



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 31, 2018

08/17/2018
Page No. 1

ALAN, L133 LICHTENSTEIN
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 08/13/2018 | SANTOS, TEODOCIA | PRUDENTIALDEFINED INCOME | 50,000.00 | 1,875.00 | 0.00 | 1,875.00 | 75.0 | 1,406.25 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$50,000.00 | \$1,875.00 | \$0.00 | \$1,875.00 | | \$1,406.25 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$50,000.00 | \$1,875.00 | \$0.00 | \$1,875.00 | | \$1,406.25 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$1,406.25 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-523.77 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$882.48 |
| Year-to-date Gross | \$25,450.40 |
| Year-to-date Earnings | \$18,952.96 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed