

**COMMISSION STATEMENT****March 15, 2018****G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PFLE/KIE**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

BROKER #: G3G

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^02/20/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.25	0.00	0.25	100.0	0.00
^02/16/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.62	0.00	0.62	100.0	0.00
^02/16/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.03	0.00	1.03	100.0	0.00
^02/21/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.85	0.00	1.85	100.0	0.00
^02/21/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.52	0.00	1.52	100.0	0.00
^02/21/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	8.89	0.00	8.89	100.0	0.00
^02/21/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	7.88	0.00	7.88	100.0	0.00
^02/21/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.65	0.00	0.65	100.0	0.00
^02/14/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.06	0.00	1.06	100.0	0.00
^02/14/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.72	0.00	4.72	100.0	0.00
^02/22/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.10	0.00	2.10	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$30.57	\$0.00	\$30.57		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
^03/03/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	134,591.15	55.95	0.00	55.95	100.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$134,591.15	\$55.95	\$0.00	\$55.95		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$134,591.15	\$86.52	\$0.00	\$86.52		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
03/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.01
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-0.01

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-0.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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 ** - Indicates adjustment is not included in Totals or Commissions
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