



Concorde Asset Management

07/17/2017

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COMMISSION STATEMENT

July 15, 2017

BRION NORBY
4905 Prairie Lane

BROKER #: N121
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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SPLIT TRANSACTIONS

BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333

06/09/2017	SINNESS, STEVEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.17	0.00	1.17	90.0	1.05
06/09/2017	SINNESS, STEVEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.19	0.00	0.19	90.0	0.17
06/09/2017	SINNESS, STEVEN	AM FUNDS ICA A	0.00	1.34	0.00	1.34	90.0	1.21
06/09/2017	SINNESS, STEVEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.74	0.00	1.74	90.0	1.57
06/09/2017	SINNESS, STEVEN	AM FUNDS NEW WORLD A	0.00	0.49	0.00	0.49	90.0	0.44
06/09/2017	SINNESS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	0.24	0.00	0.24	90.0	0.22
06/15/2017	KALIL, DANIEL	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	0.21	0.00	0.21	90.0	0.19
06/15/2017	MORRIS, SANDRA PACKER	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	0.01	0.00	0.01	90.0	0.01
06/09/2017	SINNESS, STEVEN	FIRST EAGLE GLOBAL FUND CL A	0.00	0.20	0.00	0.20	90.0	0.18
06/19/2017	SINNESS, STEVEN	ISHARES S&P 500 VALUE ETF	15,957.21	0.00	2.42	-2.42	90.0	-2.18
06/09/2017	DONAI, RUTH	METROPOLITAN WEST TOTAL RETURN BOND	0.00	0.20	0.00	0.20	90.0	0.18
06/09/2017	MORRIS, SANDRA PACKER	RIVERNORTH CORE OPPORTUNITY FUND CL	0.00	0.01	0.00	0.01	90.0	0.01
06/09/2017	HATLESTAD, PATRICK	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.18	0.00	0.18	90.0	0.16
06/09/2017	DONAI, DONALD	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.55	0.00	0.55	90.0	0.50
06/09/2017	DONAI, DONALD	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.16	0.00	0.16	90.0	0.14
06/09/2017	MORRIS, PATRICK	T ROWE PRICE GROWTH STOCK FUND ADVI	0.00	0.38	0.00	0.38	90.0	0.34
06/09/2017	MORRIS, SANDRA PACKER	T ROWE PRICE GROWTH STOCK FUND ADVI	0.00	0.06	0.00	0.06	90.0	0.05
06/09/2017	MORRIS, PATRICK	T ROWE PRICE INT STOCK - ADVISOR CL	0.00	0.22	0.00	0.22	90.0	0.20
06/09/2017	MORRIS, SANDRA PACKER	T ROWE PRICE INT STOCK - ADVISOR CL	0.00	0.04	0.00	0.04	90.0	0.04
06/09/2017	MORRIS, SANDRA PACKER	TCW DIVIDEND FOCUSED FUND CLASS N	0.00	0.01	0.00	0.01	90.0	0.01
06/09/2017	KALIL, DANIEL	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.05	0.00	0.05	90.0	0.05
06/09/2017	DONAI, RUTH	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.15	0.00	0.15	90.0	0.14
06/09/2017	HATLESTAD, PATRICK	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.35	0.00	0.35	90.0	0.32
06/09/2017	POWELL, WILLIAM	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.04	0.00	0.04	90.0	0.04
06/09/2017	KALIL, DANIEL	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.09	0.00	0.09	90.0	0.08
06/09/2017	VESTAL, KATHY	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.02	0.00	0.02	90.0	0.02

