



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2017

08/14/2017
 Page No. 1

MARC LINSKY
 104 B. Centre Blvd

 Marlton, NJ 08053

BROKER #: L134
 BRANCH: E STREET FINANCIAL L

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
07/28/2017	SPECTER TRUST, STEPHANIE	GRIFFIN INST'L ACCESS R E FUND C	36,000.00	360.00	0.00	360.00	90.0	324.00
		* INTERVAL FUNDS SUBTOTAL *	\$36,000.00	\$360.00	\$0.00	\$360.00		\$324.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/26/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	37.50	1.88	0.00	1.88	90.0	1.69
08/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	1,000.00	10.00	0.00	10.00	90.0	9.00
07/26/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	37.50	1.88	0.00	1.88	90.0	1.69
07/26/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	75.00	3.75	0.00	3.75	90.0	3.38
08/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	17.00	0.00	17.00	90.0	15.30
08/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	10.13
08/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	90.0	15.30
07/27/2017	HALL, GLORIA	GUGGENHEIM INVERSE GOVT LONG BOND C	-7,728.42	22.00	22.00	0.00	90.0	0.00
07/27/2017	TRICHON, DOROTHY	MFS MUNICIPAL INCOME FUND-A1	-10,969.77	22.00	22.00	0.00	90.0	0.00
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	6.96	0.00	6.96	90.0	6.26
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.41	0.00	0.41	90.0	0.37
07/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	6.75
07/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	3.38
/ /	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	0.00	6.92	0.00	6.92	90.0	6.23
07/28/2017	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	200.00	5.00	0.00	5.00	90.0	4.50
		* MUTUAL FUNDS SUBTOTAL *	\$-15,873.19	\$137.30	\$44.00	\$93.30		\$83.98
<u>PRODUCT CATEGORY: STOCKS</u>								
08/09/2017	PRATOLA, PRESTON	APOLLO GLOBAL MGMT LLC CL A SHS	-30,196.92	30.00	23.52	6.48	90.0	5.83
08/09/2017	PRATOLA, PRESTON	INTEL CORP COM	-25,347.00	30.00	23.05	6.95	90.0	6.26
07/27/2017	HALL, GLORIA	PORTLAND GEN ELEC CO COM NEW	-680.64	15.00	22.50	-7.50	90.0	-6.75
		* STOCKS SUBTOTAL *	\$-56,224.56	\$75.00	\$69.07	\$5.93		\$5.34

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/04/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	18.90
07/31/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	264,553.39	220.46	0.00	220.46	90.0	198.41
07/31/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	266,402.98	222.00	0.00	222.00	90.0	199.80
07/31/2017	NANI, JOSEPH	JNL PERSPECTIVE L	409,791.10	341.49	0.00	341.49	90.0	307.34
07/31/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	511,343.92	426.12	0.00	426.12	90.0	383.51
07/31/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	107,076.21	89.23	0.00	89.23	90.0	80.31
07/31/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,041.83	2.93	0.00	2.93	90.0	2.64
07/31/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	208,358.39	173.63	0.00	173.63	90.0	156.27
08/01/2017	PISANI, NICHOLAS	LFG LNCTV679U	250.00	10.00	0.00	10.00	90.0	9.00
08/01/2017	PISANI, CARLA	LFG LNDEV679U	541.00	25.70	0.00	25.70	90.0	23.13
07/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	147.87	0.00	147.87	90.0	133.08
* VARIABLE ANNUITIES SUBTOTAL *			\$1,782,658.82	\$1,680.43	\$0.00	\$1,680.43		\$1,512.39

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/17/2017	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.81	0.00	0.81	90.0	0.73
07/17/2017	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	11.38	0.00	11.38	90.0	10.24
07/21/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	3.67	0.00	3.67	90.0	3.30
07/18/2017	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	3.53	0.00	3.53	90.0	3.18
07/18/2017	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	7.43	0.00	7.43	90.0	6.69
07/18/2017	BERK, SHERRI	AM FUNDS AMERICAN BALANCED A	0.00	0.17	0.00	0.17	90.0	0.15
07/18/2017	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.69	0.00	1.69	90.0	1.52
07/18/2017	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.45	0.00	0.45	90.0	0.41
07/18/2017	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.45	0.00	0.45	90.0	0.41
07/18/2017	APPLEGATE, PAMAELA	AM FUNDS AMERICAN BALANCED A	0.00	0.93	0.00	0.93	90.0	0.84
07/18/2017	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.94	0.00	0.94	90.0	0.85
07/18/2017	APPLEGATE, PAMAELA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.77	0.00	0.77	90.0	0.69
07/18/2017	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.54	0.00	3.54	90.0	3.19
07/18/2017	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.82	0.00	2.82	90.0	2.54
07/18/2017	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.33	0.00	1.33	90.0	1.20
07/18/2017	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.67	0.00	0.67	90.0	0.60
07/18/2017	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.58	0.00	2.58	90.0	2.32
07/18/2017	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.33	0.00	13.33	90.0	12.00
07/18/2017	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.43	0.00	1.43	90.0	1.29
07/18/2017	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.82	0.00	0.82	90.0	0.74

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/18/2017	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.20	0.00	4.20	90.0	3.78
07/18/2017	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.51	0.00	1.51	90.0	1.36
07/18/2017	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.76	0.00	5.76	90.0	5.18
07/18/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.13	0.00	4.13	90.0	3.72
07/18/2017	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.65	0.00	1.65	90.0	1.49
07/18/2017	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.35	0.00	0.35	90.0	0.32
07/18/2017	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.88	0.00	0.88	90.0	0.79
07/18/2017	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.29	0.00	1.29	90.0	1.16
07/18/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.60	0.00	0.60	90.0	0.54
07/18/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.98	0.00	0.98	90.0	0.88
07/18/2017	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.59	0.00	0.59	90.0	0.53
07/18/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.49	0.00	2.49	90.0	2.24
07/18/2017	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.44	0.00	2.44	90.0	2.20
07/18/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.99	0.00	4.99	90.0	4.49
07/18/2017	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.07	0.00	5.07	90.0	4.56
07/18/2017	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.40	0.00	1.40	90.0	1.26
07/18/2017	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	8.36	0.00	8.36	90.0	7.52
07/18/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.53	0.00	0.53	90.0	0.48
07/18/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.86	0.00	0.86	90.0	0.77
07/18/2017	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.53	0.00	0.53	90.0	0.48
07/18/2017	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.54	0.00	0.54	90.0	0.49
07/18/2017	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.54	0.00	0.54	90.0	0.49
07/18/2017	APPLEGATE, PAMAELA	AM FUNDS ICA A	0.00	0.94	0.00	0.94	90.0	0.85
07/18/2017	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.04	0.00	5.04	90.0	4.54
07/18/2017	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	11.74	0.00	11.74	90.0	10.57
07/18/2017	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	4.55	0.00	4.55	90.0	4.10
07/18/2017	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.36	0.00	4.36	90.0	3.92
07/18/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.93	0.00	4.93	90.0	4.44
07/18/2017	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.11	0.00	4.11	90.0	3.70
07/18/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	19.98	0.00	19.98	90.0	17.98
07/18/2017	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.93	0.00	2.93	90.0	2.64
07/18/2017	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.70	0.00	0.70	90.0	0.63
07/18/2017	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.70	0.00	0.70	90.0	0.63

