



Concorde Investment Services, LLC

11/13/2017

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**COMMISSION STATEMENT****November 15, 2017****BRENT LEE**

13423 Kings View Lane

Williston, ND 58801-

**BROKER #: L137**

BRANCH: TRUWEALTH FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/31/2017	KUHN, KENNETH	JNL PERSPECTIVE L	255,566.92	212.97	0.00	212.97	90.0	191.67
10/31/2017	KUHN, KRISTINE	JNL PERSPECTIVE L	137,762.19	114.80	0.00	114.80	90.0	103.32
10/31/2017	FREIH, DIANE	JNL PERSPECTIVE L	108,428.90	90.36	0.00	90.36	90.0	81.32
10/31/2017	KEMPER, SHERRY	JNL PERSPECTIVE L	215,596.85	179.66	0.00	179.66	90.0	161.69
10/31/2017	RETZLAFF, SANDRA	JNL PERSPECTIVE L	38,014.20	31.68	0.00	31.68	90.0	28.51
10/31/2017	GRANT, GREGORY	JNL PERSPECTIVE L	95,148.15	79.29	0.00	79.29	90.0	71.36
10/31/2017	MONETTE, RONALD	JNL PERSPECTIVE L	60,591.78	50.49	0.00	50.49	90.0	45.44
10/31/2017	LIESENER, MARTY	JNL PERSPECTIVE L	43,702.41	36.42	0.00	36.42	90.0	32.78
10/31/2017	BARTINGALE, JAMES	JNL PERSPECTIVE L	62,768.49	52.31	0.00	52.31	90.0	47.08
10/31/2017	MONETTE, RONALD	JNL PERSPECTIVE L	206,331.41	171.94	0.00	171.94	90.0	154.75
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$1,223,911.30</b>	<b>\$1,019.92</b>	<b>\$0.00</b>	<b>\$1,019.92</b>		<b>\$917.92</b>
*** DIRECT TRANSACTIONS TOTAL ***			<b>\$1,223,911.30</b>	<b>\$1,019.92</b>	<b>\$0.00</b>	<b>\$1,019.92</b>		<b>\$917.92</b>

**SPLIT TRANSACTIONS****BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #10**

10/02/2017	LEE-NORBY-DONAIS, VARIOUS-BBJ VOYA 529 AGE 5-8 OPTION CL A	50.00	0.85	0.00	0.85	90.0	0.77
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			<b>\$50.00</b>	<b>\$0.85</b>	<b>\$0.00</b>	<b>\$0.85</b>	<b>\$0.77</b>

**BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332**

11/01/2017	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
11/01/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	180.00	3.15	0.00	3.15	90.0	2.84
11/02/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	160.00	2.80	0.00	2.80	90.0	2.52
10/12/2017	SIKOLE, ROBERT	COTTONWOOD MULTIFAMILY REIT II	86,500.00	2,595.00	0.00	2,595.00	90.0	2,335.50
11/08/2017	BARTINGALE, JAMES	COTTONWOOD MULTIFAMILY REIT II, INC	35,000.00	0.00	0.00	0.00	90.0	0.00
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			<b>\$122,065.00</b>	<b>\$2,604.89</b>	<b>\$0.00</b>	<b>\$2,604.89</b>		<b>\$2,344.41</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u></b>								
10/19/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.17	0.00	0.17	90.0	0.15
10/27/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
10/20/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.08	0.00	0.08	90.0	0.07
10/24/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	0.25	0.00	0.25	90.0	0.23
10/26/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	-1,000.00	0.00	0.00	0.00	90.0	0.00
10/30/2017	NEWBERRY TRUST, GLORIA	INVESCO GROWTH AND INCOME FUND CLAS	0.00	0.00	0.00	0.00	90.0	0.00
10/17/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.02	0.00	0.02	90.0	0.02
10/17/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.11	0.00	0.11	90.0	0.10
10/17/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.55	0.00	0.55	90.0	0.50
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$-1,000.00	\$1.23	\$0.00	\$1.23		\$1.12
<b><u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u></b>								
11/01/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	3.01	0.00	3.01	90.0	2.71
11/01/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	5.18	0.00	5.18	90.0	4.66
11/01/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	3.43	0.00	3.43	90.0	3.09
11/01/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	2.66	0.00	2.66	90.0	2.39
11/10/2017	MONETTE, RONALD	HPI FUND V	82,000.00	1,968.00	0.00	1,968.00	90.0	1,771.20
10/27/2017	MONETTE, RONALD	HPI REAL ESTATE FUND V LLC	82,000.00	0.00	0.00	0.00	90.0	0.00
09/30/2017	LEE-NORBY-DONAIS, VARIOUS-BBJ	VOYA TRAILS	0.00	0.32	0.00	0.32	90.0	0.29
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$165,020.00	\$1,982.60	\$0.00	\$1,982.60		\$1,784.34
<b><u>BROKER: TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L #336</u></b>								
10/30/2017	HENNEN, STUART	SHOPOFF COMMERCAL GROWTH AND INCOME	77,000.00	0.00	0.00	0.00	90.0	0.00
* TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L SUBTOTAL *			\$77,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>BROKER: L146-BREN/BRIA/JEN TRU-LEE/NORB/DON #375</u></b>								
11/08/2017	JORGENSEN, BLAINE	BR GRAND DOMINION DST	400,000.00	8,160.00	0.00	8,160.00	90.0	7,344.00
* L146-BREN/BRIA/JEN TRU-LEE/NORB/DON SUBTOTAL *			\$400,000.00	\$8,160.00	\$0.00	\$8,160.00		\$7,344.00
*** SPLIT TRANSACTIONS TOTAL ***			\$763,135.00	\$12,749.57	\$0.00	\$12,749.57		\$11,474.64

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
11/15/2017		*CONCIERGE SVC: 201085 201481 20148	0.00					-75.00
11/15/2017		*CONCIERGE SVC: 201122 202372 20278	0.00					-60.00
11/15/2017		*CONCIERGE SVC: 201140 202797	0.00					-13.00
11/15/2017		*CONCIERGE SVC: 201182 SULLIVAN	0.00					-5.50
11/15/2017		*CONCIERGE SVC: 201406 SORENSON	0.00					-16.50
11/15/2017		*CONCIERGE SVC: 202764 202819	0.00					-50.00
11/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.75
11/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.17
11/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.60
11/15/2017		*PERSHING: ETF PROSPECTUS	0.00					-6.69
11/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-803.21</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$917.92
Split Transactions	\$11,474.64
Override Transactions	\$0.00
Adjustments	\$-803.21
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$11,589.35</b>
<b>Year-to-date Gross</b>	<b>\$79,685.45</b>
<b>Year-to-date Earnings</b>	<b>\$71,645.60</b>

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