



Concorde Investment Services, LLC

04/17/2018

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COMMISSION STATEMENT

April 15, 2018

DAVID MIKE, B132 BREEDLOVE
1024 Pruitt Place

BROKER #: B132
BRANCH: DAVID MICHAEL BREEDL

Tyler, TX 75703

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
03/20/2018	LADNER TRUST	BR TOTAL INC & REAL ESTATE FUND A	1,453.00	72.65	90.0	65.39	0.00	65.39
		* INTERVAL FUNDS SUBTOTAL *	\$1,453.00	\$72.65		\$65.39	\$0.00	\$65.39
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2018	BREEDLOVE, TOMMYE	JNL ELITE ACCESS W/ LIQUIDITY	4,121.71	3.43	90.0	3.09	0.00	3.09
03/29/2018	BREEDLOVE, SUSAN	JNL PERSPECTIVE II 04/14	100,000.00	3,500.00	90.0	3,150.00	0.00	3,150.00
03/31/2018	SPEED, LINDA	JNL PERSPECTIVE II 05/05	32,213.87	26.84	90.0	24.16	0.00	24.16
03/31/2018	KELLY, GENE	JNL PERSPECTIVE INVESTOR VUL	206,303.99	77.36	90.0	69.62	0.00	69.62
03/31/2018	KELLY, RACHELL	JNL PERSPECTIVE INVESTOR VUL	100,307.79	37.62	90.0	33.86	0.00	33.86
03/31/2018	KENNEDY, EVELYN	PRU AS ADVISOR PLAN II - 1996	1,545.01	0.97	90.0	0.87	0.00	0.87
03/31/2018	KENNEDY, EVELYN	PRU AS ADVISOR PLAN II - 1996	1,545.01	0.97	90.0	0.87	0.00	0.87
03/31/2018	MARTIN, KATHRYN	PRU AS LIFEVEST II	170,730.50	106.71	90.0	96.04	0.00	96.04
03/31/2018	MARTIN, KATHRYN	PRU AS LIFEVEST II	170,730.50	320.12	90.0	288.11	0.00	288.11
03/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	25,340.03	15.84	90.0	14.26	0.00	14.26
03/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	25,340.03	15.84	90.0	14.26	0.00	14.26
03/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	170,967.86	106.85	90.0	96.17	0.00	96.17
03/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	203,639.27	127.27	90.0	114.54	0.00	114.54
03/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	170,967.86	106.85	90.0	96.17	0.00	96.17
03/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	129,215.02	80.76	90.0	72.68	0.00	72.68
03/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	129,215.02	80.76	90.0	72.68	0.00	72.68
03/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	22,735.92	14.21	90.0	12.79	0.00	12.79
03/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	22,735.92	14.21	90.0	12.79	0.00	12.79
03/31/2018	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	24,314.15	15.20	90.0	13.68	0.00	13.68
03/31/2018	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	24,314.15	15.20	90.0	13.68	0.00	13.68
03/31/2018	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	14,265.08	8.92	90.0	8.03	0.00	8.03
03/31/2018	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	14,265.08	8.92	90.0	8.03	0.00	8.03
03/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	26,056.38	16.29	90.0	14.66	0.00	14.66

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	26,056.38	16.29	90.0	14.66	0.00	14.66
03/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	35,019.95	21.89	90.0	19.70	0.00	19.70
03/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	35,019.95	21.89	90.0	19.70	0.00	19.70
03/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	47,223.54	29.51	90.0	26.56	0.00	26.56
03/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	47,223.54	29.51	90.0	26.56	0.00	26.56
03/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	155,563.83	97.23	90.0	87.51	0.00	87.51
03/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	155,563.83	97.23	90.0	87.51	0.00	87.51
03/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	294,369.95	183.98	90.0	165.58	0.00	165.58
03/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	155,563.83	97.23	90.0	87.51	0.00	87.51
03/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	155,563.83	97.23	90.0	87.51	0.00	87.51
03/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	155,563.83	97.23	90.0	87.51	0.00	87.51
03/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	155,563.83	97.23	90.0	87.51	0.00	87.51
03/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	155,563.83	97.23	90.0	87.51	0.00	87.51
03/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	155,563.83	97.23	90.0	87.51	0.00	87.51
03/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	155,563.83	97.23	90.0	87.51	0.00	87.51
03/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	170,967.86	106.85	90.0	96.17	0.00	96.17
03/31/2018	BURNS, HENRY	PRU PREMIER RETIREMENT C	12,629.34	31.57	90.0	28.41	0.00	28.41
03/31/2018	BREEDLOVE, DAVID	PRU PREMIER RETIREMENT C	12,593.64	31.48	90.0	28.33	0.00	28.33
* VARIABLE ANNUITIES SUBTOTAL *			\$3,716,484.94	\$5,951.95		\$5,356.79	\$0.00	\$5,356.79

