

**COMMISSION STATEMENT****July 15, 2018**DAVID KOTZ  
601 Mine Brook Rd

BROKER #: K135 Terminated 05/04/2017

BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/11/2018	NAGY, ZOLTAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.18	0.00	0.18	0.0	0.00
06/11/2018	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.31	0.00	1.31	0.0	0.00
06/11/2018	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.81	0.00	0.81	0.0	0.00
06/11/2018	NAGY, ZOLTAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.29	0.00	0.29	0.0	0.00
06/11/2018	SWEADOR, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.42	0.00	4.42	0.0	0.00
06/11/2018	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.75	0.00	10.75	0.0	0.00
06/11/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	30.10	0.00	30.10	0.0	0.00
06/19/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	36,678.54	0.00	0.00	0.00	0.0	0.00
06/11/2018	NAGY, ZOLTAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.98	0.00	0.98	0.0	0.00
06/11/2018	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.24	0.00	3.24	0.0	0.00
06/11/2018	NAGY, ZOLTAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.46	0.00	2.46	0.0	0.00
06/11/2018	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.79	0.00	1.79	0.0	0.00
06/11/2018	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	2.28	0.00	2.28	0.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$36,678.54</b>	<b>\$58.61</b>	<b>\$0.00</b>	<b>\$58.61</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
06/19/2018	FRENCH, ELAINE	BERKSHIRE HATHAWAY INC DEL	38,000.00	380.00	22.00	358.00	0.0	0.00
06/19/2018	FRENCH, ELAINE	CROWN CASTLE INTERNATIONAL	10,050.00	100.00	22.00	78.00	0.0	0.00
06/19/2018	FRENCH, ELAINE	DENBURY RES INC COM NEW	19,580.20	210.00	28.00	182.00	0.0	0.00
06/19/2018	FRENCH, ELAINE	DOWDUPONT INC COM	10,002.00	100.00	22.00	78.00	0.0	0.00
06/19/2018	FRENCH, ELAINE	MERCK & CO INC NEW COM	9,106.50	90.00	22.00	68.00	0.0	0.00
06/08/2018	WEITZER, ANDREW	OPKO HEALTH INC	21.25	0.00	22.00	-22.00	0.0	0.00
06/19/2018	FRENCH, ELAINE	PROCTER & GAMBLE CO COM	7,569.00	75.00	22.00	53.00	0.0	0.00
06/19/2018	FRENCH, ELAINE	VERIZON COMMUNICATIONS COM	8,328.25	80.00	22.00	58.00	0.0	0.00
<b>* STOCKS SUBTOTAL *</b>			<b>\$102,657.20</b>	<b>\$1,035.00</b>	<b>\$182.00</b>	<b>\$853.00</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: BONDS</b>								
06/19/2018	FRENCH, ELAINE	***SHELL INTERNATIONAL FIN	10,245.20	100.00	28.00	72.00	0.0	0.00
06/19/2018	FRENCH, ELAINE	AMAZON COM INC	10,068.50	100.00	28.00	72.00	0.0	0.00
06/19/2018	FRENCH, ELAINE	CHEVRON CORP NEW	9,618.80	100.00	28.00	72.00	0.0	0.00
06/19/2018	FRENCH, ELAINE	GENERAL ELEC CAP CORP	10,015.70	100.00	28.00	72.00	0.0	0.00
05/30/2018	BROWNELL, STANLEY	HSBC USA INC NEW SR IDX ZRO	15,939.00	300.00	28.00	272.00	0.0	0.00
06/19/2018	FRENCH, ELAINE	HSBC USA INC NEW SR IDX ZRO	21,410.00	200.00	28.00	172.00	0.0	0.00
06/19/2018	FRENCH, ELAINE	HSBC USA INC NEW SR IDX ZRO	21,865.00	200.00	28.00	172.00	0.0	0.00
06/19/2018	FRENCH, ELAINE	JPMORGAN CHASE & CO	19,820.00	190.00	28.00	162.00	0.0	0.00
06/19/2018	FRENCH, ELAINE	KLA TENCOR CORP	25,891.75	250.00	28.00	222.00	0.0	0.00
06/19/2018	FRENCH, ELAINE	MORGAN STANLEY	24,942.00	250.00	28.00	222.00	0.0	0.00
06/19/2018	FRENCH, ELAINE	MORGAN STANLEY SR IDX ZRO	128,500.00	1,300.00	28.00	1,272.00	0.0	0.00
06/19/2018	FRENCH, ELAINE	US BANK NATL ASSN MINNEAPOLID	9,862.50	100.00	28.00	72.00	0.0	0.00
* BONDS SUBTOTAL *			\$308,178.45	\$3,190.00	\$336.00	\$2,854.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$447,514.19	\$4,283.61	\$518.00	\$3,765.61		\$0.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$6,861.69
Year-to-date Earnings	\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed