

STATEMENT

February 20, 2018

LPFS HOUSE

BROKER #: ZZQ
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
/	/	#07150233245 GROSSDALE	PORTFOLIO 2025-2028 A	150.00	6.38	100.0	0.00	6.38	0.00	6.38
/	/	#07150233248 GROSSDALE	PORTFOLIO 2025-2028 A	100.00	4.25	100.0	0.00	4.25	0.00	4.25
/	/	#07150233248 GROSSDALE	PORTFOLIO 2025-2028 A	150.00	6.38	100.0	0.00	6.38	0.00	6.38
11/01/0017	#2000344105 SANCHEZ, REBECCA	A - DISCOVERY PORT	0.00	0.17	100.0	0.00	0.17	0.00	0.17	
11/01/0017	#2000256393 MILKS, SEAN	AGES 12-14 YEARS A	0.00	1.89	100.0	0.00	1.89	0.00	1.89	
11/01/0017	#2000256391 MILKS, SEAN	AGES 15-17 YEARS A	0.00	7.25	100.0	0.00	7.25	0.00	7.25	
11/01/0017	#2000270551 SANCHEZ, REBECCA	AGES 18 AND OVER A	0.00	0.07	100.0	0.00	0.07	0.00	0.07	
11/01/0017	#2000256393 MILKS, SEAN	CAPITAL PERSEVATION A	0.00	0.50	100.0	0.00	0.50	0.00	0.50	
11/01/0017	#2000266182 KOESTER	MODERATELY AGGRESSIVE A	0.00	1.42	100.0	0.00	1.42	0.00	1.42	
11/01/0017	#2000266181 KOESTER	MODERATELY AGGRESSIVE A	0.00	1.42	100.0	0.00	1.42	0.00	1.42	
11/01/0017	#2000256391 MILKS, SEAN	MODERATELY AGGRESSIVE A	0.00	1.90	100.0	0.00	1.90	0.00	1.90	
11/01/0017	#2000256393 MILKS, SEAN	MODERATELY AGGRESSIVE A	0.00	2.07	100.0	0.00	2.07	0.00	2.07	
12/01/0017	#2000344105 SANCHEZ, REBECCA	A - DISCOVERY PORT	0.00	0.18	100.0	0.00	0.18	0.00	0.18	
12/01/0017	#200256393 MILKS, SEAN	AGES 12-14 YEARS A	0.00	1.84	100.0	0.00	1.84	0.00	1.84	
12/01/0017	#2000256391 MILKS, SEAN	AGES 15-17 YEARS A	0.00	7.03	100.0	0.00	7.03	0.00	7.03	
12/01/0017	#2000270551 SANCHEZ, REBECCA	AGES 18 AND OVER A	0.00	0.07	100.0	0.00	0.07	0.00	0.07	
12/01/0017	#2000256393 MILKS, SEAN	CAPITAL PERSEVATION A	0.00	0.49	100.0	0.00	0.49	0.00	0.49	
12/01/0017	#2000256391 MILKS, SEAN	MODERATELY AGGRESSIVE A	0.00	1.85	100.0	0.00	1.85	0.00	1.85	
12/01/0017	#2000256393 MILKS, SEAN	MODERATELY AGGRESSIVE A	0.00	2.01	100.0	0.00	2.01	0.00	2.01	
12/01/0017	#2000266181 KOESTER	MODERATELY AGGRESSIVE A	0.00	1.39	100.0	0.00	1.39	0.00	1.39	
12/01/0017	#2000266182 KOESTER	MODERATELY AGGRESSIVE A	0.00	1.39	100.0	0.00	1.39	0.00	1.39	
01/07/0018	#1001755709 MILKS, SEAN	PACIFIC FUNDS PPOA	100.00	4.75	100.0	0.00	4.75	0.00	4.75	
01/10/0201	#2000344105 SANCHEZ, REBECCA	A - DISCOVERY PORT	25.00	1.00	100.0	0.00	1.00	0.00	1.00	
01/20/0201	#3084670	ACCESS FLEX HIGH YIELD-SVC	0.00	4.68	100.0	0.00	4.68	0.00	4.68	
01/20/0201	#3264064	ACCESS FLEX HIGH YIELD-SVC	0.00	0.91	100.0	0.00	0.91	0.00	0.91	
01/20/0201	#3301583	ACCESS FLEX HIGH YIELD-SVC	0.00	2.50	100.0	0.00	2.50	0.00	2.50	
01/20/0201	#3301850	ACCESS FLEX HIGH YIELD-SVC	0.00	2.73	100.0	0.00	2.73	0.00	2.73	
01/20/0201	#3301874	ACCESS FLEX HIGH YIELD-SVC	0.00	36.42	100.0	0.00	36.42	0.00	36.42	

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/20/2021	#3301898	ACCESS FLEX HIGH YIELD-SVC	0.00	20.06	100.0	0.00	20.06	0.00	20.06
01/20/2021	#3084670	ACCESS FLEX HIGH YIELD-SVC	0.00	1.56	100.0	0.00	1.56	0.00	1.56
01/20/2021	#3264064	ACCESS FLEX HIGH YIELD-SVC	0.00	0.30	100.0	0.00	0.30	0.00	0.30
01/20/2021	#3301583	ACCESS FLEX HIGH YIELD-SVC	0.00	0.83	100.0	0.00	0.83	0.00	0.83
01/20/2021	#3301850	ACCESS FLEX HIGH YIELD-SVC	0.00	0.91	100.0	0.00	0.91	0.00	0.91
01/20/2021	#3301874	ACCESS FLEX HIGH YIELD-SVC	0.00	12.14	100.0	0.00	12.14	0.00	12.14
01/20/2021	#3301898	ACCESS FLEX HIGH YIELD-SVC	0.00	6.69	100.0	0.00	6.69	0.00	6.69
11/20/2017	#05020480458 KORETZ, S	DISCOVERY FUND C	43.75	0.44	100.0	0.00	0.44	0.00	0.44
11/20/2017	#02570974985 DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	0.00	2.38
11/20/2017	#07024242711 KORETZ, S	MAIN STREET FUND C	43.75	0.44	100.0	0.00	0.44	0.00	0.44
11/20/2017	#07024265492 LUVERA, V	MAIN STREET FUND C	62.50	0.63	100.0	0.00	0.63	0.00	0.63
11/20/2017	#05470420794 MASTER, S	OPPEN FUNDS	75.00	0.75	100.0	0.00	0.75	0.00	0.75
11/20/2017	#03202920181 VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	0.00	2.38
11/20/2017	#05520920700 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	350.00	3.50	100.0	0.00	3.50	0.00	3.50
11/20/2017	#05520921393 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	225.00	2.25	100.0	0.00	2.25	0.00	2.25
11/20/2017	#05520923714 KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	87.50	0.88	100.0	0.00	0.88	0.00	0.88
11/20/2017	#05520950642 LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	125.00	1.25	100.0	0.00	1.25	0.00	1.25
11/20/2017	#05920334191 LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	62.50	0.63	100.0	0.00	0.63	0.00	0.63
11/20/2017	#05451039973	PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	0.00	6.65
11/20/2017	#02870523776 VARIOUS HOUSE	TOTAL RETURN BOND FUND C	75.00	0.75	100.0	0.00	0.75	0.00	0.75
11/20/2017	#02870524601 MASTER, S	TOTAL RETURN BOND FUND C	25.00	0.25	100.0	0.00	0.25	0.00	0.25
11/27/2017	#05470420772 ALONGE, A	OPPEN FUNDS	18.75	0.19	100.0	0.00	0.19	0.00	0.19
11/27/2017	#05420223784 MARIAN, S	OPPENHEIMER FUNDS	37.50	0.38	100.0	0.00	0.38	0.00	0.38
11/27/2017	#05520944680 MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	112.50	1.13	100.0	0.00	1.13	0.00	1.13
11/27/2017	#02870524579 ALONGE, A	TOTAL RETURN BOND FUND C	6.25	0.06	100.0	0.00	0.06	0.00	0.06
11/30/2017	#6000067683	DREYFUS CALIFORNIA AMT-FREE MUNI BO	0.00	4.15	100.0	0.00	4.15	0.00	4.15
12/01/2017	#05520921393 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	353.37	3.53	100.0	0.00	3.53	0.00	3.53
12/04/2017	#05020480458 KORETZ, S	DISCOVERY FUND C	43.75	0.44	100.0	0.00	0.44	0.00	0.44
12/04/2017	#02570974985 DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	0.00	2.38
12/04/2017	#08270873631 BELCASTRO,	INTERNATIONAL GROWTH FUND C	49.48	0.49	100.0	0.00	0.49	0.00	0.49
12/04/2017	#07024319018 BELCASTRO,	MAIN STREET FUND C	49.49	0.49	100.0	0.00	0.49	0.00	0.49
12/04/2017	#07024242711 KORETZ, S	MAIN STREET FUND C	43.75	0.44	100.0	0.00	0.44	0.00	0.44
12/04/2017	#07024265492 LUVERA, V	MAIN STREET FUND C	62.50	0.63	100.0	0.00	0.63	0.00	0.63
12/04/2017	#05470420794 MASTER, S	OPPEN FUNDS	75.00	0.75	100.0	0.00	0.75	0.00	0.75
12/04/2017	#03202920181 VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	0.00	2.38
12/04/2017	#02381168002 BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	49.49	0.49	100.0	0.00	0.49	0.00	0.49

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/04/2017	#05520920700	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	350.00	3.50	100.0	0.00	3.50	3.50
12/04/2017	#05520921393	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	225.00	2.25	100.0	0.00	2.25	2.25
12/04/2017	#05520923714	KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	87.50	0.88	100.0	0.00	0.88	0.88
12/04/2017	#05520950642	LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	125.00	1.25	100.0	0.00	1.25	1.25
12/04/2017	#05920334191	LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	62.50	0.63	100.0	0.00	0.63	0.63
12/04/2017	#05451039973		PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	6.65
12/04/2017	#07230242748	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	49.49	0.49	100.0	0.00	0.49	0.49
12/04/2017	#02870523776	VARIOUS HOUSE	TOTAL RETURN BOND FUND C	75.00	0.75	100.0	0.00	0.75	0.75
12/04/2017	#02870524601	MASTER, S	TOTAL RETURN BOND FUND C	25.00	0.25	100.0	0.00	0.25	0.25
12/12/2017	#05470420772	ALONGE, A	OPPEN FUNDS	18.75	0.19	100.0	0.00	0.19	0.19
12/12/2017	#05420223784	MARIAN, S	OPPENHEIMER FUNDS	37.50	0.38	100.0	0.00	0.38	0.38
12/12/2017	#05520944680	MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	112.50	1.13	100.0	0.00	1.13	1.13
12/12/2017	#02870524579	ALONGE, A	TOTAL RETURN BOND FUND C	6.25	0.06	100.0	0.00	0.06	0.06
12/14/2017	#08270310475	BELCASTRO,	INTERNATIONAL GROWTH FUND C	100.00	1.00	100.0	0.00	1.00	1.00
12/14/2017	#07023037287	BELCASTRO,	MAIN STREET FUND C	100.00	1.00	100.0	0.00	1.00	1.00
12/14/2017	#02380716551	BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	100.00	1.00	100.0	0.00	1.00	1.00
12/14/2017	#07230016643	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	100.00	1.00	100.0	0.00	1.00	1.00
12/18/2017	#05020480458	KORETZ, S	DISCOVERY FUND C	43.75	0.44	100.0	0.00	0.44	0.44
12/18/2017	#07024242711	KORETZ, S	MAIN STREET FUND C	43.75	0.44	100.0	0.00	0.44	0.44
12/18/2017	#07024265492	LUVERA, V	MAIN STREET FUND C	62.50	0.63	100.0	0.00	0.63	0.63
12/18/2017	#05470420794	MASTER, S	OPPEN FUNDS	75.00	0.75	100.0	0.00	0.75	0.75
12/18/2017	#05520920700	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	350.00	3.50	100.0	0.00	3.50	3.50
12/18/2017	#05520921393	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	225.00	2.25	100.0	0.00	2.25	2.25
12/18/2017	#05520923714	KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	87.50	0.88	100.0	0.00	0.88	0.88
12/18/2017	#05520950642	LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	125.00	1.25	100.0	0.00	1.25	1.25
12/18/2017	#05920334191	LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	62.50	0.63	100.0	0.00	0.63	0.63
12/18/2017	#1001755709	MILKS, SEAN	PACIFIC FUNDS PPOA	0.00	4.75	100.0	0.00	4.75	4.75
12/18/2017	#05451039973		PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	6.65
12/18/2017	#02870523776	VARIOUS HOUSE	TOTAL RETURN BOND FUND C	75.00	0.75	100.0	0.00	0.75	0.75
12/18/2017	#02870524601	MASTER, S	TOTAL RETURN BOND FUND C	25.00	0.25	100.0	0.00	0.25	0.25
12/20/2017	#77720991001	SMITH, ELVIN	NYADVI JPM 529 CONSV AGE-BA A	0.00	5.06	100.0	0.00	5.06	5.06
12/21/2017	#02570974985	DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	2.38
12/21/2017	#03202920181	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	2.38
12/27/2017	#05470420772	ALONGE, A	OPPEN FUNDS	18.75	0.19	100.0	0.00	0.19	0.19
12/27/2017	#05420223784	MARIAN, S	OPPENHEIMER FUNDS	37.50	0.38	100.0	0.00	0.38	0.38
12/27/2017	#05520920700	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	350.00	3.50	100.0	0.00	3.50	3.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/27/2017	#05520921393 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	225.00	2.25	100.0	0.00	2.25	0.00	2.25
12/27/2017	#05520944680 MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	112.50	1.13	100.0	0.00	1.13	0.00	1.13
12/27/2017	#05451039973	PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	0.00	6.65
12/27/2017	#02870523776 VARIOUS HOUSE	TOTAL RETURN BOND FUND C	75.00	0.75	100.0	0.00	0.75	0.00	0.75
12/27/2017	#02870524579 ALONGE, A	TOTAL RETURN BOND FUND C	6.25	0.06	100.0	0.00	0.06	0.00	0.06
12/28/2017	#08270873631 BELCASTRO,	INTERNATIONAL GROWTH FUND C	49.48	0.49	100.0	0.00	0.49	0.00	0.49
12/28/2017	#07024319018 BELCASTRO,	MAIN STREET FUND C	49.49	0.49	100.0	0.00	0.49	0.00	0.49
12/28/2017	#02381168002 BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	49.49	0.49	100.0	0.00	0.49	0.00	0.49
12/28/2017	#07230242748 BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	49.49	0.49	100.0	0.00	0.49	0.00	0.49
12/29/2017	#1001454909 JENK	PAC FUNDS PLSR	0.00	12.14	100.0	0.00	12.14	0.00	12.14
12/29/2017	#1001454918 KOESTER	PAC FUNDS POMA	0.00	1.83	100.0	0.00	1.83	0.00	1.83
12/29/2017	#1001454909 JENK	PAC FUNDS POMA	0.00	40.53	100.0	0.00	40.53	0.00	40.53
12/29/2017	#1001755709 MILKS, SEAN	PACIFIC FUNDS PPOA	0.00	2.63	100.0	0.00	2.63	0.00	2.63
12/31/2017	#074480010277 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	1.20	100.0	0.00	1.20	0.00	1.20
12/31/2017	#6000067683	DREYFUS CALIFORNIA AMT-FREE MUNI BO	0.00	4.29	100.0	0.00	4.29	0.00	4.29
12/31/2017	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.47	100.0	0.00	1.47	0.00	1.47
12/31/2017	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.25	100.0	0.00	1.25	0.00	1.25
12/31/2017	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	0.75	100.0	0.00	0.75	0.00	0.75
12/31/2017	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.12	100.0	0.00	1.12	0.00	1.12
12/31/2017	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	6.32	100.0	0.00	6.32	0.00	6.32
12/31/2017	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	5.37	100.0	0.00	5.37	0.00	5.37
12/31/2017	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	3.21	100.0	0.00	3.21	0.00	3.21
12/31/2017	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	4.83	100.0	0.00	4.83	0.00	4.83
12/31/2017	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.61	100.0	0.00	0.61	0.00	0.61
12/31/2017	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.52	100.0	0.00	0.52	0.00	0.52
12/31/2017	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.31	100.0	0.00	0.31	0.00	0.31
12/31/2017	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.46	100.0	0.00	0.46	0.00	0.46
12/31/2017	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.43	100.0	0.00	0.43	0.00	0.43
12/31/2017	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.36	100.0	0.00	0.36	0.00	0.36
12/31/2017	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.22	100.0	0.00	0.22	0.00	0.22
12/31/2017	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.33	100.0	0.00	0.33	0.00	0.33
12/31/2017	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.25	100.0	0.00	0.25	0.00	0.25
12/31/2017	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.21	100.0	0.00	0.21	0.00	0.21
12/31/2017	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.12	100.0	0.00	0.12	0.00	0.12
12/31/2017	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.19	100.0	0.00	0.19	0.00	0.19
12/31/2017	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.93	100.0	0.00	1.93	0.00	1.93

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/31/2017	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.64	100.0	0.00	1.64	0.00	1.64
12/31/2017	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	0.98	100.0	0.00	0.98	0.00	0.98
12/31/2017	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.48	100.0	0.00	1.48	0.00	1.48
12/31/2017	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	2.48	100.0	0.00	2.48	0.00	2.48
12/31/2017	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	2.11	100.0	0.00	2.11	0.00	2.11
12/31/2017	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.26	100.0	0.00	1.26	0.00	1.26
12/31/2017	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.90	100.0	0.00	1.90	0.00	1.90
12/31/2017	#712633917 ARCHER, FORREST	HARTFORD MF TRAILS	0.00	72.45	100.0	0.00	72.45	0.00	72.45
12/31/2017	#366020516 MOFFITT, PATRICIA	HARTFORD MF TRAILS	0.00	0.55	100.0	0.00	0.55	0.00	0.55
12/31/2017	#366029290 MOFFITT, PATRICIA	HARTFORD MF TRAILS	0.00	5.21	100.0	0.00	5.21	0.00	5.21
12/31/2017	#510029960 LEBLANC, GERALD	HARTFORD MF TRAILS	0.00	39.51	100.0	0.00	39.51	0.00	39.51
12/31/2017	#510029965 LEBLANC, GERALD	HARTFORD MF TRAILS	0.00	3.95	100.0	0.00	3.95	0.00	3.95
12/31/2017	#710567770 LEBLANC, GERALD	HARTFORD MF TRAILS	0.00	5.52	100.0	0.00	5.52	0.00	5.52
12/31/2017	#711035186 LEBLANC, GERALD	HARTFORD MF TRAILS	0.00	159.17	100.0	0.00	159.17	0.00	159.17
12/31/2017	#711201663 LEBLANC, GERALD	HARTFORD MF TRAILS	0.00	14.85	100.0	0.00	14.85	0.00	14.85
12/31/2017	#712139932 LEBLANC, GERALD	HARTFORD MF TRAILS	0.00	3.92	100.0	0.00	3.92	0.00	3.92
12/31/2017	#712502581 LEBLANC, GERALD	HARTFORD MF TRAILS	0.00	23.85	100.0	0.00	23.85	0.00	23.85
12/31/2017	#712575936 LEBLANC, GERALD	HARTFORD MF TRAILS	0.00	321.11	100.0	0.00	321.11	0.00	321.11
12/31/2017	#712652487 LEBLANC, GERALD	HARTFORD MF TRAILS	0.00	76.91	100.0	0.00	76.91	0.00	76.91
12/31/2017	#712659413 LEBLANC, GERALD	HARTFORD MF TRAILS	0.00	287.46	100.0	0.00	287.46	0.00	287.46
12/31/2017	#711598959 LEBLANC, GERALD	HARTFORD MF TRAILS	0.00	31.76	100.0	0.00	31.76	0.00	31.76
12/31/2017	#510011827 LEBLANC, GERALD	HARTFORD MF TRAILS	0.00	17.59	100.0	0.00	17.59	0.00	17.59
12/31/2017	#510011828 LEBLANC, GERALD	HARTFORD MF TRAILS	0.00	58.31	100.0	0.00	58.31	0.00	58.31
12/31/2017	#77720991001 SMITH, ELVIN	NYADVI JPM 529 CONSV AGE-BA A	0.00	1.14	100.0	0.00	1.14	0.00	1.14
01/02/2018	#2000344105 SANCHEZ, REBECCA	A - DISCOVERY PORT	0.00	0.19	100.0	0.00	0.19	0.00	0.19
01/02/2018	#2000256393 MILKS, SEAN	AGES 12-14 YEARS A	0.00	1.93	100.0	0.00	1.93	0.00	1.93
01/02/2018	#2000256391 MILKS, SEAN	AGES 15-17 YEARS A	0.00	7.30	100.0	0.00	7.30	0.00	7.30
01/02/2018	#2000270651 ROBERTSON,	AGES 18 AND OVER A	0.00	0.08	100.0	0.00	0.08	0.00	0.08
01/02/2018	#00085191928 MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
01/02/2018	#08270310475 BELCASTRO,	INTERNATIONAL GROWTH FUND C	100.00	1.00	100.0	0.00	1.00	0.00	1.00
01/02/2018	#00036257440 REED, JOHN	IVY FDS INC SCIENCE & TECH FD CL A	285.53	3.43	100.0	0.00	3.43	0.00	3.43
01/02/2018	#07023037287 BELCASTRO,	MAIN STREET FUND C	100.00	1.00	100.0	0.00	1.00	0.00	1.00
01/02/2018	#07024265492 LUVERA, V	MAIN STREET FUND C	62.50	0.63	100.0	0.00	0.63	0.00	0.63
01/02/2018	#2000256391 MILKS, SEAN	MODERATELY AGGRESSIVE A	0.00	1.95	100.0	0.00	1.95	0.00	1.95
01/02/2018	#2000256393 MILKS, SEAN	MODERATELY AGGRESSIVE A	0.00	2.11	100.0	0.00	2.11	0.00	2.11
01/02/2018	#2000266181 KOESTER	MODERATELY AGGRESSIVE A	0.00	1.46	100.0	0.00	1.46	0.00	1.46

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/02/2018	#2000266182 KOESTER	MODERATELY AGGRESSIVE A	0.00	1.46	100.0	0.00	1.46	0.00	1.46
01/02/2018	#03211283646 LAMAR, K	OPPENHEIMER CAPITAL APPRECIATION FU	64.03	3.04	100.0	0.00	3.04	0.00	3.04
01/02/2018	#03211283646 LAMAR, K	OPPENHEIMER CAPITAL APPRECIATION FU	64.03	3.04	100.0	0.00	3.04	0.00	3.04
01/02/2018	#02380716551 BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	100.00	1.00	100.0	0.00	1.00	0.00	1.00
01/02/2018	#03307227277 LAMAR, K	OPPENHEIMER GLOBAL FUND CL A	21.35	1.01	100.0	0.00	1.01	0.00	1.01
01/02/2018	#03307227277 LAMAR, K	OPPENHEIMER GLOBAL FUND CL A	21.35	1.01	100.0	0.00	1.01	0.00	1.01
01/02/2018	#05520921393 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	353.37	3.53	100.0	0.00	3.53	0.00	3.53
01/02/2018	#05520950642 LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	125.00	1.25	100.0	0.00	1.25	0.00	1.25
01/02/2018	#05920334191 LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	62.50	0.63	100.0	0.00	0.63	0.00	0.63
01/02/2018	#2000256393 MILKS, SEAN	SCHOLARS EDGE 647109299	0.00	0.51	100.0	0.00	0.51	0.00	0.51
01/02/2018	#07230016643 BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	100.00	1.00	100.0	0.00	1.00	0.00	1.00
01/03/2018	#66826639101 CERVANTES	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.19	100.0	0.00	0.19	0.00	0.19
01/05/2018	#0049157621	BLCKRCK	50.00	0.50	100.0	0.00	0.50	0.00	0.50
01/05/2018	#0049157621	BLCKRCK	50.00	0.50	100.0	0.00	0.50	0.00	0.50
01/05/2018	#00085008254 NEFF, WESTON	CAPITAL WORLD GROWTH AND INCOME-529	50.00	0.50	100.0	0.00	0.50	0.00	0.50
01/05/2018	#002072166941 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.61	100.0	0.00	0.61	0.00	0.61
01/05/2018	#002072166983 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.28	100.0	0.00	0.28	0.00	0.28
01/05/2018	#002072167021 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.28	100.0	0.00	0.28	0.00	0.28
01/05/2018	#002072167063 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.28	100.0	0.00	0.28	0.00	0.28
01/05/2018	#071502332454 GROSSDALE	PORTFOLIO 2025-2028 A	0.00	4.35	100.0	0.00	4.35	0.00	4.35
01/05/2018	#071502332483 GROSSDALE	PORTFOLIO 2025-2028 A	0.00	3.92	100.0	0.00	3.92	0.00	3.92
01/08/2018	#00067575889 REYES, JUAN	AMERICAN BALANCED FUND INC CL C	50.00	0.50	100.0	0.00	0.50	0.00	0.50
01/08/2018	#00067575889 REYES, JUAN	AMERICAN BALANCED FUND INC CL C	18.40	0.18	100.0	0.00	0.18	0.00	0.18
01/08/2018	#00700332646 BAPTISTE, MARIE	FIDELITY ADV TECHNOLOGY-CL T	100.00	3.00	100.0	0.00	3.00	0.00	3.00
01/08/2018	#02570974985 DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	0.00	2.38
01/08/2018	#05470420772 ALONGE, A	OPPEN FUNDS	18.75	0.19	100.0	0.00	0.19	0.00	0.19
01/08/2018	#03202920181 VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	0.00	2.38
01/08/2018	#05420223784 MARIAN, S	OPPENHEIMER FUNDS	37.50	0.38	100.0	0.00	0.38	0.00	0.38
01/08/2018	#05520944680 MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	112.50	1.13	100.0	0.00	1.13	0.00	1.13
01/08/2018	#1001382434 CLANCY, DENNIS	PACIFIC FUNDS POMC	50.00	2.38	100.0	0.00	2.38	0.00	2.38
01/08/2018	#02870524579 ALONGE, A	TOTAL RETURN BOND FUND C	6.25	0.06	100.0	0.00	0.06	0.00	0.06
01/10/2018	#00689848828	FIDELITY ADV EQUITY VALUE-CL A	250.00	6.88	100.0	0.00	6.88	0.00	6.88
01/16/2018	#04000010563 GARRISONJU	AM FUNDS	200.00	10.00	100.0	0.00	10.00	0.00	10.00
01/16/2018	#00073849715 CHICK, MADISON	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
01/16/2018	#00075427348 MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
01/16/2018	#00075427348 MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/16/2018	#00075746809 CHICK, SETH	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
01/16/2018	#00082239930 MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
01/16/2018	#00082239930 MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
01/16/2018	#00085191928 MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
01/16/2018	#00089764435 CHALLASURE	AMCAP FUND-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
01/16/2018	#00089764458 CHALLASURE	AMCAP FUND-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
01/16/2018	#04000010563 GARRISONJU	AMCAP FUND-529A	200.00	10.00	100.0	0.00	10.00	0.00	10.00
01/16/2018	#00074892517 THURMAN, JEREMY	AMER FUNDS	200.00	5.50	100.0	0.00	5.50	0.00	5.50
01/16/2018	#00089764435 CHALLASURE	AMERICAN BALANCED FUND-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
01/16/2018	#00089764458 CHALLASURE	AMERICAN BALANCED FUND-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
01/16/2018	#04000010563 GARRISONJU	AMERICAN FUNDS 02629M58	200.00	7.00	100.0	0.00	7.00	0.00	7.00
01/16/2018	#00087188339 MCDOWAN, GEL	BOND FUND OF AMERICA INC CL A	50.00	1.50	100.0	0.00	1.50	0.00	1.50
01/16/2018	#00064989411 CHAVEZTAMI	CAPITAL WORLD GROWTH & INCOME FUND	22.95	0.46	100.0	0.00	0.46	0.00	0.46
01/16/2018	#00064989411 CHAVEZTAMI	CAPITAL WORLD GROWTH & INCOME FUND	22.95	0.46	100.0	0.00	0.46	0.00	0.46
01/16/2018	#00689433449 ARMAND, JUDITH	FIDELITY ADV EQUITY GROWTH-CL T	100.00	3.00	100.0	0.00	3.00	0.00	3.00
01/16/2018	#00089764435 CHALLASURE	FUNDAMENTAL INVESTORS-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
01/16/2018	#00089764458 CHALLASURE	FUNDAMENTAL INVESTORS-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
01/16/2018	#04000010563 GARRISONJU	FUNDAMENTAL INVESTORS-529A	200.00	10.00	100.0	0.00	10.00	0.00	10.00
01/16/2018	#00064989411 CHAVEZTAMI	GROWTH FUND AMERICA INC CLASS A	22.95	0.46	100.0	0.00	0.46	0.00	0.46
01/16/2018	#00064989411 CHAVEZTAMI	GROWTH FUND AMERICA INC CLASS A	22.95	0.46	100.0	0.00	0.46	0.00	0.46
01/16/2018	#00035777858 CARIOLA,	IVY GLOBAL NATURAL RESOURCES FUND-C	25.00	1.25	100.0	0.00	1.25	0.00	1.25
01/16/2018	#00089764435 CHALLASURE	NEW PERSPECTIVE FUND-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
01/16/2018	#00089764458 CHALLASURE	NEW PERSPECTIVE FUND-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
01/16/2018	#04000010563 GARRISONJU	THE GROWTH FUND OF AMERICA-529A	200.00	10.00	100.0	0.00	10.00	0.00	10.00
01/16/2018	#00089764435 CHALLASURE	THE INCOME FUND OF AMERICA-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
01/16/2018	#00089764458 CHALLASURE	THE INCOME FUND OF AMERICA-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
01/16/2018	#00064989411 CHAVEZTAMI	WASHINGTON MUTUAL INVS FD CLASS A	22.95	0.46	100.0	0.00	0.46	0.00	0.46
01/16/2018	#00064989411 CHAVEZTAMI	WASHINGTON MUTUAL INVS FD CLASS A	22.95	0.46	100.0	0.00	0.46	0.00	0.46
01/22/2018	#00086791991 SANTOS, MARILU	GROWTH FUND AMER INC CL C	50.00	0.50	100.0	0.00	0.50	0.00	0.50
01/30/2018	#00074892549 THURMAN, JEREMY	AM FUNDS 02630R732	100.00	2.75	100.0	0.00	2.75	0.00	2.75
01/30/2018	#00068168935 BHAT, ARJUN	AMER FUNDS	337.00	6.74	100.0	0.00	6.74	0.00	6.74
01/30/2018	#00067575889 REYES, JUAN	AMERICAN BALANCED FUND INC CL C	50.00	0.50	100.0	0.00	0.50	0.00	0.50
01/30/2018	#00067575889 REYES, JUAN	AMERICAN BALANCED FUND INC CL C	24.75	0.25	100.0	0.00	0.25	0.00	0.25
01/30/2018	#00068168935 BHAT, ARJUN	CAPITAL INCOME BUILDER-529A	92.00	1.84	100.0	0.00	1.84	0.00	1.84
01/30/2018	#00068168936 BHAT, ARJUN	CAPITAL INCOME BUILDER-529A	50.00	1.00	100.0	0.00	1.00	0.00	1.00
01/30/2018	#00068168936 BHAT, ARJUN	COLLEGE 2024 FUND 529A	214.00	4.28	100.0	0.00	4.28	0.00	4.28

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/30/2018	#00068168935 BHAT, ARJUN	FUNDAMENTAL INVESTORS-529A	100.00	2.00	100.0	0.00	2.00	0.00	2.00
01/30/2018	#00068168936 BHAT, ARJUN	FUNDAMENTAL INVESTORS-529A	100.00	2.00	100.0	0.00	2.00	0.00	2.00
01/30/2018	#00032425931 STILLARS	IVY PACIFIC OPPORTUNITIES A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
01/30/2018	#00068168935 BHAT, ARJUN	THE GROWTH FUND OF AMERICA-529A	100.00	2.00	100.0	0.00	2.00	0.00	2.00
01/30/2018	#00068168936 BHAT, ARJUN	THE GROWTH FUND OF AMERICA-529A	100.00	2.00	100.0	0.00	2.00	0.00	2.00
02/01/2018	#00085191928 MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
02/01/2018	#00064989411 CHAVEZTAMI	CAPITAL WORLD GROWTH & INCOME FUND	30.60	0.61	100.0	0.00	0.61	0.00	0.61
02/01/2018	#00064989411 CHAVEZTAMI	CAPITAL WORLD GROWTH & INCOME FUND	30.60	0.61	100.0	0.00	0.61	0.00	0.61
02/01/2018	#00064989411 CHAVEZTAMI	GROWTH FUND AMERICA INC CLASS A	30.60	0.61	100.0	0.00	0.61	0.00	0.61
02/01/2018	#00064989411 CHAVEZTAMI	GROWTH FUND AMERICA INC CLASS A	30.60	0.61	100.0	0.00	0.61	0.00	0.61
02/01/2018	#00064989411 CHAVEZTAMI	WASHINGTON MUTUAL INVS FD CLASS A	30.60	0.61	100.0	0.00	0.61	0.00	0.61
02/01/2018	#00064989411 CHAVEZTAMI	WASHINGTON MUTUAL INVS FD CLASS A	30.60	0.61	100.0	0.00	0.61	0.00	0.61
* MUTUAL FUNDS SUBTOTAL *			\$14,151.38	\$1,718.68		\$0.00	\$1,718.68	\$0.00	\$1,718.68
<u>PRODUCT CATEGORY: STOCKS</u>									
12/28/2017	# NCIAL SVCS LLC, LIBERTY	INTEL CORP	7,201.49	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	ALLIANZGI CONV & INCOME FD COM	2,567.19	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	B2GOLD CORP	52.40	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	BLACKROCK CORPORATE HIGH YIELD FD V	1,219.90	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	BOULDER GROWTH & INCOME FD	110.93	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	CIRQUE ENERGY INC COM	0.00	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	DRDGOLD LIMITED SPONSORED ADR	32.40	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	ECO SHIFT POWER CORP	0.00	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	INVESCO VAN KAMPEN TRUST INVESTMENT	1,317.23	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	KRAFT HEINZ COMPANY (THE) COMMON ST	234.19	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	MONDELEZ INTERNATIONAL INC COM	429.10	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	NUVEEN REAL ESTATE INCOME FD	112.60	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	PENSKE AUTOMOTIVE GROUP INC	480.43	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	PEREGRINE PHARMACEUTICALS INC COMMO	11.88	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	PERMIAN BASIN ROYALTY TRUST UBI	89.10	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	STINGER SYSTEMS INC NEW	0.10	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	VERICEL CORPORATION COM	5.40	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	VIASPACE INC	0.12	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# DIXON, JUNITA	VIDEO RIVER NETWORKS INC	0.10	0.00	100.0	0.00	0.00	0.00	0.00
12/29/2017	# MCKEE, ROBERT	VOLTARI CORPORATION COMMON STOCK	10.63	0.00	100.0	0.00	0.00	0.00	0.00
01/05/2018	# NCIAL SVCS LLC, LIBERTY	ORGANIGRAM HOLDINGS INC COMMON	3,829.70	0.00	100.0	0.00	0.00	0.00	0.00

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/09/2018 #	NCIAL SVCS LLC, LIBERTY	ORGANIGRAM HOLDINGS INC COMMON	4,340.67	0.00	100.0	0.00	0.00	0.00	0.00
01/11/2018 #	KAYE, CORBY	CANNABIS SCIENCE INC	66.07	0.00	100.0	0.00	0.00	0.00	0.00
01/11/2018 #	RECHT, DANNY	CHIMERA INVESTMENT CORPORATION	54.10	0.00	100.0	0.00	0.00	0.00	0.00
01/11/2018 #	LOPEZ, WILLIAM	CIRRUS LOGIC INC	104.55	0.00	100.0	0.00	0.00	0.00	0.00
01/11/2018 #	MILLENIAL PAINTING	CIRRUS LOGIC INC	52.27	0.00	100.0	0.00	0.00	0.00	0.00
01/11/2018 #	FLOOD, WILLIAM	E TRADE FINANCIAL CORPORATION	105.98	0.00	100.0	0.00	0.00	0.00	0.00
01/11/2018 #	KYEES, JOHN	PROSHARES TR ULTRASHORT REAL ESTATE	31.76	0.00	100.0	0.00	0.00	0.00	0.00
01/11/2018 #	SUPRIWALA, ALI	PROSHARES TRUST II PROSHARES ULTRA	78.21	0.00	100.0	0.00	0.00	0.00	0.00
01/11/2018 #	VILLARD, MATTHEW	PROSHARES TRUST II PROSHARES ULTRA	52.14	0.00	100.0	0.00	0.00	0.00	0.00
01/11/2018 #	RUSSO, THOMAS	SPORTS VENUES OF FLORIDA INC	0.04	0.00	100.0	0.00	0.00	0.00	0.00
01/11/2018 #	NICOLAU, NICK	TRANSOCEAN LTD US LISTED	72.78	0.00	100.0	0.00	0.00	0.00	0.00
01/11/2018 #	FILBY, JOHN	VIRNETX HOLDING CORPORATION	60.75	0.00	100.0	0.00	0.00	0.00	0.00
01/11/2018 #	SALLEY, RHETT	XPRESSPA GROUP INC COMMON STOCK	73.09	0.00	100.0	0.00	0.00	0.00	0.00
01/22/2018 #	NCIAL SVCS LLC, LIBERTY	HALLIBURTON CO	30,525.00	0.00	100.0	0.00	0.00	0.00	0.00
01/29/2018 #	NCIAL SVCS LLC, LIBERTY	***OROCOBRE LTD NPV	10,256.43	0.00	100.0	0.00	0.00	0.00	0.00
01/29/2018 #	NCIAL SVCS LLC, LIBERTY	HALLIBURTON CO	30,595.49	0.00	100.0	0.00	0.00	0.00	0.00
01/29/2018 #	NCIAL SVCS LLC, LIBERTY	SOLARWINDOW TECHNOLOGIES INC	7,490.00	0.00	100.0	0.00	0.00	0.00	0.00
* STOCKS SUBTOTAL *			\$101,664.22	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: BONDS</u>									
01/02/2018 #	NCIAL SVCS LLC, LIBERTY	GENWORTH FINL INC SR NT	10,050.00	0.00	100.0	0.00	0.00	0.00	0.00
01/02/2018 #	NCIAL SVCS LLC, LIBERTY	GENWORTH FINL INC SR NT	9,850.00	0.00	100.0	0.00	0.00	0.00	0.00
01/02/2018 #	NCIAL SVCS LLC, LIBERTY	ST LOUIS MO PKG REV PARKING FACILIT	30,679.80	0.00	100.0	0.00	0.00	0.00	0.00
01/02/2018 #	NCIAL SVCS LLC, LIBERTY	ST LOUIS MO PKG REV PARKING FACILIT	30,379.80	0.00	100.0	0.00	0.00	0.00	0.00
01/03/2018 #	NCIAL SVCS LLC, LIBERTY	GENWORTH FINL INC SR NT	4,925.00	0.00	100.0	0.00	0.00	0.00	0.00
01/03/2018 #	NCIAL SVCS LLC, LIBERTY	GENWORTH FINL INC SR NT	5,025.00	0.00	100.0	0.00	0.00	0.00	0.00
01/30/2018 #	NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#T65327	16,452.31	0.00	100.0	0.00	0.00	0.00	0.00
01/30/2018 #	NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#T65327	16,051.03	0.00	100.0	0.00	0.00	0.00	0.00
01/30/2018 #	NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#T65327	21,401.37	0.00	100.0	0.00	0.00	0.00	0.00
01/30/2018 #	NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#T65327	21,936.41	0.00	100.0	0.00	0.00	0.00	0.00
01/30/2018 #	NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#T65327	21,401.37	0.00	100.0	0.00	0.00	0.00	0.00
01/30/2018 #	NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#T65327	21,936.41	0.00	100.0	0.00	0.00	0.00	0.00
01/30/2018 #	NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#T65327	24,076.55	0.00	100.0	0.00	0.00	0.00	0.00
01/30/2018 #	NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#T65327	24,678.46	0.00	100.0	0.00	0.00	0.00	0.00
01/30/2018 #	NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#T65327	41,130.77	0.00	100.0	0.00	0.00	0.00	0.00
01/30/2018 #	NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#T65327	40,127.58	0.00	100.0	0.00	0.00	0.00	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
01/30/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#T65327	48,153.09	0.00	100.0	0.00	0.00	0.00	0.00
01/30/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#T65327	49,356.92	0.00	100.0	0.00	0.00	0.00	0.00
01/30/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#T65327	267,517.18	0.00	100.0	0.00	0.00	0.00	0.00
01/30/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#T65327	274,205.11	0.00	100.0	0.00	0.00	0.00	0.00
* BONDS SUBTOTAL *			\$979,334.16	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
/ /	#P55D2519688 MYERS, STANLEY	AMERICAN GEN TRAILS	0.00	62.97	100.0	0.00	62.97	0.00	62.97
/ /	#P55D3526975 MYERS, STANLEY	AMERICAN GEN TRAILS	0.00	98.95	100.0	0.00	98.95	0.00	98.95
/ /	#307742802 HAKEMAN, PATRICIA	AXA	13,756.27	15.48	100.0	0.00	15.48	0.00	15.48
/ /	#307742804 HAKEMAN	AXA	259,144.57	291.54	100.0	0.00	291.54	0.00	291.54
/ /	#3202055110 STRAIN, JEFFERY	BRIGHTOUSE FINANCIAL	0.00	23.87	100.0	0.00	23.87	0.00	23.87
/ /	#3201182332 BARKER, JAMES	BRIGHTOUSE FINANCIAL	0.00	33.59	100.0	0.00	33.59	0.00	33.59
/ /	#1100813833 FRYE, DENNIS	BRIGHTOUSE FINANCIAL	0.00	402.41	100.0	0.00	402.41	0.00	402.41
/ /	#3201182332 BARKER, JAMES	BRIGHTOUSE FINANCIAL	0.00	33.59	100.0	0.00	33.59	0.00	33.59
/ /	#3201651427 FRYE, DENNIS	BRIGHTOUSE FINANCIAL	0.00	144.31	100.0	0.00	144.31	0.00	144.31
/ /	#3202229009 BANKS, DAVID	BRIGHTOUSE FINANCIAL	0.00	81.04	100.0	0.00	81.04	0.00	81.04
/ /	#3202229017 BANKS, DAVID	BRIGHTOUSE FINANCIAL	0.00	82.90	100.0	0.00	82.90	0.00	82.90
/ /	#70051358 THOMPSON, GEORGE	JOHN HANCOCK LIFE TRAILS	0.00	68.99	100.0	0.00	68.99	0.00	68.99
/ /	#2486143 WILLIAMS, KATRINA	JOHN HANCOCK LIFE TRAILS	0.00	10.66	100.0	0.00	10.66	0.00	10.66
/ /	#2486144 WILLIAMS, KELLY	JOHN HANCOCK LIFE TRAILS	0.00	5.22	100.0	0.00	5.22	0.00	5.22
/ /	#2557053 VILLALON, MITZI	JOHN HANCOCK LIFE TRAILS	0.00	39.82	100.0	0.00	39.82	0.00	39.82
/ /	#2557056 GREEN, JILL	JOHN HANCOCK LIFE TRAILS	0.00	1.94	100.0	0.00	1.94	0.00	1.94
/ /	#2580265 SHARMA, RAHUL	JOHN HANCOCK LIFE TRAILS	0.00	71.97	100.0	0.00	71.97	0.00	71.97
/ /	#2589636 LINDE, RYAN	JOHN HANCOCK LIFE TRAILS	0.00	10.45	100.0	0.00	10.45	0.00	10.45
/ /	#2790498 YUNGMAN, WENDELIN	JOHN HANCOCK LIFE TRAILS	0.00	18.50	100.0	0.00	18.50	0.00	18.50
/ /	#95021964 YUNGMAN, WENDELIN	JOHN HANCOCK LIFE TRAILS	0.00	26.39	100.0	0.00	26.39	0.00	26.39
/ /	#2504052 KOONCE, JANICE	JOHN HANCOCK LIFE TRAILS	0.00	32.30	100.0	0.00	32.30	0.00	32.30
/ /	#2538574 GRALA, MERIA	JOHN HANCOCK LIFE TRAILS	0.00	29.83	100.0	0.00	29.83	0.00	29.83
/ /	#2721796 ASHRAF, IRVING	JOHN HANCOCK LIFE TRAILS	0.00	51.97	100.0	0.00	51.97	0.00	51.97
12/04/0007	#106245LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	88.31	100.0	0.00	88.31	0.00	88.31
08/02/0017	#N5016654	NATIONAL LIFE GROUP	0.00	1.50	100.0	0.00	1.50	0.00	1.50
08/02/0017	#N5017492	NATIONAL LIFE GROUP	0.00	4.04	100.0	0.00	4.04	0.00	4.04
08/02/0017	#N5017604	NATIONAL LIFE GROUP	0.00	1.05	100.0	0.00	1.05	0.00	1.05
08/02/0017	#N5031882	NATIONAL LIFE GROUP	0.00	3.33	100.0	0.00	3.33	0.00	3.33
08/02/0017	#N5017605	NATIONAL LIFE GROUP	0.00	3.75	100.0	0.00	3.75	0.00	3.75

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
08/02/0017	#N5021699	NATIONAL LIFE GROUP	0.00	15.00	100.0	0.00	15.00	0.00	15.00
08/09/0017	#N5016400	NATIONAL LIFE GROUP	0.00	13.50	100.0	0.00	13.50	0.00	13.50
08/09/0017	#N5021699	NATIONAL LIFE GROUP	0.00	15.00	100.0	0.00	15.00	0.00	15.00
09/02/0017	#N5023028	NATIONAL LIFE GROUP	0.00	1.60	100.0	0.00	1.60	0.00	1.60
09/02/0017	#N5021699	NATIONAL LIFE GROUP	0.00	15.00	100.0	0.00	15.00	0.00	15.00
09/06/0017	#N5016654	NATIONAL LIFE GROUP	0.00	1.50	100.0	0.00	1.50	0.00	1.50
09/06/0017	#N5017492	NATIONAL LIFE GROUP	0.00	4.04	100.0	0.00	4.04	0.00	4.04
09/06/0017	#N5017604	NATIONAL LIFE GROUP	0.00	1.05	100.0	0.00	1.05	0.00	1.05
09/06/0017	#N5031882	NATIONAL LIFE GROUP	0.00	3.33	100.0	0.00	3.33	0.00	3.33
09/06/0017	#N5017605	NATIONAL LIFE GROUP	0.00	3.75	100.0	0.00	3.75	0.00	3.75
09/06/0017	#N5021699	NATIONAL LIFE GROUP	0.00	15.00	100.0	0.00	15.00	0.00	15.00
09/09/0017	#N5021699	NATIONAL LIFE GROUP	0.00	15.00	100.0	0.00	15.00	0.00	15.00
10/03/0017	#N5021699	NATIONAL LIFE GROUP	0.00	15.00	100.0	0.00	15.00	0.00	15.00
10/09/0017	#ALL0098263 SPALINGER, DAVID	ALLSTATE AAP3CI	0.00	50.68	100.0	0.00	50.68	0.00	50.68
10/09/0017	#ALL0098264 SPALINGER, DAVID	ALLSTATE AAP3CI	0.00	13.18	100.0	0.00	13.18	0.00	13.18
11/07/0017	#N5023028	NATIONAL LIFE GROUP	0.00	1.60	100.0	0.00	1.60	0.00	1.60
11/08/0017	#ALL0098263 SPALINGER, DAVID	ALLSTATE AAP3CI	0.00	51.43	100.0	0.00	51.43	0.00	51.43
11/08/0017	#ALL0098264 SPALINGER, DAVID	ALLSTATE AAP3CI	0.00	13.36	100.0	0.00	13.36	0.00	13.36
12/04/0017	#068705771 BARNES, JAMES	SECURITY BEENFIT SBGTL	0.00	0.67	100.0	0.00	0.67	0.00	0.67
12/04/0017	#085585407 ARROYO, YOLANDA	SECURITY BEENFIT SBGTL	0.00	0.30	100.0	0.00	0.30	0.00	0.30
12/04/0017	#106726917 MERCADO, WENDY	SECURITY BEENFIT SBGTL	0.00	2.46	100.0	0.00	2.46	0.00	2.46
12/04/0017	#062661009 MILANA, MAURIZIO	SECURITY BEENFIT SBGTL	0.00	0.51	100.0	0.00	0.51	0.00	0.51
12/07/0017	#127893E2K VAIANELLA	TRANS LIFE FE2DR1	0.00	0.32	100.0	0.00	0.32	0.00	0.32
12/07/0017	#123973LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	24.79	100.0	0.00	24.79	0.00	24.79
12/07/0017	#123974LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	57.59	100.0	0.00	57.59	0.00	57.59
12/07/0017	#124709LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	81.27	100.0	0.00	81.27	0.00	81.27
12/08/0017	#ALL0098263 SPALINGER, DAVID	ALLSTATE AAP3CI	0.00	51.83	100.0	0.00	51.83	0.00	51.83
12/08/0017	#ALL0098264 SPALINGER, DAVID	ALLSTATE AAP3CI	0.00	13.47	100.0	0.00	13.47	0.00	13.47
12/08/0017	#127462E2K VAIANELLA	TRANS LIFE FE2DR1	0.00	9.63	100.0	0.00	9.63	0.00	9.63
01/01/0018	#500002AEO OILFIELD, TK	TRANSAMERICA FIN LIFE	0.00	0.04	100.0	0.00	0.04	0.00	0.04
01/01/0018	#500002BBB VISTA, ,OUNTAIN	TRANSAMERICA FIN LIFE	0.00	18.61	100.0	0.00	18.61	0.00	18.61
01/01/0018	#500002BCO GONZA, AMPARO	TRANSAMERICA FIN LIFE	0.00	0.07	100.0	0.00	0.07	0.00	0.07
01/01/0018	#500002BKK HECKMA, DEVON	TRANSAMERICA FIN LIFE	0.00	1.93	100.0	0.00	1.93	0.00	1.93
01/01/0018	#500002BLY LA, MICHAEL	TRANSAMERICA FIN LIFE	0.00	0.13	100.0	0.00	0.13	0.00	0.13
01/01/0018	#500002BMD ASAP TOWING	TRANSAMERICA FIN LIFE	0.00	7.09	100.0	0.00	7.09	0.00	7.09
01/01/0018	#500002T37 RI, GABRIEL	TRANSAMERICA FIN LIFE	0.00	10.51	100.0	0.00	10.51	0.00	10.51

