



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2017

08/14/2017
 Page No. 1

G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRELLI/
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: G0B
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^08/10/2017	MAKRIDES, MARIE	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	100.0	0.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$0.00
<u>PRODUCT CATEGORY: REIT</u>								
^07/18/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	17.40	0.00	17.40	100.0	0.00
		* REIT SUBTOTAL *	\$0.00	\$17.40	\$0.00	\$17.40		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^07/10/2017	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	7.21	0.00	7.21	100.0	0.00
^07/10/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	5.34	0.00	5.34	100.0	0.00
^07/19/2017	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.92	0.00	5.92	100.0	0.00
^07/21/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	12.17	0.00	12.17	100.0	0.00
^07/21/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.95	0.00	4.95	100.0	0.00
^07/21/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.61	0.00	2.61	100.0	0.00
^07/21/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.55	0.00	8.55	100.0	0.00
^07/21/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.21	0.00	2.21	100.0	0.00
^07/21/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.01	0.00	10.01	100.0	0.00
^07/21/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.11	0.00	3.11	100.0	0.00
^07/21/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.53	0.00	7.53	100.0	0.00
^07/21/2017	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	8.13	0.00	8.13	100.0	0.00
^07/21/2017	STARK, LINDA	MAINSTAY MAP A	0.00	1.82	0.00	1.82	100.0	0.00
^07/21/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	1.72	0.00	1.72	100.0	0.00
^07/13/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	8.17	0.00	8.17	100.0	0.00
^07/21/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	10.14	0.00	10.14	100.0	0.00
^07/12/2017	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.32	0.00	0.32	100.0	0.00
^07/12/2017	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.81	0.00	0.81	100.0	0.00
^07/21/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.80	0.00	0.80	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$101.52	\$0.00	\$101.52	\$0.00
*** BROKER TRANSACTIONS TOTAL ***	\$50,000.00	\$3,618.92	\$0.00	\$3,618.92	\$0.00

ADJUSTMENTS

08/15/2017	*PERSHING: BOOKS & RECORDS	0.00			0.00
08/15/2017	*PERSHING: ETF PROSPECTUS MAILING	0.00			0.01
08/15/2017	*PERSHING: MF PROSPECTUS MAILING	0.00			0.01
08/15/2017	*TECH: ADMIN FULMER	0.00			0.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.02

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.02
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.09
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.11
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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