



COMMISSION STATEMENT

May 21, 2018

TIMOTHY STATES
 1629 K ST NW STE 300
 SUITE 300
 WASHINGTON, DC 20001

BROKER #: A70
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/17/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	AIM TAX-FREE INTERMEDIATE FUND -CL SELL 1725 Units @11.1700	19,272.84	0.00	18.00	-18.00	100.0	-18.00
05/01/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 03211304425	CAPITAL APPRECIATION A BUY 0 Units @0.0000	904.00	27.12	0.00	27.12	75.0	20.34
05/14/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	COLUMBIA BOND CLASS I SELL 1619 Units @8.2600	13,375.44	0.00	18.00	-18.00	100.0	-18.00
05/17/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	FEDERATED INST HIGH YIELD BOND IS SELL 209 Units @9.7200	2,031.84	32.95	18.00	14.95	75.0	11.21
05/14/2018	***-**-58TR - HOUSING ACCOUNT #: LSR010838	GLOBAL OPPORTUNITIES A BUY 51 Units @78.1400	3,999.99	190.00	18.00	172.00	75.0	129.00
05/17/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	JPMORGAN CORE BOND CLASS I SELL 586 Units @11.1700	6,548.46	35.00	18.00	17.00	75.0	12.75
05/17/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	PIMCO INCOME FUND INSTITUTIONAL-NFS SELL 428 Units @11.9600	5,114.50	35.00	18.00	17.00	75.0	12.75
05/17/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	PRU SHORT TERM CORP BOND CL Z-NFS SELL 521 Units @10.7500	5,595.57	35.00	18.00	17.00	75.0	12.75
05/17/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	T ROWE PRICE SUMMIT MUNI INCOME SELL 1082 Units @11.6900	12,651.25	35.00	18.00	17.00	75.0	12.75
05/17/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	VANGUARD LIMITD TERM TAX EXEMPT ADM SELL 2991 Units @10.8000	32,306.96	35.00	18.00	17.00	75.0	12.75
* MUTUAL FUNDS SUBTOTAL *			\$101,800.85	\$425.07	\$162.00	\$263.07		\$188.30
<u>PRODUCT CATEGORY: STOCKS</u>								
05/15/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	BANK OF AMERICA CORP UNSOLICITED OR SELL 250 Units @31.1660	7,791.50	135.00	20.00	115.00	75.0	86.25
05/15/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	BANK OF AMERICA CORP UNSOLICITED OR SELL 250 Units @31.2300	7,807.50	135.00	20.00	115.00	75.0	86.25
05/15/2018	***-**-820I - HIGHSMITH, ACCOUNT #: LSR010653	BANK OF AMERICA CORP UNSOLICITED OR SELL 289 Units @31.1350	8,998.02	143.54	20.78	122.76	75.0	92.07
05/15/2018	***-**-820I - HIGHSMITH, ACCOUNT #: LSR010653	BANK OF AMERICA CORP UNSOLICITED OR SELL 13 Units @31.1310	404.70	6.46	0.26	6.20	75.0	4.65
05/15/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000313	CISCO SYSTEMS INC SELL 103 Units @45.2910	4,664.97	10.00	8.99	1.01	75.0	0.76



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
05/15/2018	***-**-837I - LEWIS, FBO V	FIFTH THIRD BANCORP	10,387.80	150.00	21.00	129.00	75.0	96.75
	ACCOUNT #: LSR010565	BUY 300 Units @34.6260						
05/15/2018	***-**-826I - CLARK, FBO MS	FIFTH THIRD BANCORP	8,643.75	150.00	20.00	130.00	75.0	97.50
	ACCOUNT #: LSR010588	BUY 250 Units @34.5750						
05/15/2018	***-**-574I - DAVIS, MISCHA	FIFTH THIRD BANCORP	10,372.50	150.00	21.00	129.00	75.0	96.75
	ACCOUNT #: LSR010630	BUY 300 Units @34.5750						
05/15/2018	***-**-820I - HIGHSMITH,	FIFTH THIRD BANCORP	10,353.00	150.00	21.00	129.00	75.0	96.75
	ACCOUNT #: LSR010653	BUY 300 Units @34.5100						
05/15/2018	***-**-74IN - DAVIS, MISCHA	MARATHON PETROLEUM CORP COM USD0.01	11,611.05	150.00	18.00	132.00	75.0	99.00
	ACCOUNT #: LSR010627	BUY 150 Units @77.4070						
05/15/2018	***-**-542O - BROWN, MARY	MARATHON PETROLEUM CORP COM USD0.01	15,450.00	200.00	19.00	181.00	75.0	135.75
	ACCOUNT #: LSR012530	BUY 200 Units @77.2500						
05/15/2018	***-**-574I - DAVIS, MISCHA	MCKESSON CORP COM	7,529.15	135.00	16.00	119.00	75.0	89.25
	ACCOUNT #: LSR010630	SELL 50 Units @150.5830						
05/16/2018	***-**-066I - STATES, FBO MR	MICROSOFT CORP UNSOLICITED ORDER EX	5,911.21	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000282	SELL 61 Units @96.9050						
05/14/2018	***-**-542O - BROWN, MARY	NEKTAR THERAPEUTICS	12,732.00	150.00	18.00	132.00	75.0	99.00
	ACCOUNT #: LSR012530	BUY 150 Units @84.8800						
05/15/2018	***-**-66IN - STATES, MR	UNDER ARMOUR INC COM STK USD0.00033	1,722.06	3.71	9.88	-6.17	100.0	-6.17
	ACCOUNT #: LSF000313	BUY 89 Units @19.3490						
05/15/2018	***-**-66IN - STATES, MR	UNDER ARMOUR INC COM STK USD0.00033	986.80	2.12	9.50	-7.38	100.0	-7.38
	ACCOUNT #: LSF000313	BUY 51 Units @19.3490						
05/15/2018	***-**-66IN - STATES, MR	UNDER ARMOUR INC COM STK USD0.00033	1,934.90	4.17	9.99	-5.82	100.0	-5.82
	ACCOUNT #: LSF000313	BUY 100 Units @19.3490						
05/16/2018	***-**-066I - STATES, FBO MR	UNDER ARMOUR INC COM STK USD0.00033	5,920.35	10.00	11.89	-1.89	100.0	-1.89
	ACCOUNT #: LSF000282	BUY 290 Units @20.4150						
05/15/2018	***-**-74IN - DAVIS, MISCHA	USG CORP COM NEW	12,444.00	150.00	21.00	129.00	75.0	96.75
	ACCOUNT #: LSR010627	SELL 300 Units @41.4800						
* STOCKS SUBTOTAL *			\$145,665.26	\$1,845.00	\$295.28	\$1,549.72		\$1,156.98



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: BONDS								
05/17/2018	***-**-1950 - CARTER, IRIS	FIDELITY ADVISOR MUNI INCOME FD CL	242.87	0.00	24.00	-24.00	100.0	-24.00
	ACCOUNT #: LSR012687	SELL 19 Units @12.6600						
05/17/2018	***-**-1950 - CARTER, IRIS	FIDELITY ADVISOR TOTAL BOND CL Z	75.61	0.00	24.00	-24.00	100.0	-24.00
	ACCOUNT #: LSR012687	SELL 7 Units @10.2600						
05/17/2018	***-**-1950 - CARTER, IRIS	FIDELITY CONSERVATIV INCOME BOND FD	10.18	0.00	24.00	-24.00	100.0	-24.00
	ACCOUNT #: LSR012687	SELL 1 Units @10.0400						
05/17/2018	***-**-1950 - CARTER, IRIS	FIDELITY CONSERVATIV INCOME MUNI BD	29.55	0.00	24.00	-24.00	100.0	-24.00
	ACCOUNT #: LSR012687	SELL 3 Units @10.0000						
* BONDS SUBTOTAL *			\$358.21	\$0.00	\$96.00	\$-96.00		\$-96.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/21/2018	***-**-5420 - BROWN, MARY	CLEARBRIDGE APPRECIATION CLASS A	0.00	1.43	0.00	1.43	75.0	1.07
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
05/21/2018	***-**-5420 - BROWN, MARY	COLUMBIA AMT-FREE AX-EXEMPT BOND FD	0.00	4.39	0.00	4.39	75.0	3.29
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
05/21/2018	***-**-5420 - BROWN, MARY	COLUMBIA AMT-FREE INTER MUNI BD A	0.00	1.90	0.00	1.90	75.0	1.43
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
05/21/2018	***-**-5420 - BROWN, MARY	COLUMBIA DISCIPLINED CORE A	0.00	4.50	0.00	4.50	75.0	3.38
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
05/21/2018	***-**-5420 - BROWN, MARY	COLUMBIA TAX EXEMPT FUND CLASS A	0.00	1.15	0.00	1.15	75.0	0.86
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
05/21/2018	***-**-5420 - BROWN, MARY	EATON VANCE VIRGINIA MUNI INCOME CL	0.00	0.65	0.00	0.65	75.0	0.49
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
05/21/2018	***-**-5420 - BROWN, MARY	EQUITY INCOME	0.00	3.84	0.00	3.84	75.0	2.88
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
05/21/2018	***-**-826I - CLARK, FBO MS	FUNDAMENTAL INVESTORS-A	0.00	4.66	0.00	4.66	75.0	3.50
	ACCOUNT #: LSR010588	BUY 1 Units @0.0000						
05/21/2018	***-**-58IN - LUTZ, SAMUEL	FUNDAMENTAL INVESTORS-A	0.00	2.71	0.00	2.71	75.0	2.03
	ACCOUNT #: LSR010594	BUY 1 Units @0.0000						
05/21/2018	***-**-384I - WALKER, EUGENE	GLOBAL OPPORTUNITIES A	0.00	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: LSR010626	BUY 1 Units @0.0000						
05/21/2018	***-**-58TR - HOUSING	GLOBAL OPPORTUNITIES A	0.00	2.56	0.00	2.56	75.0	1.92
	ACCOUNT #: LSR010838	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/21/2018	***-**-437K - SIGUE, JOCELYN	GLOBAL OPPORTUNITIES A	0.00	2.32	0.00	2.32	75.0	1.74
	ACCOUNT #: LSR012251	BUY 1 Units @0.0000						
05/21/2018	***-**-27IN - LYNCH, JAIR	GLOBAL OPPORTUNITIES A	0.00	7.50	0.00	7.50	75.0	5.63
	ACCOUNT #: LSR012262	BUY 1 Units @0.0000						
05/21/2018	***-**-437I - SIGUE, JOCELYN	NEW WORLD FUND-A	0.00	1.90	0.00	1.90	75.0	1.43
	ACCOUNT #: LSR011597	BUY 1 Units @0.0000						
05/21/2018	***-**-826I - CLARK, FBO MS	OPPENHEIMER GLOBAL VALUE FUND CL A	0.00	1.62	0.00	1.62	75.0	1.22
	ACCOUNT #: LSR010588	BUY 1 Units @0.0000						
05/21/2018	***-**-574I - DAVIS, MISCHA	OPPENHEIMER GLOBAL VALUE FUND CL A	0.00	1.64	0.00	1.64	75.0	1.23
	ACCOUNT #: LSR010630	BUY 1 Units @0.0000						
05/21/2018	***-**-542O - BROWN, MARY	PUTNAM EUROPE EQUITY FUND-A	0.00	1.17	0.00	1.17	75.0	0.88
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
05/21/2018	***-**-826I - CLARK, FBO MS	THE GROWTH FUND OF AMERICA-A	0.00	4.88	0.00	4.88	75.0	3.66
	ACCOUNT #: LSR010588	BUY 1 Units @0.0000						
05/21/2018	***-**-58IN - LUTZ, SAMUEL	THE GROWTH FUND OF AMERICA-A	0.00	0.55	0.00	0.55	75.0	0.41
	ACCOUNT #: LSR010594	BUY 1 Units @0.0000						
05/21/2018	***-**-74IN - DAVIS, MISCHA	THE GROWTH FUND OF AMERICA-A	0.00	0.46	0.00	0.46	75.0	0.35
	ACCOUNT #: LSR010627	BUY 1 Units @0.0000						
05/21/2018	***-**-542O - BROWN, MARY	THE GROWTH FUND OF AMERICA-A	0.00	2.29	0.00	2.29	75.0	1.72
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
05/21/2018	***-**-44TR - LYNCH TTEE,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	6.56	0.00	6.56	75.0	4.92
	ACCOUNT #: LSR010606	BUY 1 Units @0.0000						
05/21/2018	***-**-736J - HIGHSMITH SR,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.15	0.00	2.15	75.0	1.61
	ACCOUNT #: LSR010785	BUY 1 Units @0.0000						
05/21/2018	***-**-542O - BROWN, MARY	WELLS FARGO ULTRA SHRT TRM MUNI CL	0.00	0.41	0.00	0.41	75.0	0.31
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
05/21/2018	***-**-542O - BROWN, MARY	WESTERN ASSET CORE BOND FUND CL A	0.00	2.08	0.00	2.08	75.0	1.56
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$63.57	\$0.00	\$63.57		\$47.71
*** BROKER TRANSACTIONS TOTAL ***			\$247,824.32	\$2,333.64	\$553.28	\$1,780.36		\$1,296.99



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$1,296.99
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Net Commission Earned								\$1,296.99
Year-to-date Earnings								\$36,083.09