



All Companies

03/14/2018
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COMMISSION STATEMENT March 15, 2018

BRIAN VAN MATTSON
1020 HIDDEN VALLEY CT.

BROKER #: 9116
BRANCH: HOME OFFICE

McGregor, TX 76657-

COMMISSION STATEMENT for BRIAN VAN MATTSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/28/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	29.57	29.57	0.00	29.57	90.0	26.61
03/01/2018	00007218289 OWENS, DOROTHY	THE HARTFORD DIVIDEND & GROWTH FUND B		100.00	4.75	0.00	4.75	90.0	4.28
02/27/2018	00007230661 HESTON, JIM	THE HARTFORD CAPITAL APPRECIATION F B		166.66	6.67	0.00	6.67	90.0	6.00
03/01/2018	00068810835 PENA, JULIE C.	THE BOND FUND OF AMERICA-529A	B	50.00	1.50	0.00	1.50	90.0	1.35
03/01/2018	00068810837 PENA, JULIE C.	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	2.50	0.00	2.50	90.0	2.25
02/22/2018	00081487492 DEVORSKY, SUZIE R	CAPITAL INCOME BUILDER-C	B	100.00	1.00	0.00	1.00	90.0	0.90
03/05/2018	00082719940 ARRENDELL, LANE R	AMERICAN MUTUAL FUND-C	B	125.00	1.25	0.00	1.25	90.0	1.13
03/05/2018	00082719940 ARRENDELL, LANE R	CAPITAL INCOME BUILDER-C	B	200.00	2.00	0.00	2.00	90.0	1.80
03/05/2018	00082719940 ARRENDELL, LANE R	CAPITAL WORLD GROWTH AND INCOME FUN B		125.00	1.25	0.00	1.25	90.0	1.13
02/22/2018	00083728788 LACY, TANYA	AMERICAN BALANCED FUND-C	B	250.00	2.50	0.00	2.50	90.0	2.25
02/22/2018	00083728788 LACY, TANYA	CAPITAL INCOME BUILDER-C	B	250.00	2.50	0.00	2.50	90.0	2.25
03/05/2018	00085911713 NEMEC, ERIC	AMCAP FUND-529A	B	50.00	1.00	0.00	1.00	90.0	0.90
03/05/2018	00085911713 NEMEC, ERIC	AMERICAN BALANCED FUND-529A	B	150.00	3.00	0.00	3.00	90.0	2.70
03/05/2018	00085911713 NEMEC, ERIC	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.00	0.00	1.00	90.0	0.90
03/05/2018	00085911713 NEMEC, ERIC	THE INCOME FUND OF AMERICA-529A	B	150.00	3.00	0.00	3.00	90.0	2.70
02/20/2018	00086029369 CA/TOD	CAPITAL WORLD GROWTH AND INCOME FUN B		100.00	1.00	0.00	1.00	90.0	0.90
03/05/2018	00086066788 CA/TOD	THE INVESTMENT COMPANY OF AMERICA-C B		50.00	0.50	0.00	0.50	90.0	0.45
02/28/2018	00086512006 CALLEN, JULIE	AMERICAN BALANCED FUND-C	B	1,100.00	11.00	0.00	11.00	90.0	9.90
	MASSINGIL								
02/28/2018	00086512006 CALLEN, JULIE	CAPITAL INCOME BUILDER-C	B	1,100.00	11.00	0.00	11.00	90.0	9.90
	MASSINGIL								
02/28/2018	00086512006 CALLEN, JULIE	THE INCOME FUND OF AMERICA-C	B	1,100.00	11.00	0.00	11.00	90.0	9.90
	MASSINGIL								
02/28/2018	00086512006 CALLEN, JULIE	THE INVESTMENT COMPANY OF AMERICA-C B		1,100.00	11.00	0.00	11.00	90.0	9.90
	MASSINGIL								
02/28/2018	00086512006 CALLEN, JULIE	WASHINGTON MUTUAL INVESTORS FUND-C B		1,100.00	11.00	0.00	11.00	90.0	9.90
	MASSINGIL								
02/28/2018	00086512015 CALLEN, DEAN	AMERICAN BALANCED FUND-C	B	1,100.00	11.00	0.00	11.00	90.0	9.90
02/28/2018	00086512015 CALLEN, DEAN	CAPITAL INCOME BUILDER-C	B	1,100.00	11.00	0.00	11.00	90.0	9.90
02/28/2018	00086512015 CALLEN, DEAN	THE INCOME FUND OF AMERICA-C	B	1,100.00	11.00	0.00	11.00	90.0	9.90
02/28/2018	00086512015 CALLEN, DEAN	THE INVESTMENT COMPANY OF AMERICA-C B		1,100.00	11.00	0.00	11.00	90.0	9.90

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/28/2018	00086512015 CALLEN, DEAN	WASHINGTON MUTUAL INVESTORS FUND-C	B	1,100.00	11.00	0.00	11.00	90.0	9.90
03/01/2018	00087882822 CALLEN, JULIE MASSINGIL	CAPITAL WORLD GROWTH AND INCOME-529	B	200.00	2.00	0.00	2.00	90.0	1.80
03/01/2018	00087882822 CALLEN, JULIE MASSINGIL	THE GROWTH FUND OF AMERICA-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
03/01/2018	00087889028 HOWELL, MICHAEL	AMERICAN BALANCED FUND-C	B	80.00	0.80	0.00	0.80	90.0	0.72
03/01/2018	00087889028 HOWELL, MICHAEL	CAPITAL INCOME BUILDER-C	B	90.00	0.90	0.00	0.90	90.0	0.81
03/01/2018	00087889028 HOWELL, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN	B	80.00	0.80	0.00	0.80	90.0	0.72
03/01/2018	00088435772 ARRENDELL, LANE R	THE INVESTMENT COMPANY OF AMERICA-5	B	100.00	1.00	0.00	1.00	90.0	0.90
03/05/2018	00089014200 BROWN, DENISE	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
03/05/2018	00089014200 BROWN, DENISE	CAPITAL WORLD GROWTH AND INCOME FUN	B	100.00	1.00	0.00	1.00	90.0	0.90
03/01/2018	00089544673 ARRENDELL, LANE R	THE INVESTMENT COMPANY OF AMERICA-5	B	100.00	1.00	0.00	1.00	90.0	0.90
02/28/2018	00089909217 KOCHMAN, ANDREW B.	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
02/27/2018	04000594082 DAVIS, CHRISTOPHER	AMERICAN BALANCED FUND-C	B	200.00	2.00	0.00	2.00	90.0	1.80
02/27/2018	04000605805 COLLINS, BIANCA	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
03/02/2018	04000832636 BIELAMOWICZ, LARRY/CLAIRE	COLLEGE 2030 FUND-529A	B	5,000.00	175.00	0.00	175.00	90.0	157.50
* MUTUAL FUNDS SUBTOTAL *				\$19,446.23	\$364.49	\$0.00	\$364.49		\$328.05
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/15/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES		0.00	189.10	0.00	189.10	90.0	170.22
03/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	9,506.13	0.00	9,506.13	90.0	8,555.91
03/15/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	1.48	0.00	1.48	90.0	1.33
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$9,696.71	\$0.00	\$9,696.71		\$8,727.46
*** BROKER TRANSACTIONS TOTAL ***				\$19,446.23	\$10,061.20	\$0.00	\$10,061.20		\$9,055.51
<u>ADJUSTMENTS</u>									
03/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-7.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-7.50

BROKER COMMISSION TOTALS

Broker Transactions	\$9,055.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-7.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$9,048.01
Year-to-date Earnings	\$13,970.83