



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**February 15, 2018**

02/19/2018  
Page No. 1

JON PAUL, C141 CIRELLI  
14255 US Hwy One  
Suite 283  
Juno Beach, FL 33408

BROKER #: C141  
BRANCH: FORTITUDE-JUNO BEACH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
01/24/2018	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	21.66	0.00	21.66	95.0	20.58
		* REIT SUBTOTAL *	\$0.00	\$21.66	\$0.00	\$21.66		\$20.58
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
12/31/2017	KISSIL, GEORGE	GRIFFIN INST'L ACCESS R E FUND	16,456.52	3.49	0.00	3.49	95.0	3.32
		* INTERVAL FUNDS SUBTOTAL *	\$16,456.52	\$3.49	\$0.00	\$3.49		\$3.32
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/31/2017	BARREDO, GLORIA	ADP TRAILS	0.00	30.54	0.00	30.54	95.0	29.01
12/31/2017	KURITZKY ARCHITECTUR, PASQUALE	ADP TRAILS	0.00	641.86	0.00	641.86	95.0	609.77
		* MUTUAL FUNDS SUBTOTAL *	\$0.00	\$672.40	\$0.00	\$672.40		\$638.78
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/22/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.18	0.00	11.18	95.0	10.62
01/22/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	33.53	0.00	33.53	95.0	31.85
01/19/2018	WAGNER, DENNIS	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.70	0.00	5.70	95.0	5.42
01/19/2018	JARDIN, DEAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	10.59	0.00	10.59	95.0	10.06
01/24/2018	DODGE, CHERYL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.70	0.00	5.70	95.0	5.42
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$66.70	\$0.00	\$66.70		\$63.37
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/31/2017	ONE PARKING	JOHN HANCOCK LIFE INS CO	395,522.02	164.42	0.00	164.42	95.0	156.20
01/31/2018	ONE PARKING	JOHN HANCOCK LIFE INS CO	442,570.87	183.98	0.00	183.98	95.0	174.78
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$838,092.89	\$348.40	\$0.00	\$348.40		\$330.98
		*** DIRECT TRANSACTIONS TOTAL ***	\$854,549.41	\$1,112.65	\$0.00	\$1,112.65		\$1,057.03

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251</u></b>								
01/10/2018	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.77	0.00	2.77	95.0	2.63
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			<b>\$0.00</b>	<b>\$2.77</b>	<b>\$0.00</b>	<b>\$2.77</b>		<b>\$2.63</b>
<b><u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u></b>								
12/31/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	119,693.73	7.46	0.00	7.46	95.0	7.09
01/31/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	131,519.91	8.20	0.00	8.20	95.0	7.79
01/17/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.04	0.00	0.04	95.0	0.04
01/19/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.09	0.00	0.09	95.0	0.09
01/19/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.16	0.00	0.16	95.0	0.15
02/05/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	-4,813.13	3.30	3.30	0.00	95.0	0.00
01/22/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.27	0.00	0.27	95.0	0.26
01/22/2018	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.17	0.00	0.17	95.0	0.16
01/22/2018	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.10	0.00	0.10	95.0	0.10
01/22/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.22	0.00	0.22	95.0	0.21
01/22/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.33	0.00	1.33	95.0	1.26
01/22/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.18	0.00	1.18	95.0	1.12
01/22/2018	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.17	0.00	0.17	95.0	0.16
01/22/2018	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.12	0.00	0.12	95.0	0.11
01/22/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.09	0.00	0.09	95.0	0.09
01/16/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.16	0.00	0.16	95.0	0.15
01/16/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.71	0.00	0.71	95.0	0.67
01/19/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.32	0.00	0.32	95.0	0.30
02/06/2018	PFLEGER, CLIFFORD	VANGUARD ADMIRAL FDS INC S&P 500 GR	1,264.28	0.00	3.38	-3.38	95.0	-3.21
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			<b>\$247,664.79</b>	<b>\$24.09</b>	<b>\$6.68</b>	<b>\$17.41</b>		<b>\$16.54</b>
<b><u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u></b>								
12/31/2017	PALM BEACH	ADP TRAILS	0.00	336.77	0.00	336.77	95.0	319.93
12/31/2017	ENDOCRINOLOGY & DIABE	ADP TRAILS	0.00	4.33	0.00	4.33	95.0	4.11
12/31/2017	MORONEY'S RELIGIOUS	ADP TRAILS	0.00	236.45	0.00	236.45	95.0	224.63
01/24/2018	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.27	0.00	2.27	95.0	2.16
01/22/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.74	0.00	6.74	95.0	6.40
01/22/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.68	0.00	5.68	95.0	5.40
01/22/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	17.05	0.00	17.05	95.0	16.20
01/22/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.38	0.00	5.38	95.0	5.11

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u></b>								
01/22/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.14	0.00	16.14	95.0	15.33
01/19/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.37	0.00	5.37	95.0	5.10
01/19/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.11	0.00	16.11	95.0	15.30
01/19/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.44	0.00	3.44	95.0	3.27
01/19/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.31	0.00	10.31	95.0	9.79
01/19/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.33	0.00	5.33	95.0	5.06
01/19/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.00	0.00	16.00	95.0	15.20
01/19/2018	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.23	0.00	2.23	95.0	2.12
01/22/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	2.01	0.00	2.01	95.0	1.91
01/22/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	2.46	0.00	2.46	95.0	2.34
01/22/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.74	0.00	1.74	95.0	1.65
01/24/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.42	0.00	0.42	95.0	0.40
01/24/2018	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.90	0.00	8.90	95.0	8.46
01/24/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.36	0.00	0.36	95.0	0.34
01/16/2018	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	70.58	0.00	70.58	95.0	67.05
01/12/2018	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	2.34	0.00	2.34	95.0	2.22
<b>* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$778.41</b>	<b>\$0.00</b>	<b>\$778.41</b>		<b>\$739.48</b>
<b><u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u></b>								
02/01/2018	DOLCE, CHARLES	ALPHABET INC CAP STK CL C	10,483.38	18.75	5.63	13.12	95.0	12.46
02/01/2018	DOLCE, CHARLES	ALPHABET INC CAP STK CL C	8,154.68	18.75	5.63	13.12	95.0	12.46
02/01/2018	DOLCE, CHARLES	APPLE INC COM	-3,523.22	18.75	5.63	13.12	95.0	12.46
01/24/2018	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	4.55	0.00	4.55	95.0	4.32
01/10/2018	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	14.98	0.00	14.98	95.0	14.23
01/10/2018	DOLCE, JENNIFER	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	30.18	0.00	30.18	95.0	28.67
01/10/2018	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.14	0.00	0.14	95.0	0.13
01/22/2018	PAVIS, NANCY	CORP PROPERTY ASSOC 18 GLOBAL INC C	0.00	27.88	0.00	27.88	95.0	26.49
01/19/2018	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.51	0.00	0.51	95.0	0.48
01/24/2018	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.13	0.00	3.13	95.0	2.97
01/24/2018	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.28	0.00	1.28	95.0	1.22
01/24/2018	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.09	0.00	2.09	95.0	1.99
01/24/2018	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.57	0.00	0.57	95.0	0.54
01/24/2018	PAVIS, NANCY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.59	0.00	4.59	95.0	4.36
01/24/2018	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.58	0.00	2.58	95.0	2.45

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u></b>								
01/24/2018	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.76	0.00	0.76	95.0	0.72
01/24/2018	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.10	0.00	4.10	95.0	3.90
01/24/2018	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.29	0.00	2.29	95.0	2.18
01/24/2018	STARK, LINDA	MAINSTAY MAP A	0.00	0.48	0.00	0.48	95.0	0.46
01/22/2018	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	2.50	0.00	2.50	95.0	2.38
<b>* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *</b>			<b>\$15,114.84</b>	<b>\$158.86</b>	<b>\$16.89</b>	<b>\$141.97</b>		<b>\$134.87</b>
<b><u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u></b>								
01/18/2018	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.08	0.00	0.08	95.0	0.08
01/02/2018	GALGANO, ROBERT	ARIEL APPRECIATION FUND	0.00	0.00	0.00	0.00	95.0	0.00
01/10/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.37	0.00	2.37	95.0	2.25
01/10/2018	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.38	0.00	0.38	95.0	0.36
01/10/2018	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.27	0.00	1.27	95.0	1.21
01/10/2018	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.75	0.00	1.75	95.0	1.66
01/12/2018	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.81	0.00	0.81	95.0	0.77
01/24/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.02	0.00	1.02	95.0	0.97
01/24/2018	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.26	0.00	2.26	95.0	2.15
01/24/2018	CAVEGN, JOSEPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.36	0.00	0.36	95.0	0.34
01/24/2018	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.13	0.00	0.13	95.0	0.12
01/24/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.41	0.00	1.41	95.0	1.34
01/24/2018	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.79	0.00	0.79	95.0	0.75
01/16/2018	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.47	0.00	2.47	95.0	2.35
01/12/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.76	0.00	0.76	95.0	0.72
01/12/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.76	0.00	0.76	95.0	0.72
<b>* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$16.62</b>	<b>\$0.00</b>	<b>\$16.62</b>		<b>\$15.79</b>
<b><u>BROKER: G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP #258</u></b>								
01/30/2018	FRICKER TRUST, HAROLD MAX	RRTY KING RIVER ROYALTIES	100,000.00	3,000.00	0.00	3,000.00	95.0	2,850.00
<b>* G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP SUBTOTAL *</b>			<b>\$100,000.00</b>	<b>\$3,000.00</b>	<b>\$0.00</b>	<b>\$3,000.00</b>		<b>\$2,850.00</b>
<b><u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u></b>								
01/10/2018	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.75	0.00	3.75	95.0	3.56
01/24/2018	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.71	0.00	0.71	95.0	0.67
<b>* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$4.46</b>	<b>\$0.00</b>	<b>\$4.46</b>		<b>\$4.23</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u></b>								
01/22/2018	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.23	0.00	0.23	95.0	0.22
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			<b>\$0.00</b>	<b>\$0.23</b>	<b>\$0.00</b>	<b>\$0.23</b>		<b>\$0.22</b>
<b><u>BROKER: P124-JONP/DAN/J FT-FL JUNO CIRE #273</u></b>								
01/18/2018	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.09	0.00	0.09	95.0	0.09
02/09/2018	WASSERMAN TRUST, NINA	AMAZON COM INC	25,526.09	0.00	10.34	-10.34	95.0	-9.82
01/23/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.81	0.00	2.81	95.0	2.67
01/23/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	6.88	0.00	6.88	95.0	6.54
01/23/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.55	0.00	4.55	95.0	4.32
01/23/2018	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.30	0.00	3.30	95.0	3.14
01/23/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.26	0.00	0.26	95.0	0.25
01/23/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.25	0.00	0.25	95.0	0.24
01/22/2018	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.49	0.00	0.49	95.0	0.47
01/22/2018	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.23	0.00	1.23	95.0	1.17
01/22/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.50	0.00	4.50	95.0	4.28
01/22/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.49	0.00	13.49	95.0	12.82
02/07/2018	MORONEY, PHYLLIS	GPB AUTOMOTIVE PROTFOLIO LP 0GPB201	300,000.00	9,660.00	0.00	9,660.00	95.0	9,177.00
02/07/2018	MORONEY, PHYLLIS	GPB WASTE MANAGEMENT LP	100,000.00	3,220.00	0.00	3,220.00	95.0	3,059.00
01/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.24	0.00	1.24	95.0	1.18
01/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.71	0.00	3.71	95.0	3.52
01/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.54	0.00	1.54	95.0	1.46
01/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.63	0.00	4.63	95.0	4.40
01/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.04	0.00	1.04	95.0	0.99
01/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.09	0.00	3.09	95.0	2.94
01/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.24	0.00	1.24	95.0	1.18
01/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.71	0.00	3.71	95.0	3.52
01/19/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.36	0.00	4.36	95.0	4.14
01/19/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.09	0.00	13.09	95.0	12.44
01/31/2018	ROULHAC, JAMES	GRIFFIN INST'L ACCESS R E FUND C	99,975.01	459.89	0.00	459.89	95.0	436.90
01/19/2018	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.79	0.00	0.79	95.0	0.75
01/19/2018	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.22	0.00	1.22	95.0	1.16
01/19/2018	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.10	0.00	2.10	95.0	2.00
01/19/2018	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.69	0.00	1.69	95.0	1.61
01/19/2018	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.42	0.00	1.42	95.0	1.35

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: P124-JONP/DAN/J FT-FL JUNO CIRE #273</u></b>								
01/19/2018	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.01	0.00	2.01	95.0	1.91
01/19/2018	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.03	0.00	6.03	95.0	5.73
01/25/2018	GRISALES, CARMEN	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	0.97	0.00	0.97	95.0	0.92
01/25/2018	GRISALES, CARMEN	INVESCO EQUITY AND INCOME A	0.00	0.89	0.00	0.89	95.0	0.85
01/25/2018	CAMPBELL, CHARLES	INVESCO HIGH YIELD FUND A	0.00	0.01	0.00	0.01	95.0	0.01
01/22/2018	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	0.00	0.16	0.00	0.16	95.0	0.15
01/22/2018	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	0.00	0.16	0.00	0.16	95.0	0.15
* P124-JONP/DAN/J FT-FL JUNO CIRE SUBTOTAL *			\$525,501.10	\$13,432.84	\$10.34	\$13,422.50		\$12,751.43
<b><u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u></b>								
01/24/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.39	0.00	0.39	95.0	0.37
01/16/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.10	0.00	1.10	95.0	1.05
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$0.00	\$1.49	\$0.00	\$1.49		\$1.42
<b><u>BROKER: C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR #400</u></b>								
01/19/2018	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.83	0.00	0.83	95.0	0.79
01/19/2018	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.59	0.00	0.59	95.0	0.56
* C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR SUBTOTAL *			\$0.00	\$1.42	\$0.00	\$1.42		\$1.35
*** SPLIT TRANSACTIONS TOTAL ***			\$888,280.73	\$17,421.19	\$33.91	\$17,387.28		\$16,517.96

**OVERRIDE TRANSACTIONS**

<b><u>BROKER: MARC, L134 LINSKY #10</u></b>								
02/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	1,000.00	10.00	0.00	10.00	90.0	0.16
02/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	0.24
02/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	0.24
01/29/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	400.00	10.00	0.00	10.00	90.0	0.16
01/29/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.12
01/29/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
* MARC, L134 LINSKY SUBTOTAL *			\$2,650.00	\$61.25	\$0.00	\$61.25		\$0.98
<b><u>BROKER: MARC, L134 LINSKY #263</u></b>								
01/18/2018	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.93	0.00	0.93	90.0	0.01
01/18/2018	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	13.34	0.00	13.34	90.0	0.21
01/23/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.42	0.00	4.42	90.0	0.07

