



Concorde Investment Services, LLC

02/27/2018

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**COMMISSION STATEMENT****February 28, 2018**

C148 DAN/MARC FT-CHARLEY/LINSKY

BROKER #: C148

BRANCH: E STREET FINANCIAL L

, NJ

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^08/15/2016	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.55	0.00	0.55	100.0	0.00
^09/16/2016	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.94	0.00	0.94	100.0	0.00
^10/17/2016	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.91	0.00	0.91	100.0	0.00
^11/18/2016	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.94	0.00	0.94	100.0	0.00
^12/15/2016	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.90	0.00	0.90	100.0	0.00
^08/19/2016	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	3.33	0.00	3.33	100.0	0.00
^09/19/2016	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	5.74	0.00	5.74	100.0	0.00
^10/20/2016	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	5.55	0.00	5.55	100.0	0.00
^11/18/2016	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	5.72	0.00	5.72	100.0	0.00
^12/19/2016	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	5.43	0.00	5.43	100.0	0.00
^10/27/2016	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	0.59	0.00	0.59	100.0	0.00
^11/28/2016	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	4.56	0.00	4.56	100.0	0.00
^12/21/2016	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	4.37	0.00	4.37	100.0	0.00
^10/21/2016	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	0.20	0.00	0.20	100.0	0.00
^11/18/2016	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	1.57	0.00	1.57	100.0	0.00
^12/20/2016	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	1.52	0.00	1.52	100.0	0.00
^10/14/2016	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	0.81	0.00	0.81	100.0	0.00
^11/15/2016	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	6.27	0.00	6.27	100.0	0.00
^12/15/2016	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	6.04	0.00	6.04	100.0	0.00
^04/15/2016	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	0.59	0.00	0.59	100.0	0.00
^05/24/2016	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	1.99	0.00	1.99	100.0	0.00
^06/14/2016	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	1.97	0.00	1.97	100.0	0.00
^07/20/2016	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	1.98	0.00	1.98	100.0	0.00
^08/17/2016	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.10	0.00	2.10	100.0	0.00
^09/16/2016	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.21	0.00	2.21	100.0	0.00
^10/14/2016	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.18	0.00	2.18	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^11/15/2016	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.29	0.00	2.29	100.0	0.00
^12/15/2016	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.24	0.00	2.24	100.0	0.00
^04/20/2016	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	1.15	0.00	1.15	100.0	0.00
^05/16/2016	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	3.87	0.00	3.87	100.0	0.00
^06/16/2016	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	4.08	0.00	4.08	100.0	0.00
^07/15/2016	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	4.03	0.00	4.03	100.0	0.00
^08/15/2016	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	4.43	0.00	4.43	100.0	0.00
^09/16/2016	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	4.40	0.00	4.40	100.0	0.00
^10/17/2016	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	4.20	0.00	4.20	100.0	0.00
^11/11/2016	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	4.14	0.00	4.14	100.0	0.00
^12/16/2016	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	3.87	0.00	3.87	100.0	0.00
^08/16/2016	ANDERSON, WILLIAM	EAGLE GROWTH AND INCOME FUND CLASS	0.00	6.38	0.00	6.38	100.0	0.00
^09/15/2016	ANDERSON, WILLIAM	EAGLE GROWTH AND INCOME FUND CLASS	0.00	10.98	0.00	10.98	100.0	0.00
^10/20/2016	ANDERSON, WILLIAM	EAGLE GROWTH AND INCOME FUND CLASS	0.00	10.48	0.00	10.48	100.0	0.00
^11/16/2016	ANDERSON, WILLIAM	EAGLE GROWTH AND INCOME FUND CLASS	0.00	10.70	0.00	10.70	100.0	0.00
^12/21/2016	ANDERSON, WILLIAM	EAGLE GROWTH AND INCOME FUND CLASS	0.00	10.42	0.00	10.42	100.0	0.00
^10/27/2016	ANDERSON, ELAINE	FIRST EAGLE FUND OF AMERICA CLASS Y	0.00	0.04	0.00	0.04	100.0	0.00
^04/20/2016	FIAMINGO, FRANK	FIRST EAGLE GLOBAL FUND CL A	0.00	3.17	0.00	3.17	100.0	0.00
^07/22/2016	FIAMINGO, FRANK	FIRST EAGLE GLOBAL FUND CL A	0.00	15.12	0.00	15.12	100.0	0.00
^07/29/2016	FIAMINGO, FRANK	FIRST EAGLE GLOBAL FUND CL A	0.00	17.75	0.00	17.75	100.0	0.00
^08/10/2016	FIAMINGO, FRANK	FIRST EAGLE GLOBAL FUND CL A	0.00	11.54	0.00	11.54	100.0	0.00
^09/16/2016	FIAMINGO, FRANK	FIRST EAGLE GLOBAL FUND CL A	0.00	11.83	0.00	11.83	100.0	0.00
^10/21/2016	FIAMINGO, FRANK	FIRST EAGLE GLOBAL FUND CL A	0.00	11.36	0.00	11.36	100.0	0.00
^11/30/2016	FIAMINGO, FRANK	FIRST EAGLE GLOBAL FUND CL A	0.00	11.67	0.00	11.67	100.0	0.00
^12/21/2016	FIAMINGO, FRANK	FIRST EAGLE GLOBAL FUND CL A	0.00	11.27	0.00	11.27	100.0	0.00
^04/20/2016	FIAMINGO, FRANK	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.13	0.00	1.13	100.0	0.00
^07/22/2016	FIAMINGO, FRANK	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	5.40	0.00	5.40	100.0	0.00
^07/29/2016	FIAMINGO, FRANK	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	6.31	0.00	6.31	100.0	0.00
^08/10/2016	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.04	0.00	1.04	100.0	0.00
^08/10/2016	FIAMINGO, FRANK	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	4.08	0.00	4.08	100.0	0.00
