

COMMISSION STATEMENT

April 30, 2018

RICHARD BELZ
40 Wall Street

New York, NY 10005

BROKER #: C40 C42
BRANCH: HOME OFFICE

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

04/10/2018 (None)	VARIOUS, BELZ RICHARD	AMERICAN FUND	B	0.00	63.54	0.00	63.54	90.0	57.19
04/12/2018 (None)	VARIOUS, BELZ RICHARD	OPPENHEIMER FDS	B	0.00	1,032.42	0.00	1,032.42	90.0	929.18
04/12/2018 (None)	VARIOUS, BELZ RICHARD	OPPENHEIMER FDS	B	0.00	9,653.92	0.00	9,653.92	90.0	8,688.53
04/12/2018 (None)	VARIOUS, BELZ RICHARD	PUTNAM	B	0.00	1,365.99	0.00	1,365.99	90.0	1,229.39
04/18/2018 (None)	VARIOUS, BELZ RICHARD	JOHN HANCOCK INVESTMENTS	B	0.00	1.24	0.00	1.24	90.0	1.12
03/27/2018 000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763		B	237.50	8.46	0.00	8.46	90.0	7.61
04/10/2018 000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763		B	237.50	8.46	0.00	8.46	90.0	7.61
04/24/2018 000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763		B	237.50	8.46	0.00	8.46	90.0	7.61
03/27/2018 0000000000591610	VARIOUS, BELZ FRANKLIN TEMPLETON		B	680.00	12.92	0.00	12.92	90.0	11.63
04/10/2018 0000000000591610	VARIOUS, BELZ FRANKLIN TEMPLETON		B	680.00	12.92	0.00	12.92	90.0	11.63
04/11/2018 0000000000591610	VARIOUS, BELZ FRANKLIN TEMPLETON		B	0.00	32.40	0.00	32.40	90.0	29.16
04/24/2018 0000000000591610	VARIOUS, BELZ FRANKLIN TEMPLETON		B	680.00	12.92	0.00	12.92	90.0	11.63
04/17/2018 0000000000888774	COLEMAN, FRK AGGRESSIVE GRWTH - CL A		B	108.75	2.90	0.00	2.90	90.0	2.61
03/27/2018 0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND		B	500.00	4.75	0.00	4.75	90.0	4.28
04/10/2018 0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND		B	500.00	4.75	0.00	4.75	90.0	4.28
04/24/2018 0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND		B	500.00	4.75	0.00	4.75	90.0	4.28
04/17/2018 0000000004328098	COLEMAN, FRK TEMP FOUNDING FUNDS ALLOC FND C		B	362.50	9.64	0.00	9.64	90.0	8.68
04/17/2018 0000000004468094	COLEMAN, MUTUAL DISC FND - CL A		B	145.00	3.86	0.00	3.86	90.0	3.47
03/27/2018 0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A		B	125.00	5.94	0.00	5.94	90.0	5.35
04/10/2018 0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A		B	125.00	5.94	0.00	5.94	90.0	5.35
04/24/2018 0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A		B	125.00	5.94	0.00	5.94	90.0	5.35
03/27/2018 000010186458322	VARIOUS, BELZ FRANKLIN U.S. GOVERNMENT SECURITIES		B	170.00	3.23	0.00	3.23	90.0	2.91
04/10/2018 000010186458322	VARIOUS, BELZ FRANKLIN U.S. GOVERNMENT SECURITIES		B	170.00	3.23	0.00	3.23	90.0	2.91
04/24/2018 000010186458322	VARIOUS, BELZ FRANKLIN U.S. GOVERNMENT SECURITIES		B	170.00	3.23	0.00	3.23	90.0	2.91
03/27/2018 000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS		B	237.50	8.46	0.00	8.46	90.0	7.61
04/10/2018 000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS		B	237.50	8.46	0.00	8.46	90.0	7.61
04/24/2018 000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS		B	237.50	8.46	0.00	8.46	90.0	7.61
04/17/2018 000019411906629	COLEMAN, FRK STRAT INC FND - CL A		B	108.75	2.90	0.00	2.90	90.0	2.61
03/27/2018 000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A		B	125.00	4.75	0.00	4.75	90.0	4.28
04/10/2018 000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A		B	125.00	4.75	0.00	4.75	90.0	4.28
04/24/2018 000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A		B	125.00	4.75	0.00	4.75	90.0	4.28

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/13/2018	00056956375	FERRADO, MICHAEL	AMCAP FUND-A	B	200.00	8.07	0.00	8.07	90.0 7.26
04/16/2018	00075280585	MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	225.00	5.88	0.00	5.88	90.0 5.29
04/16/2018	00075280585	MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	225.00	5.88	0.00	5.88	90.0 5.29
04/16/2018	00084040075	WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME FUN B	B	100.00	4.04	0.00	4.04	90.0 3.64
04/16/2018	00084040075	WILLIAMS, ALYSSA	WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	4.04	0.00	4.04	90.0 3.64
04/16/2018	00088094822	WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C	B	50.00	0.47	0.00	0.47	90.0 0.42
04/16/2018	00453533309	BURNS, KATHLEEN	PUTNAM 746933100	B	150.00	3.92	0.00	3.92	90.0 3.53
04/16/2018	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	B	150.00	3.92	0.00	3.92	90.0 3.53
04/11/2018	00487862253	PERUGINI, MILDRED	PUTNAM 746745108	B	100.00	2.61	0.00	2.61	90.0 2.35
04/11/2018	00487862253	PERUGINI, MILDRED	PUTNAM 746802800	B	150.00	3.92	0.00	3.92	90.0 3.53
04/11/2018	00487862253	PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS B	B	150.00	3.92	0.00	3.92	90.0 3.53
04/16/2018	00493247534	PLAN	PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0 4.82
03/26/2018	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102	B	25.00	1.19	0.00	1.19	90.0 1.07
03/26/2018	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	25.00	1.19	0.00	1.19	90.0 1.07
04/09/2018	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102	B	25.00	1.19	0.00	1.19	90.0 1.07
04/09/2018	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	25.00	1.19	0.00	1.19	90.0 1.07
04/16/2018	00514953902	STELLATO, BRIAN	PUTNAM 746809102	B	100.00	3.56	0.00	3.56	90.0 3.20
04/16/2018	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	100.00	3.56	0.00	3.56	90.0 3.20
03/26/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	301.00	7.87	0.00	7.87	90.0 7.08
03/26/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	B	203.00	5.30	0.00	5.30	90.0 4.77
03/26/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	196.00	5.12	0.00	5.12	90.0 4.61
04/09/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	301.00	7.87	0.00	7.87	90.0 7.08
04/09/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	B	203.00	5.30	0.00	5.30	90.0 4.77
04/09/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	196.00	5.12	0.00	5.12	90.0 4.61
04/16/2018	00550827572	PLAN	PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0 4.82
04/16/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	25.00	1.19	0.00	1.19	90.0 1.07
04/16/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	50.00	2.37	0.00	2.37	90.0 2.13
04/16/2018	00551218283	C GARRUPPO,	PUTNAM-746764109	B	25.00	1.19	0.00	1.19	90.0 1.07
03/26/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	50.00	2.37	0.00	2.37	90.0 2.13
03/26/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	40.00	1.90	0.00	1.90	90.0 1.71
03/26/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	50.00	2.37	0.00	2.37	90.0 2.13
03/26/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	60.00	2.85	0.00	2.85	90.0 2.57
04/09/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	125.00	5.94	0.00	5.94	90.0 5.35
04/09/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	100.00	4.75	0.00	4.75	90.0 4.28
04/09/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	125.00	5.94	0.00	5.94	90.0 5.35
04/09/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	150.00	7.12	0.00	7.12	90.0 6.41
04/16/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.19	0.00	1.19	90.0 1.07
04/16/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	40.00	1.90	0.00	1.90	90.0 1.71
04/16/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.66	0.00	1.66	90.0 1.49
04/24/2018	2158104580	VARIOUS, BELZ	OPPENHEIMER FDS	B	0.00	1,370.34	0.00	1,370.34	90.0 1,233.31

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
				* MUTUAL FUNDS SUBTOTAL *	\$11,450.00	\$13,833.25	\$0.00	\$13,833.25	\$12,449.98
<u>PRODUCT CATEGORY: STOCKS</u>									
03/28/2018	QGW035040	THUILOT, CHARLES	OPKO HEALTH INC	S	-12,450.40	23.75	11.40	12.35	90.0 9.98
04/20/2018	QGW035172	O'NEILL, RORY	VUZIX CORP COM NEW	B	20,000.00	245.10	11.40	233.70	90.0 209.19
04/20/2018	QGW035297	SIERRA, KATHLEEN	VUZIX CORP COM NEW	B	20,000.00	245.10	11.40	233.70	90.0 209.19
04/20/2018	QGW035347	MODUGNO, ROCCO	VUZIX CORP COM NEW	B	18,750.00	229.79	11.40	218.39	90.0 195.41
04/20/2018	QGW035479	ROBERTS, KATHLEEN	VUZIX CORP COM NEW	B	7,500.00	103.31	11.40	91.91	90.0 81.58
04/20/2018	QGW035545	KMIECIAK, INES	VUZIX CORP COM NEW	B	22,500.00	235.12	11.40	223.72	90.0 200.21
04/20/2018	QGW035552	KMIECIAK, ZBIGNIEW	VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42	90.0 154.04
04/20/2018	QGW035594	DUSATKO, ANNE	VUZIX CORP COM NEW	B	25,000.00	261.25	11.40	249.85	90.0 223.73
04/20/2018	QGW035669	KOLLAR, ROBERT	VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42	90.0 154.04
04/20/2018	QGW035677	KOLLAR, MAURA	VUZIX CORP COM NEW	B	10,000.00	137.75	11.40	126.35	90.0 112.58
04/20/2018	QGW035685	EICHENHOLZ, SONDR	VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42	90.0 154.04
04/20/2018	QGW035727	HERDTER, CYNTHIA	VUZIX CORP COM NEW	B	10,000.00	137.75	11.40	126.35	90.0 112.58
04/20/2018	QGW035743	KUDLIK, CHRIS	VUZIX CORP COM NEW	B	7,500.00	103.31	11.40	91.91	90.0 81.58
04/20/2018	QGW035768	MASSA, PATRICIA	VUZIX CORP COM NEW	B	22,500.00	235.12	11.40	223.72	90.0 200.21
04/18/2018	QGW035776	ZIMMERMAN, FRANCINE	SACHEM CAPITAL CORP COMMON SHARES	B	3,117.25	91.13	11.40	79.73	90.0 70.62
04/20/2018	QGW035776	ZIMMERMAN, FRANCINE	VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42	90.0 154.04
04/24/2018	QGW035776	ZIMMERMAN, FRANCINE	FAT BRANDS INC COMMON STOCK	S	-6,981.25	94.05	11.40	82.65	90.0 73.25
03/29/2018	QGW035792	NOLTE, DONNA	HEICO CORPORATION	B	17,374.56	180.50	11.40	169.10	90.0 151.05
04/20/2018	QGW035792	NOLTE, DONNA	VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42	90.0 154.04
04/23/2018	QGW035875	HARRISON, DENNIS	FAT BRANDS INC COMMON STOCK	S	-94.65	1.57	11.40	-9.83	90.0 -9.99
04/20/2018	QGW035883	BUDKEVICS, YANA	VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42	90.0 154.04
04/18/2018	QGW035982	PANASUK, JOHN	SACHEM CAPITAL CORP COMMON SHARES	B	13,720.00	375.25	11.40	363.85	90.0 326.33
04/20/2018	QGW035982	PANASUK, JOHN	VUZIX CORP COM NEW	B	30,000.00	313.50	11.40	302.10	90.0 270.75
04/03/2018	QGW036030	BALLO, CATHERINE	SACHEM CAPITAL CORP COMMON SHARES	B	3,450.50	32.29	11.40	20.89	90.0 17.66
04/04/2018	QGW036030	BALLO, CATHERINE	SACHEM CAPITAL CORP COMMON SHARES	B	1,199.30	11.21	11.40	-0.19	90.0 -1.31
04/17/2018	QGW036030	BALLO, CATHERINE	SACHEM CAPITAL CORP COMMON SHARES	B	10,290.00	242.25	11.40	230.85	90.0 206.63
04/20/2018	QGW036063	PICCININNI,	VUZIX CORP COM NEW	B	11,250.00	137.87	11.40	126.47	90.0 112.68
04/20/2018	QGW036089	HENNEMANN, PATRICIA	VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42	90.0 154.04
04/24/2018	QGW036311	FAST, KENNETH	NABORS INDUSTRIES LTD	S	-7,840.00	58.90	11.40	47.50	90.0 41.61
04/20/2018	QGW036329	WOLF, KIM	VUZIX CORP COM NEW	B	18,750.00	229.79	11.40	218.39	90.0 195.41
04/20/2018	QGW036899	SARLO, JESSICA	VUZIX CORP COM NEW	B	12,750.00	156.26	11.40	144.86	90.0 129.23
04/20/2018	QGW036956	BROCHARD, THOMAS	VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42	90.0 154.04
04/20/2018	QGW037087	01/19/2016, U/A	VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42	90.0 154.04
04/20/2018	QGW038556	LAPINTA, LEONARD	VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42	90.0 154.04
04/20/2018	QGW039091	CITARELLA, FRANK	VUZIX CORP COM NEW	B	15,000.00	183.82	11.40	172.42	90.0 154.04
04/20/2018	QGW039117	CUPAIOLO, JOSEPH	VUZIX CORP COM NEW	B	14,250.00	174.64	11.40	163.24	90.0 145.78
				* STOCKS SUBTOTAL *	\$437,535.31	\$6,078.58	\$410.40	\$5,668.18	\$5,060.38

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
04/18/2018	QGW035123	BOWLEY, KEVIN	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-270.00	31.35	14.96	16.39	90.0	13.26
04/18/2018	QGW035248	BELZ, RICHARD	CALL 100	ENERGOUS CORP COM EXP 05-1 S	-400.00	36.10	13.77	22.33	90.0	18.72
04/25/2018	QGW035248	BELZ, RICHARD	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-330.00	29.45	14.96	14.49	90.0	11.55
04/18/2018	QGW035362	MOON, STEVEN	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-342.00	38.00	15.91	22.09	90.0	18.29
04/16/2018	QGW035511	LYDEN, MARY	CALL 100	ENERGOUS CORP COM EXP 04-2 S	-150.00	29.45	14.96	14.49	90.0	11.55
04/18/2018	QGW035511	LYDEN, MARY	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-340.00	33.25	16.15	17.10	90.0	13.78
04/19/2018	QGW035511	LYDEN, MARY	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-110.00	7.52	11.87	-4.35	90.0	-5.10
04/26/2018	QGW035529	AMENITA, FREDERICK	PUT 100	ENERGOUS CORP COM EXP 05-18 S	-300.00	19.95	12.82	7.13	90.0	5.14
04/26/2018	QGW035594	DUSATKO, ANNE	CALL 100	NABORS INDS LTD SHS ISIN#B S	-990.00	85.50	22.09	63.41	90.0	54.86
04/26/2018	QGW035644	MONGELUZZI, LINDA	CALL 100	NABORS INDS LTD SHS ISIN#B S	-460.00	37.05	16.15	20.90	90.0	17.20
04/25/2018	QGW035701	SZARKA, MICHAEL	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-300.00	28.50	14.25	14.25	90.0	11.40
04/18/2018	QGW035735	STELLATO, MICHAEL	CALL 100	ENERGOUS CORP COM EXP 05-1 S	-450.00	38.95	13.77	25.18	90.0	21.29
04/18/2018	QGW035735	STELLATO, MICHAEL	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-340.00	33.25	16.15	17.10	90.0	13.78
04/18/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-360.00	34.20	16.15	18.05	90.0	14.63
04/25/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100	NABORS INDS LTD SHS ISIN#B S	-1,160.00	93.10	20.90	72.20	90.0	62.89
04/18/2018	QGW035859	SZABO, JOSEPH	CALL 100	ENERGOUS CORP COM EXP 05-1 S	-450.00	42.75	13.77	28.98	90.0	24.71
04/17/2018	QGW035982	PANASUK, JOHN	CALL 100	ENERGOUS CORP COM EXP 04-2 S	-300.00	45.60	16.15	29.45	90.0	24.89
04/16/2018	QGW036030	BALLO, CATHERINE	CALL 100	ENERGOUS CORP COM EXP 04-2 S	-150.00	17.10	14.96	2.14	90.0	0.43
04/18/2018	QGW036030	BALLO, CATHERINE	CALL 100	ENERGOUS CORP COM EXP 05-1 S	-600.00	57.95	14.96	42.99	90.0	37.20
04/18/2018	QGW036030	BALLO, CATHERINE	CALL 100	ENERGOUS CORP COM EXP 05-1 S	600.00	-57.95	-14.96	-42.99	90.0	-37.20 C
04/18/2018	QGW036030	BALLO, CATHERINE	CALL 100	ENERGOUS CORP COM EXP 05-1 S	-600.00	57.95	14.96	42.99	90.0	37.20
04/18/2018	QGW036030	BALLO, CATHERINE	PUT 100	VUZIX CORP COM NEW EXP 05-1 S	-800.00	76.95	20.90	56.05	90.0	48.36
04/26/2018	QGW036030	BALLO, CATHERINE	CALL 100	NABORS INDS LTD SHS ISIN#B S	-440.00	37.05	16.15	20.90	90.0	17.20
04/25/2018	QGW036048	FITZPATRICK, BARRY	CALL 100	NABORS INDS LTD SHS ISIN#B S	-600.00	56.05	16.15	39.90	90.0	34.30
04/25/2018	QGW036048	FITZPATRICK, BARRY	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-345.00	38.00	14.96	23.04	90.0	19.24
04/18/2018	QGW036063	PICCININNI,	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-1,000.00	87.40	16.15	71.25	90.0	62.51
04/26/2018	QGW037087	01/19/2016, U/A	CALL 100	NABORS INDS LTD SHS ISIN#B S	-644.00	46.55	18.05	28.50	90.0	23.85
04/16/2018	QGW037103	BURNS, KATHLEEN	CALL 100	ENERGOUS CORP COM EXP 04-2 S	-100.00	19.95	13.77	6.18	90.0	4.19
04/25/2018	QGW037103	BURNS, KATHLEEN	PUT 100	ENERGOUS CORP COM EXP 05-18 S	-1,100.00	94.05	13.77	80.28	90.0	70.88
04/27/2018	QGW039745	LEWIS, THOMAS	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-1,500.00	94.05	18.52	75.53	90.0	66.13
* OPTIONS SUBTOTAL *					\$-14,331.00	\$1,289.07	\$443.12	\$845.95		\$717.13
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/30/2018				FEE COUNT - 26	0.00	162.30	0.00	162.30	90.0	146.09
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$162.30	\$0.00	\$162.30		\$146.09
*** BROKER TRANSACTIONS TOTAL ***					\$434,654.31	\$21,363.20	\$853.52	\$20,509.68		\$18,373.58

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

04/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
04/30/2018		OTHER: OTHER		0.00	0.00	0.00	0.00		-150.00
04/30/2018		OTHER: OTHER-TELEPHONE		0.00	0.00	0.00	0.00		-61.75
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-231.75

BROKER COMMISSION TOTALS

Broker Transactions	\$18,373.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-231.75
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-26.70
SIPC Assessment	\$-53.41

Check Amount	\$18,061.72
Year-to-date Earnings	\$108,084.59