

## COMMISSION STATEMENT

April 30, 2017

CHRISTOPHER HAMILTON

21 WEST STREET

APT 6J

NEW YORK, NY 10006

BROKER #:

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
04/03/2017	75500779	DIPRIMA, ANTHONY	ROCH. LIMITED TERM NEW YORK MUNICIPAL S	4,025.00	25.00	0.00	25.00	80.0	20.00
04/12/2017	75501341	GRAFSTEIN, LEO	PUTNAM 746809102	26,919.42	50.00	0.00	50.00	80.0	40.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$30,944.42</b>	<b>\$75.00</b>	<b>\$0.00</b>	<b>\$75.00</b>		<b>\$60.00</b>

**PRODUCT CATEGORY: STOCKS**

04/19/2017	75500508	HAMILTON, CHRISTOPHER	VANECK VECTORS ETF TR OIL SVCS ETF B	34,728.00	0.00	10.00	-10.00	80.0	-8.00
03/29/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES MSCI HONG KONG ETF S	22,410.00	0.00	10.00	-10.00	80.0	-8.00
03/30/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF B	114,356.00	0.00	10.00	-10.00	80.0	-8.00
03/30/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF B	114,356.00	0.00	10.00	-10.00	80.0	-8.00
03/31/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF S	113,300.00	0.00	10.00	-10.00	80.0	-8.00
03/31/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF S	56,650.00	0.00	10.00	-10.00	80.0	-8.00
03/31/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF S	56,650.00	0.00	10.00	-10.00	80.0	-8.00
03/31/2017	75500514	HAMILTON, CHRISTOPHER	SPDR GOLD TR GOLD SHS B	94,885.60	0.00	10.00	-10.00	80.0	-8.00
03/31/2017	75500514	HAMILTON, CHRISTOPHER	SPDR GOLD TR GOLD SHS S	94,976.00	0.00	10.00	-10.00	80.0	-8.00
04/04/2017	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP B	54,557.00	0.00	10.00	-10.00	80.0	-8.00
04/04/2017	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP S	54,650.00	0.00	10.00	-10.00	80.0	-8.00
04/05/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF B	112,684.00	0.00	10.00	-10.00	80.0	-8.00
04/05/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF B	56,342.00	0.00	10.00	-10.00	80.0	-8.00
04/05/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF B	56,362.68	0.00	10.00	-10.00	80.0	-8.00
04/05/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES MSCI HONG KONG ETF B	22,580.00	0.00	10.00	-10.00	80.0	-8.00
04/05/2017	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP B	54,550.00	0.00	10.00	-10.00	80.0	-8.00
04/06/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF S	112,112.00	0.00	10.00	-10.00	80.0	-8.00
04/07/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF S	56,188.00	0.00	10.00	-10.00	80.0	-8.00
04/07/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF B	56,164.57	0.00	10.00	-10.00	80.0	-8.00
04/07/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF B	112,350.92	0.00	10.00	-10.00	80.0	-8.00
04/10/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF S	112,090.00	0.00	10.00	-10.00	80.0	-8.00
04/10/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES MSCI HONG KONG ETF S	22,620.00	0.00	10.00	-10.00	80.0	-8.00
04/10/2017	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP S	54,860.00	0.00	10.00	-10.00	80.0	-8.00
04/11/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF S	56,331.00	0.00	10.00	-10.00	80.0	-8.00
04/11/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF S	56,331.00	0.00	10.00	-10.00	80.0	-8.00
04/13/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF B	55,671.00	0.00	10.00	-10.00	80.0	-8.00

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/13/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	55,671.00	0.00	10.00	-10.00	80.0	-8.00
04/13/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	55,671.00	0.00	10.00	-10.00	80.0	-8.00
04/13/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	55,671.00	0.00	10.00	-10.00	80.0	-8.00
04/13/2017	75500514	HAMILTON, CHRISTOPHER ISHARES MSCI HONG KONG ETF	B	22,540.00	0.00	10.00	-10.00	80.0	-8.00
04/13/2017	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	B	26,268.90	0.00	10.00	-10.00	80.0	-8.00
04/13/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	54,840.00	0.00	10.00	-10.00	80.0	-8.00
04/17/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	56,265.00	0.00	10.00	-10.00	80.0	-8.00
04/17/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	56,265.00	0.00	10.00	-10.00	80.0	-8.00
04/17/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	56,265.00	0.00	10.00	-10.00	80.0	-8.00
04/17/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	56,265.00	0.00	10.00	-10.00	80.0	-8.00
04/17/2017	75500514	HAMILTON, CHRISTOPHER ISHARES MSCI HONG KONG ETF	S	22,590.00	0.00	10.00	-10.00	80.0	-8.00
04/17/2017	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	S	26,236.00	0.00	10.00	-10.00	80.0	-8.00
04/17/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	55,230.00	0.00	10.00	-10.00	80.0	-8.00
04/18/2017	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR OIL SVCS ETF	B	20,811.00	0.00	10.00	-10.00	80.0	-8.00
04/19/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	56,056.00	0.00	10.00	-10.00	80.0	-8.00
04/19/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	56,056.00	0.00	10.00	-10.00	80.0	-8.00
04/19/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	56,056.00	0.00	10.00	-10.00	80.0	-8.00
04/19/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	56,056.00	0.00	10.00	-10.00	80.0	-8.00
04/19/2017	75500514	HAMILTON, CHRISTOPHER ISHARES MSCI HONG KONG ETF	B	22,110.00	0.00	10.00	-10.00	80.0	-8.00
04/19/2017	75500514	HAMILTON, CHRISTOPHER ISHARES MSCI HONG KONG ETF	B	22,110.00	0.00	10.00	-10.00	80.0	-8.00
04/20/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	56,343.43	0.00	10.00	-10.00	80.0	-8.00
04/20/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	56,397.00	0.00	10.00	-10.00	80.0	-8.00
04/20/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	56,397.00	0.00	10.00	-10.00	80.0	-8.00
04/20/2017	75500514	HAMILTON, CHRISTOPHER ISHARES MSCI HONG KONG ETF	S	22,410.00	0.00	10.00	-10.00	80.0	-8.00
04/21/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	55,000.00	0.00	10.00	-10.00	80.0	-8.00
04/24/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	57,002.00	0.00	10.00	-10.00	80.0	-8.00
04/24/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	55,500.00	0.00	10.00	-10.00	80.0	-8.00
04/25/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	57,211.00	0.00	10.00	-10.00	80.0	-8.00
04/25/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	-57,211.00	0.00	-10.00	10.00	80.0	8.00 C
04/25/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	57,211.00	0.00	10.00	-10.00	80.0	-8.00
04/11/2017	75500527	KALOS, PAUL PNC FINANCIAL SVCS GROUP INC	S	100,000.00	50.00	10.00	40.00	80.0	32.00
04/12/2017	75500527	KALOS, PAUL PNC FINANCIAL SVCS GROUP INC	B	116,820.00	50.00	10.00	40.00	80.0	32.00
04/11/2017	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	116,903.50	50.00	10.00	40.00	80.0	32.00
04/19/2017	75500529	KALOS, PAUL VANECK VECTORS ETF TR OIL SVCS ETF	B	49,776.80	50.00	10.00	40.00	80.0	32.00
04/20/2017	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	117,126.50	50.00	10.00	40.00	80.0	32.00
04/19/2017	75500747	MILNER, ELIZABETH VANECK VECTORS ETF TR OIL SVCS ETF	B	6,656.20	15.00	10.00	5.00	80.0	4.00
04/19/2017	75500767	HAMILTON, DOUGLAS VANECK VECTORS ETF TR OIL SVCS ETF	B	12,733.60	15.00	10.00	5.00	80.0	4.00
04/25/2017	75500814	KARLIN, RONALD ILLUMINA INC	S	18,142.36	75.00	10.00	65.00	80.0	52.00
04/25/2017	75500814	KARLIN, RONALD VALEANT PHARMACEUTICALS INTERNATIONAL	B	4,488.50	75.00	10.00	65.00	80.0	52.00
04/19/2017	75500816	KERN, DOUGLAS VANECK VECTORS ETF TR OIL SVCS ETF	B	74,665.20	50.00	10.00	40.00	80.0	32.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/07/2017	75500913 KARP, RONALD	MARINA BIOTECH INC	S	4,500.00	50.00	10.00	40.00	80.0	32.00
04/07/2017	75500913 KARP, RONALD	MARINA BIOTECH INC	B	-4,500.00	-50.00	-10.00	-40.00	80.0	-32.00 C
04/07/2017	75500913 KARP, RONALD	MARINA BIOTECH INC	S	4,500.00	50.00	10.00	40.00	80.0	32.00
04/19/2017	75500921 CZECH, ERIC	VANECK VECTORS ETF TR OIL SVCS ETF	B	24,888.40	25.00	10.00	15.00	80.0	12.00
04/20/2017	75500948 BIEL, LYNN	NEW JERSEY RESOURCES CORP	S	27,860.00	797.00	10.00	787.00	80.0	629.60
04/20/2017	75500948 BIEL, LYNN	PATTERN ENERGY GROUP INC CL A	B	27,790.10	305.00	10.00	295.00	80.0	236.00
04/19/2017	75500987 MILNER, NATHANIEL	VANECK VECTORS ETF TR OIL SVCS ETF	B	6,366.80	15.00	10.00	5.00	80.0	4.00
04/19/2017	75500998 B C PARTNERS RET PLAN	VANECK VECTORS ETF TR OIL SVCS ETF	B	49,776.80	0.00	10.00	-10.00	80.0	-8.00
04/10/2017	75501159 EISBERG, JAMES	EROS INTERNATIONAL PLC A ORDINARY S	B	2,700.00	25.00	10.00	15.00	80.0	12.00
04/10/2017	75501159 EISBERG, JAMES	EROS INTERNATIONAL PLC A ORDINARY S	B	4,475.00	25.00	10.00	15.00	80.0	12.00
04/17/2017	75501159 EISBERG, JAMES	EROS INTERNATIONAL PLC A ORDINARY S	B	4,080.00	25.00	10.00	15.00	80.0	12.00
04/11/2017	75501192 ALLEN GRAFSTEIN	FREEMPORT MCMORAN INC	S	40,800.00	90.00	10.00	80.00	80.0	64.00
