



All Companies
COMMISSION STATEMENT
July 02, 2018

RICHARD E. FENGLER
513 HARBOR LANE

BROKER #: 2990
BRANCH: HOME OFFICE

Hickory Creek, TX 75065-7546

COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/31/2018	TRAILS	-VOYA	B	743.79	743.79	0.00	743.79	85.0	632.22
06/15/2018	DILL, SYLVIA	-VOYA	B	500.00	23.75	0.00	23.75	85.0	20.19
06/15/2018	00063561880 WALKER, ROBERT A.	FIDELITY ADV STRATEGIC INCOME CL C	B	300.00	3.00	0.00	3.00	85.0	2.55
06/15/2018	00655125367 TURNER, DONNA	FIDELITY ADV CONSUMER STAPLES-CL A	B	125.00	3.44	0.00	3.44	85.0	2.92
06/07/2018	00701154544 DUNFORD, GINA	FIDELITY ADV DIVERSIFIED INTL FD-CL B	B	50.00	2.50	0.00	2.50	85.0	2.13
06/11/2018	00701863771 EPPERSON, JOANN/SUSAN	FA 529 PORT 2019-CL A	B	100.00	2.50	0.00	2.50	85.0	2.13
06/15/2018	01476253412 DUNFORD, GINA M.	FA 529 PORT 2019-CL C	B	50.00	0.50	0.00	0.50	85.0	0.43
06/07/2018	01481958690 KLING, MELISSA K.	FA 529 PORT 2019-CL C	B	400.00	4.00	0.00	4.00	85.0	3.40
06/07/2018	01481958708 KLING, MELISSA K.	FA 529 DIVIDEND GROWTH PORT-CL C	B	400.00	4.00	0.00	4.00	85.0	3.40
06/15/2018	01482022975 FENGLER, KELLY	FA 529 GROWTH OPPORTUNITIES PORT	B	100.00	1.00	0.00	1.00	85.0	0.85
06/07/2018	03900222320 BEGNOCHE, RACHEL SANTCHI	FIDELITY ADV NEW INSIGHTS-CL A	B	200.00	10.00	0.00	10.00	85.0	8.50
* MUTUAL FUNDS SUBTOTAL *				\$2,968.79	\$798.48	\$0.00	\$798.48		\$678.72
<u>PRODUCT CATEGORY: STOCKS</u>									
06/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	215.60	215.60	0.00	215.60	85.0	183.26
* STOCKS SUBTOTAL *				\$215.60	\$215.60	\$0.00	\$215.60		\$183.26
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/08/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	935.68	935.68	0.00	935.68	85.0	795.33
* VARIABLE ANNUITIES SUBTOTAL *				\$935.68	\$935.68	\$0.00	\$935.68		\$795.33
<u>PRODUCT CATEGORY: RIA</u>									
04/17/2018	12982384 BEGNOCHE, KELLEN & RACHEL	SIGNAL SECURITIES RIA	B	0.00	11.66	0.00	11.66	85.0	9.91
04/17/2018	48690341 STOCKGLAUSNER, ERIC V	SIGNAL SECURITIES RIA	B	0.00	185.00	0.00	185.00	85.0	157.25
04/17/2018	85905056 WILLIAMS, DIANA R	SIGNAL SECURITIES RIA	B	0.00	490.00	0.00	490.00	85.0	416.50
* RIA SUBTOTAL *				\$0.00	\$686.66	\$0.00	\$686.66		\$583.66

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/02/2018 TRAIL COMMISSIONS	JOHN HANCOCK FEES	0.00	343.21	0.00	343.21	85.0	291.71
07/02/2018 TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES	0.00	257.86	0.00	257.86	85.0	219.17
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$601.07	\$0.00	\$601.07		\$510.88
*** BROKER TRANSACTIONS TOTAL ***		\$4,120.07	\$3,237.49	\$0.00	\$3,237.49		\$2,751.85

ADJUSTMENTS

07/02/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
07/02/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,751.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,624.35
Year-to-date Earnings	\$33,295.64