



Concorde Investment Services, LLC

07/12/2017
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COMMISSION STATEMENT July 15, 2017

JON PAUL CIRELLI
14255 US Hwy One
Suite 232
Juno Beach, FL 33408

BROKER #: C141
BRANCH: FORTITUDE-JUNO BEACH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: REIT								
06/22/2017	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	21.40	0.00	21.40	95.0	20.33
		* REIT SUBTOTAL *	\$0.00	\$21.40	\$0.00	\$21.40		\$20.33
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/19/2017	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.07	0.00	11.07	95.0	10.52
06/19/2017	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	33.20	0.00	33.20	95.0	31.54
06/21/2017	DODGE, CHERYL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	6.23	0.00	6.23	95.0	5.92
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$50.50	\$0.00	\$50.50		\$47.98
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$71.90	\$0.00	\$71.90		\$68.31

SPLIT TRANSACTIONS

BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251

06/08/2017	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.59	0.00	2.59	95.0	2.46
06/19/2017	SULLIVAN, MICHAEL	COTTONWOOD RESIDENTIAL 2016 PREFR'D	50,000.00	-165.00	0.00	-165.00	95.0	-156.75
06/22/2017	SULLIVAN, MICHAEL	TEI DIVERSIFIED INC & OPP FD 3	50,000.00	1,155.00	0.00	1,155.00	95.0	1,097.25
		* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *	\$100,000.00	\$992.59	\$0.00	\$992.59		\$942.96

BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254

06/16/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.03	0.00	0.03	95.0	0.03
06/14/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.26	0.00	0.26	95.0	0.25
06/14/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.24	0.00	0.24	95.0	0.23
06/14/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.11	0.00	0.11	95.0	0.10
06/14/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.10	0.00	0.10	95.0	0.10
06/14/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.19	0.00	0.19	95.0	0.18
06/14/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.95	0.00	1.95	95.0	1.85
06/14/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.11	0.00	1.11	95.0	1.05

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
06/14/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.11	0.00	0.11	95.0	0.10
06/14/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.12	0.00	0.12	95.0	0.11
06/14/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.09	0.00	0.09	95.0	0.09
06/21/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.08	0.00	0.08	95.0	0.08
06/09/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.15	0.00	0.15	95.0	0.14
06/09/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.69	0.00	0.69	95.0	0.66
06/20/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.32	0.00	0.32	95.0	0.30
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$0.00	\$5.55	\$0.00	\$5.55		\$5.27

<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
06/27/2017	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.20	0.00	2.20	95.0	2.09
06/19/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.46	0.00	6.46	95.0	6.14
06/19/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.48	0.00	5.48	95.0	5.21
06/19/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.42	0.00	16.42	95.0	15.60
06/19/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.33	0.00	5.33	95.0	5.06
06/19/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.98	0.00	15.98	95.0	15.18
06/14/2017	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	1.73	0.00	1.73	95.0	1.64
06/14/2017	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	2.22	0.00	2.22	95.0	2.11
06/14/2017	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.58	0.00	1.58	95.0	1.50
06/21/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.46	0.00	0.46	95.0	0.44
06/21/2017	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.66	0.00	9.66	95.0	9.18
06/21/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.35	0.00	0.35	95.0	0.33
06/09/2017	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	67.23	0.00	67.23	95.0	63.87
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			\$0.00	\$135.10	\$0.00	\$135.10		\$128.35

<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
06/22/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	4.50	0.00	4.50	95.0	4.28
06/08/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.36	0.00	1.36	95.0	1.29
06/27/2017	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	67,000.00	0.00	5.50	-5.50	95.0	-5.23
06/27/2017	DOLCE, JENNIFER	CATALYST/MILLBURN HEDGE STRATEGY FU	135,000.00	0.00	5.50	-5.50	95.0	-5.23
07/11/2017	JOHNSON FAMILY TRUST	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	1,750.00	0.00	1,750.00	95.0	1,662.50
07/11/2017	JOHNSON FAMILY TRUST	GPB WASTE MANAGEMENT LP	130,000.00	2,275.00	0.00	2,275.00	95.0	2,161.25
06/21/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.41	0.00	3.41	95.0	3.24
06/21/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.39	0.00	1.39	95.0	1.32

