

STATEMENT

February 20, 2018

MALVIN JOHNSON
117 C Street
SUITE 620
Vallejo, CA 94950

BROKER #: 036
BRANCH:

COMMISSION STATEMENT for MALVIN JOHNSON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/05/2018	#00082111667	EDWARDS, ELLEN	EURO PACIFIC GROWTH FUND CLASS A	260.00	7.15	90.0	0.00	6.44	6.44
01/05/2018	#00082111667	EDWARDS, ELLEN	FUNDAMENTAL INVESTORS INC CLASS A	220.00	6.05	90.0	0.00	5.45	5.45
01/05/2018	#00082111667	EDWARDS, ELLEN	NEW PERSPECTIVE FUND INC CL A	220.00	6.05	90.0	0.00	5.45	5.45
			* MUTUAL FUNDS SUBTOTAL *	\$700.00	\$19.25	\$0.00	\$17.34	\$0.00	\$17.34
<u>PRODUCT CATEGORY: STOCKS</u>									
01/05/2018	#	TAYLOR, PHILLIS	AT&T INC	5,124.47	105.91	85.0	0.00	90.02	72.02
01/05/2018	#	TAYLOR, PHILLIS	CANADIAN IMPERIAL BANK OF COMMERCE	5,387.99	84.00	85.0	0.00	71.40	53.40
01/05/2018	#	TAYLOR, PHILLIS	CONSOLIDATED EDISON INC	5,304.64	84.00	85.0	0.00	71.40	53.40
01/05/2018	#	TAYLOR, PHILLIS	INTERNATIONAL BUSINESS	5,492.70	105.00	85.0	0.00	89.25	71.25
01/05/2018	#	TAYLOR, PHILLIS	MERCK & CO INC	5,271.94	78.75	85.0	0.00	66.94	48.94
01/05/2018	#	TAYLOR, PHILLIS	METLIFE INC	5,348.71	98.22	85.0	0.00	83.49	65.49
01/05/2018	#	TAYLOR, PHILLIS	PFIZER INC	5,319.33	116.73	85.0	0.00	99.22	81.22
01/05/2018	#	TAYLOR, PHILLIS	SOUTHERN CO	5,315.43	109.24	85.0	0.00	92.85	74.85
01/12/2018	#	WALKER, JERRY	AT&T INC	11,018.46	174.57	85.0	0.00	148.38	130.38
01/12/2018	#	WALKER, JERRY	BLACKSTONE GROUP L P	10,604.43	170.06	85.0	0.00	144.55	126.55
01/12/2018	#	WALKER, JERRY	INTERNATIONAL BUSINESS	9,856.20	78.75	85.0	0.00	66.94	48.94
01/12/2018	#	WALKER, JERRY	MERCK & CO INC	9,725.38	146.86	85.0	0.00	124.83	106.83
01/12/2018	#	WALKER, JERRY	NORTHWEST BANCSHARES INC MD	10,187.22	187.31	85.0	0.00	159.21	141.21
01/12/2018	#	WALKER, JERRY	PFIZER INC	10,981.50	174.17	85.0	0.00	148.04	130.04
01/12/2018	#	WALKER, JERRY	SOUTHERN CO	10,117.49	178.37	85.0	0.00	151.61	133.61
			* STOCKS SUBTOTAL *	\$115,055.89	\$1,891.94	\$0.00	\$1,608.13	\$270.00	\$1,338.13
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/31/2017	#951026706	LAMBERT, INEZ	LINCOLN	0.00	96.56	90.0	0.00	86.90	86.90
12/31/2017	#954184343	PALMER, FRANCIS	LINCOLN	0.00	54.98	90.0	0.00	49.48	49.48
12/31/2017	#954195138	DWYER, TIMOTHY	LINCOLN	0.00	149.76	90.0	0.00	134.78	134.78
			* VARIABLE ANNUITIES SUBTOTAL *	\$0.00	\$301.30	\$0.00	\$271.16	\$0.00	\$271.16

