



COMMISSION STATEMENT

January 17, 2017

DANIEL RAUPP
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: R132
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R137 DAN/ROY FT-RAUPP/PFLEGER #346</u>								
01/11/2017	ABRAHAM, BLESSY	SEI	12,175.12	19.79	0.00	19.79	100.0	19.79
01/11/2017	DAVIS, BRIDGET	SEI	101,000.48	164.13	0.00	164.13	100.0	164.13
01/11/2017	DIDERIKSEN, CHRISTIAN	SEI	599.69	0.98	0.00	0.98	100.0	0.98
01/11/2017	O'HARA, LORRAINE	SEI	20,391.80	33.14	0.00	33.14	100.0	33.14
01/11/2017	KUSHNER, CHRISTOPHER	SEI	17,436.65	28.33	0.00	28.33	100.0	28.33
01/11/2017	LAURO, MICHAEL	SEI	2,754.10	4.48	0.00	4.48	100.0	4.48
01/11/2017	MASTROSERIO, MICHELLE	SEI	61,011.02	99.14	0.00	99.14	100.0	99.14
01/11/2017	O'HARA, LORRAINE	SEI	120,896.87	196.46	0.00	196.46	100.0	196.46
01/11/2017	O'HARA, LORRAINE	SEI	1,822.24	2.96	0.00	2.96	100.0	2.96
01/11/2017	ORTENBERG, ALEC	SEI	110,340.70	179.30	0.00	179.30	100.0	179.30
* R137 DAN/ROY FT-RAUPP/PFLEGER SUBTOTAL *			\$448,428.67	\$728.71	\$0.00	\$728.71		\$728.71
*** SPLIT TRANSACTIONS TOTAL ***			\$448,428.67	\$728.71	\$0.00	\$728.71		\$728.71
<u>OVERRIDE TRANSACTIONS</u>								
<u>BROKER: ALAN LICHTENSTEIN #249</u>								
01/11/2017	HECKER, THOMAS	SEI	50,252.66	16.39	0.00	16.39	75.0	2.05
01/11/2017	MOYKA, PAMELA	SEI	10,006.41	3.47	0.00	3.47	75.0	0.43
* ALAN LICHTENSTEIN SUBTOTAL *			\$60,259.07	\$19.86	\$0.00	\$19.86		\$2.48
<u>BROKER: MARC LINSKY #263</u>								
01/05/2017	JARDIN, DEAN	PERSHING	327,306.19	807.06	0.00	807.06	90.0	13.72
* MARC LINSKY SUBTOTAL *			\$327,306.19	\$807.06	\$0.00	\$807.06		\$13.72
*** OVERRIDE TRANSACTIONS TOTAL ***			\$387,565.26	\$826.92	\$0.00	\$826.92		\$16.20

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$728.71
Override Transactions	\$16.20
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$1.27
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$746.18
Year-to-date Gross	\$728.71
Year-to-date Earnings	\$744.91