

COMMISSION STATEMENT
May 17, 2018

TRACY CUDA
3200 N. Central Ave
Suite 200
Phoenix, AZ 85012

BROKER #: 73670
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: CUE Financial Group, Inc

ADJUSTMENTS

PRIOR PERIOD BALANCE(SEE BELOW)							0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$-5.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-5.00
Year-to-date Earnings	\$0.00

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities AmericaBROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

05/17/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-250.00	0.00	-250.00	-91.38
05/17/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	245.00	0.00	245.00	89.55
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$-5.00</u>	<u>\$0.00</u>	<u>\$-5.00</u>	<u>\$-1.83</u>

PRODUCT CATEGORY: RIA

05/17/2018	CUDA (73670), TRACY	SAI ADVISORY INCOME (CUE RIA)	0.00	24.07	0.00	24.07	8.80
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$24.07</u>	<u>\$0.00</u>	<u>\$24.07</u>	<u>\$8.80</u>

PRODUCT CATEGORY: TRAILERS

05/17/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	11,062.11	0.00	11,062.11	4,043.20
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$11,062.11</u>	<u>\$0.00</u>	<u>\$11,062.11</u>	<u>\$4,043.20</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$11,081.18</u>	<u>\$0.00</u>	<u>\$11,081.18</u>	<u>\$4,050.17</u>

OVERRIDE TRANSACTIONSBROKER: JODI GALLOVICH #73712

05/17/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	112.68	0.00	112.68	15.16
05/17/2018	GALLOVICH (73712), JODI	SAI.TRAILS	0.00	57,742.01	0.00	57,742.01	7,766.30
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$57,854.69</u>	<u>\$0.00</u>	<u>\$57,854.69</u>	<u>\$7,781.46</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$57,854.69</u>	<u>\$0.00</u>	<u>\$57,854.69</u>	<u>\$7,781.46</u>

ADJUSTMENTS

22.	SAI SIPC PE 5/11/18						-106.65
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-106.65</u>

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$4,050.17
							Split Transactions \$0.00
							Override Transactions \$7,781.46
							Adjustments \$-106.65
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$11,724.98</u>
							<u>Year-to-date Earnings \$196,707.28</u>