



Concorde Investment Services, LLC
COMMISSION STATEMENT
December 15, 2017

12/15/2017
Page No. 1

DAMIAN DERING
165 Culver Blvd
Suite A
Playa Del Rey, CA 90293

BROKER #: D127
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
12/11/2017	ENTREKIN CHARB TRUST, GARY	CONSOLIDATED COMMUNICATIONS HLDGS I	25,602.31	256.02	24.85	231.17	92.0	212.68
12/11/2017	ENTREKIN CHARB TRUST, GARY	OFS CAP CORP COM	24,667.00	246.67	25.00	221.67	92.0	203.94
* STOCKS SUBTOTAL *			\$50,269.31	\$502.69	\$49.85	\$452.84		\$416.62
*** CLEARING TRANSACTIONS TOTAL ***			\$50,269.31	\$502.69	\$49.85	\$452.84		\$416.62

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
11/22/2017	AMIN TRUST, CHIRAG	BIRKDALE LANDING HOLDINGS LLC	47,850.00	3,349.50	0.00	3,349.50	92.0	3,081.54
* PRIVATE PLACEMENT SUBTOTAL *			\$47,850.00	\$3,349.50	\$0.00	\$3,349.50		\$3,081.54

<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	0.00	2.00	0.00	2.00	92.0	1.84
11/30/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
11/30/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
* 529 PLANS SUBTOTAL *			\$300.00	\$14.76	\$0.00	\$14.76		\$13.58

<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/05/2017	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	500.00	18.75	0.00	18.75	92.0	17.25
* MUTUAL FUNDS SUBTOTAL *			\$500.00	\$18.75	\$0.00	\$18.75		\$17.25

<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/30/2017	FARFAN, FRANK	AM GEN SEASONS ELITE	90,320.18	225.80	0.00	225.80	92.0	207.74
11/30/2017	PUGH, CECILIA	JNL PERSPECTIVE L	44,246.35	36.87	0.00	36.87	92.0	33.92
11/30/2017	LAXER, JACK	JNL PERSPECTIVE L	58,288.30	48.57	0.00	48.57	92.0	44.68
11/30/2017	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	62,119.33	51.77	0.00	51.77	92.0	47.63
11/30/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	76,059.46	63.38	0.00	63.38	92.0	58.31
11/30/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	74,861.16	62.38	0.00	62.38	92.0	57.39

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/11/2017	BAUTISTA, MARYANN	TRANSAMERICA LIBERTY	195,998.69	163.33	0.00	163.33	92.0	150.26
* VARIABLE ANNUITIES SUBTOTAL *			\$601,893.47	\$652.10	\$0.00	\$652.10		\$599.93
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/01/2017	KUSUNOKI, MIKE	AM FUNDS AMERICAN BALANCED 529C	0.00	10.68	0.00	10.68	92.0	9.83
12/01/2017	KUSUNOKI, MIKE	AM FUNDS AMERICAN BALANCED 529C	0.00	10.59	0.00	10.59	92.0	9.74
12/01/2017	BERNARD, AVA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.14	0.00	1.14	92.0	1.05
12/01/2017	BERNARD, AVA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.96	0.00	3.96	92.0	3.64
11/20/2017	SUTZ, JACK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.24	0.00	5.24	92.0	4.82
12/01/2017	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	38.24	0.00	38.24	92.0	35.18
12/01/2017	BERNARD, AVA	AM FUNDS NEW WORLD 529A	0.00	3.26	0.00	3.26	92.0	3.00
12/01/2017	BERNARD, JASON	AM FUNDS SMALLCAP WORLD A	0.00	2.12	0.00	2.12	92.0	1.95
12/01/2017	BERNARD, AVA	AM FUNDS SMALLCAP WORLD-529A	0.00	2.17	0.00	2.17	92.0	2.00
12/01/2017	BERNARD, AVA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.45	0.00	3.45	92.0	3.17
11/20/2017	SUTZ, JACK	FIDELITY DIVIDEND GROWTH A	0.00	2.16	0.00	2.16	92.0	1.99
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	0.00	2.25	0.00	2.25	92.0	2.07
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.15	0.00	1.15	92.0	1.06
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.10	0.00	1.10	92.0	1.01
11/16/2017	SUTZ, JACK	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	3.94	0.00	3.94	92.0	3.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$91.45	\$0.00	\$91.45		\$84.13
*** DIRECT TRANSACTIONS TOTAL ***			\$650,543.47	\$4,126.56	\$0.00	\$4,126.56		\$3,796.43

ADJUSTMENTS

12/15/2017	*AI INSIGHT: AI INSIGHT	0.00	-7.00
12/15/2017	*CREDIT CARD PYT: CREDIT CARD PYT	0.00	698.98
12/15/2017	*EO INSURANCE: CURRENT MONTH INS	0.00	-300.00
12/15/2017	*GLOBAL RELAY: WEBSITE	0.00	-15.00
12/15/2017	*PERSHING: PERSHING: BOOKS AND RECO	0.00	-1.98
12/15/2017	*TECHNOLOGY: ADMIN - CHRYSANTHA COS	0.00	-135.00
12/15/2017	*TECHNOLOGY: ADMIN - ELIZABETH	0.00	-60.00
12/15/2017	*TECHNOLOGY: CURRENT MONTH BASIC	0.00	-180.00

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DIRECT TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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BROKER COMMISSION TOTALS

Broker Transactions	\$4,213.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,213.05
Year-to-date Gross	\$294,222.41
Year-to-date Earnings	\$269,750.86

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