

**COMMISSION STATEMENT****October 31, 2017****BRENT LEE**

13423 Kings View Lane

Williston, ND 58801-

BROKER #: L137

BRANCH: TRUWEALTH FINANCIAL

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------------------|-------------------------------------|----------------------|---------------------|--------------------|--------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u> | | | | | | | | |
| 09/30/2017 | BENSON, JEFFREY | GRIFFIN CAPITAL ESSENTIAL ASSET II | 0.00 | 23.65 | 0.00 | 23.65 | 90.0 | 21.29 |
| 09/30/2017 | KEMPER, JEROME | GRIFFIN CAPITAL ESSENTIAL ASSET II | 0.00 | 70.95 | 0.00 | 70.95 | 90.0 | 63.86 |
| * BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL * | | | \$0.00 | \$94.60 | \$0.00 | \$94.60 | | \$85.15 |
| <u>BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333</u> | | | | | | | | |
| 08/31/2017 | RED RIVER SUPPLY | PRINCIPAL LIFE | 0.00 | 43.59 | 0.00 | 43.59 | 90.0 | 39.23 |
| 09/30/2017 | ZAK MEDICAL CORP | PRINCIPAL LIFE | 0.00 | 59.62 | 0.00 | 59.62 | 90.0 | 53.66 |
| 10/08/2017 | RED RIVER OILFIELD | PRINCIPAL LIFE | 0.00 | 82.53 | 0.00 | 82.53 | 90.0 | 74.28 |
| * JBB JEN-BRENT-BRION TRU-DONAI-LEE- SUBTOTAL * | | | \$0.00 | \$185.74 | \$0.00 | \$185.74 | | \$167.17 |
| <u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u> | | | | | | | | |
| 10/18/2017 | KRINGEN, MARK | ARCTRUST FOLLOW ON #3 | 200,000.00 | 4,800.00 | 0.00 | 4,800.00 | 90.0 | 4,320.00 |
| 10/06/2017 | SKAARE, LARRY | FS INVESTMENT CORP IV CLASS T | 0.00 | 13.81 | 0.00 | 13.81 | 90.0 | 12.43 |
| 09/30/2017 | SKAARE, LARRY | GRIFFIN CAPITAL ESSENTIAL ASSET II | 0.00 | 42.57 | 0.00 | 42.57 | 90.0 | 38.31 |
| 09/30/2017 | MONETTE, PEGGY | GRIFFIN CAPITAL ESSENTIAL ASSET II | 0.00 | 37.85 | 0.00 | 37.85 | 90.0 | 34.07 |
| 09/30/2017 | RASMUSSEN, PAMELA | GRIFFIN CAPITAL ESSENTIAL ASSET II | 0.00 | 25.55 | 0.00 | 25.55 | 90.0 | 23.00 |
| 10/25/2017 | KRINGEN, MARK | HPI FUND V | 200,000.00 | 4,800.00 | 0.00 | 4,800.00 | 90.0 | 4,320.00 |
| 10/12/2017 | KRINGEN, MARK | HPI REAL ESTATE FUND V LLC | 200,000.00 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| 10/18/2017 | KRINGEN, MARK | SEALEY STRATEGIC EQUITY PARTNERS LP | 200,000.00 | 5,600.00 | 0.00 | 5,600.00 | 90.0 | 5,040.00 |
| 08/31/2017 | LEE-NORBY-DONAI, VARIOUS-BBJ | VOYA TRAILS | 0.00 | 0.32 | 0.00 | 0.32 | 90.0 | 0.29 |
| * BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL * | | | \$800,000.00 | \$15,320.10 | \$0.00 | \$15,320.10 | | \$13,788.10 |
| <u>BROKER: L147-BRE/BRI/JEN/TYL LEE/NORB/DON/L #376</u> | | | | | | | | |
| 10/24/2017 | SORENSEN, CURTIS | STARBOARD LEMON GROVE DST | 143,000.00 | 2,402.40 | 0.00 | 2,402.40 | 90.0 | 2,162.16 |
| * L147-BRE/BRI/JEN/TYL LEE/NORB/DON/L SUBTOTAL * | | | \$143,000.00 | \$2,402.40 | \$0.00 | \$2,402.40 | | \$2,162.16 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$943,000.00 | \$18,002.84 | \$0.00 | \$18,002.84 | | \$16,202.58 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$16,202.58 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$16,202.58 |
| Year-to-date Gross | \$65,915.96 |
| Year-to-date Earnings | \$59,253.04 |