

**COMMISSION STATEMENT**

February 28, 2018

RANDY SMITH-D061

BROKER #: D061

BRANCH: DMA

, TX

**COMMISSION STATEMENT for RANDY SMITH-D061**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**CLEARING TRANSACTIONS**
**PRODUCT CATEGORY: MUTUAL FUNDS**

02/01/2018	153180186	BANNWORTH, CHARLES	MF	FUNDAMENTAL INVESTORS-A	B	-600.00	0.00	5.00	-5.00	50.0	-2.50
02/01/2018	153180186	BANNWORTH, CHARLES	MF	NEW PERSPECTIVE FUND-A	B	-600.00	0.00	5.00	-5.00	50.0	-2.50
				<b>* MUTUAL FUNDS SUBTOTAL *</b>		<b>\$-1,200.00</b>	<b>\$0.00</b>	<b>\$10.00</b>	<b>\$-10.00</b>		<b>\$-5.00</b>

**PRODUCT CATEGORY: STOCKS**

02/09/2018	368328754	ALDRICH, JOAN	S	ABERDEEN CHILE FUND INC	S	-1,268.09	15.00	15.00	0.00	50.0	0.00
02/09/2018	368328754	ALDRICH, JOAN	S	BLACKROCK GLOBAL FLOATING RATE	S	-5,524.51	15.00	15.00	0.00	50.0	0.00
02/09/2018	368328754	ALDRICH, JOAN	S	EATON VANCE SENIOR INCOME TR	S	-5,747.27	15.00	15.00	0.00	50.0	0.00
02/09/2018	368328754	ALDRICH, JOAN	S	FIRST TRUST/ABERDEEN GLOBAL	S	-3,451.57	15.00	15.00	0.00	50.0	0.00
02/09/2018	368328754	ALDRICH, JOAN	S	WELLS FARGO MULTI-SECTOR INCOME	S	-8,941.95	15.00	15.00	0.00	50.0	0.00
02/09/2018	368328754	ALDRICH, JOAN	S	WESTERN ASSET EMERGING MARKETS	S	-3,122.20	15.00	15.00	0.00	50.0	0.00
01/31/2018	492642569	MCLEOD, MARTHA	S	CREDIT SUISSE AG VELOCITY SHS	B	106,534.45	35.00	15.00	20.00	50.0	10.00
02/01/2018	492642569	MCLEOD, MARTHA	S	CREDIT SUISSE AG VELOCITY SHS	B	120,183.95	35.00	15.00	20.00	50.0	10.00
02/02/2018	492642569	MCLEOD, MARTHA	S	CREDIT SUISSE AG VELOCITY SHS	S	-239,757.01	35.00	15.00	20.00	50.0	10.00
02/05/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	B	56,217.55	35.00	15.00	20.00	50.0	10.00
02/05/2018	492642569	MCLEOD, MARTHA	S	CANOPY GROWTH CORP ISIN	B	99,615.80	35.00	15.00	20.00	50.0	10.00
02/06/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	B	65,985.30	35.00	15.00	20.00	50.0	10.00
02/07/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	S	-26,632.38	7.00	0.00	7.00	50.0	3.50
02/07/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	S	-18,189.19	4.79	0.00	4.79	50.0	2.40
02/07/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	S	-13,317.19	3.50	0.00	3.50	50.0	1.75
02/07/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	S	-11,986.28	3.15	0.00	3.15	50.0	1.58
02/07/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	S	-6,648.10	1.75	0.00	1.75	50.0	0.88
02/07/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	S	-5,996.78	1.58	0.00	1.58	50.0	0.79
02/07/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	S	-3,991.86	1.05	0.00	1.05	50.0	0.53
02/07/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	S	-3,995.43	1.05	0.00	1.05	50.0	0.53
02/07/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	S	-2,661.24	0.70	0.00	0.70	50.0	0.35
02/07/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	S	-2,661.24	0.70	0.00	0.70	50.0	0.35
02/07/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	S	-2,663.44	0.70	0.00	0.70	50.0	0.35
02/07/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	S	-2,663.62	0.70	0.00	0.70	50.0	0.35
02/07/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	S	-2,663.62	0.70	0.00	0.70	50.0	0.35
02/07/2018	492642569	MCLEOD, MARTHA	S	APHRIA INC ISIN #CA03765K1049	S	-2,663.62	0.70	0.00	0.70	50.0	0.35

