



# COMMISSION STATEMENT

## May 14, 2018

**WILLIAM FRANCIS**  
2 Bobrick Road  
Poughkeepsie, NY 12601

**BROKER #: A88**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/04/2018	***-**-57IR - GERTY, AUDRA ACCOUNT #: LSR012440	AMCAP FUND-A BUY 9 Units @34.2200	297.00	8.17	18.00	-9.83	100.0	-9.83
05/04/2018	***-**-57IR - GERTY, AUDRA ACCOUNT #: LSR012440	AMERICAN BALANCED FUND-A BUY 13 Units @27.8300	372.00	10.23	18.00	-7.77	100.0	-7.77
05/03/2018	***-**-3672 - KAN, HEATHER ACCOUNT #: 04000740312	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @16.0500	75.00	3.19	0.00	3.19	70.0	2.23
05/03/2018	***-**-3672 - KAN, HEATHER ACCOUNT #: 04000740312	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @16.0500	75.00	3.19	0.00	3.19	70.0	2.23
04/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS BLNCD PORTFOLIO CL A CANCEL 43 Units @15.5300	-675.00	-18.56	0.00	-18.56	70.0	-12.99
04/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 44 Units @15.3700	675.00	13.50	18.00	-4.50	100.0	-4.50
04/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS GROWTH PORTFOLIO A CANCEL 35 Units @19.4900	-675.00	-18.56	0.00	-18.56	70.0	-12.99
04/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 35 Units @19.2900	675.00	13.50	18.00	-4.50	100.0	-4.50
04/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS GRWTH & INCOME PORT CANCEL 71 Units @15.9100	-1,125.00	-30.93	0.00	-30.93	70.0	-21.65
04/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS GRWTH & INCOME PORT BUY 71 Units @15.7400	1,125.00	22.50	18.00	4.50	70.0	3.15
04/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS TAX ADVANTGED INC PO CANCEL 80 Units @14.0800	-1,125.01	-30.93	0.00	-30.93	70.0	-21.65
04/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 81 Units @13.9400	1,125.00	22.50	18.00	4.50	70.0	3.15
05/04/2018	***-**-943J - DAILY, MATTHEW ACCOUNT #: LSR011610	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 36 Units @13.9800	499.99	13.75	0.00	13.75	70.0	9.63
05/04/2018	***-**-57IR - GERTY, AUDRA ACCOUNT #: LSR012440	AMERICAN MUTUAL FUND-A BUY 9 Units @41.4000	372.02	10.23	18.00	-7.77	100.0	-7.77
05/03/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 0 Units @20.6100	5.54	0.17	0.00	0.17	70.0	0.12
05/03/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 1 Units @20.6100	29.53	0.89	0.00	0.89	70.0	0.62



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/04/2018	***-**-57IR - GERTY, AUDRA	CAPITAL WORLD BOND FUND-A	146.99	4.03	18.00	-13.97	100.0	-13.97
	ACCOUNT #: LSR012440	BUY 7 Units @20.5300						
05/03/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD GROWTH AND INCOME FUN	24.92	1.06	0.00	1.06	70.0	0.74
	ACCOUNT #: 00088824619	BUY 0 Units @54.2300						
05/03/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD GROWTH AND INCOME FUN	132.92	5.65	0.00	5.65	70.0	3.96
	ACCOUNT #: 00088824619	BUY 2 Units @54.2300						
05/03/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	8.31	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: 00088824619	BUY 0 Units @59.6700						
05/03/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	44.31	1.88	0.00	1.88	70.0	1.32
	ACCOUNT #: 00088824619	BUY 1 Units @59.6700						
04/20/2018	***-**-0485 - WHALEN, STEPHEN	FEDERATED 31421R841	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 06090486215	BUY 2 Units @20.0100						
05/03/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	3.60	0.04	0.00	0.04	70.0	0.03
	ACCOUNT #: 04000234328	BUY 0 Units @61.1500						
05/03/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	12.00	0.12	0.00	0.12	70.0	0.08
	ACCOUNT #: 04000234328	BUY 0 Units @61.1500						
05/10/2018	***-**-445J - NORTH, CHARLES	ROCHESTER FUND MUNICIPALS A	44,000.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011778	SELL 2899 Units @15.1800						
05/04/2018	***-**-57IR - GERTY, AUDRA	SMALLCAP WORLD FUND-A	146.98	4.03	18.00	-13.97	100.0	-13.97
	ACCOUNT #: LSR012440	BUY 2 Units @59.1700						
05/03/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	11.07	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: 00088824619	BUY 0 Units @54.2200						
05/03/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	59.08	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: 00088824619	BUY 1 Units @54.2200						
05/03/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	29.54	1.26	0.00	1.26	70.0	0.88
	ACCOUNT #: 00088824619	BUY 1 Units @23.8300						
05/03/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	5.54	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: 00088824619	BUY 0 Units @23.8300						
05/04/2018	***-**-57IR - GERTY, AUDRA	THE NEW ECONOMY FUND-A	146.99	4.03	18.00	-13.97	100.0	-13.97
	ACCOUNT #: LSR012440	BUY 3 Units @49.4900						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$46,548.32</b>	<b>\$51.01</b>	<b>\$198.00</b>	<b>\$-146.99</b>		<b>\$-131.16</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
05/07/2018	***-**-585X - LAURICELLA, ADAM	FIDELITY FREEDOM 2035	2,000.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011433	BUY 130 Units @15.3300						
		<b>* STOCKS SUBTOTAL *</b>	<b>\$2,000.00</b>	<b>\$0.00</b>	<b>\$18.00</b>	<b>\$-18.00</b>		<b>\$-18.00</b>
<b>PRODUCT CATEGORY: 529 PLAN</b>								
05/14/2018	***-**-775P - RYAN, MARY	NYA JPM 529 COLLEGE AGE-BASED P A	250.00	10.13	0.00	10.13	70.0	7.09
	ACCOUNT #: 77321355401	BUY 0 Units @0.0000						
		<b>* 529 PLAN SUBTOTAL *</b>	<b>\$250.00</b>	<b>\$10.13</b>	<b>\$0.00</b>	<b>\$10.13</b>		<b>\$7.09</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
05/10/2018	***-**-3023 - DEWEY, STEVEN	AIG STRATEGIC BOND FUND CLASS A	0.00	2.58	0.00	2.58	70.0	1.81
	ACCOUNT #: 00009247327	BUY 0 Units @0.0000						
05/04/2018	***-**-943J - DAILY, MATTHEW	AMERICAN FUNDS T/E PRESERVATION POR	1,500.00	30.00	0.00	30.00	70.0	21.00
	ACCOUNT #: LSR011610	BUY 152 Units @9.8800						
05/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131582454	0.00	5.13	0.00	5.13	70.0	3.59
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
05/14/2018	***-**-162K - LANGTON,	CALVERT 131582454	0.00	2.96	0.00	2.96	70.0	2.07
	ACCOUNT #: LSR012318	BUY 1 Units @0.0000						
05/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131618704	0.00	11.86	0.00	11.86	70.0	8.30
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
05/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 13161P805	0.00	4.98	0.00	4.98	70.0	3.49
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
05/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 13161T104	0.00	1.05	0.00	1.05	70.0	0.74
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
05/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649402	0.00	5.45	0.00	5.45	70.0	3.82
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
05/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649865	0.00	3.84	0.00	3.84	70.0	2.69
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
05/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649881	0.00	3.91	0.00	3.91	70.0	2.74
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
05/02/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT CAPITAL ACCUMULATION - A	0.00	3.48	0.00	3.48	70.0	2.44
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/14/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT INTRNTL RESPBL INDEX A-NFS BUY 1 Units @0.0000	0.00	8.55	0.00	8.55	70.0	5.99
05/02/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT LONG-TERM INCOME FUND BUY 0 Units @0.0000	0.00	6.16	0.00	6.16	70.0	4.31
05/14/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT LONG-TERM INCOME FUND BUY 1 Units @0.0000	0.00	5.81	0.00	5.81	70.0	4.07
05/14/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT MODERATE ALLOCATION FUND-A BUY 1 Units @0.0000	0.00	3.07	0.00	3.07	70.0	2.15
05/02/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT SMALL CAP VALUE FUND BUY 0 Units @0.0000	0.00	6.38	0.00	6.38	70.0	4.47
05/14/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SMALL CAP VALUE FUND BUY 1 Units @0.0000	0.00	5.80	0.00	5.80	70.0	4.06
05/14/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INDEX A BUY 1 Units @0.0000	0.00	5.69	0.00	5.69	70.0	3.98
05/02/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 0 Units @0.0000	0.00	4.46	0.00	4.46	70.0	3.12
05/14/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 1 Units @0.0000	0.00	14.62	0.00	14.62	70.0	10.23
05/02/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT SOCIAL INVESTMENT FUND BOND BUY 0 Units @0.0000	0.00	2.87	0.00	2.87	70.0	2.01
05/14/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INVESTMENT FUND BOND BUY 1 Units @0.0000	0.00	14.82	0.00	14.82	70.0	10.37
05/07/2018	***-**-0485 - WHALEN, STEPHEN ACCOUNT #: 06090486215	FEDERATED 31421R841 BUY 0 Units @0.0000	0.00	5.21	0.00	5.21	70.0	3.65
05/07/2018	***-**-0485 - WHALEN, STEPHEN ACCOUNT #: 09999342087	FEDERATED MID CAP GROWTH STRATEGIES BUY 0 Units @0.0000	0.00	3.57	0.00	3.57	70.0	2.50
04/30/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 000060177044189	FRANKLIN GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	0.62	0.00	0.62	70.0	0.43
04/30/2018	***-**-5922 - HOTAILING, ACCOUNT #: 000090221306726	FRANKLIN INCOME FUND CLASS A BUY 0 Units @0.0000	0.00	10.81	0.00	10.81	70.0	7.57
04/30/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 000090235302798	FRANKLIN INCOME FUND CLASS A BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	70.0	0.17



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/30/2018	***-**-5922 - HOTALING, ACCOUNT #: 000015812472167	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	21.94	0.00	21.94	70.0	15.36
04/30/2018	***-**-5922 - HOTALING, ACCOUNT #: 000050118127094	FRK HIGH INC FND - CL A BUY 0 Units @0.0000	0.00	10.21	0.00	10.21	70.0	7.15
04/30/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 000000007278373	MUTUAL SERIES SHARES CLASS A BUY 0 Units @0.0000	0.00	0.46	0.00	0.46	70.0	0.32
05/10/2018	***-**-3023 - DEWEY, STEVEN ACCOUNT #: 00009247327	SUNAMERICA FOCUSED ALPHA GROWTH CL BUY 0 Units @0.0000	0.00	5.27	0.00	5.27	70.0	3.69
04/30/2018	***-**-5922 - HOTALING, ACCOUNT #: 000006984984022	TEMP GLOBAL BOND FND - CL A BUY 0 Units @0.0000	0.00	15.94	0.00	15.94	70.0	11.16
04/30/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 000001980815969	TEMPLETON GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	0.41	0.00	0.41	70.0	0.29
05/02/2018	***-**-5076 - TORRES, JASON ACCOUNT #: 00000751783	THE HARTFORD DIVIDEND & GROWTH FUND BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	70.0	0.25
05/02/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	WORLD VALUES INTERNATIONAL EQUITY - BUY 0 Units @0.0000	0.00	11.79	0.00	11.79	70.0	8.25
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$1,500.00</b>	<b>\$240.29</b>	<b>\$0.00</b>	<b>\$240.29</b>		<b>\$168.24</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$50,298.32</b>	<b>\$301.43</b>	<b>\$216.00</b>	<b>\$85.43</b>		<b>\$26.17</b>



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## BROKER COMMISSION TOTALS

Broker Transactions	\$26.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$26.17</b>
<b>Year-to-date Earnings</b>	<b>\$23,270.70</b>