

All Companies
STATEMENT
June 20, 2018

RONALD CRISP
5211 E ECHO PINES CIRCLE E

BROKER #: 121
BRANCH:

FT Pierce, FL 34951

COMMISSION STATEMENT for RONALD CRISP

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/30/2021	#4667465	DUBERSTEIN, CAROL	BULL-SVC	0.00	0.02	93.0	0.00	0.02	0.00
04/30/2021	#4667465	DUBERSTEIN, CAROL	BULL-SVC	0.00	0.07	93.0	0.00	0.07	0.00
04/30/2021	#4667465	DUBERSTEIN, CAROL	FINANCIALS - SVC	0.00	0.02	93.0	0.00	0.02	0.00
04/30/2021	#4667465	DUBERSTEIN, CAROL	FINANCIALS - SVC	0.00	0.07	93.0	0.00	0.07	0.00
04/30/2021	#4667465	DUBERSTEIN, CAROL	LARGE-CAP GROWTH-SVC	0.00	0.03	93.0	0.00	0.03	0.00
04/30/2021	#4667465	DUBERSTEIN, CAROL	LARGE-CAP GROWTH-SVC	0.00	0.08	93.0	0.00	0.07	0.00
04/30/2021	#4667465	DUBERSTEIN, CAROL	MID-CAP-SVC	0.00	0.03	93.0	0.00	0.03	0.00
04/30/2021	#4667465	DUBERSTEIN, CAROL	MID-CAP-SVC	0.00	0.08	93.0	0.00	0.07	0.00
04/30/2021	#4667465	DUBERSTEIN, CAROL	MONEY MARKET-SVC	0.00	4.04	93.0	0.00	3.76	0.00
04/30/2021	#4667465	DUBERSTEIN, CAROL	MONEY MARKET-SVC	0.00	4.04	93.0	0.00	3.76	0.00
04/30/2021	#4667465	DUBERSTEIN, CAROL	PROFUNDS	0.00	0.03	93.0	0.00	0.03	0.00
04/30/2021	#4667465	DUBERSTEIN, CAROL	PROFUNDS	0.00	0.08	93.0	0.00	0.07	0.00
04/30/2021	#4667465	DUBERSTEIN, CAROL	SMALL-CAP VALUE-SVC	0.00	0.02	93.0	0.00	0.02	0.00
04/30/2021	#4667465	DUBERSTEIN, CAROL	SMALL-CAP VALUE-SVC	0.00	0.07	93.0	0.00	0.07	0.00
04/01/2018	#1003036234	DUBERSTEIN,	GUGGENHEIM RYDEX	0.00	5.44	93.0	0.00	5.06	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$14.12		\$0.00	\$13.15	\$0.00	\$13.15

