



# COMMISSION STATEMENT

## February 26, 2018

BERT GOLDBERG  
1048 W. Myrna Lane  
Tempe, AZ 85284

BROKER #: 698  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/05/2018	***-**-94IR - HALL-SPLENDORIO, PIONEER FUND A		50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 06202691947	BUY 2 Units @30.4100						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$50.00</b>	<b>\$2.50</b>	<b>\$0.00</b>	<b>\$2.50</b>		<b>\$1.75</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
02/20/2018	***-**-1184 - SPORRER, SHIRLEY AMCAP FUND-A		0.00	2.18	0.00	2.18	70.0	1.53
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
02/20/2018	***-**-0400 - SPORRER, JERALD AMCAP FUND-A		0.00	4.36	0.00	4.36	70.0	3.05
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
02/20/2018	***-**-00IR - SPORRER, JERALD AMCAP FUND-A		0.00	4.21	0.00	4.21	70.0	2.95
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
02/20/2018	***-**-0437 - ALLEN, WAYNE AMCAP FUND-A		0.00	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
02/20/2018	***-**-0376 - ALLEN, MISTY AMCAP FUND-A		0.00	4.63	0.00	4.63	70.0	3.24
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
02/20/2018	***-**-37TR - SCHROEDER TRUST, AMERICAN BALANCED FUND-A		0.00	12.14	0.00	12.14	70.0	8.50
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
02/20/2018	***-**-579I - FREDERICK, AMERICAN BALANCED FUND-A		0.00	20.88	0.00	20.88	70.0	14.62
	ACCOUNT #: LSR011570	BUY 1 Units @0.0000						
02/20/2018	***-**-0737 - SCHROEDER, JACK AMERICAN BALANCED FUND-A		0.00	13.49	0.00	13.49	70.0	9.44
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
02/20/2018	***-**-37TR - SCHROEDER TRUST, AMERICAN FUNDS TAX ADVANTGED INC PO		0.00	11.53	0.00	11.53	70.0	8.07
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
02/20/2018	***-**-568I - MARSHALL, BRUCE BOND A		0.00	10.63	0.00	10.63	70.0	7.44
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
02/20/2018	***-**-5594 - BISHOP, SHANNON EUROPACIFIC GROWTH FUND-A		0.00	1.09	0.00	1.09	70.0	0.76
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
02/26/2018	***-**-37TR - SCHROEDER TRUST, FIDELITY GOVT MMKT DAILY MONEY CLAS		0.00	0.23	0.00	0.23	70.0	0.16
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
02/26/2018	***-**-514I - BERGIN, JOSEPH FIDELITY GOVT MMKT DAILY MONEY CLAS		0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
02/26/2018	***-**-32IO - VASQUEZ, CHARLES FIDELITY GOVT MMKT DAILY MONEY CLAS		0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR006530	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/26/2018	***-**-24IO - DEWITT, TRACY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
02/26/2018	***-**-29IO - NORFLEET,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR010116	BUY 1 Units @0.0000						
02/26/2018	***-**-76IO - SMOAKE, DAVID	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.75	0.00	0.75	70.0	0.53
	ACCOUNT #: LSR011651	BUY 1 Units @0.0000						
02/26/2018	***-**-703I - LEGROS, DAVID	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.51	0.00	0.51	70.0	0.36
	ACCOUNT #: LSR011660	BUY 1 Units @0.0000						
02/26/2018	***-**-33IO - AUDY, JAMES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	31.28	0.00	31.28	70.0	21.90
	ACCOUNT #: LSR012379	BUY 1 Units @0.0000						
02/26/2018	***-**-654I - ROSTAD, BARBARA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.71	0.00	1.71	70.0	1.20
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
02/26/2018	***-**-3603 - BACA, RACHEL	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105074	BUY 1 Units @0.0000						
02/26/2018	***-**-0724 - STROMMESEN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105090	BUY 1 Units @0.0000						
02/26/2018	***-**-1788 - STROMMESEN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.07	0.00	0.07	70.0	0.05
	ACCOUNT #: LSR105104	BUY 1 Units @0.0000						
02/26/2018	***-**-0737 - SCHROEDER, JACK	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.42	0.00	0.42	70.0	0.29
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
02/26/2018	***-**-1184 - SPORRER, SHIRLEY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
02/26/2018	***-**-184I - SPORRER, SHIRLEY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
02/26/2018	***-**-0400 - SPORRER, JERALD	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
02/26/2018	***-**-00IR - SPORRER, JERALD	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
02/26/2018	***-**-5594 - BISHOP, SHANNON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	70.0	0.06
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
02/26/2018	***-**-0437 - ALLEN, WAYNE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/26/2018	***-**-9761 - MCCARTHY, NANCY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	70.0	0.06
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
02/26/2018	***-**-9210 - SAUDER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105538	BUY 1 Units @0.0000						
02/26/2018	***-**-0376 - ALLEN, MISTY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
02/26/2018	***-**-568J - MARSHALL, BRUCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.64	0.00	0.64	70.0	0.45
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
02/26/2018	***-**-0383 - MARSHALL,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.16	0.00	0.16	70.0	0.11
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
02/26/2018	***-**-568I - MARSHALL, BRUCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.09	0.00	1.09	70.0	0.76
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
02/26/2018	***-**-5712 - RAMSEY, GUSSIE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	2.95	0.00	2.95	70.0	2.07
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
02/26/2018	***-**-0237 - RAMSEY, WILLIAM	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR105937	BUY 1 Units @0.0000						
02/26/2018	***-**-2425 - BRIAN N CALNAN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.45	0.00	0.45	70.0	0.32
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
02/26/2018	***-**-388I - SHIPMAN, M	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR108812	BUY 1 Units @0.0000						
02/26/2018	***-**-1184 - SPORRER, SHIRLEY	FIRST EAGLE GOLD FUND CLASS A	0.00	0.99	0.00	0.99	70.0	0.69
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
02/26/2018	***-**-184I - SPORRER, SHIRLEY	FIRST EAGLE GOLD FUND CLASS A	0.00	1.61	0.00	1.61	70.0	1.13
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
02/26/2018	***-**-001R - SPORRER, JERALD	FIRST EAGLE GOLD FUND CLASS A	0.00	1.86	0.00	1.86	70.0	1.30
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
02/20/2018	***-**-0724 - STROMMESEN,	FRANKLIN INCOME FUND CLASS A	0.00	4.65	0.00	4.65	70.0	3.26
	ACCOUNT #: LSR105090	BUY 1 Units @0.0000						
02/20/2018	***-**-1788 - STROMMESEN,	FRANKLIN INCOME FUND CLASS A	0.00	3.00	0.00	3.00	70.0	2.10
	ACCOUNT #: LSR105104	BUY 1 Units @0.0000						
02/20/2018	***-**-9761 - MCCARTHY, NANCY	FRANKLIN INCOME FUND CLASS A	0.00	2.67	0.00	2.67	70.0	1.87
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/20/2018	***-**-568J - MARSHALL, BRUCE	FRANKLIN INCOME FUND CLASS A	0.00	7.43	0.00	7.43	70.0	5.20
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
02/20/2018	***-**-0383 - MARSHALL,	FRANKLIN INCOME FUND CLASS A	0.00	0.59	0.00	0.59	70.0	0.41
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
02/20/2018	***-**-5712 - RAMSEY, GUSSIE	FRANKLIN INCOME FUND CLASS A	0.00	13.88	0.00	13.88	70.0	9.72
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
02/20/2018	***-**-2425 - BRIAN N CALNAN,	FRANKLIN INCOME FUND CLASS A	0.00	0.93	0.00	0.93	70.0	0.65
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
02/20/2018	***-**-10TR - SAUDER TRUST	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	88.49	0.00	88.49	70.0	61.94
	ACCOUNT #: LSR010138	BUY 1 Units @0.0000						
02/20/2018	***-**-92IO - SAUDER	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	46.93	0.00	46.93	70.0	32.85
	ACCOUNT #: LSR105538	BUY 1 Units @0.0000						
02/20/2018	***-**-1184 - SPORRER, SHIRLEY	FRK GOLD FUND - CLASS A	0.00	0.21	0.00	0.21	70.0	0.15
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
02/20/2018	***-**-184I - SPORRER, SHIRLEY	FRK GOLD FUND - CLASS A	0.00	0.33	0.00	0.33	70.0	0.23
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
02/20/2018	***-**-0400 - SPORRER, JERALD	FRK GOLD FUND - CLASS A	0.00	0.34	0.00	0.34	70.0	0.24
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
02/20/2018	***-**-00IR - SPORRER, JERALD	FRK GOLD FUND - CLASS A	0.00	0.25	0.00	0.25	70.0	0.18
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
02/20/2018	***-**-24IO - DEWITT, TRACY	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	6.00	0.00	6.00	70.0	4.20
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
02/20/2018	***-**-0383 - MARSHALL,	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	7.82	0.00	7.82	70.0	5.47
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
02/20/2018	***-**-568I - MARSHALL, BRUCE	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	0.57	0.00	0.57	70.0	0.40
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
02/20/2018	***-**-2425 - BRIAN N CALNAN,	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	3.97	0.00	3.97	70.0	2.78
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
02/20/2018	***-**-1184 - SPORRER, SHIRLEY	JOHN HANCOCK GL ABSO RETURN STRATS	0.00	0.57	0.00	0.57	70.0	0.40
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
02/20/2018	***-**-568J - MARSHALL, BRUCE	JOHN HANCOCK GLOBAL EQUITY FUND CLA	0.00	2.98	0.00	2.98	70.0	2.09
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/20/2018	***-**-5712 - RAMSEY, GUSSIE	JOHN HANCOCK TECHNICAL OPPS CL A	0.00	1.96	0.00	1.96	70.0	1.37
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
02/20/2018	***-**-32IO - VASQUEZ, CHARLES	LIFESTYLE BALANCED PORTFOLIO A	0.00	0.29	0.00	0.29	70.0	0.20
	ACCOUNT #: LSR006530	BUY 1 Units @0.0000						
02/20/2018	***-**-24IO - DEWITT, TRACY	LIFESTYLE BALANCED PORTFOLIO A	0.00	24.47	0.00	24.47	70.0	17.13
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
02/20/2018	***-**-0724 - STROMMESEN,	LIFESTYLE BALANCED PORTFOLIO A	0.00	6.38	0.00	6.38	70.0	4.47
	ACCOUNT #: LSR105090	BUY 1 Units @0.0000						
02/20/2018	***-**-1788 - STROMMESEN,	LIFESTYLE BALANCED PORTFOLIO A	0.00	4.10	0.00	4.10	70.0	2.87
	ACCOUNT #: LSR105104	BUY 1 Units @0.0000						
02/20/2018	***-**-00IR - SPORRER, JERALD	LIFESTYLE BALANCED PORTFOLIO A	0.00	0.95	0.00	0.95	70.0	0.67
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
02/20/2018	***-**-9761 - MCCARTHY, NANCY	LIFESTYLE BALANCED PORTFOLIO A	0.00	3.35	0.00	3.35	70.0	2.35
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
02/20/2018	***-**-568J - MARSHALL, BRUCE	LIFESTYLE BALANCED PORTFOLIO A	0.00	28.39	0.00	28.39	70.0	19.87
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
02/20/2018	***-**-0383 - MARSHALL,	LIFESTYLE BALANCED PORTFOLIO A	0.00	29.35	0.00	29.35	70.0	20.55
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
02/20/2018	***-**-9483 - WYCKOFF,	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.67	0.00	2.67	70.0	1.87
	ACCOUNT #: LSR106887	BUY 1 Units @0.0000						
02/20/2018	***-**-29IO - NORFLEET,	LIFESTYLE CONSERVATIVE PORTFOLIO A	0.00	10.97	0.00	10.97	70.0	7.68
	ACCOUNT #: LSR010116	BUY 1 Units @0.0000						
02/20/2018	***-**-76IO - SMOAKE, DAVID	LIFESTYLE GROWTH PORTFOLIO A	0.00	12.43	0.00	12.43	70.0	8.70
	ACCOUNT #: LSR011651	BUY 1 Units @0.0000						
02/20/2018	***-**-1184 - SPORRER, SHIRLEY	LIFESTYLE GROWTH PORTFOLIO A	0.00	0.94	0.00	0.94	70.0	0.66
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
02/20/2018	***-**-5594 - BISHOP, SHANNON	LIFESTYLE GROWTH PORTFOLIO A	0.00	1.35	0.00	1.35	70.0	0.95
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
02/20/2018	***-**-2425 - BRIAN N CALNAN,	LIFESTYLE GROWTH PORTFOLIO A	0.00	7.77	0.00	7.77	70.0	5.44
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
02/20/2018	***-**-37TR - SCHROEDER TRUST,	LIFESTYLE MODERATE PORTFOLIO A	0.00	10.13	0.00	10.13	70.0	7.09
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/20/2018	***-**-514I - BERGIN, JOSEPH ACCOUNT #: LSR004910	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	6.85	0.00	6.85	70.0	4.80
02/20/2018	***-**-099X - JAMES L SMITH, ACCOUNT #: LSR011494	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	0.28	0.00	0.28	70.0	0.20
02/20/2018	***-**-087X - MACHRINA E ACCOUNT #: LSR011495	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	0.28	0.00	0.28	70.0	0.20
02/20/2018	***-**-703I - LEGROS, DAVID ACCOUNT #: LSR011660	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	7.68	0.00	7.68	70.0	5.38
02/20/2018	***-**-0737 - SCHROEDER, JACK ACCOUNT #: LSR105112	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	5.50	0.00	5.50	70.0	3.85
02/20/2018	***-**-568I - MARSHALL, BRUCE ACCOUNT #: LSR105627	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	46.80	0.00	46.80	70.0	32.76
02/20/2018	***-**-5712 - RAMSEY, GUSSIE ACCOUNT #: LSR105929	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	25.44	0.00	25.44	70.0	17.81
02/20/2018	***-**-0237 - RAMSEY, WILLIAM ACCOUNT #: LSR105937	LIFESTYLE MODERATE PORTFOLIO A BUY 1 Units @0.0000	0.00	4.21	0.00	4.21	70.0	2.95
02/26/2018	***-**-184I - SPORRER, SHIRLEY ACCOUNT #: LSR105252	NATIONWIDE INVESTOR DESTIN CONSERV BUY 1 Units @0.0000	0.00	3.49	0.00	3.49	70.0	2.44
02/26/2018	***-**-0400 - SPORRER, JERALD ACCOUNT #: LSR105287	NATIONWIDE INVESTOR DESTIN CONSERV BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	70.0	0.71
02/20/2018	***-**-0437 - ALLEN, WAYNE ACCOUNT #: LSR105414	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	2.56	0.00	2.56	70.0	1.79
02/20/2018	***-**-0376 - ALLEN, MISTY ACCOUNT #: LSR105570	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	4.71	0.00	4.71	70.0	3.30
02/26/2018	***-**-2425 - BRIAN N CALNAN, ACCOUNT #: LSR105945	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	7.11	0.00	7.11	70.0	4.98
02/26/2018	***-**-514I - BERGIN, JOSEPH ACCOUNT #: LSR004910	PUTNAM ABSOLUTE RETURN 300 FUND CLA BUY 1 Units @0.0000	0.00	0.36	0.00	0.36	70.0	0.25
02/20/2018	***-**-0437 - ALLEN, WAYNE ACCOUNT #: LSR105414	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.65	0.00	2.65	70.0	1.86
02/20/2018	***-**-0376 - ALLEN, MISTY ACCOUNT #: LSR105570	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	4.88	0.00	4.88	70.0	3.42



# COMMISSION STATEMENT

February 26, 2018

BERT GOLDBERG  
1048 W. Myrna Lane  
Tempe, AZ 85284

BROKER #: 698  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/20/2018	***-**-184I - SPORRER, SHIRLEY	THE INCOME FUND OF AMERICA-A	0.00	5.20	0.00	5.20	70.0	3.64
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
02/20/2018	***-**-0400 - SPORRER, JERALD	THE INCOME FUND OF AMERICA-A	0.00	1.11	0.00	1.11	70.0	0.78
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
02/20/2018	***-**-00IR - SPORRER, JERALD	THE INCOME FUND OF AMERICA-A	0.00	7.96	0.00	7.96	70.0	5.57
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
02/20/2018	***-**-0437 - ALLEN, WAYNE	THE INCOME FUND OF AMERICA-A	0.00	1.91	0.00	1.91	70.0	1.34
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
02/20/2018	***-**-7347 - WYCKOFF, DAVID	THE INCOME FUND OF AMERICA-A	0.00	0.39	0.00	0.39	70.0	0.27
	ACCOUNT #: LSR105457	BUY 1 Units @0.0000						
02/20/2018	***-**-0376 - ALLEN, MISTY	THE INCOME FUND OF AMERICA-A	0.00	3.53	0.00	3.53	70.0	2.47
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
02/20/2018	***-**-184I - SPORRER, SHIRLEY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	3.28	0.00	3.28	70.0	2.30
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
02/20/2018	***-**-0400 - SPORRER, JERALD	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.61	0.00	2.61	70.0	1.83
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
02/20/2018	***-**-00IR - SPORRER, JERALD	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.89	0.00	1.89	70.0	1.32
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
02/20/2018	***-**-0437 - ALLEN, WAYNE	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.27	0.00	2.27	70.0	1.59
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
02/20/2018	***-**-0376 - ALLEN, MISTY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	4.18	0.00	4.18	70.0	2.93
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
02/20/2018	***-**-7347 - WYCKOFF, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.27	0.00	0.27	70.0	0.19
	ACCOUNT #: LSR105457	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$628.42</b>	<b>\$0.00</b>	<b>\$628.42</b>		<b>\$440.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$50.00</b>	<b>\$630.92</b>	<b>\$0.00</b>	<b>\$630.92</b>		<b>\$441.75</b>



BROKER #: 698  
BRANCH #01001 - LifeMark Home Office

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$441.75
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$441.75
						Year-to-date Earnings		\$0.00