



Concorde Investment Services, LLC

03/14/2017

Page No. 1

COMMISSION STATEMENT

March 15, 2017

THOMAS HALSEY
7261 W. Central Ave.

BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	ALDRICH, JAMI	AM FUNDS AMCAP A	0.00	0.54	0.00	0.54	85.0	0.46
03/01/2017	ABBE JR, GARY	AM FUNDS AMCAP A	0.00	1.60	0.00	1.60	85.0	1.36
03/01/2017	PAPIO, AARON	AM FUNDS AMCAP A	0.00	0.53	0.00	0.53	85.0	0.45
03/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	5.23	0.00	5.23	85.0	4.45
03/01/2017	NOWAK, COLETTE	AM FUNDS AMERICAN BALANCED A	0.00	0.78	0.00	0.78	85.0	0.66
03/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS AMERICAN BALANCED A	0.00	3.36	0.00	3.36	85.0	2.86
03/01/2017	FOSTER, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.44	0.00	0.44	85.0	0.37
03/01/2017	WRAY, KIM	AM FUNDS AMERICAN BALANCED A	0.00	9.90	0.00	9.90	85.0	8.42
03/01/2017	PAPIO, JOE	AM FUNDS AMERICAN BALANCED A	0.00	0.58	0.00	0.58	85.0	0.49
03/01/2017	ZORNOW TRUST, BETTY	AM FUNDS AMERICAN BALANCED A	0.00	8.84	0.00	8.84	85.0	7.51
03/01/2017	FRANTZ, JUDITH	AM FUNDS AMERICAN BALANCED A	0.00	0.32	0.00	0.32	85.0	0.27
03/01/2017	MEREDITH, TERESE	AM FUNDS AMERICAN BALANCED A	0.00	2.13	0.00	2.13	85.0	1.81
03/01/2017	RIEBE, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	4.07	0.00	4.07	85.0	3.46
03/01/2017	KRAMER, DELANEY	AM FUNDS AMERICAN BALANCED A	0.00	0.20	0.00	0.20	85.0	0.17
03/01/2017	KRAMER, KARYS	AM FUNDS AMERICAN BALANCED A	0.00	0.24	0.00	0.24	85.0	0.20
03/01/2017	SAPP, ANGELAMARIE	AM FUNDS AMERICAN BALANCED A	0.00	1.23	0.00	1.23	85.0	1.05
03/01/2017	ALDRICH, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	0.20	0.00	0.20	85.0	0.17
03/01/2017	LEININGER, KENNETH	AM FUNDS AMERICAN BALANCED A	0.00	2.18	0.00	2.18	85.0	1.85
03/01/2017	PERRY, CAROL	AM FUNDS AMERICAN BALANCED A	0.00	6.49	0.00	6.49	85.0	5.52
03/01/2017	DIENER, RONALD	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	8.77	0.00	8.77	85.0	7.45
03/01/2017	RIEBE, MICHAEL	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.96	0.00	0.96	85.0	0.82
03/01/2017	WRAY, KIM	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	1.79	0.00	1.79	85.0	1.52
03/01/2017	VESEY, ROBERT	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.32	0.00	0.32	85.0	0.27
03/01/2017	FRANTZ, JUDITH	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.38	0.00	0.38	85.0	0.32
03/01/2017	RICHARDS, STEPHEN	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	1.74	0.00	1.74	85.0	1.48
03/01/2017	DESELMS, RAYMOND	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.19	0.00	0.19	85.0	0.16

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/01/2017	HALSEY, WILLIAM	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.16	0.00	0.16	85.0	0.14
03/01/2017	HARRISON, JERRY	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	2.03	0.00	2.03	85.0	1.73
03/01/2017	PERRY, CAROL	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	5.66	0.00	5.66	85.0	4.81
03/01/2017	DIENER, RONALD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	18.45	0.00	18.45	85.0	15.68
03/01/2017	DESELMS, ROBERT	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.57	0.00	1.57	85.0	1.33
03/01/2017	DESELMS, ELEVLYN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	6.49	0.00	6.49	85.0	5.52
03/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.62	0.00	3.62	85.0	3.08
03/01/2017	PRZYBYLSKI, JEREMIAH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.41	0.00	0.41	85.0	0.35
03/01/2017	FRANTZ, JUDITH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.64	0.00	0.64	85.0	0.54
03/01/2017	BAKER, ELAINE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	4.98	0.00	4.98	85.0	4.23
03/01/2017	NOWAK, COLETTE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.66	0.00	0.66	85.0	0.56
03/01/2017	BAUMGARTNER, DIANA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.40	0.00	5.40	85.0	4.59
03/01/2017	DESELMS, RAYMOND	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.14	0.00	1.14	85.0	0.97
03/01/2017	RIEBE, MICHAEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.68	0.00	0.68	85.0	0.58
03/01/2017	HAWBLITZ, PETER	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.21	0.00	3.21	85.0	2.73
03/01/2017	WRAY, KIM	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.58	0.00	5.58	85.0	4.74
03/01/2017	VESEY, ROBERT	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.70	0.00	0.70	85.0	0.60
03/01/2017	ZORNOW TRUST, BETTY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	7.38	0.00	7.38	85.0	6.27
03/01/2017	FRANTZ, JUDITH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.25	0.00	0.25	85.0	0.21
03/01/2017	DESELMS, RAYMOND	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.35	0.00	0.35	85.0	0.30
03/01/2017	HALSEY, WILLIAM	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.17	0.00	0.17	85.0	0.14
03/01/2017	ABBE JR, GARY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.68	0.00	1.68	85.0	1.43
03/01/2017	HARRISON, JERRY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.34	0.00	1.34	85.0	1.14
03/01/2017	DREEZE, MARCELINA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.70	0.00	0.70	85.0	0.60
03/01/2017	MI/TOD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	2.54	0.00	2.54	85.0	2.16
03/01/2017	PERRY, CAROL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	10.14	0.00	10.14	85.0	8.62
03/01/2017	MEREDITH, JEFFERY	AM FUNDS AMERICAN MUTUAL A	0.00	2.15	0.00	2.15	85.0	1.83
03/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS BOND FUND OF AMERICA A	0.00	3.19	0.00	3.19	85.0	2.71
03/01/2017	FOSTER, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.32	0.00	0.32	85.0	0.27
03/01/2017	ZORNOW TRUST, BETTY	AM FUNDS BOND FUND OF AMERICA A	0.00	4.11	0.00	4.11	85.0	3.49
03/01/2017	RIEBE, MICHAEL	AM FUNDS BOND FUND OF AMERICA A	0.00	3.22	0.00	3.22	85.0	2.74
03/01/2017	HALSEY, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.16	0.00	0.16	85.0	0.14
03/01/2017	DIENER, RONALD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.27	0.00	8.27	85.0	7.03

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	DESELMS, ELEVLYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.90	0.00	7.90	85.0	6.72
03/01/2017	KREMPEC, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.73	0.00	0.73	85.0	0.62
03/01/2017	LEININGER, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.20	0.00	1.20	85.0	1.02
03/01/2017	FRANTZ, JUDITH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.17	0.00	1.17	85.0	0.99
03/01/2017	BAKER, ELAINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.21	0.00	5.21	85.0	4.43
03/01/2017	NOWAK, COLETTE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.86	0.00	1.86	85.0	1.58
03/01/2017	BAUMGARTNER, DIANA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.29	0.00	4.29	85.0	3.65
03/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.22	0.00	2.22	85.0	1.89
03/01/2017	FOSTER, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.01	0.00	3.01	85.0	2.56
03/01/2017	WRAY, KIM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.01	0.00	14.01	85.0	11.91
03/01/2017	EYLER, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.64	0.00	5.64	85.0	4.79
03/01/2017	VESEY, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.61	0.00	0.61	85.0	0.52
03/01/2017	JOHNSON, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.91	0.00	0.91	85.0	0.77
03/01/2017	ZORNOW TRUST, BETTY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.72	0.00	11.72	85.0	9.96
03/01/2017	MEREDITH, TERESE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.63	0.00	1.63	85.0	1.39
03/01/2017	DESELMS, RAYMOND	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.25	0.00	0.25	85.0	0.21
03/01/2017	KRIEGER, RENEE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.27	0.00	8.27	85.0	7.03
03/01/2017	RIEBE, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.49	0.00	3.49	85.0	2.97
03/01/2017	FISHER, SCOTT TW	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.04	0.00	3.04	85.0	2.58
03/01/2017	FRENCH, VICTORIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.23	0.00	0.23	85.0	0.20
03/01/2017	FRENCH, RICHARD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.23	0.00	0.23	85.0	0.20
03/01/2017	BELKOFER, STACEY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.44	0.00	0.44	85.0	0.37
03/01/2017	BELKOFER, STACEY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.52	0.00	0.52	85.0	0.44
03/01/2017	KRAL, ADAM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.22	0.00	2.22	85.0	1.89
03/01/2017	DREEZE, MARCELINA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.29	0.00	1.29	85.0	1.10
03/01/2017	STACY, ROSS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.25	0.00	0.25	85.0	0.21
03/01/2017	CANNON, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.65	0.00	0.65	85.0	0.55
03/01/2017	SAPP, ANGELAMARIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.23	0.00	1.23	85.0	1.05
03/01/2017	LATTA, MARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.74	0.00	0.74	85.0	0.63
03/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.13	0.00	6.13	85.0	5.21
03/01/2017	MI/TOD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.58	0.00	5.58	85.0	4.74
03/01/2017	PERRY, CAROL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.07	0.00	5.07	85.0	4.31
03/01/2017	DIENER, RONALD	AM FUNDS CAPITAL WORLD BOND A	0.00	8.81	0.00	8.81	85.0	7.49

