



All Companies
COMMISSION STATEMENT
August 15, 2018

08/14/2018
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JOHN HOWARD RUSSELL
4618 118TH STREET

BROKER #: 9632
BRANCH: HOME OFFICE

Lubbock, TX 79424-

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/25/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD	GROWT B	200.00	2.40	0.00	2.40	85.0	2.04
07/25/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD	GROWT B	86.64	1.04	0.00	1.04	85.0	0.88
07/25/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	INVES B	200.00	2.40	0.00	2.40	85.0	2.04
07/25/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	INVES B	86.63	1.04	0.00	1.04	85.0	0.88
07/25/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC	GROWT B	115.38	1.38	0.00	1.38	85.0	1.17
07/25/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC	GROWT B	115.38	1.38	0.00	1.38	85.0	1.17
07/25/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	115.38	1.38	0.00	1.38	85.0	1.17
07/25/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	115.38	1.38	0.00	1.38	85.0	1.17
07/25/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	118.88	1.43	0.00	1.43	85.0	1.22
07/25/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	118.88	1.43	0.00	1.43	85.0	1.22
07/25/2018	00074669049	BLACKBURN, CURTIS	AMERICAN FUNDS AMCAP FD	B	163.51	1.96	0.00	1.96	85.0	1.67
		D								
07/25/2018	00074669049	BLACKBURN, CURTIS	AMERICAN FUNDS AMCAP FD	B	163.51	1.96	0.00	1.96	85.0	1.67
		D								
07/25/2018	00074669049	BLACKBURN, CURTIS	AMERICAN FUNDS CAPITAL INCOME BUILD B		65.40	0.78	0.00	0.78	85.0	0.66
		D								
07/25/2018	00074669049	BLACKBURN, CURTIS	AMERICAN FUNDS CAPITAL INCOME BUILD B		65.40	0.78	0.00	0.78	85.0	0.66
		D								
07/25/2018	00074669049	BLACKBURN, CURTIS	AMERICAN FUNDS CAPITAL WORLD	GROWT B	98.11	1.18	0.00	1.18	85.0	1.00
		D								
07/25/2018	00074669049	BLACKBURN, CURTIS	AMERICAN FUNDS CAPITAL WORLD	GROWT B	98.11	1.18	0.00	1.18	85.0	1.00
		D								
07/25/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS AMCAP FD	B	162.85	1.95	0.00	1.95	85.0	1.66
07/25/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS AMCAP FD	B	48.85	0.59	0.00	0.59	85.0	0.50
07/25/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL INCOME BUILD B		122.13	1.47	0.00	1.47	85.0	1.25
07/25/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL INCOME BUILD B		36.64	0.44	0.00	0.44	85.0	0.37
07/25/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL WORLD	GROWT B	122.13	1.47	0.00	1.47	85.0	1.25
07/25/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL WORLD	GROWT B	36.64	0.44	0.00	0.44	85.0	0.37
07/23/2018	00083499515	SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN B		200.00	2.00	0.00	2.00	85.0	1.70
08/03/2018	00087214046	WATSON,	CAPITAL WORLD GROWTH AND INCOME FUN B		25.00	0.25	0.00	0.25	85.0	0.21
		CROSBY/BETSY								

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/27/2018	00088263914	GREESON, JOHN D.		CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14 85.0 0.12
07/27/2018	00088263914	GREESON, JOHN D.		CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14 85.0 0.12
07/27/2018	00088263914	GREESON, JOHN D.		EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28 85.0 0.24
07/27/2018	00088263914	GREESON, JOHN D.		EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28 85.0 0.24
07/27/2018	00088263934	DUNN, JOSEPH C.		FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38 85.0 0.32
07/27/2018	00088263934	DUNN, JOSEPH C.		FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38 85.0 0.32
07/27/2018	00088263934	DUNN, JOSEPH C.		NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38 85.0 0.32
07/27/2018	00088263934	DUNN, JOSEPH C.		NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38 85.0 0.32
07/27/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
07/27/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
07/27/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
07/27/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
07/27/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
07/27/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
07/27/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
07/27/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
07/27/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
07/27/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
07/27/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
07/27/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
07/27/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
07/27/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
07/27/2018	00088270587	MILLER, CLIFFORD		THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22 85.0 0.19
07/27/2018	00088270587	MILLER, CLIFFORD		THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22 85.0 0.19
07/27/2018	00088272149	DUNN, BECKY		CAPITAL INCOME BUILDER-C	B	4.36	0.04	0.00	0.04 85.0 0.03
07/27/2018	00088272149	DUNN, BECKY		CAPITAL INCOME BUILDER-C	B	15.00	0.15	0.00	0.15 85.0 0.13
07/27/2018	00088272149	DUNN, BECKY		THE BOND FUND OF AMERICA-C	B	15.00	0.15	0.00	0.15 85.0 0.13
07/27/2018	00088272149	DUNN, BECKY		THE BOND FUND OF AMERICA-C	B	4.35	0.04	0.00	0.04 85.0 0.03
07/27/2018	00088272160	DUNN, CHESTER R.		SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14 85.0 0.97
07/27/2018	00088272160	DUNN, CHESTER R.		SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14 85.0 0.97
07/27/2018	00088495479	SECHRIST, STEVE		CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07 85.0 0.06
07/27/2018	00088495479	SECHRIST, STEVE		CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07 85.0 0.06
07/27/2018	00088495479	SECHRIST, STEVE		CAPITAL WORLD GROWTH AND INCOME FUN B	B	13.88	0.14	0.00	0.14 85.0 0.12
07/27/2018	00088495479	SECHRIST, STEVE		CAPITAL WORLD GROWTH AND INCOME FUN B	B	13.87	0.14	0.00	0.14 85.0 0.12
07/27/2018	00088495479	SECHRIST, STEVE		THE GROWTH FUND OF AMERICA-C	B	6.93	0.07	0.00	0.07 85.0 0.06
07/27/2018	00088495479	SECHRIST, STEVE		THE GROWTH FUND OF AMERICA-C	B	6.94	0.07	0.00	0.07 85.0 0.06

