



Concorde Investment Services, LLC

04/18/2017
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COMMISSION STATEMENT March 31, 2017

JEFFREY KAUFMAN
801 Gray Oak Drive

BROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/08/2017	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	516.81	5.17	0.00	5.17	90.0	4.65
03/08/2017	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	1,230.76	12.31	0.00	12.31	90.0	11.08
03/14/2017	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	4,411.74	44.12	0.00	44.12	90.0	39.71
03/22/2017	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	516.81	5.17	0.00	5.17	90.0	4.65
03/22/2017	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	1,230.76	12.31	0.00	12.31	90.0	11.08
03/08/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	85.40	0.85	0.00	0.85	90.0	0.77
03/08/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	85.40	0.85	0.00	0.85	90.0	0.77
03/08/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
03/08/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
03/08/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	68.74	0.69	0.00	0.69	90.0	0.62
03/08/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	51.55	0.52	0.00	0.52	90.0	0.47
03/22/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	91.07	0.91	0.00	0.91	90.0	0.82
03/22/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	91.07	0.91	0.00	0.91	90.0	0.82
03/22/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
03/22/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
03/22/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	56.74	0.57	0.00	0.57	90.0	0.51
03/22/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	42.55	0.43	0.00	0.43	90.0	0.39
03/08/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.31	0.54	0.00	0.54	90.0	0.49
03/08/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.31	0.54	0.00	0.54	90.0	0.49
03/08/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	27.12	0.27	0.00	0.27	90.0	0.24
03/08/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	27.12	0.27	0.00	0.27	90.0	0.24
03/08/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
03/08/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
03/22/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	53.48	0.53	0.00	0.53	90.0	0.48
03/22/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	53.48	0.53	0.00	0.53	90.0	0.48
03/22/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	25.26	0.25	0.00	0.25	90.0	0.23

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/22/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	25.26	0.25	0.00	0.25	90.0	0.23
03/22/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
03/22/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
03/08/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.20	0.40	0.00	0.40	90.0	0.36
03/08/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.20	0.40	0.00	0.40	90.0	0.36
03/08/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	45.41	0.45	0.00	0.45	90.0	0.41
03/08/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	45.41	0.45	0.00	0.45	90.0	0.41
03/22/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	42.42	0.42	0.00	0.42	90.0	0.38
03/22/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	42.42	0.42	0.00	0.42	90.0	0.38
03/22/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	46.46	0.46	0.00	0.46	90.0	0.41
03/22/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	46.46	0.46	0.00	0.46	90.0	0.41
03/06/2017	ARONSON TRUST, BURNELL	AM FUNDS AMERICAN BALANCED A	103,032.57	2,833.40	0.00	2,833.40	90.0	2,550.06
03/08/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN BALANCED A	1,104.80	11.05	0.00	11.05	90.0	9.95
03/08/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN BALANCED A	331.44	3.31	0.00	3.31	90.0	2.98
03/08/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	355.54	3.56	0.00	3.56	90.0	3.20
03/08/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	152.37	1.52	0.00	1.52	90.0	1.37
03/08/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	50.47	0.50	0.00	0.50	90.0	0.45
03/08/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	50.47	0.50	0.00	0.50	90.0	0.45
03/14/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN BALANCED A	4,278.07	42.78	0.00	42.78	90.0	38.50
03/22/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN BALANCED A	331.44	3.31	0.00	3.31	90.0	2.98
03/22/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN BALANCED A	1,104.80	11.05	0.00	11.05	90.0	9.95
03/22/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	282.88	2.83	0.00	2.83	90.0	2.55
03/22/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	121.23	1.21	0.00	1.21	90.0	1.09
03/22/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	49.33	0.49	0.00	0.49	90.0	0.44
03/22/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	49.33	0.49	0.00	0.49	90.0	0.44
03/08/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	236.74	2.37	0.00	2.37	90.0	2.13
03/08/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	71.02	0.71	0.00	0.71	90.0	0.64
03/08/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	23.73	0.24	0.00	0.24	90.0	0.22
03/08/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	63.29	0.63	0.00	0.63	90.0	0.57
03/14/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	916.73	9.17	0.00	9.17	90.0	8.25
03/14/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	38.40	0.38	0.00	0.38	90.0	0.34
03/22/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	71.02	0.71	0.00	0.71	90.0	0.64
03/22/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	236.74	2.37	0.00	2.37	90.0	2.13

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/22/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	23.73	0.24	0.00	0.24	90.0	0.22
03/22/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	63.29	0.63	0.00	0.63	90.0	0.57
03/08/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	142.02	1.42	0.00	1.42	90.0	1.28
03/08/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	142.02	1.42	0.00	1.42	90.0	1.28
03/08/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
03/08/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
03/08/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	34.01	0.34	0.00	0.34	90.0	0.31
03/08/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	34.01	0.34	0.00	0.34	90.0	0.31
03/22/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	142.01	1.42	0.00	1.42	90.0	1.28
03/22/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	142.01	1.42	0.00	1.42	90.0	1.28
03/22/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
03/22/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
03/22/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	34.16	0.34	0.00	0.34	90.0	0.31
03/22/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	34.16	0.34	0.00	0.34	90.0	0.31
03/08/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	25.27	0.25	0.00	0.25	90.0	0.23
03/08/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	25.27	0.25	0.00	0.25	90.0	0.23
03/22/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	18.52	0.19	0.00	0.19	90.0	0.17
03/22/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	18.52	0.19	0.00	0.19	90.0	0.17
03/08/2017	PATTISON, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	236.74	2.37	0.00	2.37	90.0	2.13
03/08/2017	PATTISON, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	71.02	0.71	0.00	0.71	90.0	0.64
03/14/2017	PATTISON, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	916.73	9.17	0.00	9.17	90.0	8.25
03/22/2017	PATTISON, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	71.02	0.71	0.00	0.71	90.0	0.64
03/22/2017	PATTISON, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	236.74	2.37	0.00	2.37	90.0	2.13
03/06/2017	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND A	200.00	10.00	0.00	10.00	90.0	9.00
03/06/2017	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	184.62	3.69	0.00	3.69	90.0	3.32
03/08/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	17.80	0.18	0.00	0.18	90.0	0.16
03/08/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	47.46	0.47	0.00	0.47	90.0	0.42
03/14/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	28.80	0.29	0.00	0.29	90.0	0.26
03/20/2017	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	184.62	3.69	0.00	3.69	90.0	3.32
03/22/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	17.80	0.18	0.00	0.18	90.0	0.16
03/22/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	47.46	0.47	0.00	0.47	90.0	0.42
03/21/2017	CO/TOD	AM FUNDS EUROPACIFIC GROWTH FUND C	4,000.00	40.00	0.00	40.00	90.0	36.00
03/08/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	947.16	9.47	0.00	9.47	90.0	8.52

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/08/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	321.00	3.21	0.00	3.21	90.0	2.89
03/08/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	47.06	0.47	0.00	0.47	90.0	0.42
03/08/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	47.06	0.47	0.00	0.47	90.0	0.42
03/08/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	46.08	0.46	0.00	0.46	90.0	0.41
03/08/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	46.08	0.46	0.00	0.46	90.0	0.41
03/14/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	3,513.04	35.13	0.00	35.13	90.0	31.62
03/22/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	321.00	3.21	0.00	3.21	90.0	2.89
03/22/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	947.16	9.47	0.00	9.47	90.0	8.52
03/22/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	65.34	0.65	0.00	0.65	90.0	0.59
03/22/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	65.34	0.65	0.00	0.65	90.0	0.59
03/22/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	48.20	0.48	0.00	0.48	90.0	0.43
03/22/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	48.20	0.48	0.00	0.48	90.0	0.43
03/06/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	184.62	3.69	0.00	3.69	90.0	3.32
03/06/2017	LEHMAN, COLBY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
03/06/2017	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	150.00	7.50	0.00	7.50	90.0	6.75
03/08/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	50.55	0.51	0.00	0.51	90.0	0.46
03/08/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	50.55	0.51	0.00	0.51	90.0	0.46
03/08/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	44.75	0.45	0.00	0.45	90.0	0.41
03/08/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	14.91	0.15	0.00	0.15	90.0	0.14
03/08/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	17.80	0.18	0.00	0.18	90.0	0.16
03/08/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	47.46	0.47	0.00	0.47	90.0	0.42
03/08/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	14.32	0.14	0.00	0.14	90.0	0.13
03/08/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	14.32	0.14	0.00	0.14	90.0	0.13
03/08/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.16	0.30	0.00	0.30	90.0	0.27
03/08/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.16	0.30	0.00	0.30	90.0	0.27
03/08/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	56.89	0.57	0.00	0.57	90.0	0.51
03/08/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	56.89	0.57	0.00	0.57	90.0	0.51
03/08/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17
03/08/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17
03/08/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	54.38	0.54	0.00	0.54	90.0	0.49
03/08/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	54.38	0.54	0.00	0.54	90.0	0.49
03/13/2017	GUIER, CLINT	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
03/13/2017	GUIER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/13/2017	DELEON, JISEFREDO	AM FUNDS GROWTH FUND OF AMERICA A	49.73	2.49	0.00	2.49	90.0	2.24
03/13/2017	DELEON, JISEFREDO	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
03/14/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	28.80	0.29	0.00	0.29	90.0	0.26
03/14/2017	BURKHARDT, MORGAN	AM FUNDS GROWTH FUND OF AMERICA A	16.99	0.17	0.00	0.17	90.0	0.15
03/15/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
03/15/2017	GRIFFIN, SHAWN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
03/20/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	184.62	3.69	0.00	3.69	90.0	3.32
03/22/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	37.05	0.37	0.00	0.37	90.0	0.33
03/22/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	37.05	0.37	0.00	0.37	90.0	0.33
03/22/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	14.91	0.15	0.00	0.15	90.0	0.14
03/22/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	44.75	0.45	0.00	0.45	90.0	0.41
03/22/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	17.80	0.18	0.00	0.18	90.0	0.16
03/22/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	47.46	0.47	0.00	0.47	90.0	0.42
03/22/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	15.76	0.16	0.00	0.16	90.0	0.14
03/22/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	15.76	0.16	0.00	0.16	90.0	0.14
03/22/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.16	0.30	0.00	0.30	90.0	0.27
03/22/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.16	0.30	0.00	0.30	90.0	0.27
03/22/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	66.04	0.66	0.00	0.66	90.0	0.59
03/22/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	66.04	0.66	0.00	0.66	90.0	0.59
03/22/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17
03/22/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17
03/22/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	45.50	0.46	0.00	0.46	90.0	0.41
03/22/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	45.50	0.46	0.00	0.46	90.0	0.41
03/21/2017	CO/TOD	AM FUNDS GROWTH FUND OF AMERICA C	5,000.00	50.00	0.00	50.00	90.0	45.00
03/08/2017	DILLON, EMMA	AM FUNDS ICA A	16.74	0.17	0.00	0.17	90.0	0.15
03/08/2017	DILLON, EMMA	AM FUNDS ICA A	12.56	0.13	0.00	0.13	90.0	0.12
03/08/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	108.30	1.08	0.00	1.08	90.0	0.97
03/08/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	324.90	3.25	0.00	3.25	90.0	2.93
03/14/2017	DILLON, EMMA	AM FUNDS ICA A	8.77	0.09	0.00	0.09	90.0	0.08
03/14/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	32.40	0.32	0.00	0.32	90.0	0.29
03/22/2017	DILLON, EMMA	AM FUNDS ICA A	11.21	0.11	0.00	0.11	90.0	0.10
03/22/2017	DILLON, EMMA	AM FUNDS ICA A	14.94	0.15	0.00	0.15	90.0	0.14
03/22/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	37.80	0.38	0.00	0.38	90.0	0.34

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/22/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	113.40	1.13	0.00	1.13	90.0	1.02
03/08/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
03/08/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
03/22/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
03/22/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
03/06/2017	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	184.62	3.69	0.00	3.69	90.0	3.32
03/06/2017	MO/TOD	AM FUNDS NEW ECONOMY A	60.00	3.00	0.00	3.00	90.0	2.70
03/08/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	43.43	0.43	0.00	0.43	90.0	0.39
03/08/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.48	0.14	0.00	0.14	90.0	0.13
03/08/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	53.08	0.53	0.00	0.53	90.0	0.48
03/08/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	31.85	0.32	0.00	0.32	90.0	0.29
03/14/2017	BURKHARDT, MORGAN	AM FUNDS NEW ECONOMY A	16.99	0.17	0.00	0.17	90.0	0.15
03/20/2017	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	184.62	3.69	0.00	3.69	90.0	3.32
03/22/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.48	0.14	0.00	0.14	90.0	0.13
03/22/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	43.43	0.43	0.00	0.43	90.0	0.39
03/22/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	31.85	0.32	0.00	0.32	90.0	0.29
03/22/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	53.08	0.53	0.00	0.53	90.0	0.48
03/21/2017	CO/TOD	AM FUNDS NEW ECONOMY C	2,000.00	20.00	0.00	20.00	90.0	18.00
03/08/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	50.55	0.51	0.00	0.51	90.0	0.46
03/08/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	50.55	0.51	0.00	0.51	90.0	0.46
03/08/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	43.44	0.43	0.00	0.43	90.0	0.39
03/08/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.48	0.14	0.00	0.14	90.0	0.13
03/08/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	14.32	0.14	0.00	0.14	90.0	0.13
03/08/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	14.32	0.14	0.00	0.14	90.0	0.13
03/08/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.27	0.29	0.00	0.29	90.0	0.26
03/08/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.27	0.29	0.00	0.29	90.0	0.26
03/08/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	16.80	0.17	0.00	0.17	90.0	0.15
03/08/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	33.60	0.34	0.00	0.34	90.0	0.31
03/22/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	37.05	0.37	0.00	0.37	90.0	0.33
03/22/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	37.05	0.37	0.00	0.37	90.0	0.33
03/22/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.48	0.14	0.00	0.14	90.0	0.13
03/22/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	43.44	0.43	0.00	0.43	90.0	0.39
03/22/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	15.76	0.16	0.00	0.16	90.0	0.14

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/22/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	15.76	0.16	0.00	0.16	90.0	0.14
03/22/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.27	0.29	0.00	0.29	90.0	0.26
03/22/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.27	0.29	0.00	0.29	90.0	0.26
03/22/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	16.80	0.17	0.00	0.17	90.0	0.15
03/22/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	33.60	0.34	0.00	0.34	90.0	0.31
03/21/2017	CO/TOD	AM FUNDS NEW PERSPECTIVE C	2,000.00	20.00	0.00	20.00	90.0	18.00
03/06/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	369.22	7.38	0.00	7.38	90.0	6.64
03/08/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.27	0.29	0.00	0.29	90.0	0.26
03/08/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.27	0.29	0.00	0.29	90.0	0.26
03/20/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	369.22	7.38	0.00	7.38	90.0	6.64
03/22/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.27	0.29	0.00	0.29	90.0	0.26
03/22/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.27	0.29	0.00	0.29	90.0	0.26
03/21/2017	CO/TOD	AM FUNDS NEW WORLD C	2,000.00	20.00	0.00	20.00	90.0	18.00
03/08/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	45.96	0.46	0.00	0.46	90.0	0.41
03/08/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	45.96	0.46	0.00	0.46	90.0	0.41
03/08/2017	SEYER, MARY	AM FUNDS SMALLCAP WORLD A	14,490.46	144.90	0.00	144.90	90.0	130.41
03/08/2017	SEYER, MARY	AM FUNDS SMALLCAP WORLD A	506.51	5.07	0.00	5.07	90.0	4.56
03/08/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	19.10	0.19	0.00	0.19	90.0	0.17
03/08/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	19.10	0.19	0.00	0.19	90.0	0.17
03/08/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
03/08/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
03/08/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	33.60	0.34	0.00	0.34	90.0	0.31
03/08/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	16.80	0.17	0.00	0.17	90.0	0.15
03/16/2017	GUIER, KNOX	AM FUNDS SMALLCAP WORLD A	80.00	1.60	0.00	1.60	90.0	1.44
03/22/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	65.91	0.66	0.00	0.66	90.0	0.59
03/22/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	65.91	0.66	0.00	0.66	90.0	0.59
03/22/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	21.02	0.21	0.00	0.21	90.0	0.19
03/22/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	21.02	0.21	0.00	0.21	90.0	0.19
03/22/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
03/22/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
03/22/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	16.80	0.17	0.00	0.17	90.0	0.15
03/22/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	33.60	0.34	0.00	0.34	90.0	0.31
03/06/2017	OGLESBY, BEVERLY	AM FUNDS U.S. GOVERNMENT SECURITIES	97,427.73	2,679.26	0.00	2,679.26	90.0	2,411.33

