



# COMMISSION STATEMENT

## March 19, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/07/2018	***-**-065P - LEE, DANA	AMERICAN BALANCED FUND-A	150.01	6.38	0.00	6.38	80.0	5.10
	ACCOUNT #: 00064518010	BUY 5 Units @28.5700						
03/07/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	30.40	1.52	0.00	1.52	80.0	1.22
	ACCOUNT #: 04000812765	BUY 1 Units @28.8000						
03/09/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @28.0400						
03/09/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @28.0400						
03/09/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @28.0400						
03/09/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @28.0400						
03/09/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @28.0400						
03/09/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @28.0400						
03/09/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @28.0400						
03/09/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @28.0400						
03/07/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 24 Units @15.5300						
03/07/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 23 Units @16.1000						
03/07/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 18 Units @16.5300						
03/07/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.5300						
03/09/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000827629	BUY 6 Units @13.1700						
03/09/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000827629	BUY 6 Units @13.1700						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
03/05/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.2600						
03/05/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.2600						
03/05/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	65.58	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 00084434107	BUY 4 Units @15.2600						
03/05/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	240.50	2.41	0.00	2.41	80.0	1.93
	ACCOUNT #: 00084434107	BUY 16 Units @15.2600						
03/05/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @15.2600						
03/05/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	31.74	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: 00085621852	BUY 2 Units @15.2600						
03/05/2018	***-**-01IN - PELLETIER, KELLY	FRANKLIN GROWTH FUND CLASS A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000060177222593	BUY 1 Units @101.5900						
03/05/2018	***-**-01IN - PELLETIER, KELLY	FRANKLIN INCOME FUND CLASS A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000090236508494	BUY 31 Units @2.3900						
03/05/2018	***-**-01IN - PELLETIER, KELLY	MUTUAL GLOBAL DISCOVERY FUND - CLAS	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000000007745197	BUY 2 Units @32.7500						
03/12/2018	***-**-527I - LAWLOR, RICHARD	T ROWE PRICE RET 2020 FUND	2,000.00	3.00	3.00	0.00	80.0	0.00
	ACCOUNT #: LSR011410	BUY 87 Units @22.9800						
03/05/2018	***-**-01IN - PELLETIER, KELLY	TEMP GLOBAL BOND FND - CL A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000006984020841	BUY 6 Units @12.2900						
03/09/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.6500						
03/09/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.6500						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$5,177.47</b>	<b>\$95.23</b>	<b>\$3.00</b>	<b>\$92.23</b>		<b>\$73.79</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
03/19/2018	***-**-968X - GAW, LELAND	AMCAP FUND-A	0.00	5.75	0.00	5.75	80.0	4.60
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
03/19/2018	***-**-552X - FAMIGLIETTI,	AMCAP FUND-A	0.00	2.63	0.00	2.63	80.0	2.10
	ACCOUNT #: LSR010780	BUY 1 Units @0.0000						
03/19/2018	***-**-593X - WOODY, ROBERT	AMERICAN 2020 TARGET DATE RETIREMNT	0.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: LSR010802	BUY 1 Units @0.0000						
03/19/2018	***-**-404X - SCHROETER, LAURA	AMERICAN 2025 TARGET DATE RETIREMNT	0.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: LSR010799	BUY 1 Units @0.0000						
03/19/2018	***-**-325X - WARD, JAMES	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	1.37	0.00	1.37	80.0	1.10
	ACCOUNT #: LSR010789	BUY 1 Units @0.0000						
03/19/2018	***-**-007X - MONACO, CARMINE	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	22.82	0.00	22.82	80.0	18.26
	ACCOUNT #: LSR010800	BUY 1 Units @0.0000						
03/19/2018	***-**-032X - MONACO, SARAH	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	18.95	0.00	18.95	80.0	15.16
	ACCOUNT #: LSR010801	BUY 1 Units @0.0000						
03/19/2018	***-**-310X - HARDWICK, TODD	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	1.03	0.00	1.03	80.0	0.82
	ACCOUNT #: LSR011310	BUY 1 Units @0.0000						
03/19/2018	***-**-968X - GAW, LELAND	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	30.72	0.00	30.72	80.0	24.58
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
03/19/2018	***-**-552X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	19.95	0.00	19.95	80.0	15.96
	ACCOUNT #: LSR010780	BUY 1 Units @0.0000						
03/19/2018	***-**-558X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	2.19	0.00	2.19	80.0	1.75
	ACCOUNT #: LSR010788	BUY 1 Units @0.0000						
03/19/2018	***-**-990I - GAW, PATRICIA	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	15.35	0.00	15.35	80.0	12.28
	ACCOUNT #: LSR010840	BUY 1 Units @0.0000						
03/19/2018	***-**-90IN - GAW, PATRICIA	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	13.45	0.00	13.45	80.0	10.76
	ACCOUNT #: LSR011025	BUY 1 Units @0.0000						
03/19/2018	***-**-796O - CARVAJAL, JUDITH	AMERICAN FUNDS BLNCD PORTFOLIO CL A	0.00	1.45	0.00	1.45	80.0	1.16
	ACCOUNT #: LSR011337	BUY 1 Units @0.0000						
03/19/2018	***-**-968I - GAW, LELAND	AMERICAN FUNDS INCOME PORT CL A	0.00	35.15	0.00	35.15	80.0	28.12
	ACCOUNT #: LSR011682	BUY 1 Units @0.0000						
03/19/2018	***-**-968X - GAW, LELAND	AMERICAN MUTUAL FUND-A	0.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/19/2018	***-***-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	0.90	0.00	0.90	80.0	0.72
03/19/2018	***-***-968X - GAW, LELAND ACCOUNT #: LSR010777	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	0.84	0.00	0.84	80.0	0.67
03/19/2018	***-***-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	0.72	0.00	0.72	80.0	0.58
03/19/2018	***-***-968X - GAW, LELAND ACCOUNT #: LSR010777	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	4.50	0.00	4.50	80.0	3.60
03/19/2018	***-***-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	2.09	0.00	2.09	80.0	1.67
03/19/2018	***-***-6967 - MEDER, BARBARA	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	5.07	0.00	5.07	80.0	4.06
03/19/2018	***-***-968X - GAW, LELAND ACCOUNT #: LSR010777	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	1.07	0.00	1.07	80.0	0.86
03/19/2018	***-***-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.92	0.00	0.92	80.0	0.74
03/19/2018	***-***-788I - LIPEIKA, RONALD ACCOUNT #: 7200016493	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	45.41	0.00	45.41	80.0	36.33
03/19/2018	***-***-963I - MORRO, JAMES ACCOUNT #: 7200018502	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	62.42	0.00	62.42	80.0	49.94
03/19/2018	***-***-968X - GAW, LELAND ACCOUNT #: LSR010777	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.91	0.00	0.91	80.0	0.73
03/19/2018	***-***-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.78	0.00	0.78	80.0	0.62
03/19/2018	***-***-968X - GAW, LELAND ACCOUNT #: LSR010777	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	5.52	0.00	5.52	80.0	4.42
03/19/2018	***-***-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	2.52	0.00	2.52	80.0	2.02
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$306.16</b>	<b>\$0.00</b>	<b>\$306.16</b>		<b>\$244.95</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$5,177.47</b>	<b>\$401.39</b>	<b>\$3.00</b>	<b>\$398.39</b>		<b>\$318.74</b>



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DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
						<b>BROKER COMMISSION TOTALS</b>		
						Broker Transactions		\$318.74
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
						Balance Forward		\$0.00
						Year-to-date Earnings		\$0.00