

**COMMISSION STATEMENT****January 15, 2018**DAVID KOTZ
601 Mine Brook Rd

BROKER #: K135 Terminated 05/04/2017

BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.58	0.00	1.58	0.0	0.00
12/11/2017	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	7.35	0.00	7.35	0.0	0.00
12/11/2017	KREISWIRTH, SUZANNE	SUNAMERICA US GOVT SECURITIES CL C	0.00	8.94	0.00	8.94	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$17.87	\$0.00	\$17.87		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
12/15/2017	GALBRAITH, LORRAINE	JOHNSON & JOHNSON COM	40,700.85	22.00	22.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *			\$40,700.85	\$22.00	\$22.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: BONDS</u>								
11/27/2017	LOMONACO, ANDREA	***BNP PARIBAS	-19,060.00	-28.00	12.00	-40.00	0.0	0.00
11/27/2017	LOMONACO, ANDREA	***BNP PARIBAS	19,060.00	0.00	28.00	-28.00	0.0	0.00
* BONDS SUBTOTAL *			\$0.00	\$-28.00	\$40.00	\$-68.00		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$40,700.85	\$11.87	\$62.00	\$-50.13		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$11.87
Year-to-date Earnings	\$0.00