

# STATEMENT

## May 20, 2018

GARY SPRUNGER - LPCM

BROKER #: 3132

BRANCH: LIBERTY PARTNERS CAP

, CA

### COMMISSION STATEMENT for GARY SPRUNGER - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: RIA</b>									
/ /	#514113 TCA SPRUNGER VAR	ADDL CASH FOR ALLOC	6,114.83	8.92	95.0	0.00	8.47	0.00	8.47
/ /	#514117 NUGENT, FRANCES	ADDL CASH FOR ALLOC	16,802.27	24.50	95.0	0.00	23.28	0.00	23.28
/ /	#514164 CHICORELLI,	ADDL CASH FOR ALLOC	16,517.79	24.09	95.0	0.00	22.89	0.00	22.89
/ /	#514247 CHICORELLI,	ADDL CASH FOR ALLOC	4,912.57	7.16	95.0	0.00	6.80	0.00	6.80
/ /	#514105 SCHUGAM, PAULA	LPCM MOD 30/30/40	204,943.42	298.88	100.0	0.00	298.88	0.00	298.88
/ /	#514113 TCA SPRUNGER VAR	LPCM MOD 30/30/40	159,054.60	231.95	100.0	0.00	231.95	0.00	231.95
/ /	#514117 NUGENT, FRANCES	LPCM MOD 30/30/40	383,416.27	559.15	100.0	0.00	559.15	0.00	559.15
/ /	#514159 TCA SPRUNGER VAR	LPCM MOD 30/30/40	592,822.83	864.53	100.0	0.00	864.53	0.00	864.53
/ /	#514164 CHICORELLI,	LPCM MOD 30/30/40	415,616.48	606.11	100.0	0.00	606.11	0.00	606.11
/ /	#514247 CHICORELLI,	LPCM MOD 30/30/40	108,708.02	158.54	100.0	0.00	158.54	0.00	158.54
/ /	#514261 TCA SPRUNGER VAR	LPCM MOD 30/30/40	106,159.37	154.82	100.0	0.00	154.82	0.00	154.82
/ /	#514304 SCHUGAM, MARTIN	LPCM MOD 30/30/40	202,414.40	295.19	100.0	0.00	295.19	0.00	295.19
05/01/2018	#514113 TCA SPRUNGER VAR	ADDL CASH FOR ALLOC	6,114.83	-1.78	100.0	0.00	-1.78	0.00	-1.78
05/01/2018	#514117 NUGENT, FRANCES	ADDL CASH FOR ALLOC	16,802.27	-4.90	100.0	0.00	-4.90	0.00	-4.90
05/01/2018	#514164 CHICORELLI,	ADDL CASH FOR ALLOC	16,517.79	-4.81	100.0	0.00	-4.81	0.00	-4.81
05/01/2018	#514247 CHICORELLI,	ADDL CASH FOR ALLOC	4,912.57	-1.43	100.0	0.00	-1.43	0.00	-1.43
05/01/2018	#514105 SCHUGAM, PAULA	LPCM MOD 30/30/40	204,943.42	-102.47	100.0	0.00	-102.47	0.00	-102.47
05/01/2018	#514113 TCA SPRUNGER VAR	LPCM MOD 30/30/40	159,054.60	-79.52	100.0	0.00	-79.52	0.00	-79.52
05/01/2018	#514117 NUGENT, FRANCES	LPCM MOD 30/30/40	383,416.27	-191.70	100.0	0.00	-191.70	0.00	-191.70
05/01/2018	#514159 TCA SPRUNGER VAR	LPCM MOD 30/30/40	592,822.83	-296.41	100.0	0.00	-296.41	0.00	-296.41
05/01/2018	#514164 CHICORELLI,	LPCM MOD 30/30/40	415,616.48	-207.80	100.0	0.00	-207.80	0.00	-207.80
05/01/2018	#514247 CHICORELLI,	LPCM MOD 30/30/40	108,708.02	-54.35	100.0	0.00	-54.35	0.00	-54.35
05/01/2018	#514261 TCA SPRUNGER VAR	LPCM MOD 30/30/40	106,159.37	-53.07	100.0	0.00	-53.07	0.00	-53.07
05/01/2018	#514304 SCHUGAM, MARTIN	LPCM MOD 30/30/40	202,414.40	-101.20	100.0	0.00	-101.20	0.00	-101.20
* RIA SUBTOTAL *			\$4,434,965.70	\$2,134.40		\$0.00	\$2,131.17	\$0.00	\$2,131.17
*** BROKER TRANSACTIONS TOTAL ***			\$4,434,965.70	\$2,134.40		\$0.00	\$2,131.17	\$0.00	\$2,131.17

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,131.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$2,131.17</b>
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