



All Companies

06/28/2018

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COMMISSION STATEMENT

July 02, 2018

JOHN J. O'REILLY
25042 SUMMIT CREEK

BROKER #: 9585
BRANCH: HOME OFFICE

San Antonio, TX 78258-

COMMISSION STATEMENT for JOHN J. O'REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/31/2018	TRAILS	-TEXAS 529 PLAN	B	2.92	2.92	0.00	2.92	70.0	2.04
05/31/2018	TRAILS	BLACK ROCK	B	0.50	0.50	0.00	0.50	70.0	0.35
05/31/2018	TRAILS	BLACK ROCK	B	0.50	0.50	0.00	0.50	70.0	0.35
06/06/2018	GONZALES, JACOB L.	FORESTERS FINANCIAL	B	30.00	1.50	0.00	1.50	70.0	1.05
06/11/2018	000000000092231 TARPINIAN, JOSEPH	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	2.50	0.00	2.50	70.0	1.75
06/11/2018	000000000092243 JONES, KAREN	FRK INTL SMALL CAP GROWTH FUND - CL B	B	55.00	1.54	0.00	1.54	70.0	1.08
06/11/2018	000000001177637 JONES, KAREN M.	FRK AGGRESSIVE GRWTH - CL A	B	96.25	2.70	0.00	2.70	70.0	1.89
06/15/2018	000000001293253 VOHRA, RUKSANA	FRANKLIN RISING DIVIDEND 2 FD	B	50.00	0.50	0.00	0.50	70.0	0.35
06/11/2018	000006982462769 JONES, KAREN	TEMPLETON GLOBAL BD FD CL I	B	27.50	0.77	0.00	0.77	70.0	0.54
06/11/2018	000015813931074 TARPINIAN, JOSEPH	FRANKLIN RISING DIVIDEND FD CL I	B	50.00	2.50	0.00	2.50	70.0	1.75
06/11/2018	000015813931100 JONES, KAREN	FRANKLIN RISING DIVIDEND FD CL I	B	96.25	2.70	0.00	2.70	70.0	1.89
06/18/2018	00084387418 LERMA, ALEJANDRO	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	50.00	2.50	0.00	2.50	70.0	1.75
06/15/2018	00084586485 GUAJARDO, JORGE	THE GROWTH FUND OF AMERICA-C	B	150.00	1.50	0.00	1.50	70.0	1.05
06/22/2018	00084750749 ARTAIL, KIMBERLY	CAPITAL WORLD GROWTH AND INCOME-529 B	B	50.00	0.50	0.00	0.50	70.0	0.35
06/18/2018	00084753253 UNTIL AGE 21, TX/UTMA	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	70.0	0.35
06/12/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	4.92	0.04	0.00	0.04	70.0	0.03
06/19/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	4.94	0.04	0.00	0.04	70.0	0.03
06/05/2018	02000035041 GONZALEZ, ROBERT	AGES 12-14 YEARS A	B	30.00	0.90	0.00	0.90	70.0	0.63
06/05/2018	02000035042 GONZALEZ, ROBERT	AGES 12-14 YEARS A	B	30.00	0.90	0.00	0.90	70.0	0.63
06/05/2018	02000094560 MCCANN/WILEY, JANIE/KERBI	AGE BASED PORTFOLIO 30 A	B	25.00	0.75	0.00	0.75	70.0	0.53
06/14/2018	1951227842 WONG, AARON	INTERNATIONAL DIVERSIFIED FUND A	B	200.00	9.50	0.00	9.50	70.0	6.65
06/01/2018	1970666875 PINA-HERNANDEZ, DIANA	INTERNATIONAL DIVERSIFIED FUND C	B	131.25	1.31	0.00	1.31	70.0	0.92
06/01/2018	8821716979 PINA-HERNANDEZ, DIANA	OPPENHEIMER INTL BD FD CL C	B	43.75	0.44	0.00	0.44	70.0	0.31
* MUTUAL FUNDS SUBTOTAL *				\$1,228.78	\$37.51	\$0.00	\$37.51		\$26.27