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/01/0018	#500002T83 ACE APPRAOSAL	TRANSAMERICA FIN LIFE	0.00	40.49	100.0	0.00	40.49	0.00	40.49
01/02/0018	#DAV18326 KOESTER	ALLIANZ VA	0.00	17.50	100.0	0.00	17.50	0.00	17.50
01/02/0018	#VR01011472 TRUDELL, CAROLYN	PACIFIC LIFE TRAILS	0.00	22.00	100.0	0.00	22.00	0.00	22.00
01/03/0018	#15N6042523 BRADLEY, LINDA	TRANSAMERICA PRE	0.00	608.38	100.0	0.00	608.38	0.00	608.38
01/04/0018	#15N6033650 SAWYER, JOHN	TRANSAMERICA PRE	0.00	322.99	100.0	0.00	322.99	0.00	322.99
01/04/0018	#15N6043293 DELUCA, BRENDA	TRANSAMERICA PRE	0.00	98.16	100.0	0.00	98.16	0.00	98.16
01/05/0018	#GAPC7AL2B INSTI,	NATIONWIDE 620	0.00	247.10	100.0	0.00	247.10	0.00	247.10
01/06/0018	#VP60469140 BOREN, GARY	PACIFIC LIFE EXE.	0.00	0.50	100.0	0.00	0.50	0.00	0.50
01/07/0018	#VP65344130 FIEST, MICHAEL	PACIFIC LIFE EXE.	0.00	3.00	100.0	0.00	3.00	0.00	3.00
01/07/0018	#15N6033648 BOWSER, PATRICIA	TRANSAMERICA PRE	0.00	46.77	100.0	0.00	46.77	0.00	46.77
02/17/2017	#KA12986249 SCHIRALDI, FRANK	DELAWARE LIFE KEY	0.00	413.19	100.0	0.00	413.19	0.00	413.19
02/17/2017	#KA12986249 SCHIRALDI, FRANK	DELAWARE LIFE KEY	0.00	-413.19	100.0	0.00	-413.19	0.00	-413.19
09/06/2017	#N5021699	NATIONAL LIFE GROUP	0.00	15.00	100.0	0.00	15.00	0.00	15.00
10/11/2017	#N5023028	NATIONAL LIFE GROUP	0.00	1.60	100.0	0.00	1.60	0.00	1.60
10/11/2017	#N5008450	NATIONAL LIFE GROUP	0.00	0.71	100.0	0.00	0.71	0.00	0.71
10/11/2017	#N5021699	NATIONAL LIFE GROUP	0.00	2.68	100.0	0.00	2.68	0.00	2.68
10/11/2017	#N5021699	NATIONAL LIFE GROUP	0.00	15.00	100.0	0.00	15.00	0.00	15.00
10/17/2017	#N5021699	NATIONAL LIFE GROUP	0.00	15.00	100.0	0.00	15.00	0.00	15.00
10/24/2017	#N5016654	NATIONAL LIFE GROUP	0.00	1.50	100.0	0.00	1.50	0.00	1.50
10/24/2017	#N5017492	NATIONAL LIFE GROUP	0.00	4.04	100.0	0.00	4.04	0.00	4.04
10/24/2017	#N5017604	NATIONAL LIFE GROUP	0.00	1.05	100.0	0.00	1.05	0.00	1.05
10/24/2017	#N5031882	NATIONAL LIFE GROUP	0.00	3.33	100.0	0.00	3.33	0.00	3.33
10/24/2017	#N5017605	NATIONAL LIFE GROUP	0.00	3.75	100.0	0.00	3.75	0.00	3.75
10/24/2017	#N5021699	NATIONAL LIFE GROUP	0.00	15.00	100.0	0.00	15.00	0.00	15.00
11/21/2017	#N5016400	NATIONAL LIFE GROUP	0.00	13.50	100.0	0.00	13.50	0.00	13.50
11/21/2017	#N5016654	NATIONAL LIFE GROUP	0.00	1.50	100.0	0.00	1.50	0.00	1.50
11/21/2017	#N5017492	NATIONAL LIFE GROUP	0.00	4.04	100.0	0.00	4.04	0.00	4.04
11/21/2017	#N5017604	NATIONAL LIFE GROUP	0.00	0.42	100.0	0.00	0.42	0.00	0.42
11/21/2017	#N5031882	NATIONAL LIFE GROUP	0.00	3.33	100.0	0.00	3.33	0.00	3.33
11/21/2017	#N5017604	NATIONAL LIFE GROUP	0.00	0.63	100.0	0.00	0.63	0.00	0.63
11/21/2017	#N5017605	NATIONAL LIFE GROUP	0.00	3.75	100.0	0.00	3.75	0.00	3.75
11/21/2017	#N5021699	NATIONAL LIFE GROUP	0.00	15.00	100.0	0.00	15.00	0.00	15.00
11/21/2017	#N5021699	NATIONAL LIFE GROUP	0.00	15.00	100.0	0.00	15.00	0.00	15.00
11/29/2017	#15N6004375	TRANSAMERICA PRE	0.00	37.83	100.0	0.00	37.83	0.00	37.83
12/12/2017	#N5023028	NATIONAL LIFE GROUP	0.00	1.60	100.0	0.00	1.60	0.00	1.60
12/12/2017	#N5021699	NATIONAL LIFE GROUP	0.00	15.00	100.0	0.00	15.00	0.00	15.00

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/12/2017	#051722201 MCNAMEE, BRIGID	SECURITY BEENFIT SBGTL	0.00	2.00	100.0	0.00	2.00	0.00	2.00
12/12/2017	#077700242 RIZZO	SECURITY BEENFIT SBGTL	0.00	0.75	100.0	0.00	0.75	0.00	0.75
12/12/2017	#134807134 MILANA, MAURIZIO	SECURITY BEENFIT SBGTL	0.00	1.00	100.0	0.00	1.00	0.00	1.00
12/13/2017	#I1830840W LAWRENCE, LANA	VOYA FINANCIAL	0.00	48.00	100.0	0.00	48.00	0.00	48.00
12/13/2017	#I1831310W LAWRENCE, JOHNNY	VOYA FINANCIAL	0.00	69.35	100.0	0.00	69.35	0.00	69.35
12/14/2017	#124409LB8 VAIANELLA	TRANSAMERICA FLDP08	0.00	331.87	100.0	0.00	331.87	0.00	331.87
12/15/2017	#VP60469140 BOREN, GARY	PACIFIC LIFE EXE	0.00	0.50	100.0	0.00	0.50	0.00	0.50
12/15/2017	#VP60469140 BOREN, GARY	PACIFIC LIFE EXE.	0.00	0.50	100.0	0.00	0.50	0.00	0.50
12/15/2017	#151587LK8 VAIANELLA	TRANSA FIN LIFE FL27SP	0.00	95.58	100.0	0.00	95.58	0.00	95.58
12/16/2017	#110089LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	116.19	100.0	0.00	116.19	0.00	116.19
12/18/2017	#VP65344130 FIEST, MICHAEL	PACIFIC LIFE EXE.	0.00	3.00	100.0	0.00	3.00	0.00	3.00
12/18/2017	#068705771 BARNES, JAMES	SECURITY BEENFIT SBGTL	0.00	0.67	100.0	0.00	0.67	0.00	0.67
12/18/2017	#085585407 ARROYO, YOLANDA	SECURITY BEENFIT SBGTL	0.00	0.30	100.0	0.00	0.30	0.00	0.30
12/18/2017	#106726917 MERCADO, WENDY	SECURITY BEENFIT SBGTL	0.00	2.46	100.0	0.00	2.46	0.00	2.46
12/18/2017	#062661009 MILANA, MAURIZIO	SECURITY BEENFIT SBGTL	0.00	0.51	100.0	0.00	0.51	0.00	0.51
12/19/2017	#N5017604	NATIONAL LIFE GROUP	0.00	1.05	100.0	0.00	1.05	0.00	1.05
12/19/2017	#N5017605	NATIONAL LIFE GROUP	0.00	3.75	100.0	0.00	3.75	0.00	3.75
12/21/2017	#120696LK2 VAIANELLA	TRANS LIFE FE22PA	0.00	24.18	100.0	0.00	24.18	0.00	24.18
12/23/2017	#101748ML2 VAIANELLA	FM2MAS	0.00	51.28	100.0	0.00	51.28	0.00	51.28
12/24/2017	#132926LB8 VAIANELLA	TRANSAMERICA FLDP08	0.00	71.13	100.0	0.00	71.13	0.00	71.13
12/25/2017	#127463LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	7.42	100.0	0.00	7.42	0.00	7.42
12/25/2017	#123639LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	24.48	100.0	0.00	24.48	0.00	24.48
12/25/2017	#123640LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	22.54	100.0	0.00	22.54	0.00	22.54
12/25/2017	#123641LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	23.94	100.0	0.00	23.94	0.00	23.94
12/27/2017	#P55D3508905 SNAPP, JERRY	AMERICAN GEN TRAILS	0.00	65.37	100.0	0.00	65.37	0.00	65.37
12/27/2017	#P55D3508907 SNAPP, JERRY	AMERICAN GEN TRAILS	0.00	28.05	100.0	0.00	28.05	0.00	28.05
12/27/2017	#N5016654	NATIONAL LIFE GROUP	0.00	1.50	100.0	0.00	1.50	0.00	1.50
12/27/2017	#N5017492	NATIONAL LIFE GROUP	0.00	1.91	100.0	0.00	1.91	0.00	1.91
12/27/2017	#N5031882	NATIONAL LIFE GROUP	0.00	3.33	100.0	0.00	3.33	0.00	3.33
12/27/2017	#N5017492	NATIONAL LIFE GROUP	0.00	2.13	100.0	0.00	2.13	0.00	2.13
12/27/2017	#051722201 MCNAMEE, BRIGID	SECURITY BEENFIT SBGTL	0.00	2.00	100.0	0.00	2.00	0.00	2.00
12/27/2017	#077700242 RIZZO	SECURITY BEENFIT SBGTL	0.00	0.75	100.0	0.00	0.75	0.00	0.75
12/27/2017	#134807134 MILANA, MAURIZIO	SECURITY BEENFIT SBGTL	0.00	1.00	100.0	0.00	1.00	0.00	1.00
12/27/2017	#40005742LK2 VAIANELLA	TRANS LIFE FE22MC	0.00	59.94	100.0	0.00	59.94	0.00	59.94
12/27/2017	#15N6041714	TRANSAMERICA PRE	0.00	73.90	100.0	0.00	73.90	0.00	73.90
12/28/2017	#KA12982643 DIETZ, GERTRUDE	DELAWARE LIFE KEY	0.00	441.61	100.0	0.00	441.61	0.00	441.61

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/28/2017	#507707700444397 MORGAN,	DELAWARE LIFE RGTGD12	0.00	27.52	100.0	0.00	27.52	0.00	27.52
12/28/2017	#085646439 BARNES, JAMES	SECURITY BEENFIT SBGTL	0.00	5.43	100.0	0.00	5.43	0.00	5.43
12/28/2017	#099429268 BARNES, JAMES	SECURITY BEENFIT SBGTL	0.00	14.01	100.0	0.00	14.01	0.00	14.01
12/28/2017	#068705771 BARNES, JAMES	SECURITY BEENFIT SBGTL	0.00	1.32	100.0	0.00	1.32	0.00	1.32
12/28/2017	#085585407 ARROYO, YOLANDA	SECURITY BEENFIT SBGTL	0.00	0.60	100.0	0.00	0.60	0.00	0.60
12/28/2017	#106726917 MERCADO, WENDY	SECURITY BEENFIT SBGTL	0.00	18.38	100.0	0.00	18.38	0.00	18.38
12/28/2017	#051722201 MCNAMEE, BRIGID	SECURITY BEENFIT SBGTL	0.00	17.77	100.0	0.00	17.77	0.00	17.77
12/28/2017	#077700242 RIZZO	SECURITY BEENFIT SBGTL	0.00	1.82	100.0	0.00	1.82	0.00	1.82
12/28/2017	#134807134 MILANA, MAURIZIO	SECURITY BEENFIT SBGTL	0.00	2.53	100.0	0.00	2.53	0.00	2.53
12/28/2017	#096622808 MILANA, MAURIZIO	SECURITY BEENFIT SBGTL	0.00	0.92	100.0	0.00	0.92	0.00	0.92
12/28/2017	#062661009 MILANA, MAURIZIO	SECURITY BEENFIT SBGTL	0.00	0.72	100.0	0.00	0.72	0.00	0.72
12/28/2017	#069663772 MILANA, MAURIZIO	SECURITY BEENFIT SBGTL	0.00	0.98	100.0	0.00	0.98	0.00	0.98
12/28/2017	#133661824 FL, UTMA	SECURITY BEENFIT SBGTL	0.00	0.12	100.0	0.00	0.12	0.00	0.12
12/28/2017	#085646439 BARNES, JAMES	SECURITY BEENFIT SBGTL	0.00	32.66	100.0	0.00	32.66	0.00	32.66
12/28/2017	#099429268 BARNES, JAMES	SECURITY BEENFIT SBGTL	0.00	83.73	100.0	0.00	83.73	0.00	83.73
12/28/2017	#068705771 BARNES, JAMES	SECURITY BEENFIT SBGTL	0.00	8.00	100.0	0.00	8.00	0.00	8.00
12/28/2017	#085585407 ARROYO, YOLANDA	SECURITY BEENFIT SBGTL	0.00	3.51	100.0	0.00	3.51	0.00	3.51
12/28/2017	#106726917 MERCADO, WENDY	SECURITY BEENFIT SBGTL	0.00	110.04	100.0	0.00	110.04	0.00	110.04
12/28/2017	#051722201 MCNAMEE, BRIGID	SECURITY BEENFIT SBGTL	0.00	106.46	100.0	0.00	106.46	0.00	106.46
12/28/2017	#077700242 RIZZO	SECURITY BEENFIT SBGTL	0.00	10.97	100.0	0.00	10.97	0.00	10.97
12/28/2017	#134807134 MILANA, MAURIZIO	SECURITY BEENFIT SBGTL	0.00	15.05	100.0	0.00	15.05	0.00	15.05
12/28/2017	#102702501 KELLY, ALISON	SECURITY BEENFIT SBGTL	0.00	1.00	100.0	0.00	1.00	0.00	1.00
12/28/2017	#069663772 MILANA, MAURIZIO	SECURITY BEENFIT SBGTL	0.00	5.76	100.0	0.00	5.76	0.00	5.76
12/28/2017	#40007096LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	19.42	100.0	0.00	19.42	0.00	19.42
12/29/2017	#DAV18326 KOESTER	ALLIANZ VA	0.00	149.44	100.0	0.00	149.44	0.00	149.44
12/29/2017	#DA907050 BARKATE	ALLIANZ VA	0.00	18.37	100.0	0.00	18.37	0.00	18.37
12/29/2017	#DA259193 BARKATE	ALLIANZ VA	0.00	57.25	100.0	0.00	57.25	0.00	57.25
12/29/2017	#DA258278 BARKATE	ALLIANZ VA	0.00	12.65	100.0	0.00	12.65	0.00	12.65
12/29/2017	#DA258280 BARKATE	ALLIANZ VA	0.00	30.51	100.0	0.00	30.51	0.00	30.51
12/29/2017	#DA930054 BRADY, MARGARET	ALLIANZ VA	0.00	124.92	100.0	0.00	124.92	0.00	124.92
12/29/2017	#DAV83638 WELCH SR, JACK	ALLIANZ VA	0.00	109.62	100.0	0.00	109.62	0.00	109.62
12/29/2017	#DAV83746 WELCH, BRENDA	ALLIANZ VA	0.00	50.84	100.0	0.00	50.84	0.00	50.84
12/29/2017	#DAV78308 PETERSON, JOE	ALLIANZ VA	0.00	669.38	100.0	0.00	669.38	0.00	669.38
12/29/2017	#DAV81975 SNYDER, SUSAN	ALLIANZ VA	0.00	761.80	100.0	0.00	761.80	0.00	761.80
12/29/2017	#DA929960 BRADY, MARGARET	ALLIANZ VA	0.00	221.59	100.0	0.00	221.59	0.00	221.59
12/29/2017	#C1750060X MARTIN, ESTELL	VOYA FINANCIAL	0.00	74.20	100.0	0.00	74.20	0.00	74.20

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/31/2017	#1017364452 VESCUSO	ELITE ACCESS	8,623.25	7.19	100.0	0.00	7.19	0.00	7.19
12/31/2017	#1017364320 VESCUSO	ELITE ACCESS	9,113.10	7.59	100.0	0.00	7.59	0.00	7.59
12/31/2017	#959376605 MAYDEN, CHARLOTTE	LINCOLN	0.00	64.92	100.0	0.00	64.92	0.00	64.92
12/31/2017	#924056105 PHILLIPS, HELEN	LINCOLN	0.00	258.52	100.0	0.00	258.52	0.00	258.52
12/31/2017	#GAPC7AL2B INSTI,	NATIONWIDE 620	0.00	274.52	100.0	0.00	274.52	0.00	274.52
12/31/2017	#E1080714 CHADWICK, DIANE	PRUDETIAL TRAILS	0.00	20.43	100.0	0.00	20.43	0.00	20.43
12/31/2017	#E1229810 ARTHUR, ROBERT	PRUDETIAL TRAILS	0.00	8.54	100.0	0.00	8.54	0.00	8.54
12/31/2017	#E1226791 ARTHUR, ROBERT	PRUDETIAL TRAILS	0.00	8.81	100.0	0.00	8.81	0.00	8.81
12/31/2017	#E0840605 SCHNEIDER,	PRUDETIAL TRAILS	0.00	60.38	100.0	0.00	60.38	0.00	60.38
12/31/2017	#E0840605 SCHNEIDER,	PRUDETIAL TRAILS	0.00	20.13	100.0	0.00	20.13	0.00	20.13
12/31/2017	#E0648375 SCHNEIDER,	PRUDETIAL TRAILS	0.00	9.66	100.0	0.00	9.66	0.00	9.66
12/31/2017	#E0648375 SCHNEIDER,	PRUDETIAL TRAILS	0.00	3.22	100.0	0.00	3.22	0.00	3.22
12/31/2017	#000611048 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	46.49	100.0	0.00	46.49	0.00	46.49
12/31/2017	#000611048 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	15.50	100.0	0.00	15.50	0.00	15.50
12/31/2017	#E0892527 BAIRD, SCOTT	PRUDETIAL TRAILS	0.00	0.02	100.0	0.00	0.02	0.00	0.02
12/31/2017	#000611043 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	42.07	100.0	0.00	42.07	0.00	42.07
12/31/2017	#000611043 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	14.02	100.0	0.00	14.02	0.00	14.02
12/31/2017	#E0892527 BAIRD, SCOTT	PRUDETIAL TRAILS	0.00	0.02	100.0	0.00	0.02	0.00	0.02
12/31/2017	#E0810598 POWELL, MARC	PRUDETIAL TRAILS	0.00	11.91	100.0	0.00	11.91	0.00	11.91
12/31/2017	#E0847719 CARLSON, KENNETH	PRUDETIAL TRAILS	0.00	30.43	100.0	0.00	30.43	0.00	30.43
12/31/2017	#E0810598 POWELL, MARC	PRUDETIAL TRAILS	0.00	2.98	100.0	0.00	2.98	0.00	2.98
12/31/2017	#E0791963 POWELL, MARC	PRUDETIAL TRAILS	0.00	8.41	100.0	0.00	8.41	0.00	8.41
12/31/2017	#E0791963 POWELL, MARC	PRUDETIAL TRAILS	0.00	2.10	100.0	0.00	2.10	0.00	2.10
12/31/2017	#E0847719 CARLSON, KENNETH	PRUDETIAL TRAILS	0.00	7.61	100.0	0.00	7.61	0.00	7.61
12/31/2017	#E0746868 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	6.09	100.0	0.00	6.09	0.00	6.09
12/31/2017	#E0454848 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	33.33	100.0	0.00	33.33	0.00	33.33
12/31/2017	#E0454848 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	8.33	100.0	0.00	8.33	0.00	8.33
12/31/2017	#E0746868 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	18.27	100.0	0.00	18.27	0.00	18.27
12/31/2017	#E0557781 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	81.74	100.0	0.00	81.74	0.00	81.74
12/31/2017	#E0557781 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	20.44	100.0	0.00	20.44	0.00	20.44
12/31/2017	#009420996A LYON, LEIGH	RTMT LAT	171,508.69	71.46	100.0	0.00	71.46	0.00	71.46
12/31/2017	#009421048A RYAN, THOMAS	RTMT LAT	21,813.02	9.09	100.0	0.00	9.09	0.00	9.09
01/03/2018	#15N6043020 REED, WILDMA	TRANSAMERICA PRE	0.00	65.51	100.0	0.00	65.51	0.00	65.51
01/03/2018	#15N6043020 REED, WILDMA	TRANSAMERICA PRE	0.00	65.51	100.0	0.00	65.51	0.00	65.51
01/03/2018	#15N6043020 REED, WILDMA	TRANSAMERICA PRE	0.00	-65.51	100.0	0.00	-65.51	0.00	-65.51
01/03/2018	#C0362360Y KERR, GENE	VOYA FINANCIAL	0.00	63.71	100.0	0.00	63.71	0.00	63.71

