



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**May 31, 2017**

05/25/2017  
 Page No. 1

101 CFGROUP/KOSANKE  
 1120 E. Long Lake Road  
 Suite 250  
 Troy, MI 48085

BROKER #: 101  
 BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: INTERVAL FUNDS</b>								
05/12/2017	CANNON TRUST, HENRY & BARBARA	GRIFFIN INST'L ACCESS R E FUND C	100,000.00	1,000.00	0.00	1,000.00	95.0	950.00
05/16/2017	RANG, JAMES S & MARIL	GRIFFIN INST'L ACCESS R E FUND C	7,500.00	75.00	0.00	75.00	95.0	71.25
* INTERVAL FUNDS SUBTOTAL *			\$107,500.00	\$1,075.00	\$0.00	\$1,075.00		\$1,021.25
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
05/17/2017	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
05/17/2017	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
05/17/2017	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
05/17/2017	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
05/17/2017	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
05/17/2017	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
05/17/2017	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
05/17/2017	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
05/17/2017	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
05/17/2017	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
05/17/2017	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
05/17/2017	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
05/17/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	95.0	2.85
05/17/2017	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
05/17/2017	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
05/17/2017	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
05/17/2017	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
^05/08/2017	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	300.00	6.00	0.00	6.00	95.0	0.00
^05/10/2017	NANAS, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	0.00
^05/08/2017	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	600.00	12.00	0.00	12.00	95.0	0.00
05/17/2017	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
05/17/2017	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/17/2017	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
05/17/2017	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
05/08/2017	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
05/17/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	25.00	0.25	0.00	0.25	95.0	0.24
05/17/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	25.00	0.25	0.00	0.25	95.0	0.24
05/17/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	25.00	0.25	0.00	0.25	95.0	0.24
05/17/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	25.00	0.25	0.00	0.25	95.0	0.24
05/17/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	25.00	0.25	0.00	0.25	95.0	0.24
^05/08/2017	GINGELL, PAUL	AM FUNDS ICA A	300.00	6.00	0.00	6.00	95.0	0.00
05/15/2017	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
05/17/2017	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
05/17/2017	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
05/17/2017	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
05/17/2017	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
^05/08/2017	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	300.00	6.00	0.00	6.00	95.0	0.00
05/10/2017	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	4.75
05/17/2017	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
05/17/2017	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
05/17/2017	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
05/17/2017	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
05/17/2017	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
05/17/2017	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
05/17/2017	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
05/17/2017	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
^05/08/2017	POUPORE, ISABELLE	AM FUNDS WASHINGTON MUTUAL INVESTOR	11,172.30	474.82	0.00	474.82	95.0	0.00
05/08/2017	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
05/10/2017	IVEZAJ, GYSTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.88	0.00	1.88	95.0	1.79
05/15/2017	KEMP, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
05/15/2017	BEHNKE, DANIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	95.0	2.38
05/15/2017	BEHNKE, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	95.0	4.75
05/17/2017	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
05/17/2017	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
05/17/2017	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
05/17/2017	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/02/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
05/02/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
05/05/2017	KOSUTH, SARA	COLUMBIA STRATEGIC INVESTOR A	100.00	5.00	0.00	5.00	95.0	4.75
05/01/2017	PARTALIS, CHRISTINE	DAVIS NEW YORK VENTURE A	100.00	4.00	0.00	4.00	95.0	3.80
05/15/2017	ROBINETTE, JANET	DAVIS NEW YORK VENTURE A	50.00	2.00	0.00	2.00	95.0	1.90
05/01/2017	PISTOLESI, VAUGHN	DAVIS NEW YORK VENTURE B	200.00	8.00	0.00	8.00	95.0	7.60
05/01/2017	PISTOLESI, MORGAN	DAVIS NEW YORK VENTURE B	100.00	4.00	0.00	4.00	95.0	3.80
05/15/2017	MORICI, MICHELLE	DAVIS NEW YORK VENTURE B	50.00	2.00	0.00	2.00	95.0	1.90
05/15/2017	GARLAND, DAWN	DAVIS NEW YORK VENTURE B	30.00	1.20	0.00	1.20	95.0	1.14
05/01/2017	HOFER, KATHLEEN	FEDERATED BOND A	0.00	1.56	0.00	1.56	95.0	1.48
05/01/2017	ELLIOT, PATRICIA	FEDERATED EQUITY INCOME A	0.00	10.28	0.00	10.28	95.0	9.77
05/01/2017	KING, VICTORIA	FEDERATED KAUFMANN FUND A	0.00	8.62	0.00	8.62	95.0	8.19
05/01/2017	ELLIOT, JAMES	FEDERATED KAUFMANN FUND-C	0.00	9.24	0.00	9.24	95.0	8.78
05/01/2017	ELLIOT, JAMES	FEDERATED KAUFMANN FUND-C	0.00	27.72	0.00	27.72	95.0	26.33
05/01/2017	FINCH, BEVERLY	FEDERATED SVCS CORP	0.00	0.20	0.00	0.20	95.0	0.19
05/01/2017	HEGEL, SUSAN	FEDERATED US GOV SEC A	0.00	2.34	0.00	2.34	95.0	2.22
05/01/2017	KUKIELKA, LOTTIE	FEDERATED US GOV SEC A	0.00	6.38	0.00	6.38	95.0	6.06
05/10/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
/ /	BERDESKI, AUDREY	GUGGENHEIM NASDAQ 100-H	0.00	0.87	0.00	0.87	95.0	0.83
/ /	KANAKRI, JOSEPH	GUGGENHEIM NASDAQ 100-H	0.00	0.40	0.00	0.40	95.0	0.38
/ /	WAGNER, SHARON	GUGGENHEIM NASDAQ 100-H	0.00	0.29	0.00	0.29	95.0	0.28
05/12/2017	LAI, MICHAEL	JH FINANCIAL INDUSTRIES A	0.00	1.12	0.00	1.12	95.0	1.06
05/12/2017	MALINAK, FRANCES	JH FINANCIAL INDUSTRIES A	0.00	6.72	0.00	6.72	95.0	6.38
05/12/2017	BOHACEK, STEVE	JH LARGE CAP EQUITY A	0.00	5.28	0.00	5.28	95.0	5.02
05/12/2017	RACK, ROBERT	JH LARGE CAP EQUITY A	0.00	5.29	0.00	5.29	95.0	5.03
05/12/2017	ENGLEBERT, JAMES	JH REGIONAL BANK A	0.00	4.49	0.00	4.49	95.0	4.27
05/12/2017	HELTSLEY, MARTHA	JH REGIONAL BANK A	0.00	1.73	0.00	1.73	95.0	1.64
05/12/2017	BOZELKA, KEVIN	JH REGIONAL BANK A	0.00	1.78	0.00	1.78	95.0	1.69
05/12/2017	JAHNKE, DENNIS	JH REGIONAL BANK A	0.00	4.20	0.00	4.20	95.0	3.99
05/12/2017	MIKLEVICH, MICHAEL	JH REGIONAL BANK A	0.00	6.27	0.00	6.27	95.0	5.96
05/12/2017	EIS (MINOR), MARGO	JH STRATEGIC GROWTH A	0.00	0.40	0.00	0.40	95.0	0.38
05/12/2017	MIKLEVICH, MICHAEL	JH STRATEGIC GROWTH A	0.00	0.45	0.00	0.45	95.0	0.43
05/12/2017	EIS (MINOR), AUSTIN	JH STRATEGIC GROWTH A	0.00	0.64	0.00	0.64	95.0	0.61

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/12/2017	EIS (MINOR), STEFANIE	JH STRATEGIC GROWTH A	0.00	0.64	0.00	0.64	95.0	0.61
05/12/2017	EIS, AUSTIN	JN DISCIPLINED VALUE INT'L A	0.00	1.49	0.00	1.49	95.0	1.42
05/12/2017	EIS, STEFANIE	JN DISCIPLINED VALUE INT'L A	0.00	1.49	0.00	1.49	95.0	1.42
05/15/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	95.0	2.26
05/15/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	27.02	1.28	0.00	1.28	95.0	1.22
05/15/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	50.00	2.00	0.00	2.00	95.0	1.90
05/15/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	43.74	1.75	0.00	1.75	95.0	1.66
05/15/2017	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	32.30	0.32	0.00	0.32	95.0	0.30
05/15/2017	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	32.30	0.32	0.00	0.32	95.0	0.30
05/15/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	50.00	2.38	0.00	2.38	95.0	2.26
05/15/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	27.02	1.28	0.00	1.28	95.0	1.22
05/15/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	50.00	2.00	0.00	2.00	95.0	1.90
05/15/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	43.74	1.75	0.00	1.75	95.0	1.66
05/15/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	32.30	0.32	0.00	0.32	95.0	0.30
05/15/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	32.30	0.32	0.00	0.32	95.0	0.30
05/15/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	32.30	0.32	0.00	0.32	95.0	0.30
05/15/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	32.30	0.32	0.00	0.32	95.0	0.30
05/15/2017	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	50.00	2.38	0.00	2.38	95.0	2.26
05/15/2017	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	27.00	1.28	0.00	1.28	95.0	1.22
05/15/2017	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	50.00	2.00	0.00	2.00	95.0	1.90
05/15/2017	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	43.75	1.75	0.00	1.75	95.0	1.66
05/15/2017	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	32.32	0.32	0.00	0.32	95.0	0.30
05/15/2017	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	32.32	0.32	0.00	0.32	95.0	0.30
05/15/2017	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	50.00	0.50	0.00	0.50	95.0	0.48
05/15/2017	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	50.00	2.00	0.00	2.00	95.0	1.90
05/15/2017	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	43.74	1.75	0.00	1.75	95.0	1.66
05/15/2017	RENTROP, GARRETT	OPPENHEIMER QUEST BALANCED C	32.30	0.32	0.00	0.32	95.0	0.30
05/15/2017	RENTROP, GARRETT	OPPENHEIMER QUEST BALANCED C	32.30	0.32	0.00	0.32	95.0	0.30
05/01/2017	WONCH, LIZ	PUTNAM INVESTORS A	50.00	2.50	0.00	2.50	95.0	2.38
/ /	BERDESKI, AUDREY	RYDEX GUGG S&P 500 H	0.00	1.11	0.00	1.11	95.0	1.05
/ /	KANAKRI, JOSEPH	RYDEX GUGG S&P 500 H	0.00	0.50	0.00	0.50	95.0	0.48
/ /	WAGNER, SHARON	RYDEX GUGG S&P 500 H	0.00	0.36	0.00	0.36	95.0	0.34
/ /	BERDESKI, AUDREY	RYDEXGUGGENHEIM INVERSE NASDAQ 100	0.00	0.53	0.00	0.53	95.0	0.50

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	KANAKRI, JOSEPH	RYDEXGUGGENHEIM INVERSE NASDAQ 100	0.00	0.24	0.00	0.24	95.0	0.23
/ /	WAGNER, SHARON	RYDEXGUGGENHEIM INVERSE NASDAQ 100	0.00	0.17	0.00	0.17	95.0	0.16
05/08/2017	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	124.24	1.24	0.00	1.24	95.0	1.18
05/15/2017	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
05/17/2017	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	6,128.24	61.28	0.00	61.28	95.0	58.22
05/15/2017	KUCHAREK, PAUL	TRANSAMERICA AA MOD GROWTH A	250.00	10.00	0.00	10.00	95.0	9.50
05/15/2017	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	300.00	3.00	0.00	3.00	95.0	2.85
05/16/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	400.00	4.00	0.00	4.00	95.0	3.80
05/03/2017	BUSSELL REV LV TRUST, SHARON	WELLS FARGO 100% TREASURY MMKT-A	0.00	7.14	0.00	7.14	95.0	6.78
05/03/2017	DAMIANO, MAURICE	WELLS FARGO HIGH YIELD BOND A	0.00	57.36	0.00	57.36	95.0	54.49
05/03/2017	WERNER, DOROTHY	WELLS FARGO INCOME PLUS A	0.00	10.03	0.00	10.03	95.0	9.53
05/03/2017	THOMAS, HENRY	WELLS FARGO INCOME PLUS A	0.00	3.31	0.00	3.31	95.0	3.14
05/03/2017	THOMAS, SYBIL	WELLS FARGO INCOME PLUS A	0.00	2.60	0.00	2.60	95.0	2.47
05/03/2017	TOTEFF, JESSIE	WELLS FARGO INCOME PLUS A	0.00	3.24	0.00	3.24	95.0	3.08
05/03/2017	SPEAROT, ALAN	WELLS FARGO INCOME PLUS A	0.00	0.86	0.00	0.86	95.0	0.82
05/03/2017	SPEAROT, DOUGLAS	WELLS FARGO INCOME PLUS A	0.00	0.86	0.00	0.86	95.0	0.82
05/03/2017	DAMIANO, MAURICE	WELLS FARGO INTL BOND A	0.00	16.86	0.00	16.86	95.0	16.02
05/03/2017	GUTMAN, KENNETH	WELLS FARGO INTL BOND A	0.00	1.99	0.00	1.99	95.0	1.89
05/03/2017	GUTMAN, MATHEW	WELLS FARGO INTL BOND A	0.00	1.99	0.00	1.99	95.0	1.89
05/03/2017	JAGGER, ALBERT	WELLS FARGO INTL BOND A	0.00	26.11	0.00	26.11	95.0	24.80
05/03/2017	BUSSELL REV LIV TRST, GEROGE &	WELLS FARGO MONEY MKT A	0.00	28.46	0.00	28.46	95.0	27.04
05/03/2017	STEBBINS, DAVID	WELLS FARGO OPP A	0.00	0.58	0.00	0.58	95.0	0.55
05/03/2017	BASILE, NINO	WELLS FARGO OPP A	0.00	0.85	0.00	0.85	95.0	0.81
05/03/2017	DUDLEY, CHERYL	WELLS FARGO OPP A	0.00	1.05	0.00	1.05	95.0	1.00
05/03/2017	BASILE, ANNA	WELLS FARGO OPP A	0.00	0.74	0.00	0.74	95.0	0.70
05/03/2017	CODDING, CRAIG	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	2.93	0.00	2.93	95.0	2.78
05/03/2017	KISH, VIVIAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.94	0.00	0.94	95.0	0.89
05/03/2017	ROEHRIG, RITA	WELLS FARGO STRATEGIC MUNI A	0.00	2.60	0.00	2.60	95.0	2.47
05/03/2017	JAGGER, ALBERT	WELLS FARGO STRATEGIC MUNI A	0.00	24.10	0.00	24.10	95.0	22.90
05/03/2017	PER, MARIANNE	WELLS FARGO STRATEGIC MUNI A	0.00	0.59	0.00	0.59	95.0	0.56
05/03/2017	TSEREGOUNIS, SPYROS	WELLS FARGO STRATEGIC MUNI A	0.00	5.04	0.00	5.04	95.0	4.79
05/03/2017	ALEXOPOULOS, PAUL	WELLS FARGO STRATEGIC MUNI A	0.00	5.53	0.00	5.53	95.0	5.25
05/03/2017	REBNER, WALDEMAR	WELLS FARGO STRATEGIC MUNI A	0.00	8.94	0.00	8.94	95.0	8.49

