



COMMISSION STATEMENT

April 16, 2018

JOHN KELLY
2400 E. Arizona Biltmore Cir.
Suite 1100
Phoenix, AZ 85016

BROKER #: 279
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/04/2018	***-**-928I - HARRISON, LESLEY	AMCAP FUND-A	1,200.00	33.00	0.00	33.00	90.0	29.70
	ACCOUNT #: 00085790202	BUY 36 Units @33.5800						
04/04/2018	***-**-503I - HARRISON, BLAKE	AMCAP FUND-A	500.00	13.75	0.00	13.75	90.0	12.38
	ACCOUNT #: 00085790218	BUY 15 Units @33.5800						
04/02/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @28.0700						
04/02/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	39.00	0.39	0.00	0.39	90.0	0.35
	ACCOUNT #: 00089949168	BUY 3 Units @14.4000						
04/02/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @14.4000						
04/02/2018	***-**-6584 - BACON, ANDREA	AMERICAN 2040 TARGET DATE RETIREMNT	66.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: 00089949172	BUY 4 Units @14.7500						
04/02/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @13.9800						
04/02/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @38.4300						
04/02/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @54.7400						
04/04/2018	***-**-928I - HARRISON, LESLEY	EUROPACIFIC GROWTH FUND-A	1,700.00	46.75	0.00	46.75	90.0	42.08
	ACCOUNT #: 00085790202	BUY 29 Units @58.5200						
04/04/2018	***-**-503I - HARRISON, BLAKE	EUROPACIFIC GROWTH FUND-A	1,000.00	27.50	0.00	27.50	90.0	24.75
	ACCOUNT #: 00085790218	BUY 17 Units @58.5200						
04/02/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @38.4800						
04/04/2018	***-**-928I - HARRISON, LESLEY	NEW WORLD FUND-A	400.00	11.00	0.00	11.00	90.0	9.90
	ACCOUNT #: 00085790202	BUY 6 Units @70.0200						
04/04/2018	***-**-503I - HARRISON, BLAKE	NEW WORLD FUND-A	500.00	13.75	0.00	13.75	90.0	12.38
	ACCOUNT #: 00085790218	BUY 7 Units @70.0200						
04/02/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @64.5300						
04/04/2018	***-**-928I - HARRISON, LESLEY	SMALLCAP WORLD FUND-A	500.00	13.75	0.00	13.75	90.0	12.38
	ACCOUNT #: 00085790202	BUY 9 Units @58.5200						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/04/2018	***-**-503I - HARRISON, BLAKE	SMALLCAP WORLD FUND-A	1,500.00	41.25	0.00	41.25	90.0	37.13
	ACCOUNT #: 00085790218	BUY 26 Units @58.5200						
04/02/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @48.8500						
04/04/2018	***-**-928I - HARRISON, LESLEY	THE BOND FUND OF AMERICA-A	1,700.00	46.75	0.00	46.75	90.0	42.08
	ACCOUNT #: 00085790202	BUY 130 Units @13.0700						
04/04/2018	***-**-503I - HARRISON, BLAKE	THE BOND FUND OF AMERICA-A	2,000.00	55.00	0.00	55.00	90.0	49.50
	ACCOUNT #: 00085790218	BUY 153 Units @13.0700						
04/02/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.6400						
04/04/2018	***-**-928I - HARRISON, LESLEY	THE GROWTH FUND OF AMERICA-A	2,000.00	55.00	0.00	55.00	90.0	49.50
	ACCOUNT #: 00085790202	BUY 38 Units @52.6700						
04/04/2018	***-**-503I - HARRISON, BLAKE	THE GROWTH FUND OF AMERICA-A	1,000.00	27.50	0.00	27.50	90.0	24.75
	ACCOUNT #: 00085790218	BUY 19 Units @52.6700						
04/02/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @46.1200						
04/04/2018	***-**-928I - HARRISON, LESLEY	THE INVESTMENT COMPANY OF AMERICA-A	2,000.00	55.00	0.00	55.00	90.0	49.50
	ACCOUNT #: 00085790202	BUY 49 Units @41.1300						
04/04/2018	***-**-503I - HARRISON, BLAKE	THE INVESTMENT COMPANY OF AMERICA-A	2,000.00	55.00	0.00	55.00	90.0	49.50
	ACCOUNT #: 00085790218	BUY 49 Units @41.1300						
04/02/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @41.2900						
* MUTUAL FUNDS SUBTOTAL *			\$18,165.00	\$496.65	\$0.00	\$496.65		\$447.03
PRODUCT CATEGORY: VARIABLE ANNUITIES								
04/12/2018	***-**-8258 - IFG, KELLY,J	PACIFIC LIFE CHOICE DTCC	495.00	9.90	0.00	9.90	90.0	8.91
	ACCOUNT #: VP60358060	BUY 0 Units @0.0000						
04/09/2018	***-**-8270 - KELLY, PAMELA	PACIFIC LIFE DTCC RENEWAL	416.67	8.33	0.00	8.33	90.0	7.50
	ACCOUNT #: VP60088270	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$911.67	\$18.23	\$0.00	\$18.23		\$16.41



