

All Companies
STATEMENT
April 20, 2018

FLORINDA LEVERTON-TERM
80 Orville Dr
Suite 100
Bohemia, NY 11716

BROKER #: 195
BRANCH: LA BRANCH

COMMISSION STATEMENT for FLORINDA LEVERTON-TERM

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | POSTAGE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|---|-----------------------------|------------------------------------|----------------------|---------------------|------|---------------|-------------------|--------------------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | | |
| 02/26/2018 | #000000001564350 LANZEBARBC | FRANKLIN GROWTH FUND CLASS C | 50.00 | 0.50 | 95.0 | 0.00 | 0.48 | 0.00 | 0.48 |
| 03/01/2018 | #000000000286904 RODRIGUEZ, | FRANKLIN NY TF INCOME FUND - CLASS | 100.00 | 1.00 | 95.0 | 0.00 | 0.95 | 0.00 | 0.95 |
| 03/12/2018 | #000060177830112 SFERRAZZA, | FRANKLIN GROWTH FUND - CLASS A | 200.00 | 10.00 | 95.0 | 0.00 | 9.50 | 0.00 | 9.50 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$350.00 | \$11.50 | | \$0.00 | \$10.93 | \$0.00 | \$10.93 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | | |
| 03/09/0018 | #AV000380678 LICCIARDI, | ALLIANZ VA | 0.00 | 13.40 | 95.0 | 0.00 | 12.73 | 0.00 | 12.73 |
| 03/09/0018 | #AV000396580 HOFFMAN, | ALLIANZ VA | 0.00 | 104.47 | 95.0 | 0.00 | 99.25 | 0.00 | 99.25 |
| 03/02/2018 | #56100871 LAUDATO, FRANK | JOHN HANCOCK LIFE TRAILS | 0.00 | 21.66 | 95.0 | 0.00 | 20.58 | 0.00 | 20.58 |
| 03/02/2018 | #48970 CHASE, JOAN | JOHN HANCOCK LIFE-TRAILS | 0.00 | 20.02 | 95.0 | 0.00 | 19.02 | 0.00 | 19.02 |
| 03/02/2018 | #49053 COLLINS, CHRISTINA | JOHN HANCOCK LIFE-TRAILS | 0.00 | 29.02 | 95.0 | 0.00 | 27.57 | 0.00 | 27.57 |
| 03/02/2018 | #63395 COLORE, DIANE | JOHN HANCOCK LIFE-TRAILS | 0.00 | 32.36 | 95.0 | 0.00 | 30.74 | 0.00 | 30.74 |
| 03/02/2018 | #70047148 RODRIGUEZ, SAMUEL | JOHN HANCOCK LIFE-TRAILS | 0.00 | 2.41 | 95.0 | 0.00 | 2.29 | 0.00 | 2.29 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$0.00 | \$223.34 | | \$0.00 | \$212.18 | \$0.00 | \$212.18 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 03/09/2018 | # LAUDATO, DANIEL | DAIN TRAILER | 0.00 | 2.98 | 95.0 | 0.00 | 2.83 | 0.00 | 2.83 |
| 03/09/2018 | # LAUDATO, DANIEL | DAIN TRAILER | 0.00 | 2.51 | 95.0 | 0.00 | 2.38 | 0.00 | 2.38 |
| 03/09/2018 | # ATTANASIO, PETER | DAIN TRAILER | 0.00 | 7.78 | 95.0 | 0.00 | 7.39 | 0.00 | 7.39 |
| 03/09/2018 | # LAWSHE, ANNE | DAIN TRAILER | 0.00 | 6.35 | 95.0 | 0.00 | 6.03 | 0.00 | 6.03 |
| 03/09/2018 | # LAMPERT, SYBIL | DAIN TRAILER | 0.00 | 10.87 | 95.0 | 0.00 | 10.33 | 0.00 | 10.33 |
| 03/09/2018 | # LAMPERT, SYBIL | DAIN TRAILER | 0.00 | 2.02 | 95.0 | 0.00 | 1.92 | 0.00 | 1.92 |
| 03/09/2018 | # LAMPERT, SYBIL | DAIN TRAILER | 0.00 | 4.11 | 95.0 | 0.00 | 3.90 | 0.00 | 3.90 |
| 03/09/2018 | # LAMPERT, SYBIL | DAIN TRAILER | 0.00 | 1.61 | 95.0 | 0.00 | 1.53 | 0.00 | 1.53 |
| 03/09/2018 | # HOFFMAN, ROBERTA | DAIN TRAILER | 0.00 | 2.22 | 95.0 | 0.00 | 2.11 | 0.00 | 2.11 |
| 03/09/2018 | # ROSENBAUM, BARBARA | DAIN TRAILER | 0.00 | 3.30 | 95.0 | 0.00 | 3.14 | 0.00 | 3.14 |
| 03/09/2018 | # ACKERMAN, JANE | DAIN TRAILER | 0.00 | 32.98 | 95.0 | 0.00 | 31.33 | 0.00 | 31.33 |
| 03/09/2018 | # GUERRA, LOUIS | DAIN TRAILER | 0.00 | 1.12 | 95.0 | 0.00 | 1.06 | 0.00 | 1.06 |
| 03/09/2018 | # NELSON, BRENDA | DAIN TRAILER | 0.00 | 1.01 | 95.0 | 0.00 | 0.96 | 0.00 | 0.96 |

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|---|----------------------|--------------|----------------------|---------------------|------|---------------|-------------------|--------------------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 03/09/2018 | # NELSON, BRENDA | DAIN TRAILER | 0.00 | 6.05 | 95.0 | 0.00 | 5.75 | 0.00 | 5.75 |
| 03/09/2018 | # STANGA, INEZ | DAIN TRAILER | 0.00 | 6.93 | 95.0 | 0.00 | 6.58 | 0.00 | 6.58 |
| 03/09/2018 | # HENNEY, EDGAR | DAIN TRAILER | 0.00 | 6.07 | 95.0 | 0.00 | 5.77 | 0.00 | 5.77 |
| 03/09/2018 | # HENNEY, EDGAR | DAIN TRAILER | 0.00 | 6.17 | 95.0 | 0.00 | 5.86 | 0.00 | 5.86 |
| 03/09/2018 | # PASCETTA, ANN | DAIN TRAILER | 0.00 | 3.36 | 95.0 | 0.00 | 3.19 | 0.00 | 3.19 |
| 03/09/2018 | # PASCETTA, ANN | DAIN TRAILER | 0.00 | 9.01 | 95.0 | 0.00 | 8.56 | 0.00 | 8.56 |
| 03/09/2018 | # PASCETTA, ANN | DAIN TRAILER | 0.00 | 8.89 | 95.0 | 0.00 | 8.45 | 0.00 | 8.45 |
| 03/09/2018 | # HOFFMAN, ROBERTA | DAIN TRAILER | 0.00 | 1.32 | 95.0 | 0.00 | 1.25 | 0.00 | 1.25 |
| 03/09/2018 | # HOFFMAN, ROBERTA | DAIN TRAILER | 0.00 | 2.04 | 95.0 | 0.00 | 1.94 | 0.00 | 1.94 |
| 03/09/2018 | # HOFFMAN, ROBERTA | DAIN TRAILER | 0.00 | 0.51 | 95.0 | 0.00 | 0.48 | 0.00 | 0.48 |
| 03/09/2018 | # HOFFMAN, ROBERTA | DAIN TRAILER | 0.00 | 0.64 | 95.0 | 0.00 | 0.61 | 0.00 | 0.61 |
| 03/09/2018 | # MURPHY, BRIAN | DAIN TRAILER | 0.00 | 9.10 | 95.0 | 0.00 | 8.65 | 0.00 | 8.65 |
| 03/09/2018 | # DELLAMONICA, MARIE | DAIN TRAILER | 0.00 | 6.16 | 95.0 | 0.00 | 5.85 | 0.00 | 5.85 |
| 03/09/2018 | # DELLAMONICA, MARIE | DAIN TRAILER | 0.00 | 1.34 | 95.0 | 0.00 | 1.27 | 0.00 | 1.27 |
| 03/09/2018 | # BARRY, JOSEPHINE | DAIN TRAILER | 0.00 | 2.90 | 95.0 | 0.00 | 2.76 | 0.00 | 2.76 |
| 03/09/2018 | # BARRY, JOSEPHINE | DAIN TRAILER | 0.00 | 1.77 | 95.0 | 0.00 | 1.68 | 0.00 | 1.68 |
| 03/09/2018 | # BARRY, JOSEPHINE | DAIN TRAILER | 0.00 | 6.39 | 95.0 | 0.00 | 6.07 | 0.00 | 6.07 |
| 03/09/2018 | # MURPHY, CAITLIN | DAIN TRAILER | 0.00 | 6.02 | 95.0 | 0.00 | 5.72 | 0.00 | 5.72 |
| 03/09/2018 | # MURPHY, LIAM | DAIN TRAILER | 0.00 | 6.16 | 95.0 | 0.00 | 5.85 | 0.00 | 5.85 |
| 03/09/2018 | # ANGST, DOUGLAS | DAIN TRAILER | 0.00 | 3.06 | 95.0 | 0.00 | 2.91 | 0.00 | 2.91 |
| 03/09/2018 | # ANGST, DOUGLAS | DAIN TRAILER | 0.00 | 5.78 | 95.0 | 0.00 | 5.49 | 0.00 | 5.49 |
| 03/09/2018 | # ANGST, DOUGLAS | DAIN TRAILER | 0.00 | 10.04 | 95.0 | 0.00 | 9.54 | 0.00 | 9.54 |
| 03/09/2018 | # ANGST, DOUGLAS | DAIN TRAILER | 0.00 | 10.32 | 95.0 | 0.00 | 9.80 | 0.00 | 9.80 |
| 03/09/2018 | # ANGST, DOUGLAS | DAIN TRAILER | 0.00 | 2.39 | 95.0 | 0.00 | 2.27 | 0.00 | 2.27 |
| 03/09/2018 | # ANGST, DOUGLAS | DAIN TRAILER | 0.00 | 1.08 | 95.0 | 0.00 | 1.03 | 0.00 | 1.03 |
| 03/09/2018 | # GALGAN, THEODORE | DAIN TRAILER | 0.00 | 0.11 | 95.0 | 0.00 | 0.10 | 0.00 | 0.10 |
| 03/09/2018 | # ANGST, DOUGLAS | DAIN TRAILER | 0.00 | 3.00 | 95.0 | 0.00 | 2.85 | 0.00 | 2.85 |
| 03/09/2018 | # ANGST, DOUGLAS | DAIN TRAILER | 0.00 | 9.06 | 95.0 | 0.00 | 8.61 | 0.00 | 8.61 |
| 03/09/2018 | # ANGST, DOUGLAS | DAIN TRAILER | 0.00 | 13.27 | 95.0 | 0.00 | 12.61 | 0.00 | 12.61 |
| 03/09/2018 | # ANGST, DOUGLAS | DAIN TRAILER | 0.00 | 0.43 | 95.0 | 0.00 | 0.41 | 0.00 | 0.41 |
| 03/09/2018 | # LEVERTON, FLORINDA | DAIN TRAILER | 0.00 | 29.83 | 95.0 | 0.00 | 28.34 | 0.00 | 28.34 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$258.06 | | \$0.00 | \$245.16 | \$0.00 | \$245.16 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$350.00 | \$492.90 | | \$0.00 | \$468.27 | \$0.00 | \$468.27 |

COMMISSION STATEMENT for FLORINDA LEVERTON-TERM

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | POSTAGE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|------|---------|-------------------|--------------------|----------------------|
|------|--------|------------|----------------------|---------------------|------|---------|-------------------|--------------------|----------------------|

ADJUSTMENTS

| | | | | | | | | | |
|---------------------------|--|----------------------------------|--|--|--|--|--|--|------------------|
| 04/20/2018 | | BETA BACK OFFICE: ADMIN FEE | | | | | | | -320.00 |
| 04/20/2018 | | E & O INSURANCE: E & O INSURANCE | | | | | | | -179.00 |
| *** ADJUSTMENTS TOTAL *** | | | | | | | | | <u>\$-499.00</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$468.27 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-499.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-79.53 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------|------------------|
| Balance Forward | <u>\$-110.26</u> |
|-----------------|------------------|