

**COMMISSION STATEMENT****January 15, 2018**

ROBERT LUCHSINGER  
600 Johnson Ave.  
Suite B5  
Bohemia, NY 11716

BROKER #: L117  
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
01/08/2018	PATTERSON, BARBARA	NORTHWEST BANCSHARES INC MD COM	-363.68	22.00	22.50	-0.50	95.0	-0.48
01/08/2018	PATTERSON, BARBARA	PAYCHEX INC COM	-408.79	22.00	22.50	-0.50	95.0	-0.48
01/08/2018	PATTERSON, BARBARA	PG & E CORP	-405.20	22.00	22.50	-0.50	95.0	-0.48
01/08/2018	PATTERSON, BARBARA	REINSURANCE GROUP AMER INC COM NEW	-473.70	22.00	22.50	-0.50	95.0	-0.48
01/08/2018	PATTERSON, BARBARA	SEALED AIR CORP NEW COM	-547.15	22.00	22.50	-0.50	95.0	-0.48
01/08/2018	PATTERSON, BARBARA	SMITH A O CORP COMMON	-758.30	22.00	22.50	-0.50	95.0	-0.48
01/08/2018	PATTERSON, BARBARA	TWENTY-FIRST CENTY FOX INC CL A	-400.20	22.00	22.50	-0.50	95.0	-0.48
01/08/2018	PATTERSON, BARBARA	UNITED PARCEL SVC INC CL B	-384.20	22.00	22.50	-0.50	95.0	-0.48
01/08/2018	PATTERSON, BARBARA	XCEL ENERGY INC COM	-467.12	22.00	22.50	-0.50	95.0	-0.48
01/08/2018	PATTERSON, BARBARA	XILINX INC COM	-518.88	22.00	22.50	-0.50	95.0	-0.48
01/08/2018	PATTERSON, BARBARA	ZIMMER HLDGS INC COM	-377.19	22.00	22.50	-0.50	95.0	-0.48
* STOCKS SUBTOTAL *			<b>\$-5,104.41</b>	<b>\$242.00</b>	<b>\$247.50</b>	<b>\$-5.50</b>		<b>\$-5.28</b>
*** CLEARING TRANSACTIONS TOTAL ***			<b>\$-5,104.41</b>	<b>\$242.00</b>	<b>\$247.50</b>	<b>\$-5.50</b>		<b>\$-5.28</b>

**DIRECT TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

01/02/2018	KAMPMANN, MURIEL	AM FUNDS ICA C	250.00	2.50	0.00	2.50	95.0	2.38
01/02/2018	KAMPMANN, MURIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	250.00	2.50	0.00	2.50	95.0	2.38
12/19/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
12/19/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
12/26/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
12/26/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
12/19/2017	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
12/19/2017	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
12/26/2017	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/26/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
12/19/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
12/19/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
12/26/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
12/26/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
12/19/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
12/19/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
12/26/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
12/26/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
12/19/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
12/19/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
12/26/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
12/26/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,327.70</b>	<b>\$18.28</b>	<b>\$0.00</b>	<b>\$18.28</b>		<b>\$17.34</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/11/2017	HAZEN, JAMES	PACIFIC LIFE	0.00	1.30	0.00	1.30	95.0	1.24
12/11/2017	HAZEN, JAMES	PACIFIC LIFE	0.00	1.43	0.00	1.43	95.0	1.36
12/29/2017	HANSON, JOSEPH	ALLIANZ LIFE NY VISION	74,933.89	187.33	0.00	187.33	95.0	177.96
12/29/2017	GIOVANNIELLO, LARRY	ALLIANZ LIFE NY VISION	15,822.59	39.56	0.00	39.56	95.0	37.58
12/29/2017	PRISCO, CLARA	ALLIANZ LIFE NY VISION	80,669.93	201.67	0.00	201.67	95.0	191.59
12/29/2017	YBAY, ERLINDA	ALLIANZ LIFE NY VISION	250,913.27	627.28	0.00	627.28	95.0	595.92
12/29/2017	TUCKER, PATRICIA	ALLIANZ LIFE NY VISION	70,438.84	176.10	0.00	176.10	95.0	167.30
12/29/2017	GENNUSA, ANTHONY	ALLIANZ NY VISION	25,235.89	31.54	0.00	31.54	95.0	29.96
12/29/2017	NOONAN, WILLIAM	ALLIANZ NY VISION	23,804.48	29.76	0.00	29.76	95.0	28.27
12/29/2017	GRANT, JOHN	ALLIANZ NY VISION	34,123.10	42.65	0.00	42.65	95.0	40.52
12/29/2017	HANDLER, EMMA	ALLIANZ VISION	21,402.54	53.51	0.00	53.51	95.0	50.83
12/29/2017	PATTERSON, BARBARA	ALLIANZ VISION BASIC	137,758.54	172.20	0.00	172.20	95.0	163.59
12/29/2017	GUARINO, CARMINE	HARTFORD LEADERS	64,210.38	40.13	0.00	40.13	95.0	38.12
12/29/2017	FAY, JUNE	HARTFORD LEADERS OUTLOOK	129,093.44	322.73	0.00	322.73	95.0	306.59
12/29/2017	FAY, JUNE	HARTFORD LEADERS OUTLOOK	36,236.17	90.59	0.00	90.59	95.0	86.06
12/29/2017	LEAVY, THOMAS	HARTFORD LEADERS OUTLOOK	130,291.04	325.73	0.00	325.73	95.0	309.44
12/29/2017	SARACINO, CAROLINE	HARTFORD LEADERS OUTLOOK	109,481.44	273.70	0.00	273.70	95.0	260.02
12/29/2017	TOOLEY, PATRICIA	HARTFORD LEADERS OUTLOOK	149,950.65	374.88	0.00	374.88	95.0	356.14

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/29/2017	LUCHSINGER, JANE	HARTFORD LEADERS OUTLOOK	57,309.76	143.27	0.00	143.27	95.0	136.11
12/29/2017	ROARKE, MARY ELIZABETH	HARTFORD LEADERS OUTLOOK	22,043.81	55.11	0.00	55.11	95.0	52.35
12/29/2017	ROBERTO, RICHARD	HARTFORD LEADERS OUTLOOK (HL)	8,950.95	22.38	0.00	22.38	95.0	21.26
12/29/2017	ROBERTO, ROBERT	HARTFORD LEADERS OUTLOOK (HL)	8,861.41	22.15	0.00	22.15	95.0	21.04
12/29/2017	GRANT, JOHN	HARTFORD LEADERS OUTLOOK (HL)	76,825.27	192.06	0.00	192.06	95.0	182.46
12/29/2017	HANDLER, EMMA	HARTFORD LEADERS OUTLOOK (HL)	124,933.34	312.33	0.00	312.33	95.0	296.71
12/29/2017	FOURNIER, ELIZABETH	HARTFORD LEADERS OUTLOOK (HL)	64,496.39	161.24	0.00	161.24	95.0	153.18
12/29/2017	NOONAN, WILLIAM	HARTFORD LEADERS OUTLOOK (HL)	8,516.55	21.29	0.00	21.29	95.0	20.23
12/29/2017	LENTINI, ANNA	HARTFORD LEADERS OUTLOOK (HL)	41,891.63	104.73	0.00	104.73	95.0	99.49
12/29/2017	PATTERSON, BARBARA	HARTFORD LEADERS OUTLOOK 2	18,717.64	46.79	0.00	46.79	95.0	44.45
12/29/2017	FOURNIER, PETER	HARTFORD LEADERS OUTLOOK 2	40,031.92	100.08	0.00	100.08	95.0	95.08
12/29/2017	GRANT, JOHN	HARTFORD LEADERS OUTLOOK 2	83,584.96	208.96	0.00	208.96	95.0	198.51
12/29/2017	HANAK TRUST, MARGARET	HARTFORD LEADERS OUTLOOK 2	101,674.33	254.19	0.00	254.19	95.0	241.48
12/29/2017	SINGLETON, GWENDOLYN	HARTFORD LEADERS OUTLOOK 2	16,300.30	40.75	0.00	40.75	95.0	38.71
12/29/2017	MCKENNA, STEPHANIE	HARTFORD LEADERS OUTLOOK 2	106,379.00	265.95	0.00	265.95	95.0	252.65
12/29/2017	YBAY, ERLINDA	HARTFORD LEADERS OUTLOOK 4 - HL	18,360.98	45.90	0.00	45.90	95.0	43.61
12/29/2017	SALANITRO, ISABEL	HARTFORD LEADERS OUTLOOK 4 - HL	55,734.92	139.34	0.00	139.34	95.0	132.37
12/29/2017	MOLNAR, J S KIP	HARTFORD LEADERS PLUS	181,290.42	113.31	0.00	113.31	95.0	107.64
12/29/2017	LENTINI, ANNA	HARTFORD LEADERS PLUS 2	528,813.43	330.51	0.00	330.51	95.0	313.98
12/31/2017	MOLNAR, J S KIP	JNL 468511134	11,998.31	2.50	0.00	2.50	95.0	2.38
01/02/2018	MOLNAR, J S KIP	JNL 468511134	1,000.00	45.00	0.00	45.00	95.0	42.75
12/31/2017	FLANAGAN, BARBARA	JNL 468511142	5,838.14	4.87	0.00	4.87	95.0	4.63
12/31/2017	NEVIN, NANCY	JNL NY PERSPECTIVE L	246,432.05	205.36	0.00	205.36	95.0	195.09
12/31/2017	TETA, JODI	JNL NY PERSPECTIVE L	236,105.95	196.75	0.00	196.75	95.0	186.91
12/31/2017	LUCHSINGER, ELIZABETH	JNL NY PERSPECTIVE L	167,668.00	139.72	0.00	139.72	95.0	132.73
12/26/2017	FLANAGAN, BARBARA	JNL PERSPECTIVE II 04/14	4,000.00	220.00	0.00	220.00	95.0	209.00
12/31/2017	LUCHSINGER, ROBERT	JNL PERSPECTIVE II 04/14	102,498.38	21.35	0.00	21.35	95.0	20.28
12/31/2017	STOCCO, ROBERT	JNL PERSPECTIVE II 04/14	44,039.42	9.17	0.00	9.17	95.0	8.71
12/31/2017	HANAK, SUSAN	JNL PERSPECTIVE II 04/14	445,260.28	92.76	0.00	92.76	95.0	88.12
12/31/2017	DEITSCH, PAUL	JNL PERSPECTIVE II 04/14	95,713.81	19.94	0.00	19.94	95.0	18.94
12/31/2017	GUARINO, CARMINE	JNL PERSPECTIVE II 04/14	203,796.74	42.46	0.00	42.46	95.0	40.34
12/31/2017	FLANAGAN, BARBARA	JNL PERSPECTIVE II 04/14	254,554.57	53.03	0.00	53.03	95.0	50.38
12/31/2017	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	31,739.49	6.61	0.00	6.61	95.0	6.28

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/02/2018	SMITH, GARY	JNL PERSPECTIVE II 04/14	1,000.00	70.00	0.00	70.00	95.0	66.50
12/31/2017	KAMPMANN, ROBERT	JNL PERSPECTIVE II 04/14	387,433.40	80.72	0.00	80.72	95.0	76.68
12/31/2017	KAMPMANN, ROBERT	JNL PERSPECTIVE II 04/14	252,712.86	52.65	0.00	52.65	95.0	50.02
12/31/2017	LUCHSINGER, VARIOUS	LINCOLN BENEFIT LIFE	0.00	7,757.26	0.00	7,757.26	95.0	7,369.40
12/28/2017	YBAY, ERLINDA	SBL SECURE DESIGNS VA	121,895.08	101.58	0.00	101.58	95.0	96.50
12/28/2017	ROBERTO, RICHARD	SBL SECURE DESIGNS VA	13,899.04	2.90	0.00	2.90	95.0	2.76
12/28/2017	KNAACK, JOHN	SBL SECURE DESIGNS VA	122,270.46	101.89	0.00	101.89	95.0	96.80
12/28/2017	ROBERTO, ROBERT	SBL SECURE DESIGNS VA	13,801.33	2.87	0.00	2.87	95.0	2.73
12/07/2017	SALANITRO, ISABEL	TRANSAMERICA LIBERTY	6,391.84	5.33	0.00	5.33	95.0	5.06
12/13/2017	PUMA, ANN	TRANSAMERICA LIBERTY	36,205.08	30.17	0.00	30.17	95.0	28.66
12/15/2017	MAZZA, JOSEPH	TRANSAMERICA LIBERTY	112,691.34	93.91	0.00	93.91	95.0	89.21
12/30/2017	LUCHSINGER, JANE	TRANSAMERICA LIBERTY	14,998.08	12.50	0.00	12.50	95.0	11.88
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$5,853,026.85</b>	<b>\$14,943.73</b>	<b>\$0.00</b>	<b>\$14,943.73</b>		<b>\$14,196.54</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

12/14/2017	MCKENNA, STEPHANIE	EATON VANCE FLOATING RATE FUND CLAS	0.00	0.01	0.00	0.01	95.0	0.01
/ /	DIBENEDETTO, SUSAN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	3.63	0.00	3.63	95.0	3.45
/ /	GRECK, BRIAN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	3.85	0.00	3.85	95.0	3.66
/ /	GRECK, BRIAN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	15.86	0.00	15.86	95.0	15.07
/ /	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	0.00	74.20	0.00	74.20	95.0	70.49
/ /	GRECK, BRIAN	OPPENHEIMER CAPITAL APPRECIATION B	0.00	0.75	0.00	0.75	95.0	0.71
/ /	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION B	0.00	3.15	0.00	3.15	95.0	2.99
/ /	ROBERTO, JOSEPH	OPPENHEIMER CAPITAL APPRECIATION C	0.00	12.82	0.00	12.82	95.0	12.18
/ /	STRYJEWSKI, THOMAS	OPPENHEIMER CAPITAL INCOME A	0.00	3.92	0.00	3.92	95.0	3.72
/ /	ROBERTO, JOSEPH	OPPENHEIMER DISCOVERY C	0.00	20.94	0.00	20.94	95.0	19.89
/ /	GRECK, BRIAN	OPPENHEIMER EMERG MKTS INNOV	0.00	7.61	0.00	7.61	95.0	7.23
/ /	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	15.98	0.00	15.98	95.0	15.18
/ /	GUARINO, JOAN	OPPENHEIMER GLOBAL A	0.00	3.96	0.00	3.96	95.0	3.76
/ /	DIBENEDETTO, SUSAN	OPPENHEIMER GLOBAL A	0.00	5.78	0.00	5.78	95.0	5.49
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL A	0.00	5.88	0.00	5.88	95.0	5.59
/ /	MCKENNA, STEPHANIE	OPPENHEIMER GLOBAL A	0.00	61.47	0.00	61.47	95.0	58.40
/ /	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	0.00	87.17	0.00	87.17	95.0	82.81
/ /	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	0.00	14.51	0.00	14.51	95.0	13.78
/ /	SMITH, DAVID	OPPENHEIMER GLOBAL A	0.00	51.48	0.00	51.48	95.0	48.91

