



All Companies

04/27/2018  
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# COMMISSION STATEMENT May 01, 2018

JOHN J. O'REILLY  
25042 SUMMIT CREEK

BROKER #: 9585  
BRANCH: HOME OFFICE

San Antonio, TX 78258-

## COMMISSION STATEMENT for JOHN J. O'REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
03/29/2018	TRAILS	-PRUDENTIAL MUTUAL FUND	B	1.34	1.34	0.00	1.34	70.0	0.94
04/05/2018	MCCANN, JANIE K.	-SCHOLARS EDGE 529 PLAN	B	25.00	0.75	0.00	0.75	70.0	0.53
03/31/2018	TRAILS	-TEXAS 529 PLAN	B	2.91	2.91	0.00	2.91	70.0	2.04
03/31/2018	TRAILS	CALAMOS INVESTMENTS	B	3.16	3.16	0.00	3.16	70.0	2.21
04/11/2018	GONZALES, JACOB L.	FORESTERS FINANCIAL	B	30.00	1.50	0.00	1.50	70.0	1.05
04/17/2018	000000000092231 TARPINIAN, JOSEPH	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	2.50	0.00	2.50	70.0	1.75
04/17/2018	000000000092243 JONES, KAREN	FRK INTL SMALL CAP GROWTH FUND - CL B	B	55.00	1.54	0.00	1.54	70.0	1.08
04/17/2018	000000001177637 JONES, KAREN M.	FRK AGGRESSIVE GRWTH - CL A	B	96.25	2.70	0.00	2.70	70.0	1.89
04/16/2018	000000001293253 VOHRA, RUKSANA	FRANKLIN RISING DIVIDEND 2 FD	B	50.00	0.50	0.00	0.50	70.0	0.35
04/17/2018	000006982462769 JONES, KAREN	TEMPLETON GLOBAL BD FD CL I	B	27.50	0.77	0.00	0.77	70.0	0.54
04/17/2018	000015813931074 TARPINIAN, JOSEPH	FRANKLIN RISING DIVIDEND FD CL I	B	50.00	2.50	0.00	2.50	70.0	1.75
04/17/2018	000015813931100 JONES, KAREN	FRANKLIN RISING DIVIDEND FD CL I	B	96.25	2.70	0.00	2.70	70.0	1.89
04/18/2018	00084387418 LERMA, ALEJANDRO	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	50.00	2.50	0.00	2.50	70.0	1.75
04/16/2018	00084586485 GUAJARDO, JORGE	THE GROWTH FUND OF AMERICA-C	B	150.00	1.50	0.00	1.50	70.0	1.05
04/17/2018	00084753253 UNTIL AGE 21, TX/UTMA	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	70.0	0.35
04/11/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	3.84	0.03	0.00	0.03	70.0	0.02
04/18/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	4.10	0.03	0.00	0.03	70.0	0.02
04/05/2018	02000035041 GONZALEZ, ROBERT	AGES 12-14 YEARS A	B	30.00	0.90	0.00	0.90	70.0	0.63
04/05/2018	02000035042 GONZALEZ, ROBERT	AGES 12-14 YEARS A	B	30.00	0.90	0.00	0.90	70.0	0.63
04/06/2018	1951227842 WONG, AARON	INTERNATIONAL DIVERSIFIED FUND A	B	200.00	8.00	0.00	8.00	70.0	5.60
04/06/2018	1970666875 PINA-HERNANDEZ, DIANA	INTERNATIONAL DIVERSIFIED FUND C	B	131.25	1.31	0.00	1.31	70.0	0.92
04/06/2018	8821716979 PINA-HERNANDEZ, DIANA	OPPENHEIMER INTL BD FD CL C	B	43.75	0.44	0.00	0.44	70.0	0.31
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$1,180.35</b>	<b>\$38.98</b>	<b>\$0.00</b>	<b>\$38.98</b>		<b>\$27.30</b>

