

**COMMISSION STATEMENT****November 15, 2017**ERNEST DALE ANDERSON
6484 Los Altos NEBROKER #: A122 Terminated 10/15/2014
BRANCH: ERNEST (DALE) ANDERS

Rockford, MI 49341-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/16/2017	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	625.00	23.44	0.00	23.44	0.0	0.00
10/16/2017	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	125.00	4.69	0.00	4.69	0.0	0.00
10/16/2017	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	125.00	4.69	0.00	4.69	0.0	0.00
10/16/2017	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	625.00	23.44	0.00	23.44	0.0	0.00
10/16/2017	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	125.00	4.69	0.00	4.69	0.0	0.00
10/16/2017	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	125.00	4.69	0.00	4.69	0.0	0.00
10/16/2017	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	625.00	23.44	0.00	23.44	0.0	0.00
10/16/2017	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	125.00	4.69	0.00	4.69	0.0	0.00
10/16/2017	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	125.00	4.69	0.00	4.69	0.0	0.00
10/16/2017	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	625.00	23.44	0.00	23.44	0.0	0.00
10/16/2017	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	125.00	4.69	0.00	4.69	0.0	0.00
10/16/2017	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	125.00	4.69	0.00	4.69	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$3,500.00	\$131.28	\$0.00	\$131.28		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$3,500.00	\$131.28	\$0.00	\$131.28		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$326.59
Year-to-date Earnings	\$0.00