

## COMMISSION STATEMENT

April 30, 2017

CHARLES LAWRENCE  
10729 NORTHRIDGE COURT

BROKER #:  
BRANCH: HOME OFFICE

TRINITY, FL 34655

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

04/25/2017	75502023 APPEL, CHARLES	**DEUTSCHE GLOBAL	S	10,720.41	0.00	0.00	0.00	60.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$10,720.41	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

04/11/2017	75501981 LAWRENCE, VICTORIA	VERTEX PHARMACEUTICALS INC	B	22,305.28	0.00	0.00	0.00	60.0	0.00
04/12/2017	75501981 LAWRENCE, VICTORIA	BROADCOM LIMITED SHS	B	10,454.38	0.00	0.00	0.00	60.0	0.00
04/12/2017	75501981 LAWRENCE, VICTORIA	COSTCO WHOLESALE CORP-NEW	S	25,615.05	0.00	0.00	0.00	60.0	0.00
04/17/2017	75501981 LAWRENCE, VICTORIA	BROADCOM LIMITED SHS	S	10,682.50	0.00	0.00	0.00	60.0	0.00
04/18/2017	75501981 LAWRENCE, VICTORIA	GOLDMAN SACHS GROUP INC	B	16,263.75	0.00	0.00	0.00	60.0	0.00
04/20/2017	75501981 LAWRENCE, VICTORIA	VERTEX PHARMACEUTICALS INC	S	23,281.40	0.00	0.00	0.00	60.0	0.00
04/25/2017	75501981 LAWRENCE, VICTORIA	WHIRLPOOL CORP	S	18,275.00	0.00	0.00	0.00	60.0	0.00
04/11/2017	75501986 LAWRENCE, CHRISTOPHER	MICRON TECHNOLOGY INC	B	10,711.68	100.00	0.00	100.00	60.0	60.00
04/17/2017	75501986 LAWRENCE, CHRISTOPHER	NINTENDO CO LTD-ADR NEW	S	9,896.25	55.00	0.00	55.00	60.0	33.00
04/19/2017	75501986 LAWRENCE, CHRISTOPHER	PROSHARES TRUST ULTRA GOLD MINERS	B	7,108.78	55.00	0.00	55.00	60.0	33.00
04/25/2017	75501986 LAWRENCE, CHRISTOPHER	DIREXION SHS ETF TR DAILY FINL BULL S	S	10,395.00	55.00	0.00	55.00	60.0	33.00
04/25/2017	75501986 LAWRENCE, CHRISTOPHER	VALEANT PHARMACEUTICALS INTERNATION S	S	3,168.69	55.00	0.00	55.00	60.0	33.00
03/29/2017	75501987 SCHWARTZ, MARILYN	ETSY INC COM	S	16,934.12	55.00	0.00	55.00	60.0	33.00
03/30/2017	75501987 SCHWARTZ, MARILYN	ALIBABA GROUP HOLDING LTD SPONSORED S	S	27,329.20	55.00	0.00	55.00	60.0	33.00
03/30/2017	75501987 SCHWARTZ, MARILYN	GARTNER INC	B	21,720.00	55.00	0.00	55.00	60.0	33.00
04/20/2017	75501987 SCHWARTZ, MARILYN	COSTCO WHOLESALE CORP-NEW	S	29,914.54	55.00	0.00	55.00	60.0	33.00
03/29/2017	75501988 LAWRENCE, CHRISTOPHER	CONSTELLATION BRANDS INC CL A	S	8,171.13	0.00	0.00	0.00	60.0	0.00
04/03/2017	75501988 LAWRENCE, CHRISTOPHER	MARATHON OIL CORP	B	7,838.50	0.00	0.00	0.00	60.0	0.00
04/04/2017	75501988 LAWRENCE, CHRISTOPHER	NETEASE INC SPONSORED ADR	B	13,675.00	0.00	0.00	0.00	60.0	0.00
04/06/2017	75501988 LAWRENCE, CHRISTOPHER	CONSTELLATION BRANDS INC CL A	S	8,642.13	0.00	0.00	0.00	60.0	0.00
04/11/2017	75501988 LAWRENCE, CHRISTOPHER	MICRON TECHNOLOGY INC	B	8,057.60	0.00	0.00	0.00	60.0	0.00
04/17/2017	75501988 LAWRENCE, CHRISTOPHER	MICRON TECHNOLOGY INC	S	8,145.00	0.00	0.00	0.00	60.0	0.00
04/20/2017	75501988 LAWRENCE, CHRISTOPHER	TWILIO INC CL A	S	3,205.00	0.00	0.00	0.00	60.0	0.00
04/21/2017	75501988 LAWRENCE, CHRISTOPHER	SNAP INC CLASS A COMMON STOCK	B	13,538.66	0.00	0.00	0.00	60.0	0.00
03/31/2017	75501989 BINES, BURTON	GARTNER INC	B	32,340.00	0.00	0.00	0.00	60.0	0.00
04/05/2017	75501989 BINES, BURTON	NVIDIA CORP	S	28,085.81	0.00	0.00	0.00	60.0	0.00
04/07/2017	75501989 BINES, BURTON	STARBUCKS CORP	B	28,850.00	0.00	0.00	0.00	60.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/13/2017	75501989 BINES, BURTON	PIONEER NATURAL RESOURCES COMPANY	B	18,266.51	0.00	0.00	0.00	60.0	0.00
04/20/2017	75501989 BINES, BURTON	COSTCO WHOLESALE CORP-NEW	S	17,097.70	0.00	0.00	0.00	60.0	0.00
04/20/2017	75501989 BINES, BURTON	STARBUCKS CORP	S	30,031.00	0.00	0.00	0.00	60.0	0.00
04/21/2017	75501989 BINES, BURTON	PIONEER NATURAL RESOURCES COMPANY	B	17,381.00	0.00	0.00	0.00	60.0	0.00
04/25/2017	75501989 BINES, BURTON	CITIGROUP INC COM	S	36,330.00	0.00	0.00	0.00	60.0	0.00
03/29/2017	75501990 LAWRENCE, JEFFREY	CONSTELLATION BRANDS INC CL A	S	4,086.56	0.00	0.00	0.00	60.0	0.00
04/03/2017	75501990 LAWRENCE, JEFFREY	MARATHON OIL CORP	B	2,345.49	0.00	0.00	0.00	60.0	0.00
04/04/2017	75501990 LAWRENCE, JEFFREY	NETEASE INC SPONSORED ADR	B	6,837.50	0.00	0.00	0.00	60.0	0.00
04/06/2017	75501990 LAWRENCE, JEFFREY	CONSTELLATION BRANDS INC CL A	S	4,244.81	0.00	0.00	0.00	60.0	0.00
04/11/2017	75501990 LAWRENCE, JEFFREY	MICRON TECHNOLOGY INC	B	6,052.19	0.00	0.00	0.00	60.0	0.00
04/17/2017	75501990 LAWRENCE, JEFFREY	MICRON TECHNOLOGY INC	S	6,108.75	0.00	0.00	0.00	60.0	0.00
04/20/2017	75501990 LAWRENCE, JEFFREY	TWILIO INC CL A	S	2,403.75	0.00	0.00	0.00	60.0	0.00
04/21/2017	75501990 LAWRENCE, JEFFREY	SNAP INC CLASS A COMMON STOCK	B	6,765.82	0.00	0.00	0.00	60.0	0.00
04/13/2017	75501991 NSH 81 LLC	AMAZON.COM INC	B	22,191.75	0.00	0.00	0.00	60.0	0.00
04/13/2017	75501991 NSH 81 LLC	MARATHON OIL CORP	B	10,404.55	0.00	0.00	0.00	60.0	0.00
04/13/2017	75501991 NSH 81 LLC	TJX COMPANIES INC NEW	S	38,595.75	0.00	0.00	0.00	60.0	0.00
04/13/2017	75501992 THE BARBARA & NEAL	MARATHON OIL CORP	B	10,378.55	0.00	0.00	0.00	60.0	0.00
04/13/2017	75501992 THE BARBARA & NEAL	TJX COMPANIES INC NEW	S	19,295.70	0.00	0.00	0.00	60.0	0.00
04/03/2017	75501999 LAWRENCE, CHARLES	DAVE & BUSTERS ENTERTAINMENT INC CO B	S	15,423.11	150.00	0.00	150.00	60.0	90.00
04/07/2017	75501999 LAWRENCE, CHARLES	TWILIO INC CL A	S	2,810.00	55.00	0.00	55.00	60.0	33.00
04/10/2017	75501999 LAWRENCE, CHARLES	AK STEEL HOLDING CORP	B	3,962.64	55.00	0.00	55.00	60.0	33.00
04/20/2017	75501999 LAWRENCE, CHARLES	DAVE & BUSTERS ENTERTAINMENT INC CO S	S	15,814.39	75.00	0.00	75.00	60.0	45.00
04/25/2017	75501999 LAWRENCE, CHARLES	DIREXION SHARES ETF TRUST DAILY GOL B	B	4,831.74	55.00	0.00	55.00	60.0	33.00
03/31/2017	75502000 LAWRENCE, WILLIAM	FIREEYE INC COM	B	9,629.12	100.00	0.00	100.00	60.0	60.00
04/24/2017	75502000 LAWRENCE, WILLIAM	AKEBIA THERAPEUTICS INC COM	S	9,310.16	55.00	0.00	55.00	60.0	33.00
03/31/2017	75502001 LAWRENCE, CHARLES	CONTINENTAL RESOURCES INC	S	20,417.13	100.00	0.00	100.00	60.0	60.00
04/03/2017	75502001 LAWRENCE, CHARLES	CONTINENTAL RESOURCES INC	B	12,328.22	125.00	0.00	125.00	60.0	75.00
04/03/2017	75502001 LAWRENCE, CHARLES	SNAP INC CLASS A COMMON STOCK	B	11,717.21	125.00	0.00	125.00	60.0	75.00
04/04/2017	75502001 LAWRENCE, CHARLES	CONTINENTAL RESOURCES INC	S	12,677.50	75.00	0.00	75.00	60.0	45.00
04/05/2017	75502001 LAWRENCE, CHARLES	ARCH COAL INC CLASS A COMMON STOCK	B	16,752.89	150.00	0.00	150.00	60.0	90.00
04/10/2017	75502001 LAWRENCE, CHARLES	AK STEEL HOLDING CORP	B	3,812.79	55.00	0.00	55.00	60.0	33.00
04/11/2017	75502001 LAWRENCE, CHARLES	AK STEEL HOLDING CORP	B	3,851.70	55.00	0.00	55.00	60.0	33.00
04/20/2017	75502001 LAWRENCE, CHARLES	FAIRMOUNT SANTROL HLDGS INC COM	B	9,344.38	100.00	0.00	100.00	60.0	60.00
04/21/2017	75502001 LAWRENCE, CHARLES	VALEANT PHARMACEUTICALS INTERNATION S	S	4,462.50	55.00	0.00	55.00	60.0	33.00
04/24/2017	75502001 LAWRENCE, CHARLES	AK STEEL HOLDING CORP	S	7,930.95	75.00	0.00	75.00	60.0	45.00
04/24/2017	75502001 LAWRENCE, CHARLES	VALEANT PHARMACEUTICALS INTERNATION S	S	4,143.62	55.00	0.00	55.00	60.0	33.00
04/25/2017	75502001 LAWRENCE, CHARLES	DIREXION SHARES ETF TRUST DAILY GOL B	B	4,870.94	55.00	0.00	55.00	60.0	33.00
04/25/2017	75502001 LAWRENCE, CHARLES	DIREXION SHARES ETF TRUST DAILY GOL B	B	4,792.54	55.00	0.00	55.00	60.0	33.00
04/25/2017	75502001 LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	S	10,695.00	100.00	0.00	100.00	60.0	60.00
04/11/2017	75502002 LAWRENCE, WILLIAM	MICRON TECHNOLOGY INC	B	15,041.54	150.00	0.00	150.00	60.0	90.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/17/2017	75502002	LAWRENCE, WILLIAM							
		HOWARD HUGHES CORP	S	24,160.00	55.00	0.00	55.00	60.0	33.00
04/17/2017	75502002	LAWRENCE, WILLIAM							
		SAREPTA THERAPEUTICS INC COM	S	9,255.00	55.00	0.00	55.00	60.0	33.00
04/18/2017	75502002	LAWRENCE, WILLIAM							
		VALEANT PHARMACEUTICALS INTERNATION S		4,525.00	55.00	0.00	55.00	60.0	33.00
04/19/2017	75502002	LAWRENCE, WILLIAM							
		DIREXION SHARES ETF TRUST DAILY GOL B		5,677.84	55.00	0.00	55.00	60.0	33.00
04/19/2017	75502002	LAWRENCE, WILLIAM							
		DIREXION SHARES ETF TRUST DAILY GOL B		5,505.57	55.00	0.00	55.00	60.0	33.00
04/19/2017	75502002	LAWRENCE, WILLIAM							
		NINTENDO CO LTD-ADR NEW	S	17,215.00	75.00	0.00	75.00	60.0	45.00
04/20/2017	75502002	LAWRENCE, WILLIAM							
		EXACT SCIENCES CORP	B	13,936.28	150.00	0.00	150.00	60.0	90.00
04/20/2017	75502002	LAWRENCE, WILLIAM							
		MICRON TECHNOLOGY INC	S	15,500.80	75.00	0.00	75.00	60.0	45.00
04/25/2017	75502002	LAWRENCE, WILLIAM							
		HOWARD HUGHES CORP	S	12,275.00	55.00	0.00	55.00	60.0	33.00
03/30/2017	75502006	SHEMIN, VICKI							
		COPA HOLDINGS S A CL A	S	6,664.35	55.00	0.00	55.00	60.0	33.00
03/30/2017	75502006	SHEMIN, VICKI							
		STARBUCKS CORP	B	7,261.24	55.00	0.00	55.00	60.0	33.00
03/30/2017	75502008	DEC, JOHN							
		FAIRMOUNT SANTROL HLDGS INC COM	B	7,300.00	0.00	0.00	0.00	60.0	0.00
04/06/2017	75502008	DEC, JOHN							
		CONTINENTAL RESOURCES INC	B	4,632.99	0.00	0.00	0.00	60.0	0.00
04/25/2017	75502008	DEC, JOHN							
		NIKE INC CL B	S	13,891.00	0.00	0.00	0.00	60.0	0.00
04/05/2017	75502010	KEETER, LANCE							
		NVIDIA CORP	S	5,069.13	0.00	0.00	0.00	60.0	0.00
04/06/2017	75502010	KEETER, LANCE							
		CONTINENTAL RESOURCES INC	B	4,636.99	0.00	0.00	0.00	60.0	0.00
04/11/2017	75502010	KEETER, LANCE							
		STARBUCKS CORP	B	5,766.99	0.00	0.00	0.00	60.0	0.00
04/12/2017	75502010	KEETER, LANCE							
		TJX COMPANIES INC NEW	S	3,855.01	0.00	0.00	0.00	60.0	0.00
04/24/2017	75502010	KEETER, LANCE							
		ANALOG DEVICES INC	S	5,976.94	0.00	0.00	0.00	60.0	0.00
04/25/2017	75502010	KEETER, LANCE							
		ADIDAS AG SPONSORED ADR	S	2,531.25	0.00	0.00	0.00	60.0	0.00
03/30/2017	75502011	DEC, JANICE							
		FAIRMOUNT SANTROL HLDGS INC COM	B	3,650.00	0.00	0.00	0.00	60.0	0.00
04/06/2017	75502011	DEC, JANICE							
		CONTINENTAL RESOURCES INC	B	2,314.00	0.00	0.00	0.00	60.0	0.00
04/25/2017	75502011	DEC, JANICE							
		NIKE INC CL B	S	8,334.20	0.00	0.00	0.00	60.0	0.00
03/30/2017	75502012	DEC, JOHN							
		FAIRMOUNT SANTROL HLDGS INC COM	B	10,950.00	0.00	0.00	0.00	60.0	0.00
04/06/2017	75502012	DEC, JOHN							
		CONTINENTAL RESOURCES INC	B	23,164.95	0.00	0.00	0.00	60.0	0.00
04/19/2017	75502012	DEC, JOHN							
		DYNEGY INC	B	7,937.38	0.00	0.00	0.00	60.0	0.00
04/19/2017	75502012	DEC, JOHN							
		NVIDIA CORP	S	10,036.02	0.00	0.00	0.00	60.0	0.00
04/20/2017	75502012	DEC, JOHN							
		COSTCO WHOLESALE CORP-NEW	S	17,094.02	0.00	0.00	0.00	60.0	0.00
04/20/2017	75502012	DEC, JOHN							
		GOLAR LNG LIMITED COM STK USD1.00	S	6,550.00	0.00	0.00	0.00	60.0	0.00
04/24/2017	75502012	DEC, JOHN							
		ANALOG DEVICES INC	S	19,931.70	0.00	0.00	0.00	60.0	0.00
04/25/2017	75502012	DEC, JOHN							
		CATALENT INC COM	S	14,555.65	0.00	0.00	0.00	60.0	0.00
04/11/2017	75502013	DEC, JOHN							
		DIAGEO PLC-SPONSORED ADR REPSTG 4 O S		11,579.50	0.00	0.00	0.00	60.0	0.00
04/12/2017	75502013	DEC, JOHN							
		ACCENTURE PLC IRELAND SHS CL A	B	11,589.70	0.00	0.00	0.00	60.0	0.00
04/12/2017	75502013	DEC, JOHN							
		TJX COMPANIES INC NEW	S	11,562.41	0.00	0.00	0.00	60.0	0.00
04/11/2017	75502014	DEC, JOHN							
		DIAGEO PLC-SPONSORED ADR REPSTG 4 O S		11,581.00	0.00	0.00	0.00	60.0	0.00
04/12/2017	75502014	DEC, JOHN							
		ACCENTURE PLC IRELAND SHS CL A	B	11,597.77	0.00	0.00	0.00	60.0	0.00
04/12/2017	75502014	DEC, JOHN							
		TJX COMPANIES INC NEW	S	11,562.41	0.00	0.00	0.00	60.0	0.00
04/05/2017	75502021	ARCARO, DANIEL							
		NVIDIA CORP	S	4,569.41	0.00	0.00	0.00	60.0	0.00
04/06/2017	75502021	ARCARO, DANIEL							
		CONTINENTAL RESOURCES INC	B	1,852.40	0.00	0.00	0.00	60.0	0.00
04/11/2017	75502021	ARCARO, DANIEL							
		STARBUCKS CORP	B	3,466.19	0.00	0.00	0.00	60.0	0.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
04/20/2017	75502021	ARCARO, DANIEL		DIAGEO PLC-SPONSORED ADR REPSTG 4 O S	2,869.25	0.00	0.00	0.00	60.0	0.00
04/24/2017	75502022	APPEL, CHARLES		ANALOG DEVICES INC S	5,576.38	55.00	0.00	55.00	60.0	33.00
04/06/2017	75502023	APPEL, CHARLES		CONTINENTAL RESOURCES INC B	4,665.99	55.00	0.00	55.00	60.0	33.00
04/12/2017	75502023	APPEL, CHARLES		DOLLAR TREE INC S	11,601.41	55.00	0.00	55.00	60.0	33.00
04/06/2017	75502035	ROMANO, LISA		ACCENTURE PLC IRELAND SHS CL A B	2,941.25	0.00	0.00	0.00	60.0	0.00
04/10/2017	75502035	ROMANO, LISA		STARBUCKS CORP B	2,896.50	0.00	0.00	0.00	60.0	0.00
04/12/2017	75502035	ROMANO, LISA		TJX COMPANIES INC NEW S	2,313.00	0.00	0.00	0.00	60.0	0.00
04/20/2017	75502035	ROMANO, LISA		COSTCO WHOLESALE CORP-NEW S	2,564.74	0.00	0.00	0.00	60.0	0.00
04/24/2017	75502035	ROMANO, LISA		ANALOG DEVICES INC S	2,793.09	0.00	0.00	0.00	60.0	0.00
04/06/2017	75502036	ROMANO, MARK		ACCENTURE PLC IRELAND SHS CL A B	4,706.00	0.00	0.00	0.00	60.0	0.00
04/10/2017	75502036	ROMANO, MARK		STARBUCKS CORP B	4,925.74	0.00	0.00	0.00	60.0	0.00
04/12/2017	75502036	ROMANO, MARK		TJX COMPANIES INC NEW S	4,626.01	0.00	0.00	0.00	60.0	0.00
04/20/2017	75502036	ROMANO, MARK		COSTCO WHOLESALE CORP-NEW S	5,128.88	0.00	0.00	0.00	60.0	0.00
04/24/2017	75502036	ROMANO, MARK		ANALOG DEVICES INC S	3,990.13	0.00	0.00	0.00	60.0	0.00
04/11/2017	75502043	MCCORD, ELIZABETH		TJX COMPANIES INC NEW S	24,273.71	0.00	0.00	0.00	60.0	0.00
04/17/2017	75502060	MASSEY, GEORGE		COSTCO WHOLESALE CORP-NEW S	1,693.63	0.00	0.00	0.00	60.0	0.00
04/17/2017	75502060	MASSEY, GEORGE		DIAGEO PLC-SPONSORED ADR REPSTG 4 O S	1,739.70	0.00	0.00	0.00	60.0	0.00
04/17/2017	75502060	MASSEY, GEORGE		UNITED STATES STL CORP NEW S	1,730.55	0.00	0.00	0.00	60.0	0.00
* STOCKS SUBTOTAL *				\$1,358,592.95	\$3,320.00	\$0.00	\$3,320.00		\$1,992.00	
PRODUCT CATEGORY: BONDS										
04/10/2017	75502073	HAND FAMILY LP		HERTZ CORP SR NT FULLY EXCH FROM 40 B	46,250.00	1,000.00	0.00	1,000.00	60.0	600.00
04/10/2017	75502073	HAND FAMILY LP		JPMORGAN CHASE & CO PERP 10PFD-V FI S	25,250.00	0.00	0.00	0.00	60.0	0.00
* BONDS SUBTOTAL *				\$71,500.00	\$1,000.00	\$0.00	\$1,000.00		\$600.00	
PRODUCT CATEGORY: RIA										
04/18/2017	75501981	LAWRENCE, VICTORIA		FAS FEES B	-1,291.65	1,123.74	0.00	1,123.74	60.0	674.24
04/18/2017	75501988	LAWRENCE, CHRISTOPHER		FAS FEES B	-344.19	258.14	0.00	258.14	60.0	154.88
04/18/2017	75501989	BINES, BURTON		FAS FEES B	-3,945.92	3,511.87	0.00	3,511.87	60.0	2,107.12
04/18/2017	75501990	LAWRENCE, JEFFREY		FAS FEES B	-196.07	127.45	0.00	127.45	60.0	76.47
04/18/2017	75501991	NSH 81 LLC		FAS FEES B	-3,114.19	2,771.63	0.00	2,771.63	60.0	1,662.98
04/17/2017	75501992	THE BARBARA & NEAL		FAS FEES B	27.05	-23.53	0.00	-23.53	60.0	0.00
04/18/2017	75501992	THE BARBARA & NEAL		FAS FEES B	-1,372.94	1,194.46	0.00	1,194.46	60.0	716.68
04/18/2017	75502008	DEC, JOHN		FAS FEES B	-740.48	607.19	0.00	607.19	60.0	364.31
04/18/2017	75502010	KEETER, LANCE		FAS FEES B	-685.67	593.01	0.00	593.01	60.0	355.81
04/18/2017	75502011	DEC, JANICE		FAS FEES B	-356.09	267.07	0.00	267.07	60.0	160.24
04/18/2017	75502012	DEC, JOHN		FAS FEES B	-3,038.93	2,704.65	0.00	2,704.65	60.0	1,622.79
04/18/2017	75502013	DEC, JOHN		FAS FEES B	-524.64	393.48	0.00	393.48	60.0	236.09
04/18/2017	75502014	DEC, JOHN		FAS FEES B	-492.38	369.28	0.00	369.28	60.0	221.57
04/18/2017	75502021	ARCARO, DANIEL		FAS FEES B	-337.32	273.50	0.00	273.50	60.0	164.10

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
04/18/2017	75502035 ROMANO, LISA	FAS FEES	B	-249.66	191.41	0.00	191.41	60.0	114.85
04/18/2017	75502036 ROMANO, MARK	FAS FEES	B	-410.05	341.71	0.00	341.71	60.0	205.03
04/18/2017	75502041 MCCORD, ELIZABETH	FAS FEES	B	-962.45	789.21	0.00	789.21	60.0	473.53
04/18/2017	75502043 MCCORD, ELIZABETH	FAS FEES	B	-2,587.80	1,334.76	0.00	1,334.76	60.0	800.86
04/18/2017	75502044 MCCORD, ELIZABETH	FAS FEES	B	-1,228.36	1,007.26	0.00	1,007.26	60.0	604.36
04/18/2017	75502060 MASSEY, GEORGE	FAS FEES	B	-293.90	211.61	0.00	211.61	60.0	126.97
04/18/2017	75502061 MASSEY, GEORGE	FAS FEES	B	-193.72	139.48	0.00	139.48	60.0	83.69
<b>* RIA SUBTOTAL *</b>				<b>\$-22,339.36</b>	<b>\$18,187.38</b>	<b>\$0.00</b>	<b>\$18,187.38</b>		<b>\$10,926.57</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/11/2017	75502005 SHEMIN, VICKI	THE INCOME FUND OF AMERICA-A	B	0.00	4.38	0.00	4.38	60.0	2.63
04/11/2017	75502022 APPEL, CHARLES	MFS INTERNTL DIVERSIFICATION FUND-A	B	0.00	4.58	0.00	4.58	60.0	2.75
04/11/2017	75502023 APPEL, CHARLES	**CLEARBRIDGE AGGRESSIVE	B	0.00	9.59	0.00	9.59	60.0	5.75
04/11/2017	75502023 APPEL, CHARLES	**DEUTSCHE GLOBAL	B	0.00	29.03	0.00	29.03	60.0	17.42
04/11/2017	75502023 APPEL, CHARLES	JANUS INVT FD GLOBAL LIFE SCIENCES	B	0.00	9.84	0.00	9.84	60.0	5.90
04/11/2017	75502023 APPEL, CHARLES	JANUS INVT FD TRITON FD CL C	B	0.00	14.85	0.00	14.85	60.0	8.91
04/11/2017	75502023 APPEL, CHARLES	JOHN HANCOCK FDS III DISCIPLINED VA	B	0.00	10.05	0.00	10.05	60.0	6.03
04/11/2017	75502070 HAND, STEPHEN	LORD ABBETT SECS TR INTL DIVIDEND I	B	0.00	9.29	0.00	9.29	60.0	5.57
04/11/2017	75502070 HAND, STEPHEN	LORD ABBETT MULTI ASSET INCOME FUND	B	0.00	9.54	0.00	9.54	60.0	5.72
04/11/2017	75502070 HAND, STEPHEN	LORD ABBETT HIGH YIELD FUND CL-C	B	0.00	8.49	0.00	8.49	60.0	5.09
04/11/2017	75502070 HAND, STEPHEN	NUVEEN SYMPHONY CREDIT OPPORTUNITIE	B	0.00	10.83	0.00	10.83	60.0	6.50
04/27/2017	75502070 HAND, STEPHEN	**ALPS ALERIAN MLP	B	0.00	6.09	0.00	6.09	60.0	3.65
04/27/2017	75502070 HAND, STEPHEN	**ALPS ALERIAN MLP	B	0.00	18.26	0.00	18.26	60.0	10.96
04/27/2017	75502070 HAND, STEPHEN	ALPS RED ROCKS LISTED PRIVATE EQUIT	B	0.00	7.69	0.00	7.69	60.0	4.61
04/27/2017	75502070 HAND, STEPHEN	ALPS RED ROCKS LISTED PRIVATE EQUIT	B	0.00	23.08	0.00	23.08	60.0	13.85
04/11/2017	75502071 HAND, STEPHEN	LORD ABBETT MULTI ASSET INCOME FUND	B	0.00	2.39	0.00	2.39	60.0	1.43
04/11/2017	75502071 HAND, STEPHEN	NUVEEN SYMPHONY CREDIT OPPORTUNITIE	B	0.00	2.71	0.00	2.71	60.0	1.63
04/27/2017	75502071 HAND, STEPHEN	**ALPS ALERIAN MLP	B	0.00	4.56	0.00	4.56	60.0	2.74
04/27/2017	75502071 HAND, STEPHEN	**ALPS ALERIAN MLP	B	0.00	1.52	0.00	1.52	60.0	0.91
04/27/2017	75502071 HAND, STEPHEN	ALPS RED ROCKS LISTED PRIVATE EQUIT	B	0.00	5.76	0.00	5.76	60.0	3.46
04/27/2017	75502071 HAND, STEPHEN	ALPS RED ROCKS LISTED PRIVATE EQUIT	B	0.00	1.92	0.00	1.92	60.0	1.15
04/11/2017	75502073 HAND FAMILY LP	**AB HIGH INCOME MUNICIPAL	B	0.00	171.63	0.00	171.63	60.0	102.98
04/11/2017	75502073 HAND FAMILY LP	LORD ABBETT TAX-FREE INCOME TR HIGH	B	0.00	126.17	0.00	126.17	60.0	75.70
04/27/2017	75502073 HAND FAMILY LP	**ALPS ALERIAN MLP	B	0.00	21.08	0.00	21.08	60.0	12.65
04/27/2017	75502073 HAND FAMILY LP	**ALPS ALERIAN MLP	B	0.00	63.24	0.00	63.24	60.0	37.94
04/27/2017	75502073 HAND FAMILY LP	ALPS RED ROCKS LISTED PRIVATE EQUIT	B	0.00	28.56	0.00	28.56	60.0	17.14
04/27/2017	75502073 HAND FAMILY LP	ALPS RED ROCKS LISTED PRIVATE EQUIT	B	0.00	85.68	0.00	85.68	60.0	51.41
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$690.81</b>	<b>\$0.00</b>	<b>\$690.81</b>		<b>\$414.48</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$1,418,474.00</b>	<b>\$23,198.19</b>	<b>\$0.00</b>	<b>\$23,198.19</b>		<b>\$13,933.05</b>

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

04/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$13,933.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-29.00
SIPC Assessment	\$-434.97

Check Amount	\$13,449.08
Year-to-date Earnings	\$25,360.08