



COMMISSION STATEMENT

February 26, 2018

LOUIS HORWIN
7670 E La Junta Rd
Scottsdale, AZ 85255

BROKER #: A92
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
02/15/2018	***-**-03TR - STANTON	AT&T INC COM	2,877.73	54.00	16.56	37.44	50.0	18.72
	ACCOUNT #: LSR011523	SELL 78 Units @36.8940						
02/15/2018	***-**-648I - PARADY, STEVE	CALAMOS CONV OPP AND INC FD SH BEN	1,080.00	47.86	17.00	30.86	50.0	15.43
	ACCOUNT #: LSR011462	SELL 100 Units @10.8000						
02/15/2018	***-**-648I - PARADY, STEVE	CALAMOS CONV OPP AND INC FD SH BEN	1,079.10	18.34	2.00	16.34	50.0	8.17
	ACCOUNT #: LSR011462	SELL 100 Units @10.7910						
02/15/2018	***-**-648I - PARADY, STEVE	CALAMOS DYNAMIC CONV &INCOME COM	193.90	5.50	15.20	-9.70	100.0	-9.70
	ACCOUNT #: LSR011462	SELL 10 Units @19.3900						
02/15/2018	***-**-648I - PARADY, STEVE	CALAMOS DYNAMIC CONV &INCOME COM	1,939.50	54.00	2.00	52.00	50.0	26.00
	ACCOUNT #: LSR011462	SELL 100 Units @19.3950						
02/15/2018	***-**-648I - PARADY, STEVE	INTRICON CORP COM	4,168.92	83.02	19.20	63.82	50.0	31.91
	ACCOUNT #: LSR011462	BUY 210 Units @19.8520						
02/15/2018	***-**-03TR - STANTON	INTRICON CORP COM	2,800.00	73.98	17.80	56.18	50.0	28.09
	ACCOUNT #: LSR011523	BUY 140 Units @20.0000						
* STOCKS SUBTOTAL *			\$14,139.15	\$336.70	\$89.76	\$246.94		\$118.62
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/26/2018	***-**-648K - PARADY, STEPHEN	ALLIANZGI INCOME & GROWTH CL A	0.00	0.02	0.00	0.02	50.0	0.01
	ACCOUNT #: LSR011461	BUY 1 Units @0.0000						
02/20/2018	***-**-722I - PARADY, JESSICA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.51	0.00	1.51	50.0	0.76
	ACCOUNT #: LSR011596	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.53	\$0.00	\$1.53		\$0.77
*** BROKER TRANSACTIONS TOTAL ***			\$14,139.15	\$338.23	\$89.76	\$248.47		\$119.39



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DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER COMMISSION TOTALS									
Broker Transactions \$119.39									
Split Transactions \$0.00									
Override Transactions \$0.00									
Adjustments \$0.00									
Payroll Draw \$0.00									
Base Salary \$0.00									
Prior Period Balance \$0.00									
FINRA Assessment \$0.00									
SIPC Assessment \$0.00									
Net Commission Earned \$119.39									
Year-to-date Earnings \$0.00									