



COMMISSION STATEMENT

January 22, 2018

SAMUEL GALATIOTO
134 Liberty Street
Tustin, CA 949

BROKER #: A37
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
01/12/2018	***-**-30IO - STILL, GORDON	GOODYEAR TIRE & RUBBER CO UNSOLICIT	11,881.76	115.90	22.04	93.86	70.0	65.70
	ACCOUNT #: LSR010055	SELL 352 Units @33.7550						
01/17/2018	***-**-660K - MILBURN, DON	MERCK & CO INC NEW COM	1,552.65	54.00	15.50	38.50	70.0	26.95
	ACCOUNT #: LSR010051	BUY 25 Units @62.1060						
01/12/2018	***-**-30IO - STILL, GORDON	PEABODY ENERGY CORP NEW COM	14,232.05	123.89	22.00	101.89	70.0	71.32
	ACCOUNT #: LSR010055	SELL 350 Units @40.6630						
01/12/2018	***-**-30IO - STILL, GORDON	THE SCOTTS MIRACLE GRO COMPANY CL A	5,519.22	54.00	16.02	37.98	70.0	26.59
	ACCOUNT #: LSR010055	SELL 51 Units @108.2200						
01/12/2018	***-**-85IO - GIBBONS, LEORA	THE SCOTTS MIRACLE GRO COMPANY CL A	16,224.60	81.50	18.00	63.50	70.0	44.45
	ACCOUNT #: LSR010059	SELL 150 Units @108.1640						
* STOCKS SUBTOTAL *			\$49,410.28	\$429.29	\$93.56	\$335.73		\$235.01
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
01/17/2018	***-**-660K - MILBURN, DON	GUGGENHEIM DEFINED PORTFOLIOS UNIT	14,994.67	299.87	24.00	275.87	70.0	193.11
	ACCOUNT #: LSR010051	BUY 1321 Units @11.3510						
01/17/2018	***-**-30IO - STILL, GORDON	GUGGENHEIM DEFINED PORTFOLIOS UNIT	29,989.34	599.73	24.00	575.73	70.0	403.01
	ACCOUNT #: LSR010055	BUY 2642 Units @11.3510						
01/17/2018	***-**-85IO - GIBBONS, LEORA	GUGGENHEIM DEFINED PORTFOLIOS UNIT	12,996.90	259.92	24.00	235.92	70.0	165.14
	ACCOUNT #: LSR010059	BUY 1145 Units @11.3510						
01/17/2018	***-**-2207 - BARELA, DIANE	GUGGENHEIM DEFINED PORTFOLIOS UNIT	28,990.45	579.76	24.00	555.76	70.0	389.03
	ACCOUNT #: LSR011405	BUY 2554 Units @11.3510						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$86,971.36	\$1,739.28	\$96.00	\$1,643.28		\$1,150.29
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/16/2018	***-**-49TR - CUZICK TRUST,	AIM DEVELOPING MARKETS FUND CLASS A	0.00	3.26	0.00	3.26	70.0	2.28
	ACCOUNT #: LSR010064	BUY 1 Units @0.0000						
01/22/2018	***-**-49TR - CUZICK TRUST,	AMERICAN BALANCED FUND-A	0.00	7.99	0.00	7.99	70.0	5.59
	ACCOUNT #: LSR010064	BUY 1 Units @0.0000						
01/22/2018	***-**-97IO - WAY, FBO DREW K	AMERICAN BALANCED FUND-A	0.00	0.83	0.00	0.83	70.0	0.58
	ACCOUNT #: LSR010105	BUY 1 Units @0.0000						
01/22/2018	***-**-69IO - WAY, DEBORAH	AMERICAN BALANCED FUND-A	0.00	0.28	0.00	0.28	70.0	0.20
	ACCOUNT #: LSR010169	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/22/2018	***-**-49TR - CUZICK TRUST, ACCOUNT #: LSR010064	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	10.71	0.00	10.71	70.0	7.50
01/22/2018	***-**-49TR - CUZICK TRUST, ACCOUNT #: LSR010064	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	8.99	0.00	8.99	70.0	6.29
01/22/2018	***-**-2207 - BARELA, DIANE ACCOUNT #: 091128562	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	179.69	0.00	179.69	70.0	125.78
01/22/2018	***-**-97IO - WAY, FBO DREW K ACCOUNT #: LSR010105	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	9.11	0.00	9.11	70.0	6.38
01/22/2018	***-**-97IN - WAY, DREW ACCOUNT #: LSR010106	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	1.88	0.00	1.88	70.0	1.32
01/22/2018	***-**-69IO - WAY, DEBORAH ACCOUNT #: LSR010169	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	2.64	0.00	2.64	70.0	1.85
01/22/2018	***-**-71IR - RALSTON, JESSE ACCOUNT #: LSR010206	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	4.12	0.00	4.12	70.0	2.88
01/22/2018	***-**-71IO - JACOBSON, DEBRA ACCOUNT #: LSR010305	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	64.47	0.00	64.47	70.0	45.13
01/22/2018	***-**-2207 - BARELA, DIANE ACCOUNT #: LSR011405	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	32.09	0.00	32.09	70.0	22.46
01/22/2018	***-**-31IR - PLATT, ROGER ACCOUNT #: LSR011466	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	3.65	0.00	3.65	70.0	2.56
01/22/2018	***-**-31IO - PLATT, ROGER ACCOUNT #: LSR011467	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	27.01	0.00	27.01	70.0	18.91
01/22/2018	***-**-97IO - WAY, FBO DREW K ACCOUNT #: LSR010105	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	3.04	0.00	3.04	70.0	2.13
01/22/2018	***-**-97IN - WAY, DREW ACCOUNT #: LSR010106	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	0.63	0.00	0.63	70.0	0.44
01/22/2018	***-**-69IO - WAY, DEBORAH ACCOUNT #: LSR010169	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	70.0	0.62
01/22/2018	***-**-71IR - RALSTON, JESSE ACCOUNT #: LSR010206	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	1.37	0.00	1.37	70.0	0.96
01/22/2018	***-**-71IO - JACOBSON, DEBRA ACCOUNT #: LSR010305	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	21.49	0.00	21.49	70.0	15.04



