

**COMMISSION STATEMENT****October 15, 2017**

**DAMIAN DERING**  
 165 Culver Blvd  
 Suite A  
 Playa Del Rey, CA 90293

**BROKER #: D127**  
**BRANCH: DAMIAN DERING**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
09/22/2017	FOUNDATION, AMIN	CARTER VALIDUS MC REIT II CLASS A	20,000.00	1,400.00	0.00	1,400.00	92.0	1,288.00
09/29/2017	DERING, VARIOUS	MOODY NAT'L REIT II INC	0.00	24,610.26	0.00	24,610.26	92.0	22,641.44
<b>* REIT SUBTOTAL *</b>			<b>\$20,000.00</b>	<b>\$26,010.26</b>	<b>\$0.00</b>	<b>\$26,010.26</b>		<b>\$23,929.44</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/25/2017	PEARSON, ROBERT	AM FUNDS AMCAP 529C	200.00	2.00	0.00	2.00	92.0	1.84
09/25/2017	PEARSON, ROBERT	AM FUNDS AMCAP 529C	200.00	2.00	0.00	2.00	92.0	1.84
09/25/2017	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
09/25/2017	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
10/05/2017	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	500.00	18.75	0.00	18.75	92.0	17.25
09/25/2017	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
09/25/2017	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
/ /	MARTINEZ, ELSA	ASCENSUS NEA AGE-BASED GROWTH 6-10	0.00	10.97	0.00	10.97	92.0	10.09
09/29/2017	MARTINEZ, ELSA	ASCENSUS NEA AGE-BASED GROWTH 6-10	500.00	15.00	0.00	15.00	92.0	13.80
/ /	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	0.00	2.00	0.00	2.00	92.0	1.84
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	0.00	2.22	0.00	2.22	92.0	2.04
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.01	0.00	1.01	92.0	0.93
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	0.97	0.00	0.97	92.0	0.89
09/29/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
09/29/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
/ /	MITSCH, JAY	OPPENHEIMER CAPITAL APPRECIATION A	0.00	5.32	0.00	5.32	92.0	4.89
/ /	MITSCH, JAY	OPPENHEIMER CAPITAL APPRECIATION C	0.00	4.40	0.00	4.40	92.0	4.05
/ /	ANDREWS, LISA	OPPENHEIMER GLOBAL A	0.00	14.92	0.00	14.92	92.0	13.73
/ /	MITSCH, JAY	OPPENHEIMER INTL GROWTH C	0.00	4.23	0.00	4.23	92.0	3.89
/ /	MITSCH, JAY	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	4.37	0.00	4.37	92.0	4.02
/ /	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	189.19	0.00	189.19	92.0	174.05
/ /	PON, AGNES	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	0.58	0.00	0.58	92.0	0.53

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	PON, AGNES	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	22.59	0.00	22.59	92.0	20.78
/ /	MITSCH, JAY	OPPENHEIMER RISING DIVIDENDS C	0.00	4.46	0.00	4.46	92.0	4.10
/ /	MITSCH, JAY	OPPENHEIMER SMALL & MIDCAP GROWTH C	0.00	4.69	0.00	4.69	92.0	4.31
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$2,300.00</b>	<b>\$328.43</b>	<b>\$0.00</b>	<b>\$328.43</b>		<b>\$302.13</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/29/2017	SCANE FAMILY TRUST	HARTFORD DIRECTOR OUTLOOK	931.05	2.33	0.00	2.33	92.0	2.14
09/30/2017	PUGH, CECILIA	JNL PERSPECTIVE L	43,516.16	36.26	0.00	36.26	92.0	33.36
09/30/2017	LAXER, JACK	JNL PERSPECTIVE L	56,866.14	47.39	0.00	47.39	92.0	43.60
09/30/2017	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	60,269.17	50.22	0.00	50.22	92.0	46.20
09/30/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	73,795.43	61.50	0.00	61.50	92.0	56.58
09/30/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	72,608.14	60.51	0.00	60.51	92.0	55.67
09/11/2017	BAUTISTA, MARYANN	TRANSAMERICA LIBERTY	194,707.35	162.26	0.00	162.26	92.0	149.28
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$502,693.44</b>	<b>\$420.47</b>	<b>\$0.00</b>	<b>\$420.47</b>		<b>\$386.83</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
09/18/2017	SUTZ, JACK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.01	0.00	5.01	92.0	4.61
09/20/2017	SUTZ, JACK	FIDELITY DIVIDEND GROWTH A	0.00	2.07	0.00	2.07	92.0	1.90
09/21/2017	SUTZ, JACK	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	3.80	0.00	3.80	92.0	3.50
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$10.88</b>	<b>\$0.00</b>	<b>\$10.88</b>		<b>\$10.01</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
09/30/2017	DERING, VARIOUS	LFG TRAILS	0.00	5,607.06	0.00	5,607.06	92.0	5,158.50
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$5,607.06</b>	<b>\$0.00</b>	<b>\$5,607.06</b>		<b>\$5,158.50</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$524,993.44</b>	<b>\$32,377.10</b>	<b>\$0.00</b>	<b>\$32,377.10</b>		<b>\$29,786.91</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
10/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
10/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					727.97
10/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*FINRA: FINGERPRINT-ELIZABETH	0.00					-25.00
10/15/2017		*GLOBAL RELAY: WEBSITE	0.00					-15.00
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-5.97
10/15/2017		*TECHNOLOGY: ADMIN - CHRYSANTHA COS	0.00					-135.00
10/15/2017		*TECHNOLOGY: ADMIN - ELIZABETH	0.00					-60.00
10/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$29,786.91
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$29,786.91
Year-to-date Gross	\$221,146.63
Year-to-date Earnings	\$202,591.53

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