

**COMMISSION STATEMENT****April 30, 2018**

**ALAN, G122 GEIER**  
56 Dutchess Ave.  
Apt. 1  
Poughkeepsie, NY 12601

**BROKER #: G122**  
**BRANCH: ALAN GEIER**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
04/16/2018	KLEIN, NINA	TD AMERITRADE	0.00	875.39	0.00	875.39	80.0	700.31
04/16/2018	KLEIN, NINA	TD AMERITRADE	0.00	138.39	0.00	138.39	80.0	110.71
04/16/2018	KLEIN, NINA	TD AMERITRADE	0.00	1,692.43	0.00	1,692.43	80.0	1,353.94
* RIA SUBTOTAL *			\$0.00	\$2,706.21	\$0.00	\$2,706.21		\$2,164.96
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$2,706.21	\$0.00	\$2,706.21		\$2,164.96
<b><u>ADJUSTMENTS</u></b>								
04/30/2018		*MANAGED FEE EXPENSE: ACCT. MIN. FEE	0.00					-13.81
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-13.81

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,164.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-13.81
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,151.15
Year-to-date Gross	\$5,542.12
Year-to-date Earnings	\$4,433.69