



All Companies

02/14/2018  
Page No. 1

# COMMISSION STATEMENT February 15, 2018

WILLIAM L. BROOKMAN  
1840 Hurstview Drive

BROKER #: 9081  
BRANCH: HOME OFFICE

HURST, TX 76054

## COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
02/07/2018	00074687854	MIZELL, NICOLE	CAPITAL INCOME BUILDER-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/07/2018	00074687854	MIZELL, NICOLE	FUNDAMENTAL INVESTORS-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/25/2018	00082017680	LAVALLEY, WILLIAM	AMERICAN FUNDS CAPITAL WORLD GROWT	B	100.00	3.75	0.00	3.75	90.0	3.38
01/25/2018	00082017680	LAVALLEY, WILLIAM	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	3.75	0.00	3.75	90.0	3.38
02/01/2018	00082311284	SCHUMANN,	THE INCOME FUND OF AMERICA-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
	EDGAR/REESE									
02/07/2018	00083143340	MIZELL, NICOLE	FUNDAMENTAL INVESTORS-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
02/01/2018	00084533081	SCHUMANN,	THE INCOME FUND OF AMERICA-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
	EDGAR/KAI									
02/07/2018	00085317312	MIZELL, NICOLE	FUNDAMENTAL INVESTORS-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
02/01/2018	00086109209	SCHUMANN,	AMERICAN MUTUAL FUND-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
	EDGAR/JAYLA									
01/25/2018	00089501407	LAVALLEY, PEGGY	AMERICAN FUNDS INCOME FD OF AMERI	B	200.00	7.50	0.00	7.50	90.0	6.75
			* MUTUAL FUNDS SUBTOTAL *		\$925.00	\$20.25	\$0.00	\$20.25		\$18.25
PRODUCT CATEGORY: VARIABLE ANNUITIES										
02/02/2018	TRAILS		AMERICAN GENERAL ANNUITY	B	650.68	650.68	0.00	650.68	90.0	585.61
01/30/2018	TRAILS		DELAWARE LIFE	B	146.13	146.13	0.00	146.13	90.0	131.52
01/31/2018	TRAILS		GENWORTH LIFE ANNUITY	B	104.43	104.43	0.00	104.43	90.0	93.99
			* VARIABLE ANNUITIES SUBTOTAL *		\$901.24	\$901.24	\$0.00	\$901.24		\$811.12
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/15/2018	TRAIL COMMISSIONS		FRANKLIN TEMPLETON GROUP FEES		0.00	37.10	0.00	37.10	90.0	33.40
02/15/2018	TRAIL COMMISSIONS		GOLDMAN SACHS FEES		0.00	1.76	0.00	1.76	90.0	1.58
			* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$38.86	\$0.00	\$38.86		\$34.98
			*** BROKER TRANSACTIONS TOTAL ***		\$1,826.24	\$960.35	\$0.00	\$960.35		\$864.35

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

02/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
02/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-7.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-107.50

BROKER COMMISSION TOTALS

Broker Transactions	\$864.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-107.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$756.85
Year-to-date Earnings	\$5,467.29