Page No.

CONCORDE
INVESTMENT SERVICES

## **COMMISSION STATEMENT**

June 30, 2017

KEITH LAYMAN

4321 Flagstaff

Fort Wayne, IN 46815

BROKER #: L128

BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: INTERVAL FUNDS							
06/15/2017	ZINN, JEANETTE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.81	0.00	0.81	90.0	0.73
06/15/2017	LOWDEN TRUST, KATHERINE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.48	0.00	0.48	90.0	0.43
06/15/2017	MURPHY, SUSAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.56	0.00	0.56	90.0	0.50
06/15/2017	WILLING, LEONARD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.44	0.00	0.44	90.0	0.40
06/15/2017	PEPPLE, GLEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.33	0.00	0.33	90.0	0.30
06/15/2017	DIETSCH, RHONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.68	0.00	0.68	90.0	0.61
06/15/2017	BUSH, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	14.63	0.00	14.63	90.0	13.17
06/15/2017	BYRUM, GERALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	34.01	0.00	34.01	90.0	30.61
06/15/2017	HARMEYER, SHARYN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	36.19	0.00	36.19	90.0	32.57
06/15/2017	DERBYSHIRE, JAMES	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	22.63	0.00	22.63	90.0	20.37
06/15/2017	DERBYSHIRE TRUST, KAREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	22.83	0.00	22.83	90.0	20.55
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$133.59	\$0.00	\$133.59		\$120.24
PRODUCT CA	TEGORY: MUTUAL FUNDS							
06/15/2017	NEWBAUER, CAMERON	AM FUNDS AMERICAN BALANCED 529A	50.00	1.38	0.00	1.38	90.0	1.24
06/12/2017	GRIME, STEPHEN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	90.0	3.38
06/12/2017	HERRIMAN, JANET	AM FUNDS AMERICAN BALANCED A	50.00	2.13	0.00	2.13	90.0	1.92
06/15/2017	PEARSON, STACEY	AM FUNDS AMERICAN BALANCED A	15.00	0.75	0.00	0.75	90.0	0.68
06/15/2017	CACCAMO, MICHAEL	AM FUNDS AMERICAN BALANCED A	540.00	22.95	0.00	22.95	90.0	20.66
06/15/2017	WHITE, IAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
06/15/2017	WEIMER, RITA	AM FUNDS AMERICAN BALANCED A	300.00	15.00	0.00	15.00	90.0	13.50
06/20/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS AMERICAN BALANCED A	550.00	6.60	0.00	6.60	90.0	5.94
06/23/2017	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
06/15/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	1.38	0.00	1.38	90.0	1.24
06/20/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS CAPITAL INCOME BUILDER A	550.00	6.60	0.00	6.60	90.0	5.94
06/23/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	65.00	2.44	0.00	2.44	90.0	2.20
06/15/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	2.75	0.00	2.75	90.0	2.48

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

<sup>\*\* -</sup> Indicates adjustment is not included in Totals or Commissions

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS							
06/15/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	2.75	0.00	2.75	90.0	2.48
06/07/2017	HERBER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
06/15/2017	MOSURE, MONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	109.00	3.00	0.00	3.00	90.0	2.70
06/15/2017	NEACE, VICTORIA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
06/15/2017	MUSKIN, VERONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
06/20/2017	JACKSON, AIMEE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	90.0	2.25
06/23/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
06/23/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	50.08	0.80	0.00	0.80	90.0	0.72
06/23/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	50.08	0.80	0.00	0.80	90.0	0.72
06/15/2017	MOSURE, MONICA	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.38	0.00	1.38	90.0	1.24
06/15/2017	NEWBAUER, CAMERON	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
06/05/2017	LIPSCOMB, GRACE	AM FUNDS GROWTH FUND OF AMERICA A	300.00	15.00	0.00	15.00	90.0	13.50
06/05/2017	LIPSCOMB, SOPHIE	AM FUNDS GROWTH FUND OF AMERICA A	300.00	15.00	0.00	15.00	90.0	13.50
06/07/2017	HERBER, TRINA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
06/12/2017	GRIME, STEPHEN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
06/15/2017	MOSURE, MONICA	AM FUNDS GROWTH FUND OF AMERICA A	89.00	2.45	0.00	2.45	90.0	2.21
06/15/2017	NEACE, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
06/15/2017	MUSKIN, VERONICA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
06/23/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	200.34	3.21	0.00	3.21	90.0	2.89
06/23/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	200.34	3.21	0.00	3.21	90.0	2.89
06/23/2017	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	75.00	2.81	0.00	2.81	90.0	2.53
06/23/2017	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	149.81	2.40	0.00	2.40	90.0	2.16
06/23/2017	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	149.81	2.40	0.00	2.40	90.0	2.16
06/23/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	68.90	1.10	0.00	1.10	90.0	0.99
06/23/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	68.91	1.10	0.00	1.10	90.0	0.99
06/15/2017	NEWBAUER, CAMERON	AM FUNDS ICA 529A	100.00	2.75	0.00	2.75	90.0	2.48
06/12/2017	GRIME, STEPHEN	AM FUNDS ICA A	100.00	3.75	0.00	3.75	90.0	3.38
06/15/2017	MOSURE, MONICA	AM FUNDS INCOME FUND OF AMERICA A	60.00	1.65	0.00	1.65	90.0	1.49
06/20/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS INCOME FUND OF AMERICA A	550.00	6.60	0.00	6.60	90.0	5.94
06/23/2017	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	55.00	2.06	0.00	2.06	90.0	1.85
06/20/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS NEW ECONOMY A	550.00	6.60	0.00	6.60	90.0	5.94
06/15/2017	MOSURE, MONICA	AM FUNDS NEW PERSPECTIVE A	50.00	1.38	0.00	1.38	90.0	1.24
06/15/2017	MUSKIN, VERONICA	AM FUNDS NEW PERSPECTIVE A	50.00	1.88	0.00	1.88	90.0	1.69

