

**COMMISSION STATEMENT****December 31, 2017**JAMES PANOS
330 North Street

BROKER #: P116 Terminated 12/31/2015

BRANCH: JAMES PANOS

Boston, MA 02113-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	0.82	0.00	0.82	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	0.27	0.00	0.27	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	4.48	0.00	4.48	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	3.50	0.00	3.50	0.0	0.00
12/15/2017	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	70.00	0.70	0.00	0.70	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT CL A	0.00	0.90	0.00	0.90	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT CL A	0.00	2.00	0.00	2.00	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	2.88	0.00	2.88	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	0.41	0.00	0.41	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	1.53	0.00	1.53	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GRWTH PORT CL A	0.00	2.70	0.00	2.70	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	3.11	0.00	3.11	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	0.44	0.00	0.44	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	0.00	8.61	0.00	8.61	0.0	0.00
12/15/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.00
12/15/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT CL A	0.00	3.38	0.00	3.38	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT CL A	0.00	5.38	0.00	5.38	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT A	0.00	3.18	0.00	3.18	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT A	0.00	1.11	0.00	1.11	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	3.14	0.00	3.14	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	0.44	0.00	0.44	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 A	0.00	15.16	0.00	15.16	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2019 A	0.00	0.55	0.00	0.55	0.0	0.00
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 A	0.00	0.72	0.00	0.72	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2019 A	0.00	2.01	0.00	2.01	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	0.00	2.29	0.00	2.29	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	2.29	0.00	2.29	0.0	0.00
12/15/2017	MACUCH, PAUL	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.00
12/15/2017	DORAN, PATRICK	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.00
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	7.14	0.00	7.14	0.0	0.00
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	7.14	0.00	7.14	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	2.38	0.00	2.38	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	2.38	0.00	2.38	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	2.38	0.00	2.38	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	2.38	0.00	2.38	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2022 C	0.00	2.34	0.00	2.34	0.0	0.00
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	7.14	0.00	7.14	0.0	0.00
12/15/2017	LORA, MARCOS	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	0.00
12/15/2017	LORA, CATALINA	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	0.00
12/15/2017	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.00
12/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.00
12/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.00
12/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.00
12/15/2017	LORA, ROLANDO	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	0.00	15.65	0.00	15.65	0.0	0.00
/ /	LORA, MARCOS	FA 529 PORT 2022 CL A	0.00	9.08	0.00	9.08	0.0	0.00
/ /	LORA, CATALINA	FA 529 PORT 2022 CL A	0.00	4.76	0.00	4.76	0.0	0.00
/ /	POWERS, GARY	FA 529 PORT 2022 CL A	0.00	2.67	0.00	2.67	0.0	0.00
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	0.00	4.05	0.00	4.05	0.0	0.00
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	0.00	6.09	0.00	6.09	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2022 CL A	0.00	1.22	0.00	1.22	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	1.91	0.00	1.91	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	2.37	0.00	2.37	0.0	0.00
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 CL A	0.00	7.01	0.00	7.01	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	1.48	0.00	1.48	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2022 CL A	0.00	0.77	0.00	0.77	0.0	0.00
/ /	DORAN, SHAWN	FA 529 PORT 2022 CL A	0.00	0.83	0.00	0.83	0.0	0.00
/ /	LORA, ROLANDO	FA 529 PORT 2022 CL A	0.00	3.63	0.00	3.63	0.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 CL A	0.00	0.76	0.00	0.76	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	0.00	9.87	0.00	9.87	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2025 A	0.00	4.77	0.00	4.77	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2025 A	0.00	0.62	0.00	0.62	0.0	0.00
/ /	MURPHY, CHRIS	FA 529 PORT 2025 A	0.00	4.62	0.00	4.62	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 A	0.00	3.47	0.00	3.47	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2025 A	0.00	2.00	0.00	2.00	0.0	0.00
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	16.65	0.00	16.65	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	0.00	9.70	0.00	9.70	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	12.13	0.00	12.13	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2028 A	0.00	0.83	0.00	0.83	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2028 A	0.00	0.74	0.00	0.74	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	12.51	0.00	12.51	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2028 C	0.00	2.54	0.00	2.54	0.0	0.00
12/15/2017	MACUCH, PAUL	FA 529 PORT 2028 C	100.00	1.00	0.00	1.00	0.0	0.00
/ /	FACADA, MANUEL	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.77	0.00	6.77	0.0	0.00
/ /	FACADA, ANNA	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	5.95	0.00	5.95	0.0	0.00
/ /	FARMER, NEAL	FIDELITY ADVISORS 529 MONEY MARKET	0.00	0.03	0.00	0.03	0.0	0.00
* 529 PLANS SUBTOTAL *			\$1,060.00	\$270.66	\$0.00	\$270.66		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$1,060.00	\$270.66	\$0.00	\$270.66		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$25,466.47
Year-to-date Earnings	\$0.00