Page No.



## COMMISSION STATEMENT April 30, 2017

R134-DAN/JEFF/JON P/ FT-NY RAUPP/KIES/CIR

417 E. Main Street 3rd Floor Port Jefferson, NY 11777 BROKER #: R134

BRANCH: FORTITUDE SPLITS

| DATE       | CLIENT | INVESTMENT                               | INVESTMENT<br>AMOUNT  | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|--------|--|-----------------------|---------------------|--------------------|-------------------|------|----------------------|
|            |        | ADJUSTME                                 | NTS                   |                     |                    |                   |      |                      |
| 04/30/2017 |        | PRIOR PERIOD BALANCE(SEE BELOW)          | 0.00                  |                     |                    |                   |      | 0.00                 |
|            |        | *** ADJUSTMENTS TOTAL ***                | \$0.00                | \$0.00              | \$0.00             | \$0.00            |      | \$0.00               |
|            |        | BROKER COMMISSI                          |                       |                     |                    |                   |      | TOTALS               |
|            |        |  |                       |                     | Broke              | r Transactions    |      | \$0.00               |
|            |        |  |                       |                     | Spli               | t Transactions    |      | \$0.00               |
|            |        |  | Override Transactions |                     |                    | \$0.00            |      |                      |
|            |        |  |                       |                     |                    | Adjustments       |      | \$0.00               |
|            |        |  |                       |                     |                    | Payroll Draw      | •    | \$0.00               |
|            |        |  |                       |                     |                    | Base Salary       | -    | \$0.00               |
|            |        |  |                       |                     | Prior              | Period Balance    |      | \$0.02               |
|            |        |  |                       |                     | FI                 | NRA Assessment    |      | \$0.00               |
|            |        |  |                       |                     | S                  | IPC Assessment    |      | \$0.00               |
|            |        | Please Retain for<br>THERE WILL BE NO CH |                       | OD Balance Forward  |                    |                   | d    | \$0.02               |
|            |        |  |                       |                     | Yea                | r-to-date Gros    | s    | \$0.00               |
|            |        |  |                       |                     | Year-te            | o-date Earning    | s    | \$0.00               |