



All Companies
COMMISSION STATEMENT
April 16, 2018

04/12/2018
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RAYMOND C. ASHLEY
4911 Fall Creek Hwy

Granbury, TX 76049

BROKER #: 8975
BRANCH: HOME OFFICE

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	51.87	51.87	0.00	51.87	90.0	46.68
03/31/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	92.68	92.68	0.00	92.68	90.0	83.41
03/31/2018	TRAILS	-OPPENHEIMER FUNDS	B	11.48	11.48	0.00	11.48	90.0	10.33
03/29/2018	TRAILS	PACIFIC LIFE FUNDS - GENERAL	B	215.63	215.63	0.00	215.63	90.0	194.07
04/06/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS BD FD OF AMER FD	B	50.00	1.00	0.00	1.00	90.0	0.90
04/06/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS CAPITAL WORLD BD FD B		100.00	2.00	0.00	2.00	90.0	1.80
04/06/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS GROWTH FUND OF AMERI B		500.00	10.00	0.00	10.00	90.0	9.00
04/06/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS HIGH INCOME TR FD	B	200.00	4.00	0.00	4.00	90.0	3.60
04/06/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS INTERM BD FD OF AMER B		50.00	1.00	0.00	1.00	90.0	0.90
04/06/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	2.00	0.00	2.00	90.0	1.80
03/29/2018	00085208512 BURCH/STEELE, HANNAH	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	90.0	2.25
04/02/2018	00088819064 BARNARD, NONA L.	AMERICAN FUNDS WA MUTUAL	INVES B	250.00	9.38	0.00	9.38	90.0	8.44
* MUTUAL FUNDS SUBTOTAL *				\$1,671.66	\$403.54	\$0.00	\$403.54		\$363.18
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
03/31/2018	TRAILS	-JOHN HANCOCK	B	893.90	893.90	0.00	893.90	90.0	804.51
03/22/2018	BARNARD, ALBERT	-SECURITY LIFE OF DENVER	B	75.00	1.50	0.00	1.50	90.0	1.35
03/31/2018	TRAILS	-SECURITY LIFE OF DENVER	B	2.68	2.68	0.00	2.68	90.0	2.41
03/31/2001	TRAILS	LINCOLN NATIONAL LIFE INSURANCE COM B		257.37	257.37	0.00	257.37	90.0	231.63
03/31/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	2,024.35	2,024.35	0.00	2,024.35	90.0	1,821.92
* VARIABLE ANNUITIES SUBTOTAL *				\$3,253.30	\$3,179.80	\$0.00	\$3,179.80		\$2,861.82
*** BROKER TRANSACTIONS TOTAL ***				\$4,924.96	\$3,583.34	\$0.00	\$3,583.34		\$3,225.00

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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SPLIT TRANSACTIONS

BROKER: JOINT ASHLEY/ASHLEY #8030

03/31/2018	TRAILS	-PUTNAM	B	1.01	0.50	0.00	0.50	90.0	0.45
03/31/2018	TRICO ELECTRI C, GBM MGMT GROUP	NATIONWIDE QUALIFIED PLAN	B	3,721.30	177.90	0.00	177.90	90.0	160.11
				* JOINT ASHLEY/ASHLEY SUBTOTAL *	\$3,722.31	\$178.40	\$0.00	\$178.40	\$160.56
				*** SPLIT TRANSACTIONS TOTAL ***	\$3,722.31	\$178.40	\$0.00	\$178.40	\$160.56

ADJUSTMENTS

04/16/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
	*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-27.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$3,225.00
Split Transactions	\$160.56
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$3,358.06
Year-to-date Earnings	\$29,274.56