



COMMISSION STATEMENT

July 09, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/25/2018	***-**-105P - RICHCREEK, ACCOUNT #: 00075150658	THE GROWTH FUND OF AMERICA-A BUY 4 Units @56.9900	200.00	10.00	0.00	10.00	90.0	9.00
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$10.00	\$0.00	\$10.00		\$9.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/03/2018	***-**-48IN - BEST, JOHN & ACCOUNT #: 06205487975	PIONEER EQUITY INCOME FUND A BUY 0 Units @0.0000	0.00	101.67	0.00	101.67	90.0	91.50
07/09/2018	***-**-776I - RIPLEY, KIM	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	104.95	0.00	104.95	90.0	94.46
07/09/2018	***-**-776I - RIPLEY, KIM ACCOUNT #: 7200008740	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	10.87	0.00	10.87	90.0	9.78
07/09/2018	***-**-002I - RIPLEY, TOM	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	47.65	0.00	47.65	90.0	42.89
07/09/2018	***-**-52IN - LORD, GEORGE ACCOUNT #: 7200037006	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	2.71	0.00	2.71	90.0	2.44
07/09/2018	***-**-93IN - WARD, WILLIAM ACCOUNT #: 7200013199	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	21.40	0.00	21.40	90.0	19.26
07/09/2018	***-**-47IO - ZINGARO, EILEEN ACCOUNT #: 7200015372	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	72.43	0.00	72.43	90.0	65.19
07/09/2018	***-**-9117 - RAY, EILEEN ACCOUNT #: 7200037826	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	5.39	0.00	5.39	90.0	4.85
07/09/2018	***-**-3994 - RAY, CLYDE ACCOUNT #: 7200037829	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	6.34	0.00	6.34	90.0	5.71
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$373.41	\$0.00	\$373.41		\$336.08
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/29/2018	***-**-9350 - LOWE, JAMES ACCOUNT #: T06438138	GENWORTH 37250G277 BUY 0 Units @0.0000	0.00	198.55	0.00	198.55	90.0	178.70
06/29/2018	***-**-395I - PIERSON, GEORGE ACCOUNT #: T06441035	GENWORTH 37250G277 BUY 0 Units @0.0000	0.00	57.04	0.00	57.04	90.0	51.34
06/29/2018	***-**-43FB - TORONTALI, ACCOUNT #: T06441263	GENWORTH 37250G277 BUY 0 Units @0.0000	0.00	2.67	0.00	2.67	90.0	2.40



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
06/29/2018	***-**-9350 - LOWE, JAMES	GENWORTH 37250G277	0.00	84.89	0.00	84.89	90.0	76.40
	ACCOUNT #: T06441278	BUY 0 Units @0.0000						
06/29/2018	***-**-7249 - LERNER, INGER	HARTFORD VA TRAILS 416594851	0.00	24.95	0.00	24.95	90.0	22.46
	ACCOUNT #: 710409829	BUY 0 Units @0.0000						
06/29/2018	***-**-67IN - ROSEN, DAVID	HARTFORD VA TRAILS 416594877	0.00	105.93	0.00	105.93	90.0	95.34
	ACCOUNT #: 710369542	BUY 0 Units @0.0000						
06/29/2018	***-**-67IN - ROSEN, DAVID	HARTFORD VA TRAILS 416594877	0.00	43.11	0.00	43.11	90.0	38.80
	ACCOUNT #: 710437311	BUY 0 Units @0.0000						
06/29/2018	***-**-2508 - LOCASIO, STEVEN	HARTFORD VA TRAILS 416594877	0.00	8.06	0.00	8.06	90.0	7.25
	ACCOUNT #: 712696512	BUY 0 Units @0.0000						
06/29/2018	***-**-2602 - LOCASIO, PETER	HARTFORD VA TRAILS 416594877	0.00	8.05	0.00	8.05	90.0	7.25
	ACCOUNT #: 712696524	BUY 0 Units @0.0000						
07/03/2018	***-**-454I - STRONACH,	ING ADVANTAGE SELECT	0.00	9.73	0.00	9.73	90.0	8.76
	ACCOUNT #: R078802-ZS	BUY 0 Units @0.0000						
06/30/2018	***-**-32RI - MITCHEN, DORIS	JACKSON ELITE ACCESS OPTION A	0.00	31.51	0.00	31.51	90.0	28.36
	ACCOUNT #: 1015309900	BUY 0 Units @0.0000						
06/30/2018	***-**-94NQ - REGULSKI, SUSAN	JACKSON ELITE ACCESS OPTION A	0.00	6.57	0.00	6.57	90.0	5.91
	ACCOUNT #: 1015441751	BUY 0 Units @0.0000						
06/30/2018	***-**-36IN - CLAWSON,	JACKSON ELITE ACCESS OPTION A	0.00	5.53	0.00	5.53	90.0	4.98
	ACCOUNT #: 1016486066	BUY 0 Units @0.0000						
06/30/2018	***-**-559I - HUBBARD, JAMES	JACKSON NATIONAL PERSPECTIVE II	0.00	32.93	0.00	32.93	90.0	29.64
	ACCOUNT #: 1013454350	BUY 0 Units @0.0000						
06/30/2018	***-**-21IN - KELLER, TERESA	JOHN HANCOCK 41014C168	0.00	7.08	0.00	7.08	90.0	6.37
	ACCOUNT #: 2110977	BUY 0 Units @0.0000						
06/30/2018	***-**-404I - MCCONNELL, JOHN	LINCOLN FIN VA TRAILS	0.00	20.22	0.00	20.22	90.0	18.20
	ACCOUNT #: 959165562	BUY 0 Units @0.0000						
06/30/2018	***-**-356I - WENNER, WARREN	LINCOLN FIN VA TRAILS	0.00	30.76	0.00	30.76	90.0	27.68
	ACCOUNT #: 959165593	BUY 0 Units @0.0000						
06/30/2018	***-**-3199 - MAY, DAVID	NATIONWIDE CUSIP 63866W167-NFS	0.00	192.01	0.00	192.01	90.0	172.81
	ACCOUNT #: 016226206	BUY 0 Units @0.0000						
06/30/2018	***-**-3199 - MAY, DAVID	PERSPECTIVE II DTCC	0.00	40.19	0.00	40.19	90.0	36.17
	ACCOUNT #: 1019236311	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
06/30/2018	***-**-6444 - DAVILA, MARITZA	PRUDENTIAL AS XTRA CREDIT	0.00	126.66	0.00	126.66	90.0	113.99
	ACCOUNT #: 000151168	BUY 0 Units @0.0000						
06/30/2018	***-**-2307 - BARTEL, BRADLEY	PRUDENTIAL VA TRAILS	0.00	86.42	0.00	86.42	90.0	77.78
	ACCOUNT #: 000087520	BUY 0 Units @0.0000						
06/30/2018	***-**-6873 - WALKER, JOHN	PRUDENTIAL VA TRAILS	0.00	31.54	0.00	31.54	90.0	28.39
	ACCOUNT #: 000110302	BUY 0 Units @0.0000						
07/09/2018	***-**-9657 - LIBERTY	QUALIFIED PLAN SUMMARY	0.00	159.06	0.00	159.06	90.0	143.15
	ACCOUNT #: 015948883	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,313.46	\$0.00	\$1,313.46		\$1,182.13
*** BROKER TRANSACTIONS TOTAL ***			\$200.00	\$1,696.87	\$0.00	\$1,696.87		\$1,527.21

BROKER COMMISSION TOTALS

Broker Transactions	\$1,527.21
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,527.21
Year-to-date Earnings	\$28,748.18