



# COMMISSION STATEMENT

January 01, 2018

DENNIS CONNOR  
9 Woodland Rd.  
Miller Place, NY 11764

BROKER #: A87  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/15/2017	***-**-8973 - MIRABELL, ACCOUNT #: 00500433776	MIDCAP GROWTH - CLASS A BUY 2 Units @14.3000	30.00	1.50	0.00	1.50	75.0	1.13
* MUTUAL FUNDS SUBTOTAL *			\$30.00	\$1.50	\$0.00	\$1.50		\$1.13
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/26/2017	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	BLACKROCK 09251M108 BUY 1 Units @0.0000	0.00	5.81	0.00	5.81	75.0	4.36
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK 09251M108 BUY 1 Units @0.0000	0.00	2.35	0.00	2.35	75.0	1.76
12/26/2017	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	BLACKROCK 09251M108 BUY 1 Units @0.0000	0.00	1.64	0.00	1.64	75.0	1.23
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK FLOATING RATE INC PORT IN BUY 1 Units @0.0000	0.00	1.19	0.00	1.19	75.0	0.89
12/26/2017	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	BLACKROCK GLBL SCI & TECH OPPORT CL BUY 1 Units @0.0000	0.00	5.26	0.00	5.26	75.0	3.95
12/26/2017	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	BLACKROCK GLOBAL ALLOCATION FUND IN BUY 1 Units @0.0000	0.00	3.03	0.00	3.03	75.0	2.27
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK GLOBAL DIVIDEND PORT CL A BUY 1 Units @0.0000	0.00	2.03	0.00	2.03	75.0	1.52
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK GLOBAL RESOURCES FUND CLA BUY 1 Units @0.0000	0.00	0.62	0.00	0.62	75.0	0.47
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK GLOBAL SMALL CAP FD CL A BUY 1 Units @0.0000	0.00	6.87	0.00	6.87	75.0	5.15
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK GNMA PORT CLASS A BUY 1 Units @0.0000	0.00	1.51	0.00	1.51	75.0	1.13
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK HEALTH SCIENCES FUND CLAS BUY 1 Units @0.0000	0.00	11.11	0.00	11.11	75.0	8.33
12/26/2017	***-**-033I - KATZENBERGER, ACCOUNT #: LSR011507	BLACKROCK HEALTH SCIENCES FUND CLAS BUY 1 Units @0.0000	0.00	2.64	0.00	2.64	75.0	1.98
12/26/2017	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	BLACKROCK HEALTH SCIENCES FUND CLAS BUY 1 Units @0.0000	0.00	2.04	0.00	2.04	75.0	1.53
12/26/2017	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	BLACKROCK HEALTH SCIENCES FUND CLAS BUY 1 Units @0.0000	0.00	1.12	0.00	1.12	75.0	0.84



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK HIGH YIELD BOND FUND CLAS BUY 1 Units @0.0000	0.00	3.09	0.00	3.09	75.0	2.32
12/26/2017	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	BLACKROCK HIGH YIELD BOND FUND CLAS BUY 1 Units @0.0000	0.00	1.32	0.00	1.32	75.0	0.99
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK INVESTMENT GRADE BOND POR BUY 1 Units @0.0000	0.00	1.58	0.00	1.58	75.0	1.19
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK MULTI ASSET INCOME PORT A BUY 1 Units @0.0000	0.00	3.03	0.00	3.03	75.0	2.27
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	BLACKROCK STRATEGIC INC OPP PORT CL BUY 1 Units @0.0000	0.00	1.14	0.00	1.14	75.0	0.86
12/26/2017	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	BLACKROCK US OPPORT CL A BUY 1 Units @0.0000	0.00	6.93	0.00	6.93	75.0	5.20
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	CALAMOS GROWTH AND INCOME CLASS A BUY 1 Units @0.0000	0.00	7.17	0.00	7.17	75.0	5.38
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	CALAMOS GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	7.70	0.00	7.70	75.0	5.78
12/26/2017	***-**-033I - KATZENBERGER, ACCOUNT #: LSR011507	CALAMOS GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	4.25	0.00	4.25	75.0	3.19
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	CALAMOS HIGH INCOME CLASS A BUY 1 Units @0.0000	0.00	4.79	0.00	4.79	75.0	3.59
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	FEDERATED KAUFMANN FUND BUY 1 Units @0.0000	0.00	11.26	0.00	11.26	75.0	8.45
12/26/2017	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	FIRST EAGLE HIGH YIELD FUND CL A BUY 1 Units @0.0000	0.00	0.75	0.00	0.75	75.0	0.56
12/26/2017	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	2.72	0.00	2.72	75.0	2.04
12/26/2017	***-**-70IR - CUTILLO, JAMES ACCOUNT #: LSR011648	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	0.75	0.00	0.75	75.0	0.56
12/26/2017	***-**-1810 - STEVEN A ACCOUNT #: LSR011388	INTERNATIONAL GROWTH FUND A BUY 1 Units @0.0000	0.00	2.21	0.00	2.21	75.0	1.66
12/26/2017	***-**-1810 - STEVEN A ACCOUNT #: LSR011388	INTERNATIONAL SMALL COMPANY A BUY 1 Units @0.0000	0.00	2.87	0.00	2.87	75.0	2.15



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-572I - MELIN, LUCILLE	INTERNATIONAL SMALL COMPANY A	0.00	2.04	0.00	2.04	75.0	1.53
	ACCOUNT #: LSR011508	BUY 1 Units @0.0000						
12/26/2017	***-**-255I - GRAHAM, DEBORAH	MFS BOND FUND CLASS B	0.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
12/26/2017	***-**-255I - GRAHAM, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.14	0.00	2.14	75.0	1.61
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
12/26/2017	***-**-671O - EVA JAYNE	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	5.94	0.00	5.94	75.0	4.46
	ACCOUNT #: LSR011403	BUY 1 Units @0.0000						
12/26/2017	***-**-671O - EVA JAYNE	MFS INTERNATIONAL VALUE FUND-A	0.00	7.41	0.00	7.41	75.0	5.56
	ACCOUNT #: LSR011403	BUY 1 Units @0.0000						
12/26/2017	***-**-255I - GRAHAM, DEBORAH	MFS UTILITIES FUND-B	0.00	2.53	0.00	2.53	75.0	1.90
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
12/26/2017	***-**-1832 - WEISMAN, DORIS	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	4.68	0.00	4.68	75.0	3.51
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
12/26/2017	***-**-255I - GRAHAM, DEBORAH	OPPENHEIMER DISCOVERY CLASS A	0.00	3.07	0.00	3.07	75.0	2.30
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
12/26/2017	***-**-425O - KEKKONEN,	OPPENHEIMER ROCHEST NATIONAL MUNI C	0.00	66.97	0.00	66.97	75.0	50.23
	ACCOUNT #: LSR011491	BUY 1 Units @0.0000						
12/26/2017	***-**-101I - LEMOINE, STEVEN	PIONEER HIGH YIELD FUND A	0.00	10.99	0.00	10.99	75.0	8.24
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
12/26/2017	***-**-181O - STEVEN A	PRINCIPAL INVESTOR HIGH YIELD II CL	0.00	2.30	0.00	2.30	75.0	1.73
	ACCOUNT #: LSR011388	BUY 1 Units @0.0000						
12/26/2017	***-**-233I - COWAN, VERONICA	PRINCIPAL INVESTORS WEST COAST EQTY	0.00	1.74	0.00	1.74	75.0	1.31
	ACCOUNT #: LSR011384	BUY 1 Units @0.0000						
12/26/2017	***-**-101I - LEMOINE, STEVEN	PRINCIPAL INVESTORS WEST COAST EQTY	0.00	1.85	0.00	1.85	75.0	1.39
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
12/26/2017	***-**-572I - MELIN, LUCILLE	PUTNAM CAPITAL SPECTRUM FUND CL A	0.00	1.49	0.00	1.49	75.0	1.12
	ACCOUNT #: LSR011508	BUY 1 Units @0.0000						
12/26/2017	***-**-255I - GRAHAM, DEBORAH	RISING DIVIDENDS FUND A	0.00	0.57	0.00	0.57	75.0	0.43
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
12/28/2017	***-**-2635 - HALE, JONATHAN	SALIENT-FORWARD FUNDS TRAILS	0.00	1.43	0.00	1.43	75.0	1.07
	ACCOUNT #: 6801083160	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-033I - KATZENBERGER, ACCOUNT #: LSR011507	SMALL- & MID-CAP GROWTH FUND A BUY 1 Units @0.0000	0.00	0.84	0.00	0.84	75.0	0.63
12/28/2017	***-**-3590 - HEALY, THOMAS ACCOUNT #: 5009484840	VICTORY TRAILS BUY 0 Units @0.0000	0.00	13.20	0.00	13.20	75.0	9.90
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	VOYA HIGH YIELD BOND CLASS A BUY 1 Units @0.0000	0.00	2.15	0.00	2.15	75.0	1.61
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	VOYA LARGE CAP GROWTH CLASS A BUY 1 Units @0.0000	0.00	3.25	0.00	3.25	75.0	2.44
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	VOYA LARGE CAP VALUE CLASS A BUY 1 Units @0.0000	0.00	2.76	0.00	2.76	75.0	2.07
12/26/2017	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	VOYA LARGE CAP VALUE CLASS A BUY 1 Units @0.0000	0.00	1.32	0.00	1.32	75.0	0.99
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	VOYA MIDCAP OPPORTUNITIES CL A BUY 1 Units @0.0000	0.00	2.88	0.00	2.88	75.0	2.16
12/26/2017	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	VOYA MIDCAP OPPORTUNITIES CL A BUY 1 Units @0.0000	0.00	1.39	0.00	1.39	75.0	1.04
12/26/2017	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	VOYA RUSSIA CLASS A BUY 1 Units @0.0000	0.00	0.76	0.00	0.76	75.0	0.57
12/26/2017	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	VOYA SMALL CAP OPPORTUNITIES CL A BUY 1 Units @0.0000	0.00	1.43	0.00	1.43	75.0	1.07
12/26/2017	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	VOYA SMALL COMPANY CLASS A BUY 1 Units @0.0000	0.00	1.42	0.00	1.42	75.0	1.07
12/26/2017	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	VOYA SMALL COMPANY CLASS A BUY 1 Units @0.0000	0.00	2.13	0.00	2.13	75.0	1.60
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$257.96</b>	<b>\$0.00</b>	<b>\$257.96</b>		<b>\$193.52</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$30.00</b>	<b>\$259.46</b>	<b>\$0.00</b>	<b>\$259.46</b>		<b>\$194.65</b>



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BROKER COMMISSION TOTALS									
Broker Transactions \$194.65									
Split Transactions \$0.00									
Override Transactions \$0.00									
Adjustments \$0.00									
Payroll Draw \$0.00									
Base Salary \$0.00									
Prior Period Balance \$80.47									
FINRA Assessment \$0.00									
SIPC Assessment \$0.00									
Net Commission Earned \$275.12									
Year-to-date Earnings \$275.12									