

**COMMISSION STATEMENT****February 15, 2018****G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PFLE/KIE**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

**BROKER #: G3G**

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^02/05/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	-4,813.13	22.00	22.00	0.00	100.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$-4,813.13	\$22.00	\$22.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
^02/06/2018	PFLEGER, CLIFFORD	VANGUARD ADMIRAL FDS INC S&P 500 GR	1,264.28	0.00	22.50	-22.50	100.0	0.00
		* STOCKS SUBTOTAL *	\$1,264.28	\$0.00	\$22.50	\$-22.50		\$0.00
		*** CLEARING TRANSACTIONS TOTAL ***	\$-3,548.85	\$22.00	\$44.50	\$-22.50		\$0.00

**DIRECT TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

^01/17/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.24	0.00	0.24	100.0	0.00
^01/19/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.62	0.00	0.62	100.0	0.00
^01/19/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.04	0.00	1.04	100.0	0.00
^01/22/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.78	0.00	1.78	100.0	0.00
^01/22/2018	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.15	0.00	1.15	100.0	0.00
^01/22/2018	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.64	0.00	0.64	100.0	0.00
^01/22/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.46	0.00	1.46	100.0	0.00
^01/22/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	8.88	0.00	8.88	100.0	0.00
^01/22/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	7.87	0.00	7.87	100.0	0.00
^01/22/2018	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	1.16	0.00	1.16	100.0	0.00
^01/22/2018	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.78	0.00	0.78	100.0	0.00
^01/22/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.63	0.00	0.63	100.0	0.00
^01/16/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.05	0.00	1.05	100.0	0.00
^01/16/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.74	0.00	4.74	100.0	0.00
^01/19/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.15	0.00	2.15	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$34.19	\$0.00	\$34.19		\$0.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
^12/31/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	119,693.73	49.75	0.00	49.75	100.0	0.00
^01/31/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	131,519.91	54.67	0.00	54.67	100.0	0.00
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$251,213.64	\$104.42	\$0.00	\$104.42		\$0.00
		*** DIRECT TRANSACTIONS TOTAL ***	\$251,213.64	\$138.61	\$0.00	\$138.61		\$0.00

**ADJUSTMENTS**

02/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.01
02/15/2018		*PERSHING: PERSHING MARKUPS	0.00					0.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-0.01

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-0.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.04
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.03
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed