



COMMISSION STATEMENT

April 23, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/10/2018	***-**-5144 - WINTER, LAURA	AMERICAN BALANCED FUND-C	200.00	2.00	0.00	2.00	85.0	1.70
	ACCOUNT #: 00084354308	BUY 7 Units @26.7200						
04/09/2018	***-**-36IR - HERDSON, JOHN	CAPITAL APPRECIATION C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 03222003518	BUY 0 Units @0.0000						
04/09/2018	***-**-36IR - HERDSON, JOHN	EQUITY INCOME FUND, INC. C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 08370183502	BUY 0 Units @0.0000						
04/10/2018	***-**-5144 - WINTER, LAURA	FUNDAMENTAL INVESTORS-C	200.00	2.00	0.00	2.00	85.0	1.70
	ACCOUNT #: 00084354308	BUY 3 Units @61.9800						
04/09/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	65.28	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
02/27/2018	***-**-29IR - MANGANELLO,	SAMMONS LIVEWELL MUTUAL FUND	37,252.74	372.53	0.00	372.53	85.0	316.65
		BUY 0 Units @0.0000						
04/23/2018	***-**-53IR - SHOCKEY, JEFFREY	SAMMONS LIVEWELL MUTUAL FUND	6,500.00	65.00	0.00	65.00	85.0	55.25
	ACCOUNT #: 7200019367	BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$44,344.74	\$443.44	\$0.00	\$443.44		\$376.93
<u>PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES</u>								
03/16/2018	***-**-00IN - KNOUFF, HELEN	AFE INCOME PLUS	35,980.10	1,169.35	0.00	1,169.35	100.0	1,169.35
		BUY 1 Units @35980.1000						
* EQUITY INDEXED ANNUITIES SUBTOTAL *			\$35,980.10	\$1,169.35	\$0.00	\$1,169.35		\$1,169.35
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/23/2018	***-**-831I - REED, JACK	AMCAP FUND-A	0.00	12.30	0.00	12.30	85.0	10.46
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
04/23/2018	***-**-036I - KAKAREKA, PAUL	AMCAP FUND-C	0.00	20.09	0.00	20.09	85.0	17.08
	ACCOUNT #: LSR011085	BUY 1 Units @0.0000						
04/23/2018	***-**-73IR - MANGANELLO, TIRA	AMERICAN 2045 TARGET DATE RETIREMNT	0.00	11.03	0.00	11.03	85.0	9.38
	ACCOUNT #: LSR011771	BUY 1 Units @0.0000						
04/23/2018	***-**-054I - GAROFALO,	AMERICAN BALANCED FUND-A	0.00	4.83	0.00	4.83	85.0	4.11
	ACCOUNT #: LSF000299	BUY 1 Units @0.0000						
04/23/2018	***-**-036I - CROWL, LINDA	AMERICAN BALANCED FUND-C	0.00	75.02	0.00	75.02	85.0	63.77
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						



COMMISSION STATEMENT

April 23, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-59IR - FREY, RYAN ACCOUNT #: LSR011056	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	9.26	0.00	9.26	85.0	7.87
04/23/2018	***-**-036I - KAKAREKA, PAUL ACCOUNT #: LSR011085	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	35.47	0.00	35.47	85.0	30.15
04/23/2018	***-**-376X - WAGNER, LINDA ACCOUNT #: LSR010737	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	30.37	0.00	30.37	85.0	25.81
04/23/2018	***-**-14IR - MCKENNA, DONNA ACCOUNT #: LSR011375	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	13.92	0.00	13.92	85.0	11.83
04/23/2018	***-**-285I - ACKERMAN, SEAN ACCOUNT #: LSR010998	AMERICAN FUNDS GROWTH PORT CL C BUY 1 Units @0.0000	0.00	11.43	0.00	11.43	85.0	9.72
04/23/2018	***-**-265I - NORNHOLD, ACCOUNT #: LSR010794	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	24.79	0.00	24.79	85.0	21.07
04/23/2018	***-**-057I - PLAPPERT, ACCOUNT #: LSR010833	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	42.25	0.00	42.25	85.0	35.91
04/23/2018	***-**-057I - PLAPPERT, ACCOUNT #: LSR010833	AMERICAN FUNDS INCOME PORT CL C BUY 1 Units @0.0000	0.00	14.78	0.00	14.78	85.0	12.56
04/23/2018	***-**-854O - FREY, RYAN ACCOUNT #: LSR011528	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 1 Units @0.0000	0.00	13.93	0.00	13.93	85.0	11.84
04/23/2018	***-**-260O - ILGENFRITZ, TOD ACCOUNT #: LSR011549	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 1 Units @0.0000	0.00	44.19	0.00	44.19	85.0	37.56
04/23/2018	***-**-373O - MANGANELLO, TIRA ACCOUNT #: LSR011550	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 1 Units @0.0000	0.00	28.47	0.00	28.47	85.0	24.20
04/23/2018	***-**-036I - CROWL, LINDA ACCOUNT #: LSR010466	BLACKROCK BALANCED CAP FD CL C BUY 1 Units @0.0000	0.00	47.81	0.00	47.81	85.0	40.64
04/23/2018	***-**-992I - SMITH, FBO MR ACCOUNT #: LSR010578	BLACKROCK BALANCED CAP FD CL C BUY 1 Units @0.0000	0.00	31.19	0.00	31.19	85.0	26.51
04/23/2018	***-**-036I - CROWL, LINDA ACCOUNT #: LSR010466	BLACKROCK US MORTGAGE PORT CL C BUY 1 Units @0.0000	0.00	17.46	0.00	17.46	85.0	14.84
04/23/2018	***-**-992I - SMITH, FBO MR ACCOUNT #: LSR010578	BLACKROCK US MORTGAGE PORT CL C BUY 1 Units @0.0000	0.00	9.42	0.00	9.42	85.0	8.01
04/23/2018	***-**-566I - DURKIN, FBO MRS ACCOUNT #: LSR010544	CAPITAL APPRECIATION A BUY 1 Units @0.0000	0.00	6.01	0.00	6.01	85.0	5.11



COMMISSION STATEMENT

April 23, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-949I - LICHT, FBO MS	CAPITAL INCOME BUILDER CLASS C	0.00	2.13	0.00	2.13	85.0	1.81
	ACCOUNT #: LSR010542	BUY 1 Units @0.0000						
04/23/2018	***-**-992I - SMITH, FBO MR	CAPITAL INCOME BUILDER-A	0.00	11.83	0.00	11.83	85.0	10.06
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
04/23/2018	***-**-184I - BEAVERSON, ROYCE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	9.94	0.00	9.94	85.0	8.45
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
04/23/2018	***-**-831I - REED, JACK	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.81	0.00	0.81	85.0	0.69
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
04/23/2018	***-**-228I - BUCHANAN,	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	6.41	0.00	6.41	85.0	5.45
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
04/23/2018	***-**-400O - ROMIG, MARK	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	9.27	0.00	9.27	85.0	7.88
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
04/23/2018	***-**-228I - BUCHANAN,	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	2.14	0.00	2.14	85.0	1.82
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
04/23/2018	***-**-400O - ROMIG, MARK	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	3.09	0.00	3.09	85.0	2.63
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
04/23/2018	***-**-566I - DURKIN, FBO MRS	GLOBAL FUND A	0.00	10.00	0.00	10.00	85.0	8.50
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
04/23/2018	***-**-65IR - NORNHOLD,	HARTFORD BALANCED INCOME FD CL C	0.00	0.21	0.00	0.21	85.0	0.18
	ACCOUNT #: LSR012005	BUY 1 Units @0.0000						
04/23/2018	***-**-934I - BEAVERSON, MARY	HARTFORD BALANCED INCOME FD CL C	0.00	10.73	0.00	10.73	85.0	9.12
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
04/23/2018	***-**-184I - BEAVERSON, ROYCE	HARTFORD BALANCED INCOME FD CL C	0.00	13.99	0.00	13.99	85.0	11.89
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
04/23/2018	***-**-566I - DURKIN, FBO MRS	HARTFORD BALANCED INCOME FD CL C	0.00	55.97	0.00	55.97	85.0	47.57
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
04/23/2018	***-**-859X - FREY, RYAN	HARTFORD BALANCED INCOME FD CL C	0.00	68.89	0.00	68.89	85.0	58.56
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
04/23/2018	***-**-630X - WEAVER, NICOLE	HARTFORD BALANCED INCOME FD CL C	0.00	64.42	0.00	64.42	85.0	54.76
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
04/23/2018	***-**-260X - ILGENFRITZ, CORY	HARTFORD BALANCED INCOME FD CL C	0.00	22.68	0.00	22.68	85.0	19.28
	ACCOUNT #: LSR011554	BUY 1 Units @0.0000						



COMMISSION STATEMENT

April 23, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-181X - ILGENFRITZ, ACCOUNT #: LSR011556	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	22.50	0.00	22.50	85.0	19.13
04/23/2018	***-**-055X - ILGENFRITZ, ACCOUNT #: LSR011559	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	17.82	0.00	17.82	85.0	15.15
04/23/2018	***-**-352I - KUNKEL, RAY ACCOUNT #: LSR011585	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	13.71	0.00	13.71	85.0	11.65
04/23/2018	***-**-8100 - BLAUCH, CATHY ACCOUNT #: LSR011859	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.33	0.00	0.33	85.0	0.28
04/23/2018	***-**-14IR - SCHMIDT, DAVID ACCOUNT #: LSR011865	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.40	0.00	0.40	85.0	0.34
04/23/2018	***-**-830J - STRAUB, JANE ACCOUNT #: LSR011950	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.98	0.00	0.98	85.0	0.83
04/23/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.59	0.00	0.59	85.0	0.50
04/23/2018	***-**-381J - BLEILER, CONNIE ACCOUNT #: LSR012081	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.39	0.00	0.39	85.0	0.33
04/23/2018	***-**-162I - ANDERSON, THOMAS ACCOUNT #: LSR012358	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	85.0	0.02
04/23/2018	***-**-837I - KUNKEL, JANET ACCOUNT #: LSR012410	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	85.0	0.01
04/23/2018	***-**-21IR - ILGENFRITZ, ACCOUNT #: LSR011824	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	1.13	0.00	1.13	85.0	0.96
04/23/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	58.68	0.00	58.68	85.0	49.88
04/23/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	67.83	0.00	67.83	85.0	57.66
04/23/2018	***-**-2600 - ILGENFRITZ, TOD ACCOUNT #: LSR011549	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	21.72	0.00	21.72	85.0	18.46
04/23/2018	***-**-373X - MANGANELLO, TIRA ACCOUNT #: LSR011551	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	20.78	0.00	20.78	85.0	17.66
04/23/2018	***-**-352I - KUNKEL, RAY ACCOUNT #: LSR011585	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	15.56	0.00	15.56	85.0	13.23



COMMISSION STATEMENT

April 23, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-214I - SCHMIDT, DAVID	HARTFORD CORE EQUITY CLASS C	0.00	0.44	0.00	0.44	85.0	0.37
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
04/23/2018	***-**-67IR - NORNHOLD, DENNIS	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.08	0.00	0.08	85.0	0.07
	ACCOUNT #: LSR012006	BUY 1 Units @0.0000						
04/23/2018	***-**-036I - CROWL, LINDA	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.32	0.00	0.32	85.0	0.27
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
04/23/2018	***-**-881I - HOUSER, LORI	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.20	0.00	0.20	85.0	0.17
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
04/23/2018	***-**-51IR - BLEILER, CONNIE	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.07	0.00	0.07	85.0	0.06
	ACCOUNT #: LSR012077	BUY 1 Units @0.0000						
04/23/2018	***-**-949I - LICHT, FBO MS	INCOME FUND OF AMERICA CLASS C	0.00	2.91	0.00	2.91	85.0	2.47
	ACCOUNT #: LSR010542	BUY 1 Units @0.0000						
04/23/2018	***-**-566I - DURKIN, FBO MRS	MAIN STREET FUND A	0.00	11.60	0.00	11.60	85.0	9.86
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
04/23/2018	***-**-83IN - SCHWARTZ, EMILY	MASS INVESTORS GROWTH STOCK FUND-A	0.00	6.56	0.00	6.56	85.0	5.58
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
04/23/2018	***-**-83IN - SCHWARTZ, EMILY	MASSACHUSETTS INVESTORS TRUST-A	0.00	6.60	0.00	6.60	85.0	5.61
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
04/23/2018	***-**-859X - FREY, RYAN	MFS GROWTH PORT CLASS C	0.00	42.81	0.00	42.81	85.0	36.39
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
04/23/2018	***-**-630X - WEAVER, NICOLE	MFS GROWTH PORT CLASS C	0.00	60.31	0.00	60.31	85.0	51.26
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
04/23/2018	***-**-181X - ILGENFRITZ,	MFS GROWTH PORT CLASS C	0.00	22.18	0.00	22.18	85.0	18.85
	ACCOUNT #: LSR011555	BUY 1 Units @0.0000						
04/23/2018	***-**-859X - FREY, RYAN	MFS DIVERSIFIED INCOME FD CL C	0.00	65.38	0.00	65.38	85.0	55.57
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
04/23/2018	***-**-630X - WEAVER, NICOLE	MFS DIVERSIFIED INCOME FD CL C	0.00	47.94	0.00	47.94	85.0	40.75
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
04/23/2018	***-**-881I - HOUSER, LORI	MFS DIVERSIFIED INCOME FD CL C	0.00	0.29	0.00	0.29	85.0	0.25
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
04/23/2018	***-**-881I - HOUSER, LORI	MFS MID CAP VALUE CLASS C	0.00	0.45	0.00	0.45	85.0	0.38
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						



COMMISSION STATEMENT

April 23, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-859X - FREY, RYAN	MFS NEW DISCOVERY VALUE FUND CL C	0.00	19.02	0.00	19.02	85.0	16.17
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
04/23/2018	***-**-630X - WEAVER, NICOLE	MFS NEW DISCOVERY VALUE FUND CL C	0.00	38.03	0.00	38.03	85.0	32.33
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
04/23/2018	***-**-260X - ILGENFRITZ, CORY	MFS NEW DISCOVERY VALUE FUND CL C	0.00	10.65	0.00	10.65	85.0	9.05
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
04/23/2018	***-**-214I - SCHMIDT, DAVID	MFS NEW DISCOVERY VALUE FUND CL C	0.00	7.39	0.00	7.39	85.0	6.28
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
04/23/2018	***-**-881I - HOUSER, LORI	MFS VALUE FUND-C	0.00	0.50	0.00	0.50	85.0	0.43
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
04/23/2018	***-**-162I - ANDERSON, THOMAS	PIMCO DIVERSIFIED INCOME FND CL A-N	0.00	6.65	0.00	6.65	85.0	5.65
	ACCOUNT #: LSR012358	BUY 1 Units @0.0000						
04/23/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	0.00	41.27	0.00	41.27	85.0	35.08
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
04/23/2018	***-**-831I - REED, JACK	PIMCO INCOME FUND CL C	0.00	9.34	0.00	9.34	85.0	7.94
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
04/23/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	0.00	15.87	0.00	15.87	85.0	13.49
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
04/23/2018	***-**-831I - REED, JACK	PIMCO INCOME FUND CL C	0.00	3.59	0.00	3.59	85.0	3.05
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
04/23/2018	***-**-260X - ILGENFRITZ, CORY	PRINCIPAL SMALL-MID CAP DIV INCOME	0.00	9.84	0.00	9.84	85.0	8.36
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
04/23/2018	***-**-055X - ILGENFRITZ,	PRINCIPAL SMALL-MID CAP DIV INCOME	0.00	9.75	0.00	9.75	85.0	8.29
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
04/23/2018	***-**-992I - SMITH, FBO MR	PUTNAM EQUITY INCOME FUND-C	0.00	25.70	0.00	25.70	85.0	21.85
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
04/23/2018	***-**-566I - DURKIN, FBO MRS	QUEST OPPORTUNITY VALUE A	0.00	6.97	0.00	6.97	85.0	5.92
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
04/23/2018	***-**-352I - KUNKEL, RAY	RESOURCE REAL ESTATE-NFS	0.00	12.29	0.00	12.29	85.0	10.45
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
04/23/2018	***-**-352I - KUNKEL, RAY	RESOURCE REAL ESTATE-NFS	0.00	4.10	0.00	4.10	85.0	3.49
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						



COMMISSION STATEMENT

April 23, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-22IR - SHOCKEY, DEBORAH SAMMONS MF TRAILS		0.00	4.97	0.00	4.97	85.0	4.22
	ACCOUNT #: 7200019359	BUY 0 Units @0.0000						
04/23/2018	***-**-53IR - SHOCKEY, JEFFREY SAMMONS MF TRAILS		0.00	8.12	0.00	8.12	85.0	6.90
	ACCOUNT #: 7200019367	BUY 0 Units @0.0000						
04/23/2018	***-**-831I - REED, JACK	THE GROWTH FUND OF AMERICA-A	0.00	6.51	0.00	6.51	85.0	5.53
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
04/23/2018	***-**-214I - SCHMIDT, DAVID	THE HARTFORD ADVISERS FUND-C	0.00	14.29	0.00	14.29	85.0	12.15
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
04/23/2018	***-**-881I - HOUSER, LORI	THE HARTFORD ADVISERS FUND-C	0.00	0.05	0.00	0.05	85.0	0.04
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
04/23/2018	***-**-83IN - SCHWARTZ, EMILY	THE INCOME FUND OF AMERICA-A	0.00	11.72	0.00	11.72	85.0	9.96
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
04/23/2018	***-**-934I - BEAVERSON, MARY	THE INCOME FUND OF AMERICA-A	0.00	4.48	0.00	4.48	85.0	3.81
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
04/23/2018	***-**-184I - BEAVERSON, ROYCE	THE INCOME FUND OF AMERICA-A	0.00	8.33	0.00	8.33	85.0	7.08
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
04/23/2018	***-**-831I - REED, JACK	THE INCOME FUND OF AMERICA-A	0.00	13.33	0.00	13.33	85.0	11.33
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
04/23/2018	***-**-992I - SMITH, FBO MR	THE INCOME FUND OF AMERICA-A	0.00	12.47	0.00	12.47	85.0	10.60
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
04/23/2018	***-**-653J - MYERS, AND	THE INCOME FUND OF AMERICA-A	0.00	1.83	0.00	1.83	85.0	1.56
	ACCOUNT #: LSR011521	BUY 1 Units @0.0000						
04/23/2018	***-**-054I - GAROFALO,	THE INVESTMENT COMPANY OF AMERICA-A	0.00	8.22	0.00	8.22	85.0	6.99
	ACCOUNT #: LSF000299	BUY 1 Units @0.0000						
04/23/2018	***-**-83IN - SCHWARTZ, EMILY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	10.26	0.00	10.26	85.0	8.72
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
04/23/2018	***-**-934I - BEAVERSON, MARY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	5.09	0.00	5.09	85.0	4.33
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
04/23/2018	***-**-653J - MYERS, AND	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.14	0.00	2.14	85.0	1.82
	ACCOUNT #: LSR011521	BUY 1 Units @0.0000						
04/23/2018	***-**-054I - GAROFALO,	THE NEW ECONOMY FUND-A	0.00	4.10	0.00	4.10	85.0	3.49
	ACCOUNT #: LSF000299	BUY 1 Units @0.0000						



COMMISSION STATEMENT

April 23, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-054J - GAROFALO, ACCOUNT #: LSF000300	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	6.33	0.00	6.33	85.0	5.38
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,650.32	\$0.00	\$1,650.32		\$1,402.82
		*** BROKER TRANSACTIONS TOTAL ***	\$80,324.84	\$3,263.11	\$0.00	\$3,263.11		\$2,949.10

BROKER COMMISSION TOTALS

Broker Transactions	\$2,949.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$2,949.10
Year-to-date Earnings	\$43,428.92