



Concorde Investment Services, LLC

02/14/2017  
Page No. 1

# **COMMISSION STATEMENT** **February 15, 2017**

101 CFGROUP/KOSANKE  
1120 E. Long Lake Road  
Suite 250  
Troy, MI 48085

**BROKER #: 101**  
**BRANCH: CONCORDE FINANCIAL G**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
01/17/2017	SUTTON, LYNETTE	BLUEROCK TOTAL INC & REAL ESTATE FU	50,000.00	500.00	0.00	500.00	95.0	475.00
01/27/2017	SOSSI, JAMES	GRIFFIN INST ACCESS R E FUND C	22,369.74	223.70	0.00	223.70	95.0	212.52
01/27/2017	KING, DAVID	GRIFFIN INST ACCESS R E FUND C	4,980.56	49.81	0.00	49.81	95.0	47.32
12/31/2016	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND	0.00	11.71	0.00	11.71	95.0	11.12
12/31/2016	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND	0.00	23.68	0.00	23.68	95.0	22.50
12/31/2016	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND	0.00	268.33	0.00	268.33	95.0	254.91
<b>* INTERVAL FUNDS SUBTOTAL *</b>			<b>\$77,350.30</b>	<b>\$1,077.23</b>	<b>\$0.00</b>	<b>\$1,077.23</b>		<b>\$1,023.37</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/09/2017	RICHARDS, JAKOB	ALGER HEALTH SCIENCES C	100.00	1.00	0.00	1.00	95.0	0.95
01/09/2017	RICHARDS, JAKOB	ALGER LARGE CAP GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
01/09/2017	HAINES, JILL	ALLIANCEBERNSTEIN CORE OPPORTUNITIE	0.00	5.36	0.00	5.36	95.0	5.09
01/09/2017	GEORGE, RONALD	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	1.24	0.00	1.24	95.0	1.18
01/09/2017	PINKOS, CHRISTINE	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.06	0.00	0.06	95.0	0.06
01/09/2017	HAINES, JILL	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	25.28	0.00	25.28	95.0	24.02
01/09/2017	SEBLANI, MUSTAPHA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	16.14	0.00	16.14	95.0	15.33
01/09/2017	FLANNERY, CHRISTIAN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	1.29	0.00	1.29	95.0	1.23
01/09/2017	KIEFHABER, JEAN	ALLIANCEBERNSTEIN GLOBAL BOND A	0.00	5.81	0.00	5.81	95.0	5.52
01/09/2017	BRADEN, TERRI	ALLIANCEBERNSTEIN GROWTH A	0.00	10.16	0.00	10.16	95.0	9.65
01/09/2017	HARTOUNIAN, HAGOB BEN	ALLIANCEBERNSTEIN GROWTH AND INC C	0.00	5.50	0.00	5.50	95.0	5.23
01/09/2017	BRADEN, TERRI	ALLIANCEBERNSTEIN GROWTH B	0.00	5.21	0.00	5.21	95.0	4.95
01/20/2017	BRADEN, TERRI	ALLIANCEBERNSTEIN GROWTH B	50.00	2.00	0.00	2.00	95.0	1.90
01/09/2017	MOILANEN, STEPHEN	ALLIANCEBERNSTEIN HIGH INCOME A	0.00	2.12	0.00	2.12	95.0	2.01
01/09/2017	HAINES, JILL	ALLIANCEBERNSTEIN INTL VALUE C	0.00	3.11	0.00	3.11	95.0	2.95
01/09/2017	GEORGE, RONALD	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	1.52	0.00	1.52	95.0	1.44
01/09/2017	HAINES, JILL	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	0.00	46.25	0.00	46.25	95.0	43.94
01/09/2017	SEBLANI, MUSTAPHA	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	0.00	21.38	0.00	21.38	95.0	20.31

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
01/09/2017	HARTOUNIAN, HAGOB BEN	ALLIANCEBERNSTEIN SMALL CAP GROWTH	0.00	5.26	0.00	5.26	95.0	5.00
01/09/2017	HAINES, JILL	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	6.96	0.00	6.96	95.0	6.61
01/09/2017	OPALESKI, SCOTT	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	0.89	0.00	0.89	95.0	0.85
01/09/2017	KING, MACKENZIE	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	1.06	0.00	1.06	95.0	1.01
01/09/2017	LYNN GOODRICH JT TEN, CHERIL	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	0.82	0.00	0.82	95.0	0.78
01/09/2017	KING, RITA	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	1.01	0.00	1.01	95.0	0.96
01/09/2017	KING, COURTNEY	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	1.03	0.00	1.03	95.0	0.98
01/09/2017	HAINES, JILL	ALLIANCEBERNSTEIN VALUE FUND C	0.00	3.72	0.00	3.72	95.0	3.53
01/25/2017	SILVERSTEIN, MICHAEL	AM FUNDS AMCAP A	50.00	2.13	0.00	2.13	95.0	2.02
02/02/2017	KUCHAREK, KAREN	AM FUNDS AMERICAN MUTUAL C	6,212.72	62.13	0.00	62.13	95.0	59.02
01/23/2017	CHALKEY, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	17.67	0.75	0.00	0.75	95.0	0.71
01/23/2017	CHALKEY, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	21.21	0.90	0.00	0.90	95.0	0.86
01/23/2017	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	35.00	1.75	0.00	1.75	95.0	1.66
01/23/2017	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	35.00	1.75	0.00	1.75	95.0	1.66
01/27/2017	LUMETTA II, SALVATORE	AM FUNDS CAPITAL WORLD BOND 529A	100.00	2.75	0.00	2.75	95.0	2.61
01/27/2017	LUMETTA II, SALVATORE	AM FUNDS CAPITAL WORLD BOND 529A	100.00	2.75	0.00	2.75	95.0	2.61
01/27/2017	LUMETTA, AMY	AM FUNDS CAPITAL WORLD BOND A	100.00	2.75	0.00	2.75	95.0	2.61
01/23/2017	SILVERSTEIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
02/03/2017	BOWEN, ED	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	4.25	0.00	4.25	95.0	4.04
02/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	95.0	2.02
02/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	95.0	2.02
02/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	95.0	2.02
02/03/2017	BOWEN, ED	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	4.25	0.00	4.25	95.0	4.04
02/01/2017	BAILEY, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	95.0	2.38
02/03/2017	KEMP, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	95.0	2.02
01/30/2017	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
01/31/2017	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
02/01/2017	BAILEY, STEVEN	AM FUNDS ICA A	50.00	2.50	0.00	2.50	95.0	2.38
^02/02/2017	MCLAUGHLIN, TRACY	AM FUNDS ICA A	150.00	7.50	0.00	7.50	95.0	0.00
01/23/2017	CHALKEY, MICHAEL	AM FUNDS NEW WORLD A	53.03	2.25	0.00	2.25	95.0	2.14
01/23/2017	CHALKEY, MICHAEL	AM FUNDS NEW WORLD A	21.21	0.90	0.00	0.90	95.0	0.86
01/23/2017	BUTT, DENNIS	AM FUNDS NEW WORLD A	105.00	5.25	0.00	5.25	95.0	4.99
01/23/2017	BUTT, DENNIS	AM FUNDS NEW WORLD A	105.00	5.25	0.00	5.25	95.0	4.99

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/30/2017	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
01/25/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
01/30/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
01/30/2017	CFGROUP, VARIOUS	BLK MOD 0-5 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
01/30/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
01/30/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
01/30/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
02/03/2017	MERRITT, KATHY	COLUMBIA ACORN A	0.00	8.70	0.00	8.70	95.0	8.27
02/03/2017	EQUITY TRUST CO	COLUMBIA ACORN A	0.00	5.30	0.00	5.30	95.0	5.04
02/03/2017	SMARK, CHARLES	COLUMBIA ACORN A	0.00	5.95	0.00	5.95	95.0	5.65
02/03/2017	KUCHAREK, PAUL	COLUMBIA ACORN A	0.00	1.10	0.00	1.10	95.0	1.05
02/03/2017	MOORE, DONYELLE	COLUMBIA EQUITY VALUE A	0.00	0.87	0.00	0.87	95.0	0.83
02/03/2017	MUNTEANU, RADU	COLUMBIA GREATER CHINA A	0.00	6.13	0.00	6.13	95.0	5.82
02/03/2017	KLEMPNER TRUST, VLADIMIR	COLUMBIA INCOME BUILDER FUND A	0.00	8.95	0.00	8.95	95.0	8.50
02/03/2017	SMYTH, DAVID	COLUMBIA LARGE CAP GROWTH A	0.00	2.88	0.00	2.88	95.0	2.74
02/03/2017	MOORE, DONYELLE	COLUMBIA MIDCAP VALUE A	0.00	8.48	0.00	8.48	95.0	8.06
02/03/2017	KULA, THOMAS	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.40	0.00	2.40	95.0	2.28
02/03/2017	HOSKIN, JOHN	COLUMBIA SELIGMAN COMM AND INFO A	0.00	4.85	0.00	4.85	95.0	4.61
02/03/2017	SWIDER, ROB	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.01	0.00	5.01	95.0	4.76
02/03/2017	VANMEER, MICHAEL	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.98	0.00	2.98	95.0	2.83
02/03/2017	SCULLY, DOROTHY	COLUMBIA SELIGMAN COMM AND INFO C	0.00	37.92	0.00	37.92	95.0	36.02
02/03/2017	MOORE, DONYELLE	COLUMBIA SMALL CAP VALUE I A	0.00	4.74	0.00	4.74	95.0	4.50
02/03/2017	KOSUTH, SARA	COLUMBIA STRATEGIC INVESTOR A	0.00	6.09	0.00	6.09	95.0	5.79
02/03/2017	KUCHAREK, EMMA	COLUMBIA STRATEGIC INVESTOR A	0.00	0.18	0.00	0.18	95.0	0.17
02/03/2017	KUCHAREK, PETER	COLUMBIA STRATEGIC INVESTOR A	0.00	0.18	0.00	0.18	95.0	0.17
02/03/2017	KUCHAREK, GILLIAN	COLUMBIA STRATEGIC INVESTOR A	0.00	0.18	0.00	0.18	95.0	0.17
02/03/2017	KUCHAREK, SARAH	COLUMBIA STRATEGIC INVESTOR A	0.00	0.18	0.00	0.18	95.0	0.17
02/03/2017	KINGSLEY, BRIAN GENE	COLUMBIA STRATEGIC INVESTOR A	0.00	2.50	0.00	2.50	95.0	2.38
02/03/2017	KUCHAREK, MIRIAM	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
01/17/2017	ROBINETTE, JANET	DAVIS NEW YORK VENTURE A	50.00	2.00	0.00	2.00	95.0	1.90
^01/30/2017	OVERMYER, STEVEN	DAVIS NEW YORK VENTURE A	5,000.00	200.00	0.00	200.00	95.0	0.00
01/17/2017	MORICI, MICHELLE	DAVIS NEW YORK VENTURE B	50.00	2.00	0.00	2.00	95.0	1.90
01/17/2017	GARLAND, DAWN	DAVIS NEW YORK VENTURE B	30.00	1.20	0.00	1.20	95.0	1.14

