

## COMMISSION STATEMENT

February 28, 2018

MICHAEL WOLLOCH  
43 SECOR RDBROKER #: 51  
BRANCH: HOME OFFICE

SCARSDALE, NY 10583

## COMMISSION STATEMENT for MICHAEL WOLLOCH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
02/20/2018	QGW016099	WOLLEMAN, TODD	ETF MANAGERS TR SPIRITED FDS ETFMG	S	-3,297.97	53.00	10.00	43.00	45.0	19.35
02/20/2018	QGW016099	WOLLEMAN, TODD	GLOBAL X FDS GLOBAL X CONSCIOUS COS	S	-3,965.80	58.00	10.00	48.00	45.0	21.60
02/20/2018	QGW016099	WOLLEMAN, TODD	ISHARES CORE S&P 500 ETF	S	-27,541.83	53.00	10.00	43.00	45.0	19.35
02/20/2018	QGW016099	WOLLEMAN, TODD	ISHARES TR MSCI ACWI ETF	S	-36,841.05	63.00	10.00	53.00	45.0	23.85
02/20/2018	QGW016099	WOLLEMAN, TODD	ISHARES TR MSCI KLD 400 SOCIAL ETF	S	-20,193.86	58.00	10.00	48.00	45.0	21.60
02/20/2018	QGW016099	WOLLEMAN, TODD	ISHARES TR CORE MSCI EUROPE ETF	S	-51,324.46	73.00	10.00	63.00	45.0	28.35
02/02/2018	QGW016107	STEINBERG, NOLA	CRISPR THERAPEUTICS AG NAMEN-AKT IS	B	1,797.00	55.00	10.00	45.00	45.0	20.25
02/02/2018	QGW016107	STEINBERG, NOLA	EDITAS MEDICINE INC COM	B	1,757.73	55.00	10.00	45.00	45.0	20.25
02/21/2018	QGW018467	PALUMMO, CARMINE	CLS HLDGS USA INC COM	S	-648.00	50.00	10.00	40.00	45.0	18.00
02/06/2018	QGW024101	NEWMAN, LIZA	CREDIT SUISSE AG NASSAU BRH VELOCIT	S	-4,020.00	61.00	10.00	51.00	45.0	22.95
01/30/2018	QGW025546	KRAUSS, ANDREW	OPHTHOTECH CORPORATION COM	S	-757.30	61.00	10.00	51.00	45.0	22.95
* STOCKS SUBTOTAL *					\$-145,035.54	\$640.00	\$110.00	\$530.00		\$238.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/13/2018	QGW016115	MAYER, MURRAY	MFS GOVERNMENT SECURITIES FUND CLAS	B	0.00	48.09	0.00	48.09	45.0	21.64
02/21/2018	QGW016123	DEMSKO, DARYL	PUTNAM HIGH-YIELD FUND CLASS A	B	0.00	11.35	0.00	11.35	45.0	5.11
02/16/2018	QGW016131	TUERS, ERIC	SELECTED AMERICAN SHARES CLASS S	B	0.00	1.39	0.00	1.39	45.0	0.63
02/22/2018	QGW016347	AGAR REVOCABLE TRST, NUVEEN CORE BOND FUND CLASS A	B	0.00	6.27	0.00	6.27	45.0	2.82	
02/12/2018	QGW016412	MERGED TRUST, CARA	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	22.28	0.00	22.28	45.0	10.03
02/21/2018	QGW016511	JASON, LAURIE	THE BOND FUND OF AMERICA-A	B	0.00	0.01	0.00	0.01	45.0	0.00
02/22/2018	QGW016628	KRAUSS, SHARON	FRANKLIN INCOME FUND CLASS C	B	0.00	21.90	0.00	21.90	45.0	9.86
02/16/2018	QGW024150	GORDON, ARLENE	SELECTED AMERICAN SHARES CLASS S	B	0.00	6.24	0.00	6.24	45.0	2.81
02/16/2018	QGW036626	MIRZOEFF, DANA	SELECTED AMERICAN SHARES CLASS S	B	0.00	7.53	0.00	7.53	45.0	3.39
02/12/2018	QGW038721	GST TRUST, HEATHER	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	12.85	0.00	12.85	45.0	5.78
02/12/2018	QGW038739	A. BAKER	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	6.01	0.00	6.01	45.0	2.70
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$143.92	\$0.00	\$143.92		\$64.77
*** BROKER TRANSACTIONS TOTAL ***					\$-145,035.54	\$783.92	\$110.00	\$673.92		\$303.27

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ADJUSTMENTS

02/28/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
02/28/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-28.00

BROKER COMMISSION TOTALS

Broker Transactions	\$303.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-28.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$677.44
FINRA Assessment	\$-0.98
SIPC Assessment	\$-1.96

Check Amount	\$949.77
Year-to-date Earnings	\$472.31