

**COMMISSION STATEMENT****November 30, 2017**

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
 11617 Coldwater Road
 Suite 103
 Fort Wayne, IN 46845

BROKER #: L131
 BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^11/13/2017	BURTNETTE, PATRICIA	AMERICAN HIGH INCOME TRUST CLASS F-	30,000.00	0.00	0.00	0.00	90.0	0.00
^11/13/2017	BURTNETTE, PATRICIA	PRUDENTIAL MUNI HIGH INCOME FUND CL	30,000.00	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$60,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^11/13/2017	BURTNETTE, PATRICIA	ANHEUSER BUSCH INBEV SA NV SPONSORE	-15,379.00	0.00	0.00	0.00	90.0	0.00
^11/13/2017	BURTNETTE, PATRICIA	AT&T INC COM	9,931.49	0.00	0.00	0.00	90.0	0.00
^11/13/2017	BURTNETTE, PATRICIA	ENERGY TRANSFER PARTNERS L P NEW UN	14,941.55	0.00	0.00	0.00	90.0	0.00
^11/13/2017	BURTNETTE, PATRICIA	ISHARES TR CORE HIGH DIVID ETF	24,960.01	0.00	0.00	0.00	90.0	0.00
^11/13/2017	BURTNETTE, PATRICIA	MATTEL INC COM	15,724.38	0.00	0.00	0.00	90.0	0.00
^11/13/2017	BURTNETTE, PATRICIA	POWERSHARES EXCHANGE-TRADED FD TR I	30,026.40	0.00	0.00	0.00	90.0	0.00
^11/13/2017	BURTNETTE, PATRICIA	SELECT SECTOR SPDR TR CONSUMER STAP	30,000.63	0.00	0.00	0.00	90.0	0.00
* STOCKS SUBTOTAL *			\$110,205.46	\$0.00	\$0.00	\$0.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$170,205.46	\$0.00	\$0.00	\$0.00		\$0.00
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
^10/30/2017	FIRE POLICE CITY, DB PLAN	PRINCIPAL LIFE INS	0.00	2,031.28	0.00	2,031.28	90.0	0.00
* RIA SUBTOTAL *			\$0.00	\$2,031.28	\$0.00	\$2,031.28		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$2,031.28	\$0.00	\$2,031.28		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
11/13/2017	BURTNETTE, PATRICIA	AMERICAN HIGH INCOME TRUST CLASS F-	30,000.00	0.00	0.00	0.00	90.0	0.00
11/13/2017	BURTNETTE, PATRICIA	ANHEUSER BUSCH INBEV SA NV SPONSORE	-15,379.00	0.00	0.00	0.00	90.0	0.00
11/13/2017	BURTNETTE, PATRICIA	AT&T INC COM	9,931.49	0.00	0.00	0.00	90.0	0.00
11/13/2017	BURTNETTE, PATRICIA	ENERGY TRANSFER PARTNERS L P NEW UN	14,941.55	0.00	0.00	0.00	90.0	0.00
11/13/2017	BURTNETTE, PATRICIA	ISHARES TR CORE HIGH DIVID ETF	24,960.01	0.00	0.00	0.00	90.0	0.00
11/13/2017	BURTNETTE, PATRICIA	MATTEL INC COM	15,724.38	0.00	0.00	0.00	90.0	0.00
11/13/2017	BURTNETTE, PATRICIA	POWERSHARES EXCHANGE-TRADED FD TR I	30,026.40	0.00	0.00	0.00	90.0	0.00
10/30/2017	FIRE POLICE CITY, DB PLAN	PRINCIPAL LIFE INS	0.00	609.38	0.00	609.38	90.0	548.44
11/13/2017	BURTNETTE, PATRICIA	PRUDENTIAL MUNI HIGH INCOME FUND CL	30,000.00	0.00	0.00	0.00	90.0	0.00
11/13/2017	BURTNETTE, PATRICIA	SELECT SECTOR SPDR TR CONSUMER STAP	30,000.63	0.00	0.00	0.00	90.0	0.00
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$170,205.46	\$609.38	\$0.00	\$609.38		\$548.44
*** SPLIT TRANSACTIONS TOTAL ***			\$170,205.46	\$609.38	\$0.00	\$609.38		\$548.44

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$548.44
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$548.44
Year-to-date Gross	\$14,692.10
Year-to-date Earnings	\$13,222.92

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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