

## **COMMISSION STATEMENT**

November 30, 2017

BRION NORBY

4905 Prairie Lane

Williston, ND 58801-

BROKER #: N121

BRANCH: TRUWEALTH FINANCIAL

| DATE       | CLIENT              | INVESTMENT                                    | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|---------------------|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|            |                     | SPLIT TRANSA                                  | CTIONS               |                     |                    |                   |      |                      |
| BROKER: BI | LB BRENT/BRION TRU- | LEE/NORBY #10                                 |                      |                     |                    |                   |      |                      |
| 11/08/2017 | MILLER, CHARLES     | AM FUNDS COLLEGE 2030 FUND 529A               | 500.00               | 8.75                | 0.00               | 8.75              | 90.0 | 7.88                 |
|            |                     | * BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *    | \$500.00             | \$8.75              | \$0.00             | \$8.75            |      | \$7.88               |
| BROKER: BI | B BRENT/BRION TRU-  | LEE/NORBY #332                                |                      |                     |                    |                   |      |                      |
| 11/22/2017 | FEE, ERIC           | IVY BOND FUND CLASS I                         | -18,113.50           | 11.00               | 11.00              | 0.00              | 90.0 | 0.00                 |
| 11/22/2017 | FEE, ERIC           | IVY GLOBAL BOND FUND CLASS I                  | -13,573.56           | 0.00                | 0.00               | 0.00              | 90.0 | 0.00                 |
| 11/22/2017 | FEE, ERIC           | IVY LIMITED-TERM BOND FUND CLASS I            | -23,910.90           | 0.00                | 0.00               | 0.00              | 90.0 | 0.00                 |
| 11/22/2017 | FEE, ERIC           | IVY MANAGED INT'L OPPORTUNITIES FUN           | -13,883.63           | 0.00                | 0.00               | 0.00              | 90.0 | 0.00                 |
| 11/22/2017 | FEE, ERIC           | IVY NATURAL RESOURCES FUND CLASS I            | -9,555.74            | 0.00                | 0.00               | 0.00              | 90.0 | 0.00                 |
|            |                     | * BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *    | \$-79,037.33         | \$11.00             | \$11.00            | \$0.00            |      | \$0.00               |
| BROKER: TE | BB TYLER-BRENT-BRIO | TRU-LIFFFRIG-L #336                           |                      |                     |                    |                   |      |                      |
| 11/13/2017 | HENNEN, STUART      | SHOPOFF COMMERCIAL GRWTH & INC FD 3           | 77,000.00            | 646.80              | 0.00               | 646.80            | 90.0 | 582.12               |
|            | * T                 | BB TYLER-BRENT-BRIO TRU-LIFFFRIG-L SUBTOTAL * | \$77,000.00          | \$646.80            | \$0.00             | \$646.80          |      | \$582.12             |
|            |                     | *** SPLIT TRANSACTIONS TOTAL ***              | \$-1,537.33          | \$666.55            | \$11.00            | \$655.55          |      | \$590.00             |

 $<sup>\</sup>ensuremath{^{\star\star}}$  - Indicates adjustment is not included in Totals or Commissions

## BROKER COMMISSION TOTALS \$0.00 Broker Transactions \$590.00 Split Transactions \$0.00 Override Transactions Adjustments \$0.00 Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$590.00 Check Amount \$69,545.20 Year-to-date Gross \$62,512.99 Year-to-date Earnings