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/03/2017	DAMIANO, MAURICE	WELLS FARGO STRATEGIC MUNI A	0.00	11.69	0.00	11.69	95.0	11.11
05/03/2017	SPEAROT, REBECCA	WELLS FARGO STRATEGIC MUNI A	0.00	1.59	0.00	1.59	95.0	1.51
05/03/2017	CLEMAK, CHARLES	WELLS FARGO STRATEGIC MUNI A	0.00	27.04	0.00	27.04	95.0	25.69
05/03/2017	MUGLIA, DOMINIC	WELLS FARGO STRATEGIC MUNI A	0.00	35.85	0.00	35.85	95.0	34.06
05/03/2017	MCLUCAS, ROBERT	WELLS FARGO STRATEGIC MUNI A	0.00	52.63	0.00	52.63	95.0	50.00
05/03/2017	KATEHI, PISTI	WELLS FARGO TOTAL RETURN BOND A	0.00	1.11	0.00	1.11	95.0	1.05
05/03/2017	TSEREGOUNIS, SPYROS	WELLS FARGO TOTAL RETURN BOND A	0.00	1.05	0.00	1.05	95.0	1.00
05/03/2017	SNYDER, SHIRLEY	WELLS FARGO TOTAL RETURN BOND A	0.00	0.57	0.00	0.57	95.0	0.54
05/03/2017	BAYS, MARVIN	WELLS FARGO UTILITY AND TELECOM A	0.00	10.60	0.00	10.60	95.0	10.07
* MUTUAL FUNDS SUBTOTAL *			\$23,718.83	\$1,188.50	\$0.00	\$1,188.50		\$644.81
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
05/08/2017	DINKA, JOHN	PAC LIFE VUL	2,500.00	50.00	0.00	50.00	95.0	47.50
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$2,500.00	\$50.00	\$0.00	\$50.00		\$47.50
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/17/2017	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	258.00	0.00	258.00	95.0	245.10
04/17/2017	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	774.00	0.00	774.00	95.0	735.30
04/17/2017	KOSANKE TRUST, MARK	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.69	0.00	8.69	95.0	8.26
04/17/2017	KOSANKE TRUST, MARK	GRIFFIN INST'L ACCESS R E FUND C	0.00	26.08	0.00	26.08	95.0	24.78
04/17/2017	FARRAR TRUST, CHARLES & KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	126.00	0.00	126.00	95.0	119.70
04/17/2017	FARRAR TRUST, CHARLES & KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	377.99	0.00	377.99	95.0	359.09
04/17/2017	ANDERSON, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.50	0.00	31.50	95.0	29.93
04/17/2017	ANDERSON, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	94.50	0.00	94.50	95.0	89.78
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,696.76	\$0.00	\$1,696.76		\$1,611.94
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
05/09/2017	MEYER, THOMAS	ING PREMIUM PLUS	0.00	286.24	0.00	286.24	95.0	271.93
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$286.24	\$0.00	\$286.24		\$271.93
*** BROKER TRANSACTIONS TOTAL ***			\$133,718.83	\$4,296.50	\$0.00	\$4,296.50		\$3,597.43

