



All Companies

03/29/2018  
Page No. 1

# COMMISSION STATEMENT April 02, 2018

JOHN J. O'REILLY  
25042 SUMMIT CREEK

BROKER #: 9585  
BRANCH: HOME OFFICE

San Antonio, TX 78258-

## COMMISSION STATEMENT for JOHN J. O'REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
03/05/2018	GONZALEZ, ROBERT	-SCHOLARS EDGE 529 PLAN	B	30.00	0.90	0.00	0.90	70.0	0.63
03/09/2018	TRAILS	EATON VANCE - GENERAL	B	2.62	2.62	0.00	2.62	70.0	1.83
03/06/2018	000000000092231 TARPINIAN, JOSEPH	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	2.50	0.00	2.50	70.0	1.75
03/20/2018	000000000092231 TARPINIAN, JOSEPH	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	2.50	0.00	2.50	70.0	1.75
03/06/2018	000000000092243 JONES, KAREN	FRK INTL SMALL CAP GROWTH FUND - CL B	B	55.00	1.54	0.00	1.54	70.0	1.08
03/20/2018	000000000092243 JONES, KAREN	FRK INTL SMALL CAP GROWTH FUND - CL B	B	55.00	1.54	0.00	1.54	70.0	1.08
03/06/2018	000000001177637 JONES, KAREN M.	FRK AGGRESSIVE GRWTH - CL A	B	96.25	2.70	0.00	2.70	70.0	1.89
03/20/2018	000000001177637 JONES, KAREN M.	FRK AGGRESSIVE GRWTH - CL A	B	96.25	2.70	0.00	2.70	70.0	1.89
03/15/2018	000000001293253 VOHRA, RUKSANA	FRANKLIN RISING DIVIDEND 2 FD	B	50.00	0.50	0.00	0.50	70.0	0.35
03/06/2018	000006982462769 JONES, KAREN	TEMPLETON GLOBAL BD FD CL I	B	27.50	0.77	0.00	0.77	70.0	0.54
03/20/2018	000006982462769 JONES, KAREN	TEMPLETON GLOBAL BD FD CL I	B	27.50	0.77	0.00	0.77	70.0	0.54
03/06/2018	000015813931074 TARPINIAN, JOSEPH	FRANKLIN RISING DIVIDEND FD CL I	B	50.00	2.50	0.00	2.50	70.0	1.75
03/20/2018	000015813931074 TARPINIAN, JOSEPH	FRANKLIN RISING DIVIDEND FD CL I	B	50.00	2.50	0.00	2.50	70.0	1.75
03/06/2018	000015813931100 JONES, KAREN	FRANKLIN RISING DIVIDEND FD CL I	B	96.25	2.70	0.00	2.70	70.0	1.89
03/20/2018	000015813931100 JONES, KAREN	FRANKLIN RISING DIVIDEND FD CL I	B	96.25	2.70	0.00	2.70	70.0	1.89
03/19/2018	00084387418 LERMA, ALEJANDRO	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	2.50	0.00	2.50	70.0	1.75
03/15/2018	00084586485 GUAJARDO, JORGE	THE GROWTH FUND OF AMERICA-C	B	150.00	1.50	0.00	1.50	70.0	1.05
03/22/2018	00084750749 ARTAIL, KIMBERLY	CAPITAL WORLD GROWTH AND INCOME-529	B	50.00	0.50	0.00	0.50	70.0	0.35
03/19/2018	00084753253 UNTIL AGE 21, TX/UTMA	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	70.0	0.35
03/06/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	4.10	0.03	0.00	0.03	70.0	0.02
03/14/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	4.88	0.04	0.00	0.04	70.0	0.03
03/20/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	5.08	0.04	0.00	0.04	70.0	0.03
03/05/2018	02000035041 GONZALEZ, ROBERT	AGES 12-14 YEARS A	B	30.00	0.90	0.00	0.90	70.0	0.63
03/01/2018	02000035695 RIOS, ELVIRA	AGES 12-14 YEARS A	B	50.00	1.50	0.00	1.50	70.0	1.05

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
03/05/2018	02000094560 MCCANN/WILEY, JANIE/KERBI	-SCHOLARS EDGE 529 PLAN	B	25.00	0.75	0.00	0.75	70.0	0.53
03/05/2018	1951227842 WONG, AARON	INTERNATIONAL DIVERSIFIED FUND A	B	50.00	2.00	0.00	2.00	70.0	1.40
03/14/2018	1952247729 KINZIE, MELANIE	INTERNATIONAL DIVERSIFIED FUND A	B	50.00	2.38	0.00	2.38	70.0	1.67
03/01/2018	1970666875 PINA-HERNANDEZ, DIANA	INTERNATIONAL DIVERSIFIED FUND C	B	131.25	1.31	0.00	1.31	70.0	0.92
03/15/2018	1970871068 MUSQUIZ, CYNTHIA	INTERNATIONAL DIVERSIFIED FUND C	B	30.00	0.30	0.00	0.30	70.0	0.21
03/15/2018	2270927927 MUSQUIZ, CYNTHIA	OPPENHEIMER QUEST VALUE FD CL C	B	45.00	0.45	0.00	0.45	70.0	0.32
03/15/2018	2322742234 MUSQUIZ, CYNTHIA	OPPENHEIMER STRATEGIC INCOME FD CL B	B	15.00	0.15	0.00	0.15	70.0	0.11
03/15/2018	2931797433 MUSQUIZ, CYNTHIA	SENIOR FLOATING RATE FUND C	B	15.00	0.15	0.00	0.15	70.0	0.11
03/15/2018	4120809215 MUSQUIZ, CYNTHIA	OPPENHEIMER GOLD & SPECIAL MINER B	B	15.00	0.15	0.00	0.15	70.0	0.11
03/15/2018	5020458436 MUSQUIZ, CYNTHIA	OPPENHEIMER DISCOVERY FD CL C	B	30.00	0.30	0.00	0.30	70.0	0.21
03/15/2018	5920295900 MUSQUIZ, CYNTHIA	REAL ESTATE FUND C	B	15.00	0.15	0.00	0.15	70.0	0.11
03/15/2018	7872043426 MUSQUIZ, CYNTHIA	OPPENHEIMER DEVELOPING MARKET FD CL B	B	30.00	0.30	0.00	0.30	70.0	0.21
03/15/2018	8270776754 MUSQUIZ, CYNTHIA	OPPENHEIMER INTL GROWTH FD CL C	B	45.00	0.45	0.00	0.45	70.0	0.32
03/14/2018	8351853291 KINZIE, MELANIE	OPPENHEIMER QUEST CAPITAL VALUE B	B	25.00	1.19	0.00	1.19	70.0	0.83
03/15/2018	8370475684 MUSQUIZ, CYNTHIA	EQUITY INCOME FUND, INC. C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/14/2018	8809188872 KINZIE, MELANIE	OPPENHEIMER INTL BD FD CL A	B	25.00	1.00	0.00	1.00	70.0	0.70
03/01/2018	8821716979 PINA-HERNANDEZ, DIANA	OPPENHEIMER INTL BD FD CL C	B	43.75	0.44	0.00	0.44	70.0	0.31
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$1,876.68</b>	<b>\$49.02</b>	<b>\$0.00</b>	<b>\$49.02</b>		<b>\$34.36</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
01/12/2018	12220999 SMITH, BERNARD C. III	SIGNAL SECURITIES RIA	B	0.00	103.00	0.00	103.00	70.0	72.10
01/12/2018	13238509 SINCLAIR, KATHERINE M.	SIGNAL SECURITIES RIA	B	0.00	102.00	0.00	102.00	70.0	71.40
01/12/2018	13983746 ENGELHORN, ANN	SIGNAL SECURITIES RIA	B	0.00	78.00	0.00	78.00	70.0	54.60
01/12/2018	14035391 PETRUCCI, MICHELE L	SIGNAL SECURITIES RIA	B	0.00	213.66	0.00	213.66	70.0	149.56
01/12/2018	15170253 ALMONTE, JANET	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	70.0	16.80
01/12/2018	16851102 SPAIN, ASHLEY & KENNET	SIGNAL SECURITIES RIA	B	0.00	3.66	0.00	3.66	70.0	2.56
01/12/2018	26132361 HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	8.34	0.00	8.34	70.0	5.84
01/12/2018	27920326 KIRCHOFER/TRUSTY, DAWN & BETTY	SIGNAL SECURITIES RIA	B	0.00	39.34	0.00	39.34	70.0	27.54
01/12/2018	29926270 KIRKMAN, KENT S	SIGNAL SECURITIES RIA	B	0.00	39.34	0.00	39.34	70.0	27.54
01/12/2018	31239223 HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	46.34	0.00	46.34	70.0	32.44
01/12/2018	35855095 ALMONTE, ROBERT	SIGNAL SECURITIES RIA	B	0.00	246.66	0.00	246.66	70.0	172.66
01/12/2018	38672030 HETTINGER, STEPHANIE & AND	SIGNAL SECURITIES RIA	B	0.00	10.34	0.00	10.34	70.0	7.24
01/12/2018	39026321 SMITH, KATHY J	SIGNAL SECURITIES RIA	B	0.00	138.00	0.00	138.00	70.0	96.60

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<u>BROKER TRANSACTIONS</u>									
<b>PRODUCT CATEGORY: RIA</b>									
01/12/2018 44751241	WILLSON, BRENDA EVANS	SIGNAL SECURITIES RIA	B	0.00	189.34	0.00	189.34	70.0	132.54
01/12/2018 45156560	WALKER, KATHLEEN DIANE	SIGNAL SECURITIES RIA	B	0.00	364.66	0.00	364.66	70.0	255.26
01/12/2018 48792670	TRAN, JOHN HUAN	SIGNAL SECURITIES RIA	B	0.00	124.34	0.00	124.34	70.0	87.04
01/12/2018 49221103	PREUSS, CHARLES/JEANETT	SIGNAL SECURITIES RIA	B	0.00	389.66	0.00	389.66	70.0	272.76
01/12/2018 51882908	SULLIVAN, DONNA B.	SIGNAL SECURITIES RIA	B	0.00	131.34	0.00	131.34	70.0	91.94
01/12/2018 52865620	JAHNS, BARBARA J.	SIGNAL SECURITIES RIA	B	0.00	222.34	0.00	222.34	70.0	155.64
01/12/2018 53855208	SPAIN, KENNETH	SIGNAL SECURITIES RIA	B	0.00	9.34	0.00	9.34	70.0	6.54
01/12/2018 55187004	MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	359.00	0.00	359.00	70.0	251.30
01/12/2018 56791320	TRUSTY, BETTY	SIGNAL SECURITIES RIA	B	0.00	48.34	0.00	48.34	70.0	33.84
01/12/2018 58311572	MORIARTY, MERRY/DANIEL	SIGNAL SECURITIES RIA	B	0.00	135.00	0.00	135.00	70.0	94.50
01/12/2018 60833365	SPAIN, ASHLEY & KENNET	SIGNAL SECURITIES RIA	B	0.00	1.34	0.00	1.34	70.0	0.94
01/12/2018 62758634	KARL, LAURA/ FERNANDO	SIGNAL SECURITIES RIA	B	0.00	676.00	0.00	676.00	70.0	473.20
01/12/2018 63242808	ROSS, ERIS R	SIGNAL SECURITIES RIA	B	0.00	57.00	0.00	57.00	70.0	39.90
01/12/2018 68055487	MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	25.34	0.00	25.34	70.0	17.74
01/12/2018 68991609	HUSTON, STEVE C	SIGNAL SECURITIES RIA	B	0.00	228.34	0.00	228.34	70.0	159.84
01/12/2018 69084796	HETTINGER, ANDREW JASON	SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00	70.0	8.40
01/12/2018 72527951	ENGELHORN, ANN	SIGNAL SECURITIES RIA	B	0.00	153.00	0.00	153.00	70.0	107.10
01/12/2018 76952379	KIRKMAN, ELLEN F	SIGNAL SECURITIES RIA	B	0.00	31.34	0.00	31.34	70.0	21.94
01/12/2018 77047700	HETTINGER, STEPHANIE & AND	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	70.0	7.00
01/12/2018 77154313	HILLS, BERNARD& ANTOIN	SIGNAL SECURITIES RIA	B	0.00	249.66	0.00	249.66	70.0	174.76
01/12/2018 79434263	HETTINGER, ANDREW JASON	SIGNAL SECURITIES RIA	B	0.00	56.66	0.00	56.66	70.0	39.66
01/12/2018 81586140	MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	124.00	0.00	124.00	70.0	86.80
01/12/2018 82278696	GARRISON, PATRICIA A	SIGNAL SECURITIES RIA	B	0.00	40.66	0.00	40.66	70.0	28.46
01/12/2018 84235121	JONES, MATTHEW W	SIGNAL SECURITIES RIA	B	0.00	10.66	0.00	10.66	70.0	7.46
01/12/2018 85795100	BRUCE, BRENDA	SIGNAL SECURITIES RIA	B	0.00	230.66	0.00	230.66	70.0	161.46
01/12/2018 86268239	BOURGOIS, FAY L.	SIGNAL SECURITIES RIA	B	0.00	60.00	0.00	60.00	70.0	42.00
01/12/2018 90026714	O'CONNOR, CHRISTINE A	SIGNAL SECURITIES RIA	B	0.00	184.66	0.00	184.66	70.0	129.26
01/12/2018 92572691	HERRING, GAYLE HALL	SIGNAL SECURITIES RIA	B	0.00	30.34	0.00	30.34	70.0	21.24
01/12/2018 94367363	HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	70.0	4.20
01/12/2018 98606484	WILLSON II, DERRELL C	SIGNAL SECURITIES RIA	B	0.00	335.34	0.00	335.34	70.0	234.74
01/12/2018 99342265	SPAIN, KENNETH	SIGNAL SECURITIES RIA	B	0.00	22.00	0.00	22.00	70.0	15.40
<b>* RIA SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$5,571.04</b>	<b>\$0.00</b>	<b>\$5,571.04</b>		<b>\$3,899.74</b>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$1,876.68	\$5,620.06	\$0.00	\$5,620.06	\$3,934.10
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ADJUSTMENTS

04/02/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$3,934.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$3,906.60
Year-to-date Earnings	\$16,608.86