DOMINION INVESTOR SERVICES, INC.

COMMISSION STATEMENT

June 30, 2018

BRISTER TOM DPM

BROKER #: D111
BRANCH: DWA

, TX

COMMISSION STATEMENT for BRISTER TOM DPM

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			CLEARING T	RAN	SACTIONS					
PRODUCT CAT	EGORY: MUTUAL FUNDS									
06/08/2018 36	88293615 MARTIN, RONALD	MF	CAPITAL WORLD GROWTH AND INCOME	В	-19,992.55	0.00	5.00	-5.00	0.0	0.00
06/12/2018 36	8293615 MARTIN, RONALD	MF	THE INCOME FUND OF AMERICA-A	В	-17,992.55	0.00	5.00	-5.00	0.0	0.00
06/12/2018 36	8330542 LADNER, HERSHEL	MF	ALLIANZGI GLOBAL ALLOCATION C	В	-14,992.55	0.00	5.00	-5.00	0.0	0.00
06/08/2018 36	8441888 PHILLIPS, CHRISTIN	JE MF	RUSSELL LIFEPOINTS GROWTH	В	-19,982.55	0.00	5.00	-5.00	0.0	0.00
06/08/2018 64	16769616 ROBBINS, BRIAN	MF	ALLIANZ OCC RENAISSANCE FUND	В	-24,992.55	0.00	5.00	-5.00	0.0	0.00
06/12/2018 64	16769616 ROBBINS, BRIAN	MF	ALLIANZ OCC RENAISSANCE FUND	В	-14,992.55	0.00	5.00	-5.00	0.0	0.00
			* MUTUAL FUNDS SUBTOTAL	*	\$-112,945.30	\$0.00	\$30.00	\$-30.00		\$0.00
PRODUCT CAT	EGORY: STOCKS									
06/08/2018 30	7663507 GOTHARD, CAROLYN	S	VENTAS INCORPORATED	В	4,996.96	15.00	15.00	0.00	0.0	0.00
06/12/2018 30	7663507 GOTHARD, CAROLYN	S	COHEN & STEERS REIT AND	В	10,024.69	15.00	15.00	0.00	0.0	0.00
05/30/2018 36	8259871 ROBBINS, L	S	RENEWABLE ENERGY GROUP INC NEW	В	8,440.43	15.00	15.00	0.00	0.0	0.00
05/30/2018 36	8259871 ROBBINS, L	S	TARGA RESOURCES CORP	В	8,468.20	15.00	15.00	0.00	0.0	0.00
06/08/2018 36	8259871 ROBBINS, L	S	VENTAS INCORPORATED	В	8,589.21	15.00	15.00	0.00	0.0	0.00
06/14/2018 36	58259871 ROBBINS, L	S	COHEN & STEERS REIT AND	В	9,026.47	15.00	15.00	0.00	0.0	0.00
05/30/2018 36	58260199 ROBBINS, L	S	RENEWABLE ENERGY GROUP INC NEW	В	7,125.43	7.43	0.00	7.43	0.0	0.00
05/30/2018 36	58260199 ROBBINS, L	S	RENEWABLE ENERGY GROUP INC NEW	В	7,252.59	7.57	15.00	-7.43	0.0	0.00
06/08/2018 36	58260199 ROBBINS, L	S	VENTAS INCORPORATED	В	14,573.77	15.00	15.00	0.00	0.0	0.00
06/14/2018 36	58260199 ROBBINS, L	S	COHEN & STEERS REIT AND	В	15,031.85	15.00	15.00	0.00	0.0	0.00
05/30/2018 36	8261812 WURSTER, SUSANNE	S	RENEWABLE ENERGY GROUP INC NEW	В	10,000.35	15.00	15.00	0.00	0.0	0.00
06/08/2018 36	8261812 WURSTER, SUSANNE	S	VENTAS INCORPORATED	В	21,630.74	15.00	15.00	0.00	0.0	0.00
06/12/2018 36	88261812 WURSTER, SUSANNE	S	VENTAS INCORPORATED	В	21,730.15	15.00	15.00	0.00	0.0	0.00
05/31/2018 36	88290532 MARSHALL, RICHARD	S	TARGA RESOURCES CORP	В	12,089.45	15.00	15.00	0.00	0.0	0.00
06/08/2018 36	88290532 MARSHALL, RICHARD	S	VENTAS INCORPORATED	В	12,268.45	15.00	15.00	0.00	0.0	0.00
05/30/2018 36	88293615 MARTIN, RONALD	S	TARGA RESOURCES CORP	В	4,804.85	15.00	15.00	0.00	0.0	0.00
06/08/2018 36	88293615 MARTIN, RONALD	S	VENTAS INCORPORATED	В	20,008.03	15.00	15.00	0.00	0.0	0.00
06/12/2018 36	88293615 MARTIN, RONALD	S	COHEN & STEERS REIT AND	В	18,026.68	15.00	15.00	0.00	0.0	0.00
05/30/2018 36	88300440 MARTIN, RONALD	S	RENEWABLE ENERGY GROUP INC NEW	В	1,022.37	15.00	15.00	0.00	0.0	0.00
06/12/2018 36	88300440 MARTIN, RONALD	S	COHEN & STEERS REIT AND	В	2,005.25	15.00	15.00	0.00	0.0	0.00
05/30/2018 36	88314002 LEMMONS, THOMAS	S	RENEWABLE ENERGY GROUP INC NEW	В	7,991.85	15.00	15.00	0.00	0.0	0.00
06/08/2018 36	58314002 LEMMONS, THOMAS	S	VENTAS INCORPORATED	В	15,902.84	15.00	15.00	0.00	0.0	0.00

