



COMMISSION STATEMENT

July 09, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/25/2018	***-**-4427 - ZOGBY, MICHAEL	AMERICAN BALANCED FUND-A	116.00	3.19	0.00	3.19	75.0	2.39
	ACCOUNT #: 00084492487	BUY 4 Units @28.0100						
06/25/2018	***-**-7449 - JONES, D	AMERICAN BALANCED FUND-A	115.00	4.31	0.00	4.31	75.0	3.23
	ACCOUNT #: 00087990221	BUY 4 Units @28.3000						
06/26/2018	***-**-9037 - NOURSE, STACIA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 04000247689	BUY 2 Units @28.7200						
06/26/2018	***-**-4639 - SCOONES,	AMERICAN BALANCED FUND-A	90.75	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00084481157	BUY 3 Units @28.0500						
06/26/2018	***-**-408B - SNIZEK,	AMERICAN BALANCED FUND-A	125.00	3.44	0.00	3.44	75.0	2.58
	ACCOUNT #: 00085154620	BUY 4 Units @28.0500						
06/26/2018	***-**-5152 - DUNN, MICHELE	AMERICAN BALANCED FUND-A	2,400.00	66.00	0.00	66.00	75.0	49.50
	ACCOUNT #: 00087316793	BUY 86 Units @28.0500						
06/26/2018	***-**-5556 - BURNS, ROBERT	AMERICAN BALANCED FUND-A	37.50	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085993216	BUY 1 Units @28.4900						
06/26/2018	***-**-0939 - LAWRENCE, JASON	AMERICAN BALANCED FUND-A	60.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 00087909097	BUY 2 Units @28.7200						
06/28/2018	***-**-24IR - BERTRAND, JOHN	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089941898	BUY 2 Units @28.7100						
06/26/2018	***-**-9037 - NOURSE, STACIA	AMERICAN HIGH-INCOME TRUST-A	50.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 04000247689	BUY 5 Units @10.5700						
06/26/2018	***-**-4848 - DOGETT, AMY	AMERICAN HIGH-INCOME TRUST-A	990.00	27.23	0.00	27.23	75.0	20.42
	ACCOUNT #: 00081620466	BUY 94 Units @10.5400						
06/26/2018	***-**-408B - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	100.00	2.75	0.00	2.75	75.0	2.06
	ACCOUNT #: 00085154620	BUY 9 Units @10.5400						
06/26/2018	***-**-7598 - HUMPF, MINDI	AMERICAN HIGH-INCOME TRUST-A	25.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00085514851	BUY 2 Units @10.5400						
06/26/2018	***-**-1909 - BASHANT, MATTHEW	AMERICAN HIGH-INCOME TRUST-A	25.50	0.70	0.00	0.70	75.0	0.53
	ACCOUNT #: 00085839015	BUY 2 Units @10.5400						
06/26/2018	***-**-5556 - BURNS, ROBERT	AMERICAN HIGH-INCOME TRUST-A	52.50	1.58	0.00	1.58	75.0	1.19
	ACCOUNT #: 00085993216	BUY 5 Units @10.5700						
06/26/2018	***-**-70IN - KING, SUSAN	CAPITAL APPRECIATION A	60.00	1.80	0.00	1.80	75.0	1.35
	ACCOUNT #: 03210336612	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/19/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME A	262.50	12.47	0.00	12.47	75.0	9.35
	ACCOUNT #: 03008792487	BUY 0 Units @0.0000						
06/19/2018	***-**-030J - MERRILL,	CAPITAL INCOME A	62.50	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 03009145719	BUY 0 Units @0.0000						
06/25/2018	***-**-7449 - JONES, D	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00087990221	BUY 1 Units @62.5200						
06/26/2018	***-**-5152 - DUNN, MICHELE	CAPITAL INCOME BUILDER-A	2,400.00	66.00	0.00	66.00	75.0	49.50
	ACCOUNT #: 00087316793	BUY 39 Units @61.8400						
06/26/2018	***-**-4848 - DOGGETT, AMY	CAPITAL WORLD GROWTH AND INCOME FUN	990.00	27.23	0.00	27.23	75.0	20.42
	ACCOUNT #: 00081620466	BUY 19 Units @52.4500						
06/26/2018	***-**-408B - SNIZEK,	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.75	0.00	2.75	75.0	2.06
	ACCOUNT #: 00085154620	BUY 2 Units @52.4500						
