

**COMMISSION STATEMENT****October 15, 2017**BRUCE BAXTER
10385 Wellington Blvd.BROKER #: B121 Terminated 05/30/2015
BRANCH: BRUCE BAXTER

Powell, OH 43065

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/02/2017	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS RISING RATES SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS RISING RATES SVC	0.00	0.02	0.00	0.02	0.0	0.00
/ /	DENNIS, MARK	PROFUNDS RISING RATES SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS RISING RATES SVC	0.00	0.02	0.00	0.02	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS RISING RATES SVC	0.00	0.07	0.00	0.07	0.0	0.00
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.00
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.08	0.00	0.08	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.25	0.00	0.25	0.0	0.00
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.14	0.00	0.14	0.0	0.00
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.25	0.00	0.25	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.75	0.00	0.75	0.0	0.00
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	7.25	0.00	7.25	0.0	0.00
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	21.75	0.00	21.75	0.0	0.00
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.00
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.00
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.16	0.00	0.16	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$100.00	\$36.02	\$0.00	\$36.02		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/30/2017	KNEPPER, MAX	PRU AS ADVISOR PLAN III	26,972.28	16.86	0.00	16.86	0.0	0.00
09/30/2017	KNEPPER, MAX	PRU AS ADVISOR PLAN III	26,972.28	16.86	0.00	16.86	0.0	0.00
09/30/2017	QUELETTE, KAREN	PRU AS XTRA CREDIT SIX	71,919.45	44.95	0.00	44.95	0.0	0.00
09/30/2017	QUELETTE, KAREN	PRU AS XTRA CREDIT SIX	71,919.45	44.95	0.00	44.95	0.0	0.00
09/30/2017	MCGINNIS, KATHLEEN	PRU AS XTRA CREDIT SIX	221,039.56	138.15	0.00	138.15	0.0	0.00
09/30/2017	BUCKEYE, ALEN	PRU AS XTRA CREDIT SIX	62,616.21	39.14	0.00	39.14	0.0	0.00
09/30/2017	GORDON, DAVID	PRU AS XTRA CREDIT SIX	459,231.94	287.02	0.00	287.02	0.0	0.00
09/28/2017	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	15,685.39	5.88	0.00	5.88	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$956,356.56	\$593.81	\$0.00	\$593.81		\$0.00
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
09/28/2017	BAXTER, VARIOUS	TRANSAMERICA LIFE	0.00	945.71	0.00	945.71	0.0	0.00
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$945.71	\$0.00	\$945.71		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/27/2017	GOLSCH, INVESTMENT LTD	ING ACCESS	0.00	54.11	0.00	54.11	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$54.11	\$0.00	\$54.11		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$956,456.56	\$1,629.65	\$0.00	\$1,629.65		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$6,792.88
Year-to-date Earnings	\$0.00