

All Companies
STATEMENT
May 20, 2018

CHASE - TERMINATED KOESTER
2813 West Island Loop SE

BROKER #: 299
BRANCH: LA BRANCH

Rio Rancho, NM 87124-

COMMISSION STATEMENT for CHASE - TERMINATED KOESTER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | POSTAGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|---------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|---------|-------------------|------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | | |
|-----------------------------------|------------|-----------------------------------|---|-----------------|---------------|---------------|---------------|---------------|------|---------------|
| 04/17/2018 #00063539726 | MONTOYADAV | WASHINGTON MUTUAL INVS FD CLASS A | B | 247.50 | 4.95 | 0.00 | 0.00 | 4.95 | 85.0 | 4.21 |
| 04/17/2018 #00063539726 | MONTOYADAV | WASHINGTON MUTUAL INVS FD CLASS A | B | 247.50 | 4.95 | 0.00 | 0.00 | 4.95 | 85.0 | 4.21 |
| * MUTUAL FUNDS SUBTOTAL * | | | | <u>\$495.00</u> | <u>\$9.90</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$9.90</u> | | <u>\$8.42</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | | <u>\$495.00</u> | <u>\$9.90</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$9.90</u> | | <u>\$8.42</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$8.42 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------|---------------|
| Check Amount | \$8.42 |
|---------------------|---------------|