

COMMISSION STATEMENT

March 31, 2018

MARCO CASALE

151 BENNINGTON ROAD

FREEHOLD, NJ 07728

BROKER #: 48

BRANCH: HOME OFFICE

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
03/20/2018	QGW037061	ALONSO, ALICE		AMERICAN BALANCED FUND-A	S	-7,362.61	70.06	14.00	56.06 80.0 42.05
03/20/2018	QGW037061	ALONSO, ALICE		NEW PERSPECTIVE FUND-A	S	-7,913.71	62.27	14.00	48.27 80.0 35.82
				* MUTUAL FUNDS SUBTOTAL *		\$-15,276.32	\$132.33	\$28.00	\$104.33 \$77.87
PRODUCT CATEGORY: STOCKS									
02/27/2018	QGW033466	CUSTODIAN, EMILY		VIVEVE MED INC COM NEW	B	4,370.00	125.00	6.00	119.00 80.0 94.00
03/09/2018	QGW033599	PILLERA, CHARLES		WHITING PETROLEUM CORPORATION COMMO	S	-14,750.00	229.46	6.00	223.46 80.0 177.57
03/09/2018	QGW033599	PILLERA, CHARLES		WHITING PETROLEUM CORPORATION COMMO	S	14,750.00	-229.46	-6.00	-223.46 80.0 -177.57 C
03/09/2018	QGW033599	PILLERA, CHARLES		WHITING PETROLEUM CORPORATION COMMO	S	-14,750.00	100.00	6.00	94.00 80.0 74.00
03/13/2018	QGW033599	PILLERA, CHARLES		APPLIED OPTOELECTRONICS INC COM	B	21,588.00	225.00	6.00	219.00 80.0 174.00
02/28/2018	QGW033631	RAMU, JULIO		SALESFORCE.COM INC	S	-19,952.92	50.00	6.00	44.00 80.0 34.00
03/02/2018	QGW033631	RAMU, JULIO		VMWARE INC CL A	B	23,441.00	225.00	6.00	219.00 80.0 174.00
03/07/2018	QGW033631	RAMU, JULIO		VMWARE INC CL A	S	-24,450.00	100.00	6.00	94.00 80.0 74.00
03/09/2018	QGW033631	RAMU, JULIO		QUALCOMM INC	B	18,708.00	150.00	6.00	144.00 80.0 114.00
03/16/2018	QGW033649	ARLENE M FRANCIS,		SQUARE INC CLASS A COM	S	-24,000.00	100.00	6.00	94.00 80.0 74.00
03/19/2018	QGW033649	ARLENE M FRANCIS,		TIM PARTICIPACOE S A SPONSORED ADR	S	-13,218.00	100.00	6.00	94.00 80.0 74.00
03/19/2018	QGW033649	ARLENE M FRANCIS,		VIPSHOP HLDGS LTD SPONS ADR ISIN#US	B	18,216.90	150.00	6.00	144.00 80.0 114.00
03/21/2018	QGW033649	ARLENE M FRANCIS,		ALIBABA GROUP HOLDING LTD SPONSORED	B	19,744.90	175.00	6.00	169.00 80.0 134.00
02/27/2018	QGW033656	MOONEY, MARY		VIVEVE MED INC COM NEW	B	2,177.90	80.00	6.00	74.00 80.0 58.00
03/12/2018	QGW033656	MOONEY, MARY		VIPSHOP HLDGS LTD SPONS ADR ISIN#US	S	-9,171.15	50.00	6.00	44.00 80.0 34.00
03/15/2018	QGW033656	MOONEY, MARY		ATHENEX INC COM	S	-10,084.08	50.00	6.00	44.00 80.0 34.00
03/16/2018	QGW033656	MOONEY, MARY		FIREEYE INC COM	B	9,345.00	165.00	6.00	159.00 80.0 126.00
03/20/2018	QGW033656	MOONEY, MARY		VIPSHOP HLDGS LTD SPONS ADR ISIN#US	B	9,000.00	100.00	6.00	94.00 80.0 74.00
02/27/2018	QGW033763	LYONS, SALLY		VIVEVE MED INC COM NEW	B	4,387.40	125.00	6.00	119.00 80.0 94.00
02/27/2018	QGW033805	LYONS, SALLY		VIVEVE MED INC COM NEW	B	4,384.20	125.00	6.00	119.00 80.0 94.00
03/07/2018	QGW033805	LYONS, SALLY		VIPSHOP HLDGS LTD SPONS ADR ISIN#US	B	12,008.50	125.00	6.00	119.00 80.0 94.00
03/09/2018	QGW033805	LYONS, SALLY		WHITING PETROLEUM CORPORATION COMMO	S	-17,700.00	269.89	6.00	263.89 80.0 209.91
03/09/2018	QGW033805	LYONS, SALLY		WHITING PETROLEUM CORPORATION COMMO	S	17,700.00	-269.89	-6.00	-263.89 80.0 -209.91 C
03/09/2018	QGW033805	LYONS, SALLY		WHITING PETROLEUM CORPORATION COMMO	S	-17,700.00	100.00	6.00	94.00 80.0 74.00
