



All Companies

01/30/2018

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COMMISSION STATEMENT

February 01, 2018

MICHAEL C. MOYNIHAN
700 Throckmorton

BROKER #: 9528
BRANCH: HOME OFFICE

Fort Worth, TX 76102

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/31/2017	TRAILS	-ALLIANCE BERNSTEIN	B	27.13	27.13	0.00	27.13	90.0	24.42
12/31/2017	TRAILS	-FIDELITY ADVISOR FUNDS	B	73.46	73.46	0.00	73.46	90.0	66.11
12/31/2017	TRAILS	-JOHN HANCOCK FREEDOM 529	B	6.76	6.76	0.00	6.76	90.0	6.08
12/31/2017	TRAILS	-JOHN HANCOCK FREEDOM 529	B	15.37	15.37	0.00	15.37	90.0	13.83
12/31/2017	TRAILS	-PUTNAM	B	23.46	23.46	0.00	23.46	90.0	21.11
12/31/2017	TRAILS	-TEXAS 529 PLAN	B	5.05	5.05	0.00	5.05	90.0	4.55
12/31/2017	TRAILS	-TEXAS 529 PLAN	B	0.61	0.61	0.00	0.61	90.0	0.55
12/31/2017	TRAILS	-TEXAS 529 PLAN	B	12.30	12.30	0.00	12.30	90.0	11.07
01/02/2018	RICHWINE, RANDALL	-TEXAS 529 PLAN	B	400.00	16.00	0.00	16.00	90.0	14.40
01/02/2018	HUDGINS/HYDINGER, CHRISTY/ELLERY	-TEXAS 529 PLAN	B	25.00	1.19	0.00	1.19	90.0	1.07
01/02/2018	RICHWINE, RANDALL	-TEXAS 529 PLAN	B	400.00	16.00	0.00	16.00	90.0	14.40
01/10/2018	PARISH, RUSSELL	-TEXAS 529 PLAN	B	50.00	2.38	0.00	2.38	90.0	2.14
01/10/2018	PARISH, RUSSELL/CAROLIN	-TEXAS 529 PLAN	B	50.00	2.38	0.00	2.38	90.0	2.14
01/16/2018	000000000705804 STRAWSER, ROBERT K.	FRK TEMP COREFOLIO ALLOC FND CL - A B		200.00	10.00	0.00	10.00	90.0	9.00
01/16/2018	000000000874040 STRAWSER, LAURA	FRK AGGRESSIVE GRWTH - CL A	B	150.00	7.50	0.00	7.50	90.0	6.75
01/16/2018	0000000001032921 BLADEN, WILLIAM T.	FRK AGGRESSIVE GRWTH - CL A	B	458.33	7.33	0.00	7.33	90.0	6.60
01/16/2018	0000000001281157 BLADEN, WILLIAM /KATHRI	FRK AGGRESSIVE GRWTH - CL A	B	100.00	1.60	0.00	1.60	90.0	1.44
01/16/2018	0000000001889841 BLADEN, WILLIAM T.	MUTUAL DISC FND - CL A	B	100.00	1.60	0.00	1.60	90.0	1.44
01/16/2018	0000000003044308 BLADEN, WILLIAM T.	FRANKLIN MUTUAL SHARES FD CL 1	B	100.00	1.60	0.00	1.60	90.0	1.44
01/16/2018	0000000004809378 BLADEN, KATHRINE	FRK TEMP FOUNDING FUNDS ALLOC FND C B		458.33	7.33	0.00	7.33	90.0	6.60
01/16/2018	0000000006891410 BLADEN, WILLIAM	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B		100.00	1.60	0.00	1.60	90.0	1.44
01/16/2018	0000000007430553 BLADEN,	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B		300.00	4.80	0.00	4.80	90.0	4.32

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
WILLIAM/BRYNJA									
01/16/2018	000001950970322 BLADEN, WILLIAM T.	TEMPLETON GROWTH FD CL 1	B	100.00	1.60	0.00	1.60	90.0	1.44
01/16/2018	000013912664577 STRAWSER, LAURA	FRANKLIN SPECIAL EQUITY INCOME FD C B		150.00	7.50	0.00	7.50	90.0	6.75
01/16/2018	000015815261389 BLADEN, WILLIAM T.	FRANKLIN RISING DIVIDEND FD CL I	B	100.00	1.60	0.00	1.60	90.0	1.44
01/08/2018	00001611968 BELCHER, LORENA	LIFESTYLE AGGRESSIVE PORTFOLIO A	B	200.00	8.50	0.00	8.50	90.0	7.65
01/05/2018	00001664121 HUTCHISON, VIKKI	LIFESTYLE GROWTH PORTFOLIO A	B	223.08	6.36	0.00	6.36	90.0	5.72
01/19/2018	00001664121 HUTCHISON, VIKKI	LIFESTYLE GROWTH PORTFOLIO A	B	223.08	6.36	0.00	6.36	90.0	5.72
01/05/2018	00001664145 KANESHIRO, JOAN A	LIFESTYLE GROWTH PORTFOLIO A	B	200.00	8.50	0.00	8.50	90.0	7.65
01/03/2018	00001731052 MITCHELL, NATALIE	LIFESTYLE BALANCED PORTFOLIO A	B	120.00	4.50	0.00	4.50	90.0	4.05
01/17/2018	00001731052 MITCHELL, NATALIE	LIFESTYLE BALANCED PORTFOLIO A	B	120.00	4.50	0.00	4.50	90.0	4.05
01/16/2018	00001827274 MARTIN, BECK/HEATHER	LIFESTYLE MODERATE PORTFOLIO A	B	100.00	3.75	0.00	3.75	90.0	3.38
01/02/2018	00001827877 LOTT, JEANNE	LIFESTYLE MODERATE PORTFOLIO C	B	100.00	1.00	0.00	1.00	90.0	0.90
01/16/2018	00001831315 THORNTON, JANICE	LIFESTYLE MODERATE PORTFOLIO A	B	541.66	15.44	0.00	15.44	90.0	13.90
01/16/2018	00002042873 MARTIN, BECK	US GLOBAL LEADERS GROWTH A	B	200.00	7.50	0.00	7.50	90.0	6.75
01/16/2018	00002108774 KIZA, EILEEN	LIFESTYLE BALANCED PORTFOLIO C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00002109067 KIZA, EILEEN	LIFESTYLE GROWTH PORTFOLIO A	B	200.00	7.50	0.00	7.50	90.0	6.75
01/16/2018	00002172270 HALL, HARRISON	JOHN HANCOCK GROWTH & INCOME FD CL B		82.50	2.35	0.00	2.35	90.0	2.12
01/16/2018	00002172270 HALL, HARRISON	JOHN HANCOCK GROWTH & INCOME FD CL B		253.16	7.22	0.00	7.22	90.0	6.50
01/16/2018	00002172271 HALL, HARRISON	CLASSIC VALUE A	B	82.50	2.35	0.00	2.35	90.0	2.12
01/16/2018	00002172271 HALL, HARRISON	CLASSIC VALUE A	B	253.16	7.22	0.00	7.22	90.0	6.50
01/16/2018	00002172273 HALL, HARRISON	NEW OPPORTUNITIES A	B	253.16	7.22	0.00	7.22	90.0	6.50
01/16/2018	00002172273 HALL, HARRISON	NEW OPPORTUNITIES A	B	82.50	2.35	0.00	2.35	90.0	2.12
01/16/2018	00002172274 HALL, HARRISON	GLOBAL SHAREHOLDER YIELD A	B	126.58	3.61	0.00	3.61	90.0	3.25
01/16/2018	00002172274 HALL, HARRISON	GLOBAL SHAREHOLDER YIELD A	B	41.25	1.18	0.00	1.18	90.0	1.06
01/16/2018	00002172275 HALL, HARRISON	JOHN HANCOCK HIGH YLD BD FD CL A	B	126.58	3.80	0.00	3.80	90.0	3.42
01/16/2018	00002172275 HALL, HARRISON	JOHN HANCOCK HIGH YLD BD FD CL A	B	41.25	1.24	0.00	1.24	90.0	1.12
01/16/2018	00002172276 HALL, HARRISON	JOHN HANCOCK SOVEREIGN BD FD CL A	B	41.25	1.24	0.00	1.24	90.0	1.12
01/16/2018	00002172276 HALL, HARRISON	JOHN HANCOCK SOVEREIGN BD FD CL A	B	126.58	3.80	0.00	3.80	90.0	3.42
01/16/2018	00002172277 HALL, HARRISON	FLOATING RATE INCOME A	B	126.58	1.90	0.00	1.90	90.0	1.71
01/16/2018	00002172277 HALL, HARRISON	FLOATING RATE INCOME A	B	41.25	0.62	0.00	0.62	90.0	0.56
01/16/2018	00002172323 WIGGINS, ASHLEY	LIFESTYLE GROWTH PORTFOLIO A	B	138.76	3.95	0.00	3.95	90.0	3.56
01/16/2018	00002172323 WIGGINS, ASHLEY	LIFESTYLE GROWTH PORTFOLIO A	B	138.76	3.95	0.00	3.95	90.0	3.56
01/16/2018	00002174074 TERRY, BRANDI	LIFESTYLE GROWTH PORTFOLIO A	B	91.66	2.61	0.00	2.61	90.0	2.35
01/16/2018	00002174074 TERRY, BRANDI	LIFESTYLE GROWTH PORTFOLIO A	B	91.66	2.61	0.00	2.61	90.0	2.35
01/16/2018	00002177456 KORKUTOVIC, SEVLIIJA	LIFESTYLE MODERATE PORTFOLIO A	B	113.00	3.22	0.00	3.22	90.0	2.90

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/16/2018	00002177456 KORKUTOVIC, SEVLIIJA	LIFESTYLE MODERATE PORTFOLIO A	B	113.00	3.22	0.00	3.22	90.0	2.90
01/16/2018	00002393597 CUTSHALL, ALISON N.	LIFESTYLE GROWTH PORTFOLIO A	B	81.90	2.33	0.00	2.33	90.0	2.10
01/16/2018	00002393597 CUTSHALL, ALISON N.	LIFESTYLE GROWTH PORTFOLIO A	B	81.90	2.33	0.00	2.33	90.0	2.10
01/16/2018	00002393605 EUBANKS, STEPHANIE A.	LIFESTYLE GROWTH PORTFOLIO A	B	95.00	2.71	0.00	2.71	90.0	2.44
01/16/2018	00002393605 EUBANKS, STEPHANIE A.	LIFESTYLE GROWTH PORTFOLIO A	B	95.00	2.71	0.00	2.71	90.0	2.44
01/16/2018	00003370989 OLIVER, SUSANNE	LIFESTYLE AGGRESSIVE PORTFOLIO A	B	41.87	1.19	0.00	1.19	90.0	1.07
01/16/2018	00003370989 OLIVER, SUSANNE	LIFESTYLE AGGRESSIVE PORTFOLIO A	B	41.87	1.19	0.00	1.19	90.0	1.07
01/02/2018	00052796859 LOTT, BRIAN K	PORTFOLIO 2025-2028 A	B	100.00	4.25	0.00	4.25	90.0	3.83
01/05/2018	00052854186 HALL, HARRISON	PORTFOLIO 2017-2020A	B	500.00	18.75	0.00	18.75	90.0	16.88
01/16/2018	00052962457 BELCHER, JAMES W/JAMES C	PORTFOLIO 2033-2036 A	B	50.00	2.13	0.00	2.13	90.0	1.92
01/08/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD	BD FD B	26.40	0.73	0.00	0.73	90.0	0.66
01/08/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD	BD FD B	26.40	0.73	0.00	0.73	90.0	0.66
01/16/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD	BD FD B	26.40	0.73	0.00	0.73	90.0	0.66
01/16/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD	BD FD B	26.40	0.73	0.00	0.73	90.0	0.66
01/16/2018	00075397174 MCCARTHY, CHRIS/ANNALIE	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
01/16/2018	00075979142 LARA-MONTGOMERY, JENNIFER A	AMERICAN FUNDS CAPITAL WORLD	GROWT B	100.00	2.00	0.00	2.00	90.0	1.80
01/16/2018	00081508155 MCCARTHY, CHRIS/OLIVIA	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
01/08/2018	00082140597 DAVILA, JAIME A	AMERICAN FUNDS CAPITAL INCOME	BUILD B	26.77	0.74	0.00	0.74	90.0	0.67
01/08/2018	00082140597 DAVILA, JAIME A	AMERICAN FUNDS CAPITAL INCOME	BUILD B	25.00	0.69	0.00	0.69	90.0	0.62
01/16/2018	00082140597 DAVILA, JAIME A	AMERICAN FUNDS CAPITAL INCOME	BUILD B	25.00	0.69	0.00	0.69	90.0	0.62
01/16/2018	00082140597 DAVILA, JAIME A	AMERICAN FUNDS CAPITAL INCOME	BUILD B	26.72	0.73	0.00	0.73	90.0	0.66
01/16/2018	00082630504 THURMAN, MEGAN	AMERICAN FUNDS CAPITAL INCOME	BUILD B	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	00082697643 MCEACHERN, KYLIE	CAPITAL WORLD GROWTH AND INCOME-529	B	100.00	4.25	0.00	4.25	90.0	3.83
01/16/2018	00082910467 BANKS, DENNIS	NEW WORLD FUND-A	B	100.00	5.00	0.00	5.00	90.0	4.50
01/16/2018	00083124311 MONTGOMERY, ZANDER	CAPITAL WORLD GROWTH AND INCOME-529	B	75.00	1.50	0.00	1.50	90.0	1.35
01/16/2018	00084124051 PARISH/ALLEN, VICTORIA/JULIE	CAPITAL INCOME BUILDER-529A	B	25.00	1.06	0.00	1.06	90.0	0.95
01/16/2018	00084124070 PARISH/ALLEN, VICTORIA/JULIE	CAPITAL INCOME BUILDER-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
01/16/2018	00085752451 MONTGOMERY, ZANDER	CAPITAL WORLD GROWTH AND INCOME-529	B	75.00	1.50	0.00	1.50	90.0	1.35
01/19/2018	00086824865 BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD	GROWT B	74.40	2.79	0.00	2.79	90.0	2.51