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARC, L134 LINSKY #263</u></b>								
01/18/2018	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	4.04	0.00	4.04	90.0	0.06
01/18/2018	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	6.73	0.00	6.73	90.0	0.11
01/18/2018	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.44	0.00	0.44	90.0	0.01
01/18/2018	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.53	0.00	1.53	90.0	0.02
01/18/2018	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.01
01/18/2018	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.01
01/18/2018	APPLEGATE, PAMAEALA	AM FUNDS AMERICAN BALANCED A	0.00	1.03	0.00	1.03	90.0	0.02
01/18/2018	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.01	0.00	1.01	90.0	0.02
01/18/2018	APPLEGATE, PAMAEALA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.83	0.00	0.83	90.0	0.01
01/18/2018	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.99	0.00	3.99	90.0	0.06
01/18/2018	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.17	0.00	3.17	90.0	0.05
01/18/2018	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.33	0.00	1.33	90.0	0.02
01/18/2018	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.75	0.00	0.75	90.0	0.01
01/18/2018	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.90	0.00	2.90	90.0	0.05
01/18/2018	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.60	0.00	13.60	90.0	0.22
01/18/2018	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.63	0.00	1.63	90.0	0.03
02/01/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	9.56	0.00	9.56	90.0	0.15
01/18/2018	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.94	0.00	0.94	90.0	0.02
01/18/2018	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.80	0.00	4.80	90.0	0.08
01/18/2018	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.59	0.00	1.59	90.0	0.03
01/18/2018	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.58	0.00	6.58	90.0	0.11
01/18/2018	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.94	0.00	4.94	90.0	0.08
01/18/2018	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.88	0.00	1.88	90.0	0.03
01/18/2018	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.40	0.00	0.40	90.0	0.01
01/18/2018	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.00	0.00	1.00	90.0	0.02
01/18/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.48	0.00	1.48	90.0	0.02
01/18/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.68	0.00	0.68	90.0	0.01
01/18/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.11	0.00	1.11	90.0	0.02
01/18/2018	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.68	0.00	0.68	90.0	0.01
01/29/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	1,031.94	51.60	22.00	29.60	90.0	0.47
01/18/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.84	0.00	2.84	90.0	0.05
01/18/2018	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.78	0.00	2.78	90.0	0.04
01/18/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.71	0.00	5.71	90.0	0.09

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
01/18/2018	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.79	0.00	5.79	90.0	0.09
01/18/2018	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.58	0.00	1.58	90.0	0.03
01/18/2018	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	9.44	0.00	9.44	90.0	0.15
01/18/2018	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	0.36	0.00	0.36	90.0	0.01
01/18/2018	LINSKY, MARC	AM FUNDS ICA A	0.00	0.44	0.00	0.44	90.0	0.01
01/18/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.60	0.00	0.60	90.0	0.01
01/18/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.98	0.00	0.98	90.0	0.02
01/18/2018	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.59	0.00	0.59	90.0	0.01
01/18/2018	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.01
01/18/2018	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.01
01/18/2018	APPLEGATE, PAMAELA	AM FUNDS ICA A	0.00	1.06	0.00	1.06	90.0	0.02
01/18/2018	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.67	0.00	5.67	90.0	0.09
01/18/2018	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	13.26	0.00	13.26	90.0	0.21
01/18/2018	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	5.14	0.00	5.14	90.0	0.08
01/18/2018	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.93	0.00	4.93	90.0	0.08
01/18/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.12	0.00	5.12	90.0	0.08
01/18/2018	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.49	0.00	4.49	90.0	0.07
01/18/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.37	0.00	21.37	90.0	0.34
01/18/2018	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.21	0.00	3.21	90.0	0.05
01/18/2018	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.79	0.00	0.79	90.0	0.01
01/18/2018	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.79	0.00	0.79	90.0	0.01
01/18/2018	APPLEGATE, PAMAELA	AM FUNDS NEW PERSPECTIVE A	0.00	1.13	0.00	1.13	90.0	0.02
01/18/2018	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.62	0.00	1.62	90.0	0.03
01/18/2018	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.08	0.00	6.08	90.0	0.10
01/18/2018	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.36	0.00	0.36	90.0	0.01
01/18/2018	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.53	0.00	1.53	90.0	0.02
01/18/2018	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.44	0.00	0.44	90.0	0.01
01/18/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.01
01/18/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.98	0.00	0.98	90.0	0.02
01/18/2018	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.01
01/23/2018	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	2.80	0.00	2.80	90.0	0.04
02/07/2018	STERR, CHRISTOPHER	AMERIGAS PARTNERS LTD PARTSHP	-6,545.45	30.00	22.50	7.50	90.0	0.12
01/24/2018	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	19.07	0.00	19.07	90.0	0.31

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
01/23/2018	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.54	0.00	1.54	90.0	0.02
01/23/2018	DUBIN, JON	CALAMOS GROWTH A	0.00	4.84	0.00	4.84	90.0	0.08
01/10/2018	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.13	0.00	1.13	90.0	0.02
01/10/2018	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	15.28	0.00	15.28	90.0	0.24
01/10/2018	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	8.07	0.00	8.07	90.0	0.13
01/10/2018	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.88	0.00	2.88	90.0	0.05
01/10/2018	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.18	0.00	1.18	90.0	0.02
01/10/2018	MEDIA ENTERPRISES	COLUMBIA LARGE CAP GROWTH A	0.00	0.35	0.00	0.35	90.0	0.01
01/10/2018	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.98	0.00	0.98	90.0	0.02
01/10/2018	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.54	0.00	2.54	90.0	0.04
01/10/2018	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.09	0.00	1.09	90.0	0.02
01/10/2018	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	12.12	0.00	12.12	90.0	0.19
01/10/2018	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.45	0.00	2.45	90.0	0.04
01/25/2018	FARBMAN TRUST, DANIEL & SANDRA	COTTONWOOD RESIDENTIAL 2016 PREFR'D	100,000.00	7,000.00	0.00	7,000.00	90.0	112.00
01/16/2018	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.44	0.00	0.44	90.0	0.01
01/16/2018	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.58	0.00	0.58	90.0	0.01
01/12/2018	BOWERS, DORA	DEUTSCHE GLOBAL RE	0.00	0.91	0.00	0.91	90.0	0.01
01/12/2018	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.53	0.00	3.53	90.0	0.06
01/12/2018	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	64.11	0.00	64.11	90.0	1.03
01/16/2018	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.79	0.00	0.79	90.0	0.01
02/07/2018	STERR, CHRISTOPHER	ENERGY TRANSFER PARTNERS L P NEW UN	6,465.50	30.00	22.51	7.49	90.0	0.12
01/17/2018	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.13	0.00	1.13	90.0	0.02
01/17/2018	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.36	0.00	0.36	90.0	0.01
01/17/2018	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.90	0.00	7.90	90.0	0.13
01/17/2018	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	9.01	0.00	9.01	90.0	0.14
01/17/2018	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.55	0.00	1.55	90.0	0.02
01/17/2018	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	6.16	0.00	6.16	90.0	0.10
01/17/2018	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.20	0.00	2.20	90.0	0.04
01/22/2018	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	13.36	0.00	13.36	90.0	0.21
01/22/2018	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	3.03	0.00	3.03	90.0	0.05
01/22/2018	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.17	0.00	1.17	90.0	0.02
01/22/2018	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.47	0.00	5.47	90.0	0.09
01/22/2018	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.81	0.00	0.81	90.0	0.01

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
01/22/2018	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.59	0.00	0.59	90.0	0.01
01/22/2018	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.85	0.00	2.85	90.0	0.05
01/23/2018	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	17.02	0.00	17.02	90.0	0.27
01/23/2018	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.67	0.00	0.67	90.0	0.01
12/31/2017	ROSENBLUM, LUCILLE	GRIFFIN INST'L ACCESS R E FUND C	54,735.23	46.49	0.00	46.49	90.0	0.74
01/19/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.91	0.00	4.91	90.0	0.08
01/19/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.73	0.00	14.73	90.0	0.24
01/19/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.35	0.00	2.35	90.0	0.04
01/19/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.06	0.00	7.06	90.0	0.11
01/19/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.00	0.00	15.00	90.0	0.24
01/19/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.01	0.00	45.01	90.0	0.72
01/19/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.47	0.00	45.47	90.0	0.73
01/19/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	136.40	0.00	136.40	90.0	2.18
01/19/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.24	0.00	7.24	90.0	0.12
01/19/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.72	0.00	21.72	90.0	0.35
01/19/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.42	0.00	4.42	90.0	0.07
01/19/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.25	0.00	6.25	90.0	0.10
01/19/2018	PERETTI, FRANCES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.26	0.00	3.26	90.0	0.05
01/19/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.25	0.00	5.25	90.0	0.08
01/16/2018	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.33	0.00	12.33	90.0	0.20
01/16/2018	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.19	0.00	4.19	90.0	0.07
01/17/2018	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.94	0.00	3.94	90.0	0.06
01/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	89.81	0.00	89.81	90.0	1.44
01/17/2018	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	43.20	0.00	43.20	90.0	0.69
01/17/2018	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	38.49	0.00	38.49	90.0	0.62
01/17/2018	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	472.02	0.00	472.02	90.0	7.55
01/17/2018	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	11.87	0.00	11.87	90.0	0.19
01/17/2018	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.74	0.00	7.74	90.0	0.12
01/17/2018	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	8.25	0.00	8.25	90.0	0.13
01/17/2018	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	34.93	0.00	34.93	90.0	0.56
01/17/2018	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	32.60	0.00	32.60	90.0	0.52
01/17/2018	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	11.88	0.00	11.88	90.0	0.19
01/17/2018	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	24.98	0.00	24.98	90.0	0.40

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
01/17/2018	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	11.18	0.00	11.18	90.0	0.18
01/17/2018	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.90	0.00	2.90	90.0	0.05
01/17/2018	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.90	0.00	2.90	90.0	0.05
01/17/2018	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.35	0.00	4.35	90.0	0.07
01/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	78.93	0.00	78.93	90.0	1.26
01/17/2018	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	49.22	0.00	49.22	90.0	0.79
01/17/2018	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	22.65	0.00	22.65	90.0	0.36
01/17/2018	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	15.56	0.00	15.56	90.0	0.25
01/17/2018	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	22.65	0.00	22.65	90.0	0.36
01/17/2018	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	1.37	0.00	1.37	90.0	0.02
01/17/2018	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	46.69	0.00	46.69	90.0	0.75
01/17/2018	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	20.90	0.00	20.90	90.0	0.33
01/17/2018	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	11.07	0.00	11.07	90.0	0.18
01/17/2018	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.33	0.00	4.33	90.0	0.07
01/21/2018	MCFADDEN, JOAN	ING LANDMARK	90,405.24	226.01	0.00	226.01	90.0	3.62
02/05/2018	MCFADDEN, ANDREW	ING LANDMARK	369,153.77	922.88	0.00	922.88	90.0	14.77
01/25/2018	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	130.77	0.00	130.77	90.0	2.09
01/25/2018	CHERRY, THEO	INVESCO AMERICAN FRANCHISE A	0.00	4.19	0.00	4.19	90.0	0.07
01/25/2018	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	275.36	0.00	275.36	90.0	4.41
01/25/2018	FARBMAN, ERIC	INVESCO AMERICAN FRANCHISE A	0.00	9.96	0.00	9.96	90.0	0.16
01/25/2018	WALENCZYK, CHIRSTOPHER	INVESCO AMERICAN FRANCHISE A	0.00	0.52	0.00	0.52	90.0	0.01
01/25/2018	SIEMANOWSKI, JOHN	INVESCO CHARTER FUND A	0.00	59.39	0.00	59.39	90.0	0.95
01/25/2018	GUERRIERI, ANTHONY	INVESCO COMSTOCK A	0.00	1.60	0.00	1.60	90.0	0.03
01/25/2018	FARBMAN, ERIC	INVESCO HIGH YIELD FUND A	0.00	13.36	0.00	13.36	90.0	0.21
01/25/2018	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	13.25	0.00	13.25	90.0	0.21
01/25/2018	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	41.59	0.00	41.59	90.0	0.67
01/25/2018	WALENCZYK, BRENDA	INVESCO MIDCAP CORE EQUITY A	0.00	0.54	0.00	0.54	90.0	0.01
01/25/2018	WALENCZYK, CHIRSTOPHER	INVESCO MIDCAP CORE EQUITY A	0.00	1.08	0.00	1.08	90.0	0.02
01/25/2018	GOLDBERGER, ANNA	INVESCO SENIOR LOAN FUND IB	0.00	9.40	0.00	9.40	90.0	0.15
01/25/2018	BOWERS, DORA	INVESCO SMALL CAP DISCOVERY A	0.00	0.44	0.00	0.44	90.0	0.01
01/25/2018	FARBMAN, ERIC	INVESCO STRUCTURED CORE A	0.00	16.67	0.00	16.67	90.0	0.27
01/25/2018	WALENCZYK, BRENDA	INVESCO VALUE OPP A	0.00	0.44	0.00	0.44	90.0	0.01
01/25/2018	WALENCZYK, CHIRSTOPHER	INVESCO VALUE OPP A	0.00	0.86	0.00	0.86	90.0	0.01

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
01/23/2018	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.13	0.00	1.13	90.0	0.02
02/05/2018	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.34
01/31/2018	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	293,456.77	244.55	0.00	244.55	90.0	3.91
01/31/2018	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	301,377.78	251.15	0.00	251.15	90.0	4.02
01/31/2018	NANI, JOSEPH	JNL PERSPECTIVE L	420,704.85	350.59	0.00	350.59	90.0	5.61
01/31/2018	FELDNER, BARBARA	JNL PERSPECTIVE L	496,354.59	413.63	0.00	413.63	90.0	6.62
01/31/2018	STERR, CHRISTOPHER	JNL PERSPECTIVE L	120,901.37	100.75	0.00	100.75	90.0	1.61
01/31/2018	OTT, CAROL	JOHN HANCOCK VENTURE	14,001.84	2.92	0.00	2.92	90.0	0.05
01/31/2018	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	205,933.10	171.61	0.00	171.61	90.0	2.75
01/22/2018	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.66	0.00	0.66	90.0	0.01
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	3.10	0.00	3.10	90.0	0.05
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.17	0.00	2.17	90.0	0.03
01/22/2018	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.88	0.00	2.88	90.0	0.05
01/22/2018	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	8.17	0.00	8.17	90.0	0.13
01/22/2018	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.62	0.00	2.62	90.0	0.04
01/22/2018	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	120.35	0.00	120.35	90.0	1.93
01/22/2018	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	123.30	0.00	123.30	90.0	1.97
01/22/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	29.63	0.00	29.63	90.0	0.47
01/22/2018	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	20.49	0.00	20.49	90.0	0.33
01/22/2018	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.95	0.00	0.95	90.0	0.02
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	6.64	0.00	6.64	90.0	0.11
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.78	0.00	0.78	90.0	0.01
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	8.41	0.00	8.41	90.0	0.13
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.88	0.00	1.88	90.0	0.03
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.19	0.00	1.19	90.0	0.02
01/22/2018	APPLEBAUM, RHEA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.52	0.00	3.52	90.0	0.06
01/22/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	11.00	0.00	11.00	90.0	0.18
01/22/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.98	0.00	5.98	90.0	0.10
01/22/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.24	0.00	6.24	90.0	0.10
01/22/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	14.65	0.00	14.65	90.0	0.23
01/22/2018	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	2.95	0.00	2.95	90.0	0.05
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.35	0.00	3.35	90.0	0.05
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.46	0.00	0.46	90.0	0.01

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	4.06	0.00	4.06	90.0	0.06
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.73	0.00	0.73	90.0	0.01
01/22/2018	APPLEBAUM, RHEA	LORD ABBETT SHORT DURATION INCOME A	0.00	2.12	0.00	2.12	90.0	0.03
01/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.52	0.00	1.52	90.0	0.02
01/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.50	0.00	20.50	90.0	0.33
01/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.72	0.00	1.72	90.0	0.03
01/22/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.77	0.00	2.77	90.0	0.04
01/22/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.12	0.00	2.12	90.0	0.03
01/22/2018	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.28	0.00	11.28	90.0	0.18
01/22/2018	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	99.46	0.00	99.46	90.0	1.59
01/22/2018	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.40	0.00	11.40	90.0	0.18
01/22/2018	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	5.18	0.00	5.18	90.0	0.08
01/22/2018	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.44	0.00	6.44	90.0	0.10
01/22/2018	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	13.07	0.00	13.07	90.0	0.21
01/22/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	9.24	0.00	9.24	90.0	0.15
01/22/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.69	0.00	4.69	90.0	0.08
01/24/2018	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.42	0.00	10.42	90.0	0.17
01/24/2018	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.92	0.00	2.92	90.0	0.05
01/24/2018	FARBMAN TRUST, DANIEL & SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.99	0.00	1.99	90.0	0.03
01/24/2018	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.50	0.00	0.50	90.0	0.01
01/24/2018	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.24	0.00	1.24	90.0	0.02
01/24/2018	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.74	0.00	0.74	90.0	0.01
01/29/2018	SCOZZAFAVA, THERESA	METLIFE SHIELD LEVEL SELECT 6 YR	108,899.26	3,266.98	0.00	3,266.98	90.0	52.27
01/19/2018	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	6.92	0.00	6.92	90.0	0.11
01/19/2018	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	16.63	0.00	16.63	90.0	0.27
01/19/2018	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	8.18	0.00	8.18	90.0	0.13
01/19/2018	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	16.42	0.00	16.42	90.0	0.26
01/19/2018	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	16.31	0.00	16.31	90.0	0.26
01/19/2018	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.72	0.00	0.72	90.0	0.01
01/19/2018	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	9.86	0.00	9.86	90.0	0.16
01/19/2018	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	23.60	0.00	23.60	90.0	0.38
01/19/2018	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	8.61	0.00	8.61	90.0	0.14
01/19/2018	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	20.31	0.00	20.31	90.0	0.32

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
01/19/2018	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	5.89	0.00	5.89	90.0	0.09
01/19/2018	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	6.25	0.00	6.25	90.0	0.10
01/19/2018	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	28.44	0.00	28.44	90.0	0.46
01/19/2018	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	12.14	0.00	12.14	90.0	0.19
01/19/2018	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	9.89	0.00	9.89	90.0	0.16
01/19/2018	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	15.39	0.00	15.39	90.0	0.25
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.29	0.00	1.29	90.0	0.02
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.18	0.00	1.18	90.0	0.02
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.41	0.00	0.41	90.0	0.01
01/22/2018	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.64	0.00	0.64	90.0	0.01
01/22/2018	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.52	0.00	2.52	90.0	0.04
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.25	0.00	3.25	90.0	0.05
01/22/2018	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	1.00	0.00	1.00	90.0	0.02
01/22/2018	PERETTI, DANIEL	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	40.72	0.00	40.72	90.0	0.65
01/22/2018	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.67	0.00	2.67	90.0	0.04
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.81	0.00	1.81	90.0	0.03
01/18/2018	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	260.40	0.00	260.40	90.0	4.17
01/22/2018	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	14.19	0.00	14.19	90.0	0.23
01/22/2018	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	3.18	0.00	3.18	90.0	0.05
01/18/2018	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	13.30	0.00	13.30	90.0	0.21
01/18/2018	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	18.16	0.00	18.16	90.0	0.29
01/18/2018	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.98	0.00	5.98	90.0	0.10
01/18/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	45.15	0.00	45.15	90.0	0.72
01/18/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	135.45	0.00	135.45	90.0	2.17
01/22/2018	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	11.36	0.00	11.36	90.0	0.18
01/22/2018	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.67	0.00	1.67	90.0	0.03
01/22/2018	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.36	0.00	0.36	90.0	0.01
01/22/2018	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.25	0.00	11.25	90.0	0.18
01/29/2018	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.59	0.00	1.59	90.0	0.03
01/17/2018	LINSKY, VARIOUS	TRANSAMERICA TRAILS	735.00	3.68	0.00	3.68	90.0	0.06
01/25/2018	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.51	0.00	0.51	90.0	0.01
01/16/2018	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	6.18	0.00	6.18	90.0	0.10
01/12/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	9.98	0.00	9.98	90.0	0.16

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARC, L134 LINSKY #263</u></b>								
01/12/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	23.32	0.00	23.32	90.0	0.37
01/12/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	11.22	0.00	11.22	90.0	0.18
01/12/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	18.61	0.00	18.61	90.0	0.30
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 12-13 A	0.00	0.99	0.00	0.99	90.0	0.02
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	6.82	0.00	6.82	90.0	0.11
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.59	0.00	0.59	90.0	0.01
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.61	0.00	0.61	90.0	0.01
<b>* MARC, L134 LINSKY SUBTOTAL *</b>			<b>\$2,578,135.79</b>	<b>\$17,011.56</b>	<b>\$67.01</b>	<b>\$16,944.55</b>		<b>\$271.23</b>
<b><u>BROKER: DANIEL, C142 CHARLEY #338</u></b>								
01/31/2018	PREMIER IMMEDIATE ME	VOYA RETIREMENT & ANNUITY	0.00	24.54	0.00	24.54	90.0	0.39
<b>* DANIEL, C142 CHARLEY SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$24.54</b>	<b>\$0.00</b>	<b>\$24.54</b>		<b>\$0.39</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$2,580,785.79</b>	<b>\$17,097.35</b>	<b>\$67.01</b>	<b>\$17,030.34</b>		<b>\$272.60</b>

**ADJUSTMENTS**

02/15/2018	*AI INSIGHT: AI INSIGHT - PECHA	0.00	-1.40
02/15/2018	*AI INSIGHT: AI INSIGHT - T THOMPSON	0.00	-3.50
02/15/2018	*EO INS: CURRENT MONTH CIERELLI	0.00	-300.00
02/15/2018	*EO INS: CURRENT MONTH INS	0.00	-60.00
02/15/2018	*EO INS: CURRENT MONTH INS	0.00	-138.00
02/15/2018	*EO INS: CURRENT MONTH-T THOMPSON	0.00	-150.00
02/15/2018	*FEDERAL EXPRESS: 6-080-52243 2/7/1	0.00	-10.83
02/15/2018	*FEDEX: 6-066-90233 1/24/18	0.00	-14.24
02/15/2018	*FEDEX: 6-073-83907 1/31/18	0.00	-22.80
02/15/2018	*FINRA: ADVISOR WITH CAM - WEATHERB	0.00	-75.00
02/15/2018	*FINRA: BRANCH OFFICE REG - WEATHER	0.00	-112.50
02/15/2018	*FINRA: CONTINUING EDU - WEATHERBIE	0.00	-62.50
02/15/2018	*FINRA: CRD REG APP WEATHERBIE	0.00	-50.00
02/15/2018	*FINRA: FBI FINGERPRINT FEE - HOWEY	0.00	-10.00
02/15/2018	*FINRA: FINGERPRINTING - WEATHERBIE	0.00	-14.75
02/15/2018	*FINRA: FINRA MEMBER ELEC FINGERPRI	0.00	-15.00
02/15/2018	*FINRA: PROCESSING - WEATHERBIE	0.00	-27.50

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
02/15/2018		*FINRA: STATE REG FL - WEATHERBIE	0.00					-25.00
02/15/2018		*LASER APP: LASER APP - PECHA	0.00					-3.60
02/15/2018		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-45.00
02/15/2018		*MISC: C FULMER, A LEEDS	0.00					-540.00
02/15/2018		*MISC: MISC SARAH, FT L, 1031DST	0.00					-205.00
02/15/2018		*MISC: OVERNIGHT FEES	0.00					-40.00
02/15/2018		*MISC: PER DAN 1/29	0.00					-328.43
02/15/2018		*MISC: PER DAN 1/29	0.00					-328.43
02/15/2018		*MISC: REDISTRIBUTION OF P124	0.00					3,033.19
02/15/2018		*MISC: ROY AFLAC 1031DST	0.00					-1,126.00
02/15/2018		*MISC: REF. DOLAN	0.00					-1,522.00
02/15/2018		*MISC: SARAH, TOMMY, & M&D MAILER	0.00					10,600.00
02/15/2018		*MISC: SARAH, TOMMY, M&D MAILER	0.00					-4,876.00
02/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.23
02/15/2018		*PERSHING: FOREIGN SEC - WASSERMAN	0.00					-10.58
02/15/2018		*PERSHING: FOREIGN SEC - WASSERMAN	0.00					-10.58
02/15/2018		*PERSHING: PERSHING MARKUPS	0.00					9.00
02/15/2018		*PERSHING: PERSHING MARKUPS	0.00					22.50
02/15/2018		*PERSHING: PERSHING MARKUPS	0.00					13.80
02/15/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH OVER	0.00					-214.93
02/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES A	0.00					5.86
02/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES A	0.00					284.19
02/15/2018		*TECH: ADMIN FULMER	0.00					-25.00
02/15/2018		*TECH: ADMIN-AMY LEEDS	0.00					-33.00
02/15/2018		*TECH: BASIC - PECHA	0.00					-36.00
02/15/2018		*TECH: CURRENT MONTH TECH	0.00					-126.50
02/15/2018		*TECHNOLOGY: ADMIN - SARAH BURNS	0.00					-50.00
02/15/2018		*TECHNOLOGY: BASIC - T THOMPSON	0.00					-90.00
02/15/2018		*TECHNOLOGY: CURRENT MONTH CIRELLI	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$2,989.24

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed



**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,057.03
Split Transactions	\$16,517.96
Override Transactions	\$272.60
Adjustments	\$2,989.24
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$20,836.83
Year-to-date Gross	\$45,809.92
Year-to-date Earnings	\$44,872.78