



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/09/2018	***-**-480J - EVANS, RICHARD	AMERICAN BALANCED FUND-A	125.00	4.69	0.00	4.69	0.0	0.00
	ACCOUNT #: 00073288662	BUY 4 Units @28.8200						
07/19/2018	***-**-11IO - LYNN G.	AMERICAN GLOBAL BALANCED CL C	3,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011239	SELL 93 Units @32.0900						
07/16/2018	***-**-0069 - KRECIDLO,	CAPITAL WORLD GROWTH AND INCOME FUN	75.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: 00083510637	BUY 1 Units @50.9600						
07/16/2018	***-**-2855 - KRECIDLO, MARTA	CAPITAL WORLD GROWTH AND INCOME FUN	75.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: 00083510745	BUY 1 Units @50.9600						
07/19/2018	***-**-11IO - LYNN G.	CAPITAL WORLD GROWTH AND INCOME FUN	2,999.99	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011239	SELL 59 Units @50.6200						
07/19/2018	***-**-11IO - LYNN G.	FUNDAMENTAL INVESTORS-C	3,000.02	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011239	SELL 47 Units @63.6500						
07/19/2018	***-**-11IO - LYNN G.	INCOME FUND OF AMERICA CLASS C	999.99	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011239	SELL 44 Units @22.7100						
07/17/2018	***-**-37IO - DAVIDSON,	MFS CONSERVATIVE ALLOCATION CL C	1,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR012268	SELL 65 Units @15.4100						
07/17/2018	***-**-37IO - DAVIDSON,	MFS TECHNOLOGY CLASS C	1,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR012268	SELL 26 Units @39.0200						
07/19/2018	***-**-11IO - LYNN G.	MFS UTILITIES FUND-C	1,000.01	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011239	SELL 48 Units @20.6600						
07/23/2018	***-**-614J - BUSHEY, MATTHEW	NYA JPM 529 MOD AGE-BASED A	100.00	4.76	0.00	4.76	0.0	0.00
		BUY 0 Units @0.0000						
07/03/2018	***-**-13IN - BLISS, PATRICK	OPPENHEIMER ACTIVE ALLOCATION FUND	75.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: 05521022681	BUY 0 Units @0.0000						
07/09/2018	***-**-775I - BLISS, APRIL	OPPENHEIMER ACTIVE ALLOCATION FUND	90.98	0.91	0.00	0.91	0.0	0.00
	ACCOUNT #: 05521022692	BUY 0 Units @0.0000						
07/19/2018	***-**-11IO - LYNN G.	OPPENHEIMER ACTIVE ALLOCATION FUND	5,000.01	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011239	SELL 344 Units @14.5200						
07/13/2018	***-**-376I - BERBEN, GAIL	PUTNAM ABSOLUTE RETURN 700 CL C	10,000.00	118.00	18.00	100.00	0.0	0.00
	ACCOUNT #: LSR011380	BUY 872 Units @11.4700						
07/13/2018	***-**-726I - YADDAW, DEBRA	PUTNAM ABSOLUTE RETURN 700 CL C	10,000.00	118.00	18.00	100.00	0.0	0.00
	ACCOUNT #: LSR012338	BUY 872 Units @11.4700						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/13/2018	***-**-513I - RHYMESTINE, ACCOUNT #: LSR012457	PUTNAM ABSOLUTE RETURN 700 CL C BUY 741 Units @11.4700	8,500.00	103.00	18.00	85.00	0.0	0.00
07/19/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	PUTNAM CONVERTIBLE SECURITIES CL C SELL 188 Units @26.5600	5,000.00	18.00	18.00	0.00	0.0	0.00
07/19/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	PUTNAM EQUITY SPECTRUM FD CL C SELL 82 Units @36.7800	3,000.00	18.00	18.00	0.00	0.0	0.00
07/19/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	SMALLCAP WORLD FUND-C SELL 95 Units @52.3600	5,000.01	18.00	18.00	0.00	0.0	0.00
07/09/2018	***-**-480J - EVANS, RICHARD ACCOUNT #: 00073288662	THE GROWTH FUND OF AMERICA-A BUY 2 Units @58.0600	125.00	4.69	0.00	4.69	0.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$60,166.01</b>	<b>\$554.30</b>	<b>\$252.00</b>	<b>\$302.30</b>		<b>\$0.00</b>
<b>PRODUCT CATEGORY: STOCKS</b>								
07/13/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	BERKSHIRE HATHAWAY INC DEL CL B NEW BUY 50 Units @189.8000	9,490.00	113.90	18.00	95.90	0.0	0.00
07/18/2018	***-**-851I - BLAKE, JAMES ACCOUNT #: LSR012390	GENESIS HEALTHCARE INC CL A COM ISI BUY 1500 Units @1.3600	2,040.00	49.99	45.00	4.99	0.0	0.00
07/18/2018	***-**-51IR - BLAKE, JAMES ACCOUNT #: LSR012391	GENESIS HEALTHCARE INC CL A COM ISI BUY 2000 Units @1.3600	2,720.00	49.99	55.00	-5.01	100.0	-5.01
<b>* STOCKS SUBTOTAL *</b>			<b>\$14,250.00</b>	<b>\$213.88</b>	<b>\$118.00</b>	<b>\$95.88</b>		<b>\$-5.01</b>
<b>PRODUCT CATEGORY: 529 PLAN</b>								
07/16/2018	***-**-5375 - CARTER, LISA ACCOUNT #: 00083996363	AMERICAN BALANCED FUND-529C BUY 18 Units @27.5100	500.00	5.00	0.00	5.00	0.0	0.00
07/20/2018	***-**-755P - SHEPPARD, ACCOUNT #: 00084018452	THE GROWTH FUND OF AMERICA-529C BUY 1 Units @51.3400	50.00	0.50	0.00	0.50	0.0	0.00
<b>* 529 PLAN SUBTOTAL *</b>			<b>\$550.00</b>	<b>\$5.50</b>	<b>\$0.00</b>	<b>\$5.50</b>		<b>\$0.00</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
07/23/2018	***-**-702I - KOICHEM, MICHAEL ACCOUNT #: LSR010954	AF MODERATE GROWTH AND INCOME-A BUY 1 Units @0.0000	0.00	4.07	0.00	4.07	0.0	0.00
07/23/2018	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	AF MODERATE GROWTH AND INCOME-A BUY 1 Units @0.0000	0.00	1.47	0.00	1.47	0.0	0.00



# COMMISSION STATEMENT

July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	AF MODERATE GROWTH AND INCOME-A BUY 1 Units @0.0000	0.00	0.79	0.00	0.79	0.0	0.00
07/23/2018	***-**-808J - GORMAN, RANDY ACCOUNT #: LSR011087	AF MODERATE GROWTH AND INCOME-A BUY 1 Units @0.0000	0.00	0.24	0.00	0.24	0.0	0.00
07/23/2018	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	AF MODERATE GROWTH AND INCOME-A BUY 1 Units @0.0000	0.00	2.03	0.00	2.03	0.0	0.00
07/23/2018	***-**-71IO - FAMOLARO, JAMES ACCOUNT #: LSR011279	AIM GLOBAL VALUE FUND - CLASS A BUY 1 Units @0.0000	0.00	0.33	0.00	0.33	0.0	0.00
07/23/2018	***-**-306X - COBB, CHERRYL ACCOUNT #: LSR011037	AIM GOLD & PRECIOUS METALS FD - A BUY 1 Units @0.0000	0.00	0.70	0.00	0.70	0.0	0.00
07/23/2018	***-**-580X - COBB, JAMES ACCOUNT #: LSR011040	AIM GOLD & PRECIOUS METALS FD - A BUY 1 Units @0.0000	0.00	0.63	0.00	0.63	0.0	0.00
07/23/2018	***-**-837O - BASEMENT ACCOUNT #: LSR011046	AIM GOLD & PRECIOUS METALS FD - A BUY 1 Units @0.0000	0.00	1.87	0.00	1.87	0.0	0.00
07/23/2018	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	0.87	0.00	0.87	0.0	0.00
07/23/2018	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	2.84	0.00	2.84	0.0	0.00
07/23/2018	***-**-761I - EVANS, DOLORES ACCOUNT #: LSR011024	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	14.98	0.00	14.98	0.0	0.00
07/23/2018	***-**-094I - KATRENA L ACCOUNT #: LSR011188	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	3.78	0.00	3.78	0.0	0.00
07/23/2018	***-**-52IO - EUSEPI, SUSAN ACCOUNT #: LSR011219	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	5.09	0.00	5.09	0.0	0.00
07/23/2018	***-**-80IO - EVANS, RICHARD ACCOUNT #: LSR011276	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	15.20	0.00	15.20	0.0	0.00
07/23/2018	***-**-881I - EBERLEY, SUSAN ACCOUNT #: LSR010858	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	8.58	0.00	8.58	0.0	0.00
07/23/2018	***-**-382K - SATTERLY, NEIL ACCOUNT #: LSR010875	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	4.36	0.00	4.36	0.0	0.00
07/23/2018	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.58	0.00	3.58	0.0	0.00



# COMMISSION STATEMENT

July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	22.05	0.00	22.05	0.0	0.00
07/23/2018	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	6.03	0.00	6.03	0.0	0.00
07/23/2018	***-**-03IO - MCVOY, ROBERT ACCOUNT #: LSR010916	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	4.59	0.00	4.59	0.0	0.00
07/23/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	11.70	0.00	11.70	0.0	0.00
07/23/2018	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	10.29	0.00	10.29	0.0	0.00
07/23/2018	***-**-567I - FRANZ, ALICE ACCOUNT #: LSR010960	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	6.91	0.00	6.91	0.0	0.00
07/23/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	11.61	0.00	11.61	0.0	0.00
07/23/2018	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011001	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	8.47	0.00	8.47	0.0	0.00
07/23/2018	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	11.56	0.00	11.56	0.0	0.00
07/23/2018	***-**-169I - EVANS, PAUL ACCOUNT #: LSR011026	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.35	0.00	5.35	0.0	0.00
07/23/2018	***-**-73UT - COBB, CHRISTIAN ACCOUNT #: LSR011104	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.31	0.00	5.31	0.0	0.00
07/23/2018	***-**-67UT - COBB, ACCOUNT #: LSR011105	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.31	0.00	5.31	0.0	0.00
07/23/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	2.54	0.00	2.54	0.0	0.00
07/23/2018	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	7.30	0.00	7.30	0.0	0.00
07/23/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	15.64	0.00	15.64	0.0	0.00
07/23/2018	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	4.90	0.00	4.90	0.0	0.00



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-900J - LEE, MR. ACCOUNT #: LSR011186	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.06	0.00	5.06	0.0	0.00
07/23/2018	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.57	0.00	3.57	0.0	0.00
07/23/2018	***-**-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.59	0.00	3.59	0.0	0.00
07/23/2018	***-**-653I - KOCHER, CLINTON ACCOUNT #: LSR011300	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.63	0.00	3.63	0.0	0.00
07/23/2018	***-**-851K - LALLIER, JAMES ACCOUNT #: LSR012645	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	11.39	0.00	11.39	0.0	0.00
07/23/2018	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	AMERICAN FUNDS GLBL GROWTH PORT CL BUY 1 Units @0.0000	0.00	3.37	0.00	3.37	0.0	0.00
07/23/2018	***-**-851K - LALLIER, JAMES ACCOUNT #: LSR012645	AMERICAN FUNDS GLBL GROWTH PORT CL BUY 1 Units @0.0000	0.00	2.02	0.00	2.02	0.0	0.00
07/23/2018	***-**-87IN - HINCKLEY, ACCOUNT #: LSR010834	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	6.28	0.00	6.28	0.0	0.00
07/23/2018	***-**-287J - HINCKLEY, ACCOUNT #: LSR010841	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	7.92	0.00	7.92	0.0	0.00
07/23/2018	***-**-881I - EBERLEY, SUSAN ACCOUNT #: LSR010858	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	7.08	0.00	7.08	0.0	0.00
07/23/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	8.24	0.00	8.24	0.0	0.00
07/23/2018	***-**-980I - ACEE, ELIZABETH ACCOUNT #: LSR010885	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	12.48	0.00	12.48	0.0	0.00
07/23/2018	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	12.38	0.00	12.38	0.0	0.00
07/23/2018	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	4.77	0.00	4.77	0.0	0.00
07/23/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	12.44	0.00	12.44	0.0	0.00
07/23/2018	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	9.95	0.00	9.95	0.0	0.00



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-065I - LAZIO, THOMAS	AMERICAN FUNDS MORTGAGE FD CL C	0.00	7.44	0.00	7.44	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
07/23/2018	***-**-80IN - COBB, JAMES	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.22	0.00	8.22	0.0	0.00
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
07/23/2018	***-**-00IO - LEE, GARY	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.33	0.00	8.33	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
07/23/2018	***-**-44IO - MAYO, DEBORAH	AMERICAN FUNDS MORTGAGE FD CL C	0.00	28.61	0.00	28.61	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
07/23/2018	***-**-579J - RHYMESTINE,	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.18	0.00	8.18	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
07/23/2018	***-**-09IO - BATES, FBO MRS	AMERICAN FUNDS MORTGAGE FD CL C	0.00	21.32	0.00	21.32	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
07/23/2018	***-**-48IO - ANACKER, FBO MRS	AMERICAN FUNDS MORTGAGE FD CL C	0.00	6.20	0.00	6.20	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
07/23/2018	***-**-89IN - LEE, ROGER	AMERICAN FUNDS MORTGAGE FD CL C	0.00	13.33	0.00	13.33	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
07/23/2018	***-**-094I - KATRENA L	AMERICAN FUNDS MORTGAGE FD CL C	0.00	2.89	0.00	2.89	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
07/23/2018	***-**-439J - MCCARTHY, JAMES	AMERICAN FUNDS MORTGAGE FD CL C	0.00	2.41	0.00	2.41	0.0	0.00
	ACCOUNT #: LSR011205	BUY 1 Units @0.0000						
07/23/2018	***-**-52IO - EUSEPI, SUSAN	AMERICAN FUNDS MORTGAGE FD CL C	0.00	2.86	0.00	2.86	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
07/23/2018	***-**-473J - HUSTON,	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.29	0.00	8.29	0.0	0.00
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						
07/23/2018	***-**-80IO - EVANS, RICHARD	AMERICAN FUNDS MORTGAGE FD CL C	0.00	6.57	0.00	6.57	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
07/23/2018	***-**-376I - BERBEN, GAIL	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.06	0.00	8.06	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
07/23/2018	***-**-21IO - RADELL, SANDRA	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.05	0.00	8.05	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
07/23/2018	***-**-45IO - JOHNSON, GREGORY	AMERICAN FUNDS MORTGAGE FD CL C	0.00	16.09	0.00	16.09	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-420T - DEMETRI, AMELIA	AMERICAN FUNDS PRESERVATION PORT C	0.00	4.08	0.00	4.08	0.0	0.00
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
07/23/2018	***-**-881J - EBERLEY, SUSAN	AMERICAN GLOBAL BALANCED CL C	0.00	9.18	0.00	9.18	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
07/23/2018	***-**-382I - SATTERLY, NEIL	AMERICAN GLOBAL BALANCED CL C	0.00	11.11	0.00	11.11	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
07/23/2018	***-**-382K - SATTERLY, NEIL	AMERICAN GLOBAL BALANCED CL C	0.00	4.11	0.00	4.11	0.0	0.00
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
07/23/2018	***-**-980I - ACEE, ELIZABETH	AMERICAN GLOBAL BALANCED CL C	0.00	13.89	0.00	13.89	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
07/23/2018	***-**-48IO - CLARK, PATRICK	AMERICAN GLOBAL BALANCED CL C	0.00	10.92	0.00	10.92	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
07/23/2018	***-**-72IN - GAVALO, JULIE	AMERICAN GLOBAL BALANCED CL C	0.00	10.61	0.00	10.61	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
07/23/2018	***-**-065I - LAZIO, THOMAS	AMERICAN GLOBAL BALANCED CL C	0.00	9.00	0.00	9.00	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
07/23/2018	***-**-420T - DEMETRI, AMELIA	AMERICAN GLOBAL BALANCED CL C	0.00	6.41	0.00	6.41	0.0	0.00
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
07/23/2018	***-**-73TR - WESTCOTT IRRVOC	AMERICAN GLOBAL BALANCED CL C	0.00	12.66	0.00	12.66	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
07/23/2018	***-**-73TR - WESTCOTT IRRVOC	AMERICAN GLOBAL BALANCED CL C	0.00	24.12	0.00	24.12	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
07/23/2018	***-**-80IN - COBB, JAMES	AMERICAN GLOBAL BALANCED CL C	0.00	7.77	0.00	7.77	0.0	0.00
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
07/23/2018	***-**-00IO - LEE, GARY	AMERICAN GLOBAL BALANCED CL C	0.00	12.94	0.00	12.94	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
07/23/2018	***-**-44IO - MAYO, DEBORAH	AMERICAN GLOBAL BALANCED CL C	0.00	32.41	0.00	32.41	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
07/23/2018	***-**-09IO - BATES, FBO MRS	AMERICAN GLOBAL BALANCED CL C	0.00	16.37	0.00	16.37	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
07/23/2018	***-**-094I - KATRENA L	AMERICAN GLOBAL BALANCED CL C	0.00	7.34	0.00	7.34	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	18.80	0.00	18.80	0.0	0.00
07/23/2018	***-**-07IO - FAMOLARO, JAMES ACCOUNT #: LSR011280	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	5.56	0.00	5.56	0.0	0.00
07/23/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	17.48	0.00	17.48	0.0	0.00
07/23/2018	***-**-21IO - RADELL, SANDRA ACCOUNT #: LSR011392	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	5.60	0.00	5.60	0.0	0.00
07/23/2018	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	11.76	0.00	11.76	0.0	0.00
07/23/2018	***-**-14IO - BUSHEY, MATTHEW ACCOUNT #: LSR011498	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	16.75	0.00	16.75	0.0	0.00
07/23/2018	***-**-37IO - MCCLUSKY, MARK ACCOUNT #: LSR011579	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	9.15	0.00	9.15	0.0	0.00
07/23/2018	***-**-86IN - LEE, KEVIN ACCOUNT #: LSR011613	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	8.17	0.00	8.17	0.0	0.00
07/23/2018	***-**-03IO - MASTRANGELO, ACCOUNT #: LSR011679	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	9.24	0.00	9.24	0.0	0.00
07/23/2018	***-**-51IO - SCALISE, MARY ACCOUNT #: LSR011837	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	7.45	0.00	7.45	0.0	0.00
07/23/2018	***-**-702I - KOCHEM, MICHAEL ACCOUNT #: LSR010954	AMERICAN GLOBAL BALANCED CLASS A BUY 1 Units @0.0000	0.00	2.54	0.00	2.54	0.0	0.00
07/23/2018	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	AMERICAN GLOBAL BALANCED CLASS A BUY 1 Units @0.0000	0.00	5.85	0.00	5.85	0.0	0.00
07/23/2018	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	AMERICAN GLOBAL BALANCED CLASS A BUY 1 Units @0.0000	0.00	1.50	0.00	1.50	0.0	0.00
07/23/2018	***-**-287I - HINCKLEY, ACCOUNT #: LSR010843	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	5.32	0.00	5.32	0.0	0.00
07/23/2018	***-**-13IN - GRUENEWALD, ACCOUNT #: LSR011210	AMERICAN WASHINGTON MUTUAL INVESTOR BUY 1 Units @0.0000	0.00	0.33	0.00	0.33	0.0	0.00
07/23/2018	***-**-254I - AUGUSTINE, LINDA ACCOUNT #: LSR010846	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	5.59	0.00	5.59	0.0	0.00





# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	4.70	0.00	4.70	0.0	0.00
07/23/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	14.07	0.00	14.07	0.0	0.00
07/23/2018	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	4.60	0.00	4.60	0.0	0.00
07/23/2018	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	5.17	0.00	5.17	0.0	0.00
07/23/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	8.49	0.00	8.49	0.0	0.00
07/23/2018	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	11.38	0.00	11.38	0.0	0.00
07/23/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	9.13	0.00	9.13	0.0	0.00
07/23/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	6.47	0.00	6.47	0.0	0.00
07/23/2018	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	7.07	0.00	7.07	0.0	0.00
07/23/2018	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	5.03	0.00	5.03	0.0	0.00
07/23/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	1.75	0.00	1.75	0.0	0.00
07/23/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	4.36	0.00	4.36	0.0	0.00
07/23/2018	***-**-506J - CURRIERE JR, MR ACCOUNT #: LSR011121	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	3.72	0.00	3.72	0.0	0.00
07/23/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	14.05	0.00	14.05	0.0	0.00
07/23/2018	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	6.74	0.00	6.74	0.0	0.00
07/23/2018	***-**-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	2.76	0.00	2.76	0.0	0.00



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-851K - LALLIER, JAMES	CAPITAL INCOME BUILDER CLASS C	0.00	10.76	0.00	10.76	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
07/23/2018	***-**-287I - HINCKLEY,	CAPITAL INCOME BUILDER-A	0.00	3.94	0.00	3.94	0.0	0.00
	ACCOUNT #: LSR010843	BUY 1 Units @0.0000						
07/23/2018	***-**-702I - KOCHER, MICHAEL	CAPITAL INCOME BUILDER-A	0.00	3.55	0.00	3.55	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
07/23/2018	***-**-366I - GRUENEWALD,	CAPITAL INCOME BUILDER-A	0.00	2.05	0.00	2.05	0.0	0.00
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
07/23/2018	***-**-20IO - DEMETRI, AMELIA	CAPITAL INCOME BUILDER-A	0.00	0.96	0.00	0.96	0.0	0.00
	ACCOUNT #: LSR010995	BUY 1 Units @0.0000						
07/23/2018	***-**-579J - RHYMESTINE,	CAPITAL INCOME BUILDER-A	0.00	2.13	0.00	2.13	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
07/23/2018	***-**-680I - HARRELL, DARYL	CAPITAL INCOME BUILDER-A	0.00	0.30	0.00	0.30	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
07/23/2018	***-**-30TR - AND SANDRA	CAPITAL INCOME BUILDER-A	0.00	2.09	0.00	2.09	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
07/23/2018	***-**-702I - KOCHER, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.69	0.00	3.69	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
07/23/2018	***-**-20IO - DEMETRI, AMELIA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.54	0.00	1.54	0.0	0.00
	ACCOUNT #: LSR010995	BUY 1 Units @0.0000						
07/23/2018	***-**-579J - RHYMESTINE,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.79	0.00	1.79	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
07/23/2018	***-**-326J - GRANDE, MR	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.42	0.00	1.42	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
07/23/2018	***-**-326I - GRANDE, FBO MR	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.54	0.00	1.54	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
07/23/2018	***-**-48IO - ANACKER, FBO MRS	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.10	0.00	1.10	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
07/23/2018	***-**-680I - HARRELL, DARYL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.39	0.00	1.39	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
07/23/2018	***-**-128I - BASHANT, THOMAS	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.50	0.00	1.50	0.0	0.00
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-30TR - AND SANDRA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.45	0.00	2.45	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
07/23/2018	***-**-44IO - MAYO, DEBORAH	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	12.79	0.00	12.79	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
07/23/2018	***-**-09IO - BATES, FBO MRS	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	10.50	0.00	10.50	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
07/23/2018	***-**-11IO - LYNN G.	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	11.41	0.00	11.41	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
07/23/2018	***-**-45IO - JOHNSON, GREGORY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	7.86	0.00	7.86	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
07/17/2018	***-**-71IO - FAMOLARO, JAMES	CLEARBRIDGE AGGRESSIVE GROWTH A	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: LSR011279	BUY 1 Units @0.0000						
07/23/2018	***-**-959I - FRANZ, PAUL	COLUMBIA MARSICO FOCUSED EQ CL A	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
07/23/2018	***-**-20IO - DEMETRI, AMELIA	EUROPACIFIC GROWTH FUND-A	0.00	1.55	0.00	1.55	0.0	0.00
	ACCOUNT #: LSR010995	BUY 1 Units @0.0000						
07/23/2018	***-**-326I - GRANDE, FBO MR	EUROPACIFIC GROWTH FUND-A	0.00	1.29	0.00	1.29	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
07/23/2018	***-**-680I - HARRELL, DARYL	EUROPACIFIC GROWTH FUND-A	0.00	0.62	0.00	0.62	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
07/23/2018	***-**-111J - NESSIA, JAMES	FIDELITY ADV EMERGING MRKTS INC CL	0.00	0.73	0.00	0.73	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
07/23/2018	***-**-62IN - EATON, DAVID	FIDELITY ADV EMERGING MRKTS INC CL	0.00	1.13	0.00	1.13	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
07/23/2018	***-**-930I - SANGER, KEVIN	FIDELITY ADV EQUITY INCOME CL A	0.00	2.09	0.00	2.09	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
07/23/2018	***-**-579I - RHYMESTINE,	FIDELITY ADV INDUSTRIALS-CL C	0.00	14.14	0.00	14.14	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
07/23/2018	***-**-579I - RHYMESTINE,	FIDELITY ADV SMALL CAP CL C	0.00	5.14	0.00	5.14	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
07/23/2018	***-**-579I - RHYMESTINE,	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	4.95	0.00	4.95	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	FIDELITY ADVISOR EQUITY INCOME CL C BUY 1 Units @0.0000	0.00	19.77	0.00	19.77	0.0	0.00
07/23/2018	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	FIDELITY ADVISOR INT'L DISCOVERY CL BUY 1 Units @0.0000	0.00	15.61	0.00	15.61	0.0	0.00
07/23/2018	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	FIDELITY ADVISOR INTERMEDIATE BOND BUY 1 Units @0.0000	0.00	8.01	0.00	8.01	0.0	0.00
07/23/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	FIDELITY ADVISOR MORTGAGE SECS CL A BUY 1 Units @0.0000	0.00	2.04	0.00	2.04	0.0	0.00
07/23/2018	***-**-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	FIDELITY ADVISOR MORTGAGE SECS CL A BUY 1 Units @0.0000	0.00	0.24	0.00	0.24	0.0	0.00
07/23/2018	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	FIDELITY ADVISOR MORTGAGE SECS CL C BUY 1 Units @0.0000	0.00	5.53	0.00	5.53	0.0	0.00
07/17/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	FRANKLIN BIOTECH DISCOVERY FUND CL BUY 1 Units @0.0000	0.00	7.62	0.00	7.62	0.0	0.00
07/17/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	FRANKLIN CONVERTIBLE SECURITIES CLA BUY 1 Units @0.0000	0.00	9.58	0.00	9.58	0.0	0.00
07/17/2018	***-**-86IN - LEE, KEVIN ACCOUNT #: LSR011613	FRANKLIN GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	2.15	0.00	2.15	0.0	0.00
07/17/2018	***-**-86IN - LEE, KEVIN ACCOUNT #: LSR011613	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	1.19	0.00	1.19	0.0	0.00
07/17/2018	***-**-61IN - EVANS, DOLORES ACCOUNT #: LSR011023	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	0.68	0.00	0.68	0.0	0.00
07/17/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	5.60	0.00	5.60	0.0	0.00
07/17/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	7.17	0.00	7.17	0.0	0.00
07/17/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	9.54	0.00	9.54	0.0	0.00
07/17/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	8.76	0.00	8.76	0.0	0.00
07/17/2018	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	5.21	0.00	5.21	0.0	0.00



# COMMISSION STATEMENT

July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/17/2018	***-**-531J - WILLIAMSON, ACCOUNT #: LSR011716	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	2.65	0.00	2.65	0.0	0.00
07/17/2018	***-**-00IN - FRANZ, JEAN ACCOUNT #: LSR011796	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	38.35	0.00	38.35	0.0	0.00
07/17/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	FRK GROWTH OPPORTUNITIES CL C BUY 1 Units @0.0000	0.00	2.25	0.00	2.25	0.0	0.00
07/17/2018	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	FRK GROWTH OPPORTUNITIES CL C BUY 1 Units @0.0000	0.00	3.43	0.00	3.43	0.0	0.00
07/17/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	FRNKLN BALANCE SHEET INVTMNT FND - BUY 1 Units @0.0000	0.00	8.15	0.00	8.15	0.0	0.00
07/23/2018	***-**-702I - KOCHER, MICHAEL ACCOUNT #: LSR010954	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	2.19	0.00	2.19	0.0	0.00
07/23/2018	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	0.12	0.00	0.12	0.0	0.00
07/23/2018	***-**-366S - GRUENEWALD, ACCOUNT #: LSR010990	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	0.0	0.00
07/23/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.11	0.00	1.11	0.0	0.00
07/23/2018	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.96	0.00	1.96	0.0	0.00
07/23/2018	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.40	0.00	1.40	0.0	0.00
07/23/2018	***-**-128I - BASHANT, THOMAS ACCOUNT #: LSR011387	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.25	0.00	1.25	0.0	0.00
07/23/2018	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.88	0.00	1.88	0.0	0.00
07/23/2018	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	3.51	0.00	3.51	0.0	0.00
07/23/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	11.12	0.00	11.12	0.0	0.00
07/23/2018	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	3.05	0.00	3.05	0.0	0.00



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-31IO - HARTZ, CLAUDIA	FUNDAMENTAL INVESTORS-C	0.00	2.90	0.00	2.90	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
07/23/2018	***-**-03IO - MCVOY, ROBERT	FUNDAMENTAL INVESTORS-C	0.00	5.28	0.00	5.28	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
07/23/2018	***-**-15IN - PENDRAK, JOHN	FUNDAMENTAL INVESTORS-C	0.00	4.85	0.00	4.85	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
07/23/2018	***-**-959I - FRANZ, PAUL	FUNDAMENTAL INVESTORS-C	0.00	11.50	0.00	11.50	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
07/23/2018	***-**-960J - MASTRANGELO,	FUNDAMENTAL INVESTORS-C	0.00	8.09	0.00	8.09	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
07/23/2018	***-**-065I - LAZIO, THOMAS	FUNDAMENTAL INVESTORS-C	0.00	5.71	0.00	5.71	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
07/23/2018	***-**-51IO - CAIN, TIMOTHY	FUNDAMENTAL INVESTORS-C	0.00	5.27	0.00	5.27	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
07/23/2018	***-**-761I - EVANS, DOLORES	FUNDAMENTAL INVESTORS-C	0.00	12.03	0.00	12.03	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
07/23/2018	***-**-306X - COBB, CHERRYL	FUNDAMENTAL INVESTORS-C	0.00	5.71	0.00	5.71	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
07/23/2018	***-**-861I - SANGER, LAWRENCE	FUNDAMENTAL INVESTORS-C	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
07/23/2018	***-**-13IN - RHYMESTINE,	FUNDAMENTAL INVESTORS-C	0.00	3.52	0.00	3.52	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
07/23/2018	***-**-09IO - BATES, FBO MRS	FUNDAMENTAL INVESTORS-C	0.00	11.15	0.00	11.15	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
07/23/2018	***-**-506J - CURRIERE JR, MR	FUNDAMENTAL INVESTORS-C	0.00	4.69	0.00	4.69	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
07/23/2018	***-**-48IO - ANACKER, FBO MRS	FUNDAMENTAL INVESTORS-C	0.00	5.00	0.00	5.00	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
07/23/2018	***-**-89IN - LEE, ROGER	FUNDAMENTAL INVESTORS-C	0.00	5.58	0.00	5.58	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - WILLIAMSON, FBO	FUNDAMENTAL INVESTORS-C	0.00	7.45	0.00	7.45	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-64IO - PFAFF, GEORGE	FUNDAMENTAL INVESTORS-C	0.00	3.64	0.00	3.64	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
07/23/2018	***-**-62IR - ROBBIANO, FBO	FUNDAMENTAL INVESTORS-C	0.00	3.23	0.00	3.23	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
07/23/2018	***-**-11IO - LYNN G.	FUNDAMENTAL INVESTORS-C	0.00	12.01	0.00	12.01	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
07/23/2018	***-**-653I - KOCHER, CLINTON	FUNDAMENTAL INVESTORS-C	0.00	8.81	0.00	8.81	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
07/23/2018	***-**-376I - BERBEN, GAIL	FUNDAMENTAL INVESTORS-C	0.00	15.11	0.00	15.11	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
07/23/2018	***-**-21IO - RADELL, SANDRA	FUNDAMENTAL INVESTORS-C	0.00	4.02	0.00	4.02	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
07/23/2018	***-**-45IO - JOHNSON, GREGORY	FUNDAMENTAL INVESTORS-C	0.00	9.49	0.00	9.49	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
07/23/2018	***-**-14IO - BUSHEY, MATTHEW	FUNDAMENTAL INVESTORS-C	0.00	12.49	0.00	12.49	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
07/23/2018	***-**-851K - LALLIER, JAMES	FUNDAMENTAL INVESTORS-C	0.00	18.50	0.00	18.50	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
07/23/2018	***-**-579J - RHYMESTINE,	GLOBAL GROWTH PORTFOLIO-A	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
07/23/2018	***-**-89IN - LEE, ROGER	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	47.82	0.00	47.82	0.0	0.00
	ACCOUNT #: 091123534	BUY 0 Units @0.0000						
07/23/2018	***-**-09IO - BATES, FBO MRS	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	59.78	0.00	59.78	0.0	0.00
	ACCOUNT #: 091125944	BUY 0 Units @0.0000						
07/23/2018	***-**-287X - HINCKLEY,	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	21.52	0.00	21.52	0.0	0.00
	ACCOUNT #: 091129238	BUY 0 Units @0.0000						
07/23/2018	***-**-45IO - JOHNSON, GREGORY	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	47.82	0.00	47.82	0.0	0.00
	ACCOUNT #: 091129288	BUY 0 Units @0.0000						
07/23/2018	***-**-11IN - MCCARTHY, LYNN	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	23.91	0.00	23.91	0.0	0.00
	ACCOUNT #: 091131292	BUY 0 Units @0.0000						
07/23/2018	***-**-376I - BERBEN, GAIL	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	11.96	0.00	11.96	0.0	0.00
	ACCOUNT #: 00091131762	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-531J - WILLIAMSON, ACCOUNT #: 091140076	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	71.73	0.00	71.73	0.0	0.00
07/23/2018	***-**-531J - WILLIAMSON, ACCOUNT #: 091140076	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	11.96	0.00	11.96	0.0	0.00
07/23/2018	***-**-44IO - DISCHIAVI, FBO ACCOUNT #: 091126800	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	47.82	0.00	47.82	0.0	0.00
07/23/2018	***-**-930I - SANGER, KEVIN ACCOUNT #: 00091130813	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	12.52	0.00	12.52	0.0	0.00
07/23/2018	***-**-11IN - MCCARTHY, LYNN ACCOUNT #: 091131292	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	37.56	0.00	37.56	0.0	0.00
07/23/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: 00091131725	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	12.52	0.00	12.52	0.0	0.00
07/23/2018	***-**-21IO - RADELL, SANDRA ACCOUNT #: 091131984	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	25.04	0.00	25.04	0.0	0.00
07/23/2018	***-**-49IN - DEPEYSTER, ACCOUNT #: 091132017	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	12.52	0.00	12.52	0.0	0.00
07/23/2018	***-**-14IO - BUSHEY, MATTHEW ACCOUNT #: 00091132551	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	12.52	0.00	12.52	0.0	0.00
07/23/2018	***-**-09IN - BATES, CHRISTINE ACCOUNT #: 00091133853	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	25.04	0.00	25.04	0.0	0.00
07/23/2018	***-**-29IN - MASSOUD, ACCOUNT #: 91134730	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	12.52	0.00	12.52	0.0	0.00
07/23/2018	***-**-579I - RHYMESTINE, ACCOUNT #: 91134731	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	15.03	0.00	15.03	0.0	0.00
07/23/2018	***-**-959I - FRANZ, PAUL ACCOUNT #: 91134733	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	15.03	0.00	15.03	0.0	0.00
07/23/2018	***-**-7231 - WARMUTH, ACCOUNT #: 91136021	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	12.52	0.00	12.52	0.0	0.00
07/23/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: 91136021	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	12.52	0.00	12.52	0.0	0.00
07/23/2018	***-**-366I - GRUENEWALD, ACCOUNT #: 91136364	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	12.52	0.00	12.52	0.0	0.00





# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-365I - GRUENEWALD, KURT	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	12.52	0.00	12.52	0.0	0.00
	ACCOUNT #: 091137478	BUY 0 Units @0.0000						
07/23/2018	***-**-365I - GRUENEWALD, KURT	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	12.52	0.00	12.52	0.0	0.00
	ACCOUNT #: 091137478	BUY 0 Units @0.0000						
07/23/2018	***-**-960J - MASTRANGELO,	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	75.13	0.00	75.13	0.0	0.00
	ACCOUNT #: 091139160	BUY 0 Units @0.0000						
07/23/2018	***-**-960J - MASTRANGELO,	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	25.04	0.00	25.04	0.0	0.00
	ACCOUNT #: 091139160	BUY 0 Units @0.0000						
07/23/2018	***-**-44IO - MAYO, DEBORAH	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	9.37	0.00	9.37	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
07/23/2018	***-**-44IO - MAYO, DEBORAH	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	3.12	0.00	3.12	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
07/23/2018	***-**-367J - WAGNER, MRS LANT	GROWTH FUND OF AMERICA CLASS F	0.00	3.38	0.00	3.38	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
07/23/2018	***-**-702I - KOCHER, MICHAEL	HARTFORD CHECKS AND BALANCED FUND C	0.00	1.33	0.00	1.33	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
07/23/2018	***-**-366S - GRUENEWALD,	HARTFORD CHECKS AND BALANCED FUND C	0.00	0.46	0.00	0.46	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
07/23/2018	***-**-680I - HARRELL, DARYL	HARTFORD CHECKS AND BALANCED FUND C	0.00	1.28	0.00	1.28	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
07/23/2018	***-**-30TR - AND SANDRA	HARTFORD CHECKS AND BALANCED FUND C	0.00	2.51	0.00	2.51	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
07/23/2018	***-**-366S - GRUENEWALD,	HARTFORD INFLATION PLUS FUND CLASS	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
07/23/2018	***-**-680I - HARRELL, DARYL	HARTFORD INFLATION PLUS FUND CLASS	0.00	1.71	0.00	1.71	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
07/23/2018	***-**-287I - HINCKLEY,	INCOME FUND OF AMERICA CLASS C	0.00	3.51	0.00	3.51	0.0	0.00
	ACCOUNT #: LSR010843	BUY 1 Units @0.0000						
07/23/2018	***-**-980I - ACEE, ELIZABETH	INCOME FUND OF AMERICA CLASS C	0.00	11.79	0.00	11.79	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
07/23/2018	***-**-72IN - GAVALO, JULIE	INCOME FUND OF AMERICA CLASS C	0.00	10.60	0.00	10.60	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-73TR - WESTCOTT IRRVOC	INCOME FUND OF AMERICA CLASS C	0.00	1.72	0.00	1.72	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
07/23/2018	***-**-63TR - EVANS, AUDREY J	INCOME FUND OF AMERICA CLASS C	0.00	5.30	0.00	5.30	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
07/23/2018	***-**-44IO - MAYO, DEBORAH	INCOME FUND OF AMERICA CLASS C	0.00	18.78	0.00	18.78	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
07/23/2018	***-**-09IO - BATES, FBO MRS	INCOME FUND OF AMERICA CLASS C	0.00	31.28	0.00	31.28	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
07/23/2018	***-**-11IO - LYNN G.	INCOME FUND OF AMERICA CLASS C	0.00	14.59	0.00	14.59	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
07/23/2018	***-**-376I - BERBEN, GAIL	INCOME FUND OF AMERICA CLASS C	0.00	13.14	0.00	13.14	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
07/23/2018	***-**-21IO - RADELL, SANDRA	INCOME FUND OF AMERICA CLASS C	0.00	4.78	0.00	4.78	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
07/23/2018	***-**-45IO - JOHNSON, GREGORY	INCOME FUND OF AMERICA CLASS C	0.00	12.72	0.00	12.72	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
07/23/2018	***-**-14IO - BUSHEY, MATTHEW	INCOME FUND OF AMERICA CLASS C	0.00	11.31	0.00	11.31	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
07/23/2018	***-**-851K - LALLIER, JAMES	INCOME FUND OF AMERICA CLASS C	0.00	9.03	0.00	9.03	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
07/23/2018	***-**-702I - KOCHEM, MICHAEL	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.14	0.00	0.14	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
07/23/2018	***-**-761I - EVANS, DOLORES	INTERMEDIATE BOND FUND OF AMERICA-C	0.00	5.29	0.00	5.29	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
07/23/2018	***-**-366S - GRUENEWALD,	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.78	0.00	0.78	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
07/23/2018	***-**-567I - FRANZ, ALICE	INTERNATIONAL GROWTH AND INCOME-C	0.00	12.79	0.00	12.79	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
07/23/2018	***-**-851K - LALLIER, JAMES	INTERNATIONAL GROWTH AND INCOME-C	0.00	11.60	0.00	11.60	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
07/23/2018	***-**-71IO - FAMOLARO, JAMES	INVESCO VAN KAMPEN COMSTOCK CLASS A	0.00	0.45	0.00	0.45	0.0	0.00
	ACCOUNT #: LSR011279	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-71IO - FAMOLARO, JAMES	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	0.40	0.00	0.40	0.0	0.00
	ACCOUNT #: LSR011279	BUY 1 Units @0.0000						
07/17/2018	***-**-80IN - COBB, JAMES	LOCORR SPECTRUM-NFS	0.00	1.40	0.00	1.40	0.0	0.00
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
07/23/2018	***-**-944O - MAYO, DEBORAH	MFS AGGRESSIVE GROWTH ALLOCATION FD	0.00	1.06	0.00	1.06	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
07/23/2018	***-**-13IN - ROTH, KAREN	MFS BLENDED RESEARCH CORE EQUITY FD	0.00	1.29	0.00	1.29	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
07/23/2018	***-**-881I - EBERLEY, SUSAN	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.46	0.00	9.46	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
07/23/2018	***-**-901I - SATTERLY, ANN	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.39	0.00	9.39	0.0	0.00
	ACCOUNT #: LSR010874	BUY 1 Units @0.0000						
07/23/2018	***-**-382K - SATTERLY, NEIL	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.25	0.00	4.25	0.0	0.00
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
07/23/2018	***-**-48IO - CLARK, PATRICK	MFS CONSERVATIVE ALLOCATION CL C	0.00	17.97	0.00	17.97	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
07/23/2018	***-**-031O - MCVOY, ROBERT	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.41	0.00	4.41	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
07/23/2018	***-**-72IN - GAVALO, JULIE	MFS CONSERVATIVE ALLOCATION CL C	0.00	17.08	0.00	17.08	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
07/23/2018	***-**-959I - FRANZ, PAUL	MFS CONSERVATIVE ALLOCATION CL C	0.00	16.79	0.00	16.79	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
07/23/2018	***-**-065I - LAZIO, THOMAS	MFS CONSERVATIVE ALLOCATION CL C	0.00	7.74	0.00	7.74	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
07/23/2018	***-**-420T - DEMETRI, AMELIA	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.25	0.00	4.25	0.0	0.00
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
07/23/2018	***-**-73TR - WESTCOTT IRRVOC	MFS CONSERVATIVE ALLOCATION CL C	0.00	8.15	0.00	8.15	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
07/23/2018	***-**-837O - BASEMENT	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.82	0.00	4.82	0.0	0.00
	ACCOUNT #: LSR011046	BUY 1 Units @0.0000						
07/23/2018	***-**-09IO - BATES, FBO MRS	MFS CONSERVATIVE ALLOCATION CL C	0.00	14.58	0.00	14.58	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-89IN - LEE, ROGER	MFS CONSERVATIVE ALLOCATION CL C	0.00	17.52	0.00	17.52	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
07/23/2018	***-**-900J - LEE, MR.	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.24	0.00	4.24	0.0	0.00
	ACCOUNT #: LSR011186	BUY 1 Units @0.0000						
07/23/2018	***-**-06IR - CAMPBELL, PAMELA	MFS CONSERVATIVE ALLOCATION CL C	0.00	6.34	0.00	6.34	0.0	0.00
	ACCOUNT #: LSR011222	BUY 1 Units @0.0000						
07/23/2018	***-**-11IO - LYNN G.	MFS CONSERVATIVE ALLOCATION CL C	0.00	13.85	0.00	13.85	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
07/23/2018	***-**-80IO - EVANS, RICHARD	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.47	0.00	9.47	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
07/23/2018	***-**-376I - BERBEN, GAIL	MFS CONSERVATIVE ALLOCATION CL C	0.00	13.84	0.00	13.84	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
07/23/2018	***-**-45IO - JOHNSON, GREGORY	MFS CONSERVATIVE ALLOCATION CL C	0.00	13.56	0.00	13.56	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
07/23/2018	***-**-14IO - BUSHEY, MATTHEW	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.19	0.00	9.19	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
07/23/2018	***-**-37IO - MCCLUSKY, MARK	MFS CONSERVATIVE ALLOCATION CL C	0.00	7.62	0.00	7.62	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
07/23/2018	***-**-99IN - EDELMAN, TINA	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.08	0.00	0.08	0.0	0.00
	ACCOUNT #: LSR011956	BUY 1 Units @0.0000						
07/23/2018	***-**-28IN - BYWATER, KIM	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.13	0.00	0.13	0.0	0.00
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
07/23/2018	***-**-35IN - RUGGIERO, LAURIE	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012314	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - JOSEPH, ANN	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012337	BUY 1 Units @0.0000						
07/23/2018	***-**-188I - BRADLEY, WAYNE	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012484	BUY 1 Units @0.0000						
07/23/2018	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-A	0.00	1.13	0.00	1.13	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
07/23/2018	***-**-254I - AUGUSTINE, LINDA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.79	0.00	0.79	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-15IN - PENDRAK, JOHN	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.13	0.00	2.13	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
07/23/2018	***-**-960J - MASTRANGELO,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	5.46	0.00	5.46	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
07/23/2018	***-**-51IO - CAIN, TIMOTHY	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.57	0.00	2.57	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
07/23/2018	***-**-761I - EVANS, DOLORES	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.05	0.00	2.05	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
07/23/2018	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
07/23/2018	***-**-326J - GRANDE, MR	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
07/23/2018	***-**-13IN - RHYMESTINE,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.01	0.00	1.01	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
07/23/2018	***-**-367J - WAGNER, MRS LANT	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.12	0.00	1.12	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
07/23/2018	***-**-992J - CORNACCHIA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - WILLIAMSON, FBO	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	3.86	0.00	3.86	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
07/23/2018	***-**-64IO - PFAFF, GEORGE	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.13	0.00	1.13	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
07/23/2018	***-**-448X - ANACKER, SUSAN	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.80	0.00	0.80	0.0	0.00
	ACCOUNT #: LSR011578	BUY 1 Units @0.0000						
07/23/2018	***-**-382K - SATTERLY, NEIL	MFS DIVERSIFIED INCOME FD CL C	0.00	4.48	0.00	4.48	0.0	0.00
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
07/23/2018	***-**-980I - ACEE, ELIZABETH	MFS DIVERSIFIED INCOME FD CL C	0.00	9.00	0.00	9.00	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
07/23/2018	***-**-72IN - GAVALO, JULIE	MFS DIVERSIFIED INCOME FD CL C	0.00	16.35	0.00	16.35	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
07/23/2018	***-**-73TR - WESTCOTT IRRVOC	MFS DIVERSIFIED INCOME FD CL C	0.00	12.72	0.00	12.72	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-1320 - EVANS, THOMAS	MFS DIVERSIFIED INCOME FD CL C	0.00	8.02	0.00	8.02	0.0	0.00
	ACCOUNT #: LSR011074	BUY 1 Units @0.0000						
07/23/2018	***-**-44IO - MAYO, DEBORAH	MFS DIVERSIFIED INCOME FD CL C	0.00	20.77	0.00	20.77	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
07/23/2018	***-**-579J - RHYMESTINE,	MFS DIVERSIFIED INCOME FD CL C	0.00	10.85	0.00	10.85	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
07/23/2018	***-**-09IO - BATES, FBO MRS	MFS DIVERSIFIED INCOME FD CL C	0.00	13.94	0.00	13.94	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
07/23/2018	***-**-89IN - LEE, ROGER	MFS DIVERSIFIED INCOME FD CL C	0.00	5.74	0.00	5.74	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
07/23/2018	***-**-64IO - PFAFF, GEORGE	MFS DIVERSIFIED INCOME FD CL C	0.00	5.77	0.00	5.77	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
07/23/2018	***-**-439J - MCCARTHY, JAMES	MFS DIVERSIFIED INCOME FD CL C	0.00	3.06	0.00	3.06	0.0	0.00
	ACCOUNT #: LSR011205	BUY 1 Units @0.0000						
07/23/2018	***-**-52IO - EUSEPI, SUSAN	MFS DIVERSIFIED INCOME FD CL C	0.00	6.07	0.00	6.07	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
07/23/2018	***-**-81IR - CAMPBELL,	MFS DIVERSIFIED INCOME FD CL C	0.00	5.86	0.00	5.86	0.0	0.00
	ACCOUNT #: LSR011223	BUY 1 Units @0.0000						
07/23/2018	***-**-439I - MCCARTHY, JAMES	MFS DIVERSIFIED INCOME FD CL C	0.00	2.19	0.00	2.19	0.0	0.00
	ACCOUNT #: LSR011244	BUY 1 Units @0.0000						
07/23/2018	***-**-376I - BERBEN, GAIL	MFS DIVERSIFIED INCOME FD CL C	0.00	8.98	0.00	8.98	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
07/23/2018	***-**-45IO - JOHNSON, GREGORY	MFS DIVERSIFIED INCOME FD CL C	0.00	12.34	0.00	12.34	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
07/23/2018	***-**-14IO - BUSHEY, MATTHEW	MFS DIVERSIFIED INCOME FD CL C	0.00	13.39	0.00	13.39	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
07/23/2018	***-**-37IO - MCCLUSKY, MARK	MFS DIVERSIFIED INCOME FD CL C	0.00	4.93	0.00	4.93	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
07/23/2018	***-**-35IN - RUGGIERO, LAURIE	MFS DIVERSIFIED INCOME FD CL C	0.00	0.06	0.00	0.06	0.0	0.00
	ACCOUNT #: LSR012314	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - JOSEPH, ANN	MFS DIVERSIFIED INCOME FD CL C	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: LSR012337	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-52IN - ROBERTS, LILLIAN	MFS DIVERSIFIED INCOME FD CL C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012352	BUY 1 Units @0.0000						
07/23/2018	***-**-53IN - EVANS, ANDREW	MFS EMERGING GROWTH FUND-B	0.00	0.80	0.00	0.80	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
07/23/2018	***-**-24IN - BLISS, RYAN	MFS EMERGING GROWTH FUND-B	0.00	0.30	0.00	0.30	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
07/23/2018	***-**-881I - EBERLEY, SUSAN	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
07/23/2018	***-**-960J - MASTRANGELO,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.35	0.00	1.35	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
07/23/2018	***-**-761I - EVANS, DOLORES	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.39	0.00	1.39	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
07/23/2018	***-**-579J - RHYMESTINE,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
07/23/2018	***-**-326I - GRANDE, FBO MR	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
07/23/2018	***-**-197I - GAMBINO, ANDREW	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
07/23/2018	***-**-45IR - WILLIAMSON,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.04	0.00	1.04	0.0	0.00
	ACCOUNT #: LSR011764	BUY 1 Units @0.0000						
07/23/2018	***-**-254I - AUGUSTINE, LINDA	MFS EMERGING MKT DEBT CL B	0.00	1.01	0.00	1.01	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
07/23/2018	***-**-881J - EBERLEY, SUSAN	MFS EMERGING MKT DEBT CL B	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
07/23/2018	***-**-949I - ACEE, JOSPEH	MFS EMERGING MKT DEBT CL B	0.00	0.95	0.00	0.95	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - HARTZ, CLAUDIA	MFS EMERGING MKT DEBT CL B	0.00	1.15	0.00	1.15	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
07/23/2018	***-**-960J - MASTRANGELO,	MFS EMERGING MKT DEBT CL B	0.00	0.63	0.00	0.63	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
07/23/2018	***-**-944O - MAYO, DEBORAH	MFS EMERGING MKT DEBT CL B	0.00	1.24	0.00	1.24	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-580X - COBB, JAMES	MFS EMERGING MKT DEBT CL B	0.00	1.10	0.00	1.10	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
07/23/2018	***-**-506J - CURRIERE JR, MR	MFS EMERGING MKT DEBT CL B	0.00	0.35	0.00	0.35	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
07/23/2018	***-**-367J - WAGNER, MRS LANT	MFS EMERGING MKT DEBT CL B	0.00	0.85	0.00	0.85	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - WILLIAMSON, FBO	MFS EMERGING MKT DEBT CL B	0.00	1.22	0.00	1.22	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
07/23/2018	***-**-64IO - PFAFF, GEORGE	MFS EMERGING MKT DEBT CL B	0.00	1.13	0.00	1.13	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
07/23/2018	***-**-62IR - ROBBIANO, FBO	MFS EMERGING MKT DEBT CL B	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
07/23/2018	***-**-29IO - MASSOUD,	MFS EMERGING MKT DEBT CL B	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR011217	BUY 1 Units @0.0000						
07/23/2018	***-**-44IO - MAYO, DEBORAH	MFS EMERGING MKTS DEBT CLASS C	0.00	9.81	0.00	9.81	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
07/23/2018	***-**-960J - MASTRANGELO,	MFS EQUITY OPPORTUNITIES CL B	0.00	1.63	0.00	1.63	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
07/23/2018	***-**-944O - MAYO, DEBORAH	MFS GLOBAL ALTERNATIVE STRAT A	0.00	0.62	0.00	0.62	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
07/23/2018	***-**-978I - GUNN, KATHLEEN	MFS GLOBAL ALTERNATIVE STRAT B	0.00	0.42	0.00	0.42	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
07/23/2018	***-**-382I - SATTERLY, NEIL	MFS GLOBAL ALTERNATIVE STRAT C	0.00	6.28	0.00	6.28	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
07/23/2018	***-**-48IO - CLARK, PATRICK	MFS GLOBAL ALTERNATIVE STRAT C	0.00	14.12	0.00	14.12	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
07/23/2018	***-**-72IN - GAVALO, JULIE	MFS GLOBAL ALTERNATIVE STRAT C	0.00	13.60	0.00	13.60	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
07/23/2018	***-**-80IN - COBB, JAMES	MFS GLOBAL ALTERNATIVE STRAT C	0.00	7.27	0.00	7.27	0.0	0.00
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
07/23/2018	***-**-09IO - BATES, FBO MRS	MFS GLOBAL ALTERNATIVE STRAT C	0.00	12.38	0.00	12.38	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						





# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	16.84	0.00	16.84	0.0	0.00
07/23/2018	***-**-80IO - EVANS, RICHARD ACCOUNT #: LSR011276	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	10.35	0.00	10.35	0.0	0.00
07/23/2018	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	12.64	0.00	12.64	0.0	0.00
07/23/2018	***-**-094I - KATRENA L ACCOUNT #: LSR011188	MFS GLOBAL BOND FUND CLASS C BUY 1 Units @0.0000	0.00	3.32	0.00	3.32	0.0	0.00
07/23/2018	***-**-978I - GUNN, KATHLEEN ACCOUNT #: LSR011345	MFS GLOBAL EQUITY FUND-B BUY 1 Units @0.0000	0.00	0.68	0.00	0.68	0.0	0.00
07/23/2018	***-**-53IN - EVANS, ANDREW ACCOUNT #: LSR011483	MFS GLOBAL EQUITY FUND-B BUY 1 Units @0.0000	0.00	0.49	0.00	0.49	0.0	0.00
07/23/2018	***-**-24IN - BLISS, RYAN ACCOUNT #: LSR011502	MFS GLOBAL EQUITY FUND-B BUY 1 Units @0.0000	0.00	0.31	0.00	0.31	0.0	0.00
07/23/2018	***-**-45IR - WILLIAMSON, ACCOUNT #: LSR011764	MFS GLOBAL EQUITY FUND-B BUY 1 Units @0.0000	0.00	1.15	0.00	1.15	0.0	0.00
07/23/2018	***-**-21IO - RADELL, SANDRA ACCOUNT #: LSR011392	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	1.62	0.00	1.62	0.0	0.00
07/23/2018	***-**-53IN - EVANS, ANDREW ACCOUNT #: LSR011483	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	0.29	0.00	0.29	0.0	0.00
07/23/2018	***-**-24IN - BLISS, RYAN ACCOUNT #: LSR011502	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	0.18	0.00	0.18	0.0	0.00
07/23/2018	***-**-38IO - BROWN, TYLER ACCOUNT #: LSR011602	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	0.79	0.00	0.79	0.0	0.00
07/23/2018	***-**-45IN - WILLIAMSON, ACCOUNT #: LSR011751	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	0.73	0.00	0.73	0.0	0.00
07/23/2018	***-**-628I - SANGER, SANDRA ACCOUNT #: LSR010942	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.91	0.00	0.91	0.0	0.00
07/23/2018	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.85	0.00	0.85	0.0	0.00
07/23/2018	***-**-96IN - NESSIA, BONNIE ACCOUNT #: LSR011055	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	1.15	0.00	1.15	0.0	0.00



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-861I - SANGER, LAWRENCE	MFS GLOBAL TOTAL RETURN FUND-A	0.00	1.57	0.00	1.57	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
07/23/2018	***-**-02IN - BUSHEY, MELISSA	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
07/23/2018	***-**-653J - KOCHER, CLINTON	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.92	0.00	0.92	0.0	0.00
	ACCOUNT #: LSR011298	BUY 1 Units @0.0000						
07/23/2018	***-**-62IN - EATON, DAVID	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
07/23/2018	***-**-254I - AUGUSTINE, LINDA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
07/23/2018	***-**-881J - EBERLEY, SUSAN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.27	0.00	1.27	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
07/23/2018	***-**-949I - ACEE, JOSPEH	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.44	0.00	1.44	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - HARTZ, CLAUDIA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
07/23/2018	***-**-959I - FRANZ, PAUL	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.75	0.00	2.75	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
07/23/2018	***-**-960J - MASTRANGELO,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.85	0.00	1.85	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
07/23/2018	***-**-51IO - CAIN, TIMOTHY	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.57	0.00	1.57	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
07/23/2018	***-**-60UT - FALCHI, ADALYNN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: LSR011010	BUY 1 Units @0.0000						
07/23/2018	***-**-761I - EVANS, DOLORES	MFS GLOBAL TOTAL RETURN FUND-B	0.00	4.81	0.00	4.81	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
07/23/2018	***-**-63TR - EVANS, AUDREY J	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.92	0.00	2.92	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
07/23/2018	***-**-306X - COBB, CHERRYL	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.49	0.00	1.49	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
07/23/2018	***-**-579I - RHYMESTINE,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.84	0.00	2.84	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.71	0.00	0.71	0.0	0.00
07/23/2018	***-**-76IO - MCALLISTER, FBO ACCOUNT #: LSR011107	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.62	0.00	0.62	0.0	0.00
07/23/2018	***-**-506J - CURRIERE JR, MR ACCOUNT #: LSR011121	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.89	0.00	0.89	0.0	0.00
07/23/2018	***-**-367J - WAGNER, MRS LANT ACCOUNT #: LSR011134	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.60	0.00	1.60	0.0	0.00
07/23/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.61	0.00	2.61	0.0	0.00
07/23/2018	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.21	0.00	1.21	0.0	0.00
07/23/2018	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	0.0	0.00
07/23/2018	***-**-52IO - EUSEPI, SUSAN ACCOUNT #: LSR011219	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.75	0.00	2.75	0.0	0.00
07/23/2018	***-**-653I - KOCHER, CLINTON ACCOUNT #: LSR011300	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.16	0.00	2.16	0.0	0.00
07/23/2018	***-**-21IO - RADELL, SANDRA ACCOUNT #: LSR011392	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.47	0.00	1.47	0.0	0.00
07/23/2018	***-**-241I - DINITTO, ALLISYN ACCOUNT #: LSR011444	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.22	0.00	1.22	0.0	0.00
07/23/2018	***-**-51IN - GERACE, JOANNE ACCOUNT #: LSR011538	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.55	0.00	1.55	0.0	0.00
07/23/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	MFS GLOBAL TOTAL RETURN FUND-C BUY 1 Units @0.0000	0.00	17.89	0.00	17.89	0.0	0.00
07/23/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	MFS GLOBAL TOTAL RETURN FUND-C BUY 1 Units @0.0000	0.00	13.78	0.00	13.78	0.0	0.00
07/23/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	MFS GLOBAL TOTAL RETURN FUND-C BUY 1 Units @0.0000	0.00	12.47	0.00	12.47	0.0	0.00
07/23/2018	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	MFS GLOBAL TOTAL RETURN FUND-C BUY 1 Units @0.0000	0.00	11.20	0.00	11.20	0.0	0.00



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-A	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
07/23/2018	***-**-254I - AUGUSTINE, LINDA	MFS GOVERNMENT SECURITIES FUND-B	0.00	1.62	0.00	1.62	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
07/23/2018	***-**-111J - NESSIA, JAMES	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.53	0.00	0.53	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
07/23/2018	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.10	0.00	0.10	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
07/23/2018	***-**-44IO - MAYO, DEBORAH	MFS HIGH INCOME CLASS C	0.00	16.91	0.00	16.91	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
07/23/2018	***-**-13IN - ROTH, KAREN	MFS HIGH INCOME FUND-A	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
07/23/2018	***-**-61IN - EVANS, DOLORES	MFS HIGH INCOME FUND-B	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						
07/23/2018	***-**-13IN - ROTH, KAREN	MFS HIGH INCOME FUND-B	0.00	0.18	0.00	0.18	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
07/23/2018	***-**-881I - EBERLEY, SUSAN	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.65	0.00	0.65	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
07/23/2018	***-**-13IN - ROTH, KAREN	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
07/23/2018	***-**-73TR - WESTCOTT IRRVOC	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	7.72	0.00	7.72	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
07/23/2018	***-**-73TR - WESTCOTT IRRVOC	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	8.93	0.00	8.93	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
07/23/2018	***-**-13IN - ROTH, KAREN	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
07/23/2018	***-**-881J - EBERLEY, SUSAN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
07/23/2018	***-**-949I - ACEE, JOSPEH	MFS INFLATION ADJUSTED BOND CL B	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - HARTZ, CLAUDIA	MFS INFLATION ADJUSTED BOND CL B	0.00	0.46	0.00	0.46	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-15IN - PENDRAK, JOHN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.82	0.00	0.82	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
07/23/2018	***-**-960J - MASTRANGELO,	MFS INFLATION ADJUSTED BOND CL B	0.00	0.28	0.00	0.28	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
07/23/2018	***-**-366I - GRUENEWALD,	MFS INFLATION ADJUSTED BOND CL B	0.00	0.35	0.00	0.35	0.0	0.00
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
07/23/2018	***-**-761I - EVANS, DOLORES	MFS INFLATION ADJUSTED BOND CL B	0.00	1.18	0.00	1.18	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
07/23/2018	***-**-63TR - EVANS, AUDREY J	MFS INFLATION ADJUSTED BOND CL B	0.00	0.31	0.00	0.31	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
07/23/2018	***-**-580X - COBB, JAMES	MFS INFLATION ADJUSTED BOND CL B	0.00	0.58	0.00	0.58	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
07/23/2018	***-**-111J - NESSIA, JAMES	MFS INFLATION ADJUSTED BOND CL B	0.00	0.33	0.00	0.33	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
07/23/2018	***-**-326J - GRANDE, MR	MFS INFLATION ADJUSTED BOND CL B	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
07/23/2018	***-**-76IO - MCALLISTER, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
07/23/2018	***-**-506J - CURRIERE JR, MR	MFS INFLATION ADJUSTED BOND CL B	0.00	0.17	0.00	0.17	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
07/23/2018	***-**-48IO - ANACKER, FBO MRS	MFS INFLATION ADJUSTED BOND CL B	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - WILLIAMSON, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
07/23/2018	***-**-64IO - PFAFF, GEORGE	MFS INFLATION ADJUSTED BOND CL B	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
07/23/2018	***-**-62IR - ROBBIANO, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
07/23/2018	***-**-382I - SATTERLY, NEIL	MFS INFLATION ADJUSTED BOND CL C	0.00	9.50	0.00	9.50	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
07/23/2018	***-**-031O - MCVOY, ROBERT	MFS INFLATION ADJUSTED BOND CL C	0.00	2.68	0.00	2.68	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-44IO - MAYO, DEBORAH	MFS INFLATION ADJUSTED BOND CL C	0.00	20.69	0.00	20.69	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
07/23/2018	***-**-531J - WILLIAMSON,	MFS INFLATION ADJUSTED BOND CL C	0.00	6.41	0.00	6.41	0.0	0.00
	ACCOUNT #: LSR011716	BUY 1 Units @0.0000						
07/23/2018	***-**-861I - SANGER, LAWRENCE	MFS INFLATION ADJUSTED BOND FUND-A	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
07/23/2018	***-**-065I - LAZIO, THOMAS	MFS INTERNATIONAL NEW DISCOVERY CL	0.00	5.80	0.00	5.80	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
07/23/2018	***-**-254I - AUGUSTINE, LINDA	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.14	0.00	1.14	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
07/23/2018	***-**-930I - SANGER, KEVIN	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
07/23/2018	***-**-959I - FRANZ, PAUL	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.92	0.00	1.92	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
07/23/2018	***-**-960J - MASTRANGELO,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
07/23/2018	***-**-761I - EVANS, DOLORES	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	3.35	0.00	3.35	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
07/23/2018	***-**-944O - MAYO, DEBORAH	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
07/23/2018	***-**-13IN - RHYMESTINE,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - WILLIAMSON, FBO	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.16	0.00	2.16	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
07/23/2018	***-**-03IO - MASTRANGELO,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.25	0.00	2.25	0.0	0.00
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
07/23/2018	***-**-930I - SANGER, KEVIN	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.37	0.00	0.37	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
07/23/2018	***-**-861I - SANGER, LAWRENCE	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.82	0.00	0.82	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
07/23/2018	***-**-51IO - CAIN, TIMOTHY	MFS INTERNATIONAL VALUE FUND-B	0.00	0.93	0.00	0.93	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-861I - SANGER, LAWRENCE	MFS LIFETIME INCOME CLASS A	0.00	1.12	0.00	1.12	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
07/23/2018	***-**-959J - FRANZ, PAUL	MFS MODERATE ALLOCATION CL C	0.00	14.10	0.00	14.10	0.0	0.00
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
07/23/2018	***-**-86IN - LEE, KEVIN	MFS MODERATE ALLOCATION CL C	0.00	24.25	0.00	24.25	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
07/23/2018	***-**-960J - MASTRANGELO,	MFS NEW DISCOVERY FUND-B	0.00	2.57	0.00	2.57	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - WILLIAMSON, FBO	MFS NEW DISCOVERY FUND-B	0.00	1.55	0.00	1.55	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
07/23/2018	***-**-978I - GUNN, KATHLEEN	MFS NEW DISCOVERY FUND-B	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
07/23/2018	***-**-45IO - WILLIAMSON,	MFS NEW DISCOVERY FUND-B	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: LSR011756	BUY 1 Units @0.0000						
07/23/2018	***-**-197I - GAMBINO, ANDREW	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.27	0.00	0.27	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
07/23/2018	***-**-53IN - EVANS, ANDREW	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.72	0.00	0.72	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
07/23/2018	***-**-24IN - BLISS, RYAN	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
07/23/2018	***-**-38IO - BROWN, TYLER	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
07/23/2018	***-**-36IR - SANGER, BETH	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: LSR011757	BUY 1 Units @0.0000						
07/23/2018	***-**-382I - SATTERLY, NEIL	MFS NEW DISCOVERY VALUE FUND CL C	0.00	11.33	0.00	11.33	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
07/23/2018	***-**-44IO - MAYO, DEBORAH	MFS NEW DISCOVERY VALUE FUND CL C	0.00	13.92	0.00	13.92	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
07/23/2018	***-**-63TR - EVANS, AUDREY J	MFS NEW YORK MUNICIPAL BOND FUND-B	0.00	1.60	0.00	1.60	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
07/23/2018	***-**-111J - NESSIA, JAMES	MFS NEW YORK MUNICIPAL BOND FUND-B	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-111J - NESSIA, JAMES	MFS RESEARCH BOND FUND CLASS A	0.00	0.36	0.00	0.36	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
07/23/2018	***-**-861I - SANGER, LAWRENCE	MFS RESEARCH BOND FUND CLASS A	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
07/23/2018	***-**-02IN - BUSHEY, MELISSA	MFS RESEARCH BOND FUND CLASS A	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
07/23/2018	***-**-62IN - EATON, DAVID	MFS RESEARCH BOND FUND CLASS A	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
07/23/2018	***-**-254I - AUGUSTINE, LINDA	MFS RESEARCH BOND FUND-B	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
07/23/2018	***-**-959I - FRANZ, PAUL	MFS RESEARCH BOND FUND-B	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
07/23/2018	***-**-51IO - CAIN, TIMOTHY	MFS RESEARCH BOND FUND-B	0.00	1.29	0.00	1.29	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
07/23/2018	***-**-761I - EVANS, DOLORES	MFS RESEARCH BOND FUND-B	0.00	1.29	0.00	1.29	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
07/23/2018	***-**-76IO - MCALLISTER, FBO	MFS RESEARCH BOND FUND-B	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
07/23/2018	***-**-367J - WAGNER, MRS LANT	MFS RESEARCH BOND FUND-B	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - WILLIAMSON, FBO	MFS RESEARCH BOND FUND-B	0.00	0.64	0.00	0.64	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
07/23/2018	***-**-67IR - FRANZ, ALICE	MFS RESEARCH FUND-B	0.00	1.21	0.00	1.21	0.0	0.00
	ACCOUNT #: LSR011872	BUY 1 Units @0.0000						
07/23/2018	***-**-59IR - FRANZ, PAUL	MFS RESEARCH FUND-B	0.00	1.21	0.00	1.21	0.0	0.00
	ACCOUNT #: LSR011873	BUY 1 Units @0.0000						
07/23/2018	***-**-326J - GRANDE, MR	MFS STRATEGIC INCOME FUND-B	0.00	1.09	0.00	1.09	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
07/23/2018	***-**-382I - SATTERLY, NEIL	MFS TECHNOLOGY CLASS C	0.00	11.30	0.00	11.30	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
07/23/2018	***-**-48IO - CLARK, PATRICK	MFS TECHNOLOGY CLASS C	0.00	8.17	0.00	8.17	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						





# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-0310 - MCVOY, ROBERT	MFS TECHNOLOGY CLASS C	0.00	5.31	0.00	5.31	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
07/23/2018	***-**-930I - SANGER, KEVIN	MFS TECHNOLOGY CLASS C	0.00	10.04	0.00	10.04	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
07/23/2018	***-**-065I - LAZIO, THOMAS	MFS TECHNOLOGY CLASS C	0.00	6.51	0.00	6.51	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
07/23/2018	***-**-00IO - LEE, GARY	MFS TECHNOLOGY CLASS C	0.00	12.97	0.00	12.97	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
07/23/2018	***-**-44IO - MAYO, DEBORAH	MFS TECHNOLOGY CLASS C	0.00	14.56	0.00	14.56	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
07/23/2018	***-**-09IO - BATES, FBO MRS	MFS TECHNOLOGY CLASS C	0.00	12.80	0.00	12.80	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
07/23/2018	***-**-89IN - LEE, ROGER	MFS TECHNOLOGY CLASS C	0.00	6.92	0.00	6.92	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
07/23/2018	***-**-094I - KATRENA L	MFS TECHNOLOGY CLASS C	0.00	7.20	0.00	7.20	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
07/23/2018	***-**-06IR - CAMPBELL, PAMELA	MFS TECHNOLOGY CLASS C	0.00	8.99	0.00	8.99	0.0	0.00
	ACCOUNT #: LSR011222	BUY 1 Units @0.0000						
07/23/2018	***-**-11IO - LYNN G.	MFS TECHNOLOGY CLASS C	0.00	7.43	0.00	7.43	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
07/23/2018	***-**-45IO - JOHNSON, GREGORY	MFS TECHNOLOGY CLASS C	0.00	8.04	0.00	8.04	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
07/23/2018	***-**-37IO - MCCLUSKY, MARK	MFS TECHNOLOGY CLASS C	0.00	2.83	0.00	2.83	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
07/23/2018	***-**-86IN - LEE, KEVIN	MFS TECHNOLOGY CLASS C	0.00	11.25	0.00	11.25	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
07/23/2018	***-**-99IN - EDELMAN, TINA	MFS TECHNOLOGY CLASS C	0.00	0.11	0.00	0.11	0.0	0.00
	ACCOUNT #: LSR011956	BUY 1 Units @0.0000						
07/23/2018	***-**-28IN - BYWATER, KIM	MFS TECHNOLOGY CLASS C	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
07/23/2018	***-**-9440 - MAYO, DEBORAH	MFS TECHNOLOGY FUND CLASS A	0.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-808J - GORMAN, RANDY	MFS TECHNOLOGY FUND CLASS A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
07/23/2018	***-**-02IN - BUSHEY, MELISSA	MFS TECHNOLOGY FUND CLASS A	0.00	1.10	0.00	1.10	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - HARTZ, CLAUDIA	MFS TECHNOLOGY FUND-B	0.00	0.98	0.00	0.98	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
07/23/2018	***-**-15IN - PENDRAK, JOHN	MFS TECHNOLOGY FUND-B	0.00	2.54	0.00	2.54	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
07/23/2018	***-**-959I - FRANZ, PAUL	MFS TECHNOLOGY FUND-B	0.00	2.11	0.00	2.11	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
07/23/2018	***-**-169I - EVANS, PAUL	MFS TECHNOLOGY FUND-B	0.00	1.44	0.00	1.44	0.0	0.00
	ACCOUNT #: LSR011026	BUY 1 Units @0.0000						
07/23/2018	***-**-580X - COBB, JAMES	MFS TECHNOLOGY FUND-B	0.00	1.40	0.00	1.40	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
07/23/2018	***-**-579J - RHYMESTINE,	MFS TECHNOLOGY FUND-B	0.00	1.09	0.00	1.09	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
07/23/2018	***-**-579I - RHYMESTINE,	MFS TECHNOLOGY FUND-B	0.00	1.48	0.00	1.48	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
07/23/2018	***-**-326I - GRANDE, FBO MR	MFS TECHNOLOGY FUND-B	0.00	1.85	0.00	1.85	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
07/23/2018	***-**-992J - CORNACCHIA	MFS TECHNOLOGY FUND-B	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
07/23/2018	***-**-48IO - ANACKER, FBO MRS	MFS TECHNOLOGY FUND-B	0.00	0.97	0.00	0.97	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - WILLIAMSON, FBO	MFS TECHNOLOGY FUND-B	0.00	1.69	0.00	1.69	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
07/23/2018	***-**-664J - PFAFF, GEORGE	MFS TECHNOLOGY FUND-B	0.00	2.04	0.00	2.04	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
07/23/2018	***-**-64IO - PFAFF, GEORGE	MFS TECHNOLOGY FUND-B	0.00	1.11	0.00	1.11	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
07/23/2018	***-**-52IO - EUSEPI, SUSAN	MFS TECHNOLOGY FUND-B	0.00	1.47	0.00	1.47	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-37IR - HARTZ, RENEE	MFS TECHNOLOGY FUND-B	0.00	1.65	0.00	1.65	0.0	0.00
	ACCOUNT #: LSR011259	BUY 1 Units @0.0000						
07/23/2018	***-**-75IR - EVANS, DIANE	MFS TECHNOLOGY FUND-B	0.00	1.57	0.00	1.57	0.0	0.00
	ACCOUNT #: LSR011275	BUY 1 Units @0.0000						
07/23/2018	***-**-653I - KOCHER, CLINTON	MFS TECHNOLOGY FUND-B	0.00	2.44	0.00	2.44	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
07/23/2018	***-**-680I - HARRELL, DARYL	MFS TECHNOLOGY FUND-B	0.00	1.66	0.00	1.66	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
07/23/2018	***-**-978I - GUNN, KATHLEEN	MFS TECHNOLOGY FUND-B	0.00	0.96	0.00	0.96	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
07/23/2018	***-**-197I - GAMBINO, ANDREW	MFS TECHNOLOGY FUND-B	0.00	0.52	0.00	0.52	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
07/23/2018	***-**-53IN - EVANS, ANDREW	MFS TECHNOLOGY FUND-B	0.00	0.95	0.00	0.95	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
07/23/2018	***-**-274X - DOXTADER,	MFS TECHNOLOGY FUND-B	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: LSR011580	BUY 1 Units @0.0000						
07/23/2018	***-**-38IO - BROWN, TYLER	MFS TECHNOLOGY FUND-B	0.00	1.09	0.00	1.09	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
07/23/2018	***-**-03IO - MASTRANGELO,	MFS TECHNOLOGY FUND-B	0.00	2.03	0.00	2.03	0.0	0.00
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
07/23/2018	***-**-45IR - WILLIAMSON,	MFS TECHNOLOGY FUND-B	0.00	2.25	0.00	2.25	0.0	0.00
	ACCOUNT #: LSR011764	BUY 1 Units @0.0000						
07/23/2018	***-**-51IO - SCALISE, MARY	MFS TECHNOLOGY FUND-B	0.00	2.03	0.00	2.03	0.0	0.00
	ACCOUNT #: LSR011837	BUY 1 Units @0.0000						
07/23/2018	***-**-87IO - LASCALA, LAUREN	MFS TECHNOLOGY FUND-B	0.00	0.37	0.00	0.37	0.0	0.00
	ACCOUNT #: LSR011858	BUY 1 Units @0.0000						
07/23/2018	***-**-881J - EBERLEY, SUSAN	MFS TOTAL RETURN FUND-B	0.00	1.35	0.00	1.35	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
07/23/2018	***-**-949I - ACEE, JOSPEH	MFS TOTAL RETURN FUND-B	0.00	1.81	0.00	1.81	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
07/23/2018	***-**-960J - MASTRANGELO,	MFS TOTAL RETURN FUND-B	0.00	1.84	0.00	1.84	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-506J - CURRIERE JR, MR	MFS TOTAL RETURN FUND-B	0.00	0.95	0.00	0.95	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - WILLIAMSON, FBO	MFS TOTAL RETURN FUND-B	0.00	2.13	0.00	2.13	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
07/23/2018	***-**-62IR - ROBBIANO, FBO	MFS TOTAL RETURN FUND-B	0.00	0.85	0.00	0.85	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
07/23/2018	***-**-944O - MAYO, DEBORAH	MFS UTILITIES FUND-A	0.00	0.85	0.00	0.85	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
07/23/2018	***-**-96IN - NESSIA, BONNIE	MFS UTILITIES FUND-A	0.00	0.54	0.00	0.54	0.0	0.00
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
07/23/2018	***-**-808J - GORMAN, RANDY	MFS UTILITIES FUND-A	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - HARTZ, CLAUDIA	MFS UTILITIES FUND-B	0.00	1.32	0.00	1.32	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
07/23/2018	***-**-15IN - PENDRAK, JOHN	MFS UTILITIES FUND-B	0.00	1.82	0.00	1.82	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
07/23/2018	***-**-366S - GRUENEWALD,	MFS UTILITIES FUND-B	0.00	0.71	0.00	0.71	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
07/23/2018	***-**-61IN - EVANS, DOLORES	MFS UTILITIES FUND-B	0.00	0.28	0.00	0.28	0.0	0.00
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						
07/23/2018	***-**-63TR - EVANS, AUDREY J	MFS UTILITIES FUND-B	0.00	1.65	0.00	1.65	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
07/23/2018	***-**-306X - COBB, CHERRYL	MFS UTILITIES FUND-B	0.00	1.93	0.00	1.93	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
07/23/2018	***-**-579I - RHYMESTINE,	MFS UTILITIES FUND-B	0.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
07/23/2018	***-**-326J - GRANDE, MR	MFS UTILITIES FUND-B	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
07/23/2018	***-**-326I - GRANDE, FBO MR	MFS UTILITIES FUND-B	0.00	0.96	0.00	0.96	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
07/23/2018	***-**-13IN - RHYMESTINE,	MFS UTILITIES FUND-B	0.00	0.85	0.00	0.85	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-76IO - MCALLISTER, FBO	MFS UTILITIES FUND-B	0.00	0.78	0.00	0.78	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
07/23/2018	***-**-367J - WAGNER, MRS LANT	MFS UTILITIES FUND-B	0.00	1.61	0.00	1.61	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - WILLIAMSON, FBO	MFS UTILITIES FUND-B	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
07/23/2018	***-**-64IO - PFAFF, GEORGE	MFS UTILITIES FUND-B	0.00	1.65	0.00	1.65	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
07/23/2018	***-**-653I - KOCHER, CLINTON	MFS UTILITIES FUND-B	0.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
07/23/2018	***-**-62IN - EATON, DAVID	MFS UTILITIES FUND-B	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
07/23/2018	***-**-978I - GUNN, KATHLEEN	MFS UTILITIES FUND-B	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
07/23/2018	***-**-21IO - RADELL, SANDRA	MFS UTILITIES FUND-B	0.00	1.59	0.00	1.59	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
07/23/2018	***-**-41IR - DINITTO, ALLISYN	MFS UTILITIES FUND-B	0.00	0.45	0.00	0.45	0.0	0.00
	ACCOUNT #: LSR011443	BUY 1 Units @0.0000						
07/23/2018	***-**-197I - GAMBINO, ANDREW	MFS UTILITIES FUND-B	0.00	0.12	0.00	0.12	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
07/23/2018	***-**-53IN - EVANS, ANDREW	MFS UTILITIES FUND-B	0.00	0.55	0.00	0.55	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
07/23/2018	***-**-24IN - BLISS, RYAN	MFS UTILITIES FUND-B	0.00	0.11	0.00	0.11	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
07/23/2018	***-**-51IN - GERACE, JOANNE	MFS UTILITIES FUND-B	0.00	1.48	0.00	1.48	0.0	0.00
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						
07/23/2018	***-**-38IO - BROWN, TYLER	MFS UTILITIES FUND-B	0.00	0.57	0.00	0.57	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
07/23/2018	***-**-45IO - WILLIAMSON,	MFS UTILITIES FUND-B	0.00	0.55	0.00	0.55	0.0	0.00
	ACCOUNT #: LSR011756	BUY 1 Units @0.0000						
07/23/2018	***-**-235I - AUGUSTINE III,	MFS UTILITIES FUND-C	0.00	8.79	0.00	8.79	0.0	0.00
	ACCOUNT #: LSR010845	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-980I - ACEE, ELIZABETH	MFS UTILITIES FUND-C	0.00	10.48	0.00	10.48	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
07/23/2018	***-**-48IO - CLARK, PATRICK	MFS UTILITIES FUND-C	0.00	8.86	0.00	8.86	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
07/23/2018	***-**-03IO - MCVOY, ROBERT	MFS UTILITIES FUND-C	0.00	4.47	0.00	4.47	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
07/23/2018	***-**-065I - LAZIO, THOMAS	MFS UTILITIES FUND-C	0.00	10.43	0.00	10.43	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
07/23/2018	***-**-00IO - LEE, GARY	MFS UTILITIES FUND-C	0.00	10.40	0.00	10.40	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
07/23/2018	***-**-09IO - BATES, FBO MRS	MFS UTILITIES FUND-C	0.00	10.93	0.00	10.93	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
07/23/2018	***-**-89IN - LEE, ROGER	MFS UTILITIES FUND-C	0.00	6.53	0.00	6.53	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
07/23/2018	***-**-81IR - CAMPBELL,	MFS UTILITIES FUND-C	0.00	6.80	0.00	6.80	0.0	0.00
	ACCOUNT #: LSR011223	BUY 1 Units @0.0000						
07/23/2018	***-**-11IO - LYNN G.	MFS UTILITIES FUND-C	0.00	9.44	0.00	9.44	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
07/23/2018	***-**-37IR - HARTZ, RENEE	MFS UTILITIES FUND-C	0.00	0.06	0.00	0.06	0.0	0.00
	ACCOUNT #: LSR011259	BUY 1 Units @0.0000						
07/23/2018	***-**-80IO - EVANS, RICHARD	MFS UTILITIES FUND-C	0.00	7.25	0.00	7.25	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
07/23/2018	***-**-376I - BERBEN, GAIL	MFS UTILITIES FUND-C	0.00	9.44	0.00	9.44	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
07/23/2018	***-**-45IO - JOHNSON, GREGORY	MFS UTILITIES FUND-C	0.00	8.42	0.00	8.42	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
07/23/2018	***-**-14IO - BUSHEY, MATTHEW	MFS UTILITIES FUND-C	0.00	9.25	0.00	9.25	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
07/23/2018	***-**-22IO - CLINTON, STEPHEN	MFS UTILITIES FUND-C	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
07/23/2018	***-**-714O - LINES, JUDITH	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR011763	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-35IN - RUGGIERO, LAURIE	MFS UTILITIES FUND-C	0.00	0.03	0.00	0.03	0.0	0.00
	ACCOUNT #: LSR012314	BUY 1 Units @0.0000						
07/23/2018	***-**-31IO - JOSEPH, ANN	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012337	BUY 1 Units @0.0000						
07/23/2018	***-**-452I - ROBERTS, LILLIAN	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012350	BUY 1 Units @0.0000						
07/23/2018	***-**-851J - BLAKE, JAMES	MFS UTILITIES FUND-C	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: LSR012393	BUY 1 Units @0.0000						
07/23/2018	***-**-188I - BRADLEY, WAYNE	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012484	BUY 1 Units @0.0000						
07/23/2018	***-**-13IN - ROTH, KAREN	MFS VALUE FUND-B	0.00	0.99	0.00	0.99	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
07/17/2018	***-**-20IO - DEMETRI, AMELIA	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	1.51	0.00	1.51	0.0	0.00
	ACCOUNT #: LSR010995	BUY 1 Units @0.0000						
07/23/2018	***-**-20IO - DEMETRI, AMELIA	NEW PERSPECTIVE FUND-A	0.00	1.85	0.00	1.85	0.0	0.00
	ACCOUNT #: LSR010995	BUY 1 Units @0.0000						
07/23/2018	***-**-702I - KOCHER, MICHAEL	NEW WORLD FUND-A	0.00	2.68	0.00	2.68	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
07/23/2018	***-**-326I - GRANDE, FBO MR	NEW WORLD FUND-A	0.00	2.44	0.00	2.44	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
07/23/2018	***-**-680I - HARRELL, DARYL	NEW WORLD FUND-A	0.00	0.58	0.00	0.58	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
07/23/2018	***-**-128I - BASHANT, THOMAS	NEW WORLD FUND-A	0.00	0.90	0.00	0.90	0.0	0.00
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						
07/23/2018	***-**-30TR - AND SANDRA	NEW WORLD FUND-A	0.00	2.01	0.00	2.01	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
07/17/2018	***-**-07IO - FAMOLARO, JAMES	PRUDENTIAL JENNISON 20/20 FOCUS CLA	0.00	3.28	0.00	3.28	0.0	0.00
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
07/17/2018	***-**-254I - AUGUSTINE, LINDA	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
07/17/2018	***-**-15IN - PENDRAK, JOHN	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	2.55	0.00	2.55	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/17/2018	***-**-366S - GRUENEWALD, ACCOUNT #: LSR010990	PRUDENTIAL JENNISON NATURAL RESOURC BUY 1 Units @0.0000	0.00	0.33	0.00	0.33	0.0	0.00
07/17/2018	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	PRUDENTIAL JENNISON NATURAL RESOURC BUY 1 Units @0.0000	0.00	0.43	0.00	0.43	0.0	0.00
07/17/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	PRUDENTIAL JENNISON NATURAL RESOURC BUY 1 Units @0.0000	0.00	0.55	0.00	0.55	0.0	0.00
07/17/2018	***-**-128I - BASHANT, THOMAS ACCOUNT #: LSR011387	PRUDENTIAL JENNISON NATURAL RESOURC BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	0.0	0.00
07/17/2018	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	PRUDENTIAL JENNISON NATURAL RESOURC BUY 1 Units @0.0000	0.00	0.54	0.00	0.54	0.0	0.00
07/17/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	PRUDENTIAL JENNISON NATURAL RESOURC BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	0.0	0.00
07/23/2018	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	0.89	0.00	0.89	0.0	0.00
07/23/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	SMALLCAP WORLD FUND-C BUY 1 Units @0.0000	0.00	8.87	0.00	8.87	0.0	0.00
07/23/2018	***-**-567I - FRANZ, ALICE ACCOUNT #: LSR010960	SMALLCAP WORLD FUND-C BUY 1 Units @0.0000	0.00	7.29	0.00	7.29	0.0	0.00
07/23/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	SMALLCAP WORLD FUND-C BUY 1 Units @0.0000	0.00	12.29	0.00	12.29	0.0	0.00
07/23/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	SMALLCAP WORLD FUND-C BUY 1 Units @0.0000	0.00	11.94	0.00	11.94	0.0	0.00
07/23/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	SMALLCAP WORLD FUND-C BUY 1 Units @0.0000	0.00	12.42	0.00	12.42	0.0	0.00
07/23/2018	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	SMALLCAP WORLD FUND-C BUY 1 Units @0.0000	0.00	8.13	0.00	8.13	0.0	0.00
07/23/2018	***-**-851K - LALLIER, JAMES ACCOUNT #: LSR012645	SMALLCAP WORLD FUND-C BUY 1 Units @0.0000	0.00	4.27	0.00	4.27	0.0	0.00
07/17/2018	***-**-86IN - LEE, KEVIN ACCOUNT #: LSR011613	TEMPLETON GLOBAL BOND FUND - CLASS BUY 1 Units @0.0000	0.00	3.75	0.00	3.75	0.0	0.00
07/23/2018	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.10	0.00	1.10	0.0	0.00





# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-326I - GRANDE, FBO MR	THE GROWTH FUND OF AMERICA-A	0.00	2.23	0.00	2.23	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
07/23/2018	***-**-567I - FRANZ, ALICE	THE GROWTH FUND OF AMERICA-C	0.00	10.70	0.00	10.70	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
07/23/2018	***-**-287I - HINCKLEY,	THE INCOME FUND OF AMERICA-A	0.00	4.40	0.00	4.40	0.0	0.00
	ACCOUNT #: LSR010843	BUY 1 Units @0.0000						
07/23/2018	***-**-702I - KOCHER, MICHAEL	THE INCOME FUND OF AMERICA-A	0.00	3.47	0.00	3.47	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
07/23/2018	***-**-959J - FRANZ, PAUL	THE INCOME FUND OF AMERICA-A	0.00	2.81	0.00	2.81	0.0	0.00
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
07/23/2018	***-**-366I - GRUENEWALD,	THE INCOME FUND OF AMERICA-A	0.00	1.73	0.00	1.73	0.0	0.00
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
07/23/2018	***-**-579J - RHYMESTINE,	THE INCOME FUND OF AMERICA-A	0.00	0.98	0.00	0.98	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
07/23/2018	***-**-326I - GRANDE, FBO MR	THE INCOME FUND OF AMERICA-A	0.00	2.27	0.00	2.27	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
07/23/2018	***-**-680I - HARRELL, DARYL	THE INCOME FUND OF AMERICA-A	0.00	1.09	0.00	1.09	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
07/23/2018	***-**-128I - BASHANT, THOMAS	THE INCOME FUND OF AMERICA-A	0.00	0.94	0.00	0.94	0.0	0.00
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						
07/23/2018	***-**-30TR - AND SANDRA	THE INCOME FUND OF AMERICA-A	0.00	2.59	0.00	2.59	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
07/23/2018	***-**-80IO - EVANS, RICHARD	THE NEW ECONOMY FUND-C	0.00	11.90	0.00	11.90	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
07/23/2018	***-**-851K - LALLIER, JAMES	THE NEW ECONOMY FUND-C	0.00	2.56	0.00	2.56	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
07/23/2018	***-**-235I - AUGUSTINE III,	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	6.14	0.00	6.14	0.0	0.00
	ACCOUNT #: LSR010845	BUY 1 Units @0.0000						
07/23/2018	***-**-881J - EBERLEY, SUSAN	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	7.25	0.00	7.25	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
07/23/2018	***-**-980I - ACEE, ELIZABETH	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	5.29	0.00	5.29	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-0310 - MCVOY, ROBERT	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	6.81	0.00	6.81	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
07/23/2018	***-**-959I - FRANZ, PAUL	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	12.19	0.00	12.19	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
07/23/2018	***-**-73TR - WESTCOTT IRRVOC	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	5.91	0.00	5.91	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
07/23/2018	***-**-73TR - WESTCOTT IRRVOC	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	5.70	0.00	5.70	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
07/23/2018	***-**-44IO - MAYO, DEBORAH	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	7.02	0.00	7.02	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
07/23/2018	***-**-09IO - BATES, FBO MRS	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	11.28	0.00	11.28	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
07/23/2018	***-**-89IN - LEE, ROGER	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	12.80	0.00	12.80	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
07/23/2018	***-**-11IO - LYNN G.	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	10.86	0.00	10.86	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
07/23/2018	***-**-80IO - EVANS, RICHARD	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	7.30	0.00	7.30	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
07/23/2018	***-**-45IO - JOHNSON, GREGORY	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	9.18	0.00	9.18	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
07/23/2018	***-**-14IO - BUSHEY, MATTHEW	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	10.41	0.00	10.41	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
07/23/2018	***-**-287J - HINCKLEY,	TRANSAMERICA SHORT TERM BOND CL C	0.00	12.81	0.00	12.81	0.0	0.00
	ACCOUNT #: LSR010841	BUY 1 Units @0.0000						
07/23/2018	***-**-48IO - CLARK, PATRICK	TRANSAMERICA SHORT TERM BOND CL C	0.00	9.98	0.00	9.98	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
07/23/2018	***-**-11IO - LYNN G.	TRANSAMERICA SHORT TERM BOND CL C	0.00	14.86	0.00	14.86	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
07/23/2018	***-**-959J - FRANZ, PAUL	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	3.51	0.00	3.51	0.0	0.00
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
07/23/2018	***-**-71IO - FAMOLARO, JAMES	VAN KAMPEN9	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: LSR011279	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/17/2018	***-**-07IO - FAMOLARO, JAMES	WELLS FARGO ASSET ALLOCATION CL A	0.00	2.96	0.00	2.96	0.0	0.00
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
07/17/2018	***-**-07IO - FAMOLARO, JAMES	WELLS FARGO GLOBAL OPPT FD CL A	0.00	1.40	0.00	1.40	0.0	0.00
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
07/17/2018	***-**-680I - HARRELL, DARYL	WELLS FARGO SPECIALIZED TECH A	0.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
07/17/2018	***-**-680I - HARRELL, DARYL	WELLS FARGO UTILITY TELECOM CL A	0.00	1.29	0.00	1.29	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$3,782.90	\$0.00	\$3,782.90		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/23/2018	***-**-48IO - CLARK, PATRICK	BRIGHTHOUSE VA TRAILS	0.00	72.40	0.00	72.40	0.0	0.00
		BUY 1 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$72.40	\$0.00	\$72.40		\$0.00
	*** BROKER TRANSACTIONS TOTAL ***		\$74,966.01	\$4,628.98	\$370.00	\$4,258.98		\$-5.01

### **BROKER COMMISSION TOTALS**

Broker Transactions	\$-5.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-317.06
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$-322.07</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>