



All Companies

03/29/2018

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COMMISSION STATEMENT

April 02, 2018

BRIAN VAN MATTSON
1020 HIDDEN VALLEY CT.

BROKER #: 9116
BRANCH: HOME OFFICE

McGregor, TX 76657-

COMMISSION STATEMENT for BRIAN VAN MATTSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/14/2018	BEELEER/CARPENTER, JASON/KELLIE	COLLEGEBOUND 529	B	150.00	5.25	0.00	5.25	90.0	4.73
03/12/2018	00062563099 BURKETT, JAMES	AMERICAN FUNDS WA MUTUAL	INVEST B	150.00	7.50	0.00	7.50	90.0	6.75
03/20/2018	00081160635 KING, KELLY R	AMERICAN BALANCED FUND-C	B	1,833.33	18.33	0.00	18.33	90.0	16.50
03/20/2018	00081160635 KING, KELLY R	CAPITAL INCOME BUILDER-C	B	1,833.34	18.33	0.00	18.33	90.0	16.50
03/20/2018	00081160635 KING, KELLY R	FUNDAMENTAL INVESTORS-C	B	1,833.33	18.33	0.00	18.33	90.0	16.50
03/20/2018	00081487492 DEVORSKY, SUZIE R	CAPITAL INCOME BUILDER-C	B	100.00	1.00	0.00	1.00	90.0	0.90
03/12/2018	00082796933 YODER, DENNIS	AMERICAN BALANCED FUND-C	B	152.78	1.53	0.00	1.53	90.0	1.38
03/12/2018	00082796933 YODER, DENNIS	CAPITAL INCOME BUILDER-C	B	152.78	1.53	0.00	1.53	90.0	1.38
03/12/2018	00082796933 YODER, DENNIS	CAPITAL WORLD GROWTH AND INCOME FUN	B	152.77	1.53	0.00	1.53	90.0	1.38
03/20/2018	00083496148 KING, JERRY	AMCAP FUND-C	B	916.66	9.17	0.00	9.17	90.0	8.25
03/20/2018	00083496148 KING, JERRY	AMERICAN BALANCED FUND-C	B	916.67	9.17	0.00	9.17	90.0	8.25
03/20/2018	00083496148 KING, JERRY	CAPITAL INCOME BUILDER-C	B	916.67	9.17	0.00	9.17	90.0	8.25
03/20/2018	00083496148 KING, JERRY	CAPITAL WORLD GROWTH AND INCOME FUN	B	916.67	9.17	0.00	9.17	90.0	8.25
03/20/2018	00083496148 KING, JERRY	FUNDAMENTAL INVESTORS-C	B	916.67	9.17	0.00	9.17	90.0	8.25
03/20/2018	00083496148 KING, JERRY	THE GROWTH FUND OF AMERICA-C	B	916.66	9.17	0.00	9.17	90.0	8.25
03/20/2018	00083728788 LACY, TANYA	AMERICAN BALANCED FUND-C	B	250.00	2.50	0.00	2.50	90.0	2.25
03/20/2018	00083728788 LACY, TANYA	CAPITAL INCOME BUILDER-C	B	250.00	2.50	0.00	2.50	90.0	2.25
03/15/2018	00084996825 HOLUB, BRANDI L.	CAPITAL WORLD GROWTH AND INCOME FUN	B	50.00	0.50	0.00	0.50	90.0	0.45
03/15/2018	00084996825 HOLUB, BRANDI L.	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/15/2018	00084996825 HOLUB, BRANDI L.	THE INCOME FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/15/2018	00084996825 HOLUB, BRANDI L.	THE INVESTMENT COMPANY OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/15/2018	00085786312 HANNA, MICHAEL	CAPITAL WORLD GROWTH AND INCOME-529	B	150.00	1.50	0.00	1.50	90.0	1.35
03/15/2018	00085786341 HANNA, MICHAEL	CAPITAL WORLD GROWTH AND INCOME-529	B	200.00	2.00	0.00	2.00	90.0	1.80
03/20/2018	00086029369 CA/TOD	CAPITAL WORLD GROWTH AND INCOME FUN	B	100.00	1.00	0.00	1.00	90.0	0.90
03/15/2018	00086625581 HARRIS/BAKER, CONNIE/GRACE	THE INVESTMENT COMPANY OF AMERICA-5	B	50.00	0.50	0.00	0.50	90.0	0.45
03/12/2018	00087474076 SHAFFER, TRACY	AMERICAN BALANCED FUND-C	B	179.00	1.79	0.00	1.79	90.0	1.61
03/12/2018	00087474076 SHAFFER, TRACY	CAPITAL INCOME BUILDER-C	B	179.00	1.79	0.00	1.79	90.0	1.61
03/12/2018	00087474076 SHAFFER, TRACY	CAPITAL WORLD GROWTH AND INCOME FUN	B	180.00	1.80	0.00	1.80	90.0	1.62
03/15/2018	00088427132 HANNA, MICHAEL	CAPITAL WORLD GROWTH AND INCOME-529	B	100.00	1.00	0.00	1.00	90.0	0.90

