

**COMMISSION STATEMENT****October 15, 2017****STAN WEBB**300 N. Main Street
Suite 309
Wichita, KS 67202**BROKER #: W121**

BRANCH: STAN WEBB

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/02/2017	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	50.00	2.50	0.00	2.50	92.0	2.30
10/02/2017	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	50.00	2.50	0.00	2.50	92.0	2.30
09/29/2017	DUSH, THERESA	AM FUNDS AMERICAN BALANCED A	50.00	1.38	0.00	1.38	92.0	1.27
09/25/2017	ROTHS, GLEN	AM FUNDS CAPITAL INCOME BUILDER A	100.00	3.75	0.00	3.75	92.0	3.45
10/06/2017	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	0.94	0.00	0.94	92.0	0.86
10/06/2017	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	0.94	0.00	0.94	92.0	0.86
09/25/2017	ROTHS, GLEN	AM FUNDS FUNDAMENTAL INVESTORS A	100.00	3.75	0.00	3.75	92.0	3.45
10/06/2017	STOCKHAM, JOYCE	AM FUNDS GROWTH AND INCOME A	250.00	12.50	0.00	12.50	92.0	11.50
10/06/2017	STOCKHAM, JOYCE	AM FUNDS GROWTH AND INCOME A	669.30	33.47	0.00	33.47	92.0	30.79
09/25/2017	ROTHS, GLEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	3.75	0.00	3.75	92.0	3.45
/ /	RAYMER, CHRISTOPHER	FIDELITY ADV HEALTH CARE T	0.00	1.50	0.00	1.50	92.0	1.38
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV HEALTH CARE T	0.00	10.02	0.00	10.02	92.0	9.22
/ /	EVERETT, TRAVIS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	1.84	0.00	1.84	92.0	1.69
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	2.91	0.00	2.91	92.0	2.68
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	1.81	0.00	1.81	92.0	1.67
/ /	LOTT TRUST, EDITH	FIDELITY ADV OVERSEAS	0.00	2.59	0.00	2.59	92.0	2.38
/ /	EVERETT, TRAVIS	FIDELITY ADV REAL ESTATE A	0.00	2.13	0.00	2.13	92.0	1.96
10/02/2017	EVERETT, TRAVIS	FIDELITY ADV REAL ESTATE A	100.00	2.75	0.00	2.75	92.0	2.53
/ /	EVERETT, TRAVIS	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	1.85	0.00	1.85	92.0	1.70
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV STRATEGIC INCOME	0.00	3.68	0.00	3.68	92.0	3.39
/ /	KENNEDY, ROBERT	FIDELITY ADV VALUE STRATEGIES A	0.00	8.21	0.00	8.21	92.0	7.55
/ /	RIEMANN TRUST, ARVELLA	FIDELITY ADVISOR VALUE	0.00	9.07	0.00	9.07	92.0	8.34
/ /	RIEMANN TRUST, ARVELLA	FIDELITY BALANCED T	0.00	9.23	0.00	9.23	92.0	8.49
/ /	CROSS TRUST, ALLEN & CHARLA	FIDELITY BALANCED T	0.00	9.96	0.00	9.96	92.0	9.16
/ /	RIEMANN TRUST, ARVELLA	FIDELITY DIV GROWTH T	0.00	13.43	0.00	13.43	92.0	12.36
/ /	CHRISTIAN TOD, ROBERT	FIDELITY DIV GROWTH T	0.00	9.56	0.00	9.56	92.0	8.80

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED INTL A	0.00	0.55	0.00	0.55	92.0	0.51
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED STOCK A	0.00	1.59	0.00	1.59	92.0	1.46
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	2.11	0.00	2.11	92.0	1.94
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	3.90	0.00	3.90	92.0	3.59
10/02/2017	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	100.00	2.75	0.00	2.75	92.0	2.53
/ /	KENNEDY, ROBERT	FIDELITY EMERGING MARKET A	0.00	0.69	0.00	0.69	92.0	0.63
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	0.42	0.00	0.42	92.0	0.39
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	3.42	0.00	3.42	92.0	3.15
10/02/2017	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	100.00	2.75	0.00	2.75	92.0	2.53
/ /	RANCILIO, KENNETH	FIDELITY EQUITY GROWTH A	0.00	0.83	0.00	0.83	92.0	0.76
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY GROWTH T	0.00	8.36	0.00	8.36	92.0	7.69
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY GROWTH T	0.00	5.61	0.00	5.61	92.0	5.16
/ /	RIEMANN TRUST, ARVELLA	FIDELITY EQUITY GROWTH T	0.00	26.76	0.00	26.76	92.0	24.62
/ /	HAYES, BEN	FIDELITY EQUITY GROWTH T	0.00	30.46	0.00	30.46	92.0	28.02
/ /	HEARD, DAVID	FIDELITY EQUITY GROWTH T	0.00	0.99	0.00	0.99	92.0	0.91
/ /	LOTT TRUST, EDITH	FIDELITY EQUITY GROWTH T	0.00	22.74	0.00	22.74	92.0	20.92
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	8.00	0.00	8.00	92.0	7.36
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	8.03	0.00	8.03	92.0	7.39
/ /	RIEMANN TRUST, ARVELLA	FIDELITY EQUITY INCOME	0.00	30.51	0.00	30.51	92.0	28.07
/ /	LOTT TRUST, EDITH	FIDELITY EQUITY INCOME	0.00	35.20	0.00	35.20	92.0	32.38
/ /	HAYES, MARTHA	FIDELITY EQUITY INCOME A	0.00	0.51	0.00	0.51	92.0	0.47
/ /	HAYES, BEN	FIDELITY EQUITY INCOME A	0.00	0.52	0.00	0.52	92.0	0.48
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	0.67	0.00	0.67	92.0	0.62
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	3.10	0.00	3.10	92.0	2.85
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.01	0.00	3.01	92.0	2.77
/ /	LOTT TRUST, EDITH	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.77	0.00	0.77	92.0	0.71
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.11	0.00	3.11	92.0	2.86
/ /	CHRISTIAN TOD, ROBERT	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.94	0.00	3.94	92.0	3.62
/ /	KENNEDY, ROBERT	FIDELITY GROWTH & INCOME A	0.00	3.58	0.00	3.58	92.0	3.29
/ /	EVERETT, TRAVIS	FIDELITY GROWTH & INCOME A	0.00	1.58	0.00	1.58	92.0	1.45
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME A	0.00	0.52	0.00	0.52	92.0	0.48
/ /	KENNEDY, ROBERT	FIDELITY HIGH INCOME ADVANTAGE A	0.00	0.98	0.00	0.98	92.0	0.90
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME ADVANTAGE A	0.00	1.84	0.00	1.84	92.0	1.69

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	RIEMANN TRUST, ARVELLA	FIDELITY HIGH INCOME T	0.00	3.49	0.00	3.49	92.0	3.21
/ /	LOTT TRUST, EDITH	FIDELITY HIGH INCOME T	0.00	2.97	0.00	2.97	92.0	2.73
/ /	RIEMANN TRUST, ARVELLA	FIDELITY MIDCAP T	0.00	4.85	0.00	4.85	92.0	4.46
/ /	RAYMER, CHRISTOPHER	FIDELITY MIDCAP T	0.00	1.21	0.00	1.21	92.0	1.11
/ /	EVERETT, TRAVIS	FIDELITY TECHNOLOGY A	0.00	0.57	0.00	0.57	92.0	0.52
/ /	HAYES, BEN	FIDELITY TECHNOLOGY T	0.00	1.56	0.00	1.56	92.0	1.44
/ /	CHRISTIAN TOD, ROBERT	FIDELITY TECHNOLOGY T	0.00	5.85	0.00	5.85	92.0	5.38
09/20/2017	THOMAS, DAVID	FT FOUNDING FUNDS ALLOC A	150.00	7.50	0.00	7.50	92.0	6.90
09/18/2017	NELSON, JIM	INVESCO AMERICAN FRANCHISE A	100.00	3.00	0.00	3.00	92.0	2.76
09/18/2017	NELSON, DENE	INVESCO CHARTER FUND A	200.00	6.00	0.00	6.00	92.0	5.52
09/18/2017	NELSON, JIM	INVESCO CHARTER FUND A	250.00	7.50	0.00	7.50	92.0	6.90
09/18/2017	NELSON, JIM	INVESCO DEVELOPING MARKETS A	50.00	1.50	0.00	1.50	92.0	1.38
