

**COMMISSION STATEMENT****February 28, 2018**JOHN, R119 ROSS
7261 W. Central Ave.BROKER #: R119
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
02/12/2018	OBERT, RONALD	WELLTOWER INC COM STK	5,448.67	45.00	22.50	22.50	92.0	20.70
* STOCKS SUBTOTAL *			\$5,448.67	\$45.00	\$22.50	\$22.50		\$20.70
*** CLEARING TRANSACTIONS TOTAL ***			\$5,448.67	\$45.00	\$22.50	\$22.50		\$20.70

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
02/12/2018	WAGONER JR, JOHN	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	5.00	0.00	5.00	92.0	4.60
* 529 PLANS SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$4.60

<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/05/2018	RUMSCHLAG, DOUGLAS	AM FUNDS EUROPACIFIC GROWTH FUND A	116.46	3.20	0.00	3.20	92.0	2.94
02/12/2018	HENDERSON, BARBARA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	4.25	0.00	4.25	92.0	3.91
02/05/2018	RUMSCHLAG, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	116.46	3.20	0.00	3.20	92.0	2.94
02/05/2018	SEYMOUR, DONALD	AM FUNDS INCOME FUND OF AMERICA C	100.00	1.00	0.00	1.00	92.0	0.92
02/08/2018	SEYMOUR, DONALD	AM FUNDS INCOME FUND OF AMERICA C	200.00	2.00	0.00	2.00	92.0	1.84
02/05/2018	SEYMOUR, DONALD	AM FUNDS NEW ECONOMY C	200.00	2.00	0.00	2.00	92.0	1.84
02/08/2018	SEYMOUR, DONALD	AM FUNDS NEW ECONOMY C	100.00	1.00	0.00	1.00	92.0	0.92
02/16/2018	GURNEY, ERICA	AM FUNDS NEW WORLD A	25.00	1.25	0.00	1.25	92.0	1.15
02/05/2018	SEYMOUR, DONALD	AM FUNDS NEW WORLD C	100.00	1.00	0.00	1.00	92.0	0.92
02/12/2018	HENDERSON, BARBARA	AM FUNDS SMALLCAP WORLD A	50.00	2.13	0.00	2.13	92.0	1.96
02/07/2018	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52
02/07/2018	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52
02/13/2018	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52
02/13/2018	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52
02/14/2018	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52
02/14/2018	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/05/2018	SEYMOUR, DONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	111.52	1.12	0.00	1.12	92.0	1.03
* MUTUAL FUNDS SUBTOTAL *			\$2,419.44	\$58.15	\$0.00	\$58.15		\$53.49
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/09/2018	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
02/09/2018	SHOEMAKER, DENNIS	MML ARTISTRY	25.00	1.38	0.00	1.38	92.0	1.27
02/20/2018	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
01/14/2018	CUMMINGS, BARBARA	TRANSAMERICA LIBERTY	150,632.67	125.53	0.00	125.53	92.0	115.49
01/20/2018	DOUGHERTY, RICO	TRANSAMERICA LIBERTY	111,167.28	92.64	0.00	92.64	92.0	85.23
01/21/2018	DEMKO, VERNA	TRANSAMERICA LIBERTY	86,230.01	71.86	0.00	71.86	92.0	66.11
01/22/2018	HOLLOWAY, LINDA	TRANSAMERICA LIBERTY	197,781.21	164.82	0.00	164.82	92.0	151.63
01/27/2018	ROSS, SUSAN	TRANSAMERICA LIBERTY	4,611.90	3.84	0.00	3.84	92.0	3.53
01/29/2018	DULINSKY, SHERRY	TRANSAMERICA LIBERTY	28,615.59	23.85	0.00	23.85	92.0	21.94
* VARIABLE ANNUITIES SUBTOTAL *			\$579,253.66	\$494.37	\$0.00	\$494.37		\$454.81
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/16/2016	BEAUPREZ, GREGORY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.55	0.00	1.55	92.0	1.43
03/15/2016	BEAUPREZ, GREGORY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.46	0.00	1.46	92.0	1.34
04/20/2016	BEAUPREZ, GREGORY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.57	0.00	1.57	92.0	1.44
05/16/2016	BEAUPREZ, GREGORY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.53	0.00	1.53	92.0	1.41
06/15/2016	BEAUPREZ, GREGORY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.59	0.00	1.59	92.0	1.46
07/18/2016	BEAUPREZ, GREGORY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.55	0.00	1.55	92.0	1.43
08/15/2016	BEAUPREZ, GREGORY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.62	0.00	1.62	92.0	1.49
09/16/2016	BEAUPREZ, GREGORY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.62	0.00	1.62	92.0	1.49
10/17/2016	BEAUPREZ, GREGORY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.57	0.00	1.57	92.0	1.44
11/18/2016	BEAUPREZ, GREGORY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.62	0.00	1.62	92.0	1.49
12/15/2016	BEAUPREZ, GREGORY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.54	0.00	1.54	92.0	1.42
02/16/2016	BEAUPREZ, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.88	0.00	6.88	92.0	6.33
03/15/2016	BEAUPREZ, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.32	0.00	6.32	92.0	5.81
04/20/2016	BEAUPREZ, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.19	0.00	7.19	92.0	6.61
05/16/2016	BEAUPREZ, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.14	0.00	7.14	92.0	6.57
06/15/2016	BEAUPREZ, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.33	0.00	7.33	92.0	6.74
07/18/2016	BEAUPREZ, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.14	0.00	7.14	92.0	6.57
08/15/2016	BEAUPREZ, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.58	0.00	7.58	92.0	6.97

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/16/2016	BEAUPREZ, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.77	0.00	7.77	92.0	7.15
10/17/2016	BEAUPREZ, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.52	0.00	7.52	92.0	6.92
11/18/2016	BEAUPREZ, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.69	0.00	7.69	92.0	7.07
12/15/2016	BEAUPREZ, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.34	0.00	7.34	92.0	6.75
02/16/2016	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.71	0.00	3.71	92.0	3.41
03/15/2016	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.03	0.00	1.03	92.0	0.95
02/16/2016	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.11	0.00	1.11	92.0	1.02
03/15/2016	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.58	0.00	1.58	92.0	1.45
04/20/2016	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.09	0.00	2.09	92.0	1.92
05/16/2016	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.09	0.00	2.09	92.0	1.92
06/15/2016	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.16	0.00	2.16	92.0	1.99
07/18/2016	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.12	0.00	2.12	92.0	1.95
08/15/2016	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.25	0.00	2.25	92.0	2.07
09/16/2016	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.31	0.00	2.31	92.0	2.13
10/17/2016	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.23	0.00	2.23	92.0	2.05
11/18/2016	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.31	0.00	2.31	92.0	2.13
12/15/2016	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.24	0.00	2.24	92.0	2.06
02/16/2016	BEAUPREZ, GREGORY	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.45	0.00	4.45	92.0	4.09
03/15/2016	BEAUPREZ, GREGORY	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.09	0.00	4.09	92.0	3.76
04/20/2016	BEAUPREZ, GREGORY	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.62	0.00	4.62	92.0	4.25
05/16/2016	BEAUPREZ, GREGORY	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.60	0.00	4.60	92.0	4.23
06/15/2016	BEAUPREZ, GREGORY	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.73	0.00	4.73	92.0	4.35
07/18/2016	BEAUPREZ, GREGORY	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.57	0.00	4.57	92.0	4.20
08/15/2016	BEAUPREZ, GREGORY	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.79	0.00	4.79	92.0	4.41
09/16/2016	BEAUPREZ, GREGORY	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.93	0.00	4.93	92.0	4.54
10/17/2016	BEAUPREZ, GREGORY	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.81	0.00	4.81	92.0	4.43
11/18/2016	BEAUPREZ, GREGORY	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.93	0.00	4.93	92.0	4.54
12/15/2016	BEAUPREZ, GREGORY	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.61	0.00	4.61	92.0	4.24
02/16/2016	BEAUPREZ, GREGORY	AM FUNDS NEW WORLD A	0.00	4.02	0.00	4.02	92.0	3.70
03/15/2016	BEAUPREZ, GREGORY	AM FUNDS NEW WORLD A	0.00	3.67	0.00	3.67	92.0	3.38
04/20/2016	BEAUPREZ, GREGORY	AM FUNDS NEW WORLD A	0.00	4.20	0.00	4.20	92.0	3.86
05/16/2016	BEAUPREZ, GREGORY	AM FUNDS NEW WORLD A	0.00	4.20	0.00	4.20	92.0	3.86
06/15/2016	BEAUPREZ, GREGORY	AM FUNDS NEW WORLD A	0.00	4.28	0.00	4.28	92.0	3.94

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/18/2016	BEAUPREZ, GREGORY	AM FUNDS NEW WORLD A	0.00	4.20	0.00	4.20	92.0	3.86
08/15/2016	BEAUPREZ, GREGORY	AM FUNDS NEW WORLD A	0.00	4.49	0.00	4.49	92.0	4.13
09/16/2016	BEAUPREZ, GREGORY	AM FUNDS NEW WORLD A	0.00	4.64	0.00	4.64	92.0	4.27
10/17/2016	BEAUPREZ, GREGORY	AM FUNDS NEW WORLD A	0.00	4.50	0.00	4.50	92.0	4.14
11/18/2016	BEAUPREZ, GREGORY	AM FUNDS NEW WORLD A	0.00	4.65	0.00	4.65	92.0	4.28
12/15/2016	BEAUPREZ, GREGORY	AM FUNDS NEW WORLD A	0.00	4.30	0.00	4.30	92.0	3.96
02/16/2016	BEAUPREZ, GREGORY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.05	0.00	2.05	92.0	1.89
03/15/2016	BEAUPREZ, GREGORY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.92	0.00	1.92	92.0	1.77
04/20/2016	BEAUPREZ, GREGORY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.05	0.00	2.05	92.0	1.89
05/16/2016	BEAUPREZ, GREGORY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.99	0.00	1.99	92.0	1.83
06/15/2016	BEAUPREZ, GREGORY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.06	0.00	2.06	92.0	1.90
07/18/2016	BEAUPREZ, GREGORY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.00	0.00	2.00	92.0	1.84
08/15/2016	BEAUPREZ, GREGORY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.07	0.00	2.07	92.0	1.90
09/16/2016	BEAUPREZ, GREGORY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.07	0.00	2.07	92.0	1.90
10/17/2016	BEAUPREZ, GREGORY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.00	0.00	2.00	92.0	1.84
11/18/2016	BEAUPREZ, GREGORY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.07	0.00	2.07	92.0	1.90
12/15/2016	BEAUPREZ, GREGORY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.00	0.00	2.00	92.0	1.84
02/16/2016	BEAUPREZ, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	2.73	0.00	2.73	92.0	2.51
03/15/2016	BEAUPREZ, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	2.44	0.00	2.44	92.0	2.24
04/20/2016	BEAUPREZ, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	2.80	0.00	2.80	92.0	2.58
05/16/2016	BEAUPREZ, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	2.82	0.00	2.82	92.0	2.59
06/15/2016	BEAUPREZ, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	2.90	0.00	2.90	92.0	2.67
07/18/2016	BEAUPREZ, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	2.87	0.00	2.87	92.0	2.64
08/15/2016	BEAUPREZ, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	3.03	0.00	3.03	92.0	2.79
09/16/2016	BEAUPREZ, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	3.14	0.00	3.14	92.0	2.89
10/17/2016	BEAUPREZ, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	3.06	0.00	3.06	92.0	2.82
11/18/2016	BEAUPREZ, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	3.15	0.00	3.15	92.0	2.90
12/15/2016	BEAUPREZ, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	3.00	0.00	3.00	92.0	2.76
10/17/2016	MOORE, LINDA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.98	0.00	4.98	92.0	4.58
02/14/2018	BLAKE, MIRIAM	LORD ABBETT AFFILIATED A	0.00	5.35	0.00	5.35	92.0	4.92
02/14/2018	ROSSCO INVESTMENT CL	LORD ABBETT BOND DEBENTURE A	0.00	0.27	0.00	0.27	92.0	0.25
02/14/2018	BLAKE, MIRIAM	LORD ABBETT DEVELOPING GROWTH A	0.00	1.48	0.00	1.48	92.0	1.36
02/14/2018	BLAKE, MIRIAM	LORD ABBETT EMERGING MARKETS A	0.00	0.05	0.00	0.05	92.0	0.05

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/14/2018	BLAKE, MIRIAM	LORD ABBETT INCOME A	0.00	3.71	0.00	3.71	92.0	3.41
02/14/2018	ROSSCO INVESTMENT CL	LORD ABBETT MID CAP STOCK A	0.00	1.42	0.00	1.42	92.0	1.31
02/24/2016	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	3.89	0.00	3.89	92.0	3.58
03/23/2016	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	3.58	0.00	3.58	92.0	3.29
04/19/2016	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.04	0.00	4.04	92.0	3.72
05/18/2016	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.00	0.00	4.00	92.0	3.68
06/20/2016	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.13	0.00	4.13	92.0	3.80
07/19/2016	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.03	0.00	4.03	92.0	3.71
08/17/2016	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.26	0.00	4.26	92.0	3.92
09/19/2016	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.33	0.00	4.33	92.0	3.98
10/18/2016	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.19	0.00	4.19	92.0	3.85
11/18/2016	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.29	0.00	4.29	92.0	3.95
12/19/2016	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.13	0.00	4.13	92.0	3.80
02/22/2016	DRAKE, ERIC	PUTNAM MULTI-CAP VALUE A	0.00	4.51	0.00	4.51	92.0	4.15
03/22/2016	DRAKE, ERIC	PUTNAM MULTI-CAP VALUE A	0.00	4.23	0.00	4.23	92.0	3.89
04/21/2016	DRAKE, ERIC	PUTNAM MULTI-CAP VALUE A	0.00	4.86	0.00	4.86	92.0	4.47
05/26/2016	DRAKE, ERIC	PUTNAM MULTI-CAP VALUE A	0.00	4.82	0.00	4.82	92.0	4.43
06/23/2016	DRAKE, ERIC	PUTNAM MULTI-CAP VALUE A	0.00	4.98	0.00	4.98	92.0	4.58
07/26/2016	DRAKE, ERIC	PUTNAM MULTI-CAP VALUE A	0.00	4.91	0.00	4.91	92.0	4.52
08/19/2016	DRAKE, ERIC	PUTNAM MULTI-CAP VALUE A	0.00	5.12	0.00	5.12	92.0	4.71
09/20/2016	DRAKE, ERIC	PUTNAM MULTI-CAP VALUE A	0.00	5.19	0.00	5.19	92.0	4.77
10/25/2016	DRAKE, ERIC	PUTNAM MULTI-CAP VALUE A	0.00	5.01	0.00	5.01	92.0	4.61
11/23/2016	DRAKE, ERIC	PUTNAM MULTI-CAP VALUE A	0.00	5.15	0.00	5.15	92.0	4.74
12/23/2016	DRAKE, ERIC	PUTNAM MULTI-CAP VALUE A	0.00	5.08	0.00	5.08	92.0	4.67
02/16/2016	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.11	0.00	0.11	92.0	0.10
03/16/2016	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.10	0.00	0.10	92.0	0.09
04/15/2016	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.12	0.00	0.12	92.0	0.11
05/13/2016	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.12	0.00	0.12	92.0	0.11
06/14/2016	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.12	0.00	0.12	92.0	0.11
07/14/2016	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.11	0.00	0.11	92.0	0.10
08/15/2016	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.12	0.00	0.12	92.0	0.11
09/14/2016	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.12	0.00	0.12	92.0	0.11
10/18/2016	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.12	0.00	0.12	92.0	0.11

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/15/2016	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.12	0.00	0.12	92.0	0.11
12/16/2016	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.12	0.00	0.12	92.0	0.11
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$394.12	\$0.00	\$394.12		\$362.56
*** DIRECT TRANSACTIONS TOTAL ***			\$581,773.10	\$951.64	\$0.00	\$951.64		\$875.46

VERRIDE TRANSACTIONS**BROKER: THOMAS, H121 HALSEY #10**

02/15/2018	LEROUX, LAURA	BLACKROCK 67776G519	300.00	11.25	0.00	11.25	85.0	0.79
* THOMAS, H121 HALSEY SUBTOTAL *			\$300.00	\$11.25	\$0.00	\$11.25		\$0.79

BROKER: THOMAS, H121 HALSEY #82

02/07/2018	DENHAM, CONSTANCE	AM FUNDS AMERICAN BALANCED A	500.00	18.75	0.00	18.75	85.0	1.31
02/07/2018	ABBE, AMY	AM FUNDS AMERICAN BALANCED A	2,000.00	85.00	0.00	85.00	85.0	5.95
02/07/2018	LATTA, MARY	AM FUNDS CAPITAL INCOME BUILDER A	1,500.00	75.00	0.00	75.00	85.0	5.25
02/07/2018	ABBE, AMY	AM FUNDS CAPITAL INCOME BUILDER A	2,500.00	106.25	0.00	106.25	85.0	7.44
02/07/2018	ABBE, AMY	AM FUNDS CAPITAL WORLD GRTH & INC A	1,500.00	63.75	0.00	63.75	85.0	4.46
02/07/2018	DENHAM, CONSTANCE	AM FUNDS FUNDAMENTAL INVESTORS A	520.00	19.50	0.00	19.50	85.0	1.37
02/07/2018	LATTA, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	1,500.00	75.00	0.00	75.00	85.0	5.25
02/07/2018	ABBE, AMY	AM FUNDS FUNDAMENTAL INVESTORS A	2,480.68	105.43	0.00	105.43	85.0	7.38
02/07/2018	DENHAM, CONSTANCE	AM FUNDS GLOBAL BALANCED A	1,180.00	44.25	0.00	44.25	85.0	3.10
02/07/2018	DENHAM, CONSTANCE	AM FUNDS INCOME FUND OF AMERICA A	1,000.00	37.50	0.00	37.50	85.0	2.63
02/07/2018	LATTA, MARY	AM FUNDS INTL GROWTH AND INCOME A	1,500.00	75.00	0.00	75.00	85.0	5.25
02/07/2018	LATTA, MARY	AM FUNDS SMALLCAP WORLD A	1,800.00	90.00	0.00	90.00	85.0	6.30
02/07/2018	ABBE, AMY	AM FUNDS SMALLCAP WORLD A	2,000.00	85.00	0.00	85.00	85.0	5.95
02/07/2018	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	990.97	37.16	0.00	37.16	85.0	2.60
02/07/2018	LATTA, MARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	2,454.12	122.71	0.00	122.71	85.0	8.59
02/07/2018	ABBE, AMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	2,213.80	94.09	0.00	94.09	85.0	6.59
02/15/2018	LEININGER, LISA	BLACKROCK 67776G535	25.00	1.25	0.00	1.25	85.0	0.09
02/15/2018	LEININGER, LISA	BLACKROCK 67776G535	25.00	1.25	0.00	1.25	85.0	0.09
02/20/2018	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
02/21/2018	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	0.39
01/02/2018	PRZYBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	137,774.79	114.81	0.00	114.81	85.0	8.04
01/05/2018	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	114,229.77	95.19	0.00	95.19	85.0	6.66

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: THOMAS, H121 HALSEY #82 </u>								
01/05/2018	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	85,692.52	71.41	0.00	71.41	85.0	5.00
01/06/2018	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	244,886.16	204.07	0.00	204.07	85.0	14.28
01/07/2018	EYLER, BARBARA	TRANSAMERICA LIBERTY	105,620.74	88.02	0.00	88.02	85.0	6.16
01/07/2018	BUEHRER, SARAH	TRANSAMERICA LIBERTY	148,164.52	123.47	0.00	123.47	85.0	8.64
01/07/2018	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	152,414.42	127.01	0.00	127.01	85.0	8.89
01/07/2018	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	199,184.89	165.99	0.00	165.99	85.0	11.62
01/08/2018	FERGUSON, MARK	TRANSAMERICA LIBERTY	255,210.62	212.68	0.00	212.68	85.0	14.89
01/08/2018	DE SELMS, KAREN	TRANSAMERICA LIBERTY	138,614.96	115.51	0.00	115.51	85.0	8.09
01/09/2018	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	183,858.20	153.22	0.00	153.22	85.0	10.73
01/10/2018	THANASIU, THOMAS	TRANSAMERICA LIBERTY	114,221.10	95.18	0.00	95.18	85.0	6.66
01/10/2018	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	99,182.56	82.65	0.00	82.65	85.0	5.79
01/10/2018	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	92,000.85	76.67	0.00	76.67	85.0	5.37
01/12/2018	DIENER, JUDITH	TRANSAMERICA LIBERTY	145,675.25	121.40	0.00	121.40	85.0	8.50
01/12/2018	RUCH, RONALD	TRANSAMERICA LIBERTY	68,204.84	56.84	0.00	56.84	85.0	3.98
01/13/2018	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	30,756.68	25.63	0.00	25.63	85.0	1.79
01/16/2018	BAKER, ELAINE	TRANSAMERICA LIBERTY	234,821.24	195.68	0.00	195.68	85.0	13.70
01/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	72,560.50	60.47	0.00	60.47	85.0	4.23
01/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	72,560.50	60.47	0.00	60.47	85.0	4.23
01/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	72,560.50	60.47	0.00	60.47	85.0	4.23
01/20/2018	RICHARDS, STEPHEN	TRANSAMERICA LIBERTY	109,226.69	91.02	0.00	91.02	85.0	6.37
01/21/2018	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	214,281.44	178.57	0.00	178.57	85.0	12.50
01/22/2018	AUGUSTYNIAC JR, ROGER	TRANSAMERICA LIBERTY	447,943.08	373.29	0.00	373.29	85.0	26.13
01/22/2018	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	97,464.73	81.22	0.00	81.22	85.0	5.69
01/25/2018	PERRY, ALAN	TRANSAMERICA LIBERTY	423,149.25	352.62	0.00	352.62	85.0	24.68
01/25/2018	TAYLOR, LILY	TRANSAMERICA LIBERTY	57,126.37	47.61	0.00	47.61	85.0	3.33
01/26/2018	ANNESSER, CAROL	TRANSAMERICA LIBERTY	114,360.95	95.30	0.00	95.30	85.0	6.67
01/27/2018	DESELMS, KAREN	TRANSAMERICA LIBERTY	129,972.48	108.31	0.00	108.31	85.0	7.58
01/27/2018	WRAY, JOCELYN	TRANSAMERICA LIBERTY	30,074.31	25.06	0.00	25.06	85.0	1.75
01/27/2018	WRAY, KIM	TRANSAMERICA LIBERTY	70,118.85	58.43	0.00	58.43	85.0	4.09
01/27/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	37,797.59	31.50	0.00	31.50	85.0	2.21
01/30/2018	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	50,322.98	41.94	0.00	41.94	85.0	2.94
* THOMAS, H121 HALSEY SUBTOTAL *			\$4,575,873.90	\$4,936.85	\$0.00	\$4,936.85		\$345.60

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ROBERT, T118 THOMPSON #83 </u>								
06/15/2016	DEMPSEY, LOIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.25	0.00	0.25	90.0	0.01
08/15/2016	DEMPSEY, LOIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.26	0.00	0.26	90.0	0.01
09/16/2016	DEMPSEY, LOIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.27	0.00	0.27	90.0	0.01
10/17/2016	DEMPSEY, LOIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.26	0.00	0.26	90.0	0.01
11/18/2016	DEMPSEY, LOIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.27	0.00	0.27	90.0	0.01
02/05/2018	SMITH, FRANK	NW DESTINATION B2 05	103,765.75	7,523.02	0.00	7,523.02	90.0	150.46
01/29/2018	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	59,977.06	62.48	0.00	62.48	90.0	1.25
01/02/2018	RINGGER, MARK	TRANSAMERICA LIBERTY	140,109.87	116.76	0.00	116.76	90.0	2.34
01/04/2018	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	4,776.74	3.98	0.00	3.98	90.0	0.08
01/05/2018	MOORE, EILEEN	TRANSAMERICA LIBERTY	266,112.15	221.76	0.00	221.76	90.0	4.44
01/05/2018	MERZ, JOYCE	TRANSAMERICA LIBERTY	24,790.15	20.66	0.00	20.66	90.0	0.41
01/07/2018	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	6,016.04	5.01	0.00	5.01	90.0	0.10
01/07/2018	FRAZIER, JULIE	TRANSAMERICA LIBERTY	57,676.95	48.06	0.00	48.06	90.0	0.96
01/08/2018	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	93,470.07	77.89	0.00	77.89	90.0	1.56
01/09/2018	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	48,635.61	40.53	0.00	40.53	90.0	0.81
01/12/2018	LABERDEE, LARRY	TRANSAMERICA LIBERTY	34,372.39	28.64	0.00	28.64	90.0	0.57
01/13/2018	WODA, HELENE	TRANSAMERICA LIBERTY	39,723.78	33.10	0.00	33.10	90.0	0.66
01/13/2018	FURNEY, DANNY	TRANSAMERICA LIBERTY	258,964.14	215.80	0.00	215.80	90.0	4.32
01/13/2018	MERZ, EDWIN	TRANSAMERICA LIBERTY	159,596.52	133.00	0.00	133.00	90.0	2.66
01/13/2018	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	81,668.38	68.06	0.00	68.06	90.0	1.36
01/15/2018	RICE, CHERYL	TRANSAMERICA LIBERTY	2,689.26	2.24	0.00	2.24	90.0	0.04
01/15/2018	JACOB, MARILYN	TRANSAMERICA LIBERTY	249,416.16	207.85	0.00	207.85	90.0	4.16
01/16/2018	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	115,658.10	96.38	0.00	96.38	90.0	1.93
01/16/2018	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	2,734.93	2.28	0.00	2.28	90.0	0.05
01/16/2018	RICE, SUSAN	TRANSAMERICA LIBERTY	123,962.21	103.30	0.00	103.30	90.0	2.07
01/17/2018	OSTER, JENNIFER	TRANSAMERICA LIBERTY	27,326.38	22.77	0.00	22.77	90.0	0.46
01/21/2018	MERZ, JOYCE	TRANSAMERICA LIBERTY	102,343.13	85.29	0.00	85.29	90.0	1.71
01/22/2018	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	82,377.03	68.65	0.00	68.65	90.0	1.37
01/22/2018	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	41,527.40	34.61	0.00	34.61	90.0	0.69
01/22/2018	JEFFERS, DAVID	TRANSAMERICA LIBERTY	115,015.41	95.85	0.00	95.85	90.0	1.92
01/23/2018	SEITZINGER, MARK	TRANSAMERICA LIBERTY	73,922.04	61.60	0.00	61.60	90.0	1.23
01/23/2018	MERZ, JOYCE	TRANSAMERICA LIBERTY	101,302.63	84.42	0.00	84.42	90.0	1.69
01/24/2018	HALL, WAYNE	TRANSAMERICA LIBERTY	171,727.46	143.11	0.00	143.11	90.0	2.86

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<u>VERRIDE TRANSACTIONS</u>								
BROKER: ROBERT, T118 THOMPSON #83								
01/24/2018	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	216,602.35	180.50	0.00	180.50	90.0	3.61
01/26/2018	WENZ, JAMES	TRANSAMERICA LIBERTY	26,764.58	22.30	0.00	22.30	90.0	0.45
01/26/2018	FISH, SANDRA	TRANSAMERICA LIBERTY	78,281.66	65.23	0.00	65.23	90.0	1.30
01/26/2018	RICE, PAMELA	TRANSAMERICA LIBERTY	64,031.35	53.36	0.00	53.36	90.0	1.07
01/26/2018	ZAWODNI, CAROL	TRANSAMERICA LIBERTY	51,368.18	42.81	0.00	42.81	90.0	0.86
01/26/2018	ZAWODNI, CAROL	TRANSAMERICA LIBERTY	51,366.64	42.81	0.00	42.81	90.0	0.86
01/27/2018	DAVIS, HOWARD	TRANSAMERICA LIBERTY	31,151.45	25.96	0.00	25.96	90.0	0.52
01/28/2018	COOPER, MARK	TRANSAMERICA LIBERTY	118,835.45	99.03	0.00	99.03	90.0	1.98
01/29/2018	SHAFFER, EMILY	TRANSAMERICA LIBERTY	170,791.58	142.33	0.00	142.33	90.0	2.85
* ROBERT, T118 THOMPSON SUBTOTAL *			\$3,398,850.98	\$10,282.74	\$0.00	\$10,282.74		\$205.71
*** OVERRIDE TRANSACTIONS TOTAL ***			\$7,975,024.88	\$15,230.84	\$0.00	\$15,230.84		\$552.10

BROKER COMMISSION TOTALS

Broker Transactions	\$896.16
Split Transactions	\$0.00
Override Transactions	\$552.10
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,448.26
Year-to-date Gross	\$26,652.46
Year-to-date Earnings	\$25,981.00

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