



All Companies

05/30/2018
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COMMISSION STATEMENT

June 01, 2018

JERRY SINGLETON
700 THROCKMORTON STREET

BROKER #: 8373
BRANCH: HOME OFFICE

FORT WORTH, TX 76102

COMMISSION STATEMENT for JERRY SINGLETON

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | |
|------------|--|---------------------------------------|---|--------|--------|------|--------|------|--------|
| 04/30/2018 | TRAILS | - LORD ABBETT | B | 137.61 | 137.61 | 0.00 | 137.61 | 90.0 | 123.85 |
| 05/15/2018 | ALTIERI, KEVIN/TIERNI | -PUTNAM | B | 26.95 | 2.50 | 0.00 | 2.50 | 90.0 | 2.25 |
| 04/30/2018 | TRAILS | -VIRTUS FUNDS | B | 91.74 | 91.74 | 0.00 | 91.74 | 90.0 | 82.57 |
| 04/30/2018 | TRAILS | BLACK ROCK | B | 1.80 | 1.80 | 0.00 | 1.80 | 90.0 | 1.62 |
| 04/30/2018 | TRAILS | BLACK ROCK | B | 0.91 | 0.91 | 0.00 | 0.91 | 90.0 | 0.82 |
| 04/30/2018 | TRAILS | BLACK ROCK | B | 3.78 | 3.78 | 0.00 | 3.78 | 90.0 | 3.40 |
| 04/30/2018 | TRAILS | DELAWARE - GENERAL | B | 88.87 | 88.87 | 0.00 | 88.87 | 90.0 | 79.98 |
| 04/30/2018 | TRAILS | DELAWARE - GENERAL | B | 11.48 | 11.48 | 0.00 | 11.48 | 90.0 | 10.33 |
| 05/15/2018 | DAVIS, LENORA MARIE | DELAWARE - GENERAL | B | 25.00 | 1.25 | 0.00 | 1.25 | 90.0 | 1.13 |
| 04/30/2018 | TRAILS | EATON VANCE - GENERAL | B | 5.18 | 5.18 | 0.00 | 5.18 | 90.0 | 4.66 |
| 04/30/2018 | TRAILS | EATON VANCE - GENERAL | B | 1.67 | 1.67 | 0.00 | 1.67 | 90.0 | 1.50 |
| 05/07/2018 | 000011624774387 JTEN | FRANKLIN FEDERAL TAX FREE INCM FD C B | | 200.00 | 8.00 | 0.00 | 8.00 | 90.0 | 7.20 |
| 05/15/2018 | 00001728334 SANDAGO, ALLYSON | JOHN HANCOCK GROWTH & INCOME FD CL B | | 100.00 | 4.25 | 0.00 | 4.25 | 90.0 | 3.83 |
| 04/16/2018 | 00004968064 SHORTER, ANNIE | -VIRTUS FUNDS | B | 30.00 | 1.50 | 0.00 | 1.50 | 90.0 | 1.35 |
| | /ARLESTER | | | | | | | | |
| 05/15/2018 | 00004968064 SHORTER, ANNIE | -VIRTUS FUNDS | B | 30.00 | 1.50 | 0.00 | 1.50 | 90.0 | 1.35 |
| | /ARLESTER | | | | | | | | |
| 04/16/2018 | 00004994783 SINEWAY, DANIEL C | -VIRTUS FUNDS | B | 300.00 | 15.00 | 0.00 | 15.00 | 90.0 | 13.50 |
| 05/15/2018 | 00004994783 SINEWAY, DANIEL C | -VIRTUS FUNDS | B | 300.00 | 15.00 | 0.00 | 15.00 | 90.0 | 13.50 |
| 05/15/2018 | 000060160708608 HENRY, ERIC | FRANKLIN GROWTH FD CL I | B | 200.00 | 10.00 | 0.00 | 10.00 | 90.0 | 9.00 |
| 05/15/2018 | 00042508591 UTMA/TX | PUTNAM EQUITY INCOME FD CL A | B | 200.00 | 10.00 | 0.00 | 10.00 | 90.0 | 9.00 |
| 05/10/2018 | 00059157984 AHLENIUS TEN COM, JEANETTE | AMERICAN FUNDS INVESTMENT COMP OF A B | | 950.00 | 26.13 | 0.00 | 26.13 | 90.0 | 23.52 |
| 05/11/2018 | 00059964494 SHOEMAKER, THOMAS | AMERICAN FUNDS INVESTMENT COMP OF A B | | 200.00 | 5.50 | 0.00 | 5.50 | 90.0 | 4.95 |
| 05/10/2018 | 00060932562 LIEBERMAN, NORMAN/JENNIFER | AMERICAN FUNDS EURO PACIFIC GROWT B | | 50.00 | 2.13 | 0.00 | 2.13 | 90.0 | 1.92 |
| 05/10/2018 | 00063853534 ALTIERI, TIERNI | AMERICAN FUNDS WA MUTUAL INVES B | | 50.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| 05/15/2018 | 00073026805 KOTARA, KELLEN | THE GROWTH FUND OF AMERICA-529A | B | 125.00 | 3.44 | 0.00 | 3.44 | 90.0 | 3.10 |
| 05/15/2018 | 00074688559 HARRIS, CHAD | AMERICAN FUNDS AMERICAN MUTUAL FD | B | 50.00 | 1.38 | 0.00 | 1.38 | 90.0 | 1.24 |
| 05/16/2018 | 00074688631 HARRIS, ANGELA L. | AMERICAN FUNDS CAPITAL INCOME BUILD B | | 50.00 | 1.38 | 0.00 | 1.38 | 90.0 | 1.24 |
| 05/15/2018 | 00081283633 HARRIS, ANGELA | AMERICAN FUNDS INCOME FD OF AMERI B | | 50.00 | 1.38 | 0.00 | 1.38 | 90.0 | 1.24 |

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|--|--|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | | |
| 05/15/2018 | 00081284351 HARRIS, CHAD | CAPITAL WORLD GROWTH AND INCOME-529 B | | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 05/10/2018 | 00081489987 STAUFFER, TRAVIS | CAPITAL WORLD GROWTH AND INCOME-529 B | | 100.00 | 1.00 | 0.00 | 1.00 | 90.0 | 0.90 |
| 05/10/2018 | 00081490017 STAUFFER, TRAVIS | CAPITAL WORLD GROWTH AND INCOME-529 B | | 100.00 | 1.00 | 0.00 | 1.00 | 90.0 | 0.90 |
| 05/15/2018 | 00083335257 KOTARA, KELLEN | THE GROWTH FUND OF AMERICA-529C B | | 125.00 | 1.25 | 0.00 | 1.25 | 90.0 | 1.13 |
| 05/10/2018 | 00085279516 ALTIERI, NICOLE | THE GROWTH FUND OF AMERICA-529A B | | 75.00 | 2.81 | 0.00 | 2.81 | 90.0 | 2.53 |
| 05/15/2018 | 00086483945 SINGLETON, ELIZABETH | THE NEW ECONOMY FUND-C B | | 350.00 | 3.50 | 0.00 | 3.50 | 90.0 | 3.15 |
| 05/15/2018 | 00086502248 RUEDEMAN, KOBIE | FUNDAMENTAL INVESTORS-529C B | | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 05/15/2018 | 00087833281 GARRISON, JAMES/KATE | GLOBAL GROWTH PORTFOLIO-529C B | | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 05/10/2018 | 00089307555 JOBE, LISA GLENN | THE GROWTH FUND OF AMERICA-529C B | | 100.00 | 1.00 | 0.00 | 1.00 | 90.0 | 0.90 |
| 05/15/2018 | 00089704151 DELK, KYLIE/BAYLIE | THE NEW ECONOMY FUND-529A B | | 100.00 | 5.00 | 0.00 | 5.00 | 90.0 | 4.50 |
| 05/16/2018 | 00431134561 MINGUS, TIM N | PUTNAM GROWTH OPPORTUNITIES FD CL A B | | 100.00 | 2.75 | 0.00 | 2.75 | 90.0 | 2.48 |
| 05/15/2018 | 00551326780 ALTIERI, KEVIN/VINCENT | -PUTNAM B | | 25.00 | 1.25 | 0.00 | 1.25 | 90.0 | 1.13 |
| 05/10/2018 | 00671173702 ORENDER, KARI | INVESCO VAN KAMPEN G & I FD B | | 25.00 | 1.25 | 0.00 | 1.25 | 90.0 | 1.13 |
| 05/10/2018 | 00671173702 ORENDER, KARI | IVK AMERICAN FRANCHISE FUND - CLASS B | | 25.00 | 1.25 | 0.00 | 1.25 | 90.0 | 1.13 |
| 05/15/2018 | 00671187305 FISCHER JT TEN, WILLIAM | IVK AMERICAN FRANCHISE FUND - CLASS B | | 100.00 | 4.00 | 0.00 | 4.00 | 90.0 | 3.60 |
| 04/02/2018 | 02000062628 TERRY, STACEY/GRIFFEN | AGE BASED PORTFOLIO 30 A B | | 25.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 05/01/2018 | 02000062628 TERRY, STACEY/GRIFFEN | AGE BASED PORTFOLIO 30 A B | | 25.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 05/01/2018 | 03310426468 JACKSON, ANNIE | ALLIANCE GROWTH FD CL A B | | 100.00 | 4.00 | 0.00 | 4.00 | 90.0 | 3.60 |
| 05/07/2018 | 05043109999 YETTER, SUSAN | AIM TECHNOLOGY FUND - CLASS A B | | 55.55 | 1.67 | 0.00 | 1.67 | 90.0 | 1.50 |
| 05/07/2018 | 05043109999 YETTER, SUSAN | IVK AMERICAN FRANCHISE FUND - CLASS B | | 55.55 | 1.67 | 0.00 | 1.67 | 90.0 | 1.50 |
| 05/16/2018 | 08184753175 CARROLL, MELINDA | MFS EMERGING GROWTH FD CL A B | | 50.00 | 2.50 | 0.00 | 2.50 | 90.0 | 2.25 |
| 05/16/2018 | 08184753824 BROWN, DAVID P. | MFS TOTAL RETURN FD CL A B | | 50.00 | 2.50 | 0.00 | 2.50 | 90.0 | 2.25 |
| 05/10/2018 | 08697809525 TEDDER, THOMAS V | COLUMBIA SELIGMAN COMM & INFO FD A B | | 50.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| 05/07/2018 | 3301699247 LESSOR, RANDY | OPPENHEIMER GLOBAL FD CL A B | | 50.00 | 2.38 | 0.00 | 2.38 | 90.0 | 2.14 |
| 05/07/2018 | 7004732002 ORENDER, KARI A | OPPENHEIMER MAIN STREET INCOME & GR B | | 25.00 | 1.19 | 0.00 | 1.19 | 90.0 | 1.07 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$5,141.09 | \$502.11 | \$0.00 | \$502.11 | | \$451.93 |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 05/30/2018 | SECURITIES, HILLTOP | -HILLTOP SECURITIES B | | 3,447.32 | 3,447.32 | 0.00 | 3,447.32 | 90.0 | 3,102.59 |
| * STOCKS SUBTOTAL * | | | | \$3,447.32 | \$3,447.32 | \$0.00 | \$3,447.32 | | \$3,102.59 |

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|---|------------------------------|-----------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | | |
| 04/30/2018 | TRAILS | -JACKSON NATIONAL | B | 143.98 | 143.98 | 0.00 | 143.98 | 90.0 | 129.58 |
| 05/04/2018 | TRAILS | -JOHN HANCOCK | B | 33.33 | 33.33 | 0.00 | 33.33 | 90.0 | 30.00 |
| 04/28/2018 | TRAILS | TRANSAMERICA LIFE INSURANCE | B | 47.96 | 47.96 | 0.00 | 47.96 | 90.0 | 43.16 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | | \$225.27 | \$225.27 | \$0.00 | \$225.27 | | \$202.74 |
| <u>PRODUCT CATEGORY: LIFE INSURANCE</u> | | | | | | | | | |
| 05/10/2018 | SHEPPARD, JOE L | NATIONWIDE LIFE | B | 125.00 | 1.25 | 0.00 | 1.25 | 90.0 | 1.13 |
| * LIFE INSURANCE SUBTOTAL * | | | | \$125.00 | \$1.25 | \$0.00 | \$1.25 | | \$1.13 |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | | |
| 04/13/2018 | 35117029 PIERSON, PEPPER ANN | SIGNAL SECURITIES RIA | B | 0.00 | 76.67 | 0.00 | 76.67 | 90.0 | 69.00 |
| 04/13/2018 | 50875792 MOORE, PHILIP WAYNE | SIGNAL SECURITIES RIA | B | 0.00 | 213.67 | 0.00 | 213.67 | 90.0 | 192.30 |
| 04/13/2018 | 58959215 PIERSON, PEPPER ANN | SIGNAL SECURITIES RIA | B | 0.00 | 52.00 | 0.00 | 52.00 | 90.0 | 46.80 |
| 04/13/2018 | 61321609 WALSH, THOMAS B. | SIGNAL SECURITIES RIA | B | 0.00 | 317.33 | 0.00 | 317.33 | 90.0 | 285.60 |
| 04/13/2018 | 69982324 PELIZZONI FAM REV | SIGNAL SECURITIES RIA | B | 0.00 | 522.00 | 0.00 | 522.00 | 90.0 | 469.80 |
| | TRST, EUGENE & BECKY | | | | | | | | |
| 04/13/2018 | 71160962 MOORE, PHILIP WAYNE | SIGNAL SECURITIES RIA | B | 0.00 | 185.00 | 0.00 | 185.00 | 90.0 | 166.50 |
| 04/13/2018 | 90838267 KENNEDY TRUST, MARY | SIGNAL SECURITIES RIA | B | 0.00 | 490.00 | 0.00 | 490.00 | 90.0 | 441.00 |
| | RUTH | | | | | | | | |
| * RIA SUBTOTAL * | | | | \$0.00 | \$1,856.67 | \$0.00 | \$1,856.67 | | \$1,671.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 06/01/2018 | TRAIL COMMISSIONS | JOHN HANCOCK FEES | | 0.00 | 80.69 | 0.00 | 80.69 | 90.0 | 72.64 |
| 06/01/2018 | TRAIL COMMISSIONS | AIG FUNDS FEES | | 0.00 | 3.94 | 0.00 | 3.94 | 90.0 | 3.55 |
| 06/01/2018 | TRAIL COMMISSIONS | WELLS FARGO FUNDS FEES | | 0.00 | 22.61 | 0.00 | 22.61 | 90.0 | 20.36 |
| 06/01/2018 | TRAIL COMMISSIONS | WM GROUP OF FUNDS FEES | | 0.00 | 12.25 | 0.00 | 12.25 | 90.0 | 11.03 |
| 06/01/2018 | TRAIL COMMISSIONS | COLUMBIA THREADNEEDLE FEES | | 0.00 | 57.49 | 0.00 | 57.49 | 90.0 | 51.73 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$176.98 | \$0.00 | \$176.98 | | \$159.31 |
| *** BROKER TRANSACTIONS TOTAL *** | | | | \$8,938.68 | \$6,209.60 | \$0.00 | \$6,209.60 | | \$5,588.70 |

COMMISSION STATEMENT for JERRY SINGLETON

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|--|---|-----------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | | |
| <u>BROKER: SINGLETON/SNOW #9575</u> | | | | | | | | | |
| 04/13/2018 | 57689062 SINGLETON, STEVEN B | SIGNAL SECURITIES RIA | B | 0.00 | 114.16 | 0.00 | 114.16 | 90.0 | 102.74 |
| 04/13/2018 | 97231190 CHRISTOFORETTI, II, ERIN/JOHN | SIGNAL SECURITIES RIA | B | 0.00 | 165.66 | 0.00 | 165.66 | 90.0 | 149.09 |
| * SINGLETON/SNOW SUBTOTAL * | | | | <u>\$0.00</u> | <u>\$279.82</u> | <u>\$0.00</u> | <u>\$279.82</u> | | <u>\$251.83</u> |
| <u>BROKER: SINGLETON/MOYNIHAN #9595</u> | | | | | | | | | |
| 04/13/2018 | 15381199 WEST, MARK | SIGNAL SECURITIES RIA | B | 0.00 | 80.00 | 0.00 | 80.00 | 90.0 | 72.00 |
| 04/13/2018 | 17599493 WEST, BRYAN | SIGNAL SECURITIES RIA | B | 0.00 | 62.67 | 0.00 | 62.67 | 90.0 | 56.40 |
| 04/13/2018 | 31646344 DAVIS, RUFUS L | SIGNAL SECURITIES RIA | B | 0.00 | 104.17 | 0.00 | 104.17 | 90.0 | 93.75 |
| 04/13/2018 | 41564753 WEST, BRYAN | SIGNAL SECURITIES RIA | B | 0.00 | 12.50 | 0.00 | 12.50 | 90.0 | 11.25 |
| 04/13/2018 | 41989330 YOUNGBERG, THOMAS N | SIGNAL SECURITIES RIA | B | 0.00 | 53.67 | 0.00 | 53.67 | 90.0 | 48.30 |
| 04/13/2018 | 42529214 MASON, SARA WEST | SIGNAL SECURITIES RIA | B | 0.00 | 79.50 | 0.00 | 79.50 | 90.0 | 71.55 |
| 04/13/2018 | 45771580 WARREN, THOMAS & SUSAN | SIGNAL SECURITIES RIA | B | 0.00 | 125.00 | 0.00 | 125.00 | 90.0 | 112.50 |
| 04/13/2018 | 51406766 WEST, BRYAN | SIGNAL SECURITIES RIA | B | 0.00 | 93.50 | 0.00 | 93.50 | 90.0 | 84.15 |
| 04/13/2018 | 62437500 LAVENDER, JANICE ARLENE | SIGNAL SECURITIES RIA | B | 0.00 | 241.84 | 0.00 | 241.84 | 90.0 | 217.66 |
| 04/13/2018 | 63181693 WEST, W. ZACHARY | SIGNAL SECURITIES RIA | B | 0.00 | 88.34 | 0.00 | 88.34 | 90.0 | 79.51 |
| 04/13/2018 | 67846422 MASON, SARA WEST | SIGNAL SECURITIES RIA | B | 0.00 | 0.84 | 0.00 | 0.84 | 90.0 | 0.76 |
| 04/13/2018 | 68333401 MASON, SARA WEST | SIGNAL SECURITIES RIA | B | 0.00 | 63.67 | 0.00 | 63.67 | 90.0 | 57.30 |
| 04/13/2018 | 69683604 WEST, W. ZACHARY | SIGNAL SECURITIES RIA | B | 0.00 | 63.50 | 0.00 | 63.50 | 90.0 | 57.15 |
| 04/13/2018 | 82910782 LAVENDER, JANICE ARLENE | SIGNAL SECURITIES RIA | B | 0.00 | 57.50 | 0.00 | 57.50 | 90.0 | 51.75 |
| 04/13/2018 | 86316364 WEST, MARK | SIGNAL SECURITIES RIA | B | 0.00 | 61.67 | 0.00 | 61.67 | 90.0 | 55.50 |
| * SINGLETON/MOYNIHAN SUBTOTAL * | | | | <u>\$0.00</u> | <u>\$1,188.37</u> | <u>\$0.00</u> | <u>\$1,188.37</u> | | <u>\$1,069.53</u> |
| *** SPLIT TRANSACTIONS TOTAL *** | | | | <u>\$0.00</u> | <u>\$1,468.19</u> | <u>\$0.00</u> | <u>\$1,468.19</u> | | <u>\$1,321.36</u> |
| <u>ADJUSTMENTS</u> | | | | | | | | | |
| 06/01/2018 | | HILLTOP: CLEARING CHARGES | | 0.00 | 0.00 | 0.00 | 0.00 | | -358.50 |
| 06/01/2018 | | HILLTOP: CUSTOMER WRITE OFF | | 0.00 | 0.00 | 0.00 | 0.00 | | -4.00 |
| 06/01/2018 | | HILLTOP: MISC TICKET FEES | | 0.00 | 0.00 | 0.00 | 0.00 | | 30.00 |
| *** ADJUSTMENTS TOTAL *** | | | | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | | <u>\$-332.50</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$5,588.70 |
| Split Transactions | \$1,321.36 |
| Override Transactions | \$0.00 |
| Adjustments | \$-332.50 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$6,577.56 |
| Year-to-date Earnings | \$79,429.58 |