

COMMISSION STATEMENT

October 31, 2017

EZRA GRAYMAN
7 EDGEWOOD AVEBROKER #: 27
BRANCH: HOME OFFICE

SPRINGFIELD, NJ 07081

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/20/2017	QGV001035	JPMORGAN CLEARING		PUBLIC STORAGE DEP SHS REPSTG 1/100 B	418,339.41	165.88	10.00	155.88	50.0	77.94
10/04/2017	QGV005713	MORGAN STANLEY		SEQUANS COMMUNICATIONS S A SPONS AD B	200,000.00	3,000.00	10.00	2,990.00	50.0	1,495.00
10/17/2017	QGV005713	MORGAN STANLEY		FEDERAL NATL MTG ASSN PFD SER T DIV S	-130,400.00	200.00	10.00	190.00	50.0	95.00
09/28/2017	QGV006216	LION		ADVANCED MICRO DEVICES INC B	634,955.00	200.00	10.00	190.00	50.0	95.00
09/28/2017	QGV006216	LION		CLOUDERA INC COM S	-5,250.00	20.00	10.00	10.00	50.0	5.00
09/28/2017	QGV006216	LION		DECIPHERA PHARMACEUTICALS INC COM S	-20,050.00	20.00	10.00	10.00	50.0	5.00
09/28/2017	QGV006216	LION		INTRA-CELLULAR THERAPIES INC COM S	-153,300.00	100.00	10.00	90.00	50.0	45.00
09/28/2017	QGV006216	LION		NIGHTSTAR THERAPEUTICS LIMITED SHS S	-81,937.50	47.50	10.00	37.50	50.0	18.75
09/28/2017	QGV006216	LION		NUCANA PLC SPONS ADR REPSTG ORD SHS S	-17,050.00	20.00	10.00	10.00	50.0	5.00
09/28/2017	QGV006216	LION		ROKU INC CLASS A COMMON STOCK S	-29,982.00	20.00	10.00	10.00	50.0	5.00
09/29/2017	QGV006216	LION		COSTAR GROUP INC S	-409,320.00	75.80	10.00	65.80	50.0	32.90
09/29/2017	QGV006216	LION		COSTAR GROUP INC B	263,500.00	20.00	10.00	10.00	50.0	5.00
09/29/2017	QGV006216	LION		JAGUAR HEALTH INC COM B	35,000.00	1,225.00	10.00	1,215.00	50.0	607.50
09/29/2017	QGV006216	LION		JAGUAR HEALTH INC COM S	-42,892.50	500.00	10.00	490.00	50.0	245.00
09/29/2017	QGV006216	LION		PQ GROUP HLDGS INC COM S	-34,000.00	20.00	10.00	10.00	50.0	5.00
09/29/2017	QGV006216	LION		YUMA ENERGY INC NEW COM B	5,100.00	50.00	10.00	40.00	50.0	20.00
10/02/2017	QGV006216	LION		JAGUAR HEALTH INC COM S	-6,125.00	100.00	10.00	90.00	50.0	45.00
10/03/2017	QGV006216	LION		JAGUAR HEALTH INC COM S	-25,056.00	200.00	10.00	190.00	50.0	95.00
10/03/2017	QGV006216	LION		TRONOX LTD SHS CL A ISIN#AU000XINEO S	-235,418.70	110.00	10.00	100.00	50.0	50.00
10/03/2017	QGV006216	LION		ZOGENIX INC COM NEW S	-704,012.37	179.00	10.00	169.00	50.0	84.50
10/04/2017	QGV006216	LION		NEWLINK GENETICS CORP S	-10,791.20	20.00	10.00	10.00	50.0	5.00
10/04/2017	QGV006216	LION		STRONGBRIDGE BIOPHARMA PLC SHS ISIN S	-12,300.00	20.00	10.00	10.00	50.0	5.00
10/04/2017	QGV006216	LION		TRONOX LTD SHS CL A ISIN#AU000XINEO S	-47,300.00	20.00	10.00	10.00	50.0	5.00
10/05/2017	QGV006216	LION		CAREDX INC COM S	-56,421.00	100.00	10.00	90.00	50.0	45.00
10/05/2017	QGV006216	LION		MANNKIND CORPORATION COMMON STOCK B	308,100.00	200.00	10.00	190.00	50.0	95.00
10/05/2017	QGV006216	LION		RIGEL PHARMACEUTICALS INC COM NEW S	-8,659.96	23.00	10.00	13.00	50.0	6.50
10/06/2017	QGV006216	LION		ANNALY CAPITAL MANAGEMENT INC S	-14,520.00	20.00	10.00	10.00	50.0	5.00
10/06/2017	QGV006216	LION		GLOBALSTAR INC S	-49,458.80	100.00	10.00	90.00	50.0	45.00
10/06/2017	QGV006216	LION		IMMUNOGEN INC S	-70,050.00	100.00	10.00	90.00	50.0	45.00
10/06/2017	QGV006216	LION		SWITCH INC CLASS A COMMON STOCK \$0. B	227,666.00	100.00	10.00	90.00	50.0	45.00
10/06/2017	QGV006216	LION		SWITCH INC CLASS A COMMON STOCK \$0. S	-9,146.84	20.00	10.00	10.00	50.0	5.00

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/10/2017	QGV006216 LION	ZOGENIX INC COM NEW	S	-200,000.00	50.00	10.00	40.00	50.0	20.00
10/12/2017	QGV006216 LION	FLEXION THERAPEUTICS INC COM	S	-39,750.00	20.00	10.00	10.00	50.0	5.00
10/12/2017	QGV006216 LION	MICRON TECHNOLOGY INC	S	-811,000.00	100.00	10.00	90.00	50.0	45.00
10/12/2017	QGV006216 LION	ORTHOPEDIATRICS CORP COM	S	-97,200.00	54.00	10.00	44.00	50.0	22.00
10/13/2017	QGV006216 LION	ANAPTYSBIO INC COM	S	-56,711.12	20.00	10.00	10.00	50.0	5.00
10/13/2017	QGV006216 LION	CATCHMARK TIMBER TR INC CL A	S	-155,595.00	125.00	10.00	115.00	50.0	57.50
10/13/2017	QGV006216 LION	OPTINOSE INC COM	S	-100,000.00	50.00	10.00	40.00	50.0	20.00
10/13/2017	QGV006216 LION	REV GROUP INC COMMON STOCK	S	-27,100.10	20.00	10.00	10.00	50.0	5.00
10/13/2017	QGV006216 LION	RITE AID CORPORATION	S	-173,000.00	200.00	10.00	190.00	50.0	95.00
10/13/2017	QGV006216 LION	TANDEM DIABETES CARE INC COM NEW	S	-117,612.00	200.00	10.00	190.00	50.0	95.00
10/16/2017	QGV006216 LION	MICRON TECHNOLOGY INC	S	-826,006.00	150.00	10.00	140.00	50.0	70.00
10/16/2017	QGV006216 LION	ZOGENIX INC COM NEW	S	-97,500.00	25.00	10.00	15.00	50.0	7.50
10/18/2017	QGV006216 LION	AKARI THERAPEUTICS PLC SPONS ADR	S	-51,305.10	110.00	10.00	100.00	50.0	50.00
10/18/2017	QGV006216 LION	MICRON TECHNOLOGY INC	S	-617,625.00	100.00	10.00	90.00	50.0	45.00
10/18/2017	QGV006216 LION	QUDIAN INC ADR ISIN# US7477981069	S	-34,350.00	20.00	10.00	10.00	50.0	5.00
10/18/2017	QGV006216 LION	RADCOM LTD SHS ISIN#IL0010826688	S	-51,111.00	25.00	10.00	15.00	50.0	7.50
10/19/2017	QGV006216 LION	CUI GLOBAL INC	S	-230,895.00	250.00	10.00	240.00	50.0	120.00
10/19/2017	QGV006216 LION	MONGODB INC CL A	S	-3,300.00	20.00	10.00	10.00	50.0	5.00
10/19/2017	QGV006216 LION	MOSAIC ACQUISITION UNITS 1 SHS CL A S	S	-10,177.00	20.00	10.00	10.00	50.0	5.00
10/19/2017	QGV006216 LION	RUMBLEON INC CL B	B	24,745.00	49.00	10.00	39.00	50.0	19.50
10/19/2017	QGV006216 LION	RUMBLEON INC CL B	S	-48,410.00	94.00	10.00	84.00	50.0	42.00
10/20/2017	QGV006216 LION	IGNYTA INC COM	S	-113,094.10	70.00	10.00	60.00	50.0	30.00
10/20/2017	QGV006216 LION	IGNYTA INC COM	B	80,250.00	50.00	10.00	40.00	50.0	20.00
10/20/2017	QGV006216 LION	PROTAGONIST THERAPEUTICS INC COM	S	-28,941.00	20.00	10.00	10.00	50.0	5.00
10/20/2017	QGV006216 LION	PROTAGONIST THERAPEUTICS INC COM	B	42,025.00	26.00	10.00	16.00	50.0	8.00
