



All Companies
COMMISSION STATEMENT
August 01, 2018

07/30/2018
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JOHN HOWARD RUSSELL
4618 118TH STREET

BROKER #: 9632
BRANCH: HOME OFFICE

Lubbock, TX 79424-

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
07/16/2018	00073302989 RUSSELL, DAVID/NICKY	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	85.0	0.43
07/17/2018	00073404574 SULLIVAN/POTTS, SHERRY/JADEN	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	85.0	0.85
07/09/2018	00073552220 BARR, CHESTER A	CAPITAL WORLD GROWTH AND INCOME-529 B		75.00	2.81	0.00	2.81	85.0	2.39
07/09/2018	00073552220 BARR, CHESTER A	WASHINGTON MUTUAL INVESTORS FUND-52 B		75.00	2.81	0.00	2.81	85.0	2.39
07/17/2018	00074657443 SULLIVAN/POTTS, SHERRY/GRANT	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	85.0	0.85
07/12/2018	00075700317 BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B		504.20	10.08	0.00	10.08	85.0	8.57
07/12/2018	00075700317 BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B		252.10	5.04	0.00	5.04	85.0	4.28
07/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD B		310.31	6.21	0.00	6.21	85.0	5.28
07/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD B		103.44	2.07	0.00	2.07	85.0	1.76
07/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B		558.56	11.17	0.00	11.17	85.0	9.49
07/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B		186.18	3.72	0.00	3.72	85.0	3.16
07/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B		372.38	7.45	0.00	7.45	85.0	6.33
07/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B		124.13	2.48	0.00	2.48	85.0	2.11
07/12/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B		100.00	2.00	0.00	2.00	85.0	1.70
07/12/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B		34.12	0.68	0.00	0.68	85.0	0.58
07/12/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B		100.00	2.00	0.00	2.00	85.0	1.70
07/12/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B		34.12	0.68	0.00	0.68	85.0	0.58
07/12/2018	00082170622 SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B		62.53	1.25	0.00	1.25	85.0	1.06
07/12/2018	00082170622 SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B		62.53	1.25	0.00	1.25	85.0	1.06
07/12/2018	00083008236 RUSSWURM, CLAYTON R	AMERICAN FUNDS EURO PACIFIC GROWT B		51.34	1.03	0.00	1.03	85.0	0.88
07/12/2018	00083008236 RUSSWURM, CLAYTON R	AMERICAN FUNDS EURO PACIFIC GROWT B		128.36	2.57	0.00	2.57	85.0	2.18
07/12/2018	00083008236 RUSSWURM, CLAYTON R	AMERICAN FUNDS GROWTH FUND OF AMERI B		154.03	3.08	0.00	3.08	85.0	2.62
07/12/2018	00083008236 RUSSWURM, CLAYTON R	AMERICAN FUNDS GROWTH FUND OF AMERI B		385.07	7.70	0.00	7.70	85.0	6.55
07/12/2018	00083008373 BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD GROWT B		336.67	6.73	0.00	6.73	85.0	5.72

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/12/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD	GROWT B	168.34	3.37	0.00	3.37	85.0	2.86
07/12/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL	INVES B	168.33	3.37	0.00	3.37	85.0	2.86
07/12/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL	INVES B	336.67	6.73	0.00	6.73	85.0	5.72
07/09/2018	00083499515	SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN	B	200.00	2.00	0.00	2.00	85.0	1.70
07/16/2018	00084758983	HOWARD/BEAUDOIN, IRENE/LAUREN.	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
07/16/2018	00084759195	HOWARD/BEAUDOIN, IRENE/LANDON	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
07/16/2018	00084759209	HOWARD/BEAUDOIN, IRENE/AUSTIN	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
07/16/2018	00084759232	HOWARD/BEAUDOIN, IRENE/DAKOTA	THE INCOME FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
07/17/2018	00085209795	SULLIVAN/MCGRAFF, SHERRY/REECE	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	85.0	0.85
07/20/2018	00085797272	RUSSELL/PEEPLES, DAVID/HAVEN	AMERICAN BALANCED FUND-529A	B	50.00	1.38	0.00	1.38	85.0	1.17
07/20/2018	00085797295	RUSSELL/PEEPLES, DAVID/KATE	NEW PERSPECTIVE FUND-529A	B	50.00	1.38	0.00	1.38	85.0	1.17
07/20/2018	00085797300	RUSSELL/PEEPLES, DAVID/ELLE	NEW PERSPECTIVE FUND-529A	B	50.00	1.38	0.00	1.38	85.0	1.17
07/16/2018	00087897269	ASHCRAFT OGDEN, ZOIE	FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	85.0	1.70
07/20/2018	00088263914	GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14	85.0	0.12
07/20/2018	00088263914	GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14	85.0	0.12
07/20/2018	00088263914	GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28	85.0	0.24
07/20/2018	00088263914	GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28	85.0	0.24
07/20/2018	00088263934	DUNN, JOSEPH C.	FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
07/20/2018	00088263934	DUNN, JOSEPH C.	FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
07/20/2018	00088263934	DUNN, JOSEPH C.	NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38	85.0	0.32
07/20/2018	00088263934	DUNN, JOSEPH C.	NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38	85.0	0.32
07/20/2018	00088263943	BISHOP, MASON W.	EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13	85.0	0.11
07/20/2018	00088263943	BISHOP, MASON W.	EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13	85.0	0.11
07/20/2018	00088263943	BISHOP, MASON W.	NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13	85.0	0.11
07/20/2018	00088263943	BISHOP, MASON W.	NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13	85.0	0.11
07/20/2018	00088263961	GENTRY, ANGIE L.	EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
07/20/2018	00088263961	GENTRY, ANGIE L.	EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
07/20/2018	00088263961	GENTRY, ANGIE L.	NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
07/20/2018	00088263961	GENTRY, ANGIE L.	NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
07/20/2018	00088263979	MESEY, BRIAN K.	FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13	85.0	0.11
07/20/2018	00088263979	MESEY, BRIAN K.	FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13	85.0	0.11

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/20/2018	00088263979	MESEY, BRIAN K.	THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19	85.0	0.16
07/20/2018	00088263979	MESEY, BRIAN K.	THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19	85.0	0.16
07/20/2018	00088270587	MILLER, CLIFFORD C.	NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22	85.0	0.19
07/20/2018	00088270587	MILLER, CLIFFORD C.	NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22	85.0	0.19
07/20/2018	00088270587	MILLER, CLIFFORD C.	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
07/20/2018	00088270587	MILLER, CLIFFORD C.	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
07/20/2018	00088272149	DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	15.00	0.15	0.00	0.15	85.0	0.13
07/20/2018	00088272149	DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	4.36	0.04	0.00	0.04	85.0	0.03
07/20/2018	00088272149	DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	15.00	0.15	0.00	0.15	85.0	0.13
07/20/2018	00088272149	DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	4.35	0.04	0.00	0.04	85.0	0.03
07/20/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	85.0	0.97
07/20/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	85.0	0.97
07/20/2018	00088495479	SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
07/20/2018	00088495479	SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
07/20/2018	00088495479	SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	13.87	0.14	0.00	0.14	85.0	0.12
07/20/2018	00088495479	SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	13.88	0.14	0.00	0.14	85.0	0.12
07/20/2018	00088495479	SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.94	0.07	0.00	0.07	85.0	0.06
07/20/2018	00088495479	SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.93	0.07	0.00	0.07	85.0	0.06
07/20/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
07/20/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
07/20/2018	00089144953	RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
07/20/2018	00089144953	RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
07/20/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
07/20/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
07/20/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
07/20/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
07/20/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.13	0.18	0.00	0.18	85.0	0.15
07/20/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.13	0.18	0.00	0.18	85.0	0.15
07/20/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B	B	23.10	0.23	0.00	0.23	85.0	0.20
07/20/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B	B	23.10	0.23	0.00	0.23	85.0	0.20

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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

07/20/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.85	0.49	0.00	0.49	85.0	0.42
07/20/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.85	0.49	0.00	0.49	85.0	0.42
07/12/2018	04000752171	BOZEMAN, JENNIFER	NEW WORLD FUND-A	B	43.23	0.86	0.00	0.86	85.0	0.73
07/12/2018	04000752171	BOZEMAN, JENNIFER	NEW WORLD FUND-A	B	28.81	0.58	0.00	0.58	85.0	0.49
07/20/2018	04000993983	FITTS, DOUGLAS	AMERICAN FUNDS NEW ECONOMY FD	B	5.00	0.14	0.00	0.14	85.0	0.12
07/20/2018	04000993983	FITTS, DOUGLAS	AMERICAN FUNDS NEW ECONOMY FD	B	5.00	0.14	0.00	0.14	85.0	0.12
				* MUTUAL FUNDS SUBTOTAL *	\$6,913.50	\$130.84	\$0.00	\$130.84		\$111.22

PRODUCT CATEGORY: VARIABLE ANNUITIES

07/06/2018	TRAILS	-JOHN HANCOCK		B	15.28	15.28	0.00	15.28	85.0	12.99
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL		B	3,975.58	3,975.58	0.00	3,975.58	85.0	3,379.24
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL		B	6,183.03	6,183.03	0.00	6,183.03	85.0	5,255.58
				* VARIABLE ANNUITIES SUBTOTAL *	\$10,173.89	\$10,173.89	\$0.00	\$10,173.89		\$8,647.81

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES			0.00	2.36	0.00	2.36	85.0	2.01
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$2.36	\$0.00	\$2.36		\$2.01
				*** BROKER TRANSACTIONS TOTAL ***	\$17,087.39	\$10,307.09	\$0.00	\$10,307.09		\$8,761.04

ADJUSTMENTS

08/01/2018		E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
08/01/2018		TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$8,761.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$8,633.54
Year-to-date Earnings	\$36,453.80