



All Companies

03/14/2018
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COMMISSION STATEMENT March 15, 2018

WILLIAM L. BROOKMAN
1840 Hurstview Drive

BROKER #: 9081
BRANCH: HOME OFFICE

HURST, TX 76054

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/07/2018	00074687854	MIZELL, NICOLE	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/07/2018	00074687854	MIZELL, NICOLE	THE INVESTMENT COMPANY OF AMERICA-5	B	50.00	0.50	0.00	0.50	90.0	0.45
02/26/2018	00082017680	LAVALLEY, WILLIAM	AMERICAN FUNDS CAPITAL WORLD GROWT	B	100.00	3.75	0.00	3.75	90.0	3.38
02/26/2018	00082017680	LAVALLEY, WILLIAM	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	3.75	0.00	3.75	90.0	3.38
03/01/2018	00082311284	SCHUMANN, EDGAR/REESE	CAPITAL INCOME BUILDER-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
03/07/2018	00083143340	MIZELL, NICOLE	THE GROWTH FUND OF AMERICA-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
03/01/2018	00084533081	SCHUMANN, EDGAR/KAI	CAPITAL INCOME BUILDER-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
03/07/2018	00085317312	MIZELL, NICOLE	THE GROWTH FUND OF AMERICA-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
03/01/2018	00086109209	SCHUMANN, EDGAR/JAYLA	AMERICAN MUTUAL FUND-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
02/26/2018	00089501407	LAVALLEY, PEGGY	AMERICAN FUNDS INCOME FD OF AMERI	B	200.00	7.50	0.00	7.50	90.0	6.75
* MUTUAL FUNDS SUBTOTAL *					\$925.00	\$20.25	\$0.00	\$20.25		\$18.25
PRODUCT CATEGORY: VARIABLE ANNUITIES										
02/02/2018	WILSON, JAMES M.	-HARTFORD LIFE		B	350.00	7.00	0.00	7.00	90.0	6.30
03/02/2018	WILSON, JAMES M.	-HARTFORD LIFE		B	350.00	7.00	0.00	7.00	90.0	6.30
02/28/2018	TRAILS	GENWORTH LIFE ANNUITY		B	101.18	101.18	0.00	101.18	90.0	91.06
02/26/2018	PORTER, A. BROOKE	GREAT WEST LIFE & ANNUITY		B	100.00	6.00	0.00	6.00	90.0	5.40
* VARIABLE ANNUITIES SUBTOTAL *					\$901.18	\$121.18	\$0.00	\$121.18		\$109.06
PRODUCT CATEGORY: RIA										
03/06/2018	PHILLIPS, JOHN & LYNN	SIGNAL SECURITIES RIA		B	0.00	400.00	0.00	400.00	90.0	360.00
* RIA SUBTOTAL *					\$0.00	\$400.00	\$0.00	\$400.00		\$360.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES			0.00	1,997.55	0.00	1,997.55	90.0	1,797.93
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,997.55	\$0.00	\$1,997.55		\$1,797.93
*** BROKER TRANSACTIONS TOTAL ***					\$1,826.18	\$2,538.98	\$0.00	\$2,538.98		\$2,285.24

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

03/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
03/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-7.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-107.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,285.24
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-107.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,177.74
Year-to-date Earnings	\$7,909.27