

**COMMISSION STATEMENT****November 30, 2017****JAMES MAHER**

3082 Quail Ridge Circle

Rochester Hills, MI 48309-

BROKER #: M127

BRANCH: JAMES MAHER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: RIA								
10/31/2017	BROEREN, LISA	RBC	0.00	1,011.29	0.00	1,011.29	90.0	910.16
10/31/2017	BROEREN, LISA	RBC	0.00	20.26	0.00	20.26	90.0	18.23
10/31/2017	DONAIS, DONALD	RBC	0.00	180.23	0.00	180.23	90.0	162.21
10/31/2017	FINDLING, DARREN	RBC	0.00	76.57	0.00	76.57	90.0	68.91
10/31/2017	NUNEZ, LYNDA	RBC	0.00	76.55	0.00	76.55	90.0	68.90
* RIA SUBTOTAL *			\$0.00	\$1,364.90	\$0.00	\$1,364.90		\$1,228.41
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$1,364.90	\$0.00	\$1,364.90		\$1,228.41
ADJUSTMENTS								
11/30/2017		*MANAGED FEE EXPENSE: RBC QTRLY FEE	0.00					-25.00
11/30/2017		*MANAGED FEE EXPENSE: RBC QTRLY FEE	0.00					-25.00
11/30/2017		*MANAGED FEE EXPENSE: RBC QTRLY FEE	0.00					-25.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-75.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,228.41
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-75.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,153.41
Year-to-date Gross	\$5,774.07
Year-to-date Earnings	\$5,196.67