



All Companies

06/28/2018

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COMMISSION STATEMENT

July 02, 2018

JAMES ROBERT PALMERSHEIM
601 S Main St. 202

BROKER #: 9530
BRANCH: HOME OFFICE

Grapevine, TX 76051

COMMISSION STATEMENT for JAMES ROBERT PALMERSHEIM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
04/13/2018 10848249	FANDLER, DEBORAH	SIGNAL SECURITIES RIA	B	0.00	28.34	0.00	28.34	90.0	25.51
04/13/2018 10918728	REL FORD, ROBERTA LYNN	SIGNAL SECURITIES RIA	B	0.00	197.34	0.00	197.34	90.0	177.61
04/13/2018 52045658	PERKINS- 401K, JOSEPH	SIGNAL SECURITIES RIA	B	0.00	474.34	0.00	474.34	90.0	426.91
RICHARD									
04/13/2018 52089632	PERKINS, J. RICHARD	SIGNAL SECURITIES RIA	B	0.00	180.00	0.00	180.00	90.0	162.00
04/13/2018 52130632	PERKINS, SHIRLEY A	SIGNAL SECURITIES RIA	B	0.00	11.34	0.00	11.34	90.0	10.21
04/13/2018 52147632	PERKINS, SHIRLEY A	SIGNAL SECURITIES RIA	B	0.00	28.66	0.00	28.66	90.0	25.79
04/13/2018 61056175	REL FORD, ROBERTA LYNN	SIGNAL SECURITIES RIA	B	0.00	11.34	0.00	11.34	90.0	10.21
04/13/2018 61400902	PERKINS, J. RICHARD	SIGNAL SECURITIES RIA	B	0.00	10.34	0.00	10.34	90.0	9.31
04/13/2018 80512276	PERKINS- 401K, JOSEPH	SIGNAL SECURITIES RIA	B	0.00	230.66	0.00	230.66	90.0	207.59
RICHARD									
04/13/2018 81083146	FANDLER, FRED	SIGNAL SECURITIES RIA	B	0.00	554.34	0.00	554.34	90.0	498.91
* RIA SUBTOTAL *				\$0.00	\$1,726.70	\$0.00	\$1,726.70		\$1,554.05
*** BROKER TRANSACTIONS TOTAL ***				\$0.00	\$1,726.70	\$0.00	\$1,726.70		\$1,554.05

SPLIT TRANSACTIONS

BROKER: PALMERSHEIM/SNOW #9613

06/19/2018	BUSH, ABE A. (JR.)	SIGNAL SECURITIES RIA	B	0.00	113.00	0.00	113.00	90.0	101.70
06/15/2018 00083950080	CUNNINGHAM, MARY	AMERICAN FUNDS EURO PACIFIC	GROWT B	175.00	5.25	0.00	5.25	90.0	4.73
04/13/2018 10556019	GILLILAND, JULIE	SIGNAL SECURITIES RIA	B	0.00	42.80	0.00	42.80	90.0	38.52
DEANN									
04/13/2018 10882572	BRUCE, JUDITH IRENE	SIGNAL SECURITIES RIA	B	0.00	132.60	0.00	132.60	90.0	119.34
04/13/2018 15447982	PEARSON, DIANNE H	SIGNAL SECURITIES RIA	B	0.00	166.20	0.00	166.20	90.0	149.58
04/13/2018 16695746	QUANBECK, REA DENISE	SIGNAL SECURITIES RIA	B	0.00	43.20	0.00	43.20	90.0	38.88
04/13/2018 18091956	BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	B	0.00	27.60	0.00	27.60	90.0	24.84
JEAN									
04/13/2018 20770029	BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	B	0.00	277.80	0.00	277.80	90.0	250.02
JEAN									
04/13/2018 20872135	SLAUGHTER, JUSTINE	SIGNAL SECURITIES RIA	B	0.00	87.60	0.00	87.60	90.0	78.84

