	2.50
07/31/2017	LUND TRUST, ANDREA	FRANKLIN CA TF INCOME A	0.00	32.28	0.00	32.28	0.0	32.28
07/31/2017	RUBLY, DELPHINE	FRANKLIN CA TF INCOME A	0.00	42.04	0.00	42.04	0.0	42.04
07/31/2017	RUBLY, WILLIAM	FRANKLIN CA TF INCOME A	0.00	62.43	0.00	62.43	0.0	62.43
07/31/2017	LUND, ANDREA	FRANKLIN CA TF INCOME A	0.00	67.05	0.00	67.05	0.0	67.05
07/31/2017	LUND, JIM	FRANKLIN INCOME A	0.00	4.00	0.00	4.00	0.0	4.00
07/31/2017	YUGE, SEAN	FRANKLIN INCOME A	0.00	0.84	0.00	0.84	0.0	0.84
07/31/2017	LUND TRUST, ANDREA	FRANKLIN INCOME A	0.00	31.63	0.00	31.63	0.0	31.63
07/31/2017	LUND, ANDREA	FRANKLIN INCOME A	0.00	195.61	0.00	195.61	0.0	195.61
07/31/2017	WURMLINGER, MARTIN	FRANKLIN INCOME A	0.00	2.27	0.00	2.27	0.0	2.27
07/31/2017	CASTANEDA, CLAUDIA	FRANKLIN INCOME A	0.00	0.27	0.00	0.27	0.0	0.27
07/31/2017	GUTHRIE, JACOB	FRANKLIN INCOME A	0.00	0.41	0.00	0.41	0.0	0.41
07/31/2017	MORIOKA-SHIMAZU, TAMMY	FRANKLIN INCOME A	0.00	50.59	0.00	50.59	0.0	50.59
07/31/2017	RUBLY, WILLIAM	FRANKLIN INCOME A	0.00	77.34	0.00	77.34	0.0	77.34
07/31/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	0.00	1.07	0.00	1.07	0.0	1.07
07/31/2017	GUTHRIE, JACOB	FRANKLIN INCOME C	0.00	22.71	0.00	22.71	0.0	22.71
07/31/2017	HO, PAULINA	FRANKLIN INCOME C	0.00	68.48	0.00	68.48	0.0	68.48

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JIM LUND #60 </u></b>								
07/31/2017	PRESTON, JANEAN	FRANKLIN INCOME C	0.00	7.57	0.00	7.57	0.0	7.57
07/31/2017	STARK, TONI	FRANKLIN INCOME C	0.00	26.67	0.00	26.67	0.0	26.67
07/31/2017	CASTANEDA, CLAUDIA	FRANKLIN LOW DURATION TOTAL RETURN-	0.00	3.76	0.00	3.76	0.0	3.76
07/31/2017	YUGE, SEAN	FRANKLIN MUTUAL SHARES A	0.00	1.45	0.00	1.45	0.0	1.45
07/31/2017	GUTHRIE, JACOB	FRANKLIN SMALL CAP VALUE A	0.00	2.32	0.00	2.32	0.0	2.32
07/31/2017	BODNAR, AMY	FRANKLIN TARGET FUND 2035 C	0.00	4.68	0.00	4.68	0.0	4.68
07/31/2017	YOUNG-DIAZ, NAIDA	FT FOUNDING FDS ALLOC C	0.00	22.11	0.00	22.11	0.0	22.11
07/31/2017	TURNQUIST, SARA	FT FOUNDING FDS ALLOC C	0.00	26.14	0.00	26.14	0.0	26.14
07/31/2017	TAM, YIM	FT FOUNDING FDS ALLOC C	0.00	81.01	0.00	81.01	0.0	81.01
07/31/2017	BARNES, WENDY	FT FOUNDING FDS ALLOC C	0.00	0.97	0.00	0.97	0.0	0.97
07/31/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	0.00	99.90	0.00	99.90	0.0	99.90
07/31/2017	DIPASQUALE-YU, CHRISTOPHER	FT FOUNDING FDS ALLOC C	0.00	65.04	0.00	65.04	0.0	65.04
08/01/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
07/31/2017	YU-DIPASQUALE, MEI-KIN MAGGIE	FT FOUNDING FUNDS ALLOC A	0.00	20.01	0.00	20.01	0.0	20.01
07/31/2017	ANDREWS, BRANDON	FT FOUNDING FUNDS ALLOC A	0.00	5.41	0.00	5.41	0.0	5.41
07/31/2017	VILLANUEVA, HELEN	FT FOUNDING FUNDS ALLOC A	0.00	4.01	0.00	4.01	0.0	4.01
07/31/2017	WARANIYAGODA GAMAGE, SURANGI	FT MODERATE ALLOCATION FUND C	0.00	19.62	0.00	19.62	0.0	19.62
07/31/2017	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	0.00	29.85	0.00	29.85	0.0	29.85
07/31/2017	YUGE, SEAN	TEMPLETON GROWTH A	0.00	1.24	0.00	1.24	0.0	1.24
<b> * JIM LUND SUBTOTAL * </b>			<b>\$375.00</b>	<b>\$1,115.98</b>	<b>\$0.00</b>	<b>\$1,115.98</b>		<b>\$1,115.98</b>
<b><u> BROKER: JAMES PANOS #61 </u></b>								
/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	28.36	0.00	28.36	0.0	28.36
/ /	FACADA, ANNA	FA 529 COLLEGE PORT C	0.00	24.19	0.00	24.19	0.0	24.19
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	1.10	0.00	1.10	0.0	1.10
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	3.93	0.00	3.93	0.0	3.93
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	7.91	0.00	7.91	0.0	7.91
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	10.98	0.00	10.98	0.0	10.98
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	1.71	0.00	1.71	0.0	1.71
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	6.03	0.00	6.03	0.0	6.03
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	12.12	0.00	12.12	0.0	12.12
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	1.77	0.00	1.77	0.0	1.77
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	6.32	0.00	6.32	0.0	6.32
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT C	0.00	12.83	0.00	12.83	0.0	12.83

