



All Companies
COMMISSION STATEMENT
February 01, 2018

01/30/2018
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CHRISTOPHER SNOW
6336 WARWICK HILLS DR

BROKER #: 4854
BRANCH: HOME OFFICE

FORT WORTH, TX 76132-

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/31/2017	TRAILS	-FIDELITY ADVISOR FUNDS	B	19.94	19.94	0.00	19.94	70.0	13.96
12/31/2017	TRAILS	-PUTNAM	B	24.19	24.19	0.00	24.19	70.0	16.93
12/31/2017	TRAILS	-PUTNAM	B	254.28	254.28	0.00	254.28	70.0	178.00
12/29/2017	TRAILS	-VIRTUS FUNDS	B	5.07	5.07	0.00	5.07	70.0	3.55
12/31/2017	TRAILS	COLLEGEBOUND 529	B	28.96	28.96	0.00	28.96	70.0	20.27
12/31/2017	TRAILS	PIMCO - GENERAL	B	62.08	62.08	0.00	62.08	70.0	43.46
01/16/2018	00089517055 MCKIMMEY, KENDALL W.	AMCAP FUND-529A	B	115.00	5.75	0.00	5.75	70.0	4.03
01/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS AMCAP FD	B	15.18	0.15	0.00	0.15	70.0	0.11
01/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS BALANCED FD	B	15.18	0.15	0.00	0.15	70.0	0.11
01/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS BD FD OF AMER FD	B	15.18	0.15	0.00	0.15	70.0	0.11
01/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	15.14	0.15	0.00	0.15	70.0	0.11
01/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS EURO PACIFIC GROWT B	B	15.18	0.15	0.00	0.15	70.0	0.11
01/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	15.18	0.15	0.00	0.15	70.0	0.11
01/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INCOME FD OF AMERI B	B	15.18	0.15	0.00	0.15	70.0	0.11
01/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INTERM BD FD OF AMER B	B	7.59	0.08	0.00	0.08	70.0	0.06
01/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INVESTMENT COMP OF A B	B	15.18	0.15	0.00	0.15	70.0	0.11
01/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS NEW PERSPECTIVE FD	B	15.18	0.15	0.00	0.15	70.0	0.11
01/09/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS WA MUTUAL INVES B	B	7.59	0.08	0.00	0.08	70.0	0.06
01/09/2018	02000171317 ALL CALLS TO X	AMERICAN FUNDS BD FD OF AMER FD	B	30.00	0.30	0.00	0.30	70.0	0.21