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

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06/15/2017 ROBURE, MONICA AM FUNDS NEW WORLD A 50.00 1.38 0.00 1.38 90 06/15/2017 ROBURE, SHERRI AM FUNDS NEW WORLD A 50.00 2.13 0.00 2.13 0.00 2.13 06/16/2017 MUSSEY, LORI AM FUNDS NEW WORLD A 50.00 2.50 0.00 2.50 0.00 2.50 06/02/2017 EAGLESON, JOSEPH AM FUNDS NEW WORLD A 50.00 2.44 0.00 2.44 0.00 06/05/2017 GALENTINE, ASHLEY AM FUNDS MALICAP WORLD A 300.00 12.75 0.00 12	DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: MUTUAL FUNDS									
06/23/2017 EAGLESON, JOSEPH AM FUNDS NEW PERSPECTIVE A 65.00 2.44 0.00 2.44 90 06/15/2017 MOSURE, MONICA AM FUNDS NEW NORLD A 50.00 1.38 0.00 1.38 0.00 1.38 0.00 6/15/2017 NOSMER, SHERREI AM FUNDS NEW NORLD A 50.00 2.50 0.00 2.50 0.00 2.50 06/15/2017 MUSSEY, LORI AM FUNDS NEW NORLD A 50.00 2.50 0.00 2.50 06/23/2017 EAGLESON, JOSEPH AM FUNDS NEW NORLD A 50.00 2.44 0.00 2.44 0.00 2.44 0.00 6/05/2017 CALESTON, ASHLEY AM FUNDS SMALLED MORLD A 50.00 1.275 0.00 12.75 0.00 12.75 0.00 16/23/2017 MOSURE, MONICA AM FUNDS SMALLED MORLD A 50.00 1.28 0.00 1.38 0.00 1.38 0.00 1.38 0.00 1.38 0.00 16/23/2017 MOSURE, MONICA AM FUNDS MASHINGTON MUTUAL INVESTOR 50.00 1.38 0.00 1.38 0.00 1.38 0.00 1.38 0.00 1.38 0.00 1.38 0.00 1.38 0.00 1.38 0.00 1.38 0.00 1.38 0.00 1.38 0.00 1.38 0.00 1.38 0.00 1.00 0.00 1.10 0.00			BROKER TRANS.	ACTIONS					
06/15/2017 ROSURE, MORICA AM FUNDS NEW NORLD A 50.00 1.38 0.00 1.38 0.00 6/15/2017 ROSURE, SHERRI AM FUNDS NEW NORLD A 50.00 2.13 0.00 2.13 0.00 2.13 0.00 2.13 0.00 2.13 0.00 2.13 0.00 2.13 0.00 2.13 0.00 2.13 0.00 0.12.13 0.00 0.00 0.12.13 0.00 0.00 0.12.13 0.00 0.00 0.12.13 0.00 0.00 0.12.13 0.00 0.00 0.12.13 0.00 0.00 0.12.13 0.00 0.00 0.12.13 0.00 0.00 0.12.13 0.00 0.00 0.12.13 0.00 0.00 0.12.13 0.00 0.00 0.12.13 0.00 0.00 0.12.13 0.00 0.00 0.12.13 0.00 0.00 0.12.13 0.00 0.00 0.12.13 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	PRODUCT CA	TEGORY: MUTUAL FUNDS							
06/15/2017 ROEMER, SHERRI AM FUNDS NEW WORLD A 50.00 2.50 0.00 2.50 0.00 2.50 06/16/2017 MUSSEY, LORI AM FUNDS NEW WORLD A 50.00 2.50 0.00 2.50 0.00 2.50 06/23/2017 EAGLESON, JOSEPH AM FUNDS NEW WORLD A 300.00 12.75 0.00	06/23/2017	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	65.00	2.44	0.00	2.44	90.0	2.20
06/16/2017 MUSSEY, LORI AM FUNDS NEW WORLD A 50.00 2.50 0.00 2.50 0.00 06/33/2017 CALGRISON, JOSEPH AM FUNDS NEW WORLD A 55.00 2.44 0.00 2.44 50 06/35/2017 GALENTINE, ASHLEY AM FUNDS SMALLCAP WORLD A 300.00 12.75 0.00 12.75 0.00 12.75 0.00 06/15/2017 MOSURE, MONICA AM FUNDS WASHINGTON MUTUAL INVESTOR 50.00 1.38 0.0	06/15/2017	MOSURE, MONICA	AM FUNDS NEW WORLD A	50.00	1.38	0.00	1.38	90.0	1.24
06/03/2017 GAGLENTINE, ASHLEY AM FUNDS NEW WORLD A 65.00 2.44 0.00 12.75 0.06 12.75 0.06 06/05/2017 GALENTINE, ASHLEY AM FUNDS SWALLCAP WORLD A 300.00 12.75 0.00 12.75 0.00 12.75 0.06 06/15/2017 MOSURE, MONICA AM FUNDS WASHINGTON MUTUAL INVESTOR 68.91 1.10 0.00 1.10 0.00 06/23/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 68.91 1.10 0.00 1.10 0.00 06/14/2017 FEREZE, CORTNEY ASCENSUS COLLEGE SAV 194524518 15.00 0.71 0.00 0.71 0.00 0.71 0.00 06/14/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 0.00 0.83 0.00 06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 0.00 0.83 0.06 06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 0.00 0.83 0.00 06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 0.00 0.83 0.00 06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 30.00 1.43 0.00 0.83 0.00 06/14/2017 FREEZE, CORTNEY ASCENSUS INA AMERICAN FUNDS EUROPAC 15.00 0.71 0.70 0.70	06/15/2017	ROEMER, SHERRI	AM FUNDS NEW WORLD A	50.00	2.13	0.00	2.13	90.0	1.92
06/05/2017 GALENTINE, ASHLEY AM FUNDS SMALLCAP WORLD A 300.00 12.75 0.00 12.75 90 06/15/2017 MOSURE, MONICA AM FUNDS WASHINGTON MUTUAL INVESTOR 50.00 1.38 0.00 1.38 0.00 1.38 0.00 06/23/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 68.91 1.10 0.00 1.10 0.00 06/23/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 68.90 1.10 0.00 0.00 1.10 0.00 06/14/2017 FREEZE, CORTNEY ASCENSUS COLLEGE SAV 194524518 15.00 0.71 0.00 0.71 0.00 0.71 06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 15.00 0.83 0.00 0.83 0.00 0.83 0.00 0.00 0	06/16/2017	MUSSEY, LORI	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
06/15/2017 MOSURE, MONICA AM FUNDS WASHINGTON MUTUAL INVESTOR 50.00 1.38 0.00 1.38 0.06/23/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 68.91 1.10 0.00 1.10 0.00 0.00 0.00 0.00 0	06/23/2017	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	65.00	2.44	0.00	2.44	90.0	2.20
