



All Companies

07/30/2018

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COMMISSION STATEMENT

August 01, 2018

JERRY SINGLETON
700 THROCKMORTON STREET

BROKER #: 8373
BRANCH: HOME OFFICE

FORT WORTH, TX 76102

COMMISSION STATEMENT for JERRY SINGLETON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	253.52	253.52	0.00	253.52	90.0	228.17
06/30/2018	TRAILS	-PRINCIPAL FUNDS	B	12.36	12.36	0.00	12.36	90.0	11.12
06/30/2018	TRAILS	-PRUDENTIAL MUTUAL FUND	B	42.23	42.23	0.00	42.23	90.0	38.01
07/20/2018	ALTIERI, KEVIN/TIERNI	-PUTNAM	B	75.00	3.75	0.00	3.75	90.0	3.38
06/30/2018	TRAILS	-VIRTUS FUNDS	B	93.78	93.74	0.00	93.74	90.0	84.37
07/09/2018	MCMAHON, PAMELA L.	-VIRTUS FUNDS	B	6,500.00	211.25	0.00	211.25	90.0	190.13
06/29/2018	TRAILS	-VOYA	B	6.38	6.38	0.00	6.38	90.0	5.74
06/29/2018	TRAILS	-VOYA	B	12.06	12.06	0.00	12.06	90.0	10.85
06/30/2018	TRAILS	COLLEGEBOUND 529	B	155.62	155.62	0.00	155.62	90.0	140.06
06/30/2018	TRAILS	COLLEGEBOUND 529	B	12.97	12.97	0.00	12.97	90.0	11.67
06/30/2018	TRAILS	DELAWARE - GENERAL	B	86.15	86.15	0.00	86.15	90.0	77.54
06/30/2018	TRAILS	DELAWARE - GENERAL	B	10.76	10.76	0.00	10.76	90.0	9.68
06/30/2018	TRAILS	EATON VANCE - GENERAL	B	5.20	5.20	0.00	5.20	90.0	4.68
06/30/2018	TRAILS	EATON VANCE - GENERAL	B	1.69	1.69	0.00	1.69	90.0	1.52
07/16/2018	000060160708608 HENRY, ERIC	FRANKLIN GROWTH FD CL I	B	200.00	10.00	0.00	10.00	90.0	9.00
07/16/2018	00042508591 UTMA/TX	PUTNAM EQUITY INCOME FD CL A	B	200.00	10.00	0.00	10.00	90.0	9.00
07/10/2018	00059157984 AHLENIUS TEN COM, JEANETTE	AMERICAN FUNDS INVESTMENT COMP OF A B	B	950.00	26.13	0.00	26.13	90.0	23.52
07/11/2018	00059964494 SHOEMAKER, THOMAS	AMERICAN FUNDS INVESTMENT COMP OF A B	B	200.00	5.50	0.00	5.50	90.0	4.95
07/10/2018	00060932562 LIEBERMAN, NORMAN/JENNIFER	AMERICAN FUNDS EURO PACIFIC GROWT B	B	50.00	2.13	0.00	2.13	90.0	1.92
07/20/2018	00063718228 THOMPSON, JOHN	AMERICAN FUNDS WA MUTUAL INVES B	B	50.00	2.50	0.00	2.50	90.0	2.25
07/10/2018	00063853534 ALTIERI, TIERNI	AMERICAN FUNDS WA MUTUAL INVES B	B	50.00	1.88	0.00	1.88	90.0	1.69
07/16/2018	00073026805 KOTARA, KELLEN	THE GROWTH FUND OF AMERICA-529A	B	125.00	3.44	0.00	3.44	90.0	3.10
07/16/2018	00074688559 HARRIS, CHAD	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.38	0.00	1.38	90.0	1.24
07/16/2018	00074688631 HARRIS, ANGELA L.	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	50.00	1.38	0.00	1.38	90.0	1.24
07/09/2018	00081239091 PLUMBLEY, COLE	THE GROWTH FUND OF AMERICA-529A	B	100.00	3.75	0.00	3.75	90.0	3.38
07/16/2018	00081283633 HARRIS, ANGELA	AMERICAN FUNDS INCOME FD OF AMERI B	B	50.00	1.38	0.00	1.38	90.0	1.24
07/16/2018	00081284351 HARRIS, CHAD	CAPITAL WORLD GROWTH AND INCOME-529 B	B	50.00	0.50	0.00	0.50	90.0	0.45
07/12/2018	00081489987 STAUFFER, TRAVIS	CAPITAL WORLD GROWTH AND INCOME-529 B	B	100.00	1.00	0.00	1.00	90.0	0.90
07/12/2018	00081490017 STAUFFER, TRAVIS	CAPITAL WORLD GROWTH AND INCOME-529 B	B	100.00	1.00	0.00	1.00	90.0	0.90

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/16/2018	00083335257	KOTARA, KELLEN							
		THE GROWTH FUND OF AMERICA-529C	B	125.00	1.25	0.00	1.25	90.0	1.13
07/20/2018	00084003260	GUILLORY, PEGGY							
		AMERICAN FUNDS GROWTH FUND OF AMERI B		138.46	5.19	0.00	5.19	90.0	4.67
07/20/2018	00084003260	GUILLORY, PEGGY							
		AMERICAN FUNDS GROWTH FUND OF AMERI B		138.46	5.19	0.00	5.19	90.0	4.67
07/09/2018	00085259047	PLUMBLEY, COLE							
		THE GROWTH FUND OF AMERICA-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/09/2018	00085259061	PLUMBLEY, COLE							
		THE GROWTH FUND OF AMERICA-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/10/2018	00085279516	ALTIERI, NICOLE							
		THE GROWTH FUND OF AMERICA-529A	B	75.00	2.81	0.00	2.81	90.0	2.53
07/16/2018	00086483945	SINGLETON, ELIZABETH							
		THE NEW ECONOMY FUND-C	B	350.00	3.50	0.00	3.50	90.0	3.15
07/16/2018	00087833281	GARRISON, JAMES/KATE							
		GLOBAL GROWTH PORTFOLIO-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/10/2018	00089307555	JOBE, LISA GLENN							
		THE GROWTH FUND OF AMERICA-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/16/2018	00089704151	DELK, KYLIE/BAYLIE							
		THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00	90.0	4.50
07/02/2018	00431134561	MINGUS, TIM N							
		PUTNAM EQUITY INCOME FD CL A	B	100.00	2.75	0.00	2.75	90.0	2.48
07/16/2018	00431134561	MINGUS, TIM N							
		PUTNAM GROWTH OPPORTUNITIES FD CL A B		100.00	2.75	0.00	2.75	90.0	2.48
07/02/2018	00511514163	ORENDER, KARI							
		PUTNAM NEW OPPORTUNITIES FD CL A B		25.00	1.25	0.00	1.25	90.0	1.13
07/03/2018	00551021580	UNIF TRANSFER TO M/A, U/TX							
		PUTNAM GROWTH OPPORTUNITIES FD CL A B		25.00	1.25	0.00	1.25	90.0	1.13
07/10/2018	00671173702	ORENDER, KARI							
		INVESCO VAN KAMPEN G & I FD	B	25.00	1.25	0.00	1.25	90.0	1.13
07/10/2018	00671173702	ORENDER, KARI							
		IVK AMERICAN FRANCHISE FUND - CLASS B		25.00	1.25	0.00	1.25	90.0	1.13
07/16/2018	00671187305	FISCHER JT TEN, WILLIAM							
		IVK AMERICAN FRANCHISE FUND - CLASS B		100.00	4.00	0.00	4.00	90.0	3.60
07/02/2018	01560374108	DESBIEN, ROBERT K.							
		MFS - GENERAL	B	60.00	2.40	0.00	2.40	90.0	2.16
07/10/2002	02000062626	TERRY, STACEY/ARDIS							
		-SCHOLARS EDGE 529 PLAN	B	25.00	0.75	0.00	0.75	90.0	0.68
07/02/2018	02000062628	TERRY, STACEY/GRIFFEN							
		-SCHOLARS EDGE 529 PLAN	B	25.00	0.75	0.00	0.75	90.0	0.68
07/02/2018	03310426468	JACKSON, ANNIE							
		ALLIANCE GROWTH FD CL A	B	100.00	4.00	0.00	4.00	90.0	3.60
07/16/2018	04001070309	STEWART, FRED/BODACIOUS							
		COLLEGE 2036 FUND-529A	B	250.00	8.75	0.00	8.75	90.0	7.88
07/17/2018	04001073198	RUEDEMAN, SUZANN/BRECKYN							
		COLLEGE 2033 FUND-529C	B	250.00	2.50	0.00	2.50	90.0	2.25
07/03/2018	0406498570	PREVOT, GAIL/SHANIECE							
		-PUTNAM	B	25.00	1.25	0.00	1.25	90.0	1.13
07/20/2018	05051919255	THOMPSON, CATHY							
		INVESCO STRUCTURED CORE FUND -CLASS B		50.00	2.50	0.00	2.50	90.0	2.25
07/20/2018	06910027819	CORNSTUBBLE, BARBARA							
		INVESCO VAN KAMPEN G & I FD	B	50.00	2.50	0.00	2.50	90.0	2.25
07/02/2018	08184436668	LEPERT, THOMAS B.							
		MFS - GENERAL	B	50.00	2.00	0.00	2.00	90.0	1.80
07/02/2018	08184749125	HUGHES, HENRY A							
		MFS - GENERAL	B	100.00	4.00	0.00	4.00	90.0	3.60
07/16/2018	08184753175	CARROLL, MELINDA							
		MFS EMERGING GROWTH FD CL A	B	50.00	2.50	0.00	2.50	90.0	2.25
07/16/2018	08184753824	BROWN, DAVID P.							
		MFS TOTAL RETURN FD CL A	B	50.00	2.50	0.00	2.50	90.0	2.25

