

COMMISSION STATEMENT

June 09, 2017

FIRM 1-ACCOUNTS

BROKER #: 76

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for FIRM 1-ACCOUNTS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

05/23/2017	QGW005985	ROTHBEIN, SYLVIA	MOMO INC ADR	B	20,412.50	28.25	0.00	28.25	80.0	22.60
05/03/2017	QGW014656	FERRANTE, ANTHONY	FRONTIER COMMUNICATIONS CORP	S	-3,360.00	35.00	0.00	35.00	80.0	28.00
05/03/2017	QGW014656	FERRANTE, ANTHONY	XEROX CORP	B	6,986.70	35.00	0.00	35.00	80.0	28.00
05/17/2017	QGW030298	CHERNOFF, GLENN	JOHNSON & JOHNSON	B	51,014.64	20.00	0.00	20.00	80.0	16.00
				* STOCKS SUBTOTAL *	\$75,053.84	\$118.25	\$0.00	\$118.25		\$94.60

PRODUCT CATEGORY: OPTIONS

05/23/2017	QGW005985	ROTHBEIN, SYLVIA	CALL 100 MOMO INC ADR ISIN#US60879B S		-1,155.00	35.00	0.00	35.00	80.0	28.00
				* OPTIONS SUBTOTAL *	\$-1,155.00	\$35.00	\$0.00	\$35.00		\$28.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/09/2017	QGW008369	FRIEDMAN, RICHARD	ALLIANCEBERNSTEIN GROWTH A	B	0.00	0.18	0.00	0.18	80.0	0.14
05/09/2017	QGW008369	FRIEDMAN, RICHARD	ALLIANCEBERNSTEIN GROWTH A	B	0.00	0.18	0.00	0.18	80.0	0.14
05/09/2017	QGW008369	FRIEDMAN, RICHARD	ALLIANCEBERNSTEIN GROWTH A	B	0.00	0.18	0.00	0.18	80.0	0.14
04/18/2017	QGW014177	MORSE, SUSANNA	MASS INVESTORS GROWTH STOCK FUND-A	B	0.00	2.25	0.00	2.25	80.0	1.80
04/18/2017	QGW014177	MORSE, SUSANNA	MASS INVESTORS GROWTH STOCK FUND-A	B	0.00	2.25	0.00	2.25	80.0	1.80
04/20/2017	QGW014177	MORSE, SUSANNA	FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	5.96	0.00	5.96	80.0	4.77
04/20/2017	QGW014177	MORSE, SUSANNA	FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	5.96	0.00	5.96	80.0	4.77
04/21/2017	QGW014177	MORSE, SUSANNA	PUTNAM 74680E101	B	0.00	0.77	0.00	0.77	80.0	0.62
04/21/2017	QGW014177	MORSE, SUSANNA	PUTNAM 74680E101	B	0.00	0.77	0.00	0.77	80.0	0.62
05/11/2017	QGW014177	MORSE, SUSANNA	FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	5.76	0.00	5.76	80.0	4.61
05/11/2017	QGW014177	MORSE, SUSANNA	FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	5.76	0.00	5.76	80.0	4.61
05/11/2017	QGW014177	MORSE, SUSANNA	FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	5.76	0.00	5.76	80.0	4.61
05/12/2017	QGW014177	MORSE, SUSANNA	MASS INVESTORS GROWTH STOCK FUND-A	B	0.00	2.19	0.00	2.19	80.0	1.75
05/12/2017	QGW014177	MORSE, SUSANNA	MASS INVESTORS GROWTH STOCK FUND-A	B	0.00	2.19	0.00	2.19	80.0	1.75
05/12/2017	QGW014177	MORSE, SUSANNA	MASS INVESTORS GROWTH STOCK FUND-A	B	0.00	2.19	0.00	2.19	80.0	1.75
05/25/2017	QGW014177	MORSE, SUSANNA	PUTNAM 74680E101	B	0.00	0.76	0.00	0.76	80.0	0.61
04/18/2017	QGW021115	ROTHBEIN, SYLVIA	MFS EQUITY OPPORTUNITIES FUND CLASS B	B	0.00	55.08	0.00	55.08	80.0	44.06
04/18/2017	QGW021115	ROTHBEIN, SYLVIA	MFS EQUITY OPPORTUNITIES FUND CLASS B	B	0.00	55.08	0.00	55.08	80.0	44.06
05/12/2017	QGW021115	ROTHBEIN, SYLVIA	MFS EQUITY OPPORTUNITIES FUND CLASS B	B	0.00	53.22	0.00	53.22	80.0	42.58
05/12/2017	QGW021115	ROTHBEIN, SYLVIA	MFS EQUITY OPPORTUNITIES FUND CLASS B	B	0.00	53.22	0.00	53.22	80.0	42.58
05/12/2017	QGW021115	ROTHBEIN, SYLVIA	MFS EQUITY OPPORTUNITIES FUND CLASS B	B	0.00	53.22	0.00	53.22	80.0	42.58

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/20/2017	QGW021347	ROTHBEIN, HOWARD		OPPENHEIMER DEVELOPING MARKETS FUND B	0.00	28.02	0.00	28.02	80.0	22.42
04/20/2017	QGW021347	ROTHBEIN, HOWARD		OPPENHEIMER DEVELOPING MARKETS FUND B	0.00	28.02	0.00	28.02	80.0	22.42
05/22/2017	QGW021347	ROTHBEIN, HOWARD		OPPENHEIMER DEVELOPING MARKETS FUND B	0.00	27.77	0.00	27.77	80.0	22.22
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$396.74	\$0.00	\$396.74		\$317.41
				*** BROKER TRANSACTIONS TOTAL ***	\$73,898.84	\$549.99	\$0.00	\$549.99		\$440.01

BROKER COMMISSION TOTALS

Broker Transactions	\$440.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.69
SIPC Assessment	\$-10.31

Check Amount	\$429.01
Year-to-date Earnings	\$1,692.56