09/18/2017	NELSON, DENE	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
09/18/2017	NELSON, JIM	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
09/18/2017	NELSON, DENE	INVESCO MODERATE ALLOCATION A	200.00	6.00	0.00	6.00	92.0	5.52
09/29/2017	NELSON, ARIKA	INVESCO VALUE OPP A	200.00	6.00	0.00	6.00	92.0	5.52
/ /	LOOK, RALPH	OPPENHEIMER CORE BOND A	0.00	9.33	0.00	9.33	92.0	8.58
/ /	LOOK, RALPH	OPPENHEIMER GLOBAL A	0.00	14.55	0.00	14.55	92.0	13.39
10/03/2017	HAMPTON, ANDRE	PIONEER BOND FUND A	0.00	8.64	0.00	8.64	92.0	7.95
10/03/2017	CHRISTIAN, ROBERT	PIONEER FUND A	0.00	73.82	0.00	73.82	92.0	67.91
10/03/2017	FAIRLEY, MICHAEL	PIONEER FUND A	0.00	24.09	0.00	24.09	92.0	22.16
10/03/2017	HAMPTON, ANNDRE	PIONEER FUND A	0.00	2.10	0.00	2.10	92.0	1.93
10/03/2017	FAIRLEY, MICHAEL	PIONEER IBBOTSON MODERATE ALLOC A	0.00	18.49	0.00	18.49	92.0	17.01
10/03/2017	HAMPTON, ANNDRE	PIONEER IBBOTSON MODERATE ALLOC A	0.00	2.77	0.00	2.77	92.0	2.55
10/02/2017	HOOTEN, JENNIFER	PUTNAM CAPITAL SPECTRUM A	0.00	2.43	0.00	2.43	92.0	2.24
10/02/2017	HOOTEN, JENNIFER	PUTNAM DIVERSIFIED INCOME A	0.00	1.19	0.00	1.19	92.0	1.09
10/02/2017	HOOTEN, JENNIFER	PUTNAM EQUITY INCOME A	0.00	5.32	0.00	5.32	92.0	4.89
10/02/2017	STEKOLL, BERNARD	PUTNAM EQUITY INCOME A	0.00	32.26	0.00	32.26	92.0	29.68
10/02/2017	STEKOLL, JOAN	PUTNAM EQUITY INCOME A	0.00	15.16	0.00	15.16	92.0	13.95
10/02/2017	STEKOLL, BERNARD	PUTNAM INTERNATIONAL EQUITY-A	0.00	8.37	0.00	8.37	92.0	7.70
10/02/2017	STEKOLL, BERNARD	PUTNAM MULTI CAP GROWTH A	0.00	5.32	0.00	5.32	92.0	4.89
10/03/2017	ATKINSON, MARSHA	TRANSAMERICA AA MOD GROWTH A	0.00	54.13	0.00	54.13	92.0	49.80
10/03/2017	MCADAM, JEANNETTE	TRANSAMERICA AA MOD GROWTH A	0.00	89.50	0.00	89.50	92.0	82.34

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/03/2017	SCHMITT, SUSAN	TRANSAMERICA AA MOD GROWTH A	0.00	8.74	0.00	8.74	92.0	8.04
10/03/2017	SMITH, SHARRILYN	TRANSAMERICA AA MOD GROWTH A	0.00	5.03	0.00	5.03	92.0	4.63
10/03/2017	SMITH, PHILLIP	TRANSAMERICA AA MOD GROWTH A	0.00	11.65	0.00	11.65	92.0	10.72
10/03/2017	ATKINSON, MARSHA	TRANSAMERICA AA MODERATE A	0.00	66.50	0.00	66.50	92.0	61.18
10/03/2017	DANDURAND, BEVERLY	TRANSAMERICA AA MODERATE A	0.00	16.56	0.00	16.56	92.0	15.24
10/03/2017	SMITH, SHARRILYN	TRANSAMERICA AA MODERATE A	0.00	2.46	0.00	2.46	92.0	2.26
10/03/2017	DANDURAND, BEVERLY	TRANSAMERICA CAPITAL GROWTH A	0.00	14.62	0.00	14.62	92.0	13.45
10/03/2017	SMITH, SHARRILYN	TRANSAMERICA CAPITAL GROWTH A	0.00	8.29	0.00	8.29	92.0	7.63
10/03/2017	ATKINSON, MARSHA	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	20.02	0.00	20.02	92.0	18.42
10/03/2017	WELCH, FRANK	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	9.96	0.00	9.96	92.0	9.16
10/03/2017	RHEA, JONNIE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	51.30	0.00	51.30	92.0	47.20
10/03/2017	DANDURAND, BEVERLY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	6.29	0.00	6.29	92.0	5.79
10/03/2017	DANDURAND, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	2.94	0.00	2.94	92.0	2.70
