



All Companies

04/27/2018

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COMMISSION STATEMENT

May 01, 2018

FNB GILMER

BROKER #: 9619

BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for FNB GILMER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/02/2018	00002100036	BUNN, JIMMY	LIFESTYLE GROWTH PORTFOLIO C	B	458.33	4.58	0.00	4.58	30.0	1.37
04/02/2018	00002160101	GASTON, JERRY/JERI	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	5,000.00	50.00	0.00	50.00	30.0	15.00
04/20/2018	00086839205	GRAY, PATTI	THE BOND FUND OF AMERICA-C	B	100.00	1.00	0.00	1.00	30.0	0.30
04/10/2018	00087551447	GRIFFITH, ROBERT	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	30.0	0.30
04/02/2018	5470420167	GOMEZ, MARIA	PORTFOLIO SERIES: MODERATE INVESTOR B	B	50.00	0.50	0.00	0.50	30.0	0.15
				* MUTUAL FUNDS SUBTOTAL *	\$5,708.33	\$57.08	\$0.00	\$57.08		\$17.12

PRODUCT CATEGORY: VARIABLE ANNUITIES

04/06/2018	GRAY, PATTI	PRUDENTIAL INSURANCE CO.	B	100.00	2.00	0.00	2.00	30.0	0.60
* VARIABLE ANNUITIES SUBTOTAL *				\$100.00	\$2.00	\$0.00	\$2.00		\$0.60

PRODUCT CATEGORY: RIA

04/13/2018	22876508	SMITH, BARRY R & AMY E	SIGNAL SECURITIES RIA	B	0.00	1.00	0.00	1.00	30.0	0.30
04/13/2018	58127539	SMITH, AMY E	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	30.0	4.10
04/13/2018	58588928	DEAN, JERRY MACK	SIGNAL SECURITIES RIA	B	0.00	32.33	0.00	32.33	30.0	9.70
04/13/2018	60067561	SMITH, AMY E	SIGNAL SECURITIES RIA	B	0.00	14.67	0.00	14.67	30.0	4.40
04/13/2018	65483992	DEAN, JERRY MACK	SIGNAL SECURITIES RIA	B	0.00	15.00	0.00	15.00	30.0	4.50
04/13/2018	72066865	TDR CONTRACTORS	SIGNAL SECURITIES RIA	B	0.00	41.00	0.00	41.00	30.0	12.30
04/13/2018	73078546	SMITH, BARRY R & AMY E	SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33	30.0	1.00
04/13/2018	77686229	MOORE, GARY/PATRICIA	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	30.0	2.40
04/13/2018	88865815	DEAN, CHRISTIE ANN	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	30.0	3.30
04/13/2018	94358578	SMITH, BARRY R & AMY E	SIGNAL SECURITIES RIA	B	0.00	18.67	0.00	18.67	30.0	5.60
				* RIA SUBTOTAL *	\$0.00	\$158.67	\$0.00	\$158.67		\$47.60

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/13/2018	00001713020	HOOD, DORIS	JOHN HANCOCK TAX FREE BD FD CL A	B	0.00	7.58	0.00	7.58	30.0	2.27
04/13/2018	00002046284	WILSON, LINDSEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	13.52	0.00	13.52	30.0	4.06
04/13/2018	00002046289	WILSON, WESLEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	33.68	0.00	33.68	30.0	10.10
04/13/2018	00002046291	WILSON, WESLEY M.	ALTERNATIVE ASSET ALLOCATION C	B	0.00	12.94	0.00	12.94	30.0	3.88

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/13/2018	00002046296 WILSON, WESLEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	13.90	0.00	13.90	30.0	4.17
04/13/2018	00002048865 RODENBAUGH, DANIEL	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	17.85	0.00	17.85	30.0	5.36
04/13/2018	00002048869 WILSON, LINDSEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	10.29	0.00	10.29	30.0	3.09
04/13/2018	00002100036 BUNN, JIMMY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	27.09	0.00	27.09	30.0	8.13
04/13/2018	00002108329 ORMSBY, KENT W.	FLOATING RATE INCOME C	B	0.00	18.82	0.00	18.82	30.0	5.65
04/13/2018	00002108331 ORMSBY, KENT W.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	5.06	0.00	5.06	30.0	1.52
04/13/2018	00002111652 COOK, WILLIAM B.	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	24.61	0.00	24.61	30.0	7.38
04/13/2018	00002111653 COOK, WILLIAM B.	LIFESTYLE BALANCED PORTFOLIO C	B	0.00	143.04	0.00	143.04	30.0	42.91
04/13/2018	00002124014 EMORY, ADAM	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	12.76	0.00	12.76	30.0	3.83
04/13/2018	00002155310 CABRERA, GERARDO	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	8.86	0.00	8.86	30.0	2.66
04/13/2018	00002160101 GASTON, JERRY/JERI	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	175.72	0.00	175.72	30.0	52.72
04/13/2018	00002171725 HOOD, DORIS	ALTERNATIVE ASSET ALLOCATION FUND A	B	0.00	7.28	0.00	7.28	30.0	2.18
04/13/2018	00002175516 MURRAY, KC L.	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	75.97	0.00	75.97	30.0	22.79
04/13/2018	00002175517 MURRAY, KC L.	ALTERNATIVE ASSET ALLOCATION C	B	0.00	11.17	0.00	11.17	30.0	3.35
04/13/2018	00002177089 MURRAY, KC L.	FLOATING RATE INCOME C	B	0.00	15.18	0.00	15.18	30.0	4.55
04/13/2018	00002177090 MURRAY, KC L.	US GLOBAL LEADERS GROWTH C	B	0.00	21.14	0.00	21.14	30.0	6.34
04/13/2018	00002177091 MURRAY, KC L.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	22.46	0.00	22.46	30.0	6.74
04/13/2018	00002179884 BOWEN, JOHN A.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	22.93	0.00	22.93	30.0	6.88
04/13/2018	00002182326 TO (STA) TOD	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	45.88	0.00	45.88	30.0	13.76
	RULES, SUBJECT								
04/13/2018	00002182327 TO (STA) TOD	TAX-FREE BOND C	B	0.00	35.59	0.00	35.59	30.0	10.68
	RULES, SUBJECT								
04/13/2018	00002182328 TO (STA) TOD	ALTERNATIVE ASSET ALLOCATION C	B	0.00	22.21	0.00	22.21	30.0	6.66
	RULES, SUBJECT								
04/13/2018	00002188800 BEASON, LINDA	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	144.44	0.00	144.44	30.0	43.33
	PRESTON								
04/13/2018	00002189540 BEASON, LINDA	LIFESTYLE MODERATE PORTFOLIO C	B	0.00	129.17	0.00	129.17	30.0	38.75
	PRESTON								
04/13/2018	00002190489 SHULER, SUNNI A.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	0.00	3.80	0.00	3.80	30.0	1.14
04/13/2018	00002193224 WALLACE, ROY T.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	1.25	0.00	1.25	30.0	0.38
04/13/2018	00002329019 TORRES, ROBERTO	LIFESTYLE BALANCED PORTFOLIO C	B	0.00	65.38	0.00	65.38	30.0	19.61
04/13/2018	00002337567 DAVIS, PAUL J.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	47.27	0.00	47.27	30.0	14.18
04/13/2018	00002397528 BUNN, JIMMY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	5.86	0.00	5.86	30.0	1.76
04/13/2018	00002397536 BUNN, JIMMY	LIFESTYLE MODERATE PORTFOLIO C	B	0.00	2.19	0.00	2.19	30.0	0.66
04/13/2018	00003370537 GIPSON, RICKIE A.	JOHN HANCOCK SOVEREIGN BALANCED FD	B	0.00	0.24	0.00	0.24	30.0	0.07
04/13/2018	00003370539 GIPSON, RICKIE A.	JOHN HANCOCK GOVT INCOME FD CLA	B	0.00	0.07	0.00	0.07	30.0	0.02
04/13/2018	00003370540 GIPSON, RICKIE A.	JOHN HANCOCK STRATEGIC INCOME FD CL	B	0.00	0.09	0.00	0.09	30.0	0.03
04/13/2018	00003370541 GIPSON, RICKIE A.	STRATEGIC INCOME OPPORTUNITIES A	B	0.00	0.20	0.00	0.20	30.0	0.06
04/13/2018	00777102664 HOOD, DORIS	JOHN HANCOCK GROWTH & INCOME FD CL	B	0.00	25.28	0.00	25.28	30.0	7.58
04/13/2018	01001527243 HOOD, DORIS	JOHN HANCOCK GOVT INCOME FD CLA	B	0.00	8.86	0.00	8.86	30.0	2.66

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/13/2018	01001527243	HOOD, DORIS	JOHN HANCOCK SOVEREIGN BD FD CL A B	0.00	1.66	0.00	1.66	30.0	0.50
04/05/2018	BRK121950	TDR CONTRACTORS	AMERICAN FUNDS - 401K B	0.00	1,116.89	0.00	1,116.89	30.0	335.07
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,358.18	\$0.00	\$2,358.18		\$707.46
*** BROKER TRANSACTIONS TOTAL ***				\$5,808.33	\$2,575.93	\$0.00	\$2,575.93		\$772.78

BROKER COMMISSION TOTALS

Broker Transactions	\$772.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$772.78
Year-to-date Earnings	\$9,969.20