

**COMMISSION STATEMENT****October 15, 2017****JAMES MAHER**

3082 Quail Ridge Circle

Rochester Hills, MI 48309-

**BROKER #: M127**

BRANCH: JAMES MAHER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
09/29/2017	MAHER, VARIOUS	MOODY NAT'L REIT II INC	0.00	43,469.04	0.00	43,469.04	90.0	39,122.14
		<b>* REIT SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$43,469.04</b>	<b>\$0.00</b>	<b>\$43,469.04</b>		<b>\$39,122.14</b>
<b><u>PRODUCT CATEGORY: NON-TRADED BDC</u></b>								
09/29/2017	VITALE, PETER	SIERRA INCOME CORPORATION A	14,241.00	427.23	0.00	427.23	90.0	384.51
		<b>* NON-TRADED BDC SUBTOTAL *</b>	<b>\$14,241.00</b>	<b>\$427.23</b>	<b>\$0.00</b>	<b>\$427.23</b>		<b>\$384.51</b>
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
08/31/2017	BROWN, MICHAEL	GRIFFIN INST'L ACCESS R E FUND	0.00	0.33	0.00	0.33	90.0	0.30
		<b>* INTERVAL FUNDS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$0.33</b>	<b>\$0.00</b>	<b>\$0.33</b>		<b>\$0.30</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/02/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	18.75	0.19	0.00	0.19	90.0	0.17
10/02/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	18.75	0.19	0.00	0.19	90.0	0.17
09/12/2017	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	1.83	0.00	1.83	90.0	1.65
09/12/2017	SIMON, MICHAEL	AM FUNDS AMCAP C	0.00	7.00	0.00	7.00	90.0	6.30
09/12/2017	MAHER, MAIGHDLIN	AM FUNDS AMCAP C	0.00	1.49	0.00	1.49	90.0	1.34
09/12/2017	PALMER, TODD	AM FUNDS AMCAP C	0.00	22.58	0.00	22.58	90.0	20.32
09/12/2017	TAYLOR, JAMIE	AM FUNDS AMERICAN BALANCED C	0.00	11.96	0.00	11.96	90.0	10.76
09/12/2017	ALLEN, MARY	AM FUNDS AMERICAN BALANCED C	0.00	9.88	0.00	9.88	90.0	8.89
09/12/2017	PALMERI, DANIEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.39	0.00	0.39	90.0	0.35
09/12/2017	GILLESPIE, HEATHER	AM FUNDS BOND FUND OF AMERICA A	0.00	4.53	0.00	4.53	90.0	4.08
09/12/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.27	0.00	2.27	90.0	2.04
09/12/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.94	0.00	2.94	90.0	2.65
09/29/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
09/29/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
10/02/2017	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
10/02/2017	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/02/2017	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
10/03/2017	MARKHAM, JASON	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
09/12/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.95	0.00	1.95	90.0	1.76
09/12/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.02	0.00	2.02	90.0	1.82
09/06/2017	HARRIS, JANE	AM FUNDS CAPITAL INCOME BUILDER C	15,000.00	170.00	0.00	170.00	90.0	153.00
09/12/2017	KOTT, DIANE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.78	0.00	5.78	90.0	5.20
09/12/2017	TAYLOR, JAMIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.64	0.00	11.64	90.0	10.48
09/12/2017	STONE, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.13	0.00	12.13	90.0	10.92
09/12/2017	O'CONNOR, HOLLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.44	0.00	6.44	90.0	5.80
09/12/2017	O'DONNELL, JUDITH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.89	0.00	8.89	90.0	8.00
09/12/2017	BUSSELL, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.22	0.00	6.22	90.0	5.60
09/12/2017	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	38.25	0.00	38.25	90.0	34.43
09/12/2017	FRASER, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.23	0.00	7.23	90.0	6.51
09/12/2017	HEIDLOFF, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.55	0.00	3.55	90.0	3.20
09/12/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.46	0.00	7.46	90.0	6.71
09/12/2017	MAHER, KEIRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.61	0.00	1.61	90.0	1.45
09/12/2017	FOSTER SP TRUST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.60	0.00	2.60	90.0	2.34
09/12/2017	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	24.64	0.00	24.64	90.0	22.18
09/12/2017	EBERHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.37	0.00	9.37	90.0	8.43
09/12/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.74	0.00	6.74	90.0	6.07
09/12/2017	HENKE, FRANK	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.41	0.00	8.41	90.0	7.57
09/12/2017	KORESKY, JOHN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.10	0.00	5.10	90.0	4.59
09/12/2017	PEVOS, DEBRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.76	0.00	11.76	90.0	10.58
09/12/2017	PLASTIRAS, JULIANA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.21	0.00	11.21	90.0	10.09
09/12/2017	MARKHAM, ELIZABETH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.88	0.00	1.88	90.0	1.69
09/12/2017	RUSSO, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.21	0.00	9.21	90.0	8.29
09/12/2017	RUSSO, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.48	0.00	12.48	90.0	11.23
09/12/2017	ALLEN, MARY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.86	0.00	9.86	90.0	8.87
09/12/2017	HEILMANN, MAUREEN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.56	0.00	9.56	90.0	8.60
09/12/2017	KESSOR, LAURA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	16.01	0.00	16.01	90.0	14.41
09/12/2017	PROKOP, STANLEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	139.93	0.00	139.93	90.0	125.94
09/12/2017	PEVOS, DEBRA	AM FUNDS CAPITAL INCOME BUILDER C	14,078.62	20.00	0.00	20.00	90.0	18.00
09/12/2017	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.50	0.00	1.50	90.0	1.35

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/12/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	8.99	0.00	8.99	90.0	8.09
09/12/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.14	0.00	1.14	90.0	1.03
09/12/2017	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.29	0.00	1.29	90.0	1.16
09/12/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.97	0.00	2.97	90.0	2.67
09/12/2017	PIZZO, MICHELE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.90	0.00	1.90	90.0	1.71
09/12/2017	PIZZO, REBECCA	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.42	0.00	1.42	90.0	1.28
09/12/2017	GUMBLETON, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.67	0.00	2.67	90.0	2.40
09/12/2017	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.59	0.00	0.59	90.0	0.53
09/12/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.22	0.00	1.22	90.0	1.10
10/02/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	18.75	0.19	0.00	0.19	90.0	0.17
10/02/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	18.75	0.19	0.00	0.19	90.0	0.17
10/02/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	37.50	0.38	0.00	0.38	90.0	0.34
09/12/2017	PALMERI, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.71	0.00	0.71	90.0	0.64
09/12/2017	OLIVER, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.16	0.00	0.16	90.0	0.14
09/12/2017	HOPPE, KYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.80	0.00	1.80	90.0	1.62
09/07/2017	MAHER, SEAMUS	AM FUNDS CAPITAL WORLD GRTH & INC C	1,859.53	0.00	0.00	0.00	90.0	0.00
09/12/2017	JACK, STANLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	14.45	0.00	14.45	90.0	13.01
09/12/2017	JACK, STANLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.18	0.00	1.18	90.0	1.06
09/12/2017	LOUGHLIN, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.11	0.00	2.11	90.0	1.90
09/12/2017	MAHER, HENRY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	21.30	0.00	21.30	90.0	19.17
09/12/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	21.55	0.00	21.55	90.0	19.40
09/12/2017	LUJAN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	7.56	0.00	7.56	90.0	6.80
09/12/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.11	0.00	4.11	90.0	3.70
09/12/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.18	0.00	1.18	90.0	1.06
09/12/2017	MARKHAM, JASON	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.50	0.00	5.50	90.0	4.95
09/12/2017	BANNICK, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	9.30	0.00	9.30	90.0	8.37
09/12/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.84	0.00	4.84	90.0	4.36
09/12/2017	MAHER, SEAMUS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.56	0.00	1.56	90.0	1.40
09/12/2017	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	57.13	0.00	57.13	90.0	51.42
09/12/2017	QUSSAR, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.17	0.00	8.17	90.0	7.35
09/12/2017	VIAR, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.36	0.00	5.36	90.0	4.82
10/02/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	18.75	0.19	0.00	0.19	90.0	0.17
10/02/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	18.75	0.19	0.00	0.19	90.0	0.17

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/02/2017	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
09/12/2017	LINDSEY, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.64	0.00	0.64	90.0	0.58
09/12/2017	HOPPE, KYLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.40	0.00	1.40	90.0	1.26
09/07/2017	MAHER, SEAMUS	AM FUNDS EUROPACIFIC GROWTH FUND C	1,263.05	0.00	0.00	0.00	90.0	0.00
09/12/2017	KOTT, DIANE	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.54	0.00	9.54	90.0	8.59
09/12/2017	LOUGHLIN, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	21.49	0.00	21.49	90.0	19.34
09/12/2017	O'DONNELL, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.45	0.00	8.45	90.0	7.61
09/12/2017	O'DONNELL, SHERYL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.23	0.00	4.23	90.0	3.81
09/12/2017	PALMERI, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.82	0.00	2.82	90.0	2.54
09/12/2017	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	34.33	0.00	34.33	90.0	30.90
09/12/2017	COLASUONNO, RALPH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.58	0.00	11.58	90.0	10.42
09/12/2017	PIASCIK, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	28.39	0.00	28.39	90.0	25.55
09/12/2017	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.18	0.00	10.18	90.0	9.16
09/12/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	19.08	0.00	19.08	90.0	17.17
09/12/2017	VEIT, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.58	0.00	10.58	90.0	9.52
09/12/2017	MARKHAM, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.23	0.00	7.23	90.0	6.51
09/12/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.57	0.00	4.57	90.0	4.11
09/12/2017	BANNICK, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.34	0.00	8.34	90.0	7.51
09/12/2017	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.51	0.00	6.51	90.0	5.86
09/12/2017	BIONDO, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.59	0.00	7.59	90.0	6.83
09/12/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.22	0.00	4.22	90.0	3.80
09/12/2017	MAHER, KEIRA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.06	0.00	1.06	90.0	0.95
09/12/2017	MAHER, MAIGHDLIN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.08	0.00	1.08	90.0	0.97
09/12/2017	MAHER, SEAMUS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.06	0.00	1.06	90.0	0.95
09/12/2017	NUNEZ, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	22.71	0.00	22.71	90.0	20.44
09/12/2017	NUNEZ TRUST, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	52.31	0.00	52.31	90.0	47.08
09/12/2017	EBERHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.51	0.00	8.51	90.0	7.66
09/12/2017	HOLMES, KAYLA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.31	0.00	1.31	90.0	1.18
09/12/2017	HOLMES, JACK PATRICK	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.20	0.00	1.20	90.0	1.08
09/12/2017	QUSSAR, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.76	0.00	5.76	90.0	5.18
09/12/2017	KORESKY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.29	0.00	4.29	90.0	3.86
09/12/2017	PAGANO, MAUREEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.73	0.00	9.73	90.0	8.76
09/12/2017	MAHER, OWEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.32	0.00	2.32	90.0	2.09