PRODUCT CATEGORY: VARIABLE ANNUITIES

05/01/0018	#2030032647	CHOATE BROWNE,	SECURITY BEENFIT SBGTL	52,821.84	44.02	93.0	0.00	40.94	0.00
05/01/0018	#2030032381	RUBINSKI, JANICE	SECURITY BEENFIT SBGTL	112,749.78	93.96	93.0	0.00	87.38	0.00
05/01/0018	#2030032356	DEHAAS, NICHOLAS	SECURITY BEENFIT SBGTL	12,957.65	10.80	93.0	0.00	10.04	0.00
05/01/0018	#2030024557	PARDO, BETTY	SECURITY BEENFIT SBGTL	24,620.34	20.52	93.0	0.00	19.08	0.00
05/01/0018	#2030031984	NICHOLS,	SECURITY BEENFIT SBGTL	81,701.54	68.08	93.0	0.00	63.31	0.00
05/01/0018	#2030032776	WOLF, CHARLOTTE	SECURITY BEENFIT SBGTL	111,672.41	93.06	93.0	0.00	86.55	0.00
05/01/0018	#2030032788	DEHAAS, NICHOLAS	SECURITY BEENFIT SBGTL	40,932.18	8.53	93.0	0.00	7.93	0.00
05/01/0018	#2030032737	WOLF, JEROME	SECURITY BEENFIT SBGTL	376,711.27	313.92	93.0	0.00	291.95	0.00
05/01/0018	#2030024573	PARDO, HENRY	SECURITY BEENFIT SBGTL	8,723.47	7.27	93.0	0.00	6.76	0.00
05/01/0018	#2030024574	PARDO, BETTY	SECURITY BEENFIT SBGTL	12,921.56	10.77	93.0	0.00	10.02	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/01/0018	#2030031985	CHALMERS, MARK	SECURITY BEENFIT SBGTL	36,693.21	30.58	93.0	0.00	28.44	28.44
05/01/0018	#2030024466	DUBERSTEIN,	SECURITY BEENFIT SBGTL	41,132.49	34.28	93.0	0.00	31.88	31.88
05/01/0018	#2030024482	CLIENT:	SECURITY BEENFIT SBGTL	18,280.72	15.23	93.0	0.00	14.16	14.16
04/30/0201	#000673039	COLE, LINDA	PRUDETIAL TRAILS	0.00	0.75	93.0	0.00	0.70	0.70
04/30/0201	#E0488489	PARDO, HENRY	PRUDETIAL TRAILS	0.00	17.18	93.0	0.00	15.98	15.98
04/30/0201	#E0488489	PARDO, HENRY	PRUDETIAL TRAILS	0.00	68.70	93.0	0.00	63.89	63.89
04/30/0201	#000571733	COLE, LINDA	PRUDETIAL TRAILS	0.00	8.46	93.0	0.00	7.87	7.87
04/30/0201	#000693039	COLE, LINDA	PRUDETIAL TRAILS	0.00	0.75	93.0	0.00	0.70	0.70
04/30/0201	#000271733	COLE, LINDA	PRUDETIAL TRAILS	0.00	33.84	93.0	0.00	31.47	31.47
05/31/2018	#1007913687	DUBERSTEIN,	ADVS II (05/05)	88,231.79	73.53	93.0	0.00	68.38	68.38
05/31/2018	#1008140835	CHALMERS, MARK	L SERIES	33,900.37	36.73	93.0	0.00	34.16	34.16
05/31/2018	#1007968767	DUBERSTEIN,	L SERIES	244,930.73	204.11	93.0	0.00	189.82	189.82
05/31/2018	#1007968767	DUBERSTEIN,	L SERIES	63,942.81	69.27	93.0	0.00	64.42	64.42
05/31/2018	#1008140843	PARDO, HENRY	L SERIES	13,712.47	14.86	93.0	0.00	13.82	13.82
05/31/2018	#1008131984	WOLF, CHARLOTTE	L SERIES	244,874.87	204.06	93.0	0.00	189.78	189.78
05/31/2018	#1008131984	WOLF, CHARLOTTE	L SERIES	60,394.50	65.43	93.0	0.00	60.85	60.85
05/31/2018	#1008131941	WOLF, JEROME	L SERIES	93,927.56	101.75	93.0	0.00	94.63	94.63
05/31/2018	#1012526594	COOPER, MICHAEL	PERSP L 08/11	8,843.45	7.37	93.0	0.00	6.85	6.85
05/31/2018	#1010614380	DEHAAS, MARY	PERSP L 08/11	51,613.78	43.01	93.0	0.00	40.00	40.00
05/31/2018	#1011692156	MENDELSBERG,	PERSP L 08/11	35,641.82	29.70	93.0	0.00	27.62	27.62
05/31/2018	#1011926475	MENDELSBERG,	PERSP L 08/11	315,290.22	262.74	93.0	0.00	244.35	244.35
05/31/2018	#1012478620	RUBINSKI, JANICE	PERSP L 08/11	14,279.00	11.90	93.0	0.00	11.07	11.07
05/31/2018	#1010809238	WOLF, JEROME	PERSP L 08/11	154,307.04	128.59	93.0	0.00	119.59	119.59
* VARIABLE ANNUITIES SUBTOTAL *			\$2,355,808.87	\$2,133.75		\$0.00	\$1,984.39	\$0.00	\$1,984.39
*** BROKER TRANSACTIONS TOTAL ***			\$2,355,808.87	\$2,147.87		\$0.00	\$1,997.54	\$0.00	\$1,997.54

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ADJUSTMENTS

06/20/2018		BETA BACK OFFICE: ADMIN FEE							-320.00
06/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
06/20/2018		MISCELLANEOUS: MISCELLANEOUS BANK							-35.00
		CHARGE ON RETURNED WIRE							
*** ADJUSTMENTS TOTAL ***									\$-534.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,997.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-534.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,463.54
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