

COMMISSION STATEMENT

December 31, 2017

MICHAEL WOLLOCH

43 SECOR RD

SCARSDALE, NY 10583

BROKER #: 51

BRANCH: HOME OFFICE

COMMISSION STATEMENT for MICHAEL WOLLOCH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
12/04/2017	QGW016040	NEWMAN, M		VERU INC COMMON STOCK	B	10,566.00	175.00	10.00	165.00	45.0	74.25
12/06/2017	QGW016040	NEWMAN, M		BITCOIN INVT TR SHS	B	17,049.40	61.00	10.00	51.00	45.0	22.95
12/11/2017	QGW016040	NEWMAN, M		BITCOIN INVT TR SHS	S	-17,829.90	63.00	10.00	53.00	45.0	23.85
12/18/2017	QGW016099	WOLLEMAN, TODD		ISHARES CORE S&P 500 ETF	B	27,136.99	53.00	10.00	43.00	45.0	19.35
12/18/2017	QGW016099	WOLLEMAN, TODD		ISHARES TR MSCI KLD 400 SOCIAL ETF	B	19,829.92	61.00	10.00	51.00	45.0	22.95
12/18/2017	QGW016099	WOLLEMAN, TODD		ISHARES TR CORE MSCI EUROPE ETF	B	50,325.00	73.00	10.00	63.00	45.0	28.35
12/19/2017	QGW016099	WOLLEMAN, TODD		ETF MANAGERS TR SPIRITED FDS ETFMG	B	3,404.24	53.00	10.00	43.00	45.0	19.35
12/19/2017	QGW016099	WOLLEMAN, TODD		GLOBAL X FDS GLOBAL X CONSCIOUS COS	B	3,927.90	58.00	10.00	48.00	45.0	21.60
12/19/2017	QGW016099	WOLLEMAN, TODD		ISHARES TR MSCI ACWI ETF	B	35,953.30	63.00	10.00	53.00	45.0	23.85
11/29/2017	QGW016198	BEHETTE, ANTHONY		AGENUS INC	B	18,020.96	190.00	10.00	180.00	45.0	81.00
11/29/2017	QGW016198	BEHETTE, ANTHONY		QORVO INC COM	S	-15,449.40	58.00	10.00	48.00	45.0	21.60
11/29/2017	QGW016586	KRAUSS, ANDREW		POWERSHARES QQQ TRUST SERIES 1	B	155,700.00	71.00	10.00	61.00	45.0	27.45
12/01/2017	QGW018467	PALUMMO, CARMINE		AIRWARE LABS CORP COM	S	-1,600.00	61.00	10.00	51.00	45.0	22.95
12/01/2017	QGW018467	PALUMMO, CARMINE		AIRWARE LABS CORP COM	S	-1,600.00	61.00	10.00	51.00	45.0	22.95
12/05/2017	QGW018467	PALUMMO, CARMINE		CLS HLDGS USA INC COM	B	2,080.00	75.00	10.00	65.00	45.0	29.25
12/04/2017	QGW024093	WOLLEMAN, TODD		HORMEL FOODS CORP	S	-18,906.08	63.00	10.00	53.00	45.0	23.85
12/11/2017	QGW024093	WOLLEMAN, TODD		ISHARES TR MSCI INDIA INDEX FD	B	10,601.22	63.00	10.00	53.00	45.0	23.85
12/11/2017	QGW024093	WOLLEMAN, TODD		SELECT SECTOR SPDR TRUST THE HEALTH	B	8,324.65	53.00	10.00	43.00	45.0	19.35
12/11/2017	QGW024093	WOLLEMAN, TODD		SELECT SECTOR SPDR TRUST THE TECHNO	B	12,785.30	63.00	10.00	53.00	45.0	23.85
12/12/2017	QGW024093	WOLLEMAN, TODD		ISHARES CORE S&P 500 ETF	B	26,861.52	53.00	10.00	43.00	45.0	19.35
12/12/2017	QGW024093	WOLLEMAN, TODD		ISHARES GLOBAL INFRASTRUCTURE ETF	B	9,205.42	53.00	10.00	43.00	45.0	19.35
12/12/2017	QGW024093	WOLLEMAN, TODD		ISHARES TR EDGE MSCI USA MOMENTUM F	B	10,290.54	53.00	10.00	43.00	45.0	19.35
12/13/2017	QGW024093	WOLLEMAN, TODD		ISHARES CORE S&P TOTAL U S STOCK MA	B	18,304.56	63.00	10.00	53.00	45.0	23.85
12/13/2017	QGW024093	WOLLEMAN, TODD		SPDR S&P 500 ETF TRUST	B	26,675.65	53.00	10.00	43.00	45.0	19.35
12/15/2017	QGW024093	WOLLEMAN, TODD		GLOBAL X FDS GLOBAL X SOCIAL MEDIA	B	16,706.80	63.00	10.00	53.00	45.0	23.85
12/15/2017	QGW024093	WOLLEMAN, TODD		ISHARES TRUST ISHARES EXPONENTIAL T	B	17,666.95	63.00	10.00	53.00	45.0	23.85
12/15/2017	QGW024093	WOLLEMAN, TODD		MCCORMICK & CO INC NON-VOTING	S	-20,706.00	58.00	10.00	48.00	45.0	21.60
12/07/2017	QGW025579	KRAUSS, NANCY		PHILIP MORRIS INTERNATIONAL INC	S	-4,901.38	61.00	10.00	51.00	45.0	22.95
* STOCKS SUBTOTAL *					\$420,423.56	\$1,938.00	\$280.00	\$1,658.00		\$746.10	

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/11/2017	QGW016115	MAYER, MURRAY	MFS GOVERNMENT SECURITIES FUND CLAS B	0.00	46.89	0.00	46.89	45.0	21.10	
12/15/2017	QGW016123	DEMSKO, DARYL	PUTNAM HIGH-YIELD FUND CLASS A	B	0.00	10.92	0.00	10.92	45.0	4.91
12/11/2017	QGW016131	TUERS, ERIC	SELECTED AMERICAN SHARES CLASS S	B	0.00	1.23	0.00	1.23	45.0	0.55
12/14/2017	QGW016347	AGAR REVOCABLE TRST,	NUVEEN CORE BOND FUND CLASS A	B	0.00	6.12	0.00	6.12	45.0	2.75
12/11/2017	QGW016412	MERGED TRUST, CARA	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	20.07	0.00	20.07	45.0	9.03
12/15/2017	QGW016511	JASON, LAURIE	THE BOND FUND OF AMERICA-A	B	0.00	0.01	0.00	0.01	45.0	0.00
12/21/2017	QGW016628	KRAUSS, SHARON	FRANKLIN INCOME FUND CLASS C	B	0.00	20.49	0.00	20.49	45.0	9.22
12/11/2017	QGW024150	GORDON, ARLENE	SELECTED AMERICAN SHARES CLASS S	B	0.00	5.52	0.00	5.52	45.0	2.48
12/11/2017	QGW036626	MIRZOEFF, DANA	SELECTED AMERICAN SHARES CLASS S	B	0.00	6.66	0.00	6.66	45.0	3.00
12/11/2017	QGW038721	GST TRUST, HEATHER	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	11.57	0.00	11.57	45.0	5.21
12/11/2017	QGW038739	A. BAKER	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	5.41	0.00	5.41	45.0	2.43
12/11/2017	QGW038911	M. LENNIE	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	4.67	0.00	4.67	45.0	2.10
12/11/2017	QGW038929	M. LENNIE GST,	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	10.01	0.00	10.01	45.0	4.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$149.57	\$0.00	\$149.57		\$67.28	
*** BROKER TRANSACTIONS TOTAL ***				\$420,423.56	\$2,087.57	\$280.00	\$1,807.57		\$813.38	

ADJUSTMENTS

12/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
12/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
12/31/2017		OTHER: OTHER-STATE REGIST		0.00	0.00	0.00	0.00		-1,257.00
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-1,285.00

BROKER COMMISSION TOTALS

Broker Transactions	\$813.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,285.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$956.89
FINRA Assessment	\$-2.61
SIPC Assessment	\$-5.22

Check Amount	\$477.44
Year-to-date Earnings	\$544.97