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u>								
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$15,957.21	\$8.10	\$2.42	\$5.68		\$5.14
<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u>								
05/26/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS MBS BD FD	5,041.69	0.00	3.52	-3.52	90.0	-3.17
05/26/2017	HUGGINS, JAMES	ISHARES U S TREASURY BOND	7,576.02	0.00	3.52	-3.52	90.0	-3.17
06/20/2017	HUGGINS, JAMES	ISHARES U S TREASURY BOND	5,283.91	0.00	3.52	-3.52	90.0	-3.17
05/26/2017	HUGGINS, JAMES	JP MORGAN CHASE & CO COM ISIN#US466	512.66	0.00	3.52	-3.52	90.0	-3.17
05/26/2017	HUGGINS, JAMES	PITNEY BOWES INC	698.28	0.00	3.52	-3.52	90.0	-3.17
06/09/2017	PATOROS, JOHN	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.30	0.00	0.30	90.0	0.27
* BJB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$19,112.56	\$0.30	\$17.60	\$-17.30		\$-15.58
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
07/06/2017	KEMPER, JEROME	CATALYST HEDGED FUTURES STRATEGY FU	718.72	0.00	0.00	0.00	90.0	0.00
07/06/2017	FREIH, DIANE	ISHARES TR CORE TOTAL U S BD MKT ET	108.95	0.00	0.00	0.00	90.0	0.00
07/06/2017	KEMPER, JEROME	ISHARES TR CORE TOTAL U S BD MKT ET	653.69	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, CLINTON	ISHARES TR CORE TOTAL U S BD MKT ET	980.53	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, PAMELA	ISHARES TR CORE TOTAL U S BD MKT ET	108.95	0.00	0.00	0.00	90.0	0.00
07/06/2017	RETZLAFF, SANDRA	ISHARES TR CORE TOTAL U S BD MKT ET	108.95	0.00	0.00	0.00	90.0	0.00
07/06/2017	RUFFIE, DAVID	ISHARES TR CORE TOTAL U S BD MKT ET	326.84	0.00	0.00	0.00	90.0	0.00
07/06/2017	KEMPER, JEROME	ISHARES TR RUSSELL 2000 INDEX FD	-697.30	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, CLINTON	ISHARES TR RUSSELL 2000 INDEX FD	-418.38	0.00	0.00	0.00	90.0	0.00
07/06/2017	RUFFIE, DAVID	ISHARES TR RUSSELL 2000 INDEX FD	-418.38	0.00	0.00	0.00	90.0	0.00
07/06/2017	FREIH, DIANE	ISHARES TR S&P 500 INDEX FD	-242.57	0.00	0.00	0.00	90.0	0.00
07/06/2017	KEMPER, JEROME	ISHARES TR S&P 500 INDEX FD	-1,455.42	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, CLINTON	ISHARES TR S&P 500 INDEX FD	-970.28	0.00	0.00	0.00	90.0	0.00
07/06/2017	RETZLAFF, SANDRA	ISHARES TR S&P 500 INDEX FD	-242.57	0.00	0.00	0.00	90.0	0.00
07/06/2017	RUFFIE, DAVID	ISHARES TR S&P 500 INDEX FD	-1,697.99	0.00	0.00	0.00	90.0	0.00
07/07/2017	RASMUSSEN, CLINTON	JEFFERSON NATIONAL	354,543.23	443.18	0.00	443.18	90.0	398.86
07/06/2017	FREIH, DIANE	POWERSHARES DB COMMODITY INDEX TRAC	129.69	0.00	0.00	0.00	90.0	0.00
07/06/2017	KEMPER, JEROME	POWERSHARES DB COMMODITY INDEX TRAC	432.30	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, CLINTON	POWERSHARES DB COMMODITY INDEX TRAC	446.71	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, PAMELA	POWERSHARES DB COMMODITY INDEX TRAC	57.64	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, PAMELA	POWERSHARES DB COMMODITY INDEX TRAC	72.05	0.00	0.00	0.00	90.0	0.00
07/06/2017	RETZLAFF, SANDRA	POWERSHARES DB COMMODITY INDEX TRAC	115.28	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
07/06/2017	SKAARE, LARRY	POWERSHARES DB COMMODITY INDEX TRAC	72.05	0.00	0.00	0.00	90.0	0.00
07/06/2017	RUFFIE, DAVID	POWERSHARES DB COMMODITY INDEX TRAC	-374.40	0.00	0.00	0.00	90.0	0.00
