

## COMMISSION STATEMENT

April 30, 2018

ARTHUR KITTELSTAD  
3316 N FRONTAGE ROAD

BROKER #: C10  
BRANCH: HOME OFFICE

PLANT CITY, FL 33565

## COMMISSION STATEMENT for ARTHUR KITTELSTAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

04/24/2018	QGW001307	SMALL, WAYNE	JUNIPER NETWORKS	B	7,312.98	212.00	10.00	202.00	85.0	170.20
04/10/2018	QGW001323	KNUDSON, BENJAMIN	SYMANTEC CORPORATION	B	7,912.98	228.00	10.00	218.00	85.0	183.80
04/26/2018	QGW001604	HERZOG, CHRISTOPHER	ALPS SECTOR DIVIDEND DOGS ETF	B	4,438.68	128.00	10.00	118.00	85.0	98.80
04/03/2018	QGW018525	WAYMEL, RAYMOND	GAMESTOP CORP NEW CLASS A	S	-7,736.88	75.00	10.00	65.00	85.0	53.75
04/03/2018	QGW018525	WAYMEL, RAYMOND	TESLA MOTORS INC	B	10,268.11	294.00	10.00	284.00	85.0	239.90
04/09/2018	QGW018525	WAYMEL, RAYMOND	SYMANTEC CORPORATION	B	13,102.90	379.00	10.00	369.00	85.0	312.15
03/29/2018	QGW018541	LUNCEFORD, WENDELL	TESLA MOTORS INC	B	2,539.30	75.00	10.00	65.00	85.0	53.75
04/09/2018	QGW018541	LUNCEFORD, WENDELL	SYMANTEC CORPORATION	B	13,143.30	374.00	10.00	364.00	85.0	307.90
04/04/2018	QGW018558	PAGLIA, JAMES	ALPS SECTOR DIVIDEND DOGS ETF	B	3,066.69	89.00	10.00	79.00	85.0	65.65
04/16/2018	QGW018756	YEM, ALEX	ALLIANZGI CONV & INCOME FD COM	S	-4,746.07	90.00	10.00	80.00	85.0	66.50
04/16/2018	QGW018756	YEM, ALEX	APOLLO COMMERCIAL REAL ESTATE FINAN S		-8,937.50	156.00	10.00	146.00	85.0	122.60
04/16/2018	QGW018756	YEM, ALEX	AT&T INC	S	-5,166.87	92.00	10.00	82.00	85.0	68.20
04/16/2018	QGW018756	YEM, ALEX	EXELON CORPORATION	S	-4,251.91	75.00	10.00	65.00	85.0	53.75
04/16/2018	QGW018756	YEM, ALEX	FORD MOTOR CO PAR \$0.01	S	-4,565.40	84.00	10.00	74.00	85.0	61.40
04/16/2018	QGW018756	YEM, ALEX	FRONTIER COMMUNICATIONS CORPORATION S		-34.09	20.00	10.00	10.00	85.0	7.00
04/16/2018	QGW018756	YEM, ALEX	GAMCO NATURAL RESOURCES GOLD & INCO S		-6,410.40	122.00	10.00	112.00	85.0	93.70
04/16/2018	QGW018756	YEM, ALEX	NATURAL RESOURCE PARTNERS L P COM U S		-1,041.00	75.00	10.00	65.00	85.0	53.75
04/16/2018	QGW018756	YEM, ALEX	SEADRILL LIMITED SHS	S	-32.87	20.00	10.00	10.00	85.0	7.00
04/16/2018	QGW018756	YEM, ALEX	TECK RESOURCES LIMITED SUB VOTING C S		-5,218.02	93.00	10.00	83.00	85.0	69.05
04/03/2018	QGW019051	VERTREES, TRACY	ALPS SECTOR DIVIDEND DOGS ETF	B	4,287.90	122.00	10.00	112.00	85.0	93.70
04/03/2018	QGW019440	DOMB, CARALINE	TESLA MOTORS INC	B	4,046.04	117.00	10.00	107.00	85.0	89.45
04/02/2018	QGW019457	HOLT, RUSSELL	GAMCO NATURAL RESOURCES GOLD & INCO B		3,397.79	96.00	10.00	86.00	85.0	71.60
04/10/2018	QGW019531	HOFFMAN, DEREK	SYMANTEC CORPORATION	B	2,628.66	75.00	10.00	65.00	85.0	53.75
04/04/2018	QGW024820	GRAMPP, RAYMOND	ALPS SECTOR DIVIDEND DOGS ETF	B	4,303.50	124.00	10.00	114.00	85.0	95.40
04/19/2018	QGW024853	LEO P LEBEL, SEP	SEADRILL LIMITED SHS	B	269.93	50.00	10.00	40.00	85.0	32.50
03/28/2018	QGW024986	PENNINGTON, CHARLES	ALPS SECTOR DIVIDEND DOGS ETF	B	4,339.18	125.00	10.00	115.00	85.0	96.25
03/28/2018	QGW024986	PENNINGTON, CHARLES	TESLA MOTORS INC	B	5,336.76	148.00	10.00	138.00	85.0	115.80
04/25/2018	QGW025082	LEITI, KEVIN	ALPS SECTOR DIVIDEND DOGS ETF	B	3,986.08	116.00	10.00	106.00	85.0	88.60
04/03/2018	QGW025124	BELLMAN, JASON	ALPS SECTOR DIVIDEND DOGS ETF	B	2,992.08	87.00	10.00	77.00	85.0	63.95
04/25/2018	QGW025942	MCEVOY JT TEN,	ALPS SECTOR DIVIDEND DOGS ETF	B	6,597.38	191.00	10.00	181.00	85.0	152.35
04/09/2018	QGW029043	KITTELSTAD, ARTHUR	ALPS SECTOR DIVIDEND DOGS ETF	B	4,381.41	124.00	10.00	114.00	85.0	95.40

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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

04/03/2018	QGW031189	JOHNSON, KENNETH	TESLA MOTORS INC	B	5,335.68	148.00	10.00	138.00	85.0	115.80
* STOCKS SUBTOTAL *					\$65,546.32	\$4,204.00	\$320.00	\$3,884.00		\$3,253.40

PRODUCT CATEGORY: BONDS

04/26/2018	QGW024747	WAYMEL, RAYMOND	ROYAL BANK OF CANADA MARKET LINKED	B	25,000.00	625.00	10.00	615.00	85.0	521.25
04/26/2018	QGW024754	KNUDSON, SUSAN	ROYAL BANK OF CANADA MARKET LINKED	B	25,000.00	625.00	10.00	615.00	85.0	521.25
04/26/2018	QGW024796	LUNCEFORD, WENDELL	ROYAL BANK OF CANADA MARKET LINKED	B	15,000.00	375.00	10.00	365.00	85.0	308.75
04/26/2018	QGW030686	LUNCEFORD, JOHN	ROYAL BANK OF CANADA MARKET LINKED	B	7,000.00	175.00	10.00	165.00	85.0	138.75
04/26/2018	QGW038564	WILLIS, LARRY	ROYAL BANK OF CANADA MARKET LINKED	B	25,000.00	625.00	10.00	615.00	85.0	521.25
* BONDS SUBTOTAL *					\$97,000.00	\$2,425.00	\$50.00	\$2,375.00		\$2,011.25

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/30/2018			FEE COUNT - 5		0.00	15.82	0.00	15.82	85.0	13.45
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$15.82	\$0.00	\$15.82		\$13.45
*** BROKER TRANSACTIONS TOTAL ***					\$162,546.32	\$6,644.82	\$370.00	\$6,274.82		\$5,278.10

ADJUSTMENTS

04/30/2018			OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
04/30/2018			OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-15.00
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$-35.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,278.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-35.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-8.31
SIPC Assessment	\$-16.61

Check Amount	\$5,218.18
Year-to-date Earnings	\$25,497.60