



# COMMISSION STATEMENT

April 16, 2018

**LIFEMARK HOUSE**  
400 West Metro Financial Ctr  
Rochester, NY 14623

**BROKER #: LMSHO**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/01/2018	***-**-3590 - HEALY, THOMAS	AIM ASIA PACIFIC GROWTH FUND - CL A	0.00	19.45	0.00	19.45	0.0	0.00
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
04/01/2018	***-**-3590 - HEALY, THOMAS	AIM DEVELOPING MARKETS FUND CLASS A	0.00	1.88	0.00	1.88	0.0	0.00
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
04/01/2018	***-**-3590 - HEALY, THOMAS	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	5.30	0.00	5.30	0.0	0.00
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
04/01/2018	***-**-3590 - HEALY, THOMAS	AIM MID-CAP EQUITY FUND CLASS A	0.00	3.55	0.00	3.55	0.0	0.00
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
04/01/2018	***-**-3590 - HEALY, THOMAS	AIM TRIMARK SMALL COMPANIES FD - CL	0.00	23.99	0.00	23.99	0.0	0.00
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
04/16/2018	***-**-3590 - HEALY, THOMAS	EATON VANCE GROWTH CLASS A	0.00	1.72	0.00	1.72	0.0	0.00
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
04/16/2018	***-**-3590 - HEALY, THOMAS	EATON VANCE STOCK FUND A	0.00	1.47	0.00	1.47	0.0	0.00
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
04/01/2018	***-**-3590 - HEALY, THOMAS	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	3.94	0.00	3.94	0.0	0.00
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
04/01/2018	***-**-3590 - HEALY, THOMAS	INVESCO ENDEAVOR FUND - CLASS A	0.00	5.15	0.00	5.15	0.0	0.00
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
04/01/2018	***-**-3590 - HEALY, THOMAS	INVESCO SMALL CAP EQUITY FUND-CLASS	0.00	0.37	0.00	0.37	0.0	0.00
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
04/01/2018	***-**-3590 - HEALY, THOMAS	INVESCO VAN KAMPEN COMSTOCK CLASS A	0.00	26.62	0.00	26.62	0.0	0.00
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
04/01/2018	***-**-3590 - HEALY, THOMAS	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	5.15	0.00	5.15	0.0	0.00
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
04/01/2018	***-**-3590 - HEALY, THOMAS	INVESCO VAN KAMPEN MID CAP GROWTH A	0.00	66.96	0.00	66.96	0.0	0.00
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
04/01/2018	***-**-3590 - HEALY, THOMAS	INVESCO VAN KAMPEN SMALL CAP VALUE	0.00	50.92	0.00	50.92	0.0	0.00
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
04/01/2018	***-**-3590 - HEALY, THOMAS	IVK AMERICAN VALUE FUND - CLASS A	0.00	5.09	0.00	5.09	0.0	0.00
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						
04/01/2018	***-**-3590 - HEALY, THOMAS	IVK GROWTH AND INCOME FUND - CLASS	0.00	5.73	0.00	5.73	0.0	0.00
	ACCOUNT #: 04038423622	BUY 0 Units @0.0000						



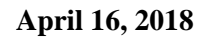
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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/31/2018	***-**-3590 - HEALY, THOMAS	MAIN STREET FUND A	0.00	39.48	0.00	39.48	0.0	0.00
	ACCOUNT #: 07004927719	BUY 0 Units @0.0000						
04/16/2018	***-**-3810 - HARTLEY, JUNE	PRUDENTIAL MF TRAILS	0.00	0.02	0.00	0.02	0.0	0.00
		BUY 0 Units @0.0000						
04/16/2018	***-**-3810 - HARTLEY, JUNE	PRUDENTIAL MF TRAILS	0.00	0.03	0.00	0.03	0.0	0.00
		BUY 0 Units @0.0000						
04/16/2018	***-**-3810 - HARTLEY, JUNE	PRUDENTIAL MF TRAILS	0.00	0.06	0.00	0.06	0.0	0.00
		BUY 0 Units @0.0000						
04/16/2018	***-**-3810 - HARTLEY, JUNE	PRUDENTIAL MF TRAILS	0.00	0.06	0.00	0.06	0.0	0.00
		BUY 0 Units @0.0000						
04/16/2018	***-**-3810 - HARTLEY, JUNE	PRUDENTIAL MF TRAILS	0.00	0.03	0.00	0.03	0.0	0.00
		BUY 0 Units @0.0000						
04/16/2018	***-**-3810 - HARTLEY, JUNE	PRUDENTIAL MF TRAILS	0.00	0.03	0.00	0.03	0.0	0.00
		BUY 0 Units @0.0000						
04/16/2018	***-**-3810 - HARTLEY, JUNE	PRUDENTIAL MF TRAILS	0.00	0.03	0.00	0.03	0.0	0.00
		BUY 0 Units @0.0000						
03/31/2018	***-**-3590 - HEALY, THOMAS	QUEST BALANCED A	0.00	16.71	0.00	16.71	0.0	0.00
	ACCOUNT #: 02572447632	BUY 0 Units @0.0000						
04/16/2018	***-**-3590 - HEALY, THOMAS	RYDEX MID CAP GROWTH FUND CLASS A	0.00	5.92	0.00	5.92	0.0	0.00
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
04/16/2018	***-**-602H - CO, PFPC TRUST	VIRTUS MF TRAILS	0.00	0.21	0.00	0.21	0.0	0.00
		BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$289.87</b>	<b>\$0.00</b>	<b>\$289.87</b>		<b>\$0.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$289.87</b>	<b>\$0.00</b>	<b>\$289.87</b>		<b>\$0.00</b>



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<div>BROKER COMMISSION TOTALS</div> <div>Broker Transactions\$0.00</div> <div>Split Transactions\$0.00</div> <div>Override Transactions\$0.00</div> <div>Adjustments\$0.00</div> <div>Payroll Draw\$0.00</div> <div>Base Salary\$0.00</div> <div>Prior Period Balance\$-3,055.02</div> <div>FINRA Assessment\$0.00</div> <div>SIPC Assessment\$0.00</div> <div>Balance Forward\$-3,055.02</div> <div>Year-to-date Earnings\$0.00</div>								
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								