07/06/2017	FREIH, DIANE	POWERSHARES EXCHANGE-TRADED FD TR I	69.35	0.00	0.00	0.00	90.0	0.00
07/06/2017	KEMPER, JEROME	POWERSHARES EXCHANGE-TRADED FD TR I	300.53	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, CLINTON	POWERSHARES EXCHANGE-TRADED FD TR I	254.30	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, PAMELA	POWERSHARES EXCHANGE-TRADED FD TR I	46.24	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, PAMELA	POWERSHARES EXCHANGE-TRADED FD TR I	46.24	0.00	0.00	0.00	90.0	0.00
07/06/2017	RETZLAFF, SANDRA	POWERSHARES EXCHANGE-TRADED FD TR I	92.47	0.00	0.00	0.00	90.0	0.00
07/06/2017	SKAARE, LARRY	POWERSHARES EXCHANGE-TRADED FD TR I	46.24	0.00	0.00	0.00	90.0	0.00
07/06/2017	KEMPER, JEROME	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-947.82	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, CLINTON	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-315.94	0.00	0.00	0.00	90.0	0.00
07/06/2017	RUFFIE, DAVID	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-1,579.70	0.00	0.00	0.00	90.0	0.00
07/06/2017	KEMPER, JEROME	SPDR SER TR BARCLAYS HIGH YIELD BD	36.89	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, CLINTON	SPDR SER TR BARCLAYS HIGH YIELD BD	110.66	0.00	0.00	0.00	90.0	0.00
07/06/2017	FREIH, DIANE	VANGUARD CHARLOTTE FDS TOTAL INTL B	54.09	0.00	0.00	0.00	90.0	0.00
07/06/2017	KEMPER, JEROME	VANGUARD CHARLOTTE FDS TOTAL INTL B	108.18	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, CLINTON	VANGUARD CHARLOTTE FDS TOTAL INTL B	108.18	0.00	0.00	0.00	90.0	0.00
07/06/2017	RUFFIE, DAVID	VANGUARD CHARLOTTE FDS TOTAL INTL B	108.18	0.00	0.00	0.00	90.0	0.00
07/06/2017	FREIH, DIANE	VANGUARD INDEX FDS VANGUARD REIT ET	-163.58	0.00	0.00	0.00	90.0	0.00
07/06/2017	KEMPER, JEROME	VANGUARD INDEX FDS VANGUARD REIT ET	-817.90	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, CLINTON	VANGUARD INDEX FDS VANGUARD REIT ET	163.60	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, PAMELA	VANGUARD INDEX FDS VANGUARD REIT ET	81.80	0.00	0.00	0.00	90.0	0.00
07/06/2017	RUFFIE, DAVID	VANGUARD INDEX FDS VANGUARD REIT ET	-981.48	0.00	0.00	0.00	90.0	0.00
07/06/2017	FREIH, DIANE	VANGUARD INTL EQUITY INDEX FD INC F	1,194.84	0.00	0.00	0.00	90.0	0.00
07/06/2017	KEMPER, JEROME	VANGUARD INTL EQUITY INDEX FD INC F	3,883.23	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, CLINTON	VANGUARD INTL EQUITY INDEX FD INC F	1,045.49	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, PAMELA	VANGUARD INTL EQUITY INDEX FD INC F	99.57	0.00	0.00	0.00	90.0	0.00
07/06/2017	RASMUSSEN, PAMELA	VANGUARD INTL EQUITY INDEX FD INC F	398.28	0.00	0.00	0.00	90.0	0.00
07/06/2017	RETZLAFF, SANDRA	VANGUARD INTL EQUITY INDEX FD INC F	199.14	0.00	0.00	0.00	90.0	0.00
07/06/2017	SKAARE, LARRY	VANGUARD INTL EQUITY INDEX FD INC F	149.36	0.00	0.00	0.00	90.0	0.00
07/06/2017	RUFFIE, DAVID	VANGUARD INTL EQUITY INDEX FD INC F	6,272.91	0.00	0.00	0.00	90.0	0.00
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$362,421.64	\$443.18	\$0.00	\$443.18		\$398.86
*** SPLIT TRANSACTIONS TOTAL ***			\$397,491.41	\$451.58	\$20.02	\$431.56		\$388.42

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$388.42
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$81.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$469.42
Year-to-date Gross	\$20,344.04
Year-to-date Earnings	\$17,755.59