



Concorde Investment Services, LLC

01/27/2017
Page No. 1

COMMISSION STATEMENT

January 31, 2017

JASON KEMBLE
4106 E. Doe Creek Drive

Columbia, MO 65202-

BROKER #: K146
BRANCH: JEFFREY KAUFMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	200.00	10.00	0.00	10.00	90.0	9.00
01/10/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	200.00	10.00	0.00	10.00	90.0	9.00
* MUTUAL FUNDS SUBTOTAL *			\$400.00	\$20.00	\$0.00	\$20.00		\$18.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/09/2017	JONES, JUDY	PAC LIFE PACIFIC CHOICE	0.00	4,611.16	0.00	4,611.16	90.0	4,150.04
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$4,611.16	\$0.00	\$4,611.16		\$4,150.04
*** BROKER TRANSACTIONS TOTAL ***			\$400.00	\$4,631.16	\$0.00	\$4,631.16		\$4,168.04
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
01/17/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	275.06	6.19	0.00	6.19	90.0	5.57
01/17/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	1,600.00	36.00	0.00	36.00	90.0	32.40
01/17/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	77.50	0.35	0.00	0.35	90.0	0.32
01/17/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	46.50	0.21	0.00	0.21	90.0	0.19
01/17/2017	HAGUE, VICTORIA	AM FUNDS ICA A	400.00	1.80	0.00	1.80	90.0	1.62
01/17/2017	HAGUE, VICTORIA	AM FUNDS ICA A	63.84	0.29	0.00	0.29	90.0	0.26
01/17/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	100.00	0.45	0.00	0.45	90.0	0.41
01/17/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	15.96	0.07	0.00	0.07	90.0	0.06
01/17/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	77.50	0.35	0.00	0.35	90.0	0.32
01/17/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	46.50	0.21	0.00	0.21	90.0	0.19
01/17/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	68.76	1.55	0.00	1.55	90.0	1.40
01/17/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	9.00	0.00	9.00	90.0	8.10
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$3,171.62	\$56.47	\$0.00	\$56.47		\$50.84
*** SPLIT TRANSACTIONS TOTAL ***			\$3,171.62	\$56.47	\$0.00	\$56.47		\$50.84

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$4,168.04
Split Transactions	\$50.84
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,218.88
Year-to-date Gross	\$5,121.77
Year-to-date Earnings	\$4,609.60