



Concorde Investment Services, LLC

09/13/2017
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COMMISSION STATEMENT

September 15, 2017

MARTIN PROSHEK
6721 Janel Lane

BROKER #: P126
BRANCH: MARTIN PROSHEK

Maumee, OH 43537-1232

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
08/10/2017	NERO, PATRICK	ALLIANZGI FOCUSED GROWTH A	0.00	5.98	0.00	5.98	80.0	4.78
08/15/2017	MABEE, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.22	0.00	1.22	80.0	0.98
08/15/2017	MABEE, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.24	0.00	0.24	80.0	0.19
08/15/2017	MABEE, SUSAN	AM FUNDS NEW WORLD A	0.00	0.41	0.00	0.41	80.0	0.33
08/25/2017	FUQUA, CHRIS	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.48	0.00	0.48	80.0	0.38
08/25/2017	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.34	0.00	0.34	80.0	0.27
08/25/2017	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.08	0.00	0.08	80.0	0.06
08/25/2017	HORR, DIANE	BLACKROCK ENERGY & RESOURCES PORTFO	0.00	0.39	0.00	0.39	80.0	0.31
08/17/2017	WILSON, LYNNE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	10.45	0.00	10.45	80.0	8.36
08/17/2017	KODAT, JANICE	COLUMBIA SELIGMAN GLOBAL TECH A	0.00	4.81	0.00	4.81	80.0	3.85
08/14/2017	MCPARTLAND, BARBARA	DAVIS APPRECIATION AND INCOME A	0.00	1.53	0.00	1.53	80.0	1.22
08/18/2017	HENNINGER, DAROLD	DELAWARE HEALTHCARE FUNDS CLASS A	0.00	7.45	0.00	7.45	80.0	5.96
08/17/2017	MCPARTLAND, BARBARA	FIDELITY ADVISOR BIOTECHNOLOGY FUND	0.00	3.43	0.00	3.43	80.0	2.74
08/17/2017	HENNINGER, DAROLD	FIDELITY ADVISOR TELECOMMUNICATIONS	0.00	5.93	0.00	5.93	80.0	4.74
08/21/2017	FUQUA, CHARLES (C.K.)	FIRST EAGLE GLOBAL FUND CL A	0.00	7.50	0.00	7.50	80.0	6.00
08/21/2017	HENNINGER, DAROLD	FIRST EAGLE GLOBAL FUND CL A	0.00	6.57	0.00	6.57	80.0	5.26
08/21/2017	MCPARTLAND, BARBARA	FIRST EAGLE GLOBAL FUND CL A	0.00	3.11	0.00	3.11	80.0	2.49
08/14/2017	NERO, PATRICK	FRANKLIN BALANCED C	0.00	11.56	0.00	11.56	80.0	9.25
08/14/2017	NERO, BARBARA	FRANKLIN BALANCED C	0.00	8.05	0.00	8.05	80.0	6.44
08/14/2017	FUQUA, CHARLES (C.K.)	FRANKLIN CONV SEC A	0.00	5.51	0.00	5.51	80.0	4.41
08/14/2017	MCPARTLAND, BARBARA	FRANKLIN INCOME A	0.00	1.05	0.00	1.05	80.0	0.84
08/17/2017	WARREN, KATHLEEN	JANUS GLOBAL LIFE SCIENCES FUND CLA	0.00	1.34	0.00	1.34	80.0	1.07
08/17/2017	HORR, DIANE	MFS EMERGING MARKETS DEBT FUND A	0.00	2.85	0.00	2.85	80.0	2.28
08/17/2017	FUQUA, CHARLES (C.K.)	MFS GROWTH ALLOCATION A	0.00	5.70	0.00	5.70	80.0	4.56
08/17/2017	FUQUA, CHRIS	MFS GROWTH ALLOCATION A	0.00	1.52	0.00	1.52	80.0	1.22
08/10/2017	HORR, DIANE	NATIXIS LOOMIS STRATEGIC INCOME A	0.00	1.88	0.00	1.88	80.0	1.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/21/2017	KODAT, JANICE	PIMCO FUNDAMENTAL INDEXPLUS AR FUND	0.00	8.07	0.00	8.07	80.0	6.46
08/14/2017	FUQUA, CHARLES (C.K.)	SALIENT SELECT INCOME FUND CLASS A	0.00	5.05	0.00	5.05	80.0	4.04
08/14/2017	MCPARTLAND, BARBARA	SALIENT SELECT INCOME FUND CLASS A	0.00	2.94	0.00	2.94	80.0	2.35
08/15/2017	KODAT, JANICE	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	7.75	0.00	7.75	80.0	6.20
08/15/2017	MORSE, PATRICIA	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	5.18	0.00	5.18	80.0	4.14
08/15/2017	FUQUA, CHARLES (C.K.)	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	6.72	0.00	6.72	80.0	5.38
08/15/2017	HENNINGER, DAROLD	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	7.10	0.00	7.10	80.0	5.68
08/17/2017	MCPARTLAND, BARBARA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	3.63	0.00	3.63	80.0	2.90
08/17/2017	MCPARTLAND, BARBARA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	0.87	0.00	0.87	80.0	0.70
08/17/2017	NERO, PATRICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	12.39	0.00	12.39	80.0	9.91
08/17/2017	NERO, BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	8.63	0.00	8.63	80.0	6.90
08/17/2017	KODAT, JANICE	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	7.50	0.00	7.50	80.0	6.00
08/15/2017	FUQUA, CHARLES (C.K.)	UNDISCOVERED MANAGERS BEHAVIORAL VA	0.00	10.05	0.00	10.05	80.0	8.04
08/14/2017	FUQUA, CHARLES (C.K.)	WELLS FARGO SPECIAL MID CAP VALUE F	0.00	7.44	0.00	7.44	80.0	5.95
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$192.70	\$0.00	\$192.70		\$154.14
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$192.70	\$0.00	\$192.70		\$154.14
<u>ADJUSTMENTS</u>								
09/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
09/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$154.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-28.60
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-449.46
Year-to-date Gross	\$25,956.36
Year-to-date Earnings	\$19,587.39