

All Companies
STATEMENT
 August 20, 2018

DENNIS JORDAN

BROKER #: 272
 BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DENNIS JORDAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/10/2018	#00088285586 MCNEILANDR	AMCAP FUND INC CLASS A	394.16	10.84	85.0	0.00	9.21	0.00	9.21
07/10/2018	#00088285586 MCNEILANDR	AMERICAN BALANCED FUND INC CLASS A	300.00	8.25	85.0	0.00	7.01	0.00	7.01
07/10/2018	#00089576353 MARSWENDY	AMERICAN BALANCED FUND INC CLASS A	50.00	2.50	85.0	0.00	2.13	0.00	2.13
07/10/2018	#00088285586 MCNEILANDR	FUNDAMENTAL INVESTORS INC CLASS A	400.00	11.00	85.0	0.00	9.35	0.00	9.35
07/10/2018	#00089576353 MARSWENDY	FUNDAMENTAL INVESTORS INC CLASS A	50.00	2.50	85.0	0.00	2.13	0.00	2.13
07/10/2018	#00088285586 MCNEILANDR	NEW PERSPECTIVE FUND INC CL A	300.00	8.25	85.0	0.00	7.01	0.00	7.01
07/20/2018	#00089580827 AKINS-ATEW	NEW WORLD FUND INC NEW	75.00	3.75	85.0	0.00	3.19	0.00	3.19
* MUTUAL FUNDS SUBTOTAL *			\$1,569.16	\$47.09		\$0.00	\$40.03	\$0.00	\$40.03
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/30/0201	#016097302 GRIFFITH-REECE,	NATIONWIDE DESTINATION LS	0.00	296.13	85.0	0.00	251.71	0.00	251.71
07/02/2018	#016097302 GRIFFITH-REECE,	NATIONWIDE DESTINATION LS	500.00	10.50	85.0	0.00	8.93	0.00	8.93
* VARIABLE ANNUITIES SUBTOTAL *			\$500.00	\$306.63		\$0.00	\$260.64	\$0.00	\$260.64
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/01/2018	#06910018050 VARIOUSJORDEAN	INVESCO UTILITIES FUND CL A	0.00	17.94	85.0	0.00	15.25	0.00	15.25
07/01/2018	#06910018050 VARIOUSJORDEAN	INVESCO VAN KAMPEN COMSTOCK FUND CL	0.00	13.02	85.0	0.00	11.07	0.00	11.07
07/01/2018	#06910018050 VARIOUSJORDEAN	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	23.78	85.0	0.00	20.21	0.00	20.21
07/11/2018	# JORDAN, EDWINA	DAIN TRAILER	0.00	1.41	85.0	0.00	1.20	0.00	1.20
07/11/2018	# JORDAN, EDWINA	DAIN TRAILER	0.00	5.76	85.0	0.00	4.90	0.00	4.90
07/11/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	1.65	85.0	0.00	1.40	0.00	1.40
07/11/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	17.48	85.0	0.00	14.86	0.00	14.86
07/11/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	25.62	85.0	0.00	21.78	0.00	21.78
07/11/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	24.20	85.0	0.00	20.57	0.00	20.57
07/11/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	10.23	85.0	0.00	8.70	0.00	8.70
07/11/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	21.73	85.0	0.00	18.47	0.00	18.47
07/11/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	15.06	85.0	0.00	12.80	0.00	12.80
07/11/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	9.88	85.0	0.00	8.40	0.00	8.40
07/11/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	15.64	85.0	0.00	13.29	0.00	13.29

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/11/2018 # GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	3.51	85.0	0.00	2.98	0.00	2.98
07/11/2018 # GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	1.76	85.0	0.00	1.50	0.00	1.50
07/11/2018 # GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	1.43	85.0	0.00	1.22	0.00	1.22
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$210.10		\$0.00	\$178.60	\$0.00	\$178.60
*** BROKER TRANSACTIONS TOTAL ***		\$2,069.16	\$563.82		\$0.00	\$479.27	\$0.00	\$479.27

ADJUSTMENTS

08/20/2018	ADMIN FEE: ADMIN FEE	-390.00
08/20/2018	E & O INSURANCE: E & O INSURANCE	-249.00
*** ADJUSTMENTS TOTAL ***		\$-639.00

BROKER COMMISSION TOTALS

Broker Transactions	\$479.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-639.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward \$-159.73