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	HAWBLITZ TRUST, AGNES	AM FUNDS CAPITAL WORLD BOND A	0.00	5.44	0.00	5.44	85.0	4.62
03/01/2017	HAWBLITZ TRUST, PETER	AM FUNDS CAPITAL WORLD BOND A	0.00	5.45	0.00	5.45	85.0	4.63
03/01/2017	HALSEY, WILLIAM	AM FUNDS CAPITAL WORLD BOND A	0.00	0.15	0.00	0.15	85.0	0.13
03/01/2017	BELKOFER, STACEY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.30	0.00	0.30	85.0	0.26
03/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS CAPITAL WORLD BOND A	0.00	2.94	0.00	2.94	85.0	2.50
03/01/2017	MI/TOD	AM FUNDS CAPITAL WORLD BOND A	0.00	1.42	0.00	1.42	85.0	1.21
03/01/2017	DIENER, RONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.20	0.00	2.20	85.0	1.87
03/01/2017	DESELMS, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.42	0.00	0.42	85.0	0.36
03/01/2017	DESELMS, ELEVLYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.22	0.00	9.22	85.0	7.84
03/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.85	0.00	6.85	85.0	5.82
03/01/2017	KREMPEC, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.63	0.00	0.63	85.0	0.54
03/01/2017	LEININGER, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.79	0.00	1.79	85.0	1.52
03/01/2017	FRANTZ, JUDITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.42	0.00	0.42	85.0	0.36
03/01/2017	BAKER, ELAINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.40	0.00	9.40	85.0	7.99
03/01/2017	JOHNSON, ZACHARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.83	0.00	0.83	85.0	0.71
03/01/2017	DESELMS, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.39	0.00	2.39	85.0	2.03
03/01/2017	DESELMS, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.46	0.00	2.46	85.0	2.09
03/01/2017	JOHNSON, CHLOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.85	0.00	0.85	85.0	0.72
03/01/2017	RIEBE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.33	0.00	1.33	85.0	1.13
03/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.23	0.00	1.23	85.0	1.05
03/01/2017	WRAY, KIM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.08	0.00	7.08	85.0	6.02
03/01/2017	EYLER, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.05	0.00	5.05	85.0	4.29
03/01/2017	DESELMS, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.42	0.00	0.42	85.0	0.36
03/01/2017	VESEY, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.37	0.00	0.37	85.0	0.31
03/01/2017	PAPIO, STEPHANIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.37	0.00	0.37	85.0	0.31
03/01/2017	PAPIO, JOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.38	0.00	0.38	85.0	0.32
03/01/2017	JOHNSON, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.02	0.00	1.02	85.0	0.87
03/01/2017	ZORNOW TRUST, BETTY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.73	0.00	7.73	85.0	6.57
03/01/2017	MEREDITH, JEFFERY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.53	0.00	2.53	85.0	2.15
03/01/2017	KRAMER, TRISTON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.42	0.00	0.42	85.0	0.36
03/01/2017	ZORNOW, JORDAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.95	0.00	0.95	85.0	0.81
03/01/2017	DESELMS, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.25	0.00	0.25	85.0	0.21
03/01/2017	KRIEGER, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.73	0.00	7.73	85.0	6.57

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/01/2017	LEININGER, CHRISTAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.56	0.00	0.56	85.0	0.48
03/01/2017	LEININGER, TABITHA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.04	0.00	0.04	85.0	0.03
03/01/2017	ABBE JR, GARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.43	0.00	0.43	85.0	0.37
03/01/2017	BELKOFER, STACEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.34	0.00	0.34	85.0	0.29
03/01/2017	BELKOFER, STACEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.35	0.00	0.35	85.0	0.30
03/01/2017	HARRISON, JERRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.28	0.00	1.28	85.0	1.09
03/01/2017	DREEZE, MARCELINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.97	0.00	0.97	85.0	0.82
03/01/2017	STACY, ROSS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.17	0.00	0.17	85.0	0.14
03/01/2017	CANNON, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.41	0.00	0.41	85.0	0.35
03/01/2017	GRAHAM, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.25	0.00	0.25	85.0	0.21
03/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.33	0.00	7.33	85.0	6.23
03/01/2017	MI/TOD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.02	0.00	6.02	85.0	5.12
03/01/2017	LEININGER, KENNETH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.03	0.00	2.03	85.0	1.73
03/01/2017	PERRY, CAROL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.08	0.00	9.08	85.0	7.72
03/01/2017	FRANTZ, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.26	0.00	0.26	85.0	0.22
03/01/2017	WRAY, KIM	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.56	0.00	4.56	85.0	3.88
03/01/2017	ZORNOW, JORDAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.21	0.00	1.21	85.0	1.03
03/01/2017	FISHER, SCOTT TW	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.88	0.00	2.88	85.0	2.45
03/01/2017	LEININGER, KENNETH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.97	0.00	0.97	85.0	0.82
03/01/2017	DIENER, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.65	0.00	6.65	85.0	5.65
03/01/2017	DESELMS, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.10	0.00	1.10	85.0	0.94
03/01/2017	DESELMS, ELEVLYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	10.37	0.00	10.37	85.0	8.81
03/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	16.17	0.00	16.17	85.0	13.74
03/01/2017	KREMPEC, JEFFREY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.00	0.00	1.00	85.0	0.85
03/01/2017	LEININGER, LISA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.37	0.00	2.37	85.0	2.01
03/01/2017	FOSTER, JOHN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.69	0.00	0.69	85.0	0.59
03/01/2017	FRANTZ, JUDITH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.95	0.00	0.95	85.0	0.81
03/01/2017	BAKER, ELAINE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.72	0.00	6.72	85.0	5.71
03/01/2017	DESELMS, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.12	0.00	2.12	85.0	1.80
03/01/2017	DESELMS, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.93	0.00	1.93	85.0	1.64
03/01/2017	WRAY, KIM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.91	0.00	6.91	85.0	5.87
03/01/2017	EYLER, BARBARA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	12.11	0.00	12.11	85.0	10.29
03/01/2017	DESELMS, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.27	0.00	2.27	85.0	1.93

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/01/2017	DENHAM, CONSTANCE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.36	0.00	0.36	85.0	0.31
03/01/2017	JOHNSON, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.42	0.00	1.42	85.0	1.21
03/01/2017	ZORNOW TRUST, BETTY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	12.82	0.00	12.82	85.0	10.90
03/01/2017	FRANTZ, JUDITH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.36	0.00	0.36	85.0	0.31
03/01/2017	MEREDITH, TERESE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.74	0.00	2.74	85.0	2.33
03/01/2017	MEREDITH, JEFFERY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.19	0.00	2.19	85.0	1.86
03/01/2017	KRAMER, TRISTON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.76	0.00	0.76	85.0	0.65
03/01/2017	ZORNOW, JORDAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.43	0.00	2.43	85.0	2.07
03/01/2017	DESELMs, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.39	0.00	0.39	85.0	0.33
03/01/2017	LEININGER, CHRISTAH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.52	0.00	0.52	85.0	0.44
03/01/2017	LEININGER, TABITHA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.04	0.00	0.04	85.0	0.03
03/01/2017	FISHER, SCOTT TW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.56	0.00	4.56	85.0	3.88
03/01/2017	HALSEY, WILLIAM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.18	0.00	0.18	85.0	0.15
03/01/2017	LEININGER, ZACHARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.26	0.00	0.26	85.0	0.22
03/01/2017	LEININGER, ELI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.26	0.00	0.26	85.0	0.22
03/01/2017	ALDRICH, JAMI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.53	0.00	0.53	85.0	0.45
03/01/2017	FRENCH, VICTORIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.46	0.00	0.46	85.0	0.39
03/01/2017	FRENCH, RICHARD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.46	0.00	0.46	85.0	0.39
03/01/2017	KRAMER, DELANEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.23	0.00	0.23	85.0	0.20
03/01/2017	ABBE JR, GARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.66	0.00	3.66	85.0	3.11
03/01/2017	BELKOFER, STACEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.27	0.00	0.27	85.0	0.23
03/01/2017	BELKOFER, STACEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.17	0.00	0.17	85.0	0.14
03/01/2017	KRAMER, KARYS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.28	0.00	0.28	85.0	0.24
03/01/2017	HARRISON, JERRY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.19	0.00	2.19	85.0	1.86
03/01/2017	DREEZE, MARCELINA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.52	0.00	1.52	85.0	1.29
03/01/2017	STACY, ROSS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.64	0.00	0.64	85.0	0.54
03/01/2017	CANNON, BARBARA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.60	0.00	0.60	85.0	0.51
03/01/2017	SAPP, ANGELAMARIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.45	0.00	1.45	85.0	1.23
03/01/2017	LATTA, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.07	0.00	1.07	85.0	0.91
03/01/2017	GRAHAM, DAVID	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.28	0.00	0.28	85.0	0.24
03/01/2017	ALDRICH, SUSAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.22	0.00	0.22	85.0	0.19
03/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	10.35	0.00	10.35	85.0	8.80
03/01/2017	MI/TOD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.69	0.00	6.69	85.0	5.69

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	PAPIO, AARON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.32	0.00	0.32	85.0	0.27
03/01/2017	LEININGER, KENNETH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.14	0.00	2.14	85.0	1.82
03/01/2017	PERRY, CAROL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.79	0.00	8.79	85.0	7.47
03/01/2017	DENHAM, CONSTANCE	AM FUNDS GLOBAL BALANCED A	0.00	0.38	0.00	0.38	85.0	0.32
03/01/2017	DIENER, RONALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.24	0.00	2.24	85.0	1.90
03/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.00	0.00	4.00	85.0	3.40
03/01/2017	BAKER, ELAINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.73	0.00	5.73	85.0	4.87
03/01/2017	NOWAK, COLETTE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.45	0.00	0.45	85.0	0.38
03/01/2017	BAUMGARTNER, DIANA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.54	0.00	2.54	85.0	2.16
03/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.43	0.00	2.43	85.0	2.07
03/01/2017	FOSTER, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.02	0.00	1.02	85.0	0.87
03/01/2017	WRAY, KIM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.18	0.00	3.18	85.0	2.70
03/01/2017	DESELMs, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.38	0.00	0.38	85.0	0.32
03/01/2017	KRAMER, DELANEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.23	0.00	0.23	85.0	0.20
03/01/2017	BELKOFER, STACEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.50	0.00	0.50	85.0	0.43
03/01/2017	KRAMER, KARYS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.28	0.00	0.28	85.0	0.24
03/01/2017	SAPP, ANGELAMARIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.71	0.00	0.71	85.0	0.60
03/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.36	0.00	3.36	85.0	2.86
03/01/2017	LEININGER, KENNETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.05	0.00	1.05	85.0	0.89
03/01/2017	DIENER, RONALD	AM FUNDS ICA A	0.00	6.12	0.00	6.12	85.0	5.20
03/01/2017	MEREDITH, TERESE	AM FUNDS ICA A	0.00	2.08	0.00	2.08	85.0	1.77
03/01/2017	ZORNOW, JORDAN	AM FUNDS ICA A	0.00	2.25	0.00	2.25	85.0	1.91
03/01/2017	HAWBLITZ TRUST, AGNES	AM FUNDS ICA A	0.00	7.81	0.00	7.81	85.0	6.64
03/01/2017	HAWBLITZ TRUST, PETER	AM FUNDS ICA A	0.00	7.84	0.00	7.84	85.0	6.66
03/01/2017	KRIEGER, RENEE	AM FUNDS ICA A	0.00	9.89	0.00	9.89	85.0	8.41
03/01/2017	BELKOFER, STACEY	AM FUNDS ICA A	0.00	0.59	0.00	0.59	85.0	0.50
03/01/2017	GRAHAM, DAVID	AM FUNDS ICA A	0.00	0.27	0.00	0.27	85.0	0.23
03/01/2017	DIENER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	0.00	20.56	0.00	20.56	85.0	17.48
03/01/2017	PRZBYLSKI, JEREMIAH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.56	0.00	0.56	85.0	0.48
03/01/2017	FOSTER, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.71	0.00	0.71	85.0	0.60
03/01/2017	BAKER, ELAINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.24	0.00	4.24	85.0	3.60
03/01/2017	HAWBLITZ, PETER	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.76	0.00	3.76	85.0	3.20
03/01/2017	PAPIO, STEPHANIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.34	0.00	0.34	85.0	0.29

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	PAPIO, JOE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.37	0.00	0.37	85.0	0.31
03/01/2017	DENHAM, CONSTANCE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.37	0.00	0.37	85.0	0.31
03/01/2017	ZORNOW TRUST, BETTY	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.69	0.00	12.69	85.0	10.79
03/01/2017	FRANTZ, JUDITH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.33	0.00	0.33	85.0	0.28
03/01/2017	HAWBLITZ TRUST, AGNES	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.26	0.00	15.26	85.0	12.97
03/01/2017	HAWBLITZ TRUST, PETER	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.51	0.00	15.51	85.0	13.18
03/01/2017	SAPP, ANGELAMARIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.15	0.00	1.15	85.0	0.98
03/01/2017	ALDRICH, SUSAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.27	0.00	0.27	85.0	0.23
03/01/2017	LEININGER, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.56	0.00	2.56	85.0	2.18
03/01/2017	DESELMS, ROBERT	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.53	0.00	0.53	85.0	0.45
03/01/2017	DESELMS, ROBERT	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.68	0.00	0.68	85.0	0.58
03/01/2017	DIENER, RONALD	AM FUNDS INTL GROWTH AND INCOME A	0.00	8.25	0.00	8.25	85.0	7.01
03/01/2017	RIEBE, MICHAEL	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.23	0.00	3.23	85.0	2.75
03/01/2017	KRAL, ADAM	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.26	0.00	1.26	85.0	1.07
03/01/2017	LATTA, MARY	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.44	0.00	0.44	85.0	0.37
03/01/2017	ALDRICH, SUSAN	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.16	0.00	0.16	85.0	0.14
03/01/2017	LEININGER, KENNETH	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.95	0.00	1.95	85.0	1.66
03/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS MORTGAGE A	0.00	3.05	0.00	3.05	85.0	2.59
03/01/2017	DESELMS, ELEVLYN	AM FUNDS NEW ECONOMY A	0.00	10.16	0.00	10.16	85.0	8.64
03/01/2017	KRIEGER, RENEE	AM FUNDS NEW ECONOMY A	0.00	8.78	0.00	8.78	85.0	7.46
03/01/2017	FISHER, SCOTT TW	AM FUNDS NEW ECONOMY A	0.00	4.30	0.00	4.30	85.0	3.66
03/01/2017	LEININGER, ZACHARY	AM FUNDS NEW ECONOMY A	0.00	0.26	0.00	0.26	85.0	0.22
03/01/2017	LEININGER, ELI	AM FUNDS NEW ECONOMY A	0.00	0.26	0.00	0.26	85.0	0.22
03/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	3.43	0.00	3.43	85.0	2.92
03/01/2017	FOSTER, JOHN	AM FUNDS NEW PERSPECTIVE A	0.00	0.42	0.00	0.42	85.0	0.36
03/01/2017	BAKER, ELAINE	AM FUNDS NEW PERSPECTIVE A	0.00	5.49	0.00	5.49	85.0	4.67
03/01/2017	FOSTER, JOHN	AM FUNDS NEW PERSPECTIVE A	0.00	0.50	0.00	0.50	85.0	0.43
03/01/2017	WRAY, KIM	AM FUNDS NEW PERSPECTIVE A	0.00	3.51	0.00	3.51	85.0	2.98
03/01/2017	KRIEGER, RENEE	AM FUNDS NEW PERSPECTIVE A	0.00	7.08	0.00	7.08	85.0	6.02
03/01/2017	ABBE JR, GARY	AM FUNDS NEW PERSPECTIVE A	0.00	0.71	0.00	0.71	85.0	0.60
03/01/2017	PAPIO, AARON	AM FUNDS NEW PERSPECTIVE A	0.00	0.29	0.00	0.29	85.0	0.25
03/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS NEW WORLD A	0.00	2.65	0.00	2.65	85.0	2.25
03/01/2017	LEININGER, LISA	AM FUNDS NEW WORLD A	0.00	0.50	0.00	0.50	85.0	0.43

