

**COMMISSION STATEMENT****March 31, 2018**

101 CFGROUP/KOSANKE
1120 E. Long Lake Road
Suite 250
Troy, MI 48085

BROKER #: 101
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
03/21/2018	ORTAGO, PAULA	BR TOTAL INC & REAL ESTATE FUND C	42,705.74	32.76	0.00	32.76	95.0	31.12
03/21/2018	MCKENZIE TRUST, ROBERT & DONNABR	TOTAL INC & REAL ESTATE FUND C	130,109.91	99.81	0.00	99.81	95.0	94.82
03/21/2018	MERRITT REVOCABLE TRUST, KATHYBR	TOTAL INC & REAL ESTATE FUND C	50,222.05	38.52	0.00	38.52	95.0	36.59
03/21/2018	KOPCZYK TRUST, LAWRENCE & PATRBR	TOTAL INC & REAL ESTATE FUND C	82,717.05	63.45	0.00	63.45	95.0	60.28
03/21/2018	SPEARY, ROBERT	BR TOTAL INC & REAL ESTATE FUND C	75,703.26	58.08	0.00	58.08	95.0	55.18
03/21/2018	SUTTON, LYNETTE	BR TOTAL INC & REAL ESTATE FUND C	53,248.02	40.85	0.00	40.85	95.0	38.81
02/28/2018	WEYCKER, AARON	GRIFFIN INST'L ACCESS R E FUND C	34,854.01	26.73	0.00	26.73	95.0	25.39
02/28/2018	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	52,625.51	40.37	0.00	40.37	95.0	38.35
02/28/2018	THOMSON, DOUGLAS	GRIFFIN INST'L ACCESS R E FUND C	102,001.47	78.25	0.00	78.25	95.0	74.34
02/28/2018	MILLER, RAYMOND	GRIFFIN INST'L ACCESS R E FUND C	30,604.70	23.48	0.00	23.48	95.0	22.31
02/28/2018	SUTTON, TIMOTHY	GRIFFIN INST'L ACCESS R E FUND C	136,077.16	104.39	0.00	104.39	95.0	99.17
02/28/2018	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	301,367.43	231.19	0.00	231.19	95.0	219.63
02/28/2018	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	35,007.31	26.85	0.00	26.85	95.0	25.51
02/28/2018	CANNER, JULIE	GRIFFIN INST'L ACCESS R E FUND C	41,605.22	31.92	0.00	31.92	95.0	30.32
02/28/2018	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	148,658.08	114.04	0.00	114.04	95.0	108.34
02/28/2018	CYRULEWSKI, JIM	GRIFFIN INST'L ACCESS R E FUND C	112,960.03	86.65	0.00	86.65	95.0	82.32
02/28/2018	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	122,187.22	93.73	0.00	93.73	95.0	89.04
02/28/2018	BEAUDETTE, FLOYD	GRIFFIN INST'L ACCESS R E FUND C	50,232.08	38.53	0.00	38.53	95.0	36.60
02/28/2018	YANIS, MATTHEW	GRIFFIN INST'L ACCESS R E FUND C	125,602.17	96.35	0.00	96.35	95.0	91.53
02/28/2018	GALLO, ANTHONY	GRIFFIN INST'L ACCESS R E FUND C	39,828.62	30.56	0.00	30.56	95.0	29.03
02/28/2018	QUICK, ELAINE	GRIFFIN INST'L ACCESS R E FUND C	50,002.13	38.36	0.00	38.36	95.0	36.44
02/28/2018	FERRARI, JACQUELINE	GRIFFIN INST'L ACCESS R E FUND C	69,764.87	53.52	0.00	53.52	95.0	50.84
02/28/2018	CARMODY, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	41,550.79	31.88	0.00	31.88	95.0	30.29
02/28/2018	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	25,118.11	19.27	0.00	19.27	95.0	18.31
02/28/2018	SIMONY, RICHARD	GRIFFIN INST'L ACCESS R E FUND C	20,140.45	15.45	0.00	15.45	95.0	14.68
02/28/2018	SPEARY, LISA	GRIFFIN INST'L ACCESS R E FUND C	24,790.53	19.01	0.00	19.01	95.0	18.06

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
02/28/2018	KAWALA, CHRISTINA	GRIFFIN INST'L ACCESS R E FUND C	3,549.76	2.72	0.00	2.72	95.0	2.58
02/28/2018	KING, DAVID	GRIFFIN INST'L ACCESS R E FUND C	4,935.08	3.79	0.00	3.79	95.0	3.60
02/28/2018	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	8,976.46	6.88	0.00	6.88	95.0	6.54
02/28/2018	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	114,123.24	87.55	0.00	87.55	95.0	83.17
02/28/2018	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	188,463.83	144.57	0.00	144.57	95.0	137.34
02/28/2018	DEMAN, KENNETH	GRIFFIN INST'L ACCESS R E FUND C	52,504.24	40.28	0.00	40.28	95.0	38.27
02/28/2018	KEELER, DAVID	GRIFFIN INST'L ACCESS R E FUND C	26,023.55	19.96	0.00	19.96	95.0	18.96
02/28/2018	BUSZEK, PAUL	GRIFFIN INST'L ACCESS R E FUND C	25,765.49	19.76	0.00	19.76	95.0	18.77
02/28/2018	WETZEL, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	10,202.64	7.83	0.00	7.83	95.0	7.44
02/28/2018	ELLIOT, JAMES	GRIFFIN INST'L ACCESS R E FUND C	97,874.76	75.08	0.00	75.08	95.0	71.33
02/28/2018	ELLIOT, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	66,020.12	50.64	0.00	50.64	95.0	48.11
02/28/2018	JOUPPI, SHARON	GRIFFIN INST'L ACCESS R E FUND C	54,864.21	42.09	0.00	42.09	95.0	39.99
02/28/2018	JOUPPI, SHARON	GRIFFIN INST'L ACCESS R E FUND C	24,543.50	18.83	0.00	18.83	95.0	17.89
02/28/2018	FEWLESS, SCOTT	GRIFFIN INST'L ACCESS R E FUND C	15,323.51	11.76	0.00	11.76	95.0	11.17
02/28/2018	BARTOI, JOHN	GRIFFIN INST'L ACCESS R E FUND C	83,813.53	64.29	0.00	64.29	95.0	61.08
02/28/2018	MERRITT REVOCABLE TRUST, KATHY	GRIFFIN INST'L ACCESS R E FUND C	49,021.88	37.60	0.00	37.60	95.0	35.72
02/28/2018	KOPCZYK TRUST, LAWRENCE & PATR	GRIFFIN INST'L ACCESS R E FUND C	76,747.25	58.88	0.00	58.88	95.0	55.94
02/28/2018	THOMSON, DOUGLAS	GRIFFIN INST'L ACCESS R E FUND C	3,684.05	2.83	0.00	2.83	95.0	2.69
02/28/2018	AMMAN, KATHLEEN	GRIFFIN INST'L ACCESS R E FUND C	20,060.41	15.39	0.00	15.39	95.0	14.62
02/28/2018	BAUMGART, ROY MARK	GRIFFIN INST'L ACCESS R E FUND C	54,839.72	42.07	0.00	42.07	95.0	39.97
02/28/2018	SMITH, MARTHA	GRIFFIN INST'L ACCESS R E FUND C	73,825.24	56.63	0.00	56.63	95.0	53.80
02/28/2018	SPEARY, LISA	GRIFFIN INST'L ACCESS R E FUND C	50,213.22	38.52	0.00	38.52	95.0	36.59
02/28/2018	VOGEL, KEITH	GRIFFIN INST'L ACCESS R E FUND C	117,303.65	89.99	0.00	89.99	95.0	85.49
02/28/2018	THOMSON TRUST, DOUGLAS & NANCY	GRIFFIN INST'L ACCESS R E FUND C	98,838.94	75.83	0.00	75.83	95.0	72.04
02/28/2018	STRAITH LIV TRUST, JAMES	GRIFFIN INST'L ACCESS R E FUND C	49,252.21	37.79	0.00	37.79	95.0	35.90
02/28/2018	WILMAN, ANN ELAINE	GRIFFIN INST'L ACCESS R E FUND C	27,299.15	20.95	0.00	20.95	95.0	19.90
02/28/2018	GRUSH, ERNEST	GRIFFIN INST'L ACCESS R E FUND C	100,401.54	77.03	0.00	77.03	95.0	73.18
02/28/2018	ETHIER, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	45,607.43	34.99	0.00	34.99	95.0	33.24
02/28/2018	SMITH REV TRUST #2, CHARLES	GRIFFIN INST'L ACCESS R E FUND C	37,051.66	28.43	0.00	28.43	95.0	27.01
02/28/2018	MCKENZIE TRUST, ROBERT & DONNA	GRIFFIN INST'L ACCESS R E FUND C	118,535.86	90.93	0.00	90.93	95.0	86.38
02/28/2018	STAHL, CURTIS	GRIFFIN INST'L ACCESS R E FUND C	26,676.40	20.47	0.00	20.47	95.0	19.45
02/28/2018	BERNARDI TRUST, DONALD &	GRIFFIN INST'L ACCESS R E FUND C	49,440.17	37.93	0.00	37.93	95.0	36.03
02/28/2018	ORTAGO, PAULA	GRIFFIN INST'L ACCESS R E FUND C	42,004.97	32.23	0.00	32.23	95.0	30.62

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
02/28/2018	KOSANKE, CHARLES W	GRIFFIN INST'L ACCESS R E FUND C	25,328.99	19.43	0.00	19.43	95.0	18.46
02/28/2018	DINKA, JOHN	GRIFFIN INST'L ACCESS R E FUND C	113,144.26	86.80	0.00	86.80	95.0	82.46
02/28/2018	DINKA, CATHERINE	GRIFFIN INST'L ACCESS R E FUND C	106,313.12	81.56	0.00	81.56	95.0	77.48
02/28/2018	BELLINGE, GEORGE	GRIFFIN INST'L ACCESS R E FUND C	85,264.03	65.41	0.00	65.41	95.0	62.14
02/28/2018	GHELFI, CRAIG	GRIFFIN INST'L ACCESS R E FUND C	89,300.44	71.35	0.00	71.35	95.0	67.78
02/28/2018	CANNON TRUST, HENRY & BARBARA	GRIFFIN INST'L ACCESS R E FUND C	29,816.67	22.87	0.00	22.87	95.0	21.73
02/28/2018	KRONNER, DAVID	GRIFFIN INST'L ACCESS R E FUND C	49,983.20	38.35	0.00	38.35	95.0	36.43
02/28/2018	RANG, JAMES S & MARIL	GRIFFIN INST'L ACCESS R E FUND C	9,878.66	7.57	0.00	7.57	95.0	7.19
02/28/2018	OLSON, MELINDA	GRIFFIN INST'L ACCESS R E FUND C	32,774.89	25.15	0.00	25.15	95.0	23.89
02/28/2018	HARWOOD TRUST, SHARON	GRIFFIN INST'L ACCESS R E FUND C	877.36	0.67	0.00	0.67	95.0	0.64
02/28/2018	KNIGHT TRUST, SHARON	GRIFFIN INST'L ACCESS R E FUND C	10,424.55	8.00	0.00	8.00	95.0	7.60
02/28/2018	EQUITY TRUST CO	GRIFFIN INST'L ACCESS R E FUND C	42,593.56	32.68	0.00	32.68	95.0	31.05
02/28/2018	ROBERTS, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	36,277.14	27.83	0.00	27.83	95.0	26.44
* INTERVAL FUNDS SUBTOTAL *			\$4,449,448.46	\$3,416.19	\$0.00	\$3,416.19		\$3,245.40
<u>PRODUCT CATEGORY: 529 PLANS</u>								
03/22/2018	MURDOCK, DANIELLE	AM FUNDS AMERICAN MUTUAL 529C	2,130.00	21.30	0.00	21.30	95.0	20.24
03/16/2018	FROMM, MARY	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.50	0.00	2.50	95.0	2.38
03/05/2018	BOWEN, ED	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
03/23/2018	SILVERSTEIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	1.88	0.00	1.88	95.0	1.79
03/05/2018	BOWEN, ED	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	95.0	2.02
03/13/2018	FOLLETT, JACOB	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	5.00	0.00	5.00	95.0	4.75
03/14/2018	RICHARDS, COLE	AM FUNDS NEW WORLD 529A	100.00	5.00	0.00	5.00	95.0	4.75
03/16/2018	FROMM, MARY	AM FUNDS NEW WORLD 529A	50.00	2.50	0.00	2.50	95.0	2.38
03/13/2018	FOLLETT, JACOB	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	95.0	4.75
03/02/2018	NIZIO, MARGARET	BLACKROCK 67776G493	50.00	0.50	0.00	0.50	95.0	0.48
03/02/2018	NIZIO, EDMUND	BLACKROCK 67776G493	50.00	0.50	0.00	0.50	95.0	0.48
03/01/2018	KUCHAREK, PAUL	BLACKROCK 67776G469	50.00	1.75	0.00	1.75	95.0	1.66
* 529 PLANS SUBTOTAL *			\$2,830.00	\$50.19	\$0.00	\$50.19		\$47.70
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/05/2018	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
03/05/2018	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
03/05/2018	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/05/2018	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
03/05/2018	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
03/05/2018	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
03/07/2018	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	2.55	0.00	2.55	95.0	2.42
03/21/2018	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	2.55	0.00	2.55	95.0	2.42
03/05/2018	LISIECKI, MARGARET	AM FUNDS AMERICAN MUTUAL A	1,000.00	37.50	0.00	37.50	95.0	35.63
03/05/2018	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
03/05/2018	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
03/19/2018	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	28.00	1.40	0.00	1.40	95.0	1.33
03/19/2018	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	28.00	1.40	0.00	1.40	95.0	1.33
03/20/2018	NAGEOTTE, NIKOLAUS	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	4.75
03/05/2018	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
03/05/2018	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
03/05/2018	KEMP, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	95.0	2.02
03/05/2018	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	60.00	0.60	0.00	0.60	95.0	0.57
03/05/2018	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	60.00	0.60	0.00	0.60	95.0	0.57
03/12/2018	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
03/15/2018	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
03/05/2018	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
03/05/2018	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
03/05/2018	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
03/05/2018	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
03/12/2018	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	4.75
03/19/2018	BUTT, DENNIS	AM FUNDS NEW WORLD A	84.00	4.20	0.00	4.20	95.0	3.99
03/19/2018	BUTT, DENNIS	AM FUNDS NEW WORLD A	84.00	4.20	0.00	4.20	95.0	3.99
03/05/2018	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
03/05/2018	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
03/05/2018	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
03/05/2018	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
03/12/2018	IVEZAJ, GYSTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.88	0.00	1.88	95.0	1.79
03/12/2018	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
03/15/2018	KEMP, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
03/15/2018	BEHNKE, DANIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02

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<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/15/2018	BEHNKE, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	95.0	4.75
03/01/2018	PARTALIS, CHRISTINE	DAVIS NEW YORK VENTURE A	125.00	5.00	0.00	5.00	95.0	4.75
03/15/2018	ROBINETTE, JANET	DAVIS NEW YORK VENTURE A	50.00	2.00	0.00	2.00	95.0	1.90
03/15/2018	MORICI, MICHELLE	DAVIS NEW YORK VENTURE B	50.00	2.00	0.00	2.00	95.0	1.90
03/15/2018	GARLAND, DAWN	DAVIS NEW YORK VENTURE B	30.00	1.20	0.00	1.20	95.0	1.14
03/09/2018	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
03/05/2018	CHADICK TRUST	FRANKLIN INCOME A	80.00	3.20	0.00	3.20	95.0	3.04
03/01/2018	KUCHAREK, PAUL	GLOBAL ALLOCATION FUND	50.00	1.88	0.00	1.88	95.0	1.79
