

Bill Payment Stub

Check Date:	2/7/2018
Check No.:	ACH
Check Amount:	8,440.18

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: RICHARD A. DURAND
12919 KINGS FOREST
SAN ANTONIO, TX 78230

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
1/31/2018	Bill	COMMISSIONS	8,560.18	8,560.18		8,560.18
2/1/2018	Credit	E&O	-120.00	-120.00		-120.00

COMMISSION STATEMENT

January 31, 2018

RICHARD DURAND-D020

BROKER #: D020

BRANCH: DMN

, TX

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
01/08/2018 368433447	SIMPSON, JILLIAN	MF MFS GROWTH ALLOCATION FUND-A	S	2,712.45	135.00	2.50	132.50	85.0	112.63
01/08/2018 368433447	SIMPSON, JILLIAN	MF MFS MODERATE ALLOCATION FUND-A	S	2,712.45	135.00	2.50	132.50	85.0	112.63
01/08/2018 368491001	SIMPSON, DAVID	MF MFS GROWTH ALLOCATION FUND-A	S	2,712.45	135.00	2.50	132.50	85.0	112.63
01/08/2018 368491001	SIMPSON, DAVID	MF MFS MODERATE ALLOCATION FUND-A	S	2,712.45	135.00	2.50	132.50	85.0	112.63
01/24/2018 491450098	MOORE CUST FOR,	MF MAIN STREET FUND A	S	-14,930.89	0.00	5.00	-5.00	85.0	-4.25
01/24/2018 491490999	MOORE CUST FOR,	MF MAIN STREET FUND A	S	-7,598.56	0.00	5.00	-5.00	85.0	-4.25
01/10/2018 492392409	MATHESON, JO HELEN	MF THE INVESTMENT COMPANY OF	B	-4,987.55	0.00	5.00	-5.00	85.0	-4.25
01/17/2018 646100327	RITCHIE, MATTHEW	MF THE GROWTH FUND OF AMERICA-A	S	416.66	11.39	2.50	8.89	85.0	7.56
01/05/2018 677622535	KRET, DAVID	MF CAPITAL APPRECIATION A	S	-14,546.13	0.00	5.00	-5.00	85.0	-4.25
01/05/2018 677622535	KRET, DAVID	MF OPPENHEIMER U.S. GOVT TR CLASS	S	-19,138.37	0.00	5.00	-5.00	85.0	-4.25
01/04/2018 799777335	WILSON, KATHERINE	MF THE GROWTH FUND OF AMERICA-A	B	-2,487.55	0.00	5.00	-5.00	85.0	-4.25
01/04/2018 799777335	WILSON, KATHERINE	MF THE INVESTMENT COMPANY OF	B	-2,487.55	0.00	5.00	-5.00	85.0	-4.25
* MUTUAL FUNDS SUBTOTAL *				\$-54,910.14	\$551.39	\$47.50	\$503.89		\$428.33

PRODUCT CATEGORY: STOCKS

12/29/2017 123746900	AFT MINERALS LTD	S NVIDIA CORPORATION	S	-195,417.82	600.00	15.00	585.00	85.0	497.25
12/29/2017 123746900	AFT MINERALS LTD	S VERIZON COMMUNICATIONS INC	S	-105,878.09	400.00	15.00	385.00	85.0	327.25
01/23/2018 123746900	AFT MINERALS LTD	S CLOVIS ONCOLOGY INC	B	124,767.45	500.00	15.00	485.00	85.0	412.25
12/28/2017 214443197	DURAND, RICHARD	S CASCADIAN THERAPEUTICS INC NEW	S	-1,855.51	18.00	18.00	0.00	85.0	0.00
12/28/2017 214443197	DURAND, RICHARD	S ITOKK INC	S	2.43	0.00	18.00	-18.00	85.0	-15.30
01/23/2018 276073491	FERRO, JOSEPH	S CLOVIS ONCOLOGY INC	B	31,277.40	200.00	15.00	185.00	85.0	157.25
01/23/2018 276073491	FERRO, JOSEPH	S ECO SCIENCE SOLUTIONS INC	B	929.66	27.01	15.00	12.01	85.0	10.21
01/24/2018 276073491	FERRO, JOSEPH	S CEVA INC	B	572.87	70.00	24.00	46.00	85.0	39.10
01/24/2018 276073491	FERRO, JOSEPH	S CLOVIS ONCOLOGY INC	B	12,274.63	130.00	15.00	115.00	85.0	97.75
01/18/2018 306712582	GUERRA, RICARDO	S POWERSHARES PREFERRED PORTFOLIO	S	-4,340.75	70.00	15.00	55.00	85.0	46.75
01/12/2018 306840082	CAROL GUERRA	S EL POLLO LOCO HOLDINGS INC	S	-977.63	50.00	15.00	35.00	85.0	29.75
01/18/2018 306840082	CAROL GUERRA	S ISHARES U S PREFERRED STOCK ETF	S	-3,714.56	70.00	15.00	55.00	85.0	46.75
12/29/2017 368260253	HERNANDEZ, ANNA	S TARGET CORP	S	-56,877.03	50.00	15.00	35.00	85.0	29.75
12/29/2017 368262501	W HERNANDEZ, DR JOE	S TARGET CORP	S	-65,446.04	50.00	15.00	35.00	85.0	29.75
01/05/2018 368262501	W HERNANDEZ, DR JOE	S POWERSHARES EXCHANGE-TRADED FD	B	42,460.55	50.00	15.00	35.00	85.0	29.75
01/16/2018 368262501	W HERNANDEZ, DR JOE	S VANGUARD TOTAL STK MKT ETF	B	71,572.40	50.00	15.00	35.00	85.0	29.75

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/23/2018 368262501	W HERNANDEZ, DR JOE	S VANGUARD TOTAL WORLD STOCK	B	39,502.40	50.00	15.00	35.00	85.0	29.75
01/29/2018 368433138	BRASCOM, WAYNE	S COMERICA INC	S	-14,048.62	225.00	15.00	210.00	85.0	178.50
01/12/2018 368437508	BORDEN, DALE	S HALCON RESOURCES CORP COM PAR\$	S	-108.72	60.00	15.00	45.00	85.0	38.25
01/12/2018 368437508	BORDEN, DALE	S TONIX PHARMACEUTICALS HLDG CORP	S	-203.55	60.00	15.00	45.00	85.0	38.25
01/12/2018 368437508	BORDEN, DALE	S VERASTEM INC	S	-2,331.53	75.00	15.00	60.00	85.0	51.00
01/18/2018 400617109	CHASE, JP MORGAN	S JOHNSON CONTROLS INTERNATIONAL	S	-65,303.23	150.00	15.00	135.00	85.0	114.75
01/18/2018 400618113	CHASE, JP MORGAN	S CENTURYLINK INC FORMERLY	S	-10,297.82	100.00	15.00	85.00	85.0	72.25
01/18/2018 400618113	CHASE, JP MORGAN	S GENERAL ELECTRIC COMPANY	S	-48,313.74	250.00	15.00	235.00	85.0	199.75
01/26/2018 429910206	KIRKPATRICK,	S CEVA INC	B	64,811.50	500.00	15.00	485.00	85.0	412.25
01/26/2018 429910206	KIRKPATRICK,	S GOPRO INC-CLASS A	S	-14,322.46	200.00	15.00	185.00	85.0	157.25
01/26/2018 429910206	KIRKPATRICK,	S MARATHON OIL CORPORATION	S	-46,923.96	400.00	15.00	385.00	85.0	327.25
01/24/2018 491481396	MOORE, BRYAN	S SPDR S&P HOMEBUILDERS ETF	S	-3,637.54	100.00	15.00	85.00	85.0	72.25
01/17/2018 677234478	SCHMIDT (TOD), LISA	S AT&T INC	S	-1,807.52	20.00	15.00	5.00	85.0	4.25
01/08/2018 677622535	KRET, DAVID	S CEVA INC	B	4,906.95	65.00	15.00	50.00	85.0	42.50
01/08/2018 677622535	KRET, DAVID	S COGNEX CORP	B	13,728.43	210.00	15.00	195.00	85.0	165.75
01/08/2018 677622535	KRET, DAVID	S ENERGOUS CORP	B	5,151.41	65.00	15.00	50.00	85.0	42.50
01/08/2018 677622535	KRET, DAVID	S NVIDIA CORPORATION	B	4,760.42	50.00	15.00	35.00	85.0	29.75
12/28/2017 707149578	THOMAS, MARGARET	S CONOCOPHILLIPS	S	-109,967.60	400.00	18.00	382.00	85.0	324.70
12/28/2017 707149578	THOMAS, MARGARET	S GENERAL ELECTRIC COMPANY	S	-34,236.75	400.00	18.00	382.00	85.0	324.70
* STOCKS SUBTOTAL *				\$-369,291.97	\$5,715.01	\$546.00	\$5,169.01		\$4,393.66

PRODUCT CATEGORY: MISCELLANEOUS

12/28/2017 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 368264173	S	0.00	10.00	0.00	10.00	85.0	8.50
01/05/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 799777335	S	0.00	10.00	0.00	10.00	85.0	8.50
01/05/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 799777335	S	0.00	10.00	0.00	10.00	85.0	8.50
01/08/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 677622535	S	0.00	10.00	0.00	10.00	85.0	8.50
01/08/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 677622535	S	0.00	10.00	0.00	10.00	85.0	8.50
01/09/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 368491001	S	0.00	10.00	0.00	10.00	85.0	8.50
01/09/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 368491001	S	0.00	10.00	0.00	10.00	85.0	8.50
01/09/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 368433447	S	0.00	10.00	0.00	10.00	85.0	8.50
01/09/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 368433447	S	0.00	10.00	0.00	10.00	85.0	8.50
01/11/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 492392409	S	0.00	10.00	0.00	10.00	85.0	8.50
01/25/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 491490999	S	0.00	10.00	0.00	10.00	85.0	8.50
01/25/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 491450098	S	0.00	10.00	0.00	10.00	85.0	8.50
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$120.00	\$0.00	\$120.00		\$102.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/23/2018 mfc884599	DURAND 884599	MFT AMCAP FUND-A	B	0.00	2.23	0.00	2.23	85.0	1.90
01/23/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	1.17	0.00	1.17	85.0	0.99
01/23/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	2.08	0.00	2.08	85.0	1.77
01/23/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	2.66	0.00	2.66	85.0	2.26
01/23/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	2.66	0.00	2.66	85.0	2.26
01/23/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.11	0.00	4.11	85.0	3.49
01/23/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.17	0.00	4.17	85.0	3.54
01/23/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.52	0.00	4.52	85.0	3.84

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
01/23/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.15	0.00	5.15	85.0	4.38
01/23/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.15	0.00	5.15	85.0	4.38
01/23/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.15	0.00	5.15	85.0	4.38
01/23/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	6.69	0.00	6.69	85.0	5.69
01/23/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	10.87	0.00	10.87	85.0	9.24
01/23/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	20.30	0.00	20.30	85.0	17.26
01/23/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	54.69	0.00	54.69	85.0	46.49
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL APPRECIATION A	B	0.00	2.98	0.00	2.98	85.0	2.53
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.65	0.00	1.65	85.0	1.40
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.96	0.00	3.96	85.0	3.37
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.81	0.00	5.81	85.0	4.94
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.99	0.00	5.99	85.0	5.09
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.58	0.00	6.58	85.0	5.59
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	1.40	0.00	1.40	85.0	1.19
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-F1	B	0.00	0.43	0.00	0.43	85.0	0.37
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.13	0.00	1.13	85.0	0.96
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.33	0.00	1.33	85.0	1.13
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.27	0.00	6.27	85.0	5.33
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.06	0.00	0.06	85.0	0.05
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.80	0.00	0.80	85.0	0.68
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.86	0.00	0.86	85.0	0.73
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.91	0.00	0.91	85.0	0.77
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.06	0.00	1.06	85.0	0.90
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.56	0.00	1.56	85.0	1.33
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.61	0.00	1.61	85.0	1.37
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.62	0.00	1.62	85.0	1.38
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.88	0.00	1.88	85.0	1.60
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.92	0.00	1.92	85.0	1.63
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.09	0.00	2.09	85.0	1.78
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.55	0.00	2.55	85.0	2.17
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.66	0.00	2.66	85.0	2.26
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.37	0.00	4.37	85.0	3.71
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.18	0.00	5.18	85.0	4.40
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.18	0.00	5.18	85.0	4.40
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.18	0.00	5.18	85.0	4.40
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.42	0.00	6.42	85.0	5.46
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.90	0.00	6.90	85.0	5.87
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.97	0.00	6.97	85.0	5.92
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.95	0.00	7.95	85.0	6.76
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	19.38	0.00	19.38	85.0	16.47
01/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	92.45	0.00	92.45	85.0	78.58
01/23/2018	mfc884599	DURAND 884599	MFT COHEN & STEERS INTL REALTY FD	B	0.00	6.53	0.00	6.53	85.0	5.55
01/23/2018	mfc884599	DURAND 884599	MFT DAVIS NEW YORK VENTURE CLASS C	B	0.00	16.05	0.00	16.05	85.0	13.64
01/23/2018	mfc884599	DURAND 884599	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	0.15	0.00	0.15	85.0	0.13

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
01/23/2018	mfc884599	DURAND 884599	MFT EMERGING GROWTH FUND A	B	0.00	1.17	0.00	1.17	85.0	0.99
01/23/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	0.75	0.00	0.75	85.0	0.64
01/23/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	0.96	0.00	0.96	85.0	0.82
01/23/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS C	B	0.00	0.41	0.00	0.41	85.0	0.35
01/23/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.63	0.00	0.63	85.0	0.54
01/23/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	1.81	0.00	1.81	85.0	1.54
01/23/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	6.12	0.00	6.12	85.0	5.20
01/23/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	6.23	0.00	6.23	85.0	5.30
01/23/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	12.68	0.00	12.68	85.0	10.78
01/23/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	3.48	0.00	3.48	85.0	2.96
01/23/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	11.59	0.00	11.59	85.0	9.85
01/23/2018	mfc884599	DURAND 884599	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	12.50	0.00	12.50	85.0	10.63
01/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-C	B	0.00	0.36	0.00	0.36	85.0	0.31
01/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	1.39	0.00	1.39	85.0	1.18
01/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	2.13	0.00	2.13	85.0	1.81
01/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.41	0.00	0.41	85.0	0.35
01/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.86	0.00	1.86	85.0	1.58
01/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.08	0.00	2.08	85.0	1.77
01/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.10	0.00	2.10	85.0	1.79
01/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.47	0.00	3.47	85.0	2.95
01/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.54	0.00	3.54	85.0	3.01
01/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	4.38	0.00	4.38	85.0	3.72
01/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.99	0.00	6.99	85.0	5.94
01/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	22.71	0.00	22.71	85.0	19.30
01/23/2018	mfc884599	DURAND 884599	MFT GLOBAL FUND A	B	0.00	4.06	0.00	4.06	85.0	3.45
01/23/2018	mfc884599	DURAND 884599	MFT GLOBAL OPPORTUNITIES A	B	0.00	4.19	0.00	4.19	85.0	3.56
01/23/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.49	0.00	1.49	85.0	1.27
01/23/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.17	0.00	2.17	85.0	1.84
01/23/2018	mfc884599	DURAND 884599	MFT HARTFORD DIVIDEND & GROWTH FD	B	0.00	5.25	0.00	5.25	85.0	4.46
01/23/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	1.26	0.00	1.26	85.0	1.07
01/23/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	1.45	0.00	1.45	85.0	1.23
01/23/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	10.90	0.00	10.90	85.0	9.27
01/23/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	10.90	0.00	10.90	85.0	9.27
01/23/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL SMALL COMPANY A	B	0.00	1.22	0.00	1.22	85.0	1.04
01/23/2018	mfc884599	DURAND 884599	MFT LIFESTYLE GROWTH PORTFOLIO A	B	0.00	5.58	0.00	5.58	85.0	4.74
01/23/2018	mfc884599	DURAND 884599	MFT MAIN STREET FUND A	B	0.00	1.53	0.00	1.53	85.0	1.30
01/23/2018	mfc884599	DURAND 884599	MFT MAIN STREET FUND A	B	0.00	3.01	0.00	3.01	85.0	2.56
01/23/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	2.87	0.00	2.87	85.0	2.44
01/23/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	2.89	0.00	2.89	85.0	2.46
01/23/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	2.56	0.00	2.56	85.0	2.18
01/23/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	2.58	0.00	2.58	85.0	2.19
01/23/2018	mfc884599	DURAND 884599	MFT NEW OPPORTUNITIES A	B	0.00	16.60	0.00	16.60	85.0	14.11
01/23/2018	mfc884599	DURAND 884599	MFT NEW WORLD FUND-A	B	0.00	1.35	0.00	1.35	85.0	1.15
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	1.13	0.00	1.13	85.0	0.96