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/16/2018	***-**-85TR - KELLY TRUST, ACCOUNT #: LSR005290	AIM DEVELOPING MARKETS FUND CLASS A BUY 1 Units @0.0000	0.00	8.59	0.00	8.59	90.0	7.73
04/16/2018	***-**-85TR - KELLY TRUST, ACCOUNT #: LSR005290	AIM GLOBAL AGGRESSIVE GROWTH FUND C BUY 1 Units @0.0000	0.00	7.56	0.00	7.56	90.0	6.80
04/05/2018	***-**-478K - F.I.R.M., INC ACCOUNT #: BRK131928	AMERICAN FUNDS 401K TRAILS BUY 0 Units @0.0000	0.00	401.58	0.00	401.58	90.0	361.42
04/05/2018	***-**-969K - MATSOCK & ACCOUNT #: IRK116663	AMERICAN FUNDS 401K TRAILS BUY 0 Units @0.0000	0.00	1,044.89	0.00	1,044.89	90.0	940.40
04/16/2018	***-**-970I - KLEVER, JAMES	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	8.11	0.00	8.11	90.0	7.30
04/16/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107425	DREYFUS RESEARCH GROWTH FD CL A BUY 1 Units @0.0000	0.00	1.92	0.00	1.92	90.0	1.73
04/16/2018	***-**-7034 - DAHL, ROXANNE ACCOUNT #: LSR108782	DREYFUS RESEARCH GROWTH FD CL A BUY 1 Units @0.0000	0.00	3.87	0.00	3.87	90.0	3.48
04/16/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107409	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	111.17	0.00	111.17	90.0	100.05
04/16/2018	***-**-83IN - BARNETT, ROBERT ACCOUNT #: LSR010053	GATEWAY FUND CLASS A BUY 1 Units @0.0000	0.00	4.19	0.00	4.19	90.0	3.77
04/16/2018	***-**-85TR - KELLY TRUST, ACCOUNT #: LSR005290	INVESCO VAN KAMPEN COMSTOCK CLASS A BUY 1 Units @0.0000	0.00	14.73	0.00	14.73	90.0	13.26
04/01/2018	***-**-5687 - WILBUR DRYWALL ACCOUNT #: 00060501051	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	2.83	0.00	2.83	90.0	2.55
04/01/2018	***-**-7454 - NOON, ROBERT ACCOUNT #: 00060501054	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	6.30	0.00	6.30	90.0	5.67
04/01/2018	***-**-875P - WILBUR, JOHN ACCOUNT #: 00060612510	MFS AGGRESSIVE GROWTH ALLOCATION FD BUY 0 Units @0.0000	0.00	5.04	0.00	5.04	90.0	4.54
04/01/2018	***-**-5725 - WILBUR DRYWALL ACCOUNT #: 00060590320	MFS GOVERNMENT SECURITIES FUND-A BUY 0 Units @0.0000	0.00	11.45	0.00	11.45	90.0	10.31
04/01/2018	***-**-5687 - WILBUR DRYWALL ACCOUNT #: 00060580754	MFS MID CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	0.57	0.00	0.57	90.0	0.51
04/01/2018	***-**-5687 - WILBUR DRYWALL ACCOUNT #: 00060501689	MFS TOTAL RETURN FUND-A BUY 0 Units @0.0000	0.00	47.70	0.00	47.70	90.0	42.93



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/01/2018	***-**-7454 - NOON, ROBERT	MFS TOTAL RETURN FUND-A	0.00	5.36	0.00	5.36	90.0	4.82
	ACCOUNT #: 00060501691	BUY 0 Units @0.0000						
03/31/2018	***-**-585I - KELLY, PAMELA	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	7.80	0.00	7.80	90.0	7.02
	ACCOUNT #: 02510432014	BUY 0 Units @0.0000						
04/02/2018	***-**-720I - COLLIGNON, WAYNE	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	24.21	0.00	24.21	90.0	21.79
	ACCOUNT #: 00337255503	BUY 0 Units @0.0000						
04/02/2018	***-**-720I - COLLIGNON, WAYNE	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	48.42	0.00	48.42	90.0	43.58
	ACCOUNT #: 00337255503	BUY 0 Units @0.0000						
04/02/2018	***-**-720I - COLLIGNON, WAYNE	PUTNAM SMALL CAP VALUE FUND-A	0.00	60.13	0.00	60.13	90.0	54.12
	ACCOUNT #: 00337255503	BUY 0 Units @0.0000						
04/16/2018	***-**-459K - QUAIL	QUALIFIED PLAN SUMMARY	0.00	218.79	0.00	218.79	90.0	196.91
	ACCOUNT #: BRK124361	BUY 0 Units @0.0000						
04/16/2018	***-**-7530 - AJITH PURUSH MD	QUALIFIED PLAN SUMMARY	0.00	368.31	0.00	368.31	90.0	331.48
	ACCOUNT #: BRK126971	BUY 0 Units @0.0000						
04/16/2018	***-**-6688 - KEYCOLOUR, INC	QUALIFIED PLAN SUMMARY	0.00	766.30	0.00	766.30	90.0	689.67
	ACCOUNT #: BRK135767	BUY 0 Units @0.0000						
04/16/2018	***-**-1409 - NEVADA PAIN	QUALIFIED PLAN SUMMARY	0.00	657.84	0.00	657.84	90.0	592.06
	ACCOUNT #: BRK102728	BUY 0 Units @0.0000						
04/16/2018	***-**-2181 - SEETHARAMAN	QUALIFIED PLAN SUMMARY	0.00	1,079.82	0.00	1,079.82	90.0	971.84
	ACCOUNT #: BRK105795	BUY 0 Units @0.0000						
04/16/2018	***-**-4372 - DESERT SPINE,	QUALIFIED PLAN SUMMARY	0.00	641.86	0.00	641.86	90.0	577.67
	ACCOUNT #: BRK109823	BUY 0 Units @0.0000						
04/16/2018	***-**-111K - ADVANCE	QUALIFIED PLAN SUMMARY	0.00	572.40	0.00	572.40	90.0	515.16
	ACCOUNT #: BRK111102	BUY 0 Units @0.0000						
04/16/2018	***-**-0180 - CORINNE BROWN	QUALIFIED PLAN SUMMARY	0.00	440.35	0.00	440.35	90.0	396.32
	ACCOUNT #: BRK116700	BUY 0 Units @0.0000						
04/16/2018	***-**-462K - TRIPLE B FUELS	QUALIFIED PLAN SUMMARY	0.00	228.60	0.00	228.60	90.0	205.74
	ACCOUNT #: BRK125576	BUY 0 Units @0.0000						
04/16/2018	***-**-3561 - POLAR COOLING	QUALIFIED PLAN SUMMARY	0.00	691.61	0.00	691.61	90.0	622.45
	ACCOUNT #: BRK127542	BUY 0 Units @0.0000						
04/16/2018	***-**-888K - ARIZONA MEDICAL,	QUALIFIED PLAN SUMMARY	0.00	59.07	0.00	59.07	90.0	53.16
	ACCOUNT #: BRK78436	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/16/2018	***-**-153I - PLAZA AUTO, ACCOUNT #: BRK83709	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	707.16	0.00	707.16	90.0	636.44
04/16/2018	***-**-8849 - SUGATA DAS MD ACCOUNT #: BRK92502	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	1,523.29	0.00	1,523.29	90.0	1,370.96
04/16/2018	***-**-514K - UP 2 PAR, PLLC ACCOUNT #: BRK93099	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	1,424.29	0.00	1,424.29	90.0	1,281.86
04/16/2018	***-**-7620 - WORLD STONE ACCOUNT #: BRK97978	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	1,311.49	0.00	1,311.49	90.0	1,180.34
04/16/2018	***-**-5770 - CENTRAL VALLEY, ACCOUNT #: BRK98088	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	1,475.09	0.00	1,475.09	90.0	1,327.58
04/16/2018	***-**-1723 - CENTRAL CA, ACCOUNT #: IRK109865	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	1,216.04	0.00	1,216.04	90.0	1,094.44
04/16/2018	***-**-3054 - SAPPHIRE SVS ACCOUNT #: IRK110271	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	369.31	0.00	369.31	90.0	332.38
04/16/2018	***-**-704K - MEDIT MARKETING, ACCOUNT #: BRK119254	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	3,687.03	0.00	3,687.03	90.0	3,318.33
04/16/2018	***-**-8018 - A.T. PANCRAZI, ACCOUNT #: BRK121891	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	692.49	0.00	692.49	90.0	623.24
04/16/2018	***-**-7900 - TEM CORPORATION, ACCOUNT #: BRK92025	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	1,397.10	0.00	1,397.10	90.0	1,257.39
04/16/2018	***-**-914I - MIKEL W. LO M.D. ACCOUNT #: BRK94257	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	2,164.26	0.00	2,164.26	90.0	1,947.83
04/16/2018	***-**-416K - CENTRAL VALLEY, ACCOUNT #: IRK117237	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	266.12	0.00	266.12	90.0	239.51
04/16/2018	***-**-6778 - MCENTEE & ACCOUNT #: BRK78577	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	345.70	0.00	345.70	90.0	311.13
04/16/2018	***-**-98JK - VESTAR ACCOUNT #: 07019024122	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	51.73	0.00	51.73	90.0	46.56
03/31/2018	***-**-585I - KELLY, PAMELA ACCOUNT #: 02540274527	QUEST INTERNATIONAL VALUE FUND, INC BUY 0 Units @0.0000	0.00	4.20	0.00	4.20	90.0	3.78
03/31/2018	***-**-2585 - KELLY, PAMELA ACCOUNT #: 02362217600	QUEST OPPORTUNITY VALUE A BUY 0 Units @0.0000	0.00	3.40	0.00	3.40	90.0	3.06



