



Concorde Investment Services, LLC

02/27/2018

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COMMISSION STATEMENT

February 28, 2018

DOUGLAS, S123 SNIDER
1622 170th Pl SE

BROKER #: S123
BRANCH: DOUGLAS SNIDER

Bothell, WA 98012

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
02/16/2016	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.35	0.00	2.35	80.0	1.88
02/16/2016	CARLSON, JANET	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.53	0.00	6.53	80.0	5.22
02/16/2016	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.46	0.00	13.46	80.0	10.77
03/15/2016	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.20	0.00	2.20	80.0	1.76
03/15/2016	CARLSON, JANET	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.56	0.00	3.56	80.0	2.85
03/15/2016	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.62	0.00	12.62	80.0	10.10
04/20/2016	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.47	0.00	2.47	80.0	1.98
04/20/2016	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	14.09	0.00	14.09	80.0	11.27
05/16/2016	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.44	0.00	2.44	80.0	1.95
05/16/2016	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.82	0.00	13.82	80.0	11.06
06/15/2016	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.52	0.00	2.52	80.0	2.02
06/15/2016	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	14.27	0.00	14.27	80.0	11.42
07/18/2016	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.47	0.00	2.47	80.0	1.98
07/18/2016	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.89	0.00	13.89	80.0	11.11
08/15/2016	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.63	0.00	2.63	80.0	2.10
08/15/2016	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	14.77	0.00	14.77	80.0	11.82
09/16/2016	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.65	0.00	2.65	80.0	2.12
09/16/2016	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	14.86	0.00	14.86	80.0	11.89
10/17/2016	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.55	0.00	2.55	80.0	2.04
10/17/2016	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	14.24	0.00	14.24	80.0	11.39
11/18/2016	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.62	0.00	2.62	80.0	2.10
11/18/2016	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	14.57	0.00	14.57	80.0	11.66
12/15/2016	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.53	0.00	2.53	80.0	2.02
12/15/2016	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	14.09	0.00	14.09	80.0	11.27
04/15/2016	NELSON, JAMES	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	4.86	0.00	4.86	80.0	3.89
04/15/2016	LIKKEL, MARLENE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	24.15	0.00	24.15	80.0	19.32

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/15/2016	CARLSON, JANET	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	10.24	0.00	10.24	80.0	8.19
04/15/2016	ROSE TRUST, HEATHER	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	17.90	0.00	17.90	80.0	14.32
04/15/2016	BAILEY, CHERYLNN	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	9.15	0.00	9.15	80.0	7.32
04/15/2016	BAILEY, CHERYL	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	23.99	0.00	23.99	80.0	19.19
04/15/2016	BOYS, CHARLENE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	28.96	0.00	28.96	80.0	23.17
07/13/2016	NELSON, JAMES	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	6.63	0.00	6.63	80.0	5.30
07/13/2016	LIKKEK, MARLENE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	25.85	0.00	25.85	80.0	20.68
07/13/2016	CARLSON, JANET	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	22.64	0.00	22.64	80.0	18.11
07/13/2016	ROSE TRUST, HEATHER	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	19.26	0.00	19.26	80.0	15.41
07/13/2016	BAILEY, CHERYLNN	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	9.85	0.00	9.85	80.0	7.88
07/13/2016	BAILEY, CHERYL	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	25.82	0.00	25.82	80.0	20.66
07/13/2016	SARVIS, GAIL	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	14.39	0.00	14.39	80.0	11.51
07/13/2016	BOYS, CHARLENE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	31.17	0.00	31.17	80.0	24.94
10/14/2016	NELSON, JAMES	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	6.87	0.00	6.87	80.0	5.50
10/14/2016	JENSEN, LINDA	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	13.56	0.00	13.56	80.0	10.85
10/14/2016	LIKKEK, MARLENE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	26.77	0.00	26.77	80.0	21.42
10/14/2016	CARLSON, JANET	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	23.87	0.00	23.87	80.0	19.10
10/14/2016	ROSE TRUST, HEATHER	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	20.32	0.00	20.32	80.0	16.26
10/14/2016	BELLAMY, GARLAND	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	14.25	0.00	14.25	80.0	11.40
10/14/2016	BAILEY, CHERYLNN	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	10.39	0.00	10.39	80.0	8.31
10/14/2016	BAILEY, CHERYL	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	27.23	0.00	27.23	80.0	21.78
10/14/2016	SARVIS, GAIL	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	19.18	0.00	19.18	80.0	15.34
10/14/2016	BOYS, CHARLENE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	32.87	0.00	32.87	80.0	26.30
02/08/2016	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.55	0.00	1.55	80.0	1.24
02/08/2016	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.32	0.00	3.32	80.0	2.66
03/14/2016	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.45	0.00	1.45	80.0	1.16
03/14/2016	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.10	0.00	3.10	80.0	2.48
04/15/2016	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.60	0.00	1.60	80.0	1.28
04/15/2016	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.41	0.00	3.41	80.0	2.73
05/17/2016	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.58	0.00	1.58	80.0	1.26
05/17/2016	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.37	0.00	3.37	80.0	2.70
06/16/2016	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.63	0.00	1.63	80.0	1.30
06/16/2016	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.49	0.00	3.49	80.0	2.79

