

COMMISSION STATEMENT

June 18, 2018

NEIL BAUMAN

700 Ridge Road Suite 1 Webster, NY 14580 BROKER #: 985 Terminated 12/31/2017 BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-----------|---|---|---------------------------------------|---------------------|---------------------------------------|-------------------|------|----------------------|
| | | SPLIT TRA | NSACTIONS | | | | | |
| BROKER: | TAMMY MASON | | | | | | | |
| 06/01/201 | 8 ***-**-259I - WINTERMUTE, ACCOUNT #: 00087282271 | EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000 | 0.00 | 2.30 | 0.00 | 2.30 | 85.0 | 1.96 |
| 06/01/201 | 8 ***-**-259I - WINTERMUTE, ACCOUNT #: 00087282271 | THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 10.68 | 0.00 | 10.68 | 85.0 | 9.08 |
| 06/01/201 | 8 ***-**-259I - WINTERMUTE, ACCOUNT #: 00087282271 | THE NEW ECONOMY FUND-A BUY 0 Units @0.0000 | 0.00 | 11.69 | 0.00 | 11.69 | 85.0 | 9.94 |
| | | * TAMMY MASON SUBTOTAL * | \$0.00 | \$24.67 | \$0.00 | \$24.67 | | \$20.98 |
| | | *** SPLIT TRANSACTIONS TOTAL *** | \$0.00 | \$24.67 | \$0.00 | \$24.67 | | \$20.98 |
| | | | | | BROKER COMMIS | | | TOTALS |
| | | | | | Broker Transactions | | | \$0.00 |
| | | | | | Split Transactions | | | \$20.98 |
| | | | | | Override Transactions | | | \$0.00 |
| | | | | | Adjustments | | | \$0.00 |
| | | | | | Payroll Draw | | | \$0.00 |
| | | | | | Base Salary | | | \$0.00 |
| | | | | | Prior | Period Balance | | \$52.53 |
| | | | | | FINRA Assessment | | | \$0.00 |
| | | | | | SIPC Assessment | | | \$0.00 |
| | | | se Retain for You LL BE NO PAYMENT | | Balance Forward Year-to-date Earnings | | | \$73.51 \$0.00 |