

**COMMISSION STATEMENT****July 31, 2017**ROGER BOWLIN
507 SE Andrews Street

Issaquah, WA 98027-

BROKER #: B156
BRANCH: ROGER BOWLIN

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|-------------------------------------|---------------------|------------|-----------------------|---------------------|------|--------------------|--------------------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | |
| 07/14/2017 | AYE, RALPH | ENVESTNET | 616,541.05 | 1,554.02 | 90.0 | 1,398.62 | 0.00 | 1,398.62 |
| 07/14/2017 | BABCOCK, BRUCE | ENVESTNET | 288,716.80 | 727.73 | 90.0 | 654.96 | 0.00 | 654.96 |
| 07/14/2017 | COLEMAN, BERNIECE | ENVESTNET | 355,746.19 | 896.67 | 90.0 | 807.00 | 0.00 | 807.00 |
| 07/14/2017 | HEARN, GEORGE | ENVESTNET | 120,476.14 | 303.67 | 90.0 | 273.30 | 0.00 | 273.30 |
| 07/14/2017 | HOKANSON, CONSTANCE | ENVESTNET | 204,500.83 | 515.45 | 90.0 | 463.91 | 0.00 | 463.91 |
| 07/14/2017 | HOLMAN, JAMES | ENVESTNET | 458,572.46 | 1,155.85 | 90.0 | 1,040.27 | 0.00 | 1,040.27 |
| 07/14/2017 | HOYT, JOHN | ENVESTNET | 746,285.26 | 1,881.05 | 90.0 | 1,692.95 | 0.00 | 1,692.95 |
| 07/14/2017 | KISER, JERRY | ENVESTNET | 287,160.21 | 723.80 | 90.0 | 651.42 | 0.00 | 651.42 |
| 07/14/2017 | KISER, JERRY | ENVESTNET | 477,679.25 | 1,204.01 | 90.0 | 1,083.61 | 0.00 | 1,083.61 |
| 07/14/2017 | KOLLMAYER, SHANNON | ENVESTNET | 167,721.82 | 422.75 | 90.0 | 380.48 | 0.00 | 380.48 |
| 07/14/2017 | HALL, MARGARET | ENVESTNET | 801,527.17 | 2,020.29 | 90.0 | 1,818.26 | 0.00 | 1,818.26 |
| 07/14/2017 | MCCAUGHAN, DANIEL | ENVESTNET | 385,469.40 | 971.59 | 90.0 | 874.43 | 0.00 | 874.43 |
| 07/14/2017 | MCCAUGHAN, HARVEY | ENVESTNET | 231,805.59 | 584.28 | 90.0 | 525.85 | 0.00 | 525.85 |
| 07/14/2017 | NELSEN, CRAIG | ENVESTNET | 582,065.03 | 1,467.12 | 90.0 | 1,320.41 | 0.00 | 1,320.41 |
| 07/14/2017 | NELSEN, CRAIG | ENVESTNET | 230,497.00 | 580.98 | 90.0 | 522.88 | 0.00 | 522.88 |
| 07/14/2017 | PHILLIPS, GREGORY | ENVESTNET | 35,897.24 | 90.48 | 90.0 | 81.43 | 0.00 | 81.43 |
| 07/14/2017 | PHILLIPS, GREGORY | ENVESTNET | 89,298.70 | 225.08 | 90.0 | 202.57 | 0.00 | 202.57 |
| 07/14/2017 | STENTZ, JOHN | ENVESTNET | 116,514.65 | 293.68 | 90.0 | 264.31 | 0.00 | 264.31 |
| 07/14/2017 | SUBERT, TERESA | ENVESTNET | 268,375.62 | 676.45 | 90.0 | 608.81 | 0.00 | 608.81 |
| 07/14/2017 | SUBERT, TODD | ENVESTNET | 54,202.91 | 136.62 | 90.0 | 122.96 | 0.00 | 122.96 |
| 07/14/2017 | TOWNSEND, WILLIAM | ENVESTNET | 213,494.20 | 538.12 | 90.0 | 484.31 | 0.00 | 484.31 |
| 07/14/2017 | WILKE, GARY | ENVESTNET | 470,655.70 | 1,186.31 | 90.0 | 1,067.68 | 0.00 | 1,067.68 |
| 07/14/2017 | WILKE, GARY | ENVESTNET | 334,344.96 | 842.73 | 90.0 | 758.46 | 0.00 | 758.46 |
| 07/14/2017 | BOWLIN, AUSTIN | ENVESTNET | 101,674.76 | 0.00 | 90.0 | 0.00 | 0.00 | 0.00 |
| 07/14/2017 | BOWLIN, AUSTIN | ENVESTNET | 99,789.38 | 0.00 | 90.0 | 0.00 | 0.00 | 0.00 |
| * RIA SUBTOTAL * | | | \$7,739,012.32 | \$18,998.73 | | \$17,098.88 | \$0.00 | \$17,098.88 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|-----------------------------------|--------|------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$7,739,012.32 | \$18,998.73 | | \$17,098.88 | \$0.00 | \$17,098.88 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$17,098.88 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$17,098.88 |
| Year-to-date Gross | \$54,620.85 |
| Year-to-date Earnings | \$49,158.84 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

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