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/19/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT B	148.81	5.58	0.00	5.58	90.0	5.02
01/19/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD B	74.41	2.79	0.00	2.79	90.0	2.51
01/19/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD B	148.80	5.58	0.00	5.58	90.0	5.02
01/16/2018	00087076225	PARISH/ALLEN, VICTORIA/JULIE	CAPITAL WORLD GROWTH AND INCOME-529 B	50.00	2.13	0.00	2.13	90.0	1.92
01/16/2018	00087416414	WHITE, CINDY C.	GROWTH PORTFOLIO-A B	150.00	7.50	0.00	7.50	90.0	6.75
01/16/2018	00088409989	PARISH/ALLEN, VICTORIA/JULIE	COLLEGE 2030 FUND-529A B	50.00	1.75	0.00	1.75	90.0	1.58
01/16/2018	00088439412	RICHARDSON, CLAY & COLLEGE 2030 FUND-529A BRIANA	B	500.00	17.50	0.00	17.50	90.0	15.75
01/16/2018	00088439441	RICHARDSON, CLAY & COLLEGE 2030 FUND-529A BRIANA	B	500.00	17.50	0.00	17.50	90.0	15.75
01/08/2018	00088625904	SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A B	150.00	4.13	0.00	4.13	90.0	3.72
01/08/2018	00088625904	SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A B	81.89	2.25	0.00	2.25	90.0	2.03
01/16/2018	00088625904	SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A B	81.89	2.25	0.00	2.25	90.0	2.03
01/16/2018	00088625904	SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A B	150.00	4.13	0.00	4.13	90.0	3.72
01/08/2018	00088628251	GRINDELE, RUSSELL G.	AMERICAN FUNDS 2025 TARGET DATE-A B	26.40	0.73	0.00	0.73	90.0	0.66
01/08/2018	00088628251	GRINDELE, RUSSELL G.	AMERICAN FUNDS 2025 TARGET DATE-A B	26.40	0.73	0.00	0.73	90.0	0.66
01/16/2018	00088628251	GRINDELE, RUSSELL G.	AMERICAN FUNDS 2025 TARGET DATE-A B	26.94	0.74	0.00	0.74	90.0	0.67
01/16/2018	00088628251	GRINDELE, RUSSELL G.	AMERICAN FUNDS 2025 TARGET DATE-A B	26.94	0.74	0.00	0.74	90.0	0.67
01/16/2018	00089201070	PARISH, SAMMY/CALEB	COLLEGE 2033 FUND-529A B	50.00	1.75	0.00	1.75	90.0	1.58
01/08/2018	00089316769	JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A B	10.00	0.28	0.00	0.28	90.0	0.25
01/08/2018	00089316769	JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A B	10.00	0.28	0.00	0.28	90.0	0.25
01/16/2018	00089316769	JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A B	10.00	0.28	0.00	0.28	90.0	0.25
01/16/2018	00089316769	JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A B	10.00	0.28	0.00	0.28	90.0	0.25
01/08/2018	00089357575	CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A B	70.00	1.93	0.00	1.93	90.0	1.74
01/08/2018	00089357575	CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A B	28.26	0.78	0.00	0.78	90.0	0.70
01/16/2018	00089357575	CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A B	29.76	0.82	0.00	0.82	90.0	0.74
01/16/2018	00089357575	CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A B	70.00	1.93	0.00	1.93	90.0	1.74
01/16/2018	00207266994	INGRAM, MEGAN L/MONDRAE	PORTFOLIO 2029-2032 A B	50.00	2.13	0.00	2.13	90.0	1.92
01/04/2018	01471247542	OWENS, CATHERINE J.	FIDELITY ADV ASSET MANAGER 85%-CL A B	458.33	22.92	0.00	22.92	90.0	20.63
01/16/2018	04000405006	HILLMAN, DUSTIN	COLLEGE 2033 FUND-529A B	100.00	3.50	0.00	3.50	90.0	3.15
01/16/2018	04718377567	MIERS, JOSHUA	ALLIANCE TECHNOLOGY FD CL A B	458.33	18.33	0.00	18.33	90.0	16.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/05/2018	07000073711 MINTER, MERIDITH	PRINCIPAL INVESTORS SAM STRAT GRO (B		229.16	9.17	0.00	9.17	90.0	8.25
01/05/2018	07000073711 MINTER, MERIDITH	PRINCIPAL INVESTORS SAM STRAT GRO (B		229.16	9.17	0.00	9.17	90.0	8.25
01/05/2018	07000073711 MINTER, MERIDITH	PRINCIPAL INVESTORS WEST COAST EQTY B		229.17	9.17	0.00	9.17	90.0	8.25
01/05/2018	07000073711 MINTER, MERIDITH	PRINCIPAL INVESTORS WEST COAST EQTY B		229.17	9.17	0.00	9.17	90.0	8.25
01/16/2018	07004631807 INGRAM, MEGAN	PORTFOLIO 2033-2036 A	B	50.00	2.13	0.00	2.13	90.0	1.92
	/MORGHAN								
01/05/2018	08900188177 MINTER, MERIDITH	PRINCIPAL INVESTORS SAM CONSERV GRO B		1,000.00	10.00	0.00	10.00	90.0	9.00
01/02/2018	5551032020 WHITT, CHAD M.	PORTFOLIO SERIES: EQUITY INVESTOR F B		200.00	9.50	0.00	9.50	90.0	8.55
		* MUTUAL FUNDS SUBTOTAL *		\$15,808.61	\$656.12	\$0.00	\$656.12		\$590.63
<u>PRODUCT CATEGORY: STOCKS</u>									
01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	143.77	143.77	0.00	143.77	90.0	129.39
		* STOCKS SUBTOTAL *		\$143.77	\$143.77	\$0.00	\$143.77		\$129.39
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/21/2017	RICHARDSON, BRIANA	-JACKSON NATIONAL	B	5,000.00	62.50	0.00	62.50	90.0	56.25
01/05/2018	TRAILS	-JOHN HANCOCK	B	75.63	75.63	0.00	75.63	90.0	68.07
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	28.08	28.08	0.00	28.08	90.0	25.27
		* VARIABLE ANNUITIES SUBTOTAL *		\$5,103.71	\$166.21	\$0.00	\$166.21		\$149.59
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	11567839 BARTON, LISA D	SIGNAL SECURITIES RIA	B	0.00	7.67	0.00	7.67	90.0	6.90
01/12/2018	11750216 HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	95.00	0.00	95.00	90.0	85.50
01/12/2018	11754268 KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	21.00	0.00	21.00	90.0	18.90
01/12/2018	13004139 SUTTON, TERRE I	SIGNAL SECURITIES RIA	B	0.00	27.67	0.00	27.67	90.0	24.90
01/12/2018	14849603 SCASTA, BRANDI	SIGNAL SECURITIES RIA	B	0.00	16.67	0.00	16.67	90.0	15.00
01/12/2018	15090246 RICHWINE, RANDALL & KIMBE	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	90.0	9.30
01/12/2018	15327103 PARISH, VICTORIA J	SIGNAL SECURITIES RIA	B	0.00	42.33	0.00	42.33	90.0	38.10
01/12/2018	15535924 HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	773.00	0.00	773.00	90.0	695.70
01/12/2018	15961193 RICE, JULIE D	SIGNAL SECURITIES RIA	B	0.00	141.67	0.00	141.67	90.0	127.50
01/12/2018	16731246 HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	90.0	0.60
01/12/2018	16737554 BROWN, WILMA JO	SIGNAL SECURITIES RIA	B	0.00	220.00	0.00	220.00	90.0	198.00
01/12/2018	16830093 HALL, MICHAEL & AIMEE	SIGNAL SECURITIES RIA	B	0.00	1,421.33	0.00	1,421.33	90.0	1,279.20
01/12/2018	19861374 GOLDENBERG, KRISTY	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
01/12/2018	20873922 WEST, MARSHA R	SIGNAL SECURITIES RIA	B	0.00	36.33	0.00	36.33	90.0	32.70
01/12/2018	20966370 MCCARTHY, CHRIS/CANDICE	SIGNAL SECURITIES RIA	B	0.00	3.67	0.00	3.67	90.0	3.30
01/12/2018	21210794 KIGER, MICHAEL K	SIGNAL SECURITIES RIA	B	0.00	190.00	0.00	190.00	90.0	171.00
01/12/2018	21603998 PALO, REBECCA K.	SIGNAL SECURITIES RIA	B	0.00	73.67	0.00	73.67	90.0	66.30

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	22006691 MCCOY, RAYMOND/LINDA	SIGNAL SECURITIES RIA	B	0.00	591.67	0.00	591.67	90.0	532.50
01/12/2018	23822702 STRAWSER, ROBERT KEVIN	SIGNAL SECURITIES RIA	B	0.00	307.00	0.00	307.00	90.0	276.30
01/12/2018	25342680 VISTINE, TIM A	SIGNAL SECURITIES RIA	B	0.00	16.33	0.00	16.33	90.0	14.70
01/12/2018	26871153 VISTINE, CHARLES DAVID	SIGNAL SECURITIES RIA	B	0.00	120.00	0.00	120.00	90.0	108.00
01/12/2018	27056511 SUTTON, TERRE I	SIGNAL SECURITIES RIA	B	0.00	155.67	0.00	155.67	90.0	140.10
01/12/2018	27336748 SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	22.33	0.00	22.33	90.0	20.10
01/12/2018	27636763 MCCRANIE, JACK & PERRI	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	90.0	17.70
01/12/2018	29148734 POWELL, LAMONT	SIGNAL SECURITIES RIA	B	0.00	173.00	0.00	173.00	90.0	155.70
01/12/2018	29861027 ZIMMERMAN, BROCK S	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
01/12/2018	30118591 PARISH, RUSSELL & KELLY	SIGNAL SECURITIES RIA	B	0.00	187.00	0.00	187.00	90.0	168.30
01/12/2018	30212369 COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	67.33	0.00	67.33	90.0	60.60
01/12/2018	30854428 HARTMAN, MATTIE E	SIGNAL SECURITIES RIA	B	0.00	39.33	0.00	39.33	90.0	35.40
01/12/2018	30933785 JAYMES, SHELEEN A	SIGNAL SECURITIES RIA	B	0.00	86.00	0.00	86.00	90.0	77.40
01/12/2018	31462737 BOBER, KATHERINE K	SIGNAL SECURITIES RIA	B	0.00	23.33	0.00	23.33	90.0	21.00
01/12/2018	31660678 SPENCER, KELLI/ABBY	SIGNAL SECURITIES RIA	B	0.00	9.67	0.00	9.67	90.0	8.70
01/12/2018	31805488 ZIMMERMAN, MARY ALYCE	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
01/12/2018	31811649 WILLIAMS, BRENDA ANN	SIGNAL SECURITIES RIA	B	0.00	25.33	0.00	25.33	90.0	22.80
01/12/2018	32562790 SPENCER, KELLI S	SIGNAL SECURITIES RIA	B	0.00	215.33	0.00	215.33	90.0	193.80
01/12/2018	33609517 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	69.00	0.00	69.00	90.0	62.10
01/22/2018	33752039 RICHARDSON, CLAY & BRIANA	SIGNAL SECURITIES RIA	B	0.00	417.00	0.00	417.00	90.0	375.30
01/12/2018	33996338 CAMPBELL, IAN & MARY	SIGNAL SECURITIES RIA	B	0.00	152.00	0.00	152.00	90.0	136.80
01/12/2018	34850080 RICE, BRIAN & JULIE	SIGNAL SECURITIES RIA	B	0.00	219.33	0.00	219.33	90.0	197.40
01/12/2018	36226079 PARISH, RUSSELL & KELLY	SIGNAL SECURITIES RIA	B	0.00	15.00	0.00	15.00	90.0	13.50
01/12/2018	36650710 GOLDENBERG, ADAM M	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
01/12/2018	36816725 PHILP, JAMES & BETH	SIGNAL SECURITIES RIA	B	0.00	639.00	0.00	639.00	90.0	575.10
01/12/2018	37455579 HILLMAN, DUSTIN & CHELSY	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
01/12/2018	37648579 LUBWAMA, ADRIAN & ROSETT	SIGNAL SECURITIES RIA	B	0.00	16.33	0.00	16.33	90.0	14.70
01/12/2018	38767645 LOONEY, RANDY L	SIGNAL SECURITIES RIA	B	0.00	168.00	0.00	168.00	90.0	151.20
01/12/2018	38839950 CAMPBELL, MARY G	SIGNAL SECURITIES RIA	B	0.00	170.33	0.00	170.33	90.0	153.30
01/12/2018	39267061 KASPER, ANTHONY T	SIGNAL SECURITIES RIA	B	0.00	44.33	0.00	44.33	90.0	39.90
01/12/2018	39273336 PARISH, SAM D	SIGNAL SECURITIES RIA	B	0.00	136.00	0.00	136.00	90.0	122.40

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	39438762 LUCUS, THOMAS BRENT	SIGNAL SECURITIES RIA	B	0.00	120.00	0.00	120.00	90.0	108.00
01/12/2018	39508930 LIPP, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
01/12/2018	39898429 BELCHER, TAMMY JEAN	SIGNAL SECURITIES RIA	B	0.00	50.33	0.00	50.33	90.0	45.30
01/12/2018	40149138 SAUNDERS, MARTHA	SIGNAL SECURITIES RIA	B	0.00	45.33	0.00	45.33	90.0	40.80
01/12/2018	40488610 SHISLER, LOREN W/JOYCE	SIGNAL SECURITIES RIA	B	0.00	292.67	0.00	292.67	90.0	263.40
01/12/2018	41387564 HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	165.67	0.00	165.67	90.0	149.10
01/12/2018	41427542 MEADE, ROBERT & GAIL	SIGNAL SECURITIES RIA	B	0.00	262.33	0.00	262.33	90.0	236.10
01/12/2018	41566521 MCCARTHY, CANDICE M	SIGNAL SECURITIES RIA	B	0.00	42.00	0.00	42.00	90.0	37.80
01/12/2018	41607521 MCCARTHY, CHRIS/CANDICE	SIGNAL SECURITIES RIA	B	0.00	39.67	0.00	39.67	90.0	35.70
01/12/2018	41778487 VISTINE, TIM A	SIGNAL SECURITIES RIA	B	0.00	113.67	0.00	113.67	90.0	102.30
01/12/2018	44608676 MACNAUGHTON, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	51.67	0.00	51.67	90.0	46.50
01/12/2018	44819267 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	127.33	0.00	127.33	90.0	114.60
01/12/2018	45214078 SAUNDERS, MARTHA	SIGNAL SECURITIES RIA	B	0.00	15.00	0.00	15.00	90.0	13.50
01/12/2018	45397194 CAMPBELL, IAN & MARY	SIGNAL SECURITIES RIA	B	0.00	270.67	0.00	270.67	90.0	243.60
01/12/2018	46403906 ROYAL, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	53.67	0.00	53.67	90.0	48.30
01/12/2018	46599623 RICHWINE, RANDALL & KIMBE	SIGNAL SECURITIES RIA	B	0.00	42.33	0.00	42.33	90.0	38.10
01/12/2018	48515094 SHISLER, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	6.67	0.00	6.67	90.0	6.00
01/12/2018	49301407 HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	756.00	0.00	756.00	90.0	680.40
01/12/2018	49711323 RUSSELL, JAMES E	SIGNAL SECURITIES RIA	B	0.00	4.33	0.00	4.33	90.0	3.90
01/12/2018	50668393 MILLER FAMILY TRUST, LARRY/ANDREA	SIGNAL SECURITIES RIA	B	0.00	59.33	0.00	59.33	90.0	53.40
01/12/2018	50743604 RICE, BRIAN & JULIE	SIGNAL SECURITIES RIA	B	0.00	103.67	0.00	103.67	90.0	93.30
01/12/2018	51491652 MOYNIHAN, LINDA A.	SIGNAL SECURITIES RIA	B	0.00	14.33	0.00	14.33	90.0	12.90
01/12/2018	52388846 MOYNIHAN, LINDA A.	SIGNAL SECURITIES RIA	B	0.00	8.33	0.00	8.33	90.0	7.50
01/12/2018	53175378 WILLIAMS III, THEODORE/BRENDA	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	90.0	7.80
01/12/2018	53186569 RANEY, JASON	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	90.0	0.60
01/12/2018	54673339 ZIMMERMAN, NOAH B	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
01/12/2018	54771115 HYDINGER, DANIEL/MICHELLE	SIGNAL SECURITIES RIA	B	0.00	337.67	0.00	337.67	90.0	303.90
01/12/2018	54860066 BURKETT, MICHAEL R	SIGNAL SECURITIES RIA	B	0.00	358.67	0.00	358.67	90.0	322.80
01/12/2018	55421837 SPENCER, KELLI/JEFFREY	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	90.0	9.00
01/12/2018	55632540 DELGADO, EDUARDO/ P GAIL	SIGNAL SECURITIES RIA	B	0.00	353.00	0.00	353.00	90.0	317.70
01/12/2018	55689243 PARISH, KELLY PAIGE	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018 56480647	KEITH, CHARLOTTE MEEK	SIGNAL SECURITIES RIA	B	0.00	226.33	0.00	226.33	90.0	203.70
01/12/2018 56483663	SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	90.0	17.70
01/12/2018 58128434	LIPP, CHRISTOPHER S	SIGNAL SECURITIES RIA	B	0.00	29.33	0.00	29.33	90.0	26.40
01/12/2018 58720438	LIPP, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	4.33	0.00	4.33	90.0	3.90
01/12/2018 58847212	HILGARDNER, THERESA	SIGNAL SECURITIES RIA	B	0.00	75.33	0.00	75.33	90.0	67.80
	SUE								
01/12/2018 59247893	PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	204.33	0.00	204.33	90.0	183.90
01/12/2018 59303330	GETTO, MARY VIRGINIA	SIGNAL SECURITIES RIA	B	0.00	100.67	0.00	100.67	90.0	90.60
01/12/2018 59483304	KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	319.00	0.00	319.00	90.0	287.10
01/12/2018 60172642	WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	78.67	0.00	78.67	90.0	70.80
01/12/2018 60176438	HUTCHISON, ROBERT &	SIGNAL SECURITIES RIA	B	0.00	208.33	0.00	208.33	90.0	187.50
	VIKKI								
01/12/2018 60650863	BREAUX, SANDRA K	SIGNAL SECURITIES RIA	B	0.00	180.33	0.00	180.33	90.0	162.30
01/12/2018 62006841	WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	64.67	0.00	64.67	90.0	58.20
01/12/2018 62721211	SCASTA, STEPHEN	SIGNAL SECURITIES RIA	B	0.00	147.00	0.00	147.00	90.0	132.30
01/12/2018 63523092	BREAUX, SANDRA K	SIGNAL SECURITIES RIA	B	0.00	75.67	0.00	75.67	90.0	68.10
01/12/2018 63534306	WATKINS, RICHARD/	SIGNAL SECURITIES RIA	B	0.00	57.33	0.00	57.33	90.0	51.60
	ASHLEY								
01/12/2018 65038561	PALO, REBECCA K.	SIGNAL SECURITIES RIA	B	0.00	28.00	0.00	28.00	90.0	25.20
01/12/2018 65960021	REEVES/HARRIS,	SIGNAL SECURITIES RIA	B	0.00	2.67	0.00	2.67	90.0	2.40
	TYLER/COURTNEY								
01/12/2018 66465361	MUFUNBIRO, LISA M	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
01/12/2018 66475080	PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	77.67	0.00	77.67	90.0	69.90
01/12/2018 66477226	SCASTA, STEPHEN	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
01/12/2018 67017804	COTE, PATTY	SIGNAL SECURITIES RIA	B	0.00	16.67	0.00	16.67	90.0	15.00
01/12/2018 67138671	BOBER, THOMAS & KATHY	SIGNAL SECURITIES RIA	B	0.00	53.67	0.00	53.67	90.0	48.30
01/12/2018 67763155	COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	89.67	0.00	89.67	90.0	80.70
01/12/2018 69525005	GOLDEN, DARRYL LYNN	SIGNAL SECURITIES RIA	B	0.00	216.33	0.00	216.33	90.0	194.70
01/12/2018 71272747	PARISH, WESLEY &	SIGNAL SECURITIES RIA	B	0.00	311.67	0.00	311.67	90.0	280.50
	LESLIE								
01/12/2018 71349299	WEST TEST. TRUST,	SIGNAL SECURITIES RIA	B	0.00	441.33	0.00	441.33	90.0	397.20
	DAVID S								
01/12/2018 71376962	SCOTT, TIMOTHY L	SIGNAL SECURITIES RIA	B	0.00	204.00	0.00	204.00	90.0	183.60
01/12/2018 71717760	ZIMMERMAN, W B &MARY	SIGNAL SECURITIES RIA	B	0.00	268.67	0.00	268.67	90.0	241.80
	ALYCE								
01/12/2018 72586770	PARISH, SAM D	SIGNAL SECURITIES RIA	B	0.00	406.00	0.00	406.00	90.0	365.40
01/12/2018 72722158	PHILP, REBECCA	SIGNAL SECURITIES RIA	B	0.00	14.67	0.00	14.67	90.0	13.20
	ELIZABE								
