

COMMISSION STATEMENT

June 15, 2018

BRIAN MAPES-D040

BROKER #: D040

BRANCH: DMN

, TX

COMMISSION STATEMENT for BRIAN MAPES-D040

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
05/31/2018 (None)	NELSOMELIM	MFT JOHN HANCOCK FREEDOM	B	0.00	1.29	0.00	1.29	85.0	1.10
05/31/2018 (None)	NELSOMELIM	MFT JOHN HANCOCK FREEDOM	B	0.00	1.77	0.00	1.77	85.0	1.50
05/31/2018 (None)	SENSEANNM	MFT JOHN HANCOCK FREEDOM	B	0.00	14.74	0.00	14.74	85.0	12.53
05/31/2018 (None)	THOMALYNNA	MFT JOHN HANCOCK FREEDOM	B	0.00	27.78	0.00	27.78	85.0	23.61
05/31/2018 (None)	SENSEANNM	MFT JOHN HANCOCK FREEDOM	B	0.00	25.24	0.00	25.24	85.0	21.45
06/01/2018 00059451643	POSTEMA, GREG	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.42	0.00	4.42	85.0	3.76
06/01/2018 00062179219	SCOBIE, DAVID	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	171.26	0.00	171.26	85.0	145.57
06/01/2018 00062645628	DURANT, FAYE	MFT AMERICAN BALANCED FUND-A	B	0.00	1.17	0.00	1.17	85.0	0.99
06/01/2018 00062645628	DURANT, FAYE	MFT NEW PERSPECTIVE FUND-A	B	0.00	86.87	0.00	86.87	85.0	73.84
06/01/2018 00062645628	DURANT, FAYE	MFT THE BOND FUND OF AMERICA-A	B	0.00	31.87	0.00	31.87	85.0	27.09
06/01/2018 00062645628	DURANT, FAYE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.26	0.00	1.26	85.0	1.07
06/01/2018 00062645628	DURANT, FAYE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	79.96	0.00	79.96	85.0	67.97
06/01/2018 00062649303	HOSIE, LINDA	MFT NEW PERSPECTIVE FUND-A	B	0.00	19.71	0.00	19.71	85.0	16.75
06/01/2018 00062649303	HOSIE, LINDA	MFT THE BOND FUND OF AMERICA-A	B	0.00	7.54	0.00	7.54	85.0	6.41
06/01/2018 00062649303	HOSIE, LINDA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	14.68	0.00	14.68	85.0	12.48
06/01/2018 00064196399	LAMPEN, WAYNE	MFT CAPITAL INCOME BUILDER-A	B	0.00	43.13	0.00	43.13	85.0	36.66
06/01/2018 00064196399	LAMPEN, WAYNE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	41.64	0.00	41.64	85.0	35.39
06/01/2018 00064196399	LAMPEN, WAYNE	MFT NEW PERSPECTIVE FUND-A	B	0.00	111.58	0.00	111.58	85.0	94.84
06/01/2018 00064196399	LAMPEN, WAYNE	MFT THE BOND FUND OF AMERICA-A	B	0.00	6.15	0.00	6.15	85.0	5.23
06/01/2018 00064266498	SCOBIE, MAUREEN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	20.95	0.00	20.95	85.0	17.81
06/01/2018 00064736599	LAMPEN, JANE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.59	0.00	7.59	85.0	6.45
06/01/2018 00064736599	LAMPEN, JANE	MFT INTERMEDIATE BOND FUND OF	B	0.00	6.27	0.00	6.27	85.0	5.33
06/01/2018 00064736599	LAMPEN, JANE	MFT NEW PERSPECTIVE FUND-A	B	0.00	15.46	0.00	15.46	85.0	13.14
06/01/2018 00064736599	LAMPEN, JANE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	20.33	0.00	20.33	85.0	17.28
06/01/2018 00067037359	DURANT, DONNA	MFT BALANCED PORTFOLIO-A	B	0.00	86.61	0.00	86.61	85.0	73.62
06/01/2018 00069227886	MAKLEY, KENNETH	MFT CAPITAL INCOME BUILDER-A	B	0.00	50.15	0.00	50.15	85.0	42.63
06/01/2018 00069227886	MAKLEY, KENNETH	MFT INTERMEDIATE BOND FUND OF	B	0.00	7.10	0.00	7.10	85.0	6.04
06/01/2018 00069227886	MAKLEY, KENNETH	MFT THE BOND FUND OF AMERICA-A	B	0.00	13.86	0.00	13.86	85.0	11.78
06/01/2018 00069227886	MAKLEY, KENNETH	MFT THE INVESTMENT COMPANY OF	B	0.00	52.69	0.00	52.69	85.0	44.79
06/01/2018 00069227886	MAKLEY, KENNETH	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	49.64	0.00	49.64	85.0	42.19
06/01/2018 00074496610	BALLETT, WENDY	MFT AMERICAN BALANCED FUND-A	B	0.00	5.49	0.00	5.49	85.0	4.67

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06/01/2018 00074496610	BALLETT, WENDY	MFT AMERICAN MUTUAL FUND-A	B	0.00	5.83	0.00	5.83	85.0	4.96
06/01/2018 00074496610	BALLETT, WENDY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.97	0.00	5.97	85.0	5.07
06/01/2018 00074496610	BALLETT, WENDY	MFT THE BOND FUND OF AMERICA-A	B	0.00	3.29	0.00	3.29	85.0	2.80
06/01/2018 00075506553	ROBIN L MCENROE	MFT AMCAP FUND-A	B	0.00	20.00	0.00	20.00	85.0	17.00
06/01/2018 00075506553	ROBIN L MCENROE	MFT AMERICAN BALANCED FUND-A	B	0.00	21.45	0.00	21.45	85.0	18.23
06/01/2018 00075506553	ROBIN L MCENROE	MFT CAPITAL INCOME BUILDER-A	B	0.00	18.89	0.00	18.89	85.0	16.06
06/01/2018 00075506553	ROBIN L MCENROE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	21.95	0.00	21.95	85.0	18.66
06/01/2018 00075506553	ROBIN L MCENROE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.36	0.00	7.36	85.0	6.26
06/01/2018 00083486530	POST, CARL	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.75	0.00	0.75	85.0	0.64
06/01/2018 00083486530	POST, CARL	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.57	0.00	1.57	85.0	1.33
06/01/2018 00083486530	POST, CARL	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.07	0.00	2.07	85.0	1.76
06/01/2018 00083486530	POST, CARL	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.94	0.00	0.94	85.0	0.80
06/01/2018 00083589509	MAPES, LAURA	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	77.55	0.00	77.55	85.0	65.92
06/01/2018 00083589509	MAPES, LAURA	MFT CAPITAL INCOME BUILDER-F1	B	0.00	2.98	0.00	2.98	85.0	2.53
06/01/2018 00084586701	REV	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	17.99	0.00	17.99	85.0	15.29
06/01/2018 00084586701	REV	MFT CAPITAL WORLD BOND FUND-C	B	0.00	35.25	0.00	35.25	85.0	29.96
06/01/2018 00084586701	REV	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	30.28	0.00	30.28	85.0	25.74
06/01/2018 00084586701	REV	MFT U.S. GOVERNMENT SECURITIES	B	0.00	37.17	0.00	37.17	85.0	31.59
06/01/2018 00087568332	MCCLINTIC, JUDITH	MFT AMERICAN BALANCED FUND-A	B	0.00	5.63	0.00	5.63	85.0	4.79
06/01/2018 00088629055	VENDEVILLE, MARY	MFT INCOME PORTFOLIO-C	B	0.00	26.63	0.00	26.63	85.0	22.64
06/01/2018 00088722941	POST, DAELYN	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.23	0.00	3.23	85.0	2.75
06/01/2018 00088722941	POST, DAELYN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.78	0.00	3.78	85.0	3.21
06/01/2018 00088722941	POST, DAELYN	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.27	0.00	4.27	85.0	3.63
06/01/2018 00088722941	POST, DAELYN	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	2.33	0.00	2.33	85.0	1.98
06/01/2018 00089332789	RICHARD L &	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	8.15	0.00	8.15	85.0	6.93
06/01/2018 00089332789	RICHARD L &	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.07	0.00	7.07	85.0	6.01
06/01/2018 00089332789	RICHARD L &	MFT SMALLCAP WORLD FUND-A	B	0.00	6.66	0.00	6.66	85.0	5.66
06/01/2018 00089332789	RICHARD L &	MFT THE BOND FUND OF AMERICA-A	B	0.00	3.90	0.00	3.90	85.0	3.32
06/01/2018 00089332824	MELANIE FISHER	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	8.15	0.00	8.15	85.0	6.93
06/01/2018 00089332824	MELANIE FISHER	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.07	0.00	7.07	85.0	6.01
06/01/2018 00089332824	MELANIE FISHER	MFT SMALLCAP WORLD FUND-A	B	0.00	6.66	0.00	6.66	85.0	5.66
06/01/2018 00089332824	MELANIE FISHER	MFT THE BOND FUND OF AMERICA-A	B	0.00	3.90	0.00	3.90	85.0	3.32
06/01/2018 00089433882	BONNIE B DUMLAO	MFT RTMT INCOME PORTFOLIO	B	0.00	68.07	0.00	68.07	85.0	57.86
06/01/2018 00089525946	HAROLD M JOHNSON	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	23.39	0.00	23.39	85.0	19.88
06/01/2018 04000486249	LAMPEN, WAYNE	MFT RTMT INCOME PORTFOLIO	B	0.00	11.71	0.00	11.71	85.0	9.95

*** MUTUAL FUND 12B1 TRAILS SUBTOTAL *****\$0.00****\$1,540.09****\$0.00****\$1,540.09****\$1,309.10****PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS**

05/31/2018 (None)	MARTIN	VAT SAMMONS	B	0.00	6.22	0.00	6.22	85.0	5.29
06/01/2018 (None)	VARIOUS, MAPES	VAT MANULIFE	B	0.00	1,854.81	0.00	1,854.81	85.0	1,576.59
06/04/2018 (None)	THOMAN	VAT SAMMONS	B	0.00	49.72	0.00	49.72	85.0	42.26
06/06/2018 (None)	GENTILIA	VAT SAMMONS	B	0.00	6.00	0.00	6.00	85.0	5.10
06/06/2018 (None)	CLAPP	VAT SAMMONS	B	0.00	13.54	0.00	13.54	85.0	11.51
06/05/2018 VR03083686	HERCIK	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	455.28	0.00	455.28	85.0	386.99

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		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$2,385.57	\$0.00	\$2,385.57		\$2,027.74
		*** DIRECT TRANSACTIONS TOTAL ***		\$0.00	\$3,925.66	\$0.00	\$3,925.66		\$3,336.84

BROKER COMMISSION TOTALS

Broker Transactions	\$3,336.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,336.84
Year-to-date Commissions	\$21,206.18