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL ALLOCATION A	0.00	21.23	0.00	21.23	95.0	20.17
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	0.00	48.63	0.00	48.63	95.0	46.20
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION B	0.00	2.39	0.00	2.39	95.0	2.27
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL B	0.00	3.30	0.00	3.30	95.0	3.14
/ /	ROBERTO, JOSEPH	OPPENHEIMER GLOBAL C	0.00	44.18	0.00	44.18	95.0	41.97
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	2.55	0.00	2.55	95.0	2.42
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	11.97	0.00	11.97	95.0	11.37
/ /	GENNUSA, ANTHONY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.06	0.00	1.06	95.0	1.01
/ /	DIBENEDETTO, SUSAN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.93	0.00	0.93	95.0	0.88
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL STRATEGIC INC B	0.00	0.42	0.00	0.42	95.0	0.40
/ /	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	9.72	0.00	9.72	95.0	9.23
/ /	GRECK, BRIAN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	7.27	0.00	7.27	95.0	6.91
/ /	ALBANESE, MICHAEL	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	14.01	0.00	14.01	95.0	13.31
/ /	SALANITRO, ISABEL	OPPENHEIMER MAIN STREET A	0.00	5.50	0.00	5.50	95.0	5.23
/ /	SALANITRO, ALFRED	OPPENHEIMER MAIN STREET A	0.00	5.50	0.00	5.50	95.0	5.23
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET A	0.00	32.37	0.00	32.37	95.0	30.75
/ /	SMITH, LUCILLE	OPPENHEIMER MAIN STREET A	0.00	11.68	0.00	11.68	95.0	11.10
/ /	ALBANESE, MICHAEL	OPPENHEIMER MAIN STREET A	0.00	11.95	0.00	11.95	95.0	11.35
/ /	SMITH, DAVID	OPPENHEIMER MAIN STREET A	0.00	66.41	0.00	66.41	95.0	63.09
/ /	DIBENEDETTO, SUSAN	OPPENHEIMER MAIN STREET A	0.00	37.85	0.00	37.85	95.0	35.96
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET B	0.00	1.60	0.00	1.60	95.0	1.52
/ /	ROBERTO, JOSEPH	OPPENHEIMER MAIN STREET C	0.00	33.25	0.00	33.25	95.0	31.59
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	3.09	0.00	3.09	95.0	2.94
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	18.59	0.00	18.59	95.0	17.66
/ /	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	86.78	0.00	86.78	95.0	82.44
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET SMALLCAP B	0.00	0.76	0.00	0.76	95.0	0.72
/ /	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP B	0.00	3.10	0.00	3.10	95.0	2.95
/ /	FOURNIER, ELIZABETH	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	39.50	0.00	39.50	95.0	37.53
/ /	GUARINO, JOAN	OPPENHEIMER US GOVT TRUST A	0.00	6.05	0.00	6.05	95.0	5.75
/ /	ROBERTO, JOSEPH	OPPENHEIMER US GOVT TRUST C	0.00	162.22	0.00	162.22	95.0	154.11
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,086.83</b>	<b>\$0.00</b>	<b>\$1,086.83</b>		<b>\$1,032.52</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
12/18/2017	CONNOR, BURTON	PAC LIFE INNOVATIVE SELECT	0.00	85.55	0.00	85.55	95.0	81.27
12/18/2017	NOONAN, WILLIAM	PAC LIFE INNOVATIVE SELECT NY	0.00	114.95	0.00	114.95	95.0	109.20
12/18/2017	MINARDI, LOUIS	PAC LIFE INNOVATIVE SELECT NY	0.00	234.65	0.00	234.65	95.0	222.92
12/18/2017	HANSON, ALEXANDRA	PAC LIFE INNOVATIVE SELECT NY	0.00	153.54	0.00	153.54	95.0	145.86
12/20/2017	VIONE, LESLEY	PAC LIFE INNOVATIVE SELECT NY	0.00	246.44	0.00	246.44	95.0	234.12
12/21/2017	PEREIRA, LUIS	PAC LIFE INNOVATIVE SELECT NY	0.00	207.75	0.00	207.75	95.0	197.36
12/21/2017	DECASTRO, NEUSA	PAC LIFE INNOVATIVE SELECT NY	0.00	128.18	0.00	128.18	95.0	121.77
12/26/2017	HANAK, SUSAN	PAC LIFE INNOVATIVE SELECT NY	0.00	348.63	0.00	348.63	95.0	331.20
12/26/2017	FOURNIER, PETER	PAC LIFE INNOVATIVE SELECT NY	0.00	833.76	0.00	833.76	95.0	792.07
12/26/2017	GORMAN, LORAINÉ	PAC LIFE INNOVATIVE SELECT NY	0.00	48.76	0.00	48.76	95.0	46.32
12/26/2017	CORREIA, MARIA	PAC LIFE INNOVATIVE SELECT NY	0.00	332.78	0.00	332.78	95.0	316.14
12/26/2017	CORREIA, LUDOVINO	PAC LIFE INNOVATIVE SELECT NY	0.00	454.72	0.00	454.72	95.0	431.98
12/26/2017	MCKENNA, STEPHANIE	PAC LIFE INNOVATIVE SELECT NY	0.00	530.57	0.00	530.57	95.0	504.04
12/26/2017	SMITH, GARY	PAC LIFE INNOVATIVE SELECT NY	0.00	630.57	0.00	630.57	95.0	599.04
12/26/2017	GENNUSA, FRANCES	PAC LIFE INNOVATIVE SELECT NY	0.00	27.15	0.00	27.15	95.0	25.79
12/26/2017	LUCHSINGER, JANE	PAC LIFE INNOVATIVE SELECT NY	0.00	321.98	0.00	321.98	95.0	305.88
12/28/2017	PUMA, FRANK	PAC LIFE INNOVATIVE SELECT NY	0.00	166.10	0.00	166.10	95.0	157.80
12/31/2017	FRANCIONI, ANNA	PAC LIFE INNOVATIVE SELECT NY	0.00	653.68	0.00	653.68	95.0	621.00
12/31/2017	LUCHSINGER, ANDREW	PAC LIFE INNOVATIVE SELECT NY	0.00	190.08	0.00	190.08	95.0	180.58
12/26/2017	FLANAGAN, BARBARA	PACIFIC CHOICE	0.00	70.00	0.00	70.00	95.0	66.50
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$5,779.84</b>	<b>\$0.00</b>	<b>\$5,779.84</b>		<b>\$5,490.84</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$5,854,354.55</b>	<b>\$21,828.68</b>	<b>\$0.00</b>	<b>\$21,828.68</b>		<b>\$20,737.24</b>

**OVERRIDE TRANSACTIONS****BROKER: L149-ROBERT LUCHSINGER #388**

12/31/2017	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	122,499.10	102.08	0.00	102.08	0.0	40.83
12/18/2017	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.26	0.00	4.26	0.0	1.70
12/18/2017	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.42	0.00	3.42	0.0	1.37
12/21/2017	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.72	0.00	2.72	0.0	1.09
12/24/2017	LOITSCH, ROBERT	ING EMPIRE INNOVATIONS STD OPT A	0.00	352.77	0.00	352.77	0.0	141.11
12/21/2017	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	5.82	0.00	5.82	0.0	2.33
12/21/2017	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.04	0.00	1.04	0.0	0.42

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b>BROKER: L149-ROBERT LUCHSINGER #388</b>								
12/21/2017	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.31	0.00	1.31	0.0	0.52
12/21/2017	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.62	0.00	0.62	0.0	0.25
12/21/2017	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.11	0.00	5.11	0.0	2.04
12/21/2017	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.82	0.00	4.82	0.0	1.93
12/21/2017	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	8.74	0.00	8.74	0.0	3.50
12/21/2017	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.80	0.00	1.80	0.0	0.72
12/21/2017	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	4.77	0.00	4.77	0.0	1.91
12/11/2017	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.15	0.00	0.15	0.0	0.06
12/11/2017	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.57	0.00	0.57	0.0	0.23
12/11/2017	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.89	0.00	4.89	0.0	1.96
12/11/2017	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	2.03	0.00	2.03	0.0	0.81
12/11/2017	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.71	0.00	0.71	0.0	0.28
12/11/2017	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.10	0.00	1.10	0.0	0.44
<b>* L149-ROBERT LUCHSINGER SUBTOTAL *</b>			<b>\$122,499.10</b>	<b>\$508.73</b>	<b>\$0.00</b>	<b>\$508.73</b>		<b>\$203.50</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$122,499.10</b>	<b>\$508.73</b>	<b>\$0.00</b>	<b>\$508.73</b>		<b>\$203.50</b>

**ADJUSTMENTS**

01/15/2018	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
01/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
01/15/2018	*PERSHING: MANDATORY REORG - GARNER	0.00						-10.00
01/15/2018	*PERSHING: MANDATORY REORG - LUCHSI	0.00						-10.00
01/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-507.00</b>

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\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$20,731.96
Split Transactions	\$0.00
Override Transactions	\$203.50
Adjustments	\$-507.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$20,428.46
Year-to-date Gross	\$22,070.68
Year-to-date Earnings	\$20,935.46