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-2,663.62	0.70	0.00	0.70	50.0	0.35
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-2,663.62	0.70	0.00	0.70	50.0	0.35
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-1,994.43	0.52	0.00	0.52	50.0	0.26
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-1,464.79	0.38	0.00	0.38	50.0	0.19
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-1,329.62	0.35	0.00	0.35	50.0	0.18
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-1,329.62	0.35	0.00	0.35	50.0	0.18
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-1,330.62	0.35	0.00	0.35	50.0	0.18
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-1,331.72	0.35	0.00	0.35	50.0	0.18
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-1,331.81	0.35	0.00	0.35	50.0	0.18
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-1,331.81	0.35	0.00	0.35	50.0	0.18
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-1,331.81	0.35	0.00	0.35	50.0	0.18
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-1,331.81	0.35	0.00	0.35	50.0	0.18
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-1,331.81	0.35	0.00	0.35	50.0	0.18
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-1,332.62	0.35	0.00	0.35	50.0	0.18
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-1,078.77	0.28	0.00	0.28	50.0	0.14
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-787.92	0.21	15.00	-14.79	50.0	-7.40
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-664.80	0.18	0.00	0.18	50.0	0.09
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-666.30	0.18	0.00	0.18	50.0	0.09
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-332.40	0.09	0.00	0.09	50.0	0.05
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-159.80	0.04	0.00	0.04	50.0	0.02
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-133.26	0.04	0.00	0.04	50.0	0.02
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-133.26	0.04	0.00	0.04	50.0	0.02
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-93.08	0.02	0.00	0.02	50.0	0.01
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-66.58	0.02	0.00	0.02	50.0	0.01
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-66.63	0.02	0.00	0.02	50.0	0.01
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-53.27	0.01	0.00	0.01	50.0	0.01
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-26.63	0.01	0.00	0.01	50.0	0.01
02/07/2018 492642569	MCLEOD, MARTHA	S APHRIA INC ISIN #CA03765K1049	S	-26.65	0.01	0.00	0.01	50.0	0.01
02/07/2018 492642569	MCLEOD, MARTHA	S CANOPY GROWTH CORP ISIN	S	-37,308.26	10.88	0.00	10.88	50.0	5.44
02/07/2018 492642569	MCLEOD, MARTHA	S CANOPY GROWTH CORP ISIN	S	-20,393.58	5.95	0.00	5.95	50.0	2.98
02/07/2018 492642569	MCLEOD, MARTHA	S CANOPY GROWTH CORP ISIN	S	-11,996.22	3.50	0.00	3.50	50.0	1.75
02/07/2018 492642569	MCLEOD, MARTHA	S CANOPY GROWTH CORP ISIN	S	-11,999.02	3.50	15.00	-11.50	50.0	-5.75
02/07/2018 492642569	MCLEOD, MARTHA	S CANOPY GROWTH CORP ISIN	S	-5,782.18	1.69	0.00	1.69	50.0	0.85
02/07/2018 492642569	MCLEOD, MARTHA	S CANOPY GROWTH CORP ISIN	S	-4,798.49	1.40	0.00	1.40	50.0	0.70
02/07/2018 492642569	MCLEOD, MARTHA	S CANOPY GROWTH CORP ISIN	S	-4,798.49	1.40	0.00	1.40	50.0	0.70
02/07/2018 492642569	MCLEOD, MARTHA	S CANOPY GROWTH CORP ISIN	S	-4,808.49	1.40	0.00	1.40	50.0	0.70
02/07/2018 492642569	MCLEOD, MARTHA	S CANOPY GROWTH CORP ISIN	S	-4,812.49	1.40	0.00	1.40	50.0	0.70
02/07/2018 492642569	MCLEOD, MARTHA	S CANOPY GROWTH CORP ISIN	S	-3,598.87	1.05	0.00	1.05	50.0	0.53
02/07/2018 492642569	MCLEOD, MARTHA	S CANOPY GROWTH CORP ISIN	S	-2,399.24	0.70	0.00	0.70	50.0	0.35
02/07/2018 492642569	MCLEOD, MARTHA	S CANOPY GROWTH CORP ISIN	S	-2,399.24	0.70	0.00	0.70	50.0	0.35
02/07/2018 492642569	MCLEOD, MARTHA	S CANOPY GROWTH CORP ISIN	S	-2,400.29	0.70	0.00	0.70	50.0	0.35
02/07/2018 492642569	MCLEOD, MARTHA	S CANOPY GROWTH CORP ISIN	S	-1,199.62	0.35	0.00	0.35	50.0	0.18
02/07/2018 492642569	MCLEOD, MARTHA	S CANOPY GROWTH CORP ISIN	S	-1,199.62	0.35	0.00	0.35	50.0	0.18
02/07/2018 492642569	MCLEOD, MARTHA	S CANOPY GROWTH CORP ISIN	S	-96.25	0.03	0.00	0.03	50.0	0.02

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
02/14/2018 492642569	MCLEOD, MARTHA	S DIREXION DAILY 20+ YR TREASURY	B	-397,251.07	-50.00	-15.00	-35.00	50.0	-17.50
02/14/2018 492642569	MCLEOD, MARTHA	S DIREXION DAILY FTSE CHINA BULL	B	37,114.05	35.00	15.00	20.00	50.0	10.00
02/14/2018 492642569	MCLEOD, MARTHA	S DIREXION DAILY AEROSPACE &	B	49,739.25	35.00	15.00	20.00	50.0	10.00
02/14/2018 492642569	MCLEOD, MARTHA	S DIREXION DAILY 20+ YR TREASURY	B	397,251.07	50.00	15.00	35.00	50.0	17.50
02/14/2018 492642569	MCLEOD, MARTHA	S DIREXION DAILY 20+ YR TREASURY	B	397,236.07	35.00	15.00	20.00	50.0	10.00
02/14/2018 492642569	MCLEOD, MARTHA	S GLOBAL X FDS LITHIUM ETF NEW	B	36,186.45	35.00	15.00	20.00	50.0	10.00
02/14/2018 492642569	MCLEOD, MARTHA	S SPDR S&P BANK ETF (FORMERLY	B	49,867.35	35.00	15.00	20.00	50.0	10.00
02/14/2018 492642569	MCLEOD, MARTHA	S SPDR S&P REGIONAL BANKING ETF	B	62,186.45	35.00	15.00	20.00	50.0	10.00
02/21/2018 492642569	MCLEOD, MARTHA	S DIREXION DAILY AEROSPACE &	B	53,645.35	35.00	15.00	20.00	50.0	10.00
02/21/2018 492642569	MCLEOD, MARTHA	S DIREXION DAILY 20+ YR TREASURY	B	40,195.45	35.00	15.00	20.00	50.0	10.00
02/21/2018 492642569	MCLEOD, MARTHA	S GLOBAL X FDS LITHIUM ETF NEW	S	-36,062.22	35.00	15.00	20.00	50.0	10.00
02/22/2018 492642569	MCLEOD, MARTHA	S ARENA PHARMACEUTICALS INC COM	B	83,674.25	35.00	15.00	20.00	50.0	10.00
02/22/2018 492642569	MCLEOD, MARTHA	S SPDR S&P BANK ETF (FORMERLY	S	-50,361.49	35.00	15.00	20.00	50.0	10.00
02/22/2018 492642569	MCLEOD, MARTHA	S SPDR S&P REGIONAL BANKING ETF	S	-62,972.09	35.00	15.00	20.00	50.0	10.00
* STOCKS SUBTOTAL *				\$588,059.92	\$790.02	\$390.00	\$400.02		\$200.13

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/22/2018 mfc219642	SMITH RANDALL	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	11.46	0.00	11.46	50.0	5.73
02/22/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	2.24	0.00	2.24	50.0	1.12
02/22/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	7.63	0.00	7.63	50.0	3.82
02/22/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	11.90	0.00	11.90	50.0	5.95
02/22/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	14.29	0.00	14.29	50.0	7.15
02/22/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	17.00	0.00	17.00	50.0	8.50
02/22/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	17.45	0.00	17.45	50.0	8.73
02/22/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	20.68	0.00	20.68	50.0	10.34
02/22/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	27.04	0.00	27.04	50.0	13.52
02/22/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	31.80	0.00	31.80	50.0	15.90
02/22/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	43.07	0.00	43.07	50.0	21.54
02/22/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	44.14	0.00	44.14	50.0	22.07
02/22/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	63.59	0.00	63.59	50.0	31.80
02/22/2018 mfc219642	SMITH RANDALL	MFT AMERICAN BALANCED FUND-F1	B	0.00	11.81	0.00	11.81	50.0	5.91
02/22/2018 mfc219642	SMITH RANDALL	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	17.41	0.00	17.41	50.0	8.71
02/22/2018 mfc219642	SMITH RANDALL	MFT AMERICAN MUTUAL FUND-A	B	0.00	5.42	0.00	5.42	50.0	2.71
02/22/2018 mfc219642	SMITH RANDALL	MFT CAPITAL APPRECIATION A	B	0.00	1.90	0.00	1.90	50.0	0.95
02/22/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.91	0.00	1.91	50.0	0.96
02/22/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.28	0.00	5.28	50.0	2.64
02/22/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	15.61	0.00	15.61	50.0	7.81
02/22/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	19.03	0.00	19.03	50.0	9.52
02/22/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	24.38	0.00	24.38	50.0	12.19
02/22/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	84.83	0.00	84.83	50.0	42.42
02/22/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.25	0.00	1.25	50.0	0.63
02/22/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	B	0.00	3.53	0.00	3.53	50.0	1.77
02/22/2018 mfc219642	SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	B	0.00	17.09	0.00	17.09	50.0	8.55
02/22/2018 mfc219642	SMITH RANDALL	MFT CAPITAL WORLD BOND FUND-A	B	0.00	6.16	0.00	6.16	50.0	3.08

COMMISSION STATEMENT for RANDY SMITH-D061

				INVESTMENT	GROSS	CLEARING	NET	BROKER		
TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
02/22/2018	mfc219642	SMITH RANDALL	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.73	0.00	3.73	50.0	1.87
02/22/2018	mfc219642	SMITH RANDALL	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	0.06	0.00	0.06	50.0	0.03
02/22/2018	mfc219642	SMITH RANDALL	MFT DEUTSCHE STRATEGIC HIGH YIELD	B	0.00	7.28	0.00	7.28	50.0	3.64
02/22/2018	mfc219642	SMITH RANDALL	MFT DEUTSCHE STRATEGIC HIGH YIELD	B	0.00	16.63	0.00	16.63	50.0	8.32
02/22/2018	mfc219642	SMITH RANDALL	MFT DREYFUS HIGH YIELD FD A	B	0.00	3.11	0.00	3.11	50.0	1.56
02/22/2018	mfc219642	SMITH RANDALL	MFT DREYFUS PREMIER TECHNOLOGY	B	0.00	3.82	0.00	3.82	50.0	1.91
02/22/2018	mfc219642	SMITH RANDALL	MFT EATON VANCE UTILITIES FUND	B	0.00	2.65	0.00	2.65	50.0	1.33
02/22/2018	mfc219642	SMITH RANDALL	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.39	0.00	0.39	50.0	0.20
02/22/2018	mfc219642	SMITH RANDALL	MFT FIDELITY ADVISOR INDUSTRIAL FD	B	0.00	18.73	0.00	18.73	50.0	9.37
02/22/2018	mfc219642	SMITH RANDALL	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	10.28	0.00	10.28	50.0	5.14
02/22/2018	mfc219642	SMITH RANDALL	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	5.52	0.00	5.52	50.0	2.76
02/22/2018	mfc219642	SMITH RANDALL	MFT FIDELITY ADV EMERGING MRKTS INC	B	0.00	3.67	0.00	3.67	50.0	1.84
02/22/2018	mfc219642	SMITH RANDALL	MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	6.30	0.00	6.30	50.0	3.15
02/22/2018	mfc219642	SMITH RANDALL	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	6.09	0.00	6.09	50.0	3.05
02/22/2018	mfc219642	SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	65.18	0.00	65.18	50.0	32.59
02/22/2018	mfc219642	SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.52	0.00	1.52	50.0	0.76
02/22/2018	mfc219642	SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	6.15	0.00	6.15	50.0	3.08
02/22/2018	mfc219642	SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.18	0.00	1.18	50.0	0.59
02/22/2018	mfc219642	SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.60	0.00	1.60	50.0	0.80
02/22/2018	mfc219642	SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.57	0.00	6.57	50.0	3.29
02/22/2018	mfc219642	SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	13.00	0.00	13.00	50.0	6.50
02/22/2018	mfc219642	SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	31.60	0.00	31.60	50.0	15.80
02/22/2018	mfc219642	SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.50	0.00	0.50	50.0	0.25
02/22/2018	mfc219642	SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.60	0.00	0.60	50.0	0.30
02/22/2018	mfc219642	SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.06	0.00	0.06	50.0	0.03
02/22/2018	mfc219642	SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.19	0.00	0.19	50.0	0.10
02/22/2018	mfc219642	SMITH RANDALL	MFT MFS LIMITED MATURITY FUND-C	B	0.00	0.04	0.00	0.04	50.0	0.02
02/22/2018	mfc219642	SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.19	0.00	4.19	50.0	2.10
02/22/2018	mfc219642	SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	5.85	0.00	5.85	50.0	2.93
02/22/2018	mfc219642	SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	29.97	0.00	29.97	50.0	14.99
02/22/2018	mfc219642	SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	67.01	0.00	67.01	50.0	33.51
02/22/2018	mfc219642	SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	12.75	0.00	12.75	50.0	6.38
02/22/2018	mfc219642	SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	14.64	0.00	14.64	50.0	7.32
02/22/2018	mfc219642	SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	16.26	0.00	16.26	50.0	8.13
02/22/2018	mfc219642	SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	40.08	0.00	40.08	50.0	20.04
02/22/2018	mfc219642	SMITH RANDALL	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	18.89	0.00	18.89	50.0	9.45
02/22/2018	mfc219642	SMITH RANDALL	MFT PUTNAM GLOBAL EQUITY FUND CLASS	B	0.00	0.32	0.00	0.32	50.0	0.16
02/22/2018	mfc219642	SMITH RANDALL	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	8.89	0.00	8.89	50.0	4.45
02/22/2018	mfc219642	SMITH RANDALL	MFT THE BOND FUND OF AMERICA-A	B	0.00	1.40	0.00	1.40	50.0	0.70
02/22/2018	mfc219642	SMITH RANDALL	MFT THE BOND FUND OF AMERICA-A	B	0.00	11.19	0.00	11.19	50.0	5.60
02/22/2018	mfc219642	SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.53	0.00	0.53	50.0	0.27
02/22/2018	mfc219642	SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.82	0.00	0.82	50.0	0.41
02/22/2018	mfc219642	SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.00	0.00	1.00	50.0	0.50
02/22/2018	mfc219642	SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.89	0.00	3.89	50.0	1.95

