LP LIBERTY PARTNERS

All Companies

STATEMENT
August 20, 2018

RICHARD KLEIN
24 Aster Court

Commack, NY 11725-

BROKER #: 292

BRANCH: LA BRANCH

COMMISSION STATEMENT for RICHARD KLEIN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION

BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
07/10/2018 #	JOHNSON, JULIE	ANNALY CAPITAL MANAGEMENT INC	S	10,465.00	292.55	19.95	0.00	272.60	85.0	231.71
07/10/2018 #	LINNEHAN, RICHARD	CREDIT SUISSE X LINKS SILVER SHARES	S	7,436.00	248.54	19.95	0.00	228.59	85.0	194.30
07/10/2018 #	JOHNSON, JULIE	CYS INVESTMENTS INC	S	5,341.98	189.04	19.95	0.00	169.09	85.0	143.73
07/10/2018 #	LINNEHAN, RICHARD	FRANKLIN LIMITED DURATION INCOME TR	S	11,040.10	300.90	19.95	0.00	280.95	85.0	238.81
07/10/2018 #	LINNEHAN, RICHARD	OAKTREE SPECIALTY LENDING CORPORATI	S	2,365.75	118.29	19.95	0.00	98.34	85.0	83.59
07/10/2018 #	LINNEHAN, RICHARD	ORCHID ISLAND CAPITAL INC	S	3,841.50	141.45	19.95	0.00	121.50	85.0	103.28
07/10/2018 #	JOHNSON, JULIE	PROSPECT CAPITAL CORPORATION	S	5,874.75	218.60	19.95	0.00	198.65	85.0	168.85
07/10/2018 #	LINNEHAN, RICHARD	SEASPAN CORP	S	4,149.36	136.46	19.95	0.00	116.51	85.0	99.03
07/23/2018 #	BRACE, STEPHEN	CORNERSTONE STRATEGIC VALUE FD COMM	B	6,925.00	192.66	19.95	0.00	172.71	85.0	146.80
07/24/2018 #	BRACE, STEPHEN	CORNERSTONE TOTAL RETURN FUND COMMO	B	4,125.00	126.85	19.95	0.00	106.90	85.0	90.87
		* STOCKS SUBTOTAL *		$61,564.44	$1,965.34	$199.50	$0.00	$1,765.84		$1,500.97
		*** BROKER TRANSACTIONS TOTAL ***		$61,564.44	$1,965.34	$199.50	$0.00	$1,765.84		$1,500.97

ADJUSTMENTS

Date	Description	Amount
08/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
08/20/2018	ADMIN FEE: ADMIN FEE	-110.00
	*** ADJUSTMENTS TOTAL ***	$-289.00

BROKER COMMISSION TOTALS

Broker Transactions	$1,500.97
Split Transactions	$0.00
Override Transactions	$0.00
Adjustments	$-289.00
Payroll Draw	$0.00
Base Salary	$0.00
Prior Period Balance	$0.00
FINRA Assessment	$0.00
SIPC Assessment	$0.00
Check Amount	**$1,211.97**