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/12/2017	VIAR, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.69	0.00	1.69	90.0	1.52
09/12/2017	JAMES, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.71	0.00	9.71	90.0	8.74
09/12/2017	DARR, CRAIG	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.38	0.00	11.38	90.0	10.24
09/12/2017	QUEDNAU, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	5.03	0.00	5.03	90.0	4.53
09/12/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.89	0.00	0.89	90.0	0.80
09/12/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.83	0.00	1.83	90.0	1.65
09/12/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.10	0.00	2.10	90.0	1.89
09/12/2017	HEILMANN, MAUREEN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	21.07	0.00	21.07	90.0	18.96
09/12/2017	PROKOP, STANLEY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	194.16	0.00	194.16	90.0	174.74
10/02/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	18.75	0.19	0.00	0.19	90.0	0.17
10/02/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	18.75	0.19	0.00	0.19	90.0	0.17
10/02/2017	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
10/02/2017	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
10/02/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	37.50	0.38	0.00	0.38	90.0	0.34
10/02/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
10/02/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	0.75	0.00	0.75	90.0	0.68
10/03/2017	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
09/07/2017	MAHER, SEAMUS	AM FUNDS GROWTH FUND OF AMERICA A	7,479.08	0.00	0.00	0.00	90.0	0.00
09/12/2017	O'DONNELL, SHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.45	0.00	4.45	90.0	4.01
09/12/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.93	0.00	0.93	90.0	0.84
09/12/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.79	0.00	12.79	90.0	11.51
09/12/2017	HOLMES, KAYLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.11	0.00	6.11	90.0	5.50
09/12/2017	HOLMES, JACK PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.06	0.00	5.06	90.0	4.55
09/12/2017	MAHER, KYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.12	0.00	4.12	90.0	3.71
09/12/2017	MAHER, OWEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.95	0.00	1.95	90.0	1.76
09/12/2017	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.16	0.00	11.16	90.0	10.04
09/12/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.68	0.00	16.68	90.0	15.01
09/12/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.35	0.00	11.35	90.0	10.22
09/12/2017	JACK, STANLEY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.56	0.00	5.56	90.0	5.00
09/12/2017	LOUGHLIN, DENNIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	30.30	0.00	30.30	90.0	27.27
09/12/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	91.44	0.00	91.44	90.0	82.30
09/12/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.15	0.00	4.15	90.0	3.74
09/12/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	46.96	0.00	46.96	90.0	42.26

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/12/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.96	0.00	5.96	90.0	5.36
09/12/2017	GILLESPIE, HEATHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.76	0.00	4.76	90.0	4.28
09/12/2017	COLASUONNO, RALPH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.78	0.00	17.78	90.0	16.00
09/12/2017	PIASCIK, SHAWN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	28.99	0.00	28.99	90.0	26.09
09/12/2017	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.43	0.00	14.43	90.0	12.99
09/12/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.31	0.00	9.31	90.0	8.38
09/12/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.12	0.00	16.12	90.0	14.51
09/12/2017	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.10	0.00	11.10	90.0	9.99
09/12/2017	HEIDLOFF, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.09	0.00	4.09	90.0	3.68
09/12/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	6.57	0.00	6.57	90.0	5.91
09/12/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.50	0.00	15.50	90.0	13.95
09/12/2017	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.51	0.00	12.51	90.0	11.26
09/12/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.76	0.00	4.76	90.0	4.28
09/12/2017	NUNEZ TRUST, LYNDA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	103.85	0.00	103.85	90.0	93.47
09/12/2017	EBERHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.66	0.00	12.66	90.0	11.39
09/12/2017	HENKE, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.16	0.00	13.16	90.0	11.84
09/12/2017	QUSSAR, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.34	0.00	9.34	90.0	8.41
09/12/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.04	0.00	11.04	90.0	9.94
09/12/2017	WOLVERINE HUMAN SCV	AM FUNDS GROWTH FUND OF AMERICA C	0.00	33.06	0.00	33.06	90.0	29.75
09/12/2017	DARR, CRAIG	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.50	0.00	13.50	90.0	12.15
09/12/2017	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.45	0.00	4.45	90.0	4.01
09/12/2017	PLASTIRAS, JULIANA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.24	0.00	13.24	90.0	11.92
09/12/2017	SCACCIA, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.44	0.00	10.44	90.0	9.40
09/12/2017	HICKSON, JORDAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.03	0.00	18.03	90.0	16.23
09/12/2017	PALMER, TODD	AM FUNDS GROWTH FUND OF AMERICA C	0.00	26.63	0.00	26.63	90.0	23.97
09/12/2017	BOLUS, ELLA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	35.25	0.00	35.25	90.0	31.73
09/12/2017	HEILMANN, MAUREEN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.46	0.00	16.46	90.0	14.81
09/12/2017	KESSOR, LAURA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.32	0.00	18.32	90.0	16.49
09/12/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.43	0.00	1.43	90.0	1.29
09/12/2017	FRASER, SHARON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.00	0.00	2.00	90.0	1.80
09/12/2017	QUEDNAU, KELLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	24.77	0.00	24.77	90.0	22.29
09/12/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.82	0.00	4.82	90.0	4.34
09/12/2017	CARLINO, AMY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.17	0.00	3.17	90.0	2.85

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/12/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.15	0.00	3.15	90.0	2.84
09/12/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.85	0.00	1.85	90.0	1.67
09/12/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.33	0.00	4.33	90.0	3.90
09/12/2017	PIZZO, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.93	0.00	0.93	90.0	0.84
09/12/2017	PIZZO, FRANCESCO	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.17	0.00	0.17	90.0	0.15
09/12/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.80	0.00	1.80	90.0	1.62
09/12/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.29	0.00	2.29	90.0	2.06
09/12/2017	KNOPF, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.44	0.00	1.44	90.0	1.30
09/12/2017	GUMBLETON, CARRIE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.97	0.00	4.97	90.0	4.47
09/12/2017	KEYES LIV TRUST, MURIEL WATSON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.09	0.00	4.09	90.0	3.68
09/12/2017	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.93	0.00	2.93	90.0	2.64
10/02/2017	JACK, KELLY	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
10/02/2017	QUSSAR, JAMES	AM FUNDS ICA 529C	75.00	0.75	0.00	0.75	90.0	0.68
09/12/2017	LINDSEY, CHARLES	AM FUNDS ICA A	0.00	0.82	0.00	0.82	90.0	0.74
09/12/2017	HOPPE, KYLE	AM FUNDS ICA A	0.00	1.35	0.00	1.35	90.0	1.22
09/12/2017	CARROLL, EILEEN	AM FUNDS ICA A	0.00	10.92	0.00	10.92	90.0	9.83
09/12/2017	STONE, JANE	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.51	0.00	7.51	90.0	6.76
09/12/2017	GILLESPIE, HEATHER	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.00	0.00	9.00	90.0	8.10
09/12/2017	HOPPE, KYLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.47	0.00	1.47	90.0	1.32
09/20/2017	STONE, JANE	AM FUNDS INCOME FUND OF AMERICA A	1,400.00	20.00	0.00	20.00	90.0	18.00
09/12/2017	BELONGIE, GINGER	AM FUNDS INCOME FUND OF AMERICA C	0.00	16.42	0.00	16.42	90.0	14.78
09/12/2017	MARSACK, KAREN	AM FUNDS INCOME FUND OF AMERICA C	0.00	4.59	0.00	4.59	90.0	4.13
09/12/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	39.34	0.00	39.34	90.0	35.41
09/12/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	21.95	0.00	21.95	90.0	19.76
09/12/2017	DAVIS, ALTON	AM FUNDS INCOME FUND OF AMERICA C	0.00	19.46	0.00	19.46	90.0	17.51
09/12/2017	PIASCIK, SHAWN	AM FUNDS INCOME FUND OF AMERICA C	0.00	38.91	0.00	38.91	90.0	35.02
09/12/2017	SIMON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.58	0.00	7.58	90.0	6.82
09/12/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.63	0.00	1.63	90.0	1.47
09/12/2017	NUNEZ TRUST, LYNDIA	AM FUNDS INCOME FUND OF AMERICA C	0.00	57.93	0.00	57.93	90.0	52.14
09/12/2017	EBERHARD, DAVID	AM FUNDS INCOME FUND OF AMERICA C	0.00	8.13	0.00	8.13	90.0	7.32
09/12/2017	MAHER TRUST, MARY	AM FUNDS INCOME FUND OF AMERICA C	0.00	10.33	0.00	10.33	90.0	9.30
09/12/2017	HEILMANN, MAUREEN	AM FUNDS INCOME FUND OF AMERICA C	0.00	14.13	0.00	14.13	90.0	12.72
09/12/2017	PROKOP, STANLEY	AM FUNDS INCOME FUND OF AMERICA C	0.00	161.19	0.00	161.19	90.0	145.07

