

# Bill Payment Stub

|               |          |
|---------------|----------|
| Check Date:   | 2/7/2018 |
| Check No.:    | ACH      |
| Check Amount: | 1,197.58 |

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: GEORGE Q. OFFUTT  
P.O. BOX 3003  
MARBLE FALLS, TX 78654-3003

| Date      | Type | Reference   | Original Amt. | Balance  | Discount | Payment  |
|-----------|------|-------------|---------------|----------|----------|----------|
| 1/31/2018 | Bill | COMMISSIONS | 1,197.58      | 1,197.58 |          | 1,197.58 |

# COMMISSION STATEMENT

January 31, 2018

GEORGE OFFUTT-D067

BROKER #: D067

BRANCH: DMT

, TX

## COMMISSION STATEMENT for GEORGE OFFUTT-D067

| TRADE DATE                                | CLIENT    | INVESTMENT      | B/S | INVESTMENT<br>AMOUNT            | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE    | BROKER<br>COMMISSION |      |          |
|---|-----------|-----------------|-----|---------------------------------|---------------------|--------------------|-------------------|---------|----------------------|------|----------|
| CLEARING TRANSACTIONS                     |           |                 |     |                                 |                     |                    |                   |         |                      |      |          |
| PRODUCT CATEGORY: MUTUAL FUNDS            |           |                 |     |                                 |                     |                    |                   |         |                      |      |          |
| 01/03/2018                                | 368324869 | WALTON, WANDA   | MF  | DEUTSCHE CORE EQUITY FUND-A     | B                   | -7,197.55          | 0.00              | 5.00    | -5.00                | 90.0 | -4.50    |
| * MUTUAL FUNDS SUBTOTAL *                 |           |                 |     |                                 |                     | \$-7,197.55        | \$0.00            | \$5.00  | \$-5.00              |      | \$-4.50  |
| PRODUCT CATEGORY: STOCKS                  |           |                 |     |                                 |                     |                    |                   |         |                      |      |          |
| 01/19/2018                                | 306847669 | CORPORATION, GO | S   | CHESAPEAKE ENERGY CORP (OK)     | S                   | -1,938.50          | 98.00             | 38.00   | 60.00                | 90.0 | 54.00    |
| 01/19/2018                                | 306858156 | CORPORATION, GO | S   | CHESAPEAKE ENERGY CORP (OK)     | B                   | 7,157.45           | 155.00            | 15.00   | 140.00               | 90.0 | 126.00   |
| * STOCKS SUBTOTAL *                       |           |                 |     |                                 |                     | \$5,218.95         | \$253.00          | \$53.00 | \$200.00             |      | \$180.00 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS |           |                 |     |                                 |                     |                    |                   |         |                      |      |          |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | AIM CHARTER FUND - CLASS A      | B                   | 0.00               | 1.47              | 0.00    | 1.47                 | 90.0 | 1.32     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | AIM INTERNATIONAL GROWTH FUND - | B                   | 0.00               | 2.58              | 0.00    | 2.58                 | 90.0 | 2.32     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | AIM INTERNATIONAL GROWTH FUND - | B                   | 0.00               | 2.63              | 0.00    | 2.63                 | 90.0 | 2.37     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | AIM INTERNATIONAL GROWTH FUND - | B                   | 0.00               | 5.47              | 0.00    | 5.47                 | 90.0 | 4.92     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | AIM INTERNATIONAL GROWTH FUND - | B                   | 0.00               | 11.61             | 0.00    | 11.61                | 90.0 | 10.45    |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | AIM TECHNOLOGY FUND - CLASS A   | B                   | 0.00               | 4.64              | 0.00    | 4.64                 | 90.0 | 4.18     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | ALLIANCE BERNSTEIN              | B                   | 0.00               | 1.95              | 0.00    | 1.95                 | 90.0 | 1.76     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | ALLIANCE BERNSTEIN              | B                   | 0.00               | 3.24              | 0.00    | 3.24                 | 90.0 | 2.92     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | ALLIANCEBERNSTEIN GROWTH A      | B                   | 0.00               | 2.66              | 0.00    | 2.66                 | 90.0 | 2.39     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | ALLIANCEBERNSTEIN GROWTH A      | B                   | 0.00               | 3.13              | 0.00    | 3.13                 | 90.0 | 2.82     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | ALLIANZ NFJ SMALL CAP VALUE     | B                   | 0.00               | 0.75              | 0.00    | 0.75                 | 90.0 | 0.68     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | AMERICAN BALANCED FUND-A        | B                   | 0.00               | 0.10              | 0.00    | 0.10                 | 90.0 | 0.09     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | BLACKROCK HIGH YIELD BOND FUND  | B                   | 0.00               | 9.12              | 0.00    | 9.12                 | 90.0 | 8.21     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | BLACKROCK GLOBAL RESOURCES FUND | B                   | 0.00               | 0.69              | 0.00    | 0.69                 | 90.0 | 0.62     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | BLACKROCK GLOBAL RESOURCES FUND | B                   | 0.00               | 0.79              | 0.00    | 0.79                 | 90.0 | 0.71     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | BLK 091928788                   | B                   | 0.00               | 1.92              | 0.00    | 1.92                 | 90.0 | 1.73     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | COLUMBIA SMALL CAP VALUE FUND I | B                   | 0.00               | 2.70              | 0.00    | 2.70                 | 90.0 | 2.43     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | COLUMBIA SELECT INTL EQUITY     | B                   | 0.00               | 2.34              | 0.00    | 2.34                 | 90.0 | 2.11     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | DAVIS NEW YORK VENTURE CLASS A  | B                   | 0.00               | 0.35              | 0.00    | 0.35                 | 90.0 | 0.32     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | DAVIS NEW YORK VENTURE CLASS A  | B                   | 0.00               | 1.37              | 0.00    | 1.37                 | 90.0 | 1.23     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | DAVIS NEW YORK VENTURE CLASS A  | B                   | 0.00               | 2.49              | 0.00    | 2.49                 | 90.0 | 2.24     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | DAVIS NEW YORK VENTURE CLASS A  | B                   | 0.00               | 3.36              | 0.00    | 3.36                 | 90.0 | 3.02     |
| 01/23/2018                                | mfc668438 | OFFUTT 668438   | MFT | DAVIS NEW YORK VENTURE CLASS A  | B                   | 0.00               | 6.32              | 0.00    | 6.32                 | 90.0 | 5.69     |

COMMISSION STATEMENT for GEORGE OFFUTT-D067

| TRADE DATE                           | CLIENT    | INVESTMENT    | B/S                                 | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE     | BROKER<br>COMMISSION |          |
|--------------------------------------|-----------|---------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|----------|----------------------|----------|
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT DAVIS NEW YORK VENTURE CLASS A  | B                    | 0.00                | 10.90              | 0.00              | 10.90    | 90.0                 | 9.81     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT DELAWARE DIVIDEND INCOME FUND A | B                    | 0.00                | 2.56               | 0.00              | 2.56     | 90.0                 | 2.30     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE CROCI                  | B                    | 0.00                | 3.25               | 0.00              | 3.25     | 90.0                 | 2.93     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE CROCI                  | B                    | 0.00                | 4.41               | 0.00              | 4.41     | 90.0                 | 3.97     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE CROCI                  | B                    | 0.00                | 4.79               | 0.00              | 4.79     | 90.0                 | 4.31     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE CORE EQUITY FUND-A     | B                    | 0.00                | 5.18               | 0.00              | 5.18     | 90.0                 | 4.66     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE REAL ESTATE SECURITIES | B                    | 0.00                | 0.90               | 0.00              | 0.90     | 90.0                 | 0.81     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE SCIENCE AND TECHNOLOGY | B                    | 0.00                | 1.55               | 0.00              | 1.55     | 90.0                 | 1.40     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT EATON VANCE NATIONAL MUNICIPAL  | B                    | 0.00                | 11.07              | 0.00              | 11.07    | 90.0                 | 9.96     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT EHV 277902813                   | B                    | 0.00                | 1.11               | 0.00              | 1.11     | 90.0                 | 1.00     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT INVESCO AMERICAN FRANCHISE FUND | B                    | 0.00                | 4.74               | 0.00              | 4.74     | 90.0                 | 4.27     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT INVESCO AMERICAN FRANCHISE FUND | B                    | 0.00                | 7.39               | 0.00              | 7.39     | 90.0                 | 6.65     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT INVESCO AMERICAN FRANCHISE FUND | B                    | 0.00                | 12.60              | 0.00              | 12.60    | 90.0                 | 11.34    |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT INVESCO AMERICAN FRANCHISE FUND | B                    | 0.00                | 17.50              | 0.00              | 17.50    | 90.0                 | 15.75    |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT INVESCO AMERICAN FRANCHISE FUND | B                    | 0.00                | 18.39              | 0.00              | 18.39    | 90.0                 | 16.55    |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT INVESCO LOW VOLATILITY EQTY     | B                    | 0.00                | 6.62               | 0.00              | 6.62     | 90.0                 | 5.96     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT IVY GLOBAL NATURAL RESOURCES    | B                    | 0.00                | 0.81               | 0.00              | 0.81     | 90.0                 | 0.73     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT IVY SCIENCE AND TECHNOLOGY FUND | B                    | 0.00                | 1.21               | 0.00              | 1.21     | 90.0                 | 1.09     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT OPPENHEIMERFUNDS 68380E304      | B                    | 0.00                | 1.20               | 0.00              | 1.20     | 90.0                 | 1.08     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT OPPENHEIMER SMALL & MID CAP     | B                    | 0.00                | 4.32               | 0.00              | 4.32     | 90.0                 | 3.89     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT TEMP FRGN FND - CL A            | B                    | 0.00                | 0.93               | 0.00              | 0.93     | 90.0                 | 0.84     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT TEMP WORLD FND - CL A           | B                    | 0.00                | 1.65               | 0.00              | 1.65     | 90.0                 | 1.49     |
| 01/23/2018                           | mfc668438 | OFFUTT 668438 | MFT VICTORY MUNDER MULTI CAP FUND   | B                    | 0.00                | 1.23               | 0.00              | 1.23     | 90.0                 | 1.11     |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * |           |               |                                     |                      | \$0.00              | \$195.99           | \$0.00            | \$195.99 |                      | \$176.43 |
| *** CLEARING TRANSACTIONS TOTAL ***  |           |               |                                     |                      | \$-1,978.60         | \$448.99           | \$58.00           | \$390.99 |                      | \$351.93 |

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

|            |                             |                                     |   |      |        |      |        |      |        |
|------------|-----------------------------|-------------------------------------|---|------|--------|------|--------|------|--------|
| 12/31/2017 | (None) VARIOUS, OFFUTT      | MFT ALLIANCE BERNSTEIN 12B-1        | B | 0.00 | 32.21  | 0.00 | 32.21  | 90.0 | 28.99  |
| 12/31/2017 | (None) VARIOUS, OFFUTT      | MFT COLUMBIA THREADNEEDLE           | B | 0.00 | 15.60  | 0.00 | 15.60  | 90.0 | 14.04  |
| 12/31/2017 | (None) VARIOUS, OFFUTT      | MFT DAVIS                           | B | 0.00 | 208.73 | 0.00 | 208.73 | 90.0 | 187.86 |
| 01/09/2018 | (None) BLACK, JESSICA       | MFT TEXAS 529 PLAN                  | B | 0.00 | 1.41   | 0.00 | 1.41   | 90.0 | 1.27   |
| 01/16/2018 | 00001323509 OFFUTT, BRADLEY | MFT DEUTSCHE CROCI                  | B | 0.00 | 1.32   | 0.00 | 1.32   | 90.0 | 1.19   |
| 01/16/2018 | 00001323509 OFFUTT, BRADLEY | MFT DEUTSCHE SCIENCE AND TECHNOLOGY | B | 0.00 | 1.87   | 0.00 | 1.87   | 90.0 | 1.68   |
| 01/16/2018 | 00026254076 HILL, GERALD    | MFT DEUTSCHE CROCI                  | B | 0.00 | 57.39  | 0.00 | 57.39  | 90.0 | 51.65  |
| 01/16/2018 | 00026254076 HILL, GERALD    | MFT DEUTSCHE SCIENCE AND TECHNOLOGY | B | 0.00 | 65.88  | 0.00 | 65.88  | 90.0 | 59.29  |
| 01/16/2018 | 00133414408 OFFUTT, BRIAN   | MFT DEUTSCHE CROCI                  | B | 0.00 | 29.94  | 0.00 | 29.94  | 90.0 | 26.95  |
| 01/16/2018 | 00133414408 OFFUTT, BRIAN   | MFT DEUTSCHE SCIENCE AND TECHNOLOGY | B | 0.00 | 38.76  | 0.00 | 38.76  | 90.0 | 34.88  |
| 01/16/2018 | 00133414409 OFFUTT, LINDA   | MFT DEUTSCHE CROCI                  | B | 0.00 | 47.44  | 0.00 | 47.44  | 90.0 | 42.70  |
| 01/16/2018 | 00133414409 OFFUTT, LINDA   | MFT DEUTSCHE SCIENCE AND TECHNOLOGY | B | 0.00 | 58.79  | 0.00 | 58.79  | 90.0 | 52.91  |
| 01/16/2018 | 00133414830 OFFUTT, GEORGE  | MFT DEUTSCHE SCIENCE AND TECHNOLOGY | B | 0.00 | 24.16  | 0.00 | 24.16  | 90.0 | 21.74  |
| 01/16/2018 | 00133414920 OFFUTT, BRADLEY | MFT DEUTSCHE CROCI                  | B | 0.00 | 11.46  | 0.00 | 11.46  | 90.0 | 10.31  |

