

**COMMISSION STATEMENT****January 31, 2018**

JOHN KLETT
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: K142 Terminated 12/31/2017
BRANCH: FORTITUDE INVESTMENT

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|----------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>CLEARING TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 01/19/2018 | EGAN, SUSAN | JPMORGAN LARGE-CAP GROWTH FUND CLAS | 6,000.00 | 60.00 | 22.00 | 38.00 | 75.0 | 28.50 |
| 01/22/2018 | SANDUSKY, JOHN | JPMORGAN LARGE-CAP GROWTH FUND CLAS | 14,500.00 | 145.00 | 22.00 | 123.00 | 75.0 | 92.25 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$20,500.00 | \$205.00 | \$44.00 | \$161.00 | | \$120.75 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | \$20,500.00 | \$205.00 | \$44.00 | \$161.00 | | \$120.75 |
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 01/31/2018 | | *PERSHING: PERSHING MARKUP | 0.00 | | | | | 60.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$60.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$120.75 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$60.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-1,630.68 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|--------------------|
| Balance Forward | \$-1,449.93 |
| Year-to-date Gross | \$211.03 |
| Year-to-date Earnings | \$125.27 |