

**COMMISSION STATEMENT****January 15, 2018**KEITH LAYMAN
4321 FlagstaffBROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/09/2018	ORT, LEON	JEFFERSON NATIONAL	91,521.78	343.21	0.00	343.21	80.0	274.57
01/09/2018	GUNSOLLEY, MARY	JEFFERSON NATIONAL	44,607.62	167.28	0.00	167.28	80.0	133.82
01/09/2018	DILLIE, MAXIE	JEFFERSON NATIONAL	43,650.70	163.69	0.00	163.69	80.0	130.95
01/04/2018	WILLING, PATRICIA	PERSHING	248,361.66	918.60	0.00	918.60	80.0	734.88
01/04/2018	JONES, TIM	PERSHING	67,027.23	247.91	0.00	247.91	80.0	198.33
01/04/2018	SIEBER, AARON	PERSHING	250,334.28	617.26	0.00	617.26	80.0	493.81
01/04/2018	JOUPPI, SHARON	PERSHING	255,681.64	945.67	0.00	945.67	80.0	756.54
01/04/2018	DAILEY, RITA	PERSHING	307,195.54	1,136.20	0.00	1,136.20	80.0	908.96
01/04/2018	GRIME, MARK	PERSHING	82,623.89	305.60	0.00	305.60	80.0	244.48
01/04/2018	KLITZMAN, RANDALL	PERSHING	67,991.76	251.48	0.00	251.48	80.0	201.18
01/04/2018	KLITZMAN, MARY	PERSHING	220,620.10	815.99	0.00	815.99	80.0	652.79
01/04/2018	FEDLER, CHARLES	PERSHING	193,583.75	715.99	0.00	715.99	80.0	572.79
01/04/2018	DODGE, CAROLYN	PERSHING	192,664.95	712.60	0.00	712.60	80.0	570.08
01/04/2018	TROUT, CHARLOTTE	PERSHING	23,195.70	85.79	0.00	85.79	80.0	68.63
01/04/2018	OAR, RITA	PERSHING	44,784.65	165.64	0.00	165.64	80.0	132.51
01/04/2018	SAHARIAN, CAROLYN	PERSHING	56,996.02	210.81	0.00	210.81	80.0	168.65
01/04/2018	SHERBURNE, STEVEN	PERSHING	236,011.61	872.92	0.00	872.92	80.0	698.34
01/04/2018	ZINN, ROBERT	PERSHING	175,896.71	542.15	0.00	542.15	80.0	433.72
01/04/2018	JAMSCO INC	PERSHING	111,747.85	413.31	0.00	413.31	80.0	330.65
01/04/2018	BERBER, CATHY	PERSHING	301,012.59	1,113.33	0.00	1,113.33	80.0	890.66
01/04/2018	PEPPLE, GLEN	PERSHING	46,163.70	170.74	0.00	170.74	80.0	136.59
01/04/2018	RICHEY, BECKY	PERSHING	18,704.45	69.18	0.00	69.18	80.0	55.34
01/04/2018	ASHBAUGH, JOHN	PERSHING	88,856.58	328.65	0.00	328.65	80.0	262.92
01/04/2018	ZEEDYK, JANET	PERSHING	84,051.70	310.88	0.00	310.88	80.0	248.70
01/04/2018	ASHBAUGH, ALAN	PERSHING	90,011.45	332.92	0.00	332.92	80.0	266.34
01/04/2018	WILCOX, TIMOTHY	PERSHING	41,388.38	153.08	0.00	153.08	80.0	122.46

