



Concorde Investment Services, LLC

07/27/2018

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**COMMISSION STATEMENT****July 31, 2018**TIMOTHY, S118 STAUFFER  
333 Fiddlers CoveBROKER #: S118  
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/11/2018	STUCKY, JULIA	GRIFFIN INSTITUTIONAL ACCESS REAL E	5,850.00	292.50	22.00	270.50	90.0	243.45
		* MUTUAL FUNDS SUBTOTAL *	\$5,850.00	\$292.50	\$22.00	\$270.50		\$243.45
		*** CLEARING TRANSACTIONS TOTAL ***	\$5,850.00	\$292.50	\$22.00	\$270.50		\$243.45

<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	SAUDERS, RITA	PROFUNDS MONEY MARKET-SVC	0.00	3.65	0.00	3.65	90.0	3.29
		* MUTUAL FUNDS SUBTOTAL *	\$0.00	\$3.65	\$0.00	\$3.65		\$3.29

<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	SMITH, ERIC	INA 2019 ENROLLMENT PORT CLASS A	0.00	1.63	0.00	1.63	90.0	1.47
/ /	SMITH, LANCE	INA 2019 ENROLLMENT PORT CLASS A	0.00	0.83	0.00	0.83	90.0	0.75
/ /	ROPP, ROBIN	INA 2019 ENROLLMENT PORT CLASS A	0.00	2.36	0.00	2.36	90.0	2.12
/ /	SMITH, ERIC	INA 2022 ENROLLMENT PORT CLASS A	0.00	1.70	0.00	1.70	90.0	1.53
/ /	SMITH, LANCE	INA 2022 ENROLLMENT PORT CLASS A	0.00	0.87	0.00	0.87	90.0	0.78
/ /	ROPP, ROBIN	INA 2022 ENROLLMENT PORT CLASS A	0.00	2.44	0.00	2.44	90.0	2.20
/ /	SMITH, ERIC	INA COLLEGE PORT CLASS A	0.00	1.55	0.00	1.55	90.0	1.40
/ /	SMITH, LANCE	INA COLLEGE PORT CLASS A	0.00	0.77	0.00	0.77	90.0	0.69
/ /	ROPP, ROBIN	INA COLLEGE PORT CLASS A	0.00	2.28	0.00	2.28	90.0	2.05
07/01/2018	NUTTLE, CHRIS	MFS EQUITY OPPORTUNITIES A	0.00	2.61	0.00	2.61	90.0	2.35
07/01/2018	NUTTLE, CHRIS	MFS EQUITY OPPORTUNITIES A	0.00	0.43	0.00	0.43	90.0	0.39
07/01/2018	NUTTLE, CHRIS	MFS EQUITY OPPORTUNITIES B	0.00	0.82	0.00	0.82	90.0	0.74
/ /	SAUDERS, RITA	PROFUNDS MONEY MARKET-SVC	0.00	6.57	0.00	6.57	90.0	5.91
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$24.86	\$0.00	\$24.86		\$22.38

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/05/2018	REICHARD, DEBRA	ING ESII	31,067.56	19.42	0.00	19.42	90.0	17.48
07/14/2018	REICHARD, THOMAS	ING ESII	60,703.72	37.94	0.00	37.94	90.0	34.15
07/04/2018	NUTTLE, DARREN	ING PREMIUM PLUS	4,818.71	3.01	0.00	3.01	90.0	2.71
07/02/2018	STAUFFER, ARLEN	PAC LIFE INNOVATIVE SELECT	0.00	39.81	0.00	39.81	90.0	35.83
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$96,589.99	\$100.18	\$0.00	\$100.18		\$90.17
*** DIRECT TRANSACTIONS TOTAL ***			\$96,589.99	\$128.69	\$0.00	\$128.69		\$115.84

BROKER COMMISSION TOTALS

Broker Transactions	\$359.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$359.29
Year-to-date Gross	\$93,197.91
Year-to-date Earnings	\$83,128.78

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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^Date - Split Trade - full Investment Amount & Gross Commission displayed