

**COMMISSION STATEMENT****January 31, 2018****REBECCA FREEMAN**

125 High Rock Ave

Suite 103

Saratoga Springs, NY 12866

BROKER #: F124

BRANCH: REBECCA FREEMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/18/2018	ALPER, PETER	ASSETMARK	107,429.21	331.02	0.00	331.02	90.0	297.92
01/18/2018	ALPER, PETER	ASSETMARK	174,587.77	215.18	0.00	215.18	90.0	193.66
01/18/2018	ALPER, PETER	ASSETMARK	11,071.73	34.11	0.00	34.11	90.0	30.70
01/18/2018	IPPOLITO, LISA	ASSETMARK	398,257.56	932.62	0.00	932.62	90.0	839.36
01/18/2018	IPPOLITO, LISA	ASSETMARK	274,927.79	338.85	0.00	338.85	90.0	304.97
01/18/2018	LUNT, MARJORIE	ASSETMARK	399,193.09	492.01	0.00	492.01	90.0	442.81
01/18/2018	LUNT, MARJORIE	ASSETMARK	70,805.07	171.22	0.00	171.22	90.0	154.10
01/18/2018	LUNT, MARJORIE	ASSETMARK	20,603.10	50.79	0.00	50.79	90.0	45.71
01/18/2018	WAITE, BARBARA	ASSETMARK	142,271.82	175.35	0.00	175.35	90.0	157.82
01/18/2018	WAITE, BARBARA	ASSETMARK	93,369.75	115.08	0.00	115.08	90.0	103.57
01/18/2018	WAITE, BARBARA	ASSETMARK	261,079.44	321.78	0.00	321.78	90.0	289.60
01/22/2018	ANDERSON, CAROLYN	TD AMERITRADE	0.00	175.70	0.00	175.70	90.0	158.13
01/22/2018	BERNARD, CRAIG	TD AMERITRADE	0.00	217.74	0.00	217.74	90.0	195.97
01/22/2018	BERNARD, CRAIG	TD AMERITRADE	0.00	448.71	0.00	448.71	90.0	403.84
01/22/2018	BRENNAN, WILLIAM	TD AMERITRADE	0.00	688.42	0.00	688.42	90.0	619.58
01/22/2018	COATS, STEVEN	TD AMERITRADE	0.00	1,255.36	0.00	1,255.36	90.0	1,129.82
01/22/2018	COATS, STEVEN	TD AMERITRADE	0.00	1,049.24	0.00	1,049.24	90.0	944.32
01/22/2018	FOX, JENNIFER	TD AMERITRADE	0.00	951.85	0.00	951.85	90.0	856.67
01/22/2018	FOX, KURT	TD AMERITRADE	0.00	349.65	0.00	349.65	90.0	314.69
01/22/2018	HALL, WILMA	TD AMERITRADE	0.00	4.95	0.00	4.95	90.0	4.46
01/22/2018	HAWKE, SHARON	TD AMERITRADE	0.00	723.62	0.00	723.62	90.0	651.26
01/22/2018	HAWKE, SHARON	TD AMERITRADE	0.00	78.19	0.00	78.19	90.0	70.37
01/22/2018	HIGGINS, ALEXANDRA	TD AMERITRADE	0.00	47.62	0.00	47.62	90.0	42.86
01/22/2018	HIGGINS, ALEXANDRA	TD AMERITRADE	0.00	303.19	0.00	303.19	90.0	272.87
01/22/2018	HIGGINS, ALEXANDRA	TD AMERITRADE	0.00	30.81	0.00	30.81	90.0	27.73
01/22/2018	HIGGINS, ANNE	TD AMERITRADE	0.00	480.58	0.00	480.58	90.0	432.52

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: RIA								
01/22/2018	HAVLICEK, BRIGITTE HIGGIN	TD AMERITRADE	0.00	20.33	0.00	20.33	90.0	18.30
01/22/2018	HAVLICEK, BRIGITTE HIGGIN	TD AMERITRADE	0.00	8.04	0.00	8.04	90.0	7.24
01/22/2018	KNOWLTON, JACKSON	TD AMERITRADE	0.00	111.58	0.00	111.58	90.0	100.42
01/22/2018	MARTINEZ, YVONNE	TD AMERITRADE	0.00	14.53	0.00	14.53	90.0	13.08
01/22/2018	MCCLANAHAN, ARTHUR	TD AMERITRADE	0.00	1,218.78	0.00	1,218.78	90.0	1,096.90
01/22/2018	RADER, FRANK	TD AMERITRADE	0.00	815.25	0.00	815.25	90.0	733.73
01/22/2018	SKASKIW, ROBIN	TD AMERITRADE	0.00	448.80	0.00	448.80	90.0	403.92
01/22/2018	STERN, AMELIA	TD AMERITRADE	0.00	565.18	0.00	565.18	90.0	508.66
01/22/2018	STERN, JONATHAN	TD AMERITRADE	0.00	312.56	0.00	312.56	90.0	281.30
01/22/2018	WILSON, GEORGE	TD AMERITRADE	0.00	44.24	0.00	44.24	90.0	39.82
01/22/2018	WILSON, GEORGE	TD AMERITRADE	0.00	854.91	0.00	854.91	90.0	769.42
01/22/2018	WILSON, DELORES	TD AMERITRADE	0.00	58.60	0.00	58.60	90.0	52.74
* RIA SUBTOTAL *			\$1,953,596.33	\$14,456.44	\$0.00	\$14,456.44		\$13,010.84
*** DIRECT TRANSACTIONS TOTAL ***			\$1,953,596.33	\$14,456.44	\$0.00	\$14,456.44		\$13,010.84
ADJUSTMENTS								
01/31/2018	*MANAGED FEE EXPENSE: SAWTOOTH ACCT		0.00					-42.55
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-42.55

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$13,010.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-42.55
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,968.29
Year-to-date Gross	\$14,456.44
Year-to-date Earnings	\$13,010.84