06/23/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 68.91 1.10 0.00 1.10 90 06/23/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 68.90 1.10 0.00 1.10 90 06/14/2017 FREEZE, CORTINEY ASCENSUS COLLEGE SAV 194524518 15.00 0.71 0.00 0.71 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 90 06/23/2017 GEVERINE, ANN ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 90 06/23/2017 GEVERINE, ANN ASCENSUS COLLEGE SAV 194524518 30.00 1.43 0.00 1.43 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 30.00 1.43 0.00 1.43 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 15.00 0.71 0.00 0.71 0.00 0.73 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 90 06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 90 06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.00 0.00 0.00 0.00 06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA CORE BOND INDEX PORTFO 3.000.00 0.00 0.00 0.00 0.00 0.00 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA FUNDS EUROPAC 20.00 0.00 0.00 0.00 0.00 0.00 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA FUNDS EUROPAC 20.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	06/05/2017	GALENTINE, ASHLEY	AM FUNDS SMALLCAP WORLD A	300.00	12.75	0.00	12.75	90.0	11.48
06/23/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 68.90 1.10 0.00 1.10 90 06/14/2017 FREEZE, CORTNEY ASCENSUS COLLEGE SAV 194524518 15.00 0.71 0.00 0.71 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 90 06/19/2017 GRUENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 90 06/23/2017 GEVERINK, ANN ASCENSUS COLLEGE SAV 194524518 30.00 1.43 0.00 1.43 0.00 1.43 90 06/19/2017 GEVERINK, ANN ASCENSUS COLLEGE SAV 194524518 30.00 0.71 0.00 0.71 0.00 0.71 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 15.00 0.71 0.00 0.71 0.00 0.71 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 0.00 0.83 00 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 0.00 0.83 00 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 0.00 0.83 00 06/23/2017 GEVERINK, ANN ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 0.00 0.60 0.60 0.60 0.60 0.60 0.60 0.6	06/15/2017	MOSURE, MONICA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.38	0.00	1.38	90.0	1.24
06/14/2017         FREEZ, CRINEY         ASCENSUS COLLEGE SAV 194524518         15.00         0.71         0.00         0.71         9.00           06/19/2017         GALENTINE, STEPHANIE         ASCENSUS COLLEGE SAV 194524518         20.80         0.83         0.00         0.83         9.00           06/19/2017         GALENTINE, STEPHANIE         ASCENSUS COLLEGE SAV 194524518         20.80         0.83         0.00         0.83         9.00           06/19/2017         GEVERINK, ANN         ASCENSUS COLLEGE SAV 194524518         20.80         0.83         0.00         1.43         9.00           06/19/2017         GEVERINK, ANN         ASCENSUS INA AMERICAN FUNDS EUROPAC         15.00         0.71         0.00         0.71         9.00           06/19/2017         GALENTINE, STEPHANIE         ASCENSUS INA AMERICAN FUNDS EUROPAC         20.80         0.83         0.00         0.83         9.00           06/19/2017         GALENTINE, STEPHANIE         ASCENSUS INA AMERICAN FUNDS EUROPAC         20.80         0.83         0.00         0.83         9.00           06/23/2017         GEVERINK, ANN         ASCENSUS INA AMERICAN FUNDS EUROPAC         20.80         0.83         0.00         0.83         9.00           06/23/2017         VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA AMERICAN	06/23/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	68.91	1.10	0.00	1.10	90.0	0.99
06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 90 06/23/2017 GEVERINK, ANN ASCENSUS COLLEGE SAV 194524518 30.00 1.43 0.00 1.43 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 30.00 1.43 0.00 0.71 0.00 0.71 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 90 06/23/2017 GEVERINK, ANN ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 90 06/23/2017 GEVERINK, ANN ASCENSUS INA AMERICAN FUNDS EUROPAC 30.00 0.83 0.00 0.83 90 06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA AMERICAN FUNDS EUROPAC 30.00 0.50 0.00 0.00 0.00 0.00 0.00 06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA COLE BOND INDEX PORTFO 3,000.00 30.00 0.00 0.00 0.50 0.00 06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA PINCO TOTAL RETURN POR 15.00 0.49 0.00 0.00 0.00 0.00 0.49 90 0.00 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA PINCO TOTAL RETURN POR 13.87 0.45 0.00 0.00 0.00 0.49 90	06/23/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	68.90	1.10	0.00	1.10	90.0	0.99
06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 90 06/23/2017 GEVERINE, ANN ASCENSUS COLLEGE SAV 194524518 30.00 1.43 0.00 1.43 90 06/14/2017 FREEZE, CORTNEY ASCENSUS INA AMERICAN FUNDS EUROPAC 15.00 0.71 0.00 0.71 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 90 06/23/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 90 06/23/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 90 06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA AMERICAN FUNDS EUROPAC 30.00 0.83 0.00 0.83 90 06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA BLACKROCK LG CAP VALUE 2.000.00 20.00 0.00 0.00 0.00 0.00 06/14/2017 MULLINS, CYNTHIA ASCENSUS INA COLLEGE PORTFOLIO CL C 50.00 0.50 0.00 0.00 0.50 0.00 0.64 90 06/14/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA PINCO TOTAL RETURN POR 15.00 0.49 0.00 0.00 0.00 0.00 0.00 0.00 0	06/14/2017	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	15.00	0.71	0.00	0.71	90.0	0.64
06/19/2017 GALENTINE, STEPHANIE ASCENSUS COLLEGE SAV 194524518 20.80 0.83 0.00 0.83 0.00 06/23/2017 GEVERINK, ANN ASCENSUS COLLEGE SAV 194524518 30.00 1.43 0.00 1.43 0.00 06/14/2017 FREEZE, CORTNEY ASCENSUS INA AMERICAN FUNDS EUROPAC 15.00 0.71 0.00 0.71 0.00 0.83 0.00 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 0.00 0.83 0.00 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 0.00 0.83 0.00 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 0.00 0.83 0.00 06/23/2017 GEVERINK, ANN ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 0.00 0.83 0.00 06/23/2017 GEVERINK, ANN ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.83 0.00 0.83 0.00 06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.00 0.00 0.00 0.00 0.00	06/19/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
06/23/2017         GEVERINK, ANN         ASCENSUS COLLEGE SAV 194524518         30.00         1.43         0.00         1.43         90           06/14/2017         FREEZE, CORTNEY         ASCENSUS INA AMERICAN FUNDS EUROPAC         15.00         0.71         0.00         0.71         90           06/19/2017         GALENTINE, STEPHANIE         ASCENSUS INA AMERICAN FUNDS EUROPAC         20.80         0.83         0.00         0.83         90           06/19/2017         GALENTINE, STEPHANIE         ASCENSUS INA AMERICAN FUNDS EUROPAC         20.80         0.83         0.00         0.83         90           06/19/2017         GALENTINE, STEPHANIE         ASCENSUS INA AMERICAN FUNDS EUROPAC         20.80         0.83         0.00         0.83         90           06/23/2017         GEVERINK, ANN         ASCENSUS INA AMERICAN FUNDS EUROPAC         20.80         0.83         0.00         0.83         90           06/23/2017         VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA AMERICAN FUNDS EUROPAC         30.00         1.43         0.00         1.43         90           06/14/2017         WILLINS, CYNTHIA         ASCENSUS INA BLACKROCK IG CAP VALUE         2,000.00         20.00         0.00         0.00         0.50         0.00         0.50         0.00         0.50         0.00 <td>06/19/2017</td> <td>GALENTINE, STEPHANIE</td> <td>ASCENSUS COLLEGE SAV 194524518</td> <td>20.80</td> <td>0.83</td> <td>0.00</td> <td>0.83</td> <td>90.0</td> <td>0.75</td>	06/19/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
06/14/2017         FREEZE, CORTNEY         ASCENSUS INA AMERICAN FUNDS EUROPAC         15.00         0.71         0.00         0.71         90           06/19/2017         GALENTINE, STEPHANIE         ASCENSUS INA AMERICAN FUNDS EUROPAC         20.80         0.83         0.00         0.83         90           06/19/2017         GALENTINE, STEPHANIE         ASCENSUS INA AMERICAN FUNDS EUROPAC         20.80         0.83         0.00         0.83         90           06/23/2017         GEVERINK, ANN         ASCENSUS INA AMERICAN FUNDS EUROPAC         30.00         1.43         0.00         1.43         90           06/23/2017         VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA BLACKROCK LG CAP VALUE         2,000.00         20.00         0.00         20.00         90           06/23/2017         VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA CORE BOND INDEX PORTFO         3,000.00         30.00         0.00         30.00	06/19/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA AMERICAN FUNDS EUROPAC 20.80 0.83 0.00 0.883 0.00 0.00	06/23/2017	GEVERINK, ANN	ASCENSUS COLLEGE SAV 194524518	30.00	1.43	0.00	1.43	90.0	1.29
