

COMMISSION STATEMENT

June 09, 2017

ANDREW LINDNER
37 GOLDENEYE LANE

BROKER #: 4
BRANCH: HOME OFFICE

PORT MONMOUTH, NJ 07758

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/26/2017	QGN005274	OLSTEIN ALL CAP		HOLOGIC INC	S	-222,546.00	150.00	6.00	144.00 50.0 72.00
04/28/2017	QGN005274	OLSTEIN ALL CAP		HOLOGIC INC	S	-225,240.00	150.00	6.00	144.00 50.0 72.00
05/01/2017	QGN005274	OLSTEIN ALL CAP		HOLOGIC INC	S	-135,810.00	90.00	6.00	84.00 50.0 42.00
05/02/2017	QGN005274	OLSTEIN ALL CAP		HOLOGIC INC	S	-68,250.00	45.00	6.00	39.00 50.0 19.50
05/03/2017	QGN005274	OLSTEIN ALL CAP		SPIRIT AIRLS INC COM	S	-161,327.70	81.00	6.00	75.00 50.0 37.50
05/05/2017	QGN005274	OLSTEIN ALL CAP		REGAL BELOIT CORP COM ISIN#US758750	S	-335,219.05	123.57	6.00	117.57 50.0 58.79
05/05/2017	QGN005274	OLSTEIN ALL CAP		ZEBRA TECHNOLOGIES CORP CL A	S	-596,411.48	186.00	6.00	180.00 50.0 90.00
05/11/2017	QGN005274	OLSTEIN ALL CAP		VIACOM INC CLASS B	B	172,500.00	150.00	6.00	144.00 50.0 72.00
05/11/2017	QGN005274	OLSTEIN ALL CAP		ZEBRA TECHNOLOGIES CORP CL A	S	-193,527.95	58.50	6.00	52.50 50.0 26.25
05/12/2017	QGN005274	OLSTEIN ALL CAP		PRUDENTIAL FINANCIAL INC	B	319,491.90	90.00	6.00	84.00 50.0 42.00
05/12/2017	QGN005274	OLSTEIN ALL CAP		ZEBRA TECHNOLOGIES CORP CL A	S	-159,203.52	48.00	6.00	42.00 50.0 21.00
05/15/2017	QGN005274	OLSTEIN ALL CAP		BED BATH & BEYOND INC	B	179,778.00	150.00	6.00	144.00 50.0 72.00
05/15/2017	QGN005274	OLSTEIN ALL CAP		GOODYEAR TIRE & RUBBER CO	B	170,000.00	150.00	6.00	144.00 50.0 72.00
05/17/2017	QGN005274	OLSTEIN ALL CAP		GOODYEAR TIRE & RUBBER CO	B	1,172,476.80	1,080.00	6.00	1,074.00 50.0 537.00
05/19/2017	QGN005274	OLSTEIN ALL CAP		LEGG MASON INC	S	-270,777.67	213.00	6.00	207.00 50.0 103.50
05/22/2017	QGN005274	OLSTEIN ALL CAP		LEGG MASON INC	S	-264,626.73	207.00	6.00	201.00 50.0 100.50
05/23/2017	QGN005274	OLSTEIN ALL CAP		AON PLC SHS CL A ISIN#GB00B5BT0K07	S	-129,000.00	30.00	6.00	24.00 50.0 12.00
05/23/2017	QGN005274	OLSTEIN ALL CAP		APPLE INC	B	1,153,261.50	225.00	6.00	219.00 50.0 109.50
05/23/2017	QGN005274	OLSTEIN ALL CAP		UNITEDHEALTH GROUP INC	S	-351,000.00	60.00	6.00	54.00 50.0 27.00
05/23/2017	QGN005274	OLSTEIN ALL CAP		VISA INC CL A COMMON STOCK	S	-562,650.00	90.00	6.00	84.00 50.0 42.00
05/23/2017	QGN005274	OLSTEIN ALL CAP		VISA INC CL A COMMON STOCK	S	562,650.00	-90.00	-6.00	-84.00 50.0 -42.00 C
05/23/2017	QGN005274	OLSTEIN ALL CAP		VISA INC CL A COMMON STOCK	S	-562,650.00	180.00	6.00	174.00 50.0 87.00
05/24/2017	QGN005274	OLSTEIN ALL CAP		AON PLC SHS CL A ISIN#GB00B5BT0K07	S	-129,150.00	30.00	6.00	24.00 50.0 12.00
05/24/2017	QGN005274	OLSTEIN ALL CAP		APPLE INC	B	458,265.00	90.00	6.00	84.00 50.0 42.00
05/24/2017	QGN005274	OLSTEIN ALL CAP		UNITED TECHNOLOGIES CORP	S	-245,000.00	60.00	6.00	54.00 50.0 27.00
05/24/2017	QGN005274	OLSTEIN ALL CAP		VISA INC CL A COMMON STOCK	S	-378,202.80	120.00	6.00	114.00 50.0 57.00
05/24/2017	QGN005274	OLSTEIN ALL CAP		ZEBRA TECHNOLOGIES CORP CL A	S	-139,422.33	40.50	6.00	34.50 50.0 17.25
05/25/2017	QGN005274	OLSTEIN ALL CAP		CHARLES RIV LABORATORIES INTL INC C	S	-165,705.12	54.00	6.00	48.00 50.0 24.00
05/25/2017	QGN005274	OLSTEIN ALL CAP		UNITEDHEALTH GROUP INC	S	-178,000.00	30.00	6.00	24.00 50.0 12.00
05/02/2017	QGN005282	OPPORTUNITIES,		XPERI CORP COM	B	354,852.36	324.00	6.00	318.00 50.0 159.00
05/03/2017	QGN005282	OPPORTUNITIES,		SPIRIT AIRLS INC COM	S	-131,452.20	66.00	6.00	60.00 50.0 30.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/05/2017	QGN005282	OPPORTUNITIES, REGAL BELOIT CORP COM	ISIN#US758750 S	-228,769.30	84.33	6.00	78.33	50.0	39.17
05/05/2017	QGN005282	OPPORTUNITIES, ZEBRA TECHNOLOGIES CORP CL A	S	-442,498.84	138.00	6.00	132.00	50.0	66.00
05/11/2017	QGN005282	OPPORTUNITIES, ZEBRA TECHNOLOGIES CORP CL A	S	-99,245.10	30.00	6.00	24.00	50.0	12.00
05/12/2017	QGN005282	OPPORTUNITIES, ZEBRA TECHNOLOGIES CORP CL A	S	-129,352.86	39.00	6.00	33.00	50.0	16.50
05/19/2017	QGN005282	OPPORTUNITIES, LEGG MASON INC	S	-106,785.56	84.00	6.00	78.00	50.0	39.00
05/22/2017	QGN005282	OPPORTUNITIES, LEGG MASON INC	S	-115,055.10	90.00	6.00	84.00	50.0	42.00
05/24/2017	QGN005282	OPPORTUNITIES, ZEBRA TECHNOLOGIES CORP CL A	S	-230,201.76	66.87	6.00	60.87	50.0	30.44
05/25/2017	QGN005282	OPPORTUNITIES, CHARLES RIV LABORATORIES INTL INC C S	S	-101,264.24	33.00	6.00	27.00	50.0	13.50
05/25/2017	QGN005282	OPPORTUNITIES, DINEEQUITY INC	B	125,053.24	78.00	6.00	72.00	50.0	36.00
05/02/2017	QGN005308	DIOCESE OF ARLINGTON XPERI CORP COM	B	15,771.22	14.40	6.00	8.40	50.0	4.20
05/03/2017	QGN005308	DIOCESE OF ARLINGTON SPIRIT AIRLS INC COM	S	-5,975.10	3.00	6.00	-3.00	50.0	-1.50
05/05/2017	QGN005308	DIOCESE OF ARLINGTON REGAL BELOIT CORP COM	ISIN#US758750 S	-9,766.03	3.60	6.00	-2.40	50.0	-1.20
05/05/2017	QGN005308	DIOCESE OF ARLINGTON ZEBRA TECHNOLOGIES CORP CL A	S	-13,467.36	4.20	6.00	-1.80	50.0	-0.90
05/11/2017	QGN005308	DIOCESE OF ARLINGTON ZEBRA TECHNOLOGIES CORP CL A	S	-4,962.26	1.50	6.00	-4.50	50.0	-2.25
05/12/2017	QGN005308	DIOCESE OF ARLINGTON ZEBRA TECHNOLOGIES CORP CL A	S	-9,950.22	3.00	6.00	-3.00	50.0	-1.50
05/19/2017	QGN005308	DIOCESE OF ARLINGTON LEGG MASON INC	S	-3,813.77	3.00	6.00	-3.00	50.0	-1.50
05/22/2017	QGN005308	DIOCESE OF ARLINGTON LEGG MASON INC	S	-3,835.17	3.00	6.00	-3.00	50.0	-1.50
05/24/2017	QGN005308	DIOCESE OF ARLINGTON ZEBRA TECHNOLOGIES CORP CL A	S	-10,327.58	3.00	6.00	-3.00	50.0	-1.50
05/25/2017	QGN005308	DIOCESE OF ARLINGTON CHARLES RIV LABORATORIES INTL INC C S	S	-3,682.34	1.20	6.00	-4.80	50.0	-2.40
05/25/2017	QGN005308	DIOCESE OF ARLINGTON DINEEQUITY INC	B	5,771.69	3.60	6.00	-2.40	50.0	-1.20
* STOCKS SUBTOTAL *				\$-2,434,253.43	\$4,968.27	\$294.00	\$4,674.27		\$2,337.15
<u>PRODUCT CATEGORY: BONDS</u>									
