



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**March 15, 2017**

03/14/2017  
 Page No. 1

**BARTHOLOMEW MACKLER**  
 1120 E. Long Lake Road  
 Suite 250  
 Troy, MI 48085

**BROKER #: M121**  
**BRANCH: CONCORDE FINANCIAL G**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/21/2017	HORTON, RICHARD	ALLIANZ INCOME & GROWTH FND	0.00	34.37	0.00	34.37	90.0	30.93
02/21/2017	DIX, DAVID	ALLIANZ INCOME & GROWTH FND	0.00	27.09	0.00	27.09	90.0	24.38
02/21/2017	QUENNEVILLE, JEROME	ALLIANZ INCOME & GROWTH FND	0.00	63.94	0.00	63.94	90.0	57.55
03/01/2017	MARSH, GARY	AM FUNDS AMCAP A	0.00	11.85	0.00	11.85	90.0	10.67
03/01/2017	KEY, EMMANUEL	AM FUNDS AMERICAN BALANCED A	0.00	3.59	0.00	3.59	90.0	3.23
03/01/2017	JEZDIMIR, CAROLINE	AM FUNDS AMERICAN BALANCED A	0.00	28.53	0.00	28.53	90.0	25.68
03/01/2017	BAUGHMAN/BENE, MICHELE	AM FUNDS AMERICAN BALANCED A	0.00	28.77	0.00	28.77	90.0	25.89
03/01/2017	ALLOR, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	18.24	0.00	18.24	90.0	16.42
03/01/2017	VANDEUSEN, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	3.00	0.00	3.00	90.0	2.70
03/01/2017	BURROWS, JOY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.02	0.00	3.02	90.0	2.72
03/01/2017	JACKSON, RICHARD	AM FUNDS AMERICAN MUTUAL A	0.00	2.01	0.00	2.01	90.0	1.81
03/01/2017	WATERMAN, MICHAEL	AM FUNDS AMERICAN MUTUAL A	0.00	4.70	0.00	4.70	90.0	4.23
02/21/2017	HARRISON, DAVID	AM FUNDS BOND FUND OF AMERICA 529A	50.00	0.80	0.00	0.80	90.0	0.72
03/01/2017	HARRISON, DAVID	AM FUNDS BOND FUND OF AMERICA 529A	0.00	3.32	0.00	3.32	90.0	2.99
03/01/2017	HARRISON, SHARON	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.22	0.00	0.22	90.0	0.20
03/01/2017	HARRISON, SHARON	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2017	KEENEY, DONALD	AM FUNDS BOND FUND OF AMERICA A	0.00	6.90	0.00	6.90	90.0	6.21
03/01/2017	HARRISON, DAVID	AM FUNDS BOND FUND OF AMERICA A	0.00	25.74	0.00	25.74	90.0	23.17
03/01/2017	HARRISON, SHARON	AM FUNDS BOND FUND OF AMERICA A	0.00	2.92	0.00	2.92	90.0	2.63
02/09/2017	LINGEMANN, HELEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.30	0.00	12.30	90.0	11.07
03/01/2017	LINGEMANN TRUST, THOMAS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	30.32	0.00	30.32	90.0	27.29
03/01/2017	BREZA, DONICE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.64	0.00	0.64	90.0	0.58
03/01/2017	WOJTACHA, NEAL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.18	0.00	7.18	90.0	6.46
03/01/2017	VIVIANO, KAREN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	61.67	0.00	61.67	90.0	55.50
03/01/2017	CHIECHA, MOLLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.93	0.00	3.93	90.0	3.54
03/01/2017	SCHULER/SEP, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.61	0.00	9.61	90.0	8.65

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/01/2017	HARRISON, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.28	0.00	16.28	90.0	14.65
03/01/2017	BAUGHMAN, MICHELE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.29	0.00	10.29	90.0	9.26
03/01/2017	BREZA, DONICE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.66	0.00	0.66	90.0	0.59
03/01/2017	LISOWSKI, JULIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.22	0.00	1.22	90.0	1.10
03/01/2017	O'CONNOR, ROSE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	40.51	0.00	40.51	90.0	36.46
03/01/2017	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.04	0.00	6.04	90.0	5.44
03/01/2017	REED, CAROLINA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.79	0.00	0.79	90.0	0.71
03/01/2017	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.64	0.00	1.64	90.0	1.48
03/01/2017	SCHULER/SEP, ANN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.06	0.00	5.06	90.0	4.55
03/01/2017	JACOB, IRENE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	26.81	0.00	26.81	90.0	24.13
02/21/2017	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	50.00	0.80	0.00	0.80	90.0	0.72
03/01/2017	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.65	0.00	0.65	90.0	0.59
03/01/2017	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	4.94	0.00	4.94	90.0	4.45
03/01/2017	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	3.33	0.00	3.33	90.0	3.00
03/01/2017	HARRISON, DAVID	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	24.62	0.00	24.62	90.0	22.16
02/21/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.80	0.00	0.80	90.0	0.72
02/21/2017	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	1.60	0.00	1.60	90.0	1.44
02/21/2017	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.80	0.00	0.80	90.0	0.72
02/21/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.80	0.00	0.80	90.0	0.72
03/01/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	5.59	0.00	5.59	90.0	5.03
03/01/2017	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	5.36	0.00	5.36	90.0	4.82
03/01/2017	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.55	0.00	2.55	90.0	2.30
03/01/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.87	0.00	4.87	90.0	4.38
03/01/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.31	0.00	3.31	90.0	2.98
03/01/2017	SCHULER/SEP, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.21	0.00	10.21	90.0	9.19
03/01/2017	JOHNSON, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.61	0.00	3.61	90.0	3.25
03/01/2017	JOHNSON, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.01	0.00	8.01	90.0	7.21
03/01/2017	MEGGE, STEVE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.38	0.00	1.38	90.0	1.24
03/01/2017	KAZMIROWSKI, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.52	0.00	2.52	90.0	2.27
03/01/2017	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	17.64	0.00	17.64	90.0	15.88
03/01/2017	KOZLOWSKI, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.63	0.00	8.63	90.0	7.77
03/01/2017	WOJTACHA, NEAL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.04	0.00	1.04	90.0	0.94
02/09/2017	KALOHN TRUST, RUTHANN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.81	0.00	16.81	90.0	15.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
02/09/2017	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.42	0.00	9.42	90.0	8.48
02/09/2017	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.41	0.00	5.41	90.0	4.87
02/09/2017	ODOM, EDDIE	AM FUNDS ICA A	0.00	5.28	0.00	5.28	90.0	4.75
03/01/2017	RIGGERT, HILARY	AM FUNDS ICA A	0.00	0.05	0.00	0.05	90.0	0.05
03/01/2017	KEECH, SCOTT	AM FUNDS ICA A	0.00	11.75	0.00	11.75	90.0	10.58
03/01/2017	ZECH, DONALD	AM FUNDS ICA A	0.00	10.78	0.00	10.78	90.0	9.70
03/01/2017	KOLOVSKY, JEFFREY	AM FUNDS ICA A	0.00	5.70	0.00	5.70	90.0	5.13
03/01/2017	COLLAR, VICKI	AM FUNDS ICA A	0.00	5.36	0.00	5.36	90.0	4.82
03/01/2017	MARSH, GARY	AM FUNDS ICA A	0.00	171.05	0.00	171.05	90.0	153.95
03/01/2017	SCHULER/SEP, ANN	AM FUNDS ICA A	0.00	12.97	0.00	12.97	90.0	11.67
03/01/2017	KULHANEK, CAROL	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.55
03/01/2017	KULHANEK, MICHAEL	AM FUNDS ICA A	0.00	3.37	0.00	3.37	90.0	3.03
03/01/2017	PERS, MICHAEL	AM FUNDS ICA A	0.00	1.50	0.00	1.50	90.0	1.35
03/01/2017	KULHANEK, CAROL	AM FUNDS ICA A	0.00	3.13	0.00	3.13	90.0	2.82
03/01/2017	WATERMAN, MICHAEL	AM FUNDS ICA A	0.00	4.38	0.00	4.38	90.0	3.94
03/01/2017	HARRISON, DAVID	AM FUNDS ICA A	0.00	27.19	0.00	27.19	90.0	24.47
03/01/2017	KOZLOWSKI, MICHAEL	AM FUNDS ICA A	0.00	6.13	0.00	6.13	90.0	5.52
03/01/2017	VIVIANO, KAREN	AM FUNDS ICA A	0.00	4.38	0.00	4.38	90.0	3.94
03/01/2017	BURROWS, JOY	AM FUNDS ICA A	0.00	5.08	0.00	5.08	90.0	4.57
03/01/2017	CONLIN, DANIEL	AM FUNDS ICA A	0.00	3.23	0.00	3.23	90.0	2.91
03/01/2017	BREZA, DONICE	AM FUNDS ICA A	0.00	0.72	0.00	0.72	90.0	0.65
03/01/2017	WOJTACHA, NEAL	AM FUNDS ICA A	0.00	7.51	0.00	7.51	90.0	6.76
03/01/2017	ODOM, COLLEEN	AM FUNDS ICA A	0.00	16.96	0.00	16.96	90.0	15.26
03/01/2017	EQUITY TRUST CO	AM FUNDS ICA A	0.00	9.30	0.00	9.30	90.0	8.37
03/01/2017	KEHRES, RICHARD	AM FUNDS ICA A	0.00	6.45	0.00	6.45	90.0	5.81
03/01/2017	VIVIANO, KAREN	AM FUNDS ICA B	0.00	0.08	0.00	0.08	90.0	0.07
03/01/2017	VIVIANO, KAREN	AM FUNDS ICA C	0.00	67.71	0.00	67.71	90.0	60.94
03/01/2017	WHEELER, PAUL	AM FUNDS ICA C	0.00	0.19	0.00	0.19	90.0	0.17
03/01/2017	AFFER, RONALD	AM FUNDS ICA C	0.00	0.30	0.00	0.30	90.0	0.27
03/03/2017	WHEELER, PAUL	AM FUNDS ICA C	75.00	0.75	0.00	0.75	90.0	0.68
03/03/2017	LOCKHART, JOSEPH	AM FUNDS ICA C	600.00	6.00	0.00	6.00	90.0	5.40
03/03/2017	LOUDERMILK, SCOTT	AM FUNDS ICA C	400.00	4.00	0.00	4.00	90.0	3.60
03/03/2017	AFFER, RONALD	AM FUNDS ICA C	125.00	1.25	0.00	1.25	90.0	1.13

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/09/2017	ODOM, EDDIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	44.23	0.00	44.23	90.0	39.81
02/09/2017	LINGEMANN, HELEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.21	0.00	5.21	90.0	4.69
03/01/2017	MODZELEWSKI, DENNIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	20.19	0.00	20.19	90.0	18.17
03/01/2017	REED, CAROLINA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.91	0.00	0.91	90.0	0.82
03/01/2017	ROSEN, STANLEY	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.21	0.00	15.21	90.0	13.69
03/01/2017	BREZA, DONICE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.73	0.00	0.73	90.0	0.66
03/01/2017	JOHNSON, BARBARA	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.26	0.00	5.26	90.0	4.73
03/01/2017	ROSEN, JUDITH	AM FUNDS INCOME FUND OF AMERICA A	0.00	24.26	0.00	24.26	90.0	21.83
03/01/2017	MARSH, KEITH	AM FUNDS NEW ECONOMY A	0.00	41.60	0.00	41.60	90.0	37.44
02/21/2017	HARRISON, SHARON	AM FUNDS NEW PERSPECTIVE 529A	50.00	0.80	0.00	0.80	90.0	0.72
02/21/2017	HARRISON, DAVID	AM FUNDS NEW PERSPECTIVE 529A	50.00	0.80	0.00	0.80	90.0	0.72
03/01/2017	HARRISON, SHARON	AM FUNDS NEW PERSPECTIVE 529A	0.00	4.86	0.00	4.86	90.0	4.37
03/01/2017	HARRISON, DAVID	AM FUNDS NEW PERSPECTIVE 529A	0.00	4.66	0.00	4.66	90.0	4.19
03/01/2017	HARRISON, DAVID	AM FUNDS NEW PERSPECTIVE 529A	0.00	1.26	0.00	1.26	90.0	1.13
03/01/2017	HARRISON, SHARON	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.39	0.00	0.39	90.0	0.35
03/01/2017	KEENEY, DONALD	AM FUNDS NEW PERSPECTIVE A	0.00	19.57	0.00	19.57	90.0	17.61
03/01/2017	RIGGERT, ANDREW	AM FUNDS NEW PERSPECTIVE A	0.00	9.75	0.00	9.75	90.0	8.78
03/01/2017	HARRISON, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	15.66	0.00	15.66	90.0	14.09
03/01/2017	LINGEMANN, HELEN	AM FUNDS NEW PERSPECTIVE A	0.00	37.11	0.00	37.11	90.0	33.40
03/01/2017	SCHULER/SEP, ANN	AM FUNDS NEW WORLD A	0.00	5.72	0.00	5.72	90.0	5.15
03/01/2017	RIGGERT, ANDREW	AM FUNDS NEW WORLD A	0.00	7.74	0.00	7.74	90.0	6.97
03/01/2017	O'CONNOR, ROSE	AM FUNDS NEW WORLD A	0.00	31.79	0.00	31.79	90.0	28.61
03/01/2017	GARBO, PAULETTE	AM FUNDS NEW WORLD A	0.00	1.14	0.00	1.14	90.0	1.03
03/01/2017	GARBO, PAUL	AM FUNDS NEW WORLD A	0.00	1.08	0.00	1.08	90.0	0.97
03/01/2017	HARRISON, DAVID	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	25.40	0.00	25.40	90.0	22.86
02/09/2017	PUSHIES, SUE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.66	0.00	3.66	90.0	3.29
03/01/2017	DEEB, DANUTA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	52.87	0.00	52.87	90.0	47.58
03/01/2017	REED, CAROLINA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.69	0.00	0.69	90.0	0.62
03/01/2017	TANNER, ALBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.91	0.00	1.91	90.0	1.72
03/01/2017	SCHULER/SEP, ANN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	11.56	0.00	11.56	90.0	10.40
03/01/2017	KEENEY, DONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	18.83	0.00	18.83	90.0	16.95
03/01/2017	MOOSEKIAN/AUTH PER, BRYAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.70	0.00	0.70	90.0	0.63
03/01/2017	LAPLACA, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.49	0.00	3.49	90.0	3.14

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/01/2017	LAPLACA, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.47	0.00	3.47	90.0	3.12
03/01/2017	LAPLACA, BETTY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.45	0.00	3.45	90.0	3.11
03/01/2017	DZIALO, MARIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.92	0.00	0.92	90.0	0.83
03/01/2017	ROSEN, STANLEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	173.71	0.00	173.71	90.0	156.34
03/01/2017	GAMICCHIA, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.67	0.00	10.67	90.0	9.60
