



Concorde Investment Services, LLC

03/13/2018

Page No. 1

COMMISSION STATEMENT**March 15, 2018**

JOSEPH, W128 WIEGAND
1220 N. 200 West
Suite B
Angola, IN 46703

BROKER #: W128
BRANCH: LIFETIME FINANCIAL S

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
02/27/2018	BAALS JT TEN, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	54,203.34	46.04	0.00	46.04	90.0	41.44
01/31/2018	BAALS, GREY	GRIFFIN INST'L ACCESS R E FUND C	50,606.18	42.99	0.00	42.99	90.0	38.69
* INTERVAL FUNDS SUBTOTAL *			\$104,809.52	\$89.03	\$0.00	\$89.03		\$80.13
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	FELKNER, PETER	AM FUNDS 2055 TARGET DATE-A	0.00	2.83	0.00	2.83	90.0	2.55
03/01/2018	VASQUEZ, MARISELA	AM FUNDS AMERICAN BALANCED A	0.00	3.21	0.00	3.21	90.0	2.89
03/01/2018	VASQUEZ, MARISELA	AM FUNDS AMERICAN BALANCED A	0.00	1.02	0.00	1.02	90.0	0.92
03/01/2018	VAN CLEAVE, JENNIFER	AM FUNDS AMERICAN BALANCED A	0.00	4.66	0.00	4.66	90.0	4.19
03/01/2018	MAYES-CURRY, VALERIE	AM FUNDS AMERICAN BALANCED A	0.00	2.78	0.00	2.78	90.0	2.50
03/01/2018	MAYES-CURRY, VALERIE	AM FUNDS AMERICAN BALANCED A	0.00	1.61	0.00	1.61	90.0	1.45
03/01/2018	VAN CLEAVE, JENNIFER	AM FUNDS AMERICAN BALANCED A	0.00	8.24	0.00	8.24	90.0	7.42
03/01/2018	DELANY, PEGGY	AM FUNDS AMERICAN BALANCED A	0.00	4.84	0.00	4.84	90.0	4.36
03/01/2018	SNYDER, DAVID	AM FUNDS AMERICAN BALANCED C	0.00	40.45	0.00	40.45	90.0	36.41
03/01/2018	LEY, JEREMY	AM FUNDS BALANCED PORT A	0.00	2.99	0.00	2.99	90.0	2.69
03/01/2018	NELSON, THOMAS	AM FUNDS BALANCED PORT A	0.00	7.48	0.00	7.48	90.0	6.73
03/01/2018	JONES, WILLIAM	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.60	0.00	10.60	90.0	9.54
03/01/2018	JONES, WILLIAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.56	0.00	0.56	90.0	0.50
03/01/2018	SMITH, CLAIRE	AMERICAN FUNDS 2060 TARGET DATE A	0.00	0.39	0.00	0.39	90.0	0.35
03/01/2018	SNYDER, DAVID	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	34.09	0.00	34.09	90.0	30.68
02/22/2018	SARRAZIN, MARY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	37.50	0.00	37.50	90.0	33.75
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$163.25	\$0.00	\$163.25		\$146.93
*** DIRECT TRANSACTIONS TOTAL ***			\$104,809.52	\$252.28	\$0.00	\$252.28		\$227.06

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10</u>								
02/27/2018	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	100.00	1.66	0.00	1.66	90.0	1.49
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.18	0.00	0.18	90.0	0.16
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.18	0.00	0.18	90.0	0.16
02/23/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.18	0.00	0.18	90.0	0.16
02/23/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.42	0.00	0.42	90.0	0.38
02/28/2018	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	30.00	0.11	0.00	0.11	90.0	0.10
02/28/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.42	0.00	0.42	90.0	0.38
03/09/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.18	0.00	0.18	90.0	0.16
03/09/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.83	0.00	0.83	90.0	0.75
02/28/2018	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	70.00	0.25	0.00	0.25	90.0	0.23
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$400.00	\$4.41	\$0.00	\$4.41		\$3.97
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
02/28/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.63	0.00	2.63	90.0	2.37
02/28/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.82	0.00	1.82	90.0	1.64
03/01/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	0.00	2.23	0.00	2.23	90.0	2.01
02/28/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	113.58	1.49	0.00	1.49	90.0	1.34
02/28/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	113.58	1.49	0.00	1.49	90.0	1.34
03/01/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	0.00	1.66	0.00	1.66	90.0	1.49
02/28/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.63	0.00	3.63	90.0	3.27
02/28/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.82	0.00	1.82	90.0	1.64
03/01/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	0.00	2.99	0.00	2.99	90.0	2.69
03/01/2018	DREW, KEVIN	AM FUNDS 2050 TARGET DATE-A	0.00	1.54	0.00	1.54	90.0	1.39
03/01/2018	ROOS, DEBORAH	AM FUNDS AMCAP A	0.00	5.68	0.00	5.68	90.0	5.11
02/21/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.33	0.00	0.33	90.0	0.30
02/21/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	90.0	0.20
02/21/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.11	0.00	0.11	90.0	0.10
02/21/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.13	0.00	0.13	90.0	0.12
02/21/2018	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.45	0.00	0.45	90.0	0.41
02/21/2018	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2018	VICKREY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.45	0.00	0.45	90.0	0.41
03/01/2018	FITCH, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	1.88	0.00	1.88	90.0	1.69
03/01/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	0.00	1.20	0.00	1.20	90.0	1.08
03/01/2018	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	0.00	1.62	0.00	1.62	90.0	1.46

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
03/01/2018	LITWINKO, TERI	AM FUNDS AMERICAN BALANCED A	0.00	0.57	0.00	0.57	90.0	0.51
03/01/2018	ROBERTSON, RACHEL	AM FUNDS AMERICAN BALANCED A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	STRACK, AMANDA	AM FUNDS AMERICAN BALANCED A	0.00	0.37	0.00	0.37	90.0	0.33
03/01/2018	SCHENKEL, HUNTER	AM FUNDS AMERICAN BALANCED A	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2018	STANLEY, LAURA	AM FUNDS AMERICAN BALANCED A	0.00	1.44	0.00	1.44	90.0	1.30
03/01/2018	STANLEY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	1.05	0.00	1.05	90.0	0.95
03/01/2018	OTENEY, ASHLEY	AM FUNDS AMERICAN BALANCED A	0.00	0.25	0.00	0.25	90.0	0.23
03/01/2018	BAILEY, BENJAMIN	AM FUNDS AMERICAN BALANCED A	0.00	0.72	0.00	0.72	90.0	0.65
03/01/2018	SILKWORTH, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	2.31	0.00	2.31	90.0	2.08
03/02/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	175.00	3.06	0.00	3.06	90.0	2.75
03/01/2018	HABESHY, MAGDY	AM FUNDS AMERICAN BALANCED C	0.00	5.31	0.00	5.31	90.0	4.78
02/21/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.22	0.00	0.22	90.0	0.20
02/21/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.26	0.00	0.26	90.0	0.23
03/01/2018	IN/TOD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2018	STANLEY, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.54	0.00	0.54	90.0	0.49
03/01/2018	STANLEY, LAURA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.24	0.00	0.24	90.0	0.22
02/21/2018	CLINE, DAVIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	STANLEY, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.62	0.00	0.62	90.0	0.56
03/01/2018	STANLEY, LAURA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.27	0.00	0.27	90.0	0.24
02/20/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	8.75	0.00	8.75	90.0	7.88
02/21/2018	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2018	ROOS, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.31	0.00	1.31	90.0	1.18
03/01/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.41	0.00	0.41	90.0	0.37
03/01/2018	VICKREY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.94	0.00	0.94	90.0	0.85
03/01/2018	FITCH, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.35	0.00	0.35	90.0	0.32
03/01/2018	ERVIN, DERRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	STANLEY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.29	0.00	0.29	90.0	0.26
02/21/2018	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.22	0.00	0.22	90.0	0.20
03/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.88	0.00	0.88	90.0	0.79
03/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.52	0.00	0.52	90.0	0.47
02/20/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	8.75	0.00	8.75	90.0	7.88
02/21/2018	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.20	0.00	0.20	90.0	0.18
02/28/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.88	0.00	0.88	90.0	0.79

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
02/28/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.13	0.00	6.13	90.0	5.52
03/01/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.46	0.00	0.46	90.0	0.41
03/01/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.29	0.00	2.29	90.0	2.06
03/01/2018	VICKREY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.16	0.00	1.16	90.0	1.04
03/01/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.74	0.00	2.74	90.0	2.47
03/01/2018	FITCH, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.44	0.00	0.44	90.0	0.40
03/01/2018	ERVIN, DERRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	STRACK, ARTHUR	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2018	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.61	0.00	0.61	90.0	0.55
03/01/2018	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.61	0.00	0.61	90.0	0.55
03/01/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.39	0.00	0.39	90.0	0.35
02/21/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.20	0.00	0.20	90.0	0.18
03/01/2018	STANLEY, LAURA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.27	0.00	0.27	90.0	0.24
02/21/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.26	0.00	0.26	90.0	0.23
03/01/2018	STANLEY, LAURA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
03/01/2018	IN/TOD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.29	0.00	0.29	90.0	0.26
03/01/2018	STANLEY, LAURA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.33	0.00	0.33	90.0	0.30
03/01/2018	IN/TOD	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.44	0.00	0.44	90.0	0.40
02/21/2018	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.20	0.00	0.20	90.0	0.18
02/23/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.09	0.00	0.09	90.0	0.08
02/28/2018	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.25	0.00	0.25	90.0	0.23
03/09/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.09	0.00	0.09	90.0	0.08
02/28/2018	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.42	0.00	0.42	90.0	0.38
02/27/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	52,516.94	15.61	0.00	15.61	90.0	14.05
02/16/2018	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.23	0.00	1.23	90.0	1.11
02/20/2018	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	5.08	0.00	5.08	90.0	4.57
02/23/2018	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.20	0.00	0.20	90.0	0.18
02/16/2018	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.72	0.00	0.72	90.0	0.65
/ /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	0.57	0.00	0.57	90.0	0.51
02/23/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.14	0.00	0.14	90.0	0.13
03/09/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.14	0.00	0.14	90.0	0.13
02/23/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.64	0.00	0.64	90.0	0.58
02/23/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.42	0.00	0.42	90.0	0.38

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
02/23/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.42	0.00	0.42	90.0	0.38
03/09/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.64	0.00	0.64	90.0	0.58
03/09/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.42	0.00	0.42	90.0	0.38
03/09/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.42	0.00	0.42	90.0	0.38
02/28/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.11	0.00	0.11	90.0	0.10
02/21/2018	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.26	0.00	0.26	90.0	0.23
03/01/2018	STANLEY, JOHN	INFLATION LINKED BOND FUND A	0.00	0.35	0.00	0.35	90.0	0.32
03/01/2018	STANLEY, LAURA	INFLATION LINKED BOND FUND A	0.00	0.33	0.00	0.33	90.0	0.30
03/01/2018	IN/TOD	INFLATION LINKED BOND FUND A	0.00	0.51	0.00	0.51	90.0	0.46
02/27/2018	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.32	0.00	1.32	90.0	1.19
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$55,457.94	\$122.42	\$0.00	\$122.42		\$110.31
*** SPLIT TRANSACTIONS TOTAL ***			\$55,857.94	\$126.83	\$0.00	\$126.83		\$114.28

ADJUSTMENTS

03/15/2018	*EO INSURANCE: CURRENT MONTH INS	0.00						-300.00
03/15/2018	*LASER APP: CURRENT MONTH	0.00						-18.00
03/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-0.69
03/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-498.69

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BROKER COMMISSION TOTALS

Broker Transactions	\$227.06
Split Transactions	\$114.28
Override Transactions	\$0.00
Adjustments	\$-498.69
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-178.10
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-335.45
Year-to-date Gross	\$1,305.68
Year-to-date Earnings	\$1,161.46