

**COMMISSION STATEMENT****October 15, 2017**WILLIAM WALSH
2565 West MapleBROKER #: W127 Terminated 08/17/2017
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/13/2017	SENGSTOCK, KATHLEEN	**CALVER SMALL CAP FD	0.00	29.65	0.00	29.65	0.0	0.00
09/13/2017	SENGSTOCK, KATHLEEN	**CALVERT FD	0.00	3.22	0.00	3.22	0.0	0.00
09/12/2017	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	6.42	0.00	6.42	0.0	0.00
09/12/2017	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.06	0.00	0.06	0.0	0.00
09/12/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	1.35	0.00	1.35	0.0	0.00
09/12/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.31	0.00	0.31	0.0	0.00
09/12/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	8.98	0.00	8.98	0.0	0.00
09/12/2017	HANSZ, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	29.69	0.00	29.69	0.0	0.00
09/12/2017	HANSZ, KIMBERLY	AM FUNDS AMERICAN BALANCED A	0.00	14.15	0.00	14.15	0.0	0.00
09/12/2017	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.11	0.00	0.11	0.0	0.00
09/12/2017	DARGO, JILL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.14	0.00	1.14	0.0	0.00
09/12/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.09	0.00	14.09	0.0	0.00
09/12/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	34.92	0.00	34.92	0.0	0.00
09/12/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	52.43	0.00	52.43	0.0	0.00
09/12/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.66	0.00	14.66	0.0	0.00
09/12/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.42	0.00	13.42	0.0	0.00
09/12/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	41.22	0.00	41.22	0.0	0.00
09/12/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.86	0.00	15.86	0.0	0.00
09/12/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.80	0.00	6.80	0.0	0.00
09/12/2017	LEWANDOWSKI, ANDREW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	74.28	0.00	74.28	0.0	0.00
09/12/2017	SPELLMAN, HENRY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	70.77	0.00	70.77	0.0	0.00
09/12/2017	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.32	0.00	4.32	0.0	0.00
09/12/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.38	0.00	0.38	0.0	0.00
09/12/2017	CHAWLA, SUVIRA	AM FUNDS SMALLCAP WORLD A	0.00	4.67	0.00	4.67	0.0	0.00
09/12/2017	WALSH, DAWN ERIN	BLACKROCK FUNDS FLEXIBLE EQUITY FUN	0.00	3.88	0.00	3.88	0.0	0.00
09/13/2017	SENGSTOCK, KATHLEEN	CALVERT BOND PORT A	0.00	9.39	0.00	9.39	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/12/2017	DARGO, JILL	DAVIS NEW YORK VENTURE A	0.00	1.10	0.00	1.10	0.0	0.00
09/12/2017	WALSH, DAWN ERIN	DAVIS NEW YORK VENTURE A	0.00	10.00	0.00	10.00	0.0	0.00
09/12/2017	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.06	0.00	1.06	0.0	0.00
09/12/2017	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	10.17	0.00	10.17	0.0	0.00
09/12/2017	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.28	0.00	1.28	0.0	0.00
09/12/2017	SENGSTOCK, JODIE	DAVIS NEW YORK VENTURE C	0.00	13.85	0.00	13.85	0.0	0.00
09/12/2017	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.41	0.00	2.41	0.0	0.00
09/12/2017	SENGSTOCK, DAVID	DAVIS NEW YORK VENTURE C	0.00	13.27	0.00	13.27	0.0	0.00
09/12/2017	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	20.78	0.00	20.78	0.0	0.00
09/12/2017	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.81	0.00	1.81	0.0	0.00
09/08/2017	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	7.24	0.00	7.24	0.0	0.00
09/12/2017	CHAWLA, SUVIRA	FIRST EAGLE GLOBAL C	0.00	45.56	0.00	45.56	0.0	0.00
09/12/2017	WALSH, DAWN ERIN	FRANKLIN SMALL CAP GROWTH A	0.00	6.08	0.00	6.08	0.0	0.00
09/12/2017	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.09	0.00	1.09	0.0	0.00
09/26/2017	LEWANDOWSKI, ANDREW	HEARTLAND VALUE FUND	0.00	25.43	0.00	25.43	0.0	0.00
09/12/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	4.72	0.00	4.72	0.0	0.00
09/12/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.66	0.00	5.66	0.0	0.00
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	0.0	0.00
09/12/2017	WALSH, DAWN ERIN	JH FINANCIAL INDUSTRIES A	0.00	1.40	0.00	1.40	0.0	0.00
09/12/2017	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	7.96	0.00	7.96	0.0	0.00
09/12/2017	DARGO, JILL	LORD ABBETT DEVELOPING GROWTH A	0.00	0.44	0.00	0.44	0.0	0.00
09/12/2017	CHAWLA, MAHINDER	LORD ABBETT DEVELOPING GROWTH FUND	0.00	25.82	0.00	25.82	0.0	0.00
09/12/2017	CHAWLA, SUVIRA	LORD ABBETT DEVELOPING GROWTH FUND	0.00	52.85	0.00	52.85	0.0	0.00
09/12/2017	SENGSTOCK, JODIE	LORD ABBETT DEVELOPING GROWTH FUND	0.00	10.26	0.00	10.26	0.0	0.00
09/12/2017	SENGSTOCK, DAVID	LORD ABBETT DEVELOPING GROWTH FUND	0.00	10.35	0.00	10.35	0.0	0.00
09/12/2017	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.10	0.00	1.10	0.0	0.00
09/12/2017	SENGSTOCK, FRANK	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	1.69	0.00	1.69	0.0	0.00
09/12/2017	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	14.93	0.00	14.93	0.0	0.00
09/12/2017	DARGO, JILL	THORNBURG INT'L VALUE A	0.00	0.31	0.00	0.31	0.0	0.00
09/12/2017	WALSH, DAWN ERIN	THORNBURG INT'L VALUE A	0.00	4.82	0.00	4.82	0.0	0.00
09/12/2017	SENGSTOCK, JODIE	THORNBURG INVT TR INTL VALUE FD CL	0.00	7.54	0.00	7.54	0.0	0.00
09/12/2017	SENGSTOCK, DAVID	THORNBURG INVT TR INTL VALUE FD CL	0.00	9.19	0.00	9.19	0.0	0.00
09/12/2017	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	14.23	0.00	14.23	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/12/2017	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.51	0.00	0.51	0.0	0.00
09/26/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.22	0.00	0.22	0.0	0.00
09/26/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.49	0.00	0.49	0.0	0.00
09/26/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.17	0.00	1.17	0.0	0.00
09/26/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.23	0.00	0.23	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$793.20	\$0.00	\$793.20		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/03/2017	MCCLAIN, SMITH & WENZ	GWL GRP ANNUITY 401K	1,329,985.23	546.57	0.00	546.57	0.0	0.00
10/03/2017	JACKSON ASSOCIATES, 401K	GWL GRP ANNUITY 401K	446,423.65	183.46	0.00	183.46	0.0	0.00
10/03/2017	INDUSTRIAL FLUID	GWL GRP ANNUITY 401K	542,073.86	222.77	0.00	222.77	0.0	0.00
10/03/2017	WESTSHORE GOLF, RET PLAN	GWL GRP ANNUITY 401K	362,284.93	148.88	0.00	148.88	0.0	0.00
10/04/2017	JACKSON ASSOCIATES, 401K	GWL GRP ANNUITY 401K	446,423.65	-183.46	0.00	-183.46	0.0	0.00
10/04/2017	INDUSTRIAL FLUID	GWL GRP ANNUITY 401K	542,073.86	-222.77	0.00	-222.77	0.0	0.00
/ /	ACADEMY MANAGEMENT	NW RETIREMENT CLR ADVANTAGE	1,056,310.40	217.05	0.00	217.05	0.0	0.00
/ /	SHAW & SLAVSKY	NWL FLEX ADVANTAGE	2,984,893.29	613.33	0.00	613.33	0.0	0.00
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	1,760,967.70	361.84	0.00	361.84	0.0	0.00
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	611,788.73	125.71	0.00	125.71	0.0	0.00
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	56,452.29	11.60	0.00	11.60	0.0	0.00
/ /	SHAW & SLAVSKY	NWL POOLED FXD	14,482.12	2.98	0.00	2.98	0.0	0.00
/ /	COMMUNITY HOUSE	NWL POOLED FXD	374.82	0.08	0.00	0.08	0.0	0.00
/ /	DETROIT CONNECTION	NWL RETIREMENT INNOVATOR	497,896.84	122.77	0.00	122.77	0.0	0.00
/ /	DETROIT CONNECTION	NWL SELF DIRECTED BROKERAGE GRP	49,615.03	12.23	0.00	12.23	0.0	0.00
/ /	MARYSVILLE ETHANOL	NWL SELF DIRECTED BROKERAGE GRP	94,005.58	19.32	0.00	19.32	0.0	0.00
09/30/2017	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	9,945.52	6.22	0.00	6.22	0.0	0.00
09/30/2017	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	9,945.52	6.22	0.00	6.22	0.0	0.00
09/30/2017	ORMISTON, BARBARA	PRU AS APEX II	55,817.93	139.54	0.00	139.54	0.0	0.00
09/30/2017	ORMISTON, BARBARA	PRU AS APEX II	55,817.93	34.89	0.00	34.89	0.0	0.00
09/30/2017	BJEDOV, MARK	PRU AS APEX II	14,647.43	36.62	0.00	36.62	0.0	0.00
09/30/2017	BJEDOV, MARK	PRU AS APEX II	14,647.43	9.15	0.00	9.15	0.0	0.00
09/30/2017	BOMSTA, MERIANNE	PRU AS XTRA CREDIT	51,826.09	32.39	0.00	32.39	0.0	0.00
09/30/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	590.03	0.00	590.03	0.0	0.00
09/30/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	68.02	0.00	68.02	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$11,008,699.83	\$3,105.44	\$0.00	\$3,105.44		\$0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
10/05/2017	WILLIAMS, JUDITH	PAC LIFE INNOVATIVE SELECT	0.00	1,628.07	0.00	1,628.07	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,628.07	\$0.00	\$1,628.07		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$11,008,699.83	\$5,526.71	\$0.00	\$5,526.71		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$116,038.26
Year-to-date Earnings	\$83,782.97

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