



Concorde Investment Services, LLC

08/14/2017
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COMMISSION STATEMENT **August 15, 2017**

ROY PFLEGER II
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: P123
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/19/2017	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.11	0.00	4.11	75.0	3.08
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$4.11	\$0.00	\$4.11		\$3.08
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	0.00	35.16	0.00	35.16	75.0	26.37
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$35.16	\$0.00	\$35.16		\$26.37
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$39.27	\$0.00	\$39.27		\$29.45

SPLIT TRANSACTIONS

BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254

06/30/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	0.00	11.81	0.00	11.81	75.0	8.86
07/19/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.08	0.00	0.08	75.0	0.06
07/19/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.63	0.00	0.63	75.0	0.47
07/19/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.06	0.00	1.06	75.0	0.80
07/18/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.61	0.00	0.61	75.0	0.46
07/18/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.55	0.00	0.55	75.0	0.41
07/18/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.24	0.00	0.24	75.0	0.18
07/18/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.22	0.00	0.22	75.0	0.17
07/18/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.44	0.00	0.44	75.0	0.33
07/18/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	3.45	0.00	3.45	75.0	2.59
07/18/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.55	0.00	2.55	75.0	1.91
07/18/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.26	0.00	0.26	75.0	0.20
07/18/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.28	0.00	0.28	75.0	0.21
07/18/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.20	0.00	0.20	75.0	0.15
07/21/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.16	0.00	0.16	75.0	0.12
07/13/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.34	0.00	0.34	75.0	0.26

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
07/13/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.56	0.00	1.56	75.0	1.17
07/21/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.74	0.00	0.74	75.0	0.56
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$0.00	\$25.18	\$0.00	\$25.18		\$18.91
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$25.18	\$0.00	\$25.18		\$18.91

<u>ADJUSTMENTS</u>								
08/15/2017		*FINRA: IL INDIVIDUAL REG. FEE	0.00					-150.00
08/15/2017		*MISC: 7/31 OVERNIGHT FEE	0.00					-10.00
08/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.77
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-160.77

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$29.45
Split Transactions	\$18.91
Override Transactions	\$0.00
Adjustments	\$-160.77
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-112.41
Year-to-date Gross	\$30,398.75
Year-to-date Earnings	\$22,758.16

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