

COMMISSION STATEMENT

April 30, 2018

WILLIAM DIPENICE
110 SOUTH BUCKHOUT STREET

BROKER #: A08 W15
BRANCH: FULCRUM GROUP

IRVINGTON, NY 10533

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
04/09/2018	75502052	DIPENICE, WILLIAM	FRANKLIN CUSTODIAN FUNDS INC GROWTH S	600.00	0.00	0.00	0.00	80.0	0.00
04/09/2018	75502052	DIPENICE, WILLIAM	MFS SER TR IX MID CAP VALUE FD CL I S	200.00	0.00	0.00	0.00	80.0	0.00
04/09/2018	75502052	DIPENICE, WILLIAM	T ROWE PRICE QM U S SMALL CAP GROWT S	600.00	0.00	0.00	0.00	80.0	0.00
04/09/2018	75502052	DIPENICE, WILLIAM	VANGUARD WORLD FD US GROWTH FD ADMI S	600.00	0.00	0.00	0.00	80.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$2,000.00	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

04/23/2018	75502048	FERRUZZI, KENNETH	PEPSICO INC	S	1,330.41	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502048	FERRUZZI, KENNETH	FACEBOOK INC CL A	S	1,118.22	0.00	0.00	0.00	80.0	0.00
04/12/2018	75502050	DIPENICE, WILLIAM	BIOMARIN PHARMACEUTICAL INC COM	B	1,936.77	0.00	0.00	0.00	80.0	0.00
04/24/2018	75502050	DIPENICE, WILLIAM	PHILLIPS 66 COM	S	1,236.75	0.00	0.00	0.00	80.0	0.00
04/26/2018	75502050	DIPENICE, WILLIAM	BIOMARIN PHARMACEUTICAL INC COM	B	900.78	0.00	0.00	0.00	80.0	0.00
04/26/2018	75502050	DIPENICE, WILLIAM	EQUIFAX INC	B	579.42	0.00	0.00	0.00	80.0	0.00
04/23/2018	75502051	DIPENICE, NINA	PEPSICO INC	S	2,660.81	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	ACADIA HEALTHCARE CO INC COM	S	37.70	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	AMETEK INC NEW	S	143.83	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	APPLE INC	S	659.33	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	COCA COLA COMPANY (THE)	B	42.31	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	COMCAST CORP CL A	S	67.85	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	DANAHER CORPORATION	S	100.19	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	ECOLAB INC	S	145.23	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	EPAM SYSTEMS INC	S	112.67	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	FACEBOOK INC CL A	S	2,236.44	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	GLOBUS MEDICAL INC	S	103.89	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	ILLINOIS TOOL WORKS INC	S	151.88	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	INTERCONTINENTAL EXCHANGE INC	S	73.12	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	KLA-TENCOR CORP COM	S	98.68	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	LIGAND PHARMACEUTICALS INC	B	155.17	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	LILLY ELI & CO	S	80.57	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	LKQ CORPORATION	S	75.31	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA	MICROSOFT CORP	B	92.27	0.00	0.00	0.00	80.0	0.00

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BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
04/25/2018	75502051	DIPENICE, NINA		NETFLIX COM INC	B	308.15	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA		NEVRO CORP COM	B	88.08	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA		PACIRA PHARMACEUTICALS INC	B	67.00	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA		ROSS STORES INC	B	235.10	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA		STRYKER CORP	S	164.40	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA		TRANSDIGM GROUP INCORPORATED	S	324.92	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA		VERISK ANALYTICS INC COM	S	106.71	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA		VF CORPORATION	S	78.72	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA		WASTE CONNECTIONS INC COM	S	145.03	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502051	DIPENICE, NINA		YUM BRANDS INC	S	85.25	0.00	0.00	0.00	80.0	0.00
04/23/2018	75502083	MAISELL, ADRIANE		PEPSICO INC	S	1,125.73	0.00	0.00	0.00	80.0	0.00
04/25/2018	75502083	MAISELL, ADRIANE		FACEBOOK INC CL A	S	958.47	0.00	0.00	0.00	80.0	0.00
				* STOCKS SUBTOTAL *		\$17,827.16	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: RIA											
04/01/2018	75502048	FERRUZZI, KENNETH		FAS FEES PT. I/III	B	-534.99	89.16	0.00	89.16	80.0	71.33
04/01/2018	75502049	MORRIS, MICHAEL		FAS FEES PT. I/III	B	-690.10	127.79	0.00	127.79	80.0	102.23
04/01/2018	75502050	DIPENICE, WILLIAM		FAS FEES PT. I/III	B	-457.35	76.22	0.00	76.22	80.0	60.98
04/01/2018	75502051	DIPENICE, NINA		FAS FEES PT. I/III	B	-1,097.95	200.07	0.00	200.07	80.0	160.06
04/01/2018	75502052	DIPENICE, WILLIAM		FAS FEES PT. I/III	B	-120.03	30.00	0.00	30.00	80.0	24.00
04/01/2018	75502083	MAISELL, ADRIANE		FAS FEES PT. I/III	B	-480.69	80.11	0.00	80.11	80.0	64.09
				* RIA SUBTOTAL *		\$-3,381.11	\$603.35	\$0.00	\$603.35		\$482.69
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
04/30/2018				FEE COUNT - 1		0.00	0.01	0.00	0.01	80.0	0.00
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$0.01	\$0.00	\$0.01		\$0.00
				*** BROKER TRANSACTIONS TOTAL ***		\$16,446.05	\$603.36	\$0.00	\$603.36		\$482.69
ADJUSTMENTS											
04/30/2018				E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-250.00
04/30/2018				OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
04/30/2018				OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
04/30/2018				OTHER: RBC- WEALTH STATION		0.00	0.00	0.00	0.00		-25.00
				*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-303.00

BROKER COMMISSION TOTALS

Broker Transactions	\$482.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-303.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.75
SIPC Assessment	\$-1.51

Check Amount	\$177.43
Year-to-date Earnings	\$839.74