

# Bill Payment Stub

Check Date:	6/7/2018
Check No.:	41524
Check Amount:	2,734.56

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: CHIP KLEINBROOK  
2817 S. MILFORD RD., STE 101  
HIGHLAND, MI 48357

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
5/31/2018	Bill	COMMISSIONS	2,854.56	2,854.56		2,854.56
6/1/2018	Credit	E&O	-120.00	-120.00		-120.00

# COMMISSION STATEMENT May 31, 2018

CHIP KLEINBROOK-D037

BROKER #: D037

BRANCH: DMN

, TX

## COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

05/18/2018	338869707	CHHEN, ALEX	MF	PUTNAM ABSOLUTE RETURN 700 FD	S	4,402.45	44.00	5.00	39.00	80.0	31.20
05/04/2018	368460213	KONCHEL, RAYMOND	MF	FIDELITY SMALL CAP GROWTH FD	B	-1,977.55	0.00	5.00	-5.00	80.0	-4.00
05/23/2018	368460858	KROLL, RICHARD	MF	PIMCO TOTAL RETURN FD CL C	B	-30,977.55	0.00	5.00	-5.00	80.0	-4.00
05/15/2018	460685869	TEE, SANDRA LARSON	MF	NUVEEN MI MUNI BD C	S	33,002.45	330.00	2.50	327.50	80.0	262.00
* MUTUAL FUNDS SUBTOTAL *						\$4,449.80	\$374.00	\$17.50	\$356.50		\$285.20

#### PRODUCT CATEGORY: MISCELLANEOUS

05/07/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 368460213	S	0.00	20.00	0.00	20.00	80.0	16.00
05/24/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 368460858	S	0.00	20.00	0.00	20.00	80.0	16.00
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$40.00	\$0.00	\$40.00		\$32.00

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/08/2018	mfc587889	KLEINBROOK 587889	MFT	FIDELITY ADVISOR MULTI-ASSET	B	0.00	6.04	0.00	6.04	80.0	4.83
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	AB BALANCED WEALTH STRATEGY A	B	0.00	8.67	0.00	8.67	80.0	6.94
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	AB HIGH INCOME FD C	B	0.00	19.47	0.00	19.47	80.0	15.58
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	AB WEALTH PRES STRAT FD C	B	0.00	53.75	0.00	53.75	80.0	43.00
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	AIG FOCUS DIVIDEND STRAT FD C	B	0.00	29.45	0.00	29.45	80.0	23.56
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	AIG FOCUS DIVIDEND STRAT FD C	B	0.00	58.91	0.00	58.91	80.0	47.13
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.78	0.00	0.78	80.0	0.62
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.88	0.00	0.88	80.0	0.70
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.50	0.00	1.50	80.0	1.20
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	11.22	0.00	11.22	80.0	8.98
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.78	0.00	1.78	80.0	1.42
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	0.35	0.00	0.35	80.0	0.28
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	15.03	0.00	15.03	80.0	12.02
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	1.21	0.00	1.21	80.0	0.97
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	2.61	0.00	2.61	80.0	2.09
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	1.88	0.00	1.88	80.0	1.50
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	3.32	0.00	3.32	80.0	2.66
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN UTILITY	B	0.00	8.08	0.00	8.08	80.0	6.46
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN UTILITY	B	0.00	13.91	0.00	13.91	80.0	11.13
05/21/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GROWTH AND	B	0.00	67.35	0.00	67.35	80.0	53.88

