

**COMMISSION STATEMENT****April 30, 2018**JAMES, M127 MAHER
3082 Quail Ridge CircleBROKER #: M127
BRANCH: JAMES MAHER

Rochester Hills, MI 48309-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/12/2018	HATHAWAY, RICHARD	RBC	405,897.88	1,011.96	0.00	1,011.96	90.0	910.76
* RIA SUBTOTAL *			\$405,897.88	\$1,011.96	\$0.00	\$1,011.96		\$910.76
*** DIRECT TRANSACTIONS TOTAL ***			\$405,897.88	\$1,011.96	\$0.00	\$1,011.96		\$910.76

BROKER COMMISSION TOTALS

Broker Transactions	\$910.76
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-59.40
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$851.36
Year-to-date Gross	\$2,113.30
Year-to-date Earnings	\$1,842.56

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed