

COMMISSION STATEMENT

May 31, 2018

PHYLLIS FATTORUSO
89-40 151ST AVENUE
APT 5G
HOWARD BEACH, NY 11414

BROKER #: P80
BRANCH: HOME OFFICE

COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

05/07/2018	QGW007700	SARNA, MARK	LEXINFINTech HOLDINGS LTD SHS SPONS B	17,028.00	50.00	0.00	50.00	52.0	26.00
05/09/2018	QGW007700	SARNA, MARK	LEXINFINTech HOLDINGS LTD SHS SPONS B	16,786.40	50.00	0.00	50.00	52.0	26.00
05/17/2018	QGW007700	SARNA, MARK	CONDUEnt INC COM	S -15,290.40	40.00	0.00	40.00	52.0	20.80
05/17/2018	QGW007700	SARNA, MARK	WHITING PETROLEUM CORPORATION COMMO S	-12,945.80	20.00	0.00	20.00	52.0	10.40
05/18/2018	QGW007700	SARNA, MARK	BANK OF AMERICA CORP	B 29,000.00	50.00	0.00	50.00	52.0	26.00
05/18/2018	QGW007700	SARNA, MARK	FREEPORt MCMORAN INC	B 14,000.00	50.00	0.00	50.00	52.0	26.00
05/21/2018	QGW007700	SARNA, MARK	MARATHON OIL CORP	S -21,915.00	50.00	0.00	50.00	52.0	26.00
05/25/2018	QGW007700	SARNA, MARK	BANK OF AMERICA CORP	S -60,136.80	100.00	0.00	100.00	52.0	52.00
04/27/2018	QGW007825	SARNA FAMILY LTD	FACEBOOK INC CL A	S -346,792.80	100.00	0.00	100.00	52.0	52.00
05/07/2018	QGW007825	SARNA FAMILY LTD	GOLDMAN SACHS GROUP INC	S -474,964.60	100.00	0.00	100.00	52.0	52.00
05/07/2018	QGW007825	SARNA FAMILY LTD	LEXINFINTech HOLDINGS LTD SHS SPONS B	17,028.00	50.00	0.00	50.00	52.0	26.00
05/09/2018	QGW007825	SARNA FAMILY LTD	LEXINFINTech HOLDINGS LTD SHS SPONS B	16,786.40	50.00	0.00	50.00	52.0	26.00
05/18/2018	QGW007825	SARNA FAMILY LTD	APPLE INC	B 155,000.00	50.00	0.00	50.00	52.0	26.00
05/18/2018	QGW007825	SARNA FAMILY LTD	ARCONIC INC COM	B 80,000.00	250.00	0.00	250.00	52.0	130.00
05/18/2018	QGW007825	SARNA FAMILY LTD	BANK OF AMERICA CORP	B 29,000.00	50.00	0.00	50.00	52.0	26.00
05/18/2018	QGW007825	SARNA FAMILY LTD	FREEPORt MCMORAN INC	B 14,000.00	50.00	0.00	50.00	52.0	26.00
05/24/2018	QGW020927	KNIGHT, DAVID	GERON CORP	B 3,440.92	50.00	0.00	50.00	52.0	26.00

* STOCKS SUBTOTAL *				\$-539,975.68	\$1,160.00	\$0.00	\$1,160.00		\$603.20
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PRODUCT CATEGORY: OPTIONS

04/30/2018	QGW007700	SARNA, MARK	CALL 100 ARCONIC INC COM EXP 05-18- B	11,162.50	150.00	0.00	150.00	52.0	78.00
04/30/2018	QGW007700	SARNA, MARK	CALL 100 ARCONIC INC COM EXP 06-15- B	7,245.00	90.00	0.00	90.00	52.0	46.80
05/02/2018	QGW007700	SARNA, MARK	CALL 100 APPLE INC COM EXP 05-18-18 S	-22,690.00	40.00	0.00	40.00	52.0	20.80
05/04/2018	QGW007700	SARNA, MARK	CALL 100 ALCOA CORP COM EXP 05-18-1 S	-6,500.00	40.00	0.00	40.00	52.0	20.80
05/04/2018	QGW007700	SARNA, MARK	CALL 100 UNITED STS STL CORP NEW CO S	-6,650.00	40.00	0.00	40.00	52.0	20.80
05/09/2018	QGW007700	SARNA, MARK	CALL 100 UNITED STS STL CORP NEW CO S	-7,350.00	40.00	0.00	40.00	52.0	20.80
05/14/2018	QGW007700	SARNA, MARK	CALL 100 BANK AMER CORP COM EXP 05- S	-2,170.00	30.00	0.00	30.00	52.0	15.60
05/14/2018	QGW007700	SARNA, MARK	CALL 100 TESLA INC EXP 06-15-18 @27 B	26,200.00	40.00	0.00	40.00	52.0	20.80
05/15/2018	QGW007700	SARNA, MARK	CALL 100 BANK AMER CORP COM EXP 05- S	-2,265.00	30.00	0.00	30.00	52.0	15.60
05/17/2018	QGW007700	SARNA, MARK	CALL 100 ARCONIC INC COM EXP 05-18- S	-8,360.00	120.00	0.00	120.00	52.0	62.40
05/17/2018	QGW007700	SARNA, MARK	CALL 100 ARCONIC INC COM EXP 05-18- S	-2,070.00	30.00	0.00	30.00	52.0	15.60

COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
05/17/2018	QGW007700	SARNA, MARK	CALL 100	GOLDMAN SACHS GROUP INC CO B	15,736.00	80.00	0.00	80.00	52.0	41.60
05/18/2018	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 05- S	-5,200.00	120.00	0.00	120.00	52.0	62.40
05/18/2018	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 06- B	10,500.00	180.00	0.00	180.00	52.0	93.60
05/25/2018	QGW007700	SARNA, MARK	CALL 100	GENERAL ELECTRIC CO COM EX B	3,513.00	60.00	0.00	60.00	52.0	31.20
05/29/2018	QGW007700	SARNA, MARK	CALL 100	BANCO SANTANDER SA ADR ISI B	3,000.00	60.00	0.00	60.00	52.0	31.20
05/29/2018	QGW007700	SARNA, MARK	CALL 100	BANK OF AMERICA CORPORATIO B	10,750.00	120.00	0.00	120.00	52.0	62.40
05/29/2018	QGW007700	SARNA, MARK	CALL 100	GENERAL ELECTRIC CO COM EX B	1,340.00	30.00	0.00	30.00	52.0	15.60
04/30/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ARCONIC INC COM EXP 05-18- B	11,162.50	150.00	0.00	150.00	52.0	78.00
04/30/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ARCONIC INC COM EXP 06-15- B	7,245.00	90.00	0.00	90.00	52.0	46.80
05/04/2018	QGW007825	SARNA FAMILY LTD	CALL 100	UNITED STS STL CORP NEW CO S	-6,650.00	40.00	0.00	40.00	52.0	20.80
05/07/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	46,150.00	80.00	0.00	80.00	52.0	41.60
05/14/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 05- S	-2,170.00	30.00	0.00	30.00	52.0	15.60
05/14/2018	QGW007825	SARNA FAMILY LTD	CALL 100	TESLA INC EXP 06-15-18 @27 B	26,200.00	40.00	0.00	40.00	52.0	20.80
05/14/2018	QGW007825	SARNA FAMILY LTD	CALL 100	UNITED STS STL CORP NEW CO S	-8,250.00	40.00	0.00	40.00	52.0	20.80
05/15/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 05- S	-2,265.00	30.00	0.00	30.00	52.0	15.60
05/17/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	15,800.00	80.00	0.00	80.00	52.0	41.60
05/18/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALCOA CORP COM EXP 05-18-1 S	-2,700.00	30.00	0.00	30.00	52.0	15.60
05/18/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 05- S	-3,900.00	90.00	0.00	90.00	52.0	46.80
05/18/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 06- B	8,750.00	150.00	0.00	150.00	52.0	78.00
05/29/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANCO SANTANDER SA ADR ISI B	3,000.00	60.00	0.00	60.00	52.0	31.20
05/29/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK OF AMERICA CORPORATIO B	10,750.00	120.00	0.00	120.00	52.0	62.40
05/29/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX B	1,340.00	30.00	0.00	30.00	52.0	15.60
* OPTIONS SUBTOTAL *					\$130,654.00	\$2,360.00	\$0.00	\$2,360.00		\$1,227.20
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
05/31/2018		FEE COUNT - 2		0.00	24.50	0.00	24.50	52.0	12.74	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$24.50	\$0.00	\$24.50		\$12.74
*** BROKER TRANSACTIONS TOTAL ***					\$-409,321.68	\$3,544.50	\$0.00	\$3,544.50		\$1,843.14
ADJUSTMENTS										
05/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00	
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,843.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-4.43
SIPC Assessment	\$-8.86

Check Amount	\$1,809.85
Year-to-date Earnings	\$6,982.60