

COMMISSION STATEMENT

March 31, 2018

CLOSED Z-ACCOUNT

BROKER #: 75

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

| | | | | | | | | | | |
|------------|----------|------------------|----------------------------------|---|------------|------|------|------|------|------|
| 02/27/2018 | 75599821 | RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | B | 19,124.45 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 02/27/2018 | 75599821 | RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | S | 5,147.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 02/27/2018 | 75599821 | RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | S | 4,022.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 02/27/2018 | 75599821 | RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | S | 4,947.27 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 02/27/2018 | 75599821 | RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | S | 5,008.26 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 02/28/2018 | 75599821 | RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | S | 135,720.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 02/28/2018 | 75599821 | RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 135,720.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 02/28/2018 | 75599821 | RF LAFFERTY & CO | TREX COMPANY INC | B | 31,590.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 02/28/2018 | 75599821 | RF LAFFERTY & CO | TREX COMPANY INC | S | 31,590.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | AGILENT TECHNOLOGIES INC | B | 27,008.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | AGILENT TECHNOLOGIES INC | S | 67.52 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | AGILENT TECHNOLOGIES INC | S | 67.53 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | AGILENT TECHNOLOGIES INC | S | 6,752.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | AGILENT TECHNOLOGIES INC | S | 6,752.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | AGILENT TECHNOLOGIES INC | S | 6,752.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | AGILENT TECHNOLOGIES INC | S | 1,215.36 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | AGILENT TECHNOLOGIES INC | S | 1,350.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | AGILENT TECHNOLOGIES INC | S | 4,051.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | AQUANTIA CORP COMMON STOCK | S | 28,097.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | AQUANTIA CORP COMMON STOCK | B | 1,399.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | AQUANTIA CORP COMMON STOCK | B | 1,400.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | AQUANTIA CORP COMMON STOCK | B | 1,400.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | AQUANTIA CORP COMMON STOCK | B | 23,198.84 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | AQUANTIA CORP COMMON STOCK | B | 699.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | LEXINGTON BIOSCIENCES INC COMMON | S | 7,360.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | LEXINGTON BIOSCIENCES INC COMMON | B | 736.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | LEXINGTON BIOSCIENCES INC COMMON | B | 736.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | LEXINGTON BIOSCIENCES INC COMMON | B | 1,104.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | LEXINGTON BIOSCIENCES INC COMMON | B | 2,944.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | LEXINGTON BIOSCIENCES INC COMMON | B | 1,104.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 | RF LAFFERTY & CO | LEXINGTON BIOSCIENCES INC COMMON | B | 736.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 03/01/2018 | 75599821 RF LAFFERTY & CO | SAILPOINT TECHNOLOGIES HLDGS INC CO S | | 22,005.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 RF LAFFERTY & CO | SAILPOINT TECHNOLOGIES HLDGS INC CO B | | 2,708.46 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 RF LAFFERTY & CO | SAILPOINT TECHNOLOGIES HLDGS INC CO B | | 4,998.54 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 RF LAFFERTY & CO | SAILPOINT TECHNOLOGIES HLDGS INC CO B | | 1,101.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599821 RF LAFFERTY & CO | SAILPOINT TECHNOLOGIES HLDGS INC CO B | | 13,197.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/02/2018 | 75599821 RF LAFFERTY & CO | AQUANTIA CORP COMMON STOCK | S | 14,490.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/02/2018 | 75599821 RF LAFFERTY & CO | AQUANTIA CORP COMMON STOCK | B | 7,244.95 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/02/2018 | 75599821 RF LAFFERTY & CO | AQUANTIA CORP COMMON STOCK | B | 7,245.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/02/2018 | 75599821 RF LAFFERTY & CO | FACEBOOK INC CL A | S | 176,690.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/02/2018 | 75599821 RF LAFFERTY & CO | FACEBOOK INC CL A | B | 176,690.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/05/2018 | 75599821 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 272,410.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/05/2018 | 75599821 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | S | 272,410.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/05/2018 | 75599821 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | -272,410.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 03/05/2018 | 75599821 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | S | 272,410.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599821 RF LAFFERTY & CO | EKSO BIONICS HOLDINGS INC COMMON ST S | | 1,560.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599821 RF LAFFERTY & CO | EKSO BIONICS HOLDINGS INC COMMON ST B | | 1,560.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599821 RF LAFFERTY & CO | HIVE BLOCKCHAIN TECHNOLOGIS LTD COM S | | 1,330.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599821 RF LAFFERTY & CO | HIVE BLOCKCHAIN TECHNOLOGIS LTD COM B | | 133.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599821 RF LAFFERTY & CO | HIVE BLOCKCHAIN TECHNOLOGIS LTD COM B | | 266.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599821 RF LAFFERTY & CO | HIVE BLOCKCHAIN TECHNOLOGIS LTD COM B | | 931.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599821 RF LAFFERTY & CO | M101 CORP COMMON STOCK | B | 630.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599821 RF LAFFERTY & CO | M101 CORP COMMON STOCK | S | 600.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599821 RF LAFFERTY & CO | M101 CORP COMMON STOCK | S | 30.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599821 RF LAFFERTY & CO | RUSHNET INC | B | 441.88 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599821 RF LAFFERTY & CO | RUSHNET INC | S | 65.73 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599821 RF LAFFERTY & CO | RUSHNET INC | S | 184.27 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599821 RF LAFFERTY & CO | RUSHNET INC | S | 5.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599821 RF LAFFERTY & CO | RUSHNET INC | S | 57.61 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599821 RF LAFFERTY & CO | RUSHNET INC | S | 129.27 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/07/2018 | 75599821 RF LAFFERTY & CO | BIONIK LABORATORIES CORP | S | 3,262.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/07/2018 | 75599821 RF LAFFERTY & CO | BIONIK LABORATORIES CORP | B | 3,262.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/07/2018 | 75599821 RF LAFFERTY & CO | DOLLAR TREE INC | B | 44,105.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/07/2018 | 75599821 RF LAFFERTY & CO | DOLLAR TREE INC | S | 44,105.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/07/2018 | 75599821 RF LAFFERTY & CO | PERKINELMER INC COM | S | 30,388.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/07/2018 | 75599821 RF LAFFERTY & CO | PERKINELMER INC COM | B | 30,388.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/07/2018 | 75599821 RF LAFFERTY & CO | ROSS STORES INC | B | 60,160.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/07/2018 | 75599821 RF LAFFERTY & CO | ROSS STORES INC | S | 60,160.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/07/2018 | 75599821 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | S | 271,196.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/07/2018 | 75599821 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 271,196.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/08/2018 | 75599821 RF LAFFERTY & CO | BELL BUCKLE HOLDINGS INC | B | 308.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

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COMMISSION STATEMENT for CLOSED Z-ACCOUNT

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|---------------------------------|---------------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 03/12/2018 | 75599821 RF LAFFERTY & CO | LRAD CORPORATION | B | 235.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599821 RF LAFFERTY & CO | LRAD CORPORATION | B | 235.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599821 RF LAFFERTY & CO | LRAD CORPORATION | B | 235.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599821 RF LAFFERTY & CO | LRAD CORPORATION | B | 235.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599821 RF LAFFERTY & CO | LRAD CORPORATION | B | 235.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599821 RF LAFFERTY & CO | LRAD CORPORATION | B | 235.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599821 RF LAFFERTY & CO | LRAD CORPORATION | B | 235.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599821 RF LAFFERTY & CO | MARKETAXESS HOLDINGS INC | S | 66,840.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599821 RF LAFFERTY & CO | MARKETAXESS HOLDINGS INC | B | 22,280.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599821 RF LAFFERTY & CO | MARKETAXESS HOLDINGS INC | B | 44,560.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599821 RF LAFFERTY & CO | NEWELL BRANDS INC SHS | S | 28,360.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599821 RF LAFFERTY & CO | NEWELL BRANDS INC SHS | B | 28,360.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/13/2018 | 75599821 RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | B | 20,507.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/13/2018 | 75599821 RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | S | 1,031.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/13/2018 | 75599821 RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | S | 5,168.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/13/2018 | 75599821 RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | S | 4,105.05 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/13/2018 | 75599821 RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | S | 5,102.71 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/13/2018 | 75599821 RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | S | 5,100.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 22,320.75 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | S | 5,605.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | S | 7,538.75 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | S | 7,375.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | S | 1,802.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES MSCI EMERGING MARKETS ETF | S | 8,495.94 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES MSCI EMERGING MARKETS ETF | S | 8,545.34 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES MSCI EMERGING MARKETS ETF | B | 17,041.28 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES S&P500 GROWTH INDEX FD | S | 8,507.95 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES S&P500 GROWTH INDEX FD | S | 8,507.95 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES S&P500 GROWTH INDEX FD | B | 17,015.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES S&P SMALL CAP 600 VALUE ETF | S | 7,011.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES S&P SMALL CAP 600 VALUE ETF | S | 7,011.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES S&P SMALL CAP 600 VALUE ETF | B | 14,023.79 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES TR S&P MIDCAP 400 GROWTH | S | 6,968.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES TR S&P MIDCAP 400 GROWTH | S | 6,968.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES TR S&P MIDCAP 400 GROWTH | B | 13,937.59 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES U S INDUSTRIALS ETF | S | 10,346.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES U S INDUSTRIALS ETF | S | 10,346.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES U S INDUSTRIALS ETF | B | 20,693.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES U S BASIC MATERIALS ETF | B | 13,102.64 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES U S FINANCIALS ETF | S | 10,301.73 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES U S FINANCIALS ETF | S | 10,301.73 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES U S FINANCIALS ETF | B | 20,603.45 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES U S CONSUMER SERVICES ETF | S | 10,269.15 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES U S TECHNOLOGY ETF | S | 10,293.56 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES U S TECHNOLOGY ETF | S | 10,293.56 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES U S TECHNOLOGY ETF | B | 20,587.12 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES U S CONSUMER SERVICES ETF | S | 10,269.15 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES U S CONSUMER SERVICES ETF | B | 20,538.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES U S BASIC MATERIALS ETF | S | 6,551.32 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | ISHARES U S BASIC MATERIALS ETF | S | 6,551.32 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | PATRICK IND INC | S | 26,720.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | PATRICK IND INC | B | 1,469.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | PATRICK IND INC | B | 1,803.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | PATRICK IND INC | B | 13,360.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | PATRICK IND INC | B | 3,340.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | PATRICK IND INC | B | 6,746.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | POWERSHARES QQQ TRUST SERIES 1 | S | 8,422.98 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | POWERSHARES QQQ TRUST SERIES 1 | S | 8,594.88 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | POWERSHARES QQQ TRUST SERIES 1 | B | 17,017.85 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | SPDR DOW JONES INDL AVERAGE ETF TR | S | 15,345.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | SPDR DOW JONES INDL AVERAGE ETF TR | S | 15,592.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | SPDR DOW JONES INDL AVERAGE ETF TR | B | 30,937.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | S | 15,445.86 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | S | 15,445.86 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 30,891.73 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | STANDARD & POORS MIDCAP 400 SPDR S& S | S | 6,340.64 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | STANDARD & POORS MIDCAP 400 SPDR S& S | S | 6,692.89 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599821 RF LAFFERTY & CO | STANDARD & POORS MIDCAP 400 SPDR S& B | S | 13,033.53 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/16/2018 | 75599821 RF LAFFERTY & CO | APPLIED MINERALS INC | B | 1,000.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/16/2018 | 75599821 RF LAFFERTY & CO | APPLIED MINERALS INC | B | 1,000.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/16/2018 | 75599821 RF LAFFERTY & CO | APPLIED MINERALS INC | B | 600.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/16/2018 | 75599821 RF LAFFERTY & CO | APPLIED MINERALS INC | S | 5,600.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/16/2018 | 75599821 RF LAFFERTY & CO | APPLIED MINERALS INC | B | 1,000.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/16/2018 | 75599821 RF LAFFERTY & CO | APPLIED MINERALS INC | B | 1,000.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/16/2018 | 75599821 RF LAFFERTY & CO | APPLIED MINERALS INC | B | 1,000.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/16/2018 | 75599821 RF LAFFERTY & CO | APPLIED MINERALS INC | B | 1,000.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/19/2018 | 75599821 RF LAFFERTY & CO | AQUANTIA CORP COMMON STOCK | B | 49,980.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/19/2018 | 75599821 RF LAFFERTY & CO | AQUANTIA CORP COMMON STOCK | S | 1,666.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/19/2018 | 75599821 RF LAFFERTY & CO | AQUANTIA CORP COMMON STOCK | S | 48,314.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/19/2018 | 75599821 RF LAFFERTY & CO | CRISPR THERAPEUTICS AG NAMEN-AKT IS B | S | 25,257.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/19/2018 | 75599821 RF LAFFERTY & CO | CRISPR THERAPEUTICS AG NAMEN-AKT IS S | S | 50.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|--|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 03/19/2018 | 75599821 RF LAFFERTY & CO | CRISPR THERAPEUTICS AG NAMEN-AKT IS S | | 20,200.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/19/2018 | 75599821 RF LAFFERTY & CO | CRISPR THERAPEUTICS AG NAMEN-AKT IS S | | 2,474.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/19/2018 | 75599821 RF LAFFERTY & CO | CRISPR THERAPEUTICS AG NAMEN-AKT IS S | | 2,532.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/21/2018 | 75599821 RF LAFFERTY & CO | ARADIGM CORPORATION NEW | S | 13,076.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/21/2018 | 75599821 RF LAFFERTY & CO | ARADIGM CORPORATION NEW | B | 13,076.21 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/21/2018 | 75599821 RF LAFFERTY & CO | FACEBOOK INC CL A | B | 166,622.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/21/2018 | 75599821 RF LAFFERTY & CO | FACEBOOK INC CL A | B | 306,681.44 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/21/2018 | 75599821 RF LAFFERTY & CO | FACEBOOK INC CL A | S | -306,681.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 03/21/2018 | 75599821 RF LAFFERTY & CO | FACEBOOK INC CL A | B | 307,418.14 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/21/2018 | 75599821 RF LAFFERTY & CO | FACEBOOK INC CL A | S | 474,040.37 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/21/2018 | 75599821 RF LAFFERTY & CO | MICRON TECHNOLOGY INC | S | 183,969.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/21/2018 | 75599821 RF LAFFERTY & CO | MICRON TECHNOLOGY INC | B | 122,640.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/21/2018 | 75599821 RF LAFFERTY & CO | MICRON TECHNOLOGY INC | B | 61,329.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/21/2018 | 75599821 RF LAFFERTY & CO | MOMO INC ADR | B | 1,411.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/21/2018 | 75599821 RF LAFFERTY & CO | MOMO INC ADR | B | 2,217.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/21/2018 | 75599821 RF LAFFERTY & CO | MOMO INC ADR | B | 403.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/21/2018 | 75599821 RF LAFFERTY & CO | MOMO INC ADR | S | 4,032.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/23/2018 | 75599821 RF LAFFERTY & CO | AMPHENOL CORPORATION CLASS A COM | B | 26,064.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/23/2018 | 75599821 RF LAFFERTY & CO | AMPHENOL CORPORATION CLASS A COM | S | 86.88 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/23/2018 | 75599821 RF LAFFERTY & CO | AMPHENOL CORPORATION CLASS A COM | S | 8,688.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/23/2018 | 75599821 RF LAFFERTY & CO | AMPHENOL CORPORATION CLASS A COM | S | 8,688.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/23/2018 | 75599821 RF LAFFERTY & CO | AMPHENOL CORPORATION CLASS A COM | S | 8,601.12 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/23/2018 | 75599821 RF LAFFERTY & CO | MARRIOTT VACATIONS WORLDWIDE CORPOR B | | 29,337.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/23/2018 | 75599821 RF LAFFERTY & CO | MARRIOTT VACATIONS WORLDWIDE CORPOR S | | 29,337.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/23/2018 | 75599821 RF LAFFERTY & CO | PATRICK IND INC | B | 25,080.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/23/2018 | 75599821 RF LAFFERTY & CO | PATRICK IND INC | S | 25,080.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/23/2018 | 75599821 RF LAFFERTY & CO | S&P GLOBAL INC COM | S | 19,010.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/23/2018 | 75599821 RF LAFFERTY & CO | S&P GLOBAL INC COM | B | 19,010.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/23/2018 | 75599821 RF LAFFERTY & CO | SHERWIN WILLIAMS COMPANY | B | 39,301.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/23/2018 | 75599821 RF LAFFERTY & CO | SHERWIN WILLIAMS COMPANY | S | 39,301.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/26/2018 | 75599821 RF LAFFERTY & CO | GLOBAL BLOCKCHAIN TECHNOLOGY CORP CO S | | 915.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/26/2018 | 75599821 RF LAFFERTY & CO | GLOBAL BLOCKCHAIN TECHNOLOGY CORP CO B | | 915.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/26/2018 | 75599821 RF LAFFERTY & CO | HIVE BLOCKCHAIN TECHNOLOGIS LTD COM S | | 2,396.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/26/2018 | 75599821 RF LAFFERTY & CO | HIVE BLOCKCHAIN TECHNOLOGIS LTD COM B | | 119.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/26/2018 | 75599821 RF LAFFERTY & CO | HIVE BLOCKCHAIN TECHNOLOGIS LTD COM B | | 1,797.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/26/2018 | 75599821 RF LAFFERTY & CO | HIVE BLOCKCHAIN TECHNOLOGIS LTD COM B | | 479.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/27/2018 | 75599821 RF LAFFERTY & CO | AMAZON.COM INC | B | 1,645,965.42 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/27/2018 | 75599821 RF LAFFERTY & CO | AMAZON.COM INC | S | 1,645,965.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/27/2018 | 75599821 RF LAFFERTY & CO | LEIDOS HOLDINGS INC COM | S | 29,789.55 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/27/2018 | 75599821 RF LAFFERTY & CO | LEIDOS HOLDINGS INC COM | B | 29,789.55 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 03/27/2018 | 75599821 RF LAFFERTY & CO | MARRIOTT INTL INC NEW CL A | S | 30,511.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/27/2018 | 75599821 RF LAFFERTY & CO | MARRIOTT INTL INC NEW CL A | B | 13,869.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/27/2018 | 75599821 RF LAFFERTY & CO | MARRIOTT INTL INC NEW CL A | B | 16,642.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/27/2018 | 75599821 RF LAFFERTY & CO | NVIDIA CORP | B | 1,101,840.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/27/2018 | 75599821 RF LAFFERTY & CO | NVIDIA CORP | S | 1,101,840.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES MSCI EMERGING MARKETS ETF | B | 8,545.34 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES MSCI EMERGING MARKETS ETF | S | 8,562.64 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES S&P500 GROWTH INDEX FD | B | 8,507.95 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES S&P500 GROWTH INDEX FD | S | 8,521.25 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES S&P SMALL CAP 600 VALUE ETF | B | 7,011.