



# COMMISSION STATEMENT

April 09, 2018

WILLIAM FRANCIS  
2 Bobrick Road  
Poughkeepsie, NY 12601

BROKER #: A88  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/26/2018	***-**-8325 - BUECHEL, ACCOUNT #: 00088244527	AMERICAN BALANCED FUND-A BUY 5 Units @27.2900	126.92	2.03	0.00	2.03	70.0	1.42
03/26/2018	***-**-8325 - BUECHEL, ACCOUNT #: 00088244527	AMERICAN BALANCED FUND-A BUY 5 Units @27.2900	126.92	2.03	0.00	2.03	70.0	1.42
03/26/2018	***-**-7033 - TURNER, BRYAN ACCOUNT #: 00089463498	AMERICAN FUNDS 2045 TARGET DATE-A BUY 36 Units @15.9000	565.40	9.05	0.00	9.05	70.0	6.34
03/26/2018	***-**-7033 - TURNER, BRYAN ACCOUNT #: 00089463498	AMERICAN FUNDS 2045 TARGET DATE-A BUY 11 Units @15.9000	169.60	2.71	0.00	2.71	70.0	1.90
03/26/2018	***-**-4858 - GODOFSKY, ACCOUNT #: 04000349982	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 17 Units @18.8500	323.08	5.17	0.00	5.17	70.0	3.62
03/26/2018	***-**-4858 - GODOFSKY, ACCOUNT #: 04000349982	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 7 Units @18.8500	138.48	2.22	0.00	2.22	70.0	1.55
04/04/2018	***-**-943J - DAILY, MATTHEW ACCOUNT #: LSR011610	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 36 Units @13.9000	500.00	13.75	0.00	13.75	70.0	9.63
03/26/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 00075179061	NEW PERSPECTIVE FUND-A BUY 1 Units @45.5000	33.28	0.53	0.00	0.53	70.0	0.37
03/26/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 00075179061	NEW PERSPECTIVE FUND-A BUY 1 Units @45.5000	24.96	0.40	0.00	0.40	70.0	0.28
03/26/2018	***-**-9064 - GUERRERO, NIGEL ACCOUNT #: 00088394220	NEW PERSPECTIVE FUND-A BUY 1 Units @45.5000	38.08	0.61	0.00	0.61	70.0	0.43
03/26/2018	***-**-9064 - GUERRERO, NIGEL ACCOUNT #: 00088394220	NEW PERSPECTIVE FUND-A BUY 1 Units @45.5000	38.08	0.61	0.00	0.61	70.0	0.43
03/26/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 00075179061	THE BOND FUND OF AMERICA-A BUY 3 Units @12.8300	33.28	0.53	0.00	0.53	70.0	0.37
03/26/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 00075179061	THE BOND FUND OF AMERICA-A BUY 2 Units @12.8300	24.96	0.40	0.00	0.40	70.0	0.28
03/26/2018	***-**-9064 - GUERRERO, NIGEL ACCOUNT #: 00088394220	THE BOND FUND OF AMERICA-A BUY 1 Units @12.8300	12.69	0.20	0.00	0.20	70.0	0.14
03/26/2018	***-**-9064 - GUERRERO, NIGEL ACCOUNT #: 00088394220	THE BOND FUND OF AMERICA-A BUY 1 Units @12.8300	12.69	0.20	0.00	0.20	70.0	0.14
03/26/2018	***-**-9064 - GUERRERO, NIGEL ACCOUNT #: 00088394220	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.9100	50.77	0.81	0.00	0.81	70.0	0.57



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
03/26/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	50.77	0.81	0.00	0.81	70.0	0.57
	ACCOUNT #: 00088394220	BUY 1 Units @52.9100						
03/26/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	33.28	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075179061	BUY 1 Units @23.1200						
03/26/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	24.94	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 1 Units @23.1200						
03/26/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	25.38	0.41	0.00	0.41	70.0	0.29
	ACCOUNT #: 00088394220	BUY 1 Units @23.1200						
03/26/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	25.38	0.41	0.00	0.41	70.0	0.29
	ACCOUNT #: 00088394220	BUY 1 Units @23.1200						
03/26/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	24.96	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 1 Units @40.7000						
03/26/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	33.26	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075179061	BUY 1 Units @40.7000						
03/28/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	252.36	5.05	0.00	5.05	70.0	3.54
	ACCOUNT #: 01293376129	BUY 6 Units @45.4200						
* MUTUAL FUNDS SUBTOTAL *			\$2,689.52	\$49.79	\$0.00	\$49.79		\$34.88
<b>PRODUCT CATEGORY: FINANCIAL PLANNING</b>								
04/09/2018	***-**-NNFP - OCONNOR, BARBARA	FINANCIAL PLAN	0.00	250.00	0.00	250.00	70.0	175.00
		BUY 0 Units @0.0000						
* FINANCIAL PLANNING SUBTOTAL *			\$0.00	\$250.00	\$0.00	\$250.00		\$175.00
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
04/04/2018	***-**-943J - DAILY, MATTHEW	AMERICAN FUNDS T/E PRESERVATION POR	1,500.00	30.00	0.00	30.00	70.0	21.00
	ACCOUNT #: LSR011610	BUY 152 Units @9.9000						
04/09/2018	***-**-775P - RYAN, MARY	NEW YORK 529 TRAILS	0.00	2.17	0.00	2.17	70.0	1.52
	ACCOUNT #: 77321355401	BUY 0 Units @0.0000						
04/09/2018	***-**-6717 - DEBONIS, BRENDA	NEW YORK 529 TRAILS	0.00	0.61	0.00	0.61	70.0	0.43
	ACCOUNT #: 77423426901	BUY 0 Units @0.0000						
04/09/2018	***-**-6717 - DEBONIS, BRENDA	SCHOLAR'S EDGE TRAILS	0.00	13.77	0.00	13.77	70.0	9.64
		BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$1,500.00	\$46.55	\$0.00	\$46.55		\$32.59



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
03/31/2018	***-**-7131 - PHILLIPS, DAVID	MASS MUTUAL VA DTCC TRAILS	0.00	58.60	0.00	58.60	70.0	41.02
	ACCOUNT #: EVN46024214	BUY 0 Units @0.0000						
		<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$58.60</b>	<b>\$0.00</b>	<b>\$58.60</b>		<b>\$41.02</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$4,189.52</b>	<b>\$404.94</b>	<b>\$0.00</b>	<b>\$404.94</b>		<b>\$283.49</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$283.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$283.49</b>
<b>Year-to-date Earnings</b>	<b>\$20,264.38</b>