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	1.19	0.00	1.19	85.0	1.01
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	0.29	0.00	0.29	85.0	0.25
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	0.52	0.00	0.52	85.0	0.44
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	1.52	0.00	1.52	85.0	1.29
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	1.66	0.00	1.66	85.0	1.41
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	3.12	0.00	3.12	85.0	2.65
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	3.23	0.00	3.23	85.0	2.75
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	4.14	0.00	4.14	85.0	3.52
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	6.99	0.00	6.99	85.0	5.94
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	11.62	0.00	11.62	85.0	9.88
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	0.52	0.00	0.52	85.0	0.44
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	1.14	0.00	1.14	85.0	0.97
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	2.64	0.00	2.64	85.0	2.24
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	3.56	0.00	3.56	85.0	3.03
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER INTERNATIONAL	B	0.00	0.53	0.00	0.53	85.0	0.45
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER INTERNATIONAL	B	0.00	2.57	0.00	2.57	85.0	2.18
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER INTERNATIONAL	B	0.00	11.88	0.00	11.88	85.0	10.10
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER SENIOR FLOATING	B	0.00	0.43	0.00	0.43	85.0	0.37
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER DISCOVERY CLASS A	B	0.00	0.59	0.00	0.59	85.0	0.50
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	2.27	0.00	2.27	85.0	1.93
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER U.S. GOVT TR CLASS	B	0.00	4.06	0.00	4.06	85.0	3.45
01/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER SMALL & MID CAP	B	0.00	1.22	0.00	1.22	85.0	1.04
01/23/2018	mfc884599	DURAND 884599	MFT PIMCO TOTAL RETURN CLASS A	B	0.00	2.62	0.00	2.62	85.0	2.23
01/23/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON VALUE FUND	B	0.00	2.33	0.00	2.33	85.0	1.98
01/23/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	0.76	0.00	0.76	85.0	0.65
01/23/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	3.46	0.00	3.46	85.0	2.94
01/23/2018	mfc884599	DURAND 884599	MFT QUEST VALUE A	B	0.00	0.55	0.00	0.55	85.0	0.47
01/23/2018	mfc884599	DURAND 884599	MFT REAL ESTATE FUND A	B	0.00	1.97	0.00	1.97	85.0	1.67
01/23/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-C	B	0.00	1.58	0.00	1.58	85.0	1.34
01/23/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-C	B	0.00	2.94	0.00	2.94	85.0	2.50
01/23/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.22	0.00	0.22	85.0	0.19
01/23/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.27	0.00	0.27	85.0	0.23
01/23/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	0.95	0.00	0.95	85.0	0.81
01/23/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	3.06	0.00	3.06	85.0	2.60
01/23/2018	mfc884599	DURAND 884599	MFT TEMP WORLD FND - CL C	B	0.00	15.35	0.00	15.35	85.0	13.05
01/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.56	0.00	0.56	85.0	0.48
01/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.38	0.00	1.38	85.0	1.17
01/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.08	0.00	2.08	85.0	1.77
01/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.31	0.00	2.31	85.0	1.96
01/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.43	0.00	3.43	85.0	2.92
01/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.78	0.00	5.78	85.0	4.91
01/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.78	0.00	5.78	85.0	4.91
01/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.78	0.00	5.78	85.0	4.91
01/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.84	0.00	6.84	85.0	5.81

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
01/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	10.45	0.00	10.45	85.0	8.88
01/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	25.52	0.00	25.52	85.0	21.69
01/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	27.63	0.00	27.63	85.0	23.49
01/23/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	0.46	0.00	0.46	85.0	0.39
01/23/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	1.10	0.00	1.10	85.0	0.94
01/23/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	75.72	0.00	75.72	85.0	64.36
01/23/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CONSERVATIVE ALLOC	B	0.00	3.36	0.00	3.36	85.0	2.86
01/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	3.81	0.00	3.81	85.0	3.24
01/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.31	0.00	4.31	85.0	3.66
01/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.61	0.00	4.61	85.0	3.92
01/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.45	0.00	5.45	85.0	4.63
01/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.45	0.00	5.45	85.0	4.63
01/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.45	0.00	5.45	85.0	4.63
01/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	8.79	0.00	8.79	85.0	7.47
01/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	8.93	0.00	8.93	85.0	7.59
01/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	26.05	0.00	26.05	85.0	22.14
01/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	33.08	0.00	33.08	85.0	28.12
01/23/2018	mfc884599	DURAND 884599	MFT TRANS AMERICA IDEX 13	B	0.00	42.63	0.00	42.63	85.0	36.24
01/23/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA MULTI-MANAGED	B	0.00	151.23	0.00	151.23	85.0	128.55
01/23/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA ASSET ALLOC	B	0.00	42.56	0.00	42.56	85.0	36.18
01/23/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA SHORT TERM BOND FD	B	0.00	637.22	0.00	637.22	85.0	541.64
01/23/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA INTL EQUITY FD A	B	0.00	42.91	0.00	42.91	85.0	36.47
01/23/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA LARGE CAP VALUE FD	B	0.00	43.30	0.00	43.30	85.0	36.81
01/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.37	0.00	0.37	85.0	0.31
01/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.64	0.00	0.64	85.0	0.54
01/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.66	0.00	0.66	85.0	0.56
01/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.71	0.00	1.71	85.0	1.45
01/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.97	0.00	1.97	85.0	1.67
01/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.50	0.00	2.50	85.0	2.13
01/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.53	0.00	2.53	85.0	2.15
01/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.81	0.00	3.81	85.0	3.24
01/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.10	0.00	4.10	85.0	3.49
01/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.51	0.00	5.51	85.0	4.68
01/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.51	0.00	5.51	85.0	4.68
01/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.51	0.00	5.51	85.0	4.68
01/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.16	0.00	7.16	85.0	6.09
01/23/2018	mfc884599	DURAND 884599	MFT WELLS FARGO GOVT SEC FD CL A	B	0.00	5.68	0.00	5.68	85.0	4.83
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,957.53	\$0.00	\$1,957.53		\$1,663.94
*** CLEARING TRANSACTIONS TOTAL ***					\$-424,202.11	\$8,343.93	\$593.50	\$7,750.43		\$6,587.93

