



All Companies

02/27/2018  
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# COMMISSION STATEMENT March 01, 2018

WILLIAM L. BROOKMAN  
1840 Hurstview Drive

BROKER #: 9081  
BRANCH: HOME OFFICE

HURST, TX 76054

## COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
02/15/2018	00088728542	CABLE, MARTHA I.	AMERICAN BALANCED FUND-529C	B	25.00	0.25	0.00	0.25	90.0	0.23
02/15/2018	00088728542	CABLE, MARTHA I.	FUNDAMENTAL INVESTORS-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
02/15/2018	00088728542	CABLE, MARTHA I.	NEW PERSPECTIVE FUND-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
02/15/2018	00088728542	CABLE, MARTHA I.	WASHINGTON MUTUAL INVESTORS FUND-52	B	25.00	0.25	0.00	0.25	90.0	0.23
* MUTUAL FUNDS SUBTOTAL *					\$200.00	\$2.00	\$0.00	\$2.00		\$1.82
PRODUCT CATEGORY: VARIABLE ANNUITIES										
02/09/2018	TRAILS		AMERICAN GENERAL ANNUITY	B	252.11	252.11	0.00	252.11	90.0	226.90
02/02/2018	PORTER, A. BROOKE		GREAT WEST LIFE & ANNUITY	B	100.00	6.00	0.00	6.00	90.0	5.40
* VARIABLE ANNUITIES SUBTOTAL *					\$352.11	\$258.11	\$0.00	\$258.11		\$232.30
PRODUCT CATEGORY: RIA										
01/12/2018	25632715	DIAZ, CARMEN A	SIGNAL SECURITIES RIA	B	0.00	118.33	0.00	118.33	90.0	106.50
01/12/2018	49283223	HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
01/12/2018	62315710	HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
* RIA SUBTOTAL *					\$0.00	\$149.33	\$0.00	\$149.33		\$134.40
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/01/2018	TRAIL COMMISSIONS		WELLS FARGO FUNDS FEES		0.00	3.58	0.00	3.58	90.0	3.22
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3.58	\$0.00	\$3.58		\$3.22
*** BROKER TRANSACTIONS TOTAL ***					\$552.11	\$413.02	\$0.00	\$413.02		\$371.74

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

03/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
03/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-7.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-107.50

BROKER COMMISSION TOTALS

Broker Transactions	\$371.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-107.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$264.24
Year-to-date Earnings	\$5,731.53