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/22/2018	***-**-2207 - BARELA, DIANE	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	10.70	0.00	10.70	70.0	7.49
	ACCOUNT #: LSR011405	BUY 1 Units @0.0000						
01/22/2018	***-**-31IR - PLATT, ROGER	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	1.22	0.00	1.22	70.0	0.85
	ACCOUNT #: LSR011466	BUY 1 Units @0.0000						
01/22/2018	***-**-31IO - PLATT, ROGER	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	9.00	0.00	9.00	70.0	6.30
	ACCOUNT #: LSR011467	BUY 1 Units @0.0000						
01/16/2018	***-**-91IO - BROWN, FBO	IVY SCIENCE AND TECHNOLOGY FUND CLA	0.00	3.14	0.00	3.14	70.0	2.20
	ACCOUNT #: LSR010370	BUY 1 Units @0.0000						
01/22/2018	***-**-97IO - WAY, FBO DREW K	NEW PERSPECTIVE FUND-A	0.00	0.86	0.00	0.86	70.0	0.60
	ACCOUNT #: LSR010105	BUY 1 Units @0.0000						
01/22/2018	***-**-69IO - WAY, DEBORAH	NEW PERSPECTIVE FUND-A	0.00	0.29	0.00	0.29	70.0	0.20
	ACCOUNT #: LSR010169	BUY 1 Units @0.0000						
01/22/2018	***-**-49TR - CUZICK TRUST,	SMALLCAP WORLD FUND-A	0.00	4.84	0.00	4.84	70.0	3.39
	ACCOUNT #: LSR010064	BUY 1 Units @0.0000						
01/22/2018	***-**-97IO - WAY, FBO DREW K	SMALLCAP WORLD FUND-A	0.00	0.82	0.00	0.82	70.0	0.57
	ACCOUNT #: LSR010105	BUY 1 Units @0.0000						
01/22/2018	***-**-69IO - WAY, DEBORAH	SMALLCAP WORLD FUND-A	0.00	0.27	0.00	0.27	70.0	0.19
	ACCOUNT #: LSR010169	BUY 1 Units @0.0000						
01/22/2018	***-**-49TR - CUZICK TRUST,	THE GROWTH FUND OF AMERICA-A	0.00	4.56	0.00	4.56	70.0	3.19
	ACCOUNT #: LSR010064	BUY 1 Units @0.0000						
01/22/2018	***-**-49TR - CUZICK TRUST,	THE INCOME FUND OF AMERICA-A	0.00	3.92	0.00	3.92	70.0	2.74
	ACCOUNT #: LSR010064	BUY 1 Units @0.0000						
01/22/2018	***-**-97IO - WAY, FBO DREW K	THE NEW ECONOMY FUND-A	0.00	0.94	0.00	0.94	70.0	0.66
	ACCOUNT #: LSR010105	BUY 1 Units @0.0000						
01/22/2018	***-**-69IO - WAY, DEBORAH	THE NEW ECONOMY FUND-A	0.00	0.31	0.00	0.31	70.0	0.22
	ACCOUNT #: LSR010169	BUY 1 Units @0.0000						
01/22/2018	***-**-91IO - BROWN, FBO	THE NEW ECONOMY FUND-A	0.00	1.58	0.00	1.58	70.0	1.11
	ACCOUNT #: LSR010370	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$426.58	\$0.00	\$426.58		\$298.61
*** BROKER TRANSACTIONS TOTAL ***			\$136,381.64	\$2,595.15	\$189.56	\$2,405.59		\$1,683.91



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$1,683.91
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$70.55
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,754.46
						Year-to-date Earnings		\$1,754.46