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/10/2018	08697809525	TEDDER, THOMAS V	COLUMBIA SELIGMAN COMM & INFO FD A	B	50.00	1.88	0.00	1.88	90.0	1.69
07/05/2018	3301699247	LESSOR, RANDY	OPPENHEIMER GLOBAL FD CL A	B	50.00	2.38	0.00	2.38	90.0	2.14
07/05/2018	7004732002	ORENDER, KARI A	OPPENHEIMER MAIN STREET INCOME & GR B		25.00	1.19	0.00	1.19	90.0	1.07
* MUTUAL FUNDS SUBTOTAL *					\$12,479.64	\$1,062.44	\$0.00	\$1,062.44		\$956.26
PRODUCT CATEGORY: STOCKS										
07/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	5,936.56	5,936.56	0.00	5,936.56	90.0	5,342.90	
* STOCKS SUBTOTAL *					\$5,936.56	\$5,936.56	\$0.00	\$5,936.56		\$5,342.90
PRODUCT CATEGORY: VARIABLE ANNUITIES										
07/09/2018	TRAILS	-JACKSON NATIONAL	B	144.49	144.49	0.00	144.49	90.0	130.04	
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	428.81	428.81	0.00	428.81	90.0	385.93	
07/06/2018	TRAILS	JOHN HANCOCK	B	32.37	32.37	0.00	32.37	90.0	29.13	
06/30/2018	TRAILS	PHOENIX HOME LIFE	B	2.24	2.24	0.00	2.24	90.0	2.02	
06/30/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	31.79	31.79	0.00	31.79	90.0	28.61	
07/05/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	48.88	48.88	0.00	48.88	90.0	43.99	
* VARIABLE ANNUITIES SUBTOTAL *					\$688.58	\$688.58	\$0.00	\$688.58		\$619.72
PRODUCT CATEGORY: LIFE INSURANCE										
07/10/2018	SHEPPARD, JOE L	NATIONWIDE LIFE	B	125.00	1.25	0.00	1.25	90.0	1.13	
* LIFE INSURANCE SUBTOTAL *					\$125.00	\$1.25	\$0.00	\$1.25		\$1.13
PRODUCT CATEGORY: RIA										
07/13/2018	35117029	PIERSON, PEPPER ANN	SIGNAL SECURITIES RIA	B	0.00	78.67	0.00	78.67	90.0	70.80
07/13/2018	50875792	MOORE, PHILIP WAYNE	SIGNAL SECURITIES RIA	B	0.00	218.00	0.00	218.00	90.0	196.20
07/13/2018	58959215	PIERSON, PEPPER ANN	SIGNAL SECURITIES RIA	B	0.00	53.33	0.00	53.33	90.0	48.00
07/13/2018	61321609	WALSH, THOMAS B.	SIGNAL SECURITIES RIA	B	0.00	330.33	0.00	330.33	90.0	297.30
07/13/2018	69982324	PELIZZONI FAM REV	SIGNAL SECURITIES RIA	B	0.00	511.00	0.00	511.00	90.0	459.90
	TRST, EUGENE & BECKY									
07/13/2018	71160962	MOORE, PHILIP WAYNE	SIGNAL SECURITIES RIA	B	0.00	188.33	0.00	188.33	90.0	169.50
07/13/2018	90838267	KENNEDY TRUST, MARY	SIGNAL SECURITIES RIA	B	0.00	497.67	0.00	497.67	90.0	447.90
	RUTH									
* RIA SUBTOTAL *					\$0.00	\$1,877.33	\$0.00	\$1,877.33		\$1,689.60
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
08/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	83.09	0.00	83.09	90.0	74.80	
08/01/2018	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	4.19	0.00	4.19	90.0	3.77	
08/01/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES		0.00	11.12	0.00	11.12	90.0	10.02	
08/01/2018	TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES		0.00	1,456.26	0.00	1,456.26	90.0	1,310.70	
08/01/2018	TRAIL COMMISSIONS	DWS INVESTMENTS SERVICE COMPANY FEE		0.00	331.96	0.00	331.96	90.0	298.76	
08/01/2018	TRAIL COMMISSIONS	DEUTSCHE ASSET & WEALTH MANAGEMENT		0.00	24.66	0.00	24.66	90.0	22.20	

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/01/2018	TRAIL COMMISSIONS	WELLS FARGO FUNDS FEES		0.00	23.44	0.00	23.44	90.0	21.10
08/01/2018	TRAIL COMMISSIONS	DAVIS FUNDS FEES		0.00	24.68	0.00	24.68	90.0	22.22
08/01/2018	TRAIL COMMISSIONS	ALLIANCE BERNSTEIN FUNDS FEES		0.00	140.26	0.00	140.26	90.0	126.25
08/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	59.77	0.00	59.77	90.0	53.80
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,159.43	\$0.00	\$2,159.43		\$1,943.62
*** BROKER TRANSACTIONS TOTAL ***				\$19,229.78	\$11,725.59	\$0.00	\$11,725.59		\$10,553.23

SPLIT TRANSACTIONS**BROKER: SINGLETON/SNOW #9575**

07/13/2018	57689062	SINGLETON, STEVEN B	SIGNAL SECURITIES RIA	B	0.00	115.83	0.00	115.83	90.0	104.25
07/13/2018	97231190	CHRISTOFIRETTI, II, ERIN/JOHN	SIGNAL SECURITIES RIA	B	0.00	167.50	0.00	167.50	90.0	150.75
* SINGLETON/SNOW SUBTOTAL *					\$0.00	\$283.33	\$0.00	\$283.33		\$255.00

BROKER: SINGLETON/MOYNIHAN #9595

07/13/2018	17599493	WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	63.67	0.00	63.67	90.0	57.30
07/13/2018	31646344	DAVIS, RUFUS L	SIGNAL SECURITIES RIA	B	0.00	104.34	0.00	104.34	90.0	93.91
07/13/2018	41564753	WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	12.67	0.00	12.67	90.0	11.40
07/13/2018	41989330	YOUNGBERG, THOMAS N	SIGNAL SECURITIES RIA	B	0.00	56.84	0.00	56.84	90.0	51.16
07/13/2018	42529214	MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	80.67	0.00	80.67	90.0	72.60
07/13/2018	45771580	WARREN, THOMAS & SUSAN	SIGNAL SECURITIES RIA	B	0.00	125.84	0.00	125.84	90.0	113.26
07/13/2018	51406766	WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	94.84	0.00	94.84	90.0	85.36
07/13/2018	62437500	LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	243.17	0.00	243.17	90.0	218.85
07/13/2018	63181693	WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	89.67	0.00	89.67	90.0	80.70
07/13/2018	67846422	MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	90.0	1.80
07/13/2018	68333401	MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	63.50	0.00	63.50	90.0	57.15
07/13/2018	69683604	WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	64.34	0.00	64.34	90.0	57.91
07/13/2018	82910782	LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	58.34	0.00	58.34	90.0	52.51
* SINGLETON/MOYNIHAN SUBTOTAL *					\$0.00	\$1,059.89	\$0.00	\$1,059.89		\$953.91
*** SPLIT TRANSACTIONS TOTAL ***					\$0.00	\$1,343.22	\$0.00	\$1,343.22		\$1,208.91

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ADJUSTMENTS

08/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-506.50
08/01/2018		HILLTOP: MISC TICKET FEES		0.00	0.00	0.00	0.00		41.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-465.00

BROKER COMMISSION TOTALS

Broker Transactions	\$10,553.23
Split Transactions	\$1,208.91
Override Transactions	\$0.00
Adjustments	\$-465.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$11,297.14
Year-to-date Earnings	\$113,462.33