

All Companies
STATEMENT
August 20, 2018

RICHARD KLEIN - LPCM
 24 Aster Court

BROKER #: 3292
 BRANCH: LIBERTY PARTNERS CAP

Commack, NY 11725-

COMMISSION STATEMENT for RICHARD KLEIN - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
08/01/2018 #538462	TCA KLEIN VAR	LPCM AGGR 40/40/20	B	106,935.88	218.33	0.00	0.00	218.33	85.0	218.33
08/01/2018 #538462	TCA KLEIN VAR	LPCM AGGR 40/40/20	B	106,935.88	-53.46	0.00	0.00	-53.46	85.0	-53.46
08/01/2018 #538462	TCA KLEIN VAR	LPCM MOD 30/30/40	B	106,507.36	217.45	0.00	0.00	217.45	85.0	217.45
08/01/2018 #538462	TCA KLEIN VAR	LPCM MOD 30/30/40	B	106,507.36	-53.25	0.00	0.00	-53.25	85.0	-53.25
* RIA SUBTOTAL *				\$426,886.48	\$329.07	\$0.00	\$0.00	\$329.07		\$329.07
*** BROKER TRANSACTIONS TOTAL ***				\$426,886.48	\$329.07	\$0.00	\$0.00	\$329.07		\$329.07

BROKER COMMISSION TOTALS

Broker Transactions	\$329.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$329.07
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