

**COMMISSION STATEMENT****January 31, 2018**

ROY PFLEGER II
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: P123
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
01/24/2018	PFLEGER, VARIOUS	AMERICAN FUNDS PERSHING	0.00	1.35	0.00	1.35	75.0	1.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.35	\$0.00	\$1.35		\$1.01
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$1.35	\$0.00	\$1.35		\$1.01
<u>SPLIT TRANSACTIONS</u>								
BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254								
01/24/2018	FORT G3G, VARIOUS	AMERICAN FUNDS PERSHING	0.00	30.75	0.00	30.75	75.0	23.06
12/31/2017	SHEA III, JOHN	GRIFFIN AMERICAN HEALTHCARE REIT IV	3,000.00	2.65	0.00	2.65	75.0	1.99
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$3,000.00	\$33.40	\$0.00	\$33.40		\$25.05
*** SPLIT TRANSACTIONS TOTAL ***			\$3,000.00	\$33.40	\$0.00	\$33.40		\$25.05
<u>ADJUSTMENTS</u>								
01/31/2018		*MISC: 1/15/18 OVERNIGHT FEE	0.00					-10.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-10.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1.01
Split Transactions	\$25.05
Override Transactions	\$0.00
Adjustments	\$-10.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$16.06
Year-to-date Gross	\$14,658.12
Year-to-date Earnings	\$10,993.62