

COMMISSION STATEMENT

May 31, 2018

CHRISTOPHER HAMILTON
21 WEST STREET
APT 6J
NEW YORK, NY 10006

BROKER #: I19 K1H R70
BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
05/02/2018	75500765	DIMAGGIO, PHILLIP	**VICTORY PORTFOLIOS MUNDER	S	4,069.71	25.00	10.00	15.00	80.0	12.00
05/02/2018	75500765	DIMAGGIO, PHILLIP	PIM 018919704	S	8,948.81	25.00	10.00	15.00	80.0	12.00
05/02/2018	75500765	DIMAGGIO, PHILLIP	PIMCO TOTAL RETURN CLASS A	S	5,481.90	25.00	10.00	15.00	80.0	12.00
05/22/2018	75500826	HORN, LAWRENCE	**VIRTUS ENHANCED CORE EQUITY	S	27,763.50	25.00	10.00	15.00	80.0	12.00
05/08/2018	75501070	TAYLOR, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN S		10,645.38	25.00	10.00	15.00	80.0	12.00
* MUTUAL FUNDS SUBTOTAL *					\$56,909.30	\$125.00	\$50.00	\$75.00		\$60.00

PRODUCT CATEGORY: STOCKS

04/30/2018	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	68,772.60	0.00	10.00	-10.00	80.0	-8.00
05/01/2018	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	68,894.80	0.00	10.00	-10.00	80.0	-8.00
04/27/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	145,872.00	0.00	10.00	-10.00	80.0	-8.00
04/27/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	145,872.00	0.00	10.00	-10.00	80.0	-8.00
04/27/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	B	30,453.60	0.00	10.00	-10.00	80.0	-8.00
04/30/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	97,868.70	0.00	10.00	-10.00	80.0	-8.00
05/01/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	145,416.00	0.00	10.00	-10.00	80.0	-8.00
05/01/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	145,416.00	0.00	10.00	-10.00	80.0	-8.00
05/01/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	S	30,496.00	0.00	10.00	-10.00	80.0	-8.00
05/01/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	98,042.60	0.00	10.00	-10.00	80.0	-8.00
05/02/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	144,552.00	0.00	10.00	-10.00	80.0	-8.00
05/02/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	144,552.00	0.00	10.00	-10.00	80.0	-8.00
05/02/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	34,321.00	0.00	10.00	-10.00	80.0	-8.00
05/03/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	144,696.00	0.00	10.00	-10.00	80.0	-8.00
05/03/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	144,696.00	0.00	10.00	-10.00	80.0	-8.00
05/04/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	34,783.00	0.00	10.00	-10.00	80.0	-8.00
05/07/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	34,566.00	0.00	10.00	-10.00	80.0	-8.00
05/08/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	34,426.00	0.00	10.00	-10.00	80.0	-8.00
05/10/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	145,968.00	0.00	10.00	-10.00	80.0	-8.00
05/14/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	147,888.00	0.00	10.00	-10.00	80.0	-8.00
05/15/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	146,376.00	0.00	10.00	-10.00	80.0	-8.00
05/15/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	73,188.00	0.00	10.00	-10.00	80.0	-8.00
05/16/2018	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND	B	117,495.00	0.00	10.00	-10.00	80.0	-8.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/16/2018	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND	S	117,270.00	0.00	10.00	-10.00	80.0	-8.00
05/16/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	73,404.00	0.00	10.00	-10.00	80.0	-8.00
05/17/2018	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND	B	69,761.40	0.00	10.00	-10.00	80.0	-8.00
05/17/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	146,784.00	0.00	10.00	-10.00	80.0	-8.00
05/18/2018	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND	S	70,326.00	0.00	10.00	-10.00	80.0	-8.00
05/18/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	34,678.00	0.00	10.00	-10.00	80.0	-8.00
05/21/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	146,592.00	0.00	10.00	-10.00	80.0	-8.00
05/21/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	73,296.00	0.00	10.00	-10.00	80.0	-8.00
05/21/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	34,825.00	0.00	10.00	-10.00	80.0	-8.00
05/22/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	146,256.00	0.00	10.00	-10.00	80.0	-8.00
05/22/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	73,128.00	0.00	10.00	-10.00	80.0	-8.00
05/22/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	29,796.00	0.00	10.00	-10.00	80.0	-8.00
05/23/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	145,680.00	0.00	10.00	-10.00	80.0	-8.00
05/23/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	72,840.00	0.00	10.00	-10.00	80.0	-8.00
05/23/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	29,904.00	0.00	10.00	-10.00	80.0	-8.00
05/25/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	72,156.00	0.00	10.00	-10.00	80.0	-8.00
05/25/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	144,312.00	0.00	10.00	-10.00	80.0	-8.00
05/25/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	29,928.00	0.00	10.00	-10.00	80.0	-8.00
05/29/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	B	21,490.20	0.00	10.00	-10.00	80.0	-8.00
05/29/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	29,791.80	0.00	10.00	-10.00	80.0	-8.00
05/18/2018	75500527	KALOS, PAUL PNC FINANCIAL SVCS GROUP INC	S	140,000.00	50.00	10.00	40.00	80.0	32.00
05/21/2018	75500527	KALOS, PAUL PNC FINANCIAL SVCS GROUP INC	B	150,091.40	50.00	10.00	40.00	80.0	32.00
05/18/2018	75500528	KALOS, LINDA OCCIDENTAL PETE CORP	S	62,500.00	50.00	10.00	40.00	80.0	32.00
05/21/2018	75500528	KALOS, LINDA OCCIDENTAL PETE CORP	B	85,484.00	50.00	10.00	40.00	80.0	32.00
04/30/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	97,868.70	50.00	10.00	40.00	80.0	32.00
05/01/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	98,042.60	50.00	10.00	40.00	80.0	32.00
05/18/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	102,400.00	50.00	10.00	40.00	80.0	32.00
05/24/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	108,485.52	50.00	10.00	40.00	80.0	32.00
05/10/2018	75500796	KARP, BETH COMCAST CORP CL A	B	31,227.00	50.00	10.00	40.00	80.0	32.00
05/14/2018	75500796	KARP, BETH J P MORGAN CHASE & CO	B	113,837.00	50.00	10.00	40.00	80.0	32.00
05/18/2018	75500796	KARP, BETH J P MORGAN CHASE & CO	B	221,876.00	60.00	10.00	50.00	80.0	40.00
05/23/2018	75500796	KARP, BETH J P MORGAN CHASE & CO	B	223,576.00	60.00	10.00	50.00	80.0	40.00
05/25/2018	75500796	KARP, BETH J P MORGAN CHASE & CO	B	220,956.00	60.00	10.00	50.00	80.0	40.00
05/11/2018	75500856	GROSSMAN, MARTON EMISPHERE TECHNOLOGIES INC	S	56,105.00	500.00	10.00	490.00	80.0	392.00
05/11/2018	75500913	KARP, RONALD AFFIMED N V COM ISIN#NL0010872420	B	11,250.00	50.00	10.00	40.00	80.0	32.00
05/11/2018	75500913	KARP, RONALD AFFIMED N V COM ISIN#NL0010872420	B	11,491.82	50.00	10.00	40.00	80.0	32.00
05/22/2018	75500913	KARP, RONALD AMTECH SYSTEMS INC COM PAR \$0.01	S	11,279.20	25.00	10.00	15.00	80.0	12.00
04/30/2018	75500921	CZECH, ERIC SPDR S&P 500 ETF TRUST	B	47,611.80	25.00	10.00	15.00	80.0	12.00
05/01/2018	75500921	CZECH, ERIC SPDR S&P 500 ETF TRUST	S	47,696.40	25.00	10.00	15.00	80.0	12.00
04/27/2018	75500947	BIEL, LYNN COMCAST CORP CL A	B	35,438.70	389.00	10.00	379.00	80.0	303.20