03/12/2018	QGW033805	LYONS, SALLY		VIPSHOP HLDGS LTD SPONS ADR ISIN#US	S	-12,811.61	65.00	6.00	59.00 80.0 46.00
03/13/2018	QGW033805	LYONS, SALLY		APPLIED OPTOELECTRONICS INC COM	B	21,588.00	225.00	6.00	219.00 80.0 174.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
03/13/2018	QGW033805 LYONS, SALLY	WHITING PETROLEUM CORPORATION COMMO S		-762.25	50.00	6.00	44.00	80.0	34.00
03/15/2018	QGW033805 LYONS, SALLY	ATHENEX INC COM	S	-16,744.70	50.00	6.00	44.00	80.0	34.00
03/16/2018	QGW033805 LYONS, SALLY	FIREEYE INC COM	B	9,345.00	165.00	6.00	159.00	80.0	126.00
03/20/2018	QGW033805 LYONS, SALLY	VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		10,800.00	125.00	6.00	119.00	80.0	94.00
03/07/2018	QGW033821 RUNYAN, RALPH	GAMCO GLOBAL GOLD NATURAL RESOURCES S		-4,340.25	50.00	6.00	44.00	80.0	34.00
02/28/2018	QGW034761 SYMCZAK, STEPHEN	SALESFORCE.COM INC	S	-23,502.02	50.00	6.00	44.00	80.0	34.00
03/05/2018	QGW034761 SYMCZAK, STEPHEN	ROKU INC CLASS A COMMON STOCK	B	19,449.95	175.00	6.00	169.00	80.0	134.00
02/27/2018	QGW034894 MODDERNO, PATRICIA	VIVEVE MED INC COM NEW	B	4,353.70	125.00	6.00	119.00	80.0	94.00
02/28/2018	QGW034894 MODDERNO, PATRICIA	SALESFORCE.COM INC	S	-23,518.02	75.00	6.00	69.00	80.0	54.00
03/09/2018	QGW034894 MODDERNO, PATRICIA	VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		8,675.00	150.00	6.00	144.00	80.0	114.00
03/13/2018	QGW034894 MODDERNO, PATRICIA	WHITING PETROLEUM CORPORATION COMMO S		-2,286.75	50.00	6.00	44.00	80.0	34.00
03/20/2018	QGW034894 MODDERNO, PATRICIA	VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		9,000.00	100.00	6.00	94.00	80.0	74.00
03/22/2018	QGW034894 MODDERNO, PATRICIA	VIPSHOP HLDGS LTD SPONS ADR ISIN#US S		-9,055.15	75.00	6.00	69.00	80.0	54.00
03/23/2018	QGW034894 MODDERNO, PATRICIA	DROPBOX INC CL A COM	B	11,216.00	150.00	6.00	144.00	80.0	114.00
03/26/2018	QGW034894 MODDERNO, PATRICIA	DROPBOX INC CL A COM	S	-12,040.00	100.00	6.00	94.00	80.0	74.00
02/27/2018	QGW035438 RIZZO, GIOVANNI	VIVEVE MED INC COM NEW	B	4,353.60	125.00	6.00	119.00	80.0	94.00
03/02/2018	QGW035438 RIZZO, GIOVANNI	SALESFORCE.COM INC	S	-24,000.00	100.00	6.00	94.00	80.0	74.00
03/05/2018	QGW035438 RIZZO, GIOVANNI	ROKU INC CLASS A COMMON STOCK	B	19,426.40	175.00	6.00	169.00	80.0	134.00
03/15/2018	QGW035438 RIZZO, GIOVANNI	ATHENEX INC COM	S	-16,731.40	50.00	6.00	44.00	80.0	34.00
03/16/2018	QGW035438 RIZZO, GIOVANNI	FIREEYE INC COM	B	9,345.00	165.00	6.00	159.00	80.0	126.00
03/16/2018	QGW035438 RIZZO, GIOVANNI	WHITING PETROLEUM CORPORATION COMMO S		-11,500.00	100.00	6.00	94.00	80.0	74.00
03/19/2018	QGW035438 RIZZO, GIOVANNI	CSRA INC COM	B	20,660.00	150.00	6.00	144.00	80.0	114.00
03/16/2018	QGW036493 ARLENE M FRANCIS,	WHITING PETROLEUM CORPORATION COMMO S		-7,200.00	100.00	6.00	94.00	80.0	74.00
03/19/2018	QGW036493 ARLENE M FRANCIS,	WHITING PETROLEUM CORPORATION COMMO S		-2,280.75	50.00	6.00	44.00	80.0	34.00
03/20/2018	QGW036493 ARLENE M FRANCIS,	VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		9,000.00	100.00	6.00	94.00	80.0	74.00
02/27/2018	QGW036519 SINGH, BHUPINDER	VIVEVE MED INC COM NEW	B	4,359.90	125.00	6.00	119.00	80.0	94.00
03/09/2018	QGW036519 SINGH, BHUPINDER	VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		8,675.00	150.00	6.00	144.00	80.0	114.00
03/15/2018	QGW036519 SINGH, BHUPINDER	ATHENEX INC COM	S	-16,737.00	50.00	6.00	44.00	80.0	34.00
03/16/2018	QGW036519 SINGH, BHUPINDER	FIREEYE INC COM	B	9,345.00	165.00	6.00	159.00	80.0	126.00
02/27/2018	QGW036584 TREIBER, NANCY	VIVEVE MED INC COM NEW	B	4,384.70	125.00	6.00	119.00	80.0	94.00
03/05/2018	QGW036584 TREIBER, NANCY	ROKU INC CLASS A COMMON STOCK	B	19,519.60	175.00	6.00	169.00	80.0	134.00
03/16/2018	QGW036584 TREIBER, NANCY	WHITING PETROLEUM CORPORATION COMMO S		-11,500.00	100.00	6.00	94.00	80.0	74.00
02/27/2018	QGW036592 TREIBER, NANCY	VIVEVE MED INC COM NEW	B	4,383.00	125.00	6.00	119.00	80.0	94.00
03/15/2018	QGW036592 TREIBER, NANCY	ATHENEX INC COM	S	-16,732.40	50.00	6.00	44.00	80.0	34.00
03/21/2018	QGW036592 TREIBER, NANCY	WHITING PETROLEUM CORPORATION COMMO S		-2,514.88	50.00	6.00	44.00	80.0	34.00
03/23/2018	QGW036592 TREIBER, NANCY	DROPBOX INC CL A COM	B	12,687.75	150.00	6.00	144.00	80.0	114.00
03/23/2018	QGW036592 TREIBER, NANCY	VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		8,498.25	150.00	6.00	144.00	80.0	114.00
03/26/2018	QGW036592 TREIBER, NANCY	DROPBOX INC CL A COM	S	-13,557.51	110.00	6.00	104.00	80.0	82.00
03/20/2018	QGW037061 ALONSO, ALICE	ICAHN ENTERPRISES LP DEPOSITARY UNI B		11,600.00	150.00	6.00	144.00	80.0	114.00
03/23/2018	QGW037061 ALONSO, ALICE	GAMCO GLOBAL GOLD NATURAL RESOURCES B		3,928.00	125.00	6.00	119.00	80.0	94.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/08/2018	QGW038697	KOHN, SUSAN	S	-12,600.00	75.00	6.00	69.00	80.0	54.00
03/12/2018	QGW038697	KOHN, SUSAN	B	12,000.00	125.00	6.00	119.00	80.0	94.00
03/08/2018	QGW038705	SAPIO, ROBERTA	S	-12,000.00	100.00	6.00	94.00	80.0	74.00
03/21/2018	QGW038705	SAPIO, ROBERTA	B	11,606.64	150.00	6.00	144.00	80.0	114.00
02/27/2018	QGW038796	LAPINSKI, CLAIRE	S	-9,225.00	50.00	6.00	44.00	80.0	34.00
03/09/2018	QGW038796	LAPINSKI, CLAIRE	B	8,675.00	150.00	6.00	144.00	80.0	114.00
03/21/2018	QGW038796	LAPINSKI, CLAIRE	S	-9,400.00	100.00	6.00	94.00	80.0	74.00
03/23/2018	QGW038796	LAPINSKI, CLAIRE	B	8,483.45	150.00	6.00	144.00	80.0	114.00
02/27/2018	QGW038812	KOHN, ROBERT	B	4,367.80	125.00	6.00	119.00	80.0	94.00
03/02/2018	QGW038812	KOHN, ROBERT	S	-24,000.00	100.00	6.00	94.00	80.0	74.00
03/05/2018	QGW038812	KOHN, ROBERT	B	19,424.95	175.00	6.00	169.00	80.0	134.00
03/07/2018	QGW038812	KOHN, ROBERT	B	17,150.00	150.00	6.00	144.00	80.0	114.00
03/12/2018	QGW038812	KOHN, ROBERT	S	-18,392.60	50.00	6.00	44.00	80.0	34.00
03/15/2018	QGW038812	KOHN, ROBERT	S	-16,720.40	50.00	6.00	44.00	80.0	34.00
03/16/2018	QGW038812	KOHN, ROBERT	B	9,345.00	165.00	6.00	159.00	80.0	126.00
03/19/2018	QGW038812	KOHN, ROBERT	B	17,507.00	150.00	6.00	144.00	80.0	114.00
02/27/2018	QGW039158	MASTERSON, PATRICIA	B	4,357.00	125.00	6.00	119.00	80.0	94.00
02/28/2018	QGW039158	MASTERSON, PATRICIA	S	-23,536.00	50.00	6.00	44.00	80.0	34.00
03/02/2018	QGW039158	MASTERSON, PATRICIA	B	23,425.14	225.00	6.00	219.00	80.0	174.00
03/12/2018	QGW039166	WOZNEY, DEBRA	S	-831.00	20.00	6.00	14.00	80.0	10.00
03/15/2018	QGW039166	WOZNEY, DEBRA	S	-9,196.00	50.00	6.00	44.00	80.0	34.00
03/23/2018	QGW039166	WOZNEY, DEBRA	B	8,494.95	150.00	6.00	144.00	80.0	114.00
03/21/2018	QGW039398	RAGAZZO, RALPH	B	9,826.14	135.00	6.00	129.00	80.0	102.00
03/21/2018	QGW039398	RAGAZZO, RALPH	B	11,547.70	125.00	6.00	119.00	80.0	94.00
03/21/2018	QGW039398	RAGAZZO, RALPH	B	9,314.20	125.00	6.00	119.00	80.0	94.00
03/26/2018	QGW039398	RAGAZZO, RALPH	B	9,820.00	150.00	6.00	144.00	80.0	114.00
03/27/2018	QGW039455	BIELINKI, LOUISE	B	9,940.00	150.00	6.00	144.00	80.0	114.00
03/27/2018	QGW039455	BIELINKI, LOUISE	B	11,434.00	150.00	6.00	144.00	80.0	114.00
03/27/2018	QGW039455	BIELINKI, LOUISE	B	17,466.10	200.00	6.00	194.00	80.0	154.00
03/21/2018	QGW039463	BIELINKI, LOUISE	B	5,764.42	65.00	6.00	59.00	80.0	46.00
* STOCKS SUBTOTAL *				\$124,873.30	\$10,700.00	\$552.00	\$10,148.00		\$8,008.00
<u>PRODUCT CATEGORY: BONDS</u>									
03/23/2018	QGW034894	MODDERNO, PATRICIA	B	5,000.00	100.00	7.50	92.50	80.0	72.50
03/23/2018	QGW038705	SAPIO, ROBERTA	B	10,000.00	200.00	7.50	192.50	80.0	152.50
03/23/2018	QGW039398	RAGAZZO, RALPH	B	15,000.00	300.00	7.50	292.50	80.0	232.50
* BONDS SUBTOTAL *				\$30,000.00	\$600.00	\$22.50	\$577.50		\$457.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/05/2018	QGW033599	PILLERA, CHARLES	CALL 100	WHITING PETE CORP COM NEW S	-455.00	50.00	6.00	44.00	80.0 34.00
02/28/2018	QGW033649	ARLENE M FRANCIS,	CALL 100	NIC INC COM EXP 07-20-18@1 S	-250.00	50.00	6.00	44.00	80.0 34.00
03/20/2018	QGW033649	ARLENE M FRANCIS,	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-500.00	50.00	6.00	44.00	80.0 34.00
03/21/2018	QGW033649	ARLENE M FRANCIS,	CALL 100	ALIBABA GROUP HLDG LTD SPO S	-199.00	50.00	6.00	44.00	80.0 34.00
03/27/2018	QGW033649	ARLENE M FRANCIS,	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-265.00	50.00	6.00	44.00	80.0 34.00
02/28/2018	QGW033730	KIM, IN	CALL 100	NIC INC COM EXP 07-20-18@1 S	-250.00	50.00	6.00	44.00	80.0 34.00
02/28/2018	QGW033805	LYONS, SALLY	CALL 100	NIC INC COM EXP 07-20-18@1 S	-250.00	50.00	6.00	44.00	80.0 34.00
03/05/2018	QGW033805	LYONS, SALLY	CALL 100	WHITING PETE CORP COM NEW S	-528.00	50.00	6.00	44.00	80.0 34.00
02/28/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100	NIC INC COM EXP 07-20-18@1 S	-300.00	50.00	6.00	44.00	80.0 34.00
03/06/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100	ROKU INC COM CL A EXP 03-0 S	-240.00	50.00	6.00	44.00	80.0 34.00
03/12/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100	ROKU INC COM CL A EXP 03-1 S	-200.00	50.00	6.00	44.00	80.0 34.00
03/19/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100	ROKU INC COM CL A EXP 03-2 S	-250.00	50.00	6.00	44.00	80.0 34.00
03/26/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100	ROKU INC COM CL A EXP 03-2 S	-150.00	50.00	6.00	44.00	80.0 34.00
