

**COMMISSION STATEMENT****July 31, 2018**

ALAN, G122 GEIER
56 Dutchess Ave.
Apt. 1
Poughkeepsie, NY 12601

BROKER #: G122
BRANCH: ALAN GEIER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/17/2018	KLEIN, NINA	TD AMERITRADE	0.00	827.47	0.00	827.47	80.0	661.98
07/17/2018	KLEIN, NINA	TD AMERITRADE	0.00	1,410.99	0.00	1,410.99	80.0	1,128.79
* RIA SUBTOTAL *			\$0.00	\$2,238.46	\$0.00	\$2,238.46		\$1,790.77
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$2,238.46	\$0.00	\$2,238.46		\$1,790.77

BROKER COMMISSION TOTALS

Broker Transactions	\$1,790.77
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,790.77
Year-to-date Gross	\$7,780.58
Year-to-date Earnings	\$6,224.46

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed