

COMMISSION STATEMENT

January 31, 2018

HENRY HACKEL

179 EAST 70TH STREET

APT 6B

NEW YORK, NY 10021

BROKER #: 30

BRANCH: HOME OFFICE

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/02/2018	00069036587	ARDAFYIO, IMANI		THE GROWTH FUND OF AMERICA-529A	B	45.00	1.91	0.00	1.91 80.0 1.53
12/29/2017	00084739455	SHABAZZ, JAHZARA		AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00 80.0 1.60
12/29/2017	00085890289	DAS, SPANDAN		AMERICAN HIGH-INCOME TRUST-529A	B	150.00	4.13	0.00	4.13 80.0 3.30
12/29/2017	00085890289	DAS, SPANDAN		NEW WORLD FUND-529A	B	150.00	4.13	0.00	4.13 80.0 3.30
12/29/2017	00085890289	DAS, SPANDAN		SMALLCAP WORLD FUND-529A	B	150.00	4.13	0.00	4.13 80.0 3.30
12/29/2017	00085890289	DAS, SPANDAN		THE GROWTH FUND OF AMERICA-529A	B	150.00	4.13	0.00	4.13 80.0 3.30
12/29/2017	00085890289	DAS, SPANDAN		THE INVESTMENT COMPANY OF AMERICA-5	B	150.00	4.13	0.00	4.13 80.0 3.30
01/18/2018	00086531491	DAS, SPANDAN		AMERICAN HIGH-INCOME TRUST-529A	B	100.00	2.75	0.00	2.75 80.0 2.20
01/18/2018	00086531491	DAS, SPANDAN		NEW WORLD FUND-529A	B	100.00	2.75	0.00	2.75 80.0 2.20
01/18/2018	00086531491	DAS, SPANDAN		SMALLCAP WORLD FUND-529A	B	100.00	2.75	0.00	2.75 80.0 2.20
01/18/2018	00086531491	DAS, SPANDAN		THE GROWTH FUND OF AMERICA-529A	B	250.00	6.88	0.00	6.88 80.0 5.50
01/18/2018	00086531491	DAS, SPANDAN		THE INVESTMENT COMPANY OF AMERICA-5	B	200.00	5.50	0.00	5.50 80.0 4.40
01/03/2018	00087121704	JOGLEKAR, SHAYNE		AMERICAN BALANCED FUND-529A	B	500.00	13.75	0.00	13.75 80.0 11.00
01/03/2018	00087122294	JOGLEKAR, SHAYNE		AMERICAN BALANCED FUND-529A	B	500.00	13.75	0.00	13.75 80.0 11.00
01/22/2018	00087149656	CHANDELA, AJAY		AMERICAN BALANCED FUND-529C	B	1,000.00	10.00	0.00	10.00 80.0 8.00
01/22/2018	00087149667	CHANDELA, AJAY		NEW WORLD FUND-529C	B	1,000.00	10.00	0.00	10.00 80.0 8.00
01/22/2018	00087149689	CHANDELA, AJAY		NEW WORLD FUND-529C	B	1,000.00	10.00	0.00	10.00 80.0 8.00
01/22/2018	00087149729	CHANDELA, AJAY		AMERICAN BALANCED FUND-529C	B	1,000.00	10.00	0.00	10.00 80.0 8.00
01/08/2018	00087205674	MORRELL, CHARLES		THE GROWTH FUND OF AMERICA-529A	B	300.00	12.75	0.00	12.75 80.0 10.20
12/28/2017	00087630417	SHABAZZ, JAHZARA		AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00 80.0 1.60
01/16/2018	00089081756	FOSTER, JAKE		AMERICAN FUND-02629M587	B	200.00	7.00	0.00	7.00 80.0 5.60
01/16/2018	00089084643	FOSTER, JAKE		AMERICAN FUND-02629M587	B	200.00	7.00	0.00	7.00 80.0 5.60
01/10/2018	01204161104	PASQUALONE,		THE INVESTMENT COMPANY OF AMERICA-5	B	150.00	7.50	0.00	7.50 80.0 6.00
01/10/2018	01204463227	PASQUALONE,		THE GROWTH FUND OF AMERICA-529A	B	200.00	10.00	0.00	10.00 80.0 8.00
01/10/2018	01205067051	PASQUALONE,		NEW PERSPECTIVE FUND-529A	B	200.00	10.00	0.00	10.00 80.0 8.00
01/03/2018	QGW023731	REILLY, DAVID		THE GROWTH FUND OF AMERICA-A	S	-5,300.53	45.03	0.00	45.03 80.0 36.02
01/02/2018	QGW026650	ENGLEWOOD HOSPITAL		DFA TAX ADVANTAGE CORE EQUITY II FU S		-30,178.50	220.07	0.00	220.07 80.0 176.06
				* MUTUAL FUNDS SUBTOTAL *		\$-27,284.03	\$434.04	\$0.00	\$434.04 \$347.21

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/29/2017	75500349	POMP, BARRY		AMERICAN AIRLINES GROUP INC	S	10,500.00	20.00	0.00	20.00 80.0 16.00
01/10/2018	75500426	MIGLIORATO, DOMENICK		INTERNATIONAL BUSINESS MACHINES COR B		81,980.00	40.00	0.00	40.00 80.0 32.00
01/10/2018	75500426	MIGLIORATO, DOMENICK		SELECT SECTOR SPDR TRUST THE FINANC B		57,671.36	60.00	0.00	60.00 80.0 48.00
01/10/2018	75500426	MIGLIORATO, DOMENICK		STANDARD & POORS MIDCAP 400 SPDR S& B		105,399.90	40.00	0.00	40.00 80.0 32.00
01/12/2018	75500426	MIGLIORATO, DOMENICK		FACEBOOK INC CL A	B	90,397.00	50.00	0.00	50.00 80.0 40.00
01/12/2018	75500426	MIGLIORATO, DOMENICK		SELECT SECTOR SPDR TRUST THE FINANC B		29,145.01	40.00	0.00	40.00 80.0 32.00
01/17/2018	75500426	MIGLIORATO, DOMENICK		APPLE INC	S	87,803.31	50.00	0.00	50.00 80.0 40.00
01/19/2018	75500426	MIGLIORATO, DOMENICK		OVERSTOCK COM INC DEL COM	B	37,492.00	50.00	0.00	50.00 80.0 40.00
01/19/2018	75500426	MIGLIORATO, DOMENICK		OVERSTOCK COM INC DEL COM	S	-37,492.00	-50.00	0.00	-50.00 80.0 -40.00 C
01/19/2018	75500426	MIGLIORATO, DOMENICK		OVERSTOCK COM INC DEL COM	B	37,492.00	50.00	0.00	50.00 80.0 40.00
01/19/2018	75500426	MIGLIORATO, DOMENICK		SPDR S&P 500 ETF TRUST	B	268,000.00	40.00	0.00	40.00 80.0 32.00
01/19/2018	75500426	MIGLIORATO, DOMENICK		SPDR S&P 500 ETF TRUST	B	536,000.00	80.00	0.00	80.00 80.0 64.00
01/12/2018	75500458	HACKEL, HENRY		SEADRILL LIMITED SHS	S	8,841.60	0.00	0.00	0.00 80.0 0.00
01/02/2018	75500635	CABO CANYON LLC		INTIVA BIOPHARMA INC COMMON STOCK	S	550.00	12.50	0.00	12.50 80.0 10.00
01/03/2018	75500635	CABO CANYON LLC		INTIVA BIOPHARMA INC COMMON STOCK	S	1,650.00	50.00	0.00	50.00 80.0 40.00
01/08/2018	75500635	CABO CANYON LLC		HIVE BLOCKCHAIN TECHNOLOGIS LTD COM S		243.95	50.00	0.00	50.00 80.0 40.00
01/17/2018	75500635	CABO CANYON LLC		INTIVA BIOPHARMA INC COMMON STOCK	S	730.00	50.00	0.00	50.00 80.0 40.00
01/17/2018	75500635	CABO CANYON LLC		M101 CORP COMMON STOCK	S	845.00	50.00	0.00	50.00 80.0 40.00
01/11/2018	75501276	GALLO, ANTONIO		APOLLO COMMERCIAL REAL ESTATE FINAN S		1,194.71	20.00	0.00	20.00 80.0 16.00
01/11/2018	75501276	GALLO, ANTONIO		FORD MOTOR CO PAR \$0.01	S	1,443.74	20.00	0.00	20.00 80.0 16.00
01/11/2018	75501276	GALLO, ANTONIO		KKR & CO L P DEL COM UNITS	S	1,952.66	20.00	0.00	20.00 80.0 16.00
01/11/2018	75501276	GALLO, ANTONIO		SHIP FINANCE INTERNATIONAL LIMITED	S	1,791.13	20.00	0.00	20.00 80.0 16.00
01/11/2018	75501276	GALLO, ANTONIO		VECTOR GROUP LTD	S	1,077.63	20.00	0.00	20.00 80.0 16.00
01/12/2018	75501276	GALLO, ANTONIO		TARGET CORP	S	1,526.00	20.00	0.00	20.00 80.0 16.00
01/29/2018	75501276	GALLO, ANTONIO		IRON MOUNTAIN INCORPORATED REIT NEW B		9,978.87	20.00	0.00	20.00 80.0 16.00
01/18/2018	75501455	HACKEL, HENRY		MPLX LP COM UNIT REPSTG LTD PARTNER S		451,231.20	0.00	0.00	0.00 80.0 0.00
01/18/2018	75501455	HACKEL, HENRY		MPLX LP COM UNIT REPSTG LTD PARTNER B		-451,231.00	0.00	0.00	0.00 80.0 0.00 C
01/18/2018	75501455	HACKEL, HENRY		MPLX LP COM UNIT REPSTG LTD PARTNER S		451,394.04	0.00	0.00	0.00 80.0 0.00
01/02/2018	QGW001018	GRAYMAN, EZRA		ENSCO PLC NEW CLASS A ORD	S	-15,400.00	10.00	0.00	10.00 80.0 8.00
01/10/2018	QGW001018	GRAYMAN, EZRA		EMISPHERE TECHNOLOGIES INC	S	-3,800.00	10.00	0.00	10.00 80.0 8.00
01/16/2018	QGW001018	GRAYMAN, EZRA		EMISPHERE TECHNOLOGIES INC	S	-3,799.00	10.00	0.00	10.00 80.0 8.00
01/16/2018	QGW001018	GRAYMAN, EZRA		ENTERCOM COMMUNICATIONS CORP CLASS	S	-28,625.00	10.00	0.00	10.00 80.0 8.00
01/17/2018	QGW001018	GRAYMAN, EZRA		GASTAR EXPL INC NEW COM	B	11,700.00	10.00	0.00	10.00 80.0 8.00
01/25/2018	QGW001018	GRAYMAN, EZRA		GASTAR EXPL INC NEW COM	S	-13,300.00	10.00	0.00	10.00 80.0 8.00
01/25/2018	QGW001018	GRAYMAN, EZRA		GASTAR EXPL INC NEW COM	S	13,300.00	-10.00	0.00	-10.00 80.0 -8.00 C
01/25/2018	QGW001018	GRAYMAN, EZRA		GASTAR EXPL INC NEW COM	S	-13,300.00	10.00	0.00	10.00 80.0 8.00
01/29/2018	QGW001018	GRAYMAN, EZRA		ENTERCOM COMMUNICATIONS CORP CLASS	B	27,375.00	10.00	0.00	10.00 80.0 8.00
01/05/2018	QGW001042	GRAYMAN, EZRA		BOARDWALK PIPELINE PARTNERS LP COM	B	12,980.00	10.00	0.00	10.00 80.0 8.00
12/29/2017	QGW001166	KOONDEL		JOEY NEW YORK INC NEW COM	B	150.00	52.50	0.00	52.50 80.0 42.00
01/05/2018	QGW001166	KOONDEL		ALPHABET INC CLASS C CAPITAL STOCK	B	86,000.00	10.85	0.00	10.85 80.0 8.68

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/12/2018	QGW001166 KOONDEL	ALPHABET INC CLASS C CAPITAL STOCK	B	261,000.00	12.55	0.00	12.55	80.0	10.04
01/12/2018	QGW001166 KOONDEL	APPLE INC	S	-70,000.00	13.40	0.00	13.40	80.0	10.72
01/16/2018	QGW001166 KOONDEL	FIRST REP BK SAN FRANCISCO CALIF NE B	B	12,890.00	14.25	0.00	14.25	80.0	11.40
01/16/2018	QGW001166 KOONDEL	FLAHERTY & CRUMRINE PFD INCOME FD I B	B	7,200.00	14.25	0.00	14.25	80.0	11.40
01/16/2018	QGW001166 KOONDEL	OPKO HEALTH INC	B	11,672.00	31.25	0.00	31.25	80.0	25.00
01/16/2018	QGW001166 KOONDEL	POWERSHARES PREFERRED PORTFOLIO	B	7,389.95	14.25	0.00	14.25	80.0	11.40
01/17/2018	QGW001166 KOONDEL	OPKO HEALTH INC	B	8,774.00	27.00	0.00	27.00	80.0	21.60
01/19/2018	QGW001166 KOONDEL	ALPHABET INC CLASS C CAPITAL STOCK	S	-324,000.00	8.40	0.00	8.40	80.0	6.72
01/19/2018	QGW001166 KOONDEL	ALPHABET INC CLASS C CAPITAL STOCK	S	-328,000.00	8.40	0.00	8.40	80.0	6.72
01/19/2018	QGW001166 KOONDEL	APPLE INC	B	725,000.00	52.50	0.00	52.50	80.0	42.00
01/19/2018	QGW001166 KOONDEL	APPLE INC	S	-210,000.00	20.20	0.00	20.20	80.0	16.16
01/19/2018	QGW001166 KOONDEL	ICONIX BRAND GROUP INC COM	S	-1,550.00	18.50	0.00	18.50	80.0	14.80
01/19/2018	QGW001166 KOONDEL	REALTY INCOME CORP	B	16,500.00	12.55	0.00	12.55	80.0	10.04
01/22/2018	QGW001166 KOONDEL	FIVE OAKS INVESTMENT CORP COM	B	2,317.75	15.40	0.00	15.40	80.0	12.32
01/24/2018	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	S	-8,534.00	13.61	0.00	13.61	80.0	10.89
01/26/2018	QGW001166 KOONDEL	ALPHABET INC CLASS C CAPITAL STOCK	B	80,000.00	10.85	0.00	10.85	80.0	8.68
01/26/2018	QGW001166 KOONDEL	BANK OF AMERICA CORP	S	-250,000.00	91.25	0.00	91.25	80.0	73.00
01/26/2018	QGW001166 KOONDEL	BANK OF AMERICA CORP	S	-186,000.00	54.75	0.00	54.75	80.0	43.80
01/26/2018	QGW001166 KOONDEL	CENTERPOINT ENERGY INC	S	-14,120.05	14.25	0.00	14.25	80.0	11.40
01/26/2018	QGW001166 KOONDEL	CENTURYTEL INC	B	8,929.95	14.25	0.00	14.25	80.0	11.40
01/03/2018	QGW001174 KOONDEL	OPKO HEALTH INC	S	-512.00	10.85	0.00	10.85	80.0	8.68
01/04/2018	QGW001174 KOONDEL	OPKO HEALTH INC	S	-4,608.00	17.65	0.00	17.65	80.0	14.12
01/05/2018	QGW001174 KOONDEL	OPKO HEALTH INC	B	4,960.00	18.50	0.00	18.50	80.0	14.80
01/19/2018	QGW001174 KOONDEL	INTELLIPHARMACEUTICS INTERNATIONAL	S	-1,010.00	18.50	0.00	18.50	80.0	14.80
01/26/2018	QGW001174 KOONDEL	OPKO HEALTH INC	S	-2,565.00	14.25	0.00	14.25	80.0	11.40
01/26/2018	QGW001174 KOONDEL	OPKO HEALTH INC	B	4,850.00	18.50	0.00	18.50	80.0	14.80
01/29/2018	QGW001174 KOONDEL	INTELLIPHARMACEUTICS INTERNATIONAL	B	820.00	18.50	0.00	18.50	80.0	14.80
01/12/2018	QGW001190 ASSOCIATES, BKI	ALPHABET INC CLASS C CAPITAL STOCK	B	219,000.00	11.70	0.00	11.70	80.0	9.36
01/12/2018	QGW001190 ASSOCIATES, BKI	ALPHABET INC CLASS C CAPITAL STOCK	S	-221,500.00	11.70	0.00	11.70	80.0	9.36
12/29/2017	QGW001737 HEATH, KIMBERLY	ICAD INC COM NEW	B	6,879.00	20.00	0.00	20.00	80.0	16.00
01/08/2018	QGW001828 BRIMBERG BIOTECH	EXELIXIS INC	B	14,602.50	50.00	0.00	50.00	80.0	40.00
01/29/2018	QGW001828 BRIMBERG BIOTECH	ESSA PHARMA INC COM STK	B	4,260.00	75.00	0.00	75.00	80.0	60.00
01/29/2018	QGW001828 BRIMBERG BIOTECH	EXELIXIS INC	S	-15,817.10	100.00	0.00	100.00	80.0	80.00
01/18/2018	QGW004905 CAPRARO, DAVID	UNITI GROUP INC COMMON STOCK	S	-24,408.15	45.00	0.00	45.00	80.0	36.00
01/19/2018	QGW004905 CAPRARO, DAVID	FRONTIER COMMUNICATIONS CORPORATION	B	160,000.00	230.00	0.00	230.00	80.0	184.00
01/19/2018	QGW004905 CAPRARO, DAVID	UNITI GROUP INC COMMON STOCK	S	-24,093.15	45.00	0.00	45.00	80.0	36.00
01/23/2018	QGW004905 CAPRARO, DAVID	INVITAE CORP COM	B	7,499.90	40.00	0.00	40.00	80.0	32.00
01/25/2018	QGW004905 CAPRARO, DAVID	INVITAE CORP COM	S	-7,174.00	40.00	0.00	40.00	80.0	32.00
12/27/2017	QGW005084 FRIED, ALLAN	CONSOLIDATED COMMUNICATIONS HLDGS I B	B	-122,400.00	-300.00	0.00	-300.00	80.0	-240.00 C
12/27/2017	QGW005084 FRIED, ALLAN	CONSOLIDATED COMMUNICATIONS HLDGS I B	B	122,400.00	300.00	0.00	300.00	80.0	240.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/28/2017	QGW005084	FRIED, ALLAN		J C PENNEY CO INC	S	-3,284.93	40.00	0.00	40.00 80.0 32.00
12/29/2017	QGW005084	FRIED, ALLAN		CONSOLIDATED COMMUNICATIONS HLDGS I B		122,397.00	300.00	0.00	300.00 80.0 240.00
01/03/2018	QGW005084	FRIED, ALLAN		CONSOLIDATED COMMUNICATIONS HLDGS I B		124,000.00	300.00	0.00	300.00 80.0 240.00
01/11/2018	QGW005084	FRIED, ALLAN		MADALENA ENERGY INC COM ISIN#CA5562 B		47,500.00	7,500.00	0.00	7,500.00 80.0 6,000.00
01/11/2018	QGW005084	FRIED, ALLAN		MADALENA ENERGY INC COM ISIN#CA5562 B		9,604.50	20.22	0.00	20.22 80.0 16.18
01/11/2018	QGW005084	FRIED, ALLAN		MADALENA ENERGY INC COM ISIN#CA5562 B		57,104.50	150.00	0.00	150.00 80.0 120.00
01/11/2018	QGW005084	FRIED, ALLAN		MADALENA ENERGY INC COM ISIN#CA5562 B		-47,500.00	-7,500.00	0.00	-7,500.00 80.0 -6,000.00 C
01/11/2018	QGW005084	FRIED, ALLAN		MADALENA ENERGY INC COM ISIN#CA5562 B		-9,604.50	-20.22	0.00	-20.22 80.0 -16.18 C
01/17/2018	QGW005084	FRIED, ALLAN		MACKINAC FINL CORP COM	S	-84,126.60	155.79	0.00	155.79 80.0 124.63
12/28/2017	QGW005936	RABBITO, RICHARD		MCIG INC COM	B	369.00	35.00	0.00	35.00 80.0 28.00
01/02/2018	QGW005936	RABBITO, RICHARD		AURIS MED HLDG AG COM ISIN#CH024937 S		-566.00	35.00	0.00	35.00 80.0 28.00
01/02/2018	QGW005936	RABBITO, RICHARD		MCIG INC COM	S	-410.00	35.00	0.00	35.00 80.0 28.00
01/03/2018	QGW005936	RABBITO, RICHARD		COBALT INTL ENERGY INC COM NEW	S	-113.90	10.00	0.00	10.00 80.0 8.00
01/03/2018	QGW005936	RABBITO, RICHARD		MARIJUANA CO AMER INC COM STK	S	-297.50	10.00	0.00	10.00 80.0 8.00
12/29/2017	QGW006652	WEISSMAN, PHYLLIS		INTREXON CORP COM	B	1,179.65	50.00	0.00	50.00 80.0 40.00
12/29/2017	QGW008203	MCGOWAN, DIANE		MARATHON OIL CORP	S	-10,194.06	100.00	0.00	100.00 80.0 80.00
12/29/2017	QGW008203	MCGOWAN, DIANE		TEXAS INSTRUMENTS INCORPORATED	S	-10,480.02	80.73	0.00	80.73 80.0 64.58
12/28/2017	QGW009730	SHARTSIS, JAY		ROYAL GOLD INC	B	15,817.60	20.00	0.00	20.00 80.0 16.00
12/29/2017	QGW009730	SHARTSIS, JAY		APPLIED OPTOELECTRONICS INC COM	S	-18,880.00	20.00	0.00	20.00 80.0 16.00
12/29/2017	QGW009730	SHARTSIS, JAY		BARCLAYS BANK PLC IPATH S&P 500 VIX B		27,920.00	20.00	0.00	20.00 80.0 16.00
12/29/2017	QGW009730	SHARTSIS, JAY		ROYAL GOLD INC	S	-16,420.10	20.00	0.00	20.00 80.0 16.00
12/29/2017	QGW009730	SHARTSIS, JAY		ZAGG INC	S	-27,750.00	20.00	0.00	20.00 80.0 16.00
01/02/2018	QGW009730	SHARTSIS, JAY		APPLIED OPTOELECTRONICS INC COM	B	19,175.00	20.00	0.00	20.00 80.0 16.00
01/02/2018	QGW009730	SHARTSIS, JAY		CONNS INC COM	B	17,775.00	20.00	0.00	20.00 80.0 16.00
01/03/2018	QGW009730	SHARTSIS, JAY		PROSHARES TR II PROSHARES SHORT VIX B		27,108.00	20.00	0.00	20.00 80.0 16.00
01/03/2018	QGW009730	SHARTSIS, JAY		ZAGG INC	B	20,600.00	20.00	0.00	20.00 80.0 16.00
01/08/2018	QGW009730	SHARTSIS, JAY		CITIGROUP INC COM	B	55,875.00	20.00	0.00	20.00 80.0 16.00
01/10/2018	QGW009730	SHARTSIS, JAY		OVERSTOCK COM INC DEL COM	S	-18,000.00	20.00	0.00	20.00 80.0 16.00
01/12/2018	QGW009730	SHARTSIS, JAY		KOHL'S CORP COM	S	-31,705.00	20.00	0.00	20.00 80.0 16.00
01/16/2018	QGW009730	SHARTSIS, JAY		ZAGG INC	B	7,750.00	20.00	0.00	20.00 80.0 16.00
01/19/2018	QGW009730	SHARTSIS, JAY		AMAZON.COM INC	S	-113,000.00	20.00	0.00	20.00 80.0 16.00
01/19/2018	QGW009730	SHARTSIS, JAY		AMAZON.COM INC	B	120,000.00	20.00	0.00	20.00 80.0 16.00
01/19/2018	QGW009730	SHARTSIS, JAY		COOPER COS INC NEW	S	-46,000.00	20.00	0.00	20.00 80.0 16.00
01/19/2018	QGW009730	SHARTSIS, JAY		UNITED CONTINENTAL HLDGS INC	S	-30,000.00	20.00	0.00	20.00 80.0 16.00
01/19/2018	QGW009730	SHARTSIS, JAY		UNITED CONTINENTAL HLDGS INC	B	21,000.00	20.00	0.00	20.00 80.0 16.00
01/19/2018	QGW009730	SHARTSIS, JAY		WESTERN DIGITAL CORP	B	123,000.00	20.00	0.00	20.00 80.0 16.00
01/19/2018	QGW009730	SHARTSIS, JAY		WESTERN DIGITAL CORP	S	-112,500.00	20.00	0.00	20.00 80.0 16.00
01/22/2018	QGW009730	SHARTSIS, JAY		COOPER COS INC NEW	B	48,302.00	20.00	0.00	20.00 80.0 16.00
01/22/2018	QGW009730	SHARTSIS, JAY		UNITED CONTINENTAL HLDGS INC	B	15,154.00	20.00	0.00	20.00 80.0 16.00
01/29/2018	QGW009730	SHARTSIS, JAY		NETFLIX COM INC	B	28,368.00	20.00	0.00	20.00 80.0 16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/28/2017	QGW012809	GILPIN, DOUGLAS	PROSHARES TRUST II PROSHARES ULTRA B	5,015.00	20.00	0.00	20.00	80.0	16.00
12/29/2017	QGW012809	GILPIN, DOUGLAS	POTASH CORP OF SASKATCHEWAN INC CAN B	10,339.80	20.00	0.00	20.00	80.0	16.00
01/02/2018	QGW012809	GILPIN, DOUGLAS	GENERAL MILLS INC S	-14,731.25	20.00	0.00	20.00	80.0	16.00
01/02/2018	QGW012809	GILPIN, DOUGLAS	QIWI PLC SPONSORED ADR REPSTG CL B B	8,470.00	20.00	0.00	20.00	80.0	16.00
01/04/2018	QGW012809	GILPIN, DOUGLAS	BARCLAYS BANK PLC IPATH S&P 500 VIX B	10,490.60	20.00	0.00	20.00	80.0	16.00
01/04/2018	QGW012809	GILPIN, DOUGLAS	NUTRIEN LTD REGISTERED SHS ISIN#CA6 S	-11,132.54	20.00	0.00	20.00	80.0	16.00
01/22/2018	QGW012809	GILPIN, DOUGLAS	FIAT CHRYSLER AUTOMOBILES N V B	8,459.50	20.00	0.00	20.00	80.0	16.00
01/24/2018	QGW012809	GILPIN, DOUGLAS	GENERAL ELECTRIC CO B	6,563.20	20.00	0.00	20.00	80.0	16.00
01/29/2018	QGW012809	GILPIN, DOUGLAS	CA INC B	14,329.84	20.00	0.00	20.00	80.0	16.00
01/29/2018	QGW012809	GILPIN, DOUGLAS	FIAT CHRYSLER AUTOMOBILES N V S	-8,578.50	20.00	0.00	20.00	80.0	16.00
01/29/2018	QGW012809	GILPIN, DOUGLAS	QIWI PLC SPONSORED ADR REPSTG CL B S	-8,403.40	20.00	0.00	20.00	80.0	16.00
01/04/2018	QGW013005	QUINONES, ALBERT	AT&T INC B	1,144.17	20.00	0.00	20.00	80.0	16.00
01/04/2018	QGW013005	QUINONES, ALBERT	HCP INC B	761.07	20.00	0.00	20.00	80.0	16.00
01/04/2018	QGW013005	QUINONES, ALBERT	NAVIENT CORPORATION COM B	696.44	20.00	0.00	20.00	80.0	16.00
01/04/2018	QGW013005	QUINONES, ALBERT	TEVA PHARMACEUTICAL INDUSTRIES LIM B	983.50	20.00	0.00	20.00	80.0	16.00
01/04/2018	QGW013195	GALLO, ANTONIO	INTERNATIONAL PAPER CO B	12,935.24	20.00	0.00	20.00	80.0	16.00
01/04/2018	QGW013195	GALLO, ANTONIO	KKR & CO L P DEL COM UNITS S	-25,967.85	20.00	0.00	20.00	80.0	16.00
01/04/2018	QGW013195	GALLO, ANTONIO	WEYERHAEUSER CO B	12,926.99	20.00	0.00	20.00	80.0	16.00
01/04/2018	QGW013484	HACKEL, DEBBIE	FRANCESCAS HOLDINGS CORPORATION COM S	-9,675.00	10.00	0.00	10.00	80.0	8.00
01/05/2018	QGW013484	HACKEL, DEBBIE	FRANCESCAS HOLDINGS CORPORATION COM B	9,564.00	10.00	0.00	10.00	80.0	8.00
01/05/2018	QGW013484	HACKEL, DEBBIE	TRINITY INDUSTRIES INC B	36,748.00	10.00	0.00	10.00	80.0	8.00
01/05/2018	QGW013484	HACKEL, DEBBIE	TRINITY INDUSTRIES INC S	-36,835.00	10.00	0.00	10.00	80.0	8.00
01/08/2018	QGW013484	HACKEL, DEBBIE	ABERCROMBIE & FITCH CO-CL A B	18,000.00	10.00	0.00	10.00	80.0	8.00
01/08/2018	QGW013484	HACKEL, DEBBIE	ABERCROMBIE & FITCH CO-CL A S	-18,082.50	10.00	0.00	10.00	80.0	8.00
01/08/2018	QGW013484	HACKEL, DEBBIE	AMERICAN EAGLE OUTFITTERS INC NEW C B	18,000.00	10.00	0.00	10.00	80.0	8.00
01/08/2018	QGW013484	HACKEL, DEBBIE	AMERICAN EAGLE OUTFITTERS INC NEW C S	-17,750.00	10.00	0.00	10.00	80.0	8.00
01/08/2018	QGW013484	HACKEL, DEBBIE	HIBBETT SPORTS INC COM S	-33,334.95	10.00	0.00	10.00	80.0	8.00
01/08/2018	QGW013484	HACKEL, DEBBIE	J C PENNEY CO INC S	-7,380.00	10.00	0.00	10.00	80.0	8.00
01/08/2018	QGW013484	HACKEL, DEBBIE	J C PENNEY CO INC B	7,280.20	10.00	0.00	10.00	80.0	8.00
01/09/2018	QGW013484	HACKEL, DEBBIE	HIBBETT SPORTS INC COM B	32,110.05	10.00	0.00	10.00	80.0	8.00
01/10/2018	QGW013484	HACKEL, DEBBIE	TIFFANY & CO NEW S	-31,758.00	10.00	0.00	10.00	80.0	8.00
01/10/2018	QGW013484	HACKEL, DEBBIE	TIFFANY & CO NEW B	31,785.99	10.00	0.00	10.00	80.0	8.00
01/17/2018	QGW013484	HACKEL, DEBBIE	BENCHMARK ELECTRONICS INC S	-3,085.00	10.00	0.00	10.00	80.0	8.00
01/17/2018	QGW013484	HACKEL, DEBBIE	CELLECTIS S A SPONS ADR ISIN#US1511 S	-14,759.60	10.00	0.00	10.00	80.0	8.00
01/17/2018	QGW013484	HACKEL, DEBBIE	CELLECTIS S A SPONS ADR ISIN#US1511 B	14,903.00	10.00	0.00	10.00	80.0	8.00
01/17/2018	QGW013484	HACKEL, DEBBIE	LA Z BOY INC COM S	-31,685.00	10.00	0.00	10.00	80.0	8.00
01/17/2018	QGW013484	HACKEL, DEBBIE	LA Z BOY INC COM B	31,600.00	10.00	0.00	10.00	80.0	8.00
01/18/2018	QGW013484	HACKEL, DEBBIE	BENCHMARK ELECTRONICS INC B	3,095.00	10.00	0.00	10.00	80.0	8.00
01/18/2018	QGW013484	HACKEL, DEBBIE	GNC HLDGS INC COM CL A S	-5,370.00	10.00	0.00	10.00	80.0	8.00
01/18/2018	QGW013484	HACKEL, DEBBIE	GNC HLDGS INC COM CL A B	5,268.50	10.00	0.00	10.00	80.0	8.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/18/2018	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	40,940.00	10.00	0.00	10.00	80.0	8.00
01/18/2018	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-40,800.00	10.00	0.00	10.00	80.0	8.00
01/22/2018	QGW013484 HACKEL, DEBBIE	BENCHMARK ELECTRONICS INC	S	-45,850.05	10.00	0.00	10.00	80.0	8.00
01/22/2018	QGW013484 HACKEL, DEBBIE	BENCHMARK ELECTRONICS INC	B	44,925.00	10.00	0.00	10.00	80.0	8.00
01/22/2018	QGW013484 HACKEL, DEBBIE	BIOMARIN PHARMACEUTICAL INC COM	S	-26,700.00	10.00	0.00	10.00	80.0	8.00
01/22/2018	QGW013484 HACKEL, DEBBIE	BIOMARIN PHARMACEUTICAL INC COM	B	27,000.00	10.00	0.00	10.00	80.0	8.00
01/23/2018	QGW013484 HACKEL, DEBBIE	CARPENTER TECHNOLOGY	S	-26,299.00	10.00	0.00	10.00	80.0	8.00
01/23/2018	QGW013484 HACKEL, DEBBIE	CARPENTER TECHNOLOGY	B	26,844.00	10.00	0.00	10.00	80.0	8.00
01/24/2018	QGW013484 HACKEL, DEBBIE	HAWAIIAN HOLDINGS INC	B	18,450.00	10.00	0.00	10.00	80.0	8.00
01/24/2018	QGW013484 HACKEL, DEBBIE	HAWAIIAN HOLDINGS INC	S	-18,950.00	10.00	0.00	10.00	80.0	8.00
01/29/2018	QGW013484 HACKEL, DEBBIE	MICROCHIP TECHNOLOGY INC	S	-48,476.00	10.00	0.00	10.00	80.0	8.00
01/29/2018	QGW013484 HACKEL, DEBBIE	MICROCHIP TECHNOLOGY INC	B	48,528.00	10.00	0.00	10.00	80.0	8.00
01/29/2018	QGW013484 HACKEL, DEBBIE	SODASTREAM INTERNATIONAL LTD	S	-39,325.00	10.00	0.00	10.00	80.0	8.00
01/29/2018	QGW013484 HACKEL, DEBBIE	SODASTREAM INTERNATIONAL LTD	B	39,588.50	10.00	0.00	10.00	80.0	8.00
01/24/2018	QGW014334 ALDEN, NANCY	AGNICO-EAGLE MINES LTD	B	970.98	20.00	0.00	20.00	80.0	16.00
01/24/2018	QGW014334 ALDEN, NANCY	BARRICK GOLD CORP	B	905.99	20.00	0.00	20.00	80.0	16.00
01/24/2018	QGW014334 ALDEN, NANCY	FRANCO-NEVADA CORPORATION	B	779.67	20.00	0.00	20.00	80.0	16.00
01/24/2018	QGW014334 ALDEN, NANCY	WHEATON PRECIOUS METALS CORP COM	B	2,012.39	20.00	0.00	20.00	80.0	16.00
01/05/2018	QGW014391 DARWISH, MENACHEM	WIDEPOINT CORP COM	B	6,998.00	35.00	0.00	35.00	80.0	28.00
01/19/2018	QGW014391 DARWISH, MENACHEM	ANDEAVOR COM	S	-354,960.00	35.00	0.00	35.00	80.0	28.00
01/19/2018	QGW014391 DARWISH, MENACHEM	GLAXOSMITHKLINE PLC SPONSORED ADR(F B		105,000.00	35.00	0.00	35.00	80.0	28.00
01/19/2018	QGW014391 DARWISH, MENACHEM	UNITED CONTINENTAL HLDGS INC	B	180,000.00	35.00	0.00	35.00	80.0	28.00
01/19/2018	QGW014391 DARWISH, MENACHEM	VODAFONE GROUP PLC SPONSORED ADR NO B		87,500.00	35.00	0.00	35.00	80.0	28.00
01/22/2018	QGW014391 DARWISH, MENACHEM	INOVIO PHARMACEUTICALS INC COM	B	45,556.00	35.00	0.00	35.00	80.0	28.00
01/26/2018	QGW014391 DARWISH, MENACHEM	UNITED CONTINENTAL HLDGS INC	B	65,650.00	35.00	0.00	35.00	80.0	28.00
01/09/2018	QGW020232 BERKOWITZ, SAM	CELGENE CORP	S	-55,445.25	50.00	0.00	50.00	80.0	40.00
01/09/2018	QGW020232 BERKOWITZ, SAM	ZIOPHARM ONCOLOGY INC	B	55,413.00	150.00	0.00	150.00	80.0	120.00
01/16/2018	QGW020513 FORST, BARRY	SEYCHELLE ENVIRONMENTAL COM	S	-612.00	20.00	0.00	20.00	80.0	16.00
01/22/2018	QGW020513 FORST, BARRY	CLEAN TEQ HOLDINGS LTD MELBOURNE SH B		2,800.00	20.00	0.00	20.00	80.0	16.00
01/26/2018	QGW020513 FORST, BARRY	KRAKEN ROBOTICS INC COM ISIN#CA5007 B		1,429.00	20.00	0.00	20.00	80.0	16.00
01/26/2018	QGW020539 FORST, SHERRIE	KRAKEN ROBOTICS INC COM ISIN#CA5007 B		2,143.50	20.00	0.00	20.00	80.0	16.00
01/29/2018	QGW020992 NEILL, MARTIN	KRAKEN ROBOTICS INC COM ISIN#CA5007 B		3,532.50	30.00	0.00	30.00	80.0	24.00
01/02/2018	QGW021156 SIEGEL, STUART	ENERGY TRANSFER PARTNERS L P	S	-7,552.00	20.00	0.00	20.00	80.0	16.00
01/04/2018	QGW021156 SIEGEL, STUART	CARLYLE GROUP L P COM UNITS REPSTG	S	-9,507.72	20.00	0.00	20.00	80.0	16.00
01/05/2018	QGW021156 SIEGEL, STUART	APPLE INC	B	10,486.58	20.00	0.00	20.00	80.0	16.00
01/17/2018	QGW021156 SIEGEL, STUART	SELECT SECTOR SPDR TRUST THE HEALTH B		8,810.99	20.00	0.00	20.00	80.0	16.00
01/10/2018	QGW021263 KOLENIK, PATRICK	LEVEL BRANDS INC COM NEW	S	-7,500.15	40.00	0.00	40.00	80.0	32.00
01/11/2018	QGW021263 KOLENIK, PATRICK	LEVEL BRANDS INC COM NEW	S	-12,500.00	40.00	0.00	40.00	80.0	32.00
01/18/2018	QGW021263 KOLENIK, PATRICK	LEGACY ED ALLIANCE INC COM	B	9,002.00	40.00	0.00	40.00	80.0	32.00
01/26/2018	QGW021263 KOLENIK, PATRICK	LEGACY ED ALLIANCE INC COM	B	490.00	40.00	0.00	40.00	80.0	32.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
01/29/2018	QGW023160	RAFANELLO, KRISTA	CLEAN TEQ HOLDINGS LTD MELBOURNE SH S	-1,204.00	9.52	0.00	9.52	80.0	7.62
01/29/2018	QGW023160	RAFANELLO, KRISTA	CLEAN TEQ HOLDINGS LTD MELBOURNE SH S	-1,320.00	10.48	0.00	10.48	80.0	8.38
01/29/2018	QGW023160	RAFANELLO, KRISTA	KRAKEN ROBOTICS INC COM ISIN#CA5007 B	2,397.00	20.00	0.00	20.00	80.0	16.00
01/22/2018	QGW023897	GALLO, ANTONIO	RITE AID CORPORATION	B	2,280.00	20.00	0.00	20.00	16.00
01/23/2018	QGW023897	GALLO, ANTONIO	EPR PPTYS COM SH BEN INT	B	6,491.95	20.00	0.00	20.00	16.00
01/23/2018	QGW023897	GALLO, ANTONIO	XEROX CORPORATION COMMON STOCK	S	-6,654.48	20.00	0.00	20.00	16.00
01/22/2018	QGW025066	KITTELSTAD, ARTHUR	OPKO HEALTH INC	S	-1,324.05	60.00	0.00	60.00	48.00
01/03/2018	QGW029019	SHARTSIS, JAY	PROSHARES TR II PROSHARES SHORT VIX B		27,107.98	20.00	0.00	20.00	16.00
01/11/2018	QGW029019	SHARTSIS, JAY	PROSHARES TR II PROSHARES SHORT VIX B		13,903.60	20.00	0.00	20.00	16.00
01/11/2018	QGW030215	YALINEWITZ, BOAZ	MICROVISION INC DEL COM NEW	B	1,450.00	50.00	0.00	50.00	40.00
01/08/2018	QGW030298	CHERNOFF, GLENN	CUMMINS INC	B	36,392.00	20.00	0.00	20.00	16.00
01/08/2018	QGW030298	CHERNOFF, GLENN	EAGLE MATERIALS INC	B	35,718.00	20.00	0.00	20.00	16.00
01/22/2018	QGW030306	HERMAN, PAUL	INTRICON CORP COM	S	-22,870.46	228.66	0.00	228.66	182.93
01/25/2018	QGW030629	BEGLEY, MICHAEL	MACYS INC	B	5,340.00	20.00	0.00	20.00	16.00
12/28/2017	QGW030702	RUDOLPH, MARTIN	DIREXION SHS ETF TR DAILY JR GOLD M S		-3,608.00	20.00	0.00	20.00	16.00
01/08/2018	QGW030702	RUDOLPH, MARTIN	FACEBOOK INC CL A	B	6,557.25	20.00	0.00	20.00	16.00
01/19/2018	QGW030702	RUDOLPH, MARTIN	BANK OF AMERICA CORP	S	-14,000.00	20.00	0.00	20.00	16.00
01/22/2018	QGW030728	RUDOLPH, MARTIN	NETFLIX COM INC	S	-147,729.00	20.00	0.00	20.00	16.00
01/22/2018	QGW030728	RUDOLPH, MARTIN	NETFLIX COM INC	B	90,000.00	20.00	0.00	20.00	16.00
01/23/2018	QGW030728	RUDOLPH, MARTIN	VANECK VECTORS ETF TR GOLD MINERS E S		-48,300.00	20.00	0.00	20.00	16.00
01/24/2018	QGW030728	RUDOLPH, MARTIN	AMAZON.COM INC	B	13,670.00	20.00	0.00	20.00	16.00
01/12/2018	QGW030744	RUDOLPH, CAROL	FACEBOOK INC CL A	B	1,774.50	20.00	0.00	20.00	16.00
01/24/2018	QGW030751	RUDOLPH, CAROL	GABELLI UTILITY TR	S	-4,714.80	20.00	0.00	20.00	16.00
01/24/2018	QGW030751	RUDOLPH, CAROL	HELMERICH & PAYNE INC COM	S	-7,152.84	20.00	0.00	20.00	16.00
01/24/2018	QGW030751	RUDOLPH, CAROL	INTERNATIONAL BUSINESS MACHINES COR B		16,630.82	20.00	0.00	20.00	16.00
01/24/2018	QGW030751	RUDOLPH, CAROL	UNITED STATES STL CORP NEW	S	-20,000.00	20.00	0.00	20.00	16.00
01/24/2018	QGW030751	RUDOLPH, CAROL	VERIZON COMMUNICATIONS	B	10,793.30	20.00	0.00	20.00	16.00
01/12/2018	QGW030793	RUDOLPH, HOWARD	BANK OF AMERICA CORP	S	-6,124.00	20.00	0.00	20.00	16.00
01/12/2018	QGW030793	RUDOLPH, HOWARD	FITBIT INC CLASS A COM	S	-1,492.40	20.00	0.00	20.00	16.00
01/12/2018	QGW030793	RUDOLPH, HOWARD	TWITTER INC	S	-2,505.00	20.00	0.00	20.00	16.00
01/05/2018	QGW030827	TO B CAPITAL SPECIAL	U S GOLD CORP COM PAR \$ NEW 2017	S	-3,726.00	162.29	0.00	162.29	129.83
01/12/2018	QGW030827	TO B CAPITAL SPECIAL	PERSHING GOLD CORP COM NEW	B	3,690.00	148.73	0.00	148.73	118.98
01/24/2018	QGW030835	SEXER LEVY, RHONDA	PULMATRIX INC COM STK	B	1,460.00	20.00	0.00	20.00	16.00
01/24/2018	QGW030835	SEXER LEVY, RHONDA	VIKING THERAPEUTICS INC COM	S	-1,834.16	20.00	0.00	20.00	16.00
01/26/2018	QGW030835	SEXER LEVY, RHONDA	VIKING THERAPEUTICS INC COM	S	-1,180.00	20.00	0.00	20.00	16.00
01/29/2018	QGW030835	SEXER LEVY, RHONDA	KLX INC COM	S	-3,596.50	20.00	0.00	20.00	16.00
01/29/2018	QGW030835	SEXER LEVY, RHONDA	SORRENTO THERAPEUTICS INC COM NEW	B	1,550.00	20.00	0.00	20.00	16.00
01/24/2018	QGW030850	SEXER LEVY	DEVON ENERGY CORPORATION NEW	S	-2,840.00	20.00	0.00	20.00	16.00
01/24/2018	QGW030850	SEXER LEVY	VIKING THERAPEUTICS INC COM	S	-1,836.00	20.00	0.00	20.00	16.00
01/26/2018	QGW030850	SEXER LEVY	SUBSEA 7 SA SPONS ADR ISIN#US864323 S		-1,673.00	20.00	0.00	20.00	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/29/2018	QGW030850	SEXER LEVY							
		ACADIA PHARMACEUTICALS INC COM	B	3,192.00	20.00	0.00	20.00	80.0	16.00
01/29/2018	QGW030850	SEXER LEVY							
		JUNO THERAPEUTICS INC COM	S	-4,273.01	20.00	0.00	20.00	80.0	16.00
12/29/2017	QGW031049	WINNICK, CHARLES							
		GENERAL ELECTRIC CO	B	87,150.00	20.00	0.00	20.00	80.0	16.00
12/29/2017	QGW031049	WINNICK, CHARLES							
		LILIS ENERGY INC COM NEW	S	-36,109.50	20.00	0.00	20.00	80.0	16.00
01/08/2018	QGW031049	WINNICK, CHARLES							
		HORIZON BANCORP-IND	S	-28,280.44	20.00	0.00	20.00	80.0	16.00
01/08/2018	QGW031049	WINNICK, CHARLES							
		OTONOMY INC COM	S	-55,750.00	20.00	0.00	20.00	80.0	16.00
01/08/2018	QGW031049	WINNICK, CHARLES							
		PENN VA CORP NEW COM	S	-263,271.60	20.00	0.00	20.00	80.0	16.00
01/08/2018	QGW031049	WINNICK, CHARLES							
		SUMMIT ST BK SANTA ROSA CALIF COM	B	45,485.30	20.00	0.00	20.00	80.0	16.00
01/09/2018	QGW031049	WINNICK, CHARLES							
		GLEN BURNIE BANCORP (GLEN BURNIE, M B		13,529.18	20.00	0.00	20.00	80.0	16.00
01/09/2018	QGW031049	WINNICK, CHARLES							
		MAINSTREET BANCSHARES INC COM	B	26,475.00	20.00	0.00	20.00	80.0	16.00
01/11/2018	QGW031049	WINNICK, CHARLES							
		POLARCUS LTD C/A EFF 6/05/17 1 OLD/ S		-2,452.50	20.00	0.00	20.00	80.0	16.00
01/12/2018	QGW031049	WINNICK, CHARLES							
		FIVE PT HLDGS LLC CL A COM REPSTG C S		-14,890.00	20.00	0.00	20.00	80.0	16.00
01/16/2018	QGW031049	WINNICK, CHARLES							
		TFS FINL CORP COM	B	37,887.75	20.00	0.00	20.00	80.0	16.00
01/18/2018	QGW031049	WINNICK, CHARLES							
		POLARCUS LTD C/A EFF 6/05/17 1 OLD/ B		2,361.00	20.00	0.00	20.00	80.0	16.00
01/19/2018	QGW031049	WINNICK, CHARLES							
		TFS FINL CORP COM	B	37,599.00	20.00	0.00	20.00	80.0	16.00
01/19/2018	QGW031049	WINNICK, CHARLES							
		TIER REIT INC COM	B	68,222.70	20.00	0.00	20.00	80.0	16.00
01/22/2018	QGW031049	WINNICK, CHARLES							
		FIVE PT HLDGS LLC CL A COM REPSTG C S		-73,261.50	20.00	0.00	20.00	80.0	16.00
01/22/2018	QGW031049	WINNICK, CHARLES							
		VISHAY PRECISION GROUP INC	S	-54,904.12	20.00	0.00	20.00	80.0	16.00
01/24/2018	QGW031049	WINNICK, CHARLES							
		LIBERTY MEDIA CORPORATION SERIES A S		-96,003.60	20.00	0.00	20.00	80.0	16.00
01/29/2018	QGW031049	WINNICK, CHARLES							
		COLONY NORTHSTAR INC CL A COM	B	45,900.00	20.00	0.00	20.00	80.0	16.00
01/29/2018	QGW031049	WINNICK, CHARLES							
		LIBERTY MEDIA CORPORATION SERIES A B		109,348.51	20.00	0.00	20.00	80.0	16.00
01/02/2018	QGW031585	WARD, HEATHER							
		UNITED STATES STL CORP NEW	S	-3,734.00	51.00	0.00	51.00	80.0	40.80
01/04/2018	QGW033482	CASALE, MARCO							
		MOMO INC ADR	S	-5,471.36	20.00	0.00	20.00	80.0	16.00
01/10/2018	QGW033482	CASALE, MARCO							
		TRANSOCEAN LTD US LISTED	B	5,349.38	20.00	0.00	20.00	80.0	16.00
01/26/2018	QGW034019	BEGLEY, HOLLY							
		COTY INC COM CL A	S	-4,491.39	20.00	0.00	20.00	80.0	16.00
01/09/2018	QGW034845	HEALY, TIMOTHY							
		COWEN INC PFD QTRLY CPN 7.35% CALL B		12,675.00	90.00	0.00	90.00	80.0	72.00
01/19/2018	QGW036410	BELZ, KATHLEEN							
		VUZIX CORP COM NEW	S	-22,500.00	20.00	0.00	20.00	80.0	16.00
01/22/2018	QGW036410	BELZ, KATHLEEN							
		VUZIX CORP COM NEW	B	27,000.00	20.00	0.00	20.00	80.0	16.00
01/05/2018	QGW036436	BELZ, KATHLEEN							
		VUZIX CORP COM NEW	B	31,275.00	20.00	0.00	20.00	80.0	16.00
01/12/2018	QGW036451	BELZ, RICHARD							
		COHERUS BIOSCIENCES INC COM	S	-13,215.50	20.00	0.00	20.00	80.0	16.00
01/12/2018	QGW036451	BELZ, RICHARD							
		ENERGOUS CORP COM	B	59,998.20	20.00	0.00	20.00	80.0	16.00
01/19/2018	QGW036451	BELZ, RICHARD							
		VUZIX CORP COM NEW	S	-52,500.00	20.00	0.00	20.00	80.0	16.00
01/24/2018	QGW036451	BELZ, RICHARD							
		ENERGOUS CORP COM	B	36,826.00	20.00	0.00	20.00	80.0	16.00
01/26/2018	QGW036469	BELZ, RICHARD							
		SACHEM CAPITAL CORP COMMON SHARES	B	4,105.50	20.00	0.00	20.00	80.0	16.00
01/03/2018	QGW036659	SHARTSIS, JAY							
		KIRKLAND LAKE GOLD LTD COM ISIN# CA S		-24,091.95	20.00	0.00	20.00	80.0	16.00
01/05/2018	QGW036659	SHARTSIS, JAY							
		BARCLAYS BANK PLC IPATH S&P 500 VIX B		13,192.50	20.00	0.00	20.00	80.0	16.00
01/09/2018	QGW036659	SHARTSIS, JAY							
		BARCLAYS BANK PLC IPATH S&P 500 VIX B		10,462.00	20.00	0.00	20.00	80.0	16.00
01/26/2018	QGW036659	SHARTSIS, JAY							
		BARCLAYS BANK PLC IPATH S&P 500 VIX S		-24,921.09	20.00	0.00	20.00	80.0	16.00
01/26/2018	QGW036659	SHARTSIS, JAY							
		BARCLAYS BANK PLC IPATH S&P 500 VIX B		24,833.97	20.00	0.00	20.00	80.0	16.00
12/28/2017	QGW036998	PEDROZA, NOEMI							
		FENIX PTS INC COM	B	20,000.00	25.00	0.00	25.00	80.0	20.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/23/2018	QGW036998	PEDROZA, NOEMI							
		SOHM INC COM	B	2,000.00	20.00	0.00	20.00	80.0	16.00
12/28/2017	QGW037194	CUSTOIAN, ANTONIO							
		YAMANA GOLD INC	B	487.73	20.00	0.00	20.00	80.0	16.00
01/18/2018	QGW037194	CUSTOIAN, ANTONIO							
		BOEING CO	B	1,379.62	20.00	0.00	20.00	80.0	16.00
12/28/2017	QGW037368	HEIBER, JENNIFER							
		GERON CORP	S	-18,200.00	25.00	0.00	25.00	80.0	20.00
12/28/2017	QGW037368	HEIBER, JENNIFER							
		MARINUS PHARMACEUTICALS INC COM	S	-43,004.00	25.00	0.00	25.00	80.0	20.00
01/03/2018	QGW037368	HEIBER, JENNIFER							
		SNAP INC CLASS A COMMON STOCK	S	-45,150.00	25.00	0.00	25.00	80.0	20.00
01/03/2018	QGW037368	HEIBER, JENNIFER							
		USG CORP (NEW) COMMON STOCK	S	-192,096.00	25.00	0.00	25.00	80.0	20.00
01/05/2018	QGW037368	HEIBER, JENNIFER							
		MY SIZE INC COM	B	5,714.50	25.00	0.00	25.00	80.0	20.00
01/09/2018	QGW037368	HEIBER, JENNIFER							
		MY SIZE INC COM	B	8,750.00	25.00	0.00	25.00	80.0	20.00
01/10/2018	QGW037368	HEIBER, JENNIFER							
		MY SIZE INC COM	B	8,000.00	25.00	0.00	25.00	80.0	20.00
01/10/2018	QGW037368	HEIBER, JENNIFER							
		PARETEUM CORPORATION COMMON STOCK	S	-12,500.00	25.00	0.00	25.00	80.0	20.00
01/12/2018	QGW037368	HEIBER, JENNIFER							
		MY SIZE INC COM	S	-9,900.00	25.00	0.00	25.00	80.0	20.00
01/18/2018	QGW037368	HEIBER, JENNIFER							
		OVERSTOCK COM INC DEL COM	B	73,800.00	25.00	0.00	25.00	80.0	20.00
01/19/2018	QGW037368	HEIBER, JENNIFER							
		22ND CENTY GROUP INC COM	B	20,000.00	25.00	0.00	25.00	80.0	20.00
01/19/2018	QGW037368	HEIBER, JENNIFER							
		OVERSTOCK COM INC DEL COM	S	-75,500.00	25.00	0.00	25.00	80.0	20.00
01/23/2018	QGW037368	HEIBER, JENNIFER							
		22ND CENTY GROUP INC COM	B	21,748.00	25.00	0.00	25.00	80.0	20.00
01/24/2018	QGW037368	HEIBER, JENNIFER							
		BANK MONTREAL QUE BMO REX MICROSECT B	B	107,580.00	25.00	0.00	25.00	80.0	20.00
01/25/2018	QGW037368	HEIBER, JENNIFER							
		INTELLIA THERAPEUTICS INC COM	S	-11,270.05	25.00	0.00	25.00	80.0	20.00
01/26/2018	QGW037368	HEIBER, JENNIFER							
		BANK MONTREAL QUE BMO REX MICROSECT S	S	-56,500.00	25.00	0.00	25.00	80.0	20.00
01/05/2018	QGW037558	HIEBER TRUST,							
		MY SIZE INC COM	B	2,100.00	100.00	0.00	100.00	80.0	80.00
01/04/2018	QGW038515	VARIOUS, LINDNER							
		ETF MANAGERS TR ETFMG ALTERNATIVE H B	B	518.19	20.00	0.00	20.00	80.0	16.00
01/08/2018	QGW038515	VARIOUS, LINDNER							
		HORIZONS MEDICAL MARIJUANA LIFE SCI B	B	381.45	10.00	0.00	10.00	80.0	8.00
01/05/2018	QGW039075	CAPRARO IRREVOCABLE							
		INTERNATIONAL BUSINESS MACHINES COR B	B	162,519.00	30.00	0.00	30.00	80.0	24.00
01/09/2018	QGW039075	CAPRARO IRREVOCABLE							
		INTERNATIONAL BUSINESS MACHINES COR S	S	-163,922.10	40.00	0.00	40.00	80.0	32.00
01/10/2018	QGW039075	CAPRARO IRREVOCABLE							
		TCP CAP CORP COM	B	15,140.00	130.00	0.00	130.00	80.0	104.00
01/11/2018	QGW039075	CAPRARO IRREVOCABLE							
		CENTURYTEL INC	B	34,860.00	50.00	0.00	50.00	80.0	40.00
01/11/2018	QGW039075	CAPRARO IRREVOCABLE							
		FRONTIER COMMUNICATIONS CORPORATION B	B	23,330.10	60.00	0.00	60.00	80.0	48.00
01/11/2018	QGW039075	CAPRARO IRREVOCABLE							
		UNITI GROUP INC COMMON STOCK	B	83,546.50	80.00	0.00	80.00	80.0	64.00
01/12/2018	QGW039075	CAPRARO IRREVOCABLE							
		ASPEN GROUP INC COM NEW	B	71,996.00	110.00	0.00	110.00	80.0	88.00
01/12/2018	QGW039075	CAPRARO IRREVOCABLE							
		FRONTIER COMMUNICATIONS CORP MANDAT B	B	12,259.90	16.00	0.00	16.00	80.0	12.80
01/12/2018	QGW039075	CAPRARO IRREVOCABLE							
		FRONTIER COMMUNICATIONS CORP MANDAT B	B	24,900.00	31.98	0.00	31.98	80.0	25.58
01/12/2018	QGW039075	CAPRARO IRREVOCABLE							
		FRONTIER COMMUNICATIONS CORP MANDAT B	B	12,270.00	16.00	0.00	16.00	80.0	12.80
01/12/2018	QGW039075	CAPRARO IRREVOCABLE							
		FRONTIER COMMUNICATIONS CORP MANDAT B	B	12,612.75	100.50	0.00	100.50	80.0	80.40
01/12/2018	QGW039075	CAPRARO IRREVOCABLE							
		UNITI GROUP INC COMMON STOCK	B	16,420.00	100.00	0.00	100.00	80.0	80.00
01/16/2018	QGW039075	CAPRARO IRREVOCABLE							
		ASPEN GROUP INC COM NEW	S	-3,423.12	34.00	0.00	34.00	80.0	27.20
01/16/2018	QGW039075	CAPRARO IRREVOCABLE							
		CENTURYTEL INC	B	52,139.70	60.00	0.00	60.00	80.0	48.00
01/16/2018	QGW039075	CAPRARO IRREVOCABLE							
		FRONTIER COMMUNICATIONS CORPORATION B	B	7,880.00	40.00	0.00	40.00	80.0	32.00
01/16/2018	QGW039075	CAPRARO IRREVOCABLE							
		TCP CAP CORP COM	S	-15,320.10	40.00	0.00	40.00	80.0	32.00
01/16/2018	QGW039075	CAPRARO IRREVOCABLE							
		UNITI GROUP INC COMMON STOCK	S	-49,570.50	60.00	0.00	60.00	80.0	48.00
01/17/2018	QGW039075	CAPRARO IRREVOCABLE							
		ASPEN GROUP INC COM NEW	S	-8,597.80	40.00	0.00	40.00	80.0	32.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
01/17/2018	QGW039075	CAPRARO	IRREVOCABLE	FRONTIER COMMUNICATIONS CORP MANDAT B	12,600.00	100.00	0.00	100.00	80.00	80.00	
01/17/2018	QGW039075	CAPRARO	IRREVOCABLE	UNITI GROUP INC COMMON STOCK	S	-49,750.20	60.00	0.00	60.00	80.00	48.00
01/18/2018	QGW039075	CAPRARO	IRREVOCABLE	ASPEN GROUP INC COM NEW	S	-3,466.36	34.00	0.00	34.00	80.00	27.20
01/19/2018	QGW039075	CAPRARO	IRREVOCABLE	CENTURYTEL INC	B	50,932.80	60.00	0.00	60.00	80.00	48.00
01/19/2018	QGW039075	CAPRARO	IRREVOCABLE	FRONTIER COMMUNICATIONS CORP MANDAT B		11,800.00	40.00	0.00	40.00	80.00	32.00
01/23/2018	QGW039075	CAPRARO	IRREVOCABLE	ASPEN GROUP INC COM NEW	S	-5,979.96	37.00	0.00	37.00	80.00	29.60
01/24/2018	QGW039075	CAPRARO	IRREVOCABLE	ASPEN GROUP INC COM NEW	S	-4,384.80	15.00	0.00	15.00	80.00	12.00
01/24/2018	QGW039075	CAPRARO	IRREVOCABLE	ASPEN GROUP INC COM NEW	S	-4,394.35	15.00	0.00	15.00	80.00	12.00
01/24/2018	QGW039075	CAPRARO	IRREVOCABLE	ASPEN GROUP INC COM NEW	S	-4,427.40	15.00	0.00	15.00	80.00	12.00
01/24/2018	QGW039075	CAPRARO	IRREVOCABLE	INVITAE CORP COM	B	11,520.00	45.00	0.00	45.00	80.00	36.00
01/24/2018	QGW039075	CAPRARO	IRREVOCABLE	INVITAE CORP COM	B	-11,520.00	-45.00	0.00	-45.00	80.00	-36.00 C
01/24/2018	QGW039075	CAPRARO	IRREVOCABLE	INVITAE CORP COM	B	11,520.00	45.00	0.00	45.00	80.00	36.00
01/25/2018	QGW039075	CAPRARO	IRREVOCABLE	ASPEN GROUP INC COM NEW	S	-6,954.88	38.00	0.00	38.00	80.00	30.40
01/25/2018	QGW039075	CAPRARO	IRREVOCABLE	INVITAE CORP COM	S	-10,785.15	45.00	0.00	45.00	80.00	36.00
01/25/2018	QGW039075	CAPRARO	IRREVOCABLE	INVITAE CORP COM	S	10,785.15	-45.00	0.00	-45.00	80.00	-36.00 C
01/25/2018	QGW039075	CAPRARO	IRREVOCABLE	INVITAE CORP COM	S	-10,785.15	45.00	0.00	45.00	80.00	36.00
01/26/2018	QGW039075	CAPRARO	IRREVOCABLE	ASPEN GROUP INC COM NEW	S	-9,682.80	33.18	0.00	33.18	80.00	26.54
01/26/2018	QGW039075	CAPRARO	IRREVOCABLE	ASPEN GROUP INC COM NEW	S	-4,075.90	13.82	0.00	13.82	80.00	11.06
* STOCKS SUBTOTAL *					\$2,199,528.69	\$9,984.54	\$0.00	\$9,984.54		\$7,987.62	
PRODUCT CATEGORY: BONDS											
12/29/2017	75500349	POMP, BARRY		SOCIETE GENERALE	B	11,250.00	0.00	0.00	0.00	80.00	0.00
01/02/2018	QGW038200	CARROLL, DAVID		UNITED STATES TREAS BILLS 0.000% 09 B		103,789.82	50.00	0.00	50.00	80.00	40.00
01/02/2018	QGW038200	CARROLL, DAVID		UNITED STATES TREAS BILLS 0.000% 12 B		103,309.38	50.00	0.00	50.00	80.00	40.00
01/02/2018	QGW038200	CARROLL, DAVID		UNITED STATES TREAS BILLS 0.000% 09 B		-103,789.82	-50.00	0.00	-50.00	80.00	-40.00 C
01/02/2018	QGW038200	CARROLL, DAVID		UNITED STATES TREAS BILLS 0.000% 09 B		103,789.82	50.00	0.00	50.00	80.00	40.00
01/02/2018	QGW038200	CARROLL, DAVID		UNITED STATES TREAS BILLS 0.000% 12 B		-103,309.38	-50.00	0.00	-50.00	80.00	-40.00 C
01/02/2018	QGW038200	CARROLL, DAVID		UNITED STATES TREAS BILLS 0.000% 12 B		103,309.38	50.00	0.00	50.00	80.00	40.00
* BONDS SUBTOTAL *					\$218,349.20	\$100.00	\$0.00	\$100.00		\$80.00	
PRODUCT CATEGORY: OPTIONS											
01/17/2018	75500426	MIGLIORATO, DOMENICK	CALL AAPL 02/16/18 185	S	1,820.00	40.00	0.00	40.00	80.00	32.00	
01/30/2018	75500426	MIGLIORATO, DOMENICK	PUT AAPL 02/02/18 160	B	5,100.00	90.00	0.00	90.00	80.00	72.00	
01/30/2018	75500426	MIGLIORATO, DOMENICK	PUT AAPL 02/02/18 162.50	B	2,570.00	40.00	0.00	40.00	80.00	32.00	
01/30/2018	75500426	MIGLIORATO, DOMENICK	PUT SPY 02/16/18 280	B	5,450.00	100.00	0.00	100.00	80.00	80.00	
12/29/2017	QGW001166	KOONDEL	CALL 100 APPLE INC COM EXP 06-15-18 B		12,582.00	22.75	0.00	22.75	80.00	18.20	
12/29/2017	QGW001166	KOONDEL	CALL 100 APPLE INC COM EXP 06-15-18 S		-9,712.95	22.75	0.00	22.75	80.00	18.20	
12/29/2017	QGW001166	KOONDEL	CALL 100 APPLE INC COM EXP 06-15-18 B		3,373.02	17.65	0.00	17.65	80.00	14.12	
12/29/2017	QGW001166	KOONDEL	CALL 100 APPLE INC COM EXP 06-15-18 S		-2,516.04	17.65	0.00	17.65	80.00	14.12	
12/29/2017	QGW001166	KOONDEL	PUT 100 APPLE INC	S	-280.00	13.40	0.00	13.40	80.00	10.72	

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/02/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-19-18 S		-26,870.00	18.50	0.00	18.50	80.0	14.80
01/02/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-42,792.00	13.40	0.00	13.40	80.0	10.72
01/02/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-17,428.00	11.70	0.00	11.70	80.0	9.36
01/02/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		47,386.01	15.95	0.00	15.95	80.0	12.76
01/02/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		1,812.00	11.70	0.00	11.70	80.0	9.36
01/02/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-1,387.00	11.70	0.00	11.70	80.0	9.36
01/03/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-19-18 S		-786.00	13.40	0.00	13.40	80.0	10.72
01/03/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-20,566.00	10.85	0.00	10.85	80.0	8.68
01/03/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 02-1 B		2,384.00	11.70	0.00	11.70	80.0	9.36
01/03/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 02-1 S		-2,934.00	12.55	0.00	12.55	80.0	10.04
01/03/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-05-18 B		226.00	13.40	0.00	13.40	80.0	10.72
01/03/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-0 B		100.00	11.70	0.00	11.70	80.0	9.36
01/03/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-550.00	10.85	0.00	10.85	80.0	8.68
01/03/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		16,231.00	13.40	0.00	13.40	80.0	10.72
01/03/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		6,131.00	11.70	0.00	11.70	80.0	9.36
01/03/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-18,062.00	16.80	0.00	16.80	80.0	13.44
01/03/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-4,060.00	11.70	0.00	11.70	80.0	9.36
01/03/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		3,550.00	11.70	0.00	11.70	80.0	9.36
01/03/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-3,058.00	11.70	0.00	11.70	80.0	9.36
01/03/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-2,254.00	11.70	0.00	11.70	80.0	9.36
01/03/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		2,018.00	11.70	0.00	11.70	80.0	9.36
01/03/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-3,125.00	14.25	0.00	14.25	80.0	11.40
01/03/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 02-0 B		18,344.00	10.85	0.00	10.85	80.0	8.68
01/03/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		450.00	22.75	0.00	22.75	80.0	18.20
01/03/2018	QGW001166 KOONDEL	CALL 100 QUALCOMM INC EXP 01-19-18@ S		-95.00	14.25	0.00	14.25	80.0	11.40
01/04/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-02-18 S		-745.99	15.95	0.00	15.95	80.0	12.76
01/04/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 02-02-18@ S		-721.00	15.95	0.00	15.95	80.0	12.76
01/05/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-14,679.00	17.65	0.00	17.65	80.0	14.12
01/05/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		10,890.00	17.65	0.00	17.65	80.0	14.12
01/05/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-09-18 S		-802.00	14.25	0.00	14.25	80.0	11.40
01/05/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		2,145.00	61.00	0.00	61.00	80.0	48.80
01/05/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 04-20-18@ S		-675.00	6.10	0.00	6.10	80.0	4.88
01/05/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 04-20-18@ S		-3,048.98	26.85	0.00	26.85	80.0	21.48
01/05/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 02-09-18@ S		-911.00	14.25	0.00	14.25	80.0	11.40
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-52,414.00	13.40	0.00	13.40	80.0	10.72
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		66,840.00	15.10	0.00	15.10	80.0	12.08
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		41,306.00	16.80	0.00	16.80	80.0	13.44
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		3,594.00	11.70	0.00	11.70	80.0	9.36
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-7,069.00	13.40	0.00	13.40	80.0	10.72
01/08/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-1,623.00	10.85	0.00	10.85	80.0	8.68

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/08/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		1,203.00	10.85	0.00	10.85	80.0	8.68
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-2 S		-6,170.00	10.85	0.00	10.85	80.0	8.68
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-2 S		-5,385.00	10.85	0.00	10.85	80.0	8.68
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		43,750.08	23.60	0.00	23.60	80.0	18.88
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-16,243.04	16.80	0.00	16.80	80.0	13.44
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-14,974.00	18.50	0.00	18.50	80.0	14.80
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-12,854.04	20.20	0.00	20.20	80.0	16.16
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-24,770.00	13.40	0.00	13.40	80.0	10.72
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		3,641.00	10.85	0.00	10.85	80.0	8.68
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-11,682.00	12.55	0.00	12.55	80.0	10.04
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-3,151.00	10.85	0.00	10.85	80.0	8.68
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		10,244.01	12.55	0.00	12.55	80.0	10.04
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		2,377.00	10.85	0.00	10.85	80.0	8.68
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		2,107.00	11.70	0.00	11.70	80.0	9.36
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 02-2 S		-3,340.00	11.70	0.00	11.70	80.0	9.36
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		28,368.00	20.20	0.00	20.20	80.0	16.16
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 04-2 B		21,550.02	15.10	0.00	15.10	80.0	12.08
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 04-2 S		-2,917.00	10.85	0.00	10.85	80.0	8.68
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 04-2 S		-11,449.98	15.10	0.00	15.10	80.0	12.08
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		52,414.00	-13.40	0.00	-13.40	80.0	-10.72 C
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-52,414.00	13.40	0.00	13.40	80.0	10.72
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		10,326.50	2.10	0.00	2.10	80.0	1.68
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		-41,306.00	-16.80	0.00	-16.80	80.0	-13.44 C
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		30,979.50	6.30	0.00	6.30	80.0	5.04
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		-43,750.08	-23.60	0.00	-23.60	80.0	-18.88 C
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		43,750.08	23.60	0.00	23.60	80.0	18.88
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		24,770.00	-13.40	0.00	-13.40	80.0	-10.72 C
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-24,770.00	13.40	0.00	13.40	80.0	10.72
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		11,682.00	-12.55	0.00	-12.55	80.0	-10.04 C
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-11,682.00	12.55	0.00	12.55	80.0	10.04
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		-28,368.00	-20.20	0.00	-20.20	80.0	-16.16 C
01/08/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		28,368.00	20.20	0.00	20.20	80.0	16.16
01/09/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-9,927.00	11.70	0.00	11.70	80.0	9.36
01/09/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		5,968.00	13.40	0.00	13.40	80.0	10.72
01/09/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-16,124.00	18.50	0.00	18.50	80.0	14.80
01/09/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		11,892.00	18.50	0.00	18.50	80.0	14.80
01/09/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-20-1 B		14,991.00	12.55	0.00	12.55	80.0	10.04
01/09/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-20-1 S		-10,506.00	12.55	0.00	12.55	80.0	10.04
01/09/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 04-2 B		14,880.00	13.40	0.00	13.40	80.0	10.72
01/09/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 04-2 S		-10,910.00	13.40	0.00	13.40	80.0	10.72

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/09/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 05-1 B		47,084.95	19.35	0.00	19.35	80.0	15.48
01/09/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 05-1 S		-35,039.95	19.35	0.00	19.35	80.0	15.48
01/09/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		16,124.00	-18.50	0.00	-18.50	80.0	-14.80 C
01/09/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-12,899.20	14.80	0.00	14.80	80.0	11.84
01/09/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-3,224.80	3.70	0.00	3.70	80.0	2.96
01/09/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		2,378.40	3.70	0.00	3.70	80.0	2.96
01/09/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		-11,892.00	-18.50	0.00	-18.50	80.0	-14.80 C
01/09/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		9,513.60	14.80	0.00	14.80	80.0	11.84
01/10/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-2,800.00	18.50	0.00	18.50	80.0	14.80
01/10/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		840.00	18.50	0.00	18.50	80.0	14.80
01/10/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-6,252.00	16.80	0.00	16.80	80.0	13.44
01/10/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-26-18 S		-624.00	21.05	0.00	21.05	80.0	16.84
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		23,858.00	18.50	0.00	18.50	80.0	14.80
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-4,266.00	11.70	0.00	11.70	80.0	9.36
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-3,650.00	11.70	0.00	11.70	80.0	9.36
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-3,140.00	11.70	0.00	11.70	80.0	9.36
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-2,734.00	11.70	0.00	11.70	80.0	9.36
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-2,346.00	11.70	0.00	11.70	80.0	9.36
01/10/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 02-2 B		6,280.00	11.70	0.00	11.70	80.0	9.36
01/10/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		14,780.00	16.80	0.00	16.80	80.0	13.44
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		-23,858.00	-18.50	0.00	-18.50	80.0	-14.80 C
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		4,771.60	3.70	0.00	3.70	80.0	2.96
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		19,086.40	14.80	0.00	14.80	80.0	11.84
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		3,650.00	-11.70	0.00	-11.70	80.0	-9.36 C
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-3,650.00	11.70	0.00	11.70	80.0	9.36
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		3,140.00	-11.70	0.00	-11.70	80.0	-9.36 C
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-3,140.00	11.70	0.00	11.70	80.0	9.36
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		2,734.00	-11.70	0.00	-11.70	80.0	-9.36 C
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-2,734.00	11.70	0.00	11.70	80.0	9.36
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		2,346.00	-11.70	0.00	-11.70	80.0	-9.36 C
01/10/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-2,346.00	11.70	0.00	11.70	80.0	9.36
01/10/2018	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 01- B		360.00	18.50	0.00	18.50	80.0	14.80
01/10/2018	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- S		-970.00	18.50	0.00	18.50	80.0	14.80
01/10/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-15 S		-3,200.00	11.70	0.00	11.70	80.0	9.36
01/10/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-15 S		-4,060.00	11.70	0.00	11.70	80.0	9.36
01/10/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-15 S		-5,080.00	11.70	0.00	11.70	80.0	9.36
01/10/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-26-18@ S		-485.03	21.05	0.00	21.05	80.0	16.84
01/11/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-19-18 S		-2,679.00	14.25	0.00	14.25	80.0	11.40
01/11/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-19-18 B		737.00	14.25	0.00	14.25	80.0	11.40
01/11/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		3,264.00	11.70	0.00	11.70	80.0	9.36

