



Concorde Investment Services, LLC

04/12/2017  
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## COMMISSION STATEMENT

April 15, 2017

JENNIFER DONAIS  
78955 Via Trieste

BROKER #: D134  
BRANCH: TRUWEALTH FINANCIAL

LaQuinta, CA 92253-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/10/2017	SINNESS, LOYE	HARTFORD CAPITAL APPRECIATION A	0.00	1.37	0.00	1.37	90.0	1.23
03/10/2017	SINNESS, LOYE	MFS VALUE A	0.00	0.69	0.00	0.69	90.0	0.62
03/29/2017	DONAI, JEREMY	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	-8,334.39	0.00	0.00	0.00	90.0	0.00
03/10/2017	SINNESS, LOYE	PUTNAM MULTI CAP GROWTH A	0.00	2.35	0.00	2.35	90.0	2.12
03/10/2017	DONAI, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	2.88	0.00	2.88	90.0	2.59
03/29/2017	DONAI, JEREMY	VANGUARD HIGH DIVIDEND YIELD INDEX	9,000.00	0.00	22.00	-22.00	90.0	-19.80
03/02/2017	SINNESS, LOYE	VANGUARD SMALL-CAP INDEX FUND ADMIR	3,500.00	0.00	23.00	-23.00	90.0	-20.70
* MUTUAL FUNDS SUBTOTAL *			\$4,165.61	\$7.29	\$45.00	\$-37.71		\$-33.94
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/02/2017	SINNESS, LOYE	VANGUARD STAR FD VANGUARD TOTAL INT	1,941.60	60.61	22.00	38.61	90.0	34.75
* STOCKS SUBTOTAL *			\$1,941.60	\$60.61	\$22.00	\$38.61		\$34.75
*** BROKER TRANSACTIONS TOTAL ***			\$6,107.21	\$67.90	\$67.00	\$0.90		\$0.81

### SPLIT TRANSACTIONS

**BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333**

04/07/2017	EDISS, ALEXANDER	AM FUNDS GROWTH FUND OF AMERICA C	3,895.00	30.38	0.00	30.38	90.0	27.34
* JBB JEN-BRENT-BRION TRU-DONAI-LEE- SUBTOTAL *			\$3,895.00	\$30.38	\$0.00	\$30.38		\$27.34

**BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335**

04/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	111.00	0.61	0.00	0.61	90.0	0.55
04/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	58.00	0.32	0.00	0.32	90.0	0.29
04/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	73.00	0.40	0.00	0.40	90.0	0.36
04/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	74.00	0.41	0.00	0.41	90.0	0.37
04/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	60.00	0.33	0.00	0.33	90.0	0.30
04/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.28	0.00	0.28	90.0	0.25

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
04/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.28	0.00	0.28	90.0	0.25
04/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	111.00	0.61	0.00	0.61	90.0	0.55
04/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	65.00	0.36	0.00	0.36	90.0	0.32
04/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	57.00	0.31	0.00	0.31	90.0	0.28
04/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	72.00	0.40	0.00	0.40	90.0	0.36
04/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	74.00	0.41	0.00	0.41	90.0	0.37
04/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	65.00	0.36	0.00	0.36	90.0	0.32
04/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.28	0.00	0.28	90.0	0.25
04/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.28	0.00	0.28	90.0	0.25
03/03/2017	RASMUSSEN, CLINTON	HPI FUND V	98,000.00	1,176.00	0.00	1,176.00	90.0	1,058.40
03/15/2017	KUHN, KRISTINE	HPI FUND V	74,000.00	888.00	0.00	888.00	90.0	799.20
04/11/2017	JORGENSEN, BLAINE	HPI REAL ESTATE FUND V LLC	100,000.00	0.00	0.00	0.00	90.0	0.00
03/23/2017	JORGENSEN, BLAINE	LIGHTSTONE VALUE PLUS REIT III INC	100,000.00	1,400.00	0.00	1,400.00	90.0	1,260.00
03/28/2017	KUHN, KENNETH	LIGHTSTONE VALUE PLUS REIT III INC	87,000.00	1,218.00	0.00	1,218.00	90.0	1,096.20
<b>* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *</b>			<b>\$460,020.00</b>	<b>\$4,687.64</b>	<b>\$0.00</b>	<b>\$4,687.64</b>		<b>\$4,218.87</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$463,915.00</b>	<b>\$4,718.02</b>	<b>\$0.00</b>	<b>\$4,718.02</b>		<b>\$4,246.21</b>
<b><u>ADJUSTMENTS</u></b>								
04/15/2017		*CONCIERGE SVC: 189100 189101 18911	0.00					-150.00
04/15/2017		*CONCIERGE SVC: 189216 189221	0.00					-20.00
04/15/2017		*CONCIERGE SVC: 189801 189085 18908	0.00					-200.00
04/15/2017		*EO INS: CURRENT MONTH E&O	0.00					-300.00
04/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-1.50
04/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.90
04/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-947.40</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.81
Split Transactions	\$4,246.21
Override Transactions	\$0.00
Adjustments	\$-947.40
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,299.62
Year-to-date Gross	\$9,864.37
Year-to-date Earnings	\$8,538.65