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/12/2017	QUEDNAU, KELLY	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	6.93	0.00	6.93	90.0	6.24
09/12/2017	BANNICK, ROBERT	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.97	0.00	0.97	90.0	0.87
09/12/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.72	0.00	0.72	90.0	0.65
09/12/2017	KNOPF, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.11	0.00	0.11	90.0	0.10
09/12/2017	GUMBLETON, CARRIE	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	3.52	0.00	3.52	90.0	3.17
10/02/2017	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	25.00	0.25	0.00	0.25	90.0	0.23
09/12/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.26	0.00	0.26	90.0	0.23
09/12/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.29	0.00	1.29	90.0	1.16
09/12/2017	MAHER, HENRY	AM FUNDS NEW ECONOMY C	0.00	30.12	0.00	30.12	90.0	27.11
09/12/2017	SIMON, MICHAEL	AM FUNDS NEW ECONOMY C	0.00	7.48	0.00	7.48	90.0	6.73
09/12/2017	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	0.00	9.32	0.00	9.32	90.0	8.39
09/12/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS NEW WORLD C	0.00	13.06	0.00	13.06	90.0	11.75
09/12/2017	HICKSON, ANDREW	AM FUNDS NEW WORLD C	0.00	5.18	0.00	5.18	90.0	4.66
09/07/2017	MAHER, SEAMUS	AM FUNDS SMALLCAP WORLD C	2,811.27	0.00	0.00	0.00	90.0	0.00
09/12/2017	MAHER, HENRY	AM FUNDS SMALLCAP WORLD C	0.00	16.61	0.00	16.61	90.0	14.95
09/12/2017	HICKSON, ANDREW	AM FUNDS SMALLCAP WORLD C	0.00	3.42	0.00	3.42	90.0	3.08
09/12/2017	MAHER, SEAMUS	AM FUNDS SMALLCAP WORLD C	0.00	2.34	0.00	2.34	90.0	2.11
09/12/2017	HOLMES, KAYLA	AM FUNDS SMALLCAP WORLD C	0.00	1.55	0.00	1.55	90.0	1.40
09/12/2017	HOLMES, JACK PATRICK	AM FUNDS SMALLCAP WORLD C	0.00	1.49	0.00	1.49	90.0	1.34
09/12/2017	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	36.71	0.00	36.71	90.0	33.04
09/12/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.48	0.00	2.48	90.0	2.23
09/12/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.13	0.00	1.13	90.0	1.02
10/02/2017	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	0.25	0.00	0.25	90.0	0.23
09/12/2017	BANNICK, ROBERT	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.90	0.00	0.90	90.0	0.81
09/12/2017	PIZZO, SALVATORE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.82	0.00	0.82	90.0	0.74
09/12/2017	VIAR, DAVID	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.55	0.00	2.55	90.0	2.30
10/02/2017	QUSSAR, JAMES	AMERICAN FUNDS GRWTH & INC PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
10/02/2017	QUSSAR, JAMES	AMERICAN FUNDS INCOME PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
09/07/2017	MAHER, SEAMUS	BLK BASIC VALUE	5,045.71	0.00	0.00	0.00	90.0	0.00
09/12/2017	MAHER, SEAMUS	BLK BASIC VALUE	0.00	1.08	0.00	1.08	90.0	0.97
09/12/2017	HOLMES, KAYLA	BLK BASIC VALUE	0.00	0.92	0.00	0.92	90.0	0.83
09/12/2017	MAHER, KYLE	BLK BASIC VALUE	0.00	1.64	0.00	1.64	90.0	1.48
09/12/2017	MAHER, HENRY	BLK GLOBAL ALLOCATION A	0.00	12.11	0.00	12.11	90.0	10.90

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
09/07/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	19.57	0.00	19.57	90.0	17.61
09/07/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	14.63	0.00	14.63	90.0	13.17
09/07/2017	MAHER, HENRY	CATALYST MAP GLOBAL CAP APP	0.00	40.00	0.00	40.00	90.0	36.00
09/07/2017	WOLVERINE HUMAN SCV	CATALYST MAP GLOBAL CAP APP	0.00	35.25	0.00	35.25	90.0	31.73
09/18/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	17,526.06	20.00	23.00	-3.00	90.0	-2.70
09/12/2017	MAHER, HENRY	COLUMBIA FDS SER TR II MASS SELECT	0.00	41.15	0.00	41.15	90.0	37.04
09/12/2017	LUJAN-LABADIE, JENNIFER	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.32	0.00	7.32	90.0	6.59
09/12/2017	KARAS, MICHAEL	COLUMBIA FDS SER TR II MASS SELECT	0.00	4.86	0.00	4.86	90.0	4.37
09/12/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.61	0.00	7.61	90.0	6.85
09/12/2017	JAMES, THOMAS	COLUMBIA FDS SER TR II MASS SELECT	0.00	10.14	0.00	10.14	90.0	9.13
09/12/2017	NUNEZ TRUST, LYNDIA	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	21.83	0.00	21.83	90.0	19.65
09/12/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	6.86	0.00	6.86	90.0	6.17
09/12/2017	JAMES, THOMAS	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	9.21	0.00	9.21	90.0	8.29
09/12/2017	DARR, CRAIG	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	10.38	0.00	10.38	90.0	9.34
09/12/2017	NUNEZ TRUST, LYNDIA	COLUMBIA SER TR II MASS	0.00	23.43	0.00	23.43	90.0	21.09
09/19/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	15.00	0.15	0.00	0.15	90.0	0.14
09/12/2017	MAHER, HENRY	DAVIS FINANCIAL A	0.00	7.83	0.00	7.83	90.0	7.05
09/08/2017	MAHER, HENRY	DELAWARE CORPORATE BOND A	0.00	11.48	0.00	11.48	90.0	10.33
09/06/2017	HARRIS, JANE	DWS DEUTSCHE GLOBAL HIGH INC FUND C	25,000.00	270.00	0.00	270.00	90.0	243.00
09/14/2017	WHEELER, WILLARD	DWS DEUTSCHE GLOBAL HIGH INC FUND C	20,000.00	220.00	0.00	220.00	90.0	198.00
09/12/2017	MAHER, HENRY	FEDERATED WORLD INVT SER INC INTL B	0.00	6.23	0.00	6.23	90.0	5.61
09/12/2017	MAHER, HENRY	FIRST EAGLE GLOBAL C	0.00	86.36	0.00	86.36	90.0	77.72
09/12/2017	WOLVERINE HUMAN SCV	FRANKLIN BALANCED C	0.00	0.15	0.00	0.15	90.0	0.14
09/12/2017	BUSSELL, JEFFREY	FRANKLIN GOLD & PRECIOUS METALS C	0.00	1.60	0.00	1.60	90.0	1.44
09/12/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN GOLD & PRECIOUS METALS C	0.00	3.56	0.00	3.56	90.0	3.20
09/12/2017	QUSSAR, JAMES	FRANKLIN GOLD & PRECIOUS METALS C	0.00	2.22	0.00	2.22	90.0	2.00
09/12/2017	MAHER, HENRY	FRANKLIN HIGH INCOME C	0.00	17.06	0.00	17.06	90.0	15.35
09/12/2017	HOLMES, KAYLA	FRANKLIN HIGH INCOME C	0.00	0.68	0.00	0.68	90.0	0.61
09/12/2017	HOLMES, JACK PATRICK	FRANKLIN HIGH INCOME C	0.00	0.85	0.00	0.85	90.0	0.77
09/12/2017	KOTT, DIANE	FRANKLIN INCOME C	0.00	7.14	0.00	7.14	90.0	6.43
09/12/2017	PALMERI, BEVERLY	FRANKLIN INCOME C	0.00	7.61	0.00	7.61	90.0	6.85
09/12/2017	JACK, STANLEY	FRANKLIN INCOME C	0.00	6.48	0.00	6.48	90.0	5.83
09/12/2017	MARSHALL, RONALD	FRANKLIN INCOME C	0.00	12.79	0.00	12.79	90.0	11.51