03/05/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	410.24	15.38	0.00	15.38	95.0	14.61
03/05/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	410.24	15.38	0.00	15.38	95.0	14.61
03/05/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	410.24	15.38	0.00	15.38	95.0	14.61
03/05/2018	BERGLUND, KRIS	INVESCO AMERICAN FRANCHISE A	50.00	2.50	0.00	2.50	95.0	2.38
03/06/2018	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	21.67	0.22	0.00	0.22	95.0	0.21
03/06/2018	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	13.00	0.13	0.00	0.13	95.0	0.12
03/06/2018	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	194.22	1.94	0.00	1.94	95.0	1.84
03/06/2018	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	34.27	0.34	0.00	0.34	95.0	0.32
03/06/2018	ELIAS, JANICE	OPPENHEIMER GLOBAL C	21.02	0.21	0.00	0.21	95.0	0.20
03/06/2018	ELIAS, JANICE	OPPENHEIMER GLOBAL C	12.61	0.13	0.00	0.13	95.0	0.12
03/06/2018	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	194.22	1.94	0.00	1.94	95.0	1.84
03/06/2018	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	34.27	0.34	0.00	0.34	95.0	0.32
03/12/2018	TRBOVICH, NICK	OPPENHEIMER GLOBAL OPPORTUNITIES C	200.00	2.00	0.00	2.00	95.0	1.90
03/12/2018	TRBOVICH, NICK	OPPENHEIMER GLOBAL OPPORTUNITIES C	30.00	0.30	0.00	0.30	95.0	0.29
03/12/2018	TRBOVICH, MARY	OPPENHEIMER GLOBAL OPPORTUNITIES C	225.00	2.25	0.00	2.25	95.0	2.14
03/12/2018	TRBOVICH, MARY	OPPENHEIMER GLOBAL OPPORTUNITIES C	33.75	0.34	0.00	0.34	95.0	0.32
03/06/2018	DAMORE, MONICA	OPPENHEIMER INTL SMALL CO C	200.10	2.00	0.00	2.00	95.0	1.90
03/06/2018	DAMORE, MONICA	OPPENHEIMER INTL SMALL CO C	35.32	0.35	0.00	0.35	95.0	0.33
03/06/2018	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	21.02	0.21	0.00	0.21	95.0	0.20
03/06/2018	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	12.61	0.13	0.00	0.13	95.0	0.12
03/12/2018	TRBOVICH, NICK	OPPENHEIMER MAIN STREET C	200.00	2.00	0.00	2.00	95.0	1.90
03/12/2018	TRBOVICH, NICK	OPPENHEIMER MAIN STREET C	30.00	0.30	0.00	0.30	95.0	0.29
03/12/2018	TRBOVICH, MARY	OPPENHEIMER MAIN STREET C	225.00	2.25	0.00	2.25	95.0	2.14
03/12/2018	TRBOVICH, MARY	OPPENHEIMER MAIN STREET C	33.75	0.34	0.00	0.34	95.0	0.32
03/15/2018	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	50.00	0.50	0.00	0.50	95.0	0.48

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/12/2018	TRBOVICH, NICK	OPPENHEIMER REAL ESTATE C	200.00	2.00	0.00	2.00	95.0	1.90
03/12/2018	TRBOVICH, NICK	OPPENHEIMER REAL ESTATE C	30.00	0.30	0.00	0.30	95.0	0.29
03/12/2018	TRBOVICH, MARY	OPPENHEIMER REAL ESTATE C	225.00	2.25	0.00	2.25	95.0	2.14
03/12/2018	TRBOVICH, MARY	OPPENHEIMER REAL ESTATE C	33.75	0.34	0.00	0.34	95.0	0.32
03/06/2018	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
03/06/2018	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	53.81	0.54	0.00	0.54	95.0	0.51
03/12/2018	TRBOVICH, NICK	OPPENHEIMER VALUE C	200.00	2.00	0.00	2.00	95.0	1.90
03/12/2018	TRBOVICH, NICK	OPPENHEIMER VALUE C	30.00	0.30	0.00	0.30	95.0	0.29
03/12/2018	TRBOVICH, MARY	OPPENHEIMER VALUE C	225.00	2.25	0.00	2.25	95.0	2.14
03/12/2018	TRBOVICH, MARY	OPPENHEIMER VALUE C	33.75	0.34	0.00	0.34	95.0	0.32