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/20/2016	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.59	0.00	1.59	80.0	1.27
07/20/2016	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.41	0.00	3.41	80.0	2.73
08/16/2016	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.68	0.00	1.68	80.0	1.34
08/16/2016	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.58	0.00	3.58	80.0	2.86
09/15/2016	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.69	0.00	1.69	80.0	1.35
09/15/2016	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.62	0.00	3.62	80.0	2.90
10/20/2016	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.63	0.00	1.63	80.0	1.30
10/20/2016	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.49	0.00	3.49	80.0	2.79
11/14/2016	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.68	0.00	1.68	80.0	1.34
11/14/2016	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.60	0.00	3.60	80.0	2.88
12/19/2016	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.61	0.00	1.61	80.0	1.29
12/19/2016	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.44	0.00	3.44	80.0	2.75
02/24/2016	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	7.59	0.00	7.59	80.0	6.07
02/24/2016	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	20.63	0.00	20.63	80.0	16.50
03/23/2016	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	7.01	0.00	7.01	80.0	5.61
03/23/2016	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	19.06	0.00	19.06	80.0	15.25
04/19/2016	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	7.82	0.00	7.82	80.0	6.26
04/19/2016	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	21.26	0.00	21.26	80.0	17.01
05/18/2016	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	7.72	0.00	7.72	80.0	6.18
05/18/2016	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	20.99	0.00	20.99	80.0	16.79
06/20/2016	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	7.98	0.00	7.98	80.0	6.38
06/20/2016	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	21.69	0.00	21.69	80.0	17.35
07/19/2016	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	7.77	0.00	7.77	80.0	6.22
07/19/2016	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	21.13	0.00	21.13	80.0	16.90
08/17/2016	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	8.19	0.00	8.19	80.0	6.55
08/17/2016	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	22.27	0.00	22.27	80.0	17.82
09/19/2016	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	8.31	0.00	8.31	80.0	6.65
09/19/2016	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	22.59	0.00	22.59	80.0	18.07
10/18/2016	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	8.02	0.00	8.02	80.0	6.42
10/18/2016	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	21.81	0.00	21.81	80.0	17.45
11/18/2016	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	8.24	0.00	8.24	80.0	6.59
11/18/2016	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	22.40	0.00	22.40	80.0	17.92
12/19/2016	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	7.91	0.00	7.91	80.0	6.33

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/19/2016	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	21.50	0.00	21.50	80.0	17.20
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,039.78	\$0.00	\$1,039.78		\$831.85
		*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$1,039.78	\$0.00	\$1,039.78		\$831.85

BROKER COMMISSION TOTALS

Broker Transactions	\$831.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-225.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$606.85
Year-to-date Gross	\$4,293.21
Year-to-date Earnings	\$3,434.61

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