

All Companies
STATEMENT
April 20, 2018

RYLAN-TERMINATED ROZELL
5060 California Ave # 620
SUITE 620
Bakersfield, CA 93309

BROKER #: 052
BRANCH:

COMMISSION STATEMENT for RYLAN-TERMINATED ROZELL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
02/27/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	80.00	0.80	90.0	0.00	0.72	0.72
02/27/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	27.89	0.28	90.0	0.00	0.25	0.25
02/27/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	60.00	0.60	90.0	0.00	0.54	0.54
02/27/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	24.27	0.24	90.0	0.00	0.22	0.22
03/01/2018	#00089371893	TRAMMEL, LAU	AMERICAN FUNDS TARGET DATE RETIREME	80.00	4.00	90.0	0.00	3.60	3.60
03/29/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	80.00	0.80	90.0	0.00	0.72	0.72
03/29/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	25.49	0.25	90.0	0.00	0.23	0.23
03/29/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	60.00	0.60	90.0	0.00	0.54	0.54
03/29/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	22.36	0.22	90.0	0.00	0.20	0.20
			* MUTUAL FUNDS SUBTOTAL *	\$460.01	\$7.79	\$0.00	\$7.02	\$0.00	\$7.02

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/01/2018	#01847000622	TRUST,	02630V840	0.00	0.93	90.0	0.00	0.84	0.84
03/01/2018	#00086618333	HAMPTON,	AMCAP FUND INC CLASS A	0.00	0.25	90.0	0.00	0.23	0.23
03/01/2018	#00086663548	DOLAN, PARKER	AMCAP FUND-529A	0.00	0.71	90.0	0.00	0.64	0.64
03/01/2018	#01235729442	TRUST,	AMCAP R3	0.00	4.31	90.0	0.00	3.88	3.88
03/01/2018	#00067575875	EVARO, TED	AMERICAN BALANCED FUND INC CL C	0.00	8.49	90.0	0.00	7.64	7.64
03/01/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	0.00	49.70	90.0	0.00	44.73	44.73
03/01/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	0.00	10.51	90.0	0.00	9.46	9.46
03/01/2018	#00067575920	EVARO, TED	AMERICAN BALANCED FUND INC CL C	0.00	0.31	90.0	0.00	0.28	0.28
03/01/2018	#00063300150	OTT, BETTY	AMERICAN BALANCED FUND INC CLASS A	0.00	9.18	90.0	0.00	8.26	8.26
03/01/2018	#00067585846	EVARO, TED	AMERICAN BALANCED FUND INC CLASS A	0.00	6.52	90.0	0.00	5.87	5.87
03/01/2018	#00068430007	LOKE, CRAIG	AMERICAN BALANCED FUND INC CLASS A	0.00	43.07	90.0	0.00	38.76	38.76
03/01/2018	#00086618333	HAMPTON,	AMERICAN BALANCED FUND INC CLASS A	0.00	0.13	90.0	0.00	0.12	0.12
03/01/2018	#00068066741	MCGRATH, SAMUEL	AMERICAN BALANCED FUND-529E	0.00	5.51	90.0	0.00	4.96	4.96
03/01/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND-F1	0.00	11.04	90.0	0.00	9.94	9.94
03/01/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND-F1	0.00	6.86	90.0	0.00	6.17	6.17
03/01/2018	#00067585846	EVARO, TED	AMERICAN FUNDS 02629H109	0.00	3.07	90.0	0.00	2.76	2.76