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018 12220999	SMITH, BERNARD C. III	SIGNAL SECURITIES RIA	B	0.00	103.34	0.00	103.34	70.0	72.34
04/13/2018 13238509	SINCLAIR, KATHERINE M.	SIGNAL SECURITIES RIA	B	0.00	100.66	0.00	100.66	70.0	70.46
04/13/2018 13983746	ENGELHORN, ANN	SIGNAL SECURITIES RIA	B	0.00	79.00	0.00	79.00	70.0	55.30
04/13/2018 14035391	PETRUCCI, MICHELE L	SIGNAL SECURITIES RIA	B	0.00	218.34	0.00	218.34	70.0	152.84
04/13/2018 15170253	ALMONTE, JANET	SIGNAL SECURITIES RIA	B	0.00	23.66	0.00	23.66	70.0	16.56
04/13/2018 16851102	SPAIN, ASHLEY & KENNET	SIGNAL SECURITIES RIA	B	0.00	7.34	0.00	7.34	70.0	5.14
04/13/2018 26132361	HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	70.0	5.60
04/13/2018 27920326	KIRCHOFER/TRUSTY, DAWN & BETTY	SIGNAL SECURITIES RIA	B	0.00	40.34	0.00	40.34	70.0	28.24
04/13/2018 29926270	KIRKMAN, KENT S	SIGNAL SECURITIES RIA	B	0.00	40.34	0.00	40.34	70.0	28.24
04/13/2018 31239223	HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	46.34	0.00	46.34	70.0	32.44
04/13/2018 35855095	ALMONTE, ROBERT	SIGNAL SECURITIES RIA	B	0.00	243.34	0.00	243.34	70.0	170.34
04/13/2018 38672030	HETTINGER, STEPHANIE/ANDRE	SIGNAL SECURITIES RIA	B	0.00	10.34	0.00	10.34	70.0	7.24
04/13/2018 39026321	SMITH, KATHY J	SIGNAL SECURITIES RIA	B	0.00	137.66	0.00	137.66	70.0	96.36
04/13/2018 44751241	WILLSON, BRENDA EVANS	SIGNAL SECURITIES RIA	B	0.00	189.66	0.00	189.66	70.0	132.76
04/13/2018 45156560	WALKER, KATHLEEN DIANE	SIGNAL SECURITIES RIA	B	0.00	364.34	0.00	364.34	70.0	255.04
04/13/2018 48792670	TRAN, JOHN HUAN	SIGNAL SECURITIES RIA	B	0.00	125.66	0.00	125.66	70.0	87.96
04/13/2018 49221103	PREUSS, CHARLES/JEANETT	SIGNAL SECURITIES RIA	B	0.00	387.66	0.00	387.66	70.0	271.36
04/13/2018 51882908	SULLIVAN, DONNA B.	SIGNAL SECURITIES RIA	B	0.00	129.66	0.00	129.66	70.0	90.76
04/13/2018 52865620	JAHNS, BARBARA J.	SIGNAL SECURITIES RIA	B	0.00	222.00	0.00	222.00	70.0	155.40
04/13/2018 53855208	SPAIN, KENNETH	SIGNAL SECURITIES RIA	B	0.00	9.66	0.00	9.66	70.0	6.76
04/13/2018 55187004	MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	359.00	0.00	359.00	70.0	251.30
04/13/2018 56791320	TRUSTY, BETTY	SIGNAL SECURITIES RIA	B	0.00	49.34	0.00	49.34	70.0	34.54
04/13/2018 58311572	MORIARTY, MERRY/DANIEL	SIGNAL SECURITIES RIA	B	0.00	135.34	0.00	135.34	70.0	94.74
04/13/2018 60833365	SPAIN, ASHLEY & KENNET	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	70.0	1.40
04/13/2018 62758634	KARL, LAURA/ FERNANDO	SIGNAL SECURITIES RIA	B	0.00	679.00	0.00	679.00	70.0	475.30
04/13/2018 63242808	ROSS, ERIS R	SIGNAL SECURITIES RIA	B	0.00	55.66	0.00	55.66	70.0	38.96
04/13/2018 68055487	MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	30.34	0.00	30.34	70.0	21.24
04/13/2018 68991609	HUSTON, STEVE C	SIGNAL SECURITIES RIA	B	0.00	224.66	0.00	224.66	70.0	157.26
04/13/2018 69084796	HETTINGER, ANDREW JASON	SIGNAL SECURITIES RIA	B	0.00	0.53	0.00	0.53	70.0	0.37
04/13/2018 72527951	ENGELHORN, ANN	SIGNAL SECURITIES RIA	B	0.00	151.66	0.00	151.66	70.0	106.16
04/13/2018 76952379	KIRKMAN, ELLEN F	SIGNAL SECURITIES RIA	B	0.00	31.00	0.00	31.00	70.0	21.70

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: RIA									
04/13/2018	77047700 HETTINGER, STEPHANIE/ANDRE	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	70.0	7.00
04/13/2018	77154313 HILLS, BERNARD& ANTOIN	SIGNAL SECURITIES RIA	B	0.00	260.00	0.00	260.00	70.0	182.00
04/13/2018	81586140 MURPHY, ROBERTA K	SIGNAL SECURITIES RIA	B	0.00	120.00	0.00	120.00	70.0	84.00
04/13/2018	82278696 GARRISON, PATRICIA A	SIGNAL SECURITIES RIA	B	0.00	40.34	0.00	40.34	70.0	28.24
04/13/2018	84235121 JONES, MATTHEW W	SIGNAL SECURITIES RIA	B	0.00	10.34	0.00	10.34	70.0	7.24
04/13/2018	85795100 BRUCE, BRENDA	SIGNAL SECURITIES RIA	B	0.00	248.00	0.00	248.00	70.0	173.60
04/13/2018	86268239 BOURGEOIS, FAY L.	SIGNAL SECURITIES RIA	B	0.00	59.66	0.00	59.66	70.0	41.76
04/13/2018	90026714 O'CONNOR, CHRISTINE A	SIGNAL SECURITIES RIA	B	0.00	183.34	0.00	183.34	70.0	128.34
04/13/2018	92572691 HERRING, GAYLE HALL	SIGNAL SECURITIES RIA	B	0.00	26.66	0.00	26.66	70.0	18.66
04/13/2018	94367363 HILLS, SANDRA A	SIGNAL SECURITIES RIA	B	0.00	6.34	0.00	6.34	70.0	4.44
04/13/2018	98606484 WILLSON II, DERRELL C	SIGNAL SECURITIES RIA	B	0.00	312.66	0.00	312.66	70.0	218.86
04/13/2018	99342265 SPAIN, KENNETH	SIGNAL SECURITIES RIA	B	0.00	27.34	0.00	27.34	70.0	19.14
* RIA SUBTOTAL *				\$0.00	\$5,510.55	\$0.00	\$5,510.55		\$3,857.39
*** BROKER TRANSACTIONS TOTAL ***				\$1,228.78	\$5,548.06	\$0.00	\$5,548.06		\$3,883.66

ADJUSTMENTS

07/02/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$3,883.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$3,856.16
Year-to-date Earnings	\$29,167.14