

COMMISSION STATEMENT

April 30, 2018

JAY TOMLINSON
474 GREGORY AVENUEBROKER #: I50
BRANCH: HOME OFFICE

WEEHAWKEN, NJ 07086

COMMISSION STATEMENT for JAY TOMLINSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/09/2018	QGW001778	INTERACTIVE BROKERS	FENNEC PHARMACEUTICALS INC SHS ISIN S	-339,044.19	711.60	10.00	701.60	70.0	488.12
04/11/2018	QGW001778	INTERACTIVE BROKERS	FENNEC PHARMACEUTICALS INC SHS ISIN S	-4,317.75	43.34	10.00	33.34	70.0	20.34
04/11/2018	QGW001778	INTERACTIVE BROKERS	FENNEC PHARMACEUTICALS INC SHS ISIN S	-29,400.00	300.40	10.00	290.40	70.0	200.28
04/11/2018	QGW001778	INTERACTIVE BROKERS	FENNEC PHARMACEUTICALS INC SHS ISIN S	-11,004.00	112.44	10.00	102.44	70.0	68.71
04/11/2018	QGW001778	INTERACTIVE BROKERS	FENNEC PHARMACEUTICALS INC SHS ISIN S	-51,800.00	529.29	10.00	519.29	70.0	360.50
04/11/2018	QGW001778	INTERACTIVE BROKERS	FENNEC PHARMACEUTICALS INC SHS ISIN S	-24,140.00	243.18	10.00	233.18	70.0	160.23
04/12/2018	QGW001778	INTERACTIVE BROKERS	FENNEC PHARMACEUTICALS INC SHS ISIN S	-269,201.28	576.00	10.00	566.00	70.0	393.20
04/17/2018	QGW001778	INTERACTIVE BROKERS	VISTAGEN THERAPEUTICS INC COM SHS N B	8,849.88	78.00	10.00	68.00	70.0	44.60
04/18/2018	QGW001778	INTERACTIVE BROKERS	VISTAGEN THERAPEUTICS INC COM SHS N B	37,100.62	326.59	10.00	316.59	70.0	218.61
04/19/2018	QGW001778	INTERACTIVE BROKERS	VISTAGEN THERAPEUTICS INC COM SHS N B	33,723.10	300.75	10.00	290.75	70.0	200.53
04/20/2018	QGW001778	INTERACTIVE BROKERS	VISTAGEN THERAPEUTICS INC COM SHS N B	27,591.96	294.66	10.00	284.66	70.0	196.26
04/25/2018	QGW001778	INTERACTIVE BROKERS	FENNEC PHARMACEUTICALS INC SHS ISIN S	-2,990.00	76.56	10.00	66.56	70.0	43.59
04/26/2018	QGW001778	INTERACTIVE BROKERS	FENNEC PHARMACEUTICALS INC SHS ISIN S	-257,606.86	611.37	10.00	601.37	70.0	417.96
* STOCKS SUBTOTAL *				<u>\$-882,238.52</u>	<u>\$4,204.18</u>	<u>\$130.00</u>	<u>\$4,074.18</u>		<u>\$2,812.93</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$-882,238.52</u>	<u>\$4,204.18</u>	<u>\$130.00</u>	<u>\$4,074.18</u>		<u>\$2,812.93</u>

ADJUSTMENTS

04/30/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
04/30/2018	OTHER: OTHER	0.00	0.00	0.00	0.00	-154.44
04/30/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
04/30/2018	OTHER: REAL TICK	0.00	0.00	0.00	0.00	-425.00
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-607.44</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,812.93
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-607.44
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.26
SIPC Assessment	\$-10.51

Check Amount	\$2,189.72
Year-to-date Earnings	\$1,122.54