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	#8076, TRANSFER								
01/09/2018	02000171317 ALL CALLS TO X	AMERICAN FUNDS INCOME FD OF	AMERI B	30.00	0.30	0.00	0.30	70.0	0.21
	#8076, TRANSFER								
01/09/2018	02000171317 ALL CALLS TO X	AMERICAN FUNDS INTERM BD FD OF	AMER B	30.00	0.30	0.00	0.30	70.0	0.21
	#8076, TRANSFER								
01/09/2018	02000171317 ALL CALLS TO X	AMERICAN FUNDS WA MUTUAL	INVES B	15.00	0.15	0.00	0.15	70.0	0.11
	#8076, TRANSFER								
01/09/2018	02001077857 ALL CALLS TO X	AMERICAN FUNDS AMCAP FD	B	2.00	0.02	0.00	0.02	70.0	0.01
	#8076, TRANSFER								
01/09/2018	02001077857 ALL CALLS TO X	AMERICAN FUNDS BD FD OF AMER	FD B	3.00	0.03	0.00	0.03	70.0	0.02
	#8076, TRANSFER								
01/09/2018	02001077857 ALL CALLS TO X	AMERICAN FUNDS EURO PACIFIC	GROWT B	2.00	0.02	0.00	0.02	70.0	0.01
	#8076, TRANSFER								
01/09/2018	02001077857 ALL CALLS TO X	AMERICAN FUNDS GROWTH FUND OF	AMERI B	2.00	0.02	0.00	0.02	70.0	0.01
	#8076, TRANSFER								
01/09/2018	02001077857 ALL CALLS TO X	AMERICAN FUNDS INTERM BD FD OF	AMER B	3.00	0.03	0.00	0.03	70.0	0.02
	#8076, TRANSFER								
01/09/2018	02001077857 ALL CALLS TO X	AMERICAN FUNDS INVESTMENT COMP OF	A B	3.00	0.03	0.00	0.03	70.0	0.02
	#8076, TRANSFER								
01/09/2018	02001077857 ALL CALLS TO X	AMERICAN FUNDS WA MUTUAL	INVES B	3.00	0.03	0.00	0.03	70.0	0.02
	#8076, TRANSFER								
01/16/2018	04000205238 MCKIMMEY, KENDALL	CAPITAL INCOME BUILDER-529A	B	115.00	5.75	0.00	5.75	70.0	4.03
	W.								
		* MUTUAL FUNDS SUBTOTAL *		\$899.28	\$408.76	\$0.00	\$408.76		\$286.19
<u>PRODUCT CATEGORY: STOCKS</u>									
01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	6,011.10	6,011.10	0.00	6,011.10	70.0	4,207.77
		* STOCKS SUBTOTAL *		\$6,011.10	\$6,011.10	\$0.00	\$6,011.10		\$4,207.77
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	43.55	43.55	0.00	43.55	70.0	30.49
12/15/2017	COMP USA	METROPOLITAN LIFE AND AFFILIATED	CO B	5,180.25	518.03	0.00	518.03	70.0	362.62
01/05/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	364.20	364.20	0.00	364.20	70.0	254.94
01/12/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	493.41	493.41	0.00	493.41	70.0	345.39
		* VARIABLE ANNUITIES SUBTOTAL *		\$6,081.41	\$1,419.19	\$0.00	\$1,419.19		\$993.44
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	10790419 AL-JAZRAWI, SAMIR &	SIGNAL SECURITIES RIA	B	0.00	2,716.00	0.00	2,716.00	70.0	1,901.20
	KATHRYN								
01/12/2018	11124600 GOODMAN, PAUL R	SIGNAL SECURITIES RIA	B	0.00	280.00	0.00	280.00	70.0	196.00
01/12/2018	12390799 SINGLETON, MARION B &	SIGNAL SECURITIES RIA	B	0.00	40.00	0.00	40.00	70.0	28.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
STEV									
01/12/2018	14179247 RITTBY, KRISTI E	SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00	70.0	8.40
01/12/2018	18300233 MOORE, RUSSELL ALLAN	SIGNAL SECURITIES RIA	B	0.00	134.00	0.00	134.00	70.0	93.80
01/12/2018	18987768 TREADWELL, TONEY	SIGNAL SECURITIES RIA	B	0.00	30.67	0.00	30.67	70.0	21.47
ELLEN									
01/12/2018	22527639 ZEIGLER, MICHAEL	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	70.0	3.27
PATRICK									
01/12/2018	22730240 AL-JAZRAWI, SAMIR &	SIGNAL SECURITIES RIA	B	0.00	424.00	0.00	424.00	70.0	296.80
KATHRYN									
01/12/2018	27525617 NYUL, PAUL RYAN	SIGNAL SECURITIES RIA	B	0.00	335.67	0.00	335.67	70.0	234.97
01/12/2018	27562425 MCKINNEY, HAROLD	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	70.0	12.60
LOUIS									
01/29/2018	28442209 GUTH, ERIC C.	SIGNAL SECURITIES RIA	B	0.00	496.33	0.00	496.33	70.0	347.43
01/12/2018	29294822 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	23.67	0.00	23.67	70.0	16.57
01/12/2018	30917351 SCHEIDEMAN, SUMMER	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	70.0	1.40
SHARIE									
01/12/2018	32062105 TRIMARCO, JOHN R.	SIGNAL SECURITIES RIA	B	0.00	199.33	0.00	199.33	70.0	139.53
01/12/2018	35146822 SHORTELL CREDIT TRST,	SIGNAL SECURITIES RIA	B	0.00	68.00	0.00	68.00	70.0	47.60
KATHRYN									
01/25/2018	35146822 SHORTELL, KATHRYN	SIGNAL SECURITIES RIA	B	0.00	-31.56	0.00	-31.56	70.0	-22.09
01/12/2018	37406476 WALES, CHRIS & ANDREA	SIGNAL SECURITIES RIA	B	0.00	19.33	0.00	19.33	70.0	13.53
01/12/2018	39194204 THORSON, CRAIG ADAM	SIGNAL SECURITIES RIA	B	0.00	422.67	0.00	422.67	70.0	295.87
01/12/2018	39923272 MOORE, RUSSELL ALLAN	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	70.0	5.13
01/12/2018	40772483 MCKINNEY, JUDITH ANN	SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00	70.0	8.40
01/12/2018	41231228 HAGAN, SHERYL	SIGNAL SECURITIES RIA	B	0.00	1,293.33	0.00	1,293.33	70.0	905.33
01/12/2018	44998184 FENLEY, REBECCA K	SIGNAL SECURITIES RIA	B	0.00	217.00	0.00	217.00	70.0	151.90
01/12/2018	45505681 D'ONOFRIO, JUN &	SIGNAL SECURITIES RIA	B	0.00	161.67	0.00	161.67	70.0	113.17
BROOKE									
01/12/2018	45603849 HOWARD REV. TRUST,	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	70.0	9.33
CAROLYN COX									
01/12/2018	50429988 SINGLETON, MARION B &	SIGNAL SECURITIES RIA	B	0.00	1,884.33	0.00	1,884.33	70.0	1,319.03
STEV									
01/12/2018	50676897 MERLO, CHRIS J.	SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33	70.0	1.63
01/12/2018	50869265 MERLO, CHRIS J.	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	70.0	4.20
01/12/2018	52520997 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	10.67	0.00	10.67	70.0	7.47
01/12/2018	52610132 SIMONE, GARY &	SIGNAL SECURITIES RIA	B	0.00	137.67	0.00	137.67	70.0	96.37
PENELOPE									
01/12/2018	56345160 MCKIMMEY, DREW &	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	70.0	9.33
KENDALL									
01/12/2018	57264459 KELLERMAN, MICHAEL E	SIGNAL SECURITIES RIA	B	0.00	11.67	0.00	11.67	70.0	8.17

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	60684203 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33	70.0	2.33
01/12/2018	61324514 MORGAN, HELGA I	SIGNAL SECURITIES RIA	B	0.00	38.00	0.00	38.00	70.0	26.60
01/12/2018	62479593 MCKIMMEY, DREW & KENDALL	SIGNAL SECURITIES RIA	B	0.00	17.67	0.00	17.67	70.0	12.37
01/12/2018	63085125 SIMONE, PENELOPE ANN OW	SIGNAL SECURITIES RIA	B	0.00	310.67	0.00	310.67	70.0	217.47
01/12/2018	67275953 SHORTELL, KATHRYN	SIGNAL SECURITIES RIA	B	0.00	67.33	0.00	67.33	70.0	47.13
01/12/2018	70855134 AL-JAZRAWI, SAMIR & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	415.00	0.00	415.00	70.0	290.50
01/12/2018	71324279 TREADWELL, TONEY ELLEN	SIGNAL SECURITIES RIA	B	0.00	575.67	0.00	575.67	70.0	402.97
01/12/2018	73189180 WIMBERLY, MELISSA BROWIN	SIGNAL SECURITIES RIA	B	0.00	90.00	0.00	90.00	70.0	63.00
01/12/2018	74389400 ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES RIA	B	0.00	9.33	0.00	9.33	70.0	6.53
01/12/2018	74395342 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	9.67	0.00	9.67	70.0	6.77
01/12/2018	74799834 RITTBY, MAGNUS LENNART	SIGNAL SECURITIES RIA	B	0.00	680.33	0.00	680.33	70.0	476.23
01/12/2018	76521383 HENDERSON, CLIFFORD & KATH	SIGNAL SECURITIES RIA	B	0.00	114.00	0.00	114.00	70.0	79.80
01/12/2018	77103397 UHR, MARGARET K	SIGNAL SECURITIES RIA	B	0.00	129.33	0.00	129.33	70.0	90.53
01/12/2018	77901571 MCKIMMEY, DREW & KENDALL	SIGNAL SECURITIES RIA	B	0.00	48.33	0.00	48.33	70.0	33.83
01/12/2018	78113997 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	63.33	0.00	63.33	70.0	44.33
01/12/2018	78797347 WALES, CHRIS & ANDREA	SIGNAL SECURITIES RIA	B	0.00	70.00	0.00	70.00	70.0	49.00
01/12/2018	78947702 SIMONE, GARY & PENELOPE	SIGNAL SECURITIES RIA	B	0.00	236.67	0.00	236.67	70.0	165.67
01/12/2018	80337453 TREADWELL, TONEY ELLEN	SIGNAL SECURITIES RIA	B	0.00	110.67	0.00	110.67	70.0	77.47
01/12/2018	82350222 ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES RIA	B	0.00	222.00	0.00	222.00	70.0	155.40
01/12/2018	82374695 KELLERMAN, MICHAEL E	SIGNAL SECURITIES RIA	B	0.00	184.67	0.00	184.67	70.0	129.27
01/12/2018	83025761 SIMONE, PENELOPE ANN OW	SIGNAL SECURITIES RIA	B	0.00	133.33	0.00	133.33	70.0	93.33
01/12/2018	83130926 ZEIGLER, KATHY JO	SIGNAL SECURITIES RIA	B	0.00	76.00	0.00	76.00	70.0	53.20
01/12/2018	87845699 KELLERMAN, NANCY/MICHAEL	SIGNAL SECURITIES RIA	B	0.00	585.67	0.00	585.67	70.0	409.97
01/12/2018	92989374 SCHEIDEMAN, JOHN PARKER	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	70.0	0.47
01/12/2018	93302124 SCHEIDEMAN, JOHN	SIGNAL SECURITIES RIA	B	0.00	65.00	0.00	65.00	70.0	45.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
PARKER									
01/12/2018	95235681	STARE INVESTMENTS LP	SIGNAL SECURITIES RIA	B	0.00	486.00	0.00	486.00	70.0 340.20
01/12/2018	97573277	GARZA, RICARDO C	SIGNAL SECURITIES RIA	B	0.00	446.67	0.00	446.67	70.0 312.67
01/12/2018	98761332	LOPEZ, VICTOR	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	70.0 3.27
01/12/2018	99462551	ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	221.67	0.00	221.67	70.0 155.17
* RIA SUBTOTAL *					<u>\$0.00</u>	<u>\$14,381.12</u>	<u>\$0.00</u>	<u>\$14,381.12</u>	<u>\$10,066.79</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/01/2018	TRAIL COMMISSIONS	FIRST EAGLE FEES		0.00	43.02	0.00	43.02	70.0	30.11
02/01/2018	TRAIL COMMISSIONS	ALLIANZ GLOBAL INVESTORS FEES		0.00	3.03	0.00	3.03	70.0	2.12
02/01/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	390.58	0.00	390.58	70.0	273.41
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					<u>\$0.00</u>	<u>\$436.63</u>	<u>\$0.00</u>	<u>\$436.63</u>	<u>\$305.64</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$12,991.79</u>	<u>\$22,656.80</u>	<u>\$0.00</u>	<u>\$22,656.80</u>	<u>\$15,859.83</u>
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SNOW/MOYNIHAN #9543</u>									
12/31/2017	TRAILS	-FIDELITY ADVISOR FUNDS		B	180.34	108.20	0.00	108.20	70.0 75.74
01/12/2018	47895792	HICKERSON, JAMIE	SIGNAL SECURITIES RIA	B	0.00	265.40	0.00	265.40	70.0 185.78
MARJORIE									
01/12/2018	75756775	HICKERSON, JAMIE	SIGNAL SECURITIES RIA	B	0.00	158.00	0.00	158.00	70.0 110.60
MARJORIE									
01/12/2018	83385351	COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	23.40	0.00	23.40	70.0 16.38
01/12/2018	91616746	COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	70.0 7.70
* SNOW/MOYNIHAN SUBTOTAL *					<u>\$180.34</u>	<u>\$566.00</u>	<u>\$0.00</u>	<u>\$566.00</u>	<u>\$396.20</u>
<u>BROKER: SINGLETON/SNOW #9575</u>									
01/12/2018	57689062	SINGLETON, STEVEN B	SIGNAL SECURITIES RIA	B	0.00	115.84	0.00	115.84	70.0 81.09
01/12/2018	97231190	CHRISTOFORETTI, II, ERIN/JOHN	SIGNAL SECURITIES RIA	B	0.00	167.17	0.00	167.17	70.0 117.02
* SINGLETON/SNOW SUBTOTAL *					<u>\$0.00</u>	<u>\$283.01</u>	<u>\$0.00</u>	<u>\$283.01</u>	<u>\$198.11</u>
<u>BROKER: PALMERSHEIM/SNOW #9613</u>									
01/12/2018	15447982	PEARSON, DIANNE H	SIGNAL SECURITIES RIA	B	0.00	112.00	0.00	112.00	70.0 78.40
01/12/2018	16695746	QUANBECK, REA DENISE	SIGNAL SECURITIES RIA	B	0.00	30.00	0.00	30.00	70.0 21.00
01/12/2018	18091956	BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	23.73	0.00	23.73	70.0 16.61
01/12/2018	20770029	BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	187.33	0.00	187.33	70.0 131.13
01/12/2018	27933838	RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	19.33	0.00	19.33	70.0 13.53

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<u>SPLIT TRANSACTIONS</u>									
BROKER: PALMERSHEIM/SNOW #9613									
01/12/2018 29192217	JOHNSON, TONYA	SIGNAL SECURITIES RIA	B	0.00	62.00	0.00	62.00	70.0	43.40
	WAYNETTE								
01/12/2018 29329286	QUANBECK, GORDON	SIGNAL SECURITIES RIA	B	0.00	240.13	0.00	240.13	70.0	168.09
	(HAL)								
01/12/2018 31207916	JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	76.67	0.00	76.67	70.0	53.67
01/12/2018 31528580	STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	217.87	0.00	217.87	70.0	152.51
01/12/2018 33117987	STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	12.93	0.00	12.93	70.0	9.05
01/12/2018 35080832	SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	21.87	0.00	21.87	70.0	15.31
01/12/2018 35770153	RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	4.93	0.00	4.93	70.0	3.45
01/12/2018 39222483	REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	104.80	0.00	104.80	70.0	73.36
01/12/2018 39816703	WARREN, GLORIA CLARK	SIGNAL SECURITIES RIA	B	0.00	73.07	0.00	73.07	70.0	51.15
01/22/2018 401K OPTIMIZER	PUKIS, BARBARA	SIGNAL SECURITIES RIA	B	0.00	401.20	0.00	401.20	70.0	280.84
	ANN								
01/12/2018 41540562	LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	148.67	0.00	148.67	70.0	104.07
01/12/2018 42458316	WARREN, WILLIAM W	SIGNAL SECURITIES RIA	B	0.00	42.13	0.00	42.13	70.0	29.49
01/12/2018 49635286	BERNARD, DONNA GAY	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	70.0	12.60
	PANCA								
01/25/2018 52160912	ELLIOTT, PAMELA	SIGNAL SECURITIES RIA	B	0.00	-8.22	0.00	-8.22	70.0	-5.75
01/12/2018 56512595	BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	B	0.00	7.07	0.00	7.07	70.0	4.95
	JEAN								
01/12/2018 58196619	STELLY, TIMOTHY &	SIGNAL SECURITIES RIA	B	0.00	1.07	0.00	1.07	70.0	0.75
	HALEY								
01/12/2018 59968411	KINUNEN, LEZLIE ANNE	SIGNAL SECURITIES RIA	B	0.00	90.40	0.00	90.40	70.0	63.28
01/12/2018 62652031	JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	103.20	0.00	103.20	70.0	72.24
01/12/2018 64024640	QUANBECK, GORDON	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	70.0	18.20
	(HAL)								
01/12/2018 67104851	JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	126.27	0.00	126.27	70.0	88.39
01/12/2018 68428952	HORN, JEFFREY	SIGNAL SECURITIES RIA	B	0.00	235.07	0.00	235.07	70.0	164.55
01/12/2018 68892175	LAWRENCE, JERROLD B	SIGNAL SECURITIES RIA	B	0.00	180.80	0.00	180.80	70.0	126.56
01/12/2018 70196330	JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	32.00	0.00	32.00	70.0	22.40
01/12/2018 72490138	PUKIS, BARBARA ANN	SIGNAL SECURITIES RIA	B	0.00	85.87	0.00	85.87	70.0	60.11
01/12/2018 72540730	BLALOCK III, WILLIAM	SIGNAL SECURITIES RIA	B	0.00	158.67	0.00	158.67	70.0	111.07
	J								
01/12/2018 73959390	VOIRIN FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	21.47	0.00	21.47	70.0	15.03
01/12/2018 74609933	REESE, PEGGY M	SIGNAL SECURITIES RIA	B	0.00	8.93	0.00	8.93	70.0	6.25
01/12/2018 74970598	MCKEEL, GWEN F	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	70.0	12.60
01/12/2018 75970772	SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	20.93	0.00	20.93	70.0	14.65
01/12/2018 81184800	SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	195.33	0.00	195.33	70.0	136.73
01/12/2018 81184802	SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	30.93	0.00	30.93	70.0	21.65
01/12/2018 81944763	RENDER,	SIGNAL SECURITIES RIA	B	0.00	156.13	0.00	156.13	70.0	109.29

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: PALMERSHEIM/SNOW #9613</u>									
	SYLVIA/RICHARD								
01/12/2018	85616643 STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00	70.0	14.00
01/12/2018	87845699 KELLERMAN, NANCY/MICHAEL	SIGNAL SECURITIES RIA	B	0.00	275.60	0.00	275.60	70.0	192.92
01/12/2018	87940275 HART, CHARLOTT/ROBERT	SIGNAL SECURITIES RIA	B	0.00	80.13	0.00	80.13	70.0	56.09
01/12/2018	91118996 BRENNAN, JEAN S	SIGNAL SECURITIES RIA	B	0.00	7.60	0.00	7.60	70.0	5.32
01/12/2018	96915588 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	8.93	0.00	8.93	70.0	6.25
01/12/2018	97397744 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	73.20	0.00	73.20	70.0	51.24
	* PALMERSHEIM/SNOW SUBTOTAL *			\$0.00	\$3,752.04	\$0.00	\$3,752.04		\$2,626.43
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
01/12/2018	11999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	215.76	0.00	215.76	70.0	151.03
01/12/2018	11999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	252.16	0.00	252.16	70.0	176.51
01/12/2018	13081653 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	17.28	0.00	17.28	70.0	12.10
01/12/2018	17017159 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	1.12	0.00	1.12	70.0	0.78
01/12/2018	24551097 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	65.12	0.00	65.12	70.0	45.58
01/12/2018	24551097 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	318.48	0.00	318.48	70.0	222.94
10/13/2017	27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	71.04	0.00	71.04	70.0	49.73
10/13/2017	27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	71.04	0.00	71.04	70.0	49.73
10/13/2017	27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	71.04	0.00	71.04	70.0	49.73
01/12/2018	27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	73.12	0.00	73.12	70.0	51.18
01/29/2018	27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	440.16	0.00	440.16	70.0	308.11
01/12/2018	29396124 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	16.56	0.00	16.56	70.0	11.59
01/12/2018	32611628 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	19.44	0.00	19.44	70.0	13.61
01/12/2018	34872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	335.76	0.00	335.76	70.0	235.03
01/12/2018	34872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	209.92	0.00	209.92	70.0	146.94
01/12/2018	37351723 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	187.04	0.00	187.04	70.0	130.93
01/12/2018	39099528 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	23.68	0.00	23.68	70.0	16.58
01/24/2018	401K OPTIMIZER BROWN, THEODORE A	SIGNAL SECURITIES RIA	B	0.00	150.00	0.00	150.00	70.0	105.00
01/24/2018	401K OPTIMIZER CHENOWETH, JUDSON GARY	SIGNAL SECURITIES RIA	B	0.00	206.64	0.00	206.64	70.0	144.65

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
01/12/2018	52065317 EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	B	0.00	283.68	0.00	283.68	70.0	198.58
01/12/2018	52065317 EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	B	0.00	26.80	0.00	26.80	70.0	18.76
01/12/2018	58050029 RAINES, JOEY SHANKLAND	SIGNAL SECURITIES RIA	B	0.00	13.92	0.00	13.92	70.0	9.74
01/12/2018	68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	248.40	0.00	248.40	70.0	173.88
01/12/2018	68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	121.28	0.00	121.28	70.0	84.90
01/12/2018	84865050 SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	B	0.00	227.52	0.00	227.52	70.0	159.26
01/12/2018	84865050 SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	B	0.00	56.48	0.00	56.48	70.0	39.54
01/12/2018	86608828 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	16.80	0.00	16.80	70.0	11.76
01/12/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	286.80	0.00	286.80	70.0	200.76
01/12/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	0.96	0.00	0.96	70.0	0.67
01/12/2018	90329067 RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	94.88	0.00	94.88	70.0	66.42
01/12/2018	92709834 RAY, CHARLES A	SIGNAL SECURITIES RIA	B	0.00	8.40	0.00	8.40	70.0	5.88
01/12/2018	94409837 RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	5.84	0.00	5.84	70.0	4.09
01/12/2018	98008502 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	2.16	0.00	2.16	70.0	1.51
01/12/2018	98257329 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	219.28	0.00	219.28	70.0	153.50
* ERIC CHARLES GUTH SUBTOTAL *				<u>\$0.00</u>	<u>\$4,358.56</u>	<u>\$0.00</u>	<u>\$4,358.56</u>		<u>\$3,051.00</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$180.34</u>	<u>\$8,959.61</u>	<u>\$0.00</u>	<u>\$8,959.61</u>		<u>\$6,271.74</u>

