

All Companies  
**STATEMENT**  
**May 20, 2018**

**NICK DREW**  
12721 Sawmill Rd Suite 105  
SUITE 105  
The Woodlands, TX 77380

**BROKER #: 169**  
**BRANCH:**

COMMISSION STATEMENT for NICK DREW

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
03/29/2018 #	FARMER, JULIA	NUVEEN INTERNATIONAL GROWTH	5,000.00	50.00	95.0	0.00	47.50	20.00	27.50
03/29/2018 #	FARMER, IAN	NUVEEN INTERNATIONAL GROWTH	10,800.00	108.00	95.0	0.00	102.60	20.00	82.60
04/02/2018 #03900207202	KATE ASHLEY	FA 529 DIV. INTERNATIONAL	50.00	0.50	95.0	0.00	0.48	0.00	0.48
04/02/2018 #03900207203	KATE ASHLEY	FA 529 DIV. INTERNATIONAL	50.00	0.50	95.0	0.00	0.48	0.00	0.48
04/02/2018 #03900207204	KATE ASHLEY	FA 529 DIV. INTERNATIONAL	50.00	0.50	95.0	0.00	0.48	0.00	0.48
04/02/2018 #03900207205	KATE ASHLEY	FA 529 DIV. INTERNATIONAL	50.00	0.50	95.0	0.00	0.48	0.00	0.48
04/02/2018 #01433683800	SAILCHOLL	FA 529 EQUITY GROWTH PORT-CL A	150.00	5.63	95.0	0.00	5.35	0.00	5.35
04/02/2018 #01462130194	CRASSMELI	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
04/02/2018 #01467787535	RAYBURN,	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
04/02/2018 #01474968060	MORGAN, TIFFANY	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
04/02/2018 #01475342802	HOPPERS,	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
04/02/2018 #01482268248	WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
04/02/2018 #01482268271	WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
04/02/2018 #01482268297	WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
04/02/2018 #01489928307	MORGAMONT	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
04/02/2018 #01489928364	MORGAMONT	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
04/02/2018 #01490487806	BYERS, JUSTIN	FIDELITY GROWTH OP	50.00	0.50	95.0	0.00	0.48	0.00	0.48
04/02/2018 #00073658761	STOVALL, BLAKE	SMALLCAP WORLD FUND-529A	600.00	12.00	95.0	0.00	11.40	0.00	11.40
04/10/2018 #01489928307	MORGAMONT	FA 529 NEW INSIGHTS PORT-CL C	500.00	5.00	95.0	0.00	4.75	0.00	4.75
04/10/2018 #01489928364	MORGAMONT	FA 529 NEW INSIGHTS PORT-CL C	500.00	5.00	95.0	0.00	4.75	0.00	4.75
04/11/2018 #	SCHEER, DENNIS	FIDELITY ADVISOR BIOTECHNOLOGY CLAS	26,573.39	0.00	95.0	0.00	0.00	0.00	0.00
04/11/2018 #	SCHEER, DENNIS	FIDELITY ADVISOR INTL SMALL CAP INS	31,668.01	0.00	95.0	0.00	0.00	0.00	0.00
04/11/2018 #	BUSKING, RYAN	FIDELITY ADVISOR INTL SMALL CAP INS	48,657.53	0.00	95.0	0.00	0.00	0.00	0.00
04/12/2018 #		FIDELITY ADVISOR INTL SMALL CAP INS	5,325.55	0.00	95.0	0.00	0.00	0.00	0.00
04/12/2018 #		FIDELITY ADVISOR INTL SMALL CAP INS	70,126.76	0.00	95.0	0.00	0.00	0.00	0.00
04/12/2018 #	SCHEER, DENNIS	NUVEEN INTERNATIONAL GROWTH FUND CL	60,000.00	0.00	95.0	0.00	0.00	0.00	0.00
04/12/2018 #	BUSKING, RYAN	NUVEEN INTERNATIONAL GROWTH FUND CL	46,000.00	0.00	95.0	0.00	0.00	0.00	0.00
04/16/2018 #01446906354	SCHLANSE,	FA 529 EQUITY GROWTH PORT-CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/16/2018	#01486464421	VINEYARD,	FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
04/16/2018	#01484284672	CRASSMELI	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
04/16/2018	#01481917423	SCHLANSER,	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
04/16/2018	#01475344956	HOPPEJON	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
04/16/2018	#01467602163	ADDICKS,	FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
04/16/2018	#01466752647	ADDICKS,	FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
04/26/2018	#01453112920	MATHEANGE	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
04/26/2018	#01453145300	MATHEANGE	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
04/26/2018	#		FIDELITY ADVISOR INTL SMALL CAP INS	42,469.67	0.00	95.0	0.00	0.00	0.00	0.00
04/26/2018	#		NUVEEN INTERNATIONAL GROWTH FUND CL	40,000.00	0.00	95.0	0.00	0.00	0.00	0.00
* MUTUAL FUNDS SUBTOTAL *				\$390,970.91	\$213.63		\$0.00	\$203.02	\$40.00	\$163.02
PRODUCT CATEGORY: STOCKS										
03/28/2018	#	FARMER, IAN	VANGUARD INTERNATIONAL EQUITY INDEX	12,952.03	0.00	85.0	0.00	0.00	0.00	0.00
03/29/2018	#	BUSKING, RYAN	BOEING CO	25,130.30	0.00	85.0	0.00	0.00	0.00	0.00
03/29/2018	#	BUSKING, RYAN	CATERPILLAR INC	13,241.69	0.00	85.0	0.00	0.00	0.00	0.00
03/29/2018	#	FARMER, IAN	ISHARES TRUST S&P MIDCAP 400 GROWTH	14,149.19	0.00	85.0	0.00	0.00	0.00	0.00
04/11/2018	#	SCHEER, DENNIS	BOEING CO	10,044.23	0.00	85.0	0.00	0.00	0.00	0.00
04/11/2018	#	SCHEER, DENNIS	CATERPILLAR INC	10,293.33	0.00	85.0	0.00	0.00	0.00	0.00
04/11/2018	#	SCHEER, DENNIS	FACEBOOK INC	10,634.00	0.00	85.0	0.00	0.00	0.00	0.00
04/11/2018	#	SCHEER, DENNIS	NVIDIA CORP	10,187.44	0.00	85.0	0.00	0.00	0.00	0.00
04/11/2018	#	SCHEER, DENNIS	TINKA RESOURCES LTD	1,990.56	0.00	85.0	0.00	0.00	0.00	0.00
04/11/2018	#	SCHEER, DENNIS	WISDOMTREE TRUST INTERNATIONAL HEDG	6,198.00	0.00	85.0	0.00	0.00	0.00	0.00
04/13/2018	#	SCHEER, DENNIS	VANGUARD INTERNATIONAL DIVIDEND APP	8,976.38	0.00	85.0	0.00	0.00	0.00	0.00
04/23/2018	#	KNIGHT, MARISA	ISHARES TRUST S&P MIDCAP 400 GROWTH	2,645.04	0.00	85.0	0.00	0.00	0.00	0.00
04/23/2018	#	KNIGHT, MARISA	POWERSHARES EXCHAN CEF INCOM COMPOS	4,586.20	0.00	85.0	0.00	0.00	0.00	0.00
04/26/2018	#		GUGGENHEIM S&P 500 EQUAL WEIGHT TEC	12,819.70	0.00	85.0	0.00	0.00	0.00	0.00
04/26/2018	#		ISHARES TRUST DOW JONES US AEROSPAC	10,938.95	0.00	85.0	0.00	0.00	0.00	0.00
* STOCKS SUBTOTAL *				\$154,787.04	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITIES										
04/05/2018	#824818	SEARLY URG	MASSMUTUAL RLNCOMM	0.00	1,515.88	95.0	0.00	1,440.09	0.00	1,440.09
04/05/2018	#826081	BRADLEY, SE	MASSMUTUAL RLNCOMM	0.00	398.48	95.0	0.00	378.56	0.00	378.56
04/05/2018	#826081	BRADLEY, SE	MASSMUTUAL RLNCOMM	0.00	5.70	95.0	0.00	5.42	0.00	5.42
04/05/2018	#826081	BRADLEY, SE	MASSMUTUAL RLNCOMM	0.00	0.30	95.0	0.00	0.29	0.00	0.29
04/05/2018	#823295		MASSMUTUAL RLNCOMM	0.00	251.56	95.0	0.00	238.98	0.00	238.98

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: VARIABLE ANNUITIES										
04/05/2018	#823117	BROOKSTONE 401	MASSMUTUAL RLNCOMM	0.00	4,903.08	95.0	0.00	4,657.93	0.00	4,657.93
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$7,075.00		\$0.00	\$6,721.27	\$0.00	\$6,721.27
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/06/2018	#01473861464	FA 529 DIV. INTERNATIONAL	0.00	1.80	95.0	0.00	1.71	0.00	1.71	
04/06/2018	#00517292975	VINEYARD, FA 529 DIVERSIFIED INTL PORT-CL A	0.00	5.16	95.0	0.00	4.90	0.00	4.90	
04/06/2018	#00517292975	VINEYARD, FA 529 DIVIDEND GROWTH PORT-CL A	0.00	10.32	95.0	0.00	9.80	0.00	9.80	
04/06/2018	#01447784933	BEDORE, FA 529 DIVIDEND GROWTH PORT-CL A	0.00	12.24	95.0	0.00	11.63	0.00	11.63	
04/06/2018	#01433683800	SAILCHOLL FA 529 EQUITY GROWTH PORT-CL A	0.00	14.13	95.0	0.00	13.42	0.00	13.42	
04/06/2018	#01446906354	SCHLANSER, FA 529 EQUITY GROWTH PORT-CL A	0.00	1.59	95.0	0.00	1.51	0.00	1.51	
04/06/2018	#01473861464	FA 529 INFLATION PROTECTED BD PORT-	0.00	2.96	95.0	0.00	2.81	0.00	2.81	
04/06/2018	#01447608090	MCELHOE, BENE FA 529 INTERMED BOND PORT-CL A	0.00	0.81	95.0	0.00	0.77	0.00	0.77	
04/06/2018	#01447651777	MCELHOE, BENE FA 529 INTERMED BOND PORT-CL A	0.00	0.50	95.0	0.00	0.48	0.00	0.48	
04/06/2018	#01466752647	ADDICKS, FA 529 INTERMED BOND PORT-CL A	0.00	0.38	95.0	0.00	0.36	0.00	0.36	
04/06/2018	#00517292975	VINEYARD, FA 529 MID CAP PORT-CL A	0.00	2.85	95.0	0.00	2.71	0.00	2.71	
04/06/2018	#00517624490	BROWN, FA 529 NEW INSIGHTS PORT-CL A	0.00	36.65	95.0	0.00	34.82	0.00	34.82	
04/06/2018	#01447651777	MCELHOE, BENE FA 529 NEW INSIGHTS PORT-CL A	0.00	4.69	95.0	0.00	4.46	0.00	4.46	
04/06/2018	#01453112920	MATHEANGE FA 529 NEW INSIGHTS PORT-CL A	0.00	2.31	95.0	0.00	2.19	0.00	2.19	
04/06/2018	#01453145300	MATHEANGE FA 529 NEW INSIGHTS PORT-CL A	0.00	2.31	95.0	0.00	2.19	0.00	2.19	
04/06/2018	#01462130194	CRASSMELI FA 529 NEW INSIGHTS PORT-CL A	0.00	0.65	95.0	0.00	0.62	0.00	0.62	
04/06/2018	#01466752647	ADDICKS, FA 529 NEW INSIGHTS PORT-CL A	0.00	1.44	95.0	0.00	1.37	0.00	1.37	
04/06/2018	#01467602163	ADDICKS, FA 529 NEW INSIGHTS PORT-CL A	0.00	0.41	95.0	0.00	0.39	0.00	0.39	
04/06/2018	#01467787535	RAYBURN, FA 529 NEW INSIGHTS PORT-CL A	0.00	2.56	95.0	0.00	2.43	0.00	2.43	
04/06/2018	#01483572945	RAYBURN, FA 529 NEW INSIGHTS PORT-CL A	0.00	0.28	95.0	0.00	0.27	0.00	0.27	
04/06/2018	#01453112920	MATHEANGE FA 529 NEW INSIGHTS PORT-CL C	0.00	8.10	95.0	0.00	7.70	0.00	7.70	
04/06/2018	#01453145300	MATHEANGE FA 529 NEW INSIGHTS PORT-CL C	0.00	8.10	95.0	0.00	7.70	0.00	7.70	
04/06/2018	#01462130194	CRASSMELI FA 529 NEW INSIGHTS PORT-CL C	0.00	12.09	95.0	0.00	11.49	0.00	11.49	
04/06/2018	#01466752647	ADDICKS, FA 529 NEW INSIGHTS PORT-CL C	0.00	7.63	95.0	0.00	7.25	0.00	7.25	
04/06/2018	#01467602163	ADDICKS, FA 529 NEW INSIGHTS PORT-CL C	0.00	12.32	95.0	0.00	11.70	0.00	11.70	
04/06/2018	#01467787535	RAYBURN, FA 529 NEW INSIGHTS PORT-CL C	0.00	3.02	95.0	0.00	2.87	0.00	2.87	
04/06/2018	#01473861464	FA 529 NEW INSIGHTS PORT-CL C	0.00	3.94	95.0	0.00	3.74	0.00	3.74	
04/06/2018	#01474968060	MORGAN, TIFFANY FA 529 NEW INSIGHTS PORT-CL C	0.00	6.59	95.0	0.00	6.26	0.00	6.26	
04/06/2018	#01475342802	HOPPERS, FA 529 NEW INSIGHTS PORT-CL C	0.00	9.94	95.0	0.00	9.44	0.00	9.44	
04/06/2018	#01475344956	HOPPEJON FA 529 NEW INSIGHTS PORT-CL C	0.00	12.14	95.0	0.00	11.53	0.00	11.53	
04/06/2018	#01481917423	SCHLANSER, FA 529 NEW INSIGHTS PORT-CL C	0.00	1.55	95.0	0.00	1.47	0.00	1.47	
04/06/2018	#01482268248	WHITE, JESSE FA 529 NEW INSIGHTS PORT-CL C	0.00	19.21	95.0	0.00	18.25	0.00	18.25	

COMMISSION STATEMENT for NICK DREW

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/06/2018	#01482268271 WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	0.00	1.46	95.0	0.00	1.39	0.00	1.39
04/06/2018	#01482268297 WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	0.00	1.46	95.0	0.00	1.39	0.00	1.39
04/06/2018	#01484284672 CRASSMELI	FA 529 NEW INSIGHTS PORT-CL C	0.00	4.57	95.0	0.00	4.34	0.00	4.34
04/06/2018	#01486464421 VINEYARD,	FA 529 NEW INSIGHTS PORT-CL C	0.00	8.15	95.0	0.00	7.74	0.00	7.74
04/06/2018	#01473861464	FA 529 SMALL CAP PORTFOLIO - CLASS	0.00	2.01	95.0	0.00	1.91	0.00	1.91
04/06/2018	#01447608090 MCELHOE, BENE	FMR 31580R509	0.00	9.08	95.0	0.00	8.63	0.00	8.63
04/06/2018	#01447651777 MCELHOE, BENE	FMR 31580R509	0.00	5.63	95.0	0.00	5.35	0.00	5.35
04/10/2018	# BEDORE, ROBERT	DAIN TRAILER	0.00	1.99	95.0	0.00	1.89	0.00	1.89
04/10/2018	#	DAIN TRAILER	0.00	5.25	95.0	0.00	4.99	0.00	4.99
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$248.27</b>		<b>\$0.00</b>	<b>\$235.87</b>	<b>\$0.00</b>	<b>\$235.87</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$545,757.95</b>	<b>\$7,536.90</b>		<b>\$0.00</b>	<b>\$7,160.16</b>	<b>\$40.00</b>	<b>\$7,120.16</b>

**ADJUSTMENTS**

05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
05/20/2018	ADMIN FEE: ADMIN FEE	-390.00
05/20/2018	FEDEX: FEDEX 4/12	-10.56
05/20/2018	FEDEX: FEDEX 4/19	-35.10
05/20/2018	FEDEX: FEDEX 4/3	-9.87
05/20/2018	FEDEX: FEDEX 4/3	-10.56
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$-635.09</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$7,120.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-635.09
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-505.18
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$5,979.89</b>
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