



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 31, 2018

07/27/2018
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JOHN, R119 ROSS
7261 W. Central Ave.

BROKER #: R119
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
06/30/2018	SANDERSON, STEPHEN	GRIFFIN CAPITAL ESSENTIAL ASSET II	9,590.33	23.91	0.00	23.91	92.0	22.00
06/30/2018	YOUNG, KEITH	GRIFFIN CAPITAL ESSENTIAL ASSET II	4,795.16	11.96	0.00	11.96	92.0	11.00
* REIT SUBTOTAL *			\$14,385.49	\$35.87	\$0.00	\$35.87		\$33.00
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
06/30/2018	LONG, PAUL	GRIFFIN INST'L ACCESS R E FUND	5,669.11	1.16	0.00	1.16	92.0	1.07
06/30/2018	SANDERSON, STEPHEN	GRIFFIN INST'L ACCESS R E FUND C	10,015.17	8.23	0.00	8.23	92.0	7.57
* INTERVAL FUNDS SUBTOTAL *			\$15,684.28	\$9.39	\$0.00	\$9.39		\$8.64
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/10/2018	HENDERSON, BARBARA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	4.25	0.00	4.25	92.0	3.91
07/16/2018	COUSINO, NICHOLAS	AM FUNDS EUROPACIFIC GROWTH FUND A	1,971.32	83.78	0.00	83.78	92.0	77.08
07/16/2018	COUSINO, NICHOLAS	AM FUNDS NEW PERSPECTIVE A	2,598.68	110.44	0.00	110.44	92.0	101.60
07/16/2018	GURNEY, ERICA	AM FUNDS NEW WORLD A	25.00	1.25	0.00	1.25	92.0	1.15
07/16/2018	COUSINO, NICHOLAS	AM FUNDS NEW WORLD A	2,000.00	85.00	0.00	85.00	92.0	78.20
07/10/2018	HENDERSON, BARBARA	AM FUNDS SMALLCAP WORLD A	50.00	2.13	0.00	2.13	92.0	1.96
* MUTUAL FUNDS SUBTOTAL *			\$6,745.00	\$286.85	\$0.00	\$286.85		\$263.90
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/16/2018	KOZIARSKI, RICHARD	HARTFORD LEADERS OUTLOOK (ILA)	1,100.00	38.50	0.00	38.50	92.0	35.42
07/23/2018	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
07/25/2018	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
07/25/2018	SHOEMAKER, DENNIS	MML ARTISTRY	25.00	1.38	0.00	1.38	92.0	1.27
* VARIABLE ANNUITIES SUBTOTAL *			\$1,315.00	\$50.33	\$0.00	\$50.33		\$46.30
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/09/2018	WAGONER JR, JOHN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	3.41	0.00	3.41	92.0	3.14
07/09/2018	WAGONER JR, JOHN	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	3.22	0.00	3.22	92.0	2.96

