



Concorde Investment Services, LLC

04/12/2017
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COMMISSION STATEMENT April 15, 2017

ROBIN EDGAR
1161 WAYZATA BLVD E
#400
Wayzata, MN 55391

BROKER #: E119
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
04/04/2017	POST, KENNETH	AM FUNDS AMCAP A	96.04	2.64	0.00	2.64	90.0	2.38
04/04/2017	POST, KENNETH	AM FUNDS AMCAP A	96.04	2.64	0.00	2.64	90.0	2.38
04/03/2017	GERZEMA, MARLENE	AM FUNDS AMERICAN BALANCED A	2,000.00	32.00	0.00	32.00	90.0	28.80
04/04/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	11.09	0.30	0.00	0.30	90.0	0.27
04/04/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	11.09	0.30	0.00	0.30	90.0	0.27
04/06/2017	DAHL, NANCY JOHNSON	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	90.0	3.38
04/06/2017	DAHL, JORGEN	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
04/06/2017	DAHL, ERIK	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
04/04/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	11.09	0.30	0.00	0.30	90.0	0.27
04/04/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	11.09	0.30	0.00	0.30	90.0	0.27
03/29/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	1.29	0.00	1.29	90.0	1.16
03/29/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	1.29	0.00	1.29	90.0	1.16
04/04/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	106.52	2.93	0.00	2.93	90.0	2.64
04/04/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	106.52	2.93	0.00	2.93	90.0	2.64
04/03/2017	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	90.0	2.53
04/03/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL INCOME BUILDER A	2,000.00	32.00	0.00	32.00	90.0	28.80
04/05/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	200.00	3.20	0.00	3.20	90.0	2.88
04/03/2017	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
04/03/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	2,000.00	32.00	0.00	32.00	90.0	28.80
04/04/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	41.16	1.13	0.00	1.13	90.0	1.02
04/04/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	82.32	2.26	0.00	2.26	90.0	2.03
04/06/2017	DAHL, NANCY JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
03/27/2017	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	76.55	2.11	0.00	2.11	90.0	1.90
03/27/2017	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	57.41	1.58	0.00	1.58	90.0	1.42
03/27/2017	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	84.38	2.32	0.00	2.32	90.0	2.09
03/27/2017	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	63.27	1.74	0.00	1.74	90.0	1.57

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/27/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	25.00	0.94	0.00	0.94	90.0	0.85
03/27/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	25.00	0.94	0.00	0.94	90.0	0.85
04/03/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	23.88	0.90	0.00	0.90	90.0	0.81
04/03/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	23.88	0.90	0.00	0.90	90.0	0.81
04/04/2017	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	66.78	1.84	0.00	1.84	90.0	1.66
04/04/2017	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	50.09	1.38	0.00	1.38	90.0	1.24
04/04/2017	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	57.38	1.58	0.00	1.58	90.0	1.42
04/04/2017	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	76.50	2.10	0.00	2.10	90.0	1.89
04/04/2017	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	140.87	3.87	0.00	3.87	90.0	3.48
04/04/2017	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	140.87	3.87	0.00	3.87	90.0	3.48
04/05/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH AND INCOME A	150.00	7.50	0.00	7.50	90.0	6.75
04/04/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	41.16	1.13	0.00	1.13	90.0	1.02
04/04/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	82.32	2.26	0.00	2.26	90.0	2.03
04/04/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	11.42	0.31	0.00	0.31	90.0	0.28
04/04/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	11.42	0.31	0.00	0.31	90.0	0.28
03/27/2017	PAGE, SUSAN	AM FUNDS GROWTH PORT A	64.53	1.77	0.00	1.77	90.0	1.59
03/27/2017	PAGE, SUSAN	AM FUNDS GROWTH PORT A	48.40	1.33	0.00	1.33	90.0	1.20
03/27/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	87.02	2.39	0.00	2.39	90.0	2.15
03/27/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	65.27	1.79	0.00	1.79	90.0	1.61
03/27/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	42.42	1.17	0.00	1.17	90.0	1.05
03/27/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	31.80	0.87	0.00	0.87	90.0	0.78
03/27/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	47.62	1.79	0.00	1.79	90.0	1.61
03/27/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	28.57	1.07	0.00	1.07	90.0	0.96
03/27/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	22.05	0.83	0.00	0.83	90.0	0.75
03/27/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	36.75	1.38	0.00	1.38	90.0	1.24
04/03/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	45.84	1.72	0.00	1.72	90.0	1.55
04/03/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	27.50	1.03	0.00	1.03	90.0	0.93
04/03/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	6.75	0.25	0.00	0.25	90.0	0.23
04/03/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	4.05	0.15	0.00	0.15	90.0	0.14
04/04/2017	PAGE, SUSAN	AM FUNDS GROWTH PORT A	66.39	1.83	0.00	1.83	90.0	1.65
04/04/2017	PAGE, SUSAN	AM FUNDS GROWTH PORT A	49.81	1.37	0.00	1.37	90.0	1.23
04/04/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	85.51	2.35	0.00	2.35	90.0	2.12
04/04/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	64.13	1.76	0.00	1.76	90.0	1.58

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/04/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	38.48	1.06	0.00	1.06	90.0	0.95
04/04/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	28.86	0.79	0.00	0.79	90.0	0.71
04/04/2017	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	136.74	3.76	0.00	3.76	90.0	3.38
04/04/2017	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	227.91	6.27	0.00	6.27	90.0	5.64
04/05/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH PORT A	150.00	7.50	0.00	7.50	90.0	6.75
04/04/2017	FIELD, BARRY	AM FUNDS ICA A	41.16	1.13	0.00	1.13	90.0	1.02
04/04/2017	FIELD, BARRY	AM FUNDS ICA A	82.32	2.26	0.00	2.26	90.0	2.03
04/03/2017	GERZEMA, MARLENE	AM FUNDS INCOME FUND OF AMERICA A	2,000.00	32.00	0.00	32.00	90.0	28.80
04/04/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	41.16	1.13	0.00	1.13	90.0	1.02
04/04/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	82.32	2.26	0.00	2.26	90.0	2.03
04/06/2017	DAHL, NANCY JOHNSON	AM FUNDS INCOME FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
04/03/2017	GERZEMA, MARLENE	AM FUNDS INTL GROWTH AND INCOME A	2,000.00	32.00	0.00	32.00	90.0	28.80
04/03/2017	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	75.00	2.81	0.00	2.81	90.0	2.53
04/06/2017	DAHL, NANCY JOHNSON	AM FUNDS NEW PERSPECTIVE A	50.00	1.88	0.00	1.88	90.0	1.69
03/27/2017	GROSS, JUDY	AM FUNDS NEW WORLD A	1,000.00	27.50	0.00	27.50	90.0	24.75
04/04/2017	GROSS, JUDY	AM FUNDS NEW WORLD A	1,000.00	27.50	0.00	27.50	90.0	24.75
03/27/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	15.96	0.60	0.00	0.60	90.0	0.54
03/27/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	15.96	0.60	0.00	0.60	90.0	0.54
03/27/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	62.12	2.33	0.00	2.33	90.0	2.10
03/27/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	31.06	1.16	0.00	1.16	90.0	1.04
03/29/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	96.00	3.60	0.00	3.60	90.0	3.24
03/29/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	224.00	8.40	0.00	8.40	90.0	7.56
04/03/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	17.52	0.66	0.00	0.66	90.0	0.59
04/03/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	17.52	0.66	0.00	0.66	90.0	0.59
04/03/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	59.35	2.23	0.00	2.23	90.0	2.01
04/03/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	29.67	1.11	0.00	1.11	90.0	1.00
04/04/2017	ENGLISH, WILLIAM	AMERICAN FUND GLOBAL GROWTH PORTFOL	125.00	3.44	0.00	3.44	90.0	3.10
04/04/2017	ENGLISH, WILLIAM	AMERICAN FUND GLOBAL GROWTH PORTFOL	125.00	3.44	0.00	3.44	90.0	3.10
04/05/2017	DAHL, ERIK	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	5.00	0.00	5.00	90.0	4.50
03/27/2017	GROSS, ROBERT	AMERICAN FUNDS DEVELOPING WORLD GRO	1,000.00	27.50	0.00	27.50	90.0	24.75
04/04/2017	GROSS, ROBERT	AMERICAN FUNDS DEVELOPING WORLD GRO	1,000.00	27.50	0.00	27.50	90.0	24.75
/ /	LOW, ANN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	7.90	0.00	7.90	90.0	7.11
* MUTUAL FUNDS SUBTOTAL *			\$19,247.66	\$445.30	\$0.00	\$445.30		\$400.79

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
04/04/2017	KOSS-WINN BANC ESOP	ALPHABET INC CAP STK CL C	-49,894.80	50.00	22.50	27.50	90.0	24.75
04/04/2017	KOSS-WINN BANC ESOP	AMAZON COM INC	67,423.95	50.00	22.50	27.50	90.0	24.75
03/31/2017	KOSS-WINN BANC ESOP	APTEVO THERAPEUTICS INC COM	-4,121.00	50.00	25.00	25.00	90.0	22.50
03/31/2017	KOSS-WINN BANC ESOP	CERECOR INC COM	-2,720.00	50.00	27.00	23.00	90.0	20.70
03/31/2017	KOSS-WINN BANC ESOP	POWER SOLUTIONS INTL INC COM NEW	-7,876.80	50.00	23.12	26.88	90.0	24.19
03/31/2017	KOSS-WINN BANC ESOP	TAHOE RES INC COM ISIN#CA8738681037	-4,026.75	50.00	22.75	27.25	90.0	24.53
* STOCKS SUBTOTAL *			\$-1,215.40	\$300.00	\$142.87	\$157.13		\$141.42
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/31/2017	LANGFALD, ARDIS	LFG TRAILS	0.00	60.15	0.00	60.15	90.0	54.14
03/31/2017	LANGFALD, RONALD	LFG TRAILS	0.00	185.92	0.00	185.92	90.0	167.33
03/31/2017	DOANE, ELDON	LFG TRAILS	0.00	192.19	0.00	192.19	90.0	172.97
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$438.26	\$0.00	\$438.26		\$394.44
*** BROKER TRANSACTIONS TOTAL ***			\$18,032.26	\$1,183.56	\$142.87	\$1,040.69		\$936.65
<u>ADJUSTMENTS</u>								
04/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
04/15/2017		*FINRA: NY IND. REG. FEE	0.00					-70.00
04/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-645.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$936.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-645.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$291.65
Year-to-date Gross	\$74,652.51
Year-to-date Earnings	\$65,856.61