



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 15, 2017

03/14/2017
 Page No. 1

ROBIN EDGAR
 1161 WAYZATA BLVD E
 #400
 Wayzata, MN 55391

BROKER #: E119
 BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	LANGFALD, RONALD	AM FUNDS AMCAP A	0.00	22.52	0.00	22.52	90.0	20.27
03/01/2017	LANGFALD, ARDIS	AM FUNDS AMCAP A	0.00	13.79	0.00	13.79	90.0	12.41
03/01/2017	MOKLESTAD, NANCY	AM FUNDS AMCAP A	0.00	1.83	0.00	1.83	90.0	1.65
03/01/2017	GRIFFITT, JAYNELLE	AM FUNDS AMCAP A	0.00	8.80	0.00	8.80	90.0	7.92
03/01/2017	MEINDERS, DAWN	AM FUNDS AMCAP A	0.00	7.17	0.00	7.17	90.0	6.45
03/01/2017	VANDER WILT, BRIAN	AM FUNDS AMCAP A	0.00	3.53	0.00	3.53	90.0	3.18
03/01/2017	GERZEMA, MARLENE	AM FUNDS AMCAP A	0.00	18.56	0.00	18.56	90.0	16.70
03/01/2017	HEMER, EILEEN	AM FUNDS AMCAP A	0.00	36.69	0.00	36.69	90.0	33.02
03/01/2017	POST, KENNETH	AM FUNDS AMCAP A	0.00	4.06	0.00	4.06	90.0	3.65
03/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS AMCAP R2	0.00	75.36	0.00	75.36	90.0	67.82
03/01/2017	LEONARD, GARY	AM FUNDS AMERICAN BALANCED 529A	0.00	13.34	0.00	13.34	90.0	12.01
03/01/2017	DOANE TRUST, LAVONNE	AM FUNDS AMERICAN BALANCED A	0.00	17.17	0.00	17.17	90.0	15.45
03/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS AMERICAN BALANCED A	0.00	25.41	0.00	25.41	90.0	22.87
03/01/2017	DOANE, ELDON	AM FUNDS AMERICAN BALANCED A	0.00	9.24	0.00	9.24	90.0	8.32
03/01/2017	O'CONNELL, RUSSELL	AM FUNDS AMERICAN BALANCED A	0.00	25.22	0.00	25.22	90.0	22.70
03/01/2017	WEBER, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	11.71	0.00	11.71	90.0	10.54
03/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	5.86	0.00	5.86	90.0	5.27
03/01/2017	WEBER, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	4.82	0.00	4.82	90.0	4.34
03/01/2017	MATHISON, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	13.12	0.00	13.12	90.0	11.81
03/01/2017	DAHL, NANCY JOHNSON	AM FUNDS AMERICAN BALANCED A	0.00	6.52	0.00	6.52	90.0	5.87
03/01/2017	GOCHE, ANN	AM FUNDS AMERICAN BALANCED A	0.00	11.44	0.00	11.44	90.0	10.30
03/01/2017	JOHNSON, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	3.21	0.00	3.21	90.0	2.89
03/01/2017	MAKI, LINDA	AM FUNDS AMERICAN BALANCED A	0.00	5.80	0.00	5.80	90.0	5.22
03/01/2017	GOCHE, STEVE	AM FUNDS AMERICAN BALANCED A	0.00	19.09	0.00	19.09	90.0	17.18
03/01/2017	NUTTING, MARY	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	90.0	0.19
03/01/2017	JOHNSON, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	3.20	0.00	3.20	90.0	2.88

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	MOKLESTAD, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	3.55	0.00	3.55	90.0	3.20
03/01/2017	KESSLER, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	63.81	0.00	63.81	90.0	57.43
03/01/2017	KESSLER, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	5.36	0.00	5.36	90.0	4.82
03/01/2017	HANSON, SCOTT	AM FUNDS AMERICAN BALANCED A	0.00	11.70	0.00	11.70	90.0	10.53
03/01/2017	HANSON, CARRIE	AM FUNDS AMERICAN BALANCED A	0.00	8.82	0.00	8.82	90.0	7.94
03/01/2017	GORBALL, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	5.26	0.00	5.26	90.0	4.73
03/01/2017	GORBALL, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	2.39	0.00	2.39	90.0	2.15
03/01/2017	FISCUS, RONALD	AM FUNDS AMERICAN BALANCED A	0.00	69.90	0.00	69.90	90.0	62.91
03/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS AMERICAN BALANCED A	0.00	0.61	0.00	0.61	90.0	0.55
03/01/2017	GRIFFITT, KENNETH	AM FUNDS AMERICAN BALANCED A	0.00	9.77	0.00	9.77	90.0	8.79
03/01/2017	LEONARD TRUST, REA	AM FUNDS AMERICAN BALANCED A	0.00	142.03	0.00	142.03	90.0	127.83
03/01/2017	VENTEICHER, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	6.80	0.00	6.80	90.0	6.12
03/01/2017	KETTWICK, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	25.96	0.00	25.96	90.0	23.36
03/01/2017	PRICE, TERESA	AM FUNDS AMERICAN BALANCED A	0.00	8.72	0.00	8.72	90.0	7.85
03/01/2017	ENRIGHT, EDWIN	AM FUNDS AMERICAN BALANCED A	0.00	15.35	0.00	15.35	90.0	13.82
03/01/2017	MEINDERS, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	8.20	0.00	8.20	90.0	7.38
03/01/2017	NUTTING, STUART	AM FUNDS AMERICAN BALANCED A	0.00	1.43	0.00	1.43	90.0	1.29
03/01/2017	VANDER WILT, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	4.84	0.00	4.84	90.0	4.36
03/01/2017	DAHL, JORGEN	AM FUNDS AMERICAN BALANCED A	0.00	4.80	0.00	4.80	90.0	4.32
03/01/2017	GERZEMA, MARLENE	AM FUNDS AMERICAN BALANCED A	0.00	4.17	0.00	4.17	90.0	3.75
03/01/2017	GERZEMA, MARLENE	AM FUNDS AMERICAN BALANCED A	0.00	32.73	0.00	32.73	90.0	29.46
03/01/2017	SCHLAKE, ELROY	AM FUNDS AMERICAN BALANCED A	0.00	10.69	0.00	10.69	90.0	9.62
03/01/2017	NUTTING, MARY	AM FUNDS AMERICAN BALANCED A	0.00	21.82	0.00	21.82	90.0	19.64
03/01/2017	UNDERWOOD, RONALD	AM FUNDS AMERICAN BALANCED A	0.00	24.89	0.00	24.89	90.0	22.40
03/01/2017	TORNQUIST, PATRICIA	AM FUNDS AMERICAN BALANCED A	0.00	12.07	0.00	12.07	90.0	10.86
03/01/2017	CAMPBELL, DENISE	AM FUNDS AMERICAN BALANCED A	0.00	20.93	0.00	20.93	90.0	18.84
03/01/2017	HEMER, EILEEN	AM FUNDS AMERICAN BALANCED A	0.00	0.26	0.00	0.26	90.0	0.23
03/01/2017	HELPS, RANDY	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.45
03/01/2017	MOKLESTAD, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	2.03	0.00	2.03	90.0	1.83
03/01/2017	DAHL, ERIK	AM FUNDS AMERICAN BALANCED A	0.00	0.33	0.00	0.33	90.0	0.30
03/01/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	0.00	1.56	0.00	1.56	90.0	1.40
03/01/2017	GROSS, JUDY	AM FUNDS AMERICAN BALANCED A	0.00	4.28	0.00	4.28	90.0	3.85
03/01/2017	GROSS, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	15.35	0.00	15.35	90.0	13.82

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	LOW, ANN	AM FUNDS AMERICAN BALANCED A	0.00	16.14	0.00	16.14	90.0	14.53
03/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	19.15	0.00	19.15	90.0	17.24
03/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	8.56	0.00	8.56	90.0	7.70
03/01/2017	BRUNS, JOLENE	AM FUNDS AMERICAN BALANCED C	0.00	33.50	0.00	33.50	90.0	30.15
03/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS AMERICAN BALANCED R2	0.00	171.11	0.00	171.11	90.0	154.00
03/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	21.59	0.00	21.59	90.0	19.43
03/01/2017	KESSLER, ROBERT	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	30.00	0.00	30.00	90.0	27.00
03/01/2017	LEONARD TRUST, REA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	77.68	0.00	77.68	90.0	69.91
03/01/2017	LEONARD, GARY	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.46	0.00	1.46	90.0	1.31
03/01/2017	WEBER, PAUL	AM FUNDS AMERICAN MUTUAL A	0.00	1.15	0.00	1.15	90.0	1.04
03/01/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	0.00	21.79	0.00	21.79	90.0	19.61
03/01/2017	GRIFFITT, JAYNELLE	AM FUNDS AMERICAN MUTUAL A	0.00	8.61	0.00	8.61	90.0	7.75
03/01/2017	HOLLEY, CASEY	AM FUNDS AMERICAN MUTUAL A	0.00	0.47	0.00	0.47	90.0	0.42
03/01/2017	GERZEMA, MARLENE	AM FUNDS AMERICAN MUTUAL A	0.00	30.21	0.00	30.21	90.0	27.19
03/01/2017	HELPS, RANDY	AM FUNDS AMERICAN MUTUAL A	0.00	0.50	0.00	0.50	90.0	0.45
03/01/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	0.00	1.59	0.00	1.59	90.0	1.43
03/01/2017	JASS, ARLENE	AM FUNDS AMERICAN MUTUAL A	0.00	3.66	0.00	3.66	90.0	3.29
03/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN MUTUAL A	0.00	19.84	0.00	19.84	90.0	17.86
03/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN MUTUAL A	0.00	7.18	0.00	7.18	90.0	6.46
02/27/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	1.29	0.00	1.29	90.0	1.16
02/27/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	1.29	0.00	1.29	90.0	1.16
03/01/2017	AUDINO, JAMES	AM FUNDS BALANCED PORT A	0.00	6.12	0.00	6.12	90.0	5.51
03/01/2017	SCHMIT, MARILYAN	AM FUNDS BALANCED PORT A	0.00	23.59	0.00	23.59	90.0	21.23
03/01/2017	JOHNSON, DONNA	AM FUNDS BALANCED PORT A	0.00	3.19	0.00	3.19	90.0	2.87
03/01/2017	SCHWICKERATH-SCHROEDER,	AM FUNDS BALANCED PORT A	0.00	16.58	0.00	16.58	90.0	14.92
03/01/2017	SCHROEDER, PHILIP	AM FUNDS BALANCED PORT A	0.00	39.13	0.00	39.13	90.0	35.22
03/01/2017	TORNQUIST, SCOTT	AM FUNDS BALANCED PORT A	0.00	90.39	0.00	90.39	90.0	81.35
03/01/2017	ENGBRETSON, ROGER	AM FUNDS BALANCED PORT A	0.00	23.86	0.00	23.86	90.0	21.47
03/01/2017	UNDERWOOD, DEBRA	AM FUNDS BALANCED PORT A	0.00	5.96	0.00	5.96	90.0	5.36
03/01/2017	KESSLER, ROBERT	AM FUNDS BOND FUND OF AMERICA A	0.00	37.65	0.00	37.65	90.0	33.89
03/01/2017	LEONARD, GARY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	9.80	0.00	9.80	90.0	8.82
03/01/2017	O'CONNELL, BAILEY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	11.73	0.00	11.73	90.0	10.56
03/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	90.0	2.53

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	HEMER, JOE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.88	0.00	13.88	90.0	12.49
03/01/2017	DOANE TRUST, LAVONNE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.47	0.00	14.47	90.0	13.02
03/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS CAPITAL INCOME BUILDER A	0.00	16.29	0.00	16.29	90.0	14.66
03/01/2017	DOANE, ELDON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	27.15	0.00	27.15	90.0	24.44
03/01/2017	O'CONNELL, LANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.48	0.00	3.48	90.0	3.13
03/01/2017	O'CONNELL, RUSSELL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	20.88	0.00	20.88	90.0	18.79
03/01/2017	WEBER, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.86	0.00	10.86	90.0	9.77
03/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.14	0.00	5.14	90.0	4.63
03/01/2017	SCHMIT, MARILYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.72	0.00	17.72	90.0	15.95
03/01/2017	WEBER, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.19	0.00	4.19	90.0	3.77
03/01/2017	MATHISON, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.41	0.00	12.41	90.0	11.17
03/01/2017	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.58	0.00	9.58	90.0	8.62
03/01/2017	BRUNS, MARCUS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.37	0.00	2.37	90.0	2.13
03/01/2017	SCHLICHTING, STEVE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.25	0.00	3.25	90.0	2.93
03/01/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.23	0.00	3.23	90.0	2.91
03/01/2017	JOHNSON, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.47	0.00	0.47	90.0	0.42
03/01/2017	MAKI, LINDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.71	0.00	5.71	90.0	5.14
03/01/2017	GOCHE, STEVE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	19.08	0.00	19.08	90.0	17.17
03/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.40	0.00	0.40	90.0	0.36
03/01/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	19.16	0.00	19.16	90.0	17.24
03/01/2017	JOHNSON, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.15	0.00	3.15	90.0	2.84
03/01/2017	KESSLER, JUDITH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	16.82	0.00	16.82	90.0	15.14
03/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	59.52	0.00	59.52	90.0	53.57
03/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.98	0.00	7.98	90.0	7.18
03/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.02	0.00	1.02	90.0	0.92
03/01/2017	GORBALL, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.55	0.00	4.55	90.0	4.10
03/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.96	0.00	4.96	90.0	4.46
03/01/2017	GRIFFITT, JAYNELLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.33	0.00	7.33	90.0	6.60
03/01/2017	LEONARD TRUST, REA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	204.21	0.00	204.21	90.0	183.79
03/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.52	0.00	0.52	90.0	0.47
03/01/2017	VENTEICHER, DEBRA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.60	0.00	7.60	90.0	6.84
03/01/2017	KETTWICK, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	26.94	0.00	26.94	90.0	24.25
03/01/2017	MEINDERS, MICHELLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.53	0.00	2.53	90.0	2.28

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	PRICE, TERESA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.44	0.00	17.44	90.0	15.70
03/01/2017	ENRIGHT, EDWIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	30.01	0.00	30.01	90.0	27.01
03/01/2017	MEINDERS, DAWN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.95	0.00	4.95	90.0	4.46
03/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.85	0.00	8.85	90.0	7.97
03/01/2017	NUTTING, STUART	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.95	0.00	1.95	90.0	1.76
03/01/2017	VANDER WILT, BRIAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.66	0.00	6.66	90.0	5.99
03/01/2017	LANGFALD, ARDIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	57.31	0.00	57.31	90.0	51.58
03/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.27	0.00	5.27	90.0	4.74
03/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.35	0.00	12.35	90.0	11.12
03/01/2017	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.03	0.00	1.03	90.0	0.93
03/01/2017	SCHLAKE, ELROY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.12	0.00	9.12	90.0	8.21
03/01/2017	MCCLEES, MARTIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.85	0.00	1.85	90.0	1.67
03/01/2017	BRUNS, JOLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.22	0.00	9.22	90.0	8.30
03/01/2017	NUTTING, MARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	24.83	0.00	24.83	90.0	22.35
03/01/2017	ROUSE, DALE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.62	0.00	3.62	90.0	3.26
03/01/2017	KETTWICK, CAROLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.39	0.00	4.39	90.0	3.95
03/01/2017	OPDAHL, STANLEY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.89	0.00	0.89	90.0	0.80
03/01/2017	VANDERWILT, TRISHA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.47	0.00	6.47	90.0	5.82
03/01/2017	REIDEL, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.94	0.00	2.94	90.0	2.65
03/01/2017	TORNQUIST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.06	0.00	11.06	90.0	9.95
03/01/2017	BURNSIDE, KELLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.30	0.00	12.30	90.0	11.07
03/01/2017	LINDBORG, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.60	0.00	4.60	90.0	4.14
03/01/2017	VANDERWILT, TRISHA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.32	0.00	2.32	90.0	2.09
03/01/2017	CAMPBELL, DENISE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.26	0.00	17.26	90.0	15.53
03/01/2017	HELPS, RANDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.48	0.00	0.48	90.0	0.43
03/01/2017	LINDEMANN, ROBIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.55	0.00	5.55	90.0	5.00
03/01/2017	MOKLESTAD, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.78	0.00	1.78	90.0	1.60
03/01/2017	BUSS, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.81	0.00	13.81	90.0	12.43
03/01/2017	GROSS, JUDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.86	0.00	3.86	90.0	3.47
03/01/2017	GROSS, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.73	0.00	13.73	90.0	12.36
03/01/2017	LOW, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.91	0.00	13.91	90.0	12.52
03/01/2017	LOW, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.66	0.00	7.66	90.0	6.89
03/01/2017	BRUNS, BENNETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.31	0.00	0.31	90.0	0.28

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	16.31	0.00	16.31	90.0	14.68
03/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.67	0.00	6.67	90.0	6.00
03/01/2017	KESSLER, JUDITH	AM FUNDS CAPITAL WORLD BOND A	0.00	8.16	0.00	8.16	90.0	7.34
03/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD BOND A	0.00	31.49	0.00	31.49	90.0	28.34
03/01/2017	PRICE, TERESA	AM FUNDS CAPITAL WORLD BOND A	0.00	4.01	0.00	4.01	90.0	3.61
03/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD BOND A	0.00	3.69	0.00	3.69	90.0	3.32
03/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL WORLD BOND C	0.00	21.48	0.00	21.48	90.0	19.33
03/01/2017	LEONARD, GARY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	17.98	0.00	17.98	90.0	16.18
03/01/2017	O'CONNELL, BAILEY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	12.91	0.00	12.91	90.0	11.62
03/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
03/01/2017	HEMER, JOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	29.65	0.00	29.65	90.0	26.69
03/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	91.97	0.00	91.97	90.0	82.77
03/01/2017	DOANE, ELDON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	34.53	0.00	34.53	90.0	31.08
03/01/2017	O'CONNELL, LANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	17.43	0.00	17.43	90.0	15.69
03/01/2017	O'CONNELL, LANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.46	0.00	3.46	90.0	3.11
03/01/2017	O'CONNELL, RUSSELL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	22.33	0.00	22.33	90.0	20.10
03/01/2017	WEBER, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	17.17	0.00	17.17	90.0	15.45
03/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	42.68	0.00	42.68	90.0	38.41
03/01/2017	WEBER, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.53	0.00	4.53	90.0	4.08
03/01/2017	MATHISON, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.38	0.00	15.38	90.0	13.84
03/01/2017	DAHL, NANCY JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.21	0.00	3.21	90.0	2.89
03/01/2017	SCHLICHTING, LAURA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	20.86	0.00	20.86	90.0	18.77
03/01/2017	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	67.08	0.00	67.08	90.0	60.37
03/01/2017	BRUNS, MARCUS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.36	0.00	11.36	90.0	10.22
03/01/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.51	0.00	0.51	90.0	0.46
03/01/2017	MAKI, LINDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.34	0.00	6.34	90.0	5.71
03/01/2017	NUTTING, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.49	0.00	0.49	90.0	0.44
03/01/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.88	0.00	11.88	90.0	10.69
03/01/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	18.45	0.00	18.45	90.0	16.61
03/01/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.09	0.00	3.09	90.0	2.78
03/01/2017	KESSLER, JUDITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	23.23	0.00	23.23	90.0	20.91
03/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	60.20	0.00	60.20	90.0	54.18
03/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.26	0.00	7.26	90.0	6.53

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.54	0.00	3.54	90.0	3.19
03/01/2017	GORBALL, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.31	0.00	2.31	90.0	2.08
03/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.89	0.00	5.89	90.0	5.30
03/01/2017	LEONARD TRUST, REA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	167.63	0.00	167.63	90.0	150.87
03/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2017	FISCUS, KATHLEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	34.51	0.00	34.51	90.0	31.06
03/01/2017	VENTEICHER, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.74	0.00	7.74	90.0	6.97
03/01/2017	KETTWICK, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	59.28	0.00	59.28	90.0	53.35
03/01/2017	MEINDERS, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.33	0.00	4.33	90.0	3.90
03/01/2017	PRICE, TERESA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.27	0.00	16.27	90.0	14.64
03/01/2017	ENRIGHT, EDWIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	33.35	0.00	33.35	90.0	30.02
03/01/2017	MEINDERS, DAWN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.59	0.00	9.59	90.0	8.63
03/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.43	0.00	9.43	90.0	8.49
03/01/2017	NUTTING, STUART	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.91	0.00	3.91	90.0	3.52
03/01/2017	VANDER WILT, BRIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.26	0.00	7.26	90.0	6.53
03/01/2017	LANGFALD, ARDIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	95.16	0.00	95.16	90.0	85.64
03/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	18.76	0.00	18.76	90.0	16.88
03/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.37	0.00	11.37	90.0	10.23
03/01/2017	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.13	0.00	1.13	90.0	1.02
03/01/2017	SCHLAKE, ELROY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.40	0.00	6.40	90.0	5.76
03/01/2017	MCCLEES, MARTIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.07	0.00	2.07	90.0	1.86
03/01/2017	BRUNS, JOLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.47	0.00	13.47	90.0	12.12
03/01/2017	NUTTING, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	26.09	0.00	26.09	90.0	23.48
03/01/2017	BROWN, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.43	0.00	1.43	90.0	1.29
03/01/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.41	0.00	8.41	90.0	7.57
03/01/2017	KETTWICK, TROY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.81	0.00	2.81	90.0	2.53
03/01/2017	KETTWICK, CAROLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.39	0.00	4.39	90.0	3.95
03/01/2017	OPDAHL, STANLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.96	0.00	0.96	90.0	0.86
03/01/2017	NUTTING, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.56	0.00	0.56	90.0	0.50
03/01/2017	VANDERWILT, TRISHA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.54	0.00	8.54	90.0	7.69
03/01/2017	REIDEL, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.32	0.00	10.32	90.0	9.29
03/01/2017	TORNQUIST, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.26	0.00	12.26	90.0	11.03
03/01/2017	TORNQUIST, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.33	0.00	7.33	90.0	6.60

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	BURNSIDE, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.67	0.00	12.67	90.0	11.40
03/01/2017	VANDERWILT, TRISHA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.35	0.00	3.35	90.0	3.02
03/01/2017	CAMPBELL, DENISE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	35.92	0.00	35.92	90.0	32.33
03/01/2017	HEMER, EILEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	20.60	0.00	20.60	90.0	18.54
03/01/2017	BURNSIDE, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.26	0.00	0.26	90.0	0.23
03/01/2017	LINDEMANN, ROBIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.52	0.00	6.52	90.0	5.87
03/01/2017	MOKLESTAD, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.96	0.00	1.96	90.0	1.76
03/01/2017	BUSS, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.03	0.00	14.03	90.0	12.63
03/01/2017	GROSS, JUDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.86	0.00	3.86	90.0	3.47
03/01/2017	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.09	0.00	3.09	90.0	2.78
03/01/2017	GROSS, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.74	0.00	13.74	90.0	12.37
03/01/2017	JASS, ARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.59	0.00	6.59	90.0	5.93
03/01/2017	LOW, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.85	0.00	13.85	90.0	12.47
03/01/2017	LOW, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.48	0.00	7.48	90.0	6.73
03/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.48	0.00	14.48	90.0	13.03
03/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS CAPITAL WORLD GRTH & INC R	0.00	17.45	0.00	17.45	90.0	15.71
03/01/2017	HAGEN, ALAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	17.03	0.00	17.03	90.0	15.33
03/01/2017	HEMER, JOE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	12.48	0.00	12.48	90.0	11.23
03/01/2017	DOANE TRUST, LAVONNE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.45	0.00	4.45	90.0	4.01
03/01/2017	O'CONNELL, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	12.05	0.00	12.05	90.0	10.85
03/01/2017	AUDINO, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	15.29	0.00	15.29	90.0	13.76
03/01/2017	MATHISON, KAREN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.01	0.00	7.01	90.0	6.31
03/01/2017	O'CONNELL, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.82	0.00	4.82	90.0	4.34
03/01/2017	SCHLICHTING, STEVE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.30	0.00	5.30	90.0	4.77
03/01/2017	JOHNSON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.62	0.00	3.62	90.0	3.26
03/01/2017	JOHNSON, DONNA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.76	0.00	0.76	90.0	0.68
03/01/2017	JOHNSON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.92	0.00	2.92	90.0	2.63
03/01/2017	KESSLER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	19.12	0.00	19.12	90.0	17.21
03/01/2017	NUTTING, BRETT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.09	0.00	3.09	90.0	2.78
03/01/2017	GRIFFITT, JAYNELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.46	0.00	7.46	90.0	6.71
03/01/2017	LEONARD TRUST, REA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	33.79	0.00	33.79	90.0	30.41
03/01/2017	FISCUS, KATHLEEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	31.48	0.00	31.48	90.0	28.33
03/01/2017	KETTWICK, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	16.03	0.00	16.03	90.0	14.43

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	PRICE, TERESA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.01	0.00	7.01	90.0	6.31
03/01/2017	NUTTING, STUART	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.28	0.00	1.28	90.0	1.15
03/01/2017	NUTTING, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	27.96	0.00	27.96	90.0	25.16
03/01/2017	REIDEL, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.10	0.00	7.10	90.0	6.39
03/01/2017	TORNQUIST, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.10	0.00	8.10	90.0	7.29
03/01/2017	CAMPBELL, DENISE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	21.01	0.00	21.01	90.0	18.91
03/01/2017	HEMER, EILEEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	21.03	0.00	21.03	90.0	18.93
03/01/2017	UNDERWOOD, DEBRA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.42	0.00	2.42	90.0	2.18
03/01/2017	JASS, ARLENE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.91	0.00	4.91	90.0	4.42
03/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS EUROPACIFIC GROWTH FUND-R2	0.00	16.50	0.00	16.50	90.0	14.85
03/01/2017	LEONARD, GARY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	6.70	0.00	6.70	90.0	6.03
03/01/2017	LANGFALD, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	125.76	0.00	125.76	90.0	113.18
03/01/2017	DOANE TRUST, LAVONNE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	14.95	0.00	14.95	90.0	13.46
03/01/2017	O'CONNELL, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.30	0.00	15.30	90.0	13.77
03/01/2017	WEBER, PAUL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	13.63	0.00	13.63	90.0	12.27
03/01/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.59	0.00	0.59	90.0	0.53
03/01/2017	NUTTING, BRETT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.28	0.00	0.28	90.0	0.25
03/01/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	19.20	0.00	19.20	90.0	17.28
03/01/2017	KESSLER, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	25.19	0.00	25.19	90.0	22.67
03/01/2017	NUTTING, BRETT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.30	0.00	1.30	90.0	1.17
03/01/2017	GRIFFITT, KENNETH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	12.65	0.00	12.65	90.0	11.39
03/01/2017	LEONARD TRUST, REA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	125.14	0.00	125.14	90.0	112.63
03/01/2017	FISCUS, KATHLEEN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	47.96	0.00	47.96	90.0	43.16
03/01/2017	NUTTING, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	22.19	0.00	22.19	90.0	19.97
03/01/2017	UNDERWOOD, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	26.26	0.00	26.26	90.0	23.63
03/01/2017	TORNQUIST, PATRICIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.58	0.00	9.58	90.0	8.62
03/01/2017	AUDINO, JAMES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	14.56	0.00	14.56	90.0	13.10
03/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS FUNDAMENTAL INVESTORS-R2	0.00	152.02	0.00	152.02	90.0	136.82
03/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS GLOBAL BALANCED A	0.00	38.79	0.00	38.79	90.0	34.91
03/01/2017	KESSLER, ROBERT	AM FUNDS GLOBAL BALANCED A	0.00	19.38	0.00	19.38	90.0	17.44
03/01/2017	LEONARD TRUST, REA	AM FUNDS GLOBAL BALANCED A	0.00	53.74	0.00	53.74	90.0	48.37
03/01/2017	PRICE, TERESA	AM FUNDS GLOBAL BALANCED A	0.00	1.07	0.00	1.07	90.0	0.96
03/01/2017	ENRIGHT, EDWIN	AM FUNDS GLOBAL BALANCED A	0.00	17.67	0.00	17.67	90.0	15.90

