

# STATEMENT

May 20, 2018

RYLAN-TERMINATED ROZELL - 070

BROKER #: 070

BRANCH: LA BRANCH

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## COMMISSION STATEMENT for RYLAN-TERMINATED ROZELL - 070

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
04/02/2018	#00051273030	QUATTLEBAUM, PUTNAM CALIFORNIA TAX EXEMPT INCOME	0.00	10.95	90.0	0.00	9.86	0.00	9.86
04/02/2018	#00217206495	PLAN PUTNAM EQUITY INCOME FUND NEW-CL A	0.00	0.93	90.0	0.00	0.84	0.00	0.84
04/02/2018	#00374770489	UNIF TRANSFER PUTNAM EQUITY INCOME FUND NEW-CL A	0.00	3.01	90.0	0.00	2.71	0.00	2.71
04/02/2018	#00443531497	PLAN PUTNAM EQUITY INCOME FUND NEW-CL A	0.00	1.92	90.0	0.00	1.73	0.00	1.73
04/02/2018	#00495436549	ABERNATHY, PUTNAM EQUITY INCOME FUND NEW-CL A	0.00	3.69	90.0	0.00	3.32	0.00	3.32
04/02/2018	#00500729023	GILLILAND, PUTNAM EQUITY INCOME FUND NEW-CL A	0.00	5.77	90.0	0.00	5.19	0.00	5.19
04/02/2018	#00219243336	PUTNAM EUROPE EQUITY FUND CLASS A	0.00	5.36	90.0	0.00	4.82	0.00	4.82
04/02/2018	#00237912777	06/12/1990, U/A PUTNAM GLOBAL EQUITY FUND INC-CL A	0.00	8.26	90.0	0.00	7.43	0.00	7.43
04/02/2018	#00104601472	ANGENENT, PUTNAM GROWTH OPPORTUNITIES FUND-CL	0.00	0.07	90.0	0.00	0.06	0.00	0.06
04/02/2018	#00200543909	SUMPTER, DIANNE PUTNAM GROWTH OPPORTUNITIES FUND-CL	0.00	0.61	90.0	0.00	0.55	0.00	0.55
04/02/2018	#00261681541	PLAN PUTNAM GROWTH OPPORTUNITIES FUND-CL	0.00	13.43	90.0	0.00	12.09	0.00	12.09
04/02/2018	#00261681643	PLAN PUTNAM GROWTH OPPORTUNITIES FUND-CL	0.00	19.71	90.0	0.00	17.74	0.00	17.74
04/02/2018	#00501561466	PLAN PUTNAM GROWTH OPPORTUNITIES FUND-CL	0.00	0.80	90.0	0.00	0.72	0.00	0.72
04/02/2018	#00502722053	PLAN PUTNAM GROWTH OPPORTUNITIES FUND-CL	0.00	2.44	90.0	0.00	2.20	0.00	2.20
04/02/2018	#00513500913	PLAN PUTNAM GROWTH OPPORTUNITIES FUND-CL	0.00	2.59	90.0	0.00	2.33	0.00	2.33
04/02/2018	#00513729133	PLAN PUTNAM GROWTH OPPORTUNITIES FUND-CL	0.00	0.86	90.0	0.00	0.77	0.00	0.77
04/02/2018	#00515502954	BARKER, ROBERT PUTNAM GROWTH OPPORTUNITIES FUND-CL	0.00	0.67	90.0	0.00	0.60	0.00	0.60
04/02/2018	#00087549711	JOHNST, AMY PUTNAM GROWTH OPPORTUNITIES-B	0.00	3.04	90.0	0.00	2.74	0.00	2.74
04/02/2018	#00268584069	KING, ERIN PUTNAM GROWTH OPPORTUNITIES-M	0.00	2.85	90.0	0.00	2.57	0.00	2.57
04/02/2018	#00443531497	PLAN PUTNAM INCOME FUND INC-CL A	0.00	6.69	90.0	0.00	6.02	0.00	6.02
04/02/2018	#00551004171	PLAN PUTNAM INVESTORS FUND INC CL A	0.00	5.12	90.0	0.00	4.61	0.00	4.61
04/02/2018	#00551017324	ESA, COVERDELL PUTNAM INVESTORS FUND INC CL A	0.00	1.87	90.0	0.00	1.68	0.00	1.68
04/02/2018	#00551022602	ESA, COVERDELL PUTNAM INVESTORS FUND INC CL A	0.00	1.99	90.0	0.00	1.79	0.00	1.79
04/02/2018	#00103056129	WOOFER, BAILEY PUTNAM MULTI CAP GROWTH FUND CL A	0.00	4.52	90.0	0.00	4.07	0.00	4.07
04/02/2018	#00200543909	SUMPTER, DIANNE PUTNAM MULTI CAP GROWTH FUND CL A	0.00	0.67	90.0	0.00	0.60	0.00	0.60
04/02/2018	#00495436549	ABERNATHY, PUTNAM MULTI CAP GROWTH FUND CL A	0.00	5.45	90.0	0.00	4.91	0.00	4.91
04/02/2018	#00551021247	PLAN PUTNAM MULTI CAP GROWTH FUND CL A	0.00	1.81	90.0	0.00	1.63	0.00	1.63
04/02/2018	#00449071951	PLAN PUTNAM U S GOVERNMENT INCOME TRUST-	0.00	6.81	90.0	0.00	6.13	0.00	6.13

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/02/2018 #00510222011 PLAN	PUTNAM U S GOVERNMENT INCOME TRUST-	0.00	2.38	90.0	0.00	2.14	0.00	2.14
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$124.27		\$0.00	\$111.85	\$0.00	\$111.85
	*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$124.27		\$0.00	\$111.85	\$0.00	\$111.85

BROKER COMMISSION TOTALS

Broker Transactions	\$111.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$111.85
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