^09/16/2016	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.83	0.00	1.83	100.0	0.00
^09/16/2016	FIAMINGO, FRANK	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	4.18	0.00	4.18	100.0	0.00
^10/21/2016	FIAMINGO, FRANK	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	4.03	0.00	4.03	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^10/21/2016	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.76	0.00	1.76	100.0	0.00
^11/30/2016	FIAMINGO, FRANK	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	4.14	0.00	4.14	100.0	0.00
^11/30/2016	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.81	0.00	1.81	100.0	0.00
^12/21/2016	FIAMINGO, FRANK	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	3.92	0.00	3.92	100.0	0.00
^12/21/2016	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.71	0.00	1.71	100.0	0.00
^04/14/2016	FIAMINGO, FRANK	INVESCO TECHNOLOGY A	0.00	0.36	0.00	0.36	100.0	0.00
^07/19/2016	FIAMINGO, FRANK	INVESCO TECHNOLOGY A	0.00	3.74	0.00	3.74	100.0	0.00
^10/17/2016	FIAMINGO, FRANK	INVESCO TECHNOLOGY A	0.00	4.06	0.00	4.06	100.0	0.00
^10/12/2016	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	0.70	0.00	0.70	100.0	0.00
^11/10/2016	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	5.42	0.00	5.42	100.0	0.00
^12/15/2016	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	5.30	0.00	5.30	100.0	0.00
^10/24/2016	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.11	0.00	0.11	100.0	0.00
^11/18/2016	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.86	0.00	0.86	100.0	0.00
^12/16/2016	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.82	0.00	0.82	100.0	0.00
^04/21/2016	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.52	0.00	0.52	100.0	0.00
^05/19/2016	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	1.74	0.00	1.74	100.0	0.00
^06/30/2016	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	1.81	0.00	1.81	100.0	0.00
^07/18/2016	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	1.77	0.00	1.77	100.0	0.00
^08/24/2016	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	1.86	0.00	1.86	100.0	0.00
^09/22/2016	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	1.87	0.00	1.87	100.0	0.00
^10/21/2016	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	1.80	0.00	1.80	100.0	0.00
^11/21/2016	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	1.86	0.00	1.86	100.0	0.00
^12/19/2016	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	1.80	0.00	1.80	100.0	0.00
^10/21/2016	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	0.45	0.00	0.45	100.0	0.00
^11/18/2016	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	3.44	0.00	3.44	100.0	0.00
^12/14/2016	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	3.28	0.00	3.28	100.0	0.00
^04/15/2016	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	0.61	0.00	0.61	100.0	0.00
^04/15/2016	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	6.24	0.00	6.24	100.0	0.00
^05/16/2016	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.68	0.00	1.68	100.0	0.00
^05/16/2016	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	11.76	0.00	11.76	100.0	0.00
^06/14/2016	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.73	0.00	1.73	100.0	0.00
^07/18/2016	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.69	0.00	1.69	100.0	0.00
^08/15/2016	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.79	0.00	1.79	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^09/15/2016	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.83	0.00	1.83	100.0	0.00
^10/12/2016	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.76	0.00	1.76	100.0	0.00
^11/16/2016	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.81	0.00	1.81	100.0	0.00
^12/14/2016	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.74	0.00	1.74	100.0	0.00
^05/16/2016	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.67	0.00	0.67	100.0	0.00
^05/16/2016	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.56	0.00	0.56	100.0	0.00
^06/14/2016	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	1.02	0.00	1.02	100.0	0.00
^06/14/2016	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.91	0.00	0.91	100.0	0.00
^07/18/2016	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.99	0.00	0.99	100.0	0.00
^07/18/2016	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.88	0.00	0.88	100.0	0.00
^08/15/2016	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	1.05	0.00	1.05	100.0	0.00
^08/15/2016	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.93	0.00	0.93	100.0	0.00
^09/15/2016	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	1.08	0.00	1.08	100.0	0.00
^09/15/2016	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.96	0.00	0.96	100.0	0.00
^10/12/2016	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	1.03	0.00	1.03	100.0	0.00
^10/12/2016	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.91	0.00	0.91	100.0	0.00
^11/16/2016	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.98	0.00	0.98	100.0	0.00
^11/16/2016	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.43	0.00	0.43	100.0	0.00
^12/14/2016	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.91	0.00	0.91	100.0	0.00
^12/14/2016	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.30	0.00	0.30	100.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$381.53</b>	<b>\$0.00</b>	<b>\$381.53</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$381.53</b>	<b>\$0.00</b>	<b>\$381.53</b>		<b>\$0.00</b>

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>