



Concorde Investment Services, LLC
COMMISSION STATEMENT
February 15, 2018

02/19/2018
Page No. 1

ALAN, L133 LICHTENSTEIN
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
01/31/2018	LICHTENSTEIN, ALAN	ISHARES TR S&P MIDCAP 400 GROWTH ET	2,716.02	0.00	22.50	-22.50	75.0	-16.88
01/31/2018	LICHTENSTEIN, ALAN	POWERSHARES QQQ TR UNIT SER 1	3,051.16	0.00	22.50	-22.50	75.0	-16.88
01/31/2018	LICHTENSTEIN, ALAN	POWERSHARES QQQ TR UNIT SER 1	2,547.40	0.00	22.50	-22.50	75.0	-16.88
01/31/2018	KONCIUS, PATRICE	VANGUARD INDEX FDS VANGUARD VALUE E	14,829.50	0.00	22.50	-22.50	75.0	-16.88
01/31/2018	LICHTENSTEIN, ALAN	VANGUARD WHITEHALL FDS HIGH DIVIDEN	2,231.23	0.00	22.50	-22.50	75.0	-16.88
* STOCKS SUBTOTAL *			\$25,375.31	\$0.00	\$112.50	\$-112.50		\$-84.40
*** CLEARING TRANSACTIONS TOTAL ***			\$25,375.31	\$0.00	\$112.50	\$-112.50		\$-84.40
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
01/24/2018	RUSSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.30	0.00	3.30	75.0	2.48
01/17/2018	RUSSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.21	0.00	2.21	75.0	1.66
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$5.51	\$0.00	\$5.51		\$4.14
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$5.51	\$0.00	\$5.51		\$4.14
<u>ADJUSTMENTS</u>								
02/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
02/15/2018		*EO INS: EO INS - MONTHLY	0.00					-300.00
02/15/2018		*FINRA: FINRA CE TRACKED WEB FEE S1	0.00					-55.00
02/15/2018		*PERSHING: PERSHING MARKUPS	0.00					150.00
02/15/2018		*TECHNOLOGY: MONTHLY BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-392.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$-80.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-392.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$18.91
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-453.35
Year-to-date Gross	\$97.40
Year-to-date Earnings	\$-11.34