

COMMISSION STATEMENT July 31, 2018

 FRANK WAGNER-879660
 605 Chamberlain Street

 BROKER #:
 BRANCH: D12

Corpus Christi, TX 78404

COMMISSION STATEMENT for FRANK WAGNER-879660

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/20/2018	645836913 ROWLING, DAVID	S INVESCO TRUST FOR INVESTMENT	B	62,401.95	300.00	15.00	285.00	80.0	228.00
		* STOCKS SUBTOTAL *		\$62,401.95	\$300.00	\$15.00	\$285.00		\$228.00
<u>PRODUCT CATEGORY: BONDS</u>									
07/12/2018	645836913 ROWLING, DAVID	B FFCB	B	97,670.17	100.00	25.00	75.00	80.0	60.00
07/16/2018	645836913 ROWLING, DAVID	B FHLB CPN	B	242,391.20	500.00	25.00	475.00	80.0	380.00
07/16/2018	645836913 ROWLING, DAVID	B FHLB CPN	B	-242,391.20	-500.00	-25.00	-475.00	80.0	-380.00
07/16/2018	645836913 ROWLING, DAVID	B FHLB CPN	B	121,196.83	500.00	25.00	475.00	80.0	380.00
07/25/2018	645836913 ROWLING, DAVID	B FEDERAL FARM CR BKS CONS	B	-95,225.19	-300.00	-25.00	-275.00	80.0	-220.00
07/25/2018	645836913 ROWLING, DAVID	B FEDERAL FARM CR BKS CONS	B	95,225.19	300.00	25.00	275.00	80.0	220.00
07/25/2018	645836913 ROWLING, DAVID	B FEDERAL FARM CR BKS CONS	B	95,220.13	300.00	25.00	275.00	80.0	220.00
		* BONDS SUBTOTAL *		\$314,087.13	\$900.00	\$75.00	\$825.00		\$660.00
<u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u>									
07/11/2018	645836913 ROWLING, DAVID	CD FNMA ISS SPRD 19.50BP VS T 1	B	196,548.28	200.00	25.00	175.00	80.0	140.00
		* CERTIFICATES OF DEPOSIT SUBTOTAL *		\$196,548.28	\$200.00	\$25.00	\$175.00		\$140.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/23/2018	mfc879660 VARIOUS,	MFT AIM EUROPEAN GROWTH FUND -	B	0.00	30.62	0.00	30.62	80.0	24.50
07/23/2018	mfc879660 VARIOUS,	MFT AIM GLOBAL HEALTH CARE FUND	B	0.00	10.87	0.00	10.87	80.0	8.70
07/23/2018	mfc879660 VARIOUS,	MFT AMCAP FUND-A	B	0.00	14.15	0.00	14.15	80.0	11.32
07/23/2018	mfc879660 VARIOUS,	MFT AMCAP FUND-A	B	0.00	17.75	0.00	17.75	80.0	14.20
07/23/2018	mfc879660 VARIOUS,	MFT AMCAP FUND-A	B	0.00	63.50	0.00	63.50	80.0	50.80
07/23/2018	mfc879660 VARIOUS,	MFT AMERICAN BALANCED FUND-A	B	0.00	10.84	0.00	10.84	80.0	8.67
07/23/2018	mfc879660 VARIOUS,	MFT AMERICAN BALANCED FUND-C	B	0.00	26.25	0.00	26.25	80.0	21.00
07/23/2018	mfc879660 VARIOUS,	MFT AMERICAN MUTUAL FUND-A	B	0.00	14.51	0.00	14.51	80.0	11.61
07/23/2018	mfc879660 VARIOUS,	MFT CALAMOS GROWTH FUND CLASS C	B	0.00	12.01	0.00	12.01	80.0	9.61
07/23/2018	mfc879660 VARIOUS,	MFT CALAMOS GROWTH FUND CLASS C	B	0.00	20.90	0.00	20.90	80.0	16.72
07/23/2018	mfc879660 VARIOUS,	MFT CALAMOS GROWTH FUND CLASS A	B	0.00	9.23	0.00	9.23	80.0	7.38
07/23/2018	mfc879660 VARIOUS,	MFT CALVERT INCOME FD CL A	B	0.00	16.57	0.00	16.57	80.0	13.26
07/23/2018	mfc879660 VARIOUS,	MFT CALVERT LONG TERM INCOME FD CL	B	0.00	18.64	0.00	18.64	80.0	14.91
07/23/2018	mfc879660 VARIOUS,	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	15.17	0.00	15.17	80.0	12.14

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07/23/2018	mfc879660	VARIOUS,							
07/23/2018	mfc879660	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.16	0.00	1.16	80.0	0.93
07/23/2018	mfc879660	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	1.30	0.00	1.30	80.0	1.04
07/23/2018	mfc879660	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	57.85	0.00	57.85	80.0	46.28
07/23/2018	mfc879660	MFT FEDERATED EQUITY INCOME FUND	B	0.00	2.29	0.00	2.29	80.0	1.83
07/23/2018	mfc879660	MFT FEDERATED EQUITY INCOME FUND	B	0.00	3.33	0.00	3.33	80.0	2.66
07/23/2018	mfc879660	MFT FEDERATED EQUITY INCOME FUND	B	0.00	11.17	0.00	11.17	80.0	8.94
07/23/2018	mfc879660	MFT FEDERATED EQUITY INCOME FUND	B	0.00	13.39	0.00	13.39	80.0	10.71
07/23/2018	mfc879660	MFT FEDERATED EQUITY INCOME FUND	B	0.00	14.18	0.00	14.18	80.0	11.34
07/23/2018	mfc879660	MFT FUNDAMENTAL INVESTORS-A	B	0.00	4.15	0.00	4.15	80.0	3.32
07/23/2018	mfc879660	MFT FUNDAMENTAL INVESTORS-A	B	0.00	48.59	0.00	48.59	80.0	38.87
07/23/2018	mfc879660	MFT GLOBAL OPPORTUNITIES A	B	0.00	29.55	0.00	29.55	80.0	23.64
07/23/2018	mfc879660	MFT GLOBAL OPPORTUNITIES A	B	0.00	48.11	0.00	48.11	80.0	38.49
07/23/2018	mfc879660	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	7.35	0.00	7.35	80.0	5.88
07/23/2018	mfc879660	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	12.15	0.00	12.15	80.0	9.72
07/23/2018	mfc879660	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	53.32	0.00	53.32	80.0	42.66
07/23/2018	mfc879660	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	90.77	0.00	90.77	80.0	72.62
07/23/2018	mfc879660	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	43.14	0.00	43.14	80.0	34.51
07/23/2018	mfc879660	MFT KAR CAPITAL GROWTH	B	0.00	2.71	0.00	2.71	80.0	2.17
07/23/2018	mfc879660	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	17.02	0.00	17.02	80.0	13.62
07/23/2018	mfc879660	MFT MAIN STREET FUND A	B	0.00	10.42	0.00	10.42	80.0	8.34
07/23/2018	mfc879660	MFT MID-CAP VALUE FUND CLASS A	B	0.00	12.40	0.00	12.40	80.0	9.92
07/23/2018	mfc879660	MFT MID-CAP VALUE FUND CLASS A	B	0.00	12.72	0.00	12.72	80.0	10.18
07/23/2018	mfc879660	MFT MID-CAP VALUE FUND CLASS A	B	0.00	14.30	0.00	14.30	80.0	11.44
07/23/2018	mfc879660	MFT MID-CAP VALUE FUND CLASS A	B	0.00	28.03	0.00	28.03	80.0	22.42
07/23/2018	mfc879660	MFT NEW PERSPECTIVE FUND-A	B	0.00	59.17	0.00	59.17	80.0	47.34
07/23/2018	mfc879660	MFT NEW WORLD FUND-A	B	0.00	1.26	0.00	1.26	80.0	1.01
07/23/2018	mfc879660	MFT NEW WORLD FUND-A	B	0.00	11.89	0.00	11.89	80.0	9.51
07/23/2018	mfc879660	MFT NEW WORLD FUND-A	B	0.00	44.52	0.00	44.52	80.0	35.62
07/23/2018	mfc879660	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	14.66	0.00	14.66	80.0	11.73
07/23/2018	mfc879660	MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.21	0.00	3.21	80.0	2.57
07/23/2018	mfc879660	MFT PIMCO REAL RETURN FUND (A)	B	0.00	4.52	0.00	4.52	80.0	3.62
07/23/2018	mfc879660	MFT PIMCO REAL RETURN FUND (A)	B	0.00	9.03	0.00	9.03	80.0	7.22
07/23/2018	mfc879660	MFT PIMCO REAL RETURN FUND (A)	B	0.00	25.17	0.00	25.17	80.0	20.14
07/23/2018	mfc879660	MFT PIMCO REAL RETURN FUND (A)	B	0.00	34.82	0.00	34.82	80.0	27.86
07/23/2018	mfc879660	MFT SMALLCAP WORLD FUND-A	B	0.00	0.79	0.00	0.79	80.0	0.63
07/23/2018	mfc879660	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.16	0.00	3.16	80.0	2.53
07/23/2018	mfc879660	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	31.62	0.00	31.62	80.0	25.30
07/23/2018	mfc879660	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	48.99	0.00	48.99	80.0	39.19
07/23/2018	mfc879660	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	72.66	0.00	72.66	80.0	58.13
07/23/2018	mfc879660	MFT THE HARTFORD CAPITAL	B	0.00	0.19	0.00	0.19	80.0	0.15
07/23/2018	mfc879660	MFT THE INVESTMENT COMPANY OF	B	0.00	38.09	0.00	38.09	80.0	30.47
07/23/2018	mfc879660	MFT THE NEW ECONOMY FUND-A	B	0.00	10.81	0.00	10.81	80.0	8.65
07/23/2018	mfc879660	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.82	0.00	6.82	80.0	5.46
07/23/2018	mfc879660	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	18.51	0.00	18.51	80.0	14.81

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		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$1,260.30	\$0.00	\$1,260.30		\$1,008.29
		*** CLEARING TRANSACTIONS TOTAL ***		\$573,037.36	\$2,660.30	\$115.00	\$2,545.30		\$2,036.29

ADJUSTMENTS TRANSACTIONS

BROKER: #0

07/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
07/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
07/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
	* SUBTOTAL *	\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00
	*** ADJUSTMENTS TRANSACTIONS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,036.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,851.29
Year-to-date Commissions	\$10,872.35