

All Companies
STATEMENT
June 20, 2018

KENNETH STOKES
29 Central Ave
Unit 2
Montclair, NJ 07042-

BROKER #: 034
BRANCH: LA BRANCH

COMMISSION STATEMENT for KENNETH STOKES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
04/30/2021	#0051666527 JONATHAN P	BLCKRCK	B	0.00	0.56	0.00	0.00	0.56	85.0	0.48
04/30/2021	#0051922870 PAI TRUST	BLCKRCK	B	0.00	8.17	0.00	0.00	8.17	85.0	6.94
04/30/2021	#0051922870 PAI TRUST	BLCKRCK	B	0.00	8.17	0.00	0.00	8.17	85.0	6.94
04/30/2021	#090271220739 &TRU 401K	FEDERATED EQUITY	B	0.00	691.02	0.00	0.00	691.02	85.0	587.37
04/30/2021	#090243637842 &TRU 401K	FEDERATED EQUITY FUNDS KAUFMAN FUND B		0.00	717.46	0.00	0.00	717.46	85.0	609.84
04/30/2021	#090278216416 &TRU 401K	FEDERATED GLOBAL	B	0.00	483.29	0.00	0.00	483.29	85.0	410.80
04/30/2021	#090286299551 &TRU 401K	FEDERATED KAUFMANN SMALL CAP FUND C B		0.00	434.84	0.00	0.00	434.84	85.0	369.61
04/30/2021	#090277217199 &TRU 401K	FEDERATED MAX-CAP	B	0.00	720.52	0.00	0.00	720.52	85.0	612.44
04/30/2021	#090227279239 &TRU 401K	FEDERATED SECURITIES CORP	B	0.00	686.70	0.00	0.00	686.70	85.0	583.70
04/30/2021	#090302278207 &TRU 401K	FEDERATED TOTAL RETURN CL A	B	0.00	268.38	0.00	0.00	268.38	85.0	228.12
04/30/2021	#090264228874 &TRU 401K	FEDERATED U.S.	B	0.00	218.52	0.00	0.00	218.52	85.0	185.74
04/30/2021	#090209037389 &TRU 401K	FEDERATEED KAUF	B	0.00	307.42	0.00	0.00	307.42	85.0	261.31
04/30/2021	#070344107084 MSDS-CONSU	NATIONWIDE FUNDS	B	0.00	22.79	0.00	0.00	22.79	85.0	19.37
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$4,567.84	\$0.00	\$0.00	\$4,567.84		\$3,882.66

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/30/2018	#000028212302385 JONATHAN P	FRANKLIN SMALL CAP VALUE FUND CLASS B		0.00	339.28	0.00	0.00	339.28	85.0	288.39
05/07/2018	#01468689839 COMPUANAL	FIDELITY ADVISOR ENERGY CL A	B	0.00	41.94	0.00	0.00	41.94	85.0	35.65
05/07/2018	#01468689839 COMPUANAL	FIDELITY ADVISOR SER VII ADVISOR RE B		0.00	60.62	0.00	0.00	60.62	85.0	51.53
05/07/2018	#00485888168 PARK-B-SMI	FIDELITY FMR1205	B	0.00	0.49	0.00	0.00	0.49	85.0	0.42
05/07/2018	#00485888168 PARK-B-SMI	FIDELITY FMR1291	B	0.00	0.05	0.00	0.00	0.05	85.0	0.04
05/07/2018	#00485888168 PARK-B-SMI	FIDELITY FMR1321	B	0.00	0.52	0.00	0.00	0.52	85.0	0.44
05/07/2018	#00485888168 PARK-B-SMI	FIDELITY FMR186	B	0.00	0.76	0.00	0.00	0.76	85.0	0.65
05/07/2018	#00485888168 PARK-B-SMI	FIDELITY FMR2443	B	0.00	0.71	0.00	0.00	0.71	85.0	0.60
05/07/2018	#00485888168 PARK-B-SMI	FIDELITY FMR251	B	0.00	0.72	0.00	0.00	0.72	85.0	0.61
05/07/2018	#00485888168 PARK-B-SMI	FIDELITY FMR258	B	0.00	4.64	0.00	0.00	4.64	85.0	3.94
05/07/2018	#00485888168 PARK-B-SMI	FIDELITY FMR2709	B	0.00	0.47	0.00	0.00	0.47	85.0	0.40
05/07/2018	#00485888168 PARK-B-SMI	FMR1128	B	0.00	2.42	0.00	0.00	2.42	85.0	2.06
05/07/2018	#00485888168 PARK-B-SMI	FMR1184	B	0.00	27.20	0.00	0.00	27.20	85.0	23.12
05/07/2018	#00485888168 PARK-B-SMI	FMR1189	B	0.00	82.95	0.00	0.00	82.95	85.0	70.51
05/07/2018	#00485888168 PARK-B-SMI	FMR1194	B	0.00	21.61	0.00	0.00	21.61	85.0	18.37

BROKER COMMISSION TOTALS

Broker Transactions	\$4,688.09
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,189.09
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