

COMMISSION STATEMENT

November 30, 2017

BRENDAN O'BRIEN

22 VALLEY ROAD

GLEN COVE, NY 11542

BROKER #: 9

BRANCH: HOME OFFICE

COMMISSION STATEMENT for BRENDAN O'BRIEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: MISCELLANEOUS

11/15/2017	VARIOUS, O'BRIEN	ARLINGTON	B	0.00	37,227.12	0.00	37,227.12	80.0	29,781.70
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$37,227.12	\$0.00	\$37,227.12		\$29,781.70
*** BROKER TRANSACTIONS TOTAL ***				\$0.00	\$37,227.12	\$0.00	\$37,227.12		\$29,781.70

ADJUSTMENTS

11/30/2017	OTHER: COMPLIANCE AND TECHNOLOGY			0.00	0.00	0.00	0.00		-20.00
11/30/2017	OTHER: OTHER-360 OFFICE			0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-28.00

BROKER COMMISSION TOTALS

Broker Transactions	\$29,781.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-28.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-193.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$29,560.70
Year-to-date Earnings	\$29,560.70