



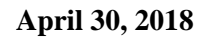
COMMISSION STATEMENT

April 30, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/16/2018	***-**-8238 - ASMUS, MICHAEL	AMERICAN BALANCED FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00074487927	BUY 3 Units @28.3000						
04/16/2018	***-**-6195 - BACKUS, THOMAS	INVESCO AMERICAN FRANCHISE FUND -CL	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 03908954377	BUY 2 Units @21.8900						
04/20/2018	***-**-5506 - LOMBARDO, LEIGH	INVESCO AMERICAN FRANCHISE FUND -CL	300.00	12.00	0.00	12.00	80.0	9.60
	ACCOUNT #: 03809219276	BUY 14 Units @21.7800						
04/20/2018	***-**-6759 - TARANTINO, GARY	THE BOND FUND OF AMERICA-A	10.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089449406	BUY 1 Units @13.0000						
04/20/2018	***-**-6759 - TARANTINO, GARY	THE GROWTH FUND OF AMERICA-A	40.00	2.00	0.00	2.00	80.0	1.60
	ACCOUNT #: 00089449406	BUY 1 Units @55.4200						
04/16/2018	***-**-8238 - ASMUS, MICHAEL	THE INVESTMENT COMPANY OF AMERICA-A	140.00	5.25	0.00	5.25	80.0	4.20
	ACCOUNT #: 00074487927	BUY 3 Units @42.1800						
04/20/2018	***-**-5506 - LOMBARDO, LEIGH	VAN KAMPEN3	50.00	2.00	0.00	2.00	80.0	1.60
	ACCOUNT #: 03809219276	BUY 7 Units @7.4500						
* MUTUAL FUNDS SUBTOTAL *			\$665.00	\$26.86	\$0.00	\$26.86		\$21.49
*** BROKER TRANSACTIONS TOTAL ***			\$665.00	\$26.86	\$0.00	\$26.86		\$21.49



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$21.49
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$20.20
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					<div>Balance Forward\$41.69</div> <div>Year-to-date Earnings\$2,781.57</div>			