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	GERZEMA, MARLENE	AM FUNDS GLOBAL BALANCED A	0.00	29.71	0.00	29.71	90.0	26.74
03/01/2017	REIDEL, DENNIS	AM FUNDS GLOBAL BALANCED A	0.00	2.18	0.00	2.18	90.0	1.96
03/01/2017	LINDBORG, LISA	AM FUNDS GLOBAL BALANCED A	0.00	3.99	0.00	3.99	90.0	3.59
03/01/2017	HEMER, EILEEN	AM FUNDS GLOBAL BALANCED A	0.00	21.36	0.00	21.36	90.0	19.22
03/01/2017	LINDEMANN, ROBIN	AM FUNDS GLOBAL BALANCED A	0.00	5.49	0.00	5.49	90.0	4.94
03/01/2017	LOW, ANN	AM FUNDS GLOBAL BALANCED A	0.00	15.20	0.00	15.20	90.0	13.68
03/01/2017	BRUNS, JOLENE	AM FUNDS GLOBAL BALANCED C	0.00	3.72	0.00	3.72	90.0	3.35
02/21/2017	DAHL, ERIK	AM FUNDS GROWTH AND INCOME A	100.00	5.00	0.00	5.00	90.0	4.50
02/27/2017	LOW, ANN	AM FUNDS GROWTH AND INCOME A	7,918.67	158.37	0.00	158.37	90.0	142.53
03/01/2017	AUDINO, JAMES	AM FUNDS GROWTH AND INCOME A	0.00	12.21	0.00	12.21	90.0	10.99
03/01/2017	SCHWICKERATH-SCHROEDER,	AM FUNDS GROWTH AND INCOME A	0.00	17.02	0.00	17.02	90.0	15.32
03/01/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	0.00	22.40	0.00	22.40	90.0	20.16
03/01/2017	TORNQUIST, SCOTT	AM FUNDS GROWTH AND INCOME A	0.00	181.67	0.00	181.67	90.0	163.50
03/01/2017	KOSS-WINN BANC ESOP	AM FUNDS GROWTH AND INCOME A	0.00	16.14	0.00	16.14	90.0	14.53
03/01/2017	ENGBRETSON, ROGER	AM FUNDS GROWTH AND INCOME A	0.00	46.17	0.00	46.17	90.0	41.55
03/01/2017	UNDERWOOD, RONALD	AM FUNDS GROWTH AND INCOME A	0.00	6.17	0.00	6.17	90.0	5.55
03/01/2017	GIESKING, RANDE	AM FUNDS GROWTH AND INCOME A	0.00	1.25	0.00	1.25	90.0	1.13
03/01/2017	CARLSON, JILL	AM FUNDS GROWTH AND INCOME A	0.00	6.38	0.00	6.38	90.0	5.74
03/01/2017	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	0.00	2.59	0.00	2.59	90.0	2.33
03/01/2017	DAHL, ERIK	AM FUNDS GROWTH AND INCOME A	0.00	0.29	0.00	0.29	90.0	0.26
03/01/2017	KOSS-WINN BANC ESOP	AM FUNDS GROWTH AND INCOME A	0.00	3.23	0.00	3.23	90.0	2.91
03/01/2017	GROTHE, CHRISTI	AM FUNDS GROWTH AND INCOME A	0.00	7.29	0.00	7.29	90.0	6.56
03/01/2017	THORSON, JILL	AM FUNDS GROWTH AND INCOME A	0.00	0.48	0.00	0.48	90.0	0.43
03/01/2017	BERLING, MATTHEW	AM FUNDS GROWTH AND INCOME A	0.00	1.16	0.00	1.16	90.0	1.04
03/01/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	0.00	0.05	0.00	0.05	90.0	0.05
03/01/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH AND INCOME A	0.00	0.09	0.00	0.09	90.0	0.08
03/01/2017	OBERMEYER, HEIDI	AM FUNDS GROWTH AND INCOME A	0.00	8.13	0.00	8.13	90.0	7.32
03/01/2017	HEMER, JOE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	134.89	0.00	134.89	90.0	121.40
03/01/2017	DOANE TRUST, LAVONNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.81	0.00	3.81	90.0	3.43
03/01/2017	O'CONNELL, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	29.40	0.00	29.40	90.0	26.46
03/01/2017	WEBER, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	20.42	0.00	20.42	90.0	18.38

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	AUDINO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	52.78	0.00	52.78	90.0	47.50
03/01/2017	BRUNS, MARCUS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.39	0.00	6.39	90.0	5.75
03/01/2017	MATHISON, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.40	0.00	4.40	90.0	3.96
03/01/2017	DAHL, NANCY JOHNSON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2017	GOCHE, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.28	0.00	8.28	90.0	7.45
03/01/2017	JOHNSON, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.94	0.00	0.94	90.0	0.85
03/01/2017	GOCHE, STEVE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.75	0.00	13.75	90.0	12.38
03/01/2017	HANSON, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	15.35	0.00	15.35	90.0	13.82
03/01/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	21.67	0.00	21.67	90.0	19.50
03/01/2017	JOHNSON, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.10	0.00	4.10	90.0	3.69
03/01/2017	MOKLESTAD, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.47	0.00	4.47	90.0	4.02
03/01/2017	KESSLER, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	35.00	0.00	35.00	90.0	31.50
03/01/2017	NUTTING, BRETT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.49	0.00	0.49	90.0	0.44
03/01/2017	GORBALL, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.99	0.00	2.99	90.0	2.69
03/01/2017	GORBALL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.10	0.00	4.10	90.0	3.69
03/01/2017	GRIFFITT, KENNETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.50	0.00	11.50	90.0	10.35
03/01/2017	LEONARD TRUST, REA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	98.23	0.00	98.23	90.0	88.41
03/01/2017	VENTEICHER, DEBRA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.26	0.00	9.26	90.0	8.33
03/01/2017	KETTWICK, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.09	0.00	10.09	90.0	9.08
03/01/2017	MEINDERS, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.64	0.00	0.64	90.0	0.58
03/01/2017	NUTTING, STUART	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.50	0.00	2.50	90.0	2.25
03/01/2017	GERZEMA, MARLENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	26.05	0.00	26.05	90.0	23.45
03/01/2017	SCHLAKE, ELROY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.44	0.00	5.44	90.0	4.90
03/01/2017	MCCLEES, MARTIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.76	0.00	2.76	90.0	2.48
03/01/2017	BRUNS, JOLENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	15.87	0.00	15.87	90.0	14.28
03/01/2017	NUTTING, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	19.97	0.00	19.97	90.0	17.97
03/01/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.64	0.00	10.64	90.0	9.58
03/01/2017	REIDEL, DENNIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.53	0.00	11.53	90.0	10.38
03/01/2017	TORNQUIST, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.93	0.00	9.93	90.0	8.94
03/01/2017	TORNQUIST, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.81	0.00	8.81	90.0	7.93
03/01/2017	CAMPBELL, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	21.90	0.00	21.90	90.0	19.71
03/01/2017	HEMER, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	37.58	0.00	37.58	90.0	33.82
03/01/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.68	0.00	1.68	90.0	1.51

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	GROSS, ALISON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	16.44	0.00	16.44	90.0	14.80
03/01/2017	LOW, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.40	0.00	10.40	90.0	9.36
03/01/2017	AUDINO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.22	0.00	14.22	90.0	12.80
03/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS GROWTH FUND OF AMERICA R2	0.00	78.67	0.00	78.67	90.0	70.80
02/21/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	28.79	1.08	0.00	1.08	90.0	0.97
02/21/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	47.98	1.80	0.00	1.80	90.0	1.62
02/21/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	13.50	0.51	0.00	0.51	90.0	0.46
02/21/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	22.50	0.84	0.00	0.84	90.0	0.76
02/27/2017	LOW, ANN	AM FUNDS GROWTH PORT A	7,918.67	158.37	0.00	158.37	90.0	142.53
02/27/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	25.65	0.96	0.00	0.96	90.0	0.86
02/27/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	42.75	1.60	0.00	1.60	90.0	1.44
02/27/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	3.49	0.13	0.00	0.13	90.0	0.12
02/27/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	5.81	0.22	0.00	0.22	90.0	0.20
03/01/2017	AUDINO, JAMES	AM FUNDS GROWTH PORT A	0.00	6.20	0.00	6.20	90.0	5.58
03/01/2017	SCHROEDER, PHILIP	AM FUNDS GROWTH PORT A	0.00	46.38	0.00	46.38	90.0	41.74
03/01/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	0.00	20.20	0.00	20.20	90.0	18.18
03/01/2017	TORNQUIST, SCOTT	AM FUNDS GROWTH PORT A	0.00	99.71	0.00	99.71	90.0	89.74
03/01/2017	KOSS-WINN BANC ESOP	AM FUNDS GROWTH PORT A	0.00	8.10	0.00	8.10	90.0	7.29
03/01/2017	ENGBRETSON, ROGER	AM FUNDS GROWTH PORT A	0.00	21.34	0.00	21.34	90.0	19.21
03/01/2017	ENGBRETSON, ROGER	AM FUNDS GROWTH PORT A	0.00	4.26	0.00	4.26	90.0	3.83
03/01/2017	UNDERWOOD, DEBRA	AM FUNDS GROWTH PORT A	0.00	8.67	0.00	8.67	90.0	7.80
03/01/2017	CARLSON, JILL	AM FUNDS GROWTH PORT A	0.00	10.10	0.00	10.10	90.0	9.09
03/01/2017	PAGE, SUSAN	AM FUNDS GROWTH PORT A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	0.00	1.85	0.00	1.85	90.0	1.67
03/01/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	0.00	0.36	0.00	0.36	90.0	0.32
03/01/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	0.00	4.86	0.00	4.86	90.0	4.37
03/01/2017	HEMINGSEN, LAURA	AM FUNDS GROWTH PORT A	0.00	3.39	0.00	3.39	90.0	3.05
03/01/2017	HARDER, ANGELA	AM FUNDS GROWTH PORT A	0.00	2.34	0.00	2.34	90.0	2.11
03/01/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH PORT A	0.00	0.44	0.00	0.44	90.0	0.40
03/01/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	1.70	0.00	1.70	90.0	1.53
03/01/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	3.03	0.00	3.03	90.0	2.73
03/01/2017	BERLING, MATTHEW	AM FUNDS GROWTH PORT A	0.00	0.67	0.00	0.67	90.0	0.60
03/01/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	0.00	0.05	0.00	0.05	90.0	0.05

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	0.07	0.00	0.07	90.0	0.06
03/01/2017	VANDER WILT, CARA	AM FUNDS GROWTH PORT A	0.00	8.21	0.00	8.21	90.0	7.39
03/01/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH PORT A	0.00	0.08	0.00	0.08	90.0	0.07
03/01/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH PORT A	0.00	0.72	0.00	0.72	90.0	0.65
03/01/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2017	OBERMEYER, HEIDI	AM FUNDS GROWTH PORT A	0.00	8.19	0.00	8.19	90.0	7.37
03/01/2017	LEONARD, GARY	AM FUNDS ICA 529A	0.00	5.56	0.00	5.56	90.0	5.00
03/01/2017	HEMER, JOE	AM FUNDS ICA A	0.00	66.43	0.00	66.43	90.0	59.79
03/01/2017	DOANE TRUST, LAVONNE	AM FUNDS ICA A	0.00	11.01	0.00	11.01	90.0	9.91
03/01/2017	MATHISON, KAREN	AM FUNDS ICA A	0.00	8.38	0.00	8.38	90.0	7.54
03/01/2017	JOHNSON, MICHAEL	AM FUNDS ICA A	0.00	3.76	0.00	3.76	90.0	3.38
03/01/2017	GOCHE, STEVE	AM FUNDS ICA A	0.00	7.56	0.00	7.56	90.0	6.80
03/01/2017	JOHNSON, DONNA	AM FUNDS ICA A	0.00	4.27	0.00	4.27	90.0	3.84
03/01/2017	MOKLESTAD, NANCY	AM FUNDS ICA A	0.00	3.67	0.00	3.67	90.0	3.30
03/01/2017	KESSLER, JUDITH	AM FUNDS ICA A	0.00	1.55	0.00	1.55	90.0	1.40
03/01/2017	KESSLER, ROBERT	AM FUNDS ICA A	0.00	23.97	0.00	23.97	90.0	21.57
03/01/2017	GORBALL, CHRISTINE	AM FUNDS ICA A	0.00	5.61	0.00	5.61	90.0	5.05
03/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS ICA A	0.00	0.68	0.00	0.68	90.0	0.61
03/01/2017	VENTEICHER, DEBRA	AM FUNDS ICA A	0.00	2.70	0.00	2.70	90.0	2.43
03/01/2017	ALBERT, JOY	AM FUNDS ICA A	0.00	12.71	0.00	12.71	90.0	11.44
03/01/2017	PRICE, TERESA	AM FUNDS ICA A	0.00	3.55	0.00	3.55	90.0	3.20
03/01/2017	GERZEMA, MARLENE	AM FUNDS ICA A	0.00	26.84	0.00	26.84	90.0	24.16
03/01/2017	FIELD, BARRY	AM FUNDS ICA A	0.00	10.33	0.00	10.33	90.0	9.30
03/01/2017	ROUSE, DALE	AM FUNDS ICA A	0.00	4.82	0.00	4.82	90.0	4.34
03/01/2017	AUDINO, JAMES	AM FUNDS ICA A	0.00	18.25	0.00	18.25	90.0	16.43
03/01/2017	AUDINO, JAMES	AM FUNDS ICA A	0.00	7.06	0.00	7.06	90.0	6.35
03/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS ICA F1	0.00	1.36	0.00	1.36	90.0	1.22
03/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS ICA R2	0.00	295.10	0.00	295.10	90.0	265.59
03/01/2017	HEMER, JOE	AM FUNDS INCOME FUND OF AMERICA A	0.00	71.98	0.00	71.98	90.0	64.78
03/01/2017	DOANE TRUST, LAVONNE	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.00	0.00	16.00	90.0	14.40
03/01/2017	DOANE, LAVONNE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.49	0.00	1.49	90.0	1.34
03/01/2017	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	23.54	0.00	23.54	90.0	21.19
03/01/2017	DAHL, NANCY JOHNSON	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.32	0.00	6.32	90.0	5.69

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	GOCHE, ANN	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.88	0.00	10.88	90.0	9.79
03/01/2017	JOHNSON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.42	0.00	3.42	90.0	3.08
03/01/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	0.00	20.93	0.00	20.93	90.0	18.84
03/01/2017	KESSLER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	64.92	0.00	64.92	90.0	58.43
03/01/2017	KESSLER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.95	0.00	4.95	90.0	4.46
03/01/2017	GORBALL, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.24	0.00	2.24	90.0	2.02
03/01/2017	GORBALL, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.79	0.00	1.79	90.0	1.61
03/01/2017	LEONARD TRUST, REA	AM FUNDS INCOME FUND OF AMERICA A	0.00	91.87	0.00	91.87	90.0	82.68
03/01/2017	NUTTING, BRETT	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
03/01/2017	VENTEICHER, DEBRA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.56	0.00	1.56	90.0	1.40
03/01/2017	ENRIGHT, EDWIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	29.92	0.00	29.92	90.0	26.93
03/01/2017	GERZEMA, MARLENE	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.42	0.00	5.42	90.0	4.88
03/01/2017	GERZEMA, MARLENE	AM FUNDS INCOME FUND OF AMERICA A	0.00	32.08	0.00	32.08	90.0	28.87
03/01/2017	SCHLAKE, ELROY	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.01	0.00	5.01	90.0	4.51
03/01/2017	NUTTING, MARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	34.17	0.00	34.17	90.0	30.75
03/01/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.04	0.00	9.04	90.0	8.14
03/01/2017	KETTWICK, CAROLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.23	0.00	5.23	90.0	4.71
03/01/2017	REIDEL, DENNIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.41	0.00	2.41	90.0	2.17
03/01/2017	TORNQUIST, PATRICIA	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.94	0.00	15.94	90.0	14.35
03/01/2017	HELPS, RANDY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.50	0.00	0.50	90.0	0.45
03/01/2017	LOW, ANN	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.31	0.00	9.31	90.0	8.38
03/01/2017	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	18.74	0.00	18.74	90.0	16.87
03/01/2017	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.36	0.00	7.36	90.0	6.62
03/01/2017	LEONARD, GARY	AM FUNDS INCOME FUND OF AMERICA-529	0.00	10.63	0.00	10.63	90.0	9.57
03/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS INCOME FUND OF AMERICA-R2	0.00	52.66	0.00	52.66	90.0	47.39
03/01/2017	JOHNSON, DONNA	AM FUNDS INCOME PORTFOLIO A	0.00	2.99	0.00	2.99	90.0	2.69
03/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS INTL GROWTH AND INCOME A	0.00	55.11	0.00	55.11	90.0	49.60
03/01/2017	BRUNS, MARCUS	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.53	0.00	4.53	90.0	4.08
03/01/2017	JOHNSON, DONNA	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.44	0.00	0.44	90.0	0.40
03/01/2017	KESSLER, JUDITH	AM FUNDS INTL GROWTH AND INCOME A	0.00	6.87	0.00	6.87	90.0	6.18
03/01/2017	KESSLER, ROBERT	AM FUNDS INTL GROWTH AND INCOME A	0.00	27.27	0.00	27.27	90.0	24.54
03/01/2017	LEONARD TRUST, REA	AM FUNDS INTL GROWTH AND INCOME A	0.00	84.97	0.00	84.97	90.0	76.47
03/01/2017	VENTEICHER, DEBRA	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.33	0.00	1.33	90.0	1.20