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/12/2017	TAYLOR, JAMIE	FRANKLIN INCOME C	0.00	14.97	0.00	14.97	90.0	13.47
09/12/2017	LOUGHLIN, DENNIS	FRANKLIN INCOME C	0.00	6.95	0.00	6.95	90.0	6.26
09/12/2017	BELONGIE, GINGER	FRANKLIN INCOME C	0.00	6.03	0.00	6.03	90.0	5.43
09/12/2017	STONE, EDWARD	FRANKLIN INCOME C	0.00	7.48	0.00	7.48	90.0	6.73
09/12/2017	MARSACK, KAREN	FRANKLIN INCOME C	0.00	1.83	0.00	1.83	90.0	1.65
09/12/2017	NORRIS, CHRISTOPHER	FRANKLIN INCOME C	0.00	15.67	0.00	15.67	90.0	14.10
09/12/2017	O'CONNOR, HOLLY	FRANKLIN INCOME C	0.00	3.32	0.00	3.32	90.0	2.99
09/12/2017	O'DONNELL, JUDITH	FRANKLIN INCOME C	0.00	5.73	0.00	5.73	90.0	5.16
09/12/2017	PALMERI, PAUL	FRANKLIN INCOME C	0.00	10.73	0.00	10.73	90.0	9.66
09/12/2017	MAHER, HENRY	FRANKLIN INCOME C	0.00	13.98	0.00	13.98	90.0	12.58
09/12/2017	GILLESPIE, HEATHER	FRANKLIN INCOME C	0.00	5.67	0.00	5.67	90.0	5.10
09/12/2017	DAVIS, ALTON	FRANKLIN INCOME C	0.00	6.32	0.00	6.32	90.0	5.69
09/12/2017	COLASUONNO, RALPH	FRANKLIN INCOME C	0.00	9.63	0.00	9.63	90.0	8.67
09/12/2017	PIASCIK, SHAWN	FRANKLIN INCOME C	0.00	13.97	0.00	13.97	90.0	12.57
09/12/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	7.95	0.00	7.95	90.0	7.16
09/12/2017	LUJAN, DEBORAH	FRANKLIN INCOME C	0.00	6.83	0.00	6.83	90.0	6.15
09/12/2017	MARKHAM, JASON	FRANKLIN INCOME C	0.00	4.51	0.00	4.51	90.0	4.06
09/12/2017	BANNICK, ROBERT	FRANKLIN INCOME C	0.00	2.19	0.00	2.19	90.0	1.97
09/12/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN INCOME C	0.00	1.00	0.00	1.00	90.0	0.90
09/12/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN INCOME C	0.00	12.38	0.00	12.38	90.0	11.14
09/12/2017	PIZZO, REBECCA	FRANKLIN INCOME C	0.00	2.98	0.00	2.98	90.0	2.68
09/12/2017	NUNEZ TRUST, LYNDA	FRANKLIN INCOME C	0.00	74.28	0.00	74.28	90.0	66.85
09/12/2017	EBERHARD, DAVID	FRANKLIN INCOME C	0.00	4.85	0.00	4.85	90.0	4.37
09/12/2017	FAIRLEY, JAMES	FRANKLIN INCOME C	0.00	9.26	0.00	9.26	90.0	8.33
09/12/2017	GUMBLETON, CARRIE	FRANKLIN INCOME C	0.00	9.01	0.00	9.01	90.0	8.11
09/12/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN INCOME C	0.00	8.77	0.00	8.77	90.0	7.89
09/12/2017	SCACCIA, KELLY	FRANKLIN INCOME C	0.00	3.06	0.00	3.06	90.0	2.75
09/12/2017	QUSSAR, JAMES	FRANKLIN INCOME C	0.00	5.06	0.00	5.06	90.0	4.55
09/12/2017	PAGANO, MAUREEN	FRANKLIN INCOME C	0.00	4.62	0.00	4.62	90.0	4.16
09/12/2017	WOLVERINE HUMAN SCV	FRANKLIN INCOME C	0.00	5.25	0.00	5.25	90.0	4.73
09/12/2017	JAMES, THOMAS	FRANKLIN INCOME C	0.00	6.18	0.00	6.18	90.0	5.56
09/12/2017	PEVOS, DEBRA	FRANKLIN INCOME C	0.00	7.28	0.00	7.28	90.0	6.55
09/12/2017	DILAY, DENISE	FRANKLIN INCOME C	0.00	7.65	0.00	7.65	90.0	6.89

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/12/2017	DARR, CRAIG	FRANKLIN INCOME C	0.00	6.96	0.00	6.96	90.0	6.26
09/12/2017	HOPPE, KYLE	FRANKLIN INCOME C	0.00	8.09	0.00	8.09	90.0	7.28
09/12/2017	BJERK JR, JOHN	FRANKLIN INCOME C	0.00	6.12	0.00	6.12	90.0	5.51
09/12/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	10.69	0.00	10.69	90.0	9.62
09/12/2017	HICKSON, JORDAN	FRANKLIN INCOME C	0.00	9.84	0.00	9.84	90.0	8.86
09/12/2017	PALMER, TODD	FRANKLIN INCOME C	0.00	16.24	0.00	16.24	90.0	14.62
09/12/2017	WHEELER, WILLARD	FRANKLIN INCOME C	0.00	20.92	0.00	20.92	90.0	18.83
09/12/2017	ALLEN, MARY	FRANKLIN INCOME C	0.00	6.51	0.00	6.51	90.0	5.86
09/12/2017	PROKOP, STANLEY	FRANKLIN INCOME C	0.00	101.16	0.00	101.16	90.0	91.04
09/12/2017	PEVOS, DEBRA	FRANKLIN INCOME C	13,337.03	20.00	0.00	20.00	90.0	18.00
09/12/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN INVS SECS TR REAL RETURN F	0.00	2.66	0.00	2.66	90.0	2.39
09/12/2017	NORRIS, CHRISTOPHER	FRANKLIN MI TAX FR INCOME C	0.00	33.33	0.00	33.33	90.0	30.00
09/06/2017	DE FIORE, LAWRENCE	FRANKLIN MUTUAL BEACON C	25,000.00	270.00	0.00	270.00	90.0	243.00
09/12/2017	NORRIS, CHRISTOPHER	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	29.64	0.00	29.64	90.0	26.68
09/12/2017	EBERHARD, DAVID	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	10.67	0.00	10.67	90.0	9.60
09/12/2017	PALMERI, DANIEL	FRANKLIN NATURAL RESOURCES C	0.00	1.10	0.00	1.10	90.0	0.99
09/12/2017	COLASUONNO, RALPH	FRANKLIN NATURAL RESOURCES C	0.00	4.99	0.00	4.99	90.0	4.49
09/12/2017	MARKHAM, JASON	FRANKLIN NATURAL RESOURCES C	0.00	3.76	0.00	3.76	90.0	3.38
09/12/2017	GUMBLETON, CARRIE	FRANKLIN NATURAL RESOURCES C	0.00	5.03	0.00	5.03	90.0	4.53
09/07/2017	MAHER, SEAMUS	FRANKLIN RISING DIVIDENDS A	3,399.69	0.00	0.00	0.00	90.0	0.00
09/12/2017	MARINI, ZAREFA	FRANKLIN RISING DIVIDENDS A	0.00	0.27	0.00	0.27	90.0	0.24
09/12/2017	MAHER, KEIRA	FRANKLIN RISING DIVIDENDS A	0.00	1.40	0.00	1.40	90.0	1.26
09/12/2017	MAHER, MAIGHDLIN	FRANKLIN RISING DIVIDENDS A	0.00	2.33	0.00	2.33	90.0	2.10
09/12/2017	MAHER, SEAMUS	FRANKLIN RISING DIVIDENDS A	0.00	0.72	0.00	0.72	90.0	0.65
09/12/2017	HEILMANN, MAUREEN	FRANKLIN RISING DIVIDENDS A	0.00	1.34	0.00	1.34	90.0	1.21
09/06/2017	HARRIS, JANE	FRANKLIN RISING DIVIDENDS C	25,000.00	270.00	0.00	270.00	90.0	243.00
09/12/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	23.42	0.00	23.42	90.0	21.08
09/12/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	10.48	0.00	10.48	90.0	9.43
09/12/2017	PALMERI, SAMUEL	FRANKLIN RISING DIVIDENDS C	0.00	15.19	0.00	15.19	90.0	13.67
09/12/2017	PALMERI, BEVERLY	FRANKLIN RISING DIVIDENDS C	0.00	16.60	0.00	16.60	90.0	14.94
09/12/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	24.86	0.00	24.86	90.0	22.37
09/12/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	1.00	0.00	1.00	90.0	0.90
09/12/2017	LOUGHLIN, DENNIS	FRANKLIN RISING DIVIDENDS C	0.00	21.84	0.00	21.84	90.0	19.66

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/12/2017	STONE, JANE	FRANKLIN RISING DIVIDENDS C	0.00	6.28	0.00	6.28	90.0	5.65
09/12/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	12.43	0.00	12.43	90.0	11.19
09/12/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	6.05	0.00	6.05	90.0	5.45
09/12/2017	MARSACK, KAREN	FRANKLIN RISING DIVIDENDS C	0.00	28.32	0.00	28.32	90.0	25.49
09/12/2017	O'CONNOR, HOLLY	FRANKLIN RISING DIVIDENDS C	0.00	7.08	0.00	7.08	90.0	6.37
09/12/2017	O'DONNELL, JUDITH	FRANKLIN RISING DIVIDENDS C	0.00	7.73	0.00	7.73	90.0	6.96
09/12/2017	PALMERI, DANIEL	FRANKLIN RISING DIVIDENDS C	0.00	1.47	0.00	1.47	90.0	1.32
09/12/2017	BUSSELL, JEFFREY	FRANKLIN RISING DIVIDENDS C	0.00	2.28	0.00	2.28	90.0	2.05
09/12/2017	PALMERI, PAUL	FRANKLIN RISING DIVIDENDS C	0.00	9.83	0.00	9.83	90.0	8.85
09/12/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	8.20	0.00	8.20	90.0	7.38
09/12/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	57.50	0.00	57.50	90.0	51.75
09/12/2017	JACK, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	0.17	0.00	0.17	90.0	0.15
09/12/2017	FRASER, SHARON	FRANKLIN RISING DIVIDENDS C	0.00	24.06	0.00	24.06	90.0	21.65
09/12/2017	DAVIS, ALTON	FRANKLIN RISING DIVIDENDS C	0.00	20.38	0.00	20.38	90.0	18.34
09/12/2017	COLASUONNO, RALPH	FRANKLIN RISING DIVIDENDS C	0.00	24.01	0.00	24.01	90.0	21.61
09/12/2017	MAES, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	14.27	0.00	14.27	90.0	12.84
09/12/2017	LUJAN, DEBORAH	FRANKLIN RISING DIVIDENDS C	0.00	22.44	0.00	22.44	90.0	20.20
09/12/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	6.14	0.00	6.14	90.0	5.53
09/12/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	27.90	0.00	27.90	90.0	25.11
09/12/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	9.02	0.00	9.02	90.0	8.12
09/12/2017	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	9.08	0.00	9.08	90.0	8.17
09/12/2017	HEIDLOFF, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	4.30	0.00	4.30	90.0	3.87
09/12/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	16.66	0.00	16.66	90.0	14.99
09/12/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	25.30	0.00	25.30	90.0	22.77
09/12/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	19.22	0.00	19.22	90.0	17.30
09/12/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	9.36	0.00	9.36	90.0	8.42
09/12/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	15.11	0.00	15.11	90.0	13.60
09/12/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	56.05	0.00	56.05	90.0	50.45
09/12/2017	PIZZO, PIETRO	FRANKLIN RISING DIVIDENDS C	0.00	2.69	0.00	2.69	90.0	2.42
09/12/2017	PIZZO, REBECCA	FRANKLIN RISING DIVIDENDS C	0.00	7.27	0.00	7.27	90.0	6.54
09/12/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	4.99	0.00	4.99	90.0	4.49
09/12/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	2.38	0.00	2.38	90.0	2.14
09/12/2017	FOSTER SP TRUST, PATRICIA	FRANKLIN RISING DIVIDENDS C	0.00	17.82	0.00	17.82	90.0	16.04