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/30/2017	PISTOLESI, VAUGHN	DAVIS NEW YORK VENTURE B	200.00	8.00	0.00	8.00	95.0	7.60
01/30/2017	RITTER, WILLIAM	DAVIS NEW YORK VENTURE B	25.00	1.00	0.00	1.00	95.0	0.95
01/30/2017	PISTOLESI, MORGAN	DAVIS NEW YORK VENTURE B	100.00	4.00	0.00	4.00	95.0	3.80
01/11/2017	GREEN, RICHARD	EATON VANCE TAX MANAGED GROWTH FD 1	0.00	5.63	0.00	5.63	95.0	5.35
02/01/2017	HOFER, KATHLEEN	FEDERATED BOND A	0.00	1.58	0.00	1.58	95.0	1.50
02/01/2017	ELLIOT, PATRICIA	FEDERATED EQUITY INCOME A	0.00	10.13	0.00	10.13	95.0	9.62
02/01/2017	KING, VICTORIA	FEDERATED KAUFMANN FUND A	0.00	8.37	0.00	8.37	95.0	7.95
02/01/2017	ELLIOT, JAMES	FEDERATED KAUFMANN FUND-C	0.00	8.98	0.00	8.98	95.0	8.53
02/01/2017	ELLIOT, JAMES	FEDERATED KAUFMANN FUND-C	0.00	26.95	0.00	26.95	95.0	25.60
02/01/2017	FINCH, BEVERLY	FEDERATED SVCS CORP	0.00	0.10	0.00	0.10	95.0	0.10
02/01/2017	HEGEL, SUSAN	FEDERATED US GOV SEC A	0.00	2.41	0.00	2.41	95.0	2.29
02/01/2017	KUKIELKA, LOTTIE	FEDERATED US GOV SEC A	0.00	6.56	0.00	6.56	95.0	6.23
/ /	BLEIL, STEPHEN	FIDELITY ADV GOLD	0.00	0.09	0.00	0.09	95.0	0.09
/ /	ROCCA, JACQUELYN	FIDELITY ADV GROWTH OPP	0.00	0.44	0.00	0.44	95.0	0.42
/ /	ROCCA, ALEX	FIDELITY ADV GROWTH OPP	0.00	0.55	0.00	0.55	95.0	0.52
/ /	ROCCA, AARON	FIDELITY ADV GROWTH OPP	0.00	0.55	0.00	0.55	95.0	0.52
/ /	MCQUEENEY, JULIE	FIDELITY ADV GROWTH OPP	0.00	0.89	0.00	0.89	95.0	0.85
/ /	FELIS-ROSS, CAROL	FIDELITY ADV GROWTH OPP	0.00	0.11	0.00	0.11	95.0	0.10
/ /	SCALISE, CYNTHIA	FIDELITY ADV GROWTH OPP	0.00	1.04	0.00	1.04	95.0	0.99
/ /	DUDZIK, ELZBIETA	FIDELITY ADV GROWTH OPP	0.00	21.45	0.00	21.45	95.0	20.38
/ /	VIVIANO, JASON	FIDELITY ADV GROWTH OPP A	0.00	1.47	0.00	1.47	95.0	1.40
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV HEALTH CARE T	0.00	11.10	0.00	11.10	95.0	10.55
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV LARGE CAP	0.00	8.76	0.00	8.76	95.0	8.32
/ /	KULBACK, WILLIAM	FIDELITY ADV LARGE CAP A	0.00	4.92	0.00	4.92	95.0	4.67
/ /	HERWARTH, CHARLES	FIDELITY ADV LARGE CAP A	0.00	1.74	0.00	1.74	95.0	1.65
/ /	FELIS-ROSS, CAROL	FIDELITY ADV MORTGAGE SECURITIES T	0.00	0.16	0.00	0.16	95.0	0.15
/ /	SCALISE, CYNTHIA	FIDELITY ADV MORTGAGE SECURITIES T	0.00	1.62	0.00	1.62	95.0	1.54
/ /	KULBACK, WILLIAM	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	3.63	0.00	3.63	95.0	3.45
/ /	HERWARTH, CHARLES	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	1.84	0.00	1.84	95.0	1.75
/ /	DUDZIK, ELZBIETA	FIDELITY BALANCED T	0.00	19.55	0.00	19.55	95.0	18.57
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MARKETS	0.00	0.10	0.00	0.10	95.0	0.10
/ /	ROCCA, ALEX	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	ROCCA, AARON	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MARKETS	0.00	3.43	0.00	3.43	95.0	3.26
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MKTS T	0.00	0.21	0.00	0.21	95.0	0.20
/ /	ROCCA, ALEX	FIDELITY EMERGING MKTS T	0.00	0.13	0.00	0.13	95.0	0.12
/ /	ROCCA, AARON	FIDELITY EMERGING MKTS T	0.00	0.13	0.00	0.13	95.0	0.12
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MKTS T	0.00	5.39	0.00	5.39	95.0	5.12
/ /	KULBACK, WILLIAM	FIDELITY EQUITY GROWTH A	0.00	4.24	0.00	4.24	95.0	4.03
/ /	HERWARTH, CHARLES	FIDELITY EQUITY GROWTH A	0.00	1.52	0.00	1.52	95.0	1.44
/ /	BESS, JAMES	FIDELITY EQUITY GROWTH A	0.00	2.31	0.00	2.31	95.0	2.19
/ /	ZOBOSKI, JAYNE	FIDELITY EQUITY INCOME A	0.00	3.40	0.00	3.40	95.0	3.23
/ /	FRINTNER, SEAN EDWARD	FIDELITY EQUITY INCOME A	0.00	1.19	0.00	1.19	95.0	1.13
/ /	ZERILLI, ROSA	FIDELITY GROWTH & INCOME A	0.00	1.43	0.00	1.43	95.0	1.36
/ /	HERWARTH, CHARLES	FIDELITY TECHNOLOGY A	0.00	1.12	0.00	1.12	95.0	1.06
/ /	ZERILLI, ROSA	FIDELITY TECHNOLOGY A	0.00	1.35	0.00	1.35	95.0	1.28
/ /	ROHLOFF, SHARON	FIDELITY TECHNOLOGY A	0.00	0.47	0.00	0.47	95.0	0.45
/ /	BEARD, LATOYA	FIDELITY TECHNOLOGY A	0.00	0.02	0.00	0.02	95.0	0.02
01/31/2017	WILLIAMSON, CHLOE	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	0.41	0.00	0.41	95.0	0.39
01/31/2017	TAYLOR, DAVID	FRANKLIN BALANCE SHEET INVST A	0.00	0.20	0.00	0.20	95.0	0.19
01/31/2017	EILLISON, BARBARA	FRANKLIN CA TF INCOME A	0.00	24.16	0.00	24.16	95.0	22.95
01/31/2017	CASTELLI, FRED	FRANKLIN CA TF INCOME A	0.00	1.93	0.00	1.93	95.0	1.83
01/31/2017	PRICE, PATRICIA	FRANKLIN CONV SEC A	0.00	0.96	0.00	0.96	95.0	0.91
01/31/2017	OSBORN, RICHARD	FRANKLIN DYNATECH A	0.00	4.71	0.00	4.71	95.0	4.47
01/31/2017	WATSON, ROBERT	FRANKLIN DYNATECH C	0.00	7.67	0.00	7.67	95.0	7.29
01/31/2017	SCHONER, ALAN	FRANKLIN EQUITY INCOME A	0.00	16.70	0.00	16.70	95.0	15.87
01/31/2017	PRICE, PATRICIA	FRANKLIN EQUITY INCOME A	0.00	4.48	0.00	4.48	95.0	4.26
01/31/2017	KRAUSE, BARBARA	FRANKLIN FED TAX FREE INCOME A	0.00	36.46	0.00	36.46	95.0	34.64
01/31/2017	KRAUSE, MICHAEL	FRANKLIN FED TAX FREE INCOME A	0.00	1.05	0.00	1.05	95.0	1.00
01/31/2017	HENKIN TRUST, RAYMOND & EDEN	FRANKLIN FED TAX FREE INCOME A	0.00	6.38	0.00	6.38	95.0	6.06
01/31/2017	WILLIAMSON, CHLOE	FRANKLIN FOUNDING FUNDS 529 PORT C	0.00	2.00	0.00	2.00	95.0	1.90
01/31/2017	ROBINSON, BRUCE	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.61	0.00	2.61	95.0	2.48
01/31/2017	MILLARD, PATRICIA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	0.67	0.00	0.67	95.0	0.64
01/31/2017	LOFTUS, SUSAN	FRANKLIN GOLD & PRECIOUS METALS A	0.00	0.92	0.00	0.92	95.0	0.87
01/31/2017	MICHON, BARBARA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.16	0.00	1.16	95.0	1.10
01/31/2017	RYAN, ANDREW	FRANKLIN GOLD & PRECIOUS METALS A	0.00	8.33	0.00	8.33	95.0	7.91

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
01/31/2017	AKERS, JAMES	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.79	0.00	1.79	95.0	1.70
01/31/2017	MICHON, ERIK	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.01	0.00	2.01	95.0	1.91
01/31/2017	PATRICK, BEVERLY	FRANKLIN GROWTH A	0.00	1.13	0.00	1.13	95.0	1.07
01/31/2017	GREGORY J ELBERT, C/O	FRANKLIN GROWTH A	0.00	0.31	0.00	0.31	95.0	0.29
01/31/2017	SAAR, ALEXIS	FRANKLIN GROWTH A	0.00	0.22	0.00	0.22	95.0	0.21
01/31/2017	FORD, JOHN	FRANKLIN GROWTH A	0.00	0.18	0.00	0.18	95.0	0.17
01/31/2017	OBIE, THOMAS	FRANKLIN GROWTH A	0.00	9.66	0.00	9.66	95.0	9.18
01/25/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
01/31/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	0.00	92.97	0.00	92.97	95.0	88.32
01/31/2017	WILEY, MATTALYN	FRANKLIN GROWTH OPP A	0.00	0.48	0.00	0.48	95.0	0.46
01/31/2017	OSBORN, RICHARD	FRANKLIN GROWTH OPP A	0.00	2.72	0.00	2.72	95.0	2.58
01/31/2017	FORD, JOHN	FRANKLIN GROWTH OPP A	0.00	0.47	0.00	0.47	95.0	0.45
01/31/2017	HENKIN TRUST, RAYMOND & EDEN	FRANKLIN HIGH INCOME A	0.00	12.27	0.00	12.27	95.0	11.66
01/31/2017	CELANI, JOSEPH	FRANKLIN HIGH INCOME A	0.00	4.31	0.00	4.31	95.0	4.09
01/31/2017	CELANI, MAURIZIO	FRANKLIN HIGH INCOME A	0.00	12.90	0.00	12.90	95.0	12.26
01/31/2017	PRICE, PATRICIA	FRANKLIN HIGH INCOME A	0.00	0.55	0.00	0.55	95.0	0.52
01/31/2017	MANNING, JOHN	FRANKLIN HIGH INCOME C	0.00	42.93	0.00	42.93	95.0	40.78
01/31/2017	OBIE, THOMAS	FRANKLIN HIGH YIELD TAX FREE A	0.00	2.55	0.00	2.55	95.0	2.42
01/31/2017	SCHARL, MICHAEL	FRANKLIN HIGH YIELD TAX FREE A	0.00	0.55	0.00	0.55	95.0	0.52
01/31/2017	RUTKOWSKI, DONALD	FRANKLIN HIGH YIELD TAX FREE A	0.00	11.22	0.00	11.22	95.0	10.66
01/31/2017	FISK, RALPH	FRANKLIN HIGH YIELD TAX FREE A	0.00	33.17	0.00	33.17	95.0	31.51
01/31/2017	DYER, TRACY	FRANKLIN HIGH YIELD TAX FREE A	0.00	0.35	0.00	0.35	95.0	0.33
01/31/2017	KLEIN TRUST, NICHOLAS	FRANKLIN HIGH YIELD TAX FREE A	0.00	18.52	0.00	18.52	95.0	17.59
01/31/2017	CHADICK TRUST	FRANKLIN INCOME A	0.00	4.25	0.00	4.25	95.0	4.04
01/31/2017	LAZARUS, CONSTANTINE	FRANKLIN INCOME A	0.00	59.18	0.00	59.18	95.0	56.22
01/31/2017	LAZARUS, HELEN	FRANKLIN INCOME A	0.00	26.33	0.00	26.33	95.0	25.01
01/31/2017	SMYKOWSKI, JAMES	FRANKLIN INCOME A	0.00	24.99	0.00	24.99	95.0	23.74
01/31/2017	WILSON, JANET	FRANKLIN INCOME A	0.00	0.67	0.00	0.67	95.0	0.64
01/31/2017	HATFIELD MCDUFFIE, JOYCE	FRANKLIN INCOME A	0.00	3.09	0.00	3.09	95.0	2.94
01/31/2017	MATSURA, MATTHEW	FRANKLIN INCOME A	0.00	7.18	0.00	7.18	95.0	6.82
01/31/2017	ALLEN, LAUREN	FRANKLIN INCOME A	0.00	6.57	0.00	6.57	95.0	6.24
01/31/2017	MATHEY, ERIN	FRANKLIN INCOME A	0.00	1.78	0.00	1.78	95.0	1.69
01/31/2017	GOUDREAU, RACHEL	FRANKLIN INCOME A	0.00	1.73	0.00	1.73	95.0	1.64

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/31/2017	DAVIS, JAMES	FRANKLIN INCOME A	0.00	0.31	0.00	0.31	95.0	0.29
01/31/2017	MATYASIC, JULIE	FRANKLIN INCOME A	0.00	2.82	0.00	2.82	95.0	2.68
01/31/2017	RICHARDSON, BLAKE	FRANKLIN INCOME A	0.00	2.87	0.00	2.87	95.0	2.73
01/31/2017	NELLES, TROY	FRANKLIN INCOME A	0.00	0.45	0.00	0.45	95.0	0.43
01/31/2017	CHANDLER, JOHN	FRANKLIN INCOME A	0.00	6.88	0.00	6.88	95.0	6.54
01/31/2017	CHANDLER, CHARLES	FRANKLIN INCOME A	0.00	6.88	0.00	6.88	95.0	6.54
01/31/2017	PRICE, PATRICIA	FRANKLIN INCOME A	0.00	3.38	0.00	3.38	95.0	3.21
01/31/2017	PAUL, DARLEEN	FRANKLIN INCOME A	0.00	0.99	0.00	0.99	95.0	0.94
01/31/2017	DAVIS, JAMES	FRANKLIN INCOME A	0.00	16.73	0.00	16.73	95.0	15.89
01/31/2017	DAVIS, RITA	FRANKLIN INCOME A	0.00	18.86	0.00	18.86	95.0	17.92
01/31/2017	DENNIS L FUSINSKI, BENE	FRANKLIN INCOME A	0.00	10.85	0.00	10.85	95.0	10.31
01/31/2017	MANOLATOS, STEVE	FRANKLIN INCOME A	0.00	25.15	0.00	25.15	95.0	23.89
01/31/2017	KLEIN, ANNA	FRANKLIN INCOME A	0.00	36.45	0.00	36.45	95.0	34.63
01/31/2017	HENKIN TRUST, RAYMOND & EDEN	FRANKLIN INS TAX FREE INCOME A	0.00	2.93	0.00	2.93	95.0	2.78
01/31/2017	DAVIS, JAMES	FRANKLIN INT'L SMALL CAP GROWTH	0.00	18.04	0.00	18.04	95.0	17.14
01/31/2017	MALLIA, JOSEPH	FRANKLIN MI TAX FR INCOME A	0.00	8.23	0.00	8.23	95.0	7.82
01/31/2017	STROUSE, MARILYN	FRANKLIN MI TAX FR INCOME A	0.00	20.67	0.00	20.67	95.0	19.64
01/31/2017	BELSER, JOHN	FRANKLIN MI TAX FR INCOME A	0.00	3.23	0.00	3.23	95.0	3.07
01/31/2017	BROWN, KAY	FRANKLIN MI TAX FR INCOME A	0.00	5.33	0.00	5.33	95.0	5.06
01/31/2017	LAGA, LAURA	FRANKLIN MI TAX FR INCOME A	0.00	1.52	0.00	1.52	95.0	1.44
01/31/2017	WORTHY, MILDRED	FRANKLIN MI TAX FR INCOME A	0.00	5.87	0.00	5.87	95.0	5.58
01/31/2017	SMITH, JILL	FRANKLIN MI TAX FR INCOME A	0.00	2.46	0.00	2.46	95.0	2.34
01/31/2017	SZOCINSKI, CASIMER	FRANKLIN MI TAX FR INCOME A	0.00	5.31	0.00	5.31	95.0	5.04
01/31/2017	JENDRUSINA, ROGER	FRANKLIN MI TAX FR INCOME A	0.00	10.94	0.00	10.94	95.0	10.39
01/31/2017	ULISSE, DONALD	FRANKLIN MUTUAL BEACON C	0.00	806.39	0.00	806.39	95.0	766.07
01/31/2017	PRICE, PATRICIA	FRANKLIN MUTUAL SHARES A	0.00	7.08	0.00	7.08	95.0	6.73
01/31/2017	LOFTUS, SUSAN	FRANKLIN NATURAL RESOURCES A	0.00	0.42	0.00	0.42	95.0	0.40
01/31/2017	PRICE, PATRICIA	FRANKLIN RISING DIVIDENDS A	0.00	5.84	0.00	5.84	95.0	5.55
01/31/2017	RUSHLOW, JEAN	FRANKLIN RISING DIVIDENDS A	0.00	12.23	0.00	12.23	95.0	11.62
01/31/2017	RUSHLOW, JEAN	FRANKLIN RISING DIVIDENDS A	0.00	7.31	0.00	7.31	95.0	6.94
01/31/2017	RUSHLOW, LAWRENCE	FRANKLIN RISING DIVIDENDS A	0.00	12.75	0.00	12.75	95.0	12.11
01/31/2017	RUSHLOW, LAWRENCE	FRANKLIN RISING DIVIDENDS A	0.00	7.31	0.00	7.31	95.0	6.94
01/31/2017	MANOLATOS, STEVE	FRANKLIN RISING DIVIDENDS A	0.00	76.13	0.00	76.13	95.0	72.32

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/31/2017	SALLEY, PAMELA	FRANKLIN SMALL CAP GROWTH A	0.00	0.59	0.00	0.59	95.0	0.56
01/31/2017	OBIE, THOMAS	FRANKLIN SMALL CAP VALUE A	0.00	5.99	0.00	5.99	95.0	5.69
01/31/2017	OBIE, MARCIA	FRANKLIN SMALL CAP VALUE A	0.00	10.60	0.00	10.60	95.0	10.07
01/31/2017	DAIZA, PHILLIP	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	0.80	0.00	0.80	95.0	0.76
01/31/2017	MATYASIC, JULIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	4.29	0.00	4.29	95.0	4.08
01/31/2017	SWARTZ, ERIC	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	9.60	0.00	9.60	95.0	9.12
01/31/2017	ELBERT, NATHANIAL	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	0.22	0.00	0.22	95.0	0.21
01/31/2017	OBIE, THOMAS	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	6.41	0.00	6.41	95.0	6.09
01/31/2017	OBIE, MARCIA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	9.54	0.00	9.54	95.0	9.06
01/31/2017	WULFF, STEVEN	FRANKLIN STRATEGIC INCOME C	0.00	10.11	0.00	10.11	95.0	9.60
01/31/2017	BELSER, HELEN	FRANKLIN TN MUNI BOND A	0.00	6.81	0.00	6.81	95.0	6.47
01/31/2017	GIRTY, MABLE	FRANKLIN US GOVT SEC FUND A	0.00	5.26	0.00	5.26	95.0	5.00
01/31/2017	SCHORNAK-YEE, DEBRA	FRANKLIN US GOVT SEC FUND A	0.00	0.75	0.00	0.75	95.0	0.71
01/31/2017	MICHAELS, RONALD	FRANKLIN US GOVT SEC FUND A	0.00	10.71	0.00	10.71	95.0	10.17
01/31/2017	MICHAELS, RENEE	FRANKLIN US GOVT SEC FUND A	0.00	2.31	0.00	2.31	95.0	2.19
01/31/2017	WORTHY, MILDRED	FRANKLIN US GOVT SEC FUND A	0.00	4.71	0.00	4.71	95.0	4.47
01/31/2017	HENKIN TRUST, RAYMOND & EDEN	FRANKLIN US GOVT SEC FUND A	0.00	0.64	0.00	0.64	95.0	0.61
01/31/2017	MICHAELS, RICK	FRANKLIN US GOVT SEC FUND A	0.00	18.47	0.00	18.47	95.0	17.55
01/31/2017	MEHLER, BENE	FRANKLIN US GOVT SEC FUND A	0.00	0.42	0.00	0.42	95.0	0.40
01/31/2017	LORI RUTH THOMASSY, BENE	FRANKLIN US GOVT SEC FUND A	0.00	0.50	0.00	0.50	95.0	0.48
01/31/2017	OBIE, THOMAS	FRANKLIN US GOVT SEC FUND A	0.00	35.28	0.00	35.28	95.0	33.52
01/31/2017	OBIE, MARCIA	FRANKLIN US GOVT SEC FUND A	0.00	11.14	0.00	11.14	95.0	10.58
01/31/2017	OBIE, BRADFORD	FRANKLIN US GOVT SEC FUND A	0.00	6.63	0.00	6.63	95.0	6.30
01/31/2017	WULFF, STEVEN	FRANKLIN US GOVT SEC FUND C	0.00	10.59	0.00	10.59	95.0	10.06
01/31/2017	BARTOLONE, DANA	FRANKLIN US GOVT SEC FUND C	0.00	34.87	0.00	34.87	95.0	33.13
01/31/2017	MCKENZIE TRUST, WALTER &	FRANKLIN UTILITIES A	0.00	3.84	0.00	3.84	95.0	3.65
01/31/2017	MAY, PEGGY	FRANKLIN UTILITIES A	0.00	1.03	0.00	1.03	95.0	0.98
01/31/2017	BAEKELANDT, KARL	FRANKLIN UTILITIES A	0.00	20.89	0.00	20.89	95.0	19.85
01/31/2017	WATTS, MARIA	FRANKLIN UTILITIES A	0.00	0.23	0.00	0.23	95.0	0.22
01/31/2017	SEVESTIAN JR, JOHN	FRANKLIN UTILITIES A	0.00	0.86	0.00	0.86	95.0	0.82
01/31/2017	MACCAGNONE, GARY	FT FOUNDING FDS ALLOC C	0.00	2.02	0.00	2.02	95.0	1.92
01/31/2017	DUDA, ANNA	FT FOUNDING FUNDS ALLOC A	0.00	3.20	0.00	3.20	95.0	3.04
/ /	BERDESKI, AUDREY	GUGGENHEIM NASDAQ 100-H	0.00	1.20	0.00	1.20	95.0	1.14

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	KANAKRI, JOSEPH	GUGGENHEIM NASDAQ 100-H	0.00	0.54	0.00	0.54	95.0	0.51
/ /	WAGNER, SHARON	GUGGENHEIM NASDAQ 100-H	0.00	0.39	0.00	0.39	95.0	0.37
/ /	OVERMYER, SUSAN	HARTFORD CAPITAL APP II A	0.00	7.35	0.00	7.35	95.0	6.98
/ /	ROCCA, HELEN	HARTFORD CAPITAL APPRECIATION A	0.00	0.58	0.00	0.58	95.0	0.55
/ /	HARTMAN, GREGORY	HARTFORD CAPITAL APPRECIATION A	0.00	0.79	0.00	0.79	95.0	0.75
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD CAPITAL APPRECIATION C	0.00	4.87	0.00	4.87	95.0	4.63
/ /	OVERMYER, SUSAN	HARTFORD DIVIDEND & GROWTH A	0.00	21.42	0.00	21.42	95.0	20.35
/ /	COLE, JAMES WILLIAM	HARTFORD DIVIDEND & GROWTH C	0.00	12.18	0.00	12.18	95.0	11.57
/ /	HARTMAN, GREGORY	HARTFORD HEALTHCARE A	0.00	0.71	0.00	0.71	95.0	0.67
/ /	OVERMYER, SUSAN	HARTFORD HEALTHCARE A	0.00	35.20	0.00	35.20	95.0	33.44
/ /	RUFFINO, ERNESTINE	HARTFORD HEALTHCARE A	0.00	18.60	0.00	18.60	95.0	17.67
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD HEALTHCARE C	0.00	4.39	0.00	4.39	95.0	4.17
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP A	0.00	1.87	0.00	1.87	95.0	1.78
/ /	OVERMYER, SUSAN	HARTFORD MIDCAP A	0.00	23.36	0.00	23.36	95.0	22.19
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD MIDCAP C	0.00	4.18	0.00	4.18	95.0	3.97
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP VALUE A	0.00	1.12	0.00	1.12	95.0	1.06
/ /	HARTMAN, GREGORY	HARTFORD SCHRDRS US SM MD CAP OPP-A	0.00	1.22	0.00	1.22	95.0	1.16
/ /	HARTMAN, GREGORY	HARTFORD SMALLCAP GROWTH A	0.00	3.62	0.00	3.62	95.0	3.44
/ /	HARTMAN, GREGORY	HARTFUND EQUITY INCIME FUND A	0.00	1.75	0.00	1.75	95.0	1.66
01/25/2017	DAIZA, PHILLIP	INVESCO CHARTER FUND C	50.00	0.50	0.00	0.50	95.0	0.48
01/23/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	43.90	2.09	0.00	2.09	95.0	1.99
01/23/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	37.50	1.78	0.00	1.78	95.0	1.69
01/23/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	62.50	2.50	0.00	2.50	95.0	2.38
01/23/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	56.95	2.28	0.00	2.28	95.0	2.17
01/17/2017	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
01/17/2017	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
01/17/2017	DINKA, JOHN	OPPENHEIMER CAPITAL APPRECIATION C	1,200.00	12.00	0.00	12.00	95.0	11.40
01/17/2017	DINKA, JOHN	OPPENHEIMER CAPITAL APPRECIATION C	509.58	5.10	0.00	5.10	95.0	4.85
01/17/2017	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	120.00	1.20	0.00	1.20	95.0	1.14
01/17/2017	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	64.33	0.64	0.00	0.64	95.0	0.61
01/23/2017	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	118.08	1.18	0.00	1.18	95.0	1.12
01/23/2017	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	40.38	0.40	0.00	0.40	95.0	0.38
01/27/2017	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	23.88	0.24	0.00	0.24	95.0	0.23

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/27/2017	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	14.33	0.14	0.00	0.14	95.0	0.13
01/27/2017	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	255.00	2.55	0.00	2.55	95.0	2.42
01/27/2017	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	57.12	0.57	0.00	0.57	95.0	0.54
01/27/2017	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	61.23	0.61	0.00	0.61	95.0	0.58
01/27/2017	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	38.66	0.39	0.00	0.39	95.0	0.37
01/11/2017	GREEN, RICHARD	OPPENHEIMER CAPITAL INCOME A	0.00	3.52	0.00	3.52	95.0	3.34
01/23/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	43.90	2.09	0.00	2.09	95.0	1.99
01/23/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	37.50	1.78	0.00	1.78	95.0	1.69
01/23/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	62.50	2.50	0.00	2.50	95.0	2.38
01/23/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	56.95	2.28	0.00	2.28	95.0	2.17
01/17/2017	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	60.00	0.60	0.00	0.60	95.0	0.57
01/17/2017	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	32.16	0.32	0.00	0.32	95.0	0.30
01/27/2017	DAMORE, MONICA	OPPENHEIMER DEVELOPING MARKETS C	36.71	0.37	0.00	0.37	95.0	0.35
01/27/2017	DAMORE, MONICA	OPPENHEIMER DEVELOPING MARKETS C	6.48	0.06	0.00	0.06	95.0	0.06
01/27/2017	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	36.71	0.37	0.00	0.37	95.0	0.35
01/27/2017	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	6.48	0.06	0.00	0.06	95.0	0.06
01/17/2017	DINKA, CATHERINE	OPPENHEIMER EQUITY C	900.00	9.00	0.00	9.00	95.0	8.55
01/17/2017	DINKA, CATHERINE	OPPENHEIMER EQUITY C	157.18	1.57	0.00	1.57	95.0	1.49
01/27/2017	DAMORE, MONICA	OPPENHEIMER EQUITY INCOME C	73.41	0.73	0.00	0.73	95.0	0.69
01/27/2017	DAMORE, MONICA	OPPENHEIMER EQUITY INCOME C	12.96	0.13	0.00	0.13	95.0	0.12
01/11/2017	GREEN, RICHARD	OPPENHEIMER GLOBAL A	0.00	11.64	0.00	11.64	95.0	11.06
01/27/2017	ELIAS, JANICE	OPPENHEIMER GLOBAL C	23.17	0.23	0.00	0.23	95.0	0.22
01/27/2017	ELIAS, JANICE	OPPENHEIMER GLOBAL C	13.90	0.14	0.00	0.14	95.0	0.13
01/27/2017	DITRI, ANN	OPPENHEIMER GLOBAL C	247.50	2.48	0.00	2.48	95.0	2.36
01/27/2017	DITRI, ANN	OPPENHEIMER GLOBAL C	55.44	0.55	0.00	0.55	95.0	0.52
01/17/2017	SMITH, ASHLEY	OPPENHEIMER GLOBAL OPPORTUNITIES A	22.50	1.07	0.00	1.07	95.0	1.02
01/17/2017	SMITH, ASHLEY	OPPENHEIMER GLOBAL OPPORTUNITIES A	22.50	1.07	0.00	1.07	95.0	1.02
01/17/2017	DINKA, CATHERINE	OPPENHEIMER GLOBAL OPPORTUNITIES C	300.00	3.00	0.00	3.00	95.0	2.85
01/17/2017	DINKA, CATHERINE	OPPENHEIMER GLOBAL OPPORTUNITIES C	52.39	0.52	0.00	0.52	95.0	0.49
01/17/2017	DINKA, JOHN	OPPENHEIMER GLOBAL OPPORTUNITIES C	600.00	6.00	0.00	6.00	95.0	5.70
01/17/2017	DINKA, JOHN	OPPENHEIMER GLOBAL OPPORTUNITIES C	254.79	2.55	0.00	2.55	95.0	2.42
01/17/2017	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	120.00	1.20	0.00	1.20	95.0	1.14
01/17/2017	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	64.33	0.64	0.00	0.64	95.0	0.61

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/23/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	118.08	1.18	0.00	1.18	95.0	1.12
01/23/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	40.38	0.40	0.00	0.40	95.0	0.38
01/27/2017	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	110.12	1.10	0.00	1.10	95.0	1.05
01/27/2017	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	19.43	0.19	0.00	0.19	95.0	0.18
01/27/2017	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	22.50	0.23	0.00	0.23	95.0	0.22
01/27/2017	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	18.20	0.18	0.00	0.18	95.0	0.17
01/23/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	118.08	1.18	0.00	1.18	95.0	1.12
01/23/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	40.38	0.40	0.00	0.40	95.0	0.38
01/27/2017	DAMORE, MONICA	OPPENHEIMER INTL BOND C	146.83	1.47	0.00	1.47	95.0	1.40
01/27/2017	DAMORE, MONICA	OPPENHEIMER INTL BOND C	25.89	0.26	0.00	0.26	95.0	0.25
01/27/2017	BAKER, STEVEN	OPPENHEIMER INTL BOND C	61.21	0.61	0.00	0.61	95.0	0.58
01/27/2017	BAKER, STEVEN	OPPENHEIMER INTL BOND C	38.64	0.39	0.00	0.39	95.0	0.37
01/27/2017	DAMORE, MONICA	OPPENHEIMER INTL DIVERSIFIED C	73.41	0.73	0.00	0.73	95.0	0.69
01/27/2017	DAMORE, MONICA	OPPENHEIMER INTL DIVERSIFIED C	12.96	0.13	0.00	0.13	95.0	0.12
01/27/2017	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	61.23	0.61	0.00	0.61	95.0	0.58
01/27/2017	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	38.66	0.39	0.00	0.39	95.0	0.37
01/11/2017	GREEN, RICHARD	OPPENHEIMER INTL GROWTH A	0.00	13.54	0.00	13.54	95.0	12.86
01/23/2017	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	43.88	2.08	0.00	2.08	95.0	1.98
01/23/2017	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	37.50	1.78	0.00	1.78	95.0	1.69
01/23/2017	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	62.50	2.50	0.00	2.50	95.0	2.38
01/23/2017	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	56.96	2.28	0.00	2.28	95.0	2.17
01/17/2017	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	25.00	0.25	0.00	0.25	95.0	0.24
01/17/2017	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	25.00	0.25	0.00	0.25	95.0	0.24
01/17/2017	DINKA, CATHERINE	OPPENHEIMER MAIN ST SELECT C	900.00	9.00	0.00	9.00	95.0	8.55
01/17/2017	DINKA, CATHERINE	OPPENHEIMER MAIN ST SELECT C	157.18	1.57	0.00	1.57	95.0	1.49
01/27/2017	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	23.17	0.23	0.00	0.23	95.0	0.22
01/27/2017	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	13.90	0.14	0.00	0.14	95.0	0.13
01/17/2017	DINKA, CATHERINE	OPPENHEIMER MAIN ST SMALL & MID CAP	900.00	9.00	0.00	9.00	95.0	8.55
01/17/2017	DINKA, CATHERINE	OPPENHEIMER MAIN ST SMALL & MID CAP	157.19	1.57	0.00	1.57	95.0	1.49
01/23/2017	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	118.09	1.18	0.00	1.18	95.0	1.12
01/23/2017	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	40.38	0.40	0.00	0.40	95.0	0.38
01/27/2017	DAMORE, MONICA	OPPENHEIMER MAIN ST SMALL & MID CAP	73.41	0.73	0.00	0.73	95.0	0.69
01/27/2017	DAMORE, MONICA	OPPENHEIMER MAIN ST SMALL & MID CAP	12.96	0.13	0.00	0.13	95.0	0.12

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/11/2017	GREEN, RICHARD	OPPENHEIMER MAIN STREET A	0.00	6.68	0.00	6.68	95.0	6.35
01/17/2017	SMITH, ASHLEY	OPPENHEIMER MAIN STREET A	22.50	1.07	0.00	1.07	95.0	1.02
01/17/2017	SMITH, ASHLEY	OPPENHEIMER MAIN STREET A	22.50	1.07	0.00	1.07	95.0	1.02
01/17/2017	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	50.00	0.50	0.00	0.50	95.0	0.48
01/27/2017	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	22.50	0.23	0.00	0.23	95.0	0.22
01/27/2017	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	18.20	0.18	0.00	0.18	95.0	0.17
01/11/2017	BROUSE, SARAH	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	1.99	0.00	1.99	95.0	1.89
01/17/2017	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	100.00	1.00	0.00	1.00	95.0	0.95
01/17/2017	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	100.00	1.00	0.00	1.00	95.0	0.95
01/23/2017	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	62.50	2.50	0.00	2.50	95.0	2.38
01/23/2017	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	56.95	2.28	0.00	2.28	95.0	2.17
01/11/2017	GREEN, RICHARD	OPPENHEIMER QUEST OPP VALUE A	0.00	4.63	0.00	4.63	95.0	4.40
01/17/2017	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	600.00	6.00	0.00	6.00	95.0	5.70
01/17/2017	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	107.44	1.07	0.00	1.07	95.0	1.02
01/27/2017	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	45.00	0.45	0.00	0.45	95.0	0.43
01/27/2017	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	36.39	0.36	0.00	0.36	95.0	0.34
01/27/2017	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	61.23	0.61	0.00	0.61	95.0	0.58
01/27/2017	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	38.66	0.39	0.00	0.39	95.0	0.37
01/17/2017	SMITH, ASHLEY	OPPENHEIMER REAL ESTATE A	22.50	1.07	0.00	1.07	95.0	1.02
01/17/2017	SMITH, ASHLEY	OPPENHEIMER REAL ESTATE A	22.50	1.07	0.00	1.07	95.0	1.02
01/27/2017	DAMORE, MONICA	OPPENHEIMER RISING DIVIDENDS C	110.12	1.10	0.00	1.10	95.0	1.05
01/27/2017	DAMORE, MONICA	OPPENHEIMER RISING DIVIDENDS C	19.43	0.19	0.00	0.19	95.0	0.18
01/27/2017	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
01/27/2017	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	68.77	0.69	0.00	0.69	95.0	0.66
01/17/2017	SMITH, ASHLEY	OPPENHEIMER VALUE A	22.50	1.07	0.00	1.07	95.0	1.02
01/17/2017	SMITH, ASHLEY	OPPENHEIMER VALUE A	22.50	1.07	0.00	1.07	95.0	1.02
01/17/2017	DINKA, JOHN	OPPENHEIMER VALUE C	1,200.00	12.00	0.00	12.00	95.0	11.40
01/17/2017	DINKA, JOHN	OPPENHEIMER VALUE C	509.57	5.10	0.00	5.10	95.0	4.85
01/27/2017	DAMORE, MONICA	OPPENHEIMER VALUE C	73.41	0.73	0.00	0.73	95.0	0.69
01/27/2017	DAMORE, MONICA	OPPENHEIMER VALUE C	12.96	0.13	0.00	0.13	95.0	0.12
01/27/2017	DITRI, ANN	OPPENHEIMER VALUE C	247.50	2.48	0.00	2.48	95.0	2.36
01/27/2017	DITRI, ANN	OPPENHEIMER VALUE C	55.44	0.55	0.00	0.55	95.0	0.52
01/23/2017	RENTROP, GARRETT	OPPENHEIMER QUEST BALANCED C	118.08	1.18	0.00	1.18	95.0	1.12

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/23/2017	RENTROP, GARRETT	OPPENHEIMIER QUEST BALANCED C	40.38	0.40	0.00	0.40	95.0	0.38
02/01/2017	WONCH, LIZ	PUTNAM INVESTORS B	25.00	1.00	0.00	1.00	95.0	0.95
/ /	BERDESKI, AUDREY	RYDEX GUGG S&P 500 H	0.00	0.75	0.00	0.75	95.0	0.71
/ /	KANAKRI, JOSEPH	RYDEX GUGG S&P 500 H	0.00	0.34	0.00	0.34	95.0	0.32
/ /	WAGNER, SHARON	RYDEX GUGG S&P 500 H	0.00	0.24	0.00	0.24	95.0	0.23
/ /	BERDESKI, AUDREY	RYDEXGUGGENHEIM INVERSE NASDAQ 100	0.00	0.17	0.00	0.17	95.0	0.16
/ /	KANAKRI, JOSEPH	RYDEXGUGGENHEIM INVERSE NASDAQ 100	0.00	0.08	0.00	0.08	95.0	0.08
/ /	WAGNER, SHARON	RYDEXGUGGENHEIM INVERSE NASDAQ 100	0.00	0.06	0.00	0.06	95.0	0.06
/ /	BERDESKI, AUDREY	RYDEXGUGGENHEIM INVERSE S&P 500 STG	0.00	0.06	0.00	0.06	95.0	0.06
/ /	KANAKRI, JOSEPH	RYDEXGUGGENHEIM INVERSE S&P 500 STG	0.00	0.03	0.00	0.03	95.0	0.03
/ /	WAGNER, SHARON	RYDEXGUGGENHEIM INVERSE S&P 500 STG	0.00	0.02	0.00	0.02	95.0	0.02
01/31/2017	CEBALT, THOMAS	TEMPLETON DEVELOPING MKTS A	0.00	1.34	0.00	1.34	95.0	1.27
01/31/2017	ELBERT, NATHANIAL	TEMPLETON DEVELOPING MKTS A	0.00	0.18	0.00	0.18	95.0	0.17
01/31/2017	WILEY, MATTALYN	TEMPLETON DEVELOPING MKTS A	0.00	0.50	0.00	0.50	95.0	0.48
01/31/2017	RYAN, ANDREW	TEMPLETON DEVELOPING MKTS A	0.00	13.22	0.00	13.22	95.0	12.56
01/31/2017	LOFTUS, SUSAN	TEMPLETON DEVELOPING MKTS A	0.00	0.59	0.00	0.59	95.0	0.56
01/31/2017	HENDERSON JT TEN, CAROLYN	TEMPLETON DEVELOPING MKTS A	0.00	1.78	0.00	1.78	95.0	1.69
01/31/2017	LEWIS, CHRISTIAN	TEMPLETON DEVELOPING MKTS A	0.00	0.00	0.00	0.00	95.0	0.00
01/31/2017	ROCCA, JOHN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	2.26	0.00	2.26	95.0	2.15
01/31/2017	HOVIS, RYAN	TEMPLETON FOREIGN FUND A	0.00	0.52	0.00	0.52	95.0	0.49
01/31/2017	KOLLINGER, KELLY	TEMPLETON FOREIGN FUND A	0.00	0.52	0.00	0.52	95.0	0.49
01/31/2017	KOLLINGER, ROBERT	TEMPLETON FOREIGN FUND A	0.00	0.52	0.00	0.52	95.0	0.49
01/31/2017	KOLLINGER, CODY	TEMPLETON FOREIGN FUND A	0.00	0.52	0.00	0.52	95.0	0.49
01/31/2017	HOVIS, FARREN	TEMPLETON FOREIGN FUND A	0.00	0.52	0.00	0.52	95.0	0.49
01/31/2017	HOVIS, BARBARA	TEMPLETON FOREIGN FUND A	0.00	0.50	0.00	0.50	95.0	0.48
01/31/2017	KOLLINGER, KAILYN	TEMPLETON FOREIGN FUND A	0.00	0.55	0.00	0.55	95.0	0.52
01/31/2017	MATYASIC, JULIE	TEMPLETON FOREIGN FUND A	0.00	3.35	0.00	3.35	95.0	3.18
01/31/2017	FORD, JOHN	TEMPLETON FOREIGN SMCO A	0.00	0.19	0.00	0.19	95.0	0.18
01/31/2017	ROCCA, JOHN	TEMPLETON FRONTIER MARKETS A	0.00	1.65	0.00	1.65	95.0	1.57
01/31/2017	MANOLATOS, STEVE	TEMPLETON GLOBAL BOND A	0.00	62.97	0.00	62.97	95.0	59.82
01/31/2017	MARCUS, PAMELA	TEMPLETON GROWTH A	0.00	107.43	0.00	107.43	95.0	102.06
01/31/2017	KEELER, DAVID	TEMPLETON GROWTH A	0.00	34.69	0.00	34.69	95.0	32.96
01/31/2017	BITTIKER, STUART	TEMPLETON GROWTH A	0.00	3.13	0.00	3.13	95.0	2.97

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/31/2017	PAUL, DARLEEN	TEMPLETON GROWTH A	0.00	1.29	0.00	1.29	95.0	1.23
01/31/2017	RUBIN, BRITTANY	TEMPLETON GROWTH A	0.00	7.01	0.00	7.01	95.0	6.66
01/31/2017	KILDAL, KATIE	TEMPLETON GROWTH A	0.00	4.28	0.00	4.28	95.0	4.07
01/31/2017	CHESNEY TRUST, CHRISTINE	TEMPLETON GROWTH C	0.00	19.70	0.00	19.70	95.0	18.72
01/31/2017	CHRISTINE L CHESNEY, C/O	TEMPLETON GROWTH C	0.00	5.37	0.00	5.37	95.0	5.10
01/31/2017	WALSH, MICHAEL	TEMPLETON WORLD A	0.00	0.74	0.00	0.74	95.0	0.70
01/31/2017	O'CONNOR, FRANK	TEMPLETON WORLD A	0.00	30.25	0.00	30.25	95.0	28.74
01/31/2017	JENDRUSINA, ROGER	TEMPLETON WORLD A	0.00	117.24	0.00	117.24	95.0	111.38
01/31/2017	SCHWARZ, JAMES	TEMPLETON WORLD A	0.00	6.76	0.00	6.76	95.0	6.42
01/31/2017	WAMPLER TRUST	TEMPLETON WORLD A	0.00	18.68	0.00	18.68	95.0	17.75
02/02/2017	PETERSON, ALEXA	TRANSAMERICA AA CONSERVATIVE C	0.00	32.66	0.00	32.66	95.0	31.03
02/02/2017	PETERSON, TREY	TRANSAMERICA AA CONSERVATIVE C	0.00	32.66	0.00	32.66	95.0	31.03
01/30/2017	KUCHAREK, NORMA	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	95.0	0.48
02/02/2017	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	4.48	0.00	4.48	95.0	4.26
02/02/2017	TAMM, JAMES	TRANSAMERICA AA GROWTH C	0.00	37.99	0.00	37.99	95.0	36.09
02/02/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	4.22	0.00	4.22	95.0	4.01
02/02/2017	HESTER, RICHARD	TRANSAMERICA AA GROWTH C	0.00	15.09	0.00	15.09	95.0	14.34
02/02/2017	SULLIVAN, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	18.98	0.00	18.98	95.0	18.03
02/02/2017	MAYNARD, TRAVIS	TRANSAMERICA AA GROWTH C	0.00	1.50	0.00	1.50	95.0	1.43
02/02/2017	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	9.06	0.00	9.06	95.0	8.61
02/02/2017	COOPER, LISA	TRANSAMERICA AA GROWTH C	0.00	0.43	0.00	0.43	95.0	0.41
02/02/2017	WEBSTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	0.92	0.00	0.92	95.0	0.87
02/02/2017	JOUPPI, JEFFREY	TRANSAMERICA AA GROWTH C	0.00	78.53	0.00	78.53	95.0	74.60
02/02/2017	SCALF, MARSHA	TRANSAMERICA AA GROWTH C	0.00	31.58	0.00	31.58	95.0	30.00
02/02/2017	COMAN, RONALD	TRANSAMERICA AA GROWTH C	0.00	18.63	0.00	18.63	95.0	17.70
02/02/2017	PALAZZOLO, SALATORE	TRANSAMERICA AA GROWTH C	0.00	11.03	0.00	11.03	95.0	10.48
02/02/2017	BARTOI, MIKAELA	TRANSAMERICA AA GROWTH C	0.00	3.72	0.00	3.72	95.0	3.53
02/02/2017	ROWAN, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	25.25	0.00	25.25	95.0	23.99
02/02/2017	KUCHAREK, NORMA	TRANSAMERICA AA GROWTH C	0.00	7.67	0.00	7.67	95.0	7.29
02/02/2017	NEIRINCK, DONNA	TRANSAMERICA AA GROWTH C	0.00	15.83	0.00	15.83	95.0	15.04
02/02/2017	OLSEN, MARTHA	TRANSAMERICA AA GROWTH C	0.00	9.48	0.00	9.48	95.0	9.01
02/02/2017	HESSLER, GARY	TRANSAMERICA AA GROWTH C	0.00	37.02	0.00	37.02	95.0	35.17
02/02/2017	BLANKENSHIP, JANET	TRANSAMERICA AA GROWTH C	0.00	10.78	0.00	10.78	95.0	10.24

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/02/2017	RONDO, DENISE	TRANSAMERICA AA GROWTH C	0.00	11.22	0.00	11.22	95.0	10.66
02/02/2017	PALAZZOLO, ANNA	TRANSAMERICA AA GROWTH C	0.00	17.32	0.00	17.32	95.0	16.45
02/02/2017	LINDOW, RONALD	TRANSAMERICA AA GROWTH C	0.00	1.39	0.00	1.39	95.0	1.32
02/02/2017	WILMAN, ANN	TRANSAMERICA AA GROWTH C	0.00	53.10	0.00	53.10	95.0	50.45
02/02/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	34.32	0.00	34.32	95.0	32.60
02/02/2017	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	0.00	36.26	0.00	36.26	95.0	34.45
02/02/2017	WATKINS, KATHRYN	TRANSAMERICA AA GROWTH C	0.00	7.49	0.00	7.49	95.0	7.12
02/02/2017	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	20.39	0.00	20.39	95.0	19.37
02/02/2017	LINDOW, RYAN	TRANSAMERICA AA GROWTH C	0.00	1.48	0.00	1.48	95.0	1.41
02/02/2017	RIOS-DORIA, H. WALTER	TRANSAMERICA AA GROWTH C	0.00	44.45	0.00	44.45	95.0	42.23
02/02/2017	WATKINS, KATHRYN	TRANSAMERICA AA GROWTH C	0.00	5.28	0.00	5.28	95.0	5.02
02/02/2017	WETZEL, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	12.15	0.00	12.15	95.0	11.54
02/02/2017	KEELER, DAVID	TRANSAMERICA AA GROWTH C	0.00	44.83	0.00	44.83	95.0	42.59
02/02/2017	HILDEBRAND, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	25.05	0.00	25.05	95.0	23.80
02/02/2017	DEHEM, GARY	TRANSAMERICA AA GROWTH C	0.00	35.27	0.00	35.27	95.0	33.51
02/02/2017	LINDOW, RICHARD	TRANSAMERICA AA GROWTH C	0.00	21.61	0.00	21.61	95.0	20.53
02/02/2017	MULDOON, SHEILA	TRANSAMERICA AA GROWTH C	0.00	22.14	0.00	22.14	95.0	21.03
02/02/2017	KUCHAREK, SARAH	TRANSAMERICA AA GROWTH C	0.00	4.47	0.00	4.47	95.0	4.25
02/02/2017	HECKER, GENE	TRANSAMERICA AA GROWTH C	0.00	9.31	0.00	9.31	95.0	8.84
02/02/2017	WATERS, SALLY	TRANSAMERICA AA GROWTH C	0.00	12.15	0.00	12.15	95.0	11.54
02/02/2017	WATERS, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	4.71	0.00	4.71	95.0	4.47
02/02/2017	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	0.00	11.53	0.00	11.53	95.0	10.95
02/02/2017	FARRAR, CHARLES	TRANSAMERICA AA GROWTH C	0.00	13.91	0.00	13.91	95.0	13.21
02/02/2017	BUSZEK, PAUL	TRANSAMERICA AA GROWTH C	0.00	2.96	0.00	2.96	95.0	2.81
02/02/2017	PALAZZOLO, SALVATORE	TRANSAMERICA AA GROWTH C	0.00	12.97	0.00	12.97	95.0	12.32
02/02/2017	BASTIN, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	0.82	0.00	0.82	95.0	0.78
02/02/2017	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	1.34	0.00	1.34	95.0	1.27
02/02/2017	KAWALA, CHRISTINA	TRANSAMERICA AA GROWTH C	0.00	42.98	0.00	42.98	95.0	40.83
02/02/2017	KOLLINGER, ROBERT	TRANSAMERICA AA MOD GROWTH C	0.00	0.87	0.00	0.87	95.0	0.83
02/02/2017	HOVIS, MARJORIE	TRANSAMERICA AA MOD GROWTH C	0.00	3.37	0.00	3.37	95.0	3.20
02/02/2017	BELLINGER, VICKIE	TRANSAMERICA AA MOD GROWTH C	0.00	33.87	0.00	33.87	95.0	32.18
02/02/2017	BELLINGER, GEORGE	TRANSAMERICA AA MOD GROWTH C	0.00	27.24	0.00	27.24	95.0	25.88
02/02/2017	POLENYCHKO, SHIRLEY	TRANSAMERICA AA MOD GROWTH C	0.00	8.64	0.00	8.64	95.0	8.21

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
02/02/2017	AUGUSTYN, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.02	0.00	11.02	95.0	10.47
02/02/2017	BLANKENSHIP, JANET	TRANSAMERICA AA MOD GROWTH C	0.00	194.54	0.00	194.54	95.0	184.81
02/02/2017	KOLLINGER, CINDY	TRANSAMERICA AA MOD GROWTH C	0.00	8.90	0.00	8.90	95.0	8.46
02/02/2017	KEELER, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	20.40	0.00	20.40	95.0	19.38
02/02/2017	TYSZKIEWICZ, KURT	TRANSAMERICA AA MOD GROWTH C	0.00	22.22	0.00	22.22	95.0	21.11
02/02/2017	KUCHAREK, MARCIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.53	0.00	11.53	95.0	10.95
02/02/2017	MCGUIRE, MARY	TRANSAMERICA AA MOD GROWTH C	0.00	14.90	0.00	14.90	95.0	14.16
02/02/2017	LEHMAN, JEFFREY	TRANSAMERICA AA MOD GROWTH C	0.00	0.11	0.00	0.11	95.0	0.10
02/02/2017	COOPER, LISA	TRANSAMERICA AA MOD GROWTH C	0.00	30.64	0.00	30.64	95.0	29.11
02/02/2017	HENRY, JACQUELINE	TRANSAMERICA AA MOD GROWTH C	0.00	28.89	0.00	28.89	95.0	27.45
02/02/2017	O'CONNOR, FRANCIS	TRANSAMERICA AA MOD GROWTH C	0.00	51.20	0.00	51.20	95.0	48.64
02/02/2017	SEISSER, JAMES	TRANSAMERICA AA MOD GROWTH C	0.00	5.33	0.00	5.33	95.0	5.06
02/02/2017	BAKER, JEAN-ANNE	TRANSAMERICA AA MOD GROWTH C	0.00	35.78	0.00	35.78	95.0	33.99
02/02/2017	KOSANKE, BARBARA	TRANSAMERICA AA MOD GROWTH C	0.00	30.15	0.00	30.15	95.0	28.64
02/02/2017	DEW, DESIREE	TRANSAMERICA AA MOD GROWTH C	0.00	41.55	0.00	41.55	95.0	39.47
02/02/2017	FRANKS, JACQUELINE	TRANSAMERICA AA MOD GROWTH C	0.00	4.06	0.00	4.06	95.0	3.86
02/02/2017	MODRYCKI, DONALD	TRANSAMERICA AA MOD GROWTH C	0.00	7.13	0.00	7.13	95.0	6.77
02/02/2017	CYRULEWSKI TRUST, LOIS	TRANSAMERICA AA MOD GROWTH C	0.00	11.47	0.00	11.47	95.0	10.90
02/02/2017	NIZIO, EDMUND	TRANSAMERICA AA MOD GROWTH C	0.00	3.36	0.00	3.36	95.0	3.19
02/02/2017	PALAZZOLO, SALATORE	TRANSAMERICA AA MOD GROWTH C	0.00	9.10	0.00	9.10	95.0	8.65
02/02/2017	KOSANKE, CHARLES W	TRANSAMERICA AA MOD GROWTH C	0.00	15.52	0.00	15.52	95.0	14.74
02/02/2017	MERRITT, MARY LOU	TRANSAMERICA AA MOD GROWTH C	0.00	108.89	0.00	108.89	95.0	103.45
02/02/2017	GROBAR TRUST, MARVIN & MARY	TRANSAMERICA AA MOD GROWTH C	0.00	5.12	0.00	5.12	95.0	4.86
02/02/2017	OLSEN, MARTHA	TRANSAMERICA AA MOD GROWTH C	0.00	9.22	0.00	9.22	95.0	8.76
02/02/2017	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	9.45	0.00	9.45	95.0	8.98
02/02/2017	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	4.77	0.00	4.77	95.0	4.53
02/02/2017	CARLSON, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	2.55	0.00	2.55	95.0	2.42
02/02/2017	RIOS-DORIA, H. WALTER	TRANSAMERICA AA MOD GROWTH C	0.00	41.71	0.00	41.71	95.0	39.62
02/02/2017	RYAN, VICKI	TRANSAMERICA AA MOD GROWTH C	0.00	3.28	0.00	3.28	95.0	3.12
02/02/2017	RYAN, KAREN	TRANSAMERICA AA MOD GROWTH C	0.00	3.60	0.00	3.60	95.0	3.42
02/02/2017	WEAVER, BARABARA	TRANSAMERICA AA MOD GROWTH C	0.00	1.89	0.00	1.89	95.0	1.80
02/02/2017	TYSZKIEWICZ TRUST, RONALD &	TRANSAMERICA AA MOD GROWTH C	0.00	3.81	0.00	3.81	95.0	3.62
02/02/2017	THOMAS, DEBORAH	TRANSAMERICA AA MOD GROWTH C	0.00	9.07	0.00	9.07	95.0	8.62

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
02/02/2017	BARTOI, MIKAELA	TRANSAMERICA AA MOD GROWTH C	0.00	142.57	0.00	142.57	95.0	135.44
02/02/2017	BUTLER, SANDRA	TRANSAMERICA AA MOD GROWTH C	0.00	50.86	0.00	50.86	95.0	48.32
02/02/2017	KANAKRI, JOSEPH	TRANSAMERICA AA MOD GROWTH C	0.00	1.98	0.00	1.98	95.0	1.88
02/02/2017	KANAKRI, MARIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.11	0.00	3.11	95.0	2.95
02/02/2017	BUSZEK, PAUL	TRANSAMERICA AA MOD GROWTH C	0.00	24.50	0.00	24.50	95.0	23.28
02/02/2017	KAWALA, CHRISTINA	TRANSAMERICA AA MOD GROWTH C	0.00	44.91	0.00	44.91	95.0	42.66
02/02/2017	KAWALA, CHRISTINA	TRANSAMERICA AA MOD GROWTH C	0.00	105.62	0.00	105.62	95.0	100.34
02/02/2017	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	21.95	0.00	21.95	95.0	20.85
02/02/2017	SIMONY, JOAN	TRANSAMERICA AA MODERATE C	0.00	67.07	0.00	67.07	95.0	63.72
02/02/2017	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	0.73	0.00	0.73	95.0	0.69
02/02/2017	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	81.95	0.00	81.95	95.0	77.85
02/02/2017	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	9.67	0.00	9.67	95.0	9.19
02/02/2017	PALAZZOLO, SALATORE	TRANSAMERICA MULTI MGR INTL C	0.00	0.28	0.00	0.28	95.0	0.27
02/02/2017	BARTOI, JOHN	TRANSAMERICA MULTI MGR INTL C	0.00	11.07	0.00	11.07	95.0	10.52
02/02/2017	BARTOI, MIKAELA	TRANSAMERICA MULTI MGR INTL C	0.00	7.77	0.00	7.77	95.0	7.38
02/02/2017	FARRAR, CHARLES	TRANSAMERICA MULTI MGR INTL C	0.00	29.87	0.00	29.87	95.0	28.38
02/02/2017	WILMAN, ANN	TRANSAMERICA MULTI MGR INTL C	0.00	21.57	0.00	21.57	95.0	20.49
02/02/2017	KUCHAREK, MARK	TRANSAMERICA MULTI MGR INTL C	0.00	9.05	0.00	9.05	95.0	8.60
02/02/2017	DUMOND, PHYLLIS	TRANSAMERICA MULTI MGR INTL C	0.00	4.37	0.00	4.37	95.0	4.15
02/02/2017	KEELER, DAVID	TRANSAMERICA MULTI MGR INTL C	0.00	12.11	0.00	12.11	95.0	11.50
02/02/2017	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR INTL C	0.00	10.49	0.00	10.49	95.0	9.97
02/02/2017	DEHEM, GARY	TRANSAMERICA MULTI MGR INTL C	0.00	12.74	0.00	12.74	95.0	12.10
02/02/2017	KAWALA, CHRISTINA	TRANSAMERICA MULTI MGR INTL C	0.00	12.25	0.00	12.25	95.0	11.64
02/02/2017	ALLEN, BRADLEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	29.58	0.00	29.58	95.0	28.10
02/02/2017	WAGNER, MALLORY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.84	0.00	2.84	95.0	2.70
02/02/2017	EVANS, SANDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	5.96	0.00	5.96	95.0	5.66
02/02/2017	EVANS, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.14	0.00	7.14	95.0	6.78
02/02/2017	GRECH, ROSALIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	31.80	0.00	31.80	95.0	30.21
02/02/2017	CANNON TRUST, HENRY & BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	105.93	0.00	105.93	95.0	100.63
02/02/2017	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	39.12	0.00	39.12	95.0	37.16
02/02/2017	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.61	0.00	6.61	95.0	6.28
02/02/2017	DOUTSAS, MADELYN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.92	0.00	2.92	95.0	2.77
02/02/2017	HOOTNER-JONES, ANN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.60	0.00	2.60	95.0	2.47

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
02/02/2017	ORTAGO, PAULA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	15.03	0.00	15.03	95.0	14.28
02/02/2017	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	9.46	0.00	9.46	95.0	8.99
02/02/2017	RICHARDSON, SHANE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.16	0.00	1.16	95.0	1.10
02/02/2017	MAGGARD, LORETTA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	12.79	0.00	12.79	95.0	12.15
02/02/2017	KAWALA, CHRISTINA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	16.32	0.00	16.32	95.0	15.50
02/02/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	32.42	0.00	32.42	95.0	30.80
02/02/2017	OLSEN, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	10.06	0.00	10.06	95.0	9.56
02/02/2017	JOHNSON, DONNA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.80	0.00	2.80	95.0	2.66
02/02/2017	MOORE, SUSAN ANN PRIES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	60.73	0.00	60.73	95.0	57.69
02/02/2017	YOUNG, RICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	128.11	0.00	128.11	95.0	121.70
02/02/2017	JOHNSON, DONNA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	24.58	0.00	24.58	95.0	23.35
02/02/2017	DINKA, CATHERINE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	74.79	0.00	74.79	95.0	71.05
02/02/2017	PARKE, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.63	0.00	1.63	95.0	1.55
02/02/2017	SMITH, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	96.27	0.00	96.27	95.0	91.46
02/02/2017	FARRAR, KAREN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	41.47	0.00	41.47	95.0	39.40
02/02/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	19.79	0.00	19.79	95.0	18.80
02/02/2017	ROLLINGS, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	27.80	0.00	27.80	95.0	26.41
02/02/2017	ALLEN, BRADLEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	27.80	0.00	27.80	95.0	26.41
02/02/2017	DOUTSAS, MADELYN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	22.12	0.00	22.12	95.0	21.01
02/02/2017	DOUTSAS, ALEXANDER	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.18	0.00	6.18	95.0	5.87
02/03/2017	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	1,150.00	11.50	0.00	11.50	95.0	10.93
02/02/2017	GRAMMATICO, DENNIS	TRANSAMERICA SHORT-TERM BOND C	0.00	7.22	0.00	7.22	95.0	6.86
02/02/2017	GRAMMATICO, SHARON	TRANSAMERICA SHORT-TERM BOND C	0.00	7.22	0.00	7.22	95.0	6.86
02/02/2017	SARKISIAN, NORAY	TRANSAMERICA SHORT-TERM BOND C	0.00	29.68	0.00	29.68	95.0	28.20
02/02/2017	MERRITT, MARY LOU	TRANSAMERICA SHORT-TERM BOND C	0.00	22.45	0.00	22.45	95.0	21.33
02/02/2017	O'CONNOR, FRANCIS	TRANSAMERICA SHORT-TERM BOND C	0.00	64.63	0.00	64.63	95.0	61.40
02/02/2017	CHRONOWSKI, THEODORA	TRANSAMERICA SHORT-TERM BOND C	0.00	15.35	0.00	15.35	95.0	14.58
02/02/2017	HOOTNER-JONES, ANN	TRANSAMERICA SHORT-TERM BOND C	0.00	8.07	0.00	8.07	95.0	7.67
02/02/2017	RYAN, JACK	TRANSAMERICA SHORT-TERM BOND C	0.00	8.64	0.00	8.64	95.0	8.21
02/02/2017	RYAN, KAREN	TRANSAMERICA SHORT-TERM BOND C	0.00	8.28	0.00	8.28	95.0	7.87
01/24/2017	KNIGHT, SHARON	TRANSAMERICA SMALL/MID CAP VALUE C	18,911.56	189.12	0.00	189.12	95.0	179.66
02/02/2017	KNIGHT, SHARON	TRANSAMERICA SMALL/MID CAP VALUE C	0.00	11.71	0.00	11.71	95.0	11.12
02/02/2017	EVANS, SANDRA	TRANSAMERICA TACTICAL INCOME C	0.00	4.67	0.00	4.67	95.0	4.44

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/02/2017	EVANS, ROBERT	TRANSAMERICA TACTICAL INCOME C	0.00	5.60	0.00	5.60	95.0	5.32
02/02/2017	KAWALA, CHRISTINA	TRANSAMERICA TACTICAL INCOME C	0.00	3.12	0.00	3.12	95.0	2.96
02/02/2017	PIZZO, ROSOLINO	TRANSAMERICA WMC DIV EQUITY C	0.00	1.78	0.00	1.78	95.0	1.69
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$47,857.95</b>	<b>\$7,234.63</b>	<b>\$0.00</b>	<b>\$7,234.63</b>		<b>\$6,675.94</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/31/2017	BERTRAND, C JERRY	JNL ELITE ACCESS	58,021.26	24.18	0.00	24.18	95.0	22.97
01/31/2017	MELTZER, CLARENCE	JNL PERSPECTIVE ADVANTAGE	21,372.99	17.81	0.00	17.81	95.0	16.92
01/31/2017	COVYEAU, FREDERICK J & B	JNL PERSPECTIVE II	89,794.69	37.41	0.00	37.41	95.0	35.54
01/31/2017	JEANNETTE, JUSTIN	JNL PERSPECTIVE II 05/05	474.39	0.40	0.00	0.40	95.0	0.38
01/31/2017	KOSCIELSKI, RONALD	JNL PERSPECTIVE II 05/05	25,198.05	10.50	0.00	10.50	95.0	9.98
01/31/2017	CROSS, LINDA	JNL PERSPECTIVE II 05/05	5,602.16	2.33	0.00	2.33	95.0	2.21
01/31/2017	MAGGARD, LORETTA	JNL PERSPECTIVE II 05/05	43,015.04	17.92	0.00	17.92	95.0	17.02
01/31/2017	RANG, MARILYN	JNL PERSPECTIVE II 05/05	70,772.18	29.49	0.00	29.49	95.0	28.02
01/31/2017	MCDONALD, GLENN	JNL PERSPECTIVE II 05/05	169,702.39	70.71	0.00	70.71	95.0	67.17
01/31/2017	KEELER, SUSAN	JNL PERSPECTIVE II 05/05	122,487.51	51.04	0.00	51.04	95.0	48.49
01/31/2017	PIROZZO, PETER	JNL PERSPECTIVE L	48,352.15	52.38	0.00	52.38	95.0	49.76
01/31/2017	DIEM, LINDA	JOHN HANCOCK VENTURE	563.95	0.12	0.00	0.12	95.0	0.11
01/31/2017	SCERBAK, EILEEN	JOHN HANCOCK VENTURE	43,244.35	9.01	0.00	9.01	95.0	8.56
01/31/2017	TYMCZY, MARY JANE	JOHN HANCOCK VENTURE	6,558.38	1.37	0.00	1.37	95.0	1.30
01/31/2017	DANIEL, SABRINA	JOHN HANCOCK VENTURE	285.56	0.06	0.00	0.06	95.0	0.06
01/31/2017	MIKLEVICH, JOYTHUN	JOHN HANCOCK VENTURE	77,897.54	16.23	0.00	16.23	95.0	15.42
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$783,342.59</b>	<b>\$340.96</b>	<b>\$0.00</b>	<b>\$340.96</b>		<b>\$323.91</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/31/2016	CFGROUP, VARIOUS	VOYA TRAILS	0.00	3.27	0.00	3.27	95.0	3.11
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$3.27</b>	<b>\$0.00</b>	<b>\$3.27</b>		<b>\$3.11</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$908,550.84</b>	<b>\$8,656.09</b>	<b>\$0.00</b>	<b>\$8,656.09</b>		<b>\$8,026.33</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: MARK KOSANKE #3</u></b>								
01/06/2017	KRAUSS, IRENE	3M CO COM	19,613.32	388.00	14.00	374.00	95.0	355.30
01/24/2017	MIHAL IRREV TRUST, PETER	ALLETE INC	6,296.36	50.00	14.00	36.00	95.0	34.20
01/11/2017	MARCOLA, THADDEUS	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	1.09	0.00	1.09	95.0	1.04
01/11/2017	CAUL, MARTINA	AM FUNDS AMERICAN BALANCED A	0.00	0.19	0.00	0.19	95.0	0.18
01/11/2017	CAUL, MARTINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.16	0.00	0.16	95.0	0.15
01/11/2017	GOOSSENS, LORI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.17	0.00	2.17	95.0	2.06
01/11/2017	CAUL, MARTINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.40	0.00	0.40	95.0	0.38
01/11/2017	SCULLY, DOROTHY	AM FUNDS ICA A	0.00	11.05	0.00	11.05	95.0	10.50
01/11/2017	MCCALL, JEFFREY	AM FUNDS SMALLCAP WORLD A	0.00	1.06	0.00	1.06	95.0	1.01
01/11/2017	DENNIS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	5.57	0.00	5.57	95.0	5.29
01/11/2017	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.43	0.00	1.43	95.0	1.36
01/11/2017	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.43	0.00	1.43	95.0	1.36
01/11/2017	DENNIS, STEVEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.76	0.00	4.76	95.0	4.52
01/25/2017	REMER, ESTATE OF DAVID	AMERICAN AXLE & MANUFACTURING HOLDI	12,283.80	600.00	14.00	586.00	95.0	556.70
01/24/2017	MIHAL IRREV TRUST, PETER	CHICAGO BRIDGE & IRON CO NV	2,303.70	50.00	14.00	36.00	95.0	34.20
01/11/2017	NICOLOSI, ROSE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	1.97	0.00	1.97	95.0	1.87
01/11/2017	DENNIS, STEVEN	DAVIS NEW YORK VENTURE A	0.00	42.09	0.00	42.09	95.0	39.99
01/11/2017	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	26.16	0.00	26.16	95.0	24.85
01/11/2017	HENKEL, JAMES	DREYFUS HIGH YIELD A	0.00	1.07	0.00	1.07	95.0	1.02
01/11/2017	WERNER, DOROTHY	DREYFUS HIGH YIELD A	0.00	2.32	0.00	2.32	95.0	2.20
01/27/2017	HENKEL, JAMES	DREYFUS HIGH YIELD A	2,250.00	100.00	0.00	100.00	95.0	95.00
01/11/2017	HENKEL, JAMES	DWS DEUTSCHE HIGH INCOME FUND A	0.00	24.72	0.00	24.72	95.0	23.48
01/11/2017	WERNER, DOROTHY	DWS DEUTSCHE HIGH INCOME FUND A	0.00	5.77	0.00	5.77	95.0	5.48
01/11/2017	HENKEL, JAMES	EATON VANCE HIGH INCOME OPPORTUNITI	0.00	1.31	0.00	1.31	95.0	1.24
01/27/2017	HENKEL, JAMES	EATON VANCE HIGH INCOME OPPORTUNITI	2,250.00	100.00	15.00	85.00	95.0	80.75
01/11/2017	GARCIA-SANTOS, VICKY	FIRST EAGLE GLOBAL FUND CL A	0.00	19.71	0.00	19.71	95.0	18.72
01/31/2017	KRASS, DELORES	JNL PERSPECTIVE REWARDS	17,232.89	3.60	0.00	3.60	95.0	3.42
01/17/2017	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	80.00	0.80	0.00	0.80	95.0	0.76
01/17/2017	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	80.00	0.80	0.00	0.80	95.0	0.76
01/24/2017	MIHAL IRREV TRUST, PETER	PAYCHEX INC COM	6,319.25	50.00	14.00	36.00	95.0	34.20
01/24/2017	MIHAL IRREV TRUST, PETER	PFIZER INC COM	4,274.87	50.00	14.00	36.00	95.0	34.20
01/11/2017	ADAMS, TONJA	PUTNAM INTERNATIONAL VALUE-A	0.00	0.24	0.00	0.24	95.0	0.23
01/24/2017	MIHAL IRREV TRUST, PETER	QUALCOMM INC	2,354.85	50.00	14.00	36.00	95.0	34.20

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: MARK KOSANKE #3</u></b>								
01/24/2017	SPUDOWSKI-, MARVIN	RETAIL PPTYS AMER INC CL A	8,877.85	50.00	14.00	36.00	95.0	34.20
01/24/2017	MIHAL IRREV TRUST, PETER	SUN LIFE FINANCIAL INC	1,177.88	50.00	14.00	36.00	95.0	34.20
01/24/2017	MIHAL IRREV TRUST, PETER	SYSCO CORP	8,296.13	50.00	14.00	36.00	95.0	34.20
01/26/2017	HINCKER, C DOUGLAS	TIER REIT INC COM	13,363.66	300.00	14.00	286.00	95.0	271.70
01/11/2017	KNIGHT, SHARON	TRANSAMERICA TACTICAL INCOME C	0.00	13.06	0.00	13.06	95.0	12.41
01/13/2017	KNIGHT, SHARON	TRANSAMERICA TACTICAL INCOME C	16,498.07	100.00	15.00	85.00	95.0	80.75
01/26/2017	HOSKIN, JOHN	VICTORY CAPITAL MANAGEMENT	0.00	0.60	0.00	0.60	95.0	0.57
01/26/2017	MARCOLA, ERIKA	VICTORY CAPITAL MANAGEMENT	0.00	0.96	0.00	0.96	95.0	0.91
01/11/2017	WERNER, DOROTHY	WELLS FARGO INCOME PLUS A	0.00	2.12	0.00	2.12	95.0	2.01
01/24/2017	MIHAL IRREV TRUST, PETER	XCEL ENERGY INC COM	8,976.75	50.00	14.00	36.00	95.0	34.20
* MARK KOSANKE SUBTOTAL *			\$132,529.38	\$2,214.61	\$198.00	\$2,016.61		\$1,915.77
<b><u>BROKER: 101 CFGROUP/KOSANKE #9</u></b>								
02/02/2017	MCLAUGHLIN, TRACY	AM FUNDS ICA A	150.00	7.50	0.00	7.50	95.0	7.13
01/30/2017	OVERMYER, STEVEN	DAVIS NEW YORK VENTURE A	5,000.00	200.00	0.00	200.00	95.0	190.00
* 101 CFGROUP/KOSANKE SUBTOTAL *			\$5,150.00	\$207.50	\$0.00	\$207.50		\$197.13
<b><u>BROKER: J117-PETE/JOAN JOHNSON/FLAM #19</u></b>								
/ /	PRICOPIO, VANESSA	JH 529 COLLEGE PORT A	0.00	2.49	0.00	2.49	95.0	2.37
* J117-PETE/JOAN JOHNSON/FLAM SUBTOTAL *			\$0.00	\$2.49	\$0.00	\$2.49		\$2.37
<b><u>BROKER: GROUP FLAM/BUSSELL #32</u></b>								
01/31/2017	M, OSIP	DELAWARE VALUE A	0.00	1.28	0.00	1.28	95.0	1.22
* GROUP FLAM/BUSSELL SUBTOTAL *			\$0.00	\$1.28	\$0.00	\$1.28		\$1.22
<b><u>BROKER: PETER JOHNSON #63</u></b>								
01/31/2017	ROSS, DAVID	DELAWARE HIGH YIELD OPP A	0.00	26.48	0.00	26.48	95.0	25.16
01/31/2017	KAPELN, J	DELAWARE TAX FREE USA A	0.00	8.74	0.00	8.74	95.0	8.30
01/31/2017	PAPPAS, JANE	DELAWARE VALUE A	0.00	6.30	0.00	6.30	95.0	5.99
01/31/2017	PAPPAS, LARRY	DELAWARE VALUE A	0.00	33.24	0.00	33.24	95.0	31.58
* PETER JOHNSON SUBTOTAL *			\$0.00	\$74.76	\$0.00	\$74.76		\$71.03
*** SPLIT TRANSACTIONS TOTAL ***			\$137,679.38	\$2,500.64	\$198.00	\$2,302.64		\$2,187.52

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<b><u>ADJUSTMENTS</u></b>								
02/15/2017		*DUE DILIGENCE: DD INLAND-A KOWALSK	0.00					7,373.26
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$7,373.26

**BROKER COMMISSION TOTALS**

Broker Transactions	\$8,026.33
Split Transactions	\$2,187.52
Override Transactions	\$0.00
Adjustments	\$7,373.26
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$17,587.11
Year-to-date Gross	\$44,803.93
Year-to-date Earnings	\$44,169.27

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