05/03/2017	QGN001000	WORKERS LOCAL 451 UNITED STATES TREAS NTS 1.750% 01/3 B		24,718.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001000	WORKERS LOCAL 451 UNITED STATES TREAS NTS 10 YR TREAS S		-52,646.55	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001026	DEFINED CONTRIBUTION UNITED STATES TREAS NTS 1.750% 01/3 B		9,887.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001026	DEFINED CONTRIBUTION UNITED STATES TREAS NTS 10 YR TREAS S		-10,522.71	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001059	OF, INTERNATIONAL UNITED STATES TREAS NTS 1.750% 01/3 B		49,437.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001059	OF, INTERNATIONAL UNITED STATES TREAS NTS 10 YR TREAS S		-105,293.11	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001075	UNION OF OPERATING, UNITED STATES TREAS BDS 2.750% 11/1 B		19,290.63	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001083	TEAMSTERS LOCAL 237 UNITED STATES TREAS BDS 2.750% 11/1 B		48,226.56	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN001083	TEAMSTERS LOCAL 237 UNITED STATES TREAS BDS 2.500% 02/1 B		68,953.13	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN001091	STACEY BRAUN UNITED STATES TREAS BDS 3.000% 11/1 S		-29,925.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001091	STACEY BRAUN UNITED STATES TREAS BDS 2.750% 11/1 B		24,113.28	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001091	STACEY BRAUN UNITED STATES TREAS NTS 1.750% 01/3 B		24,718.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001091	STACEY BRAUN UNITED STATES TREAS NTS 10 YR TREAS S		-26,323.28	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001109	LOCAL 282 WELFARE UNITED STATES TREAS BDS 2.750% 11/1 B		48,226.56	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN001109	LOCAL 282 WELFARE UNITED STATES TREAS NTS 1.125% 07/3 B		48,718.75	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN001109	LOCAL 282 WELFARE UNITED STATES TREAS BDS 2.500% 02/1 B		68,953.13	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001117	ASSOCIATES, STACEY UNITED STATES TREAS NTS 1.750% 01/3 B		24,718.75	50.00	7.50	42.50	50.0	21.25

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
05/03/2017	QGN001117	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 10 YR TREAS S	-36,852.59	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN001117	ASSOCIATES, STACEY	UNITED STATES TREAS BDS TREAS INFLA S	-25,559.08	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001125	TRUSTEES, BOARD	UNITED STATES TREAS NTS 1.750% 01/3 B	24,718.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001125	TRUSTEES, BOARD	UNITED STATES TREAS NTS 10 YR TREAS S	-26,306.78	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001125	TRUSTEES, BOARD	UNITED STATES TREAS NTS 1.750% 01/3 B	-24,718.75	-50.00	-7.50	-42.50	50.0	-21.25 C
05/03/2017	QGN001125	TRUSTEES, BOARD	UNITED STATES TREAS NTS 1.750% 01/3 B	24,718.75	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN001125	TRUSTEES, BOARD	UNITED STATES TREAS BDS TREAS INFLA S	-25,559.08	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS BDS 2.750% 11/1 B	110,921.09	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 1.750% 01/3 B	98,875.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 10 YR TREAS S	-105,227.13	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS BDS 30 YEAR TRE S	-192,491.62	66.24	7.50	58.74	50.0	29.37
05/17/2017	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS BDS 2.500% 02/1 B	275,015.63	93.75	7.50	86.25	50.0	43.13
05/08/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 0.250% 01/1 S	-93,006.33	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 0.250% 01/1 S	93,006.33	-50.00	-7.50	-42.50	50.0	-21.25 C
05/08/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 0.250% 01/1 S	-101,236.41	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 1.875% 10/3 S	-165,592.96	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN001190	LOCOMOTIVE,	UNITED STATES TREAS BDS TREAS INFLA S	-35,782.71	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN001190	LOCOMOTIVE,	UNITED STATES TREAS NTS 1.500% 08/1 B	18,825.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001273	PUBLIC EMPLOYEES	UNITED STATES TREAS NTS 1.750% 01/3 B	49,437.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001273	PUBLIC EMPLOYEES	UNITED STATES TREAS NTS 10 YR TREAS S	-52,613.57	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN001273	PUBLIC EMPLOYEES	UNITED STATES TREAS NTS 10 YRS TIPS S	-55,657.70	50.00	7.50	42.50	50.0	21.25
05/02/2017	QGN001307	CLERKS, NY	UNITED STATES TREAS NTS 2.125% 05/1 B	24,812.50	50.00	7.50	42.50	50.0	21.25
05/02/2017	QGN001307	CLERKS, NY	UNITED STATES TREAS NTS 2.000% 04/3 B	24,804.69	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001307	CLERKS, NY	UNITED STATES TREAS NTS 1.750% 01/3 B	19,775.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001307	CLERKS, NY	UNITED STATES TREAS NTS 10 YR TREAS S	-21,045.43	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001307	CLERKS, NY	UNITED STATES TREAS NTS 2.000% 11/1 B	14,601.56	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN001307	CLERKS, NY	UNITED STATES TREAS NTS 1.750% 04/3 B	74,882.81	50.00	7.50	42.50	50.0	21.25
05/02/2017	QGN001315	STATE COURT CLERKS,	UNITED STATES TREAS NTS 2.125% 05/1 B	19,850.00	50.00	7.50	42.50	50.0	21.25
05/02/2017	QGN001315	STATE COURT CLERKS,	UNITED STATES TREAS NTS 2.000% 04/3 B	19,843.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001315	STATE COURT CLERKS,	UNITED STATES TREAS NTS 1.750% 01/3 B	19,775.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001315	STATE COURT CLERKS,	UNITED STATES TREAS NTS 10 YR TREAS S	-21,045.43	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001315	STATE COURT CLERKS,	UNITED STATES TREAS NTS 2.000% 11/1 B	24,335.94	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN001315	STATE COURT CLERKS,	UNITED STATES TREAS NTS 1.750% 04/3 B	34,945.31	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001356	804 IBT & LOCAL 447,	UNITED STATES TREAS BDS 2.750% 11/1 B	192,906.25	62.50	7.50	55.00	50.0	27.50
05/04/2017	QGN001356	804 IBT & LOCAL 447,	UNITED STATES TREAS BDS 30 YEAR TRE S	-216,468.34	74.51	7.50	67.01	50.0	33.51
05/16/2017	QGN001356	804 IBT & LOCAL 447,	UNITED STATES TREAS NTS 1.750% 01/3 B	370,312.50	117.19	7.50	109.69	50.0	54.85
05/04/2017	QGN001364	LOCAL 300 CIVIL	UNITED STATES TREAS NTS 10 YRS TIPS S	-83,486.55	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN001364	LOCAL 300 CIVIL	UNITED STATES TREAS NTS 1.125% 07/3 B	73,078.13	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN001380	TEAMSTERS LOCAL 445	KELLOGG CO FXD RT SR DEB 4.500% 04/ S	-24,866.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001380	TEAMSTERS LOCAL 445	UNITED STATES TREAS BDS 2.750% 11/1 B	24,113.28	50.00	7.50	42.50	50.0	21.25

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
05/03/2017	QGN001414 11, TEAMSTERS	UNITED STATES TREAS BDS 2.750% 11/1 B		24,113.28	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001414 11, TEAMSTERS	UNITED STATES TREAS NTS 10 YR TREAS S		-42,117.24	50.00	7.50	42.50	50.0	21.25
05/09/2017	QGN001414 11, TEAMSTERS	UNITED STATES TREAS BDS 2.875% 08/1 S		-48,343.75	50.00	7.50	42.50	50.0	21.25
05/09/2017	QGN001414 11, TEAMSTERS	UNITED STATES TREAS NTS 2.125% 05/1 S		-73,898.44	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN001414 11, TEAMSTERS	UNITED STATES TREAS NTS 1.125% 07/3 B		97,437.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS BDS 2.750% 11/1 B		24,113.28	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS BDS 30 YEAR TRE S		-19,241.63	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS NTS 0.250% 01/1 S		-46,503.17	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS NTS 0.250% 01/1 S		46,503.17	-50.00	-7.50	-42.50	50.0	-21.25 C
05/08/2017	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS NTS 0.250% 01/1 S		-50,618.21	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS BDS 2.500% 05/1 S		-26,793.75	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.000% 04/3 B		19,975.00	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS BDS TREAS INFLA S		-71,565.42	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 1.125% 07/3 B		48,718.75	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.000% 04/3 B		24,968.75	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN001505 OF, CARPENTERS &	UNITED STATES TREAS BDS 2.875% 08/1 S		-48,921.88	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN001505 OF, CARPENTERS &	UNITED STATES TREAS BDS 2.875% 08/1 S		-97,125.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001505 OF, CARPENTERS &	UNITED STATES TREAS NTS 1.750% 01/3 B		98,875.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001505 OF, CARPENTERS &	UNITED STATES TREAS NTS 10 YR TREAS S		-121,087.07	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN001505 OF, CARPENTERS &	UNITED STATES TREAS BDS TREAS INFLA S		-153,354.48	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN001505 OF, CARPENTERS &	UNITED STATES TREAS NTS 2.000% 02/1 S		-73,335.94	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN001505 OF, CARPENTERS &	UNITED STATES TREAS NTS 2.000% 04/3 B		49,937.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001554 STACEY BRAUN	UNITED STATES TREAS BDS 2.750% 11/1 B		96,453.13	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN001554 STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B		92,187.50	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN001554 STACEY BRAUN	UNITED STATES TREAS NTS 1.500% 08/1 B		94,125.00	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN001554 STACEY BRAUN	UNITED STATES TREAS NTS 2.000% 04/3 B		99,875.00	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN001620 LOCAL, WESTCHESTER	UNITED STATES TREAS NTS 1.125% 07/3 B		34,103.13	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN001620 LOCAL, WESTCHESTER	UNITED STATES TREAS NTS 2.000% 04/3 B		24,968.75	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN001679 ASSISTANT DIRECTOR	UNITED STATES TREAS NTS 1.750% 04/3 B		19,968.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001695 STACEY BRAUN	UNITED STATES TREAS BDS 2.750% 11/1 B		48,226.56	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN001695 STACEY BRAUN	UNITED STATES TREAS NTS 10 YRS TIPS S		-83,486.55	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN001695 STACEY BRAUN	UNITED STATES TREAS BDS 30 YEAR TRE S		-14,436.87	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN001695 STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B		73,750.00	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN001695 STACEY BRAUN	UNITED STATES TREAS NTS 2.000% 04/3 B		24,968.75	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN001711 OPPENHEIMER & CO	UNITED STATES TREAS NTS 2.000% 04/3 B		24,968.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001745 RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 1.750% 01/3 B		123,593.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001745 RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 10 YR TREAS S		-157,939.66	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN001745 RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 10 YRS TIPS S		-222,630.79	67.57	7.50	60.07	50.0	30.04
05/16/2017	QGN001745 RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 1.125% 07/3 B		194,875.00	62.50	7.50	55.00	50.0	27.50
05/18/2017	QGN001745 RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.000% 04/3 B		99,875.00	50.00	7.50	42.50	50.0	21.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
05/03/2017	QGN001828	INTERNS, COMMIOF	UNITED STATES TREAS NTS 1.750% 01/3 B	9,887.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001828	INTERNS, COMMIOF	UNITED STATES TREAS NTS 10 YR TREAS S	-10,529.31	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN001828	INTERNS, COMMIOF	UNITED STATES TREAS NTS 0.250% 01/1 S	-13,950.95	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN001828	INTERNS, COMMIOF	UNITED STATES TREAS NTS 0.250% 01/1 S	13,950.95	-50.00	-7.50	-42.50	50.0	-21.25 C
