



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2018

08/14/2018
 Page No. 1

JON PAUL, C141 CIRELLI
 772 US Highway One
 Suite 200
 N. Palm Beach, FL 33408

BROKER #: C141
 BRANCH: FORTITUDE-JUNO BEACH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
07/13/2018	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	20.96	0.00	20.96	97.0	20.33
		* REIT SUBTOTAL *	\$0.00	\$20.96	\$0.00	\$20.96		\$20.33
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/30/2018	KURITZKY ARCHITECTUR, PASQUALEADP TRAILS		0.00	446.94	0.00	446.94	97.0	433.53
07/27/2018	DODGE, CHERYL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.63	0.00	5.63	97.0	5.46
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$452.57	\$0.00	\$452.57		\$438.99
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	ONE PARKING	JOHN HANCOCK LIFE INS CO	638,318.04	265.35	0.00	265.35	97.0	257.39
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$638,318.04	\$265.35	\$0.00	\$265.35		\$257.39
		*** DIRECT TRANSACTIONS TOTAL ***	\$638,318.04	\$738.88	\$0.00	\$738.88		\$716.71

SPLIT TRANSACTIONS

<u>BROKER: DAN/JON P/JEFF FT- 1AF NY RAUPP/CIR #251</u>								
07/11/2018	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.60	0.00	2.60	97.0	2.52
		* DAN/JON P/JEFF FT- 1AF NY RAUPP/CIR SUBTOTAL *	\$0.00	\$2.60	\$0.00	\$2.60		\$2.52
<u>BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254</u>								
07/31/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	259,079.78	16.16	0.00	16.16	97.0	15.68
07/16/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.04	0.00	0.04	97.0	0.04
07/16/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.09	0.00	0.09	97.0	0.09
07/19/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.26	0.00	0.26	97.0	0.25
07/19/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.24	0.00	0.24	97.0	0.23
07/19/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.26	0.00	1.26	97.0	1.22
07/19/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.12	0.00	1.12	97.0	1.09
07/19/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.09	0.00	0.09	97.0	0.09

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254</u>								
07/27/2018	PFLEGER, CLIFFORD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.06	0.00	0.06	97.0	0.06
07/13/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.15	0.00	0.15	97.0	0.15
07/13/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.68	0.00	0.68	97.0	0.66
* DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF SUBTOTAL *			\$259,079.78	\$20.15	\$0.00	\$20.15		\$19.56
<u>BROKER: DAN/JON PAUL FT- J1D NY RAUPP/CIR #255</u>								
06/30/2018	MORONEY'S RELIGIOUS	ADP TRAILS	0.00	22.93	0.00	22.93	97.0	22.24
07/24/2018	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.13	0.00	2.13	97.0	2.07
07/02/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.54	0.00	5.54	97.0	5.37
07/02/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.62	0.00	16.62	97.0	16.12
07/02/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.39	0.00	5.39	97.0	5.23
07/02/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.18	0.00	16.18	97.0	15.69
07/16/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.41	0.00	5.41	97.0	5.25
07/16/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.21	0.00	16.21	97.0	15.72
07/16/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.26	0.00	5.26	97.0	5.10
07/16/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.76	0.00	15.76	97.0	15.29
07/16/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.32	0.00	5.32	97.0	5.16
07/16/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.96	0.00	15.96	97.0	15.48
07/16/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.41	0.00	3.41	97.0	3.31
07/16/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.22	0.00	10.22	97.0	9.91
07/16/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.15	0.00	5.15	97.0	5.00
07/16/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.44	0.00	15.44	97.0	14.98
07/16/2018	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.22	0.00	2.22	97.0	2.15
07/19/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	9.12	0.00	9.12	97.0	8.85
07/19/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	10.20	0.00	10.20	97.0	9.89
07/19/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	6.89	0.00	6.89	97.0	6.68
07/27/2018	HLUDZINSKI, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.17	0.00	3.17	97.0	3.07
07/27/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.42	0.00	0.42	97.0	0.41
07/27/2018	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.83	0.00	8.83	97.0	8.57
07/27/2018	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.95	0.00	7.95	97.0	7.71
07/27/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.37	0.00	0.37	97.0	0.36
07/13/2018	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	69.09	0.00	69.09	97.0	67.02
07/25/2018	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	2.22	0.00	2.22	97.0	2.15
* DAN/JON PAUL FT- J1D NY RAUPP/CIR SUBTOTAL *			\$0.00	\$287.41	\$0.00	\$287.41		\$278.78

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/JON P/JEFF FT-G0B NY RAUPP/CIRE #256</u>								
07/13/2018	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	3.52	0.00	3.52	97.0	3.41
07/16/2018	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.39	0.00	0.39	97.0	0.38
07/27/2018	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.47	0.00	2.47	97.0	2.40
07/27/2018	DOLCE, CHARLES	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.33	0.00	1.33	97.0	1.29
07/27/2018	DOLCE, JENNIFER	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.89	0.00	0.89	97.0	0.86
07/27/2018	RYDER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.88	0.00	10.88	97.0	10.55
07/27/2018	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.01	0.00	1.01	97.0	0.98
07/27/2018	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.58	0.00	1.58	97.0	1.53
07/27/2018	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.45	0.00	0.45	97.0	0.44
07/27/2018	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.05	0.00	2.05	97.0	1.99
07/27/2018	JOHNSON, JAMES	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.88	0.00	0.88	97.0	0.85
07/27/2018	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.58	0.00	0.58	97.0	0.56
07/27/2018	LACASSE, RAYMOND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.92	0.00	0.92	97.0	0.89
07/27/2018	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.89	0.00	1.89	97.0	1.83
07/27/2018	STARK, LINDA	MAINSTAY MAP A	0.00	0.38	0.00	0.38	97.0	0.37
07/23/2018	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	2.05	0.00	2.05	97.0	1.99
07/17/2018	PAVIS, NANCY	WPC CORP PROP ASSOC 18 GBL INC CL C	0.00	22.48	0.00	22.48	97.0	21.81
* DAN/JON P/JEFF FT-G0B NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$53.75	\$0.00	\$53.75		\$52.13
<u>BROKER: JEFF/DAN/JON PAU FT-G0C NY KIESNO/R #257</u>								
07/17/2018	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.06	0.00	0.06	97.0	0.06
07/11/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.84	0.00	2.84	97.0	2.75
07/11/2018	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.29	0.00	0.29	97.0	0.28
07/11/2018	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.95	0.00	0.95	97.0	0.92
07/11/2018	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.32	0.00	1.32	97.0	1.28
07/19/2018	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.60	0.00	0.60	97.0	0.58
07/27/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.81	0.00	0.81	97.0	0.79
07/27/2018	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.79	0.00	1.79	97.0	1.74
07/27/2018	CAVEGN, JOSEPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.27	0.00	0.27	97.0	0.26
07/27/2018	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.10	0.00	0.10	97.0	0.10
07/27/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.14	0.00	1.14	97.0	1.11
07/27/2018	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.66	0.00	0.66	97.0	0.64
07/13/2018	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.94	0.00	1.94	97.0	1.88
07/13/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.58	0.00	0.58	97.0	0.56

