

COMMISSION STATEMENT

June 30, 2018

PAUL WIMBERLY-D073

BROKER #: D073

BRANCH: DMN

, TX

COMMISSION STATEMENT for PAUL WIMBERLY-D073

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/12/2018 491952028	MOLLER, JUDY	S TESLA INC FORMERLY TESLA MOTORS	S	-8,667.27	90.70	15.00	75.70	87.5	66.24
06/04/2018 677623004	CURTIS, DAVID	S ISHARES MSCI ITALY CAPPED ETF	S	-24,103.00	207.35	15.00	192.35	87.5	168.31
06/04/2018 677623004	CURTIS, DAVID	S ISHARES S&P SMALL CAP 600/BARRA	B	24,815.46	209.53	15.00	194.53	87.5	170.21
06/01/2018 799497978	WIMBERLY, PAUL	S SPDR S&P 500 ETF TRUST	B	273,166.15	15.00	15.00	0.00	87.5	0.00
06/19/2018 799497978	WIMBERLY, PAUL	S SPDR S&P 500 ETF TRUST	S	540,805.49	-8,286.52	-15.00	-8,271.52	87.5	-7,237.58
06/19/2018 799497978	WIMBERLY, PAUL	S SPDR S&P 500 ETF TRUST	S	-540,805.49	8,286.52	15.00	8,271.52	87.5	7,237.58
06/19/2018 799497978	WIMBERLY, PAUL	S SPDR S&P 500 ETF TRUST	S	-549,077.01	15.00	15.00	0.00	87.5	0.00
06/19/2018 799497978	WIMBERLY, PAUL	S VANGUARD INDEX FDS S&P 500 ETF	B	505,356.45	15.00	15.00	0.00	87.5	0.00
06/25/2018 799497978	WIMBERLY, PAUL	S ISHARES CORE S&P 500 ETF	B	553,297.45	15.00	15.00	0.00	87.5	0.00
06/25/2018 799497978	WIMBERLY, PAUL	S VANGUARD INDEX FDS S&P 500 ETF	S	-502,858.01	15.00	15.00	0.00	87.5	0.00
* STOCKS SUBTOTAL *				\$271,930.22	\$582.58	\$120.00	\$462.58		\$404.76
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/21/2018 mfc750162	WIMBERLY 750162	MFT LARGE CAP EQUITY A	B	0.00	1.78	0.00	1.78	87.5	1.56
06/21/2018 mfc750162	WIMBERLY 750162	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.59	0.00	1.59	87.5	1.39
06/21/2018 mfc750162	WIMBERLY 750162	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.72	0.00	0.72	87.5	0.63
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$4.09	\$0.00	\$4.09		\$3.58
*** CLEARING TRANSACTIONS TOTAL ***				\$271,930.22	\$586.67	\$120.00	\$466.67		\$408.34

DIRECT TRANSACTIONS

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/31/2018 (None)	MUTCHLER, PATSY	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	14.18	0.00	14.18	87.5	12.41
05/31/2018 (None)	HILDRETH, JANE	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	1.76	0.00	1.76	87.5	1.54
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$15.94	\$0.00	\$15.94		\$13.95
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$15.94	\$0.00	\$15.94		\$13.95

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONSBROKER: #0

06/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
06/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
06/30/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		105.49
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$50.49</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$50.49</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$422.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$50.49
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$472.78
Year-to-date Commissions	\$13,858.87