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JAMES PANOS #61</u></b>								
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	0.00	28.01	0.00	28.01	0.0	28.01
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	1.80	0.00	1.80	0.0	1.80
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	6.42	0.00	6.42	0.0	6.42
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT C	0.00	12.18	0.00	12.18	0.0	12.18
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT C	0.00	4.24	0.00	4.24	0.0	4.24
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 C	0.00	61.28	0.00	61.28	0.0	61.28
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	0.00	5.62	0.00	5.62	0.0	5.62
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 C	0.00	2.91	0.00	2.91	0.0	2.91
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	10.27	0.00	10.27	0.0	10.27
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	62.78	0.00	62.78	0.0	62.78
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	43.05	0.00	43.05	0.0	43.05
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	25.70	0.00	25.70	0.0	25.70
/ /	POWERS, GARY	FA 529 PORT 2022 C	0.00	10.73	0.00	10.73	0.0	10.73
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	16.24	0.00	16.24	0.0	16.24
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	24.52	0.00	24.52	0.0	24.52
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	7.12	0.00	7.12	0.0	7.12
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	11.56	0.00	11.56	0.0	11.56
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	11.70	0.00	11.70	0.0	11.70
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 C	0.00	28.10	0.00	28.10	0.0	28.10
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	8.15	0.00	8.15	0.0	8.15
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	10.80	0.00	10.80	0.0	10.80
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	10.87	0.00	10.87	0.0	10.87
/ /	AHERN, KEVIN	FA 529 PORT 2022 C	0.00	32.56	0.00	32.56	0.0	32.56
/ /	DORAN, SHAWN	FA 529 PORT 2022 C	0.00	3.34	0.00	3.34	0.0	3.34
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	21.19	0.00	21.19	0.0	21.19
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 C	0.00	3.05	0.00	3.05	0.0	3.05
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	39.60	0.00	39.60	0.0	39.60
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	19.00	0.00	19.00	0.0	19.00
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	14.78	0.00	14.78	0.0	14.78
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	34.05	0.00	34.05	0.0	34.05
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	0.00	22.74	0.00	22.74	0.0	22.74
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	19.12	0.00	19.12	0.0	19.12
08/01/2017	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JAMES PANOS #61</u></b>								
08/01/2017	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	200.00	2.00	0.00	2.00	0.0	2.00
08/01/2017	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	14.72	0.00	14.72	0.0	14.72
/ /	MACUCH, PAUL	FA 529 PORT 2028 C	0.00	5.26	0.00	5.26	0.0	5.26
08/01/2017	MACK, NEIL	FA 529 PORT 2028 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	FARMER, NEAL	FIDELITY ADVISORS 529 MONEY MARKET	0.00	4.04	0.00	4.04	0.0	4.04
07/31/2017	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	85,613.77	71.34	0.00	71.34	0.0	71.34
07/31/2017	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	214,999.89	89.58	0.00	89.58	0.0	89.58
* JAMES PANOS SUBTOTAL *			\$301,563.66	\$925.17	\$0.00	\$925.17		\$925.17
<b><u>BROKER: RENE CASTRO #92</u></b>								
07/31/2017	BUSTOS, ARMANDO	FRANKLIN INCOME A	0.00	33.10	0.00	33.10	0.0	33.10
* RENE CASTRO SUBTOTAL *			\$0.00	\$33.10	\$0.00	\$33.10		\$33.10
<b><u>BROKER: JAMES AULENTI #101</u></b>								
07/31/2017	CARLSON IRR TRUST, CARL	FRANKLIN MA TAX FR INCOME C	0.00	89.56	0.00	89.56	0.0	89.56
07/31/2017	MILES FAMILY TRUST	FRANKLIN NY TF INCOME FUND CL C	0.00	319.75	0.00	319.75	0.0	319.75
* JAMES AULENTI SUBTOTAL *			\$0.00	\$409.31	\$0.00	\$409.31		\$409.31
<b><u>BROKER: FRED CHASALOW #103</u></b>								
08/07/2017	ALLEN, JEFFREY	AT&T INC COM	30,798.88	307.99	23.20	284.79	0.0	284.79
