



# COMMISSION STATEMENT

March 12, 2018

JAMES LOMBARDO  
231 Hill Road  
Rome, NY 13441

BROKER #: A08  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/28/2018	***-**-228I - EMMA, JACQUELINE	37252M108	100.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00551461545	BUY 5 Units @21.2600						
02/28/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00083468095	BUY 2 Units @28.7500						
03/01/2018	***-**-9634 - STREIT, TERRY	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000460394	BUY 2 Units @28.5900						
03/01/2018	***-**-9634 - STREIT, TERRY	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000460402	BUY 2 Units @28.5900						
03/01/2018	***-**-1748 - LOMBARDO, JAMES	AMERICAN BALANCED FUND-A	100.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00087068370	BUY 3 Units @28.5900						
02/27/2018	***-**-8806 - MABRY, REBECCA	THE BOND FUND OF AMERICA-A	75.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 00082729374	BUY 6 Units @13.0700						
03/01/2018	***-**-3040 - KOTARY, BRANDY	THE BOND FUND OF AMERICA-A	50.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00087642268	BUY 4 Units @13.1200						
03/01/2018	***-**-6802 - NAKANO, AMELIA	THE NEW ECONOMY FUND-A	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00082853395	BUY 1 Units @49.5400						
02/27/2018	***-**-8806 - MABRY, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 2 Units @48.8200						
03/01/2018	***-**-6802 - NAKANO, AMELIA	WASHINGTON MUTUAL INVESTORS FUND-A	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00082853395	BUY 1 Units @47.8700						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$650.00</b>	<b>\$28.32</b>	<b>\$0.00</b>	<b>\$28.32</b>		<b>\$22.65</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/05/2018	***-**-2389 - SHAW, KIMBERLY	HARTFORD LIFE TRAILS	6,500.00	390.00	0.00	390.00	80.0	312.00
	ACCOUNT #: 711271021	BUY 0 Units @0.0000						
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$6,500.00</b>	<b>\$390.00</b>	<b>\$0.00</b>	<b>\$390.00</b>		<b>\$312.00</b>
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
03/12/2018	***-**-13CL - BERG, C, CLIENTS	NEW YORK 529 TRAILS	0.00	0.26	0.00	0.26	80.0	0.21
	ACCOUNT #: 77450911001	BUY 0 Units @0.0000						
<b>* 529 PLAN SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.26</b>	<b>\$0.00</b>	<b>\$0.26</b>		<b>\$0.21</b>



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	***-**-0935 - MINEO, JAMES	AMERICAN BALANCED FUND-529A	0.00	10.45	0.00	10.45	80.0	8.36
	ACCOUNT #: 00073571816	BUY 0 Units @0.0000						
03/01/2018	***-**-1748 - LOMBARDO, JAMES	AMERICAN BALANCED FUND-A	0.00	6.93	0.00	6.93	80.0	5.54
	ACCOUNT #: 00089665845	BUY 0 Units @0.0000						
03/01/2018	***-**-2885 - BISHOP, DAVID	AMERICAN BALANCED FUND-A	0.00	7.63	0.00	7.63	80.0	6.10
	ACCOUNT #: 00068915116	BUY 0 Units @0.0000						
03/01/2018	***-**-13CL - BERG, C, CLIENTS	AMERICAN BALANCED FUND-A	0.00	1.09	0.00	1.09	80.0	0.87
	ACCOUNT #: 00073278792	BUY 0 Units @0.0000						
03/01/2018	***-**-3982 - BANDA, MARIA	AMERICAN BALANCED FUND-A	0.00	17.71	0.00	17.71	80.0	14.17
	ACCOUNT #: 00073512487	BUY 0 Units @0.0000						
03/01/2018	***-**-7067 - SMITH, CAROL	AMERICAN BALANCED FUND-A	0.00	3.12	0.00	3.12	80.0	2.50
	ACCOUNT #: 00073595941	BUY 0 Units @0.0000						
03/01/2018	***-**-2095 - MARONEY, ROBERT	AMERICAN BALANCED FUND-A	0.00	28.56	0.00	28.56	80.0	22.85
	ACCOUNT #: 00074101227	BUY 0 Units @0.0000						
03/01/2018	***-**-1473 - MANNING, MARGO	AMERICAN BALANCED FUND-A	0.00	0.56	0.00	0.56	80.0	0.45
	ACCOUNT #: 00074506384	BUY 0 Units @0.0000						
03/01/2018	***-**-6580 - MANNING, ANI	AMERICAN BALANCED FUND-A	0.00	0.84	0.00	0.84	80.0	0.67
	ACCOUNT #: 00074592613	BUY 0 Units @0.0000						
03/01/2018	***-**-6580 - MANNING, ANI	AMERICAN BALANCED FUND-A	0.00	2.90	0.00	2.90	80.0	2.32
	ACCOUNT #: 00074596009	BUY 0 Units @0.0000						
03/01/2018	***-**-3510 - GUGINO, ROBIN	AMERICAN BALANCED FUND-A	0.00	8.88	0.00	8.88	80.0	7.10
	ACCOUNT #: 00075079307	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	0.00	8.87	0.00	8.87	80.0	7.10
	ACCOUNT #: 00075139902	BUY 0 Units @0.0000						
03/01/2018	***-**-1760 - MAJORS, JANET	AMERICAN BALANCED FUND-A	0.00	6.54	0.00	6.54	80.0	5.23
	ACCOUNT #: 00075488556	BUY 0 Units @0.0000						
03/01/2018	***-**-2608 - GIAMAS, GREGORY	AMERICAN BALANCED FUND-A	0.00	5.78	0.00	5.78	80.0	4.62
	ACCOUNT #: 00081315892	BUY 0 Units @0.0000						
03/01/2018	***-**-13CL - BERG, C, CLIENTS	AMERICAN BALANCED FUND-A	0.00	12.83	0.00	12.83	80.0	10.26
	ACCOUNT #: 00081534780	BUY 0 Units @0.0000						
03/01/2018	***-**-5484 - KULCZYNSKI,	AMERICAN BALANCED FUND-A	0.00	14.20	0.00	14.20	80.0	11.36
	ACCOUNT #: 00081538281	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/01/2018	***-**-6802 - NAKANO, AMELIA	AMERICAN BALANCED FUND-A	0.00	5.59	0.00	5.59	80.0	4.47
	ACCOUNT #: 00082853395	BUY 0 Units @0.0000						
03/01/2018	***-**-4588 - HARLEY, REBECCA	AMERICAN BALANCED FUND-A	0.00	0.38	0.00	0.38	80.0	0.30
	ACCOUNT #: 00083009541	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	0.00	6.46	0.00	6.46	80.0	5.17
	ACCOUNT #: 00083468095	BUY 0 Units @0.0000						
03/01/2018	***-**-8900 - MARTIN, JAMES	AMERICAN BALANCED FUND-A	0.00	3.71	0.00	3.71	80.0	2.97
	ACCOUNT #: 00084439788	BUY 0 Units @0.0000						
03/01/2018	***-**-1433 - ANDERSON, NANCY	AMERICAN BALANCED FUND-A	0.00	2.61	0.00	2.61	80.0	2.09
	ACCOUNT #: 00085338654	BUY 0 Units @0.0000						
03/01/2018	***-**-0229 - VINCENT, JOSEPH	AMERICAN BALANCED FUND-A	0.00	2.00	0.00	2.00	80.0	1.60
	ACCOUNT #: 00086823451	BUY 0 Units @0.0000						
03/01/2018	***-**-2509 - CLARK, GABRIELLE	AMERICAN BALANCED FUND-A	0.00	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00087536824	BUY 0 Units @0.0000						
03/01/2018	***-**-3040 - KOTARY, BRANDY	AMERICAN BALANCED FUND-A	0.00	1.18	0.00	1.18	80.0	0.94
	ACCOUNT #: 00087642268	BUY 0 Units @0.0000						
03/01/2018	***-**-4238 - STRASSER, JOANN	AMERICAN BALANCED FUND-A	0.00	2.91	0.00	2.91	80.0	2.33
	ACCOUNT #: 00087697498	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	0.00	1.96	0.00	1.96	80.0	1.57
	ACCOUNT #: 00088153955	BUY 0 Units @0.0000						
03/01/2018	***-**-3259 - DAVIES, GERALD	AMERICAN BALANCED FUND-A	0.00	0.39	0.00	0.39	80.0	0.31
	ACCOUNT #: 00089248581	BUY 0 Units @0.0000						
03/01/2018	***-**-9634 - STREIT, TERRY	AMERICAN BALANCED FUND-A	0.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 04000460394	BUY 0 Units @0.0000						
03/01/2018	***-**-9634 - STREIT, TERRY	AMERICAN BALANCED FUND-A	0.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 04000460402	BUY 0 Units @0.0000						
03/01/2018	***-**-1748 - LOMBARDO, JAMES	AMERICAN BALANCED FUND-A	0.00	18.60	0.00	18.60	80.0	14.88
	ACCOUNT #: 00089228818	BUY 0 Units @0.0000						
03/01/2018	***-**-8238 - ASMUS, MICHAEL	AMERICAN BALANCED FUND-A	0.00	12.61	0.00	12.61	80.0	10.09
	ACCOUNT #: 00074487927	BUY 0 Units @0.0000						
03/01/2018	***-**-4165 - EICHHORN,	AMERICAN BALANCED FUND-A	0.00	9.23	0.00	9.23	80.0	7.38
	ACCOUNT #: 00075441363	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/01/2018	***-**-7241 - LENIHAN IRR ACCOUNT #: 00084513371	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	43.13	0.00	43.13	80.0	34.50
03/01/2018	***-**-1748 - LOMBARDO, JAMES ACCOUNT #: 00087068370	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	4.58	0.00	4.58	80.0	3.66
03/01/2018	***-**-9313 - DONNELLY, SUSAN ACCOUNT #: 00088156132	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	11.69	0.00	11.69	80.0	9.35
03/01/2018	***-**-2056 - DONNELLY, ACCOUNT #: 00088230744	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	11.59	0.00	11.59	80.0	9.27
03/01/2018	***-**-0147 - RIZZO, JAMES ACCOUNT #: 00088432565	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	21.53	0.00	21.53	80.0	17.22
03/01/2018	***-**-1748 - LOMBARDO, JAMES ACCOUNT #: 00089216358	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	7.74	0.00	7.74	80.0	6.19
03/01/2018	***-**-2704 - DOBRANSKY, ALAN ACCOUNT #: 00089978644	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	4.43	0.00	4.43	80.0	3.54
03/01/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00082295499	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	3.56	0.00	3.56	80.0	2.85
03/01/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00083468095	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	0.85	0.00	0.85	80.0	0.68
03/01/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00084623430	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	0.66	0.00	0.66	80.0	0.53
03/01/2018	***-**-5885 - HEPLER, MAY ACCOUNT #: 00084623446	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	1.00	0.00	1.00	80.0	0.80
03/01/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00086934940	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	1.33	0.00	1.33	80.0	1.06
03/01/2018	***-**-3982 - BANDA, MARIA ACCOUNT #: 00073512487	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	3.36	0.00	3.36	80.0	2.69
03/01/2018	***-**-7067 - SMITH, CAROL ACCOUNT #: 00073595941	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	1.17	0.00	1.17	80.0	0.94
03/01/2018	***-**-2095 - MARONEY, ROBERT ACCOUNT #: 00074101227	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	7.37	0.00	7.37	80.0	5.90
03/01/2018	***-**-1473 - MANNING, MARGO ACCOUNT #: 00074506384	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	0.41	0.00	0.41	80.0	0.33



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/01/2018	***-**-6580 - MANNING, ANI	AMERICAN MUTUAL FUND-A	0.00	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 00074596009	BUY 0 Units @0.0000						
03/01/2018	***-**-3510 - GUGINO, ROBIN	AMERICAN MUTUAL FUND-A	0.00	3.26	0.00	3.26	80.0	2.61
	ACCOUNT #: 00075079307	BUY 0 Units @0.0000						
03/01/2018	***-**-1760 - MAJORS, JANET	AMERICAN MUTUAL FUND-A	0.00	2.73	0.00	2.73	80.0	2.18
	ACCOUNT #: 00075488556	BUY 0 Units @0.0000						
03/01/2018	***-**-2608 - GIAMAS, GREGORY	AMERICAN MUTUAL FUND-A	0.00	6.82	0.00	6.82	80.0	5.46
	ACCOUNT #: 00081315892	BUY 0 Units @0.0000						
03/01/2018	***-**-13CL - BERG, C, CLIENTS	AMERICAN MUTUAL FUND-A	0.00	4.40	0.00	4.40	80.0	3.52
	ACCOUNT #: 00081534780	BUY 0 Units @0.0000						
03/01/2018	***-**-5484 - KULCZYNSKI,	AMERICAN MUTUAL FUND-A	0.00	2.48	0.00	2.48	80.0	1.98
	ACCOUNT #: 00081538281	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN MUTUAL FUND-A	0.00	3.50	0.00	3.50	80.0	2.80
	ACCOUNT #: 00082229843	BUY 0 Units @0.0000						
03/01/2018	***-**-9208 - COLUCCIO, MARY	AMERICAN MUTUAL FUND-A	0.00	12.38	0.00	12.38	80.0	9.90
	ACCOUNT #: 00060551224	BUY 0 Units @0.0000						
03/01/2018	***-**-4165 - EICHHORN,	AMERICAN MUTUAL FUND-A	0.00	1.96	0.00	1.96	80.0	1.57
	ACCOUNT #: 00075441363	BUY 0 Units @0.0000						
03/01/2018	***-**-3982 - BANDA, MARIA	CAPITAL INCOME BUILDER-A	0.00	16.47	0.00	16.47	80.0	13.18
	ACCOUNT #: 00073512487	BUY 0 Units @0.0000						
03/01/2018	***-**-2095 - MARONEY, ROBERT	CAPITAL INCOME BUILDER-A	0.00	14.14	0.00	14.14	80.0	11.31
	ACCOUNT #: 00074101227	BUY 0 Units @0.0000						
03/01/2018	***-**-1473 - MANNING, MARGO	CAPITAL INCOME BUILDER-A	0.00	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: 00074506384	BUY 0 Units @0.0000						
03/01/2018	***-**-6580 - MANNING, ANI	CAPITAL INCOME BUILDER-A	0.00	1.57	0.00	1.57	80.0	1.26
	ACCOUNT #: 00074596009	BUY 0 Units @0.0000						
03/01/2018	***-**-3510 - GUGINO, ROBIN	CAPITAL INCOME BUILDER-A	0.00	5.37	0.00	5.37	80.0	4.30
	ACCOUNT #: 00075079307	BUY 0 Units @0.0000						
03/01/2018	***-**-1760 - MAJORS, JANET	CAPITAL INCOME BUILDER-A	0.00	3.23	0.00	3.23	80.0	2.58
	ACCOUNT #: 00075488556	BUY 0 Units @0.0000						
03/01/2018	***-**-13CL - BERG, C, CLIENTS	CAPITAL INCOME BUILDER-A	0.00	8.79	0.00	8.79	80.0	7.03
	ACCOUNT #: 00081534780	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/01/2018	***-**-5484 - KULCZYNSKI, ACCOUNT #: 00081538281	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	7.16	0.00	7.16	80.0	5.73
03/01/2018	***-**-6403 - GARGUILO, JU ACCOUNT #: 00084100700	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	10.66	0.00	10.66	80.0	8.53
03/01/2018	***-**-8900 - MARTIN, JAMES ACCOUNT #: 00084439788	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	80.0	0.19
03/01/2018	***-**-78IR - LONGLAND, PAULA ACCOUNT #: 00086809974	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	9.51	0.00	9.51	80.0	7.61
03/01/2018	***-**-7138 - KUHNAST, GELLENA ACCOUNT #: 00087360423	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	1.08	0.00	1.08	80.0	0.86
03/01/2018	***-**-6948 - DIBBLE, MONTY ACCOUNT #: 00087557561	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	2.61	0.00	2.61	80.0	2.09
03/01/2018	***-**-3259 - DAVIES, GERALD ACCOUNT #: 00089248581	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	0.49	0.00	0.49	80.0	0.39
03/01/2018	***-**-4165 - EICHHORN, ACCOUNT #: 00075441363	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	5.64	0.00	5.64	80.0	4.51
03/01/2018	***-**-5925 - SANTA MARIA, ACCOUNT #: 00082083085	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	9.61	0.00	9.61	80.0	7.69
03/01/2018	***-**-8806 - MABRY, REBECCA ACCOUNT #: 00082729374	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	7.60	0.00	7.60	80.0	6.08
03/01/2018	***-**-7138 - KUHNAST, GELLENA ACCOUNT #: 00087360423	CAPITAL WORLD BOND FUND-A BUY 0 Units @0.0000	0.00	0.52	0.00	0.52	80.0	0.42
03/01/2018	***-**-6948 - DIBBLE, MONTY ACCOUNT #: 00087557561	CAPITAL WORLD BOND FUND-A BUY 0 Units @0.0000	0.00	1.29	0.00	1.29	80.0	1.03
03/01/2018	***-**-6580 - MANNING, ANI ACCOUNT #: 00074596009	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	0.13	0.00	0.13	80.0	0.10
03/01/2018	***-**-78IR - LONGLAND, PAULA ACCOUNT #: 00086809974	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	3.92	0.00	3.92	80.0	3.14
03/01/2018	***-**-7138 - KUHNAST, GELLENA ACCOUNT #: 00087360423	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	1.02	0.00	1.02	80.0	0.82
03/01/2018	***-**-6948 - DIBBLE, MONTY ACCOUNT #: 00087557561	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	2.35	0.00	2.35	80.0	1.88



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JAMES LOMBARDO  
231 Hill Road  
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BROKER #: A08  
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/01/2018	***-**-3040 - KOTARY, BRANDY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.76	0.00	0.76	80.0	0.61
	ACCOUNT #: 00087642268	BUY 0 Units @0.0000						
03/01/2018	***-**-4238 - STRASSER, JOANN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.87	0.00	2.87	80.0	2.30
	ACCOUNT #: 00087697498	BUY 0 Units @0.0000						
03/01/2018	***-**-4017 - BURKEY, FRED	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	6.57	0.00	6.57	80.0	5.26
	ACCOUNT #: 00075679652	BUY 0 Units @0.0000						
03/01/2018	***-**-7077 - MISERCOLA, DIANE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.35	0.00	2.35	80.0	1.88
	ACCOUNT #: 00082441411	BUY 0 Units @0.0000						
03/01/2018	***-**-0147 - RIZZO, JAMES	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	22.21	0.00	22.21	80.0	17.77
	ACCOUNT #: 00088432565	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	EUROPACIFIC GROWTH FUND-A	0.00	2.52	0.00	2.52	80.0	2.02
	ACCOUNT #: 00082229843	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	EUROPACIFIC GROWTH FUND-A	0.00	3.65	0.00	3.65	80.0	2.92
	ACCOUNT #: 00083299252	BUY 0 Units @0.0000						
03/01/2018	***-**-78IR - LONGLAND, PAULA	EUROPACIFIC GROWTH FUND-A	0.00	1.80	0.00	1.80	80.0	1.44
	ACCOUNT #: 00086809974	BUY 0 Units @0.0000						
03/01/2018	***-**-8806 - MABRY, REBECCA	EUROPACIFIC GROWTH FUND-A	0.00	7.97	0.00	7.97	80.0	6.38
	ACCOUNT #: 00082729374	BUY 0 Units @0.0000						
03/01/2018	***-**-2885 - BISHOP, DAVID	FUNDAMENTAL INVESTORS-A	0.00	3.82	0.00	3.82	80.0	3.06
	ACCOUNT #: 00068915116	BUY 0 Units @0.0000						
03/01/2018	***-**-3982 - BANDA, MARIA	FUNDAMENTAL INVESTORS-A	0.00	4.17	0.00	4.17	80.0	3.34
	ACCOUNT #: 00073512487	BUY 0 Units @0.0000						
03/01/2018	***-**-7067 - SMITH, CAROL	FUNDAMENTAL INVESTORS-A	0.00	3.70	0.00	3.70	80.0	2.96
	ACCOUNT #: 00073595941	BUY 0 Units @0.0000						
03/01/2018	***-**-2095 - MARONEY, ROBERT	FUNDAMENTAL INVESTORS-A	0.00	9.24	0.00	9.24	80.0	7.39
	ACCOUNT #: 00074101227	BUY 0 Units @0.0000						
03/01/2018	***-**-1473 - MANNING, MARGO	FUNDAMENTAL INVESTORS-A	0.00	0.88	0.00	0.88	80.0	0.70
	ACCOUNT #: 00074506384	BUY 0 Units @0.0000						
03/01/2018	***-**-6580 - MANNING, ANI	FUNDAMENTAL INVESTORS-A	0.00	1.10	0.00	1.10	80.0	0.88
	ACCOUNT #: 00074596009	BUY 0 Units @0.0000						
03/01/2018	***-**-3510 - GUGINO, ROBIN	FUNDAMENTAL INVESTORS-A	0.00	3.90	0.00	3.90	80.0	3.12
	ACCOUNT #: 00075079307	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/01/2018	***-**-1760 - MAJORS, JANET	FUNDAMENTAL INVESTORS-A	0.00	5.49	0.00	5.49	80.0	4.39
	ACCOUNT #: 00075488556	BUY 0 Units @0.0000						
03/01/2018	***-**-2608 - GIAMAS, GREGORY	FUNDAMENTAL INVESTORS-A	0.00	7.63	0.00	7.63	80.0	6.10
	ACCOUNT #: 00081315892	BUY 0 Units @0.0000						
03/01/2018	***-**-13CL - BERG, C, CLIENTS	FUNDAMENTAL INVESTORS-A	0.00	2.88	0.00	2.88	80.0	2.30
	ACCOUNT #: 00081534780	BUY 0 Units @0.0000						
03/01/2018	***-**-5484 - KULCZYNSKI,	FUNDAMENTAL INVESTORS-A	0.00	2.23	0.00	2.23	80.0	1.78
	ACCOUNT #: 00081538281	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	FUNDAMENTAL INVESTORS-A	0.00	5.02	0.00	5.02	80.0	4.02
	ACCOUNT #: 00083299252	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	FUNDAMENTAL INVESTORS-A	0.00	2.15	0.00	2.15	80.0	1.72
	ACCOUNT #: 00083468095	BUY 0 Units @0.0000						
03/01/2018	***-**-8900 - MARTIN, JAMES	FUNDAMENTAL INVESTORS-A	0.00	0.74	0.00	0.74	80.0	0.59
	ACCOUNT #: 00084439788	BUY 0 Units @0.0000						
03/01/2018	***-**-1433 - ANDERSON, NANCY	FUNDAMENTAL INVESTORS-A	0.00	1.70	0.00	1.70	80.0	1.36
	ACCOUNT #: 00085338654	BUY 0 Units @0.0000						
03/01/2018	***-**-3259 - DAVIES, GERALD	FUNDAMENTAL INVESTORS-A	0.00	0.54	0.00	0.54	80.0	0.43
	ACCOUNT #: 00089248581	BUY 0 Units @0.0000						
03/01/2018	***-**-4165 - EICHHORN,	FUNDAMENTAL INVESTORS-A	0.00	1.34	0.00	1.34	80.0	1.07
	ACCOUNT #: 00075441363	BUY 0 Units @0.0000						
03/01/2018	***-**-4017 - BURKEY, FRED	FUNDAMENTAL INVESTORS-A	0.00	4.03	0.00	4.03	80.0	3.22
	ACCOUNT #: 00075679652	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	FUNDAMENTAL INVESTORS-A	0.00	2.01	0.00	2.01	80.0	1.61
	ACCOUNT #: 00086934940	BUY 0 Units @0.0000						
03/01/2018	***-**-2608 - GIAMAS, GREGORY	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	1.56	0.00	1.56	80.0	1.25
	ACCOUNT #: 00081315892	BUY 0 Units @0.0000						
03/01/2018	***-**-7241 - LENIHAN IRR	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	20.86	0.00	20.86	80.0	16.69
	ACCOUNT #: 00084513371	BUY 0 Units @0.0000						
03/01/2018	***-**-2885 - BISHOP, DAVID	NEW PERSPECTIVE FUND-A	0.00	7.97	0.00	7.97	80.0	6.38
	ACCOUNT #: 00068915116	BUY 0 Units @0.0000						
03/01/2018	***-**-3982 - BANDA, MARIA	NEW PERSPECTIVE FUND-A	0.00	4.59	0.00	4.59	80.0	3.67
	ACCOUNT #: 00073512487	BUY 0 Units @0.0000						





