

**COMMISSION STATEMENT****April 15, 2018**KEITH, L128 LAYMAN
4321 FlagstaffBROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
12/13/2017	BOUTWELL, SHANE	AMERICAN FUNDS	0.00	-43.73	0.00	-43.73	80.0	-34.98
12/13/2017	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	0.00	-10.36	0.00	-10.36	80.0	-8.29
12/13/2017	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	0.00	-12.58	0.00	-12.58	80.0	-10.06
12/13/2017	LAMOTT, KEVIN	AMERICAN FUNDS	0.00	-0.06	0.00	-0.06	80.0	-0.05
03/13/2018	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	15,424.80	-19.02	0.00	-19.02	80.0	-15.22
03/13/2018	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	17,357.05	-21.40	0.00	-21.40	80.0	-17.12
03/13/2018	BOUTWELL, SHANE	AMERICAN FUNDS	67,936.22	-83.76	0.00	-83.76	80.0	-67.01
03/13/2018	LAMOTT, KEVIN	AMERICAN FUNDS	309.86	-0.38	0.00	-0.38	80.0	-0.30
04/04/2018	ORT, LEON	JEFFERSON NATIONAL	84,801.77	318.01	0.00	318.01	80.0	254.41
04/06/2018	DILLIE, MAXIE	JEFFERSON NATIONAL	42,405.45	159.02	0.00	159.02	80.0	127.22
04/06/2019	GUNSOLLEY, MARY	JEFFERSON NATIONAL	43,307.94	162.40	0.00	162.40	80.0	129.92
04/04/2018	WILLING, PATRICIA	PERSHING	240,217.28	898.35	0.00	898.35	80.0	718.68
04/04/2018	JONES, TIM	PERSHING	64,564.39	241.45	0.00	241.45	80.0	193.16
04/04/2018	SIEBER, AARON	PERSHING	246,113.66	920.40	0.00	920.40	80.0	736.32
04/04/2018	JOUPPI, SHARON	PERSHING	237,888.65	889.64	0.00	889.64	80.0	711.71
04/04/2018	DAILEY, RITA	PERSHING	296,517.44	1,108.89	0.00	1,108.89	80.0	887.11
04/04/2018	GRIME, MARK	PERSHING	77,648.67	290.38	0.00	290.38	80.0	232.30
04/04/2018	KLITZMAN, RANDALL	PERSHING	56,176.19	210.08	0.00	210.08	80.0	168.06
04/04/2018	KLITZMAN, MARY	PERSHING	210,756.14	788.17	0.00	788.17	80.0	630.54
04/04/2018	FEDLER, CHARLES	PERSHING	185,262.52	692.83	0.00	692.83	80.0	554.26
04/04/2018	DODGE, CAROLYN	PERSHING	184,640.41	690.50	0.00	690.50	80.0	552.40
04/04/2018	TROUT, CHARLOTTE	PERSHING	22,391.87	83.74	0.00	83.74	80.0	66.99
04/04/2018	OAR, RITA	PERSHING	41,811.83	156.36	0.00	156.36	80.0	125.09
04/04/2018	SAHARIAN, CAROLYN	PERSHING	55,082.92	206.00	0.00	206.00	80.0	164.80
04/04/2018	SHERBURNE, STEVEN	PERSHING	228,741.95	855.43	0.00	855.43	80.0	684.34
04/04/2018	ZINN, ROBERT	PERSHING	174,882.69	545.01	0.00	545.01	80.0	436.01

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/04/2018	JAMSCO INC	PERSHING	111,482.58	416.91	0.00	416.91	80.0	333.53
04/04/2018	BERBER, CATHY	PERSHING	287,827.00	1,076.39	0.00	1,076.39	80.0	861.11
04/04/2018	PEPPLE, GLEN	PERSHING	44,269.34	165.56	0.00	165.56	80.0	132.45
04/04/2018	RICHEY, BECKY	PERSHING	18,242.53	68.22	0.00	68.22	80.0	54.58
04/04/2018	ASHBAUGH, JOHN	PERSHING	85,988.57	321.57	0.00	321.57	80.0	257.26
04/04/2018	ZEEDYK, JANET	PERSHING	79,977.26	299.09	0.00	299.09	80.0	239.27
04/04/2018	ASHBAUGH, ALAN	PERSHING	87,238.67	326.25	0.00	326.25	80.0	261.00
04/04/2018	WILCOX, TIMOTHY	PERSHING	40,215.01	150.39	0.00	150.39	80.0	120.31
04/04/2018	NICOLET, CHERYL	PERSHING	307,654.92	1,150.55	0.00	1,150.55	80.0	920.44
04/04/2018	LOVETT, DAVID	PERSHING	676.11	2.53	0.00	2.53	80.0	2.02
04/04/2018	RUSK, JULIA	PERSHING	69,115.23	258.47	0.00	258.47	80.0	206.78
04/04/2018	GALENTINE, JOSHUA	PERSHING	65,433.17	-64.67	0.00	-64.67	80.0	-51.74
04/04/2018	GALENTINE, JOSHUA	PERSHING	65,433.17	0.00	0.00	0.00	80.0	0.00
04/04/2018	BRZYCKI, MARTIN	PERSHING	129,081.90	482.73	0.00	482.73	80.0	386.18
04/04/2018	BULLER, DOUG	PERSHING	11,981.52	44.81	0.00	44.81	80.0	35.85
04/04/2018	KLOC, CLIFFORD	PERSHING	245,158.02	916.82	0.00	916.82	80.0	733.46
04/04/2018	DEGLER, DONNA	PERSHING	64,830.23	242.45	0.00	242.45	80.0	193.96
04/04/2018	HARDESTY, MARGARET	PERSHING	142,216.96	531.85	0.00	531.85	80.0	425.48
04/04/2018	HARDESTY, MARGARET	PERSHING	15,788.76	59.05	0.00	59.05	80.0	47.24
04/04/2018	HUESMAN, PATRICIA	PERSHING	278,499.70	1,041.51	0.00	1,041.51	80.0	833.21
04/04/2018	LIPSCOMB, SAMUEL	PERSHING	159.77	0.60	0.00	0.60	80.0	0.48
04/04/2018	DELANEY, DIANA	PERSHING	28,515.09	106.64	0.00	106.64	80.0	85.31
04/04/2018	DELANEY, MATTHEW	PERSHING	31,550.11	117.99	0.00	117.99	80.0	94.39
04/04/2018	KAUZLICK, MICHAEL	PERSHING	139,284.07	520.88	0.00	520.88	80.0	416.70
04/04/2018	ORT, LEON	PERSHING	91,921.30	343.76	0.00	343.76	80.0	275.01
04/04/2018	BUNN, LINDA	PERSHING	111,903.85	418.49	0.00	418.49	80.0	334.79
04/04/2018	HAHAJ, JUDITH	PERSHING	25,821.17	96.56	0.00	96.56	80.0	77.25
04/04/2018	MARCH, MELODY	PERSHING	109,912.02	411.04	0.00	411.04	80.0	328.83
04/04/2018	CLARK, KATHLEEN	PERSHING	25,409.11	95.02	0.00	95.02	80.0	76.02
04/04/2018	GRIME, LAURA	PERSHING	53,335.68	199.46	0.00	199.46	80.0	159.57
04/04/2018	VIELKIND, LAWRENCE	PERSHING	296,279.06	1,108.00	0.00	1,108.00	80.0	886.40
04/04/2018	NOLL, PRISCILLA	PERSHING	357,232.92	1,335.95	0.00	1,335.95	80.0	1,068.76
04/04/2018	KAUZLICK, SHAWN	PERSHING	53,669.00	200.71	0.00	200.71	80.0	160.57

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/04/2018	KLITZMAN, RANDALL	PERSHING	101,731.72	380.45	0.00	380.45	80.0	304.36
04/04/2018	RICHEY, BECKY	PERSHING	427,647.89	1,599.29	0.00	1,599.29	80.0	1,279.43
04/04/2018	COMMUNITY GRACE	PERSHING	89,109.97	333.25	0.00	333.25	80.0	266.60
04/04/2018	KAUFFMAN, JOHN	PERSHING	71,609.33	267.80	0.00	267.80	80.0	214.24
04/04/2018	ZAMZOW, RONALD	PERSHING	27,323.15	102.18	0.00	102.18	80.0	81.74
04/04/2018	ZAMZOW, CARRIE	PERSHING	31,793.91	118.90	0.00	118.90	80.0	95.12
04/04/2018	TUTTLE, THOMAS	PERSHING	102,723.01	384.16	0.00	384.16	80.0	307.33
04/04/2018	DRUHOT, SCOTT	PERSHING	87,808.87	328.38	0.00	328.38	80.0	262.70
04/04/2018	WEIDES, LISA	PERSHING	149,379.58	558.64	0.00	558.64	80.0	446.91
04/04/2018	IGNEY, SHELLEY	PERSHING	169,577.17	634.17	0.00	634.17	80.0	507.34
04/04/2018	BERNDT, BARBARA	PERSHING	41,516.10	155.26	0.00	155.26	80.0	124.21
04/04/2018	HOUSER, DANIEL	PERSHING	31,315.32	117.11	0.00	117.11	80.0	93.69
04/04/2018	ISLEY, TERRY	PERSHING	50,226.04	125.22	0.00	125.22	80.0	100.18
04/04/2018	AMBRIOLE, WESLEY	PERSHING	146,725.45	548.71	0.00	548.71	80.0	438.97
04/04/2018	REED, VICTORIA	PERSHING	222,968.61	833.84	0.00	833.84	80.0	667.07
04/04/2018	NOLL, PRISCILLA	PERSHING	30,309.33	113.35	0.00	113.35	80.0	90.68
04/04/2018	THORNTON, PAUL	PERSHING	417,417.82	1,040.69	0.00	1,040.69	80.0	832.55
04/04/2018	KING, PATRICIA	PERSHING	50,014.74	187.04	0.00	187.04	80.0	149.63
04/04/2018	KING, PATRICIA	PERSHING	91,972.91	343.95	0.00	343.95	80.0	275.16
04/04/2018	VIELKIND, LAWRENCE	PERSHING	54,975.32	205.59	0.00	205.59	80.0	164.47
04/04/2018	LIPSCOMB, JOAN	PERSHING	58,868.38	220.15	0.00	220.15	80.0	176.12
04/04/2018	LIPSCOMB, SAMUEL	PERSHING	58,498.37	218.77	0.00	218.77	80.0	175.02
04/04/2018	LALLO, GARY	PERSHING	337,963.70	842.59	0.00	842.59	80.0	674.07
04/04/2018	THORNTON, PAUL	PERSHING	302,427.44	754.00	0.00	754.00	80.0	603.20
04/04/2018	SHERBURNE, STEVEN	PERSHING	129,721.27	485.12	0.00	485.12	80.0	388.10
04/04/2018	BAEUERLE, JASON	PERSHING	3,500.00	12.80	0.00	12.80	80.0	10.24
04/04/2018	BAEUERLE, JASON	PERSHING	78,477.47	293.48	0.00	293.48	80.0	234.78
04/04/2018	WITMER, R KARIN	PERSHING	8,037.53	16.85	0.00	16.85	80.0	13.48
04/04/2018	WITMER, R KARIN	PERSHING	64,628.05	241.69	0.00	241.69	80.0	193.35
04/04/2018	KAUFFMAN, JAN	PERSHING	25,000.00	26.71	0.00	26.71	80.0	21.37
04/04/2018	KAUFFMAN, JAN	PERSHING	25,004.72	93.51	0.00	93.51	80.0	74.81
03/28/2018	GALENTINE, JOSHUA	TD AMERITRADE	65,197.78	40.19	0.00	40.19	80.0	32.15
* RIA SUBTOTAL *			\$9,623,814.45	\$33,094.79	\$0.00	\$33,094.79		\$26,475.83

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
*** DIRECT TRANSACTIONS TOTAL ***			\$9,623,814.45	\$33,094.79	\$0.00	\$33,094.79		\$26,475.83

