



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
 July 15, 2017

07/12/2017  
 Page No. 1

MARK-S141 REG SMITH

BROKER #: S141  
 BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/09/2017	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	3.89	0.00	3.89	48.0	1.87
06/09/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
06/09/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
06/09/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.29	0.00	6.29	48.0	3.02
06/09/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.45	0.00	3.45	48.0	1.66
06/09/2017	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.50	0.00	1.50	48.0	0.72
06/09/2017	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	3.78	0.00	3.78	48.0	1.81
06/09/2017	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.07
06/09/2017	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.07
06/09/2017	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.49	0.00	2.49	48.0	1.20
06/09/2017	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.30	0.00	3.30	48.0	1.58
06/09/2017	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
06/09/2017	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
06/09/2017	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	48.0	0.09
06/09/2017	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	48.0	0.09
/ /	ORR, RICHARD	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	5.16	0.00	5.16	48.0	2.48
06/09/2017	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.98	0.00	0.98	48.0	0.47
07/03/2017	HORNE, CHARLES	PUTNAM EQUITY INCOME A	0.00	3.01	0.00	3.01	48.0	1.44
07/03/2017	HORNE, CHARLES	PUTNAM GLOBAL HEALTH CARE A	0.00	3.03	0.00	3.03	48.0	1.45
07/03/2017	HORNE, CHARLES	PUTNAM HIGH YIELD ADVANTAGE A	0.00	1.44	0.00	1.44	48.0	0.69
07/03/2017	HORNE, CHARLES	PUTNAM HIGH YIELD TRUST A	0.00	0.85	0.00	0.85	48.0	0.41
06/09/2017	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.83	0.00	0.83	48.0	0.40
07/03/2017	HORNE, CHARLES	PUTNAM MULTI-CAP VALUE A	0.00	2.79	0.00	2.79	48.0	1.34
07/03/2017	AARY, HARLEY	PUTNAM SMALL CAP GROWTH-A	0.00	0.28	0.00	0.28	48.0	0.13
07/03/2017	HORNE, CHARLES	PUTNAM SMALL CAP VALUE A	0.00	0.98	0.00	0.98	48.0	0.47
07/03/2017	HORNE, CHARLES	PUTNAM U.S. GOVT INCOME TR-A	0.00	0.48	0.00	0.48	48.0	0.23

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	BONGIORNO, JANET	RYDEX BIOTECHNOLOGY A	0.00	0.04	0.00	0.04	48.0	0.02
/ /	BONGIORNO, JANET	RYDEX GUGG REAL ESTATE A	0.00	0.09	0.00	0.09	48.0	0.04
/ /	BONGIORNO, JANET	RYDEX GUGGENHEIM UTILITIES A	0.00	0.07	0.00	0.07	48.0	0.03
/ /	BONGIORNO, JANET	RYDEX HEALTH CARE-A	0.00	0.04	0.00	0.04	48.0	0.02
07/05/2017	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	10.74	0.00	10.74	48.0	5.16
07/05/2017	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.22	0.00	4.22	48.0	2.03
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$60.71	\$0.00	\$60.71		\$29.13
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	WU, PEILI	AXA 00246Q312	115,653.83	289.13	0.00	289.13	48.0	138.78
06/30/2017	GODWIN, SANDRA	HARTFORD PHCM V	13,842.21	13.84	0.00	13.84	48.0	6.64
06/30/2017	RHODES, VIRGINIA	JNL ELITE ACCESS	73,525.40	15.32	0.00	15.32	48.0	7.35
06/30/2017	NOBLES, VERLIE	JNL PERSPECTIVE L	30,335.90	32.86	0.00	32.86	48.0	15.77
06/30/2017	SPURGIN, BRENT	JNL PERSPECTIVE L	37,429.67	40.55	0.00	40.55	48.0	19.46
06/30/2017	GODWIN, SANDRA	JNL PERSPECTIVE L	146,918.93	159.16	0.00	159.16	48.0	76.40
06/30/2017	WALLACE, DEBORREH	JNL PERSPECTIVE L	80,472.72	67.06	0.00	67.06	48.0	32.19
06/30/2017	NOBLES, VERLIE	JOHN HANCOCK VENTURE III	28,640.56	23.87	0.00	23.87	48.0	11.46
06/30/2017	JEFFERS, LARRY	PRU AS APEX II	116,697.85	72.94	0.00	72.94	48.0	35.01
06/30/2017	JEFFERS, LARRY	PRU AS APEX II	116,697.85	291.74	0.00	291.74	48.0	140.04
06/29/2017	BURNS, ANNE	SEC BEN 814121158	36,894.52	30.73	0.00	30.73	48.0	14.75
* VARIABLE ANNUITIES SUBTOTAL *			\$797,109.44	\$1,037.20	\$0.00	\$1,037.20		\$497.85
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
06/30/2017	WISE, LUCILE	LFG TRAILS	0.00	231.68	0.00	231.68	48.0	111.21
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$231.68	\$0.00	\$231.68		\$111.21
*** BROKER TRANSACTIONS TOTAL ***			\$797,109.44	\$1,329.59	\$0.00	\$1,329.59		\$638.19

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
07/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$638.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-488.86
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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Balance Forward	\$-425.67
Year-to-date Gross	\$3,626.55
Year-to-date Earnings	\$1,740.70

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