02/28/2018	QGW034894	MODDERNO, PATRICIA	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-105.00	50.00	6.00	44.00	80.0 34.00
03/21/2018	QGW034894	MODDERNO, PATRICIA	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-190.00	50.00	6.00	44.00	80.0 34.00
02/28/2018	QGW035438	RIZZO, GIOVANNI	CALL 100	SALESFORCE.COM INC COM STO S	-342.00	50.00	6.00	44.00	80.0 34.00
03/06/2018	QGW035438	RIZZO, GIOVANNI	CALL 100	ROKU INC COM CL A EXP 03-0 S	-215.00	50.00	6.00	44.00	80.0 34.00
03/12/2018	QGW035438	RIZZO, GIOVANNI	CALL 100	ROKU INC COM CL A EXP 03-1 S	-200.00	50.00	6.00	44.00	80.0 34.00
03/19/2018	QGW035438	RIZZO, GIOVANNI	CALL 100	ROKU INC COM CL A EXP 03-2 S	-250.00	50.00	6.00	44.00	80.0 34.00
03/26/2018	QGW035438	RIZZO, GIOVANNI	CALL 100	ROKU INC COM CL A EXP 03-2 S	-250.00	50.00	6.00	44.00	80.0 34.00
03/20/2018	QGW036493	ARLENE M FRANCIS,	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-150.00	50.00	6.00	44.00	80.0 34.00
03/06/2018	QGW036584	TREIBER, NANCY	CALL 100	ROKU INC COM CL A EXP 03-0 S	-250.00	50.00	6.00	44.00	80.0 34.00
03/12/2018	QGW036584	TREIBER, NANCY	CALL 100	COHERUS BIOSCIENCES INC CO S	-350.00	50.00	6.00	44.00	80.0 34.00
03/12/2018	QGW036584	TREIBER, NANCY	CALL 100	ROKU INC COM CL A EXP 03-1 S	-200.00	50.00	6.00	44.00	80.0 34.00
03/19/2018	QGW036584	TREIBER, NANCY	CALL 100	ROKU INC COM CL A EXP 03-2 S	-250.00	50.00	6.00	44.00	80.0 34.00
03/26/2018	QGW036584	TREIBER, NANCY	CALL 100	ROKU INC COM CL A EXP 03-2 S	-150.00	50.00	6.00	44.00	80.0 34.00
03/21/2018	QGW038705	SAPIO, ROBERTA	CALL 100	CELGENE CORP EXP 07-20-18@ S	-235.00	50.00	6.00	44.00	80.0 34.00
03/21/2018	QGW038705	SAPIO, ROBERTA	CALL 100	CENTURYLINK INC COM EXP 04 S	-175.00	50.00	6.00	44.00	80.0 34.00
02/28/2018	QGW038812	KOHN, ROBERT	CALL 100	NIC INC COM EXP 07-20-18@2 S	-50.00	41.86	6.00	35.86	80.0 27.49
02/28/2018	QGW038812	KOHN, ROBERT	CALL 100	SALESFORCE.COM INC COM STO S	-343.00	50.00	6.00	44.00	80.0 34.00
02/28/2018	QGW038812	KOHN, ROBERT	CALL 100	SQUARE INC CL A EXP 04-06- S	-1,660.00	100.00	6.00	94.00	80.0 74.00
02/28/2018	QGW038812	KOHN, ROBERT	CALL 100	SQUARE INC CL A EXP 04-06- S	1,660.00	-100.00	-6.00	-94.00	80.0 -74.00 C
02/28/2018	QGW038812	KOHN, ROBERT	CALL 100	SQUARE INC CL A EXP 04-06- S	-1,660.00	80.00	6.00	74.00	80.0 58.00
03/06/2018	QGW038812	KOHN, ROBERT	CALL 100	ROKU INC COM CL A EXP 03-0 S	-230.00	50.00	6.00	44.00	80.0 34.00
03/12/2018	QGW038812	KOHN, ROBERT	CALL 100	ROKU INC COM CL A EXP 03-1 S	-200.00	50.00	6.00	44.00	80.0 34.00
03/19/2018	QGW038812	KOHN, ROBERT	CALL 100	ROKU INC COM CL A EXP 03-2 S	-250.00	50.00	6.00	44.00	80.0 34.00
03/26/2018	QGW038812	KOHN, ROBERT	CALL 100	ROKU INC COM CL A EXP 03-2 S	-175.00	50.00	6.00	44.00	80.0 34.00
03/05/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	VMWARE INC CL A COM EXP 03 S	-640.00	60.00	6.00	54.00	80.0 42.00
03/07/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	ICAHN ENTERPRISES LP DEPOS S	-102.00	50.00	6.00	44.00	80.0 34.00
03/22/2018	QGW039398	RAGAZZO, RALPH	CALL 100	CENTURYLINK INC COM EXP 04 S	-210.00	50.00	6.00	44.00	80.0 34.00

