



COMMISSION STATEMENT

May 21, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/14/2018	***-**-825J - BARR, JANET	BLACKROCK GLBL SCI & TECH OPPORT CL	39,999.99	1,800.00	18.00	1,782.00	85.0	1,514.70
	ACCOUNT #: LSR012627	BUY 1405 Units @28.4600						
05/16/2018	***-**-03TR - NEAL TRUST,	RESOURCE CREDIT INCOME FUND A	50,000.00	2,250.00	18.00	2,232.00	85.0	1,897.20
	ACCOUNT #: LSR012505	BUY 4270 Units @11.7100						
05/16/2018	***-**-03TR - NEAL TRUST,	RESOURCE REAL ESTATES-NFS	50,000.00	2,250.00	18.00	2,232.00	85.0	1,897.20
	ACCOUNT #: LSR012505	BUY 4669 Units @10.7100						
* MUTUAL FUNDS SUBTOTAL *			\$139,999.99	\$6,300.00	\$54.00	\$6,246.00		\$5,309.10
<u>PRODUCT CATEGORY: STOCKS</u>								
05/15/2018	***-**-496I - MCCOY, SHERIANNE	ALLIANCEBERSTEIN GLOBAL HIGH INCOM	24,533.91	495.00	57.14	437.86	85.0	372.18
	ACCOUNT #: LSR012469	SELL 2107 Units @11.6440						
05/16/2018	***-**-03TR - NEAL TRUST,	ARCOS DORADOS HOLDINGS INC COM NPV	3,010.00	60.00	23.00	37.00	85.0	31.45
	ACCOUNT #: LSR012505	BUY 400 Units @7.5250						
05/16/2018	***-**-03TR - NEAL TRUST,	ARCOS DORADOS HOLDINGS INC COM NPV	451.50	9.00	1.20	7.80	85.0	6.63
	ACCOUNT #: LSR012505	BUY 60 Units @7.5250						
05/16/2018	***-**-03TR - NEAL TRUST,	ARCOS DORADOS HOLDINGS INC COM NPV	752.50	15.00	2.00	13.00	85.0	11.05
	ACCOUNT #: LSR012505	BUY 100 Units @7.5250						
05/16/2018	***-**-03TR - NEAL TRUST,	ARCOS DORADOS HOLDINGS INC COM NPV	1,836.10	36.60	4.88	31.72	85.0	26.96
	ACCOUNT #: LSR012505	BUY 244 Units @7.5250						
05/16/2018	***-**-03TR - NEAL TRUST,	ARCOS DORADOS HOLDINGS INC COM NPV	752.50	15.00	2.00	13.00	85.0	11.05
	ACCOUNT #: LSR012505	BUY 100 Units @7.5250						
05/16/2018	***-**-03TR - NEAL TRUST,	ARCOS DORADOS HOLDINGS INC COM NPV	963.20	19.20	2.56	16.64	85.0	14.14
	ACCOUNT #: LSR012505	BUY 128 Units @7.5250						
05/16/2018	***-**-03TR - NEAL TRUST,	ARCOS DORADOS HOLDINGS INC COM NPV	752.50	15.00	2.00	13.00	85.0	11.05
	ACCOUNT #: LSR012505	BUY 100 Units @7.5250						
05/16/2018	***-**-03TR - NEAL TRUST,	ARCOS DORADOS HOLDINGS INC COM NPV	752.50	15.00	2.00	13.00	85.0	11.05
	ACCOUNT #: LSR012505	BUY 100 Units @7.5250						
05/16/2018	***-**-03TR - NEAL TRUST,	ARCOS DORADOS HOLDINGS INC COM NPV	541.80	10.80	1.44	9.36	85.0	7.96
	ACCOUNT #: LSR012505	BUY 72 Units @7.5250						
05/16/2018	***-**-03TR - NEAL TRUST,	ARCOS DORADOS HOLDINGS INC COM NPV	872.90	17.40	2.32	15.08	85.0	12.82
	ACCOUNT #: LSR012505	BUY 116 Units @7.5250						
05/16/2018	***-**-03TR - NEAL TRUST,	ARCOS DORADOS HOLDINGS INC COM NPV	1,294.30	25.80	3.44	22.36	85.0	19.01
	ACCOUNT #: LSR012505	BUY 172 Units @7.5250						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
05/16/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	ARCOS DORADOS HOLDINGS INC COM NPV BUY 124 Units @7.5250	933.10	18.60	2.48	16.12	85.0	13.70
05/16/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	ARCOS DORADOS HOLDINGS INC COM NPV BUY 284 Units @7.5250	2,137.10	42.60	5.68	36.92	85.0	31.38
05/16/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	DISCOVERY COMMUNICATIONS INC NEW CO BUY 625 Units @22.7550	14,221.88	300.00	27.50	272.50	85.0	231.63
* STOCKS SUBTOTAL *			\$53,805.79	\$1,095.00	\$139.64	\$955.36		\$812.06
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/21/2018	***-**-361J - COOK, ALAN ACCOUNT #: LSR012544	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 1 Units @0.0000	0.00	5.15	0.00	5.15	85.0	4.38
05/21/2018	***-**-361J - COOK, ALAN ACCOUNT #: LSR012544	COHEN & STEERS REAL ESTATE SEC A BUY 1 Units @0.0000	0.00	3.73	0.00	3.73	85.0	3.17
05/21/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	DELAWARE EMERGING MARKETS FUND A CL BUY 1 Units @0.0000	0.00	6.33	0.00	6.33	85.0	5.38
05/21/2018	***-**-03IR - RHODE, SUSAN ACCOUNT #: LSR012464	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	1.11	0.00	1.11	85.0	0.94
05/21/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	5.06	0.00	5.06	85.0	4.30
05/21/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	4.42	0.00	4.42	85.0	3.76
05/21/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	5.76	0.00	5.76	85.0	4.90
05/21/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	4.27	0.00	4.27	85.0	3.63
05/21/2018	***-**-720X - COOK, TAMI ACCOUNT #: LSR012548	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	2.22	0.00	2.22	85.0	1.89
05/21/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	4.74	0.00	4.74	85.0	4.03
05/21/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012559	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	1.33	0.00	1.33	85.0	1.13
05/21/2018	***-**-3709 - TILLER, EDWIN ACCOUNT #: LSR012570	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	4.47	0.00	4.47	85.0	3.80



