



COMMISSION STATEMENT

February 12, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/01/2018	***-**-336I - REEKIE, JANICE	AMERICAN FUNDS 2030 TARGET DATE-C	988.93	9.89	0.00	9.89	95.0	9.40
	ACCOUNT #: 04000004425	BUY 67 Units @14.7800						
02/02/2018	***-**-336I - REEKIE, JANICE	AMERICAN FUNDS 2030 TARGET DATE-C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 04000004425	BUY 3 Units @14.5500						
01/29/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	100.00	5.00	0.00	5.00	95.0	4.75
	ACCOUNT #: 00701328528	BUY 5 Units @18.8500						
01/29/2018	***-**-35RO - MARCOCCIA, ROBIN	INTERMEDIATE BOND FUND OF AMERICA-A	50.00	1.00	0.00	1.00	95.0	0.95
	ACCOUNT #: 00086583849	BUY 4 Units @13.5700						
* MUTUAL FUNDS SUBTOTAL *			\$1,188.93	\$16.39	\$0.00	\$16.39		\$15.58
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/07/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	0.00	0.63	0.00	0.63	95.0	0.60
	ACCOUNT #: 00701328528	BUY 0 Units @0.0000						
02/07/2018	***-**-01RI - TOTMAN, ANDREW	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.26	0.00	1.26	95.0	1.20
	ACCOUNT #: 00536998180	BUY 0 Units @0.0000						
02/07/2018	***-**-8653 - WARNER, ROBERT	FIDELITY ADVISOR GROWTH AND INCOME	0.00	1.76	0.00	1.76	95.0	1.67
	ACCOUNT #: 01469251977	BUY 0 Units @0.0000						
01/31/2018	***-**-599P - IVINO, MARY	FRANKLIN GROWTH FUND CLASS A	0.00	1.55	0.00	1.55	95.0	1.47
	ACCOUNT #: 000060172289841	BUY 0 Units @0.0000						
01/31/2018	***-**-983P - BODE, DANA	FRANKLIN TEMP 88019R484	0.00	1.11	0.00	1.11	95.0	1.05
	ACCOUNT #: 000019110334137	BUY 0 Units @0.0000						
01/31/2018	***-**-99IN - IVINO, MARY	FRK AGGRESSIVE GRWTH - CL A	0.00	0.84	0.00	0.84	95.0	0.80
	ACCOUNT #: 000000000901658	BUY 0 Units @0.0000						
01/31/2018	***-**-71IN - O'NEILL, RAYMOND	FRK STRAT INC FND - CL A	0.00	5.58	0.00	5.58	95.0	5.30
	ACCOUNT #: 000019400013468	BUY 0 Units @0.0000						
01/31/2018	***-**-599P - IVINO, MARY	TEMP FRGN FND - CL A	0.00	0.77	0.00	0.77	95.0	0.73
	ACCOUNT #: 000004960163604	BUY 0 Units @0.0000						
02/13/2018	***-**-6713 - KREMER, JOHN	VIRTUS MF	25.00	1.25	0.00	1.25	95.0	1.19
		BUY 0 Units @0.0000						
02/13/2018	***-**-3782 - WEINERT-WILEY,	VIRTUS MF	50.00	2.50	0.00	2.50	95.0	2.38
		BUY 0 Units @0.0000						
02/12/2018	***-**-6713 - KREMER, JOHN	VIRTUS MF TRAILS	0.00	6.16	0.00	6.16	95.0	5.85
		BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-3782 - WEINERT-WILEY,	VIRTUS MF TRAILS BUY 0 Units @0.0000	0.00	0.19	0.00	0.19	95.0	0.18
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$75.00	\$23.60	\$0.00	\$23.60		\$22.42
		*** BROKER TRANSACTIONS TOTAL ***	\$1,263.93	\$39.99	\$0.00	\$39.99		\$38.00

VERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

02/01/2018	***-**-3246 - SGROI, TERESA	AMCAP FUND-A BUY 1 Units @35.9000	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089976714							
01/29/2018	***-**-241R - BERTRAND, JOHN	AMERICAN BALANCED FUND-A BUY 2 Units @29.8700	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089941898							
02/01/2018	***-**-9408 - SNIZEK,	AMERICAN BALANCED FUND-A BUY 2 Units @28.9300	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00085099445							
02/07/2018	***-**-7767 - DONOVAN, WILLIAM	AMERICAN BALANCED FUND-A BUY 480 Units @28.3400	13,600.00	492.00	0.00	492.00	20.0	98.40
	ACCOUNT #: LSR012405							
02/01/2018	***-**-9408 - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.7800	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00085099445							
02/07/2018	***-**-7767 - DONOVAN, WILLIAM	AMERICAN HIGH-INCOME TRUST-A BUY 1285 Units @10.7400	13,800.00	396.00	0.00	396.00	20.0	79.20
	ACCOUNT #: LSR012405							
02/01/2018	***-**-6469 - BENNETT, DAVID	AMERICAN MUTUAL FUND-A BUY 1 Units @45.2000	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00088370668							
02/01/2018	***-**-9408 - SNIZEK,	AMERICAN MUTUAL FUND-A BUY 1 Units @44.1500	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00085099445							
02/01/2018	***-**-2119 - BENNETT, COLLEEN	AMERICAN MUTUAL FUND-A BUY 1 Units @45.2000	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00088366890							
02/01/2018	***-**-3246 - SGROI, TERESA	CAPITAL INCOME BUILDER-A BUY 1 Units @68.4000	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089976714							
02/07/2018	***-**-7767 - DONOVAN, WILLIAM	CAPITAL INCOME BUILDER-A BUY 157 Units @64.8700	10,200.03	364.50	0.00	364.50	20.0	72.90
	ACCOUNT #: LSR012405							
02/07/2018	***-**-7767 - DONOVAN, WILLIAM	EUROPACIFIC GROWTH FUND-A BUY 115 Units @59.0300	6,800.02	237.00	0.00	237.00	20.0	47.40
	ACCOUNT #: LSR012405							



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
01/31/2018	***-**-0403 - DONOVAN, HEATHER	FRANKLIN GROWTH FUND CLASS A	0.00	14.71	0.00	14.71	20.0	2.94
	ACCOUNT #: 000060177003031	BUY 0 Units @0.0000						
01/31/2018	***-**-1787 - ANO, MICHAEL	FRANKLIN GROWTH FUND CLASS A	0.00	3.31	0.00	3.31	20.0	0.66
	ACCOUNT #: 000060177122300	BUY 0 Units @0.0000						
01/31/2018	***-**-9217 - POLITIS, DEBRA	FRANKLIN GROWTH FUND CLASS A	0.00	30.77	0.00	30.77	20.0	6.15
	ACCOUNT #: 000060177196078	BUY 0 Units @0.0000						
01/31/2018	***-**-9217 - POLITIS, DEBRA	FRANKLIN INCOME FUND CLASS A	0.00	7.71	0.00	7.71	20.0	1.54
	ACCOUNT #: 000090236333236	BUY 0 Units @0.0000						
01/31/2018	***-**-8321 - HIGGINS, CYNTHIA	FRANKLIN INCOME FUND CLASS A	0.00	13.23	0.00	13.23	20.0	2.65
	ACCOUNT #: 000090236456264	BUY 0 Units @0.0000						
01/31/2018	***-**-9692 - KELLER, KENNETH	FRANKLIN INCOME FUND CLASS A	0.00	0.88	0.00	0.88	20.0	0.18
	ACCOUNT #: 000090237957306	BUY 0 Units @0.0000						
01/31/2018	***-**-9500 - KELLER, BILLIE	FRANKLIN INCOME FUND CLASS A	0.00	1.12	0.00	1.12	20.0	0.22
	ACCOUNT #: 000090238038740	BUY 0 Units @0.0000						
01/31/2018	***-**-5371 - SOUTHFIELD,	FRANKLIN INCOME FUND CLASS A	0.00	12.14	0.00	12.14	20.0	2.43
	ACCOUNT #: 000090239092353	BUY 0 Units @0.0000						
01/31/2018	***-**-6469 - BENNETT, DAVID	FRANKLIN INCOME FUND CLASS A	0.00	2.98	0.00	2.98	20.0	0.60
	ACCOUNT #: 000090239535847	BUY 0 Units @0.0000						
01/31/2018	***-**-6469 - BENNETT, DAVID	FRANKLIN INCOME FUND CLASS A	0.00	0.23	0.00	0.23	20.0	0.05
	ACCOUNT #: 000090239551269	BUY 0 Units @0.0000						
01/31/2018	***-**-481J - MERRILL, JASON	FRANKLIN NEW YORK TAX FREE CLASS C	0.00	15.05	0.00	15.05	20.0	3.01
	ACCOUNT #: 000000000426484	BUY 0 Units @0.0000						
01/31/2018	***-**-3756 - GAGNE, JOHN	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	6.12	0.00	6.12	20.0	1.22
	ACCOUNT #: 000011516893059	BUY 0 Units @0.0000						
01/31/2018	***-**-8526 - SPINELI, ELISE	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	2.15	0.00	2.15	20.0	0.43
	ACCOUNT #: 000011516901730	BUY 0 Units @0.0000						
01/31/2018	***-**-1787 - ANO, MICHAEL	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	2.36	0.00	2.36	20.0	0.47
	ACCOUNT #: 000011516948107	BUY 0 Units @0.0000						
01/31/2018	***-**-5559 - SEYMOUR, DEBORAH	FRANKLIN NY INTERM TERM TAX FREE A	0.00	0.81	0.00	0.81	20.0	0.16
	ACCOUNT #: 000015311057123	BUY 0 Units @0.0000						
01/31/2018	***-**-481J - MERRILL, JASON	FRANKLIN NY INTR-TRM TF INC FD	0.00	11.01	0.00	11.01	20.0	2.20
	ACCOUNT #: 000000000033504	BUY 0 Units @0.0000						



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<u>VERRIDE TRANSACTIONS (continued)</u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
01/31/2018	***-**-5371 - SOUTHFIELD, ACCOUNT #: 000015815231665	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	34.08	0.00	34.08	20.0	6.82
01/31/2018	***-**-0403 - DONOVAN, HEATHER ACCOUNT #: 000015813931694	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	19.86	0.00	19.86	20.0	3.97
01/31/2018	***-**-0961 - BUDINE, JESSE ACCOUNT #: 000015814136194	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	1.55	0.00	1.55	20.0	0.31
01/31/2018	***-**-4848 - DOGGETT, AMY ACCOUNT #: 000015814148023	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	2.72	0.00	2.72	20.0	0.54
01/31/2018	***-**-1787 - ANO, MICHAEL ACCOUNT #: 000015814180311	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	9.74	0.00	9.74	20.0	1.95
01/31/2018	***-**-3034 - DRAKE, CATHERINE ACCOUNT #: 000015814181008	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	1.11	0.00	1.11	20.0	0.22
01/31/2018	***-**-0608 - ANO, CHERYL ACCOUNT #: 000015814241626	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	9.51	0.00	9.51	20.0	1.90
01/31/2018	***-**-9217 - POLITIS, DEBRA ACCOUNT #: 000015814353890	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	61.09	0.00	61.09	20.0	12.22
01/31/2018	***-**-8321 - HIGGINS, CYNTHIA ACCOUNT #: 000015814404622	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	39.07	0.00	39.07	20.0	7.81
01/31/2018	***-**-9692 - KELLER, KENNETH ACCOUNT #: 000015814926861	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	1.82	0.00	1.82	20.0	0.36
01/31/2018	***-**-9500 - KELLER, BILLIE ACCOUNT #: 000015814954630	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	2.24	0.00	2.24	20.0	0.45
01/31/2018	***-**-9692 - KELLER, KENNETH ACCOUNT #: 000015814954705	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	2.27	0.00	2.27	20.0	0.45
01/31/2018	***-**-5371 - SOUTHFIELD, ACCOUNT #: 000015815282015	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	22.35	0.00	22.35	20.0	4.47
01/31/2018	***-**-6469 - BENNETT, DAVID ACCOUNT #: 000015815518754	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	5.45	0.00	5.45	20.0	1.09
01/31/2018	***-**-6469 - BENNETT, DAVID ACCOUNT #: 000015815524857	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	0.29	0.00	0.29	20.0	0.06
01/31/2018	***-**-0403 - DONOVAN, HEATHER ACCOUNT #: 000010187500957	FRANKLIN U.S. GOVERNMENT SECURITIES BUY 0 Units @0.0000	0.00	2.09	0.00	2.09	20.0	0.42



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
01/31/2018	***-**-0403 - DONOVAN, HEATHER	FRK EQUITY INC FND - CL A	0.00	18.67	0.00	18.67	20.0	3.73
	ACCOUNT #: 000013912505964	BUY 0 Units @0.0000						
01/31/2018	***-**-0961 - BUDINE, JESSE	FRK EQUITY INC FND - CL A	0.00	0.76	0.00	0.76	20.0	0.15
	ACCOUNT #: 000013912529800	BUY 0 Units @0.0000						
01/31/2018	***-**-1787 - ANO, MICHAEL	FRK EQUITY INC FND - CL A	0.00	5.69	0.00	5.69	20.0	1.14
	ACCOUNT #: 000013912534634	BUY 0 Units @0.0000						
01/31/2018	***-**-0608 - ANO, CHERYL	FRK EQUITY INC FND - CL A	0.00	3.49	0.00	3.49	20.0	0.70
	ACCOUNT #: 000013912540587	BUY 0 Units @0.0000						
01/31/2018	***-**-1787 - ANO, MICHAEL	FRK HIGH INC FND - CL A	0.00	1.87	0.00	1.87	20.0	0.37
	ACCOUNT #: 000050117882954	BUY 0 Units @0.0000						
01/31/2018	***-**-0608 - ANO, CHERYL	FRK HIGH INC FND - CL A	0.00	2.90	0.00	2.90	20.0	0.58
	ACCOUNT #: 000050117905563	BUY 0 Units @0.0000						
01/31/2018	***-**-4848 - DOGGETT, AMY	FRK STRAT INC FND - CL A	0.00	0.46	0.00	0.46	20.0	0.09
	ACCOUNT #: 000019413185810	BUY 0 Units @0.0000						
01/31/2018	***-**-1787 - ANO, MICHAEL	FRK STRAT INC FND - CL A	0.00	1.87	0.00	1.87	20.0	0.37
	ACCOUNT #: 000019413201563	BUY 0 Units @0.0000						
01/31/2018	***-**-0608 - ANO, CHERYL	FRK STRAT INC FND - CL A	0.00	4.48	0.00	4.48	20.0	0.90
	ACCOUNT #: 000019413236403	BUY 0 Units @0.0000						
01/31/2018	***-**-8321 - HIGGINS, CYNTHIA	FRK STRAT INC FND - CL A	0.00	13.05	0.00	13.05	20.0	2.61
	ACCOUNT #: 000019413353537	BUY 0 Units @0.0000						
01/31/2018	***-**-9692 - KELLER, KENNETH	FRK STRAT INC FND - CL A	0.00	1.32	0.00	1.32	20.0	0.26
	ACCOUNT #: 000019413735729	BUY 0 Units @0.0000						
01/31/2018	***-**-9500 - KELLER, BILLIE	FRK STRAT INC FND - CL A	0.00	1.70	0.00	1.70	20.0	0.34
	ACCOUNT #: 000019413751292	BUY 0 Units @0.0000						
01/31/2018	***-**-5371 - SOUTHFIELD,	FRK STRAT INC FND - CL A	0.00	11.34	0.00	11.34	20.0	2.27
	ACCOUNT #: 000019413960182	BUY 0 Units @0.0000						
01/31/2018	***-**-6469 - BENNETT, DAVID	FRK STRAT INC FND - CL A	0.00	4.63	0.00	4.63	20.0	0.93
	ACCOUNT #: 000019414041778	BUY 0 Units @0.0000						
01/31/2018	***-**-6469 - BENNETT, DAVID	FRK STRAT INC FND - CL A	0.00	0.15	0.00	0.15	20.0	0.03
	ACCOUNT #: 000019414044317	BUY 0 Units @0.0000						
01/31/2018	***-**-481J - MERRILL, JASON	FRK TEMP FOUNDING FUNDS ALLOC FND C	0.00	3.06	0.00	3.06	20.0	0.61
	ACCOUNT #: 000000000369520	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
01/31/2018	***-**-4848 - DOGGETT, AMY ACCOUNT #: 000000000938415	FRK TOTAL RETURN FND - CL A BUY 0 Units @0.0000	0.00	0.43	0.00	0.43	20.0	0.09
01/31/2018	***-**-1787 - ANO, MICHAEL ACCOUNT #: 000000000947097	FRK TOTAL RETURN FND - CL A BUY 0 Units @0.0000	0.00	4.74	0.00	4.74	20.0	0.95
01/31/2018	***-**-0608 - ANO, CHERYL ACCOUNT #: 000000000963900	FRK TOTAL RETURN FND - CL A BUY 0 Units @0.0000	0.00	5.38	0.00	5.38	20.0	1.08
01/31/2018	***-**-9217 - POLITIS, DEBRA ACCOUNT #: 000000001004629	FRK TOTAL RETURN FND - CL A BUY 0 Units @0.0000	0.00	9.48	0.00	9.48	20.0	1.90
01/31/2018	***-**-5371 - SOUTHFIELD, ACCOUNT #: 000000001197399	FRK TOTAL RETURN FND - CL A BUY 0 Units @0.0000	0.00	6.47	0.00	6.47	20.0	1.29
01/31/2018	***-**-9692 - KELLER, KENNETH ACCOUNT #: 000070188041136	FRK UTIL FND - CL A BUY 0 Units @0.0000	0.00	0.55	0.00	0.55	20.0	0.11
01/31/2018	***-**-9500 - KELLER, BILLIE ACCOUNT #: 000070188050820	FRK UTIL FND - CL A BUY 0 Units @0.0000	0.00	0.70	0.00	0.70	20.0	0.14
01/31/2018	***-**-0403 - DONOVAN, HEATHER ACCOUNT #: 000000007175262	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 0 Units @0.0000	0.00	9.38	0.00	9.38	20.0	1.88
01/31/2018	***-**-0961 - BUDINE, JESSE ACCOUNT #: 000000007475698	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 0 Units @0.0000	0.00	0.91	0.00	0.91	20.0	0.18
01/31/2018	***-**-4848 - DOGGETT, AMY ACCOUNT #: 000000007485725	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 0 Units @0.0000	0.00	0.83	0.00	0.83	20.0	0.17
01/31/2018	***-**-1787 - ANO, MICHAEL ACCOUNT #: 000000007508916	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 0 Units @0.0000	0.00	3.08	0.00	3.08	20.0	0.62
01/31/2018	***-**-0608 - ANO, CHERYL ACCOUNT #: 000000007556347	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 0 Units @0.0000	0.00	2.57	0.00	2.57	20.0	0.51
01/31/2018	***-**-9217 - POLITIS, DEBRA ACCOUNT #: 000000007691975	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 0 Units @0.0000	0.00	24.00	0.00	24.00	20.0	4.80
01/31/2018	***-**-9692 - KELLER, KENNETH ACCOUNT #: 000000008301804	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 0 Units @0.0000	0.00	0.77	0.00	0.77	20.0	0.15
01/31/2018	***-**-9500 - KELLER, BILLIE ACCOUNT #: 000000008332761	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 0 Units @0.0000	0.00	0.97	0.00	0.97	20.0	0.19
01/31/2018	***-**-5371 - SOUTHFIELD, ACCOUNT #: 000000008780278	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 0 Units @0.0000	0.00	12.30	0.00	12.30	20.0	2.46



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
01/31/2018	***-**-6469 - BENNETT, DAVID	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	4.99	0.00	4.99	20.0	1.00
	ACCOUNT #: 000000008962250	BUY 0 Units @0.0000						
01/31/2018	***-**-0961 - BUDINE, JESSE	TEMP FRGN FND - CL A	0.00	0.82	0.00	0.82	20.0	0.16
	ACCOUNT #: 000004978424895	BUY 0 Units @0.0000						
01/31/2018	***-**-0403 - DONOVAN, HEATHER	TEMP GLOBAL BOND FND - CL A	0.00	4.59	0.00	4.59	20.0	0.92
	ACCOUNT #: 000006982468899	BUY 0 Units @0.0000						
01/31/2018	***-**-0961 - BUDINE, JESSE	TEMP GLOBAL BOND FND - CL A	0.00	0.78	0.00	0.78	20.0	0.16
	ACCOUNT #: 000006983356424	BUY 0 Units @0.0000						
01/31/2018	***-**-4848 - DOGGETT, AMY	TEMP GLOBAL BOND FND - CL A	0.00	0.53	0.00	0.53	20.0	0.11
	ACCOUNT #: 000006983376554	BUY 0 Units @0.0000						
01/31/2018	***-**-1787 - ANO, MICHAEL	TEMP GLOBAL BOND FND - CL A	0.00	4.99	0.00	4.99	20.0	1.00
	ACCOUNT #: 000006983429330	BUY 0 Units @0.0000						
01/31/2018	***-**-3034 - DRAKE, CATHERINE	TEMP GLOBAL BOND FND - CL A	0.00	0.51	0.00	0.51	20.0	0.10
	ACCOUNT #: 000006983437097	BUY 0 Units @0.0000						
01/31/2018	***-**-0608 - ANO, CHERYL	TEMP GLOBAL BOND FND - CL A	0.00	3.10	0.00	3.10	20.0	0.62
	ACCOUNT #: 000006983546669	BUY 0 Units @0.0000						
01/31/2018	***-**-9217 - POLITIS, DEBRA	TEMP GLOBAL BOND FND - CL A	0.00	26.68	0.00	26.68	20.0	5.34
	ACCOUNT #: 000006983894537	BUY 0 Units @0.0000						
01/31/2018	***-**-9692 - KELLER, KENNETH	TEMP GLOBAL BOND FND - CL A	0.00	1.31	0.00	1.31	20.0	0.26
	ACCOUNT #: 000006985212585	BUY 0 Units @0.0000						
01/31/2018	***-**-9500 - KELLER, BILLIE	TEMP GLOBAL BOND FND - CL A	0.00	1.67	0.00	1.67	20.0	0.33
	ACCOUNT #: 000006985253074	BUY 0 Units @0.0000						
01/31/2018	***-**-5371 - SOUTHFIELD,	TEMP GLOBAL BOND FND - CL A	0.00	11.00	0.00	11.00	20.0	2.20
	ACCOUNT #: 000006985760625	BUY 0 Units @0.0000						
01/31/2018	***-**-6469 - BENNETT, DAVID	TEMP GLOBAL BOND FND - CL A	0.00	4.52	0.00	4.52	20.0	0.90
	ACCOUNT #: 000006985886089	BUY 0 Units @0.0000						
01/31/2018	***-**-6469 - BENNETT, DAVID	TEMP GLOBAL BOND FND - CL A	0.00	0.15	0.00	0.15	20.0	0.03
	ACCOUNT #: 000006985890265	BUY 0 Units @0.0000						
01/31/2018	***-**-0608 - ANO, CHERYL	TEMPLETON GROWTH FUND CLASS A	0.00	1.50	0.00	1.50	20.0	0.30
	ACCOUNT #: 000007077039626	BUY 0 Units @0.0000						
02/01/2018	***-**-6790 - DRAKE, ROBERT	THE BOND FUND OF AMERICA-A	50.00	1.50	0.00	1.50	20.0	0.30
	ACCOUNT #: 00086643595	BUY 4 Units @13.1800						