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/05/2018	#C1740890X KENNEDY, RICHARD	VOYA FINANCIAL	0.00	88.15	100.0	0.00	88.15	0.00	88.15
01/06/2018	#VM15002829	PACIFIC LIFE TRAILS	0.00	153.22	100.0	0.00	153.22	0.00	153.22
01/07/2018	#VR03046675 JONES, KENNETH	PACIFIC LIFE TRAILS	0.00	218.85	100.0	0.00	218.85	0.00	218.85
01/09/2018	#VR07068305 POOR, DEBORAH	PACIFIC LIFE TRAILS	0.00	34.92	100.0	0.00	34.92	0.00	34.92
01/31/2018	#1017364452 VESCUSO	ELITE ACCESS	7,044.06	5.87	100.0	0.00	5.87	0.00	5.87
01/31/2018	#1017364320 VESCUSO	ELITE ACCESS	9,443.08	7.87	100.0	0.00	7.87	0.00	7.87
01/31/2018	#009420996A LYON, LEIGH	RTMT LAT	171,939.80	71.64	100.0	0.00	71.64	0.00	71.64
01/31/2018	#009421048A RYAN, THOMAS	RTMT LAT	22,489.28	9.37	100.0	0.00	9.37	0.00	9.37
12/18/2018	#VP65344130 FIEST, MICHAEL	PACIFIC LIFE EXE	0.00	3.00	100.0	0.00	3.00	0.00	3.00
* VARIABLE ANNUITIES SUBTOTAL *			\$694,875.12	\$10,117.62		\$0.00	\$10,117.62	\$0.00	\$10,117.62
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
01/04/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVID INCOME PORTFO	59,726.91	0.00	100.0	0.00	0.00	19.95	-19.95
01/04/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVID INCOME PORTFO	59,726.91	0.00	100.0	0.00	0.00	19.95	-19.95
01/04/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST ELECTION SER 5 PORT	235,009.19	0.00	100.0	0.00	0.00	19.95	-19.95
01/04/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST ELECTION SER 5 PORT	230,308.23	0.00	100.0	0.00	0.00	19.95	-19.95
01/04/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST HIGH DIVID SER 33 E	52,239.51	0.00	100.0	0.00	0.00	19.95	-19.95
01/04/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST HIGH DIVID SER 33 E	52,239.51	0.00	100.0	0.00	0.00	19.95	-19.95
01/04/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST TARGET HIGH SER 16	233,077.70	0.00	100.0	0.00	0.00	19.95	-19.95
01/04/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST TARGET HIGH SER 16	233,077.70	0.00	100.0	0.00	0.00	19.95	-19.95
01/04/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST UNIT 6350 S&P SER 2	227,644.53	0.00	100.0	0.00	0.00	19.95	-19.95
01/04/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST UNIT 6350 S&P SER 2	227,644.53	0.00	100.0	0.00	0.00	19.95	-19.95
01/04/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT DIVID STRENGTH PORTFOLI SER	235,007.16	0.00	100.0	0.00	0.00	19.95	-19.95
01/04/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT DIVID STRENGTH PORTFOLI SER	230,306.50	0.00	100.0	0.00	0.00	19.95	-19.95
01/24/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT S&P TARGET 24 1Q 18	5,003.95	0.00	100.0	0.00	0.00	19.95	-19.95
01/24/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT S&P TARGET 24 1Q 18	4,941.39	0.00	100.0	0.00	0.00	19.95	-19.95
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$2,085,953.72	\$0.00		\$0.00	\$0.00	\$279.30	\$-279.30
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/26/2017	#VR02013420 ADAIR, DAVID	PL-3	0.00	8.42	100.0	0.00	8.42	0.00	8.42
12/27/2017	#VR01011472 TRUDELL, CAROLYN	PL.1	0.00	22.00	100.0	0.00	22.00	0.00	22.00
12/31/2017	#05502675233 VARIOUS HOUSE	ACTIVE ALLOCATION CLASS A	0.00	0.03	100.0	0.00	0.03	0.00	0.03
12/31/2017	#05502293786 VARIOUS HOUSE	ACTIVE ALLOCATION CLASS A	0.00	3.32	100.0	0.00	3.32	0.00	3.32
12/31/2017	#05502345849 VARIOUS HOUSE	ACTIVE ALLOCATION CLASS A	0.00	25.61	100.0	0.00	25.61	0.00	25.61
12/31/2017	#05502357773 VARIOUS HOUSE	ACTIVE ALLOCATION CLASS A	0.00	21.74	100.0	0.00	21.74	0.00	21.74
12/31/2017	#07603485922 VARIOUS HOUSE	CASH RESERVES A	0.00	0.00	100.0	0.00	0.00	0.00	0.00

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017	#07603894451	VARIOUS HOUSE	CASH RESERVES A	0.00	0.00	100.0	0.00	0.00	0.00
12/31/2017	#07621365430		CASH RESERVES C	0.00	0.00	100.0	0.00	0.00	0.00
12/31/2017	#07621045902	VARIOUS HOUSE	CASH RESERVES C	0.00	0.00	100.0	0.00	0.00	0.00
12/31/2017	#02850995709	VARIOUS HOUSE	CORE BOND FUND A	0.00	0.90	100.0	0.00	0.90	0.90
12/31/2017	#02851910579		CORE BOND FUND A	0.00	2.33	100.0	0.00	2.33	2.33
12/31/2017	#02852078186	VARIOUS HOUSE	CORE BOND FUND A	0.00	0.75	100.0	0.00	0.75	0.75
12/31/2017	#05020414194	VARIOUS HOUSE	DISCOVERY FUND C	0.00	16.15	100.0	0.00	16.15	16.15
12/31/2017	#05020480458	KORETZ, S	DISCOVERY FUND C	0.00	40.29	100.0	0.00	40.29	40.29
12/31/2017	#08370689337	VARIOUS HOUSE	EQUITY INCOME FUND, INC. C	0.00	23.20	100.0	0.00	23.20	23.20
12/31/2017	#05550738815	VARIOUS HOUSE	EQUITY INVESTORS FUND	0.00	3.01	100.0	0.00	3.01	3.01
12/31/2017	#02373961540	999HOUSE	FUNDAMENTAL ALTERNATIVES FUND B	0.00	1.83	100.0	0.00	1.83	1.83
12/31/2017	#02373973332	999HOUSE	FUNDAMENTAL ALTERNATIVES FUND B	0.00	0.27	100.0	0.00	0.27	0.27
12/31/2017	#02373973343	999HOUSE	FUNDAMENTAL ALTERNATIVES FUND B	0.00	1.43	100.0	0.00	1.43	1.43
12/31/2017	#02574109413	VARIOUS HOUSE	GLOBAL ALLOCATION FUND A	0.00	1.91	100.0	0.00	1.91	1.91
12/31/2017	#02570974985	DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	0.00	0.72	100.0	0.00	0.72	0.72
12/31/2017	#02572169717	999HOUSE	GLOBAL ALLOCATION FUND A	0.00	1.24	100.0	0.00	1.24	1.24
12/31/2017	#02574720870	VARIOUS HOUSE	GLOBAL ALLOCATION FUND A	0.00	11.23	100.0	0.00	11.23	11.23
12/31/2017	#02577706743	VARIOUS HOUSE	GLOBAL ALLOCATION FUND A	0.00	4.14	100.0	0.00	4.14	4.14
12/31/2017	#03315345032		GLOBAL FUND B	0.00	0.02	100.0	0.00	0.02	0.02
12/31/2017	#03322595890	VARIOUS HOUSE	GLOBAL FUND C	0.00	15.34	100.0	0.00	15.34	15.34
12/31/2017	#02322581040		GLOBAL STRATEGIC INCOME FUND C	0.00	27.38	100.0	0.00	27.38	27.38
12/31/2017	#01960486882	999HOUSE	INTERNATIONAL DIVERSIFIED FUND B	0.00	2.53	100.0	0.00	2.53	2.53
12/31/2017	#08270310475	BELCASTRO,	INTERNATIONAL GROWTH FUND C	0.00	36.52	100.0	0.00	36.52	36.52
12/31/2017	#08270873631	BELCASTRO,	INTERNATIONAL GROWTH FUND C	0.00	3.26	100.0	0.00	3.26	3.26
12/31/2017	#08551225257	VARIOUS HOUSE	LIMITED-TERM GOVERNMENT FUND A	0.00	0.49	100.0	0.00	0.49	0.49
12/31/2017	#07023037287	BELCASTRO,	MAIN STREET FUND C	0.00	41.58	100.0	0.00	41.58	41.58
12/31/2017	#07023495030	VARIOUS HOUSE	MAIN STREET FUND C	0.00	3.57	100.0	0.00	3.57	3.57
12/31/2017	#07024319018	BELCASTRO,	MAIN STREET FUND C	0.00	3.53	100.0	0.00	3.53	3.53
12/31/2017	#07024242711	KORETZ, S	MAIN STREET FUND C	0.00	41.14	100.0	0.00	41.14	41.14
12/31/2017	#07024265492	LUVERA, V	MAIN STREET FUND C	0.00	15.74	100.0	0.00	15.74	15.74
12/31/2017	#08481464287		MAIN STREET SMALL- & MID-CAP FUND B	0.00	1.69	100.0	0.00	1.69	1.69
12/31/2017	#08481192565	VARIOUS HOUSE	MAIN STREET SMALL- & MID-CAP FUND B	0.00	3.30	100.0	0.00	3.30	3.30
12/31/2017	#08481482679	VARIOUS HOUSE	MAIN STREET SMALL- & MID-CAP FUND B	0.00	3.30	100.0	0.00	3.30	3.30
12/31/2017	#05470420772	ALONGE, A	OPPEN FUNDS	0.00	74.86	100.0	0.00	74.86	74.86
12/31/2017	#05470420794	MASTER, S	OPPEN FUNDS	0.00	58.96	100.0	0.00	58.96	58.96
12/31/2017	#03210187397	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	3.99	100.0	0.00	3.99	3.99

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017	#03211283646	LAMAR, K	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	6.80	100.0	0.00	6.80	6.80
12/31/2017	#03202920181	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	0.99	100.0	0.00	0.99	0.99
12/31/2017	#03203908729	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	1.61	100.0	0.00	1.61	1.61
12/31/2017	#03207695347	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	54.98	100.0	0.00	54.98	54.98
12/31/2017	#03208617796	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	2.57	100.0	0.00	2.57	2.57
12/31/2017	#03211300982	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	0.08	100.0	0.00	0.08	0.08
12/31/2017	#03008351684	VARIOUS HOUSE	OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	39.37	100.0	0.00	39.37	39.37
12/31/2017	#03008730909	VARIOUS HOUSE	OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	0.40	100.0	0.00	0.40	0.40
12/31/2017	#03020569580	VARIOUS HOUSE	OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	22.40	100.0	0.00	22.40	22.40
12/31/2017	#03001893529	VARIOUS HOUSE	OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	0.98	100.0	0.00	0.98	0.98
12/31/2017	#03009123334	VARIOUS HOUSE	OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	6.99	100.0	0.00	6.99	6.99
12/31/2017	#03020519211	VARIOUS HOUSE	OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	104.43	100.0	0.00	104.43	104.43
12/31/2017	#07871831929	VARIOUS HOUSE	OPPENHEIMER DEVELOPING MARKETS FUND	0.00	10.28	100.0	0.00	10.28	10.28
12/31/2017	#07859653215	VARIOUS HOUSE	OPPENHEIMER DEVELOPING MARKETS FUND	0.00	4.53	100.0	0.00	4.53	4.53
12/31/2017	#07453279639	VARIOUS HOUSE	OPPENHEIMER DISCOVERY FUND CL A	0.00	11.51	100.0	0.00	11.51	11.51
12/31/2017	#02050184063	999HOUSE	OPPENHEIMER EQUITY INCOME FUND INC-	0.00	2.15	100.0	0.00	2.15	2.15
12/31/2017	#08350850883	VARIOUS HOUSE	OPPENHEIMER EQUITY INCOME FUND INC-	0.00	10.37	100.0	0.00	10.37	10.37
12/31/2017	#02380716551	BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	0.00	24.30	100.0	0.00	24.30	24.30
12/31/2017	#02381168002	BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	0.00	2.84	100.0	0.00	2.84	2.84
12/31/2017	#05420218691	VARIOUS HOUSE	OPPENHEIMER FUNDS	0.00	284.32	100.0	0.00	284.32	284.32
12/31/2017	#05420223784	MARIAN, S	OPPENHEIMER FUNDS	0.00	31.44	100.0	0.00	31.44	31.44
12/31/2017	#03306023481	VARIOUS HOUSE	OPPENHEIMER GLOBAL FUND CL A	0.00	2.33	100.0	0.00	2.33	2.33
12/31/2017	#03307227277	LAMAR, K	OPPENHEIMER GLOBAL FUND CL A	0.00	2.27	100.0	0.00	2.27	2.27
12/31/2017	#02155172178	999HOUSE	OPPENHEIMER GLOBAL OPPORTUNITIES FD	0.00	4.14	100.0	0.00	4.14	4.14
12/31/2017	#02171603063	999HOUSE	OPPENHEIMER GLOBAL OPPORTUNITIES FD	0.00	19.01	100.0	0.00	19.01	19.01
12/31/2017	#01903455793	VARIOUS HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.31	100.0	0.00	1.31	1.31
12/31/2017	#02302408376	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	0.83	100.0	0.00	0.83	0.83
12/31/2017	#02305532970	VARIOUS HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	11.26	100.0	0.00	11.26	11.26
12/31/2017	#02309851666	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.46	100.0	0.00	1.46	1.46
12/31/2017	#02317123600	VARIOUS HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.01	100.0	0.00	1.01	1.01
12/31/2017	#02811155286	VARIOUS HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	0.02	100.0	0.00	0.02	0.02
12/31/2017	#02302402491	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	0.21	100.0	0.00	0.21	0.21
12/31/2017	#02306336619	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.94	100.0	0.00	1.94	1.94
12/31/2017	#02306346530	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	2.26	100.0	0.00	2.26	2.26
12/31/2017	#02306400683	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	5.69	100.0	0.00	5.69	5.69
12/31/2017	#02306429338	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	3.58	100.0	0.00	3.58	3.58

COMMISSION STATEMENT for LPFS HOUSE

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/31/2017	#02306429393	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.35	100.0	0.00	1.35	0.00	1.35
12/31/2017	#02306443374	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	19.71	100.0	0.00	19.71	0.00	19.71
12/31/2017	#02306538458	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	37.48	100.0	0.00	37.48	0.00	37.48
12/31/2017	#02306588926	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.21	100.0	0.00	1.21	0.00	1.21
12/31/2017	#02306606757	VARIOUS HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	69.10	100.0	0.00	69.10	0.00	69.10
12/31/2017	#02301553181	999HOUSE	OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	0.13	100.0	0.00	0.13	0.00	0.13
12/31/2017	#04101480149	VARIOUS HOUSE	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	16.32	100.0	0.00	16.32	0.00	16.32
12/31/2017	#04102312618	VARIOUS HOUSE	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	4.45	100.0	0.00	4.45	0.00	4.45
12/31/2017	#04103850352	VARIOUS HOUSE	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	3.37	100.0	0.00	3.37	0.00	3.37
12/31/2017	#08804954972	VARIOUS HOUSE	OPPENHEIMER INTERNATIONAL BOND FUND	0.00	1.47	100.0	0.00	1.47	0.00	1.47
12/31/2017	#08257831714	VARIOUS HOUSE	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	2.38	100.0	0.00	2.38	0.00	2.38
12/31/2017	#08252216247	VARIOUS HOUSE	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	4.91	100.0	0.00	4.91	0.00	4.91
12/31/2017	#08252179144	VARIOUS HOUSE	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	0.15	100.0	0.00	0.15	0.00	0.15
12/31/2017	#01952658964	999HOUSE	OPPENHEIMER INTL DIVERISIFIED FD CL	0.00	8.49	100.0	0.00	8.49	0.00	8.49
12/31/2017	#01952749945	999HOUSE	OPPENHEIMER INTL DIVERISIFIED FD CL	0.00	12.50	100.0	0.00	12.50	0.00	12.50
12/31/2017	#08476885593	VARIOUS HOUSE	OPPENHEIMER MAIN STR SMALL & MID CA	0.00	1.96	100.0	0.00	1.96	0.00	1.96
12/31/2017	#08475055182	VARIOUS HOUSE	OPPENHEIMER MAIN STR SMALL & MID CA	0.00	5.90	100.0	0.00	5.90	0.00	5.90
12/31/2017	#08476230411	VARIOUS HOUSE	OPPENHEIMER MAIN STR SMALL & MID CA	0.00	30.25	100.0	0.00	30.25	0.00	30.25
12/31/2017	#08476886286	VARIOUS HOUSE	OPPENHEIMER MAIN STR SMALL & MID CA	0.00	17.33	100.0	0.00	17.33	0.00	17.33
12/31/2017	#08477285586	VARIOUS HOUSE	OPPENHEIMER MAIN STR SMALL & MID CA	0.00	0.06	100.0	0.00	0.06	0.00	0.06
12/31/2017	#07005386821	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	0.84	100.0	0.00	0.84	0.00	0.84
12/31/2017	#07002648550	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	3.49	100.0	0.00	3.49	0.00	3.49
12/31/2017	#07003127743	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	16.34	100.0	0.00	16.34	0.00	16.34
12/31/2017	#04206741284	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	3.47	100.0	0.00	3.47	0.00	3.47
12/31/2017	#04206741295	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	1.93	100.0	0.00	1.93	0.00	1.93
12/31/2017	#04206741449	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	1.07	100.0	0.00	1.07	0.00	1.07
12/31/2017	#04206741460	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	3.99	100.0	0.00	3.99	0.00	3.99
12/31/2017	#04206741471	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	23.23	100.0	0.00	23.23	0.00	23.23
12/31/2017	#07005994736	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	15.23	100.0	0.00	15.23	0.00	15.23
12/31/2017	#04205457859	VARIOUS HOUSE	OPPENHEIMER MAIN STREET FUND CL A	0.00	0.43	100.0	0.00	0.43	0.00	0.43
12/31/2017	#02520832667	VARIOUS HOUSE	OPPENHEIMER MID CAP VALUE	0.00	0.02	100.0	0.00	0.02	0.00	0.02
12/31/2017	#02521058376	VARIOUS HOUSE	OPPENHEIMER MID CAP VALUE	0.00	1.75	100.0	0.00	1.75	0.00	1.75
12/31/2017	#02520789503	999HOUSE	OPPENHEIMER MID CAP VALUE	0.00	3.48	100.0	0.00	3.48	0.00	3.48
12/31/2017	#02521084028	999HOUSE	OPPENHEIMER MID CAP VALUE	0.00	3.48	100.0	0.00	3.48	0.00	3.48
12/31/2017	#05400542861	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER	0.00	0.69	100.0	0.00	0.69	0.00	0.69
12/31/2017	#05520920700	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	0.00	60.70	100.0	0.00	60.70	0.00	60.70

COMMISSION STATEMENT for LPFS HOUSE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017	#05520921393	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	0.00	54.03	100.0	0.00	54.03	54.03
12/31/2017	#05520923714	KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	0.00	71.71	100.0	0.00	71.71	71.71
12/31/2017	#05520944680	MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	0.00	106.18	100.0	0.00	106.18	106.18
12/31/2017	#05520950642	LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	0.00	62.42	100.0	0.00	62.42	62.42
12/31/2017	#05920334191	LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	0.00	51.51	100.0	0.00	51.51	51.51
12/31/2017	#02252910468	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	1.12	100.0	0.00	1.12	1.12
12/31/2017	#02252060278	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	3.04	100.0	0.00	3.04	3.04
12/31/2017	#02253961529	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	4.56	100.0	0.00	4.56	4.56
12/31/2017	#02254195873	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	0.07	100.0	0.00	0.07	0.07
12/31/2017	#02254706086	VARIOUS HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	5.26	100.0	0.00	5.26	5.26
12/31/2017	#02254706097	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	2.92	100.0	0.00	2.92	2.92
12/31/2017	#02254706328	VARIOUS HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	1.63	100.0	0.00	1.63	1.63
12/31/2017	#02254706339	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	6.05	100.0	0.00	6.05	6.05
12/31/2017	#02254706350	999HOUSE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	35.25	100.0	0.00	35.25	35.25
12/31/2017	#06000215281	VARIOUS HOUSE	OPPENHEIMER SELECT VALUE FD CL A	0.00	0.29	100.0	0.00	0.29	0.29
12/31/2017	#02911117985	VARIOUS HOUSE	OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	0.80	100.0	0.00	0.80	0.80
12/31/2017	#02912709091	VARIOUS HOUSE	OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	0.33	100.0	0.00	0.33	0.33
12/31/2017	#02912733588	VARIOUS HOUSE	OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	38.13	100.0	0.00	38.13	38.13
12/31/2017	#02912889183	VARIOUS HOUSE	OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	1.30	100.0	0.00	1.30	1.30
12/31/2017	#02912939046	VARIOUS HOUSE	OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	74.10	100.0	0.00	74.10	74.10
12/31/2017	#07210628232	VARIOUS HOUSE	OPPENHEIMER SMALL & MIDCAP GROWTH F	0.00	3.41	100.0	0.00	3.41	3.41
12/31/2017	#07210785389	VARIOUS HOUSE	OPPENHEIMER SMALL & MIDCAP GROWTH F	0.00	0.08	100.0	0.00	0.08	0.08
12/31/2017	#05450984335	VARIOUS HOUSE	PORTFOLIO SERIES: MODERATE INVESTOR	0.00	0.43	100.0	0.00	0.43	0.43
12/31/2017	#05451039973		PORTFOLIO SERIES: MODERATE INVESTOR	0.00	12.19	100.0	0.00	12.19	12.19
12/31/2017	#02365336408	999HOUSE	QUEST OPPORTUNITY VALUE FUND A	0.00	6.87	100.0	0.00	6.87	6.87
12/31/2017	#02365336419	999HOUSE	QUEST OPPORTUNITY VALUE FUND A	0.00	3.54	100.0	0.00	3.54	3.54
12/31/2017	#05910068090	VARIOUS HOUSE	REAL ESTATE FUND	0.00	1.38	100.0	0.00	1.38	1.38
12/31/2017	#05900977834		REAL ESTATE FUND A	0.00	11.74	100.0	0.00	11.74	11.74
12/31/2017	#05900498553	VARIOUS HOUSE	REAL ESTATE FUND A	0.00	0.85	100.0	0.00	0.85	0.85
12/31/2017	#02271101903	999HOUSE	RISING DIVIDENDS FUND C	0.00	25.00	100.0	0.00	25.00	25.00
12/31/2017	#02261774729	999HOUSE	RISING DIVIDENDS FUND CLASS B	0.00	0.49	100.0	0.00	0.49	0.49
12/31/2017	#02261774751	999HOUSE	RISING DIVIDENDS FUND CLASS B	0.00	2.12	100.0	0.00	2.12	2.12
12/31/2017	#02513153304	999HOUSE	SMALL- & MID- CAP VALUE FUND A	0.00	2.10	100.0	0.00	2.10	2.10
12/31/2017	#02514086478		SMALL- & MID- CAP VALUE FUND A	0.00	2.14	100.0	0.00	2.14	2.14
12/31/2017	#02514387427		SMALL- & MID- CAP VALUE FUND A	0.00	1.75	100.0	0.00	1.75	1.75
12/31/2017	#02510621665	999HOUSE	SMALL- & MID- CAP VALUE FUND A	0.00	2.27	100.0	0.00	2.27	2.27

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017	#02513760196	999HOUSE	SMALL- & MID- CAP VALUE FUND A	0.00	22.89	100.0	0.00	22.89	22.89
12/31/2017	#02514388186	999HOUSE	SMALL- & MID- CAP VALUE FUND A	0.00	21.98	100.0	0.00	21.98	21.98
12/31/2017	#07230016643	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	0.00	45.22	100.0	0.00	45.22	45.22
12/31/2017	#07230242748	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	0.00	3.58	100.0	0.00	3.58	3.58
12/31/2017	#02860548042	VARIOUS HOUSE	TOTAL RETURN BOND FUND	0.00	0.02	100.0	0.00	0.02	0.02
12/31/2017	#02870478698	VARIOUS HOUSE	TOTAL RETURN BOND FUND C	0.00	21.36	100.0	0.00	21.36	21.36
12/31/2017	#02870523776	VARIOUS HOUSE	TOTAL RETURN BOND FUND C	0.00	28.40	100.0	0.00	28.40	28.40
12/31/2017	#02870524579	ALONGE, A	TOTAL RETURN BOND FUND C	0.00	20.28	100.0	0.00	20.28	20.28
12/31/2017	#02870524601	MASTER, S	TOTAL RETURN BOND FUND C	0.00	16.15	100.0	0.00	16.15	16.15
12/31/2017	#02201598025	999HOUSE	U.S. GOVERNMENT TRUST A	0.00	0.61	100.0	0.00	0.61	0.61
12/31/2017	#02203570875	999HOUSE	U.S. GOVERNMENT TRUST A	0.00	2.19	100.0	0.00	2.19	2.19
12/31/2017	#02220547382		U.S. GOVERNMENT TRUST C	0.00	17.87	100.0	0.00	17.87	17.87
01/01/2018	#00008570580	VARIOUS HOUSE	INVESCO AMERICAN FRANCHISE FUND CLA	0.00	18.97	100.0	0.00	18.97	18.97
01/01/2018	#00008570580	VARIOUS HOUSE	INVESCO ASIA PACIFIC GROWTH FD - CL	0.00	21.05	100.0	0.00	21.05	21.05
01/01/2018	#01000085248	VARIOUS HOUSE	INVESCO ASIA PACIFIC GROWTH FD - CL	0.00	6.82	100.0	0.00	6.82	6.82
01/01/2018	#00091516140	VARIOUS HOUSE	INVESCO BALANCED-RISK ALLOCATION FD	0.00	122.12	100.0	0.00	122.12	122.12
01/01/2018	#00777008112	VARIOUS HOUSE	INVESCO CASH RESERVE SHARES	0.00	4.38	100.0	0.00	4.38	4.38
01/01/2018	#00777021027	VARIOUS HOUSE	INVESCO CASH RESERVE SHARES	0.00	2.76	100.0	0.00	2.76	2.76
01/01/2018	#05062851042	VARIOUS HOUSE	INVESCO CASH RESERVE SHARES	0.00	0.21	100.0	0.00	0.21	0.21
01/01/2018	#05062851067	VARIOUS HOUSE	INVESCO CASH RESERVE SHARES	0.00	14.81	100.0	0.00	14.81	14.81
01/01/2018	#00009924680	VARIOUS HOUSE	INVESCO CHARTER FUND - CLASS C	0.00	51.81	100.0	0.00	51.81	51.81
01/01/2018	#00009924680	VARIOUS HOUSE	INVESCO CHINA FUND - CLASS C	0.00	87.11	100.0	0.00	87.11	87.11
01/01/2018	#00008570580	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	3.17	100.0	0.00	3.17	3.17
01/01/2018	#00008799260	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	6.45	100.0	0.00	6.45	6.45
01/01/2018	#00008799261	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	4.30	100.0	0.00	4.30	4.30
01/01/2018	#00008799262	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	3.66	100.0	0.00	3.66	3.66
01/01/2018	#00011105680	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	88.49	100.0	0.00	88.49	88.49
01/01/2018	#00011105682	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	17.06	100.0	0.00	17.06	17.06
01/01/2018	#00011105683	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	32.11	100.0	0.00	32.11	32.11
01/01/2018	#00011273660	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	73.70	100.0	0.00	73.70	73.70
01/01/2018	#00011922940	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	84.02	100.0	0.00	84.02	84.02
01/01/2018	#00011922941	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	78.11	100.0	0.00	78.11	78.11
01/01/2018	#00012675680	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	101.09	100.0	0.00	101.09	101.09
01/01/2018	#00012675681	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	11.83	100.0	0.00	11.83	11.83
01/01/2018	#00090422960	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	28.25	100.0	0.00	28.25	28.25
01/01/2018	#00091636200	VARIOUS HOUSE	INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	5.58	100.0	0.00	5.58	5.58

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/01/2018	#00091947180	MARKEL JT TEN, INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	0.30	100.0	0.00	0.30	0.00	0.30
01/01/2018	#00092032461	VARIOUS HOUSE INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	14.94	100.0	0.00	14.94	0.00	14.94
01/01/2018	#00093069040	VARIOUS HOUSE INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	6.78	100.0	0.00	6.78	0.00	6.78
01/01/2018	#00097110780	03/27/98, PLAN INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	11.48	100.0	0.00	11.48	0.00	11.48
01/01/2018	#00097113210	VARIOUS HOUSE INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	0.04	100.0	0.00	0.04	0.00	0.04
01/01/2018	#00097118440	VARIOUS HOUSE INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	6.30	100.0	0.00	6.30	0.00	6.30
01/01/2018	#00098021830	VARIOUS HOUSE INVESCO DIVERSIFIED DIVIDEND FD INV	0.00	89.62	100.0	0.00	89.62	0.00	89.62
01/01/2018	#00008570580	VARIOUS HOUSE INVESCO ENERGY FUND - CLASS B	0.00	1.75	100.0	0.00	1.75	0.00	1.75
01/01/2018	#00093076621	VARIOUS HOUSE INVESCO ENERGY FUND - INVESTOR CLAS	0.00	0.98	100.0	0.00	0.98	0.00	0.98
01/01/2018	#00008570580	VARIOUS HOUSE INVESCO ENERGY FUND CLASS A	0.00	6.45	100.0	0.00	6.45	0.00	6.45
01/01/2018	#01000085248	VARIOUS HOUSE INVESCO ENERGY FUND CLASS A	0.00	2.41	100.0	0.00	2.41	0.00	2.41
01/01/2018	#00009924680	VARIOUS HOUSE INVESCO ENERGY FUND CLASS C	0.00	229.71	100.0	0.00	229.71	0.00	229.71
01/01/2018	#00011273660	VARIOUS HOUSE INVESCO ENERGY FUND CLASS C	0.00	14.06	100.0	0.00	14.06	0.00	14.06
01/01/2018	#00092032461	VARIOUS HOUSE INVESCO ENERGY FUND CLASS C	0.00	1.98	100.0	0.00	1.98	0.00	1.98
01/01/2018	#01000190434	VARIOUS HOUSE INVESCO ENERGY FUND CLASS C	0.00	1.27	100.0	0.00	1.27	0.00	1.27
01/01/2018	#01000190434	VARIOUS HOUSE INVESCO EUROPEAN GROWTH FUND -CLASS	0.00	3.06	100.0	0.00	3.06	0.00	3.06
01/01/2018	#00093069040	VARIOUS HOUSE INVESCO EUROPEAN GROWTH FUND-INVEST	0.00	3.23	100.0	0.00	3.23	0.00	3.23
01/01/2018	#06900161469	VARIOUS HOUSE INVESCO GLOBAL CORE EQUITY FD CL A	0.00	0.31	100.0	0.00	0.31	0.00	0.31
01/01/2018	#00008799260	VARIOUS HOUSE INVESCO GLOBAL HEALTH CARE FUND - I	0.00	7.35	100.0	0.00	7.35	0.00	7.35
01/01/2018	#00008799261	VARIOUS HOUSE INVESCO GLOBAL HEALTH CARE FUND - I	0.00	1.23	100.0	0.00	1.23	0.00	1.23
01/01/2018	#00008799262	VARIOUS HOUSE INVESCO GLOBAL HEALTH CARE FUND - I	0.00	1.23	100.0	0.00	1.23	0.00	1.23
01/01/2018	#00011105680	VARIOUS HOUSE INVESCO GLOBAL HEALTH CARE FUND - I	0.00	14.59	100.0	0.00	14.59	0.00	14.59
01/01/2018	#00011105683	VARIOUS HOUSE INVESCO GLOBAL HEALTH CARE FUND - I	0.00	5.80	100.0	0.00	5.80	0.00	5.80
01/01/2018	#00093069040	VARIOUS HOUSE INVESCO GLOBAL HEALTH CARE FUND - I	0.00	7.86	100.0	0.00	7.86	0.00	7.86
01/01/2018	#00095609450	VARIOUS HOUSE INVESCO GLOBAL HEALTH CARE FUND - I	0.00	3.05	100.0	0.00	3.05	0.00	3.05
01/01/2018	#00097118440	VARIOUS HOUSE INVESCO GLOBAL HEALTH CARE FUND - I	0.00	0.92	100.0	0.00	0.92	0.00	0.92
01/01/2018	#00097118480	VARIOUS HOUSE INVESCO GLOBAL HEALTH CARE FUND - I	0.00	0.94	100.0	0.00	0.94	0.00	0.94
01/01/2018	#00099259558	VARIOUS HOUSE INVESCO GLOBAL HEALTH CARE FUND - I	0.00	0.06	100.0	0.00	0.06	0.00	0.06
01/01/2018	#00100236907	VARIOUS HOUSE INVESCO GLOBAL HEALTH CARE FUND - I	0.00	0.95	100.0	0.00	0.95	0.00	0.95
01/01/2018	#00007957462	VARIOUS HOUSE INVESCO GOLD & PRECIOUS METALS FD -	0.00	1.29	100.0	0.00	1.29	0.00	1.29
01/01/2018	#00008570580	VARIOUS HOUSE INVESCO GOLD & PRECIOUS METALS FD -	0.00	15.34	100.0	0.00	15.34	0.00	15.34
01/01/2018	#01000190434	VARIOUS HOUSE INVESCO GOLD & PRECIOUS METALS FD -	0.00	0.98	100.0	0.00	0.98	0.00	0.98
01/01/2018	#00011105680	VARIOUS HOUSE INVESCO GOLD & PRECIOUS METALS FD-I	0.00	9.66	100.0	0.00	9.66	0.00	9.66
01/01/2018	#00091636200	VARIOUS HOUSE INVESCO GOLD & PRECIOUS METALS FD-I	0.00	1.22	100.0	0.00	1.22	0.00	1.22
01/01/2018	#00093076621	VARIOUS HOUSE INVESCO GOLD & PRECIOUS METALS FD-I	0.00	0.59	100.0	0.00	0.59	0.00	0.59
01/01/2018	#06900161127	VARIOUS HOUSE INVESCO HIGH YIELD FUND CL A SHS	0.00	0.87	100.0	0.00	0.87	0.00	0.87

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/01/2018	#00777008112	VARIOUS HOUSE	INVESCO REAL ESTATE FUND CL A	0.00	6.85	100.0	0.00	6.85	0.00	6.85
01/01/2018	#06900161169	VARIOUS HOUSE	INVESCO SHORT TERM BOND FUND -CLASS	0.00	0.32	100.0	0.00	0.32	0.00	0.32
01/01/2018	#00011105680	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	28.10	100.0	0.00	28.10	0.00	28.10
01/01/2018	#00011105683	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	4.76	100.0	0.00	4.76	0.00	4.76
01/01/2018	#00093069040	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	4.82	100.0	0.00	4.82	0.00	4.82
01/01/2018	#00095884160	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	0.95	100.0	0.00	0.95	0.00	0.95
01/01/2018	#00097118440	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	2.35	100.0	0.00	2.35	0.00	2.35
01/01/2018	#00097118480	VARIOUS HOUSE	INVESCO TECHNOLOGY FUND INV CL	0.00	0.97	100.0	0.00	0.97	0.00	0.97
01/01/2018	#00012675680	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND - INV	0.00	2.20	100.0	0.00	2.20	0.00	2.20
01/01/2018	#00012675681	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND - INV	0.00	10.72	100.0	0.00	10.72	0.00	10.72
01/01/2018	#00670261596	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	6.77	100.0	0.00	6.77	0.00	6.77
01/01/2018	#00670270679	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	7.99	100.0	0.00	7.99	0.00	7.99
01/01/2018	#00670272548	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	4.86	100.0	0.00	4.86	0.00	4.86
01/01/2018	#00670312783	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	0.12	100.0	0.00	0.12	0.00	0.12
01/01/2018	#00670328691	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	37.97	100.0	0.00	37.97	0.00	37.97
01/01/2018	#00777008112	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	4.36	100.0	0.00	4.36	0.00	4.36
01/01/2018	#00777021027	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	5.73	100.0	0.00	5.73	0.00	5.73
01/01/2018	#04400087310	VARIOUS HOUSE	INVESCO U.S. GOVERNMENT FUND CL A	0.00	4.68	100.0	0.00	4.68	0.00	4.68
01/01/2018	#01000190434	VARIOUS HOUSE	INVESCO UTILITIES FUND - CLASS C	0.00	3.70	100.0	0.00	3.70	0.00	3.70
01/01/2018	#00098021830	VARIOUS HOUSE	INVESCO UTILITIES FUND - INVESTOR C	0.00	14.86	100.0	0.00	14.86	0.00	14.86
01/01/2018	#00777066860	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	16.51	100.0	0.00	16.51	0.00	16.51
01/01/2018	#01001311198	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	2.38	100.0	0.00	2.38	0.00	2.38
01/01/2018	#03809509833	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	1.40	100.0	0.00	1.40	0.00	1.40
01/01/2018	#03809670312	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	29.34	100.0	0.00	29.34	0.00	29.34
01/01/2018	#03810674295	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	2.29	100.0	0.00	2.29	0.00	2.29
01/01/2018	#04039748928	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	6.79	100.0	0.00	6.79	0.00	6.79
01/01/2018	#05018554864	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	2.63	100.0	0.00	2.63	0.00	2.63
01/01/2018	#05053697941	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	6.27	100.0	0.00	6.27	0.00	6.27
01/01/2018	#05053697990	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	6.65	100.0	0.00	6.65	0.00	6.65
01/01/2018	#05057015314	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	17.22	100.0	0.00	17.22	0.00	17.22
01/01/2018	#06900160418	VARIOUS HOUSE	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	0.70	100.0	0.00	0.70	0.00	0.70
01/01/2018	#06900160093	VARIOUS HOUSE	INVESCO VAN KAMPEN COMSTOCK FUND CL	0.00	1.95	100.0	0.00	1.95	0.00	1.95
01/01/2018	#00005943040	VARIOUS HOUSE	INVESCO VAN KAMPEN CORPORATE CLASS	0.00	74.72	100.0	0.00	74.72	0.00	74.72
01/01/2018	#00012675680	VARIOUS HOUSE	INVESCO VAN KAMPEN CORPORATE CLASS	0.00	5.07	100.0	0.00	5.07	0.00	5.07
01/01/2018	#00012675681	VARIOUS HOUSE	INVESCO VAN KAMPEN CORPORATE CLASS	0.00	24.30	100.0	0.00	24.30	0.00	24.30
01/01/2018	#00007957462	VARIOUS HOUSE	INVESTCO ASIA PACIFIC GROWTH	0.00	4.95	100.0	0.00	4.95	0.00	4.95

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/01/2018	#00008570580	VARIOUS HOUSE	INVESTCO ASIA PACIFIC GROWTH	0.00	94.46	100.0	0.00	94.46	94.46
01/01/2018	#00009924680	VARIOUS HOUSE	INVESTCO ASIA PACIFIC GROWTH	0.00	99.02	100.0	0.00	99.02	99.02
01/01/2018	#00007957462	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	16.06	100.0	0.00	16.06	16.06
01/01/2018	#00009924680	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	738.09	100.0	0.00	738.09	738.09
01/01/2018	#00011273660	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	143.67	100.0	0.00	143.67	143.67
01/01/2018	#00090678760	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	7.25	100.0	0.00	7.25	7.25
01/01/2018	#00090762300	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	8.08	100.0	0.00	8.08	8.08
01/01/2018	#00091636200	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	22.32	100.0	0.00	22.32	22.32
01/01/2018	#00092032461	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	44.88	100.0	0.00	44.88	44.88
01/01/2018	#00097126440	VARIOUS HOUSE	IVK MID CAP GROWTH FUND - CLASS C	0.00	21.52	100.0	0.00	21.52	21.52
01/02/2018	#VR02020599		694474883	0.00	2.75	100.0	0.00	2.75	2.75
01/03/2018	#07500259493		PIIONEER 723556106	0.00	26.40	100.0	0.00	26.40	26.40
01/03/2018	#07500260338		PIIONEER 723556403	0.00	4.91	100.0	0.00	4.91	4.91
01/03/2018	#07500260479		PIIONEER 723556403	0.00	9.37	100.0	0.00	9.37	9.37
01/03/2018	#07500261920		PIIONEER 723556403	0.00	39.05	100.0	0.00	39.05	39.05
01/03/2018	#07516902726		PIIONEER 723556403	0.00	4.49	100.0	0.00	4.49	4.49
01/03/2018	#07516904679		PIIONEER 723556403	0.00	62.22	100.0	0.00	62.22	62.22
01/03/2018	#07505100555		PIIONEER 723622304	0.00	11.60	100.0	0.00	11.60	11.60
01/03/2018	#07505100775		PIIONEER 723622304	0.00	4.83	100.0	0.00	4.83	4.83
01/03/2018	#07505101407		PIIONEER 723622304	0.00	18.90	100.0	0.00	18.90	18.90
01/03/2018	#07500234422		PIIONEER 723622304	0.00	0.40	100.0	0.00	0.40	0.40
01/03/2018	#07500222323		PIIONEER 72366V108	0.00	6.40	100.0	0.00	6.40	6.40
01/03/2018	#07500259737		PIIONEER 72366V108	0.00	27.96	100.0	0.00	27.96	27.96
01/03/2018	#07501100159		PIIONEER 72366V108	0.00	87.08	100.0	0.00	87.08	87.08
01/03/2018	#07501100234		PIIONEER 72366V108	0.00	43.75	100.0	0.00	43.75	43.75
01/03/2018	#07501100414		PIIONEER 72366V108	0.00	7.54	100.0	0.00	7.54	7.54
01/03/2018	#07501100585		PIIONEER 72366V108	0.00	82.65	100.0	0.00	82.65	82.65
01/03/2018	#07501100944		PIIONEER 72366V108	0.00	0.05	100.0	0.00	0.05	0.05
01/03/2018	#07501101298		PIIONEER 72366V108	0.00	5.61	100.0	0.00	5.61	5.61
01/03/2018	#07501102060		PIIONEER 72366V108	0.00	1.47	100.0	0.00	1.47	1.47
01/03/2018	#07501102566		PIIONEER 72366V108	0.00	23.16	100.0	0.00	23.16	23.16
01/03/2018	#07501102829		PIIONEER 72366V108	0.00	123.25	100.0	0.00	123.25	123.25
01/03/2018	#07504901245		PIIONEER 72366V306	0.00	1.60	100.0	0.00	1.60	1.60
01/03/2018	#00621176582		PIIONEER 723682308	0.00	34.14	100.0	0.00	34.14	34.14
01/03/2018	#07504101108		PIIONEER 723682308	0.00	29.40	100.0	0.00	29.40	29.40
01/03/2018	#07504101236		PIIONEER 723682308	0.00	138.84	100.0	0.00	138.84	138.84

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/03/2018	#00621110802	PIONEER 72369B109	0.00	33.76	100.0	0.00	33.76	0.00	33.76
01/03/2018	#00621116663	PIONEER 72369B109	0.00	2.79	100.0	0.00	2.79	0.00	2.79
01/03/2018	#07500219897	PIONEER 72369B109	0.00	7.94	100.0	0.00	7.94	0.00	7.94
01/03/2018	#07500229361	PIONEER 72369B109	0.00	16.88	100.0	0.00	16.88	0.00	16.88
01/03/2018	#07500257059	PIONEER 72369B109	0.00	0.76	100.0	0.00	0.76	0.00	0.76
01/03/2018	#07500257375	PIONEER 72369B109	0.00	1.76	100.0	0.00	1.76	0.00	1.76
01/03/2018	#07500260071	PIONEER 72369B109	0.00	7.88	100.0	0.00	7.88	0.00	7.88
01/03/2018	#07500261681	PIONEER 72369B109	0.00	15.32	100.0	0.00	15.32	0.00	15.32
01/03/2018	#07501900056	PIONEER 72369B109	0.00	27.92	100.0	0.00	27.92	0.00	27.92
01/03/2018	#07501900570	PIONEER 72369B109	0.00	1.83	100.0	0.00	1.83	0.00	1.83
01/03/2018	#07501901077	PIONEER 72369B109	0.00	5.88	100.0	0.00	5.88	0.00	5.88
01/03/2018	#07501901320	PIONEER 72369B109	0.00	8.76	100.0	0.00	8.76	0.00	8.76
01/03/2018	#07501903278	PIONEER 72369B109	0.00	1.46	100.0	0.00	1.46	0.00	1.46
01/03/2018	#04030012159	PIONEER 723709101	0.00	28.24	100.0	0.00	28.24	0.00	28.24
01/03/2018	#07500222322	PIONEER 723709101	0.00	3.19	100.0	0.00	3.19	0.00	3.19
01/03/2018	#07500260760	PIONEER 723709101	0.00	10.10	100.0	0.00	10.10	0.00	10.10
01/03/2018	#07500702384	PIONEER 723709101	0.00	7.45	100.0	0.00	7.45	0.00	7.45
01/03/2018	#07500702399	PIONEER 723709101	0.00	16.72	100.0	0.00	16.72	0.00	16.72
01/03/2018	#07500702465	PIONEER 723709101	0.00	0.02	100.0	0.00	0.02	0.00	0.02
01/03/2018	#07500702532	PIONEER 723709101	0.00	0.97	100.0	0.00	0.97	0.00	0.97
01/03/2018	#07504500529	PIONEER 723709309	0.00	36.59	100.0	0.00	36.59	0.00	36.59
01/03/2018	#07504500602	PIONEER 723709309	0.00	16.73	100.0	0.00	16.73	0.00	16.73
01/03/2018	#00621117401	PIONEER 72375Q306	0.00	65.36	100.0	0.00	65.36	0.00	65.36
01/03/2018	#07504800414	PIONEER 72375Q306	0.00	8.55	100.0	0.00	8.55	0.00	8.55
01/03/2018	#00621117401	PIONEER 723848305	0.00	61.96	100.0	0.00	61.96	0.00	61.96
01/03/2018	#07505000161	PIONEER 723848305	0.00	8.26	100.0	0.00	8.26	0.00	8.26
01/03/2018	#07505000434	PIONEER 723848305	0.00	24.50	100.0	0.00	24.50	0.00	24.50
01/03/2018	#07505000679	PIONEER 723848305	0.00	7.91	100.0	0.00	7.91	0.00	7.91
01/03/2018	#07523400543	PIONEER 72387N887	0.00	11.69	100.0	0.00	11.69	0.00	11.69
01/03/2018	#07523401059	PIONEER 72387N887	0.00	1.52	100.0	0.00	1.52	0.00	1.52
01/03/2018	#07523401396	PIONEER 72387N887	0.00	11.31	100.0	0.00	11.31	0.00	11.31
01/03/2018	#07523401414	PIONEER 72387N887	0.00	4.71	100.0	0.00	4.71	0.00	4.71
01/03/2018	#07523401468	PIONEER 72387N887	0.00	18.42	100.0	0.00	18.42	0.00	18.42
01/03/2018	#00621124290	PIONEER 72387P106	0.00	55.82	100.0	0.00	55.82	0.00	55.82
01/03/2018	#07521100956	PIONEER 72387P106	0.00	46.04	100.0	0.00	46.04	0.00	46.04
01/03/2018	#07521101043	PIONEER 72387P106	0.00	5.31	100.0	0.00	5.31	0.00	5.31

