

STATEMENT April 20, 2018

DON BREWINGTON

BROKER #: 247

BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DON BREWINGTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRAN	SACTIONS						
PRODUCT	CATEGORY: STOCKS								
03/15/201	8 # FINNELL, FERGUS	AMERICAN TOWER CORPORATION NEW REIT	14,660.01	105.00	85.0	0.00	89.25	19.95	69.30
03/15/201	8 # FINNELL, FERGUS	MERCK & CO INC	10,276.12	207.69	85.0	0.00	176.54	19.95	156.59
03/15/201	8 # FINNELL, FERGUS	PARATEK PHARMACEUTICALS INC COM	11,600.00	289.66	85.0	0.00	246.21	19.95	226.26
03/16/201	8 # FINNELL, FERGUS	MERCK & CO INC	4,011.66	92.82	85.0	0.00	78.90	19.95	58.95
03/21/201	8 # FINNELL, FERGUS	FACEBOOK INC	26,487.97	210.00	85.0	0.00	178.50	19.95	158.55
3/21/201	8 # FINNELL, FERGUS	SOUTHWEST AIRLINES CO	17,164.08	315.00	85.0	0.00	267.75	19.95	247.80
03/26/201	8 # FINNELL, FERGUS	GOLDMAN SACHS GROUP INC	25,203.91	105.00	85.0	0.00	89.25	19.95	69.30
3/26/201	8 # FINNELL, FERGUS	MERCK & CO INC	19,851.18	387.68	85.0	0.00	329.53	19.95	309.58
3/26/201	8 # FINNELL, FERGUS	PARATEK PHARMACEUTICALS INC COM	13.05	0.00	85.0	0.00	0.00	19.95	-19.95
3/26/201	8 # FINNELL, FERGUS	PARATEK PHARMACEUTICALS INC COM	13,031.95	330.02	85.0	0.00	280.52	19.95	260.57
3/26/201	8 # FINNELL, FERGUS	ULTA BEAUTY INC COMMON STOCK	20,700.10	105.00	85.0	0.00	89.25	19.95	69.30
3/27/201	8 # FINNELL, FERGUS	RBB FD INC	38,392.40	638.78	85.0	0.00	542.96	19.95	523.01
3/27/201	8 # FINNELL, FERGUS	SECTOR SPDR - FINANCIAL	27,859.00	508.94	85.0	0.00	432.60	19.95	412.65
		* STOCKS SUBTOTAL *	\$229,251.43	\$3,295.59		\$0.00	\$2,801.26	\$259.35	\$2,541.91
PRODUCT	CATEGORY: MUTUAL FUN	ID 12B1 TRAILS							
3/09/201	8 # FINNELL, FERGUS	DAIN TRAILER	0.00	2.93	90.0	0.00	2.64	0.00	2.64
3/09/201	8 # FINNELL, FERGUS	DAIN TRAILER	0.00	3.93	90.0	0.00	3.54	0.00	3.54
3/09/201	8 # PHARES, BECKY	DAIN TRAILER	0.00	4.02	90.0	0.00	3.62	0.00	3.62
3/09/201	8 # PHARES, BECKY	DAIN TRAILER	0.00	4.13	90.0	0.00	3.72	0.00	3.72
3/09/201	8 # PHARES, BECKY	DAIN TRAILER	0.00	3.21	90.0	0.00	2.89	0.00	2.89
3/09/201	8 # PHARES, BECKY	DAIN TRAILER	0.00	3.98	90.0	0.00	3.58	0.00	3.58
3/09/201	8 # PHARES, BECKY	DAIN TRAILER	0.00	3.57	90.0	0.00	3.21	0.00	3.21
3/09/201	8 # PHARES, BECKY	DAIN TRAILER	0.00	4.37	90.0	0.00	3.93	0.00	3.93
3/09/201	8 # PHARES, BECKY	DAIN TRAILER	0.00	3.47	90.0	0.00	3.12	0.00	3.12
3/09/201	8 # FINNELL, FERGUS	DAIN TRAILER	0.00	3.93	90.0	0.00	3.54	0.00	3.54
3/09/201	8 # FINNELL, FERGUS	DAIN TRAILER	0.00	1.85	90.0	0.00	1.67	0.00	1.67
3/09/201	8 # FINNELL, FERGUS	DAIN TRAILER	0.00	1.97	90.0	0.00	1.77	0.00	1.77

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	BROKER TRAN	SACTIONS						
PRODUCT CATEGORY: MUTUAL I	FUND 12B1 TRAILS							
03/09/2018 # FINNELL, FERGUS	DAIN TRAILER	0.00	1.84	90.0	0.00	1.66	0.00	1.66
03/09/2018 # FINNELL, FERGUS	DAIN TRAILER	0.00	1.97	90.0	0.00	1.77	0.00	1.77
03/09/2018 # FINNELL, FERGUS	DAIN TRAILER	0.00	4.40	90.0	0.00	3.96	0.00	3.96
03/09/2018 # FINNELL, FERGUS	DAIN TRAILER	0.00	3.93	90.0	0.00	3.54	0.00	3.54
03/09/2018 # FINNELL, FERGUS	DAIN TRAILER	0.00	3.88	90.0	0.00	3.49	0.00	3.49
03/09/2018 # FINNELL, FERGUS	DAIN TRAILER	0.00	1.89	90.0	0.00	1.70	0.00	1.70
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$59.27		\$0.00	\$53.35	\$0.00	\$53.35
	*** BROKER TRANSACTIONS TOTAL ***	\$229,251.43	\$3,354.86		\$0.00	\$2,854.61	\$259.35	\$2,595.26
	ADJUSTM	ENTS						
04/20/2018	ADMIN FEE: ADMIN FEE							-390.00
04/20/2018	E & O INSURANCE: E & O INSURANCE							-179.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE							-215.00
	*** ADJUSTMENTS TOTAL ***						•	\$-784.00
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BROKER COMMISSION TOTALS

Check Amount	¢1 811 26
SIPC Assessment	\$0.00
FINRA Assessment	\$0.00
Prior Period Balance	\$0.00
Base Salary	\$0.00
Payroll Draw	\$0.00
Adjustments	\$-784.00
Override Transactions	\$0.00
Split Transactions	\$0.00
Broker Transactions	\$2,595.26