

**COMMISSION STATEMENT****July 15, 2018**TIMOTHY, S118 STAUFFER
333 Fiddlers CoveBROKER #: S118
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/29/2018	STUCKY, MIKE	GRIFFIN INSTITUTIONAL ACCESS REAL E	11,000.00	550.00	22.00	528.00	90.0	475.20
06/29/2018	STUCKY, MIKE	GRIFFIN INSTITUTIONAL ACCESS REAL E	4,950.00	247.50	22.00	225.50	90.0	202.95
* MUTUAL FUNDS SUBTOTAL *			\$15,950.00	\$797.50	\$44.00	\$753.50		\$678.15
<u>PRODUCT CATEGORY: STOCKS</u>								
06/28/2018	STUCKY, JULIA	GAMESTOP CORP NEW CLASS A	-1,465.38	28.00	22.50	5.50	90.0	4.95
* STOCKS SUBTOTAL *			\$-1,465.38	\$28.00	\$22.50	\$5.50		\$4.95
*** CLEARING TRANSACTIONS TOTAL ***			\$14,484.62	\$825.50	\$66.50	\$759.00		\$683.10
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
06/25/2018	PARKER, MICHAEL	HPI FUND VI LLC	100,000.00	6,000.00	0.00	6,000.00	90.0	5,400.00
* PRIVATE PLACEMENT SUBTOTAL *			\$100,000.00	\$6,000.00	\$0.00	\$6,000.00		\$5,400.00
<u>PRODUCT CATEGORY: REIT</u>								
06/11/2018	PARKER, DIANA	MOODY NATIONAL REIT II INC	8,200.00	492.00	0.00	492.00	90.0	442.80
* REIT SUBTOTAL *			\$8,200.00	\$492.00	\$0.00	\$492.00		\$442.80
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
06/21/2018	PARKER, MICHAEL	CF ARROW CANYON MULTIFAMILY DST	75,000.00	4,500.00	0.00	4,500.00	90.0	4,050.00
06/25/2018	PARKER, MICHAEL	PASSCO LONGITUDE 82 SARASOTA DST	75,000.00	4,500.00	0.00	4,500.00	90.0	4,050.00
* 1031 EXCHANGES SUBTOTAL *			\$150,000.00	\$9,000.00	\$0.00	\$9,000.00		\$8,100.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/02/2018	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
* MUTUAL FUNDS SUBTOTAL *			\$215.00	\$2.15	\$0.00	\$2.15		\$1.94

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
06/24/2018	REICHARD, THOMAS	ING ESII	54,192.04	67.74	0.00	67.74	90.0	60.97
		* VARIABLE ANNUITIES SUBTOTAL *	\$54,192.04	\$67.74	\$0.00	\$67.74		\$60.97
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/18/2018	ZEHR, BERTHA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.34	0.00	2.34	90.0	2.11
06/18/2018	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.34	0.00	2.34	90.0	2.11
06/18/2018	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.30	0.00	9.30	90.0	8.37
06/18/2018	BYERLEY, WAYNE & KATHLEE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.05	0.00	9.05	90.0	8.15
06/18/2018	ZAHM, CHRISTINE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.80	0.00	1.80	90.0	1.62
06/18/2018	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.41	0.00	1.41	90.0	1.27
06/18/2018	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	12.35	0.00	12.35	90.0	11.12
06/18/2018	SCHROEDER, JEFFERY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.05	0.00	2.05	90.0	1.85
06/18/2018	PIERSON III, CARL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.33	0.00	1.33	90.0	1.20
06/18/2018	PIERSON, JUDITH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.33	0.00	1.33	90.0	1.20
06/18/2018	MERVAR, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	7.54	0.00	7.54	90.0	6.79
/ /	ALLES, JENIFER	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.32	0.00	0.32	90.0	0.29
06/22/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	5.60	0.00	5.60	90.0	5.04
06/22/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	5.28	0.00	5.28	90.0	4.75
06/22/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	5.58	0.00	5.58	90.0	5.02
/ /	MILLER, SEAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.51	0.00	0.51	90.0	0.46
/ /	BRENN, BRIAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.07	0.00	0.07	90.0	0.06
/ /	MILLER, SEAN	RYDEX GUGG ENERGY C	0.00	1.29	0.00	1.29	90.0	1.16
/ /	KROUSE, ANDRE	RYDEX GUGG ENERGY C	0.00	0.97	0.00	0.97	90.0	0.87
/ /	BRENN, BRIAN	RYDEX GUGG ENERGY C	0.00	0.06	0.00	0.06	90.0	0.05
/ /	ALLES, JENIFER	RYDEX GUGG PRECIOUS METALS A	0.00	0.25	0.00	0.25	90.0	0.23
/ /	MILLER, SEAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.57	0.00	0.57	90.0	0.51
/ /	KROUSE, ANDRE	RYDEX GUGG PRECIOUS METALS C	0.00	0.77	0.00	0.77	90.0	0.69
/ /	BRENN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	0.00	0.06	90.0	0.05
06/15/2018	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.30	0.00	1.30	90.0	1.17
06/15/2018	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	2.38	0.00	2.38	90.0	2.14
06/15/2018	DINGER, WILLIAM	TRANSAMERICA TACTICAL INCOME C	0.00	8.17	0.00	8.17	90.0	7.35
06/15/2018	ZEHR, PAUL	TRANSAMERICA TACTICAL INCOME C	0.00	8.73	0.00	8.73	90.0	7.86
06/15/2018	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	8.68	0.00	8.68	90.0	7.81
06/15/2018	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	10.40	0.00	10.40	90.0	9.36