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Miles Mile	TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
60 12 12 13 13 13 13 13 13	06/12/2018 368314002 LEMMONS, THOMAS	S	COHEN & STEERS REIT AND	В	8,331.01	15.00	15.00	0.00	0.0	0.00
	06/08/2018 368330542 LADNER, HERSHEL	S	VENTAS INCORPORATED	В	15,009.99	15.00	15.00	0.00	0.0	0.00
	06/12/2018 368330542 LADNER, HERSHEL	S	COHEN & STEERS REIT AND	В	15,023.87	15.00	15.00	0.00	0.0	0.00
10.00 10.0	06/08/2018 368431614 STUBBS, JACQUELINE	S	ISHARES RUSSELL 1000 VALUE	S	-12,373.40	15.00	15.00	0.00	0.0	0.00
BATTAL ADDITION BATTAL STITMEN, JACQUELINE S. VENTAS INCORPORATED R. 2,971.78 15.00 15.00 0.00 0.0 0.00	06/08/2018 368431614 STUBBS, JACQUELINE	S	VANGUARD FTSE EMERGING MARKETS	S	-9,900.24	15.00	15.00	0.00	0.0	0.00
	06/08/2018 368431614 STUBBS, JACQUELINE	S	VENTAS INCORPORATED	В	15,077.50	15.00	15.00	0.00	0.0	0.00
ASSISTANT ASSI	06/14/2018 368431614 STUBBS, JACQUELINE	S	COHEN & STEERS REIT AND	В	8,027.98	15.00	15.00	0.00	0.0	0.00
Section Sect	06/08/2018 368435724 STAFF, RENEE	S	VENTAS INCORPORATED	В	2,971.78	15.00	15.00	0.00	0.0	0.00
	05/30/2018 368441888 PHILLIPS, CHRISTIN	E S	TARGA RESOURCES CORP	В	4,825.44	15.00	15.00	0.00	0.0	0.00
6,08/2018 368462158 PARSONS, JAMES S VENTAS INCORPORATED R 24,034.66 15.00 15.00 0.00 0.0 0.00 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00	06/08/2018 368441888 PHILLIPS, CHRISTIN	E S	VENTAS INCORPORATED	В	14,038.42	15.00	15.00	0.00	0.0	0.00
06/12/2018 3684642138 PARSONS, JAMES S COHEN & STEERS REIT AND B 12,174.56 15.00 15.00 0.00	05/30/2018 368462158 PARSONS, JAMES	S	RENEWABLE ENERGY GROUP INC NEW	В	11,817.13	15.00	15.00	0.00	0.0	0.00
06/12/2018 368462158 PARSONS, JAMES S VENTAS INCORPORATED B 22,076.61 15.00 15.00 0.00 0.00 0.00 06/08/2018 368462732 GOTHARD, CARCLYN S VENTAS INCORPORATED B 22,676.61 15.00 15.00 0.00 0.00 0.00 06/08/2018 992387904 MATTHENS, R ELLIN S VENTAS INCORPORATED B 7.000.25 15.00 15.00 0.00 0.00 0.00 06/08/2018 994415418 PHILLIPS, TRAVIS S RENEWABLE ENERGY GROUP INC NEW B 15,015.83 15.00 15.00 0.00 0.00 0.00 06/08/2018 984415418 PHILLIPS, TRAVIS S VENTAS INCORPORATED B 1.000 0.00 0.00 0.00 0.00 06/08/2018 984415418 PHILLIPS, TRAVIS S VENTAS INCORPORATED B 1.000 0.00 0.00 0.00 0.00 06/08/2018 984415418 PHILLIPS, TRAVIS S VENTAS INCORPORATED B 1.000 0.00 0.00 0.00 0.00 06/08/2018 984415418 PHILLIPS, TRAVIS S VENTAS INCORPORATED B 1.000 0.00 0.00 0.00 0.00 06/08/2018 984915418 PHILLIPS, TRAVIS S VENTAS INCORPORATED B 1.000 0.00 0.00 0.00 0.00 06/08/2018 984915418 PHILLIPS, TRAVIS S VENTAS INCORPORATED B 3.000 0.00 0.00 0.00 0.00 0.00 0.00 0	06/08/2018 368462158 PARSONS, JAMES	S	VENTAS INCORPORATED	В	24,034.66	15.00	15.00	0.00	0.0	0.00
0.0 0.0	06/12/2018 368462158 PARSONS, JAMES	S	COHEN & STEERS REIT AND	В	12,174.56	15.00	15.00	0.00	0.0	0.00
