

**COMMISSION STATEMENT****June 15, 2018**PAUL, C156 DELLE CESE
38 Wexford PlaceBROKER #: C156
BRANCH: PAUL DELLE CESE

Alameda, CA 94502-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2018	DELLE CHESE, FRANCESCA	FRANKLIN SMALL CAP VALUE A	164.95	8.25	85.0	7.01	0.00	7.01
* MUTUAL FUNDS SUBTOTAL *			\$164.95	\$8.25		\$7.01	\$0.00	\$7.01
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	HIRSCH, DANIEL	AM FUNDS AMCAP A	0.00	10.34	85.0	8.79	0.00	8.79
06/01/2018	HIRSCH, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.51	85.0	1.28	0.00	1.28
06/01/2018	ROEPER, FLORIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.43	85.0	8.87	0.00	8.87
06/01/2018	HIRSCH, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.40	85.0	3.74	0.00	3.74
06/01/2018	ROEPER, FLORIAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.69	85.0	12.49	0.00	12.49
06/01/2018	HIRSCH, DANIEL	AM FUNDS ICA A	0.00	8.53	85.0	7.25	0.00	7.25
06/01/2018	ROEPER, FLORIAN	AM FUNDS ICA A	0.00	17.44	85.0	14.82	0.00	14.82
06/01/2018	ROEPER, FLORIAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.02	85.0	3.42	0.00	3.42
06/01/2018	ROEPER, FLORIAN	AM FUNDS NEW PERSPECTIVE A	0.00	18.24	85.0	15.50	0.00	15.50
06/01/2018	HIRSCH, DANIEL	AM FUNDS SMALLCAP WORLD A	0.00	1.05	85.0	0.89	0.00	0.89
06/01/2018	ROEPER, FLORIAN	AM FUNDS SMALLCAP WORLD A	0.00	2.21	85.0	1.88	0.00	1.88
06/01/2018	HIRSCH, DANIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.50	85.0	4.68	0.00	4.68
06/01/2018	ROEPER, FLORIAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.94	85.0	3.35	0.00	3.35
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$102.30		\$86.96	\$0.00	\$86.96
*** DIRECT TRANSACTIONS TOTAL ***			\$164.95	\$110.55		\$93.97	\$0.00	\$93.97

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
06/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
06/15/2018		*EO INS: CURRENT MONTH INSURANCE	0.00					-300.00
06/15/2018		*LASER APP: LASER APP	0.00					-18.00
06/15/2018		*TECHNOLOGY: ADMIN - V. GAMBETTA	0.00					-130.00
06/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-635.00	\$0.00	\$-635.00

BROKER COMMISSION TOTALS

Broker Transactions	\$93.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-635.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-494.25
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-1,035.28
Year-to-date Gross	\$40,046.13
Year-to-date Earnings	\$34,039.22

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