COMMISSION STATEMENT for GEORGE OFFUTT-D067

| TRADE DATE  | CLIENT      | INVESTMENT      | B/S                                   | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE  | BROKER<br>COMMISSION |
|---|-------------|-----------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| 01/16/2018  | 00133414920 | OFFUTT, BRADLEY | MFT DEUTSCHE SCIENCE AND TECHNOLOGY B | 0.00                 | 11.38               | 0.00               | 11.38             | 90.0  | 10.24                |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL *                  |             |                 |                                       | \$0.00               | \$606.34            | \$0.00             | \$606.34          |       | \$545.70             |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> |             |                 |                                       |                      |                     |                    |                   |       |                      |
| 01/19/2018  | (None)      | OFFUTT, GEORGE  | VAT PRUDENTIAL LIFE                   | B                    | 0.00                | 21.00              | 0.00              | 21.00 | 18.90                |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *             |             |                 |                                       | \$0.00               | \$21.00             | \$0.00             | \$21.00           |       | \$18.90              |
| *** DIRECT TRANSACTIONS TOTAL ***                     |             |                 |                                       | \$0.00               | \$627.34            | \$0.00             | \$627.34          |       | \$564.60             |

ADJUSTMENTS

|                           |   |                     |        |        |        |        |          |
|---------------------------|---|---------------------|--------|--------|--------|--------|----------|
| 01/31/2018                | : | BROKER WORKSTATION  | 0.00   | 0.00   | 0.00   | 0.00   | -30.00   |
| 01/31/2018                | : | E-MAIL ACCOUNT      | 0.00   | 0.00   | 0.00   | 0.00   | -25.00   |
| 01/31/2018                | : | MONEY MARKET TRAILS | 0.00   | 0.00   | 0.00   | 0.00   | 336.05   |
| *** ADJUSTMENTS TOTAL *** |   |                     | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$281.05 |

BROKER COMMISSION TOTALS

|                       |          |
|-----------------------|----------|
| Broker Transactions   | \$916.53 |
| Split Transactions    | \$0.00   |
| Override Transactions | \$0.00   |
| Adjustments           | \$281.05 |
| Payroll Draw          | \$0.00   |
| Base Salary           | \$0.00   |
| Prior Period Balance  | \$0.00   |
| FINRA Assessment      | \$0.00   |
| SIPC Assessment       | \$0.00   |

|                          |            |
|--------------------------|------------|
| Net Commissions          | \$1,197.58 |
| Year-to-date Commissions | \$2,215.37 |