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<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: PALMERSHEIM/SNOW #9613</u>									
MARIE									
04/13/2018	22163519 ABBOTT, JAMES ADDISON	SIGNAL SECURITIES RIA	B	0.00	20.80	0.00	20.80	90.0	18.72
04/13/2018	27933838 RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	28.80	0.00	28.80	90.0	25.92
04/13/2018	29192217 JOHNSON, TONYA	SIGNAL SECURITIES RIA	B	0.00	85.60	0.00	85.60	90.0	77.04
WAYNETTE									
04/13/2018	29329286 QUANBECK, GORDON	SIGNAL SECURITIES RIA	B	0.00	355.40	0.00	355.40	90.0	319.86
(HAL)									
04/13/2018	30561785 THOMAS, LARRY D	SIGNAL SECURITIES RIA	B	0.00	126.80	0.00	126.80	90.0	114.12
04/13/2018	31207916 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	112.20	0.00	112.20	90.0	100.98
04/13/2018	31528580 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	323.80	0.00	323.80	90.0	291.42
04/13/2018	31671856 THOMAS, POLLY S.	SIGNAL SECURITIES RIA	B	0.00	18.40	0.00	18.40	90.0	16.56
04/13/2018	33117987 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	19.40	0.00	19.40	90.0	17.46
04/13/2018	35080832 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	32.80	0.00	32.80	90.0	29.52
04/13/2018	35770153 RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	7.40	0.00	7.40	90.0	6.66
04/13/2018	39222483 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	155.80	0.00	155.80	90.0	140.22
04/13/2018	39816703 WARREN, GLORIA CLARK	SIGNAL SECURITIES RIA	B	0.00	108.20	0.00	108.20	90.0	97.38
06/18/2018	401K OPTIMIZER PUKIS, BARBARA	SIGNAL SECURITIES RIA	B	0.00	144.00	0.00	144.00	90.0	129.60
ANN									
04/13/2018	41540562 LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	12.80	0.00	12.80	90.0	11.52
04/13/2018	41540562 LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	224.60	0.00	224.60	90.0	202.14
04/13/2018	42458316 WARREN, WILLIAM&	SIGNAL SECURITIES RIA	B	0.00	63.20	0.00	63.20	90.0	56.88
GLORIA									
04/13/2018	49635286 BERNARD, DONNA GAY	SIGNAL SECURITIES RIA	B	0.00	25.80	0.00	25.80	90.0	23.22
PANCA									
04/13/2018	51260395 SUTHERLAND, MIKE DEAN	SIGNAL SECURITIES RIA	B	0.00	414.20	0.00	414.20	90.0	372.78
04/13/2018	51489395 FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	203.20	0.00	203.20	90.0	182.88
04/13/2018	52007712 CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	4.00	0.00	4.00	90.0	3.60
04/13/2018	56512595 BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	B	0.00	10.40	0.00	10.40	90.0	9.36
JEAN									
04/13/2018	58196619 STELLY, TIMOTHY &	SIGNAL SECURITIES RIA	B	0.00	1.40	0.00	1.40	90.0	1.26
HALEY									
04/13/2018	59968411 KINUNEN, LEZLIE ANNE	SIGNAL SECURITIES RIA	B	0.00	133.60	0.00	133.60	90.0	120.24
04/13/2018	62652031 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	155.60	0.00	155.60	90.0	140.04
04/13/2018	64024640 QUANBECK, GORDON	SIGNAL SECURITIES RIA	B	0.00	38.60	0.00	38.60	90.0	34.74
(HAL)									
04/13/2018	64679619 WARREN, WILLIAM&	SIGNAL SECURITIES RIA	B	0.00	114.80	0.00	114.80	90.0	103.32
GLORIA									
04/13/2018	67104851 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	183.00	0.00	183.00	90.0	164.70
04/13/2018	68428952 HORN, JEFFREY	SIGNAL SECURITIES RIA	B	0.00	350.60	0.00	350.60	90.0	315.54
04/13/2018	68892175 LAWRENCE, JERROLD B	SIGNAL SECURITIES RIA	B	0.00	265.80	0.00	265.80	90.0	239.22

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<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: PALMERSHEIM/SNOW #9613</u>									
04/13/2018	70196330 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	47.60	0.00	47.60	90.0	42.84
04/13/2018	71014745 ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	23.80	0.00	23.80	90.0	21.42
04/13/2018	72540730 BLALOCK III, WILLIAM	SIGNAL SECURITIES RIA	B	0.00	234.20	0.00	234.20	90.0	210.78
	J								
04/13/2018	73959390 VOIRIN FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	25.00	0.00	25.00	90.0	22.50
04/13/2018	74609933 REESE, PEGGY M	SIGNAL SECURITIES RIA	B	0.00	13.20	0.00	13.20	90.0	11.88
04/13/2018	74970598 MCKEEL, GWEN F	SIGNAL SECURITIES RIA	B	0.00	26.40	0.00	26.40	90.0	23.76
04/13/2018	75970772 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	31.00	0.00	31.00	90.0	27.90
04/13/2018	79512456 BRUCE, GRANVILLE	SIGNAL SECURITIES RIA	B	0.00	82.00	0.00	82.00	90.0	73.80
	WAYNE								
04/13/2018	81184800 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	286.80	0.00	286.80	90.0	258.12
04/13/2018	81184802 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	46.60	0.00	46.60	90.0	41.94
04/13/2018	81944763 RENDER,	SIGNAL SECURITIES RIA	B	0.00	224.40	0.00	224.40	90.0	201.96
	SYLVIA/RICHARD								
04/13/2018	82007581 KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	221.40	0.00	221.40	90.0	199.26
04/13/2018	85616643 STELLY, TIMOTHY &	SIGNAL SECURITIES RIA	B	0.00	29.40	0.00	29.40	90.0	26.46
	HALEY								
04/13/2018	87940275 HART, CHARLOTT/ROBERT	SIGNAL SECURITIES RIA	B	0.00	113.80	0.00	113.80	90.0	102.42
04/13/2018	89721188 HARRIS, SHARON K	SIGNAL SECURITIES RIA	B	0.00	0.80	0.00	0.80	90.0	0.72
04/13/2018	90768067 ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	159.60	0.00	159.60	90.0	143.64
04/13/2018	91118996 BRENNAN, JEAN S	SIGNAL SECURITIES RIA	B	0.00	11.20	0.00	11.20	90.0	10.08
04/13/2018	91789542 FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	45.00	0.00	45.00	90.0	40.50
04/13/2018	91886872 ABBOTT, NANCYE/JAMES	SIGNAL SECURITIES RIA	B	0.00	401.80	0.00	401.80	90.0	361.62
04/13/2018	95761721 KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
04/13/2018	96915588 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
04/13/2018	97397744 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	109.00	0.00	109.00	90.0	98.10
04/13/2018	99442454 CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	25.40	0.00	25.40	90.0	22.86
06/18/2018	FIDELITY 401K KERN, NINA M	SIGNAL SECURITIES RIA	B	0.00	177.20	0.00	177.20	90.0	159.48
	* PALMERSHEIM/SNOW SUBTOTAL *			\$175.00	\$6,991.65	\$0.00	\$6,991.65		\$6,292.49
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
04/13/2018	11999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	374.04	0.00	374.04	90.0	336.64
04/13/2018	13081653 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	24.84	0.00	24.84	90.0	22.36
04/13/2018	17017159 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	1.68	0.00	1.68	90.0	1.51
04/13/2018	24551097 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	96.84	0.00	96.84	90.0	87.16
04/13/2018	27613913 RAINES, GEORGE	SIGNAL SECURITIES RIA	B	0.00	108.60	0.00	108.60	90.0	97.74
	JEFFREY								
04/13/2018	29396124 COSTAS, PETER J &	SIGNAL SECURITIES RIA	B	0.00	24.12	0.00	24.12	90.0	21.71
	TAMMY M.								
04/13/2018	32611628 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	27.36	0.00	27.36	90.0	24.62

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
04/13/2018	34872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	312.96	0.00	312.96	90.0	281.66
04/13/2018	37351723 CONNERY, FRANK /E	SIGNAL SECURITIES RIA	B	0.00	312.24	0.00	312.24	90.0	281.02
	DIANNE								
04/13/2018	39099528 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	35.40	0.00	35.40	90.0	31.86
04/13/2018	52065317 EWALD, JENNIFER	SIGNAL SECURITIES RIA	B	0.00	39.72	0.00	39.72	90.0	35.75
	JUDITH								
04/13/2018	58050029 RAINES, JOEY	SIGNAL SECURITIES RIA	B	0.00	20.76	0.00	20.76	90.0	18.68
	SHANKLAND								
04/13/2018	68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	180.72	0.00	180.72	90.0	162.65
04/13/2018	84865050 SHANKLAND, SCOTT	SIGNAL SECURITIES RIA	B	0.00	84.12	0.00	84.12	90.0	75.71
	GARBER								
04/13/2018	86608828 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	25.08	0.00	25.08	90.0	22.57
04/13/2018	89069414 COSTAS, PETER J &	SIGNAL SECURITIES RIA	B	0.00	1.20	0.00	1.20	90.0	1.08
	TAMMY M.								
04/13/2018	90329067 RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	141.36	0.00	141.36	90.0	127.22
04/13/2018	94409837 RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	8.76	0.00	8.76	90.0	7.88
04/13/2018	98008502 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	15.48	0.00	15.48	90.0	13.93
04/13/2018	98257329 CONNERY, FRANK /E	SIGNAL SECURITIES RIA	B	0.00	327.24	0.00	327.24	90.0	294.52
	DIANNE								
04/13/2018	98569607 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	22.56	0.00	22.56	90.0	20.30
		* ERIC CHARLES GUTH SUBTOTAL *		\$0.00	\$2,185.08	\$0.00	\$2,185.08		\$1,966.57
		*** SPLIT TRANSACTIONS TOTAL ***		\$175.00	\$9,176.73	\$0.00	\$9,176.73		\$8,259.06

ADJUSTMENTS

07/02/2018	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
07/02/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,554.05
Split Transactions	\$8,259.06
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-106.17

Check Amount	\$9,579.44
Year-to-date Earnings	\$81,031.74