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/09/2018	ROSS, VARIOUS	AMERICAN FUNDS 8504AFRKD	0.00	707.61	0.00	707.61	92.0	651.00
07/09/2018	ROSS, VARIOUS	AMERICAN FUNDS 8504AFRKD	0.00	916.53	0.00	916.53	92.0	843.21
/ /	WAGONER JR, JOHN	DELAWARE SMID CAP GROWTH A	0.00	2.78	0.00	2.78	92.0	2.56
07/13/2018	MCLAUGHLIN, JAIME	FLEX MEEDER BALANCED FUND	0.00	0.81	0.00	0.81	92.0	0.75
/ /	MCLAUGHLIN, JAIME	FLEX MEEDER UTILITIES AND INFRASTRU	0.00	0.80	0.00	0.80	92.0	0.74
/ /	DUBOW, ERIC	OPPENHEIMER INTL SMALL CO A	0.00	9.05	0.00	9.05	92.0	8.33
/ /	MANN, JAMES	OPPENHEIMER MAIN STREET A	0.00	8.23	0.00	8.23	92.0	7.57
/ /	MANN, JAMES	OPPENHEIMER MAIN STREET A	0.00	0.32	0.00	0.32	92.0	0.29
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,652.76	\$0.00	\$1,652.76		\$1,520.55
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/02/2018	AUBRY, PATRICIA	BRIGHTHOUSE	0.00	145.47	0.00	145.47	92.0	133.83
07/02/2018	CURSON, FRANK	BRIGHTHOUSE	0.00	294.62	0.00	294.62	92.0	271.05
07/02/2018	SCHLIEVERT, LYLE	BRIGHTHOUSE	0.00	139.01	0.00	139.01	92.0	127.89
07/02/2018	GERMAN, MARIANNE	BRIGHTHOUSE	0.00	27.40	0.00	27.40	92.0	25.21
07/02/2018	AUBRY, PATRICIA	BRIGHTHOUSE	0.00	114.58	0.00	114.58	92.0	105.41
07/02/2018	VALIQUETTE, PAUL	BRIGHTHOUSE	0.00	44.89	0.00	44.89	92.0	41.30
07/02/2018	MOORE, GARY	BRIGHTHOUSE	0.00	324.55	0.00	324.55	92.0	298.59
07/02/2018	KRAUS, JUDITH	BRIGHTHOUSE	0.00	130.95	0.00	130.95	92.0	120.47
07/02/2018	HOLDINSKY, PATRICIA	BRIGHTHOUSE	0.00	275.25	0.00	275.25	92.0	253.23
07/02/2018	VALIQUETTE, MYRNA	BRIGHTHOUSE	0.00	59.64	0.00	59.64	92.0	54.87
07/02/2018	IRWIN, JOHN	BRIGHTHOUSE	0.00	316.60	0.00	316.60	92.0	291.27
07/02/2018	CURSON, BEVERLY	BRIGHTHOUSE	0.00	142.66	0.00	142.66	92.0	131.25
07/02/2018	KOZLOWSKI, KENNETH	BRIGHTHOUSE	0.00	78.82	0.00	78.82	92.0	72.51
07/02/2018	COLEMAN, PARISS	BRIGHTHOUSE	0.00	339.14	0.00	339.14	92.0	312.01
07/02/2018	VALADE, JANET	BRIGHTHOUSE	0.00	148.40	0.00	148.40	92.0	136.53
07/02/2018	VALIQUETTE, MYRNA	BRIGHTHOUSE	0.00	47.21	0.00	47.21	92.0	43.43
07/02/2018	WASSERMAN, RICHARD	BRIGHTHOUSE	0.00	26.77	0.00	26.77	92.0	24.63
07/02/2018	KOZIARSKI, RICHARD	BRIGHTHOUSE	0.00	114.55	0.00	114.55	92.0	105.39
07/02/2018	HELMINIAK, CAROLYN	BRIGHTHOUSE	0.00	139.10	0.00	139.10	92.0	127.97
07/02/2018	GARDNER, WM	BRIGHTHOUSE	0.00	30.11	0.00	30.11	92.0	27.70
07/02/2018	KRALL, GARY	BRIGHTHOUSE	0.00	53.05	0.00	53.05	92.0	48.81
07/02/2018	DRUCKENMILLER, CHRISTINA	BRIGHTHOUSE	0.00	483.17	0.00	483.17	92.0	444.52
07/02/2018	SWINDLE, CYNTHIA	BRIGHTHOUSE	0.00	31.54	0.00	31.54	92.0	29.02

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/02/2018	ROSS, DANIEL	BRIGHTHOUSE	0.00	53.95	0.00	53.95	92.0	49.63
07/02/2018	MOORE, LINDA	BRIGHTHOUSE	0.00	31.98	0.00	31.98	92.0	29.42
07/02/2018	SMITH, SUZANNE	BRIGHTHOUSE	0.00	548.19	0.00	548.19	92.0	504.33
07/02/2018	BOWSER, DANIEL	BRIGHTHOUSE	0.00	123.29	0.00	123.29	92.0	113.43
07/02/2018	HAMAKER, SCOTT	BRIGHTHOUSE	0.00	140.15	0.00	140.15	92.0	128.94
07/02/2018	SCHNITKEY, KAREN	BRIGHTHOUSE	0.00	154.16	0.00	154.16	92.0	141.83
07/02/2018	WAGONER JR, JOHN	BRIGHTHOUSE	0.00	133.32	0.00	133.32	92.0	122.65
07/02/2018	WOODRUFF, STEPHANIE	BRIGHTHOUSE	0.00	132.24	0.00	132.24	92.0	121.66
07/11/2018	STEDCKE, EILEEN	ING PREMIUM PLUS	23,499.83	14.69	0.00	14.69	92.0	13.51
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$23,499.83	\$4,839.45	\$0.00	\$4,839.45		\$4,452.29
*** DIRECT TRANSACTIONS TOTAL ***			\$61,629.60	\$6,874.65	\$0.00	\$6,874.65		\$6,324.68

