



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 15, 2018

06/15/2018
Page No. 1

JAMES, M127 MAHER
30101 Northwestern Hwy.
#120
Farmington Hills, MI 48334

BROKER #: M127
BRANCH: JAMES MAHER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
04/20/2018	MARTIN, JACOB	WTS CIM COML TR CORP	-100,000.00	-5,000.00	6.00	-5,006.00	90.0	-4,505.40
04/20/2018	MARTIN, JACOB	WTS CIM COML TR CORP	100,000.00	5,000.00	22.00	4,978.00	90.0	4,480.20
* REIT SUBTOTAL *			\$0.00	\$0.00	\$28.00	\$-28.00		\$-25.20
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/09/2018	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	2.03	0.00	2.03	90.0	1.83
05/09/2018	PALMER, TODD	AM FUNDS AMCAP C	0.00	24.99	0.00	24.99	90.0	22.49
05/09/2018	MAHER, MAIGHDLIN	AM FUNDS AMCAP C	0.00	1.65	0.00	1.65	90.0	1.49
05/09/2018	TAYLOR, JAMIE	AM FUNDS AMERICAN BALANCED C	0.00	23.59	0.00	23.59	90.0	21.23
05/09/2018	ALLEN, MARY	AM FUNDS AMERICAN BALANCED C	0.00	9.65	0.00	9.65	90.0	8.69
05/09/2018	NUNEZ TRUST, LYNDIA	AM FUNDS AMERICAN BALANCED C	0.00	43.69	0.00	43.69	90.0	39.32
05/09/2018	PALMERI, DANIEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.39	0.00	0.39	90.0	0.35
05/09/2018	GILLESPIE, HEATHER	AM FUNDS BOND FUND OF AMERICA A	0.00	4.29	0.00	4.29	90.0	3.86
05/09/2018	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.15	0.00	2.15	90.0	1.94
05/09/2018	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.79	0.00	2.79	90.0	2.51
05/09/2018	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.93	0.00	1.93	90.0	1.74
05/09/2018	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.99	0.00	1.99	90.0	1.79
05/09/2018	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	36.35	0.00	36.35	90.0	32.72
05/09/2018	FRASER, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.10	0.00	7.10	90.0	6.39
05/09/2018	PROKOP, STANLEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	137.37	0.00	137.37	90.0	123.63
05/09/2018	FLEURY, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	21.29	0.00	21.29	90.0	19.16
05/09/2018	TAYLOR, JAMIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	22.19	0.00	22.19	90.0	19.97
05/09/2018	STONE, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.91	0.00	11.91	90.0	10.72
05/09/2018	O'CONNOR, HOLLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.32	0.00	6.32	90.0	5.69
05/09/2018	O'DONNELL, JUDITH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.73	0.00	8.73	90.0	7.86
05/09/2018	BUSSELL, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.11	0.00	6.11	90.0	5.50
05/09/2018	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.62	0.00	6.62	90.0	5.96

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
05/09/2018	HENKE, FRANK	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.22	0.00	12.22	90.0	11.00
05/09/2018	RUSSO, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.17	0.00	4.17	90.0	3.75
05/09/2018	RUSSO, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.25	0.00	12.25	90.0	11.03
05/09/2018	ALLEN, MARY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.37	0.00	9.37	90.0	8.43
05/09/2018	HEILMANN, MAUREEN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.39	0.00	9.39	90.0	8.45
05/09/2018	KESSOR, LAURA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	22.21	0.00	22.21	90.0	19.99
05/09/2018	KOTT, DIANE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.67	0.00	5.67	90.0	5.10
05/09/2018	FLOWERS, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.79	0.00	6.79	90.0	6.11
05/09/2018	FOSTER SP TRUST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.56	0.00	2.56	90.0	2.30
05/09/2018	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	24.19	0.00	24.19	90.0	21.77
05/09/2018	EBERHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.20	0.00	9.20	90.0	8.28
05/09/2018	PLASTIRAS, JULIANA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.00	0.00	11.00	90.0	9.90
05/09/2018	MARKHAM, ELIZABETH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.84	0.00	1.84	90.0	1.66
05/09/2018	MAHER, KEIRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.59	0.00	1.59	90.0	1.43
05/09/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.02	0.00	3.02	90.0	2.72
05/09/2018	HEIDLOFF, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.40	0.00	3.40	90.0	3.06
05/09/2018	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.46	0.00	1.46	90.0	1.31
05/09/2018	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.56	0.00	0.56	90.0	0.50
05/09/2018	KORESKY, JOHN	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.26	0.00	1.26	90.0	1.13
05/09/2018	GUMBLETON, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.63	0.00	2.63	90.0	2.37
05/09/2018	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.27	0.00	1.27	90.0	1.14
05/09/2018	MARIN REV TRUST, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.93	0.00	2.93	90.0	2.64
05/09/2018	PIZZO, MICHELE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.87	0.00	1.87	90.0	1.68
05/09/2018	PIZZO, REBECCA	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.40	0.00	1.40	90.0	1.26
05/09/2018	QUEDNAU, KELLY	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	8.87	0.00	8.87	90.0	7.98
05/09/2018	LINDSEY, CHARLES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.19	0.00	1.19	90.0	1.07
05/09/2018	OLIVER, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.17	0.00	0.17	90.0	0.15
05/09/2018	PALMERI, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.75	0.00	0.75	90.0	0.68
05/09/2018	HOPPE, KYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.91	0.00	1.91	90.0	1.72
05/09/2018	MAHER, HENRY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	22.46	0.00	22.46	90.0	20.21
05/09/2018	VIAR, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.66	0.00	5.66	90.0	5.09
05/09/2018	LOUGHLIN, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.23	0.00	2.23	90.0	2.01
05/09/2018	QUSSAR, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.61	0.00	8.61	90.0	7.75

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<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/09/2018	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	60.25	0.00	60.25	90.0	54.23
05/09/2018	BANNICK, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.45	0.00	2.45	90.0	2.21
05/09/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.10	0.00	5.10	90.0	4.59
05/09/2018	QUEDNAU, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	22.84	0.00	22.84	90.0	20.56
05/09/2018	LUJAN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	7.98	0.00	7.98	90.0	7.18
05/09/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.33	0.00	4.33	90.0	3.90
05/09/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.25	0.00	1.25	90.0	1.13
05/09/2018	MARKHAM, JASON	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.80	0.00	5.80	90.0	5.22
05/09/2018	LINDSEY, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.67	0.00	0.67	90.0	0.60
05/09/2018	HOPPE, KYLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.47	0.00	1.47	90.0	1.32
05/09/2018	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	35.74	0.00	35.74	90.0	32.17
05/09/2018	PAGANO, MAUREEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.13	0.00	10.13	90.0	9.12
05/09/2018	MAHER, OWEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.41	0.00	2.41	90.0	2.17
05/09/2018	JAMES, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.11	0.00	10.11	90.0	9.10
05/09/2018	LOUGHLIN, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	22.37	0.00	22.37	90.0	20.13
05/09/2018	O'DONNELL, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.80	0.00	8.80	90.0	7.92
05/09/2018	O'DONNELL, SHERYL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.41	0.00	4.41	90.0	3.97
05/09/2018	PALMERI, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.94	0.00	2.94	90.0	2.65
05/09/2018	HOLMES, KAYLA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.36	0.00	1.36	90.0	1.22
05/09/2018	HOLMES, JACK PATRICK	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.25	0.00	1.25	90.0	1.13
05/09/2018	QUSSAR, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.99	0.00	5.99	90.0	5.39
05/09/2018	NUNEZ, LYNDIA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	23.65	0.00	23.65	90.0	21.29
05/09/2018	NUNEZ TRUST, LYNDIA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	54.46	0.00	54.46	90.0	49.01
05/09/2018	EBERHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.86	0.00	8.86	90.0	7.97
05/09/2018	DARR, CRAIG	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.85	0.00	11.85	90.0	10.67
05/09/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.39	0.00	4.39	90.0	3.95
05/09/2018	MAHER, KEIRA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.10	0.00	1.10	90.0	0.99
05/09/2018	MAHER, MAIGHDLIN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.13	0.00	1.13	90.0	1.02
05/09/2018	COLASUONNO, RALPH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	12.06	0.00	12.06	90.0	10.85
05/09/2018	PIASCIK, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	29.56	0.00	29.56	90.0	26.60
05/09/2018	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.60	0.00	10.60	90.0	9.54
05/09/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.57	0.00	4.57	90.0	4.11
05/09/2018	VEIT, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.02	0.00	11.02	90.0	9.92

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<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/09/2018	MARKHAM, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.53	0.00	7.53	90.0	6.78
05/09/2018	VIAR, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.44	0.00	0.44	90.0	0.40
05/09/2018	KORESKY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	1.12	0.00	1.12	90.0	1.01
05/09/2018	BANNICK, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	2.17	0.00	2.17	90.0	1.95
05/09/2018	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	1.70	0.00	1.70	90.0	1.53
05/09/2018	QUEDNAU, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	5.26	0.00	5.26	90.0	4.73
05/09/2018	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.95	0.00	1.95	90.0	1.76
05/09/2018	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.82	0.00	1.82	90.0	1.64
05/09/2018	PROKOP, STANLEY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	205.45	0.00	205.45	90.0	184.91
05/09/2018	HEILMANN, MAUREEN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	22.29	0.00	22.29	90.0	20.06
05/09/2018	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.13	0.00	14.13	90.0	12.72
05/09/2018	MAHER, KYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.55	0.00	4.55	90.0	4.10
05/09/2018	MAHER, OWEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.16	0.00	2.16	90.0	1.94
05/09/2018	O'DONNELL, SHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.92	0.00	4.92	90.0	4.43
05/09/2018	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.03	0.00	1.03	90.0	0.93
05/09/2018	HOLMES, KAYLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.75	0.00	6.75	90.0	6.08
05/09/2018	HOLMES, JACK PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.59	0.00	5.59	90.0	5.03
05/09/2018	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.84	0.00	11.84	90.0	10.66
05/03/2018	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	15,000.00	20.00	0.00	20.00	90.0	18.00
05/09/2018	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	51.63	0.00	51.63	90.0	46.47
05/09/2018	LOUGHLIN, DENNIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	33.32	0.00	33.32	90.0	29.99
05/09/2018	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	95.89	0.00	95.89	90.0	86.30
05/09/2018	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.56	0.00	4.56	90.0	4.10
05/09/2018	HENKE, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.47	0.00	14.47	90.0	13.02
05/09/2018	QUSSAR, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.27	0.00	10.27	90.0	9.24
05/09/2018	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.14	0.00	12.14	90.0	10.93
05/09/2018	HICKSON, JORDAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	19.82	0.00	19.82	90.0	17.84
05/09/2018	PALMER, TODD	AM FUNDS GROWTH FUND OF AMERICA C	0.00	29.27	0.00	29.27	90.0	26.34
05/09/2018	BOLUS, ELLA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	38.75	0.00	38.75	90.0	34.88
05/09/2018	HEILMANN, MAUREEN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.10	0.00	18.10	90.0	16.29
05/09/2018	KESSOR, LAURA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	27.26	0.00	27.26	90.0	24.53
05/09/2018	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.33	0.00	18.33	90.0	16.50
05/09/2018	NUNEZ TRUST, LYNDIA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	114.17	0.00	114.17	90.0	102.75

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<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/09/2018	EBERHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.92	0.00	13.92	90.0	12.53
05/09/2018	DARR, CRAIG	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.85	0.00	14.85	90.0	13.37
05/09/2018	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.81	0.00	4.81	90.0	4.33
05/09/2018	PLASTIRAS, JULIANA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.56	0.00	14.56	90.0	13.10
05/09/2018	SCACCIA, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.48	0.00	11.48	90.0	10.33
05/09/2018	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.22	0.00	7.22	90.0	6.50
05/09/2018	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.04	0.00	17.04	90.0	15.34
05/09/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.23	0.00	5.23	90.0	4.71
05/09/2018	COLASUONNO, RALPH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	19.54	0.00	19.54	90.0	17.59
05/09/2018	PIASCIK, SHAWN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	31.88	0.00	31.88	90.0	28.69
05/09/2018	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.86	0.00	15.86	90.0	14.27
05/09/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.24	0.00	10.24	90.0	9.22
05/09/2018	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.21	0.00	12.21	90.0	10.99
05/09/2018	HEIDLOFF, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.42	0.00	4.42	90.0	3.98
05/14/2018	AZBELL, SHANE	AM FUNDS GROWTH FUND OF AMERICA C	22,000.00	240.00	0.00	240.00	90.0	216.00
05/09/2018	GILLESPIE, HEATHER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.31	0.00	1.31	90.0	1.18
05/09/2018	FRASER, SHARON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.41	0.00	1.41	90.0	1.27
05/09/2018	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.24	0.00	3.24	90.0	2.92
05/09/2018	KEYES LIV TRUST, MURIEL WATSON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.13	0.00	3.13	90.0	2.82
05/09/2018	PIZZO, FRANCESCO	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.18	0.00	0.18	90.0	0.16
05/09/2018	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.99	0.00	1.99	90.0	1.79
05/09/2018	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.53	0.00	2.53	90.0	2.28
05/09/2018	KNOPF, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.59	0.00	1.59	90.0	1.43
05/09/2018	GUMBLETON, CARRIE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.49	0.00	5.49	90.0	4.94
05/09/2018	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.44	0.00	3.44	90.0	3.10
05/09/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.05	0.00	2.05	90.0	1.85
05/09/2018	MARIN REV TRUST, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.78	0.00	4.78	90.0	4.30
05/09/2018	PIZZO, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.03	0.00	1.03	90.0	0.93
05/09/2018	QUEDNAU, KELLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	27.36	0.00	27.36	90.0	24.62
05/09/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.32	0.00	5.32	90.0	4.79
05/09/2018	CARLINO, AMY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.51	0.00	3.51	90.0	3.16
05/09/2018	CARROLL, EILEEN	AM FUNDS ICA A	0.00	11.56	0.00	11.56	90.0	10.40
05/09/2018	LINDSEY, CHARLES	AM FUNDS ICA A	0.00	0.87	0.00	0.87	90.0	0.78

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
05/09/2018	HOPPE, KYLE	AM FUNDS ICA A	0.00	1.43	0.00	1.43	90.0	1.29
05/09/2018	GILLESPIE, HEATHER	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.12	0.00	9.12	90.0	8.21
05/09/2018	STONE, JANE	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.03	0.00	7.03	90.0	6.33
05/09/2018	HOPPE, KYLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.49	0.00	1.49	90.0	1.34
05/09/2018	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	39.66	0.00	39.66	90.0	35.69
05/09/2018	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	22.13	0.00	22.13	90.0	19.92
05/09/2018	PROKOP, STANLEY	AM FUNDS INCOME FUND OF AMERICA C	0.00	118.98	0.00	118.98	90.0	107.08
05/09/2018	BELONGIE, GINGER	AM FUNDS INCOME FUND OF AMERICA C	0.00	16.55	0.00	16.55	90.0	14.90
05/09/2018	MAHER TRUST, MARY	AM FUNDS INCOME FUND OF AMERICA C	0.00	10.41	0.00	10.41	90.0	9.37
05/09/2018	HEILMANN, MAUREEN	AM FUNDS INCOME FUND OF AMERICA C	0.00	14.25	0.00	14.25	90.0	12.83
05/09/2018	NUNEZ TRUST, LYNDIA	AM FUNDS INCOME FUND OF AMERICA C	0.00	89.80	0.00	89.80	90.0	80.82
05/09/2018	EBERHARD, DAVID	AM FUNDS INCOME FUND OF AMERICA C	0.00	8.19	0.00	8.19	90.0	7.37
05/09/2018	WOLLACK TRUST, ROBERT	AM FUNDS INCOME FUND OF AMERICA C	0.00	20.94	0.00	20.94	90.0	18.85
05/09/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.64	0.00	1.64	90.0	1.48
05/09/2018	DAVIS, ALTON	AM FUNDS INCOME FUND OF AMERICA C	0.00	19.61	0.00	19.61	90.0	17.65
05/09/2018	PIASCIK, SHAWN	AM FUNDS INCOME FUND OF AMERICA C	0.00	39.22	0.00	39.22	90.0	35.30
05/09/2018	KNOPF, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.09	0.00	0.09	90.0	0.08
05/09/2018	GUMBLETON, CARRIE	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	3.56	0.00	3.56	90.0	3.20
05/09/2018	BANNICK, ROBERT	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.98	0.00	0.98	90.0	0.88
05/09/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.72	0.00	0.72	90.0	0.65
05/09/2018	QUEDNAU, KELLY	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	7.01	0.00	7.01	90.0	6.31
05/09/2018	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.24	0.00	0.24	90.0	0.22
05/09/2018	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.22	0.00	1.22	90.0	1.10
05/09/2018	MAHER, HENRY	AM FUNDS NEW ECONOMY C	0.00	34.22	0.00	34.22	90.0	30.80
05/09/2018	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	0.00	6.33	0.00	6.33	90.0	5.70
05/09/2018	HICKSON, ANDREW	AM FUNDS NEW WORLD C	0.00	5.49	0.00	5.49	90.0	4.94
05/09/2018	MAHER, HENRY	AM FUNDS SMALLCAP WORLD C	0.00	17.88	0.00	17.88	90.0	16.09
05/09/2018	HOLMES, KAYLA	AM FUNDS SMALLCAP WORLD C	0.00	1.67	0.00	1.67	90.0	1.50
05/09/2018	HOLMES, JACK PATRICK	AM FUNDS SMALLCAP WORLD C	0.00	1.61	0.00	1.61	90.0	1.45
05/09/2018	HICKSON, ANDREW	AM FUNDS SMALLCAP WORLD C	0.00	3.69	0.00	3.69	90.0	3.32
05/09/2018	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	38.74	0.00	38.74	90.0	34.87
05/09/2018	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.63	0.00	2.63	90.0	2.37
05/09/2018	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.20	0.00	1.20	90.0	1.08

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<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/09/2018	VIAR, DAVID	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.61	0.00	2.61	90.0	2.35
05/09/2018	PIZZO, SALVATORE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.82	0.00	0.82	90.0	0.74
05/09/2018	BANNICK, ROBERT	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.92	0.00	0.92	90.0	0.83
05/09/2018	MAHER, KYLE	BLK BASIC VALUE	0.00	1.71	0.00	1.71	90.0	1.54
05/09/2018	HOLMES, KAYLA	BLK BASIC VALUE	0.00	0.96	0.00	0.96	90.0	0.86
05/09/2018	MAHER, HENRY	BLK GLOBAL ALLOCATION A	0.00	12.08	0.00	12.08	90.0	10.87
05/15/2018	LINDSEY, BARBARA	CALVERT US LARGE CAP CORE	30,000.00	320.00	23.00	297.00	90.0	267.30
05/08/2018	MAHER, HENRY	CATALYST MAP GLOBAL CAP APP	0.00	41.64	0.00	41.64	90.0	37.48
05/09/2018	HICKSON, ANDREW	COLUMBIA BALANCED FUND CLASS C	0.00	15.30	0.00	15.30	90.0	13.77
05/09/2018	MAHER, HENRY	COLUMBIA FDS SER TR II MASS SELECT	0.00	44.91	0.00	44.91	90.0	40.42
05/09/2018	PAGANO, MAUREEN	COLUMBIA FDS SER TR II MASS SELECT	0.00	8.31	0.00	8.31	90.0	7.48
05/09/2018	JAMES, THOMAS	COLUMBIA FDS SER TR II MASS SELECT	0.00	11.07	0.00	11.07	90.0	9.96
05/09/2018	KARAS, MICHAEL	COLUMBIA FDS SER TR II MASS SELECT	0.00	5.31	0.00	5.31	90.0	4.78
05/09/2018	LUJAN-LABADIE, JENNIFER	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.99	0.00	7.99	90.0	7.19
05/09/2018	PAGANO, MAUREEN	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	5.22	0.00	5.22	90.0	4.70
05/09/2018	JAMES, THOMAS	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	8.43	0.00	8.43	90.0	7.59
05/09/2018	NUNEZ TRUST, LYNDIA	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	19.98	0.00	19.98	90.0	17.98
05/09/2018	DARR, CRAIG	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	9.50	0.00	9.50	90.0	8.55
05/09/2018	NUNEZ TRUST, LYNDIA	COLUMBIA SER TR II MASS	0.00	23.78	0.00	23.78	90.0	21.40
05/09/2018	MAHER, HENRY	DAVIS FINANCIAL A	0.00	8.18	0.00	8.18	90.0	7.36
05/11/2018	MAHER, HENRY	DELAWARE CORPORATE BOND A	0.00	10.93	0.00	10.93	90.0	9.84
05/14/2018	PROKOP, STANLEY	DWS DEUTSCHE GLOBAL HIGH INC FUND C	100,000.00	1,000.00	0.00	1,000.00	90.0	900.00
05/15/2018	LINDSEY LIV TRUST, CHARLES &	DWS DEUTSCHE GLOBAL HIGH INC FUND C	30,000.00	320.00	0.00	320.00	90.0	288.00
05/09/2018	MAHER, HENRY	FIRST EAGLE GLOBAL C	0.00	86.98	0.00	86.98	90.0	78.28
05/09/2018	JACK, STANLEY	FRANKLIN CORFOLIO	0.00	0.23	0.00	0.23	90.0	0.21
05/09/2018	BUSSELL, JEFFREY	FRANKLIN GOLD & PRECIOUS METALS C	0.00	1.42	0.00	1.42	90.0	1.28
05/09/2018	QUSSAR, JAMES	FRANKLIN GOLD & PRECIOUS METALS C	0.00	1.98	0.00	1.98	90.0	1.78
05/09/2018	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN GOLD & PRECIOUS METALS C	0.00	0.82	0.00	0.82	90.0	0.74
05/09/2018	CANDELLA, TODD	FRANKLIN GROWTH A	0.00	0.56	0.00	0.56	90.0	0.50
05/09/2018	EBERHARD, KARIN	FRANKLIN GROWTH C	0.00	0.54	0.00	0.54	90.0	0.49
05/09/2018	MAHER, HENRY	FRANKLIN HIGH INCOME C	0.00	16.60	0.00	16.60	90.0	14.94
05/09/2018	HOLMES, KAYLA	FRANKLIN HIGH INCOME C	0.00	0.66	0.00	0.66	90.0	0.59
05/09/2018	HOLMES, JACK PATRICK	FRANKLIN HIGH INCOME C	0.00	0.83	0.00	0.83	90.0	0.75

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<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/09/2018	PALMERI, PAUL	FRANKLIN INCOME C	0.00	10.50	0.00	10.50	90.0	9.45
05/09/2018	MAHER, HENRY	FRANKLIN INCOME C	0.00	13.28	0.00	13.28	90.0	11.95
05/09/2018	GILLESPIE, HEATHER	FRANKLIN INCOME C	0.00	1.70	0.00	1.70	90.0	1.53
05/09/2018	PAGANO, MAUREEN	FRANKLIN INCOME C	0.00	4.52	0.00	4.52	90.0	4.07
05/09/2018	JAMES, THOMAS	FRANKLIN INCOME C	0.00	6.05	0.00	6.05	90.0	5.45
05/09/2018	PROKOP, STANLEY	FRANKLIN INCOME C	0.00	88.85	0.00	88.85	90.0	79.97
05/09/2018	PALMERI, BEVERLY	FRANKLIN INCOME C	0.00	7.23	0.00	7.23	90.0	6.51
05/09/2018	JACK, STANLEY	FRANKLIN INCOME C	0.00	6.34	0.00	6.34	90.0	5.71
05/09/2018	MARSHALL, RONALD	FRANKLIN INCOME C	0.00	12.52	0.00	12.52	90.0	11.27
05/09/2018	TAYLOR, JAMIE	FRANKLIN INCOME C	0.00	14.65	0.00	14.65	90.0	13.19
05/09/2018	LOUGHLIN, DENNIS	FRANKLIN INCOME C	0.00	6.81	0.00	6.81	90.0	6.13
05/09/2018	BELONGIE, GINGER	FRANKLIN INCOME C	0.00	5.90	0.00	5.90	90.0	5.31
05/09/2018	STONE, EDWARD	FRANKLIN INCOME C	0.00	7.32	0.00	7.32	90.0	6.59
05/09/2018	O'CONNOR, HOLLY	FRANKLIN INCOME C	0.00	3.25	0.00	3.25	90.0	2.93
05/09/2018	O'DONNELL, JUDITH	FRANKLIN INCOME C	0.00	5.60	0.00	5.60	90.0	5.04
05/09/2018	SCACCIA, KELLY	FRANKLIN INCOME C	0.00	2.99	0.00	2.99	90.0	2.69
05/09/2018	QUSSAR, JAMES	FRANKLIN INCOME C	0.00	4.96	0.00	4.96	90.0	4.46
05/09/2018	HICKSON, JORDAN	FRANKLIN INCOME C	0.00	9.63	0.00	9.63	90.0	8.67
05/09/2018	PALMER, TODD	FRANKLIN INCOME C	0.00	15.90	0.00	15.90	90.0	14.31
05/09/2018	ALLEN, MARY	FRANKLIN INCOME C	0.00	6.19	0.00	6.19	90.0	5.57
05/09/2018	KOTT, DIANE	FRANKLIN INCOME C	0.00	6.99	0.00	6.99	90.0	6.29
05/09/2018	NUNEZ TRUST, LYNDA	FRANKLIN INCOME C	0.00	72.69	0.00	72.69	90.0	65.42
05/09/2018	EBERHARD, DAVID	FRANKLIN INCOME C	0.00	4.75	0.00	4.75	90.0	4.28
05/09/2018	FAIRLEY, JAMES	FRANKLIN INCOME C	0.00	0.94	0.00	0.94	90.0	0.85
05/09/2018	GUMBLETON, CARRIE	FRANKLIN INCOME C	0.00	8.82	0.00	8.82	90.0	7.94
05/09/2018	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN INCOME C	0.00	8.33	0.00	8.33	90.0	7.50
05/09/2018	DILAY, DENISE	FRANKLIN INCOME C	0.00	7.48	0.00	7.48	90.0	6.73
05/09/2018	DARR, CRAIG	FRANKLIN INCOME C	0.00	6.80	0.00	6.80	90.0	6.12
05/09/2018	HOPPE, KYLE	FRANKLIN INCOME C	0.00	7.92	0.00	7.92	90.0	7.13
05/09/2018	BJERK JR, JOHN	FRANKLIN INCOME C	0.00	5.99	0.00	5.99	90.0	5.39
05/09/2018	MAES, DEBRA	FRANKLIN INCOME C	0.00	10.40	0.00	10.40	90.0	9.36
05/09/2018	BANNICK, ROBERT	FRANKLIN INCOME C	0.00	2.14	0.00	2.14	90.0	1.93
05/09/2018	SCHWARTZENBERGER, THOMAS	FRANKLIN INCOME C	0.00	0.95	0.00	0.95	90.0	0.86

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<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/09/2018	MARIN REV TRUST, NICHOLAS	FRANKLIN INCOME C	0.00	12.11	0.00	12.11	90.0	10.90
05/09/2018	PIZZO, REBECCA	FRANKLIN INCOME C	0.00	2.87	0.00	2.87	90.0	2.58
05/09/2018	COLASUONNO, RALPH	FRANKLIN INCOME C	0.00	9.42	0.00	9.42	90.0	8.48
05/09/2018	PIASCIK, SHAWN	FRANKLIN INCOME C	0.00	5.43	0.00	5.43	90.0	4.89
05/09/2018	MAES, DEBRA	FRANKLIN INCOME C	0.00	7.73	0.00	7.73	90.0	6.96
05/09/2018	LUJAN, DEBORAH	FRANKLIN INCOME C	0.00	6.68	0.00	6.68	90.0	6.01
05/09/2018	MARKHAM, JASON	FRANKLIN INCOME C	0.00	4.41	0.00	4.41	90.0	3.97
05/14/2018	PROKOP, STANLEY	FRANKLIN INCOME C	167,225.43	0.00	0.00	0.00	90.0	0.00
05/09/2018	NORRIS, CHRISTOPHER	FRANKLIN MI TAX FR INCOME C	0.00	32.03	0.00	32.03	90.0	28.83
05/09/2018	NORRIS, CHRISTOPHER	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	29.19	0.00	29.19	90.0	26.27
05/09/2018	EBERHARD, DAVID	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	10.51	0.00	10.51	90.0	9.46
05/09/2018	PALMERI, DANIEL	FRANKLIN NATURAL RESOURCES C	0.00	1.24	0.00	1.24	90.0	1.12
05/09/2018	GUMBLETON, CARRIE	FRANKLIN NATURAL RESOURCES C	0.00	5.68	0.00	5.68	90.0	5.11
05/09/2018	COLASUONNO, RALPH	FRANKLIN NATURAL RESOURCES C	0.00	5.63	0.00	5.63	90.0	5.07
05/09/2018	MARKHAM, JASON	FRANKLIN NATURAL RESOURCES C	0.00	4.25	0.00	4.25	90.0	3.83
05/09/2018	MARINI, ZAREFA	FRANKLIN RISING DIVIDENDS A	0.00	0.28	0.00	0.28	90.0	0.25
05/09/2018	HEILMANN, MAUREEN	FRANKLIN RISING DIVIDENDS A	0.00	1.41	0.00	1.41	90.0	1.27
05/09/2018	MAHER, KEIRA	FRANKLIN RISING DIVIDENDS A	0.00	1.47	0.00	1.47	90.0	1.32
05/09/2018	MAHER, MAIGHDLIN	FRANKLIN RISING DIVIDENDS A	0.00	2.45	0.00	2.45	90.0	2.21
05/09/2018	BUSSELL, JEFFREY	FRANKLIN RISING DIVIDENDS C	0.00	2.39	0.00	2.39	90.0	2.15
05/09/2018	PALMERI, PAUL	FRANKLIN RISING DIVIDENDS C	0.00	10.28	0.00	10.28	90.0	9.25
05/09/2018	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	8.57	0.00	8.57	90.0	7.71
05/09/2018	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	60.15	0.00	60.15	90.0	54.14
05/09/2018	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	40.40	0.00	40.40	90.0	36.36
05/09/2018	JACK, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	8.76	0.00	8.76	90.0	7.88
05/09/2018	FRASER, SHARON	FRANKLIN RISING DIVIDENDS C	0.00	24.53	0.00	24.53	90.0	22.08
05/09/2018	DAVIS, ALTON	FRANKLIN RISING DIVIDENDS C	0.00	21.32	0.00	21.32	90.0	19.19
05/09/2018	PAGANO, MAUREEN	FRANKLIN RISING DIVIDENDS C	0.00	8.53	0.00	8.53	90.0	7.68
05/09/2018	MAHER, OWEN	FRANKLIN RISING DIVIDENDS C	0.00	5.52	0.00	5.52	90.0	4.97
05/09/2018	OLIVER, WILLIAM	FRANKLIN RISING DIVIDENDS C	0.00	0.37	0.00	0.37	90.0	0.33
05/09/2018	JAMES, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	11.43	0.00	11.43	90.0	10.29
05/09/2018	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	7.21	0.00	7.21	90.0	6.49
05/09/2018	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	13.88	0.00	13.88	90.0	12.49

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<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/09/2018	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	22.44	0.00	22.44	90.0	20.20
05/09/2018	SCHOOLEY, MEGHAN	FRANKLIN RISING DIVIDENDS C	0.00	12.79	0.00	12.79	90.0	11.51
05/09/2018	DE FIORE, LAWRENCE	FRANKLIN RISING DIVIDENDS C	0.00	0.69	0.00	0.69	90.0	0.62
05/09/2018	ZETTEL, ANTHONY	FRANKLIN RISING DIVIDENDS C	0.00	0.61	0.00	0.61	90.0	0.55
05/09/2018	EBERHARD, KARIN	FRANKLIN RISING DIVIDENDS C	0.00	0.51	0.00	0.51	90.0	0.46
05/09/2018	PALMERI, BEVERLY	FRANKLIN RISING DIVIDENDS C	0.00	16.92	0.00	16.92	90.0	15.23
05/09/2018	JACK, STANLEY	FRANKLIN RISING DIVIDENDS C	0.00	0.42	0.00	0.42	90.0	0.38
05/09/2018	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	26.01	0.00	26.01	90.0	23.41
05/09/2018	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	1.04	0.00	1.04	90.0	0.94
05/09/2018	LOUGHLIN, DENNIS	FRANKLIN RISING DIVIDENDS C	0.00	22.85	0.00	22.85	90.0	20.57
05/09/2018	STONE, JANE	FRANKLIN RISING DIVIDENDS C	0.00	6.73	0.00	6.73	90.0	6.06
05/09/2018	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	13.01	0.00	13.01	90.0	11.71
05/09/2018	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	6.49	0.00	6.49	90.0	5.84
05/09/2018	O'CONNOR, HOLLY	FRANKLIN RISING DIVIDENDS C	0.00	7.40	0.00	7.40	90.0	6.66
05/09/2018	O'DONNELL, JUDITH	FRANKLIN RISING DIVIDENDS C	0.00	14.80	0.00	14.80	90.0	13.32
05/09/2018	PALMERI, DANIEL	FRANKLIN RISING DIVIDENDS C	0.00	1.54	0.00	1.54	90.0	1.39
05/09/2018	SCACCIA, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	16.09	0.00	16.09	90.0	14.48
05/09/2018	HENKE, FRANK	FRANKLIN RISING DIVIDENDS C	0.00	14.42	0.00	14.42	90.0	12.98
05/09/2018	HOLMES, JACK PATRICK	FRANKLIN RISING DIVIDENDS C	0.00	1.88	0.00	1.88	90.0	1.69
05/09/2018	QUSSAR, JAMES	FRANKLIN RISING DIVIDENDS C	0.00	4.38	0.00	4.38	90.0	3.94
05/09/2018	STOKES, RICHARD	FRANKLIN RISING DIVIDENDS C	0.00	4.42	0.00	4.42	90.0	3.98
05/09/2018	MAHER TRUST, MARY	FRANKLIN RISING DIVIDENDS C	0.00	29.65	0.00	29.65	90.0	26.69
05/09/2018	HICKSON, MARK	FRANKLIN RISING DIVIDENDS C	0.00	33.49	0.00	33.49	90.0	30.14
05/09/2018	BUREK, ROSANNE	FRANKLIN RISING DIVIDENDS C	0.00	21.66	0.00	21.66	90.0	19.49
05/09/2018	PALMER, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	10.80	0.00	10.80	90.0	9.72
05/09/2018	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	46.65	0.00	46.65	90.0	41.99
05/09/2018	RUSSO, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	14.33	0.00	14.33	90.0	12.90
05/09/2018	BOLUS, ELLA	FRANKLIN RISING DIVIDENDS C	0.00	36.43	0.00	36.43	90.0	32.79
05/09/2018	RUSSO, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	12.73	0.00	12.73	90.0	11.46
05/09/2018	ALLEN, MARY	FRANKLIN RISING DIVIDENDS C	0.00	11.66	0.00	11.66	90.0	10.49
05/09/2018	KESSOR, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	24.21	0.00	24.21	90.0	21.79
05/09/2018	POUPORE, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	6.99	0.00	6.99	90.0	6.29
05/09/2018	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	24.50	0.00	24.50	90.0	22.05

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/09/2018	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	6.94	0.00	6.94	90.0	6.25
05/09/2018	PALMERI, SAMUEL	FRANKLIN RISING DIVIDENDS C	0.00	15.49	0.00	15.49	90.0	13.94
05/09/2018	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	5.22	0.00	5.22	90.0	4.70
05/09/2018	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	2.49	0.00	2.49	90.0	2.24
05/09/2018	FOSTER SP TRUST, PATRICIA	FRANKLIN RISING DIVIDENDS C	0.00	8.07	0.00	8.07	90.0	7.26
05/09/2018	KNOFF, KATHRYN	FRANKLIN RISING DIVIDENDS C	0.00	4.74	0.00	4.74	90.0	4.27
05/09/2018	NUNEZ TRUST, LYNDA	FRANKLIN RISING DIVIDENDS C	0.00	94.95	0.00	94.95	90.0	85.46
05/09/2018	EBERHARD, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	6.90	0.00	6.90	90.0	6.21
05/09/2018	WOLLACK TRUST, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	22.34	0.00	22.34	90.0	20.11
05/09/2018	BEDARD, MARY	FRANKLIN RISING DIVIDENDS C	0.00	10.91	0.00	10.91	90.0	9.82
05/09/2018	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	12.01	0.00	12.01	90.0	10.81
05/09/2018	MCCRACKEN, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	9.76	0.00	9.76	90.0	8.78
05/09/2018	DILAY, DENISE	FRANKLIN RISING DIVIDENDS C	0.00	14.49	0.00	14.49	90.0	13.04
05/09/2018	LINDSEY, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	11.66	0.00	11.66	90.0	10.49
05/09/2018	CORNWELL, VICTORIA	FRANKLIN RISING DIVIDENDS C	0.00	11.16	0.00	11.16	90.0	10.04
05/09/2018	DARR, CRAIG	FRANKLIN RISING DIVIDENDS C	0.00	15.60	0.00	15.60	90.0	14.04
05/09/2018	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	11.59	0.00	11.59	90.0	10.43
05/09/2018	BUREK, CANDACE	FRANKLIN RISING DIVIDENDS C	0.00	6.84	0.00	6.84	90.0	6.16
05/09/2018	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	3.07	0.00	3.07	90.0	2.76
05/09/2018	HOPPE, KYLE	FRANKLIN RISING DIVIDENDS C	0.00	14.95	0.00	14.95	90.0	13.46
05/09/2018	BJERK JR, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	10.44	0.00	10.44	90.0	9.40
05/09/2018	PLASTIRAS, JULIANA	FRANKLIN RISING DIVIDENDS C	0.00	12.97	0.00	12.97	90.0	11.67
05/09/2018	MARKHAM, ELIZABETH	FRANKLIN RISING DIVIDENDS C	0.00	5.64	0.00	5.64	90.0	5.08
05/09/2018	BALDWIN, JASON	FRANKLIN RISING DIVIDENDS C	0.00	8.19	0.00	8.19	90.0	7.37
05/09/2018	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	26.46	0.00	26.46	90.0	23.81
05/09/2018	SCHWARTZENBERGER, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	15.81	0.00	15.81	90.0	14.23
05/09/2018	MARIN REV TRUST, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	58.63	0.00	58.63	90.0	52.77
05/09/2018	PIZZO, PIETRO	FRANKLIN RISING DIVIDENDS C	0.00	2.82	0.00	2.82	90.0	2.54
05/09/2018	PIZZO, REBECCA	FRANKLIN RISING DIVIDENDS C	0.00	7.61	0.00	7.61	90.0	6.85
05/09/2018	COLASUONNO, RALPH	FRANKLIN RISING DIVIDENDS C	0.00	25.12	0.00	25.12	90.0	22.61
05/09/2018	MAES, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	14.93	0.00	14.93	90.0	13.44
05/09/2018	LUJAN, DEBORAH	FRANKLIN RISING DIVIDENDS C	0.00	23.48	0.00	23.48	90.0	21.13
05/09/2018	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	7.57	0.00	7.57	90.0	6.81

