



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 15, 2018

04/17/2018
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DOUGLAS, S123 SNIDER
1622 170th Pl SE

BROKER #: S123
BRANCH: DOUGLAS SNIDER

Bothell, WA 98012

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/03/2018	NELSON, JAMES	IVY GLOBAL RISK-MANAGED REAL ESTATE	-10,009.41	0.00	0.00	0.00	80.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$-10,009.41	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
04/05/2018	POOLE, BETTY	BOEING CO COM	-33,469.44	50.00	22.50	27.50	80.0	22.00
04/04/2018	MCCONNELL, PAUL	MANNKIND CORP COM NEW	2,140.00	35.00	23.50	11.50	80.0	9.20
		* STOCKS SUBTOTAL *	\$-31,329.44	\$85.00	\$46.00	\$39.00		\$31.20
		*** CLEARING TRANSACTIONS TOTAL ***	\$-41,338.85	\$85.00	\$46.00	\$39.00		\$31.20
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/29/2018	HART, JOHN	HARTFORD THE DIRECTOR M PLUS	147,947.22	92.47	0.00	92.47	80.0	73.98
		* VARIABLE ANNUITIES SUBTOTAL *	\$147,947.22	\$92.47	\$0.00	\$92.47		\$73.98
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/15/2018	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.75	0.00	2.75	80.0	2.20
03/15/2018	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	14.64	0.00	14.64	80.0	11.71
03/08/2018	SKALLEY, MICHAEL	CATALYST DYNAMIC ALPHA FUND CLASS A	0.00	3.68	0.00	3.68	80.0	2.94
03/13/2018	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.67	0.00	1.67	80.0	1.34
03/13/2018	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.58	0.00	3.58	80.0	2.86
03/19/2018	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	8.56	0.00	8.56	80.0	6.85
03/19/2018	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	23.27	0.00	23.27	80.0	18.62
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$58.15	\$0.00	\$58.15		\$46.52

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/29/2018	ROSE TRUST, HEATHER	GENWORTH TRAILS	189,923.61	158.27	0.00	158.27	80.0	126.62
03/29/2018	MANLY, NORMAN	GENWORTH TRAILS	204,400.03	85.17	0.00	85.17	80.0	68.14
03/29/2018	BELLAMY, GARLAND	GENWORTH TRAILS	80,106.39	33.38	0.00	33.38	80.0	26.70
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$474,430.03	\$276.82	\$0.00	\$276.82		\$221.46
*** DIRECT TRANSACTIONS TOTAL ***			\$622,377.25	\$427.44	\$0.00	\$427.44		\$341.96
<u>ADJUSTMENTS</u>								
04/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
04/15/2018		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
04/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
04/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
04/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.50
04/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-593.50

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BROKER COMMISSION TOTALS

Broker Transactions	\$373.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-593.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-364.43
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-584.77
Year-to-date Gross	\$5,201.56
Year-to-date Earnings	\$4,089.30