08/07/2017	ALLEN, JEFFREY	CALL 100 AT&T INC COM EXP 01-19-18@	-616.00	6.16	26.00	-19.84	0.0	-19.84
08/07/2017	ALLEN, JEFFREY	CALL 100 KIMBERLY CLARK CORP EXP 01	-1,200.00	12.00	24.50	-12.50	0.0	-12.50
08/07/2017	ALLEN, JEFFREY	FIDELITY SELECT HEALTH CARE FUND	45,000.00	0.00	22.00	-22.00	0.0	-22.00
08/07/2017	ALLEN, JEFFREY	KIMBERLY CLARK CORP	60,711.35	607.11	22.75	584.36	0.0	584.36
* FRED CHASALOW SUBTOTAL *			\$134,694.23	\$933.26	\$118.45	\$814.81		\$814.81
<b><u>BROKER: ERIK BLOOMFELDT #105</u></b>								
07/31/2017	DEACON, GALE	JNL PERSPECTIVE L	7,545.41	6.29	0.00	6.29	0.0	6.29
* ERIK BLOOMFELDT SUBTOTAL *			\$7,545.41	\$6.29	\$0.00	\$6.29		\$6.29
<b><u>BROKER: DANIEL COLLINS #106</u></b>								
/ /	MORRISON, ISABELLA	COLLEGE PORTFOLIO B	0.00	0.23	0.00	0.23	0.0	0.23
/ /	MORRISON, ALEXANDRA	COLLEGE PORTFOLIO B	0.00	0.23	0.00	0.23	0.0	0.23
07/31/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	0.00	1.58	0.00	1.58	0.0	1.58
07/31/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	0.00	2.37	0.00	2.37	0.0	2.37

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DANIEL COLLINS #106 </u></b>								
07/31/2017	TINGLE, AARON	FRANKLIN GROWTH OPP A	0.00	0.65	0.00	0.65	0.0	0.65
08/03/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
08/03/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
08/03/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
08/03/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
07/31/2017	DONNELL, PATRICK	FRANKLIN REAL RETURN A	0.00	10.36	0.00	10.36	0.0	10.36
07/31/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	0.00	1.64	0.00	1.64	0.0	1.64
07/31/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	0.00	2.37	0.00	2.37	0.0	2.37
07/31/2017	TINGLE, AARON	FRANKLIN RISING DIVIDENDS A	0.00	0.64	0.00	0.64	0.0	0.64
08/03/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
08/03/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
08/03/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
08/03/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
07/31/2017	TINGLE, AARON	FRANKLIN SMALL CAP VALUE A	0.00	0.61	0.00	0.61	0.0	0.61
07/31/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	0.00	1.63	0.00	1.63	0.0	1.63
07/31/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	0.00	2.31	0.00	2.31	0.0	2.31
08/03/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
08/03/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
08/03/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
08/03/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
07/31/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	0.00	1.39	0.00	1.39	0.0	1.39
07/31/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	0.00	2.22	0.00	2.22	0.0	2.22
07/31/2017	TINGLE, AARON	FT GROWTH ALLOC A	0.00	0.56	0.00	0.56	0.0	0.56
08/03/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
08/03/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
08/03/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
08/03/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
08/07/2017	BETTS, RANDY	VISA INC COM CL A	-6,874.87	22.00	22.50	-0.50	0.0	-0.50
* DANIEL COLLINS SUBTOTAL *			\$-6,434.87	\$72.79	\$22.50	\$50.29		\$50.29
<b><u> BROKER: G118 GRECO #110 </u></b>								
07/12/2017	KLIMA, JANINA	AM FUNDS AMERICAN BALANCED A	0.00	7.34	0.00	7.34	0.0	7.34
07/12/2017	SOKOLNICKI, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	4.62	0.00	4.62	0.0	4.62
07/12/2017	AMENDOLA, KEITH	AM FUNDS AMERICAN BALANCED A	0.00	4.40	0.00	4.40	0.0	4.40

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: G118 GRECO #110</u></b>								
07/12/2017	O'DWYER, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	6.48	0.00	6.48	0.0	6.48
07/12/2017	BALDASSANO, DOMINICK	AM FUNDS AMERICAN BALANCED A	0.00	6.48	0.00	6.48	0.0	6.48
07/12/2017	KENNEDY, WENDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.04	0.00	1.04	0.0	1.04
07/12/2017	AMENDOLA, KEITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.16	0.00	0.16	0.0	0.16
07/12/2017	KENNEDY, WENDY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.07	0.00	1.07	0.0	1.07
