RICHARD SOWERS

2451 McMullen Booth Road Suite 263 Clearwater, FL 33759 BROKER #: 71

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT	CATEGORY: MUTUAL FUNDS	BROK	ER TRANSACTIONS					
	8 ***-**-3221 - PITTM, BARB ACCOUNT #: 00940010050	ALLIANCEBERNSTEIN GROWTH AND I BUY 16 Units @6.2000	NC A 100.00	4.00	0.00	4.00	90.0	3.60
07/10/201	.8 ***-**-255P - WILSON, HELEN ACCOUNT #: 00082184171	CAPITAL INCOME BUILDER-A BUY 1 Units @62.6200	50.00	1.00	0.00	1.00	90.0	0.90
07/10/201	8 ***-**-525P - WILSON, FRANCIS ACCOUNT #: 00082184129	CAPITAL INCOME BUILDER-A BUY 3 Units @62.6200	196.58	3.93	0.00	3.93	90.0	3.54
07/10/201	.8 ***-**-211I - MEGILL, ROBERT ACCOUNT #: 00084334457	CAPITAL INCOME BUILDER-A BUY 2 Units @63.2600	134.13	3.69	0.00	3.69	90.0	3.32
07/10/201	.8 ***-**-828I - SHARMA, BOBBY ACCOUNT #: 00084334446	CAPITAL INCOME BUILDER-A BUY 2 Units @63.9300	100.00	3.75	0.00	3.75	90.0	3.38
07/10/201	.8 ***-**-706I - HOFSTETTER, ACCOUNT #: 00084334451	CAPITAL INCOME BUILDER-A BUY 0 Units @64.2600	30.00	1.28	0.00	1.28	90.0	1.15
07/10/201	8 ***-**-255P - WILSON, HELEN ACCOUNT #: 00082184171	CAPITAL WORLD GROWTH AND INCOMBUY 1 Units @53.1900	E FUN 50.00	1.00	0.00	1.00	90.0	0.90
07/10/201	.8 ***-**-525P - WILSON, FRANCIS ACCOUNT #: 00082184129	CAPITAL WORLD GROWTH AND INCOMBUY 4 Units @53.1900	IE FUN 196.58	3.93	0.00	3.93	90.0	3.54
07/10/201	.8 ***-**-211I - MEGILL, ROBERT ACCOUNT #: 00084334457	CAPITAL WORLD GROWTH AND INCOMBUY 2 Units @53.7400	IE FUN 134.13	3.69	0.00	3.69	90.0	3.32
07/10/201	.8 ***-**-828I - SHARMA, BOBBY ACCOUNT #: 00084334446	CAPITAL WORLD GROWTH AND INCOMBUY 2 Units @54.3000	IE FUN 100.00	3.75	0.00	3.75	90.0	3.38
07/10/201	8 ***-**-46IN - MILLS, CATHERINE ACCOUNT #: 00086578408	CAPITAL WORLD GROWTH AND INCOM BUY 2 Units @54.5900	IE FUN 100.00	4.25	0.00	4.25	90.0	3.83
07/10/201	.8 ***-**-706I - HOFSTETTER, ACCOUNT #: 00084334451	CAPITAL WORLD GROWTH AND INCOMBUY 1 Units @54.5900	IE FUN 30.00	1.28	0.00	1.28	90.0	1.15
07/10/201	.8 ***-**-224I - WILSON, FRANCIS ACCOUNT #: 00085899638	FUNDAMENTAL INVESTORS-A BUY 7 Units @67.2600	442.60	16.60	0.00	16.60	90.0	14.94
07/10/201	.8 ***-**-255P - WILSON, HELEN ACCOUNT #: 00082184171	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.9700	50.00	1.00	0.00	1.00	90.0	0.90
07/10/201	8 ***-**-525P - WILSON, FRANCIS ACCOUNT #: 00082184129	THE GROWTH FUND OF AMERICA-A BUY 3 Units @56.9700	196.58	3.93	0.00	3.93	90.0	3.54
07/10/201	8 ***-**-211I - MEGILL, ROBERT ACCOUNT #: 00084334457	THE GROWTH FUND OF AMERICA-A BUY 2 Units @57.5600	134.13	3.69	0.00	3.69	90.0	3.32



RICHARD SOWERS

2451 McMullen Booth Road Suite 263 Clearwater, FL 33759 BROKER #: 71

### PROPRIET TRANSACTIONS (CONTINUED) PRODUCT CATEGORY: MUTUAL FUNDS (CONTINUED) PRODUCT CATEGORY: MUTUAL FUNDS (CONTINUED) PRODUCT CATEGORY: MUTUAL FUNDS (CONTINUED) PROPRIET SHARMA, BOSBY	BROKER COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	GROSS MISSION	ESTMENT AMOUNT CO		CLIENT INVESTMEN		DATE
07/10/2018 ***-**-8281 - SHARMA, ROBBY ACCOUNT #: 00084334445 BUY 2 Units #98.1700 07/10/2018 ***-*-*-4161 - MILLS, CATMERINE THE GROWTH FUND OF AMERICA-A 100.00 4.25 0.00 4.25 90.0 07/10/2018 ***-*-*-7061 - HOPSTETTER, THE GROWTH FUND OF AMERICA-A 30.00 1.28 0.00 1.28 90.0 07/10/2018 ***-*-*-2111 - MEGILL, ROBERT THE GROWTH FUND OF AMERICA-A 30.00 1.28 0.00 3.69 90.0 07/10/2018 ***-*-*-2111 - MEGILL, ROBERT THE INCOME FUND OF AMERICA-A 30.00 1.28 0.00 3.69 90.0 07/10/2018 ***-*-*-2111 - MEGILL, ROBERT THE INCOME FUND OF AMERICA-A 134.13 3.69 0.00 3.69 90.0 07/10/2018 ***-*-*-2111 - MEGILL, ROBERT THE INCOME FUND OF AMERICA-A 134.13 3.69 0.00 3.75 90.0 07/10/2018 ***-*-*-2111 - MEGILL, ROBERT THE INCOME FUND OF AMERICA-A 100.00 3.75 0.00 3.75 90.0 07/10/2018 ***-*-*-2581 - SHARMA, BOBBY THE INCOME FUND OF AMERICA-A 100.00 3.75 0.00 3.75 90.0 07/10/2018 ***-*-*-2581 - HOPSTETTER, THE INCOME FUND OF AMERICA-A 30.00 1.28 0.00 1.28 90.0 07/10/2018 ***-*-*-5259 - WILSON, HELEN MASHINGTON MUTUAL INVESTORS FUND-A ACCOUNT #: 00084334451 BUY 1 Units #046.7100 07/10/2018 ***-*-*-5259 - WILSON, FRANCIS MASHINGTON MUTUAL INVESTORS FUND-A ACCOUNT #: 00082184129 BUY 4 Units #010000.0000 **MUTUAL FUNDS SUBTOTAL * \$2,785.44 \$83.70 \$0.00 \$83.70 PRODUCT CATEGORY: EQUITY INDEXED ANNUTIES 07/10/2018 ***-*-*-2590 - WILSON, PRANCIS MASHINGTON MUTUAL INVESTORS FUND-A 196.58 3.93 0.00 \$475.00 \$0.00 **EQUITY INDEXED ANNUTIES 07/10/2018 ***-*-*-2590 - WILSON, PRANCIS MASHINGTON MUTUAL INVESTORS FUND-A 196.58 3.93 0.00 \$475.00 \$0.00 \$83.70 PRODUCT CATEGORY: EQUITY INDEXED ANNUTIES 07/10/2018 **-*-*-2590 - HOLLINGSHEAD, AFE INCOME PLUS MUTUAL FUNDS SUBTOTAL * \$10,000.00 \$475.00 \$0.00 \$475.00 \$0.00 \$475.00 \$0.00 \$475.00 \$0.00 \$475.00 \$0.00 \$475.00 \$0.00					<u> </u>	(continued	BROKER TRANSACTIONS			
ACCOUNT #: 00084334446 BUY 2 Units @58.1700 07/10/2018 ***-**-46IN - MILLS, CATHERINE THE GROWTH FUND OF AMERICA-A 100.00 4.25 0.00 4.25 90.0 07/10/2018 ***-**-706I - HOFSTETTER, ALCOUNT #: 00084334451 BUY 1 Units @58.4700 07/10/2018 ***-**-710I - MEGILL, ROBERT THE HUNCHE FUND OF AMERICA-A 30.00 1.28 0.00 3.69 90.0 07/10/2018 ***-**-211I - MEGILL, ROBERT THE HUNCHE FUND OF AMERICA-A 134.13 3.69 0.00 3.69 90.0 07/10/2018 ***-**-20084334457 BUY 6 Units @23.9200 3.75 0.00 3.75 0.00 3.75 90.0 07/10/2018 ***-**-20084334457 BUY 6 Units @23.9200 3.75 0.00 3.75 0.00 3.75 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0							inued)	ATEGORY: MUTUAL FUNDS (continued)	T C	PRODUCT
ACCOUNT #: 00086578408 BUY 2 Units @58.4700 07/10/2018 ***-**-7061 - HOFSTETTER, THE GROWTH FUND OF AMERICA-A 30.00 1.28 0.00 1.28 0.00 1.28 0.00 07/10/2018 ***-**-2011 - MEGILL, ROBERT THE INCOME FUND OF AMERICA-A 134.13 3.69 0.00 3.69 0.00 3.69 0.00 07/10/2018 ***-**-2111 - MEGILL, ROBERT THE INCOME FUND OF AMERICA-A 100.00 3.75 0.00 3.75 0.00 07/10/2018 ***-**-81211 - SHARMA, BOBRY THE INCOME FUND OF AMERICA-A 100.00 3.75 0.00 3.75 0.00 3.75 0.00 07/10/2018 ***-**-7061 - HOFSTETTER, THE INCOME FUND OF AMERICA-A 30.00 1.28 0.00 07/10/2018 ***-**-2557 - WILSON, HELEN WASHINGTON MUTUAL INVESTORS FUND-A ACCOUNT #: 00084334451 BUY 1 Units @46.7100 07/10/2018 ***-**-2558 - WILSON, FRANCIS WASHINGTON MUTUAL INVESTORS FUND-A ACCOUNT #: 00082184129 BUY 1 Units @46.7100 07/10/2018 ***-**-5259 - WILSON, FRANCIS WASHINGTON MUTUAL INVESTORS FUND-A ACCOUNT #: 00082184129 BUY 4 Units @46.7100 07/10/2018 ***-**-5259 - WILSON, FRANCIS WASHINGTON MUTUAL INVESTORS FUND-A ACCOUNT #: 00082184129 BUY 4 Units @46.7100 07/10/2018 ***-**-5259 - WILSON, FRANCIS WASHINGTON MUTUAL INVESTORS FUND-A ACCOUNT #: 00082184129 BUY 4 Units @46.7100 07/10/2018 ***-**-540 - HOLLINGSHEAD, AFE INCOME PLUS BUY 1 Units @40.0000 07/10/2018 ***-**-540 - HOLLINGSHEAD, AFE INCOME PLUS BUY 1 Units @40.0000 07/10/2018 ***-**-5540 - WILSON, WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 UNITS SUBTOTAL *** PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 07/23/2018 ***-**-2541 - OTHERUY, JUAN & CALVERT ULTRA SHORT INCOME - A 0.00 07/10/2018 BUY 1 UNITS @40.0000 07/	3.38	90.0	3.75	0.00	3.75	100.00		•)7/10/20
ACCOUNT #: 00084334451 BUY 1 Units @58.4700 07/10/2018 ***-**-2111 - MEGILL, ROBERT THE INCOME FUND OF AMERICA-A 134.13 3.69 0.00 3.69 90.0 PO 07/10/2018 ***-**-8281 - SHARMA, BOBBY THE INCOME FUND OF AMERICA-A 100.00 3.75 0.00 3.75 90.0 PO 07/10/2018 ***-**-8281 - SHARMA, BOBBY THE INCOME FUND OF AMERICA-A 100.00 3.75 0.00 3.75 90.0 PO 07/10/2018 ***-**-**-2616 - HOPSTETTER, ACCOUNT #: 00084334451 BUY 1 Units @24.1700 07/10/2018 ***-**-255P - WILSON, HELEN MASHINGTON MUTUAL INVESTORS FUND-A ACCOUNT #: 00082184171 BUY 1 Units @44.7100 07/10/2018 ***-**-525P - WILSON, FRANCIS MASHINGTON MUTUAL INVESTORS FUND-A 196.58 3.93 0.00 3.93 90.0 PO 07/10/2018 ***-**-525P - WILSON, FRANCIS MASHINGTON MUTUAL INVESTORS FUND-A ACCOUNT #: 00082184129 BUY 4 Units @46.7100 ***-**-525P - WILSON, FRANCIS MASHINGTON MUTUAL INVESTORS FUND-A ACCOUNT #: 00082184129 BUY 4 Units @46.7100 ***-**-10082184129 BUY 4 Units @46.7100 ***-**-*-254P - WILSON, FRANCIS MASHINGTON MUTUAL INVESTORS FUND-A BUY 4 Units @45.7100 ***-**-10082184129 BUY 4 Units @45.7100 ***-**-10082184129 BUY 4 Units @45.7100 ***-**-*-10082184129 BUY 4 Units @45.7100 ***-**-*-*-10082184129 BUY 5 Units @45.7100 ***-**-*-*-10082184129 BUY 6 Units @45.7100 ***-**-*-*-10082184129 BUY 6 Units @45.7100 ***-**-*-*-*-10082184129 BUY 6 Units @45.7100 ***-**-*-*-10082184129 BUY 6 Units @45.7100 ***-**-*-*-2545 BUY 6 Units @45.7100 ***-**-*-*-2545 BUY 6 Units @45.7100 ***-**-*-*-2545 BUY 6 Units @45.7100 ***-**-*-2545 BUY 6 Units @45.7100 ***-**-*-2545 BUY 6 Units @45.710	3.83	90.0	4.25	0.00	4.25	100.00		·)7/10/20
ACCOUNT #: 00084334457 BUY 6 Units @23.9200 07/10/2018 ***-**-828I - SHARMA, BOBBY ATHE INCOME FUND OF AMERICA-A 100.00 3.75 0.00 3.75 90.0 ACCOUNT #: 00084334446 BUY 4 Units @24.1700 07/10/2018 ***-**-706I - HOFSTETTER, ACCOUNT #: 00084334451 BUY 1 Units @24.2900 07/10/2018 ***-**-255F - WILSON, HELEN BUY 1 Units @42.7000 07/10/2018 ***-**-525F - WILSON, HELEN BUY 1 Units @46.7100 07/10/2018 ***-**-525F - WILSON, FRANCIS ACCOUNT #: 00082184127 BUY 1 Units @46.7100 07/10/2018 ***-**-525F - WILSON, FRANCIS BUY 1 Units @46.7100 07/10/2018 ***-**-4902 - HOLLINGSHEAD, BUY 1 Units @46.7100 PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES 07/10/2018 ***-**-4902 - HOLLINGSHEAD, BUY 1 Units @1000000 * EQUITY INDEXED ANNUITIES SUBTOTAL * \$10,000.00 \$475.00 \$0.00 \$475.00 \$0.00 \$475.00 \$0.00 \$475.00 \$0.00 \$475.00 \$0.00 \$475.00 \$0	1.15	90.0	1.28	0.00	1.28	30.00		•		37/10/20
ACCOUNT #: 00084334446 BUY 4 Units @24.1700 07/10/2018 ***-**-7061 - HORSTETTER, THE INCOME FUND OF AMERICA-A ACCOUNT #: 00084334451 BUY 1 Units @24.2900 07/10/2018 ***-**-255P - WILSON, HELEN WASHINGTON MUTUAL INVESTORS FUND-A ACCOUNT #: 00082184171 BUY 1 Units @46.7100 07/10/2018 ***-**-525P - WILSON, FRANCIS BUY 1 Units @46.7100 07/10/2018 ***-**-525P - WILSON, FRANCIS BUY 4 Units @46.7100 **MUTUAL FUNDS SUBTOTAL ** \$2,785.44 \$83.70 \$0.00 \$83.70 **MUTUAL FUNDS SUBTOTAL * \$2,785.44 \$83.70 \$0.00 \$83.70 **MUTUAL FUNDS SUBTOTAL * **COUNT #: 00082184129 ANNUITIES 07/10/2018 ***-**-4902 - HOLLINGSHEAD, BUY 4 Units @10000.0000 **EQUITY INDEXED ANNUITIES SUBTOTAL * **EQUITY INDEXED ANNUITIES SUBTOTAL * **COUNT #: 00004910394 BUY 0 Units @0.0000 **COUNT #: 00004910394 BUY 0 Units @0.0000 07/23/2018 ***-**-6540 - STOUT, LOUISE BUY 0 Units @0.0000 07/23/2018 ***-**-6540 - STOUT, LOUISE BUY 1 Units @0.0000 07/23/2018 ***-**-51310 - CHARD, DANIELLE CAPITAL INCOME FUN BUY 1 Units @0.0000 07/23/2018 ***-*-*-1310 - CHARD, DANIELLE CAPITAL WORLD GROWTH AND INCOME FUN ACCOUNT #: LSR010092 ***EQUITY Units @0.0000 07/23/2018 ***-*-*-1310 - CHARD, DANIELLE CAPITAL WORLD GROWTH AND INCOME FUN ACCOUNT #: LSR010092 ***COUNT #: LSR010092	3.32	90.0	3.69	0.00	3.69	134.13				07/10/20
ACCOUNT #: 00084334451 BUY 1 Units @24.2900 07/10/2018 ***-**-255P - WILSON, HELEN BUY 1 Units @46.7100 07/10/2018 ***-**-255P - WILSON, FRANCIS BUY 1 Units @46.7100 07/10/2018 ***-**-55P - WILSON, FRANCIS BUY 1 Units @46.7100 **MUTUAL FUNDS SUBTOTAL ** \$2,785.44 \$83.70 \$0.00 \$83.70 **MUTUAL FUNDS SUBTOTAL ** \$2,785.44 \$83.70 \$0.00 \$83.70 **MUTUAL FUNDS SUBTOTAL ** \$2,785.44 \$83.70 \$0.00 \$83.70 **MUTUAL FUNDS SUBTOTAL ** \$10,000.00 \$475.00 \$0.00 \$475.00 \$100.0 **EQUITY INDEXED ANNUITIES 07/10/2018 ***-**-4902 - HOLLINGSHEAD, BUY 1 Units @10000.0000 ** **EQUITY INDEXED ANNUITIES SUBTOTAL ** \$10,000.00 \$475.00 \$0.00 \$475.00 \$100.0 **PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 07/03/2018 ***-**-254J - OTHEGUY, JUAN & CALVERT ULTRA SHORT INCOME - A	3.38	90.0	3.75	0.00	3.75	100.00		•		37/10/20
ACCOUNT #: 00082184171 BUY 1 Units @46.7100 07/10/2018 ***-**-525P - WILSON, FRANCIS BUY 4 Units @46.7100 * MUTUAL FUNDS SUBTOTAL * \$2,785.44 \$83.70 \$0.00 \$83.70 PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES 07/10/2018 ***-**-4902 - HOLLINGSHEAD, BUY 1 Units @10000.0000 * EQUITY INDEXED ANNUITIES SUBTOTAL * \$10,000.00 \$475.00 \$0.00 \$475.00 \$100.0 PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 07/03/2018 ***-**-254J - OTHEGUY, JUAN & CALVERT ULTRA SHORT INCOME - A	1.15	90.0	1.28	0.00	1.28	30.00		,		37/10/20
* MUTUAL FUNDS SUBTOTAL * \$2,785.44 \$83.70 \$0.00 \$83.70 PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES 07/10/2018 ***-**-4902 - HOLLINGSHEAD, BUY 1 Units @10000.0000 * 10,000.00 \$475.00 \$0.00 \$475.00 \$100.0 \$100.00 \$	0.90	90.0	1.00	0.00	1.00	50.00		•		37/10/20
PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES 07/10/2018 ***-**-4902 - HOLLINGSHEAD, AFE INCOME PLUS BUY 1 Units @10000.0000 * EQUITY INDEXED ANNUITIES SUBTOTAL * \$10,000.00 \$475.00 \$0.00 \$475.00 PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 07/03/2018 ***-**-254J - OTHEGUY, JUAN & CALVERT ULTRA SHORT INCOME - A	3.54	90.0	3.93	0.00	3.93	196.58		•		37/10/20
07/10/2018 ***-**-4902 - HOLLINGSHEAD, AFE INCOME PLUS BUY 1 Units @10000.0000	\$75.36		\$83.70	\$0.00	\$83.70	\$2,785.44	* MUTUAL FUNDS SUBTOTAL *			
# EQUITY INDEXED ANNUITIES SUBTOTAL * \$10,000.00 \$475.00 \$0.00 \$475.00 PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 07/03/2018 ***-**-254J - OTHEGUY, JUAN & CALVERT ULTRA SHORT INCOME - A ACCOUNT #: 00004910394 BUY 0 Units @0.0000 07/23/2018 ***-**-654O - STOUT, LOUISE CAPITAL INCOME BUILDER CLASS C ACCOUNT #: LSR011072 BUY 1 Units @0.0000 07/23/2018 ***-**-131O - CHARD, DANIELLE CAPITAL WORLD GROWTH AND INCOME FUN ACCOUNT #: LSR010092 BUY 1 Units @0.0000							JITIES	ATEGORY: EQUITY INDEXED ANNUITIES	T CZ	PRODUCT
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 07/03/2018 ***-**-254J - OTHEGUY, JUAN & CALVERT ULTRA SHORT INCOME - A BUY 0 Units @0.0000 07/23/2018 ***-**-6540 - STOUT, LOUISE ACCOUNT #: LSR011072 CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000 07/23/2018 ***-**-13IO - CHARD, DANIELLE CAPITAL WORLD GROWTH AND INCOME FUN ACCOUNT #: LSR010092 BUY 1 Units @0.0000	475.00	100.0	475.00	0.00	475.00	10,000.00		•	018	37/10/20
07/03/2018 ***-**-254J - OTHEGUY, JUAN & CALVERT ULTRA SHORT INCOME - A ACCOUNT #: 00004910394 BUY 0 Units @0.0000 07/23/2018 ***-**-6540 - STOUT, LOUISE CAPITAL INCOME BUILDER CLASS C ACCOUNT #: LSR011072 BUY 1 Units @0.0000 07/23/2018 ***-**-13IO - CHARD, DANIELLE CAPITAL WORLD GROWTH AND INCOME FUN ACCOUNT #: LSR010092 BUY 1 Units @0.0000	\$475.00	,	\$475.00	\$0.00	\$475.00	\$10,000.00	EQUITY INDEXED ANNUITIES SUBTOTAL *	* EQUITY I		
ACCOUNT #: 00004910394 BUY 0 Units @0.0000 07/23/2018 ***-**-6540 - STOUT, LOUISE CAPITAL INCOME BUILDER CLASS C 0.00 1.57 0.00 1.57 90.0 ACCOUNT #: LSR011072 BUY 1 Units @0.0000 07/23/2018 ***-**-1310 - CHARD, DANIELLE CAPITAL WORLD GROWTH AND INCOME FUN 0.00 2.85 0.00 2.85 90.0 ACCOUNT #: LSR010092 BUY 1 Units @0.0000							RAILS	ATEGORY: MUTUAL FUND 12B1 TRAILS	T CZ	PRODUCT
ACCOUNT #: LSR011072 BUY 1 Units @0.0000 07/23/2018 ***-**-13IO - CHARD, DANIELLE CAPITAL WORLD GROWTH AND INCOME FUN 0.00 2.85 0.00 2.85 90.0 ACCOUNT #: LSR010092 BUY 1 Units @0.0000	2.64	90.0	2.93	0.00	2.93	0.00		•		07/03/20
ACCOUNT #: LSR010092 BUY 1 Units @0.0000	1.41	90.0	1.57	0.00	1.57	0.00				07/23/20
07/23/2018 ***-**-308I - KEENAN, PETER COLUMBIA FUNDS MF TRAILS 0.00 0.06 0.00 0.06 90.0	2.57	90.0	2.85	0.00	2.85	0.00				07/23/20
ACCOUNT #: 08696440853 BUY 0 Units @0.0000	0.05	90.0	0.06	0.00	0.06	0.00	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000			07/23/20

