



Concorde Investment Services, LLC

04/27/2017
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COMMISSION STATEMENT

April 30, 2017

DAVID ZUPEK
6226 Bankers Rd.
Ste. 4
Racine, WI 53403

BROKER #: Z116
BRANCH: TADA WEALTH ADVISORS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: NON-TRADED BDC</u>								
04/14/2017	RUGH, BARBARA	FS INVESTMENT CORP IV CLASS T	0.00	25.62	0.00	25.62	90.0	23.06
* NON-TRADED BDC SUBTOTAL *			\$0.00	\$25.62	\$0.00	\$25.62		\$23.06
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/17/2017	MARTIN, CHARMAINE	JNL PERSPECTIVE II 05/05	50.00	3.75	0.00	3.75	90.0	3.38
04/17/2017	HUDEC, JOANNE	SECURITY LIFE OF DENVER VUL-DB	300.00	7.50	0.00	7.50	90.0	6.75
* VARIABLE ANNUITIES SUBTOTAL *			\$350.00	\$11.25	\$0.00	\$11.25		\$10.13
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/16/2017	BRUNNER, KENNETH	ING GOLDEN SELECT DVA PLUS	0.00	135.39	0.00	135.39	90.0	121.85
04/07/2017	FALBO, LEWIS	ING LANDMARK	0.00	430.50	0.00	430.50	90.0	387.45
04/07/2017	FALBO, LEWIS	ING LANDMARK	0.00	387.51	0.00	387.51	90.0	348.76
04/07/2017	FALBO, LEWIS	ING LANDMARK	0.00	462.09	0.00	462.09	90.0	415.88
04/10/2017	HARTMAN, EDWARD	ING LANDMARK	0.00	207.37	0.00	207.37	90.0	186.63
04/18/2017	BOLAND, TERRENCE	ING PREMIUM PLUS	0.00	110.78	0.00	110.78	90.0	99.70
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,733.64	\$0.00	\$1,733.64		\$1,560.27
*** BROKER TRANSACTIONS TOTAL ***			\$350.00	\$1,770.51	\$0.00	\$1,770.51		\$1,593.46

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
04/06/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	11.89	0.04	0.00	0.04	90.0	0.04
04/06/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	52.50	0.19	0.00	0.19	90.0	0.17
04/10/2017	DABROWSKI, PAUL	MFS BLENDED RESEARCH CORE FD B	114.50	1.60	0.00	1.60	90.0	1.44
04/10/2017	GUTT, MARTIN	MFS BLENDED RESEARCH CORE FD B	100.00	1.40	0.00	1.40	90.0	1.26
04/10/2017	WALCZYK, ANNA	MFS BLENDED RESEARCH CORE FD B	30.00	0.42	0.00	0.42	90.0	0.38
04/10/2017	WALCZYK, ANNA	MFS BLENDED RESEARCH CORE FD B	250.00	3.50	0.00	3.50	90.0	3.15
04/10/2017	WALCZYK, MAREK	MFS BLENDED RESEARCH CORE FD B	54.00	0.76	0.00	0.76	90.0	0.68
04/10/2017	WALCZYK, MAREK	MFS BLENDED RESEARCH CORE FD B	250.00	3.50	0.00	3.50	90.0	3.15
04/17/2017	SIENKIEWICZ, TOMASZ	MFS BLENDED RESEARCH CORE FD B	25.00	0.35	0.00	0.35	90.0	0.32
04/10/2017	DABROWSKI, PAUL	MFS BOND FUND B	114.50	1.60	0.00	1.60	90.0	1.44
04/10/2017	DABROWSKI, AGNIESZKA	MFS DIVERSIFIED INCOME FUND C	135.00	0.47	0.00	0.47	90.0	0.42
04/10/2017	WALCZYK, ANNA	MFS GLOBAL REAL ESTATE FUND B	30.00	0.42	0.00	0.42	90.0	0.38
04/10/2017	WALCZYK, ANNA	MFS GLOBAL REAL ESTATE FUND B	250.00	3.50	0.00	3.50	90.0	3.15
04/10/2017	WALCZYK, MAREK	MFS GLOBAL REAL ESTATE FUND B	54.00	0.76	0.00	0.76	90.0	0.68
04/10/2017	WALCZYK, MAREK	MFS GLOBAL REAL ESTATE FUND B	250.00	3.50	0.00	3.50	90.0	3.15
04/10/2017	TULEWICZ, KRYSZYNA	MFS GLOBAL REAL ESTATE FUND B	7.88	0.11	0.00	0.11	90.0	0.10
04/10/2017	TULEWICZ, KRYSZYNA	MFS GLOBAL REAL ESTATE FUND B	13.13	0.19	0.00	0.19	90.0	0.17
04/06/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	58.40	0.82	0.00	0.82	90.0	0.74
04/06/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	389.30	5.45	0.00	5.45	90.0	4.91
04/05/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION 529C	200.00	0.70	0.00	0.70	90.0	0.63
04/06/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	31.33	0.44	0.00	0.44	90.0	0.40
04/06/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	52.22	0.73	0.00	0.73	90.0	0.66
04/06/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	58.40	0.82	0.00	0.82	90.0	0.74
04/06/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	389.30	5.45	0.00	5.45	90.0	4.91
04/10/2017	DABROWSKI, PAUL	MFS GROWTH ALLOCATION B	114.50	1.60	0.00	1.60	90.0	1.44
04/10/2017	WALCZYK, ANNA	MFS GROWTH ALLOCATION B	30.00	0.42	0.00	0.42	90.0	0.38
04/10/2017	WALCZYK, ANNA	MFS GROWTH ALLOCATION B	250.00	3.50	0.00	3.50	90.0	3.15
04/10/2017	WALCZYK, MAREK	MFS GROWTH ALLOCATION B	54.00	0.76	0.00	0.76	90.0	0.68
04/10/2017	WALCZYK, MAREK	MFS GROWTH ALLOCATION B	250.00	3.50	0.00	3.50	90.0	3.15
04/10/2017	TULEWICZ, KRYSZYNA	MFS GROWTH ALLOCATION B	7.88	0.11	0.00	0.11	90.0	0.10
04/10/2017	TULEWICZ, KRYSZYNA	MFS GROWTH ALLOCATION B	13.11	0.18	0.00	0.18	90.0	0.16
04/17/2017	PROTTO, CAMILLA	MFS GROWTH B	75.00	1.05	0.00	1.05	90.0	0.95
04/06/2017	WIKAR, HALINA	MFS GROWTH C	52.50	0.19	0.00	0.19	90.0	0.17

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
04/06/2017	WIKAR, HALINA	MFS GROWTH C	11.89	0.04	0.00	0.04	90.0	0.04
04/06/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	31.33	0.44	0.00	0.44	90.0	0.40
04/06/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	52.20	0.73	0.00	0.73	90.0	0.66
04/06/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	71.30	0.25	0.00	0.25	90.0	0.23
04/06/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	315.00	1.10	0.00	1.10	90.0	0.99
04/10/2017	DABROWSKI, AGNIESZKA	MFS MODERATE ALLOCATION C	135.00	0.47	0.00	0.47	90.0	0.42
04/06/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	105.00	0.37	0.00	0.37	90.0	0.33
04/06/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	23.77	0.08	0.00	0.08	90.0	0.07
04/06/2017	WIKAR, SYLVIA	MFS UTILITIES B	31.33	0.44	0.00	0.44	90.0	0.40
04/06/2017	WIKAR, SYLVIA	MFS UTILITIES B	52.22	0.73	0.00	0.73	90.0	0.66
04/10/2017	DABROWSKI, PAUL	MFS UTILITIES B	114.50	1.60	0.00	1.60	90.0	1.44
04/10/2017	GUTT, MARTIN	MFS UTILITIES B	100.00	1.40	0.00	1.40	90.0	1.26
04/10/2017	WALCZYK, ANNA	MFS UTILITIES B	30.00	0.42	0.00	0.42	90.0	0.38
04/10/2017	WALCZYK, ANNA	MFS UTILITIES B	250.00	3.50	0.00	3.50	90.0	3.15
04/10/2017	WALCZYK, MAREK	MFS UTILITIES B	54.00	0.76	0.00	0.76	90.0	0.68
04/10/2017	WALCZYK, MAREK	MFS UTILITIES B	250.00	3.50	0.00	3.50	90.0	3.15
04/10/2017	TULEWICZ, KRYSZYNA	MFS UTILITIES B	7.86	0.11	0.00	0.11	90.0	0.10
04/10/2017	TULEWICZ, KRYSZYNA	MFS UTILITIES B	13.13	0.19	0.00	0.19	90.0	0.17
04/17/2017	STELMASIAK, BERENIKA	MFS UTILITIES B	275.79	3.86	0.00	3.86	90.0	3.47
04/17/2017	SIENKIEWICZ, TOMASZ	MFS UTILITIES B	25.00	0.35	0.00	0.35	90.0	0.32
04/17/2017	SZWEDO, MALGORZATA	MFS UTILITIES B	50.24	0.70	0.00	0.70	90.0	0.63
04/17/2017	DANEK MD SEP PLAN, BEATA	MFS UTILITIES B	59.36	0.83	0.00	0.83	90.0	0.75
04/10/2017	DABROWSKI, AGNIESZKA	MFS UTILITIES C	270.00	0.95	0.00	0.95	90.0	0.86
04/06/2017	WIKAR, SYLVIA	MFS VALUE B	31.33	0.44	0.00	0.44	90.0	0.40
04/06/2017	WIKAR, SYLVIA	MFS VALUE B	52.22	0.73	0.00	0.73	90.0	0.66
04/06/2017	SZAJEWSKI, REGINA	MFS VALUE B	60.16	0.84	0.00	0.84	90.0	0.76
04/06/2017	SZAJEWSKI, REGINA	MFS VALUE B	401.10	5.61	0.00	5.61	90.0	5.05
04/10/2017	WALCZYK, ANNA	MFS VALUE B	30.00	0.42	0.00	0.42	90.0	0.38
04/10/2017	WALCZYK, ANNA	MFS VALUE B	250.00	3.50	0.00	3.50	90.0	3.15
04/10/2017	WALCZYK, MAREK	MFS VALUE B	54.00	0.76	0.00	0.76	90.0	0.68
04/10/2017	WALCZYK, MAREK	MFS VALUE B	250.00	3.50	0.00	3.50	90.0	3.15
04/10/2017	TULEWICZ, KRYSZYNA	MFS VALUE B	7.88	0.11	0.00	0.11	90.0	0.10
04/10/2017	TULEWICZ, KRYSZYNA	MFS VALUE B	13.13	0.19	0.00	0.19	90.0	0.17

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
04/17/2017	PROTTO, CAMILLA	MFS VALUE B	75.00	1.05	0.00	1.05	90.0	0.95
* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *			\$7,322.08	\$88.00	\$0.00	\$88.00		\$79.26
*** SPLIT TRANSACTIONS TOTAL ***			\$7,322.08	\$88.00	\$0.00	\$88.00		\$79.26
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: MARK HUBER #89</u>								
04/10/2017	COTE JT TEN, CAROL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.68	0.00	13.68	0.0	12.31
* MARK HUBER SUBTOTAL *			\$0.00	\$13.68	\$0.00	\$13.68		\$12.31
<u>BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90</u>								
/ /	HALL, MICHAEL	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	18.07	0.00	18.07	0.0	16.26
/ /	HALL, MICHAEL	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	20.41	0.00	20.41	0.0	18.37
04/10/2017	CIPPARRONE, NANCY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.25	0.00	4.25	0.0	3.83
04/10/2017	NARDINI TRUST, RONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.17	0.00	5.17	0.0	4.65
04/10/2017	NARDINI TRUST, BARBARA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.17	0.00	5.17	0.0	4.65
04/10/2017	NARDINI, BARBARA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	19.53	0.00	19.53	0.0	17.58
04/10/2017	NARDINI, RONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	20.90	0.00	20.90	0.0	18.81
03/31/2017	GRANZYK, KATHLEEN	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	73.97	0.00	73.97	0.0	66.57
04/06/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	237.70	4.16	0.00	4.16	0.0	3.74
04/06/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	1,100.00	19.25	0.00	19.25	0.0	17.33
* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *			\$1,337.70	\$190.88	\$0.00	\$190.88		\$171.79
<u>BROKER: DAVE/MIKE ZUPEK/VENTURINI #129</u>								
04/17/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	0.0	2.25
04/17/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	0.0	2.25
04/17/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	0.0	0.15
04/17/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	0.0	0.15
04/17/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	0.0	0.15
* DAVE/MIKE ZUPEK/VENTURINI SUBTOTAL *			\$225.00	\$5.51	\$0.00	\$5.51		\$4.95
<u>BROKER: HALINA WIKAR #171</u>								
04/06/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	99.74	1.00	0.00	1.00	55.0	0.35
04/06/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	332.48	3.32	0.00	3.32	55.0	1.16
04/06/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	59.87	0.60	0.00	0.60	55.0	0.21

