

# STATEMENT

March 20, 2018

KENNETH STOKES  
29 Central Ave  
Unit 2  
Montclair, NJ 07042-

BROKER #: 034  
BRANCH: LA BRANCH

## COMMISSION STATEMENT for KENNETH STOKES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS</b>										
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>										
01/01/0018	#0051922870 PAI TRUST	BLCKRCK	B	0.00	13.49	0.00	0.00	13.49	85.0	11.47
01/01/0018	#0051666527 JONATHAN P	BLCKRCK	B	0.00	0.14	0.00	0.00	0.14	85.0	0.12
01/01/0018	#0051922870 PAI TRUST	BLCKRCK	B	0.00	13.49	0.00	0.00	13.49	85.0	11.47
01/01/0018	#07273002658 PLAN-ZAM-T	CORE FIXED INCOME A	B	0.00	6.17	0.00	0.00	6.17	85.0	5.24
01/01/0018	#090227279239 &TRU 401K	FEDERATED CLOVER VAL	B	0.00	158.48	0.00	0.00	158.48	85.0	134.71
01/01/0018	#090227279239 &TRU 401K	FEDERATED CLOVER VAL	B	0.00	158.48	0.00	0.00	158.48	85.0	134.71
01/01/0018	#090271220739 &TRU 401K	FEDERATED EQUITY	B	0.00	709.65	0.00	0.00	709.65	85.0	603.20
01/01/0018	#090243637842 &TRU 401K	FEDERATED EQUITY FUNDS KAUFMAN FUND B	B	0.00	672.37	0.00	0.00	672.37	85.0	571.51
01/01/0018	#090278216416 &TRU 401K	FEDERATED GLOBAL	B	0.00	453.57	0.00	0.00	453.57	85.0	385.53
01/01/0018	#090286299551 &TRU 401K	FEDERATED KAUFMANN SMALL CAP FUND C B	B	0.00	372.64	0.00	0.00	372.64	85.0	316.74
01/01/0018	#090277217199 &TRU 401K	FEDERATED MAX-CAP	B	0.00	620.58	0.00	0.00	620.58	85.0	527.49
01/01/0018	#090227279239 &TRU 401K	FEDERATED SECURITIES CORP	B	0.00	451.83	0.00	0.00	451.83	85.0	384.06
01/01/0018	#090302278207 &TRU 401K	FEDERATED TOTAL RETURN CL A	B	0.00	242.03	0.00	0.00	242.03	85.0	205.73
01/01/0018	#090264228874 &TRU 401K	FEDERATED U.S.	B	0.00	338.10	0.00	0.00	338.10	85.0	287.39
01/01/0018	#090209037389 &TRU 401K	FEDERATEED KAUF	B	0.00	298.99	0.00	0.00	298.99	85.0	254.14
01/01/0018	#07333509841 PLAN-ZAM-T	GROWTH LEADERS	B	0.00	27.01	0.00	0.00	27.01	85.0	22.96
01/01/0018	#07259010288 PLAN-ZAM-T	LORD ABBETT BALANCED STRATEGY FUND B	B	0.00	13.08	0.00	0.00	13.08	85.0	11.12
01/01/0018	#07262032056 PLAN-ZAM-T	LORD ABBETT FUNDAMENTAL EQUITY FD C B	B	0.00	24.10	0.00	0.00	24.10	85.0	20.49
01/01/0018	#07141809133 DBA OUR FAMILY	LORD ABBETT SECS TR INTL CORE EQUIT B	B	0.00	14.91	0.00	0.00	14.91	85.0	12.67
01/01/0018	#07580014716 DBA OUR FAMILY	LORD ABBETT SECS TR VALUE OPPTY FD B	B	0.00	21.33	0.00	0.00	21.33	85.0	18.13
01/01/0018	#070344107084 MSDS-CONSU	NATIONWIDE FUNDS	B	0.00	24.61	0.00	0.00	24.61	85.0	20.92
01/01/0018	#07275008294 PLAN-ZAM-T	TOTAL RETURN A	B	0.00	6.50	0.00	0.00	6.50	85.0	5.53
12/31/2017	#51666527 JONATHAN P	BLCKRCK	B	0.00	0.11	0.00	0.00	0.11	85.0	0.09
12/31/2017	#51922870 PAI TRUST	BLCKRCK	B	0.00	13.48	0.00	0.00	13.48	85.0	11.46
12/31/2017	#51922870 PAI TRUST	BLCKRCK	B	0.00	13.48	0.00	0.00	13.48	85.0	11.46
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$4,668.62</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$4,668.62</b>		<b>\$3,968.34</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$0.00</b>	<b>\$4,668.62</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$4,668.62</b>		<b>\$3,968.34</b>

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ADJUSTMENTS

03/20/2018		ADMIN FEE: ADMIN FEE								-390.00
03/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
*** ADJUSTMENTS TOTAL ***										<u>\$-569.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$3,968.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<u>\$3,399.34</u>
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