

**COMMISSION STATEMENT****May 15, 2018**

PETER JOHNSON  
1120 E. Long Lake Road  
Suite 250  
Troy, MI 48085

BROKER #: J116 Terminated 12/31/2015  
BRANCH: CONCORDE FINANCIAL G

| DATE  | CLIENT        | INVESTMENT                | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|---------------|---------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                       |               |                           |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |               |                           |                      |                     |                    |                   |      |                      |
| ^04/30/2018   | ROSS, DAVID   | DELAWARE HIGH YIELD OPP A | 0.00                 | 24.98               | 0.00               | 24.98             | 90.0 | 0.00                 |
| ^04/30/2018   | KAPELN, J     | DELAWARE TAX FREE USA A   | 0.00                 | 8.93                | 0.00               | 8.93              | 90.0 | 0.00                 |
| ^04/30/2018   | PAPPAS, JANE  | DELAWARE VALUE A          | 0.00                 | 6.96                | 0.00               | 6.96              | 90.0 | 0.00                 |
| ^04/30/2018   | PAPPAS, LARRY | DELAWARE VALUE A          | 0.00                 | 33.56               | 0.00               | 33.56             | 90.0 | 0.00                 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL *                    |               |                           | \$0.00               | \$74.43             | \$0.00             | \$74.43           |      | \$0.00               |
| *** DIRECT TRANSACTIONS TOTAL ***                       |               |                           | \$0.00               | \$74.43             | \$0.00             | \$74.43           |      | \$0.00               |

**BROKER COMMISSION TOTALS**

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.00 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                       |        |
|-----------------------|--------|
| Balance Forward       | \$0.00 |
| Year-to-date Gross    | \$0.00 |
| Year-to-date Earnings | \$0.00 |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed