



# COMMISSION STATEMENT

## January 08, 2018

JOHN KELLY  
2400 E. Arizona Biltmore Cir.  
Suite 1100  
Phoenix, AZ 85016

BROKER #: 279  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/26/2017	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	37.50	0.75	0.00	0.75	90.0	0.68
	ACCOUNT #: 00087877714	BUY 1 Units @32.3500						
12/26/2017	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	60.00	1.20	0.00	1.20	90.0	1.08
	ACCOUNT #: 00087877714	BUY 2 Units @32.3500						
12/22/2017	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @27.9700						
12/22/2017	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	39.10	0.39	0.00	0.39	90.0	0.35
	ACCOUNT #: 00089949168	BUY 3 Units @14.8700						
12/22/2017	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @14.8700						
12/22/2017	***-**-6584 - BACON, ANDREA	AMERICAN 2040 TARGET DATE RETIREMNT	66.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: 00089949172	BUY 4 Units @15.2300						
12/22/2017	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @14.4400						
12/22/2017	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.2500						
12/22/2017	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @54.6400						
12/26/2017	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	53.11	1.06	0.00	1.06	90.0	0.95
	ACCOUNT #: 00087877714	BUY 1 Units @57.1900						
12/26/2017	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	85.00	1.70	0.00	1.70	90.0	1.53
	ACCOUNT #: 00087877714	BUY 1 Units @57.1900						
12/22/2017	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.0300						
12/26/2017	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	12.50	0.25	0.00	0.25	90.0	0.23
	ACCOUNT #: 00087877714	BUY 0 Units @68.1200						
12/26/2017	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	20.00	0.40	0.00	0.40	90.0	0.36
	ACCOUNT #: 00087877714	BUY 0 Units @68.1200						
12/22/2017	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @64.2500						
12/26/2017	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	15.63	0.31	0.00	0.31	90.0	0.28
	ACCOUNT #: 00087877714	BUY 0 Units @57.0800						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u></b>								
12/26/2017	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	25.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: 00087877714	BUY 0 Units @57.0800						
12/22/2017	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @48.9000						
12/26/2017	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	53.13	1.06	0.00	1.06	90.0	0.95
	ACCOUNT #: 00087877714	BUY 4 Units @13.1800						
12/26/2017	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	85.00	1.70	0.00	1.70	90.0	1.53
	ACCOUNT #: 00087877714	BUY 6 Units @13.1800						
12/22/2017	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.8400						
12/26/2017	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00087877714	BUY 1 Units @50.8200						
12/26/2017	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	100.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: 00087877714	BUY 2 Units @50.8200						
12/22/2017	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @46.0200						
12/26/2017	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00087877714	BUY 2 Units @41.4300						
12/26/2017	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	100.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: 00087877714	BUY 2 Units @41.4300						
12/22/2017	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @40.3900						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$936.97</b>	<b>\$17.08</b>	<b>\$0.00</b>	<b>\$17.08</b>		<b>\$15.40</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/03/2018	***-**-125P - CANNADY, JAKE	PACIFIC LIFE 694474693-NFS	800.00	15.84	0.00	15.84	90.0	14.26
	ACCOUNT #: VP61059840	BUY 0 Units @0.0000						
01/02/2018	***-**-8160 - CARROLL, THOMAS	PACIFIC LIFE DTCC RENEWAL	1,000.00	20.00	0.00	20.00	90.0	18.00
	ACCOUNT #: VP60758160	BUY 0 Units @0.0000						
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$1,800.00</b>	<b>\$35.84</b>	<b>\$0.00</b>	<b>\$35.84</b>		<b>\$32.26</b>



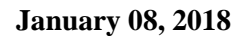
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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
01/02/2018	***-**-0726 - DAHL, KEVIN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.54	0.00	0.54	90.0	0.49
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
01/02/2018	***-**-7034 - DAHL, ROXANNE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$2.04</b>	<b>\$0.00</b>	<b>\$2.04</b>		<b>\$1.84</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
12/29/2017	***-**-5047 - BARNICLE, DIANA	HARTFORD VA TRAILS 41659Q472	0.00	37.62	0.00	37.62	90.0	33.86
	ACCOUNT #: 510025423	BUY 0 Units @0.0000						
01/08/2018	***-**-58CL - KELLY, CLIENTS	ING AETNA GVA TRAILS	0.00	0.55	0.00	0.55	90.0	0.50
	ACCOUNT #: PHD277	BUY 1 Units @0.0000						
01/08/2018	***-**-58CL - KELLY, CLIENTS	ING AETNA GVA TRAILS	0.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: PHE434	BUY 1 Units @0.0000						
01/01/2018	***-**-655I - SWARTZ, MICHAEL	PACIFIC LIFE DTCC RENEWAL	0.00	31.84	0.00	31.84	90.0	28.66
	ACCOUNT #: VP60738000	BUY 0 Units @0.0000						
01/01/2018	***-**-0795 - SWARTZ, SHARI	PACIFIC LIFE DTCC RENEWAL	0.00	36.29	0.00	36.29	90.0	32.66
	ACCOUNT #: VP60737990	BUY 0 Units @0.0000						
12/31/2017	***-**-3276 - ZAMORA, WILLIAM	PERSPECTIVE II DTCC	0.00	48.92	0.00	48.92	90.0	44.03
	ACCOUNT #: 1018869493	BUY 0 Units @0.0000						
12/31/2017	***-**-674I - DELANEY, DENNIS	PRUDENTIAL VA TRAILS 74429E560	0.00	59.84	0.00	59.84	90.0	53.86
	ACCOUNT #: E0329005	BUY 0 Units @0.0000						
12/31/2017	***-**-7153 - DELANEY, LORI	PRUDENTIAL VA TRAILS 74429E560	0.00	49.17	0.00	49.17	90.0	44.25
	ACCOUNT #: E0329004	BUY 0 Units @0.0000						
01/08/2018	***-**-2436 - RT MANAGEMENT,	QUALIFIED PLAN SUMMARY	0.00	3.64	0.00	3.64	90.0	3.28
	ACCOUNT #: PHJ287	BUY 1 Units @0.0000						
01/08/2018	***-**-5760 - KR SALINE, &	QUALIFIED PLAN SUMMARY	0.00	3,209.58	0.00	3,209.58	90.0	2,888.62
	ACCOUNT #: GH2068	BUY 1 Units @0.0000						
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$3,477.54</b>	<b>\$0.00</b>	<b>\$3,477.54</b>		<b>\$3,129.80</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$2,736.97</b>	<b>\$3,532.50</b>	<b>\$0.00</b>	<b>\$3,532.50</b>		<b>\$3,179.30</b>



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$3,179.30
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$3,179.30
						Year-to-date Earnings		\$3,302.63