



All Companies

04/12/2018  
Page No. 1

# COMMISSION STATEMENT April 16, 2018

JOHN J. O'REILLY  
25042 SUMMIT CREEK

BROKER #: 9585  
BRANCH: HOME OFFICE

San Antonio, TX 78258-

## COMMISSION STATEMENT for JOHN J. O'REILLY

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

|                           |                               |                                       |   |            |          |        |          |      |          |
|---------------------------|-------------------------------|---------------------------------------|---|------------|----------|--------|----------|------|----------|
| 03/31/2018                | TRAILS                        | -OPPENHEIMER FUNDS                    | B | 384.08     | 384.08   | 0.00   | 384.08   | 70.0 | 268.86   |
| 03/01/2018                | TRAILS                        | -SCHOLARS EDGE 529 PLAN               | B | 32.06      | 32.06    | 0.00   | 32.06    | 70.0 | 22.44    |
| 02/28/2018                | TRAILS                        | BLACK ROCK                            | B | 0.43       | 0.43     | 0.00   | 0.43     | 70.0 | 0.30     |
| 02/28/2018                | TRAILS                        | BLACK ROCK                            | B | 0.43       | 0.43     | 0.00   | 0.43     | 70.0 | 0.30     |
| 03/13/2018                | GONZALES, JACOB L.            | FORESTERS FINANCIAL                   | B | 60.00      | 3.00     | 0.00   | 3.00     | 70.0 | 2.10     |
| 03/31/2018                | TRAILS                        | FORESTERS FINANCIAL                   | B | 11.43      | 11.43    | 0.00   | 11.43    | 70.0 | 8.00     |
| 03/31/2018                | TRAILS                        | FORESTERS FINANCIAL                   | B | 28.63      | 28.63    | 0.00   | 28.63    | 70.0 | 20.04    |
| 03/29/2018                | TRAILS                        | PACIFIC LIFE FUNDS - GENERAL          | B | 118.25     | 118.25   | 0.00   | 118.25   | 70.0 | 82.78    |
| 04/02/2018                | 000000001292996 SCOTT, TAMMY  | FRANKLIN RISING DIVIDEND 2 FD         | B | 50.00      | 0.50     | 0.00   | 0.50     | 70.0 | 0.35     |
| 03/29/2018                | 00084586485 GUAJARDO, JORGE   | THE GROWTH FUND OF AMERICA-C          | B | 150.00     | 1.50     | 0.00   | 1.50     | 70.0 | 1.05     |
| 04/02/2018                | 00084988489 KINZIE, CAROLINE  | INTERNATIONAL GROWTH AND INCOME-529 B | B | 50.00      | 2.50     | 0.00   | 2.50     | 70.0 | 1.75     |
| 03/27/2018                | 01001201559 PLAN - 211696, PS | INVESCO MID CAP CORE EQUITY FUND-CL B | B | 2.64       | 0.02     | 0.00   | 0.02     | 70.0 | 0.01     |
| 04/03/2018                | 01001201559 PLAN - 211696, PS | INVESCO MID CAP CORE EQUITY FUND-CL B | B | 4.86       | 0.04     | 0.00   | 0.04     | 70.0 | 0.03     |
| 03/29/2018                | 02000035695 RIOS, ELVIRA      | AGES 12-14 YEARS A                    | B | 50.00      | 1.50     | 0.00   | 1.50     | 70.0 | 1.05     |
| 03/28/2018                | 2513644465 WONG, FRANCESCA    | OPPENHEIMER QUEST SMALL CAP VALUE B   | B | 100.00     | 4.00     | 0.00   | 4.00     | 70.0 | 2.80     |
| 03/23/2018                | 3305138454 DYKES, LORI LEE    | OPPENHEIMER GLOBAL FD CL A            | B | 56.25      | 2.67     | 0.00   | 2.67     | 70.0 | 1.87     |
| 03/23/2018                | 8806365271 DYKES, LORI LEE    | OPPENHEIMER INTL BD FD CL A           | B | 18.75      | 0.75     | 0.00   | 0.75     | 70.0 | 0.53     |
| * MUTUAL FUNDS SUBTOTAL * |                               |                                       |   | \$1,117.81 | \$591.79 | \$0.00 | \$591.79 |      | \$414.26 |

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

|                                      |                   |                  |  |            |          |        |          |      |          |
|--------------------------------------|-------------------|------------------|--|------------|----------|--------|----------|------|----------|
| 04/16/2018                           | TRAIL COMMISSIONS | INVESCO LTD FEES |  | 0.00       | 2.91     | 0.00   | 2.91     | 70.0 | 2.03     |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * |                   |                  |  | \$0.00     | \$2.91   | \$0.00 | \$2.91   |      | \$2.03   |
| *** BROKER TRANSACTIONS TOTAL ***    |                   |                  |  | \$1,117.81 | \$594.70 | \$0.00 | \$594.70 |      | \$416.29 |

COMMISSION STATEMENT for JOHN J. O'REILLY

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

ADJUSTMENTS

|            |  |                             |  |        |        |        |        |  |          |
|------------|--|-----------------------------|--|--------|--------|--------|--------|--|----------|
| 04/16/2018 |  | TECH: MONTHLY TECH SERVICES |  | 0.00   | 0.00   | 0.00   | 0.00   |  | -27.50   |
|            |  | *** ADJUSTMENTS TOTAL ***   |  | \$0.00 | \$0.00 | \$0.00 | \$0.00 |  | \$-27.50 |

BROKER COMMISSION TOTALS

|                       |          |
|-----------------------|----------|
| Broker Transactions   | \$416.29 |
| Split Transactions    | \$0.00   |
| Override Transactions | \$0.00   |
| Adjustments           | \$-27.50 |
| Payroll Draw          | \$0.00   |
| Base Salary           | \$0.00   |
| Prior Period Balance  | \$0.00   |

|                       |             |
|-----------------------|-------------|
| Check Amount          | \$388.79    |
| Year-to-date Earnings | \$16,997.65 |