

**COMMISSION STATEMENT****October 15, 2017**

JEFFREY KIESNOSKI
 417 East Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: K141
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/07/2017	DEMIAN, CAROLE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.96	0.00	2.96	94.0	2.78
09/14/2017	FROH, PAULA SANDRA	FRANKLIN OREGON TAX- FREE INCOME FU	0.00	0.29	0.00	0.29	94.0	0.27
09/14/2017	DEMIAN, CAROLE	JP MORGAN CORE BOND A	0.00	2.87	0.00	2.87	94.0	2.70
09/14/2017	DEMIAN, CAROLE	JP MORGAN INCOME BUILDER FUND CLASS	0.00	1.05	0.00	1.05	94.0	0.99
09/19/2017	COREY, JEFFREY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.35	0.00	0.35	94.0	0.33
09/14/2017	FROH, PAULA SANDRA	MFS GLOBAL EQUITY A	0.00	2.25	0.00	2.25	94.0	2.12
09/12/2017	FROH, PAULA SANDRA	PRU JENNISON GROWTH	0.00	1.03	0.00	1.03	94.0	0.97
09/19/2017	FROH, PAULA SANDRA	PUTNAM EQUITY INCOME A	0.00	0.99	0.00	0.99	94.0	0.93
09/19/2017	FROH, PAULA SANDRA	PUTNAM GLOBAL HEALTH CARE A	0.00	0.10	0.00	0.10	94.0	0.09
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$11.89	\$0.00	\$11.89		\$11.18
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$11.89	\$0.00	\$11.89		\$11.18

SPLIT TRANSACTIONS**BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251**

09/07/2017	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.57	0.00	2.57	94.0	2.42
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$2.57	\$0.00	\$2.57		\$2.42

BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252

09/07/2017	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.29	0.00	1.29	94.0	1.21
09/07/2017	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.06	0.00	3.06	94.0	2.88
09/07/2017	WYFFELS, ALAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.70	0.00	3.70	94.0	3.48
09/07/2017	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.29	0.00	1.29	94.0	1.21
09/19/2017	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.26	0.00	0.26	94.0	0.24
09/19/2017	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.21	0.00	0.21	94.0	0.20
09/19/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.16	0.00	1.16	94.0	1.09
09/19/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.28	0.00	0.28	94.0	0.26

