



Concorde Investment Services, LLC

09/28/2017
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COMMISSION STATEMENT

September 30, 2017

ROBERT (RUSTY) TWEED
2060 Huntington Drive
Suite 1
San Marino, CA 91108

BROKER #: T117 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 09/06/2017 | ENGSTROM, COLEMAN | COLUMBIA ACORN INT'L A | 0.00 | 2.54 | 0.00 | 2.54 | 0.0 | 0.00 |
| 09/06/2017 | PANTON, GARY T & DEBORA | COLUMBIA CALIFORNIA TAX-EXEMPT FUND | 0.00 | 0.88 | 0.00 | 0.88 | 0.0 | 0.00 |
| 09/06/2017 | UMBRELLO, ALBERT | COLUMBIA LARGE CAP GROWTH A | 0.00 | 6.75 | 0.00 | 6.75 | 0.0 | 0.00 |
| 09/06/2017 | UMBRELLO, ALBERT | COLUMBIA SELIGMAN COMM AND INFO A | 0.00 | 5.48 | 0.00 | 5.48 | 0.0 | 0.00 |
| 09/15/2017 | VAN DE BRAKE, MARY | JH FINANCIAL INDUSTRIES A | 0.00 | 2.14 | 0.00 | 2.14 | 0.0 | 0.00 |
| 09/15/2017 | VAN DE BRAKE, ARTHUR | JH FINANCIAL INDUSTRIES A | 0.00 | 2.13 | 0.00 | 2.13 | 0.0 | 0.00 |
| 09/15/2017 | PANTON, GARY | JH GOVERNMENT INCOME A | 0.00 | 1.11 | 0.00 | 1.11 | 0.0 | 0.00 |
| 09/15/2017 | PANTON, GARY | JH GOVERNMENT INCOME A | 0.00 | 1.83 | 0.00 | 1.83 | 0.0 | 0.00 |
| 09/15/2017 | FURUKAWA, CLARA | JH STRATEGIC GROWTH A | 0.00 | 2.02 | 0.00 | 2.02 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$0.00 | \$24.88 | \$0.00 | \$24.88 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$0.00 | \$24.88 | \$0.00 | \$24.88 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-7.50 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$-7.50 |
| Year-to-date Gross | \$9,456.59 |
| Year-to-date Earnings | \$0.00 |