03/01/2017	KUSCH, KIMBERLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2017	KUSCH, CHRISTOPHER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2017	LATOUR, KERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.80	0.00	1.80	90.0	1.62
03/01/2017	GAMICCHIA, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.98	0.00	4.98	90.0	4.48
03/01/2017	ROHLOFF, CARL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	27.36	0.00	27.36	90.0	24.62
03/01/2017	HARRISON, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	27.84	0.00	27.84	90.0	25.06
03/01/2017	KEY, EMMANUEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.04	0.00	4.04	90.0	3.64
03/01/2017	JEZDIMIR, CAROLINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	31.37	0.00	31.37	90.0	28.23
03/01/2017	WOJTACHA, DOROTHY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	114.89	0.00	114.89	90.0	103.40
03/01/2017	LISOWSKI, JULIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.81	0.00	1.81	90.0	1.63
03/01/2017	CHIECHA, MOLLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.90	0.00	3.90	90.0	3.51
03/01/2017	CHIECHA, MOLLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.15	0.00	0.15	90.0	0.14
03/02/2017	CHIECHA, MOLLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	90.0	2.25
02/09/2017	MILLER, ROBERT	BLK GLOBAL ALLOCATION B	0.00	3.20	0.00	3.20	90.0	2.88
02/09/2017	MARSH, GARY	COLUMBIA DIVIDEND OPP A	0.00	4.58	0.00	4.58	90.0	4.12
03/03/2017	MUNACO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	14.63	0.00	14.63	90.0	13.17
03/03/2017	MARSH, JANICE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.67	0.00	6.67	90.0	6.00
03/03/2017	MARSH/SEP, GARY	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.64	0.00	6.64	90.0	5.98
02/21/2017	PALFFY, AMANDA	DAVIS NEW YORK VENTURE B	0.00	2.11	0.00	2.11	90.0	1.90
02/21/2017	PALFFY, JESSICA	DAVIS NEW YORK VENTURE B	0.00	1.71	0.00	1.71	90.0	1.54
02/21/2017	PALFFY, VICTORIA	DAVIS NEW YORK VENTURE B	0.00	1.27	0.00	1.27	90.0	1.14
02/09/2017	PALFFY, AMANDA	FEDERATED CAPITAL INCOME B	0.00	0.15	0.00	0.15	90.0	0.14
02/09/2017	PALFFY, JESSICA	FEDERATED CAPITAL INCOME B	0.00	0.12	0.00	0.12	90.0	0.11
02/09/2017	PALFFY, VICTORIA	FEDERATED CAPITAL INCOME B	0.00	0.09	0.00	0.09	90.0	0.08
02/09/2017	QUENNEVILLE, JEROME	FEDERATED CAPITAL INCOME B	0.00	13.69	0.00	13.69	90.0	12.32
02/09/2017	JOHNSON, WILLIAM	FEDERATED CAPITAL INCOME B	0.00	11.01	0.00	11.01	90.0	9.91
02/09/2017	CURL, BRIAN K	FEDERATED CAPITAL INCOME B	0.00	1.73	0.00	1.73	90.0	1.56
02/10/2017	LAROCHE, DAVID	FEDERATED CAPITAL INCOME B	12,742.21	50.00	0.00	50.00	90.0	45.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/09/2017	HORTON, RICHARD	FEDERATED GLOBAL ALLOCATION B	0.00	25.40	0.00	25.40	90.0	22.86
02/09/2017	CURL, BRIAN K	FEDERATED GLOBAL ALLOCATION B	0.00	4.42	0.00	4.42	90.0	3.98
02/09/2017	LINGEMANN TRUST, THOMAS	FRANKLIN MI TAX FR INCOME A	0.00	2.41	0.00	2.41	90.0	2.17
02/21/2017	MILLER, ROBERT	IVY FDS INC ASSET STRATEGY FD CL B	0.00	2.57	0.00	2.57	90.0	2.31