07/12/2017	KENNEDY, WENDY	AM FUNDS ICA A	0.00	0.99	0.00	0.99	0.0	0.99
07/12/2017	SOKOLNICKI, BRIAN	AM FUNDS ICA A	0.00	5.00	0.00	5.00	0.0	5.00
07/12/2017	KENNEDY, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.93	0.00	0.93	0.0	0.93
07/12/2017	SOKOLNICKI, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	2.80	0.00	2.80	0.0	2.80
07/12/2017	MAENTANIS, JOHN	LEGG MASON CLEARBRIDGE APPRECIATION	0.00	15.42	0.00	15.42	0.0	15.42
07/31/2017	SOKOLNICKI, BRIAN	MORGAN STANLEY INSTL FD INC GBL APP	0.00	5.94	0.00	5.94	0.0	5.94
07/12/2017	MAENTANIS, JOHN	RUSSELL LP BALANCED C	0.00	37.68	0.00	37.68	0.0	37.68
07/12/2017	MAENTANIS, JOHN	TRANSAMERICA AA MODERATE C	0.00	12.62	0.00	12.62	0.0	12.62
* G118 GRECO SUBTOTAL *			\$0.00	\$112.97	\$0.00	\$112.97		\$112.97
<b><u>BROKER: JAMES KOESTER #117</u></b>								
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.11	0.00	0.11	0.0	0.11
* JAMES KOESTER SUBTOTAL *			\$0.00	\$0.11	\$0.00	\$0.11		\$0.11
<b><u>BROKER: ERNEST DALE ANDERSON #119</u></b>								
07/24/2017	VAN BAAL, RUDOLF	AGI BEST STYLES US EQUITY PORT MI-A	100.00	3.75	0.00	3.75	0.0	3.75
07/24/2017	VAN BAAL, RUDOLF	AGI BEST STYLES US EQUITY PORT MI-A	100.00	3.75	0.00	3.75	0.0	3.75
07/24/2017	VAN BAAL, RUDOLF	MI 529 ADV ALLIANZGI INC & GRWTH MI	100.00	3.75	0.00	3.75	0.0	3.75
07/24/2017	VAN BAAL, RUDOLF	MI 529 ADV TIAA-CREF SM-CAP BLEND I	100.00	3.75	0.00	3.75	0.0	3.75
07/24/2017	VAN BAAL, RUDOLF	MI 529 ADVISOR TIAA-CREF S&P 500 IN	100.00	3.75	0.00	3.75	0.0	3.75
* ERNEST DALE ANDERSON SUBTOTAL *			\$500.00	\$18.75	\$0.00	\$18.75		\$18.75
<b><u>BROKER: JAY MCCLELLAN #120</u></b>								
07/17/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	2.50	0.00	2.50	0.0	2.50
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.69	0.00	2.69	0.0	2.69
07/31/2017	TOMA, MARCELLE	FLOATING RATE DLY ACCESS	0.00	8.86	0.00	8.86	0.0	8.86
07/31/2017	WELLES, CLAUDIA L	FRANKLIN CONSERVATINVE ALLOC A	0.00	25.13	0.00	25.13	0.0	25.13
07/31/2017	KULBACK, PAUL	FRANKLIN CONV SEC A	0.00	15.41	0.00	15.41	0.0	15.41
07/31/2017	WELLES, CLAUDIA L	FRANKLIN DYNATECH A	0.00	6.25	0.00	6.25	0.0	6.25
07/31/2017	KULBACK, PAUL	FRANKLIN EQUITY INCOME A	0.00	6.63	0.00	6.63	0.0	6.63

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAY MCCLELLAN #120 </u></b>								
07/31/2017	KULBACK, PAUL	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.86	0.00	2.86	0.0	2.86
07/31/2017	WELLES, CLAUDIA L	FRANKLIN MUTUAL FINANCIAL SERVICES	0.00	4.15	0.00	4.15	0.0	4.15
07/31/2017	KAISER, CHARLES	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	7.40	0.00	7.40	0.0	7.40
07/31/2017	WELLES, CLAUDIA L	FRANKLIN UTILITIES A	0.00	8.77	0.00	8.77	0.0	8.77
07/31/2017	MARLIN, GRIFFIN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	2.84	0.00	2.84	0.0	2.84
07/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	6.78	0.00	6.78	0.0	6.78
07/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	10.33	0.00	10.33	0.0	10.33
07/31/2017	MARLIN, ADALYN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	1.74	0.00	1.74	0.0	1.74
07/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	6.87	0.00	6.87	0.0	6.87
07/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	6.84	0.00	6.84	0.0	6.84
07/31/2017	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	19,328.18	6.44	0.00	6.44	0.0	6.44
07/31/2017	GAY, REGINALD	TEMPLETON DEVELOPING MKTS A	0.00	1.67	0.00	1.67	0.0	1.67
07/31/2017	KAISER, CHARLES	TEMPLETON DEVELOPING MKTS A	0.00	4.46	0.00	4.46	0.0	4.46
07/31/2017	REYNOLDS, BRUCE	TEMPLETON DEVELOPING MKTS A	0.00	3.73	0.00	3.73	0.0	3.73
07/31/2017	KAISER, CHARLES	TEMPLETON FOREIGN FUND A	0.00	5.88	0.00	5.88	0.0	5.88
07/31/2017	WELLES, CLAUDIA L	TEMPLETON GLOBAL TOTAL RETURN A	0.00	4.44	0.00	4.44	0.0	4.44
07/31/2017	THOMPSON-REYNOLDS, TERESA	TEMPLETON WORLD A	0.00	5.11	0.00	5.11	0.0	5.11
07/31/2017	KAISER, CHARLES	TEMPLETON WORLD A	0.00	7.95	0.00	7.95	0.0	7.95
07/31/2017	REYNOLDS, BRUCE	TEMPLETON WORLD A	0.00	7.84	0.00	7.84	0.0	7.84
<b> * JAY MCCLELLAN SUBTOTAL * </b>			<b>\$19,378.18</b>	<b>\$173.57</b>	<b>\$0.00</b>	<b>\$173.57</b>		<b>\$173.57</b>
<b><u> BROKER: TIMOTHY KULHANEK #145 </u></b>								
07/25/2017	NORDHUES, RONOTTA	AMERITAS MEDLEY	130.00	7.80	0.00	7.80	0.0	7.80
07/31/2017	JOHNSON, BLAKE	TEMPLETON FOREIGN FUND A	0.00	0.38	0.00	0.38	0.0	0.38
07/31/2017	JOHNSON, BLAKE	TEMPLETON WORLD A	0.00	0.48	0.00	0.48	0.0	0.48
<b> * TIMOTHY KULHANEK SUBTOTAL * </b>			<b>\$130.00</b>	<b>\$8.66</b>	<b>\$0.00</b>	<b>\$8.66</b>		<b>\$8.66</b>
<b><u> BROKER: BRUCE CARNICK #175 </u></b>								
07/31/2017	WANG, LIN LIN	TEMPLETON DEVELOPING MKTS A	0.00	6.63	0.00	6.63	0.0	6.63
07/31/2017	HOENLE, PATRICK	TEMPLETON FOREIGN FUND A	0.00	17.85	0.00	17.85	0.0	17.85
07/31/2017	BOYDA, TERRY	TEMPLETON FOREIGN FUND A	0.00	10.07	0.00	10.07	0.0	10.07
07/31/2017	ARMIL, DEBORAH	TEMPLETON GROWTH A	0.00	7.54	0.00	7.54	0.0	7.54
07/31/2017	JACKSON, JEFFREY	TEMPLETON GROWTH A	0.00	3.64	0.00	3.64	0.0	3.64
07/31/2017	WANG, TAO LIN	TEMPLETON GROWTH A	0.00	89.96	0.00	89.96	0.0	89.96

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: BRUCE CARNICK #175 </u></b>								
07/31/2017	WHIPPLE, BEATRICE	TEMPLETON WORLD A	0.00	39.77	0.00	39.77	0.0	39.77
07/31/2017	RANDAZZO & ASSOC INC, TONY	TEMPLETON WORLD A	0.00	1.58	0.00	1.58	0.0	1.58
07/31/2017	RANDAZZO & ASSOC INC, TONY	TEMPLETON WORLD A	0.00	1.36	0.00	1.36	0.0	1.36
07/31/2017	ADAMS, JANET	TEMPLETON WORLD A	0.00	27.24	0.00	27.24	0.0	27.24
* BRUCE CARNICK SUBTOTAL *			\$0.00	\$205.64	\$0.00	\$205.64		\$205.64
<b><u> BROKER: DAVID KOTZ #179 </u></b>								
07/12/2017	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.16	0.00	4.16	0.0	4.16
07/12/2017	NAGY, ZOLLAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.93	0.00	0.93	0.0	0.93
07/12/2017	WHITTLESEY, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.68	0.00	8.68	0.0	8.68
07/12/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	24.64	0.00	24.64	0.0	24.64
07/12/2017	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.42	0.00	9.42	0.0	9.42
07/12/2017	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.82	0.00	2.82	0.0	2.82
07/12/2017	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.85	0.00	0.85	0.0	0.85
07/12/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.51	0.00	2.51	0.0	2.51
07/12/2017	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.67	0.00	1.67	0.0	1.67
07/12/2017	NAGY, ZOLLAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.30	0.00	2.30	0.0	2.30
07/12/2017	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	1.86	0.00	1.86	0.0	1.86
* DAVID KOTZ SUBTOTAL *			\$0.00	\$59.84	\$0.00	\$59.84		\$59.84
<b><u> BROKER: MICHELE BOYER #189 </u></b>								
07/31/2017	ROFFEY, TASHA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.64	0.00	2.64	0.0	2.64
07/31/2017	ROFFEY, TASHA	FRANKLIN NATURAL RESOURCES A	0.00	3.88	0.00	3.88	0.0	3.88
07/31/2017	ROFFEY, TASHA	FRANKLIN RISING DIVIDENDS A	0.00	12.81	0.00	12.81	0.0	12.81
08/03/2017	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	28.09	0.28	0.00	0.28	0.0	0.28
08/03/2017	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	46.35	0.23	0.00	0.23	0.0	0.23
08/03/2017	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	13.90	0.07	0.00	0.07	0.0	0.07
08/03/2017	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	22.50	0.11	0.00	0.11	0.0	0.11
08/03/2017	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	22.50	0.11	0.00	0.11	0.0	0.11
08/03/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	27.18	0.27	0.00	0.27	0.0	0.27
08/03/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	27.18	0.27	0.00	0.27	0.0	0.27
08/03/2017	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	453.77	4.54	0.00	4.54	0.0	4.54
08/03/2017	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	136.13	1.36	0.00	1.36	0.0	1.36
08/03/2017	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	195.00	1.95	0.00	1.95	0.0	1.95

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MICHELE BOYER #189</u></b>								
08/03/2017	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	195.00	1.95	0.00	1.95	0.0	1.95
08/03/2017	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	46.36	0.46	0.00	0.46	0.0	0.46
08/03/2017	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	46.36	0.46	0.00	0.46	0.0	0.46