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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

03/15/2018	00088435772	ARRENDELL, LANE R	THE INVESTMENT COMPANY OF AMERICA-5 B	100.00	1.00	0.00	1.00	90.0	0.90
03/15/2018	00088682857	ROASA, MICHAEL	COLLEGE 2030 FUND-529C	B	100.00	1.00	1.00	90.0	0.90
03/15/2018	00088682879	ROASA, MICHAEL	COLLEGE 2030 FUND-529C	B	100.00	1.00	1.00	90.0	0.90
03/15/2018	00089364522	CALLEN, DEAN	CAPITAL WORLD GROWTH AND INCOME-529 B	200.00	2.00	0.00	2.00	90.0	1.80
03/15/2018	00089364522	CALLEN, DEAN	THE GROWTH FUND OF AMERICA-529C	B	200.00	2.00	2.00	90.0	1.80
03/15/2018	00089544673	ARRENDELL, LANE R	THE INVESTMENT COMPANY OF AMERICA-5 B	100.00	1.00	0.00	1.00	90.0	0.90
03/20/2018	04000837846	HARRIS, HEATH	AMERICAN FUNDS BALANCED FD	B	9,554.70	477.74	477.74	90.0	429.97
03/07/2018	2171440263	MARAK, MICHELE	OPPENHEIMER GLOBAL INCOME & GROWTH B	99.00	0.99	0.00	0.99	90.0	0.89
03/07/2018	2270564619	MARAK, MICHELE	OPPENHEIMER QUEST VALUE FD CL C B	99.00	0.99	0.00	0.99	90.0	0.89
03/07/2018	2322125057	MARAK, MICHELE	OPPENHEIMER STRATEGIC INCOME FD CL B	102.00	1.02	0.00	1.02	90.0	0.92
* MUTUAL FUNDS SUBTOTAL *				<u>\$24,351.03</u>	<u>\$635.47</u>	<u>\$0.00</u>	<u>\$635.47</u>		<u>\$571.93</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/02/2018	TRAIL COMMISSIONS	AIG FUNDS FEES	0.00	54.44	0.00	54.44	90.0	49.00
04/02/2018	TRAIL COMMISSIONS	SUN AMERICA LIFE FEES	0.00	72.75	0.00	72.75	90.0	65.48
04/02/2018	TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES	0.00	3.07	0.00	3.07	90.0	2.76
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$130.26</u>	<u>\$0.00</u>	<u>\$130.26</u>	<u>\$117.24</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$24,351.03</u>	<u>\$765.73</u>	<u>\$0.00</u>	<u>\$765.73</u>	<u>\$689.17</u>

ADJUSTMENTS

04/02/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-7.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-7.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$689.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-7.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$681.67
Year-to-date Earnings	\$14,652.50