

COMMISSION STATEMENT

January 31, 2018

RICHARD BELZ
40 Wall Street

New York, NY 10005

BROKER #: 77
BRANCH: HOME OFFICE

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

01/08/2018	000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS	353533763	B	237.50	8.46	0.00	8.46	90.0	7.61
01/22/2018	000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS	353533763	B	237.50	8.46	0.00	8.46	90.0	7.61
01/16/2018	0000000000888774	COLEMAN, FRK AGGRESSIVE GRWTH - CL A		B	108.75	2.90	0.00	2.90	90.0	2.61
01/08/2018	0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND		B	500.00	4.75	0.00	4.75	90.0	4.28
01/22/2018	0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND		B	500.00	4.75	0.00	4.75	90.0	4.28
01/16/2018	0000000004328098	COLEMAN, FRK TEMP FOUNDING FUNDS ALLOC FND C		B	362.50	9.64	0.00	9.64	90.0	8.68
01/16/2018	0000000004468094	COLEMAN, MUTUAL DISC FND - CL A		B	145.00	3.86	0.00	3.86	90.0	3.47
01/18/2018	0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A		B	125.00	5.94	0.00	5.94	90.0	5.35
01/08/2018	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS		B	237.50	8.46	0.00	8.46	90.0	7.61
01/22/2018	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS		B	237.50	8.46	0.00	8.46	90.0	7.61
01/16/2018	000019411906629	COLEMAN, FRK STRAT INC FND - CL A		B	108.75	2.90	0.00	2.90	90.0	2.61
01/18/2018	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A		B	125.00	4.75	0.00	4.75	90.0	4.28
01/05/2018	00056956375	FERRADO, MICHAEL AMCAP FUND-A		B	400.00	16.15	0.00	16.15	90.0	14.54
01/12/2018	00063004460	SCHENK, STEVEN WASHINGTON MUTUAL INVESTORS FUND-A		B	125.00	4.46	0.00	4.46	90.0	4.01
01/22/2018	00063004460	SCHENK, STEVEN WASHINGTON MUTUAL INVESTORS FUND-A		B	125.00	4.46	0.00	4.46	90.0	4.01
12/26/2017	00064802351	SCHENK, ERIC THE GROWTH FUND OF AMERICA-A		B	23.00	0.82	0.00	0.82	90.0	0.74
12/26/2017	00064818808	SCHENK, ERIC AMCAP FUND-A		B	15.00	0.53	0.00	0.53	90.0	0.48
12/27/2017	00075280585	MILLER, SAMANTHA AMERICAN BALANCED FUND-A		B	225.00	5.88	0.00	5.88	90.0	5.29
12/27/2017	00075280585	MILLER, SAMANTHA THE GROWTH FUND OF AMERICA-A		B	225.00	5.88	0.00	5.88	90.0	5.29
01/10/2018	00075280585	MILLER, SAMANTHA AMERICAN BALANCED FUND-A		B	225.00	5.88	0.00	5.88	90.0	5.29
01/10/2018	00075280585	MILLER, SAMANTHA THE GROWTH FUND OF AMERICA-A		B	225.00	5.88	0.00	5.88	90.0	5.29
01/22/2018	00075280585	MILLER, SAMANTHA AMERICAN BALANCED FUND-A		B	225.00	5.88	0.00	5.88	90.0	5.29
01/22/2018	00075280585	MILLER, SAMANTHA THE GROWTH FUND OF AMERICA-A		B	225.00	5.88	0.00	5.88	90.0	5.29
01/16/2018	00084040075	WILLIAMS, ALYSSA CAPITAL WORLD GROWTH AND INCOME FUN		B	100.00	4.04	0.00	4.04	90.0	3.64
01/16/2018	00084040075	WILLIAMS, ALYSSA WASHINGTON MUTUAL INVESTORS FUND-A		B	100.00	4.04	0.00	4.04	90.0	3.64
01/16/2018	00088094822	WILLIAMS, ALYSSA GLOBAL BALANCED FUND-C		B	50.00	0.47	0.00	0.47	90.0	0.42
12/12/2017	00453533309	BURNS, KATHLEEN PUTNAM 746933100		B	150.00	3.92	0.00	3.92	90.0	3.53
12/12/2017	00453533309	BURNS, KATHLEEN PUTNAM GLOBAL CUSTOMER FUND CLASS A		B	150.00	3.92	0.00	3.92	90.0	3.53
12/27/2017	00453533309	BURNS, KATHLEEN PUTNAM 746933100		B	150.00	3.92	0.00	3.92	90.0	3.53
12/27/2017	00453533309	BURNS, KATHLEEN PUTNAM GLOBAL CUSTOMER FUND CLASS A		B	150.00	3.92	0.00	3.92	90.0	3.53
01/08/2018	00453533309	BURNS, KATHLEEN PUTNAM 746933100		B	150.00	3.92	0.00	3.92	90.0	3.53

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/08/2018	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	150.00	3.92	0.00	3.92	90.0	3.53	
12/11/2017	00487862253	PERUGINI, MILDRED	PUTNAM 746745108	B	100.00	2.61	0.00	2.61	90.0	2.35
12/11/2017	00487862253	PERUGINI, MILDRED	PUTNAM 746802800	B	150.00	3.92	0.00	3.92	90.0	3.53
12/11/2017	00487862253	PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS B	150.00	3.92	0.00	3.92	90.0	3.53	
01/11/2018	00487862253	PERUGINI, MILDRED	PUTNAM 746745108	B	100.00	2.61	0.00	2.61	90.0	2.35
01/11/2018	00487862253	PERUGINI, MILDRED	PUTNAM 746802800	B	150.00	3.92	0.00	3.92	90.0	3.53
01/11/2018	00487862253	PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS B	150.00	3.92	0.00	3.92	90.0	3.53	
12/18/2017	00493247534	PLAN	PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0	4.82
01/16/2018	00493247534	PLAN	PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0	4.82
12/18/2017	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102	B	25.00	1.19	0.00	1.19	90.0	1.07
12/18/2017	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A B	25.00	1.19	0.00	1.19	90.0	1.07	
01/17/2018	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102	B	25.00	1.19	0.00	1.19	90.0	1.07
01/17/2018	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A B	25.00	1.19	0.00	1.19	90.0	1.07	
12/12/2017	00514953902	STELLATO, BRIAN	PUTNAM 746809102	B	100.00	4.75	0.00	4.75	90.0	4.28
12/12/2017	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A B	100.00	4.75	0.00	4.75	90.0	4.28	
12/27/2017	00514953902	STELLATO, BRIAN	PUTNAM 746809102	B	100.00	4.75	0.00	4.75	90.0	4.28
12/27/2017	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A B	100.00	4.75	0.00	4.75	90.0	4.28	
01/08/2018	00514953902	STELLATO, BRIAN	PUTNAM 746809102	B	100.00	3.56	0.00	3.56	90.0	3.20
01/08/2018	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A B	100.00	3.56	0.00	3.56	90.0	3.20	
12/18/2017	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	258.00	6.74	0.00	6.74	90.0	6.07
12/18/2017	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	174.00	4.55	0.00	4.55	90.0	4.10	
12/18/2017	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	168.00	4.39	0.00	4.39	90.0	3.95
01/17/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	258.00	6.74	0.00	6.74	90.0	6.07
01/17/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	174.00	4.55	0.00	4.55	90.0	4.10	
01/17/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	168.00	4.39	0.00	4.39	90.0	3.95
12/18/2017	00550827572	PLAN	PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0	4.82
01/16/2018	00550827572	PLAN	PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0	4.82
12/12/2017	00551218283	C GARRUPPO,	PUTNAM 746802800	B	25.00	1.19	0.00	1.19	90.0	1.07
12/12/2017	00551218283	C GARRUPPO,	PUTNAM 746763200	B	50.00	2.37	0.00	2.37	90.0	2.13
12/12/2017	00551218283	C GARRUPPO,	PUTNAM-746764109	B	25.00	1.19	0.00	1.19	90.0	1.07
12/27/2017	00551218283	C GARRUPPO,	PUTNAM 746802800	B	25.00	1.19	0.00	1.19	90.0	1.07
12/27/2017	00551218283	C GARRUPPO,	PUTNAM 746763200	B	50.00	2.37	0.00	2.37	90.0	2.13
12/27/2017	00551218283	C GARRUPPO,	PUTNAM-746764109	B	25.00	1.19	0.00	1.19	90.0	1.07
01/08/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	25.00	1.19	0.00	1.19	90.0	1.07
01/08/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	50.00	2.37	0.00	2.37	90.0	2.13
01/08/2018	00551218283	C GARRUPPO,	PUTNAM-746764109	B	25.00	1.19	0.00	1.19	90.0	1.07
12/18/2017	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	50.00	2.37	0.00	2.37	90.0	2.13
12/18/2017	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	40.00	1.90	0.00	1.90	90.0	1.71
12/18/2017	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	50.00	2.37	0.00	2.37	90.0	2.13
12/18/2017	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	60.00	2.85	0.00	2.85	90.0	2.57