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES S&P SMALL CAP 600 VALUE ETF | S | 7,006.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES TR S&P MIDCAP 400 GROWTH | B | 6,968.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES TR S&P MIDCAP 400 GROWTH | S | 6,980.27 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES TR S&P MIDCAP 400 GROWTH | B | 13,250.22 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES TR S&P MIDCAP 400 GROWTH | S | -13,250.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES TR S&P MIDCAP 400 GROWTH | B | 13,250.22 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES U S ENERGY ETF | B | 20,565.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES U S ENERGY ETF | S | 1,848.51 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES U S ENERGY ETF | S | 8,137.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES U S INDUSTRIALS ETF | B | 10,346.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES U S INDUSTRIALS ETF | S | 10,348.63 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES U S BASIC MATERIALS ETF | B | 6,551.32 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES U S BASIC MATERIALS ETF | S | 6,536.97 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES U S FINANCIALS ETF | B | 10,301.73 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES U S FINANCIALS ETF | S | 10,290.85 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES U S TECHNOLOGY ETF | B | 10,293.56 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES U S TECHNOLOGY ETF | S | 10,316.44 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES U S CONSUMER SERVICES ETF | B | 10,269.15 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | ISHARES U S CONSUMER SERVICES ETF | S | 10,278.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | POWERSHARES QQQ TRUST SERIES 1 | B | 8,594.88 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | POWERSHARES QQQ TRUST SERIES 1 | S | 8,612.13 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | SPDR DOW JONES INDL AVERAGE ETF TR | B | 15,592.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | SPDR DOW JONES INDL AVERAGE ETF TR | S | 15,659.28 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | SPDR DOW JONES INDL AVERAGE ETF TR | B | 2,475.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 15,445.86 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | S | 15,458.24 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 1,379.10 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | STANDARD & POORS MIDCAP 400 SPDR S& B | | 6,692.89 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/14/2018 | 75599822 RF LAFFERTY & CO | STANDARD & POORS MIDCAP 400 SPDR S& S | | 6,699.45 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/15/2018 | 75599822 RF LAFFERTY & CO | ISHARES TR S&P MIDCAP 400 GROWTH | S | 13,267.93 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 03/15/2018 | 75599822 RF LAFFERTY & CO | ISHARES U S ENERGY ETF | S | 10,369.15 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/16/2018 | 75599822 RF LAFFERTY & CO | AT&T INC | B | 260,896.84 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/16/2018 | 75599822 RF LAFFERTY & CO | AT&T INC | S | 260,896.84 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/16/2018 | 75599822 RF LAFFERTY & CO | COLGATE PALMOLIVE COMPANY | B | 139,466.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/16/2018 | 75599822 RF LAFFERTY & CO | COLGATE PALMOLIVE COMPANY | S | 139,466.58 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/20/2018 | 75599822 RF LAFFERTY & CO | SPDR DOW JONES INDL AVERAGE ETF TR | S | 2,469.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/20/2018 | 75599822 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | S | 1,351.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT B | | 120,706.36 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT B | | 11,156.19 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 6,056.57 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 2,868.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 11,900.63 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 1,965.73 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 8,075.43 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 27,838.97 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 12,856.93 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 6,534.72 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 4,781.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 4,356.48 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 9,191.11 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 8,341.06 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 9,403.62 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 2,337.62 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 4,197.10 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 11,156.19 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 7,374.72 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 3,464.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 14,406.42 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 2,401.07 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 9,810.09 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 33,855.10 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 15,641.26 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 7,923.53 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 5,831.17 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 5,316.66 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 11,147.83 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 10,221.