

COMMISSION STATEMENT

June 15, 2018

RICK HAVARD-D361

BROKER #: D361

BRANCH: DMN

, TX

COMMISSION STATEMENT for RICK HAVARD-D361

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: VARIABLE ANNUITIES									
05/31/2018 009171445A	HAVARD, HAROLD	VA PERSPECTIVE II	B	100,959.66	42.07	0.00	42.07	90.0	37.86
05/31/2018 009246903A	MCCLUNG, MICHAEL	VA ADVS II (05/05)	B	700,165.39	583.47	0.00	583.47	90.0	525.12
05/31/2018 009250186A	SKINNER, LARRY &	VA PRPS II (0505)	B	51,725.70	21.55	0.00	21.55	90.0	19.40
05/31/2018 1003034506	LARSON, RONALD	VA L SERIES	B	245,906.01	266.40	0.00	266.40	90.0	239.76
05/31/2018 1003034522	LARSON, CHERYLE	VA L SERIES	B	113,450.91	122.91	0.00	122.91	90.0	110.62
05/31/2018 1003937581	GOUKLER, BARRY	VA ADVS II (05/05)	B	84,186.01	70.16	0.00	70.16	90.0	63.14
05/31/2018 1004082776	MARINER, KATHLEEN	VA ADVS II (05/05)	B	356,811.12	297.34	0.00	297.34	90.0	267.61
05/31/2018 1005152204	FREEMAN, KATHY	VA L SERIES	B	31,445.76	26.20	0.00	26.20	90.0	23.58
05/31/2018 1005152204	FREEMAN, KATHY	VA L SERIES	B	24,676.56	26.73	0.00	26.73	90.0	24.06
05/31/2018 1005153081	FREEMAN, DAN	VA L SERIES	B	31,986.45	26.66	0.00	26.66	90.0	23.99
05/31/2018 1005153081	FREEMAN, DAN	VA L SERIES	B	25,100.78	27.19	0.00	27.19	90.0	24.47
05/31/2018 1006408644	DUPLECHAIN, SUE	VA L SERIES	B	36,131.79	30.11	0.00	30.11	90.0	27.10
05/31/2018 1006408679	DUPLECHAIN, RODNEY	VA L SERIES	B	42,416.35	35.35	0.00	35.35	90.0	31.82
05/31/2018 1006408849	DUPLECHAIN, RODNEY	VA L SERIES	B	188,089.26	156.74	0.00	156.74	90.0	141.07
05/31/2018 1006612160	BERRY, KEVIN	VA L SERIES	B	9,243.76	10.01	0.00	10.01	90.0	9.01
05/31/2018 1006661412	PORTER, CYNTHIA	VA L SERIES	B	190,074.24	158.40	0.00	158.40	90.0	142.56
05/31/2018 1006661412	PORTER, CYNTHIA	VA L SERIES	B	105,662.92	114.47	0.00	114.47	90.0	103.02
05/31/2018 1008397607	PHILLIPS, WENDELL	VA PERSPECTIVE L	B	95,120.59	79.27	0.00	79.27	90.0	71.34
05/31/2018 1008397607	PHILLIPS, WENDELL	VA PERSPECTIVE L	B	71,053.93	76.98	0.00	76.98	90.0	69.28
05/31/2018 1008430523	FORBESS, JACK P &	VA PERSPECTIVE L	B	71,368.57	77.32	0.00	77.32	90.0	69.59
05/31/2018 1008430558	FORBESS, JACK P &	VA PERSPECTIVE L	B	88,804.75	96.21	0.00	96.21	90.0	86.59
05/31/2018 1008788649	NEIDHOLT, HELEN	VA PERSPECTIVE L	B	76,891.68	64.08	0.00	64.08	90.0	57.67
05/31/2018 1008788649	NEIDHOLT, HELEN	VA PERSPECTIVE L	B	247,762.09	268.41	0.00	268.41	90.0	241.57
05/31/2018 1009278024	MOREAU, WILMA	VA PERSPECTIVE L	B	88,819.99	96.22	0.00	96.22	90.0	86.60
05/31/2018 1009337276	KNOWLES, LOU	VA PERSPECTIVE L	B	101,411.56	84.51	0.00	84.51	90.0	76.06
05/31/2018 1010060059	HOLWERDA, MICHELE	VA PERSPECTIVE II	B	139,645.82	58.19	0.00	58.19	90.0	52.37
05/31/2018 1010060067	HOLWERDA, JOHN	VA PERSPECTIVE II	B	213,323.87	88.88	0.00	88.88	90.0	79.99
05/31/2018 1010076710	BUDJENSKA, RICHARD	VA PERSPECTIVE II	B	228,617.01	95.26	0.00	95.26	90.0	85.73
05/31/2018 1011316723	CHANDLER,	VA ADVS II (08/11)	B	23,935.60	14.96	0.00	14.96	90.0	13.46
05/31/2018 1012100147	HAMMOND, GREGG	VA ELITE ACCESS	B	6,072.49	2.02	0.00	2.02	90.0	1.82
05/31/2018 1012137903	WALTHALL, JOHN	VA ELITE ACCESS	B	23,619.70	7.87	0.00	7.87	90.0	7.08

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05/31/2018	1017547069 COMPTON, RICKY	VA PERSP II 04/14	B	336,800.88	140.33	0.00	140.33	90.0	126.30
05/31/2018	1019204223 WARD, VIRGINIA	VA PERSP II 01/16	B	79,333.56	33.06	0.00	33.06	90.0	29.75
* VARIABLE ANNUITIES SUBTOTAL *				\$4,230,614.76	\$3,299.33	\$0.00	\$3,299.33		\$2,969.39
*** DIRECT TRANSACTIONS TOTAL ***				\$4,230,614.76	\$3,299.33	\$0.00	\$3,299.33		\$2,969.39

BROKER COMMISSION TOTALS

Broker Transactions	\$2,969.39
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,969.39
Year-to-date Commissions	\$27,994.90