



Concorde Investment Services, LLC

02/14/2017
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COMMISSION STATEMENT

February 15, 2017

BRENT LEE
13423 Kings View Lane

Williston, ND 58801-

BROKER #: L137
BRANCH: TRUWEALTH FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2017	FREIH, DIANE	JNL PERSPECTIVE L	106,874.33	89.06	0.00	89.06	90.0	80.15
01/31/2017	KEMPER, SHERRY	JNL PERSPECTIVE L	207,624.23	173.02	0.00	173.02	90.0	155.72
01/31/2017	RETZLAFF, SANDRA	JNL PERSPECTIVE L	35,944.82	29.95	0.00	29.95	90.0	26.96
01/31/2017	GRANT, GREGORY	JNL PERSPECTIVE L	90,187.66	75.16	0.00	75.16	90.0	67.64
01/31/2017	MONETTE, RONALD	JNL PERSPECTIVE L	57,436.32	47.86	0.00	47.86	90.0	43.07
01/31/2017	LIESENER, MARTY	JNL PERSPECTIVE L	41,464.01	34.55	0.00	34.55	90.0	31.10
01/31/2017	BARTINGALE, JAMES	JNL PERSPECTIVE L	59,293.26	49.41	0.00	49.41	90.0	44.47
01/31/2017	MONETTE, RONALD	JNL PERSPECTIVE L	194,929.43	162.44	0.00	162.44	90.0	146.20
* VARIABLE ANNUITIES SUBTOTAL *			\$793,754.06	\$661.45	\$0.00	\$661.45		\$595.31
*** BROKER TRANSACTIONS TOTAL ***			\$793,754.06	\$661.45	\$0.00	\$661.45		\$595.31

SPLIT TRANSACTIONS

BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332

02/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	180.00	3.82	0.00	3.82	90.0	3.44
02/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	225.00	4.78	0.00	4.78	90.0	4.30
02/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	280.00	5.95	0.00	5.95	90.0	5.36
02/02/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	160.00	3.40	0.00	3.40	90.0	3.06
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$845.00	\$17.95	\$0.00	\$17.95		\$16.16

BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335

02/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	111.00	1.22	0.00	1.22	90.0	1.10
02/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	58.00	0.64	0.00	0.64	90.0	0.58
02/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	73.00	0.81	0.00	0.81	90.0	0.73
02/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	74.00	0.81	0.00	0.81	90.0	0.73
02/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	60.00	0.66	0.00	0.66	90.0	0.59
02/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.55	0.00	0.55	90.0	0.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
02/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.55	0.00	0.55	90.0	0.50
02/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	111.00	1.22	0.00	1.22	90.0	1.10
02/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	65.00	0.71	0.00	0.71	90.0	0.64
02/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	57.00	0.63	0.00	0.63	90.0	0.57
02/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	72.00	0.79	0.00	0.79	90.0	0.71
02/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	74.00	0.81	0.00	0.81	90.0	0.73
02/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	65.00	0.71	0.00	0.71	90.0	0.64
02/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.55	0.00	0.55	90.0	0.50
02/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.55	0.00	0.55	90.0	0.50
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$1,020.00	\$11.21	\$0.00	\$11.21		\$10.12
*** SPLIT TRANSACTIONS TOTAL ***			\$1,865.00	\$29.16	\$0.00	\$29.16		\$26.28

ADJUSTMENTS

02/15/2017		*CONCIERGE SVC: 185769,185838-HEEN	0.00					-50.00
02/15/2017		*CONCIERGE SVC: 185956-JORGENSEN	0.00					-20.00
02/15/2017		*CONCIERGE SVC: 186459-PACHECO	0.00					-20.00
02/15/2017		*EO INS: CURRENT MONTH E&O	0.00					-300.00
02/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-0.33
02/15/2017		*PERSHING: ETF PROSPECTUS	0.00					-11.06
02/15/2017		*PERSHING: ETF PROSPECTUS	0.00					-9.40
02/15/2017		*PERSHING: MF PROSPECTUS	0.00					-17.48
02/15/2017		*PERSHING: MF PROSPECTUS	0.00					-44.67
02/15/2017		*PERSHING: MF PROSPECTUS	0.00					-40.20
02/15/2017		*REGISTRATION FEE: SD REG FEE	0.00					-125.00
02/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-913.14

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$595.31
Split Transactions	\$26.28
Override Transactions	\$0.00
Adjustments	\$-913.14
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-291.55
Year-to-date Gross	\$3,345.13
Year-to-date Earnings	\$3,006.62