



COMMISSION STATEMENT

January 22, 2018

ALEXANDER HACKLEY
2305 E Arapahoe Rd. #235
Centennial, CO 80122

BROKER #: B04
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/12/2018	***-**-626I - STERTZ, DALE	HCM DIVIDEND SECTOR PLUS FND CL A-N	44,999.99	1,912.50	18.00	1,894.50	75.0	1,420.88
	ACCOUNT #: LSR012238	BUY 3072 Units @14.6500						
		* MUTUAL FUNDS SUBTOTAL *	\$44,999.99	\$1,912.50	\$18.00	\$1,894.50		\$1,420.88
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/16/2018	***-**-679I - VIGIL, ELLI	COLUMBIA BALANCED FUND CL A	0.00	20.78	0.00	20.78	75.0	15.59
	ACCOUNT #: LSR011852	BUY 1 Units @0.0000						
01/16/2018	***-**-593I - HUFF, CYNTHIA	COLUMBIA BALANCED FUND CL A	0.00	10.31	0.00	10.31	75.0	7.73
	ACCOUNT #: LSR011879	BUY 1 Units @0.0000						
01/16/2018	***-**-14IR - MEDLICOTT,	COLUMBIA BALANCED FUND CL A	0.00	4.96	0.00	4.96	75.0	3.72
	ACCOUNT #: LSR011900	BUY 1 Units @0.0000						
01/16/2018	***-**-53IR - MEDLICOTT,	COLUMBIA BALANCED FUND CL A	0.00	6.20	0.00	6.20	75.0	4.65
	ACCOUNT #: LSR011901	BUY 1 Units @0.0000						
01/16/2018	***-**-321J - STERTZ, MICHAEL	COLUMBIA BALANCED FUND CL A	0.00	14.75	0.00	14.75	75.0	11.06
	ACCOUNT #: LSR012194	BUY 1 Units @0.0000						
01/16/2018	***-**-679I - VIGIL, ELLI	DELAWARE EXTENDED DURATION BOND FUN	0.00	20.50	0.00	20.50	75.0	15.38
	ACCOUNT #: LSR011852	BUY 1 Units @0.0000						
01/22/2018	***-**-127I - SCHEAFER, HARRY	FUNDAMENTAL INVESTORS-A	0.00	2.52	0.00	2.52	75.0	1.89
	ACCOUNT #: LSR011853	BUY 1 Units @0.0000						
01/22/2018	***-**-848I - SCHNEIDER,	HARTFORD BALANCED INCOME FD CL A	0.00	5.94	0.00	5.94	75.0	4.46
	ACCOUNT #: LSR011876	BUY 1 Units @0.0000						
01/22/2018	***-**-978I - LITTON, KENT	HARTFORD BALANCED INCOME FD CL A	0.00	10.19	0.00	10.19	75.0	7.64
	ACCOUNT #: LSR011882	BUY 1 Units @0.0000						
01/22/2018	***-**-679I - BURGDORF, SANDRA	HARTFORD BALANCED INCOME FD CL A	0.00	4.29	0.00	4.29	75.0	3.22
	ACCOUNT #: LSR011921	BUY 1 Units @0.0000						
01/22/2018	***-**-502I - GRANDSTAFF,	HARTFORD BALANCED INCOME FD CL A	0.00	1.93	0.00	1.93	75.0	1.45
	ACCOUNT #: LSR011929	BUY 1 Units @0.0000						
01/22/2018	***-**-266I - RONS, JANICE	HARTFORD BALANCED INCOME FD CL A	0.00	5.60	0.00	5.60	75.0	4.20
	ACCOUNT #: LSR012038	BUY 1 Units @0.0000						
01/22/2018	***-**-632J - HIGGINSON,	HARTFORD BALANCED INCOME FD CL A	0.00	2.27	0.00	2.27	75.0	1.70
	ACCOUNT #: LSR012042	BUY 1 Units @0.0000						
01/22/2018	***-**-105I - HUEBNER, CAROLYN	HARTFORD CHECKS AND BALANCED FUND C	0.00	0.74	0.00	0.74	75.0	0.56
	ACCOUNT #: LSR011910	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/16/2018	***-**-4240 - WEBER, DONALD	IVY BALANCED CLASS A	0.00	5.83	0.00	5.83	75.0	4.37
	ACCOUNT #: LSR011971	BUY 1 Units @0.0000						
01/16/2018	***-**-7700 - WEBER, F DIANNE	IVY CORE EQUITY CL A	0.00	3.96	0.00	3.96	75.0	2.97
	ACCOUNT #: LSR011972	BUY 1 Units @0.0000						
01/16/2018	***-**-978I - LITTON, KENT	IVY ENERGY FUND CL A	0.00	26.22	0.00	26.22	75.0	19.67
	ACCOUNT #: LSR011882	BUY 1 Units @0.0000						
01/16/2018	***-**-34IR - HARVEY, CHERYL	IVY LARGE CAP GROWTH CL A	0.00	5.44	0.00	5.44	75.0	4.08
	ACCOUNT #: LSR011883	BUY 1 Units @0.0000						
01/16/2018	***-**-70IR - WEBER, DIANNE	IVY TAX MANAGED EQUITY FUND CL A	0.00	4.42	0.00	4.42	75.0	3.32
	ACCOUNT #: LSR011967	BUY 1 Units @0.0000						
01/22/2018	***-**-7700 - WEBER, F DIANNE	MFS VALUE FUND-A	0.00	2.64	0.00	2.64	75.0	1.98
	ACCOUNT #: LSR011972	BUY 1 Units @0.0000						
01/22/2018	***-**-127I - SCHEAFER, HARRY	NEW PERSPECTIVE FUND-A	0.00	0.96	0.00	0.96	75.0	0.72
	ACCOUNT #: LSR011853	BUY 1 Units @0.0000						
01/16/2018	***-**-66IR - RONS, JANICE	NUVEEN SHORT TERM BOND CL A	0.00	0.76	0.00	0.76	75.0	0.57
	ACCOUNT #: LSR012039	BUY 1 Units @0.0000						
01/22/2018	***-**-127I - SCHEAFER, HARRY	THE GROWTH FUND OF AMERICA-A	0.00	0.92	0.00	0.92	75.0	0.69
	ACCOUNT #: LSR011853	BUY 1 Units @0.0000						
01/16/2018	***-**-787I - VONHEDEMANN, HAL	WELLS FARGO INDEX ASSET ALLOC A	0.00	11.88	0.00	11.88	75.0	8.91
	ACCOUNT #: LSR011985	BUY 1 Units @0.0000						
01/16/2018	***-**-21IR - STERTZ, MICHAEL	WELLS FARGO INDEX ASSET ALLOC A	0.00	2.97	0.00	2.97	75.0	2.23
	ACCOUNT #: LSR012195	BUY 1 Units @0.0000						
01/16/2018	***-**-70IR - STERTZ, CARRIE	WELLS FARGO INDEX ASSET ALLOC A	0.00	2.97	0.00	2.97	75.0	2.23
	ACCOUNT #: LSR012197	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$179.95	\$0.00	\$179.95		\$134.99
*** BROKER TRANSACTIONS TOTAL ***			\$44,999.99	\$2,092.45	\$18.00	\$2,074.45		\$1,555.87



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<u>ADJUSTMENTS</u>								
01/22/2018		MISCELLANEOUS: MISC - OVERDUE EIA C	0.00	0.00	0.00	0.00		-4,842.45
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-4,842.45</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,555.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-4,842.45
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$51.34
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$-3,235.24
Year-to-date Earnings	\$-3,235.24