



Concorde Investment Services, LLC

06/29/2017

Page No. 1

COMMISSION STATEMENT

June 30, 2017

B151-JASON/MARIA BAIRD/BONGIOVANNI

BROKER #: B151 Terminated 12/31/2016

BRANCH: SECURE ASSET FINANCI

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/15/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.00
06/19/2017	FEY, MICHAEL	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.00
06/15/2017	BRACHER, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA-529	50.00	0.50	0.00	0.50	0.0	0.00
05/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	1.11	0.00	1.11	0.0	0.00
05/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.02	0.00	0.02	0.0	0.00
05/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.01	0.00	0.01	0.0	0.00
/ /	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	3.00	0.00	3.00	0.0	0.00
05/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	29.87	0.00	29.87	0.0	0.00
05/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.76	0.00	8.76	0.0	0.00
05/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	9.96	0.00	9.96	0.0	0.00
05/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.92	0.00	2.92	0.0	0.00
05/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.46	0.00	8.46	0.0	0.00
05/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	25.38	0.00	25.38	0.0	0.00
06/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	0.00
06/15/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	6.00	0.00	6.00	0.0	0.00
06/06/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.50	0.00	0.50	0.0	0.00
06/06/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.50	0.00	0.50	0.0	0.00
06/05/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	0.00
06/19/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,200.00	\$103.37	\$0.00	\$103.37		\$0.00

PRODUCT CATEGORY: VARIABLE ANNUITIES

06/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	0.0	0.00
06/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	4.26	0.00	4.26	0.0	0.00
06/22/2017	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	4.32	0.00	4.32	0.0	0.00
06/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	2.10	0.00	2.10	0.0	0.00
06/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	4.92	0.00	4.92	0.0	0.00
06/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.89	0.00	0.89	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$1,217.78	\$18.50	\$0.00	\$18.50		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$2,417.78	\$121.87	\$0.00	\$121.87		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$4,987.38
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed