

COMMISSION STATEMENT

December 31, 2017

COLIN GLENNON
711 ELLINGTON ROADBROKER #: 17
BRANCH: HOME OFFICE

RIDGEWOOD, NJ 07450

COMMISSION STATEMENT for COLIN GLENNON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

11/29/2017	75502121	GLENNON, COLIN		AMAZON.COM INC	B	5,794.55	20.00	0.00	20.00	50.0	10.00
11/30/2017	75502121	GLENNON, COLIN		GABELLI EQUITY TRUST INC	B	242.19	20.00	0.00	20.00	50.0	10.00
				* STOCKS SUBTOTAL *		\$6,036.74	\$40.00	\$0.00	\$40.00		\$20.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/11/2017	75501429	COMACK, KATHLEEN		FRANKLIN U.S. GOVERNMENT SECURITIES B		0.00	6.35	0.00	6.35	50.0	3.18
12/11/2017	75501609	BUCHNER, LORETTA		GOLDMAN SACHS U S EQUITY INSIGHTS F B		0.00	4.06	0.00	4.06	50.0	2.03
12/11/2017	75501609	BUCHNER, LORETTA		GOLDMAN SACHS TOLLKEEPER FUND CLASS B		0.00	17.67	0.00	17.67	50.0	8.84
12/11/2017	75501609	BUCHNER, LORETTA		JOHN HANCOCK FDS III STRATEGIC GROW B		0.00	2.26	0.00	2.26	50.0	1.13
12/11/2017	75501668	PARKER, FRANCINE		FRK SM CAP GRWTH FND II - CL A	B	0.00	5.66	0.00	5.66	50.0	2.83
12/11/2017	75501668	PARKER, FRANCINE		GLOBAL OPPORTUNITIES A	B	0.00	9.00	0.00	9.00	50.0	4.50
12/11/2017	75501669	PARKER, JAMES		FRANKLIN U.S. GOVERNMENT SECURITIES B		0.00	0.26	0.00	0.26	50.0	0.00
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$45.26	\$0.00	\$45.26		\$22.51
				*** BROKER TRANSACTIONS TOTAL ***		\$6,036.74	\$85.26	\$0.00	\$85.26		\$42.51

BROKER COMMISSION TOTALS

Broker Transactions	\$42.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.11
SIPC Assessment	\$-0.21

Check Amount	\$42.19
Year-to-date Earnings	\$845.35