

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	1,805.74	1,805.74	0.00	1,805.74	90.0	1,625.17
		* VARIABLE ANNUITIES SUBTOTAL *		\$1,805.74	\$1,805.74	\$0.00	\$1,805.74		\$1,625.17
<u>PRODUCT CATEGORY: RIA</u>									
07/19/2018	MCCRACKEN, KATHLEEN D	SIGNAL SECURITIES RIA	B	0.00	158.18	0.00	158.18	90.0	142.36
07/13/2018	11933608 MCADAMS, JOHN W	SIGNAL SECURITIES RIA	B	0.00	340.00	0.00	340.00	90.0	306.00
07/13/2018	12055626 GANTER, ELAINE M	SIGNAL SECURITIES RIA	B	0.00	888.00	0.00	888.00	90.0	799.20
07/13/2018	14459187 BROWN, PEGGY	SIGNAL SECURITIES RIA	B	0.00	94.67	0.00	94.67	90.0	85.20
07/13/2018	14607090 MANLEY, RORY	SIGNAL SECURITIES RIA	B	0.00	37.67	0.00	37.67	90.0	33.90
07/13/2018	17365377 LEFFORGE, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	100.33	0.00	100.33	90.0	90.30
07/20/2018	19010175 STATE FAIR PUB. INC.	SIGNAL SECURITIES RIA	B	0.00	155.66	0.00	155.66	90.0	140.09
07/13/2018	20011253 GLANCY, FRANCES M	SIGNAL SECURITIES RIA	B	0.00	63.33	0.00	63.33	90.0	57.00
07/13/2018	21441902 SANTOS, RAYMOND	SIGNAL SECURITIES RIA	B	0.00	889.00	0.00	889.00	90.0	800.10
07/13/2018	21575254 DOWNS LIV TRUST, WILLIAM/CAROLYN	SIGNAL SECURITIES RIA	B	0.00	1,245.00	0.00	1,245.00	90.0	1,120.50
07/13/2018	23275885 HUFFMAN, NANCY R.	SIGNAL SECURITIES RIA	B	0.00	207.00	0.00	207.00	90.0	186.30
07/13/2018	23601533 HUFFMAN, NANCY R.	SIGNAL SECURITIES RIA	B	0.00	565.00	0.00	565.00	90.0	508.50
07/13/2018	26419203 AHRING, DOROTHY J	SIGNAL SECURITIES RIA	B	0.00	924.00	0.00	924.00	90.0	831.60
07/13/2018	28060052 GRAY, ROCKFORD/SALLY	SIGNAL SECURITIES RIA	B	0.00	1,026.67	0.00	1,026.67	90.0	924.00
07/13/2018	28106533 LIKENS, LESLIE E	SIGNAL SECURITIES RIA	B	0.00	491.33	0.00	491.33	90.0	442.20
07/13/2018	28416413 BOWDON FAMILY FOUNDATION	SIGNAL SECURITIES RIA	B	0.00	2,983.00	0.00	2,983.00	90.0	2,684.70
07/13/2018	29733972 BOWERS, CINDA I	SIGNAL SECURITIES RIA	B	0.00	935.00	0.00	935.00	90.0	841.50
07/13/2018	30856518 JAYNE, DAVID JOE	SIGNAL SECURITIES RIA	B	0.00	86.33	0.00	86.33	90.0	77.70
07/13/2018	30889813 DALLAS CHRISTIAN CO	SIGNAL SECURITIES RIA	B	0.00	241.67	0.00	241.67	90.0	217.50
07/13/2018	30958570 DONNELL, MIKE	SIGNAL SECURITIES RIA	B	0.00	89.33	0.00	89.33	90.0	80.40
07/13/2018	31260927 FARMERS BR. CHAMBER, EDU. FUND	SIGNAL SECURITIES RIA	B	0.00	94.33	0.00	94.33	90.0	84.90
07/13/2018	32047427 COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	18.33	0.00	18.33	90.0	16.50
07/13/2018	34235945 HARSHAW, JOHN FREDERICK	SIGNAL SECURITIES RIA	B	0.00	122.33	0.00	122.33	90.0	110.10
07/13/2018	34663352 GLANCY, WILLIAM P.	SIGNAL SECURITIES RIA	B	0.00	41.67	0.00	41.67	90.0	37.50
07/13/2018	34739881 KENNY, STEPHEN O	SIGNAL SECURITIES RIA	B	0.00	219.33	0.00	219.33	90.0	197.40
07/13/2018	35296442 DAUM (SEPARATE PROP), JERRY F	SIGNAL SECURITIES RIA	B	0.00	53.67	0.00	53.67	90.0	48.30
07/13/2018	35962941 DOWNS, ALEXANDRA M	SIGNAL SECURITIES RIA	B	0.00	172.33	0.00	172.33	90.0	155.10
07/13/2018	37772604 DRONE, CRAIG A	SIGNAL SECURITIES RIA	B	0.00	245.67	0.00	245.67	90.0	221.10
07/13/2018	38803585 NEARY, GEORGE & LISA	SIGNAL SECURITIES RIA	B	0.00	79.33	0.00	79.33	90.0	71.40
07/13/2018	41000586 ZIELINSKI, THOMAS L	SIGNAL SECURITIES RIA	B	0.00	128.33	0.00	128.33	90.0	115.50
07/13/2018	42907147 JERNIGAN, KYLE	SIGNAL SECURITIES RIA	B	0.00	283.33	0.00	283.33	90.0	255.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/13/2018 43177248	ZIELINSKI, THOMAS L	SIGNAL SECURITIES RIA	B	0.00	631.67	0.00	631.67	90.0	568.50
07/13/2018 44412032	GETZ, RENEE E	SIGNAL SECURITIES RIA	B	0.00	198.67	0.00	198.67	90.0	178.80
07/13/2018 47335624	HANSEN, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	249.00	0.00	249.00	90.0	224.10
07/13/2018 50550606	LIKENS, LESLIE E	SIGNAL SECURITIES RIA	B	0.00	304.00	0.00	304.00	90.0	273.60
07/13/2018 51058096	PENN, JR, ROLAND E	SIGNAL SECURITIES RIA	B	0.00	325.33	0.00	325.33	90.0	292.80
07/13/2018 51666847	WILSON, JOHN DOUGLAS	SIGNAL SECURITIES RIA	B	0.00	842.33	0.00	842.33	90.0	758.10
07/13/2018 52129314	WAMRE FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	448.00	0.00	448.00	90.0	403.20
07/13/2018 52178933	MARTINIE, GARY & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	34.00	0.00	34.00	90.0	30.60
07/13/2018 53917162	MCCASH, GARY & SUZETTE	SIGNAL SECURITIES RIA	B	0.00	685.33	0.00	685.33	90.0	616.80
07/13/2018 54113355	DEE, EDWARD & RUTH	SIGNAL SECURITIES RIA	B	0.00	649.67	0.00	649.67	90.0	584.70
07/13/2018 54825568	NEARY, GEORGE & LISA	SIGNAL SECURITIES RIA	B	0.00	262.67	0.00	262.67	90.0	236.40
07/13/2018 55524343	MCGEEHON, DANIEL L	SIGNAL SECURITIES RIA	B	0.00	510.67	0.00	510.67	90.0	459.60
07/13/2018 56699985	LIKENS, KEVIN A	SIGNAL SECURITIES RIA	B	0.00	412.67	0.00	412.67	90.0	371.40
07/13/2018 57714569	DAVENPORT, CURTIS/SUSAN	SIGNAL SECURITIES RIA	B	0.00	956.00	0.00	956.00	90.0	860.40
07/13/2018 57788308	YAZELL, KIMBERLY A	SIGNAL SECURITIES RIA	B	0.00	606.00	0.00	606.00	90.0	545.40
07/13/2018 58441030	HARSHAW, JOHN	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	90.0	12.00
07/13/2018 59251730	GANTER, ELAINE M	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
07/13/2018 60681399	HERING, KAREN	SIGNAL SECURITIES RIA	B	0.00	574.67	0.00	574.67	90.0	517.20
07/13/2018 61024092	HADDIX, CAROLINE E	SIGNAL SECURITIES RIA	B	0.00	708.00	0.00	708.00	90.0	637.20
07/13/2018 63201352	MANLEY, KERRYANN K	SIGNAL SECURITIES RIA	B	0.00	71.00	0.00	71.00	90.0	63.90
07/13/2018 68409444	TURNER, LYNN D	SIGNAL SECURITIES RIA	B	0.00	566.67	0.00	566.67	90.0	510.00
07/13/2018 68570849	BLAIR, BRENDA	SIGNAL SECURITIES RIA	B	0.00	110.67	0.00	110.67	90.0	99.60
07/13/2018 68675276	BLAIR BYPASS TRUST	SIGNAL SECURITIES RIA	B	0.00	473.00	0.00	473.00	90.0	425.70
07/13/2018 70913435	BOWDON REV TRUST	SIGNAL SECURITIES RIA	B	0.00	497.00	0.00	497.00	90.0	447.30
07/13/2018 71239113	TURNER, J DWIGHT	SIGNAL SECURITIES RIA	B	0.00	154.33	0.00	154.33	90.0	138.90
07/13/2018 71695806	CHRISTIE, Nanci M	SIGNAL SECURITIES RIA	B	0.00	84.67	0.00	84.67	90.0	76.20
07/13/2018 72285366	JOHNSON, ERIC W	SIGNAL SECURITIES RIA	B	0.00	166.67	0.00	166.67	90.0	150.00
07/13/2018 73298229	KENNY, STEPHEN O	SIGNAL SECURITIES RIA	B	0.00	230.67	0.00	230.67	90.0	207.60
07/13/2018 73451449	RALPH, MARK ALAN	SIGNAL SECURITIES RIA	B	0.00	519.33	0.00	519.33	90.0	467.40
07/13/2018 75602403	MANLEY, ROSS / KERRYANN	SIGNAL SECURITIES RIA	B	0.00	284.67	0.00	284.67	90.0	256.20
07/13/2018 80644541	TURNER, RITA	SIGNAL SECURITIES RIA	B	0.00	250.67	0.00	250.67	90.0	225.60