RICHARD SOWERS

2451 McMullen Booth Road Suite 263 Clearwater, FL 33759 BROKER #: 71

DATE	CLIENT	INVESTMENT		ESTMENT MOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT	CATEGORY: MUTUAL FUND 12B1		RANSACTIONS	(continu	ied)				
	L8 ***-**-2371 - PIERSON, JEFFREY ACCOUNT #: 08696900918			0.00	11.45	0.00	11.45	90.0	10.31
07/23/201	18 ***-**-1310 - CHARD, DANIELLE ACCOUNT #: LSR010092	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000		0.00	3.06	0.00	3.06	90.0	2.75
07/23/201	18 ***-**-10IR - JANNEY, DONNA ACCOUNT #: LSR011203	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000		0.00	0.89	0.00	0.89	90.0	0.80
07/23/201	18 ***-**-08IR - JANNEY, DAVID ACCOUNT #: LSR011204	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000		0.00	0.89	0.00	0.89	90.0	0.80
07/23/201	18 ***-**-2960 - KELLEY, BRENDA ACCOUNT #: LSR011834	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000		0.00	3.74	0.00	3.74	90.0	3.37
07/23/201	18 ***-**-7933 - PIERSON, KAREN ACCOUNT #: 091125554	GRIFFIN CAPITAL ESS ASSET II BUY 0 Units @0.0000	I TRAILS	0.00	179.33	0.00	179.33	90.0	161.40
07/23/201	18 ***-**-55IR - LANGDON, TAMMY ACCOUNT #: 091138685	GRIFFIN CAPITAL ESS ASSET II BUY 0 Units @0.0000	I TRAILS	0.00	110.04	0.00	110.04	90.0	99.04
07/23/201	18 ***-**-991R - LANGDON, WILLIAM ACCOUNT #: 091138868	4 GRIFFIN CAPITAL ESS ASSET II BUY 0 Units @0.0000	I TRAILS	0.00	516.63	0.00	516.63	90.0	464.97
07/23/201	18 ***-**-152J - LORD, GEORGE ACCOUNT #: 091140054	GRIFFIN CAPITAL ESS ASSET II BUY 0 Units @0.0000	I TRAILS	0.00	50.08	0.00	50.08	90.0	45.07
07/23/201	18 ***-**-657A - LIBERTY, ACCOUNT #: 091140682	GRIFFIN CAPITAL ESS ASSET I: BUY 0 Units @0.0000	I TRAILS	0.00	93.91	0.00	93.91	90.0	84.52
07/23/201	18 ***-**-643J - CRAFT, LOIS ACCOUNT #: 091140712	GRIFFIN CAPITAL ESS ASSET I: BUY 0 Units @0.0000	I TRAILS	0.00	65.11	0.00	65.11	90.0	58.60
07/23/201	18 ***-**-54IN - STOUT, LOUISE ACCOUNT #: 91148263	GRIFFIN CAPITAL ESS ASSET I: BUY 0 Units @0.0000	I TRAILS	0.00	3.11	0.00	3.11	90.0	2.80
07/23/201	18 ***-**-935I - LOWE, JAMES ACCOUNT #: LSR008460	GRIFFIN INST ACCESS REAL EST BUY 1 Units @0.0000	TATE FD	0.00	12.13	0.00	12.13	90.0	10.92
07/23/201	18 ***-**-935I - LOWE, JAMES ACCOUNT #: LSR008460	GRIFFIN INST ACCESS REAL EST BUY 1 Units @0.0000	TATE FD	0.00	4.04	0.00	4.04	90.0	3.64
07/23/201	18 ***-**-1310 - CHARD, DANIELLE ACCOUNT #: LSR010092	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000		0.00	3.18	0.00	3.18	90.0	2.86
07/23/201	18 ***-**-13IR - CHARD, DANIELLE ACCOUNT #: LSR010093	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000		0.00	1.48	0.00	1.48	90.0	1.33



