

COMMISSION STATEMENT

April 30, 2017

COLIN GLENNON
711 ELLINGTON ROADBROKER #:
BRANCH: HOME OFFICE

RIDGEWOOD, NJ 07450

COMMISSION STATEMENT for COLIN GLENNON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/25/2017	75501350	GLENNON, COLIN	NUVEEN INVT FDS INC EQUITY INDEX FD S	760.58	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$760.58	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>									
04/06/2017	75501382	LEVIS, ANGELA	DOVER MOTORSPORTS INC	S	5,258.25	262.91	0.00	262.91	50.0 131.46
04/06/2017	75501382	LEVIS, ANGELA	DOVER MOTORSPORTS INC	B	-5,258.25	-262.91	0.00	-262.91	50.0 -131.46 C
04/06/2017	75501382	LEVIS, ANGELA	DOVER MOTORSPORTS INC	S	5,258.25	75.00	0.00	75.00	50.0 37.50
04/19/2017	75501382	LEVIS, ANGELA	DOVER MOTORSPORTS INC	S	8,000.00	120.00	0.00	120.00	50.0 60.00
04/06/2017	75501383	LEVIS, ANGELA	DOVER MOTORSPORTS INC	S	5,258.25	262.91	0.00	262.91	50.0 131.46
04/06/2017	75501383	LEVIS, ANGELA	DOVER MOTORSPORTS INC	B	-5,258.25	-262.91	0.00	-262.91	50.0 -131.46 C
04/06/2017	75501383	LEVIS, ANGELA	DOVER MOTORSPORTS INC	S	5,258.25	75.00	0.00	75.00	50.0 37.50
04/19/2017	75501383	LEVIS, ANGELA	DOVER MOTORSPORTS INC	S	8,020.00	120.30	0.00	120.30	50.0 60.15
04/06/2017	75501388	LEVIS, ANGELA	DOVER MOTORSPORTS INC	S	5,258.25	262.91	0.00	262.91	50.0 131.46
04/06/2017	75501388	LEVIS, ANGELA	DOVER MOTORSPORTS INC	B	-5,258.25	-262.91	0.00	-262.91	50.0 -131.46 C
04/06/2017	75501388	LEVIS, ANGELA	DOVER MOTORSPORTS INC	S	5,258.25	75.00	0.00	75.00	50.0 37.50
04/19/2017	75501388	LEVIS, ANGELA	DOVER MOTORSPORTS INC	S	8,000.00	120.00	0.00	120.00	50.0 60.00
* STOCKS SUBTOTAL *				\$39,794.75	\$585.30	\$0.00	\$585.30		\$292.65
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/11/2017	75501350	GLENNON, COLIN	NUVEEN INVT FDS INC EQUITY INDEX FD B	0.00	0.16	0.00	0.16	50.0	0.00
04/11/2017	75501429	COMACK, KATHLEEN	FRANKLIN U.S. GOVERNMENT SECURITIES B	0.00	6.49	0.00	6.49	50.0	3.25
04/11/2017	75501609	BUCHNER, LORETTA	GOLDMAN SACHS U S EQUITY INSIGHTS F B	0.00	3.73	0.00	3.73	50.0	1.87
04/11/2017	75501609	BUCHNER, LORETTA	GOLDMAN SACHS TOLLKEEPER FUND CLASS B	0.00	14.66	0.00	14.66	50.0	7.33
04/11/2017	75501609	BUCHNER, LORETTA	JOHN HANCOCK FDS III STRATEGIC GROW B	0.00	2.00	0.00	2.00	50.0	1.00
04/11/2017	75501668	PARKER, FRANCINE	FRK SM CAP GRWTH FND II - CL A	B	0.00	5.21	0.00	5.21	50.0 2.61
04/11/2017	75501668	PARKER, FRANCINE	GLOBAL OPPORTUNITIES A	B	0.00	7.27	0.00	7.27	50.0 3.64
04/11/2017	75501669	PARKER, JAMES	FRANKLIN U.S. GOVERNMENT SECURITIES B	0.00	0.27	0.00	0.27	50.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$39.79	\$0.00	\$39.79		\$19.70
*** BROKER TRANSACTIONS TOTAL ***				\$40,555.33	\$625.09	\$0.00	\$625.09		\$312.35

BROKER COMMISSION TOTALS

Broker Transactions	\$312.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.78
SIPC Assessment	\$-11.72

Check Amount	\$299.85
Year-to-date Earnings	\$361.48