<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
04/04/2018	HAMM, GAIL	PERSHING	224,895.65	294.36	0.00	294.36	80.0	235.49
04/04/2018	HAMM, GAIL	PERSHING	100,316.52	131.30	0.00	131.30	80.0	105.04
04/04/2018	HAMM, GAIL	PERSHING	112,172.07	146.82	0.00	146.82	80.0	117.46
04/04/2018	EISERLE, DAVID	PERSHING	17,255.08	22.58	0.00	22.58	80.0	18.06
04/04/2018	NOVELL, DEANA	PERSHING	103,220.92	135.10	0.00	135.10	80.0	108.08
04/04/2018	GROTE, DONALD	PERSHING	109,348.68	143.12	0.00	143.12	80.0	114.50
04/04/2018	GROTE, DONALD	PERSHING	29,950.49	39.21	0.00	39.21	80.0	31.37
04/04/2018	CHIDDISTER, LISA	PERSHING	94.22	0.12	0.00	0.12	80.0	0.10
04/04/2018	KAISER, ROBIN	PERSHING	47,922.83	62.72	0.00	62.72	80.0	50.18
04/04/2018	KAISER, ROBERT	PERSHING	15,388.77	20.14	0.00	20.14	80.0	16.11
04/04/2018	GAY, RICHARD	PERSHING	22,742.42	29.76	0.00	29.76	80.0	23.81
04/04/2018	SORG, RICHARD	PERSHING	80,777.03	70.48	0.00	70.48	80.0	56.38
04/04/2018	BURTNETTE, PATRICIA	PERSHING	390,206.69	340.50	0.00	340.50	80.0	272.40
04/04/2018	BAILEY, LAURIE	PERSHING	43,938.37	57.51	0.00	57.51	80.0	46.01
04/04/2018	CAIN, LISA	PERSHING	28,266.04	32.52	0.00	32.52	80.0	26.02
04/04/2018	CAIN, LISA	PERSHING	27,044.11	35.40	0.00	35.40	80.0	28.32
04/04/2018	CAIN, LISA	PERSHING	29,431.83	33.86	0.00	33.86	80.0	27.09
04/04/2018	CAIN, LISA	PERSHING	28,302.74	37.05	0.00	37.05	80.0	29.64
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,411,274.46	\$1,632.55	\$0.00	\$1,632.55		\$1,306.06
*** SPLIT TRANSACTIONS TOTAL ***			\$1,411,274.46	\$1,632.55	\$0.00	\$1,632.55		\$1,306.06

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: WILLIAM, L129 LAYMAN #207</u>								
12/13/2017	BOUTWELL, SHANE	AMERICAN FUNDS	0.00	43.73	0.00	43.73	45.0	19.68
12/13/2017	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	0.00	10.36	0.00	10.36	45.0	4.66
12/13/2017	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	0.00	12.58	0.00	12.58	45.0	5.66
12/13/2017	LAMOTT, KEVIN	AMERICAN FUNDS	0.00	0.06	0.00	0.06	45.0	0.03
03/13/2018	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	15,424.80	19.02	0.00	19.02	45.0	8.56
03/13/2018	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	17,357.05	21.40	0.00	21.40	45.0	9.63
03/13/2018	BOUTWELL, SHANE	AMERICAN FUNDS	67,936.22	83.76	0.00	83.76	45.0	37.69
03/13/2018	LAMOTT, KEVIN	AMERICAN FUNDS	309.86	0.38	0.00	0.38	45.0	0.17
* WILLIAM, L129 LAYMAN SUBTOTAL *			\$101,027.93	\$191.29	\$0.00	\$191.29		\$86.08
*** OVERRIDE TRANSACTIONS TOTAL ***			\$101,027.93	\$191.29	\$0.00	\$191.29		\$86.08

ADJUSTMENTS

04/15/2018	*PERSHING: MF PROSPECTUS	0.00						-2.70
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$-2.70

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BROKER COMMISSION TOTALS

Broker Transactions	\$26,475.83
Split Transactions	\$1,306.06
Override Transactions	\$86.08
Adjustments	\$-2.70
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$27,865.27
Year-to-date Gross	\$129,917.94
Year-to-date Earnings	\$104,548.58