

**COMMISSION STATEMENT****May 15, 2018**JOHN, R119 ROSS  
7261 W. Central Ave.BROKER #: R119  
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

| DATE   | CLIENT                | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|-----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>            |                       |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: 529 PLANS</u></b>    |                       |                                     |                      |                     |                    |                   |      |                      |
| 05/01/2018                                   | HUNTER, DEBORAH       | AM FUNDS AMERICAN MUTUAL 529A       | 50.00                | 2.13                | 0.00               | 2.13              | 92.0 | 1.96                 |
| 05/03/2018                                   | WAGONER JR, JOHN      | AM FUNDS CAPITAL INCOME BUILDER 529 | 100.00               | 5.00                | 0.00               | 5.00              | 92.0 | 4.60                 |
| 05/01/2018                                   | HUNTER, DEBORAH       | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 50.00                | 2.13                | 0.00               | 2.13              | 92.0 | 1.96                 |
| <b>* 529 PLANS SUBTOTAL *</b>                |                       |                                     | <b>\$200.00</b>      | <b>\$9.26</b>       | <b>\$0.00</b>      | <b>\$9.26</b>     |      | <b>\$8.52</b>        |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b> |                       |                                     |                      |                     |                    |                   |      |                      |
| 04/30/2018                                   | MARTIN, RACHEL        | AM FUNDS AMERICAN BALANCED A        | 157.50               | 5.91                | 0.00               | 5.91              | 92.0 | 5.44                 |
| 05/01/2018                                   | KRAUS, JENNIFER       | AM FUNDS AMERICAN HIGH-INCOME TRUST | 150.00               | 4.50                | 0.00               | 4.50              | 92.0 | 4.14                 |
| 04/26/2018                                   | JOLDRICHSEN, JOHN     | AM FUNDS BALANCED PORT A            | 5,313.48             | 265.67              | 0.00               | 265.67            | 92.0 | 244.42               |
| 04/26/2018                                   | JOLDRICHSEN, JOHN     | AM FUNDS BALANCED PORT A            | 5,013.25             | 250.66              | 0.00               | 250.66            | 92.0 | 230.61               |
| 05/03/2018                                   | BRAITHWAITE, MICHAEL  | AM FUNDS BALANCED PORT A            | 37,807.63            | 1,417.79            | 0.00               | 1,417.79          | 92.0 | 1,304.37             |
| 04/30/2018                                   | MARTIN, RACHEL        | AM FUNDS FUNDAMENTAL INVESTORS A    | 157.50               | 5.91                | 0.00               | 5.91              | 92.0 | 5.44                 |
| 04/30/2018                                   | MARTIN, RACHEL        | AM FUNDS INCOME FUND OF AMERICA A   | 157.50               | 5.91                | 0.00               | 5.91              | 92.0 | 5.44                 |
| 05/04/2018                                   | HAUSER, RONALD        | AM FUNDS INCOME FUND OF AMERICA A   | 20.00                | 0.32                | 0.00               | 0.32              | 92.0 | 0.29                 |
| 05/04/2018                                   | TARRIS, CHRISTINE     | AM FUNDS INCOME FUND OF AMERICA A   | 200.00               | 3.20                | 0.00               | 3.20              | 92.0 | 2.94                 |
| 05/04/2018                                   | MCFARLAND, BRANDON    | AM FUNDS INCOME FUND OF AMERICA A   | 100.00               | 1.60                | 0.00               | 1.60              | 92.0 | 1.47                 |
| 04/30/2018                                   | HILKENS, CHRISTOPHER  | AM FUNDS SHORT TERM BND FUND OF AM  | 65.00                | 1.30                | 0.00               | 1.30              | 92.0 | 1.20                 |
| 04/30/2018                                   | MARTIN, RACHEL        | AM FUNDS SMALLCAP WORLD A           | 52.50                | 1.97                | 0.00               | 1.97              | 92.0 | 1.81                 |
| 04/23/2018                                   | HUDSON ROSS, MICHELLE | AM FUNDS TAX-EXEMPT BOND FUND OF AM | 500.00               | 15.00               | 0.00               | 15.00             | 92.0 | 13.80                |
| 04/25/2018                                   | VALADE, JOHN          | AM FUNDS TAX-EXEMPT BOND FUND OF AM | 1,000.00             | 27.50               | 0.00               | 27.50             | 92.0 | 25.30                |
| 04/25/2018                                   | HUDSON ROSS, MICHELLE | AM FUNDS TAX-EXEMPT BOND FUND OF AM | 500.00               | 15.00               | 0.00               | 15.00             | 92.0 | 13.80                |
| 04/26/2018                                   | PLAN                  | PUTNAM MULTI CAP GROWTH A           | 25.00                | 1.25                | 0.00               | 1.25              | 92.0 | 1.15                 |
| 04/26/2018                                   | PLAN                  | PUTNAM MULTI CAP GROWTH A           | 25.00                | 1.25                | 0.00               | 1.25              | 92.0 | 1.15                 |
| <b>* MUTUAL FUNDS SUBTOTAL *</b>             |                       |                                     | <b>\$51,244.36</b>   | <b>\$2,024.74</b>   | <b>\$0.00</b>      | <b>\$2,024.74</b> |      | <b>\$1,862.77</b>    |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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|---|---------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                       |                     |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>      |                     |                                     |                      |                     |                    |                   |      |                      |
| 04/30/2018  | KRALL, JERRY        | JOHN HANCOCK VENTURE III            | 41,974.21            | 34.98               | 0.00               | 34.98             | 92.0 | 32.18                |
| 04/30/2018  | KEEFE, GERALD       | JOHN HANCOCK VENTURE III            | 13,461.19            | 11.22               | 0.00               | 11.22             | 92.0 | 10.32                |
| 04/30/2018  | HEINAMAN, ROBERT    | JOHN HANCOCK VENTURE III            | 110,969.55           | 92.47               | 0.00               | 92.47             | 92.0 | 85.07                |
| 04/30/2018  | KRAUS, JUDITH       | JOHN HANCOCK VENTURE III            | 34,449.94            | 28.71               | 0.00               | 28.71             | 92.0 | 26.41                |
| 04/30/2018  | GARDNER, WM         | JOHN HANCOCK VENTURE III            | 130,152.00           | 108.46              | 0.00               | 108.46            | 92.0 | 99.78                |
| 04/30/2018  | SILLIMAN, GRACE     | JOHN HANCOCK VENTURE III            | 68,415.36            | 57.01               | 0.00               | 57.01             | 92.0 | 52.45                |
| 04/30/2018  | CURSON, BEVERLY     | JOHN HANCOCK VENTURE III            | 56,709.15            | 47.26               | 0.00               | 47.26             | 92.0 | 43.48                |
| 04/30/2018  | JANICKI, KATHERINE  | JOHN HANCOCK VENTURE III            | 87,736.33            | 73.11               | 0.00               | 73.11             | 92.0 | 67.26                |
| 04/30/2018  | MOORE, GARY         | JOHN HANCOCK VENTURE III            | 86,878.61            | 72.40               | 0.00               | 72.40             | 92.0 | 66.61                |
| 04/30/2018  | IRWIN, JOHN         | JOHN HANCOCK VENTURE III            | 71,632.76            | 59.69               | 0.00               | 59.69             | 92.0 | 54.91                |
| 04/30/2018  | WAGONER JR, JOHN    | JOHN HANCOCK VENTURE III            | 59,035.34            | 49.20               | 0.00               | 49.20             | 92.0 | 45.26                |
| 04/30/2018  | WOODRUFF, STEPHANIE | JOHN HANCOCK VENTURE III            | 58,560.09            | 48.80               | 0.00               | 48.80             | 92.0 | 44.90                |
| 04/25/2018  | CARVER, DENISE      | MML ARTISTRY                        | 50.00                | 2.75                | 0.00               | 2.75              | 92.0 | 2.53                 |
| 04/25/2018  | SHOEMAKER, DENNIS   | MML ARTISTRY                        | 25.00                | 1.38                | 0.00               | 1.38              | 92.0 | 1.27                 |
| 05/01/2018  | REEVES, TERRENCE    | MML ARTISTRY                        | 140.00               | 7.70                | 0.00               | 7.70              | 92.0 | 7.08                 |
