



Concorde Investment Services, LLC

04/18/2017
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COMMISSION STATEMENT **March 31, 2017**

THOMAS HALSEY
7261 W. Central Ave.

Toledo, OH 43617

BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/20/2017	DENHAM, CONSTANCE	AM FUNDS AMERICAN BALANCED A	2,500.00	106.25	0.00	106.25	85.0	90.31
03/22/2017	BASSETT, ANTHONY	AM FUNDS AMERICAN BALANCED A	6,234.11	264.95	0.00	264.95	85.0	225.21
03/22/2017	DERR, LUCINDA	AM FUNDS AMERICAN BALANCED A	8,250.00	309.38	0.00	309.38	85.0	262.97
03/22/2017	DERR, LUCINDA	AM FUNDS AMERICAN HIGH-INCOME TRUST	3,750.00	112.50	0.00	112.50	85.0	95.63
03/22/2017	BASSETT, ANTHONY	AM FUNDS AMERICAN MUTUAL A	7,273.13	309.11	0.00	309.11	85.0	262.74
03/22/2017	DERR, LUCINDA	AM FUNDS CAPITAL INCOME BUILDER A	7,500.00	281.25	0.00	281.25	85.0	239.06
03/22/2017	BASSETT, ANTHONY	AM FUNDS CAPITAL WORLD GRTH & INC A	4,848.75	206.07	0.00	206.07	85.0	175.16
03/22/2017	DERR, LUCINDA	AM FUNDS CAPITAL WORLD GRTH & INC A	4,500.00	168.75	0.00	168.75	85.0	143.44
03/22/2017	BASSETT, ANTHONY	AM FUNDS FUNDAMENTAL INVESTORS A	3,463.40	147.19	0.00	147.19	85.0	125.11
03/22/2017	DERR, LUCINDA	AM FUNDS FUNDAMENTAL INVESTORS A	10,500.00	393.75	0.00	393.75	85.0	334.69
03/22/2017	DERR, LUCINDA	AM FUNDS GROWTH FUND OF AMERICA A	4,500.00	168.75	0.00	168.75	85.0	143.44
03/20/2017	DENHAM, CONSTANCE	AM FUNDS INCOME FUND OF AMERICA A	999.68	42.49	0.00	42.49	85.0	36.12
03/22/2017	DERR, LUCINDA	AM FUNDS INCOME FUND OF AMERICA A	10,500.00	393.75	0.00	393.75	85.0	334.69
03/22/2017	BASSETT, ANTHONY	AM FUNDS NEW PERSPECTIVE A	3,809.74	161.91	0.00	161.91	85.0	137.62
03/22/2017	DERR, LUCINDA	AM FUNDS SHORT TERM BND FUND OF AM	4,500.00	90.00	0.00	90.00	85.0	76.50
03/22/2017	BASSETT, ANTHONY	AM FUNDS SMALLCAP WORLD A	4,156.07	176.63	0.00	176.63	85.0	150.14
03/22/2017	DERR, LUCINDA	AM FUNDS SMALLCAP WORLD A	3,000.00	112.50	0.00	112.50	85.0	95.63
03/22/2017	BASSETT, ANTHONY	AM FUNDS WASHINGTON MUTUAL INVESTOR	4,848.75	206.07	0.00	206.07	85.0	175.16
03/22/2017	DERR, LUCINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	15,000.00	562.50	0.00	562.50	85.0	478.13
03/22/2017	DERR, LUCINDA	AMERICAN FUNDS CORPORATE BOND FD A	3,000.00	90.00	0.00	90.00	85.0	76.50
02/28/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.36	0.00	0.36	85.0	0.31
02/28/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.36	0.00	0.36	85.0	0.31
03/15/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	1.06
03/15/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	1.06
02/28/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	6.77	0.00	6.77	85.0	5.75
02/28/2017	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	1.06	0.00	1.06	85.0	0.90

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/15/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	300.00	11.25	0.00	11.25	85.0	9.56
02/28/2017	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	1.05	0.00	1.05	85.0	0.89
02/28/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	9.88	0.00	9.88	85.0	8.40
* MUTUAL FUNDS SUBTOTAL *			\$113,483.63	\$4,337.03	\$0.00	\$4,337.03		\$3,686.49
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/16/2017	FRANTZ, KIMBERLY	MLL ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
02/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	127,939.62	106.62	0.00	106.62	85.0	90.63
02/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	99,546.65	82.96	0.00	82.96	85.0	70.52
02/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	83,724.70	69.77	0.00	69.77	85.0	59.30
02/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	220,719.10	183.93	0.00	183.93	85.0	156.34
02/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	94,432.91	78.69	0.00	78.69	85.0	66.89
02/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	126,116.13	105.10	0.00	105.10	85.0	89.34
02/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	141,616.14	118.01	0.00	118.01	85.0	100.31
02/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	181,668.13	151.39	0.00	151.39	85.0	128.68
02/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	213,853.13	178.21	0.00	178.21	85.0	151.48
02/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	127,835.44	106.53	0.00	106.53	85.0	90.55
02/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	173,710.22	144.76	0.00	144.76	85.0	123.05
02/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	106,088.19	88.41	0.00	88.41	85.0	75.15
02/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	91,574.74	76.31	0.00	76.31	85.0	64.86
02/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	85,945.64	71.62	0.00	71.62	85.0	60.88
02/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	132,516.10	110.43	0.00	110.43	85.0	93.87
02/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	64,677.99	53.90	0.00	53.90	85.0	45.82
02/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	28,150.36	23.46	0.00	23.46	85.0	19.94
02/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	202,539.09	168.78	0.00	168.78	85.0	143.46
02/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	75,393.19	62.83	0.00	62.83	85.0	53.41
02/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	75,393.19	62.83	0.00	62.83	85.0	53.41
02/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	75,393.19	62.83	0.00	62.83	85.0	53.41
02/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	183,070.89	152.56	0.00	152.56	85.0	129.68
02/22/2017	AUGUSTYNIAK JR, ROGER	TRANSAMERICA LIBERTY	416,338.63	346.95	0.00	346.95	85.0	294.91
02/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	90,802.97	75.67	0.00	75.67	85.0	64.32
02/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	392,317.47	326.93	0.00	326.93	85.0	277.89
02/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	82,511.27	68.76	0.00	68.76	85.0	58.45
02/26/2017	ANNESSER, CAROL	TRANSAMERICA LIBERTY	127,506.45	106.26	0.00	106.26	85.0	90.32

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
02/27/2017	DESELMs, KAREN	TRANSAMERICA LIBERTY	116,027.29	96.69	0.00	96.69	85.0	82.19
02/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	27,462.83	22.89	0.00	22.89	85.0	19.46
02/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	57,484.32	47.90	0.00	47.90	85.0	40.72
02/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	36,675.55	30.56	0.00	30.56	85.0	25.98
02/28/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	46,374.74	38.65	0.00	38.65	85.0	32.85
03/23/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	6,500.00	260.00	0.00	260.00	85.0	221.00
* VARIABLE ANNUITIES SUBTOTAL *			\$4,111,956.26	\$3,683.94	\$0.00	\$3,683.94		\$3,131.41
*** BROKER TRANSACTIONS TOTAL ***			\$4,225,439.89	\$8,020.97	\$0.00	\$8,020.97		\$6,817.90

ADJUSTMENTS

03/31/2017	*ADVANCE: ADV OF TRANSAMERICA	0.00						-2,908.07
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$-2,908.07

BROKER COMMISSION TOTALS

Broker Transactions	\$6,817.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,908.07
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,909.83
Year-to-date Gross	\$17,563.50
Year-to-date Earnings	\$15,009.98

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