03/08/2018	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	115.96	1.16	0.00	1.16	95.0	1.10
03/15/2018	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
03/15/2018	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	300.00	3.00	0.00	3.00	95.0	2.85
03/16/2018	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	450.00	4.50	0.00	4.50	95.0	4.28
* MUTUAL FUNDS SUBTOTAL *			\$8,423.82	\$211.59	\$0.00	\$211.59		\$201.03
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
03/06/2018	DINKA, JOHN	PAC LIFE VUL	2,500.00	50.00	0.00	50.00	95.0	47.50
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$2,500.00	\$50.00	\$0.00	\$50.00		\$47.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/14/2018	LAI, MICHAEL	JH FINANCIAL INDUSTRIES A	0.00	1.20	0.00	1.20	95.0	1.14
03/14/2018	MALINAK, FRANCES	JH FINANCIAL INDUSTRIES A	0.00	7.21	0.00	7.21	95.0	6.85
03/14/2018	BOHACEK, STEVE	JH LARGE CAP EQUITY A	0.00	5.74	0.00	5.74	95.0	5.45
03/14/2018	RACK, ROBERT	JH LARGE CAP EQUITY A	0.00	5.70	0.00	5.70	95.0	5.42
03/14/2018	ENGLEBERT, JAMES	JH REGIONAL BANK A	0.00	4.92	0.00	4.92	95.0	4.67
03/14/2018	HELTSLEY, MARTHA	JH REGIONAL BANK A	0.00	1.90	0.00	1.90	95.0	1.81
03/14/2018	BOZELKA, KEVIN	JH REGIONAL BANK A	0.00	1.96	0.00	1.96	95.0	1.86
03/14/2018	JAHNKE, DENNIS	JH REGIONAL BANK A	0.00	4.61	0.00	4.61	95.0	4.38
03/14/2018	EIS (MINOR), MARGO	JH STRATEGIC GROWTH A	0.00	0.46	0.00	0.46	95.0	0.44
03/05/2018	OBIE, BRADFORD	THORNBURG INCOME BUILDER CL A	0.00	8.62	0.00	8.62	95.0	8.19
03/05/2018	OBIE, BRADFORD	THORNBURG VALUE CL A	0.00	16.23	0.00	16.23	95.0	15.42
03/05/2018	OBIE, BRADFORD	THORNBURG VALUE CL A	0.00	6.85	0.00	6.85	95.0	6.51
03/05/2018	BUSSELL REV LV TRUST, SHARON	WELLS FARGO 100% TREASURY MMKT-A	0.00	6.69	0.00	6.69	95.0	6.36

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/05/2018	THOMAS, HENRY	WELLS FARGO INCOME PLUS A	0.00	2.92	0.00	2.92	95.0	2.77
03/05/2018	THOMAS, SYBIL	WELLS FARGO INCOME PLUS A	0.00	2.34	0.00	2.34	95.0	2.22
03/05/2018	TOTEFF, JESSIE	WELLS FARGO INCOME PLUS A	0.00	3.06	0.00	3.06	95.0	2.91
03/05/2018	SPEAROT, DOUGLAS	WELLS FARGO INCOME PLUS A	0.00	0.82	0.00	0.82	95.0	0.78
03/05/2018	GUTMAN, KENNETH	WELLS FARGO INTL BOND A	0.00	2.01	0.00	2.01	95.0	1.91
03/05/2018	GUTMAN, MATHEW	WELLS FARGO INTL BOND A	0.00	2.01	0.00	2.01	95.0	1.91
03/05/2018	JAGGER, ALBERT	WELLS FARGO INTL BOND A	0.00	25.00	0.00	25.00	95.0	23.75
03/05/2018	BUSSELL REV LIV TRST, GEROGE &	WELLS FARGO MONEY MKT A	0.00	24.97	0.00	24.97	95.0	23.72
03/05/2018	STEBBINS, DAVID	WELLS FARGO OPP A	0.00	0.62	0.00	0.62	95.0	0.59
03/05/2018	BASILE, NINO	WELLS FARGO OPP A	0.00	0.92	0.00	0.92	95.0	0.87
03/05/2018	DUDLEY, CHERYL	WELLS FARGO OPP A	0.00	1.13	0.00	1.13	95.0	1.07
03/05/2018	BASILE, ANNA	WELLS FARGO OPP A	0.00	0.79	0.00	0.79	95.0	0.75
03/05/2018	CODDING, CRAIG	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	3.48	0.00	3.48	95.0	3.31
03/05/2018	KISH, VIVIAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.89	0.00	0.89	95.0	0.85
03/05/2018	ROEHRIG, RITA	WELLS FARGO STRATEGIC MUNI A	0.00	2.47	0.00	2.47	95.0	2.35
03/05/2018	JAGGER, ALBERT	WELLS FARGO STRATEGIC MUNI A	0.00	22.85	0.00	22.85	95.0	21.71
03/05/2018	PER, MARIANNE	WELLS FARGO STRATEGIC MUNI A	0.00	0.17	0.00	0.17	95.0	0.16
03/05/2018	TSEREGOUNIS, SPYROS	WELLS FARGO STRATEGIC MUNI A	0.00	4.78	0.00	4.78	95.0	4.54
03/05/2018	ALEXOPOULOS, PAUL	WELLS FARGO STRATEGIC MUNI A	0.00	5.24	0.00	5.24	95.0	4.98
03/05/2018	REBNER, WALDEMAR	WELLS FARGO STRATEGIC MUNI A	0.00	8.48	0.00	8.48	95.0	8.06
03/05/2018	SPEAROT, REBECCA	WELLS FARGO STRATEGIC MUNI A	0.00	1.51	0.00	1.51	95.0	1.43
03/05/2018	CLEMAK, CHARLES	WELLS FARGO STRATEGIC MUNI A	0.00	25.64	0.00	25.64	95.0	24.36
03/05/2018	MUGLIA, DOMINIC	WELLS FARGO STRATEGIC MUNI A	0.00	34.01	0.00	34.01	95.0	32.31
03/05/2018	MCLUCAS, ROBERT	WELLS FARGO STRATEGIC MUNI A	0.00	49.92	0.00	49.92	95.0	47.42
03/05/2018	KATEHI, PISTI	WELLS FARGO TOTAL RETURN BOND A	0.00	1.03	0.00	1.03	95.0	0.98
03/05/2018	TSEREGOUNIS, SPYROS	WELLS FARGO TOTAL RETURN BOND A	0.00	0.97	0.00	0.97	95.0	0.92
03/05/2018	SNYDER, SHIRLEY	WELLS FARGO TOTAL RETURN BOND A	0.00	0.53	0.00	0.53	95.0	0.50
03/05/2018	BAYS, MARVIN	WELLS FARGO UTILITY AND TELECOM A	0.00	9.87	0.00	9.87	95.0	9.38
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$310.52	\$0.00	\$310.52		\$295.01
*** DIRECT TRANSACTIONS TOTAL ***			\$4,463,202.28	\$4,038.49	\$0.00	\$4,038.49		\$3,836.64

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK, 103 KOSANKE #3</u>								
03/28/2018	STEFFES, MARTIN	JNL REWARD 10 YR TERM	32.61	0.16	0.00	0.16	95.0	0.15
03/05/2018	RIMKUS, MARILYN	WELLS FARGO ENTERPRISE A	0.00	4.29	0.00	4.29	95.0	4.08
03/05/2018	ALEXANDER, JEAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.82	0.00	0.82	95.0	0.78
03/05/2018	EMMER, ILENE	WELLS FARGO TOTAL RETURN BOND A	0.00	0.15	0.00	0.15	95.0	0.14
03/05/2018	DANIELS, KATHLEEN	WELLS FARGO TOTAL RETURN BOND A	0.00	2.69	0.00	2.69	95.0	2.56
03/05/2018	SMOLBOSKI, BARBARA	WELLS FARGO TOTAL RETURN BOND A	0.00	0.19	0.00	0.19	95.0	0.18
* MARK, 103 KOSANKE SUBTOTAL *			\$32.61	\$8.30	\$0.00	\$8.30		\$7.89
*** SPLIT TRANSACTIONS TOTAL ***			\$32.61	\$8.30	\$0.00	\$8.30		\$7.89

<u> OVERRIDE TRANSACTIONS</u>								
<u>BROKER: FRANK, D116 DUNN #7</u>								
02/28/2018	BRENT, MARY KAY	GRIFFIN INST'L ACCESS R E FUND	47,821.06	9.17	0.00	9.17	90.0	0.46
02/28/2018	SCARLATO, MARY	GRIFFIN INST'L ACCESS R E FUND	47,888.23	9.18	0.00	9.18	90.0	0.46
* FRANK, D116 DUNN SUBTOTAL *			\$95,709.29	\$18.35	\$0.00	\$18.35		\$0.92
<u>BROKER: THOMAS SCHNEIDER #30</u>								
/ /	D&D TRAILER HAULING INC	PROFUNDS MONEY MARKET-SVC	0.00	0.34	0.00	0.34	0.0	0.32
/ /	GREENE, DAVID	PROFUNDS MONEY MARKET-SVC	0.00	0.34	0.00	0.34	0.0	0.32
* THOMAS SCHNEIDER SUBTOTAL *			\$0.00	\$0.68	\$0.00	\$0.68		\$0.64
*** OVERRIDE TRANSACTIONS TOTAL ***			\$95,709.29	\$19.03	\$0.00	\$19.03		\$1.56

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BROKER COMMISSION TOTALS

Broker Transactions	\$3,836.64
Split Transactions	\$7.89
Override Transactions	\$1.56
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,846.09
Year-to-date Gross	\$95,409.81
Year-to-date Earnings	\$95,972.76