

**COMMISSION STATEMENT****October 31, 2017**

ROBIN EDGAR
1161 WAYZATA BLVD E
#400
Wayzata, MN 55391

BROKER #: E119
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
09/14/2017	KESSLER, ROBERT	BOURNE SENIORS HOUSING III	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
10/25/2017	KOSS-WINN BANC ESOP	HPI FUND V	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
10/25/2017	KOSS-WINN BANC ESOP	HPI FUND V	50,000.00	3,000.00	0.00	3,000.00	90.0	2,700.00
* PRIVATE PLACEMENT SUBTOTAL *			\$100,000.00	\$6,250.00	\$0.00	\$6,250.00		\$5,625.00
<u>PRODUCT CATEGORY: REIT</u>								
09/27/2017	GROSS, JUDY	COTTONWOOD MULTIFAMILY REIT II	21,000.00	1,260.00	0.00	1,260.00	90.0	1,134.00
09/27/2017	GROSS, JUDY	COTTONWOOD MULTIFAMILY REIT II	-21,000.00	-1,260.00	0.00	-1,260.00	90.0	-1,134.00
* REIT SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
09/30/2017	KOSS-WINN BANC ESOP	GRIFFIN INST'L ACCESS R E FUND	0.00	2.26	0.00	2.26	90.0	2.03
09/30/2017	KOSS-WINN BANC ESOP	GRIFFIN INST'L ACCESS R E FUND	0.00	3.34	0.00	3.34	90.0	3.01
09/30/2017	KOSS-WINN BANC ESOP	GRIFFIN INST'L ACCESS R E FUND	0.00	2.26	0.00	2.26	90.0	2.03
09/30/2017	KOSS-WINN BANC ESOP	GRIFFIN INST'L ACCESS R E FUND	0.00	1.13	0.00	1.13	90.0	1.02
09/30/2017	KOSS-WINN BANC ESOP	GRIFFIN INST'L ACCESS R E FUND	0.00	2.17	0.00	2.17	90.0	1.95
09/30/2017	KOSS-WINN BANC ESOP	GRIFFIN INST'L ACCESS R E FUND	0.00	5.38	0.00	5.38	90.0	4.84
09/30/2017	HANSON, SCOTT	GRIFFIN INST'L ACCESS R E FUND	0.00	4.09	0.00	4.09	90.0	3.68
09/30/2017	OBERMEYER, HEIDI	GRIFFIN INST'L ACCESS R E FUND	0.00	4.08	0.00	4.08	90.0	3.67
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$24.71	\$0.00	\$24.71		\$22.23
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/12/2017	POST, KENNETH	AM FUNDS AMCAP A	143.79	3.95	0.00	3.95	90.0	3.56
10/12/2017	POST, KENNETH	AM FUNDS AMCAP A	143.79	3.95	0.00	3.95	90.0	3.56
10/12/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	54.63	1.50	0.00	1.50	90.0	1.35
10/12/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	54.63	1.50	0.00	1.50	90.0	1.35
10/16/2017	GOCHE, ANN	AM FUNDS AMERICAN BALANCED A	100.00	1.60	0.00	1.60	90.0	1.44
10/12/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	3.32	0.07	0.00	0.07	90.0	0.06

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/12/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	54.62	1.50	0.00	1.50	90.0	1.35
10/12/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	54.62	1.50	0.00	1.50	90.0	1.35
10/12/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	149.55	4.11	0.00	4.11	90.0	3.70
10/12/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	149.55	4.11	0.00	4.11	90.0	3.70
10/13/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
10/13/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
10/10/2017	REIDEL, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	100.00	2.75	0.00	2.75	90.0	2.48
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	5.19	0.10	0.00	0.10	90.0	0.09
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	5.19	0.10	0.00	0.10	90.0	0.09
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	5.19	0.10	0.00	0.10	90.0	0.09
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	5.19	0.10	0.00	0.10	90.0	0.09
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	4.15	0.08	0.00	0.08	90.0	0.07
10/16/2017	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	100.00	1.60	0.00	1.60	90.0	1.44
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	5.19	0.10	0.00	0.10	90.0	0.09
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	5.19	0.10	0.00	0.10	90.0	0.09
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	5.19	0.10	0.00	0.10	90.0	0.09
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	5.19	0.10	0.00	0.10	90.0	0.09
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	45.43	1.25	0.00	1.25	90.0	1.13
10/12/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	90.87	2.50	0.00	2.50	90.0	2.25
10/16/2017	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	1.60	0.00	1.60	90.0	1.44
10/17/2017	BRUNS, MARCUS	AM FUNDS CAPITAL WORLD GRTH & INC A	150.00	4.13	0.00	4.13	90.0	3.72
10/12/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	3.32	0.07	0.00	0.07	90.0	0.06

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/12/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	3.32	0.07	0.00	0.07	90.0	0.06
10/10/2017	REIDEL, DENNIS	AM FUNDS GLOBAL BALANCED A	200.00	5.50	0.00	5.50	90.0	4.95
10/09/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	34.28	0.94	0.00	0.94	90.0	0.85
10/09/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	34.28	0.94	0.00	0.94	90.0	0.85
10/11/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
10/11/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38
10/11/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38
10/11/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
10/11/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38
10/11/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
10/11/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	55.21	2.07	0.00	2.07	90.0	1.86
10/11/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	33.12	1.24	0.00	1.24	90.0	1.12
10/11/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	67.71	2.54	0.00	2.54	90.0	2.29
10/11/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	40.62	1.52	0.00	1.52	90.0	1.37
10/11/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	33.12	1.24	0.00	1.24	90.0	1.12
10/11/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	55.21	2.07	0.00	2.07	90.0	1.86
10/11/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	44.87	1.68	0.00	1.68	90.0	1.51
10/11/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	44.87	1.68	0.00	1.68	90.0	1.51
10/11/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	44.87	1.68	0.00	1.68	90.0	1.51
10/11/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	44.87	1.68	0.00	1.68	90.0	1.51
10/11/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	44.87	1.68	0.00	1.68	90.0	1.51
10/11/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	44.87	1.68	0.00	1.68	90.0	1.51
10/12/2017	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	157.85	4.34	0.00	4.34	90.0	3.91
10/12/2017	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	157.85	4.34	0.00	4.34	90.0	3.91
10/12/2017	KRAMER, MERVIN	AM FUNDS GROWTH AND INCOME A	115.96	3.19	0.00	3.19	90.0	2.87
10/12/2017	KRAMER, MERVIN	AM FUNDS GROWTH AND INCOME A	386.54	10.63	0.00	10.63	90.0	9.57
10/16/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	47.09	1.29	0.00	1.29	90.0	1.16
10/16/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	47.09	1.29	0.00	1.29	90.0	1.16
10/20/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/20/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38
10/20/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	40.62	1.52	0.00	1.52	90.0	1.37
10/20/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	67.71	2.54	0.00	2.54	90.0	2.29
10/20/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	89.87	3.37	0.00	3.37	90.0	3.03
10/20/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	89.87	3.37	0.00	3.37	90.0	3.03
10/12/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	5.18	0.10	0.00	0.10	90.0	0.09
10/12/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	5.18	0.10	0.00	0.10	90.0	0.09
10/12/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	5.18	0.10	0.00	0.10	90.0	0.09
10/12/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	5.18	0.10	0.00	0.10	90.0	0.09
10/12/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	45.43	1.25	0.00	1.25	90.0	1.13
10/12/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	90.87	2.50	0.00	2.50	90.0	2.25
10/12/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	56.28	1.55	0.00	1.55	90.0	1.40
10/12/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	56.28	1.55	0.00	1.55	90.0	1.40
10/09/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	65.79	1.81	0.00	1.81	90.0	1.63
10/09/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	39.47	1.09	0.00	1.09	90.0	0.98
10/11/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
10/11/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
10/11/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
10/11/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
10/11/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
10/11/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
10/12/2017	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	180.84	4.97	0.00	4.97	90.0	4.47
10/12/2017	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	301.39	8.29	0.00	8.29	90.0	7.46
10/16/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	79.33	2.18	0.00	2.18	90.0	1.96
10/16/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	47.60	1.31	0.00	1.31	90.0	1.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/20/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
10/20/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
10/12/2017	FIELD, BARRY	AM FUNDS ICA A	45.43	1.25	0.00	1.25	90.0	1.13
10/12/2017	FIELD, BARRY	AM FUNDS ICA A	90.85	2.50	0.00	2.50	90.0	2.25
10/12/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	45.44	1.25	0.00	1.25	90.0	1.13
10/12/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	90.87	2.50	0.00	2.50	90.0	2.25
10/16/2017	GOCHE, ANN	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	90.0	1.44
10/12/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	3.32	0.07	0.00	0.07	90.0	0.06
10/12/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	3.32	0.07	0.00	0.07	90.0	0.06
10/17/2017	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	150.00	4.13	0.00	4.13	90.0	3.72
10/10/2017	REIDEL, DENNIS	AM FUNDS NEW WORLD A	200.00	5.50	0.00	5.50	90.0	4.95