10/20/2017	QGV006216 LION	SEA LTD ADR ISIN#US81141R1005	S	-25,600.00	20.00	10.00	10.00	50.0	5.00
10/25/2017	QGV006216 LION	IMMUNE DESIGN CORP COM	S	-97,393.40	100.00	10.00	90.00	50.0	45.00
10/25/2017	QGV006216 LION	SACHEM CAPITAL CORP COMMON SHARES	S	-240,000.00	150.00	10.00	140.00	50.0	70.00
10/25/2017	QGV006216 LION	UNIQUE N V SHS ISIN#NL0010696654	S	-675,036.00	250.00	10.00	240.00	50.0	120.00
10/26/2017	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	307,247.50	100.00	10.00	90.00	50.0	45.00
10/26/2017	QGV006216 LION	NATIONAL VISION HLDGS INC COM	S	-17,250.00	20.00	10.00	10.00	50.0	5.00
10/27/2017	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	469,792.00	200.00	10.00	190.00	50.0	95.00
10/27/2017	QGV006216 LION	DREYFUS ALCENTRA GLOBAL CR INCOME 2 S	S	-100,000.00	100.00	10.00	90.00	50.0	45.00
10/27/2017	QGV006216 LION	FIRST BANCSHARES INC MISS COM	S	-91,115.40	60.00	10.00	50.00	50.0	25.00
10/27/2017	QGV006216 LION	FORESCOUT TECHNOLOGIES INC COM	S	-5,250.00	20.00	10.00	10.00	50.0	5.00
10/27/2017	QGV006216 LION	J C PENNEY CO INC	S	-232,590.00	200.00	10.00	190.00	50.0	95.00
10/27/2017	QGV006216 LION	MERCHANTS BANCORP IND COM	S	-459,000.00	200.00	10.00	190.00	50.0	95.00
10/27/2017	QGV006216 LION	NEXA RES S A COM ISIN#LU1701428291	S	-13,200.00	20.00	10.00	10.00	50.0	5.00
09/28/2017	QGV006224 DAVIS FAMILY OFFICE,	ADVANCED MICRO DEVICES INC	B	190,486.50	100.00	10.00	90.00	50.0	45.00
09/28/2017	QGV006224 DAVIS FAMILY OFFICE,	INTRA-CELLULAR THERAPIES INC COM	S	-38,325.00	25.00	10.00	15.00	50.0	7.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/28/2017	QGV006224	DAVIS FAMILY OFFICE, NIGHTSTAR THERAPEUTICS LIMITED SHS	S	-8,625.00	20.00	10.00	10.00	50.0	5.00
09/29/2017	QGV006224	DAVIS FAMILY OFFICE, COSTAR GROUP INC	S	-54,000.00	20.00	10.00	10.00	50.0	5.00
09/29/2017	QGV006224	DAVIS FAMILY OFFICE, PQ GROUP HLDGS INC COM	S	-59,500.00	35.00	10.00	25.00	50.0	12.50
10/03/2017	QGV006224	DAVIS FAMILY OFFICE, ZOGENIX INC COM NEW	S	-165,187.26	42.00	10.00	32.00	50.0	16.00
10/04/2017	QGV006224	DAVIS FAMILY OFFICE, NEWLINK GENETICS CORP	S	-7,553.84	20.00	10.00	10.00	50.0	5.00
10/04/2017	QGV006224	DAVIS FAMILY OFFICE, STRONGBRIDGE BIOPHARMA PLC SHS ISIN	S	-21,525.00	35.00	10.00	25.00	50.0	12.50
10/04/2017	QGV006224	DAVIS FAMILY OFFICE, STRONGBRIDGE BIOPHARMA PLC SHS ISIN	S	-20,295.00	33.00	10.00	23.00	50.0	11.50
10/05/2017	QGV006224	DAVIS FAMILY OFFICE, MATADOR RESOURCES COMPANY	S	-26,350.00	20.00	10.00	10.00	50.0	5.00
10/05/2017	QGV006224	DAVIS FAMILY OFFICE, RHYTHM PHARMACEUTICALS INC COM	S	-2,901.25	20.00	10.00	10.00	50.0	5.00
10/05/2017	QGV006224	DAVIS FAMILY OFFICE, RIGEL PHARMACEUTICALS INC COM NEW	S	-3,765.20	20.00	10.00	10.00	50.0	5.00
10/06/2017	QGV006224	DAVIS FAMILY OFFICE, ANNALY CAPITAL MANAGEMENT INC	S	-30,250.00	25.00	10.00	15.00	50.0	7.50
10/06/2017	QGV006224	DAVIS FAMILY OFFICE, ANNALY CAPITAL MANAGEMENT INC	S	-30,250.00	25.00	10.00	15.00	50.0	7.50
10/06/2017	QGV006224	DAVIS FAMILY OFFICE, GLOBALSTAR INC	S	-48,648.00	100.00	10.00	90.00	50.0	45.00
10/06/2017	QGV006224	DAVIS FAMILY OFFICE, SWITCH INC CLASS A COMMON STOCK \$0.	S	-70,888.01	31.00	10.00	21.00	50.0	10.50
10/10/2017	QGV006224	DAVIS FAMILY OFFICE, ANNALY CAPITAL MANAGEMENT INC	S	-12,060.00	20.00	10.00	10.00	50.0	5.00
10/12/2017	QGV006224	DAVIS FAMILY OFFICE, FLEXION THERAPEUTICS INC COM	S	-39,750.00	20.00	10.00	10.00	50.0	5.00
10/12/2017	QGV006224	DAVIS FAMILY OFFICE, ORTHOPEDIATRICS CORP COM	S	-16,200.00	20.00	10.00	10.00	50.0	5.00
10/13/2017	QGV006224	DAVIS FAMILY OFFICE, ANAPTYSBIO INC COM	S	-7,088.89	20.00	10.00	10.00	50.0	5.00
10/13/2017	QGV006224	DAVIS FAMILY OFFICE, BIOTIME INC COM	S	-8,100.90	30.00	10.00	20.00	50.0	10.00
10/13/2017	QGV006224	DAVIS FAMILY OFFICE, CATCHMARK TIMBER TR INC CL A	S	-139,413.12	112.00	10.00	102.00	50.0	51.00
10/13/2017	QGV006224	DAVIS FAMILY OFFICE, REV GROUP INC COMMON STOCK	S	-75,880.28	28.00	10.00	18.00	50.0	9.00
10/13/2017	QGV006224	DAVIS FAMILY OFFICE, TANDEM DIABETES CARE INC COM NEW	S	-5,880.60	20.00	10.00	10.00	50.0	5.00
10/16/2017	QGV006224	DAVIS FAMILY OFFICE, MICRON TECHNOLOGY INC	S	-1,239,009.00	200.00	10.00	190.00	50.0	95.00
10/16/2017	QGV006224	DAVIS FAMILY OFFICE, MICRON TECHNOLOGY INC	B	406,500.00	100.00	10.00	90.00	50.0	45.00
10/17/2017	QGV006224	DAVIS FAMILY OFFICE, MICRON TECHNOLOGY INC	B	205,150.00	50.00	10.00	40.00	50.0	20.00
10/18/2017	QGV006224	DAVIS FAMILY OFFICE, AKARI THERAPEUTICS PLC SPONS ADR	S	-27,984.60	60.00	10.00	50.00	50.0	25.00
10/18/2017	QGV006224	DAVIS FAMILY OFFICE, AKARI THERAPEUTICS PLC SPONS ADR	S	-13,992.30	30.00	10.00	20.00	50.0	10.00
10/18/2017	QGV006224	DAVIS FAMILY OFFICE, AKARI THERAPEUTICS PLC SPONS ADR	S	27,984.60	-60.00	-10.00	-50.00	50.0	-25.00 C
10/18/2017	QGV006224	DAVIS FAMILY OFFICE, AKARI THERAPEUTICS PLC SPONS ADR	S	-13,992.30	30.00	10.00	20.00	50.0	10.00
10/18/2017	QGV006224	DAVIS FAMILY OFFICE, MICRON TECHNOLOGY INC	S	-205,875.00	50.00	10.00	40.00	50.0	20.00
10/18/2017	QGV006224	DAVIS FAMILY OFFICE, QUDIAN INC ADR ISIN# US7477981069	S	-79,005.00	23.00	10.00	13.00	50.0	6.50
10/18/2017	QGV006224	DAVIS FAMILY OFFICE, RADCOM LTD SHS ISIN#IL0010826688	S	-4,088.88	20.00	10.00	10.00	50.0	5.00
10/19/2017	QGV006224	DAVIS FAMILY OFFICE, MONGODB INC CL A	S	-9,900.00	20.00	10.00	10.00	50.0	5.00
10/19/2017	QGV006224	DAVIS FAMILY OFFICE, RUMBLEON INC CL B	S	-3,090.00	20.00	10.00	10.00	50.0	5.00
10/20/2017	QGV006224	DAVIS FAMILY OFFICE, IGNYTA INC COM	S	-8,078.15	20.00	10.00	10.00	50.0	5.00
10/20/2017	QGV006224	DAVIS FAMILY OFFICE, IGNYTA INC COM	S	-8,078.15	20.00	10.00	10.00	50.0	5.00
10/20/2017	QGV006224	DAVIS FAMILY OFFICE, PROTAGONIST THERAPEUTICS INC COM	S	-16,500.00	20.00	10.00	10.00	50.0	5.00
10/20/2017	QGV006224	DAVIS FAMILY OFFICE, SEA LTD ADR ISIN#US81141R1005	S	-7,200.00	20.00	10.00	10.00	50.0	5.00
10/24/2017	QGV006224	DAVIS FAMILY OFFICE, CORBUS PHARMACEUTICALS HOLDINGS INC	S	-10,350.00	20.00	10.00	10.00	50.0	5.00
10/25/2017	QGV006224	DAVIS FAMILY OFFICE, ABLYNX NV SPONS ADR ISIN#US00372Y10	S	-12,495.00	20.00	10.00	10.00	50.0	5.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/25/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	315,000.00	100.00	10.00	90.00	50.0	45.00
10/25/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-312,500.00	250.00	10.00	240.00	50.0	120.00
10/25/2017	QGV006224	DAVIS FAMILY OFFICE, FIRST REPUBLIC BANK SAN FRANCISCO C S		-49,500.00	20.00	10.00	10.00	50.0	5.00
10/25/2017	QGV006224	DAVIS FAMILY OFFICE, PENNANTPARK FLOATING RATE CAPITAL L S		-34,725.00	25.00	10.00	15.00	50.0	7.50
10/25/2017	QGV006224	DAVIS FAMILY OFFICE, SACHEM CAPITAL CORP COMMON SHARES	S	-8,000.00	20.00	10.00	10.00	50.0	5.00
10/26/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	307,247.50	100.00	10.00	90.00	50.0	45.00
10/26/2017	QGV006224	DAVIS FAMILY OFFICE, CAMPING WORLD HLDGS INC CL A	S	-25,000.00	20.00	10.00	10.00	50.0	5.00
10/26/2017	QGV006224	DAVIS FAMILY OFFICE, CAMPING WORLD HLDGS INC CL A	S	-60,000.00	20.00	10.00	10.00	50.0	5.00