05/30/2018 492387904 MATTHEWS, R ELLEN S VENTAS INCORPORATED B 15,006.25 15.00 15.00 0.00 0.00 0.00 0.00 06/08/2018 492387904 MATTHEWS, R ELLEN S VENTAS INCORPORATED B 15,013.29 15.00 15.00 0.00 0.00 0.00 06/08/2018 584415418 PHILLIPS, TRAVIS S VENTAS INCORPORATED B 2,013.29 15.00 15.00 0.00 0.00 0.00 06/08/2018 584415418 PHILLIPS, TRAVIS S VENTAS INCORPORATED B 1,987.73 15.00 15.00 0.00 0.00 0.00 0.00 06/08/2018 646271794 PARSONS, JAMES S VENTAS INCORPORATED B 3,028.05 15.00 15.00 0.00 0.00 0.00 06/08/2018 646271794 PARSONS, JAMES S VENTAS INCORPORATED B 3,028.05 15.00 15.00 0.00 0.00 0.00 06/08/2018 646271794 PARSONS, JAMES S VENTAS INCORPORATED B 3,028.05 15.00 15.00 0.00 0.00 0.00 06/08/2018 646271794 PARSONS, JAMES S COMEN & STEERS REIT AND B 4,014.21 15.00 15.00 0.00 0.00 0.00 06/08/2018 646271794 PARSONS, JAMES S COMEN & STEERS REIT AND B 4,014.21 15.00 15.00 0.00 0.00 0.00 06/08/2018 646769616 ROBBINS, BRIAN S VENTAS INCORPORATED B 13,046.93 15.00 15.00 0.00 0.00 0.00 06/08/2018 646769616 ROBBINS, BRIAN S VENTAS INCORPORATED B 13,046.93 15.00 15.00 0.00 0.00 0.00 06/08/2018 646769616 ROBBINS, BRIAN S VENTAS INCORPORATED B 13,046.93 15.00 15.00 0.00 0.00 0.00 06/12/2018 646769616 ROBBINS, BRIAN S VENTAS INCORPORATED B 13,046.93 15.00 15.00 0.00 0.00 0.00 06/12/2018 646769616 ROBBINS, BRIAN S VENTAS INCORPORATED B 13,046.93 15.00 15.00 0.00 0.00 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE EMERGY GROUP INC NEW B 2,137.04 1.64 0.00 1.64 0.00 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE EMERGY GROUP INC NEW B 6,947.82 5.32 0.00 5.32 0.00 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE EMERGY GROUP INC NEW B 6,947.82 5.32 0.00 5.32 0.00 0.00 0.00 06/19/2018 677627409 CAREY, LESLEY S COMEN & STEERS REIT AND B 20,000 0.00 0.00 0.00 0.00 0.00 0.00 0.	06/12/2018 368462158 PARSONS, JAMES	S	VENTAS INCORPORATED	В	20,016.97	15.00	15.00	0.00	0.0	0.00
06/08/2018 492387904 MATTHENS, R ELLEN S VENTAS INCORPORATED B 15,015.83 15.00 15.00 0.00 0.00 0.00 0.00 0.00 0.	06/08/2018 368462732 GOTHARD, CAROLYN	S	VENTAS INCORPORATED	В	22,678.61	15.00	15.00	0.00	0.0	0.00
05/30/2018 584415418 PHILLIFS, TRAVIS S VENTAS INCORPORATED B 1,987.73 15.00 15.00 0.00 0.0 0.0 0.0 0.00 05/30/2018 646721794 PARSONS, JAMES S REMEMBER STROUGH INC NEW B 1,804.45 15.00 15.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	05/30/2018 492387904 MATTHEWS, R ELLEN	S	RENEWABLE ENERGY GROUP INC NEW	В	7,006.25	15.00	15.00	0.00	0.0	0.00
06/08/2018 584415418 PHILLIPS, TRAVIS S VENTAS INCORPORATED B 1,987.73 15.00 15.00 0.00 0.00 0.00 0.00 0.03/2018 646271794 PARSONS, JAMES S VENTAS INCORPORATED B 1,804.45 15.00 15.00 0.00 0.00 0.00 0.00 0.00 0	06/08/2018 492387904 MATTHEWS, R ELLEN	S	VENTAS INCORPORATED	В	15,015.83	15.00	15.00	0.00	0.0	0.00
05/30/2018 646271794 PARSONS, JAMES S RENEWABLE ENERGY GROUP INC NEW B 1,804.45 15.00 15.00 0.00 0.0 0.0 0.00 0.00 0.0	05/30/2018 584415418 PHILLIPS, TRAVIS	S	RENEWABLE ENERGY GROUP INC NEW	В	2,013.29	15.00	15.00	0.00	0.0	0.00
06/08/2018 646271794 PARSONS, JAMES S COHEN & STEERS REIT AND B 4,014.21 15.00 15.00 0.00 0.0 0.0 0.00 06/12/2018 646279164 PARSONS, JAMES S COHEN & STEERS REIT AND B 4,014.21 15.00 15.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	06/08/2018 584415418 PHILLIPS, TRAVIS	S	VENTAS INCORPORATED	В	1,987.73	15.00	15.00	0.00	0.0	0.00
06/12/2018 64671794 PARSONS, JAMES S COHEN & STEERS REIT AND B 4,014.21 15.00 15.00 0.00 0.0 0.0 0.0 05/30/2018 646769616 ROBBINS, BRIAN S REMEMBLE EMERGY GROUP INC NEW B 9,981.42 15.00 15.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	05/30/2018 646271794 PARSONS, JAMES	S	RENEWABLE ENERGY GROUP INC NEW	В	1,804.45	15.00	15.00	0.00	0.0	0.00
05/30/2018 646769616 ROBBINS, BRIAN S RENEWABLE ENERGY GROUP INC NEW B 9,981.42 15.00 15.00 0.00 0.00 0.00 0.00 0.00 0.	06/08/2018 646271794 PARSONS, JAMES	S	VENTAS INCORPORATED	В	3,028.05	15.00	15.00	0.00	0.0	0.00
05/30/2018 646769616 ROBBINS, BRIAN S VENTAS INCORPORATED B 10,008.95 15.00 15.00 0.00 0.0 0.0 0.00 06/08/2018 646769616 ROBBINS, BRIAN S VENTAS INCORPORATED B 13,046.93 15.00 15.00 0.00 0.0 0.0 0.0 0.00 06/12/2018 646769616 ROBBINS, BRIAN S COHEN & STEERS REIT AND B 20,027.27 15.00 15.00 0.00 0.0 0.0 0.0 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 2,137.04 1.64 0.00 1.64 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 2,137.04 1.64 0.00 1.64 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,945.37 5.32 0.00 5.32 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,947.82 5.32 15.00 5.32 0.0 0.00 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,947.82 5.32 15.00 5.32 0.0 0.00 0.00 05/30/2018 677627409 CAREY, LESLEY S VENTAS INCORPORATED B 20,051.30 15.00 15.00 0.00 0.0 0.0 0.00 05/12/2018 677627409 CAREY, LESLEY S COHEN & STEERS REIT AND B 20,008.42 15.00 15.00 0.00 0.0 0.0 0.0 05/19/2018 677627409 CAREY, LESLEY S CONNING INC S -17,557.77 15.00 15.00 0.00 0.0 0.0 0.0 05/19/2018 677627409 CAREY, LESLEY S GENERAL ELECTRIC COMPANY S -17,381.80 15.00 15.00 0.00 0.0 0.0 0.0 05/19/2018 677627409 CAREY, LESLEY S GENERAL ELECTRIC COMPANY S -17,381.80 15.00 15.00 0.00 0.0 0.0 0.0 05/19/2018 677627409 CAREY, LESLEY S GENERAL ELECTRIC COMPANY S -17,381.80 15.00 15.00 0.00 0.0 0.0 0.0 05/19/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW S -19,754.79 15.00 15.00 0.00 0.0 0.0 0.0 05/19/2018 677627409 CAREY, LESLEY S SELECT SECTOR SPDR FD MATERIALS S -8,725.94 15.00 15.00 0.00 0.0 0.0 0.0 0.0 05/19/2018 677627409 CAREY, LESLEY S SYNCHROWY FINANCIAL S -18,759.84 15.00 15.00 0.00 0.0 0.0 0.0 05/19/2018 677627409 CAREY, LESLEY S SYNCHROWY FINANCIAL S -18,759.84 15.00 15.00 0.00 0.0 0.0 0.0 05/19/2018 677627409 CAREY, LESLEY S SYNCHROWY FINANCIAL S -18,759.84 15.00 15.00 0.00 0.0 0.0 0.0 05/19/2018 677627409 CAREY, LESLEY S SYNCHROWY FINANCIAL S -18,759.84 15.00 15	06/12/2018 646271794 PARSONS, JAMES	S	COHEN & STEERS REIT AND	В	4,014.21	15.00	15.00	0.00	0.0	0.00
06/08/2018 646769616 ROBBINS, BRIAN S VENTAS INCORPORATED B 13,046.93 15.00 15.00 0.00 0.0 0.0 0.00 06/12/2018 646769616 ROBBINS, BRIAN S COHEN & STEERS REIT AND B 20,027.27 15.00 15.00 0.00 0.0 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 2,137.04 1.64 0.00 1.64 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 3,561.73 2.73 0.00 2.73 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,945.37 5.32 0.00 5.32 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,947.82 5.32 15.00 -9.68 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,947.82 5.32 15.00 -9.68 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S VENTAS INCORPORATED B 20,051.30 15.00 15.00 0.00 0.0 0.0 0.00 05/12/2018 677627409 CAREY, LESLEY S COHEN & STEERS REIT AND B 20,008.42 15.00 15.00 0.00 0.0 0.0 0.0 0.00 05/19/2018 677627409 CAREY, LESLEY S COHEN & STEERS REIT AND B 20,008.42 15.00 15.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	05/30/2018 646769616 ROBBINS, BRIAN	S	RENEWABLE ENERGY GROUP INC NEW	В	9,981.42	15.00	15.00	0.00	0.0	0.00
06/12/2018 646769616 ROBBINS, BRIAN S COHEN & STEERS REIT AND B 20,027.27 15.00 15.00 0.00 0.0 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 2,137.04 1.64 0.00 1.64 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 3,561.73 2.73 0.00 2.73 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,945.37 5.32 0.00 5.32 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,945.37 5.32 15.00 -9.68 0.0 0.00 06/08/2018 677627409 CAREY, LESLEY S VENTAS INCORPORATED B 20,051.30 15.00 15.00 0.00 0.0 0.0 0.0 0.00 06/12/2018 677627409 CAREY, LESLEY S COHEN & STEERS REIT AND B 20,051.30 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S CONNING INC S -17,557.77 15.00 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S GENERAL ELECTRIC COMPANY S -17,381.80 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S GENERAL ELECTRIC COMPANY S -17,381.80 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S GENERAL ELECTRIC COMPANY S -12,351.79 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S GENERAL ELECTRIC COMPANY S -12,351.79 15.00 15.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	05/30/2018 646769616 ROBBINS, BRIAN	S	TARGA RESOURCES CORP	В	10,008.95	15.00	15.00	0.00	0.0	0.00
05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 3,561.73 2.73 0.00 2.73 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,945.37 5.32 0.00 5.32 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,945.37 5.32 0.00 5.32 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,945.37 5.32 15.00 -9.68 0.0 0.00 06/88/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,945.37 5.32 15.00 -9.68 0.0 0.00 06/88/2018 677627409 CAREY, LESLEY S COHEN & STEERS REIT AND B 20,051.30 15.00 15.00 0.00 0.0 0.0 0.00 06/12/2018 677627409 CAREY, LESLEY S COHEN & STEERS REIT AND B 20,008.42 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S CORNING INC S -17,557.77 15.00 15.00 15.00 0.00 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S GENERAL ELECTRIC COMPANY S -17,381.80 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S OVERSTOCK.COM INC S -12,351.79 15.00 15.00 0.00 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW S -19,754.79 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S SELECT SECTOR SPDR FD MATERIALS S -8,725.94 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S SYNCHRONY FINANCIAL S -18,759.84 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S TARGA RESOURCES CORP S -21,330.71 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S TARGA TESOURCES CORP S -21,330.71 15.00 15.00 0.00 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S TARGA TESOURCES CORP S -21,330.71 15.00 15.00 0.00 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S TARGA TESOURCES CORP S -21,330.71 15.00 15.00 0.00 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S TARGA TESOURCES CORP S -21,330.71 15.00 15.00 0.0 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S TARGA TESOURCES CORP S -21,330.71 15.00 15.00 0.0 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S TEMPLETON DRAGON FUND S -18,824.19 15.	06/08/2018 646769616 ROBBINS, BRIAN	S	VENTAS INCORPORATED	В	13,046.93	15.00	15.00	0.00	0.0	0.00
05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 3,561.73 2.73 0.00 2.73 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,945.37 5.32 0.00 5.32 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,945.37 5.32 0.00 5.32 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,945.37 5.32 0.00 5.32 0.0 0.00 05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,945.37 5.32 15.00 -9.68 0.0 0.00 06/88/2018 677627409 CAREY, LESLEY S COHEN & STEERS REIT AND B 20,051.30 15.00 15.00 0.00 0.0 0.0 0.00 06/12/2018 677627409 CAREY, LESLEY S COHEN & STEERS REIT AND B 20,008.42 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S CORNING INC S -17,557.77 15.00 15.00 15.00 0.00 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S GENERAL ELECTRIC COMPANY S -17,381.80 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S OVERSTOCK.COM INC S -12,351.79 15.00 15.00 0.00 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW S -19,754.79 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S SELECT SECTOR SPDR FD MATERIALS S -8,725.94 15.00 15.00 0.00 0.0 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S SELECT SECTOR SPDR FD MATERIALS S -8,725.94 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S SYNCHRONY FINANCIAL S -18,755.84 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S TARGA RESOURCES CORP S -21,330.71 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S TARGA TESOURCES CORP S -21,330.71 15.00 15.00 0.00 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S TARGA TESOURCES CORP S -21,330.71 15.00 15.00 0.00 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S TARGA TESOURCES CORP S -24,487.83 15.00 15.00 0.0 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S TEMPLETON DRAGON FUND S -18,824.19 15.00 15.00 0.0 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S TEMPLETON DRAGON		S		В		15.00	15.00	0.00	0.0	
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05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,945.37 5.32 0.00 5.32 0.0 0.00 0.00 0.00 0.00 0.00 0.00 0.		S	RENEWABLE ENERGY GROUP INC NEW	В		2.73	0.00	2.73	0.0	
05/30/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW B 6,947.82 5.32 15.00 -9.68 0.0 0.00 06/08/2018 677627409 CAREY, LESLEY S VENTAS INCORPORATED B 20,051.30 15.00 15.00 0.00 0.0 0.00 06/12/2018 677627409 CAREY, LESLEY S COMEN & STEERS REIT AND B 20,008.42 15.00 15.00 0.00 0.0 0.0 0.00 06/19/2018 677627409 CAREY, LESLEY S CORNING INC S -17,557.77 15.00 15.00 0.00 0.0 0.0 0.00 06/19/2018 677627409 CAREY, LESLEY S GENERAL ELECTRIC COMPANY S -17,381.80 15.00 15.00 0.00 0.0 0.0 0.0 0.00 06/19/2018 677627409 CAREY, LESLEY S OVERSTOCK.COM INC S -12,351.79 15.00 15.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.		S					0.00		0.0	
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06/12/2018 677627409 CAREY, LESLEY S COHEN & STEERS REIT AND B 20,008.42 15.00 15.00 0.00 0.0 0.0 0.00 06/19/2018 677627409 CAREY, LESLEY S CORNING INC S -17,557.77 15.00 15.00 0.00 0.0 0.0 0.00 06/19/2018 677627409 CAREY, LESLEY S GENERAL ELECTRIC COMPANY S -17,381.80 15.00 15.00 0.00 0.0 0.0 0.0 0.00 06/19/2018 677627409 CAREY, LESLEY S OVERSTOCK.COM INC S -12,351.79 15.00 15.00 0.00 0.0 0.0 0.0 0.0 0.0 06/19/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW S -19,754.79 15.00 15.00 0.00 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.		S				15.00	15.00	0.00	0.0	
06/19/2018 677627409 CAREY, LESLEY S GENERAL ELECTRIC COMPANY S -17,381.80 15.00 15.00 0.00 0.0 0.0 0.00 06/19/2018 677627409 CAREY, LESLEY S GENERAL ELECTRIC COMPANY S -12,351.79 15.00 15.00 0.00 0.00 0.00 06/19/2018 677627409 CAREY, LESLEY S OVERSTOCK.COM INC S -12,351.79 15.00 15.00 0.00 0.00 0.00 06/19/2018 677627409 CAREY, LESLEY S RENEWBLE ENERGY GROUP INC NEW S -19,754.79 15.00 15.00 0.00 0.00 0.00 0.00 06/19/2018 677627409 CAREY, LESLEY S SELECT SECTOR SPDR FD MATERIALS S -8,725.94 15.00 15.00 0.00 0.00 0.00 0.00 0.00 0.		S		В		15.00	15.00	0.00	0.0	
06/19/2018 677627409 CAREY, LESLEY S GENERAL ELECTRIC COMPANY S -17,381.80 15.00 15.00 0.00 0.0 0.0 0.00 06/19/2018 677627409 CAREY, LESLEY S OVERSTOCK.COM INC S -12,351.79 15.00 15.00 0.00 0.00 0.0 0.00 06/19/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW S -19,754.79 15.00 15.00 0.00 0.0 0.0 0.00 06/19/2018 677627409 CAREY, LESLEY S SELECT SECTOR SPDR FD MATERIALS S -8,725.94 15.00 15.00 0.00 0.0 0.0 0.0 0.00 06/19/2018 677627409 CAREY, LESLEY S SYNCHRONY FINANCIAL S -18,759.84 15.00 15.00 0.00 0.0 0.0 0.0 0.00 06/19/2018 677627409 CAREY, LESLEY S TARGA RESOURCES CORP S -21,330.71 15.00 15.00 0.00 0.0 0.0 0.0 0.00 06/19/2018 677627409 CAREY, LESLEY S TARGET CORP S -24,487.83 15.00 15.00 0.00 0.0 0.0 0.0 0.00 06/19/2018 677627409 CAREY, LESLEY S TEMPLETON DRAGON FUND S -18,824.19 15.00 15.00 0.00 0.0 0.0 0.0 0.0 0.00 06/19/2018 677627409 CAREY, LESLEY S TEMPLETON DRAGON FUND S -18,824.19 15.00 15.00 0.00 0.0 0.0 0.0 0.0 0.0 0.00 06/19/2018 799809455 WILFORD, NED S VENTAS INCORPORATED B 27,097.15 15.00 15.00 0.00 0.0 0.0 0.0 0.0 0.0 0.00 06/12/2018 799809455 WILFORD, NED S COHEN & STEERS REIT AND B 25,044.12 15.00 15.00 0.00 0.00 0.0 0.00 0.00 0.0	06/19/2018 677627409 CAREY, LESLEY	S		S		15.00	15.00	0.00	0.0	
06/19/2018 677627409 CAREY, LESLEY S OVERSTOCK.COM INC S -12,351.79 15.00 15.00 0.00 0.0 0.0 0.00 0.00 0.00		S	GENERAL ELECTRIC COMPANY	S		15.00	15.00	0.00	0.0	
06/19/2018 677627409 CAREY, LESLEY S RENEWABLE ENERGY GROUP INC NEW S -19,754.79 15.00 15.00 0.00 0.00 0.00 0.00 0.00 0.		S	OVERSTOCK.COM INC	S		15.00	15.00	0.00	0.0	
06/19/2018 677627409 CAREY, LESLEY S SELECT SECTOR SPDR FD MATERIALS S -8,725.94 15.00 15.00 0.00 0.0 0.0 0.00 0.00 0.00		S		S		15.00	15.00	0.00	0.0	0.00
06/19/2018 677627409 CAREY, LESLEY S SYNCHRONY FINANCIAL S -18,759.84 15.00 15.00 0.00 0.0 0.0 0.00 0.00 0.00										
06/19/2018 677627409 CAREY, LESLEY S TARGA RESOURCES CORP S -21,330.71 15.00 15.00 0.00 0.0 0.00 0.00 0.00 0.										
06/19/2018 677627409 CAREY, LESLEY S TARGET CORP S -24,487.83 15.00 15.00 0.00 0.0 0.00 <td></td>										
06/19/2018 677627409 CAREY, LESLEY S TEMPLETON DRAGON FUND S -18,824.19 15.00 15.00 0.00 0.0 0.00 0.00 06/08/2018 799809455 WILFORD, NED S VENTAS INCORPORATED B 27,097.15 15.00 15.00 0.00 0.0 0.00 0.00 06/12/2018 799809455 WILFORD, NED S COHEN & STEERS REIT AND B 25,044.12 15.00 15.00 0.00 0.00 0.00										
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06/12/2018 799809455 WILFORD, NED S COHEN & STEERS REIT AND B 25,044.12 15.00 15.00 0.00 0.00										
00,00,000,000,000,000,000,000,000,000,	05/30/2018 799810243 WILFORD (TOD), DAN		RENEWABLE ENERGY GROUP INC NEW		1,779.28	1.78	0.00	1.78	0.0	0.00

COMMISSION STATEMENT for BRISTER TOM DPM

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TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/30/2018 799810243 WILFORD (TOD), DAN	S	RENEWABLE ENERGY GROUP INC NEW	В	1,781.73	1.78	15.00	-13.22	0.0	0.00
05/30/2018 799810243 WILFORD (TOD), DAN	S	RENEWABLE ENERGY GROUP INC NEW	В	4,471.03	4.48	0.00	4.48	0.0	0.00
05/30/2018 799810243 WILFORD (TOD), DAN	S	RENEWABLE ENERGY GROUP INC NEW	В	6,947.01	6.96	0.00	6.96	0.0	0.00
05/30/2018 799810243 WILFORD (TOD), DAN	S	TARGA RESOURCES CORP	В	14,990.23	15.00	15.00	0.00	0.0	0.00
06/08/2018 799810243 WILFORD (TOD), DAN	S	VENTAS INCORPORATED	В	10,025.41	15.00	15.00	0.00	0.0	0.00
		* STOCKS SUBTOTAL	*	\$473,312.25	\$960.01	\$960.00	\$0.01		\$0.00
PRODUCT CATEGORY: MISCELLANEOUS									
06/11/2018 a03539565 DPM-D111, TOM	MI	MUTUAL FUND MISC FEE 646769616	S	0.00	5.00	0.00	5.00	0.0	0.00
06/11/2018 a03539565 DPM-D111, TOM	MI	MUTUAL FUND MISC FEE 368293615	S	0.00	5.00	0.00	5.00	0.0	0.00
06/11/2018 a03539565 DPM-D111, TOM	MI	MUTUAL FUND MISC FEE 368441888	S	0.00	15.00	0.00	15.00	0.0	0.00
06/13/2018 a03539565 DPM-D111, TOM	MI	MUTUAL FUND MISC FEE 646769616	S	0.00	5.00	0.00	5.00	0.0	0.00
06/13/2018 a03539565 DPM-D111, TOM	MΙ	MUTUAL FUND MISC FEE 368330542	S	0.00	5.00	0.00	5.00	0.0	0.00
06/13/2018 a03539565 DPM-D111, TOM	MI	MUTUAL FUND MISC FEE 368293615	S	0.00	5.00	0.00	5.00	0.0	0.00
		* MISCELLANEOUS SUBTOTAL	*	\$0.00	\$40.00	\$0.00	\$40.00		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRA	ILS							
06/21/2018 mfc539565 DPM BRSITER TOM	MF"	T ALLIANZ OCC RENAISSANCE FUND	В	0.00	11.48	0.00	11.48	0.0	0.00
06/21/2018 mfc539565 DPM BRSITER TOM	MF	F ALLIANZ RCM TECHNOLOGY FUND	В	0.00	5.01	0.00	5.01	0.0	0.00
06/21/2018 mfc539565 DPM BRSITER TOM	MF	r allianzgi global allocation a	В	0.00	2.01	0.00	2.01	0.0	0.00
06/21/2018 mfc539565 DPM BRSITER TOM	MF"	F ALLIANZGI GLOBAL ALLOCATION C	В	0.00	69.88	0.00	69.88	0.0	0.00
06/21/2018 mfc539565 DPM BRSITER TOM	MF'	r Capital World Growth and income	В	0.00	10.75	0.00	10.75	0.0	0.00
06/21/2018 mfc539565 DPM BRSITER TOM	MF	I DREYFUS RESEARCH GROWTH FD A	В	0.00	0.44	0.00	0.44	0.0	0.00
06/21/2018 mfc539565 DPM BRSITER TOM	MF	MARSICO FOCUS FD	В	0.00	1.41	0.00	1.41	0.0	0.00
06/21/2018 mfc539565 DPM BRSITER TOM	MF	r oppenheimer capital income fo	В	0.00	3.35	0.00	3.35	0.0	0.00
06/21/2018 mfc539565 DPM BRSITER TOM	MF	r PIM 018919704	В	0.00	7.27	0.00	7.27	0.0	0.00
06/21/2018 mfc539565 DPM BRSITER TOM	MF	F RUSSELL LIFEPOINTS GROWTH	В	0.00	72.79	0.00	72.79	0.0	0.00
06/21/2018 mfc539565 DPM BRSITER TOM	MF	T THE INCOME FUND OF AMERICA-A	В	0.00	13.90	0.00	13.90	0.0	0.00
06/21/2018 mfc539565 DPM BRSITER TOM	MF'	r washington mutual investors	В	0.00	32.46	0.00	32.46	0.0	0.00
	*	MUTUAL FUND 12B1 TRAILS SUBTOTAL	*	\$0.00	\$230.75	\$0.00	\$230.75		\$0.00
	*	** CLEARING TRANSACTIONS TOTAL *	**	\$360,366.95	\$1,230.76	\$990.00	\$240.76		\$0.00

BROKER COMMISSION TOTALS

Net Commissions	\$0.00 \$0.00
SIPC Assessment	\$0.00
FINRA Assessment	\$0.00
Prior Period Balance	\$0.00
Base Salary	\$0.00
Payroll Draw	\$0.00
Adjustments	\$0.00
Override Transactions	\$0.00
Split Transactions	\$0.00
Broker Transactions	\$0.00