

STATEMENT

March 20, 2018

LPCM HOUSE

BROKER #: 3ZZH

BRANCH: LIBERTY PARTNERS CAP

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COMMISSION STATEMENT for LPCM HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

01/01/0018	#87706954	NICHOLSON, JAMES	ENVESTNET FIRM FEE	0.00	-1.30	100.0	0.00	-1.30	0.00	-1.30
01/01/0018	#87706988	PLOEN, ALLISON	ENVESTNET FIRM FEE	0.00	8.05	100.0	0.00	8.05	0.00	8.05
01/02/0018	#87705915	MILLER, DAVID	ENVESTNET FIRM FEE	0.00	-8.66	100.0	0.00	-8.66	0.00	-8.66
01/02/0018	#87706897		ENVESTNET FIRM FEE	0.00	-3.25	100.0	0.00	-3.25	0.00	-3.25
01/02/0018	#87707008	DAVENPORT, BLAKE	ENVESTNET FIRM FEE	0.00	2.39	100.0	0.00	2.39	0.00	2.39
01/02/0018	#87706976	MARIANO, RUSSELL	ENVESTNET FIRM FEE	0.00	18.23	100.0	0.00	18.23	0.00	18.23
01/03/0018	#87705899	GIRTLEY, ONDRELL	ENVESTNET FIRM FEE	0.00	-27.67	100.0	0.00	-27.67	0.00	-27.67
01/03/0018	#87706921	CHU, WILLIAM	ENVESTNET FIRM FEE	0.00	24.38	100.0	0.00	24.38	0.00	24.38
01/04/0018	#87707103		ENVESTNET FIRM FEE	0.00	3.33	100.0	0.00	3.33	0.00	3.33
01/04/0018	#87707102		ENVESTNET FIRM FEE	0.00	4.58	100.0	0.00	4.58	0.00	4.58
01/04/0018	#87706613	YORK, CHARLES	ENVESTNET FIRM FEE	0.00	-3.43	100.0	0.00	-3.43	0.00	-3.43
01/04/0018	#87707079	ALTMAN, CONNIE	ENVESTNET FIRM FEE	0.00	5.40	100.0	0.00	5.40	0.00	5.40
01/04/0018	#87707081	DITTMANN, WALTER	ENVESTNET FIRM FEE	0.00	3.18	100.0	0.00	3.18	0.00	3.18
01/04/0018	#87707080	DITTMANN, TERESE	ENVESTNET FIRM FEE	0.00	8.27	100.0	0.00	8.27	0.00	8.27
01/04/0018	#87707012	DOULOS, MICHAEL	ENVESTNET FIRM FEE	0.00	3.07	100.0	0.00	3.07	0.00	3.07
01/04/0018	#87707075	GALLO, SEBASTIANO	ENVESTNET FIRM FEE	0.00	22.59	100.0	0.00	22.59	0.00	22.59
01/04/0018	#87707076	GALLO, SONNIA	ENVESTNET FIRM FEE	0.00	5.10	100.0	0.00	5.10	0.00	5.10
01/04/0018	#87707034	PICCIONE,	ENVESTNET FIRM FEE	0.00	8.08	100.0	0.00	8.08	0.00	8.08
01/05/0018	#87706926	MILLER, DANIEL	ENVESTNET FIRM FEE	0.00	51.62	100.0	0.00	51.62	0.00	51.62
01/05/0018	#87707037	SCHNECK, LINDA	ENVESTNET FIRM FEE	0.00	3.18	100.0	0.00	3.18	0.00	3.18
01/05/0018	#87707089	WALDRIP, DEBRA	ENVESTNET FIRM FEE	0.00	8.56	100.0	0.00	8.56	0.00	8.56
01/07/0018	#87706631	TUMLIN, THOMAS	ENVESTNET FIRM FEE	0.00	-4.36	100.0	0.00	-4.36	0.00	-4.36
01/07/0018	#87706970	MARIANO, DANIELLE	ENVESTNET FIRM FEE	0.00	18.98	100.0	0.00	18.98	0.00	18.98
01/08/0018	#87706192	TREVIZO, DIDIER	ENVESTNET FIRM FEE	0.00	-4.00	100.0	0.00	-4.00	0.00	-4.00
01/09/0018	#87706507	WARE, WILLIAM	ENVESTNET FIRM FEE	0.00	-13.07	100.0	0.00	-13.07	0.00	-13.07
01/09/0018	#87706920	CHU, WILLIAM	ENVESTNET FIRM FEE	0.00	49.32	100.0	0.00	49.32	0.00	49.32
01/09/0018	#87706986	EDWARDS, HAROLD	ENVESTNET FIRM FEE	0.00	6.93	100.0	0.00	6.93	0.00	6.93
01/09/0018	#87707124		ENVESTNET FIRM FEE	0.00	2.12	100.0	0.00	2.12	0.00	2.12

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
01/09/0018	#87707021	PARKER-KELLY,	ENVESTNET FIRM FEE	0.00	6.25	100.0	0.00	6.25	0.00	6.25
01/09/0018	#87706926	MILLER, DANIEL	ENVESTNET FIRM FEE	0.00	5.83	100.0	0.00	5.83	0.00	5.83
01/30/0201	#87705896	MUOLO, RICHARD	ENVESTNET FIRM FEE	0.00	1.99	100.0	0.00	1.99	0.00	1.99
01/30/0201	#87706979	EILEFSON, KYLE	ENVESTNET FIRM FEE	0.00	6.46	100.0	0.00	6.46	0.00	6.46
01/02/2018	#87706479	DAMIAN, KEVIN	ENVESTNET FIRM FEE	0.00	-6.50	100.0	0.00	-6.50	0.00	-6.50
01/05/2018	#87706509	WARE, WILLIAM	ENVESTNET FIRM FEE	0.00	-3.37	100.0	0.00	-3.37	0.00	-3.37
01/09/2018	#87706573	ARVIZU, ROBERT	ENVESTNET FIRM FEE	0.00	-24.00	100.0	0.00	-24.00	0.00	-24.00
01/09/2018	#87706574	ARVIZU, ROBERT	ENVESTNET FIRM FEE	0.00	-43.27	100.0	0.00	-43.27	0.00	-43.27
01/09/2018	#87706682	HARGETT, ROSEMARIE	ENVESTNET FIRM FEE	0.00	-47.62	100.0	0.00	-47.62	0.00	-47.62
03/01/2018	#564157	TCA HOUSE VAR	LPCM MOD 30/30/40	29.00	49.65	100.0	0.00	49.65	0.00	49.65
03/01/2018	#564157	TCA HOUSE VAR	TCA PLATFORM FEES	29.00	-14.90	100.0	0.00	-14.90	0.00	-14.90
* RIA SUBTOTAL *				\$58.00	\$122.14		\$0.00	\$122.14	\$0.00	\$122.14
*** BROKER TRANSACTIONS TOTAL ***				\$58.00	\$122.14		\$0.00	\$122.14	\$0.00	\$122.14

BROKER COMMISSION TOTALS

Broker Transactions	\$122.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$122.14
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