

**COMMISSION STATEMENT****November 30, 2017**

DAMIAN DERING
165 Culver Blvd
Suite A
Playa Del Rey, CA 90293

BROKER #: D127
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
11/09/2017	AMIN FOUNDATION	TRILINC GLOBAL IMPACT FUND C	0.00	21.13	0.00	21.13	92.0	19.44
		* PRIVATE PLACEMENT SUBTOTAL *	\$0.00	\$21.13	\$0.00	\$21.13		\$19.44
<u>PRODUCT CATEGORY: 529 PLANS</u>								
11/14/2017	MARTINEZ, ELSA	ASCENSUS NEA AGE-BASED GROWTH 6-10	500.00	15.00	0.00	15.00	92.0	13.80
/ /	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	0.00	2.00	0.00	2.00	92.0	1.84
11/15/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
11/15/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
		* 529 PLANS SUBTOTAL *	\$800.00	\$29.76	\$0.00	\$29.76		\$27.38
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/06/2017	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	500.00	18.75	0.00	18.75	92.0	17.25
11/08/2017	ANDREWS, LISA	OPPENHEIMER GLOBAL A	1,000.00	47.50	0.00	47.50	92.0	43.70
11/06/2017	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	500.00	5.00	0.00	5.00	92.0	4.60
		* MUTUAL FUNDS SUBTOTAL *	\$2,000.00	\$71.25	\$0.00	\$71.25		\$65.55
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/11/2017	BAUTISTA, MARYANN	TRANSAMERICA LIBERTY	195,768.77	163.14	0.00	163.14	92.0	150.09
		* VARIABLE ANNUITIES SUBTOTAL *	\$195,768.77	\$163.14	\$0.00	\$163.14		\$150.09
		*** DIRECT TRANSACTIONS TOTAL ***	\$198,568.77	\$285.28	\$0.00	\$285.28		\$262.46

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$262.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$262.46
Year-to-date Gross	\$289,593.16
Year-to-date Earnings	\$265,537.81