



COMMISSION STATEMENT

February 26, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/07/2018	***-**-3221 - PITTM, BARB	ALLIANCEBERNSTEIN GROWTH AND INC A	100.00	4.00	0.00	4.00	90.0	3.60
	ACCOUNT #: 00940010050	BUY 16 Units @6.1000						
02/16/2018	***-**-525P - WILSON, FRANCIS	CAPITAL INCOME BUILDER-A	196.58	3.93	0.00	3.93	90.0	3.54
	ACCOUNT #: 00082184129	BUY 3 Units @64.1600						
02/16/2018	***-**-255P - WILSON, HELEN	CAPITAL INCOME BUILDER-A	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @64.1600						
02/16/2018	***-**-211I - MEGILL, ROBERT	CAPITAL INCOME BUILDER-A	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 2 Units @64.8300						
02/16/2018	***-**-828I - SHARMA, BOBBY	CAPITAL INCOME BUILDER-A	77.13	2.89	0.00	2.89	90.0	2.60
	ACCOUNT #: 00084334446	BUY 1 Units @65.5100						
02/16/2018	***-**-706I - HOFSTETTER,	CAPITAL INCOME BUILDER-A	30.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00084334451	BUY 0 Units @65.8500						
02/16/2018	***-**-525P - WILSON, FRANCIS	CAPITAL WORLD GROWTH AND INCOME FUN	196.58	3.93	0.00	3.93	90.0	3.54
	ACCOUNT #: 00082184129	BUY 4 Units @54.1500						
02/16/2018	***-**-255P - WILSON, HELEN	CAPITAL WORLD GROWTH AND INCOME FUN	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @54.1500						
02/16/2018	***-**-211I - MEGILL, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 2 Units @54.7200						
02/16/2018	***-**-828I - SHARMA, BOBBY	CAPITAL WORLD GROWTH AND INCOME FUN	77.13	2.89	0.00	2.89	90.0	2.60
	ACCOUNT #: 00084334446	BUY 1 Units @55.2900						
02/16/2018	***-**-706I - HOFSTETTER,	CAPITAL WORLD GROWTH AND INCOME FUN	30.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00084334451	BUY 1 Units @55.5800						
02/16/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	4.25	0.00	4.25	90.0	3.83
	ACCOUNT #: 00086578408	BUY 2 Units @55.5800						
02/16/2018	***-**-224I - WILSON, FRANCIS	FUNDAMENTAL INVESTORS-A	442.60	16.60	0.00	16.60	90.0	14.94
	ACCOUNT #: 00085899638	BUY 7 Units @66.9000						
02/26/2018	***-**-82RI - MORGAN, BOBETTE	LIVEWELL MUTUAL FUND	0.00	16.25	0.00	16.25	90.0	14.63
	ACCOUNT #: 7200009544	BUY 0 Units @0.0000						
02/26/2018	***-**-7562 - KEYS, RAMONA	LIVEWELL MUTUAL FUND	0.00	0.05	0.00	0.05	90.0	0.05
		BUY 0 Units @0.0000						
02/26/2018	***-**-559I - HUBBARD, JAMES	LIVEWELL MUTUAL FUND	0.00	34.56	0.00	34.56	90.0	31.10
	ACCOUNT #: 7200005537	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
02/26/2018	***-**-8541 - SQUIRE, CAROLYN	LIVEWELL MUTUAL FUND	0.00	3.47	0.00	3.47	90.0	3.12
	ACCOUNT #: 7200007202	BUY 0 Units @0.0000						
02/26/2018	***-**-7488 - SQUIRE, BARRY	LIVEWELL MUTUAL FUND	0.00	6.61	0.00	6.61	90.0	5.95
	ACCOUNT #: 7200007204	BUY 0 Units @0.0000						
02/26/2018	***-**-199I - MITCHEN, RICHARD	LIVEWELL MUTUAL FUND	0.00	11.88	0.00	11.88	90.0	10.69
	ACCOUNT #: 7200011695	BUY 0 Units @0.0000						
02/26/2018	***-**-32RI - MITCHEN, DORIS	LIVEWELL MUTUAL FUND	0.00	12.13	0.00	12.13	90.0	10.92
	ACCOUNT #: 7200011766	BUY 0 Units @0.0000						
02/26/2018	***-**-224I - WILSON, FRANCIS	LIVEWELL MUTUAL FUND	0.00	5.15	0.00	5.15	90.0	4.64
	ACCOUNT #: 7200036196	BUY 0 Units @0.0000						
02/26/2018	***-**-52IN - LORD, GEORGE	LIVEWELL MUTUAL FUND	0.00	12.99	0.00	12.99	90.0	11.69
	ACCOUNT #: 7200036994	BUY 0 Units @0.0000						
02/16/2018	***-**-525P - WILSON, FRANCIS	THE GROWTH FUND OF AMERICA-A	196.58	3.93	0.00	3.93	90.0	3.54
	ACCOUNT #: 00082184129	BUY 4 Units @53.5200						
02/16/2018	***-**-255P - WILSON, HELEN	THE GROWTH FUND OF AMERICA-A	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @53.5200						
02/16/2018	***-**-211I - MEGILL, ROBERT	THE GROWTH FUND OF AMERICA-A	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 2 Units @54.0700						
02/16/2018	***-**-828I - SHARMA, BOBBY	THE GROWTH FUND OF AMERICA-A	77.13	2.89	0.00	2.89	90.0	2.60
	ACCOUNT #: 00084334446	BUY 1 Units @54.6400						
02/16/2018	***-**-706I - HOFSTETTER,	THE GROWTH FUND OF AMERICA-A	30.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00084334451	BUY 1 Units @54.9300						
02/16/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	100.00	4.25	0.00	4.25	90.0	3.83
	ACCOUNT #: 00086578408	BUY 2 Units @54.9300						
02/16/2018	***-**-296O - KELLEY, BRENDA	THE GROWTH FUND OF AMERICA-C	6,049.99	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011834	SELL 125 Units @48.3500						
02/16/2018	***-**-211I - MEGILL, ROBERT	THE INCOME FUND OF AMERICA-A	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 6 Units @24.2200						
02/16/2018	***-**-828I - SHARMA, BOBBY	THE INCOME FUND OF AMERICA-A	77.13	2.89	0.00	2.89	90.0	2.60
	ACCOUNT #: 00084334446	BUY 3 Units @24.4700						
02/16/2018	***-**-706I - HOFSTETTER,	THE INCOME FUND OF AMERICA-A	30.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00084334451	BUY 1 Units @24.6000						



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BROKER TRANSACTIONS (continued)								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
02/16/2018	***-**-525P - WILSON, FRANCIS	WASHINGTON MUTUAL INVESTORS FUND-A	196.58	3.93	0.00	3.93	90.0	3.54
	ACCOUNT #: 00082184129	BUY 4 Units @47.7000						
02/16/2018	***-**-255P - WILSON, HELEN	WASHINGTON MUTUAL INVESTORS FUND-A	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @47.7000						
* MUTUAL FUNDS SUBTOTAL *			\$8,743.95	\$183.35	\$18.00	\$165.35		\$147.03
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
02/26/2018	***-**-7919 - HEMMINGWAY,	LINCOLN BENEFIT LIFE VUL	0.00	0.94	0.00	0.94	90.0	0.85
	ACCOUNT #: 002LV619419	BUY 1 Units @0.0000						
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$0.94	\$0.00	\$0.94		\$0.85
<u>PRODUCT CATEGORY: 529 PLAN</u>								
02/21/2018	***-**-308I - KEENAN, PETER	COLUMBIA MF TRAILS	0.00	0.06	0.00	0.06	90.0	0.05
		BUY 0 Units @0.0000						
02/21/2018	***-**-237I - PIERSON, JEFFREY	COLUMBIA MF TRAILS	0.00	11.88	0.00	11.88	90.0	10.69
		BUY 0 Units @0.0000						
02/13/2018	***-**-215P - WILLIAMS, DOYLE	THE INVESTMENT COMPANY OF AMERICA-5	100.00	5.00	0.00	5.00	90.0	4.50
	ACCOUNT #: 00088493817	BUY 2 Units @42.9000						
* 529 PLAN SUBTOTAL *			\$100.00	\$16.94	\$0.00	\$16.94		\$15.24
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/14/2018	***-**-46IN - HANOS, MATTHEW	ALL VALUE FUND CLASS A	0.00	7.68	0.00	7.68	90.0	6.91
	ACCOUNT #: 02400048142	BUY 0 Units @0.0000						
02/14/2018	***-**-11UG - DI BENEDETTO,	BOND-DEBENTURE FUND CLASS A	0.00	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000774846	BUY 0 Units @0.0000						
02/20/2018	***-**-6540 - STOUT, LOUISE	CAPITAL INCOME BUILDER CLASS C	0.00	1.70	0.00	1.70	90.0	1.53
	ACCOUNT #: LSR011072	BUY 1 Units @0.0000						
02/20/2018	***-**-13IO - CHARD, DANIELLE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.01	0.00	3.01	90.0	2.71
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
02/26/2018	***-**-643J - CRAFT, LOIS	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR011738	BUY 1 Units @0.0000						
02/26/2018	***-**-351I - SOWERS, FBO	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSF000278	BUY 1 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
02/26/2018	***-**-60IO - BLACK, JENNIFER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.04	0.00	0.04	90.0	0.04
	ACCOUNT #: LSH000019	BUY 1 Units @0.0000						
02/26/2018	***-**-60I2 - BLACK, JENNIFER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.17	0.00	0.17	90.0	0.15
	ACCOUNT #: LSM000043	BUY 1 Units @0.0000						
02/26/2018	***-**-935I - LOWE, JAMES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.19	0.00	1.19	90.0	1.07
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
02/26/2018	***-**-13IN - CHARD, DANIELLE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.08	0.00	0.08	90.0	0.07
	ACCOUNT #: LSR010090	BUY 1 Units @0.0000						
02/26/2018	***-**-13IO - CHARD, DANIELLE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.08	0.00	0.08	90.0	0.07
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
02/26/2018	***-**-521I - WILLIAMS, DOYLE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR010095	BUY 1 Units @0.0000						
02/26/2018	***-**-559I - HUBBARD, JAMES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: LSR010601	BUY 1 Units @0.0000						
02/20/2018	***-**-13IO - CHARD, DANIELLE	FUNDAMENTAL INVESTORS-A	0.00	3.22	0.00	3.22	90.0	2.90
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
02/20/2018	***-**-10IR - JANNEY, DONNA	FUNDAMENTAL INVESTORS-A	0.00	0.93	0.00	0.93	90.0	0.84
	ACCOUNT #: LSR011203	BUY 1 Units @0.0000						
02/20/2018	***-**-08IR - JANNEY, DAVID	FUNDAMENTAL INVESTORS-A	0.00	0.93	0.00	0.93	90.0	0.84
	ACCOUNT #: LSR011204	BUY 1 Units @0.0000						
02/20/2018	***-**-935I - LOWE, JAMES	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	12.26	0.00	12.26	90.0	11.03
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
02/20/2018	***-**-935I - LOWE, JAMES	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	4.09	0.00	4.09	90.0	3.68
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
02/14/2018	***-**-42IN - TO DST TOD	GROWTH OPPORTUNITIES A	0.00	3.79	0.00	3.79	90.0	3.41
	ACCOUNT #: 02400037468	BUY 0 Units @0.0000						
02/14/2018	***-**-46IN - HANOS, MATTHEW	GROWTH OPPORTUNITIES A	0.00	7.65	0.00	7.65	90.0	6.89
	ACCOUNT #: 02400048142	BUY 0 Units @0.0000						
02/14/2018	***-**-7742 - WILLIAMS, BETTY	GROWTH OPPORTUNITIES A	0.00	3.53	0.00	3.53	90.0	3.18
	ACCOUNT #: 04002427568	BUY 0 Units @0.0000						
02/14/2018	***-**-6178 - WILLIAMS, PHIL	LORD ABBETT AFFILIATED FUND CLASS A	0.00	1.78	0.00	1.78	90.0	1.60
	ACCOUNT #: 04002393863	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
02/14/2018	***-**-6526 - BAKER, ANTHONY	LORD ABBETT AFFILIATED FUND CLASS A	0.00	0.07	0.00	0.07	90.0	0.06
	ACCOUNT #: 04002420894	BUY 0 Units @0.0000						
02/14/2018	***-**-1147 - LOPEZ, JOSE	LORD ABBETT AFFILIATED FUND CLASS A	0.00	0.74	0.00	0.74	90.0	0.67
	ACCOUNT #: 04002424178	BUY 0 Units @0.0000						
02/14/2018	***-**-89IN - STRAUSS, RITA	LORD ABBETT TRAILS 543916555	0.00	0.30	0.00	0.30	90.0	0.27
	ACCOUNT #: 04000546860	BUY 0 Units @0.0000						
02/20/2018	***-**-13IO - CHARD, DANIELLE	NEW PERSPECTIVE FUND-A	0.00	3.26	0.00	3.26	90.0	2.93
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
02/20/2018	***-**-13IR - CHARD, DANIELLE	NEW PERSPECTIVE FUND-A	0.00	1.51	0.00	1.51	90.0	1.36
	ACCOUNT #: LSR010093	BUY 1 Units @0.0000						
02/20/2018	***-**-547J - BEST III, JOHN	NEW PERSPECTIVE FUND-A	0.00	6.81	0.00	6.81	90.0	6.13
	ACCOUNT #: LSR010824	BUY 1 Units @0.0000						
02/20/2018	***-**-10IR - JANNEY, DONNA	NEW PERSPECTIVE FUND-A	0.00	0.89	0.00	0.89	90.0	0.80
	ACCOUNT #: LSR011203	BUY 1 Units @0.0000						
02/20/2018	***-**-08IR - JANNEY, DAVID	NEW PERSPECTIVE FUND-A	0.00	0.89	0.00	0.89	90.0	0.80
	ACCOUNT #: LSR011204	BUY 1 Units @0.0000						
02/22/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS	0.00	5.70	0.00	5.70	90.0	5.13
		BUY 0 Units @0.0000						
02/22/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS	0.00	5.31	0.00	5.31	90.0	4.78
		BUY 0 Units @0.0000						
02/22/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS	0.00	6.31	0.00	6.31	90.0	5.68
		BUY 0 Units @0.0000						
02/22/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS	0.00	5.38	0.00	5.38	90.0	4.84
		BUY 0 Units @0.0000						
02/22/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS	0.00	9.85	0.00	9.85	90.0	8.87
		BUY 0 Units @0.0000						
02/22/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS	0.00	4.95	0.00	4.95	90.0	4.46
		BUY 0 Units @0.0000						
02/20/2018	***-**-13IO - CHARD, DANIELLE	THE GROWTH FUND OF AMERICA-A	0.00	3.79	0.00	3.79	90.0	3.41
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
02/20/2018	***-**-547J - BEST III, JOHN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	6.74	0.00	6.74	90.0	6.07
	ACCOUNT #: LSR010824	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/20/2018	***-**-6540 - STOUT, LOUISE	THE NEW ECONOMY FUND-C	0.00	7.33	0.00	7.33	90.0	6.60
	ACCOUNT #: LSR011072	BUY 1 Units @0.0000						
02/14/2018	***-**-42IN - TO DST TOD	VALUE OPPORTUNITIES A	0.00	3.85	0.00	3.85	90.0	3.47
	ACCOUNT #: 02400037468	BUY 0 Units @0.0000						
02/14/2018	***-**-7742 - WILLIAMS, BETTY	VALUE OPPORTUNITIES A	0.00	3.59	0.00	3.59	90.0	3.23
	ACCOUNT #: 04002427568	BUY 0 Units @0.0000						
02/20/2018	***-**-13IO - CHARD, DANIELLE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.71	0.00	2.71	90.0	2.44
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
02/09/2018	***-**-2209 - LING, BENJAMIN	WELLS FGO AVTG ENTERPRISE FUND - A	0.00	9.97	0.00	9.97	90.0	8.97
	ACCOUNT #: 01006543750	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$142.20	\$0.00	\$142.20		\$128.01
	*** BROKER TRANSACTIONS TOTAL ***		\$8,843.95	\$343.43	\$18.00	\$325.43		\$291.13

BROKER COMMISSION TOTALS

Broker Transactions	\$291.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$291.13
Year-to-date Earnings	\$0.00