



# COMMISSION STATEMENT

## July 30, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/24/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @28.6900						
07/24/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.6900						
07/24/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.6900						
07/24/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.6900						
07/24/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	70.63	2.65	0.00	2.65	80.0	2.12
	ACCOUNT #: 04000627501	BUY 2 Units @28.9900						
07/24/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	70.63	2.65	0.00	2.65	80.0	2.12
	ACCOUNT #: 04000627501	BUY 2 Units @28.9900						
07/25/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	10.26	0.51	0.00	0.51	80.0	0.41
	ACCOUNT #: 04000812765	BUY 0 Units @29.5400						
07/27/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.1400						
07/27/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.1400						
07/27/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.1400						
07/27/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.1400						
07/27/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.1400						
07/27/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.1400						
07/27/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00081806027	BUY 0 Units @28.1400						
07/27/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 11 Units @28.1400						
07/27/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 04001030342	BUY 15 Units @27.5300						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/27/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	25.23	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 04001030342	BUY 1 Units @27.5300						
07/24/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.2000						
07/24/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @13.2000						
07/25/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 23 Units @15.8600						
07/27/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	31.73	1.59	0.00	1.59	80.0	1.27
	ACCOUNT #: 04001030256	BUY 2 Units @15.7700						
07/27/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	31.73	1.59	0.00	1.59	80.0	1.27
	ACCOUNT #: 04001030256	BUY 2 Units @15.7700						
07/24/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @16.0000						
07/24/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 7 Units @16.0000						
07/25/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 22 Units @16.5000						
07/24/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	73.21	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000627489	BUY 4 Units @16.6100						
07/24/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	150.00	5.63	0.00	5.63	80.0	4.50
	ACCOUNT #: 04000627489	BUY 9 Units @16.6100						
07/25/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 18 Units @16.9700						
07/25/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.9700						
07/24/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @16.3800						
07/24/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 11 Units @16.3800						
07/24/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @16.3800						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/24/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.3800	50.30	1.38	0.00	1.38	80.0	1.10
07/27/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.1500	75.00	0.90	0.00	0.90	80.0	0.72
07/27/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.1500	75.00	0.90	0.00	0.90	80.0	0.72
07/24/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 5 Units @15.4400	75.00	0.75	0.00	0.75	80.0	0.60
07/24/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 5 Units @15.4400	75.00	0.75	0.00	0.75	80.0	0.60
07/24/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 47 Units @15.4400	721.50	7.22	0.00	7.22	80.0	5.78
07/24/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 13 Units @15.4400	200.88	2.01	0.00	2.01	80.0	1.61
07/24/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 9 Units @15.4400	135.00	1.35	0.00	1.35	80.0	1.08
07/24/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 6 Units @15.4400	94.39	0.94	0.00	0.94	80.0	0.75
07/16/2018	***-**-0781 - PELLETIER, ACCOUNT #: 7200000132	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	458.33	4.58	0.00	4.58	80.0	3.66
07/27/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5000	30.00	0.36	0.00	0.36	80.0	0.29
07/27/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5000	30.00	0.36	0.00	0.36	80.0	0.29
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$5,755.70</b>	<b>\$129.80</b>	<b>\$0.00</b>	<b>\$129.80</b>		<b>\$103.81</b>
<b>PRODUCT CATEGORY: RIA</b>								
07/30/2018	***-**-96FB - FOSTER, MELISSA ACCOUNT #: 534689	SYMMETRY RIA BUY 0 Units @3.5836	35,836.53	89.59	0.00	89.59	80.0	71.67
<b>* RIA SUBTOTAL *</b>			<b>\$35,836.53</b>	<b>\$89.59</b>	<b>\$0.00</b>	<b>\$89.59</b>		<b>\$71.67</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
07/31/2018	***-**-862I - CARBONE, WILLIAM	CAPITAL APPRECIATION A	0.00	4.37	0.00	4.37	80.0	3.50
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
07/31/2018	***-**-862I - CARBONE, WILLIAM	EQUITY INCOME FUND, INC. A	0.00	6.52	0.00	6.52	80.0	5.22
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
07/31/2018	***-**-878I - MOSGROVE, KEITH	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR011966	BUY 1 Units @0.0000						
07/31/2018	***-**-862I - CARBONE, WILLIAM	GLOBAL FUND A	0.00	4.81	0.00	4.81	80.0	3.85
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
07/31/2018	***-**-862I - CARBONE, WILLIAM	INTERNATIONAL BOND A	0.00	2.67	0.00	2.67	80.0	2.14
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
07/31/2018	***-**-862I - CARBONE, WILLIAM	OPPENHEIMER CORE BOND FUND A	0.00	4.92	0.00	4.92	80.0	3.94
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
07/31/2018	***-**-862I - CARBONE, WILLIAM	OPPENHEIMER U.S. GOVT TR CLASS A	0.00	4.86	0.00	4.86	80.0	3.89
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
07/30/2018	***-**-90SI - MACARON, SHADY	SAMMONS MF TRAILS	0.00	71.52	0.00	71.52	80.0	57.22
	ACCOUNT #: 7200000120	BUY 0 Units @0.0000						
07/30/2018	***-**-078I - PELLETIER,	SAMMONS MF TRAILS	0.00	24.33	0.00	24.33	80.0	19.46
	ACCOUNT #: 7200000132	BUY 0 Units @0.0000						
07/30/2018	***-**-365I - HENDRICKS,	SAMMONS MF TRAILS	0.00	53.43	0.00	53.43	80.0	42.74
	ACCOUNT #: 7200000751	BUY 0 Units @0.0000						
07/30/2018	***-**-230I - ADAMAITIS, JOY	SAMMONS MF TRAILS	0.00	37.99	0.00	37.99	80.0	30.39
	ACCOUNT #: 7200005523	BUY 0 Units @0.0000						
07/30/2018	***-**-069I - PIRES, DAVID	SAMMONS MF TRAILS	0.00	17.60	0.00	17.60	80.0	14.08
	ACCOUNT #: 7200006689	BUY 0 Units @0.0000						
07/30/2018	***-**-124I - YOUNG, SANDRA	SAMMONS MF TRAILS	0.00	20.92	0.00	20.92	80.0	16.74
	ACCOUNT #: 7200017871	BUY 0 Units @0.0000						
07/30/2018	***-**-118I - MORRO, CHARLOTTE	SAMMONS MF TRAILS	0.00	8.20	0.00	8.20	80.0	6.56
	ACCOUNT #: 7200021809	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$262.14</b>	<b>\$0.00</b>	<b>\$262.14</b>		<b>\$209.73</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$41,592.23</b>	<b>\$481.53</b>	<b>\$0.00</b>	<b>\$481.53</b>		<b>\$385.21</b>



**BROKER #:** 180  
BRANCH #01001 - LifeMark Home Office

				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
BROKER COMMISSION TOTALS							
						Broker Transactions	\$385.21
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$385.21
						Year-to-date Earnings	\$61,830.39