INVESTMENT SERVICES

COMMISSION STATEMENT May 31, 2017

CARL WORDEN III 3031 Tisch Way Suite 901

San Jose, CA 95128

| BRANCH: | CARL | WORDEN | |
|---------|------|--------|--|
| | | | |

BROKER #: W134 Terminated 04/22/2016

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|---|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| | | ADJUSTME | INTS | | | | | |
| 05/31/2017 | | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00 | | | | | 0.00 |
| | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| | | | | | <u> 1</u> | BROKER COMM | SSION | TOTALS |
| | | | | | Broke | r Transactions | | \$0.00 |
| | | | | | Spli | t Transactions | | \$0.00 |
| | | | | | Overrid | e Transactions | 1 | \$0.00 |
| | | | | | | Adjustments | ; | \$0.00 |
| | | | | | | Payroll Draw | , | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | Prior | Period Balance | : | \$0.00 |
| | | | | | FI | NRA Assessment | | \$0.00 |
| | | | | | S | IPC Assessment | | \$0.00 |
| | | Please Retain for THERE WILL BE NO C | | | | Balance Forwar | d | \$0.00 |
| | | | | | Yea | r-to-date Gros | ន | \$10.20 |
| | | | | | Year-to | o-date Earning | s | \$0.00 |