

**COMMISSION STATEMENT****October 31, 2017**

ROBERT LUCHSINGER
 600 Johnson Ave.
 Suite B5
 Bohemia, NY 11716

BROKER #: L117
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/20/2017	HANAK, EMMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	287.70	14.39	0.00	14.39	95.0	13.67
10/04/2017	LUCHSINGER, ELIZABETH	COLUMBIA GREATER CHINA A	0.00	4.65	0.00	4.65	95.0	4.42
10/04/2017	532 COUNTY RT 111	COLUMBIA LG VALUE QUANTITATIVE T	0.00	0.00	0.00	0.00	95.0	0.00
10/04/2017	LUCHSINGER, ELIZABETH	COLUMBIA MIDCAP VALUE A	0.00	3.92	0.00	3.92	95.0	3.72
10/04/2017	BREITFELLER, CYNTHIA	COLUMBIA STRATEGIC INCOME A	0.00	0.41	0.00	0.41	95.0	0.39
10/02/2017	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
10/02/2017	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
10/02/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
10/02/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
10/02/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
10/02/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
10/02/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
10/02/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
10/10/2017	ALBANESE, MICHAEL	OPPENHEIMER MAIN ST SMALL & MID CAP	100.00	1.00	0.00	1.00	95.0	0.95
10/02/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
10/02/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
10/10/2017	GUARINO, JOAN	OPPENHEIMER US GOVT TRUST A	7,500.00	150.00	0.00	150.00	95.0	142.50
10/09/2017	HAZEN, JAMES	PAC LIFE FUNDS STRATEGIC INC FUND	129.90	1.30	0.00	1.30	95.0	1.24
10/09/2017	HAZEN, JAMES	PAC LIFE FUNDS STRATEGIC INC FUND	142.55	1.43	0.00	1.43	95.0	1.36
10/10/2017	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.26	0.00	2.26	95.0	2.15
10/10/2017	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.51	0.00	2.51	95.0	2.38
10/10/2017	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	1.26	0.00	1.26	95.0	1.20
10/10/2017	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	2.01	0.00	2.01	95.0	1.91
10/10/2017	CHAPMAN, CLARENCE	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	0.25	0.00	0.25	95.0	0.24
10/10/2017	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.25	0.00	1.25	95.0	1.19
10/10/2017	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.53	0.00	1.53	95.0	1.45

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/10/2017	ROBERTO, JOSEPH	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	6.55	0.00	6.55	95.0	6.22
10/10/2017	BREITFELLER, CYNTHIA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	0.19	0.00	0.19	95.0	0.18
10/10/2017	BREITFELLER, CYNTHIA	SUNAMERICA SENIOR FLOATING RATE A	0.00	0.77	0.00	0.77	95.0	0.73
10/10/2017	TIERNAN, JOAN	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.66	0.00	1.66	95.0	1.58
10/10/2017	TIERNAN, JOAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.30	0.00	1.30	95.0	1.24
10/10/2017	SEAMAN, PATRICIA	SUNAMERICA US GOVT SECURITIES CL A	0.00	6.25	0.00	6.25	95.0	5.94
* MUTUAL FUNDS SUBTOTAL *			\$8,574.00	\$211.53	\$0.00	\$211.53		\$200.95
<u>PRODUCT CATEGORY: STOCKS</u>								
10/12/2017	LUCHSINGER, ROBERT	INSYS THERAPEUTICS INC NEW COM NEW	-3,910.05	30.00	22.75	7.25	95.0	6.89
10/12/2017	LUCHSINGER, ROBERT	SHOPIFY INC CL A ISIN#CA82509L1076	-9,498.50	30.00	22.50	7.50	95.0	7.13
* STOCKS SUBTOTAL *			\$-13,408.55	\$60.00	\$45.25	\$14.75		\$14.02
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/11/2017	GUARINO, CARMINE	HARTFORD LEADERS	23,000.00	1,380.00	0.00	1,380.00	95.0	1,311.00
10/10/2017	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	1,000.00	55.00	0.00	55.00	95.0	52.25
10/09/2017	LOITSCH, DIANE	PAC LIFE INNOVATIVE SELECT NY	0.00	250.36	0.00	250.36	95.0	237.84
10/09/2017	ALBANESE, MICHAEL	PAC LIFE INNOVATIVE SELECT NY	0.00	615.15	0.00	615.15	95.0	584.39
10/09/2017	MINARDI, LOUIS	PAC LIFE INNOVATIVE SELECT NY	0.00	311.81	0.00	311.81	95.0	296.22
10/09/2017	BECKWITH, DOROTHY	PAC LIFE INNOVATIVE SELECT NY	0.00	78.39	0.00	78.39	95.0	74.47
10/12/2017	FORMANEK, CAROL	PACIFIC LIFE CHOICE	0.00	209.40	0.00	209.40	95.0	198.93
10/12/2017	CACASE, KERRI	PACIFIC LIFE CHOICE	0.00	84.43	0.00	84.43	95.0	80.21
* VARIABLE ANNUITIES SUBTOTAL *			\$24,000.00	\$2,984.54	\$0.00	\$2,984.54		\$2,835.31
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/30/2017	LUCHSINGER, VARIOUS	PACIFIC LIFE FUNDS	0.00	107.15	0.00	107.15	95.0	101.79
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$107.15	\$0.00	\$107.15		\$101.79
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
10/14/2017	LENTINI, ANNA	LANDMARK 2002	0.00	666.17	0.00	666.17	95.0	632.86
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$666.17	\$0.00	\$666.17		\$632.86
*** BROKER TRANSACTIONS TOTAL ***			\$19,165.45	\$4,029.39	\$45.25	\$3,984.14		\$3,784.93

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
09/30/2017	LUCHSIN-NEVIN, VARIOUS	PACIFIC LIFE FUNDS	0.00	347.51	0.00	347.51	0.0	139.00
10/12/2017	FOELL, DIANA	ING EMPIRE INNOVATIONS STD OPT A	0.00	36.39	0.00	36.39	0.0	14.56
10/14/2017	PANDOLFO, CATHERINE	ING EMPIRE INNOVATIONS STD OPT A	0.00	500.49	0.00	500.49	0.0	200.20
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	4.70	0.00	4.70	0.0	1.88
10/10/2017	WESTRENEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.40	0.00	1.40	0.0	0.56
10/10/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	11.50	0.00	11.50	0.0	4.60
10/10/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.70	0.00	3.70	0.0	1.48
10/10/2017	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.35	0.00	1.35	0.0	0.54
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$0.00	\$907.04	\$0.00	\$907.04		\$362.82
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$907.04	\$0.00	\$907.04		\$362.82

BROKER COMMISSION TOTALS

Broker Transactions	\$3,784.93
Split Transactions	\$0.00
Override Transactions	\$362.82
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,147.75
Year-to-date Gross	\$257,419.69
Year-to-date Earnings	\$246,212.68

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