



COMMISSION STATEMENT

August 13, 2018

JEFFRY PROUL
950 Glenn Drive
Suite 100
Folsom, CA 95630

BROKER #: 940
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/02/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN 2055 TARGET DATE RETIREMEN BUY 3 Units @20.3200	66.24	1.82	0.00	1.82	75.0	1.37
08/02/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN 2055 TARGET DATE RETIREMEN BUY 10 Units @20.3200	200.00	5.50	0.00	5.50	75.0	4.13
08/02/2018	***-**-4496 - HARRY, KIRK ACCOUNT #: 00058823513	EUROPACIFIC GROWTH FUND-A BUY 9 Units @53.9100	479.17	4.79	0.00	4.79	75.0	3.59
08/02/2018	***-**-3875 - HARRY, JOHN ACCOUNT #: 00058823514	EUROPACIFIC GROWTH FUND-A BUY 10 Units @53.9100	563.35	5.63	0.00	5.63	75.0	4.22
08/02/2018	***-**-9346 - KEY-BELL INC ACCOUNT #: 00058823515	EUROPACIFIC GROWTH FUND-A BUY 3 Units @53.9100	150.00	1.50	0.00	1.50	75.0	1.13
08/02/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058823519	EUROPACIFIC GROWTH FUND-A BUY 10 Units @53.9100	521.26	5.21	0.00	5.21	75.0	3.91
08/02/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058836715	EUROPACIFIC GROWTH FUND-A BUY 15 Units @53.9100	797.10	7.97	0.00	7.97	75.0	5.98
08/02/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00086889126	EUROPACIFIC GROWTH FUND-A BUY 2 Units @53.9100	87.50	0.88	0.00	0.88	75.0	0.66
07/31/2018	***-**-388I - AVIS, ALAN ACCOUNT #: 03900211583	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 13 Units @29.5100	383.68	19.18	0.00	19.18	75.0	14.39
07/31/2018	***-**-388I - AVIS, ALAN ACCOUNT #: 03900211583	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 13 Units @29.5100	383.68	19.18	0.00	19.18	75.0	14.39
07/31/2018	***-**-388I - AVIS, ALAN ACCOUNT #: 03900211572	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 18 Units @29.5100	517.58	25.88	0.00	25.88	75.0	19.41
07/31/2018	***-**-388I - AVIS, ALAN ACCOUNT #: 03900211572	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 18 Units @29.5100	517.58	25.88	0.00	25.88	75.0	19.41
07/31/2018	***-**-388I - AVIS, ALAN ACCOUNT #: 03900211583	FIDELITY ADV EQUITY GROWTH CL A BUY 20 Units @13.0820	255.78	12.79	0.00	12.79	75.0	9.59
07/31/2018	***-**-388I - AVIS, ALAN ACCOUNT #: 03900211583	FIDELITY ADV EQUITY GROWTH CL A BUY 20 Units @13.0820	255.78	12.79	0.00	12.79	75.0	9.59
07/31/2018	***-**-388I - AVIS, ALAN ACCOUNT #: 03900211572	FIDELITY ADV EQUITY GROWTH CL A BUY 34 Units @13.0820	443.64	22.18	0.00	22.18	75.0	16.64
07/31/2018	***-**-388I - AVIS, ALAN ACCOUNT #: 03900211572	FIDELITY ADV EQUITY GROWTH CL A BUY 34 Units @13.0820	443.64	22.18	0.00	22.18	75.0	16.64



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/26/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	-4.37	-0.22	0.00	-0.22	75.0	-0.17
	ACCOUNT #: 03900221916	BUY 0 Units @14.4600						
07/26/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	-4.37	-0.22	0.00	-0.22	75.0	-0.17
	ACCOUNT #: 03900221916	BUY 0 Units @14.4600						
08/02/2018	***-**-1489 - TATUSKO, MARY	FIDELITY ADV FREEDOM 2025-CL A	16.90	0.85	0.00	0.85	75.0	0.64
	ACCOUNT #: 03900228796	BUY 1 Units @14.4000						
08/02/2018	***-**-1489 - TATUSKO, MARY	FIDELITY ADV FREEDOM 2025-CL A	112.70	5.64	0.00	5.64	75.0	4.23
	ACCOUNT #: 03900228796	BUY 8 Units @14.4000						
08/02/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	3.96	0.20	0.00	0.20	75.0	0.15
	ACCOUNT #: 03900221916	BUY 0 Units @14.4000						
08/02/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	3.96	0.20	0.00	0.20	75.0	0.15
	ACCOUNT #: 03900221916	BUY 0 Units @14.4000						
07/31/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV FREEDOM 2030-CL A	295.76	14.79	0.00	14.79	75.0	11.09
	ACCOUNT #: 03900211572	BUY 19 Units @15.7000						
07/31/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV FREEDOM 2030-CL A	295.76	14.79	0.00	14.79	75.0	11.09
	ACCOUNT #: 03900211572	BUY 19 Units @15.7000						
08/02/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.6800						
08/02/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.6800						
07/31/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV GLOBAL EQUITY INCOME C	213.15	10.66	0.00	10.66	75.0	8.00
	ACCOUNT #: 03900211583	BUY 14 Units @15.6800						
07/31/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV GLOBAL EQUITY INCOME C	213.15	10.66	0.00	10.66	75.0	8.00
	ACCOUNT #: 03900211583	BUY 14 Units @15.6800						
07/31/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV GLOBAL EQUITY INCOME C	221.82	11.09	0.00	11.09	75.0	8.32
	ACCOUNT #: 03900211572	BUY 14 Units @15.6800						
07/31/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV GLOBAL EQUITY INCOME C	221.82	11.09	0.00	11.09	75.0	8.32
	ACCOUNT #: 03900211572	BUY 14 Units @15.6800						
07/16/2018	***-**-46IN - CALLES, DALE	GOLDMAN SACHS GROWTH AND INCOME CLA	100.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 00519094815	BUY 3 Units @39.0200						
08/02/2018	***-**-4496 - HARRY, KIRK	THE GROWTH FUND OF AMERICA-A	479.17	4.79	0.00	4.79	75.0	3.59
	ACCOUNT #: 00058823513	BUY 9 Units @55.3000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/02/2018	***-**-3875 - HARRY, JOHN	THE GROWTH FUND OF AMERICA-A	563.35	5.63	0.00	5.63	75.0	4.22
	ACCOUNT #: 00058823514	BUY 10 Units @55.3000						
08/02/2018	***-**-9346 - KEY-BELL INC	THE GROWTH FUND OF AMERICA-A	150.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 00058823515	BUY 3 Units @55.3000						
08/02/2018	***-**-2069 - CORPORATION,	THE GROWTH FUND OF AMERICA-A	521.26	5.21	0.00	5.21	75.0	3.91
	ACCOUNT #: 00058823519	BUY 9 Units @55.3000						
08/02/2018	***-**-2069 - CORPORATION,	THE GROWTH FUND OF AMERICA-A	797.11	7.97	0.00	7.97	75.0	5.98
	ACCOUNT #: 00058836715	BUY 14 Units @55.3000						
08/02/2018	***-**-2069 - CORPORATION,	THE GROWTH FUND OF AMERICA-A	37.50	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00086889126	BUY 1 Units @55.3000						
08/02/2018	***-**-645P - CALLES, LORIANN	THE GROWTH FUND OF AMERICA-A	90.00	2.48	0.00	2.48	75.0	1.86
	ACCOUNT #: 00081807366	BUY 2 Units @57.3100						
08/02/2018	***-**-645P - CALLES, LORIANN	THE GROWTH FUND OF AMERICA-A	150.00	4.13	0.00	4.13	75.0	3.10
	ACCOUNT #: 00081807366	BUY 3 Units @57.3100						
* MUTUAL FUNDS SUBTOTAL *			\$10,599.81	\$312.74	\$0.00	\$312.74		\$234.61
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
08/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.43	0.00	0.43	75.0	0.32
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
08/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
08/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV EQUITY GROWTH CL A	0.00	0.37	0.00	0.37	75.0	0.28
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
08/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV EQUITY GROWTH CL A	0.00	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
08/07/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY ADV FREEDOM 2025-CL A	0.00	1.18	0.00	1.18	75.0	0.89
	ACCOUNT #: 03900220125	BUY 0 Units @0.0000						
08/07/2018	***-**-7621 - PROUL, JUSTINE	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.16	0.00	0.16	75.0	0.12
	ACCOUNT #: 03900221014	BUY 0 Units @0.0000						
08/07/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 03900221916	BUY 0 Units @0.0000						
08/07/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2025-CL A	0.00	14.86	0.00	14.86	75.0	11.15
	ACCOUNT #: 03900227458	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
08/07/2018	***-**-1489 - TATUSKO, MARY	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.17	0.00	0.17	75.0	0.13
	ACCOUNT #: 03900228796	BUY 0 Units @0.0000						
08/07/2018	***-**-7470 - BAILEY, DYLAN	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 03900233790	BUY 0 Units @0.0000						
08/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
08/07/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.21	0.00	0.21	75.0	0.16
	ACCOUNT #: 03900219123	BUY 0 Units @0.0000						
08/07/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.19	0.00	0.19	75.0	0.14
	ACCOUNT #: 03900185962	BUY 0 Units @0.0000						
08/07/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.30	0.00	0.30	75.0	0.23
	ACCOUNT #: 03900185999	BUY 0 Units @0.0000						
08/07/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	0.00	1.83	0.00	1.83	75.0	1.37
	ACCOUNT #: 03900186010	BUY 0 Units @0.0000						
08/07/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.73	0.00	0.73	75.0	0.55
	ACCOUNT #: 03900186012	BUY 0 Units @0.0000						
08/07/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	0.00	1.49	0.00	1.49	75.0	1.12
	ACCOUNT #: 03900186019	BUY 0 Units @0.0000						
08/07/2018	***-**-2868 - MADDOCK, ASHLEY	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 03900186023	BUY 0 Units @0.0000						
08/07/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.30	0.00	0.30	75.0	0.23
	ACCOUNT #: 03900186024	BUY 0 Units @0.0000						
08/07/2018	***-**-2963 - LAPAGE, STEPHEN	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 03900186027	BUY 0 Units @0.0000						
08/07/2018	***-**-6187 - HICKS, BREANNA	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 03900186033	BUY 0 Units @0.0000						
08/07/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	0.00	2.55	0.00	2.55	75.0	1.91
	ACCOUNT #: 03900186038	BUY 0 Units @0.0000						
08/07/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: 03900186047	BUY 0 Units @0.0000						
08/07/2018	***-**-8871 - GUTIERREZ,	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: 03900186057	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
08/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV GLOBAL EQUITY INCOME C	0.00	0.17	0.00	0.17	75.0	0.13
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
08/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV GLOBAL EQUITY INCOME C	0.00	0.14	0.00	0.14	75.0	0.11
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
08/07/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	63.34	0.00	63.34	75.0	47.51
	ACCOUNT #: 03900220125	BUY 0 Units @0.0000						
08/03/2018	***-**-46IN - CALLES, DALE	GOLDMAN SACHS GROWTH AND INCOME CLA	0.00	3.14	0.00	3.14	75.0	2.36
	ACCOUNT #: 00519094815	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$93.36	\$0.00	\$93.36		\$70.07
*** BROKER TRANSACTIONS TOTAL ***			\$10,599.81	\$406.10	\$0.00	\$406.10		\$304.68

BROKER COMMISSION TOTALS

Broker Transactions	\$304.68
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$304.68
Year-to-date Earnings	\$44,552.14