

**COMMISSION STATEMENT****August 15, 2018**

ROBERT, S117 SMITH  
5000 Meadows Road  
Suite 230  
Lake Oswego, OR 97035

BROKER #: S117  
BRANCH: ROBERT SMITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
08/09/2018	BENNETT TRUST, EDWIN	GAMCO GLOBAL GOLD NAT RES & INCOME	1,017.00	20.34	22.50	-2.16	92.0	-1.99
		* STOCKS SUBTOTAL *	\$1,017.00	\$20.34	\$22.50	\$-2.16		\$-1.99
		*** CLEARING TRANSACTIONS TOTAL ***	\$1,017.00	\$20.34	\$22.50	\$-2.16		\$-1.99
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
07/02/2018	LMS INVESTMENTS INC	BLUEROCK AXIS WEST DST	125,000.00	7,500.00	0.00	7,500.00	92.0	6,900.00
07/06/2018	SUSAK, GARY	BLUEROCK AXIS WEST DST	94,490.65	5,669.44	0.00	5,669.44	92.0	5,215.88
07/06/2018	POLO CLUB LLC, THE	BLUEROCK AXIS WEST DST	571,411.06	34,284.66	0.00	34,284.66	92.0	31,541.89
06/28/2018	TAYLOR FAMILY TRUST, GEORGE	BLUEROCK BR AXIS WEST DST	53,621.75	3,217.31	0.00	3,217.31	92.0	2,959.93
07/02/2018	RAMSTEN JR TRUST, CARL	BLUEROCK BR AXIS WEST DST	27,493.29	1,649.60	0.00	1,649.60	92.0	1,517.63
07/11/2018	PARMENTER, JODY	BLUEROCK BR AXIS WEST DST	75,592.75	4,535.57	0.00	4,535.57	92.0	4,172.72
07/11/2018	JOHNSON, MARK	EXCHANGERIGHT NET LEASED PF 21 DST	91,836.52	5,969.37	0.00	5,969.37	92.0	5,491.82
		* 1031 EXCHANGES SUBTOTAL *	\$1,039,446.02	\$62,825.95	\$0.00	\$62,825.95		\$57,799.87
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/23/2018	BENNETT, GLENDA	FRANKLIN BALANCE SHEET INVST A	0.00	5.49	0.00	5.49	92.0	5.05
07/23/2018	BENNETT, GLENDA	FRANKLIN DYNATECH A	0.00	0.66	0.00	0.66	92.0	0.61
07/23/2018	BENNETT, GLENDA	FRANKLIN EQUITY INCOME A	0.00	8.02	0.00	8.02	92.0	7.38
07/23/2018	BENNETT, GLENDA	FRANKLIN GROWTH A	0.00	9.14	0.00	9.14	92.0	8.41
07/23/2018	BENNETT, GLENDA	FRANKLIN HIGH INCOME A	0.00	2.20	0.00	2.20	92.0	2.02
07/23/2018	BENNETT, GLENDA	FRANKLIN MUTUAL SHARES A	0.00	4.90	0.00	4.90	92.0	4.51
07/23/2018	BENNETT, GLENDA	FRANKLIN STRATEGIC INCOME A	0.00	3.59	0.00	3.59	92.0	3.30
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$34.00	\$0.00	\$34.00		\$31.28
		*** DIRECT TRANSACTIONS TOTAL ***	\$1,039,446.02	\$62,859.95	\$0.00	\$62,859.95		\$57,831.15

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
08/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
08/15/2018		*CONCIERGE SVC: 219875 SNOWLEY	0.00					-50.00
08/15/2018		*CONCIERGE SVC: 219953 PARMENTER	0.00					-50.00
08/15/2018		*CONCIERGE SVC: 220162 SNOWLEY	0.00					-50.00
08/15/2018		*CONCIERGE SVC: 220230 JOHNSON	0.00					-50.00
08/15/2018		*CONCIERGE SVC: 220275 BRANDT	0.00					-50.00
08/15/2018		*CONCIERGE SVC: 220405 BURNS	0.00					-50.00
08/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2018		*FINRA: L. RUPPE BACKGROUND REVIEW PROCESSING	0.00					-100.00
08/15/2018		*FINRA: L. RUPPE CRD REG APP	0.00					-100.00
08/15/2018		*FINRA: L. RUPPE DISCLOSURE REVIEW FEE	0.00					-125.00
08/15/2018		*FINRA: L. RUPPE FINGERPRINTING	0.00					-25.00
08/15/2018		*FINRA: L. RUPPE FIRM ELEMENT CE	0.00					-125.00
08/15/2018		*FINRA: L. RUPPE S22 EXAM	0.00					-150.00
08/15/2018		*PERSHING: DOMESTIC ADDRESS VERIFICATION	0.00					-3.00
08/15/2018		*PERSHING: MANDATORY REORG MERGER PNW020386	0.00					-10.00
08/15/2018		*TECHNOLOGY: ADMIN - LYNN SMITH	0.00					-130.00
08/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,555.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$57,829.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,555.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$56,274.16
Year-to-date Gross	\$924,144.69
Year-to-date Earnings	\$849,573.07