



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**March 15, 2017**

03/14/2017  
 Page No. 1

MARK-S141 REG SMITH

BROKER #: S141  
 BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/09/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.02	0.00	0.02	48.0	0.01
02/09/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.02	0.00	0.02	48.0	0.01
03/01/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	1.16	0.00	1.16	48.0	0.56
03/01/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	3.76	0.00	3.76	48.0	1.80
03/01/2017	STEPHENS, BRAZOS	AM FUNDS GROWTH PORT 529A	0.00	1.60	0.00	1.60	48.0	0.77
02/09/2017	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.04	0.00	0.04	48.0	0.02
02/09/2017	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.04	0.00	0.04	48.0	0.02
02/09/2017	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.02	0.00	0.02	48.0	0.01
02/09/2017	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.02	0.00	0.02	48.0	0.01
02/09/2017	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.05	0.00	0.05	48.0	0.02
02/09/2017	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.05	0.00	0.05	48.0	0.02
02/21/2017	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.03	0.00	0.03	48.0	0.01
02/09/2017	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.31	0.00	0.31	48.0	0.15
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$7.12	\$0.00	\$7.12		\$3.41
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/27/2017	RODAK, MARVIN	AXA STRUCTURED CAP STRATEGIES 16 SE	31,055.19	1,552.76	0.00	1,552.76	48.0	745.32
* VARIABLE ANNUITIES SUBTOTAL *			\$31,055.19	\$1,552.76	\$0.00	\$1,552.76		\$745.32
*** BROKER TRANSACTIONS TOTAL ***			\$31,055.19	\$1,559.88	\$0.00	\$1,559.88		\$748.73

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
03/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
03/15/2017		*RBC: MCAT FEES	0.00					-540.00
03/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,115.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$748.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,115.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-50.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-416.27</b>
<b>Year-to-date Gross</b>	<b>\$1,559.88</b>
<b>Year-to-date Earnings</b>	<b>\$748.73</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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