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	WRAY, KIM	AM FUNDS NEW WORLD A	0.00	4.77	0.00	4.77	85.0	4.05
03/01/2017	EYLER, BARBARA	AM FUNDS NEW WORLD A	0.00	2.74	0.00	2.74	85.0	2.33
03/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	10.05	0.00	10.05	85.0	8.54
03/01/2017	LEININGER, LISA	AM FUNDS SMALLCAP WORLD A	0.00	0.91	0.00	0.91	85.0	0.77
03/01/2017	BAKER, ELAINE	AM FUNDS SMALLCAP WORLD A	0.00	4.81	0.00	4.81	85.0	4.09
03/01/2017	WRAY, KIM	AM FUNDS SMALLCAP WORLD A	0.00	5.43	0.00	5.43	85.0	4.62
03/01/2017	EYLER, BARBARA	AM FUNDS SMALLCAP WORLD A	0.00	3.20	0.00	3.20	85.0	2.72
03/01/2017	MEREDITH, TERESE	AM FUNDS SMALLCAP WORLD A	0.00	1.82	0.00	1.82	85.0	1.55
03/01/2017	MEREDITH, JEFFERY	AM FUNDS SMALLCAP WORLD A	0.00	1.37	0.00	1.37	85.0	1.16
03/01/2017	ZORNOW, JORDAN	AM FUNDS SMALLCAP WORLD A	0.00	2.09	0.00	2.09	85.0	1.78
03/01/2017	LEININGER, ZACHARY	AM FUNDS SMALLCAP WORLD A	0.00	0.21	0.00	0.21	85.0	0.18
03/01/2017	LEININGER, ELI	AM FUNDS SMALLCAP WORLD A	0.00	0.21	0.00	0.21	85.0	0.18
03/01/2017	ALDRICH, JAMI	AM FUNDS SMALLCAP WORLD A	0.00	0.43	0.00	0.43	85.0	0.37
03/01/2017	FRENCH, VICTORIA	AM FUNDS SMALLCAP WORLD A	0.00	0.17	0.00	0.17	85.0	0.14
03/01/2017	FRENCH, RICHARD	AM FUNDS SMALLCAP WORLD A	0.00	0.17	0.00	0.17	85.0	0.14
03/01/2017	ABBE JR, GARY	AM FUNDS SMALLCAP WORLD A	0.00	2.45	0.00	2.45	85.0	2.08
03/01/2017	BELKOFE, STACEY	AM FUNDS SMALLCAP WORLD A	0.00	0.35	0.00	0.35	85.0	0.30
03/01/2017	KRAL, ADAM	AM FUNDS SMALLCAP WORLD A	0.00	1.69	0.00	1.69	85.0	1.44
03/01/2017	DREEZE, MARCELINA	AM FUNDS SMALLCAP WORLD A	0.00	0.88	0.00	0.88	85.0	0.75
03/01/2017	CANNON, BARBARA	AM FUNDS SMALLCAP WORLD A	0.00	0.49	0.00	0.49	85.0	0.42
03/01/2017	SAPP, ANGELAMARIE	AM FUNDS SMALLCAP WORLD A	0.00	0.81	0.00	0.81	85.0	0.69
03/01/2017	LATTA, MARY	AM FUNDS SMALLCAP WORLD A	0.00	0.84	0.00	0.84	85.0	0.71
03/01/2017	GRAHAM, DAVID	AM FUNDS SMALLCAP WORLD A	0.00	0.36	0.00	0.36	85.0	0.31
03/01/2017	ALDRICH, SUSAN	AM FUNDS SMALLCAP WORLD A	0.00	0.19	0.00	0.19	85.0	0.16
03/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS SMALLCAP WORLD A	0.00	4.99	0.00	4.99	85.0	4.24
03/01/2017	MI/TOD	AM FUNDS SMALLCAP WORLD A	0.00	8.14	0.00	8.14	85.0	6.92
03/01/2017	PAPIO, AARON	AM FUNDS SMALLCAP WORLD A	0.00	0.28	0.00	0.28	85.0	0.24
03/01/2017	LEININGER, KENNETH	AM FUNDS SMALLCAP WORLD A	0.00	1.02	0.00	1.02	85.0	0.87
02/28/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	2.13
03/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	85.0	14.45
03/01/2017	DIENER, RONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.16	0.00	3.16	85.0	2.69
03/01/2017	DIENER, RONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.77	0.00	3.77	85.0	3.20
03/01/2017	AUGUSTYNIAK, HEATHER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.78	0.00	0.78	85.0	0.66

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	DESELMS, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.98	0.00	0.98	85.0	0.83
03/01/2017	TANGEMAN, JEANETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	27.33	0.00	27.33	85.0	23.23
03/01/2017	DESELMS, ELEVLYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.83	0.00	8.83	85.0	7.51
03/01/2017	AUGUSTYNIAK, VALERIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.09	0.00	0.09	85.0	0.08
03/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	11.96	0.00	11.96	85.0	10.17
03/01/2017	FILIPEK, BETHANY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.09	0.00	3.09	85.0	2.63
03/01/2017	DESELMS, SCOTT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.90	0.00	3.90	85.0	3.32
03/01/2017	BASSETT, HANNAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.05	0.00	1.05	85.0	0.89
03/01/2017	PRZYBYLSKI, JEREMIAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.06	0.00	1.06	85.0	0.90
03/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.98	0.00	3.98	85.0	3.38
03/01/2017	BEISWENGER, RANDALL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.02	0.00	6.02	85.0	5.12
03/01/2017	COUSINO, WILLIAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	26.09	0.00	26.09	85.0	22.18
03/01/2017	TAYLOR, GERALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.88	0.00	12.88	85.0	10.95
03/01/2017	KREMPEC, JEFFREY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.22	0.00	0.22	85.0	0.19
03/01/2017	LEININGER, LISA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.03	0.00	2.03	85.0	1.73
03/01/2017	FILIPEK, JESSICA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	20.06	0.00	20.06	85.0	17.05
03/01/2017	AUGUSTYNIAK, SETH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.56	0.00	1.56	85.0	1.33
03/01/2017	FOSTER, JOHN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.67	0.00	0.67	85.0	0.57
03/01/2017	FRANTZ, JUDITH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.85	0.00	0.85	85.0	0.72
03/01/2017	BAKER, ELAINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.31	0.00	6.31	85.0	5.36
03/01/2017	DIERKSHEIDE, TRACI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.65	0.00	1.65	85.0	1.40
03/01/2017	JOHNSON, ZACHARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.58	0.00	0.58	85.0	0.49
03/01/2017	FRANTZ, KAYLYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.76	0.00	0.76	85.0	0.65
03/01/2017	FRANTZ, CASSANDRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.67	0.00	0.67	85.0	0.57
03/01/2017	NOWAK, COLETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.80	0.00	0.80	85.0	0.68
03/01/2017	BAUMGARTNER, DIANA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.88	0.00	6.88	85.0	5.85
03/01/2017	ANNESSER, CAROL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.58	0.00	0.58	85.0	0.49
03/01/2017	DESELMS, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.92	0.00	1.92	85.0	1.63
03/01/2017	DESELMS, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.91	0.00	1.91	85.0	1.62
03/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.61	0.00	8.61	85.0	7.32
03/01/2017	JACKSON, MARNETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.31	0.00	12.31	85.0	10.46
03/01/2017	JOHNSON, CHLOE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.75	0.00	0.75	85.0	0.64
03/01/2017	BASSETT, JACK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.47	0.00	0.47	85.0	0.40

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	COOK, KEVIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.59	0.00	0.59	85.0	0.50
03/01/2017	KRIEGER, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.17	0.00	3.17	85.0	2.69
03/01/2017	FRANTZ, KARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.62	0.00	0.62	85.0	0.53
03/01/2017	RIEBE, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.87	0.00	1.87	85.0	1.59
03/01/2017	HAWBLITZ, PETER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.66	0.00	5.66	85.0	4.81
03/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.07	0.00	4.07	85.0	3.46
03/01/2017	FOSTER, JOHN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.11	0.00	3.11	85.0	2.64
03/01/2017	WRAY, KIM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	13.52	0.00	13.52	85.0	11.49
03/01/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.42	0.00	0.42	85.0	0.36
03/01/2017	DESELMs, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.63	0.00	2.63	85.0	2.24
03/01/2017	VESEY, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.03	0.00	1.03	85.0	0.88
03/01/2017	THANASIU, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.37	0.00	1.37	85.0	1.16
03/01/2017	PAPIO, STEPHANIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.57	0.00	0.57	85.0	0.48
03/01/2017	PAPIO, JOE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.47	0.00	0.47	85.0	0.40
03/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.59	0.00	0.59	85.0	0.50
03/01/2017	ZORNOW TRUST, BETTY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	17.81	0.00	17.81	85.0	15.14
03/01/2017	FRANTZ, JUDITH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.43	0.00	0.43	85.0	0.37
03/01/2017	MEREDITH, TERESE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.07	0.00	1.07	85.0	0.91
03/01/2017	RICHARDS, STEPHEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.27	0.00	4.27	85.0	3.63
03/01/2017	KRAMER, TRISTON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.62	0.00	0.62	85.0	0.53
03/01/2017	HAWBLITZ TRUST, AGNES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.54	0.00	8.54	85.0	7.26
03/01/2017	HAWBLITZ TRUST, PETER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.61	0.00	8.61	85.0	7.32
03/01/2017	KRIEGER, RENEE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.34	0.00	8.34	85.0	7.09
03/01/2017	FISHER, SCOTT TW	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.92	0.00	3.92	85.0	3.33
03/01/2017	LEININGER, ZACHARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.27	0.00	0.27	85.0	0.23
03/01/2017	LEININGER, ELI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.27	0.00	0.27	85.0	0.23
03/01/2017	ALDRICH, JAMI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.49	0.00	0.49	85.0	0.42
03/01/2017	FRENCH, VICTORIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.24	0.00	0.24	85.0	0.20
03/01/2017	FRENCH, RICHARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.24	0.00	0.24	85.0	0.20
03/01/2017	KRAMER, DELANEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.22	0.00	0.22	85.0	0.19
03/01/2017	ABBE JR, GARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.29	0.00	3.29	85.0	2.80
03/01/2017	BELKOFER, STACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.41	0.00	0.41	85.0	0.35
03/01/2017	KRAMER, KARYS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.27	0.00	0.27	85.0	0.23

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	KRAL, ADAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.88	0.00	2.88	85.0	2.45
03/01/2017	DREEZE, MARCELINA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.77	0.00	1.77	85.0	1.50
03/01/2017	STACY, ROSS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.34	0.00	0.34	85.0	0.29
03/01/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.67	0.00	0.67	85.0	0.57
03/01/2017	SAPP, ANGELAMARIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.73	0.00	1.73	85.0	1.47
03/01/2017	LATTA, MARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.13	0.00	1.13	85.0	0.96
03/01/2017	GRAHAM, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.26	0.00	0.26	85.0	0.22
03/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.12	0.00	10.12	85.0	8.60
03/01/2017	MI/TOD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.82	0.00	7.82	85.0	6.65
03/01/2017	PAPIO, AARON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.47	0.00	0.47	85.0	0.40
03/01/2017	LEININGER, KENNETH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.19	0.00	3.19	85.0	2.71
03/01/2017	PERRY, CAROL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.44	0.00	14.44	85.0	12.27
03/01/2017	AUGUSTYNIAK, VALERIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.35	0.00	0.35	85.0	0.30
03/01/2017	AUGUSTYNIAK, HEATHER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.50	0.00	3.50	85.0	2.98
02/16/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	300.00	11.25	0.00	11.25	85.0	9.56
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	6.57	0.00	6.57	85.0	5.58
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	2.74	0.00	2.74	85.0	2.33
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	3.85	0.00	3.85	85.0	3.27
/ /	KRAL, ADAM	FIDELITY ADV HEALTH CARE T	0.00	0.99	0.00	0.99	85.0	0.84
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	4.91	0.00	4.91	85.0	4.17
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	3.37	0.00	3.37	85.0	2.86
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	3.32	0.00	3.32	85.0	2.82
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	5.13	0.00	5.13	85.0	4.36
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	5.32	0.00	5.32	85.0	4.52
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	7.05	0.00	7.05	85.0	5.99
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	2.66	0.00	2.66	85.0	2.26
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	4.61	0.00	4.61	85.0	3.92
02/28/2017	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	5.48	0.00	5.48	85.0	4.66
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	5.40	0.00	5.40	85.0	4.59
/ /	KRAL, ADAM	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.04	0.00	0.04	85.0	0.03
/ /	KRAL, ADAM	FIDELITY MIDCAP T	0.00	0.84	0.00	0.84	85.0	0.71
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	12.64	0.00	12.64	85.0	10.74
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	5.97	0.00	5.97	85.0	5.07

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	4.96	0.00	4.96	85.0	4.22
03/01/2017	DESELMS, ROBERT	LTD TERM TAX-EX BOND FUND OF AMERIC	0.00	0.64	0.00	0.64	85.0	0.54
* MUTUAL FUNDS SUBTOTAL *			\$750.00	\$1,275.28	\$0.00	\$1,275.28		\$1,084.05
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	174,703.15	145.59	0.00	145.59	85.0	123.75
02/28/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	17,891.01	14.91	0.00	14.91	85.0	12.67
02/28/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	50,724.84	42.27	0.00	42.27	85.0	35.93
02/28/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	40,109.95	33.42	0.00	33.42	85.0	28.41
03/01/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
* VARIABLE ANNUITIES SUBTOTAL *			\$283,478.95	\$238.94	\$0.00	\$238.94		\$203.10
*** BROKER TRANSACTIONS TOTAL ***			\$284,228.95	\$1,514.22	\$0.00	\$1,514.22		\$1,287.15
<u>ADJUSTMENTS</u>								
03/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,287.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$34.43
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$746.58
Year-to-date Gross	\$9,542.53
Year-to-date Earnings	\$8,192.08