01/12/2018 73441084	COX, SHARON	SIGNAL SECURITIES RIA	B	0.00	127.67	0.00	127.67	90.0	114.90
01/12/2018 74258191	WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
01/12/2018 74717597	HUBBARD, MARK R	SIGNAL SECURITIES RIA	B	0.00	35.00	0.00	35.00	90.0	31.50
01/12/2018 74742534	SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67	90.0	20.40
01/12/2018 75508848	GETTO, MARY VIRGINIA	SIGNAL SECURITIES RIA	B	0.00	15.67	0.00	15.67	90.0	14.10
01/12/2018 75899513	BRYAN, ROBERT/MELISSA	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
01/12/2018 76384301	RANEY, JASON	SIGNAL SECURITIES RIA	B	0.00	38.33	0.00	38.33	90.0	34.50
01/12/2018 77083119	WEST, MARSHA R	SIGNAL SECURITIES RIA	B	0.00	60.67	0.00	60.67	90.0	54.60
01/12/2018 78079060	LEON, ASHLEY MICHELLE	SIGNAL SECURITIES RIA	B	0.00	38.00	0.00	38.00	90.0	34.20
01/12/2018 78489883	SHISLER, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	86.00	0.00	86.00	90.0	77.40
01/12/2018 80005105	SMITH, AVONNE M	SIGNAL SECURITIES RIA	B	0.00	97.33	0.00	97.33	90.0	87.60
01/12/2018 80455638	RUSSELL, JAMES E	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
01/12/2018 81582307	WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	199.33	0.00	199.33	90.0	179.40
01/12/2018 82214691	HARRIS, GARY D	SIGNAL SECURITIES RIA	B	0.00	151.33	0.00	151.33	90.0	136.20
01/12/2018 82904951	HUBBARD, MARK R	SIGNAL SECURITIES RIA	B	0.00	45.67	0.00	45.67	90.0	41.10
01/12/2018 83237717	MOYNIHAN, P. JOHN	SIGNAL SECURITIES RIA	B	0.00	71.33	0.00	71.33	90.0	64.20
01/12/2018 83318344	KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	29.00	0.00	29.00	90.0	26.10
01/12/2018 83532025	LANCE, CHERYL L	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	90.0	7.80
01/12/2018 83978523	ADKINS, PEGGY R	SIGNAL SECURITIES RIA	B	0.00	62.33	0.00	62.33	90.0	56.10
01/12/2018 84984988	HALL, ALISA LYNN	SIGNAL SECURITIES RIA	B	0.00	84.33	0.00	84.33	90.0	75.90
01/12/2018 85627507	RUSSELL, CHRISTINE C	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
01/12/2018 85704471	FOWLKES, STEVEN & ELLEN	SIGNAL SECURITIES RIA	B	0.00	1,910.33	0.00	1,910.33	90.0	1,719.30
01/12/2018 86788791	ZIMMERMAN, ZACHARY J	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
01/12/2018 88805216	WILLIAMS, BRENDA ANN	SIGNAL SECURITIES RIA	B	0.00	33.67	0.00	33.67	90.0	30.30
01/12/2018 89271206	TESTA, JOSEPH A	SIGNAL SECURITIES RIA	B	0.00	279.33	0.00	279.33	90.0	251.40
01/12/2018 90142952	HARTMAN, CRAIG T	SIGNAL SECURITIES RIA	B	0.00	18.33	0.00	18.33	90.0	16.50
01/12/2018 90584473	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	50.33	0.00	50.33	90.0	45.30
01/12/2018 91672459	TOWNSEND, MARILYN & LAWSON	SIGNAL SECURITIES RIA	B	0.00	48.67	0.00	48.67	90.0	43.80
01/12/2018 91748202	HARRIS, COURTNEY A	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	90.0	7.80
01/12/2018 91781366	LIPP, CHRISTOPHER S	SIGNAL SECURITIES RIA	B	0.00	38.67	0.00	38.67	90.0	34.80
01/12/2018 92167936	REEVES/HARRIS, TYLER/COURTNEY	SIGNAL SECURITIES RIA	B	0.00	1.00	0.00	1.00	90.0	0.90
01/12/2018 92531509	BUELL, JEFFREY & CHARL F.	SIGNAL SECURITIES RIA	B	0.00	234.33	0.00	234.33	90.0	210.90
01/12/2018 92627603	BARTON, LISA D	SIGNAL SECURITIES RIA	B	0.00	93.67	0.00	93.67	90.0	84.30
01/12/2018 95074654	DOYLE, TERESA M	SIGNAL SECURITIES RIA	B	0.00	38.33	0.00	38.33	90.0	34.50
01/12/2018 95140665	WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	90.0	9.00
01/12/2018 95683095	LANCE, CHERYL L	SIGNAL SECURITIES RIA	B	0.00	51.33	0.00	51.33	90.0	46.20
01/12/2018 95788083	LUCUS, LADONNA	SIGNAL SECURITIES RIA	B	0.00	130.00	0.00	130.00	90.0	117.00
01/12/2018 96521624	MOYNIHAN, P. JOHN	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	97505602 SPENCER, KELLI S	SIGNAL SECURITIES RIA	B	0.00	19.33	0.00	19.33	90.0	17.40
01/12/2018	97611628 MILLER FAMILY TRUST, LARRY/ANDREA	SIGNAL SECURITIES RIA	B	0.00	308.67	0.00	308.67	90.0	277.80
01/12/2018	97754476 WILLIAMS III, THEODORE/BRENDA	SIGNAL SECURITIES RIA	B	0.00	33.67	0.00	33.67	90.0	30.30
01/12/2018	98213803 SASSMAN, KATHLEEN/JAMES	SIGNAL SECURITIES RIA	B	0.00	435.00	0.00	435.00	90.0	391.50
01/12/2018	99473573 MACNAUGHTON, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67	90.0	20.40
* RIA SUBTOTAL *				\$0.00	\$19,969.68	\$0.00	\$19,969.68		\$17,972.70
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	637.52	0.00	637.52	90.0	573.82
02/01/2018	TRAIL COMMISSIONS	PRINCIPAL MUTUAL FUNDS FEES		0.00	11.13	0.00	11.13	90.0	10.02
02/01/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	20.26	0.00	20.26	90.0	18.24
02/01/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	7.05	0.00	7.05	90.0	6.35
02/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	2.86	0.00	2.86	90.0	2.57
02/01/2018	TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES		0.00	10.50	0.00	10.50	90.0	9.45
02/01/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	1,397.81	0.00	1,397.81	90.0	1,258.03
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,087.13	\$0.00	\$2,087.13		\$1,878.48
*** BROKER TRANSACTIONS TOTAL ***				\$21,056.09	\$23,022.91	\$0.00	\$23,022.91		\$20,720.79
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SNOW/MOYNIHAN #9543</u>									
12/31/2017	TRAILS	-FIDELITY ADVISOR FUNDS	B	180.34	72.14	0.00	72.14	90.0	64.93
01/12/2018	47895792 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	176.93	0.00	176.93	90.0	159.24
01/12/2018	75756775 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	105.33	0.00	105.33	90.0	94.80
01/12/2018	83385351 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	15.60	0.00	15.60	90.0	14.04
01/12/2018	91616746 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	90.0	6.60
* SNOW/MOYNIHAN SUBTOTAL *				\$180.34	\$377.33	\$0.00	\$377.33		\$339.61
<u>BROKER: SINGLETON/MOYNIHAN #9595</u>									
01/12/2018	15381199 WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	80.00	0.00	80.00	90.0	72.00
01/12/2018	17599493 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	62.83	0.00	62.83	90.0	56.55
01/12/2018	31646344 DAVIS, RUFUS L	SIGNAL SECURITIES RIA	B	0.00	106.00	0.00	106.00	90.0	95.40
01/12/2018	41564753 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	12.66	0.00	12.66	90.0	11.39
01/12/2018	41989330 YOUNGBERG, THOMAS N	SIGNAL SECURITIES RIA	B	0.00	49.83	0.00	49.83	90.0	44.85

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SINGLETON/MOYNIHAN #9595</u>									
01/12/2018	42529214 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	79.83	0.00	79.83	90.0	71.85
01/12/2018	45771580 WARREN, THOMAS & SUSAN	SIGNAL SECURITIES RIA	B	0.00	130.66	0.00	130.66	90.0	117.59
01/12/2018	51406766 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	93.83	0.00	93.83	90.0	84.45
01/12/2018	62437500 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	242.83	0.00	242.83	90.0	218.55
01/12/2018	63181693 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	88.33	0.00	88.33	90.0	79.50
01/12/2018	67846422 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	0.83	0.00	0.83	90.0	0.75
01/12/2018	68333401 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	63.83	0.00	63.83	90.0	57.45
01/12/2018	69683604 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	63.66	0.00	63.66	90.0	57.29
01/12/2018	82910782 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	59.66	0.00	59.66	90.0	53.69
01/12/2018	86316364 WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	62.50	0.00	62.50	90.0	56.25
* SINGLETON/MOYNIHAN SUBTOTAL *				<u>\$0.00</u>	<u>\$1,197.28</u>	<u>\$0.00</u>	<u>\$1,197.28</u>		<u>\$1,077.56</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$180.34</u>	<u>\$1,574.61</u>	<u>\$0.00</u>	<u>\$1,574.61</u>		<u>\$1,417.17</u>

VERRIDE TRANSACTIONS

<u>BROKER: THOMPSON/SIGNAL #9622</u>									
01/12/2018	35296442 DAUM (SEPARATE PROP), JERRY F	SIGNAL SECURITIES RIA	B	0.00	5,603.67	0.00	5,603.67		308.20
* THOMPSON/SIGNAL SUBTOTAL *				<u>\$0.00</u>	<u>\$5,603.67</u>	<u>\$0.00</u>	<u>\$5,603.67</u>		<u>\$308.20</u>
*** OVERRIDE TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$5,603.67</u>	<u>\$0.00</u>	<u>\$5,603.67</u>		<u>\$308.20</u>

ADJUSTMENTS

02/01/2018	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
02/01/2018	HILLTOP: CUSTOMER WRITE OFF			0.00	0.00	0.00	0.00		-3.77
02/01/2018	RENT: 1/2 MONTH			0.00	0.00	0.00	0.00		-125.00
02/01/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-256.27</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$20,720.79
Split Transactions	\$1,417.17
Override Transactions	\$308.20
Adjustments	\$-256.27
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$22,189.89
Year-to-date Earnings	\$46,784.44