10/03/2017	SMITH, SHARRILYN	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	3.31	0.00	3.31	92.0	3.05
10/03/2017	DRESCHER-UPTON, MARY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	2.03	0.00	2.03	92.0	1.87
10/03/2017	DRESCHER-UPTON, MARY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.32	0.00	0.32	92.0	0.29
10/03/2017	SMITH, PHILLIP	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	4.54	0.00	4.54	92.0	4.18
10/03/2017	FLATTERY, VICKI	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	3.88	0.00	3.88	92.0	3.57
10/03/2017	OTTAWAY, DANNY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	3.88	0.00	3.88	92.0	3.57
10/03/2017	CHRISTIAN, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	7.03	0.00	7.03	92.0	6.47
10/03/2017	CHRISTIAN, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	12.50	0.00	12.50	92.0	11.50
10/03/2017	SCHMITT, ILA	TRANSAMERICA FLEXIBLE INCOME A	0.00	2.90	0.00	2.90	92.0	2.67
10/03/2017	FLATTERY, VICKI	TRANSAMERICA HIGH YIELD BOND A	0.00	2.61	0.00	2.61	92.0	2.40
10/03/2017	OTTAWAY, DANNY	TRANSAMERICA HIGH YIELD BOND A	0.00	2.61	0.00	2.61	92.0	2.40
10/03/2017	SCHMITT, ILA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	6.84	0.00	6.84	92.0	6.29
10/03/2017	MILLER, JANET	TRANSAMERICA MULTI-MANAGED BAL A	0.00	3.98	0.00	3.98	92.0	3.66
10/03/2017	SMITH, PHILLIP	TRANSAMERICA MULTI-MANAGED BAL A	0.00	7.76	0.00	7.76	92.0	7.14
10/03/2017	SMITH TRUST, PHILLIP	TRANSAMERICA SHORT-TERM BOND A	0.00	3.23	0.00	3.23	92.0	2.97
10/03/2017	SMITH, SHARRILYN	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	8.88	0.00	8.88	92.0	8.17
* MUTUAL FUNDS SUBTOTAL *			\$3,069.30	\$1,107.95	\$0.00	\$1,107.95		\$1,019.28

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
09/26/2017	WELCH, FRANK	CHECK POINT SOFTWARE TECHNOLOGIES L	-16,811.52	30.00	22.50	7.50	92.0	6.90
09/26/2017	WELCH, FRANK	HOME DEPOT INC COM	-19,372.81	30.00	22.50	7.50	92.0	6.90
09/26/2017	WELCH, FRANK	PRESSURE BIOSCIENCES INC COM NEW	-30.10	22.00	22.50	-0.50	92.0	-0.46
09/26/2017	WELCH, FRANK	TIME INC NEW COM	-318.96	22.00	22.50	-0.50	92.0	-0.46
* STOCKS SUBTOTAL *			\$-36,533.39	\$104.00	\$90.00	\$14.00		\$12.88
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/29/2017	CARR, KATHRYN	ALLIANZ ALTERITY ENHANCED	19,992.66	49.98	0.00	49.98	92.0	45.98
09/29/2017	ROGERS, DEBRA	ALLIANZ ALTERITY ENHANCED	83,171.17	103.96	0.00	103.96	92.0	95.64
09/29/2017	HAMPTON, ANDRE	ALLIANZ REWARDS ENHANCED	10,659.54	13.32	0.00	13.32	92.0	12.25
09/29/2017	DENNY, MICHAEL	ALLIANZ REWARDS ENHANCED	20,056.38	25.07	0.00	25.07	92.0	23.06
09/29/2017	LANDGREN, RICHARD	HARTFORD LEADERS	104,360.14	65.23	0.00	65.23	92.0	60.01
09/30/2017	MCADAM, JEANNETTE	JNL ASCENDER PLUS SELECT 01/10	71,404.11	89.26	0.00	89.26	92.0	82.12
09/30/2017	SPRAGUE, KRISTY	JNL ELITE ACCESS	31,344.54	13.06	0.00	13.06	92.0	12.02
09/30/2017	BAZIL, RALPH	JNL ELITE ACCESS	55,874.45	11.64	0.00	11.64	92.0	10.71
09/30/2017	CLEARY, MICHAEL	JNL ELITE ACCESS	63,256.75	13.18	0.00	13.18	92.0	12.13
09/30/2017	ARVANITIS, MICHELLE	JNL PERSPECTIVE II 05/05	26,235.85	21.86	0.00	21.86	92.0	20.11
09/30/2017	STOCKHAM, THOMAS	JNL PERSPECTIVE II 05/05	19,319.24	8.05	0.00	8.05	92.0	7.41
