



Concorde Investment Services, LLC

03/06/2017
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COMMISSION STATEMENT
February 28, 2017

JIM LUND
2060 Huntington Drive
Suite 1
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 02/15/2017 | BARBOUR, ELEANOR | FA 529 PORT 2016 C | 200.00 | 10.00 | 0.00 | 10.00 | 0.0 | 0.00 |
| 02/06/2017 | STEINER, DANIEL | FA 529 PORT 2025 A | 100.00 | 5.00 | 0.00 | 5.00 | 0.0 | 0.00 |
| 02/06/2017 | STEINER, DANIEL | FA 529 PORT 2025 A | 100.00 | 5.00 | 0.00 | 5.00 | 0.0 | 0.00 |
| 02/06/2017 | HERNANDEZ, MARIA | FA 529 PORT 2025 A | 200.00 | 10.00 | 0.00 | 10.00 | 0.0 | 0.00 |
| 02/15/2017 | HERNANDEZ, MICHELLE | FA 529 PORT 2025 A | 50.00 | 2.50 | 0.00 | 2.50 | 0.0 | 0.00 |
| 02/15/2017 | HERNANDEZ, MICHELLE | FA 529 PORT 2025 A | 50.00 | 2.50 | 0.00 | 2.50 | 0.0 | 0.00 |
| 02/15/2017 | HERNANDEZ, MICHELLE | FA 529 PORT 2025 A | 50.00 | 2.50 | 0.00 | 2.50 | 0.0 | 0.00 |
| / / | BRYAN, TRICIA | FA 529 PORT 2028 A | 0.00 | 6.00 | 0.00 | 6.00 | 0.0 | 0.00 |
| 02/06/2017 | THOMPSON, LESLIE | FRANKLIN INCOME A | 100.00 | 4.00 | 0.00 | 4.00 | 0.0 | 0.00 |
| 02/15/2017 | WURMLINGER, MARTIN | FRANKLIN INCOME A | 100.00 | 4.00 | 0.00 | 4.00 | 0.0 | 0.00 |
| 02/06/2017 | PRESTON, JANEAN | FRANKLIN INCOME C | 400.00 | 4.00 | 0.00 | 4.00 | 0.0 | 0.00 |
| 02/09/2017 | RAMIREZ, MYRNA | FT FOUNDING FUNDS ALLOC A | 650.00 | 18.20 | 0.00 | 18.20 | 0.0 | 0.00 |
| 02/17/2017 | CRAIG, JAMIE | FT MODERATE ALLOCATION FUND C | 120.00 | 1.20 | 0.00 | 1.20 | 0.0 | 0.00 |
| 02/08/2017 | YUGE, SEAN | OPPENHEIMER PORT SERIES ACTIVE ALLO | 200.00 | 9.50 | 0.00 | 9.50 | 0.0 | 0.00 |
| 02/14/2017 | CIESLIK, CHAD | OPPENHEIMER QUEST OPP VALUE A | 247.50 | 11.76 | 0.00 | 11.76 | 0.0 | 0.00 |
| 02/14/2017 | CIESLIK, CHAD | OPPENHEIMER SMALL MID CAP VALUE A | 82.50 | 3.92 | 0.00 | 3.92 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$2,650.00 | \$100.08 | \$0.00 | \$100.08 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$2,650.00 | \$100.08 | \$0.00 | \$100.08 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$3,183.84 |
| Year-to-date Earnings | \$0.00 |