

COMMISSION STATEMENT**July 06, 2018**

HOUSE
3200 N. Central Ave., Ste 200
Phoenix, AZ 85012

BROKER #: 73853
BRANCH: 04-#70A11 HOME OFFIC

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: Securities America**BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

| | | | | | | | |
|---------------------------|----------------------|----------------------|---------------|----------------|---------------|----------------|----------------|
| 07/03/2018 | MELBY (73853), HOUSE | SAI SECURITES INCOME | 0.00 | 38.75 | 0.00 | 38.75 | 11.63 |
| * MUTUAL FUNDS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$38.75</u> | <u>\$0.00</u> | <u>\$38.75</u> | <u>\$11.63</u> |

PRODUCT CATEGORY: TRAILERS

| | | | | | | | |
|-----------------------------------|----------------------|------------|---------------|----------------|---------------|----------------|----------------|
| 07/03/2018 | MELBY (73853), HOUSE | SAI.TRAILS | 0.00 | 12.89 | 0.00 | 12.89 | 3.87 |
| * TRAILERS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$12.89</u> | <u>\$0.00</u> | <u>\$12.89</u> | <u>\$3.87</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | <u>\$0.00</u> | <u>\$51.64</u> | <u>\$0.00</u> | <u>\$51.64</u> | <u>\$15.50</u> |

ADJUSTMENTS

| | | | | | | | |
|---------------------------|--|--|---------------|---------------|---------------|---------------|----------------|
| 18. SAI SIPC PE 6/28/18 | | | | | | | -0.04 |
| *** ADJUSTMENTS TOTAL *** | | | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$-0.04</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$15.50 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-0.04 |
| Prior Period Balance | \$0.00 |
| NASD Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|------------|
| Check Amount | \$15.46 |
| Year-to-date Earnings | \$6,669.23 |