



Concorde Investment Services, LLC

01/13/2017
Page No. 1

COMMISSION STATEMENT

January 17, 2017

WILLIAM CASILLAS, JR
4120 Rio Bravo
Ste. 307
El Paso, TX 79902

BROKER #: C124
BRANCH: WILLIAM CASILLAS

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|----------------------------------------------------|------------------------------|-------------------------------------|-----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| / / | GONZALEZ, OMAR | JH 529 PORT 2017-2020 A | 0.00 | 3.78 | 0.00 | 3.78 | 82.0 | 3.10 |
| / / | AGUILAR, VERONICA | JH 529 PORT 2021-2024 A | 0.00 | 0.39 | 0.00 | 0.39 | 82.0 | 0.32 |
| / / | MENDEZ, JESUS | JH 529 PORT 2021-2024 A | 0.00 | 4.89 | 0.00 | 4.89 | 82.0 | 4.01 |
| 01/03/2017 | MENDEZ, JESUS | JH 529 PORT 2021-2024 A | 300.00 | 12.75 | 0.00 | 12.75 | 82.0 | 10.46 |
| / / | MENDEZ, JESUS | JH 529 PORT 2021-2024 B | 0.00 | 1.21 | 0.00 | 1.21 | 82.0 | 0.99 |
| / / | RODRIGUEZ, TRESHA | JH 529 PORT 2029-2032 A | 0.00 | 0.37 | 0.00 | 0.37 | 82.0 | 0.30 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$300.00 | \$23.39 | \$0.00 | \$23.39 | | \$19.18 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 12/30/2016 | CASTILLO, SILVA & JOSE | AXA ACCUM 04 PLUS (NQ) | 71,607.44 | 89.51 | 0.00 | 89.51 | 82.0 | 73.40 |
| 12/30/2016 | IRREV. TRUST, JOSE & CASTILL | AXA ACCUM 04 PLUS (NQ) | 143,364.61 | 179.21 | 0.00 | 179.21 | 82.0 | 146.95 |
| 12/30/2016 | CASTILLO, JOSE | AXA ACCUM 04 PLUS (NQ) | 1,124,573.17 | 1,405.72 | 0.00 | 1,405.72 | 82.0 | 1,152.69 |
| 12/30/2016 | BOCK, PATRICIA | AXA ACCUM 06 PLUS (RO IRA) | 171,745.38 | 214.68 | 0.00 | 214.68 | 82.0 | 176.04 |
| 12/30/2016 | BOCK, JOHN | AXA ACCUM 06 PLUS (RO IRA) | 263,774.01 | 329.72 | 0.00 | 329.72 | 82.0 | 270.37 |
| 12/30/2016 | BOCK, JOHN | AXA ACCUM 06 PLUS (RO IRA) | 105,114.27 | 131.39 | 0.00 | 131.39 | 82.0 | 107.74 |
| 12/30/2016 | BOCK, JOHN | AXA ACCUM 06 PLUS (RO IRA) | 70,960.91 | 88.70 | 0.00 | 88.70 | 82.0 | 72.73 |
| 12/30/2016 | TALAMANTES, MANUEL | AXA ACCUM 07 PLUS | 51,218.63 | 64.02 | 0.00 | 64.02 | 82.0 | 52.50 |
| 12/30/2016 | TALAMANTES, MANUEL | AXA ACCUM PLUS (NQ) | 39,210.43 | 34.31 | 0.00 | 34.31 | 82.0 | 28.13 |
| 12/30/2016 | TALAMANTES, MANUEL | AXA ACCUM PLUS (NQ) | 7,271.80 | 6.36 | 0.00 | 6.36 | 82.0 | 5.22 |
| 12/30/2016 | TALAMANTES, MANUEL | AXA ACCUM PLUS (NQ) | 8,776.07 | 7.68 | 0.00 | 7.68 | 82.0 | 6.30 |
| 12/30/2016 | CASTILLO, JOSE | AXA ACCUM PLUS (NQ) | 308,062.66 | 269.55 | 0.00 | 269.55 | 82.0 | 221.03 |
| 12/30/2016 | TALAMANTES, MANUEL | AXA RETIREMENT CORNERSTONE 12 SER C | 15,070.38 | 16.95 | 0.00 | 16.95 | 82.0 | 13.90 |
| 01/04/2017 | SPINE & REHAB, 401K | GWL GRP ANNUITY 401K | 241,247.56 | 102.45 | 0.00 | 102.45 | 82.0 | 84.01 |
| 12/31/2016 | CASTILLO, JOSE | JOHN HANCOCK VENTURE | 626,983.31 | 391.86 | 0.00 | 391.86 | 82.0 | 321.33 |
| 12/31/2016 | CASTILLO, JOSE | JOHN HANCOCK VENTURE | 69,359.85 | 43.35 | 0.00 | 43.35 | 82.0 | 35.55 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$3,318,340.48 | \$3,375.46 | \$0.00 | \$3,375.46 | | \$2,767.89 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------|--------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>ADJUSTMENTS</u> | | | | | | | | |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$3,318,640.48 | \$3,398.85 | \$0.00 | \$3,398.85 | | \$2,787.07 |
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 01/17/2017 | | *E & O INSURANCE: CURRENT MONTH INS | 0.00 | | | | | -300.00 |
| 01/17/2017 | | *TECH: ADMIN TECH - AUDREY TERRAZAS | 0.00 | | | | | -100.00 |
| 01/17/2017 | | *TECHNOLOGY: CURRENT MONTH TECH | 0.00 | | | | | -275.00 |
| | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-675.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$2,787.07 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-675.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-90.42 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|------------|
| Check Amount | \$2,021.65 |
| Year-to-date Gross | \$3,398.85 |
| Year-to-date Earnings | \$2,787.07 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed