

COMMISSION STATEMENT

April 03, 2017

EDWARD BYRNE

421 HANOVER CENTER ROAD

ETNA, NH 03750

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD BYRNE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/09/2017	QGN005720	MARIO CAMARA	REGULUS THERAPEUTICS INC COM	B	7,000.00	250.00	17.00	233.00	75.0 170.50
03/28/2017	QGN005720	MARIO CAMARA	ENERGIOUS CORP COM	S	38,628.25	-350.00	-17.00	-333.00	75.0 -245.50 C
03/28/2017	QGN005720	MARIO CAMARA	ENERGIOUS CORP COM	S	-30,902.60	180.00	17.00	163.00	75.0 118.00
03/28/2017	QGN005720	MARIO CAMARA	ENERGIOUS CORP COM	S	-38,628.25	350.00	17.00	333.00	75.0 245.50
03/17/2017	QGW031809	SABER, MARK	ENERGIOUS CORP COM	S	-806.50	18.52	17.00	1.52	75.0 -3.11
03/17/2017	QGW031809	SABER, MARK	ENERGIOUS CORP COM	S	-3,290.00	36.00	17.00	19.00	75.0 10.00
03/21/2017	QGW031809	SABER, MARK	VUZIX CORP COM NEW	B	8,040.00	96.00	17.00	79.00	75.0 55.00
03/27/2017	QGW031809	SABER, MARK	ENERGIOUS CORP COM	S	-3,835.48	3,825.64	17.00	3,808.64	75.0 2,852.23
03/27/2017	QGW031809	SABER, MARK	ENERGIOUS CORP COM	S	3,835.48	-3,825.64	-17.00	-3,808.64	75.0 -2,852.23 C
03/27/2017	QGW031809	SABER, MARK	ENERGIOUS CORP COM	S	-3,835.48	40.00	17.00	23.00	75.0 13.00
02/24/2017	QGW031825	CARPENTER, BOBBY	ENERGIOUS CORP COM	B	7,525.00	40.00	17.00	23.00	75.0 13.00
03/21/2017	QGW031825	CARPENTER, BOBBY	VUZIX CORP COM NEW	B	32,737.00	350.00	17.00	333.00	75.0 245.50
03/21/2017	QGW031825	CARPENTER, BOBBY	VUZIX CORP COM NEW	B	-32,737.00	-350.00	-17.00	-333.00	75.0 -245.50 C
03/21/2017	QGW031825	CARPENTER, BOBBY	VUZIX CORP COM NEW	B	32,737.00	350.00	17.00	333.00	75.0 245.50
03/23/2017	QGW031825	CARPENTER, BOBBY	ENERGIOUS CORP COM	S	-15,400.00	140.00	17.00	123.00	75.0 88.00
03/23/2017	QGW031825	CARPENTER, BOBBY	ENERGIOUS CORP COM	S	15,400.00	-140.00	-17.00	-123.00	75.0 -88.00 C
03/02/2017	QGW031833	CASSADY REVOCABLE	VUZIX CORP COM NEW	B	17,172.25	250.00	17.00	233.00	75.0 170.50
03/07/2017	QGW031833	CASSADY REVOCABLE	ENERGIOUS CORP COM	S	-7,604.30	65.00	17.00	48.00	75.0 31.75
03/08/2017	QGW031833	CASSADY REVOCABLE	ENERGIOUS CORP COM	S	-3,875.00	37.50	17.00	20.50	75.0 11.13
03/09/2017	QGW031833	CASSADY REVOCABLE	ENERGIOUS CORP COM	B	56,816.76	636.16	17.00	619.16	75.0 460.12
03/09/2017	QGW031833	CASSADY REVOCABLE	ENERGIOUS CORP COM	B	14,568.40	140.00	17.00	123.00	75.0 88.00
03/09/2017	QGW031833	CASSADY REVOCABLE	ENERGIOUS CORP COM	B	-56,816.76	-636.16	-17.00	-619.16	75.0 -460.12 C
03/14/2017	QGW031833	CASSADY REVOCABLE	VUZIX CORP COM NEW	B	14,300.00	240.00	17.00	223.00	75.0 163.00
03/14/2017	QGW031833	CASSADY REVOCABLE	VUZIX CORP COM NEW	B	-14,300.00	-240.00	-17.00	-223.00	75.0 -163.00 C
03/14/2017	QGW031833	CASSADY REVOCABLE	VUZIX CORP COM NEW	B	14,300.00	240.00	17.00	223.00	75.0 163.00
03/16/2017	QGW031833	CASSADY REVOCABLE	ENERGIOUS CORP COM	S	-7,939.20	85.00	17.00	68.00	75.0 46.75
03/16/2017	QGW031833	CASSADY REVOCABLE	ENERGIOUS CORP COM	S	-3,984.80	42.50	17.00	25.50	75.0 14.88
03/17/2017	QGW031833	CASSADY REVOCABLE	ENERGIOUS CORP COM	S	-8,095.00	90.00	17.00	73.00	75.0 50.50
03/17/2017	QGW031833	CASSADY REVOCABLE	VUZIX CORP COM NEW	B	34,280.00	500.00	17.00	483.00	75.0 358.00
03/23/2017	QGW031833	CASSADY REVOCABLE	ENERGIOUS CORP COM	S	-7,700.00	70.00	17.00	53.00	75.0 35.50
03/27/2017	QGW031833	CASSADY REVOCABLE	ENERGIOUS CORP COM	S	-7,670.95	7,663.03	17.00	7,646.03	75.0 5,730.27

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/27/2017	QGW031833	CASSADY REVOCABLE							
		ENERGOUS CORP COM	S	7,670.95	-7,663.03	-17.00	-7,646.03	75.0	-5,730.27 C
03/27/2017	QGW031833	CASSADY REVOCABLE							
		ENERGOUS CORP COM	S	-7,670.95	80.00	17.00	63.00	75.0	43.00
03/21/2017	QGW031874	LABOMBARD, JOYCE							
		PROSHARES TR PROSHARES ULTRASHORT T S	S	-2,012.10	25.00	17.00	8.00	75.0	1.75
03/21/2017	QGW031874	LABOMBARD, JOYCE							
		STRATASYS LTD	S	-2,067.60	25.00	17.00	8.00	75.0	1.75
03/21/2017	QGW031874	LABOMBARD, JOYCE							
		VUZIX CORP COM NEW	B	2,677.84	40.00	17.00	23.00	75.0	13.00
03/02/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	B	23,109.90	275.00	17.00	258.00	75.0	189.25
03/07/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	-15,208.60	130.00	17.00	113.00	75.0	80.50
03/08/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	-15,500.00	150.00	17.00	133.00	75.0	95.50
03/09/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	B	29,136.80	280.00	17.00	263.00	75.0	193.00
03/13/2017	QGW031882	MICKES, THOMAS							
		VUZIX CORP COM NEW	B	7,500.00	130.00	17.00	113.00	75.0	80.50
03/16/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	-23,817.60	255.00	17.00	238.00	75.0	174.25
03/16/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	-15,933.00	170.00	17.00	153.00	75.0	110.50
03/16/2017	QGW031882	MICKES, THOMAS							
		VUZIX CORP COM NEW	B	29,159.60	350.00	17.00	333.00	75.0	245.50
03/17/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	-8,225.00	90.00	17.00	73.00	75.0	50.50
03/17/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	-16,190.00	159.08	17.00	142.08	75.0	102.31
03/17/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	-16,250.00	180.00	17.00	163.00	75.0	118.00
03/17/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	-56,824.95	630.00	17.00	613.00	75.0	455.50
03/17/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	-16,160.10	180.00	17.00	163.00	75.0	118.00
03/17/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	8,225.00	-90.00	-17.00	-73.00	75.0	-50.50 C
03/17/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	16,190.00	-159.08	-17.00	-142.08	75.0	-102.31 C
03/17/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	16,250.00	-180.00	-17.00	-163.00	75.0	-118.00 C
03/17/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	16,160.10	-180.00	-17.00	-163.00	75.0	-118.00 C
03/17/2017	QGW031882	MICKES, THOMAS							
		VUZIX CORP COM NEW	B	34,112.50	500.00	17.00	483.00	75.0	358.00
03/21/2017	QGW031882	MICKES, THOMAS							
		VUZIX CORP COM NEW	B	32,750.00	350.00	17.00	333.00	75.0	245.50
03/23/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	-15,400.00	140.00	17.00	123.00	75.0	88.00
03/23/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	15,400.00	-140.00	-17.00	-123.00	75.0	-88.00 C
03/23/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	-15,400.00	160.00	17.00	143.00	75.0	103.00
03/24/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	-15,398.00	160.00	17.00	143.00	75.0	103.00
03/27/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	-30,683.80	30,673.38	17.00	30,656.38	75.0	22,988.04
03/27/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	30,683.80	-30,673.38	-17.00	-30,656.38	75.0	-22,988.04 C
03/27/2017	QGW031882	MICKES, THOMAS							
		ENERGOUS CORP COM	S	-30,683.80	320.00	17.00	303.00	75.0	223.00
03/17/2017	QGW031908	FINNNEGAN, MICHAEL							
		ENERGOUS CORP COM	S	-4,032.53	77.82	17.00	60.82	75.0	41.37
03/17/2017	QGW031908	FINNNEGAN, MICHAEL							
		ENERGOUS CORP COM	S	-4,112.50	45.00	17.00	28.00	75.0	16.75
03/21/2017	QGW031908	FINNNEGAN, MICHAEL							
		VUZIX CORP COM NEW	B	4,950.00	67.50	17.00	50.50	75.0	33.63
03/27/2017	QGW031908	FINNNEGAN, MICHAEL							
		ENERGOUS CORP COM	S	-11,506.43	11,496.42	17.00	11,479.42	75.0	8,605.32
03/27/2017	QGW031908	FINNNEGAN, MICHAEL							
		ENERGOUS CORP COM	S	11,506.43	-11,496.42	-17.00	-11,479.42	75.0	-8,605.32 C
03/27/2017	QGW031908	FINNNEGAN, MICHAEL							
		ENERGOUS CORP COM	S	-11,506.43	120.00	17.00	103.00	75.0	73.00
03/03/2017	QGW031957	COLE, PHILLIP							
		VUZIX CORP COM NEW	B	14,000.00	200.00	17.00	183.00	75.0	133.00
03/07/2017	QGW031957	COLE, PHILLIP							
		ENERGOUS CORP COM	S	-7,604.30	65.00	17.00	48.00	75.0	31.75
03/08/2017	QGW031957	COLE, PHILLIP							
		ENERGOUS CORP COM	S	-6,200.00	60.00	17.00	43.00	75.0	28.00

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BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
03/09/2017	QGW031957	COLE, PHILLIP		ENERGOUS CORP COM	B	13,111.56	126.00	17.00	109.00	75.0	77.50
03/13/2017	QGW031957	COLE, PHILLIP		VUZIX CORP COM NEW	B	7,500.00	130.00	17.00	113.00	75.0	80.50
03/16/2017	QGW031957	COLE, PHILLIP		ENERGOUS CORP COM	S	-4,781.76	51.00	17.00	34.00	75.0	21.25
03/16/2017	QGW031957	COLE, PHILLIP		ENERGOUS CORP COM	S	-7,939.20	85.00	17.00	68.00	75.0	46.75
03/17/2017	QGW031957	COLE, PHILLIP		ENERGOUS CORP COM	S	-8,095.20	90.00	17.00	73.00	75.0	50.50
03/17/2017	QGW031957	COLE, PHILLIP		VUZIX CORP COM NEW	B	13,677.60	200.00	17.00	183.00	75.0	133.00
03/23/2017	QGW031957	COLE, PHILLIP		ENERGOUS CORP COM	S	-7,700.00	70.00	17.00	53.00	75.0	35.50
03/27/2017	QGW031957	COLE, PHILLIP		ENERGOUS CORP COM	S	-7,670.95	7,661.03	17.00	7,644.03	75.0	5,728.77
03/27/2017	QGW031957	COLE, PHILLIP		ENERGOUS CORP COM	S	7,670.95	-7,661.03	-17.00	-7,644.03	75.0	-5,728.77
03/27/2017	QGW031957	COLE, PHILLIP		ENERGOUS CORP COM	S	-7,670.95	80.00	17.00	63.00	75.0	43.00
* STOCKS SUBTOTAL *					\$-883.90	\$8,027.84	\$867.00	\$7,160.84			\$5,153.90

PRODUCT CATEGORY: OPTIONS

03/21/2017	QGW031882	MICKES, THOMAS		CALL 100 ENERGOUS CORP COM EXP 01-1 B		1,730.00	103.94	25.00	78.94	75.0	52.96
				* OPTIONS SUBTOTAL *		\$1,730.00	\$103.94	\$25.00	\$78.94		\$52.96
				*** BROKER TRANSACTIONS TOTAL ***		\$846.10	\$8,131.78	\$892.00	\$7,239.78		\$5,206.86

ADJUSTMENTS

04/03/2017		OTHER: OTHER				0.00	0.00	0.00	0.00		-479.53
04/03/2017		OTHER: COMPLIANCE AND TECHNOLOGY				0.00	0.00	0.00	0.00		-20.00
				*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-499.53

BROKER COMMISSION TOTALS

Broker Transactions	\$5,206.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.53
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-10.16
SIPC Assessment	\$-152.47

Check Amount	\$4,544.70
Year-to-date Earnings	\$7,203.47