



Concorde Investment Services, LLC

07/12/2017

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COMMISSION STATEMENT

July 15, 2017

JAY MCCLELLAN
8801 N. Main Street
Ste. 201
Dayton, OH 45415

BROKER #: M124 Terminated 11/14/2016
BRANCH: ASSET MANAGEMENT SYS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	WEST, PAMELA	OPPENHEIMER DEVELOPING MARKETS A	0.00	1.31	0.00	1.31	0.0	0.00
/ /	WEST, PAMELA	OPPENHEIMER GLOBAL A	0.00	5.67	0.00	5.67	0.0	0.00
/ /	WEST, PAMELA	OPPENHEIMER INTL BOND A	0.00	1.72	0.00	1.72	0.0	0.00
/ /	WEST, PAMELA	OPPENHEIMER MAIN STREET A	0.00	2.97	0.00	2.97	0.0	0.00
07/05/2017	PEACOCK, RICHARD	PIONEER BOND FUND A	0.00	4.86	0.00	4.86	0.0	0.00
07/05/2017	DEXTER, ROCHELLE	PIONEER BOND FUND A	0.00	2.66	0.00	2.66	0.0	0.00
07/05/2017	CAMP, LINDA	PIONEER BOND FUND A	0.00	2.29	0.00	2.29	0.0	0.00
07/05/2017	WOOD, MARLENE	PIONEER BOND FUND A	0.00	2.97	0.00	2.97	0.0	0.00
07/05/2017	ZEHRINGER, JAMES	PIONEER BOND FUND A	0.00	1.37	0.00	1.37	0.0	0.00
07/05/2017	WEHRKAMP, REBECCA	PIONEER CLASSIC BALANCED FUND A	0.00	4.90	0.00	4.90	0.0	0.00
07/05/2017	MATHENEY, DONNA	PIONEER CLASSIC BALANCED FUND A	0.00	4.75	0.00	4.75	0.0	0.00
07/05/2017	WEHRKAMP, KENNETH	PIONEER CLASSIC BALANCED FUND A	0.00	5.02	0.00	5.02	0.0	0.00
07/05/2017	KEY, LARRY	PIONEER CLASSIC BALANCED FUND A	0.00	1.90	0.00	1.90	0.0	0.00
07/05/2017	PRICE, JOYCE	PIONEER CLASSIC BALANCED FUND A	0.00	3.85	0.00	3.85	0.0	0.00
07/05/2017	WEHRKAMP, REBECCA	PIONEER CULLEN VALUE FUND A	0.00	4.33	0.00	4.33	0.0	0.00
07/05/2017	MINIX, DEBORAH	PIONEER CULLEN VALUE FUND A	0.00	11.25	0.00	11.25	0.0	0.00
07/05/2017	RATLIFF, DONNA	PIONEER CULLEN VALUE FUND A	0.00	5.26	0.00	5.26	0.0	0.00
07/05/2017	ZEHRINGER, JAMES	PIONEER CULLEN VALUE FUND A	0.00	9.12	0.00	9.12	0.0	0.00
07/05/2017	MATHENEY, DONNA	PIONEER EMERGING MARKETS A	0.00	0.65	0.00	0.65	0.0	0.00
07/05/2017	MCCABE TRUST, DANNY	PIONEER EMERGING MARKETS A	0.00	0.01	0.00	0.01	0.0	0.00
07/05/2017	RATLIFF, DONNA	PIONEER EMERGING MARKETS A	0.00	0.69	0.00	0.69	0.0	0.00
07/05/2017	KEY, LARRY	PIONEER EMERGING MARKETS A	0.00	3.15	0.00	3.15	0.0	0.00
07/05/2017	MINIX, DEBORAH	PIONEER EMERGING MARKETS A	0.00	1.39	0.00	1.39	0.0	0.00
07/05/2017	THOMPSON REYNOLDS JT TEN,	PIONEER EMERGING MARKETS A	0.00	1.86	0.00	1.86	0.0	0.00
07/05/2017	MCCLELLAN, JAY	PIONEER EMERGING MARKETS A	0.00	4.34	0.00	4.34	0.0	0.00
07/05/2017	STARNES, EDDIE	PIONEER EQUITY INCOME A	0.00	0.37	0.00	0.37	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/05/2017	ZEHRINGER, SHARON	PIONEER EQUITY INCOME A	0.00	6.39	0.00	6.39	0.0	0.00
07/05/2017	ZEHRINGER, JAMES	PIONEER EQUITY INCOME A	0.00	5.40	0.00	5.40	0.0	0.00
07/05/2017	JONES, JACQUELINE	PIONEER EQUITY INCOME A	0.00	2.39	0.00	2.39	0.0	0.00
07/05/2017	MILLER, MYRNA	PIONEER FLOATING RATE A	0.00	11.29	0.00	11.29	0.0	0.00
07/05/2017	MCCABE VA/UTMA, ELIZABETH	PIONEER FUND A	0.00	1.52	0.00	1.52	0.0	0.00
07/05/2017	WATKINS OH/UTMA, ALEXI	PIONEER FUND A	0.00	1.56	0.00	1.56	0.0	0.00
07/05/2017	HUTTON JT TEN, JANET	PIONEER FUND A	0.00	6.49	0.00	6.49	0.0	0.00
07/05/2017	MINIX, DEBORAH	PIONEER FUND A	0.00	1.66	0.00	1.66	0.0	0.00
07/05/2017	CURTIS, RAY	PIONEER FUND A	0.00	3.06	0.00	3.06	0.0	0.00
07/05/2017	JONES, JACQUELINE	PIONEER FUND A	0.00	1.70	0.00	1.70	0.0	0.00
07/05/2017	RUSH, KAREN	PIONEER FUND A	0.00	12.38	0.00	12.38	0.0	0.00
07/05/2017	CRABTREE, GARY	PIONEER FUND C	0.00	9.50	0.00	9.50	0.0	0.00
07/05/2017	GREGONCZA, RONALD	PIONEER FUND C	0.00	28.14	0.00	28.14	0.0	0.00
07/05/2017	THOMPSON REYNOLDS JT TEN,	PIONEER FUNDAMENTAL GROWTH A	0.00	7.61	0.00	7.61	0.0	0.00
07/05/2017	CAMP, LINDA	PIONEER FUNDAMENTAL GROWTH A	0.00	2.22	0.00	2.22	0.0	0.00
07/05/2017	RATLIFF, DONNA	PIONEER GLOBAL EQUITY A	0.00	1.28	0.00	1.28	0.0	0.00
07/05/2017	MINIX, DEBORAH	PIONEER GLOBAL EQUITY A	0.00	1.41	0.00	1.41	0.0	0.00
07/05/2017	WOOD, MARLENE	PIONEER GLOBAL EQUITY A	0.00	1.13	0.00	1.13	0.0	0.00
07/05/2017	PEACOCK, RICHARD	PIONEER GLOBAL HIGH YIELD A	0.00	4.09	0.00	4.09	0.0	0.00
07/05/2017	MINIX, DEBORAH	PIONEER GLOBAL HIGH YIELD A	0.00	2.00	0.00	2.00	0.0	0.00
07/05/2017	MATHENEY, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.26	0.00	1.26	0.0	0.00
07/05/2017	HUTTON JT TEN, JANET	PIONEER GLOBAL HIGH YIELD A	0.00	2.10	0.00	2.10	0.0	0.00
07/05/2017	RATLIFF, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.00	0.00	1.00	0.0	0.00
07/05/2017	MAYBERRY, JEFFREY	PIONEER GLOBAL HIGH YIELD A	0.00	2.24	0.00	2.24	0.0	0.00
07/05/2017	ZEHRINGER, JAMES	PIONEER GLOBAL HIGH YIELD A	0.00	1.05	0.00	1.05	0.0	0.00
07/05/2017	PRICE, JOYCE	PIONEER GROWTH OPPORTUNITIES A	0.00	2.93	0.00	2.93	0.0	0.00
07/05/2017	WONDERLY, MEGAN	PIONEER GROWTH OPPORTUNITIES A	0.00	1.05	0.00	1.05	0.0	0.00
07/05/2017	MONG, TRACY	PIONEER GROWTH OPPORTUNITIES A	0.00	4.20	0.00	4.20	0.0	0.00
07/05/2017	WEHRKAMP, KENNETH	PIONEER HIGH INCOME MUNICIPAL A	0.00	1.65	0.00	1.65	0.0	0.00
07/05/2017	WEHRKAMP, REBECCA	PIONEER HIGH YIELD A	0.00	6.33	0.00	6.33	0.0	0.00
07/05/2017	STARNES, EDDIE	PIONEER HIGH YIELD A	0.00	1.41	0.00	1.41	0.0	0.00
07/05/2017	MATTHIEU, PAUL	PIONEER HIGH YIELD A	0.00	1.02	0.00	1.02	0.0	0.00
07/05/2017	CAMP, LINDA	PIONEER HIGH YIELD A	0.00	0.54	0.00	0.54	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/05/2017	JONES, JACQUELINE	PIONEER HIGH YIELD A	0.00	1.79	0.00	1.79	0.0	0.00
07/05/2017	MONG, TRACY	PIONEER HIGH YIELD A	0.00	3.13	0.00	3.13	0.0	0.00
07/05/2017	O'NEAL, KELLY	PIONEER IBBOTSON MODERATE ALLOC A	0.00	0.57	0.00	0.57	0.0	0.00
07/05/2017	PRICE, JOYCE	PIONEER INDEPENDENCE A	0.00	4.44	0.00	4.44	0.0	0.00
07/05/2017	ZEHRINGER, SHARON	PIONEER INDEPENDENCE A	0.00	1.82	0.00	1.82	0.0	0.00
07/05/2017	DICKEY, CYNTHIA	PIONEER INDEPENDENCE A	0.00	4.38	0.00	4.38	0.0	0.00
07/05/2017	MONG, TRACY	PIONEER INDEPENDENCE A	0.00	5.89	0.00	5.89	0.0	0.00
07/05/2017	THOMPSON REYNOLDS JT TEN,	PIONEER INTERNATIONAL VALUE A	0.00	3.88	0.00	3.88	0.0	0.00
07/05/2017	ZEHRINGER, JAMES	PIONEER MID CAP VALUE A	0.00	3.26	0.00	3.26	0.0	0.00
07/05/2017	ARMSTRONG, TODD	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	2.53	0.00	2.53	0.0	0.00
07/05/2017	DEAN, DUANE	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	1.57	0.00	1.57	0.0	0.00
07/05/2017	HOLTMAN, MICHAEL	PIONEER MULTI-ASSET REAL RETURN A	0.00	5.16	0.00	5.16	0.0	0.00
07/05/2017	MATTHIEU, PAUL	PIONEER MULTI-ASSET REAL RETURN A	0.00	13.89	0.00	13.89	0.0	0.00
07/05/2017	COOVER, GARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	0.75	0.00	0.75	0.0	0.00
07/05/2017	WEHRKAMP, KENNETH	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.62	0.00	4.62	0.0	0.00
07/05/2017	MAYBERRY, JEFFREY	PIONEER MULTI-ASSET REAL RETURN A	0.00	5.38	0.00	5.38	0.0	0.00
07/05/2017	KEY, LARRY	PIONEER MULTI-ASSET REAL RETURN A	0.00	3.31	0.00	3.31	0.0	0.00
07/05/2017	PRICE, JOYCE	PIONEER MULTI-ASSET REAL RETURN A	0.00	3.88	0.00	3.88	0.0	0.00
07/05/2017	MINIX, DEBORAH	PIONEER MULTI-ASSET REAL RETURN A	0.00	1.32	0.00	1.32	0.0	0.00
07/05/2017	WOOD, MARLENE	PIONEER MULTI-ASSET REAL RETURN A	0.00	6.19	0.00	6.19	0.0	0.00
07/05/2017	ZHAO, WENJUN	PIONEER MULTI-ASSET REAL RETURN A	0.00	0.77	0.00	0.77	0.0	0.00
07/05/2017	WEHRKAMP, REBECCA	PIONEER REAL ESTATE SHARES A	0.00	3.62	0.00	3.62	0.0	0.00
07/05/2017	DEXTER, ROCHELLE	PIONEER REAL ESTATE SHARES A	0.00	1.85	0.00	1.85	0.0	0.00
07/05/2017	JONES, JACQUELINE	PIONEER REAL ESTATE SHARES A	0.00	1.48	0.00	1.48	0.0	0.00
07/05/2017	O'NEAL, DEAN	PIONEER SOLUTIONS-GROWTH FUND A	0.00	0.60	0.00	0.60	0.0	0.00
07/05/2017	BOWDEN, GERALD	PIONEER STRATEGIC INCOME A	0.00	0.27	0.00	0.27	0.0	0.00
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.55	0.00	1.55	0.0	0.00
07/05/2017	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	11.47	0.00	11.47	0.0	0.00
07/05/2017	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	7.00	0.00	7.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$333.03	\$0.00	\$333.03		\$0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	19,193.91	6.40	0.00	6.40	0.0	0.00
06/30/2017	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	140,830.77	88.02	0.00	88.02	0.0	0.00
06/30/2017	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	140,830.77	264.06	0.00	264.06	0.0	0.00
06/30/2017	FORTKAMP, MARY	PRU AS XTRA CREDIT SIX	39,281.14	24.55	0.00	24.55	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$340,136.59	\$383.03	\$0.00	\$383.03		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/13/2017	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.65	0.00	0.65	0.0	0.00
06/12/2017	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.62	0.00	0.62	0.0	0.00
06/21/2017	ABNEY, DAVID	HIGHLAND GLOBAL ALLOCATION FUND CLA	0.00	28.47	0.00	28.47	0.0	0.00
06/14/2017	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.41	0.00	0.41	0.0	0.00
06/14/2017	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.43	0.00	0.43	0.0	0.00
06/14/2017	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.61	0.00	0.61	0.0	0.00
06/16/2017	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.23	0.00	2.23	0.0	0.00
06/16/2017	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.95	0.00	2.95	0.0	0.00
06/16/2017	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.35	0.00	3.35	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$39.72	\$0.00	\$39.72		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$340,136.59	\$755.78	\$0.00	\$755.78		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$4,121.35
Year-to-date Earnings	\$1,800.42