

**COMMISSION STATEMENT****June 15, 2018**FRED CHASALOW
2523 Hastings DriveBROKER #: C121 Terminated 12/31/2015
BRANCH: FRED CHASALOW

Belmont, CA 94002-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: A132 - HERB/FRED ALSTON/CHASALOW #413</u>								
06/01/2018	BRADY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.72	0.00	0.72	72.0	0.52
06/01/2018	BRADY, JOHN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.27	0.00	4.27	72.0	3.07
06/01/2018	BRADY, JOHN	AM FUNDS HIGH INCOME TRUST 529A	0.00	0.93	0.00	0.93	72.0	0.67
06/01/2018	BRADY, JOHN	AM FUNDS ICA 529A	0.00	11.18	0.00	11.18	72.0	8.05
06/01/2018	BRADY, JOHN	AM FUNDS SMALLCAP WORLD-529A	0.00	1.27	0.00	1.27	72.0	0.91
05/16/2018	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	2.59	0.00	2.59	72.0	1.86
05/16/2018	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	0.38	0.00	0.38	72.0	0.27
05/16/2018	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	1.64	0.00	1.64	72.0	1.18
05/23/2018	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.74	0.00	4.74	72.0	3.41
05/23/2018	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.35	0.00	2.35	72.0	1.69
05/23/2018	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.35	0.00	2.35	72.0	1.69
05/23/2018	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.33	0.00	1.33	72.0	0.96
05/23/2018	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.89	0.00	1.89	72.0	1.36
05/23/2018	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.63	0.00	0.63	72.0	0.45
05/23/2018	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.95	0.00	0.95	72.0	0.68
05/15/2018	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	0.42	0.00	0.42	72.0	0.30
05/11/2018	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	0.94	0.00	0.94	72.0	0.68
05/11/2018	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	3.69	0.00	3.69	72.0	2.66
05/31/2018	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	0.69	0.00	0.69	72.0	0.50
* A132 - HERB/FRED ALSTON/CHASALOW SUBTOTAL *			\$0.00	\$42.96	\$0.00	\$42.96		\$30.91
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$42.96	\$0.00	\$42.96		\$30.91

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$30.91
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$21.56
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$52.47
Year-to-date Gross	\$5,614.18
Year-to-date Earnings	\$3,995.60