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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/01/2018	***-**-7067 - SMITH, CAROL ACCOUNT #: 00073595941	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	1.51	0.00	1.51	80.0	1.21
03/01/2018	***-**-2095 - MARONEY, ROBERT ACCOUNT #: 00074101227	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	8.77	0.00	8.77	80.0	7.02
03/01/2018	***-**-1473 - MANNING, MARGO ACCOUNT #: 00074506384	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	0.82	0.00	0.82	80.0	0.66
03/01/2018	***-**-6580 - MANNING, ANI ACCOUNT #: 00074596009	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	0.87	0.00	0.87	80.0	0.70
03/01/2018	***-**-1760 - MAJORS, JANET ACCOUNT #: 00075488556	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	2.96	0.00	2.96	80.0	2.37
03/01/2018	***-**-2608 - GIAMAS, GREGORY ACCOUNT #: 00081315892	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	7.12	0.00	7.12	80.0	5.70
03/01/2018	***-**-13CL - BERG, C, CLIENTS ACCOUNT #: 00081534780	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	2.27	0.00	2.27	80.0	1.82
03/01/2018	***-**-5484 - KULCZYNSKI, ACCOUNT #: 00081538281	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	3.07	0.00	3.07	80.0	2.46
03/01/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00082229843	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	3.38	0.00	3.38	80.0	2.70
03/01/2018	***-**-4588 - HARLEY, REBECCA ACCOUNT #: 00083009541	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	0.08	0.00	0.08	80.0	0.06
03/01/2018	***-**-3040 - KOTARY, BRANDY ACCOUNT #: 00087642268	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	1.05	0.00	1.05	80.0	0.84
03/01/2018	***-**-4238 - STRASSER, JOANN ACCOUNT #: 00087697498	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	1.84	0.00	1.84	80.0	1.47
03/01/2018	***-**-4165 - EICHHORN, ACCOUNT #: 00075441363	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	0.00	2.11	0.00	2.11	80.0	1.69
03/01/2018	***-**-4017 - BURKEY, FRED ACCOUNT #: 00075679652	NEW WORLD FUND-A BUY 0 Units @0.0000	0.00	1.61	0.00	1.61	80.0	1.29
03/01/2018	***-**-2323 - BOLTON, DIANE ACCOUNT #: 00073510289	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	2.32	0.00	2.32	80.0	1.86
03/01/2018	***-**-3982 - BANDA, MARIA ACCOUNT #: 00073512487	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.00	0.00	1.00	80.0	0.80