VERRIDE TRANSACTIONS**BROKER: KELLERMAN PALMERSHEIM/ #9534**

01/22/2018	BUSH, ABE A. (JR.)	SIGNAL SECURITIES RIA	B	0.00	214.66	0.00	214.66		53.67
01/24/2018	HORN, JEFF/OPTIMIZER	SIGNAL SECURITIES RIA	B	0.00	1,230.00	0.00	1,230.00		307.50
01/24/2018	COLE, JAMES JEFFRY	SIGNAL SECURITIES RIA	B	0.00	961.00	0.00	961.00		240.25
01/01/2018	00060514701 WARREN, GLORIA	MFS MA INVS GROWTH STOCK FD CL A	B	0.00	16.48	0.00	16.48		4.12
01/01/2018	00060514702 WARREN, WILLIAM	MFS MA INVS GROWTH STOCK FD CL A	B	0.00	13.38	0.00	13.38		3.35
01/01/2018	00060514967 WARREN, GLORIA	MFS TOTAL RETURN FD CL A	B	0.00	14.37	0.00	14.37		3.59
01/01/2018	00060514968 WARREN, WILLIAM	MFS TOTAL RETURN FD CL A	B	0.00	14.63	0.00	14.63		3.66
01/01/2018	00060515772 WARREN, WILLIAM	MFS OTC FD CL A	B	0.00	1.81	0.00	1.81		0.45
01/01/2018	00060515773 WARREN, GLORIA	MFS OTC FD CL A	B	0.00	1.75	0.00	1.75		0.44
01/01/2018	00060516665 WARREN, WILLIAM	MFS EQUITY INCOME FD CL A	B	0.00	15.89	0.00	15.89		3.97

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: KELLERMAN PALMERSHEIM/ #9534</u>									
01/01/2018	00060596857	WARREN, WILLIAM	MFS OTC FD CL A	B	0.00	6.92	0.00	6.92	1.73
01/16/2018	00083950080	CUNNINGHAM, MARY	AMERICAN FUNDS EURO PACIFIC	GROWT B	175.00	8.75	0.00	8.75	2.19
01/01/2018	08191224871	WARREN, GLORIA	MFS LTD MTY FD CL A	B	0.00	3.61	0.00	3.61	0.90
01/01/2018	08191224872	WARREN, GLORIA	MFS EMERGING MARKETS DEBT FUND-A	B	0.00	3.69	0.00	3.69	0.92
01/01/2018	08191224874	WARREN, GLORIA	MFS HIGH YIELD OPPORTUNITIES FUND-A	B	0.00	3.88	0.00	3.88	0.97
01/01/2018	08191224876	WARREN, WILLIAM	MFS LTD MTY FD CL A	B	0.00	4.81	0.00	4.81	1.20
01/01/2018	08191224878	WARREN, WILLIAM	MFS EMERGING MARKETS DEBT FUND-A	B	0.00	4.93	0.00	4.93	1.23
01/01/2018	08191224879	WARREN, WILLIAM	MFS HIGH YIELD OPPORTUNITIES FUND-A	B	0.00	5.19	0.00	5.19	1.30
01/12/2018	10556019	GILLILAND, JULIE	SIGNAL SECURITIES RIA	B	0.00	72.00	0.00	72.00	18.00
DEANN									
01/12/2018	10882572	BRUCE, JUDITH IRENE	SIGNAL SECURITIES RIA	B	0.00	222.67	0.00	222.67	55.67
01/12/2018	20872135	SLAUGHTER, JUSTINE	SIGNAL SECURITIES RIA	B	0.00	179.67	0.00	179.67	44.92
MARIE									
01/12/2018	22163519	ABBOTT, JAMES ADDISON	SIGNAL SECURITIES RIA	B	0.00	35.33	0.00	35.33	8.83
01/12/2018	30561785	THOMAS, LARRY D	SIGNAL SECURITIES RIA	B	0.00	249.33	0.00	249.33	62.33
01/12/2018	31528580	STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	4,640.00	0.00	4,640.00	1,160.00
01/12/2018	31671856	THOMAS, POLLY S.	SIGNAL SECURITIES RIA	B	0.00	31.33	0.00	31.33	7.83
01/12/2018	41540562	LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	22.33	0.00	22.33	5.58
01/12/2018	51260395	SUTHERLAND, MIKE DEAN	SIGNAL SECURITIES RIA	B	0.00	704.33	0.00	704.33	176.08
01/12/2018	51489395	FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	343.67	0.00	343.67	85.92
01/12/2018	52007712	CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	6.67	0.00	6.67	1.67
01/12/2018	71014745	ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	41.33	0.00	41.33	10.33
01/12/2018	79512456	BRUCE, GRANVILLE	SIGNAL SECURITIES RIA	B	0.00	140.33	0.00	140.33	35.08
WAYNE									
01/12/2018	82007581	KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	373.33	0.00	373.33	93.33
01/12/2018	89721188	HARRIS, SHARON K	SIGNAL SECURITIES RIA	B	0.00	1.33	0.00	1.33	0.33
01/12/2018	90768067	ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	268.00	0.00	268.00	67.00
01/12/2018	91789542	FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	76.00	0.00	76.00	19.00
01/12/2018	91886872	ABBOTT, NANCYE/JAMES	SIGNAL SECURITIES RIA	B	0.00	674.33	0.00	674.33	168.58
01/12/2018	95761721	KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	2.50
01/12/2018	99442454	CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	43.00	0.00	43.00	10.75
* KELLERMAN PALMERSHEIM/ SUBTOTAL *					\$175.00	\$10,660.73	\$0.00	\$10,660.73	\$2,665.17
<u>BROKER: THOMPSON/SIGNAL #9622</u>									
01/12/2018	35296442	DAUM (SEPARATE PROP),	SIGNAL SECURITIES RIA	B	0.00	5,603.67	0.00	5,603.67	308.20
JERRY F									
* THOMPSON/SIGNAL SUBTOTAL *					\$0.00	\$5,603.67	\$0.00	\$5,603.67	\$308.20
*** OVERRIDE TRANSACTIONS TOTAL ***					\$175.00	\$16,264.40	\$0.00	\$16,264.40	\$2,973.37

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
02/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-357.00
02/01/2018		HILLTOP: CUSTOMER WRITE OFF		0.00	0.00	0.00	0.00		-8.00
02/01/2018		HILLTOP: MISC TICKET FEES		0.00	0.00	0.00	0.00		60.00
02/01/2018		MISC: 10% SNOW OVERRIDE 9533		0.00	0.00	0.00	0.00		881.70
02/01/2018		MISC: SNOW-WESTWOOD CENTER RENT JAN		0.00	0.00	0.00	0.00		-600.00
02/01/2018		POSTAGE: FEDEX CHARGE		0.00	0.00	0.00	0.00		-38.37
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-61.67

BROKER COMMISSION TOTALS

Broker Transactions	\$15,859.83
Split Transactions	\$6,271.74
Override Transactions	\$2,973.37
Adjustments	\$-61.67
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$25,043.27
Year-to-date Earnings	\$39,582.96