

All Companies
STATEMENT
August 20, 2018

DON BREWINGTON

BROKER #: 247
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DON BREWINGTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/27/2018 #	FINNELL, FERGUS	JOHN HANCOCK FINANCIAL INDUSTRIES F	21,500.00	806.25	90.0	0.00	725.63	20.00	705.63
* MUTUAL FUNDS SUBTOTAL *			\$21,500.00	\$806.25		\$0.00	\$725.63	\$20.00	\$705.63
<u>PRODUCT CATEGORY: STOCKS</u>									
06/29/2018 #	HALLIGAN, PAT	SECTOR SPDR - FINANCIAL	1,346.00	0.00	75.0	0.00	0.00	0.00	0.00
07/25/2018 #	FINNELL, FERGUS	RBB FD INC	21,415.00	446.50	75.0	0.00	334.88	19.95	314.93
* STOCKS SUBTOTAL *			\$22,761.00	\$446.50		\$0.00	\$334.88	\$19.95	\$314.93
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	0.99	90.0	0.00	0.89	0.00	0.89
07/11/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	3.75	90.0	0.00	3.38	0.00	3.38
07/11/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	2.58	90.0	0.00	2.32	0.00	2.32
07/11/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	4.16	90.0	0.00	3.74	0.00	3.74
07/11/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	4.37	90.0	0.00	3.93	0.00	3.93
07/11/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	6.87	90.0	0.00	6.18	0.00	6.18
07/11/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	6.52	90.0	0.00	5.87	0.00	5.87
07/11/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	1.96	90.0	0.00	1.76	0.00	1.76
07/11/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	2.30	90.0	0.00	2.07	0.00	2.07
07/11/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	2.05	90.0	0.00	1.85	0.00	1.85
07/11/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	4.85	90.0	0.00	4.37	0.00	4.37
07/11/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	3.54	90.0	0.00	3.19	0.00	3.19
07/11/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	4.28	90.0	0.00	3.85	0.00	3.85
07/11/2018 #	FINNELL, FERGUS	DAIN TRAILER	0.00	2.25	90.0	0.00	2.03	0.00	2.03
07/11/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	11.86	90.0	0.00	10.67	0.00	10.67
07/11/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	4.34	90.0	0.00	3.91	0.00	3.91
07/11/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	4.81	90.0	0.00	4.33	0.00	4.33
07/11/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	4.64	90.0	0.00	4.18	0.00	4.18
07/11/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	3.83	90.0	0.00	3.45	0.00	3.45
07/11/2018 #	PHARES, BECKY	DAIN TRAILER	0.00	4.38	90.0	0.00	3.94	0.00	3.94

COMMISSION STATEMENT for DON BREWINGTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/11/2018 # PHARES, BECKY		DAIN TRAILER	0.00	3.93	90.0	0.00	3.54	0.00	3.54
07/11/2018 # PHARES, BECKY		DAIN TRAILER	0.00	4.92	90.0	0.00	4.43	0.00	4.43
07/11/2018 # PHARES, BECKY		DAIN TRAILER	0.00	4.22	90.0	0.00	3.80	0.00	3.80
07/11/2018 # PHARES, BECKY		DAIN TRAILER	0.00	3.71	90.0	0.00	3.34	0.00	3.34
07/13/2018 # FINNELL, FERGUS		DAIN TRAILER	0.00	1.83	90.0	0.00	1.65	0.00	1.65
07/27/2018 # FINNELL, FERGUS		DAIN TRAILER	9,681.66	0.00	90.0	0.00	0.00	0.00	0.00
07/27/2018 # FINNELL, FERGUS		DAIN TRAILER	10,300.00	0.00	90.0	0.00	0.00	0.00	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$19,981.66	\$102.94		\$0.00	\$92.67	\$0.00	\$92.67
*** BROKER TRANSACTIONS TOTAL ***			\$64,242.66	\$1,355.69		\$0.00	\$1,153.18	\$39.95	\$1,113.23

ADJUSTMENTS

08/20/2018	ADMIN FEE: ADMIN FEE	-390.00
08/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,113.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,144.15
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-599.92
-----------------	-----------