



COMMISSION STATEMENT

February 19, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/05/2018	***-**-435P - ANTINORE, EMILY	CAPITAL INCOME BUILDER-A	66.45	1.06	0.00	1.06	95.0	1.01
	ACCOUNT #: 00067185955	BUY 1 Units @63.1500						
		* MUTUAL FUNDS SUBTOTAL *	\$66.45	\$1.06	\$0.00	\$1.06		\$1.01
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/14/2018	***-**-355I - CHRISTENSEN,	FINANCIAL INDUSTRIES A	0.00	0.20	0.00	0.20	95.0	0.19
	ACCOUNT #: 00777231908	BUY 0 Units @0.0000						
02/14/2018	***-**-428J - MYKINS, RONALD	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	0.88	0.00	0.88	95.0	0.84
	ACCOUNT #: 00008994438	BUY 0 Units @0.0000						
02/14/2018	***-**-355I - CHRISTENSEN,	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	0.17	0.00	0.17	95.0	0.16
	ACCOUNT #: 00777230696	BUY 0 Units @0.0000						
02/14/2018	***-**-355I - CHRISTENSEN,	LARGE CAP EQUITY A	0.00	0.11	0.00	0.11	95.0	0.10
	ACCOUNT #: 00777230400	BUY 0 Units @0.0000						
02/14/2018	***-**-1377 - MARCHESE, PAUL	NEW YORK 529 TRAILS	0.00	10.84	0.00	10.84	95.0	10.30
	ACCOUNT #: 77056237301	BUY 0 Units @0.0000						
02/19/2018	***-**-53AK - SUPERIOR	QUALIFIED PLAN SUMMARY	0.00	4.30	0.00	4.30	95.0	4.09
		BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$16.50	\$0.00	\$16.50		\$15.68
		*** BROKER TRANSACTIONS TOTAL ***	\$66.45	\$17.56	\$0.00	\$17.56		\$16.69

VERRIDE TRANSACTIONS

BROKER: RICHARD BIRNS

02/02/2018	***-**-192I - BIRNS, DAVID	HARTFORD CAPITAL APPRECIATION FUND	0.00	1.70	0.00	1.70	20.0	0.34
	ACCOUNT #: 00000647924	BUY 0 Units @0.0000						
02/02/2018	***-**-68IN - BIRNS, RICHARD	HARTFORD CAPITAL APPRECIATION FUND	0.00	15.50	0.00	15.50	20.0	3.10
	ACCOUNT #: 00022050066	BUY 0 Units @0.0000						
02/02/2018	***-**-192I - BIRNS, DAVID	THE HARTFORD CAPITAL APPRECIATION F	0.00	1.16	0.00	1.16	20.0	0.23
	ACCOUNT #: 00000647924	BUY 0 Units @0.0000						
02/02/2018	***-**-447P - BIRNS, PHYLLIS	THE HARTFORD CAPITAL APPRECIATION F	0.00	2.73	0.00	2.73	20.0	0.55
	ACCOUNT #: 00000700389	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RICHARD BIRNS (continued) </u>								
02/02/2018	***-**-68IN - BIRNS, RICHARD	THE HARTFORD CAPITAL APPRECIATION F	0.00	21.32	0.00	21.32	20.0	4.26
	ACCOUNT #: 00022050066	BUY 0 Units @0.0000						
02/02/2018	***-**-85IN - SEAMAN,	THE HARTFORD CAPITAL APPRECIATION F	0.00	1.46	0.00	1.46	20.0	0.29
	ACCOUNT #: 00022087686	BUY 0 Units @0.0000						
02/02/2018	***-**-68IN - BIRNS, RICHARD	THE HARTFORD DIVIDEND & GROWTH FUND	0.00	9.81	0.00	9.81	20.0	1.96
	ACCOUNT #: 00022050066	BUY 0 Units @0.0000						
02/02/2018	***-**-192I - BIRNS, DAVID	THE HARTFORD GROWTH OPPORTUNITIES F	0.00	2.62	0.00	2.62	20.0	0.52
	ACCOUNT #: 00000647924	BUY 0 Units @0.0000						
02/02/2018	***-**-192I - BIRNS, DAVID	THE HARTFORD INTL CAPITAL APP. FUND	0.00	1.11	0.00	1.11	20.0	0.22
	ACCOUNT #: 00000647924	BUY 0 Units @0.0000						
02/02/2018	***-**-68IN - BIRNS, RICHARD	THE HARTFORD INTL OPPORTUNITIES FD-	0.00	13.35	0.00	13.35	20.0	2.67
	ACCOUNT #: 00022050066	BUY 0 Units @0.0000						
02/02/2018	***-**-68IN - BIRNS, RICHARD	THE HARTFORD MID CAP FUND-A	0.00	21.39	0.00	21.39	20.0	4.28
	ACCOUNT #: 00022050066	BUY 0 Units @0.0000						
02/02/2018	***-**-192I - BIRNS, DAVID	THE HARTFORD SMALL CAP GROWTH FD-A	0.00	2.46	0.00	2.46	20.0	0.49
	ACCOUNT #: 00000647924	BUY 0 Units @0.0000						
* RICHARD BIRNS SUBTOTAL *			\$0.00	\$94.61	\$0.00	\$94.61		\$18.91
<u> BROKER: BARRY BRESIN </u>								
02/19/2018	***-**-16IN - STERN, PATRICIA	SAMMONS MF TRAILS	0.00	16.05	0.00	16.05	15.0	2.41
	ACCOUNT #: 7200027673	BUY 0 Units @0.0000						
02/19/2018	***-**-939I - BRESIN, HOWARD	SAMMONS MF TRAILS	0.00	312.51	0.00	312.51	15.0	46.88
	ACCOUNT #: 7200036177	BUY 0 Units @0.0000						
* BARRY BRESIN SUBTOTAL *			\$0.00	\$328.56	\$0.00	\$328.56		\$49.29
<u> BROKER: FREDERIC SCARPULLA </u>								
02/05/2018	***-**-395P - DIVASTA, ANTHONY	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	10.0	0.19
	ACCOUNT #: 00059597416	BUY 2 Units @28.2000						
02/05/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	10.0	0.04
	ACCOUNT #: 00089051066	BUY 2 Units @26.7400						
02/05/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	10.0	0.12
	ACCOUNT #: 00089910177	BUY 4 Units @26.7400						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
02/06/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @26.9800	20.00	0.20	0.00	0.20	10.0	0.02
02/06/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @26.9800	40.80	0.41	0.00	0.41	10.0	0.04
02/06/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	AMERICAN BALANCED FUND-C BUY 1 Units @26.9800	22.75	0.23	0.00	0.23	10.0	0.02
02/08/2018	***-**-3834 - SWARTWOUT, ACCOUNT #: 00089957234	AMERICAN BALANCED FUND-C BUY 2 Units @26.2700	50.00	0.50	0.00	0.50	10.0	0.05
02/08/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	AMERICAN BALANCED FUND-C BUY 1 Units @26.2700	28.05	0.28	0.00	0.28	10.0	0.03
02/08/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN BALANCED FUND-C BUY 0 Units @26.2700	6.00	0.06	0.00	0.06	10.0	0.01
02/08/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	AMERICAN BALANCED FUND-C BUY 0 Units @26.2700	4.74	0.05	0.00	0.05	10.0	0.01
02/08/2018	***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210	AMERICAN BALANCED FUND-C BUY 1 Units @26.2700	16.00	0.16	0.00	0.16	10.0	0.02
02/08/2018	***-**-2459 - MASCARO, ANTHONY ACCOUNT #: 00085904215	AMERICAN BALANCED FUND-C BUY 1 Units @26.2700	20.00	0.20	0.00	0.20	10.0	0.02
02/08/2018	***-**-2459 - MASCARO, ANTHONY ACCOUNT #: 00085904215	AMERICAN BALANCED FUND-C BUY 0 Units @26.2700	5.50	0.06	0.00	0.06	10.0	0.01
02/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @26.2700	20.00	0.20	0.00	0.20	10.0	0.02
02/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @26.2700	40.80	0.41	0.00	0.41	10.0	0.04
02/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 10 Units @10.2900	105.00	1.05	0.00	1.05	10.0	0.11
02/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @10.2900	4.55	0.05	0.00	0.05	10.0	0.01
02/08/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN MUTUAL FUND-C BUY 0 Units @38.8600	5.00	0.05	0.00	0.05	10.0	0.01
02/05/2018	***-**-425P - MEEKS, PERDITA ACCOUNT #: 00074210167	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @54.4600	12.50	0.63	0.00	0.63	10.0	0.06



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u>								
02/05/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	10.0	0.04
	ACCOUNT #: 00089910177	BUY 1 Units @50.7400						
02/06/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 00089045192	BUY 0 Units @51.2500						
02/08/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 00089045192	BUY 0 Units @49.5700						
02/08/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	96.40	0.96	0.00	0.96	10.0	0.10
	ACCOUNT #: 00087038420	BUY 2 Units @49.5700						
02/05/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @61.6300						
02/06/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @62.7300						
02/08/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	9.00	0.09	0.00	0.09	10.0	0.01
	ACCOUNT #: 00085902226	BUY 0 Units @60.0400						
02/08/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	10.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @60.0400						
02/08/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @60.0400						
02/08/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	10.0	0.05
	ACCOUNT #: 00087236607	BUY 2 Units @22.3700						
02/06/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	22.08	0.22	0.00	0.22	10.0	0.02
	ACCOUNT #: 00089583477	BUY 1 Units @40.5600						
02/08/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	10.0	0.03
	ACCOUNT #: 00085902249	BUY 1 Units @39.0700						
02/19/2018	***-**-77IN - TASCIONE,	NATIONWIDE YOURLIFE ACCUMULATION VU	833.00	8.33	0.00	8.33	10.0	0.83
	ACCOUNT #: N550288500	BUY 1 Units @833.0000						
02/05/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @41.9600						
02/06/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @42.6400						
02/08/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	10.0	0.01
	ACCOUNT #: 00085902226	BUY 0 Units @40.9100						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: FREDERIC SCARPULLA (continued) </u>								
02/08/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	10.0	0.02
	ACCOUNT #: 00085904203	BUY 1 Units @40.9100						
02/08/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @40.9100						
02/08/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	48.20	0.48	0.00	0.48	10.0	0.05
	ACCOUNT #: 00087038420	BUY 1 Units @63.2300						
02/08/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	21.00	0.21	0.00	0.21	10.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @63.2300						
02/08/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	10.50	0.11	0.00	0.11	10.0	0.01
	ACCOUNT #: 00085902226	BUY 0 Units @63.2300						
02/14/2018	***-**-503J - OBI, JEAN	NEW YORK 529 TRAILS	0.00	2.39	0.00	2.39	10.0	0.24
		BUY 0 Units @0.0000						
02/14/2018	***-**-015P - FANTAUZZO,	NEW YORK 529 TRAILS	0.00	1.48	0.00	1.48	10.0	0.15
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						
02/14/2018	***-**-335P - JULIAN, GIOVANA	NEW YORK 529 TRAILS	0.00	4.72	0.00	4.72	10.0	0.47
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
02/14/2018	***-**-80IN - MOYER, COLLEEN	NEW YORK 529 TRAILS	0.00	13.96	0.00	13.96	10.0	1.40
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
02/14/2018	***-**-2942 - WARNEY, KENNETH	NEW YORK 529 TRAILS	0.00	0.33	0.00	0.33	10.0	0.03
	ACCOUNT #: 77932712101	BUY 0 Units @0.0000						
02/14/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	138.52	1.39	0.00	1.39	10.0	0.14
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
02/14/2018	***-**-6352 - GINERVA, ENZA	NYA JPM 529 EQUITY INCOME PORTF C	10.00	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: 77766297801	BUY 0 Units @0.0000						
02/14/2018	***-**-6352 - GINERVA, ENZA	NYA JPM 529 EQUITY INCOME PORTF C	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 77766297801	BUY 0 Units @0.0000						
02/14/2018	***-**-2942 - WARNEY, KENNETH	NYA JPM 529 EQUITY INCOME PORTF C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 77932712101	BUY 0 Units @0.0000						
02/14/2018	***-**-015P - FANTAUZZO,	NYA JPM 529 REALTY INCOME PORTF C	165.00	1.65	0.00	1.65	10.0	0.17
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						
02/05/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @49.1800						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u> 								
02/06/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.3100	20.40	0.20	0.00	0.20	10.0	0.02
02/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @48.1500	20.40	0.20	0.00	0.20	10.0	0.02
02/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 1 Units @48.1500	48.20	0.48	0.00	0.48	10.0	0.05
02/08/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 2 Units @12.6500	28.05	0.28	0.00	0.28	10.0	0.03
02/08/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 1 Units @12.6500	9.00	0.09	0.00	0.09	10.0	0.01
02/05/2018	***-**-425P - MEEKS, PERDITA ACCOUNT #: 00074210167	THE GROWTH FUND OF AMERICA-A BUY 0 Units @53.7000	12.50	0.63	0.00	0.63	10.0	0.06
02/06/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 0 Units @47.7900	15.00	0.15	0.00	0.15	10.0	0.02
02/06/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	THE GROWTH FUND OF AMERICA-C BUY 0 Units @47.7900	22.08	0.22	0.00	0.22	10.0	0.02
02/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	THE GROWTH FUND OF AMERICA-C BUY 1 Units @45.6500	48.20	0.48	0.00	0.48	10.0	0.05
02/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 0 Units @45.6500	15.00	0.15	0.00	0.15	10.0	0.02
02/05/2018	***-**-395P - DIVASTA, ANTHONY ACCOUNT #: 00059597416	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @42.3400	50.00	1.88	0.00	1.88	10.0	0.19
02/07/2018	***-**-671I - LEGRETTE, TRICIA ACCOUNT #: 00086592195	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 1012 Units @12.8500	13,000.00	130.00	0.00	130.00	10.0	13.00
02/07/2018	***-**-63IN - DIAMOND, ANN ACCOUNT #: 00085468057	U.S. GOVERNMENT SECURITIES FUND-C BUY 4 Units @13.4100	50.00	0.50	0.00	0.50	10.0	0.05
02/05/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @44.5900	40.00	0.40	0.00	0.40	10.0	0.04
02/09/2018	***-**-7645 - SUSS, PATRICK ACCOUNT #: 04000680953	WASHINGTON MUTUAL INVESTORS FUND-C BUY 45 Units @44.2500	2,000.00	20.00	0.00	20.00	10.0	2.00
 * FREDERIC SCARPULLA SUBTOTAL * 			 \$17,721.22 	 \$203.85 	 \$0.00 	 \$203.85 		 \$20.46



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: WILLIAM AGNELLO </u>								
02/14/2018	***-**-82IO - PARISI	APOLLO COML REAL ESTATE FIN INC COM	1,799.50	20.00	0.00	20.00	25.0	5.00
	ACCOUNT #: LSR007331	BUY 100 Units @17.9950						
02/14/2018	***-**-82IO - PARISI	CHERRY HILL MTG INVT CORP COM UNSOL	3,352.00	16.00	0.00	16.00	25.0	4.00
	ACCOUNT #: LSR007331	BUY 200 Units @16.7600						
02/14/2018	***-**-28IN - CUP, ANDREW	NEW YORK 529 TRAILS	0.00	11.38	0.00	11.38	25.0	2.85
	ACCOUNT #: 77133335101	BUY 0 Units @0.0000						
02/14/2018	***-**-002I - NFS/FMTC IRA	WESTERN ASSET MTG CAP CORP COM UNSO	2,753.10	49.67	0.00	49.67	25.0	12.42
	ACCOUNT #: LSR007005	BUY 300 Units @9.1770						
		 * WILLIAM AGNELLO SUBTOTAL * 	 \$7,904.60 	 \$97.05 	 \$0.00 	 \$97.05 		 \$24.27
<u> BROKER: JAMES LOMBARDO </u>								
02/05/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	80.00	4.00	0.00	4.00	15.0	0.60
	ACCOUNT #: 00088153955	BUY 3 Units @28.5700						
02/06/2018	***-**-2608 - GIAMAS, GREGORY	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00081315892	BUY 2 Units @28.4500						
02/06/2018	***-**-2608 - GIAMAS, GREGORY	AMERICAN MUTUAL FUND-A	50.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00081315892	BUY 1 Units @42.6900						
02/06/2018	***-**-2608 - GIAMAS, GREGORY	FUNDAMENTAL INVESTORS-A	50.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00081315892	BUY 1 Units @66.0800						
02/02/2018	***-**-0683 - DAVIS, SHARON	HARTFORD INFLATION PLUS FUND CLASS	0.00	19.64	0.00	19.64	15.0	2.95
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						
02/06/2018	***-**-2608 - GIAMAS, GREGORY	NEW PERSPECTIVE FUND-A	50.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00081315892	BUY 1 Units @46.4900						
02/14/2018	***-**-13CL - BERG, C, CLIENTS	NEW YORK 529 TRAILS	0.00	0.30	0.00	0.30	15.0	0.05
	ACCOUNT #: 77450911001	BUY 0 Units @0.0000						
02/05/2018	***-**-1748 - LOMBARDO, JAMES	THE GROWTH FUND OF AMERICA-A	200.00	10.00	0.00	10.00	15.0	1.50
	ACCOUNT #: 00083088053	BUY 4 Units @53.7000						
02/06/2018	***-**-2608 - GIAMAS, GREGORY	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00081315892	BUY 1 Units @53.9900						
02/02/2018	***-**-0683 - DAVIS, SHARON	THE HARTFORD ADVISERS FUND-C	0.00	17.21	0.00	17.21	15.0	2.58
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						
02/02/2018	***-**-0683 - DAVIS, SHARON	THE HARTFORD DIVIDEND & GROWTH FUND	0.00	22.37	0.00	22.37	15.0	3.36
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						



COMMISSION STATEMENT

February 19, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: JAMES LOMBARDO (continued)</u> 								
02/05/2018	***-**-3828 - DUSABLON, DANIEL	U.S. GOVERNMENT SECURITIES FUND CLA	150.00	4.50	0.00	4.50	15.0	0.68
	ACCOUNT #: 00084530390	BUY 11 Units @14.0200						
		* JAMES LOMBARDO SUBTOTAL *	\$680.00	\$87.42	\$0.00	\$87.42		\$13.12
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$26,305.82	\$811.49	\$0.00	\$811.49		\$126.05

BROKER COMMISSION TOTALS

Broker Transactions	\$16.69
Split Transactions	\$0.00
Override Transactions	\$126.05
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$142.74
Year-to-date Earnings	\$0.00