



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
August 15, 2017

08/14/2017  
Page No. 1

MARK-S141 REG SMITH

BROKER #: S141  
BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/12/2017	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	3.83	0.00	3.83	48.0	1.84
07/12/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
07/12/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
07/12/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.18	0.00	6.18	48.0	2.97
07/12/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.39	0.00	3.39	48.0	1.63
07/12/2017	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.48	0.00	1.48	48.0	0.71
07/12/2017	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	3.72	0.00	3.72	48.0	1.79
07/12/2017	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.14	0.00	0.14	48.0	0.07
07/12/2017	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.14	0.00	0.14	48.0	0.07
07/12/2017	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.44	0.00	2.44	48.0	1.17
07/12/2017	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.24	0.00	3.24	48.0	1.56
07/12/2017	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
07/12/2017	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
07/12/2017	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	48.0	0.09
07/12/2017	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	48.0	0.09
07/31/2017	BOMMARITO, VICTORIA	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	16.51	0.00	16.51	48.0	7.92
07/31/2017	TMICO	FRANKLIN INCOME C	0.00	7.86	0.00	7.86	48.0	3.77
07/31/2017	SPURGIN, LISBETH	FRANKLIN TOTAL RETURN FUNDS -CL C	0.00	5.36	0.00	5.36	48.0	2.57
07/31/2017	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	0.00	0.03	0.00	0.03	48.0	0.01
07/31/2017	MCCORMICK, DEBORAH	FT FOUNDING FUNDS ALLOC A	0.00	27.13	0.00	27.13	48.0	13.02
07/12/2017	BOWLAND, BOBBY	INVESCO MIDCAP CORE EQUITY A	0.00	7.58	0.00	7.58	48.0	3.64
07/12/2017	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.97	0.00	0.97	48.0	0.47
07/12/2017	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.81	0.00	0.81	48.0	0.39
08/02/2017	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	11.06	0.00	11.06	48.0	5.31
08/02/2017	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.36	0.00	4.36	48.0	2.09
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$106.91</b>	<b>\$0.00</b>	<b>\$106.91</b>		<b>\$51.32</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/31/2017	RHODES, VIRGINIA	JNL ELITE ACCESS	74,479.37	15.52	0.00	15.52	48.0	7.45
07/31/2017	NOBLES, VERLIE	JNL PERSPECTIVE L	30,885.60	33.46	0.00	33.46	48.0	16.06
07/31/2017	SPURGIN, BRENT	JNL PERSPECTIVE L	37,628.31	40.76	0.00	40.76	48.0	19.56
07/31/2017	GODWIN, SANDRA	JNL PERSPECTIVE L	148,546.91	160.93	0.00	160.93	48.0	77.25
07/31/2017	WALLACE, DEBORREH	JNL PERSPECTIVE L	81,521.13	67.93	0.00	67.93	48.0	32.61
07/31/2017	NOBLES, VERLIE	JOHN HANCOCK VENTURE III	29,187.06	24.32	0.00	24.32	48.0	11.67
07/28/2017	BURNS, ANNE	SEC BEN 814121158	37,026.71	30.84	0.00	30.84	48.0	14.80
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$439,275.09</b>	<b>\$373.76</b>	<b>\$0.00</b>	<b>\$373.76</b>		<b>\$179.40</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$439,275.09</b>	<b>\$480.67</b>	<b>\$0.00</b>	<b>\$480.67</b>		<b>\$230.72</b>

**ADJUSTMENTS**

08/15/2017		*BAL CARRIED FORWARD: 7/31/17	0.00					102.19
08/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
08/15/2017		*RBC: WRITE OFF-7A503088	0.00					-25.00
08/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-497.81</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$230.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-497.81
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$-267.09</b>
<b>Year-to-date Gross</b>	<b>\$4,320.13</b>
<b>Year-to-date Earnings</b>	<b>\$2,073.61</b>