09/30/2017	KELLEY, JAMES	JNL PERSPECTIVE II 05/05	176,828.18	73.68	0.00	73.68	92.0	67.79
09/30/2017	CARPENTER JR, ROBERT	JNL PERSPECTIVE II 05/05	40,378.93	16.82	0.00	16.82	92.0	15.47
09/30/2017	HOFFMAN, FRANCIS	JNL PERSPECTIVE II 05/05	126,538.56	52.72	0.00	52.72	92.0	48.50
09/30/2017	EVERETT, TRAVIS	JNL PERSPECTIVE II 05/05	104,206.02	43.42	0.00	43.42	92.0	39.95
09/30/2017	BRIMER JR, ALBERT	JNL PERSPECTIVE II 05/05	74,482.72	31.03	0.00	31.03	92.0	28.55
09/30/2017	GREENING, CHRISTOPHER	JNL PERSPECTIVE II 05/05	54,580.30	22.74	0.00	22.74	92.0	20.92
09/30/2017	RHEA, JONNIE	JNL PERSPECTIVE II 05/05	79,485.79	33.12	0.00	33.12	92.0	30.47
09/30/2017	PRACHT, MARK	JNL PERSPECTIVE II 05/05	116,620.07	48.59	0.00	48.59	92.0	44.70
09/30/2017	ENGEL, CALVIN	JNL PERSPECTIVE II 05/05	160,651.28	66.94	0.00	66.94	92.0	61.58
09/30/2017	HESSE, RICHARD	JNL PERSPECTIVE II 05/05	46,394.87	19.33	0.00	19.33	92.0	17.78
09/30/2017	BROWN, MARY	JNL PERSPECTIVE L	18,489.43	20.03	0.00	20.03	92.0	18.43
09/30/2017	CRANE, BEVERLY	JNL PERSPECTIVE L	155,690.73	168.66	0.00	168.66	92.0	155.17
09/30/2017	DANDURAND, BEVERLY	JNL PERSPECTIVE L	159,865.88	173.19	0.00	173.19	92.0	159.33
09/30/2017	ROBINSON, DENNIS	JOHN HANCOCK VANTAGE	71,285.58	14.85	0.00	14.85	92.0	13.66
09/30/2017	KENNEDY, ROBERT	JOHN HANCOCK VENTURE	34,911.24	21.82	0.00	21.82	92.0	20.07
09/30/2017	HEARD, DAVID	JOHN HANCOCK VENTURE	14,617.92	9.14	0.00	9.14	92.0	8.41

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/30/2017	HEIMERMAN, JANET	JOHN HANCOCK VENTURE 2006	40,881.13	8.52	0.00	8.52	92.0	7.84
09/30/2017	ROBINSON, DENNIS	JOHN HANCOCK VENTURE III	118,842.11	99.04	0.00	99.04	92.0	91.12
09/30/2017	MINGEE, VIRGINIA	NATIONWIDE AM FUT II NOCDSC 24	9,775.72	24.44	0.00	24.44	92.0	22.48
09/30/2017	DANDURAND, ROBERT	NATIONWIDE BOA ACHIEVER 45	73,285.42	45.80	0.00	45.80	92.0	42.14
09/30/2017	KERSTING, LINDA	NATIONWIDE BOA ACHIEVER 45	100,064.42	62.54	0.00	62.54	92.0	57.54
09/30/2017	HART, JOHN	NATIONWIDE BOA FUTURE II 32	15,048.50	9.41	0.00	9.41	92.0	8.66
09/30/2017	DENNY, MICHAEL	NATIONWIDE BOA FUTURE II 32	18,061.28	33.86	0.00	33.86	92.0	31.15
09/30/2017	RARIDEN, RICHARD	NATIONWIDE BOA FUTURE II 32	11,591.51	7.24	0.00	7.24	92.0	6.66
09/30/2017	LOZANO, RODOLFO	NATIONWIDE BOA FUTURE II 32	39,300.79	98.25	0.00	98.25	92.0	90.39
09/30/2017	LEIGHTON, CAROL	NATIONWIDE BOA FUTURE II 32	131,560.15	328.90	0.00	328.90	92.0	302.59
09/30/2017	RUBENTHALER, RANDALL	NATIONWIDE BOA FUTURE II 32	80,926.82	50.58	0.00	50.58	92.0	46.53
09/30/2017	CONRAD, TOBY	NATIONWIDE BOA IVB 01	618.80	0.39	0.00	0.39	92.0	0.36
09/30/2017	CRABTREE, MICHAEL	NATIONWIDE DESTINATION B 16	40,006.23	25.00	0.00	25.00	92.0	23.00
09/30/2017	ESCHENBRENNER, NICHOLAS	NATIONWIDE MARKETFLEX BB	11,512.33	28.78	0.00	28.78	92.0	26.48
09/30/2017	COOK, LLOYD	NATIONWIDE MARKETFLEX BB	815.33	0.51	0.00	0.51	92.0	0.47
09/30/2017	ATKINSON, MARSHA	NATIONWIDE MARKETFLEX BB	108,999.96	68.12	0.00	68.12	92.0	62.67
09/30/2017	BROWN, SHIRLEY	NATIONWIDE MARKETFLEX BB	112,923.97	225.85	0.00	225.85	92.0	207.78