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	58.56	58.56	0.00	58.56	70.0	40.99
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>		<b>\$58.56</b>	<b>\$58.56</b>	<b>\$0.00</b>	<b>\$58.56</b>		<b>\$40.99</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
04/13/2018	12220999 SMITH, BERNARD C. III	SIGNAL SECURITIES RIA	B	0.00	103.33	0.00	103.33	70.0	72.33
04/13/2018	13238509 SINCLAIR, KATHERINE M.	SIGNAL SECURITIES RIA	B	0.00	100.67	0.00	100.67	70.0	70.47
04/13/2018	13983746 ENGELHORN, ANN	SIGNAL SECURITIES RIA	B	0.00	79.00	0.00	79.00	70.0	55.30
04/13/2018	14035391 PETRUCCI, MICHELE L	SIGNAL SECURITIES RIA	B	0.00	218.33	0.00	218.33	70.0	152.83
04/13/2018	15170253 ALMONTE, JANET	SIGNAL SECURITIES RIA	B	0.00	23.67	0.00	23.67	70.0	16.57
04/13/2018	16851102 SPAIN, ASHLEY & KENNET	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	70.0	5.13
04/13/2018	26132361 HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	70.0	5.60
04/13/2018	27920326 KIRCHOFER/TRUSTY, DAWN & BETTY	SIGNAL SECURITIES RIA	B	0.00	40.33	0.00	40.33	70.0	28.23
04/13/2018	29926270 KIRKMAN, KENT S	SIGNAL SECURITIES RIA	B	0.00	40.33	0.00	40.33	70.0	28.23
04/13/2018	31239223 HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	46.33	0.00	46.33	70.0	32.43
04/13/2018	35855095 ALMONTE, ROBERT	SIGNAL SECURITIES RIA	B	0.00	243.33	0.00	243.33	70.0	170.33
04/13/2018	38672030 HETTINGER, STEPHANIE & AND	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	70.0	7.23
04/13/2018	39026321 SMITH, KATHY J	SIGNAL SECURITIES RIA	B	0.00	137.67	0.00	137.67	70.0	96.37
04/13/2018	44751241 WILLSON, BRENDA EVANS	SIGNAL SECURITIES RIA	B	0.00	189.67	0.00	189.67	70.0	132.77
04/13/2018	45156560 WALKER, KATHLEEN DIANE	SIGNAL SECURITIES RIA	B	0.00	364.33	0.00	364.33	70.0	255.03
04/13/2018	48792670 TRAN, JOHN HUAN	SIGNAL SECURITIES RIA	B	0.00	125.67	0.00	125.67	70.0	87.97
04/13/2018	49221103 PREUSS, CHARLES/JEANETT	SIGNAL SECURITIES RIA	B	0.00	387.67	0.00	387.67	70.0	271.37
04/13/2018	51882908 SULLIVAN, DONNA B.	SIGNAL SECURITIES RIA	B	0.00	129.67	0.00	129.67	70.0	90.77
04/13/2018	52865620 JAHNS, BARBARA J.	SIGNAL SECURITIES RIA	B	0.00	222.00	0.00	222.00	70.0	155.40
04/13/2018	53855208 SPAIN, KENNETH	SIGNAL SECURITIES RIA	B	0.00	9.67	0.00	9.67	70.0	6.77
04/13/2018	55187004 MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	359.00	0.00	359.00	70.0	251.30
04/13/2018	56791320 TRUSTY, BETTY	SIGNAL SECURITIES RIA	B	0.00	49.33	0.00	49.33	70.0	34.53
04/13/2018	58311572 MORIARTY, MERRY/DANIEL	SIGNAL SECURITIES RIA	B	0.00	135.33	0.00	135.33	70.0	94.73
04/13/2018	60833365 SPAIN, ASHLEY & KENNET	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	70.0	1.40
04/13/2018	62758634 KARL, LAURA/ FERNANDO	SIGNAL SECURITIES RIA	B	0.00	679.00	0.00	679.00	70.0	475.30
04/13/2018	63242808 ROSS, ERIS R	SIGNAL SECURITIES RIA	B	0.00	55.67	0.00	55.67	70.0	38.97
04/13/2018	68055487 MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	30.33	0.00	30.33	70.0	21.23
04/13/2018	68991609 HUSTON, STEVE C	SIGNAL SECURITIES RIA	B	0.00	224.67	0.00	224.67	70.0	157.27

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	69084796 HETTINGER, ANDREW JASON	SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00	70.0	8.40
04/13/2018	72527951 ENGELHORN, ANN	SIGNAL SECURITIES RIA	B	0.00	151.67	0.00	151.67	70.0	106.17
04/13/2018	76952379 KIRKMAN, ELLEN F	SIGNAL SECURITIES RIA	B	0.00	31.00	0.00	31.00	70.0	21.70
04/13/2018	77047700 HETTINGER, STEPHANIE & AND	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	70.0	7.00
04/13/2018	77154313 HILLS, BERNARD& ANTOIN	SIGNAL SECURITIES RIA	B	0.00	260.00	0.00	260.00	70.0	182.00
04/13/2018	79434263 HETTINGER, ANDREW JASON	SIGNAL SECURITIES RIA	B	0.00	56.33	0.00	56.33	70.0	39.43
04/13/2018	81586140 MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	120.00	0.00	120.00	70.0	84.00
04/13/2018	82278696 GARRISON, PATRICIA A	SIGNAL SECURITIES RIA	B	0.00	40.33	0.00	40.33	70.0	28.23
04/13/2018	84235121 JONES, MATTHEW W	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	70.0	7.23
04/13/2018	85795100 BRUCE, BRENDA	SIGNAL SECURITIES RIA	B	0.00	248.00	0.00	248.00	70.0	173.60
04/13/2018	86268239 BOURGEOIS, FAY L.	SIGNAL SECURITIES RIA	B	0.00	59.67	0.00	59.67	70.0	41.77
04/13/2018	90026714 O'CONNOR, CHRISTINE A	SIGNAL SECURITIES RIA	B	0.00	183.33	0.00	183.33	70.0	128.33
04/13/2018	92572691 HERRING, GAYLE HALL	SIGNAL SECURITIES RIA	B	0.00	26.67	0.00	26.67	70.0	18.67
04/13/2018	94367363 HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33	70.0	4.43
04/13/2018	98606484 WILLSON II, DERRELL C	SIGNAL SECURITIES RIA	B	0.00	312.67	0.00	312.67	70.0	218.87
04/13/2018	99342265 SPAIN, KENNETH	SIGNAL SECURITIES RIA	B	0.00	27.33	0.00	27.33	70.0	19.13
* RIA SUBTOTAL *				<u>\$0.00</u>	<u>\$5,578.32</u>	<u>\$0.00</u>	<u>\$5,578.32</u>		<u>\$3,904.82</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$1,238.91</u>	<u>\$5,675.86</u>	<u>\$0.00</u>	<u>\$5,675.86</u>		<u>\$3,973.11</u>

ADJUSTMENTS

05/01/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-27.50</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,973.11
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$3,945.61
Year-to-date Earnings	\$20,943.26