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00088985295	AMERICAN FUNDS 02631C445	0.00	2.16	90.0	0.00	1.94	0.00	1.94
03/01/2018	#00067585846	EVARO, TED	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	9.69	90.0	8.72	0.00	8.72
03/01/2018	#00089371893	TRAMMEL, LAU	AMERICAN FUNDS TARGET DATE RETIREME	0.00	0.26	90.0	0.23	0.00	0.23
03/01/2018	#00073626621	LEE, NADIA	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	1.81	90.0	1.63	0.00	1.63
03/01/2018	#00085384248	MCGILL, JUSTIN	AMERICAN MUTUAL FUND-529A	0.00	3.94	90.0	3.55	0.00	3.55
03/01/2018	#00060864636	DODGE, JON	BOND FUND OF AMERICA INC CL A	0.00	6.27	90.0	5.64	0.00	5.64
03/01/2018	#00067585846	EVARO, TED	BOND FUND OF AMERICA INC CL A	0.00	6.09	90.0	5.48	0.00	5.48
03/01/2018	#00075543298	HAMMANG, THOMAS	CAPITAL INCOME BUILDER FD CL F-1	0.00	13.99	90.0	12.59	0.00	12.59
03/01/2018	#00081688536	TSCHANZ, ANNIE	CAPITAL INCOME BUILDER FD CL F-1	0.00	7.63	90.0	6.87	0.00	6.87
03/01/2018	#00081688547	TSCHANZ, ANNIE	CAPITAL INCOME BUILDER FD CL F-1	0.00	0.69	90.0	0.62	0.00	0.62
03/01/2018	#00081688557	TSCHANZ, ANNIE	CAPITAL INCOME BUILDER FD CL F-1	0.00	7.06	90.0	6.35	0.00	6.35
03/01/2018	#00081688562	TSCHANZ, ANNIE	CAPITAL INCOME BUILDER FD CL F-1	0.00	0.59	90.0	0.53	0.00	0.53
03/01/2018	#00086663548	DOLAN, PARKER	FUNDAMENTAL INVESTORS-529A	0.00	0.76	90.0	0.68	0.00	0.68
03/01/2018	#00073626621	LEE, NADIA	GROWTH FUND AMER INC CL C	0.00	3.96	90.0	3.56	0.00	3.56
03/01/2018	#00073970914	KATO, CALVIN	GROWTH FUND AMER INC CL C	0.00	5.15	90.0	4.64	0.00	4.64
03/01/2018	#00083418376	SMALL, CHARLES	GROWTH FUND AMER INC CL C	0.00	1.07	90.0	0.96	0.00	0.96
03/01/2018	#00073970914	KATO, CALVIN	GROWTH FUND AMER INC CL F1	0.00	11.54	90.0	10.39	0.00	10.39
03/01/2018	#00073970944	KATO, CALVIN	GROWTH FUND AMER INC CL F1	0.00	58.15	90.0	52.34	0.00	52.34
03/01/2018	#00081688536	TSCHANZ, ANNIE	GROWTH FUND AMER INC CL F1	0.00	2.23	90.0	2.01	0.00	2.01
03/01/2018	#00081688547	TSCHANZ, ANNIE	GROWTH FUND AMER INC CL F1	0.00	0.20	90.0	0.18	0.00	0.18
03/01/2018	#00081688557	TSCHANZ, ANNIE	GROWTH FUND AMER INC CL F1	0.00	2.06	90.0	1.85	0.00	1.85
03/01/2018	#00081688562	TSCHANZ, ANNIE	GROWTH FUND AMER INC CL F1	0.00	0.17	90.0	0.15	0.00	0.15
03/01/2018	#00067585846	EVARO, TED	GROWTH FUND AMERICA INC CLASS A	0.00	32.22	90.0	29.00	0.00	29.00
03/01/2018	#00067869182	RUGH, ROBERT	GROWTH FUND AMERICA INC CLASS A	0.00	47.93	90.0	43.14	0.00	43.14
03/01/2018	#00069146347	BRZEZINSKI,	GROWTH FUND AMERICA INC CLASS A	0.00	13.70	90.0	12.33	0.00	12.33
03/01/2018	#00067869182	RUGH, ROBERT	INCOME FUND OF AMERICA INC CLASS A	0.00	41.10	90.0	36.99	0.00	36.99
03/01/2018	#00083298168	SMALL, CHARLES	INVESTMENT CO AMER CL C	0.00	8.42	90.0	7.58	0.00	7.58
03/01/2018	#00067585846	EVARO, TED	INVESTMENT CO AMER CLASS A	0.00	56.49	90.0	50.84	0.00	50.84
03/01/2018	#00067869182	RUGH, ROBERT	INVESTMENT CO AMER CLASS A	0.00	80.52	90.0	72.47	0.00	72.47
03/01/2018	#00086618333	HAMPTON,	INVESTMENT CO AMER CLASS A	0.00	0.31	90.0	0.28	0.00	0.28
03/01/2018	#00067585846	EVARO, TED	NEW ECONOMY FUND SBI CLASS A	0.00	23.73	90.0	21.36	0.00	21.36
03/01/2018	#00069146347	BRZEZINSKI,	NEW ECONOMY FUND SBI CLASS A	0.00	14.68	90.0	13.21	0.00	13.21
03/01/2018	#00081688536	TSCHANZ, ANNIE	NEW PERSPECTIVE FUND -F1	0.00	4.17	90.0	3.75	0.00	3.75
03/01/2018	#00081688547	TSCHANZ, ANNIE	NEW PERSPECTIVE FUND -F1	0.00	0.38	90.0	0.34	0.00	0.34
03/01/2018	#00081688557	TSCHANZ, ANNIE	NEW PERSPECTIVE FUND -F1	0.00	3.85	90.0	3.47	0.00	3.47
03/01/2018	#00081688562	TSCHANZ, ANNIE	NEW PERSPECTIVE FUND -F1	0.00	0.32	90.0	0.29	0.00	0.29

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#01241470455 TRUST,	NEW WORLD R3	0.00	2.45	90.0	0.00	2.21	0.00	2.21
03/01/2018	#00069146347 BRZEZINSKI,	SMALLCAP WORLD FUND INC CLASS A	0.00	16.31	90.0	0.00	14.68	0.00	14.68
03/01/2018	#00086663548 DOLAN, PARKER	SMALLCAP WORLD FUND-529A	0.00	0.33	90.0	0.00	0.30	0.00	0.30
03/01/2018	#00085384248 MCGILL, JUSTIN	THE BOND FUND OF AMERICA-529A	0.00	5.38	90.0	0.00	4.84	0.00	4.84
03/01/2018	#00068066741 MCGRATH, SAMUEL	THE BOND FUND OF AMERICA-529E	0.00	3.18	90.0	0.00	2.86	0.00	2.86
03/01/2018	#00086663548 DOLAN, PARKER	THE GROWTH FUND OF AMERICA-529A	0.00	0.66	90.0	0.00	0.59	0.00	0.59
03/01/2018	#00085384248 MCGILL, JUSTIN	THE INCOME FUND OF AMERICA-529A	0.00	3.51	90.0	0.00	3.16	0.00	3.16
03/01/2018	#00068066739 MCGRATH, SAMUEL	THE INCOME FUND OF AMERICA-529E	0.00	3.59	90.0	0.00	3.23	0.00	3.23
03/01/2018	#00081688536 TSCHANZ, ANNIE	THE INVESTMENT COMPANY OF AMERICA F	0.00	3.78	90.0	0.00	3.40	0.00	3.40
03/01/2018	#00081688547 TSCHANZ, ANNIE	THE INVESTMENT COMPANY OF AMERICA F	0.00	0.21	90.0	0.00	0.19	0.00	0.19
03/01/2018	#00081688557 TSCHANZ, ANNIE	THE INVESTMENT COMPANY OF AMERICA F	0.00	3.48	90.0	0.00	3.13	0.00	3.13
03/01/2018	#00081688562 TSCHANZ, ANNIE	THE INVESTMENT COMPANY OF AMERICA F	0.00	0.15	90.0	0.00	0.14	0.00	0.14
03/01/2018	#00068066739 MCGRATH, SAMUEL	U.S. GOVERNMENT SECURITIES FUND-529	0.00	3.19	90.0	0.00	2.87	0.00	2.87
03/01/2018	#00073970914 KATO, CALVIN	WASHINGTON MUTUAL INVESTORS FUND-C	0.00	4.78	90.0	0.00	4.30	0.00	4.30
03/01/2018	#00073218766 STEVENS, EVELYN	WASHINGTON MUTUAL INVESTORS FUND-F1	0.00	5.32	90.0	0.00	4.79	0.00	4.79
03/01/2018	#00073970914 KATO, CALVIN	WASHINGTON MUTUAL INVESTORS FUND-F1	0.00	28.61	90.0	0.00	25.75	0.00	25.75
03/01/2018	#00073970944 KATO, CALVIN	WASHINGTON MUTUAL INVESTORS FUND-F1	0.00	48.27	90.0	0.00	43.44	0.00	43.44
03/01/2018	#01235428445 TRUST,	WASHINGTON MUTUAL INVESTORS FUND-R3	0.00	9.51	90.0	0.00	8.56	0.00	8.56
03/01/2018	#00061467520 LINDE, ROBYN	WASHINGTON MUTUAL INVS FD CLASS A	0.00	9.62	90.0	0.00	8.66	0.00	8.66
03/01/2018	#00061467521 LINDE, ROBYN	WASHINGTON MUTUAL INVS FD CLASS A	0.00	2.86	90.0	0.00	2.57	0.00	2.57
03/01/2018	#00063870986 LINDE, RYAN	WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.02	90.0	0.00	3.62	0.00	3.62
03/14/2018	#00777115467 VARIOUS HOUSE	JH LARGE CAP EQUITY FD CL A	0.00	6.80	90.0	0.00	6.12	0.00	6.12
03/14/2018	#00777098436 VARIOUS HOUSE	JOHN HANCOCK GOVERNMENT INCOME FUND	0.00	2.45	90.0	0.00	2.21	0.00	2.21
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$802.34		\$0.00	\$722.12	\$0.00	\$722.12
*** BROKER TRANSACTIONS TOTAL ***			\$460.01	\$810.13		\$0.00	\$729.14	\$0.00	\$729.14

BROKER COMMISSION TOTALS

Broker Transactions	\$729.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$729.14
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