

COMMISSION STATEMENT

October 31, 2017

JAY TOMLINSON
474 GREGORY AVENUEBROKER #: 36
BRANCH: HOME OFFICE

WEEHAWKEN, NJ 07086

COMMISSION STATEMENT for JAY TOMLINSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/29/2017	QGW029704	ROJAS, RAFAEL		ARRAY BIOPHARMA INC	B	6,204.95	25.00	10.00	15.00 70.0 7.50
09/29/2017	QGW029704	ROJAS, RAFAEL		ASPEN GROUP INC COM NEW	S	-1,246.00	25.00	10.00	15.00 70.0 7.50
10/16/2017	QGW029704	ROJAS, RAFAEL		EXELIXIS INC	S	-16,125.00	350.00	10.00	340.00 70.0 235.00
10/20/2017	QGW029704	ROJAS, RAFAEL		EXELIXIS INC	B	40,500.00	476.93	10.00	466.93 70.0 323.85
10/20/2017	QGW029704	ROJAS, RAFAEL		EXELIXIS INC	B	-40,500.00	-476.93	-10.00	-466.93 70.0 -323.85 C
10/20/2017	QGW029704	ROJAS, RAFAEL		EXELIXIS INC	B	40,500.00	476.93	10.00	466.93 70.0 323.85
10/26/2017	QGW029704	ROJAS, RAFAEL		CONATUS PHARMACEUTICALS INC COM	S	-2,282.00	25.00	10.00	15.00 70.0 7.50
10/26/2017	QGW029704	ROJAS, RAFAEL		EXELIXIS INC	S	-12,980.05	25.00	10.00	15.00 70.0 7.50
10/26/2017	QGW029704	ROJAS, RAFAEL		EXELIXIS INC	S	-5,186.20	25.00	10.00	15.00 70.0 7.50
				* STOCKS SUBTOTAL *		\$8,885.70	\$951.93	\$70.00	\$881.93 \$596.35
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/19/2017	QGW001778	INTERACTIVE BROKERS		CALL 100 SMITH & NEPHEW P L C SPONS B		7,675.20	250.00	10.00	240.00 70.0 165.00
10/16/2017	QGW029704	ROJAS, RAFAEL		CALL 100 EXELIXIS INC COM EXP 10-20 S		-500.00	100.00	10.00	90.00 70.0 60.00
10/18/2017	QGW029704	ROJAS, RAFAEL		CALL 100 EXELIXIS INC COM EXP 10-20 B		1,275.00	100.00	10.00	90.00 70.0 60.00
				* OPTIONS SUBTOTAL *		\$8,450.20	\$450.00	\$30.00	\$420.00 \$285.00
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
10/15/2017	VARIOUS,	TOMLINSON		BRIMBERG LLC	B	0.00	825.00	0.00	825.00 70.0 577.50
				* MISCELLANEOUS SUBTOTAL *		\$0.00	\$825.00	\$0.00	\$825.00 \$577.50
				*** BROKER TRANSACTIONS TOTAL ***		\$17,335.90	\$2,226.93	\$100.00	\$2,126.93 \$1,458.85

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
10/31/2017		:		0.00	0.00	0.00	0.00		0.00
10/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
10/31/2017		OTHER: OTHER		0.00	0.00	0.00	0.00		-154.44
10/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
10/31/2017		OTHER: OTHER-TICKET CHARGES FOR BRI		0.00	0.00	0.00	0.00		-70.00
10/31/2017		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-425.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-677.44</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,458.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-677.44
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$29,116.44
FINRA Assessment	\$-1.75
SIPC Assessment	\$-3.50

Check Amount	\$29,892.60
Year-to-date Earnings	\$30,012.16