



Concorde Investment Services, LLC

01/13/2017
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COMMISSION STATEMENT

January 17, 2017

JOEL WOODS
1412 Blue Quail Run

BROKER #: W143
BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
01/06/2017	BARFIELD, RONALD	GPB HOLDINGS GPBCAP401	40,000.00	2,800.00	0.00	2,800.00	92.0	2,576.00
* PRIVATE PLACEMENT SUBTOTAL *			\$40,000.00	\$2,800.00	\$0.00	\$2,800.00		\$2,576.00
<u>PRODUCT CATEGORY: REIT</u>								
12/30/2016	BISHOP, MARTY	COTTONWOOD MULTIFAMILY REIT I-REG A	30,000.00	1,800.00	0.00	1,800.00	92.0	1,656.00
12/20/2016	BISHOP, MARTY	LIGHTSTONE VALUE PLUS REIT III INC	30,000.00	2,100.00	0.00	2,100.00	92.0	1,932.00
12/20/2016	BISHOP, MARTY	PREFERRED APARTMENT COMMUNITIES	30,000.00	2,100.00	0.00	2,100.00	92.0	1,932.00
* REIT SUBTOTAL *			\$90,000.00	\$6,000.00	\$0.00	\$6,000.00		\$5,520.00
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
12/20/2016	BISHOP, MARTY	BLUEROCK TOTAL INC & REAL ESTATE FU	30,000.00	300.00	0.00	300.00	90.0	270.00
* INTERVAL FUNDS SUBTOTAL *			\$30,000.00	\$300.00	\$0.00	\$300.00		\$270.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	LILES, ROBERT	JOHN HANCOCK VENTURE III	201,839.22	168.20	0.00	168.20	90.0	151.38
* VARIABLE ANNUITIES SUBTOTAL *			\$201,839.22	\$168.20	\$0.00	\$168.20		\$151.38
*** BROKER TRANSACTIONS TOTAL ***			\$361,839.22	\$9,268.20	\$0.00	\$9,268.20		\$8,517.38
<u>OVERRIDE TRANSACTIONS</u>								
<u>BROKER: MARK-S142 ALTS SMITH #368</u>								
12/30/2016	WISE TRUST, LUCILE	US ENERGY 2016 DRILLING FUND	25,000.00	1,750.00	0.00	1,750.00	49.0	752.50
* MARK-S142 ALTS SMITH SUBTOTAL *			\$25,000.00	\$1,750.00	\$0.00	\$1,750.00		\$752.50
*** OVERRIDE TRANSACTIONS TOTAL ***			\$25,000.00	\$1,750.00	\$0.00	\$1,750.00		\$752.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
01/17/2017		*FINRA: FINGERPRINT-M BERRY	0.00					-25.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-25.00

BROKER COMMISSION TOTALS

Broker Transactions	\$8,517.38
Split Transactions	\$0.00
Override Transactions	\$752.50
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$9,244.88
Year-to-date Gross	\$9,268.20
Year-to-date Earnings	\$9,269.88

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