

STATEMENT

January 20, 2018

BRUCE COHEN
8 Kings HWY West Suite 6D
SUITE 6B
Haddonfield, NJ 08033

BROKER #: 077
BRANCH:

COMMISSION STATEMENT for BRUCE COHEN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/04/2017 #	OSINSKI, SIGMUND	GENERAL ELECTRIC CO	1,796.50	50.00	92.0	0.00	46.00	19.95	26.05
12/04/2017 #	OSINSKI, SIGMUND	GENERAL ELECTRIC CO	1,796.50	50.00	92.0	0.00	46.00	19.95	26.05
12/11/2017 #	GATES, MICHAEL	DICKS SPORTING GOODS INC	5,972.02	50.00	92.0	0.00	46.00	19.95	26.05
* STOCKS SUBTOTAL *			\$9,565.02	\$150.00		\$0.00	\$138.00	\$59.85	\$78.15
<u>PRODUCT CATEGORY: BONDS</u>									
12/27/2017 #	ELWELL, JAY	G N M A PASS THRU POOL MA4841M	299,038.37	7,265.57	92.0	0.00	6,684.32	20.00	6,664.32
* BONDS SUBTOTAL *			\$299,038.37	\$7,265.57		\$0.00	\$6,684.32	\$20.00	\$6,664.32
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
12/22/2017 #	DENSON, MIKKI	UIT FIRST TRUST	24,131.60	20.00	92.0	0.00	18.40	18.00	0.40
12/26/2017 #	GROMAN, WANDA	UIT FIRST TRUST DIVERSIFIED SER 201	7,470.70	20.00	92.0	0.00	18.40	18.00	0.40
12/26/2017 #	MCCULLOUGH, WILLIAM	UIT FIRST TRUST DIVERSIFIED SER 201	45,401.35	20.00	92.0	0.00	18.40	18.00	0.40
12/26/2017 #	WATERS, STEPHEN	UIT FIRST TRUST HIGH DIVID SER 33 E	4,618.35	20.00	92.0	0.00	18.40	18.00	0.40
12/27/2017 #	ELWELL, JAY	UIT FIRST TRUST DIVERSIFIED INCOME	102,892.08	20.00	92.0	0.00	18.40	18.00	0.40
12/27/2017 #	ELWELL, JAY	UIT FIRST TRUST DIVERSIFIED INCOME	115,242.13	20.00	92.0	0.00	18.40	18.00	0.40
12/27/2017 #	ELWELL, JAY	UIT FIRST TRUST DIVERSIFIED SER 201	106,310.36	20.00	92.0	0.00	18.40	18.00	0.40
12/27/2017 #	TAYLOR, VICTOR	UIT FT CORP TAX REFORM	150,003.90	3,000.62	92.0	0.00	2,760.57	18.00	2,742.57
12/27/2017 #	TAYLOR, VICTOR	UIT FT E-COMMERCE OPPORTUNITY	125,006.00	1,562.22	92.0	0.00	1,437.24	18.00	1,419.24
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$681,076.47	\$4,702.84		\$0.00	\$4,326.61	\$162.00	\$4,164.61
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017 #00084502690	OSINSKI,	CAPITAL INCOME BUILDER FD CL A	0.00	0.57	92.0	0.00	0.52	0.00	0.52
12/01/2017 #00084502748		CAPITAL INCOME BUILDER FD CL A	0.00	0.86	92.0	0.00	0.79	0.00	0.79
12/01/2017 #00084502690	OSINSKI,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.81	92.0	0.00	1.67	0.00	1.67
12/01/2017 #00084502748		CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.74	92.0	0.00	2.52	0.00	2.52
12/01/2017 #00084502690	OSINSKI,	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.01	92.0	0.00	0.93	0.00	0.93
12/01/2017 #00084502748		EURO PACIFIC GROWTH FUND CLASS A	0.00	1.53	92.0	0.00	1.41	0.00	1.41
12/01/2017 #00084502690	OSINSKI,	GROWTH FUND AMERICA INC CLASS A	0.00	1.04	92.0	0.00	0.96	0.00	0.96

