



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**March 31, 2017**

04/18/2017  
Page No. 1

BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-DONAIS

BROKER #: BBJ  
BRANCH: TRUWEALTH FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
^03/14/2017	KUHN, KRISTINE	SHOPOFF COMMERCIAL GRWTH & INC FD 3	44,000.00	3,080.00	0.00	3,080.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$44,000.00	\$3,080.00	\$0.00	\$3,080.00		\$0.00
<b><u>PRODUCT CATEGORY: NON-TRADED BDC</u></b>								
^03/15/2017	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	34.24	0.00	34.24	100.0	0.00
* NON-TRADED BDC SUBTOTAL *			\$0.00	\$34.24	\$0.00	\$34.24		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^03/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.50	0.00	0.50	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.50	0.00	0.50	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.50	0.00	0.50	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.50	0.00	0.50	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.50	0.00	0.50	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.17	0.00	0.17	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.17	0.00	0.17	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.17	0.00	0.17	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.70	0.00	0.70	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.70	0.00	0.70	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.70	0.00	0.70	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.70	0.00	0.70	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.17	0.00	0.17	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS ICA 529C	17.00	0.17	0.00	0.17	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.17	0.00	0.17	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.72	0.00	0.72	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.72	0.00	0.72	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.72	0.00	0.72	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^03/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.72	0.00	0.72	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.72	0.00	0.72	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.17	0.00	0.17	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	16.50	0.17	0.00	0.17	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.17	0.00	0.17	100.0	0.00
^03/20/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	50.00	2.13	0.00	2.13	100.0	0.00
^03/20/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	50.00	2.13	0.00	2.13	100.0	0.00
^03/16/2017	RASMUSSEN, CLINTON	HPI REAL ESTATE FUND V LLC	98,000.00	0.00	0.00	0.00	100.0	0.00
^03/21/2017	KUHN, KRISTINE	HPI REAL ESTATE FUND V LLC	74,000.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$172,500.00	\$15.39	\$0.00	\$15.39		\$0.00
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
^03/21/2017	JORGENSEN, BLAINE	LIGHTSTONE VALUE PLUS REAL ESTATE I	100,000.00	0.00	0.00	0.00	100.0	0.00
^03/23/2017	KUHN, KENNETH	LIGHTSTONE VALUE PLUS REAL ESTATE I	87,000.00	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$187,000.00	\$0.00	\$0.00	\$0.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$403,500.00	\$3,129.63	\$0.00	\$3,129.63		\$0.00
<b><u>ADJUSTMENTS</u></b>								
03/31/2017		*CONCIERGE SVC: 188451 RASMUSSEN	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.01</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>