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/12/2018	00067562789 PEREZ, GRACIELA	MF AMERICAN HIGH-INCOME TRUST-A	B	150.00	4.50	0.00	4.50	85.0	3.83
01/08/2018	00073352550 SALINAS, MARICELA	MF THE INCOME FUND OF AMERICA-A	B	198.89	8.45	0.00	8.45	85.0	7.18
01/17/2018	00086533271 VILLA, KELLIE	MF THE NEW ECONOMY FUND-529A	B	200.00	5.50	0.00	5.50	85.0	4.68
01/18/2018	00660109470 HANSON, CATHY	MF INVESCO AMERICAN FRANCHISE FUND	B	100.00	4.00	0.00	4.00	85.0	3.40
* MUTUAL FUNDS SUBTOTAL *				<u>\$648.89</u>	<u>\$22.45</u>	<u>\$0.00</u>	<u>\$22.45</u>		<u>\$19.09</u>
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/11/2018	(None) RT BLUESHOES	VA TRANSAMERICA LIFE	B	0.00	1.25	0.00	1.25	85.0	1.06
01/26/2018	(None) RT BLUESHOES	VA TRANSAMERICA LIFE	B	0.00	1.24	0.00	1.24	85.0	1.05
* VARIABLE ANNUITIES SUBTOTAL *				<u>\$0.00</u>	<u>\$2.49</u>	<u>\$0.00</u>	<u>\$2.49</u>		<u>\$2.11</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017	(None) VARIOUS, DURAND	MFT ALLIANCE BERNSTEIN 12B-1	B	0.00	187.46	0.00	187.46	85.0	159.34
12/31/2017	(None) VARIOUS, DURAND	MFT COLUMBIA THREADNEEDLE	B	0.00	56.47	0.00	56.47	85.0	48.00
12/31/2017	(None) MARTIN, HANNAH	MFT DAVIS	B	0.00	3.64	0.00	3.64	85.0	3.09
12/31/2017	(None) MARTI, TAYL	MFT DAVIS	B	0.00	23.11	0.00	23.11	85.0	19.64
12/31/2017	(None) VARIOUS, DURAND	MFT HARTFORD MUTUAL FUIND	B	0.00	2.04	0.00	2.04	85.0	1.73
12/31/2017	(None) VARIOUS, DURAND	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	31.11	0.00	31.11	85.0	26.44
01/02/2018	(None) VARIOUS, DURAND	MFT PUTNAM	B	0.00	23.91	0.00	23.91	85.0	20.32
01/16/2018	00010158172 MARGARET B PARR,	MFT DEUTSCHE HIGH INCOME FUND-A	B	0.00	81.50	0.00	81.50	85.0	69.28
01/04/2018	08308608103 CORNELISON,	MFT WELLS FARGO MUNICIPAL BOND-A	B	0.00	0.75	0.00	0.75	85.0	0.64
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$409.99</u>	<u>\$0.00</u>	<u>\$409.99</u>		<u>\$348.48</u>
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
01/04/2018	(None) DOYLE, ROSEMARY	VAT TRANSAMERICA LIFE	B	0.00	224.26	0.00	224.26	85.0	190.62
01/11/2018	(None) RT BLUESHOES	VAT TRANSAMERICA LIFE	B	0.00	2.60	0.00	2.60	85.0	2.21
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$226.86</u>	<u>\$0.00</u>	<u>\$226.86</u>		<u>\$192.83</u>
*** DIRECT TRANSACTIONS TOTAL ***				<u>\$648.89</u>	<u>\$661.79</u>	<u>\$0.00</u>	<u>\$661.79</u>		<u>\$562.51</u>
<u>ADJUSTMENTS</u>									
01/31/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
01/31/2018		: BROKER WORKSTATION-CARSON		0.00	0.00	0.00	0.00		-30.00
01/31/2018		: BROKER WORKSTATION-GIFFEN		0.00	0.00	0.00	0.00		-30.00
01/31/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
01/31/2018		: E-MAIL ACCOUNT-CARSON		0.00	0.00	0.00	0.00		-25.00
01/31/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		1,549.74
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$1,409.74</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$7,150.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$1,409.74
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$8,560.18
Year-to-date Commissions	\$27,746.77