

**COMMISSION STATEMENT****July 15, 2018**

ALAN, G122 GEIER
56 Dutchess Ave.
Apt. 1
Poughkeepsie, NY 12601

BROKER #: G122
BRANCH: ALAN GEIER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
06/28/2018	KLEIN, NINA	BOURNE SENIORS HOUSING IV	100,000.00	7,000.00	0.00	7,000.00	80.0	5,600.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$5,600.00
<u>PRODUCT CATEGORY: REIT</u>								
06/25/2018	KLEIN, NINA	CARTER VALIDUS MC REIT II CLASS A	100,000.00	7,000.00	0.00	7,000.00	80.0	5,600.00
		* REIT SUBTOTAL *	\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$5,600.00
		*** DIRECT TRANSACTIONS TOTAL ***	\$200,000.00	\$14,000.00	\$0.00	\$14,000.00		\$11,200.00
<u>ADJUSTMENTS</u>								
07/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
07/15/2018		*AUDIT FEE: BRANCH AUDIT FEE	0.00					-750.00
07/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
07/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-1,252.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$11,200.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,252.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$9,948.00
Year-to-date Gross	\$14,000.00
Year-to-date Earnings	\$11,200.00