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/DAN/JON PAU FT-G0C NY KIESNO/R #257</u>								
07/16/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	-0.58	0.00	-0.58	97.0	-0.56
07/23/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.58	0.00	0.58	97.0	0.56
07/13/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.58	0.00	0.58	97.0	0.56
07/16/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	-0.58	0.00	-0.58	97.0	-0.56
07/23/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.58	0.00	0.58	97.0	0.56
* JEFF/DAN/JON PAU FT-G0C NY KIESNO/R SUBTOTAL *			\$0.00	\$13.93	\$0.00	\$13.93		\$13.51
<u>BROKER: JON P/DAN/JEFF FT- G0D FL CIREL/RAU #258</u>								
06/30/2018	BARREDO, GLORIA	ADP TRAILS	0.00	21.72	0.00	21.72	97.0	21.07
* JON P/DAN/JEFF FT- G0D FL CIREL/RAU SUBTOTAL *			\$0.00	\$21.72	\$0.00	\$21.72		\$21.07
<u>BROKER: DAN/JON P/JEFF FT-G0E NY RAUPP/CIRE #259</u>								
07/11/2018	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.52	0.00	3.52	97.0	3.41
07/27/2018	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.73	0.00	0.73	97.0	0.71
* DAN/JON P/JEFF FT-G0E NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$4.25	\$0.00	\$4.25		\$4.12
<u>BROKER: DAN/JEFF/JON P/ FT-R134 NY RAUPP/KI #272</u>								
07/19/2018	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.23	0.00	0.23	97.0	0.22
* DAN/JEFF/JON P/ FT-R134 NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.23	\$0.00	\$0.23		\$0.22
<u>BROKER: JONP/DAN/J FT-PI24 FL JUNO CIRE #273</u>								
06/30/2018	GOVERNMENT MGMT SVCS	ADP TRAILS	0.00	2,222.09	0.00	2,222.09	97.0	2,155.43
07/17/2018	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.08	0.00	0.08	97.0	0.08
07/12/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.14	0.00	0.14	97.0	0.14
07/12/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	6.88	0.00	6.88	97.0	6.67
07/12/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.54	0.00	4.54	97.0	4.40
07/12/2018	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.29	0.00	3.29	97.0	3.19
07/12/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.50	0.00	0.50	97.0	0.49
07/12/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.51	0.00	0.51	97.0	0.49
07/02/2018	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.16	0.00	0.16	97.0	0.16
07/02/2018	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.27	0.00	1.27	97.0	1.23
07/02/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.16	0.00	5.16	97.0	5.01
07/02/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.46	0.00	15.46	97.0	15.00
07/02/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.50	0.00	4.50	97.0	4.37
07/02/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.51	0.00	13.51	97.0	13.10

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JONP/DAN/J FT-P124 FL JUNO CIRE #273</u>								
07/16/2018	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.23	0.00	1.23	97.0	1.19
07/16/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.02	0.00	5.02	97.0	4.87
07/16/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.07	0.00	15.07	97.0	14.62
07/16/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.39	0.00	4.39	97.0	4.26
07/16/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.17	0.00	13.17	97.0	12.77
08/10/2018	WASSERMAN TRUST, NINA	DROPBOX INC CL A COM	15,665.00	10.12	10.47	-0.35	97.0	-0.34
07/16/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.22	0.00	1.22	97.0	1.18
07/16/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.68	0.00	3.68	97.0	3.57
07/16/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.52	0.00	1.52	97.0	1.47
07/16/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.59	0.00	4.59	97.0	4.45
07/16/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.02	0.00	1.02	97.0	0.99
07/16/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.05	0.00	3.05	97.0	2.96
07/16/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.22	0.00	1.22	97.0	1.18
07/16/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.68	0.00	3.68	97.0	3.57
07/16/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.22	0.00	4.22	97.0	4.09
07/16/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	12.63	0.00	12.63	97.0	12.25
07/16/2018	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.09	0.00	2.09	97.0	2.03
07/16/2018	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.67	0.00	1.67	97.0	1.62
07/16/2018	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.40	0.00	1.40	97.0	1.36
07/16/2018	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.01	0.00	2.01	97.0	1.95
07/16/2018	JARDIN, DEAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.72	0.00	4.72	97.0	4.58
07/16/2018	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.22	0.00	1.22	97.0	1.18
07/16/2018	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.85	0.00	5.85	97.0	5.67
07/23/2018	GRISALES, CARMEN	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	0.98	0.00	0.98	97.0	0.95
07/23/2018	GRISALES, CARMEN	INVESCO EQUITY AND INCOME A	0.00	0.87	0.00	0.87	97.0	0.84
07/23/2018	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	0.00	0.29	0.00	0.29	97.0	0.28
07/23/2018	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	0.00	0.29	0.00	0.29	97.0	0.28
* JONP/DAN/J FT-P124 FL JUNO CIRE SUBTOTAL *			\$15,665.00	\$2,385.31	\$10.47	\$2,374.84		\$2,303.58
<u>BROKER: DAN/JON PAUL FT-R138 RAUPP/CIRELL #350</u>								
07/25/2018	93 MAIN STREET ASSOC	BLUEROCK AXIS WEST DST	200,000.00	3,000.00	0.00	3,000.00	97.0	2,910.00
07/31/2018	93 MAIN STREET ASSOC	BLUEROCK AXIS WEST DST	-200,000.00	-3,000.00	0.00	-3,000.00	97.0	-2,910.00
07/24/2018	93 MAIN STREET ASSOC	INLAND ZERO COUPON CHICAGOLAND DST	-72,875.52	-910.95	0.00	-910.95	97.0	-883.62
* DAN/JON PAUL FT-R138 RAUPP/CIRELL SUBTOTAL *			\$-72,875.52	\$-910.95	\$0.00	\$-910.95		\$-883.62

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K #381</u>								
08/09/2018	SLEEPER, KEVIN	INLAND HEALTHCARE HOSPITALITY DST	157,642.68	788.21	0.00	788.21	97.0	764.56
07/27/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.31	0.00	0.31	97.0	0.30
07/13/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.86	0.00	0.86	97.0	0.83
* DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K SUBTOTAL *			\$157,642.68	\$789.38	\$0.00	\$789.38		\$765.69
<u>BROKER: DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI #400</u>								
07/16/2018	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.82	0.00	0.82	97.0	0.80
07/16/2018	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.59	0.00	0.59	97.0	0.57
* DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI SUBTOTAL *			\$0.00	\$1.41	\$0.00	\$1.41		\$1.37
<u>BROKER: DAN/JEFF/JONPAU FT - R148 RAUP/KIES #446</u>								
08/09/2018	HURLEY, NANCY	AEI HEALTHCARE PORTFOLIO III DST	400,000.00	4,000.00	0.00	4,000.00	97.0	3,880.00
07/02/2018	HURLEY, NANCY	AEI NET LEASED PORTFOLIO IX DST	260,000.00	2,600.00	0.00	2,600.00	97.0	2,522.00
07/02/2018	HURLEY, NANCY	INLAND ARIZONA HEALTHCARE DST	400,000.00	4,000.00	0.00	4,000.00	97.0	3,880.00
08/09/2018	HURLEY, NANCY	INLAND FLORIDA MF PORTFOLIO II DST	140,000.00	1,680.00	0.00	1,680.00	97.0	1,629.60
08/09/2018	HURLEY, NANCY	INLAND HEALTHCARE HOSPITALITY DST	400,000.00	4,000.00	0.00	4,000.00	97.0	3,880.00
08/09/2018	HURLEY, NANCY	PASSCO LONGITUDE 82 SARASOTA DST	400,000.00	4,800.00	0.00	4,800.00	97.0	4,656.00
* DAN/JEFF/JONPAU FT - R148 RAUP/KIES SUBTOTAL *			\$2,000,000.00	\$21,080.00	\$0.00	\$21,080.00		\$20,447.60
<u>BROKER: S156-SMC/DAN/JON PAU SMC/RAUPP/CIRE #457</u>								
07/31/2018	93 MAIN STREET ASSOC	BLUEROCK AXIS WEST DST	200,000.00	1,500.00	0.00	1,500.00	97.0	1,455.00
08/10/2018	93 MAIN STREET ASSOC	INLAND HEALTHCARE HOSPITALITY DST	242,500.00	1,515.63	0.00	1,515.63	97.0	1,470.16
07/24/2018	93 MAIN STREET ASSOC	INLAND ZERO COUPON CHICAGOLAND DST	72,875.52	455.47	0.00	455.47	97.0	441.81
* S156-SMC/DAN/JON PAU SMC/RAUPP/CIRE SUBTOTAL *			\$515,375.52	\$3,471.10	\$0.00	\$3,471.10		\$3,366.97
*** SPLIT TRANSACTIONS TOTAL ***			\$2,874,887.46	\$27,220.29	\$10.47	\$27,209.82		\$26,393.50

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #10 </u>								
08/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	1,000.00	10.00	0.00	10.00	90.0	0.16
08/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	0.24
08/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	0.24
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	10.00	0.00	10.00	90.0	0.16
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	7.50	0.00	7.50	90.0	0.12
07/30/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
 * MARC, L134 LINSKY SUBTOTAL * 			\$1,950.00	\$61.25	\$0.00	\$61.25		\$0.98
<u> BROKER: MARC, L134 LINSKY #263 </u>								
07/17/2018	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.95	0.00	0.95	90.0	0.02
07/17/2018	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	15.44	0.00	15.44	90.0	0.25
07/12/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.77	0.00	4.77	90.0	0.08
07/17/2018	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	4.31	0.00	4.31	90.0	0.07
07/17/2018	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	7.14	0.00	7.14	90.0	0.11
07/17/2018	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.47	0.00	0.47	90.0	0.01
07/17/2018	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.51	0.00	1.51	90.0	0.02
07/17/2018	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.49	0.00	0.49	90.0	0.01
07/17/2018	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.49	0.00	0.49	90.0	0.01
07/17/2018	APPLEGATE, PAMAEALA	AM FUNDS AMERICAN BALANCED A	0.00	1.02	0.00	1.02	90.0	0.02
07/17/2018	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.96	0.00	0.96	90.0	0.02
07/17/2018	APPLEGATE, PAMAEALA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.79	0.00	0.79	90.0	0.01
07/17/2018	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.98	0.00	3.98	90.0	0.06
07/17/2018	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.17	0.00	3.17	90.0	0.05
07/17/2018	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.32	0.00	1.32	90.0	0.02
07/17/2018	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.75	0.00	0.75	90.0	0.01
07/17/2018	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.89	0.00	2.89	90.0	0.05
07/17/2018	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.34	0.00	13.34	90.0	0.21
07/17/2018	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.63	0.00	1.63	90.0	0.03
08/01/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	9.56	0.00	9.56	90.0	0.15
07/17/2018	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.01	0.00	1.01	90.0	0.02
07/17/2018	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.15	0.00	5.15	90.0	0.08
07/17/2018	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.71	0.00	1.71	90.0	0.03
07/17/2018	OLIVETTI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.05	0.00	7.05	90.0	0.11
07/17/2018	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.78	0.00	4.78	90.0	0.08

