



All Companies

05/30/2018

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# COMMISSION STATEMENT

June 01, 2018

JOHN J. O'REILLY  
25042 SUMMIT CREEK

BROKER #: 9585  
BRANCH: HOME OFFICE

San Antonio, TX 78258-

## COMMISSION STATEMENT for JOHN J. O'REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

04/30/2018	TRAILS	-TEXAS 529 PLAN	B	2.77	2.79	0.00	2.79	70.0	1.95
04/30/2018	TRAILS	BLACK ROCK	B	0.47	0.47	0.00	0.47	70.0	0.33
05/15/2018	000000000092231	TARPINIAN, FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	2.50	0.00	2.50	70.0	1.75
	JOSEPH								
05/15/2018	000000000092243	JONES, KAREN FRK INTL SMALL CAP GROWTH FUND - CL B	B	55.00	1.54	0.00	1.54	70.0	1.08
05/15/2018	0000000001177637	JONES, KAREN FRK AGGRESSIVE GRWTH - CL A	B	96.25	2.70	0.00	2.70	70.0	1.89
	M.								
05/15/2018	0000000001293253	VOHRA, RUKSANA FRANKLIN RISING DIVIDEND 2 FD	B	50.00	0.50	0.00	0.50	70.0	0.35
05/15/2018	000006982462769	JONES, KAREN TEMPLETON GLOBAL BD FD CL I	B	27.50	0.77	0.00	0.77	70.0	0.54
05/15/2018	000015813931074	TARPINIAN, FRANKLIN RISING DIVIDEND FD CL I	B	50.00	2.50	0.00	2.50	70.0	1.75
	JOSEPH								
05/15/2018	000015813931100	JONES, KAREN FRANKLIN RISING DIVIDEND FD CL I	B	96.25	2.70	0.00	2.70	70.0	1.89
05/18/2018	00084387418	LERMA, ALEJANDRO AMERICAN FUNDS GROWTH FUND OF AMERI B	B	50.00	2.50	0.00	2.50	70.0	1.75
05/15/2018	00084586485	GUAJARDO, JORGE THE GROWTH FUND OF AMERICA-C	B	150.00	1.50	0.00	1.50	70.0	1.05
05/17/2018	00084753253	UNTIL AGE 21, THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	70.0	0.35
	TX/UTMA								
05/08/2018	01001201559	PLAN - 211696, PS INVESCO MID CAP CORE EQUITY FUND-CL B	B	4.98	0.04	0.00	0.04	70.0	0.03
05/16/2018	01001201559	PLAN - 211696, PS INVESCO MID CAP CORE EQUITY FUND-CL B	B	3.70	0.03	0.00	0.03	70.0	0.02
05/07/2018	02000035041	GONZALEZ, ROBERT AGES 12-14 YEARS A	B	30.00	0.90	0.00	0.90	70.0	0.63
05/07/2018	02000035042	GONZALEZ, ROBERT AGES 12-14 YEARS A	B	30.00	0.90	0.00	0.90	70.0	0.63
04/05/2018	02000094560	MCCANN/WILEY, AGE BASED PORTFOLIO 30 A	B	25.00	0.75	0.00	0.75	70.0	0.53
	JANIE/KERBI								
05/07/2018	02000094560	MCCANN/WILEY, AGE BASED PORTFOLIO 30 A	B	25.00	0.75	0.00	0.75	70.0	0.53
	JANIE/KERBI								
05/10/2018	1951227842	WONG, AARON INTERNATIONAL DIVERSIFIED FUND A	B	200.00	9.50	0.00	9.50	70.0	6.65
		<b>* MUTUAL FUNDS SUBTOTAL *</b>		<b>\$996.92</b>	<b>\$33.84</b>	<b>\$0.00</b>	<b>\$33.84</b>		<b>\$23.70</b>

#### PRODUCT CATEGORY: RIA

04/13/2018	12220999	SMITH, BERNARD C. III SIGNAL SECURITIES RIA	B	0.00	103.33	0.00	103.33	70.0	72.33
04/13/2018	13238509	SINCLAIR, KATHERINE SIGNAL SECURITIES RIA	B	0.00	100.67	0.00	100.67	70.0	70.47
	M.								

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018 13983746	ENGELHORN, ANN	SIGNAL SECURITIES RIA	B	0.00	79.00	0.00	79.00	70.0	55.30
04/13/2018 14035391	PETRUCCI, MICHELE L	SIGNAL SECURITIES RIA	B	0.00	218.33	0.00	218.33	70.0	152.83
04/13/2018 15170253	ALMONTE, JANET	SIGNAL SECURITIES RIA	B	0.00	23.67	0.00	23.67	70.0	16.57
04/13/2018 16851102	SPAIN, ASHLEY & KENNET	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	70.0	5.13
04/13/2018 26132361	HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	70.0	5.60
04/13/2018 27920326	KIRCHOFER/TRUSTY, DAWN & BETTY	SIGNAL SECURITIES RIA	B	0.00	40.33	0.00	40.33	70.0	28.23
04/13/2018 29926270	KIRKMAN, KENT S	SIGNAL SECURITIES RIA	B	0.00	40.33	0.00	40.33	70.0	28.23
04/13/2018 31239223	HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	46.33	0.00	46.33	70.0	32.43
04/13/2018 35855095	ALMONTE, ROBERT	SIGNAL SECURITIES RIA	B	0.00	243.33	0.00	243.33	70.0	170.33
04/13/2018 38672030	HETTINGER, STEPHANIE & AND	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	70.0	7.23
04/13/2018 39026321	SMITH, KATHY J	SIGNAL SECURITIES RIA	B	0.00	137.67	0.00	137.67	70.0	96.37
04/13/2018 44751241	WILLSON, BRENDA EVANS	SIGNAL SECURITIES RIA	B	0.00	189.67	0.00	189.67	70.0	132.77
04/13/2018 45156560	WALKER, KATHLEEN DIANE	SIGNAL SECURITIES RIA	B	0.00	364.33	0.00	364.33	70.0	255.03
04/13/2018 48792670	TRAN, JOHN HUAN	SIGNAL SECURITIES RIA	B	0.00	125.67	0.00	125.67	70.0	87.97
04/13/2018 49221103	PREUSS, CHARLES/JEANETT	SIGNAL SECURITIES RIA	B	0.00	387.67	0.00	387.67	70.0	271.37
04/13/2018 51882908	SULLIVAN, DONNA B.	SIGNAL SECURITIES RIA	B	0.00	129.67	0.00	129.67	70.0	90.77
04/13/2018 52865620	JAHNS, BARBARA J.	SIGNAL SECURITIES RIA	B	0.00	222.00	0.00	222.00	70.0	155.40
04/13/2018 53855208	SPAIN, KENNETH	SIGNAL SECURITIES RIA	B	0.00	9.67	0.00	9.67	70.0	6.77
04/13/2018 55187004	MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	359.00	0.00	359.00	70.0	251.30
04/13/2018 56791320	TRUSTY, BETTY	SIGNAL SECURITIES RIA	B	0.00	49.33	0.00	49.33	70.0	34.53
04/13/2018 58311572	MORIARTY, MERRY/DANIEL	SIGNAL SECURITIES RIA	B	0.00	135.33	0.00	135.33	70.0	94.73
04/13/2018 60833365	SPAIN, ASHLEY & KENNET	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	70.0	1.40
04/13/2018 62758634	KARL, LAURA/ FERNANDO	SIGNAL SECURITIES RIA	B	0.00	679.00	0.00	679.00	70.0	475.30
04/13/2018 63242808	ROSS, ERIS R	SIGNAL SECURITIES RIA	B	0.00	55.67	0.00	55.67	70.0	38.97
04/13/2018 68055487	MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	30.33	0.00	30.33	70.0	21.23
04/13/2018 68991609	HUSTON, STEVE C	SIGNAL SECURITIES RIA	B	0.00	224.67	0.00	224.67	70.0	157.27
04/13/2018 69084796	HETTINGER, ANDREW JASON	SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00	70.0	8.40
04/13/2018 72527951	ENGELHORN, ANN	SIGNAL SECURITIES RIA	B	0.00	151.67	0.00	151.67	70.0	106.17
04/13/2018 76952379	KIRKMAN, ELLEN F	SIGNAL SECURITIES RIA	B	0.00	31.00	0.00	31.00	70.0	21.70
04/13/2018 77047700	HETTINGER, STEPHANIE & AND	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	70.0	7.00
04/13/2018 77154313	HILLS, BERNARD&	SIGNAL SECURITIES RIA	B	0.00	260.00	0.00	260.00	70.0	182.00

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
ANTOIN									
04/13/2018	79434263 HETTINGER, ANDREW	SIGNAL SECURITIES RIA	B	0.00	56.33	0.00	56.33	70.0	39.43
JASON									
04/13/2018	81586140 MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	120.00	0.00	120.00	70.0	84.00
04/13/2018	82278696 GARRISON, PATRICIA A	SIGNAL SECURITIES RIA	B	0.00	40.33	0.00	40.33	70.0	28.23
04/13/2018	84235121 JONES, MATTHEW W	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	70.0	7.23
04/13/2018	85795100 BRUCE, BRENDA	SIGNAL SECURITIES RIA	B	0.00	248.00	0.00	248.00	70.0	173.60
04/13/2018	86268239 BOURGEOIS, FAY L.	SIGNAL SECURITIES RIA	B	0.00	59.67	0.00	59.67	70.0	41.77
04/13/2018	90026714 O'CONNOR, CHRISTINE A	SIGNAL SECURITIES RIA	B	0.00	183.33	0.00	183.33	70.0	128.33
04/13/2018	92572691 HERRING, GAYLE HALL	SIGNAL SECURITIES RIA	B	0.00	26.67	0.00	26.67	70.0	18.67
04/13/2018	94367363 HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33	70.0	4.43
04/13/2018	98606484 WILLSON II, DERRELL C	SIGNAL SECURITIES RIA	B	0.00	312.67	0.00	312.67	70.0	218.87
04/13/2018	99342265 SPAIN, KENNETH	SIGNAL SECURITIES RIA	B	0.00	27.33	0.00	27.33	70.0	19.13
* RIA SUBTOTAL *				<u>\$0.00</u>	<u>\$5,578.32</u>	<u>\$0.00</u>	<u>\$5,578.32</u>		<u>\$3,904.82</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$996.92</u>	<u>\$5,612.16</u>	<u>\$0.00</u>	<u>\$5,612.16</u>		<u>\$3,928.52</u>

**ADJUSTMENTS**

06/01/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-27.50</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,928.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$3,901.02
Year-to-date Earnings	\$25,012.93