07/13/2018 80920481	PEIRSON, WILLIAM/CHRIS	SIGNAL SECURITIES RIA	B	0.00	1,498.00	0.00	1,498.00	90.0	1,348.20
07/13/2018 81013153	BOWDON, BOBBYE J	SIGNAL SECURITIES RIA	B	0.00	235.33	0.00	235.33	90.0	211.80
07/13/2018 81290736	SICKLER, LEE/CHRIS	SIGNAL SECURITIES RIA	B	0.00	122.33	0.00	122.33	90.0	110.10
07/13/2018 81769883	GATLIN, EDWARD E	SIGNAL SECURITIES RIA	B	0.00	128.00	0.00	128.00	90.0	115.20

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
07/13/2018	81877335	WAMRE, RICK & SALLY	SIGNAL SECURITIES RIA	B	0.00	888.67	0.00	888.67	90.0	799.80
07/13/2018	83450493	GLANCY, WILLIAM P.	SIGNAL SECURITIES RIA	B	0.00	368.00	0.00	368.00	90.0	331.20
07/13/2018	83813956	COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	191.33	0.00	191.33	90.0	172.20
07/13/2018	83898089	MJG PARTNERS LP	SIGNAL SECURITIES RIA	B	0.00	4,439.00	0.00	4,439.00	90.0	3,995.10
07/13/2018	85289265	KLEIN, SHEILA M	SIGNAL SECURITIES RIA	B	0.00	357.33	0.00	357.33	90.0	321.60
07/13/2018	85475534	DOWNS, WILLIAM M	SIGNAL SECURITIES RIA	B	0.00	157.00	0.00	157.00	90.0	141.30
07/13/2018	85534947	MARTINIE, GARY & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	638.00	0.00	638.00	90.0	574.20
07/13/2018	87804016	LEWIS, JR, JACK F	SIGNAL SECURITIES RIA	B	0.00	62.33	0.00	62.33	90.0	56.10
07/13/2018	88575440	LOHMAN, REBECCA LYNN	SIGNAL SECURITIES RIA	B	0.00	241.33	0.00	241.33	90.0	217.20
07/13/2018	90630517	GLANCY, FRANCES M	SIGNAL SECURITIES RIA	B	0.00	65.67	0.00	65.67	90.0	59.10
07/13/2018	90750567	VEGA, ROY (RAUL)	SIGNAL SECURITIES RIA	B	0.00	3,406.00	0.00	3,406.00	90.0	3,065.40
07/13/2018	90906864	SAVAGE, JANICE	SIGNAL SECURITIES RIA	B	0.00	509.33	0.00	509.33	90.0	458.40
07/13/2018	91566568	BOWDON, KENNETH S	SIGNAL SECURITIES RIA	B	0.00	369.00	0.00	369.00	90.0	332.10
07/13/2018	93139099	CHAPMAN, JOE & CARMEN	SIGNAL SECURITIES RIA	B	0.00	717.00	0.00	717.00	90.0	645.30
07/13/2018	93542283	BROWN, PEGGY	SIGNAL SECURITIES RIA	B	0.00	390.00	0.00	390.00	90.0	351.00
07/13/2018	96116390	HARSHAW, JOHN FREDERICK	SIGNAL SECURITIES RIA	B	0.00	437.00	0.00	437.00	90.0	393.30
07/13/2018	98023364	MANLEY, ROSS / KERRYANN	SIGNAL SECURITIES RIA	B	0.00	71.00	0.00	71.00	90.0	63.90
07/13/2018	98899816	STRICKLIN, DONNA A	SIGNAL SECURITIES RIA	B	0.00	377.67	0.00	377.67	90.0	339.90
07/13/2018	98935139	ROBERTS, ROBERT M	SIGNAL SECURITIES RIA	B	0.00	103.67	0.00	103.67	90.0	93.30
07/13/2018	99550650	KLEIN, RANDY & SHEILA	SIGNAL SECURITIES RIA	B	0.00	52.00	0.00	52.00	90.0	46.80
07/13/2018	99600192	COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	49.00	0.00	49.00	90.0	44.10
* RIA SUBTOTAL *					\$0.00	\$40,689.17	\$0.00	\$40,689.17		\$36,620.25
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
08/01/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES			0.00	9.36	0.00	9.36	90.0	8.42
08/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES			0.00	25.22	0.00	25.22	90.0	22.70
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$34.58	\$0.00	\$34.58		\$31.12
*** BROKER TRANSACTIONS TOTAL ***					\$4,608.27	\$43,054.55	\$0.00	\$43,054.55		\$38,749.08

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

08/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$38,749.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$38,721.58
Year-to-date Earnings	\$328,502.08