

1:55 PM

05/04/18

Accrual Basis

Dominion Investor Services
Vendor Open Balance
All Transactions

| Type | Date | Num | Memo | Due Date | Open Balance | Amount |
|---------------------------------|------------|---------|------|----------|----------------|----------------|
| BRAUNSHAUSEN, SARAH-D062 | | | | | | |
| Credit | 04/05/2018 | FINR... | | | -135.00 | -135.00 |
| Credit | 04/30/2018 | COM... | | | -2.67 | -2.67 |
| Total BRAUNSHAUSEN, SARAH-D062 | | | | | -137.67 | -137.67 |
| TOTAL | | | | | -137.67 | -137.67 |

COMMISSION STATEMENT

April 30, 2018

SARAH BRAUNSHAUSEN-D062

BROKER #: D062

BRANCH: DMG

, TX

COMMISSION STATEMENT for SARAH BRAUNSHAUSEN-D062

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | | |
|---|-----------|---------------------|-----|---------------------------------|---------------------|--------------------|-------------------|---------|----------------------|------|---------|
| CLEARING TRANSACTIONS | | | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | | | |
| 04/16/2018 | 152901006 | BRAUNSHAUSEN, | MF | AMERICAN BALANCED FUND-A | B | -2,987.55 | 0.00 | 5.00 | -5.00 | 60.0 | -3.00 |
| 04/13/2018 | 646107186 | ROUSE, CHELSEY | MF | AMCAP FUND-F1 | S | 122.45 | 0.00 | 2.50 | -2.50 | 60.0 | -1.50 |
| 04/13/2018 | 646107186 | ROUSE, CHELSEY | MF | FUNDAMENTAL INVESTORS FUND | S | 82.45 | 0.00 | 2.50 | -2.50 | 60.0 | -1.50 |
| 04/13/2018 | 646230641 | CHRISTENSEN, | MF | AMERICAN FDS WA MUTUAL FD CL F2 | S | 87.45 | 0.00 | 2.50 | -2.50 | 60.0 | -1.50 |
| 04/13/2018 | 646230641 | CHRISTENSEN, | MF | THE GROWTH FUND OF AMERICA-F2 | S | 167.45 | 0.00 | 2.50 | -2.50 | 60.0 | -1.50 |
| * MUTUAL FUNDS SUBTOTAL * | | | | | | \$-2,527.75 | \$0.00 | \$15.00 | \$-15.00 | | \$-9.00 |
| PRODUCT CATEGORY: STOCKS | | | | | | | | | | | |
| 04/09/2018 | 368434370 | BRAUNSHAUSEN, | S | BB&T CORP | B | 6,051.59 | 22.00 | 15.00 | 7.00 | 60.0 | 4.20 |
| 04/05/2018 | 368437852 | BRAUNSHAUSEN, | S | BB&T CORP | B | 4,252.05 | 22.00 | 15.00 | 7.00 | 60.0 | 4.20 |
| * STOCKS SUBTOTAL * | | | | | | \$10,303.64 | \$44.00 | \$30.00 | \$14.00 | | \$8.40 |
| PRODUCT CATEGORY: MISCELLANEOUS | | | | | | | | | | | |
| 04/17/2018 | a03076832 | BRAUNSHAUSEN-D062, | MI | MUTUAL FUND MISC FEE 152901006 | S | 0.00 | 10.00 | 0.00 | 10.00 | 60.0 | 6.00 |
| * MISCELLANEOUS SUBTOTAL * | | | | | | \$0.00 | \$10.00 | \$0.00 | \$10.00 | | \$6.00 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | | | | |
| 04/20/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | AMCAP FUND-F1 | B | 0.00 | 0.72 | 0.00 | 0.72 | 60.0 | 0.43 |
| 04/20/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | AMERICAN BALANCED FUND-A | B | 0.00 | 4.86 | 0.00 | 4.86 | 60.0 | 2.92 |
| 04/20/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | AMERICAN BALANCED FUND-F1 | B | 0.00 | 1.09 | 0.00 | 1.09 | 60.0 | 0.65 |
| 04/20/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | AMERICAN MUTUAL FUND-F1 | B | 0.00 | 0.92 | 0.00 | 0.92 | 60.0 | 0.55 |
| 04/20/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | CAPITAL INCOME BUILDER-A | B | 0.00 | 8.55 | 0.00 | 8.55 | 60.0 | 5.13 |
| 04/20/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | COLUMBIA DIVIDEND OPPORTUNITY | B | 0.00 | 10.87 | 0.00 | 10.87 | 60.0 | 6.52 |
| 04/20/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | COLUMBIA GLOBAL EQUITY VALUE-A | B | 0.00 | 11.33 | 0.00 | 11.33 | 60.0 | 6.80 |
| 04/20/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | COLUMBIA LARGE CAP GROWTH FUND | B | 0.00 | 9.77 | 0.00 | 9.77 | 60.0 | 5.86 |
| 04/20/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | FUNDAMENTAL INVESTORS FUND | B | 0.00 | 0.46 | 0.00 | 0.46 | 60.0 | 0.28 |
| 04/20/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | GROWTH FUND OF AMERICA CLASS F | B | 0.00 | 1.15 | 0.00 | 1.15 | 60.0 | 0.69 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | | | \$0.00 | \$49.72 | \$0.00 | \$49.72 | | \$29.83 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | | | | \$7,775.89 | \$103.72 | \$45.00 | \$58.72 | | \$35.23 |

COMMISSION STATEMENT for SARAH BRAUNSHAUSEN-D062

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | |
|-----------------------------------|-------------|----------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|---------|----------------------|---------|
| DIRECT TRANSACTIONS | | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | | |
| 04/12/2018 | 00073381900 | FBO TAYLOR WILEY, MF | THE GROWTH FUND OF AMERICA-A | B | 100.00 | 5.00 | 0.00 | 5.00 | 60.0 | 3.00 |
| 04/12/2018 | 00073382069 | FBO JACOB WILEY, MF | THE GROWTH FUND OF AMERICA-A | B | 100.00 | 5.00 | 0.00 | 5.00 | 60.0 | 3.00 |
| 04/12/2018 | 00073458983 | FBO OLIVIA WHITE, MF | THE GROWTH FUND OF AMERICA-A | B | 100.00 | 5.00 | 0.00 | 5.00 | 60.0 | 3.00 |
| 04/12/2018 | 00073459694 | FBO SYDNEY WHITE, MF | THE GROWTH FUND OF AMERICA-A | B | 100.00 | 5.00 | 0.00 | 5.00 | 60.0 | 3.00 |
| 04/12/2018 | 00081762277 | FBO ANDREW MF | THE GROWTH FUND OF AMERICA-A | B | 100.00 | 4.25 | 0.00 | 4.25 | 60.0 | 2.55 |
| 04/12/2018 | 00087703969 | FBO SAMUEL ISAAC MF | THE GROWTH FUND OF AMERICA-A | B | 100.00 | 4.25 | 0.00 | 4.25 | 60.0 | 2.55 |
| * MUTUAL FUNDS SUBTOTAL * | | | | | \$600.00 | \$28.50 | \$0.00 | \$28.50 | | \$17.10 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | | \$600.00 | \$28.50 | \$0.00 | \$28.50 | | \$17.10 |

ADJUSTMENTS

| | | | | | | | |
|----------------------------------|---|--------------------|---------------|---------------|---------------|---------------|-----------------|
| 04/30/2018 | : | BROKER WORKSTATION | 0.00 | 0.00 | 0.00 | 0.00 | -30.00 |
| 04/30/2018 | : | E-MAIL ACCOUNT | 0.00 | 0.00 | 0.00 | 0.00 | -25.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-55.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$52.33 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-55.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|---------------------------------|-------------------|
| Balance Forward | \$-2.67 |
| Year-to-date Commissions | \$1,182.86 |