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/18/2017	APPLEGATE, PAMAELE	AM FUNDS NEW PERSPECTIVE A	0.00	1.00	0.00	1.00	90.0	0.90
07/18/2017	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.71	0.00	1.71	90.0	1.54
07/18/2017	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.30	0.00	5.30	90.0	4.77
07/18/2017	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.34	0.00	1.34	90.0	1.21
07/18/2017	COHEN, PAUL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.02	0.00	3.02	90.0	2.72
07/18/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.52	0.00	0.52	90.0	0.47
07/18/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.85	0.00	0.85	90.0	0.77
07/18/2017	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.52	0.00	0.52	90.0	0.47
07/21/2017	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	3.54	0.00	3.54	90.0	3.19
07/28/2017	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	16.91	0.00	16.91	90.0	15.22
07/28/2017	BOWERS, DORA	BLK HIGH YIELD BOND A	0.00	0.01	0.00	0.01	90.0	0.01
07/28/2017	BOWERS, DORA	BLK INFLATION PROTECTED BOND A	0.00	0.01	0.00	0.01	90.0	0.01
07/20/2017	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.35	0.00	1.35	90.0	1.22
07/20/2017	DUBIN, JON	CALAMOS GROWTH A	0.00	4.24	0.00	4.24	90.0	3.82
07/17/2017	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	0.98	0.00	0.98	90.0	0.88
07/17/2017	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	14.17	0.00	14.17	90.0	12.75
07/17/2017	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	7.26	0.00	7.26	90.0	6.53
07/17/2017	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.59	0.00	2.59	90.0	2.33
07/17/2017	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.09	0.00	1.09	90.0	0.98
07/17/2017	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.27	0.00	0.27	90.0	0.24
07/17/2017	BOWERS, DORA	COLUMBIA INTERMEDIATE BOND FD A	0.00	0.02	0.00	0.02	90.0	0.02
07/17/2017	MEDIA ENTERPRISES	COLUMBIA LARGE CAP GROWTH A	0.00	0.31	0.00	0.31	90.0	0.28
07/17/2017	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.87	0.00	0.87	90.0	0.78
07/17/2017	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.31	0.00	2.31	90.0	2.08
07/17/2017	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.04	0.00	1.04	90.0	0.94
07/17/2017	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	10.68	0.00	10.68	90.0	9.61
07/17/2017	BOWERS, DORA	COLUMBIA SMALL CAP VALUE FUND II CL	0.00	0.19	0.00	0.19	90.0	0.17
07/17/2017	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.33	0.00	2.33	90.0	2.10
07/27/2017	BOWERS, DORA	CREDIT SUISSE COMMODITY RETURN STRA	0.00	0.19	0.00	0.19	90.0	0.17
07/17/2017	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.38	0.00	0.38	90.0	0.34
07/17/2017	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.70	0.00	0.70	90.0	0.63
07/14/2017	BOWERS, DORA	DEUTSCHE GLOBAL RE	0.00	0.87	0.00	0.87	90.0	0.78
07/14/2017	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.08	0.00	3.08	90.0	2.77

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<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/14/2017	GUERRIERI, CHARLES	DREYFUS HIGH YIELD FUND CLASS C	0.00	0.01	0.00	0.01	90.0	0.01
07/14/2017	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	61.21	0.00	61.21	90.0	55.09
07/14/2017	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.72	0.00	0.72	90.0	0.65
07/17/2017	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.10	0.00	1.10	90.0	0.99
07/17/2017	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.32	0.00	0.32	90.0	0.29
07/19/2017	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.55	0.00	7.55	90.0	6.80
07/19/2017	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.51	0.00	8.51	90.0	7.66
07/19/2017	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.49	0.00	1.49	90.0	1.34
07/19/2017	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	5.83	0.00	5.83	90.0	5.25
07/19/2017	BOWERS, DORA	FIDELITY ADVISOR MID CAP II FUND CL	0.00	0.26	0.00	0.26	90.0	0.23
07/19/2017	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.66	0.00	2.66	90.0	2.39
07/13/2017	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	13.21	0.00	13.21	90.0	11.89
07/13/2017	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	3.26	0.00	3.26	90.0	2.93
07/13/2017	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.12	0.00	1.12	90.0	1.01
07/13/2017	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.25	0.00	5.25	90.0	4.73
07/13/2017	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.77	0.00	0.77	90.0	0.69
07/13/2017	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.56	0.00	0.56	90.0	0.50
07/13/2017	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.73	0.00	2.73	90.0	2.46
07/21/2017	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	14.83	0.00	14.83	90.0	13.35
07/21/2017	CHERRY, ANDREW	GOLDMAN SACHS GROWTH OPPORT FD CLAS	0.00	5.53	0.00	5.53	90.0	4.98
07/21/2017	CHERRY, ANDREW	GOLDMAN SACHS GROWTH OPPORT FD CLAS	0.00	2.83	0.00	2.83	90.0	2.55
07/21/2017	BOWERS, DORA	GOLDMAN SACHS MID CAP VALUE FD CLAS	0.00	0.25	0.00	0.25	90.0	0.23
07/21/2017	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.58	0.00	0.58	90.0	0.52
07/19/2017	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	128.92	0.00	128.92	90.0	116.03
07/19/2017	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	386.75	0.00	386.75	90.0	348.08
07/19/2017	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	12.47	0.00	12.47	90.0	11.22
07/19/2017	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	17.62	0.00	17.62	90.0	15.86
07/19/2017	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	14.81	0.00	14.81	90.0	13.33
07/18/2017	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.23	0.00	12.23	90.0	11.01
07/18/2017	HALL, GLORIA	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	6.22	0.00	6.22	90.0	5.60
07/18/2017	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.16	0.00	4.16	90.0	3.74
07/18/2017	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.56	0.00	3.56	90.0	3.20
07/18/2017	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	81.52	0.00	81.52	90.0	73.37

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/18/2017	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	39.21	0.00	39.21	90.0	35.29
07/18/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	33.49	0.00	33.49	90.0	30.14
07/18/2017	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	428.43	0.00	428.43	90.0	385.59
07/18/2017	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	10.78	0.00	10.78	90.0	9.70
07/18/2017	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.02	0.00	7.02	90.0	6.32
07/18/2017	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	7.49	0.00	7.49	90.0	6.74
07/18/2017	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	31.70	0.00	31.70	90.0	28.53
07/18/2017	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	29.59	0.00	29.59	90.0	26.63
07/18/2017	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	10.78	0.00	10.78	90.0	9.70
07/18/2017	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.65	0.00	2.65	90.0	2.39
07/18/2017	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.65	0.00	2.65	90.0	2.39
07/18/2017	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	3.97	0.00	3.97	90.0	3.57
07/18/2017	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	69.77	0.00	69.77	90.0	62.79
07/18/2017	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	43.51	0.00	43.51	90.0	39.16
07/18/2017	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	20.02	0.00	20.02	90.0	18.02
07/18/2017	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	13.75	0.00	13.75	90.0	12.38
07/18/2017	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	20.03	0.00	20.03	90.0	18.03
07/18/2017	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	0.04	0.00	0.04	90.0	0.04
07/18/2017	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	10.70	0.00	10.70	90.0	9.63
07/18/2017	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.16	0.00	4.16	90.0	3.74
07/18/2017	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	118.59	0.00	118.59	90.0	106.73
07/18/2017	CHERRY, ANDREW	INVESCO AMERICAN FRANCHISE A	0.00	0.06	0.00	0.06	90.0	0.05
07/18/2017	CHERRY, ANDREW	INVESCO AMERICAN FRANCHISE A	0.00	0.04	0.00	0.04	90.0	0.04
07/18/2017	CHERRY, THEO	INVESCO AMERICAN FRANCHISE A	0.00	3.80	0.00	3.80	90.0	3.42
07/18/2017	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	249.72	0.00	249.72	90.0	224.75
07/18/2017	FARBMAN, ERIC	INVESCO AMERICAN FRANCHISE A	0.00	9.04	0.00	9.04	90.0	8.14
07/18/2017	WALENCZYK, CHIRSTOPHER	INVESCO AMERICAN FRANCHISE A	0.00	0.47	0.00	0.47	90.0	0.42
07/18/2017	SIEMANOWSKI, JOHN	INVESCO CHARTER FUND A	0.00	56.03	0.00	56.03	90.0	50.43
07/18/2017	GUERRIERI, ANTHONY	INVESCO COMSTOCK A	0.00	1.42	0.00	1.42	90.0	1.28
07/18/2017	FARBMAN, ERIC	INVESCO HIGH YIELD FUND A	0.00	12.91	0.00	12.91	90.0	11.62
07/18/2017	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	12.12	0.00	12.12	90.0	10.91
07/18/2017	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	38.05	0.00	38.05	90.0	34.25
07/18/2017	WALENCZYK, BRENDA	INVESCO MIDCAP CORE EQUITY A	0.00	0.50	0.00	0.50	90.0	0.45

