

**COMMISSION STATEMENT****November 30, 2017****REBECCA FREEMAN**

125 High Rock Ave

Suite 103

Saratoga Springs, NY 12866

BROKER #: F124

BRANCH: REBECCA FREEMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
11/10/2017	FARBSTEIN, BURTON	TD AMERITRADE	0.00	181.06	0.00	181.06	90.0	162.95
11/10/2017	FARBSTEIN, BRETT	TD AMERITRADE	0.00	12.02	0.00	12.02	90.0	10.82
11/10/2017	HUNT, PATRICIA	TD AMERITRADE	0.00	232.16	0.00	232.16	90.0	208.94
11/10/2017	HUNT, PATRICIA	TD AMERITRADE	0.00	111.89	0.00	111.89	90.0	100.70
11/10/2017	RADER, FRANK	TD AMERITRADE	0.00	270.95	0.00	270.95	90.0	243.86
11/10/2017	RADER, FRANK	TD AMERITRADE	0.00	219.57	0.00	219.57	90.0	197.61
11/10/2017	RADER, FRANK	TD AMERITRADE	0.00	13.85	0.00	13.85	90.0	12.47
11/10/2017	RADER, CAROL	TD AMERITRADE	0.00	271.19	0.00	271.19	90.0	244.07
11/10/2017	ABNEY, KAREN	TD AMERITRADE	0.00	151.07	0.00	151.07	90.0	135.96
11/10/2017	ABNEY, KAREN	TD AMERITRADE	0.00	393.36	0.00	393.36	90.0	354.02
11/10/2017	REMBISH, KATHLEEN	TD AMERITRADE	0.00	53.80	0.00	53.80	90.0	48.42
11/10/2017	REMBISH, ROBERT	TD AMERITRADE	0.00	536.83	0.00	536.83	90.0	483.15
11/10/2017	SALZMAN, LAUREN	TD AMERITRADE	0.00	30.07	0.00	30.07	90.0	27.06
11/10/2017	STERN, AMELIA	TD AMERITRADE	0.00	308.42	0.00	308.42	90.0	277.58
11/10/2017	STERN, JONATHAN	TD AMERITRADE	0.00	223.12	0.00	223.12	90.0	200.81
* RIA SUBTOTAL *			\$0.00	\$3,009.36	\$0.00	\$3,009.36		\$2,708.42
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$3,009.36	\$0.00	\$3,009.36		\$2,708.42

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
11/30/2017		*MANAGED FEE EXPENSE: ACCT MIN FEE	0.00					-30.09
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-30.09

BROKER COMMISSION TOTALS

Broker Transactions	\$2,708.42
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-30.09
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,678.33
Year-to-date Gross	\$82,582.96
Year-to-date Earnings	\$74,324.80

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