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/12/2017	KNOPF, KATHRYN	FRANKLIN RISING DIVIDENDS C	0.00	4.68	0.00	4.68	90.0	4.21
09/12/2017	NUNEZ TRUST, LYNDIA	FRANKLIN RISING DIVIDENDS C	0.00	40.64	0.00	40.64	90.0	36.58
09/12/2017	EBERHARD, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	6.60	0.00	6.60	90.0	5.94
09/12/2017	BEDARD, MARY	FRANKLIN RISING DIVIDENDS C	0.00	10.42	0.00	10.42	90.0	9.38
09/12/2017	SCACCIA, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	12.76	0.00	12.76	90.0	11.48
09/12/2017	HENKE, FRANK	FRANKLIN RISING DIVIDENDS C	0.00	13.78	0.00	13.78	90.0	12.40
09/12/2017	HOLMES, JACK PATRICK	FRANKLIN RISING DIVIDENDS C	0.00	1.80	0.00	1.80	90.0	1.62
09/12/2017	QUSSAR, JAMES	FRANKLIN RISING DIVIDENDS C	0.00	4.18	0.00	4.18	90.0	3.76
09/12/2017	STOKES, RICHARD	FRANKLIN RISING DIVIDENDS C	0.00	4.22	0.00	4.22	90.0	3.80
09/12/2017	MAHER TRUST, MARY	FRANKLIN RISING DIVIDENDS C	0.00	28.34	0.00	28.34	90.0	25.51
09/12/2017	PAGANO, MAUREEN	FRANKLIN RISING DIVIDENDS C	0.00	8.15	0.00	8.15	90.0	7.34
09/12/2017	MAHER, OWEN	FRANKLIN RISING DIVIDENDS C	0.00	5.28	0.00	5.28	90.0	4.75
09/12/2017	WOLVERINE HUMAN SCV	FRANKLIN RISING DIVIDENDS C	0.00	145.77	0.00	145.77	90.0	131.19
09/12/2017	JAMES, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	10.93	0.00	10.93	90.0	9.84
09/12/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	6.89	0.00	6.89	90.0	6.20
09/12/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	13.01	0.00	13.01	90.0	11.71
09/12/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	11.48	0.00	11.48	90.0	10.33
09/12/2017	MCCRACKEN, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	13.06	0.00	13.06	90.0	11.75
09/12/2017	DILAY, DENISE	FRANKLIN RISING DIVIDENDS C	0.00	13.52	0.00	13.52	90.0	12.17
09/12/2017	LINDSEY, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	9.16	0.00	9.16	90.0	8.24
09/12/2017	CORNWELL, VICTORIA	FRANKLIN RISING DIVIDENDS C	0.00	10.67	0.00	10.67	90.0	9.60
09/12/2017	DARR, CRAIG	FRANKLIN RISING DIVIDENDS C	0.00	14.92	0.00	14.92	90.0	13.43
09/12/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	11.08	0.00	11.08	90.0	9.97
09/12/2017	BUREK, CANDACE	FRANKLIN RISING DIVIDENDS C	0.00	6.70	0.00	6.70	90.0	6.03
09/12/2017	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	2.94	0.00	2.94	90.0	2.65
09/12/2017	HOPPE, KYLE	FRANKLIN RISING DIVIDENDS C	0.00	14.29	0.00	14.29	90.0	12.86
09/12/2017	BJERK JR, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	9.98	0.00	9.98	90.0	8.98
09/12/2017	PLASTIRAS, JULIANA	FRANKLIN RISING DIVIDENDS C	0.00	12.48	0.00	12.48	90.0	11.23
09/12/2017	MARKHAM, ELIZABETH	FRANKLIN RISING DIVIDENDS C	0.00	5.53	0.00	5.53	90.0	4.98
09/12/2017	BALDWIN, JASON	FRANKLIN RISING DIVIDENDS C	0.00	7.83	0.00	7.83	90.0	7.05
09/12/2017	HICKSON, MARK	FRANKLIN RISING DIVIDENDS C	0.00	32.01	0.00	32.01	90.0	28.81
09/12/2017	BUREK, ROSANNE	FRANKLIN RISING DIVIDENDS C	0.00	20.71	0.00	20.71	90.0	18.64
09/12/2017	PALMER, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	10.32	0.00	10.32	90.0	9.29

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/12/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	26.62	0.00	26.62	90.0	23.96
09/12/2017	RUSSO, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	13.70	0.00	13.70	90.0	12.33
09/12/2017	BOLUS, ELLA	FRANKLIN RISING DIVIDENDS C	0.00	34.83	0.00	34.83	90.0	31.35
09/12/2017	RUSSO, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	13.48	0.00	13.48	90.0	12.13
09/12/2017	ALLEN, MARY	FRANKLIN RISING DIVIDENDS C	0.00	11.15	0.00	11.15	90.0	10.04
09/12/2017	KESSOR, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	17.28	0.00	17.28	90.0	15.55
09/12/2017	POUPORE, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	9.74	0.00	9.74	90.0	8.77
09/12/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	0.03	0.00	0.03	90.0	0.03
09/12/2017	SCHOOLEY, MEGHAN	FRANKLIN RISING DIVIDENDS C	0.00	0.02	0.00	0.02	90.0	0.02
09/12/2017	ZETTEL, ANTHONY	FRANKLIN RISING DIVIDENDS C	0.00	0.03	0.00	0.03	90.0	0.03
09/12/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	15,649.78	20.00	0.00	20.00	90.0	18.00
09/18/2017	MARSACK, KAREN	FRANKLIN RISING DIVIDENDS C	34,378.12	20.00	0.00	20.00	90.0	18.00
09/12/2017	PAGANO, MAUREEN	FRANKLIN SMALL CAP VALUE C	0.00	4.89	0.00	4.89	90.0	4.40
09/12/2017	PROKOP, STANLEY	FRANKLIN STRATEGIC INCOME C	0.00	79.87	0.00	79.87	90.0	71.88
09/12/2017	MAHER, HENRY	FRANKLIN UTILITIES C	0.00	22.88	0.00	22.88	90.0	20.59
09/12/2017	DAVIS, ALTON	FRANKLIN UTILITIES C	0.00	13.19	0.00	13.19	90.0	11.87
09/12/2017	PAGANO, MAUREEN	FRANKLIN UTILITIES C	0.00	3.95	0.00	3.95	90.0	3.56
09/12/2017	O'CONNOR, AARON	FRANKLIN UTILITIES C	0.00	4.06	0.00	4.06	90.0	3.65
09/12/2017	ZANONI, CARRIE	FT FOUNDING FDS ALLOC C	0.00	5.53	0.00	5.53	90.0	4.98
09/12/2017	GLINES, DAVID	FT FOUNDING FDS ALLOC C	0.00	2.97	0.00	2.97	90.0	2.67
09/12/2017	GLINES, WILBERT	FT FOUNDING FDS ALLOC C	0.00	8.35	0.00	8.35	90.0	7.52
09/07/2017	MAHER, SEAMUS	IVY ASSET STRATEGY FUND CLASS A	1,406.94	0.00	0.00	0.00	90.0	0.00
09/12/2017	MAHER, SEAMUS	IVY ASSET STRATEGY FUND CLASS A	0.00	0.29	0.00	0.29	90.0	0.26
09/12/2017	HOLMES, KAYLA	IVY ASSET STRATEGY FUND CLASS A	0.00	0.19	0.00	0.19	90.0	0.17
09/12/2017	HOLMES, JACK PATRICK	IVY ASSET STRATEGY FUND CLASS A	0.00	0.33	0.00	0.33	90.0	0.30
09/12/2017	MAHER, HENRY	IVY FDS INC ASSET STRATEGY FD CL B	0.00	6.64	0.00	6.64	90.0	5.98
09/12/2017	MAHER, MAIGHDLIN	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.28	0.00	0.28	90.0	0.25
09/12/2017	HOLMES, KAYLA	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.09	0.00	0.09	90.0	0.08
09/07/2017	MAHER, SEAMUS	LORD ABBETT AFFILIATED A	5,003.59	0.00	0.00	0.00	90.0	0.00
09/12/2017	MAHER, SEAMUS	LORD ABBETT AFFILIATED A	0.00	1.07	0.00	1.07	90.0	0.96
09/12/2017	MAHER, OWEN	LORD ABBETT AFFILIATED A	0.00	1.38	0.00	1.38	90.0	1.24
09/12/2017	KORESKY, JOHN	LORD ABBETT EQUITY TR CALIBRTD LARG	0.00	28.84	0.00	28.84	90.0	25.96
09/12/2017	HOLMES, KAYLA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	1.45	0.00	1.45	90.0	1.31

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
09/12/2017	NORRIS, CHRISTOPHER	LORD ABBETT INCOME FUND CL C	0.00	22.51	0.00	22.51	90.0	20.26
09/12/2017	PEVOS, DEBRA	LORD ABBETT INCOME FUND CL C	0.00	7.58	0.00	7.58	90.0	6.82
09/12/2017	PEVOS, DEBRA	LORD ABBETT INCOME FUND CL C	12,281.43	20.00	23.00	-3.00	90.0	-2.70
09/12/2017	BUSSELL, JEFFREY	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	6.35	0.00	6.35	90.0	5.72
09/12/2017	MARIN REV TRUST, NICHOLAS	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	22.27	0.00	22.27	90.0	20.04
09/12/2017	MAHER, HENRY	MAINSTAY MAP A	0.00	14.14	0.00	14.14	90.0	12.73
09/12/2017	HOLMES, JACK PATRICK	MFS RESEARCH INTL A	0.00	0.22	0.00	0.22	90.0	0.20
09/12/2017	HOLMES, JACK PATRICK	MFS SER TR I RESEARCH INTL FD CL B	0.00	0.33	0.00	0.33	90.0	0.30
09/12/2017	HOLMES, JACK PATRICK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.39	0.00	0.39	90.0	0.35
/ /	MOTOR CITY COMPUTER	OPPENHEIMER DEV MARKETS (N)	0.00	63.32	0.00	63.32	90.0	56.99
09/12/2017	BUREK, ROSANNE	OPPENHEIMER ROCHESTER NATIONAL MUNI	0.00	2.76	0.00	2.76	90.0	2.48
09/19/2017	MOTOR CITY COMPUTER	PIONEER BOND FUND A	92.50	0.93	0.00	0.93	90.0	0.84
10/03/2017	MOTOR CITY COMPUTER	PIONEER BOND FUND A	0.00	20.36	0.00	20.36	90.0	18.32
09/12/2017	MAHER, HENRY	PIONEER MID CAP VALUE A	0.00	7.91	0.00	7.91	90.0	7.12
09/12/2017	GILLESPIE, HEATHER	ROYCE PENNSYLVANIA MUTUAL	0.00	16.48	0.00	16.48	90.0	14.83
09/12/2017	MARINI, ZAREFA	TEMPLETON DEVELOPING MKTS A	0.00	0.08	0.00	0.08	90.0	0.07
09/12/2017	PIASCIK, SHAWN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	29.08	0.00	29.08	90.0	26.17
09/12/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	24.33	0.00	24.33	90.0	21.90
09/12/2017	PALMERI, PAUL	TEMPLETON GLOBAL BOND A	0.00	1.94	0.00	1.94	90.0	1.75
09/12/2017	BANNICK, ROBERT	TEMPLETON GLOBAL BOND C	0.00	6.04	0.00	6.04	90.0	5.44
09/12/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GLOBAL BOND C	0.00	1.47	0.00	1.47	90.0	1.32
09/12/2017	MARIN REV TRUST, NICHOLAS	TEMPLETON GLOBAL BOND C	0.00	11.78	0.00	11.78	90.0	10.60
09/12/2017	NUNEZ TRUST, LYNDA	TEMPLETON GLOBAL BOND C	0.00	6.23	0.00	6.23	90.0	5.61
09/12/2017	EBERHARD, DAVID	TEMPLETON GLOBAL BOND C	0.00	7.07	0.00	7.07	90.0	6.36
09/12/2017	GUMBLETON, CARRIE	TEMPLETON GLOBAL BOND C	0.00	5.67	0.00	5.67	90.0	5.10
09/12/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON GLOBAL BOND C	0.00	15.87	0.00	15.87	90.0	14.28
09/12/2017	QUSSAR, KELLY	TEMPLETON GLOBAL BOND C	0.00	3.18	0.00	3.18	90.0	2.86
09/12/2017	ZANONI, CARRIE	TEMPLETON GROWTH C	0.00	5.02	0.00	5.02	90.0	4.52
09/12/2017	MARKHAM, JASON	TEMPLETON GROWTH C	0.00	9.85	0.00	9.85	90.0	8.87
09/12/2017	BANNICK, ROBERT	TEMPLETON GROWTH C	0.00	1.76	0.00	1.76	90.0	1.58
09/12/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GROWTH C	0.00	2.35	0.00	2.35	90.0	2.12
09/12/2017	PIZZO, SALVATORE	TEMPLETON GROWTH C	0.00	5.21	0.00	5.21	90.0	4.69
09/12/2017	GUMBLETON, CARRIE	TEMPLETON GROWTH C	0.00	11.95	0.00	11.95	90.0	10.76

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/12/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	13.27	0.00	13.27	90.0	11.94
09/12/2017	SIMON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	8.71	0.00	8.71	90.0	7.84
09/12/2017	JACK, STANLEY	TRANSAMERICA AA MOD GROWTH C	0.00	5.88	0.00	5.88	90.0	5.29
09/12/2017	LOUGHLIN, DENNIS	TRANSAMERICA AA MOD GROWTH C	0.00	13.24	0.00	13.24	90.0	11.92
09/12/2017	PIASCIK, SHAWN	TRANSAMERICA AA MOD GROWTH C	0.00	37.61	0.00	37.61	90.0	33.85
09/12/2017	GUMBLETON, CARRIE	TRANSAMERICA AA MOD GROWTH C	0.00	16.54	0.00	16.54	90.0	14.89
09/12/2017	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	0.00	11.40	0.00	11.40	90.0	10.26
09/12/2017	MARSACK, KAREN	TRANSAMERICA AA MODERATE C	0.00	8.86	0.00	8.86	90.0	7.97
09/12/2017	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	0.00	8.20	0.00	8.20	90.0	7.38
09/12/2017	BANNICK, ROBERT	TRANSAMERICA AA MODERATE C	0.00	7.84	0.00	7.84	90.0	7.06
09/12/2017	PIZZO, PIETRO	TRANSAMERICA AA MODERATE C	0.00	8.20	0.00	8.20	90.0	7.38
09/12/2017	PIZZO, MICHELE	TRANSAMERICA AA MODERATE C	0.00	0.12	0.00	0.12	90.0	0.11
09/12/2017	PIZZO, FRANCESCO	TRANSAMERICA AA MODERATE C	0.00	8.42	0.00	8.42	90.0	7.58
09/12/2017	ROGERS, NICOLE	VOYA CORP LEADERS 100 A	0.00	2.50	0.00	2.50	90.0	2.25
09/12/2017	MAHER, HENRY	VOYA INTL VALUE EQUITY A	0.00	10.28	0.00	10.28	90.0	9.25
* MUTUAL FUNDS SUBTOTAL *			\$248,052.40	\$6,910.47	\$46.00	\$6,864.47		\$6,178.26
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
09/13/2017	DAVIS, ALTON	LENDINGCLUB CORPORATION	6,275.00	40.00	22.00	18.00	90.0	16.20
* STOCKS SUBTOTAL *			\$6,275.00	\$40.00	\$22.00	\$18.00		\$16.20
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/03/2017	ATTENDANT CARE, 401K	GWL GRP ANNUITY 401K	200,588.78	123.65	0.00	123.65	90.0	111.29
10/03/2017	RACHWAL, BARTON	GWL GRP ANNUITY 401K	374,272.26	153.81	0.00	153.81	90.0	138.43
10/03/2017	GURSTEN, KOLTONOW	GWL NET ASSET VALUE 401K	7,500,174.16	1,541.13	0.00	1,541.13	90.0	1,387.02
10/03/2017	CHRISTENSEN PLLC, DAVID	GWL NET ASSET VALUE 401K	414,040.08	170.15	0.00	170.15	90.0	153.14
10/03/2017	RACHWAL PC, BARTEN	GWL NET ASSET VALUE 401K	53,184.13	10.93	0.00	10.93	90.0	9.84
09/30/2017	CARROLL, EILEEN	JNL ELITE ACCESS W/ LIQUIDITY	34,484.29	28.74	0.00	28.74	90.0	25.87
09/30/2017	NUNEZ, LYNDIA	JNL ELITE ACCESS W/ LIQUIDITY	117,532.11	97.94	0.00	97.94	90.0	88.15
09/30/2017	CARROLL, JEROME	JNL PERSPECTIVE II 04/14	65,492.14	13.64	0.00	13.64	90.0	12.28
09/30/2017	RACHWAL, BARTON	JNL PERSPECTIVE II 05/05	176,015.22	36.67	0.00	36.67	90.0	33.00
09/30/2017	MAHER, HENRY	JNL PERSPECTIVE II 05/05	104,719.30	43.63	0.00	43.63	90.0	39.27
09/30/2017	HICKSON, ANDREW	JNL PERSPECTIVE II 05/05	47,386.70	19.74	0.00	19.74	90.0	17.77
09/30/2017	VEIT, BEVERLY	JNL PERSPECTIVE L	19,120.13	15.93	0.00	15.93	90.0	14.34

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/30/2017	PALMERI, BEVERLY	JNL PERSPECTIVE L	101,068.40	84.22	0.00	84.22	90.0	75.80
09/30/2017	FRASER, SHARON	JNL PERSPECTIVE L	42,481.07	35.40	0.00	35.40	90.0	31.86
09/30/2017	TAYLOR, JAMIE	JNL PERSPECTIVE L	44,753.68	37.29	0.00	37.29	90.0	33.56
09/30/2017	FLOWERS, EDWARD	JNL PERSPECTIVE L	147,726.12	123.11	0.00	123.11	90.0	110.80
09/30/2017	STOKES, RICHARD	JNL PERSPECTIVE L	80,873.29	67.39	0.00	67.39	90.0	60.65
09/30/2017	STONE, EDWARD	JNL PERSPECTIVE L	89,849.93	74.87	0.00	74.87	90.0	67.38
09/30/2017	PALMERI, SAMUEL	JNL PERSPECTIVE L	105,379.38	87.82	0.00	87.82	90.0	79.04
09/30/2017	STEINMETZ JR, JAMES	JNL PERSPECTIVE L	110,316.55	91.93	0.00	91.93	90.0	82.74
09/30/2017	VITALE, PETER	JNL PERSPECTIVE L	138,836.04	115.70	0.00	115.70	90.0	104.13
09/30/2017	BROEREN, ANTHONY	JNL PERSPECTIVE L	187,906.82	156.59	0.00	156.59	90.0	140.93
09/30/2017	CORNWELL, VICTORIA	JNL PERSPECTIVE L	204,706.75	170.59	0.00	170.59	90.0	153.53
09/30/2017	KRETZ, JAMES	JNL PERSPECTIVE L	111,084.89	92.57	0.00	92.57	90.0	83.31
09/30/2017	HARRIS, JANE	PRU 74429E487	279,452.19	803.43	0.00	803.43	90.0	723.09
09/30/2017	YURIK, DEBRA	PRU AS ADVISOR PLAN III	37,309.25	23.32	0.00	23.32	90.0	20.99
09/30/2017	YURIK, DEBRA	PRU AS ADVISOR PLAN III	37,309.25	23.32	0.00	23.32	90.0	20.99
09/30/2017	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	68,128.88	42.58	0.00	42.58	90.0	38.32
09/30/2017	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	68,128.88	170.32	0.00	170.32	90.0	153.29
09/30/2017	VIAR, DAVID	PRU AS APEX II	19,940.87	12.46	0.00	12.46	90.0	11.21
09/30/2017	VIAR, DAVID	PRU AS APEX II	19,940.87	49.85	0.00	49.85	90.0	44.87
09/30/2017	BERARD, LISA	PRU AS APEX II	71,577.46	178.94	0.00	178.94	90.0	161.05
09/30/2017	BERARD, LISA	PRU AS APEX II	71,577.46	44.74	0.00	44.74	90.0	40.27
09/30/2017	BANNICK, ROBERT	PRU AS APEX II	129,550.57	80.97	0.00	80.97	90.0	72.87
09/30/2017	BANNICK, ROBERT	PRU AS APEX II	129,550.57	323.88	0.00	323.88	90.0	291.49
09/30/2017	FISCHER, SHIRLEY	PRU AS APEX II	49,667.86	31.04	0.00	31.04	90.0	27.94
09/30/2017	FISCHER, SHIRLEY	PRU AS APEX II	49,667.86	124.17	0.00	124.17	90.0	111.75
09/30/2017	STONE, ELAINE	PRU AS APEX II	217,288.63	135.81	0.00	135.81	90.0	122.23
09/30/2017	STONE, ELAINE	PRU AS APEX II	217,288.63	543.22	0.00	543.22	90.0	488.90
09/30/2017	MARSHALL, SANDRA	PRU AS APEX II	173,244.41	108.28	0.00	108.28	90.0	97.45
09/30/2017	MARSHALL, SANDRA	PRU AS APEX II	173,244.41	433.11	0.00	433.11	90.0	389.80
09/30/2017	MARSHALL TRUST, SANDRA	PRU AS APEX II	80,932.59	50.58	0.00	50.58	90.0	45.52
09/30/2017	MARSHALL TRUST, SANDRA	PRU AS APEX II	80,932.59	202.33	0.00	202.33	90.0	182.10
09/30/2017	TACCONELLI, JOHN	PRU AS APEX II	106,340.33	66.46	0.00	66.46	90.0	59.81
09/30/2017	TACCONELLI, JOHN	PRU AS APEX II	106,340.33	265.85	0.00	265.85	90.0	239.27

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/30/2017	TACCONELLI, JOHN	PRU AS APEX II	51,919.67	32.45	0.00	32.45	90.0	29.21
09/30/2017	TACCONELLI, JOHN	PRU AS APEX II	51,919.67	129.80	0.00	129.80	90.0	116.82
09/30/2017	MARSACK, KAREN	PRU AS APEX II	499,054.21	311.91	0.00	311.91	90.0	280.72
09/30/2017	MARSACK, KAREN	PRU AS APEX II	499,054.21	1,247.64	0.00	1,247.64	90.0	1,122.88
09/30/2017	MARSACK, KAREN	PRU AS APEX II	71,888.02	44.93	0.00	44.93	90.0	40.44
09/30/2017	MARSACK, KAREN	PRU AS APEX II	71,888.02	179.72	0.00	179.72	90.0	161.75
09/30/2017	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	17,895.01	11.18	0.00	11.18	90.0	10.06
09/30/2017	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	17,895.01	44.74	0.00	44.74	90.0	40.27
09/30/2017	YURIK, DEBRA	PRU AS APEX II	84,756.76	52.97	0.00	52.97	90.0	47.67
09/30/2017	YURIK, DEBRA	PRU AS APEX II	84,756.76	211.89	0.00	211.89	90.0	190.70
09/30/2017	ELIAS, PAUL	PRU AS APEX II	70,333.96	43.96	0.00	43.96	90.0	39.56
09/30/2017	ELIAS, PAUL	PRU AS APEX II	70,333.96	175.83	0.00	175.83	90.0	158.25
09/30/2017	QUEDNAU, KELLY	PRU AS APEX II	14,466.81	9.04	0.00	9.04	90.0	8.14
09/30/2017	QUEDNAU, KELLY	PRU AS APEX II	14,466.81	36.17	0.00	36.17	90.0	32.55
09/30/2017	FRASER, SHARON	PRU AS APEX II	38,296.38	23.94	0.00	23.94	90.0	21.55
09/30/2017	FRASER, SHARON	PRU AS APEX II	38,296.38	95.74	0.00	95.74	90.0	86.17
09/30/2017	BARNES, TAI	PRU AS APEX II	18,509.30	11.57	0.00	11.57	90.0	10.41
09/30/2017	BARNES, TAI	PRU AS APEX II	18,509.30	46.27	0.00	46.27	90.0	41.64
09/30/2017	GILLESPIE, HEATHER	PRU AS APEX II	133,268.21	83.29	0.00	83.29	90.0	74.96
09/30/2017	GILLESPIE, HEATHER	PRU AS APEX II	133,268.21	333.17	0.00	333.17	90.0	299.85
09/30/2017	FINLEY, RENEATHIA	PRU AS APEX II	6,191.66	3.87	0.00	3.87	90.0	3.48
09/30/2017	FINLEY, RENEATHIA	PRU AS APEX II	6,191.66	15.48	0.00	15.48	90.0	13.93
09/30/2017	GILMORE, JOHNSON	PRU AS APEX II	1,649.65	1.03	0.00	1.03	90.0	0.93
09/30/2017	GILMORE, JOHNSON	PRU AS APEX II	1,649.65	4.12	0.00	4.12	90.0	3.71
09/30/2017	O'DONNELL, JUDITH	PRU AS APEX II	178,138.24	111.34	0.00	111.34	90.0	100.21
09/30/2017	O'DONNELL, JUDITH	PRU AS APEX II	178,138.24	445.35	0.00	445.35	90.0	400.82
09/30/2017	JACK, LINDA	PRU AS APEX II	61,654.44	38.53	0.00	38.53	90.0	34.68
09/30/2017	JACK, LINDA	PRU AS APEX II	61,654.44	154.14	0.00	154.14	90.0	138.73
09/30/2017	PIASCIK, SHAWN	PRU AS APEX II	104,388.43	65.24	0.00	65.24	90.0	58.72
09/30/2017	PIASCIK, SHAWN	PRU AS APEX II	104,388.43	260.97	0.00	260.97	90.0	234.87
09/30/2017	ELSE, KATHERINE	PRU AS APEX II	107,518.08	67.20	0.00	67.20	90.0	60.48
09/30/2017	ELSE, KATHERINE	PRU AS APEX II	107,518.08	268.80	0.00	268.80	90.0	241.92
09/30/2017	NUNEZ, LYNDIA	PRU AS APEX II	648,337.22	405.21	0.00	405.21	90.0	364.69

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/30/2017	NUNEZ, LYNDA	PRU AS APEX II	648,337.22	1,215.63	0.00	1,215.63	90.0	1,094.07
09/30/2017	FLEURY, DAVID	PRU AS APEX II	112,239.31	70.15	0.00	70.15	90.0	63.14
09/30/2017	FLEURY, DAVID	PRU AS APEX II	112,239.31	280.60	0.00	280.60	90.0	252.54
09/30/2017	ZERVOS JR, ARISTEDES	PRU AS APEX II	787,391.31	492.12	0.00	492.12	90.0	442.91
09/30/2017	ZERVOS JR, ARISTEDES	PRU AS APEX II	787,391.31	1,968.48	0.00	1,968.48	90.0	1,771.63
09/30/2017	PALMERI, BEVERLY	PRU AS APEX II	314,187.88	196.37	0.00	196.37	90.0	176.73
09/30/2017	PALMERI, BEVERLY	PRU AS APEX II	314,187.88	785.47	0.00	785.47	90.0	706.92
09/30/2017	HARRISON, SHARI	PRU AS APEX II	29,734.09	18.58	0.00	18.58	90.0	16.72
09/30/2017	HARRISON, SHARI	PRU AS APEX II	29,734.09	74.34	0.00	74.34	90.0	66.91
09/30/2017	VITALE, PETER	PRU AS APEX II	136,755.04	85.47	0.00	85.47	90.0	76.92
09/30/2017	VITALE, PETER	PRU AS APEX II	136,755.04	341.89	0.00	341.89	90.0	307.70
09/30/2017	MAHER TRUST, MARY	PRU AS APEX II	162,249.77	101.41	0.00	101.41	90.0	91.27
09/30/2017	MAHER TRUST, MARY	PRU AS APEX II	162,249.77	405.62	0.00	405.62	90.0	365.06
09/30/2017	HATCHETT, AYANNA	PRU AS APEX II	4,502.04	2.81	0.00	2.81	90.0	2.53
09/30/2017	HATCHETT, AYANNA	PRU AS APEX II	4,502.04	11.26	0.00	11.26	90.0	10.13
09/30/2017	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	133,824.25	83.64	0.00	83.64	90.0	75.28
09/30/2017	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	133,824.25	334.56	0.00	334.56	90.0	301.10
09/30/2017	LUJAN, DEBORAH	PRU AS APEX II	95,008.79	59.38	0.00	59.38	90.0	53.44
09/30/2017	LUJAN, DEBORAH	PRU AS APEX II	95,008.79	237.52	0.00	237.52	90.0	213.77
09/30/2017	TROKIC, MEVLIDA	PRU AS APEX II	200,728.43	125.46	0.00	125.46	90.0	112.91
09/30/2017	TROKIC, MEVLIDA	PRU AS APEX II	200,728.43	501.82	0.00	501.82	90.0	451.64
09/30/2017	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	30,258.12	18.91	0.00	18.91	90.0	17.02
09/30/2017	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	30,258.12	75.65	0.00	75.65	90.0	68.09
09/30/2017	MARSACK, KAREN	PRU DEFINED INCOME	148,036.70	370.09	0.00	370.09	90.0	333.08
09/30/2017	LINDSEY, BARBARA	PRU DEFINED INCOME	67,141.65	167.85	0.00	167.85	90.0	151.07
09/30/2017	FOSTER SP TRUST, PATRICIA	PRU DEFINED INCOME	108,264.88	270.66	0.00	270.66	90.0	243.59
09/30/2017	TAYLOR, JAMIE	PRU DEFINED INCOME	161,557.71	403.89	0.00	403.89	90.0	363.50
09/30/2017	WALSH, LORRAINE	PRU DEFINED INCOME	75,609.37	189.02	0.00	189.02	90.0	170.12
09/30/2017	LEFKA, KAYE	PRU DEFINED INCOME	52,962.69	132.41	0.00	132.41	90.0	119.17
09/30/2017	MARKHAM, PATRICK	PRU DEFINED INCOME	120,530.48	301.33	0.00	301.33	90.0	271.20
09/30/2017	ELSE, KATHERINE	PRU DEFINED INCOME	21,849.32	54.62	0.00	54.62	90.0	49.16
09/30/2017	WHEELER, WILLARD	PRU DEFINED INCOME	549,188.28	1,372.97	0.00	1,372.97	90.0	1,235.67
09/30/2017	ALLEN, MARY	PRU DEFINED INCOME	164,604.86	411.51	0.00	411.51	90.0	370.36