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/02/2018 #	TAYLOR, PHILLIS	DAIN TRAILER	2,000.00	20.00	90.0	0.00	18.00	0.00	18.00
01/10/2018 #	C PROP, NEW YORK CITY H	DAIN TRAILER	0.00	0.12	90.0	0.00	0.11	0.00	0.11
01/10/2018 #	TAYLOR, PHILLIS	DAIN TRAILER	0.00	9.12	90.0	0.00	8.21	0.00	8.21
01/10/2018 #	TAYLOR, PHILLIS	DAIN TRAILER	0.00	11.84	90.0	0.00	10.66	0.00	10.66
01/10/2018 #	VENOSDEL, HELEN	DAIN TRAILER	0.00	0.91	90.0	0.00	0.82	0.00	0.82
01/10/2018 #	VENOSDEL, HELEN	DAIN TRAILER	0.00	0.08	90.0	0.00	0.07	0.00	0.07
01/10/2018 #	VENOSDEL, HELEN	DAIN TRAILER	0.00	0.22	90.0	0.00	0.20	0.00	0.20
01/10/2018 #	VENOSDEL, HELEN	DAIN TRAILER	0.00	0.33	90.0	0.00	0.30	0.00	0.30
01/10/2018 #	VENOSDEL, HELEN	DAIN TRAILER	0.00	0.91	90.0	0.00	0.82	0.00	0.82
01/10/2018 #	VENOSDEL, HELEN	DAIN TRAILER	0.00	0.08	90.0	0.00	0.07	0.00	0.07
01/10/2018 #	VENOSDEL, HELEN	DAIN TRAILER	0.00	0.22	90.0	0.00	0.20	0.00	0.20
01/10/2018 #	VENOSDEL, HELEN	DAIN TRAILER	0.00	0.33	90.0	0.00	0.30	0.00	0.30
01/10/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	5.58	90.0	0.00	5.02	0.00	5.02
01/10/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	5.44	90.0	0.00	4.90	0.00	4.90
01/10/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	7.91	90.0	0.00	7.12	0.00	7.12
01/10/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	2.23	90.0	0.00	2.01	0.00	2.01
01/10/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	5.00	90.0	0.00	4.50	0.00	4.50
01/10/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	9.96	90.0	0.00	8.96	0.00	8.96
01/10/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	4.47	90.0	0.00	4.02	0.00	4.02
01/10/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	8.61	90.0	0.00	7.75	0.00	7.75
01/10/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	5.61	90.0	0.00	5.05	0.00	5.05
01/10/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	12.28	90.0	0.00	11.05	0.00	11.05
01/10/2018 #	YARDE, GRAHAM	DAIN TRAILER	0.00	4.10	90.0	0.00	3.69	0.00	3.69
01/10/2018 #	YARDE, GRAHAM	DAIN TRAILER	0.00	6.73	90.0	0.00	6.06	0.00	6.06
01/10/2018 #	BARROW, RITA	DAIN TRAILER	0.00	2.92	90.0	0.00	2.63	0.00	2.63
01/10/2018 #	BARROW, RITA	DAIN TRAILER	0.00	1.25	90.0	0.00	1.13	0.00	1.13
01/10/2018 #	BARROW, JOHN	DAIN TRAILER	0.00	3.47	90.0	0.00	3.12	0.00	3.12
01/10/2018 #	BARROW, JOHN	DAIN TRAILER	0.00	1.33	90.0	0.00	1.20	0.00	1.20
01/10/2018 #	BARROW, JOHN	DAIN TRAILER	0.00	1.38	90.0	0.00	1.24	0.00	1.24
01/10/2018 #	BARROW, JOHN	DAIN TRAILER	0.00	1.91	90.0	0.00	1.72	0.00	1.72
01/10/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	6.31	90.0	0.00	5.68	0.00	5.68
01/10/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	2.72	90.0	0.00	2.45	0.00	2.45
01/10/2018 #	BRIGGS, MYRA	DAIN TRAILER	0.00	18.90	90.0	0.00	17.01	0.00	17.01
01/10/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	6.31	90.0	0.00	5.68	0.00	5.68
01/10/2018 #	DWYER, TIMOTHY	DAIN TRAILER	0.00	13.68	90.0	0.00	12.31	0.00	12.31
01/10/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	1.36	90.0	0.00	1.22	0.00	1.22

