

Bill Payment Stub

Check Date:	5/25/2018
Check No.:	ACH
Check Amount:	2,308.46

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: ROBERT CALDWELL
2802 N HIGHLAND AVE, STE B
JACKSON, TN 38305

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
5/15/2018	Bill	COMMISSIONS	2,308.46	2,308.46		2,308.46

COMMISSION STATEMENT

May 15, 2018

ROBERT CALDWELL-D013

BROKER #: D013

BRANCH: DME

, TX

COMMISSION STATEMENT for ROBERT CALDWELL-D013

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/10/2018	0000000000010330	PATRICK, CHAD MF	FRANKLIN MUTUAL INTERNATIONAL	B	41.67	0.42	0.00	0.42	90.0	0.38
04/20/2018	0000000000055638	PATRICK, CHAD MF	FRANKLIN BALANCED FUND - CLASS	B	125.00	1.25	0.00	1.25	90.0	1.13
04/25/2018	0000000000227710	THOMAS, MF	FRANKLIN MODERATE ALLOCATION	B	100.00	1.00	0.00	1.00	90.0	0.90
04/10/2018	0000000000277184	PATRICK, CHAD MF	TEMPLETON CHINA WORLD FUND -	B	41.67	0.42	0.00	0.42	90.0	0.38
04/10/2018	0000000001124015	PATRICK, CHAD MF	MUTUAL QUAL FND - CL C	B	41.66	0.42	0.00	0.42	90.0	0.38
04/25/2018	0000000003822855	PATRICK, CHAD MF	MUTUAL SHRS FND - CL C	B	166.66	1.67	0.00	1.67	90.0	1.50
04/26/2018	00067541249	PATRICK, CHAD MF	THE GROWTH FUND OF AMERICA-529A	B	75.00	3.19	0.00	3.19	90.0	2.87
04/26/2018	00067549730	PATRICK, CHAD MF	THE GROWTH FUND OF AMERICA-529A	B	75.00	3.19	0.00	3.19	90.0	2.87
05/01/2018	00068087474	PULLIAM, RYAN MF	AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
05/01/2018	00068087476	PULLIAM, RYAN MF	AMERICAN BALANCED FUND-529C	B	150.00	1.50	0.00	1.50	90.0	1.35
04/25/2018	00073739173	BEARD, PATRICK MF	INVESTMENT COMPANY OF AMERICA	B	50.00	0.50	0.00	0.50	90.0	0.45
04/30/2018	00075317599	COPELAND, SUSAN MF	AMERICAN BALANCED FUND-529A	B	50.00	1.00	0.00	1.00	90.0	0.90
04/30/2018	00075317599	COPELAND, SUSAN MF	FUNDAMENTAL INVESTORS-529A	B	50.00	1.00	0.00	1.00	90.0	0.90
04/30/2018	00081948467	EVANS, LULA MF	AMERICAN BALANCED FUND-A	B	104.73	2.09	0.00	2.09	90.0	1.88
04/30/2018	00081948467	EVANS, LULA MF	AMERICAN BALANCED FUND-A	B	39.27	0.79	0.00	0.79	90.0	0.71
04/30/2018	00081948467	EVANS, LULA MF	THE INCOME FUND OF AMERICA-A	B	18.48	0.37	0.00	0.37	90.0	0.33
04/30/2018	00081948467	EVANS, LULA MF	THE INCOME FUND OF AMERICA-A	B	6.93	0.14	0.00	0.14	90.0	0.13
04/30/2018	00081948520	WRIGHT, SHELIA MF	CAPITAL WORLD GROWTH AND INCOME	B	28.13	0.56	0.00	0.56	90.0	0.50
04/30/2018	00081948520	WRIGHT, SHELIA MF	CAPITAL WORLD GROWTH AND INCOME	B	28.12	0.56	0.00	0.56	90.0	0.50
04/30/2018	00081948520	WRIGHT, SHELIA MF	THE GROWTH FUND OF AMERICA-A	B	28.12	0.56	0.00	0.56	90.0	0.50
04/30/2018	00081948520	WRIGHT, SHELIA MF	THE GROWTH FUND OF AMERICA-A	B	28.13	0.56	0.00	0.56	90.0	0.50
04/30/2018	00081948549	HINDS, MICHAEL MF	AMERICAN BALANCED FUND-A	B	51.00	1.02	0.00	1.02	90.0	0.92
04/30/2018	00081948549	HINDS, MICHAEL MF	AMERICAN BALANCED FUND-A	B	51.00	1.02	0.00	1.02	90.0	0.92
04/30/2018	00081948549	HINDS, MICHAEL MF	AMERICAN HIGH-INCOME TRUST-A	B	49.50	0.99	0.00	0.99	90.0	0.89
04/30/2018	00081948549	HINDS, MICHAEL MF	AMERICAN HIGH-INCOME TRUST-A	B	49.50	0.99	0.00	0.99	90.0	0.89
04/30/2018	00081948549	HINDS, MICHAEL MF	U.S. GOVERNMENT SECURITIES FUND	B	49.50	0.99	0.00	0.99	90.0	0.89
04/30/2018	00081948549	HINDS, MICHAEL MF	U.S. GOVERNMENT SECURITIES FUND	B	49.50	0.99	0.00	0.99	90.0	0.89
04/30/2018	00081948685	SHELTON, LAURA MF	FUNDAMENTAL INVESTORS-A	B	11.56	0.23	0.00	0.23	90.0	0.21
04/30/2018	00081948685	SHELTON, LAURA MF	FUNDAMENTAL INVESTORS-A	B	11.57	0.23	0.00	0.23	90.0	0.21
04/30/2018	00081948685	SHELTON, LAURA MF	NEW PERSPECTIVE FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21
04/30/2018	00081948685	SHELTON, LAURA MF	NEW PERSPECTIVE FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21

COMMISSION STATEMENT for ROBERT CALDWELL-D013

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/30/2018 00081948685	SHELTON, LAURA	MF NEW WORLD FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21
04/30/2018 00081948685	SHELTON, LAURA	MF NEW WORLD FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21
04/30/2018 00081948685	SHELTON, LAURA	MF THE GROWTH FUND OF AMERICA-A	B	11.57	0.23	0.00	0.23	90.0	0.21
04/30/2018 00081948685	SHELTON, LAURA	MF THE GROWTH FUND OF AMERICA-A	B	11.56	0.23	0.00	0.23	90.0	0.21
04/30/2018 00087734807	JARRED, GINA	MF AMERICAN BALANCED FUND-C	B	105.01	1.05	0.00	1.05	90.0	0.95
04/30/2018 00087734807	JARRED, GINA	MF AMERICAN BALANCED FUND-C	B	31.50	0.32	0.00	0.32	90.0	0.29
04/30/2018 00087734863	WHITE, PEGGY	MF AMERICAN BALANCED FUND-C	B	35.10	0.35	0.00	0.35	90.0	0.32
04/30/2018 00087734863	WHITE, PEGGY	MF AMERICAN BALANCED FUND-C	B	35.10	0.35	0.00	0.35	90.0	0.32
04/30/2018 00089597043	MCINTOSH, GINA	MF GROWTH PORTFOLIO-C	B	31.86	0.32	0.00	0.32	90.0	0.29
04/30/2018 00089597043	MCINTOSH, GINA	MF GROWTH PORTFOLIO-C	B	31.86	0.32	0.00	0.32	90.0	0.29
04/30/2018 00089696651	ALEXANDER,	MF CAPITAL INCOME BUILDER CLASS C	B	17.81	0.18	0.00	0.18	90.0	0.16
04/30/2018 00089696651	ALEXANDER,	MF CAPITAL INCOME BUILDER CLASS C	B	10.69	0.11	0.00	0.11	90.0	0.10
04/30/2018 00089696651	ALEXANDER,	MF INVESTMENT COMPANY OF AMERICA	B	20.78	0.21	0.00	0.21	90.0	0.19
04/30/2018 00089696651	ALEXANDER,	MF INVESTMENT COMPANY OF AMERICA	B	12.46	0.12	0.00	0.12	90.0	0.11
04/30/2018 00089696651	ALEXANDER,	MF THE GROWTH FUND OF AMERICA-C	B	20.78	0.21	0.00	0.21	90.0	0.19
04/30/2018 00089696651	ALEXANDER,	MF THE GROWTH FUND OF AMERICA-C	B	12.47	0.12	0.00	0.12	90.0	0.11
04/30/2018 00089696666	STOOKSBERRY,	MF AMERICAN BALANCED FUND-C	B	82.50	0.83	0.00	0.83	90.0	0.75
04/30/2018 00089696666	STOOKSBERRY,	MF AMERICAN BALANCED FUND-C	B	82.50	0.83	0.00	0.83	90.0	0.75
04/30/2018 00089696672	LAMB, TERESA	MF AMERICAN BALANCED FUND-C	B	50.06	0.50	0.00	0.50	90.0	0.45
04/30/2018 00089696672	LAMB, TERESA	MF AMERICAN BALANCED FUND-C	B	50.06	0.50	0.00	0.50	90.0	0.45
04/30/2018 00089788987	GARGUS, LORI	MF AMERICAN BALANCED FUND-C	B	31.19	0.31	0.00	0.31	90.0	0.28
04/30/2018 00089788987	GARGUS, LORI	MF AMERICAN BALANCED FUND-C	B	31.19	0.31	0.00	0.31	90.0	0.28
04/30/2018 00089980324	SMITH, TRISHA	MF AMERICAN BALANCED FUND-C	B	30.79	0.31	0.00	0.31	90.0	0.28
04/30/2018 00089980324	SMITH, TRISHA	MF AMERICAN BALANCED FUND-C	B	30.79	0.31	0.00	0.31	90.0	0.28
04/30/2018 04000412607	HUFFSTETLER,	MF AMERICAN BALANCED FUND-C	B	90.00	0.90	0.00	0.90	90.0	0.81
04/30/2018 04000412607	HUFFSTETLER,	MF AMERICAN BALANCED FUND-C	B	90.00	0.90	0.00	0.90	90.0	0.81
* MUTUAL FUNDS SUBTOTAL *				\$2,775.57	\$40.39	\$0.00	\$40.39		\$36.40

PRODUCT CATEGORY: VARIABLE ANNUITIES

04/30/2018 1011849420	JACKMAN, JENNIFER	VA PERSP L 08/11	B	68,591.02	57.16	0.00	57.16	90.0	51.44
04/30/2018 1011852102	JACKMAN, JENNIFER	VA ELITE ACCESS L	B	21,917.69	18.26	0.00	18.26	90.0	16.43
04/30/2018 1012316590	AVILA PENSION	VA PERSP L 08/11	B	548,678.54	457.23	0.00	457.23	90.0	411.51
04/30/2018 1014122890	LAMBERT, RONALD	VA PERSP L 08/11	B	88,914.48	74.10	0.00	74.10	90.0	66.69
04/30/2018 1014231907	UTLEY, MARTHA	VA PERSP L 08/11	B	295,222.51	246.02	0.00	246.02	90.0	221.42
04/30/2018 1015402721	LEFORGE, JUDY	VA PERSP L 08/11	B	42,106.99	35.09	0.00	35.09	90.0	31.58
04/30/2018 1015649484	WINTER, DONALD	VA PERSP L 08/11	B	76,736.64	63.95	0.00	63.95	90.0	57.56
04/30/2018 1015919945	NAVEL, FRIEDA	VA PERSP L 08/11	B	36,307.93	30.26	0.00	30.26	90.0	27.23
04/30/2018 1016060638	WILLIAMS, WILLIAM	VA ELITE ACCESS	B	63,321.49	52.77	0.00	52.77	90.0	47.49
04/30/2018 1016060646	WILLIAMS, WILLIAM	VA ELITE ACCESS	B	98,922.91	82.44	0.00	82.44	90.0	74.20
04/30/2018 1016060760	WILLIAMS, GLYNDA	VA ELITE ACCESS	B	6,526.97	5.44	0.00	5.44	90.0	4.90
04/30/2018 1016122048	WOODS, WILLIAM	VA PERSP L 08/11	B	119,244.98	99.37	0.00	99.37	90.0	89.43
04/30/2018 1016747269	BREEDEN TATE, MARY	VA ELITE ACCESS L	B	83,420.80	69.52	0.00	69.52	90.0	62.57
04/30/2018 1016835974	HOLLIDAY, REBECCA	VA ELITE ACCESS L	B	13,402.28	11.17	0.00	11.17	90.0	10.05
04/30/2018 1016922273	WILLIAMS, BRYAN	VA ELITE ACCESS L	B	12,331.80	10.28	0.00	10.28	90.0	9.25

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04/30/2018	1017566284 WOODS, WILLIAM	VA ELITE ACCESS L	B	44,698.67	37.25	0.00	37.25	90.0	33.53
04/30/2018	1018403044 WILLIAMS, CLAYTON	VA ELITE ACCESS L	B	9,460.71	7.88	0.00	7.88	90.0	7.09
04/30/2018	1018603060 MILTON, MARY	VA PERSP L 08/11	B	130,259.74	108.55	0.00	108.55	90.0	97.70
04/30/2018	1018757199 STANBERY, DALLAS	VA PERSP L 08/11	B	159,027.00	132.52	0.00	132.52	90.0	119.27
* VARIABLE ANNUITIES SUBTOTAL *				\$1,919,093.15	\$1,599.26	\$0.00	\$1,599.26		\$1,439.34

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/01/2018	(None) VARIOUS, CALDWELL	MFT FIDELITY ADVISOR FDS	B	0.00	3.52	0.00	3.52	90.0	3.17
04/30/2018	0000000000000873 WOODS, SAMUEL	MFT FRK LIFESMART 2045 RTMT TARGET	B	0.00	123.59	0.00	123.59	90.0	111.23
04/30/2018	0000000000005052 RIGGINS,	MFT FRK LIFESMART 2040 RTMT TARGET	B	0.00	4.49	0.00	4.49	90.0	4.04
04/30/2018	0000000000010330 PATRICK, CHAD	MFT FRANKLIN MUTUAL INTERNATIONAL	B	0.00	24.97	0.00	24.97	90.0	22.47
04/30/2018	0000000000016220 SEELY, CARL	MFT FRANKLIN NATURAL RESOURCES FND	B	0.00	16.34	0.00	16.34	90.0	14.71
04/30/2018	0000000000040408 CARTER,	MFT FRANKLIN BALANCED FUND - CLASS	B	0.00	2.55	0.00	2.55	90.0	2.30
04/30/2018	0000000000040422 VAUGHN, JOYCE	MFT FRANKLIN BALANCED FUND - CLASS	B	0.00	1.10	0.00	1.10	90.0	0.99
04/30/2018	0000000000055638 PATRICK, CHAD	MFT FRANKLIN BALANCED FUND - CLASS	B	0.00	76.94	0.00	76.94	90.0	69.25
04/30/2018	0000000000069409 SEELY, CARL	MFT TEMPLETON CHINA WORLD FUND -	B	0.00	30.92	0.00	30.92	90.0	27.83
04/30/2018	0000000000227710 THOMAS,	MFT FRANKLIN MODERATE ALLOCATION	B	0.00	33.62	0.00	33.62	90.0	30.26
04/30/2018	0000000000234854 BRYAN K	MFT FRANKLIN GROWTH ALLOCATION FUND	B	0.00	1.84	0.00	1.84	90.0	1.66
04/30/2018	0000000000261014 BLANCHARD,	MFT FRANKLIN GROWTH ALLOCATION FUND	B	0.00	26.95	0.00	26.95	90.0	24.26
04/30/2018	0000000000273019 SEELY, LINDA	MFT FRANKLIN GROWTH ALLOCATION FUND	B	0.00	126.49	0.00	126.49	90.0	113.84
04/30/2018	0000000000277184 PATRICK, CHAD	MFT TEMPLETON CHINA WORLD FUND -	B	0.00	25.05	0.00	25.05	90.0	22.55
04/30/2018	0000000000290926 PRIESTER,	MFT FRANKLIN GROWTH ALLOCATION FUND	B	0.00	21.53	0.00	21.53	90.0	19.38
04/30/2018	0000000000407926 BIBB, LESLEE	MFT FRANKLIN GROWTH ALLOCATION FUND	B	0.00	20.89	0.00	20.89	90.0	18.80
04/30/2018	0000000000484307 RIGGINS,	MFT FRANKLIN GROWTH ALLOCATION FUND	B	0.00	4.56	0.00	4.56	90.0	4.10
04/30/2018	0000000000519215 CRISMON-LEGG,	MFT FRANKLIN MODERATE ALLOCATION	B	0.00	2.89	0.00	2.89	90.0	2.60
04/30/2018	0000000000641617 MARIE, GENA	MFT FRK DYNATECH FND - CL C	B	0.00	89.15	0.00	89.15	90.0	80.24
04/30/2018	0000000000667600 GRIGGS,	MFT FRANKLIN UTILITIES FUND - CLASS	B	0.00	4.51	0.00	4.51	90.0	4.06
04/30/2018	0000000001124015 PATRICK, CHAD	MFT MUTUAL QUAL FND - CL C	B	0.00	27.07	0.00	27.07	90.0	24.36
04/30/2018	0000000001472695 BLANCHARD,	MFT TEMPLETON DEV MRKTS TR FUND -	B	0.00	33.39	0.00	33.39	90.0	30.05
04/30/2018	0000000001540196 RIGGINS,	MFT FRANKLIN GROWTH FUND - CLASS C	B	0.00	9.91	0.00	9.91	90.0	8.92
04/30/2018	0000000003822855 PATRICK, CHAD	MFT MUTUAL SHRS FND - CL C	B	0.00	115.57	0.00	115.57	90.0	104.01
04/30/2018	0000000011343720 LIPSHIE, ADAM	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	79.15	0.00	79.15	90.0	71.24
04/30/2018	0000000012894515 COWAN, MARY	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	0.36	0.00	0.36	90.0	0.32
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$907.35	\$0.00	\$907.35		\$816.64

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

04/27/2018	(None) WILLIAMS, LINDA	VAT DELAWARE LIFE	B	0.00	17.87	0.00	17.87	90.0	16.08
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$17.87	\$0.00	\$17.87		\$16.08
*** DIRECT TRANSACTIONS TOTAL ***				\$1,921,868.72	\$2,564.87	\$0.00	\$2,564.87		\$2,308.46

BROKER COMMISSION TOTALS

Broker Transactions	\$2,308.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,308.46
Year-to-date Commissions	\$27,231.81