

COMMISSION STATEMENT

June 09, 2017

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #: 11

BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/12/2017	QGN005159	HEALTHCARE, F/A/O							
		BIOCRYS T PHARMACEUTICALS INC	B	382,850.00	1,300.00	0.00	1,300.00	55.0	0.00
05/01/2017	QGV006075	PARTNERS, F/A/O:							
		ORCHIDS PAPER PRODS CO DEL	B	36,600.00	80.00	0.00	80.00	55.0	0.00
05/04/2017	QGV006075	PARTNERS, F/A/O:							
		USANA HEALTH SCIENCES INC CDT-SHS	B	92,269.95	60.00	0.00	60.00	55.0	0.00
05/19/2017	QGV006075	PARTNERS, F/A/O:							
		PTC INC COM	B	81,524.82	60.00	0.00	60.00	55.0	0.00
05/01/2017	QGV006117	UBS SECURITIES LLC							
		WESTERN URANIUM CORP SHS ISIN#CA959 B		55,716.11	1,329.00	0.00	1,329.00	55.0	0.00
04/28/2017	QGW031130	KOPIN, BENJAMIN							
		FIRST MERCHANTS CORP MUNCIE INDIANA S		-17,957.07	51.00	0.00	51.00	55.0	0.00
* STOCKS SUBTOTAL *				\$631,003.81	\$2,880.00	\$0.00	\$2,880.00		\$0.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
05/04/2017	QGW034852	HOLMES, MEGAN							
		PUT 100 PROSHARES TR II ULTA BLOOMB S		-1,750.00	75.00	0.00	75.00	55.0	0.00
* OPTIONS SUBTOTAL *				\$-1,750.00	\$75.00	\$0.00	\$75.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***				\$629,253.81	\$2,955.00	\$0.00	\$2,955.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.69
SIPC Assessment	\$-55.41

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-59.10
Year-to-date Earnings	\$7,737.72