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/17/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	50.00	2.37	0.00	2.37	90.0	2.13
01/17/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	40.00	1.90	0.00	1.90	90.0	1.71
01/17/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	50.00	2.37	0.00	2.37	90.0	2.13
01/17/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	60.00	2.85	0.00	2.85	90.0	2.57
12/12/2017	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.19	0.00	1.19	90.0	1.07
12/12/2017	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	40.00	1.90	0.00	1.90	90.0	1.71
12/12/2017	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.66	0.00	1.66	90.0	1.49
12/28/2017	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.19	0.00	1.19	90.0	1.07
12/28/2017	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	40.00	1.90	0.00	1.90	90.0	1.71
12/28/2017	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.66	0.00	1.66	90.0	1.49
01/08/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.19	0.00	1.19	90.0	1.07
01/08/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	40.00	1.90	0.00	1.90	90.0	1.71
01/08/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.66	0.00	1.66	90.0	1.49
* MUTUAL FUNDS SUBTOTAL *					\$10,413.00	\$319.12	\$0.00	\$319.12		\$287.24

PRODUCT CATEGORY: STOCKS

01/04/2018	QGW034027	HALL, JONATHAN	KRATOS DEFENSE & SEC SOLUTIONS	S	-11,220.00	156.75	11.40	145.35	90.0	129.68
01/18/2018	QGW034027	HALL, JONATHAN	MANHATTAN BRDG CAP INC COM	S	-6,000.00	83.60	11.40	72.20	90.0	63.84
01/19/2018	QGW034415	CHAIMOVITCH, BERNARD	BANK OF AMERICA CORP	S	-28,000.00	292.60	11.40	281.20	90.0	251.94
01/19/2018	QGW034415	CHAIMOVITCH, BERNARD	COHERUS BIOSCIENCES INC COM	S	-10,825.00	76.95	11.40	65.55	90.0	57.86
01/09/2018	QGW035040	THUILOT, CHARLES	FAT BRANDS INC COMMON STOCK	S	-5,095.00	53.20	11.40	41.80	90.0	36.48
01/25/2018	QGW035040	THUILOT, CHARLES	SACHEM CAPITAL CORP COMMON SHARES	B	27,904.76	798.00	11.40	786.60	90.0	706.80
01/19/2018	QGW035172	O'NEILL, RORY	BANK OF AMERICA CORP	S	-28,000.00	292.60	11.40	281.20	90.0	251.94
01/16/2018	QGW035198	WULFF, HANS	ENERGOUS CORP COM	B	9,157.50	147.25	11.40	135.85	90.0	121.13
01/19/2018	QGW035198	WULFF, HANS	BANK OF AMERICA CORP	S	-42,000.00	351.12	11.40	339.72	90.0	304.61
01/29/2018	QGW035206	SKAHILL, ROBERT	ENERGOUS CORP COM	B	10,968.00	128.25	11.40	116.85	90.0	104.03
01/10/2018	QGW035214	BIANCO, BARBARA	VUZIX CORP COM NEW	S	-18,100.00	213.75	11.40	202.35	90.0	180.98
01/16/2018	QGW035248	BELZ, ERIC	ENERGOUS CORP COM	B	18,420.00	213.75	11.40	202.35	90.0	180.98
01/19/2018	QGW035255	BROCHARD, MARIA	BANK OF AMERICA CORP	S	-42,000.00	351.12	11.40	339.72	90.0	304.61
01/26/2018	QGW035297	SIERRA, KATHLEEN	FORD MOTOR CO PAR \$0.01	S	-17,509.80	52.25	11.40	40.85	90.0	35.63
01/26/2018	QGW035297	SIERRA, KATHLEEN	GENERAL ELECTRIC CO	S	-7,991.60	52.25	11.40	40.85	90.0	35.63
01/26/2018	QGW035297	SIERRA, KATHLEEN	PAYPAL HOLDINGS INC COM	B	21,184.33	185.25	11.40	173.85	90.0	155.33
01/26/2018	QGW035297	SIERRA, KATHLEEN	RLJ LODGING TR COM	S	-19,759.25	52.25	11.40	40.85	90.0	35.63
01/26/2018	QGW035313	SIERRA, KENNETH	RLJ LODGING TR COM	S	-11,570.09	133.00	11.40	121.60	90.0	108.30
01/17/2018	QGW035339	GEANEY, JOSEPH	SACHEM CAPITAL CORP COMMON SHARES	B	31,363.00	900.60	11.40	889.20	90.0	799.14
01/23/2018	QGW035347	MODUGNO, ROCCO	COHERUS BIOSCIENCES INC COM	S	-11,675.00	47.50	11.40	36.10	90.0	31.35
01/23/2018	QGW035347	MODUGNO, ROCCO	GENERAL ELECTRIC CO	S	-16,613.20	84.55	11.40	73.15	90.0	64.70
01/29/2018	QGW035347	MODUGNO, ROCCO	PAYPAL HOLDINGS INC COM	B	21,185.48	185.25	11.40	173.85	90.0	155.33
01/19/2018	QGW035404	RICCA, MARIA	MANHATTAN BRDG CAP INC COM	S	-8,655.90	125.81	11.40	114.41	90.0	101.83
01/22/2018	QGW035404	RICCA, MARIA	MANHATTAN BRDG CAP INC COM	S	-494.10	47.50	11.40	36.10	90.0	31.35

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/26/2018	QGW035412 AMENITA, PATRICIA	FAT BRANDS INC COMMON STOCK	S	-12,765.00	52.25	11.40	40.85	90.0	35.63
01/12/2018	QGW035511 LYDEN, MARY	ENERGOUS CORP COM	B	29,997.15	213.75	11.40	202.35	90.0	180.98
01/23/2018	QGW035511 LYDEN, MARY	FAT BRANDS INC COMMON STOCK	S	-7,981.86	42.60	11.40	31.20	90.0	26.94
01/24/2018	QGW035511 LYDEN, MARY	FAT BRANDS INC COMMON STOCK	S	-26.43	0.13	11.40	-11.27	90.0	-11.28
01/29/2018	QGW035511 LYDEN, MARY	FAT BRANDS INC COMMON STOCK	S	-6,307.96	33.67	11.40	22.27	90.0	18.90
01/09/2018	QGW035537 LYDEN, MARY	VUZIX CORP COM NEW	S	-16,800.00	204.25	11.40	192.85	90.0	172.43
01/19/2018	QGW035552 KMIECIAK, ZBIGNIEW	BANK OF AMERICA CORP	S	-28,000.00	292.60	11.40	281.20	90.0	251.94
01/19/2018	QGW035586 MUSSLER, JAMES	ARCONIC INC COM	S	-9,035.01	33.25	11.40	21.85	90.0	18.53
12/28/2017	QGW035594 DUSATKO, ANNE	MANHATTAN BRDG CAP INC COM	S	-7,500.00	63.33	11.40	51.93	90.0	45.60
12/28/2017	QGW035594 DUSATKO, ANNE	SACHEM CAPITAL CORP COMMON SHARES	B	35,190.00	1,026.00	11.40	1,014.60	90.0	912.00
01/09/2018	QGW035594 DUSATKO, ANNE	VUZIX CORP COM NEW	S	-28,390.00	356.25	11.40	344.85	90.0	309.23
01/09/2018	QGW035594 DUSATKO, ANNE	VUZIX CORP COM NEW	S	28,390.00	-356.25	-11.40	-344.85	90.0	-309.23 C
01/09/2018	QGW035594 DUSATKO, ANNE	VUZIX CORP COM NEW	S	-25,050.00	356.25	11.40	344.85	90.0	309.23
01/11/2018	QGW035594 DUSATKO, ANNE	FAT BRANDS INC COMMON STOCK	S	-20,280.00	270.75	11.40	259.35	90.0	232.28
01/12/2018	QGW035594 DUSATKO, ANNE	ENERGOUS CORP COM	B	29,989.80	213.75	11.40	202.35	90.0	180.98
01/18/2018	QGW035594 DUSATKO, ANNE	VUZIX CORP COM NEW	S	-9,000.00	123.97	11.40	112.57	90.0	100.17
01/19/2018	QGW035594 DUSATKO, ANNE	BANK OF AMERICA CORP	S	-42,000.00	351.12	11.40	339.72	90.0	304.61
01/19/2018	QGW035594 DUSATKO, ANNE	VUZIX CORP COM NEW	S	-1,500.00	20.66	11.40	9.26	90.0	7.19
01/04/2018	QGW035610 DAVIS, VICTORIA	PAYPAL HOLDINGS INC COM	B	16,322.33	132.05	11.40	120.65	90.0	107.45
01/18/2018	QGW035636 SEIDEL, ANNE	VUZIX CORP COM NEW	S	-9,750.00	134.31	11.40	122.91	90.0	109.48
01/19/2018	QGW035636 SEIDEL, ANNE	VUZIX CORP COM NEW	S	-2,250.00	31.00	11.40	19.60	90.0	16.50
12/28/2017	QGW035644 MONGELUZZI, LINDA	SACHEM CAPITAL CORP COMMON SHARES	B	39,100.00	1,140.00	11.40	1,128.60	90.0	1,014.60
01/04/2018	QGW035644 MONGELUZZI, LINDA	GWG HLDGS INC COM	S	-5,158.56	33.25	11.40	21.85	90.0	18.53
01/18/2018	QGW035644 MONGELUZZI, LINDA	OPKO HEALTH INC	B	14,000.00	171.57	11.40	160.17	90.0	143.01
01/19/2018	QGW035644 MONGELUZZI, LINDA	OPKO HEALTH INC	B	1,000.00	13.77	11.40	2.37	90.0	0.99
01/11/2018	QGW035669 KOLLAR, ROBERT	FAT BRANDS INC COMMON STOCK	S	-10,110.00	47.50	11.40	36.10	90.0	31.35
01/19/2018	QGW035685 EICHENHOLZ, SONDR	VUZIX CORP COM NEW	S	-15,000.00	183.82	11.40	172.42	90.0	154.04
01/29/2018	QGW035685 EICHENHOLZ, SONDR	PAYPAL HOLDINGS INC COM	B	16,898.78	152.00	11.40	140.60	90.0	125.40
01/19/2018	QGW035693 MASSA, WILLIAM	BANK OF AMERICA CORP	S	-39,200.00	327.71	11.40	316.31	90.0	283.54
01/29/2018	QGW035693 MASSA, WILLIAM	PAYPAL HOLDINGS INC COM	B	25,483.74	223.25	11.40	211.85	90.0	189.53
01/16/2018	QGW035701 SZARKA, MICHAEL	ENERGOUS CORP COM	B	18,415.00	242.25	11.40	230.85	90.0	206.63
01/19/2018	QGW035701 SZARKA, MICHAEL	BANK OF AMERICA CORP	S	-28,000.00	292.60	11.40	281.20	90.0	251.94
01/29/2018	QGW035701 SZARKA, MICHAEL	PAYPAL HOLDINGS INC COM	B	25,500.00	213.75	11.40	202.35	90.0	180.98
01/19/2018	QGW035727 HERDTER, CYNTHIA	BANK OF AMERICA CORP	S	-28,000.00	292.60	11.40	281.20	90.0	251.94
01/16/2018	QGW035735 STELLATO, MICHAEL	ENERGOUS CORP COM	B	18,495.40	242.25	11.40	230.85	90.0	206.63
01/19/2018	QGW035735 STELLATO, MICHAEL	BANK OF AMERICA CORP	S	-28,000.00	292.60	11.40	281.20	90.0	251.94
01/19/2018	QGW035768 MASSA, PATRICIA	BANK OF AMERICA CORP	S	-58,800.00	346.33	11.40	334.93	90.0	300.30
01/19/2018	QGW035768 MASSA, PATRICIA	COHERUS BIOSCIENCES INC COM	S	-10,800.00	76.95	11.40	65.55	90.0	57.86
01/19/2018	QGW035768 MASSA, PATRICIA	FAT BRANDS INC COMMON STOCK	S	-752.25	4.49	11.40	-6.91	90.0	-7.36
01/23/2018	QGW035768 MASSA, PATRICIA	FAT BRANDS INC COMMON STOCK	S	-26.97	0.11	11.40	-11.29	90.0	-11.30

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/18/2018	QGW035776	ZIMMERMAN, FRANCINE	COHERUS BIOSCIENCES INC COM	S	-11,550.00	52.25	11.40	40.85	90.0 35.63
01/19/2018	QGW035776	ZIMMERMAN, FRANCINE	BANK OF AMERICA CORP	S	-28,000.00	292.60	11.40	281.20	90.0 251.94
01/09/2018	QGW035792	NOLTE, DONNA	VUZIX CORP COM NEW	S	-12,450.00	175.75	11.40	164.35	90.0 146.78
01/19/2018	QGW035792	NOLTE, DONNA	BANK OF AMERICA CORP	S	-28,000.00	292.60	11.40	281.20	90.0 251.94
01/19/2018	QGW035792	NOLTE, DONNA	VUZIX CORP COM NEW	S	-1,500.00	20.66	11.40	9.26	90.0 7.19
01/19/2018	QGW035800	SHEEHAN, MARY	BANK OF AMERICA CORP	S	-28,000.00	292.60	11.40	281.20	90.0 251.94
01/19/2018	QGW035834	CHAUDHRY, JAHANZEB	COHERUS BIOSCIENCES INC COM	S	-21,700.00	90.25	11.40	78.85	90.0 69.83
01/19/2018	QGW035834	CHAUDHRY, JAHANZEB	NABORS INDUSTRIES LTD	S	-16,000.00	196.08	11.40	184.68	90.0 165.07
01/19/2018	QGW035834	CHAUDHRY, JAHANZEB	OPKO HEALTH INC	B	13,500.00	165.44	11.40	154.04	90.0 137.50
01/19/2018	QGW035834	CHAUDHRY, JAHANZEB	VUZIX CORP COM NEW	S	-44,250.00	369.93	11.40	358.53	90.0 321.54
01/19/2018	QGW035859	SZABO, JOSEPH	BANK OF AMERICA CORP	S	-22,400.00	234.08	11.40	222.68	90.0 199.27
01/29/2018	QGW035859	SZABO, JOSEPH	ENERGOUS CORP COM	B	17,340.00	185.25	11.40	173.85	90.0 155.33
01/09/2018	QGW035867	ROGERS, MICHELE	SACHEM CAPITAL CORP COMMON SHARES	B	13,825.00	399.00	11.40	387.60	90.0 347.70
01/03/2018	QGW035883	BUDKEVICS, YANA	GWG HLDGS INC COM	S	-832.00	27.55	11.40	16.15	90.0 13.40
01/19/2018	QGW035917	BOVE, DOMINICK	BANK OF AMERICA CORP	S	-56,000.00	329.84	11.40	318.44	90.0 285.46
01/12/2018	QGW035982	PANASUK, JOHN	ENERGOUS CORP COM	B	39,995.20	275.50	11.40	264.10	90.0 236.55
01/18/2018	QGW035982	PANASUK, JOHN	COHERUS BIOSCIENCES INC COM	S	-13,802.52	84.55	11.40	73.15	90.0 64.70
01/03/2018	QGW035990	HERDTER, RICHARD	SACHEM CAPITAL CORP COMMON SHARES	B	19,550.00	570.00	11.40	558.60	90.0 501.60
01/16/2018	QGW036030	BALLO, CATHERINE	ENERGOUS CORP COM	B	27,652.50	275.50	11.40	264.10	90.0 236.55
01/19/2018	QGW036030	BALLO, CATHERINE	COHERUS BIOSCIENCES INC COM	S	-49,050.00	94.05	11.40	82.65	90.0 73.25
01/19/2018	QGW036030	BALLO, CATHERINE	FAT BRANDS INC COMMON STOCK	S	-8,810.00	42.75	11.40	31.35	90.0 27.08
01/19/2018	QGW036030	BALLO, CATHERINE	VUZIX CORP COM NEW	S	-15,000.00	183.82	11.40	172.42	90.0 154.04
01/19/2018	QGW036048	FITZPATRICK, BARRY	COHERUS BIOSCIENCES INC COM	S	-10,825.00	79.80	11.40	68.40	90.0 60.42
01/29/2018	QGW036089	HENNEMANN, PATRICIA	OPKO HEALTH INC	S	-11,925.00	90.25	11.40	78.85	90.0 69.83
01/19/2018	QGW036105	ARDOLINO, SUSAN	BANK OF AMERICA CORP	S	-28,000.00	292.60	11.40	281.20	90.0 251.94
01/26/2018	QGW036121	CZACHOR, MICHAEL	FAT BRANDS INC COMMON STOCK	S	-8,454.00	52.25	11.40	40.85	90.0 35.63
01/16/2018	QGW036154	CALLAHAN, PATRICK	ENERGOUS CORP COM	B	9,155.00	128.25	11.40	116.85	90.0 104.03
01/16/2018	QGW036154	CALLAHAN, PATRICK	FAT BRANDS INC COMMON STOCK	S	-9,494.30	83.60	11.40	72.20	90.0 63.84
01/19/2018	QGW036170	STAPLES, CHARLES	BANK OF AMERICA CORP	S	-28,000.00	292.60	11.40	281.20	90.0 251.94
01/18/2018	QGW036220	KOLLAR, RUTH	HERC HLDGS INC COM	S	-4,159.60	45.60	11.40	34.20	90.0 29.64
01/23/2018	QGW036220	KOLLAR, RUTH	SACHEM CAPITAL CORP COMMON SHARES	B	20,530.12	592.80	11.40	581.40	90.0 522.12
01/19/2018	QGW036279	MIKO, ARTHUR	TRANSOCEAN LTD US LISTED	S	-19,950.00	244.49	11.40	233.09	90.0 208.64
01/03/2018	QGW036311	FAST, KENNETH	ABATTIS BIOCEUTICALS CORP COM ISIN# B		1,350.80	52.25	11.40	40.85	90.0 35.63
01/03/2018	QGW036311	FAST, KENNETH	EMERALD HEALTH THERAPEUTICS INC COM B		6,310.00	61.75	11.40	50.35	90.0 44.18
01/03/2018	QGW036311	FAST, KENNETH	NAMASTE TECHNOLOGIES INC SHS ISIN#C B		2,929.00	52.25	11.40	40.85	90.0 35.63
01/19/2018	QGW036329	WOLF, KIM	NABORS INDUSTRIES LTD	S	-14,000.00	171.57	11.40	160.17	90.0 143.01
01/19/2018	QGW036329	WOLF, KIM	VUZIX CORP COM NEW	S	-15,000.00	183.82	11.40	172.42	90.0 154.04
01/29/2018	QGW036329	WOLF, KIM	PAYPAL HOLDINGS INC COM	B	42,255.00	299.25	11.40	287.85	90.0 257.93
01/12/2018	QGW036394	LIPANI, CHRISTINE	TRANSOCEAN LTD US LISTED	S	-16,800.00	205.88	11.40	194.48	90.0 173.89
01/02/2018	QGW036725	CIRNIGLIARO,	SACHEM CAPITAL CORP COMMON SHARES	B	19,550.00	570.00	11.40	558.60	90.0 501.60

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/19/2018	QGW036899	SARLO, JESSICA							
		COHERUS BIOSCIENCES INC COM	S	-10,900.00	52.25	11.40	40.85	90.0	35.63
01/23/2018	QGW037038	TUIFEL, FRANK							
		SACHEM CAPITAL CORP COMMON SHARES	B	7,900.00	228.00	11.40	216.60	90.0	193.80
12/29/2017	QGW037103	BURNS, KATHLEEN							
		GWG HLDGS INC COM	B	15,106.00	108.05	11.40	96.65	90.0	85.85
01/12/2018	QGW037103	BURNS, KATHLEEN							
		ENERGIOUS CORP COM	B	19,996.70	147.25	11.40	135.85	90.0	121.13
01/18/2018	QGW037103	BURNS, KATHLEEN							
		OPKO HEALTH INC	B	15,000.00	183.82	11.40	172.42	90.0	154.04
01/19/2018	QGW037103	BURNS, KATHLEEN							
		OPKO HEALTH INC	B	13,500.00	165.44	11.40	154.04	90.0	137.50
01/17/2018	QGW037111	GILMARTIN, MARGARET							
		AMERICAN INTERNATIONAL GROUP INC	S	-2,515.92	42.75	11.40	31.35	90.0	27.08
01/17/2018	QGW037111	GILMARTIN, MARGARET							
		GENERAL ELECTRIC CO	S	-1,427.78	41.80	11.40	30.40	90.0	26.22
01/17/2018	QGW037111	GILMARTIN, MARGARET							
		WTS AMERICAN INTERNATIONAL GROUP IN S	S	-418.36	28.50	11.40	17.10	90.0	14.25
01/04/2018	QGW038382	VINCENTI, ROBERT							
		PAYPAL HOLDINGS INC COM	B	13,890.60	118.75	11.40	107.35	90.0	95.48
01/09/2018	QGW038556	LAPINTA, LEONARD							
		VUZIX CORP COM NEW	S	-33,000.00	422.75	11.40	411.35	90.0	369.08
01/16/2018	QGW039042	VODOLA, RICHARD							
		SACHEM CAPITAL CORP COMMON SHARES	B	49,292.48	1,413.60	11.40	1,402.20	90.0	1,260.84
01/16/2018	QGW039042	VODOLA, RICHARD							
		SACHEM CAPITAL CORP COMMON SHARES	B	-49,292.48	-1,413.60	-11.40	-1,402.20	90.0	-1,260.84 C
01/16/2018	QGW039042	VODOLA, RICHARD							
		SACHEM CAPITAL CORP COMMON SHARES	B	49,292.48	1,119.10	11.40	1,107.70	90.0	995.79
01/29/2018	QGW039117	CUPAIOLO, JOSEPH							
		AMAZON.COM INC	B	15,564.74	109.25	11.40	97.85	90.0	86.93
01/29/2018	QGW039117	CUPAIOLO, JOSEPH							
		PAYPAL HOLDINGS INC COM	B	10,153.80	90.25	11.40	78.85	90.0	69.83
01/29/2018	QGW039216	MCCARTHY, MARCIA							
		VUZIX CORP COM NEW	B	14,025.00	137.75	11.40	126.35	90.0	112.58
* STOCKS SUBTOTAL *				\$-460,870.25	\$24,062.41	\$1,333.80	\$22,728.61		\$20,322.63

PRODUCT CATEGORY: OPTIONS

01/23/2018	QGW031783	JACKSON, FRANK							
		CALL 100 SNAP INC CL A EXP 04-20-18 S		-444.00	47.50	12.82	34.68	90.0	29.93
01/10/2018	QGW035040	THUILOT, CHARLES							
		CALL 100 NABORS INDS LTD SHS ISIN#B S		-700.00	64.60	16.15	48.45	90.0	41.99
01/22/2018	QGW035040	THUILOT, CHARLES							
		CALL 100 TRANSOCEAN LTD REG SHS ISI S		-1,400.00	94.05	16.15	77.90	90.0	68.50
01/22/2018	QGW035040	THUILOT, CHARLES							
		PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-3,500.00	147.25	16.15	131.10	90.0	116.38
01/23/2018	QGW035040	THUILOT, CHARLES							
		CALL 100 SNAP INC CL A EXP 04-20-18 S		-1,440.00	104.50	14.96	89.54	90.0	79.09
01/03/2018	QGW035123	BOWLEY, KEVIN							
		CALL 100 TRANSOCEAN LTD REG SHS ISI S		-165.00	22.80	14.96	7.84	90.0	5.56
01/29/2018	QGW035172	O'NEILL, RORY							
		CALL 100 TWITTER INC COM EXP 03-16- S		-630.00	57.00	12.82	44.18	90.0	38.48
01/29/2018	QGW035172	O'NEILL, RORY							
		PUT 100 ENERGIOUS CORP COM EXP 05-18 S		-3,400.00	171.00	13.77	157.23	90.0	140.13
01/29/2018	QGW035172	O'NEILL, RORY							
		PUT 100 ENERGIOUS CORP COM EXP 05-18 S		3,400.00	-171.00	-13.77	-157.23	90.0	-140.13 C
01/29/2018	QGW035172	O'NEILL, RORY							
		PUT 100 ENERGIOUS CORP COM EXP 05-18 S		-3,400.00	156.75	13.77	142.98	90.0	127.31
01/29/2018	QGW035172	O'NEILL, RORY							
		PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-4,900.00	185.25	16.15	169.10	90.0	150.58
01/23/2018	QGW035180	PUE, MARYANN							
		CALL 100 SNAP INC CL A EXP 04-20-18 S		-101.00	8.97	11.64	-2.67	90.0	-3.57
01/24/2018	QGW035180	PUE, MARYANN							
		CALL 100 SNAP INC CL A EXP 04-20-18 S		-808.00	71.77	13.30	58.47	90.0	51.29
01/24/2018	QGW035198	WULFF, HANS							
		CALL 100 SNAP INC CL A EXP 04-20-18 S		-1,020.00	94.05	13.77	80.28	90.0	70.88
01/26/2018	QGW035198	WULFF, HANS							
		CALL 100 SIRIUS XM HLDGS INC COM EX S		-600.00	55.10	18.52	36.58	90.0	31.07
01/10/2018	QGW035214	BIANCO, BARBARA							
		PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-3,600.00	147.25	16.15	131.10	90.0	116.38
01/10/2018	QGW035214	BIANCO, BARBARA							
		PUT 100 VUZIX CORP COM NEW EXP 07-2 S		3,600.00	-147.25	-16.15	-131.10	90.0	-116.38 C
01/10/2018	QGW035214	BIANCO, BARBARA							
		PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-3,600.00	147.25	16.15	131.10	90.0	116.38
01/29/2018	QGW035214	BIANCO, BARBARA							
		CALL 100 TWITTER INC COM EXP 03-16- S		-910.00	83.60	13.77	69.83	90.0	61.47
01/29/2018	QGW035214	BIANCO, BARBARA							
		CALL 100 TWITTER INC COM EXP 03-16- S		910.00	-83.60	-13.77	-69.83	90.0	-61.47 C

