

# COMMISSION STATEMENT June 30, 2018

JOE CUMLEY

 BROKER #: 113971  
 BRANCH: D12

, TX

## COMMISSION STATEMENT for JOE CUMLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### CLEARING TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/21/2018	mfc113971	VARIOUS, CUMLEY	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.56	0.00	0.56	85.0	0.48
06/21/2018	mfc113971	VARIOUS, CUMLEY	MFT FRANKLIN RISING DIVIDENDS FD	B	0.00	3.55	0.00	3.55	85.0	3.02
06/21/2018	mfc113971	VARIOUS, CUMLEY	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	2.10	0.00	2.10	85.0	1.79
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$6.21	\$0.00	\$6.21		\$5.29
*** CLEARING TRANSACTIONS TOTAL ***					\$0.00	\$6.21	\$0.00	\$6.21		\$5.29

### DIRECT TRANSACTIONS

#### PRODUCT CATEGORY: FIXED ANNUITIES

06/22/2018	(None)	AMOS, CECELIA	FA CUNA MUTUAL FIA	B	90,000.00	4,500.00	0.00	4,500.00	85.0	3,825.00
* FIXED ANNUITIES SUBTOTAL *					\$90,000.00	\$4,500.00	\$0.00	\$4,500.00		\$3,825.00
*** DIRECT TRANSACTIONS TOTAL ***					\$90,000.00	\$4,500.00	\$0.00	\$4,500.00		\$3,825.00

### OVERRIDE TRANSACTIONS

#### BROKER: CHELSEA SCHLOSSER-687888 #154

06/08/2018	368461383	BIBLER, PATRICIA	MF LARGE CAP EQUITY A	B	-1,197.55	0.00	5.00	-5.00		-1.00
06/27/2018	368461983	CADY, SHERRY	MF NATIXIS US DIVERS PORTFOLIO A	B	-1,497.55	0.00	5.00	-5.00		-1.00
06/21/2018	mfc687888	VARIOUS,	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	3.91	0.00	3.91		0.78
06/21/2018	mfc687888	VARIOUS,	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	3.92	0.00	3.92		0.78
06/21/2018	mfc687888	VARIOUS,	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	7.81	0.00	7.81		1.56
06/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	5.58	0.00	5.58		1.12
06/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	5.60	0.00	5.60		1.12
06/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.07	0.00	6.07		1.21
06/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.21	0.00	6.21		1.24
06/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.52	0.00	6.52		1.30
06/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.74	0.00	6.74		1.35
06/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.91	0.00	6.91		1.38
06/21/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	9.03	0.00	9.03		1.81

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06/21/2018	mfc687888	VARIOUS, MFT BLK 091928846	B	0.00	9.30	0.00	9.30		1.86
06/21/2018	mfc687888	VARIOUS, MFT BLK 091928846	B	0.00	10.26	0.00	10.26		2.05
06/21/2018	mfc687888	VARIOUS, MFT BLK 091928846	B	0.00	10.81	0.00	10.81		2.16
06/21/2018	mfc687888	VARIOUS, MFT BLK 091928846	B	0.00	11.46	0.00	11.46		2.29
06/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	4.44	0.00	4.44		0.89
06/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	5.99	0.00	5.99		1.20
06/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	6.77	0.00	6.77		1.35
06/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	7.16	0.00	7.16		1.43
06/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	7.41	0.00	7.41		1.48
06/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	7.54	0.00	7.54		1.51
06/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	8.34	0.00	8.34		1.67
06/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	8.98	0.00	8.98		1.80
06/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	11.07	0.00	11.07		2.21
06/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	11.61	0.00	11.61		2.32
06/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	11.64	0.00	11.64		2.33
06/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	12.40	0.00	12.40		2.48
06/21/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	16.93	0.00	16.93		3.39
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	1.48	0.00	1.48		0.30
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	2.83	0.00	2.83		0.57
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	2.93	0.00	2.93		0.59
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.43	0.00	4.43		0.89
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.64	0.00	4.64		0.93
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.70	0.00	4.70		0.94
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.76	0.00	4.76		0.95
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	5.27	0.00	5.27		1.05
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	6.25	0.00	6.25		1.25
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	6.37	0.00	6.37		1.27
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.15	0.00	7.15		1.43
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.21	0.00	7.21		1.44
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.67	0.00	7.67		1.53
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.69	0.00	7.69		1.54
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.89	0.00	7.89		1.58
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.02	0.00	8.02		1.60
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.84	0.00	8.84		1.77
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	10.29	0.00	10.29		2.06
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	11.49	0.00	11.49		2.30
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	14.09	0.00	14.09		2.82
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	16.05	0.00	16.05		3.21
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	18.69	0.00	18.69		3.74
06/21/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	19.44	0.00	19.44		3.89
06/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	3.99	0.00	3.99		0.80
06/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.33	0.00	4.33		0.87
06/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.56	0.00	4.56		0.91
06/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.69	0.00	4.69		0.94

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06/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND	- B	0.00	4.78	0.00	4.78		0.96
06/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND	- B	0.00	5.48	0.00	5.48		1.10
06/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND	- B	0.00	5.77	0.00	5.77		1.15
06/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND	- B	0.00	7.30	0.00	7.30		1.46
06/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND	- B	0.00	9.70	0.00	9.70		1.94
06/21/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND	- B	0.00	16.25	0.00	16.25		3.25
06/21/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	1.23	0.00	1.23		0.25
06/21/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	5.24	0.00	5.24		1.05
06/21/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	5.88	0.00	5.88		1.18
06/21/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	6.37	0.00	6.37		1.27
06/21/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	7.84	0.00	7.84		1.57
06/21/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	11.18	0.00	11.18		2.24
06/21/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	12.24	0.00	12.24		2.45
06/21/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	12.89	0.00	12.89		2.58
06/21/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	15.44	0.00	15.44		3.09
* CHelsea SCHLOSSER-687888 SUBTOTAL *				\$-2,695.10	\$563.75	\$10.00	\$553.75		\$110.78
*** OVERRIDE TRANSACTIONS TOTAL ***				\$-2,695.10	\$563.75	\$10.00	\$553.75		\$110.78

ADJUSTMENTS TRANSACTIONS

BROKER: #0

06/30/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
06/30/2018	: E&O	0.00	0.00	0.00	0.00	-120.00
06/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-175.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-175.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,830.29
Split Transactions	\$0.00
Override Transactions	\$110.78
Adjustments	\$-175.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,766.07
Year-to-date Commissions	\$10,184.78