



COMMISSION STATEMENT

January 29, 2018

ALEXANDER HACKLEY
2305 E Arapahoe Rd. #235
Centennial, CO 80122

BROKER #: B04
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/22/2018	***-**-978I - LITTON, KENT ACCOUNT #: LSR011882	HARTFORD BALANCED INCOME FD CL A SELL 505 Units @14.8400	7,500.00	0.00	18.00	-18.00	100.0	-18.00
01/26/2018	***-**-32IR - HIGGINSON, ACCOUNT #: LSR012041	HCM DIVIDEND SECTOR PLUS FND CL A-N BUY 561 Units @15.1600	8,500.00	361.25	18.00	343.25	75.0	257.44
01/26/2018	***-**-632I - HIGGINSON, ACCOUNT #: LSR012342	HCM DIVIDEND SECTOR PLUS FND CL A-N BUY 1266 Units @15.1600	19,200.00	816.00	18.00	798.00	75.0	598.50
01/26/2018	***-**-25IR - HIGGINSON, GAIL ACCOUNT #: LSR012345	HCM DIVIDEND SECTOR PLUS FND CL A-N BUY 429 Units @15.1600	6,500.00	276.25	18.00	258.25	75.0	193.69
01/22/2018	***-**-770O - WEBER, F DIANNE ACCOUNT #: LSR011972	IVY CORE EQUITY CL A SELL 317 Units @15.7800	5,000.00	0.00	18.00	-18.00	100.0	-18.00
01/22/2018	***-**-978I - LITTON, KENT ACCOUNT #: LSR011882	IVY ENERGY FUND CL A SELL 561 Units @13.3600	7,500.00	0.00	18.00	-18.00	100.0	-18.00
01/22/2018	***-**-626I - STERTZ, DALE ACCOUNT #: LSR012238	PERMANENT PORTFOLIO-NFS BUY 237 Units @42.1400	9,999.99	0.00	18.00	-18.00	100.0	-18.00
* MUTUAL FUNDS SUBTOTAL *			\$64,199.99	\$1,453.50	\$126.00	\$1,327.50		\$977.63
<u>PRODUCT CATEGORY: STOCKS</u>								
01/22/2018	***-**-626I - STERTZ, DALE ACCOUNT #: LSR012238	ISHARES TR DOW JONES U S ENERGY SEC BUY 100 Units @42.3650	4,236.50	54.00	17.00	37.00	75.0	27.75
01/22/2018	***-**-626I - STERTZ, DALE ACCOUNT #: LSR012238	ISHARES TR DOW JONES U S ENERGY SEC BUY 100 Units @42.3600	4,236.00	50.31	2.00	48.31	75.0	36.23
* STOCKS SUBTOTAL *			\$8,472.50	\$104.31	\$19.00	\$85.31		\$63.98
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/29/2018	***-**-679I - VIGIL, ELLI ACCOUNT #: LSR011852	BLACKROCK EVENT DRIVEN EQUITY FUND BUY 1 Units @0.0000	0.00	5.39	0.00	5.39	75.0	4.04
01/29/2018	***-**-502J - GRANDSTAFF, ACCOUNT #: LSR011931	HCM DIVIDEND SECTOR PLUS FND CL A-N BUY 1 Units @0.0000	0.00	9.13	0.00	9.13	75.0	6.85
01/29/2018	***-**-37IR - DAVIES, JANET ACCOUNT #: LSR012154	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	0.68	0.00	0.68	75.0	0.51
01/29/2018	***-**-471O - DAVIES, ROBERT ACCOUNT #: LSR012157	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	1.11	0.00	1.11	75.0	0.83



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
01/29/2018	***-**-471I - DAVIES, ROBERT ACCOUNT #: LSR012158	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	0.17	0.00	0.17	75.0	0.13
01/29/2018	***-**-71IR - DAVIES, ROBERT ACCOUNT #: LSR012159	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	0.68	0.00	0.68	75.0	0.51
01/29/2018	***-**-934I - HARVEY, CHERYL ACCOUNT #: LSR011885	PUTNAM EQUITY INCOME FUND-A BUY 1 Units @0.0000	0.00	1.86	0.00	1.86	75.0	1.40
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$19.02	\$0.00	\$19.02		\$14.27
*** BROKER TRANSACTIONS TOTAL ***			\$72,672.49	\$1,576.83	\$145.00	\$1,431.83		\$1,055.88

BROKER COMMISSION TOTALS

Broker Transactions	\$1,055.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-3,235.24
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$-2,179.36
Year-to-date Earnings	\$-2,179.36