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/01/2017	KETTWICK, MICHAEL	AM FUNDS INTL GROWTH AND INCOME A	0.00	23.41	0.00	23.41	90.0	21.07
03/01/2017	PRICE, TERESA	AM FUNDS INTL GROWTH AND INCOME A	0.00	5.03	0.00	5.03	90.0	4.53
03/01/2017	ENRIGHT, EDWIN	AM FUNDS INTL GROWTH AND INCOME A	0.00	26.18	0.00	26.18	90.0	23.56
03/01/2017	GERZEMA, MARLENE	AM FUNDS INTL GROWTH AND INCOME A	0.00	14.64	0.00	14.64	90.0	13.18
03/01/2017	BROWN, LISA	AM FUNDS INTL GROWTH AND INCOME A	0.00	2.46	0.00	2.46	90.0	2.21
03/01/2017	VANDERWILT, TRISHA	AM FUNDS INTL GROWTH AND INCOME A	0.00	5.73	0.00	5.73	90.0	5.16
03/01/2017	TORNQUIST, PATRICIA	AM FUNDS INTL GROWTH AND INCOME A	0.00	7.80	0.00	7.80	90.0	7.02
03/01/2017	TORNQUIST, SCOTT	AM FUNDS INTL GROWTH AND INCOME A	0.00	6.08	0.00	6.08	90.0	5.47
03/01/2017	BURNSIDE, KELLY	AM FUNDS INTL GROWTH AND INCOME A	0.00	10.40	0.00	10.40	90.0	9.36
03/01/2017	LINDBORG, LISA	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.83	0.00	3.83	90.0	3.45
03/01/2017	BUSS, KAREN	AM FUNDS INTL GROWTH AND INCOME A	0.00	12.07	0.00	12.07	90.0	10.86
03/01/2017	GROSS, JUDY	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.24	0.00	3.24	90.0	2.92
03/01/2017	JASS, ARLENE	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.29	0.00	4.29	90.0	3.86
03/01/2017	LOW, ANN	AM FUNDS INTL GROWTH AND INCOME A	0.00	8.80	0.00	8.80	90.0	7.92
03/01/2017	DOANE, ELDON	AM FUNDS NEW ECONOMY A	0.00	24.03	0.00	24.03	90.0	21.63
03/01/2017	O'CONNELL, LANE	AM FUNDS NEW ECONOMY A	0.00	23.21	0.00	23.21	90.0	20.89
03/01/2017	O'CONNELL, RUSSELL	AM FUNDS NEW ECONOMY A	0.00	18.84	0.00	18.84	90.0	16.96
03/01/2017	AUDINO, JAMES	AM FUNDS NEW ECONOMY A	0.00	19.43	0.00	19.43	90.0	17.49
03/01/2017	SCHLICHTING, LAURA	AM FUNDS NEW ECONOMY A	0.00	19.38	0.00	19.38	90.0	17.44
03/01/2017	JOHNSON, MICHAEL	AM FUNDS NEW ECONOMY A	0.00	15.11	0.00	15.11	90.0	13.60
03/01/2017	KESSLER, JUDITH	AM FUNDS NEW ECONOMY A	0.00	22.86	0.00	22.86	90.0	20.57
03/01/2017	KESSLER, ROBERT	AM FUNDS NEW ECONOMY A	0.00	19.91	0.00	19.91	90.0	17.92
03/01/2017	LEONARD TRUST, REA	AM FUNDS NEW ECONOMY A	0.00	27.13	0.00	27.13	90.0	24.42
03/01/2017	VENTEICHER, DEBRA	AM FUNDS NEW ECONOMY A	0.00	2.31	0.00	2.31	90.0	2.08
03/01/2017	KETTWICK, MICHAEL	AM FUNDS NEW ECONOMY A	0.00	16.44	0.00	16.44	90.0	14.80
03/01/2017	JASS, ARLENE	AM FUNDS NEW ECONOMY A	0.00	8.01	0.00	8.01	90.0	7.21
03/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS NEW ECONOMY FUND-R2	0.00	270.81	0.00	270.81	90.0	243.73
03/01/2017	LEONARD, GARY	AM FUNDS NEW PERSPECTIVE 529A	0.00	17.07	0.00	17.07	90.0	15.36
03/01/2017	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	75.00	2.81	0.00	2.81	90.0	2.53
03/01/2017	HEMER, JOE	AM FUNDS NEW PERSPECTIVE A	0.00	59.46	0.00	59.46	90.0	53.51
03/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS NEW PERSPECTIVE A	0.00	74.74	0.00	74.74	90.0	67.27
03/01/2017	DOANE, ELDON	AM FUNDS NEW PERSPECTIVE A	0.00	24.61	0.00	24.61	90.0	22.15
03/01/2017	DOANE, LAVONNE	AM FUNDS NEW PERSPECTIVE A	0.00	29.01	0.00	29.01	90.0	26.11

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	O'CONNELL, LANE	AM FUNDS NEW PERSPECTIVE A	0.00	9.71	0.00	9.71	90.0	8.74
03/01/2017	O'CONNELL, RUSSELL	AM FUNDS NEW PERSPECTIVE A	0.00	52.30	0.00	52.30	90.0	47.07
03/01/2017	AUDINO, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	39.31	0.00	39.31	90.0	35.38
03/01/2017	WEBER, PAUL	AM FUNDS NEW PERSPECTIVE A	0.00	39.43	0.00	39.43	90.0	35.49
03/01/2017	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	0.00	7.95	0.00	7.95	90.0	7.16
03/01/2017	MATHISON, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	11.75	0.00	11.75	90.0	10.58
03/01/2017	DAHL, NANCY JOHNSON	AM FUNDS NEW PERSPECTIVE A	0.00	3.40	0.00	3.40	90.0	3.06
03/01/2017	SCHLICHTING, LAURA	AM FUNDS NEW PERSPECTIVE A	0.00	20.49	0.00	20.49	90.0	18.44
03/01/2017	GOCHE, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	40.13	0.00	40.13	90.0	36.12
03/01/2017	O'CONNELL, LANE	AM FUNDS NEW PERSPECTIVE A	0.00	5.91	0.00	5.91	90.0	5.32
03/01/2017	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	0.00	6.06	0.00	6.06	90.0	5.45
03/01/2017	HANSON, CARRIE	AM FUNDS NEW PERSPECTIVE A	0.00	2.21	0.00	2.21	90.0	1.99
03/01/2017	JOHNSON, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	3.65	0.00	3.65	90.0	3.29
03/01/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	0.00	0.57	0.00	0.57	90.0	0.51
03/01/2017	MAKI, LINDA	AM FUNDS NEW PERSPECTIVE A	0.00	6.71	0.00	6.71	90.0	6.04
03/01/2017	GOCHE, STEVE	AM FUNDS NEW PERSPECTIVE A	0.00	8.75	0.00	8.75	90.0	7.88
03/01/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	0.00	21.07	0.00	21.07	90.0	18.96
03/01/2017	JOHNSON, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	3.08	0.00	3.08	90.0	2.77
03/01/2017	MOKLESTAD, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	1.00	0.00	1.00	90.0	0.90
03/01/2017	KESSLER, JUDITH	AM FUNDS NEW PERSPECTIVE A	0.00	30.11	0.00	30.11	90.0	27.10
03/01/2017	KESSLER, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	40.63	0.00	40.63	90.0	36.57
03/01/2017	NUTTING, BRETT	AM FUNDS NEW PERSPECTIVE A	0.00	3.72	0.00	3.72	90.0	3.35
03/01/2017	GORBALL, CHRISTINE	AM FUNDS NEW PERSPECTIVE A	0.00	4.17	0.00	4.17	90.0	3.75
03/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS NEW PERSPECTIVE A	0.00	5.99	0.00	5.99	90.0	5.39
03/01/2017	LEONARD TRUST, REA	AM FUNDS NEW PERSPECTIVE A	0.00	160.66	0.00	160.66	90.0	144.59
03/01/2017	NUTTING, BRETT	AM FUNDS NEW PERSPECTIVE A	0.00	0.04	0.00	0.04	90.0	0.04
03/01/2017	VENTEICHER, DEBRA	AM FUNDS NEW PERSPECTIVE A	0.00	6.96	0.00	6.96	90.0	6.26
03/01/2017	KETTWICK, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	59.79	0.00	59.79	90.0	53.81
03/01/2017	MEINDERS, MICHELLE	AM FUNDS NEW PERSPECTIVE A	0.00	5.87	0.00	5.87	90.0	5.28
03/01/2017	PRICE, TERESA	AM FUNDS NEW PERSPECTIVE A	0.00	17.31	0.00	17.31	90.0	15.58
03/01/2017	ENRIGHT, EDWIN	AM FUNDS NEW PERSPECTIVE A	0.00	31.94	0.00	31.94	90.0	28.75
03/01/2017	MEINDERS, DAWN	AM FUNDS NEW PERSPECTIVE A	0.00	8.59	0.00	8.59	90.0	7.73
03/01/2017	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	0.00	10.17	0.00	10.17	90.0	9.15

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	NUTTING, STUART	AM FUNDS NEW PERSPECTIVE A	0.00	4.05	0.00	4.05	90.0	3.65
03/01/2017	VANDER WILT, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	8.37	0.00	8.37	90.0	7.53
03/01/2017	HOLLEY, CASEY	AM FUNDS NEW PERSPECTIVE A	0.00	0.63	0.00	0.63	90.0	0.57
03/01/2017	GERZEMA, MARLENE	AM FUNDS NEW PERSPECTIVE A	0.00	20.95	0.00	20.95	90.0	18.86
03/01/2017	SCHLAKE, ELROY	AM FUNDS NEW PERSPECTIVE A	0.00	10.23	0.00	10.23	90.0	9.21
03/01/2017	MCCLEES, MARTIN	AM FUNDS NEW PERSPECTIVE A	0.00	2.60	0.00	2.60	90.0	2.34
03/01/2017	NUTTING, MARY	AM FUNDS NEW PERSPECTIVE A	0.00	32.50	0.00	32.50	90.0	29.25
03/01/2017	BROWN, LISA	AM FUNDS NEW PERSPECTIVE A	0.00	1.56	0.00	1.56	90.0	1.40
03/01/2017	KETTWICK, TROY	AM FUNDS NEW PERSPECTIVE A	0.00	3.22	0.00	3.22	90.0	2.90
03/01/2017	KETTWICK, CAROLE	AM FUNDS NEW PERSPECTIVE A	0.00	5.11	0.00	5.11	90.0	4.60
03/01/2017	NUTTING, KIMBERLY	AM FUNDS NEW PERSPECTIVE A	0.00	0.53	0.00	0.53	90.0	0.48
03/01/2017	VANDERWILT, TRISHA	AM FUNDS NEW PERSPECTIVE A	0.00	8.12	0.00	8.12	90.0	7.31
03/01/2017	TORNQUIST, PATRICIA	AM FUNDS NEW PERSPECTIVE A	0.00	9.59	0.00	9.59	90.0	8.63
03/01/2017	VANDERWILT, TRISHA	AM FUNDS NEW PERSPECTIVE A	0.00	3.55	0.00	3.55	90.0	3.20
03/01/2017	CAMPBELL, DENISE	AM FUNDS NEW PERSPECTIVE A	0.00	27.07	0.00	27.07	90.0	24.36
03/01/2017	HEMER, EILEEN	AM FUNDS NEW PERSPECTIVE A	0.00	22.05	0.00	22.05	90.0	19.85
03/01/2017	UNDERWOOD, DEBRA	AM FUNDS NEW PERSPECTIVE A	0.00	2.86	0.00	2.86	90.0	2.57
03/01/2017	MOKLESTAD, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	2.42	0.00	2.42	90.0	2.18
03/01/2017	BUSS, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	14.48	0.00	14.48	90.0	13.03
03/01/2017	GROSS, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	9.75	0.00	9.75	90.0	8.78
03/01/2017	LOW, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	14.43	0.00	14.43	90.0	12.99
03/01/2017	LOW, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	7.93	0.00	7.93	90.0	7.14
03/01/2017	AUDINO, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	17.96	0.00	17.96	90.0	16.16
03/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS NEW PERSPECTIVE R2	0.00	51.81	0.00	51.81	90.0	46.63
03/01/2017	HEMER, JOE	AM FUNDS NEW WORLD A	0.00	18.46	0.00	18.46	90.0	16.61
03/01/2017	DOANE TRUST, LAVONNE	AM FUNDS NEW WORLD A	0.00	5.25	0.00	5.25	90.0	4.73
03/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS NEW WORLD A	0.00	63.63	0.00	63.63	90.0	57.27
03/01/2017	O'CONNELL, RUSSELL	AM FUNDS NEW WORLD A	0.00	41.43	0.00	41.43	90.0	37.29
03/01/2017	WEBER, PAUL	AM FUNDS NEW WORLD A	0.00	10.12	0.00	10.12	90.0	9.11
03/01/2017	AUDINO, JAMES	AM FUNDS NEW WORLD A	0.00	4.81	0.00	4.81	90.0	4.33
03/01/2017	WEBER, PAUL	AM FUNDS NEW WORLD A	0.00	4.40	0.00	4.40	90.0	3.96
03/01/2017	SCHLICHTING, LAURA	AM FUNDS NEW WORLD A	0.00	16.96	0.00	16.96	90.0	15.26
03/01/2017	GOCHE, ANN	AM FUNDS NEW WORLD A	0.00	6.42	0.00	6.42	90.0	5.78

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	BRUNS, MARCUS	AM FUNDS NEW WORLD A	0.00	8.96	0.00	8.96	90.0	8.06
03/01/2017	SCHLICHTING, STEVE	AM FUNDS NEW WORLD A	0.00	4.41	0.00	4.41	90.0	3.97
03/01/2017	JOHNSON, DONNA	AM FUNDS NEW WORLD A	0.00	0.71	0.00	0.71	90.0	0.64
03/01/2017	GOCHE, STEVE	AM FUNDS NEW WORLD A	0.00	10.43	0.00	10.43	90.0	9.39
03/01/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	0.00	19.90	0.00	19.90	90.0	17.91
03/01/2017	KESSLER, ROBERT	AM FUNDS NEW WORLD A	0.00	18.75	0.00	18.75	90.0	16.88
03/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS NEW WORLD A	0.00	5.38	0.00	5.38	90.0	4.84
03/01/2017	GRIFFITT, KENNETH	AM FUNDS NEW WORLD A	0.00	10.84	0.00	10.84	90.0	9.76
03/01/2017	LEONARD TRUST, REA	AM FUNDS NEW WORLD A	0.00	104.14	0.00	104.14	90.0	93.73
03/01/2017	VENTEICHER, DEBRA	AM FUNDS NEW WORLD A	0.00	3.39	0.00	3.39	90.0	3.05
03/01/2017	KETTWICK, MICHAEL	AM FUNDS NEW WORLD A	0.00	36.50	0.00	36.50	90.0	32.85
03/01/2017	MEINDERS, MICHELLE	AM FUNDS NEW WORLD A	0.00	1.92	0.00	1.92	90.0	1.73
03/01/2017	PRICE, TERESA	AM FUNDS NEW WORLD A	0.00	16.37	0.00	16.37	90.0	14.73
03/01/2017	MEINDERS, DAWN	AM FUNDS NEW WORLD A	0.00	6.22	0.00	6.22	90.0	5.60
03/01/2017	VANDER WILT, BRIAN	AM FUNDS NEW WORLD A	0.00	10.66	0.00	10.66	90.0	9.59
03/01/2017	SCHLAKE, ELROY	AM FUNDS NEW WORLD A	0.00	7.03	0.00	7.03	90.0	6.33
03/01/2017	BRUNS, JOLENE	AM FUNDS NEW WORLD A	0.00	6.84	0.00	6.84	90.0	6.16
03/01/2017	NUTTING, MARY	AM FUNDS NEW WORLD A	0.00	15.35	0.00	15.35	90.0	13.82
03/01/2017	BROWN, LISA	AM FUNDS NEW WORLD A	0.00	7.31	0.00	7.31	90.0	6.58
03/01/2017	OPDAHL, STANLEY	AM FUNDS NEW WORLD A	0.00	0.78	0.00	0.78	90.0	0.70
03/01/2017	VANDERWILT, TRISHA	AM FUNDS NEW WORLD A	0.00	5.68	0.00	5.68	90.0	5.11
03/01/2017	REIDEL, DENNIS	AM FUNDS NEW WORLD A	0.00	10.21	0.00	10.21	90.0	9.19
03/01/2017	TORNQUIST, PATRICIA	AM FUNDS NEW WORLD A	0.00	5.85	0.00	5.85	90.0	5.27
03/01/2017	TORNQUIST, SCOTT	AM FUNDS NEW WORLD A	0.00	6.08	0.00	6.08	90.0	5.47
03/01/2017	BURNSIDE, KELLY	AM FUNDS NEW WORLD A	0.00	10.26	0.00	10.26	90.0	9.23
03/01/2017	CAMPBELL, DENISE	AM FUNDS NEW WORLD A	0.00	11.98	0.00	11.98	90.0	10.78
03/01/2017	HEMER, EILEEN	AM FUNDS NEW WORLD A	0.00	15.65	0.00	15.65	90.0	14.09
03/01/2017	LINDEMANN, ROBIN	AM FUNDS NEW WORLD A	0.00	4.97	0.00	4.97	90.0	4.47
03/01/2017	UNDERWOOD, RONALD	AM FUNDS NEW WORLD A	0.00	2.14	0.00	2.14	90.0	1.93
03/01/2017	GROSS, JUDY	AM FUNDS NEW WORLD A	0.00	16.07	0.00	16.07	90.0	14.46
03/01/2017	LEONARD, ISSAC	AM FUNDS NEW WORLD A	0.00	8.09	0.00	8.09	90.0	7.28
03/01/2017	LEONARD, CARA	AM FUNDS NEW WORLD A	0.00	5.12	0.00	5.12	90.0	4.61
03/01/2017	KONEFES, ALISON	AM FUNDS NEW WORLD A	0.00	2.29	0.00	2.29	90.0	2.06

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	GROSS, ALISON	AM FUNDS NEW WORLD A	0.00	8.14	0.00	8.14	90.0	7.33
03/01/2017	LOW, ANN	AM FUNDS NEW WORLD A	0.00	9.94	0.00	9.94	90.0	8.95
03/01/2017	LOW, ANN	AM FUNDS NEW WORLD A	0.00	5.54	0.00	5.54	90.0	4.99
03/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS NEW WORLD R2	0.00	81.92	0.00	81.92	90.0	73.73
03/01/2017	HEMER, JOE	AM FUNDS SMALLCAP WORLD A	0.00	40.74	0.00	40.74	90.0	36.67
03/01/2017	DOANE TRUST, LAVONNE	AM FUNDS SMALLCAP WORLD A	0.00	3.30	0.00	3.30	90.0	2.97
03/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS SMALLCAP WORLD A	0.00	55.26	0.00	55.26	90.0	49.73
03/01/2017	DOANE, ELDON	AM FUNDS SMALLCAP WORLD A	0.00	23.67	0.00	23.67	90.0	21.30
03/01/2017	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	0.00	29.12	0.00	29.12	90.0	26.21
03/01/2017	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	0.00	9.66	0.00	9.66	90.0	8.69
03/01/2017	O'CONNELL, RUSSELL	AM FUNDS SMALLCAP WORLD A	0.00	25.56	0.00	25.56	90.0	23.00
03/01/2017	AUDINO, JAMES	AM FUNDS SMALLCAP WORLD A	0.00	17.35	0.00	17.35	90.0	15.62
03/01/2017	SCHMIT, MARILYAN	AM FUNDS SMALLCAP WORLD A	0.00	18.51	0.00	18.51	90.0	16.66
03/01/2017	WEBER, PAUL	AM FUNDS SMALLCAP WORLD A	0.00	5.11	0.00	5.11	90.0	4.60
03/01/2017	BRUNS, MARCUS	AM FUNDS SMALLCAP WORLD A	0.00	4.12	0.00	4.12	90.0	3.71
03/01/2017	MATHISON, KAREN	AM FUNDS SMALLCAP WORLD A	0.00	8.01	0.00	8.01	90.0	7.21
03/01/2017	SCHLICHTING, LAURA	AM FUNDS SMALLCAP WORLD A	0.00	20.13	0.00	20.13	90.0	18.12
03/01/2017	GOCHE, ANN	AM FUNDS SMALLCAP WORLD A	0.00	39.86	0.00	39.86	90.0	35.87
03/01/2017	JOHNSON, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	2.53	0.00	2.53	90.0	2.28
03/01/2017	JOHNSON, DONNA	AM FUNDS SMALLCAP WORLD A	0.00	0.66	0.00	0.66	90.0	0.59
03/01/2017	GOCHE, STEVE	AM FUNDS SMALLCAP WORLD A	0.00	11.86	0.00	11.86	90.0	10.67
03/01/2017	JOHNSON, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	2.47	0.00	2.47	90.0	2.22
03/01/2017	KESSLER, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	23.58	0.00	23.58	90.0	21.22
03/01/2017	LEONARD TRUST, REA	AM FUNDS SMALLCAP WORLD A	0.00	73.70	0.00	73.70	90.0	66.33
03/01/2017	FISCUS, KATHLEEN	AM FUNDS SMALLCAP WORLD A	0.00	41.72	0.00	41.72	90.0	37.55
03/01/2017	VENTEICHER, DEBRA	AM FUNDS SMALLCAP WORLD A	0.00	5.04	0.00	5.04	90.0	4.54
03/01/2017	KETTWICK, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	30.23	0.00	30.23	90.0	27.21
03/01/2017	HOLLEY, CASEY	AM FUNDS SMALLCAP WORLD A	0.00	0.64	0.00	0.64	90.0	0.58
03/01/2017	SCHROEDER, PHILIP	AM FUNDS SMALLCAP WORLD A	0.00	12.09	0.00	12.09	90.0	10.88
03/01/2017	NUTTING, MARY	AM FUNDS SMALLCAP WORLD A	0.00	18.75	0.00	18.75	90.0	16.88
03/01/2017	ROUSE, DALE	AM FUNDS SMALLCAP WORLD A	0.00	4.25	0.00	4.25	90.0	3.83
03/01/2017	UNDERWOOD, RONALD	AM FUNDS SMALLCAP WORLD A	0.00	22.80	0.00	22.80	90.0	20.52
03/01/2017	REIDEL, DENNIS	AM FUNDS SMALLCAP WORLD A	0.00	8.36	0.00	8.36	90.0	7.52

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/01/2017	TORNQUIST, PATRICIA	AM FUNDS SMALLCAP WORLD A	0.00	12.17	0.00	12.17	90.0	10.95
03/01/2017	BURNSIDE, KELLY	AM FUNDS SMALLCAP WORLD A	0.00	13.78	0.00	13.78	90.0	12.40
03/01/2017	CAMPBELL, DENISE	AM FUNDS SMALLCAP WORLD A	0.00	17.70	0.00	17.70	90.0	15.93
03/01/2017	HEMER, EILEEN	AM FUNDS SMALLCAP WORLD A	0.00	28.96	0.00	28.96	90.0	26.06
03/01/2017	UNDERWOOD, RONALD	AM FUNDS SMALLCAP WORLD A	0.00	2.86	0.00	2.86	90.0	2.57
03/01/2017	MOKLESTAD, NANCY	AM FUNDS SMALLCAP WORLD A	0.00	2.40	0.00	2.40	90.0	2.16
03/01/2017	GROSS, ALISON	AM FUNDS SMALLCAP WORLD A	0.00	9.90	0.00	9.90	90.0	8.91
03/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS SMALLCAP WORLD-R2	0.00	135.77	0.00	135.77	90.0	122.19
03/01/2017	HAGEN, ALAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	27.02	0.00	27.02	90.0	24.32
03/01/2017	DOANE, ELDON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	29.57	0.00	29.57	90.0	26.61
03/01/2017	O'CONNELL, RUSSELL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	21.80	0.00	21.80	90.0	19.62
03/01/2017	AUDINO, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	28.73	0.00	28.73	90.0	25.86
03/01/2017	JOHNSON, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	15.04	0.00	15.04	90.0	13.54
03/01/2017	JOHNSON, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.94	0.00	3.94	90.0	3.55
03/01/2017	VENTEICHER, DEBRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.33	0.00	3.33	90.0	3.00
03/01/2017	KETTWICK, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.07	0.00	7.07	90.0	6.36
03/01/2017	ALBERT, JOY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.89	0.00	12.89	90.0	11.60
03/01/2017	AUDINO, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	13.44	0.00	13.44	90.0	12.10
03/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	20.11	0.00	20.11	90.0	18.10
02/21/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	31.06	1.16	0.00	1.16	90.0	1.04
02/21/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	62.12	2.33	0.00	2.33	90.0	2.10
02/27/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	30.60	1.15	0.00	1.15	90.0	1.04
02/27/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	61.19	2.29	0.00	2.29	90.0	2.06
02/27/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	96.00	3.60	0.00	3.60	90.0	3.24
02/27/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	224.00	8.40	0.00	8.40	90.0	7.56
03/01/2017	AUDINO, JAMES	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	5.89	0.00	5.89	90.0	5.30
03/01/2017	NUTTING, MARY	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	19.02	0.00	19.02	90.0	17.12
03/01/2017	KOSS-WINN BANC ESOP	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	7.76	0.00	7.76	90.0	6.98
03/01/2017	ENGBRETSON, ROGER	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	39.56	0.00	39.56	90.0	35.60
03/01/2017	ENGBRETSON, LINDA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	8.24	0.00	8.24	90.0	7.42
03/01/2017	UNDERWOOD, RONALD	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	7.86	0.00	7.86	90.0	7.07
03/01/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.63	0.00	1.63	90.0	1.47
03/01/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	3.42	0.00	3.42	90.0	3.08

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	LOW, ANN	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	3.82	0.00	3.82	90.0	3.44
03/01/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	2.78	0.00	2.78	90.0	2.50
03/01/2017	MEYER, TRAVIS	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.14	0.00	1.14	90.0	1.03
03/01/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	5.40	0.00	5.40	90.0	4.86
03/01/2017	KOSS-WINN BANC ESOP	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	3.10	0.00	3.10	90.0	2.79
03/01/2017	GROTHER, CHRISTI	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	7.03	0.00	7.03	90.0	6.33
03/01/2017	THORSON, JILL	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.46	0.00	0.46	90.0	0.41
03/01/2017	DAHL, ERIK	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.41	0.00	0.41	90.0	0.37
03/01/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.07	0.00	0.07	90.0	0.06
03/01/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2017	WEBER, PAUL	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	1.08	0.00	1.08	90.0	0.97
03/01/2017	KETTWICK, MICHAEL	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	31.03	0.00	31.03	90.0	27.93
03/01/2017	MEINDERS, DAWN	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	4.91	0.00	4.91	90.0	4.42
03/01/2017	SCHLAKE, ELROY	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	4.91	0.00	4.91	90.0	4.42
03/01/2017	NUTTING, MARY	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	10.47	0.00	10.47	90.0	9.42
03/01/2017	GROSS, ROBERT	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	20.26	0.00	20.26	90.0	18.23
03/01/2017	REIDEL, DENNIS	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	2.25	0.00	2.25	90.0	2.03
03/01/2017	LEONARD, ISSAC	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	7.33	0.00	7.33	90.0	6.60
03/01/2017	LEONARD, CARA	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	4.69	0.00	4.69	90.0	4.22
03/01/2017	LEONARD, CARA	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.72	0.00	5.72	90.0	5.15
03/01/2017	LEONARD, ISAAC	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	4.57	0.00	4.57	90.0	4.11
03/01/2017	GROSS, ALISON	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	7.48	0.00	7.48	90.0	6.73
* MUTUAL FUNDS SUBTOTAL *			\$16,926.78	\$11,754.86	\$0.00	\$11,754.86		\$10,579.59
*** BROKER TRANSACTIONS TOTAL ***			\$16,926.78	\$11,754.86	\$0.00	\$11,754.86		\$10,579.59

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
03/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

BROKER COMMISSION TOTALS

Broker Transactions	\$10,579.59
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,004.59
Year-to-date Gross	\$49,539.59
Year-to-date Earnings	\$43,870.27

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