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00084502748	GROWTH FUND AMERICA INC CLASS A	0.00	1.64	92.0	0.00	1.51	0.00	1.51
12/01/2017	#00074264767 BURKE, DYLAN	SMALLCAP WORLD FUND-529A	0.00	1.72	92.0	0.00	1.58	0.00	1.58
12/11/2017	# LEONETTI, MARK	DAIN TRAILER	0.00	32.29	92.0	0.00	29.71	0.00	29.71
12/11/2017	# LEONETTI, MARK	DAIN TRAILER	0.00	4.96	92.0	0.00	4.56	0.00	4.56
12/11/2017	# LEONETTI, MARK	DAIN TRAILER	0.00	4.71	92.0	0.00	4.33	0.00	4.33
12/11/2017	# WHITE, ANN	DAIN TRAILER	0.00	13.78	92.0	0.00	12.68	0.00	12.68
12/11/2017	# WHITE, ANN	DAIN TRAILER	0.00	18.79	92.0	0.00	17.29	0.00	17.29
12/11/2017	# OLSEN, WARREN	DAIN TRAILER	0.00	24.57	92.0	0.00	22.60	0.00	22.60
12/11/2017	# OLSEN, WARREN	DAIN TRAILER	0.00	11.17	92.0	0.00	10.28	0.00	10.28
12/11/2017	# MCCULLOUGH, WILLIAM	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
12/11/2017	# LEVINE, HYMAN	DAIN TRAILER	0.00	0.54	92.0	0.00	0.50	0.00	0.50
12/11/2017	# LEVINE, HYMAN	DAIN TRAILER	0.00	12.48	92.0	0.00	11.48	0.00	11.48
12/11/2017	# LEVINE, HYMAN	DAIN TRAILER	0.00	0.50	92.0	0.00	0.46	0.00	0.46
12/11/2017	# LEVINE, HYMAN	DAIN TRAILER	0.00	0.82	92.0	0.00	0.75	0.00	0.75
12/11/2017	# LEVINE, HYMAN	DAIN TRAILER	0.00	3.65	92.0	0.00	3.36	0.00	3.36
12/11/2017	# GATES, MICHAEL	DAIN TRAILER	0.00	11.44	92.0	0.00	10.52	0.00	10.52
12/11/2017	# GATES, MICHAEL	DAIN TRAILER	0.00	13.57	92.0	0.00	12.48	0.00	12.48
12/11/2017	# GATES, MICHAEL	DAIN TRAILER	0.00	9.65	92.0	0.00	8.88	0.00	8.88
12/11/2017	# GATES, MICHAEL	DAIN TRAILER	0.00	12.68	92.0	0.00	11.67	0.00	11.67
12/11/2017	# GATES, MICHAEL	DAIN TRAILER	0.00	3.12	92.0	0.00	2.87	0.00	2.87
12/11/2017	# ZUCKERMAN, ALAN	DAIN TRAILER	0.00	5.47	92.0	0.00	5.03	0.00	5.03
12/11/2017	# ZUCKERMAN, ALAN	DAIN TRAILER	0.00	9.99	92.0	0.00	9.19	0.00	9.19
12/11/2017	# ZUCKERMAN, ALAN	DAIN TRAILER	0.00	2.35	92.0	0.00	2.16	0.00	2.16
12/11/2017	# ZUCKERMAN, ALAN	DAIN TRAILER	0.00	3.26	92.0	0.00	3.00	0.00	3.00
12/11/2017	# ZUCKERMAN, ALAN	DAIN TRAILER	0.00	3.45	92.0	0.00	3.17	0.00	3.17
12/11/2017	# ZUCKERMAN, ALAN	DAIN TRAILER	0.00	8.25	92.0	0.00	7.59	0.00	7.59
12/11/2017	# GROSS, LORETTA	DAIN TRAILER	0.00	4.67	92.0	0.00	4.30	0.00	4.30
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$229.10		\$0.00	\$210.77	\$0.00	\$210.77
*** BROKER TRANSACTIONS TOTAL ***			\$989,679.86	\$12,347.51		\$0.00	\$11,359.70	\$241.85	\$11,117.85

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<u>ADJUSTMENTS</u>									
01/20/2018		BETA BACK OFFICE: ADMIN FEE							-320.00
01/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
01/20/2018		BONDS & UIT'S: BONDS & UIT'S							235.14
01/20/2018		EXTENTION FEE: EXTENTION FEE 87705737							-5.00
01/20/2018		EXTENTION FEE: EXTENTION FEE 87705738							-5.00
*** ADJUSTMENTS TOTAL ***									<u>\$-273.86</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$11,117.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-273.86
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,843.99
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