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
07/17/2018	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.02	0.00	2.02	90.0	0.03
07/17/2018	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.43	0.00	0.43	90.0	0.01
07/17/2018	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.07	0.00	1.07	90.0	0.02
07/17/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.29	0.00	2.29	90.0	0.04
07/17/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.73	0.00	0.73	90.0	0.01
07/17/2018	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.73	0.00	0.73	90.0	0.01
07/17/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.19	0.00	1.19	90.0	0.02
07/17/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.03	0.00	3.03	90.0	0.05
07/17/2018	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.98	0.00	2.98	90.0	0.05
07/17/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.11	0.00	6.11	90.0	0.10
07/17/2018	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.21	0.00	6.21	90.0	0.10
07/17/2018	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.58	0.00	1.58	90.0	0.03
07/17/2018	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	9.45	0.00	9.45	90.0	0.15
07/17/2018	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	1.02	0.00	1.02	90.0	0.02
07/17/2018	LINSKY, MARC	AM FUNDS ICA A	0.00	2.53	0.00	2.53	90.0	0.04
07/17/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.60	0.00	0.60	90.0	0.01
07/17/2018	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.60	0.00	0.60	90.0	0.01
07/17/2018	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.01
07/17/2018	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.01
07/17/2018	APPLEGATE, PAMAELE	AM FUNDS ICA A	0.00	1.06	0.00	1.06	90.0	0.02
07/17/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.98	0.00	0.98	90.0	0.02
07/17/2018	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.66	0.00	5.66	90.0	0.09
07/17/2018	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	13.27	0.00	13.27	90.0	0.21
07/17/2018	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	5.15	0.00	5.15	90.0	0.08
07/17/2018	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.93	0.00	4.93	90.0	0.08
07/17/2018	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.11	0.00	3.11	90.0	0.05
07/17/2018	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.82	0.00	0.82	90.0	0.01
07/17/2018	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.82	0.00	0.82	90.0	0.01
07/17/2018	APPLEGATE, PAMAELE	AM FUNDS NEW PERSPECTIVE A	0.00	1.16	0.00	1.16	90.0	0.02
07/17/2018	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.69	0.00	1.69	90.0	0.03
07/17/2018	OLIVETTI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.08	0.00	6.08	90.0	0.10
07/17/2018	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.03	0.00	1.03	90.0	0.02
07/17/2018	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.53	0.00	1.53	90.0	0.02

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<u> BROKER: MARC, L134 LINSKY #263 </u>								
07/17/2018	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.55	0.00	2.55	90.0	0.04
07/17/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.01
07/17/2018	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.01
07/17/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.98	0.00	0.98	90.0	0.02
07/12/2018	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	2.30	0.00	2.30	90.0	0.04
07/31/2018	SPECTER, LINDSAY	APPLE INC COM	-95,851.70	22.00	22.75	-0.75	90.0	-0.01
07/24/2018	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	18.56	0.00	18.56	90.0	0.30
07/16/2018	PETTI, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.09	0.00	5.09	90.0	0.08
07/16/2018	PETTI, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.28	0.00	15.28	90.0	0.24
07/19/2018	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.61	0.00	1.61	90.0	0.03
07/19/2018	DUBIN, JON	CALAMOS GROWTH A	0.00	5.06	0.00	5.06	90.0	0.08
07/16/2018	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.23	0.00	1.23	90.0	0.02
07/16/2018	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	16.69	0.00	16.69	90.0	0.27
07/16/2018	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	8.54	0.00	8.54	90.0	0.14
07/16/2018	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	3.26	0.00	3.26	90.0	0.05
07/16/2018	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.09	0.00	1.09	90.0	0.02
07/16/2018	MEDIA ENTERPRISES	COLUMBIA LARGE CAP GROWTH A	0.00	0.37	0.00	0.37	90.0	0.01
07/16/2018	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	1.03	0.00	1.03	90.0	0.02
07/16/2018	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.47	0.00	2.47	90.0	0.04
07/16/2018	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.14	0.00	1.14	90.0	0.02
07/16/2018	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	13.04	0.00	13.04	90.0	0.21
07/16/2018	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.35	0.00	2.35	90.0	0.04
07/16/2018	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.44	0.00	0.44	90.0	0.01
07/16/2018	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.59	0.00	0.59	90.0	0.01
07/16/2018	BOWERS, DORA	DEUTSCHE GLOBAL RE	0.00	0.88	0.00	0.88	90.0	0.01
07/12/2018	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.50	0.00	3.50	90.0	0.06
07/12/2018	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	61.27	0.00	61.27	90.0	0.98
07/16/2018	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.76	0.00	0.76	90.0	0.01
07/19/2018	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.06	0.00	1.06	90.0	0.02
07/19/2018	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.42	0.00	0.42	90.0	0.01
07/16/2018	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.80	0.00	7.80	90.0	0.12
07/16/2018	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.68	0.00	8.68	90.0	0.14
07/16/2018	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.47	0.00	1.47	90.0	0.02

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC, L134 LINSKY #263</u>								
07/16/2018	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	5.91	0.00	5.91	90.0	0.09
07/16/2018	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.24	0.00	2.24	90.0	0.04
07/23/2018	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	15.07	0.00	15.07	90.0	0.24
07/23/2018	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.78	0.00	2.78	90.0	0.04
07/23/2018	HASTINGS TRUST, OSCAR	FRANKLIN INCOME A	0.00	1.11	0.00	1.11	90.0	0.02
07/23/2018	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.17	0.00	5.17	90.0	0.08
07/23/2018	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.76	0.00	0.76	90.0	0.01
07/23/2018	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.57	0.00	0.57	90.0	0.01
07/23/2018	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.80	0.00	2.80	90.0	0.04
07/24/2018	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	17.76	0.00	17.76	90.0	0.28
07/24/2018	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.69	0.00	0.69	90.0	0.01
07/16/2018	PRATOLA, PRESTON	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.54	0.00	13.54	90.0	0.22
07/16/2018	PRATOLA, PRESTON	GRIFFIN INST'L ACCESS R E FUND C	0.00	40.61	0.00	40.61	90.0	0.65
07/16/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.14	0.00	3.14	90.0	0.05
07/16/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.42	0.00	9.42	90.0	0.15
07/16/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.86	0.00	4.86	90.0	0.08
07/16/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.59	0.00	14.59	90.0	0.23
07/16/2018	HASTINGS TRUST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.62	0.00	7.62	90.0	0.12
07/16/2018	HASTINGS TRUST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	22.87	0.00	22.87	90.0	0.37
07/16/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.49	0.00	10.49	90.0	0.17
07/16/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.48	0.00	31.48	90.0	0.50
07/16/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.33	0.00	2.33	90.0	0.04
07/16/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.99	0.00	6.99	90.0	0.11
07/16/2018	FENN, RONALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.79	0.00	13.79	90.0	0.22
07/16/2018	FENN, RONALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	41.38	0.00	41.38	90.0	0.66
07/16/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.87	0.00	14.87	90.0	0.24
07/16/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	44.60	0.00	44.60	90.0	0.71
07/16/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.30	0.00	6.30	90.0	0.10
07/16/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	18.89	0.00	18.89	90.0	0.30
07/16/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.05	0.00	45.05	90.0	0.72
07/16/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	135.15	0.00	135.15	90.0	2.16
07/16/2018	CAMARDO, DONNA	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.59	0.00	4.59	90.0	0.07
07/16/2018	CAMARDO, DONNA	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.77	0.00	13.77	90.0	0.22

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
07/16/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.45	0.00	1.45	90.0	0.02
07/16/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.35	0.00	4.35	90.0	0.07
07/16/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.36	0.00	11.36	90.0	0.18
07/16/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	34.09	0.00	34.09	90.0	0.55
07/16/2018	TOBIAS, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.89	0.00	2.89	90.0	0.05
07/16/2018	TOBIAS, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.68	0.00	8.68	90.0	0.14
07/16/2018	SPECTER, SHELBY	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.07	0.00	2.07	90.0	0.03
07/16/2018	SPECTER, SHELBY	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.22	0.00	6.22	90.0	0.10
07/16/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	20.99	0.00	20.99	90.0	0.34
07/16/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	62.97	0.00	62.97	90.0	1.01
07/16/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.41	0.00	7.41	90.0	0.12
07/16/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	22.24	0.00	22.24	90.0	0.36
07/16/2018	CACCESE, LOUIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.15	0.00	4.15	90.0	0.07
07/16/2018	CACCESE, LOUIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	12.45	0.00	12.45	90.0	0.20
07/16/2018	MCLEAN, WAYNE	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.78	0.00	3.78	90.0	0.06
07/16/2018	MCLEAN, WAYNE	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.35	0.00	11.35	90.0	0.18
07/16/2018	PETTI, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.04	0.00	5.04	90.0	0.08
07/16/2018	PETTI, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.12	0.00	15.12	90.0	0.24
07/16/2018	FARBMAN TRUST, DANIEL & SANDRAGR	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.29	0.00	10.29	90.0	0.16
07/16/2018	FARBMAN TRUST, DANIEL & SANDRAGR	GRIFFIN INST'L ACCESS R E FUND C	0.00	30.87	0.00	30.87	90.0	0.49
07/16/2018	BACHMAN, ALLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.91	0.00	4.91	90.0	0.08
07/16/2018	BACHMAN, ALLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.72	0.00	14.72	90.0	0.24
07/16/2018	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.56	0.00	10.56	90.0	0.17
07/16/2018	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.67	0.00	31.67	90.0	0.51
07/16/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.39	0.00	4.39	90.0	0.07
07/16/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.41	0.00	6.41	90.0	0.10
07/16/2018	PERETTI, FRANCES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.19	0.00	4.19	90.0	0.07
07/16/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.22	0.00	5.22	90.0	0.08
07/09/2018	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	13.06	0.00	13.06	90.0	0.21
07/09/2018	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.44	0.00	4.44	90.0	0.07
07/20/2018	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.41	0.00	12.41	90.0	0.20
07/20/2018	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.22	0.00	4.22	90.0	0.07
07/17/2018	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	4.09	0.00	4.09	90.0	0.07

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
07/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	92.80	0.00	92.80	90.0	1.48
07/17/2018	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	44.64	0.00	44.64	90.0	0.71
07/17/2018	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	41.00	0.00	41.00	90.0	0.66
07/17/2018	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	487.74	0.00	487.74	90.0	7.80
07/17/2018	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	12.27	0.00	12.27	90.0	0.20
07/17/2018	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.99	0.00	7.99	90.0	0.13
07/17/2018	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	8.52	0.00	8.52	90.0	0.14
07/17/2018	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	36.09	0.00	36.09	90.0	0.58
07/17/2018	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	33.69	0.00	33.69	90.0	0.54
07/17/2018	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	12.27	0.00	12.27	90.0	0.20
07/17/2018	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	25.81	0.00	25.81	90.0	0.41
07/17/2018	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	11.55	0.00	11.55	90.0	0.18
07/17/2018	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.86	0.00	2.86	90.0	0.05
07/17/2018	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.86	0.00	2.86	90.0	0.05
07/17/2018	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.30	0.00	4.30	90.0	0.07
07/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	76.88	0.00	76.88	90.0	1.23
07/17/2018	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	47.94	0.00	47.94	90.0	0.77
07/17/2018	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	22.06	0.00	22.06	90.0	0.35
07/17/2018	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	15.16	0.00	15.16	90.0	0.24
07/17/2018	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	22.07	0.00	22.07	90.0	0.35
07/17/2018	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	23.15	0.00	23.15	90.0	0.37
07/17/2018	WILSON, KEVIN	HARTFORD DIVIDEND & GROWTH C	0.00	4.64	0.00	4.64	90.0	0.07
07/17/2018	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	45.48	0.00	45.48	90.0	0.73
07/17/2018	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	20.36	0.00	20.36	90.0	0.33
07/17/2018	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	10.71	0.00	10.71	90.0	0.17
07/17/2018	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.10	0.00	4.10	90.0	0.07
07/21/2018	MCFADDEN, JOAN	ING LANDMARK	83,481.93	208.70	0.00	208.70	90.0	3.34
07/23/2018	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	141.02	0.00	141.02	90.0	2.26
07/23/2018	CHERRY, THEO	INVESCO AMERICAN FRANCHISE A	0.00	4.52	0.00	4.52	90.0	0.07
07/23/2018	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	296.95	0.00	296.95	90.0	4.75
07/23/2018	FARBMAN, ERIC	INVESCO AMERICAN FRANCHISE A	0.00	10.75	0.00	10.75	90.0	0.17
07/23/2018	WALENCZYK, CHIRSTOPHER	INVESCO AMERICAN FRANCHISE A	0.00	0.56	0.00	0.56	90.0	0.01
07/23/2018	SIEMANOWSKI, JOHN	INVESCO CHARTER FUND A	0.00	60.08	0.00	60.08	90.0	0.96

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07/23/2018	GUERRIERI, ANTHONY	INVESCO COMSTOCK A	0.00	1.65	0.00	1.65	90.0	0.03
07/23/2018	FARBMAN, ERIC	INVESCO HIGH YIELD FUND A	0.00	13.21	0.00	13.21	90.0	0.21
07/23/2018	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	12.94	0.00	12.94	90.0	0.21
07/23/2018	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	40.63	0.00	40.63	90.0	0.65
07/23/2018	WALENCZYK, BRENDA	INVESCO MIDCAP CORE EQUITY A	0.00	0.53	0.00	0.53	90.0	0.01
07/23/2018	WALENCZYK, CHIRSTOPHER	INVESCO MIDCAP CORE EQUITY A	0.00	1.07	0.00	1.07	90.0	0.02
07/23/2018	GOLDBERGER, ANNA	INVESCO SENIOR LOAN FUND IB	0.00	9.38	0.00	9.38	90.0	0.15
07/23/2018	BOWERS, DORA	INVESCO SMALL CAP DISCOVERY A	0.00	0.43	0.00	0.43	90.0	0.01
07/23/2018	FARBMAN, ERIC	INVESCO STRUCTURED CORE A	0.00	17.24	0.00	17.24	90.0	0.28
07/23/2018	WALENCZYK, BRENDA	INVESCO VALUE OPP A	0.00	0.44	0.00	0.44	90.0	0.01
07/23/2018	WALENCZYK, CHIRSTOPHER	INVESCO VALUE OPP A	0.00	0.86	0.00	0.86	90.0	0.01
07/16/2018	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.15	0.00	1.15	90.0	0.02
08/06/2018	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.34
07/31/2018	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	0.00	233.16	0.00	233.16	90.0	3.73
07/31/2018	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	0.00	248.25	0.00	248.25	90.0	3.97
07/31/2018	NANI, JOSEPH	JNL PERSPECTIVE L	0.00	346.90	0.00	346.90	90.0	5.55
07/31/2018	FELDNER, BARBARA	JNL PERSPECTIVE L	0.00	407.34	0.00	407.34	90.0	6.52
07/31/2018	STERR, CHRISTOPHER	JNL PERSPECTIVE L	0.00	95.25	0.00	95.25	90.0	1.52
07/31/2018	OTT, CAROL	JOHN HANCOCK VENTURE	0.00	2.91	0.00	2.91	90.0	0.05
07/31/2018	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	0.00	167.69	0.00	167.69	90.0	2.68
07/19/2018	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.65	0.00	0.65	90.0	0.01
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	3.00	0.00	3.00	90.0	0.05
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.10	0.00	2.10	90.0	0.03
07/23/2018	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.79	0.00	2.79	90.0	0.04
07/23/2018	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	7.74	0.00	7.74	90.0	0.12
07/23/2018	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	1.91	0.00	1.91	90.0	0.03
07/23/2018	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	117.36	0.00	117.36	90.0	1.88
07/23/2018	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	120.24	0.00	120.24	90.0	1.92
07/23/2018	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	19.35	0.00	19.35	90.0	0.31
07/23/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	30.45	0.00	30.45	90.0	0.49
07/23/2018	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.91	0.00	0.91	90.0	0.01
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	7.32	0.00	7.32	90.0	0.12
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.65	0.00	1.65	90.0	0.03

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07/23/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	8.34	0.00	8.34	90.0	0.13
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.80	0.00	1.80	90.0	0.03
07/23/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.58	0.00	0.58	90.0	0.01
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.44	0.00	1.44	90.0	0.02
07/23/2018	APPLEBAUM, RHEA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.43	0.00	3.43	90.0	0.05
07/23/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.72	0.00	5.72	90.0	0.09
07/23/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.97	0.00	5.97	90.0	0.10
07/23/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	14.01	0.00	14.01	90.0	0.22
07/23/2018	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	2.55	0.00	2.55	90.0	0.04
07/23/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	10.52	0.00	10.52	90.0	0.17
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.83	0.00	3.83	90.0	0.06
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	1.00	0.00	1.00	90.0	0.02
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	4.10	0.00	4.10	90.0	0.07
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.88	0.00	0.88	90.0	0.01
07/23/2018	APPLEBAUM, RHEA	LORD ABBETT SHORT DURATION INCOME A	0.00	2.05	0.00	2.05	90.0	0.03
07/23/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.04	0.00	20.04	90.0	0.32
07/23/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.68	0.00	1.68	90.0	0.03
07/23/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.71	0.00	2.71	90.0	0.04
07/23/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.07	0.00	2.07	90.0	0.03
07/23/2018	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	10.74	0.00	10.74	90.0	0.17
07/23/2018	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	97.23	0.00	97.23	90.0	1.56
07/23/2018	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	10.86	0.00	10.86	90.0	0.17
07/23/2018	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	3.92	0.00	3.92	90.0	0.06
07/23/2018	BROSIOUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.30	0.00	6.30	90.0	0.10
07/23/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	9.04	0.00	9.04	90.0	0.14
07/23/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.58	0.00	4.58	90.0	0.07
07/23/2018	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	12.78	0.00	12.78	90.0	0.20
07/27/2018	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.29	0.00	10.29	90.0	0.16
07/27/2018	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.96	0.00	2.96	90.0	0.05
07/27/2018	FARBMAN TRUST, DANIEL & SANDRAMAINSTAY CUSHING ROYALTY ENERGY INC		0.00	2.06	0.00	2.06	90.0	0.03
07/27/2018	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.49	0.00	0.49	90.0	0.01
07/27/2018	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.21	0.00	1.21	90.0	0.02
07/27/2018	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.83	0.00	0.83	90.0	0.01

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
07/19/2018	KROSNOWSKI, THOMAS	MFS CORE EQUITY A	0.00	1.76	0.00	1.76	90.0	0.03
07/19/2018	KROSNOWSKI, THOMAS	MFS EMERGING MARKETS EQUITY A	0.00	4.10	0.00	4.10	90.0	0.07
07/19/2018	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	7.38	0.00	7.38	90.0	0.12
07/19/2018	SPECTER TRUST, STEPHANIE	MFS GROWTH A	0.00	4.50	0.00	4.50	90.0	0.07
07/19/2018	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.70	0.00	0.70	90.0	0.01
07/19/2018	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND CLASS	0.00	4.00	0.00	4.00	90.0	0.06
07/19/2018	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS A	0.00	2.42	0.00	2.42	90.0	0.04
07/19/2018	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS A	0.00	5.80	0.00	5.80	90.0	0.09
07/19/2018	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH A	0.00	2.35	0.00	2.35	90.0	0.04
07/19/2018	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH A	0.00	5.55	0.00	5.55	90.0	0.09
07/19/2018	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH A	0.00	1.61	0.00	1.61	90.0	0.03
07/19/2018	KROSNOWSKI, THOMAS	MFS RESEARCH A	0.00	1.58	0.00	1.58	90.0	0.03
07/19/2018	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL A	0.00	6.92	0.00	6.92	90.0	0.11
07/19/2018	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND A	0.00	3.49	0.00	3.49	90.0	0.06
07/19/2018	SPECTER TRUST, BRADLEY	MFS VALUE A	0.00	2.33	0.00	2.33	90.0	0.04
07/19/2018	SPECTER TRUST, STEPHANIE	MFS VALUE A	0.00	3.63	0.00	3.63	90.0	0.06
08/06/2018	PRATOLA, PRESTON	NUSTAR ENERGY L P COM UNITS REPSTG	6,646.83	300.00	22.50	277.50	90.0	4.44
07/25/2018	SCARPIGNATO, ANTHONY	NUVEEN HIGH YIELD MUNI A	0.00	3.12	0.00	3.12	90.0	0.05
07/23/2018	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.44	0.00	1.44	90.0	0.02
07/23/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.23	0.00	1.23	90.0	0.02
07/23/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.39	0.00	0.39	90.0	0.01
07/23/2018	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.63	0.00	0.63	90.0	0.01
07/23/2018	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.47	0.00	2.47	90.0	0.04
07/23/2018	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.19	0.00	3.19	90.0	0.05
07/23/2018	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.74	0.00	1.74	90.0	0.03
07/23/2018	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.57	0.00	2.57	90.0	0.04
07/20/2018	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	255.03	0.00	255.03	90.0	4.08
07/27/2018	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	153.68	0.00	153.68	90.0	2.46
07/23/2018	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	15.22	0.00	15.22	90.0	0.24
07/23/2018	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	3.36	0.00	3.36	90.0	0.05
07/23/2018	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	10.80	0.00	10.80	90.0	0.17
07/23/2018	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.62	0.00	1.62	90.0	0.03
07/23/2018	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.35	0.00	0.35	90.0	0.01

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC, L134 LINSKY #263</u>								
07/23/2018	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.04	0.00	11.04	90.0	0.18
07/23/2018	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.50	0.00	0.50	90.0	0.01
07/31/2018	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	7.47	0.00	7.47	90.0	0.12
07/13/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	10.90	0.00	10.90	90.0	0.17
07/16/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	-10.90	0.00	-10.90	90.0	-0.17
07/23/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	10.90	0.00	10.90	90.0	0.17
07/13/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	22.41	0.00	22.41	90.0	0.36
07/13/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	10.62	0.00	10.62	90.0	0.17
07/13/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	18.57	0.00	18.57	90.0	0.30
07/16/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	-18.57	0.00	-18.57	90.0	-0.30
07/23/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	18.57	0.00	18.57	90.0	0.30
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	8.64	0.00	8.64	90.0	0.14
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	1.59	0.00	1.59	90.0	0.03
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.79	0.00	0.79	90.0	0.01
* MARC, L134 LINSKY SUBTOTAL *			\$-5,197.94	\$6,308.24	\$45.25	\$6,262.99		\$100.28
<u>BROKER: ANDREW (ANDREAS)Z119 ZIGOURAS #282</u>								
08/03/2018	MOSHOURIS, KALLIOPI	BIODELIVERY SCIENCES INTL INC COM	6,336.00	281.88	25.60	256.28	90.0	8.46
* ANDREW (ANDREAS)Z119 ZIGOURAS SUBTOTAL *			\$6,336.00	\$281.88	\$25.60	\$256.28		\$8.46
<u>BROKER: DANIEL, C142 CHARLEY #338</u>								
07/31/2018	PREMIER IMMEDIATE ME	VOYA RETIREMENT & ANNUITY	10,993.00	57.08	0.00	57.08	90.0	0.91
* DANIEL, C142 CHARLEY SUBTOTAL *			\$10,993.00	\$57.08	\$0.00	\$57.08		\$0.91
*** OVERRIDE TRANSACTIONS TOTAL ***			\$14,081.06	\$6,708.45	\$70.85	\$6,637.60		\$110.63

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<u>ADJUSTMENTS</u>								
08/15/2018		*AI INSIGHT: AI INSIGHT - PECHA P128	0.00					-1.40
08/15/2018		*AI INSIGHT: AI INSIGHT - T THOMPSON GOD	0.00					-4.20
08/15/2018		*EO INS: CURRENT MONTH CIERELLI	0.00					-300.00
08/15/2018		*EO INS: CURRENT MONTH INS MILBERY P124	0.00					-138.00
08/15/2018		*EO INS: CURRENT MONTH PECHA P128	0.00					-60.00
08/15/2018		*EO INS: CURRENT MONTH-T THOMPSON GOD	0.00					-180.00
08/15/2018		*EO INS: E&O WEATHERBIE GOD	0.00					-180.00
08/15/2018		*FEDERAL EXPRESS: 6-247-81587 7-18-18	0.00					7.49
08/15/2018		*FINRA: C. BENNETT CRD REG APP CHARGED TWICE GOD	0.00					60.00
08/15/2018		*FINRA: C. BENNETT FIRM ELEMENT CHARGED TWICE GOD	0.00					75.00
08/15/2018		*FINRA: C. BENNETT PROCESSING FEE CHARGED TWICE GOD	0.00					33.00
08/15/2018		*FINRA: C. BENNETT S7 EXAM CHARGED TWICE GOD	0.00					183.00
08/15/2018		*FINRA: S65 EXAM	0.00					-175.00
08/15/2018		*LASER APP: LASER APP - PECHA P128	0.00					-3.60
08/15/2018		*MARKETING LIBRARY: MARKETING LIBRARY PRO	0.00					-45.00
08/15/2018		*MISC: C FULMER, A LEEDS	0.00					-540.00
08/15/2018		*MISC: MISC SARAH, FT L, 1031DST	0.00					-205.00
08/15/2018		*MISC: PNW089068 OUTGOING TRANSFER FEE	0.00					-126.00
08/15/2018		*MISC: PNW089076 OUTGOING TRANSFER FEE	0.00					-95.00
08/15/2018		*MISC: PNW089084 OUTGOING TRANSFER FEE	0.00					-95.00
08/15/2018		*MISC: PNW089092 OUTGOING TRANSFER FEE	0.00					-95.00

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<u>ADJUSTMENTS</u>								
08/15/2018		*MISC: ROY AFLAC 1031DST	0.00					-1,126.00
08/15/2018		*MISC: S BURNS FROM K141	0.00					150.00
08/15/2018		*MISC: S BURNS FROM R132	0.00					150.00
08/15/2018		*MISC: S BURNS, T THOMPSON, SCOTT FROM K141	0.00					465.00
08/15/2018		*MISC: S BURNS, T THOMPSON, SCOTT FROM R132	0.00					465.00
08/15/2018		*MISC: T THOMPSON FROM K141	0.00					375.00
08/15/2018		*MISC: T THOMPSON FROM R132	0.00					375.00
08/15/2018		*PERSHING: DOMESTIC ADDRESS VERIFICATION	0.00					-0.99
08/15/2018		*PERSHING: DOMESTIC ADDRESS VERIFICATION	0.00					-0.75
08/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.23
08/15/2018		*PERSHING: PERSHING MARKUP	0.00					13.80
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.98
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.30
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-9.93
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-3.78
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.19
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-3.56
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.31
08/15/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH OVERRIDES PAYABLE TO RAUPP FROM CIRELLI FROM SPLI	0.00					-243.38
08/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO CIRELLI FROM CHARELY FROM SPLITS	0.00					6.36
08/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO CIRELLI FROM CHARLEY	0.00					0.97
08/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO CIRELLI FROM LINSKY	0.00					98.60
08/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO CIRELLI FROM LINSKY FROM SPLITS	0.00					7.29

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<u>ADJUSTMENTS</u>								
08/15/2018		*TECH: ADMIN FULMER G0B	0.00					-20.00
08/15/2018		*TECH: ADMIN-AMY LEEDS 1AF	0.00					-42.90
08/15/2018		*TECH: BASIC - PECHA P128	0.00					-36.00
08/15/2018		*TECH: CURRENT MONTH TECH MILBERY P124	0.00					-126.50
08/15/2018		*TECHNOLOGY: ADMIN - C. BENNETT TECH G0D	0.00					-39.00
08/15/2018		*TECHNOLOGY: ADMIN - S. FRICKER G0D	0.00					-60.00
08/15/2018		*TECHNOLOGY: ADMIN - SARAH BURNS G0D	0.00					-60.00
08/15/2018		*TECHNOLOGY: BASIC - T THOMPSON G0D	0.00					-108.00
08/15/2018		*TECHNOLOGY: CURRENT MONTH CIRELLI	0.00					-275.00
08/15/2018		*TECHNOLOGY: MONTHLY - WEATHERBIE G0D	0.00					-165.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-2,103.49

BROKER COMMISSION TOTALS

Broker Transactions	\$716.71
Split Transactions	\$26,393.50
Override Transactions	\$110.63
Adjustments	\$-2,103.49
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,440.48
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$23,676.87
Year-to-date Gross	\$464,388.06
Year-to-date Earnings	\$445,285.05

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