



COMMISSION STATEMENT

January 01, 2018

ALAN FISHMAN
3 Buckley Place
SUITE 615
Hartsdale, NY 10530

BROKER #: 851
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	***-**-19IN - BLUMEN, IAN	AIM SMALL CAP GROWTH FUND - CLASS A	25.00	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 05066338392	BUY 1 Units @42.7000						
		* MUTUAL FUNDS SUBTOTAL *	\$25.00	\$1.25	\$0.00	\$1.25		\$0.94
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/28/2017	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS	0.00	0.47	0.00	0.47	75.0	0.35
	ACCOUNT #: 00000159287	BUY 0 Units @0.0000						
12/28/2017	***-**-067J - SOMMO, WILLIAM	VICTORY TRAILS	0.00	0.15	0.00	0.15	75.0	0.11
		BUY 0 Units @0.0000						
12/28/2017	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS	0.00	1.24	0.00	1.24	75.0	0.93
	ACCOUNT #: 00000159287	BUY 0 Units @0.0000						
12/28/2017	***-**-955P - VICARI,	VICTORY TRAILS	0.00	1.29	0.00	1.29	75.0	0.97
		BUY 0 Units @0.0000						
12/28/2017	***-**-9623 - SELKIN, ARTHUR	VICTORY TRAILS	0.00	0.73	0.00	0.73	75.0	0.55
	ACCOUNT #: 00000164390	BUY 0 Units @0.0000						
12/28/2017	***-**-690I - LEIFER, JONATHAN	VICTORY TRAILS	0.00	64.31	0.00	64.31	75.0	48.23
		BUY 0 Units @0.0000						
12/28/2017	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS	0.00	1.18	0.00	1.18	75.0	0.89
		BUY 0 Units @0.0000						
12/28/2017	***-**-067J - SOMMO, WILLIAM	VICTORY TRAILS	0.00	0.01	0.00	0.01	75.0	0.01
		BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$69.38	\$0.00	\$69.38		\$52.04
		*** BROKER TRANSACTIONS TOTAL ***	\$25.00	\$70.63	\$0.00	\$70.63		\$52.98



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$52.98
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$54.32
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$107.30
						Year-to-date Earnings		\$107.30