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/01/2018	***-**-2095 - MARONEY, ROBERT	THE BOND FUND OF AMERICA-A	0.00	1.63	0.00	1.63	80.0	1.30
	ACCOUNT #: 00074101227	BUY 0 Units @0.0000						
03/01/2018	***-**-6580 - MANNING, ANI	THE BOND FUND OF AMERICA-A	0.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00074592613	BUY 0 Units @0.0000						
03/01/2018	***-**-3510 - GUGINO, ROBIN	THE BOND FUND OF AMERICA-A	0.00	1.12	0.00	1.12	80.0	0.90
	ACCOUNT #: 00075079307	BUY 0 Units @0.0000						
03/01/2018	***-**-1760 - MAJORS, JANET	THE BOND FUND OF AMERICA-A	0.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 00075488556	BUY 0 Units @0.0000						
03/01/2018	***-**-5484 - KULCZYNSKI,	THE BOND FUND OF AMERICA-A	0.00	3.86	0.00	3.86	80.0	3.09
	ACCOUNT #: 00081538281	BUY 0 Units @0.0000						
03/01/2018	***-**-9134 - DARROW, DWIGHT	THE BOND FUND OF AMERICA-A	0.00	3.59	0.00	3.59	80.0	2.87
	ACCOUNT #: 00081787398	BUY 0 Units @0.0000						
03/01/2018	***-**-4982 - UPDEGROVE, ALMA	THE BOND FUND OF AMERICA-A	0.00	24.48	0.00	24.48	80.0	19.58
	ACCOUNT #: 00081820793	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	THE BOND FUND OF AMERICA-A	0.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00082229843	BUY 0 Units @0.0000						
03/01/2018	***-**-6802 - NAKANO, AMELIA	THE BOND FUND OF AMERICA-A	0.00	3.62	0.00	3.62	80.0	2.90
	ACCOUNT #: 00082853395	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	THE BOND FUND OF AMERICA-A	0.00	0.69	0.00	0.69	80.0	0.55
	ACCOUNT #: 00083468095	BUY 0 Units @0.0000						
03/01/2018	***-**-8900 - MARTIN, JAMES	THE BOND FUND OF AMERICA-A	0.00	0.43	0.00	0.43	80.0	0.34
	ACCOUNT #: 00084439788	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	THE BOND FUND OF AMERICA-A	0.00	1.03	0.00	1.03	80.0	0.82
	ACCOUNT #: 00084623430	BUY 0 Units @0.0000						
03/01/2018	***-**-5885 - HEPLER, MAY	THE BOND FUND OF AMERICA-A	0.00	1.32	0.00	1.32	80.0	1.06
	ACCOUNT #: 00084623446	BUY 0 Units @0.0000						
03/01/2018	***-**-1433 - ANDERSON, NANCY	THE BOND FUND OF AMERICA-A	0.00	0.70	0.00	0.70	80.0	0.56
	ACCOUNT #: 00085338654	BUY 0 Units @0.0000						
03/01/2018	***-**-78IR - LONGLAND, PAULA	THE BOND FUND OF AMERICA-A	0.00	2.16	0.00	2.16	80.0	1.73
	ACCOUNT #: 00086809974	BUY 0 Units @0.0000						
03/01/2018	***-**-3040 - KOTARY, BRANDY	THE BOND FUND OF AMERICA-A	0.00	1.21	0.00	1.21	80.0	0.97
	ACCOUNT #: 00087642268	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/01/2018	***-**-4238 - STRASSER, JOANN ACCOUNT #: 00087697498	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.37	0.00	1.37	80.0	1.10
03/01/2018	***-**-3259 - DAVIES, GERALD ACCOUNT #: 00089248581	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.22	0.00	0.22	80.0	0.18
03/01/2018	***-**-6759 - TARANTINO, GARY ACCOUNT #: 00089449406	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.56	0.00	0.56	80.0	0.45
03/01/2018	***-**-9727 - GATES, DOROTHY ACCOUNT #: 04000215167	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.62	0.00	0.62	80.0	0.50
03/01/2018	***-**-9208 - COLUCCIO, MARY ACCOUNT #: 00060551224	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.70	0.00	3.70	80.0	2.96
03/01/2018	***-**-4165 - EICHORN, ACCOUNT #: 00075441363	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	6.15	0.00	6.15	80.0	4.92
03/01/2018	***-**-5925 - SANTA MARIA, ACCOUNT #: 00082083085	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	5.32	0.00	5.32	80.0	4.26
03/01/2018	***-**-8806 - MABRY, REBECCA ACCOUNT #: 00082729374	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	6.04	0.00	6.04	80.0	4.83
03/01/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00086934940	THE BOND FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	4.93	0.00	4.93	80.0	3.94
03/01/2018	***-**-1748 - LOMBARDO, JAMES ACCOUNT #: 00089665845	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	11.91	0.00	11.91	80.0	9.53
03/01/2018	***-**-2885 - BISHOP, DAVID ACCOUNT #: 00068915116	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	7.13	0.00	7.13	80.0	5.70
03/01/2018	***-**-3982 - BANDA, MARIA ACCOUNT #: 00073512487	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	4.65	0.00	4.65	80.0	3.72
03/01/2018	***-**-7067 - SMITH, CAROL ACCOUNT #: 00073595941	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.96	0.00	3.96	80.0	3.17
03/01/2018	***-**-2095 - MARONEY, ROBERT ACCOUNT #: 00074101227	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	10.49	0.00	10.49	80.0	8.39
03/01/2018	***-**-1473 - MANNING, MARGO ACCOUNT #: 00074506384	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.74	0.00	0.74	80.0	0.59
03/01/2018	***-**-6580 - MANNING, ANI ACCOUNT #: 00074596009	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.62	0.00	0.62	80.0	0.50



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/01/2018	***-**-3510 - GUGINO, ROBIN	THE GROWTH FUND OF AMERICA-A	0.00	4.66	0.00	4.66	80.0	3.73
	ACCOUNT #: 00075079307	BUY 0 Units @0.0000						
03/01/2018	***-**-1760 - MAJORS, JANET	THE GROWTH FUND OF AMERICA-A	0.00	7.42	0.00	7.42	80.0	5.94
	ACCOUNT #: 00075488556	BUY 0 Units @0.0000						
03/01/2018	***-**-2608 - GIAMAS, GREGORY	THE GROWTH FUND OF AMERICA-A	0.00	8.36	0.00	8.36	80.0	6.69
	ACCOUNT #: 00081315892	BUY 0 Units @0.0000						
03/01/2018	***-**-13CL - BERG, C, CLIENTS	THE GROWTH FUND OF AMERICA-A	0.00	4.83	0.00	4.83	80.0	3.86
	ACCOUNT #: 00081534780	BUY 0 Units @0.0000						
03/01/2018	***-**-5484 - KULCZYNSKI,	THE GROWTH FUND OF AMERICA-A	0.00	3.17	0.00	3.17	80.0	2.54
	ACCOUNT #: 00081538281	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	THE GROWTH FUND OF AMERICA-A	0.00	5.24	0.00	5.24	80.0	4.19
	ACCOUNT #: 00082295499	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	THE GROWTH FUND OF AMERICA-A	0.00	5.09	0.00	5.09	80.0	4.07
	ACCOUNT #: 00083299252	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	THE GROWTH FUND OF AMERICA-A	0.00	2.09	0.00	2.09	80.0	1.67
	ACCOUNT #: 00083468095	BUY 0 Units @0.0000						
03/01/2018	***-**-8900 - MARTIN, JAMES	THE GROWTH FUND OF AMERICA-A	0.00	0.82	0.00	0.82	80.0	0.66
	ACCOUNT #: 00084439788	BUY 0 Units @0.0000						
03/01/2018	***-**-1433 - ANDERSON, NANCY	THE GROWTH FUND OF AMERICA-A	0.00	3.16	0.00	3.16	80.0	2.53
	ACCOUNT #: 00085338654	BUY 0 Units @0.0000						
03/01/2018	***-**-78IR - LONGLAND, PAULA	THE GROWTH FUND OF AMERICA-A	0.00	7.86	0.00	7.86	80.0	6.29
	ACCOUNT #: 00086809974	BUY 0 Units @0.0000						
03/01/2018	***-**-3586 - WOODWORTH,	THE GROWTH FUND OF AMERICA-A	0.00	6.06	0.00	6.06	80.0	4.85
	ACCOUNT #: 00086918983	BUY 0 Units @0.0000						
03/01/2018	***-**-7138 - KUHNAST, GELLENA	THE GROWTH FUND OF AMERICA-A	0.00	1.27	0.00	1.27	80.0	1.02
	ACCOUNT #: 00087360423	BUY 0 Units @0.0000						
03/01/2018	***-**-6948 - DIBBLE, MONTY	THE GROWTH FUND OF AMERICA-A	0.00	2.84	0.00	2.84	80.0	2.27
	ACCOUNT #: 00087557561	BUY 0 Units @0.0000						
03/01/2018	***-**-3259 - DAVIES, GERALD	THE GROWTH FUND OF AMERICA-A	0.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089248581	BUY 0 Units @0.0000						
03/01/2018	***-**-6759 - TARANTINO, GARY	THE GROWTH FUND OF AMERICA-A	0.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00089449406	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

March 12, 2018

JAMES LOMBARDO  
231 Hill Road  
Rome, NY 13441

BROKER #: A08  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/01/2018	***-**-4165 - EICHORN, ACCOUNT #: 00075441363	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	5.75	0.00	5.75	80.0	4.60
03/01/2018	***-**-4017 - BURKEY, FRED ACCOUNT #: 00075679652	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.62	0.00	3.62	80.0	2.90
03/01/2018	***-**-2270 - DANGLER, GINA ACCOUNT #: 00082492694	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.74	0.00	0.74	80.0	0.59
03/01/2018	***-**-1748 - LOMBARDO, JAMES ACCOUNT #: 00083088053	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	12.83	0.00	12.83	80.0	10.26
03/01/2018	***-**-3618 - VAILE, STACEY ACCOUNT #: 00083933946	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	4.52	0.00	4.52	80.0	3.62
03/01/2018	***-**-98CL - ACOSTA, CLIENTS ACCOUNT #: 00086934940	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	14.42	0.00	14.42	80.0	11.54
03/01/2018	***-**-0147 - RIZZO, JAMES ACCOUNT #: 00088432565	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	30.21	0.00	30.21	80.0	24.17
03/01/2018	***-**-2885 - BISHOP, DAVID ACCOUNT #: 00068915116	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	4.61	0.00	4.61	80.0	3.69
03/01/2018	***-**-3982 - BANDA, MARIA ACCOUNT #: 00073512487	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	5.29	0.00	5.29	80.0	4.23
03/01/2018	***-**-7067 - SMITH, CAROL ACCOUNT #: 00073595941	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.29	0.00	1.29	80.0	1.03
03/01/2018	***-**-2095 - MARONEY, ROBERT ACCOUNT #: 00074101227	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	15.00	0.00	15.00	80.0	12.00
03/01/2018	***-**-1473 - MANNING, MARGO ACCOUNT #: 00074506384	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.48	0.00	0.48	80.0	0.38
03/01/2018	***-**-6580 - MANNING, ANI ACCOUNT #: 00074596009	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.74	0.00	1.74	80.0	1.39
03/01/2018	***-**-3510 - GUGINO, ROBIN ACCOUNT #: 00075079307	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	5.64	0.00	5.64	80.0	4.51
03/01/2018	***-**-13CL - BERG, C, CLIENTS ACCOUNT #: 00081534780	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	10.11	0.00	10.11	80.0	8.09
03/01/2018	***-**-5484 - KULCZYNSKI, ACCOUNT #: 00081538281	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	8.72	0.00	8.72	80.0	6.98



# COMMISSION STATEMENT

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	THE INCOME FUND OF AMERICA-A	0.00	3.97	0.00	3.97	80.0	3.18
	ACCOUNT #: 00082295499	BUY 0 Units @0.0000						
03/01/2018	***-**-6403 - GARGUILO, JU	THE INCOME FUND OF AMERICA-A	0.00	12.46	0.00	12.46	80.0	9.97
	ACCOUNT #: 00084100700	BUY 0 Units @0.0000						
03/01/2018	***-**-8900 - MARTIN, JAMES	THE INCOME FUND OF AMERICA-A	0.00	0.52	0.00	0.52	80.0	0.42
	ACCOUNT #: 00084439788	BUY 0 Units @0.0000						
03/01/2018	***-**-1433 - ANDERSON, NANCY	THE INCOME FUND OF AMERICA-A	0.00	0.39	0.00	0.39	80.0	0.31
	ACCOUNT #: 00085338654	BUY 0 Units @0.0000						
03/01/2018	***-**-3259 - DAVIES, GERALD	THE INCOME FUND OF AMERICA-A	0.00	0.39	0.00	0.39	80.0	0.31
	ACCOUNT #: 00089248581	BUY 0 Units @0.0000						
03/01/2018	***-**-4165 - EICHORN,	THE INCOME FUND OF AMERICA-A	0.00	6.34	0.00	6.34	80.0	5.07
	ACCOUNT #: 00075441363	BUY 0 Units @0.0000						
03/01/2018	***-**-5925 - SANTA MARIA,	THE INCOME FUND OF AMERICA-A	0.00	11.21	0.00	11.21	80.0	8.97
	ACCOUNT #: 00082083085	BUY 0 Units @0.0000						
03/01/2018	***-**-7077 - MISERCOLA, DIANE	THE INCOME FUND OF AMERICA-A	0.00	2.28	0.00	2.28	80.0	1.82
	ACCOUNT #: 00082441411	BUY 0 Units @0.0000						
03/01/2018	***-**-13CL - BERG, C, CLIENTS	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.52	0.00	0.52	80.0	0.42
	ACCOUNT #: 00073278792	BUY 0 Units @0.0000						
03/01/2018	***-**-3982 - BANDA, MARIA	THE INVESTMENT COMPANY OF AMERICA-A	0.00	4.04	0.00	4.04	80.0	3.23
	ACCOUNT #: 00073512487	BUY 0 Units @0.0000						
03/01/2018	***-**-5484 - KULCZYNSKI,	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.17	0.00	1.17	80.0	0.94
	ACCOUNT #: 00081538281	BUY 0 Units @0.0000						
03/01/2018	***-**-7138 - KUHNAST, GELLENA	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.13	0.00	1.13	80.0	0.90
	ACCOUNT #: 00087360423	BUY 0 Units @0.0000						
03/01/2018	***-**-5280 - KIRBY, JENNIFER	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.52	0.00	2.52	80.0	2.02
	ACCOUNT #: 00087401450	BUY 0 Units @0.0000						
03/01/2018	***-**-6948 - DIBBLE, MONTY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.62	0.00	2.62	80.0	2.10
	ACCOUNT #: 00087557561	BUY 0 Units @0.0000						
03/01/2018	***-**-8238 - ASMUS, MICHAEL	THE INVESTMENT COMPANY OF AMERICA-A	0.00	26.13	0.00	26.13	80.0	20.90
	ACCOUNT #: 00074487927	BUY 0 Units @0.0000						
03/01/2018	***-**-3510 - GUGINO, ROBIN	THE NEW ECONOMY FUND-A	0.00	4.24	0.00	4.24	80.0	3.39
	ACCOUNT #: 00075079307	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/01/2018	***-**-6802 - NAKANO, AMELIA	THE NEW ECONOMY FUND-A	0.00	7.21	0.00	7.21	80.0	5.77
	ACCOUNT #: 00082853395	BUY 0 Units @0.0000						
03/01/2018	***-**-3586 - WOODWORTH,	THE NEW ECONOMY FUND-A	0.00	3.15	0.00	3.15	80.0	2.52
	ACCOUNT #: 00086918983	BUY 0 Units @0.0000						
03/01/2018	***-**-7077 - MISERCOLA, DIANE	THE NEW ECONOMY FUND-A	0.00	3.12	0.00	3.12	80.0	2.50
	ACCOUNT #: 00082441411	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	5.15	0.00	5.15	80.0	4.12
	ACCOUNT #: 00084623418	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	10.27	0.00	10.27	80.0	8.22
	ACCOUNT #: 00084623430	BUY 0 Units @0.0000						
03/01/2018	***-**-5885 - HEPLER, MAY	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	15.44	0.00	15.44	80.0	12.35
	ACCOUNT #: 00084623446	BUY 0 Units @0.0000						
03/01/2018	***-**-9727 - GATES, DOROTHY	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	2.31	0.00	2.31	80.0	1.85
	ACCOUNT #: 04000215167	BUY 0 Units @0.0000						
03/01/2018	***-**-1063 - DUSABLON, ANGELA	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00084530289	BUY 0 Units @0.0000						
03/01/2018	***-**-3828 - DUSABLON, DANIEL	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	14.59	0.00	14.59	80.0	11.67
	ACCOUNT #: 00084530390	BUY 0 Units @0.0000						
03/01/2018	***-**-2095 - MARONEY, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	6.09	0.00	6.09	80.0	4.87
	ACCOUNT #: 00074101227	BUY 0 Units @0.0000						
03/01/2018	***-**-6580 - MANNING, ANI	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00074596009	BUY 0 Units @0.0000						
03/01/2018	***-**-3510 - GUGINO, ROBIN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.11	0.00	3.11	80.0	2.49
	ACCOUNT #: 00075079307	BUY 0 Units @0.0000						
03/01/2018	***-**-1760 - MAJORS, JANET	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.12	0.00	1.12	80.0	0.90
	ACCOUNT #: 00075488556	BUY 0 Units @0.0000						
03/01/2018	***-**-13CL - BERG, C, CLIENTS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.21	0.00	3.21	80.0	2.57
	ACCOUNT #: 00081534780	BUY 0 Units @0.0000						
03/01/2018	***-**-5484 - KULCZYNSKI,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.68	0.00	2.68	80.0	2.14
	ACCOUNT #: 00081538281	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	4.82	0.00	4.82	80.0	3.86
	ACCOUNT #: 00082295499	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/01/2018	***-**-6802 - NAKANO, AMELIA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	6.27	0.00	6.27	80.0	5.02
	ACCOUNT #: 00082853395	BUY 0 Units @0.0000						
03/01/2018	***-**-4588 - HARLEY, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00083009541	BUY 0 Units @0.0000						
03/01/2018	***-**-98CL - ACOSTA, CLIENTS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	4.71	0.00	4.71	80.0	3.77
	ACCOUNT #: 00083299252	BUY 0 Units @0.0000						
03/01/2018	***-**-78IR - LONGLAND, PAULA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	14.09	0.00	14.09	80.0	11.27
	ACCOUNT #: 00086809974	BUY 0 Units @0.0000						
03/01/2018	***-**-3586 - WOODWORTH,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.69	0.00	2.69	80.0	2.15
	ACCOUNT #: 00086918983	BUY 0 Units @0.0000						
03/01/2018	***-**-3040 - KOTARY, BRANDY	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.75	0.00	0.75	80.0	0.60
	ACCOUNT #: 00087642268	BUY 0 Units @0.0000						
03/01/2018	***-**-4238 - STRASSER, JOANN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.58	0.00	2.58	80.0	2.06
	ACCOUNT #: 00087697498	BUY 0 Units @0.0000						
03/01/2018	***-**-3259 - DAVIES, GERALD	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089248581	BUY 0 Units @0.0000						
03/01/2018	***-**-4165 - EICHHORN,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	6.44	0.00	6.44	80.0	5.15
	ACCOUNT #: 00075441363	BUY 0 Units @0.0000						
03/01/2018	***-**-7077 - MISERCOLA, DIANE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.69	0.00	2.69	80.0	2.15
	ACCOUNT #: 00082441411	BUY 0 Units @0.0000						
03/01/2018	***-**-8806 - MABRY, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	10.38	0.00	10.38	80.0	8.30
	ACCOUNT #: 00082729374	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,195.56</b>	<b>\$0.00</b>	<b>\$1,195.56</b>		<b>\$956.50</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$7,150.00</b>	<b>\$1,614.14</b>	<b>\$0.00</b>	<b>\$1,614.14</b>		<b>\$1,291.36</b>





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DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$1,291.36
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					Balance Forward			\$0.00
					Year-to-date Earnings			\$0.00