



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**March 15, 2018**

03/13/2018  
Page No. 1

DAMIAN, D127 DERING  
165 Culver Blvd  
Suite A  
Playa Del Rey, CA 90293

BROKER #: D127  
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
02/26/2018	ARMAGANIAN, ANDREA	BLACKROCK MUNIYIELD CALIF QUALITY F	6,859.50	68.60	22.75	45.85	92.0	42.18
02/26/2018	ARMAGANIAN, ANDREA	INVESCO CALIF VALUE MUN INCOME TR C	8,370.25	83.70	23.05	60.65	92.0	55.80
* STOCKS SUBTOTAL *			\$15,229.75	\$152.30	\$45.80	\$106.50		\$97.98
*** CLEARING TRANSACTIONS TOTAL ***			\$15,229.75	\$152.30	\$45.80	\$106.50		\$97.98

<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
03/05/2018	AMIN FOUNDATION	TRILINC GLOBAL IMPACT FUND C	0.00	19.08	0.00	19.08	92.0	17.55
* PRIVATE PLACEMENT SUBTOTAL *			\$0.00	\$19.08	\$0.00	\$19.08		\$17.55

<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
02/26/2018	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
02/26/2018	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
02/26/2018	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
02/26/2018	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
02/26/2018	PEARSON, ROBERT	AMCAP FUND-529C	200.00	2.00	0.00	2.00	92.0	1.84
02/26/2018	PEARSON, ROBERT	AMCAP FUND-529C	200.00	2.00	0.00	2.00	92.0	1.84
02/28/2018	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	200.00	2.00	0.00	2.00	92.0	1.84
02/28/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
02/28/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
* 529 PLANS SUBTOTAL *			\$1,500.00	\$24.76	\$0.00	\$24.76		\$22.78

<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/01/2018	FARFAN, FRANK	AM GEN SEASONS ELITE	82,342.70	205.86	0.00	205.86	92.0	189.39
02/28/2018	PUGH, CECILIA	JNL PERSPECTIVE L	44,015.08	36.68	0.00	36.68	92.0	33.75
02/28/2018	LAXER, JACK	JNL PERSPECTIVE L	54,450.72	45.38	0.00	45.38	92.0	41.75

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/28/2018	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	63,123.92	52.60	0.00	52.60	92.0	48.39
02/28/2018	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	76,504.79	63.75	0.00	63.75	92.0	58.65
02/28/2018	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	75,656.15	63.05	0.00	63.05	92.0	58.01
02/11/2018	BAUTISTA, MARYANN	TRANSAMERICA LIBERTY	198,860.04	165.72	0.00	165.72	92.0	152.46
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$594,953.40</b>	<b>\$633.04</b>	<b>\$0.00</b>	<b>\$633.04</b>		<b>\$582.40</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	KUSUNOKI, MIKE	AM FUNDS AMERICAN BALANCED 529A	0.00	2.45	0.00	2.45	92.0	2.25
03/01/2018	KUSUNOKI, MIKE	AM FUNDS AMERICAN BALANCED 529A	0.00	2.43	0.00	2.43	92.0	2.24
03/01/2018	KUSUNOKI, MIKE	AM FUNDS AMERICAN BALANCED 529C	0.00	1.20	0.00	1.20	92.0	1.10
03/01/2018	KUSUNOKI, MIKE	AM FUNDS AMERICAN BALANCED 529C	0.00	1.19	0.00	1.19	92.0	1.09
03/01/2018	BERNARD, AVA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.15	0.00	1.15	92.0	1.06
03/01/2018	BERNARD, AVA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.24	0.00	4.24	92.0	3.90
02/21/2018	SUTZ, JACK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.43	0.00	5.43	92.0	5.00
03/01/2018	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	43.00	0.00	43.00	92.0	39.56
03/01/2018	BERNARD, AVA	AM FUNDS NEW WORLD 529A	0.00	3.40	0.00	3.40	92.0	3.13
03/01/2018	BERNARD, JASON	AM FUNDS SMALLCAP WORLD A	0.00	2.22	0.00	2.22	92.0	2.04
03/01/2018	BERNARD, AVA	AM FUNDS SMALLCAP WORLD-529A	0.00	2.27	0.00	2.27	92.0	2.09
03/01/2018	BERNARD, AVA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.67	0.00	3.67	92.0	3.38
02/20/2018	SUTZ, JACK	FIDELITY DIVIDEND GROWTH A	0.00	2.04	0.00	2.04	92.0	1.88
02/16/2018	SUTZ, JACK	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.21	0.00	4.21	92.0	3.87
02/16/2018	SUTZ, JACK	GRIFFIN INST'L ACCESS R E FUND C	0.00	12.63	0.00	12.63	92.0	11.62
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	0.00	2.12	0.00	2.12	92.0	1.95
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.26	0.00	1.26	92.0	1.16
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.21	0.00	1.21	92.0	1.11
02/22/2018	SUTZ, JACK	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	3.69	0.00	3.69	92.0	3.39
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$99.81</b>	<b>\$0.00</b>	<b>\$99.81</b>		<b>\$91.82</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$596,453.40</b>	<b>\$776.69</b>	<b>\$0.00</b>	<b>\$776.69</b>		<b>\$714.55</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
03/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
03/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					827.00
03/15/2018		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2018		*FINRA: PA INDIV REG. FEE	0.00					-130.00
03/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
03/15/2018		*TECHNOLOGY: ADMIN - CHRYSANTHA COS	0.00					-135.00
03/15/2018		*TECHNOLOGY: ADMIN - ELIZABETH	0.00					-60.00
03/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$812.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$812.53
Year-to-date Gross	\$20,606.91
Year-to-date Earnings	\$18,830.67

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