10/26/2017	QGV006224	DAVIS FAMILY OFFICE, HUTCHISON CHINA MEDITECH LTD SPONSO S		-1,474.50	20.00	10.00	10.00	50.0	5.00
10/26/2017	QGV006224	DAVIS FAMILY OFFICE, VBI VACCINES INC CDA COM ISIN#CA918 S		-8,000.00	25.00	10.00	15.00	50.0	7.50
10/27/2017	QGV006224	DAVIS FAMILY OFFICE, FIRST BANCSHARES INC MISS COM	S	-145,784.64	96.00	10.00	86.00	50.0	43.00
09/28/2017	QGV006414	MERRILL LYNCH PROF GOLDMAN SACHS GROUP INC DEP SHS REP S		-740,678.58	269.73	10.00	259.73	50.0	129.87
10/03/2017	QGV006414	MERRILL LYNCH PROF CARLYLE GROUP LP PFD STOCK 5.875 DI B		380,250.00	150.00	10.00	140.00	50.0	70.00
10/17/2017	QGV006414	MERRILL LYNCH PROF FEDERAL NATL MTG ASSN PFD SER S DIV B		134,400.00	200.00	10.00	190.00	50.0	95.00
10/20/2017	QGV006414	MERRILL LYNCH PROF ASHFORD HOSPITALITY TR INC PRF STOC B		538,921.95	216.87	10.00	206.87	50.0	103.44
10/20/2017	QGV006414	MERRILL LYNCH PROF PUBLIC STORAGE DEP SHS REPSTG 1/100 B		621,550.00	250.00	10.00	240.00	50.0	120.00
10/20/2017	QGV006414	MERRILL LYNCH PROF PUBLIC STORAGE DEP SHS REPSTG 1/100 B		-621,550.00	-250.00	-10.00	-240.00	50.0	-120.00 C
10/20/2017	QGV006489	MARKETS, CITIGROUP PUBLIC STORAGE DEP SHS REPSTG 1/100 B		621,550.00	250.00	10.00	240.00	50.0	120.00
10/18/2017	QGW001356	ROTARY PARTNERS LLC POWER EFFICIENCY CORP COM PAR \$0.00 B		1,572.93	50.00	10.00	40.00	50.0	20.00
10/18/2017	QGW015075	RARE COIN GALLERIES, SUNOPTA INC COM ISIN#CA8676EP1086 S		-140,475.00	900.00	10.00	890.00	50.0	445.00
10/02/2017	QGW024606	GOLD, ALAN ZOGENIX INC COM NEW	S	-12,183.60	75.00	10.00	65.00	50.0	32.50
10/04/2017	QGW024606	GOLD, ALAN CELSION CORP COM PAR NEW	S	-185.18	25.00	10.00	15.00	50.0	7.50
10/02/2017	QGW024614	GOLD, SUSAN ZOGENIX INC COM NEW	S	-12,183.60	75.00	10.00	65.00	50.0	32.50
10/04/2017	QGW024630	GOLD, SUSAN CELSION CORP COM PAR NEW	S	-185.18	25.00	10.00	15.00	50.0	7.50
10/23/2017	QGW031361	HYPER MEDIA LLC POWER EFFICIENCY CORP COM PAR \$0.00 B		1,250.00	50.00	10.00	40.00	50.0	20.00
10/25/2017	QGW031361	HYPER MEDIA LLC POWER EFFICIENCY CORP COM PAR \$0.00 B		2,100.15	50.00	10.00	40.00	50.0	20.00
10/05/2017	QGW031650	FIBER TECHNOLOGY FTE NETWORKS INC COM PAR\$.001 S		-7,000.00	340.00	10.00	330.00	50.0	165.00
10/11/2017	QGW031650	FIBER TECHNOLOGY FTE NETWORKS INC COM PAR\$.001 S		-9,000.00	440.00	10.00	430.00	50.0	215.00
* STOCKS SUBTOTAL *				\$-5,990,376.56	\$15,620.78	\$1,350.00	\$14,270.78		\$7,135.40

PRODUCT CATEGORY: OPTIONS

10/11/2017	QGW014847	ALBANESE, JOHN	CALL 100 KELLOGG CO COM EXP 01-19-1 B	102,000.00	1,050.00	10.00	1,040.00	50.0	520.00
10/19/2017	QGW036352	LAWRENCE ADAMS LTD	CALL 100 BANK AMER CORP COM EXP 01- B	22,680.00	150.00	10.00	140.00	50.0	70.00
10/24/2017	QGW036352	LAWRENCE ADAMS LTD	CALL 100 BANK AMER CORP COM EXP 01- B	23,450.00	150.00	10.00	140.00	50.0	70.00
* OPTIONS SUBTOTAL *				\$148,130.00	\$1,350.00	\$30.00	\$1,320.00		\$660.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/17/2017	QGW014870	GOLD, ALAN	NUVEEN LIMITED TERM MUNI BOND CLASS B	0.00	5.28	0.00	5.28	50.0	2.64
10/18/2017	QGW014870	GOLD, ALAN	GOLDMAN SACHS SHORT DURATION TAX FR B	0.00	4.77	0.00	4.77	50.0	2.39
10/23/2017	QGW024606	GOLD, ALAN	PIMCO COMMODITY REAL RETURN STRATEG B	0.00	3.84	0.00	3.84	50.0	1.92

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/23/2017	QGW024614	GOLD, SUSAN		PIMCO COMMODITY REAL RETURN STRATEG B	0.00	2.21	0.00	2.21	50.0	1.11
10/17/2017	QGW024622	GOLD, ALAN		COLUMBIA SHORT TERM BOND FUND CLASS B	0.00	28.35	0.00	28.35	50.0	14.18
10/23/2017	QGW024622	GOLD, ALAN		PIMCO COMMODITY REAL RETURN STRATEG B	0.00	1.65	0.00	1.65	50.0	0.83
10/17/2017	QGW024630	GOLD, SUSAN		COLUMBIA SHORT TERM BOND FUND CLASS B	0.00	14.01	0.00	14.01	50.0	7.01
10/23/2017	QGW024630	GOLD, SUSAN		PIMCO COMMODITY REAL RETURN STRATEG B	0.00	1.65	0.00	1.65	50.0	0.83
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$61.76	\$0.00	\$61.76		\$30.91
				*** BROKER TRANSACTIONS TOTAL ***	\$-5,842,246.56	\$17,032.54	\$1,380.00	\$15,652.54		\$7,826.31

ADJUSTMENTS

10/31/2017		ADVANCE: ADVANCE		0.00	0.00	0.00	0.00		-5,000.00
10/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
10/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
10/31/2017		OTHER: OTHER-EXCHANGE FEES		0.00	0.00	0.00	0.00		-108.77
10/31/2017		OTHER: OTHER-CORE TECH		0.00	0.00	0.00	0.00		-119.80
10/31/2017		OTHER: OTHER-NASDAQ		0.00	0.00	0.00	0.00		-521.40
10/31/2017		OTHER: OTHER - OTC		0.00	0.00	0.00	0.00		-981.08
10/31/2017		OTHER: OTHER---ARCA		0.00	0.00	0.00	0.00		-59.26
10/31/2017		OTHER: OTHER--BRASS		0.00	0.00	0.00	0.00		-1,265.59
10/31/2017		OTHER: OTHER--OMGEO		0.00	0.00	0.00	0.00		-73.75
10/31/2017		OTHER: OTHER-AVERAGE ACCOUNT		0.00	0.00	0.00	0.00		-61.20
10/31/2017		OTHER: OTHER-PERSHING 891039		0.00	0.00	0.00	0.00		-236.78
10/31/2017		OTHER: OTHER---CUTTONE		0.00	0.00	0.00	0.00		-16.67
10/31/2017		OTHER: OTHER-FAT BURGER		0.00	0.00	0.00	0.00		60.00
10/31/2017		OTHER: OTHER-AMEX		0.00	0.00	0.00	0.00		-1,028.68
10/31/2017		OTHER: OTHER-AMEX		0.00	0.00	0.00	0.00		-169.91
10/31/2017		OTHER: OTHER-LOCAL TRAVEL		0.00	0.00	0.00	0.00		-66.67
10/31/2017		:		0.00	0.00	0.00	0.00		0.00
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-9,677.56

BROKER COMMISSION TOTALS

Broker Transactions	\$7,826.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-9,677.56
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-3,494.44
FINRA Assessment	\$-21.29
SIPC Assessment	\$-42.58

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-5,409.56
Year-to-date Earnings	\$28,102.57