02/09/2017	HORTON, RICHARD	LEGG MASON CLEARBRIDGE TACTICAL DIV	0.00	3.74	0.00	3.74	90.0	3.37
02/21/2017	BECK III, FRANKLIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.09	0.00	0.09	90.0	0.08
02/21/2017	NIPPA, BETTY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.73	0.00	0.73	90.0	0.66
02/21/2017	QUENNEVILLE, JEROME	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.46	0.00	0.46	90.0	0.41
02/21/2017	LINGEMANN TRUST, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.95	0.00	0.95	90.0	0.86
02/21/2017	LAMARRA, BEN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.80	0.00	0.80	90.0	0.72
02/21/2017	MAPLEY, CRISSELLA	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.32	0.00	1.32	90.0	1.19
02/21/2017	CURL, BRIAN K	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.53	0.00	0.53	90.0	0.48
02/21/2017	CURL, BRIAN K	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.46	0.00	0.46	90.0	0.41
02/21/2017	LINGEMANN, HELEN	OPPENHEIMER INTL DIVERSIFIED B	0.00	5.93	0.00	5.93	90.0	5.34
02/21/2017	LINGEMANN, HELEN	OPPENHEIMER LIMITED TERM MUNI B	0.00	5.91	0.00	5.91	90.0	5.32
02/09/2017	TUMINO TRUST, RAPHAEL	PUTNAM EQUITY INCOME A	0.00	5.86	0.00	5.86	90.0	5.27
02/09/2017	TUMINO TRUST, RAPHAEL	PUTNAM MI TAX EXEMPT INCOME A	0.00	1.44	0.00	1.44	90.0	1.30
03/02/2017	TERRELL, KATHLEEN	TRANSAMERICA AA MODERATE C	0.00	8.19	0.00	8.19	90.0	7.37
03/02/2017	KRYSTOFF, LAURA	TRANSAMERICA AA MODERATE C	0.00	26.63	0.00	26.63	90.0	23.97
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$14,442.21</b>	<b>\$2,099.72</b>	<b>\$0.00</b>	<b>\$2,099.72</b>		<b>\$1,889.83</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
02/06/2017	HORTON, RICHARD	***NAVIOS MARITIME MIDSTREAM	17,150.99	162.00	14.00	148.00	90.0	133.20
02/06/2017	ECKERT'S GREENHOUSE	***NAVIOS MARITIME MIDSTREAM	4,036.13	50.00	14.00	36.00	90.0	32.40
02/06/2017	QUENNEVILLE, JEROME	***NAVIOS MARITIME MIDSTREAM	15,568.06	142.00	14.00	128.00	90.0	115.20
02/06/2017	MACKLER, PATRICK	***NAVIOS MARITIME MIDSTREAM	7,570.63	82.00	14.00	68.00	90.0	61.20
02/06/2017	HERRADA, ROBERT	***NAVIOS MARITIME MIDSTREAM	18,376.07	162.00	14.00	148.00	90.0	133.20
02/13/2017	JOHNSON, WILLIAM	***YAMANA GOLD INC	696.68	22.00	14.00	8.00	90.0	7.20
02/01/2017	LAMARRA, BEN	BRISTOL MYERS SQUIBB CO COM	4,865.90	50.00	14.00	36.00	90.0	32.40
02/10/2017	GRIESHABER, JUDY	COTY INC	2,855.00	50.00	14.00	36.00	90.0	32.40
02/10/2017	BORR, RUDOLPH	HECLA MINING COMPANY	9,118.20	50.00	14.00	36.00	90.0	32.40
02/10/2017	HORTON, RICHARD	PARK ELECTROCHEMICAL CORP	19,164.00	182.00	14.00	168.00	90.0	151.20
02/10/2017	ECKERT'S GREENHOUSE	PARK ELECTROCHEMICAL CORP	3,849.14	50.00	14.00	36.00	90.0	32.40
02/10/2017	HORTON, RICHARD	PARK ELECTROCHEMICAL CORP	17,433.00	142.00	14.00	128.00	90.0	115.20

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
02/10/2017	QUENNEVILLE, JEROME	PARK ELECTROCHEMICAL CORP	7,676.00	80.00	14.00	66.00	90.0	59.40
02/10/2017	MACKLER, PATRICK	PARK ELECTROCHEMICAL CORP	7,636.00	86.00	14.00	72.00	90.0	64.80
02/10/2017	LOWELL, THOMAS	PARK ELECTROCHEMICAL CORP	19,200.00	180.00	14.00	166.00	90.0	149.40