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
02/22/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.55	0.00	16.55	50.0	8.28
02/22/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.11	0.00	0.11	50.0	0.06
02/22/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.35	0.00	3.35	50.0	1.68
02/22/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.72	0.00	6.72	50.0	3.36
02/22/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	15.88	0.00	15.88	50.0	7.94
02/22/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	96.83	0.00	96.83	50.0	48.42
02/22/2018	mfc219642 SMITH RANDALL	MFT THORNBURG INTERM MUNI NATL FD	B	0.00	7.49	0.00	7.49	50.0	3.75
02/22/2018	mfc219642 SMITH RANDALL	MFT THORNBURG VALUE FD CL C	B	0.00	15.77	0.00	15.77	50.0	7.89
02/22/2018	mfc219642 SMITH RANDALL	MFT THORNBURG VALUE FD CL C	B	0.00	39.16	0.00	39.16	50.0	19.58
02/22/2018	mfc219642 SMITH RANDALL	MFT VOYA HIGH YIELD BOND FD A	B	0.00	2.00	0.00	2.00	50.0	1.00
02/22/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.12	0.00	1.12	50.0	0.56
02/22/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.40	0.00	1.40	50.0	0.70
02/22/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.27	0.00	5.27	50.0	2.64
02/22/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.78	0.00	5.78	50.0	2.89
02/22/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	59.25	0.00	59.25	50.0	29.63
02/22/2018	mfc219642 SMITH RANDALL	MFT WELLS FARGO HIGH YIELD BOND-A	B	0.00	1.72	0.00	1.72	50.0	0.86
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$1,260.43</b>	<b>\$0.00</b>	<b>\$1,260.43</b>		<b>\$630.43</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$586,859.92</b>	<b>\$2,050.45</b>	<b>\$400.00</b>	<b>\$1,650.45</b>		<b>\$825.56</b>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

02/05/2018 (None) SMITH, MAR G	MF	OPPENHEIMER	B	0.00	2.38	0.00	2.38	50.0	1.19
02/16/2018 (None) 0030282465	MF	SCHOLARS CHOICE	B	0.00	1.50	0.00	1.50	50.0	0.75
02/12/2018 000000008958389 STRATHDEE,	MF	MUTUAL DISC FND - CL A	B	105.00	5.25	0.00	5.25	50.0	2.63
02/12/2018 000015815515075 STRATHDEE,	MF	FRANKLIN RISING DIVIDENDS FD	B	245.00	12.25	0.00	12.25	50.0	6.13
02/12/2018 000060177858580 STRATHDEE,	MF	FRANKLIN GROWTH FUND CLASS A	B	350.00	17.50	0.00	17.50	50.0	8.75
02/21/2018 00058011489 FOSTER, EMILIE	MF	AMERICAN BALANCED FUND-A	B	10,000.00	200.00	0.00	200.00	50.0	100.00
02/13/2018 00084512960 GIBBS, KENDRA	MF	CAPITAL WORLD GROWTH AND INCOME	B	170.00	6.38	0.00	6.38	50.0	3.19
02/13/2018 00084512960 GIBBS, KENDRA	MF	NEW PERSPECTIVE FUND-A	B	165.00	6.19	0.00	6.19	50.0	3.10
02/13/2018 00084512960 GIBBS, KENDRA	MF	THE GROWTH FUND OF AMERICA-A	B	165.00	6.19	0.00	6.19	50.0	3.10
02/20/2018 00085302677 JORGE ALEXANDER	MF	AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
02/23/2018 00087364129 DORER, SONIA	MF	AMERICAN BALANCED FUND-A	B	250.00	6.88	0.00	6.88	50.0	3.44
02/23/2018 00087364129 DORER, SONIA	MF	NEW PERSPECTIVE FUND-A	B	249.91	6.87	0.00	6.87	50.0	3.44
02/23/2018 00087369989 DORER, RICHARD	MF	SMALLCAP WORLD FUND-A	B	500.00	13.75	0.00	13.75	50.0	6.88
02/15/2018 00088038123 PONCE, YOLANDA	MF	AMERICAN BALANCED FUND-A	B	100.00	5.00	0.00	5.00	50.0	2.50
02/21/2018 00089079661 KAREN STEHLING	MF	AMERICAN BALANCED FUND-A	B	164.97	4.54	0.00	4.54	50.0	2.27
* MUTUAL FUNDS SUBTOTAL *				\$12,514.88	\$297.18	\$0.00	\$297.18		\$148.62

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/31/2018 (None) NEWOPPORA	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	4.04	0.00	4.04	50.0	2.02
02/14/2018 02400053358 SANTIAGO, RITA	MFT GROWTH OPPORTUNITIES C	B	0.00	28.81	0.00	28.81	50.0	14.41
02/14/2018 02400053358 SANTIAGO, RITA	MFT MID CAP STOCK C	B	0.00	31.02	0.00	31.02	50.0	15.51

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
02/14/2018	02400053358 SANTIAGO, RITA	MFT VALUE OPPORTUNITIES C	B	0.00	28.75	0.00	28.75	50.0	14.38
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$92.62	\$0.00	\$92.62		\$46.32
*** DIRECT TRANSACTIONS TOTAL ***				\$12,514.88	\$389.80	\$0.00	\$389.80		\$194.94

SPLIT TRANSACTIONSBROKER: RIX/RANDY SMITH/SMITH-D081 #80

02/23/2018	676365887 SMITH, RANDALL	S	DINEEQUITY INC	S	-6,864.62	12.50	7.50	5.00	2.50
02/26/2018	492637897 PENNY-MCELROY TTEE, B	B	TEXAS A&M UNIVERSITY REV	B	25,251.07	250.00	7.50	242.50	121.25
02/21/2018	492642424 MCELROY TTEE, LETHA B	B	TEXAS STATE WOMANS UNIVERSITY	B	28,102.00	300.00	7.50	292.50	146.25
02/23/2018	676356642 SMITH, RANDALL	B	MURPHY TX GO BDS 2018 CALLABLE	B	12,380.98	125.00	7.50	117.50	58.75
02/22/2018	676365887 SMITH, RANDALL	B	BUDA TX COMB TAX & LTD REV C/O	B	11,687.59	125.00	7.50	117.50	58.75
02/22/2018	mfc913901 SMITH 913901		MFT AMERICAN BALANCED FUND-A	B	0.00	7.32	0.00	7.32	3.66
02/22/2018	mfc913901 SMITH 913901		MFT CAPITAL INCOME BUILDER-A	B	0.00	3.54	0.00	3.54	1.77
02/22/2018	mfc913901 SMITH 913901		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.25	0.00	4.25	2.13
02/22/2018	mfc913901 SMITH 913901		MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.22	0.00	6.22	3.11
02/22/2018	mfc913901 SMITH 913901		MFT GLOBAL BALANCED FUND-A	B	0.00	9.04	0.00	9.04	4.52
02/22/2018	mfc913901 SMITH 913901		MFT INTERNATIONAL GROWTH AND	B	0.00	9.53	0.00	9.53	4.77
02/22/2018	mfc913901 SMITH 913901		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.55	0.00	1.55	0.78
* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *				\$70,557.02	\$853.95	\$37.50	\$816.45		\$408.24
*** SPLIT TRANSACTIONS TOTAL ***				\$70,557.02	\$853.95	\$37.50	\$816.45		\$408.24

REP LINK TRANSACTIONSBROKER: RANDY SMITH-D601 #66

02/15/2018	00084947333 JOHN J FINN CUST, MF	MF	THE NEW ECONOMY FUND-C	B	50.00	0.50	0.00	0.50	0.10
02/15/2018	00084947364 FBO RYAN MICHAEL	MF	THE NEW ECONOMY FUND-C	B	50.00	0.50	0.00	0.50	0.10
02/22/2018	00086465668 MCINTIRE, JOHN	MF	NEW PERSPECTIVE FUND-C	B	500.00	5.00	0.00	5.00	1.00
02/15/2018	04000149886 JOHN J FINN CUST, MF	MF	AMERICAN BALANCED FUND-A	B	50.00	1.00	0.00	1.00	0.20
02/15/2018	08190129109 FBO JULIETTE G	MF	MFS GROWTH FUND-C	B	100.00	1.00	0.00	1.00	0.20
02/15/2018	08190129110 PEYTON O LEBLANC, MF	MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
02/15/2018	08190129113 LILLY M SUTTON	MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
02/15/2018	08191029111 PRESLEE G LEBLANC	MF	MFS GROWTH FUND-C	B	100.00	1.00	0.00	1.00	0.20
02/15/2018	08191270658 BEAU OUTLAW, JOHN	MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
01/31/2018	(None) VARIOUS, RANDY SMITH	MFT	COLUMBIA THREADNEEDLE	B	0.00	265.47	0.00	265.47	53.09
02/09/2018	00060872312 KNIGHT, WILLIAM	MFT	WELLS FARGO GLOBAL	B	0.00	10.36	0.00	10.36	2.07
02/09/2018	00060872312 KNIGHT, WILLIAM	MFT	WELLS FARGO GLOBAL	B	0.00	31.08	0.00	31.08	6.22
02/09/2018	00060872312 KNIGHT, WILLIAM	MFT	WELLS FARGO INTRINSIC WORLD	B	0.00	10.53	0.00	10.53	2.11
02/09/2018	00060872312 KNIGHT, WILLIAM	MFT	WELLS FARGO INTRINSIC WORLD	B	0.00	31.58	0.00	31.58	6.32
02/09/2018	00060872312 KNIGHT, WILLIAM	MFT	WELLS FARGO SPECIAL SM CAP	B	0.00	11.84	0.00	11.84	2.37
02/09/2018	00060872312 KNIGHT, WILLIAM	MFT	WELLS FARGO SPECIAL SM CAP	B	0.00	35.53	0.00	35.53	7.11
02/09/2018	01004814720 FINN, JOHN	MFT	WELLS FARGO DIVERSIFIED INC	B	0.00	29.16	0.00	29.16	5.83

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
02/09/2018 01004814720	FINNN, JOHN	MFT WELLS FARGO DIVERSIFIED INC	B	0.00	87.47	0.00	87.47		17.49
02/09/2018 01004814720	FINNN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	2.74	0.00	2.74		0.55
02/09/2018 01004814720	FINNN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	8.22	0.00	8.22		1.64
02/09/2018 01004814720	FINNN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	30.99	0.00	30.99		6.20
02/09/2018 01004814720	FINNN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	92.97	0.00	92.97		18.59
02/09/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	0.44	0.00	0.44		0.09
02/09/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	1.33	0.00	1.33		0.27
02/09/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	0.40	0.00	0.40		0.08
02/09/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	1.20	0.00	1.20		0.24
02/09/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.19	0.00	1.19		0.24
02/09/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.57	0.00	3.57		0.71
02/09/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	10.81	0.00	10.81		2.16
02/09/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	32.43	0.00	32.43		6.49
02/09/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.43	0.00	6.43		1.29
02/09/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	19.30	0.00	19.30		3.86
02/09/2018 01007837183	DI GRADO, JOSEPH	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	13.35	0.00	13.35		2.67
02/09/2018 01007837183	DI GRADO, JOSEPH	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	40.06	0.00	40.06		8.01
02/09/2018 01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.94	0.00	2.94		0.59
02/09/2018 01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.83	0.00	8.83		1.77
02/09/2018 01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	6.49	0.00	6.49		1.30
02/09/2018 01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	19.47	0.00	19.47		3.89
02/09/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	2.69	0.00	2.69		0.54
02/09/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	8.07	0.00	8.07		1.61
02/09/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	11.61	0.00	11.61		2.32
02/09/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	34.83	0.00	34.83		6.97
02/09/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	3.21	0.00	3.21		0.64
02/09/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	9.62	0.00	9.62		1.92
02/09/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.34	0.00	2.34		0.47
02/09/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.02	0.00	7.02		1.40
02/09/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.14	0.00	1.14		0.23
02/09/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.43	0.00	3.43		0.69
02/09/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.87	0.00	2.87		0.57
02/09/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	8.62	0.00	8.62		1.72
02/09/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	2.97	0.00	2.97		0.59
02/09/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	8.91	0.00	8.91		1.78
02/09/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.31	0.00	3.31		0.66
02/09/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.94	0.00	9.94		1.99
02/09/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.88	0.00	2.88		0.58
02/09/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	8.63	0.00	8.63		1.73
02/09/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	7.37	0.00	7.37		1.47
02/09/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	22.12	0.00	22.12		4.42
02/09/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.15	0.00	3.15		0.63
02/09/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.46	0.00	9.46		1.89
02/09/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	2.51	0.00	2.51		0.50

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
02/09/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	7.53	0.00	7.53		1.51
02/09/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.59	0.00	2.59		0.52
02/09/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.76	0.00	7.76		1.55
02/09/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	18.74	0.00	18.74		3.75
02/09/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	56.23	0.00	56.23		11.25
02/09/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	24.49	0.00	24.49		4.90
02/09/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	73.46	0.00	73.46		14.69
02/09/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	8.40	0.00	8.40		1.68
02/09/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	25.21	0.00	25.21		5.04
02/09/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	2.42	0.00	2.42		0.48
02/09/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	7.27	0.00	7.27		1.45
02/09/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	4.01	0.00	4.01		0.80
02/09/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	12.03	0.00	12.03		2.41
02/09/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	2.76	0.00	2.76		0.55
02/09/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	8.27	0.00	8.27		1.65
02/09/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	21.69	0.00	21.69		4.34
02/09/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	65.07	0.00	65.07		13.01
02/09/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	9.55	0.00	9.55		1.91
02/09/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	28.66	0.00	28.66		5.73
02/09/2018 01009367639	ANN A LAFOURCADE, MFT	WELLS FARGO OMEGA GROWTH-C	B	0.00	0.93	0.00	0.93		0.19
02/09/2018 01009367639	ANN A LAFOURCADE, MFT	WELLS FARGO OMEGA GROWTH-C	B	0.00	2.79	0.00	2.79		0.56
02/09/2018 01009367639	ANN A LAFOURCADE, MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	1.17	0.00	1.17		0.23
02/09/2018 01009367639	ANN A LAFOURCADE, MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	3.51	0.00	3.51		0.70
02/09/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.00	0.00	2.00		0.40
02/09/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	6.01	0.00	6.01		1.20
02/09/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	3.49	0.00	3.49		0.70
02/09/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	10.47	0.00	10.47		2.09
02/09/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	2.19	0.00	2.19		0.44
02/09/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	6.58	0.00	6.58		1.32
02/09/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.77	0.00	4.77		0.95
02/09/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	14.32	0.00	14.32		2.86
02/14/2018 02400053405	ST JULIEN,	MFT INTERNATIONAL OPPORTUNITIES C	B	0.00	67.17	0.00	67.17		13.43
02/14/2018 02400053405	ST JULIEN,	MFT MID CAP STOCK C	B	0.00	22.14	0.00	22.14		4.43
02/14/2018 02400053405	ST JULIEN,	MFT VALUE OPPORTUNITIES C	B	0.00	42.49	0.00	42.49		8.50
02/14/2018 02400053746	JOHN J FINN CUST,	MFT INTERNATIONAL OPPORTUNITIES C	B	0.00	2.17	0.00	2.17		0.43
02/14/2018 02400053756	FBO RYAN MICHAEL	MFT MID CAP STOCK C	B	0.00	1.14	0.00	1.14		0.23
02/14/2018 02400054134	GIFT MIN ACT-SD,	MFT MID CAP STOCK C	B	0.00	1.12	0.00	1.12		0.22
02/14/2018 02400054619	GEE, WANDA	MFT INTERNATIONAL OPPORTUNITIES C	B	0.00	15.09	0.00	15.09		3.02
02/14/2018 02400056251	ALLEN D &	MFT MID CAP STOCK C	B	0.00	160.85	0.00	160.85		32.17
02/14/2018 02400056251	ALLEN D &	MFT VALUE OPPORTUNITIES C	B	0.00	83.34	0.00	83.34		16.67
02/14/2018 02400057556	CRAFT, ALLEN	MFT MID CAP STOCK C	B	0.00	53.30	0.00	53.30		10.66
02/14/2018 02400057556	CRAFT, ALLEN	MFT VALUE OPPORTUNITIES C	B	0.00	32.73	0.00	32.73		6.55
02/14/2018 02400057928	WARNIE, BARBARA	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	56.27	0.00	56.27		11.25
02/14/2018 02400057928	WARNIE, BARBARA	MFT MID CAP STOCK C	B	0.00	74.83	0.00	74.83		14.97



COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
02/14/2018 02400057928	WARNIE, BARBARA	MFT VALUE OPPORTUNITIES C	B	0.00	72.63	0.00	72.63		14.53
02/14/2018 02400060442	TAZAMA UPENDO ST.	MFT INTERNATIONAL OPPORTUNITIES C	B	0.00	27.67	0.00	27.67		5.53
02/14/2018 02400081378	ROBERT LOMBARDI	MFT INTERNATIONAL OPPORTUNITIES C	B	0.00	62.98	0.00	62.98		12.60
02/14/2018 02400081378	ROBERT LOMBARDI	MFT MID CAP STOCK C	B	0.00	58.18	0.00	58.18		11.64
02/09/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	1.95	0.00	1.95		0.39
02/09/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	5.85	0.00	5.85		1.17
02/09/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.87	0.00	4.87		0.97
02/09/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	14.60	0.00	14.60		2.92
02/09/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.28	0.00	2.28		0.46
02/09/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.83	0.00	6.83		1.37
02/09/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO UTILITY AND	B	0.00	2.09	0.00	2.09		0.42
02/09/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO UTILITY AND	B	0.00	6.27	0.00	6.27		1.25
02/09/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	1.57	0.00	1.57		0.31
02/09/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	4.72	0.00	4.72		0.94
02/09/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.62	0.00	2.62		0.52
02/09/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.87	0.00	7.87		1.57
02/09/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.03	0.00	1.03		0.21
02/09/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	3.08	0.00	3.08		0.62
02/09/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.26	0.00	1.26		0.25
02/09/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.77	0.00	3.77		0.75
02/09/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	3.96	0.00	3.96		0.79
02/09/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	11.89	0.00	11.89		2.38
02/09/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	4.39	0.00	4.39		0.88
02/09/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	13.18	0.00	13.18		2.64
02/09/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.78	0.00	5.78		1.16
02/09/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	17.33	0.00	17.33		3.47
02/09/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	1.59	0.00	1.59		0.32
02/09/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	4.77	0.00	4.77		0.95
02/09/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.71	0.00	1.71		0.34
02/09/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	5.14	0.00	5.14		1.03
02/09/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.20	0.00	2.20		0.44
02/09/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.59	0.00	6.59		1.32
02/09/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.11	0.00	2.11		0.42
02/09/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.32	0.00	6.32		1.26
02/09/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	8.67	0.00	8.67		1.73
02/09/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	26.02	0.00	26.02		5.20
02/09/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.52	0.00	6.52		1.30
02/09/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	19.57	0.00	19.57		3.91
02/09/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	5.89	0.00	5.89		1.18
02/09/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	17.68	0.00	17.68		3.54
* RANDY SMITH-D601 SUBTOTAL *				\$1,000.00	\$2,523.82	\$0.00	\$2,523.82		\$504.74
*** REP LINK TRANSACTIONS TOTAL ***				\$1,000.00	\$2,523.82	\$0.00	\$2,523.82		\$504.74

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

02/28/2018		: EXTENDED SETTLEMENT		0.00	0.00	0.00	0.00		250.00
02/28/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		777.80
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$1,027.80

BROKER COMMISSION TOTALS

Broker Transactions	\$1,525.24
Split Transactions	\$408.24
Override Transactions	\$0.00
Adjustments	\$1,027.80
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,961.28
Year-to-date Commissions	\$16,682.44