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/29/2018	QGW035214	BIANCO, BARBARA	CALL 100	TWITTER INC COM EXP 03-16- S	-910.00	83.60	13.77	69.83	90.0 61.47
01/03/2018	QGW035222	BIANCO, BARBARA	CALL 100	SNAP INC CL A EXP 04-20-18 S	-1,026.00	94.05	13.54	80.51	90.0 71.11
01/03/2018	QGW035248	BELZ, ERIC	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-16.00	1.96	11.64	-9.68	90.0 -9.88
01/04/2018	QGW035248	BELZ, ERIC	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-224.00	27.48	14.72	12.76	90.0 10.01
01/29/2018	QGW035255	BROCHARD, MARIA	PUT 100	ENERGOUS CORP COM EXP 05-18 S	-4,200.00	161.50	16.15	145.35	90.0 129.20
01/26/2018	QGW035297	SIERRA, KATHLEEN	PUT 100	ENERGOUS CORP COM EXP 05-18 S	-3,600.00	94.05	13.77	80.28	90.0 70.88
01/26/2018	QGW035297	SIERRA, KATHLEEN	PUT 100	VUZIX CORP COM NEW EXP 04-2 S	-4,400.00	64.60	16.15	48.45	90.0 41.99
01/26/2018	QGW035313	SIERRA, KENNETH	PUT 100	VUZIX CORP COM NEW EXP 10-1 S	-4,500.00	178.60	16.15	162.45	90.0 144.59
01/23/2018	QGW035347	MODUGNO, ROCCO	PUT 100	VUZIX CORP COM NEW EXP 04-2 S	-2,250.00	137.75	17.34	120.41	90.0 106.64
01/24/2018	QGW035347	MODUGNO, ROCCO	CALL 100	SNAP INC CL A EXP 04-20-18 S	-1,020.00	94.05	13.77	80.28	90.0 70.88
01/03/2018	QGW035362	MOON, STEVEN	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-209.00	27.55	15.91	11.64	90.0 8.89
01/23/2018	QGW035404	RICCA, MARIA	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-3,400.00	152.00	16.15	135.85	90.0 120.65
01/29/2018	QGW035404	RICCA, MARIA	PUT 100	ENERGOUS CORP COM EXP 05-18 S	-1,900.00	77.90	12.59	65.31	90.0 57.52
01/29/2018	QGW035412	AMENITA, PATRICIA	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-4,950.00	152.00	14.96	137.04	90.0 121.84
01/03/2018	QGW035511	LYDEN, MARY	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-240.00	27.55	16.15	11.40	90.0 8.65
01/04/2018	QGW035511	LYDEN, MARY	CALL 100	NABORS INDS LTD SHS ISIN#B S	-900.00	90.25	18.52	71.73	90.0 62.71
01/23/2018	QGW035511	LYDEN, MARY	CALL 100	SNAP INC CL A EXP 04-20-18 S	-1,110.00	94.05	14.96	79.09	90.0 69.69
01/04/2018	QGW035537	LYDEN, MARY	CALL 100	NABORS INDS LTD SHS ISIN#B S	-600.00	67.45	16.15	51.30	90.0 44.56
01/10/2018	QGW035537	LYDEN, MARY	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-3,700.00	147.25	16.15	131.10	90.0 116.38
01/18/2018	QGW035545	KMIECIAK, INES	PUT 100	VUZIX CORP COM NEW EXP 04-2 S	-3,600.00	147.25	18.52	128.73	90.0 114.01
01/30/2018	QGW035578	MUSSLER, LINDA	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-1,050.00	47.50	12.11	35.39	90.0 30.64
01/02/2018	QGW035594	DUSATKO, ANNE	CALL 100	NABORS INDS LTD SHS ISIN#B S	-765.00	73.15	22.09	51.06	90.0 43.75
01/24/2018	QGW035594	DUSATKO, ANNE	CALL 100	SNAP INC CL A EXP 04-20-18 S	-1,224.00	109.25	14.25	95.00	90.0 84.08
01/26/2018	QGW035594	DUSATKO, ANNE	PUT 100	ENERGOUS CORP COM EXP 03-16 S	-3,825.00	147.25	14.96	132.29	90.0 117.57
01/29/2018	QGW035594	DUSATKO, ANNE	PUT 100	VUZIX CORP COM NEW EXP 04-2 S	-5,750.00	185.25	17.34	167.91	90.0 149.39
01/04/2018	QGW035610	DAVIS, VICTORIA	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-180.00	33.25	12.59	20.66	90.0 17.34
01/02/2018	QGW035644	MONGELUZZI, LINDA	CALL 100	NABORS INDS LTD SHS ISIN#B S	-360.00	32.30	16.15	16.15	90.0 12.92
01/03/2018	QGW035644	MONGELUZZI, LINDA	CALL 100	SNAP INC CL A EXP 02-16-18 S	-860.00	81.70	13.77	67.93	90.0 59.76
01/22/2018	QGW035644	MONGELUZZI, LINDA	CALL 100	TWITTER INC COM EXP 03-16- S	-1,650.00	94.05	17.34	76.71	90.0 67.31
01/22/2018	QGW035644	MONGELUZZI, LINDA	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-720.00	64.60	14.96	49.64	90.0 43.18
01/29/2018	QGW035644	MONGELUZZI, LINDA	PUT 100	ENERGOUS CORP COM EXP 05-18 S	-3,750.00	152.00	13.77	138.23	90.0 123.03
01/24/2018	QGW035669	KOLLAR, ROBERT	CALL 100	SNAP INC CL A EXP 04-20-18 S	-1,020.00	94.05	13.77	80.28	90.0 70.88
01/26/2018	QGW035669	KOLLAR, ROBERT	PUT 100	ENERGOUS CORP COM EXP 05-18 S	-7,400.00	161.50	16.15	145.35	90.0 129.20
01/26/2018	QGW035669	KOLLAR, ROBERT	PUT 100	VUZIX CORP COM NEW EXP 04-2 S	-1,700.00	94.05	16.15	77.90	90.0 68.50
01/24/2018	QGW035685	EICHENHOLZ, SONDR	CALL 100	SNAP INC CL A EXP 04-20-18 S	-808.00	76.00	13.30	62.70	90.0 55.10
01/26/2018	QGW035685	EICHENHOLZ, SONDR	PUT 100	VUZIX CORP COM NEW EXP 04-2 S	-3,375.00	152.00	14.96	137.04	90.0 121.84
01/04/2018	QGW035701	SZARKA, MICHAEL	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-216.00	29.45	14.25	15.20	90.0 12.26
01/02/2018	QGW035727	HERDTER, CYNTHIA	CALL 100	NABORS INDS LTD SHS ISIN#B S	-198.00	18.05	14.01	4.04	90.0 2.24
01/23/2018	QGW035727	HERDTER, CYNTHIA	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-3,400.00	147.25	16.15	131.10	90.0 116.38
01/26/2018	QGW035727	HERDTER, CYNTHIA	PUT 100	VUZIX CORP COM NEW EXP 04-2 S	-2,250.00	104.50	13.77	90.73	90.0 80.28

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/29/2018	QGW035727	HERDTER, CYNTHIA	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-2,400.00	94.05	13.77	80.28	90.0	70.88
01/03/2018	QGW035735	STELLATO, MICHAEL	CALL 100 SNAP INC CL A EXP 04-20-18 S	-570.00	57.95	12.59	45.36	90.0	39.57
01/18/2018	QGW035743	KUDLIK, CHRIS	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-1,200.00	94.05	13.77	80.28	90.0	70.88
01/29/2018	QGW035743	KUDLIK, CHRIS	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-2,145.00	94.05	14.49	79.56	90.0	70.16
01/18/2018	QGW035768	MASSA, PATRICIA	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-3,600.00	118.75	18.52	100.23	90.0	88.36
01/22/2018	QGW035768	MASSA, PATRICIA	CALL 100 NABORS INDS LTD SHS ISIN#B S	-940.00	93.10	16.15	76.95	90.0	67.64
01/22/2018	QGW035768	MASSA, PATRICIA	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-1,460.00	94.05	16.15	77.90	90.0	68.50
01/22/2018	QGW035768	MASSA, PATRICIA	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-3,400.00	118.75	16.15	102.60	90.0	90.73
01/26/2018	QGW035768	MASSA, PATRICIA	PUT 100 ENERGOUS CORP COM EXP 03-16 S	-2,450.00	109.25	13.77	95.48	90.0	84.56
01/02/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 NABORS INDS LTD SHS ISIN#B S	-720.00	73.15	20.90	52.25	90.0	44.94
01/04/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-320.00	36.10	16.15	19.95	90.0	16.34
01/23/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 SNAP INC CL A EXP 04-20-18 S	-1,485.00	109.25	14.96	94.29	90.0	83.37
01/29/2018	QGW035776	ZIMMERMAN, FRANCINE	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-5,625.00	175.75	14.96	160.79	90.0	143.22
01/29/2018	QGW035776	ZIMMERMAN, FRANCINE	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-1,800.00	94.05	16.15	77.90	90.0	68.50
01/09/2018	QGW035792	NOLTE, DONNA	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-3,000.00	94.05	16.15	77.90	90.0	68.50
01/22/2018	QGW035792	NOLTE, DONNA	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-550.00	56.05	14.01	42.04	90.0	36.44
01/29/2018	QGW035792	NOLTE, DONNA	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-3,300.00	133.00	16.15	116.85	90.0	103.55
12/29/2017	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 OPKO HEALTH S	-450.00	46.55	18.52	28.03	90.0	23.38
01/10/2018	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 OPKO HEALTH INC COM EXP 01 S	-300.00	33.25	25.65	7.60	90.0	4.28
01/26/2018	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 OPKO HEALTH INC COM EXP 03 S	-1,408.50	94.05	32.77	61.28	90.0	51.88
01/26/2018	QGW035834	CHAUDHRY, JAHANZEB	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-5,550.00	185.25	14.96	170.29	90.0	151.77
01/24/2018	QGW035891	DICKIE, JAYNE	CALL 100 SNAP INC CL A EXP 04-20-18 S	-618.00	59.85	12.82	47.03	90.0	41.05
01/05/2018	QGW035917	BOVE, DOMINICK	CALL 100 PENTAIR PLC SHS ISIN#IE00B S	-375.00	42.75	12.59	30.16	90.0	25.89
01/03/2018	QGW035925	MOTT, LINDA	CALL 100 SNAP INC CL A EXP 04-20-18 S	-920.00	87.40	13.30	74.10	90.0	65.36
01/03/2018	QGW035982	PANASUK, JOHN	CALL 100 SNAP INC CL A EXP 02-16-18 S	-870.00	80.75	13.77	66.98	90.0	58.91
01/11/2018	QGW035982	PANASUK, JOHN	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-1,000.00	71.25	20.90	50.35	90.0	43.23
01/03/2018	QGW035990	HERDTER, RICHARD	CALL 100 SNAP INC CL A EXP 04-20-18 S	-819.00	76.00	13.06	62.94	90.0	55.34
01/22/2018	QGW035990	HERDTER, RICHARD	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-720.00	76.95	14.96	61.99	90.0	54.30
01/22/2018	QGW036006	WALLNER, ERIC	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-803.00	42.75	14.01	28.74	90.0	24.47
01/26/2018	QGW036006	WALLNER, ERIC	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-6,400.00	152.00	16.15	135.85	90.0	120.65
01/04/2018	QGW036030	BALLO, CATHERINE	CALL 100 NABORS INDS LTD SHS ISIN#B S	-440.00	45.60	16.15	29.45	90.0	24.89
01/23/2018	QGW036030	BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 04-20-18 S	-101.00	6.96	11.64	-4.68	90.0	-5.38
01/23/2018	QGW036030	BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 04-20-18 S	101.00	-6.96	-11.64	4.68	90.0	5.38 C
01/23/2018	QGW036030	BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 04-20-18 S	-101.00	6.96	11.64	-4.68	90.0	-5.38
01/24/2018	QGW036030	BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 04-20-18 S	-1,414.00	97.53	14.72	82.81	90.0	73.06
01/24/2018	QGW036030	BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 04-20-18 S	1,414.00	-97.53	-14.72	-82.81	90.0	-73.06 C
01/24/2018	QGW036030	BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 04-20-18 S	-1,414.00	97.53	14.72	82.81	90.0	73.06
01/25/2018	QGW036030	BALLO, CATHERINE	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-1,870.00	49.25	14.01	35.24	90.0	30.32
01/29/2018	QGW036030	BALLO, CATHERINE	PUT 100 ENERGOUS CORP COM EXP 03-16 S	-2,600.00	104.50	13.77	90.73	90.0	80.28
01/29/2018	QGW036030	BALLO, CATHERINE	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-4,080.00	107.48	17.10	90.38	90.0	79.63

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/03/2018	QGW036048	FITZPATRICK, BARRY	CALL 100 SNAP INC CL A EXP 04-20-18 S	-1,035.00	94.05	13.54	80.51	90.0	71.11
01/04/2018	QGW036048	FITZPATRICK, BARRY	CALL 100 NABORS INDS LTD SHS ISIN#B S	-420.00	47.50	16.15	31.35	90.0	26.60
01/04/2018	QGW036048	FITZPATRICK, BARRY	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-255.00	30.40	14.96	15.44	90.0	12.40
01/23/2018	QGW036063	PICCININNI,	CALL 100 SNAP INC CL A EXP 02-16-18 S	-385.00	41.80	12.59	29.21	90.0	25.03
01/22/2018	QGW036071	HENNEMANN, DENNIS	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-500.00	38.00	13.77	24.23	90.0	20.43
01/29/2018	QGW036071	HENNEMANN, DENNIS	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-3,800.00	137.75	13.77	123.98	90.0	110.21
01/03/2018	QGW036089	HENNEMANN, PATRICIA	CALL 100 SNAP INC CL A EXP 02-16-18 S	-850.00	79.80	13.77	66.03	90.0	58.05
01/11/2018	QGW036089	HENNEMANN, PATRICIA	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-625.00	30.40	17.34	13.06	90.0	10.02
01/26/2018	QGW036089	HENNEMANN, PATRICIA	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-3,700.00	147.25	13.77	133.48	90.0	118.76
01/04/2018	QGW036105	ARDOLINO, SUSAN	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-222.00	27.55	12.82	14.73	90.0	11.98
01/24/2018	QGW036238	LENTO, JOHN	CALL 100 SNAP INC CL A EXP 04-20-18 S	-824.00	68.40	13.30	55.10	90.0	48.26
01/29/2018	QGW036279	MIKO, ARTHUR	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-3,500.00	171.00	13.77	157.23	90.0	140.13
01/29/2018	QGW036279	MIKO, ARTHUR	PUT 100 ENERGOUS CORP COM EXP 05-18 S	3,500.00	-171.00	-13.77	-157.23	90.0	-140.13 C
01/29/2018	QGW036279	MIKO, ARTHUR	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-3,500.00	161.50	13.77	147.73	90.0	131.58
01/24/2018	QGW036311	FAST, KENNETH	CALL 100 SNAP INC CL A EXP 04-20-18 S	-990.00	90.25	13.77	76.48	90.0	67.46
01/22/2018	QGW036329	WOLF, KIM	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-1,540.00	94.05	16.15	77.90	90.0	68.50
01/29/2018	QGW036329	WOLF, KIM	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-3,500.00	171.00	13.77	157.23	90.0	140.13
01/29/2018	QGW036329	WOLF, KIM	PUT 100 ENERGOUS CORP COM EXP 05-18 S	3,500.00	-171.00	-13.77	-157.23	90.0	-140.13 C
01/29/2018	QGW036329	WOLF, KIM	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-2,250.00	133.00	17.34	115.66	90.0	102.36
01/04/2018	QGW036345	GALATI, VINCENT	CALL 100 NABORS INDS LTD SHS ISIN#B S	-360.00	46.55	14.25	32.30	90.0	27.65
01/23/2018	QGW036899	SARLO, JESSICA	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-2,720.00	102.60	15.20	87.40	90.0	77.14
01/29/2018	QGW036899	SARLO, JESSICA	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-1,615.00	71.25	15.44	55.81	90.0	48.69
01/29/2018	QGW036956	BROCHARD, THOMAS	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-2,300.00	94.05	16.15	77.90	90.0	68.50
01/03/2018	QGW037087	01/19/2016, U/A	CALL 100 SNAP INC CL A EXP 04-20-18 S	-912.00	83.60	13.30	70.30	90.0	61.94
01/26/2018	QGW037087	01/19/2016, U/A	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-1,700.00	94.05	16.15	77.90	90.0	68.50
01/30/2018	QGW037087	01/19/2016, U/A	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-2,100.00	94.05	12.82	81.23	90.0	71.83
01/30/2018	QGW037087	01/19/2016, U/A	PUT 100 ENERGOUS CORP COM EXP 05-18 S	2,100.00	-94.05	-12.82	-81.23	90.0	-71.83 C
01/30/2018	QGW037087	01/19/2016, U/A	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-2,100.00	94.05	12.82	81.23	90.0	71.83
12/29/2017	QGW037103	BURNS, KATHLEEN	PUT 100 OPKO HEALTH S	-450.00	46.55	18.52	28.03	90.0	23.38
01/05/2018	QGW037103	BURNS, KATHLEEN	CALL 100 PENTAIR PLC SHS ISIN#IE00B S	-800.00	76.95	13.77	63.18	90.0	55.49
01/11/2018	QGW037103	BURNS, KATHLEEN	CALL 100 COHERUS BIOSCIENCES INC CO S	-1,100.00	93.10	16.15	76.95	90.0	67.64
01/23/2018	QGW037103	BURNS, KATHLEEN	CALL 100 OPKO HEALTH INC COM EXP 02 S	-900.00	83.60	25.65	57.95	90.0	49.59
01/10/2018	QGW038556	LAPINTA, LEONARD	PUT 100 VUZIX CORP COM NEW EXP 02-1 S	-2,000.00	109.25	20.90	88.35	90.0	77.43
01/18/2018	QGW038556	LAPINTA, LEONARD	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-2,400.00	114.00	16.15	97.85	90.0	86.45
* OPTIONS SUBTOTAL *				\$-211,920.50	\$10,511.70	\$1,823.11	\$8,688.59		\$7,637.74
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
01/31/2018	VARIOUS, BELZ RICHARD	ADVISOR GUIDED	B	0.00	13.24	0.00	13.24	90.0	11.92
01/31/2018	VARIOUS, BELZ RICHARD	FRANKLIN	B	0.00	73.34	0.00	73.34	90.0	66.01
01/31/2018	VARIOUS, BELZ RICHARD	GWG	B	0.00	4,845.00	0.00	4,845.00	90.0	4,360.50

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
01/31/2018	VARIOUS, BELZ RICHARD	HANCOCK	B	0.00	1.20	0.00	1.20	90.0	1.08
01/31/2018	VARIOUS, BELZ RICHARD	OPPENHEIMER	B	0.00	11,273.22	0.00	11,273.22	90.0	10,145.90
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$16,206.00	\$0.00	\$16,206.00		\$14,585.41
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/22/2018	QGW031783 JACKSON, FRANK	FRANKLIN GROWTH FUND CLASS A	B	0.00	4.05	0.00	4.05	90.0	3.65
01/22/2018	QGW034035 FAMILY 2012 IRREV	PUTNAM 746745207	B	0.00	21.51	0.00	21.51	90.0	19.36
01/22/2018	QGW034035 FAMILY 2012 IRREV	PUTNAM 746802511	B	0.00	9.93	0.00	9.93	90.0	8.94
01/22/2018	QGW035503 SIMPSON, DAVID	GLOBAL OPPORTUNITIES A	B	0.00	1.16	0.00	1.16	90.0	1.04
01/12/2018	QGW036774 STOCKWELL, GLENN	DEUTSCHE HIGH INCOME FUND CLASS A	B	0.00	5.76	0.00	5.76	90.0	5.18
01/12/2018	QGW036774 STOCKWELL, GLENN	DREYFUS RESH GROWTH FD INC SHS CL A B	B	0.00	9.36	0.00	9.36	90.0	8.42
01/18/2018	QGW036774 STOCKWELL, GLENN	ALLIANCEBERNSTEIN GROWTH AND INC A B	B	0.00	4.03	0.00	4.03	90.0	3.63
01/18/2018	QGW036774 STOCKWELL, GLENN	ALLIANCEBERNSTEIN LARGE-CAP GROWTH B	B	0.00	11.38	0.00	11.38	90.0	10.24
01/25/2018	QGW036774 STOCKWELL, GLENN	INVESCO GLOBAL REAL ESTATE INCOME F B	B	0.00	24.60	0.00	24.60	90.0	22.14
01/22/2018	QGW036980 MARCOLINI, COREY	ROCHESTER FUND MUNICIPALS A	B	0.00	19.39	0.00	19.39	90.0	17.45
01/17/2018	QGW037111 GILMARTIN, MARGARET	PRINCIPAL PREFERRED SECURITIES FUND B	B	0.00	0.16	0.00	0.16	90.0	0.14
01/17/2018	QGW037129 GILMARTIN, MARGARET	PRINCIPAL PREFERRED SECURITIES FUND B	B	0.00	0.65	0.00	0.65	90.0	0.59
01/17/2018	QGW037129 GILMARTIN, MARGARET	THE HARTFORD CAPITAL APPRECIATION F B	B	0.00	5.22	0.00	5.22	90.0	4.70
01/17/2018	QGW038002 WICKERS, SCOTT	FIDELITY ADVISOR BALANCED CLASS A	B	0.00	2.73	0.00	2.73	90.0	2.46
01/17/2018	QGW038002 WICKERS, SCOTT	FIDELITY ADVISOR EQUITY INCOME CL A B	B	0.00	2.91	0.00	2.91	90.0	2.62
01/24/2018	QGW038002 WICKERS, SCOTT	BLACKROCK HIGH YIELD BOND FUND CLAS B	B	0.00	1.64	0.00	1.64	90.0	1.48
01/24/2018	QGW038002 WICKERS, SCOTT	BLACKROCK BASIC VALUE FUND INC CLAS B	B	0.00	3.31	0.00	3.31	90.0	2.98
01/24/2018	QGW038002 WICKERS, SCOTT	BLACKROCK EMERGING MARKETS FUND, IN B	B	0.00	3.30	0.00	3.30	90.0	2.97
01/24/2018	QGW038002 WICKERS, SCOTT	BLACKROCK CAP APPRECIATION FD INC C B	B	0.00	3.78	0.00	3.78	90.0	3.40
01/24/2018	QGW038002 WICKERS, SCOTT	BLACKROCK GLOBAL ALLOCATION FUND IN B	B	0.00	2.85	0.00	2.85	90.0	2.57
01/24/2018	QGW038002 WICKERS, SCOTT	BLACKROCK VALUE OPPORTUNITIES FUND B	B	0.00	1.41	0.00	1.41	90.0	1.27
01/24/2018	QGW038002 WICKERS, SCOTT	BLACKROCK SMALL CAP GROWTH FUND II B	B	0.00	1.43	0.00	1.43	90.0	1.29
01/24/2018	QGW038002 WICKERS, SCOTT	BLACKROCK STRATEGIC INCOME OPPORTUN B	B	0.00	1.35	0.00	1.35	90.0	1.22
01/22/2018	QGW038556 LAPINTA, LEONARD	GLOBAL FUND A	B	0.00	5.40	0.00	5.40	90.0	4.86
01/22/2018	QGW038556 LAPINTA, LEONARD	OPPENHEIMER DEVELOPING MARKETS CLAS B	B	0.00	6.43	0.00	6.43	90.0	5.79
01/22/2018	QGW038556 LAPINTA, LEONARD	QUEST VALUE A	B	0.00	7.93	0.00	7.93	90.0	7.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$161.67	\$0.00	\$161.67		\$145.53
*** BROKER TRANSACTIONS TOTAL ***				\$-662,377.75	\$51,260.90	\$3,156.91	\$48,103.99		\$42,978.55

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

01/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
01/31/2018		OTHER: OTHER		0.00	0.00	0.00	0.00		-150.00
01/31/2018		OTHER: OTHER-TELEPHONE		0.00	0.00	0.00	0.00		-61.75
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-231.75

BROKER COMMISSION TOTALS

Broker Transactions	\$42,978.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-231.75
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-43.82
SIPC Assessment	\$-87.64

Check Amount	\$42,615.34
Year-to-date Earnings	\$42,615.34