

STATEMENT

August 20, 2018

JOHN DAVENPORT
800 Connecticut Ave
Suite E401
Norwalk, CT 06854

BROKER #: 030
BRANCH: LA BRANCH

COMMISSION STATEMENT for JOHN DAVENPORT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
07/08/0018 #922365491	SABLE, THEODORA	LINCOLN CPP	B	49,077.40	858.85	0.00	0.00	858.85	89.0	764.38
07/08/0018 #922365491	SABLE, THEODORA	LINCOLN CPP	B	922.60	32.29	0.00	0.00	32.29	89.0	28.74
06/30/0201 #015952694	SABLE, JESSE	NATIONWIDE DESTINATION LS	B	0.00	43.26	0.00	0.00	43.26	89.0	38.50
06/30/0201 #015933049	CANTINE, FRANCESCA	NATIONWIDE DESTINATION LS	B	0.00	47.05	0.00	0.00	47.05	89.0	41.87
06/30/0201 #015933377	CANTINE, EDWARD	NATIONWIDE DESTINATION LS	B	0.00	302.02	0.00	0.00	302.02	89.0	268.80
06/30/0201 #015942398	GERSON, ARNOLD	NATIONWIDE DESTINATION LS	B	0.00	110.68	0.00	0.00	110.68	89.0	98.51
06/30/0201 #015944479	GOLDSMITH, PETER	NATIONWIDE DESTINATION LS	B	0.00	161.90	0.00	0.00	161.90	89.0	144.09
07/20/0201 #922365491	SABLE, THEODORA	LINCOLN CPP	B	49,077.40	858.85	0.00	0.00	858.85	89.0	764.38
06/30/2018 #1200275344	CASSELS,	PERSP REWARDS	B	572,974.88	119.37	0.00	0.00	119.37	89.0	106.24
06/30/2018 #1200434590	GOLDSMITH, PETER	PERSP REWARDS	B	236,905.01	49.36	0.00	0.00	49.36	89.0	43.93
06/30/2018 #1200286079	SIEGEL, NURIT	PERSP REWARDS	B	332,545.08	69.28	0.00	0.00	69.28	89.0	61.66
06/30/2018 #029017881A	FRAIR, JULE	PRPS II (0505)	B	136,501.81	56.88	0.00	0.00	56.88	89.0	50.62
06/30/2018 #029017936A	FRAIR, JULE	PRPS II 0505 RP	B	14,642.49	6.10	0.00	0.00	6.10	89.0	5.43
07/02/2018 #1101059550	WALSH, JOAN	BRIGHTHOUSE HCAPAL1	B	0.00	130.84	0.00	0.00	130.84	89.0	116.45
07/02/2018 #1101415414	MENDLESON, BARRY	BRIGHTHOUSE HCAPAL1	B	0.00	94.97	0.00	0.00	94.97	89.0	84.52
07/02/2018 #1101416008	SCENA, JAMES	BRIGHTHOUSE HCAPAL1	B	0.00	323.23	0.00	0.00	323.23	89.0	287.67
07/02/2018 #1101416016	MENDLESON, BARRY	BRIGHTHOUSE HCAPAL1	B	0.00	202.49	0.00	0.00	202.49	89.0	180.22
07/02/2018 #1101418806	STARR, DENNIS	BRIGHTHOUSE HCAPAL1	B	0.00	54.10	0.00	0.00	54.10	89.0	48.15
07/02/2018 #1101419457	HALL	BRIGHTHOUSE HCAPAL1	B	0.00	11.39	0.00	0.00	11.39	89.0	10.14
07/02/2018 #1101420760	STARR, DENNIS	BRIGHTHOUSE HCAPAL1	B	0.00	59.45	0.00	0.00	59.45	89.0	52.91
07/02/2018 #1101423368	SCENA, JAMES	BRIGHTHOUSE HCAPAL1	B	0.00	107.38	0.00	0.00	107.38	89.0	95.57
07/02/2018 #1101510321	CARDUCCI,	BRIGHTHOUSE HCAPAL1	B	0.00	82.14	0.00	0.00	82.14	89.0	73.10
07/02/2018 #1101512913	CARDUCCI,	BRIGHTHOUSE HCAPAL1	B	0.00	53.43	0.00	0.00	53.43	89.0	47.55
07/02/2018 #3202605060	MARX, GEORGE	BRIGHTHOUSE HCAPAL1	B	0.00	389.12	0.00	0.00	389.12	89.0	346.32
07/06/2018 #306665230	STOWELL, RONNIE	AXA	B	76,736.32	143.88	0.00	0.00	143.88	89.0	128.05
07/06/2018 #306667830	STOWELL, JAMES	AXA	B	62,545.71	117.27	0.00	0.00	117.27	89.0	104.37
07/06/2018 #306675375	MANNUCCIA,	AXA	B	224,347.16	140.22	0.00	0.00	140.22	89.0	124.80
07/06/2018 #306675378	MANNUCCIA, JANET	AXA	B	194,057.14	121.29	0.00	0.00	121.29	89.0	107.95
07/06/2018 #307666433	GOLDSMITH, PETER	AXA	B	543,542.66	339.71	0.00	0.00	339.71	89.0	302.34
07/13/2018 #1201677221	ZIMMERMAN, ALICE	PII NY 01/16	B	46.44	3.25	0.00	0.00	3.25	89.0	2.89
07/31/2018 #1017311642	ANTON, KLEANTHES	PERSP L 08/11	B	239,812.45	99.92	0.00	0.00	99.92	89.0	88.93

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BROKER TRANSACTIONS											
PRODUCT CATEGORY: VARIABLE ANNUITIES											
07/31/2018	#1017320242	ANTON, KLEANTHES	PERSP L 08/11	B	519,457.27	216.44	0.00	0.00	216.44	89.0	192.63
07/31/2018	#1010814975	ARTMAN HODGE,	PERSP REWARDS	B	538,182.79	156.97	0.00	0.00	156.97	89.0	139.70
07/31/2018	#1011996341	CARDUCCI,	PERSP REWARDS	B	472,342.49	137.77	0.00	0.00	137.77	89.0	122.62
07/31/2018	#1009751552	HARMAN, JAMES	PERSP REWARDS	B	1,245,590.30	363.30	0.00	0.00	363.30	89.0	323.34
07/31/2018	#1010820258	HODGE, JAMES	PERSP REWARDS	B	333,940.87	97.40	0.00	0.00	97.40	89.0	86.69
07/31/2018	#1010209702	SANDBERG, STUART	PERSP REWARDS	B	218,168.18	63.63	0.00	0.00	63.63	89.0	56.63
07/31/2018	#1011235413	STARR, MICHELE	PERSP REWARDS	B	149,233.54	43.53	0.00	0.00	43.53	89.0	38.74
07/31/2018	#1011501482	YOUNG, ROGER	PERSP REWARDS	B	217,246.08	63.36	0.00	0.00	63.36	89.0	56.39
* VARIABLE ANNUITIES SUBTOTAL *					\$6,427,896.07	\$6,332.37	\$0.00	\$0.00	\$6,332.37		\$5,635.82
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
07/11/2018	#	DAVENPORT, ANDEW	DAIN TRAILER	B	0.00	2.63	0.00	0.00	2.63	89.0	2.34
07/11/2018	#	FLORIO, EMILY	DAIN TRAILER	B	0.00	23.86	0.00	0.00	23.86	89.0	21.24
07/11/2018	#	FLORIO, EMILY	DAIN TRAILER	B	0.00	24.14	0.00	0.00	24.14	89.0	21.48
07/11/2018	#	ANTON, KLEANTHES	DAIN TRAILER	B	0.00	0.62	0.00	0.00	0.62	89.0	0.55
07/19/2018	#	CAROLE R ARTMAN	DAIN TRAILER	B	0.00	5.91	0.00	0.00	5.91	89.0	5.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$57.16	\$0.00	\$0.00	\$57.16		\$50.87
*** BROKER TRANSACTIONS TOTAL ***					\$6,427,896.07	\$6,389.53	\$0.00	\$0.00	\$6,389.53		\$5,686.69

ADJUSTMENTS

08/20/2018	CIRCLEBLACK: CIRCLEBLACK	-175.00
08/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
08/20/2018	ADMIN FEE: ADMIN FEE	-390.00
08/20/2018	ASSISTANT FEE: ASSISTANT FEE	-59.00
08/20/2018	ASSISTANT FEE: ASSISTANT FEE	-59.00
08/20/2018	FEDEX: FEDEX ARMS	-11.44
08/20/2018	FEDEX: FEDEX ARMS	-32.45
08/20/2018	FEDEX: FEDEX RAVELLI	-32.61
08/20/2018	FEDEX: FEDEX SABLE	-31.88
08/20/2018	FEDEX: FEDEX SMOOT	-31.88
08/20/2018	FEDEX: FEDEX WILLIAMS	-32.45
08/20/2018	FEDEX: FEDEX ARMS	-11.47
08/20/2018	FEDEX: FEDEX BARRY MENDELSON	-7.35
08/20/2018	FEDEX: FEDEX DEITER	-15.57
08/20/2018	FEDEX: FEDEX SMOOT	-10.89
08/20/2018	FEDEX: FEDEX LOW & CHU	-11.18
08/20/2018	FEDEX: FEDEX NEWBERG	-11.18
08/20/2018	FEDEX: FEDEX NEWBERG	-7.24
08/20/2018	FEDEX: FEDEX AXA EQUITABLE	-6.74

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ADJUSTMENTS

08/20/2018		FEDEX: FEDEX BRIGHTHOUSE FINANCIAL								-10.73
08/20/2018		FEDEX: FEDEX CLARK								-28.40
08/20/2018		FEDEX: FEDEX DIETER								-11.63
08/20/2018		FEDEX: FEDEX DIETER								-15.44
08/20/2018		FEDEX: FEDEX DIETER								-11.49
08/20/2018		FEDEX: FEDEX CARNEY								-11.30
08/20/2018		FEDEX: FEDEX MENDELSON								-11.30
08/20/2018		FEDEX: FEDEX DVORNEK								-11.21
08/20/2018		ADVANCE: ADVANCE ADVANCE FOR TRAILS								-6,586.58
		PUT INTO ESCROW								
08/20/2018		ADVANCE: ADVANCE FOR TRAILS								-6,586.58
08/20/2018		FEDEX: FEDEX CARNEY								-7.35
08/20/2018		ADVANCE: ADVANCE ADVANCE FOR TRAILS								-1,482.16
08/20/2018		WIRE FEE: WIRE FEE RBC WIRE								-35.00
		TRANSFER FEE								
08/20/2018		ADVANCE: ADVANCE								-6,100.00
*** ADJUSTMENTS TOTAL ***										<u>\$-22,025.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$5,686.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-22,025.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-16,338.81</u>
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