

COMMISSION STATEMENT

August 31, 2017

JOHN KILLEEN
306 SCHUNNEMUNK STREETBROKER #: 43
BRANCH: HOME OFFICE

MONROE, NY 10950

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

08/01/2017 (None)	VARIOUS, KILLEEN	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	1,996.74	0.00	1,996.74	86.0	1,717.20
07/28/2017 00061663272	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	300.00	1.50	0.00	1.50	86.0	0.00
07/28/2017 00061663272	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
07/28/2017 00061663272	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
07/28/2017 00061663272	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
07/28/2017 00061663272	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017 00061663272	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	300.00	1.50	0.00	1.50	86.0	0.00
08/09/2017 00061663272	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
08/09/2017 00061663272	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
08/09/2017 00061663272	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017 00061663272	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017 00061663272	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	300.00	1.50	0.00	1.50	86.0	0.00
08/16/2017 00061663272	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
08/16/2017 00061663272	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
08/16/2017 00061663272	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017 00061663272	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
07/28/2017 00061736606	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	0.00
07/28/2017 00061736606	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.00
08/09/2017 00061736606	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	0.00
08/09/2017 00061736606	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.00
08/16/2017 00061736606	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	0.00
08/16/2017 00061736606	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.00
07/28/2017 00061736608	HEGARTY, EILEEN	AMCAP FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.00
07/28/2017 00061736608	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	120.00	0.60	0.00	0.60	86.0	0.00
08/09/2017 00061736608	HEGARTY, EILEEN	AMCAP FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.00
08/09/2017 00061736608	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	120.00	0.60	0.00	0.60	86.0	0.00
08/16/2017 00061736608	HEGARTY, EILEEN	AMCAP FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.00
08/16/2017 00061736608	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	120.00	0.60	0.00	0.60	86.0	0.00
07/28/2017 00061736614	HEGARTY, EILEEN	AMCAP FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.00
07/28/2017 00061736614	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
07/28/2017 00061736614	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/28/2017	00061736614	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
07/28/2017	00061736614	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
07/28/2017	00061736614	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
07/28/2017	00061736614	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.00
08/09/2017	00061736614	HEGARTY, EILEEN							
		AMCAP FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.00
08/09/2017	00061736614	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017	00061736614	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017	00061736614	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
08/09/2017	00061736614	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
08/09/2017	00061736614	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017	00061736614	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.00
08/16/2017	00061736614	HEGARTY, EILEEN							
		AMCAP FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.00
08/16/2017	00061736614	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	00061736614	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	00061736614	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
08/16/2017	00061736614	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
08/16/2017	00061736614	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	00061736614	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.00
07/28/2017	00061736616	HEGARTY, EILEEN							
		AMCAP FUND-A	B	500.00	2.50	0.00	2.50	86.0	0.00
07/28/2017	00061736616	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
07/28/2017	00061736616	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
08/09/2017	00061736616	HEGARTY, EILEEN							
		AMCAP FUND-A	B	500.00	2.50	0.00	2.50	86.0	0.00
08/09/2017	00061736616	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
08/09/2017	00061736616	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
08/16/2017	00061736616	HEGARTY, EILEEN							
		AMCAP FUND-A	B	500.00	2.50	0.00	2.50	86.0	0.00
08/16/2017	00061736616	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
08/16/2017	00061736616	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
07/28/2017	00062829276	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.00
07/28/2017	00062829276	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.00
07/28/2017	00062829276	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.00
07/28/2017	00062829276	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.00
08/09/2017	00062829276	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.00
08/09/2017	00062829276	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.00
08/09/2017	00062829276	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.00
08/09/2017	00062829276	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.00
08/16/2017	00062829276	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.00
08/16/2017	00062829276	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.00
08/16/2017	00062829276	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.00
08/16/2017	00062829276	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.00
07/28/2017	00063329982	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	371.07	1.86	0.00	1.86	86.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
07/28/2017	00063329982	HEGARTY, EILEEN		NEW WORLD FUND-A	B	371.07	1.86	0.00	1.86 86.0 0.00
07/28/2017	00063329982	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	494.76	2.47	0.00	2.47 86.0 0.00
08/09/2017	00063329982	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	371.07	1.86	0.00	1.86 86.0 0.00
08/09/2017	00063329982	HEGARTY, EILEEN		NEW WORLD FUND-A	B	371.07	1.86	0.00	1.86 86.0 0.00
08/09/2017	00063329982	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	494.76	2.47	0.00	2.47 86.0 0.00
08/16/2017	00063329982	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	371.07	1.86	0.00	1.86 86.0 0.00
08/16/2017	00063329982	HEGARTY, EILEEN		NEW WORLD FUND-A	B	371.07	1.86	0.00	1.86 86.0 0.00
08/16/2017	00063329982	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	494.76	2.47	0.00	2.47 86.0 0.00
07/28/2017	00063362305	HEGARTY, EILEEN		AMCAP FUND-A	B	200.00	1.00	0.00	1.00 86.0 0.00
07/28/2017	00063362305	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50 86.0 0.00
07/28/2017	00063362305	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50 86.0 0.00
07/28/2017	00063362305	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00 86.0 0.00
07/28/2017	00063362305	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00 86.0 0.00
08/09/2017	00063362305	HEGARTY, EILEEN		AMCAP FUND-A	B	200.00	1.00	0.00	1.00 86.0 0.00
08/09/2017	00063362305	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50 86.0 0.00
08/09/2017	00063362305	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50 86.0 0.00
08/09/2017	00063362305	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00 86.0 0.00
08/09/2017	00063362305	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00 86.0 0.00
08/16/2017	00063362305	HEGARTY, EILEEN		AMCAP FUND-A	B	200.00	1.00	0.00	1.00 86.0 0.00
08/16/2017	00063362305	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50 86.0 0.00
08/16/2017	00063362305	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50 86.0 0.00
08/16/2017	00063362305	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00 86.0 0.00
08/16/2017	00063362305	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00 86.0 0.00
07/28/2017	00063362310	HEGARTY, EILEEN		AMCAP FUND-A	B	300.00	1.50	0.00	1.50 86.0 0.00
07/28/2017	00063362310	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00 86.0 0.00
07/28/2017	00063362310	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	500.00	2.50	0.00	2.50 86.0 0.00
08/09/2017	00063362310	HEGARTY, EILEEN		AMCAP FUND-A	B	300.00	1.50	0.00	1.50 86.0 0.00
08/09/2017	00063362310	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00 86.0 0.00
08/09/2017	00063362310	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	500.00	2.50	0.00	2.50 86.0 0.00
08/16/2017	00063362310	HEGARTY, EILEEN		AMCAP FUND-A	B	300.00	1.50	0.00	1.50 86.0 0.00
08/16/2017	00063362310	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00 86.0 0.00
08/16/2017	00063362310	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	500.00	2.50	0.00	2.50 86.0 0.00
07/28/2017	00063362311	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	250.00	1.25	0.00	1.25 86.0 0.00
07/28/2017	00063362311	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00 86.0 0.00
07/28/2017	00063362311	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50 86.0 0.00
07/28/2017	00063362311	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	200.00	1.00	0.00	1.00 86.0 0.00
07/28/2017	00063362311	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	250.00	1.25	0.00	1.25 86.0 0.00
08/09/2017	00063362311	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	250.00	1.25	0.00	1.25 86.0 0.00
08/09/2017	00063362311	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00 86.0 0.00
08/09/2017	00063362311	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50 86.0 0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/09/2017	00063362311	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
08/09/2017	00063362311	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	250.00	1.25	0.00	1.25	86.0	0.00
08/16/2017	00063362311	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	250.00	1.25	0.00	1.25	86.0	0.00
08/16/2017	00063362311	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
08/16/2017	00063362311	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	00063362311	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
08/16/2017	00063362311	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	250.00	1.25	0.00	1.25	86.0	0.00
07/28/2017	00063795979	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	300.00	1.50	0.00	1.50	86.0	0.00
07/28/2017	00063795979	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.00
07/28/2017	00063795979	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
08/09/2017	00063795979	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	300.00	1.50	0.00	1.50	86.0	0.00
08/09/2017	00063795979	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.00
08/09/2017	00063795979	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
08/16/2017	00063795979	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	300.00	1.50	0.00	1.50	86.0	0.00
08/16/2017	00063795979	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.00
08/16/2017	00063795979	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
07/28/2017	00064217122	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	82.52	0.41	0.00	0.41	86.0	0.00
07/28/2017	00064217122	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	33.01	0.17	0.00	0.17	86.0	0.00
07/28/2017	00064217122	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	49.51	0.25	0.00	0.25	86.0	0.00
08/09/2017	00064217122	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	82.52	0.41	0.00	0.41	86.0	0.00
08/09/2017	00064217122	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	33.01	0.17	0.00	0.17	86.0	0.00
08/09/2017	00064217122	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	49.51	0.25	0.00	0.25	86.0	0.00
08/16/2017	00064217122	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	82.52	0.41	0.00	0.41	86.0	0.00
08/16/2017	00064217122	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	33.01	0.17	0.00	0.17	86.0	0.00
08/16/2017	00064217122	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	49.51	0.25	0.00	0.25	86.0	0.00
07/28/2017	00064217123	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.00
07/28/2017	00064217123	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	65.00	0.33	0.00	0.33	86.0	0.00
07/28/2017	00064217123	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.00
07/28/2017	00064217123	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.00
08/09/2017	00064217123	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.00
08/09/2017	00064217123	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	65.00	0.33	0.00	0.33	86.0	0.00
08/09/2017	00064217123	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.00
08/09/2017	00064217123	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.00
08/16/2017	00064217123	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.00
08/16/2017	00064217123	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	65.00	0.33	0.00	0.33	86.0	0.00
08/16/2017	00064217123	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.00
08/16/2017	00064217123	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.00
07/24/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	28.28	0.57	0.00	0.57	86.0	0.00
07/24/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	37.69	0.75	0.00	0.75	86.0	0.00
07/24/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	28.28	0.57	0.00	0.57	86.0	0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/31/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	28.28	0.57	0.00	0.57	86.0	0.00
07/31/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	37.69	0.75	0.00	0.75	86.0	0.00
07/31/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	28.28	0.57	0.00	0.57	86.0	0.00
08/07/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	28.28	0.57	0.00	0.57	86.0	0.00
08/07/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	37.69	0.75	0.00	0.75	86.0	0.00
08/07/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	28.28	0.57	0.00	0.57	86.0	0.00
08/14/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	28.28	0.57	0.00	0.57	86.0	0.00
08/14/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	37.69	0.75	0.00	0.75	86.0	0.00
08/14/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	28.28	0.57	0.00	0.57	86.0	0.00
07/28/2017	00068080343	HEGARTY, EILEEN							
		AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
07/28/2017	00068080343	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
07/28/2017	00068080343	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	500.00	2.50	0.00	2.50	86.0	0.00
07/28/2017	00068080343	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
07/28/2017	00068080343	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
08/09/2017	00068080343	HEGARTY, EILEEN							
		AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017	00068080343	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017	00068080343	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	500.00	2.50	0.00	2.50	86.0	0.00
08/09/2017	00068080343	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017	00068080343	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
08/16/2017	00068080343	HEGARTY, EILEEN							
		AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	00068080343	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	00068080343	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	500.00	2.50	0.00	2.50	86.0	0.00
08/16/2017	00068080343	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	00068080343	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
07/28/2017	00068086982	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.00