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 11,456.54 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 2,812.68 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 5,076.55 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 22,307.44 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL B | | 146,739.73 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL B | | 22,307.44 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF B | | 13,207.24 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 6,960.07 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 3,314.32 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 13,630.14 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 2,237.17 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 9,238.67 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 31,983.19 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 14,790.15 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 7,498.65 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 5,510.06 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 5,012.91 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 10,522.97 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 9,611.53 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 10,812.97 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 2,692.89 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 4,764.34 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 13,207.24 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF B | | 138,580.02 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 48,403.43 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 21,334.13 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 10,085.23 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 41,670.82 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 6,889.72 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 28,297.74 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 97,823.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 45,180.34 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 22,848.76 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 16,864.12 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 15,312.55 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 32,269.03 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 29,479.89 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 33,081.76 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 8,182.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 14,666.06 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 48,403.43 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 423,985.88 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR B | | 14,121.94 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 7,363.14 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 3,479.28 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 14,402.62 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 2,386.95 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 9,790.54 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 33,781.42 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 15,616.32 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 7,929.53 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 5,825.78 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 5,299.84 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF B | | 14,039.02 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 7,348.71 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 3,472.47 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 14,293.65 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 2,382.28 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 9,730.99 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 33,594.12 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 15,504.98 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 7,873.62 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 5,814.37 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 5,289.46 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 11,103.83 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 10,134.76 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 11,386.47 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 2,786.05 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 5,047.19 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 14,039.02 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF B | | 145,762.97 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 11,166.07 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 10,195.11 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 11,449.27 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 2,831.98 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 5,057.10 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 14,121.94 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR B | | 146,574.96 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 427,752.64 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 21,541.04 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 10,163.28 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 42,059.36 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 6,935.32 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 28,540.28 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 98,692.48 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 45,574.96 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 23,043.16 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 17,002.72 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 15,468.64 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 32,567.24 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 29,754.76 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 33,366.24 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 8,245.68 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 14,797.48 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 40,630.48 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 40,630.47 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 7,121.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 3,369.08 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 13,935.73 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 2,335.38 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 9,456.39 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 32,733.64 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 15,084.27 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 7,656.99 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 5,666.17 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 5,130.18 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 10,758.07 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 9,839.23 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 11,064.35 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 2,756.52 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 4,938.76 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 13,584.08 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF B | | 141,845.78 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF B | | 13,584.08 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 143,100.22 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT B | | 143,100.22 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 141,279.81 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL B | | 141,279.81 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 141,534.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF B | | 141,534.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 141,590.77 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF B | | 141,590.77 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 140,680.97 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---------------------------|---------------------------------------|-----|------------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 03/12/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR B | | 140,680.97 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF B | | 139,526.38 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 139,526.39 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| * STOCKS SUBTOTAL * | | | | \$17,166,919.14 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: BONDS</u> | | | | | | | | | |
| 02/27/2018 | 75599826 RF LAFFERTY & CO | BARCLAYS BANK PLC | S | 32,175.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 02/27/2018 | 75599826 RF LAFFERTY & CO | SOCIETE GENERALE LKD TO MU | S | 14,000.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 02/28/2018 | 75599826 RF LAFFERTY & CO | BARCLAYS BANK PLC | S | 61,425.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599826 RF LAFFERTY & CO | BARCLAYS BANK PLC | B | 16,405.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/01/2018 | 75599826 RF LAFFERTY & CO | BARCLAYS BANK PLC | S | 26,325.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/02/2018 | 75599826 RF LAFFERTY & CO | BARCLAYS BANK PLC | B | 16,405.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/02/2018 | 75599826 RF LAFFERTY & CO | BARCLAYS BANK PLC | S | 16,575.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599826 RF LAFFERTY & CO | BARCLAYS BANK PLC | B | 82,990.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599826 RF LAFFERTY & CO | BARCLAYS BANK PLC | S | 83,850.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/06/2018 | 75599826 RF LAFFERTY & CO | BARCLAYS BANK PLC | B | -83,850.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 03/06/2018 | 75599826 RF LAFFERTY & CO | BARCLAYS BANK PLC | S | 83,850.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/07/2018 | 75599826 RF LAFFERTY & CO | ONEIDA CNTY NY (FOR ISSUES GENERAL B | B | 10,098.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599826 RF LAFFERTY & CO | ONEIDA CNTY NY (FOR ISSUES GENERAL S | S | 10,120.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| * BONDS SUBTOTAL * | | | | \$370,368.60 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: OPTIONS</u> | | | | | | | | | |
| 03/09/2018 | 75599821 RF LAFFERTY & CO | PUT HD 09/21/18 190 | S | 101,700.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/09/2018 | 75599821 RF LAFFERTY & CO | PUT HD 09/21/18 190 | B | -101,700.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 03/09/2018 | 75599822 RF LAFFERTY & CO | PUT HD 09/21/18 190 | S | 101,700.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/12/2018 | 75599822 RF LAFFERTY & CO | PUT HD 09/21/18 190 | B | 93,600.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| * OPTIONS SUBTOTAL * | | | | \$195,300.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u> | | | | | | | | | |
| 03/27/2018 | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO B | B | 29,025.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 03/27/2018 | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO S | S | 29,250.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| * CERTIFICATES OF DEPOSIT SUBTOTAL * | | | | \$58,275.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 03/31/2018 | | FEE COUNT - 1 | | 0.00 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$0.10 | \$0.00 | \$0.10 | | \$0.08 |
| *** BROKER TRANSACTIONS TOTAL *** | | | | \$17,790,862.74 | \$0.10 | \$0.00 | \$0.10 | | \$0.08 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.08 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|---------|
| Check Amount | \$0.08 |
| Year-to-date Earnings | \$27.34 |