



# COMMISSION STATEMENT

March 31, 2017

BRION NORBY  
4905 Prairie Lane

BROKER #: N121  
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</b>								
03/27/2017	HELLER, GREG	AMG GW&K ENHANCED CORE BOND FUND CL	-2,390.69	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	AMG GW&K ENHANCED CORE BOND FUND CL	-26,774.86	0.00	0.00	0.00	90.0	0.00
03/20/2017	LIESENER, MARTY	CAMBIAR INTERNATIONAL EQUITY FUND I	-8,477.44	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, MELINDA	CAMBIAR INTERNATIONAL EQUITY FUND I	-7,917.06	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	CAMBIAR INTERNATIONAL EQUITY FUND I	-11,276.95	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	CAMBIAR INTERNATIONAL EQUITY FUND I	-8,291.46	0.00	0.00	0.00	90.0	0.00
03/20/2017	WEBER, DANIEL	CAMBIAR INTERNATIONAL EQUITY FUND I	-13,990.85	0.00	0.00	0.00	90.0	0.00
03/20/2017	BROE, CRAIG	CAMBIAR INTERNATIONAL EQUITY FUND I	-30,617.49	0.00	0.00	0.00	90.0	0.00
03/20/2017	HICKEL, TONY	CAMBIAR INTERNATIONAL EQUITY FUND I	-33,723.37	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	CAMBIAR INTERNATIONAL EQUITY FUND I	-5,274.37	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	CAMBIAR INTERNATIONAL EQUITY FUND I	-59,065.80	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, MELINDA	CATALYST HEDGED FUTURES STRATEGY FU	-2,437.42	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, MELINDA	CATALYST HEDGED FUTURES STRATEGY FU	2,475.34	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	CLEARBRIDGE MID CAP	-1,760.10	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	CLEARBRIDGE MID CAP	-19,710.64	0.00	0.00	0.00	90.0	0.00
03/20/2017	LIESENER, MARTY	CONGRESS MID CAP GROWTH FUND INSTIT	-1,016.89	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, MELINDA	CONGRESS MID CAP GROWTH FUND INSTIT	-949.67	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	CONGRESS MID CAP GROWTH FUND INSTIT	-1,352.73	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	CONGRESS MID CAP GROWTH FUND INSTIT	-994.60	0.00	0.00	0.00	90.0	0.00
03/20/2017	WEBER, DANIEL	CONGRESS MID CAP GROWTH FUND INSTIT	-1,678.26	0.00	0.00	0.00	90.0	0.00
03/20/2017	BROE, CRAIG	CONGRESS MID CAP GROWTH FUND INSTIT	-3,672.71	0.00	0.00	0.00	90.0	0.00
03/20/2017	HICKEL, TONY	CONGRESS MID CAP GROWTH FUND INSTIT	-4,045.01	0.00	0.00	0.00	90.0	0.00
03/20/2017	LIESENER, MARTY	CREDIT SUISSE COMMODITY RETURN STRA	-1,013.24	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, MELINDA	CREDIT SUISSE COMMODITY RETURN STRA	-946.26	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	CREDIT SUISSE COMMODITY RETURN STRA	-1,347.86	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	CREDIT SUISSE COMMODITY RETURN STRA	-991.02	0.00	0.00	0.00	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u></b>								
03/20/2017	WEBER, DANIEL	CREDIT SUISSE COMMODITY RETURN STRA	-1,672.23	0.00	0.00	0.00	90.0	0.00
03/20/2017	BROE, CRAIG	CREDIT SUISSE COMMODITY RETURN STRA	-3,659.49	0.00	0.00	0.00	90.0	0.00
03/20/2017	HICKEL, TONY	CREDIT SUISSE COMMODITY RETURN STRA	-4,031.04	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	CREDIT SUISSE COMMODITY RETURN STRA	-1,078.74	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	CREDIT SUISSE COMMODITY RETURN STRA	-12,078.24	0.00	0.00	0.00	90.0	0.00
03/20/2017	LIESENER, MARTY	HORIZON ACTIVE ASSET ALLOCATION FUN	-5,604.64	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, MELINDA	HORIZON ACTIVE ASSET ALLOCATION FUN	-5,234.16	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	HORIZON ACTIVE ASSET ALLOCATION FUN	-7,455.50	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	HORIZON ACTIVE ASSET ALLOCATION FUN	-5,481.70	0.00	0.00	0.00	90.0	0.00
03/20/2017	WEBER, DANIEL	HORIZON ACTIVE ASSET ALLOCATION FUN	-9,249.75	0.00	0.00	0.00	90.0	0.00
03/20/2017	BROE, CRAIG	HORIZON ACTIVE ASSET ALLOCATION FUN	-20,242.01	0.00	0.00	0.00	90.0	0.00
03/20/2017	HICKEL, TONY	HORIZON ACTIVE ASSET ALLOCATION FUN	-22,293.43	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	HORIZON ACTIVE ASSET ALLOCATION FUN	-3,965.29	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	HORIZON ACTIVE ASSET ALLOCATION FUN	-44,409.67	0.00	0.00	0.00	90.0	0.00
03/22/2017	LIESENER, MARTY	ISHARES TR RUSSELL 2000 INDEX FD	400.67	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, MELINDA	ISHARES TR RUSSELL 2000 INDEX FD	400.67	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, CHARLES	ISHARES TR RUSSELL 2000 INDEX FD	534.23	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, CHARLES	ISHARES TR RUSSELL 2000 INDEX FD	400.67	0.00	0.00	0.00	90.0	0.00
03/22/2017	WEBER, DANIEL	ISHARES TR RUSSELL 2000 INDEX FD	667.78	0.00	0.00	0.00	90.0	0.00
03/22/2017	BROE, CRAIG	ISHARES TR RUSSELL 2000 INDEX FD	1,602.68	0.00	0.00	0.00	90.0	0.00
03/22/2017	HICKEL, TONY	ISHARES TR RUSSELL 2000 INDEX FD	1,736.23	0.00	0.00	0.00	90.0	0.00
03/28/2017	HELLER, GREG	ISHARES TR RUSSELL 2000 INDEX FD	810.22	0.00	0.00	0.00	90.0	0.00
03/28/2017	HELLER, GREG	ISHARES TR RUSSELL 2000 INDEX FD	9,452.53	0.00	0.00	0.00	90.0	0.00
03/22/2017	LIESENER, MARTY	ISHARES TR S&P 500 INDEX FD	5,682.34	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, MELINDA	ISHARES TR S&P 500 INDEX FD	5,208.81	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, CHARLES	ISHARES TR S&P 500 INDEX FD	7,576.45	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, CHARLES	ISHARES TR S&P 500 INDEX FD	5,445.58	0.00	0.00	0.00	90.0	0.00
03/22/2017	WEBER, DANIEL	ISHARES TR S&P 500 INDEX FD	9,470.57	0.00	0.00	0.00	90.0	0.00
03/22/2017	BROE, CRAIG	ISHARES TR S&P 500 INDEX FD	20,835.25	0.00	0.00	0.00	90.0	0.00
03/22/2017	HICKEL, TONY	ISHARES TR S&P 500 INDEX FD	22,966.12	0.00	0.00	0.00	90.0	0.00
03/28/2017	HELLER, GREG	ISHARES TR S&P 500 INDEX FD	5,906.15	0.00	0.00	0.00	90.0	0.00
03/28/2017	HELLER, GREG	ISHARES TR S&P 500 INDEX FD	68,747.57	0.00	0.00	0.00	90.0	0.00
03/20/2017	LIESENER, MARTY	JH DISCIPLINED VAL CL 1	-2,235.76	0.00	0.00	0.00	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</b>								
03/20/2017	MILLER, MELINDA	JH DISCIPLINED VAL CL 1	-2,087.98	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	JH DISCIPLINED VAL CL 1	-2,974.11	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	JH DISCIPLINED VAL CL 1	-2,186.72	0.00	0.00	0.00	90.0	0.00
03/20/2017	WEBER, DANIEL	JH DISCIPLINED VAL CL 1	-3,689.87	0.00	0.00	0.00	90.0	0.00
03/20/2017	BROE, CRAIG	JH DISCIPLINED VAL CL 1	-8,074.85	0.00	0.00	0.00	90.0	0.00
03/20/2017	HICKEL, TONY	JH DISCIPLINED VAL CL 1	-8,893.51	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	JH DISCIPLINED VAL CL 1	-2,445.54	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	JH DISCIPLINED VAL CL 1	-27,385.59	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	METROPOLITAN WEST TOTAL RETURN BOND	-2,389.78	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	METROPOLITAN WEST TOTAL RETURN BOND	-26,764.68	0.00	0.00	0.00	90.0	0.00
03/20/2017	LIESENER, MARTY	MFS INTL NEW DISCOVERY FUND I	-2,200.47	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, MELINDA	MFS INTL NEW DISCOVERY FUND I	-2,055.01	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	MFS INTL NEW DISCOVERY FUND I	-2,927.11	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	MFS INTL NEW DISCOVERY FUND I	-2,152.19	0.00	0.00	0.00	90.0	0.00
03/20/2017	WEBER, DANIEL	MFS INTL NEW DISCOVERY FUND I	-3,631.56	0.00	0.00	0.00	90.0	0.00
03/20/2017	BROE, CRAIG	MFS INTL NEW DISCOVERY FUND I	-7,947.29	0.00	0.00	0.00	90.0	0.00
03/20/2017	HICKEL, TONY	MFS INTL NEW DISCOVERY FUND I	-8,753.22	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	MFS INTL NEW DISCOVERY FUND I	-1,082.95	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	MFS INTL NEW DISCOVERY FUND I	-12,127.62	0.00	0.00	0.00	90.0	0.00
03/20/2017	LIESENER, MARTY	NAVIGATOR TACTICAL FIXED INCOME FUN	-593.91	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, MELINDA	NAVIGATOR TACTICAL FIXED INCOME FUN	-554.65	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	NAVIGATOR TACTICAL FIXED INCOME FUN	-790.04	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	NAVIGATOR TACTICAL FIXED INCOME FUN	-580.88	0.00	0.00	0.00	90.0	0.00
03/20/2017	WEBER, DANIEL	NAVIGATOR TACTICAL FIXED INCOME FUN	-980.17	0.00	0.00	0.00	90.0	0.00
03/20/2017	BROE, CRAIG	NAVIGATOR TACTICAL FIXED INCOME FUN	-2,144.99	0.00	0.00	0.00	90.0	0.00
03/20/2017	HICKEL, TONY	NAVIGATOR TACTICAL FIXED INCOME FUN	-2,362.49	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	NAVIGATOR TACTICAL FIXED INCOME FUN	-2,547.85	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	NAVIGATOR TACTICAL FIXED INCOME FUN	-28,534.72	0.00	0.00	0.00	90.0	0.00
03/20/2017	LIESENER, MARTY	NORTHERN MULTI- MANAGER EMERGING MA	-1,315.32	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, MELINDA	NORTHERN MULTI- MANAGER EMERGING MA	-1,228.37	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	NORTHERN MULTI- MANAGER EMERGING MA	-1,749.69	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	NORTHERN MULTI- MANAGER EMERGING MA	-1,286.47	0.00	0.00	0.00	90.0	0.00
03/20/2017	WEBER, DANIEL	NORTHERN MULTI- MANAGER EMERGING MA	-2,170.79	0.00	0.00	0.00	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u></b>								
03/20/2017	BROE, CRAIG	NORTHERN MULTI- MANAGER EMERGING MA	-4,750.50	0.00	0.00	0.00	90.0	0.00
03/20/2017	HICKEL, TONY	NORTHERN MULTI- MANAGER EMERGING MA	-5,232.47	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	NORTHERN MULTI- MANAGER EMERGING MA	-734.15	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	NORTHERN MULTI- MANAGER EMERGING MA	-8,221.51	0.00	0.00	0.00	90.0	0.00
03/22/2017	LIESENER, MARTY	POWERSHARES DB COMMODITY INDEX TRAC	1,042.09	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, MELINDA	POWERSHARES DB COMMODITY INDEX TRAC	967.65	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, CHARLES	POWERSHARES DB COMMODITY INDEX TRAC	1,399.37	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, CHARLES	POWERSHARES DB COMMODITY INDEX TRAC	1,027.20	0.00	0.00	0.00	90.0	0.00
03/22/2017	WEBER, DANIEL	POWERSHARES DB COMMODITY INDEX TRAC	1,726.89	0.00	0.00	0.00	90.0	0.00
03/22/2017	BROE, CRAIG	POWERSHARES DB COMMODITY INDEX TRAC	3,811.06	0.00	0.00	0.00	90.0	0.00
03/22/2017	HICKEL, TONY	POWERSHARES DB COMMODITY INDEX TRAC	4,183.24	0.00	0.00	0.00	90.0	0.00
03/28/2017	HELLER, GREG	POWERSHARES DB COMMODITY INDEX TRAC	1,096.96	0.00	0.00	0.00	90.0	0.00
03/28/2017	HELLER, GREG	POWERSHARES DB COMMODITY INDEX TRAC	12,487.30	0.00	0.00	0.00	90.0	0.00
03/22/2017	LIESENER, MARTY	POWERSHARES EXCHANGE-TRADED FD TR I	742.38	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, MELINDA	POWERSHARES EXCHANGE-TRADED FD TR I	695.99	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, CHARLES	POWERSHARES EXCHANGE-TRADED FD TR I	997.58	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, CHARLES	POWERSHARES EXCHANGE-TRADED FD TR I	719.18	0.00	0.00	0.00	90.0	0.00
03/22/2017	WEBER, DANIEL	POWERSHARES EXCHANGE-TRADED FD TR I	1,229.57	0.00	0.00	0.00	90.0	0.00
03/22/2017	BROE, CRAIG	POWERSHARES EXCHANGE-TRADED FD TR I	2,714.34	0.00	0.00	0.00	90.0	0.00
03/22/2017	HICKEL, TONY	POWERSHARES EXCHANGE-TRADED FD TR I	2,992.74	0.00	0.00	0.00	90.0	0.00
03/28/2017	HELLER, GREG	POWERSHARES EXCHANGE-TRADED FD TR I	788.34	0.00	0.00	0.00	90.0	0.00
03/28/2017	HELLER, GREG	POWERSHARES EXCHANGE-TRADED FD TR I	8,926.80	0.00	0.00	0.00	90.0	0.00
