



COMMISSION STATEMENT

June 18, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u>								
06/18/2018	***-**-664J - PFAFF, GEORGE	UNDERWRITER COMM BUY 0 Units @0.0000	0.00	87.75	0.00	87.75	0.0	0.00
06/18/2018	***-**-680I - HARRELL, DARYL	UNDERWRITER COMM BUY 0 Units @0.0000	0.00	175.50	0.00	175.50	0.0	0.00
06/18/2018	***-**-31IN - EVANS, THOMAS	UNDERWRITER COMM BUY 0 Units @0.0000	0.00	175.50	0.00	175.50	0.0	0.00
06/18/2018	***-**-680I - HARRELL, DARYL	UNDERWRITER COMM BUY 0 Units @0.0000	0.00	-87.75	0.00	-87.75	0.0	0.00
* ALTERNATIVE INVESTMENTS SUBTOTAL *			\$0.00	\$351.00	\$0.00	\$351.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/12/2018	***-**-45IO - JOHNSON, GREGORY	AMERICAN GLOBAL BALANCED CL C ACCOUNT #: LSR011421 SELL 154 Units @32.4600	5,000.01	18.00	18.00	0.00	0.0	0.00
06/11/2018	***-**-254I - AUGUSTINE, LINDA	CAPITAL INCOME BUILDER CLASS C ACCOUNT #: LSR010846 SELL 41 Units @61.2500	2,499.98	18.00	18.00	0.00	0.0	0.00
06/11/2018	***-**-254I - AUGUSTINE, LINDA	EQUITY INCOME FUND, INC. A ACCOUNT #: LSR010846 SELL 77 Units @32.3800	2,500.00	18.00	18.00	0.00	0.0	0.00
06/08/2018	***-**-45IO - JOHNSON, GREGORY	FRANKLIN INCOME FUND CLASS C ACCOUNT #: LSR011421 SELL 1702 Units @2.3500	4,000.00	18.00	18.00	0.00	0.0	0.00
06/12/2018	***-**-45IO - JOHNSON, GREGORY	FUNDAMENTAL INVESTORS-C ACCOUNT #: LSR011421 SELL 47 Units @64.1900	2,999.98	18.00	18.00	0.00	0.0	0.00
06/12/2018	***-**-45IO - JOHNSON, GREGORY	INCOME FUND OF AMERICA CLASS C ACCOUNT #: LSR011421 SELL 262 Units @22.9300	6,000.00	18.00	18.00	0.00	0.0	0.00
06/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS CONSERVATIVE ALLOCATION CL C ACCOUNT #: LSR011421 SELL 520 Units @15.3800	8,000.00	18.00	18.00	0.00	0.0	0.00
06/13/2018	***-**-420T - DEMETRI, AMELIA	MFS CONSERVATIVE ALLOCATION CL C ACCOUNT #: LSR010994 SELL 247 Units @15.3700	3,800.00	18.00	18.00	0.00	0.0	0.00
06/08/2018	***-**-45IO - JOHNSON, GREGORY	MFS DIVERSIFIED INCOME FD CL C ACCOUNT #: LSR011421 SELL 164 Units @12.2000	1,999.99	18.00	18.00	0.00	0.0	0.00
06/14/2018	***-**-513I - RHYMESTINE,	MFS DIVERSIFIED INCOME FD CL C ACCOUNT #: LSR012457 BUY 821 Units @12.1800	10,000.00	118.00	18.00	100.00	0.0	0.00
06/11/2018	***-**-254I - AUGUSTINE, LINDA	MFS GLOBAL TOTAL RETURN FUND-B ACCOUNT #: LSR010846 SELL 55 Units @18.2000	1,000.00	18.00	18.00	0.00	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS GLOBAL TOTAL RETURN FUND-C	5,999.99	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011421	SELL 335 Units @17.9200						
06/11/2018	***-**-254I - AUGUSTINE, LINDA	MFS INTERNATIONAL NEW DISCOVERY FUN	2,000.01	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR010846	SELL 57 Units @35.1200						
06/12/2018	***-**-45IO - JOHNSON, GREGORY	OPPENHEIMER ACTIVE ALLOCATION FUND	2,500.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011421	SELL 170 Units @14.6800						
06/11/2018	***-**-254I - AUGUSTINE, LINDA	OPPENHEIMER SMALL & MID CAP VALUE F	2,999.99	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR010846	SELL 52 Units @57.8100						
06/13/2018	***-**-420T - DEMETRI, AMELIA	PORT SERIES: CONS INV C	5,100.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR010994	SELL 544 Units @9.3700						
06/12/2018	***-**-45IO - JOHNSON, GREGORY	PUTNAM CAPITAL SPECTRUM FUND CL C	2,999.99	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011421	SELL 96 Units @31.3600						
06/12/2018	***-**-45IO - JOHNSON, GREGORY	PUTNAM COMP	2,500.02	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011421	SELL 65 Units @38.5300						
06/13/2018	***-**-420T - DEMETRI, AMELIA	PUTNAM GROWTH OPPORTUNITIES FUND CL	12,255.37	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR010994	SELL 406 Units @30.1500						
06/11/2018	***-**-254I - AUGUSTINE, LINDA	PUTNAM UTILITIES GR & INC-B	1,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR010846	SELL 77 Units @12.9200						
06/11/2018	***-**-254I - AUGUSTINE, LINDA	REAL ESTATE FUND A	1,499.99	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR010846	SELL 62 Units @24.1300						
06/15/2018	***-**-930J - SANGER, KEVIN	RESOURCE CREDIT INCOME FUND C	25,000.00	268.00	18.00	250.00	0.0	0.00
	ACCOUNT #: LSR010940	BUY 2195 Units @11.3900						
06/11/2018	***-**-254I - AUGUSTINE, LINDA	RISEING DIVIDENDS FUND A	1,500.01	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR010846	SELL 74 Units @20.2400						
* MUTUAL FUNDS SUBTOTAL *			\$113,155.33	\$764.00	\$414.00	\$350.00		\$0.00
PRODUCT CATEGORY: STOCKS								
06/14/2018	***-**-513I - RHYMESTINE,	BERKSHIRE HATHAWAY INC DEL CL B NEW	9,692.50	114.95	18.00	96.95	0.0	0.00
	ACCOUNT #: LSR012457	BUY 50 Units @193.8500						
06/13/2018	***-**-188I - BRADLEY, WAYNE	EATON VANCE LTD DURATION INCOME FD	2,192.40	37.58	18.48	19.10	0.0	0.00
	ACCOUNT #: LSR012484	BUY 174 Units @12.6000						
06/11/2018	***-**-366I - GRUENEWALD,	LOXO ONCOLOGY INC	17,950.00	197.50	17.00	180.50	0.0	0.00
	ACCOUNT #: LSR010989	BUY 100 Units @179.5000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: STOCKS (continued)</u>								
06/11/2018	***-**-761I - EVANS, DOLORES	NETFLIX COM INC COM UNSOLICITED ORD	27,150.00	289.50	18.00	271.50	0.0	0.00
	ACCOUNT #: LSR011024	BUY 75 Units @362.0000						
06/11/2018	***-**-31IO - JOSEPH, ANN	VERIZON COMMUNICATIONS UNSOLICITED	4,950.00	67.50	17.00	50.50	0.0	0.00
	ACCOUNT #: LSR012337	BUY 100 Units @49.5000						
		* STOCKS SUBTOTAL *	\$61,934.90	\$707.03	\$88.48	\$618.55		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/15/2018	***-**-7918 - BAREFOOT, JOHN	PACIFIC LIFE SE5-NYB DTCC	108.00	3.24	0.00	3.24	0.0	0.00
	ACCOUNT #: VP71073430	BUY 0 Units @0.0000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$108.00	\$3.24	\$0.00	\$3.24		\$0.00
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
06/13/2018	***-**-420T - DEMETRI, AMELIA	KITE REALTY GROUP TRUST COM USD0.01	19,411.68	114.49	24.00	90.49	0.0	0.00
	ACCOUNT #: LSR010994	SELL 1184 Units @16.3950						
		* UNIT INVESTMENT TRUSTS SUBTOTAL *	\$19,411.68	\$114.49	\$24.00	\$90.49		\$0.00
<u>PRODUCT CATEGORY: 529 PLAN</u>								
06/18/2018	***-**-20IR - DEMETRI, AMELIA	NYA JPM 529 MOD AGE-BASED A	50.00	2.38	0.00	2.38	0.0	0.00
	ACCOUNT #: 77854436501	BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$50.00	\$2.38	\$0.00	\$2.38		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	***-**-78IR - FALES, JOAN	AF MODERATE GROWTH AND INCOME-A	0.00	4.77	0.00	4.77	0.0	0.00
	ACCOUNT #: 00088082227	BUY 0 Units @0.0000						
06/18/2018	***-**-702I - KOCHER, MICHAEL	AF MODERATE GROWTH AND INCOME-A	0.00	4.19	0.00	4.19	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
06/18/2018	***-**-366I - GRUENEWALD,	AF MODERATE GROWTH AND INCOME-A	0.00	1.70	0.00	1.70	0.0	0.00
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
06/18/2018	***-**-579J - RHYMESTINE,	AF MODERATE GROWTH AND INCOME-A	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
06/18/2018	***-**-808J - GORMAN, RANDY	AF MODERATE GROWTH AND INCOME-A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-326I - GRANDE, FBO MR	AF MODERATE GROWTH AND INCOME-A	0.00	2.09	0.00	2.09	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
06/01/2018	***-**-72TD - GAVALO, JULIE	AF SHORT-TERM TAX-EXEMPT BOND FUND-	0.00	6.80	0.00	6.80	0.0	0.00
	ACCOUNT #: 00087169935	BUY 0 Units @0.0000						
06/01/2018	***-**-169I - EVANS, PAUL	AMCAP FUND-C	0.00	6.72	0.00	6.72	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
06/01/2018	***-**-72IN - GAVALO, JULIE	AMERICAN BALANCED FUND-529E	0.00	1.21	0.00	1.21	0.0	0.00
	ACCOUNT #: 00068530007	BUY 0 Units @0.0000						
06/01/2018	***-**-72IN - GAVALO, JULIE	AMERICAN BALANCED FUND-529E	0.00	1.21	0.00	1.21	0.0	0.00
	ACCOUNT #: 00068530008	BUY 0 Units @0.0000						
06/01/2018	***-**-72IN - GAVALO, JULIE	AMERICAN BALANCED FUND-529E	0.00	5.28	0.00	5.28	0.0	0.00
	ACCOUNT #: 00068530009	BUY 0 Units @0.0000						
06/01/2018	***-**-755P - SHEPPARD,	AMERICAN BALANCED FUND-A	0.00	2.11	0.00	2.11	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
06/01/2018	***-**-0462 - FRIOT, MICHAEL	AMERICAN BALANCED FUND-A	0.00	5.59	0.00	5.59	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
06/01/2018	***-**-480J - EVANS, RICHARD	AMERICAN BALANCED FUND-A	0.00	2.54	0.00	2.54	0.0	0.00
	ACCOUNT #: 00073288662	BUY 0 Units @0.0000						
06/01/2018	***-**-81IR - CAMPBELL,	AMERICAN BALANCED FUND-A	0.00	6.78	0.00	6.78	0.0	0.00
	ACCOUNT #: 00073375822	BUY 0 Units @0.0000						
06/01/2018	***-**-20IR - DEMETRI, AMELIA	AMERICAN BALANCED FUND-A	0.00	3.59	0.00	3.59	0.0	0.00
	ACCOUNT #: 00074234451	BUY 0 Units @0.0000						
06/01/2018	***-**-643I - PELLETIER, MARY	AMERICAN BALANCED FUND-A	0.00	4.99	0.00	4.99	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
06/01/2018	***-**-265J - MEEKINS, TIMOTHY	AMERICAN BALANCED FUND-A	0.00	1.10	0.00	1.10	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
06/01/2018	***-**-901I - SATTERLY, ANN	AMERICAN BALANCED FUND-A	0.00	0.54	0.00	0.54	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
06/01/2018	***-**-382I - SATTERLY, NEIL	AMERICAN BALANCED FUND-A	0.00	0.86	0.00	0.86	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
06/01/2018	***-**-753J - FALCHI, VALERIE	AMERICAN BALANCED FUND-A	0.00	0.64	0.00	0.64	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-680I - HARRELL, DARYL	AMERICAN BALANCED FUND-A	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
06/18/2018	***-**-30TR - AND SANDRA	AMERICAN BALANCED FUND-A	0.00	2.90	0.00	2.90	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
06/01/2018	***-**-753J - FALCHI, VALERIE	AMERICAN BALANCED FUND-C	0.00	5.85	0.00	5.85	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
06/18/2018	***-**-761I - EVANS, DOLORES	AMERICAN BALANCED FUND-C	0.00	15.30	0.00	15.30	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
06/18/2018	***-**-094I - KATRENA L	AMERICAN BALANCED FUND-C	0.00	3.86	0.00	3.86	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
06/18/2018	***-**-52IO - EUSEPI, SUSAN	AMERICAN BALANCED FUND-C	0.00	5.20	0.00	5.20	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
06/18/2018	***-**-80IO - EVANS, RICHARD	AMERICAN BALANCED FUND-C	0.00	15.53	0.00	15.53	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
06/01/2018	***-**-5375 - CARTER, LISA	AMERICAN FUNDS 45956T808	0.00	5.52	0.00	5.52	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
06/01/2018	***-**-0851 - LALLIER, JAMES	AMERICAN FUNDS BALANCED PORT CL C	0.00	28.64	0.00	28.64	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
06/18/2018	***-**-881I - EBERLEY, SUSAN	AMERICAN FUNDS BALANCED PORT CL C	0.00	8.84	0.00	8.84	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
06/18/2018	***-**-382K - SATTERLY, NEIL	AMERICAN FUNDS BALANCED PORT CL C	0.00	4.49	0.00	4.49	0.0	0.00
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
06/18/2018	***-**-949I - ACEE, JOSPEH	AMERICAN FUNDS BALANCED PORT CL C	0.00	3.68	0.00	3.68	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
06/18/2018	***-**-48IO - CLARK, PATRICK	AMERICAN FUNDS BALANCED PORT CL C	0.00	22.71	0.00	22.71	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - HARTZ, CLAUDIA	AMERICAN FUNDS BALANCED PORT CL C	0.00	6.21	0.00	6.21	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
06/18/2018	***-**-031O - MCVOY, ROBERT	AMERICAN FUNDS BALANCED PORT CL C	0.00	4.73	0.00	4.73	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
06/18/2018	***-**-15IN - PENDRAK, JOHN	AMERICAN FUNDS BALANCED PORT CL C	0.00	12.05	0.00	12.05	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	10.60	0.00	10.60	0.0	0.00
06/18/2018	***-**-567I - FRANZ, ALICE ACCOUNT #: LSR010960	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	7.12	0.00	7.12	0.0	0.00
06/18/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	11.95	0.00	11.95	0.0	0.00
06/18/2018	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011001	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	8.81	0.00	8.81	0.0	0.00
06/18/2018	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	11.90	0.00	11.90	0.0	0.00
06/18/2018	***-**-169I - EVANS, PAUL ACCOUNT #: LSR011026	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.51	0.00	5.51	0.0	0.00
06/18/2018	***-**-73UT - COBB, CHRSTIAN ACCOUNT #: LSR011104	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.47	0.00	5.47	0.0	0.00
06/18/2018	***-**-67UT - COBB, ACCOUNT #: LSR011105	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.47	0.00	5.47	0.0	0.00
06/18/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	2.61	0.00	2.61	0.0	0.00
06/18/2018	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	7.53	0.00	7.53	0.0	0.00
06/18/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	16.12	0.00	16.12	0.0	0.00
06/18/2018	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.05	0.00	5.05	0.0	0.00
06/18/2018	***-**-900J - LEE, MR. ACCOUNT #: LSR011186	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.21	0.00	5.21	0.0	0.00
06/18/2018	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.68	0.00	3.68	0.0	0.00
06/18/2018	***-**-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.70	0.00	3.70	0.0	0.00
06/18/2018	***-**-653I - KOCHEM, CLINTON ACCOUNT #: LSR011300	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.74	0.00	3.74	0.0	0.00



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-851K - LALLIER, JAMES	AMERICAN FUNDS BALANCED PORT CL C	0.00	6.06	0.00	6.06	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
06/01/2018	***-**-0851 - LALLIER, JAMES	AMERICAN FUNDS GLBL GROWTH PORT CL	0.00	5.04	0.00	5.04	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
06/18/2018	***-**-62IR - ROBBIANO, FBO	AMERICAN FUNDS GLBL GROWTH PORT CL	0.00	3.46	0.00	3.46	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
06/18/2018	***-**-851K - LALLIER, JAMES	AMERICAN FUNDS GLBL GROWTH PORT CL	0.00	1.07	0.00	1.07	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
06/18/2018	***-**-87IN - HINCKLEY,	AMERICAN FUNDS MORTGAGE FD CL C	0.00	6.47	0.00	6.47	0.0	0.00
	ACCOUNT #: LSR010834	BUY 1 Units @0.0000						
06/18/2018	***-**-287J - HINCKLEY,	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.15	0.00	8.15	0.0	0.00
	ACCOUNT #: LSR010841	BUY 1 Units @0.0000						
06/18/2018	***-**-881I - EBERLEY, SUSAN	AMERICAN FUNDS MORTGAGE FD CL C	0.00	7.29	0.00	7.29	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
06/18/2018	***-**-382I - SATTERLY, NEIL	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.49	0.00	8.49	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
06/18/2018	***-**-980I - ACEE, ELIZABETH	AMERICAN FUNDS MORTGAGE FD CL C	0.00	12.85	0.00	12.85	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
06/18/2018	***-**-48IO - CLARK, PATRICK	AMERICAN FUNDS MORTGAGE FD CL C	0.00	12.76	0.00	12.76	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - HARTZ, CLAUDIA	AMERICAN FUNDS MORTGAGE FD CL C	0.00	4.92	0.00	4.92	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
06/18/2018	***-**-72IN - GAVALO, JULIE	AMERICAN FUNDS MORTGAGE FD CL C	0.00	12.82	0.00	12.82	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
06/18/2018	***-**-959I - FRANZ, PAUL	AMERICAN FUNDS MORTGAGE FD CL C	0.00	10.25	0.00	10.25	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
06/18/2018	***-**-065I - LAZIO, THOMAS	AMERICAN FUNDS MORTGAGE FD CL C	0.00	7.66	0.00	7.66	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
06/18/2018	***-**-80IN - COBB, JAMES	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.47	0.00	8.47	0.0	0.00
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
06/18/2018	***-**-00IO - LEE, GARY	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.58	0.00	8.58	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-44IO - MAYO, DEBORAH	AMERICAN FUNDS MORTGAGE FD CL C	0.00	29.47	0.00	29.47	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
06/18/2018	***-**-579J - RHYMESTINE,	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.43	0.00	8.43	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
06/18/2018	***-**-09IO - BATES, FBO MRS	AMERICAN FUNDS MORTGAGE FD CL C	0.00	21.97	0.00	21.97	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
06/18/2018	***-**-48IO - ANACKER, FBO MRS	AMERICAN FUNDS MORTGAGE FD CL C	0.00	6.39	0.00	6.39	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
06/18/2018	***-**-89IN - LEE, ROGER	AMERICAN FUNDS MORTGAGE FD CL C	0.00	13.74	0.00	13.74	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
06/18/2018	***-**-094I - KATRENA L	AMERICAN FUNDS MORTGAGE FD CL C	0.00	2.98	0.00	2.98	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
06/18/2018	***-**-439J - MCCARTHY, JAMES	AMERICAN FUNDS MORTGAGE FD CL C	0.00	2.48	0.00	2.48	0.0	0.00
	ACCOUNT #: LSR011205	BUY 1 Units @0.0000						
06/18/2018	***-**-52IO - EUSEPI, SUSAN	AMERICAN FUNDS MORTGAGE FD CL C	0.00	2.95	0.00	2.95	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
06/18/2018	***-**-473J - HUSTON,	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.54	0.00	8.54	0.0	0.00
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						
06/18/2018	***-**-80IO - EVANS, RICHARD	AMERICAN FUNDS MORTGAGE FD CL C	0.00	6.77	0.00	6.77	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
06/18/2018	***-**-376I - BERBEN, GAIL	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.30	0.00	8.30	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
06/18/2018	***-**-21IO - RADELL, SANDRA	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.29	0.00	8.29	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
06/18/2018	***-**-45IO - JOHNSON, GREGORY	AMERICAN FUNDS MORTGAGE FD CL C	0.00	16.58	0.00	16.58	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
06/18/2018	***-**-420T - DEMETRI, AMELIA	AMERICAN FUNDS PRESERVATION PORT C	0.00	4.21	0.00	4.21	0.0	0.00
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
06/18/2018	***-**-881J - EBERLEY, SUSAN	AMERICAN GLOBAL BALANCED CL C	0.00	9.55	0.00	9.55	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
06/18/2018	***-**-382I - SATTERLY, NEIL	AMERICAN GLOBAL BALANCED CL C	0.00	11.56	0.00	11.56	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-382K - SATTERLY, NEIL	AMERICAN GLOBAL BALANCED CL C	0.00	4.28	0.00	4.28	0.0	0.00
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
06/18/2018	***-**-980I - ACEE, ELIZABETH	AMERICAN GLOBAL BALANCED CL C	0.00	14.45	0.00	14.45	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
06/18/2018	***-**-48IO - CLARK, PATRICK	AMERICAN GLOBAL BALANCED CL C	0.00	11.36	0.00	11.36	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
06/18/2018	***-**-72IN - GAVALO, JULIE	AMERICAN GLOBAL BALANCED CL C	0.00	11.04	0.00	11.04	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
06/18/2018	***-**-065I - LAZIO, THOMAS	AMERICAN GLOBAL BALANCED CL C	0.00	9.38	0.00	9.38	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
06/18/2018	***-**-420T - DEMETRI, AMELIA	AMERICAN GLOBAL BALANCED CL C	0.00	7.12	0.00	7.12	0.0	0.00
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
06/18/2018	***-**-73TR - WESTCOTT IRRVOC	AMERICAN GLOBAL BALANCED CL C	0.00	13.43	0.00	13.43	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
06/18/2018	***-**-73TR - WESTCOTT IRRVOC	AMERICAN GLOBAL BALANCED CL C	0.00	25.09	0.00	25.09	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
06/18/2018	***-**-80IN - COBB, JAMES	AMERICAN GLOBAL BALANCED CL C	0.00	8.08	0.00	8.08	0.0	0.00
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
06/18/2018	***-**-00IO - LEE, GARY	AMERICAN GLOBAL BALANCED CL C	0.00	13.47	0.00	13.47	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
06/18/2018	***-**-44IO - MAYO, DEBORAH	AMERICAN GLOBAL BALANCED CL C	0.00	33.72	0.00	33.72	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
06/18/2018	***-**-09IO - BATES, FBO MRS	AMERICAN GLOBAL BALANCED CL C	0.00	17.03	0.00	17.03	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
06/18/2018	***-**-094I - KATRENA L	AMERICAN GLOBAL BALANCED CL C	0.00	7.64	0.00	7.64	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
06/18/2018	***-**-11IO - LYNN G.	AMERICAN GLOBAL BALANCED CL C	0.00	19.56	0.00	19.56	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
06/18/2018	***-**-07IO - FAMOLARO, JAMES	AMERICAN GLOBAL BALANCED CL C	0.00	5.79	0.00	5.79	0.0	0.00
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
06/18/2018	***-**-376I - BERBEN, GAIL	AMERICAN GLOBAL BALANCED CL C	0.00	18.18	0.00	18.18	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-21IO - RADELL, SANDRA	AMERICAN GLOBAL BALANCED CL C	0.00	5.83	0.00	5.83	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
06/18/2018	***-**-45IO - JOHNSON, GREGORY	AMERICAN GLOBAL BALANCED CL C	0.00	14.92	0.00	14.92	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
06/18/2018	***-**-14IO - BUSHEY, MATTHEW	AMERICAN GLOBAL BALANCED CL C	0.00	17.43	0.00	17.43	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
06/18/2018	***-**-37IO - MCCLUSKY, MARK	AMERICAN GLOBAL BALANCED CL C	0.00	9.52	0.00	9.52	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
06/18/2018	***-**-86IN - LEE, KEVIN	AMERICAN GLOBAL BALANCED CL C	0.00	8.50	0.00	8.50	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
06/18/2018	***-**-03IO - MASTRANGELO,	AMERICAN GLOBAL BALANCED CL C	0.00	9.61	0.00	9.61	0.0	0.00
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
06/18/2018	***-**-51IO - SCALISE, MARY	AMERICAN GLOBAL BALANCED CL C	0.00	6.76	0.00	6.76	0.0	0.00
	ACCOUNT #: LSR011837	BUY 1 Units @0.0000						
06/18/2018	***-**-702I - KOHEM, MICHAEL	AMERICAN GLOBAL BALANCED CLASS A	0.00	2.64	0.00	2.64	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
06/18/2018	***-**-63TR - EVANS, AUDREY J	AMERICAN GLOBAL BALANCED CLASS A	0.00	6.08	0.00	6.08	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
06/18/2018	***-**-30TR - AND SANDRA	AMERICAN GLOBAL BALANCED CLASS A	0.00	1.56	0.00	1.56	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
06/01/2018	***-**-72TD - GAVALO, JULIE	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	13.65	0.00	13.65	0.0	0.00
	ACCOUNT #: 00087169935	BUY 0 Units @0.0000						
06/01/2018	***-**-072I - GAVALO, JULIE	AMERICAN HIGH-INCOME TRUST-A	0.00	19.69	0.00	19.69	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
06/01/2018	***-**-81IR - CAMPBELL,	AMERICAN HIGH-INCOME TRUST-A	0.00	4.23	0.00	4.23	0.0	0.00
	ACCOUNT #: 00073375822	BUY 0 Units @0.0000						
06/01/2018	***-**-20JT - KOHEM, DENISE	AMERICAN HIGH-INCOME TRUST-A	0.00	1.34	0.00	1.34	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
06/01/2018	***-**-72IN - GAVALO, JULIE	AMERICAN MUTUAL FUND-529E	0.00	5.50	0.00	5.50	0.0	0.00
	ACCOUNT #: 00068530009	BUY 0 Units @0.0000						
06/01/2018	***-**-072I - GAVALO, JULIE	AMERICAN MUTUAL FUND-A	0.00	10.50	0.00	10.50	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/01/2018	***-**-20JT - KOCHER, DENISE	AMERICAN MUTUAL FUND-A	0.00	0.87	0.00	0.87	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
06/18/2018	***-**-287I - HINCKLEY,	AMERICAN MUTUAL FUND-A	0.00	5.45	0.00	5.45	0.0	0.00
	ACCOUNT #: LSR010843	BUY 1 Units @0.0000						
06/18/2018	***-**-13IN - GRUENEWALD,	AMERICAN WASHINGTON MUTUAL INVESTOR	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: LSR011210	BUY 1 Units @0.0000						
06/01/2018	***-**-0851 - LALLIER, JAMES	CAPITAL INCOME BUILDER CLASS C	0.00	27.40	0.00	27.40	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
06/18/2018	***-**-254I - AUGUSTINE, LINDA	CAPITAL INCOME BUILDER CLASS C	0.00	7.21	0.00	7.21	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
06/18/2018	***-**-881J - EBERLEY, SUSAN	CAPITAL INCOME BUILDER CLASS C	0.00	4.87	0.00	4.87	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
06/18/2018	***-**-382I - SATTERLY, NEIL	CAPITAL INCOME BUILDER CLASS C	0.00	14.60	0.00	14.60	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
06/18/2018	***-**-949I - ACEE, JOSPEH	CAPITAL INCOME BUILDER CLASS C	0.00	4.78	0.00	4.78	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - HARTZ, CLAUDIA	CAPITAL INCOME BUILDER CLASS C	0.00	5.36	0.00	5.36	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
06/18/2018	***-**-15IN - PENDRAK, JOHN	CAPITAL INCOME BUILDER CLASS C	0.00	8.81	0.00	8.81	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
06/18/2018	***-**-959I - FRANZ, PAUL	CAPITAL INCOME BUILDER CLASS C	0.00	11.81	0.00	11.81	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
06/18/2018	***-**-960J - MASTRANGELO,	CAPITAL INCOME BUILDER CLASS C	0.00	9.47	0.00	9.47	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
06/18/2018	***-**-065I - LAZIO, THOMAS	CAPITAL INCOME BUILDER CLASS C	0.00	6.74	0.00	6.74	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
06/18/2018	***-**-51IO - CAIN, TIMOTHY	CAPITAL INCOME BUILDER CLASS C	0.00	7.33	0.00	7.33	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
06/18/2018	***-**-63TR - EVANS, AUDREY J	CAPITAL INCOME BUILDER CLASS C	0.00	5.22	0.00	5.22	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
06/18/2018	***-**-861I - SANGER, LAWRENCE	CAPITAL INCOME BUILDER CLASS C	0.00	1.82	0.00	1.82	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	4.52	0.00	4.52	0.0	0.00
06/18/2018	***-**-506J - CURRIERE JR, MR ACCOUNT #: LSR011121	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	3.86	0.00	3.86	0.0	0.00
06/18/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	14.58	0.00	14.58	0.0	0.00
06/18/2018	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	6.99	0.00	6.99	0.0	0.00
06/18/2018	***-**-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	2.86	0.00	2.86	0.0	0.00
06/18/2018	***-**-851K - LALLIER, JAMES ACCOUNT #: LSR012645	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	5.76	0.00	5.76	0.0	0.00
06/01/2018	***-**-755P - SHEPPARD, ACCOUNT #: 00084018452	CAPITAL INCOME BUILDER-529A BUY 0 Units @0.0000	0.00	0.59	0.00	0.59	0.0	0.00
06/01/2018	***-**-2855 - KRECIDLO, MARTA ACCOUNT #: 00083946447	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	0.50	0.00	0.50	0.0	0.00
06/01/2018	***-**-0462 - FRIOT, MICHAEL ACCOUNT #: 00084291287	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	3.27	0.00	3.27	0.0	0.00
06/01/2018	***-**-072I - GAVALO, JULIE ACCOUNT #: 00087112294	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	21.70	0.00	21.70	0.0	0.00
06/01/2018	***-**-72RI - GAVALO, JULIE ACCOUNT #: 00087231055	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	11.75	0.00	11.75	0.0	0.00
06/01/2018	***-**-8158 - BEHA, DEBORAH ACCOUNT #: 00058770149	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	3.78	0.00	3.78	0.0	0.00
06/01/2018	***-**-0851 - LALLIER, JAMES ACCOUNT #: 00068584532	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	2.33	0.00	2.33	0.0	0.00
06/01/2018	***-**-643I - PELLETIER, MARY ACCOUNT #: 00083385810	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	4.45	0.00	4.45	0.0	0.00
06/01/2018	***-**-86IR - MEEKINS, LISA ACCOUNT #: 00083811211	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	0.90	0.00	0.90	0.0	0.00
06/01/2018	***-**-265I - MEEKINS, TIMOTHY ACCOUNT #: 00083811243	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	0.81	0.00	0.81	0.0	0.00



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KURT GRUENEWALD
2108 Genesee St.
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/01/2018	***-**-265J - MEEKINS, TIMOTHY	CAPITAL INCOME BUILDER-A	0.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
06/01/2018	***-**-443I - LATUS, FREDERICK	CAPITAL INCOME BUILDER-A	0.00	0.43	0.00	0.43	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
06/01/2018	***-**-20JT - KOCHER, DENISE	CAPITAL INCOME BUILDER-A	0.00	1.82	0.00	1.82	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
06/01/2018	***-**-5375 - CARTER, LISA	CAPITAL INCOME BUILDER-A	0.00	0.17	0.00	0.17	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
06/01/2018	***-**-901I - SATTERLY, ANN	CAPITAL INCOME BUILDER-A	0.00	0.42	0.00	0.42	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
06/01/2018	***-**-382I - SATTERLY, NEIL	CAPITAL INCOME BUILDER-A	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
06/01/2018	***-**-753J - FALCHI, VALERIE	CAPITAL INCOME BUILDER-A	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
06/01/2018	***-**-094I - KATRENA L	CAPITAL INCOME BUILDER-A	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
06/01/2018	***-**-5414 - KARAM, SEGEAN	CAPITAL INCOME BUILDER-A	0.00	0.46	0.00	0.46	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
06/01/2018	***-**-698I - KARAM, MARY ANN	CAPITAL INCOME BUILDER-A	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
06/18/2018	***-**-287I - HINCKLEY,	CAPITAL INCOME BUILDER-A	0.00	4.11	0.00	4.11	0.0	0.00
	ACCOUNT #: LSR010843	BUY 1 Units @0.0000						
06/18/2018	***-**-702I - KOCHER, MICHAEL	CAPITAL INCOME BUILDER-A	0.00	3.69	0.00	3.69	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
06/18/2018	***-**-366I - GRUENEWALD,	CAPITAL INCOME BUILDER-A	0.00	2.12	0.00	2.12	0.0	0.00
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
06/18/2018	***-**-20IO - DEMETRI, AMELIA	CAPITAL INCOME BUILDER-A	0.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: LSR010995	BUY 1 Units @0.0000						
06/18/2018	***-**-579J - RHYMESTINE,	CAPITAL INCOME BUILDER-A	0.00	2.21	0.00	2.21	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
06/18/2018	***-**-680I - HARRELL, DARYL	CAPITAL INCOME BUILDER-A	0.00	0.31	0.00	0.31	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-30TR - AND SANDRA	CAPITAL INCOME BUILDER-A	0.00	2.16	0.00	2.16	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
06/18/2018	***-**-851K - LALLIER, JAMES	CAPITAL INCOME BUILDER-A	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
06/01/2018	***-**-0462 - FRIOT, MICHAEL	CAPITAL WORLD BOND FUND-A	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
06/01/2018	***-**-072I - GAVALO, JULIE	CAPITAL WORLD BOND FUND-A	0.00	3.63	0.00	3.63	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
06/01/2018	***-**-8158 - BEHA, DEBORAH	CAPITAL WORLD BOND FUND-A	0.00	1.95	0.00	1.95	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
06/01/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD BOND FUND-A	0.00	0.08	0.00	0.08	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
06/01/2018	***-**-265J - MEEKINS, TIMOTHY	CAPITAL WORLD BOND FUND-A	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
06/01/2018	***-**-094I - KATRENA L	CAPITAL WORLD BOND FUND-A	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
06/01/2018	***-**-5414 - KARAM, SEGEAN	CAPITAL WORLD BOND FUND-A	0.00	0.45	0.00	0.45	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
06/01/2018	***-**-6981 - KARAM, MARY ANN	CAPITAL WORLD BOND FUND-A	0.00	0.45	0.00	0.45	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
06/01/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD BOND FUND-C	0.00	0.69	0.00	0.69	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
06/01/2018	***-**-0069 - KRECIDLO,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: 00083510637	BUY 0 Units @0.0000						
06/01/2018	***-**-2855 - KRECIDLO, MARTA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: 00083510745	BUY 0 Units @0.0000						
06/01/2018	***-**-2855 - KRECIDLO, MARTA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
06/01/2018	***-**-755P - SHEPPARD,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.81	0.00	2.81	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
06/01/2018	***-**-20IN - DEMETRI, AMELIA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.31	0.00	0.31	0.0	0.00
	ACCOUNT #: 00085948151	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/01/2018	***-**-18IR - MEEKINS, KAIDEN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.52	0.00	0.52	0.0	0.00
	ACCOUNT #: 00087145992	BUY 0 Units @0.0000						
06/01/2018	***-**-0069 - KRECIDLO,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	25.68	0.00	25.68	0.0	0.00
	ACCOUNT #: 00083510637	BUY 0 Units @0.0000						
06/01/2018	***-**-2855 - KRECIDLO, MARTA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	25.68	0.00	25.68	0.0	0.00
	ACCOUNT #: 00083510745	BUY 0 Units @0.0000						
06/01/2018	***-**-18IR - MEEKINS, KAIDEN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	4.00	0.00	4.00	0.0	0.00
	ACCOUNT #: 00087145992	BUY 0 Units @0.0000						
06/01/2018	***-**-20IR - DEMETRI, AMELIA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	4.16	0.00	4.16	0.0	0.00
	ACCOUNT #: 00074234451	BUY 0 Units @0.0000						
06/01/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.12	0.00	0.12	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
06/01/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.73	0.00	3.73	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
06/01/2018	***-**-86IR - MEEKINS, LISA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
06/01/2018	***-**-265I - MEEKINS, TIMOTHY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.20	0.00	1.20	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
06/01/2018	***-**-443I - LATUS, FREDERICK	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
06/01/2018	***-**-20JT - KOCHER, DENISE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	4.13	0.00	4.13	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
06/01/2018	***-**-5375 - CARTER, LISA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
06/01/2018	***-**-753J - FALCHI, VALERIE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.62	0.00	1.62	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
06/01/2018	***-**-094I - KATRENA L	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.64	0.00	0.64	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
06/01/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.22	0.00	1.22	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
06/01/2018	***-**-86IR - MEEKINS, LISA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/01/2018	***-**-680I - HARRELL, DARYL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	9.62	0.00	9.62	0.0	0.00
	ACCOUNT #: 00083996385	BUY 0 Units @0.0000						
06/01/2018	***-**-382I - SATTERLY, NEIL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	30.25	0.00	30.25	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
06/18/2018	***-**-702I - KOCHER, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.83	0.00	3.83	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
06/18/2018	***-**-20IO - DEMETRI, AMELIA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.60	0.00	1.60	0.0	0.00
	ACCOUNT #: LSR010995	BUY 1 Units @0.0000						
06/18/2018	***-**-579J - RHYMESTINE,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.86	0.00	1.86	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
06/18/2018	***-**-326J - GRANDE, MR	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.47	0.00	1.47	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
06/18/2018	***-**-326I - GRANDE, FBO MR	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.60	0.00	1.60	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
06/18/2018	***-**-48IO - ANACKER, FBO MRS	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.14	0.00	1.14	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
06/18/2018	***-**-680I - HARRELL, DARYL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.44	0.00	1.44	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
06/18/2018	***-**-128I - BASHANT, THOMAS	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.56	0.00	1.56	0.0	0.00
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						
06/18/2018	***-**-30TR - AND SANDRA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.55	0.00	2.55	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
06/18/2018	***-**-44IO - MAYO, DEBORAH	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	13.30	0.00	13.30	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
06/18/2018	***-**-09IO - BATES, FBO MRS	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	10.92	0.00	10.92	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
06/18/2018	***-**-11IO - LYNN G.	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	11.87	0.00	11.87	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
06/18/2018	***-**-45IO - JOHNSON, GREGORY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	8.17	0.00	8.17	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
06/01/2018	***-**-755P - SHEPPARD,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: 00084018452	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/01/2018	***-**-84IN - WARMUTH, ERIC ACCOUNT #: 00075086418	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	7.63	0.00	7.63	0.0	0.00
06/01/2018	***-**-84IN - WARMUTH, ERIC ACCOUNT #: 00075086546	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	7.63	0.00	7.63	0.0	0.00
06/01/2018	***-**-5375 - CARTER, LISA ACCOUNT #: 00083849497	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	0.87	0.00	0.87	0.0	0.00
06/01/2018	***-**-5375 - CARTER, LISA ACCOUNT #: 00083849497	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	2.47	0.00	2.47	0.0	0.00
06/01/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: 00068530007	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	8.19	0.00	8.19	0.0	0.00
06/18/2018	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	COLUMBIA MARSICO FOCUSED EQ CL A BUY 1 Units @0.0000	0.00	0.24	0.00	0.24	0.0	0.00
06/18/2018	***-**-944O - MAYO, DEBORAH ACCOUNT #: 5034930049	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	0.19	0.00	0.19	0.0	0.00
06/18/2018	***-**-944O - MAYO, DEBORAH ACCOUNT #: 5034930049	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	0.0	0.00
06/01/2018	***-**-753J - FALCHI, VALERIE ACCOUNT #: 00084317946	EUROPACIFIC GROWTH FUND CLASS C BUY 0 Units @0.0000	0.00	5.47	0.00	5.47	0.0	0.00
06/01/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: 00068530008	EUROPACIFIC GROWTH FUND-529E BUY 0 Units @0.0000	0.00	7.14	0.00	7.14	0.0	0.00
06/01/2018	***-**-169I - EVANS, PAUL ACCOUNT #: 00083808354	EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000	0.00	2.68	0.00	2.68	0.0	0.00
06/01/2018	***-**-506J - CURRIERE JR, MR ACCOUNT #: 00083609136	EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000	0.00	5.51	0.00	5.51	0.0	0.00
06/01/2018	***-**-753J - FALCHI, VALERIE ACCOUNT #: 00084317946	EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000	0.00	0.40	0.00	0.40	0.0	0.00
06/01/2018	***-**-094I - KATRENA L ACCOUNT #: 00084459807	EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000	0.00	0.42	0.00	0.42	0.0	0.00
06/18/2018	***-**-20IO - DEMETRI, AMELIA ACCOUNT #: LSR010995	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	1.62	0.00	1.62	0.0	0.00
06/18/2018	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	1.36	0.00	1.36	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-680I - HARRELL, DARYL	EUROPACIFIC GROWTH FUND-A	0.00	0.65	0.00	0.65	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
06/01/2018	***-**-84IN - WARMUTH, ERIC	FUNDAMENTAL INVESTORS-529A	0.00	1.47	0.00	1.47	0.0	0.00
	ACCOUNT #: 00075086418	BUY 0 Units @0.0000						
06/01/2018	***-**-84IN - WARMUTH, ERIC	FUNDAMENTAL INVESTORS-529A	0.00	1.47	0.00	1.47	0.0	0.00
	ACCOUNT #: 00075086546	BUY 0 Units @0.0000						
06/01/2018	***-**-84IN - WARMUTH, ERIC	FUNDAMENTAL INVESTORS-529C	0.00	11.07	0.00	11.07	0.0	0.00
	ACCOUNT #: 00075086418	BUY 0 Units @0.0000						
06/01/2018	***-**-84IN - WARMUTH, ERIC	FUNDAMENTAL INVESTORS-529C	0.00	11.07	0.00	11.07	0.0	0.00
	ACCOUNT #: 00075086546	BUY 0 Units @0.0000						
06/01/2018	***-**-5375 - CARTER, LISA	FUNDAMENTAL INVESTORS-529C	0.00	3.93	0.00	3.93	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
06/01/2018	***-**-2855 - KRECIDLO, MARTA	FUNDAMENTAL INVESTORS-A	0.00	1.22	0.00	1.22	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
06/01/2018	***-**-755P - SHEPPARD,	FUNDAMENTAL INVESTORS-A	0.00	3.78	0.00	3.78	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
06/01/2018	***-**-0462 - FRIOT, MICHAEL	FUNDAMENTAL INVESTORS-A	0.00	6.05	0.00	6.05	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
06/01/2018	***-**-20IN - DEMETRI, AMELIA	FUNDAMENTAL INVESTORS-A	0.00	0.36	0.00	0.36	0.0	0.00
	ACCOUNT #: 00085948151	BUY 0 Units @0.0000						
06/01/2018	***-**-072I - GAVALO, JULIE	FUNDAMENTAL INVESTORS-A	0.00	11.52	0.00	11.52	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
06/01/2018	***-**-8901 - GURDO, ANTHONY	FUNDAMENTAL INVESTORS-A	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: 00087491111	BUY 0 Units @0.0000						
06/01/2018	***-**-8158 - BEHA, DEBORAH	FUNDAMENTAL INVESTORS-A	0.00	7.65	0.00	7.65	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
06/01/2018	***-**-0851 - LALLIER, JAMES	FUNDAMENTAL INVESTORS-A	0.00	3.19	0.00	3.19	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
06/01/2018	***-**-643I - PELLETIER, MARY	FUNDAMENTAL INVESTORS-A	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
06/01/2018	***-**-643I - PELLETIER, MARY	FUNDAMENTAL INVESTORS-A	0.00	6.15	0.00	6.15	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/01/2018	***-**-86IR - MEEKINS, LISA	FUNDAMENTAL INVESTORS-A	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
06/01/2018	***-**-265I - MEEKINS, TIMOTHY	FUNDAMENTAL INVESTORS-A	0.00	1.17	0.00	1.17	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
06/01/2018	***-**-265J - MEEKINS, TIMOTHY	FUNDAMENTAL INVESTORS-A	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
06/01/2018	***-**-443I - LATUS, FREDERICK	FUNDAMENTAL INVESTORS-A	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
06/01/2018	***-**-20JT - KOCHER, DENISE	FUNDAMENTAL INVESTORS-A	0.00	2.67	0.00	2.67	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
06/01/2018	***-**-5375 - CARTER, LISA	FUNDAMENTAL INVESTORS-A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
06/01/2018	***-**-382I - SATTERLY, NEIL	FUNDAMENTAL INVESTORS-A	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
06/01/2018	***-**-753J - FALCHI, VALERIE	FUNDAMENTAL INVESTORS-A	0.00	1.72	0.00	1.72	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
06/01/2018	***-**-094I - KATRENA L	FUNDAMENTAL INVESTORS-A	0.00	0.90	0.00	0.90	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
06/01/2018	***-**-5414 - KARAM, SEGEAN	FUNDAMENTAL INVESTORS-A	0.00	0.74	0.00	0.74	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
06/01/2018	***-**-6981 - KARAM, MARY ANN	FUNDAMENTAL INVESTORS-A	0.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
06/18/2018	***-**-702I - KOCHER, MICHAEL	FUNDAMENTAL INVESTORS-A	0.00	2.23	0.00	2.23	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
06/18/2018	***-**-366I - GRUENEWALD,	FUNDAMENTAL INVESTORS-A	0.00	1.21	0.00	1.21	0.0	0.00
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
06/18/2018	***-**-366S - GRUENEWALD,	FUNDAMENTAL INVESTORS-A	0.00	1.02	0.00	1.02	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
06/18/2018	***-**-579J - RHYMESTINE,	FUNDAMENTAL INVESTORS-A	0.00	1.13	0.00	1.13	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
06/18/2018	***-**-326I - GRANDE, FBO MR	FUNDAMENTAL INVESTORS-A	0.00	1.99	0.00	1.99	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-680I - HARRELL, DARYL	FUNDAMENTAL INVESTORS-A	0.00	1.43	0.00	1.43	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
06/18/2018	***-**-128I - BASHANT, THOMAS	FUNDAMENTAL INVESTORS-A	0.00	1.27	0.00	1.27	0.0	0.00
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						
06/18/2018	***-**-30TR - AND SANDRA	FUNDAMENTAL INVESTORS-A	0.00	1.91	0.00	1.91	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
06/18/2018	***-**-851K - LALLIER, JAMES	FUNDAMENTAL INVESTORS-A	0.00	0.27	0.00	0.27	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