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: MARK KOSANKE #3</u></b>								
05/04/2017	MCCLAIN, DOROTHY	GENWORTH CHOICE 03 (UNBUN GUAR 1.5)	91,466.19	76.22	0.00	76.22	95.0	72.41
05/04/2017	SCHMIDT, MARION	GENWORTH CHOICE VA	17,709.00	14.76	0.00	14.76	95.0	14.02
05/04/2017	EMBACH, KEVIN	GENWORTH CHOICE VA	72,745.52	60.62	0.00	60.62	95.0	57.59
05/04/2017	EMBACH, JAMES	GENWORTH CHOICE VA	72,745.53	60.62	0.00	60.62	95.0	57.59
05/04/2017	ZACK, MAUREEN	GENWORTH CHOICE VA	72,745.53	60.62	0.00	60.62	95.0	57.59
05/04/2017	HARTOUNIAN, HAGOB	GENWORTH CHOICE VA	10,935.61	9.11	0.00	9.11	95.0	8.65
05/04/2017	BYERS, SHARRON	GENWORTH COMMONWEALTH VA 1994 (NON-	97,670.34	16.28	0.00	16.28	95.0	15.47
05/28/2017	STEFFES, MARTIN	JNL REWARD 10 YR TERM	32.61	0.16	0.00	0.16	95.0	0.15
05/06/2017	MOSIER, JOHN	NATIONWIDE MARKETFLEX	200.00	4.00	0.00	4.00	95.0	3.80
05/03/2017	RIMKUS, MARILYN	WELLS FARGO ENTERPRISE A	0.00	3.83	0.00	3.83	95.0	3.64
05/03/2017	ALEXANDER, JEAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.86	0.00	0.86	95.0	0.82
05/03/2017	EMMER, ILENE	WELLS FARGO TOTAL RETURN BOND A	0.00	0.17	0.00	0.17	95.0	0.16
05/03/2017	DANIELS, KATHLEEN	WELLS FARGO TOTAL RETURN BOND A	0.00	2.89	0.00	2.89	95.0	2.75
05/03/2017	SMOLBOSKI, BARBARA	WELLS FARGO TOTAL RETURN BOND A	0.00	0.22	0.00	0.22	95.0	0.21
<b>* MARK KOSANKE SUBTOTAL *</b>			<b>\$436,250.33</b>	<b>\$310.36</b>	<b>\$0.00</b>	<b>\$310.36</b>		<b>\$294.85</b>
<b><u>BROKER: 101 CFGROUP/KOSANKE #9</u></b>								
05/08/2017	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	300.00	6.00	0.00	6.00	95.0	5.70
05/10/2017	NANAS, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	4.75
05/08/2017	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	600.00	12.00	0.00	12.00	95.0	11.40
05/08/2017	GINGELL, PAUL	AM FUNDS ICA A	300.00	6.00	0.00	6.00	95.0	5.70
05/08/2017	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	300.00	6.00	0.00	6.00	95.0	5.70
05/08/2017	POUPORE, ISABELLE	AM FUNDS WASHINGTON MUTUAL INVESTOR	11,172.30	474.82	0.00	474.82	95.0	451.08
<b>* 101 CFGROUP/KOSANKE SUBTOTAL *</b>			<b>\$12,772.30</b>	<b>\$509.82</b>	<b>\$0.00</b>	<b>\$509.82</b>		<b>\$484.33</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$449,022.63</b>	<b>\$820.18</b>	<b>\$0.00</b>	<b>\$820.18</b>		<b>\$779.18</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: FRANK DUNN #7</u></b>								
05/18/2017	JONAS, DOROTHY	ISHARES TR CORE HIGH DIVID ETF	29,950.33	300.00	22.54	277.46	90.0	13.87
05/18/2017	JONAS, DOROTHY	ISHARES TR S&P U S PFD STK INDEX FD	39,988.88	400.00	23.55	376.45	90.0	18.82
05/18/2017	JONAS, DOROTHY	SPDR GOLD TR GOLD SHS	29,908.66	300.00	22.50	277.50	90.0	13.88
* FRANK DUNN SUBTOTAL *			<b>\$99,847.87</b>	<b>\$1,000.00</b>	<b>\$68.59</b>	<b>\$931.41</b>		<b>\$46.57</b>
<b><u>BROKER: 101 CFGROUP/KOSANKE #9</u></b>								
05/17/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	95.0	3.00
* 101 CFGROUP/KOSANKE SUBTOTAL *			<b>\$60.00</b>	<b>\$3.00</b>	<b>\$0.00</b>	<b>\$3.00</b>		<b>\$3.00</b>
<b><u>BROKER: THOMAS SCHNEIDER #30</u></b>								
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.11	0.00	0.11	0.0	0.11
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.11	0.00	0.11	0.0	0.11
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GREENE, DAVID	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GREENE, DAVID	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.10	0.00	0.10	0.0	0.10
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.10	0.00	0.10	0.0	0.10
* THOMAS SCHNEIDER SUBTOTAL *			<b>\$0.00</b>	<b>\$0.64</b>	<b>\$0.00</b>	<b>\$0.64</b>		<b>\$0.64</b>
*** OVERRIDE TRANSACTIONS TOTAL ***			<b>\$99,907.87</b>	<b>\$1,003.64</b>	<b>\$68.59</b>	<b>\$935.05</b>		<b>\$50.21</b>

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<b><u>ADJUSTMENTS</u></b>								
05/31/2017		*DUE DILIGENCE: DD INLAND-KRAMER	0.00					2,499.65
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$2,499.65

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,597.43
Split Transactions	\$779.18
Override Transactions	\$50.21
Adjustments	\$2,499.65
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,926.47
Year-to-date Gross	\$124,424.50
Year-to-date Earnings	\$121,011.91

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