



COMMISSION STATEMENT

May 21, 2018

MICHAEL PINEDA
2068 N Emerson St
Denver, CO 80205

BROKER #: B14
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
05/14/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	FRANKLIN TEMPLETON ETF TR FTSE JAPA BUY 40 Units @26.5050	1,060.20	47.52	15.80	31.72	75.0	23.79
05/14/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	FRANKLIN TEMPLETON ETF TR FTSE JAPA BUY 100 Units @26.5050	2,650.50	28.48	2.00	26.48	75.0	19.86
05/14/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	FRANKLIN TEMPLETON ETF TR FTSE JAPA BUY 10 Units @26.5180	265.18	5.50	0.20	5.30	75.0	3.98
05/14/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	VEEVA SYS INC CL A COM BUY 50 Units @78.4150	3,920.75	54.00	16.00	38.00	75.0	28.50
* STOCKS SUBTOTAL *			\$7,896.63	\$135.50	\$34.00	\$101.50		\$76.13
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/23/2018	***-**-9391 - KHIARI, DJANETTE	POLARIS SELECT INVESTOR VA B-SHARE BUY 1 Units @33200.0000	33,200.00	1,743.00	0.00	1,743.00	75.0	1,307.25
* VARIABLE ANNUITIES SUBTOTAL *			\$33,200.00	\$1,743.00	\$0.00	\$1,743.00		\$1,307.25
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/14/2018	***-**-9610 - MOORE, MARVIN ACCOUNT #: 02400107276	CALIBRATED MID CAP VALUE A BUY 0 Units @0.0000	0.00	16.16	0.00	16.16	75.0	12.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$16.16	\$0.00	\$16.16		\$12.12
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/16/2018	***-**-8557 - PANUSKA, ACCOUNT #: P82D5556976	AMERICAN GENERA CUSIP 02640M848 BUY 0 Units @0.0000	0.00	92.94	0.00	92.94	75.0	69.71
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$92.94	\$0.00	\$92.94		\$69.71
*** BROKER TRANSACTIONS TOTAL ***			\$41,096.63	\$1,987.60	\$34.00	\$1,953.60		\$1,465.21



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$1,465.21
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,465.21
						Year-to-date Earnings		\$15,953.55