05/08/2017	QGN001828	INTERNS, COMMIOF	UNITED STATES TREAS NTS 0.250% 01/1 S	-15,185.46	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN001885	BRAUN ASSOCIATES IN,	UNITED STATES TREAS BDS 2.750% 11/1 B	14,467.97	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN001885	BRAUN ASSOCIATES IN,	UNITED STATES TREAS BDS 2.500% 02/1 B	18,334.38	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN001901	IBT, LOCAL	UNITED STATES TREAS NTS 1.750% 04/3 B	24,960.94	50.00	7.50	42.50	50.0	21.25
05/11/2017	QGN001927	SERVICES EMPLOYEES	UNITED STATES TREAS BILLS 0.000% 11 B	99,526.33	50.00	7.50	42.50	50.0	21.25
05/11/2017	QGN001927	SERVICES EMPLOYEES	UNITED STATES TREAS NTS 1.375% 08/3 B	49,609.38	50.00	7.50	42.50	50.0	21.25
05/11/2017	QGN001927	SERVICES EMPLOYEES	UNITED STATES TREAS NTS 1.750% 11/3 B	49,750.00	50.00	7.50	42.50	50.0	21.25
05/11/2017	QGN001927	SERVICES EMPLOYEES	UNITED STATES TREAS BILLS 0.000% 11 B	-99,526.33	-50.00	-7.50	-42.50	50.0	-21.25 C
05/11/2017	QGN001927	SERVICES EMPLOYEES	UNITED STATES TREAS BILLS 0.000% 11 B	99,526.33	0.00	7.50	-7.50	50.0	-3.75
05/10/2017	QGN001968	NO1, BRICKLAYERS	UNITED STATES TREAS BDS 2.500% 05/1 S	-13,396.88	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN001968	NO1, BRICKLAYERS	UNITED STATES TREAS BDS 2.500% 02/1 B	13,750.78	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN001992	INTERNS, COMMITTE	UNITED STATES TREAS NTS 1.125% 07/3 B	24,359.38	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN001992	INTERNS, COMMITTE	UNITED STATES TREAS NTS 1.750% 04/3 B	24,960.94	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN002024	SAVASTA, MR	UNITED STATES TREAS NTS 1.750% 01/3 B	9,875.00	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN002024	SAVASTA, MR	UNITED STATES TREAS BDS 2.500% 02/1 B	22,984.38	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002131	ORTHODOX COMM	UNITED STATES TREAS NTS 1.750% 01/3 B	9,887.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002131	ORTHODOX COMM	UNITED STATES TREAS NTS 10 YR TREAS S	-10,529.31	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN002131	ORTHODOX COMM	UNITED STATES TREAS NTS 1.500% 08/1 B	18,825.00	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS NTS 0.250% 01/1 S	-23,251.58	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS NTS 0.250% 01/1 S	23,251.58	-50.00	-7.50	-42.50	50.0	-21.25 C
05/08/2017	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS NTS 0.250% 01/1 S	-25,309.10	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS NTS 1.125% 07/3 B	48,718.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS BDS 2.750% 11/1 B	96,453.13	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS NTS 1.750% 01/3 B	98,875.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS NTS 10 YR TREAS S	-157,939.66	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS NTS 1.125% 07/3 B	194,875.00	62.50	7.50	55.00	50.0	27.50
05/03/2017	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS BDS 2.750% 11/1 B	19,290.63	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS BDS 30 YEAR TRE S	-14,436.87	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN002248	LOCAL 1205 WELFARE	ORACLE CORP FXD RT SR NT 3.900% 05/ S	-49,790.00	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 1.500% 08/1 B	94,125.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS NTS 1.750% 01/3 B	9,887.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS NTS 10 YR TREAS S	-21,058.62	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS NTS 1.750% 01/3 B	29,625.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS NTS 1.750% 01/3 B	9,887.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS NTS 10 YR TREAS S	-15,793.97	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS BDS TREAS INFLA S	-10,223.63	50.00	7.50	42.50	50.0	21.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
05/03/2017	QGN002305	LOCAL 181 SECURITY,	UNITED STATES TREAS NTS 1.750% 01/3 B	9,887.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002305	LOCAL 181 SECURITY,	UNITED STATES TREAS NTS 10 YR TREAS S	-10,529.31	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN002313	LABORERS LOCAL 235	UNITED STATES TREAS NTS 1.500% 08/1 B	23,531.25	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN002339	PATIENT CARE TRUST	UNITED STATES TREAS NTS 1.125% 07/3 B	24,359.38	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN002339	PATIENT CARE TRUST	UNITED STATES TREAS NTS 1.750% 04/3 B	24,960.94	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 1.750% 01/3 B	24,718.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 10 YR TREAS S	-26,306.78	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 0.250% 01/1 S	-13,950.95	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 0.250% 01/1 S	13,950.95	-50.00	-7.50	-42.50	50.0	-21.25 C
05/08/2017	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 0.250% 01/1 S	-15,185.46	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN002362	LOCAL 804 PENSION	UNITED STATES TREAS BDS 2.500% 02/1 B	18,437.50	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN002362	LOCAL 804 PENSION	UNITED STATES TREAS NTS 1.500% 08/1 B	18,825.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 1.750% 01/3 B	19,775.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 10 YR TREAS S	-26,323.28	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 1.750% 01/3 B	-19,775.00	-50.00	-7.50	-42.50	50.0	-21.25 C
05/03/2017	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 1.750% 01/3 B	19,775.00	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 1.750% 01/3 B	24,687.50	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 1.500% 08/1 B	28,237.50	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 2.000% 04/3 B	24,968.75	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN002412	456, TEAMSTERS	CVS HEALTH CORP SR NT 4.000% 12/05/ S	-52,460.00	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	BERKSHIRE HATHAWAY INC DEL SR FIXED S	-106,320.00	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	CONAGRA BRANDS INC SR NT 3.200% 01/ S	-50,310.00	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	DUKE ENERGY IND INC FIXED RT SER PP S	-67,843.75	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	FEDEX CORP GTD FXD RT NT 3.200% 02/ S	-75,165.00	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	HOME DEPOT INC SR NT 2.700% 04/01/2 S	-75,825.00	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	U S BANCORP MEDIUM TERM SUB NTS FIX S	-50,525.00	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	UNITED STATES TREAS NTS 2.000% 11/3 S	-166,650.00	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	UNITED STATES TREAS NTS 1.875% 11/3 S	-34,967.19	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	UNITED STATES TREAS NTS 1.250% 03/3 S	-29,400.00	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	UNITED STATES TREAS NTS 2.000% 11/1 S	-15,079.69	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	UNITED STATES TREAS NTS 1.375% 06/3 S	-23,921.88	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	UNITED STATES TREAS NTS 1.000% 08/3 S	-34,650.00	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	UNITED STATES TREAS NTS 1.250% 10/3 S	-49,781.25	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	UNITED STATES TREAS NTS 1.625% 11/1 S	-24,468.75	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	UNITED STATES TREAS NTS 1.625% 10/3 S	-19,362.50	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	UNITED STATES TREAS NTS 2.000% 11/1 S	-67,637.50	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	UNITED STATES TREAS NTS 1.375% 07/3 S	-5,003.13	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN002412	456, TEAMSTERS	UNITED STATES TREAS NTS 1.500% 05/3 S	-44,887.50	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN002420	LOCAL UNION # 177,	UNITED STATES TREAS NTS 1.750% 01/3 B	9,875.00	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN002479	AND STONE MASONS,	UNITED STATES TREAS BDS 2.875% 08/1 S	-24,460.94	50.00	7.50	42.50	50.0	21.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
05/03/2017	QGN002479	AND STONE MASONS,	UNITED STATES TREAS BDS 2.750% 11/1 B	24,113.28	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 1.750% 01/3 B	24,718.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 10 YR TREAS S	-26,323.28	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.000% 04/3 B	19,975.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS NTS 1.750% 01/3 B	24,718.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS NTS 10 YR TREAS S	-52,613.57	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS NTS 2.000% 04/3 B	49,937.50	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 1.500% 08/1 B	14,118.75	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN002503	CITY EMPLOYEES UNION	UNITED STATES TREAS NTS 1.625% 10/3 B	24,488.28	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN002578	NY LABORERS DIST	UNITED STATES TREAS NTS 1.500% 08/1 B	9,412.50	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN002586	CONTRACT, DGA	UNITED STATES TREAS NTS 1.750% 04/3 B	9,984.38	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN002594	CARNEY,, MICHAEL	UNITED STATES TREAS NTS 1.500% 08/1 B	9,412.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002917	LOCAL # 1181, CWA	UNITED STATES TREAS NTS 1.750% 01/3 B	4,943.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002917	LOCAL # 1181, CWA	UNITED STATES TREAS NTS 10 YR TREAS S	-10,529.31	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN002917	LOCAL # 1181, CWA	UNITED STATES TREAS NTS 1.750% 01/3 B	-4,943.75	-50.00	-7.50	-42.50	50.0	-21.25 C
05/03/2017	QGN002917	LOCAL # 1181, CWA	UNITED STATES TREAS NTS 1.750% 01/3 B	4,943.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN003105	YORK STATE PUBLIC	UNITED STATES TREAS NTS 1.750% 01/3 B	14,831.25	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN003105	YORK STATE PUBLIC	UNITED STATES TREAS NTS 10 YR TREAS S	-15,793.97	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN003105	YORK STATE PUBLIC	UNITED STATES TREAS NTS 1.750% 01/3 B	-14,831.25	-50.00	-7.50	-42.50	50.0	-21.25 C
05/03/2017	QGN003105	YORK STATE PUBLIC	UNITED STATES TREAS NTS 1.750% 01/3 B	14,831.25	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN003188	OPPENHEIMER & CO	UNITED STATES TREAS NTS 1.750% 01/3 B	14,831.25	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN003188	OPPENHEIMER & CO	UNITED STATES TREAS NTS 10 YR TREAS S	-15,793.97	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN003212	ODONNELL, THOMAS	UNITED STATES TREAS BDS TREAS INFLA S	-5,111.82	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN003279	VERNON FEDERATION OF	UNITED STATES TREAS NTS 0.750% 10/3 S	-49,921.88	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN003311	I.B.E.W, LOCAL	UNITED STATES TREAS NTS 1.750% 01/3 B	24,687.50	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN003311	I.B.E.W, LOCAL	UNITED STATES TREAS NTS 1.750% 04/3 B	19,968.75	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS BDS TREAS INFLA B	67,211.44	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS BDS TREAS INFLA B	58,476.98	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS 10YR TRES T B	96,436.61	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS 0.250% 01/1 B	76,733.68	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS TREA TIPS 0 B	126,281.84	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS INFLATION P B	59,076.09	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS INFLATION I B	94,199.46	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS INFLATION I B	93,605.64	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS 10 YRS TIPS B	78,407.23	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS TRES TIPS 0 B	93,350.29	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS INFLATION I B	113,938.19	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS INFLATION I B	106,933.19	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS 10 YR TREAS B	105,709.91	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS 10 YR TREAS B	105,503.86	50.00	7.50	42.50	50.0	21.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
05/01/2017	QGN003329 MASSACHUSETTS	UNITED STATES TREAS NTS TREAS TIPS B		337,332.99	105.58	7.50	98.08	50.0	49.04
05/01/2017	QGN003329 MASSACHUSETTS	UNITED STATES TREAS NOTES INFL IDX B		86,665.78	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329 MASSACHUSETTS	UNITED STATES TREAS NTS TREAS TIPS B		98,144.37	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329 MASSACHUSETTS	UNITED STATES TREAS BDS TREAS INFLA B		-58,476.98	-50.00	-7.50	-42.50	50.0	-21.25 C
05/01/2017	QGN003329 MASSACHUSETTS	UNITED STATES TREAS BDS TREAS INFLA B		69,869.32	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN003329 MASSACHUSETTS	UNITED STATES TREAS NTS 10 YR TREAS B		-105,503.86	-50.00	-7.50	-42.50	50.0	-21.25 C
05/01/2017	QGN003329 MASSACHUSETTS	UNITED STATES TREAS NTS 10 YR TREAS B		106,550.72	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN003428 OPPENHEIMER & CO.,	CAPITAL ONE FINL CORP FXD RT SUB NT B		24,395.00	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN003428 OPPENHEIMER & CO.,	JPMORGAN CHASE & CO FXD RT SR NT 3. B		49,500.00	50.00	7.50	42.50	50.0	21.25
05/24/2017	QGN004905 F/A/O, STACY BRAUN & FRANKLIN RES INC SR NT 2.850% 03/30 B			24,920.00	50.00	7.50	42.50	50.0	21.25
05/24/2017	QGN004905 F/A/O, STACY BRAUN & INTEL CORP FXD RT SR NT 2.875% 05/1 B			25,312.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN005175 OPPENHEIMER & CO	UNITED STATES TREAS NTS 1.750% 01/3 B		24,718.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN005175 OPPENHEIMER & CO	UNITED STATES TREAS NTS 10 YR TREAS S		-26,323.28	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN005175 OPPENHEIMER & CO	UNITED STATES TREAS NTS 1.750% 01/3 B		19,750.00	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN005597 LEGAL, CONNECTICUT	UNITED STATES TREAS NTS 2.000% 08/1 S		-14,723.44	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN005597 LEGAL, CONNECTICUT	UNITED STATES TREAS NTS 1.750% 04/3 B		24,960.94	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN005597 LEGAL, CONNECTICUT	UNITED STATES TREAS NTS 2.000% 04/3 B		19,975.00	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN006041 TEAMSTERS LOCAL 237	UNITED STATES TREAS BDS 2.875% 08/1 S		-14,676.56	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN006041 TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 1.750% 04/3 B		29,953.13	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026098 DOCTORS COUNCIL	UNITED STATES TREAS NTS 1.750% 01/3 B		9,887.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026098 DOCTORS COUNCIL	UNITED STATES TREAS NTS 10 YR TREAS S		-10,529.31	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026098 DOCTORS COUNCIL	UNITED STATES TREAS NTS 1.750% 01/3 B		-9,887.50	-50.00	-7.50	-42.50	50.0	-21.25 C
05/03/2017	QGN026098 DOCTORS COUNCIL	UNITED STATES TREAS NTS 1.750% 01/3 B		9,887.50	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN026122 OF DELAWARE LOCAL	UNITED STATES TREAS BDS 2.500% 02/1 B		13,750.78	50.00	7.50	42.50	50.0	21.25
05/02/2017	QGN026205 DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 2.000% 08/1 S		-147,140.63	50.00	7.50	42.50	50.0	21.25
05/02/2017	QGN026205 DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 1.875% 09/3 S		-125,449.21	50.00	7.50	42.50	50.0	21.25
05/02/2017	QGN026205 DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 0.750% 10/3 S		-99,875.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026205 DEFINED CONTRIBUTION	UNITED STATES TREAS BDS 2.750% 11/1 B		96,453.13	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026205 DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 1.750% 01/3 B		49,437.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026205 DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 10 YR TREAS S		-52,613.57	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN026239 PNC BANK	UNITED STATES TREAS BDS 2.875% 08/1 S		-48,562.50	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN026239 PNC BANK	UNITED STATES TREAS BDS TREAS INFLA S		-71,565.42	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN026239 PNC BANK	UNITED STATES TREAS BDS 2.500% 02/1 B		161,328.13	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN026270 BROTHERHOOD OF	UNITED STATES TREAS BDS 5.375% 02/1 S		-67,015.63	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN026270 BROTHERHOOD OF	UNITED STATES TREAS BDS 2.875% 05/1 S		-97,625.00	50.00	7.50	42.50	50.0	21.25
05/02/2017	QGN026270 BROTHERHOOD OF	UNITED STATES TREAS NTS 2.250% 11/1 S		-199,937.50	62.50	7.50	55.00	50.0	27.50
05/03/2017	QGN026270 BROTHERHOOD OF	UNITED STATES TREAS NTS 1.750% 01/3 B		24,718.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026270 BROTHERHOOD OF	UNITED STATES TREAS NTS 10 YR TREAS S		-52,613.57	50.00	7.50	42.50	50.0	21.25
05/09/2017	QGN026270 BROTHERHOOD OF	UNITED STATES TREAS BDS 4.750% 02/1 S		-26,043.75	50.00	7.50	42.50	50.0	21.25
05/09/2017	QGN026270 BROTHERHOOD OF	UNITED STATES TREAS BDS 2.500% 05/1 S		-32,554.69	50.00	7.50	42.50	50.0	21.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
05/09/2017	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.500% 05/1 S	32,554.69	-50.00	-7.50	-42.50	50.0	-21.25 C
05/09/2017	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.500% 05/1 S	-22,335.94	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.500% 02/1 B	114,589.84	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026304	SALOMON SMITH BARNEY	UNITED STATES TREAS NTS 1.750% 01/3 B	9,887.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026304	SALOMON SMITH BARNEY	UNITED STATES TREAS NTS 10 YR TREAS S	-15,793.97	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026304	SALOMON SMITH BARNEY	UNITED STATES TREAS NTS 1.750% 01/3 B	-9,887.50	-50.00	-7.50	-42.50	50.0	-21.25 C
05/03/2017	QGN026304	SALOMON SMITH BARNEY	UNITED STATES TREAS NTS 1.750% 01/3 B	9,887.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026403	300 CIVIL SERVICE	UNITED STATES TREAS NTS 1.750% 01/3 B	9,887.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026403	300 CIVIL SERVICE	UNITED STATES TREAS NTS 10 YR TREAS S	-10,529.31	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026403	300 CIVIL SERVICE	UNITED STATES TREAS NTS 1.750% 01/3 B	-9,887.50	-50.00	-7.50	-42.50	50.0	-21.25 C
05/03/2017	QGN026403	300 CIVIL SERVICE	UNITED STATES TREAS NTS 1.750% 01/3 B	9,887.50	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 1.125% 07/3 B	48,718.75	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.000% 04/3 B	24,968.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026627	STACEY BRAUN	UNITED STATES TREAS BDS 2.750% 11/1 B	48,226.56	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN026627	STACEY BRAUN	UNITED STATES TREAS BDS 30 YEAR TRE S	-19,241.63	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN026627	STACEY BRAUN	UNITED STATES TREAS NTS 1.125% 07/3 B	24,359.38	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 1.750% 01/3 B	98,875.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 10 YR TREAS S	-105,227.13	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 1.750% 01/3 B	49,437.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 10 YR TREAS S	-52,646.55	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 1.500% 08/1 B	94,125.00	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.000% 04/3 B	49,937.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026825	CRAFTSMEN,	UNITED STATES TREAS NTS 10 YR TREAS S	-10,529.31	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN026825	CRAFTSMEN,	UNITED STATES TREAS NTS 1.125% 07/3 B	9,743.75	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN026825	CRAFTSMEN,	UNITED STATES TREAS NTS 1.750% 04/3 B	9,984.38	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026916	LOCAL 804 ANNUITY	UNITED STATES TREAS NTS 1.750% 01/3 B	98,875.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026916	LOCAL 804 ANNUITY	UNITED STATES TREAS NTS 10 YR TREAS S	-105,293.11	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN026916	LOCAL 804 ANNUITY	UNITED STATES TREAS BDS 2.875% 05/1 S	-48,781.25	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN026916	LOCAL 804 ANNUITY	UNITED STATES TREAS BDS 2.500% 02/1 B	69,140.63	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN026957	LOCAL 177 UNION	UNITED STATES TREAS BDS 2.750% 11/1 B	24,113.28	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN026957	LOCAL 177 UNION	UNITED STATES TREAS BDS 2.500% 02/1 B	22,917.97	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN026999	STACEY BRAUN	UNITED STATES TREAS NTS TREAS TIPS S	-24,609.91	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN026999	STACEY BRAUN	UNITED STATES TREAS NTS TREAS TIPS S	24,609.91	-50.00	-7.50	-42.50	50.0	-21.25 C
05/04/2017	QGN026999	STACEY BRAUN	UNITED STATES TREAS NTS TREAS TIPS S	-24,610.18	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN026999	STACEY BRAUN	UNITED STATES TREAS NTS 1.750% 01/3 B	19,750.00	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN026999	STACEY BRAUN	UNITED STATES TREAS NTS 2.000% 04/3 B	9,987.50	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS BDS 2.500% 05/1 S	-45,203.13	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS BDS 2.750% 11/1 B	91,630.47	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 1.750% 01/3 B	49,437.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 10 YR TREAS S	-157,939.66	50.00	7.50	42.50	50.0	21.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
05/04/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS BDS 2.500% 02/1 S	-134,718.75	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 10 YRS TIPS S	-55,657.70	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS BDS 2.500% 05/1 S	-44,953.13	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS BDS 2.500% 05/1 S	-44,656.25	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 1.125% 07/3 B	194,875.00	62.50	7.50	55.00	50.0	27.50
05/17/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS BDS 2.500% 02/1 B	160,890.63	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS TREAS TIPS S	-73,829.73	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS TREAS TIPS S	73,829.73	-50.00	-7.50	-42.50	50.0	-21.25 C
05/04/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS TREAS TIPS S	-73,830.53	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 0.250% 01/1 S	-79,055.38	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 0.250% 01/1 S	79,055.38	-50.00	-7.50	-42.50	50.0	-21.25 C
05/08/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 0.250% 01/1 S	-86,050.95	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 1.125% 07/3 B	243,593.75	78.13	7.50	70.63	50.0	35.32
05/17/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 1.750% 04/3 B	499,765.63	156.25	7.50	148.75	50.0	74.38
05/17/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 1.500% 08/1 B	282,093.75	93.75	7.50	86.25	50.0	43.13
05/18/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.000% 04/3 B	99,875.00	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS BDS 2.750% 11/1 B	24,113.28	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS BDS 2.500% 02/1 B	137,906.25	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS BDS 2.875% 11/1 S	-48,906.25	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 1.750% 01/3 B	212,581.25	67.19	7.50	59.69	50.0	29.85
05/03/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 10 YR TREAS S	-263,232.77	82.47	7.50	74.97	50.0	37.49
05/08/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS BDS 2.500% 05/1 S	-67,265.63	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN027187	SAVASTA, MR	UNITED STATES TREAS BDS 2.750% 11/1 B	24,113.28	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 10 YR TREAS S	-63,175.86	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 0.250% 01/1 S	-23,251.58	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 0.250% 01/1 S	23,251.58	-50.00	-7.50	-42.50	50.0	-21.25 C
05/08/2017	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 0.250% 01/1 S	-25,309.10	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS BDS 2.750% 11/1 B	24,113.28	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS BDS 30 YEAR TRE S	-33,672.85	50.00	7.50	42.50	50.0	21.25
05/10/2017	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS BDS 2.500% 05/1 S	-31,259.38	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS BDS 2.500% 02/1 B	46,093.75	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.000% 04/3 B	24,968.75	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS BDS 2.750% 11/1 B	192,906.25	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS BDS 2.750% 11/1 B	-192,906.25	-50.00	-7.50	-42.50	50.0	-21.25 C
05/03/2017	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS BDS 2.750% 11/1 B	192,906.25	62.50	7.50	55.00	50.0	27.50
05/08/2017	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS BDS 30 YEAR TRE S	-259,863.69	89.42	7.50	81.92	50.0	40.96
05/08/2017	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 0.250% 01/1 S	-97,656.65	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 0.250% 01/1 S	97,656.65	-50.00	-7.50	-42.50	50.0	-21.25 C
05/08/2017	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 0.250% 01/1 S	-106,298.23	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 1.125% 07/3 B	243,593.75	78.13	7.50	70.63	50.0	35.32

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
05/18/2017	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.000% 04/3 B	199,750.00	62.50	7.50	55.00	50.0	27.50
05/02/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.000% 11/1 S	-170,542.97	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS TREAS INFLA S	-102,236.32	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.500% 05/1 S	-89,718.75	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS TREAS TIPS S	-196,879.27	63.53	7.50	56.03	50.0	28.02
05/04/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS TREAS TIPS S	196,879.27	-63.53	-7.50	-56.03	50.0	-28.02 C
05/04/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS TREAS TIPS S	-196,881.41	63.53	7.50	56.03	50.0	28.02
05/10/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.500% 05/1 S	-44,656.25	50.00	7.50	42.50	50.0	21.25
05/18/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.000% 04/3 B	54,931.25	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS BDS 2.750% 11/1 B	96,453.13	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 10 YRS TIPS S	-55,657.70	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 1.500% 08/1 B	47,062.50	50.00	7.50	42.50	50.0	21.25
05/03/2017	QGN027484	SETTERS LOCAL 84,	UNITED STATES TREAS BDS 2.750% 11/1 B	9,645.31	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN027781	THREE VILLAGE	UNITED STATES TREASURY NOTE S	-29,934.38	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 0.250% 01/1 S	-74,405.07	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 0.250% 01/1 S	74,405.07	-50.00	-7.50	-42.50	50.0	-21.25 C
05/08/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 0.250% 01/1 S	-80,989.13	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 1.125% 07/3 B	73,078.13	50.00	7.50	42.50	50.0	21.25
05/17/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 1.750% 04/3 B	199,687.50	62.50	7.50	55.00	50.0	27.50
05/17/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 1.500% 08/1 B	188,062.50	62.50	7.50	55.00	50.0	27.50
05/18/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.000% 04/3 B	49,937.50	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN028144	OF, INTERNATIONAL	MEDTRONIC INC SR NT 4.000% 04/01/43 S	-96,405.00	50.00	7.50	42.50	50.0	21.25
05/01/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.250% 11/1 S	-49,828.13	50.00	7.50	42.50	50.0	21.25
05/02/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.000% 11/1 S	-73,089.84	50.00	7.50	42.50	50.0	21.25
05/04/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS BDS 2.875% 05/1 S	-29,268.75	50.00	7.50	42.50	50.0	21.25
05/08/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS BDS 2.875% 05/1 S	-24,375.00	50.00	7.50	42.50	50.0	21.25
05/16/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 1.125% 07/3 B	73,078.13	50.00	7.50	42.50	50.0	21.25
* BONDS SUBTOTAL *				<u>\$3,350,462.06</u>	<u>\$16,396.21</u>	<u>\$2,370.00</u>	<u>\$14,026.21</u>		<u>\$7,013.16</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$916,208.63</u>	<u>\$21,364.48</u>	<u>\$2,664.00</u>	<u>\$18,700.48</u>		<u>\$9,350.31</u>

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

06/09/2017		OTHER: OTHER-STACEY BRAUN		0.00	0.00	0.00	0.00		-5,328.77
06/09/2017		OTHER: OTHER-QGN PERSHING CHARGES		0.00	0.00	0.00	0.00		-12.50
06/09/2017		OTHER: BLOOMBERG		0.00	0.00	0.00	0.00		-996.21
06/09/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
06/09/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-15.00
06/09/2017		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-212.50
06/09/2017		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-54.33
06/09/2017		OTHER: EZ CASTLE		0.00	0.00	0.00	0.00		-55.56
06/09/2017		OTHER: PIVOT		0.00	0.00	0.00	0.00		-68.05
06/09/2017		:		0.00	0.00	0.00	0.00		0.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-6,762.92

BROKER COMMISSION TOTALS

Broker Transactions	\$9,350.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-6,762.92
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-26.71
SIPC Assessment	\$-400.58

Check Amount	\$2,160.10
Year-to-date Earnings	\$23,005.63