

**COMMISSION STATEMENT****July 15, 2018****L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU**

11617 Coldwater Road

Suite 103

Fort Wayne, IN 46845

BROKER #: L131

BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
^07/09/2018	HAMM, GAIL	PERSHING	230,919.50	873.07	0.00	873.07	90.0	0.00
^07/09/2018	HAMM, GAIL	PERSHING	107,677.74	407.11	0.00	407.11	90.0	0.00
^07/09/2018	HAMM, GAIL	PERSHING	115,017.87	434.86	0.00	434.86	90.0	0.00
^07/09/2018	EISERLE, DAVID	PERSHING	18,336.04	69.33	0.00	69.33	90.0	0.00
^07/09/2018	NOVELL, DEANA	PERSHING	105,998.12	400.76	0.00	400.76	90.0	0.00
^07/09/2018	GROTE, DONALD	PERSHING	115,012.35	434.84	0.00	434.84	90.0	0.00
^07/09/2018	GROTE, DONALD	PERSHING	31,175.69	117.87	0.00	117.87	90.0	0.00
^07/09/2018	CHIDDISTER, LISA	PERSHING	92.05	0.35	0.00	0.35	90.0	0.00
^07/09/2018	KAISER, ROBIN	PERSHING	49,211.32	186.06	0.00	186.06	90.0	0.00
^07/09/2018	KAISER, ROBERT	PERSHING	15,725.68	59.46	0.00	59.46	90.0	0.00
^07/09/2018	GAY, RICHARD	PERSHING	24,238.71	91.64	0.00	91.64	90.0	0.00
^07/09/2018	SORG, RICHARD	PERSHING	84,207.89	212.25	0.00	212.25	90.0	0.00
^07/09/2018	BURTNETTE, PATRICIA	PERSHING	407,218.97	1,026.41	0.00	1,026.41	90.0	0.00
^07/09/2018	BAILEY, LAURIE	PERSHING	45,297.30	171.26	0.00	171.26	90.0	0.00
^07/09/2018	CAIN, LISA	PERSHING	27,857.09	105.32	0.00	105.32	90.0	0.00
^07/09/2018	CAIN, LISA	PERSHING	29,349.21	110.96	0.00	110.96	90.0	0.00
* RIA SUBTOTAL *			\$1,407,335.53	\$4,701.55	\$0.00	\$4,701.55		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$1,407,335.53	\$4,701.55	\$0.00	\$4,701.55		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
07/09/2018	HAMM, GAIL	PERSHING	230,919.50	261.92	0.00	261.92	90.0	235.73
07/09/2018	HAMM, GAIL	PERSHING	107,677.74	122.13	0.00	122.13	90.0	109.92
07/09/2018	HAMM, GAIL	PERSHING	115,017.87	130.46	0.00	130.46	90.0	117.41
07/09/2018	EISERLE, DAVID	PERSHING	18,336.04	20.80	0.00	20.80	90.0	18.72
07/09/2018	NOVELL, DEANA	PERSHING	105,998.12	120.23	0.00	120.23	90.0	108.21
07/09/2018	GROTE, DONALD	PERSHING	115,012.35	130.45	0.00	130.45	90.0	117.41
07/09/2018	GROTE, DONALD	PERSHING	31,175.69	35.36	0.00	35.36	90.0	31.82
07/09/2018	CHIDDISTER, LISA	PERSHING	92.05	0.11	0.00	0.11	90.0	0.10
07/09/2018	KAISER, ROBIN	PERSHING	49,211.32	55.82	0.00	55.82	90.0	50.24
07/09/2018	KAISER, ROBERT	PERSHING	15,725.68	17.84	0.00	17.84	90.0	16.06
07/09/2018	GAY, RICHARD	PERSHING	24,238.71	27.49	0.00	27.49	90.0	24.74
07/09/2018	SORG, RICHARD	PERSHING	84,207.89	63.68	0.00	63.68	90.0	57.31
07/09/2018	BURTNETTE, PATRICIA	PERSHING	407,218.97	307.92	0.00	307.92	90.0	277.13
07/09/2018	BAILEY, LAURIE	PERSHING	45,297.30	51.38	0.00	51.38	90.0	46.24
07/09/2018	CAIN, LISA	PERSHING	27,857.09	31.60	0.00	31.60	90.0	28.44
07/09/2018	CAIN, LISA	PERSHING	29,349.21	33.29	0.00	33.29	90.0	29.96
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,407,335.53	\$1,410.48	\$0.00	\$1,410.48		\$1,269.44
*** SPLIT TRANSACTIONS TOTAL ***			\$1,407,335.53	\$1,410.48	\$0.00	\$1,410.48		\$1,269.44

ADJUSTMENTS

07/15/2018	*PERSHING: MF PROSPECTUS	0.00						0.00
07/15/2018	*PERSHING: MF PROSPECTUS	0.00						-0.47
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-0.47

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$1,269.44
Override Transactions	\$0.00
Adjustments	\$-0.47
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,268.97
Year-to-date Gross	\$11,502.69
Year-to-date Earnings	\$10,352.48