

COMMISSION STATEMENT

June 30, 2018

STEPHEN MOSS
1336 MARYLAND AVENUEBROKER #: V05 A05
BRANCH: FULCRUM GROUP

HAVERTOWN, PA 19082

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

06/08/2018 (None)	VARIOUS, MOSS	MASSMUTUAL	B	0.00	23.33	0.00	23.33	50.0	11.67
06/13/2018 (None)	VARIOUS, MOSS	529 PLAN	B	0.00	3.50	0.00	3.50	50.0	1.75
06/26/2018 (None)	VARIOUS, MOSS	MASSMUTUAL	B	0.00	7.66	0.00	7.66	50.0	3.83
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$34.49	\$0.00	\$34.49		\$17.25

PRODUCT CATEGORY: STOCKS

06/22/2018 75501994	MCCOACH, MARY	SPDR INDEX SHS FDS S&P WORLD EX-US	S	61.56	0.00	0.00	0.00	50.0	0.00
06/22/2018 75501994	MCCOACH, MARY	SPDR RUSSELL 1000 ETF	S	259.04	0.00	0.00	0.00	50.0	0.00
06/22/2018 75501994	MCCOACH, MARY	SPDR RUSSELL 2000 ETF	S	132.44	0.00	0.00	0.00	50.0	0.00
06/22/2018 75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR PORTFOLIO IN	S	33.17	0.00	0.00	0.00	50.0	0.00
06/22/2018 75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA	S	250.16	0.00	0.00	0.00	50.0	0.00
06/22/2018 75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA	S	27.49	0.00	0.00	0.00	50.0	0.00
06/25/2018 75501994	MCCOACH, MARY	SPDR INDEX SHS FDS S&P WORLD EX-US	B	30.32	0.00	0.00	0.00	50.0	0.00
06/25/2018 75501994	MCCOACH, MARY	SPDR RUSSELL 1000 ETF	B	31.81	0.00	0.00	0.00	50.0	0.00
06/25/2018 75501994	MCCOACH, MARY	SPDR RUSSELL 2000 ETF	B	32.52	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *				\$858.51	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: VARIABLE ANNUITIES

06/06/2018 (None)	VARIOUS, MOSS	JACKSON	B	0.00	15.86	0.00	15.86	50.0	7.93
06/13/2018 (None)	VARIOUS, MOSS	LINCOLN	B	0.00	326.80	0.00	326.80	50.0	163.40
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$342.66	\$0.00	\$342.66		\$171.33

PRODUCT CATEGORY: RIA

04/01/2018 75501796	MOSS, KATHLEEN	FAS FEES PT. III/III	B	-156.97	52.33	0.00	52.33	50.0	26.17
04/01/2018 75501797	HERTEN, TIMOTHY	FAS FEES PT. III/III	B	-112.41	37.47	0.00	37.47	50.0	18.74
04/01/2018 75501799	HERTEN, TIMOTHY	FAS FEES PT. III/III	B	-105.87	35.29	0.00	35.29	50.0	17.65
04/01/2018 75501801	JOHN W SCHULTZ TTEE	FAS FEES PT. III/III	B	-364.06	121.36	0.00	121.36	50.0	60.68
04/01/2018 75501802	MCELREAVEY, DANIEL	FAS FEES PT. III/III	B	-188.86	62.96	0.00	62.96	50.0	31.48
04/01/2018 75501873	BESETH, DAVID	FAS FEES PT. III/III	B	-411.06	137.02	0.00	137.02	50.0	68.51
04/01/2018 75501875	RALPH G BARTO TRUST	FAS FEES PT. III/III	B	-595.44	198.48	0.00	198.48	50.0	99.24
04/01/2018 75501877	MORRIS WEISMAN TTEE	FAS FEES PT. III/III	B	-1,625.83	541.95	0.00	541.95	50.0	270.98
04/01/2018 75501878	MORRIS WEISMAN TTEE	FAS FEES PT. III/III	B	-209.90	69.98	0.00	69.98	50.0	34.99

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: RIA									
04/01/2018	75501907 HESTON, EUGENE	FAS FEES PT. III/III	B	-375.29	125.11	0.00	125.11	50.0	62.56
04/01/2018	75501922 HAFENMAIER, HELEN	FAS FEES PT. III/III	B	-293.37	97.79	0.00	97.79	50.0	48.90
04/01/2018	75501924 CUNNINGHAM, WENDY	FAS FEES PT. III/III	B	-339.20	113.08	0.00	113.08	50.0	56.54
04/01/2018	75501937 BERG, ELIZABETH	FAS FEES PT. III/III	B	-346.62	115.54	0.00	115.54	50.0	57.77
04/01/2018	75501944 EDWARD W CUNICELLI	FAS FEES PT. III/III	B	-309.11	103.05	0.00	103.05	50.0	51.53
04/01/2018	75502025 THIEU, MINH	FAS FEES PT. III/III	B	-468.31	156.11	0.00	156.11	50.0	78.06
04/01/2018	75502026 DAVID DIEU TTEE	FAS FEES PT. III/III	B	-314.41	104.81	0.00	104.81	50.0	52.41
04/01/2018	75502095 VERBITSKI, THOMAS	FAS FEES PT. III/III	B	-1,017.16	339.06	0.00	339.06	50.0	169.53
04/01/2018	75502176 DENNIN, MARY	FAS FEES PT. III/III	B	-230.13	76.71	0.00	76.71	50.0	38.36
* RIA SUBTOTAL *				\$-7,464.00	\$2,488.10	\$0.00	\$2,488.10		\$1,244.10
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/30/2018		FEE COUNT - 7		0.00	3.44	0.00	3.44	50.0	0.63
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3.44	\$0.00	\$3.44		\$0.63
*** BROKER TRANSACTIONS TOTAL ***				\$-6,605.49	\$2,868.69	\$0.00	\$2,868.69		\$1,433.31
ADJUSTMENTS									
06/30/2018		OTHER: 3RD QTR- 3/3 ADV CHRGS		0.00	0.00	0.00	0.00		-253.60
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-253.60
BROKER COMMISSION TOTALS									
Broker Transactions									\$1,433.31
Split Transactions									\$0.00
Override Transactions									\$0.00
Adjustments									\$-253.60
Payroll Draw									\$0.00
Base Salary									\$0.00
Prior Period Balance									\$0.00
FINRA Assessment									\$-3.59
SIPC Assessment									\$-7.17
Check Amount									\$1,168.95
Year-to-date Earnings									\$13,051.88