

STATEMENT

May 20, 2018

MICHAEL WISE

BROKER #: 279

BRANCH: LA BRANCH

, SC 29464-

COMMISSION STATEMENT for MICHAEL WISE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/02/2018 #00089299903	BYRNE-STEE	AMERICAN BALANCED FUND INC CL C	B	59.10	0.59	0.00	0.00	0.59	91.0	0.54
04/02/2018 #00089299903	BYRNE-STEE	AMERICAN BALANCED FUND INC CL C	B	59.10	0.59	0.00	0.00	0.59	91.0	0.54
04/02/2018 #00089098820	ROPER, LEIGH	BOND FUND AMER INC CL C	B	7.15	0.07	0.00	0.00	0.07	91.0	0.06
04/02/2018 #00089098820	ROPER, LEIGH	BOND FUND AMER INC CL C	B	7.15	0.07	0.00	0.00	0.07	91.0	0.06
04/02/2018 #00089102273	WILLIAMSLE	CAPITAL INCOME BLDR FUND CL C	B	57.98	0.58	0.00	0.00	0.58	91.0	0.53
04/02/2018 #00089102273	WILLIAMSLE	CAPITAL INCOME BLDR FUND CL C	B	57.98	0.58	0.00	0.00	0.58	91.0	0.53
04/02/2018 #00089098820	ROPER, LEIGH	GROWTH AND INCOME PORFOLIO	B	14.30	0.14	0.00	0.00	0.14	91.0	0.13
04/02/2018 #00089098820	ROPER, LEIGH	GROWTH AND INCOME PORFOLIO	B	14.30	0.14	0.00	0.00	0.14	91.0	0.13
04/02/2018 #00089098820	ROPER, LEIGH	GROWTH FUND AMER INC CL C	B	14.31	0.14	0.00	0.00	0.14	91.0	0.13
04/02/2018 #00089098820	ROPER, LEIGH	GROWTH FUND AMER INC CL C	B	14.31	0.14	0.00	0.00	0.14	91.0	0.13
04/02/2018 #00089098820	ROPER, LEIGH	INCOME FUND AMER INC CL C	B	35.77	0.36	0.00	0.00	0.36	91.0	0.33
04/02/2018 #00089098820	ROPER, LEIGH	INCOME FUND AMER INC CL C	B	35.77	0.36	0.00	0.00	0.36	91.0	0.33
04/02/2018 #00089098811	GRIGGSMARI	INCOME PORTFOLIO-C	B	53.31	0.53	0.00	0.00	0.53	91.0	0.48
04/02/2018 #00089098811	GRIGGSMARI	INCOME PORTFOLIO-C	B	53.31	0.53	0.00	0.00	0.53	91.0	0.48
04/02/2018 #00089098778	MORE, KAREN	INCOME PORTFOLIO-C	B	36.22	0.36	0.00	0.00	0.36	91.0	0.33
04/02/2018 #00089098778	MORE, KAREN	INCOME PORTFOLIO-C	B	36.22	0.36	0.00	0.00	0.36	91.0	0.33
04/02/2018 #00089098695	BECKROGEAN	INCOME PORTFOLIO-C	B	120.00	1.20	0.00	0.00	1.20	91.0	1.09
04/02/2018 #00089098695	BECKROGEAN	INCOME PORTFOLIO-C	B	61.97	0.62	0.00	0.00	0.62	91.0	0.56
04/02/2018 #00089299903	BYRNE-STEE	INCOME PORTFOLIO-C	B	59.09	0.59	0.00	0.00	0.59	91.0	0.54
04/02/2018 #00089299903	BYRNE-STEE	INCOME PORTFOLIO-C	B	59.09	0.59	0.00	0.00	0.59	91.0	0.54
04/02/2018 #00089098778	MORE, KAREN	PRESERVATION PORTFOLIO-C	B	15.53	0.16	0.00	0.00	0.16	91.0	0.15
04/02/2018 #00089098778	MORE, KAREN	PRESERVATION PORTFOLIO-C	B	15.53	0.16	0.00	0.00	0.16	91.0	0.15
04/02/2018 #00089098717	RHODESPAME	PRESERVATION PORTFOLIO-C	B	93.11	0.93	0.00	0.00	0.93	91.0	0.85
04/02/2018 #00089098717	RHODESPAME	PRESERVATION PORTFOLIO-C	B	93.11	0.93	0.00	0.00	0.93	91.0	0.85
04/02/2018 #00089098695	BECKROGEAN	PRESERVATION PORTFOLIO-C	B	80.00	0.80	0.00	0.00	0.80	91.0	0.73
04/02/2018 #00089098695	BECKROGEAN	PRESERVATION PORTFOLIO-C	B	41.31	0.41	0.00	0.00	0.41	91.0	0.37
04/16/2018 #00086891922		AMERICAN BALANCED FUND INC CL C	B	6,500.00	65.00	0.00	0.00	65.00	91.0	59.15
04/16/2018 #00086892691	THOMASONCA	CAPITAL INCOME BLDR FUND CL C	B	150.00	1.50	0.00	0.00	1.50	91.0	1.37
04/16/2018 #00086892691	THOMASONCA	INCOME FUND AMER INC CL C	B	150.00	1.50	0.00	0.00	1.50	91.0	1.37
04/16/2018 #00086892691	THOMASONCA	INCOME PORTFOLIO-C	B	100.00	1.00	0.00	0.00	1.00	91.0	0.91
04/16/2018 #00086892691	THOMASONCA	PRESERVATION PORTFOLIO-C	B	100.00	1.00	0.00	0.00	1.00	91.0	0.91

COMMISSION STATEMENT for MICHAEL WISE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION	
* MUTUAL FUNDS SUBTOTAL *				\$8,195.02	\$81.93	\$0.00	\$0.00	\$81.93		\$74.60	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
04/05/2018	#IRK129192	ELECTRONIC, PROSPEC	AMERICAN FUNDS	B	0.00	2,009.01	0.00	0.00	2,009.01	91.0	1,828.20
04/06/2018	#00656191574	FIDELITY ADV	GOVERNMENT INCOME-CL A	B	0.00	18.07	0.00	0.00	18.07	91.0	16.44
04/06/2018	#00656191574	FIDELITY ADVISOR	HIGH INCOME ADVANT	B	0.00	25.14	0.00	0.00	25.14	91.0	22.88
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,052.22	\$0.00	\$0.00	\$2,052.22		\$1,867.52	
*** BROKER TRANSACTIONS TOTAL ***				\$8,195.02	\$2,134.15	\$0.00	\$0.00	\$2,134.15		\$1,942.12	

ADJUSTMENTS

05/20/2018	ADMIN FEE: ADMIN FEE	-320.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,942.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,443.12
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