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/21/2018	***-**-141I - ROHMAN, ARTHUR	FIDELITY ADV CONSUMER DISCRETIONARY	0.00	3.82	0.00	3.82	85.0	3.25
	ACCOUNT #: LSR012574	BUY 1 Units @0.0000						
05/21/2018	***-**-745I - EKHOF, NOHORA	FIDELITY ADV CONSUMER DISCRETIONARY	0.00	2.45	0.00	2.45	85.0	2.08
	ACCOUNT #: LSR012612	BUY 1 Units @0.0000						
05/21/2018	***-**-2267 - WIEBLER, STEVE	GOLDMAN SACHS RISING DIVIDEND GROWT	0.00	10.23	0.00	10.23	85.0	8.70
	ACCOUNT #: LSR012606	BUY 1 Units @0.0000						
05/21/2018	***-**-20IR - COOK, TAMI	JPMORGAN SMALL CAP GROWTH CLASS A	0.00	1.15	0.00	1.15	85.0	0.98
	ACCOUNT #: LSR012545	BUY 1 Units @0.0000						
05/21/2018	***-**-61IR - COOK, ALAN	JPMORGAN SMALL CAP GROWTH CLASS A	0.00	1.15	0.00	1.15	85.0	0.98
	ACCOUNT #: LSR012546	BUY 1 Units @0.0000						
05/21/2018	***-**-2267 - WIEBLER, STEVE	PIONEER SHORT TERM INCOME FD CL C	0.00	1.00	0.00	1.00	85.0	0.85
	ACCOUNT #: LSR012606	BUY 1 Units @0.0000						
05/21/2018	***-**-47IN - HEINZ-OTTE,	RESOURCE CREDIT INCOME FUND A	0.00	6.35	0.00	6.35	85.0	5.40
	ACCOUNT #: LSR012535	BUY 1 Units @0.0000						
05/21/2018	***-**-825J - BARR, JANET	RESOURCE CREDIT INCOME FUND A	0.00	1.96	0.00	1.96	85.0	1.67
	ACCOUNT #: LSR012627	BUY 1 Units @0.0000						
05/21/2018	***-**-47IN - HEINZ-OTTE,	RESOURCE REAL ESTATES-NFS	0.00	6.35	0.00	6.35	85.0	5.40
	ACCOUNT #: LSR012535	BUY 1 Units @0.0000						
05/21/2018	***-**-825J - BARR, JANET	RESOURCE REAL ESTATES-NFS	0.00	1.97	0.00	1.97	85.0	1.67
	ACCOUNT #: LSR012627	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$85.02	\$0.00	\$85.02		\$72.29
*** BROKER TRANSACTIONS TOTAL ***			\$193,805.78	\$7,480.02	\$193.64	\$7,286.38		\$6,193.45



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$6,193.45
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$6,193.45
						Year-to-date Earnings		\$70,659.76