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/15/2018	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	6.86	0.00	6.86	90.0	6.17
06/15/2018	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.70	0.00	6.70	90.0	6.03
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$125.39	\$0.00	\$125.39		\$112.86
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	WILD, WILLIAM	JNL ELITE ACCESS	0.00	41.72	0.00	41.72	90.0	37.55
06/30/2018	NUTTLE, ALLEN	JNL ELITE ACCESS	0.00	5.81	0.00	5.81	90.0	5.23
06/30/2018	MOHR, AMY	JNL ELITE ACCESS	0.00	9.62	0.00	9.62	90.0	8.66
06/30/2018	LARKINS, TROY	JNL ELITE ACCESS	0.00	10.15	0.00	10.15	90.0	9.14
06/30/2018	ZEHR, PAUL	JNL ELITE ACCESS	0.00	17.36	0.00	17.36	90.0	15.62
06/30/2018	ZIMMERMAN, CATHERINE	JNL PERSPECTIVE	0.00	6.56	0.00	6.56	90.0	5.90
06/30/2018	COLEMAN, CHERYL	JNL PERSPECTIVE	0.00	7.93	0.00	7.93	90.0	7.14
06/30/2018	FLETCHER, MONA	JNL PERSPECTIVE II	0.00	11.60	0.00	11.60	90.0	10.44
06/30/2018	LEDERMAN, JOANNE	JNL PERSPECTIVE II	0.00	123.35	0.00	123.35	90.0	111.02
06/30/2018	WILD, CHRISTOPHER	JNL PERSPECTIVE II	0.00	6.04	0.00	6.04	90.0	5.44
06/30/2018	MIDDLETON, LUCINDA	JNL PERSPECTIVE II 04/14	0.00	34.09	0.00	34.09	90.0	30.68
06/30/2018	MIDDLETON, JAMES	JNL PERSPECTIVE II 04/14	0.00	32.95	0.00	32.95	90.0	29.66
06/30/2018	BERKSHIRE, LAURA	JNL PERSPECTIVE II 04/14	0.00	61.75	0.00	61.75	90.0	55.58
06/30/2018	HOLLE, DONELLE	JNL PERSPECTIVE II 04/14	0.00	32.53	0.00	32.53	90.0	29.28
06/30/2018	SMITH REV TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	0.00	260.14	0.00	260.14	90.0	234.13
06/30/2018	SMITH TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	0.00	93.28	0.00	93.28	90.0	83.95
06/30/2018	HALL, ROSEMARY	JNL PERSPECTIVE II 04/14	0.00	130.96	0.00	130.96	90.0	117.86
06/30/2018	MILLER, DANIEL	JNL PERSPECTIVE II 04/14	0.00	40.61	0.00	40.61	90.0	36.55
06/30/2018	BYERLEY, WAYNE	JNL PERSPECTIVE II 05/05	0.00	91.72	0.00	91.72	90.0	82.55
06/30/2018	ZAHM SR, RICHARD	JNL PERSPECTIVE II 05/05	0.00	59.30	0.00	59.30	90.0	53.37
06/30/2018	CHRIST, LINDA	JNL PERSPECTIVE II 05/05	0.00	58.37	0.00	58.37	90.0	52.53
06/30/2018	PARKER, DIANA	JNL PERSPECTIVE II 05/05	0.00	37.14	0.00	37.14	90.0	33.43
06/30/2018	PARKER, MICHAEL	JNL PERSPECTIVE II 05/05	0.00	41.07	0.00	41.07	90.0	36.96
06/30/2018	RAMIREZ, JOAQUIN	JNL PERSPECTIVE II 05/05	0.00	20.20	0.00	20.20	90.0	18.18
06/30/2018	GRABER, AMOS	JNL PERSPECTIVE II 05/05	0.00	19.14	0.00	19.14	90.0	17.23
06/30/2018	BLANCHARD, SUZETTE	JOHN HANCOCK VENTURE III	0.00	58.15	0.00	58.15	90.0	52.34
06/30/2018	SITCLER, PATRICIA	JOHN HANCOCK VENTURE III	0.00	90.81	0.00	90.81	90.0	81.73
06/30/2018	SHERRILL, TIMOTHY	JOHN HANCOCK VENTURE III	0.00	180.32	0.00	180.32	90.0	162.29
06/30/2018	SOBERALSKI, EDWARD	JOHN HANCOCK VENTURE III	0.00	167.91	0.00	167.91	90.0	151.12

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	LEDERMAN, JOANNE	NATIONWIDE BOA ACHIEVER 45	0.00	350.52	0.00	350.52	90.0	315.47
06/30/2018	HOUSER, MICHAEL	NATIONWIDE BOA ACHIEVER 45	0.00	118.01	0.00	118.01	90.0	106.21
06/30/2018	KIRKLAND, CINDY	NATIONWIDE BOA SUCCESSOR 33	0.00	20.93	0.00	20.93	90.0	18.84
06/30/2018	THIEME, HEIDI	NATIONWIDE DESTINATION C 01	0.00	66.67	0.00	66.67	90.0	60.00
06/30/2018	HITT, ERIN	NATIONWIDE DESTINATION C 01	0.00	23.06	0.00	23.06	90.0	20.75
06/30/2018	JACOBS, AMY	NATIONWIDE DESTINATION C 01	0.00	35.29	0.00	35.29	90.0	31.76
06/30/2018	HILER, BRITNEY	NATIONWIDE DESTINATION C 01	0.00	5.31	0.00	5.31	90.0	4.78
06/30/2018	CRANE, AMY	NATIONWIDE DESTINATION C 01	0.00	25.95	0.00	25.95	90.0	23.36
06/30/2018	MATHEWSON, KAYLA	NATIONWIDE DESTINATION C 01	0.00	17.78	0.00	17.78	90.0	16.00
06/30/2018	LEDERMAN, JOANNE	NATIONWIDE MARKETFLEX BB	0.00	25.02	0.00	25.02	90.0	22.52
06/30/2018	BOYLAN TRUST	NATIONWIDE MARKETFLEX BB	0.00	92.30	0.00	92.30	90.0	83.07
06/30/2018	RAMIREZ, HERLINDA GARCIA	NATIONWIDE MARKETFLEX BB	0.00	67.69	0.00	67.69	90.0	60.92
06/30/2018	DEHAVEN, ELLEN	NATIONWIDE MARKETFLEX BB	0.00	23.88	0.00	23.88	90.0	21.49
06/30/2018	NEELEY, JOHN	NATIONWIDE MARKETFLEX BB	0.00	29.56	0.00	29.56	90.0	26.60
06/30/2018	ZEHR, BERTHA	NW DESTINATION B2 05	0.00	127.53	0.00	127.53	90.0	114.78
06/30/2018	PARKER, MICHAEL	NW DESTINATION B2 05	0.00	158.77	0.00	158.77	90.0	142.89
06/30/2018	PARKER, DIANA	NW DESTINATION B2 05	0.00	109.78	0.00	109.78	90.0	98.80
06/30/2018	BURD, CHRISTINA	NWL SOLOIST 01	0.00	7.10	0.00	7.10	90.0	6.39
06/30/2018	SNYDER, PAIGE	NWL SOLOIST 01	0.00	11.86	0.00	11.86	90.0	10.67
06/30/2018	ROBROCK, KYLIA	NWL SOLOIST 01	0.00	3.07	0.00	3.07	90.0	2.76
06/30/2018	CIRCLE, MADISON	NWL SOLOIST 01	0.00	2.79	0.00	2.79	90.0	2.51
06/30/2018	RINEHOLD, BRANDI	NWL SOLOIST 01	0.00	9.82	0.00	9.82	90.0	8.84
06/30/2018	WILLS, LISA	NWL SOLOIST 01	0.00	10.56	0.00	10.56	90.0	9.50
06/30/2018	HEYNEMAN, ALEXA	NWL SOLOIST 01	0.00	5.90	0.00	5.90	90.0	5.31
06/30/2018	KELLEY, MEKENNA	NWL SOLOIST 01	0.00	2.13	0.00	2.13	90.0	1.92
06/30/2018	BROOKSHIRE, JEFFEREY	PRU AS LIFEVEST	0.00	4.56	0.00	4.56	90.0	4.10
06/30/2018	BROOKSHIRE, JEFFEREY	PRU AS LIFEVEST	0.00	13.69	0.00	13.69	90.0	12.32
06/28/2018	STAUFFER, PATTY	SBL ADVISORDESIGNS VA	0.00	15.89	0.00	15.89	90.0	14.30
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,136.00	\$0.00	\$3,136.00		\$2,822.42
*** DIRECT TRANSACTIONS TOTAL ***			\$312,607.04	\$18,823.28	\$0.00	\$18,823.28		\$16,940.99

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<u>ADJUSTMENTS</u>								
07/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
07/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
07/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
07/15/2018		*PERSHING: MANDATORY REORG DEPOIST PNW005544	0.00					-10.00
07/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-5.49
07/15/2018		*TECHNOLOGY: ADMIN - KAREN STAUFFER	0.00					-130.00
07/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-723.49

BROKER COMMISSION TOTALS

Broker Transactions	\$17,624.09
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-723.49
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$16,900.60
Year-to-date Gross	\$92,776.72
Year-to-date Earnings	\$82,769.49

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