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$24,190.07	\$0.00	\$24,190.07		\$21,771.07
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
04/16/2018	***-**-5031 - VICI PROPERTIES	JOHN HANCOCK VA TRAILS	0.00	1,446.65	0.00	1,446.65	90.0	1,301.99
	ACCOUNT #: 131264	BUY 1 Units @0.0000						
04/16/2018	***-**-0664 - FOCUS	JOHN HANCOCK VA TRAILS	0.00	826.51	0.00	826.51	90.0	743.86
	ACCOUNT #: 114317	BUY 1 Units @0.0000						
04/16/2018	***-**-7053 - VISHWANATH MD	QUALIFIED PLAN SUMMARY	0.00	439.85	0.00	439.85	90.0	395.87
	ACCOUNT #: 87501	BUY 1 Units @0.0000						
04/16/2018	***-**-7528 - ELLIOTT	QUALIFIED PLAN SUMMARY	0.00	95.07	0.00	95.07	90.0	85.56
	ACCOUNT #: 23170	BUY 1 Units @0.0000						
04/16/2018	***-**-8042 - JCM AMERICAN	QUALIFIED PLAN SUMMARY	0.00	1,408.39	0.00	1,408.39	90.0	1,267.55
	ACCOUNT #: 130807	BUY 1 Units @0.0000						
04/16/2018	***-**-74JK - RSI ENTERPRISES,	QUALIFIED PLAN SUMMARY	0.00	520.49	0.00	520.49	90.0	468.44
	ACCOUNT #: 129968	BUY 1 Units @0.0000						
04/16/2018	***-**-2744 - SHREE GANESH LLC	QUALIFIED PLAN SUMMARY	0.00	38.21	0.00	38.21	90.0	34.39
	ACCOUNT #: 127802	BUY 1 Units @0.0000						
04/16/2018	***-**-2070 - TCH LAW PLC 401K	QUALIFIED PLAN SUMMARY	0.00	415.30	0.00	415.30	90.0	373.77
	ACCOUNT #: 123577	BUY 1 Units @0.0000						
04/16/2018	***-**-54QP - STAR NURSERY INC	QUALIFIED PLAN SUMMARY	0.00	1,281.19	0.00	1,281.19	90.0	1,153.07
	ACCOUNT #: 118948	BUY 1 Units @0.0000						
04/16/2018	***-**-7584 - MED-SMART,	QUALIFIED PLAN SUMMARY	0.00	1,488.50	0.00	1,488.50	90.0	1,339.65
	ACCOUNT #: 116011	BUY 1 Units @0.0000						
04/16/2018	***-**-4580 - ROSS AERIAL,	QUALIFIED PLAN SUMMARY	0.00	1,175.09	0.00	1,175.09	90.0	1,057.58
	ACCOUNT #: 114840	BUY 1 Units @0.0000						
04/16/2018	***-**-888K - MKS GRAPHICS,	QUALIFIED PLAN SUMMARY	0.00	1,005.72	0.00	1,005.72	90.0	905.15
	ACCOUNT #: 114333	BUY 1 Units @0.0000						
04/16/2018	***-**-728Q - HORSEMEN INC	QUALIFIED PLAN SUMMARY	0.00	713.20	0.00	713.20	90.0	641.88
	ACCOUNT #: 109030	BUY 1 Units @0.0000						
04/16/2018	***-**-66QP - MVT SERVICES LLC	QUALIFIED PLAN SUMMARY	0.00	1,798.89	0.00	1,798.89	90.0	1,619.00
	ACCOUNT #: 107713	BUY 1 Units @0.0000						
04/16/2018	***-**-0215 - PRO-STEEL	QUALIFIED PLAN SUMMARY	0.00	776.45	0.00	776.45	90.0	698.81
	ACCOUNT #: 107166	BUY 2 Units @0.0000						



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ADJUSTMENTS (continued)

* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$13,429.51	\$0.00	\$13,429.51	\$12,086.57
*** BROKER TRANSACTIONS TOTAL ***	\$19,076.67	\$38,134.46	\$0.00	\$38,134.46	\$34,321.08

BROKER COMMISSION TOTALS

Broker Transactions	\$34,094.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$34,321.08
Year-to-date Earnings	\$132,990.94