

All Companies
STATEMENT
June 20, 2018

BRYAN DUCKETT
11761 Connecticut Dr

BROKER #: 009
BRANCH: LA BRANCH

Rancho Cucamonga, CA 91730-

COMMISSION STATEMENT for BRYAN DUCKETT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
05/05/0018 #81984090101	HOPSON, ERNEST	COLLEGE BOUND 76220Y679	B	50.00	0.20	0.00	0.00	0.20	88.0	0.18
05/17/2018 #00089506143	UHLSSCOTT	AMERICAN FUNDS 02629M58	B	100.00	3.50	0.00	0.00	3.50	88.0	3.08
* MUTUAL FUNDS SUBTOTAL *				\$150.00	\$3.70	\$0.00	\$0.00	\$3.70		\$3.26

PRODUCT CATEGORY: STOCKS

04/30/2018 #	HOLCOMB, TIM	ABBVIE INC	S	4,852.13	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	MCLAIN, TERRI	ISHARES NASDAQ BIOTECHNOLOGY INDEX	S	310.92	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	MCLAIN, DALE	POWERSHARES EXCHANGE TRADED FD	S	7,921.83	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	MCLAIN, TERRI	POWERSHARES EXCHANGE TRADED FD	S	621.68	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	CAGANAP, DANIEL	POWERSHARES EXCHANGE TRADED FD	S	9,325.20	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	MCLAIN, DALE	POWERSHARES QQQ TRUST	S	8,095.00	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	MCLAIN, TERRI	POWERSHARES QQQ TRUST	S	1,133.72	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	SINGLETON, SEAN	POWERSHARES QQQ TRUST	S	1,296.32	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	EILEFSON, KYLE	POWERSHARES QQQ TRUST	S	809.05	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	MCLAIN, DALE	SECTOR SPDR - FINANCIAL	B	13,598.34	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	MCLAIN, TERRI	SECTOR SPDR - FINANCIAL	B	630.89	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	CAGANAP, DANIEL	SECTOR SPDR - FINANCIAL	B	5,702.53	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	MCLAIN, DALE	SPDR SER TR S&P RETAIL ETF	S	3,509.42	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	SINGLETON, SEAN	SPDR SER TR S&P RETAIL ETF	S	1,216.42	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	CAGANAP, DANIEL	SPDR SER TR S&P RETAIL ETF	S	5,495.29	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	EILEFSON, KYLE	SPDR SER TR S&P RETAIL ETF	S	764.19	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	MCLAIN, TERRI	VANGUARD LONG TERM BOND ETF	B	968.00	0.00	9.95	0.00	-9.95	88.0	-8.76
05/01/2018 #	MCLAIN, DALE	VANGUARD SHORT TERM BOND ETF	S	5,066.75	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, DAVID	ISHARES NASDAQ BIOTECHNOLOGY INDEX	S	4,963.20	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, NANCY	ISHARES U S UTILITIES ETF	S	3,250.75	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, DAVID	POWERSHARES EXCHANGE TRADED FD	S	9,948.80	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, DAVID	POWERSHARES EXCHANGE TRADED FD	S	12,440.80	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, NANCY	POWERSHARES QQQ TRUST	S	23,262.53	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, DAVID	SECTOR SPDR - FINANCIAL	B	16,778.16	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, DAVID	SECTOR SPDR - FINANCIAL	B	6,287.60	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, NANCY	SECTOR SPDR - FINANCIAL	B	7,022.78	0.00	9.95	0.00	-9.95	88.0	-8.76

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
05/02/2018 #	MILLER, DAVID	SELECT SECTOR SPDR FUND	B	10,259.12	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, NANCY	SELECT SECTOR SPDR TRUST SHS BEN IN B	B	8,150.81	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, NANCY	SPDR SER TR S&P RETAIL ETF	B	3,866.44	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, NANCY	VANGUARD INTERMEDIATE TERM BOND ETF	B	5,875.03	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, DAVID	VANGUARD LONG TERM BOND ETF	B	37,920.89	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, DAVID	VANGUARD LONG TERM BOND ETF	B	10,213.25	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, NANCY	VANGUARD LONG TERM BOND ETF	B	12,146.99	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, NANCY	VANGUARD SCOTTSDALE FUNDS VANGUARD	B	10,016.85	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, NANCY	VANGUARD SHORT TERM BOND ETF	B	14,195.09	0.00	9.95	0.00	-9.95	88.0	-8.76
05/02/2018 #	MILLER, NANCY	VANGUARD TOTAL INTERNATIONAL BOND E B	B	12,106.77	0.00	9.95	0.00	-9.95	88.0	-8.76
05/03/2018 #	EILEFSON, KYLE	ISHARES MORNINGSTAR SMALL CAP ETF	B	1,534.59	0.00	9.95	0.00	-9.95	88.0	-8.76
05/03/2018 #	EILEFSON, KYLE	SECTOR SPDR - FINANCIAL	B	2,341.17	0.00	9.95	0.00	-9.95	88.0	-8.76
05/03/2018 #	EILEFSON, KYLE	VANGUARD INDEX FUNDS VANGUARD TOTAL B	B	1,896.02	0.00	9.95	0.00	-9.95	88.0	-8.76
05/03/2018 #	EILEFSON, KYLE	VANGUARD INTERMEDIATE TERM BOND ETF	B	1,289.44	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #	LABRECQUE, LANCE	ISHARES MORNINGSTAR SMALL CAP ETF	B	7,129.20	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #		ISHARES MORNINGSTAR SMALL CAP ETF	B	1,960.86	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #	LABRECQUE, LANCE	POWERSHARES EXCHANGE TRADED FD	B	5,334.45	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #		POWERSHARES EXCHANGE TRADED FD	B	1,131.27	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #	LABRECQUE, LANCE	POWERSHARES QQQ TRUST	B	8,101.20	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #		POWERSHARES QQQ TRUST	B	2,869.26	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #	LABRECQUE, LANCE	SECTOR SPDR - FINANCIAL	B	7,146.63	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #		SECTOR SPDR - FINANCIAL	B	2,325.66	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #	LABRECQUE, LANCE	SECTOR SPDR TRUST	B	6,237.45	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #		SECTOR SPDR TRUST	B	1,427.85	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #	LABRECQUE, LANCE	SELECT SECTOR SPDR FUND	B	4,429.64	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #		SELECT SECTOR SPDR FUND	B	1,792.61	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #	LABRECQUE, LANCE	SELECT SECTOR SPDR TRUST SHS BEN IN B	B	4,428.32	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #		SELECT SECTOR SPDR TRUST SHS BEN IN B	B	855.14	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #	LABRECQUE, LANCE	SPDR SER TR S&P RETAIL ETF	B	1,803.26	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #	LABRECQUE, LANCE	VANGUARD INDEX FUNDS VANGUARD TOTAL B	B	11,530.84	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #		VANGUARD INDEX FUNDS VANGUARD TOTAL B	B	2,672.16	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #	LABRECQUE, LANCE	VANGUARD INTERMEDIATE TERM BOND ETF	B	2,655.84	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #		VANGUARD INTERMEDIATE TERM BOND ETF	B	1,770.56	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #	LABRECQUE, LANCE	VANGUARD LONG TERM BOND ETF	B	5,341.15	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #		VANGUARD LONG TERM BOND ETF	B	3,502.40	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #	LABRECQUE, LANCE	VANGUARD SCOTTSDALE FUNDS VANGUARD	B	4,445.69	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #		VANGUARD SCOTTSDALE FUNDS VANGUARD	B	1,481.90	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #	LABRECQUE, LANCE	VANGUARD SHORT TERM BOND ETF	B	6,244.80	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #		VANGUARD SHORT TERM BOND ETF	B	1,717.32	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #	LABRECQUE, LANCE	VANGUARD TAX-MANAGED FD MSCI EAFE E B	B	2,656.18	0.00	9.95	0.00	-9.95	88.0	-8.76