| <b>* VARIABLE ANNUITIES SUBTOTAL *</b>                  |                     |                                     | <b>\$820,189.53</b>  | <b>\$695.14</b>     | <b>\$0.00</b>      | <b>\$695.14</b>   |      | <b>\$639.51</b>      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                     |                                     |                      |                     |                    |                   |      |                      |
| / /   | WAGONER JR, JOHN    | DELAWARE SMID CAP GROWTH A          | 0.00                 | 2.44                | 0.00               | 2.44              | 92.0 | 2.24                 |
| / /   | SLAMAN, BRIAN       | FIDELITY ADV NEW INSIGHTS C         | 0.00                 | 1.01                | 0.00               | 1.01              | 92.0 | 0.93                 |
| / /   | SLAMAN, BRIAN       | FIDELITY MIDCAP II C                | 0.00                 | 1.20                | 0.00               | 1.20              | 92.0 | 1.10                 |
| 04/30/2018  | HENDERSON, BARBARA  | FRANKLIN BALANCED C                 | 0.00                 | 15.05               | 0.00               | 15.05             | 92.0 | 13.85                |
| 04/30/2018  | KRALL, GARY         | FRANKLIN DYNATECH A                 | 0.00                 | 18.10               | 0.00               | 18.10             | 92.0 | 16.65                |
| 04/30/2018  | REEVES, TERRENCE    | FRANKLIN GOLD & PRECIOUS METALS A   | 0.00                 | 0.15                | 0.00               | 0.15              | 92.0 | 0.14                 |
| 04/30/2018  | HENDERSON, BARBARA  | FRANKLIN GOLD & PRECIOUS METALS C   | 0.00                 | 7.07                | 0.00               | 7.07              | 92.0 | 6.50                 |
| 04/30/2018  | HENDERSON, BARBARA  | FRANKLIN INCOME C                   | 0.00                 | 9.30                | 0.00               | 9.30              | 92.0 | 8.56                 |
| 04/30/2018  | KRALL, GARY         | FRANKLIN MICROCAP VALUE A           | 0.00                 | 17.33               | 0.00               | 17.33             | 92.0 | 15.94                |
| 04/30/2018  | KRALL, GARY         | FRANKLIN MUTUAL GLOBAL DISCOVERY A  | 0.00                 | 3.49                | 0.00               | 3.49              | 92.0 | 3.21                 |
| 04/30/2018  | HENDERSON, BARBARA  | FRANKLIN RISING DIVIDENDS C         | 0.00                 | 15.59               | 0.00               | 15.59             | 92.0 | 14.34                |
| 04/30/2018  | HENDERSON, BARBARA  | FRANKLIN US GOVT SEC FUND C         | 0.00                 | 11.35               | 0.00               | 11.35             | 92.0 | 10.44                |
| 04/30/2018  | HENDERSON, BARBARA  | FT MUTUAL SHARES FUND C             | 0.00                 | 25.23               | 0.00               | 25.23             | 92.0 | 23.21                |
| 04/18/2018  | MOORE, LINDA        | GRIFFIN INSTITUTIONAL ACCESS REAL E | 0.00                 | 4.96                | 0.00               | 4.96              | 92.0 | 4.56                 |
| 04/17/2018  | ROSS, JEROME        | PRINCIPAL FUNDS CONSERVATIVE GROWTH | 0.00                 | 4.80                | 0.00               | 4.80              | 92.0 | 4.42                 |
| 03/31/2018  | MCCLOSKEY, DANIEL   | STADION INVESTMENTS                 | 6,394.13             | 15.76               | 0.00               | 15.76             | 92.0 | 14.50                |

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|--|-------------------|-----------------------------|-----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                            |                   |                             |                       |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>      |                   |                             |                       |                     |                    |                   |      |                      |
| 04/30/2018   | KRALL, GARY       | TEMPLETON DEVELOPING MKTS A | 0.00                  | 3.51                | 0.00               | 3.51              | 92.0 | 3.23                 |
| 04/30/2018   | KRALL, GARY       | TEMPLETON FOREIGN SMCO A    | 0.00                  | 3.54                | 0.00               | 3.54              | 92.0 | 3.26                 |
| 04/19/2018   | OBERT, RONALD     | TEMPLETON GROWTH A          | 0.00                  | 0.15                | 0.00               | 0.15              | 92.0 | 0.14                 |
| 04/30/2018   | SPRANGEL, CHERYL  | TEMPLETON WORLD A           | 0.00                  | 12.22               | 0.00               | 12.22             | 92.0 | 11.24                |
| 04/30/2018   | REEVES, TERRENCE  | TEMPLETON WORLD A           | 0.00                  | 0.25                | 0.00               | 0.25              | 92.0 | 0.23                 |
| <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>                  |                   |                             | <b>\$6,394.13</b>     | <b>\$172.50</b>     | <b>\$0.00</b>      | <b>\$172.50</b>   |      | <b>\$158.69</b>      |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b> |                   |                             |                       |                     |                    |                   |      |                      |
| 05/05/2018   | SCHLIEVERT, KAREN | AM GEN SEASONS ELITE        | 0.00                  | 97.68               | 0.00               | 97.68             | 92.0 | 89.87                |
| 04/29/2018   | COUSINO, SUSAN    | ING PREMIUM PLUS            | 54,165.48             | 33.85               | 0.00               | 33.85             | 92.0 | 31.14                |
| 04/29/2018   | SILLIMAN, GRACE   | ING PREMIUM PLUS            | 18,846.86             | 11.78               | 0.00               | 11.78             | 92.0 | 10.84                |
| 04/30/2018   | WAGONER JR, JOHN  | ING PREMIUM PLUS            | 32,132.97             | 20.08               | 0.00               | 20.08             | 92.0 | 18.47                |
| 04/30/2018   | WAGONER JR, JOHN  | ING PREMIUM PLUS            | 32,660.72             | 20.41               | 0.00               | 20.41             | 92.0 | 18.78                |
| 04/30/2018   | IRWIN, JOHN       | JNL ELITE ACCESS            | 0.00                  | 32.82               | 0.00               | 32.82             | 92.0 | 30.19                |
| 04/30/2018   | DEMKO, VERNA      | JNL PERSPECTIVE             | 0.00                  | 10.41               | 0.00               | 10.41             | 92.0 | 9.58                 |
| 04/30/2018   | LONG, PAUL        | JNL PERSPECTIVE II 05/05    | 0.00                  | 1.43                | 0.00               | 1.43              | 92.0 | 1.32                 |
| 04/30/2018   | LONG, LORI        | JNL PERSPECTIVE L           | 0.00                  | 12.43               | 0.00               | 12.43             | 92.0 | 11.44                |
| 04/30/2018   | BENSCH, ARLYN     | JNL PERSPECTIVE L           | 0.00                  | 28.01               | 0.00               | 28.01             | 92.0 | 25.77                |
| 04/30/2018   | BENSCH, CANDACE   | JNL PERSPECTIVE L           | 0.00                  | 23.84               | 0.00               | 23.84             | 92.0 | 21.93                |
| <b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>             |                   |                             | <b>\$137,806.03</b>   | <b>\$292.74</b>     | <b>\$0.00</b>      | <b>\$292.74</b>   |      | <b>\$269.33</b>      |
| <b>*** DIRECT TRANSACTIONS TOTAL ***</b>                     |                   |                             | <b>\$1,015,834.05</b> | <b>\$3,194.38</b>   | <b>\$0.00</b>      | <b>\$3,194.38</b> |      | <b>\$2,938.82</b>    |

**OVERRIDE TRANSACTIONS****BROKER: THOMAS, H121 HALSEY #82**

|            |                   |                                     |          |       |      |       |      |      |
|------------|-------------------|-------------------------------------|----------|-------|------|-------|------|------|
| 05/01/2018 | WRAY, KIM         | AM FUNDS AMERICAN HIGH-INCOME TRUST | 1,357.36 | 37.33 | 0.00 | 37.33 | 85.0 | 2.61 |
| 05/03/2018 | WRAY, KIM         | AM FUNDS GROWTH FUND OF AMERICA A   | 3,500.00 | 96.25 | 0.00 | 96.25 | 85.0 | 6.74 |
| 05/03/2018 | WRAY, KIM         | AM FUNDS NEW PERSPECTIVE A          | 3,600.00 | 99.00 | 0.00 | 99.00 | 85.0 | 6.93 |
| 05/03/2018 | WRAY, KIM         | AM FUNDS NEW WORLD A                | 2,600.00 | 71.50 | 0.00 | 71.50 | 85.0 | 5.01 |
| 04/30/2018 | CANNON, BARBARA   | AM FUNDS WASHINGTON MUTUAL INVESTOR | 50.00    | 2.50  | 0.00 | 2.50  | 85.0 | 0.18 |
| 05/01/2018 | DENHAM, CONSTANCE | AM FUNDS WASHINGTON MUTUAL INVESTOR | 400.00   | 15.00 | 0.00 | 15.00 | 85.0 | 1.05 |
| / /        | MARKLEY, JUSTIN   | FIDELITY ADV EQUITY GROWTH CL M     | 0.00     | 3.68  | 0.00 | 3.68  | 85.0 | 0.26 |
| / /        | BAKER, ELAINE     | FIDELITY ADV GROWTH & INCOME C      | 0.00     | 7.68  | 0.00 | 7.68  | 85.0 | 0.54 |
| / /        | EYLER, BARBARA    | FIDELITY ADV GROWTH OPP A           | 0.00     | 3.81  | 0.00 | 3.81  | 85.0 | 0.27 |

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|---|----------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u> OVERRIDE TRANSACTIONS </u></b>           |                      |                                   |                      |                     |                    |                   |      |                      |
| <b><u> BROKER: THOMAS, H121 HALSEY #82 </u></b> |                      |                                   |                      |                     |                    |                   |      |                      |
| / /   | BAKER, ELAINE        | FIDELITY ADV GROWTH OPP C         | 0.00                 | 5.30                | 0.00               | 5.30              | 85.0 | 0.37                 |
| / /   | FRANTZ, CRAIG        | FIDELITY ADV INTL CAP APP C       | 0.00                 | 6.64                | 0.00               | 6.64              | 85.0 | 0.46                 |
| / /   | BAKER, ELAINE        | FIDELITY ADV INTL SMALL CAP OPP C | 0.00                 | 4.77                | 0.00               | 4.77              | 85.0 | 0.33                 |
| / /   | BAKER, ELAINE        | FIDELITY ADV OVERSEAS C           | 0.00                 | 4.37                | 0.00               | 4.37              | 85.0 | 0.31                 |
| / /   | BAKER, ELAINE        | FIDELITY ADV UTILITIES C          | 0.00                 | 6.25                | 0.00               | 6.25              | 85.0 | 0.44                 |
| / /   | BAKER, ELAINE        | FIDELITY EQUITY GROWTH C          | 0.00                 | 7.31                | 0.00               | 7.31              | 85.0 | 0.51                 |
| / /   | FRANTZ, CRAIG        | FIDELITY EQUITY GROWTH C          | 0.00                 | 9.69                | 0.00               | 9.69              | 85.0 | 0.68                 |
| / /   | MARKLEY, JUSTIN      | FIDELITY EQUITY INCOME            | 0.00                 | 5.22                | 0.00               | 5.22              | 85.0 | 0.37                 |
| / /   | EYLER, BARBARA       | FIDELITY EQUITY INCOME A          | 0.00                 | 6.22                | 0.00               | 6.22              | 85.0 | 0.44                 |
| / /   | BAKER, ELAINE        | FIDELITY EQUITY INCOME C          | 0.00                 | 6.08                | 0.00               | 6.08              | 85.0 | 0.43                 |
| / /   | FRANTZ, CRAIG        | FIDELITY SMALL CAP C              | 0.00                 | 14.89               | 0.00               | 14.89             | 85.0 | 1.04                 |
| / /   | BAKER, ELAINE        | FIDELITY SMALL CAP VALUE C        | 0.00                 | 6.92                | 0.00               | 6.92              | 85.0 | 0.48                 |
| / /   | FRANTZ, CRAIG        | FIDELITY TECHNOLOGY C             | 0.00                 | 7.49                | 0.00               | 7.49              | 85.0 | 0.52                 |
| 04/30/2018                                      | DESELMS, RAYMOND     | FRANKLIN MI TAX FR INCOME A       | 0.00                 | 67.69               | 0.00               | 67.69             | 85.0 | 4.74                 |
| 04/30/2018                                      | ZORNOW TRUST, BETTY  | FRANKLIN MI TAX FR INCOME A       | 0.00                 | 15.43               | 0.00               | 15.43             | 85.0 | 1.08                 |
| 04/30/2018                                      | DIENER, JUDITH       | FRANKLIN MI TAX FR INCOME A       | 0.00                 | 48.67               | 0.00               | 48.67             | 85.0 | 3.41                 |
| 04/30/2018                                      | ZORNOW TRUST, BETTY  | FRANKLIN MI TAX FR INCOME A       | 0.00                 | 11.27               | 0.00               | 11.27             | 85.0 | 0.79                 |
| 04/30/2018                                      | PRZBYLSKI, MICHAEL   | FRANKLIN OH TAX FR INCOME FUND    | 0.00                 | 16.06               | 0.00               | 16.06             | 85.0 | 1.12                 |
| 04/30/2018                                      | BUEHRER, DANIEL      | FRANKLIN OH TAX FR INCOME FUND    | 0.00                 | 65.57               | 0.00               | 65.57             | 85.0 | 4.59                 |
| 04/30/2018                                      | PRZYBYLSKI, JOSHUA   | FRANKLIN OH TAX FR INCOME FUND    | 0.00                 | 0.43                | 0.00               | 0.43              | 85.0 | 0.03                 |
| 04/30/2018                                      | BAKER, ELAINE        | FRANKLIN OH TAX FR INCOME FUND    | 0.00                 | 35.96               | 0.00               | 35.96             | 85.0 | 2.52                 |
| 04/30/2018                                      | MINCK, JOSEPH        | FRANKLIN OH TAX FR INCOME FUND    | 0.00                 | 37.26               | 0.00               | 37.26             | 85.0 | 2.61                 |
| 04/30/2018                                      | FISHER, EUGENE       | FRANKLIN OH TAX FR INCOME FUND    | 0.00                 | 9.76                | 0.00               | 9.76              | 85.0 | 0.68                 |
| 04/30/2018                                      | BUEHRER, DANIEL      | JNL PERSPECTIVE L                 | 0.00                 | 24.16               | 0.00               | 24.16             | 85.0 | 1.69                 |
| 04/30/2018                                      | BUEHRER, DANIEL      | JNL PERSPECTIVE L                 | 0.00                 | 175.21              | 0.00               | 175.21            | 85.0 | 12.26                |
| 04/30/2018                                      | NOWAK, DAVID         | JOHN HANCOCK VENTURE III          | 19,098.82            | 15.92               | 0.00               | 15.92             | 85.0 | 1.11                 |
| 04/30/2018                                      | TANGERMAN, JEANETTE  | JOHN HANCOCK VENTURE III          | 53,868.74            | 44.89               | 0.00               | 44.89             | 85.0 | 3.14                 |
| 04/30/2018                                      | DESELMS, EVELYN      | JOHN HANCOCK VENTURE III          | 42,598.99            | 35.50               | 0.00               | 35.50             | 85.0 | 2.49                 |
| 04/27/2018                                      | PRZYBYLSKI, JEREMIAH | MML ARTISTRY                      | 100.00               | 5.50                | 0.00               | 5.50              | 85.0 | 0.39                 |
| 05/01/2018                                      | FRANTZ, KIMBERLY     | MML ARTISTRY                      | 50.00                | 2.75                | 0.00               | 2.75              | 85.0 | 0.19                 |
| 04/30/2018                                      | DESELMS, RAYMOND     | TEMPLETON WORLD A                 | 0.00                 | 0.97                | 0.00               | 0.97              | 85.0 | 0.07                 |
| <b> * THOMAS, H121 HALSEY SUBTOTAL * </b>       |                      |                                   | <b>\$127,223.91</b>  | <b>\$1,040.90</b>   | <b>\$0.00</b>      | <b>\$1,040.90</b> |      | <b>\$72.88</b>       |

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| DATE                                       | CLIENT                   | INVESTMENT               | INVESTMENT<br>AMOUNT  | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------------------|--------------------------|-----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u> OVERRIDE TRANSACTIONS </u></b>      |                          |                          |                       |                     |                    |                   |      |                      |
| <b>BROKER: ROBERT, T118 THOMPSON #83</b>   |                          |                          |                       |                     |                    |                   |      |                      |
| 03/31/2018                                 | SHAFFER, CLARK           | ICON FUND C              | 20,036.11             | 17.02               | 0.00               | 17.02             | 90.0 | 0.34                 |
| 03/31/2018                                 | RICE, CHERYL             | ICON FUND C              | 3,051.06              | 2.59                | 0.00               | 2.59              | 90.0 | 0.05                 |
| 03/31/2018                                 | GECIK, STANLEY           | ICON FUND C              | 6,228.57              | 5.29                | 0.00               | 5.29              | 90.0 | 0.11                 |
| 03/31/2018                                 | RECKNER, GARTH           | ICON FUND C              | 1,556.05              | 1.33                | 0.00               | 1.33              | 90.0 | 0.03                 |
| 04/30/2018                                 | RICHARDS, MILDRED        | JNL PERSPECTIVE          | 0.00                  | 7.27                | 0.00               | 7.27              | 90.0 | 0.15                 |
| 04/30/2018                                 | FLECK, GERALD            | JNL PERSPECTIVE II 05/05 | 0.00                  | 1.32                | 0.00               | 1.32              | 90.0 | 0.03                 |
| 04/30/2018                                 | WENZ, PATRICIA           | JOHN HANCOCK VENTURE III | 37,172.26             | 30.98               | 0.00               | 30.98             | 90.0 | 0.62                 |
| 04/30/2018                                 | MURANYI, MARILYN         | JOHN HANCOCK VENTURE III | 24,313.14             | 20.26               | 0.00               | 20.26             | 90.0 | 0.41                 |
| 04/30/2018                                 | SCHUMACHER, EDWARD       | JOHN HANCOCK VENTURE III | 34,402.30             | 28.67               | 0.00               | 28.67             | 90.0 | 0.57                 |
| 04/30/2018                                 | MAXFIELD, DARALEE        | JOHN HANCOCK VENTURE III | 124,841.07            | 104.03              | 0.00               | 104.03            | 90.0 | 2.08                 |
| 04/30/2018                                 | GRUBB, BEVERLY           | JOHN HANCOCK VENTURE III | 129,556.92            | 107.96              | 0.00               | 107.96            | 90.0 | 2.16                 |
| 04/30/2018                                 | GRAHAM, JUDY             | JOHN HANCOCK VENTURE III | 161,557.51            | 134.63              | 0.00               | 134.63            | 90.0 | 2.69                 |
| 04/30/2018                                 | HUBAY, BERNICE           | JOHN HANCOCK VENTURE III | 25,446.25             | 21.21               | 0.00               | 21.21             | 90.0 | 0.42                 |
| 04/30/2018                                 | LABERDEE, PAUL           | JOHN HANCOCK VENTURE III | 41,331.17             | 34.44               | 0.00               | 34.44             | 90.0 | 0.69                 |
| 04/30/2018                                 | SZAFAROWICZ, JOSEPH      | JOHN HANCOCK VENTURE III | 19,364.13             | 16.14               | 0.00               | 16.14             | 90.0 | 0.32                 |
| 04/30/2018                                 | MUIR TRUST, JOHN & JOANN | JOHN HANCOCK VENTURE III | 21,923.82             | 18.27               | 0.00               | 18.27             | 90.0 | 0.37                 |
| 04/30/2018                                 | HILEMAN, RONALD          | JOHN HANCOCK VENTURE III | 157,495.25            | 131.25              | 0.00               | 131.25            | 90.0 | 2.63                 |
| 04/30/2018                                 | ELWING, LOUISE           | JOHN HANCOCK VENTURE III | 147,669.92            | 123.06              | 0.00               | 123.06            | 90.0 | 2.46                 |
| 04/30/2018                                 | DOUGHERTY, ELNORA        | JOHN HANCOCK VENTURE III | 144,473.81            | 120.39              | 0.00               | 120.39            | 90.0 | 2.41                 |
| 04/30/2018                                 | MAXFIELD, RICHARD        | JOHN HANCOCK VENTURE III | 217,479.98            | 181.23              | 0.00               | 181.23            | 90.0 | 3.62                 |
| 04/30/2018                                 | GAJEWSKI, WILLADEANE     | JOHN HANCOCK VENTURE III | 39,745.58             | 33.12               | 0.00               | 33.12             | 90.0 | 0.66                 |
| 04/30/2018                                 | BUEHLER, ARNOLD          | JOHN HANCOCK VENTURE III | 117,288.70            | 97.74               | 0.00               | 97.74             | 90.0 | 1.95                 |
| 04/30/2018                                 | RICE, PAMELA             | JOHN HANCOCK VENTURE III | 109,728.15            | 91.44               | 0.00               | 91.44             | 90.0 | 1.83                 |
| 04/30/2018                                 | RINGGER, LINDA           | JOHN HANCOCK VENTURE III | 119,095.62            | 99.25               | 0.00               | 99.25             | 90.0 | 1.99                 |
| 04/30/2018                                 | SEITZINGER, MARK         | JOHN HANCOCK VENTURE III | 23,930.21             | 19.94               | 0.00               | 19.94             | 90.0 | 0.40                 |
| 04/30/2018                                 | WODA, HELENE             | JOHN HANCOCK VENTURE III | 25,608.45             | 21.34               | 0.00               | 21.34             | 90.0 | 0.43                 |
| 03/31/2018                                 | MERZ, JOYCE              | STADION INVESTMENTS      | 16,482.96             | 10.16               | 0.00               | 10.16             | 90.0 | 0.20                 |
| 03/31/2018                                 | WENZ, JAMES              | STADION INVESTMENTS      | 7,547.46              | 4.65                | 0.00               | 4.65              | 90.0 | 0.09                 |
| <b>* ROBERT, T118 THOMPSON SUBTOTAL *</b>  |                          |                          | <b>\$1,777,326.45</b> | <b>\$1,484.98</b>   | <b>\$0.00</b>      | <b>\$1,484.98</b> |      | <b>\$29.71</b>       |
| <b>*** OVERRIDE TRANSACTIONS TOTAL ***</b> |                          |                          | <b>\$1,904,550.36</b> | <b>\$2,525.88</b>   | <b>\$0.00</b>      | <b>\$2,525.88</b> |      | <b>\$102.59</b>      |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

| DATE                      | CLIENT | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------|--------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>ADJUSTMENTS</u></b> |        |                                     |                      |                     |                    |                   |      |                      |
| 05/15/2018                |        | *ALBRIDGE: FULL USER                | 0.00                 |                     |                    |                   |      | -176.00              |
| 05/15/2018                |        | *E & O INSURANCE: CURRENT MONTH INS | 0.00                 |                     |                    |                   |      | -300.00              |
| 05/15/2018                |        | *EO INS: NAVARRE E&O                | 0.00                 |                     |                    |                   |      | -150.00              |
| 05/15/2018                |        | *MISC: NWL RENEWAL                  | 0.00                 |                     |                    |                   |      | -45.00               |
| 05/15/2018                |        | *MISC: NWLA RENEWAL                 | 0.00                 |                     |                    |                   |      | -45.00               |
| 05/15/2018                |        | *PERSHING: BOOKS & RECORDS          | 0.00                 |                     |                    |                   |      | -1.98                |
| 05/15/2018                |        | *TECHNOLOGY: ADMIN - DEB RYAN       | 0.00                 |                     |                    |                   |      | -43.34               |
|                           |        | 1/3RD                               |                      |                     |                    |                   |      |                      |
| 05/15/2018                |        | *TECHNOLOGY: ADMIN - J BOYERS 1/3RD | 0.00                 |                     |                    |                   |      | -43.34               |
| 05/15/2018                |        | *TECHNOLOGY: CURRENT MONTH BASIC    | 0.00                 |                     |                    |                   |      | -180.00              |
| 05/15/2018                |        | *TECHNOLOGY: MONTHLY 1/2 TECH -     | 0.00                 |                     |                    |                   |      | -137.50              |
|                           |        | NAVARRE                             |                      |                     |                    |                   |      |                      |
| *** ADJUSTMENTS TOTAL *** |        |                                     | \$0.00               | \$0.00              | \$0.00             | \$0.00            |      | \$-1,122.16          |

**BROKER COMMISSION TOTALS**

|                       |             |
|-----------------------|-------------|
| Broker Transactions   | \$2,938.82  |
| Split Transactions    | \$0.00      |
| Override Transactions | \$102.59    |
| Adjustments           | \$-1,122.16 |
| Payroll Draw          | \$0.00      |
| Base Salary           | \$0.00      |
| Prior Period Balance  | \$0.00      |
| FINRA Assessment      | \$0.00      |
| SIPC Assessment       | \$0.00      |

|                       |             |
|-----------------------|-------------|
| Check Amount          | \$1,919.25  |
| Year-to-date Gross    | \$57,814.36 |
| Year-to-date Earnings | \$55,794.33 |

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