

ANNE PLATSKY

10310 Sugarberry Court #402 # 402 Raleigh, NC 27614 BROKER #: 911

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
								_
		BROKER TRA	NSACTIONS					
	CATEGORY: MUTUAL FUNDS							
04/10/201	8 ***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	AMCAP FUND-A BUY 6 Units @33.1200	200.00	2.40	0.00	2.40	60.0	1.44
04/10/201	8 ***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	THE INVESTMENT COMPANY OF AMERICA-A BUY 10 Units @40.6000	400.00	4.80	0.00	4.80	60.0	2.88
		* MUTUAL FUNDS SUBTOTAL *	\$600.00	\$7.20	\$0.00	\$7.20		\$4.32
PRODUCT	CATEGORY: MUTUAL FUND 12B1 T	TRAILS						
04/23/201	8 ***-**-398I - PLATSKY, ANNE ACCOUNT #: LSR100536	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	9.23	0.00	9.23	60.0	5.54
04/23/201	8 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100633	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	4.15	0.00	4.15	60.0	2.49
04/23/201	8 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	37.77	0.00	37.77	60.0	22.66
04/23/201	8 ***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	27.94	0.00	27.94	60.0	16.76
04/23/201	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	22.08	0.00	22.08	60.0	13.25
04/23/201	8 ***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	12.47	0.00	12.47	60.0	7.48
04/23/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	12.79	0.00	12.79	60.0	7.67
04/23/201	8 ***-**-05IS - BRUNCKHORST, ACCOUNT #: LSR101818	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	6.62	0.00	6.62	60.0	3.97
04/23/201	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	17.73	0.00	17.73	60.0	10.64
04/23/201	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	3.48	0.00	3.48	60.0	2.09
04/03/201	8 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00003593290	CALVERT BALANCED PORTFOLIO - A BUY 0 Units @0.0000	0.00	4.17	0.00	4.17	60.0	2.50
04/03/201	8 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00003593332	CALVERT BALANCED PORTFOLIO - A BUY 0 Units @0.0000	0.00	5.92	0.00	5.92	60.0	3.55
04/03/201	8 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00003593290	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 0 Units @0.0000	0.00	8.29	0.00	8.29	60.0	4.97



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DDODUG#	CAMPROOPER ASSESSED 1201		SACTIONS (contin	ued)				
	CATEGORY: MUTUAL FUND 12B1 T 18 ***-**-5461 - ZUKOR, RONALD ACCOUNT #: 00003593332	CALVERT SOCIAL INVESTMENT FD EQ BUY 0 Units @0.0000	0.00	11.71	0.00	11.71	60.0	7.03
04/23/203	18 ***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	CAPITAL GROWTH FUND A BUY 1 Units @0.0000	0.00	14.56	0.00	14.56	60.0	8.74
04/23/202	18 ***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	A CAPITAL GROWTH FUND A BUY 1 Units @0.0000	0.00	14.81	0.00	14.81	60.0	8.89
04/23/203	18 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	CAPITAL GROWTH FUND A BUY 1 Units @0.0000	0.00	50.94	0.00	50.94	60.0	30.56
04/23/203	18 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	CAPITAL INCOME A BUY 1 Units @0.0000	0.00	20.64	0.00	20.64	60.0	12.38
04/23/203	18 ***-**-398I - PLATSKY, ANNE ACCOUNT #: LSR100536	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	8.01	0.00	8.01	60.0	4.81
04/23/203	18 ***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.95	0.00	2.95	60.0	1.77
04/23/203	18 ***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	A CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	33.21	0.00	33.21	60.0	19.93
04/23/203	18 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	29.26	0.00	29.26	60.0	17.56
04/23/203	18 ***-**-05IS - BRUNCKHORST, ACCOUNT #: LSR101818	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	6.76	0.00	6.76	60.0	4.06
04/23/202	18 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	3.75	0.00	3.75	60.0	2.25
04/23/202	18 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100633	CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	2.64	0.00	2.64	60.0	1.58
04/23/202	18 ***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	A CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	7.40	0.00	7.40	60.0	4.44
04/23/202	18 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	3.74	0.00	3.74	60.0	2.24
04/23/203	18 ***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	CAPITAL WORLD GROWTH AND INCOME BUY 1 Units @0.0000	FUN 0.00	15.84	0.00	15.84	60.0	9.50
04/23/203	18 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	CAPITAL WORLD GROWTH AND INCOME BUY 1 Units @0.0000	FUN 0.00	73.75	0.00	73.75	60.0	44.25



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BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT	CATEGORY: MUTUAL FUND 12B1 T	· ·	CTIONS (continu	ıed)				
04/23/2018	3 ***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	CAPITAL WORLD GROWTH AND INCOME FU. BUY 1 Units @0.0000	0.00	28.46	0.00	28.46	60.0	17.08
04/23/2018	3 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	36.16	0.00	36.16	60.0	21.70
04/23/2018	3 ***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	24.47	0.00	24.47	60.0	14.68
04/23/2018	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FIDELITY ADV EQUITY GROWTH CL T BUY 1 Units @0.0000	0.00	9.63	0.00	9.63	60.0	5.78
04/23/2018	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	FIDELITY ADV EQUITY INCOME CL A BUY 1 Units @0.0000	0.00	11.76	0.00	11.76	60.0	7.06
04/23/2018	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	FIDELITY ADV VALUE STRATEGIES-CL ABUY 1 Units @0.0000	0.00	14.83	0.00	14.83	60.0	8.90
04/23/2018	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FIDELITY ADVISOR VALUE STRAT CL T BUY 1 Units @0.0000	0.00	11.15	0.00	11.15	60.0	6.69
04/23/2018	8 ***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	57.24	0.00	57.24	60.0	34.34
04/23/2018	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	65.38	0.00	65.38	60.0	39.23
04/23/2018	3 ***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	40.10	0.00	40.10	60.0	24.06
04/23/2018	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	47.93	0.00	47.93	60.0	28.76
04/23/2018	8 ***-**-05IS - BRUNCKHORST, ACCOUNT #: LSR101818	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	4.41	0.00	4.41	60.0	2.65
04/23/2018	8 ***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	GOLDMAN SACHS ASSET ALLOC GRWTH ST BUY 1 Units @0.0000	R 0.00	7.01	0.00	7.01	60.0	4.21
04/23/2018	8 ***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	GOLDMAN SACHS GROWTH AND INCOME CL BUY 1 Units @0.0000	A 0.00	2.74	0.00	2.74	60.0	1.64
04/23/2018	8 ***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	GOLDMAN SACHS GROWTH AND INCOME CL BUY 1 Units @0.0000	A 0.00	12.14	0.00	12.14	60.0	7.28
04/23/2018	8 ***-**-8071 - WALSH III, FBO ACCOUNT #: LSR100781	GOLDMAN SACHS HIGH YIELD CLASS A BUY 1 Units @0.0000	0.00	3.51	0.00	3.51	60.0	2.11



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PRODUCT	CATEGORY: MUTUAL FUND 12B1 1	BROKER TRANSACTI	IONS (continu	ued)				
	8 ***-**-18IN - ZAMECK, RICKI	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	49.32	0.00	49.32	60.0	29.59
04/23/201	8 ***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	A GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	1.40	0.00	1.40	60.0	0.84
04/23/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	INTERNATIONAL BOND A BUY 1 Units @0.0000	0.00	23.64	0.00	23.64	60.0	14.18
04/23/201	8 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	75.67	0.00	75.67	60.0	45.40
04/23/201	8 ***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	49.95	0.00	49.95	60.0	29.97
04/23/201	8 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	10.92	0.00	10.92	60.0	6.55
04/23/201	8 ***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	A NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	12.00	0.00	12.00	60.0	7.20
04/23/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	47.94	0.00	47.94	60.0	28.76
04/23/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	OPPENHEIMER STRATEGIC INCOME FD CL BUY 1 Units @0.0000	0.00	23.98	0.00	23.98	60.0	14.39
04/23/201	8 ***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	35.67	0.00	35.67	60.0	21.40
04/23/201	8 ***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	29.52	0.00	29.52	60.0	17.71
04/23/201	8 ***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	STRUCTURED SMALL CAP VALUE FUND A BUY 1 Units @0.0000	0.00	9.13	0.00	9.13	60.0	5.48
04/23/201	8 ***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	STRUCTURED U.S. EQUITY CLASS A BUY 1 Units @0.0000	0.00	9.27	0.00	9.27	60.0	5.56
04/23/201	8 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	STRUCTURED U.S. EQUITY CLASS A BUY 1 Units @0.0000	0.00	12.36	0.00	12.36	60.0	7.42
04/23/201	8 ***-**-398I - PLATSKY, ANNE ACCOUNT #: LSR100536	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.25	0.00	0.25	60.0	0.15
04/23/201	8 ***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	6.46	0.00	6.46	60.0	3.88



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PRODUCT	CATEGORY: MUTUAL FUND 12B1	BROKER TRANSACTI	ONS (contin	ued)				
	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	11.77	0.00	11.77	60.0	7.06
04/23/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	7.59	0.00	7.59	60.0	4.55
04/23/201	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	48.81	0.00	48.81	60.0	29.29
04/23/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	115.13	0.00	115.13	60.0	69.08
04/23/201	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	4.19	0.00	4.19	60.0	2.51
04/23/201	8 ***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.90	0.00	2.90	60.0	1.74
04/23/201	8 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	9.37	0.00	9.37	60.0	5.62
04/23/201	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	29.03	0.00	29.03	60.0	17.42
04/23/201	8 ***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	8.57	0.00	8.57	60.0	5.14
04/23/201	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	7.49	0.00	7.49	60.0	4.49
04/23/201	8 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	31.41	0.00	31.41	60.0	18.85
04/23/201	8 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	16.05	0.00	16.05	60.0	9.63
04/23/201	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	13.81	0.00	13.81	60.0	8.29
04/23/201	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	22.57	0.00	22.57	60.0	13.54
04/23/201	8 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	52.07	0.00	52.07	60.0	31.24
04/23/201	8 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	16.65	0.00	16.65	60.0	9.99



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		BROKER TRANSACTIO	ONS (contin	ued)				
	CATEGORY: MUTUAL FUND 12B1	TRAILS (continued)						
04/23/2018	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	20.30	0.00	20.30	60.0	12.18
04/23/2018	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	85.27	0.00	85.27	60.0	51.16
04/06/2018	8 ***-**-4866 - KOVEL, ARTHUR ACCOUNT #: 08324760907	WELLS FARGO HIGH YLD INC FD CL A BUY 0 Units @0.0000	0.00	0.14	0.00	0.14	60.0	0.08
04/06/2018	8 ***-**-4866 - KOVEL, ARTHUR ACCOUNT #: 08324760907	WELLS FGO AVTG DIVERSFD CAPITAL BLD BUY 0 Units @0.0000	0.00	3.03	0.00	3.03	60.0	1.82
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,753.16	\$0.00	\$1,753.16		\$1,051.89
		*** BROKER TRANSACTIONS TOTAL ***	\$600.00	\$1,760.36	\$0.00	\$1,760.36		\$1,056.21
					E	ROKER COMMI	SSION	TOTALS
					Broke	Transactions		\$1,056.21
						Transactions		\$0.00
					_	Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
					Prior 1	Period Balance		\$0.00
					FII	NRA Assessment		\$0.00
					S	IPC Assessment		\$0.00
					Net Com	nission Earned	<u> </u>	\$1,056.21
					Year-to	-date Earnings		\$7,908.25