All Companies

LIBERTY PARTNERS

STATEMENT July 20, 2018

LEE THOMAS

530 WESTOVER DR

ROXBORO, NC 27573-

BROKER #: 056

BRANCH: LA BRANCH

COMMISSION STATEMENT for LEE THOMAS

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION RATE	BROKER COMMISSION
	BROKER TI	RANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS								
06/15/2018 #04000777216 KELLEY, KENLEY	THE GROWTH FUND OF AMERICA-529A	В	50.00	2.50	0.00	0.00	2.50 75.0	1.88
06/18/2018	FIDELITY ADV BIOTECHNOLOGY-CL A	S	4,716.50	0.00	20.00	0.00	-20.00 75.0	-15.00
	* MUTUAL FUNDS SUBTOTAL	*	\$4,766.50	\$2.50	\$20.00	\$0.00	\$-17.50	\$-13.12
PRODUCT CATEGORY: STOCKS								
05/31/2018 # THOMAS, BETTY	DOLLAR GENERAL CORPORATION NEW	В	33,808.52	420.00	19.95	0.00	400.05 75.0	300.04
05/31/2018 # THOMAS, BETTY	MTGE INVESTMENT CORP COMMON STOCK	S	19,975.00	430.73	19.95	0.00	410.78 75.0	308.09
05/31/2018 # THOMAS, BETTY	MTGE INVESTMENT CORP COMMON STOCK	S	14,142.30	176.40	19.95	0.00	156.45 75.0	117.34
06/18/2018	3M COMPANY	S	11,167.89	25.00	19.95	0.00	5.05 75.0	3.79
06/18/2018	ABBOTT LABORATORIES	S	688.55	25.00	19.95	0.00	5.05 75.0	3.79
06/18/2018	ABBVIE INC	S	4,137.53	25.00	19.95	0.00	5.05 75.0	3.79
06/18/2018	AT&T INC	S	2,192.32	25.00	19.95	0.00	5.05 75.0	3.79
06/18/2018	BLACKSTONE GROUP L P	S	6,029.87	25.00	19.95	0.00	5.05 75.0	3.79
06/18/2018	CONSTELLATION BRANDS INC CL A	S	10,443.26	25.00	19.95	0.00	5.05 75.0	3.79
06/18/2018	DEERE & CO	S	20,762.29	25.00	19.95	0.00	5.05 75.0	3.79
06/18/2018	ISHARES U S PHARMACEUTICALS ETF	S	3,862.56	25.00	19.95	0.00	5.05 75.0	3.79
06/18/2018	MOLSON COORS BREWING CO CL B	S	665.43	25.00	19.95	0.00	5.05 75.0	3.79
06/18/2018	US BANCORP DEL COM NEW	S	4,607.33	22.50	19.95	0.00	2.55 75.0	1.91
06/18/2018	YUM BRANDS INC	S	10,531.84	32.00	19.95	0.00	12.05 75.0	9.04
06/18/2018	YUM CHINA HOLDINGS INC COM	S	5,037.83	31.25	19.95	0.00	11.30 75.0	8.48
06/19/2018	APPLE INC	S	31,103.54	25.00	19.95	0.00	5.05 75.0	3.79
06/19/2018	BERKSHIRE HATHAWAY INC DEL CL B NE	W S	2,670.12	25.00	19.95	0.00	5.05 75.0	3.79
06/19/2018	BOEING CO	S	2,385.06	25.00	19.95	0.00	5.05 75.0	3.79
06/26/2018 # GLENN, JOHNATHAN	CHIMERIX INC	S	4,600.85	201.72	19.95	0.00	181.77 75.0	136.33
06/26/2018 # THOMAS, BETTY	DUKE ENERGY CORPORATION	В	1,659.84	54.95	19.95	0.00	35.00 75.0	26.25
06/26/2018 # LENNON, CYNTHIA	SECTOR SPDR TRUST SHS BEN INT TECH	IN B	1,604.48	53.84	19.95	0.00	33.89 75.0	25.42
06/26/2018 # GLENN, JOHNATHAN	SELECT SECTOR SPDR FUND	S	3,291.08	82.81	19.95	0.00	62.86 75.0	47.15
06/26/2018 # GLENN, JOHNATHAN	SELECT SECTOR SPDR FUND SHS BEN IN	IT S	2,269.89	67.20	19.95	0.00	47.25 75.0	35.44
	* STOCKS SUBTOTAL	*	\$197,637.38	\$1,873.40	\$458.85	\$0.00	\$1,414.55	\$1,060.97

COMMISSION STATEMENT for LEE THOMAS

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TRADE DATE CLIENT	INVESTMENT	Б/Б	AMOUNT	COMMISSION	CHARGE	POSTAGE	COMMISSION RA	TE	COMMISSION
	BROKER T	'RANS	ACTIONS						
PRODUCT CATEGORY: VARIABLE ANNUITIE	<u>es</u>								
07/02/2018 #1021450770 GRAY, SUSAN	PERSP II 01/16	В	150,000.00	8,250.00	0.00	0.00	8,250.00 2	5.0	2,062.50
	* VARIABLE ANNUITIES SUBTOTA	L *	\$150,000.00	\$8,250.00	\$0.00	\$0.00	\$8,250.00		\$2,062.50
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS								
06/01/2018 #04000777216 KELLEY, KENLEY	THE GROWTH FUND OF AMERICA-529A	В	0.00	0.22	0.00	0.00	0.22 7	5.0	0.17
06/08/2018 # GLENN, JOHNATHAN	DAIN TRAILER	В	0.00	2.02	0.00	0.00	2.02 7		1.52
06/11/2018 # HAWKINS, DORIS	DAIN TRAILER	В	0.00	3.74	0.00	0.00	3.74 7		2.81
06/11/2018 # NICHOLS, WILLIAM	DAIN TRAILER	В	0.00	8.25	0.00	0.00	8.25 7		6.19
06/11/2018 # NICHOLS, WILLIAM	DAIN TRAILER	В	0.00	4.32	0.00	0.00	4.32 7		3.24
06/11/2018 #	DAIN TRAILER	В	0.00	5.19	0.00	0.00	5.19 7		3.89
06/11/2018 # THOMAS, BETTY	DAIN TRAILER	В	0.00	2.70	0.00	0.00	2.70 7	5.0	2.03
06/11/2018 # THOMAS, BETTY	DAIN TRAILER	В	0.00	1.75	0.00	0.00	1.75 7	5.0	1.31
06/11/2018 # GLENN, JOHNATHAN	DAIN TRAILER	В	0.00	2.93	0.00	0.00	2.93 7	5.0	2.20
06/11/2018 # JAMES, KELLY	DAIN TRAILER	В	0.00	1.84	0.00	0.00	1.84 7	5.0	1.38
06/11/2018 # GIBSON, JOHN	DAIN TRAILER	В	0.00	0.87	0.00	0.00	0.87 7	5.0	0.65
06/11/2018 # GIBSON, JOHN	DAIN TRAILER	В	0.00	2.14	0.00	0.00	2.14 7	5.0	1.61
06/11/2018 # KNIGHT GIBSON, NANCY	DAIN TRAILER	В	0.00	2.31	0.00	0.00	2.31 7	5.0	1.73
06/11/2018 # GRAY, SUSAN	DAIN TRAILER	В	0.00	0.96	0.00	0.00	0.96 7	5.0	0.72
06/11/2018 # GRAY, SUSAN	DAIN TRAILER	В	0.00	4.42	0.00	0.00	4.42 7	5.0	3.32
06/11/2018 # WICKER, KEVIN	DAIN TRAILER	В	0.00	1.31	0.00	0.00	1.31 7	5.0	0.98
06/18/2018 # GRAY, SUSAN	DAIN TRAILER	S	21,247.57	0.00	0.00	0.00	0.00 7	5.0	0.00
06/26/2018 # GLENN, JOHNATHAN	DAIN TRAILER	В	3,375.60	168.78	0.00	0.00	168.78 7	5.0	126.59
06/26/2018 #	DAIN TRAILER	В	291.08	14.55	0.00	0.00	14.55 7	5.0	10.91
	* MUTUAL FUND 12B1 TRAILS SUBTOTA	L *	\$24,914.25	\$228.30	\$0.00	\$0.00	\$228.30		\$171.25
	*** BROKER TRANSACTIONS TOTAL	***	\$377,318.13	\$10,354.20	\$478.85	\$0.00	\$9,875.35	-	\$3,281.60
	ADJU	STME	TS						
07/20/2018	ADMIN FEE: ADMIN FEE								-390.00
07/20/2018	E & O INSURANCE: E & O INSURANCE								-179.00
07/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF								-36.07
	DEBIT BALANCE								

\$-605.07

*** ADJUSTMENTS TOTAL ***

BROKER COMMISSION TOTALS

Broker Transactions	\$3,281.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-605.07
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-7,798.35
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance	Forward	\$-5,121.82
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