

**COMMISSION STATEMENT****March 15, 2018**

ROBIN, E119 EDGAR
1161 WAYZATA BLVD E
#400
Wayzata, MN 55391

BROKER #: E119
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
01/31/2018	EDGAR, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	0.00	17.47	0.00	17.47	90.0	15.72
01/31/2018	HANSON, SCOTT	GRIFFIN INST'L ACCESS R E FUND C	20,310.21	4.31	0.00	4.31	90.0	3.88
01/31/2018	EDGAR, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	20,281.33	4.31	0.00	4.31	90.0	3.88
* INTERVAL FUNDS SUBTOTAL *			\$40,591.54	\$26.09	\$0.00	\$26.09		\$23.48
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/26/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS AMERICAN HIGH-INCOME TRUST	30,000.00	300.00	0.00	300.00	90.0	270.00
02/26/2018	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
02/26/2018	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
03/01/2018	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	90.0	2.53
02/20/2018	BRUNS, MARCUS	AM FUNDS CAPITAL WORLD GRTH & INC A	150.00	4.13	0.00	4.13	90.0	3.72
03/01/2018	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
02/20/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	25.73	0.71	0.00	0.71	90.0	0.64
02/20/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	25.73	0.71	0.00	0.71	90.0	0.64
02/26/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	26.91	0.74	0.00	0.74	90.0	0.67
02/26/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	26.91	0.74	0.00	0.74	90.0	0.67
02/27/2018	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	97.29	2.68	0.00	2.68	90.0	2.41
02/27/2018	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	72.97	2.01	0.00	2.01	90.0	1.81
02/27/2018	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	106.39	2.93	0.00	2.93	90.0	2.64
02/27/2018	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	79.80	2.19	0.00	2.19	90.0	1.97
03/01/2018	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	57.14	1.57	0.00	1.57	90.0	1.41
03/01/2018	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	76.20	2.10	0.00	2.10	90.0	1.89
03/01/2018	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	59.78	1.64	0.00	1.64	90.0	1.48
03/01/2018	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	79.71	2.19	0.00	2.19	90.0	1.97
03/01/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38
03/01/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
03/01/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
03/01/2018	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	62.50	2.34	0.00	2.34	90.0	2.11
03/01/2018	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	37.50	1.41	0.00	1.41	90.0	1.27
03/01/2018	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	62.50	2.34	0.00	2.34	90.0	2.11
03/01/2018	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	37.50	1.41	0.00	1.41	90.0	1.27
03/01/2018	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	53.75	2.02	0.00	2.02	90.0	1.82
03/01/2018	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	53.75	2.02	0.00	2.02	90.0	1.82
03/01/2018	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	53.75	2.02	0.00	2.02	90.0	1.82
03/01/2018	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	53.75	2.02	0.00	2.02	90.0	1.82
02/20/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	47.98	1.32	0.00	1.32	90.0	1.19
02/20/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	28.79	0.79	0.00	0.79	90.0	0.71
02/26/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	47.98	1.32	0.00	1.32	90.0	1.19
02/26/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	28.79	0.79	0.00	0.79	90.0	0.71
02/27/2018	PAGE, SUSAN	AM FUNDS GROWTH PORT A	85.42	2.35	0.00	2.35	90.0	2.12
02/27/2018	PAGE, SUSAN	AM FUNDS GROWTH PORT A	64.05	1.76	0.00	1.76	90.0	1.58
02/27/2018	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	104.79	2.88	0.00	2.88	90.0	2.59
02/27/2018	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	78.60	2.16	0.00	2.16	90.0	1.94
02/27/2018	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	19.46	0.54	0.00	0.54	90.0	0.49
02/27/2018	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	14.61	0.40	0.00	0.40	90.0	0.36
03/01/2018	PAGE, SUSAN	AM FUNDS GROWTH PORT A	52.73	1.45	0.00	1.45	90.0	1.31
03/01/2018	PAGE, SUSAN	AM FUNDS GROWTH PORT A	70.29	1.93	0.00	1.93	90.0	1.74
03/01/2018	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	63.48	1.75	0.00	1.75	90.0	1.58
03/01/2018	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	84.64	2.33	0.00	2.33	90.0	2.10
03/01/2018	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	3.02	0.08	0.00	0.08	90.0	0.07
03/01/2018	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	4.03	0.11	0.00	0.11	90.0	0.10
03/01/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
03/01/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
03/01/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
03/01/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
02/20/2018	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	150.00	4.13	0.00	4.13	90.0	3.72
03/01/2018	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	75.00	2.81	0.00	2.81	90.0	2.53
02/20/2018	BRUNS, MARCUS	AM FUNDS NEW WORLD A	150.00	4.13	0.00	4.13	90.0	3.72
02/27/2018	GROSS, JUDY	AM FUNDS NEW WORLD A	1,000.00	27.50	0.00	27.50	90.0	24.75

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2018	GROSS, JUDY	AM FUNDS NEW WORLD A	1,000.00	27.50	0.00	27.50	90.0	24.75
02/20/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	8.16	0.22	0.00	0.22	90.0	0.20
02/20/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	8.16	0.22	0.00	0.22	90.0	0.20
02/20/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	82.82	2.28	0.00	2.28	90.0	2.05
02/20/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	31.06	0.85	0.00	0.85	90.0	0.77
02/26/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	23.46	0.65	0.00	0.65	90.0	0.59
02/26/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	23.46	0.65	0.00	0.65	90.0	0.59
02/26/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	85.28	2.35	0.00	2.35	90.0	2.12
02/26/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	31.98	0.88	0.00	0.88	90.0	0.79
02/26/2018	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	300.00	8.25	0.00	8.25	90.0	7.43
02/26/2018	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	2.75	0.00	2.75	90.0	2.48
03/01/2018	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	62.50	2.34	0.00	2.34	90.0	2.11
03/01/2018	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	37.50	1.41	0.00	1.41	90.0	1.27
03/01/2018	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	62.50	2.34	0.00	2.34	90.0	2.11
03/01/2018	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	37.50	1.41	0.00	1.41	90.0	1.27
03/01/2018	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	53.75	2.02	0.00	2.02	90.0	1.82
03/01/2018	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	53.75	2.02	0.00	2.02	90.0	1.82
03/01/2018	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	53.75	2.02	0.00	2.02	90.0	1.82
03/01/2018	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	53.75	2.02	0.00	2.02	90.0	1.82
03/01/2018	VACANTI, EMMA	AMERICAN FUND GLOBAL GROWTH PORTFOL	104.17	3.91	0.00	3.91	90.0	3.52
03/01/2018	VACANTI, EMMA	AMERICAN FUND GLOBAL GROWTH PORTFOL	62.50	2.34	0.00	2.34	90.0	2.11
03/01/2018	VACANTI, EMMA	AMERICAN FUND GLOBAL GROWTH PORTFOL	104.17	3.91	0.00	3.91	90.0	3.52
03/01/2018	VACANTI, EMMA	AMERICAN FUND GLOBAL GROWTH PORTFOL	62.50	2.34	0.00	2.34	90.0	2.11
02/27/2018	GROSS, ROBERT	AMERICAN FUNDS DEVELOPING WORLD GRO	1,000.00	27.50	0.00	27.50	90.0	24.75
03/01/2018	GROSS, ROBERT	AMERICAN FUNDS DEVELOPING WORLD GRO	1,000.00	27.50	0.00	27.50	90.0	24.75
* MUTUAL FUNDS SUBTOTAL *			\$38,834.94	\$563.89	\$0.00	\$563.89		\$507.65

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/01/2018	LANGFALD, RONALD	AM FUNDS AMCAP A	0.00	39.01	0.00	39.01	90.0	35.11
03/01/2018	LANGFALD, ARDIS	AM FUNDS AMCAP A	0.00	24.59	0.00	24.59	90.0	22.13
03/01/2018	MOKLESTAD, NANCY	AM FUNDS AMCAP A	0.00	2.24	0.00	2.24	90.0	2.02
03/01/2018	GRIFFITT, JAYNELLE	AM FUNDS AMCAP A	0.00	9.89	0.00	9.89	90.0	8.90
03/01/2018	MEINDERS, DAWN	AM FUNDS AMCAP A	0.00	8.78	0.00	8.78	90.0	7.90
03/01/2018	VANDER WILT, BRIAN	AM FUNDS AMCAP A	0.00	4.32	0.00	4.32	90.0	3.89

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	GERZEMA, MARLENE	AM FUNDS AMCAP A	0.00	21.27	0.00	21.27	90.0	19.14
03/01/2018	HEMER, EILEEN	AM FUNDS AMCAP A	0.00	38.36	0.00	38.36	90.0	34.52
03/01/2018	POST, KENNETH	AM FUNDS AMCAP A	0.00	8.34	0.00	8.34	90.0	7.51
03/01/2018	LEONARD, GARY	AM FUNDS AMERICAN BALANCED 529A	0.00	15.28	0.00	15.28	90.0	13.75
02/21/2018	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	34.49	0.00	34.49	90.0	31.04
02/21/2018	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	32.98	0.00	32.98	90.0	29.68
03/01/2018	DOANE TRUST, LAVONNE	AM FUNDS AMERICAN BALANCED A	0.00	16.61	0.00	16.61	90.0	14.95
03/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS AMERICAN BALANCED A	0.00	35.83	0.00	35.83	90.0	32.25
03/01/2018	DOANE, ELDON	AM FUNDS AMERICAN BALANCED A	0.00	9.91	0.00	9.91	90.0	8.92
03/01/2018	O'CONNELL, RUSSELL	AM FUNDS AMERICAN BALANCED A	0.00	27.87	0.00	27.87	90.0	25.08
03/01/2018	WEBER, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	8.34	0.00	8.34	90.0	7.51
03/01/2018	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	5.73	0.00	5.73	90.0	5.16
03/01/2018	WEBER, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	5.52	0.00	5.52	90.0	4.97
03/01/2018	MATHISON, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	15.04	0.00	15.04	90.0	13.54
03/01/2018	DAHL, NANCY JOHNSON	AM FUNDS AMERICAN BALANCED A	0.00	3.82	0.00	3.82	90.0	3.44
03/01/2018	GOCHE, ANN	AM FUNDS AMERICAN BALANCED A	0.00	12.86	0.00	12.86	90.0	11.57
03/01/2018	JOHNSON, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	3.35	0.00	3.35	90.0	3.02
03/01/2018	MAKI, LINDA	AM FUNDS AMERICAN BALANCED A	0.00	3.92	0.00	3.92	90.0	3.53
03/01/2018	GOCHE, STEVE	AM FUNDS AMERICAN BALANCED A	0.00	21.88	0.00	21.88	90.0	19.69
03/01/2018	NUTTING, MARY	AM FUNDS AMERICAN BALANCED A	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2018	JOHNSON, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	3.06	0.00	3.06	90.0	2.75
03/01/2018	MOKLESTAD, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	4.07	0.00	4.07	90.0	3.66
03/01/2018	KESSLER, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	64.48	0.00	64.48	90.0	58.03
03/01/2018	KESSLER, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	6.15	0.00	6.15	90.0	5.54
03/01/2018	HANSON, SCOTT	AM FUNDS AMERICAN BALANCED A	0.00	7.33	0.00	7.33	90.0	6.60
03/01/2018	HANSON, CARRIE	AM FUNDS AMERICAN BALANCED A	0.00	10.10	0.00	10.10	90.0	9.09
03/01/2018	DAHL, NANCY M JOHNSON	AM FUNDS AMERICAN BALANCED A	0.00	0.70	0.00	0.70	90.0	0.63
03/01/2018	GRIFFITT, KENNETH	AM FUNDS AMERICAN BALANCED A	0.00	10.11	0.00	10.11	90.0	9.10
03/01/2018	LEONARD TRUST, REA	AM FUNDS AMERICAN BALANCED A	0.00	137.98	0.00	137.98	90.0	124.18
03/01/2018	VENTEICHER, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	7.79	0.00	7.79	90.0	7.01
03/01/2018	KETTWICK, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	27.08	0.00	27.08	90.0	24.37
03/01/2018	PRICE, TERESA	AM FUNDS AMERICAN BALANCED A	0.00	10.00	0.00	10.00	90.0	9.00
03/01/2018	ENRIGHT, EDWIN	AM FUNDS AMERICAN BALANCED A	0.00	31.30	0.00	31.30	90.0	28.17

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	MEINDERS, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	9.40	0.00	9.40	90.0	8.46
03/01/2018	NUTTING, STUART	AM FUNDS AMERICAN BALANCED A	0.00	1.64	0.00	1.64	90.0	1.48
03/01/2018	VANDER WILT, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	5.54	0.00	5.54	90.0	4.99
03/01/2018	DAHL, JORGEN	AM FUNDS AMERICAN BALANCED A	0.00	0.99	0.00	0.99	90.0	0.89
03/01/2018	GERZEMA, MARLENE	AM FUNDS AMERICAN BALANCED A	0.00	4.78	0.00	4.78	90.0	4.30
03/01/2018	GERZEMA, MARLENE	AM FUNDS AMERICAN BALANCED A	0.00	35.14	0.00	35.14	90.0	31.63
03/01/2018	SCHLAKE, ELROY	AM FUNDS AMERICAN BALANCED A	0.00	8.84	0.00	8.84	90.0	7.96
03/01/2018	NUTTING, MARY	AM FUNDS AMERICAN BALANCED A	0.00	25.01	0.00	25.01	90.0	22.51
03/01/2018	UNDERWOOD, RONALD	AM FUNDS AMERICAN BALANCED A	0.00	12.28	0.00	12.28	90.0	11.05
03/01/2018	TORNQUIST, PATRICIA	AM FUNDS AMERICAN BALANCED A	0.00	11.90	0.00	11.90	90.0	10.71
03/01/2018	CAMPBELL, DENISE	AM FUNDS AMERICAN BALANCED A	0.00	23.22	0.00	23.22	90.0	20.90
03/01/2018	HEMER, EILEEN	AM FUNDS AMERICAN BALANCED A	0.00	0.30	0.00	0.30	90.0	0.27
03/01/2018	MOKLESTAD, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	2.32	0.00	2.32	90.0	2.09
03/01/2018	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	0.00	2.99	0.00	2.99	90.0	2.69
03/01/2018	GROSS, JUDY	AM FUNDS AMERICAN BALANCED A	0.00	4.90	0.00	4.90	90.0	4.41
03/01/2018	GROSS, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	17.59	0.00	17.59	90.0	15.83
03/01/2018	LOW, ANN	AM FUNDS AMERICAN BALANCED A	0.00	16.14	0.00	16.14	90.0	14.53
03/01/2018	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	20.03	0.00	20.03	90.0	18.03
03/01/2018	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	11.16	0.00	11.16	90.0	10.04
03/01/2018	ENRIGHT, JANET	AM FUNDS AMERICAN BALANCED A	0.00	7.31	0.00	7.31	90.0	6.58
03/01/2018	BRUNS, JOLENE	AM FUNDS AMERICAN BALANCED C	0.00	25.45	0.00	25.45	90.0	22.91
03/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	27.67	0.00	27.67	90.0	24.90
03/01/2018	KESSLER, ROBERT	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	29.96	0.00	29.96	90.0	26.96
03/01/2018	LEONARD TRUST, REA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	67.39	0.00	67.39	90.0	60.65
03/01/2018	LEONARD, GARY	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.71	0.00	1.71	90.0	1.54
03/01/2018	WEBER, PAUL	AM FUNDS AMERICAN MUTUAL A	0.00	3.36	0.00	3.36	90.0	3.02
03/01/2018	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	0.00	22.96	0.00	22.96	90.0	20.66
03/01/2018	GRIFFITT, JAYNELLE	AM FUNDS AMERICAN MUTUAL A	0.00	9.25	0.00	9.25	90.0	8.33
03/01/2018	HOLLEY, CASEY	AM FUNDS AMERICAN MUTUAL A	0.00	0.55	0.00	0.55	90.0	0.50
03/01/2018	GERZEMA, MARLENE	AM FUNDS AMERICAN MUTUAL A	0.00	33.03	0.00	33.03	90.0	29.73
03/01/2018	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	0.00	3.10	0.00	3.10	90.0	2.79
03/01/2018	JASS, ARLENE	AM FUNDS AMERICAN MUTUAL A	0.00	4.29	0.00	4.29	90.0	3.86
03/01/2018	AUDINO, JAMES	AM FUNDS AMERICAN MUTUAL A	0.00	21.27	0.00	21.27	90.0	19.14

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	AUDINO, JAMES	AM FUNDS AMERICAN MUTUAL A	0.00	9.85	0.00	9.85	90.0	8.87
03/01/2018	AUDINO, JAMES	AM FUNDS BALANCED PORT A	0.00	6.11	0.00	6.11	90.0	5.50
03/01/2018	SCHMIT, MARILYAN	AM FUNDS BALANCED PORT A	0.00	41.55	0.00	41.55	90.0	37.40
03/01/2018	JOHNSON, DONNA	AM FUNDS BALANCED PORT A	0.00	2.79	0.00	2.79	90.0	2.51
03/01/2018	SCHWICKERATH-SCHROEDER,	AM FUNDS BALANCED PORT A	0.00	16.34	0.00	16.34	90.0	14.71
03/01/2018	SCHROEDER, PHILIP	AM FUNDS BALANCED PORT A	0.00	42.24	0.00	42.24	90.0	38.02
03/01/2018	BURNSIDE, KELLY	AM FUNDS BALANCED PORT A	0.00	24.42	0.00	24.42	90.0	21.98
03/01/2018	TORNQUIST, SCOTT	AM FUNDS BALANCED PORT A	0.00	94.35	0.00	94.35	90.0	84.92
03/01/2018	ENGBRETSON, ROGER	AM FUNDS BALANCED PORT A	0.00	25.01	0.00	25.01	90.0	22.51
03/01/2018	UNDERWOOD, DEBRA	AM FUNDS BALANCED PORT A	0.00	6.90	0.00	6.90	90.0	6.21
03/01/2018	QUAM, KENNETH	AM FUNDS BALANCED PORT A	0.00	15.01	0.00	15.01	90.0	13.51
03/01/2018	CRAWFORD, JON	AM FUNDS BALANCED PORT A	0.00	1.51	0.00	1.51	90.0	1.36
03/01/2018	KESSLER, ROBERT	AM FUNDS BOND FUND OF AMERICA A	0.00	37.44	0.00	37.44	90.0	33.70
03/01/2018	LEONARD, GARY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	11.10	0.00	11.10	90.0	9.99
03/01/2018	O'CONNELL, BAILEY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	11.61	0.00	11.61	90.0	10.45
02/21/2018	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.35	0.00	2.35	90.0	2.12
02/21/2018	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.48	0.00	2.48	90.0	2.23
03/01/2018	HEMER, JOE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.30	0.00	13.30	90.0	11.97
03/01/2018	DOANE TRUST, LAVONNE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.44	0.00	14.44	90.0	13.00
03/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS CAPITAL INCOME BUILDER A	0.00	24.27	0.00	24.27	90.0	21.84
03/01/2018	DOANE, ELTON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	27.56	0.00	27.56	90.0	24.80
03/01/2018	O'CONNELL, LANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.94	0.00	3.94	90.0	3.55
03/01/2018	O'CONNELL, RUSSELL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	22.31	0.00	22.31	90.0	20.08
03/01/2018	WEBER, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.33	0.00	7.33	90.0	6.60
03/01/2018	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.86	0.00	4.86	90.0	4.37
03/01/2018	SCHMIT, MARILYAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	38.41	0.00	38.41	90.0	34.57
03/01/2018	WEBER, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.75	0.00	4.75	90.0	4.28
03/01/2018	MATHISON, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.07	0.00	14.07	90.0	12.66
03/01/2018	GOCHÉ, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.58	0.00	10.58	90.0	9.52
03/01/2018	BRUNS, MARCUS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.68	0.00	2.68	90.0	2.41
03/01/2018	SCHLICHTING, STEVE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.68	0.00	3.68	90.0	3.31
03/01/2018	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.34	0.00	3.34	90.0	3.01
03/01/2018	MAKI, LINDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.80	0.00	3.80	90.0	3.42

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	GOCHE, STEVE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.63	0.00	21.63	90.0	19.47
03/01/2018	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.46	0.00	0.46	90.0	0.41
03/01/2018	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	20.51	0.00	20.51	90.0	18.46
03/01/2018	JOHNSON, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.57	0.00	3.57	90.0	3.21
03/01/2018	KESSLER, JUDITH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.78	0.00	17.78	90.0	16.00
03/01/2018	KESSLER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	60.27	0.00	60.27	90.0	54.24
03/01/2018	KESSLER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.05	0.00	9.05	90.0	8.15
03/01/2018	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.16	0.00	1.16	90.0	1.04
03/01/2018	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.62	0.00	5.62	90.0	5.06
03/01/2018	GRIFFITT, JAYNELLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.59	0.00	7.59	90.0	6.83
03/01/2018	LEONARD TRUST, REA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	197.17	0.00	197.17	90.0	177.45
03/01/2018	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.60	0.00	0.60	90.0	0.54
03/01/2018	VENTEICHER, DEBRA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.62	0.00	8.62	90.0	7.76
03/01/2018	KETTWICK, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	27.94	0.00	27.94	90.0	25.15
03/01/2018	MEINDERS, MICHELLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.87	0.00	2.87	90.0	2.58
03/01/2018	PRICE, TERESA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	19.78	0.00	19.78	90.0	17.80
03/01/2018	ENRIGHT, EDWIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.15	0.00	17.15	90.0	15.44
03/01/2018	MEINDERS, DAWN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.61	0.00	5.61	90.0	5.05
03/01/2018	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.88	0.00	10.88	90.0	9.79
03/01/2018	NUTTING, STUART	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.21	0.00	2.21	90.0	1.99
03/01/2018	VANDER WILT, BRIAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.56	0.00	7.56	90.0	6.80
03/01/2018	LANGFALD, ARDIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	76.82	0.00	76.82	90.0	69.14
03/01/2018	GERZEMA, MARLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.97	0.00	5.97	90.0	5.37
03/01/2018	GERZEMA, MARLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.32	0.00	13.32	90.0	11.99
03/01/2018	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.17	0.00	1.17	90.0	1.05
03/01/2018	SCHLAKE, ELROY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.66	0.00	7.66	90.0	6.89
03/01/2018	MCCLEES, MARTIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.30	0.00	1.30	90.0	1.17
03/01/2018	BRUNS, JOLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.45	0.00	10.45	90.0	9.41
03/01/2018	NUTTING, MARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	28.16	0.00	28.16	90.0	25.34
03/01/2018	ROUSE, DALE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.10	0.00	4.10	90.0	3.69
03/01/2018	KETTWICK, CAROLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.98	0.00	4.98	90.0	4.48
03/01/2018	VANDERWILT, TRISHA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.34	0.00	7.34	90.0	6.61
03/01/2018	REIDEL, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.13	0.00	4.13	90.0	3.72

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
03/01/2018	TORNQUIST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.76	0.00	10.76	90.0	9.68
03/01/2018	BURNSIDE, KELLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.93	0.00	13.93	90.0	12.54
03/01/2018	LINDBORG, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.21	0.00	5.21	90.0	4.69
03/01/2018	VANDERWILT, TRISHA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.63	0.00	2.63	90.0	2.37
03/01/2018	CAMPBELL, DENISE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	18.89	0.00	18.89	90.0	17.00
03/01/2018	LINDEMANN, ROBIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.28	0.00	6.28	90.0	5.65
03/01/2018	MOKLESTAD, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.02	0.00	2.02	90.0	1.82
03/01/2018	BUSS, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	19.95	0.00	19.95	90.0	17.96
03/01/2018	GROSS, JUDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.37	0.00	4.37	90.0	3.93
03/01/2018	GROSS, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	15.57	0.00	15.57	90.0	14.01
03/01/2018	LOW, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.96	0.00	12.96	90.0	11.66
03/01/2018	LOW, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.78	0.00	7.78	90.0	7.00
03/01/2018	BRUNS, BENNETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.35	0.00	0.35	90.0	0.32
03/01/2018	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	16.80	0.00	16.80	90.0	15.12
03/01/2018	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.72	0.00	8.72	90.0	7.85
03/01/2018	ENRIGHT, JANET	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.28	0.00	7.28	90.0	6.55
03/01/2018	KESSLER, JUDITH	AM FUNDS CAPITAL WORLD BOND A	0.00	8.14	0.00	8.14	90.0	7.33
03/01/2018	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD BOND A	0.00	31.91	0.00	31.91	90.0	28.72
03/01/2018	PRICE, TERESA	AM FUNDS CAPITAL WORLD BOND A	0.00	4.30	0.00	4.30	90.0	3.87
03/01/2018	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD BOND A	0.00	3.96	0.00	3.96	90.0	3.56
03/01/2018	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL WORLD BOND C	0.00	22.86	0.00	22.86	90.0	20.57
03/01/2018	LEONARD, GARY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	18.58	0.00	18.58	90.0	16.72
03/01/2018	O'CONNELL, BAILEY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	14.24	0.00	14.24	90.0	12.82
02/21/2018	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.72	0.00	2.72	90.0	2.45
02/21/2018	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.82	0.00	2.82	90.0	2.54
03/01/2018	HEMER, JOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	30.38	0.00	30.38	90.0	27.34
03/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	111.97	0.00	111.97	90.0	100.77
03/01/2018	DOANE, ELDON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	35.31	0.00	35.31	90.0	31.78
03/01/2018	O'CONNELL, LANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	21.63	0.00	21.63	90.0	19.47
03/01/2018	O'CONNELL, LANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.30	0.00	4.30	90.0	3.87
03/01/2018	O'CONNELL, RUSSELL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	25.51	0.00	25.51	90.0	22.96
03/01/2018	WEBER, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.94	0.00	11.94	90.0	10.75
03/01/2018	AUDINO, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	44.57	0.00	44.57	90.0	40.11

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	WEBER, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.63	0.00	5.63	90.0	5.07
03/01/2018	MATHISON, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	19.09	0.00	19.09	90.0	17.18
03/01/2018	DAHL, NANCY JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.60	0.00	4.60	90.0	4.14
03/01/2018	SCHLICHTING, LAURA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.82	0.00	9.82	90.0	8.84
03/01/2018	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	70.22	0.00	70.22	90.0	63.20
03/01/2018	BRUNS, MARCUS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.03	0.00	16.03	90.0	14.43
03/01/2018	MAKI, LINDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.06	0.00	4.06	90.0	3.65
03/01/2018	NUTTING, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.61	0.00	0.61	90.0	0.55
03/01/2018	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.48	0.00	13.48	90.0	12.13
03/01/2018	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	20.21	0.00	20.21	90.0	18.19
03/01/2018	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.48	0.00	3.48	90.0	3.13
03/01/2018	KESSLER, JUDITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	24.76	0.00	24.76	90.0	22.28
03/01/2018	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	61.76	0.00	61.76	90.0	55.58
03/01/2018	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.01	0.00	9.01	90.0	8.11
03/01/2018	NUTTING, BRETT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.40	0.00	4.40	90.0	3.96
03/01/2018	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.31	0.00	7.31	90.0	6.58
03/01/2018	LEONARD TRUST, REA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	168.43	0.00	168.43	90.0	151.59
03/01/2018	VENTEICHER, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.61	0.00	9.61	90.0	8.65
03/01/2018	KETTWICK, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	63.31	0.00	63.31	90.0	56.98
03/01/2018	MEINDERS, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.37	0.00	5.37	90.0	4.83
03/01/2018	PRICE, TERESA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	20.20	0.00	20.20	90.0	18.18
03/01/2018	ENRIGHT, EDWIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	71.46	0.00	71.46	90.0	64.31
03/01/2018	MEINDERS, DAWN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.90	0.00	11.90	90.0	10.71
03/01/2018	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.64	0.00	12.64	90.0	11.38
03/01/2018	NUTTING, STUART	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.85	0.00	4.85	90.0	4.37
03/01/2018	VANDER WILT, BRIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.01	0.00	9.01	90.0	8.11
03/01/2018	LANGFALD, ARDIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	130.77	0.00	130.77	90.0	117.69
03/01/2018	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	21.95	0.00	21.95	90.0	19.76
03/01/2018	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.69	0.00	12.69	90.0	11.42
03/01/2018	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.40	0.00	1.40	90.0	1.26
03/01/2018	SCHLAKE, ELROY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.26	0.00	7.26	90.0	6.53
03/01/2018	MCCLEES, MARTIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.47	0.00	1.47	90.0	1.32
03/01/2018	BRUNS, JOLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.72	0.00	16.72	90.0	15.05

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	NUTTING, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	32.39	0.00	32.39	90.0	29.15
03/01/2018	BROWN, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.77	0.00	1.77	90.0	1.59
03/01/2018	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.04	0.00	12.04	90.0	10.84
03/01/2018	KETTWICK, TROY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.49	0.00	3.49	90.0	3.14
03/01/2018	KETTWICK, CAROLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.45	0.00	5.45	90.0	4.91
03/01/2018	NUTTING, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.69	0.00	0.69	90.0	0.62
03/01/2018	VANDERWILT, TRISHA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.61	0.00	10.61	90.0	9.55
03/01/2018	REIDEL, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.82	0.00	12.82	90.0	11.54
03/01/2018	TORNQUIST, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.78	0.00	11.78	90.0	10.60
03/01/2018	TORNQUIST, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.92	0.00	9.92	90.0	8.93
03/01/2018	BURNSIDE, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.73	0.00	15.73	90.0	14.16
03/01/2018	VANDERWILT, TRISHA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.16	0.00	4.16	90.0	3.74
03/01/2018	CAMPBELL, DENISE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	41.70	0.00	41.70	90.0	37.53
03/01/2018	HEMER, EILEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	21.69	0.00	21.69	90.0	19.52
03/01/2018	BURNSIDE, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.33	0.00	0.33	90.0	0.30
03/01/2018	LINDEMANN, ROBIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.10	0.00	8.10	90.0	7.29
03/01/2018	MOKLESTAD, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.44	0.00	2.44	90.0	2.20
03/01/2018	BUSS, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	22.28	0.00	22.28	90.0	20.05
03/01/2018	GROSS, JUDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.80	0.00	4.80	90.0	4.32
03/01/2018	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.79	0.00	0.79	90.0	0.71
03/01/2018	GROSS, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	17.06	0.00	17.06	90.0	15.35
03/01/2018	JASS, ARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.21	0.00	7.21	90.0	6.49
03/01/2018	LOW, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.45	0.00	13.45	90.0	12.11
03/01/2018	LOW, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.64	0.00	7.64	90.0	6.88
03/01/2018	AUDINO, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.53	0.00	16.53	90.0	14.88
03/01/2018	ENRIGHT, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.05	0.00	8.05	90.0	7.25
02/21/2018	SWIFT, STEPHEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.07	0.00	0.07	90.0	0.06
03/01/2018	HAGEN, ALAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	22.03	0.00	22.03	90.0	19.83
03/01/2018	HEMER, JOE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	12.80	0.00	12.80	90.0	11.52
03/01/2018	DOANE TRUST, LAVONNE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.82	0.00	4.82	90.0	4.34
03/01/2018	O'CONNELL, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.82	0.00	14.82	90.0	13.34
03/01/2018	AUDINO, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	15.89	0.00	15.89	90.0	14.30
03/01/2018	MATHISON, KAREN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.07	0.00	9.07	90.0	8.16