03/20/2017	LIESENER, MARTY	RESEARCH MARKET NEUTRAL FUND-INST	-1,064.34	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, MELINDA	RESEARCH MARKET NEUTRAL FUND-INST	-993.99	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	RESEARCH MARKET NEUTRAL FUND-INST	-1,415.83	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	RESEARCH MARKET NEUTRAL FUND-INST	-1,041.00	0.00	0.00	0.00	90.0	0.00
03/20/2017	WEBER, DANIEL	RESEARCH MARKET NEUTRAL FUND-INST	-1,756.58	0.00	0.00	0.00	90.0	0.00
03/20/2017	BROE, CRAIG	RESEARCH MARKET NEUTRAL FUND-INST	-3,844.06	0.00	0.00	0.00	90.0	0.00
03/20/2017	HICKEL, TONY	RESEARCH MARKET NEUTRAL FUND-INST	-4,234.71	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	RESEARCH MARKET NEUTRAL FUND-INST	-1,370.29	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	RESEARCH MARKET NEUTRAL FUND-INST	-15,346.22	0.00	0.00	0.00	90.0	0.00
03/20/2017	LIESENER, MARTY	RIDGEWORTH SEIX FLOATING RATE HIGH	-890.01	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, MELINDA	RIDGEWORTH SEIX FLOATING RATE HIGH	-831.20	0.00	0.00	0.00	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</b>								
03/20/2017	MILLER, CHARLES	RIDGEWORTH SEIX FLOATING RATE HIGH	-1,183.92	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	RIDGEWORTH SEIX FLOATING RATE HIGH	-870.48	0.00	0.00	0.00	90.0	0.00
03/20/2017	WEBER, DANIEL	RIDGEWORTH SEIX FLOATING RATE HIGH	-1,468.88	0.00	0.00	0.00	90.0	0.00
03/20/2017	BROE, CRAIG	RIDGEWORTH SEIX FLOATING RATE HIGH	-3,214.40	0.00	0.00	0.00	90.0	0.00
03/20/2017	HICKEL, TONY	RIDGEWORTH SEIX FLOATING RATE HIGH	-3,540.47	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	RIDGEWORTH SEIX FLOATING RATE HIGH	-1,269.28	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	RIDGEWORTH SEIX FLOATING RATE HIGH	-14,192.10	0.00	0.00	0.00	90.0	0.00
03/20/2017	LIESENER, MARTY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-2,514.23	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, MELINDA	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-2,348.03	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-3,344.53	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-2,459.08	0.00	0.00	0.00	90.0	0.00
03/20/2017	WEBER, DANIEL	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-4,149.43	0.00	0.00	0.00	90.0	0.00
03/20/2017	BROE, CRAIG	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-9,080.55	0.00	0.00	0.00	90.0	0.00
03/20/2017	HICKEL, TONY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-10,001.37	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-2,492.66	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-27,913.09	0.00	0.00	0.00	90.0	0.00
03/22/2017	LIESENER, MARTY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	2,146.54	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, MELINDA	SPDR S&P MIDCAP 400 ETF TR UNIT SER	2,146.54	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, CHARLES	SPDR S&P MIDCAP 400 ETF TR UNIT SER	3,066.48	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, CHARLES	SPDR S&P MIDCAP 400 ETF TR UNIT SER	2,146.54	0.00	0.00	0.00	90.0	0.00
03/22/2017	WEBER, DANIEL	SPDR S&P MIDCAP 400 ETF TR UNIT SER	3,986.42	0.00	0.00	0.00	90.0	0.00
03/22/2017	BROE, CRAIG	SPDR S&P MIDCAP 400 ETF TR UNIT SER	8,892.79	0.00	0.00	0.00	90.0	0.00
03/22/2017	HICKEL, TONY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	9,506.09	0.00	0.00	0.00	90.0	0.00
03/28/2017	HELLER, GREG	SPDR S&P MIDCAP 400 ETF TR UNIT SER	2,469.47	0.00	0.00	0.00	90.0	0.00
03/28/2017	HELLER, GREG	SPDR S&P MIDCAP 400 ETF TR UNIT SER	29,016.28	0.00	0.00	0.00	90.0	0.00
03/22/2017	LIESENER, MARTY	SPDR SER TR BARCLAYS HIGH YIELD BD	2,072.91	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, MELINDA	SPDR SER TR BARCLAYS HIGH YIELD BD	1,963.81	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, CHARLES	SPDR SER TR BARCLAYS HIGH YIELD BD	2,800.25	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, CHARLES	SPDR SER TR BARCLAYS HIGH YIELD BD	2,036.54	0.00	0.00	0.00	90.0	0.00
03/22/2017	WEBER, DANIEL	SPDR SER TR BARCLAYS HIGH YIELD BD	3,454.85	0.00	0.00	0.00	90.0	0.00
03/22/2017	BROE, CRAIG	SPDR SER TR BARCLAYS HIGH YIELD BD	7,600.67	0.00	0.00	0.00	90.0	0.00
03/22/2017	HICKEL, TONY	SPDR SER TR BARCLAYS HIGH YIELD BD	8,364.37	0.00	0.00	0.00	90.0	0.00
03/28/2017	HELLER, GREG	SPDR SER TR BARCLAYS HIGH YIELD BD	2,197.65	0.00	0.00	0.00	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u></b>								
03/28/2017	HELLER, GREG	SPDR SER TR BARCLAYS HIGH YIELD BD	25,016.54	0.00	0.00	0.00	90.0	0.00
03/20/2017	LIESENER, MARTY	STERLING CAP FUNDS	-1,000.06	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, MELINDA	STERLING CAP FUNDS	-933.97	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	STERLING CAP FUNDS	-1,330.33	0.00	0.00	0.00	90.0	0.00
03/20/2017	MILLER, CHARLES	STERLING CAP FUNDS	-978.14	0.00	0.00	0.00	90.0	0.00
03/20/2017	WEBER, DANIEL	STERLING CAP FUNDS	-1,650.48	0.00	0.00	0.00	90.0	0.00
03/20/2017	BROE, CRAIG	STERLING CAP FUNDS	-3,611.90	0.00	0.00	0.00	90.0	0.00
03/20/2017	HICKEL, TONY	STERLING CAP FUNDS	-3,978.07	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	VANGUARD CHARLOTTE FDS TOTAL INTL B	-702.44	0.00	0.00	0.00	90.0	0.00
03/27/2017	HELLER, GREG	VANGUARD CHARLOTTE FDS TOTAL INTL B	-8,213.09	0.00	0.00	0.00	90.0	0.00
03/22/2017	LIESENER, MARTY	VANGUARD INDEX FDS VANGUARD REIT ET	162.61	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, MELINDA	VANGUARD INDEX FDS VANGUARD REIT ET	162.61	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, CHARLES	VANGUARD INDEX FDS VANGUARD REIT ET	243.92	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, CHARLES	VANGUARD INDEX FDS VANGUARD REIT ET	243.92	0.00	0.00	0.00	90.0	0.00
03/22/2017	WEBER, DANIEL	VANGUARD INDEX FDS VANGUARD REIT ET	325.23	0.00	0.00	0.00	90.0	0.00
03/22/2017	BROE, CRAIG	VANGUARD INDEX FDS VANGUARD REIT ET	650.45	0.00	0.00	0.00	90.0	0.00
03/22/2017	HICKEL, TONY	VANGUARD INDEX FDS VANGUARD REIT ET	813.07	0.00	0.00	0.00	90.0	0.00
03/28/2017	HELLER, GREG	VANGUARD INDEX FDS VANGUARD REIT ET	162.48	0.00	0.00	0.00	90.0	0.00
03/28/2017	HELLER, GREG	VANGUARD INDEX FDS VANGUARD REIT ET	2,030.95	0.00	0.00	0.00	90.0	0.00
03/22/2017	LIESENER, MARTY	VANGUARD INTL EQUITY INDEX FD INC F	14,717.65	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, MELINDA	VANGUARD INTL EQUITY INDEX FD INC F	13,717.42	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, CHARLES	VANGUARD INTL EQUITY INDEX FD INC F	19,575.91	0.00	0.00	0.00	90.0	0.00
03/22/2017	MILLER, CHARLES	VANGUARD INTL EQUITY INDEX FD INC F	14,384.24	0.00	0.00	0.00	90.0	0.00
03/22/2017	WEBER, DANIEL	VANGUARD INTL EQUITY INDEX FD INC F	24,338.90	0.00	0.00	0.00	90.0	0.00
03/22/2017	BROE, CRAIG	VANGUARD INTL EQUITY INDEX FD INC F	53,393.16	0.00	0.00	0.00	90.0	0.00
03/22/2017	HICKEL, TONY	VANGUARD INTL EQUITY INDEX FD INC F	58,775.35	0.00	0.00	0.00	90.0	0.00
03/28/2017	HELLER, GREG	VANGUARD INTL EQUITY INDEX FD INC F	15,500.48	0.00	0.00	0.00	90.0	0.00
03/28/2017	HELLER, GREG	VANGUARD INTL EQUITY INDEX FD INC F	175,078.42	0.00	0.00	0.00	90.0	0.00
<b>* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *</b>			<b>\$-8,156.58</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>BROKER: BJB JEN-BRENT-BRION TRU-DONAI-LEE- #334</u></b>								
03/07/2017	HUGGINS, JAMES	RBC	0.00	22.15	0.00	22.15	90.0	19.94
03/07/2017	HUGGINS, JAMES	RBC	0.00	10.96	0.00	10.96	90.0	9.86
<b>* BJB JEN-BRENT-BRION TRU-DONAI-LEE- SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$33.11</b>	<b>\$0.00</b>	<b>\$33.11</b>		<b>\$29.80</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
03/20/2017	KEMPER, JEROME	AMG GW&K ENHANCED CORE BOND FUND CL	-5,921.79	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	AMG GW&K ENHANCED CORE BOND FUND CL	-2,893.63	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	AMG GW&K ENHANCED CORE BOND FUND CL	-892.10	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	AMG GW&K ENHANCED CORE BOND FUND CL	-4,600.26	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	CAMBIAR INTERNATIONAL EQUITY FUND I	-41,719.12	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	CAMBIAR INTERNATIONAL EQUITY FUND I	-53,162.21	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	CAMBIAR INTERNATIONAL EQUITY FUND I	-10,470.29	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	CAMBIAR INTERNATIONAL EQUITY FUND I	-20,378.41	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	CAMBIAR INTERNATIONAL EQUITY FUND I	-6,299.73	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	CAMBIAR INTERNATIONAL EQUITY FUND I	-10,293.63	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	CAMBIAR INTERNATIONAL EQUITY FUND I	-12,153.49	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	CAMBIAR INTERNATIONAL EQUITY FUND I	-33,685.83	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	CAMBIAR INTERNATIONAL EQUITY FUND I	-31,462.43	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	CAMBIAR INTERNATIONAL EQUITY FUND I	-15,008.73	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	CATALYST HEDGED FUTURES STRATEGY FU	3,400.09	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	CATALYST HEDGED FUTURES STRATEGY FU	3,260.85	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	CATALYST HEDGED FUTURES STRATEGY FU	2,057.99	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	CATALYST HEDGED FUTURES STRATEGY FU	1,741.04	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	CATALYST HEDGED FUTURES STRATEGY FU	128.24	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	CLEARBRIDGE MID CAP	-3,455.93	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	CONGRESS MID CAP GROWTH FUND INSTIT	-7,113.42	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	CONGRESS MID CAP GROWTH FUND INSTIT	-6,377.31	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	CONGRESS MID CAP GROWTH FUND INSTIT	-1,255.95	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	CONGRESS MID CAP GROWTH FUND INSTIT	-3,476.96	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	CONGRESS MID CAP GROWTH FUND INSTIT	-1,054.42	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	CONGRESS MID CAP GROWTH FUND INSTIT	-1,457.93	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	CONGRESS MID CAP GROWTH FUND INSTIT	-4,040.92	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	CONGRESS MID CAP GROWTH FUND INSTIT	-3,773.83	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	CONGRESS MID CAP GROWTH FUND INSTIT	-1,708.84	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	CREDIT SUISSE COMMODITY RETURN STRA	-6,774.97	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	CREDIT SUISSE COMMODITY RETURN STRA	-6,354.50	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	CREDIT SUISSE COMMODITY RETURN STRA	-1,251.44	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	CREDIT SUISSE COMMODITY RETURN STRA	-3,310.79	0.00	0.00	0.00	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
