



COMMISSION STATEMENT

February 26, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/12/2018	***-**-2885 - BISHOP, DAVID ACCOUNT #: 00068915116	AMERICAN BALANCED FUND-A BUY 2 Units @28.1500	50.00	1.88	0.00	1.88	80.0	1.50
02/15/2018	***-**-8238 - ASMUS, MICHAEL ACCOUNT #: 00074487927	AMERICAN BALANCED FUND-A BUY 3 Units @28.5400	75.00	2.81	0.00	2.81	80.0	2.25
02/13/2018	***-**-8806 - MABRY, REBECCA ACCOUNT #: 00082729374	CAPITAL INCOME BUILDER-A BUY 1 Units @64.3800	75.00	2.81	0.00	2.81	80.0	2.25
02/12/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00083299252	EUROPACIFIC GROWTH FUND-A BUY 1 Units @59.0000	50.00	2.13	0.00	2.13	80.0	1.70
02/13/2018	***-**-8806 - MABRY, REBECCA ACCOUNT #: 00082729374	EUROPACIFIC GROWTH FUND-A BUY 1 Units @58.8600	75.00	2.81	0.00	2.81	80.0	2.25
02/12/2018	***-**-2885 - BISHOP, DAVID ACCOUNT #: 00068915116	FUNDAMENTAL INVESTORS-A BUY 1 Units @65.0200	75.00	2.81	0.00	2.81	80.0	2.25
02/12/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00083299252	FUNDAMENTAL INVESTORS-A BUY 1 Units @65.3600	50.00	2.13	0.00	2.13	80.0	1.70
02/09/2018	***-**-5506 - LOMBARDO, LEIGH ACCOUNT #: 03809219276	INVESCO AMERICAN FRANCHISE FUND -CL BUY 14 Units @20.9400	300.00	12.00	0.00	12.00	80.0	9.60
02/12/2018	***-**-2885 - BISHOP, DAVID ACCOUNT #: 00068915116	NEW PERSPECTIVE FUND-A BUY 1 Units @45.7700	50.00	1.88	0.00	1.88	80.0	1.50
02/12/2018	***-**-2885 - BISHOP, DAVID ACCOUNT #: 00068915116	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.9600	50.00	1.88	0.00	1.88	80.0	1.50
02/12/2018	***-**-1748 - LOMBARDO, JAMES ACCOUNT #: 00083088053	THE GROWTH FUND OF AMERICA-A BUY 4 Units @53.6700	200.00	10.00	0.00	10.00	80.0	8.00
02/15/2018	***-**-8238 - ASMUS, MICHAEL ACCOUNT #: 00074487927	THE INVESTMENT COMPANY OF AMERICA-A BUY 3 Units @43.4700	140.00	5.25	0.00	5.25	80.0	4.20
02/09/2018	***-**-5506 - LOMBARDO, LEIGH ACCOUNT #: 03809219276	VAN KAMPEN3 BUY 13 Units @7.5200	100.00	4.00	0.00	4.00	80.0	3.20
* MUTUAL FUNDS SUBTOTAL *			\$1,290.00	\$52.39	\$0.00	\$52.39		\$41.90
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/20/2018	***-**-166I - BONN, FBO ACCOUNT #: LSR011032	AMCAP FUND-C BUY 1 Units @0.0000	0.00	2.90	0.00	2.90	80.0	2.32
02/20/2018	***-**-166I - BONN, FBO ACCOUNT #: LSR011032	AMERICAN MUTUAL FUND-C BUY 1 Units @0.0000	0.00	3.85	0.00	3.85	80.0	3.08



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/20/2018	***-**-166I - BONN, FBO	EUROPACIFIC GROWTH FUND CLASS C	0.00	2.57	0.00	2.57	80.0	2.06
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
02/20/2018	***-**-166I - BONN, FBO	FUNDAMENTAL INVESTORS-C	0.00	4.17	0.00	4.17	80.0	3.34
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
02/20/2018	***-**-166I - BONN, FBO	INCOME FUND OF AMERICA CLASS C	0.00	1.30	0.00	1.30	80.0	1.04
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
02/20/2018	***-**-166I - BONN, FBO	SMALLCAP WORLD FUND-C	0.00	2.19	0.00	2.19	80.0	1.75
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$16.98	\$0.00	\$16.98		\$13.59
*** BROKER TRANSACTIONS TOTAL ***			\$1,290.00	\$69.37	\$0.00	\$69.37		\$55.49

BROKER COMMISSION TOTALS

Broker Transactions	\$55.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$55.49
Year-to-date Earnings	\$0.00