08/03/2017	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	135.00	0.68	0.00	0.68	0.0	0.68
08/03/2017	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	135.00	0.68	0.00	0.68	0.0	0.68
08/03/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	27.18	0.27	0.00	0.27	0.0	0.27
08/03/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	27.18	0.27	0.00	0.27	0.0	0.27
08/03/2017	EMERSON, JAMES	INVESCO CHARTER FUND C	194.47	1.94	0.00	1.94	0.0	1.94
08/03/2017	EMERSON, JAMES	INVESCO CHARTER FUND C	58.34	0.58	0.00	0.58	0.0	0.58
08/03/2017	HAWKINS, DAMON	INVESCO COMSTOCK A	46.33	0.23	0.00	0.23	0.0	0.23
08/03/2017	HAWKINS, DAMON	INVESCO COMSTOCK A	13.92	0.07	0.00	0.07	0.0	0.07
08/03/2017	NOEL, ANTHONY	INVESCO COMSTOCK A	22.50	0.11	0.00	0.11	0.0	0.11
08/03/2017	NOEL, ANTHONY	INVESCO COMSTOCK A	22.50	0.11	0.00	0.11	0.0	0.11
08/03/2017	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	46.34	0.46	0.00	0.46	0.0	0.46
08/03/2017	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	46.34	0.46	0.00	0.46	0.0	0.46
08/03/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	61.94	0.62	0.00	0.62	0.0	0.62
08/03/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	30.97	0.31	0.00	0.31	0.0	0.31
08/03/2017	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	37.08	0.19	0.00	0.19	0.0	0.19
08/03/2017	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	11.12	0.06	0.00	0.06	0.0	0.06
08/03/2017	HAWKINS, DAMON	INVESCO ENERGY FUND A	41.71	0.21	0.00	0.21	0.0	0.21
08/03/2017	HAWKINS, DAMON	INVESCO ENERGY FUND A	12.51	0.06	0.00	0.06	0.0	0.06
08/03/2017	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	49.26	0.49	0.00	0.49	0.0	0.49
08/03/2017	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	49.26	0.49	0.00	0.49	0.0	0.49
08/03/2017	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	259.13	2.59	0.00	2.59	0.0	2.59
08/03/2017	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	259.13	2.59	0.00	2.59	0.0	2.59
08/03/2017	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	22.50	0.11	0.00	0.11	0.0	0.11
08/03/2017	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	22.50	0.11	0.00	0.11	0.0	0.11
08/03/2017	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	49.26	0.49	0.00	0.49	0.0	0.49
08/03/2017	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	49.26	0.49	0.00	0.49	0.0	0.49
08/03/2017	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	14.04	0.14	0.00	0.14	0.0	0.14
08/03/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	46.45	0.46	0.00	0.46	0.0	0.46
08/03/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	23.23	0.23	0.00	0.23	0.0	0.23
08/03/2017	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	49.26	0.49	0.00	0.49	0.0	0.49

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<b><u>BROKER: MICHELE BOYER #189</u></b>								
08/03/2017	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	49.26	0.49	0.00	0.49	0.0	0.49
08/03/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	61.95	0.62	0.00	0.62	0.0	0.62
08/03/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	30.96	0.31	0.00	0.31	0.0	0.31
08/03/2017	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	69.52	0.35	0.00	0.35	0.0	0.35
08/03/2017	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	20.86	0.10	0.00	0.10	0.0	0.10
08/03/2017	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	15.00	0.08	0.00	0.08	0.0	0.08
08/03/2017	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	15.00	0.08	0.00	0.08	0.0	0.08
08/03/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	46.45	0.46	0.00	0.46	0.0	0.46
08/03/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	23.23	0.23	0.00	0.23	0.0	0.23
08/03/2017	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	28.09	0.28	0.00	0.28	0.0	0.28
08/03/2017	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	42.13	0.42	0.00	0.42	0.0	0.42
08/03/2017	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	15.00	0.08	0.00	0.08	0.0	0.08
08/03/2017	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	15.00	0.08	0.00	0.08	0.0	0.08
08/03/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	46.45	0.46	0.00	0.46	0.0	0.46