06/26/2018	***-**-7598 - HUMPF, MINDI	CAPITAL WORLD GROWTH AND INCOME FUN	25.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00085514851	BUY 0 Units @52.4500						
06/26/2018	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	31.50	0.87	0.00	0.87	75.0	0.65
	ACCOUNT #: 00085839015	BUY 1 Units @52.4500						
06/26/2018	***-**-5556 - BURNS, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	37.50	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085993216	BUY 1 Units @53.2700						
06/19/2018	***-**-4932 - BAKER, STEVEN	EQUITY INCOME FUND, INC. A	262.50	12.47	0.00	12.47	75.0	9.35
	ACCOUNT #: 08352551208	BUY 0 Units @0.0000						
06/26/2018	***-**-33IN - KING, JOHN	EQUITY INCOME FUND, INC. A	76.00	2.28	0.00	2.28	75.0	1.71
	ACCOUNT #: 08351548074	BUY 0 Units @0.0000						
06/25/2018	***-**-4427 - ZOGBY, MICHAEL	EUROPACIFIC GROWTH FUND-A	85.00	2.34	0.00	2.34	75.0	1.76
	ACCOUNT #: 00084492487	BUY 2 Units @55.3400						
06/26/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	31.50	0.87	0.00	0.87	75.0	0.65
	ACCOUNT #: 00085839015	BUY 1 Units @55.2600						
06/19/2018	***-**-030J - MERRILL,	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	75.0	2.54
	ACCOUNT #: 05450490490	BUY 0 Units @0.0000						
06/26/2018	***-**-33IN - KING, JOHN	OPPENHEIMER DEVELOPING MARKETS CLAS	76.00	2.28	0.00	2.28	75.0	1.71
	ACCOUNT #: 07859653204	BUY 0 Units @0.0000						
06/19/2018	***-**-030J - MERRILL,	OPPENHEIMER INTERNATIONAL DIVERSIFI	12.50	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 01951261139	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/26/2018	***-**-33IN - KING, JOHN	OPPENHEIMER MAIN STREET SMALL CAP F	76.00	2.28	0.00	2.28	75.0	1.71
	ACCOUNT #: 08476672325	BUY 0 Units @0.0000						
06/26/2018	***-**-33IN - KING, JOHN	OPPENHEIMER SMALL & MID CAP VALUE F	76.00	2.28	0.00	2.28	75.0	1.71
	ACCOUNT #: 02514166107	BUY 0 Units @0.0000						
06/19/2018	***-**-4932 - BAKER, STEVEN	OPPENHEIMER STRATEGIC INCOME FD CL	262.50	10.50	0.00	10.50	75.0	7.88
	ACCOUNT #: 02306510122	BUY 0 Units @0.0000						
06/26/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER STRATEGIC INCOME FD CL	60.00	1.65	0.00	1.65	75.0	1.24
	ACCOUNT #: 02305070453	BUY 0 Units @0.0000						
06/19/2018	***-**-4932 - BAKER, STEVEN	RISING DIVIDENDS FUND A	262.50	12.47	0.00	12.47	75.0	9.35
	ACCOUNT #: 02254109468	BUY 0 Units @0.0000						
06/26/2018	***-**-70IN - KING, SUSAN	RISING DIVIDENDS FUND A	80.00	2.40	0.00	2.40	75.0	1.80
	ACCOUNT #: 02253478497	BUY 0 Units @0.0000						
06/26/2018	***-**-33IN - KING, JOHN	RISING DIVIDENDS FUND A	96.00	2.88	0.00	2.88	75.0	2.16
	ACCOUNT #: 02254507140	BUY 0 Units @0.0000						
06/19/2018	***-**-030J - MERRILL,	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 06000782386	BUY 0 Units @0.0000						
06/26/2018	***-**-5556 - BURNS, ROBERT	SMALLCAP WORLD FUND-A	22.50	0.96	0.00	0.96	75.0	0.72
	ACCOUNT #: 00085993216	BUY 0 Units @61.8800						
06/26/2018	***-**-4639 - SCOONES,	THE BOND FUND OF AMERICA-A	90.75	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00084481157	BUY 7 Units @12.9800						
06/25/2018	***-**-4427 - ZOGBY, MICHAEL	THE GROWTH FUND OF AMERICA-A	150.00	4.13	0.00	4.13	75.0	3.10
	ACCOUNT #: 00084492487	BUY 3 Units @55.6600						
06/25/2018	***-**-7449 - JONES, D	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00087990221	BUY 1 Units @56.2400						
06/26/2018	***-**-4848 - DOGGETT, AMY	THE GROWTH FUND OF AMERICA-A	1,020.00	28.05	0.00	28.05	75.0	21.04
	ACCOUNT #: 00081620466	BUY 18 Units @55.9100						
06/26/2018	***-**-4639 - SCOONES,	THE GROWTH FUND OF AMERICA-A	93.50	2.57	0.00	2.57	75.0	1.93
	ACCOUNT #: 00084481157	BUY 2 Units @55.9100						
06/26/2018	***-**-408B - SNIZEK,	THE GROWTH FUND OF AMERICA-A	175.00	4.81	0.00	4.81	75.0	3.61
	ACCOUNT #: 00085154620	BUY 3 Units @55.9100						
06/26/2018	***-**-7598 - HUMPF, MINDI	THE GROWTH FUND OF AMERICA-A	25.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00085514851	BUY 0 Units @55.9100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/26/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	36.00	0.99	0.00	0.99	75.0	0.74
	ACCOUNT #: 00085839015	BUY 1 Units @55.9100						
06/28/2018	***-**-7823 - BERTRAND,	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089897908	BUY 1 Units @57.0900						
06/28/2018	***-**-241R - BERTRAND, JOHN	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089941898	BUY 1 Units @57.0900						
06/25/2018	***-**-7449 - JONES, D	THE INCOME FUND OF AMERICA-A	125.00	4.69	0.00	4.69	75.0	3.52
	ACCOUNT #: 00087990221	BUY 5 Units @23.6900						
06/26/2018	***-**-7598 - HUMPF, MINDI	THE INCOME FUND OF AMERICA-A	25.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00085514851	BUY 1 Units @23.4600						
06/26/2018	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	25.50	0.70	0.00	0.70	75.0	0.53
	ACCOUNT #: 00085839015	BUY 1 Units @23.4600						
06/26/2018	***-**-0939 - LAWRENCE, JASON	THE INCOME FUND OF AMERICA-A	60.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 00087909097	BUY 2 Units @24.0200						
06/25/2018	***-**-7449 - JONES, D	WASHINGTON MUTUAL INVESTORS FUND-A	118.33	4.44	0.00	4.44	75.0	3.33
	ACCOUNT #: 00087990221	BUY 3 Units @46.4500						
* MUTUAL FUNDS SUBTOTAL *			\$12,054.33	\$366.08	\$0.00	\$366.08		\$274.62
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
06/29/2018	***-**-9500 - KELLER, BILLIE	AXA EQUI VA 00248C360	0.00	27.10	0.00	27.10	75.0	20.33
	ACCOUNT #: 316022320	BUY 0 Units @0.0000						
06/29/2018	***-**-9002 - ANDERSON, BRYAN	AXA EQUI VA 00248C360	0.00	61.99	0.00	61.99	75.0	46.49
	ACCOUNT #: 316102600	BUY 0 Units @0.0000						
06/29/2018	***-**-2456 - VOELKER, ROBERT	AXA EQUI VA 00248C360	0.00	167.25	0.00	167.25	75.0	125.44
	ACCOUNT #: 316126418	BUY 0 Units @0.0000						
06/29/2018	***-**-143R - DRAKE, RONALD	AXA EQUI VA 00248C360	0.00	30.10	0.00	30.10	75.0	22.58
	ACCOUNT #: 317006112	BUY 0 Units @0.0000						
06/30/2018	***-**-59VA - SEYMOUR, DEBORAH	CHOICEPLUS ASSURANCE BONUS	0.00	16.39	0.00	16.39	75.0	12.29
	ACCOUNT #: 921058830	BUY 0 Units @0.0000						
06/30/2018	***-**-57VA - NELSON, IRENE	CHOICEPLUS ASSURANCE BONUS	0.00	26.75	0.00	26.75	75.0	20.06
	ACCOUNT #: 921059039	BUY 0 Units @0.0000						
06/30/2018	***-**-9692 - KELLER, KENNETH	CHOICEPLUS ASSURANCE BONUS	0.00	90.72	0.00	90.72	75.0	68.04
	ACCOUNT #: 921075402	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
06/30/2018	***-**-7431 - PATANE, JOANNE	LINCOLN FIN VA 533787727	0.00	68.99	0.00	68.99	75.0	51.74
	ACCOUNT #: 951069509	BUY 0 Units @0.0000						
06/30/2018	***-**-61VA - BUDINE, JESSE	LINCOLN FIN VA 53404B676	0.00	108.45	0.00	108.45	75.0	81.34
	ACCOUNT #: 924689841	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$597.74	\$0.00	\$597.74		\$448.31
*** BROKER TRANSACTIONS TOTAL ***			\$12,054.33	\$963.82	\$0.00	\$963.82		\$722.93

BROKER COMMISSION TOTALS

Broker Transactions	\$722.93
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$722.93
Year-to-date Earnings	\$36,493.01