

**COMMISSION STATEMENT****August 15, 2018**FRED CHASALOW
2523 Hastings DriveBROKER #: C121 Terminated 12/31/2015
BRANCH: FRED CHASALOW

Belmont, CA 94002-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: A132 - HERB/FRED ALSTON/CHASALOW #413</u>								
07/16/2018	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	2.59	0.00	2.59	72.0	1.86
07/16/2018	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	0.38	0.00	0.38	72.0	0.27
07/16/2018	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	1.57	0.00	1.57	72.0	1.13
07/02/2018	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.94	0.00	4.94	72.0	3.56
07/02/2018	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.45	0.00	2.45	72.0	1.76
07/02/2018	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.45	0.00	2.45	72.0	1.76
07/02/2018	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.39	0.00	1.39	72.0	1.00
07/02/2018	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.97	0.00	1.97	72.0	1.42
07/02/2018	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.66	0.00	0.66	72.0	0.48
07/02/2018	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.99	0.00	0.99	72.0	0.71
07/16/2018	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.82	0.00	4.82	72.0	3.47
07/16/2018	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.39	0.00	2.39	72.0	1.72
07/16/2018	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.39	0.00	2.39	72.0	1.72
07/16/2018	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.36	0.00	1.36	72.0	0.98
07/16/2018	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.92	0.00	1.92	72.0	1.38
07/16/2018	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.64	0.00	0.64	72.0	0.46
07/16/2018	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.97	0.00	0.97	72.0	0.70
07/17/2018	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	0.42	0.00	0.42	72.0	0.30
07/13/2018	COHEN, JOYCE	JH GREATER CHINA OPP A	0.00	0.93	0.00	0.93	72.0	0.67
07/13/2018	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	0.39	0.00	0.39	72.0	0.28
07/09/2018	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	0.69	0.00	0.69	72.0	0.50
07/20/2018	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	0.67	0.00	0.67	72.0	0.48
07/11/2018	MCDONALD, MARY	WILLISTON BASIN/MID- NORTH AMERICA	0.00	2.01	0.00	2.01	72.0	1.45
* A132 - HERB/FRED ALSTON/CHASALOW SUBTOTAL *			\$0.00	\$38.99	\$0.00	\$38.99		\$28.06
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$38.99	\$0.00	\$38.99		\$28.06

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$28.06
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$28.06
Year-to-date Gross	\$6,173.85
Year-to-date Earnings	\$4,398.54