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
05/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	1.54	0.00	1.54	80.0	1.23
05/21/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANZ NFJ SMALL CAP VALUE FD	B	0.00	2.31	0.00	2.31	80.0	1.85
05/21/2018	mfc587889	KLEINBROOK 587889	MFT AMCAP FUND-A	B	0.00	1.22	0.00	1.22	80.0	0.98
05/21/2018	mfc587889	KLEINBROOK 587889	MFT AMERICAN MUTUAL FUND-A	B	0.00	7.68	0.00	7.68	80.0	6.14
05/21/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	14.87	0.00	14.87	80.0	11.90
05/21/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	29.74	0.00	29.74	80.0	23.79
05/21/2018	mfc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER-F1	B	0.00	5.86	0.00	5.86	80.0	4.69
05/21/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	1.09	0.00	1.09	80.0	0.87
05/21/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	2.25	0.00	2.25	80.0	1.80
05/21/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA THERMOSTAT FD A	B	0.00	6.74	0.00	6.74	80.0	5.39
05/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	4.17	0.00	4.17	80.0	3.34
05/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.48	0.00	5.48	80.0	4.38
05/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.49	0.00	5.49	80.0	4.39
05/21/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	6.26	0.00	6.26	80.0	5.01
05/21/2018	mfc587889	KLEINBROOK 587889	MFT EMERALD BANKING & FINANCE FD CL	B	0.00	33.89	0.00	33.89	80.0	27.11
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	23.25	0.00	23.25	80.0	18.60
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	30.31	0.00	30.31	80.0	24.25
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	48.87	0.00	48.87	80.0	39.10
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	0.01	0.00	0.01	80.0	0.01
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	13.77	0.00	13.77	80.0	11.02
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	35.10	0.00	35.10	80.0	28.08
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	5.82	0.00	5.82	80.0	4.66
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	1.00	0.00	1.00	80.0	0.80
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	6.00	0.00	6.00	80.0	4.80
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INC	B	0.00	18.60	0.00	18.60	80.0	14.88
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	3.40	0.00	3.40	80.0	2.72
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	8.75	0.00	8.75	80.0	7.00
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.17	0.00	1.17	80.0	0.94
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.36	0.00	11.36	80.0	9.09
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	18.93	0.00	18.93	80.0	15.14
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	4.16	0.00	4.16	80.0	3.33
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	21.13	0.00	21.13	80.0	16.90
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	23.22	0.00	23.22	80.0	18.58
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	28.64	0.00	28.64	80.0	22.91
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2030	B	0.00	23.46	0.00	23.46	80.0	18.77
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	73.12	0.00	73.12	80.0	58.50
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	3.72	0.00	3.72	80.0	2.98
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	30.68	0.00	30.68	80.0	24.54
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	60.29	0.00	60.29	80.0	48.23
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.11	0.00	2.11	80.0	1.69
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	15.20	0.00	15.20	80.0	12.16
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	16.63	0.00	16.63	80.0	13.30
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	11.91	0.00	11.91	80.0	9.53
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	17.23	0.00	17.23	80.0	13.78

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05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	23.78	0.00	23.78	80.0	19.02
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	28.33	0.00	28.33	80.0	22.66
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	5.22	0.00	5.22	80.0	4.18
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	16.34	0.00	16.34	80.0	13.07
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV GROWTH & INCOME CL	B	0.00	3.74	0.00	3.74	80.0	2.99
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	15.06	0.00	15.06	80.0	12.05
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	32.36	0.00	32.36	80.0	25.89
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	14.29	0.00	14.29	80.0	11.43
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	23.82	0.00	23.82	80.0	19.06
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	31.51	0.00	31.51	80.0	25.21
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MUNI INCOME FD	B	0.00	64.06	0.00	64.06	80.0	51.25
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	13.80	0.00	13.80	80.0	11.04
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	1.00	0.00	1.00	80.0	0.80
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.09	0.00	3.09	80.0	2.47
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	10.79	0.00	10.79	80.0	8.63
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	19.29	0.00	19.29	80.0	15.43
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	52.36	0.00	52.36	80.0	41.89
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR LTD TERM BOND	B	0.00	10.44	0.00	10.44	80.0	8.35
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 60%	B	0.00	55.11	0.00	55.11	80.0	44.09
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	5.50	0.00	5.50	80.0	4.40
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	23.42	0.00	23.42	80.0	18.74
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STK SEL ALL	B	0.00	38.88	0.00	38.88	80.0	31.10
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	5.36	0.00	5.36	80.0	4.29
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	10.22	0.00	10.22	80.0	8.18
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	14.72	0.00	14.72	80.0	11.78
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	62.94	0.00	62.94	80.0	50.35
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	11.55	0.00	11.55	80.0	9.24
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	16.14	0.00	16.14	80.0	12.91
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.55	0.00	1.55	80.0	1.24
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2025	B	0.00	54.83	0.00	54.83	80.0	43.86
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	21.89	0.00	21.89	80.0	17.51
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	39.92	0.00	39.92	80.0	31.94
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	10.50	0.00	10.50	80.0	8.40
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	6.97	0.00	6.97	80.0	5.58
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	2.73	0.00	2.73	80.0	2.18
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.21	0.00	6.21	80.0	4.97
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.30	0.00	6.30	80.0	5.04
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	9.13	0.00	9.13	80.0	7.30
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	13.40	0.00	13.40	80.0	10.72
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	21.06	0.00	21.06	80.0	16.85
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	27.00	0.00	27.00	80.0	21.60
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	36.19	0.00	36.19	80.0	28.95
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	78.14	0.00	78.14	80.0	62.51
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	80.14	0.00	80.14	80.0	64.11