04/21/2017	75501192 ALLEN GRAFSTEIN	PRUDENTIAL FINANCIAL INC	S	210,000.00	60.00	10.00	50.00	80.0	40.00
04/07/2017	75501341 GRAFSTEIN, LEO	CONSOLIDATED EDISON INC	B	78,009.90	50.00	10.00	40.00	80.0	32.00
04/12/2017	75501341 GRAFSTEIN, LEO	CISCO SYS INC	B	32,597.00	50.00	10.00	40.00	80.0	32.00
03/29/2017	QGW019929 KARP, JEFFREY	TEKLA LIFE SCIENCES SH BEN INT	S	-38,661.60	60.00	10.00	50.00	80.0	40.00
03/30/2017	QGW019929 KARP, JEFFREY	FIRST MAJESTIC SILVER CORP	B	15,739.80	60.00	10.00	50.00	80.0	40.00
03/30/2017	QGW019929 KARP, JEFFREY	TAHOE RES INC COM ISIN#CA8738681037	B	16,055.80	50.00	10.00	40.00	80.0	32.00
03/31/2017	QGW019929 KARP, JEFFREY	FIRST MAJESTIC SILVER CORP	B	16,035.00	60.00	10.00	50.00	80.0	40.00
03/31/2017	QGW019929 KARP, JEFFREY	TAHOE RES INC COM ISIN#CA8738681037	B	16,154.80	50.00	10.00	40.00	80.0	32.00
04/03/2017	QGW019929 KARP, JEFFREY	AK STEEL HOLDING CORP	B	14,073.00	60.00	10.00	50.00	80.0	40.00
04/03/2017	QGW019929 KARP, JEFFREY	ARCELORMITTAL SA LUXEMBOURG NY REGI	B	16,498.00	60.00	10.00	50.00	80.0	40.00
04/03/2017	QGW019929 KARP, JEFFREY	VANECK VECTORS ETF TR JR GOLD MINER	S	-18,265.05	50.00	10.00	40.00	80.0	32.00
04/04/2017	QGW019929 KARP, JEFFREY	FORTUNA SILVER MINES INC COM ISIN#C	B	16,041.60	60.00	10.00	50.00	80.0	40.00
04/05/2017	QGW019929 KARP, JEFFREY	TAHOE RES INC COM ISIN#CA8738681037	B	15,897.60	50.00	10.00	40.00	80.0	32.00
04/06/2017	QGW019929 KARP, JEFFREY	VALE S A SPONSORED ADR	B	19,074.00	50.00	10.00	40.00	80.0	32.00
04/07/2017	QGW019929 KARP, JEFFREY	FIRST MAJESTIC SILVER CORP	S	-35,960.00	80.00	10.00	70.00	80.0	56.00
04/07/2017	QGW019929 KARP, JEFFREY	ISHARES SILVER SHARES	S	-34,740.20	60.00	10.00	50.00	80.0	40.00
04/07/2017	QGW019929 KARP, JEFFREY	ISHARES SILVER SHARES	S	-34,747.00	60.00	10.00	50.00	80.0	40.00
04/13/2017	QGW019929 KARP, JEFFREY	AK STEEL HOLDING CORP	B	13,116.00	60.00	10.00	50.00	80.0	40.00
04/13/2017	QGW019929 KARP, JEFFREY	ARCELORMITTAL SA LUXEMBOURG NY REGI	B	15,016.00	60.00	10.00	50.00	80.0	40.00
04/13/2017	QGW019929 KARP, JEFFREY	ISHARES SILVER SHARES	S	-148,792.50	100.00	10.00	90.00	80.0	72.00
04/19/2017	QGW019929 KARP, JEFFREY	VANECK VECTORS ETF TR JR GOLD MINER	B	35,229.90	50.00	10.00	40.00	80.0	32.00
04/21/2017	QGW019929 KARP, JEFFREY	FORTUNA SILVER MINES INC COM ISIN#C	B	25,349.50	100.00	10.00	90.00	80.0	72.00
04/25/2017	QGW019929 KARP, JEFFREY	AK STEEL HOLDING CORP	B	14,076.40	60.00	10.00	50.00	80.0	40.00
04/25/2017	QGW019929 KARP, JEFFREY	FIRST MAJESTIC SILVER CORP	B	32,953.60	100.00	10.00	90.00	80.0	72.00
04/25/2017	QGW019929 KARP, JEFFREY	FIRST MAJESTIC SILVER CORP	B	32,072.00	100.00	10.00	90.00	80.0	72.00
04/25/2017	QGW019929 KARP, JEFFREY	GERDAU S A SPONSORED ADR	B	9,084.00	60.00	10.00	50.00	80.0	40.00

\* STOCKS SUBTOTAL \*

\$4,256,506.41

\$3,497.00

\$1,000.00

\$2,497.00

\$1,997.60

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
04/12/2017	75500527	KALOS, PAUL	CALL PNC	11/17/17 105	S	15,990.00	50.00	10.00	40.00 80.0 32.00
04/11/2017	75500529	KALOS, PAUL	CALL SPY	04/28/17 232.50	S	1,765.00	50.00	10.00	40.00 80.0 32.00
04/20/2017	75500529	KALOS, PAUL	PUT SPY	04/21/17 238	B	2,135.00	50.00	10.00	40.00 80.0 32.00
04/11/2017	75501192	ALLEN GRAFSTEIN	CALL PRU	04/21/17 105	S	3,300.00	60.00	10.00	50.00 80.0 40.00
<b>* OPTIONS SUBTOTAL *</b>						<b>\$23,190.00</b>	<b>\$210.00</b>	<b>\$40.00</b>	<b>\$170.00 \$136.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/11/2017	75500743	WARK, TIMOTHY	COLUMBIA SELIGMAN COMMUNICATIONS AN B			0.00	0.51	0.00	0.51 80.0 0.41
04/11/2017	75500743	WARK, TIMOTHY	PUTNAM 746802800		B	0.00	0.41	0.00	0.41 80.0 0.33
04/26/2017	75500743	WARK, TIMOTHY	**SARATOGA HEALTH AND		B	0.00	0.16	0.00	0.16 80.0 0.13
04/26/2017	75500749	WILLIAMS, ROBERT	**VICTORY PORTFOLIOS MUNDER		B	0.00	0.87	0.00	0.87 80.0 0.70
04/11/2017	75500765	DIMAGGIO, PHILLIP	PIM 018919704		B	0.00	1.67	0.00	1.67 80.0 1.34
04/11/2017	75500765	DIMAGGIO, PHILLIP	PIMCO TOTAL RETURN CLASS A		B	0.00	3.33	0.00	3.33 80.0 2.66
04/26/2017	75500765	DIMAGGIO, PHILLIP	**VICTORY PORTFOLIOS MUNDER		B	0.00	0.74	0.00	0.74 80.0 0.59
04/11/2017	75500766	HAMILTON, DOUGLAS	MFS EMERGING GROWTH FUND CLASS A		B	0.00	21.40	0.00	21.40 80.0 17.12
04/11/2017	75500779	DIPRIMA, ANTHONY	ROCH. LIMITED TERM NEW YORK MUNICI B		B	0.00	2.89	0.00	2.89 80.0 2.31
04/11/2017	75500779	DIPRIMA, ANTHONY	ROCHESTER FUND MUNICIPALS A		B	0.00	1.40	0.00	1.40 80.0 1.12
04/26/2017	75500779	DIPRIMA, ANTHONY	**VICTORY PORTFOLIOS MUNDER		B	0.00	0.72	0.00	0.72 80.0 0.58
04/11/2017	75500780	DIPRIMA, ANTHONY	THE GROWTH FUND OF AMERICA-A		B	0.00	2.12	0.00	2.12 80.0 1.70
04/11/2017	75500781	DIPRIMA, ANTHONY	THE GROWTH FUND OF AMERICA-A		B	0.00	3.27	0.00	3.27 80.0 2.62
04/18/2017	75500796	KARP, BETH	**HEARTLAND VALUE FUND INC		B	0.00	121.06	0.00	121.06 80.0 96.85
04/26/2017	75500801	LYONS, MARIA	**VICTORY PORTFOLIOS MUNDER		B	0.00	0.11	0.00	0.11 80.0 0.09
04/11/2017	75500826	HORN, LAWRENCE	**VIRTUS ENHANCED CORE EQUITY		B	0.00	5.28	0.00	5.28 80.0 4.22
04/11/2017	75500826	HORN, LAWRENCE	**VOYA EQUITY TR		B	0.00	4.60	0.00	4.60 80.0 3.68
04/11/2017	75500885	DEFRANCESCO, STEPHEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A B			0.00	0.80	0.00	0.80 80.0 0.64
04/11/2017	75500885	DEFRANCESCO, STEPHEN	COLUMBIA SELIGMAN COMMUNICATIONS AN B			0.00	1.85	0.00	1.85 80.0 1.48
04/11/2017	75500885	DEFRANCESCO, STEPHEN	INVESCO AMERICAN FRANCHISE FUND CLA B			0.00	2.38	0.00	2.38 80.0 1.90
04/26/2017	75500885	DEFRANCESCO, STEPHEN	**SARATOGA HEALTH AND		B	0.00	0.24	0.00	0.24 80.0 0.19
04/26/2017	75500885	DEFRANCESCO, STEPHEN	**VICTORY PORTFOLIOS MUNDER		B	0.00	0.51	0.00	0.51 80.0 0.41
04/18/2017	75500886	STRAUSS, HELENE	**HEARTLAND VALUE FUND INC		B	0.00	11.95	0.00	11.95 80.0 9.56
04/11/2017	75500942	KATEN, DOREEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A B			0.00	2.87	0.00	2.87 80.0 2.30
04/11/2017	75500942	KATEN, DOREEN	CAPITAL APPRECIATION A		B	0.00	3.48	0.00	3.48 80.0 2.78
04/11/2017	75500942	KATEN, DOREEN	FEDERATED INTERNATIONAL SMALL-COMPA B			0.00	3.73	0.00	3.73 80.0 2.98
04/11/2017	75500942	KATEN, DOREEN	JOHN HANCOCK FDS III STRATEGIC GROW B			0.00	5.54	0.00	5.54 80.0 4.43
04/11/2017	75500942	KATEN, DOREEN	JOHN HANCOCK FDS II NEW OPPORTUNITI B			0.00	3.50	0.00	3.50 80.0 2.80
04/26/2017	75500942	KATEN, DOREEN	**SARATOGA HEALTH AND		B	0.00	7.72	0.00	7.72 80.0 6.18
04/26/2017	75500942	KATEN, DOREEN	**VICTORY PORTFOLIOS MUNDER		B	0.00	4.95	0.00	4.95 80.0 3.96
04/11/2017	75500943	KATEN, DOREEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A B			0.00	0.37	0.00	0.37 80.0 0.30
04/11/2017	75500947	BIEL, LYNN	EHV 27826L322		B	0.00	20.96	0.00	20.96 80.0 16.77
04/11/2017	75500947	BIEL, LYNN	FRANKLIN NEW YORK TAX-FREE INCOME F B			0.00	19.69	0.00	19.69 80.0 15.75

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/11/2017	75500947	BIEL, LYNN	ROCHESTER FUND MUNICIPALS A	B	0.00	20.69	0.00	20.69	80.0	16.55
04/11/2017	75500947	BIEL, LYNN	WESTERN ASSET NEW YORK MUNICIPALS F B		0.00	15.75	0.00	15.75	80.0	12.60
04/11/2017	75500965	BLASIUS, MARK	EATON VANCE TAX MANAGED GLOBAL DIVI B		0.00	15.05	0.00	15.05	80.0	12.04
04/11/2017	75500965	BLASIUS, MARK	STRATEGIC INCOME A	B	0.00	37.31	0.00	37.31	80.0	29.85
04/11/2017	75500965	BLASIUS, MARK	US GLOBAL LEADERS GROWTH A	B	0.00	16.26	0.00	16.26	80.0	13.01
04/11/2017	75501063	GEVARYAHU, GILAD	AIM INTERMEDIATE GOVERNMENT FD - CL B		0.00	6.84	0.00	6.84	80.0	5.47
04/11/2017	75501070	TAYLOR, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	1.90	0.00	1.90	80.0	1.52
04/11/2017	75501087	MARK & CHARLES	AIM GLOBAL HEALTH CARE FUND CLASS A B		0.00	28.46	0.00	28.46	80.0	22.77
04/11/2017	75501087	MARK & CHARLES	EHV 277902813	B	0.00	16.64	0.00	16.64	80.0	13.31
04/11/2017	75501087	MARK & CHARLES	GOLDMAN SACHS TOLLKEEPER FUND CLASS B		0.00	59.40	0.00	59.40	80.0	47.52
04/26/2017	75501087	MARK & CHARLES	**VICTORY PORTFOLIOS	B	0.00	27.97	0.00	27.97	80.0	22.38
04/11/2017	75501097	CARMAN, JOSEPH	FEDERATED MID CAP GROWTH STRATEGIES B		0.00	3.25	0.00	3.25	80.0	2.60
04/11/2017	75501097	CARMAN, JOSEPH	FEDERATED INTERNATIONAL SMALL-COMPA B		0.00	2.46	0.00	2.46	80.0	1.97
04/11/2017	75501135	BIJARCHI, T MATTHEW	CALAMOS GROWTH AND INCOME CLASS A	B	0.00	25.26	0.00	25.26	80.0	20.21
04/11/2017	75501135	BIJARCHI, T MATTHEW	CALAMOS GROWTH FUND CLASS A	B	0.00	8.91	0.00	8.91	80.0	7.13
04/11/2017	75501341	GRAFSTEIN, LEO	PUTNAM 746809102	B	0.00	5.77	0.00	5.77	80.0	4.62
04/26/2017	75502097	DAVID OATES ADMIN	**SARATOGA HEALTH AND	B	0.00	0.05	0.00	0.05	80.0	0.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$553.05	\$0.00	\$553.05	\$442.47	
*** BROKER TRANSACTIONS TOTAL ***					\$4,310,640.83	\$4,335.05	\$1,040.00	\$3,295.05	\$2,636.07	

**ADJUSTMENTS**

04/30/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
04/30/2017	OTHER: OTHER-QUEST	0.00	0.00	0.00	0.00	-80.00
04/30/2017	OTHER: OTHER-FICA	0.00	0.00	0.00	0.00	-475.45
04/30/2017	OTHER: OTHER-MISC TAX	0.00	0.00	0.00	0.00	-25.93
04/30/2017	OTHER: OTHER-IRENE	0.00	0.00	0.00	0.00	1,214.55
04/30/2017	OTHER: OTHER	0.00	0.00	0.00	0.00	5,460.65
04/30/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-12.00
04/30/2017	OTHER: THOMSON	0.00	0.00	0.00	0.00	-112.86
04/30/2017	OTHER: WORKMANS COMPENSATION INSURA	0.00	0.00	0.00	0.00	-136.00
04/30/2017	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00	-55.66
04/30/2017	:	0.00	0.00	0.00	0.00	0.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$5,757.30

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,636.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$5,757.30
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.42
SIPC Assessment	\$-81.28

<b>Check Amount</b>	<b>\$8,306.67</b>
<b>Year-to-date Earnings</b>	<b>\$14,823.10</b>