



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 15, 2017

05/11/2017
 Page No. 1

DELMAR (BO)-M143 MCKINNEY
 5 Red Bud Trail

BROKER #: M143
 BRANCH: EAGLE WEALTH MANAGEM

Round Rock, TX 78655-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
04/26/2017	LUERSEN, JENNIFER	RESOURCE R E DIVERSIFIED INC FUND A	50,000.00	2,750.00	22.00	2,728.00	90.0	2,455.20
		* INTERVAL FUNDS SUBTOTAL *	\$50,000.00	\$2,750.00	\$22.00	\$2,728.00		\$2,455.20
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/28/2017	ENOCHS, LELAND	AM FUNDS EUROPACIFIC GROWTH FUND A	105.00	2.10	0.00	2.10	90.0	1.89
04/28/2017	ENOCHS, LELAND	AM FUNDS EUROPACIFIC GROWTH FUND A	270.82	5.42	0.00	5.42	90.0	4.88
04/28/2017	ENOCHS, DONNA	AM FUNDS EUROPACIFIC GROWTH FUND A	8.25	0.17	0.00	0.17	90.0	0.15
04/28/2017	ENOCHS, DONNA	AM FUNDS EUROPACIFIC GROWTH FUND A	253.96	5.08	0.00	5.08	90.0	4.57
04/28/2017	ENOCHS, LELAND	AM FUNDS FUNDAMENTAL INVESTORS A	105.00	2.10	0.00	2.10	90.0	1.89
04/28/2017	ENOCHS, LELAND	AM FUNDS FUNDAMENTAL INVESTORS A	270.84	5.42	0.00	5.42	90.0	4.88
04/28/2017	ENOCHS, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	8.25	0.17	0.00	0.17	90.0	0.15
04/28/2017	ENOCHS, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	253.96	5.08	0.00	5.08	90.0	4.57
04/28/2017	BRUECKNER, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA A	325.00	5.20	0.00	5.20	90.0	4.68
04/28/2017	ENOCHS, LELAND	AM FUNDS GROWTH FUND OF AMERICA A	105.00	2.10	0.00	2.10	90.0	1.89
04/28/2017	ENOCHS, LELAND	AM FUNDS GROWTH FUND OF AMERICA A	270.84	5.42	0.00	5.42	90.0	4.88
04/28/2017	ENOCHS, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	8.25	0.17	0.00	0.17	90.0	0.15
04/28/2017	ENOCHS, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	253.96	5.08	0.00	5.08	90.0	4.57
05/02/2017	LAWHON, JACKIE	AM FUNDS GROWTH FUND OF AMERICA A	-150.00	0.00	12.00	-12.00	90.0	-10.80
04/17/2017	MICHNA, DENISE	AM FUNDS WASHINGTON MUTUAL INVESTOR	393.00	19.65	0.00	19.65	90.0	17.69
04/17/2017	MICHNA, DENISE	AM FUNDS WASHINGTON MUTUAL INVESTOR	4,107.00	205.35	0.00	205.35	90.0	184.82
04/17/2017	MICHNA, DENISE	AM FUNDS WASHINGTON MUTUAL INVESTOR	-4,500.00	-225.00	0.00	-225.00	90.0	-202.50
04/24/2017	COLLINS, TYLER	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	90.0	4.50
04/26/2017	STOLTE, VERNALINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	-3,750.00	22.00	22.00	0.00	90.0	0.00
04/28/2017	ENOCHS, LELAND	AM FUNDS WASHINGTON MUTUAL INVESTOR	105.00	2.10	0.00	2.10	90.0	1.89
04/28/2017	ENOCHS, LELAND	AM FUNDS WASHINGTON MUTUAL INVESTOR	270.84	5.42	0.00	5.42	90.0	4.88
04/28/2017	ENOCHS, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	8.25	0.17	0.00	0.17	90.0	0.15
04/28/2017	ENOCHS, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	253.97	5.08	0.00	5.08	90.0	4.57

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/02/2017	LAWHON, JACKIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	-350.00	0.00	12.00	-12.00	90.0	-10.80
03/31/2017	NAIZER, JOHNNIE	BLACKROCK 19452W490	0.00	1.79	0.00	1.79	90.0	1.61
03/31/2017	KORNEGAY, ALISA	BLACKROCK 19452W490	0.00	1.12	0.00	1.12	90.0	1.01
03/31/2017	SMITH, MARLO	BLACKROCK 19452W490	0.00	0.44	0.00	0.44	90.0	0.40
03/31/2017	SMITH, MARLO	BLK AGG GROWTH A	0.00	0.34	0.00	0.34	90.0	0.31
05/02/2017	STOLTE, VERNALINE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	-7,450.00	0.00	0.00	0.00	90.0	0.00
/ /	MICHNA JT TEN WROS, JIMMY	HARTFORD CAPITAL APPRECIATION A	0.00	2.68	0.00	2.68	90.0	2.41
/ /	EWING, JOHN	HARTFORD GROWTH ALLOCATION A	0.00	0.55	0.00	0.55	90.0	0.50
/ /	SMITH, MARLO	HARTFORD GROWTH ALLOCATION A	0.00	1.29	0.00	1.29	90.0	1.16
/ /	MICHNA JT TEN WROS, JIMMY	HARTFORD MIDCAP A	0.00	0.39	0.00	0.39	90.0	0.35
* MUTUAL FUNDS SUBTOTAL *			\$-8,722.81	\$91.88	\$46.00	\$45.88		\$41.30
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/03/2017	KELLEY, DOLORES	AM GEN POLIRIS II FLEXIBLE	2,595.75	2.60	0.00	2.60	90.0	2.34
04/30/2017	MASON, JOHN	JNL PERSPECTIVE ADV II 5/05	437,674.55	364.73	0.00	364.73	90.0	328.26
04/30/2017	MASON, JOHN	JNL PERSPECTIVE L	435,172.60	362.64	0.00	362.64	90.0	326.38
04/30/2017	PEMBERTON, PATRICIA	JOHN HANCOCK VENTURE	17,273.36	3.60	0.00	3.60	90.0	3.24
* VARIABLE ANNUITIES SUBTOTAL *			\$892,716.26	\$733.57	\$0.00	\$733.57		\$660.22
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
05/04/2017	FUESSEL IRREV TR, NORMAN	FT UNIT 6496 FINLS SELECT PORTFOLIO	10,459.06	303.28	0.00	303.28	90.0	272.95
05/04/2017	FUESSEL IRREV TR, NORMAN	FT UNIT 6497 HEALTH CARE SELECT POR	10,450.55	303.10	0.00	303.10	90.0	272.79
05/04/2017	FUESSEL IRREV TR, NORMAN	FT UNIT 6515 EQUITY INCOME SELECT P	10,452.32	303.10	0.00	303.10	90.0	272.79
05/04/2017	FUESSEL NE & NA TR, NORMAN	FT UNIT 6543 CAP STRENGTH PORTFOLIO	13,604.57	394.58	0.00	394.58	90.0	355.12
05/04/2017	FUESSEL IRREV TR, NORMAN	FT UNIT 6602 SMID CAP STRENGTH PORT	10,452.05	303.13	0.00	303.13	90.0	272.82
05/04/2017	FUESSEL IRREV TR, NORMAN	FT UNIT 6636 SABRIENT BAKERS PORTFO	10,458.52	209.20	0.00	209.20	90.0	188.28
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$65,877.07	\$1,816.39	\$0.00	\$1,816.39		\$1,634.75
*** BROKER TRANSACTIONS TOTAL ***			\$999,870.52	\$5,391.84	\$68.00	\$5,323.84		\$4,791.47

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<u>ADJUSTMENTS</u>								
05/15/2017		*CONCIERGE SVC: 190747 KETTLE	0.00					-50.00
05/15/2017		*EO INS: CURRENT MONTH EO INS	0.00					-300.00
05/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-1.50
05/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-1.55
05/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-628.05

BROKER COMMISSION TOTALS

Broker Transactions	\$4,791.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-628.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,163.42
Year-to-date Gross	\$77,762.82
Year-to-date Earnings	\$69,181.49

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