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

03/22/2018	QGW039398	RAGAZZO, RALPH	CALL 100	ICAHN ENTERPRISES LP DEPOS S	-48.00	25.00	6.00	19.00	80.0	14.00
03/22/2018	QGW039398	RAGAZZO, RALPH	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-225.00	50.00	6.00	44.00	80.0	34.00
03/28/2018	QGW039455	BIELINKI, LOUISE	CALL 100	ICAHN ENTERPRISES LP DEPOS S	-130.00	50.00	6.00	44.00	80.0	34.00
03/28/2018	QGW039455	BIELINKI, LOUISE	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-200.00	50.00	6.00	44.00	80.0	34.00
* OPTIONS SUBTOTAL *					\$-11,612.00	\$2,106.86	\$252.00	\$1,854.86		\$1,433.49

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018	FEE COUNT - 2	0.00	2.91	0.00	2.91	80.0	2.33
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$2.91	\$0.00	\$2.91		\$2.33
	*** BROKER TRANSACTIONS TOTAL ***	\$127,984.98	\$13,542.10	\$854.50	\$12,687.60		\$9,979.19

ADJUSTMENTS

03/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-150.00
03/31/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-158.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$9,979.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-158.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.93
SIPC Assessment	\$-33.86

Check Amount	\$9,770.40
Year-to-date Earnings	\$28,385.83