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
04/27/2018	75501009 L BIEL & S	VF CORPORATION	S	48,411.60	1,209.00	10.00	1,199.00	80.0	959.20
05/21/2018	75501159 EISBERG, JAMES	WABTEC CORP	S	19,842.12	50.00	10.00	40.00	80.0	32.00
05/07/2018	75501163 BERMAN, MOSES	WELLS FARGO & CO	B	26,485.00	50.00	10.00	40.00	80.0	32.00
05/03/2018	75501192 ALLEN GRAFSTEIN	J P MORGAN CHASE & CO	B	106,000.00	50.00	10.00	40.00	80.0	32.00
05/03/2018	75501192 ALLEN GRAFSTEIN	PRUDENTIAL FINANCIAL INC	B	148,713.15	50.00	10.00	40.00	80.0	32.00
05/09/2018	QGW019903 KARP, SELWYN	WELLS FARGO & CO	B	215,159.60	100.00	10.00	90.00	80.0	72.00
05/10/2018	QGW019903 KARP, SELWYN	JOHNSON & JOHNSON	B	125,443.20	50.00	10.00	40.00	80.0	32.00
04/27/2018	QGW019929 KARP, JEFFREY	COEUR MINING INC	B	31,311.20	100.00	10.00	90.00	80.0	72.00
04/27/2018	QGW019929 KARP, JEFFREY	ENDO INTERNATIONAL PLC	S	-24,440.00	50.00	10.00	40.00	80.0	32.00
04/30/2018	QGW019929 KARP, JEFFREY	COEUR MINING INC	B	30,879.60	100.00	10.00	90.00	80.0	72.00
04/30/2018	QGW019929 KARP, JEFFREY	COEUR MINING INC	B	30,319.60	100.00	10.00	90.00	80.0	72.00
04/30/2018	QGW019929 KARP, JEFFREY	COEUR MINING INC	B	30,546.40	100.00	10.00	90.00	80.0	72.00
05/01/2018	QGW019929 KARP, JEFFREY	TEKLA HEALTHCARE INVS SH BEN INT	B	29,281.98	42.00	10.00	32.00	80.0	25.60
05/01/2018	QGW019929 KARP, JEFFREY	TEKLA HEALTHCARE INVS SH BEN INT	B	12,570.00	18.00	10.00	8.00	80.0	6.40
05/02/2018	QGW019929 KARP, JEFFREY	COEUR MINING INC	S	-64,084.00	100.00	10.00	90.00	80.0	72.00
05/02/2018	QGW019929 KARP, JEFFREY	COEUR MINING INC	S	-64,641.60	100.00	10.00	90.00	80.0	72.00
05/03/2018	QGW019929 KARP, JEFFREY	HANESBRANDS INC	B	33,539.80	60.00	10.00	50.00	80.0	40.00
05/04/2018	QGW019929 KARP, JEFFREY	TEKLA HEALTHCARE INVS SH BEN INT	B	41,120.00	60.00	10.00	50.00	80.0	40.00
05/07/2018	QGW019929 KARP, JEFFREY	TEKLA HEALTHCARE INVS SH BEN INT	B	41,740.00	60.00	10.00	50.00	80.0	40.00
05/08/2018	QGW019929 KARP, JEFFREY	TEKLA HEALTHCARE INVS SH BEN INT	B	41,360.00	60.00	10.00	50.00	80.0	40.00
05/08/2018	QGW019929 KARP, JEFFREY	TEKLA HEALTHCARE INVS SH BEN INT	B	41,673.00	60.00	10.00	50.00	80.0	40.00
05/09/2018	QGW019929 KARP, JEFFREY	GULFPORT ENERGY CORP COM NEW	S	-62,416.20	60.00	10.00	50.00	80.0	40.00
05/09/2018	QGW019929 KARP, JEFFREY	SOUTHWESTERN ENERGY CO	S	-34,906.40	100.00	10.00	90.00	80.0	72.00
05/09/2018	QGW019929 KARP, JEFFREY	TAHOE RES INC COM ISIN#CA8738681037	S	-39,296.80	80.00	10.00	70.00	80.0	56.00
05/09/2018	QGW019929 KARP, JEFFREY	TAHOE RES INC COM ISIN#CA8738681037	S	-39,376.80	80.00	10.00	70.00	80.0	56.00
05/10/2018	QGW019929 KARP, JEFFREY	ENDO INTERNATIONAL PLC	S	-24,330.80	50.00	10.00	40.00	80.0	32.00
05/15/2018	QGW019929 KARP, JEFFREY	TEVA PHARMACEUTICAL INDUSTRIES LIM	S	-20,211.20	50.00	10.00	40.00	80.0	32.00
05/17/2018	QGW019929 KARP, JEFFREY	ANGLOGOLD ASHANTI LTD (NEW) SPONSOR	B	66,239.20	100.00	10.00	90.00	80.0	72.00
05/17/2018	QGW019929 KARP, JEFFREY	HANESBRANDS INC	S	-35,969.60	60.00	10.00	50.00	80.0	40.00
05/17/2018	QGW019929 KARP, JEFFREY	TEKLA HEALTHCARE INVS SH BEN INT	S	-86,107.20	100.00	10.00	90.00	80.0	72.00
05/18/2018	QGW019929 KARP, JEFFREY	BED BATH & BEYOND INC	S	-72,613.60	50.00	10.00	40.00	80.0	32.00
05/24/2018	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN	B	20,940.80	80.00	10.00	70.00	80.0	56.00
05/24/2018	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN	B	20,938.40	80.00	10.00	70.00	80.0	56.00
05/24/2018	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN	B	21,024.00	80.00	10.00	70.00	80.0	56.00
05/29/2018	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN	B	19,901.60	80.00	10.00	70.00	80.0	56.00
05/29/2018	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN	B	19,920.00	80.00	10.00	70.00	80.0	56.00
05/10/2018	QGW019937 KARP, BARBARA	GENERAL ELECTRIC CO	B	29,290.40	60.00	10.00	50.00	80.0	40.00
05/10/2018	QGW019937 KARP, BARBARA	WELLS FARGO & CO	B	108,550.00	60.00	10.00	50.00	80.0	40.00

* STOCKS SUBTOTAL *

\$6,556,853.29

\$5,563.00

\$1,010.00

\$4,553.00

\$3,642.40

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
<div>BROKER TRANSACTIONS</div>											
PRODUCT CATEGORY: OPTIONS											
05/21/2018	75500527 KALOS, PAUL	CALL PNC	08/17/18	135	S	16,521.00	50.00	10.00	40.00	80.0	32.00
05/21/2018	75500528 KALOS, LINDA	CALL OXY	08/17/18	75	S	10,899.00	50.00	10.00	40.00	80.0	32.00
05/24/2018	75500529 KALOS, PAUL	CALL SPY	06/15/18	267	S	2,376.00	50.00	10.00	40.00	80.0	32.00
* OPTIONS SUBTOTAL *						\$29,796.00	\$150.00	\$30.00	\$120.00		\$96.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
05/31/2018		FEE COUNT - 40				0.00	520.38	0.00	520.38	80.0	416.29
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$520.38	\$0.00	\$520.38		\$416.29
*** BROKER TRANSACTIONS TOTAL ***						\$6,643,558.59	\$6,358.38	\$1,090.00	\$5,268.38		\$4,214.69

ADJUSTMENTS

05/31/2018	OTHER: FEDERAL INSURANCE - MAY 2018	0.00	0.00	0.00	0.00	-713.56
05/31/2018	COMMISSION INCOME: SULLIVAN OVERRIDE	0.00	0.00	0.00	0.00	1,149.20
05/31/2018	COMMISSION INCOME: SULLIVAN OVERRIDE	0.00	0.00	0.00	0.00	1,696.00
05/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
05/31/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-12.00
05/31/2018	OTHER: OTHER-DISABLITY INSURANCE	0.00	0.00	0.00	0.00	-2.04
05/31/2018	OTHER: OTHER-WORKMAN COMP	0.00	0.00	0.00	0.00	-133.34
05/31/2018	OTHER: THOMSON	0.00	0.00	0.00	0.00	-112.86
05/31/2018	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00	-55.66
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$1,795.74

BROKER COMMISSION TOTALS

Broker Transactions	\$4,214.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$1,795.74
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-7.95
SIPC Assessment	\$-15.90

Check Amount	\$5,986.58
Year-to-date Earnings	\$17,686.82