



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2018

08/14/2018

Page No. 1

JENNIFER, D134 DONAIS
 1260 N. Joplin

BROKER #: D134
 BRANCH: TRUWEALTH FINANCIAL

Mesa, AZ 85207-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/11/2018	SINNESS, LOYE	HARTFORD CAPITAL APPRECIATION A	0.00	1.79	0.00	1.79	90.0	1.61
07/11/2018	SINNESS, LOYE	MFS VALUE A	0.00	0.82	0.00	0.82	90.0	0.74
07/11/2018	SINNESS, LOYE	PUTNAM MULTI CAP GROWTH A	0.00	3.32	0.00	3.32	90.0	2.99
07/11/2018	SINNESS, LOYE	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.44	0.00	0.44	90.0	0.40
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$6.37	\$0.00	\$6.37		\$5.74
<u>PRODUCT CATEGORY: STOCKS</u>								
07/25/2018	RIZIO, HELEN	***NXP SEMICONDUCTORS	9,922.50	105.00	22.00	83.00	90.0	74.70
* STOCKS SUBTOTAL *			\$9,922.50	\$105.00	\$22.00	\$83.00		\$74.70
*** CLEARING TRANSACTIONS TOTAL ***			\$9,922.50	\$111.37	\$22.00	\$89.37		\$80.44

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/17/2018	DONAIIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.86	0.00	0.86	90.0	0.77
07/17/2018	DONAIIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.82	0.00	0.82	90.0	0.74
07/17/2018	DONAIIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.89	0.00	0.89	90.0	0.80
07/17/2018	DONAIIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.82	0.00	0.82	90.0	0.74
07/17/2018	DONAIIS, JEREMY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.54	0.00	1.54	90.0	1.39
07/17/2018	DONAIIS, BRADLEY	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.40	0.00	0.40	90.0	0.36
07/17/2018	DONAIIS, BRADLEY	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.44	0.00	0.44	90.0	0.40
07/03/2018	DONAIIS, DONALD P	FIRST INVESTORS GROWTH AND INCOME F	0.00	4.58	0.00	4.58	90.0	4.12
07/03/2018	DONAIIS, DONALD P	FIRST INVESTORS SPECIAL SITUATIONS	0.00	7.88	0.00	7.88	90.0	7.09
07/03/2018	DONAIIS, DONALD P	FIRST INVESTORS TOTAL RETURN FUND C	0.00	4.26	0.00	4.26	90.0	3.83
07/25/2018	DONAIIS, JEREMY	PRINCIPAL INVESTORS INCOME A	0.00	1.13	0.00	1.13	90.0	1.02
07/25/2018	DONAIIS, JEREMY	PRINCIPAL LARGE CAP S&P 500 INDEX FU	0.00	4.99	0.00	4.99	90.0	4.49
07/25/2018	DONAIIS, JEREMY	PRINCIPAL SMALLCAP FUND CLASS A	0.00	3.86	0.00	3.86	90.0	3.47

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/25/2018	DONAIS, JEREMY	PRINICPAL MIDCAP BLEND A	0.00	2.88	0.00	2.88	90.0	2.59
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$35.35	\$0.00	\$35.35		\$31.81
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$35.35	\$0.00	\$35.35		\$31.81

SPLIT TRANSACTIONS**BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #10**

08/01/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	1.51	0.00	1.51	90.0	1.36
08/01/2018	HEEN, JEREMY	COLLEGE 2021 FUND-529A	370.00	2.59	0.00	2.59	90.0	2.33
08/01/2018	HEEN, JEREMY	COLLEGE 2027 FUND-529A	245.00	1.72	0.00	1.72	90.0	1.55
08/01/2018	HEEN, JEREMY	COLLEGE 2033 FUND-529A	190.00	1.33	0.00	1.33	90.0	1.20
* BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL *			\$1,020.00	\$7.15	\$0.00	\$7.15		\$6.44

BROKER: JEN-BRENT-BRION TRU-JBB DONAIS-L #333

07/17/2018	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.26	0.00	1.26	90.0	1.13
07/17/2018	ERNST, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.30	0.00	0.30	90.0	0.27
07/24/2018	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.36	0.00	0.36	90.0	0.32
07/16/2018	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.61	0.00	0.61	90.0	0.55
07/31/2018	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	0.66	0.00	0.66	90.0	0.59
07/23/2018	NEWBERRY TRUST, GLORIA	INVESCO GROWTH AND INCOME FUND CLAS	0.00	1.40	0.00	1.40	90.0	1.26
07/25/2018	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.46	0.00	0.46	90.0	0.41
07/25/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	3.92	0.00	3.92	90.0	3.53
07/11/2018	DONAIS, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	2.25	0.00	2.25	90.0	2.03
07/30/2018	ERNST, TERRI	TOUCHSTONE SMALL COMPANY FUND CLASS	0.00	0.41	0.00	0.41	90.0	0.37
* JEN-BRENT-BRION TRU-JBB DONAIS-L SUBTOTAL *			\$0.00	\$11.63	\$0.00	\$11.63		\$10.46

BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #335

08/02/2018	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	7.80	0.00	7.80	90.0	7.02
* BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL *			\$0.00	\$7.80	\$0.00	\$7.80		\$7.02

BROKER: TYLE-JEN-BRE-BRI TRU-TJB LIFFR-DONA #337

07/23/2018	LIFFRIG, ZOANNI	FRANKLIN GROWTH OPP A	0.00	0.07	0.00	0.07	90.0	0.06
07/23/2018	LIFFRIG, ZOANNI	FRANKLIN INCOME A	0.00	0.16	0.00	0.16	90.0	0.14
07/23/2018	LIFFRIG, ZOANNI	FRANKLIN RISING DIVIDENDS A	0.00	0.59	0.00	0.59	90.0	0.53
07/23/2018	LIFFRIG, ZOANNI	FT FOUNDING FUNDS ALLOC A	0.00	0.73	0.00	0.73	90.0	0.66

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
* TYLE-JEN-BRE-BRI TRU-TJB LIFFR-DONA SUBTOTAL *			\$0.00	\$1.55	\$0.00	\$1.55		\$1.39
*** SPLIT TRANSACTIONS TOTAL ***			\$1,020.00	\$28.13	\$0.00	\$28.13		\$25.31

<u>ADJUSTMENTS</u>								
08/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
08/15/2018		*CONCIERGE SVC: 220059 HOLLENBECK	0.00					-10.00
08/15/2018		*CONCIERGE SVC: 220102 HOLLENBECK	0.00					-10.00
08/15/2018		*CONCIERGE SVC: 220141 HOLLENBECK	0.00					-10.00
08/15/2018		*CONCIERGE SVC: 221034 RASMUSSEN	0.00					-10.00
08/15/2018		*CONCIERGE SVC: 221046 RASMUSSEN	0.00					-10.00
08/15/2018		*CONCIERGE SVC: 221052 RASMUSSEN	0.00					-10.00
08/15/2018		*CONCIERGE SVC: 221423 MCIVOR	0.00					-33.50
08/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
08/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.78
08/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.20
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.30
08/15/2018		*RBC: WEALTHSTATION	0.00					-25.00
08/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-606.78

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BROKER COMMISSION TOTALS

Broker Transactions	\$112.25
Split Transactions	\$25.31
Override Transactions	\$0.00
Adjustments	\$-606.78
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-469.22
Year-to-date Gross	\$30,468.23
Year-to-date Earnings	\$26,798.32