09/30/2017	WIRTH, MARJORIE	NATIONWIDE MARKETFLEX BB	82,357.57	51.47	0.00	51.47	92.0	47.35
09/30/2017	WALTERS, CLARK	NATIONWIDE MARKETFLEX BB	27,888.04	34.86	0.00	34.86	92.0	32.07
09/30/2017	RAYMER, RICHARD	NATIONWIDE MARKETFLEX BB	86,892.95	108.62	0.00	108.62	92.0	99.93
09/30/2017	STEKOLL, BERNARD	PRU AS ADVISOR PLAN II - 1996	121,530.49	75.96	0.00	75.96	92.0	69.88
09/30/2017	MCSPADDEN-STREIB, GLORIA	PRU AS APEX II	90,190.77	225.48	0.00	225.48	92.0	207.44
09/30/2017	MCSPADDEN-STREIB, GLORIA	PRU AS APEX II	90,190.77	56.37	0.00	56.37	92.0	51.86
09/28/2017	ROBINSON, VIRGINIA	SBL ADVISOR PROGRAM	62,120.45	12.95	0.00	12.95	92.0	11.91
09/28/2017	CRANE, JEANNE	SBL ADVISOR PROGRAM	251.62	0.17	0.00	0.17	92.0	0.16
09/28/2017	BROWN, MARSHALL	SBL ADVISOR PROGRAM	5,306.53	3.54	0.00	3.54	92.0	3.26
09/28/2017	BLUMENTHAL, JAY	SBL ADVISOR PROGRAM	42,287.88	28.19	0.00	28.19	92.0	25.93
09/28/2017	PERICA, NICK	SBL ADVISOR PROGRAM	20,376.03	13.58	0.00	13.58	92.0	12.49
09/28/2017	PERICA, NICK	SBL ADVISOR PROGRAM	20,650.45	4.30	0.00	4.30	92.0	3.96
09/28/2017	PRATT, DAN	SBL ADVISOR PROGRAM	74,361.10	49.57	0.00	49.57	92.0	45.60
09/28/2017	PRATT, DAN	SBL ADVISOR PROGRAM	9,801.42	6.54	0.00	6.54	92.0	6.02
09/28/2017	WILSON, MICHAEL	SBL ADVISOR PROGRAM	12,001.50	2.50	0.00	2.50	92.0	2.30
09/28/2017	SCOTT, MELANIE	SBL ADVISOR PROGRAM	36,667.06	24.44	0.00	24.44	92.0	22.48

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
09/28/2017	KIMBROUGH, MICHAEL	SBL ADVISOR PROGRAM	25,379.49	16.92	0.00	16.92	92.0	15.57
09/28/2017	GAMMON, RICHARD	SBL ADVISOR PROGRAM	7,261.74	4.84	0.00	4.84	92.0	4.45
09/28/2017	SPARTAN, STEPHEN	SBL ADVISOR PROGRAM	17,167.73	11.45	0.00	11.45	92.0	10.53
09/28/2017	HUGGINS, LINDA	SBL ADVISOR PROGRAM	6,247.62	4.17	0.00	4.17	92.0	3.84
09/28/2017	GREENFIELD, CHARLES	SBL ADVISOR PROGRAM	42.00	0.03	0.00	0.03	92.0	0.03
09/28/2017	SCHILLING, BARBARA	SBL ADVISOR PROGRAM	12,619.26	8.41	0.00	8.41	92.0	7.74
09/28/2017	SMITH, MAURICE	SBL ADVISOR PROGRAM	64.37	0.04	0.00	0.04	92.0	0.04
09/28/2017	HAYNES, VICKIE	SBL ADVISOR PROGRAM	9,995.10	6.66	0.00	6.66	92.0	6.13
09/28/2017	SANCHEZ, RICHARD	SBL ADVISOR PROGRAM	21,700.65	14.47	0.00	14.47	92.0	13.31
09/28/2017	SANCHEZ, RICHARD	SBL ADVISOR PROGRAM	8,312.45	5.54	0.00	5.54	92.0	5.10
09/28/2017	LEIGHTON, CAROL	SBL ADVISOR PROGRAM	12,966.50	8.65	0.00	8.65	92.0	7.96
09/28/2017	NOVAK, JAY	SBL ADVISOR PROGRAM	42,104.79	28.07	0.00	28.07	92.0	25.82
09/28/2017	LAHMANN, CYNTHIA	SBL ADVISOR PROGRAM	51,898.65	34.60	0.00	34.60	92.0	31.83
09/28/2017	WOLF, LORRAINE	SBL ADVISOR PROGRAM	2,316.49	1.54	0.00	1.54	92.0	1.42
09/28/2017	HILL, MICHAEL	SBL ADVISOR PROGRAM	14,092.27	9.39	0.00	9.39	92.0	8.64
09/28/2017	MOREHOUSE, MARIA	SBL ADVISOR PROGRAM	5,248.16	3.50	0.00	3.50	92.0	3.22
09/28/2017	LAHMANN, JAMES	SBL ADVISOR PROGRAM	40,398.94	33.67	0.00	33.67	92.0	30.98
09/28/2017	NEFF, SHERRILL	SBL ADVISOR PROGRAM	35,712.71	23.81	0.00	23.81	92.0	21.91
09/28/2017	CHASTAIN, ANDREA	SBL ADVISOR PROGRAM	53,817.34	35.88	0.00	35.88	92.0	33.01
09/28/2017	JOHNSON, JUDY	SBL ADVISOR PROGRAM	56,293.50	37.53	0.00	37.53	92.0	34.53
09/28/2017	DARR, YVONNE	SBL ADVISOR PROGRAM	24,482.39	16.32	0.00	16.32	92.0	15.01
09/28/2017	TUNG, CHAN	SBL ADVISOR PROGRAM	15,806.58	10.54	0.00	10.54	92.0	9.70
09/28/2017	COOPER, KATHERINE	SBL ADVISOR PROGRAM	7,322.74	4.88	0.00	4.88	92.0	4.49
09/28/2017	DAVIS, JOANNE	SBL ADVISOR PROGRAM	8,255.83	5.50	0.00	5.50	92.0	5.06
09/28/2017	YEAZEL, JOHN	SBL ADVISOR PROGRAM	58,598.90	39.07	0.00	39.07	92.0	35.94
09/28/2017	SAUNDERS, TONI	SBL ADVISOR PROGRAM	1,254.20	0.84	0.00	0.84	92.0	0.77
09/28/2017	POWELL, MATTHEW	SBL ADVISOR PROGRAM	13,655.49	9.10	0.00	9.10	92.0	8.37
09/28/2017	MCDOWELL, DENISE	SBL ADVISOR PROGRAM	34,700.97	23.13	0.00	23.13	92.0	21.28
09/28/2017	SUTTON, LINDA	SBL ADVISOR PROGRAM	2,432.92	1.62	0.00	1.62	92.0	1.49
09/28/2017	RHEA, JONNIE	SBL SECUREDESIGNS VA	99,514.96	20.70	0.00	20.70	92.0	19.04
09/28/2017	BENNETT, CARA	SBL SECUREDESIGNS VA	11,361.53	2.37	0.00	2.37	92.0	2.18
09/28/2017	DUFFENDACK, PAULA	SBL SECUREDESIGNS VA	24,125.20	9.05	0.00	9.05	92.0	8.33
09/28/2017	MCADAM, DANIEL	SBL SECUREDESIGNS VA	97,479.32	20.28	0.00	20.28	92.0	18.66

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/05/2017	WINN, VALDENIA	SBL VARIFLEX VA	150.00	7.50	0.00	7.50	92.0	6.90
10/05/2017	BENNETT, TERESA	SBL VARIFLEX VA	40.00	2.00	0.00	2.00	92.0	1.84
09/03/2017	OTTAWAY, SANDRA	TRANSAMERICA FREEDOM	1,871.68	1.56	0.00	1.56	92.0	1.44
09/04/2017	OTTAWAY, SANDRA	TRANSAMERICA FREEDOM	6,765.97	5.64	0.00	5.64	92.0	5.19
09/24/2017	HOSS, JODENE	TRANSAMERICA PRINCIPIUM	266,547.55	111.06	0.00	111.06	92.0	102.18
* VARIABLE ANNUITIES SUBTOTAL *			\$4,735,797.42	\$3,626.79	\$0.00	\$3,626.79		\$3,336.65
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/11/2017	RUBENTHALER, RANDALL	ALLIANCEBERNSTEIN BALANCED SHARES A	0.00	4.22	0.00	4.22	92.0	3.88
09/11/2017	GALLARDO, KAREN	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	2.66	0.00	2.66	92.0	2.45
09/11/2017	KONECNY, BETTE J LUTES-	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.32	0.00	0.32	92.0	0.29
09/11/2017	KONECNY, BETTE J LUTES-	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.45	0.00	0.45	92.0	0.41
09/15/2017	PRACHT, MARK	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	6.76	0.00	6.76	92.0	6.22
09/15/2017	PRACHT, MARK	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.33	0.00	2.33	92.0	2.14
09/18/2017	PRACHT, MARK	AM FUNDS AMERICAN BALANCED A	0.00	4.54	0.00	4.54	92.0	4.18
09/18/2017	RUBENTHALER, RANDALL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.51	0.00	4.51	92.0	4.15
09/18/2017	KONECNY, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.68	0.00	5.68	92.0	5.23
09/18/2017	ROTHS, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.79	0.00	1.79	92.0	1.65
09/18/2017	HOUSTON, SAMUEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.73	0.00	0.73	92.0	0.67
09/18/2017	KONECNY, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.84	0.00	3.84	92.0	3.53
09/18/2017	KONECNY, MARK	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.21	0.00	2.21	92.0	2.03
09/18/2017	KONECNY, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.53	0.00	3.53	92.0	3.25
09/18/2017	PRACHT, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.70	0.00	8.70	92.0	8.00
09/18/2017	RUBENTHALER, RANDALL	AM FUNDS ICA A	0.00	3.76	0.00	3.76	92.0	3.46
09/18/2017	KONECNY, MARK	AM FUNDS ICA A	0.00	6.20	0.00	6.20	92.0	5.70
09/18/2017	ROTHS, GLEN	AM FUNDS ICA A	0.00	0.82	0.00	0.82	92.0	0.75
09/18/2017	ROTHS, BARBARA	AM FUNDS ICA A	0.00	0.61	0.00	0.61	92.0	0.56
09/18/2017	PRACHT, MARK	AM FUNDS NEW PERSPECTIVE A	0.00	7.80	0.00	7.80	92.0	7.18
09/18/2017	RUBENTHALER, RANDALL	AM FUNDS SMALLCAP WORLD A	0.00	5.15	0.00	5.15	92.0	4.74
09/18/2017	PRACHT, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.92	0.00	5.92	92.0	5.45
09/20/2017	PRACHT, MARK	FIDELITY ADV VALUE STRATEGIES A	0.00	13.39	0.00	13.39	92.0	12.32
09/14/2017	KONECNY, BETTE J LUTES-	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	0.10	0.00	0.10	92.0	0.09
09/14/2017	RUBENTHALER, RANDALL	FRANKLIN INCOME A	0.00	5.26	0.00	5.26	92.0	4.84
09/14/2017	KONECNY, BETTE J LUTES-	FRANKLIN MUTUAL SHARES A	0.00	0.68	0.00	0.68	92.0	0.63

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/14/2017	HATJE, ROBERT	FRANKLIN UTILITIES A	0.00	4.70	0.00	4.70	92.0	4.32
09/14/2017	MCADAM, DANIEL	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	24.78	0.00	24.78	92.0	22.80
09/21/2017	KONECNY, BETTE J LUTES-	LORD ABBETT MID CAP STOCK A	0.00	2.31	0.00	2.31	92.0	2.13
09/14/2017	MCADAM, DANIEL	MFS GOVERNMENT SECURITIES A	0.00	0.48	0.00	0.48	92.0	0.44
09/13/2017	PRACHT, MARK	PIMCO TOTAL RETURN A	0.00	6.60	0.00	6.60	92.0	6.07
09/12/2017	MCADAM, DANIEL	PRUDENTIAL SHORT- TERM CORPORATE BO	0.00	1.05	0.00	1.05	92.0	0.97
09/12/2017	MCADAM, DANIEL	PRUDENTIAL TOTAL RETURN BOND CL A	0.00	1.27	0.00	1.27	92.0	1.17
09/14/2017	RUBENTHALER, RANDALL	TEMPLETON GROWTH A	0.00	6.16	0.00	6.16	92.0	5.67
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$149.31	\$0.00	\$149.31		\$137.37
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/20/2017	MCSPADDEN-STREIB, GLORIA	ING OPPORTUNITIES	0.00	81.79	0.00	81.79	92.0	75.25
09/30/2017	KIDWELL, ROGER	INTEGRITY LIFE TRAILS	0.00	92.57	0.00	92.57	92.0	85.16
09/30/2017	WERNER, WANDA	INTEGRITY LIFE TRAILS	0.00	298.74	0.00	298.74	92.0	274.84
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$473.10	\$0.00	\$473.10		\$435.25
*** BROKER TRANSACTIONS TOTAL ***			\$4,702,333.33	\$5,461.15	\$90.00	\$5,371.15		\$4,941.43
<u>ADJUSTMENTS</u>								
10/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
10/15/2017		*ALBRIDGE: LIGHT USER	0.00					-76.00
10/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*GLOBAL RELAY: FACEBOOK	0.00					-5.00
10/15/2017		*GLOBAL RELAY: WEBSITE	0.00					-15.00
10/15/2017		*GLOBAL RELAY: YOUTUBE	0.00					-25.00
10/15/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-20.00
10/15/2017		*TECHNOLOGY: ADMIN - B WILLIAMSON	0.00					-135.00
10/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-763.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$4,941.43
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-763.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,178.43
Year-to-date Gross	\$73,729.35
Year-to-date Earnings	\$67,539.58