

**COMMISSION STATEMENT****January 31, 2018**

HERBERT ALSTON  
4200 Park Blvd  
Suite 154  
Oakland, CA 94602

BROKER #: A123  
BRANCH: HERBERT ALSTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/08/2018	BULKIN, CARLETON	FS INVESTMENT CORPORATION IV (CLASS	0.00	176.29	0.00	176.29	75.0	132.22
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$176.29	\$0.00	\$176.29		\$132.22
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$176.29	\$0.00	\$176.29		\$132.22
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: A132 - HERB/FRED ALSTON/CHASALOW #10</u></b>								
01/19/2018	COHEN, LORI PIERCE-	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	0.67	0.00	0.67	75.0	0.50
01/19/2018	COHEN, LORI PIERCE-	AM FUNDS NEW PERSPECTIVE 529C	100.00	0.67	0.00	0.67	75.0	0.50
* A132 - HERB/FRED ALSTON/CHASALOW SUBTOTAL *			\$200.00	\$1.34	\$0.00	\$1.34		\$1.00
<b><u>BROKER: A132 - HERB/FRED ALSTON/CHASALOW #413</u></b>								
01/24/2018	ALS/CHAS, VARIOUS	AMERICAN BEACON FUNDS	0.00	141.34	0.00	141.34	75.0	106.01
* A132 - HERB/FRED ALSTON/CHASALOW SUBTOTAL *			\$0.00	\$141.34	\$0.00	\$141.34		\$106.01
*** SPLIT TRANSACTIONS TOTAL ***			\$200.00	\$142.68	\$0.00	\$142.68		\$107.01

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$132.22
Split Transactions	\$107.01
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$239.23
Year-to-date Gross	\$8,512.49
Year-to-date Earnings	\$6,373.08