

## COMMISSION STATEMENT

July 31, 2017

DAVID REILLY  
8 ACRON TERRACEBROKER #: 21  
BRANCH: HOME OFFICE

NEW CITY, NY 10956

COMMISSION STATEMENT for DAVID REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/12/2017	QGW008229	WHELAN, MARK	APOLLO COMMERCIAL REAL ESTATE FINAN S	-5,015.00	50.00	0.00	50.00	45.0	22.50
07/21/2017	QGW023848	BYRNE, GERARD	ADVANCED MICRO DEVICES INC S	-6,500.00	137.24	0.00	137.24	45.0	61.76
07/07/2017	QGW030041	THOMAS, JACK	ALPINE GLOBAL PREMIER PPTYS FD SHS B	9,390.00	231.65	0.00	231.65	45.0	104.24
07/07/2017	QGW030041	THOMAS, JACK	FIVE OAKS INVESTMENT CORP COM B	9,760.00	260.62	0.00	260.62	45.0	117.28
07/07/2017	QGW030041	THOMAS, JACK	OMEROS CORPORATION B	9,550.00	171.33	0.00	171.33	45.0	77.10
07/07/2017	QGW030074	WALKER, MARGARET	WESTLAKE CHEMICAL PARTNERS LP COM U S	-4,880.00	85.71	0.00	85.71	45.0	38.57
07/17/2017	QGW030074	WALKER, MARGARET	PHILIP MORRIS INTERNATIONAL INC S	-1,548.22	50.00	0.00	50.00	45.0	22.50
* STOCKS SUBTOTAL *				\$10,756.78	\$986.55	\$0.00	\$986.55		\$443.95
<u>PRODUCT CATEGORY: BONDS</u>									
07/12/2017	QGW008229	WHELAN, MARK	BARCLAYS BK PLC 100% PPN 11.00%,4X( S	-3,255.00	50.00	0.00	50.00	45.0	22.50
* BONDS SUBTOTAL *				\$-3,255.00	\$50.00	\$0.00	\$50.00		\$22.50
<u>PRODUCT CATEGORY: OPTIONS</u>									
07/07/2017	QGW030041	THOMAS, JACK	CALL 100 OMEROS CORP COM EXP 08-18- S	-775.00	50.00	0.00	50.00	45.0	22.50
* OPTIONS SUBTOTAL *				\$-775.00	\$50.00	\$0.00	\$50.00		\$22.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/14/2017	QGW007957	CAPUANO CASELLA,	DEUTSCHE STRATEGIC GOVERNMENT SECUR B	0.00	1.67	0.00	1.67	45.0	0.75
07/14/2017	QGW007973	CAPUANO CASELLA,	DEUTSCHE STRATEGIC GOVERNMENT SECUR B	0.00	1.67	0.00	1.67	45.0	0.75
07/21/2017	QGW008005	SCHULZ, CARL	ROCH. LIMITED TERM NEW YORK MUNICIP B	0.00	13.10	0.00	13.10	45.0	5.90
07/21/2017	QGW008005	SCHULZ, CARL	ROCHESTER FUND MUNICIPALS A B	0.00	69.45	0.00	69.45	45.0	31.25
07/21/2017	QGW008039	STEFANO, MARGARET	ROCHESTER FUND MUNICIPALS A B	0.00	3.23	0.00	3.23	45.0	1.45
07/14/2017	QGW008047	DOUGHERTY, JANE	HIGH YIELD A B	0.00	1.09	0.00	1.09	45.0	0.49
07/21/2017	QGW008047	DOUGHERTY, JANE	ROCHESTER NATIONAL MUNICIPALS A B	0.00	6.59	0.00	6.59	45.0	2.97
07/21/2017	QGW023798	OLSSON, RITA	CAPITAL APPRECIATION A B	0.00	0.49	0.00	0.49	45.0	0.22
07/18/2017	QGW023814	STEFANO, MARGARET	EUROPACIFIC GROWTH FUND-A B	0.00	0.50	0.00	0.50	45.0	0.23
07/18/2017	QGW023814	STEFANO, MARGARET	THE GROWTH FUND OF AMERICA-A B	0.00	2.22	0.00	2.22	45.0	1.00
07/21/2017	QGW023848	BYRNE, GERARD	MFS INTERNTL DIVERSIFICATION FUND-A B	0.00	2.50	0.00	2.50	45.0	1.13
07/14/2017	QGW033961	CAPUANO CASELLA,	DEUTSCHE STRATEGIC GOVERNMENT SECUR B	0.00	1.67	0.00	1.67	45.0	0.75
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$104.18	\$0.00	\$104.18		\$46.89

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ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$6,726.78	\$1,190.73	\$0.00	\$1,190.73	\$535.84
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ADJUSTMENTS

07/31/2017	:	0.00	0.00	0.00	0.00	-238.00
07/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
07/31/2017	OTHER: OTHER-ADJUSTMENT TO COMMISSI	0.00	0.00	0.00	0.00	-267.92
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-525.92

BROKER COMMISSION TOTALS

Broker Transactions	\$535.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-525.92
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.49
SIPC Assessment	\$-2.98

Check Amount	\$5.45
Year-to-date Earnings	\$436.43