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/18/2017	WALENCZYK, CHIRSTOPHER	INVESCO MIDCAP CORE EQUITY A	0.00	1.01	0.00	1.01	90.0	0.91
07/18/2017	GOLDBERGER, ANNA	INVESCO SENIOR LOAN FUND IB	0.00	9.29	0.00	9.29	90.0	8.36
07/18/2017	BOWERS, DORA	INVESCO SMALL CAP DISCOVERY A	0.00	0.41	0.00	0.41	90.0	0.37
07/18/2017	FARBMAN, ERIC	INVESCO STRUCTURED CORE A	0.00	15.49	0.00	15.49	90.0	13.94
07/18/2017	WALENCZYK, BRENDA	INVESCO VALUE OPP A	0.00	0.39	0.00	0.39	90.0	0.35
07/18/2017	WALENCZYK, CHIRSTOPHER	INVESCO VALUE OPP A	0.00	0.76	0.00	0.76	90.0	0.68
07/24/2017	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.02	0.00	1.02	90.0	0.92
07/14/2017	BOWERS, DORA	JN DISCIPLINED VALUE INT'L A	0.00	0.17	0.00	0.17	90.0	0.15
07/18/2017	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.60	0.00	0.60	90.0	0.54
07/21/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.89	0.00	2.89	90.0	2.60
07/21/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.02	0.00	2.02	90.0	1.82
07/21/2017	LA CORTE, CYNTHIA	LORD ABBETT BOND DEBENTURE A	0.00	0.36	0.00	0.36	90.0	0.32
07/21/2017	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.69	0.00	2.69	90.0	2.42
07/21/2017	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	7.78	0.00	7.78	90.0	7.00
07/21/2017	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.55	0.00	2.55	90.0	2.30
07/21/2017	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	112.65	0.00	112.65	90.0	101.39
07/21/2017	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	115.40	0.00	115.40	90.0	103.86
07/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	27.73	0.00	27.73	90.0	24.96
07/21/2017	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	19.57	0.00	19.57	90.0	17.61
07/21/2017	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.85	0.00	0.85	90.0	0.77
07/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	5.04	0.00	5.04	90.0	4.54
07/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.91	0.00	3.91	90.0	3.52
07/21/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	10.67	0.00	10.67	90.0	9.60
07/21/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.80	0.00	5.80	90.0	5.22
07/21/2017	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.05	0.00	6.05	90.0	5.45
07/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.04	0.00	15.04	90.0	13.54
07/21/2017	LIBERTY, SUSAN	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	0.01	0.00	0.01	90.0	0.01
07/21/2017	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	3.04	0.00	3.04	90.0	2.74
07/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	2.65	0.00	2.65	90.0	2.39
07/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	1.82	0.00	1.82	90.0	1.64
07/21/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.46	0.00	1.46	90.0	1.31
07/21/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	19.72	0.00	19.72	90.0	17.75
07/21/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.65	0.00	1.65	90.0	1.49

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/21/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.66	0.00	2.66	90.0	2.39
07/21/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.04	0.00	2.04	90.0	1.84
07/21/2017	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	6.16	0.00	6.16	90.0	5.54
07/21/2017	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	95.68	0.00	95.68	90.0	86.11
07/21/2017	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.34	0.00	11.34	90.0	10.21
07/21/2017	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	6.11	0.00	6.11	90.0	5.50
07/21/2017	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.20	0.00	6.20	90.0	5.58
07/21/2017	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	12.57	0.00	12.57	90.0	11.31
07/21/2017	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.15	0.00	10.15	90.0	9.14
07/21/2017	FARBMAN, SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.76	0.00	1.76	90.0	1.58
07/21/2017	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.65	0.00	2.65	90.0	2.39
07/21/2017	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.47	0.00	0.47	90.0	0.42
07/21/2017	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.18	0.00	1.18	90.0	1.06
07/21/2017	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.67	0.00	0.67	90.0	0.60
07/21/2017	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	6.08	0.00	6.08	90.0	5.47
07/21/2017	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	14.47	0.00	14.47	90.0	13.02
07/21/2017	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	7.88	0.00	7.88	90.0	7.09
07/21/2017	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	14.47	0.00	14.47	90.0	13.02
07/21/2017	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	14.82	0.00	14.82	90.0	13.34
07/21/2017	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.66	0.00	0.66	90.0	0.59
07/21/2017	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	8.98	0.00	8.98	90.0	8.08
07/21/2017	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	21.49	0.00	21.49	90.0	19.34
07/21/2017	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	7.66	0.00	7.66	90.0	6.89
07/21/2017	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	18.07	0.00	18.07	90.0	16.26
07/21/2017	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	5.24	0.00	5.24	90.0	4.72
07/21/2017	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	5.52	0.00	5.52	90.0	4.97
07/21/2017	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	25.59	0.00	25.59	90.0	23.03
07/21/2017	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	10.48	0.00	10.48	90.0	9.43
07/21/2017	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	8.90	0.00	8.90	90.0	8.01
07/21/2017	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	13.85	0.00	13.85	90.0	12.47
07/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.12	0.00	1.12	90.0	1.01
07/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	0.96	0.00	0.96	90.0	0.86
07/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.40	0.00	0.40	90.0	0.36

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/21/2017	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.58	0.00	0.58	90.0	0.52
07/21/2017	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.30	0.00	2.30	90.0	2.07
07/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	2.96	0.00	2.96	90.0	2.66
07/21/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.89	0.00	0.89	90.0	0.80
07/21/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	37.31	0.00	37.31	90.0	33.58
07/21/2017	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.60	0.00	2.60	90.0	2.34
07/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.76	0.00	1.76	90.0	1.58
07/21/2017	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	237.08	0.00	237.08	90.0	213.37
07/25/2017	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	12.26	0.00	12.26	90.0	11.03
07/25/2017	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	2.77	0.00	2.77	90.0	2.49
07/17/2017	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	12.74	0.00	12.74	90.0	11.47
07/17/2017	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	17.39	0.00	17.39	90.0	15.65
07/17/2017	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.72	0.00	5.72	90.0	5.15
07/17/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	130.98	0.00	130.98	90.0	117.88
07/17/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	392.95	0.00	392.95	90.0	353.66
07/13/2017	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	11.70	0.00	11.70	90.0	10.53
07/13/2017	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.53	0.00	1.53	90.0	1.38
07/13/2017	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.33	0.00	0.33	90.0	0.30
07/13/2017	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.12	0.00	11.12	90.0	10.01
07/27/2017	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.42	0.00	1.42	90.0	1.28
07/25/2017	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.43	0.00	0.43	90.0	0.39
07/21/2017	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	5.16	0.00	5.16	90.0	4.64
07/13/2017	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	8.60	0.00	8.60	90.0	7.74
07/13/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	21.87	0.00	21.87	90.0	19.68
07/13/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	10.73	0.00	10.73	90.0	9.66
07/13/2017	BOWERS, DORA	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.18	0.00	0.18	90.0	0.16
07/13/2017	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	16.81	0.00	16.81	90.0	15.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$4,056.33	\$0.00	\$4,056.33		\$3,650.82
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/21/2017	MCFADDEN, JOAN	LANDMARK 2002	0.00	207.17	0.00	207.17	90.0	186.45
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$207.17	\$0.00	\$207.17		\$186.45
*** BROKER TRANSACTIONS TOTAL ***			\$1,746,561.07	\$6,516.23	\$113.07	\$6,403.16		\$5,762.98

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: J30 MARC/MICHAEL LINSKY/FOX #266</u>								
07/18/2017	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.19	0.00	1.19	90.0	1.07
07/18/2017	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.07	0.00	0.07	90.0	0.06
07/18/2017	BERK, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.29	0.00	1.29	90.0	1.16
07/13/2017	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.11	0.00	0.11	90.0	0.10
07/21/2017	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.58	0.00	0.58	90.0	0.52
07/18/2017	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.35	0.00	3.35	90.0	3.02
07/21/2017	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	1.08	0.00	1.08	90.0	0.97
07/21/2017	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.25	0.00	2.25	90.0	2.03
07/21/2017	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.96	0.00	3.96	90.0	3.56
07/26/2017	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	13.87	0.00	13.87	90.0	12.48
07/25/2017	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.56	0.00	0.56	90.0	0.50
07/17/2017	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	9.07	0.00	9.07	90.0	8.16
07/19/2017	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	5.00	0.00	5.00	90.0	4.50
07/19/2017	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.78	0.00	4.78	90.0	4.30
* J30 MARC/MICHAEL LINSKY/FOX SUBTOTAL *			\$0.00	\$47.16	\$0.00	\$47.16		\$42.43
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
07/18/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.21	0.00	0.21	90.0	0.19
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.21	\$0.00	\$0.21		\$0.19
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
07/18/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	90.0	0.05
07/21/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.39	0.00	1.39	90.0	1.25
07/21/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.71	0.00	4.71	90.0	4.24
07/21/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.11	0.00	3.11	90.0	2.80
07/21/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.25	0.00	2.25	90.0	2.03
07/17/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.35	0.00	0.35	90.0	0.32
07/17/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.88	0.00	0.88	90.0	0.79
07/17/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.04	0.00	0.04	90.0	0.04
07/17/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.13	0.00	0.13	90.0	0.12
07/19/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.66	0.00	2.66	90.0	2.39
07/19/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.99	0.00	7.99	90.0	7.19
07/19/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.33	0.00	3.33	90.0	3.00
07/19/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.99	0.00	9.99	90.0	8.99

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273								
07/19/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.22	0.00	2.22	90.0	2.00
07/19/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.66	0.00	6.66	90.0	5.99
07/19/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.66	0.00	2.66	90.0	2.39
07/19/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.99	0.00	7.99	90.0	7.19
07/19/2017	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.69	0.00	1.69	90.0	1.52
07/19/2017	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.63	0.00	2.63	90.0	2.37
07/19/2017	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.52	0.00	4.52	90.0	4.07
07/19/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.62	0.00	3.62	90.0	3.26
07/19/2017	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.81	0.00	1.81	90.0	1.63
07/19/2017	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	10.87	0.00	10.87	90.0	9.78
07/18/2017	GRISALES, CARMEN	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	0.69	0.00	0.69	90.0	0.62
07/18/2017	GRISALES, CARMEN	INVESCO EQUITY AND INCOME A	0.00	0.65	0.00	0.65	90.0	0.59
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$0.00	\$82.90	\$0.00	\$82.90		\$74.62

BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315

07/18/2017	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.46	0.00	0.46	90.0	0.41
07/21/2017	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	2.78	0.00	2.78	90.0	2.50
07/28/2017	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	2.39	0.00	2.39	90.0	2.15
07/20/2017	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	0.85	0.00	0.85	90.0	0.77
07/17/2017	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	3.34	0.00	3.34	90.0	3.01
07/17/2017	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	1.41	0.00	1.41	90.0	1.27
08/02/2017	EORIO, JOSEPH	COTTONWOOD RESIDENTIAL 2016 PREFR'D	100,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
07/17/2017	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	2.10	0.00	2.10	90.0	1.89
07/26/2017	ANDERSON, WILLIAM	EAGLE GROWTH AND INCOME FUND CLASS	0.00	5.82	0.00	5.82	90.0	5.24
07/14/2017	FIAMINGO, FRANK	FIRST EAGLE GLOBAL FUND CL A	0.00	6.14	0.00	6.14	90.0	5.53
07/14/2017	FIAMINGO, FRANK	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	2.15	0.00	2.15	90.0	1.94
07/14/2017	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	0.94	0.00	0.94	90.0	0.85
07/28/2017	ANDREWS, THERESA	GRIFFIN INST'L ACCESS R E FUND C	7,000.00	35.00	0.00	35.00	90.0	31.50
07/28/2017	ANDREWS, THERESA	GRIFFIN INST'L ACCESS R E FUND C	20,000.00	100.00	0.00	100.00	90.0	90.00
07/18/2017	FIAMINGO, FRANK	INVESCO TECHNOLOGY A	0.00	2.41	0.00	2.41	90.0	2.17
07/24/2017	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	3.03	0.00	3.03	90.0	2.73
07/14/2017	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.43	0.00	0.43	90.0	0.39
06/23/2017	BOWERS, STEVEN	METLIFE SHEILD LEVEL SELECTOR EQTY	190,100.92	-2,851.51	0.00	-2,851.51	90.0	-2,566.36
06/23/2017	BOWERS, STEVEN	METLIFE SHEILD LEVEL SELECTOR EQTY	190,100.92	5,703.03	0.00	5,703.03	90.0	5,132.73

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</u>								
07/21/2017	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.96	0.00	0.96	90.0	0.86
07/26/2017	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	1.66	0.00	1.66	90.0	1.49
07/27/2017	ANDREWS, THERESA	PIMCO TOTAL RETURN D	-7,268.78	0.00	0.00	0.00	90.0	0.00
07/27/2017	ANDREWS, THERESA	PIMCO TOTAL RETURN D	-20,456.45	0.00	0.00	0.00	90.0	0.00
07/13/2017	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	0.96	0.00	0.96	90.0	0.86
07/13/2017	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.51	0.00	0.51	90.0	0.46
07/13/2017	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.16	0.00	0.16	90.0	0.14
* C148 DAN/MARC FT-CHARLEY/LINSKY SUBTOTAL *			\$479,476.61	\$6,525.02	\$0.00	\$6,525.02		\$5,872.53
<u>BROKER: C155-LINS/CIRLLI/CHA FT-MARK/JP/DAN #392</u>								
08/07/2017	CARROLLTON, STEVE	BLACKROCK GLOBAL OPPORTUNITIES EQUI	-7,383.95	0.00	7.77	-7.77	90.0	-6.99
08/08/2017	CARROLLTON, STEVE	HSBC USA INC MARKET LKD TO INDU 0.0	-21,546.00	0.00	9.18	-9.18	90.0	-8.26
08/07/2017	CARROLLTON, DONNA	NEXTERA ENERGY INC	-38,165.40	0.00	7.64	-7.64	90.0	-6.88
08/07/2017	CARROLLTON, STEVE	PNC FINL SVCS GROUP INC COM	-6,559.01	0.00	7.64	-7.64	90.0	-6.88
08/08/2017	CARROLLTON, STEVE	WELLS FARGO BK N A SIOUX FALLS S D	-25,740.00	0.00	9.18	-9.18	90.0	-8.26
* C155-LINS/CIRLLI/CHA FT-MARK/JP/DAN SUBTOTAL *			\$-99,394.36	\$0.00	\$41.41	\$-41.41		\$-37.27
*** SPLIT TRANSACTIONS TOTAL ***			\$380,082.25	\$6,655.29	\$41.41	\$6,613.88		\$5,952.50

ADJUSTMENTS

08/15/2017	*EO INS: CURRENT MONTH INS	0.00	-300.00
08/15/2017	*EO INS: CURRENT MONTH INS	0.00	-105.00
08/15/2017	*EO INS: CURRENT MONTH-T THOMPSON	0.00	-105.00
08/15/2017	*EO INS: N ELIAS	0.00	-105.00
08/15/2017	*FEDERAL EXPRESS: 5-885-29775 8/2/1	0.00	-90.51
08/15/2017	*MARKETING LIBRARY: MARKETING LIBRA	0.00	-45.00
08/15/2017	*PERSHING: BOOKS & RECORDS	0.00	-6.00
08/15/2017	*PERSHING: MF PROSPECTUS MAILING	0.00	-11.42
08/15/2017	*TECH: ADMIN - T CASO	0.00	-100.00
08/15/2017	*TECH: ADMIN-CHRISTINE GILMER	0.00	-100.00
08/15/2017	*TECH: ADMIN-LINDA BOND-HILTNER	0.00	-100.00
08/15/2017	*TECH: CURRENT MONTH TECH	0.00	-275.00
08/15/2017	*TECH: CURRENT MONTH TECH	0.00	-96.25

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<u>ADJUSTMENTS</u>								
08/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					-96.25
08/15/2017		*TECH: N ELIAS	0.00					-96.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,631.68

BROKER COMMISSION TOTALS

Broker Transactions	\$5,762.98
Split Transactions	\$5,952.50
Override Transactions	\$0.00
Adjustments	\$-1,631.68
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,083.80
Year-to-date Gross	\$509,865.89
Year-to-date Earnings	\$458,965.13

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