OVERRIDE TRANSACTIONS**BROKER: THOMAS, H121 HALSEY #10**

07/16/2018	LEROUX, LAURA	BLACKROCK 67776G469	1,000.00	35.00	0.00	35.00	85.0	2.45
/ /	LEROUX, LAURA	BLACKROCK 67776G519	0.00	11.25	0.00	11.25	85.0	0.79
* THOMAS, H121 HALSEY SUBTOTAL *			\$1,000.00	\$46.25	\$0.00	\$46.25		\$3.24

BROKER: THOMAS, H121 HALSEY #82

07/09/2018	DENHAM, CONSTANCE	AM FUNDS AMERICAN BALANCED A	1,000.00	37.50	0.00	37.50	85.0	2.63
07/09/2018	DENHAM, CONSTANCE	AM FUNDS FUNDAMENTAL INVESTORS A	1,000.00	37.50	0.00	37.50	85.0	2.63
07/13/2018	WRAY, KIM	AM FUNDS FUNDAMENTAL INVESTORS A	2,239.06	61.57	0.00	61.57	85.0	4.31
07/09/2018	DENHAM, CONSTANCE	AM FUNDS GLOBAL BALANCED A	1,500.00	56.25	0.00	56.25	85.0	3.94
07/09/2018	DENHAM, CONSTANCE	AM FUNDS INCOME FUND OF AMERICA A	1,500.00	56.25	0.00	56.25	85.0	3.94
07/09/2018	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	978.89	36.71	0.00	36.71	85.0	2.57
06/30/2018	LEROUX, LAURA	BLACKROCK 67776G469	0.00	8.84	0.00	8.84	85.0	0.62
06/30/2018	BUEHRER, SARAH	BLACKROCK 67776G519	0.00	1.55	0.00	1.55	85.0	0.11
06/30/2018	LEROUX, LAURA	BLACKROCK 67776G519	0.00	9.04	0.00	9.04	85.0	0.63
/ /	LEININGER, LISA	BLACKROCK 67776G535	0.00	0.53	0.00	0.53	85.0	0.04
06/30/2018	LEININGER, LISA	BLACKROCK 67776G535	0.00	0.53	0.00	0.53	85.0	0.04
07/16/2018	LEININGER, LISA	BLACKROCK 67776G535	300.00	1.25	0.00	1.25	85.0	0.09
07/16/2018	LEININGER, LISA	BLACKROCK 67776G535	25.00	1.25	0.00	1.25	85.0	0.09
06/30/2018	BUEHRER, SARAH	GLOBAL ALLOCATION FUND	0.00	1.50	0.00	1.50	85.0	0.11

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: THOMAS, H121 HALSEY #82</u>								
07/01/2018	DAY, JAMES	MFS MASS INVESTORS TRUST A	0.00	8.99	0.00	8.99	85.0	0.63
07/23/2018	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	0.39
07/23/2018	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
07/05/2018	PRZYBYLSKI, JOSHUA	PIMCO STOCK PLUS FUND A	0.00	5.15	0.00	5.15	85.0	0.36
* THOMAS, H121 HALSEY SUBTOTAL *			\$8,692.95	\$332.66	\$0.00	\$332.66		\$23.32
<u>BROKER: ROBERT, T118 THOMPSON #83</u>								
07/09/2018	THOMPSON, VARIOUS	AMERICAN FUNDS 8504AFRKD	0.00	603.27	0.00	603.27	90.0	12.07
07/23/2018	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
/ /	FLECK, KATHRYN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	2.20	0.00	2.20	90.0	0.04
/ /	FLECK, KATHRYN	OPPENHEIMER MAIN STREET A	0.00	2.67	0.00	2.67	90.0	0.05
/ /	FLECK, KATHRYN	OPPENHEIMER QUEST OPP VALUE A	0.00	1.58	0.00	1.58	90.0	0.03
/ /	FLECK, KATHRYN	OPPENHEIMER SMALL MID CAP VALUE A	0.00	4.58	0.00	4.58	90.0	0.09
* ROBERT, T118 THOMPSON SUBTOTAL *			\$150.00	\$624.43	\$0.00	\$624.43		\$12.48
*** OVERRIDE TRANSACTIONS TOTAL ***			\$9,842.95	\$1,003.34	\$0.00	\$1,003.34		\$39.04

BROKER COMMISSION TOTALS

Broker Transactions	\$6,324.68
Split Transactions	\$0.00
Override Transactions	\$39.04
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,363.72
Year-to-date Gross	\$83,552.63
Year-to-date Earnings	\$80,808.93

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