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
07/27/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
07/27/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
07/27/2018	00089144953	RODRIGUEZ, SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
	JOHNATAN								
07/27/2018	00089144953	RODRIGUEZ, SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
	JOHNATAN								
07/25/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS FUNDAMENTAL	INVES B	91.73	1.10	0.00	1.10	85.0	0.94
07/25/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS FUNDAMENTAL	INVES B	59.73	0.72	0.00	0.72	85.0	0.61
07/25/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS NEW ECONOMY FD	B	91.73	1.10	0.00	1.10	85.0	0.94
07/25/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS NEW ECONOMY FD	B	59.73	0.72	0.00	0.72	85.0	0.61
07/27/2018	00089680678	CHAMPION, AUBREY THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
	J.								
07/27/2018	00089680678	CHAMPION, AUBREY THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
	J.								
07/25/2018	04000150405	BLAKE, MATTHEW THE GROWTH FUND OF AMERICA-C	B	153.00	1.53	0.00	1.53	85.0	1.30
07/25/2018	04000150405	BLAKE, MATTHEW THE GROWTH FUND OF AMERICA-C	B	153.00	1.53	0.00	1.53	85.0	1.30
07/27/2018	04000175390	MCADAMS, NICHOLAS THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
	W.								
07/27/2018	04000175390	MCADAMS, NICHOLAS THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
	W.								
07/23/2018	04000273061	TORREZ, HEATHER FUNDAMENTAL INVESTORS-529C	B	375.00	3.75	0.00	3.75	85.0	3.19
07/27/2018	04000365188	BISHOP, JILL R. NEW PERSPECTIVE FUND-C	B	18.14	0.18	0.00	0.18	85.0	0.15
07/27/2018	04000365188	BISHOP, JILL R. NEW PERSPECTIVE FUND-C	B	18.14	0.18	0.00	0.18	85.0	0.15
07/27/2018	04000531880	SOLIZ, OSCAR CAPITAL WORLD GROWTH AND INCOME FUN	B	23.10	0.23	0.00	0.23	85.0	0.20
07/27/2018	04000531880	SOLIZ, OSCAR CAPITAL WORLD GROWTH AND INCOME FUN	B	23.10	0.23	0.00	0.23	85.0	0.20
07/25/2018	04000623745	BLAKE, DAVID THE GROWTH FUND OF AMERICA-C	B	165.00	1.65	0.00	1.65	85.0	1.40
07/25/2018	04000623745	BLAKE, DAVID THE GROWTH FUND OF AMERICA-C	B	165.00	1.65	0.00	1.65	85.0	1.40
07/27/2018	04000660400	TAYLOR, ROBERT T. AMERICAN FUNDS AMERICAN MUTUAL FD	B	13.26	0.36	0.00	0.36	85.0	0.31
07/27/2018	04000660400	TAYLOR, ROBERT T. AMERICAN FUNDS AMERICAN MUTUAL FD	B	13.26	0.36	0.00	0.36	85.0	0.31
07/25/2018	04000695668	GILBREATH, LAURA AMERICAN FUNDS FUNDAMENTAL	INVES B	100.00	1.20	0.00	1.20	85.0	1.02
	D.								
07/25/2018	04000695668	GILBREATH, LAURA AMERICAN FUNDS FUNDAMENTAL	INVES B	82.07	0.98	0.00	0.98	85.0	0.83
	D.								
07/27/2018	04000993983	FITTS, DOUGLAS AMERICAN FUNDS NEW ECONOMY FD	B	5.00	0.14	0.00	0.14	85.0	0.12
07/27/2018	04000993983	FITTS, DOUGLAS AMERICAN FUNDS NEW ECONOMY FD	B	5.00	0.14	0.00	0.14	85.0	0.12
* MUTUAL FUNDS SUBTOTAL *				\$5,375.71	\$60.34	\$0.00	\$60.34		\$51.29

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/15/2018 TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES	0.00	3.37	0.00	3.37	85.0	2.86
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$3.37	\$0.00	\$3.37		\$2.86
	*** BROKER TRANSACTIONS TOTAL ***	\$5,375.71	\$63.71	\$0.00	\$63.71		\$54.15

ADJUSTMENTS

08/15/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
08/15/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$54.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-73.35
Year-to-date Earnings	\$36,380.45