

**COMMISSION STATEMENT****July 31, 2017****BARRY LEMAY**

2242 Camden Ave

Suite 102

San Jose, CA 95124

BROKER #: L122

BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/13/2017	YEE, VINCENT	TD AMERITRADE	2,941,314.66	7,413.72	0.00	7,413.72	90.0	6,672.35
07/13/2017	YEE, VINCENT	TD AMERITRADE	767,836.59	1,935.37	0.00	1,935.37	90.0	1,741.83
07/18/2017	AKIEL, MAROUN	TD AMERITRADE	0.00	348.68	0.00	348.68	90.0	313.81
07/18/2017	BARDELL, PAULA	TD AMERITRADE	0.00	508.76	0.00	508.76	90.0	457.88
07/18/2017	BARRETT, MARYALYS	TD AMERITRADE	0.00	143.72	0.00	143.72	90.0	129.35
07/18/2017	BHASKARAN, SURESH	TD AMERITRADE	0.00	186.49	0.00	186.49	90.0	167.84
07/18/2017	BRENNAN, CHRISTOPHER	TD AMERITRADE	0.00	592.68	0.00	592.68	90.0	533.41
07/18/2017	SALVADORI, DANIELA	TD AMERITRADE	0.00	146.46	0.00	146.46	90.0	131.81
07/18/2017	DE LA ROSA, MARIA	TD AMERITRADE	0.00	241.70	0.00	241.70	90.0	217.53
07/18/2017	DE LA ROSA, RAMON	TD AMERITRADE	0.00	148.39	0.00	148.39	90.0	133.55
07/18/2017	CARPIO, IRMINA	TD AMERITRADE	0.00	83.50	0.00	83.50	90.0	75.15
07/18/2017	CARPIO, IRMINA	TD AMERITRADE	0.00	1,130.99	0.00	1,130.99	90.0	1,017.89
07/18/2017	CARRASCO, CLARA	TD AMERITRADE	0.00	485.31	0.00	485.31	90.0	436.78
07/18/2017	CODY, SUZANNA	TD AMERITRADE	0.00	227.34	0.00	227.34	90.0	204.61
07/18/2017	CONLIN, MARGARET	TD AMERITRADE	0.00	133.71	0.00	133.71	90.0	120.34
07/18/2017	DEL ROSARIO, BYRON	TD AMERITRADE	0.00	105.53	0.00	105.53	90.0	94.98
07/18/2017	DEMARTINI, ALLEN	TD AMERITRADE	0.00	298.91	0.00	298.91	90.0	269.02
07/18/2017	HARE, CLAIRE	TD AMERITRADE	0.00	334.44	0.00	334.44	90.0	301.00
07/18/2017	HAUWERT SR, GERARDUS	TD AMERITRADE	0.00	193.14	0.00	193.14	90.0	173.83
07/18/2017	HEY, GEORGE MICHAEL	TD AMERITRADE	0.00	440.47	0.00	440.47	90.0	396.42
07/18/2017	HEY, GEORGE MICHAEL	TD AMERITRADE	0.00	156.78	0.00	156.78	90.0	141.10
07/18/2017	HEY, GEORGE MICHAEL	TD AMERITRADE	0.00	273.61	0.00	273.61	90.0	246.25
07/18/2017	HEY, GEORGE MICHAEL	TD AMERITRADE	0.00	226.46	0.00	226.46	90.0	203.81
07/18/2017	HEY, GEORGE MICHAEL	TD AMERITRADE	0.00	220.90	0.00	220.90	90.0	198.81
07/18/2017	HEY, GEORGE MICHAEL	TD AMERITRADE	0.00	306.12	0.00	306.12	90.0	275.51
07/18/2017	HEY, GEORGE MICHAEL	TD AMERITRADE	0.00	195.36	0.00	195.36	90.0	175.82

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/18/2017	BDH CONSULTANTS	TD AMERITRADE	0.00	361.56	0.00	361.56	90.0	325.40
07/18/2017	HURLEY, KENNETH	TD AMERITRADE	0.00	311.73	0.00	311.73	90.0	280.56
07/18/2017	HURLEY, KENNETH	TD AMERITRADE	0.00	302.02	0.00	302.02	90.0	271.82
07/18/2017	HURLEY, KENNETH	TD AMERITRADE	0.00	275.84	0.00	275.84	90.0	248.26
07/18/2017	HURLEY, KENNETH	TD AMERITRADE	0.00	136.77	0.00	136.77	90.0	123.09
07/18/2017	KACHULIS, CASSANDRA	TD AMERITRADE	0.00	93.59	0.00	93.59	90.0	84.23
07/18/2017	KACHULIS, CASSANDRA	TD AMERITRADE	0.00	161.24	0.00	161.24	90.0	145.12
07/18/2017	KACHULIS, CASSANDRA	TD AMERITRADE	0.00	372.36	0.00	372.36	90.0	335.12
07/18/2017	KACHULIS, CASSANDRA	TD AMERITRADE	0.00	183.57	0.00	183.57	90.0	165.21
07/18/2017	LAKE, DAVID	TD AMERITRADE	0.00	101.65	0.00	101.65	90.0	91.49
07/18/2017	LIU, GRANT	TD AMERITRADE	0.00	88.21	0.00	88.21	90.0	79.39
07/18/2017	MACKENZIE, MICHELLE	TD AMERITRADE	0.00	157.19	0.00	157.19	90.0	141.47
07/18/2017	NEWMAN, PAUL	TD AMERITRADE	0.00	162.56	0.00	162.56	90.0	146.30
07/18/2017	NEWMAN, PAUL	TD AMERITRADE	0.00	240.66	0.00	240.66	90.0	216.59
07/18/2017	LODI, EDWARD	TD AMERITRADE	0.00	145.39	0.00	145.39	90.0	130.85
07/18/2017	LODI, EDWARD	TD AMERITRADE	0.00	142.91	0.00	142.91	90.0	128.62
07/18/2017	LODI, EDWARD	TD AMERITRADE	0.00	100.76	0.00	100.76	90.0	90.68
07/18/2017	PRYLE, KIM	TD AMERITRADE	0.00	143.49	0.00	143.49	90.0	129.14
07/18/2017	RODRIGUEZ, MARCOS	TD AMERITRADE	0.00	144.09	0.00	144.09	90.0	129.68
07/18/2017	RODRIGUEZ, MARCOS	TD AMERITRADE	0.00	159.55	0.00	159.55	90.0	143.60
07/18/2017	SANDHU, KAMINI SHARMA	TD AMERITRADE	0.00	335.41	0.00	335.41	90.0	301.87
07/18/2017	SHAVER, CAROLE	TD AMERITRADE	0.00	130.59	0.00	130.59	90.0	117.53
07/18/2017	SMART, THOMAS	TD AMERITRADE	0.00	154.75	0.00	154.75	90.0	139.28
07/18/2017	TRAN, TRANG	TD AMERITRADE	0.00	100.42	0.00	100.42	90.0	90.38
07/18/2017	TRAN, TRANG	TD AMERITRADE	0.00	80.76	0.00	80.76	90.0	72.68
07/18/2017	VIZIR, VITALI	TD AMERITRADE	0.00	658.52	0.00	658.52	90.0	592.67
* RIA SUBTOTAL *			\$3,709,151.25	\$21,724.13	\$0.00	\$21,724.13		\$19,551.71
*** BROKER TRANSACTIONS TOTAL ***			\$3,709,151.25	\$21,724.13	\$0.00	\$21,724.13		\$19,551.71

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
07/31/2017		*MANAGED FEE EXPENSE: ACCT MINIMUM	0.00					-282.81
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-282.81

BROKER COMMISSION TOTALS

Broker Transactions	\$19,551.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-282.81
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$19,268.90
Year-to-date Gross	\$61,658.60
Year-to-date Earnings	\$55,492.77

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