

COMMISSION STATEMENT

August 31, 2018

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

BROKER #: C25

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
07/30/2018	QGW032013	STROPE, DALE		CBS CORP CLASS B	S	-15,600.00	75.00	0.00	75.00 82.5 61.88
07/30/2018	QGW032047	SHIER, ANDY		CBS CORP CLASS B	S	-26,000.00	100.00	0.00	100.00 82.5 82.50
07/31/2018	QGW032054	PAEGLOW, JOSEPH		CBS CORP CLASS B	S	-26,050.00	100.00	0.00	100.00 82.5 82.50
08/27/2018	QGW032054	PAEGLOW, JOSEPH		ATLASSIAN CORPORATION PLC CLASS A	B	25,931.70	725.00	0.00	725.00 82.5 598.13
08/20/2018	QGW032088	CLULEE, BRIAN		FACEBOOK INC CL A	S	-8,179.39	285.00	0.00	285.00 82.5 235.13
08/20/2018	QGW032088	CLULEE, BRIAN		MICROSOFT CORP	S	-11,853.60	414.00	0.00	414.00 82.5 341.55
07/30/2018	QGW032104	DAVIDOV, WILLIAM		APPLE INC	B	10,034.49	10.00	0.00	10.00 82.5 8.25
07/30/2018	QGW032104	DAVIDOV, WILLIAM		FACEBOOK INC CL A	S	-10,062.66	10.00	0.00	10.00 82.5 8.25
08/01/2018	QGW032104	DAVIDOV, WILLIAM		ADVANCED MICRO DEVICES INC	B	1,844.66	25.00	0.00	25.00 82.5 20.63
08/01/2018	QGW032104	DAVIDOV, WILLIAM		SMART GLOBAL HLDGS INC SHS	S	-2,137.45	15.00	0.00	15.00 82.5 12.38
08/02/2018	QGW032104	DAVIDOV, WILLIAM		SOUTHWEST AIRLINES CO	S	-7,037.51	25.00	0.00	25.00 82.5 20.63
08/02/2018	QGW032104	DAVIDOV, WILLIAM		VIVINT SOLAR INC COM	S	-834.65	25.00	0.00	25.00 82.5 20.63
08/03/2018	QGW032104	DAVIDOV, WILLIAM		SOUTHWEST AIRLINES CO	B	7,741.75	25.00	0.00	25.00 82.5 20.63
08/03/2018	QGW032104	DAVIDOV, WILLIAM		SOUTHWEST AIRLINES CO	B	-7,741.75	-25.00	0.00	-25.00 82.5 -20.63 C
08/07/2018	QGW032104	DAVIDOV, WILLIAM		SOUTHWEST AIRLINES CO	B	7,263.74	25.00	0.00	25.00 82.5 20.63
08/08/2018	QGW032104	DAVIDOV, WILLIAM		ACCO BRANDS CORP	S	-1,443.75	25.00	0.00	25.00 82.5 20.63
08/08/2018	QGW032104	DAVIDOV, WILLIAM		UPLAND SOFTWARE INC COM	B	4,088.40	25.00	0.00	25.00 82.5 20.63
08/08/2018	QGW032104	DAVIDOV, WILLIAM		XCERRA CORP COM	S	-3,645.85	25.00	0.00	25.00 82.5 20.63
08/13/2018	QGW032104	DAVIDOV, WILLIAM		BOYD GAMING CORP	S	-3,218.82	25.00	0.00	25.00 82.5 20.63
08/13/2018	QGW032104	DAVIDOV, WILLIAM		TWITTER INC	B	3,278.00	25.00	0.00	25.00 82.5 20.63
08/15/2018	QGW032104	DAVIDOV, WILLIAM		MACYS INC	B	3,983.70	25.00	0.00	25.00 82.5 20.63
08/15/2018	QGW032104	DAVIDOV, WILLIAM		UPLAND SOFTWARE INC COM	S	-4,115.11	25.00	0.00	25.00 82.5 20.63
08/16/2018	QGW032104	DAVIDOV, WILLIAM		KEMET CORP COM NEW	S	-3,791.07	25.00	0.00	25.00 82.5 20.63
08/17/2018	QGW032104	DAVIDOV, WILLIAM		PARK HOTELS & RESORTS INC COM	B	4,108.75	25.00	0.00	25.00 82.5 20.63
08/21/2018	QGW032104	DAVIDOV, WILLIAM		GLU MOBILE INC	B	2,083.92	25.00	0.00	25.00 82.5 20.63
08/24/2018	QGW032104	DAVIDOV, WILLIAM		CRONOS GROUP INC COM ISIN#CA22717L1	B	2,985.00	25.00	0.00	25.00 82.5 20.63
08/24/2018	QGW032104	DAVIDOV, WILLIAM		MACYS INC	S	-3,879.40	25.00	0.00	25.00 82.5 20.63
08/24/2018	QGW032104	DAVIDOV, WILLIAM		PARK HOTELS & RESORTS INC COM	S	-4,080.45	25.00	0.00	25.00 82.5 20.63
08/24/2018	QGW032104	DAVIDOV, WILLIAM		ZENDESK INC COM	B	5,009.25	25.00	0.00	25.00 82.5 20.63
07/30/2018	QGW032112	CIARLO, ANTHONY		CBS CORP CLASS B	S	-15,610.50	75.00	0.00	75.00 82.5 61.88
08/01/2018	QGW032120	DAVIDOV, WILLIAM		SMART GLOBAL HLDGS INC SHS	S	-3,053.10	15.00	0.00	15.00 82.5 12.38

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/06/2018	QGW032120	DAVIDOV, WILLIAM	TEVA PHARMACEUTICAL INDUSTRIES LIM	B	2,867.39	25.00	0.00	25.00	82.5	20.63
08/14/2018	QGW032120	DAVIDOV, WILLIAM	HCP INC	B	6,026.00	25.00	0.00	25.00	82.5	20.63
08/14/2018	QGW032120	DAVIDOV, WILLIAM	TEVA PHARMACEUTICAL INDUSTRIES LIM	S	-6,041.75	25.00	0.00	25.00	82.5	20.63
08/16/2018	QGW032120	DAVIDOV, WILLIAM	ADVANCED MICRO DEVICES INC	S	-1,938.34	25.00	0.00	25.00	82.5	20.63
08/24/2018	QGW032120	DAVIDOV, WILLIAM	MAGIC SOFTWARE ENTERPRISES LTD ISIN	B	1,785.00	25.00	0.00	25.00	82.5	20.63
07/30/2018	QGW032138	AUCHSTETTER, GERALD	CBS CORP CLASS B	S	-7,162.44	75.00	0.00	75.00	82.5	61.88
07/30/2018	QGW032195	NERY, GENEROSO	CBS CORP CLASS B	S	-20,800.00	100.00	0.00	100.00	82.5	82.50
08/23/2018	QGW032195	NERY, GENEROSO	ATLASSIAN CORPORATION PLC CLASS A	B	24,810.00	700.00	0.00	700.00	82.5	577.50
07/30/2018	QGW032237	PETERSON, SHAWN	CBS CORP CLASS B	S	-15,600.00	75.00	0.00	75.00	82.5	61.88
08/13/2018	QGW032237	PETERSON, SHAWN	ATLASSIAN CORPORATION PLC CLASS A	B	25,097.60	700.00	0.00	700.00	82.5	577.50
08/13/2018	QGW032237	PETERSON, SHAWN	GLAXOSMITHKLINE PLC SPONSORED ADR(F	S	-10,348.00	150.00	0.00	150.00	82.5	123.75
08/15/2018	QGW032294	CHESTNUT, JONATHAN	CHIPOTLE MEXICAN GRILL INC COMMON	S	-5,224.32	156.00	0.00	156.00	82.5	128.70
08/15/2018	QGW032294	CHESTNUT, JONATHAN	TWITTER INC	S	-6,783.00	205.00	0.00	205.00	82.5	169.13
08/15/2018	QGW032336	WESSTROM, LAUREN	ALIBABA GROUP HOLDING LTD SPONSORED	B	2,490.00	74.00	0.00	74.00	82.5	61.05
08/15/2018	QGW032336	WESSTROM, LAUREN	GILEAD SCIENCES INC	B	3,735.00	112.00	0.00	112.00	82.5	92.40
07/30/2018	QGW032344	DYKSTRA, JAMES	CBS CORP CLASS B	S	-20,680.00	75.00	0.00	75.00	82.5	61.88
07/30/2018	QGW032344	DYKSTRA, JAMES	CBS CORP CLASS B	S	-12,950.00	50.00	0.00	50.00	82.5	41.25
08/27/2018	QGW032344	DYKSTRA, JAMES	ATLASSIAN CORPORATION PLC CLASS A	B	17,260.00	500.00	0.00	500.00	82.5	412.50
08/06/2018	QGW032385	GIBBINGS, WAYNE	ATLASSIAN CORPORATION PLC CLASS A	B	3,777.50	100.00	0.00	100.00	82.5	82.50
07/30/2018	QGW033102	SHIPLEY, CRAIG	CBS CORP CLASS B	S	-15,390.00	75.00	0.00	75.00	82.5	61.88
08/21/2018	QGW033128	STRAUGHTER, EDNA	VODAFONE GROUP PLC SPONSORED ADR NO	B	8,116.50	243.00	0.00	243.00	82.5	200.48
07/30/2018	QGW033151	DEROIN, JOHN	CBS CORP CLASS B	S	-11,983.00	75.00	0.00	75.00	82.5	61.88
08/27/2018	QGW033151	DEROIN, JOHN	ATLASSIAN CORPORATION PLC CLASS A	B	12,145.00	355.00	0.00	355.00	82.5	292.88
07/30/2018	QGW033870	BROWN, KENNETH	CBS CORP CLASS B	S	-17,974.25	100.00	0.00	100.00	82.5	82.50
07/31/2018	QGW038754	H OEI, HOWARD	SANGAMO BIOSCIENCES INC COM	S	-3,381.25	50.00	0.00	50.00	82.5	41.25
07/31/2018	QGW038754	H OEI, HOWARD	TEVA PHARMACEUTICAL INDUSTRIES LIM	B	4,812.00	50.00	0.00	50.00	82.5	41.25
* STOCKS SUBTOTAL *					\$-123,316.06	\$6,419.00	\$0.00	\$6,419.00		\$5,295.86
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
08/31/2018		FEE COUNT - 11			0.00	10.01	0.00	10.01	82.5	8.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$10.01	\$0.00	\$10.01		\$8.26
*** BROKER TRANSACTIONS TOTAL ***					\$-123,316.06	\$6,429.01	\$0.00	\$6,429.01		\$5,304.12

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ADJUSTMENTS

08/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-150.00
08/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-12.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-162.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,304.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-162.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-8.04
SIPC Assessment	\$-16.07

Check Amount	\$5,118.01
Year-to-date Earnings	\$64,048.12