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: HALINA WIKAR #171</u>								
04/06/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	99.78	1.00	0.00	1.00	55.0	0.35
04/11/2017	BECKER, KARINA	MFS BLENDED RESEARCH CORE C	2,166.67	21.67	0.00	21.67	55.0	7.58
04/17/2017	MADRZYK, PIOTR	MFS BLENDED RESEARCH CORE C	1,000.00	10.00	0.00	10.00	55.0	3.50
04/17/2017	JUSCINSKA, AGNIESZKA	MFS CONSERVATIVE ALLOCATION B	100.00	4.00	0.00	4.00	55.0	1.40
04/12/2017	BOSOWSKI, JANUSZ	MFS CORE EQUITY A	500.00	20.00	0.00	20.00	55.0	7.00
04/12/2017	BOSOWSKI, EWA	MFS CORE EQUITY C	600.00	6.00	0.00	6.00	55.0	2.10
04/12/2017	BOSOWSKI, JANUSZ	MFS CORE EQUITY C	500.00	5.00	0.00	5.00	55.0	1.75
04/06/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	59.87	0.60	0.00	0.60	55.0	0.21
04/06/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	99.78	1.00	0.00	1.00	55.0	0.35
04/06/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	99.74	1.00	0.00	1.00	55.0	0.35
04/06/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	332.48	3.32	0.00	3.32	55.0	1.16
04/06/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	105.00	1.05	0.00	1.05	55.0	0.37
04/06/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	23.77	0.24	0.00	0.24	55.0	0.08
04/06/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.07
04/11/2017	BECKER, KARINA	MFS DIVERSIFIED INCOME FUND C	2,166.67	21.67	0.00	21.67	55.0	7.58
04/13/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.07
04/17/2017	MADRZYK, PIOTR	MFS DIVERSIFIED INCOME FUND C	500.00	5.00	0.00	5.00	55.0	1.75
04/06/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	74.81	0.75	0.00	0.75	55.0	0.26
04/06/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	249.36	2.49	0.00	2.49	55.0	0.87
04/10/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.11
04/17/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.11
04/11/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	20.00	0.20	0.00	0.20	55.0	0.07
04/10/2017	MURZANSKI, ANDRZEJ	MFS GROWTH ALLOCATION C	1,250.00	12.50	0.00	12.50	55.0	4.38
04/10/2017	MURZANSKI, EWA	MFS GROWTH ALLOCATION C	1,250.00	12.50	0.00	12.50	55.0	4.38
04/12/2017	BOSOWSKI, JANUSZ	MFS GROWTH ALLOCATION C	500.00	5.00	0.00	5.00	55.0	1.75
04/12/2017	BOSOWSKI, EWA	MFS GROWTH ALLOCATION C	600.00	6.00	0.00	6.00	55.0	2.10
04/17/2017	MADRZYK, PIOTR	MFS GROWTH ALLOCATION C	1,000.00	10.00	0.00	10.00	55.0	3.50
04/10/2017	TARCZYNSKI, LESTER	MFS GROWTH C	3,000.00	30.00	0.00	30.00	55.0	10.50
04/17/2017	MADRZYK, PIOTR	MFS GROWTH C	1,000.00	10.00	0.00	10.00	55.0	3.50
04/10/2017	MURZANSKI, ANDRZEJ	MFS INTERNATIONAL DIVERSIFICATION C	1,250.00	12.50	0.00	12.50	55.0	4.38
04/10/2017	MURZANSKI, EWA	MFS INTERNATIONAL DIVERSIFICATION C	1,250.00	12.50	0.00	12.50	55.0	4.38
04/17/2017	MADRZYK, PIOTR	MFS INTERNATIONAL DIVERSIFICATION C	1,000.00	10.00	0.00	10.00	55.0	3.50
04/06/2017	THOMAS, SYLVIA	MFS INTERNATIONAL NEW DISCOVERY C	47.89	0.48	0.00	0.48	55.0	0.17

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: HALINA WIKAR #171</u>								
04/12/2017	BOSOWSKI, JANUSZ	MFS MASS INVESTORS GROWTH STOCK C	500.00	5.00	0.00	5.00	55.0	1.75
04/12/2017	BOSOWSKI, EWA	MFS MASS INVESTORS GROWTH STOCK C	600.00	6.00	0.00	6.00	55.0	2.10
04/12/2017	BOSOWSKI, JANUSZ	MFS MASSACHUSETTS INVESTORS TRUST C	500.00	5.00	0.00	5.00	55.0	1.75
04/12/2017	BOSOWSKI, EWA	MFS MASSACHUSETTS INVESTORS TRUST C	600.00	6.00	0.00	6.00	55.0	2.10
04/17/2017	JUSCINSKA, AGNIESZKA	MFS MODERATE ALLOCATION B	200.00	8.00	0.00	8.00	55.0	2.80
04/12/2017	BOSOWSKI, JANUSZ	MFS MODERATE ALLOCATION C	500.00	5.00	0.00	5.00	55.0	1.75
04/12/2017	BOSOWSKI, EWA	MFS MODERATE ALLOCATION C	600.00	6.00	0.00	6.00	55.0	2.10
04/12/2017	BOSOWSKI, JANUSZ	MFS NEW DISCOVERY A	500.00	20.00	0.00	20.00	55.0	7.00
04/12/2017	BOSOWSKI, EWA	MFS NEW DISCOVERY C	600.00	6.00	0.00	6.00	55.0	2.10
04/12/2017	BOSOWSKI, JANUSZ	MFS NEW DISCOVERY C	500.00	5.00	0.00	5.00	55.0	1.75
04/06/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	99.74	1.00	0.00	1.00	55.0	0.35
04/06/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	332.48	3.32	0.00	3.32	55.0	1.16
04/06/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	11.97	0.12	0.00	0.12	55.0	0.04
04/06/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	99.78	1.00	0.00	1.00	55.0	0.35
04/12/2017	BOSOWSKI, JANUSZ	MFS RESEARCH FUND-C	500.00	5.00	0.00	5.00	55.0	1.75
04/12/2017	BOSOWSKI, EWA	MFS RESEARCH FUND-C	600.00	6.00	0.00	6.00	55.0	2.10
04/17/2017	GRESZTA, LIDIA	MFS UTILITIES B	300.00	12.00	0.00	12.00	55.0	4.20
04/17/2017	CHREPTOWICZ, MALGORZATA	MFS UTILITIES B	73.00	2.92	0.00	2.92	55.0	1.02
04/17/2017	DANEK MD SEP PLAN, BEATA	MFS UTILITIES B	52.47	2.10	0.00	2.10	55.0	0.74
04/17/2017	JUSCINSKA, AGNIESZKA	MFS UTILITIES B	340.00	13.60	0.00	13.60	55.0	4.76
04/17/2017	JARZAREK, MAGDALENA	MFS UTILITIES B	167.57	6.70	0.00	6.70	55.0	2.35
04/06/2017	WIKAR, HALINA	MFS UTILITIES C	47.54	0.48	0.00	0.48	55.0	0.17
04/06/2017	WIKAR, HALINA	MFS UTILITIES C	210.00	2.10	0.00	2.10	55.0	0.74
04/06/2017	WAL, SEBASTIAN	MFS UTILITIES C	124.68	1.25	0.00	1.25	55.0	0.44
04/06/2017	WAL, SEBASTIAN	MFS UTILITIES C	415.58	4.16	0.00	4.16	55.0	1.46
04/06/2017	THOMAS, SYLVIA	MFS UTILITIES C	59.87	0.60	0.00	0.60	55.0	0.21
04/06/2017	THOMAS, SYLVIA	MFS UTILITIES C	99.79	1.00	0.00	1.00	55.0	0.35
04/10/2017	MURZANSKI, ANDRZEJ	MFS UTILITIES C	2,000.00	20.00	0.00	20.00	55.0	7.00
04/10/2017	TARCZYNSKI, LESTER	MFS UTILITIES C	2,000.00	20.00	0.00	20.00	55.0	7.00
04/10/2017	MURZANSKI, EWA	MFS UTILITIES C	2,000.00	20.00	0.00	20.00	55.0	7.00
04/11/2017	BECKER, KARINA	MFS UTILITIES C	2,166.66	21.67	0.00	21.67	55.0	7.58
04/12/2017	BOSOWSKI, JANUSZ	MFS UTILITIES C	500.00	5.00	0.00	5.00	55.0	1.75
04/12/2017	BOSOWSKI, EWA	MFS UTILITIES C	700.00	7.00	0.00	7.00	55.0	2.45

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: HALINA WIKAR #171</u>								
04/17/2017	MADRZYK, PIOTR	MFS UTILITIES C	2,000.00	20.00	0.00	20.00	55.0	7.00
04/17/2017	GABEROV, VASIL	MFS UTILITIES C	3,410.00	34.10	0.00	34.10	55.0	11.94
04/17/2017	GABEROVA, STELLA	MFS UTILITIES C	3,460.00	34.60	0.00	34.60	55.0	12.11
04/18/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09
04/17/2017	GRESZTA, LIDIA	MFS VALUE B	700.00	28.00	0.00	28.00	55.0	9.80
04/06/2017	WIKAR, HALINA	MFS VALUE C	47.54	0.48	0.00	0.48	55.0	0.17
04/06/2017	WIKAR, HALINA	MFS VALUE C	210.00	2.10	0.00	2.10	55.0	0.74
04/10/2017	MURZANSKI, ANDRZEJ	MFS VALUE C	2,000.00	20.00	0.00	20.00	55.0	7.00
04/10/2017	MURZANSKI, EWA	MFS VALUE C	2,000.00	20.00	0.00	20.00	55.0	7.00
04/12/2017	BOSOWSKI, JANUSZ	MFS VALUE C	500.00	5.00	0.00	5.00	55.0	1.75
04/12/2017	BOSOWSKI, EWA	MFS VALUE C	600.00	6.00	0.00	6.00	55.0	2.10
* HALINA WIKAR SUBTOTAL *			\$54,391.58	\$631.94	\$0.00	\$631.94		\$221.22
<u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u>								
04/17/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
04/17/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
04/14/2017	SKOWRON, ALEKSANDER	FS INVESTMENT CORP IV CLASS T	0.00	15.22	0.00	15.22	0.0	13.70
04/14/2017	GIBSON, PAMELA	FS INVESTMENT CORP IV CLASS T	0.00	28.53	0.00	28.53	0.0	25.68
03/31/2017	PARAGON, CARRIE	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	17.26	0.00	17.26	0.0	15.53
03/31/2017	RACK TRUST, JOAN	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	36.99	0.00	36.99	0.0	33.29
03/31/2017	GIBSON, PAMELA	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	123.29	0.00	123.29	0.0	110.96
04/13/2017	O'HARA, THOMAS	TRILINC GLOBAL IMPACT FUND C	0.00	24.44	0.00	24.44	0.0	22.00
* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *			\$75.00	\$247.23	\$0.00	\$247.23		\$222.51
*** OVERRIDE TRANSACTIONS TOTAL ***			\$56,029.28	\$1,089.24	\$0.00	\$1,089.24		\$632.78

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,593.46
Split Transactions	\$79.26
Override Transactions	\$632.78
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,305.50
Year-to-date Gross	\$65,225.14
Year-to-date Earnings	\$102,085.78