

# STATEMENT

## February 20, 2018

GARY SPRUNGER - LPCM

BROKER #: 3132

BRANCH: LIBERTY PARTNERS CAP

, CA

### COMMISSION STATEMENT for GARY SPRUNGER - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
02/01/0018	#514261 TCA SPRUNGER VAR	LPCM MOD 30/30/40	111,992.81	-48.53	100.0	0.00	-48.53	0.00	-48.53
02/01/0018	#514117 NUGENT, FRANCES	LPCM MOD 30/30/40	413,208.76	-199.72	100.0	0.00	-199.72	0.00	-199.72
02/01/0018	#514304 SCHUGAM, MARTIN	LPCM MOD 30/30/40	213,558.53	-103.22	100.0	0.00	-103.22	0.00	-103.22
02/01/0018	#514159 TCA SPRUNGER VAR	LPCM MOD 30/30/40	625,418.70	-271.01	100.0	0.00	-271.01	0.00	-271.01
02/01/0018	#514113 TCA SPRUNGER VAR	LPCM MOD 30/30/40	167,805.21	-83.90	100.0	0.00	-83.90	0.00	-83.90
02/01/0018	#514105 SCHUGAM, PAULA	LPCM MOD 30/30/40	216,223.37	-104.51	100.0	0.00	-104.51	0.00	-104.51
02/01/0018	#514164 CHICORELLI,	LPCM MOD 30/30/40	438,480.62	-190.01	100.0	0.00	-190.01	0.00	-190.01
02/01/0018	#514247 CHICORELLI,	LPCM MOD 30/30/40	114,688.82	-49.70	100.0	0.00	-49.70	0.00	-49.70
02/01/2018	#514113 TCA SPRUNGER VAR	ADDL CASH FOR ALLOC	6,142.95	-1.79	100.0	0.00	-1.79	0.00	-1.79
02/01/2018	#514117 NUGENT, FRANCES	ADDL CASH FOR ALLOC	17,329.82	-5.05	100.0	0.00	-5.05	0.00	-5.05
02/01/2018	#514164 CHICORELLI,	ADDL CASH FOR ALLOC	16,592.67	-4.84	100.0	0.00	-4.84	0.00	-4.84
02/01/2018	#514247 CHICORELLI,	ADDL CASH FOR ALLOC	4,935.02	-1.44	100.0	0.00	-1.44	0.00	-1.44
02/01/2018	#514105 SCHUGAM, PAULA	LPCM MOD 30/30/40	216,223.37	315.33	95.0	0.00	299.56	0.00	299.56
02/01/2018	#514113 TCA SPRUNGER VAR	LPCM MOD 30/30/40	167,805.21	244.71	95.0	0.00	232.47	0.00	232.47
02/01/2018	#514117 NUGENT, FRANCES	LPCM MOD 30/30/40	413,208.76	602.60	95.0	0.00	572.47	0.00	572.47
02/01/2018	#514159 TCA SPRUNGER VAR	LPCM MOD 30/30/40	625,418.70	912.07	95.0	0.00	866.47	0.00	866.47
02/01/2018	#514164 CHICORELLI,	LPCM MOD 30/30/40	438,480.62	639.45	95.0	0.00	607.48	0.00	607.48
02/01/2018	#514247 CHICORELLI,	LPCM MOD 30/30/40	114,688.82	167.25	95.0	0.00	158.89	0.00	158.89
02/01/2018	#514261 TCA SPRUNGER VAR	LPCM MOD 30/30/40	111,992.81	163.32	95.0	0.00	155.15	0.00	155.15
02/01/2018	#514304 SCHUGAM, MARTIN	LPCM MOD 30/30/40	213,558.53	311.44	95.0	0.00	295.87	0.00	295.87
02/01/2018	#514113 TCA SPRUNGER VAR	TCA LPCM FEES	6,142.95	8.96	100.0	0.00	8.96	0.00	8.96
02/01/2018	#514117 NUGENT, FRANCES	TCA LPCM FEES	17,329.82	25.27	100.0	0.00	25.27	0.00	25.27
02/01/2018	#514164 CHICORELLI,	TCA LPCM FEES	16,592.67	24.20	100.0	0.00	24.20	0.00	24.20
02/01/2018	#514247 CHICORELLI,	TCA LPCM FEES	4,935.02	7.20	100.0	0.00	7.20	0.00	7.20
<b>* RIA SUBTOTAL *</b>			<b>\$4,692,754.56</b>	<b>\$2,358.08</b>		<b>\$0.00</b>	<b>\$2,190.27</b>	<b>\$0.00</b>	<b>\$2,190.27</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$4,692,754.56</b>	<b>\$2,358.08</b>		<b>\$0.00</b>	<b>\$2,190.27</b>	<b>\$0.00</b>	<b>\$2,190.27</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,190.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$2,190.27</b>
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