



All Companies

05/14/2018

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COMMISSION STATEMENT

May 15, 2018

PAUL M. THOMPSON
15455 Dallas Parkway, Ste.600

BROKER #: 9114
BRANCH: HOME OFFICE

Addison, TX 75001

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/23/2018	00063808760	DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	66.00	1.06	0.00	1.06	90.0	0.95
04/23/2018	00063808760	DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	220.00	3.52	0.00	3.52	90.0	3.17
04/25/2018	00064607901	LARSON JT TEN, JEFFREY	AMERICAN FUNDS BALANCED FD	B	1,000.00	27.50	0.00	27.50	90.0	24.75
04/23/2018	00068487409	CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.84	0.00	1.84	90.0	1.66
04/23/2018	00068487409	CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.84	0.00	1.84	90.0	1.66
05/03/2018	00074947376	MALLERY, MARLA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	125.00	5.31	0.00	5.31	90.0	4.78
05/03/2018	00086561092	WISNIEWSKI, ANDREW	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
M.										
04/23/2018	00087104938	RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	81.90	1.31	0.00	1.31	90.0	1.18
04/23/2018	00087104938	RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	136.50	2.18	0.00	2.18	90.0	1.96
04/23/2018	00087104958	BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.45	0.00	1.45	90.0	1.31
04/23/2018	00087104958	BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.45	0.00	1.45	90.0	1.31
04/23/2018	00088535675	CARPENTER, TERRY	AMERICAN FUNDS INVESTMENT COMP OF A B	B	80.00	1.28	0.00	1.28	90.0	1.15
D.										
04/23/2018	00088535675	CARPENTER, TERRY	AMERICAN FUNDS INVESTMENT COMP OF A B	B	80.00	1.28	0.00	1.28	90.0	1.15
D.										
04/23/2018	00089211120	BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	1.10	0.00	1.10	90.0	0.99
04/23/2018	00089211120	BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	1.10	0.00	1.10	90.0	0.99
05/01/2018	00089423266	MONTIEL, MELISSA	AMERICAN FUNDS BALANCED FD	B	300.00	15.00	0.00	15.00	90.0	13.50
DYSON										
05/01/2018	00089423266	MONTIEL, MELISSA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	5.00	0.00	5.00	90.0	4.50
DYSON										
04/25/2018	5501744996	LARSON, JEFFREY	PORTFOLIO SERIES: ACTIVE ALLOCATION B	B	400.00	12.00	0.00	12.00	90.0	10.80
04/25/2018	5501745018	LARSON, DEBORAH	PORTFOLIO SERIES: ACTIVE ALLOCATION B	B	400.00	12.00	0.00	12.00	90.0	10.80
* MUTUAL FUNDS SUBTOTAL *					\$3,587.72	\$96.72	\$0.00	\$96.72		\$87.06

PRODUCT CATEGORY: VARIABLE ANNUITIES

04/30/2018	MCCADDON IRREV TRUST, WM.	SECURITY LIFE OF DENVER	B	8,750.00	175.00	0.00	175.00	90.0	157.50
* VARIABLE ANNUITIES SUBTOTAL *				\$8,750.00	\$175.00	\$0.00	\$175.00		\$157.50

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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

05/08/2018	BEUTTENMULLER, RUDOLF W	SIGNAL SECURITIES RIA	B	0.00	1,254.66	0.00	1,254.66	90.0	1,129.19
* RIA SUBTOTAL *				\$0.00	\$1,254.66	\$0.00	\$1,254.66		\$1,129.19

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	328.52	0.00	328.52	90.0	295.68
05/15/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES		0.00	8.40	0.00	8.40	90.0	7.56
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$336.92	\$0.00	\$336.92		\$303.24
*** BROKER TRANSACTIONS TOTAL ***				\$12,337.72	\$1,863.30	\$0.00	\$1,863.30		\$1,676.99

ADJUSTMENTS

05/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,676.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,649.49
Year-to-date Earnings	\$206,239.55