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u>								
09/19/2017	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.30	0.00	0.30	94.0	0.28
09/19/2017	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.15	0.00	0.15	94.0	0.14
09/25/2017	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.11	0.00	0.11	94.0	0.10
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$0.00	\$11.81	\$0.00	\$11.81		\$11.09
<u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u>								
09/07/2017	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.77	0.00	2.77	94.0	2.60
09/07/2017	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.21	0.00	1.21	94.0	1.14
09/07/2017	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.96	0.00	2.96	94.0	2.78
09/15/2017	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	1.60	0.00	1.60	94.0	1.50
09/15/2017	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	6.40	0.00	6.40	94.0	6.02
09/14/2017	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	3.81	0.00	3.81	94.0	3.58
09/19/2017	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	1.49	0.00	1.49	94.0	1.40
09/19/2017	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.47	0.00	2.47	94.0	2.32
09/14/2017	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.98	0.00	0.98	94.0	0.92
09/19/2017	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.35	0.00	1.35	94.0	1.27
09/19/2017	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.75	0.00	0.75	94.0	0.71
09/19/2017	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.05	0.00	2.05	94.0	1.93
09/19/2017	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.26	0.00	0.26	94.0	0.24
09/19/2017	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.60	0.00	1.60	94.0	1.50
09/19/2017	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.03	0.00	1.03	94.0	0.97
09/19/2017	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.57	0.00	0.57	94.0	0.54
09/19/2017	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.27	0.00	1.27	94.0	1.19
09/18/2017	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	15.98	0.00	15.98	94.0	15.02
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			\$0.00	\$48.55	\$0.00	\$48.55		\$45.63
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
09/20/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.03	0.00	0.03	94.0	0.03
09/20/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.09	0.00	0.09	94.0	0.08
09/20/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.15	0.00	0.15	94.0	0.14
09/14/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.27	0.00	0.27	94.0	0.25
09/14/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.24	0.00	0.24	94.0	0.23
09/14/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.11	0.00	0.11	94.0	0.10
10/06/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	-5,050.00	3.30	3.30	0.00	94.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
09/14/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.10	0.00	0.10	94.0	0.09
09/14/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.20	0.00	0.20	94.0	0.19
09/14/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.29	0.00	1.29	94.0	1.21
09/14/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.14	0.00	1.14	94.0	1.07
09/14/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.12	0.00	0.12	94.0	0.11
09/14/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.12	0.00	0.12	94.0	0.11
09/14/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.09	0.00	0.09	94.0	0.08
09/19/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.07	0.00	0.07	94.0	0.07
09/15/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.15	0.00	0.15	94.0	0.14
09/15/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.69	0.00	0.69	94.0	0.65
09/22/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.33	0.00	0.33	94.0	0.31
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$-5,050.00	\$8.49	\$3.30	\$5.19		\$4.86
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
09/14/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	4.50	0.00	4.50	94.0	4.23
09/07/2017	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	13.94	0.00	13.94	94.0	13.10
09/07/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.35	0.00	1.35	94.0	1.27
09/26/2017	RYDER, THOMAS	EXCHANGE TRADED CONCEPTS TR YIELDSH	-49,280.75	250.00	6.44	243.56	94.0	228.95
10/04/2017	MARSH, LAURIE	GLOBAL NET LEASE INC COM	-15,776.49	0.00	5.77	-5.77	94.0	-5.42
09/20/2017	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.51	0.00	0.51	94.0	0.48
09/19/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.12	0.00	3.12	94.0	2.93
09/19/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.27	0.00	1.27	94.0	1.19
09/19/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.67	0.00	0.67	94.0	0.63
09/19/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.15	0.00	2.15	94.0	2.02
09/19/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.57	0.00	0.57	94.0	0.54
09/19/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.57	0.00	2.57	94.0	2.42
09/19/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.78	0.00	0.78	94.0	0.73
09/19/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.93	0.00	1.93	94.0	1.81
10/04/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	-14,366.66	5.50	5.50	0.00	94.0	0.00
09/19/2017	STARK, LINDA	MAINSTAY MAP A	0.00	0.48	0.00	0.48	94.0	0.45
09/19/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	0.45	0.00	0.45	94.0	0.42
09/15/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.12	0.00	2.12	94.0	1.99
09/27/2017	RYDER, THOMAS	NEW YORK REIT INC COM	-10,673.74	18.75	6.02	12.73	94.0	11.97
09/29/2017	SIMONELLI, CHRISTINA	NEW YORK REIT INC COM	-16,861.63	6.25	6.31	-0.06	94.0	-0.06

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
09/21/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	2.61	0.00	2.61	94.0	2.45
09/11/2017	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.08	0.00	0.08	94.0	0.08
09/11/2017	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.21	0.00	0.21	94.0	0.20
09/22/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.21	0.00	0.21	94.0	0.20
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$-106,959.27	\$320.02	\$30.04	\$289.98		\$272.58
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
09/18/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.15	0.00	0.15	94.0	0.14
09/07/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.39	0.00	4.39	94.0	4.13
09/07/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.71	0.00	0.71	94.0	0.67
09/07/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.34	0.00	2.34	94.0	2.20
09/07/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.25	0.00	3.25	94.0	3.06
09/18/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	1.71	0.00	1.71	94.0	1.61
09/19/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.03	0.00	2.03	94.0	1.91
09/19/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.50	0.00	4.50	94.0	4.23
09/19/2017	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.25	0.00	0.25	94.0	0.24
09/19/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.59	0.00	2.59	94.0	2.43
09/19/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.79	0.00	1.79	94.0	1.68
09/15/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.77	0.00	4.77	94.0	4.48
09/15/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	1.50	0.00	1.50	94.0	1.41
09/15/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	1.46	0.00	1.46	94.0	1.37
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$0.00	\$31.44	\$0.00	\$31.44		\$29.56
<u>BROKER: G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP #258</u>								
08/17/2017	DEMAR TRUST, HALLIE SUE	DIVIDEND CAP'L EXCHG SPRINGDALE DST	987,141.44	12,339.27	0.00	12,339.27	94.0	11,598.91
* G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP SUBTOTAL *			\$987,141.44	\$12,339.27	\$0.00	\$12,339.27		\$11,598.91
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
09/07/2017	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.47	0.00	3.47	94.0	3.26
09/19/2017	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.64	0.00	0.64	94.0	0.60
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$4.11	\$0.00	\$4.11		\$3.86
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
09/28/2017	DAMONE, DENNIS	COTTONWOOD MULTIFAMILY REIT II	32,500.00	682.50	0.00	682.50	94.0	641.55
09/14/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.50	0.00	0.50	94.0	0.47

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<u>SPLIT TRANSACTIONS</u>								
BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273								
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$32,500.00	\$683.00	\$0.00	\$683.00		\$642.02
BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273								
09/18/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.03	0.00	0.03	94.0	0.03
09/14/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.68	0.00	0.68	94.0	0.64
09/14/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.11	0.00	2.11	94.0	1.98
09/14/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.39	0.00	1.39	94.0	1.31
09/14/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.01	0.00	1.01	94.0	0.95
09/15/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.16	0.00	0.16	94.0	0.15
09/15/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.39	0.00	0.39	94.0	0.37
09/15/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.02	0.00	0.02	94.0	0.02
09/15/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.06	0.00	0.06	94.0	0.06
09/28/2017	CAMPBELL, VICTORIA	DREYFUS HIGH YIELD FUND CLASS I	-3,896.81	0.00	0.00	0.00	94.0	0.00
10/03/2017	CAMPBELL, CHARLES	DREYFUS HIGH YIELD FUND CLASS I	-447.40	0.00	0.00	0.00	94.0	0.00
09/28/2017	CAMPBELL, VICTORIA	FIDELITY COVINGTON TR MSCI FINLS IN	-1,209.02	4.50	3.38	1.12	94.0	1.05
09/28/2017	CAMPBELL, VICTORIA	FIDELITY COVINGTON TR MSCI REAL EST	-3,259.16	4.50	3.38	1.12	94.0	1.05
10/03/2017	CAMPBELL, CHARLES	FIDELITY COVINGTON TR MSCI REAL EST	-701.83	4.50	3.38	1.12	94.0	1.05
09/28/2017	CAMPBELL, VICTORIA	FORMULA FOLIOS U.S. EQUITY FUND INS	-5,190.36	0.00	3.30	-3.30	94.0	-3.10
10/03/2017	CAMPBELL, CHARLES	FORMULA FOLIOS U.S. EQUITY FUND INS	-1,108.44	0.00	3.30	-3.30	94.0	-3.10
09/20/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.39	0.00	0.39	94.0	0.37
09/20/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.18	0.00	1.18	94.0	1.11
09/20/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.49	0.00	0.49	94.0	0.46
09/20/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.48	0.00	1.48	94.0	1.39
09/20/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.33	0.00	0.33	94.0	0.31
09/20/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.99	0.00	0.99	94.0	0.93
09/20/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.39	0.00	0.39	94.0	0.37
09/20/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.18	0.00	1.18	94.0	1.11
09/20/2017	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.25	0.00	0.25	94.0	0.24
09/20/2017	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.39	0.00	0.39	94.0	0.37
09/20/2017	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.67	0.00	0.67	94.0	0.63
09/20/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.54	0.00	0.54	94.0	0.51
09/20/2017	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.20	0.00	0.20	94.0	0.19
09/20/2017	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.64	0.00	0.64	94.0	0.60
09/20/2017	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.96	0.00	1.96	94.0	1.84

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
09/28/2017	CAMPBELL, VICTORIA	INVESCO HIGH YIELD FUND A	-3,887.61	0.00	0.00	0.00	94.0	0.00
10/03/2017	CAMPBELL, CHARLES	INVESCO HIGH YIELD FUND A	-449.10	0.00	0.00	0.00	94.0	0.00
09/28/2017	CAMPBELL, VICTORIA	ISHARES CORE S&P SMALL CAP ETF	-738.01	4.50	3.38	1.12	94.0	1.05
09/28/2017	CAMPBELL, VICTORIA	ISHARES TR CORE MSCI TOTAL INTL STK	-4,177.29	4.50	3.38	1.12	94.0	1.05
10/03/2017	CAMPBELL, CHARLES	ISHARES TR CORE MSCI TOTAL INTL STK	-856.53	4.50	3.38	1.12	94.0	1.05
09/28/2017	CAMPBELL, VICTORIA	ISHARES TR CORE S&P TOTAL U S STK M	-631.77	4.50	3.38	1.12	94.0	1.05
10/03/2017	CAMPBELL, CHARLES	ISHARES TR CORE S&P TOTAL U S STK M	-869.30	4.50	3.38	1.12	94.0	1.05
09/28/2017	CAMPBELL, VICTORIA	ISHARES TR IBOX \$ HIGH YIELD CORP	-30,664.25	4.50	3.38	1.12	94.0	1.05
09/28/2017	CAMPBELL, VICTORIA	ISHARES TR IBOX USD INVT GRADE COR	-10,643.91	4.50	3.38	1.12	94.0	1.05
09/28/2017	CAMPBELL, VICTORIA	ISHARES TR INTL TREASURY BD ETF	-11,671.42	4.50	3.38	1.12	94.0	1.05
09/28/2017	CAMPBELL, VICTORIA	ISHARES TR S&P 500 INDEX FD	-3,778.08	4.50	3.38	1.12	94.0	1.05
09/28/2017	CAMPBELL, VICTORIA	NEUBERGER BERMAN HIGH INCOME BOND F	-3,882.39	0.00	0.00	0.00	94.0	0.00
10/03/2017	CAMPBELL, CHARLES	NEUBERGER BERMAN HIGH INCOME BOND F	-447.42	0.00	0.00	0.00	94.0	0.00
10/03/2017	CAMPBELL, CHARLES	NORTHERN LTS FD TR IV FORMULA FOLIO	-11,709.98	4.50	3.41	1.09	94.0	1.02
10/03/2017	CAMPBELL, CHARLES	NORTHERN LTS FD TR IV FORMULA FOLIO	-1,097.58	4.50	3.38	1.12	94.0	1.05
09/28/2017	CAMPBELL, VICTORIA	PIMCO HIGH YIELD SPECTRUM FUND CLAS	-3,884.08	0.00	0.00	0.00	94.0	0.00
10/03/2017	CAMPBELL, CHARLES	PIMCO HIGH YIELD SPECTRUM FUND CLAS	-447.32	0.00	0.00	0.00	94.0	0.00
09/28/2017	CAMPBELL, VICTORIA	PRINCIPAL FUNDS HIGH YIELD II A	-3,904.33	0.00	0.00	0.00	94.0	0.00
10/03/2017	CAMPBELL, CHARLES	PRINCIPAL FUNDS HIGH YIELD II A	-448.15	0.00	0.00	0.00	94.0	0.00
09/28/2017	CAMPBELL, VICTORIA	PROFUNDS ULTRA MIDCAP INV	-911.02	0.00	0.00	0.00	94.0	0.00
09/28/2017	CAMPBELL, VICTORIA	REDWOOD MANAGED VOLATILITY FUND CLA	-23,374.54	0.00	3.30	-3.30	94.0	-3.10
10/03/2017	CAMPBELL, CHARLES	REDWOOD MANAGED VOLATILITY FUND CLA	-5,192.26	0.00	3.30	-3.30	94.0	-3.10
09/28/2017	CAMPBELL, VICTORIA	ULTRABULL-INV	-983.83	0.00	0.00	0.00	94.0	0.00
09/28/2017	CAMPBELL, VICTORIA	ULTRASMALL-CAP-INV	-927.54	0.00	0.00	0.00	94.0	0.00
09/28/2017	CAMPBELL, VICTORIA	VIRTUS SEIX HIGH INCOME FUND CLASS	-3,916.84	0.00	0.00	0.00	94.0	0.00
10/03/2017	CAMPBELL, CHARLES	VIRTUS SEIX HIGH INCOME FUND CLASS	-450.03	0.00	0.00	0.00	94.0	0.00
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$-145,757.60	\$79.93	\$60.55	\$19.38		\$18.21
<u>BROKER: M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR #277</u>								
09/15/2017	KISSIL, GEORGE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.63	0.00	0.63	94.0	0.59
09/15/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.33	0.00	1.33	94.0	1.25
09/15/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.98	0.00	3.98	94.0	3.74
08/31/2017	KISSIL, GEORGE	GRIFFIN INST'L ACCESS R E FUND	0.00	0.43	0.00	0.43	0.0	0.00
* M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR SUBTOTAL *			\$0.00	\$6.37	\$0.00	\$6.37		\$5.58

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
10/03/2017	CSD GCS HOLDINGS LLC	BLUEROCK GRAND DOMINION DST	3,088,788.13	9,652.46	0.00	9,652.46	94.0	9,073.31
10/04/2017	CSD FAIRWAYS HLDGS	BLUEROCK GRAND DOMINION DST	937,643.02	2,930.13	0.00	2,930.13	94.0	2,754.32
10/04/2017	FHL PARK CRESCENT	BLUEROCK GRAND DOMINION DST	3,285,772.24	10,268.04	0.00	10,268.04	94.0	9,651.96
08/31/2017	CSD GCS HOLDINGS LLC	INLAND GULF COAST IND'L DST	2,789,473.58	6,625.00	0.00	6,625.00	94.0	6,227.50
10/02/2017	FHL PARK CRESCENT	INLAND GULF COAST IND'L DST	650,390.53	1,544.68	0.00	1,544.68	94.0	1,452.00
10/02/2017	CSD FAIRWAYS HLDGS	INLAND GULF COAST IND'L DST	875,789.47	2,080.00	0.00	2,080.00	94.0	1,955.20
09/14/2017	CSD PARKLANDS	INLAND NATIONAL MF PF II DST	2,657,484.00	6,643.71	0.00	6,643.71	94.0	6,245.09
09/14/2017	AMD GV HOLDINGS LLC	INLAND NATIONAL MF PF II DST	6,063,577.00	15,158.94	0.00	15,158.94	94.0	14,249.40
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$20,348,917.97	\$54,902.96	\$0.00	\$54,902.96		\$51,608.78
*** SPLIT TRANSACTIONS TOTAL ***			\$21,110,792.54	\$68,438.52	\$93.89	\$68,344.63		\$64,243.50

OVERRIDE TRANSACTIONS**BROKER: ALAN LICHTENSTEIN #249**

09/18/2017	RUSSO REV TR, EUGENIE	GRIFFIN-AMERICAN HC REIT IV T	10,000.00	300.00	0.00	300.00	75.0	37.50
* ALAN LICHTENSTEIN SUBTOTAL *			\$10,000.00	\$300.00	\$0.00	\$300.00		\$37.50

BROKER: MARC LINSKY #263

09/30/2017	LINSKY, VARIOUS	LFG TRAILS	0.00	27,449.59	0.00	27,449.59	90.0	466.64
10/05/2017	LINSKY, VARIOUS	TRANSAMERICA	735.00	3.68	0.00	3.68	90.0	0.06
09/29/2017	LINSKY, MARC	ALLIANZ HIGH FIVE TRADITIONAL	118,752.59	296.88	0.00	296.88	90.0	5.05
09/29/2017	GALM, KATHLEEN	ALLIANZ HIGH FIVE TRADITIONAL	37,273.54	93.18	0.00	93.18	90.0	1.58
09/29/2017	HIRSHMAN, BETTY	ALLIANZ HIGH FIVE TRADITIONAL	291,822.23	729.56	0.00	729.56	90.0	12.40
09/29/2017	HAMBLETON, JOHN	ALLIANZ HIGH FIVE TRADITIONAL	75,989.66	189.97	0.00	189.97	90.0	3.23
09/29/2017	YANKOWITZ, HARRIETT	ALLIANZ HIGH FIVE TRADITIONAL	23,025.09	57.56	0.00	57.56	90.0	0.98
09/29/2017	DOLIN, RHODA	ALLIANZ HIGH FIVE TRADITIONAL	140,772.61	351.93	0.00	351.93	90.0	5.98
10/02/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	17.00	0.00	17.00	90.0	0.29
10/02/2017	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	10.00	0.00	10.00	90.0	0.17
10/02/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
09/18/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.12	0.00	5.12	90.0	0.09
09/18/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	20.66	0.00	20.66	90.0	0.35
10/02/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	90.0	0.29
/ /	GOLDBERG, SCOTT	ASCENSUS WVA AGE-BASED PF 12-13 A	0.00	3.69	0.00	3.69	90.0	0.06
09/28/2017	GOLDBERG, SCOTT	ASCENSUS WVA AGE-BASED PF 12-13 A	200.00	5.00	0.00	5.00	90.0	0.09

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<u>VERRIDE TRANSACTIONS</u>								
BROKER: MARC LINSKY #263								
09/29/2017	CIBELLI, JANE	AXA ACCUM 04 ELITE (RO IRA)	46,013.77	143.79	0.00	143.79	90.0	2.44
10/10/2017	DADINO JR, LAWRENCE	GENERAL MTRS ACCEP CORP FOR ISSUE S	-12,931.70	0.00	27.00	-27.00	90.0	-0.46
08/31/2017	ROSENBLUM, LUCILLE	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.53	0.00	45.53	90.0	0.77
10/03/2017	APPLEBAUM, ALVIN	GRIFFIN INST'L ACCESS R E FUND C	23,021.01	230.21	0.00	230.21	90.0	3.91
09/20/2017	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.13	0.00	5.13	90.0	0.09
09/29/2017	CZERWONKA, REMIGIA	HARTFORD LEADERS OUTLOOK (ILA)	16,027.36	40.07	0.00	40.07	90.0	0.68
09/29/2017	KROSNOWSKI, LISA	HARTFORD PHCM V	6,262.61	6.26	0.00	6.26	90.0	0.11
09/27/2017	JACKSON, SHIRLEY	ISHARES SILVER TR ISHARES	9,956.70	30.00	22.94	7.06	90.0	0.12
10/04/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
09/30/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	269,690.71	224.74	0.00	224.74	90.0	3.82
09/30/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	278,188.38	231.82	0.00	231.82	90.0	3.94
09/30/2017	NANI, JOSEPH	JNL PERSPECTIVE L	412,986.23	344.16	0.00	344.16	90.0	5.85
09/30/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	505,405.29	421.17	0.00	421.17	90.0	7.16
09/30/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	110,390.34	91.99	0.00	91.99	90.0	1.56
09/30/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,027.43	2.92	0.00	2.92	90.0	0.05
09/30/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	210,938.09	175.78	0.00	175.78	90.0	2.99
09/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	28.92	0.00	28.92	90.0	0.49
09/29/2017	APPLEBAUM, ALVIN	LORD ABBETT FUNDAMENTAL EQUITY A	17,011.02	850.55	22.00	828.55	90.0	14.09
09/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.56	0.00	15.56	90.0	0.26
09/26/2017	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	35.00	-22.00	-4.00	-18.00	90.0	-0.31
09/26/2017	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	-34.99	0.00	22.00	-22.00	90.0	-0.37
09/29/2017	APPLEBAUM, ALVIN	LORD ABBETT SHORT DURATION INCOME A	17,003.16	340.06	22.00	318.06	90.0	5.41
09/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	149.46	0.00	149.46	90.0	2.54
10/03/2017	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	81.43	0.00	81.43	90.0	1.38
10/09/2017	D'URSO, GIACOMO	PITNEY BOWES INC	-5,232.34	30.00	22.56	7.44	90.0	0.13
10/02/2017	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	4.08	0.00	4.08	90.0	0.07
10/02/2017	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	6.26	0.00	6.26	90.0	0.11
09/27/2017	JACKSON, SHIRLEY	SPDR GOLD TR GOLD SHS	10,017.04	30.00	22.50	7.50	90.0	0.13
09/28/2017	LINSKY, HARVEY	TIME WARNER INC NEW COM NEW	-1,644.69	30.00	22.50	7.50	90.0	0.13
09/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	115,658.81	96.38	0.00	96.38	90.0	1.64
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	4.57	0.00	4.57	90.0	0.08
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.46	0.00	0.46	90.0	0.01
09/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.13

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: MARC LINSKY #263</u>								
09/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
/ /	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	0.00	3.20	0.00	3.20	90.0	0.05
* MARC LINSKY SUBTOTAL *			\$2,734,134.95	\$32,936.82	\$179.50	\$32,757.32		\$556.87
<u>BROKER: ANDREW (ANDREAS) ZIGOURAS #282</u>								
10/03/2017	DAMIRIS, GEORGIOS	BIODELIVERY SCIENCES INTL INC COM	6,150.00	147.10	25.00	122.10	90.0	4.03
* ANDREW (ANDREAS) ZIGOURAS SUBTOTAL *			\$6,150.00	\$147.10	\$25.00	\$122.10		\$4.03
*** OVERRIDE TRANSACTIONS TOTAL ***			\$2,750,284.95	\$33,383.92	\$204.50	\$33,179.42		\$598.40

ADJUSTMENTS

10/15/2017	*AUDIT FEE: BRANCH EXAM	0.00	-216.68
10/15/2017	*AUDIT FEE: BRANCH EXAM NY	0.00	-478.67
10/15/2017	*EO INS: CURRENT MONTH INS	0.00	-45.00
10/15/2017	*EO INS: CURRENT MONTH INS	0.00	-300.00
10/15/2017	*EO INS: CURRENT MONTH-T THOMPSON	0.00	-45.00
10/15/2017	*EO INS: N ELIAS	0.00	-45.00
10/15/2017	*FEDERAL EXPRESS: 5-943-41655 9/27	0.00	-29.33
10/15/2017	*FEDERAL EXPRESS: 5-950-57448 10/4	0.00	-17.76
10/15/2017	*MARKETING LIBRARY: MARKETING LIBRA	0.00	-45.00
10/15/2017	*MISC: C FULMER, A LEEDS	0.00	-540.00
10/15/2017	*MISC: OVERNIGHT FEE	0.00	-10.00
10/15/2017	*MISC: ROY, AFLAC, 1031DST	0.00	-1,326.00
10/15/2017	*MISC: SARAH, FT L, 1031DST	0.00	-205.00
10/15/2017	*PERSHING: BOOKS & RECORDS	0.00	-0.68
10/15/2017	*PERSHING: BOOKS & RECORDS	0.00	-0.54
10/15/2017	*PERSHING: MARK UPS	0.00	4.50
10/15/2017	*PERSHING: MARK UPS	0.00	37.50
10/15/2017	*PERSHING: MARK UPS	0.00	81.00
10/15/2017	*PERSHING: MF PROSPECTUS	0.00	-0.47
10/15/2017	*PERSHING: MF PROSPECTUS	0.00	-0.56
10/15/2017	*SPLIT PAYOUT/OVERRIDE: BRANCH-KIES	0.00	-714.36
10/15/2017	*SPLIT PAYOUT/OVERRIDE: CHARLEY OVE	0.00	1.19

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<u>ADJUSTMENTS</u>								
10/15/2017		*SPLIT PAYOUT/OVERRIDE: KLETT-OVERR	0.00					2.85
10/15/2017		*SPLIT PAYOUT/OVERRIDE: LICHENSTEIN	0.00					0.68
10/15/2017		*SPLIT PAYOUT/OVERRIDE: LINSKY OVER	0.00					582.01
10/15/2017		*SPLIT PAYOUT/OVERRIDE: PECHA OVERR	0.00					258.08
10/15/2017		*SPLIT PAYOUT/OVERRIDE: PFLEGER-OVE	0.00					10,982.29
10/15/2017		*TECH: ADMIN FULMER	0.00					-25.00
10/15/2017		*TECH: ADMIN-AMY LEEDS	0.00					-33.00
10/15/2017		*TECH: CURRENT MONTH TECH	0.00					-41.25
10/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					-41.25
10/15/2017		*TECH: N ELIAS	0.00					-41.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$7,748.30

BROKER COMMISSION TOTALS

Broker Transactions	\$11.18
Split Transactions	\$64,243.50
Override Transactions	\$598.40
Adjustments	\$7,748.30
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$72,601.38
Year-to-date Gross	\$339,109.40
Year-to-date Earnings	\$336,170.03

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