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
06/21/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.73	0.00	0.73	95.0	0.69
06/21/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.40	0.00	2.40	95.0	2.28
06/21/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.62	0.00	0.62	95.0	0.59
06/21/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.80	0.00	2.80	95.0	2.66
06/21/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.87	0.00	0.87	95.0	0.83
06/21/2017	BENJAMIN, WAYNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.02	0.00	0.02	95.0	0.02
06/21/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.11	0.00	2.11	95.0	2.00
06/21/2017	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.24	0.00	2.24	95.0	2.13
06/21/2017	STARK, LINDA	MAINSTAY MAP A	0.00	0.46	0.00	0.46	95.0	0.44
06/21/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	0.44	0.00	0.44	95.0	0.42
06/09/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.09	0.00	2.09	95.0	1.99
06/19/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	2.60	0.00	2.60	95.0	2.47
06/14/2017	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.08	0.00	0.08	95.0	0.08
06/14/2017	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.21	0.00	0.21	95.0	0.20
06/20/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.20	0.00	0.20	95.0	0.19
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$432,000.00	\$4,053.53	\$11.00	\$4,042.53		\$3,840.41
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
06/20/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.07	0.00	0.07	95.0	0.07
06/08/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.22	0.00	2.22	95.0	2.11
06/08/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.36	0.00	0.36	95.0	0.34
06/08/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.18	0.00	1.18	95.0	1.12
06/08/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.64	0.00	1.64	95.0	1.56
06/19/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.84	0.00	0.84	95.0	0.80
06/23/2017	QUADROZZI, CATHERINE	INLAND RIVERDALE MULITFAMILY DST	500,000.00	6,250.00	0.00	6,250.00	95.0	5,937.50
06/14/2017	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	1.92	0.00	1.92	95.0	1.82
06/14/2017	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	2.79	0.00	2.79	95.0	2.65
06/14/2017	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	1.82	0.00	1.82	95.0	1.73
06/14/2017	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.73	0.00	1.73	95.0	1.64
06/21/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.46	0.00	0.46	95.0	0.44
06/21/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.45	0.00	2.45	95.0	2.33
06/21/2017	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.14	0.00	0.14	95.0	0.13
06/21/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.41	0.00	1.41	95.0	1.34
06/21/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.97	0.00	0.97	95.0	0.92

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
06/09/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.35	0.00	2.35	95.0	2.23
06/15/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.75	0.00	0.75	95.0	0.71
06/15/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.69	0.00	0.69	95.0	0.66
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$500,000.00	\$6,273.79	\$0.00	\$6,273.79		\$5,960.10
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
06/08/2017	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.50	0.00	3.50	95.0	3.33
06/21/2017	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.69	0.00	0.69	95.0	0.66
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$4.19	\$0.00	\$4.19		\$3.99
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
06/14/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.21	0.00	0.21	95.0	0.20
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.21	\$0.00	\$0.21		\$0.20
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
06/20/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	95.0	0.06
06/21/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.35	0.00	1.35	95.0	1.28
06/21/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.80	0.00	4.80	95.0	4.56
06/21/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.17	0.00	3.17	95.0	3.01
06/21/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.29	0.00	2.29	95.0	2.18
06/19/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.37	0.00	0.37	95.0	0.35
06/19/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.90	0.00	0.90	95.0	0.86
06/19/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.04	0.00	0.04	95.0	0.04
06/19/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.13	0.00	0.13	95.0	0.12
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$0.00	\$13.11	\$0.00	\$13.11		\$12.46
<u>BROKER: M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR #277</u>								
06/19/2017	KISSIL, GEORGE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.26	0.00	1.26	95.0	1.20
06/19/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.65	0.00	2.65	95.0	2.52
06/19/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.94	0.00	7.94	95.0	7.54
06/26/2017	AJAKIE TRUST	EXCHANGERIGHT NET LEASED PF 16 DST	247,229.97	4,326.53	0.00	4,326.53	95.0	4,110.20
* M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR SUBTOTAL *			\$247,229.97	\$4,338.38	\$0.00	\$4,338.38		\$4,121.46

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R142 - DAN/JON PAUL FT-RAUPP/CIRELL #387</u>								
06/20/2017	FLACCO, JOSEPH	GPB AUTOMOTIVE PORTFOLIO LP	2,000,000.00	91,000.00	0.00	91,000.00	95.0	86,450.00
	* R142 - DAN/JON PAUL FT-RAUPP/CIRELL SUBTOTAL *		\$2,000,000.00	\$91,000.00	\$0.00	\$91,000.00		\$86,450.00
	*** SPLIT TRANSACTIONS TOTAL ***		\$3,279,229.97	\$106,816.45	\$11.00	\$106,805.45		\$101,465.20

OVERRIDE TRANSACTIONS

<u>BROKER: MARC LINSKY #263</u>								
06/30/2017	LINSKY, VARIOUS	LFG TRAILS	0.00	26,945.17	0.00	26,945.17	90.0	458.07
07/03/2017	KROSNOWSKI, THOMAS	AB GLOBAL RISK ALLOCATION C	0.00	0.32	0.00	0.32	90.0	0.01
07/03/2017	KROSNOWSKI SR, THOMAS	AB GLOBAL RISK ALLOCATION C	0.00	0.34	0.00	0.34	90.0	0.01
06/30/2017	LINSKY, MARC	ALLIANZ HIGH FIVE TRADITIONAL	116,277.33	290.69	0.00	290.69	90.0	4.94
06/30/2017	GALM, KATHLEEN	ALLIANZ HIGH FIVE TRADITIONAL	37,726.92	94.32	0.00	94.32	90.0	1.60
06/30/2017	HIRSHMAN, BETTY	ALLIANZ HIGH FIVE TRADITIONAL	303,201.27	758.00	0.00	758.00	90.0	12.89
06/30/2017	HAMBLETON, JOHN	ALLIANZ HIGH FIVE TRADITIONAL	73,800.77	184.50	0.00	184.50	90.0	3.14
06/30/2017	YANKOWITZ, HARRIETT	ALLIANZ HIGH FIVE TRADITIONAL	23,734.62	59.34	0.00	59.34	90.0	1.01
06/30/2017	DOLIN, RHODA	ALLIANZ HIGH FIVE TRADITIONAL	140,756.85	351.89	0.00	351.89	90.0	5.98
07/03/2017	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	10.00	0.00	10.00	90.0	0.17
07/03/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
06/30/2017	CIBELLI, JANE	AXA ACCUM 04 ELITE (RO IRA)	49,800.95	155.63	0.00	155.63	90.0	2.65
06/30/2017	CZERWONKA, REMIGIA	HARTFORD LEADERS OUTLOOK (ILA)	15,738.88	39.35	0.00	39.35	90.0	0.67
06/30/2017	KROSNOWSKI, LISA	HARTFORD PHCM V	5,918.64	5.92	0.00	5.92	90.0	0.10
07/05/2017	KROSNOWSKI, LISA	ING PREMIUM PLUS	0.00	60.97	0.00	60.97	90.0	1.04
07/05/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
06/30/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	260,971.18	217.48	0.00	217.48	90.0	3.70
06/30/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	261,454.00	217.88	0.00	217.88	90.0	3.70
06/30/2017	NANI, JOSEPH	JNL PERSPECTIVE L	409,341.38	341.12	0.00	341.12	90.0	5.80
06/30/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	512,911.71	427.43	0.00	427.43	90.0	7.27
06/30/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	105,873.52	88.23	0.00	88.23	90.0	1.50
06/30/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,050.25	2.93	0.00	2.93	90.0	0.05
06/30/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	205,158.47	170.97	0.00	170.97	90.0	2.91
06/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	28.43	0.00	28.43	90.0	0.48
06/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.32	0.00	15.32	90.0	0.26
06/30/2017	LINSKY, MARC	METLIFE SERIES L	34,272.82	85.68	0.00	85.68	90.0	1.46

