

**COMMISSION STATEMENT****November 15, 2017**

JIM LUND
2060 Huntington Drive
Suite 1
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	3.13	0.00	3.13	0.0	0.00
/ /	SOIORIO, ROSE	FA 529 PORT 2016 C	0.00	1.71	0.00	1.71	0.0	0.00
11/01/2017	SOIORIO, ROSE	FA 529 PORT 2016 C	125.00	6.25	0.00	6.25	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.48	0.00	1.48	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.48	0.00	1.48	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.65	0.00	3.65	0.0	0.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	13.59	0.00	13.59	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	2.50	0.00	2.50	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.64	0.00	0.64	0.0	0.00
10/31/2017	LUND TRUST, ANDREA	FRANKLIN CA TF INCOME A	0.00	32.64	0.00	32.64	0.0	0.00
10/31/2017	RUBLY, DELPHINE	FRANKLIN CA TF INCOME A	0.00	42.18	0.00	42.18	0.0	0.00
10/31/2017	RUBLY, WILLIAM	FRANKLIN CA TF INCOME A	0.00	63.33	0.00	63.33	0.0	0.00
10/31/2017	LUND, ANDREA	FRANKLIN CA TF INCOME A	0.00	67.27	0.00	67.27	0.0	0.00
10/31/2017	LUND, JIM	FRANKLIN INCOME A	0.00	4.20	0.00	4.20	0.0	0.00
10/31/2017	YUGE, SEAN	FRANKLIN INCOME A	0.00	0.86	0.00	0.86	0.0	0.00
10/31/2017	LUND TRUST, ANDREA	FRANKLIN INCOME A	0.00	32.20	0.00	32.20	0.0	0.00
10/31/2017	LUND, ANDREA	FRANKLIN INCOME A	0.00	196.91	0.00	196.91	0.0	0.00
10/31/2017	WURMLINGER, MARTIN	FRANKLIN INCOME A	0.00	2.42	0.00	2.42	0.0	0.00
10/31/2017	CASTANEDA, CLAUDIA	FRANKLIN INCOME A	0.00	0.27	0.00	0.27	0.0	0.00
10/31/2017	GUTHRIE, JACOB	FRANKLIN INCOME A	0.00	0.42	0.00	0.42	0.0	0.00
10/31/2017	MORIOKA-SHIMAZU, TAMMY	FRANKLIN INCOME A	0.00	51.58	0.00	51.58	0.0	0.00
10/31/2017	RUBLY, WILLIAM	FRANKLIN INCOME A	0.00	78.86	0.00	78.86	0.0	0.00
10/31/2017	GUTHRIE, JACOB	FRANKLIN INCOME C	0.00	23.12	0.00	23.12	0.0	0.00
10/31/2017	HO, PAULINA	FRANKLIN INCOME C	0.00	69.72	0.00	69.72	0.0	0.00
10/31/2017	PRESTON, JANEAN	FRANKLIN INCOME C	0.00	8.70	0.00	8.70	0.0	0.00
10/31/2017	STARK, TONI	FRANKLIN INCOME C	0.00	27.17	0.00	27.17	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
10/31/2017	CASTANEDA, CLAUDIA	FRANKLIN LOW DURATION TOTAL RETURN-	0.00	3.77	0.00	3.77	0.0	0.00
10/31/2017	YUGE, SEAN	FRANKLIN MUTUAL SHARES A	0.00	1.45	0.00	1.45	0.0	0.00
10/31/2017	GUTHRIE, JACOB	FRANKLIN SMALL CAP VALUE A	0.00	2.34	0.00	2.34	0.0	0.00
10/31/2017	BODNAR, AMY	FRANKLIN TARGET FUND 2035 C	0.00	4.83	0.00	4.83	0.0	0.00
10/31/2017	YOUNG-DIAZ, NAIDA	FT FOUNDING FDS ALLOC C	0.00	22.36	0.00	22.36	0.0	0.00
10/31/2017	TURNQUIST, SARA	FT FOUNDING FDS ALLOC C	0.00	26.44	0.00	26.44	0.0	0.00
10/31/2017	TAM, YIM	FT FOUNDING FDS ALLOC C	0.00	81.93	0.00	81.93	0.0	0.00
10/31/2017	BARNES, WENDY	FT FOUNDING FDS ALLOC C	0.00	0.98	0.00	0.98	0.0	0.00
10/31/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	0.00	102.79	0.00	102.79	0.0	0.00
10/31/2017	DIPASQUALE-YU, CHRISTOPHER	FT FOUNDING FDS ALLOC C	0.00	65.78	0.00	65.78	0.0	0.00
11/01/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	0.00
10/31/2017	YU-DIPASQUALE, MEI-KIN MAGGIE	FT FOUNDING FUNDS ALLOC A	0.00	20.27	0.00	20.27	0.0	0.00
10/31/2017	ANDREWS, BRANDON	FT FOUNDING FUNDS ALLOC A	0.00	5.48	0.00	5.48	0.0	0.00
10/31/2017	VILLANUEVA, HELEN	FT FOUNDING FUNDS ALLOC A	0.00	4.06	0.00	4.06	0.0	0.00
10/31/2017	WARANIYAGODA GAMAGE, SURANGI	FT MODERATE ALLOCATION FUND C	0.00	20.11	0.00	20.11	0.0	0.00
10/31/2017	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	0.00	31.05	0.00	31.05	0.0	0.00
10/31/2017	YUGE, SEAN	TEMPLETON GROWTH A	0.00	1.27	0.00	1.27	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$325.00	\$1,133.19	\$0.00	\$1,133.19		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$325.00	\$1,133.19	\$0.00	\$1,133.19		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$8,160.28
Year-to-date Earnings	\$0.00