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/03/2018	#07521101147	PIONEER 72387P106	0.00	11.53	100.0	0.00	11.53	0.00	11.53
01/03/2018	#07521101152	PIONEER 72387P106	0.00	11.49	100.0	0.00	11.49	0.00	11.49
01/03/2018	#07521300431	PIONEER 72387P304	0.00	8.89	100.0	0.00	8.89	0.00	8.89
01/03/2018	#07521300542	PIONEER 72387P304	0.00	163.82	100.0	0.00	163.82	0.00	163.82
01/03/2018	#07521300590	PIONEER 72387P304	0.00	82.16	100.0	0.00	82.16	0.00	82.16
01/03/2018	#07521300643	PIONEER 72387P304	0.00	172.77	100.0	0.00	172.77	0.00	172.77
01/03/2018	#00621110821	PIONEER 72387S407	0.00	3.42	100.0	0.00	3.42	0.00	3.42
01/03/2018	#00621117401	PIONEER 72387W606	0.00	70.03	100.0	0.00	70.03	0.00	70.03
01/03/2018	#07518100078	PIONEER 72387W606	0.00	5.42	100.0	0.00	5.42	0.00	5.42
01/03/2018	#07518101040	PIONEER 72387W606	0.00	9.18	100.0	0.00	9.18	0.00	9.18
01/03/2018	#07518101345	PIONEER 72387W606	0.00	70.62	100.0	0.00	70.62	0.00	70.62
01/03/2018	#07518101871	PIONEER 72387W606	0.00	474.04	100.0	0.00	474.04	0.00	474.04
01/03/2018	#07518102158	PIONEER 72387W606	0.00	0.24	100.0	0.00	0.24	0.00	0.24
01/03/2018	#07518102218	PIONEER 72387W606	0.00	77.54	100.0	0.00	77.54	0.00	77.54
01/03/2018	#07500234429	PIONEER 72387W887	0.00	0.40	100.0	0.00	0.40	0.00	0.40
01/03/2018	#07518500023	PIONEER 72387W887	0.00	0.07	100.0	0.00	0.07	0.00	0.07
01/03/2018	#07523601104	PIONEER 723922308	0.00	0.53	100.0	0.00	0.53	0.00	0.53
01/03/2018	#07523601710	PIONEER 723922308	0.00	1.61	100.0	0.00	1.61	0.00	1.61
01/03/2018	#07523601953	PIONEER 723922308	0.00	46.46	100.0	0.00	46.46	0.00	46.46
01/03/2018	#07500221286	PIONEER 724010103	0.00	3.38	100.0	0.00	3.38	0.00	3.38
01/03/2018	#07500400372	PIONEER 724010103	0.00	6.52	100.0	0.00	6.52	0.00	6.52
01/03/2018	#07500400380	PIONEER 724010103	0.00	11.61	100.0	0.00	11.61	0.00	11.61
01/03/2018	#07500400871	PIONEER 724010103	0.00	1.18	100.0	0.00	1.18	0.00	1.18
01/03/2018	#07500401403	PIONEER 724010103	0.00	1.28	100.0	0.00	1.28	0.00	1.28
01/03/2018	#07500500576	EWELLGARYD PIONEER BOND FUND A	0.00	64.50	100.0	0.00	64.50	0.00	64.50
01/03/2018	#00621116663	PIONEER BOND FUND A	0.00	2.28	100.0	0.00	2.28	0.00	2.28
01/03/2018	#04030012065	PIONEER BOND FUND A	0.00	0.06	100.0	0.00	0.06	0.00	0.06
01/03/2018	#04030012295	PIONEER BOND FUND A	0.00	4.90	100.0	0.00	4.90	0.00	4.90
01/03/2018	#07500210439	PIONEER BOND FUND A	0.00	36.70	100.0	0.00	36.70	0.00	36.70
01/03/2018	#07500221287	PIONEER BOND FUND A	0.00	2.94	100.0	0.00	2.94	0.00	2.94
01/03/2018	#07500222321	PIONEER BOND FUND A	0.00	3.13	100.0	0.00	3.13	0.00	3.13
01/03/2018	#07500229358	PIONEER BOND FUND A	0.00	9.38	100.0	0.00	9.38	0.00	9.38
01/03/2018	#07500260438	PIONEER BOND FUND A	0.00	21.73	100.0	0.00	21.73	0.00	21.73
01/03/2018	#07500500222	PIONEER BOND FUND A	0.00	18.67	100.0	0.00	18.67	0.00	18.67
01/03/2018	#07500500388	PIONEER BOND FUND A	0.00	2.48	100.0	0.00	2.48	0.00	2.48
01/03/2018	#07500500567	PIONEER BOND FUND A	0.00	35.57	100.0	0.00	35.57	0.00	35.57

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/03/2018	#07500501332	PIONEER BOND FUND A	0.00	20.11	100.0	0.00	20.11	0.00	20.11
01/03/2018	#07500501755	PIONEER BOND FUND A	0.00	7.25	100.0	0.00	7.25	0.00	7.25
01/03/2018	#07500502474	PIONEER BOND FUND A	0.00	5.92	100.0	0.00	5.92	0.00	5.92
01/03/2018	#07500503200	PIONEER BOND FUND A	0.00	1.66	100.0	0.00	1.66	0.00	1.66
01/03/2018	#04030012159	PIONEER DISCIPLINED VALUE FD CL A	0.00	83.81	100.0	0.00	83.81	0.00	83.81
01/03/2018	#07500260096	PIONEER DISCIPLINED VALUE FD CL A	0.00	4.10	100.0	0.00	4.10	0.00	4.10
01/03/2018	#07500262210	PIONEER DISCIPLINED VALUE FD CL A	0.00	1.75	100.0	0.00	1.75	0.00	1.75
01/03/2018	#07520500046	PIONEER DISCIPLINED VALUE FD CL A	0.00	0.05	100.0	0.00	0.05	0.00	0.05
01/03/2018	#07520501109	PIONEER DISCIPLINED VALUE FD CL A	0.00	2.29	100.0	0.00	2.29	0.00	2.29
01/03/2018	#07520503890	PIONEER DISCIPLINED VALUE FD CL A	0.00	1.64	100.0	0.00	1.64	0.00	1.64
01/03/2018	#04030012112	PIONEER EMERGING MARKETS FUND A	0.00	0.24	100.0	0.00	0.24	0.00	0.24
01/03/2018	#04030012159	PIONEER EMERGING MARKETS FUND A	0.00	12.09	100.0	0.00	12.09	0.00	12.09
01/03/2018	#07500260060	PIONEER EMERGING MARKETS FUND A	0.00	1.38	100.0	0.00	1.38	0.00	1.38
01/03/2018	#07500260686	PIONEER EMERGING MARKETS FUND A	0.00	0.82	100.0	0.00	0.82	0.00	0.82
01/03/2018	#07500261509	PIONEER EMERGING MARKETS FUND A	0.00	1.60	100.0	0.00	1.60	0.00	1.60
01/03/2018	#07501300094	PIONEER EMERGING MARKETS FUND A	0.00	30.76	100.0	0.00	30.76	0.00	30.76
01/03/2018	#07501300147	PIONEER EMERGING MARKETS FUND A	0.00	0.83	100.0	0.00	0.83	0.00	0.83
01/03/2018	#07501300438	PIONEER EMERGING MARKETS FUND A	0.00	1.22	100.0	0.00	1.22	0.00	1.22
01/03/2018	#07501300562	PIONEER EMERGING MARKETS FUND A	0.00	32.72	100.0	0.00	32.72	0.00	32.72
01/03/2018	#07501300658	PIONEER EMERGING MARKETS FUND A	0.00	0.02	100.0	0.00	0.02	0.00	0.02
01/03/2018	#07501300744	PIONEER EMERGING MARKETS FUND A	0.00	1.79	100.0	0.00	1.79	0.00	1.79
01/03/2018	#07501300931	PIONEER EMERGING MARKETS FUND A	0.00	0.46	100.0	0.00	0.46	0.00	0.46
01/03/2018	#07501301129	PIONEER EMERGING MARKETS FUND A	0.00	0.63	100.0	0.00	0.63	0.00	0.63
01/03/2018	#07501301434	PIONEER EMERGING MARKETS FUND A	0.00	21.84	100.0	0.00	21.84	0.00	21.84
01/03/2018	#07501301653	PIONEER EMERGING MARKETS FUND A	0.00	0.35	100.0	0.00	0.35	0.00	0.35
01/03/2018	#07501302082	PIONEER EMERGING MARKETS FUND A	0.00	0.29	100.0	0.00	0.29	0.00	0.29
01/03/2018	#07501302365	PIONEER EMERGING MARKETS FUND A	0.00	9.36	100.0	0.00	9.36	0.00	9.36
01/03/2018	#07501302464	PIONEER EMERGING MARKETS FUND A	0.00	0.41	100.0	0.00	0.41	0.00	0.41
01/03/2018	#07501304575	PIONEER EMERGING MARKETS FUND A	0.00	5.26	100.0	0.00	5.26	0.00	5.26
01/03/2018	#07501303532	PIONEER EMERGING MARKETS FUND A	0.00	1.16	100.0	0.00	1.16	0.00	1.16
01/03/2018	#00621116663	PIONEER FUND INC-CL A	0.00	4.09	100.0	0.00	4.09	0.00	4.09
01/03/2018	#07500221285	PIONEER FUND INC-CL A	0.00	3.17	100.0	0.00	3.17	0.00	3.17
01/03/2018	#07500222320	PIONEER FUND INC-CL A	0.00	5.23	100.0	0.00	5.23	0.00	5.23
01/03/2018	#07500256962	PIONEER FUND INC-CL A	0.00	2.21	100.0	0.00	2.21	0.00	2.21
01/03/2018	#07500256963	PIONEER FUND INC-CL A	0.00	9.22	100.0	0.00	9.22	0.00	9.22
01/03/2018	#07500260035	PIONEER FUND INC-CL A	0.00	4.05	100.0	0.00	4.05	0.00	4.05

COMMISSION STATEMENT for LPFS HOUSE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/03/2018	#07500260676	PIONEER FUND INC-CL A	0.00	18.55	100.0	0.00	18.55	0.00	18.55
01/03/2018	#07500300039	PIONEER FUND INC-CL A	0.00	34.49	100.0	0.00	34.49	0.00	34.49
01/03/2018	#07500300453	PIONEER FUND INC-CL A	0.00	74.10	100.0	0.00	74.10	0.00	74.10
01/03/2018	#07500300668	PIONEER FUND INC-CL A	0.00	38.13	100.0	0.00	38.13	0.00	38.13
01/03/2018	#07500300761	PIONEER FUND INC-CL A	0.00	114.94	100.0	0.00	114.94	0.00	114.94
01/03/2018	#07500301257	PIONEER FUND INC-CL A	0.00	3.10	100.0	0.00	3.10	0.00	3.10
01/03/2018	#07500301270	PIONEER FUND INC-CL A	0.00	8.68	100.0	0.00	8.68	0.00	8.68
01/03/2018	#07500301577	PIONEER FUND INC-CL A	0.00	12.92	100.0	0.00	12.92	0.00	12.92
01/03/2018	#07500305283	PIONEER FUND INC-CL A	0.00	1.01	100.0	0.00	1.01	0.00	1.01
01/03/2018	#07500306321	PIONEER FUND INC-CL A	0.00	5.88	100.0	0.00	5.88	0.00	5.88
01/03/2018	#07500307483	PIONEER FUND INC-CL A	0.00	1.47	100.0	0.00	1.47	0.00	1.47
01/03/2018	#07522900171	PIONEER FUNDAMENTAL GROWTH	0.00	123.12	100.0	0.00	123.12	0.00	123.12
01/03/2018	#07522900172	PIONEER FUNDAMENTAL GROWTH	0.00	10.29	100.0	0.00	10.29	0.00	10.29
01/03/2018	#07522900858	PIONEER FUNDAMENTAL GROWTH	0.00	0.79	100.0	0.00	0.79	0.00	0.79
01/03/2018	#07522901129	PIONEER FUNDAMENTAL GROWTH	0.00	0.03	100.0	0.00	0.03	0.00	0.03
01/03/2018	#07522901214	PIONEER FUNDAMENTAL GROWTH	0.00	11.35	100.0	0.00	11.35	0.00	11.35
01/03/2018	#07522901874	PIONEER FUNDAMENTAL GROWTH	0.00	10.98	100.0	0.00	10.98	0.00	10.98
01/03/2018	#07522902568	PIONEER FUNDAMENTAL GROWTH	0.00	9.74	100.0	0.00	9.74	0.00	9.74
01/03/2018	#07523100061	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	80.46	100.0	0.00	80.46	0.00	80.46
01/03/2018	#07523100228	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	5.97	100.0	0.00	5.97	0.00	5.97
01/03/2018	#07523100229	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	232.23	100.0	0.00	232.23	0.00	232.23
01/03/2018	#07523100252	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	16.26	100.0	0.00	16.26	0.00	16.26
01/03/2018	#07523100375	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	82.75	100.0	0.00	82.75	0.00	82.75
01/03/2018	#07523100600	PIONEER FUNDAMENTAL GROWTH FD CL C	0.00	1.60	100.0	0.00	1.60	0.00	1.60
01/03/2018	#07500234430	PIONEER FUNDAMENTAL VALUE FD CL C	0.00	0.70	100.0	0.00	0.70	0.00	0.70
01/03/2018	#07520600559	PIONEER FUNDAMENTAL VALUE FD CL C	0.00	0.24	100.0	0.00	0.24	0.00	0.24
01/03/2018	#07523204262	EWELLGARYD PIONEER GLOBAL EQUITY FUND A	0.00	31.92	100.0	0.00	31.92	0.00	31.92
01/03/2018	#04030012112	PIONEER GLOBAL EQUITY FUND A	0.00	0.23	100.0	0.00	0.23	0.00	0.23
01/03/2018	#04030012159	PIONEER GLOBAL EQUITY FUND A	0.00	11.79	100.0	0.00	11.79	0.00	11.79
01/03/2018	#04030012295	PIONEER GLOBAL EQUITY FUND A	0.00	5.45	100.0	0.00	5.45	0.00	5.45
01/03/2018	#07500260806	PIONEER GLOBAL EQUITY FUND A	0.00	9.98	100.0	0.00	9.98	0.00	9.98
01/03/2018	#07523201638	PIONEER GLOBAL EQUITY FUND A	0.00	1.61	100.0	0.00	1.61	0.00	1.61
01/03/2018	#07523201691	PIONEER GLOBAL EQUITY FUND A	0.00	0.05	100.0	0.00	0.05	0.00	0.05
01/03/2018	#07523201733	PIONEER GLOBAL EQUITY FUND A	0.00	2.05	100.0	0.00	2.05	0.00	2.05
01/03/2018	#07523201851	PIONEER GLOBAL EQUITY FUND A	0.00	1.07	100.0	0.00	1.07	0.00	1.07
01/03/2018	#07523204172	PIONEER GLOBAL EQUITY FUND A	0.00	30.01	100.0	0.00	30.01	0.00	30.01

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/03/2018	#07523204205	PIONEER GLOBAL EQUITY FUND A	0.00	0.81	100.0	0.00	0.81	0.00	0.81
01/03/2018	#07523204217	PIONEER GLOBAL EQUITY FUND A	0.00	21.31	100.0	0.00	21.31	0.00	21.31
01/03/2018	#07523204218	PIONEER GLOBAL EQUITY FUND A	0.00	1.16	100.0	0.00	1.16	0.00	1.16
01/03/2018	#07523204223	PIONEER GLOBAL EQUITY FUND A	0.00	1.75	100.0	0.00	1.75	0.00	1.75
01/03/2018	#07523204246	PIONEER GLOBAL EQUITY FUND A	0.00	0.34	100.0	0.00	0.34	0.00	0.34
01/03/2018	#07523204253	PIONEER GLOBAL EQUITY FUND A	0.00	9.13	100.0	0.00	9.13	0.00	9.13
01/03/2018	#07523204254	PIONEER GLOBAL EQUITY FUND A	0.00	1.21	100.0	0.00	1.21	0.00	1.21
01/03/2018	#07523204285	PIONEER GLOBAL EQUITY FUND A	0.00	0.35	100.0	0.00	0.35	0.00	0.35
01/03/2018	#07523204340	PIONEER GLOBAL EQUITY FUND A	0.00	5.13	100.0	0.00	5.13	0.00	5.13
01/03/2018	#07523204357	PIONEER GLOBAL EQUITY FUND A	0.00	0.80	100.0	0.00	0.80	0.00	0.80
01/03/2018	#07523204416	PIONEER GLOBAL EQUITY FUND A	0.00	1.56	100.0	0.00	1.56	0.00	1.56
01/03/2018	#07523204255	PIONEER GLOBAL EQUITY FUND A	0.00	1.34	100.0	0.00	1.34	0.00	1.34
01/03/2018	#07500261695	PIONEER GLOBAL HIGH YIELD FD CL A	0.00	7.53	100.0	0.00	7.53	0.00	7.53
01/03/2018	#07500261711	PIONEER GLOBAL HIGH YIELD FD CL A	0.00	14.05	100.0	0.00	14.05	0.00	14.05
01/03/2018	#07502300721	PIONEER GLOBAL HIGH YIELD FD CL A	0.00	5.01	100.0	0.00	5.01	0.00	5.01
01/03/2018	#07502300799	PIONEER GLOBAL HIGH YIELD FD CL A	0.00	1.40	100.0	0.00	1.40	0.00	1.40
01/03/2018	#07500234426	PIONEER GLOBAL HIGH YIELD FD CL C	0.00	0.46	100.0	0.00	0.46	0.00	0.46
01/03/2018	#07505900251	PIONEER GLOBAL HIGH YIELD FD CL C	0.00	28.23	100.0	0.00	28.23	0.00	28.23
01/03/2018	#07517908892	EWELLGARYD PIONEER GROWTH OPPORTUNITIES FUND A	0.00	102.50	100.0	0.00	102.50	0.00	102.50
01/03/2018	#00621124290	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	53.97	100.0	0.00	53.97	0.00	53.97
01/03/2018	#00621256444	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	1.56	100.0	0.00	1.56	0.00	1.56
01/03/2018	#00621299921	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	0.05	100.0	0.00	0.05	0.00	0.05
01/03/2018	#04030012019	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	9.23	100.0	0.00	9.23	0.00	9.23
01/03/2018	#04030012112	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	5.62	100.0	0.00	5.62	0.00	5.62
01/03/2018	#04030012295	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	14.29	100.0	0.00	14.29	0.00	14.29
01/03/2018	#07500257091	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	10.99	100.0	0.00	10.99	0.00	10.99
01/03/2018	#07517904598	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	17.20	100.0	0.00	17.20	0.00	17.20
01/03/2018	#07517904715	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	2.35	100.0	0.00	2.35	0.00	2.35
01/03/2018	#07517904737	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	3.25	100.0	0.00	3.25	0.00	3.25
01/03/2018	#07517904985	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	0.07	100.0	0.00	0.07	0.00	0.07
01/03/2018	#07517905113	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	3.55	100.0	0.00	3.55	0.00	3.55
01/03/2018	#07517905140	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	9.59	100.0	0.00	9.59	0.00	9.59
01/03/2018	#07517905352	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	15.57	100.0	0.00	15.57	0.00	15.57
01/03/2018	#07517905542	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	1.83	100.0	0.00	1.83	0.00	1.83
01/03/2018	#07517907896	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	7.77	100.0	0.00	7.77	0.00	7.77
01/03/2018	#07517908019	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	9.97	100.0	0.00	9.97	0.00	9.97

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/03/2018	#07517908815	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	77.73	100.0	0.00	77.73	0.00	77.73
01/03/2018	#07517908875	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	7.17	100.0	0.00	7.17	0.00	7.17
01/03/2018	#07517908885	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	7.51	100.0	0.00	7.51	0.00	7.51
01/03/2018	#07517909848	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	58.48	100.0	0.00	58.48	0.00	58.48
01/03/2018	#07517904834	PIONEER GROWTH OPPORTUNITIES FUND A	0.00	7.92	100.0	0.00	7.92	0.00	7.92
01/03/2018	#07500234425	PIONEER HIGH YIELD FD CL C	0.00	0.49	100.0	0.00	0.49	0.00	0.49
01/03/2018	#00621110821	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	1.00	100.0	0.00	1.00	0.00	1.00
01/03/2018	#07504005448	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	14.59	100.0	0.00	14.59	0.00	14.59
01/03/2018	#07504005555	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	5.26	100.0	0.00	5.26	0.00	5.26
01/03/2018	#07504011460	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	4.23	100.0	0.00	4.23	0.00	4.23
01/03/2018	#07504011754	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	44.23	100.0	0.00	44.23	0.00	44.23
01/03/2018	#07504011949	PIONEER INDEPENDENCE FUND SH BEN IN	0.00	9.82	100.0	0.00	9.82	0.00	9.82
01/03/2018	#00621256444	PIONEER MID CAP VALUE FUND A	0.00	0.39	100.0	0.00	0.39	0.00	0.39
01/03/2018	#04030012019	PIONEER MID CAP VALUE FUND A	0.00	8.36	100.0	0.00	8.36	0.00	8.36
01/03/2018	#07500219895	PIONEER MID CAP VALUE FUND A	0.00	4.70	100.0	0.00	4.70	0.00	4.70
01/03/2018	#07500257006	PIONEER MID CAP VALUE FUND A	0.00	1.44	100.0	0.00	1.44	0.00	1.44
01/03/2018	#07500259724	PIONEER MID CAP VALUE FUND A	0.00	11.27	100.0	0.00	11.27	0.00	11.27
01/03/2018	#07500260048	PIONEER MID CAP VALUE FUND A	0.00	12.31	100.0	0.00	12.31	0.00	12.31
01/03/2018	#07500260681	PIONEER MID CAP VALUE FUND A	0.00	2.66	100.0	0.00	2.66	0.00	2.66
01/03/2018	#07501020807	PIONEER MID CAP VALUE FUND A	0.00	0.05	100.0	0.00	0.05	0.00	0.05
01/03/2018	#07501021670	PIONEER MID CAP VALUE FUND A	0.00	2.23	100.0	0.00	2.23	0.00	2.23
01/03/2018	#07501022022	PIONEER MID CAP VALUE FUND A	0.00	4.86	100.0	0.00	4.86	0.00	4.86
01/03/2018	#07501022901	PIONEER MID CAP VALUE FUND A	0.00	1.67	100.0	0.00	1.67	0.00	1.67
01/03/2018	#07501024021	PIONEER MID CAP VALUE FUND A	0.00	13.90	100.0	0.00	13.90	0.00	13.90
01/03/2018	#07501024982	PIONEER MID CAP VALUE FUND A	0.00	2.72	100.0	0.00	2.72	0.00	2.72
01/03/2018	#07501200670	EWELLGARYD PIONEER REAL ESTATE SHARES A	0.00	87.22	100.0	0.00	87.22	0.00	87.22
01/03/2018	#04030012019	PIONEER REAL ESTATE SHARES A	0.00	18.27	100.0	0.00	18.27	0.00	18.27
01/03/2018	#07500229359	PIONEER REAL ESTATE SHARES A	0.00	20.67	100.0	0.00	20.67	0.00	20.67
01/03/2018	#07501200014	PIONEER REAL ESTATE SHARES A	0.00	8.34	100.0	0.00	8.34	0.00	8.34
01/03/2018	#07501200195	PIONEER REAL ESTATE SHARES A	0.00	2.77	100.0	0.00	2.77	0.00	2.77
01/03/2018	#07501200420	PIONEER REAL ESTATE SHARES A	0.00	10.33	100.0	0.00	10.33	0.00	10.33
01/03/2018	#07501200569	PIONEER REAL ESTATE SHARES A	0.00	15.15	100.0	0.00	15.15	0.00	15.15
01/03/2018	#07501201451	PIONEER REAL ESTATE SHARES A	0.00	64.67	100.0	0.00	64.67	0.00	64.67
01/03/2018	#07501201932	PIONEER REAL ESTATE SHARES A	0.00	1.04	100.0	0.00	1.04	0.00	1.04
01/03/2018	#07501202084	PIONEER REAL ESTATE SHARES A	0.00	1.60	100.0	0.00	1.60	0.00	1.60
01/03/2018	#07501202673	PIONEER REAL ESTATE SHARES A	0.00	5.45	100.0	0.00	5.45	0.00	5.45

