

**COMMISSION STATEMENT****November 30, 2017**JOEL WOODS
1412 Blue Quail RunBROKER #: W143
BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/30/2017	PENNOCK, BARBARA	FLEX PLAN FEES	40,953.47	102.38	0.00	102.38	90.0	92.14
10/30/2017	MORGAN IRREV TRUST, CAROLYN	FLEX PLAN FEES	3,514.31	8.79	0.00	8.79	90.0	7.91
10/30/2017	BOREN, GENE	FLEX PLAN FEES	33,141.57	82.85	0.00	82.85	90.0	74.57
10/30/2017	BOREN, GENE	FLEX PLAN FEES	28,621.38	71.55	0.00	71.55	90.0	64.40
10/30/2017	EUBANKS, MILDRED	FLEX PLAN FEES	6,886.59	17.22	0.00	17.22	90.0	15.50
10/30/2017	HARTMAN, SAMMYE	FLEX PLAN FEES	20,731.22	51.83	0.00	51.83	90.0	46.65
11/10/2017	THREADGILL, HEATHER	FLEX PLAN FEES	25,000.00	200.00	0.00	200.00	90.0	180.00
11/10/2017	THREADGILL, MICHELLE	FLEX PLAN FEES	53,000.00	424.00	0.00	424.00	90.0	381.60
* RIA SUBTOTAL *			\$211,848.54	\$958.62	\$0.00	\$958.62		\$862.77
*** DIRECT TRANSACTIONS TOTAL ***			\$211,848.54	\$958.62	\$0.00	\$958.62		\$862.77

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$862.77
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$862.77
Year-to-date Gross	\$4,952.55
Year-to-date Earnings	\$4,457.32