



COMMISSION STATEMENT

April 02, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/19/2018	***-**-4906 - VAN ALSTINE, ACCOUNT #: 00086106101	AMERICAN BALANCED FUND-A BUY 2 Units @28.5800	50.00	2.50	0.00	2.50	75.0	1.88
03/20/2018	***-**-9037 - NOURSE, STACIA ACCOUNT #: 04000247689	AMERICAN BALANCED FUND-A BUY 2 Units @28.5900	50.00	2.50	0.00	2.50	75.0	1.88
03/20/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN BALANCED FUND-A BUY 4 Units @27.9300	125.00	3.44	0.00	3.44	75.0	2.58
03/20/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	AMERICAN BALANCED FUND-A BUY 3 Units @27.9300	90.75	2.50	0.00	2.50	75.0	1.88
03/20/2018	***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793	AMERICAN BALANCED FUND-A BUY 14 Units @27.9300	400.00	11.00	0.00	11.00	75.0	8.25
03/20/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	AMERICAN BALANCED FUND-A BUY 1 Units @28.3700	37.50	1.59	0.00	1.59	75.0	1.19
03/20/2018	***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097	AMERICAN BALANCED FUND-A BUY 2 Units @28.5900	60.00	3.00	0.00	3.00	75.0	2.25
03/26/2018	***-**-653X - WERNER, FBO EVAN ACCOUNT #: LSR010638	AMERICAN BALANCED FUND-A BUY 17 Units @27.7100	475.00	13.05	0.00	13.05	75.0	9.79
03/20/2018	***-**-9037 - NOURSE, STACIA ACCOUNT #: 04000247689	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.6200	50.00	1.50	0.00	1.50	75.0	1.13
03/20/2018	***-**-4848 - DOGETT, AMY ACCOUNT #: 00081620466	AMERICAN HIGH-INCOME TRUST-A BUY 16 Units @10.5900	165.00	4.54	0.00	4.54	75.0	3.41
03/20/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.5900	25.00	0.69	0.00	0.69	75.0	0.52
03/20/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN HIGH-INCOME TRUST-A BUY 9 Units @10.5900	100.00	2.75	0.00	2.75	75.0	2.06
03/20/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	AMERICAN HIGH-INCOME TRUST-A BUY 7 Units @10.5900	75.00	2.06	0.00	2.06	75.0	1.55
03/20/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.5900	25.50	0.70	0.00	0.70	75.0	0.53
03/20/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.6200	52.50	1.58	0.00	1.58	75.0	1.19
03/20/2018	***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793	CAPITAL INCOME BUILDER-A BUY 6 Units @63.0900	400.00	11.00	0.00	11.00	75.0	8.25



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME BUILDER-A	100.00	2.75	0.00	2.75	75.0	2.06
	ACCOUNT #: 00086683340	BUY 2 Units @63.0900						
03/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD BOND FUND-A	75.00	2.06	0.00	2.06	75.0	1.55
	ACCOUNT #: 00086683340	BUY 4 Units @20.9500						
03/20/2018	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	31.50	0.87	0.00	0.87	75.0	0.65
	ACCOUNT #: 00085839015	BUY 1 Units @54.1500						
03/20/2018	***-**-7598 - HUMPF, MINDI	CAPITAL WORLD GROWTH AND INCOME FUN	25.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00085514851	BUY 0 Units @54.1500						
03/20/2018	***-**-408B - SNIZEK,	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.75	0.00	2.75	75.0	2.06
	ACCOUNT #: 00085154620	BUY 2 Units @54.1500						
03/20/2018	***-**-4848 - DOGGETT, AMY	CAPITAL WORLD GROWTH AND INCOME FUN	165.00	4.54	0.00	4.54	75.0	3.41
	ACCOUNT #: 00081620466	BUY 3 Units @54.1500						
03/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	3.44	0.00	3.44	75.0	2.58
	ACCOUNT #: 00086683340	BUY 2 Units @54.1500						
03/20/2018	***-**-5556 - BURNS, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	37.50	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085993216	BUY 1 Units @55.0000						
03/20/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	31.50	0.87	0.00	0.87	75.0	0.65
	ACCOUNT #: 00085839015	BUY 1 Units @59.6400						
03/26/2018	***-**-595X - WERNER, FBO	FUNDAMENTAL INVESTORS-A	475.02	13.05	0.00	13.05	75.0	9.79
	ACCOUNT #: LSR010640	BUY 7 Units @64.4100						
03/20/2018	***-**-5556 - BURNS, ROBERT	SMALLCAP WORLD FUND-A	22.50	0.96	0.00	0.96	75.0	0.72
	ACCOUNT #: 00085993216	BUY 0 Units @60.5200						
03/20/2018	***-**-4639 - SCOONES,	THE BOND FUND OF AMERICA-A	90.75	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00084481157	BUY 7 Units @13.0100						
03/20/2018	***-**-4639 - SCOONES,	THE GROWTH FUND OF AMERICA-A	93.50	2.57	0.00	2.57	75.0	1.93
	ACCOUNT #: 00084481157	BUY 2 Units @54.6300						
03/20/2018	***-**-4848 - DOGGETT, AMY	THE GROWTH FUND OF AMERICA-A	170.00	4.68	0.00	4.68	75.0	3.51
	ACCOUNT #: 00081620466	BUY 3 Units @54.6300						
03/20/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	36.00	0.99	0.00	0.99	75.0	0.74
	ACCOUNT #: 00085839015	BUY 1 Units @54.6300						
03/20/2018	***-**-7598 - HUMPF, MINDI	THE GROWTH FUND OF AMERICA-A	25.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00085514851	BUY 0 Units @54.6300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/20/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	THE GROWTH FUND OF AMERICA-A BUY 3 Units @54.6300	175.00	4.81	0.00	4.81	75.0	3.61
03/26/2018	***-**-653X - WERNER, FBO EVAN ACCOUNT #: LSR010638	THE GROWTH FUND OF AMERICA-A BUY 9 Units @53.7300	474.97	13.05	0.00	13.05	75.0	9.79
03/20/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	THE INCOME FUND OF AMERICA-A BUY 1 Units @23.6700	25.00	0.69	0.00	0.69	75.0	0.52
03/20/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	THE INCOME FUND OF AMERICA-A BUY 6 Units @23.6700	150.00	4.13	0.00	4.13	75.0	3.10
03/20/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	THE INCOME FUND OF AMERICA-A BUY 1 Units @23.6700	25.50	0.70	0.00	0.70	75.0	0.53
03/20/2018	***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097	THE INCOME FUND OF AMERICA-A BUY 2 Units @24.2300	60.00	3.00	0.00	3.00	75.0	2.25
03/26/2018	***-**-595X - WERNER, FBO ACCOUNT #: LSR010640	THE INCOME FUND OF AMERICA-A BUY 20 Units @23.4800	475.00	13.05	0.00	13.05	75.0	9.79
* MUTUAL FUNDS SUBTOTAL *			\$5,169.99	\$148.83	\$0.00	\$148.83		\$111.69
PRODUCT CATEGORY: RIA								
04/04/2018	***-**-25FB - DUNN, BRENDAN ACCOUNT #: 925287941	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	124.48	124.48	0.00	124.48	75.0	93.36
04/04/2018	***-**-25FB - DUNN, BRENDAN ACCOUNT #: 925287945	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	59.35	59.35	0.00	59.35	75.0	44.51
04/04/2018	***-**-52FB - DUNN, MICHELE ACCOUNT #: 925287955	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	128.24	128.24	0.00	128.24	75.0	96.18
* RIA SUBTOTAL *			\$312.07	\$312.07	\$0.00	\$312.07		\$234.05
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/02/2018	***-**-108X - FOREST, NSPS ACCOUNT #: LSR010522	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.98	0.00	0.98	75.0	0.74
04/02/2018	***-**-336J - GETCHELL, DIANNE ACCOUNT #: LSR011100	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	75.0	0.02
04/02/2018	***-**-336I - GETCHELL, FBO ACCOUNT #: LSR011101	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.04	0.00	0.04	75.0	0.03
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.05	\$0.00	\$1.05		\$0.79



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ADJUSTMENTS (continued)								
*** BROKER TRANSACTIONS TOTAL ***			\$5,482.06	\$461.95	\$0.00	\$461.95		\$346.53

BROKER COMMISSION TOTALS

Broker Transactions	\$346.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$86.21
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$432.74
Year-to-date Earnings	\$10,623.72