06/01/2018	***-**-169I - EVANS, PAUL	FUNDAMENTAL INVESTORS-C	0.00	6.39	0.00	6.39	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
06/01/2018	***-**-18IR - MEEKINS, KAIDEN	FUNDAMENTAL INVESTORS-C	0.00	2.88	0.00	2.88	0.0	0.00
	ACCOUNT #: 00087145992	BUY 0 Units @0.0000						
06/01/2018	***-**-890I - GURDO, ANTHONY	FUNDAMENTAL INVESTORS-C	0.00	24.02	0.00	24.02	0.0	0.00
	ACCOUNT #: 00087491111	BUY 0 Units @0.0000						
06/01/2018	***-**-085I - LALLIER, JAMES	FUNDAMENTAL INVESTORS-C	0.00	45.94	0.00	45.94	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
06/01/2018	***-**-643I - PELLETIER, MARY	FUNDAMENTAL INVESTORS-C	0.00	1.60	0.00	1.60	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
06/01/2018	***-**-265J - MEEKINS, TIMOTHY	FUNDAMENTAL INVESTORS-C	0.00	14.55	0.00	14.55	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
06/01/2018	***-**-680I - HARRELL, DARYL	FUNDAMENTAL INVESTORS-C	0.00	12.26	0.00	12.26	0.0	0.00
	ACCOUNT #: 00083996385	BUY 0 Units @0.0000						
06/01/2018	***-**-753J - FALCHI, VALERIE	FUNDAMENTAL INVESTORS-C	0.00	6.58	0.00	6.58	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
06/18/2018	***-**-881J - EBERLEY, SUSAN	FUNDAMENTAL INVESTORS-C	0.00	3.58	0.00	3.58	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
06/18/2018	***-**-382I - SATTERLY, NEIL	FUNDAMENTAL INVESTORS-C	0.00	11.34	0.00	11.34	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
06/18/2018	***-**-949I - ACEE, JOSPEH	FUNDAMENTAL INVESTORS-C	0.00	3.11	0.00	3.11	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - HARTZ, CLAUDIA	FUNDAMENTAL INVESTORS-C	0.00	2.97	0.00	2.97	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-0310 - MCVOY, ROBERT	FUNDAMENTAL INVESTORS-C	0.00	5.39	0.00	5.39	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
06/18/2018	***-**-151N - PENDRAK, JOHN	FUNDAMENTAL INVESTORS-C	0.00	4.95	0.00	4.95	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
06/18/2018	***-**-959I - FRANZ, PAUL	FUNDAMENTAL INVESTORS-C	0.00	11.73	0.00	11.73	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
06/18/2018	***-**-960J - MASTRANGELO,	FUNDAMENTAL INVESTORS-C	0.00	8.26	0.00	8.26	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
06/18/2018	***-**-065I - LAZIO, THOMAS	FUNDAMENTAL INVESTORS-C	0.00	5.85	0.00	5.85	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
06/18/2018	***-**-511O - CAIN, TIMOTHY	FUNDAMENTAL INVESTORS-C	0.00	5.37	0.00	5.37	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
06/18/2018	***-**-761I - EVANS, DOLORES	FUNDAMENTAL INVESTORS-C	0.00	16.06	0.00	16.06	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
06/18/2018	***-**-306X - COBB, CHERRYL	FUNDAMENTAL INVESTORS-C	0.00	5.83	0.00	5.83	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
06/18/2018	***-**-861I - SANGER, LAWRENCE	FUNDAMENTAL INVESTORS-C	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
06/18/2018	***-**-131N - RHYMESTINE,	FUNDAMENTAL INVESTORS-C	0.00	3.59	0.00	3.59	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
06/18/2018	***-**-091O - BATES, FBO MRS	FUNDAMENTAL INVESTORS-C	0.00	11.38	0.00	11.38	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
06/18/2018	***-**-506J - CURRIERE JR, MR	FUNDAMENTAL INVESTORS-C	0.00	4.78	0.00	4.78	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
06/18/2018	***-**-481O - ANACKER, FBO MRS	FUNDAMENTAL INVESTORS-C	0.00	5.11	0.00	5.11	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
06/18/2018	***-**-891N - LEE, ROGER	FUNDAMENTAL INVESTORS-C	0.00	5.72	0.00	5.72	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
06/18/2018	***-**-311O - WILLIAMSON, FBO	FUNDAMENTAL INVESTORS-C	0.00	7.64	0.00	7.64	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
06/18/2018	***-**-641O - PFAFF, GEORGE	FUNDAMENTAL INVESTORS-C	0.00	3.72	0.00	3.72	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-62IR - ROBBIANO, FBO	FUNDAMENTAL INVESTORS-C	0.00	3.30	0.00	3.30	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
06/18/2018	***-**-11IO - LYNN G.	FUNDAMENTAL INVESTORS-C	0.00	12.25	0.00	12.25	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
06/18/2018	***-**-653I - KOCHER, CLINTON	FUNDAMENTAL INVESTORS-C	0.00	8.99	0.00	8.99	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
06/18/2018	***-**-376I - BERBEN, GAIL	FUNDAMENTAL INVESTORS-C	0.00	15.42	0.00	15.42	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
06/18/2018	***-**-21IO - RADELL, SANDRA	FUNDAMENTAL INVESTORS-C	0.00	4.10	0.00	4.10	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
06/18/2018	***-**-45IO - JOHNSON, GREGORY	FUNDAMENTAL INVESTORS-C	0.00	11.26	0.00	11.26	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
06/18/2018	***-**-14IO - BUSHEY, MATTHEW	FUNDAMENTAL INVESTORS-C	0.00	12.74	0.00	12.74	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
06/18/2018	***-**-851K - LALLIER, JAMES	FUNDAMENTAL INVESTORS-C	0.00	9.78	0.00	9.78	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
06/01/2018	***-**-84IN - WARMUTH, ERIC	GLOBAL BALANCED FUND-529A	0.00	3.45	0.00	3.45	0.0	0.00
	ACCOUNT #: 00075086418	BUY 0 Units @0.0000						
06/01/2018	***-**-84IN - WARMUTH, ERIC	GLOBAL BALANCED FUND-529A	0.00	3.45	0.00	3.45	0.0	0.00
	ACCOUNT #: 00075086546	BUY 0 Units @0.0000						
06/18/2018	***-**-579J - RHYMESTINE,	GLOBAL GROWTH PORTFOLIO-A	0.00	0.90	0.00	0.90	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
06/18/2018	***-**-44IO - MAYO, DEBORAH	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	9.58	0.00	9.58	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
06/18/2018	***-**-44IO - MAYO, DEBORAH	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	3.19	0.00	3.19	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
06/18/2018	***-**-367J - WAGNER, MRS LANT	GROWTH FUND OF AMERICA CLASS F	0.00	3.40	0.00	3.40	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
06/01/2018	***-**-0851 - LALLIER, JAMES	INCOME FUND OF AMERICA CLASS C	0.00	22.73	0.00	22.73	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
06/18/2018	***-**-287I - HINCKLEY,	INCOME FUND OF AMERICA CLASS C	0.00	3.63	0.00	3.63	0.0	0.00
	ACCOUNT #: LSR010843	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-980I - ACEE, ELIZABETH	INCOME FUND OF AMERICA CLASS C	0.00	12.13	0.00	12.13	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
06/18/2018	***-**-72IN - GAVALO, JULIE	INCOME FUND OF AMERICA CLASS C	0.00	10.90	0.00	10.90	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
06/18/2018	***-**-73TR - WESTCOTT IRRVOC	INCOME FUND OF AMERICA CLASS C	0.00	1.78	0.00	1.78	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
06/18/2018	***-**-63TR - EVANS, AUDREY J	INCOME FUND OF AMERICA CLASS C	0.00	5.45	0.00	5.45	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
06/18/2018	***-**-44IO - MAYO, DEBORAH	INCOME FUND OF AMERICA CLASS C	0.00	19.32	0.00	19.32	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
06/18/2018	***-**-09IO - BATES, FBO MRS	INCOME FUND OF AMERICA CLASS C	0.00	32.19	0.00	32.19	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
06/18/2018	***-**-11IO - LYNN G.	INCOME FUND OF AMERICA CLASS C	0.00	15.01	0.00	15.01	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
06/18/2018	***-**-376I - BERBEN, GAIL	INCOME FUND OF AMERICA CLASS C	0.00	13.52	0.00	13.52	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
06/18/2018	***-**-21IO - RADELL, SANDRA	INCOME FUND OF AMERICA CLASS C	0.00	4.92	0.00	4.92	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
06/18/2018	***-**-45IO - JOHNSON, GREGORY	INCOME FUND OF AMERICA CLASS C	0.00	16.26	0.00	16.26	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
06/18/2018	***-**-14IO - BUSHEY, MATTHEW	INCOME FUND OF AMERICA CLASS C	0.00	11.63	0.00	11.63	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
06/18/2018	***-**-851K - LALLIER, JAMES	INCOME FUND OF AMERICA CLASS C	0.00	4.80	0.00	4.80	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
06/01/2018	***-**-0462 - FRIOT, MICHAEL	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.82	0.00	0.82	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
06/01/2018	***-**-8158 - BEHA, DEBORAH	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	1.56	0.00	1.56	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
06/01/2018	***-**-5414 - KARAM, SEGEAN	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.31	0.00	0.31	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
06/01/2018	***-**-6981 - KARAM, MARY ANN	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-702I - KOCHER, MICHAEL	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.14	0.00	0.14	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
06/18/2018	***-**-761I - EVANS, DOLORES	INTERMEDIATE BOND FUND OF AMERICA-C	0.00	5.46	0.00	5.46	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
06/01/2018	***-**-0462 - FRIOT, MICHAEL	INTERNATIONAL GROWTH AND INCOME-A	0.00	3.25	0.00	3.25	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
06/01/2018	***-**-072I - GAVALO, JULIE	INTERNATIONAL GROWTH AND INCOME-A	0.00	12.32	0.00	12.32	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
06/01/2018	***-**-8901 - GURDO, ANTHONY	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: 00087491111	BUY 0 Units @0.0000						
06/01/2018	***-**-8158 - BEHA, DEBORAH	INTERNATIONAL GROWTH AND INCOME-A	0.00	5.15	0.00	5.15	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
06/01/2018	***-**-0851 - LALLIER, JAMES	INTERNATIONAL GROWTH AND INCOME-A	0.00	1.91	0.00	1.91	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
06/01/2018	***-**-901I - SATTERLY, ANN	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.43	0.00	0.43	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
06/01/2018	***-**-382I - SATTERLY, NEIL	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
06/01/2018	***-**-753J - FALCHI, VALERIE	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.72	0.00	0.72	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
06/01/2018	***-**-094I - KATRENA L	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
06/01/2018	***-**-5414 - KARAM, SEGEAN	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.33	0.00	0.33	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
06/01/2018	***-**-6981 - KARAM, MARY ANN	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
06/18/2018	***-**-366S - GRUENEWALD,	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
06/18/2018	***-**-851K - LALLIER, JAMES	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.16	0.00	0.16	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
06/01/2018	***-**-0851 - LALLIER, JAMES	INTERNATIONAL GROWTH AND INCOME-C	0.00	30.31	0.00	30.31	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/01/2018	***-**-265J - MEEKINS, TIMOTHY	INTERNATIONAL GROWTH AND INCOME-C	0.00	7.40	0.00	7.40	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
06/01/2018	***-**-753J - FALCHI, VALERIE	INTERNATIONAL GROWTH AND INCOME-C	0.00	4.32	0.00	4.32	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
06/18/2018	***-**-567I - FRANZ, ALICE	INTERNATIONAL GROWTH AND INCOME-C	0.00	13.51	0.00	13.51	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
06/18/2018	***-**-851K - LALLIER, JAMES	INTERNATIONAL GROWTH AND INCOME-C	0.00	6.29	0.00	6.29	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
06/01/2018	***-**-0462 - FRIOT, MICHAEL	LIMITED TERM TAX- EXEMPT BOND FUND	0.00	0.94	0.00	0.94	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
06/01/2018	***-**-72TD - GAVALO, JULIE	LIMITED TERM TAX- EXEMPT BOND FUND	0.00	11.75	0.00	11.75	0.0	0.00
	ACCOUNT #: 00087169935	BUY 0 Units @0.0000						
06/18/2018	***-**-944O - MAYO, DEBORAH	MFS AGGRESSIVE GROWTH ALLOCATION FD	0.00	1.08	0.00	1.08	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
06/18/2018	***-**-13IN - ROTH, KAREN	MFS BLENDED RESEARCH CORE EQUITY FD	0.00	1.31	0.00	1.31	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
06/18/2018	***-**-881I - EBERLEY, SUSAN	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.71	0.00	9.71	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
06/18/2018	***-**-901I - SATTERLY, ANN	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.64	0.00	9.64	0.0	0.00
	ACCOUNT #: LSR010874	BUY 1 Units @0.0000						
06/18/2018	***-**-382K - SATTERLY, NEIL	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.36	0.00	4.36	0.0	0.00
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
06/18/2018	***-**-48IO - CLARK, PATRICK	MFS CONSERVATIVE ALLOCATION CL C	0.00	18.45	0.00	18.45	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
06/18/2018	***-**-031O - MCVOY, ROBERT	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.53	0.00	4.53	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
06/18/2018	***-**-72IN - GAVALO, JULIE	MFS CONSERVATIVE ALLOCATION CL C	0.00	17.53	0.00	17.53	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
06/18/2018	***-**-959I - FRANZ, PAUL	MFS CONSERVATIVE ALLOCATION CL C	0.00	17.24	0.00	17.24	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
06/18/2018	***-**-065I - LAZIO, THOMAS	MFS CONSERVATIVE ALLOCATION CL C	0.00	7.95	0.00	7.95	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-420T - DEMETRI, AMELIA	MFS CONSERVATIVE ALLOCATION CL C	0.00	6.28	0.00	6.28	0.0	0.00
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
06/18/2018	***-**-73TR - WESTCOTT IRRVOC	MFS CONSERVATIVE ALLOCATION CL C	0.00	8.45	0.00	8.45	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
06/18/2018	***-**-837O - BASEMENT	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.94	0.00	4.94	0.0	0.00
	ACCOUNT #: LSR011046	BUY 1 Units @0.0000						
06/18/2018	***-**-09IO - BATES, FBO MRS	MFS CONSERVATIVE ALLOCATION CL C	0.00	14.97	0.00	14.97	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
06/18/2018	***-**-89IN - LEE, ROGER	MFS CONSERVATIVE ALLOCATION CL C	0.00	17.98	0.00	17.98	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
06/18/2018	***-**-900J - LEE, MR.	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.35	0.00	4.35	0.0	0.00
	ACCOUNT #: LSR011186	BUY 1 Units @0.0000						
06/18/2018	***-**-06IR - CAMPBELL, PAMELA	MFS CONSERVATIVE ALLOCATION CL C	0.00	6.51	0.00	6.51	0.0	0.00
	ACCOUNT #: LSR011222	BUY 1 Units @0.0000						
06/18/2018	***-**-11IO - LYNN G.	MFS CONSERVATIVE ALLOCATION CL C	0.00	14.22	0.00	14.22	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
06/18/2018	***-**-80IO - EVANS, RICHARD	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.72	0.00	9.72	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
06/18/2018	***-**-376I - BERBEN, GAIL	MFS CONSERVATIVE ALLOCATION CL C	0.00	14.20	0.00	14.20	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
06/18/2018	***-**-45IO - JOHNSON, GREGORY	MFS CONSERVATIVE ALLOCATION CL C	0.00	18.18	0.00	18.18	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
06/18/2018	***-**-14IO - BUSHEY, MATTHEW	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.43	0.00	9.43	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
06/18/2018	***-**-37IO - MCCLUSKY, MARK	MFS CONSERVATIVE ALLOCATION CL C	0.00	7.82	0.00	7.82	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
06/18/2018	***-**-99IN - EDELMAN, TINA	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.08	0.00	0.08	0.0	0.00
	ACCOUNT #: LSR011956	BUY 1 Units @0.0000						
06/18/2018	***-**-28IN - BYWATER, KIM	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.13	0.00	0.13	0.0	0.00
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
06/18/2018	***-**-35IN - RUGGIERO, LAURIE	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012314	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-31IO - JOSEPH, ANN	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012337	BUY 1 Units @0.0000						
06/18/2018	***-**-188I - BRADLEY, WAYNE	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012484	BUY 1 Units @0.0000						
06/18/2018	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-A	0.00	1.16	0.00	1.16	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
06/18/2018	***-**-254I - AUGUSTINE, LINDA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
06/18/2018	***-**-15IN - PENDRAK, JOHN	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.18	0.00	2.18	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
06/18/2018	***-**-960J - MASTRANGELO,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	5.61	0.00	5.61	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
06/18/2018	***-**-51IO - CAIN, TIMOTHY	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.64	0.00	2.64	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
06/18/2018	***-**-761I - EVANS, DOLORES	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.11	0.00	2.11	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
06/18/2018	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
06/18/2018	***-**-326J - GRANDE, MR	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.58	0.00	0.58	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
06/18/2018	***-**-13IN - RHYMESTINE,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.04	0.00	1.04	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
06/18/2018	***-**-367J - WAGNER, MRS LANT	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.15	0.00	1.15	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
06/18/2018	***-**-992J - CORNACCHIA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.40	0.00	0.40	0.0	0.00
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - WILLIAMSON, FBO	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	3.97	0.00	3.97	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
06/18/2018	***-**-64IO - PFAFF, GEORGE	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.16	0.00	1.16	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
06/18/2018	***-**-448X - ANACKER, SUSAN	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.82	0.00	0.82	0.0	0.00
	ACCOUNT #: LSR011578	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-382K - SATTERLY, NEIL	MFS DIVERSIFIED INCOME FD CL C	0.00	4.59	0.00	4.59	0.0	0.00
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
06/18/2018	***-**-980I - ACEE, ELIZABETH	MFS DIVERSIFIED INCOME FD CL C	0.00	9.23	0.00	9.23	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
06/18/2018	***-**-72IN - GAVALO, JULIE	MFS DIVERSIFIED INCOME FD CL C	0.00	16.76	0.00	16.76	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
06/18/2018	***-**-73TR - WESTCOTT IRRVOC	MFS DIVERSIFIED INCOME FD CL C	0.00	13.04	0.00	13.04	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
06/18/2018	***-**-132O - EVANS, THOMAS	MFS DIVERSIFIED INCOME FD CL C	0.00	8.24	0.00	8.24	0.0	0.00
	ACCOUNT #: LSR011074	BUY 1 Units @0.0000						
06/18/2018	***-**-44IO - MAYO, DEBORAH	MFS DIVERSIFIED INCOME FD CL C	0.00	21.33	0.00	21.33	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
06/18/2018	***-**-579J - RHYMESTINE,	MFS DIVERSIFIED INCOME FD CL C	0.00	11.12	0.00	11.12	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
06/18/2018	***-**-09IO - BATES, FBO MRS	MFS DIVERSIFIED INCOME FD CL C	0.00	14.29	0.00	14.29	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
06/18/2018	***-**-89IN - LEE, ROGER	MFS DIVERSIFIED INCOME FD CL C	0.00	5.90	0.00	5.90	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
06/18/2018	***-**-64IO - PFAFF, GEORGE	MFS DIVERSIFIED INCOME FD CL C	0.00	5.92	0.00	5.92	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
06/18/2018	***-**-439J - MCCARTHY, JAMES	MFS DIVERSIFIED INCOME FD CL C	0.00	3.14	0.00	3.14	0.0	0.00
	ACCOUNT #: LSR011205	BUY 1 Units @0.0000						
06/18/2018	***-**-52IO - EUSEPI, SUSAN	MFS DIVERSIFIED INCOME FD CL C	0.00	6.22	0.00	6.22	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
06/18/2018	***-**-81IR - CAMPBELL,	MFS DIVERSIFIED INCOME FD CL C	0.00	6.00	0.00	6.00	0.0	0.00
	ACCOUNT #: LSR011223	BUY 1 Units @0.0000						
06/18/2018	***-**-439I - MCCARTHY, JAMES	MFS DIVERSIFIED INCOME FD CL C	0.00	2.24	0.00	2.24	0.0	0.00
	ACCOUNT #: LSR011244	BUY 1 Units @0.0000						
06/18/2018	***-**-376I - BERBEN, GAIL	MFS DIVERSIFIED INCOME FD CL C	0.00	9.20	0.00	9.20	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
06/18/2018	***-**-45IO - JOHNSON, GREGORY	MFS DIVERSIFIED INCOME FD CL C	0.00	13.94	0.00	13.94	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-14IO - BUSHEY, MATTHEW	MFS DIVERSIFIED INCOME FD CL C	0.00	13.72	0.00	13.72	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
06/18/2018	***-**-37IO - MCCLUSKY, MARK	MFS DIVERSIFIED INCOME FD CL C	0.00	5.05	0.00	5.05	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
06/18/2018	***-**-35IN - RUGGIERO, LAURIE	MFS DIVERSIFIED INCOME FD CL C	0.00	0.05	0.00	0.05	0.0	0.00
	ACCOUNT #: LSR012314	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - JOSEPH, ANN	MFS DIVERSIFIED INCOME FD CL C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012337	BUY 1 Units @0.0000						
06/18/2018	***-**-52IN - ROBERTS, LILLIAN	MFS DIVERSIFIED INCOME FD CL C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012352	BUY 1 Units @0.0000						
06/18/2018	***-**-53IN - EVANS, ANDREW	MFS EMERGING GROWTH FUND-B	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
06/18/2018	***-**-24IN - BLISS, RYAN	MFS EMERGING GROWTH FUND-B	0.00	0.30	0.00	0.30	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
06/18/2018	***-**-881I - EBERLEY, SUSAN	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.80	0.00	0.80	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
06/18/2018	***-**-960J - MASTRANGELO,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.41	0.00	1.41	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
06/18/2018	***-**-761I - EVANS, DOLORES	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.45	0.00	1.45	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
06/18/2018	***-**-579J - RHYMESTINE,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.78	0.00	0.78	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
06/18/2018	***-**-326I - GRANDE, FBO MR	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.42	0.00	1.42	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
06/18/2018	***-**-197I - GAMBINO, ANDREW	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.23	0.00	0.23	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
06/18/2018	***-**-45IR - WILLIAMSON,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.08	0.00	1.08	0.0	0.00
	ACCOUNT #: LSR011764	BUY 1 Units @0.0000						
06/18/2018	***-**-254I - AUGUSTINE, LINDA	MFS EMERGING MKT DEBT CL B	0.00	1.06	0.00	1.06	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
06/18/2018	***-**-881J - EBERLEY, SUSAN	MFS EMERGING MKT DEBT CL B	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						



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KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-949I - ACEE, JOSPEH	MFS EMERGING MKT DEBT CL B	0.00	0.99	0.00	0.99	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - HARTZ, CLAUDIA	MFS EMERGING MKT DEBT CL B	0.00	1.20	0.00	1.20	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
06/18/2018	***-**-960J - MASTRANGELO,	MFS EMERGING MKT DEBT CL B	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
06/18/2018	***-**-944O - MAYO, DEBORAH	MFS EMERGING MKT DEBT CL B	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
06/18/2018	***-**-580X - COBB, JAMES	MFS EMERGING MKT DEBT CL B	0.00	1.15	0.00	1.15	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
06/18/2018	***-**-506J - CURRIERE JR, MR	MFS EMERGING MKT DEBT CL B	0.00	0.36	0.00	0.36	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
06/18/2018	***-**-367J - WAGNER, MRS LANT	MFS EMERGING MKT DEBT CL B	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - WILLIAMSON, FBO	MFS EMERGING MKT DEBT CL B	0.00	1.28	0.00	1.28	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
06/18/2018	***-**-64IO - PFAFF, GEORGE	MFS EMERGING MKT DEBT CL B	0.00	1.18	0.00	1.18	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
06/18/2018	***-**-62IR - ROBBIANO, FBO	MFS EMERGING MKT DEBT CL B	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
06/18/2018	***-**-29IO - MASSOUD,	MFS EMERGING MKT DEBT CL B	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR011217	BUY 1 Units @0.0000						
06/18/2018	***-**-44IO - MAYO, DEBORAH	MFS EMERGING MKTS DEBT CLASS C	0.00	10.23	0.00	10.23	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
06/18/2018	***-**-960J - MASTRANGELO,	MFS EQUITY OPPORTUNITIES CL B	0.00	1.65	0.00	1.65	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
06/18/2018	***-**-944O - MAYO, DEBORAH	MFS GLOBAL ALTERNATIVE STRAT A	0.00	0.63	0.00	0.63	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
06/18/2018	***-**-978I - GUNN, KATHLEEN	MFS GLOBAL ALTERNATIVE STRAT B	0.00	0.44	0.00	0.44	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
06/18/2018	***-**-382I - SATTERLY, NEIL	MFS GLOBAL ALTERNATIVE STRAT C	0.00	6.46	0.00	6.46	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-48IO - CLARK, PATRICK	MFS GLOBAL ALTERNATIVE STRAT C	0.00	14.54	0.00	14.54	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
06/18/2018	***-**-72IN - GAVALO, JULIE	MFS GLOBAL ALTERNATIVE STRAT C	0.00	14.00	0.00	14.00	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
06/18/2018	***-**-80IN - COBB, JAMES	MFS GLOBAL ALTERNATIVE STRAT C	0.00	7.49	0.00	7.49	0.0	0.00
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
06/18/2018	***-**-09IO - BATES, FBO MRS	MFS GLOBAL ALTERNATIVE STRAT C	0.00	12.75	0.00	12.75	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
06/18/2018	***-**-11IO - LYNN G.	MFS GLOBAL ALTERNATIVE STRAT C	0.00	17.34	0.00	17.34	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
06/18/2018	***-**-80IO - EVANS, RICHARD	MFS GLOBAL ALTERNATIVE STRAT C	0.00	10.66	0.00	10.66	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
06/18/2018	***-**-45IO - JOHNSON, GREGORY	MFS GLOBAL ALTERNATIVE STRAT C	0.00	13.01	0.00	13.01	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
06/18/2018	***-**-094I - KATRENA L	MFS GLOBAL BOND FUND CLASS C	0.00	3.46	0.00	3.46	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
06/18/2018	***-**-978I - GUNN, KATHLEEN	MFS GLOBAL EQUITY FUND-B	0.00	0.70	0.00	0.70	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
06/18/2018	***-**-53IN - EVANS, ANDREW	MFS GLOBAL EQUITY FUND-B	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
06/18/2018	***-**-24IN - BLISS, RYAN	MFS GLOBAL EQUITY FUND-B	0.00	0.32	0.00	0.32	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
06/18/2018	***-**-45IR - WILLIAMSON,	MFS GLOBAL EQUITY FUND-B	0.00	1.20	0.00	1.20	0.0	0.00
	ACCOUNT #: LSR011764	BUY 1 Units @0.0000						
06/18/2018	***-**-21IO - RADELL, SANDRA	MFS GLOBAL REAL ESTATE FUND CL B	0.00	1.63	0.00	1.63	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
06/18/2018	***-**-53IN - EVANS, ANDREW	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.29	0.00	0.29	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
06/18/2018	***-**-24IN - BLISS, RYAN	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.18	0.00	0.18	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
06/18/2018	***-**-38IO - BROWN, TYLER	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.80	0.00	0.80	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-45IN - WILLIAMSON, ACCOUNT #: LSR011751	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	0.74	0.00	0.74	0.0	0.00
06/18/2018	***-**-628I - SANGER, SANDRA ACCOUNT #: LSR010942	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.94	0.00	0.94	0.0	0.00
06/18/2018	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	0.0	0.00
06/18/2018	***-**-96IN - NESSIA, BONNIE ACCOUNT #: LSR011055	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	1.20	0.00	1.20	0.0	0.00
06/18/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	1.63	0.00	1.63	0.0	0.00
06/18/2018	***-**-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.68	0.00	0.68	0.0	0.00
06/18/2018	***-**-653J - KOCHER, CLINTON ACCOUNT #: LSR011298	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.95	0.00	0.95	0.0	0.00
06/18/2018	***-**-62IN - EATON, DAVID ACCOUNT #: LSR011315	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.69	0.00	0.69	0.0	0.00
06/18/2018	***-**-254I - AUGUSTINE, LINDA ACCOUNT #: LSR010846	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	0.0	0.00
06/18/2018	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.32	0.00	1.32	0.0	0.00
06/18/2018	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.50	0.00	1.50	0.0	0.00
06/18/2018	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.80	0.00	0.80	0.0	0.00
06/18/2018	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.86	0.00	2.86	0.0	0.00
06/18/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.92	0.00	1.92	0.0	0.00
06/18/2018	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.63	0.00	1.63	0.0	0.00
06/18/2018	***-**-60UT - FALCHI, ADALYNN ACCOUNT #: LSR011010	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	0.0	0.00



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-761I - EVANS, DOLORES	MFS GLOBAL TOTAL RETURN FUND-B	0.00	5.76	0.00	5.76	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
06/18/2018	***-**-63TR - EVANS, AUDREY J	MFS GLOBAL TOTAL RETURN FUND-B	0.00	3.04	0.00	3.04	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
06/18/2018	***-**-306X - COBB, CHERRYL	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.55	0.00	1.55	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
06/18/2018	***-**-579I - RHYMESTINE,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.95	0.00	2.95	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
06/18/2018	***-**-13IN - RHYMESTINE,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.74	0.00	0.74	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
06/18/2018	***-**-76IO - MCALLISTER, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.65	0.00	0.65	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
06/18/2018	***-**-506J - CURRIERE JR, MR	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.93	0.00	0.93	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
06/18/2018	***-**-367J - WAGNER, MRS LANT	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.67	0.00	1.67	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - WILLIAMSON, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.72	0.00	2.72	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
06/18/2018	***-**-64IO - PFAFF, GEORGE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.25	0.00	1.25	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
06/18/2018	***-**-62IR - ROBBIANO, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.92	0.00	0.92	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
06/18/2018	***-**-52IO - EUSEPI, SUSAN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.86	0.00	2.86	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
06/18/2018	***-**-653I - KOCHER, CLINTON	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.24	0.00	2.24	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
06/18/2018	***-**-21IO - RADELL, SANDRA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.53	0.00	1.53	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
06/18/2018	***-**-241I - DINITTO, ALLISYN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.27	0.00	1.27	0.0	0.00
	ACCOUNT #: LSR011444	BUY 1 Units @0.0000						
06/18/2018	***-**-51IN - GERACE, JOANNE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.61	0.00	1.61	0.0	0.00
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-09IO - BATES, FBO MRS	MFS GLOBAL TOTAL RETURN FUND-C	0.00	18.58	0.00	18.58	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
06/18/2018	***-**-11IO - LYNN G.	MFS GLOBAL TOTAL RETURN FUND-C	0.00	14.31	0.00	14.31	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
06/18/2018	***-**-376I - BERBEN, GAIL	MFS GLOBAL TOTAL RETURN FUND-C	0.00	12.95	0.00	12.95	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
06/18/2018	***-**-45IO - JOHNSON, GREGORY	MFS GLOBAL TOTAL RETURN FUND-C	0.00	14.85	0.00	14.85	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
06/18/2018	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-A	0.00	0.69	0.00	0.69	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
06/18/2018	***-**-254I - AUGUSTINE, LINDA	MFS GOVERNMENT SECURITIES FUND-B	0.00	1.66	0.00	1.66	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
06/18/2018	***-**-111J - NESSIA, JAMES	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.54	0.00	0.54	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
06/18/2018	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.10	0.00	0.10	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
06/18/2018	***-**-44IO - MAYO, DEBORAH	MFS HIGH INCOME CLASS C	0.00	17.42	0.00	17.42	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
06/18/2018	***-**-13IN - ROTH, KAREN	MFS HIGH INCOME FUND-A	0.00	0.63	0.00	0.63	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
06/18/2018	***-**-61IN - EVANS, DOLORES	MFS HIGH INCOME FUND-B	0.00	0.27	0.00	0.27	0.0	0.00
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						
06/18/2018	***-**-13IN - ROTH, KAREN	MFS HIGH INCOME FUND-B	0.00	0.18	0.00	0.18	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
06/18/2018	***-**-881I - EBERLEY, SUSAN	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
06/18/2018	***-**-13IN - ROTH, KAREN	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
06/18/2018	***-**-73TR - WESTCOTT IRRVOC	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	7.98	0.00	7.98	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
06/18/2018	***-**-73TR - WESTCOTT IRRVOC	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	9.23	0.00	9.23	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-13IN - ROTH, KAREN	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	0.63	0.00	0.63	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
06/18/2018	***-**-881J - EBERLEY, SUSAN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.40	0.00	0.40	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
06/18/2018	***-**-949I - ACEE, JOSPEH	MFS INFLATION ADJUSTED BOND CL B	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - HARTZ, CLAUDIA	MFS INFLATION ADJUSTED BOND CL B	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
06/18/2018	***-**-15IN - PENDRAK, JOHN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.85	0.00	0.85	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
06/18/2018	***-**-960J - MASTRANGELO,	MFS INFLATION ADJUSTED BOND CL B	0.00	0.29	0.00	0.29	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
06/18/2018	***-**-366I - GRUENEWALD,	MFS INFLATION ADJUSTED BOND CL B	0.00	0.36	0.00	0.36	0.0	0.00
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
06/18/2018	***-**-761I - EVANS, DOLORES	MFS INFLATION ADJUSTED BOND CL B	0.00	1.21	0.00	1.21	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
06/18/2018	***-**-63TR - EVANS, AUDREY J	MFS INFLATION ADJUSTED BOND CL B	0.00	0.32	0.00	0.32	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
06/18/2018	***-**-580X - COBB, JAMES	MFS INFLATION ADJUSTED BOND CL B	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
06/18/2018	***-**-111J - NESSIA, JAMES	MFS INFLATION ADJUSTED BOND CL B	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
06/18/2018	***-**-326J - GRANDE, MR	MFS INFLATION ADJUSTED BOND CL B	0.00	0.62	0.00	0.62	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
06/18/2018	***-**-76IO - MCALLISTER, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.35	0.00	0.35	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
06/18/2018	***-**-506J - CURRIERE JR, MR	MFS INFLATION ADJUSTED BOND CL B	0.00	0.18	0.00	0.18	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
06/18/2018	***-**-48IO - ANACKER, FBO MRS	MFS INFLATION ADJUSTED BOND CL B	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - WILLIAMSON, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.62	0.00	0.62	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-64IO - PFAFF, GEORGE	MFS INFLATION ADJUSTED BOND CL B	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
06/18/2018	***-**-62IR - ROBBIANO, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
06/18/2018	***-**-382I - SATTERLY, NEIL	MFS INFLATION ADJUSTED BOND CL C	0.00	9.77	0.00	9.77	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
06/18/2018	***-**-031O - MCVOY, ROBERT	MFS INFLATION ADJUSTED BOND CL C	0.00	2.76	0.00	2.76	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
06/18/2018	***-**-44IO - MAYO, DEBORAH	MFS INFLATION ADJUSTED BOND CL C	0.00	21.29	0.00	21.29	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
06/18/2018	***-**-531J - WILLIAMSON,	MFS INFLATION ADJUSTED BOND CL C	0.00	6.60	0.00	6.60	0.0	0.00
	ACCOUNT #: LSR011716	BUY 1 Units @0.0000						
06/18/2018	***-**-861I - SANGER, LAWRENCE	MFS INFLATION ADJUSTED BOND FUND-A	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
06/18/2018	***-**-065I - LAZIO, THOMAS	MFS INTERNATIONAL NEW DISCOVERY CL	0.00	5.99	0.00	5.99	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
06/18/2018	***-**-254I - AUGUSTINE, LINDA	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.45	0.00	1.45	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
06/18/2018	***-**-930I - SANGER, KEVIN	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
06/18/2018	***-**-959I - FRANZ, PAUL	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.98	0.00	1.98	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
06/18/2018	***-**-960J - MASTRANGELO,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.40	0.00	1.40	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
06/18/2018	***-**-761I - EVANS, DOLORES	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	4.98	0.00	4.98	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
06/18/2018	***-**-944O - MAYO, DEBORAH	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
06/18/2018	***-**-13IN - RHYMESTINE,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.79	0.00	0.79	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - WILLIAMSON, FBO	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.23	0.00	2.23	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-03IO - MASTRANGELO, ACCOUNT #: LSR011679	MFS INTERNATIONAL NEW DISCOVERY FUN BUY 1 Units @0.0000	0.00	2.32	0.00	2.32	0.0	0.00
06/18/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	MFS INTERNATIONAL NEW DISCOVERY FUN BUY 1 Units @0.0000	0.00	0.85	0.00	0.85	0.0	0.00
06/18/2018	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	MFS INTERNATIONAL VALUE FUND-B BUY 1 Units @0.0000	0.00	0.95	0.00	0.95	0.0	0.00
06/18/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	MFS LIFETIME INCOME CLASS A BUY 1 Units @0.0000	0.00	1.15	0.00	1.15	0.0	0.00
06/18/2018	***-**-959J - FRANZ, PAUL ACCOUNT #: LSR010958	MFS MODERATE ALLOCATION CL C BUY 1 Units @0.0000	0.00	14.43	0.00	14.43	0.0	0.00
06/18/2018	***-**-86IN - LEE, KEVIN ACCOUNT #: LSR011613	MFS MODERATE ALLOCATION CL C BUY 1 Units @0.0000	0.00	24.82	0.00	24.82	0.0	0.00
06/18/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS NEW DISCOVERY FUND-B BUY 1 Units @0.0000	0.00	2.54	0.00	2.54	0.0	0.00
06/18/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS NEW DISCOVERY FUND-B BUY 1 Units @0.0000	0.00	1.53	0.00	1.53	0.0	0.00
06/18/2018	***-**-978I - GUNN, KATHLEEN ACCOUNT #: LSR011345	MFS NEW DISCOVERY FUND-B BUY 1 Units @0.0000	0.00	0.65	0.00	0.65	0.0	0.00
06/18/2018	***-**-45IO - WILLIAMSON, ACCOUNT #: LSR011756	MFS NEW DISCOVERY FUND-B BUY 1 Units @0.0000	0.00	0.87	0.00	0.87	0.0	0.00
06/18/2018	***-**-197I - GAMBINO, ANDREW ACCOUNT #: LSR011465	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.27	0.00	0.27	0.0	0.00
06/18/2018	***-**-53IN - EVANS, ANDREW ACCOUNT #: LSR011483	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.72	0.00	0.72	0.0	0.00
06/18/2018	***-**-24IN - BLISS, RYAN ACCOUNT #: LSR011502	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	0.0	0.00
06/18/2018	***-**-38IO - BROWN, TYLER ACCOUNT #: LSR011602	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.76	0.00	0.76	0.0	0.00
06/18/2018	***-**-36IR - SANGER, BETH ACCOUNT #: LSR011757	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.25	0.00	0.25	0.0	0.00
06/18/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	MFS NEW DISCOVERY VALUE FUND CL C BUY 1 Units @0.0000	0.00	11.38	0.00	11.38	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-44IO - MAYO, DEBORAH	MFS NEW DISCOVERY VALUE FUND CL C	0.00	13.99	0.00	13.99	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
06/18/2018	***-**-63TR - EVANS, AUDREY J	MFS NEW YORK MUNICIPAL BOND FUND-B	0.00	1.64	0.00	1.64	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
06/18/2018	***-**-111J - NESSIA, JAMES	MFS NEW YORK MUNICIPAL BOND FUND-B	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
06/18/2018	***-**-111J - NESSIA, JAMES	MFS RESEARCH BOND FUND CLASS A	0.00	0.37	0.00	0.37	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
06/18/2018	***-**-861I - SANGER, LAWRENCE	MFS RESEARCH BOND FUND CLASS A	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
06/18/2018	***-**-02IN - BUSHEY, MELISSA	MFS RESEARCH BOND FUND CLASS A	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
06/18/2018	***-**-62IN - EATON, DAVID	MFS RESEARCH BOND FUND CLASS A	0.00	0.57	0.00	0.57	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
06/18/2018	***-**-254I - AUGUSTINE, LINDA	MFS RESEARCH BOND FUND-B	0.00	0.58	0.00	0.58	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
06/18/2018	***-**-959I - FRANZ, PAUL	MFS RESEARCH BOND FUND-B	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
06/18/2018	***-**-51IO - CAIN, TIMOTHY	MFS RESEARCH BOND FUND-B	0.00	1.33	0.00	1.33	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
06/18/2018	***-**-761I - EVANS, DOLORES	MFS RESEARCH BOND FUND-B	0.00	1.33	0.00	1.33	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
06/18/2018	***-**-76IO - MCALLISTER, FBO	MFS RESEARCH BOND FUND-B	0.00	0.53	0.00	0.53	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
06/18/2018	***-**-367J - WAGNER, MRS LANT	MFS RESEARCH BOND FUND-B	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - WILLIAMSON, FBO	MFS RESEARCH BOND FUND-B	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
06/18/2018	***-**-67IR - FRANZ, ALICE	MFS RESEARCH FUND-B	0.00	1.19	0.00	1.19	0.0	0.00
	ACCOUNT #: LSR011872	BUY 1 Units @0.0000						
06/18/2018	***-**-59IR - FRANZ, PAUL	MFS RESEARCH FUND-B	0.00	1.19	0.00	1.19	0.0	0.00
	ACCOUNT #: LSR011873	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-326J - GRANDE, MR	MFS STRATEGIC INCOME FUND-B	0.00	1.12	0.00	1.12	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
06/18/2018	***-**-382I - SATTERLY, NEIL	MFS TECHNOLOGY CLASS C	0.00	11.14	0.00	11.14	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
06/18/2018	***-**-48IO - CLARK, PATRICK	MFS TECHNOLOGY CLASS C	0.00	8.05	0.00	8.05	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
06/18/2018	***-**-031O - MCVOY, ROBERT	MFS TECHNOLOGY CLASS C	0.00	5.24	0.00	5.24	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
06/18/2018	***-**-930I - SANGER, KEVIN	MFS TECHNOLOGY CLASS C	0.00	9.89	0.00	9.89	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
06/18/2018	***-**-065I - LAZIO, THOMAS	MFS TECHNOLOGY CLASS C	0.00	6.42	0.00	6.42	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
06/18/2018	***-**-00IO - LEE, GARY	MFS TECHNOLOGY CLASS C	0.00	12.79	0.00	12.79	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
06/18/2018	***-**-44IO - MAYO, DEBORAH	MFS TECHNOLOGY CLASS C	0.00	14.35	0.00	14.35	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
06/18/2018	***-**-09IO - BATES, FBO MRS	MFS TECHNOLOGY CLASS C	0.00	12.61	0.00	12.61	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
06/18/2018	***-**-89IN - LEE, ROGER	MFS TECHNOLOGY CLASS C	0.00	6.82	0.00	6.82	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
06/18/2018	***-**-094I - KATRENA L	MFS TECHNOLOGY CLASS C	0.00	7.09	0.00	7.09	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
06/18/2018	***-**-06IR - CAMPBELL, PAMELA	MFS TECHNOLOGY CLASS C	0.00	8.86	0.00	8.86	0.0	0.00
	ACCOUNT #: LSR011222	BUY 1 Units @0.0000						
06/18/2018	***-**-11IO - LYNN G.	MFS TECHNOLOGY CLASS C	0.00	7.32	0.00	7.32	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
06/18/2018	***-**-45IO - JOHNSON, GREGORY	MFS TECHNOLOGY CLASS C	0.00	7.92	0.00	7.92	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
06/18/2018	***-**-37IO - MCCLUSKY, MARK	MFS TECHNOLOGY CLASS C	0.00	2.79	0.00	2.79	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
06/18/2018	***-**-86IN - LEE, KEVIN	MFS TECHNOLOGY CLASS C	0.00	11.09	0.00	11.09	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-99IN - EDELMAN, TINA	MFS TECHNOLOGY CLASS C	0.00	0.11	0.00	0.11	0.0	0.00
	ACCOUNT #: LSR011956	BUY 1 Units @0.0000						
06/18/2018	***-**-28IN - BYWATER, KIM	MFS TECHNOLOGY CLASS C	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
06/18/2018	***-**-944O - MAYO, DEBORAH	MFS TECHNOLOGY FUND CLASS A	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
06/18/2018	***-**-808J - GORMAN, RANDY	MFS TECHNOLOGY FUND CLASS A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
06/18/2018	***-**-02IN - BUSHEY, MELISSA	MFS TECHNOLOGY FUND CLASS A	0.00	1.08	0.00	1.08	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - HARTZ, CLAUDIA	MFS TECHNOLOGY FUND-B	0.00	0.96	0.00	0.96	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
06/18/2018	***-**-15IN - PENDRAK, JOHN	MFS TECHNOLOGY FUND-B	0.00	2.51	0.00	2.51	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
06/18/2018	***-**-959I - FRANZ, PAUL	MFS TECHNOLOGY FUND-B	0.00	2.08	0.00	2.08	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
06/18/2018	***-**-169I - EVANS, PAUL	MFS TECHNOLOGY FUND-B	0.00	1.42	0.00	1.42	0.0	0.00
	ACCOUNT #: LSR011026	BUY 1 Units @0.0000						
06/18/2018	***-**-580X - COBB, JAMES	MFS TECHNOLOGY FUND-B	0.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
06/18/2018	***-**-579J - RHYMESTINE,	MFS TECHNOLOGY FUND-B	0.00	1.07	0.00	1.07	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
06/18/2018	***-**-579I - RHYMESTINE,	MFS TECHNOLOGY FUND-B	0.00	1.46	0.00	1.46	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
06/18/2018	***-**-326I - GRANDE, FBO MR	MFS TECHNOLOGY FUND-B	0.00	1.83	0.00	1.83	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
06/18/2018	***-**-992J - CORNACCHIA	MFS TECHNOLOGY FUND-B	0.00	0.46	0.00	0.46	0.0	0.00
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
06/18/2018	***-**-48IO - ANACKER, FBO MRS	MFS TECHNOLOGY FUND-B	0.00	0.95	0.00	0.95	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - WILLIAMSON, FBO	MFS TECHNOLOGY FUND-B	0.00	1.67	0.00	1.67	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-664J - PFAFF, GEORGE	MFS TECHNOLOGY FUND-B	0.00	2.01	0.00	2.01	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
06/18/2018	***-**-64IO - PFAFF, GEORGE	MFS TECHNOLOGY FUND-B	0.00	1.10	0.00	1.10	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
06/18/2018	***-**-52IO - EUSEPI, SUSAN	MFS TECHNOLOGY FUND-B	0.00	1.45	0.00	1.45	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
06/18/2018	***-**-37IR - HARTZ, RENEE	MFS TECHNOLOGY FUND-B	0.00	1.63	0.00	1.63	0.0	0.00
	ACCOUNT #: LSR011259	BUY 1 Units @0.0000						
06/18/2018	***-**-75IR - EVANS, DIANE	MFS TECHNOLOGY FUND-B	0.00	1.55	0.00	1.55	0.0	0.00
	ACCOUNT #: LSR011275	BUY 1 Units @0.0000						
06/18/2018	***-**-653I - KOCHER, CLINTON	MFS TECHNOLOGY FUND-B	0.00	2.40	0.00	2.40	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
06/18/2018	***-**-680I - HARRELL, DARYL	MFS TECHNOLOGY FUND-B	0.00	1.63	0.00	1.63	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
06/18/2018	***-**-978I - GUNN, KATHLEEN	MFS TECHNOLOGY FUND-B	0.00	0.94	0.00	0.94	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
06/18/2018	***-**-197I - GAMBINO, ANDREW	MFS TECHNOLOGY FUND-B	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
06/18/2018	***-**-53IN - EVANS, ANDREW	MFS TECHNOLOGY FUND-B	0.00	0.93	0.00	0.93	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
06/18/2018	***-**-38IO - BROWN, TYLER	MFS TECHNOLOGY FUND-B	0.00	1.08	0.00	1.08	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
06/18/2018	***-**-03IO - MASTRANGELO,	MFS TECHNOLOGY FUND-B	0.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
06/18/2018	***-**-45IR - WILLIAMSON,	MFS TECHNOLOGY FUND-B	0.00	2.22	0.00	2.22	0.0	0.00
	ACCOUNT #: LSR011764	BUY 1 Units @0.0000						
06/18/2018	***-**-51IO - SCALISE, MARY	MFS TECHNOLOGY FUND-B	0.00	1.75	0.00	1.75	0.0	0.00
	ACCOUNT #: LSR011837	BUY 1 Units @0.0000						
06/18/2018	***-**-87IO - LASCALA, LAUREN	MFS TECHNOLOGY FUND-B	0.00	0.35	0.00	0.35	0.0	0.00
	ACCOUNT #: LSR011858	BUY 1 Units @0.0000						
06/18/2018	***-**-881J - EBERLEY, SUSAN	MFS TOTAL RETURN FUND-B	0.00	1.39	0.00	1.39	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-949I - ACEE, JOSPEH	MFS TOTAL RETURN FUND-B	0.00	1.86	0.00	1.86	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
06/18/2018	***-**-960J - MASTRANGELO,	MFS TOTAL RETURN FUND-B	0.00	1.89	0.00	1.89	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
06/18/2018	***-**-506J - CURRIERE JR, MR	MFS TOTAL RETURN FUND-B	0.00	0.98	0.00	0.98	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - WILLIAMSON, FBO	MFS TOTAL RETURN FUND-B	0.00	2.19	0.00	2.19	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
06/18/2018	***-**-62IR - ROBBIANO, FBO	MFS TOTAL RETURN FUND-B	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
06/18/2018	***-**-944O - MAYO, DEBORAH	MFS UTILITIES FUND-A	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
06/18/2018	***-**-96IN - NESSIA, BONNIE	MFS UTILITIES FUND-A	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
06/18/2018	***-**-808J - GORMAN, RANDY	MFS UTILITIES FUND-A	0.00	0.58	0.00	0.58	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - HARTZ, CLAUDIA	MFS UTILITIES FUND-B	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
06/18/2018	***-**-15IN - PENDRAK, JOHN	MFS UTILITIES FUND-B	0.00	1.87	0.00	1.87	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
06/18/2018	***-**-366S - GRUENEWALD,	MFS UTILITIES FUND-B	0.00	0.73	0.00	0.73	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
06/18/2018	***-**-61IN - EVANS, DOLORES	MFS UTILITIES FUND-B	0.00	0.28	0.00	0.28	0.0	0.00
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						
06/18/2018	***-**-63TR - EVANS, AUDREY J	MFS UTILITIES FUND-B	0.00	1.69	0.00	1.69	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
06/18/2018	***-**-306X - COBB, CHERRYL	MFS UTILITIES FUND-B	0.00	1.99	0.00	1.99	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
06/18/2018	***-**-579I - RHYMESTINE,	MFS UTILITIES FUND-B	0.00	2.06	0.00	2.06	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
06/18/2018	***-**-326J - GRANDE, MR	MFS UTILITIES FUND-B	0.00	0.69	0.00	0.69	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-326I - GRANDE, FBO MR	MFS UTILITIES FUND-B	0.00	0.98	0.00	0.98	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
06/18/2018	***-**-13IN - RHYMESTINE,	MFS UTILITIES FUND-B	0.00	0.87	0.00	0.87	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
06/18/2018	***-**-76IO - MCALLISTER, FBO	MFS UTILITIES FUND-B	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
06/18/2018	***-**-367J - WAGNER, MRS LANT	MFS UTILITIES FUND-B	0.00	1.66	0.00	1.66	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - WILLIAMSON, FBO	MFS UTILITIES FUND-B	0.00	1.34	0.00	1.34	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
06/18/2018	***-**-64IO - PFAFF, GEORGE	MFS UTILITIES FUND-B	0.00	1.70	0.00	1.70	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
06/18/2018	***-**-653I - KOCHER, CLINTON	MFS UTILITIES FUND-B	0.00	1.43	0.00	1.43	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
06/18/2018	***-**-62IN - EATON, DAVID	MFS UTILITIES FUND-B	0.00	1.34	0.00	1.34	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
06/18/2018	***-**-978I - GUNN, KATHLEEN	MFS UTILITIES FUND-B	0.00	0.40	0.00	0.40	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
06/18/2018	***-**-21IO - RADELL, SANDRA	MFS UTILITIES FUND-B	0.00	1.64	0.00	1.64	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
06/18/2018	***-**-41IR - DINITTO, ALLISYN	MFS UTILITIES FUND-B	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: LSR011443	BUY 1 Units @0.0000						
06/18/2018	***-**-197I - GAMBINO, ANDREW	MFS UTILITIES FUND-B	0.00	0.12	0.00	0.12	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
06/18/2018	***-**-53IN - EVANS, ANDREW	MFS UTILITIES FUND-B	0.00	0.55	0.00	0.55	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
06/18/2018	***-**-24IN - BLISS, RYAN	MFS UTILITIES FUND-B	0.00	0.12	0.00	0.12	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
06/18/2018	***-**-51IN - GERACE, JOANNE	MFS UTILITIES FUND-B	0.00	1.53	0.00	1.53	0.0	0.00
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						
06/18/2018	***-**-38IO - BROWN, TYLER	MFS UTILITIES FUND-B	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-45IO - WILLIAMSON, ACCOUNT #: LSR011756	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	0.57	0.00	0.57	0.0	0.00
06/18/2018	***-**-235I - AUGUSTINE III, ACCOUNT #: LSR010845	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	9.05	0.00	9.05	0.0	0.00
06/18/2018	***-**-980I - ACEE, ELIZABETH ACCOUNT #: LSR010885	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	10.79	0.00	10.79	0.0	0.00
06/18/2018	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	9.13	0.00	9.13	0.0	0.00
06/18/2018	***-**-031O - MCVOY, ROBERT ACCOUNT #: LSR010916	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	4.60	0.00	4.60	0.0	0.00
06/18/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	10.74	0.00	10.74	0.0	0.00
06/18/2018	***-**-00IO - LEE, GARY ACCOUNT #: LSR011059	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	10.71	0.00	10.71	0.0	0.00
06/18/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	11.25	0.00	11.25	0.0	0.00
06/18/2018	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	6.73	0.00	6.73	0.0	0.00
06/18/2018	***-**-81IR - CAMPBELL, ACCOUNT #: LSR011223	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	7.00	0.00	7.00	0.0	0.00
06/18/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	9.72	0.00	9.72	0.0	0.00
06/18/2018	***-**-37IR - HARTZ, RENEE ACCOUNT #: LSR011259	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	0.06	0.00	0.06	0.0	0.00
06/18/2018	***-**-80IO - EVANS, RICHARD ACCOUNT #: LSR011276	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	7.47	0.00	7.47	0.0	0.00
06/18/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	9.72	0.00	9.72	0.0	0.00
06/18/2018	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	8.67	0.00	8.67	0.0	0.00
06/18/2018	***-**-14IO - BUSHEY, MATTHEW ACCOUNT #: LSR011498	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	9.53	0.00	9.53	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-22IO - CLINTON, STEPHEN	MFS UTILITIES FUND-C	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
06/18/2018	***-**-714O - LINES, JUDITH	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR011763	BUY 1 Units @0.0000						
06/18/2018	***-**-35IN - RUGGIERO, LAURIE	MFS UTILITIES FUND-C	0.00	0.03	0.00	0.03	0.0	0.00
	ACCOUNT #: LSR012314	BUY 1 Units @0.0000						
06/18/2018	***-**-452I - ROBERTS, LILLIAN	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012350	BUY 1 Units @0.0000						
06/18/2018	***-**-851J - BLAKE, JAMES	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012393	BUY 1 Units @0.0000						
06/18/2018	***-**-188I - BRADLEY, WAYNE	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012484	BUY 1 Units @0.0000						
06/18/2018	***-**-13IN - ROTH, KAREN	MFS VALUE FUND-B	0.00	1.02	0.00	1.02	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
06/01/2018	***-**-169I - EVANS, PAUL	NEW PERSPECTIVE FUND-A	0.00	3.68	0.00	3.68	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
06/01/2018	***-**-072I - GAVALO, JULIE	NEW PERSPECTIVE FUND-A	0.00	16.27	0.00	16.27	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
06/01/2018	***-**-72RI - GAVALO, JULIE	NEW PERSPECTIVE FUND-A	0.00	12.02	0.00	12.02	0.0	0.00
	ACCOUNT #: 00087231055	BUY 0 Units @0.0000						
06/01/2018	***-**-643I - PELLETIER, MARY	NEW PERSPECTIVE FUND-A	0.00	3.40	0.00	3.40	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
06/01/2018	***-**-86IR - MEEKINS, LISA	NEW PERSPECTIVE FUND-A	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
06/01/2018	***-**-20JT - KOCHER, DENISE	NEW PERSPECTIVE FUND-A	0.00	1.67	0.00	1.67	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
06/18/2018	***-**-20IO - DEMETRI, AMELIA	NEW PERSPECTIVE FUND-A	0.00	1.89	0.00	1.89	0.0	0.00
	ACCOUNT #: LSR010995	BUY 1 Units @0.0000						
06/01/2018	***-**-0462 - FRIOT, MICHAEL	NEW PERSPECTIVE FUND-C	0.00	4.46	0.00	4.46	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
06/01/2018	***-**-5375 - CARTER, LISA	NEW WORLD FUND-529C	0.00	1.24	0.00	1.24	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/01/2018	***-**-2855 - KRECIDLO, MARTA	NEW WORLD FUND-A	0.00	0.46	0.00	0.46	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
06/01/2018	***-**-755P - SHEPPARD,	NEW WORLD FUND-A	0.00	1.96	0.00	1.96	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
06/01/2018	***-**-20IR - DEMETRI, AMELIA	NEW WORLD FUND-A	0.00	4.53	0.00	4.53	0.0	0.00
	ACCOUNT #: 00074234451	BUY 0 Units @0.0000						
06/01/2018	***-**-643I - PELLETIER, MARY	NEW WORLD FUND-A	0.00	2.31	0.00	2.31	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
06/01/2018	***-**-86IR - MEEKINS, LISA	NEW WORLD FUND-A	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
06/01/2018	***-**-265I - MEEKINS, TIMOTHY	NEW WORLD FUND-A	0.00	0.73	0.00	0.73	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
06/01/2018	***-**-443I - LATUS, FREDERICK	NEW WORLD FUND-A	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
06/01/2018	***-**-20JT - KOCHER, DENISE	NEW WORLD FUND-A	0.00	1.12	0.00	1.12	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
06/01/2018	***-**-5375 - CARTER, LISA	NEW WORLD FUND-A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
06/01/2018	***-**-753J - FALCHI, VALERIE	NEW WORLD FUND-A	0.00	0.80	0.00	0.80	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
06/01/2018	***-**-5414 - KARAM, SEGEAN	NEW WORLD FUND-A	0.00	0.28	0.00	0.28	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
06/01/2018	***-**-6981 - KARAM, MARY ANN	NEW WORLD FUND-A	0.00	0.29	0.00	0.29	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
06/18/2018	***-**-702I - KOCHER, MICHAEL	NEW WORLD FUND-A	0.00	2.80	0.00	2.80	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
06/18/2018	***-**-326I - GRANDE, FBO MR	NEW WORLD FUND-A	0.00	2.55	0.00	2.55	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
06/18/2018	***-**-680I - HARRELL, DARYL	NEW WORLD FUND-A	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
06/18/2018	***-**-128I - BASHANT, THOMAS	NEW WORLD FUND-A	0.00	0.94	0.00	0.94	0.0	0.00
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-30TR - AND SANDRA	NEW WORLD FUND-A	0.00	2.10	0.00	2.10	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
06/01/2018	***-**-0462 - FRIOT, MICHAEL	NEW WORLD FUND-C	0.00	3.14	0.00	3.14	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
06/01/2018	***-**-680I - HARRELL, DARYL	NEW WORLD FUND-C	0.00	7.55	0.00	7.55	0.0	0.00
	ACCOUNT #: 00083996385	BUY 0 Units @0.0000						
06/01/2018	***-**-753J - FALCHI, VALERIE	NEW WORLD FUND-C	0.00	3.92	0.00	3.92	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
06/18/2018	***-**-07IO - FAMOLARO, JAMES	PRUDENTIAL JENNISON 20/20 FOCUS CLA	0.00	3.32	0.00	3.32	0.0	0.00
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
06/18/2018	***-**-254I - AUGUSTINE, LINDA	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
06/18/2018	***-**-15IN - PENDRAK, JOHN	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	2.66	0.00	2.66	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
06/18/2018	***-**-366S - GRUENEWALD,	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.35	0.00	0.35	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
06/18/2018	***-**-944O - MAYO, DEBORAH	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.45	0.00	0.45	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
06/18/2018	***-**-861I - SANGER, LAWRENCE	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
06/18/2018	***-**-128I - BASHANT, THOMAS	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.36	0.00	0.36	0.0	0.00
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						
06/18/2018	***-**-30TR - AND SANDRA	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.57	0.00	0.57	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
06/18/2018	***-**-254I - AUGUSTINE, LINDA	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
06/18/2018	***-**-15IN - PENDRAK, JOHN	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.06	0.00	0.06	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - HARTZ, CLAUDIA	RESOURCE REAL ESTATE-NFS	0.00	5.93	0.00	5.93	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
06/18/2018	***-**-72IN - GAVALO, JULIE	RESOURCE REAL ESTATE-NFS	0.00	3.94	0.00	3.94	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						



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KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-930I - SANGER, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	13.10	0.00	13.10	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
06/18/2018	***-**-628I - SANGER, SANDRA	RESOURCE REAL ESTATE-NFS	0.00	5.59	0.00	5.59	0.0	0.00
	ACCOUNT #: LSR010942	BUY 1 Units @0.0000						
06/18/2018	***-**-15IN - PENDRAK, JOHN	RESOURCE REAL ESTATE-NFS	0.00	3.13	0.00	3.13	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
06/18/2018	***-**-567I - FRANZ, ALICE	RESOURCE REAL ESTATE-NFS	0.00	6.54	0.00	6.54	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
06/18/2018	***-**-51IO - CAIN, TIMOTHY	RESOURCE REAL ESTATE-NFS	0.00	3.53	0.00	3.53	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
06/18/2018	***-**-761I - EVANS, DOLORES	RESOURCE REAL ESTATE-NFS	0.00	6.86	0.00	6.86	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
06/18/2018	***-**-63TR - EVANS, AUDREY J	RESOURCE REAL ESTATE-NFS	0.00	11.79	0.00	11.79	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
06/18/2018	***-**-44IO - MAYO, DEBORAH	RESOURCE REAL ESTATE-NFS	0.00	25.61	0.00	25.61	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
06/18/2018	***-**-579I - RHYMESTINE,	RESOURCE REAL ESTATE-NFS	0.00	3.13	0.00	3.13	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
06/18/2018	***-**-861I - SANGER, LAWRENCE	RESOURCE REAL ESTATE-NFS	0.00	7.85	0.00	7.85	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
06/18/2018	***-**-89IN - LEE, ROGER	RESOURCE REAL ESTATE-NFS	0.00	14.71	0.00	14.71	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
06/18/2018	***-**-664J - PFAFF, GEORGE	RESOURCE REAL ESTATE-NFS	0.00	2.03	0.00	2.03	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
06/18/2018	***-**-29IO - MASSOUD,	RESOURCE REAL ESTATE-NFS	0.00	6.52	0.00	6.52	0.0	0.00
	ACCOUNT #: LSR011217	BUY 1 Units @0.0000						
06/18/2018	***-**-473J - HUSTON,	RESOURCE REAL ESTATE-NFS	0.00	6.54	0.00	6.54	0.0	0.00
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						
06/18/2018	***-**-11IO - LYNN G.	RESOURCE REAL ESTATE-NFS	0.00	1.67	0.00	1.67	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
06/18/2018	***-**-653I - KOCHER, CLINTON	RESOURCE REAL ESTATE-NFS	0.00	6.52	0.00	6.52	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-37IO - MCCLUSKY, MARK	RESOURCE REAL ESTATE-NFS	0.00	3.29	0.00	3.29	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
06/18/2018	***-**-86IN - LEE, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	6.49	0.00	6.49	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
06/18/2018	***-**-531J - WILLIAMSON,	RESOURCE REAL ESTATE-NFS	0.00	12.90	0.00	12.90	0.0	0.00
	ACCOUNT #: LSR011716	BUY 1 Units @0.0000						
06/18/2018	***-**-714O - LINES, JUDITH	RESOURCE REAL ESTATE-NFS	0.00	5.17	0.00	5.17	0.0	0.00
	ACCOUNT #: LSR011763	BUY 1 Units @0.0000						
06/18/2018	***-**-31IO - HARTZ, CLAUDIA	RESOURCE REAL ESTATE-NFS	0.00	1.98	0.00	1.98	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
06/18/2018	***-**-72IN - GAVALO, JULIE	RESOURCE REAL ESTATE-NFS	0.00	1.31	0.00	1.31	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
06/18/2018	***-**-93OI - SANGER, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	4.37	0.00	4.37	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
06/18/2018	***-**-628I - SANGER, SANDRA	RESOURCE REAL ESTATE-NFS	0.00	1.86	0.00	1.86	0.0	0.00
	ACCOUNT #: LSR010942	BUY 1 Units @0.0000						
06/18/2018	***-**-15IN - PENDRAK, JOHN	RESOURCE REAL ESTATE-NFS	0.00	1.04	0.00	1.04	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
06/18/2018	***-**-567I - FRANZ, ALICE	RESOURCE REAL ESTATE-NFS	0.00	2.18	0.00	2.18	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
06/18/2018	***-**-51IO - CAIN, TIMOTHY	RESOURCE REAL ESTATE-NFS	0.00	1.18	0.00	1.18	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
06/18/2018	***-**-761I - EVANS, DOLORES	RESOURCE REAL ESTATE-NFS	0.00	2.29	0.00	2.29	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
06/18/2018	***-**-63TR - EVANS, AUDREY J	RESOURCE REAL ESTATE-NFS	0.00	3.93	0.00	3.93	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
06/18/2018	***-**-44IO - MAYO, DEBORAH	RESOURCE REAL ESTATE-NFS	0.00	8.54	0.00	8.54	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
06/18/2018	***-**-579I - RHYMESTINE,	RESOURCE REAL ESTATE-NFS	0.00	1.04	0.00	1.04	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
06/18/2018	***-**-861I - SANGER, LAWRENCE	RESOURCE REAL ESTATE-NFS	0.00	2.62	0.00	2.62	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-89IN - LEE, ROGER	RESOURCE REAL ESTATE-NFS	0.00	4.90	0.00	4.90	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
06/18/2018	***-**-664J - PFAFF, GEORGE	RESOURCE REAL ESTATE-NFS	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
06/18/2018	***-**-29IO - MASSOUD,	RESOURCE REAL ESTATE-NFS	0.00	2.17	0.00	2.17	0.0	0.00
	ACCOUNT #: LSR011217	BUY 1 Units @0.0000						
06/18/2018	***-**-473J - HUSTON,	RESOURCE REAL ESTATE-NFS	0.00	2.18	0.00	2.18	0.0	0.00
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						
06/18/2018	***-**-11IO - LYNN G.	RESOURCE REAL ESTATE-NFS	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
06/18/2018	***-**-653I - KOCHER, CLINTON	RESOURCE REAL ESTATE-NFS	0.00	2.17	0.00	2.17	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
06/18/2018	***-**-37IO - MCCLUSKY, MARK	RESOURCE REAL ESTATE-NFS	0.00	1.10	0.00	1.10	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
06/18/2018	***-**-86IN - LEE, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	2.16	0.00	2.16	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
06/18/2018	***-**-531J - WILLIAMSON,	RESOURCE REAL ESTATE-NFS	0.00	4.30	0.00	4.30	0.0	0.00
	ACCOUNT #: LSR011716	BUY 1 Units @0.0000						
06/18/2018	***-**-7140 - LINES, JUDITH	RESOURCE REAL ESTATE-NFS	0.00	1.72	0.00	1.72	0.0	0.00
	ACCOUNT #: LSR011763	BUY 1 Units @0.0000						
06/01/2018	***-**-0462 - FRIOT, MICHAEL	SHORT-TERM BOND FUND OF AMERICA - A	0.00	1.03	0.00	1.03	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
06/01/2018	***-**-8158 - BEHA, DEBORAH	SHORT-TERM BOND FUND OF AMERICA - A	0.00	1.50	0.00	1.50	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
06/01/2018	***-**-753J - FALCHI, VALERIE	SHORT-TERM BOND FUND OF AMERICA - A	0.00	0.70	0.00	0.70	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
06/01/2018	***-**-5414 - KARAM, SEGEAN	SHORT-TERM BOND FUND OF AMERICA - A	0.00	0.32	0.00	0.32	0.0	0.00
	ACCOUNT #: 00084545682	BUY 0 Units @0.0000						
06/01/2018	***-**-6981 - KARAM, MARY ANN	SHORT-TERM BOND FUND OF AMERICA - A	0.00	0.33	0.00	0.33	0.0	0.00
	ACCOUNT #: 00084545771	BUY 0 Units @0.0000						
06/01/2018	***-**-2855 - KRECIDLO, MARTA	SMALLCAP WORLD FUND-A	0.00	0.46	0.00	0.46	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/01/2018	***-**-755P - SHEPPARD, ACCOUNT #: 00084218264	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	2.93	0.00	2.93	0.0	0.00
06/01/2018	***-**-8158 - BEHA, DEBORAH ACCOUNT #: 00058770149	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	1.76	0.00	1.76	0.0	0.00
06/01/2018	***-**-265I - MEEKINS, TIMOTHY ACCOUNT #: 00083811243	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	1.43	0.00	1.43	0.0	0.00
06/01/2018	***-**-443I - LATUS, FREDERICK ACCOUNT #: 00083932986	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	0.28	0.00	0.28	0.0	0.00
06/01/2018	***-**-5375 - CARTER, LISA ACCOUNT #: 00084131722	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	0.36	0.00	0.36	0.0	0.00
06/01/2018	***-**-753J - FALCHI, VALERIE ACCOUNT #: 00084317946	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	0.45	0.00	0.45	0.0	0.00
06/01/2018	***-**-5414 - KARAM, SEGEAN ACCOUNT #: 00084545682	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	0.43	0.00	0.43	0.0	0.00
06/01/2018	***-**-6981 - KARAM, MARY ANN ACCOUNT #: 00084545771	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	0.44	0.00	0.44	0.0	0.00
06/18/2018	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	0.90	0.00	0.90	0.0	0.00
06/01/2018	***-**-169I - EVANS, PAUL ACCOUNT #: 00083808354	SMALLCAP WORLD FUND-C BUY 0 Units @0.0000	0.00	2.68	0.00	2.68	0.0	0.00
06/01/2018	***-**-0851 - LALLIER, JAMES ACCOUNT #: 00068584532	SMALLCAP WORLD FUND-C BUY 0 Units @0.0000	0.00	10.41	0.00	10.41	0.0	0.00
06/18/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	SMALLCAP WORLD FUND-C BUY 1 Units @0.0000	0.00	8.95	0.00	8.95	0.0	0.00
06/18/2018	***-**-567I - FRANZ, ALICE ACCOUNT #: LSR010960	SMALLCAP WORLD FUND-C BUY 1 Units @0.0000	0.00	7.35	0.00	7.35	0.0	0.00
06/18/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	SMALLCAP WORLD FUND-C BUY 1 Units @0.0000	0.00	12.39	0.00	12.39	0.0	0.00
06/18/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	SMALLCAP WORLD FUND-C BUY 1 Units @0.0000	0.00	12.04	0.00	12.04	0.0	0.00
06/18/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	SMALLCAP WORLD FUND-C BUY 1 Units @0.0000	0.00	12.52	0.00	12.52	0.0	0.00



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-45IO - JOHNSON, GREGORY	SMALLCAP WORLD FUND-C	0.00	8.19	0.00	8.19	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
06/18/2018	***-**-851K - LALLIER, JAMES	SMALLCAP WORLD FUND-C	0.00	2.24	0.00	2.24	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
06/01/2018	***-**-72TD - GAVALO, JULIE	TAX-EXEMPT BOND FUND OF AMERICA	0.00	14.86	0.00	14.86	0.0	0.00
	ACCOUNT #: 00087169935	BUY 0 Units @0.0000						
06/01/2018	***-**-072I - GAVALO, JULIE	THE BOND FUND OF AMERICA-A	0.00	16.64	0.00	16.64	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
06/01/2018	***-**-81IR - CAMPBELL,	THE BOND FUND OF AMERICA-A	0.00	1.51	0.00	1.51	0.0	0.00
	ACCOUNT #: 00073375822	BUY 0 Units @0.0000						
06/01/2018	***-**-7181 - HAMER, JAMES	THE BOND FUND OF AMERICA-C	0.00	0.44	0.00	0.44	0.0	0.00
	ACCOUNT #: 00083846796	BUY 0 Units @0.0000						
06/01/2018	***-**-755P - SHEPPARD,	THE GROWTH FUND OF AMERICA-529A	0.00	0.80	0.00	0.80	0.0	0.00
	ACCOUNT #: 00084018452	BUY 0 Units @0.0000						
06/01/2018	***-**-755P - SHEPPARD,	THE GROWTH FUND OF AMERICA-529C	0.00	22.75	0.00	22.75	0.0	0.00
	ACCOUNT #: 00084018452	BUY 0 Units @0.0000						
06/01/2018	***-**-72IN - GAVALO, JULIE	THE GROWTH FUND OF AMERICA-529E	0.00	9.89	0.00	9.89	0.0	0.00
	ACCOUNT #: 00068530007	BUY 0 Units @0.0000						
06/01/2018	***-**-169I - EVANS, PAUL	THE GROWTH FUND OF AMERICA-A	0.00	3.85	0.00	3.85	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
06/01/2018	***-**-480J - EVANS, RICHARD	THE GROWTH FUND OF AMERICA-A	0.00	2.96	0.00	2.96	0.0	0.00
	ACCOUNT #: 00073288662	BUY 0 Units @0.0000						
06/01/2018	***-**-81IR - CAMPBELL,	THE GROWTH FUND OF AMERICA-A	0.00	2.90	0.00	2.90	0.0	0.00
	ACCOUNT #: 00073375822	BUY 0 Units @0.0000						
06/01/2018	***-**-506J - CURRIERE JR, MR	THE GROWTH FUND OF AMERICA-A	0.00	8.43	0.00	8.43	0.0	0.00
	ACCOUNT #: 00083609136	BUY 0 Units @0.0000						
06/01/2018	***-**-5375 - CARTER, LISA	THE GROWTH FUND OF AMERICA-A	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
06/01/2018	***-**-753J - FALCHI, VALERIE	THE GROWTH FUND OF AMERICA-A	0.00	1.03	0.00	1.03	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
06/18/2018	***-**-366I - GRUENEWALD,	THE GROWTH FUND OF AMERICA-A	0.00	1.48	0.00	1.48	0.0	0.00
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-326I - GRANDE, FBO MR	THE GROWTH FUND OF AMERICA-A	0.00	2.24	0.00	2.24	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
06/01/2018	***-**-0462 - FRIOT, MICHAEL	THE GROWTH FUND OF AMERICA-C	0.00	5.45	0.00	5.45	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
06/01/2018	***-**-680I - HARRELL, DARYL	THE GROWTH FUND OF AMERICA-C	0.00	13.10	0.00	13.10	0.0	0.00
	ACCOUNT #: 00083996385	BUY 0 Units @0.0000						
06/18/2018	***-**-567I - FRANZ, ALICE	THE GROWTH FUND OF AMERICA-C	0.00	10.76	0.00	10.76	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
06/01/2018	***-**-2855 - KRECIDLO, MARTA	THE INCOME FUND OF AMERICA-A	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
06/01/2018	***-**-755P - SHEPPARD,	THE INCOME FUND OF AMERICA-A	0.00	1.90	0.00	1.90	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
06/01/2018	***-**-0462 - FRIOT, MICHAEL	THE INCOME FUND OF AMERICA-A	0.00	3.92	0.00	3.92	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
06/01/2018	***-**-0851 - LALLIER, JAMES	THE INCOME FUND OF AMERICA-A	0.00	2.22	0.00	2.22	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
06/01/2018	***-**-81IR - CAMPBELL,	THE INCOME FUND OF AMERICA-A	0.00	5.27	0.00	5.27	0.0	0.00
	ACCOUNT #: 00073375822	BUY 0 Units @0.0000						
06/01/2018	***-**-643I - PELLETIER, MARY	THE INCOME FUND OF AMERICA-A	0.00	4.47	0.00	4.47	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
06/01/2018	***-**-265I - MEEKINS, TIMOTHY	THE INCOME FUND OF AMERICA-A	0.00	0.90	0.00	0.90	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
06/01/2018	***-**-265J - MEEKINS, TIMOTHY	THE INCOME FUND OF AMERICA-A	0.00	0.99	0.00	0.99	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
06/01/2018	***-**-443I - LATUS, FREDERICK	THE INCOME FUND OF AMERICA-A	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
06/01/2018	***-**-901I - SATTERLY, ANN	THE INCOME FUND OF AMERICA-A	0.00	0.42	0.00	0.42	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
06/01/2018	***-**-382I - SATTERLY, NEIL	THE INCOME FUND OF AMERICA-A	0.00	0.72	0.00	0.72	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
06/01/2018	***-**-753J - FALCHI, VALERIE	THE INCOME FUND OF AMERICA-A	0.00	1.84	0.00	1.84	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						



COMMISSION STATEMENT

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KURT GRUENEWALD
2108 Genesee St.
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BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/01/2018	***-**-78IR - FALES, JOAN	THE INCOME FUND OF AMERICA-A	0.00	4.57	0.00	4.57	0.0	0.00
	ACCOUNT #: 00088082227	BUY 0 Units @0.0000						
06/18/2018	***-**-287I - HINCKLEY,	THE INCOME FUND OF AMERICA-A	0.00	4.54	0.00	4.54	0.0	0.00
	ACCOUNT #: LSR010843	BUY 1 Units @0.0000						
06/18/2018	***-**-702I - KOCHER, MICHAEL	THE INCOME FUND OF AMERICA-A	0.00	3.57	0.00	3.57	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
06/18/2018	***-**-959J - FRANZ, PAUL	THE INCOME FUND OF AMERICA-A	0.00	2.89	0.00	2.89	0.0	0.00
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
06/18/2018	***-**-366I - GRUENEWALD,	THE INCOME FUND OF AMERICA-A	0.00	1.78	0.00	1.78	0.0	0.00
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
06/18/2018	***-**-579J - RHYMESTINE,	THE INCOME FUND OF AMERICA-A	0.00	1.01	0.00	1.01	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
06/18/2018	***-**-326I - GRANDE, FBO MR	THE INCOME FUND OF AMERICA-A	0.00	2.33	0.00	2.33	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
06/18/2018	***-**-680I - HARRELL, DARYL	THE INCOME FUND OF AMERICA-A	0.00	1.12	0.00	1.12	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
06/18/2018	***-**-128I - BASHANT, THOMAS	THE INCOME FUND OF AMERICA-A	0.00	0.96	0.00	0.96	0.0	0.00
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						
06/18/2018	***-**-30TR - AND SANDRA	THE INCOME FUND OF AMERICA-A	0.00	2.66	0.00	2.66	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
06/18/2018	***-**-851K - LALLIER, JAMES	THE INCOME FUND OF AMERICA-A	0.00	0.17	0.00	0.17	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
06/01/2018	***-**-443I - LATUS, FREDERICK	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.71	0.00	0.71	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
06/01/2018	***-**-643I - PELLETIER, MARY	THE NEW ECONOMY FUND-A	0.00	0.19	0.00	0.19	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
06/01/2018	***-**-86IR - MEEKINS, LISA	THE NEW ECONOMY FUND-A	0.00	1.24	0.00	1.24	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
06/01/2018	***-**-20JT - KOCHER, DENISE	THE NEW ECONOMY FUND-A	0.00	1.12	0.00	1.12	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
06/01/2018	***-**-169I - EVANS, PAUL	THE NEW ECONOMY FUND-C	0.00	5.97	0.00	5.97	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/01/2018	***-**-0851 - LALLIER, JAMES	THE NEW ECONOMY FUND-C	0.00	6.25	0.00	6.25	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
06/01/2018	***-**-643I - PELLETIER, MARY	THE NEW ECONOMY FUND-C	0.00	1.79	0.00	1.79	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
06/18/2018	***-**-80IO - EVANS, RICHARD	THE NEW ECONOMY FUND-C	0.00	12.07	0.00	12.07	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
06/18/2018	***-**-851K - LALLIER, JAMES	THE NEW ECONOMY FUND-C	0.00	1.35	0.00	1.35	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
06/18/2018	***-**-235I - AUGUSTINE III,	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	6.22	0.00	6.22	0.0	0.00
	ACCOUNT #: LSR010845	BUY 1 Units @0.0000						
06/18/2018	***-**-881J - EBERLEY, SUSAN	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	7.35	0.00	7.35	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
06/18/2018	***-**-980I - ACEE, ELIZABETH	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	5.36	0.00	5.36	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
06/18/2018	***-**-031O - MCVOY, ROBERT	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	6.91	0.00	6.91	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
06/18/2018	***-**-959I - FRANZ, PAUL	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	12.36	0.00	12.36	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
06/18/2018	***-**-73TR - WESTCOTT IRRVOC	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	5.99	0.00	5.99	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
06/18/2018	***-**-73TR - WESTCOTT IRRVOC	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	5.77	0.00	5.77	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
06/18/2018	***-**-44IO - MAYO, DEBORAH	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	7.11	0.00	7.11	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
06/18/2018	***-**-09IO - BATES, FBO MRS	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	11.44	0.00	11.44	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
06/18/2018	***-**-89IN - LEE, ROGER	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	12.97	0.00	12.97	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
06/18/2018	***-**-11IO - LYNN G.	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	11.01	0.00	11.01	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
06/18/2018	***-**-80IO - EVANS, RICHARD	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	7.40	0.00	7.40	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-45IO - JOHNSON, GREGORY	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	9.31	0.00	9.31	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
06/18/2018	***-**-14IO - BUSHEY, MATTHEW	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	10.55	0.00	10.55	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
06/18/2018	***-**-287J - HINCKLEY,	TRANSAMERICA SHORT TERM BOND CL C	0.00	13.23	0.00	13.23	0.0	0.00
	ACCOUNT #: LSR010841	BUY 1 Units @0.0000						
06/18/2018	***-**-48IO - CLARK, PATRICK	TRANSAMERICA SHORT TERM BOND CL C	0.00	10.30	0.00	10.30	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
06/18/2018	***-**-11IO - LYNN G.	TRANSAMERICA SHORT TERM BOND CL C	0.00	15.35	0.00	15.35	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
06/01/2018	***-**-0462 - FRIOT, MICHAEL	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
06/01/2018	***-**-901I - SATTERLY, ANN	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
06/01/2018	***-**-382I - SATTERLY, NEIL	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
06/18/2018	***-**-959J - FRANZ, PAUL	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	3.61	0.00	3.61	0.0	0.00
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
06/01/2018	***-**-72IN - GAVALO, JULIE	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	8.76	0.00	8.76	0.0	0.00
	ACCOUNT #: 00068530008	BUY 0 Units @0.0000						
06/01/2018	***-**-169I - EVANS, PAUL	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.56	0.00	3.56	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
06/01/2018	***-**-443I - LATUS, FREDERICK	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
06/01/2018	***-**-753J - FALCHI, VALERIE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.71	0.00	0.71	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
06/18/2018	***-**-07IO - FAMOLARO, JAMES	WELLS FARGO ASSET ALLOCATION CL A	0.00	3.08	0.00	3.08	0.0	0.00
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
06/18/2018	***-**-07IO - FAMOLARO, JAMES	WELLS FARGO GLOBAL OPPT FD CL A	0.00	1.42	0.00	1.42	0.0	0.00
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
06/18/2018	***-**-680I - HARRELL, DARYL	WELLS FARGO SPECIALIZED TECH A	0.00	1.98	0.00	1.98	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/18/2018	***-**-680I - HARRELL, DARYL	WELLS FARGO UTILITY TELECOM CL A	0.00	1.33	0.00	1.33	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$4,161.01	\$0.00	\$4,161.01		\$0.00
		*** BROKER TRANSACTIONS TOTAL ***	\$194,659.91	\$6,103.15	\$526.48	\$5,576.67		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-275.94
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$-275.94
Year-to-date Earnings	\$0.00