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	O'CONNELL, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.23	0.00	6.23	90.0	5.61
03/01/2018	SCHLICHTING, STEVE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.86	0.00	6.86	90.0	6.17
03/01/2018	JOHNSON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.82	0.00	3.82	90.0	3.44
03/01/2018	JOHNSON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.34	0.00	3.34	90.0	3.01
03/01/2018	KESSLER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	20.16	0.00	20.16	90.0	18.14
03/01/2018	NUTTING, BRETT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.00	0.00	4.00	90.0	3.60
03/01/2018	GRIFFITT, JAYNELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.91	0.00	8.91	90.0	8.02
03/01/2018	LEONARD TRUST, REA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	35.88	0.00	35.88	90.0	32.29
03/01/2018	KETTWICK, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	16.51	0.00	16.51	90.0	14.86
03/01/2018	PRICE, TERESA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.07	0.00	9.07	90.0	8.16
03/01/2018	NUTTING, STUART	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.66	0.00	1.66	90.0	1.49
03/01/2018	NUTTING, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	36.18	0.00	36.18	90.0	32.56
03/01/2018	REIDEL, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.19	0.00	9.19	90.0	8.27
03/01/2018	TORNQUIST, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.14	0.00	8.14	90.0	7.33
03/01/2018	CAMPBELL, DENISE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	25.41	0.00	25.41	90.0	22.87
03/01/2018	HEMER, EILEEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	22.05	0.00	22.05	90.0	19.85
03/01/2018	UNDERWOOD, DEBRA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.13	0.00	3.13	90.0	2.82
03/01/2018	JASS, ARLENE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.45	0.00	5.45	90.0	4.91
03/01/2018	LEONARD, GARY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	8.22	0.00	8.22	90.0	7.40
03/01/2018	LANGFALD, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	221.91	0.00	221.91	90.0	199.72
03/01/2018	DOANE TRUST, LAVONNE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	14.49	0.00	14.49	90.0	13.04
03/01/2018	O'CONNELL, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	16.95	0.00	16.95	90.0	15.26
03/01/2018	WEBER, PAUL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.37	0.00	9.37	90.0	8.43
03/01/2018	NUTTING, BRETT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.33	0.00	0.33	90.0	0.30
03/01/2018	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	20.88	0.00	20.88	90.0	18.79
03/01/2018	KESSLER, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	25.34	0.00	25.34	90.0	22.81
03/01/2018	NUTTING, BRETT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.59	0.00	1.59	90.0	1.43
03/01/2018	GRIFFITT, KENNETH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	14.22	0.00	14.22	90.0	12.80
03/01/2018	LEONARD TRUST, REA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	121.04	0.00	121.04	90.0	108.94
03/01/2018	NUTTING, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	27.24	0.00	27.24	90.0	24.52
03/01/2018	UNDERWOOD, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.37	0.00	15.37	90.0	13.83
03/01/2018	TORNQUIST, PATRICIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.69	0.00	9.69	90.0	8.72
03/01/2018	AUDINO, JAMES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	17.14	0.00	17.14	90.0	15.43

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
03/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS GLOBAL BALANCED A	0.00	50.75	0.00	50.75	90.0	45.68
03/01/2018	KESSLER, ROBERT	AM FUNDS GLOBAL BALANCED A	0.00	20.29	0.00	20.29	90.0	18.26
03/01/2018	LEONARD TRUST, REA	AM FUNDS GLOBAL BALANCED A	0.00	52.51	0.00	52.51	90.0	47.26
03/01/2018	PRICE, TERESA	AM FUNDS GLOBAL BALANCED A	0.00	1.22	0.00	1.22	90.0	1.10
03/01/2018	ENRIGHT, EDWIN	AM FUNDS GLOBAL BALANCED A	0.00	18.61	0.00	18.61	90.0	16.75
03/01/2018	GERZEMA, MARLENE	AM FUNDS GLOBAL BALANCED A	0.00	31.13	0.00	31.13	90.0	28.02
03/01/2018	REIDEL, DENNIS	AM FUNDS GLOBAL BALANCED A	0.00	4.08	0.00	4.08	90.0	3.67
03/01/2018	LINDBORG, LISA	AM FUNDS GLOBAL BALANCED A	0.00	4.55	0.00	4.55	90.0	4.10
03/01/2018	HEMER, EILEEN	AM FUNDS GLOBAL BALANCED A	0.00	21.85	0.00	21.85	90.0	19.67
03/01/2018	LINDEMANN, ROBIN	AM FUNDS GLOBAL BALANCED A	0.00	6.25	0.00	6.25	90.0	5.63
03/01/2018	LOW, ANN	AM FUNDS GLOBAL BALANCED A	0.00	15.47	0.00	15.47	90.0	13.92
03/01/2018	ENRIGHT, JANET	AM FUNDS GLOBAL BALANCED A	0.00	7.24	0.00	7.24	90.0	6.52
03/01/2018	BRUNS, JOLENE	AM FUNDS GLOBAL BALANCED C	0.00	2.80	0.00	2.80	90.0	2.52
03/01/2018	AUDINO, JAMES	AM FUNDS GROWTH AND INCOME A	0.00	13.11	0.00	13.11	90.0	11.80
03/01/2018	SCHWICKERATH-SCHROEDER,	AM FUNDS GROWTH AND INCOME A	0.00	17.23	0.00	17.23	90.0	15.51
03/01/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	0.00	32.84	0.00	32.84	90.0	29.56
03/01/2018	BURNSIDE, KELLY	AM FUNDS GROWTH AND INCOME A	0.00	32.82	0.00	32.82	90.0	29.54
03/01/2018	TORNQUIST, SCOTT	AM FUNDS GROWTH AND INCOME A	0.00	191.21	0.00	191.21	90.0	172.09
03/01/2018	KOSS-WINN BANC ESOP	AM FUNDS GROWTH AND INCOME A	0.00	19.13	0.00	19.13	90.0	17.22
03/01/2018	ENGBRETSON, ROGER	AM FUNDS GROWTH AND INCOME A	0.00	49.96	0.00	49.96	90.0	44.96
03/01/2018	UNDERWOOD, RONALD	AM FUNDS GROWTH AND INCOME A	0.00	7.31	0.00	7.31	90.0	6.58
03/01/2018	GIESKING, RANDE	AM FUNDS GROWTH AND INCOME A	0.00	1.49	0.00	1.49	90.0	1.34
03/01/2018	CARLSON, JILL	AM FUNDS GROWTH AND INCOME A	0.00	7.56	0.00	7.56	90.0	6.80
03/01/2018	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	0.00	1.96	0.00	1.96	90.0	1.76
03/01/2018	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	0.00	0.12	0.00	0.12	90.0	0.11
03/01/2018	LOW, ANN	AM FUNDS GROWTH AND INCOME A	0.00	1.27	0.00	1.27	90.0	1.14
03/01/2018	KOSS-WINN BANC ESOP	AM FUNDS GROWTH AND INCOME A	0.00	3.83	0.00	3.83	90.0	3.45
03/01/2018	GROTHE, CHRISTI	AM FUNDS GROWTH AND INCOME A	0.00	10.95	0.00	10.95	90.0	9.86
03/01/2018	THORSON, JILL	AM FUNDS GROWTH AND INCOME A	0.00	0.10	0.00	0.10	90.0	0.09
03/01/2018	BERLING, MATTHEW	AM FUNDS GROWTH AND INCOME A	0.00	2.17	0.00	2.17	90.0	1.95
03/01/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	0.00	4.14	0.00	4.14	90.0	3.73
03/01/2018	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	0.00	1.96	0.00	1.96	90.0	1.76
03/01/2018	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	0.00	1.93	0.00	1.93	90.0	1.74

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	TORNQUIST, NATHAN	AM FUNDS GROWTH AND INCOME A	0.00	1.32	0.00	1.32	90.0	1.19
03/01/2018	KEHN, LEVI	AM FUNDS GROWTH AND INCOME A	0.00	4.12	0.00	4.12	90.0	3.71
03/01/2018	OBERMEYER, HEIDI	AM FUNDS GROWTH AND INCOME A	0.00	3.12	0.00	3.12	90.0	2.81
03/01/2018	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	0.00	1.86	0.00	1.86	90.0	1.67
03/01/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	0.00	0.85	0.00	0.85	90.0	0.77
03/01/2018	KRAMER, MERVIN	AM FUNDS GROWTH AND INCOME A	0.00	1.27	0.00	1.27	90.0	1.14
03/01/2018	THORSON, JILL	AM FUNDS GROWTH AND INCOME A	0.00	0.92	0.00	0.92	90.0	0.83
03/01/2018	STORBY, DAVID	AM FUNDS GROWTH AND INCOME A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	HEMER, JOE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	137.41	0.00	137.41	90.0	123.67
03/01/2018	DOANE TRUST, LAVONNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.80	0.00	3.80	90.0	3.42
03/01/2018	O'CONNELL, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	34.72	0.00	34.72	90.0	31.25
03/01/2018	WEBER, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.95	0.00	13.95	90.0	12.56
03/01/2018	AUDINO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	57.00	0.00	57.00	90.0	51.30
03/01/2018	BRUNS, MARCUS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.03	0.00	8.03	90.0	7.23
03/01/2018	MATHISON, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.54	0.00	5.54	90.0	4.99
03/01/2018	DAHL, NANCY JOHNSON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	GOCHE, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.45	0.00	8.45	90.0	7.61
03/01/2018	GOCHE, STEVE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	17.29	0.00	17.29	90.0	15.56
03/01/2018	HANSON, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	19.30	0.00	19.30	90.0	17.37
03/01/2018	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	25.22	0.00	25.22	90.0	22.70
03/01/2018	JOHNSON, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.90	0.00	4.90	90.0	4.41
03/01/2018	MOKLESTAD, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.63	0.00	5.63	90.0	5.07
03/01/2018	KESSLER, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	36.01	0.00	36.01	90.0	32.41
03/01/2018	NUTTING, BRETT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.61	0.00	0.61	90.0	0.55
03/01/2018	GRIFFITT, KENNETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.20	0.00	13.20	90.0	11.88
03/01/2018	LEONARD TRUST, REA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	97.61	0.00	97.61	90.0	87.85
03/01/2018	VENTEICHER, DEBRA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.64	0.00	11.64	90.0	10.48
03/01/2018	KETTWICK, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.85	0.00	9.85	90.0	8.87
03/01/2018	MEINDERS, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.80	0.00	0.80	90.0	0.72
03/01/2018	NUTTING, STUART	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.13	0.00	3.13	90.0	2.82
03/01/2018	GERZEMA, MARLENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	29.12	0.00	29.12	90.0	26.21
03/01/2018	SCHLAKE, ELROY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.10	0.00	6.10	90.0	5.49
03/01/2018	MCCLEES, MARTIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.64	0.00	1.64	90.0	1.48

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	BRUNS, JOLENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	19.95	0.00	19.95	90.0	17.96
03/01/2018	NUTTING, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	25.10	0.00	25.10	90.0	22.59
03/01/2018	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	15.04	0.00	15.04	90.0	13.54
03/01/2018	REIDEL, DENNIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.49	0.00	14.49	90.0	13.04
03/01/2018	TORNQUIST, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.77	0.00	9.77	90.0	8.79
03/01/2018	TORNQUIST, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.92	0.00	11.92	90.0	10.73
03/01/2018	CAMPBELL, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	25.68	0.00	25.68	90.0	23.11
03/01/2018	HEMER, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	39.15	0.00	39.15	90.0	35.24
03/01/2018	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.49	0.00	3.49	90.0	3.14
03/01/2018	GROSS, ALISON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	20.66	0.00	20.66	90.0	18.59
03/01/2018	LOW, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.05	0.00	10.05	90.0	9.05
03/01/2018	AUDINO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	16.76	0.00	16.76	90.0	15.08
03/01/2018	AUDINO, JAMES	AM FUNDS GROWTH PORT A	0.00	6.33	0.00	6.33	90.0	5.70
03/01/2018	SCHROEDER, PHILIP	AM FUNDS GROWTH PORT A	0.00	51.37	0.00	51.37	90.0	46.23
03/01/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	0.00	31.36	0.00	31.36	90.0	28.22
03/01/2018	BURNSIDE, KELLY	AM FUNDS GROWTH PORT A	0.00	24.94	0.00	24.94	90.0	22.45
03/01/2018	TORNQUIST, SCOTT	AM FUNDS GROWTH PORT A	0.00	105.08	0.00	105.08	90.0	94.57
03/01/2018	KOSS-WINN BANC ESOP	AM FUNDS GROWTH PORT A	0.00	10.09	0.00	10.09	90.0	9.08
03/01/2018	ENGBRETSON, ROGER	AM FUNDS GROWTH PORT A	0.00	23.78	0.00	23.78	90.0	21.40
03/01/2018	UNDERWOOD, DEBRA	AM FUNDS GROWTH PORT A	0.00	10.80	0.00	10.80	90.0	9.72
03/01/2018	CARLSON, JILL	AM FUNDS GROWTH PORT A	0.00	12.59	0.00	12.59	90.0	11.33
03/01/2018	PAGE, SUSAN	AM FUNDS GROWTH PORT A	0.00	0.49	0.00	0.49	90.0	0.44
03/01/2018	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	0.00	0.78	0.00	0.78	90.0	0.70
03/01/2018	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	0.00	2.02	0.00	2.02	90.0	1.82
03/01/2018	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	0.00	0.44	0.00	0.44	90.0	0.40
03/01/2018	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	0.00	1.20	0.00	1.20	90.0	1.08
03/01/2018	LOW, ANN	AM FUNDS GROWTH PORT A	0.00	1.33	0.00	1.33	90.0	1.20
03/01/2018	HEMINGSEN, LAURA	AM FUNDS GROWTH PORT A	0.00	7.20	0.00	7.20	90.0	6.48
03/01/2018	HARDER, ANGELA	AM FUNDS GROWTH PORT A	0.00	4.61	0.00	4.61	90.0	4.15
03/01/2018	SHERMAN, LAURA	AM FUNDS GROWTH PORT A	0.00	0.87	0.00	0.87	90.0	0.78
03/01/2018	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	3.36	0.00	3.36	90.0	3.02
03/01/2018	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	5.98	0.00	5.98	90.0	5.38
03/01/2018	BERLING, MATTHEW	AM FUNDS GROWTH PORT A	0.00	1.32	0.00	1.32	90.0	1.19

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	0.00	4.39	0.00	4.39	90.0	3.95
03/01/2018	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	3.98	0.00	3.98	90.0	3.58
03/01/2018	VANDER WILT, CARA	AM FUNDS GROWTH PORT A	0.00	11.91	0.00	11.91	90.0	10.72
03/01/2018	TORNQUIST, NATHAN	AM FUNDS GROWTH PORT A	0.00	1.36	0.00	1.36	90.0	1.22
03/01/2018	SHERMAN, LAURA	AM FUNDS GROWTH PORT A	0.00	1.43	0.00	1.43	90.0	1.29
03/01/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	0.00	4.96	0.00	4.96	90.0	4.46
03/01/2018	KEHN, LEVI	AM FUNDS GROWTH PORT A	0.00	3.84	0.00	3.84	90.0	3.46
03/01/2018	OBERMEYER, HEIDI	AM FUNDS GROWTH PORT A	0.00	3.57	0.00	3.57	90.0	3.21
03/01/2018	HANSON, SCOTT	AM FUNDS GROWTH PORT A	0.00	15.24	0.00	15.24	90.0	13.72
03/01/2018	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	0.00	1.46	0.00	1.46	90.0	1.31
03/01/2018	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	0.00	0.04	0.00	0.04	90.0	0.04
03/01/2018	THORSON, JILL	AM FUNDS GROWTH PORT A	0.00	1.56	0.00	1.56	90.0	1.40
03/01/2018	LEONARD, GARY	AM FUNDS ICA 529A	0.00	6.62	0.00	6.62	90.0	5.96
03/01/2018	HEMER, JOE	AM FUNDS ICA A	0.00	67.08	0.00	67.08	90.0	60.37
03/01/2018	DOANE TRUST, LAVONNE	AM FUNDS ICA A	0.00	10.97	0.00	10.97	90.0	9.87
03/01/2018	MATHISON, KAREN	AM FUNDS ICA A	0.00	9.99	0.00	9.99	90.0	8.99
03/01/2018	JOHNSON, MICHAEL	AM FUNDS ICA A	0.00	4.14	0.00	4.14	90.0	3.73
03/01/2018	GOCHE, STEVE	AM FUNDS ICA A	0.00	9.02	0.00	9.02	90.0	8.12
03/01/2018	JOHNSON, DONNA	AM FUNDS ICA A	0.00	5.10	0.00	5.10	90.0	4.59
03/01/2018	MOKLESTAD, NANCY	AM FUNDS ICA A	0.00	4.38	0.00	4.38	90.0	3.94
03/01/2018	KESSLER, JUDITH	AM FUNDS ICA A	0.00	1.85	0.00	1.85	90.0	1.67
03/01/2018	KESSLER, ROBERT	AM FUNDS ICA A	0.00	23.35	0.00	23.35	90.0	21.02
03/01/2018	DAHL, NANCY M JOHNSON	AM FUNDS ICA A	0.00	1.00	0.00	1.00	90.0	0.90
03/01/2018	VENTEICHER, DEBRA	AM FUNDS ICA A	0.00	3.22	0.00	3.22	90.0	2.90
03/01/2018	ALBERT, JOY	AM FUNDS ICA A	0.00	15.16	0.00	15.16	90.0	13.64
03/01/2018	PRICE, TERESA	AM FUNDS ICA A	0.00	4.24	0.00	4.24	90.0	3.82
03/01/2018	GERZEMA, MARLENE	AM FUNDS ICA A	0.00	29.22	0.00	29.22	90.0	26.30
03/01/2018	FIELD, BARRY	AM FUNDS ICA A	0.00	13.91	0.00	13.91	90.0	12.52
03/01/2018	ROUSE, DALE	AM FUNDS ICA A	0.00	5.76	0.00	5.76	90.0	5.18
03/01/2018	AUDINO, JAMES	AM FUNDS ICA A	0.00	19.88	0.00	19.88	90.0	17.89
03/01/2018	AUDINO, JAMES	AM FUNDS ICA A	0.00	9.75	0.00	9.75	90.0	8.78
03/01/2018	DAHL, NANCY M JOHNSON	AM FUNDS ICA F1	0.00	1.62	0.00	1.62	90.0	1.46
02/21/2018	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	14.03	0.00	14.03	90.0	12.63

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/21/2018	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.62	0.00	9.62	90.0	8.66
03/01/2018	HEMER, JOE	AM FUNDS INCOME FUND OF AMERICA A	0.00	72.28	0.00	72.28	90.0	65.05
03/01/2018	DOANE TRUST, LAVONNE	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.92	0.00	15.92	90.0	14.33
03/01/2018	DOANE, LAVONNE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.67	0.00	1.67	90.0	1.50
03/01/2018	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	23.84	0.00	23.84	90.0	21.46
03/01/2018	DAHL, NANCY JOHNSON	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.50	0.00	3.50	90.0	3.15
03/01/2018	GOCHE, ANN	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.97	0.00	11.97	90.0	10.77
03/01/2018	JOHNSON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.71	0.00	3.71	90.0	3.34
03/01/2018	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.68	0.00	21.68	90.0	19.51
03/01/2018	KESSLER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	64.45	0.00	64.45	90.0	58.01
03/01/2018	KESSLER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.56	0.00	5.56	90.0	5.00
03/01/2018	LEONARD TRUST, REA	AM FUNDS INCOME FUND OF AMERICA A	0.00	87.47	0.00	87.47	90.0	78.72
03/01/2018	VENTEICHER, DEBRA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.75	0.00	1.75	90.0	1.58
03/01/2018	ENRIGHT, EDWIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.55	0.00	16.55	90.0	14.90
03/01/2018	GERZEMA, MARLENE	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.10	0.00	6.10	90.0	5.49
03/01/2018	GERZEMA, MARLENE	AM FUNDS INCOME FUND OF AMERICA A	0.00	34.08	0.00	34.08	90.0	30.67
03/01/2018	SCHLAKE, ELROY	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.28	0.00	4.28	90.0	3.85
03/01/2018	NUTTING, MARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	38.42	0.00	38.42	90.0	34.58
03/01/2018	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.64	0.00	11.64	90.0	10.48
03/01/2018	KETTWICK, CAROLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.88	0.00	5.88	90.0	5.29
03/01/2018	REIDEL, DENNIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.71	0.00	2.71	90.0	2.44
03/01/2018	LOW, ANN	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.75	0.00	8.75	90.0	7.88
03/01/2018	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	19.21	0.00	19.21	90.0	17.29
03/01/2018	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.57	0.00	9.57	90.0	8.61
03/01/2018	ENRIGHT, JANET	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.22	0.00	7.22	90.0	6.50
03/01/2018	LEONARD, GARY	AM FUNDS INCOME FUND OF AMERICA-529	0.00	11.95	0.00	11.95	90.0	10.76
03/01/2018	JOHNSON, DONNA	AM FUNDS INCOME PORTFOLIO A	0.00	2.64	0.00	2.64	90.0	2.38
03/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS INTL GROWTH AND INCOME A	0.00	67.85	0.00	67.85	90.0	61.07
03/01/2018	BRUNS, MARCUS	AM FUNDS INTL GROWTH AND INCOME A	0.00	5.69	0.00	5.69	90.0	5.12
03/01/2018	KESSLER, JUDITH	AM FUNDS INTL GROWTH AND INCOME A	0.00	7.29	0.00	7.29	90.0	6.56
03/01/2018	KESSLER, ROBERT	AM FUNDS INTL GROWTH AND INCOME A	0.00	28.52	0.00	28.52	90.0	25.67
03/01/2018	LEONARD TRUST, REA	AM FUNDS INTL GROWTH AND INCOME A	0.00	89.01	0.00	89.01	90.0	80.11
03/01/2018	VENTEICHER, DEBRA	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.67	0.00	1.67	90.0	1.50

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	KETTWICK, MICHAEL	AM FUNDS INTL GROWTH AND INCOME A	0.00	25.28	0.00	25.28	90.0	22.75
03/01/2018	PRICE, TERESA	AM FUNDS INTL GROWTH AND INCOME A	0.00	6.32	0.00	6.32	90.0	5.69
03/01/2018	ENRIGHT, EDWIN	AM FUNDS INTL GROWTH AND INCOME A	0.00	63.80	0.00	63.80	90.0	57.42
03/01/2018	GERZEMA, MARLENE	AM FUNDS INTL GROWTH AND INCOME A	0.00	17.07	0.00	17.07	90.0	15.36
03/01/2018	BROWN, LISA	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.09	0.00	3.09	90.0	2.78
03/01/2018	VANDERWILT, TRISHA	AM FUNDS INTL GROWTH AND INCOME A	0.00	7.20	0.00	7.20	90.0	6.48
03/01/2018	TORNQUIST, PATRICIA	AM FUNDS INTL GROWTH AND INCOME A	0.00	7.68	0.00	7.68	90.0	6.91
03/01/2018	TORNQUIST, SCOTT	AM FUNDS INTL GROWTH AND INCOME A	0.00	8.45	0.00	8.45	90.0	7.61
03/01/2018	BURNSIDE, KELLY	AM FUNDS INTL GROWTH AND INCOME A	0.00	13.06	0.00	13.06	90.0	11.75
03/01/2018	LINDBORG, LISA	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.81	0.00	4.81	90.0	4.33
03/01/2018	BUSS, KAREN	AM FUNDS INTL GROWTH AND INCOME A	0.00	19.96	0.00	19.96	90.0	17.96
03/01/2018	GROSS, JUDY	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.07	0.00	4.07	90.0	3.66
03/01/2018	JASS, ARLENE	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.70	0.00	4.70	90.0	4.23
03/01/2018	LOW, ANN	AM FUNDS INTL GROWTH AND INCOME A	0.00	8.64	0.00	8.64	90.0	7.78
03/01/2018	DOANE, ELDON	AM FUNDS NEW ECONOMY A	0.00	24.48	0.00	24.48	90.0	22.03
03/01/2018	O'CONNELL, LANE	AM FUNDS NEW ECONOMY A	0.00	31.15	0.00	31.15	90.0	28.04
03/01/2018	O'CONNELL, RUSSELL	AM FUNDS NEW ECONOMY A	0.00	22.92	0.00	22.92	90.0	20.63
03/01/2018	AUDINO, JAMES	AM FUNDS NEW ECONOMY A	0.00	20.31	0.00	20.31	90.0	18.28
03/01/2018	SCHLICHTING, LAURA	AM FUNDS NEW ECONOMY A	0.00	6.21	0.00	6.21	90.0	5.59
03/01/2018	JOHNSON, MICHAEL	AM FUNDS NEW ECONOMY A	0.00	18.03	0.00	18.03	90.0	16.23
03/01/2018	KESSLER, JUDITH	AM FUNDS NEW ECONOMY A	0.00	24.97	0.00	24.97	90.0	22.47
03/01/2018	KESSLER, ROBERT	AM FUNDS NEW ECONOMY A	0.00	21.36	0.00	21.36	90.0	19.22
03/01/2018	LEONARD TRUST, REA	AM FUNDS NEW ECONOMY A	0.00	28.93	0.00	28.93	90.0	26.04
03/01/2018	VENTEICHER, DEBRA	AM FUNDS NEW ECONOMY A	0.00	3.11	0.00	3.11	90.0	2.80
03/01/2018	KETTWICK, MICHAEL	AM FUNDS NEW ECONOMY A	0.00	17.01	0.00	17.01	90.0	15.31
03/01/2018	JASS, ARLENE	AM FUNDS NEW ECONOMY A	0.00	9.09	0.00	9.09	90.0	8.18
03/01/2018	LEONARD, GARY	AM FUNDS NEW PERSPECTIVE 529A	0.00	16.75	0.00	16.75	90.0	15.08
02/21/2018	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	9.47	0.00	9.47	90.0	8.52
02/21/2018	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	8.02	0.00	8.02	90.0	7.22
03/01/2018	HEMER, JOE	AM FUNDS NEW PERSPECTIVE A	0.00	59.65	0.00	59.65	90.0	53.69
03/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS NEW PERSPECTIVE A	0.00	95.49	0.00	95.49	90.0	85.94
03/01/2018	DOANE, ELDON	AM FUNDS NEW PERSPECTIVE A	0.00	24.68	0.00	24.68	90.0	22.21
03/01/2018	DOANE, LAVONNE	AM FUNDS NEW PERSPECTIVE A	0.00	29.85	0.00	29.85	90.0	26.87

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	O'CONNELL, LANE	AM FUNDS NEW PERSPECTIVE A	0.00	12.46	0.00	12.46	90.0	11.21
03/01/2018	O'CONNELL, RUSSELL	AM FUNDS NEW PERSPECTIVE A	0.00	61.11	0.00	61.11	90.0	55.00
03/01/2018	AUDINO, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	40.50	0.00	40.50	90.0	36.45
03/01/2018	WEBER, PAUL	AM FUNDS NEW PERSPECTIVE A	0.00	50.64	0.00	50.64	90.0	45.58
03/01/2018	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	0.00	10.21	0.00	10.21	90.0	9.19
03/01/2018	MATHISON, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	15.09	0.00	15.09	90.0	13.58
03/01/2018	DAHL, NANCY JOHNSON	AM FUNDS NEW PERSPECTIVE A	0.00	4.99	0.00	4.99	90.0	4.49
03/01/2018	SCHLICHTING, LAURA	AM FUNDS NEW PERSPECTIVE A	0.00	10.94	0.00	10.94	90.0	9.85
03/01/2018	GOCHE, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	40.65	0.00	40.65	90.0	36.59
03/01/2018	O'CONNELL, LANE	AM FUNDS NEW PERSPECTIVE A	0.00	7.58	0.00	7.58	90.0	6.82
03/01/2018	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	0.00	9.73	0.00	9.73	90.0	8.76
03/01/2018	HANSON, CARRIE	AM FUNDS NEW PERSPECTIVE A	0.00	2.83	0.00	2.83	90.0	2.55
03/01/2018	JOHNSON, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	3.81	0.00	3.81	90.0	3.43
03/01/2018	MAKI, LINDA	AM FUNDS NEW PERSPECTIVE A	0.00	3.92	0.00	3.92	90.0	3.53
03/01/2018	GOCHE, STEVE	AM FUNDS NEW PERSPECTIVE A	0.00	11.24	0.00	11.24	90.0	10.12
03/01/2018	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	0.00	22.96	0.00	22.96	90.0	20.66
03/01/2018	JOHNSON, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	3.53	0.00	3.53	90.0	3.18
03/01/2018	MOKLESTAD, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	1.29	0.00	1.29	90.0	1.16
03/01/2018	KESSLER, JUDITH	AM FUNDS NEW PERSPECTIVE A	0.00	31.90	0.00	31.90	90.0	28.71
03/01/2018	KESSLER, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	42.29	0.00	42.29	90.0	38.06
03/01/2018	NUTTING, BRETT	AM FUNDS NEW PERSPECTIVE A	0.00	4.78	0.00	4.78	90.0	4.30
03/01/2018	DAHL, NANCY M JOHNSON	AM FUNDS NEW PERSPECTIVE A	0.00	7.69	0.00	7.69	90.0	6.92
03/01/2018	LEONARD TRUST, REA	AM FUNDS NEW PERSPECTIVE A	0.00	168.38	0.00	168.38	90.0	151.54
03/01/2018	VENTEICHER, DEBRA	AM FUNDS NEW PERSPECTIVE A	0.00	8.93	0.00	8.93	90.0	8.04
03/01/2018	KETTWICK, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	62.91	0.00	62.91	90.0	56.62
03/01/2018	MEINDERS, MICHELLE	AM FUNDS NEW PERSPECTIVE A	0.00	7.53	0.00	7.53	90.0	6.78
03/01/2018	PRICE, TERESA	AM FUNDS NEW PERSPECTIVE A	0.00	22.23	0.00	22.23	90.0	20.01
03/01/2018	ENRIGHT, EDWIN	AM FUNDS NEW PERSPECTIVE A	0.00	71.96	0.00	71.96	90.0	64.76
03/01/2018	MEINDERS, DAWN	AM FUNDS NEW PERSPECTIVE A	0.00	11.03	0.00	11.03	90.0	9.93
03/01/2018	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	0.00	14.00	0.00	14.00	90.0	12.60
03/01/2018	NUTTING, STUART	AM FUNDS NEW PERSPECTIVE A	0.00	5.20	0.00	5.20	90.0	4.68
03/01/2018	VANDER WILT, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	10.75	0.00	10.75	90.0	9.68
03/01/2018	HOLLEY, CASEY	AM FUNDS NEW PERSPECTIVE A	0.00	0.81	0.00	0.81	90.0	0.73

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
03/01/2018	GERZEMA, MARLENE	AM FUNDS NEW PERSPECTIVE A	0.00	22.57	0.00	22.57	90.0	20.31
03/01/2018	SCHLAKE, ELROY	AM FUNDS NEW PERSPECTIVE A	0.00	11.76	0.00	11.76	90.0	10.58
03/01/2018	MCCLEES, MARTIN	AM FUNDS NEW PERSPECTIVE A	0.00	1.72	0.00	1.72	90.0	1.55
03/01/2018	NUTTING, MARY	AM FUNDS NEW PERSPECTIVE A	0.00	41.74	0.00	41.74	90.0	37.57
03/01/2018	BROWN, LISA	AM FUNDS NEW PERSPECTIVE A	0.00	2.00	0.00	2.00	90.0	1.80
03/01/2018	KETTWICK, TROY	AM FUNDS NEW PERSPECTIVE A	0.00	4.14	0.00	4.14	90.0	3.73
03/01/2018	KETTWICK, CAROLE	AM FUNDS NEW PERSPECTIVE A	0.00	6.56	0.00	6.56	90.0	5.90
03/01/2018	NUTTING, KIMBERLY	AM FUNDS NEW PERSPECTIVE A	0.00	0.68	0.00	0.68	90.0	0.61
03/01/2018	VANDERWILT, TRISHA	AM FUNDS NEW PERSPECTIVE A	0.00	10.42	0.00	10.42	90.0	9.38
03/01/2018	TORNQUIST, PATRICIA	AM FUNDS NEW PERSPECTIVE A	0.00	9.22	0.00	9.22	90.0	8.30
03/01/2018	VANDERWILT, TRISHA	AM FUNDS NEW PERSPECTIVE A	0.00	4.56	0.00	4.56	90.0	4.10
03/01/2018	CAMPBELL, DENISE	AM FUNDS NEW PERSPECTIVE A	0.00	32.17	0.00	32.17	90.0	28.95
03/01/2018	HEMER, EILEEN	AM FUNDS NEW PERSPECTIVE A	0.00	23.04	0.00	23.04	90.0	20.74
03/01/2018	UNDERWOOD, DEBRA	AM FUNDS NEW PERSPECTIVE A	0.00	3.67	0.00	3.67	90.0	3.30
03/01/2018	MOKLESTAD, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	3.11	0.00	3.11	90.0	2.80
03/01/2018	BUSS, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	23.59	0.00	23.59	90.0	21.23
03/01/2018	GROSS, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	12.52	0.00	12.52	90.0	11.27
03/01/2018	LOW, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	14.71	0.00	14.71	90.0	13.24
03/01/2018	LOW, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	8.27	0.00	8.27	90.0	7.44
03/01/2018	AUDINO, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	21.58	0.00	21.58	90.0	19.42
03/01/2018	HEMER, JOE	AM FUNDS NEW WORLD A	0.00	18.91	0.00	18.91	90.0	17.02
03/01/2018	DOANE TRUST, LAVONNE	AM FUNDS NEW WORLD A	0.00	4.61	0.00	4.61	90.0	4.15
03/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS NEW WORLD A	0.00	82.22	0.00	82.22	90.0	74.00
03/01/2018	O'CONNELL, RUSSELL	AM FUNDS NEW WORLD A	0.00	49.42	0.00	49.42	90.0	44.48
03/01/2018	WEBER, PAUL	AM FUNDS NEW WORLD A	0.00	8.68	0.00	8.68	90.0	7.81
03/01/2018	AUDINO, JAMES	AM FUNDS NEW WORLD A	0.00	4.87	0.00	4.87	90.0	4.38
03/01/2018	WEBER, PAUL	AM FUNDS NEW WORLD A	0.00	5.74	0.00	5.74	90.0	5.17
03/01/2018	SCHLICHTING, LAURA	AM FUNDS NEW WORLD A	0.00	3.52	0.00	3.52	90.0	3.17
03/01/2018	GOCHE, ANN	AM FUNDS NEW WORLD A	0.00	6.45	0.00	6.45	90.0	5.81
03/01/2018	BRUNS, MARCUS	AM FUNDS NEW WORLD A	0.00	13.66	0.00	13.66	90.0	12.29
03/01/2018	SCHLICHTING, STEVE	AM FUNDS NEW WORLD A	0.00	5.76	0.00	5.76	90.0	5.18
03/01/2018	GOCHE, STEVE	AM FUNDS NEW WORLD A	0.00	13.61	0.00	13.61	90.0	12.25
03/01/2018	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	0.00	23.22	0.00	23.22	90.0	20.90

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	KESSLER, ROBERT	AM FUNDS NEW WORLD A	0.00	20.09	0.00	20.09	90.0	18.08
03/01/2018	DAHL, NANCY M JOHNSON	AM FUNDS NEW WORLD A	0.00	7.01	0.00	7.01	90.0	6.31
03/01/2018	GRIFFITT, KENNETH	AM FUNDS NEW WORLD A	0.00	12.91	0.00	12.91	90.0	11.62
03/01/2018	LEONARD TRUST, REA	AM FUNDS NEW WORLD A	0.00	108.12	0.00	108.12	90.0	97.31
03/01/2018	VENTEICHER, DEBRA	AM FUNDS NEW WORLD A	0.00	4.42	0.00	4.42	90.0	3.98
03/01/2018	KETTWICK, MICHAEL	AM FUNDS NEW WORLD A	0.00	38.46	0.00	38.46	90.0	34.61
03/01/2018	MEINDERS, MICHELLE	AM FUNDS NEW WORLD A	0.00	2.51	0.00	2.51	90.0	2.26
03/01/2018	PRICE, TERESA	AM FUNDS NEW WORLD A	0.00	21.36	0.00	21.36	90.0	19.22
03/01/2018	MEINDERS, DAWN	AM FUNDS NEW WORLD A	0.00	8.12	0.00	8.12	90.0	7.31
03/01/2018	VANDER WILT, BRIAN	AM FUNDS NEW WORLD A	0.00	13.91	0.00	13.91	90.0	12.52
03/01/2018	SCHLAKE, ELROY	AM FUNDS NEW WORLD A	0.00	8.47	0.00	8.47	90.0	7.62
03/01/2018	BRUNS, JOLENE	AM FUNDS NEW WORLD A	0.00	8.92	0.00	8.92	90.0	8.03
03/01/2018	NUTTING, MARY	AM FUNDS NEW WORLD A	0.00	20.02	0.00	20.02	90.0	18.02
03/01/2018	BROWN, LISA	AM FUNDS NEW WORLD A	0.00	9.53	0.00	9.53	90.0	8.58
03/01/2018	VANDERWILT, TRISHA	AM FUNDS NEW WORLD A	0.00	7.41	0.00	7.41	90.0	6.67
03/01/2018	REIDEL, DENNIS	AM FUNDS NEW WORLD A	0.00	15.10	0.00	15.10	90.0	13.59
03/01/2018	TORNQUIST, PATRICIA	AM FUNDS NEW WORLD A	0.00	6.23	0.00	6.23	90.0	5.61
03/01/2018	TORNQUIST, SCOTT	AM FUNDS NEW WORLD A	0.00	8.77	0.00	8.77	90.0	7.89
03/01/2018	BURNSIDE, KELLY	AM FUNDS NEW WORLD A	0.00	13.38	0.00	13.38	90.0	12.04
03/01/2018	CAMPBELL, DENISE	AM FUNDS NEW WORLD A	0.00	14.01	0.00	14.01	90.0	12.61
03/01/2018	HEMER, EILEEN	AM FUNDS NEW WORLD A	0.00	15.85	0.00	15.85	90.0	14.27
03/01/2018	LINDEMANN, ROBIN	AM FUNDS NEW WORLD A	0.00	6.49	0.00	6.49	90.0	5.84
03/01/2018	UNDERWOOD, RONALD	AM FUNDS NEW WORLD A	0.00	2.79	0.00	2.79	90.0	2.51
03/01/2018	GROSS, JUDY	AM FUNDS NEW WORLD A	0.00	37.00	0.00	37.00	90.0	33.30
03/01/2018	LEONARD, ISSAC	AM FUNDS NEW WORLD A	0.00	10.56	0.00	10.56	90.0	9.50
03/01/2018	LEONARD, CARA	AM FUNDS NEW WORLD A	0.00	6.68	0.00	6.68	90.0	6.01
03/01/2018	KONEFES, ALISON	AM FUNDS NEW WORLD A	0.00	0.44	0.00	0.44	90.0	0.40
03/01/2018	GROSS, ALISON	AM FUNDS NEW WORLD A	0.00	10.61	0.00	10.61	90.0	9.55
03/01/2018	LOW, ANN	AM FUNDS NEW WORLD A	0.00	9.80	0.00	9.80	90.0	8.82
03/01/2018	LOW, ANN	AM FUNDS NEW WORLD A	0.00	5.49	0.00	5.49	90.0	4.94
03/01/2018	HEMER, JOE	AM FUNDS SMALLCAP WORLD A	0.00	41.23	0.00	41.23	90.0	37.11
03/01/2018	DOANE TRUST, LAVONNE	AM FUNDS SMALLCAP WORLD A	0.00	3.64	0.00	3.64	90.0	3.28
03/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS SMALLCAP WORLD A	0.00	69.04	0.00	69.04	90.0	62.14

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	DOANE, ELDON	AM FUNDS SMALLCAP WORLD A	0.00	24.15	0.00	24.15	90.0	21.74
03/01/2018	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	0.00	38.32	0.00	38.32	90.0	34.49
03/01/2018	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	0.00	12.08	0.00	12.08	90.0	10.87
03/01/2018	O'CONNELL, RUSSELL	AM FUNDS SMALLCAP WORLD A	0.00	29.72	0.00	29.72	90.0	26.75
03/01/2018	AUDINO, JAMES	AM FUNDS SMALLCAP WORLD A	0.00	18.86	0.00	18.86	90.0	16.97
03/01/2018	SCHMIT, MARILYN	AM FUNDS SMALLCAP WORLD A	0.00	36.88	0.00	36.88	90.0	33.19
03/01/2018	WEBER, PAUL	AM FUNDS SMALLCAP WORLD A	0.00	6.38	0.00	6.38	90.0	5.74
03/01/2018	BRUNS, MARCUS	AM FUNDS SMALLCAP WORLD A	0.00	5.15	0.00	5.15	90.0	4.64
03/01/2018	MATHISON, KAREN	AM FUNDS SMALLCAP WORLD A	0.00	10.02	0.00	10.02	90.0	9.02
03/01/2018	SCHLICHTING, LAURA	AM FUNDS SMALLCAP WORLD A	0.00	9.22	0.00	9.22	90.0	8.30
03/01/2018	GOCHE, ANN	AM FUNDS SMALLCAP WORLD A	0.00	40.79	0.00	40.79	90.0	36.71
03/01/2018	JOHNSON, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	2.68	0.00	2.68	90.0	2.41
03/01/2018	GOCHE, STEVE	AM FUNDS SMALLCAP WORLD A	0.00	14.83	0.00	14.83	90.0	13.35
03/01/2018	JOHNSON, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	2.74	0.00	2.74	90.0	2.47
03/01/2018	KESSLER, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	24.65	0.00	24.65	90.0	22.19
03/01/2018	LEONARD TRUST, REA	AM FUNDS SMALLCAP WORLD A	0.00	74.37	0.00	74.37	90.0	66.93
03/01/2018	VENTEICHER, DEBRA	AM FUNDS SMALLCAP WORLD A	0.00	6.30	0.00	6.30	90.0	5.67
03/01/2018	KETTWICK, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	31.61	0.00	31.61	90.0	28.45
03/01/2018	HOLLEY, CASEY	AM FUNDS SMALLCAP WORLD A	0.00	0.80	0.00	0.80	90.0	0.72
03/01/2018	SCHROEDER, PHILIP	AM FUNDS SMALLCAP WORLD A	0.00	15.11	0.00	15.11	90.0	13.60
03/01/2018	NUTTING, MARY	AM FUNDS SMALLCAP WORLD A	0.00	23.44	0.00	23.44	90.0	21.10
03/01/2018	ROUSE, DALE	AM FUNDS SMALLCAP WORLD A	0.00	5.32	0.00	5.32	90.0	4.79
03/01/2018	UNDERWOOD, RONALD	AM FUNDS SMALLCAP WORLD A	0.00	11.97	0.00	11.97	90.0	10.77
03/01/2018	REIDEL, DENNIS	AM FUNDS SMALLCAP WORLD A	0.00	10.46	0.00	10.46	90.0	9.41
03/01/2018	BURNSIDE, KELLY	AM FUNDS SMALLCAP WORLD A	0.00	17.23	0.00	17.23	90.0	15.51
03/01/2018	CAMPBELL, DENISE	AM FUNDS SMALLCAP WORLD A	0.00	21.22	0.00	21.22	90.0	19.10
03/01/2018	HEMER, EILEEN	AM FUNDS SMALLCAP WORLD A	0.00	30.24	0.00	30.24	90.0	27.22
03/01/2018	UNDERWOOD, RONALD	AM FUNDS SMALLCAP WORLD A	0.00	3.57	0.00	3.57	90.0	3.21
03/01/2018	MOKLESTAD, NANCY	AM FUNDS SMALLCAP WORLD A	0.00	3.00	0.00	3.00	90.0	2.70
03/01/2018	GROSS, ALISON	AM FUNDS SMALLCAP WORLD A	0.00	12.37	0.00	12.37	90.0	11.13
03/01/2018	HAGEN, ALAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	32.47	0.00	32.47	90.0	29.22
03/01/2018	DOANE, ELDON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	31.48	0.00	31.48	90.0	28.33
03/01/2018	O'CONNELL, RUSSELL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	24.75	0.00	24.75	90.0	22.28

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	AUDINO, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	31.76	0.00	31.76	90.0	28.58
03/01/2018	JOHNSON, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	17.36	0.00	17.36	90.0	15.62
03/01/2018	JOHNSON, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.49	0.00	4.49	90.0	4.04
03/01/2018	VENTEICHER, DEBRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.00	0.00	4.00	90.0	3.60
03/01/2018	KETTWICK, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.50	0.00	8.50	90.0	7.65
03/01/2018	ALBERT, JOY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	15.49	0.00	15.49	90.0	13.94
03/01/2018	AUDINO, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	15.43	0.00	15.43	90.0	13.89
03/01/2018	AUDINO, JAMES	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	6.11	0.00	6.11	90.0	5.50
03/01/2018	HANSON, SCOTT	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	10.17	0.00	10.17	90.0	9.15
03/01/2018	NUTTING, MARY	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	32.33	0.00	32.33	90.0	29.10
03/01/2018	KOSS-WINN BANC ESOP	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	9.90	0.00	9.90	90.0	8.91
03/01/2018	ENGBRETSON, ROGER	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	39.49	0.00	39.49	90.0	35.54
03/01/2018	ENGBRETSON, LINDA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	10.52	0.00	10.52	90.0	9.47
03/01/2018	UNDERWOOD, RONALD	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	10.03	0.00	10.03	90.0	9.03
03/01/2018	LOW, ANN	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	3.22	0.00	3.22	90.0	2.90
03/01/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	4.07	0.00	4.07	90.0	3.66
03/01/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	9.60	0.00	9.60	90.0	8.64
03/01/2018	LOW, ANN	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	2.04	0.00	2.04	90.0	1.84
03/01/2018	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	6.90	0.00	6.90	90.0	6.21
03/01/2018	MEYER, TRAVIS	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.45	0.00	1.45	90.0	1.31
03/01/2018	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	14.15	0.00	14.15	90.0	12.74
03/01/2018	KOSS-WINN BANC ESOP	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	3.96	0.00	3.96	90.0	3.56
03/01/2018	GROTHE, CHRISTI	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	11.46	0.00	11.46	90.0	10.31
03/01/2018	THORSON, JILL	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.11	0.00	0.11	90.0	0.10
03/01/2018	DAHL, ERIK	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.75	0.00	1.75	90.0	1.58
03/01/2018	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	2.10	0.00	2.10	90.0	1.89
03/01/2018	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	5.40	0.00	5.40	90.0	4.86
03/01/2018	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	2.06	0.00	2.06	90.0	1.85
03/01/2018	KEHN, LEVI	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	4.47	0.00	4.47	90.0	4.02
03/01/2018	OBERMEYER, HEIDI	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	2.20	0.00	2.20	90.0	1.98
03/01/2018	ENGLISH, WILLIAM	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.28	0.00	0.28	90.0	0.25
03/01/2018	VACANTI, EMMA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.07	0.00	0.07	90.0	0.06
03/01/2018	THORSON, JILL	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.96	0.00	0.96	90.0	0.86

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	WEBER, PAUL	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	3.42	0.00	3.42	90.0	3.08
03/01/2018	KETTWICK, MICHAEL	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	52.62	0.00	52.62	90.0	47.36
03/01/2018	MEINDERS, DAWN	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	6.15	0.00	6.15	90.0	5.54
03/01/2018	SCHLAKE, ELROY	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	6.15	0.00	6.15	90.0	5.54
03/01/2018	NUTTING, MARY	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	13.13	0.00	13.13	90.0	11.82
03/01/2018	GROSS, ROBERT	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	43.43	0.00	43.43	90.0	39.09
03/01/2018	REIDEL, DENNIS	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	4.37	0.00	4.37	90.0	3.93
03/01/2018	LEONARD, ISSAC	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	9.19	0.00	9.19	90.0	8.27
03/01/2018	LEONARD, CARA	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.88	0.00	5.88	90.0	5.29
03/01/2018	LEONARD, CARA	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	7.17	0.00	7.17	90.0	6.45
03/01/2018	LEONARD, ISAAC	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.73	0.00	5.73	90.0	5.16
03/01/2018	GROSS, ALISON	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	9.38	0.00	9.38	90.0	8.44
02/16/2018	CAMPBELL, DENISE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.96	0.00	3.96	90.0	3.56
02/20/2018	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	56.80	0.00	56.80	90.0	51.12
02/20/2018	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	61.42	0.00	61.42	90.0	55.28
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$11,346.36	\$0.00	\$11,346.36		\$10,212.04
*** DIRECT TRANSACTIONS TOTAL ***			\$79,426.48	\$11,936.34	\$0.00	\$11,936.34		\$10,743.17
<u>ADJUSTMENTS</u>								
03/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
03/15/2018		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
03/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
03/15/2018		*MARKETING PRO: PRO USER	0.00					-45.00
03/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.98
03/15/2018		*TECHNOLOGY: ADMIN - E ROELIKE	0.00					-130.00
03/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-699.98

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BROKER COMMISSION TOTALS

Broker Transactions	\$10,743.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-699.98
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,043.19
Year-to-date Gross	\$95,248.60
Year-to-date Earnings	\$85,530.11