

**COMMISSION STATEMENT****October 15, 2017**

JACK MICHALETZ
 150 St. Andrews Ct.
 Ste. 210
 Mankato, MN 56001

BROKER #: M137
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
09/18/2017	TRUJILLO, TYLER	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	0.0	0.00
10/02/2017	VAN TOL, KATHERINE	GPB AUTOMOTIVE PORTFOLIO LP	53,000.00	3,710.00	0.00	3,710.00	0.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$103,000.00	\$7,210.00	\$0.00	\$7,210.00		\$0.00
<u>PRODUCT CATEGORY: REIT</u>								
09/27/2017	ESLINGER, JEREMY	COTTONWOOD MULTIFAMILY REIT II	35,000.00	2,100.00	0.00	2,100.00	0.0	0.00
* REIT SUBTOTAL *			\$35,000.00	\$2,100.00	\$0.00	\$2,100.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/05/2017	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	12.00	-9.72	0.0	0.00
10/02/2017	CONNOLLY, BARBARA	PUTNAM EQUITY INCOME A	0.00	2.20	0.00	2.20	0.0	0.00
10/05/2017	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	12.00	-9.73	0.0	0.00
10/02/2017	PLAN	PUTNAM GROWTH OPP A	0.00	1.24	0.00	1.24	0.0	0.00
10/03/2017	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH A	0.00	1.26	0.00	1.26	0.0	0.00
10/03/2017	PAHL, JASON	TRANSAMERICA AA GROWTH A	0.00	6.91	0.00	6.91	0.0	0.00
10/03/2017	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	0.00	3.67	0.00	3.67	0.0	0.00
10/03/2017	PROKOP, GRANT	TRANSAMERICA AA GROWTH A	0.00	1.21	0.00	1.21	0.0	0.00
10/03/2017	PROKOP, GRANT	TRANSAMERICA AA GROWTH A	0.00	0.81	0.00	0.81	0.0	0.00
10/03/2017	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	0.00	1.07	0.00	1.07	0.0	0.00
09/25/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	104.33	1.04	0.00	1.04	0.0	0.00
09/25/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	104.33	1.04	0.00	1.04	0.0	0.00
09/29/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	46.43	0.46	0.00	0.46	0.0	0.00
09/29/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	46.43	0.46	0.00	0.46	0.0	0.00
09/29/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.00
09/29/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.00
09/29/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	62.28	0.62	0.00	0.62	0.0	0.00
09/29/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	62.28	0.62	0.00	0.62	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/29/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	57.12	0.57	0.00	0.57	0.0	0.00
09/29/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	57.12	0.57	0.00	0.57	0.0	0.00
10/03/2017	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.75	0.00	2.75	0.0	0.00
10/03/2017	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	5.65	0.00	5.65	0.0	0.00
10/03/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	0.00	50.13	0.00	50.13	0.0	0.00
10/03/2017	IRELAND, DANIEL	TRANSAMERICA AA GROWTH C	0.00	43.62	0.00	43.62	0.0	0.00
10/03/2017	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	37.62	0.00	37.62	0.0	0.00
10/03/2017	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	37.62	0.00	37.62	0.0	0.00
10/03/2017	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.47	0.00	5.47	0.0	0.00
10/03/2017	FITTERER, PAMELA	TRANSAMERICA AA GROWTH C	0.00	16.80	0.00	16.80	0.0	0.00
10/03/2017	DORN, SANDRA	TRANSAMERICA AA GROWTH C	0.00	5.70	0.00	5.70	0.0	0.00
10/03/2017	FITTERER, EMILY	TRANSAMERICA AA GROWTH C	0.00	1.75	0.00	1.75	0.0	0.00
10/03/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	16.15	0.00	16.15	0.0	0.00
10/03/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	49.47	0.00	49.47	0.0	0.00
10/03/2017	FITTERER, SAMUEL	TRANSAMERICA AA GROWTH C	0.00	1.52	0.00	1.52	0.0	0.00
10/03/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	42.70	0.00	42.70	0.0	0.00
10/03/2017	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	6.80	0.00	6.80	0.0	0.00
10/03/2017	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	7.76	0.00	7.76	0.0	0.00
10/03/2017	MCRAE, HEATHER	TRANSAMERICA AA GROWTH C	0.00	1.69	0.00	1.69	0.0	0.00
10/03/2017	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.58	0.00	2.58	0.0	0.00
10/03/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	0.00	7.44	0.00	7.44	0.0	0.00
10/03/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	17.80	0.00	17.80	0.0	0.00
10/03/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	0.00	17.13	0.00	17.13	0.0	0.00
10/03/2017	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	11.34	0.00	11.34	0.0	0.00
10/03/2017	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.13	0.00	2.13	0.0	0.00
10/03/2017	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.15	0.00	2.15	0.0	0.00
10/03/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.39	0.00	1.39	0.0	0.00
10/03/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.57	0.00	3.57	0.0	0.00
10/03/2017	MICHALETZ, ADISYN	TRANSAMERICA AA GROWTH C	0.00	1.11	0.00	1.11	0.0	0.00
10/03/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.08	0.00	1.08	0.0	0.00
10/03/2017	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.62	0.00	5.62	0.0	0.00
10/03/2017	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	20.59	0.00	20.59	0.0	0.00
10/03/2017	ROY TOD, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	9.32	0.00	9.32	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/03/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	4.91	0.00	4.91	0.0	0.00
10/03/2017	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	3.77	0.00	3.77	0.0	0.00
10/03/2017	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	7.89	0.00	7.89	0.0	0.00
10/03/2017	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	2.07	0.00	2.07	0.0	0.00
10/03/2017	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.29	0.00	8.29	0.0	0.00
10/03/2017	SIEBERG, KYLE	TRANSAMERICA AA GROWTH C	0.00	2.87	0.00	2.87	0.0	0.00
10/03/2017	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	12.98	0.00	12.98	0.0	0.00
10/03/2017	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	15.17	0.00	15.17	0.0	0.00
10/03/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	0.00	0.56	0.00	0.56	0.0	0.00
10/03/2017	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.65	0.00	4.65	0.0	0.00
10/03/2017	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.82	0.00	1.82	0.0	0.00
10/03/2017	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.74	0.00	2.74	0.0	0.00
10/03/2017	BOESCH, SKYE	TRANSAMERICA AA GROWTH C	0.00	1.75	0.00	1.75	0.0	0.00
10/03/2017	FLYGARE, MATTHEW	TRANSAMERICA AA GROWTH C	0.00	2.97	0.00	2.97	0.0	0.00
10/03/2017	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.92	0.00	0.92	0.0	0.00
10/03/2017	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.23	0.00	4.23	0.0	0.00
10/03/2017	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH C	0.00	4.09	0.00	4.09	0.0	0.00
10/03/2017	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH C	0.00	6.93	0.00	6.93	0.0	0.00
10/05/2017	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	12.00	-9.00	0.0	0.00
09/15/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	-250.00	-2.50	0.00	-2.50	0.0	0.00
09/25/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	178.61	1.79	0.00	1.79	0.0	0.00
09/25/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	178.61	1.79	0.00	1.79	0.0	0.00
10/03/2017	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	0.00	6.47	0.00	6.47	0.0	0.00
10/03/2017	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.24	0.00	3.24	0.0	0.00
10/03/2017	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	4.99	0.00	4.99	0.0	0.00
10/03/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	45.02	0.00	45.02	0.0	0.00
10/03/2017	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	0.00	3.73	0.00	3.73	0.0	0.00
10/03/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	6.63	0.00	6.63	0.0	0.00
10/03/2017	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.28	0.00	1.28	0.0	0.00
10/03/2017	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.32	0.00	2.32	0.0	0.00
10/03/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	9.75	0.00	9.75	0.0	0.00
10/03/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	0.00	6.50	0.00	6.50	0.0	0.00
10/03/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	6.43	0.00	6.43	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/03/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	2.60	0.00	2.60	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,483.80	\$657.22	\$36.00	\$621.22		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/29/2017	ZABEL, DENNIS	ALLIANZ VISION BASIC	128,235.24	320.59	0.00	320.59	0.0	0.00
09/29/2017	GEHRKE, DEBORAH	ALLIANZ VISION BASIC	32,301.41	40.38	0.00	40.38	0.0	0.00
09/29/2017	STEPHENS, GREG	ALLIANZ VISION BASIC	63,022.58	157.56	0.00	157.56	0.0	0.00
10/03/2017	STEPHENS, GREG	AM GEN POLARIS CHOICE IV	40,702.58	101.76	0.00	101.76	0.0	0.00
09/29/2017	FEMRITE, LYLE	AXA ACCUM 04 ELITE (RO IRA)	79,438.84	218.46	0.00	218.46	0.0	0.00
09/29/2017	VANTOL, LARRY	AXA REITREMENT CORNERSTONE 13 L	43,251.27	108.13	0.00	108.13	0.0	0.00
09/29/2017	STEFFL, JOE	AXA REITREMENT CORNERSTONE 13 L	515,055.78	1,287.64	0.00	1,287.64	0.0	0.00
09/29/2017	JEWISON, KIM	JNL PERSPECTIVE II 04/14	41,214.11	824.28	0.00	824.28	0.0	0.00
09/30/2017	FIRST CONGREGATIONAL	JNL PERSPECTIVE II 04/14	172,454.12	35.92	0.00	35.92	0.0	0.00
09/30/2017	STEFFL, JAMES	JNL PERSPECTIVE II 04/14	156,874.16	32.68	0.00	32.68	0.0	0.00
09/30/2017	ZOARS UNITED CHURCH OF CH	JNL PERSPECTIVE II 04/14	34,397.55	7.17	0.00	7.17	0.0	0.00
10/06/2017	ZUPFER, JANE	JNL PERSPECTIVE II 04/14	550,000.00	11,000.00	0.00	11,000.00	0.0	0.00
09/30/2017	OTTO, CURTIS	JNL PERSPECTIVE II 05/05	8,281.02	6.90	0.00	6.90	0.0	0.00
09/30/2017	PETERSON, IONE	JNL PERSPECTIVE L	128,223.92	138.90	0.00	138.90	0.0	0.00
09/30/2017	PANKRATZ, SUSAN	JNL PERSPECTIVE L	115,943.42	96.62	0.00	96.62	0.0	0.00
09/30/2017	PANKRATZ, EDWARD	JNL PERSPECTIVE L	208,349.95	173.62	0.00	173.62	0.0	0.00
09/30/2017	DAHLKE, RONALD	JNL PERSPECTIVE L	9,091.13	7.58	0.00	7.58	0.0	0.00
09/30/2017	HOL, PATRICIA	JNL PERSPECTIVE L	127,011.05	105.84	0.00	105.84	0.0	0.00
09/30/2017	HAGER, REBECCA	JNL PERSPECTIVE L	63,282.00	52.73	0.00	52.73	0.0	0.00
09/30/2017	MARSH, JAMES	JNL PERSPECTIVE L	38,625.83	32.19	0.00	32.19	0.0	0.00
09/30/2017	FEAR, WILLIAM	JNL PERSPECTIVE L	137,282.72	114.40	0.00	114.40	0.0	0.00
09/30/2017	PEMBLE, MICHELLE	JNL PERSPECTIVE L	299,003.73	249.17	0.00	249.17	0.0	0.00
09/30/2017	HART, JILLANE	JNL PERSPECTIVE L	96,761.94	80.64	0.00	80.64	0.0	0.00
09/30/2017	HART, KEVIN	JNL PERSPECTIVE L	286,048.13	238.38	0.00	238.38	0.0	0.00
09/30/2017	STEFFL, CHRISTINE	JNL PERSPECTIVE L	24,559.79	20.47	0.00	20.47	0.0	0.00
09/30/2017	BEVAN, HELEN	JNL PERSPECTIVE L	38,489.06	32.08	0.00	32.08	0.0	0.00
09/16/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	17,462.28	14.55	0.00	14.55	0.0	0.00
09/16/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	17,950.52	14.96	0.00	14.96	0.0	0.00
09/25/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	0.00
09/25/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/08/2017	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	84,971.36	70.81	0.00	70.81	0.0	0.00
09/13/2017	BECKWITH, ALTON	TRANSAMERICA LIBERTY	87,916.28	73.26	0.00	73.26	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$3,646,301.77	\$15,662.67	\$0.00	\$15,662.67		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/18/2017	PEMBLE, JOCELYN	AM FUNDS AMCAP 529A	0.00	0.34	0.00	0.34	0.0	0.00
09/18/2017	TRUJILLO, TYLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.72	0.00	0.72	0.0	0.00
09/18/2017	TRUJILLO, TYLER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.80	0.00	0.80	0.0	0.00
09/18/2017	VAN TOL, KATHERINE	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	28.61	0.00	28.61	0.0	0.00
09/18/2017	TRUJILLO, TYLER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.61	0.00	1.61	0.0	0.00
09/18/2017	PEMBLE, JOCELYN	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.25	0.00	0.25	0.0	0.00
09/15/2017	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.05	0.00	15.05	0.0	0.00
09/15/2017	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	45.16	0.00	45.16	0.0	0.00
09/13/2017	ATKINSON, VONDA	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	4.83	0.00	4.83	0.0	0.00
09/15/2017	GROMMERSCH, STACI	JH CLASSIC VALUE A	0.00	0.05	0.00	0.05	0.0	0.00
09/15/2017	GROMMERSCH, STACI	JH LARGE CAP EQUITY A	0.00	0.06	0.00	0.06	0.0	0.00
09/15/2017	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.05	0.00	0.05	0.0	0.00
09/15/2017	STEFFL, CHRISTINE	JH STRATEGIC GROWTH A	0.00	0.28	0.00	0.28	0.0	0.00
09/15/2017	STEFFL, JOSEPH	JH STRATEGIC GROWTH A	0.00	0.40	0.00	0.40	0.0	0.00
09/15/2017	ATKINSON, VONDA	JH STRATEGIC GROWTH A	0.00	2.64	0.00	2.64	0.0	0.00
09/15/2017	GROMMERSCH, STACI	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	0.04	0.00	0.04	0.0	0.00
09/14/2017	PAHL, ADAM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	11.65	0.00	11.65	0.0	0.00
09/14/2017	SWARD, KIM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	36.63	0.00	36.63	0.0	0.00
09/14/2017	SORUM, JEFFREY	MFS GROWTH ALLOCATION C	0.00	4.37	0.00	4.37	0.0	0.00
09/14/2017	WHITE, STACEY	MFS GROWTH ALLOCATION C	0.00	11.69	0.00	11.69	0.0	0.00
09/21/2017	SORENSEN, JOSHUA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.38	0.00	0.38	0.0	0.00
09/19/2017	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	0.00	2.53	0.00	2.53	0.0	0.00
09/19/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	0.00	2.34	0.00	2.34	0.0	0.00
09/19/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	0.00	5.50	0.00	5.50	0.0	0.00
09/19/2017	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	0.00	3.51	0.00	3.51	0.0	0.00
09/19/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	0.00	2.28	0.00	2.28	0.0	0.00
09/19/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	0.00	5.52	0.00	5.52	0.0	0.00
09/19/2017	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	0.00	3.50	0.00	3.50	0.0	0.00
09/19/2017	ATKINSON, VONDA	PUTNAM INTERNATIONAL GROWTH-A	0.00	9.68	0.00	9.68	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
09/19/2017	SCHULLER, ALEC	PUTNAM MULTI CAP GROWTH A	0.00	0.84	0.00	0.84	0.0	0.00
09/13/2017	ATKINSON, VONDA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	22.91	0.00	22.91	0.0	0.00
09/18/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	0.00	2.81	0.00	2.81	0.0	0.00
09/18/2017	GILBERTO, SARAH	TRANSAMERICA AA GROWTH A	0.00	3.81	0.00	3.81	0.0	0.00
09/18/2017	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH A	0.00	2.35	0.00	2.35	0.0	0.00
09/18/2017	LORENTZ, ROBERT	TRANSAMERICA AA GROWTH A	0.00	0.16	0.00	0.16	0.0	0.00
09/18/2017	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	0.00	3.60	0.00	3.60	0.0	0.00
09/18/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	18.31	0.00	18.31	0.0	0.00
09/18/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	15.20	0.00	15.20	0.0	0.00
09/18/2017	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	0.00	37.02	0.00	37.02	0.0	0.00
09/18/2017	STEFFL, CHRISTINE	TRANSAMERICA CAPITAL GROWTH A	0.00	0.64	0.00	0.64	0.0	0.00
09/18/2017	STEFFL, JOSEPH	TRANSAMERICA CAPITAL GROWTH A	0.00	0.60	0.00	0.60	0.0	0.00
09/18/2017	STEFFL, CHRISTINE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.43	0.00	0.43	0.0	0.00
09/18/2017	STEFFL, JOSEPH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.38	0.00	0.38	0.0	0.00
09/18/2017	SCHULLER, CORY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.41	0.00	1.41	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$310.94	\$0.00	\$310.94		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$3,785,785.57	\$25,940.83	\$36.00	\$25,904.83		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$194,184.32
Year-to-date Earnings	\$0.00