06/19/2017         GALENTINE, STEPHANIE         ASCENSUS INA AMERICAN FUNDS EUROPAC         20.80         0.83         0.00         0.83         0.00           06/19/2017         GALENTINE, STEPHANIE         ASCENSUS INA AMERICAN FUNDS EUROPAC         20.80         0.83         0.00         0.83         9.00           06/23/2017         GEVERINK, ANN         ASCENSUS INA AMERICAN FUNDS EUROPAC         30.00         1.43         0.00         1.43         9.00           06/23/2017         VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA BLACKROCK LG CAP VALUE         2,000.00         20.00         0.00         0.00         20.00         0.00         0.00         20.00         0.00         0.00         0.50         0.00         0.50         0.00         0.50         0.00         0.50         0.00         0.50         0.00         0.50         0.00         0.50         0.00         0.50         0.00         0.50         0.00         0.50         0.00         0.00         0.50         0.00         0.00         0.50         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00 <td< td=""><td>06/14/2017</td><td>FREEZE, CORTNEY</td><td>ASCENSUS INA AMERICAN FUNDS EUROPAC</td><td>15.00</td><td>0.71</td><td>0.00</td><td>0.71</td><td>90.0</td><td>0.64</td></td<>	06/14/2017	FREEZE, CORTNEY	ASCENSUS INA AMERICAN FUNDS EUROPAC	15.00	0.71	0.00	0.71	90.0	0.64
06/19/2017         GALENTINE, STEPHANIE         ASCENSUS INA AMERICAN FUNDS EUROPAC         20.80         0.83         0.00         0.83         0.00           06/23/2017         GEVERINK, ANN         ASCENSUS INA AMERICAN FUNDS EUROPAC         30.00         1.43         0.00         1.43         9.00           06/23/2017         VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA BLACKROCK LG CAP VALUE         2,000.00         20.00         0.00         20.00         0.00         20.00         0.00         20.00         0.00         20.00         0.00         20.00         0.00         20.00         0.00         20.00         0.00         20.00         0.00         20.00         0.00         20.00         0.00         0.00         20.00         <	06/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
06/23/2017         GEVERINK, ANN         ASCENSUS INA AMERICAN FUNDS EUROPAC         30.00         1.43         0.00         1.43         0.00           06/23/2017         VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA BLACKROCK LG CAP VALUE         2,000.00         20.00         0.00         20.00         0.00           06/14/2017         MULLINS, CYNTHIA         ASCENSUS INA COLLEGE PORTFOLIO CL C         50.00         0.50         0.00         0.50         0.00           06/23/2017         VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA CORE BOND INDEX PORTFO         3,000.00         30.00         0.00         30.00         0.00         30.00         0.00         30.00         0.00         30.00         0.00         30.00         0.00         30.00         0.00         0.00         30.00         0.00         0.00         30.00         0.00	06/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA BLACKROCK LG CAP VALUE 2,000.00 20.00 0.00 20.00 0.00 0.00 0.0	06/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
06/14/2017 MULLINS, CYNTHIA ASCENSUS INA COLLEGE PORTFOLIO CL C 50.00 0.50 0.00 0.50 90 0.6/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA CORE BOND INDEX PORTFO 3,000.00 30.00 0.00 30.00 90 0.6/14/2017 FREEZE, CORTNEY ASCENSUS INA PIMCO TOTAL RETURN POR 15.00 0.49 0.00 0.49 90 0.6/19/2017 GALENTINE, STEPHANIE ASCENSUS INA PIMCO TOTAL RETURN POR 13.87 0.45 0.00 0.45 90 0.6/19/2017 GEVERINK, ANN ASCENSUS INA PIMCO TOTAL RETURN POR 27.73 0.90 0.00 0.90 90 0.6/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA PIMCO TOTAL RETURN POR 40.00 1.30 0.00 1.30 90 0.6/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA PIMCO TOTAL RETURN POR 5,000.00 50.00 0.00 50.00 90 0.6/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA SHORT ERM TREASURY IND 5,000.00 50.00 0.00 50.00 90 0.6/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 41.60 1.66 0.00 1.66 90 0.6/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 48.53 1.94 0.00 1.94 90 0.6/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 48.53 1.94 0.00 1.94 90 0.6/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 48.53 1.94 0.00 1.99 0.00 0.00 0.00 0.00 0.00 0.00	06/23/2017	GEVERINK, ANN	ASCENSUS INA AMERICAN FUNDS EUROPAC	30.00	1.43	0.00	1.43	90.0	1.29
06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA CORE BOND INDEX PORTFO 3,000.00 30.00 0.00 30.00 0.00 30.00 0.	06/23/2017	VAN CLEAVE BENEFICIARY, ETHAN	ASCENSUS INA BLACKROCK LG CAP VALUE	2,000.00	20.00	0.00	20.00	90.0	18.00
06/14/2017 FREEZE, CORTNEY ASCENSUS INA PIMCO TOTAL RETURN POR 15.00 0.49 0.00 0.49 90 0.6/19/2017 GALENTINE, STEPHANIE ASCENSUS INA PIMCO TOTAL RETURN POR 13.87 0.45 0.00 0.45 90 0.6/19/2017 GALENTINE, STEPHANIE ASCENSUS INA PIMCO TOTAL RETURN POR 27.73 0.90 0.00 0.00 0.90 90 0.6/23/2017 GEVERINK, ANN ASCENSUS INA PIMCO TOTAL RETURN POR 40.00 1.30 0.00 1.30 90 0.6/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA PIMCO TOTAL RETURN POR 5,000.00 50.00 0.00 50.00 90 0.6/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA SHORT ERM TREASURY IND 5,000.00 50.00 0.00 50.00 90 0.6/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 41.60 1.66 0.00 1.66 90 0.6/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 48.53 1.94 0.00 1.94 90 0.6/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 48.53 1.94 0.00 1.94 90 0.6/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 48.53 1.94 0.00 1.94 90 0.6/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 48.53 1.94 0.00 1.94 90 0.6/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 48.53 1.94 0.00 1.94 90 0.6/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 0.00	06/14/2017	MULLINS, CYNTHIA	ASCENSUS INA COLLEGE PORTFOLIO CL C	50.00	0.50	0.00	0.50	90.0	0.45