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/03/2018	#07501202827	PIONEER REAL ESTATE SHARES A	0.00	8.57	100.0	0.00	8.57	0.00	8.57
01/03/2018	#07501200864	PIONEER REAL ESTATE SHARES A	0.00	0.09	100.0	0.00	0.09	0.00	0.09
01/03/2018	#07518300167	PIONEER SER TR II AMT FREE MUNICIPA	0.00	1.26	100.0	0.00	1.26	0.00	1.26
01/03/2018	#07500260179	PIONEER SHORT TERM INCOME FUND A	0.00	6.87	100.0	0.00	6.87	0.00	6.87
01/03/2018	#00621110802	PIONEER STRATEGIC INCOME FD CL A	0.00	33.45	100.0	0.00	33.45	0.00	33.45
01/03/2018	#04030012065	PIONEER STRATEGIC INCOME FD CL A	0.00	0.08	100.0	0.00	0.08	0.00	0.08
01/03/2018	#04030012112	PIONEER STRATEGIC INCOME FD CL A	0.00	0.89	100.0	0.00	0.89	0.00	0.89
01/03/2018	#07500259755	PIONEER STRATEGIC INCOME FD CL A	0.00	20.73	100.0	0.00	20.73	0.00	20.73
01/03/2018	#07500260063	PIONEER STRATEGIC INCOME FD CL A	0.00	7.30	100.0	0.00	7.30	0.00	7.30
01/03/2018	#07500261575	PIONEER STRATEGIC INCOME FD CL A	0.00	5.04	100.0	0.00	5.04	0.00	5.04
01/03/2018	#07500261602	PIONEER STRATEGIC INCOME FD CL A	0.00	12.52	100.0	0.00	12.52	0.00	12.52
01/03/2018	#07501700392	PIONEER STRATEGIC INCOME FD CL A	0.00	2.66	100.0	0.00	2.66	0.00	2.66
01/03/2018	#07501700694	PIONEER STRATEGIC INCOME FD CL A	0.00	0.04	100.0	0.00	0.04	0.00	0.04
01/03/2018	#07501702261	PIONEER STRATEGIC INCOME FD CL A	0.00	4.70	100.0	0.00	4.70	0.00	4.70
01/03/2018	#07501702790	PIONEER STRATEGIC INCOME FD CL A	0.00	2.84	100.0	0.00	2.84	0.00	2.84
01/03/2018	#07500234424	PIONEER STRATEGIC INCOME FD CL C	0.00	0.26	100.0	0.00	0.26	0.00	0.26
01/03/2018	#07505501214	PIONEER STRATEGIC INCOME FD CL C	0.00	49.06	100.0	0.00	49.06	0.00	49.06
01/03/2018	#07505501277	PIONEER STRATEGIC INCOME FD CL C	0.00	85.31	100.0	0.00	85.31	0.00	85.31
01/04/2018	#00001342617 VARIOUS HOUSE	PIMCO 693391245	0.00	3.23	100.0	0.00	3.23	0.00	3.23
01/04/2018	#00001342617 VARIOUS HOUSE	PIMCO 693391393	0.00	15.38	100.0	0.00	15.38	0.00	15.38
01/04/2018	#00001342617 VARIOUS HOUSE	PIMCO EMERGING MARKETS BOND A	0.00	1.73	100.0	0.00	1.73	0.00	1.73
01/04/2018	#00001342617 VARIOUS HOUSE	PIMCO HIGH YIELD A	0.00	3.21	100.0	0.00	3.21	0.00	3.21
01/04/2018	#00001342617 VARIOUS HOUSE	PIMCO LONG TERM US GOVERNMENT A	0.00	1.44	100.0	0.00	1.44	0.00	1.44
01/04/2018	#00001342617 VARIOUS HOUSE	PIMCO REAL RETURN A	0.00	3.51	100.0	0.00	3.51	0.00	3.51
01/04/2018	#00001342617 VARIOUS HOUSE	PIMCO TOTAL RETURN FUND-CL A	0.00	7.52	100.0	0.00	7.52	0.00	7.52
01/04/2018	#07050080761	PIMCO TOTAL RETURN FUND-CL A	0.00	14.54	100.0	0.00	14.54	0.00	14.54
01/04/2018	#07050080761	PIMCO TOTAL RETURN FUND-CL C	0.00	1.48	100.0	0.00	1.48	0.00	1.48
01/04/2018	#07050080761	PIMCO TOTAL RETURN FUND-CL C	0.00	3.86	100.0	0.00	3.86	0.00	3.86
01/08/2018	# HENNING, JERRY	DAIN TRAILER	0.00	17.35	100.0	0.00	17.35	0.00	17.35
01/09/2018	# VARIES, CUSTOMER	DAIN TRAILER	0.00	0.40	100.0	0.00	0.40	0.00	0.40
01/10/2018	# THORPE, JANE	DAIN TRAILER	0.00	1.43	100.0	0.00	1.43	0.00	1.43
01/10/2018	# VANZILE, DEREK	DAIN TRAILER	0.00	2.68	100.0	0.00	2.68	0.00	2.68
01/10/2018	# BRICKNER, DIANA	DAIN TRAILER	0.00	5.12	100.0	0.00	5.12	0.00	5.12
01/10/2018	# BRICKNER, DIANA	DAIN TRAILER	0.00	0.37	100.0	0.00	0.37	0.00	0.37
01/10/2018	# WHITE, SHELBY	DAIN TRAILER	0.00	11.52	100.0	0.00	11.52	0.00	11.52
01/10/2018	# WHITE, SHELBY	DAIN TRAILER	0.00	3.26	100.0	0.00	3.26	0.00	3.26

COMMISSION STATEMENT for LPFS HOUSE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018	# WILLIS, BARBARA	DAIN TRAILER	0.00	0.50	100.0	0.00	0.50	0.00	0.50
01/10/2018	# WILLIS, BARBARA	DAIN TRAILER	0.00	1.66	100.0	0.00	1.66	0.00	1.66
01/10/2018	# JACOBS, KARL	DAIN TRAILER	0.00	1.52	100.0	0.00	1.52	0.00	1.52
01/10/2018	# ARMSTRONG, MERILEE	DAIN TRAILER	0.00	0.02	100.0	0.00	0.02	0.00	0.02
01/10/2018	# BOCK, MARION	DAIN TRAILER	0.00	1.14	100.0	0.00	1.14	0.00	1.14
01/10/2018	# BRYANT, MORGAN	DAIN TRAILER	0.00	4.94	100.0	0.00	4.94	0.00	4.94
01/10/2018	# FRENCH, KENNER	DAIN TRAILER	0.00	0.29	100.0	0.00	0.29	0.00	0.29
01/10/2018	# PAGSIAT, ARVIN	DAIN TRAILER	0.00	0.40	100.0	0.00	0.40	0.00	0.40
01/10/2018	# PAGSIAT, ARVIN	DAIN TRAILER	0.00	0.45	100.0	0.00	0.45	0.00	0.45
01/10/2018	# PAGSIAT, ARVIN	DAIN TRAILER	0.00	0.36	100.0	0.00	0.36	0.00	0.36
01/10/2018	# SMITH, MARGARET	DAIN TRAILER	0.00	3.80	100.0	0.00	3.80	0.00	3.80
01/10/2018	# WICK, CHRISTOPHER	DAIN TRAILER	0.00	8.27	100.0	0.00	8.27	0.00	8.27
01/10/2018	# HERZICK, JERRY	DAIN TRAILER	0.00	4.20	100.0	0.00	4.20	0.00	4.20
01/10/2018	# HERZICK, JERRY	DAIN TRAILER	0.00	19.60	100.0	0.00	19.60	0.00	19.60
01/10/2018	# MAYER, LEOTA	DAIN TRAILER	0.00	12.53	100.0	0.00	12.53	0.00	12.53
01/10/2018	# CASTRO, NANCY	DAIN TRAILER	0.00	7.42	100.0	0.00	7.42	0.00	7.42
01/10/2018	# GONZALEZ, GEORGE	DAIN TRAILER	0.00	3.88	100.0	0.00	3.88	0.00	3.88
01/10/2018	# GONZALEZ, GEORGE	DAIN TRAILER	0.00	9.27	100.0	0.00	9.27	0.00	9.27
01/10/2018	# MCGARRAH, DENNIS	DAIN TRAILER	0.00	1.50	100.0	0.00	1.50	0.00	1.50
01/10/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.48	100.0	0.00	0.48	0.00	0.48
01/10/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	1.09	100.0	0.00	1.09	0.00	1.09
01/10/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.76	100.0	0.00	0.76	0.00	0.76
01/10/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	1.05	100.0	0.00	1.05	0.00	1.05
01/10/2018	# HORTON, KATHY	DAIN TRAILER	0.00	24.10	100.0	0.00	24.10	0.00	24.10
01/10/2018	# ALVES, ELMIRA	DAIN TRAILER	0.00	7.80	100.0	0.00	7.80	0.00	7.80
01/10/2018	# BOWLEY, KAREN	DAIN TRAILER	0.00	1.01	100.0	0.00	1.01	0.00	1.01
01/10/2018	# BOWLEY, KAREN	DAIN TRAILER	0.00	0.36	100.0	0.00	0.36	0.00	0.36
01/10/2018	# BOWLEY, KAREN	DAIN TRAILER	0.00	0.89	100.0	0.00	0.89	0.00	0.89
01/10/2018	# BOWLEY, KAREN	DAIN TRAILER	0.00	2.50	100.0	0.00	2.50	0.00	2.50
01/10/2018	# SARGENT, HARRY	DAIN TRAILER	0.00	9.87	100.0	0.00	9.87	0.00	9.87
01/10/2018	# MARTIN, JILL	DAIN TRAILER	0.00	5.31	100.0	0.00	5.31	0.00	5.31
01/10/2018	# MARTIN, JILL	DAIN TRAILER	0.00	6.58	100.0	0.00	6.58	0.00	6.58
01/10/2018	# MARTIN, JILL	DAIN TRAILER	0.00	4.47	100.0	0.00	4.47	0.00	4.47
01/10/2018	# MARTIN, JILL	DAIN TRAILER	0.00	0.35	100.0	0.00	0.35	0.00	0.35
01/10/2018	# MARTIN, JILL	DAIN TRAILER	0.00	1.50	100.0	0.00	1.50	0.00	1.50
01/10/2018	# BOHANAN, LINDA	DAIN TRAILER	0.00	4.42	100.0	0.00	4.42	0.00	4.42

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.37	100.0	0.00	0.37	0.00	0.37
01/10/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.74	100.0	0.00	0.74	0.00	0.74
01/10/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.83	100.0	0.00	0.83	0.00	0.83
01/10/2018	# GALLENTINE, GENE	DAIN TRAILER	0.00	1.47	100.0	0.00	1.47	0.00	1.47
01/10/2018	# JOHNSON, DANIEL	DAIN TRAILER	0.00	3.79	100.0	0.00	3.79	0.00	3.79
01/10/2018	# JOHNSON, DANIEL	DAIN TRAILER	0.00	3.72	100.0	0.00	3.72	0.00	3.72
01/10/2018	# HAVENER, RANDAL	DAIN TRAILER	0.00	5.24	100.0	0.00	5.24	0.00	5.24
01/10/2018	# HUSTON, TOLLIVER	DAIN TRAILER	0.00	7.57	100.0	0.00	7.57	0.00	7.57
01/10/2018	# MYERS, STANLEY	DAIN TRAILER	0.00	13.80	100.0	0.00	13.80	0.00	13.80
01/10/2018	# MYERS, STANLEY	DAIN TRAILER	0.00	39.24	100.0	0.00	39.24	0.00	39.24
01/10/2018	# MYERS, STANLEY	DAIN TRAILER	0.00	2.66	100.0	0.00	2.66	0.00	2.66
01/10/2018	# KEAU, ALEXIS	DAIN TRAILER	0.00	2.57	100.0	0.00	2.57	0.00	2.57
01/10/2018	# GALLAGHER, JAMES	DAIN TRAILER	0.00	5.30	100.0	0.00	5.30	0.00	5.30
01/10/2018	# MEDALEN, TERRY	DAIN TRAILER	0.00	2.58	100.0	0.00	2.58	0.00	2.58
01/10/2018	# MARTINO, JOSEPH	DAIN TRAILER	0.00	2.49	100.0	0.00	2.49	0.00	2.49
01/10/2018	# MARTINO, JOSEPH	DAIN TRAILER	0.00	26.14	100.0	0.00	26.14	0.00	26.14
01/10/2018	# MARTINO, JOSEPH	DAIN TRAILER	0.00	75.35	100.0	0.00	75.35	0.00	75.35
01/10/2018	# MARTINO, JOSEPH	DAIN TRAILER	0.00	53.52	100.0	0.00	53.52	0.00	53.52
01/10/2018	# BECKLES, LIONEL	DAIN TRAILER	0.00	0.52	100.0	0.00	0.52	0.00	0.52
01/10/2018	# BECKLES, LIONEL	DAIN TRAILER	0.00	2.58	100.0	0.00	2.58	0.00	2.58
01/10/2018	# BECKLES, LIONEL	DAIN TRAILER	0.00	0.27	100.0	0.00	0.27	0.00	0.27
01/10/2018	# KELLY, RICHARD	DAIN TRAILER	0.00	4.55	100.0	0.00	4.55	0.00	4.55
01/10/2018	# JARVIS, RACHEL	DAIN TRAILER	0.00	6.84	100.0	0.00	6.84	0.00	6.84
01/10/2018	# STOUT, RHONDA	DAIN TRAILER	0.00	2.16	100.0	0.00	2.16	0.00	2.16
01/10/2018	# STOUT, RHONDA	DAIN TRAILER	0.00	7.16	100.0	0.00	7.16	0.00	7.16
01/10/2018	# JARVIS, RACHEL	DAIN TRAILER	0.00	3.64	100.0	0.00	3.64	0.00	3.64
01/10/2018	# JARVIS, RACHEL	DAIN TRAILER	0.00	3.79	100.0	0.00	3.79	0.00	3.79
01/10/2018	# MORRIS, JOHN	DAIN TRAILER	0.00	1.33	100.0	0.00	1.33	0.00	1.33
01/10/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	75.14	100.0	0.00	75.14	0.00	75.14
01/10/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	1.13	100.0	0.00	1.13	0.00	1.13
01/10/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	2.23	100.0	0.00	2.23	0.00	2.23
01/10/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	27.74	100.0	0.00	27.74	0.00	27.74
01/10/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	1.43	100.0	0.00	1.43	0.00	1.43
01/10/2018	# ARASE, ANNABEL	DAIN TRAILER	0.00	0.82	100.0	0.00	0.82	0.00	0.82
01/10/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.45	100.0	0.00	1.45	0.00	1.45
01/10/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.29	100.0	0.00	1.29	0.00	1.29

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.86	100.0	0.00	1.86	0.00	1.86
01/10/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.98	100.0	0.00	1.98	0.00	1.98
01/10/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.89	100.0	0.00	1.89	0.00	1.89
01/10/2018	# WROTSLAVSKY, MAGDA	DAIN TRAILER	0.00	0.97	100.0	0.00	0.97	0.00	0.97
01/10/2018	# VANDEVANter, SARAH	DAIN TRAILER	0.00	7.15	100.0	0.00	7.15	0.00	7.15
01/10/2018	# VANDEVANter, SARAH	DAIN TRAILER	0.00	0.77	100.0	0.00	0.77	0.00	0.77
01/10/2018	# VANDEVANter, SARAH	DAIN TRAILER	0.00	0.52	100.0	0.00	0.52	0.00	0.52
01/10/2018	# SARGENT, DEBRA	DAIN TRAILER	0.00	2.65	100.0	0.00	2.65	0.00	2.65
01/10/2018	# KELLY, RICHARD	DAIN TRAILER	0.00	5.56	100.0	0.00	5.56	0.00	5.56
01/10/2018	# KELLY, RICHARD	DAIN TRAILER	0.00	3.07	100.0	0.00	3.07	0.00	3.07
01/10/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.40	100.0	0.00	0.40	0.00	0.40
01/10/2018	# AL MEIER LIVING TRUS	DAIN TRAILER	0.00	2.60	100.0	0.00	2.60	0.00	2.60
01/10/2018	# RUTTER, DAVID	DAIN TRAILER	0.00	0.98	100.0	0.00	0.98	0.00	0.98
01/10/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	3.92	100.0	0.00	3.92	0.00	3.92
01/10/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	0.64	100.0	0.00	0.64	0.00	0.64
01/10/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	3.30	100.0	0.00	3.30	0.00	3.30
01/10/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	1.83	100.0	0.00	1.83	0.00	1.83
01/10/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	20.18	100.0	0.00	20.18	0.00	20.18
01/10/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	3.09	100.0	0.00	3.09	0.00	3.09
01/10/2018	# SALES, MIRIAM	DAIN TRAILER	0.00	3.92	100.0	0.00	3.92	0.00	3.92
01/10/2018	# SALES, MIRIAM	DAIN TRAILER	0.00	0.65	100.0	0.00	0.65	0.00	0.65
01/10/2018	# SCOTT, JIM	DAIN TRAILER	0.00	13.02	100.0	0.00	13.02	0.00	13.02
01/10/2018	# GUARANTEE & TRUST CO	DAIN TRAILER	0.00	2.25	100.0	0.00	2.25	0.00	2.25
01/10/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.76	100.0	0.00	2.76	0.00	2.76
01/10/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.16	100.0	0.00	2.16	0.00	2.16
01/10/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.40	100.0	0.00	2.40	0.00	2.40
01/10/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	1.83	100.0	0.00	1.83	0.00	1.83
01/10/2018	# LAURA CAVALUZZO TTEE	DAIN TRAILER	0.00	1.67	100.0	0.00	1.67	0.00	1.67
01/10/2018	# LAURA CAVALUZZO TTEE	DAIN TRAILER	0.00	1.69	100.0	0.00	1.69	0.00	1.69
01/10/2018	# CRAWFORD, JOSHUA	DAIN TRAILER	0.00	0.69	100.0	0.00	0.69	0.00	0.69
01/10/2018	# CRAWFORD, JOSHUA	DAIN TRAILER	0.00	1.11	100.0	0.00	1.11	0.00	1.11
01/10/2018	# RUTTER, DAVID	DAIN TRAILER	50.00	0.00	100.0	0.00	0.00	0.00	0.00
01/11/2018	#00035403483 VARIOUS HOUSE	INVESTED INCOME	0.00	0.40	100.0	0.00	0.40	0.00	0.40
01/11/2018	#00035529535 VARIOUS HOUSE	IVY BALANCED A	0.00	1.34	100.0	0.00	1.34	0.00	1.34
01/11/2018	#00026707075 VARIOUS HOUSE	IVY CORE EQUITY FUND CLASS A	0.00	2.33	100.0	0.00	2.33	0.00	2.33
01/11/2018	#00032423751 VARIOUS HOUSE	IVY CORE EQUITY FUND CLASS A	0.00	2.36	100.0	0.00	2.36	0.00	2.36

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/11/2018	#00032430510	VARIOUS HOUSE	IVY CORE EQUITY FUND CLASS A	0.00	0.33	100.0	0.00	0.33	0.33
01/11/2018	#00035529535	VARIOUS HOUSE	IVY CORE EQUITY FUND CLASS A	0.00	1.96	100.0	0.00	1.96	1.96
01/11/2018	#00032423751	VARIOUS HOUSE	IVY CUNDILL GLOBAL VALUE A	0.00	1.65	100.0	0.00	1.65	1.65
01/11/2018	#00032430510	VARIOUS HOUSE	IVY CUNDILL GLOBAL VALUE A	0.00	0.29	100.0	0.00	0.29	0.29
01/11/2018	#00035529535	VARIOUS HOUSE	IVY CUNDILL GLOBAL VALUE A	0.00	1.26	100.0	0.00	1.26	1.26
01/11/2018	#00035700837	VARIOUS HOUSE	IVY CUNDILL GLOBAL VALUE A	0.00	2.53	100.0	0.00	2.53	2.53
01/11/2018	#00035948969	VARIOUS HOUSE	IVY CUNDILL GLOBAL VALUE A	0.00	5.58	100.0	0.00	5.58	5.58
01/11/2018	#00032423751	VARIOUS HOUSE	IVY DIVIDEND OPPORTUNITIES CL A	0.00	1.04	100.0	0.00	1.04	1.04
01/11/2018	#00036191699	VARIOUS HOUSE	IVY ENERGY A	0.00	2.23	100.0	0.00	2.23	2.23
01/11/2018	#00032423751	VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.65	100.0	0.00	1.65	1.65
01/11/2018	#00032430510	VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.33	100.0	0.00	0.33	0.33
01/11/2018	#00035529533	VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.19	100.0	0.00	1.19	1.19
01/11/2018	#00035890872	VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	3.81	100.0	0.00	3.81	3.81
01/11/2018	#00035927149	VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	0.87	100.0	0.00	0.87	0.87
01/11/2018	#00035944054	VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	33.79	100.0	0.00	33.79	33.79
01/11/2018	#00035948969	VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	5.45	100.0	0.00	5.45	5.45
01/11/2018	#00036027312	VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.47	100.0	0.00	1.47	1.47
01/11/2018	#00036027315	VARIOUS HOUSE	IVY FDS INC ASSET STRATEGY FD CL A	0.00	1.47	100.0	0.00	1.47	1.47
01/11/2018	#00032423751	VARIOUS HOUSE	IVY FDS INC HIGH INCOME FD CL A	0.00	2.14	100.0	0.00	2.14	2.14
01/11/2018	#00032430510	VARIOUS HOUSE	IVY FDS INC HIGH INCOME FD CL A	0.00	1.53	100.0	0.00	1.53	1.53
01/11/2018	#00035529535	VARIOUS HOUSE	IVY FDS INC HIGH INCOME FD CL A	0.00	1.79	100.0	0.00	1.79	1.79
01/11/2018	#00035927149	VARIOUS HOUSE	IVY FDS INC HIGH INCOME FD CL A	0.00	2.58	100.0	0.00	2.58	2.58
01/11/2018	#00035948969	VARIOUS HOUSE	IVY FDS INC HIGH INCOME FD CL A	0.00	6.67	100.0	0.00	6.67	6.67
01/11/2018	#00035951824	VARIOUS HOUSE	IVY FDS INC HIGH INCOME FD CL A	0.00	0.93	100.0	0.00	0.93	0.93
01/11/2018	#00036087593	VARIOUS	IVY FDS INC HIGH INCOME FD CL A	0.00	0.01	100.0	0.00	0.01	0.01
01/11/2018	#00032425931	STILLSARA	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.03	100.0	0.00	1.03	1.03
01/11/2018	#00035529535	VARIOUS HOUSE	IVY FDS INC SCIENCE & TECH FD CL A	0.00	3.12	100.0	0.00	3.12	3.12
01/11/2018	#00036027312	VARIOUS HOUSE	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.37	100.0	0.00	1.37	1.37
01/11/2018	#00036027315	VARIOUS HOUSE	IVY FDS INC SCIENCE & TECH FD CL A	0.00	1.37	100.0	0.00	1.37	1.37
01/11/2018	#00036257440	REED, JOHN	IVY FDS INC SCIENCE & TECH FD CL A	0.00	4.51	100.0	0.00	4.51	4.51
01/11/2018	#00035777858	CAROLA,	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.12	100.0	0.00	1.12	1.12
01/11/2018	#00035890872	VARIOUS HOUSE	IVY GLOBAL NATURAL RESOURCES FUND-C	0.00	1.09	100.0	0.00	1.09	1.09
01/11/2018	#00035924285	VARIOUS HOUSE	IVY HIGH INCOME C	0.00	5.62	100.0	0.00	5.62	5.62
01/11/2018	#00032425931	STILLSARA	IVY PACIFIC OPPORTUNITIES A	0.00	6.12	100.0	0.00	6.12	6.12
01/11/2018	#00032430510	VARIOUS HOUSE	IVY PACIFIC OPPORTUNITIES A	0.00	0.44	100.0	0.00	0.44	0.44
01/11/2018	#00035890872	VARIOUS HOUSE	IVY PACIFIC OPPORTUNITIES A	0.00	2.67	100.0	0.00	2.67	2.67