03/20/2017	SKAARE, LARRY	CREDIT SUISSE COMMODITY RETURN STRA	-1,014.26	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	CREDIT SUISSE COMMODITY RETURN STRA	-2,101.66	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	CREDIT SUISSE COMMODITY RETURN STRA	-1,452.73	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	CREDIT SUISSE COMMODITY RETURN STRA	-4,026.00	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	CREDIT SUISSE COMMODITY RETURN STRA	-3,760.98	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	CREDIT SUISSE COMMODITY RETURN STRA	-1,817.24	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	HORIZON ACTIVE ASSET ALLOCATION FUN	-31,240.86	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	HORIZON ACTIVE ASSET ALLOCATION FUN	-35,148.21	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	HORIZON ACTIVE ASSET ALLOCATION FUN	-6,922.20	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	HORIZON ACTIVE ASSET ALLOCATION FUN	-15,267.98	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	HORIZON ACTIVE ASSET ALLOCATION FUN	-4,663.68	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	HORIZON ACTIVE ASSET ALLOCATION FUN	-7,756.41	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	HORIZON ACTIVE ASSET ALLOCATION FUN	-8,035.36	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	HORIZON ACTIVE ASSET ALLOCATION FUN	-22,271.40	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	HORIZON ACTIVE ASSET ALLOCATION FUN	-20,568.95	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	HORIZON ACTIVE ASSET ALLOCATION FUN	-9,465.85	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	ISHARES TR CORE TOTAL U S BD MKT ET	3,128.75	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	ISHARES TR CORE TOTAL U S BD MKT ET	22,667.41	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	ISHARES TR RUSSELL 2000 INDEX FD	3,039.04	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	ISHARES TR RUSSELL 2000 INDEX FD	2,404.02	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	ISHARES TR RUSSELL 2000 INDEX FD	2,537.57	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	ISHARES TR RUSSELL 2000 INDEX FD	534.23	0.00	0.00	0.00	90.0	0.00
03/22/2017	RETZLAFF, SANDRA	ISHARES TR RUSSELL 2000 INDEX FD	2,804.69	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	ISHARES TR RUSSELL 2000 INDEX FD	267.11	0.00	0.00	0.00	90.0	0.00
03/22/2017	RASMUSSEN, CLINTON	ISHARES TR RUSSELL 2000 INDEX FD	1,736.23	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	ISHARES TR RUSSELL 2000 INDEX FD	1,602.68	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	ISHARES TR RUSSELL 2000 INDEX FD	6,410.71	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	ISHARES TR RUSSELL 2000 INDEX FD	801.34	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	ISHARES TR RUSSELL 2000 INDEX FD	676.19	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	ISHARES TR S&P 500 INDEX FD	8,870.68	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	ISHARES TR S&P 500 INDEX FD	40,013.14	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	ISHARES TR S&P 500 INDEX FD	35,751.39	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	ISHARES TR S&P 500 INDEX FD	7,102.92	0.00	0.00	0.00	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
03/22/2017	RETZLAFF, SANDRA	ISHARES TR S&P 500 INDEX FD	23,913.18	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	ISHARES TR S&P 500 INDEX FD	5,682.34	0.00	0.00	0.00	90.0	0.00
03/22/2017	RASMUSSEN, CLINTON	ISHARES TR S&P 500 INDEX FD	11,838.21	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	ISHARES TR S&P 500 INDEX FD	22,492.59	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	ISHARES TR S&P 500 INDEX FD	36,461.68	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	ISHARES TR S&P 500 INDEX FD	9,944.09	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	ISHARES TR S&P 500 INDEX FD	8,537.26	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	JH DISCIPLINED VAL CL 1	-16,789.87	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	JH DISCIPLINED VAL CL 1	-14,021.62	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	JH DISCIPLINED VAL CL 1	-2,761.35	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	JH DISCIPLINED VAL CL 1	-8,206.64	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	JH DISCIPLINED VAL CL 1	-2,497.73	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	JH DISCIPLINED VAL CL 1	-4,828.15	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	JH DISCIPLINED VAL CL 1	-3,205.51	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	JH DISCIPLINED VAL CL 1	-8,884.68	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	JH DISCIPLINED VAL CL 1	-8,297.20	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	JH DISCIPLINED VAL CL 1	-3,800.14	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	METROPOLITAN WEST TOTAL RETURN BOND	-5,921.05	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	METROPOLITAN WEST TOTAL RETURN BOND	-2,893.23	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	METROPOLITAN WEST TOTAL RETURN BOND	-893.66	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	METROPOLITAN WEST TOTAL RETURN BOND	-4,600.38	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	MFS INTL NEW DISCOVERY FUND I	-11,143.74	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	MFS INTL NEW DISCOVERY FUND I	-13,798.87	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	MFS INTL NEW DISCOVERY FUND I	-2,717.76	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	MFS INTL NEW DISCOVERY FUND I	-5,446.07	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	MFS INTL NEW DISCOVERY FUND I	-1,681.38	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	MFS INTL NEW DISCOVERY FUND I	-2,087.82	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	MFS INTL NEW DISCOVERY FUND I	-3,154.58	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	MFS INTL NEW DISCOVERY FUND I	-8,743.53	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	MFS INTL NEW DISCOVERY FUND I	-8,166.30	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	MFS INTL NEW DISCOVERY FUND I	-3,745.95	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	NAVIGATOR TACTICAL FIXED INCOME FUN	-9,943.64	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	NAVIGATOR TACTICAL FIXED INCOME FUN	-3,724.46	0.00	0.00	0.00	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