07/28/2017	00068086982	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.00
08/09/2017	00068086982	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.00
08/09/2017	00068086982	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.00
08/16/2017	00068086982	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.00
08/16/2017	00068086982	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.00
07/28/2017	00070001812	HEGARTY, EILEEN							
		AMCAP FUND-A	B	16.98	0.08	0.00	0.08	86.0	0.00
07/28/2017	00070001812	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	33.95	0.17	0.00	0.17	86.0	0.00
07/28/2017	00070001812	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.00
07/28/2017	00070001812	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.00
07/28/2017	00070001812	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	50.93	0.25	0.00	0.25	86.0	0.00
08/09/2017	00070001812	HEGARTY, EILEEN							
		AMCAP FUND-A	B	16.98	0.08	0.00	0.08	86.0	0.00
08/09/2017	00070001812	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	33.95	0.17	0.00	0.17	86.0	0.00
08/09/2017	00070001812	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.00
08/09/2017	00070001812	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.00
08/09/2017	00070001812	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	50.93	0.25	0.00	0.25	86.0	0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/16/2017	00070001812	HEGARTY, EILEEN		AMCAP FUND-A	B	16.98	0.08	0.00	0.08 86.0 0.00
08/16/2017	00070001812	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	33.95	0.17	0.00	0.17 86.0 0.00
08/16/2017	00070001812	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17 86.0 0.00
08/16/2017	00070001812	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17 86.0 0.00
08/16/2017	00070001812	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	50.93	0.25	0.00	0.25 86.0 0.00
07/28/2017	00070004355	HEGARTY, EILEEN		AMCAP FUND-A	B	31.97	0.16	0.00	0.16 86.0 0.00
07/28/2017	00070004355	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	28.77	0.14	0.00	0.14 86.0 0.00
07/28/2017	00070004355	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	73.53	0.37	0.00	0.37 86.0 0.00
07/28/2017	00070004355	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	54.35	0.27	0.00	0.27 86.0 0.00
07/28/2017	00070004355	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	57.55	0.29	0.00	0.29 86.0 0.00
07/28/2017	00070004355	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	67.14	0.34	0.00	0.34 86.0 0.00
08/09/2017	00070004355	HEGARTY, EILEEN		AMCAP FUND-A	B	31.97	0.16	0.00	0.16 86.0 0.00
08/09/2017	00070004355	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	28.77	0.14	0.00	0.14 86.0 0.00
08/09/2017	00070004355	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	73.53	0.37	0.00	0.37 86.0 0.00
08/09/2017	00070004355	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	54.35	0.27	0.00	0.27 86.0 0.00
08/09/2017	00070004355	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	57.55	0.29	0.00	0.29 86.0 0.00
08/09/2017	00070004355	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	67.14	0.34	0.00	0.34 86.0 0.00
08/16/2017	00070004355	HEGARTY, EILEEN		AMCAP FUND-A	B	31.97	0.16	0.00	0.16 86.0 0.00
08/16/2017	00070004355	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	28.77	0.14	0.00	0.14 86.0 0.00
08/16/2017	00070004355	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	73.53	0.37	0.00	0.37 86.0 0.00
08/16/2017	00070004355	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	54.35	0.27	0.00	0.27 86.0 0.00
08/16/2017	00070004355	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	57.55	0.29	0.00	0.29 86.0 0.00
08/16/2017	00070004355	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	67.14	0.34	0.00	0.34 86.0 0.00
07/28/2017	00070004361	HEGARTY, EILEEN		AMCAP FUND-A	B	74.25	0.37	0.00	0.37 86.0 0.00
07/28/2017	00070004361	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	74.25	0.37	0.00	0.37 86.0 0.00
07/28/2017	00070004361	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	76.50	0.38	0.00	0.38 86.0 0.00
08/09/2017	00070004361	HEGARTY, EILEEN		AMCAP FUND-A	B	74.25	0.37	0.00	0.37 86.0 0.00
08/09/2017	00070004361	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	74.25	0.37	0.00	0.37 86.0 0.00
08/09/2017	00070004361	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	76.50	0.38	0.00	0.38 86.0 0.00
08/16/2017	00070004361	HEGARTY, EILEEN		AMCAP FUND-A	B	74.25	0.37	0.00	0.37 86.0 0.00
08/16/2017	00070004361	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	74.25	0.37	0.00	0.37 86.0 0.00
08/16/2017	00070004361	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	76.50	0.38	0.00	0.38 86.0 0.00
07/28/2017	00070005362	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	4.96	0.02	0.00	0.02 86.0 0.00
07/28/2017	00070005362	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	9.92	0.05	0.00	0.05 86.0 0.00
07/28/2017	00070005362	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	14.88	0.07	0.00	0.07 86.0 0.00
07/28/2017	00070005362	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	19.84	0.10	0.00	0.10 86.0 0.00
07/28/2017	00070005362	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	9.91	0.05	0.00	0.05 86.0 0.00
08/09/2017	00070005362	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	4.96	0.02	0.00	0.02 86.0 0.00
08/09/2017	00070005362	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	9.92	0.05	0.00	0.05 86.0 0.00
08/09/2017	00070005362	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	14.88	0.07	0.00	0.07 86.0 0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
08/09/2017	00070005362	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A B	19.84	0.10	0.00	0.10	86.0	0.00
08/09/2017	00070005362	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A B	9.91	0.05	0.00	0.05	86.0	0.00
08/16/2017	00070005362	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A B	4.96	0.02	0.00	0.02	86.0	0.00
08/16/2017	00070005362	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A B	9.92	0.05	0.00	0.05	86.0	0.00
08/16/2017	00070005362	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A B	14.88	0.07	0.00	0.07	86.0	0.00
08/16/2017	00070005362	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A B	19.84	0.10	0.00	0.10	86.0	0.00
08/16/2017	00070005362	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A B	9.91	0.05	0.00	0.05	86.0	0.00
07/28/2017	00070005678	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A B	150.00	0.75	0.00	0.75	86.0	0.00
07/28/2017	00070005678	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A B	300.00	1.50	0.00	1.50	86.0	0.00
07/28/2017	00070005678	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A B	60.00	0.30	0.00	0.30	86.0	0.00
08/09/2017	00070005678	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A B	150.00	0.75	0.00	0.75	86.0	0.00
08/09/2017	00070005678	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A B	300.00	1.50	0.00	1.50	86.0	0.00
08/09/2017	00070005678	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A B	60.00	0.30	0.00	0.30	86.0	0.00
08/16/2017	00070005678	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A B	150.00	0.75	0.00	0.75	86.0	0.00
08/16/2017	00070005678	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A B	300.00	1.50	0.00	1.50	86.0	0.00
08/16/2017	00070005678	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A B	60.00	0.30	0.00	0.30	86.0	0.00
07/28/2017	00086545644	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A B	15.00	0.08	0.00	0.08	86.0	0.00
07/28/2017	00086545644	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A B	20.00	0.10	0.00	0.10	86.0	0.00
07/28/2017	00086545644	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A B	20.00	0.10	0.00	0.10	86.0	0.00
07/28/2017	00086545644	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A B	20.00	0.10	0.00	0.10	86.0	0.00
07/28/2017	00086545644	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A B	25.00	0.13	0.00	0.13	86.0	0.00
08/09/2017	00086545644	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A B	15.00	0.08	0.00	0.08	86.0	0.00
08/09/2017	00086545644	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A B	20.00	0.10	0.00	0.10	86.0	0.00
08/09/2017	00086545644	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A B	20.00	0.10	0.00	0.10	86.0	0.00
08/09/2017	00086545644	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A B	20.00	0.10	0.00	0.10	86.0	0.00
08/09/2017	00086545644	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A B	25.00	0.13	0.00	0.13	86.0	0.00
08/16/2017	00086545644	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A B	15.00	0.08	0.00	0.08	86.0	0.00
08/16/2017	00086545644	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A B	20.00	0.10	0.00	0.10	86.0	0.00
08/16/2017	00086545644	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A B	20.00	0.10	0.00	0.10	86.0	0.00
08/16/2017	00086545644	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A B	20.00	0.10	0.00	0.10	86.0	0.00
08/16/2017	00086545644	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A B	25.00	0.13	0.00	0.13	86.0	0.00
07/28/2017	00087384406	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A B	750.00	3.75	0.00	3.75	86.0	0.00
08/09/2017	00087384406	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A B	750.00	3.75	0.00	3.75	86.0	0.00
08/16/2017	00087384406	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A B	750.00	3.75	0.00	3.75	86.0	0.00
07/28/2017	00087384416	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A B	750.00	3.75	0.00	3.75	86.0	0.00
08/09/2017	00087384416	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A B	750.00	3.75	0.00	3.75	86.0	0.00
08/16/2017	00087384416	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A B	750.00	3.75	0.00	3.75	86.0	0.00
07/28/2017	00087384433	HEGARTY, EILEEN		AMCAP FUND-A B	300.00	1.50	0.00	1.50	86.0	0.00
07/28/2017	00087384433	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A B	75.00	0.38	0.00	0.38	86.0	0.00
07/28/2017	00087384433	HEGARTY, EILEEN		NEW WORLD FUND-A B	75.00	0.38	0.00	0.38	86.0	0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/28/2017	00087384433	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75 86.0 0.00
07/28/2017	00087384433	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75 86.0 0.00
08/09/2017	00087384433	HEGARTY, EILEEN		AMCAP FUND-A	B	300.00	1.50	0.00	1.50 86.0 0.00
08/09/2017	00087384433	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.00
08/09/2017	00087384433	HEGARTY, EILEEN		NEW WORLD FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.00
08/09/2017	00087384433	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75 86.0 0.00
08/09/2017	00087384433	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75 86.0 0.00
08/16/2017	00087384433	HEGARTY, EILEEN		AMCAP FUND-A	B	300.00	1.50	0.00	1.50 86.0 0.00
08/16/2017	00087384433	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.00
08/16/2017	00087384433	HEGARTY, EILEEN		NEW WORLD FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.00
08/16/2017	00087384433	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75 86.0 0.00
08/16/2017	00087384433	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75 86.0 0.00
07/28/2017	00087384446	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	59.89	0.30	0.00	0.30 86.0 0.00
07/28/2017	00087384446	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	44.91	0.22	0.00	0.22 86.0 0.00
07/28/2017	00087384446	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	44.92	0.22	0.00	0.22 86.0 0.00
08/09/2017	00087384446	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	59.89	0.30	0.00	0.30 86.0 0.00
08/09/2017	00087384446	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	44.91	0.22	0.00	0.22 86.0 0.00
08/09/2017	00087384446	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	44.92	0.22	0.00	0.22 86.0 0.00
08/16/2017	00087384446	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	59.89	0.30	0.00	0.30 86.0 0.00
08/16/2017	00087384446	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	44.91	0.22	0.00	0.22 86.0 0.00
08/16/2017	00087384446	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	44.92	0.22	0.00	0.22 86.0 0.00
07/28/2017	00087384464	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.00
08/09/2017	00087384464	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.00
08/16/2017	00087384464	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.00
07/28/2017	00087384478	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	312.50	1.56	0.00	1.56 86.0 0.00
07/28/2017	00087384478	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	312.50	1.56	0.00	1.56 86.0 0.00
08/09/2017	00087384478	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	312.50	1.56	0.00	1.56 86.0 0.00
08/09/2017	00087384478	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	312.50	1.56	0.00	1.56 86.0 0.00
08/16/2017	00087384478	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	312.50	1.56	0.00	1.56 86.0 0.00
08/16/2017	00087384478	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	312.50	1.56	0.00	1.56 86.0 0.00
07/28/2017	00087384507	HEGARTY, EILEEN		AMCAP FUND-A	B	2.00	0.01	0.00	0.01 86.0 0.00
07/28/2017	00087384507	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	10.00	0.05	0.00	0.05 86.0 0.00
07/28/2017	00087384507	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	8.00	0.04	0.00	0.04 86.0 0.00
08/09/2017	00087384507	HEGARTY, EILEEN		AMCAP FUND-A	B	2.00	0.01	0.00	0.01 86.0 0.00
08/09/2017	00087384507	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	10.00	0.05	0.00	0.05 86.0 0.00
08/09/2017	00087384507	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	8.00	0.04	0.00	0.04 86.0 0.00
08/16/2017	00087384507	HEGARTY, EILEEN		AMCAP FUND-A	B	2.00	0.01	0.00	0.01 86.0 0.00
08/16/2017	00087384507	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	10.00	0.05	0.00	0.05 86.0 0.00
08/16/2017	00087384507	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	8.00	0.04	0.00	0.04 86.0 0.00
07/28/2017	00087384541	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	50.00	0.25	0.00	0.25 86.0 0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/28/2017	00087384541	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	50.00	0.25	0.00	0.25	86.0	0.00
08/09/2017	00087384541	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	50.00	0.25	0.00	0.25	86.0	0.00
08/09/2017	00087384541	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	50.00	0.25	0.00	0.25	86.0	0.00
08/16/2017	00087384541	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	50.00	0.25	0.00	0.25	86.0	0.00
08/16/2017	00087384541	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	50.00	0.25	0.00	0.25	86.0	0.00
07/28/2017	00087384558	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	48.49	0.24	0.00	0.24	86.0	0.00
07/28/2017	00087384558	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	48.49	0.24	0.00	0.24	86.0	0.00
08/09/2017	00087384558	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	48.49	0.24	0.00	0.24	86.0	0.00
08/09/2017	00087384558	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	48.49	0.24	0.00	0.24	86.0	0.00
08/16/2017	00087384558	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	48.49	0.24	0.00	0.24	86.0	0.00
08/16/2017	00087384558	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	48.49	0.24	0.00	0.24	86.0	0.00
07/28/2017	00087842379	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	750.00	3.75	0.00	3.75	86.0	0.00
08/09/2017	00087842379	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	750.00	3.75	0.00	3.75	86.0	0.00
08/16/2017	00087842379	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	750.00	3.75	0.00	3.75	86.0	0.00
07/28/2017	00088877759	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	45.57	0.23	0.00	0.23	86.0	0.00
07/28/2017	00088877759	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	136.72	0.68	0.00	0.68	86.0	0.00
08/09/2017	00088877759	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	45.57	0.23	0.00	0.23	86.0	0.00
08/09/2017	00088877759	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	136.72	0.68	0.00	0.68	86.0	0.00
08/16/2017	00088877759	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	47.39	0.24	0.00	0.24	86.0	0.00
08/16/2017	00088877759	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	142.18	0.71	0.00	0.71	86.0	0.00
07/28/2017	00089378227	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	42.06	0.21	0.00	0.21	86.0	0.00
08/09/2017	00089378227	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	42.06	0.21	0.00	0.21	86.0	0.00
07/28/2017	00089378259	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23	86.0	0.00
07/28/2017	00089378259	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23	86.0	0.00
07/28/2017	00089378259	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15	86.0	0.00
07/28/2017	00089378259	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.00
07/28/2017	00089378259	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.00
08/09/2017	00089378259	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23	86.0	0.00
08/09/2017	00089378259	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23	86.0	0.00
08/09/2017	00089378259	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15	86.0	0.00
08/09/2017	00089378259	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.00
08/09/2017	00089378259	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.00
08/16/2017	00089378259	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23	86.0	0.00
08/16/2017	00089378259	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23	86.0	0.00
08/16/2017	00089378259	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15	86.0	0.00
08/16/2017	00089378259	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.00
08/16/2017	00089378259	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.00
07/28/2017	00089378412	HEGARTY, EILEEN	AMCAP FUND-A	B	17.50	0.09	0.00	0.09	86.0	0.00
07/28/2017	00089378412	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	43.75	0.22	0.00	0.22	86.0	0.00
07/28/2017	00089378412	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	52.50	0.26	0.00	0.26	86.0	0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/28/2017	00089378412	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	43.75	0.22	0.00	0.22	86.0	0.00
07/28/2017	00089378412	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	17.50	0.09	0.00	0.09	86.0	0.00
08/09/2017	00089378412	HEGARTY, EILEEN							
		AMCAP FUND-A	B	18.71	0.09	0.00	0.09	86.0	0.00
08/09/2017	00089378412	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	46.78	0.23	0.00	0.23	86.0	0.00
08/09/2017	00089378412	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	56.14	0.28	0.00	0.28	86.0	0.00
08/09/2017	00089378412	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	46.78	0.23	0.00	0.23	86.0	0.00
08/09/2017	00089378412	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	18.71	0.09	0.00	0.09	86.0	0.00
08/16/2017	00089378412	HEGARTY, EILEEN							
		AMCAP FUND-A	B	18.71	0.09	0.00	0.09	86.0	0.00
08/16/2017	00089378412	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	46.78	0.23	0.00	0.23	86.0	0.00
08/16/2017	00089378412	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	56.14	0.28	0.00	0.28	86.0	0.00
08/16/2017	00089378412	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	46.78	0.23	0.00	0.23	86.0	0.00
08/16/2017	00089378412	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	18.71	0.09	0.00	0.09	86.0	0.00
08/15/2017	00089545290	RONGA, DANIEL							
		THE GROWTH FUND OF AMERICA-A	B	200.00	7.50	0.00	7.50	86.0	0.00
08/01/2017	00089817576	EVANYKE, JUDITH							
		THE GROWTH FUND OF AMERICA-A	B	4,505.30	168.95	0.00	168.95	86.0	145.30
08/01/2017	00089817576	EVANYKE, JUDITH							
		THE INVESTMENT COMPANY OF AMERICA-A	B	4,505.30	168.95	0.00	168.95	86.0	145.30
08/01/2017	00089817576	EVANYKE, JUDITH							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	4,479.22	167.97	0.00	167.97	86.0	144.45
07/28/2017	04000113773	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.00
07/28/2017	04000113773	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.00
07/28/2017	04000113773	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	6.65	0.03	0.00	0.03	86.0	0.00
07/28/2017	04000113773	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	16.63	0.08	0.00	0.08	86.0	0.00
07/28/2017	04000113773	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	14.96	0.07	0.00	0.07	86.0	0.00
07/28/2017	04000113773	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.00
08/09/2017	04000113773	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.00
08/09/2017	04000113773	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.00
08/09/2017	04000113773	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	6.65	0.03	0.00	0.03	86.0	0.00
08/09/2017	04000113773	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	16.63	0.08	0.00	0.08	86.0	0.00
08/09/2017	04000113773	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	14.96	0.07	0.00	0.07	86.0	0.00
08/09/2017	04000113773	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.00
08/16/2017	04000113773	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.00
08/16/2017	04000113773	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.00
08/16/2017	04000113773	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	6.65	0.03	0.00	0.03	86.0	0.00
08/16/2017	04000113773	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	16.63	0.08	0.00	0.08	86.0	0.00
08/16/2017	04000113773	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	14.96	0.07	0.00	0.07	86.0	0.00
08/16/2017	04000113773	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.00
07/28/2017	04000113881	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	91.15	0.46	0.00	0.46	86.0	0.00
07/28/2017	04000113881	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	27.34	0.14	0.00	0.14	86.0	0.00
07/28/2017	04000113881	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	27.34	0.14	0.00	0.14	86.0	0.00
07/28/2017	04000113881	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	36.46	0.18	0.00	0.18	86.0	0.00
08/09/2017	04000113881	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	115.91	0.58	0.00	0.58	86.0	0.00
08/09/2017	04000113881	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	34.78	0.17	0.00	0.17	86.0	0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
08/09/2017	04000113881	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	34.77	0.17	0.00	0.17	86.0	0.00
08/09/2017	04000113881	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	46.36	0.23	0.00	0.23	86.0	0.00
08/16/2017	04000113881	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	102.39	0.51	0.00	0.51	86.0	0.00
08/16/2017	04000113881	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	30.71	0.15	0.00	0.15	86.0	0.00
08/16/2017	04000113881	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	30.72	0.15	0.00	0.15	86.0	0.00
08/16/2017	04000113881	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	40.96	0.20	0.00	0.20	86.0	0.00
07/28/2017	04000113984	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
07/28/2017	04000113984	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
07/28/2017	04000113984	VARIOUS, KILLEEN							
		NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
07/28/2017	04000113984	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
07/28/2017	04000113984	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
07/28/2017	04000113984	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
07/28/2017	04000113984	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
07/28/2017	04000113984	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
07/28/2017	04000113984	VARIOUS, KILLEEN							
		THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
07/28/2017	04000113984	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017	04000113984	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017	04000113984	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017	04000113984	VARIOUS, KILLEEN							
		NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017	04000113984	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017	04000113984	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017	04000113984	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017	04000113984	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017	04000113984	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017	04000113984	VARIOUS, KILLEEN							
		THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/09/2017	04000113984	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	04000113984	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	04000113984	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	04000113984	VARIOUS, KILLEEN							
		NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	04000113984	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	04000113984	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	04000113984	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	04000113984	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	04000113984	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	04000113984	VARIOUS, KILLEEN							
		THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/16/2017	04000113984	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
08/15/2017	04000292411	CUNICELLI, LILY							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	6.25	0.00	6.25	86.0	0.00
08/16/2017	04000468432	CUNICELLI, LILY							
		NEW PERSPECTIVE FUND-A	B	1,375.00	68.75	0.00	68.75	86.0	59.13
08/16/2017	04000468432	CUNICELLI, LILY							
		THE GROWTH FUND OF AMERICA-A	B	1,375.00	68.75	0.00	68.75	86.0	59.13
08/16/2017	04000468432	CUNICELLI, LILY							
		THE INCOME FUND OF AMERICA-A	B	1,375.00	68.75	0.00	68.75	86.0	59.13

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

08/16/2017	04000468432	CUNICELLI, LILY	WASHINGTON MUTUAL INVESTORS FUND-A B	1,375.00	68.75	0.00	68.75	86.0	59.13
* MUTUAL FUNDS SUBTOTAL *				\$73,852.15	\$3,069.69	\$0.00	\$3,069.69		\$2,388.77
*** BROKER TRANSACTIONS TOTAL ***				\$73,852.15	\$3,069.69	\$0.00	\$3,069.69		\$2,388.77

ADJUSTMENTS

08/31/2017	E & O INSURANCE: E & O INSURANCE	0.00	0.00	0.00	0.00	-200.00
08/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
08/31/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
08/31/2017	OTHER: VIRTRU	0.00	0.00	0.00	0.00	-7.00
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-235.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,388.77
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-235.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.84
SIPC Assessment	\$-7.67

Check Amount	\$2,142.26
Year-to-date Earnings	\$12,483.32