

COMMISSION STATEMENT  
May 10, 2018

TRACY CUDA  
3200 N. Central Ave  
Suite 200  
Phoenix, AZ 85012

BROKER #: 73670  
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: CUE Financial Group, Inc

ADJUSTMENTS

PRIOR PERIOD BALANCE(SEE BELOW)							0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$-5.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-5.00
Year-to-date Earnings	\$0.00

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities AmericaBROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

05/10/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-100.00	0.00	-100.00	-36.55
05/10/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	139.88	0.00	139.88	51.13
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$39.88</u>	<u>\$0.00</u>	<u>\$39.88</u>	<u>\$14.58</u>

PRODUCT CATEGORY: TRAILERS

05/10/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	63,135.62	0.00	63,135.62	23,076.07
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$63,135.62</u>	<u>\$0.00</u>	<u>\$63,135.62</u>	<u>\$23,076.07</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$63,175.50</u>	<u>\$0.00</u>	<u>\$63,175.50</u>	<u>\$23,090.65</u>

OVERRIDE TRANSACTIONSBROKER: JODI GALLOVICH #73712

05/10/2018	GALLOVICH (73712), JODI	SAI PERSHING CHARGES	0.00	-12.00	0.00	-12.00	-1.61
05/10/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	29.52	0.00	29.52	3.97
05/10/2018	GALLOVICH (73712), JODI	SAI.TRAILS	0.00	6,387.57	0.00	6,387.57	859.13
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$6,405.09</u>	<u>\$0.00</u>	<u>\$6,405.09</u>	<u>\$861.49</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$6,405.09</u>	<u>\$0.00</u>	<u>\$6,405.09</u>	<u>\$861.49</u>

ADJUSTMENTS

2. MONTHLY SVC & TECHNOLOGY FEE	-227.50
9. MONTHLY ADMIN SVC FEE	-25.00
15. SAI SIPC PE 4/27/18	-1.70
*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>

<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-254.20</u>
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COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$23,090.65
							Split Transactions \$0.00
							Override Transactions \$861.49
							Adjustments \$-254.20
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$23,697.94</u>
							<u>Year-to-date Earnings \$184,982.30</u>