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<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
05/09/2018	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	29.38	0.00	29.38	90.0	26.44
05/09/2018	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	9.35	0.00	9.35	90.0	8.42
05/09/2018	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	14.33	0.00	14.33	90.0	12.90
05/09/2018	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	0.09	0.00	0.09	90.0	0.08
05/09/2018	HEIDLOFF, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	4.42	0.00	4.42	90.0	3.98
05/09/2018	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	17.43	0.00	17.43	90.0	15.69
05/09/2018	POUPORE, MARY	FRANKLIN RISING DIVIDENDS C	0.00	12.32	0.00	12.32	90.0	11.09
05/14/2018	PROKOP, STANLEY	FRANKLIN RISING DIVIDENDS C	142,936.35	0.00	0.00	0.00	90.0	0.00
05/14/2018	AZBELL, SHANE	FRANKLIN RISING DIVIDENDS C	20,000.00	220.00	0.00	220.00	90.0	198.00
05/23/2018	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	500.00	20.00	0.00	20.00	90.0	18.00
05/09/2018	PAGANO, MAUREEN	FRANKLIN SMALL CAP VALUE C	0.00	5.27	0.00	5.27	90.0	4.74
05/09/2018	PALMER, TODD	FRANKLIN SMALL CAP VALUE C	0.00	18.43	0.00	18.43	90.0	16.59
05/09/2018	PROKOP, STANLEY	FRANKLIN STRATEGIC INCOME C	0.00	76.76	0.00	76.76	90.0	69.08
05/14/2018	PROKOP, STANLEY	FRANKLIN STRATEGIC INCOME C	142,936.35	0.00	0.00	0.00	90.0	0.00
05/09/2018	MAHER, HENRY	FRANKLIN UTILITIES C	0.00	20.89	0.00	20.89	90.0	18.80
05/09/2018	PAGANO, MAUREEN	FRANKLIN UTILITIES C	0.00	3.61	0.00	3.61	90.0	3.25
05/09/2018	O'CONNOR, AARON	FRANKLIN UTILITIES C	0.00	3.71	0.00	3.71	90.0	3.34
05/09/2018	DE FIORE, LAWRENCE	FT EQUITY INC CL C	0.00	0.60	0.00	0.60	90.0	0.54
05/09/2018	ZANONI, CARRIE	FT FOUNDING FDS ALLOC C	0.00	5.47	0.00	5.47	90.0	4.92
05/09/2018	GLINES, DAVID	FT FOUNDING FDS ALLOC C	0.00	2.86	0.00	2.86	90.0	2.57
05/09/2018	GLINES, WILBERT	FT FOUNDING FDS ALLOC C	0.00	8.18	0.00	8.18	90.0	7.36
05/09/2018	MAHER, HENRY	IVY ASSET STRATEGY FUND CLASS A	0.00	7.04	0.00	7.04	90.0	6.34
05/09/2018	HOLMES, KAYLA	IVY ASSET STRATEGY FUND CLASS A	0.00	0.31	0.00	0.31	90.0	0.28
05/09/2018	HOLMES, JACK PATRICK	IVY ASSET STRATEGY FUND CLASS A	0.00	0.35	0.00	0.35	90.0	0.32
05/09/2018	MAHER, MAIGHDLIN	IVY ASSET STRATEGY FUND CLASS A	0.00	0.29	0.00	0.29	90.0	0.26
05/09/2018	MAHER, OWEN	LORD ABBETT AFFILIATED A	0.00	1.43	0.00	1.43	90.0	1.29
05/09/2018	KORESKY, JOHN	LORD ABBETT EQUITY TR CALIBRTD LARG	0.00	27.77	0.00	27.77	90.0	24.99
05/09/2018	CANDELLA, TODD	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.72	0.00	0.72	90.0	0.65
05/09/2018	HOLMES, KAYLA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	1.38	0.00	1.38	90.0	1.24
05/09/2018	NORRIS, CHRISTOPHER	LORD ABBETT INCOME FUND CL C	0.00	21.76	0.00	21.76	90.0	19.58
05/09/2018	BUSSELL, JEFFREY	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	6.44	0.00	6.44	90.0	5.80
05/09/2018	MARIN REV TRUST, NICHOLAS	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	22.57	0.00	22.57	90.0	20.31
05/09/2018	MAHER, HENRY	MAINSTAY MAP A	0.00	13.50	0.00	13.50	90.0	12.15

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<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/09/2018	HOLMES, JACK PATRICK	MFS RESEARCH INTL A	0.00	0.58	0.00	0.58	90.0	0.52
05/09/2018	HOLMES, JACK PATRICK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.41	0.00	0.41	90.0	0.37
05/09/2018	BUREK, ROSANNE	OPPENHEIMER ROCHESTER NATIONAL MUNI	0.00	1.85	0.00	1.85	90.0	1.67
05/09/2018	MAHER, HENRY	PIONEER MID CAP VALUE A	0.00	8.13	0.00	8.13	90.0	7.32
05/09/2018	CANDELLA, TODD	PUTNAM MULTI CAP GROWTH A	0.00	1.37	0.00	1.37	90.0	1.23
05/09/2018	GILLESPIE, HEATHER	ROYCE PENNSYLVANIA MUTUAL	0.00	16.49	0.00	16.49	90.0	14.84
05/09/2018	MARINI, ZAREFA	TEMPLETON DEVELOPING MKTS A	0.00	0.08	0.00	0.08	90.0	0.07
05/09/2018	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	25.13	0.00	25.13	90.0	22.62
05/09/2018	PIASCIK, SHAWN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	30.04	0.00	30.04	90.0	27.04
05/09/2018	PALMERI, PAUL	TEMPLETON GLOBAL BOND A	0.00	1.91	0.00	1.91	90.0	1.72
05/09/2018	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON GLOBAL BOND C	0.00	15.54	0.00	15.54	90.0	13.99
05/09/2018	QUSSAR, KELLY	TEMPLETON GLOBAL BOND C	0.00	3.12	0.00	3.12	90.0	2.81
05/09/2018	NUNEZ TRUST, LYNDA	TEMPLETON GLOBAL BOND C	0.00	6.10	0.00	6.10	90.0	5.49
05/09/2018	EBERHARD, DAVID	TEMPLETON GLOBAL BOND C	0.00	6.93	0.00	6.93	90.0	6.24
05/09/2018	GUMBLETON, CARRIE	TEMPLETON GLOBAL BOND C	0.00	5.55	0.00	5.55	90.0	5.00
05/09/2018	BANNICK, ROBERT	TEMPLETON GLOBAL BOND C	0.00	5.91	0.00	5.91	90.0	5.32
05/09/2018	SCHWARTZENBERGER, THOMAS	TEMPLETON GLOBAL BOND C	0.00	1.44	0.00	1.44	90.0	1.30
05/09/2018	MARIN REV TRUST, NICHOLAS	TEMPLETON GLOBAL BOND C	0.00	11.53	0.00	11.53	90.0	10.38
05/09/2018	ZANONI, CARRIE	TEMPLETON GROWTH C	0.00	5.07	0.00	5.07	90.0	4.56
05/09/2018	PIZZO, SALVATORE	TEMPLETON GROWTH C	0.00	5.27	0.00	5.27	90.0	4.74
05/09/2018	GUMBLETON, CARRIE	TEMPLETON GROWTH C	0.00	12.07	0.00	12.07	90.0	10.86
05/09/2018	BANNICK, ROBERT	TEMPLETON GROWTH C	0.00	1.78	0.00	1.78	90.0	1.60
05/09/2018	SCHWARTZENBERGER, THOMAS	TEMPLETON GROWTH C	0.00	2.37	0.00	2.37	90.0	2.13
05/09/2018	MARKHAM, JASON	TEMPLETON GROWTH C	0.00	9.95	0.00	9.95	90.0	8.96
05/09/2018	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	13.02	0.00	13.02	90.0	11.72
05/09/2018	LOUGHLIN, DENNIS	TRANSAMERICA AA MOD GROWTH C	0.00	13.07	0.00	13.07	90.0	11.76
05/09/2018	GUMBLETON, CARRIE	TRANSAMERICA AA MOD GROWTH C	0.00	16.33	0.00	16.33	90.0	14.70
05/09/2018	PIASCIK, SHAWN	TRANSAMERICA AA MOD GROWTH C	0.00	37.12	0.00	37.12	90.0	33.41
05/09/2018	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	0.00	11.16	0.00	11.16	90.0	10.04
05/09/2018	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	0.00	6.52	0.00	6.52	90.0	5.87
05/09/2018	PIZZO, FRANCESCO	TRANSAMERICA AA MODERATE C	0.00	8.22	0.00	8.22	90.0	7.40
05/09/2018	BANNICK, ROBERT	TRANSAMERICA AA MODERATE C	0.00	7.66	0.00	7.66	90.0	6.89
05/09/2018	PIZZO, PIETRO	TRANSAMERICA AA MODERATE C	0.00	8.01	0.00	8.01	90.0	7.21

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<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
05/09/2018	PIZZO, MICHELE	TRANSAMERICA AA MODERATE C	0.00	0.12	0.00	0.12	90.0	0.11
05/09/2018	ROGERS, NICOLE	VOYA CORP LEADERS 100 A	0.00	2.54	0.00	2.54	90.0	2.29
05/09/2018	MAHER, HENRY	VOYA INTL VALUE EQUITY A	0.00	10.55	0.00	10.55	90.0	9.50
* MUTUAL FUNDS SUBTOTAL *			\$670,598.13	\$7,440.37	\$23.00	\$7,417.37		\$6,675.91
*** CLEARING TRANSACTIONS TOTAL ***			\$670,598.13	\$7,440.37	\$51.00	\$7,389.37		\$6,650.71

<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: 529 PLANS								
06/04/2018	QUSSAR, JAMES	AF CONSERVATIVE GROWTH AND INCOME-5	75.00	0.75	0.00	0.75	90.0	0.68
05/30/2018	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
05/30/2018	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
06/01/2018	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2018	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
06/01/2018	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2018	TEREBELO, MYAH	AM FUNDS CAPITAL INCOME BUILDER 529	62.50	0.63	0.00	0.63	90.0	0.57
06/04/2018	MARKHAM, JASON	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
06/01/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	18.75	0.19	0.00	0.19	90.0	0.17
06/01/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	18.75	0.19	0.00	0.19	90.0	0.17
06/01/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	37.50	0.38	0.00	0.38	90.0	0.34
06/01/2018	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	18.75	0.19	0.00	0.19	90.0	0.17
06/01/2018	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	18.75	0.19	0.00	0.19	90.0	0.17
06/01/2018	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	18.75	0.19	0.00	0.19	90.0	0.17
06/01/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	18.75	0.19	0.00	0.19	90.0	0.17
06/01/2018	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2018	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
06/01/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	37.50	0.38	0.00	0.38	90.0	0.34
06/01/2018	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2018	TEREBELO, MYAH	AM FUNDS GROWTH FUND OF AMERICA 529	62.50	0.63	0.00	0.63	90.0	0.57
06/04/2018	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	0.75	0.00	0.75	90.0	0.68
06/04/2018	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
06/01/2018	JACK, KELLY	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
06/04/2018	QUSSAR, JAMES	AM FUNDS ICA 529C	75.00	0.75	0.00	0.75	90.0	0.68
06/01/2018	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2018	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2018	TEREBELO, MYAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	62.50	0.63	0.00	0.63	90.0	0.57
06/01/2018	O'CONNOR, AARON	AMCAP FUND-529C	18.75	0.19	0.00	0.19	90.0	0.17
06/01/2018	O'CONNOR, AARON	AMCAP FUND-529C	18.75	0.19	0.00	0.19	90.0	0.17
06/01/2018	TEREBELO, MYAH	AMCAP FUND-529C	62.50	0.63	0.00	0.63	90.0	0.57
06/04/2018	QUSSAR, JAMES	GROWTH AND INCOME PORTFOLIO-529C	75.00	0.75	0.00	0.75	90.0	0.68
* 529 PLANS SUBTOTAL *			\$1,275.00	\$12.80	\$0.00	\$12.80		\$11.58
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/24/2018	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	15.00	0.15	0.00	0.15	90.0	0.14
05/29/2018	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	78.26	2.19	0.00	2.19	90.0	1.97
05/29/2018	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	12.46	0.35	0.00	0.35	90.0	0.32
05/29/2018	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	365.20	10.23	0.00	10.23	90.0	9.21
05/29/2018	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	58.16	1.63	0.00	1.63	90.0	1.47
05/29/2018	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	12.46	0.35	0.00	0.35	90.0	0.32
05/29/2018	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	78.26	2.19	0.00	2.19	90.0	1.97
05/15/2018	MOTOR CITY COMPUTER	PIONEER BOND FUND A	94.58	0.95	0.00	0.95	90.0	0.86
05/24/2018	MOTOR CITY COMPUTER	PIONEER BOND FUND A	94.58	0.95	0.00	0.95	90.0	0.86
* MUTUAL FUNDS SUBTOTAL *			\$808.96	\$18.99	\$0.00	\$18.99		\$17.12
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/04/2018	ATTENDANT CARE, 401K	GWL GRP ANNUITY 401K	252,097.47	160.58	0.00	160.58	90.0	144.52
06/04/2018	RACHWAL, BARTON	GWL GRP ANNUITY 401K	437,902.58	185.96	0.00	185.96	90.0	167.36
06/04/2018	GURSTEN, KOLTONOW	GWL NET ASSET VALUE 401K	8,482,966.08	1,801.18	0.00	1,801.18	90.0	1,621.06
06/04/2018	CHRISTENSEN PLLC, DAVID	GWL NET ASSET VALUE 401K	622,003.12	264.14	0.00	264.14	90.0	237.73
06/04/2018	RACHWAL PC, BARTON	GWL NET ASSET VALUE 401K	202,398.62	42.98	0.00	42.98	90.0	38.68
05/31/2018	CARROLL, EILEEN	JNL ELITE ACCESS W/ LIQUIDITY	36,513.66	30.43	0.00	30.43	90.0	27.39
05/31/2018	NUNEZ, LYNDIA	JNL ELITE ACCESS W/ LIQUIDITY	124,951.31	104.13	0.00	104.13	90.0	93.72
05/31/2018	CARROLL, JEROME	JNL PERSPECTIVE II 04/14	68,247.44	14.22	0.00	14.22	90.0	12.80
05/31/2018	TREVINO, PATRICIA	JNL PERSPECTIVE II 04/14	58,647.86	12.22	0.00	12.22	90.0	11.00
05/31/2018	RACHWAL, BARTON	JNL PERSPECTIVE II 05/05	186,623.78	38.88	0.00	38.88	90.0	34.99
05/31/2018	MAHER, HENRY	JNL PERSPECTIVE II 05/05	107,650.17	44.85	0.00	44.85	90.0	40.37

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2018	HICKSON, ANDREW	JNL PERSPECTIVE II 05/05	50,304.21	20.96	0.00	20.96	90.0	18.86
05/31/2018	VEIT, BEVERLY	JNL PERSPECTIVE L	19,809.24	16.51	0.00	16.51	90.0	14.86
05/31/2018	PALMERI, BEVERLY	JNL PERSPECTIVE L	99,566.85	82.97	0.00	82.97	90.0	74.67
05/31/2018	FRASER, SHARON	JNL PERSPECTIVE L	43,730.08	36.44	0.00	36.44	90.0	32.80
05/31/2018	TAYLOR, JAMIE	JNL PERSPECTIVE L	46,538.72	38.78	0.00	38.78	90.0	34.90
05/31/2018	FLOWERS, EDWARD	JNL PERSPECTIVE L	153,581.03	127.98	0.00	127.98	90.0	115.18
05/31/2018	STOKES, RICHARD	JNL PERSPECTIVE L	85,629.01	71.36	0.00	71.36	90.0	64.22
05/31/2018	STONE, EDWARD	JNL PERSPECTIVE L	89,693.09	74.74	0.00	74.74	90.0	67.27
05/31/2018	PALMERI, SAMUEL	JNL PERSPECTIVE L	108,195.41	90.16	0.00	90.16	90.0	81.14
05/31/2018	STEINMETZ JR, JAMES	JNL PERSPECTIVE L	45,650.91	38.04	0.00	38.04	90.0	34.24
05/31/2018	VITALE, PETER	JNL PERSPECTIVE L	142,961.40	119.13	0.00	119.13	90.0	107.22
05/31/2018	BROEREN, ANTHONY	JNL PERSPECTIVE L	196,708.96	163.92	0.00	163.92	90.0	147.53
05/31/2018	KRALIK, GEORGEANNA	JNL PERSPECTIVE L	117,480.01	97.90	0.00	97.90	90.0	88.11
05/31/2018	CORNWELL, VICTORIA	JNL PERSPECTIVE L	213,900.62	178.25	0.00	178.25	90.0	160.43
04/30/2018	HARRIS, JANE	PRU 74429E487	290,568.95	278.46	0.00	278.46	90.0	250.61
05/31/2018	HARRIS, JANE	PRU 74429E487	292,635.48	280.44	0.00	280.44	90.0	252.40
04/30/2018	YURIK, DEBRA	PRU AS ADVISOR PLAN III	39,387.34	8.21	0.00	8.21	90.0	7.39
04/30/2018	YURIK, DEBRA	PRU AS ADVISOR PLAN III	39,387.34	8.21	0.00	8.21	90.0	7.39
05/31/2018	YURIK, DEBRA	PRU AS ADVISOR PLAN III	39,830.47	8.30	0.00	8.30	90.0	7.47
05/31/2018	YURIK, DEBRA	PRU AS ADVISOR PLAN III	39,830.47	8.30	0.00	8.30	90.0	7.47
04/30/2018	ZERVOS JR, ARISTEDES	PRU AS APEX II	811,806.28	169.13	0.00	169.13	90.0	152.22
04/30/2018	ZERVOS JR, ARISTEDES	PRU AS APEX II	811,806.28	676.51	0.00	676.51	90.0	608.86
04/30/2018	PALMERI, BEVERLY	PRU AS APEX II	319,128.00	66.49	0.00	66.49	90.0	59.84
04/30/2018	PALMERI, BEVERLY	PRU AS APEX II	319,128.00	265.94	0.00	265.94	90.0	239.35
04/30/2018	BANNICK, ROBERT	PRU AS APEX II	135,792.09	28.29	0.00	28.29	90.0	25.46
04/30/2018	BANNICK, ROBERT	PRU AS APEX II	135,792.09	113.16	0.00	113.16	90.0	101.84
04/30/2018	YURIK, DEBRA	PRU AS APEX II	88,927.27	18.53	0.00	18.53	90.0	16.68
04/30/2018	YURIK, DEBRA	PRU AS APEX II	88,927.27	74.11	0.00	74.11	90.0	66.70
04/30/2018	STONE, ELAINE	PRU AS APEX II	208,083.94	43.35	0.00	43.35	90.0	39.02
04/30/2018	STONE, ELAINE	PRU AS APEX II	208,083.94	173.40	0.00	173.40	90.0	156.06
04/30/2018	TROKIC, MEVLIDA	PRU AS APEX II	208,409.28	43.42	0.00	43.42	90.0	39.08
04/30/2018	TROKIC, MEVLIDA	PRU AS APEX II	208,409.28	173.67	0.00	173.67	90.0	156.30
04/30/2018	FRASER, SHARON	PRU AS APEX II	40,107.07	8.36	0.00	8.36	90.0	7.52

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2018	FRASER, SHARON	PRU AS APEX II	40,107.07	33.42	0.00	33.42	90.0	30.08
04/30/2018	MARSACK, KAREN	PRU AS APEX II	499,082.91	103.98	0.00	103.98	90.0	93.58
04/30/2018	MARSACK, KAREN	PRU AS APEX II	499,082.91	415.90	0.00	415.90	90.0	374.31
04/30/2018	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	13,391.34	2.79	0.00	2.79	90.0	2.51
04/30/2018	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	13,391.34	11.16	0.00	11.16	90.0	10.04
04/30/2018	MARSACK, KAREN	PRU AS APEX II	71,427.47	14.88	0.00	14.88	90.0	13.39
04/30/2018	MARSACK, KAREN	PRU AS APEX II	71,427.47	59.52	0.00	59.52	90.0	53.57
04/30/2018	MARSHALL, SANDRA	PRU AS APEX II	179,300.52	37.35	0.00	37.35	90.0	33.62
04/30/2018	MARSHALL, SANDRA	PRU AS APEX II	179,300.52	149.42	0.00	149.42	90.0	134.48
04/30/2018	MARSHALL TRUST, SANDRA	PRU AS APEX II	84,914.19	17.69	0.00	17.69	90.0	15.92
04/30/2018	MARSHALL TRUST, SANDRA	PRU AS APEX II	84,914.19	70.76	0.00	70.76	90.0	63.68
04/30/2018	TACCONELLI, JOHN	PRU AS APEX II	110,954.33	23.12	0.00	23.12	90.0	20.81
04/30/2018	TACCONELLI, JOHN	PRU AS APEX II	110,954.33	92.46	0.00	92.46	90.0	83.21
04/30/2018	TACCONELLI, JOHN	PRU AS APEX II	54,225.31	11.30	0.00	11.30	90.0	10.17
04/30/2018	TACCONELLI, JOHN	PRU AS APEX II	54,225.31	45.19	0.00	45.19	90.0	40.67
04/30/2018	FISCHER, SHIRLEY	PRU AS APEX II	50,981.96	10.62	0.00	10.62	90.0	9.56
04/30/2018	FISCHER, SHIRLEY	PRU AS APEX II	50,981.96	42.48	0.00	42.48	90.0	38.23
04/30/2018	JACK, LINDA	PRU AS APEX II	61,705.91	12.86	0.00	12.86	90.0	11.57
04/30/2018	JACK, LINDA	PRU AS APEX II	61,705.91	51.42	0.00	51.42	90.0	46.28
04/30/2018	O'DONNELL, JUDITH	PRU AS APEX II	185,297.31	38.60	0.00	38.60	90.0	34.74
04/30/2018	O'DONNELL, JUDITH	PRU AS APEX II	185,297.31	154.41	0.00	154.41	90.0	138.97
04/30/2018	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	139,435.26	29.05	0.00	29.05	90.0	26.15
04/30/2018	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	139,435.26	116.20	0.00	116.20	90.0	104.58
04/30/2018	LUJAN, DEBORAH	PRU AS APEX II	130,132.39	27.11	0.00	27.11	90.0	24.40
04/30/2018	LUJAN, DEBORAH	PRU AS APEX II	130,132.39	108.44	0.00	108.44	90.0	97.60
04/30/2018	FLEURY, DAVID	PRU AS APEX II	115,750.24	24.11	0.00	24.11	90.0	21.70
04/30/2018	FLEURY, DAVID	PRU AS APEX II	115,750.24	96.46	0.00	96.46	90.0	86.81
04/30/2018	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	31,595.92	6.58	0.00	6.58	90.0	5.92
04/30/2018	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	31,595.92	26.33	0.00	26.33	90.0	23.70
04/30/2018	PIASCIK, SHAWN	PRU AS APEX II	104,545.90	21.78	0.00	21.78	90.0	19.60
04/30/2018	PIASCIK, SHAWN	PRU AS APEX II	104,545.90	87.12	0.00	87.12	90.0	78.41
04/30/2018	MAHER TRUST, MARY	PRU AS APEX II	169,884.53	35.39	0.00	35.39	90.0	31.85
04/30/2018	MAHER TRUST, MARY	PRU AS APEX II	169,884.53	141.57	0.00	141.57	90.0	127.41

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2018	BARNES, TAI	PRU AS APEX II	9,066.59	1.89	0.00	1.89	90.0	1.70
04/30/2018	BARNES, TAI	PRU AS APEX II	9,066.59	7.56	0.00	7.56	90.0	6.80
04/30/2018	GILLESPIE, HEATHER	PRU AS APEX II	134,597.50	28.04	0.00	28.04	90.0	25.24
04/30/2018	GILLESPIE, HEATHER	PRU AS APEX II	134,597.50	112.16	0.00	112.16	90.0	100.94
04/30/2018	FINLEY, RENEATHIA	PRU AS APEX II	6,065.61	1.26	0.00	1.26	90.0	1.13
04/30/2018	FINLEY, RENEATHIA	PRU AS APEX II	6,065.61	5.05	0.00	5.05	90.0	4.55
04/30/2018	ELSE, KATHERINE	PRU AS APEX II	102,263.82	21.30	0.00	21.30	90.0	19.17
04/30/2018	ELSE, KATHERINE	PRU AS APEX II	102,263.82	85.22	0.00	85.22	90.0	76.70
04/30/2018	HARRISON, SHARI	PRU AS APEX II	31,161.98	6.49	0.00	6.49	90.0	5.84
04/30/2018	HARRISON, SHARI	PRU AS APEX II	31,161.98	25.97	0.00	25.97	90.0	23.37
04/30/2018	GILMORE, JOHNSON	PRU AS APEX II	1,693.26	0.35	0.00	0.35	90.0	0.32
04/30/2018	GILMORE, JOHNSON	PRU AS APEX II	1,693.26	1.41	0.00	1.41	90.0	1.27
04/30/2018	NUNEZ, LYNDIA	PRU AS APEX II	678,856.15	141.43	0.00	141.43	90.0	127.29
04/30/2018	NUNEZ, LYNDIA	PRU AS APEX II	678,856.15	424.29	0.00	424.29	90.0	381.86
04/30/2018	BERARD, LISA	PRU AS APEX II	74,956.16	62.46	0.00	62.46	90.0	56.21
04/30/2018	BERARD, LISA	PRU AS APEX II	74,956.16	15.62	0.00	15.62	90.0	14.06
04/30/2018	QUEDNAU, KELLY	PRU AS APEX II	14,487.30	3.02	0.00	3.02	90.0	2.72
04/30/2018	QUEDNAU, KELLY	PRU AS APEX II	14,487.30	12.07	0.00	12.07	90.0	10.86
04/30/2018	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	71,312.90	14.86	0.00	14.86	90.0	13.37
04/30/2018	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	71,312.90	59.43	0.00	59.43	90.0	53.49
04/30/2018	VIAR, DAVID	PRU AS APEX II	20,783.32	4.33	0.00	4.33	90.0	3.90
04/30/2018	VIAR, DAVID	PRU AS APEX II	20,783.32	17.32	0.00	17.32	90.0	15.59
05/31/2018	ZERVOS JR, ARISTEDES	PRU AS APEX II	815,979.46	170.00	0.00	170.00	90.0	153.00
05/31/2018	ZERVOS JR, ARISTEDES	PRU AS APEX II	815,979.46	679.98	0.00	679.98	90.0	611.98
05/31/2018	PALMERI, BEVERLY	PRU AS APEX II	321,144.01	66.91	0.00	66.91	90.0	60.22
05/31/2018	PALMERI, BEVERLY	PRU AS APEX II	321,144.01	267.62	0.00	267.62	90.0	240.86
05/31/2018	BANNICK, ROBERT	PRU AS APEX II	137,824.50	28.71	0.00	28.71	90.0	25.84
05/31/2018	BANNICK, ROBERT	PRU AS APEX II	137,824.50	114.85	0.00	114.85	90.0	103.37
05/31/2018	YURIK, DEBRA	PRU AS APEX II	89,982.74	18.75	0.00	18.75	90.0	16.88
05/31/2018	YURIK, DEBRA	PRU AS APEX II	89,982.74	74.99	0.00	74.99	90.0	67.49
05/31/2018	TROKIC, MEVLIDA	PRU AS APEX II	209,887.93	43.73	0.00	43.73	90.0	39.36
05/31/2018	STONE, ELAINE	PRU AS APEX II	208,953.72	43.53	0.00	43.53	90.0	39.18
05/31/2018	STONE, ELAINE	PRU AS APEX II	208,953.72	174.13	0.00	174.13	90.0	156.72

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
05/31/2018	TROKIC, MEVLIDA	PRU AS APEX II	209,887.93	174.91	0.00	174.91	90.0	157.42
05/31/2018	FRASER, SHARON	PRU AS APEX II	40,542.74	8.45	0.00	8.45	90.0	7.61
05/31/2018	FRASER, SHARON	PRU AS APEX II	40,542.74	33.79	0.00	33.79	90.0	30.41
05/31/2018	MARSACK, KAREN	PRU AS APEX II	500,313.40	104.23	0.00	104.23	90.0	93.81
05/31/2018	MARSACK, KAREN	PRU AS APEX II	500,313.40	416.93	0.00	416.93	90.0	375.24
05/31/2018	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	12,825.45	2.67	0.00	2.67	90.0	2.40
05/31/2018	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	12,825.45	10.69	0.00	10.69	90.0	9.62
05/31/2018	MARSACK, KAREN	PRU AS APEX II	43,306.00	9.02	0.00	9.02	90.0	8.12
05/31/2018	MARSACK, KAREN	PRU AS APEX II	43,306.00	36.09	0.00	36.09	90.0	32.48
05/31/2018	MARSHALL, SANDRA	PRU AS APEX II	180,724.43	37.65	0.00	37.65	90.0	33.89
05/31/2018	MARSHALL, SANDRA	PRU AS APEX II	180,724.43	150.60	0.00	150.60	90.0	135.54
05/31/2018	MARSHALL TRUST, SANDRA	PRU AS APEX II	85,922.03	17.90	0.00	17.90	90.0	16.11
05/31/2018	MARSHALL TRUST, SANDRA	PRU AS APEX II	85,922.03	71.60	0.00	71.60	90.0	64.44
05/31/2018	TACCONELLI, JOHN	PRU AS APEX II	111,861.96	23.30	0.00	23.30	90.0	20.97
05/31/2018	TACCONELLI, JOHN	PRU AS APEX II	111,861.96	93.22	0.00	93.22	90.0	83.90
05/31/2018	TACCONELLI, JOHN	PRU AS APEX II	54,769.94	11.41	0.00	11.41	90.0	10.27
05/31/2018	TACCONELLI, JOHN	PRU AS APEX II	54,769.94	45.64	0.00	45.64	90.0	41.08
05/31/2018	FISCHER, SHIRLEY	PRU AS APEX II	51,609.68	10.75	0.00	10.75	90.0	9.68
05/31/2018	FISCHER, SHIRLEY	PRU AS APEX II	51,609.68	43.01	0.00	43.01	90.0	38.71
05/31/2018	JACK, LINDA	PRU AS APEX II	62,377.00	13.00	0.00	13.00	90.0	11.70
05/31/2018	JACK, LINDA	PRU AS APEX II	62,377.00	51.98	0.00	51.98	90.0	46.78
05/31/2018	O'DONNELL, JUDITH	PRU AS APEX II	186,942.75	38.95	0.00	38.95	90.0	35.06
05/31/2018	O'DONNELL, JUDITH	PRU AS APEX II	186,942.75	155.79	0.00	155.79	90.0	140.21
05/31/2018	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	140,657.32	29.30	0.00	29.30	90.0	26.37
05/31/2018	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	140,657.32	117.21	0.00	117.21	90.0	105.49
05/31/2018	LUJAN, DEBORAH	PRU AS APEX II	131,676.06	27.43	0.00	27.43	90.0	24.69
05/31/2018	LUJAN, DEBORAH	PRU AS APEX II	131,676.06	109.73	0.00	109.73	90.0	98.76
05/31/2018	FLEURY, DAVID	PRU AS APEX II	116,937.39	24.36	0.00	24.36	90.0	21.92
05/31/2018	FLEURY, DAVID	PRU AS APEX II	116,937.39	97.45	0.00	97.45	90.0	87.71
05/31/2018	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	31,882.40	6.64	0.00	6.64	90.0	5.98
05/31/2018	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	31,882.40	26.57	0.00	26.57	90.0	23.91
05/31/2018	PIASCIK, SHAWN	PRU AS APEX II	104,836.43	21.84	0.00	21.84	90.0	19.66
05/31/2018	PIASCIK, SHAWN	PRU AS APEX II	104,836.43	87.36	0.00	87.36	90.0	78.62

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
05/31/2018	MAHER TRUST, MARY	PRU AS APEX II	171,936.72	35.82	0.00	35.82	90.0	32.24
05/31/2018	MAHER TRUST, MARY	PRU AS APEX II	171,936.72	143.28	0.00	143.28	90.0	128.95
05/31/2018	BARNES, TAI	PRU AS APEX II	8,174.13	1.70	0.00	1.70	90.0	1.53
05/31/2018	BARNES, TAI	PRU AS APEX II	8,174.13	6.81	0.00	6.81	90.0	6.13
05/31/2018	GILLESPIE, HEATHER	PRU AS APEX II	134,368.76	27.99	0.00	27.99	90.0	25.19
05/31/2018	GILLESPIE, HEATHER	PRU AS APEX II	134,368.76	111.97	0.00	111.97	90.0	100.77
05/31/2018	FINLEY, RENEATHIA	PRU AS APEX II	6,029.57	1.26	0.00	1.26	90.0	1.13
05/31/2018	FINLEY, RENEATHIA	PRU AS APEX II	6,029.57	5.02	0.00	5.02	90.0	4.52
05/31/2018	ELSE, KATHERINE	PRU AS APEX II	101,819.61	21.21	0.00	21.21	90.0	19.09
05/31/2018	ELSE, KATHERINE	PRU AS APEX II	101,819.61	84.85	0.00	84.85	90.0	76.37
05/31/2018	HARRISON, SHARI	PRU AS APEX II	29,713.64	6.19	0.00	6.19	90.0	5.57
05/31/2018	HARRISON, SHARI	PRU AS APEX II	29,713.64	24.76	0.00	24.76	90.0	22.28
05/31/2018	GILMORE, JOHNSON	PRU AS APEX II	1,697.64	0.35	0.00	0.35	90.0	0.32
05/31/2018	GILMORE, JOHNSON	PRU AS APEX II	1,697.64	1.41	0.00	1.41	90.0	1.27
05/31/2018	NUNEZ, LYNDA	PRU AS APEX II	687,264.56	143.18	0.00	143.18	90.0	128.86
05/31/2018	NUNEZ, LYNDA	PRU AS APEX II	687,264.56	429.54	0.00	429.54	90.0	386.59
05/31/2018	BERARD, LISA	PRU AS APEX II	75,792.60	63.16	0.00	63.16	90.0	56.84
05/31/2018	BERARD, LISA	PRU AS APEX II	75,792.60	15.79	0.00	15.79	90.0	14.21
05/31/2018	QUEDNAU, KELLY	PRU AS APEX II	14,491.27	3.02	0.00	3.02	90.0	2.72
05/31/2018	QUEDNAU, KELLY	PRU AS APEX II	14,491.27	12.08	0.00	12.08	90.0	10.87
05/31/2018	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	72,086.49	15.02	0.00	15.02	90.0	13.52
05/31/2018	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	72,086.49	60.07	0.00	60.07	90.0	54.06
05/31/2018	VIAR, DAVID	PRU AS APEX II	21,089.90	4.39	0.00	4.39	90.0	3.95
05/31/2018	VIAR, DAVID	PRU AS APEX II	21,089.90	17.57	0.00	17.57	90.0	15.81
04/30/2018	ELSE, KATHERINE	PRU DEFINED INCOME	20,175.74	16.81	0.00	16.81	90.0	15.13
04/30/2018	WHEELER, WILLARD	PRU DEFINED INCOME	534,530.71	445.44	0.00	445.44	90.0	400.90
04/30/2018	MARSACK, KAREN	PRU DEFINED INCOME	144,085.68	120.07	0.00	120.07	90.0	108.06
04/30/2018	LEFKA, KAYE	PRU DEFINED INCOME	51,518.15	42.93	0.00	42.93	90.0	38.64
04/30/2018	WALSH, LORRAINE	PRU DEFINED INCOME	73,569.20	61.31	0.00	61.31	90.0	55.18
04/30/2018	LINDSEY, BARBARA	PRU DEFINED INCOME	65,349.67	54.46	0.00	54.46	90.0	49.01
04/30/2018	FOSTER SP TRUST, PATRICIA	PRU DEFINED INCOME	105,375.34	87.81	0.00	87.81	90.0	79.03
04/30/2018	ALLEN, MARY	PRU DEFINED INCOME	154,766.26	128.97	0.00	128.97	90.0	116.07
04/30/2018	MARKHAM, PATRICK	PRU DEFINED INCOME	117,313.58	97.76	0.00	97.76	90.0	87.98

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2018	DURST, BARBARA	PRU DEFINED INCOME	236,642.69	197.20	0.00	197.20	90.0	177.48
04/30/2018	TAYLOR, JAMIE	PRU DEFINED INCOME	157,245.81	131.04	0.00	131.04	90.0	117.94
04/30/2018	HICKS, CURTIS	PRU DEFINED INCOME	51,372.49	42.81	0.00	42.81	90.0	38.53
05/31/2018	ELSE, KATHERINE	PRU DEFINED INCOME	19,714.33	16.43	0.00	16.43	90.0	14.79
05/31/2018	WHEELER, WILLARD	PRU DEFINED INCOME	526,209.60	438.51	0.00	438.51	90.0	394.66
05/31/2018	MARSACK, KAREN	PRU DEFINED INCOME	140,968.27	117.47	0.00	117.47	90.0	105.72
05/31/2018	LEFKA, KAYE	PRU DEFINED INCOME	50,716.16	42.26	0.00	42.26	90.0	38.03
05/31/2018	WALSH, LORRAINE	PRU DEFINED INCOME	72,423.94	60.35	0.00	60.35	90.0	54.32
05/31/2018	LINDSEY, BARBARA	PRU DEFINED INCOME	64,332.37	53.61	0.00	53.61	90.0	48.25
05/31/2018	FOSTER SP TRUST, PATRICIA	PRU DEFINED INCOME	103,734.95	86.45	0.00	86.45	90.0	77.81
05/31/2018	ALLEN, MARY	PRU DEFINED INCOME	151,634.73	126.36	0.00	126.36	90.0	113.72
05/31/2018	MARKHAM, PATRICK	PRU DEFINED INCOME	115,487.34	96.24	0.00	96.24	90.0	86.62
05/31/2018	DURST, BARBARA	PRU DEFINED INCOME	231,542.05	192.95	0.00	192.95	90.0	173.66
05/31/2018	HICKS, CURTIS	PRU DEFINED INCOME	50,572.77	42.14	0.00	42.14	90.0	37.93
05/31/2018	TAYLOR, JAMIE	PRU DEFINED INCOME	154,797.94	129.00	0.00	129.00	90.0	116.10
05/31/2018	ZETTEL, ANTHONY	PRU PREMIER INVT A	114,636.39	28.66	0.00	28.66	90.0	25.79
04/30/2018	FLEURY, DAVID	PRU PREMIER RETIREMENT B	54,774.57	11.41	0.00	11.41	90.0	10.27
05/31/2018	BROEREN, LISA	PRU PREMIER RETIREMENT B	77,511.84	64.59	0.00	64.59	90.0	58.13
05/31/2018	FLEURY, DAVID	PRU PREMIER RETIREMENT B	55,184.11	11.50	0.00	11.50	90.0	10.35
05/31/2018	HARRIS, JANE	PRU PREMIER RETIREMENT B	140,175.09	29.20	0.00	29.20	90.0	26.28
05/31/2018	FLEURY, DAVID	PRU PREMIER RETIREMENT B	78,470.75	65.39	0.00	65.39	90.0	58.85
04/30/2018	DINON, MARY	PRU PREMIER RETIREMENT C	154,817.37	129.02	0.00	129.02	90.0	116.12
04/30/2018	EDWARDS, ROSALIND	PRU PREMIER RETIREMENT L	102,071.52	85.06	0.00	85.06	90.0	76.55
04/30/2018	STONE, EDWARD	PRU PREMIER RETIREMENT L	208,493.24	173.74	0.00	173.74	90.0	156.37
04/30/2018	PALMERI, SAMUEL	PRU PREMIER RETIREMENT L	114,838.66	95.70	0.00	95.70	90.0	86.13
04/30/2018	MARSHALL, IRONA	PRU PREMIER RETIREMENT L	148,849.07	124.04	0.00	124.04	90.0	111.64
04/30/2018	TAYLOR, JAMIE	PRU PREMIER RETIREMENT L	764,782.18	637.32	0.00	637.32	90.0	573.59
04/30/2018	BIXBY, STEVEN	PRU PREMIER RETIREMENT L	238,466.05	198.72	0.00	198.72	90.0	178.85
04/30/2018	CARROLL, EILEEN	PRU PREMIER RETIREMENT L	73,920.75	61.60	0.00	61.60	90.0	55.44
04/30/2018	MCCRACKEN, ROBERT	PRU PREMIER RETIREMENT L	151,429.58	126.19	0.00	126.19	90.0	113.57
04/30/2018	FAIRLEY, JAMES	PRU PREMIER RETIREMENT L	194,862.44	162.39	0.00	162.39	90.0	146.15
04/30/2018	MARSHALL, DEMETRIA	PRU PREMIER RETIREMENT L	55,800.25	46.50	0.00	46.50	90.0	41.85
04/30/2018	ELSE, KATHERINE	PRU PREMIER RETIREMENT L	19,189.48	15.99	0.00	15.99	90.0	14.39

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2018	HANNAH, EDWARD	PRU PREMIER RETIREMENT L	298,986.86	249.16	0.00	249.16	90.0	224.24
04/30/2018	PARIC, ELVEDINA	PRU PREMIER RETIREMENT L	101,958.16	84.97	0.00	84.97	90.0	76.47
04/30/2018	MAHER, HENRY	PRU PREMIER RETIREMENT L	471,475.84	392.90	0.00	392.90	90.0	353.61
04/30/2018	FOREMAN, CYNTHIA	PRU PREMIER RETIREMENT L	70,196.48	58.50	0.00	58.50	90.0	52.65
04/30/2018	HAJDAS, MICHAEL	PRU PREMIER RETIREMENT L	50,135.41	41.78	0.00	41.78	90.0	37.60
04/30/2018	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	190,035.05	158.36	0.00	158.36	90.0	142.52
04/30/2018	O'DONNELL, JUDITH	PRU PREMIER RETIREMENT L	55,369.71	46.14	0.00	46.14	90.0	41.53
04/30/2018	NUNEZ, LYNDA	PRU PREMIER RETIREMENT L	80,741.06	67.28	0.00	67.28	90.0	60.55
04/30/2018	BUSSELL, JEFFREY	PRU PREMIER RETIREMENT L	112,198.46	93.50	0.00	93.50	90.0	84.15
04/30/2018	WHEELER, WILLARD	PRU PREMIER RETIREMENT L	541,803.88	451.50	0.00	451.50	90.0	406.35
04/30/2018	PAGANO, SALVATORE	PRU PREMIER RETIREMENT L	106,185.26	88.49	0.00	88.49	90.0	79.64
04/30/2018	PAGANO, MAUREEN	PRU PREMIER RETIREMENT L	135,365.69	112.80	0.00	112.80	90.0	101.52
04/30/2018	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	96,662.73	80.55	0.00	80.55	90.0	72.50
04/30/2018	WOLLACK, ROBERT	PRU PREMIER RETIREMENT L	81,729.09	68.11	0.00	68.11	90.0	61.30
04/30/2018	LINDSEY, CHARLES	PRU PREMIER RETIREMENT L	83,824.35	69.85	0.00	69.85	90.0	62.87
04/30/2018	PALMERI, PAUL	PRU PREMIER RETIREMENT L	40,410.38	33.68	0.00	33.68	90.0	30.31
04/30/2018	DILAY, DANIEL	PRU PREMIER RETIREMENT L	12,688.43	10.57	0.00	10.57	90.0	9.51
04/30/2018	PEVOS, DEBRA	PRU PREMIER RETIREMENT L	227,621.85	189.68	0.00	189.68	90.0	170.71
04/30/2018	IBARRA, JAIME	PRU PREMIER RETIREMENT L	133,752.87	111.46	0.00	111.46	90.0	100.31
04/30/2018	HATHAWAY, RICHARD	PRU PREMIER RETIREMENT L	34,113.02	28.43	0.00	28.43	90.0	25.59
04/30/2018	DILAY, DENISE	PRU PREMIER RETIREMENT L	278,199.91	231.83	0.00	231.83	90.0	208.65
04/30/2018	PASSALACQUA, PAULA	PRU PREMIER RETIREMENT L	24,523.50	20.44	0.00	20.44	90.0	18.40
04/30/2018	KOTT, DIANE	PRU PREMIER RETIREMENT L	156,623.76	130.52	0.00	130.52	90.0	117.47
04/30/2018	MARSHALL, CAROLYN	PRU PREMIER RETIREMENT L	181,299.91	151.08	0.00	151.08	90.0	135.97
05/31/2018	EDWARDS, ROSALIND	PRU PREMIER RETIREMENT L	103,133.40	85.94	0.00	85.94	90.0	77.35
05/31/2018	STONE, EDWARD	PRU PREMIER RETIREMENT L	210,351.98	175.29	0.00	175.29	90.0	157.76
05/31/2018	PALMERI, SAMUEL	PRU PREMIER RETIREMENT L	116,225.48	96.85	0.00	96.85	90.0	87.17
05/31/2018	MARSHALL, IRONA	PRU PREMIER RETIREMENT L	149,380.72	124.48	0.00	124.48	90.0	112.03
05/31/2018	TAYLOR, JAMIE	PRU PREMIER RETIREMENT L	772,870.09	644.06	0.00	644.06	90.0	579.65
05/31/2018	BIXBY, STEVEN	PRU PREMIER RETIREMENT L	241,160.79	200.97	0.00	200.97	90.0	180.87
05/31/2018	CARROLL, EILEEN	PRU PREMIER RETIREMENT L	74,700.94	62.25	0.00	62.25	90.0	56.03
05/31/2018	MCCRACKEN, ROBERT	PRU PREMIER RETIREMENT L	153,152.18	127.63	0.00	127.63	90.0	114.87
05/31/2018	FAIRLEY, JAMES	PRU PREMIER RETIREMENT L	196,151.86	163.46	0.00	163.46	90.0	147.11

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2018	MARSHALL, DEMETRIA	PRU PREMIER RETIREMENT L	56,460.13	47.05	0.00	47.05	90.0	42.35
05/31/2018	ELSE, KATHERINE	PRU PREMIER RETIREMENT L	19,301.38	16.08	0.00	16.08	90.0	14.47
05/31/2018	HANNAH, EDWARD	PRU PREMIER RETIREMENT L	301,960.43	251.63	0.00	251.63	90.0	226.47
05/31/2018	PARIC, ELVEDINA	PRU PREMIER RETIREMENT L	103,941.27	86.62	0.00	86.62	90.0	77.96
05/31/2018	MAHER, HENRY	PRU PREMIER RETIREMENT L	476,375.96	396.98	0.00	396.98	90.0	357.28
05/31/2018	FOREMAN, CYNTHIA	PRU PREMIER RETIREMENT L	70,402.21	58.67	0.00	58.67	90.0	52.80
05/31/2018	HAJDAS, MICHAEL	PRU PREMIER RETIREMENT L	50,172.68	41.81	0.00	41.81	90.0	37.63
05/31/2018	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	190,542.57	158.79	0.00	158.79	90.0	142.91
05/31/2018	O'DONNELL, JUDITH	PRU PREMIER RETIREMENT L	55,951.25	46.63	0.00	46.63	90.0	41.97
05/31/2018	NUNEZ, LYNDA	PRU PREMIER RETIREMENT L	81,737.71	68.11	0.00	68.11	90.0	61.30
05/31/2018	BUSSELL, JEFFREY	PRU PREMIER RETIREMENT L	113,364.81	94.47	0.00	94.47	90.0	85.02
05/31/2018	WHEELER, WILLARD	PRU PREMIER RETIREMENT L	544,942.22	454.12	0.00	454.12	90.0	408.71
05/31/2018	PAGANO, SALVATORE	PRU PREMIER RETIREMENT L	102,344.06	85.29	0.00	85.29	90.0	76.76
05/31/2018	PAGANO, MAUREEN	PRU PREMIER RETIREMENT L	136,898.04	114.08	0.00	114.08	90.0	102.67
05/31/2018	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	96,945.12	80.79	0.00	80.79	90.0	72.71
05/31/2018	WOLLACK, ROBERT	PRU PREMIER RETIREMENT L	82,305.84	68.59	0.00	68.59	90.0	61.73
05/31/2018	LINDSEY, CHARLES	PRU PREMIER RETIREMENT L	84,681.61	70.57	0.00	70.57	90.0	63.51
05/31/2018	PALMERI, PAUL	PRU PREMIER RETIREMENT L	40,867.07	34.06	0.00	34.06	90.0	30.65
05/31/2018	DILAY, DANIEL	PRU PREMIER RETIREMENT L	12,807.01	10.67	0.00	10.67	90.0	9.60
05/31/2018	PEVOS, DEBRA	PRU PREMIER RETIREMENT L	229,424.39	191.19	0.00	191.19	90.0	172.07
05/31/2018	IBARRA, JAIME	PRU PREMIER RETIREMENT L	134,874.28	112.40	0.00	112.40	90.0	101.16
05/31/2018	HATHAWAY, RICHARD	PRU PREMIER RETIREMENT L	34,491.97	28.74	0.00	28.74	90.0	25.87
05/31/2018	DILAY, DENISE	PRU PREMIER RETIREMENT L	280,799.93	234.00	0.00	234.00	90.0	210.60
05/31/2018	PASSALACQUA, PAULA	PRU PREMIER RETIREMENT L	24,727.62	20.61	0.00	20.61	90.0	18.55
05/31/2018	KOTT, DIANE	PRU PREMIER RETIREMENT L	158,023.02	131.69	0.00	131.69	90.0	118.52
05/31/2018	MARSHALL, CAROLYN	PRU PREMIER RETIREMENT L	183,057.77	152.55	0.00	152.55	90.0	137.30
04/30/2018	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	20,819.44	13.01	0.00	13.01	90.0	11.71
04/30/2018	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	20,819.44	4.34	0.00	4.34	90.0	3.91
04/30/2018	JACK, STANLEY	PRU XTRA CREDIT EIGHT	268,586.03	55.96	0.00	55.96	90.0	50.36
04/30/2018	JACK, STANLEY	PRU XTRA CREDIT EIGHT	268,586.03	111.91	0.00	111.91	90.0	100.72
04/30/2018	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	341,756.28	213.60	0.00	213.60	90.0	192.24
04/30/2018	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	341,756.28	71.20	0.00	71.20	90.0	64.08
05/31/2018	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	20,994.48	13.12	0.00	13.12	90.0	11.81

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2018	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	20,994.48	4.37	0.00	4.37	90.0	3.93
05/31/2018	JACK, STANLEY	PRU XTRA CREDIT EIGHT	270,674.11	56.39	0.00	56.39	90.0	50.75
05/31/2018	JACK, STANLEY	PRU XTRA CREDIT EIGHT	270,674.11	112.78	0.00	112.78	90.0	101.50
05/31/2018	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	343,291.92	214.56	0.00	214.56	90.0	193.10
05/31/2018	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	343,291.92	71.52	0.00	71.52	90.0	64.37
* VARIABLE ANNUITIES SUBTOTAL *			\$50,511,511.24	\$28,049.96	\$0.00	\$28,049.96		\$25,245.05
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	QUSSAR, JAMES	AF CONSERVATIVE GROWTH AND INCOME-5	0.00	9.57	0.00	9.57	90.0	8.61
06/01/2018	KALLEBERG, JUSTIN	AM FUNDS AMERICAN BALANCED C	0.00	3.25	0.00	3.25	90.0	2.93
06/01/2018	KALLEBERG, WALTER	AM FUNDS AMERICAN BALANCED C	0.00	4.50	0.00	4.50	90.0	4.05
06/01/2018	KALLEBERG, WALTER	AM FUNDS AMERICAN BALANCED C	0.00	4.50	0.00	4.50	90.0	4.05
06/01/2018	ANTHONY, NICHOLAS	AM FUNDS AMERICAN MUTUAL A	0.00	11.51	0.00	11.51	90.0	10.36
06/01/2018	ANTHONY, NICHOLAS	AM FUNDS BOND FUND OF AMERICA A	0.00	4.65	0.00	4.65	90.0	4.19
06/01/2018	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.74	0.00	1.74	90.0	1.57
06/01/2018	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.74	0.00	1.74	90.0	1.57
06/01/2018	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.11	0.00	1.11	90.0	1.00
06/01/2018	CARLINO, GIANNI	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.97	0.00	0.97	90.0	0.87
06/01/2018	CARLINO, GIANNI	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.97	0.00	0.97	90.0	0.87
06/01/2018	PALMER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2018	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.65	0.00	0.65	90.0	0.59
06/01/2018	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	15.88	0.00	15.88	90.0	14.29
06/01/2018	CARLINO, GIANNI	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	19.09	0.00	19.09	90.0	17.18
06/01/2018	CARLINO, GIANNI	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	19.09	0.00	19.09	90.0	17.18
06/01/2018	HOLMES, KAYLA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	11.98	0.00	11.98	90.0	10.78
06/01/2018	PALMER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	25.70	0.00	25.70	90.0	23.13
06/01/2018	MARSHALL, DEMETRIA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	14.73	0.00	14.73	90.0	13.26
06/01/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	6.52	0.00	6.52	90.0	5.87
06/01/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	6.52	0.00	6.52	90.0	5.87
06/01/2018	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	23.57	0.00	23.57	90.0	21.21
06/01/2018	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	21.37	0.00	21.37	90.0	19.23
06/01/2018	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	20.00	0.00	20.00	90.0	18.00
06/01/2018	PEVOS, DEBRA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.50	0.00	1.50	90.0	1.35
06/01/2018	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	4.68	0.00	4.68	90.0	4.21

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	4.68	0.00	4.68	90.0	4.21
06/01/2018	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	6.59	0.00	6.59	90.0	5.93
06/01/2018	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	7.63	0.00	7.63	90.0	6.87
06/01/2018	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	3.90	0.00	3.90	90.0	3.51
06/01/2018	MARKHAM, JASON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2018	PALMERI, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.56	0.00	1.56	90.0	1.40
06/01/2018	WALSH, KIMBERLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.06	0.00	1.06	90.0	0.95
06/01/2018	KALLEBERG, JUSTIN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.07	0.00	3.07	90.0	2.76
06/01/2018	KALLEBERG, WALTER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.22	0.00	4.22	90.0	3.80
06/01/2018	KALLEBERG, WALTER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.22	0.00	4.22	90.0	3.80
06/01/2018	WALSH, KIMBERLY	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.58	0.00	0.58	90.0	0.52
06/01/2018	KALLEBERG, JUSTIN	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2018	SCACCIA, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.84	0.00	2.84	90.0	2.56
06/01/2018	JAMES, ANGELA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.02	0.00	1.02	90.0	0.92
06/01/2018	SCACCIA, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	17.13	0.00	17.13	90.0	15.42
06/01/2018	CARLINO, GIANNI	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	22.38	0.00	22.38	90.0	20.14
06/01/2018	CARLINO, GIANNI	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	22.38	0.00	22.38	90.0	20.14
06/01/2018	JAMES, ANGELA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.35	0.00	3.35	90.0	3.02
06/01/2018	MARSHALL, DEMETRIA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	17.24	0.00	17.24	90.0	15.52
06/01/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	6.47	0.00	6.47	90.0	5.82
06/01/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	6.47	0.00	6.47	90.0	5.82
06/01/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	10.33	0.00	10.33	90.0	9.30
06/01/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	10.33	0.00	10.33	90.0	9.30
06/01/2018	SCACCIA, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	33.96	0.00	33.96	90.0	30.56
06/01/2018	SCACCIA, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	25.56	0.00	25.56	90.0	23.00
06/01/2018	JAMES, ANGELA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.19	0.00	4.19	90.0	3.77
06/01/2018	JAMES, ANGELA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.19	0.00	4.19	90.0	3.77
06/01/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.77	0.00	0.77	90.0	0.69
06/01/2018	PALMERI, MARIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.45	0.00	1.45	90.0	1.31
06/01/2018	WALSH, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.20	0.00	1.20	90.0	1.08
06/01/2018	WALSH, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2018	KALLEBERG, JUSTIN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2018	PALMER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.13	0.00	0.13	90.0	0.12

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	CARLINO, GIANNI	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	20.05	0.00	20.05	90.0	18.05
06/01/2018	CARLINO, GIANNI	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	20.05	0.00	20.05	90.0	18.05
06/01/2018	JAMES, ANGELA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	3.20	0.00	3.20	90.0	2.88
06/01/2018	PALMER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	28.59	0.00	28.59	90.0	25.73
06/01/2018	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	12.78	0.00	12.78	90.0	11.50
06/01/2018	MARSHALL, DEMETRIA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	14.59	0.00	14.59	90.0	13.13
06/01/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	6.55	0.00	6.55	90.0	5.90
06/01/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	6.55	0.00	6.55	90.0	5.90
06/01/2018	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	9.60	0.00	9.60	90.0	8.64
06/01/2018	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	9.60	0.00	9.60	90.0	8.64
06/01/2018	ANTHONY, NICHOLAS	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	2.20	0.00	2.20	90.0	1.98
06/01/2018	ANTHONY, NICHOLAS	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	2.20	0.00	2.20	90.0	1.98
06/01/2018	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	21.92	0.00	21.92	90.0	19.73
06/01/2018	JAMES, ANGELA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	4.00	0.00	4.00	90.0	3.60
06/01/2018	JAMES, ANGELA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	4.00	0.00	4.00	90.0	3.60
06/01/2018	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	8.74	0.00	8.74	90.0	7.87
06/01/2018	ANTHONY, NICHOLAS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.94	0.00	2.94	90.0	2.65
06/01/2018	WALSH, KIMBERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.05	0.00	1.05	90.0	0.95
06/01/2018	WALSH, KIMBERLY	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.31	0.00	0.31	90.0	0.28
06/01/2018	MOTOR CITY COMPUTER	AM FUNDS EUROPACIFIC GROWTH FUND-R2	0.00	137.85	0.00	137.85	90.0	124.07
06/01/2018	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.45	0.00	3.45	90.0	3.11
06/01/2018	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.45	0.00	3.45	90.0	3.11
06/01/2018	SCACCIA, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.18	0.00	4.18	90.0	3.76
06/01/2018	CARLINO, GIANNI	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.43	0.00	1.43	90.0	1.29
06/01/2018	CARLINO, GIANNI	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.43	0.00	1.43	90.0	1.29
06/01/2018	LINDSEY, CHARLES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2018	SCACCIA, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	19.59	0.00	19.59	90.0	17.63
06/01/2018	CARLINO, GIANNI	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	32.27	0.00	32.27	90.0	29.04
06/01/2018	CARLINO, GIANNI	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	32.27	0.00	32.27	90.0	29.04
06/01/2018	JAMES, ANGELA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.98	0.00	3.98	90.0	3.58
06/01/2018	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	19.24	0.00	19.24	90.0	17.32
06/01/2018	MARSHALL, DEMETRIA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	24.15	0.00	24.15	90.0	21.74
06/01/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	42.73	0.00	42.73	90.0	38.46

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/01/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	42.73	0.00	42.73	90.0	38.46
06/01/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	12.87	0.00	12.87	90.0	11.58
06/01/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	12.87	0.00	12.87	90.0	11.58
06/01/2018	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.98	0.00	2.98	90.0	2.68
06/01/2018	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.98	0.00	2.98	90.0	2.68
06/01/2018	SCACCIA, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	36.53	0.00	36.53	90.0	32.88
06/01/2018	SCACCIA, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	32.49	0.00	32.49	90.0	29.24
06/01/2018	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	25.40	0.00	25.40	90.0	22.86
06/01/2018	JAMES, ANGELA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.94	0.00	4.94	90.0	4.45
06/01/2018	JAMES, ANGELA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.94	0.00	4.94	90.0	4.45
06/01/2018	PEVOS, DEBRA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.03	0.00	2.03	90.0	1.83
06/01/2018	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.20	0.00	8.20	90.0	7.38
06/01/2018	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	9.21	0.00	9.21	90.0	8.29
06/01/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.79	0.00	0.79	90.0	0.71
06/01/2018	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.55	0.00	4.55	90.0	4.10
06/01/2018	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	11.28	0.00	11.28	90.0	10.15
06/01/2018	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2018	PALMERI, MARIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.47	0.00	1.47	90.0	1.32
06/01/2018	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.45	0.00	5.45	90.0	4.91
06/01/2018	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.99	0.00	3.99	90.0	3.59
06/01/2018	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.25	0.00	3.25	90.0	2.93
06/01/2018	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.91	0.00	13.91	90.0	12.52
06/01/2018	WALSH, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.61	0.00	1.61	90.0	1.45
06/01/2018	WALSH, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.76	0.00	0.76	90.0	0.68
06/01/2018	MOTOR CITY COMPUTER	AM FUNDS GROWTH FUND OF AMERICA R2	0.00	277.26	0.00	277.26	90.0	249.53
06/01/2018	BANNICK, ROBERT	AM FUNDS ICA 529A	0.00	2.12	0.00	2.12	90.0	1.91
06/01/2018	BANNICK, ROBERT	AM FUNDS ICA 529A	0.00	2.12	0.00	2.12	90.0	1.91
06/01/2018	JAMES, ANGELA	AM FUNDS ICA 529A	0.00	0.57	0.00	0.57	90.0	0.51
06/01/2018	JACK, KELLY	AM FUNDS ICA 529C	0.00	4.16	0.00	4.16	90.0	3.74
06/01/2018	QUSSAR, JAMES	AM FUNDS ICA 529C	0.00	10.34	0.00	10.34	90.0	9.31
06/01/2018	PALMERI, BRENT	AM FUNDS ICA A	0.00	11.43	0.00	11.43	90.0	10.29
06/01/2018	PALMERI, MARIA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.79	0.00	1.79	90.0	1.61
06/01/2018	WALSH, KIMBERLY	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.31	0.00	0.31	90.0	0.28

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/01/2018	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	0.00	20.94	0.00	20.94	90.0	18.85
06/01/2018	JAMES, ANGELA	AM FUNDS NEW ECONOMY 529C	0.00	3.84	0.00	3.84	90.0	3.46
06/01/2018	HOLMES, KAYLA	AM FUNDS NEW ECONOMY 529C	0.00	7.91	0.00	7.91	90.0	7.12
06/01/2018	JAMES, ANGELA	AM FUNDS NEW ECONOMY 529C	0.00	4.80	0.00	4.80	90.0	4.32
06/01/2018	JAMES, ANGELA	AM FUNDS NEW ECONOMY 529C	0.00	4.80	0.00	4.80	90.0	4.32
06/01/2018	SCACCIA, KELLY	AM FUNDS NEW PERSPECTIVE 529C	0.00	32.11	0.00	32.11	90.0	28.90
06/01/2018	SCACCIA, KELLY	AM FUNDS NEW PERSPECTIVE 529C	0.00	27.62	0.00	27.62	90.0	24.86
06/01/2018	CARLINO, GIANNI	AM FUNDS NEW WORLD 529C	0.00	17.88	0.00	17.88	90.0	16.09
06/01/2018	CARLINO, GIANNI	AM FUNDS NEW WORLD 529C	0.00	17.88	0.00	17.88	90.0	16.09
06/01/2018	ANTHONY, NICHOLAS	AM FUNDS SMALLCAP WORLD A	0.00	7.34	0.00	7.34	90.0	6.61
06/01/2018	CARROLL, EILEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.60	0.00	4.60	90.0	4.14
06/01/2018	CARROLL, EILEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.43	0.00	2.43	90.0	2.19
06/01/2018	CARROLL, EILEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.66	0.00	2.66	90.0	2.39
06/01/2018	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.67	0.00	8.67	90.0	7.80
06/01/2018	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.18	0.00	4.18	90.0	3.76
06/01/2018	O'CONNOR, AARON	AMCAP FUND-529C	0.00	12.03	0.00	12.03	90.0	10.83
06/01/2018	O'CONNOR, AARON	AMCAP FUND-529C	0.00	12.03	0.00	12.03	90.0	10.83
06/01/2018	WALSH, KIMBERLY	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.36	0.00	0.36	90.0	0.32
06/01/2018	KALLEBERG, JUSTIN	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2018	QUSSAR, JAMES	GROWTH AND INCOME PORTFOLIO-529C	0.00	10.34	0.00	10.34	90.0	9.31
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,729.32	\$0.00	\$1,729.32		\$1,556.49
*** DIRECT TRANSACTIONS TOTAL ***			\$50,513,595.20	\$29,811.07	\$0.00	\$29,811.07		\$26,830.24

ADJUSTMENTS

06/15/2018	*AI INSIGHT: CURRENT MONTH	0.00	-7.00
06/15/2018	*ALBRIDGE: LIGHT USER	0.00	-76.00
06/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00	-300.00
06/15/2018	*GLOBAL RELAY: WEBSITE	0.00	-15.00
06/15/2018	*RBC: ADMIN WEALTH STATION	0.00	-25.00
06/15/2018	*RBC: CURRENT MONTH	0.00	-25.00
06/15/2018	*RBC: ORDER ENTRY	0.00	-65.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
06/15/2018		*RBC: W/O DEBIT BAL 7A502503	0.00					-2.00
06/15/2018		*TECHNOLOGY: ADM - ZAREFA MARINI	0.00					-130.00
06/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-825.00

BROKER COMMISSION TOTALS

Broker Transactions	\$33,480.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-825.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$32,655.95
Year-to-date Gross	\$254,352.83
Year-to-date Earnings	\$228,150.54

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed