



Concorde Investment Services, LLC

08/14/2018

Page No. 1

COMMISSION STATEMENT**August 15, 2018**

JEFF/JASON, K148 KAUFMAN/KEMBLE

BROKER #: K148

BRANCH: JEFFREY KAUFMAN

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
^07/27/2018	BAILEY, WILLIAM	AM FUNDS AMERICAN BALANCED 529C	1,000.00	10.00	0.00	10.00	100.0	0.00
^07/27/2018	BAILEY, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA 529	500.00	5.00	0.00	5.00	100.0	0.00
* 529 PLANS SUBTOTAL *			\$1,500.00	\$15.00	\$0.00	\$15.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^07/25/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	29.58	0.30	0.00	0.30	100.0	0.00
^07/25/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	29.58	0.30	0.00	0.30	100.0	0.00
^07/27/2018	BAILEY, NELDA	AM FUNDS AMERICAN BALANCED A	14,500.00	398.75	0.00	398.75	100.0	0.00
^08/01/2018	SWEET, LYNNE	AM FUNDS AMERICAN BALANCED A	2,000.00	75.00	0.00	75.00	100.0	0.00
^07/23/2018	HAUSER, JOHN	AM FUNDS AMERICAN BALANCED C	1,000.00	10.00	0.00	10.00	100.0	0.00
^07/25/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.28	0.00	0.28	100.0	0.00
^07/25/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.28	0.00	0.28	100.0	0.00
^07/25/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	76.39	0.76	0.00	0.76	100.0	0.00
^07/25/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	76.39	0.76	0.00	0.76	100.0	0.00
^07/23/2018	GUIER, BETHANY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	0.00
^07/25/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	46.44	0.46	0.00	0.46	100.0	0.00
^07/25/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	46.44	0.46	0.00	0.46	100.0	0.00
^07/25/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	60.00	0.60	0.00	0.60	100.0	0.00
^07/25/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	60.00	0.60	0.00	0.60	100.0	0.00
^07/25/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.00	0.24	0.00	0.24	100.0	0.00
^07/25/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.18	0.00	0.18	100.0	0.00
^07/25/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	71.88	0.72	0.00	0.72	100.0	0.00
^07/25/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	71.88	0.72	0.00	0.72	100.0	0.00
^07/25/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.12	0.00	0.12	100.0	0.00
^07/25/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.12	0.00	0.12	100.0	0.00
^07/25/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.29	0.00	0.29	100.0	0.00
^07/25/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.29	0.00	0.29	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
^07/25/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	56.31	0.56	0.00	0.56	100.0	0.00
^07/25/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	56.31	0.56	0.00	0.56	100.0	0.00
^07/25/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	44.06	0.44	0.00	0.44	100.0	0.00
^07/25/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	44.06	0.44	0.00	0.44	100.0	0.00
^07/25/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	27.29	0.27	0.00	0.27	100.0	0.00
^07/25/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	27.29	0.27	0.00	0.27	100.0	0.00
^07/25/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	63.16	0.63	0.00	0.63	100.0	0.00
^07/25/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	27.07	0.27	0.00	0.27	100.0	0.00
^07/25/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	5.99	0.06	0.00	0.06	100.0	0.00
^07/25/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	5.99	0.06	0.00	0.06	100.0	0.00
^07/25/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	49.28	0.49	0.00	0.49	100.0	0.00
^07/25/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	49.28	0.49	0.00	0.49	100.0	0.00
^07/25/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	65.95	0.66	0.00	0.66	100.0	0.00
^07/25/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	65.95	0.66	0.00	0.66	100.0	0.00
^07/25/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.43	0.00	0.43	100.0	0.00
^07/25/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.43	0.00	0.43	100.0	0.00
^07/25/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.31	0.00	0.31	100.0	0.00
^07/25/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.31	0.00	0.31	100.0	0.00
^07/25/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	40.32	0.40	0.00	0.40	100.0	0.00
^07/25/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	40.32	0.40	0.00	0.40	100.0	0.00
^07/25/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	11.45	0.00	11.45	100.0	0.00
^07/30/2018	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	100.0	0.00
^07/31/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	2.17	0.11	0.00	0.11	100.0	0.00
^07/31/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	2.17	0.11	0.00	0.11	100.0	0.00
^08/01/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	87.39	1.05	0.00	1.05	100.0	0.00
^08/01/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	52.44	0.63	0.00	0.63	100.0	0.00
^08/01/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	113.50	1.36	0.00	1.36	100.0	0.00
^08/01/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	113.50	1.36	0.00	1.36	100.0	0.00
^08/01/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	93.62	1.12	0.00	1.12	100.0	0.00
^08/01/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	93.62	1.12	0.00	1.12	100.0	0.00
^07/25/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	203.93	2.04	0.00	2.04	100.0	0.00
^07/25/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	61.18	0.61	0.00	0.61	100.0	0.00
^07/23/2018	GUIER, BETHANY	AM FUNDS ICA A	50.00	2.50	0.00	2.50	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^07/25/2018	ZELLMER, RIANNON	AM FUNDS ICA A	23.12	0.23	0.00	0.23	100.0	0.00
^07/25/2018	ZELLMER, RIANNON	AM FUNDS ICA A	23.12	0.23	0.00	0.23	100.0	0.00
^07/25/2018	WAHLIG, KACEY	AM FUNDS ICA A	29.59	0.30	0.00	0.30	100.0	0.00
^07/25/2018	WAHLIG, KACEY	AM FUNDS ICA A	29.59	0.30	0.00	0.30	100.0	0.00
^07/25/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	19.10	0.19	0.00	0.19	100.0	0.00
^07/25/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	19.10	0.19	0.00	0.19	100.0	0.00
^08/01/2018	HAGUE, VICTORIA	AM FUNDS ICA A	1,033.33	12.40	0.00	12.40	100.0	0.00
^08/01/2018	HAGUE, VICTORIA	AM FUNDS ICA A	75.12	0.90	0.00	0.90	100.0	0.00
^07/25/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	48.16	0.48	0.00	0.48	100.0	0.00
^07/25/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	48.16	0.48	0.00	0.48	100.0	0.00
^07/25/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	312.85	3.13	0.00	3.13	100.0	0.00
^07/25/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	1.23	0.00	1.23	100.0	0.00
^08/01/2018	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	2.50	0.00	2.50	100.0	0.00
^07/25/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.00	0.24	0.00	0.24	100.0	0.00
^07/25/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.00	0.18	0.00	0.18	100.0	0.00
^07/25/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	79.29	0.79	0.00	0.79	100.0	0.00
^07/25/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	47.58	0.48	0.00	0.48	100.0	0.00
^07/25/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	19.10	0.19	0.00	0.19	100.0	0.00
^07/25/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	19.10	0.19	0.00	0.19	100.0	0.00
^07/25/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.28	0.00	0.28	100.0	0.00
^07/25/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.28	0.00	0.28	100.0	0.00
^08/01/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	258.33	3.10	0.00	3.10	100.0	0.00
^08/01/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	18.78	0.23	0.00	0.23	100.0	0.00
^08/01/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	87.40	1.05	0.00	1.05	100.0	0.00
^08/01/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	52.43	0.63	0.00	0.63	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$22,833.54	\$560.53	\$0.00	\$560.53		\$0.00

PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE

^07/20/2018	CARSON, SEDEL	PAC LIFE VUL	55.00	0.55	0.00	0.55	100.0	0.00
^07/20/2018	CARSON, MATTHEW	PAC LIFE VUL	7.57	0.08	0.00	0.08	100.0	0.00
^07/30/2018	CARSON, MATTHEW	PAC LIFE VUL	142.43	14.96	0.00	14.96	100.0	0.00
^07/30/2018	CARSON, SEDEL	PAC LIFE VUL	55.00	0.55	0.00	0.55	100.0	0.00
^07/30/2018	CARSON, MATTHEW	PAC LIFE VUL	7.57	0.08	0.00	0.08	100.0	0.00
^07/30/2018	CARSON, MATTHEW	PAC LIFE VUL	142.43	14.96	0.00	14.96	100.0	0.00

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DIRECT TRANSACTIONS

PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE

^08/02/2018 MCNERNEY, DASAN		PAC LIFE VUL	200.00	6.00	0.00	6.00	100.0	0.00
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$610.00	\$37.18	\$0.00	\$37.18		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$24,943.54	\$612.71	\$0.00	\$612.71		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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