

**COMMISSION STATEMENT****August 15, 2018**BRUCE BAXTER
10385 Wellington Blvd.BROKER #: B121 Terminated 05/30/2015
BRANCH: BRUCE BAXTER

Powell, OH 43065

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-----------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 08/01/2018 | BROWN, IAN | MFS AGGRESSIVE GROWTH ALLOCATION A | 100.00 | 5.00 | 0.00 | 5.00 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$100.00 | \$5.00 | \$0.00 | \$5.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u> | | | | | | | | |
| 06/07/2018 | KRUPKE, LEE | TRANSAMERICA LIFE | 18,058.38 | 36.12 | 0.00 | 36.12 | 0.0 | 0.00 |
| 06/07/2018 | KRUPKE, JAMES | TRANSAMERICA LIFE | 18,180.26 | 36.36 | 0.00 | 36.36 | 0.0 | 0.00 |
| * VARIABLE UNIVERSAL LIFE SUBTOTAL * | | | \$36,238.64 | \$72.48 | \$0.00 | \$72.48 | | \$0.00 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 07/31/2018 | LAMBERT, DONNA | ING RELIASTAR | 87,602.61 | 54.75 | 0.00 | 54.75 | 0.0 | 0.00 |
| 07/31/2018 | LAMBERT, JOHN | ING RELIASTAR | 87,602.61 | 54.75 | 0.00 | 54.75 | 0.0 | 0.00 |
| 07/30/2018 | STAMBAUGH, GARY | SBL ADVISORDESIGNS VA | 0.00 | 5.77 | 0.00 | 5.77 | 0.0 | 0.00 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | \$175,205.22 | \$115.27 | \$0.00 | \$115.27 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$211,543.86 | \$192.75 | \$0.00 | \$192.75 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$1,333.61 |
| Year-to-date Earnings | \$0.00 |