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	2.19	90.0	0.00	1.97	0.00	1.97
01/10/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	2.51	90.0	0.00	2.26	0.00	2.26
01/10/2018 #	BRIGGS, KENNETH	DAIN TRAILER	0.00	0.65	90.0	0.00	0.59	0.00	0.59
01/10/2018 #	CLARK, FRANK	DAIN TRAILER	0.00	1.68	90.0	0.00	1.51	0.00	1.51
01/10/2018 #	CLARK, FRANK	DAIN TRAILER	0.00	2.53	90.0	0.00	2.28	0.00	2.28
01/10/2018 #	CLARK, FRANK	DAIN TRAILER	0.00	2.19	90.0	0.00	1.97	0.00	1.97
01/10/2018 #	DWYER, TIMOTHY	DAIN TRAILER	0.00	3.70	90.0	0.00	3.33	0.00	3.33
01/10/2018 #	DWYER, TIMOTHY	DAIN TRAILER	0.00	3.29	90.0	0.00	2.96	0.00	2.96
01/10/2018 #	DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	6.93	90.0	0.00	6.24	0.00	6.24
01/10/2018 #	DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	19.18	90.0	0.00	17.26	0.00	17.26
01/10/2018 #	DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	1.73	90.0	0.00	1.56	0.00	1.56
01/10/2018 #	DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	6.36	90.0	0.00	5.72	0.00	5.72
01/10/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	4.67	90.0	0.00	4.20	0.00	4.20
01/10/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	10.24	90.0	0.00	9.22	0.00	9.22
01/10/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	3.68	90.0	0.00	3.31	0.00	3.31
01/10/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	5.53	90.0	0.00	4.98	0.00	4.98
01/10/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	9.06	90.0	0.00	8.15	0.00	8.15
01/10/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	0.67	90.0	0.00	0.60	0.00	0.60
01/10/2018 #	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	3.63	90.0	0.00	3.27	0.00	3.27
01/10/2018 #	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	8.46	90.0	0.00	7.61	0.00	7.61
01/10/2018 #	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	26.92	90.0	0.00	24.23	0.00	24.23
01/10/2018 #	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	29.08	90.0	0.00	26.17	0.00	26.17
01/10/2018 #	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	4.09	90.0	0.00	3.68	0.00	3.68
01/10/2018 #	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	7.58	90.0	0.00	6.82	0.00	6.82
01/10/2018 #	BARROW, DIANA	DAIN TRAILER	0.00	0.25	90.0	0.00	0.23	0.00	0.23
01/10/2018 #	TAYLOR, PHILLIS	DAIN TRAILER	0.00	3.78	90.0	0.00	3.40	0.00	3.40
01/10/2018 #	BRIGGS, MYRA	DAIN TRAILER	0.00	3.86	90.0	0.00	3.47	0.00	3.47
01/10/2018 #	BRIGGS, MYRA	DAIN TRAILER	0.00	2.98	90.0	0.00	2.68	0.00	2.68
01/10/2018 #	BRIGGS, MYRA	DAIN TRAILER	0.00	7.08	90.0	0.00	6.37	0.00	6.37
01/10/2018 #	BRIGGS, MYRA	DAIN TRAILER	0.00	10.42	90.0	0.00	9.38	0.00	9.38
01/10/2018 #	BRIGGS, MYRA	DAIN TRAILER	0.00	1.51	90.0	0.00	1.36	0.00	1.36
01/10/2018 #	KEENE, JAMES	DAIN TRAILER	0.00	0.64	90.0	0.00	0.58	0.00	0.58
01/10/2018 #	MONTGOMERY, KEVIN	DAIN TRAILER	0.00	1.34	90.0	0.00	1.21	0.00	1.21
01/10/2018 #	MONTGOMERY, JUSTIN	DAIN TRAILER	0.00	1.13	90.0	0.00	1.02	0.00	1.02
01/10/2018 #	PALMER, FRANCIS	DAIN TRAILER	0.00	4.89	90.0	0.00	4.40	0.00	4.40
01/10/2018 #	PALMER, FRANCIS	DAIN TRAILER	0.00	4.88	90.0	0.00	4.39	0.00	4.39

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/10/2018 # PALMER, FRANCIS	DAIN TRAILER	0.00	2.58	90.0	0.00	2.32	0.00	2.32
01/26/2018 # MONTGOMERY, KEVIN	DAIN TRAILER	0.00	0.34	90.0	0.00	0.31	0.00	0.31
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$2,000.00	\$395.85		\$0.00	\$356.29	\$0.00	\$356.29
*** BROKER TRANSACTIONS TOTAL ***		\$117,755.89	\$2,608.34		\$0.00	\$2,252.92	\$270.00	\$1,982.92

ADJUSTMENTS

02/20/2018	CIRCLEBLACK: CIRCLEBLACK	-175.00
02/20/2018	DOCUSIGN: DOCUSIGN	-51.00
*** ADJUSTMENTS TOTAL ***		\$-226.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,982.92
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-226.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,756.92
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