

COMMISSION STATEMENT

February 28, 2018

STEPHEN MOSS
1336 MARYLAND AVENUE

HVERTOWN, PA 19082

BROKER #: 60
BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

02/06/2018	75501796	MOSS, KATHLEEN		ISHARES S&P SMALL CAP 600 VALUE ETF B	1,467.70	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501796	MOSS, KATHLEEN		ISHARES TR S&P MIDCAP 400 GROWTH B	1,485.40	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501796	MOSS, KATHLEEN		ISHARES U S HEALTHCARE ETF B	2,779.20	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501796	MOSS, KATHLEEN		ISHARES U S BASIC MATERIALS ETF S	3,473.40	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501796	MOSS, KATHLEEN		ISHARES U S INDUSTRIALS ETF S	2,170.05	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501796	MOSS, KATHLEEN		ISHARES U S ENERGY ETF S	1,870.51	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501796	MOSS, KATHLEEN		ISHARES U S CONSUMER SERVICES ETF S	2,399.18	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501796	MOSS, KATHLEEN		SPDR DOW JONES INDL AVERAGE ETF TR B	1,213.45	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501796	MOSS, KATHLEEN		SPDR S&P 500 ETF TRUST B	1,578.18	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501796	MOSS, KATHLEEN		SPDR SER TR BLOOMBERG BARCLAYS INTL S	320.54	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501796	MOSS, KATHLEEN		STANDARD & POORS MIDCAP 400 SPDR S& B	1,335.27	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501796	MOSS, KATHLEEN		VANECK VECTORS ETF TR HIGH YIELD MU S	124.13	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		ISHARES FLOATING RATE BOND ETF B	9,176.06	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		ISHARES MSCI EMERGING MARKETS ETF B	6,317.95	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		ISHARES MSCI EAFE ETF S	7,153.33	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		ISHARES S&P500 GROWTH INDEX FD B	5,849.33	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		ISHARES U S HEALTHCARE ETF S	13,315.50	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		ISHARES U S CONSUMER SERVICES ETF B	10,149.71	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		ISHARES U S BASIC MATERIALS ETF S	6,813.24	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		ISHARES U S UTILITIES ETF S	11,620.29	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		ISHARES U S REAL ESTATE ETF S	17,567.59	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		ISHARES U S TECHNOLOGY ETF S	7,311.72	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		ISHARES U S INDUSTRIALS ETF B	10,134.71	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		ISHARES U S FINANCIALS ETF B	10,019.76	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		PIMCO ENHANCED SHORT MATURITY ACTIV B	8,835.71	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		POWERSHARES PREFERRED PORTFOLIO S	7,959.33	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		POWERSHARES QQQ TRUST SERIES 1 B	5,572.18	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		SPDR DOW JONES INDL AVERAGE ETF TR B	5,998.18	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		SPDR S&P 500 ETF TRUST B	6,758.25	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		SPDR SER TR BLOOMBERG BARCLAYS INTL S	8,981.96	0.00	0.00	0.00	50.0	0.00
02/15/2018	75501873	BESETH, DAVID		SPDR SERIES TRUST SPDR BLOOMBERG BA S	8,859.81	0.00	0.00	0.00	50.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/15/2018	75501873	BESETH, DAVID							
		SPDR SERIES TRUST SPDR BLOOMBERG BA B		8,871.62	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501877	MORRIS WEISMAN TTEE							
		ISHARES S&P SMALL CAP 600 VALUE ETF S		1,352.97	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501877	MORRIS WEISMAN TTEE							
		ISHARES S&P SMALL CAP 600 GROWTH ET S		23,591.00	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501877	MORRIS WEISMAN TTEE							
		ISHARES TR S&P MIDCAP 400 GROWTH S		2,181.50	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501877	MORRIS WEISMAN TTEE							
		ISHARES U S HEALTHCARE ETF B		31,949.22	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501877	MORRIS WEISMAN TTEE							
		ISHARES U S BASIC MATERIALS ETF S		9,457.35	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501877	MORRIS WEISMAN TTEE							
		ISHARES U S TECHNOLOGY ETF S		30,485.86	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501877	MORRIS WEISMAN TTEE							
		ISHARES U S INDUSTRIALS ETF S		1,345.32	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501877	MORRIS WEISMAN TTEE							
		ISHARES U S ENERGY ETF B		31,959.56	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501877	MORRIS WEISMAN TTEE							
		POWERSHARES GLOBAL EXCHANGE TRADED B		21,094.47	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501877	MORRIS WEISMAN TTEE							
		POWERSHARES QQQ TRUST SERIES 1 S		24,896.68	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501877	MORRIS WEISMAN TTEE							
		SPDR DOW JONES INDL AVERAGE ETF TR S		2,749.12	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501877	MORRIS WEISMAN TTEE							
		SPDR S&P 500 ETF TRUST B		21,702.40	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501877	MORRIS WEISMAN TTEE							
		SPDR SER TR BLOOMBERG BARCLAYS INTL B		1,422.96	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501877	MORRIS WEISMAN TTEE							
		SPDR SERIES TRUST SPDR BLOOMBERG BA S		19,476.81	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501877	MORRIS WEISMAN TTEE							
		STANDARD & POORS MIDCAP 400 SPDR S& B		20,281.10	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501877	MORRIS WEISMAN TTEE							
		VANECK VECTORS ETF TR HIGH YIELD MU B		1,878.65	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501878	MORRIS WEISMAN TTEE							
		ISHARES S&P SMALL CAP 600 VALUE ETF S		594.44	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501878	MORRIS WEISMAN TTEE							
		ISHARES S&P SMALL CAP 600 GROWTH ET S		5,732.06	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501878	MORRIS WEISMAN TTEE							
		ISHARES TR S&P MIDCAP 400 GROWTH S		645.00	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501878	MORRIS WEISMAN TTEE							
		ISHARES U S HEALTHCARE ETF B		7,247.16	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501878	MORRIS WEISMAN TTEE							
		ISHARES U S CONSUMER SERVICES ETF S		371.41	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501878	MORRIS WEISMAN TTEE							
		ISHARES U S BASIC MATERIALS ETF S		2,672.48	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501878	MORRIS WEISMAN TTEE							
		ISHARES U S TECHNOLOGY ETF S		7,272.76	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501878	MORRIS WEISMAN TTEE							
		ISHARES U S ENERGY ETF B		7,282.54	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501878	MORRIS WEISMAN TTEE							
		POWERSHARES GLOBAL EXCHANGE TRADED B		4,866.63	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501878	MORRIS WEISMAN TTEE							
		POWERSHARES QQQ TRUST SERIES 1 S		5,947.85	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501878	MORRIS WEISMAN TTEE							
		SPDR DOW JONES INDL AVERAGE ETF TR S		734.19	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501878	MORRIS WEISMAN TTEE							
		SPDR S&P 500 ETF TRUST B		4,812.12	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501878	MORRIS WEISMAN TTEE							
		SPDR SERIES TRUST SPDR BLOOMBERG BA S		4,737.43	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501878	MORRIS WEISMAN TTEE							
		STANDARD & POORS MIDCAP 400 SPDR S& B		4,404.50	0.00	0.00	0.00	50.0	0.00
02/05/2018	75501878	MORRIS WEISMAN TTEE							
		VANECK VECTORS ETF TR HIGH YIELD MU B		154.19	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501907	HESTON, EUGENE							
		ISHARES FLOATING RATE BOND ETF B		11,876.01	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501907	HESTON, EUGENE							
		ISHARES MSCI EMERGING MARKETS ETF B		6,766.83	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501907	HESTON, EUGENE							
		ISHARES MSCI EAFE ETF S		5,442.36	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501907	HESTON, EUGENE							
		ISHARES S&P500 GROWTH INDEX FD B		6,379.18	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501907	HESTON, EUGENE							
		ISHARES U S HEALTHCARE ETF S		4,576.52	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501907	HESTON, EUGENE							
		ISHARES U S CONSUMER SERVICES ETF B		5,980.08	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501907	HESTON, EUGENE							
		ISHARES U S BASIC MATERIALS ETF S		5,229.13	0.00	0.00	0.00	50.0	0.00
02/06/2018	75501907	HESTON, EUGENE							
		ISHARES U S UTILITIES ETF S		8,973.25	0.00	0.00	0.00	50.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/06/2018	75501907	HESTON, EUGENE		ISHARES U S REAL ESTATE ETF	S	13,601.91	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501907	HESTON, EUGENE		ISHARES U S TECHNOLOGY ETF	S	6,323.58	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501907	HESTON, EUGENE		ISHARES U S INDUSTRIALS ETF	B	6,010.60	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501907	HESTON, EUGENE		POWERSHARES PREFERRED PORTFOLIO	S	10,741.55	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501907	HESTON, EUGENE		POWERSHARES QQQ TRUST SERIES 1	B	6,261.35	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501907	HESTON, EUGENE		SPDR DOW JONES INDL AVERAGE ETF TR	B	6,409.00	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501907	HESTON, EUGENE		SPDR S&P 500 ETF TRUST	B	6,926.66	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501907	HESTON, EUGENE		SPDR SER TR BLOOMBERG BARCLAYS INTL S		11,661.04	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501907	HESTON, EUGENE		SPDR SERIES TRUST SPDR BLOOMBERG BA B		512.30	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501907	HESTON, EUGENE		VANECK VECTORS ETF TR HIGH YIELD MU B		11,844.52	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		ISHARES FLOATING RATE BOND ETF	B	7,850.90	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		ISHARES MSCI EMERGING MARKETS ETF	B	11,912.45	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		ISHARES S&P SMALL CAP 600 VALUE ETF S		9,690.20	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		ISHARES S&P500 GROWTH INDEX FD	B	11,928.20	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		ISHARES S&P SMALL CAP 600 GROWTH ET S		9,814.76	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		ISHARES TR S&P MIDCAP 400 GROWTH	S	9,918.98	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		ISHARES U S HEALTHCARE ETF	B	8,879.00	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		ISHARES U S CONSUMER SERVICES ETF	S	4,515.90	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		ISHARES U S BASIC MATERIALS ETF	S	12,647.50	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		ISHARES U S TECHNOLOGY ETF	S	3,842.15	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		ISHARES U S INDUSTRIALS ETF	S	4,283.01	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		POWERSHARES QQQ TRUST SERIES 1	B	1,460.32	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		SPDR DOW JONES INDL AVERAGE ETF TR	B	1,741.53	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		SPDR S&P 500 ETF TRUST	B	11,843.92	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		SPDR SER TR BLOOMBERG BARCLAYS INTL S		8,617.33	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		SPDR SERIES TRUST SPDR BLOOMBERG BA B		8,120.70	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501937	BERG, ELIZABETH		VANECK VECTORS ETF TR HIGH YIELD MU S		340.59	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501994	MCCOACH, MARY		SPDR INDEX SHS FDS DOW JONES GLOBAL B		45.13	0.00	0.00	0.00 50.0 0.00
02/06/2018	75501994	MCCOACH, MARY		SPDR INDEX SHS FDS S&P WORLD EX-US	B	31.40	0.00	0.00	0.00 50.0 0.00
02/06/2018	75502095	VERBITSKI, THOMAS		ISHARES FLOATING RATE BOND ETF	B	16,459.43	0.00	0.00	0.00 50.0 0.00
02/06/2018	75502095	VERBITSKI, THOMAS		ISHARES MSCI EMERGING MARKETS ETF	B	24,934.37	0.00	0.00	0.00 50.0 0.00
02/06/2018	75502095	VERBITSKI, THOMAS		ISHARES S&P SMALL CAP 600 VALUE ETF S		13,097.24	0.00	0.00	0.00 50.0 0.00
02/06/2018	75502095	VERBITSKI, THOMAS		ISHARES S&P500 GROWTH INDEX FD	B	24,844.34	0.00	0.00	0.00 50.0 0.00
02/06/2018	75502095	VERBITSKI, THOMAS		ISHARES TR S&P MIDCAP 400 GROWTH	S	13,422.71	0.00	0.00	0.00 50.0 0.00
02/06/2018	75502095	VERBITSKI, THOMAS		ISHARES U S HEALTHCARE ETF	B	18,656.63	0.00	0.00	0.00 50.0 0.00
02/06/2018	75502095	VERBITSKI, THOMAS		ISHARES U S CONSUMER SERVICES ETF	S	2,039.43	0.00	0.00	0.00 50.0 0.00
02/06/2018	75502095	VERBITSKI, THOMAS		ISHARES U S BASIC MATERIALS ETF	S	31,964.06	0.00	0.00	0.00 50.0 0.00
02/06/2018	75502095	VERBITSKI, THOMAS		ISHARES U S TECHNOLOGY ETF	B	18,713.85	0.00	0.00	0.00 50.0 0.00
02/06/2018	75502095	VERBITSKI, THOMAS		ISHARES U S INDUSTRIALS ETF	S	1,165.20	0.00	0.00	0.00 50.0 0.00
02/06/2018	75502095	VERBITSKI, THOMAS		ISHARES U S ENERGY ETF	S	18,695.23	0.00	0.00	0.00 50.0 0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/06/2018	75502095	VERBITSKI, THOMAS	POWERSHARES GLOBAL EXCHANGE TRADED S	13,046.26	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502095	VERBITSKI, THOMAS	POWERSHARES QQQ TRUST SERIES 1 B	24,912.67	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502095	VERBITSKI, THOMAS	SPDR DOW JONES INDL AVERAGE ETF TR B	11,473.17	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502095	VERBITSKI, THOMAS	SPDR S&P 500 ETF TRUST B	11,374.79	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502095	VERBITSKI, THOMAS	SPDR SER TR BLOOMBERG BARCLAYS INTL S	14,071.00	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502095	VERBITSKI, THOMAS	SPDR SERIES TRUST SPDR BLOOMBERG BA B	16,965.52	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502095	VERBITSKI, THOMAS	STANDARD & POORS MIDCAP 400 SPDR S& S	12,409.89	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502095	VERBITSKI, THOMAS	VANECK VECTORS ETF TR HIGH YIELD MU B	3,258.84	0.00	0.00	0.00	50.0	0.00
02/13/2018	75502174	SCHMIDT, JAMES	SPDR INDEX SHS FDS DOW JONES GLOBAL B	2,163.84	0.00	0.00	0.00	50.0	0.00
02/13/2018	75502174	SCHMIDT, JAMES	SPDR INDEX SHS FDS S&P EMERGING MAR B	3,463.88	0.00	0.00	0.00	50.0	0.00
02/13/2018	75502174	SCHMIDT, JAMES	SPDR INDEX SHS FDS S&P WORLD EX-US B	9,214.48	0.00	0.00	0.00	50.0	0.00
02/13/2018	75502174	SCHMIDT, JAMES	SPDR INDEX SHS FDS S&P INTERNATIONAL B	1,282.42	0.00	0.00	0.00	50.0	0.00
02/13/2018	75502174	SCHMIDT, JAMES	SPDR INDEX SHARES FUNDS SPDR S&P EM B	412.40	0.00	0.00	0.00	50.0	0.00
02/13/2018	75502174	SCHMIDT, JAMES	SPDR RUSSELL 1000 ETF B	12,272.04	0.00	0.00	0.00	50.0	0.00
02/13/2018	75502174	SCHMIDT, JAMES	SPDR RUSSELL 2000 ETF B	3,933.90	0.00	0.00	0.00	50.0	0.00
02/13/2018	75502174	SCHMIDT, JAMES	SPDR SERIES TRUST SPDR BLOOMBERG BA B	6,589.40	0.00	0.00	0.00	50.0	0.00
02/13/2018	75502174	SCHMIDT, JAMES	SPDR SERIES TRUST SPDR BLOOMBERG BA B	1,265.92	0.00	0.00	0.00	50.0	0.00
02/13/2018	75502174	SCHMIDT, JAMES	SPDR SERIES TRUST SPDR BLOOMBERG BA B	888.68	0.00	0.00	0.00	50.0	0.00
02/13/2018	75502174	SCHMIDT, JAMES	SPDR SERIES TRUST SPDR PORTFOLIO IN B	908.28	0.00	0.00	0.00	50.0	0.00
02/13/2018	75502174	SCHMIDT, JAMES	SPDR SERIES TRUST SPDR BLOOMBERG BA B	1,335.74	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502176	DENNIN, MARY	ISHARES FLOATING RATE BOND ETF B	9,378.46	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502176	DENNIN, MARY	ISHARES MSCI EMERGING MARKETS ETF B	5,001.17	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502176	DENNIN, MARY	ISHARES S&P500 GROWTH INDEX FD B	4,939.84	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502176	DENNIN, MARY	ISHARES U S HEALTHCARE ETF B	4,379.25	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502176	DENNIN, MARY	ISHARES U S CONSUMER SERVICES ETF B	4,469.22	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502176	DENNIN, MARY	ISHARES U S TECHNOLOGY ETF B	4,438.26	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502176	DENNIN, MARY	ISHARES U S INDUSTRIALS ETF B	4,387.50	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502176	DENNIN, MARY	POWERSHARES QQQ TRUST SERIES 1 B	4,953.72	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502176	DENNIN, MARY	SPDR DOW JONES INDL AVERAGE ETF TR B	4,886.20	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502176	DENNIN, MARY	SPDR S&P 500 ETF TRUST B	4,781.34	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502176	DENNIN, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA B	9,662.93	0.00	0.00	0.00	50.0	0.00
02/06/2018	75502176	DENNIN, MARY	VANECK VECTORS ETF TR HIGH YIELD MU B	9,404.09	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *				\$1,169,700.40	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
02/28/2018	VARIOUS, MOSS	COLLEGE BOUND	B	0.00	3.50	0.00	3.50	50.0	1.75
02/28/2018	VARIOUS, MOSS	JACKSON	B	0.00	15.95	0.00	15.95	50.0	7.98
02/28/2018	VARIOUS, MOSS	LINCOLN	B	0.00	307.45	0.00	307.45	50.0	153.73
02/28/2018	VARIOUS, MOSS	MASS MUTUAL	B	0.00	8.34	0.00	8.34	50.0	4.17
02/28/2018	VARIOUS, MOSS	MASS MUTUAL	B	0.00	8.33	0.00	8.33	50.0	4.17