06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA PIMCO TOTAL RETURN POR 13.87 0.45 0.00 0.45 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA PIMCO TOTAL RETURN POR 27.73 0.90 0.00 0.90 90 06/23/2017 GEVERINK, ANN ASCENSUS INA PIMCO TOTAL RETURN POR 40.00 1.30 0.00 1.30 90 06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA PIMCO TOTAL RETURN POR 5,000.00 50.00 0.00 50.00 90 06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA SHORT ERM TREASURY IND 5,000.00 50.00 0.00 50.00 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 41.60 1.66 0.00 1.66 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 48.53 1.94 0.00 1.94 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 0.00 0.00	06/23/2017	VAN CLEAVE BENEFICIARY, ETHAN	ASCENSUS INA CORE BOND INDEX PORTFO	3,000.00	30.00	0.00	30.00	90.0	27.00
06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA PIMCO TOTAL RETURN POR 27.73 0.90 0.00 0.90 90 00 00 00 00 00 00 00 00 00 00 00 0	06/14/2017	FREEZE, CORTNEY	ASCENSUS INA PIMCO TOTAL RETURN POR	15.00	0.49	0.00	0.49	90.0	0.44
06/23/2017 GEVERINK, ANN ASCENSUS INA PIMCO TOTAL RETURN POR 40.00 1.30 0.00 1.30 90 06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA PIMCO TOTAL RETURN POR 5,000.00 50.00 0.00 50.00 90 06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA SHORT ERM TREASURY IND 5,000.00 50.00 0.00 50.00 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 41.60 1.66 0.00 1.66 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 48.53 1.94 0.00 1.94 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 0	06/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA PIMCO TOTAL RETURN POR	13.87	0.45	0.00	0.45	90.0	0.41
06/23/2017       VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA PIMCO TOTAL RETURN POR       5,000.00       50.00       0.00       50.00       90         06/23/2017       VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA SHORT ERM TREASURY IND       5,000.00       50.00       0.00       50.00       90         06/19/2017       GALENTINE, STEPHANIE       ASCENSUS INA T ROWE PRICE LG CAP GR       41.60       1.66       0.00       1.94       90         06/19/2017       GALENTINE, STEPHANIE       ASCENSUS INA T ROWE PRICE LG CAP GR       34.67       1.39       0.00       1.39       90	06/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA PIMCO TOTAL RETURN POR	27.73	0.90	0.00	0.90	90.0	0.81
06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA SHORT ERM TREASURY IND 5,000.00 50.00 0.00 50.00 90.00 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 41.60 1.66 0.00 1.66 90.06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 48.53 1.94 0.00 1.94 90.06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90.00	06/23/2017	GEVERINK, ANN	ASCENSUS INA PIMCO TOTAL RETURN POR	40.00	1.30	0.00	1.30	90.0	1.17
06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 41.60 1.66 0.00 1.66 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 48.53 1.94 0.00 1.94 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 90 0.00 1.39 90 06/19/2017 GALENTIN	06/23/2017	VAN CLEAVE BENEFICIARY, ETHAN	ASCENSUS INA PIMCO TOTAL RETURN POR	5,000.00	50.00	0.00	50.00	90.0	45.00
06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 48.53 1.94 0.00 1.94 90 06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90	06/23/2017	VAN CLEAVE BENEFICIARY, ETHAN	ASCENSUS INA SHORT ERM TREASURY IND	5,000.00	50.00	0.00	50.00	90.0	45.00
06/19/2017 GALENTINE, STEPHANIE ASCENSUS INA T ROWE PRICE LG CAP GR 34.67 1.39 0.00 1.39 90	06/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	41.60	1.66	0.00	1.66	90.0	1.49
	06/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	48.53	1.94	0.00	1.94	90.0	1.75
06/23/2017 GEVERINK, ANN ASCENSUS INA T ROWE PRICE LG CAP GR 50.00 2.38 0.00 2.38 90	06/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	34.67	1.39	0.00	1.39	90.0	1.25
	06/23/2017	GEVERINK, ANN	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	90.0	2.14
06/23/2017 VAN CLEAVE BENEFICIARY, ETHAN ASCENSUS INA TIPS INDEX PORTFOLIO C 5,000.00 50.00 0.00 50.00 90	06/23/2017	VAN CLEAVE BENEFICIARY, ETHAN	ASCENSUS INA TIPS INDEX PORTFOLIO C	5,000.00	50.00	0.00	50.00	90.0	45.00

