

**COMMISSION STATEMENT****December 15, 2017**DAVID KOTZ  
601 Mine Brook Rd

BROKER #: K135 Terminated 05/04/2017

BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/09/2017	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.59	0.00	1.59	0.0	0.00
11/09/2017	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	7.40	0.00	7.40	0.0	0.00
11/09/2017	KREISWIRTH, SUZANNE	SUNAMERICA US GOVT SECURITIES CL C	0.00	9.25	0.00	9.25	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$18.24	\$0.00	\$18.24		\$0.00
<b><u>PRODUCT CATEGORY: BONDS</u></b>								
11/27/2017	LOMONACO, ANDREA	***BNP PARIBAS	19,060.00	28.00	28.00	0.00	0.0	0.00
* BONDS SUBTOTAL *			\$19,060.00	\$28.00	\$28.00	\$0.00		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$19,060.00	\$46.24	\$28.00	\$18.24		\$0.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$5,637.95
Year-to-date Earnings	\$3,904.27

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed