

COMMISSION STATEMENT

April 30, 2018

DANIEL SIEGEL
99 WINGED FOOT DRIVE

BROKER #: R25
BRANCH: HOME OFFICE

LIVINGSTON, NJ 07039

COMMISSION STATEMENT for DANIEL SIEGEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/10/2018	QGW009821	SUGARMAN, GAIL	LLOYDS BANKING GROUP PLC SPONS ADR B	3,103.92	99.00	10.00	89.00	80.0	71.20
04/10/2018	QGW009847	LEICHER, MARVIN	LLOYDS BANKING GROUP PLC SPONS ADR B	5,819.85	149.00	10.00	139.00	80.0	111.20
04/19/2018	QGW009847	LEICHER, MARVIN	KEYCORP NEW B	9,804.95	154.00	10.00	144.00	80.0	115.20
04/10/2018	QGW009862	KANTOR MINOR, JAIME	LLOYDS BANKING GROUP PLC SPONS ADR B	3,103.92	99.00	10.00	89.00	80.0	71.20
04/25/2018	QGW009896	GREENBURG, JON	ALPS ETF TR ALERIAN MLP S	-3,996.28	50.00	10.00	40.00	80.0	32.00
04/10/2018	QGW020919	KELLY, ROBERT	LLOYDS BANKING GROUP PLC SPONS ADR B	5,819.85	149.00	10.00	139.00	80.0	111.20
04/25/2018	QGW020919	KELLY, ROBERT	ALPS ETF TR ALERIAN MLP S	-4,995.35	127.00	10.00	117.00	80.0	93.60
04/25/2018	QGW020935	KANTOR, SUSAN	ALPS ETF TR ALERIAN MLP S	-3,996.28	79.00	10.00	69.00	80.0	55.20
04/10/2018	QGW021271	KLUGMAN, ROBERT	LLOYDS BANKING GROUP PLC SPONS ADR B	5,819.85	149.00	10.00	139.00	80.0	111.20
04/25/2018	QGW021271	KLUGMAN, ROBERT	ALPS ETF TR ALERIAN MLP S	-4,995.35	127.00	10.00	117.00	80.0	93.60
04/25/2018	QGW022246	SUGARMAN, GAIL	ALPS ETF TR ALERIAN MLP S	-3,996.28	99.00	10.00	89.00	80.0	71.20
04/10/2018	QGW023772	PATRICK E OBRIEN,	LLOYDS BANKING GROUP PLC SPONS ADR B	5,819.85	149.00	10.00	139.00	80.0	111.20
04/25/2018	QGW023772	PATRICK E OBRIEN,	ALPS ETF TR ALERIAN MLP S	-3,996.28	99.00	10.00	89.00	80.0	71.20
* STOCKS SUBTOTAL *				\$13,316.37	\$1,529.00	\$130.00	\$1,399.00		\$1,119.20
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018		FEE COUNT - 1		0.00	5.65	0.00	5.65	80.0	4.52
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$5.65	\$0.00	\$5.65		\$4.52
*** BROKER TRANSACTIONS TOTAL ***				\$13,316.37	\$1,534.65	\$130.00	\$1,404.65		\$1,123.72

BROKER COMMISSION TOTALS

Broker Transactions	\$1,123.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.92
SIPC Assessment	\$-3.84

Check Amount	\$1,117.96
Year-to-date Earnings	\$7,853.97