03/20/2017	MONETTE, PEGGY	NAVIGATOR TACTICAL FIXED INCOME FUN	-733.53	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	NAVIGATOR TACTICAL FIXED INCOME FUN	-4,859.37	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	NAVIGATOR TACTICAL FIXED INCOME FUN	-1,481.72	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	NAVIGATOR TACTICAL FIXED INCOME FUN	-4,937.46	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	NAVIGATOR TACTICAL FIXED INCOME FUN	-851.46	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	NAVIGATOR TACTICAL FIXED INCOME FUN	-2,359.98	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	NAVIGATOR TACTICAL FIXED INCOME FUN	-2,204.18	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	NAVIGATOR TACTICAL FIXED INCOME FUN	-1,042.42	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	NORTHERN MULTI- MANAGER EMERGING MA	-6,926.72	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	NORTHERN MULTI- MANAGER EMERGING MA	-8,248.96	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	NORTHERN MULTI- MANAGER EMERGING MA	-1,624.53	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	NORTHERN MULTI- MANAGER EMERGING MA	-3,385.68	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	NORTHERN MULTI- MANAGER EMERGING MA	-1,042.72	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	NORTHERN MULTI- MANAGER EMERGING MA	-1,432.92	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	NORTHERN MULTI- MANAGER EMERGING MA	-1,885.82	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	NORTHERN MULTI- MANAGER EMERGING MA	-5,226.90	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	NORTHERN MULTI- MANAGER EMERGING MA	-4,881.69	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	NORTHERN MULTI- MANAGER EMERGING MA	-2,175.04	0.00	0.00	0.00	90.0	0.00
03/02/2017	KUHN, KENNETH	PERSHING	11,920.69	-4.90	0.00	-4.90	90.0	-4.41
03/02/2017	KUHN, KRISTINE	PERSHING	63,443.14	-26.07	0.00	-26.07	90.0	-23.46
03/02/2017	KUHN, KENNETH	PERSHING	44,500.47	-18.29	0.00	-18.29	90.0	-16.46
03/02/2017	KUHN, KRISTINE	PERSHING	10,509.03	-4.32	0.00	-4.32	90.0	-3.89
03/02/2017	KUHN, KENNETH	PERSHING	75,002.59	-30.82	0.00	-30.82	90.0	-27.74
03/02/2017	KUHN, KENNETH	PERSHING	9,480.63	-3.90	0.00	-3.90	90.0	-3.51
03/02/2017	FREIH, DIANE	PERSHING	21,287.39	-9.04	0.00	-9.04	90.0	-8.14
03/02/2017	FREIH, DIANE	PERSHING	170,804.03	-72.53	0.00	-72.53	90.0	-65.28
03/20/2017	FREIH, DIANE	POWERSHARES DB COMMODITY INDEX TRAC	1,542.58	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	POWERSHARES DB COMMODITY INDEX TRAC	6,907.55	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	POWERSHARES DB COMMODITY INDEX TRAC	6,505.60	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	POWERSHARES DB COMMODITY INDEX TRAC	1,295.17	0.00	0.00	0.00	90.0	0.00
03/22/2017	RETZLAFF, SANDRA	POWERSHARES DB COMMODITY INDEX TRAC	4,346.99	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	POWERSHARES DB COMMODITY INDEX TRAC	1,042.09	0.00	0.00	0.00	90.0	0.00
03/22/2017	RASMUSSEN, CLINTON	POWERSHARES DB COMMODITY INDEX TRAC	2,158.61	0.00	0.00	0.00	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
03/22/2017	LEE, DONALD	POWERSHARES DB COMMODITY INDEX TRAC	4,108.80	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	POWERSHARES DB COMMODITY INDEX TRAC	6,639.58	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	POWERSHARES DB COMMODITY INDEX TRAC	1,831.10	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	POWERSHARES DB COMMODITY INDEX TRAC	1,560.98	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	POWERSHARES EXCHANGE-TRADED FD TR I	1,884.30	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	POWERSHARES EXCHANGE-TRADED FD TR I	8,885.41	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	POWERSHARES EXCHANGE-TRADED FD TR I	4,639.90	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	POWERSHARES EXCHANGE-TRADED FD TR I	927.98	0.00	0.00	0.00	90.0	0.00
03/22/2017	RETZLAFF, SANDRA	POWERSHARES EXCHANGE-TRADED FD TR I	3,108.73	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	POWERSHARES EXCHANGE-TRADED FD TR I	742.38	0.00	0.00	0.00	90.0	0.00
03/22/2017	RASMUSSEN, CLINTON	POWERSHARES EXCHANGE-TRADED FD TR I	1,531.17	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	POWERSHARES EXCHANGE-TRADED FD TR I	2,946.34	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	POWERSHARES EXCHANGE-TRADED FD TR I	4,732.70	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	POWERSHARES EXCHANGE-TRADED FD TR I	1,299.17	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	POWERSHARES EXCHANGE-TRADED FD TR I	1,112.06	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	RESEARCH MARKET NEUTRAL FUND-INST	-6,917.90	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	RESEARCH MARKET NEUTRAL FUND-INST	-6,675.20	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	RESEARCH MARKET NEUTRAL FUND-INST	-1,314.55	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	RESEARCH MARKET NEUTRAL FUND-INST	-3,381.53	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	RESEARCH MARKET NEUTRAL FUND-INST	-1,033.98	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	RESEARCH MARKET NEUTRAL FUND-INST	-2,651.93	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	RESEARCH MARKET NEUTRAL FUND-INST	-1,526.05	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	RESEARCH MARKET NEUTRAL FUND-INST	-4,229.56	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	RESEARCH MARKET NEUTRAL FUND-INST	-3,950.46	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	RESEARCH MARKET NEUTRAL FUND-INST	-1,820.83	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	RIDGEWORTH SEIX FLOATING RATE HIGH	-7,125.42	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	RIDGEWORTH SEIX FLOATING RATE HIGH	-5,581.48	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	RIDGEWORTH SEIX FLOATING RATE HIGH	-1,099.19	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	RIDGEWORTH SEIX FLOATING RATE HIGH	-3,475.69	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	RIDGEWORTH SEIX FLOATING RATE HIGH	-1,067.12	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	RIDGEWORTH SEIX FLOATING RATE HIGH	-2,450.64	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	RIDGEWORTH SEIX FLOATING RATE HIGH	-1,276.00	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	RIDGEWORTH SEIX FLOATING RATE HIGH	-3,534.06	0.00	0.00	0.00	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
03/20/2017	SKAARE, LARRY	RIDGEWORTH SEIX FLOATING RATE HIGH	-3,303.13	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	RIDGEWORTH SEIX FLOATING RATE HIGH	-1,555.11	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-17,011.15	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-15,768.60	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-3,105.28	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-8,315.86	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-2,537.39	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-4,891.51	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-3,604.98	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-9,991.63	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-9,330.50	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-4,235.62	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	SPDR S&P MIDCAP 400 ETF TR UNIT SER	3,759.74	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	SPDR S&P MIDCAP 400 ETF TR UNIT SER	16,558.99	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	15,025.75	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	2,759.83	0.00	0.00	0.00	90.0	0.00
03/22/2017	RETZLAFF, SANDRA	SPDR S&P MIDCAP 400 ETF TR UNIT SER	10,119.38	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	2,453.18	0.00	0.00	0.00	90.0	0.00
03/22/2017	RASMUSSEN, CLINTON	SPDR S&P MIDCAP 400 ETF TR UNIT SER	4,906.37	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	SPDR S&P MIDCAP 400 ETF TR UNIT SER	9,506.09	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	15,332.40	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	SPDR S&P MIDCAP 400 ETF TR UNIT SER	3,986.42	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	SPDR S&P MIDCAP 400 ETF TR UNIT SER	3,401.29	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	SPDR SER TR BARCLAYS HIGH YIELD BD	439.29	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	SPDR SER TR BARCLAYS HIGH YIELD BD	2,945.71	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	SPDR SER TR BARCLAYS HIGH YIELD BD	13,019.33	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	SPDR SER TR BARCLAYS HIGH YIELD BD	2,582.05	0.00	0.00	0.00	90.0	0.00
03/22/2017	RETZLAFF, SANDRA	SPDR SER TR BARCLAYS HIGH YIELD BD	8,691.67	0.00	0.00	0.00	90.0	0.00
03/22/2017	RASMUSSEN, CLINTON	SPDR SER TR BARCLAYS HIGH YIELD BD	4,327.65	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	SPDR SER TR BARCLAYS HIGH YIELD BD	8,218.91	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	SPDR SER TR BARCLAYS HIGH YIELD BD	13,273.90	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	SPDR SER TR BARCLAYS HIGH YIELD BD	3,099.75	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	STERLING CAP FUNDS	-6,995.85	0.00	0.00	0.00	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
03/20/2017	MONETTE, PEGGY	STERLING CAP FUNDS	-6,271.66	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	STERLING CAP FUNDS	-1,235.17	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	STERLING CAP FUNDS	-3,419.71	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	STERLING CAP FUNDS	-1,040.82	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	STERLING CAP FUNDS	-1,433.78	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	STERLING CAP FUNDS	-3,973.98	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	STERLING CAP FUNDS	-3,711.37	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	STERLING CAP FUNDS	-1,689.13	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	VANECK VECTORS ETF TR HIGH-YIELD MU	2,097.36	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	VANECK VECTORS ETF TR HIGH-YIELD MU	3,647.58	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	VANGUARD CHARLOTTE FDS TOTAL INTL B	698.72	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	VANGUARD CHARLOTTE FDS TOTAL INTL B	-2,956.44	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	VANGUARD CHARLOTTE FDS TOTAL INTL B	-1,451.34	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	VANGUARD CHARLOTTE FDS TOTAL INTL B	-430.03	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	VANGUARD CHARLOTTE FDS TOTAL INTL B	-1,397.59	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	VANGUARD CHARLOTTE FDS TOTAL INTL B	3,940.39	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	VANGUARD INDEX FDS VANGUARD REIT ET	1,403.66	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	VANGUARD INDEX FDS VANGUARD REIT ET	1,300.90	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	VANGUARD INDEX FDS VANGUARD REIT ET	1,056.98	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	VANGUARD INDEX FDS VANGUARD REIT ET	243.92	0.00	0.00	0.00	90.0	0.00
03/22/2017	RETZLAFF, SANDRA	VANGUARD INDEX FDS VANGUARD REIT ET	813.07	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	VANGUARD INDEX FDS VANGUARD REIT ET	81.31	0.00	0.00	0.00	90.0	0.00