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
05/23/2018 #		VANGUARD TAX-MANAGED FD MSCI EAFE E B		855.38	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #	LABRECQUE, LANCE	VANGUARD TOTAL INTERNATIONAL BOND E B		5,335.12	0.00	9.95	0.00	-9.95	88.0	-8.76
05/23/2018 #		VANGUARD TOTAL INTERNATIONAL BOND E B		2,613.12	0.00	9.95	0.00	-9.95	88.0	-8.76
* STOCKS SUBTOTAL *				\$396,880.02	\$0.00	\$686.55	\$0.00	\$-686.55		\$-604.44
<u>PRODUCT CATEGORY: BONDS</u>										
05/15/2018 #	MILLER, DAVID	SCOTTSDALE ARIZ MUN PPTY CORP GENER S		54,409.00	0.00	25.00	0.00	-25.00	88.0	-22.00
* BONDS SUBTOTAL *				\$54,409.00	\$0.00	\$25.00	\$0.00	\$-25.00		\$-22.00
<u>PRODUCT CATEGORY: OPTIONS</u>										
05/03/2018 #		PUT QQQ 05/18/18 157	B	1,100.00	0.00	39.45	0.00	-39.45	88.0	-34.72
05/03/2018 #		PUT QQQ 05/18/18 157	S	1,050.00	0.00	39.45	0.00	-39.45	88.0	-34.72
05/07/2018 #		CALL FB 09/21/18 170	S	3,376.00	49.73	23.85	0.00	25.88	88.0	22.77
05/09/2018 #	TREVIZO, DIDIER	CALL NVDA 06/15/18 220	S	7,342.00	0.00	12.95	0.00	-12.95	88.0	-11.40
05/10/2018 #	TREVIZO, DIDIER	CALL EA 06/15/18 125	S	5,880.00	0.00	12.95	0.00	-12.95	88.0	-11.40
05/11/2018 #		CALL VHC 12/22/12 32	B	1,500.00	37.50	29.70	0.00	7.80	88.0	6.86
05/14/2018 #		CALL MGM 07/20/18 33	B	705.00	40.00	29.70	0.00	10.30	88.0	9.06
05/15/2018 #		CALL EA 06/15/18 125	B	698.00	25.00	22.95	0.00	2.05	88.0	1.80
05/16/2018 #		CALL VHC 12/22/12 32	S	2,700.00	117.86	29.70	0.00	88.16	88.0	77.58
05/17/2018 #		CALL NVDA 05/18/18 245	B	350.00	25.00	22.95	0.00	2.05	88.0	1.80
05/18/2018 #		CALL NVDA 05/18/18 245	S	880.00	40.00	23.85	0.00	16.15	88.0	14.21
05/23/2018 #		CALL MGM 07/20/18 33	B	348.00	25.00	29.70	0.00	-4.70	88.0	-4.14
* OPTIONS SUBTOTAL *				\$25,929.00	\$360.09	\$317.20	\$0.00	\$42.89		\$37.70
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
04/08/0018 #40004629	TAL LUTZ, TONI LYNN	TRANS LIFE FTLB06	B	77,276.43	64.40	0.00	0.00	64.40	88.0	56.67
04/30/0201 #E0343217	HERRON, BERNIECE	PRUDETIAL TRAILS	B	0.00	4.15	0.00	0.00	4.15	88.0	3.65
04/30/0201 #E0343217	HERRON, BERNIECE	PRUDETIAL TRAILS	B	0.00	16.60	0.00	0.00	16.60	88.0	14.61
05/31/2018 #1005560841	LUTZ, CAROL	L SERIES	B	214,414.63	178.68	0.00	0.00	178.68	88.0	157.24
05/31/2018 #1018268538	ZAROFF, MICHAEL	PERSP II 04/14	B	83,372.39	17.37	0.00	0.00	17.37	88.0	15.29
05/31/2018 #1012046576	CANIGILA, KENNITH	PERSP L 08/11	B	148,090.43	123.41	0.00	0.00	123.41	88.0	108.60
05/31/2018 #1017957305	HOLCOMB, TIM	PERSP L 08/11	B	184,381.96	153.65	0.00	0.00	153.65	88.0	135.21
05/31/2018 #1010427467	HOLCOMB, TIM	PERSP L 08/11	B	220,014.23	183.35	0.00	0.00	183.35	88.0	161.35
05/31/2018 #1012917429	MILLER, DAVID	PERSP L 08/11	B	1,431,907.60	1,193.26	0.00	0.00	1,193.26	88.0	1,050.07
05/31/2018 #1015021990	MONTGOMERY, BERT	PERSP L 08/11	B	197,439.97	164.53	0.00	0.00	164.53	88.0	144.79
05/31/2018 #1011249104	MUOLO, HELYN	PERSP L 08/11	B	276,076.24	230.06	0.00	0.00	230.06	88.0	202.45
05/31/2018 #1018477897	SINGLETON, SEAN	PERSP L 08/11	B	718,836.01	599.03	0.00	0.00	599.03	88.0	527.15
05/31/2018 #1014022941	SMITH, RANDY	PERSP L 08/11	B	460,564.69	383.80	0.00	0.00	383.80	88.0	337.74
* VARIABLE ANNUITIES SUBTOTAL *				\$4,012,374.58	\$3,312.29	\$0.00	\$0.00	\$3,312.29		\$2,914.82

COMMISSION STATEMENT for BRYAN DUCKETT

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BROKER TRANSACTIONS

PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT

05/23/2018 # MILLER, DAVID	JPMORGAN CHASE BK NA COLUMBUS	B	90,000.00	1,350.00	20.00	0.00	1,330.00	88.0	1,170.40
* CERTIFICATES OF DEPOSIT SUBTOTAL *			\$90,000.00	\$1,350.00	\$20.00	\$0.00	\$1,330.00		\$1,170.40

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/09/2018 # MANASHOWITZ, STEVEN	DAIN TRAILER	B	0.00	0.79	0.00	0.00	0.79	88.0	0.70
05/09/2018 # MANASHOWITZ, STEVEN	DAIN TRAILER	B	0.00	2.21	0.00	0.00	2.21	88.0	1.94
05/09/2018 # MORGA, MARIA	DAIN TRAILER	B	0.00	53.95	0.00	0.00	53.95	88.0	47.48
05/09/2018 # SCAFFIDE, SAM	DAIN TRAILER	B	0.00	4.02	0.00	0.00	4.02	88.0	3.54
05/09/2018 # MILLER, DAVID	DAIN TRAILER	B	0.00	11.46	0.00	0.00	11.46	88.0	10.08
05/09/2018 # SCAFFIDE, SAM	DAIN TRAILER	B	0.00	1.43	0.00	0.00	1.43	88.0	1.26
05/09/2018 # NEIMAN, JOHN	DAIN TRAILER	B	0.00	2.41	0.00	0.00	2.41	88.0	2.12
05/22/2018 # LABRECQUE, LANCE	DAIN TRAILER	B	0.00	0.05	0.00	0.00	0.05	88.0	0.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$76.32	\$0.00	\$0.00	\$76.32		\$67.16
*** BROKER TRANSACTIONS TOTAL ***			\$4,579,742.60	\$5,102.40	\$1,048.75	\$0.00	\$4,053.65		\$3,566.90

ADJUSTMENTS

06/20/2018	CIRCLEBLACK: CIRCLEBLACK	-175.00
06/20/2018	ADMIN FEE: ADMIN FEE	-390.00
06/20/2018	DOCUSIGN: DOCUSIGN	-51.00
06/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-795.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,566.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-795.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,771.90
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