

COMMISSION STATEMENT
February 15, 2018

SYLVIA LUM
209 Broadway Avenue
Millbrae, CA 94030

BROKER #: 73896
BRANCH: 04-#70A11 HOME OFFIC

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|------------------------------------|--------|------------|----------------------|---------------------|-------------------|--------------------|----------------------|
| <u>Company: Securities America</u> | | | | | | | |

ADJUSTMENTS

| | | | | | | |
|---------------------------------|--------|--------|--------|--------|--------|--------|
| PRIOR PERIOD BALANCE(SEE BELOW) | | | | | | 0.00 |
| *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Prior Period Balance | \$-0.04 |
| NASD Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|----------|
| Balance Forward | \$-0.04 |
| Year-to-date Earnings | \$279.46 |