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05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	81.60	0.00	81.60	80.0	65.28
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI INSD TAX FREE	B	0.00	0.96	0.00	0.96	80.0	0.77
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	7.32	0.00	7.32	80.0	5.86
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	41.63	0.00	41.63	80.0	33.30
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	82.77	0.00	82.77	80.0	66.22
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	6.15	0.00	6.15	80.0	4.92
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	6.33	0.00	6.33	80.0	5.06
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	53.12	0.00	53.12	80.0	42.50
05/21/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	3.74	0.00	3.74	80.0	2.99
05/21/2018	mfc587889	KLEINBROOK 587889	MFT GEORGE PUTNAM BALANCED FUND-A	B	0.00	0.62	0.00	0.62	80.0	0.50
05/21/2018	mfc587889	KLEINBROOK 587889	MFT GUGGENHEIM STYLEPLUS LARGE CORE	B	0.00	51.39	0.00	51.39	80.0	41.11
05/21/2018	mfc587889	KLEINBROOK 587889	MFT JOHN HANCOCK STRATEGIC GROWTH A	B	0.00	5.63	0.00	5.63	80.0	4.50
05/21/2018	mfc587889	KLEINBROOK 587889	MFT MAINSTAY TAX FREE BOND FD CL A	B	0.00	1.40	0.00	1.40	80.0	1.12
05/21/2018	mfc587889	KLEINBROOK 587889	MFT MERGER FD	B	0.00	0.77	0.00	0.77	80.0	0.62
05/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	12.03	0.00	12.03	80.0	9.62
05/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	28.94	0.00	28.94	80.0	23.15
05/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	4.44	0.00	4.44	80.0	3.55
05/21/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-C	B	0.00	18.97	0.00	18.97	80.0	15.18
05/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.04	0.00	0.04	80.0	0.03
05/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.08	0.00	0.08	80.0	0.06
05/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.13	0.00	0.13	80.0	0.10
05/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.90	0.00	0.90	80.0	0.72
05/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	18.55	0.00	18.55	80.0	14.84
05/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	73.42	0.00	73.42	80.0	58.74
05/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	179.03	0.00	179.03	80.0	143.22
05/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD FD CL A	B	0.00	0.06	0.00	0.06	80.0	0.05
05/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.05	0.00	0.05	80.0	0.04
05/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.07	0.00	0.07	80.0	0.06
05/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.07	0.00	0.07	80.0	0.06
05/21/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.07	0.00	0.07	80.0	0.06
05/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC CORE INCOME FD C	B	0.00	0.69	0.00	0.69	80.0	0.55
05/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC SHORT DURATION INC FD C	B	0.00	0.46	0.00	0.46	80.0	0.37
05/21/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC STRATEGIC INCOME FD C	B	0.00	1.12	0.00	1.12	80.0	0.90
05/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	0.46	0.00	0.46	80.0	0.37
05/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	4.72	0.00	4.72	80.0	3.78
05/21/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	16.66	0.00	16.66	80.0	13.33
05/21/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL QMA MIDCAP VALUE FD	B	0.00	15.14	0.00	15.14	80.0	12.11
05/21/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	47.91	0.00	47.91	80.0	38.33
05/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746444108	B	0.00	2.89	0.00	2.89	80.0	2.31
05/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	3.30	0.00	3.30	80.0	2.64
05/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	19.01	0.00	19.01	80.0	15.21
05/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746802206	B	0.00	5.83	0.00	5.83	80.0	4.66
05/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	3.29	0.00	3.29	80.0	2.63
05/21/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	10.64	0.00	10.64	80.0	8.51

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/21/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.25	0.00	0.25	80.0	0.20
05/21/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.49	0.00	1.49	80.0	1.19
05/21/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	2.44	0.00	2.44	80.0	1.95
05/21/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	7.13	0.00	7.13	80.0	5.70
05/21/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.51	0.00	9.51	80.0	7.61
05/21/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.63	0.00	9.63	80.0	7.70
05/21/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	18.01	0.00	18.01	80.0	14.41
05/21/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	36.60	0.00	36.60	80.0	29.28
05/21/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	60.57	0.00	60.57	80.0	48.46
05/21/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	66.28	0.00	66.28	80.0	53.02
05/21/2018 mfc587889	KLEINBROOK 587889	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	0.63	0.00	0.63	80.0	0.50
05/21/2018 mfc587889	KLEINBROOK 587889	MFT TEMP GRWTH FND - CL C	B	0.00	17.78	0.00	17.78	80.0	14.22
05/21/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	3.68	0.00	3.68	80.0	2.94
05/21/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	9.50	0.00	9.50	80.0	7.60
05/21/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.63	0.00	7.63	80.0	6.10
05/21/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.64	0.00	7.64	80.0	6.11
05/21/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	30.33	0.00	30.33	80.0	24.26
05/21/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.37	0.00	4.37	80.0	3.50
05/21/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.79	0.00	4.79	80.0	3.83
05/21/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	11.16	0.00	11.16	80.0	8.93
05/21/2018 mfc587889	KLEINBROOK 587889	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.43	0.00	7.43	80.0	5.94
05/21/2018 mfc587889	KLEINBROOK 587889	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.65	0.00	6.65	80.0	5.32
05/21/2018 mfc587889	KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	B	0.00	1.23	0.00	1.23	80.0	0.98
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3,158.83	\$0.00	\$3,158.83		\$2,527.05
*** CLEARING TRANSACTIONS TOTAL ***				\$4,449.80	\$3,572.83	\$17.50	\$3,555.33		\$2,844.25

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

05/15/2018 (None)	VARIOUS, KLEINBROOK	MF FIDELITY ADVISOR FDS	B	0.00	13.51	0.00	13.51	80.0	10.81
05/15/2018 (None)	JACOBFADI	MF PRUDENTIAL MUTUAL FUNDS	B	50.00	0.50	0.00	0.50	80.0	0.40
05/10/2018 000000000221299	FURMAN, VICKI	MF FRANKLIN MI TAX FR INCOME FUND	B	200.00	2.00	0.00	2.00	80.0	1.60
05/15/2018 00089905459	CHHEN, KIMBERLY	MF AMERICAN BALANCED FUND-C	B	450.00	4.50	0.00	4.50	80.0	3.60
* MUTUAL FUNDS SUBTOTAL *				\$700.00	\$20.51	\$0.00	\$20.51		\$16.41

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/30/2018 (None)	CHHEN, JEAN	MFT BLACKROCK	B	0.00	26.57	0.00	26.57	80.0	21.26
04/30/2018 (None)	CHHEN, JEAN	MFT BLACKROCK	B	0.00	8.86	0.00	8.86	80.0	7.09
05/03/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA LARGE CAP GROWTH FUND-C	B	0.00	1.86	0.00	1.86	80.0	1.49
05/03/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA MIDCAP GROWTH FUND-C	B	0.00	3.76	0.00	3.76	80.0	3.01
05/03/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	6.44	0.00	6.44	80.0	5.15
05/03/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA SELIGMAN GLOBAL	B	0.00	2.72	0.00	2.72	80.0	2.18
05/03/2018 08697829969	KAREN A ZAJAC,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	4.78	0.00	4.78	80.0	3.82

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/03/2018	08697829969 KAREN A ZAJAC,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	6.13	0.00	6.13	80.0	4.90
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$61.12	\$0.00	\$61.12		\$48.90
*** DIRECT TRANSACTIONS TOTAL ***				\$700.00	\$81.63	\$0.00	\$81.63		\$65.31

ADJUSTMENTS

05/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
05/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-55.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,909.56
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,854.56
Year-to-date Commissions	\$39,991.84