



# COMMISSION STATEMENT

April 23, 2018

JAMES LOMBARDO  
231 Hill Road  
Rome, NY 13441

BROKER #: A08  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/12/2018	***-**-2885 - BISHOP, DAVID	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00068915116	BUY 2 Units @28.2000						
04/13/2018	***-**-8806 - MABRY, REBECCA	CAPITAL INCOME BUILDER-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 1 Units @64.0200						
04/10/2018	***-**-98CL - ACOSTA, CLIENTS	EUROPACIFIC GROWTH FUND-A	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00083299252	BUY 1 Units @60.3500						
04/13/2018	***-**-8806 - MABRY, REBECCA	EUROPACIFIC GROWTH FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 1 Units @59.7700						
04/10/2018	***-**-98CL - ACOSTA, CLIENTS	FUNDAMENTAL INVESTORS-A	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00083299252	BUY 1 Units @65.6000						
04/12/2018	***-**-2885 - BISHOP, DAVID	FUNDAMENTAL INVESTORS-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00068915116	BUY 1 Units @65.4700						
04/12/2018	***-**-2885 - BISHOP, DAVID	NEW PERSPECTIVE FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00068915116	BUY 1 Units @46.7000						
04/12/2018	***-**-2885 - BISHOP, DAVID	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00068915116	BUY 1 Units @53.9600						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$475.00</b>	<b>\$18.33</b>	<b>\$0.00</b>	<b>\$18.33</b>		<b>\$14.65</b>
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
04/12/2018	***-**-0935 - MINEO, JAMES	AMERICAN BALANCED FUND-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 00073571816	BUY 3 Units @28.5200						
<b>* 529 PLAN SUBTOTAL *</b>			<b>\$75.00</b>	<b>\$3.75</b>	<b>\$0.00</b>	<b>\$3.75</b>		<b>\$3.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/23/2018	***-**-166I - BONN, FBO	AMCAP FUND-C	0.00	0.56	0.00	0.56	80.0	0.45
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
04/23/2018	***-**-166I - BONN, FBO	AMERICAN MUTUAL FUND-C	0.00	0.72	0.00	0.72	80.0	0.58
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
04/23/2018	***-**-166I - BONN, FBO	EUROPACIFIC GROWTH FUND CLASS C	0.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
04/23/2018	***-**-166I - BONN, FBO	FUNDAMENTAL INVESTORS-C	0.00	0.78	0.00	0.78	80.0	0.62
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/23/2018	***-**-166I - BONN, FBO	INCOME FUND OF AMERICA CLASS C	0.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
04/23/2018	***-**-166I - BONN, FBO	SMALLCAP WORLD FUND-C	0.00	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$3.19	\$0.00	\$3.19		\$2.55
*** BROKER TRANSACTIONS TOTAL ***			\$550.00	\$25.27	\$0.00	\$25.27		\$20.20

## BROKER COMMISSION TOTALS

Broker Transactions	\$20.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$20.20
Year-to-date Earnings	\$2,781.57