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/04/2018	NICOLET, CHERYL	PERSHING	310,924.83	1,150.00	0.00	1,150.00	80.0	920.00
01/04/2018	LOVETT, DAVID	PERSHING	680.92	2.52	0.00	2.52	80.0	2.02
01/04/2018	RUSK, JULIA	PERSHING	71,329.50	263.82	0.00	263.82	80.0	211.06
01/04/2018	GALENTINE, JOSHUA	PERSHING	68,418.24	253.05	0.00	253.05	80.0	202.44
01/04/2018	BRZYCKI, MARTIN	PERSHING	135,462.27	501.02	0.00	501.02	80.0	400.82
01/04/2018	BULLER, DOUG	PERSHING	12,331.40	45.61	0.00	45.61	80.0	36.49
01/04/2018	KLOC, CLIFFORD	PERSHING	315,628.98	1,167.39	0.00	1,167.39	80.0	933.91
01/04/2018	DEGLER, DONNA	PERSHING	65,653.90	242.83	0.00	242.83	80.0	194.26
01/04/2018	HARDESTY, MARGARET	PERSHING	143,616.01	531.18	0.00	531.18	80.0	424.94
01/04/2018	HARDESTY, MARGARET	PERSHING	15,888.42	58.77	0.00	58.77	80.0	47.02
01/04/2018	HUESMAN, PATRICIA	PERSHING	289,610.89	1,071.16	0.00	1,071.16	80.0	856.93
01/04/2018	LIPSCOMB, SAMUEL	PERSHING	163.12	0.60	0.00	0.60	80.0	0.48
01/04/2018	DELANEY, DIANA	PERSHING	29,075.31	107.54	0.00	107.54	80.0	86.03
01/04/2018	DELANEY, MATTHEW	PERSHING	32,439.54	119.98	0.00	119.98	80.0	95.98
01/04/2018	KAUZLICK, MICHAEL	PERSHING	146,402.37	541.49	0.00	541.49	80.0	433.19
01/04/2018	ORT, LEON	PERSHING	97,595.28	360.97	0.00	360.97	80.0	288.78
01/04/2018	BUNN, LINDA	PERSHING	114,862.80	424.84	0.00	424.84	80.0	339.87
01/04/2018	HAHAJ, JUDITH	PERSHING	33,603.59	124.29	0.00	124.29	80.0	99.43
01/04/2018	MARCH, MELODY	PERSHING	122,808.47	454.22	0.00	454.22	80.0	363.38
01/04/2018	CLARK, KATHLEEN	PERSHING	26,082.24	96.47	0.00	96.47	80.0	77.18
01/04/2018	GRIME, LAURA	PERSHING	56,039.10	207.27	0.00	207.27	80.0	165.82
01/04/2018	VIELKIND, LAWRENCE	PERSHING	320,754.04	1,186.35	0.00	1,186.35	80.0	949.08
01/04/2018	NOLL, PRISCILLA	PERSHING	373,101.82	1,379.97	0.00	1,379.97	80.0	1,103.98
01/04/2018	KAUZLICK, SHAWN	PERSHING	56,277.15	208.15	0.00	208.15	80.0	166.52
01/04/2018	KLITZMAN, RANDALL	PERSHING	106,086.88	392.38	0.00	392.38	80.0	313.90
01/04/2018	RICHEY, BECKY	PERSHING	443,389.85	1,639.94	0.00	1,639.94	80.0	1,311.95
01/04/2018	COMMUNITY GRACE	PERSHING	91,833.91	339.66	0.00	339.66	80.0	271.73
01/04/2018	KAUFFMAN, JOHN	PERSHING	74,771.27	276.55	0.00	276.55	80.0	221.24
01/04/2018	ZAMZOW, RONALD	PERSHING	28,194.63	104.28	0.00	104.28	80.0	83.42
01/04/2018	ZAMZOW, CARRIE	PERSHING	32,092.13	118.70	0.00	118.70	80.0	94.96
01/04/2018	TUTTLE, THOMAS	PERSHING	105,011.60	388.40	0.00	388.40	80.0	310.72
01/04/2018	DRUHOT, SCOTT	PERSHING	89,261.88	330.15	0.00	330.15	80.0	264.12
01/04/2018	WEIDES, LISA	PERSHING	152,470.60	563.93	0.00	563.93	80.0	451.14

