

All Companies
STATEMENT
August 20, 2018

STEVE MEDWED
29050 Brooks Lane

BROKER #: S01
BRANCH: LT BRANCH

Southfield, MI 48034

COMMISSION STATEMENT for STEVE MEDWED

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/04/0018	#5003189981 BAKER, DOROTHY	GUGGENHEIM RYDEX	50.00	2.00	95.0	0.00	1.90	0.00	1.90
06/09/0018	#5003142422 NESSEL, ERIC D	GUGGENHEIM RYDEX	0.00	58.77	95.0	0.00	55.83	0.00	55.83
06/09/0018	#5003142500 KLINE (CUST),	GUGGENHEIM RYDEX	0.00	0.07	95.0	0.00	0.07	0.00	0.07
06/09/0018	#5003145033 FRANKFORT,	GUGGENHEIM RYDEX	0.00	8.76	95.0	0.00	8.32	0.00	8.32
06/09/0018	#5003189981 BAKER, DOROTHY	GUGGENHEIM RYDEX	0.00	2.31	95.0	0.00	2.19	0.00	2.19
06/30/0201	#5036014964 KARGESHARL	DELAWARE FUNDS	271,463.50	54.76	95.0	0.00	52.02	0.00	52.02
06/30/0201	#5014568468 NESSEERICD	DELAWARE FUNDS	30,298.75	5.21	95.0	0.00	4.95	0.00	4.95
06/30/0201	#5014568470 BATESSABEJ	DELAWARE FUNDS	33,920.54	5.87	95.0	0.00	5.58	0.00	5.58
06/08/2018	#00026033210 FARNUM, BARBARA	DWS 25159K820	5,285.66	274.85	95.0	0.00	261.11	0.00	261.11
06/20/2018	#03301041590 REILLY, MICHAEL	OPPENHEIMER GLOBAL FUND CL A	100.00	4.75	95.0	0.00	4.51	0.00	4.51
06/25/2018	#00026261449 FRANKFORT,	DWS 25159K820	2,000.00	104.00	95.0	0.00	98.80	0.00	98.80
06/29/2018	#00711186303 FRANKFORT,	PIONEER 72366V108	2,000.00	100.00	95.0	0.00	95.00	0.00	95.00
07/02/2018	#00026115514 RUSKOWSKI,	DWS SCUDDER	250.00	13.00	95.0	0.00	12.35	0.00	12.35
07/05/2018	#00099555485 ERB, TINA	TRANSAMERICA DIVERSIFIED EQUITY-T	166.66	7.08	95.0	0.00	6.73	0.00	6.73
07/16/2018	#000002870037928 PASSIGARY	TEMPLETON FUNDS INC WORLD FUND CL A	50.00	1.88	95.0	0.00	1.79	0.00	1.79
07/20/2018	#00073512495 RUSKOWSKI,	AMERICAN BALANCED FUND INC CLASS A	75.00	2.81	95.0	0.00	2.67	0.00	2.67
07/20/2018	#00073512495 RUSKOWSKI,	GROWTH FUND AMERICA INC CLASS A	75.00	2.81	95.0	0.00	2.67	0.00	2.67
07/20/2018	#00711186303 FRANKFORT,	PIONEER 72366V108	2,000.00	100.00	95.0	0.00	95.00	0.00	95.00
07/23/2018	#00099563512 HOLLO, JOYCE	TRANSAMERICA DIVERSIFIED EQUITY-T	100.00	6.25	95.0	0.00	5.94	0.00	5.94
* MUTUAL FUNDS SUBTOTAL *			\$347,835.11	\$755.18		\$0.00	\$717.43	\$0.00	\$717.43
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/30/0201	#292000000377743350	SECURITY BENEFIT	0.00	6.96	95.0	0.00	6.61	0.00	6.61
07/06/2018	#2775354 JOSLIN, KAREN	JOHN HANCOCK LIFE TRAILS	0.00	10.56	95.0	0.00	10.03	0.00	10.03
07/06/2018	#2775941 JOSLIN, KAREN	JOHN HANCOCK LIFE TRAILS	0.00	10.44	95.0	0.00	9.92	0.00	9.92
07/06/2018	#2777402 JOSLIN, KAREN	JOHN HANCOCK LIFE TRAILS	0.00	10.81	95.0	0.00	10.27	0.00	10.27
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$38.77		\$0.00	\$36.83	\$0.00	\$36.83

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018	#03300933317	VARIOUS MEDWED OPPENHEIMER GLOBAL FUND CL A	0.00	9.24	95.0	0.00	8.78	0.00	8.78
06/30/2018	#03301002375	VARIOUS MEDWED OPPENHEIMER GLOBAL FUND CL A	0.00	60.21	95.0	0.00	57.20	0.00	57.20
06/30/2018	#03301041590	REILLY, MICHAEL OPPENHEIMER GLOBAL FUND CL A	0.00	5.70	95.0	0.00	5.42	0.00	5.42
06/30/2018	#03301101881	VARIOUS MEDWED OPPENHEIMER GLOBAL FUND CL A	0.00	17.45	95.0	0.00	16.58	0.00	16.58
06/30/2018	#03301297571	VARIOUS MEDWED OPPENHEIMER GLOBAL FUND CL A	0.00	18.17	95.0	0.00	17.26	0.00	17.26
06/30/2018	#03301420144	VARIOUS MEDWED OPPENHEIMER GLOBAL FUND CL A	0.00	17.02	95.0	0.00	16.17	0.00	16.17
07/01/2018	#02500066088	VARIOUS MEDWED INVESCO DEVELOPING MARKETS FUND CL	0.00	75.53	95.0	0.00	71.75	0.00	71.75
07/01/2018	#02500066088	VARIOUS MEDWED INVESCO GOLD & PRECIOUS METALS CL A	0.00	113.35	95.0	0.00	107.68	0.00	107.68
07/01/2018	#01001360726	VARIOUS MEDWED INVESCO INCOME ALLOCATION	0.00	13.47	95.0	0.00	12.80	0.00	12.80
07/01/2018	#01001369529	VARIOUS MEDWED INVESCO INCOME ALLOCATION	0.00	19.39	95.0	0.00	18.42	0.00	18.42
07/01/2018	#01001376087	VARIOUS MEDWED INVESCO INCOME ALLOCATION	0.00	49.12	95.0	0.00	46.66	0.00	46.66
07/01/2018	#01001390053	VARIOUS MEDWED INVESCO INCOME ALLOCATION	0.00	597.10	95.0	0.00	567.25	0.00	567.25
07/01/2018	#01001390058	VARIOUS MEDWED INVESCO INCOME ALLOCATION	0.00	242.69	95.0	0.00	230.56	0.00	230.56
07/01/2018	#01001390060	VARIOUS MEDWED INVESCO INCOME ALLOCATION	0.00	26.19	95.0	0.00	24.88	0.00	24.88
07/01/2018	#01001390053	VARIOUS MEDWED INVESCO INCOME ALLOCATION FUND CLAS	0.00	0.49	95.0	0.00	0.47	0.00	0.47
07/01/2018	#01001390058	VARIOUS MEDWED INVESCO INCOME ALLOCATION FUND CLAS	0.00	0.20	95.0	0.00	0.19	0.00	0.19
07/01/2018	#01001390060	VARIOUS MEDWED INVESCO INCOME ALLOCATION FUND CLAS	0.00	0.02	95.0	0.00	0.02	0.00	0.02
07/01/2018	#02500066088	VARIOUS MEDWED INVESCO MID CAP CORE EQUITY FUND CL	0.00	83.86	95.0	0.00	79.67	0.00	79.67
07/01/2018	#01000112708	VARIOUS MEDWED INVESCO MODERATE ALLOCATION FD - CL	0.00	128.95	95.0	0.00	122.50	0.00	122.50
07/01/2018	#01001276311	VARIOUS MEDWED INVESCO SHORT TERM BOND FUND -CLASS	0.00	11.10	95.0	0.00	10.55	0.00	10.55
07/01/2018	#01001374361	VARIOUS MEDWED INVESCO SHORT TERM BOND FUND -CLASS	0.00	13.33	95.0	0.00	12.66	0.00	12.66
07/01/2018	#01001376085	VARIOUS MEDWED INVESCO SHORT TERM BOND FUND -CLASS	0.00	106.25	95.0	0.00	100.94	0.00	100.94
07/01/2018	#01001383875	VARIOUS MEDWED INVESCO SHORT TERM BOND FUND -CLASS	0.00	3.10	95.0	0.00	2.95	0.00	2.95
07/01/2018	#01001397294	MEDWED, STEVEN INVESCO SHORT TERM BOND FUND -CLASS	0.00	122.77	95.0	0.00	116.63	0.00	116.63
07/01/2018	#00660431621	VARIOUS MEDWED INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	27.04	95.0	0.00	25.69	0.00	25.69
07/01/2018	#06700213758	VARIOUS MEDWED INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	29.36	95.0	0.00	27.89	0.00	27.89
07/01/2018	#06700261817	VARIOUS MEDWED INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	25.91	95.0	0.00	24.61	0.00	24.61
07/01/2018	#06700313921	VARIOUS MEDWED INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	54.17	95.0	0.00	51.46	0.00	51.46
07/01/2018	#00670981358	VARIOUS MEDWED INVESCO VAN KAMPEN COMSTOCK FUND CL	0.00	17.51	95.0	0.00	16.63	0.00	16.63
07/01/2018	#06910217495	VARIOUS MEDWED INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	1.26	95.0	0.00	1.20	0.00	1.20
07/01/2018	#05311275950	CASSETTA, MASSACHUSETTS INVESTORS GROWTH STOC	0.00	2.67	95.0	0.00	2.54	0.00	2.54
07/01/2018	#08190124422	MEDWED, STEVEN MASSACHUSETTS INVESTORS GROWTH STOC	0.00	395.49	95.0	0.00	375.72	0.00	375.72
07/01/2018	#08184503338	DEFER, DUANE MASSACHUSETTS INVESTORS TRUST-CL A	0.00	6.39	95.0	0.00	6.07	0.00	6.07
07/01/2018	#08184503342	DEFER, MICHELLE MASSACHUSETTS INVESTORS TRUST-CL A	0.00	97.17	95.0	0.00	92.31	0.00	92.31
07/01/2018	#08400335607	GIFT TO MIN ACT MFS CORE EQUITY FD CL A	0.00	0.81	95.0	0.00	0.77	0.00	0.77
07/01/2018	#06000365753	BENNETT, MARK MFS GROWTH FUND CL A	0.00	37.21	95.0	0.00	35.35	0.00	35.35

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/01/2018	#08190124422	MEDWED, STEVEN	MFS INTERNATIONAL GROWTH FUND-A	0.00	119.62	95.0	0.00	113.64	113.64
07/01/2018	#08190124422	MEDWED, STEVEN	MFS SER TR X INTL VALUE FD CL A	0.00	71.36	95.0	0.00	67.79	67.79
07/01/2018	#08190124422	MEDWED, STEVEN	MFS VALUE FUND A	0.00	150.93	95.0	0.00	143.38	143.38
07/03/2018	#06500259248	FARMER, WILMA	89355J565	0.00	13.00	95.0	0.00	12.35	12.35
07/03/2018	#00009103933	KLINE, MARY	FOCUSED ALPHA GROWTH FUND CLASS A	0.00	3.66	95.0	0.00	3.48	3.48
07/03/2018	#00009198439		FOCUSED ALPHA GROWTH FUND CLASS A	0.00	0.44	95.0	0.00	0.42	0.42
07/03/2018	#00009192078		FOCUSED ALPHA LARGE-CAP FUND CLASS	0.00	10.83	95.0	0.00	10.29	10.29
07/03/2018	#00099525530	VARIOUS HOUSE	INTERMEDIATE MUNI	0.00	132.00	95.0	0.00	125.40	125.40
07/03/2018	#00711186303	FRANKFORT,	PIONEER 72366V108	0.00	0.03	95.0	0.00	0.03	0.03
07/03/2018	#06202503302		PIONEER 723709101	0.00	0.78	95.0	0.00	0.74	0.74
07/03/2018	#00956609339		PIONEER 724010103	0.00	24.11	95.0	0.00	22.90	22.90
07/03/2018	#00957003044		PIONEER 724010103	0.00	5.63	95.0	0.00	5.35	5.35
07/03/2018	#00957211220		PIONEER 724010103	0.00	2.32	95.0	0.00	2.20	2.20
07/03/2018	#00965282058		PIONEER 724010103	0.00	2.25	95.0	0.00	2.14	2.14
07/03/2018	#00902211716		PIONEER FUND INC-CL A	0.00	1.31	95.0	0.00	1.24	1.24
07/03/2018	#00080614888		PIONEER GROWTH OPPORTUNITIES FUND A	0.00	1.05	95.0	0.00	1.00	1.00
07/03/2018	#00080643994		PIONEER GROWTH OPPORTUNITIES FUND A	0.00	93.27	95.0	0.00	88.61	88.61
07/03/2018	#00711184550	DONOFRIO,	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	13.21	95.0	0.00	12.55	12.55
07/03/2018	#06003925317	TIMKO, ANGELA	PRINCIPAL INVS FD INC	0.00	1.90	95.0	0.00	1.81	1.81
07/03/2018	#06004071921	STEELE, JOHN	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	99.32	95.0	0.00	94.35	94.35
07/03/2018	#00099525530	VARIOUS HOUSE	TRANSAMERICA DIVERSIFIED EQUITY-T	0.00	102.84	95.0	0.00	97.70	97.70
07/03/2018	#00099555485	ERB, TINA	TRANSAMERICA DIVERSIFIED EQUITY-T	0.00	19.41	95.0	0.00	18.44	18.44
07/03/2018	#00099563512	HOLLO, JOYCE	TRANSAMERICA DIVERSIFIED EQUITY-T	0.00	3.08	95.0	0.00	2.93	2.93
07/03/2018	#00099567388	DOPP-MEGHR	TRANSAMERICA DIVERSIFIED EQUITY-T	0.00	1.22	95.0	0.00	1.16	1.16
07/03/2018	#00099621459	NESSSEL, ERIC D	TRANSAMERICA DIVERSIFIED EQUITY-T	0.00	24.18	95.0	0.00	22.97	22.97
07/03/2018	#00099678292	, CLARKKROBI	TRANSAMERICA DIVERSIFIED EQUITY-T	0.00	6.89	95.0	0.00	6.55	6.55
07/03/2018	#00099691263	ROLKA,	TRANSAMERICA DIVERSIFIED EQUITY-T	0.00	1.38	95.0	0.00	1.31	1.31
07/03/2018	#00099833004	BANKS, ETHEL	TRANSAMERICA DIVERSIFIED EQUITY-T	0.00	6.10	95.0	0.00	5.80	5.80
07/03/2018	#00099939121	KONRARALPE	TRANSAMERICA DIVERSIFIED EQUITY-T	0.00	0.01	95.0	0.00	0.01	0.01
07/03/2018	#06500006901	DEWAESHARA	TRANSAMERICA DIVERSIFIED EQUITY-T	0.00	0.01	95.0	0.00	0.01	0.01
07/03/2018	#00099525530	VARIOUS HOUSE	TRANSAMERICA EMERGING MARKETS DEBT-	0.00	60.90	95.0	0.00	57.86	57.86
07/03/2018	#00099525530	VARIOUS HOUSE	TRANSAMERICA SHORT-TERM BOND CLASS	0.00	264.68	95.0	0.00	251.45	251.45
07/03/2018	#00099525530	VARIOUS HOUSE	TRANSAMERICA SMALL/MID CAP VALUE CL	0.00	57.86	95.0	0.00	54.97	54.97
07/09/2018	#00090156193	DAVIS, LAURIN	DEUTSCHE CAPITAL GROWTH FUND CL A	0.00	0.01	95.0	0.00	0.01	0.01
07/09/2018	#00133422677	HOLLA, KATHY	DEUTSCHE CORE EQUITY	0.00	6.61	95.0	0.00	6.28	6.28
07/09/2018	#00001481157	FRANK, ALLI	DEUTSCHE CROCI EQUITY DIVIDEND FUND	0.00	23.30	95.0	0.00	22.14	22.14

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/09/2018	#00026090859	FEIGLEY, DEUTSCHE CROCI EQUITY DIVIDEND FUND	0.00	1.75	95.0	0.00	1.66	0.00	1.66
07/09/2018	#00003852151	MEDWED, STEVEN DEUTSCHE MARKET TRUST	0.00	98.14	95.0	0.00	93.23	0.00	93.23
07/09/2018	#00026016481	FRANK, RICH DEUTSCHE MARKET TRUST	0.00	19.63	95.0	0.00	18.65	0.00	18.65
07/09/2018	#00028004360	TALBO, CLINT DEUTSCHE MARKET TRUST	0.00	1.92	95.0	0.00	1.82	0.00	1.82
07/09/2018	#00028009173	MEDWED, STEVEN DEUTSCHE SHORT DURATION	0.00	111.87	95.0	0.00	106.28	0.00	106.28
07/09/2018	#00003852151	MEDWED, STEVEN DEUTSCHE SHORT TERM MUNI	0.00	197.78	95.0	0.00	187.89	0.00	187.89
07/09/2018	#00003852151	MEDWED, STEVEN DEUTSCHE SMALL CAP GROWTH	0.00	131.07	95.0	0.00	124.52	0.00	124.52
07/09/2018	#00133332832	MANN, LOUIS DEUTSCHE SMALL CAP GROWTH	0.00	34.68	95.0	0.00	32.95	0.00	32.95
07/09/2018	#00026113363	MEDWED, STEVEN DEUTSCHE TOTAL RETURN BOND	0.00	121.82	95.0	0.00	115.73	0.00	115.73
07/09/2018	#00133308753	NESSEL, ERIC D DWS 25157M778	0.00	79.90	95.0	0.00	75.91	0.00	75.91
07/09/2018	#00026033210	FARNUM, BARBARA DWS 25159K820	0.00	10.21	95.0	0.00	9.70	0.00	9.70
07/09/2018	#00026261449	FRANKFORT, DWS 25159K820	0.00	8.87	95.0	0.00	8.43	0.00	8.43
07/09/2018	#00028008055	FORD, RUBY DWS 25159K820	0.00	7.90	95.0	0.00	7.51	0.00	7.51
07/09/2018	#00133326111	ALLEN, M DWS 25159K820	0.00	4.46	95.0	0.00	4.24	0.00	4.24
07/09/2018	#00026115514	RUSKOWSKI, DWS SCUDDER	0.00	21.11	95.0	0.00	20.05	0.00	20.05
07/13/2018	#00036043573	VARIOUS MEDWED IVY HIGH INCOME B	0.00	43.41	95.0	0.00	41.24	0.00	41.24
07/13/2018	#04100479934	VARIOUS MEDWED WADDELL & REED 46600G879	0.00	3.45	95.0	0.00	3.28	0.00	3.28
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$4,653.16		\$0.00	\$4,420.58	\$0.00	\$4,420.58
*** BROKER TRANSACTIONS TOTAL ***			\$347,835.11	\$5,447.11		\$0.00	\$5,174.84	\$0.00	\$5,174.84

ADJUSTMENTS

08/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
08/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,174.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,675.84
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