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/11/2018	#00035948969	VARIOUS HOUSE	IVY PACIFIC OPPORTUNITIES A	0.00	7.39	100.0	0.00	7.39	7.39
01/11/2018	#00036027312	VARIOUS HOUSE	IVY PACIFIC OPPORTUNITIES A	0.00	2.82	100.0	0.00	2.82	2.82
01/11/2018	#00036027315	VARIOUS HOUSE	IVY PACIFIC OPPORTUNITIES A	0.00	2.82	100.0	0.00	2.82	2.82
01/11/2018	#00036257436	VARIOUS HOUSE	IVY PACIFIC OPPORTUNITIES A	0.00	1.50	100.0	0.00	1.50	1.50
01/12/2018	# VARIES, CUSTOMER	DAIN TRAILER		0.00	5.01	100.0	0.00	5.01	5.01
01/12/2018	# BOWLEY, KAREN	DAIN TRAILER		0.00	0.61	100.0	0.00	0.61	0.61
01/12/2018	# LAKEY, KEVIN	DAIN TRAILER		0.00	1.35	100.0	0.00	1.35	1.35
01/12/2018	# SHARMA, MADHU	DAIN TRAILER		0.00	5.20	100.0	0.00	5.20	5.20
01/12/2018	# MEDALEN, TERRY	DAIN TRAILER		35.00	0.00	100.0	0.00	0.00	0.00
01/16/2018	#00002132507	VARIOUS BARRONE	JOHN HANCOCK FDS II LIFESTYLE CONSE	0.00	6.63	100.0	0.00	6.63	6.63
01/16/2018	#VR02018564	GILLILAND, KEVIN	PL-3	0.00	6.14	100.0	0.00	6.14	6.14
01/16/2018	#VR02018565	GILLILAND,	PL-3	0.00	6.14	100.0	0.00	6.14	6.14
01/16/2018	#VR00055810	COLVIN, TORY	PL-4	0.00	9.32	100.0	0.00	9.32	9.32
01/16/2018	#VR01020666	SPALINGER,	PL-5	0.00	10.81	100.0	0.00	10.81	10.81
01/16/2018	#00026023772	VARIOUS HOUSE	US GLOBAL LEADERS GROWTH A	0.00	3.70	100.0	0.00	3.70	3.70
01/17/2018	#VR00057244	COLVIN, TORY	PL-4	0.00	39.96	100.0	0.00	39.96	39.96
01/22/2018	# JENSEN, MARGHERITA	DAIN TRAILER		0.00	5.12	100.0	0.00	5.12	5.12
01/22/2018	# WILLARD SYLVAN GROVE	DAIN TRAILER		0.00	23.68	100.0	0.00	23.68	23.68
01/23/2018	# VARIES, CUSTOMER	DAIN TRAILER		0.00	0.40	100.0	0.00	0.40	0.40
01/23/2018	# VARIES, CUSTOMER	DAIN TRAILER		0.00	0.40	100.0	0.00	0.40	0.40
01/26/2018	# SHARMA, MADHU	DAIN TRAILER		0.00	1.35	100.0	0.00	1.35	1.35
01/29/2018	#VR06074952	SHAW, SONYA	PL	0.00	29.84	100.0	0.00	29.84	29.84
01/29/2018	#VR01011472	TRUDELL, CAROLYN	PL.1	0.00	22.00	100.0	0.00	22.00	22.00
01/31/2018	#000000001700374		**MUTUAL GLOBAL DISCOVERY FUND	0.00	4.62	100.0	0.00	4.62	4.62
01/31/2018	#000060171366883	TSE, KIN	FRANKLIN GROWTH FUND - CLASS A	0.00	20.84	100.0	0.00	20.84	20.84
01/31/2018	#000060171367861	TSE, KIN	FRANKLIN GROWTH FUND - CLASS A	0.00	4.30	100.0	0.00	4.30	4.30
01/31/2018	#000060172469096	TSE, KIN	FRANKLIN GROWTH FUND - CLASS A	0.00	27.15	100.0	0.00	27.15	27.15
01/31/2018	#000060175479443	TSE, KIN	FRANKLIN GROWTH FUND - CLASS A	0.00	135.31	100.0	0.00	135.31	135.31
01/31/2018	#000060176286229	TSE, KIN	FRANKLIN GROWTH FUND - CLASS A	0.00	7.93	100.0	0.00	7.93	7.93
01/31/2018	#000060176298364	TSE, KIN	FRANKLIN GROWTH FUND - CLASS A	0.00	30.32	100.0	0.00	30.32	30.32
01/31/2018	#000060176351468	TSE, KIN	FRANKLIN GROWTH FUND - CLASS A	0.00	5.26	100.0	0.00	5.26	5.26
01/31/2018	#000000009314699	HECTO	FRANKLIN INCOME FDS SER CL C	0.00	23.56	100.0	0.00	23.56	23.56
01/31/2018	#000090198923802	CHEE, WON	FRANKLIN INCOME SERIES CL A	0.00	9.78	100.0	0.00	9.78	9.78
01/31/2018	#000090228908187	CHEE, WON	FRANKLIN INCOME SERIES CL A	0.00	1.28	100.0	0.00	1.28	1.28
01/31/2018	#000012010183888	HOUSEVAR	FRANKLIN MN TAX FR INCOME FUND - CL	0.00	44.85	100.0	0.00	44.85	44.85
01/31/2018	#000015815357953	HOUSEVAR	FRANKLIN RISING DIVS FD CL A	0.00	0.87	100.0	0.00	0.87	0.87

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/31/2018	#000011217553576	HOUSEVAR FRANKLIN TEMPLETON FUNDS CALIF TAX	0.00	22.38	100.0	0.00	22.38	0.00	22.38
01/31/2018	#000000000481782	MIKELSON, FRANKLIN TEMPLETON FUNDS CALIF TAX	0.00	56.18	100.0	0.00	56.18	0.00	56.18
01/31/2018	#000011600671987	HOUSEVAR FRANKLIN TEMPLETON FUNDS FED TAX FR	0.00	10.84	100.0	0.00	10.84	0.00	10.84
01/31/2018	#000013000228683	HOUSEVAR FRANKLIN TEMPLETON FUNDS HI YLD TX	0.00	16.31	100.0	0.00	16.31	0.00	16.31
01/31/2018	#000013015738454	HOUSEVAR FRANKLIN TEMPLETON FUNDS HI YLD TX	0.00	1.98	100.0	0.00	1.98	0.00	1.98
01/31/2018	#000020311172316	HOUSEVAR FRANKLIN TEMPLETON FUNDS NATURAL RE	0.00	4.29	100.0	0.00	4.29	0.00	4.29
01/31/2018	#000011501753308	HOUSEVAR FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	6.80	100.0	0.00	6.80	0.00	6.80
01/31/2018	#000011501757941	HOUSEVAR FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	46.77	100.0	0.00	46.77	0.00	46.77
01/31/2018	#000011501760707	HOUSEVAR FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	96.11	100.0	0.00	96.11	0.00	96.11
01/31/2018	#000011502509859	HOUSEVAR FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	23.25	100.0	0.00	23.25	0.00	23.25
01/31/2018	#000011502676320	HOUSEVAR FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	8.20	100.0	0.00	8.20	0.00	8.20
01/31/2018	#000011502712101	HOUSEVAR FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	10.02	100.0	0.00	10.02	0.00	10.02
01/31/2018	#000011503122720	HOUSEVAR FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	11.74	100.0	0.00	11.74	0.00	11.74
01/31/2018	#000011503127683	HOUSEVAR FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	20.27	100.0	0.00	20.27	0.00	20.27
01/31/2018	#000011503203557	HOUSEVAR FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	17.93	100.0	0.00	17.93	0.00	17.93
01/31/2018	#000011503283504	HOUSEVAR FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	9.28	100.0	0.00	9.28	0.00	9.28
01/31/2018	#000011515658911	HOUSEVAR FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	9.22	100.0	0.00	9.22	0.00	9.22
01/31/2018	#000010150769573	HOUSEVAR FRANKLIN TEMPLETON FUNDS U S GOVT S	0.00	3.03	100.0	0.00	3.03	0.00	3.03
01/31/2018	#000010163940847	HOUSEVAR FRANKLIN TEMPLETON FUNDS U S GOVT S	0.00	13.90	100.0	0.00	13.90	0.00	13.90
01/31/2018	#000010166203140	HOUSEVAR FRANKLIN TEMPLETON FUNDS U S GOVT S	0.00	35.60	100.0	0.00	35.60	0.00	35.60
01/31/2018	#000070184385535	TSE, KIN FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	21.19	100.0	0.00	21.19	0.00	21.19
01/31/2018	#000070184452006	TSE, KIN FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	46.91	100.0	0.00	46.91	0.00	46.91
01/31/2018	#000070186366499	TSE, KIN FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	29.19	100.0	0.00	29.19	0.00	29.19
01/31/2018	#000070186368339	TSE, KIN FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	37.25	100.0	0.00	37.25	0.00	37.25
01/31/2018	#000070186489398	TSE, KIN FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	18.27	100.0	0.00	18.27	0.00	18.27
01/31/2018	#000070186862440	TSE, KIN FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	5.66	100.0	0.00	5.66	0.00	5.66
01/31/2018	#000070187816508	TSE, KIN FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	2.27	100.0	0.00	2.27	0.00	2.27
01/31/2018	#000070187839292	CHEE, WON FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	5.17	100.0	0.00	5.17	0.00	5.17
01/31/2018	#000070187983486	CHEE, WON FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	11.04	100.0	0.00	11.04	0.00	11.04
01/31/2018	#000000000282424	LAU TEMPLETON CHINA WORLD FUND CLASS A	0.00	4.41	100.0	0.00	4.41	0.00	4.41
01/31/2018	#0000000000624080	LAU TEMPLETON CHINA WORLD FUND CLASS A	0.00	4.27	100.0	0.00	4.27	0.00	4.27
01/31/2018	#0000000000662522	LAU TEMPLETON CHINA WORLD FUND CLASS A	0.00	5.06	100.0	0.00	5.06	0.00	5.06
01/31/2018	#0000000000914782	LAU TEMPLETON CHINA WORLD FUND CLASS A	0.00	3.76	100.0	0.00	3.76	0.00	3.76
01/31/2018	#0000000000914794	LAU TEMPLETON CHINA WORLD FUND CLASS A	0.00	3.76	100.0	0.00	3.76	0.00	3.76
01/31/2018	#0000000003265168	HECTO TEMPLETON FUNDS INC FOREIGN FUND CL	0.00	0.00	100.0	0.00	0.00	0.00	0.00
01/31/2018	#000005970682897	HOUSEVAR TEMPLETON GL OPPS TR FUND - CLASS A	0.00	0.45	100.0	0.00	0.45	0.00	0.45

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/31/2018	#000008101124862	HOUSEVAR	TEMPLETON GROWTH FUND INC CL A	0.00	9.61	100.0	0.00	9.61	0.00	9.61
01/31/2018	#000001979469566	HOUSEVAR	TEMPLETON GROWTH FUND INC CL A	0.00	0.87	100.0	0.00	0.87	0.00	0.87
01/31/2018	#0000000000833968		TEMPLETON GROWTH FUND INC CL C	0.00	4.86	100.0	0.00	4.86	0.00	4.86
01/31/2018	#0000000000833970		TEMPLETON GROWTH FUND INC CL C	0.00	4.86	100.0	0.00	4.86	0.00	4.86
01/31/2018	#000000001908514		TEMPLETON GROWTH FUND INC CL C	0.00	3.26	100.0	0.00	3.26	0.00	3.26
01/31/2018	#000000002335418		TEMPLETON GROWTH FUND INC CL C	0.00	2.65	100.0	0.00	2.65	0.00	2.65
01/31/2018	#000000002335432		TEMPLETON GROWTH FUND INC CL C	0.00	2.65	100.0	0.00	2.65	0.00	2.65
01/31/2018	#000000002987156		TEMPLETON GROWTH FUND INC CL C	0.00	2.17	100.0	0.00	2.17	0.00	2.17
02/01/2018	#VR02020599		694474883	0.00	4.13	100.0	0.00	4.13	0.00	4.13
02/07/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADV HIGH INCOME-CL A	0.00	25.43	100.0	0.00	25.43	0.00	25.43
02/07/2018	#00700876071	VARIOUS HOUSE	FA 529 COLLEGE PORT-CL D	0.00	2.07	100.0	0.00	2.07	0.00	2.07
02/07/2018	#00511602831	VARIOUS HOUSE	FA ADVISOR SHORT TERM BOND FUND	0.00	4.90	100.0	0.00	4.90	0.00	4.90
02/07/2018	#01468970379	VARIOUS HOUSE	FA MUNI INCOME A	0.00	26.65	100.0	0.00	26.65	0.00	26.65
02/07/2018	#01468970379	VARIOUS HOUSE	FA MUNI INCOME C	0.00	86.41	100.0	0.00	86.41	0.00	86.41
02/07/2018	#01468970379	VARIOUS HOUSE	FA REAL ESTATE INCOME FUND	0.00	93.09	100.0	0.00	93.09	0.00	93.09
02/07/2018	#00500331723	VARIOUS HOUSE	FA SHORT TERM BOND C	0.00	1.34	100.0	0.00	1.34	0.00	1.34
02/07/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADV BALANCED-CL T	0.00	0.50	100.0	0.00	0.50	0.00	0.50
02/07/2018	#00501330088	VARIOUS HOUSE	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.95	100.0	0.00	0.95	0.00	0.95
02/07/2018	#00501330088	VARIOUS HOUSE	FIDELITY ADV COMM EQUIPMENT-CL A	0.00	0.21	100.0	0.00	0.21	0.00	0.21
02/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV EMERGING MRKTS INC-CL	0.00	0.85	100.0	0.00	0.85	0.00	0.85
02/07/2018	#00655923407	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.82	100.0	0.00	0.82	0.00	0.82
02/07/2018	#00689433449	ARMAND, JUDITH	FIDELITY ADV EQUITY GROWTH-CL T	0.00	13.28	100.0	0.00	13.28	0.00	13.28
02/07/2018	#00689505493	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	19.43	100.0	0.00	19.43	0.00	19.43
02/07/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.49	100.0	0.00	0.49	0.00	0.49
02/07/2018	#00700332646	BAPTISTE, MARIE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.55	100.0	0.00	0.55	0.00	0.55
02/07/2018	#00700381551	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	3.64	100.0	0.00	3.64	0.00	3.64
02/07/2018	#00006803894	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	27.46	100.0	0.00	27.46	0.00	27.46
02/07/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	0.12	100.0	0.00	0.12	0.00	0.12
02/07/2018	#00689697233	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	1.26	100.0	0.00	1.26	0.00	1.26
02/07/2018	#00689698223	LORD, SUSAN	FIDELITY ADV EQUITY INCOME-CL A	0.00	1.27	100.0	0.00	1.27	0.00	1.27
02/07/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL T	0.00	2.52	100.0	0.00	2.52	0.00	2.52
02/07/2018	#00689848828		FIDELITY ADV EQUITY VALUE-CL A	0.00	18.42	100.0	0.00	18.42	0.00	18.42
02/07/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.36	100.0	0.00	0.36	0.00	0.36
02/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.36	100.0	0.00	0.36	0.00	0.36
02/07/2018	#00689698223	LORD, SUSAN	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.07	100.0	0.00	0.07	0.00	0.07
02/07/2018	#00689848828		FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.17	100.0	0.00	0.17	0.00	0.17

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/07/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.33	100.0	0.00	0.33	0.33
02/07/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADV FLOATING RATE HIGH INC	0.00	0.15	100.0	0.00	0.15	0.15
02/07/2018	#00065009839	VARIOUS HOUSE	FIDELITY ADV GOLD-CL A	0.00	2.57	100.0	0.00	2.57	2.57
02/07/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.12	100.0	0.00	0.12	0.12
02/07/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.29	100.0	0.00	0.29	0.29
02/07/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.26	100.0	0.00	0.26	0.26
02/07/2018	#00062804968	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	2.53	100.0	0.00	2.53	2.53
02/07/2018	#00062990338	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.13	100.0	0.00	0.13	0.13
02/07/2018	#00618796379	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	1.47	100.0	0.00	1.47	1.47
02/07/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.22	100.0	0.00	0.22	0.22
02/07/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.06	100.0	0.00	0.06	0.06
02/07/2018	#00063272603	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.14	100.0	0.00	0.14	0.14
02/07/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	1.82	100.0	0.00	1.82	1.82
02/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	1.82	100.0	0.00	1.82	1.82
02/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	3.35	100.0	0.00	3.35	3.35
02/07/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.59	100.0	0.00	0.59	0.59
02/07/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.58	100.0	0.00	0.58	0.58
02/07/2018	#00655979805	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.17	100.0	0.00	0.17	0.17
02/07/2018	#00689848828		FIDELITY ADV HEALTH CARE-CL A	0.00	0.35	100.0	0.00	0.35	0.35
02/07/2018	#00689853968	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL T	0.00	0.88	100.0	0.00	0.88	0.88
02/07/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADV HIGH INCOME	0.00	86.01	100.0	0.00	86.01	86.01
02/07/2018	#00510641756	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	1.30	100.0	0.00	1.30	1.30
02/07/2018	#00700381551	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL T	0.00	0.20	100.0	0.00	0.20	0.20
02/07/2018	#00700467251	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL T	0.00	1.13	100.0	0.00	1.13	1.13
02/07/2018	#00065009839	VARIOUS HOUSE	FIDELITY ADV INTERNATIONAL VALUE-CL	0.00	0.50	100.0	0.00	0.50	0.50
02/07/2018	#00062778436	VARIOUS HOUSE	FIDELITY ADV LARGE CAP-CL A	0.00	4.43	100.0	0.00	4.43	4.43
02/07/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADV LARGE CAP-CL T	0.00	0.57	100.0	0.00	0.57	0.57
02/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	1.78	100.0	0.00	1.78	1.78
02/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.45	100.0	0.00	0.45	0.45
02/07/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.45	100.0	0.00	0.45	0.45
02/07/2018	#00501330088	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.22	100.0	0.00	0.22	0.22
02/07/2018	#00618264410	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	2.13	100.0	0.00	2.13	2.13
02/07/2018	#00655540011	LAMARRE, MARIE	FIDELITY ADV MID CAP-CL A	0.00	9.37	100.0	0.00	9.37	9.37
02/07/2018	#00689697225	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.66	100.0	0.00	0.66	0.66
02/07/2018	#00700516297	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.03	100.0	0.00	0.03	0.03
02/07/2018	#00700431885	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL T	0.00	19.93	100.0	0.00	19.93	19.93

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/07/2018	#01487227504 TRUST,	FIDELITY ADV MID CAP-CL T	0.00	3.00	100.0	0.00	3.00	0.00	3.00
02/07/2018	#01487228155 VARIOUS HOUSE	FIDELITY ADV MID CAP-CL T	0.00	0.07	100.0	0.00	0.07	0.00	0.07
02/07/2018	#00063440911 VARIOUS HOUSE	FIDELITY ADV OVERSEAS-CL A	0.00	0.31	100.0	0.00	0.31	0.00	0.31
02/07/2018	#01468970379 VARIOUS HOUSE	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	89.90	100.0	0.00	89.90	0.00	89.90
02/07/2018	#00063440911 VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	1.45	100.0	0.00	1.45	0.00	1.45
02/07/2018	#00063440929 VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.92	100.0	0.00	0.92	0.00	0.92
02/07/2018	#00064946619 VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.72	100.0	0.00	0.72	0.00	0.72
02/07/2018	#00618721112 VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.56	100.0	0.00	0.56	0.00	0.56
02/07/2018	#00618721120 VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.37	100.0	0.00	0.37	0.00	0.37
02/07/2018	#00655495752 VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	36.72	100.0	0.00	36.72	0.00	36.72
02/07/2018	#00655540011 LAMARRE, MARIE	FIDELITY ADV TECHNOLOGY-CL A	0.00	7.38	100.0	0.00	7.38	0.00	7.38
02/07/2018	#00655609956 VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.17	100.0	0.00	0.17	0.00	0.17
02/07/2018	#00655711414 VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.03	100.0	0.00	0.03	0.00	0.03
02/07/2018	#00655979805 VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.27	100.0	0.00	0.27	0.00	0.27
02/07/2018	#00689848828	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.21	100.0	0.00	0.21	0.00	0.21
02/07/2018	#00701252348 VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.49	100.0	0.00	0.49	0.00	0.49
02/07/2018	#00655923407 VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	0.43	100.0	0.00	0.43	0.00	0.43
02/07/2018	#00689853968 VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	1.42	100.0	0.00	1.42	0.00	1.42
02/07/2018	#00700332646 BAPTISTE, MARIE	FIDELITY ADV TECHNOLOGY-CL T	0.00	1.83	100.0	0.00	1.83	0.00	1.83
02/07/2018	#00700431885 VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	11.33	100.0	0.00	11.33	0.00	11.33
02/07/2018	#00655495752 VARIOUS HOUSE	FIDELITY ADV UTILITIES-CL A	0.00	5.30	100.0	0.00	5.30	0.00	5.30
02/07/2018	#00689848828	FIDELITY ADV UTILITIES-CL A	0.00	0.23	100.0	0.00	0.23	0.00	0.23
02/07/2018	#00065098758 VARIOUS HOUSE	FIDELITY ADV UTILITIES-CL T	0.00	0.88	100.0	0.00	0.88	0.00	0.88
02/07/2018	#00065098758 VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	0.40	100.0	0.00	0.40	0.00	0.40
02/07/2018	#00689698231 VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	1.84	100.0	0.00	1.84	0.00	1.84
02/07/2018	#00700381551 VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	3.75	100.0	0.00	3.75	0.00	3.75
02/07/2018	#00700467251 VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	8.82	100.0	0.00	8.82	0.00	8.82
02/07/2018	#01475366801 VARIOUS HOUSE	FIDELITY ADVISOR EMERGING MARKETS F	0.00	1.59	100.0	0.00	1.59	0.00	1.59
02/07/2018	#00689960367 VARIOUS HOUSE	FIDELITY ADVISOR ENERGY CL A	0.00	1.02	100.0	0.00	1.02	0.00	1.02
02/07/2018	#00064946619 VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.42	100.0	0.00	0.42	0.00	0.42
02/07/2018	#00065098758 VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.37	100.0	0.00	0.37	0.00	0.37
02/07/2018	#00501330088 VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.61	100.0	0.00	1.61	0.00	1.61
02/07/2018	#00618721112 VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.26	100.0	0.00	0.26	0.00	0.26
02/07/2018	#00618721120 VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.29	100.0	0.00	0.29	0.00	0.29
02/07/2018	#00618796379 VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.79	100.0	0.00	1.79	0.00	1.79
02/07/2018	#00655495752 VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	11.69	100.0	0.00	11.69	0.00	11.69

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/07/2018	#00655609956	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.28	100.0	0.00	0.28	0.00	0.28
02/07/2018	#00655610640	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.41	100.0	0.00	0.41	0.00	0.41
02/07/2018	#00689697225	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.00	100.0	0.00	1.00	0.00	1.00
02/07/2018	#00689698223	LORD, SUSAN FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.25	100.0	0.00	0.25	0.00	0.25
02/07/2018	#00700516297	VARIOUS HOUSE FIDELITY ADVISOR SER II MID CAP II	0.00	7.49	100.0	0.00	7.49	0.00	7.49
02/07/2018	#00062990338	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.20	100.0	0.00	0.20	0.00	0.20
02/07/2018	#00064946619	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.11	100.0	0.00	0.11	0.00	0.11
02/07/2018	#00618721120	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	1.38	100.0	0.00	1.38	0.00	1.38
02/07/2018	#00655071025	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	5.87	100.0	0.00	5.87	0.00	5.87
02/07/2018	#00655495752	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	6.65	100.0	0.00	6.65	0.00	6.65
02/07/2018	#00655540011	LAMARRE, MARIE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	7.60	100.0	0.00	7.60	0.00	7.60
02/07/2018	#00689697225	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.68	100.0	0.00	0.68	0.00	0.68
02/07/2018	#00655923407	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	2.40	100.0	0.00	2.40	0.00	2.40
02/07/2018	#00689683829	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.60	100.0	0.00	0.60	0.00	0.60
02/07/2018	#00700467251	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	10.63	100.0	0.00	10.63	0.00	10.63
02/07/2018	#01468970379	VARIOUS HOUSE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	27.29	100.0	0.00	27.29	0.00	27.29
02/07/2018	#00063113120	VARIOUS HOUSE FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.12	100.0	0.00	0.12	0.00	0.12
02/07/2018	#00064878069	VARIOUS HOUSE FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.50	100.0	0.00	0.50	0.00	0.50
02/07/2018	#00510641756	VARIOUS HOUSE FIDELITY ADVISORS VALUE STRATEGIES	0.00	3.73	100.0	0.00	3.73	0.00	3.73
02/07/2018	#00689698223	LORD, SUSAN FIDELITY ADVISORS VALUE STRATEGIES	0.00	1.05	100.0	0.00	1.05	0.00	1.05
02/07/2018	#00689848828	FIDELITY ADVISORS VALUE STRATEGIES	0.00	4.90	100.0	0.00	4.90	0.00	4.90
02/07/2018	#00063113120	VARIOUS HOUSE FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.29	100.0	0.00	0.29	0.00	0.29
02/07/2018	#00064946619	VARIOUS HOUSE FIDELITY HEREFORD STR TR GOVT MONEY	0.00	10.22	100.0	0.00	10.22	0.00	10.22
02/07/2018	#00656147402	VARIOUS HOUSE FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.01	100.0	0.00	0.01	0.00	0.01
02/07/2018	#01445570219	VARIOUS HOUSE FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.32	100.0	0.00	0.32	0.00	0.32
02/07/2018	#00510641756	VARIOUS HOUSE FIDELITY INVT TR	0.00	1.13	100.0	0.00	1.13	0.00	1.13
02/07/2018	#01468970379	VARIOUS HOUSE FIDELITY SECS FD REAL ESTATE INCOME	0.00	28.09	100.0	0.00	28.09	0.00	28.09
02/07/2018	#00065427064	VARIOUS HOUSE TREASURY FUND - DAILY MONEY CLASS	0.00	0.37	100.0	0.00	0.37	0.00	0.37
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$85.00	\$13,344.01		\$0.00	\$13,344.01	\$0.00	\$13,344.01
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
01/19/2018	#VM15005941	PEREZ, EMILY 694448B191 PAC IFIC LIFE	0.00	20.36	100.0	0.00	20.36	0.00	20.36
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$20.36		\$0.00	\$20.36	\$0.00	\$20.36
*** BROKER TRANSACTIONS TOTAL ***			\$3,876,063.60	\$25,200.67		\$0.00	\$25,200.67	\$279.30	\$24,921.37

BROKER COMMISSION TOTALS

Broker Transactions	\$24,921.37
Split Transactions	\$0.00
Override Transactions	\$330.58
Adjustments	\$-119.78
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$25,132.17
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