

**COMMISSION STATEMENT****August 31, 2018**

ROBERT, L117 LUCHSINGER
600 Johnson Ave.
Suite B5
Bohemia, NY 11716

BROKER #: L117
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
08/20/2018	PATTERSON, BARBARA	CHENIERE ENERGY INC COM NEW	-490.64	30.00	22.50	7.50	95.0	7.13
08/20/2018	PATTERSON, BARBARA	MORGAN STANLEY COM NEW	-531.20	30.00	22.50	7.50	95.0	7.13
08/20/2018	PATTERSON, BARBARA	S&P GLOBAL INC COM	-609.76	30.00	22.50	7.50	95.0	7.13
08/20/2018	PATTERSON, BARBARA	WELLS FARGO & CO NEW COM	-1,710.46	30.00	22.50	7.50	95.0	7.13
* STOCKS SUBTOTAL *			\$-3,342.06	\$120.00	\$90.00	\$30.00		\$28.52
*** CLEARING TRANSACTIONS TOTAL ***			\$-3,342.06	\$120.00	\$90.00	\$30.00		\$28.52

<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
08/10/2018	HAZEN, JAMES	PACIFIC LIFE FUNDS	131.73	1.32	0.00	1.32	95.0	1.25
08/13/2018	HAZEN, JAMES	PACIFIC LIFE FUNDS	147.30	1.47	0.00	1.47	95.0	1.40
08/02/2018	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	100.00	1.12	0.00	1.12	95.0	1.06
08/06/2018	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
08/13/2018	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
08/13/2018	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
08/06/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
08/06/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
08/13/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
08/13/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
/ /	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	0.00	0.67	0.00	0.67	95.0	0.64
08/06/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
08/13/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
08/13/2018	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
/ /	PRYDETOKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.45	0.00	0.45	95.0	0.43
08/06/2018	PRYDETOKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/13/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
08/13/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
/ /	ALBANESE, MICHAEL	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	1.00	0.00	1.00	95.0	0.95
08/03/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	1,000.00	1.12	0.00	1.12	95.0	1.06
08/06/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
08/13/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
08/13/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
08/02/2018	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	1.56	0.00	1.56	95.0	1.48
08/02/2018	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	3.10	0.00	3.10	95.0	2.95
* MUTUAL FUNDS SUBTOTAL *			\$1,997.59	\$21.73	\$0.00	\$21.73		\$20.61
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/17/2018	MOLNAR, J S KIP	JNL 468511134	5,000.00	225.00	0.00	225.00	95.0	213.75
08/17/2018	GIGUERE, ELAINE	JNL 468511134	3,000.00	135.00	0.00	135.00	95.0	128.25
08/10/2018	FLANAGAN, BARBARA	JNL 468511142	7,000.00	87.50	0.00	87.50	95.0	83.13
08/10/2018	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	1,500.00	82.50	0.00	82.50	95.0	78.38
* VARIABLE ANNUITIES SUBTOTAL *			\$16,500.00	\$530.00	\$0.00	\$530.00		\$503.51
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/03/2018	GREVE, DONNA	COLUMBIA ACORN A	0.00	4.35	0.00	4.35	95.0	4.13
08/03/2018	GREVE, DONNA	COLUMBIA DISCIPLINED GROWTH FUND-A	0.00	4.27	0.00	4.27	95.0	4.06
08/03/2018	GREVE, DONNA	COLUMBIA EQUITY VALUE A	0.00	4.84	0.00	4.84	95.0	4.60
08/03/2018	LUCHSINGER, ELIZABETH	COLUMBIA GREATER CHINA A	0.00	5.39	0.00	5.39	95.0	5.12
08/03/2018	GREVE, DONNA	COLUMBIA LARGECAP GROWTH A	0.00	4.21	0.00	4.21	95.0	4.00
08/03/2018	GREVE UTMA NY, PATRICK	COLUMBIA LARGECAP GROWTH FUND-V	0.00	0.00	0.00	0.00	95.0	0.00
08/03/2018	GREVE UTMA NY, GEORGE	COLUMBIA LARGECAP GROWTH FUND-V	0.00	0.00	0.00	0.00	95.0	0.00
08/03/2018	532 COUNTY RT 111	COLUMBIA LG VALUE QUANTITATIVE T	0.00	0.00	0.00	0.00	95.0	0.00
08/03/2018	LUCHSINGER, ELIZABETH	COLUMBIA MIDCAP VALUE A	0.00	4.48	0.00	4.48	95.0	4.26
08/03/2018	GREVE, DONNA	COLUMBIA SELIGMAN COMM AND INFO A	0.00	3.91	0.00	3.91	95.0	3.71
08/03/2018	GREVE, DONNA	COLUMBIA SELIGMAN GLOBAL TECH A	0.00	3.88	0.00	3.88	95.0	3.69
08/03/2018	BREITFELLER, CYNTHIA	COLUMBIA STRATEGIC INCOME A	0.00	0.42	0.00	0.42	95.0	0.40
07/31/2018	HANAK, EMMA	EATON VANCE NATIONAL MUNICIPAL INCO	0.00	45.25	0.00	45.25	95.0	42.99
08/02/2018	BREITFELLER, CYNTHIA	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	0.23	0.00	0.23	95.0	0.22
08/02/2018	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	1.82	0.00	1.82	95.0	1.73

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/02/2018	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.49	0.00	1.49	95.0	1.42
08/02/2018	ROBERTO, JOSEPH	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	6.78	0.00	6.78	95.0	6.44
08/02/2018	CASKIN, KENNETH	SUNAMERICA FOCUSED MULTI ASSET A	0.00	8.08	0.00	8.08	95.0	7.68
08/02/2018	BREITFELLER, CYNTHIA	SUNAMERICA SENIOR FLOATING RATE A	0.00	0.82	0.00	0.82	95.0	0.78
08/02/2018	SEAMAN, PATRICIA	SUNAMERICA US GOVT SECURITIES CL A	0.00	6.37	0.00	6.37	95.0	6.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$106.59	\$0.00	\$106.59		\$101.28
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
08/06/2018	PATTERSON, BARBARA	PAC LIFE INNOVATIVE SELECT	0.00	730.04	0.00	730.04	95.0	693.54
08/06/2018	OHNGEMACH, ROBERT	PAC LIFE INNOVATIVE SELECT	0.00	71.25	0.00	71.25	95.0	67.69
08/06/2018	HANDLER, EMMA	PAC LIFE INNOVATIVE SELECT	0.00	175.15	0.00	175.15	95.0	166.39
07/30/2018	DEITSCH, PAUL	PAC LIFE INNOVATIVE SELECT NY	0.00	287.90	0.00	287.90	95.0	273.51
07/30/2018	GUARINO, JOAN	PAC LIFE INNOVATIVE SELECT NY	0.00	1,073.69	0.00	1,073.69	95.0	1,020.01
08/01/2018	KUDLEK, ARTHUR	PAC LIFE INNOVATIVE SELECT NY	0.00	545.37	0.00	545.37	95.0	518.10
08/09/2018	MANNETTA, VIRGINIA	PAC LIFE INNOVATIVE SELECT NY	0.00	256.74	0.00	256.74	95.0	243.90
08/09/2018	BURKHARD, BARBARA	PAC LIFE INNOVATIVE SELECT NY	0.00	422.08	0.00	422.08	95.0	400.98
08/09/2018	FOURNIER, ELIZABETH	PAC LIFE INNOVATIVE SELECT NY	0.00	333.32	0.00	333.32	95.0	316.65
08/10/2018	HANAK, SUSAN	PAC LIFE INNOVATIVE SELECT NY	0.00	310.69	0.00	310.69	95.0	295.16
08/08/2018	NTC & CO	PACIFIC CHOICE	0.00	7,560.00	0.00	7,560.00	95.0	7,182.00
07/07/2018	SALANITRO, ISABEL	TRANSAMERICA LIBERTY	0.00	5.38	0.00	5.38	95.0	5.11
07/13/2018	PUMA, ANN	TRANSAMERICA LIBERTY	0.00	29.95	0.00	29.95	95.0	28.45
07/15/2018	MAZZA, JOSEPH	TRANSAMERICA LIBERTY	0.00	95.15	0.00	95.15	95.0	90.39
07/30/2018	LUCHSINGER, JANE	TRANSAMERICA LIBERTY	0.00	12.15	0.00	12.15	95.0	11.54
07/04/2018	STRYJEWSKI, DONALD	TRANSAMERICA LIFE 89353J666	0.00	49.29	0.00	49.29	95.0	46.83
07/17/2018	KNAACK, PATRICIA	TRANSAMERICA LIFE 89353J666	0.00	162.29	0.00	162.29	95.0	154.18
07/29/2018	ROARKE, DENNIS	TRANSAMERICA LIFE 89353J666	0.00	13.14	0.00	13.14	95.0	12.48
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$12,133.58	\$0.00	\$12,133.58		\$11,526.91
*** DIRECT TRANSACTIONS TOTAL ***			\$18,497.59	\$12,791.90	\$0.00	\$12,791.90		\$12,152.31

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: ROBERT/NANCY L149 LUCHSINGER/NEVIN #388</u>								
08/02/2018	GORMAN, LORAINÉ	ING EMPIRE INNOVATIONS STD OPT A	95,435.51	119.30	0.00	119.30	95.0	113.34
08/13/2018	TIERNAN, JOAN	ING EMPIRE INNOVATIONS STD OPT A	100,817.80	126.02	0.00	126.02	95.0	119.72
08/02/2018	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	1.40	0.00	1.40	95.0	1.33
08/02/2018	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.19	0.00	1.19	95.0	1.13
08/02/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	7.37	0.00	7.37	95.0	7.00
08/02/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	0.97	0.00	0.97	95.0	0.92
08/02/2018	TIERNAN, JOAN	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	0.85	0.00	0.85	95.0	0.81
08/02/2018	TIERNAN, JOAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	0.72	0.00	0.72	95.0	0.68
* ROBERT/NANCY L149 LUCHSINGER/NEVIN SUBTOTAL *			\$196,253.31	\$257.82	\$0.00	\$257.82		\$244.93
*** SPLIT TRANSACTIONS TOTAL ***			\$196,253.31	\$257.82	\$0.00	\$257.82		\$244.93

BROKER COMMISSION TOTALS

Broker Transactions	\$12,180.83
Split Transactions	\$244.93
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,425.76
Year-to-date Gross	\$170,462.75
Year-to-date Earnings	\$168,430.07

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