

# STATEMENT

June 20, 2018

JOSHUA STROUD - LPCM  
1742 Delrose Ave

BROKER #: 3018  
BRANCH: LIBERTY PARTNERS CAP

Springfield, OR 97477-

## COMMISSION STATEMENT for JOSHUA STROUD - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: RIA

05/03/0018 #PRUITT PRUITT, ROSEANNE	PLANNING FEES	B	0.00	1,200.00	0.00	0.00		1,200.00	91.0	1,092.00
05/05/0018 #941526918	CLS INVESTMENT FEES	B	133,023.92	400.97	0.00	0.00		400.97	91.0	364.88
05/05/0018 #941526918	CLS INVESTMENT FEES	B	49,999.98	169.42	0.00	0.00		169.42	91.0	154.17
05/01/2018 #92116648901 SOPER, DENNIS	FTJ	B	0.00	34.92	0.00	0.00		34.92	91.0	31.78
05/01/2018 #92116649901 SOPER, DENNIS	FTJ	B	0.00	35.81	0.00	0.00		35.81	91.0	32.59
05/01/2018 #92116649902 SOPER, DENNIS	FTJ	B	0.00	30.32	0.00	0.00		30.32	91.0	27.59
05/01/2018 #92116649903 SOPER, DENNIS	FTJ	B	0.00	28.01	0.00	0.00		28.01	91.0	25.49
05/01/2018 #92116649904 SOPER, DENNIS	FTJ	B	0.00	21.99	0.00	0.00		21.99	91.0	20.01
05/01/2018 #92116718801 LEE, LYNN	FTJ	B	0.00	12.04	0.00	0.00		12.04	91.0	10.96
05/01/2018 #921167188 LEE, LYNN	FTJ	B	0.00	0.15	0.00	0.00		0.15	91.0	0.14
05/01/2018 #92116769801 PRUITT, ROSEANNE	FTJ	B	0.00	17.28	0.00	0.00		17.28	91.0	15.72
05/01/2018 #92116769802 PRUITT, ROSEANNE	FTJ	B	0.00	14.12	0.00	0.00		14.12	91.0	12.85
05/01/2018 #92116769803 PRUITT, ROSEANNE	FTJ	B	0.00	14.39	0.00	0.00		14.39	91.0	13.09
05/01/2018 #92116769804 PRUITT, ROSEANNE	FTJ	B	0.00	11.77	0.00	0.00		11.77	91.0	10.71
05/01/2018 #92116770301 PRUITT, ROSEANNE	FTJ	B	0.00	96.48	0.00	0.00		96.48	91.0	87.80
05/01/2018 #92116855601 CRUMPACKER,	FTJ	B	0.00	35.73	0.00	0.00		35.73	91.0	32.51
05/01/2018 #92116855602 CRUMPACKER,	FTJ	B	0.00	30.31	0.00	0.00		30.31	91.0	27.58
05/01/2018 #92116855603 CRUMPACKER,	FTJ	B	0.00	27.44	0.00	0.00		27.44	91.0	24.97
05/01/2018 #92116855604 CRUMPACKER,	FTJ	B	0.00	21.37	0.00	0.00		21.37	91.0	19.45
05/01/2018 #92116910501 HASCALL, GREG	FTJ	B	0.00	30.08	0.00	0.00		30.08	91.0	27.37
05/01/2018 #92116910502 HASCALL, GREG	FTJ	B	0.00	23.84	0.00	0.00		23.84	91.0	21.69
05/01/2018 #92116910503 HASCALL, GREG	FTJ	B	0.00	5.37	0.00	0.00		5.37	91.0	4.89
05/01/2018 #92116912901 HASCALL, CONNIE	FTJ	B	0.00	2.47	0.00	0.00		2.47	91.0	2.25
05/01/2018 #92116912902 HASCALL, CONNIE	FTJ	B	0.00	1.92	0.00	0.00		1.92	91.0	1.75
05/01/2018 #92116912903 HASCALL, CONNIE	FTJ	B	0.00	0.50	0.00	0.00		0.50	91.0	0.46
05/01/2018 #92116913901 HASCALL, CONNIE	FTJ	B	0.00	2.60	0.00	0.00		2.60	91.0	2.37
05/01/2018 #92116913902 HASCALL, CONNIE	FTJ	B	0.00	2.05	0.00	0.00		2.05	91.0	1.87
05/01/2018 #92116913903 HASCALL, CONNIE	FTJ	B	0.00	0.52	0.00	0.00		0.52	91.0	0.47
05/01/2018 #92116985101 OLSEN, BREKKE	FTJ	B	0.00	8.58	0.00	0.00		8.58	91.0	7.81
05/01/2018 #92116985102 OLSEN, BREKKE	FTJ	B	0.00	7.06	0.00	0.00		7.06	91.0	6.42
05/01/2018 #92116985103 OLSEN, BREKKE	FTJ	B	0.00	1.52	0.00	0.00		1.52	91.0	1.38

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: RIA</u></b>										
05/01/2018	#92116986101	OLSEN, BREKKE FTJ	B	0.00	0.66	0.00	0.00	0.66	91.0	0.60
05/01/2018	#92116986102	OLSEN, BREKKE FTJ	B	0.00	0.52	0.00	0.00	0.52	91.0	0.47
05/01/2018	#92116986103	OLSEN, BREKKE FTJ	B	0.00	0.11	0.00	0.00	0.11	91.0	0.10
05/01/2018	#92117246701	EATON, SHAWN FTJ	B	0.00	11.45	0.00	0.00	11.45	91.0	10.42
05/01/2018	#92117246702	EATON, SHAWN FTJ	B	0.00	9.65	0.00	0.00	9.65	91.0	8.78
05/01/2018	#92117246703	EATON, SHAWN FTJ	B	0.00	2.12	0.00	0.00	2.12	91.0	1.93
05/01/2018	#92117269901	LINBARGER, FTJ	B	0.00	1.77	0.00	0.00	1.77	91.0	1.61
05/01/2018	#92117274901	MICKELSON, MARITA FTJ	B	0.00	8.15	0.00	0.00	8.15	91.0	7.42
05/01/2018	#92117274902	MICKELSON, MARITA FTJ	B	0.00	6.79	0.00	0.00	6.79	91.0	6.18
05/01/2018	#92117274903	MICKELSON, MARITA FTJ	B	0.00	1.51	0.00	0.00	1.51	91.0	1.37
05/01/2018	#92117277401	BUSH, JASON FTJ	B	0.00	4.29	0.00	0.00	4.29	91.0	3.90
05/01/2018	#92117277402	BUSH, JASON FTJ	B	0.00	3.63	0.00	0.00	3.63	91.0	3.30
05/01/2018	#92117277403	BUSH, JASON FTJ	B	0.00	1.73	0.00	0.00	1.73	91.0	1.57
05/01/2018	#92117279401	GABRIO, FTJ	B	0.00	5.61	0.00	0.00	5.61	91.0	5.11
05/01/2018	#921172794	GABRIO, ALEKSANDER FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0	0.01
05/01/2018	#92117279402	GABRIO, FTJ	B	0.00	0.92	0.00	0.00	0.92	91.0	0.84
05/01/2018	#92117378801	MICKELSON, MARITA FTJ	B	0.00	11.50	0.00	0.00	11.50	91.0	10.47
05/01/2018	#92117378802	MICKELSON, MARITA FTJ	B	0.00	9.71	0.00	0.00	9.71	91.0	8.84
05/01/2018	#92117378803	MICKELSON, MARITA FTJ	B	0.00	2.12	0.00	0.00	2.12	91.0	1.93
05/01/2018	#92117451701	CALDERON, MATTHEW FTJ	B	0.00	0.41	0.00	0.00	0.41	91.0	0.37
05/01/2018	#92117490101	PLEICH JT TEN, FTJ	B	0.00	0.04	0.00	0.00	0.04	91.0	0.04
05/01/2018	#92117956501	APPLEGATE, JACK FTJ	B	0.00	0.18	0.00	0.00	0.18	91.0	0.16
05/01/2018	#92117956502	APPLEGATE, JACK FTJ	B	0.00	0.15	0.00	0.00	0.15	91.0	0.14
05/01/2018	#92117956503	APPLEGATE, JACK FTJ	B	0.00	0.04	0.00	0.00	0.04	91.0	0.04
05/01/2018	#92118480501	RICE, ROBERT FTJ	B	0.00	0.23	0.00	0.00	0.23	91.0	0.21
05/01/2018	#92118480502	RICE, ROBERT FTJ	B	0.00	0.23	0.00	0.00	0.23	91.0	0.21
05/01/2018	#92118480503	RICE, ROBERT FTJ	B	0.00	0.11	0.00	0.00	0.11	91.0	0.10
05/01/2018	#92118659601	APPLEGATE, FTJ	B	0.00	0.13	0.00	0.00	0.13	91.0	0.12
05/01/2018	#92118659602	APPLEGATE, FTJ	B	0.00	0.08	0.00	0.00	0.08	91.0	0.07
05/01/2018	#92118659603	APPLEGATE, FTJ	B	0.00	0.02	0.00	0.00	0.02	91.0	0.02
05/01/2018	#92118666101	PARK, SANDY FTJ	B	0.00	9.76	0.00	0.00	9.76	91.0	8.88
05/01/2018	#92118666102	PARK, SANDY FTJ	B	0.00	7.80	0.00	0.00	7.80	91.0	7.10
05/01/2018	#92118666103	PARK, SANDY FTJ	B	0.00	2.03	0.00	0.00	2.03	91.0	1.85
05/01/2018	#92118673101	PARK, SANDY FTJ	B	0.00	49.81	0.00	0.00	49.81	91.0	45.33
05/01/2018	#92118673102	PARK, SANDY FTJ	B	0.00	40.01	0.00	0.00	40.01	91.0	36.41
05/01/2018	#92118673103	PARK, SANDY FTJ	B	0.00	10.37	0.00	0.00	10.37	91.0	9.44
05/01/2018	#92118712701	WEBSTER, JOHN FTJ	B	0.00	0.19	0.00	0.00	0.19	91.0	0.17
05/01/2018	#92118712702	WEBSTER, JOHN FTJ	B	0.00	0.15	0.00	0.00	0.15	91.0	0.14
05/01/2018	#92118712703	WEBSTER, JOHN FTJ	B	0.00	0.04	0.00	0.00	0.04	91.0	0.04
05/01/2018	#92118780401	BAIN, MELISSA FTJ	B	0.00	12.71	0.00	0.00	12.71	91.0	11.57

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<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: RIA</u></b>										
05/01/2018	#92118780402	BAIN, MELISSA	FTJ	B	0.00	9.95	0.00	0.00	9.95	91.0 9.05
05/01/2018	#92118780403	BAIN, MELISSA	FTJ	B	0.00	2.57	0.00	0.00	2.57	91.0 2.34
05/01/2018	#92118790701	HANSEN, HEATHER	FTJ	B	0.00	13.00	0.00	0.00	13.00	91.0 11.83
05/01/2018	#92118790702	HANSEN, HEATHER	FTJ	B	0.00	10.26	0.00	0.00	10.26	91.0 9.34
05/01/2018	#92118790703	HANSEN, HEATHER	FTJ	B	0.00	2.54	0.00	0.00	2.54	91.0 2.31
05/01/2018	#92118891901	JOHNSON, MORGAN	FTJ	B	0.00	0.12	0.00	0.00	0.12	91.0 0.11
05/01/2018	#92118891902	JOHNSON, MORGAN	FTJ	B	0.00	0.06	0.00	0.00	0.06	91.0 0.05
05/01/2018	#92118891903	JOHNSON, MORGAN	FTJ	B	0.00	0.02	0.00	0.00	0.02	91.0 0.02
05/01/2018	#92127568801	BUSH, JASON	FTJ	B	0.00	0.74	0.00	0.00	0.74	91.0 0.67
05/01/2018	#921275688	BUSH, JASON	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0 0.01
05/01/2018	#921275688	BUSH, JASON	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0 0.01
05/01/2018	#92127568802	BUSH, JASON	FTJ	B	0.00	0.59	0.00	0.00	0.59	91.0 0.54
05/01/2018	#92127568803	BUSH, JASON	FTJ	B	0.00	0.15	0.00	0.00	0.15	91.0 0.14
05/01/2018	#92119295801	PARKER, JENNIFER	FTJ	B	0.00	0.44	0.00	0.00	0.44	91.0 0.40
05/01/2018	#921192958	PARKER, JENNIFER	FTJ	B	0.00	0.07	0.00	0.00	0.07	91.0 0.06
05/01/2018	#92119295802	PARKER, JENNIFER	FTJ	B	0.00	0.23	0.00	0.00	0.23	91.0 0.21
05/01/2018	#921192958	PARKER, JENNIFER	FTJ	B	0.00	0.04	0.00	0.00	0.04	91.0 0.04
05/01/2018	#92119295803	PARKER, JENNIFER	FTJ	B	0.00	0.22	0.00	0.00	0.22	91.0 0.20
05/01/2018	#921192958	PARKER, JENNIFER	FTJ	B	0.00	0.04	0.00	0.00	0.04	91.0 0.04
05/01/2018	#92119295804	PARKER, JENNIFER	FTJ	B	0.00	0.25	0.00	0.00	0.25	91.0 0.23
05/01/2018	#921192958	PARKER, JENNIFER	FTJ	B	0.00	0.04	0.00	0.00	0.04	91.0 0.04
05/03/2018	#942071330	KUEHN, PHILLIP	CLS INVESTMENT FEES	B	4,366.00	8.82	0.00	0.00	8.82	91.0 8.03
06/01/2018	#557000	TCA STROUD VAR	LPCM MOD 30/30/40	B	16,378.54	23.89	0.00	0.00	23.89	100.0 23.89
06/01/2018	#558690	TCA STROUD VAR	LPCM MOD 30/30/40	B	65,035.04	94.84	0.00	0.00	94.84	100.0 94.84
06/01/2018	#561379	TCA STROUD VAR	LPCM MOD 30/30/40	B	158,976.52	231.84	0.00	0.00	231.84	100.0 231.84
06/01/2018	#557000	TCA STROUD VAR	LPCM MOD 30/30/40	B	16,378.54	-8.18	0.00	0.00	-8.18	100.0 -8.18
06/01/2018	#558690	TCA STROUD VAR	LPCM MOD 30/30/40	B	65,035.04	-32.51	0.00	0.00	-32.51	100.0 -32.51
06/01/2018	#561379	TCA STROUD VAR	LPCM MOD 30/30/40	B	158,976.52	-79.48	0.00	0.00	-79.48	100.0 -79.48
06/01/2018	#557814	TCA STROUD VAR	TCA NULL MODEL	B	528.27	-0.26	0.00	0.00	-0.26	100.0 -0.26
06/01/2018	#558687	TCA STROUD VAR	TCA NULL MODEL	B	19.98	0.00	0.00	0.00	0.00	100.0 0.00
06/01/2018	#556999	TCA STROUD VAR	TCA NULL MODEL	B	4,526.14	-2.26	0.00	0.00	-2.26	100.0 -2.26
06/01/2018	#557032	TCA STROUD VAR	TCA NULL MODEL	B	50.00	-0.02	0.00	0.00	-0.02	100.0 -0.02
06/01/2018	#558687	TCA STROUD VAR	TCA NULL MODEL	B	164.92	-0.08	0.00	0.00	-0.08	100.0 -0.08
06/01/2018	#556999	TCA STROUD VAR	TCA NULL MODEL	B	13,777.35	-6.88	0.00	0.00	-6.88	100.0 -6.88
06/01/2018	#558657	TCA STROUD VAR	TCA NULL MODEL	B	36,113.00	-18.05	0.00	0.00	-18.05	100.0 -18.05
06/01/2018	#558683	TCA STROUD VAR	TCA NULL MODEL	B	2,372.41	-1.18	0.00	0.00	-1.18	100.0 -1.18
06/01/2018	#557000	TCA STROUD VAR	TCA NULL MODEL	B	31,086.19	-15.54	0.00	0.00	-15.54	100.0 -15.54
				<b>* RIA SUBTOTAL *</b>	<b>\$756,808.36</b>	<b>\$2,742.07</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$2,742.07</b>	<b>\$2,512.08</b>
				<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$756,808.36</b>	<b>\$2,742.07</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$2,742.07</b>	<b>\$2,512.08</b>

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ADJUSTMENTS

06/20/2018		ADMIN FEE: ADMIN FEE								-320.00
06/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
06/20/2018		CIRCLEBLACK: CIRCLEBLACK								-175.00
*** ADJUSTMENTS TOTAL ***										<u>\$-674.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,512.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-674.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<u>\$1,838.08</u>
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