



Concorde Investment Services, LLC

08/14/2018

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COMMISSION STATEMENT

August 15, 2018

WALTER (BARRY) CRIST
13141 17th Street

BROKER #: C159
BRANCH: BARRY CRIST

West Des Moines, IA 50265-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/17/2018	WILLARD, MICHAL	AM FUNDS AMCAP A	0.00	3.37	0.00	3.37	70.0	2.36
07/17/2018	WILLARD, MICHAL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.09	0.00	4.09	70.0	2.86
07/17/2018	WILLARD, MICHAL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.82	0.00	4.82	70.0	3.37
07/17/2018	WILLARD, MICHAL	AM FUNDS GLOBAL BALANCED A	0.00	3.34	0.00	3.34	70.0	2.34
07/17/2018	WILLARD, MICHAL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.23	0.00	7.23	70.0	5.06
07/17/2018	WILLARD, MICHAL	AM FUNDS ICA A	0.00	5.68	0.00	5.68	70.0	3.98
07/17/2018	WILLARD, MICHAL	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.83	0.00	1.83	70.0	1.28
07/17/2018	WILLARD, MICHAL	AM FUNDS NEW PERSPECTIVE A	0.00	2.26	0.00	2.26	70.0	1.58
07/17/2018	WILLARD, MICHAL	AM FUNDS NEW WORLD A	0.00	2.51	0.00	2.51	70.0	1.76
07/23/2018	WILLARD, MICHAL	TEMPLETON WORLD A	0.00	0.34	0.00	0.34	70.0	0.24
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$35.47	\$0.00	\$35.47		\$24.83
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$35.47	\$0.00	\$35.47		\$24.83
<u>ADJUSTMENTS</u>								
08/15/2018		*AI INSIGHT: AI INSIGHT - B. CRIST	0.00					-7.00
08/15/2018		*AUDIT FEE: BRANCH AUDIT 7-30-18	0.00					-750.00
08/15/2018		*EO INS: MONTHLY	0.00					-300.00
08/15/2018		*TECHNOLOGY: MONTHLY TECHNOLOGY	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,237.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$24.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,237.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-1,212.17
Year-to-date Gross	\$35.64
Year-to-date Earnings	\$24.95