



COMMISSION STATEMENT

January 17, 2017

DAVID KOTZ
601 Mine Brook Rd

BROKER #: K135
BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/09/2016	VON DER LINDE, LAURA	AM FUNDS BOND FUND OF AMERICA C	0.00	13.69	0.00	13.69	80.0	10.95
12/09/2016	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.62	0.00	9.62	80.0	7.70
12/09/2016	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.75	0.00	7.75	80.0	6.20
12/09/2016	MILLER, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	15.22	0.00	15.22	80.0	12.18
12/09/2016	MILLER, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	25.41	0.00	25.41	80.0	20.33
12/09/2016	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	18.77	0.00	18.77	80.0	15.02
12/09/2016	MILLER, PATRICIA	PUTNAM CONV SECURITIES A	0.00	2.48	0.00	2.48	80.0	1.98
12/09/2016	MILLER, PATRICIA	PUTNAM INTL CAPITAL OPPS-A	0.00	1.99	0.00	1.99	80.0	1.59
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$94.93	\$0.00	\$94.93		\$75.95
<u>PRODUCT CATEGORY: STOCKS</u>								
12/12/2016	VON DER LINDE, SARAH	MEDLEY CAPITAL CORPORATION	5,721.50	0.00	22.00	-22.00	80.0	-17.60
* STOCKS SUBTOTAL *			\$5,721.50	\$0.00	\$22.00	\$-22.00		\$-17.60
*** BROKER TRANSACTIONS TOTAL ***			\$5,721.50	\$94.93	\$22.00	\$72.93		\$58.35

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$58.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-13.84
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$44.51
Year-to-date Gross	\$94.93
Year-to-date Earnings	\$58.35