06/14/2018 Page No. 1

COMMISSION STATEMENT

June 14, 2018

JOHN SWAN

BROKER #: 73808 Terminated 07/31/2017

BRANCH: 04-#70A11 HOME OFFIC

, AZ

| | | | INVESTMENT | GROSS | CLEARING | NET | BROKER |
|------|--------|------------|------------|------------|----------|------------|------------|
| DATE | CLIENT | INVESTMENT | AMOUNT | COMMISSION | CHARGE | COMMISSION | COMMISSION |

Company: Securities America

ADJUSTMENTS

| PRIOR PERIOD BALANCE(SEE BELOW) | | | | | 0.00 |
|---------------------------------|---------------------|-----------|-----------------------|------------|---------|
| *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| | | | BROKER | COMMISSION | TOTALS |
| | | | Broker Transa | \$0.00 | |
| | | | Split Transactions | | \$0.00 |
| | | | Override Transactions | | \$0.00 |
| | | | Adjustments | | \$0.00 |
| | | | Prior Period E | Balance | \$-0.08 |
| | | | NASD Asse | essment | \$0.00 |
| | | | SIPC Asse | essment | \$0.00 |
| D14 | ease Retain for You | r Records | | | |
| | E WILL BE NO CHECK | | Balance H | orward | \$-0.08 |
| | | | Year-to-date Ea | rnings | \$-0.08 |