

**COMMISSION STATEMENT****November 15, 2017**

K143 JEFF/TROY KAUFMAN/BERGERON

BROKER #: K143

BRANCH: JEFFREY KAUFMAN

, MO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^11/01/2017	JONES, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	55.30	2.07	0.00	2.07	100.0	0.00
^11/01/2017	MCKEAGE, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA A	54.95	2.06	0.00	2.06	100.0	0.00
^11/01/2017	MCKEAGE, NICHOLAS	AM FUNDS ICA A	54.95	2.06	0.00	2.06	100.0	0.00
^11/01/2017	JONES, MICHAEL	AM FUNDS NEW PERSPECTIVE A	55.29	2.07	0.00	2.07	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$220.49	\$8.26	\$0.00	\$8.26		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$220.49	\$8.26	\$0.00	\$8.26		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed