



COMMISSION STATEMENT

January 17, 2017

BLB BRENT/BRION TRU-LEE/NORBY

BROKER #: BLB
BRANCH: TRUWEALTH FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^01/06/2017	HELLER, VICKIE	AMG GW&K ENHANCED CORE BOND FUND CL	-1,779.32	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	AMG GW&K ENHANCED CORE BOND FUND CL	-913.21	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	AMG GW&K ENHANCED CORE BOND FUND CL	-830.74	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	AMG GW&K ENHANCED CORE BOND FUND CL	-9,293.64	0.00	0.00	0.00	100.0	0.00
^01/06/2017	LIESENER, MARTY	CAMBIAR INTERNATIONAL EQUITY FUND I	-62.78	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, MELINDA	CAMBIAR INTERNATIONAL EQUITY FUND I	-58.08	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	CAMBIAR INTERNATIONAL EQUITY FUND I	-90.82	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	CAMBIAR INTERNATIONAL EQUITY FUND I	-63.84	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	CAMBIAR INTERNATIONAL EQUITY FUND I	-118.02	0.00	0.00	0.00	100.0	0.00
^01/06/2017	BROE, CRAIG	CAMBIAR INTERNATIONAL EQUITY FUND I	-245.38	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HICKEL, TONY	CAMBIAR INTERNATIONAL EQUITY FUND I	-179.66	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	CAMBIAR INTERNATIONAL EQUITY FUND I	576.31	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	CAMBIAR INTERNATIONAL EQUITY FUND I	299.95	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	CAMBIAR INTERNATIONAL EQUITY FUND I	273.78	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	CAMBIAR INTERNATIONAL EQUITY FUND I	3,076.93	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	CLEARBRIDGE MID CAP	189.23	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	CLEARBRIDGE MID CAP	98.49	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	CLEARBRIDGE MID CAP	89.91	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	CLEARBRIDGE MID CAP	1,003.97	0.00	0.00	0.00	100.0	0.00
^01/06/2017	LIESENER, MARTY	CONGRESS MID CAP GROWTH FUND INSTIT	3.87	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, MELINDA	CONGRESS MID CAP GROWTH FUND INSTIT	3.69	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	CONGRESS MID CAP GROWTH FUND INSTIT	4.31	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	CONGRESS MID CAP GROWTH FUND INSTIT	3.50	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	CONGRESS MID CAP GROWTH FUND INSTIT	4.76	0.00	0.00	0.00	100.0	0.00
^01/06/2017	BROE, CRAIG	CONGRESS MID CAP GROWTH FUND INSTIT	12.16	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HICKEL, TONY	CONGRESS MID CAP GROWTH FUND INSTIT	56.64	0.00	0.00	0.00	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^01/06/2017	LIESENER, MARTY	CREDIT SUISSE COMMODITY RETURN STRA	177.93	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, MELINDA	CREDIT SUISSE COMMODITY RETURN STRA	166.23	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	CREDIT SUISSE COMMODITY RETURN STRA	235.96	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	CREDIT SUISSE COMMODITY RETURN STRA	173.79	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	CREDIT SUISSE COMMODITY RETURN STRA	292.20	0.00	0.00	0.00	100.0	0.00
^01/06/2017	BROE, CRAIG	CREDIT SUISSE COMMODITY RETURN STRA	640.63	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HICKEL, TONY	CREDIT SUISSE COMMODITY RETURN STRA	633.78	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	CREDIT SUISSE COMMODITY RETURN STRA	391.06	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	CREDIT SUISSE COMMODITY RETURN STRA	201.70	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	CREDIT SUISSE COMMODITY RETURN STRA	183.94	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	CREDIT SUISSE COMMODITY RETURN STRA	2,055.77	0.00	0.00	0.00	100.0	0.00
^01/06/2017	LIESENER, MARTY	HORIZON ACTIVE ASSET ALLOCATION FUN	-17.72	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, MELINDA	HORIZON ACTIVE ASSET ALLOCATION FUN	-15.11	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	HORIZON ACTIVE ASSET ALLOCATION FUN	-29.95	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	HORIZON ACTIVE ASSET ALLOCATION FUN	-19.61	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	HORIZON ACTIVE ASSET ALLOCATION FUN	-36.15	0.00	0.00	0.00	100.0	0.00
^01/06/2017	BROE, CRAIG	HORIZON ACTIVE ASSET ALLOCATION FUN	-24.18	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HICKEL, TONY	HORIZON ACTIVE ASSET ALLOCATION FUN	280.04	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	HORIZON ACTIVE ASSET ALLOCATION FUN	-73.20	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	HORIZON ACTIVE ASSET ALLOCATION FUN	-37.00	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	HORIZON ACTIVE ASSET ALLOCATION FUN	-33.86	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	HORIZON ACTIVE ASSET ALLOCATION FUN	-335.27	0.00	0.00	0.00	100.0	0.00
^01/06/2017	LIESENER, MARTY	JH DISCIPLINED VAL CL 1	16.03	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, MELINDA	JH DISCIPLINED VAL CL 1	15.09	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	JH DISCIPLINED VAL CL 1	19.46	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	JH DISCIPLINED VAL CL 1	15.05	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	JH DISCIPLINED VAL CL 1	22.80	0.00	0.00	0.00	100.0	0.00
^01/06/2017	BROE, CRAIG	JH DISCIPLINED VAL CL 1	53.60	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HICKEL, TONY	JH DISCIPLINED VAL CL 1	126.42	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	JH DISCIPLINED VAL CL 1	401.06	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	JH DISCIPLINED VAL CL 1	207.81	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	JH DISCIPLINED VAL CL 1	189.58	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	JH DISCIPLINED VAL CL 1	2,117.88	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^01/06/2017	HELLER, VICKIE	METROPOLITAN WEST TOTAL RETURN BOND	-1,777.35	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	METROPOLITAN WEST TOTAL RETURN BOND	-912.18	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	METROPOLITAN WEST TOTAL RETURN BOND	-829.84	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	METROPOLITAN WEST TOTAL RETURN BOND	-9,283.30	0.00	0.00	0.00	100.0	0.00
^01/06/2017	LIESENER, MARTY	MFS INTL NEW DISCOVERY FUND I	-5.47	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, MELINDA	MFS INTL NEW DISCOVERY FUND I	-5.00	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	MFS INTL NEW DISCOVERY FUND I	-9.09	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	MFS INTL NEW DISCOVERY FUND I	-5.97	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	MFS INTL NEW DISCOVERY FUND I	-12.59	0.00	0.00	0.00	100.0	0.00
^01/06/2017	BROE, CRAIG	MFS INTL NEW DISCOVERY FUND I	-24.38	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HICKEL, TONY	MFS INTL NEW DISCOVERY FUND I	-52.18	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	MFS INTL NEW DISCOVERY FUND I	112.56	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	MFS INTL NEW DISCOVERY FUND I	58.56	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	MFS INTL NEW DISCOVERY FUND I	53.45	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	MFS INTL NEW DISCOVERY FUND I	597.06	0.00	0.00	0.00	100.0	0.00
^01/06/2017	LIESENER, MARTY	NAVIGATOR TACTICAL FIXED INCOME FUN	-752.78	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, MELINDA	NAVIGATOR TACTICAL FIXED INCOME FUN	-702.95	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	NAVIGATOR TACTICAL FIXED INCOME FUN	-1,002.55	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	NAVIGATOR TACTICAL FIXED INCOME FUN	-736.66	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	NAVIGATOR TACTICAL FIXED INCOME FUN	-1,244.69	0.00	0.00	0.00	100.0	0.00
^01/06/2017	BROE, CRAIG	NAVIGATOR TACTICAL FIXED INCOME FUN	-2,721.80	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HICKEL, TONY	NAVIGATOR TACTICAL FIXED INCOME FUN	-3,064.88	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	NAVIGATOR TACTICAL FIXED INCOME FUN	23.61	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	NAVIGATOR TACTICAL FIXED INCOME FUN	14.00	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	NAVIGATOR TACTICAL FIXED INCOME FUN	12.95	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	NAVIGATOR TACTICAL FIXED INCOME FUN	152.89	0.00	0.00	0.00	100.0	0.00
^01/06/2017	LIESENER, MARTY	NORTHERN MULTI- MANAGER EMERGING MA	10.71	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, MELINDA	NORTHERN MULTI- MANAGER EMERGING MA	10.09	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	NORTHERN MULTI- MANAGER EMERGING MA	13.24	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	NORTHERN MULTI- MANAGER EMERGING MA	10.14	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	NORTHERN MULTI- MANAGER EMERGING MA	15.70	0.00	0.00	0.00	100.0	0.00
^01/06/2017	BROE, CRAIG	NORTHERN MULTI- MANAGER EMERGING MA	36.14	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HICKEL, TONY	NORTHERN MULTI- MANAGER EMERGING MA	13.63	0.00	0.00	0.00	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^01/06/2017	HELLER, VICKIE	NORTHERN MULTI- MANAGER EMERGING MA	68.54	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	NORTHERN MULTI- MANAGER EMERGING MA	35.70	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	NORTHERN MULTI- MANAGER EMERGING MA	32.60	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	NORTHERN MULTI- MANAGER EMERGING MA	366.39	0.00	0.00	0.00	100.0	0.00
^01/06/2017	LIESENER, MARTY	RESEARCH MARKET NEUTRAL FUND-INST	56.38	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, MELINDA	RESEARCH MARKET NEUTRAL FUND-INST	52.72	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	RESEARCH MARKET NEUTRAL FUND-INST	74.16	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	RESEARCH MARKET NEUTRAL FUND-INST	54.86	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	RESEARCH MARKET NEUTRAL FUND-INST	91.40	0.00	0.00	0.00	100.0	0.00
^01/06/2017	BROE, CRAIG	RESEARCH MARKET NEUTRAL FUND-INST	201.62	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HICKEL, TONY	RESEARCH MARKET NEUTRAL FUND-INST	136.79	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	RESEARCH MARKET NEUTRAL FUND-INST	53.07	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	RESEARCH MARKET NEUTRAL FUND-INST	28.25	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	RESEARCH MARKET NEUTRAL FUND-INST	25.85	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	RESEARCH MARKET NEUTRAL FUND-INST	287.94	0.00	0.00	0.00	100.0	0.00
^01/06/2017	LIESENER, MARTY	RIDGEWORTH SEIX FLOATING RATE HIGH	884.08	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, MELINDA	RIDGEWORTH SEIX FLOATING RATE HIGH	825.64	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	RIDGEWORTH SEIX FLOATING RATE HIGH	1,176.03	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	RIDGEWORTH SEIX FLOATING RATE HIGH	864.68	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	RIDGEWORTH SEIX FLOATING RATE HIGH	1,459.06	0.00	0.00	0.00	100.0	0.00
^01/06/2017	BROE, CRAIG	RIDGEWORTH SEIX FLOATING RATE HIGH	3,192.98	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HICKEL, TONY	RIDGEWORTH SEIX FLOATING RATE HIGH	3,516.85	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	RIDGEWORTH SEIX FLOATING RATE HIGH	2,700.02	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	RIDGEWORTH SEIX FLOATING RATE HIGH	1,387.87	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	RIDGEWORTH SEIX FLOATING RATE HIGH	1,265.13	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	RIDGEWORTH SEIX FLOATING RATE HIGH	14,145.85	0.00	0.00	0.00	100.0	0.00
^01/06/2017	LIESENER, MARTY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	31.32	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, MELINDA	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	29.38	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	39.58	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	29.94	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	47.65	0.00	0.00	0.00	100.0	0.00
^01/06/2017	BROE, CRAIG	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	107.86	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HICKEL, TONY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	140.29	0.00	0.00	0.00	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^01/06/2017	HELLER, VICKIE	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	427.77	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	221.52	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	202.09	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	2,266.75	0.00	0.00	0.00	100.0	0.00
^01/06/2017	LIESENER, MARTY	STERLING CAP FUNDS	-9.44	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, MELINDA	STERLING CAP FUNDS	-8.74	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	STERLING CAP FUNDS	-13.40	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	STERLING CAP FUNDS	-9.52	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	STERLING CAP FUNDS	-17.23	0.00	0.00	0.00	100.0	0.00
^01/06/2017	BROE, CRAIG	STERLING CAP FUNDS	-35.64	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HICKEL, TONY	STERLING CAP FUNDS	27.95	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$14,511.43	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^01/06/2017	MILLER, CHARLES	ISHARES TR RUSSELL 2000 INDEX FD	135.98	0.00	0.00	0.00	100.0	0.00
^01/06/2017	BROE, CRAIG	ISHARES TR RUSSELL 2000 INDEX FD	135.98	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HICKEL, TONY	ISHARES TR RUSSELL 2000 INDEX FD	135.98	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	ISHARES TR RUSSELL 2000 INDEX FD	271.96	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	ISHARES TR RUSSELL 2000 INDEX FD	135.98	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	ISHARES TR RUSSELL 2000 INDEX FD	1,223.80	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	POWERSHARES DB COMMODITY INDEX TRAC	47.42	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, TICINIE	POWERSHARES DB COMMODITY INDEX TRAC	79.04	0.00	0.00	0.00	100.0	0.00
^01/06/2017	LIESENER, MARTY	POWERSHARES EXCHANGE-TRADED FD TR I	-280.56	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, MELINDA	POWERSHARES EXCHANGE-TRADED FD TR I	-257.18	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	POWERSHARES EXCHANGE-TRADED FD TR I	-374.08	0.00	0.00	0.00	100.0	0.00
^01/06/2017	MILLER, CHARLES	POWERSHARES EXCHANGE-TRADED FD TR I	-280.56	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	POWERSHARES EXCHANGE-TRADED FD TR I	-467.60	0.00	0.00	0.00	100.0	0.00
^01/06/2017	BROE, CRAIG	POWERSHARES EXCHANGE-TRADED FD TR I	-1,028.72	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HICKEL, TONY	POWERSHARES EXCHANGE-TRADED FD TR I	-1,145.62	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	POWERSHARES EXCHANGE-TRADED FD TR I	116.93	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, TICINIE	POWERSHARES EXCHANGE-TRADED FD TR I	233.87	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	POWERSHARES EXCHANGE-TRADED FD TR I	-1,332.66	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, VICKIE	POWERSHARES EXCHANGE-TRADED FD TR I	-678.02	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	POWERSHARES EXCHANGE-TRADED FD TR I	-607.88	0.00	0.00	0.00	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^01/06/2017	HELLER, GREG	POWERSHARES EXCHANGE-TRADED FD TR I	-6,990.62	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, TICINIE	SPDR SER TR BARCLAYS HIGH YIELD BD	-220.21	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	VANECK VECTORS ETF TR HIGH-YIELD MU	-89.38	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	VANGUARD CHARLOTTE FDS TOTAL INTL B	-108.01	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	VANGUARD INDEX FDS VANGUARD REIT ET	84.27	0.00	0.00	0.00	100.0	0.00
^01/06/2017	HELLER, GREG	VANGUARD INDEX FDS VANGUARD REIT ET	-168.44	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, DANIEL	VANGUARD INTL EQUITY INDEX FD INC F	90.52	0.00	0.00	0.00	100.0	0.00
^01/06/2017	WEBER, TICINIE	VANGUARD INTL EQUITY INDEX FD INC F	271.55	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$-11,066.26	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
^01/11/2017	AAFEDT, CYNTHIA	SEI	416,039.00	364.04	0.00	364.04	100.0	0.00
^01/11/2017	BENSON, JEFFREY	SEI	124,084.14	418.79	0.00	418.79	100.0	0.00
^01/11/2017	HARMEL, ERIC	SEI	100.06	0.03	0.00	0.03	100.0	0.00
^01/11/2017	HARMEL, ERIC	SEI	39,326.03	59.73	0.00	59.73	100.0	0.00
^01/11/2017	HAUGEN, PATRICK	SEI	159,220.58	537.37	0.00	537.37	100.0	0.00
^01/11/2017	HAUGEN, PATRICK	SEI	97,731.38	329.84	0.00	329.84	100.0	0.00
^01/11/2017	KRINGEN, STUART	SEI	320,643.75	240.48	0.00	240.48	100.0	0.00
^01/11/2017	LIESENER, MARTY	SEI	0.79	0.00	0.00	0.00	100.0	0.00
^01/11/2017	LIESENER, MARTY	SEI	53,323.03	179.97	0.00	179.97	100.0	0.00
^01/11/2017	LYNDE, STEVEN	SEI	46,865.99	146.46	0.00	146.46	100.0	0.00
^01/11/2017	LYNDE, LANA	SEI	19,897.98	62.18	0.00	62.18	100.0	0.00
^01/11/2017	WEBER, DANIEL	SEI	93,734.74	70.30	0.00	70.30	100.0	0.00
* RIA SUBTOTAL *			\$1,370,967.47	\$2,409.19	\$0.00	\$2,409.19		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$1,374,412.64	\$2,409.19	\$0.00	\$2,409.19		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00