08/03/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	23.23	0.23	0.00	0.23	0.0	0.23
08/03/2017	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	28.09	0.28	0.00	0.28	0.0	0.28
08/03/2017	KINNEY, THOMAS	INVESCO MONEY MARKET C	165.00	1.65	0.00	1.65	0.0	1.65
08/03/2017	KINNEY, THOMAS	INVESCO MONEY MARKET C	165.00	1.65	0.00	1.65	0.0	1.65
08/03/2017	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	49.26	0.49	0.00	0.49	0.0	0.49
08/03/2017	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	49.26	0.49	0.00	0.49	0.0	0.49
08/03/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	46.45	0.46	0.00	0.46	0.0	0.46
08/03/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	23.23	0.23	0.00	0.23	0.0	0.23
08/03/2017	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	46.35	0.23	0.00	0.23	0.0	0.23
08/03/2017	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	13.90	0.07	0.00	0.07	0.0	0.07
08/03/2017	HAWKINS, DAMON	INVESCO SUMMIT FUND A	69.52	0.35	0.00	0.35	0.0	0.35
08/03/2017	HAWKINS, DAMON	INVESCO SUMMIT FUND A	20.86	0.10	0.00	0.10	0.0	0.10
08/03/2017	HAWKINS, DAMON	INVESCO TECHNOLOGY A	41.71	0.21	0.00	0.21	0.0	0.21
08/03/2017	HAWKINS, DAMON	INVESCO TECHNOLOGY A	12.51	0.06	0.00	0.06	0.0	0.06
08/03/2017	NOEL, ANTHONY	INVESCO TECHNOLOGY A	22.50	0.11	0.00	0.11	0.0	0.11
08/03/2017	NOEL, ANTHONY	INVESCO TECHNOLOGY A	22.50	0.11	0.00	0.11	0.0	0.11
08/03/2017	HAWKINS, DAMON	INVESCO UTILITIES A	41.71	0.21	0.00	0.21	0.0	0.21
08/03/2017	HAWKINS, DAMON	INVESCO UTILITIES A	12.51	0.06	0.00	0.06	0.0	0.06
08/03/2017	NOEL, ANTHONY	INVESCO UTILITIES A	22.50	0.11	0.00	0.11	0.0	0.11

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MICHELE BOYER #189 </u></b>								
08/03/2017	NOEL, ANTHONY	INVESCO UTILITIES A	22.50	0.11	0.00	0.11	0.0	0.11
08/02/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA AA CONSERVATIVE C	0.00	0.85	0.00	0.85	0.0	0.85
08/02/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA FLEXIBLE INCOME C	0.00	1.62	0.00	1.62	0.0	1.62
08/02/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	0.74	0.00	0.74	0.0	0.74
* MICHELE BOYER SUBTOTAL *			\$4,501.52	\$61.84	\$0.00	\$61.84		\$61.84
<b><u> BROKER: MICHAEL PALMISANO #196 </u></b>								
/ /	HOFFMANN, DARLENE	FIDELITY ADV UTILITIES C	0.00	0.88	0.00	0.88	0.0	0.88
07/12/2017	VINCENT, JUDITH	FIDELITY BALANCED C	0.00	2.33	0.00	2.33	0.0	2.33
/ /	HOFFMANN, DARLENE	FIDELITY DIVIDEND GROWTH C	0.00	0.93	0.00	0.93	0.0	0.93
07/12/2017	VINCENT, JUDITH	FIDELITY INTL CAP APP C	0.00	7.94	0.00	7.94	0.0	7.94
* MICHAEL PALMISANO SUBTOTAL *			\$0.00	\$12.08	\$0.00	\$12.08		\$12.08
<b><u> BROKER: JAMES CRAWFORD #225 </u></b>								
07/31/2017	ROBERTS, KERMIT	JOHN HANCOCK VENTURE 2006	9,249.88	1.93	0.00	1.93	0.0	1.93
07/31/2017	ROBERTS, ELLEN	JOHN HANCOCK VENTURE 2006	7,360.80	1.53	0.00	1.53	0.0	1.53
* JAMES CRAWFORD SUBTOTAL *			\$16,610.68	\$3.46	\$0.00	\$3.46		\$3.46
<b><u> BROKER: CARL WORDEN III #232 </u></b>								
07/31/2017	ALVAREZ, ANTONIO	JNL PERSPECTIVE II 05/05	10,256.11	8.55	0.00	8.55	0.0	8.55
* CARL WORDEN III SUBTOTAL *			\$10,256.11	\$8.55	\$0.00	\$8.55		\$8.55
<b><u> BROKER: MARIA BONGIOVANNI #237 </u></b>								
07/25/2017	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	0.0	1.50
07/25/2017	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	0.0	1.50
07/31/2017	NOUNOU, TRACIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.86	0.00	2.86	0.0	2.86
07/31/2017	NOUNOU, ZACHARY	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.86	0.00	2.86	0.0	2.86
07/31/2017	NOUNOU, JAMIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.86	0.00	2.86	0.0	2.86
* MARIA BONGIOVANNI SUBTOTAL *			\$300.00	\$11.58	\$0.00	\$11.58		\$11.58
<b><u> BROKER: WILLIAM THOMAS #239 </u></b>								
07/31/2017	HUGHES, ROGER	FRANKLIN INCOME C	0.00	31.26	0.00	31.26	0.0	31.26
07/31/2017	HUGHES, ROGER	FRANKLIN MUTUAL EUROPEAN FUND CL C	0.00	22.23	0.00	22.23	0.0	22.23
07/31/2017	HUGHES, ROGER	FRANKLIN R E SECURITIES FUND - CLAS	0.00	23.81	0.00	23.81	0.0	23.81
07/31/2017	HUGHES, ROGER	FRANKLIN RISING DIVIDENDS C	0.00	12.51	0.00	12.51	0.0	12.51
07/31/2017	HUGHES, ROGER	FRANKLIN SMALL CAP VALUE C	0.00	26.05	0.00	26.05	0.0	26.05

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: WILLIAM THOMAS #239</u></b>								
07/31/2017	HUGHES, ROGER	FRANKLIN VALUE INVS TR MIDCAP VALUE	0.00	24.45	0.00	24.45	0.0	24.45
07/28/2017	WOJCICKIJ, TARAS	NWL NEXT GEN II FP VUL	300.00	6.00	0.00	6.00	0.0	6.00
* WILLIAM THOMAS SUBTOTAL *			\$300.00	\$146.31	\$0.00	\$146.31		\$146.31
<b><u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u></b>								
06/29/2017	MILLER, MARTIN	METLIFE TRAILS	0.00	79.80	0.00	79.80	0.0	79.80
06/30/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	1.23	0.00	1.23	0.0	1.23
06/30/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.02	0.00	0.02	0.0	0.02
06/30/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.03	0.00	0.03	0.0	0.03
06/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.35	0.00	7.35	0.0	7.35
06/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	22.05	0.00	22.05	0.0	22.05
06/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	9.77	0.00	9.77	0.0	9.77
06/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	29.31	0.00	29.31	0.0	29.31
06/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	5.73	0.00	5.73	0.0	5.73
06/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	1.91	0.00	1.91	0.0	1.91
07/31/2017	POTTER, NEIL	FRANKLIN GROWTH A	0.00	10.61	0.00	10.61	0.0	10.61
07/31/2017	GABRIEL, WILLIAM	FRANKLIN GROWTH C	0.00	42.03	0.00	42.03	0.0	42.03
07/31/2017	POTTER, NEIL	FRANKLIN INCOME A	0.00	8.39	0.00	8.39	0.0	8.39
07/31/2017	CROCKETT, JAIME	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	11.70	0.00	11.70	0.0	11.70
07/31/2017	GABRIEL, WILLIAM	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	34.15	0.00	34.15	0.0	34.15
07/31/2017	STEVENSON, JANICE	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	49.44	0.00	49.44	0.0	49.44
07/31/2017	POTTER, NEIL	FRANKLIN RISING DIVIDENDS A	0.00	28.37	0.00	28.37	0.0	28.37
07/31/2017	STEVENSON, JANICE	FRANKLIN RISING DIVIDENDS C	0.00	53.67	0.00	53.67	0.0	53.67
07/31/2017	STEVENSON, JANICE	FRANKLIN SMALL CAP VALUE C	0.00	24.62	0.00	24.62	0.0	24.62
07/31/2017	WAX, JESSICA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.86	0.00	2.86	0.0	2.86
07/31/2017	STEVENSON, JANICE	FRANKLIN UTILITIES C	0.00	17.71	0.00	17.71	0.0	17.71
07/31/2017	POLL, ROGOR	FT EQUITY INC CL C	0.00	42.98	0.00	42.98	0.0	42.98
/ /	CHISHOLM, DANIEL	JH 529 PORT 2029-2032 A	0.00	2.99	0.00	2.99	0.0	2.99
07/22/2017	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	4.32	0.00	4.32	0.0	4.32
08/02/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	350.00	1.75	0.00	1.75	0.0	1.75
08/03/2017	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	1.25	0.00	1.25	0.0	1.25
07/17/2017	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	1.52
07/31/2017	CROCKETT, JAIME	TEMPLETON GLOBAL BALANCED FUND	0.00	12.39	0.00	12.39	0.0	12.39
08/03/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	1.19

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u></b>								
08/02/2017	CROCKETT, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	4.15	0.00	4.15	0.0	4.15
		* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *	\$796.00	\$513.29	\$0.00	\$513.29		\$513.29
<b><u>BROKER: MICHAEL O'CALLAGHAN #305</u></b>								
07/31/2017	MODRELL, ROBERT	JNL ELITE ACCESS W/ LIQUIDITY	83,285.24	69.40	0.00	69.40	0.0	69.40
		* MICHAEL O'CALLAGHAN SUBTOTAL *	\$83,285.24	\$69.40	\$0.00	\$69.40		\$69.40
<b><u>BROKER: L149-ROBERT LUCHSINGER #388</u></b>								
07/31/2017	NEVIN, NANCY	JNL NY PERSPECTIVE L	228,962.20	190.80	0.00	190.80	0.0	19.08
		* L149-ROBERT LUCHSINGER SUBTOTAL *	\$228,962.20	\$190.80	\$0.00	\$190.80		\$19.08
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$1,034,134.67	\$6,161.42	\$140.95	\$6,020.47		\$5,848.75

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,594.55
Split Transactions	\$0.00
Override Transactions	\$5,848.75
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$7,443.30
Year-to-date Gross	\$55,145.69
Year-to-date Earnings	\$148,075.21

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed