

All Companies
STATEMENT
June 20, 2018

RICHARD KLEIN
24 Aster Court

BROKER #: 292
BRANCH: LA BRANCH

Commack, NY 11725-

COMMISSION STATEMENT for RICHARD KLEIN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

04/30/2018 # KLEIN, DANIELLE	IANTHUS CAPITAL HOLDNGSINC COMMON S	3,500.00		20.00	19.95	0.00	0.05	85.0	0.04
05/14/2018 # KLEIN, DANIELLE	CORNERSTONE TOTAL RETURN FUND COMMO B	1,460.66		0.00	19.95	0.00	-19.95	85.0	-16.96
05/29/2018 # BRACE, STEPHEN	ARLINGTON ASSET INVESTMENT CORP CL- B	5,643.50		174.04	19.95	0.00	154.09	85.0	130.98
05/29/2018 # LINNEHAN, RICHARD	CORNERSTONE STRATEGIC VALUE FD COMM B	7,593.50		202.37	19.95	0.00	182.42	85.0	155.06
05/29/2018 # BRACE, STEPHEN	CORNERSTONE STRATEGIC VALUE FD COMM B	7,595.00		202.40	19.95	0.00	182.45	85.0	155.08
* STOCKS SUBTOTAL *				<u>\$25,792.66</u>	<u>\$598.81</u>	<u>\$99.75</u>	<u>\$0.00</u>	<u>\$499.06</u>	<u>\$424.20</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$25,792.66</u>	<u>\$598.81</u>	<u>\$99.75</u>	<u>\$0.00</u>	<u>\$499.06</u>	<u>\$424.20</u>

ADJUSTMENTS

06/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
06/20/2018	ADMIN FEE: ADMIN FEE	-110.00
*** ADJUSTMENTS TOTAL ***		<u>\$-289.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$424.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-289.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$135.20
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