



All Companies
COMMISSION STATEMENT
January 16, 2018

01/11/2018
Page No. 1

SIGNAL POOL

BROKER #: 9623
BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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SPLIT TRANSACTIONS

BROKER: ROTHSCHILD/POOL #9629

12/18/2017	CAMAGANACAN, SIXTO	SECURITY LIFE OF DENVER	B	768.00	9.22	0.00	9.22	100.0	9.22
12/28/2017	ANGELO, CONCORDIA/ORLAN	SECURITY LIFE OF DENVER	B	206.82	2.06	0.00	2.06	100.0	2.06
12/26/2017	0000000000003549 CAMAGANACAN, SIXTO/ROSALINA	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	0.20	0.00	0.20	100.0	0.20
12/26/2017	0000000000817890 TEN, JT	FRANKLIN VALUE FD CL II	B	100.00	0.40	0.00	0.40	100.0	0.40
12/26/2017	0000000001223650 TEN, JT	FRANKLIN 102 AGE HIGH INCOME FD	C B	50.00	0.20	0.00	0.20	100.0	0.20
12/26/2017	0000000003564376 TEN, JT	TEMP GLOBAL BOND FND - CL C	B	50.00	0.20	0.00	0.20	100.0	0.20
01/02/2018	00064082782 KRAATZ, MATTHEW	AMERICAN FUNDS CAPITAL WORLD GROWT	B	50.00	0.85	0.00	0.85	100.0	0.85
01/02/2018	00081247942 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	250.00	1.60	0.00	1.60	100.0	1.60

* ROTHSCHILD/POOL SUBTOTAL *	\$1,524.82	\$14.73	\$0.00	\$14.73	\$14.73
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BROKER: WILLIAMS/HOUSE #9640

12/31/2017	TRAILS	-OPPENHEIMER FUNDS	B	1.25	0.50	0.00	0.50	100.0	0.50
				* WILLIAMS/HOUSE SUBTOTAL *	\$1.25	\$0.50	\$0.00	\$0.50	\$0.50
				*** SPLIT TRANSACTIONS TOTAL ***	\$1,526.07	\$15.23	\$0.00	\$15.23	\$15.23

OVERRIDE TRANSACTIONS

BROKER: JUDE RAMON L. BARCENAS #9620

12/29/2017	TRAILS	-HARTFORD LIFE	B	49.14	49.14	0.00	49.14		0.49
12/30/2017	TRAILS	-NATIONWIDE LIFE	B	1,032.78	1,032.78	0.00	1,032.78		10.33
12/31/2017	TRAILS	-OPPENHEIMER FUNDS	B	388.53	388.53	0.00	388.53		3.89
12/26/2017	DEVEZA, MICHAEL NORMAN	-PACIFIC LIFE INSURANCE	B	29.25	0.88	0.00	0.88		0.01
12/26/2017	DEVEZA, NOEMYLINE ONG	-PACIFIC LIFE INSURANCE	B	26.92	0.81	0.00	0.81		0.01
12/31/2017	TRAILS	-SECURITY LIFE OF DENVER	B	4.30	4.30	0.00	4.30		0.04
12/17/2017	MELLENGER, PAUL J.	AMERITAS VARIABLE ANNUITY	B	249.00	9.96	0.00	9.96		0.10
12/17/2017	MARQUEZ-TALEON, JUDITH	LINCOLN BENEFIT LIFE	B	32.26	1.05	0.00	1.05		0.01
12/18/2017	LABRADOR, THERESA O.	LINCOLN BENEFIT LIFE	B	46.52	1.74	0.00	1.74		0.02
12/18/2017	HONRALES, SHIRLEY A.	LINCOLN BENEFIT LIFE	B	38.50	1.25	0.00	1.25		0.01

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<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JUDE RAMON L. BARCENAS #9620 </u>									
12/18/2017	HONRALES, EDWIN	LINCOLN BENEFIT LIFE	B	46.50	1.51	0.00	1.51		0.02
12/18/2017	CRUZ, HAYLEY T.	LINCOLN BENEFIT LIFE	B	97.54	3.17	0.00	3.17		0.03
12/20/2017	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	43.97	2.64	0.00	2.64		0.03
12/22/2017	AUSTRIA, MARIA	LINCOLN BENEFIT LIFE	B	127.00	4.13	0.00	4.13		0.04
12/26/2017	CALUNGSUD, KELLY M.	LINCOLN BENEFIT LIFE	B	20.00	0.65	0.00	0.65		0.01
12/17/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	49.03	1.59	0.00	1.59		0.02
12/31/2017	TRAILS	PRUDENTIAL INSURANCE CO.	B	523.63	523.63	0.00	523.63		5.24
12/15/2017	SEGUNDO, NOLI	RELIASTAR LIFE INSURANCE	B	773.88	7.68	0.00	7.68		0.08
01/05/2018	000000000011193 CABRERA, IVY/CHARLES	FRK INTL SMALL CAP GROWTH FUND - CL B		50.00	0.50	0.00	0.50		0.01
01/05/2018	000000000026245 BUSA, JOSEPHINE JANE	FRK INTL SMALL CAP GROWTH FUND - CL B		50.00	0.50	0.00	0.50		0.01
01/05/2018	0000000000188476 CABRERA, IVY/CHARLES	FRANKLIN TOTAL RETURN FUND - CLASS B		50.00	0.50	0.00	0.50		0.01
01/05/2018	0000000000870307 CABRERA, IVY/CHARLES	FRANKLIN STRATEGIC INC FD CL II B		50.00	0.50	0.00	0.50		0.01
12/20/2017	0000000000894129 BUSA, JOSEPHINE JANE	FRANKLIN VALUE FD CL II	B	50.00	0.50	0.00	0.50		0.01
01/05/2018	0000000001603101 CABRERA, IVY/CHARLES	FRANKLIN TEMPLETON DEVELOPING MARKE B		50.00	0.50	0.00	0.50		0.01
01/02/2018	00086483831 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	2.13	0.00	2.13		0.02
01/02/2018	00086483831 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50		0.02
01/02/2018	00086483831 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13		0.02
01/02/2018	00086483831 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	2.13	0.00	2.13		0.02
01/02/2018	00086483831 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13		0.02
01/02/2018	00086483875 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	2.13	0.00	2.13		0.02
01/02/2018	00086483875 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50		0.02
01/02/2018	00086483875 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13		0.02
01/02/2018	00086483875 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	2.13	0.00	2.13		0.02
01/02/2018	00086483875 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13		0.02
12/22/2017	00089156141 KYSER, DICK/MARGIE	PUTNAM NEW OPPORTUNITIES FD CL A B		220.00	11.00	0.00	11.00		0.11
* JUDE RAMON L. BARCENAS SUBTOTAL *				\$4,598.75	\$2,069.48	\$0.00	\$2,069.48		\$20.75
<u> BROKER: MATTHEW THOMAS KUNZ #9631 </u>									
12/29/2017	TRAILS	ALLIANZ VUL	B	175.36	175.36	0.00	175.36		1.75
12/31/2017	TRAILS	CHET ADVISOR 529 PLAN	B	0.99	0.99	0.00	0.99		0.01
01/03/2018	TRAILS	OHIO LIFE	B	88.63	88.63	0.00	88.63		0.89
* MATTHEW THOMAS KUNZ SUBTOTAL *				\$264.98	\$264.98	\$0.00	\$264.98		\$2.65

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OVERRIDE TRANSACTIONSBROKER: JOHN HOWARD RUSSELL #9632

12/30/2017	TRAILS	-NATIONWIDE LIFE	B	446.45	446.45	0.00	446.45		4.46
12/31/2017	TRAILS	LINCOLN NATIONAL LIFE INSURANCE COM B		161.82	161.82	0.00	161.82		1.62
01/02/2018	00083626829	SEYMORE, ROBERT		150.00	1.50	0.00	1.50		0.02
01/05/2018	00084635934	GIBSON/KIDD, ANTHONY/RYLAN		200.00	2.00	0.00	2.00		0.02
12/29/2017	00088263914	GREESON, JOHN D.		68.86	0.69	0.00	0.69		0.01
12/29/2017	00088263914	GREESON, JOHN D.		68.86	0.69	0.00	0.69		0.01
12/29/2017	00088753150	MCBRIDE, STEVEN M.		61.56	0.62	0.00	0.62		0.01
12/29/2017	00088753150	MCBRIDE, STEVEN M.		61.56	0.62	0.00	0.62		0.01
01/05/2018	00088753150	MCBRIDE, STEVEN M.		61.56	0.62	0.00	0.62		0.01
01/05/2018	00088753150	MCBRIDE, STEVEN M.		61.56	0.62	0.00	0.62		0.01
01/02/2018	00089514707	HAMILTON, JAMES		50.00	2.13	0.00	2.13		0.02
01/02/2018	00089985511	YOUNG, VICTORIA JOBE		100.00	1.00	0.00	1.00		0.01
01/02/2018	00089986176	YOUNG, MARCUS		300.00	3.00	0.00	3.00		0.03
01/04/2018	04000234902	OTT, BRIAN L.		250.00	12.50	0.00	12.50		0.13
* JOHN HOWARD RUSSELL SUBTOTAL *				<u>\$2,042.23</u>	<u>\$634.26</u>	<u>\$0.00</u>	<u>\$634.26</u>		<u>\$6.37</u>
*** OVERRIDE TRANSACTIONS TOTAL ***				<u>\$6,905.96</u>	<u>\$2,968.72</u>	<u>\$0.00</u>	<u>\$2,968.72</u>		<u>\$29.77</u>

ADJUSTMENTS

01/16/2018		MISC: 4TH QTR BIRTHDAY LUNCH		0.00	0.00	0.00	0.00		-129.90
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-129.90</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$15.23
Override Transactions	\$29.77
Adjustments	\$-129.90
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-84.90
Year-to-date Earnings	\$659.79