



All Companies

04/27/2018
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COMMISSION STATEMENT May 01, 2018

BLAKE CHRISTIAN MATTSON
7216 FISH POND RD.

BROKER #: 5744
BRANCH: HOME OFFICE

Waco, TX 76710-

COMMISSION STATEMENT for BLAKE CHRISTIAN MATTSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/31/2018	TRAILS	-TRANSAMERICA	B	12.53	12.53	0.00	12.53	90.0	11.28
03/31/2018	TRAILS	COLLEGEBOUND 529	B	456.15	456.15	0.00	456.15	90.0	410.54
03/31/2018	TRAILS	COLLEGEBOUND 529	B	218.66	218.66	0.00	218.66	90.0	196.79
04/05/2018	WHITE, ABIGAIL/HAMILTO	COLLEGEBOUND 529	B	50.00	0.50	0.00	0.50	90.0	0.45
04/13/2018	NORS, JANA R./ADDYSON	COLLEGEBOUND 529	B	100.00	1.00	0.00	1.00	90.0	0.90
03/31/2018	TRAILS	NUVEEN INVESTMENTS	B	16.43	16.43	0.00	16.43	90.0	14.79
03/31/2018	TRAILS	NUVEEN INVESTMENTS	B	948.22	948.22	0.00	948.22	90.0	853.40
04/06/2018	00009152435 MOTOR SHOP PSP	AIG FUNDS	B	1,450.00	14.50	0.00	14.50	90.0	13.05
04/16/2018	00066784059 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	2.50	0.00	2.50	90.0	2.25
04/20/2018	00083272779 PLAN, SEP	THE INCOME FUND OF AMERICA-C	B	2,100.00	21.00	0.00	21.00	90.0	18.90
04/20/2018	00083272779 PLAN, SEP	THE INVESTMENT COMPANY OF AMERICA-C B		2,153.00	21.53	0.00	21.53	90.0	19.38
04/19/2018	00083573209 HALL, CLAYTON D	THE INVESTMENT COMPANY OF AMERICA-C B		81.25	0.81	0.00	0.81	90.0	0.73
04/19/2018	00083573209 HALL, CLAYTON D	THE INVESTMENT COMPANY OF AMERICA-C B		100.00	1.00	0.00	1.00	90.0	0.90
04/20/2018	00086492946 OLIVER, JANET	THE INCOME FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/20/2018	00086492946 OLIVER, JANET	WASHINGTON MUTUAL INVESTORS FUND-C B		50.00	0.50	0.00	0.50	90.0	0.45
04/20/2018	00086725137 FLORES, OLIVIA	AMERICAN BALANCED FUND-529C	B	250.00	2.50	0.00	2.50	90.0	2.25
04/20/2018	00086725137 FLORES, OLIVIA	THE BOND FUND OF AMERICA-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
04/20/2018	00086725137 FLORES, OLIVIA	THE INCOME FUND OF AMERICA-529C	B	250.00	2.50	0.00	2.50	90.0	2.25
04/20/2018	00086725155 FLORES, OLIVIA	AMERICAN BALANCED FUND-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/20/2018	00086725155 FLORES, OLIVIA	THE BOND FUND OF AMERICA-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/20/2018	00086725155 FLORES, OLIVIA	THE GROWTH FUND OF AMERICA-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/20/2018	00086725155 FLORES, OLIVIA	THE INCOME FUND OF AMERICA-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/20/2018	00087795314 IVEY, JO	THE GROWTH FUND OF AMERICA-C	B	331.31	3.31	0.00	3.31	90.0	2.98
04/20/2018	00087795314 IVEY, JO	THE INVESTMENT COMPANY OF AMERICA-C B		331.31	3.31	0.00	3.31	90.0	2.98
04/20/2018	00087795350 IVEY, TERRY	THE GROWTH FUND OF AMERICA-C	B	315.19	3.15	0.00	3.15	90.0	2.84
04/20/2018	00087795350 IVEY, TERRY	THE INVESTMENT COMPANY OF AMERICA-C B		315.19	3.15	0.00	3.15	90.0	2.84
04/20/2018	00087795361 IVEY, JO LYNN	THE GROWTH FUND OF AMERICA-C	B	207.49	2.07	0.00	2.07	90.0	1.86
04/20/2018	00087795361 IVEY, JO LYNN	THE INVESTMENT COMPANY OF AMERICA-C B		207.48	2.07	0.00	2.07	90.0	1.86
04/19/2018	00088069211 CULVERHOUSE, DUSTIN	THE GROWTH FUND OF AMERICA-C	B	750.00	7.50	0.00	7.50	90.0	6.75

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/19/2018	00088069211 CULVERHOUSE, DUSTIN	THE INCOME FUND OF AMERICA-C	B	750.00	7.50	0.00	7.50	90.0	6.75
04/19/2018	00088069211 CULVERHOUSE, DUSTIN	THE INVESTMENT COMPANY OF AMERICA-C	B	750.00	7.50	0.00	7.50	90.0	6.75
04/19/2018	00088069211 CULVERHOUSE, DUSTIN	WASHINGTON MUTUAL INVESTORS FUND-C	B	750.00	7.50	0.00	7.50	90.0	6.75
04/19/2018	00089094927 LAMINACK, MICHAEL L.	THE GROWTH FUND OF AMERICA-C	B	200.00	2.00	0.00	2.00	90.0	1.80
04/19/2018	00089094927 LAMINACK, MICHAEL L.	THE INVESTMENT COMPANY OF AMERICA-C	B	200.00	2.00	0.00	2.00	90.0	1.80
04/19/2018	00089094986 LAMINACK, SARAH K.	THE GROWTH FUND OF AMERICA-C	B	200.00	2.00	0.00	2.00	90.0	1.80
04/19/2018	00089094986 LAMINACK, SARAH K.	THE INVESTMENT COMPANY OF AMERICA-C	B	200.00	2.00	0.00	2.00	90.0	1.80
04/16/2018	04000110803 NORS, JANA/HAYZE	COLLEGE 2030 FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
04/17/2018	04000278931 PUSTEJOVSKY, VICKI	THE GROWTH FUND OF AMERICA-C	B	4,000.00	40.00	0.00	40.00	90.0	36.00
04/17/2018	04000278931 PUSTEJOVSKY, VICKI	THE INVESTMENT COMPANY OF AMERICA-C	B	2,500.00	25.00	0.00	25.00	90.0	22.50
04/10/2018	04000828707 IVEY, JAMES P.	THE GROWTH FUND OF AMERICA-C	B	11,872.13	118.72	0.00	118.72	90.0	106.85
04/10/2018	04000828707 IVEY, JAMES P.	THE INCOME FUND OF AMERICA-C	B	11,522.95	115.23	0.00	115.23	90.0	103.71
04/10/2018	04000828707 IVEY, JAMES P.	WASHINGTON MUTUAL INVESTORS FUND-C	B	11,522.96	115.23	0.00	115.23	90.0	103.71
04/13/2018	81697345401 VAN BORSSUM, ROBERT H.	COLLEGEBOUND 529	B	50.00	0.50	0.00	0.50	90.0	0.45
* MUTUAL FUNDS SUBTOTAL *				\$55,912.25	\$2,196.57	\$0.00	\$2,196.57		\$1,976.96
<u>PRODUCT CATEGORY: STOCKS</u>									
04/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	5,595.51	5,595.51	0.00	5,595.51	90.0	5,035.96
* STOCKS SUBTOTAL *				\$5,595.51	\$5,595.51	\$0.00	\$5,595.51		\$5,035.96
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/06/2018	TRAILS	-JOHN HANCOCK	B	88.65	88.65	0.00	88.65	90.0	79.79
* VARIABLE ANNUITIES SUBTOTAL *				\$88.65	\$88.65	\$0.00	\$88.65		\$79.79
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	118.91	0.00	118.91	90.0	107.02
05/01/2018	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	249.40	0.00	249.40	90.0	224.47
05/01/2018	TRAIL COMMISSIONS	SUN AMERICA LIFE FEES		0.00	19.52	0.00	19.52	90.0	17.57
05/01/2018	TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES		0.00	400.12	0.00	400.12	90.0	360.11
05/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	4.15	0.00	4.15	90.0	3.74
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$792.10	\$0.00	\$792.10		\$712.91
*** BROKER TRANSACTIONS TOTAL ***				\$61,596.41	\$8,672.83	\$0.00	\$8,672.83		\$7,805.62

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
05/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-501.50
05/01/2018		HILLTOP: CUSTOMER WRITE OFF		0.00	0.00	0.00	0.00		-10.00
05/01/2018		HILLTOP: FIXED INCOME TICKET CHARGE		0.00	0.00	0.00	0.00		-35.00
05/01/2018		HILLTOP: MISC TICKET FEES		0.00	0.00	0.00	0.00		3.50
05/01/2018		TECH: MATTSON ASST TECH SERVICES		0.00	0.00	0.00	0.00		-20.00
05/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-590.50

BROKER COMMISSION TOTALS

Broker Transactions	\$7,805.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-590.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$7,215.12
Year-to-date Earnings	\$49,440.84