COMMISSION STATEMENT

February 12, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
01/29/2018	***-**-7823 - BERTRAND, ACCOUNT #: 00089897908	THE GROWTH FUND OF AMERICA-A BUY 1 Units @57.3300	50.00	2.50	0.00	2.50	20.0	0.50
01/29/2018	***-**-241R - BERTRAND, JOHN ACCOUNT #: 00089941898	THE GROWTH FUND OF AMERICA-A BUY 1 Units @57.3300	50.00	2.50	0.00	2.50	20.0	0.50
02/01/2018	***-**-9408 - SNIZEK, ACCOUNT #: 00085099445	THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.3700	50.00	1.38	0.00	1.38	20.0	0.28
02/07/2018	***-**-7767 - DONOVAN, WILLIAM ACCOUNT #: LSR012405	THE GROWTH FUND OF AMERICA-A BUY 190 Units @53.5900	10,200.00	364.50	0.00	364.50	20.0	72.90
02/01/2018	***-**-6790 - DRAKE, ROBERT ACCOUNT #: 00086643595	THE INCOME FUND OF AMERICA-A BUY 3 Units @25.1800	75.00	2.81	0.00	2.81	20.0	0.56
02/07/2018	***-**-7767 - DONOVAN, WILLIAM ACCOUNT #: LSR012405	THE INCOME FUND OF AMERICA-A BUY 561 Units @24.2500	13,600.01	492.00	0.00	492.00	20.0	98.40
* RONALD DRAKE SUBTOTAL *			\$68,875.06	\$2,938.29	\$0.00	\$2,938.29		\$587.66
<u>BROKER: RONALD CIOTOLI</u>								
02/02/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE INCOME FUND OF AMERICA-A BUY 8 Units @24.2900	190.00	3.80	0.00	3.80	35.0	1.33
02/02/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE INCOME FUND OF AMERICA-A BUY 2 Units @24.2900	57.00	1.14	0.00	1.14	35.0	0.40
* RONALD CIOTOLI SUBTOTAL *			\$247.00	\$4.94	\$0.00	\$4.94		\$1.73
*** OVERRIDE TRANSACTIONS TOTAL ***			\$69,122.06	\$2,943.23	\$0.00	\$2,943.23		\$589.39



BROKER #: AK417
BRANCH #01016 - 16 - CASPER

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div></div>								
						BROKER COMMISSION TOTALS		
						Broker Transactions		\$38.00
						Split Transactions		\$0.00
						Override Transactions		\$589.39
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$627.39
						Year-to-date Earnings		\$38.00