



Concorde Investment Services, LLC

12/15/2017

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# COMMISSION STATEMENT

December 15, 2017

DAVID KOTZ  
601 Mine Brook Rd

BROKER #: K135 Terminated 05/04/2017  
BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/09/2017	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.53	0.00	4.53	0.0	0.00
11/09/2017	NAGY, ZOLLAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.01	0.00	1.01	0.0	0.00
11/09/2017	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.30	0.00	10.30	0.0	0.00
11/09/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	26.93	0.00	26.93	0.0	0.00
11/09/2017	WHITTLESEY, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.49	0.00	9.49	0.0	0.00
11/09/2017	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.09	0.00	3.09	0.0	0.00
11/09/2017	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.93	0.00	0.93	0.0	0.00
11/09/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.75	0.00	2.75	0.0	0.00
11/06/2017	VON DER LINDE, LAURA	BOND FD AMER INC SHS CL F 2	5,491.11	0.00	0.00	0.00	0.0	0.00
11/09/2017	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.86	0.00	1.86	0.0	0.00
11/09/2017	NAGY, ZOLLAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.56	0.00	2.56	0.0	0.00
11/09/2017	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	2.10	0.00	2.10	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$5,491.11	\$65.55	\$0.00	\$65.55		\$0.00
<b><u>PRODUCT CATEGORY: BONDS</u></b>								
11/08/2017	MARGOLIN, BRUCE	OYSTER BAY N Y PUB IMPT BDS	25,502.50	28.00	28.00	0.00	0.0	0.00
* BONDS SUBTOTAL *			\$25,502.50	\$28.00	\$28.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	SHELLEY, SUZANNE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	34.98	0.00	34.98	0.0	0.00
12/01/2017	SHELLEY, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	205.11	0.00	205.11	0.0	0.00
12/01/2017	SHELLEY, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	389.33	0.00	389.33	0.0	0.00
12/01/2017	MILESニック, SCOTT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	112.89	0.00	112.89	0.0	0.00
12/01/2017	MILESニック, SCOTT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	58.68	0.00	58.68	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$800.99	\$0.00	\$800.99		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$30,993.61	\$894.54	\$28.00	\$866.54		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
12/15/2017		*MISC: WRITE OFF PRIOR PERIOD BALAN	0.00					-51.10
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-51.10

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-51.10
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$51.10
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$9,837.26</b>
<b>Year-to-date Earnings</b>	<b>\$3,866.34</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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