

**COMMISSION STATEMENT****May 15, 2018**

A132 - HERB/FRED ALSTON/CHASALOW

BROKER #: A132

BRANCH: HERBERT ALSTON

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^04/12/2018	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	8.08	0.00	8.08	100.0	0.00
^04/12/2018	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	1.20	0.00	1.20	100.0	0.00
^04/12/2018	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	4.93	0.00	4.93	100.0	0.00
^04/27/2018	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	14.59	0.00	14.59	100.0	0.00
^04/27/2018	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.23	0.00	7.23	100.0	0.00
^04/27/2018	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.23	0.00	7.23	100.0	0.00
^04/27/2018	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.10	0.00	4.10	100.0	0.00
^04/27/2018	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.82	0.00	5.82	100.0	0.00
^04/27/2018	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.94	0.00	1.94	100.0	0.00
^04/27/2018	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.93	0.00	2.93	100.0	0.00
^04/20/2018	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	1.27	0.00	1.27	100.0	0.00
^04/20/2018	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	3.02	0.00	3.02	100.0	0.00
^04/20/2018	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	11.89	0.00	11.89	100.0	0.00
^04/24/2018	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	2.17	0.00	2.17	100.0	0.00
^04/10/2018	COHEN, JOEL	WILLISTON BASIN/MID- NORTH AMERICA	0.00	7.55	0.00	7.55	100.0	0.00
^04/10/2018	MCDONALD, MARY	WILLISTON BASIN/MID- NORTH AMERICA	0.00	5.79	0.00	5.79	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$89.74	\$0.00	\$89.74		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$89.74	\$0.00	\$89.74		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00