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/12/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	5.19	0.10	0.00	0.10	90.0	0.09
10/12/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	5.19	0.10	0.00	0.10	90.0	0.09
10/12/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	5.19	0.10	0.00	0.10	90.0	0.09
10/12/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	5.19	0.10	0.00	0.10	90.0	0.09
10/12/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	4.15	0.08	0.00	0.08	90.0	0.07
10/12/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	4.15	0.08	0.00	0.08	90.0	0.07
10/17/2017	BRUNS, MARCUS	AM FUNDS NEW WORLD A	150.00	4.13	0.00	4.13	90.0	3.72
10/10/2017	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	150.00	1.80	0.00	1.80	90.0	1.62
10/09/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	24.42	0.67	0.00	0.67	90.0	0.60
10/09/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	24.42	0.67	0.00	0.67	90.0	0.60
10/09/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	81.49	2.24	0.00	2.24	90.0	2.02
10/09/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	40.74	1.12	0.00	1.12	90.0	1.01
10/09/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	58.95	1.62	0.00	1.62	90.0	1.46
10/09/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	35.37	0.97	0.00	0.97	90.0	0.87
10/11/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	55.21	2.07	0.00	2.07	90.0	1.86
10/11/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	33.13	1.24	0.00	1.24	90.0	1.12
10/11/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	67.71	2.54	0.00	2.54	90.0	2.29
10/11/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	40.63	1.52	0.00	1.52	90.0	1.37
10/11/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	33.13	1.24	0.00	1.24	90.0	1.12
10/11/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	55.21	2.07	0.00	2.07	90.0	1.86
10/11/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	110.42	4.14	0.00	4.14	90.0	3.73
10/11/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	66.25	2.48	0.00	2.48	90.0	2.23
10/11/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	110.42	4.14	0.00	4.14	90.0	3.73
10/11/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	66.25	2.48	0.00	2.48	90.0	2.23
10/11/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	66.25	2.48	0.00	2.48	90.0	2.23
10/11/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	110.42	4.14	0.00	4.14	90.0	3.73
10/11/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	44.88	1.68	0.00	1.68	90.0	1.51

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/11/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	44.88	1.68	0.00	1.68	90.0	1.51
10/11/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	44.88	1.68	0.00	1.68	90.0	1.51
10/11/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	44.88	1.68	0.00	1.68	90.0	1.51
10/11/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	44.88	1.68	0.00	1.68	90.0	1.51
10/11/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	44.88	1.68	0.00	1.68	90.0	1.51
10/12/2017	ENGLISH, WILLIAM	AMERICAN FUND GLOBAL GROWTH PORTFOL	97.12	2.67	0.00	2.67	90.0	2.40
10/12/2017	ENGLISH, WILLIAM	AMERICAN FUND GLOBAL GROWTH PORTFOL	97.12	2.67	0.00	2.67	90.0	2.40
10/13/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	233.33	6.42	0.00	6.42	90.0	5.78
10/13/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	2.75	0.00	2.75	90.0	2.48
10/16/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	22.08	0.61	0.00	0.61	90.0	0.55
10/16/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	22.08	0.61	0.00	0.61	90.0	0.55
10/16/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	112.39	3.09	0.00	3.09	90.0	2.78
10/16/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	56.20	1.55	0.00	1.55	90.0	1.40
10/16/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	75.83	2.09	0.00	2.09	90.0	1.88
10/16/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	45.50	1.25	0.00	1.25	90.0	1.13
10/20/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	40.63	1.52	0.00	1.52	90.0	1.37
10/20/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	67.71	2.54	0.00	2.54	90.0	2.29
10/20/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	81.25	3.05	0.00	3.05	90.0	2.75
10/20/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	135.42	5.08	0.00	5.08	90.0	4.57
10/20/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	89.88	3.37	0.00	3.37	90.0	3.03
10/20/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	89.88	3.37	0.00	3.37	90.0	3.03
10/10/2017	REIDEL, DENNIS	AMERICAN FUNDS DEVELOPING WORLD GRO	100.00	2.75	0.00	2.75	90.0	2.48
* MUTUAL FUNDS SUBTOTAL *			\$10,217.29	\$308.97	\$0.00	\$308.97		\$277.99
<u>PRODUCT CATEGORY: STOCKS</u>								
10/24/2017	KOSS-WINN BANC ESOP	ALLERGAN PLC COM SHS ISIN#IE00BY9D5	27,467.49	50.00	22.50	27.50	90.0	24.75
10/12/2017	KOSS-WINN BANC ESOP	ALPHABET INC CAP STK CL C	-24,680.50	50.00	22.50	27.50	90.0	24.75
10/12/2017	KOSS-WINN BANC ESOP	AMAZON COM INC	-25,012.00	50.00	22.50	27.50	90.0	24.75
10/13/2017	KOSS-WINN BANC ESOP	BTCS INC COM NEW	-15,344.00	50.00	27.00	23.00	90.0	20.70
10/25/2017	KOSS-WINN BANC ESOP	BTCS INC COM NEW	9,100.00	50.00	27.00	23.00	90.0	20.70
10/19/2017	JOHNSON, MICHAEL	IMMUNOMEDICS INC COM	-10,250.00	50.00	23.50	26.50	90.0	23.85
* STOCKS SUBTOTAL *			\$-38,719.01	\$300.00	\$145.00	\$155.00		\$139.50
*** BROKER TRANSACTIONS TOTAL ***			\$71,498.28	\$6,883.68	\$145.00	\$6,738.68		\$6,064.72

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BROKER COMMISSION TOTALS

Broker Transactions	\$6,064.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,064.72
Year-to-date Gross	\$318,711.86
Year-to-date Earnings	\$284,324.77