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/01/2018	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.47	90.0	2.22	0.00	2.22
03/01/2018	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.93	90.0	0.84	0.00	0.84
03/01/2018	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.83	90.0	0.75	0.00	0.75
03/23/2018	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.24	90.0	2.02	0.00	2.02
03/23/2018	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.85	90.0	0.77	0.00	0.77
03/23/2018	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.75	90.0	0.68	0.00	0.68
03/19/2018	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	1.93	90.0	1.74	0.00	1.74
/ /	KENNEDY, EVELYN	FIDELITY ADV ENERGY CL M	0.00	1.98	90.0	1.78	0.00	1.78

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	KENNEDY, EVELYN	FIDELITY ADV EQUITY GROWTH CL M	0.00	8.77	90.0	7.89	0.00	7.89
03/21/2018	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	4.12	90.0	3.71	0.00	3.71
03/21/2018	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	11.50	90.0	10.35	0.00	10.35
03/21/2018	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	0.00	1.64	90.0	1.48	0.00	1.48
03/21/2018	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	21.18	90.0	19.06	0.00	19.06
03/21/2018	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	68.50	90.0	61.65	0.00	61.65
03/21/2018	CAPEL TRUST, NARLENE FORTENB	PIMCO INCOME FUND CLASS A	0.00	19.46	90.0	17.51	0.00	17.51
03/21/2018	CAPEL, BETTYE	PIMCO INCOME FUND CLASS A	0.00	15.02	90.0	13.52	0.00	13.52
03/21/2018	LADNER TRUST	PIMCO INCOME FUND CLASS A	0.00	61.33	90.0	55.20	0.00	55.20
03/21/2018	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	1.88	90.0	1.69	0.00	1.69
03/21/2018	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	5.32	90.0	4.79	0.00	4.79
03/21/2018	LADNER TRUST	PIMCO INVESTMENT GRADE CORP BOND A	0.00	39.79	90.0	35.81	0.00	35.81
03/21/2018	SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	1.76	90.0	1.58	0.00	1.58
03/21/2018	SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	5.09	90.0	4.58	0.00	4.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$277.34		\$249.62	\$0.00	\$249.62
*** DIRECT TRANSACTIONS TOTAL ***			\$3,717,937.94	\$6,301.94		\$5,671.80	\$0.00	\$5,671.80

ADJUSTMENTS

04/15/2018	*AI INSIGHT: CURRENT MONTH	0.00	-7.00
04/15/2018	*ALBRIDGE: LIGHT USER	0.00	-76.00
04/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00	-300.00
04/15/2018	*FINRA: FBI FINGERPRINT - M. COTTON	0.00	-10.00
04/15/2018	*FINRA: FINRA FINGERPRINT - M. COTTON	0.00	-15.00
04/15/2018	*GLOBAL RELAY: WEBSITE	0.00	-15.00
04/15/2018	*LASER APP: CURRENT MONTH	0.00	-18.00
04/15/2018	*MARKETING PRO: PRO USER	0.00	-45.00
04/15/2018	*PERSHING: BOOKS & RECORDS	0.00	-1.50
04/15/2018	*TECHNOLOGY: ADMIN - KAY BREEDLOVE	0.00	-130.00

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<u>ADJUSTMENTS</u>								
04/15/2018		*TECHNOLOGY: ADMIN - KENT	0.00					-130.00
04/15/2018		*TECHNOLOGY: ADMIN - R WILLIAMS	0.00					-130.00
04/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
04/15/2018		*TECHNOLOGY: MONTHLY - ADMIN M. COTTON	0.00					-100.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-1,157.50	\$0.00	\$-1,157.50

BROKER COMMISSION TOTALS

Broker Transactions	\$5,671.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,157.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,514.30
Year-to-date Gross	\$18,168.53
Year-to-date Earnings	\$16,329.88

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