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/30/2017	DINON, MARY	PRU PREMIER RETIREMENT C	80,593.86	201.48	0.00	201.48	90.0	181.33
09/30/2017	MCCRACKEN, ROBERT	PRU PREMIER RETIREMENT L	144,600.82	361.50	0.00	361.50	90.0	325.35
09/30/2017	CARROLL, EILEEN	PRU PREMIER RETIREMENT L	70,577.77	176.44	0.00	176.44	90.0	158.80
09/30/2017	TAYLOR, JAMIE	PRU PREMIER RETIREMENT L	733,221.05	1,833.05	0.00	1,833.05	90.0	1,649.75
09/30/2017	MARSHALL, IRONA	PRU PREMIER RETIREMENT L	148,872.90	372.18	0.00	372.18	90.0	334.96
09/30/2017	BIXBY, STEVEN	PRU PREMIER RETIREMENT L	228,385.86	570.96	0.00	570.96	90.0	513.86
09/30/2017	MARSHALL, DEMETRIA	PRU PREMIER RETIREMENT L	53,352.06	133.38	0.00	133.38	90.0	120.04
09/30/2017	HANNAH, EDWARD	PRU PREMIER RETIREMENT L	286,471.74	716.18	0.00	716.18	90.0	644.56
09/30/2017	ELSE, KATHERINE	PRU PREMIER RETIREMENT L	19,144.87	47.86	0.00	47.86	90.0	43.07
09/30/2017	PAGANO, SALVATORE	PRU PREMIER RETIREMENT L	107,405.96	268.51	0.00	268.51	90.0	241.66
09/30/2017	PAGANO, MAUREEN	PRU PREMIER RETIREMENT L	129,670.00	324.18	0.00	324.18	90.0	291.76
09/30/2017	PARIC, ELVEDINA	PRU PREMIER RETIREMENT L	94,950.20	237.38	0.00	237.38	90.0	213.64
09/30/2017	FOREMAN, CYNTHIA	PRU PREMIER RETIREMENT L	68,678.87	171.70	0.00	171.70	90.0	154.53
09/30/2017	FAIRLEY, JAMES	PRU PREMIER RETIREMENT L	191,656.92	479.14	0.00	479.14	90.0	431.23
09/30/2017	PALMERI, PAUL	PRU PREMIER RETIREMENT L	38,769.48	96.92	0.00	96.92	90.0	87.23
09/30/2017	O'DONNELL, JUDITH	PRU PREMIER RETIREMENT L	55,605.55	139.01	0.00	139.01	90.0	125.11
09/30/2017	MAHER, HENRY	PRU PREMIER RETIREMENT L	451,393.18	1,128.48	0.00	1,128.48	90.0	1,015.63
09/30/2017	NUNEZ, LYNDIA	PRU PREMIER RETIREMENT L	77,231.75	193.08	0.00	193.08	90.0	173.77
09/30/2017	HAJDAS, MICHAEL	PRU PREMIER RETIREMENT L	50,900.22	127.25	0.00	127.25	90.0	114.53
09/30/2017	BUSSELL, JEFFREY	PRU PREMIER RETIREMENT L	93,608.03	234.02	0.00	234.02	90.0	210.62
09/30/2017	EDWARDS, ROSALIND	PRU PREMIER RETIREMENT L	97,450.72	243.63	0.00	243.63	90.0	219.27
09/30/2017	IBARRA, JAIME	PRU PREMIER RETIREMENT L	129,093.19	322.73	0.00	322.73	90.0	290.46
09/30/2017	PASSALACQUA, PAULA	PRU PREMIER RETIREMENT L	23,610.31	59.03	0.00	59.03	90.0	53.13
09/30/2017	MARSHALL, CAROLYN	PRU PREMIER RETIREMENT L	173,387.53	433.47	0.00	433.47	90.0	390.12
09/30/2017	STONE, EDWARD	PRU PREMIER RETIREMENT L	217,780.36	544.45	0.00	544.45	90.0	490.01
09/30/2017	PALMERI, SAMUEL	PRU PREMIER RETIREMENT L	110,035.46	275.09	0.00	275.09	90.0	247.58
09/30/2017	STEINMETZ JR, JAMES	PRU PREMIER RETIREMENT L	163,024.01	407.56	0.00	407.56	90.0	366.80
09/30/2017	PEVOS, DEBRA	PRU PREMIER RETIREMENT L	219,641.93	549.10	0.00	549.10	90.0	494.19
09/30/2017	DILAY, DANIEL	PRU PREMIER RETIREMENT L	12,279.12	30.70	0.00	30.70	90.0	27.63
09/30/2017	DILAY, DENISE	PRU PREMIER RETIREMENT L	269,207.07	673.02	0.00	673.02	90.0	605.72
09/30/2017	KOTT, DIANE	PRU PREMIER RETIREMENT L	151,856.54	379.64	0.00	379.64	90.0	341.68
09/30/2017	LINDSEY, CHARLES	PRU PREMIER RETIREMENT L	80,888.96	202.22	0.00	202.22	90.0	182.00
09/30/2017	WOLLACK, ROBERT	PRU PREMIER RETIREMENT L	79,328.36	198.32	0.00	198.32	90.0	178.49

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/30/2017	HATHAWAY, RICHARD	PRU PREMIER RETIREMENT L	32,854.38	82.14	0.00	82.14	90.0	73.93
09/30/2017	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	189,571.16	473.93	0.00	473.93	90.0	426.54
09/30/2017	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	96,423.42	241.06	0.00	241.06	90.0	216.95
09/30/2017	WHEELER, WILLARD	PRU PREMIER RETIREMENT L	526,063.25	1,315.16	0.00	1,315.16	90.0	1,183.64
09/30/2017	JACK, STANLEY	PRU XTRA CREDIT EIGHT	262,754.24	164.22	0.00	164.22	90.0	147.80
09/30/2017	JACK, STANLEY	PRU XTRA CREDIT EIGHT	262,754.24	328.44	0.00	328.44	90.0	295.60
09/30/2017	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	340,677.39	638.77	0.00	638.77	90.0	574.89
09/30/2017	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	340,677.39	212.92	0.00	212.92	90.0	191.63
09/30/2017	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	28,959.03	54.30	0.00	54.30	90.0	48.87
09/30/2017	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	28,959.03	18.10	0.00	18.10	90.0	16.29
* VARIABLE ANNUITIES SUBTOTAL *			\$29,321,810.39	\$38,897.78	\$0.00	\$38,897.78		\$35,008.11
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
09/30/2017	MAHER, VARIOUS	LFG TRAILS	0.00	1,184.66	0.00	1,184.66	90.0	1,066.19
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,184.66	\$0.00	\$1,184.66		\$1,066.19
*** BROKER TRANSACTIONS TOTAL ***			\$29,590,378.79	\$90,929.51	\$68.00	\$90,861.51		\$81,775.71
<b><u>ADJUSTMENTS</u></b>								
10/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
10/15/2017		*ALBRIDGE: LIGHT USER	0.00					-76.00
10/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*GLOBAL RELAY: WEBSITE	0.00					-15.00
10/15/2017		*RBC: CURRENT MONTH	0.00					-25.00
10/15/2017		*RBC: ORDER ENTRY	0.00					-65.00
10/15/2017		*RBC: WIRE-7A502377	0.00					-25.00
10/15/2017		*RBC: WRITE OFF-7A502714	0.00					-35.00
10/15/2017		*TECHNOLOGY: ADM - ZAREFA MARINI	0.00					-130.00
10/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-858.00

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$81,775.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-858.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$80,917.71
Year-to-date Gross	\$373,973.08
Year-to-date Earnings	\$335,696.76