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
		* MISCELLANEOUS SUBTOTAL *		\$0.00	\$343.57	\$0.00	\$343.57		\$171.80	
PRODUCT CATEGORY: RIA										
01/17/2018	75501796	MOSS, KATHLEEN	FAS FEES PT. II/III	B	-52.65	52.65	0.00	52.65	50.0	26.33
01/17/2018	75501797	HERTEN, TIMOTHY	FAS FEES PT. II/III	B	-37.16	37.16	0.00	37.16	50.0	18.58
01/17/2018	75501799	HERTEN, TIMOTHY	FAS FEES PT. II/III	B	-39.59	39.59	0.00	39.59	50.0	19.80
01/17/2018	75501801	JOHN W SCHULTZ TTEE	FAS FEES PT. II/III	B	-117.87	117.87	0.00	117.87	50.0	58.94
01/17/2018	75501802	MCELREAVEY, DANIEL	FAS FEES PT. II/III	B	-72.99	72.99	0.00	72.99	50.0	36.50
01/17/2018	75501873	BESETH, DAVID	FAS FEES PT. II/III	B	-138.43	138.43	0.00	138.43	50.0	69.22
01/17/2018	75501875	RALPH G BARTO TRUST	FAS FEES PT. II/III	B	-198.15	198.15	0.00	198.15	50.0	99.08
01/17/2018	75501877	MORRIS WEISMAN TTEE	FAS FEES PT. II/III	B	-535.43	535.43	0.00	535.43	50.0	267.72
01/17/2018	75501878	MORRIS WEISMAN TTEE	FAS FEES PT. II/III	B	-69.96	69.96	0.00	69.96	50.0	34.98
01/17/2018	75501907	HESTON, EUGENE	FAS FEES PT. II/III	B	-125.90	125.90	0.00	125.90	50.0	62.95
01/17/2018	75501922	HAFENMAIER, HELEN	FAS FEES PT. II/III	B	-96.77	96.77	0.00	96.77	50.0	48.39
01/17/2018	75501924	CUNNINGHAM, WENDY	FAS FEES PT. II/III	B	-113.72	113.72	0.00	113.72	50.0	56.86
01/17/2018	75501937	BERG, ELIZABETH	FAS FEES PT. II/III	B	-135.50	135.50	0.00	135.50	50.0	67.75
01/17/2018	75501944	EDWARD W CUNICELLI	FAS FEES PT. II/III	B	-102.60	102.60	0.00	102.60	50.0	51.30
01/17/2018	75502025	THIEU, MINH	FAS FEES PT. II/III	B	-153.52	153.52	0.00	153.52	50.0	76.76
01/17/2018	75502026	DAVID DIEU TTEE	FAS FEES PT. II/III	B	-104.22	104.22	0.00	104.22	50.0	52.11
01/17/2018	75502095	VERBITSKI, THOMAS	FAS FEES PT. II/III	B	-291.00	291.00	0.00	291.00	50.0	145.50
02/07/2018	75502176	DENNIN, MARY	FAS FEES PT. I/III	B	-46.23	46.23	0.00	46.23	50.0	23.12
02/07/2018	75502176	DENNIN, MARY	FAS FEES PT. II/III	B	-46.23	46.23	0.00	46.23	50.0	23.12
02/07/2018	75502176	DENNIN, MARY	FAS FEES PT. III/III	B	-46.23	46.23	0.00	46.23	50.0	23.12
		* RIA SUBTOTAL *		\$-2,524.15	\$2,524.15	\$0.00	\$2,524.15		\$1,262.13	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/09/2018	75501795	SPILLANE, TIMOTHY	FRANKLIN MODERATE ALLOCATION FD CL	B	0.00	0.71	0.00	0.71	50.0	0.00
02/09/2018	75501815	TAMBURRO, ALBERT	**JPMORGAN TR I	B	0.00	1.29	0.00	1.29	50.0	0.65
02/09/2018	75501874	ULIRCUIS, WILLIAM	MFS PENNSYLVANIA MUNICIPAL BOND FUN	B	0.00	0.31	0.00	0.31	50.0	0.00
02/09/2018	75502016	WEST, JEFFREY	**GABELLI EQUITY SERIES FUNDS	B	0.00	0.44	0.00	0.44	50.0	0.00
02/09/2018	75502016	WEST, JEFFREY	TEMP GLOBAL BOND FND - CL A	B	0.00	0.16	0.00	0.16	50.0	0.00
02/09/2018	75502017	WEST, KELLY	**GABELLI EQUITY SERIES FUNDS	B	0.00	0.45	0.00	0.45	50.0	0.00
02/09/2018	75502017	WEST, KELLY	TEMP GLOBAL BOND FND - CL A	B	0.00	0.16	0.00	0.16	50.0	0.00
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$3.52	\$0.00	\$3.52		\$0.65	
		*** BROKER TRANSACTIONS TOTAL ***		\$1,167,176.25	\$2,871.24	\$0.00	\$2,871.24		\$1,434.58	

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

02/28/2018		DEUNC:UNBUNDLED NEW ACCT FEE (LPCM)		0.00	0.00	0.00	0.00		-22.50
02/28/2018		OTHER: OTHER-ADVISORY FEES		0.00	0.00	0.00	0.00		-237.98
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-260.48

BROKER COMMISSION TOTALS

Broker Transactions	\$1,434.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-260.48
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.16
SIPC Assessment	\$-6.32

Check Amount	\$1,164.62
Year-to-date Earnings	\$2,585.35