02/10/2017	LAROCHE, DAVID	PARK ELECTROCHEMICAL CORP	12,610.00	50.00	14.00	36.00	90.0	32.40
02/10/2017	HERRADA, ROBERT	PARK ELECTROCHEMICAL CORP	11,496.00	152.00	14.00	138.00	90.0	124.20
02/10/2017	REISDORF, PATRICK	PARK ELECTROCHEMICAL CORP	4,674.27	50.00	14.00	36.00	90.0	32.40
02/13/2017	JOHNSON, WILLIAM	PARK ELECTROCHEMICAL CORP	19,720.00	242.00	14.00	228.00	90.0	205.20
02/07/2017	MAZURKIEWICZ, JOHN	PIMCO DYNAMIC INCOME FUND	18,143.75	125.00	14.00	111.00	90.0	99.90
02/07/2017	JOHNSON, WILLIAM	PIMCO DYNAMIC INCOME FUND	18,120.63	110.00	14.00	96.00	90.0	86.40
02/07/2017	LOWELL, THOMAS	PIMCO DYNAMIC INCOME FUND	18,850.00	105.00	14.00	91.00	90.0	81.90
02/10/2017	HERRADA, ROBERT	PIMCO DYNAMIC INCOME FUND	8,619.06	75.00	14.00	61.00	90.0	54.90
02/10/2017	QUENNEVILLE, JEROME	PIMCO DYNAMIC INCOME FUND	8,331.76	50.00	14.00	36.00	90.0	32.40
* STOCKS SUBTOTAL *			\$275,761.27	\$2,449.00	\$336.00	\$2,113.00		\$1,901.70
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/28/2017	DAMRON, ROBERT	JNL PERSPECTIVE	260,225.24	54.21	0.00	54.21	90.0	48.79
02/28/2017	JOHNSON, WILLIAM	JNL PERSPECTIVE	1,737.39	0.36	0.00	0.36	90.0	0.32
02/28/2017	MITCHELL, DONALD	JNL PERSPECTIVE	8,010.97	1.67	0.00	1.67	90.0	1.50
02/28/2017	ROHLOFF, CARL	JNL PERSPECTIVE	13,272.20	2.77	0.00	2.77	90.0	2.49
02/28/2017	SCHNELL, JAMES	JNL PERSPECTIVE	4,982.32	2.08	0.00	2.08	90.0	1.87
02/28/2017	ANTON, CAROLE	JNL PERSPECTIVE II 04/14	25,456.21	10.61	0.00	10.61	90.0	9.55
02/28/2017	RYAN, NANCY	JNL PERSPECTIVE L	64,969.69	54.14	0.00	54.14	90.0	48.73
02/28/2017	HOUESHELL, DARLENE	JNL PERSPECTIVE L	133,989.13	111.66	0.00	111.66	90.0	100.49
02/28/2017	MAZURKIEWICZ, JAMES	JNL PERSPECTIVE L	51,665.53	43.05	0.00	43.05	90.0	38.75
02/28/2017	CASGRAIN, GAYL	JNL PERSPECTIVE L	286,345.65	238.62	0.00	238.62	90.0	214.76
02/28/2017	SNYDER, MICHAEL C	JNL PERSPECTIVE L	38,601.96	32.17	0.00	32.17	90.0	28.95
02/28/2017	JOHNSON, WILLIAM	JNL PERSPECTIVE L	67,531.22	56.28	0.00	56.28	90.0	50.65
* VARIABLE ANNUITIES SUBTOTAL *			\$956,787.51	\$607.62	\$0.00	\$607.62		\$546.85
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
02/13/2017	HORTON, RICHARD	UIT FIRST TRUST SABRIENT DIVID	14,252.36	50.00	14.00	36.00	90.0	32.40
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$14,252.36	\$50.00	\$14.00	\$36.00		\$32.40
*** BROKER TRANSACTIONS TOTAL ***			\$1,261,243.35	\$5,206.34	\$350.00	\$4,856.34		\$4,370.78

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
03/15/2017		*BAL CARRIED FORWARD: FROM 2/28/17	0.00					0.59
03/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2017		*RBC: DEBIT BAL WRITEOFF	0.00					-81.21
03/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-50.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-430.62

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,370.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-430.62
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,940.16
Year-to-date Gross	\$22,663.62
Year-to-date Earnings	\$26,592.10

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