



COMMISSION STATEMENT

July 30, 2018

ALFREDO BALLESTEROS
21830 Walnut Street
Wildmar, CA 92595

BROKER #: B10
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
08/01/2018	***-**-16FB - DOWNS-MINETREE, ACCOUNT #: 925310184	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	104.89	104.89	0.00	104.89	80.0	83.91
08/01/2018	***-**-55FB - LAROCK, ANDREA ACCOUNT #: 925396270	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	56.02	56.02	0.00	56.02	80.0	44.82
08/01/2018	***-**-55FB - LAROCK, ANDREA ACCOUNT #: 925394309	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	34.55	34.55	0.00	34.55	80.0	27.64
08/01/2018	***-**-43FB - GOLLINGER, DAVID ACCOUNT #: 925429570	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	182.43	182.43	0.00	182.43	80.0	145.94
08/01/2018	***-**-90FB - DUARTE, BERTHA ACCOUNT #: 925332301	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	33.26	33.26	0.00	33.26	80.0	26.61
08/01/2018	***-**-20FB - TOWNSEND, ACCOUNT #: 925348380	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	21.76	21.76	0.00	21.76	80.0	17.41
08/01/2018	***-**-20FB - TOWNSEND, ACCOUNT #: 925348773	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	39.04	39.04	0.00	39.04	80.0	31.23
08/01/2018	***-**-11FB - FRIEDLANDER, ACCOUNT #: 925348770	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	19.94	19.94	0.00	19.94	80.0	15.95
08/01/2018	***-**-11FB - FRIEDLANDER, ACCOUNT #: 925348711	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	120.04	120.04	0.00	120.04	80.0	96.03
08/01/2018	***-**-99FB - GARCIA, JENNY ACCOUNT #: 925348382	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	130.61	130.61	0.00	130.61	80.0	104.49
08/01/2018	***-**-87FB - GARCIA, ONORIO ACCOUNT #: 925348386	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	46.34	46.34	0.00	46.34	80.0	37.07
08/01/2018	***-**-38FB - WUSTHOF, PAMELA ACCOUNT #: 925353402	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.83	23.83	0.00	23.83	80.0	19.06
08/01/2018	***-**-38FB - WUSTHOF, PAMELA ACCOUNT #: 925353396	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	21.79	21.79	0.00	21.79	80.0	17.43
08/01/2018	***-**-38FB - WUSTHOF, PAMELA ACCOUNT #: 925353393	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	13.21	13.21	0.00	13.21	80.0	10.57
08/01/2018	***-**-48FB - WAY, JANET ACCOUNT #: 925367463	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	24.04	24.04	0.00	24.04	80.0	19.23
08/01/2018	***-**-60FB - MONTERO, ACCOUNT #: 925510092	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	29.31	29.31	0.00	29.31	80.0	23.45



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
08/01/2018	***-**-49FB - CRUZ, JOSE ACCOUNT #: 925510098	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	37.89	37.89	0.00	37.89	80.0	30.31
08/01/2018	***-**-98FB - RUFFING, JODIE ACCOUNT #: 925379613	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	65.92	65.92	0.00	65.92	80.0	52.74
08/01/2018	***-**-98FB - RUFFING, JODIE ACCOUNT #: 925378876	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	14.83	14.83	0.00	14.83	80.0	11.86
08/01/2018	***-**-54FB - COOK, DENNIS ACCOUNT #: 925402359	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	15.35	15.35	0.00	15.35	80.0	12.28
08/01/2018	***-**-54FB - COOK, DENNIS ACCOUNT #: 925402364	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	8.98	8.98	0.00	8.98	80.0	7.18
08/01/2018	***-**-97FB - GASTON-PIERCE, ACCOUNT #: 925305856	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	25.15	25.15	0.00	25.15	80.0	20.12
08/01/2018	***-**-61FB - LONGSWORTH, CITA ACCOUNT #: 925319593	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	31.37	31.37	0.00	31.37	80.0	25.10
08/01/2018	***-**-46FB - HAYWOOD, CARLA ACCOUNT #: 925320080	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	43.09	43.09	0.00	43.09	80.0	34.47
08/01/2018	***-**-46FB - HAYWOOD, CARLA ACCOUNT #: 925320095	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	19.77	19.77	0.00	19.77	80.0	15.82
08/01/2018	***-**-46FB - HAYWOOD, CARLA ACCOUNT #: 925320981	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	359.24	359.24	0.00	359.24	80.0	287.39
08/01/2018	***-**-85FB - HAYWOOD, JOHN ACCOUNT #: 925320991	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	169.25	169.25	0.00	169.25	80.0	135.40
08/01/2018	***-**-33FB - CLAY, MARIA ACCOUNT #: 925323203	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	82.70	82.70	0.00	82.70	80.0	66.16
08/01/2018	***-**-21FB - VALLE, NORMA ACCOUNT #: 925331134	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.51	23.51	0.00	23.51	80.0	18.81
08/01/2018	***-**-21FB - VALLE, NORMA ACCOUNT #: 925331129	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	8.44	8.44	0.00	8.44	80.0	6.75
08/01/2018	***-**-48FB - WONG, WALTER ACCOUNT #: 925406630	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	54.77	54.77	0.00	54.77	80.0	43.82
08/01/2018	***-**-48FB - WONG, WALTER ACCOUNT #: 925406639	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	40.84	40.84	0.00	40.84	80.0	32.67



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
08/01/2018	***-**-26FB - EGGLESTON, ACCOUNT #: 925509957	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	47.72	47.72	0.00	47.72	80.0	38.18
08/01/2018	***-**-52FB - NGUYEN, VICKIE ACCOUNT #: 925329024	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	97.70	97.70	0.00	97.70	80.0	78.16
08/01/2018	***-**-52FB - NGUYEN, VICKIE ACCOUNT #: 925344068	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	7.39	7.39	0.00	7.39	80.0	5.91
08/01/2018	***-**-61FB - VALLE, EDUARDO ACCOUNT #: 925332341	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	4.80	4.80	0.00	4.80	80.0	3.84
08/01/2018	***-**-90FB - MAI, JOHNNY ACCOUNT #: 925336235	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	37.63	37.63	0.00	37.63	80.0	30.10
08/01/2018	***-**-90FB - MAI, JOHNNY ACCOUNT #: 925344043	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	9.31	9.31	0.00	9.31	80.0	7.45
08/01/2018	***-**-62FB - METZGER, JEANNE ACCOUNT #: 925344624	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	22.01	22.01	0.00	22.01	80.0	17.61
08/01/2018	***-**-31FB - WATSON, ACCOUNT #: 925362048	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	74.05	74.05	0.00	74.05	80.0	59.24
08/01/2018	***-**-14FB - DE LOS SANTOS, ACCOUNT #: 925435795	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	9.25	9.25	0.00	9.25	80.0	7.40
08/01/2018	***-**-14FB - DE LOS SANTOS, ACCOUNT #: 925435799	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	5.95	5.95	0.00	5.95	80.0	4.76
08/01/2018	***-**-14FB - DE LOS SANTOS, ACCOUNT #: 925446200	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	145.33	145.33	0.00	145.33	80.0	116.26
08/01/2018	***-**-14FB - DE LOS SANTOS, ACCOUNT #: 925435789	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	16.57	16.57	0.00	16.57	80.0	13.26
08/01/2018	***-**-57FB - LARKIN, MICHAEL ACCOUNT #: 925409229	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	57.54	57.54	0.00	57.54	80.0	46.03
08/01/2018	***-**-57FB - LARKIN, MICHAEL ACCOUNT #: 925427487	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	58.49	58.49	0.00	58.49	80.0	46.79
08/01/2018	***-**-18FB - LARKIN, KAREN ACCOUNT #: 925427475	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	41.28	41.28	0.00	41.28	80.0	33.02
08/01/2018	***-**-86FB - GALLEGOS, ACCOUNT #: 925401872	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	6.42	6.42	0.00	6.42	80.0	5.14



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: RIA (continued)								
08/01/2018	***-**-86FB - GALLEGOS, ACCOUNT #: 925401890	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	87.43	87.43	0.00	87.43	80.0	69.94
08/01/2018	***-**-22FB - KARR-CHEBAT, ACCOUNT #: 925373485	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	138.59	138.59	0.00	138.59	80.0	110.87
08/01/2018	***-**-22FB - KARR-CHEBAT, ACCOUNT #: 925402005	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	8.77	8.77	0.00	8.77	80.0	7.02
08/01/2018	***-**-08FB - HORNER, COLBY ACCOUNT #: 925373490	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	136.76	136.76	0.00	136.76	80.0	109.41
08/01/2018	***-**-53FB - CHEBAT, JOE ACCOUNT #: 925401991	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	2.77	2.77	0.00	2.77	80.0	2.22
08/01/2018	***-**-43FB - MINETREE, ACCOUNT #: 925411309	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	122.97	122.97	0.00	122.97	80.0	98.38
08/01/2018	***-**-90FB - RIVERA, LYNDIA ACCOUNT #: 925419577	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.71	23.71	0.00	23.71	80.0	18.97
08/01/2018	***-**-90FB - RIVERA, LYNDIA ACCOUNT #: 925422680	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	22.56	22.56	0.00	22.56	80.0	18.05
08/01/2018	***-**-97FB - RIVERA, ACCOUNT #: 925419429	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	96.46	96.46	0.00	96.46	80.0	77.17
08/01/2018	***-**-65FB - FRANKIAN, TIAHNA ACCOUNT #: 925422175	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	4.17	4.17	0.00	4.17	80.0	3.34
08/01/2018	***-**-52FB - FRANKIAN, ACCOUNT #: 925422183	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	3.23	3.23	0.00	3.23	80.0	2.58
08/01/2018	***-**-17FB - GOLLINGER, ACCOUNT #: 925429573	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	4.90	4.90	0.00	4.90	80.0	3.92
08/01/2018	***-**-17FB - GOLLINGER, ACCOUNT #: 925429578	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	1.97	1.97	0.00	1.97	80.0	1.58
08/01/2018	***-**-68FB - KIEL, JANET ACCOUNT #: 925434255	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	27.93	27.93	0.00	27.93	80.0	22.34
08/01/2018	***-**-68FB - KIEL, JANET ACCOUNT #: 925434257	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	17.63	17.63	0.00	17.63	80.0	14.10
* RIA SUBTOTAL *			\$3,243.45	\$3,243.45	\$0.00	\$3,243.45		\$2,594.76
*** BROKER TRANSACTIONS TOTAL ***			\$3,243.45	\$3,243.45	\$0.00	\$3,243.45		\$2,594.76



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$2,594.76
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$43.36
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,638.12
						Year-to-date Earnings		\$24,337.28