BROKER

R.F. Lafferty & Co., Inc. Est. 1946

Page No. 1

COMMISSION STATEMENT April 03, 2017

JOHN KILLEEN

306 SCHUNNEMUNK STREET

MONROE, NY 10950

BROKER #:

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

COMMISSION STATEMENT for JOHN KILLEEN

INVESTMENT

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKE	R TRANSA	CTIONS					
PRODUCT CA	TEGORY: MUTUAL FUND	S							
03/10/2017 0	0061663272 HEGARTY, E	- ILEEN NEW PERSPECTIVE FUND-A	В	300.00	1.50	0.00	1.50	86.0	0.00
03/10/2017 0	0061663272 HEGARTY, E	ILEEN THE GROWTH FUND OF AMERICA-A	В	300.00	1.50	0.00	1.50	86.0	0.00
03/10/2017 0	0061663272 HEGARTY, E	ILEEN THE INCOME FUND OF AMERICA-A	В	200.00	1.00	0.00	1.00	86.0	0.00
03/10/2017 0	0061663272 HEGARTY, E	ILEEN THE NEW ECONOMY FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 0	0061663272 HEGARTY, E	ILEEN WASHINGTON MUTUAL INVESTORS F	FUND-A B	100.00	1.00	0.00	1.00	86.0	0.00
03/22/2017 0	0061663272 HEGARTY, E	ILEEN NEW PERSPECTIVE FUND-A	В	300.00	1.50	0.00	1.50	86.0	0.00
03/22/2017 0	0061663272 HEGARTY, E	ILEEN THE GROWTH FUND OF AMERICA-A	В	300.00	1.50	0.00	1.50	86.0	0.00
03/22/2017 0	0061663272 HEGARTY, E	ILEEN THE INCOME FUND OF AMERICA-A	В	200.00	1.00	0.00	1.00	86.0	0.00
03/22/2017 0	0061663272 HEGARTY, E	ILEEN THE NEW ECONOMY FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/22/2017 0	0061663272 HEGARTY, E	ILEEN WASHINGTON MUTUAL INVESTORS F	FUND-A B	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 0	0061736606 HEGARTY, E	ILEEN NEW PERSPECTIVE FUND-A	В	312.54	1.56	0.00	1.56	86.0	0.00
03/10/2017 0	0061736606 HEGARTY, E	ILEEN THE INCOME FUND OF AMERICA-A	В	168.29	0.84	0.00	0.84	86.0	0.00
03/22/2017 0	0061736606 HEGARTY, E	ILEEN NEW PERSPECTIVE FUND-A	В	312.54	1.56	0.00	1.56	86.0	0.00
03/22/2017 0	0061736606 HEGARTY, E	ILEEN THE INCOME FUND OF AMERICA-A	В	168.29	0.84	0.00	0.84	86.0	0.00
03/10/2017 0	0061736608 HEGARTY, E	ILEEN AMCAP FUND-A	В	120.00	0.60	0.00	0.60	86.0	0.00
03/10/2017 0	0061736608 HEGARTY, E	ILEEN THE GROWTH FUND OF AMERICA-A	В	120.00	0.60	0.00	0.60	86.0	0.00
03/22/2017 0	0061736608 HEGARTY, E	ILEEN AMCAP FUND-A	В	120.00	0.60	0.00	0.60	86.0	0.00
03/22/2017 0	0061736608 HEGARTY, E	ILEEN THE GROWTH FUND OF AMERICA-A	В	120.00	0.60	0.00	0.60	86.0	0.00
03/10/2017 0	0061736614 HEGARTY, E	ILEEN AMCAP FUND-A	В	150.00	0.75	0.00	0.75	86.0	0.00
03/10/2017 0	0061736614 HEGARTY, E	ILEEN EUROPACIFIC GROWTH FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 0	0061736614 HEGARTY, E	ILEEN NEW PERSPECTIVE FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 0	0061736614 HEGARTY, E	ILEEN THE BOND FUND OF AMERICA-A	В	200.00	1.00	0.00	1.00	86.0	0.00
03/10/2017 0	0061736614 HEGARTY, E	ILEEN THE INCOME FUND OF AMERICA-A	В	200.00	1.00	0.00	1.00	86.0	0.00
03/10/2017 0	0061736614 HEGARTY, E	ILEEN THE NEW ECONOMY FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 0	0061736614 HEGARTY, E	ILEEN WASHINGTON MUTUAL INVESTORS F	FUND-A B	150.00	1.50	0.00	1.50	86.0	0.00
03/22/2017 0	0061736614 HEGARTY, E	ILEEN AMCAP FUND-A	В	150.00	0.75	0.00	0.75	86.0	0.00
03/22/2017 0	0061736614 HEGARTY, E	ILEEN EUROPACIFIC GROWTH FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/22/2017 0	0061736614 HEGARTY, E	ILEEN NEW PERSPECTIVE FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/22/2017 0	0061736614 HEGARTY, E	ILEEN THE BOND FUND OF AMERICA-A	В	200.00	1.00	0.00	1.00	86.0	0.00
03/22/2017 0	0061736614 HEGARTY, E	ILEEN THE INCOME FUND OF AMERICA-A	В	200.00	1.00	0.00	1.00	86.0	0.00
03/22/2017 0	0061736614 HEGARTY, E	ILEEN THE NEW ECONOMY FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00

INVESTMENT

GROSS

CLEARING

NET

Page No. 2

BROKER

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
TRADE DATE	CHIMI		INVESTMENT		AHOONI	COMMISSION	CIPACOL	COMMISSION	MIL	COMMISSION
			BROKER TR	∆ NT	\CTT∩NG					
			BROKEK IK	тирг	ACT TOND					
	regory: Mutual fu									
	0061736614 HEGARTY,		WASHINGTON MUTUAL INVESTORS FUND-A		150.00	0.75	0.00	0.75	86.0	0.00
	0061736616 HEGARTY,		AMCAP FUND-A	В	500.00	2.50	0.00	2.50	86.0	0.00
	0061736616 HEGARTY,		THE GROWTH FUND OF AMERICA-A	В	300.00	1.50	0.00	1.50	86.0	0.00
	0061736616 HEGARTY,		WASHINGTON MUTUAL INVESTORS FUND-A	В	200.00	1.84	0.00	1.84	86.0	0.00
03/22/2017 00	0061736616 HEGARTY,	EILEEN	AMCAP FUND-A	В	500.00	2.50	0.00	2.50	86.0	0.00
03/22/2017 00	0061736616 HEGARTY,	EILEEN	THE GROWTH FUND OF AMERICA-A	В	300.00	1.50	0.00	1.50	86.0	0.00
03/22/2017 00	0061736616 HEGARTY,	EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	200.00	1.00	0.00	1.00	86.0	0.00
03/10/2017 00	0062829276 HEGARTY,	EILEEN	EUROPACIFIC GROWTH FUND-A	В	80.00	0.40	0.00	0.40	86.0	0.00
03/10/2017 00	0062829276 HEGARTY,	EILEEN	NEW PERSPECTIVE FUND-A	В	120.00	0.60	0.00	0.60	86.0	0.00
03/10/2017 00	0062829276 HEGARTY,	EILEEN	THE NEW ECONOMY FUND-A	В	80.00	0.40	0.00	0.40	86.0	0.00
03/10/2017 00	0062829276 HEGARTY,	EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	120.00	0.60	0.00	0.60	86.0	0.00
03/22/2017 00	0062829276 HEGARTY,	EILEEN	EUROPACIFIC GROWTH FUND-A	В	80.00	0.40	0.00	0.40	86.0	0.00
03/22/2017 00	0062829276 HEGARTY,	EILEEN	NEW PERSPECTIVE FUND-A	В	120.00	0.60	0.00	0.60	86.0	0.00
03/22/2017 00	0062829276 HEGARTY,	EILEEN	THE NEW ECONOMY FUND-A	В	80.00	0.40	0.00	0.40	86.0	0.00
03/22/2017 00	0062829276 HEGARTY,	EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	120.00	0.60	0.00	0.60	86.0	0.00
03/10/2017 00	0063329982 HEGARTY,	EILEEN	EUROPACIFIC GROWTH FUND-A	В	371.07	1.86	0.00	1.86	86.0	0.00
03/10/2017 00	0063329982 HEGARTY,	EILEEN	NEW WORLD FUND-A	В	371.07	1.86	0.00	1.86	86.0	0.00
03/10/2017 00	0063329982 HEGARTY,	EILEEN	THE GROWTH FUND OF AMERICA-A	В	494.76	2.47	0.00	2.47	86.0	0.00
03/22/2017 00	0063329982 HEGARTY,	EILEEN	EUROPACIFIC GROWTH FUND-A	В	371.07	1.86	0.00	1.86	86.0	0.00
03/22/2017 00	0063329982 HEGARTY,	EILEEN	NEW WORLD FUND-A	В	371.07	1.86	0.00	1.86	86.0	0.00
03/22/2017 00	0063329982 HEGARTY,	EILEEN	THE GROWTH FUND OF AMERICA-A	В	494.76	2.47	0.00	2.47	86.0	0.00
03/10/2017 00	0063362305 HEGARTY,	EILEEN	AMCAP FUND-A	В	200.00	1.00	0.00	1.00	86.0	0.00
03/10/2017 00	0063362305 HEGARTY,	EILEEN	NEW PERSPECTIVE FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 00	0063362305 HEGARTY,	EILEEN	THE BOND FUND OF AMERICA-A	В	300.00	1.50	0.00	1.50	86.0	0.00
03/10/2017 00	0063362305 HEGARTY,	EILEEN	THE GROWTH FUND OF AMERICA-A	В	200.00	1.00	0.00	1.00	86.0	0.00
03/10/2017 00	0063362305 HEGARTY,	EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	200.00	1.00	0.00	1.00	86.0	0.00
03/22/2017 00	0063362305 HEGARTY,	EILEEN	AMCAP FUND-A	В	200.00	1.00	0.00	1.00	86.0	0.00
03/22/2017 00	0063362305 HEGARTY,	EILEEN	NEW PERSPECTIVE FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/22/2017 00	0063362305 HEGARTY,	EILEEN	THE BOND FUND OF AMERICA-A	В	300.00	1.50	0.00	1.50	86.0	0.00
03/22/2017 00	0063362305 HEGARTY,	EILEEN	THE GROWTH FUND OF AMERICA-A	В	200.00	1.00	0.00	1.00	86.0	0.00
03/22/2017 00	0063362305 HEGARTY,	EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	200.00	1.00	0.00	1.00	86.0	0.00
03/10/2017 00	0063362310 HEGARTY,	EILEEN	AMCAP FUND-A	В	300.00	1.50	0.00	1.50	86.0	0.00
03/10/2017 00	0063362310 HEGARTY,	EILEEN	THE GROWTH FUND OF AMERICA-A	В	200.00	1.00	0.00	1.00	86.0	0.00
03/10/2017 00	0063362310 HEGARTY,	EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	В	500.00	2.50	0.00	2.50	86.0	0.00
03/22/2017 00	0063362310 HEGARTY,	EILEEN	AMCAP FUND-A	В	300.00	1.50	0.00	1.50	86.0	0.00
03/22/2017 00	0063362310 HEGARTY,	EILEEN	THE GROWTH FUND OF AMERICA-A	В	200.00	1.00	0.00		86.0	0.00
03/22/2017 00	0063362310 HEGARTY,	EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	В	500.00	2.50	0.00		86.0	0.00
	0063362311 HEGARTY,		NEW PERSPECTIVE FUND-A	В	250.00	1.25	0.00		86.0	0.00
	0063362311 HEGARTY,		THE GROWTH FUND OF AMERICA-A	В	200.00	1.00	0.00		86.0	0.00
	0063362311 HEGARTY,		THE INCOME FUND OF AMERICA-A	В	100.00	0.50	0.00		86.0	0.00
, , , ,				_	200.00	0.50	3.30	0.50		5.50

INVESTMENT

TRADE DATE

CLIENT

BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
03/10/2017 00063362311 HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	В	200.00	1.00	0.00	1.00 86.0	0.00		
03/10/2017 00063362311 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	250.00	1.25	0.00	1.25 86.0	0.00		
03/22/2017 00063362311 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	В	250.00	1.25	0.00	1.25 86.0	0.00		
03/22/2017 00063362311 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	200.00	1.00	0.00	1.00 86.0	0.00		
03/22/2017 00063362311 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	В	100.00	0.50	0.00	0.50 86.0	0.00		
03/22/2017 00063362311 HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	В	200.00	1.00	0.00	1.00 86.0	0.00		
03/22/2017 00063362311 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	250.00	1.25	0.00	1.25 86.0	0.00		
03/10/2017 00063795979 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	В	300.00	1.50	0.00	1.50 86.0	0.00		
03/10/2017 00063795979 HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	В	150.00	0.75	0.00	0.75 86.0	0.00		
03/10/2017 00063795979 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	300.00	1.50	0.00	1.50 86.0	0.00		
03/22/2017 00063795979 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	В	300.00	1.50	0.00	1.50 86.0	0.00		
03/22/2017 00063795979 HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	В	150.00	0.75	0.00	0.75 86.0	0.00		
03/22/2017 00063795979 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	300.00	1.50	0.00	1.50 86.0	0.00		
03/10/2017 00064217122 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	82.52	0.41	0.00	0.41 86.0	0.00		
03/10/2017 00064217122 HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	В	33.01	0.17	0.00	0.17 86.0	0.00		
03/10/2017 00064217122 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	49.51	0.25	0.00	0.25 86.0	0.00		
03/22/2017 00064217122 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	82.52	0.41	0.00	0.41 86.0	0.00		
03/22/2017 00064217122 HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	В	33.01	0.17	0.00	0.17 86.0	0.00		
03/22/2017 00064217122 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	49.51	0.25	0.00	0.25 86.0	0.00		
03/10/2017 00064217123 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	В	65.00	0.33	0.00	0.33 86.0	0.00		
03/10/2017 00064217123 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	65.00	0.33	0.00	0.33 86.0	0.00		
03/10/2017 00064217123 HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	В	65.00	0.33	0.00	0.33 86.0	0.00		
03/10/2017 00064217123 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	65.00	0.33	0.00	0.33 86.0	0.00		
03/22/2017 00064217123 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	В	65.00	0.33	0.00	0.33 86.0	0.00		
03/22/2017 00064217123 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	65.00	0.33	0.00	0.33 86.0	0.00		
03/22/2017 00064217123 HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	В	65.00	0.33	0.00	0.33 86.0	0.00		
03/22/2017 00064217123 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	65.00	0.33	0.00	0.33 86.0	0.00		
02/27/2017 00064617395 BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	В	28.28	0.57	0.00	0.57 86.0	0.00		
02/27/2017 00064617395 BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	В	37.69	0.75	0.00	0.75 86.0	0.00		
02/27/2017 00064617395 BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	В	28.28	0.57	0.00	0.57 86.0	0.00		
03/06/2017 00064617395 BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	В	28.28	0.57	0.00	0.57 86.0	0.00		
03/06/2017 00064617395 BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	В	37.69	0.75	0.00	0.75 86.0	0.00		
03/06/2017 00064617395 BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	В	28.28	0.57	0.00	0.57 86.0	0.00		
03/13/2017 00064617395 BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	В	28.28	0.57	0.00	0.57 86.0	0.00		
03/13/2017 00064617395 BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	В	37.69	0.75	0.00	0.75 86.0	0.00		
03/13/2017 00064617395 BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	В	28.28	0.57	0.00	0.57 86.0	0.00		
03/20/2017 00064617395 BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	В	28.28	0.57	0.00	0.57 86.0	0.00		
03/20/2017 00064617395 BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	В	37.69	0.75	0.00	0.75 86.0	0.00		
03/20/2017 00064617395 BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	В	28.28	0.57	0.00	0.57 86.0	0.00		
03/10/2017 00068080343 HEGARTY, EILEEN	AMCAP FUND-A	В	100.00	0.50	0.00	0.50 86.0	0.00		

INVESTMENT

AMOUNT

B/S

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

Page No.

RATE

BROKER

COMMISSION

INVESTMENT

TRADE DATE

CLIENT

COMMISSION STATEMENT for JOHN KILLEEN

B/S

INVESTMENT

AMOUNT

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

Page No.

RATE

BROKER

COMMISSION

	BROKER TR	ANSACTIO	<u>ns</u>					
PRODUCT CATEGORY: MUTUAL FUNDS								
03/10/2017 00068080343 HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 00068080343 HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	В	500.00	2.50	0.00	2.50	86.0	0.00
03/10/2017 00068080343 HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 00068080343 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	200.00	1.00	0.00	1.00	86.0	0.00
03/22/2017 00068080343 HEGARTY, EILEEN	AMCAP FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/22/2017 00068080343 HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/22/2017 00068080343 HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	В	500.00	2.50	0.00	2.50	86.0	0.00
03/22/2017 00068080343 HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/22/2017 00068080343 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	200.00	1.00	0.00	1.00	86.0	0.00
03/10/2017 00068086982 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	150.57	0.75	0.00	0.75	86.0	0.00
03/10/2017 00068086982 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	В	150.57	0.75	0.00	0.75	86.0	0.00
03/22/2017 00068086982 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	150.57	0.75	0.00	0.75	86.0	0.00
03/22/2017 00068086982 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	В	150.57	0.75	0.00	0.75	86.0	0.00
03/10/2017 00070001812 HEGARTY, EILEEN	AMCAP FUND-A	В	16.98	0.08	0.00	0.08	86.0	0.00
03/10/2017 00070001812 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	В	33.95	0.17	0.00	0.17	86.0	0.00
03/10/2017 00070001812 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	33.95	0.17	0.00	0.17	86.0	0.00
03/10/2017 00070001812 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	В	33.95	0.17	0.00	0.17	86.0	0.00
03/10/2017 00070001812 HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	В	50.93	0.25	0.00	0.25	86.0	0.00
03/22/2017 00070001812 HEGARTY, EILEEN	AMCAP FUND-A	В	16.98	0.08	0.00	0.08	86.0	0.00
03/22/2017 00070001812 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	В	33.95	0.17	0.00	0.17	86.0	0.00
03/22/2017 00070001812 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	33.95	0.17	0.00	0.17	86.0	0.00
03/22/2017 00070001812 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	В	33.95	0.17	0.00	0.17	86.0	0.00
03/22/2017 00070001812 HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	В	50.93	0.25	0.00	0.25	86.0	0.00
03/10/2017 00070004355 HEGARTY, EILEEN	AMCAP FUND-A	В	31.97	0.16	0.00	0.16	86.0	0.00
03/10/2017 00070004355 HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	В	28.77	0.14	0.00	0.14	86.0	0.00
03/10/2017 00070004355 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	В	73.53	0.37	0.00	0.37	86.0	0.00
03/10/2017 00070004355 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	54.35	0.27	0.00	0.27	86.0	0.00
03/10/2017 00070004355 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	В	57.55	0.29	0.00	0.29	86.0	0.00
03/10/2017 00070004355 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	67.14	0.34	0.00	0.34	86.0	0.00
03/22/2017 00070004355 HEGARTY, EILEEN	AMCAP FUND-A	В	31.97	0.16	0.00		86.0	0.00
03/22/2017 00070004355 HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	В	28.77	0.14	0.00		86.0	0.00
03/22/2017 00070004355 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	В	73.53	0.37	0.00	0.37		0.00
03/22/2017 00070004355 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	54.35	0.27	0.00		86.0	0.00
03/22/2017 00070004355 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	В	57.55	0.29	0.00	0.29	86.0	0.00
03/22/2017 00070004355 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	67.14	0.34	0.00	0.34		0.00
03/10/2017 00070004361 HEGARTY, EILEEN	AMCAP FUND-A	В	74.25	0.37	0.00		86.0	0.00
03/10/2017 00070004361 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	В	74.25	0.37	0.00	0.37		0.00
03/10/2017 00070004361 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A		76.50	0.38	0.00	0.38		0.00
03/22/2017 00070004361 HEGARTY, EILEEN	AMCAP FUND-A	В	74.25	0.37	0.00		86.0	0.00
03/22/2017 00070004361 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	В	74.25	0.37	0.00	0.37	86.0	0.00

INVESTMENT

TRADE DATE

CLIENT

COMMISSION STATEMENT for JOHN KILLEEN

B/S

INVESTMENT

AMOUNT

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

Page No.

RATE

BROKER

COMMISSION

BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
03/22/2017 00070004361 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A B	76.50	0.38	0.00	0.38	86.0	0.00		
03/10/2017 00070005362 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A B	4.96	0.02	0.00	0.02	86.0	0.00		
03/10/2017 00070005362 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A B	9.92	0.05	0.00	0.05	86.0	0.00		
03/10/2017 00070005362 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A B	14.88	0.07	0.00	0.07	86.0	0.00		
03/10/2017 00070005362 HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A B	19.84	0.10	0.00	0.10	86.0	0.00		
03/10/2017 00070005362 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A B	9.91	0.05	0.00	0.05	86.0	0.00		
03/22/2017 00070005362 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A B	4.96	0.02	0.00	0.02	86.0	0.00		
03/22/2017 00070005362 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A B	9.92	0.05	0.00	0.05	86.0	0.00		
03/22/2017 00070005362 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A B	14.88	0.07	0.00	0.07	86.0	0.00		
03/22/2017 00070005362 HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A B	19.84	0.10	0.00	0.10	86.0	0.00		
03/22/2017 00070005362 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A B	9.91	0.05	0.00	0.05	86.0	0.00		
03/10/2017 00070005678 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A B	150.00	0.75	0.00	0.75	86.0	0.00		
03/10/2017 00070005678 HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A B	300.00	1.50	0.00	1.50	86.0	0.00		
03/10/2017 00070005678 HEGARTY, EILEEN	THE NEW ECONOMY FUND-A B	60.00	0.30	0.00	0.30	86.0	0.00		
03/22/2017 00070005678 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A B	150.00	0.75	0.00	0.75	86.0	0.00		
03/22/2017 00070005678 HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A B	300.00	1.50	0.00	1.50	86.0	0.00		
03/22/2017 00070005678 HEGARTY, EILEEN	THE NEW ECONOMY FUND-A B	60.00	0.30	0.00	0.30	86.0	0.00		
03/10/2017 00070006913 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A B	233.80	1.17	0.00	1.17	86.0	0.00		
03/22/2017 00070006913 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A B	233.80	1.17	0.00	1.17	86.0	0.00		
02/28/2017 00075940830 HODAS, KRISTIE	WASHINGTON MUTUAL INVESTORS FUND-A B	5,500.00	233.75	0.00	233.75	86.0	201.03		
02/27/2017 00081789143 PURTA, TYLER	NEW PERSPECTIVE FUND-529A B	3,000.00	112.50	0.00	112.50	86.0	96.75		
02/27/2017 00081789143 PURTA, TYLER	THE GROWTH FUND OF AMERICA-529A B	3,000.00	112.50	0.00	112.50	86.0	96.75		
02/27/2017 00081789143 PURTA, TYLER	THE INCOME FUND OF AMERICA-529A B	3,000.00	112.50	0.00	112.50	86.0	96.75		
02/27/2017 00081789143 PURTA, TYLER	WASHINGTON MUTUAL INVESTORS FUND-52 B	3,000.00	112.50	0.00	112.50	86.0	96.75		
03/10/2017 00086545644 HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A B	15.00	0.08	0.00	0.08	86.0	0.00		
03/10/2017 00086545644 HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A B	20.00	0.10	0.00	0.10	86.0	0.00		
03/10/2017 00086545644 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A B	20.00	0.10	0.00	0.10	86.0	0.00		
03/10/2017 00086545644 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A B	20.00	0.10	0.00	0.10	86.0	0.00		
03/10/2017 00086545644 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A B	25.00	0.13	0.00		86.0	0.00		
03/22/2017 00086545644 HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A B	15.00	0.08	0.00	0.08	86.0	0.00		
03/22/2017 00086545644 HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A B	20.00	0.10	0.00	0.10	86.0	0.00		
03/22/2017 00086545644 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A B	20.00	0.10	0.00	0.10	86.0	0.00		
03/22/2017 00086545644 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A B	20.00	0.10	0.00		86.0	0.00		
03/22/2017 00086545644 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A B	25.00	0.13	0.00	0.13		0.00		
03/10/2017 00087384406 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A B	750.00	3.75	0.00		86.0	0.00		
03/22/2017 00087384406 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A B	750.00	3.75	0.00		86.0	0.00		
03/10/2017 00087384416 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A B	750.00	3.75	0.00		86.0	0.00		
03/22/2017 00087384416 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A B	750.00	3.75	0.00		86.0	0.00		
03/10/2017 00087384433 HEGARTY, EILEEN	AMCAP FUND-A B	300.00	1.50	0.00		86.0	0.00		
03/10/2017 00007304433 HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A B	75.00	0.38	0.00		86.0	0.00		
05/10/201/0000/501155 HEGANTI, EILEEN	EONOTACTLIC GROWIII LOND W	75.00	0.30	0.00	0.30	00.0	0.00		

INVESTMENT

TRADE DATE

CLIENT

COMMISSION STATEMENT for JOHN KILLEEN

B/S

INVESTMENT

AMOUNT

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

Page No.

RATE

BROKER

COMMISSION

BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/10/2017 00087384433 HEGARTY, EILEEN	NEW WORLD FUND-A	В	75.00	0.38	0.00	0.38	86.0	0.00		
03/10/2017 00087384433 HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	В	150.00	0.75	0.00	0.75	86.0	0.00		
03/10/2017 00087384433 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	В	150.00	0.75	0.00	0.75	86.0	0.00		
03/22/2017 00087384433 HEGARTY, EILEEN	AMCAP FUND-A	В	300.00	1.50	0.00	1.50	86.0	0.00		
03/22/2017 00087384433 HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	В	75.00	0.38	0.00	0.38	86.0	0.00		
03/22/2017 00087384433 HEGARTY, EILEEN	NEW WORLD FUND-A	В	75.00	0.38	0.00	0.38	86.0	0.00		
03/22/2017 00087384433 HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	В	150.00	0.75	0.00	0.75	86.0	0.00		
03/22/2017 00087384433 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	В	150.00	0.75	0.00	0.75	86.0	0.00		
03/10/2017 00087384446 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	59.89	0.30	0.00	0.30	86.0	0.00		
03/10/2017 00087384446 HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	В	44.91	0.22	0.00	0.22	86.0	0.00		
03/10/2017 00087384446 HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	В	44.92	0.22	0.00	0.22	86.0	0.00		
03/22/2017 00087384446 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	59.89	0.30	0.00	0.30	86.0	0.00		
03/22/2017 00087384446 HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	В	44.91	0.22	0.00	0.22	86.0	0.00		
03/22/2017 00087384446 HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	В	44.92	0.22	0.00	0.22	86.0	0.00		
03/10/2017 00087384464 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	В	15.00	0.08	0.00	0.08	86.0	0.00		
03/22/2017 00087384464 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	В	15.00	0.08	0.00	0.08	86.0	0.00		
03/10/2017 00087384478 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	В	312.50	1.56	0.00	1.56	86.0	0.00		
03/10/2017 00087384478 HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	В	312.50	1.56	0.00	1.56	86.0	0.00		
03/22/2017 00087384478 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	В	312.50	1.56	0.00	1.56	86.0	0.00		
03/22/2017 00087384478 HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	В	312.50	1.56	0.00	1.56	86.0	0.00		
03/10/2017 00087384507 HEGARTY, EILEEN	AMCAP FUND-A	В	2.00	0.01	0.00	0.01	86.0	0.00		
03/10/2017 00087384507 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	В	10.00	0.05	0.00	0.05	86.0	0.00		
03/10/2017 00087384507 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	8.00	0.04	0.00	0.04	86.0	0.00		
03/22/2017 00087384507 HEGARTY, EILEEN	AMCAP FUND-A	В	2.00	0.01	0.00	0.01	86.0	0.00		
03/22/2017 00087384507 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	В	10.00	0.05	0.00	0.05	86.0	0.00		
03/22/2017 00087384507 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	8.00	0.04	0.00	0.04	86.0	0.00		
03/10/2017 00087384541 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	50.00	0.25	0.00	0.25	86.0	0.00		
03/10/2017 00087384541 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	50.00	0.25	0.00	0.25	86.0	0.00		
03/22/2017 00087384541 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	50.00	0.25	0.00	0.25	86.0	0.00		
03/22/2017 00087384541 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	50.00	0.25	0.00	0.25	86.0	0.00		
03/10/2017 00087384558 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	48.49	0.24	0.00	0.24	86.0	0.00		
03/10/2017 00087384558 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	48.49	0.24	0.00	0.24	86.0	0.00		
03/22/2017 00087384558 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	48.49	0.24	0.00	0.24	86.0	0.00		
03/22/2017 00087384558 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	48.49	0.24	0.00	0.24	86.0	0.00		
03/10/2017 00087842379 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	750.00	3.75	0.00	3.75	86.0	0.00		
03/22/2017 00087842379 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	В	750.00	3.75	0.00	3.75	86.0	0.00		
03/10/2017 00088877759 HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	В	56.91	0.28	0.00	0.28	86.0	0.00		
03/10/2017 00088877759 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	170.72	0.85	0.00	0.85	86.0	0.00		
03/22/2017 00088877759 HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	В	46.45	0.23	0.00	0.23	86.0	0.00		
03/22/2017 00088877759 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A		139.34	0.70	0.00	0.70		0.00		

INVESTMENT

TRADE DATE

CLIENT

IRADE DATE CEIENT	INVESTMENT	MIOONI	COMMIDDION	CIIIICII	COMMIDDION	IMIL	COMMIDDION			
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/13/2017 00089069959 PAULSON, CHARLES	AMCAP FUND-A B	10,000.00	375.00	0.00	375.00	86.0	322.5			
03/13/2017 00089069968 FERGUSON, JAMES	AMCAP FUND-A B	10,000.00	375.00	0.00	375.00	86.0	322.5			
03/10/2017 00089378227 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A B	42.06	0.21	0.00	0.21	86.0	0.0			
03/22/2017 00089378227 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A B	42.06	0.21	0.00	0.21	86.0	0.0			
03/10/2017 00089378259 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A B	45.00	0.23	0.00	0.23	86.0	0.0			
03/10/2017 00089378259 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A B	45.00	0.23	0.00	0.23	86.0	0.0			
03/10/2017 00089378259 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A B	30.00	0.15	0.00	0.15	86.0	0.0			
03/10/2017 00089378259 HEGARTY, EILEEN	THE NEW ECONOMY FUND-A B	15.00	0.08	0.00	0.08	86.0	0.0			
03/10/2017 00089378259 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A B	15.00	0.08	0.00	0.08	86.0	0.0			
03/22/2017 00089378259 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A B	45.00	0.23	0.00	0.23	86.0	0.0			
03/22/2017 00089378259 HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A B	45.00	0.23	0.00	0.23	86.0	0.0			
03/22/2017 00089378259 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A B	30.00	0.15	0.00	0.15	86.0	0.0			
03/22/2017 00089378259 HEGARTY, EILEEN	THE NEW ECONOMY FUND-A B	15.00	0.08	0.00	0.08	86.0	0.0			
03/22/2017 00089378259 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A B	15.00	0.08	0.00	0.08	86.0	0.0			
03/10/2017 00089378412 HEGARTY, EILEEN	AMCAP FUND-A B	17.50	0.09	0.00	0.09	86.0	0.0			
03/10/2017 00089378412 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A B	43.75	0.22	0.00	0.22	86.0	0.0			
03/10/2017 00089378412 HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A B	52.50	0.26	0.00	0.26	86.0	0.0			
03/10/2017 00089378412 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A B	43.75	0.22	0.00	0.22	86.0	0.0			
03/10/2017 00089378412 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A B	17.50	0.09	0.00	0.09	86.0	0.0			
03/22/2017 00089378412 HEGARTY, EILEEN	AMCAP FUND-A B	17.50	0.09	0.00	0.09	86.0	0.0			
03/22/2017 00089378412 HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A B	43.75	0.22	0.00	0.22	86.0	0.0			
03/22/2017 00089378412 HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A B	52.50	0.26	0.00	0.26	86.0	0.0			
03/22/2017 00089378412 HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A B	43.75	0.22	0.00	0.22	86.0	0.0			
03/22/2017 00089378412 HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A B	17.50	0.09	0.00	0.09	86.0	0.0			
03/15/2017 00089545290 RONGA, DANIEL	THE GROWTH FUND OF AMERICA-A B	200.00	8.50	0.00	8.50	86.0	0.0			
03/06/2017 00089780173 SCHEURER, SEAN	CAPITAL INCOME BUILDER-A B	6,000.00	255.00	0.00	255.00	86.0	219.3			
03/06/2017 00089780173 SCHEURER, SEAN	NEW PERSPECTIVE FUND-A B	1,465.93	62.30	0.00	62.30	86.0	53.58			
03/06/2017 00089780173 SCHEURER, SEAN	THE GROWTH FUND OF AMERICA-A B	6,000.00	255.00	0.00	255.00	86.0	219.30			
03/06/2017 00089780173 SCHEURER, SEAN	WASHINGTON MUTUAL INVESTORS FUND-A B	5,993.91	254.74	0.00	254.74	86.0	219.0			
03/16/2017 00089817576 EVANYKE, JUDITH	THE GROWTH FUND OF AMERICA-A B	3,000.00	112.50	0.00	112.50	86.0	96.7			
03/16/2017 00089817576 EVANYKE, JUDITH	THE INVESTMENT COMPANY OF AMERICA-A B	3,000.00	112.50	0.00	112.50	86.0	96.7			
03/16/2017 00089817576 EVANYKE, JUDITH	WASHINGTON MUTUAL INVESTORS FUND-A B	2,989.20	112.10	0.00	112.10	86.0	96.4			
03/10/2017 04000113773 VARIOUS, KILLEEN	AMCAP FUND-A B	14.06	0.07	0.00	0.07	86.0	0.0			
03/10/2017 04000113773 VARIOUS, KILLEEN	EUROPACIFIC GROWTH FUND-A B	14.06	0.07	0.00	0.07	86.0	0.0			
03/10/2017 04000113773 VARIOUS, KILLEEN	NEW WORLD FUND-A B	6.25	0.03	0.00		86.0	0.0			
03/10/2017 04000113773 VARIOUS, KILLEEN	THE BOND FUND OF AMERICA-A B	15.64	0.08	0.00		86.0	0.0			
03/10/2017 04000113773 VARIOUS, KILLEEN	THE GROWTH FUND OF AMERICA-A B	14.06	0.07	0.00		86.0	0.0			
03/10/2017 04000113773 VARIOUS, KILLEEN	WASHINGTON MUTUAL INVESTORS FUND-A B	14.06	0.07	0.00	0.07		0.0			
03/22/2017 04000113773 VARIOUS, KILLEEN	AMCAP FUND-A B	14.96	0.07	0.00	0.07		0.0			
							0.0			
03/22/2017 04000113773 VARIOUS, KILLEEN	EUROPACIFIC GROWTH FUND-A B	14.96	0.07	0.00	0.07	86.0				

INVESTMENT

AMOUNT

B/S

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

Page No.

RATE

BROKER

COMMISSION

Page No.

TRADE DATE	CLIENT		INVESTMENT 1	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS										
PRODUCT CAT	EGORY: MUTUAL FU	INDS								
03/22/2017 04	1000113773 VARIOUS,	KILLEEN	NEW WORLD FUND-A	В	6.65	0.03	0.00	0.03	86.0	0.00
03/22/2017 04	1000113773 VARIOUS,	KILLEEN	THE BOND FUND OF AMERICA-A	В	16.63	0.08	0.00	0.08	86.0	0.00
03/22/2017 04	000113773 VARIOUS,	KILLEEN	THE GROWTH FUND OF AMERICA-A	В	14.96	0.07	0.00	0.07	86.0	0.00
03/22/2017 04	000113773 VARIOUS,	KILLEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	14.96	0.07	0.00	0.07	86.0	0.00
03/10/2017 04	000113849 VARIOUS,	KILLEEN	AMCAP FUND-A	В	4.06	0.02	0.00	0.02	86.0	0.00
03/10/2017 04	000113849 VARIOUS,	KILLEEN	EUROPACIFIC GROWTH FUND-A	В	2.71	0.01	0.00	0.01	86.0	0.00
03/10/2017 04	000113849 VARIOUS,	KILLEEN	NEW PERSPECTIVE FUND-A	В	2.71	0.01	0.00	0.01	86.0	0.00
03/10/2017 04	000113849 VARIOUS,	KILLEEN	NEW WORLD FUND-A	В	1.35	0.01	0.00	0.01	86.0	0.00
03/10/2017 04	000113849 VARIOUS,	KILLEEN	THE GROWTH FUND OF AMERICA-A	В	6.77	0.03	0.00	0.03	86.0	0.00
03/10/2017 04	000113849 VARIOUS,	KILLEEN	THE INVESTMENT COMPANY OF AMERICA-A	В	2.71	0.01	0.00	0.01	86.0	0.00
03/10/2017 04	000113849 VARIOUS,	KILLEEN	THE NEW ECONOMY FUND-A	В	1.35	0.01	0.00	0.01	86.0	0.00
03/10/2017 04	000113849 VARIOUS,	KILLEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	5.42	0.03	0.00	0.03	86.0	0.00
03/22/2017 04	000113849 VARIOUS,	KILLEEN	AMCAP FUND-A	В	4.06	0.02	0.00	0.02	86.0	0.00
03/22/2017 04	000113849 VARIOUS,	KILLEEN	EUROPACIFIC GROWTH FUND-A	В	2.71	0.01	0.00	0.01	86.0	0.00
03/22/2017 04	000113849 VARIOUS,	KILLEEN	NEW PERSPECTIVE FUND-A	В	2.71	0.01	0.00	0.01	86.0	0.00
03/22/2017 04	000113849 VARIOUS,	KILLEEN	NEW WORLD FUND-A	В	1.35	0.01	0.00	0.01	86.0	0.00
03/22/2017 04	000113849 VARIOUS,	KILLEEN	THE GROWTH FUND OF AMERICA-A	В	6.77	0.03	0.00	0.03	86.0	0.00
03/22/2017 04	000113849 VARIOUS,	KILLEEN	THE INVESTMENT COMPANY OF AMERICA-A	. В	2.71	0.01	0.00	0.01	86.0	0.00
03/22/2017 04	000113849 VARIOUS,	KILLEEN	THE NEW ECONOMY FUND-A	В	1.35	0.01	0.00	0.01	86.0	0.00
03/22/2017 04	000113849 VARIOUS,	KILLEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	5.42	0.03	0.00	0.03	86.0	0.00
03/10/2017 04	000113881 VARIOUS,	KILLEEN	AMCAP FUND-A	В	117.32	0.59	0.00	0.59	86.0	0.00
03/10/2017 04	000113881 VARIOUS,	KILLEEN	EUROPACIFIC GROWTH FUND-A	В	35.19	0.18	0.00	0.18	86.0	0.00
03/10/2017 04	000113881 VARIOUS,	KILLEEN	NEW WORLD FUND-A	В	35.20	0.18	0.00	0.18	86.0	0.00
03/10/2017 04	000113881 VARIOUS,	KILLEEN	THE INVESTMENT COMPANY OF AMERICA-A	. В	46.93	0.23	0.00	0.23	86.0	0.00
03/22/2017 04	000113881 VARIOUS,	KILLEEN	AMCAP FUND-A	В	109.72	0.55	0.00	0.55	86.0	0.00
03/22/2017 04	000113881 VARIOUS,	KILLEEN	EUROPACIFIC GROWTH FUND-A	В	32.91	0.16	0.00	0.16	86.0	0.00
03/22/2017 04	000113881 VARIOUS,	KILLEEN	NEW WORLD FUND-A	В	32.92	0.16	0.00	0.16	86.0	0.00
03/22/2017 04	000113881 VARIOUS,	KILLEEN	THE INVESTMENT COMPANY OF AMERICA-A	. В	43.89	0.22	0.00	0.22	86.0	0.00
03/10/2017 04	000113984 VARIOUS,	KILLEEN	AMCAP FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 04	000113984 VARIOUS,	KILLEEN	EUROPACIFIC GROWTH FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 04	000113984 VARIOUS,	KILLEEN	NEW PERSPECTIVE FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 04	000113984 VARIOUS,	KILLEEN	NEW WORLD FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 04	000113984 VARIOUS,	KILLEEN	THE BOND FUND OF AMERICA-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 04	000113984 VARIOUS,	KILLEEN	THE GROWTH FUND OF AMERICA-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 04	000113984 VARIOUS,	KILLEEN	THE INCOME FUND OF AMERICA-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 04	000113984 VARIOUS,	KILLEEN	THE INVESTMENT COMPANY OF AMERICA-A	. В	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 04	000113984 VARIOUS,	KILLEEN	THE NEW ECONOMY FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/10/2017 04	000113984 VARIOUS,	KILLEEN	WASHINGTON MUTUAL INVESTORS FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/22/2017 04	000113984 VARIOUS,	KILLEEN	AMCAP FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00
03/22/2017 04	000113984 VARIOUS,	KILLEEN	EUROPACIFIC GROWTH FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
	BROKER TRANSACTIONS											
PRODUCT CA	TEGORY: MUTUAL FUNDS											
03/22/2017 0	4000113984 VARIOUS, KILLEEN	NEW PERSPECTIVE FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00			
03/22/2017 0	4000113984 VARIOUS, KILLEEN	NEW WORLD FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00			
03/22/2017 0	4000113984 VARIOUS, KILLEEN	THE BOND FUND OF AMERICA-A	В	100.00	0.50	0.00	0.50	86.0	0.00			
03/22/2017 0	4000113984 VARIOUS, KILLEEN	THE GROWTH FUND OF AMERICA-A	В	100.00	0.50	0.00	0.50	86.0	0.00			
03/22/2017 0	4000113984 VARIOUS, KILLEEN	THE INCOME FUND OF AMERICA-A	В	100.00	0.50	0.00	0.50	86.0	0.00			
03/22/2017 0	4000113984 VARIOUS, KILLEEN	THE INVESTMENT COMPANY OF AMERICA	A-A B	100.00	0.50	0.00	0.50	86.0	0.00			
03/22/2017 0	4000113984 VARIOUS, KILLEEN	THE NEW ECONOMY FUND-A	В	100.00	0.50	0.00	0.50	86.0	0.00			
03/22/2017 0	4000113984 VARIOUS, KILLEEN	WASHINGTON MUTUAL INVESTORS FUND-	-A B	100.00	0.50	0.00	0.50	86.0	0.00			
03/10/2017 0	4000114048 VARIOUS, KILLEEN	THE GROWTH FUND OF AMERICA-A	В	569.64	2.85	0.00	2.85	86.0	0.00			
		* MUTUAL FUNDS SUBTOTA	AL *	\$103,816.69	\$2,802.52	\$0.00	\$2,802.52		\$2,234.20			
		*** BROKER TRANSACTIONS TOTAL	***	\$103,816.69	\$2,802.52	\$0.00	\$2,802.52		\$2,234.20			
		ADJU	JSTME]	NTS								
04/03/2017		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00			
04/03/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00			
04/03/2017		OTHER: VIRTRU		0.00	0.00	0.00	0.00		-7.00			
		*** ADJUSTMENTS TOTAL	***	\$0.00	\$0.00	\$0.00	\$0.00		\$-227.00			
						Ī	BROKER COMMI	ssion	TOTALS			
						Broke:	r Transactions		\$2,234.20			
						Spli	t Transactions		\$0.00			
						Overrid	e Transactions		\$0.00			
							Adjustments		\$-227.00			
							Payroll Draw		\$0.00			
							Base Salary		\$0.00			
						Prior	Period Balance		\$-681.00			
							NRA Assessment		\$-3.50			
							IPC Assessment		\$-52.55			
							Check Amount		\$1,270.15			
						Year-to	Year-to-date Earnings		\$1,270.15			