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
BROKER: MARC LINSKY #263								
06/30/2017	FLYNN, JOHN	METLIFE SERIES L	109,142.71	272.86	0.00	272.86	90.0	4.64
06/30/2017	GARAFOLA, MICHAEL	METLIFE SERIES XC	276,352.54	345.44	0.00	345.44	90.0	5.87
06/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	145.90	0.00	145.90	90.0	2.48
06/30/2017	KROSNOWSKI SR, THOMAS	PRU AS APEX II	11,084.68	27.71	0.00	27.71	90.0	0.47
06/30/2017	KROSNOWSKI SR, THOMAS	PRU AS APEX II	11,084.68	6.93	0.00	6.93	90.0	0.12
07/03/2017	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	4.04	0.00	4.04	90.0	0.07
07/03/2017	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	6.22	0.00	6.22	90.0	0.11
06/30/2017	KRAMER, LARRY	SELECT INCOME REIT COM SH BEN INT	-24,006.30	30.00	23.50	6.50	90.0	0.11
06/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	113,614.76	94.68	0.00	94.68	90.0	1.61
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	8.56	0.00	8.56	90.0	0.15
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.36	0.00	0.36	90.0	0.01
06/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.13
06/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
/ /	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	0.00	6.60	0.00	6.60	90.0	0.11
06/28/2017	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	200.00	5.00	0.00	5.00	90.0	0.09
* MARC LINSKY SUBTOTAL *			\$3,070,437.63	\$31,549.71	\$23.50	\$31,526.21		\$535.99
*** OVERRIDE TRANSACTIONS TOTAL ***			\$3,070,437.63	\$31,549.71	\$23.50	\$31,526.21		\$535.99

ADJUSTMENTS

07/15/2017	*EO INS: CURRENT MONTH INS	0.00	-75.00
07/15/2017	*EO INS: CURRENT MONTH INS	0.00	-105.00
07/15/2017	*EO INS: CURRENT MONTH-T THOMPSON	0.00	-105.00
07/15/2017	*EO INS: N ELIAS	0.00	-105.00
07/15/2017	*FEDERAL EXPRESS: 5-855-69205 7/5/1	0.00	-15.04
07/15/2017	*MARKETING LIBRARY: MARKETING LIBRA	0.00	-45.00
07/15/2017	*MISC: AJAKI DD	0.00	7,980.00
07/15/2017	*MISC: AJAKI DD	0.00	-1,995.00
07/15/2017	*MISC: AW/GOOGLE	0.00	-3,326.93
07/15/2017	*MISC: C FULMER, A LEEDS	0.00	-540.00
07/15/2017	*MISC: REF/PUG&NISS	0.00	-812.50
07/15/2017	*MISC: ROY AFLAC 1031DST	0.00	-1,126.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
07/15/2017		*MISC: SARAH, FT L, 1031DST	0.00					-205.00
07/15/2017		*MISC: VIB/CHARITY	0.00					16,500.00
07/15/2017		*MISC: VIB/CHARITY	0.00					-10,725.00
07/15/2017		*MISC: VIB/CHARITY	0.00					-10,725.00
07/15/2017		*OVERRIDE: BRANCH-CIRELLI	0.00					-1,127.05
07/15/2017		*OVERRIDE: LINSKY	0.00					577.71
07/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.50
07/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.38
07/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.99
07/15/2017		*PERSHING: MARK UPS	0.00					15.00
07/15/2017		*PERSHING: PROSPECTUS	0.00					-0.77
07/15/2017		*TECH: ADMIN FULMER	0.00					-25.00
07/15/2017		*TECH: ADMIN-AMY LEEDS	0.00					-33.00
07/15/2017		*TECH: CURRENT MONTH TECH	0.00					-68.75
07/15/2017		*TECH: CURRENT MONTH TECH	0.00					-96.25
07/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					-96.25
07/15/2017		*TECH: N ELIAS	0.00					-96.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-6,377.95

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** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$68.31
Split Transactions	\$101,465.20
Override Transactions	\$535.99
Adjustments	\$-6,377.95
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$95,691.55
Year-to-date Gross	\$348,054.70
Year-to-date Earnings	\$335,957.35