BROKER



COMMISSION STATEMENT

August 31, 2017

INVESTMENT

BRUCE BAXTER

10385 Wellington Blvd.

Powell, OH 43065

BROKER #: B121 Terminated 05/30/2015

NET

CLEARING

BRANCH: BRUCE BAXTER

GROSS

DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
DAIE	CHIENI	TWARDIMEMI	AMOUNT	COMMISSION	CHARGE	COMMITSSION	KAIE	COMMISSION
		BROKER TRANS	ACTIONS					
		DROKER TRIME	<u> </u>					
PRODUCT	CATEGORY: MUTUAL FUNDS							
/ /	GOLSCH, LORI	PROFUNDS RISING RATES SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS RISING RATES SVC	0.00	0.02	0.00	0.02		0.00
/ /	DENNIS, MARK	PROFUNDS RISING RATES SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS RISING RATES SVC	0.00	0.02	0.00	0.02	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS RISING RATES SVC	0.00	0.07	0.00	0.07	0.0	0.00
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.00
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.15	0.00	0.15	0.0	0.00
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.08	0.00	0.08	0.0	0.00
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.15	0.00	0.15	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.45	0.00	0.45	0.0	0.00
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	7.12	0.00	7.12	0.0	0.00
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	21.35	0.00	21.35	0.0	0.00
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.02	0.00	0.02	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.07	0.00	0.07	0.0	0.00
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.04	0.00	0.04	0.0	0.00
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.07	0.00	0.07	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.22	0.00	0.22	0.0	0.00
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.00
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03		0.00
, ,			2.00	1.03	2.00	3.00		2.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	SACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS							
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.14	0.00	0.14	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	BAXTER, MEGAN	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS US GOV PLUS SVC	0.00	0.02	0.00	0.02	0.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$0.00	\$30.34	\$0.00	\$30.34		\$0.00
PRODUCT CA	TEGORY: VARIABLE UNIVERS	SAL LIFE						
08/03/2017	BAXTER, VARIOUS	TRANSAMERICA LIFE	0.00	679.72	0.00	679.72	0.0	0.00
		* VARIABLE UNIVERSAL LIFE SUBTOTAL *	\$0.00	\$679.72	\$0.00	\$679.72		\$0.00
PRODUCT CA	TEGORY: VARIABLE ANNUITY	Y 12B1 TRAILS						
08/01/2017	RIEGEL, DAVID	ING ACCESS	0.00	0.02	0.00	0.02	0.0	0.00
08/15/2017	LAMBERT, JOHN DOUGLAS	ING ACCESS	0.00	106.57	0.00	106.57	0.0	0.00
	*	VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$106.59	\$0.00	\$106.59		\$0.00
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$816.65	\$0.00	\$816.65		\$0.00

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker	Transactions	\$0.00
Split	Transactions	\$0.00
Override	Transactions	\$0.00
	Adjustments	\$0.00
	Payroll Draw	\$0.00
	Base Salary	\$0.00
Prior P	eriod Balance	\$0.00
FIN	RA Assessment	\$0.00
SI	PC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$5,152.34
Year-to-date Earnings	\$0.00