

## **COMMISSION STATEMENT**

June 11, 2018

GRADY GORMAN

7105 West 44th Ave Wheat Ridge, CO 80033 BROKER #: A97

BRANCH #01001 - LifeMark Home Office

| *** BROKER TRANSACTIONS TOTAL *** \$6,540.00 \$198.00 \$36.00 \$162.00  BROKER COMMISSION TOTAL  Broker Transactions \$  Split Transactions Override Transactions Adjustments Payroll Draw Base Salary Prior Period Balance FINRA Assessment SIPC Assessment   | DATE CLIENT              | INVESTMENT                        | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE    | NET<br>COMMISSION | RATE  | BROKER<br>COMMISSION |
|--|--------------------------|-----------------------------------|----------------------|---------------------|-----------------------|-------------------|-------|----------------------|
| 06/05/2018 ***-**-14IN - BACA, JOAQUIN ACCOUNT #: LSR012637 SELL 2000 Units @1.6000  06/06/2018 ***-**-6680 - BACA, PHILIP ACCOUNT #: LSR012642 SELL 2000 Units @1.6700  * STOCKS SUBTOTAL ** \$6,540.00 \$198.00 \$36.00 \$162.00  *** BROKER TRANSACTIONS TOTAL *** \$6,540.00 \$198.00 \$36.00 \$162.00  BROKER COMMISSION TOTAL *** Payroll Draw Base Salary Prior Period Balance FINRA Assessment SIFC Assessment | BROKER TRANSACTIONS      |                                   |                      |                     |                       |                   |       |                      |
| ACCOUNT #: LSR012637   | PRODUCT CATEGORY: STOCKS |                                   |                      |                     |                       |                   |       |                      |
| ACCOUNT #: LSR012642 SELL 2000 Units @1.6700  * * STOCKS SUBTOTAL *  |                          |                                   | 3,200.00             | 96.00               | 18.00                 | 78.00             | 75.0  | 58.50                |
| *** BROKER TRANSACTIONS TOTAL *** \$6,540.00 \$198.00 \$36.00 \$162.00  BROKER COMMISSION TOTAL  Broker Transactions \$  Split Transactions Override Transactions Adjustments Payroll Draw Base Salary Prior Period Balance FINRA Assessment SIPC Assessment   |                          |                                   | 3,340.00             | 102.00              | 18.00                 | 84.00             | 75.0  | 63.00                |
| BROKER COMMISSION TOTAL  Broker Transactions S: Split Transactions Override Transactions Adjustments Payroll Draw Base Salary Prior Period Balance FINRA Assessment SIPC Assessment  |                          | * STOCKS SUBTOTAL *               | \$6,540.00           | \$198.00            | \$36.00               | \$162.00          |       | \$121.50             |
| Broker Transactions  Split Transactions  Override Transactions  Adjustments  Payroll Draw  Base Salary  Prior Period Balance  FINRA Assessment  SIPC Assessment  |                          | *** BROKER TRANSACTIONS TOTAL *** | \$6,540.00           | \$198.00            | \$36.00               | \$162.00          | •     | \$121.50             |
| Split Transactions Override Transactions Adjustments Payroll Draw Base Salary Prior Period Balance FINRA Assessment SIPC Assessment  |                          |                                   |                      |                     | E                     | ROKER COMMI       | SSION | TOTALS               |
| Override Transactions  Adjustments  Payroll Draw  Base Salary  Prior Period Balance  FINRA Assessment  SIPC Assessment   |                          |                                   |                      |                     | Broke                 | Transactions      |       | \$121.50             |
| Adjustments Payroll Draw Base Salary Prior Period Balance FINRA Assessment SIPC Assessment   |                          |                                   |                      |                     | Split                 | Transactions      |       | \$0.00               |
| Payroll Draw Base Salary Prior Period Balance FINRA Assessment SIPC Assessment   |                          |                                   |                      |                     | Override Transactions |                   |       | \$0.00               |
| Base Salary Prior Period Balance FINRA Assessment SIPC Assessment  |                          |                                   |                      |                     |                       | Adjustments       |       | \$0.00               |
| Prior Period Balance  FINRA Assessment  SIPC Assessment  |                          |                                   |                      |                     |                       | Payroll Draw      |       | \$0.00               |
| FINRA Assessment SIPC Assessment   |                          |                                   |                      |                     | Base Salary           |                   |       | \$0.00               |
| SIPC Assessment  |                          |                                   |                      |                     | Prior D               | Period Balance    |       | \$0.00               |
|  |                          |                                   |                      |                     | FII                   | NRA Assessment    |       | \$0.00               |
| Net Commission Earned \$3  |                          |                                   |                      |                     | S                     | IPC Assessment    |       | \$0.00               |
|  |                          |                                   |                      |                     | Net Com               | mission Earned    |       | \$121.50             |
| Year-to-date Earnings \$3,2  |                          |                                   |                      |                     | Year-to-              | -date Earnings    |       | \$3,287.78           |