

All Companies  
**STATEMENT**  
**May 20, 2018**

JAMES FULTON  
11276 Rt 322  
SUITE 620  
Shipperville, PA 16254

BROKER #: 183  
BRANCH: LA BRANCH

COMMISSION STATEMENT for JAMES FULTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
04/04/2018	#66196689901	JOHNSON, PATTY HARTFORD SMART529	STATIC GROWTH A	0.00	0.92	93.0	0.00	0.86	0.86
04/04/2018	#66752257501	BELLIS, KATHRYN HARTFORD SMART529	STATIC GROWTH A	0.00	0.92	93.0	0.00	0.86	0.86
04/04/2018	#66752257502	BELLIS, KATHRYN HARTFORD SMART529	STATIC GROWTH A	0.00	0.92	93.0	0.00	0.86	0.86
* MUTUAL FUNDS SUBTOTAL *			<b>\$0.00</b>	<b>\$2.76</b>		<b>\$0.00</b>	<b>\$2.58</b>	<b>\$0.00</b>	<b>\$2.58</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
04/30/0201	#142014A85191424871	POWNEY, SECURITY BEENFIT	SBGTL	0.00	2.00	93.0	0.00	1.86	1.86
04/30/0201	#142014A85191424871	POWNEY, SECURITY BEENFIT	SBGTL	0.00	0.81	93.0	0.00	0.75	0.75
04/30/0201	#142014A80169683976	FRAZIER, SECURITY BEENFIT	SBGTL	0.00	1.06	93.0	0.00	0.99	0.99
03/31/2018	#1012671012	CALDWELL, BRIAN	ELITE ACCESS	25,181.46	8.39	93.0	0.00	7.80	7.80
03/31/2018	#1012667791	MAGNESS, DEAN	ELITE ACCESS	41,658.10	13.89	93.0	0.00	12.92	12.92
03/31/2018	#1012690181	MARCONE, GAYLE	ELITE ACCESS	32,351.66	10.78	93.0	0.00	10.03	10.03
03/31/2018	#1012670598	MCANINCH, DEBORA	ELITE ACCESS	34,656.48	11.55	93.0	0.00	10.74	10.74
03/31/2018	#1013102925	MCGROARTY,	ELITE ACCESS	41,615.33	13.87	93.0	0.00	12.90	12.90
04/16/2018	#1010686900	HOOK, LINDA	PERSPECTIVE II	50.00	3.75	93.0	0.00	3.49	3.49
04/20/2018	#1016965398	MCANINCH, DEBORA	ELITE ACCESS	300.00	15.75	93.0	0.00	14.65	14.65
04/30/2018	#1012671012	CALDWELL, BRIAN	ELITE ACCESS	25,490.30	8.50	93.0	0.00	7.91	7.91
04/30/2018	#1012667791	MAGNESS, DEAN	ELITE ACCESS	42,005.69	14.00	93.0	0.00	13.02	13.02
04/30/2018	#1012670598	MCANINCH, DEBORA	ELITE ACCESS	35,081.52	11.69	93.0	0.00	10.87	10.87
04/30/2018	#1013102925	MCGROARTY,	ELITE ACCESS	41,197.36	13.73	93.0	0.00	12.77	12.77
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$319,587.90</b>	<b>\$129.77</b>		<b>\$0.00</b>	<b>\$120.70</b>	<b>\$0.00</b>	<b>\$120.70</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/10/2018	#	POWNEY, SUSAN	DAIN TRAILER	0.00	1.10	93.0	0.00	1.02	1.02
04/10/2018	#	POWNEY, SUSAN	DAIN TRAILER	0.00	7.84	93.0	0.00	7.29	7.29
04/10/2018	#	BELLIS, KATHRYN	DAIN TRAILER	0.00	0.13	93.0	0.00	0.12	0.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<b>\$0.00</b>	<b>\$9.07</b>		<b>\$0.00</b>	<b>\$8.43</b>	<b>\$0.00</b>	<b>\$8.43</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$319,587.90</b>	<b>\$141.60</b>		<b>\$0.00</b>	<b>\$131.71</b>	<b>\$0.00</b>	<b>\$131.71</b>

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ADJUSTMENTS

05/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
05/20/2018		ADMIN FEE: ADMIN FEE							-390.00
*** ADJUSTMENTS TOTAL ***									<u>\$-569.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$131.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-128.08
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-565.37</u>
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