03/22/2017	RASMUSSEN, CLINTON	VANGUARD INDEX FDS VANGUARD REIT ET	325.23	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	VANGUARD INDEX FDS VANGUARD REIT ET	650.45	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	VANGUARD INDEX FDS VANGUARD REIT ET	2,601.81	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	VANGUARD INDEX FDS VANGUARD REIT ET	325.23	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	VANGUARD INDEX FDS VANGUARD REIT ET	247.19	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	VANGUARD INTL EQUITY INDEX FD INC F	17,222.13	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	VANGUARD INTL EQUITY INDEX FD INC F	73,207.22	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	VANGUARD INTL EQUITY INDEX FD INC F	91,211.34	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	VANGUARD INTL EQUITY INDEX FD INC F	18,194.64	0.00	0.00	0.00	90.0	0.00
03/22/2017	RETZLAFF, SANDRA	VANGUARD INTL EQUITY INDEX FD INC F	60,918.69	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	VANGUARD INTL EQUITY INDEX FD INC F	14,765.28	0.00	0.00	0.00	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
03/22/2017	RASMUSSEN, CLINTON	VANGUARD INTL EQUITY INDEX FD INC F	30,340.27	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	VANGUARD INTL EQUITY INDEX FD INC F	57,727.49	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	VANGUARD INTL EQUITY INDEX FD INC F	93,068.90	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	VANGUARD INTL EQUITY INDEX FD INC F	25,624.91	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	VANGUARD INTL EQUITY INDEX FD INC F	21,915.64	0.00	0.00	0.00	90.0	0.00
<b>* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *</b>			<b>\$542,652.57</b>	<b>\$-169.87</b>	<b>\$0.00</b>	<b>\$-169.87</b>		<b>\$-152.89</b>
<b>BROKER: TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L #336</b>								
03/20/2017	HENNEN, STUART	CAMBIAR INTERNATIONAL EQUITY FUND I	136,340.76	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	CAMBIAR INTERNATIONAL EQUITY FUND I	-135,085.43	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	CONGRESS MID CAP GROWTH FUND INSTIT	15,713.03	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	CONGRESS MID CAP GROWTH FUND INSTIT	-15,496.30	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	CREDIT SUISSE COMMODITY RETURN STRA	16,921.73	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	CREDIT SUISSE COMMODITY RETURN STRA	-16,785.26	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	HORIZON ACTIVE ASSET ALLOCATION FUN	87,026.02	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	HORIZON ACTIVE ASSET ALLOCATION FUN	-86,051.33	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	ISHARES TR RUSSELL 2000 INDEX FD	23,609.37	0.00	0.00	0.00	90.0	0.00
03/28/2017	HENNEN, ANN	ISHARES TR RUSSELL 2000 INDEX FD	6,076.63	0.00	0.00	0.00	90.0	0.00
03/28/2017	HENNEN, ANN	ISHARES TR S&P 500 INDEX FD	91,899.67	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	JH DISCIPLINED VAL CL 1	35,293.89	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	JH DISCIPLINED VAL CL 1	-34,563.67	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	MFS INTL NEW DISCOVERY FUND I	33,843.44	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	MFS INTL NEW DISCOVERY FUND I	-33,944.69	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	NAVIGATOR TACTICAL FIXED INCOME FUN	9,669.56	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	NAVIGATOR TACTICAL FIXED INCOME FUN	-9,650.91	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	NORTHERN MULTI- MANAGER EMERGING MA	19,339.12	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	NORTHERN MULTI- MANAGER EMERGING MA	-19,159.67	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	PERSHING	0.00	25.48	0.00	25.48	90.0	22.93
03/28/2017	HENNEN, ANN	POWERSHARES DB COMMODITY INDEX TRAC	16,709.85	0.00	0.00	0.00	90.0	0.00
03/28/2017	HENNEN, ANN	POWERSHARES EXCHANGE-TRADED FD TR I	11,941.05	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	RESEARCH MARKET NEUTRAL FUND-INST	16,921.73	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	RESEARCH MARKET NEUTRAL FUND-INST	-16,910.52	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	RIDGEWORTH SEIX FLOATING RATE HIGH	14,504.34	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	RIDGEWORTH SEIX FLOATING RATE HIGH	-14,454.73	0.00	0.00	0.00	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L #336</b>								
03/20/2017	HENNEN, STUART	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	39,161.71	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-38,583.27	0.00	0.00	0.00	90.0	0.00
03/28/2017	HENNEN, ANN	SPDR S&P MIDCAP 400 ETF TR UNIT SER	38,894.16	0.00	0.00	0.00	90.0	0.00
03/28/2017	HENNEN, ANN	SPDR SER TR BARCLAYS HIGH YIELD BD	33,440.85	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	STERLING CAP FUNDS	15,713.03	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	STERLING CAP FUNDS	-15,435.29	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	VANGUARD INDEX FDS VANGUARD REIT ET	9,660.28	0.00	0.00	0.00	90.0	0.00
03/28/2017	HENNEN, ANN	VANGUARD INDEX FDS VANGUARD REIT ET	2,437.14	0.00	0.00	0.00	90.0	0.00
03/28/2017	HENNEN, ANN	VANGUARD INTL EQUITY INDEX FD INC F	234,095.77	0.00	0.00	0.00	90.0	0.00
<b>* TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L SUBTOTAL *</b>			<b>\$473,092.06</b>	<b>\$25.48</b>	<b>\$0.00</b>	<b>\$25.48</b>		<b>\$22.93</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$1,007,588.05</b>	<b>\$-111.28</b>	<b>\$0.00</b>	<b>\$-111.28</b>		<b>\$-100.16</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$-100.16
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-197.98
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-298.14</b>
<b>Year-to-date Gross</b>	<b>\$9,616.00</b>
<b>Year-to-date Earnings</b>	<b>\$8,338.24</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed