



Concorde Investment Services, LLC

07/12/2017
Page No. 1

COMMISSION STATEMENT **July 15, 2017**

MARYANN FENBERT
1120 E. Long Lake Road
Suite 100
Troy, MI 48085

BROKER #: F118
BRANCH: CONCORDE FINANCIAL G

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 06/09/2017 | ROBINSON, HARRY | AM FUNDS INCOME FUND OF AMERICA A | 0.00 | 11.72 | 0.00 | 11.72 | 80.0 | 9.38 |
| 06/09/2017 | HECHT, ROBERT | DAVIS NEW YORK VENTURE A | 0.00 | 31.64 | 0.00 | 31.64 | 80.0 | 25.31 |
| 06/09/2017 | ROBINSON, HARRY | DAVIS NEW YORK VENTURE A | 0.00 | 4.84 | 0.00 | 4.84 | 80.0 | 3.87 |
| 06/09/2017 | BLOSSER, EDNA | FIDELITY ADV HEALTH CARE T | 0.00 | 9.06 | 0.00 | 9.06 | 80.0 | 7.25 |
| / / | MAJEROWSKI, MICHAEL | FIRST INVESTORS MULTI STATE INSD TA | 0.00 | 50.15 | 0.00 | 50.15 | 80.0 | 40.12 |
| 06/09/2017 | DZIUBA, ELIZABETH | FRANKLIN INCOME A | 0.00 | 5.50 | 0.00 | 5.50 | 80.0 | 4.40 |
| / / | WEBER, KEVIN | OPPENHEIMER MAIN STREET A | 0.00 | 3.05 | 0.00 | 3.05 | 80.0 | 2.44 |
| 06/09/2017 | HECHT, ROBERT | PIONEER GROWTH OPPORTUNITIES A | 0.00 | 5.06 | 0.00 | 5.06 | 80.0 | 4.05 |
| 07/03/2017 | O'NEIL, ELSIE | PUTNAM DIVERSIFIED INCOME A | 0.00 | 23.84 | 0.00 | 23.84 | 80.0 | 19.07 |
| 07/03/2017 | MOSS, MICHAEL | PUTNAM EQUITY INCOME A | 0.00 | 4.65 | 0.00 | 4.65 | 80.0 | 3.72 |
| 07/03/2017 | PLAN | PUTNAM EQUITY INCOME A | 0.00 | 0.99 | 0.00 | 0.99 | 80.0 | 0.79 |
| 07/03/2017 | DZIUBA, ELIZABETH | PUTNAM GROWTH OPP A | 0.00 | 3.47 | 0.00 | 3.47 | 80.0 | 2.78 |
| 07/03/2017 | GABBARD, MICHAEL | PUTNAM HIGH YIELD ADVANTAGE A | 0.00 | 16.27 | 0.00 | 16.27 | 80.0 | 13.02 |
| 07/03/2017 | POWELL, MARCIA | PUTNAM HIGH YIELD ADVANTAGE A | 0.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| 07/03/2017 | GABBARD, MICHAEL | PUTNAM HIGH YIELD TRUST A | 0.00 | 9.59 | 0.00 | 9.59 | 80.0 | 7.67 |
| 07/03/2017 | MAJEROWSKI, MICHAEL | PUTNAM MI TAX EXEMPT INCOME A | 0.00 | 83.16 | 0.00 | 83.16 | 80.0 | 66.53 |
| 07/03/2017 | TRIBBLE, GERALD | PUTNAM MI TAX EXEMPT INCOME A | 0.00 | 17.54 | 0.00 | 17.54 | 80.0 | 14.03 |
| 07/03/2017 | MOSS, MICHAEL | PUTNAM MULTI CAP GROWTH A | 0.00 | 5.83 | 0.00 | 5.83 | 80.0 | 4.66 |
| 07/03/2017 | TRIBBLE, GERALD | PUTNAM TAX-FREE HIGH YIELD-A | 0.00 | 30.61 | 0.00 | 30.61 | 80.0 | 24.49 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$0.00 | \$317.17 | \$0.00 | \$317.17 | | \$253.74 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 06/30/2017 | CORNISH, JUDITH | ALLIANZ ALTERITY OPTIONS | 90,686.72 | 113.36 | 0.00 | 113.36 | 80.0 | 90.69 |
| 06/30/2017 | FENBERT, JOHN | ALLIANZ HIGH FIVE L | 83,607.76 | 209.02 | 0.00 | 209.02 | 80.0 | 167.22 |
| 06/30/2017 | HECHT, ROBERT | ALLIANZ HIGH FIVE L | 224,845.41 | 562.11 | 0.00 | 562.11 | 80.0 | 449.69 |
| 06/30/2017 | HECHT, KATHLEEN | ALLIANZ HIGH FIVE L | 52,806.91 | 132.02 | 0.00 | 132.02 | 80.0 | 105.62 |
| 06/30/2017 | LOUDON, DIANE | ALLIANZ VISION | 31,239.66 | 78.10 | 0.00 | 78.10 | 80.0 | 62.48 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-----------------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 06/30/2017 | COX, BERNADETTE | HARTFORD PHCM V (HL) | 1,754.86 | 2.63 | 0.00 | 2.63 | 80.0 | 2.10 |
| 06/30/2017 | BESSETTE MD, PHYLLIS TORRES | PRU PREMIER RETIREMENT L | 511,341.72 | 1,278.35 | 0.00 | 1,278.35 | 80.0 | 1,022.68 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$996,283.04 | \$2,375.59 | \$0.00 | \$2,375.59 | | \$1,900.48 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$996,283.04 | \$2,692.76 | \$0.00 | \$2,692.76 | | \$2,154.22 |

ADJUSTMENTS

| | | | | | | | | |
|---------------------------|-------------------------------------|------|--------|--------|--------|--------|--|-----------|
| 07/15/2017 | *E & O INSURANCE: CURRENT MONTH INS | 0.00 | | | | | | -300.00 |
| 07/15/2017 | *TECHNOLOGY: CURRENT MONTH TECH | 0.00 | | | | | | -275.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-575.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$2,154.22 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-575.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-3,194.31 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|--------------------|
| Balance Forward | \$-1,615.09 |
| Year-to-date Gross | \$11,180.18 |
| Year-to-date Earnings | \$8,921.66 |

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