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/08/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	42.12	0.42	0.00	0.42	90.0	0.38
03/08/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	42.12	0.42	0.00	0.42	90.0	0.38
03/22/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.87	0.31	0.00	0.31	90.0	0.28
03/22/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.87	0.31	0.00	0.31	90.0	0.28
03/06/2017	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	75.00	1.50	0.00	1.50	90.0	1.35
* MUTUAL FUNDS SUBTOTAL *			\$266,206.39	\$6,227.58	\$0.00	\$6,227.58		\$5,604.87
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
03/06/2017	GUIER, CLINT	PAC LIFE VUL	74.29	0.74	0.00	0.74	90.0	0.67
03/06/2017	ANDERSON, CHERRI JANE	PAC LIFE VUL	0.00	18.70	0.00	18.70	90.0	16.83
03/06/2017	GUIER, CLINT	PAC LIFE VUL	100.00	3.00	0.00	3.00	90.0	2.70
03/06/2017	BROCKSMITH, RACHEL	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
03/06/2017	STEWART, CHAD	PAC LIFE VUL	420.00	8.40	0.00	8.40	90.0	7.56
03/06/2017	ELLIOTT, PATRICIA	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
03/07/2017	DANIELS, BEVERLY	PAC LIFE VUL	100.00	1.00	0.00	1.00	90.0	0.90
03/07/2017	CALLIS, CHARLES	PAC LIFE VUL	416.67	8.33	0.00	8.33	90.0	7.50
03/07/2017	HILL, MIKE	PAC LIFE VUL	3.91	0.08	0.00	0.08	90.0	0.07
03/07/2017	HILL, MIKE	PAC LIFE VUL	146.09	2.92	0.00	2.92	90.0	2.63
03/13/2017	ANDING, MARY DIANE	PAC LIFE VUL	1,400.00	28.00	0.00	28.00	90.0	25.20
03/13/2017	REGAN, WILLIAM	PAC LIFE VUL	150.00	1.50	0.00	1.50	90.0	1.35
03/13/2017	CALLIS, ANNIS	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
03/13/2017	GRIFFIN, SHAWN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
03/14/2017	STALEY, MICHAELA	PAC LIFE VUL	0.00	8.45	0.00	8.45	90.0	7.61
03/14/2017	REDDICK, RYAN	PAC LIFE VUL	0.00	6.79	0.00	6.79	90.0	6.11
03/14/2017	MURRAY, JEFFREY	PAC LIFE VUL	584.57	584.57	0.00	584.57	90.0	526.11
03/17/2017	MCNERNEY, DAVID	PAC LIFE VUL	1,000.00	20.00	0.00	20.00	90.0	18.00
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$4,945.53	\$705.48	\$0.00	\$705.48		\$634.94
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/06/2017	ROY CROWLEY TST DTD 08/03,	PAC LIFE PACIFIC CHOICE	0.00	5,519.19	0.00	5,519.19	90.0	4,967.27
03/21/2017	JENNINGS, MARY	PAC LIFE PACIFIC CHOICE	0.00	291.72	0.00	291.72	90.0	262.55
03/24/2017	THOMPSON, TERRY	PAC LIFE PACIFIC CHOICE	0.00	5,636.64	0.00	5,636.64	90.0	5,072.98
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$11,447.55	\$0.00	\$11,447.55		\$10,302.80
*** BROKER TRANSACTIONS TOTAL ***			\$271,151.92	\$18,380.61	\$0.00	\$18,380.61		\$16,542.61

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
03/15/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	1,041.67	24.35	0.00	24.35	90.0	21.92
03/15/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	33.84	0.79	0.00	0.79	90.0	0.71
03/08/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	18.09	0.10	0.00	0.10	90.0	0.09
03/08/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	18.09	0.10	0.00	0.10	90.0	0.09
03/22/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	22.63	0.13	0.00	0.13	90.0	0.12
03/22/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	22.63	0.13	0.00	0.13	90.0	0.12
03/06/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	150.00	4.12	0.00	4.12	90.0	3.71
03/08/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.09	0.00	0.09	90.0	0.08
03/08/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.13	0.00	0.13	90.0	0.12
03/08/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
03/08/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	7.38	0.04	0.00	0.04	90.0	0.04
03/08/2017	MCWARD, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
03/08/2017	MCWARD, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	0.72	0.01	0.00	0.01	90.0	0.01
03/08/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	37.54	0.21	0.00	0.21	90.0	0.19
03/08/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	37.54	0.21	0.00	0.21	90.0	0.19
03/08/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
03/08/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
03/08/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	38.25	0.21	0.00	0.21	90.0	0.19
03/08/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	38.25	0.21	0.00	0.21	90.0	0.19
03/08/2017	MCMILLIAN, KATY	AM FUNDS GROWTH FUND OF AMERICA A	34.69	0.19	0.00	0.19	90.0	0.17
03/08/2017	MCMILLIAN, KATY	AM FUNDS GROWTH FUND OF AMERICA A	34.69	0.19	0.00	0.19	90.0	0.17
03/08/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	60.68	0.34	0.00	0.34	90.0	0.31
03/08/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	60.67	0.34	0.00	0.34	90.0	0.31
03/15/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	136.61	3.20	0.00	3.20	90.0	2.88
03/15/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	833.34	19.48	0.00	19.48	90.0	17.53
03/21/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
03/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.37	0.00	1.37	90.0	1.23
03/22/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.09	0.00	0.09	90.0	0.08
03/22/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.13	0.00	0.13	90.0	0.12
03/22/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	8.13	0.04	0.00	0.04	90.0	0.04
03/22/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
03/22/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	43.45	0.24	0.00	0.24	90.0	0.22
03/22/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	43.45	0.24	0.00	0.24	90.0	0.22

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
03/22/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
03/22/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
03/22/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	37.65	0.21	0.00	0.21	90.0	0.19
03/22/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	37.65	0.21	0.00	0.21	90.0	0.19
03/22/2017	MCMILLIAN, KATY	AM FUNDS GROWTH FUND OF AMERICA A	45.88	0.25	0.00	0.25	90.0	0.23
03/22/2017	MCMILLIAN, KATY	AM FUNDS GROWTH FUND OF AMERICA A	45.88	0.25	0.00	0.25	90.0	0.23
03/22/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	25.42	0.14	0.00	0.14	90.0	0.13
03/22/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	25.43	0.14	0.00	0.14	90.0	0.13
03/08/2017	ZELLMER, RIANNON	AM FUNDS ICA A	10.26	0.05	0.00	0.05	90.0	0.05
03/08/2017	ZELLMER, RIANNON	AM FUNDS ICA A	10.26	0.05	0.00	0.05	90.0	0.05
03/08/2017	WAHLIG, KACEY	AM FUNDS ICA A	18.10	0.10	0.00	0.10	90.0	0.09
03/08/2017	WAHLIG, KACEY	AM FUNDS ICA A	18.10	0.10	0.00	0.10	90.0	0.09
03/08/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
03/08/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
03/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	1.37	0.00	1.37	90.0	1.23
03/22/2017	ZELLMER, RIANNON	AM FUNDS ICA A	14.58	0.08	0.00	0.08	90.0	0.07
03/22/2017	ZELLMER, RIANNON	AM FUNDS ICA A	14.58	0.08	0.00	0.08	90.0	0.07
03/22/2017	WAHLIG, KACEY	AM FUNDS ICA A	22.64	0.13	0.00	0.13	90.0	0.12
03/22/2017	WAHLIG, KACEY	AM FUNDS ICA A	22.64	0.13	0.00	0.13	90.0	0.12
03/22/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.31	0.10	0.00	0.10	90.0	0.09
03/22/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.31	0.10	0.00	0.10	90.0	0.09
03/08/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.09	0.00	0.09	90.0	0.08
03/08/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.13	0.00	0.13	90.0	0.12
03/22/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.09	0.00	0.09	90.0	0.08
03/22/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.13	0.00	0.13	90.0	0.12
03/08/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
03/08/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
03/08/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	60.67	0.34	0.00	0.34	90.0	0.31
03/08/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	60.68	0.34	0.00	0.34	90.0	0.31
03/15/2017	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	1.37	0.00	1.37	90.0	1.23
03/22/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.31	0.10	0.00	0.10	90.0	0.09
03/22/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.31	0.10	0.00	0.10	90.0	0.09
03/22/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	25.43	0.14	0.00	0.14	90.0	0.13

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
03/22/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	25.42	0.14	0.00	0.14	90.0	0.13
03/15/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.15	0.80	0.00	0.80	90.0	0.72
03/15/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	208.33	4.87	0.00	4.87	90.0	4.38
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$4,118.29	\$72.35	\$0.00	\$72.35		\$65.20
*** SPLIT TRANSACTIONS TOTAL ***			\$4,118.29	\$72.35	\$0.00	\$72.35		\$65.20

<u> OVERRIDE TRANSACTIONS</u>								
<u>BROKER: JASON KEMBLE #348</u>								
03/10/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	2.00
03/24/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	2.00
03/10/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	90.0	2.00
03/24/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	90.0	2.00
03/24/2017	THOMPSON, TERRY	PAC LIFE PACIFIC CHOICE	0.00	5,636.64	0.00	5,636.64	90.0	2,254.66
* JASON KEMBLE SUBTOTAL *			\$400.00	\$5,656.64	\$0.00	\$5,656.64		\$2,262.66
*** OVERRIDE TRANSACTIONS TOTAL ***			\$400.00	\$5,656.64	\$0.00	\$5,656.64		\$2,262.66

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$16,542.61
Split Transactions	\$65.20
Override Transactions	\$2,262.66
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$18,870.47
Year-to-date Gross	\$38,418.15
Year-to-date Earnings	\$39,488.95