

COMMISSION STATEMENT

April 23, 2018

MICHAEL PINEDA

2068 N Emerson St Denver, CO 80205 BROKER #: B14

BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-----------|---|---|----------------------|---------------------|-----------------------|-------------------|-------|----------------------|
| | | BROKER TRA | NSACTIONS | | | | | |
| PRODUCT | CATEGORY: STOCKS | | | | | | | |
| 04/17/201 | 8 ***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234 | BOTTOMLINE TECH DEL INC COM BUY 100 Units @40.6000 | 4,060.00 | 54.00 | 17.00 | 37.00 | 75.0 | 27.75 |
| 04/17/201 | 8 ***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234 | ISHARES TR GL TIMB FORE ETF BUY 50 Units @81.8500 | 4,092.50 | 54.00 | 16.00 | 38.00 | 75.0 | 28.50 |
| | | * STOCKS SUBTOTAL * | \$8,152.50 | \$108.00 | \$33.00 | \$75.00 | | \$56.25 |
| PRODUCT | CATEGORY: VARIABLE ANNUITIE | s | | | | | | |
| 04/18/201 | 8 ***-**-8557 - PANUSKA, ACCOUNT #: 1017968838 | PERSPECTIVE II DTCC BUY 0 Units @0.0000 | 6,219.39 | 310.97 | 0.00 | 310.97 | 75.0 | 233.23 |
| | | * VARIABLE ANNUITIES SUBTOTAL * | \$6,219.39 | \$310.97 | \$0.00 | \$310.97 | | \$233.23 |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$14,371.89 | \$418.97 | \$33.00 | \$385.97 | | \$289.48 |
| | | | | | <u> </u> | ROKER COMMI | SSION | TOTALS |
| | | | | | Broker Transactions | | | \$289.48 |
| | | | | | Split Transactions | | | \$0.00 |
| | | | | | Override | e Transactions | | \$0.00 |
| | | | | | Adjustments | | | \$0.00 |
| | | | | | Payroll Draw | | | \$0.00 |
| | | | | | Base Salary | | | \$0.00 |
| | | | | | Prior Period Balance | | | \$37.34 |
| | | | | | FINRA Assessment | | | \$0.00 |
| | | | | | SIPC Assessment | | | \$0.00 |
| | | | | | Net Commission Earned | | | \$326.82 |
| | | | | | Year-to- | -date Earnings | | \$11,997.99 |