

**COMMISSION STATEMENT****July 31, 2018**RANDY STOLTZ
14617 N. 45th PlaceBROKER #: S149
BRANCH: RANDY STOLTZ

Phoenix, AZ 85032-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/11/2018	HIGGINS, DINA	SEI	124,700.00	233.81	0.00	233.81	90.0	210.43
07/11/2018	HIGGINS, JOHN	SEI	8,321.29	20.80	0.00	20.80	90.0	18.72
07/11/2018	GREGORY, ANDREW	SEI	885.51	2.22	0.00	2.22	90.0	2.00
07/11/2018	GREGORY, KENNETH & DAWN	SEI	12,207.13	30.52	0.00	30.52	90.0	27.47
07/11/2018	MOORE, SHARON	SEI	77,655.49	194.14	0.00	194.14	90.0	174.73
07/11/2018	MOORE, WILLIAM	SEI	8,578.34	21.45	0.00	21.45	90.0	19.31
07/11/2018	MOORE, SHARON	SEI	13,134.91	32.84	0.00	32.84	90.0	29.56
07/11/2018	HELM, TAYLOR	SEI	28,606.61	71.52	0.00	71.52	90.0	64.37
07/11/2018	MART, KEVIN	SEI	71,263.37	80.17	0.00	80.17	90.0	72.15
07/11/2018	MART, JACQUELINE	SEI	247,291.18	278.20	0.00	278.20	90.0	250.38
07/11/2018	MATSON, GLENDA	SEI	32,228.15	88.63	0.00	88.63	90.0	79.77
07/11/2018	POOLE, MARILYN	SEI	49,722.92	155.39	0.00	155.39	90.0	139.85
07/11/2018	BUEHLER TRUST, JEAN	SEI	223,954.91	294.78	0.00	294.78	90.0	265.30
07/11/2018	TYRREL, SUSAN	SEI	95,524.65	241.80	0.00	241.80	90.0	217.62
07/11/2018	SCHOUTEN, KATHLEEN & MELI	SEI	9,955.24	31.11	0.00	31.11	90.0	28.00
07/11/2018	LEE, CHARLIE & JOANN	SEI	13,630.45	24.71	0.00	24.71	90.0	22.24
07/11/2018	HELM, MYRANDA	SEI	49,506.19	123.77	0.00	123.77	90.0	111.39
07/11/2018	POOLE, JOHN	SEI	86,543.46	270.45	0.00	270.45	90.0	243.41
07/11/2018	BOOTHROYD, SUSAN	SEI	18,469.77	30.59	0.00	30.59	90.0	27.53
07/11/2018	BOOTHROYD, CHRISTOPHER	SEI	83,827.26	138.84	0.00	138.84	90.0	124.96
07/11/2018	SALSBURY, LORI	SEI	14,823.04	30.57	0.00	30.57	90.0	27.51
07/11/2018	ERNST, RONALD	SEI	15,916.28	17.91	0.00	17.91	90.0	16.12
07/11/2018	MESSMER, MATTHEW	SEI	34,151.77	46.02	0.00	46.02	90.0	41.42
07/11/2018	MESSMER, MATTHEW	SEI	12,961.57	17.47	0.00	17.47	90.0	15.72
07/11/2018	GREGORY, KATHRYN	SEI	4,983.53	12.46	0.00	12.46	90.0	11.21
07/11/2018	MESSMER, SUSAN D & DALE	SEI	10,802.73	24.31	0.00	24.31	90.0	21.88

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/11/2018	KORY, EVYANN	SEI	86,571.50	205.61	0.00	205.61	90.0	185.05
07/11/2018	STILES, CAROL	SEI	32,004.75	60.81	0.00	60.81	90.0	54.73
07/11/2018	HELM, CRAIG	SEI	16,863.24	42.16	0.00	42.16	90.0	37.94
07/11/2018	KIRCHER, EMILY	SEI	18,442.67	28.24	0.00	28.24	90.0	25.42
07/11/2018	SIZER, MICHELLE	SEI	65,707.54	141.68	0.00	141.68	90.0	127.51
07/11/2018	HACIENDA HARID SALON	SEI	20,262.88	43.69	0.00	43.69	90.0	39.32
07/11/2018	THERAPY RESOURCES PC	SEI	62,476.01	103.09	0.00	103.09	90.0	92.78
07/11/2018	JOHNSTON, ELLEN	SEI	30,684.30	57.34	0.00	57.34	90.0	51.61
07/11/2018	MILLER, PAULA	SEI	36,289.34	62.60	0.00	62.60	90.0	56.34
07/11/2018	BOWLIN, STACY	SEI	38,794.19	66.92	0.00	66.92	90.0	60.23
07/11/2018	GESKE, GREGG	SEI	34,101.95	65.69	0.00	65.69	90.0	59.12
07/11/2018	MESSMER, MATTHEW	SEI	36,086.27	44.21	0.00	44.21	90.0	39.79
07/11/2018	BOWLIN, STACY	SEI	23,236.56	34.86	0.00	34.86	90.0	31.37
07/11/2018	MILLER, CRAIG	SEI	43,460.63	65.19	0.00	65.19	90.0	58.67
07/11/2018	MILLER, CRAIG & PAULA	SEI	802.17	1.20	0.00	1.20	90.0	1.08
07/11/2018	KIDDER, TINA	SEI	34,191.36	94.03	0.00	94.03	90.0	84.63
07/11/2018	JOHNSTON, ELLEN	SEI	37,959.33	70.94	0.00	70.94	90.0	63.85
07/11/2018	DEMERS, DAWN	SEI	21,264.69	44.44	0.00	44.44	90.0	40.00
07/11/2018	MONROE, RANDALL & MARY	SEI	68,430.79	68.00	0.00	68.00	90.0	61.20
07/11/2018	MILLER, PAULA	SEI	88,089.12	132.13	0.00	132.13	90.0	118.92
07/11/2018	MOTT, DEBRA	SEI	816.79	1.37	0.00	1.37	90.0	1.23
07/11/2018	WATMORE, TREY	SEI	32,738.78	27.01	0.00	27.01	90.0	24.31
07/11/2018	WATMORE, TRISTEN	SEI	32,738.78	27.01	0.00	27.01	90.0	24.31
07/11/2018	WATMORE, BRADY	SEI	30,743.22	25.36	0.00	25.36	90.0	22.82
07/11/2018	MATSON, DORANN	SEI	2,091.10	2.62	0.00	2.62	90.0	2.36
07/11/2018	ALBER, TIMOTHY	SEI	51,193.96	67.45	0.00	67.45	90.0	60.71
07/11/2018	ROSOL, KARYN	SEI	58,954.04	147.39	0.00	147.39	90.0	132.65
07/11/2018	BENDER, DANA	SEI	27,660.93	47.03	0.00	47.03	90.0	42.33
07/11/2018	FLEMING, JACQUELINE	SEI	21,794.68	37.05	0.00	37.05	90.0	33.35
07/11/2018	FLEMING, JACQUELINE	SEI	138,476.04	235.41	0.00	235.41	90.0	211.87
07/11/2018	FLEMING, JACQUELINE	SEI	19,345.86	32.89	0.00	32.89	90.0	29.60
07/11/2018	GESKE, GREGG & LYNN	SEI	26,099.53	43.72	0.00	43.72	90.0	39.35
07/11/2018	ROSOL, LAURELYNN	SEI	5,402.52	6.75	0.00	6.75	90.0	6.08

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/11/2018	BOOTHROYD, SUSAN	SEI	53,280.32	70.60	0.00	70.60	90.0	63.54
07/11/2018	FLEMING, JACQUELINE	SEI	12,799.23	21.76	0.00	21.76	90.0	19.58
07/11/2018	GREGORY, KENNETH	SEI	3,356.87	8.39	0.00	8.39	90.0	7.55
07/11/2018	KING, JOCELYN	SEI	-130.00	0.00	0.00	0.00	90.0	0.00
07/11/2018	KING, SCOTT	SEI	543.29	0.00	0.00	0.00	90.0	0.00
07/11/2018	KING, SCOTT	SEI	11,908.10	12.76	0.00	12.76	90.0	11.48
07/11/2018	CHITTIM, CARL	SEI	25,840.53	40.82	0.00	40.82	90.0	36.74
07/11/2018	CHITTIM, CHERYL	SEI	31,426.31	38.85	0.00	38.85	90.0	34.97
07/11/2018	CHITTIM, CHERYL	SEI	16,379.42	25.88	0.00	25.88	90.0	23.29
07/11/2018	TYRREL, SUSAN	SEI	6,494.11	8.92	0.00	8.92	90.0	8.03
07/11/2018	LIPPERT, TYLER	SEI	61,612.84	89.80	0.00	89.80	90.0	80.82
07/11/2018	LIPPERT, TYLER	SEI	3,905.08	5.69	0.00	5.69	90.0	5.12
07/11/2018	RATAY, SAVANNAH	SEI	64,507.79	65.32	0.00	65.32	90.0	58.79
07/11/2018	RATAY, SAVANNAH	SEI	16,079.28	16.28	0.00	16.28	90.0	14.65
* RIA SUBTOTAL *			\$2,901,424.44	\$5,053.44	\$0.00	\$5,053.44		\$4,548.14
*** DIRECT TRANSACTIONS TOTAL ***			\$2,901,424.44	\$5,053.44	\$0.00	\$5,053.44		\$4,548.14

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BROKER COMMISSION TOTALS

Broker Transactions	\$4,548.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,548.14
Year-to-date Gross	\$50,301.80
Year-to-date Earnings	\$45,271.79