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS							
06/19/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	41.60	1.66	0.00	1.66	90.0	1.49
06/19/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	48.53	1.94	0.00	1.94	90.0	1.75
06/19/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	34.67	1.39	0.00	1.39	90.0	1.25
06/23/2017	GEVERINK, ANN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
06/14/2017	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	55.00	2.61	0.00	2.61	90.0	2.35
06/14/2017	SCHUCH, AUTUMN	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	1.07
06/14/2017	SCHUCH, AUTUMN	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	1.07
05/31/2017	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	0.26	0.00	0.26	90.0	0.23
05/31/2017	GILROY, MATTHEW	BLK INTL OPP A	0.00	0.52	0.00	0.52	90.0	0.47
06/15/2017	SCHUCH, AUTUMN	OPPENHEIMER CAPITAL APPRECIATION A	100.00	4.75	0.00	4.75	90.0	4.28
06/15/2017	SEELY, CHARLES	OPPENHEIMER GLOBAL STRATEGIC INC C	50.00	0.50	0.00	0.50	90.0	0.45
06/15/2017	GALENTINE, JUSTIN	OPPENHEIMER PORTFOLIO SERIES EQ INV	200.00	9.50	0.00	9.50	90.0	8.55
06/15/2017	URICK, DANIEL	TRANSAMERICA CAPITAL GROWTH A	100.00	4.00	0.00	4.00	90.0	3.60
06/05/2017	URICK, DANIEL	TRANSAMERICA DIVERSIFIED EQUITY A	100.00	4.00	0.00	4.00	90.0	3.60
06/15/2017	DELANEY, DIANA	VANGUARD 500 INDEX FUND INVESTOR SH	150.00	0.00	12.00	-12.00	90.0	-10.80
06/15/2017	DELANEY, MATTHEW	VANGUARD 500 INDEX FUND INVESTOR SH	25.00	0.00	12.00	-12.00	90.0	-10.80
		* MUTUAL FUNDS SUBTOTAL *	\$28,880.08	\$460.16	\$24.00	\$436.16		\$392.63
PRODUCT CA	TEGORY: BONDS							
06/20/2017	CACCAMO TRUST	FHLMC MULTICLASS MTG PARTN CTFS GTD	-3,202.50	28.00	27.00	1.00	90.0	0.90
		* BONDS SUBTOTAL *	\$-3,202.50	\$28.00	\$27.00	\$1.00		\$0.90
PRODUCT CA	TEGORY: VARIABLE ANNUITIES							
06/15/2017	KAUFMAN, KATRINA	NWL BOA NG FP VUL	50.00	1.00	0.00	1.00	90.0	0.90
		* VARIABLE ANNUITIES SUBTOTAL *	\$50.00	\$1.00	\$0.00	\$1.00		\$0.90
		*** BROKER TRANSACTIONS TOTAL ***	\$25,727.58	\$622.75	\$51.00	\$571.75		\$514.67

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		SPLIT TRANSA	CTIONS					
BROKER: L	L31-KEITH/JOE/FCCU LAYMA	N/WIEGAND/ #209						
06/15/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
06/23/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	2.18	0.00	2.18	90.0	1.96
06/19/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	6.56	0.00	6.56	90.0	5.90
06/15/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.50	0.00	3.50	90.0	3.15
06/19/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	6.56	0.00	6.56	90.0	5.90
06/20/2017	LINN, ROSANNA	ASCENSUS 529-2034 ENROLLMENT PF CL	25.00	0.41	0.00	0.41	90.0	0.37
06/14/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	50.00	0.84	0.00	0.84	90.0	0.76
06/14/2017	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	0.84	0.00	0.84	90.0	0.76
06/16/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
06/16/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
06/14/2017	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	50.00	0.57	0.00	0.57	90.0	0.51
06/23/2017	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	50.00	0.57	0.00	0.57	90.0	0.51
06/16/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12
06/16/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	90.0	0.09
06/20/2017	LINN, ROSANNA	ASCENSUS INA TIPS PORTFOLIO CL A	25.00	0.29	0.00	0.29	90.0	0.26
06/16/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
06/16/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
06/16/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
06/16/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
06/15/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.59	0.00	15.59	90.0	14.03
	* L131-K	EITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *	\$1,655.00	\$42.10	\$0.00	\$42.10		\$37.89
		*** SPLIT TRANSACTIONS TOTAL ***	\$1,655.00	\$42.10	\$0.00	\$42.10		\$37.89
		OVERRIDE TRANS	SACTIONS					
	ILLIAM LAYMAN #207	N. PUNDS AMEDICAN MUNICIPAL	200.00	15.00	0.00	15.00	45.0	6 55
06/15/2017	RABER, AMY	AM FUNDS AMERICAN MUTUAL A	300.00	15.00	0.00	15.00	45.0	6.75
		* WILLIAM LAYMAN SUBTOTAL *	\$300.00	\$15.00	\$0.00	\$15.00		\$6.75
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$300.00	\$15.00	\$0.00	\$15.00		\$6.75

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

## BROKER COMMISSION TOTALS \$514.67 Broker Transactions \$37.89 Split Transactions \$6.75 Override Transactions Adjustments \$0.00 Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$559.31 Check Amount \$30,713.19 Year-to-date Gross \$26,879.62 Year-to-date Earnings