RICHARD SOWERS

2451 McMullen Booth Road Suite 263 Clearwater, FL 33759 BROKER #: 71

DATE	CLIENT	INVESTMENT		VESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER	TRANSACTIONS	(continu	ed)				
PRODUCT	CATEGORY: MUTUAL FUND 12B1 1	FRAILS (continued)							
07/23/201	18 ***-**-547J - BEST III, JOHN ACCOUNT #: LSR010824	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000		0.00	8.87	0.00	8.87	90.0	7.98
07/23/201	L8 ***-**-10IR - JANNEY, DONNA ACCOUNT #: LSR011203	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000		0.00	0.86	0.00	0.86	90.0	0.77
07/23/201	L8 ***-**-08IR - JANNEY, DAVID ACCOUNT #: LSR011204	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000		0.00	0.86	0.00	0.86	90.0	0.77
07/23/201	L8 ***-**-559I - HUBBARD, JAMES ACCOUNT #: 7200005537	SAMMONS MF TRAILS BUY 0 Units @0.0000		0.00	15.82	0.00	15.82	90.0	14.24
07/23/201	L8 ***-**-8541 - SQUIRE, CAROLYN ACCOUNT #: 7200007202	SAMMONS MF TRAILS BUY 0 Units @0.0000		0.00	3.80	0.00	3.80	90.0	3.42
07/23/201	L8 ***-**-7488 - SQUIRE, BARRY ACCOUNT #: 7200007204	SAMMONS MF TRAILS BUY 0 Units @0.0000		0.00	7.49	0.00	7.49	90.0	6.74
07/23/201	18 ***-**-82RI - MORGAN, BOBETTE ACCOUNT #: 7200009544	SAMMONS MF TRAILS BUY 0 Units @0.0000		0.00	59.60	0.00	59.60	90.0	53.64
07/23/201	18 ***-**-1991 - MITCHEN, RICHARI ACCOUNT #: 7200011695	D SAMMONS MF TRAILS BUY 0 Units @0.0000		0.00	15.85	0.00	15.85	90.0	14.27
07/23/201	18 ***-**-282I - MILLS, BENNEY ACCOUNT #: 7200012025	SAMMONS MF TRAILS BUY 0 Units @0.0000		0.00	7.50	0.00	7.50	90.0	6.75
07/23/201	18 ***-**-594I - REGULSKI, SUSAN ACCOUNT #: 7200012141	SAMMONS MF TRAILS BUY 0 Units @0.0000		0.00	82.84	0.00	82.84	90.0	74.56
07/23/201	18 ***-**-721I - CRAFT, LOIS ACCOUNT #: 7200013710	SAMMONS MF TRAILS BUY 0 Units @0.0000		0.00	18.04	0.00	18.04	90.0	16.24
07/23/201	18 ***-**-7933 - PIERSON, KAREN ACCOUNT #: 7200024944	SAMMONS MF TRAILS BUY 0 Units @0.0000		0.00	47.68	0.00	47.68	90.0	42.91
07/23/201	18 ***-**-224I - WILSON, FRANCIS ACCOUNT #: 7200036196	SAMMONS MF TRAILS BUY 0 Units @0.0000		0.00	5.59	0.00	5.59	90.0	5.03
07/23/201	8 ***-**-52IN - LORD, GEORGE ACCOUNT #: 7200036994	SAMMONS MF TRAILS BUY 0 Units @0.0000		0.00	26.64	0.00	26.64	90.0	23.98
07/23/201	8 ***-**-935I - LOWE, JAMES ACCOUNT #: LSR008460	THE GROWTH FUND OF AMERIC. BUY 1 Units @0.0000	A-A	0.00	3.11	0.00	3.11	90.0	2.80
07/23/201	18 ***-**-13IO - CHARD, DANIELLE ACCOUNT #: LSR010092	THE GROWTH FUND OF AMERICATION OF THE BUY 1 Units @0.0000	A-A	0.00	3.82	0.00	3.82	90.0	3.44



COMMISSION STATEMENT

July 23, 2018

RICHARD SOWERS

2451 McMullen Booth Road Suite 263 Clearwater, FL 33759 BROKER #: 71

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANSACTION	ONS (continu	ued)				
PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS (continued)						
07/23/2018	8 ***-**-13IR - CHARD, DANIELLE ACCOUNT #: LSR010093	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.27	0.00	2.27	90.0	2.04
07/23/2018	8 ***-**-2960 - KELLEY, BRENDA ACCOUNT #: LSR011834	THE GROWTH FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	2.74	0.00	2.74	90.0	2.47
07/23/2018	8 ***-**-547J - BEST III, JOHN ACCOUNT #: LSR010824	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	5.17	0.00	5.17	90.0	4.65
07/23/2018	8 ***-**-6540 - STOUT, LOUISE ACCOUNT #: LSR011072	THE NEW ECONOMY FUND-C BUY 1 Units @0.0000	0.00	7.45	0.00	7.45	90.0	6.71
07/23/2018	8 ***-**-1310 - CHARD, DANIELLE ACCOUNT #: LSR010092	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	2.59	0.00	2.59	90.0	2.33
07/05/2018	8 ***-**-2209 - LING, BENJAMIN ACCOUNT #: 01006543750	WELLS FGO AVTG ENTERPRISE FUND - A BUY 0 Units @0.0000	0.00	9.99	0.00	9.99	90.0	8.99
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,405.06	\$0.00	\$1,405.06		\$1,264.58
		*** BROKER TRANSACTIONS TOTAL ***	\$12,785.44	\$1,963.76	\$0.00	\$1,963.76		\$1,814.94
					<u> </u>	ROKER COMMI	SSION	TOTALS
					Brokei	Transactions		\$1,814.94
					Split	Transactions		\$0.00
					Override	Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
					Prior D	Period Balance		\$0.00
					FI	NRA Assessment		\$0.00
					SI	IPC Assessment		\$0.00
					Net Com	nission Earned	·	\$1,814.94
					Year-to-	-date Earnings		\$31,858.20