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/04/2018	IGNEY, SHELLEY	PERSHING	125,370.24	463.70	0.00	463.70	80.0	370.96
01/04/2018	BERNDT, BARBARA	PERSHING	41,829.94	154.71	0.00	154.71	80.0	123.77
01/04/2018	HOUSER, DANIEL	PERSHING	32,903.75	121.70	0.00	121.70	80.0	97.36
01/04/2018	ISLEY, TERRY	PERSHING	52,546.60	129.57	0.00	129.57	80.0	103.66
01/04/2018	AMBRIOLE, WESLEY	PERSHING	149,820.25	554.13	0.00	554.13	80.0	443.30
01/04/2018	REED, VICTORIA	PERSHING	227,839.47	842.69	0.00	842.69	80.0	674.15
01/04/2018	NOLL, PRISCILLA	PERSHING	30,710.26	113.59	0.00	113.59	80.0	90.87
01/04/2018	THORNTON, PAUL	PERSHING	422,092.32	1,040.78	0.00	1,040.78	80.0	832.62
01/04/2018	KING, PATRICIA	PERSHING	50,674.43	187.43	0.00	187.43	80.0	149.94
01/04/2018	KING, PATRICIA	PERSHING	92,447.19	341.93	0.00	341.93	80.0	273.54
01/04/2018	VIELKIND, LAWRENCE	PERSHING	57,604.61	213.06	0.00	213.06	80.0	170.45
01/04/2018	LIPSCOMB, JOAN	PERSHING	61,408.65	227.13	0.00	227.13	80.0	181.70
01/04/2018	LIPSCOMB, SAMUEL	PERSHING	61,265.37	226.60	0.00	226.60	80.0	181.28
01/04/2018	LALLO, GARY	PERSHING	351,253.21	866.10	0.00	866.10	80.0	692.88
01/04/2018	THORNTON, PAUL	PERSHING	307,885.25	759.17	0.00	759.17	80.0	607.34
01/04/2018	SHERBURNE, STEVEN	PERSHING	145,621.60	538.60	0.00	538.60	80.0	430.88
01/10/2018	BERNDT, JAMIE	TD AMERITRADE	30,237.45	37.28	0.00	37.28	80.0	29.82
01/10/2018	BERNDT, TODD	TD AMERITRADE	244,683.98	301.67	0.00	301.67	80.0	241.34
01/10/2018	REMENSCHNEIDER, DAVID	TD AMERITRADE	352,596.14	869.42	0.00	869.42	80.0	695.54
* RIA SUBTOTAL *			\$10,185,339.94	\$34,753.62	\$0.00	\$34,753.62		\$27,802.88
*** DIRECT TRANSACTIONS TOTAL ***			\$10,185,339.94	\$34,753.62	\$0.00	\$34,753.62		\$27,802.88

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

01/04/2018	HAMM, GAIL	PERSHING	246,120.92	318.61	0.00	318.61	80.0	254.89
01/04/2018	HAMM, GAIL	PERSHING	104,619.66	135.43	0.00	135.43	80.0	108.34
01/04/2018	HAMM, GAIL	PERSHING	120,323.70	155.76	0.00	155.76	80.0	124.61
01/04/2018	EISERLE, DAVID	PERSHING	18,424.55	23.85	0.00	23.85	80.0	19.08
01/04/2018	NOVELL, DEANA	PERSHING	106,591.83	137.99	0.00	137.99	80.0	110.39
01/04/2018	GROTE, DONALD	PERSHING	113,166.56	146.49	0.00	146.49	80.0	117.19
01/04/2018	GROTE, DONALD	PERSHING	30,975.97	40.10	0.00	40.10	80.0	32.08
01/04/2018	CHIDDISTER, LISA	PERSHING	96.48	0.12	0.00	0.12	80.0	0.10

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
01/04/2018	KAISER, ROBIN	PERSHING	49,813.07	64.49	0.00	64.49	80.0	51.59
01/04/2018	KAISER, ROBERT	PERSHING	15,804.57	20.46	0.00	20.46	80.0	16.37
01/04/2018	GAY, RICHARD	PERSHING	23,702.45	30.69	0.00	30.69	80.0	24.55
01/04/2018	SORG, RICHARD	PERSHING	83,790.33	72.32	0.00	72.32	80.0	57.86
01/04/2018	BURTNETTE, PATRICIA	PERSHING	413,969.32	357.26	0.00	357.26	80.0	285.81
01/04/2018	BAILEY, LAURIE	PERSHING	45,975.35	59.51	0.00	59.51	80.0	47.61
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,373,374.76	\$1,563.08	\$0.00	\$1,563.08		\$1,250.47
*** SPLIT TRANSACTIONS TOTAL ***			\$1,373,374.76	\$1,563.08	\$0.00	\$1,563.08		\$1,250.47

ADJUSTMENTS

01/15/2018	*FINRA: KS RA REG. FEE	0.00						-120.00
01/15/2018	*PERSHING: ETF PROSPECTUS	0.00						-124.17
01/15/2018	*PERSHING: ETF PROSPECTUS	0.00						-7.89
01/15/2018	*PERSHING: MF PROSPECTUS	0.00						-89.53
01/15/2018	*PERSHING: MF PROSPECTUS	0.00						-8.80
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-350.39

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BROKER COMMISSION TOTALS

Broker Transactions	\$27,802.88
Split Transactions	\$1,250.47
Override Transactions	\$0.00
Adjustments	\$-350.39
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$28,702.96
Year-to-date Gross	\$36,316.70
Year-to-date Earnings	\$29,053.35