



Concorde Investment Services, LLC

12/15/2017

Page No. 1

COMMISSION STATEMENT**December 15, 2017**MARTIN PROSHEK
6721 Janel LaneBROKER #: P126
BRANCH: MARTIN PROSHEK

Maumee, OH 43537-1232

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/30/2017	WILSON, LYNNE	COLUMBIA SELIGMAN COMM AND INFO A	-30,128.61	0.00	0.00	0.00	80.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$-30,128.61	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
11/28/2017	WILSON, LYNNE	EXPRESS SCRIPTS HLDG CO COM	30,437.25	304.37	22.75	281.62	80.0	225.30
12/07/2017	WILSON, LYNNE	FINISAR CORP COM NEW	35,453.60	354.54	25.00	329.54	80.0	263.63
12/05/2017	RIZZO, MARIO	FS INVT CORP COM	-4,025.00	50.00	22.75	27.25	80.0	21.80
		* STOCKS SUBTOTAL *	\$61,865.85	\$708.91	\$70.50	\$638.41		\$510.73
		*** CLEARING TRANSACTIONS TOTAL ***	\$31,737.24	\$708.91	\$70.50	\$638.41		\$510.73

DIRECT TRANSACTIONS**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

11/20/2017	NERO, PATRICK	ALLIANZGI FOCUSED GROWTH A	0.00	6.34	0.00	6.34	80.0	5.07
11/20/2017	MABEE, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.30	0.00	1.30	80.0	1.04
11/20/2017	MABEE, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.24	0.00	0.24	80.0	0.19
11/20/2017	MABEE, SUSAN	AM FUNDS NEW WORLD A	0.00	0.49	0.00	0.49	80.0	0.39
11/22/2017	FUQUA, CHRIS	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.51	0.00	0.51	80.0	0.41
11/22/2017	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.36	0.00	0.36	80.0	0.29
11/22/2017	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.08	0.00	0.08	80.0	0.06
11/22/2017	HORR, DIANE	BLACKROCK ENERGY & RESOURCES PORTFO	0.00	0.41	0.00	0.41	80.0	0.33
11/22/2017	WILSON, LYNNE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.22	0.00	6.22	80.0	4.98
11/22/2017	KODAT, JANICE	COLUMBIA SELIGMAN GLOBAL TECH A	0.00	5.20	0.00	5.20	80.0	4.16
11/13/2017	MCPARTLAND, BARBARA	DAVIS APPRECIATION AND INCOME A	0.00	1.58	0.00	1.58	80.0	1.26
11/10/2017	HENNINGER, DAROLD	DELAWARE HEALTHCARE FUNDS CLASS A	0.00	8.19	0.00	8.19	80.0	6.55
11/20/2017	MCPARTLAND, BARBARA	FIDELITY ADVISOR BIOTECHNOLOGY FUND	0.00	3.63	0.00	3.63	80.0	2.90
11/20/2017	HENNINGER, DAROLD	FIDELITY ADVISOR TELECOMMUNICATIONS	0.00	6.05	0.00	6.05	80.0	4.84

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
11/24/2017	FUQUA, CHARLES (C.K.)	FIRST EAGLE GLOBAL FUND CL A	0.00	7.73	0.00	7.73	80.0	6.18
11/24/2017	HENNINGER, DAROLD	FIRST EAGLE GLOBAL FUND CL A	0.00	6.76	0.00	6.76	80.0	5.41
11/24/2017	MCPARTLAND, BARBARA	FIRST EAGLE GLOBAL FUND CL A	0.00	3.21	0.00	3.21	80.0	2.57
11/13/2017	NERO, PATRICK	FRANKLIN BALANCED C	0.00	11.92	0.00	11.92	80.0	9.54
11/13/2017	NERO, BARBARA	FRANKLIN BALANCED C	0.00	8.30	0.00	8.30	80.0	6.64
11/13/2017	FUQUA, CHARLES (C.K.)	FRANKLIN CONV SEC A	0.00	5.70	0.00	5.70	80.0	4.56
11/13/2017	MCPARTLAND, BARBARA	FRANKLIN INCOME A	0.00	1.08	0.00	1.08	80.0	0.86
11/17/2017	WARREN, KATHLEEN	JANUS GLOBAL LIFE SCIENCES FUND CLA	0.00	1.37	0.00	1.37	80.0	1.10
11/14/2017	HORR, DIANE	MFS EMERGING MARKETS DEBT FUND A	0.00	2.92	0.00	2.92	80.0	2.34
11/14/2017	FUQUA, CHARLES (C.K.)	MFS GROWTH ALLOCATION A	0.00	5.91	0.00	5.91	80.0	4.73
11/14/2017	FUQUA, CHRIS	MFS GROWTH ALLOCATION A	0.00	1.58	0.00	1.58	80.0	1.26
11/10/2017	HORR, DIANE	NATIXIS LOOMIS STRATEGIC INCOME A	0.00	1.89	0.00	1.89	80.0	1.51
11/24/2017	KODAT, JANICE	PIMCO FUNDAMENTAL INDEXPLUS AR FUND	0.00	8.50	0.00	8.50	80.0	6.80
11/10/2017	FUQUA, CHARLES (C.K.)	SALIENT SELECT INCOME FUND CLASS A	0.00	5.00	0.00	5.00	80.0	4.00
11/10/2017	MCPARTLAND, BARBARA	SALIENT SELECT INCOME FUND CLASS A	0.00	2.94	0.00	2.94	80.0	2.35
11/13/2017	KODAT, JANICE	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	8.22	0.00	8.22	80.0	6.58
11/13/2017	MORSE, PATRICIA	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	5.47	0.00	5.47	80.0	4.38
11/13/2017	FUQUA, CHARLES (C.K.)	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	7.13	0.00	7.13	80.0	5.70
11/13/2017	HENNINGER, DAROLD	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	7.53	0.00	7.53	80.0	6.02
11/16/2017	MCPARTLAND, BARBARA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	3.74	0.00	3.74	80.0	2.99
11/16/2017	MCPARTLAND, BARBARA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	0.90	0.00	0.90	80.0	0.72
11/16/2017	NERO, PATRICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	12.74	0.00	12.74	80.0	10.19
11/16/2017	NERO, BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	8.88	0.00	8.88	80.0	7.10
11/16/2017	KODAT, JANICE	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	7.63	0.00	7.63	80.0	6.10
11/22/2017	FUQUA, CHARLES (C.K.)	UNDISCOVERED MANAGERS BEHAVIORAL VA	0.00	10.51	0.00	10.51	80.0	8.41
11/15/2017	FUQUA, CHARLES (C.K.)	WELLS FARGO SPECIAL MID CAP VALUE F	0.00	7.65	0.00	7.65	80.0	6.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$195.81	\$0.00	\$195.81		\$156.63
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$195.81	\$0.00	\$195.81		\$156.63

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
12/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
12/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
12/15/2017		*LASER APP: CURRENT MONTH	0.00					-18.00
12/15/2017		*TECHNOLOGY: CURENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-505.00

BROKER COMMISSION TOTALS

Broker Transactions	\$667.36
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-505.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-662.10
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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Balance Forward	\$-499.74
Year-to-date Gross	\$34,809.57
Year-to-date Earnings	\$26,490.39

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