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/11/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-2,396.00	11.70	0.00	11.70	80.0	9.36
01/11/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-4,335.00	22.75	0.00	22.75	80.0	18.20
01/11/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		1,227.00	22.75	0.00	22.75	80.0	18.20
01/11/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-8,017.00	18.50	0.00	18.50	80.0	14.80
01/11/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		10,529.00	18.50	0.00	18.50	80.0	14.80
01/12/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-19-18 S		-16,298.00	31.25	0.00	31.25	80.0	25.00
01/12/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-19-18 B		5,482.00	31.25	0.00	31.25	80.0	25.00
01/12/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-16,006.01	24.45	0.00	24.45	80.0	19.56
01/12/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		10,332.94	24.45	0.00	24.45	80.0	19.56
01/12/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-10,895.04	37.20	0.00	37.20	80.0	29.76
01/12/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		3,152.96	37.20	0.00	37.20	80.0	29.76
01/12/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-34,866.00	11.70	0.00	11.70	80.0	9.36
01/12/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		50,986.00	13.40	0.00	13.40	80.0	10.72
01/12/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-28,976.01	12.55	0.00	12.55	80.0	10.04
01/12/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		26,552.01	12.55	0.00	12.55	80.0	10.04
01/12/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-17,493.98	21.90	0.00	21.90	80.0	17.52
01/12/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 02-1 S		-95,390.04	20.20	0.00	20.20	80.0	16.16
01/12/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 02-1 B		85,730.04	20.20	0.00	20.20	80.0	16.16
01/12/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		46,294.05	19.35	0.00	19.35	80.0	15.48
01/12/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-11,916.00	13.40	0.00	13.40	80.0	10.72
01/12/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-7,424.00	13.40	0.00	13.40	80.0	10.72
01/12/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-4,626.99	12.55	0.00	12.55	80.0	10.04
01/12/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		20,724.06	21.90	0.00	21.90	80.0	17.52
01/12/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-02-18 B		2,564.00	18.50	0.00	18.50	80.0	14.80
01/12/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-02-18 S		-1,460.00	18.50	0.00	18.50	80.0	14.80
01/12/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		17,493.98	-21.90	0.00	-21.90	80.0	-17.52 C
01/12/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-17,493.98	21.90	0.00	21.90	80.0	17.52
01/12/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		-20,724.06	-21.90	0.00	-21.90	80.0	-17.52 C
01/12/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		20,724.06	21.90	0.00	21.90	80.0	17.52
01/12/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 02-16 S		-1,040.00	13.40	0.00	13.40	80.0	10.72
01/12/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 02-23 S		-2,810.00	11.70	0.00	11.70	80.0	9.36
01/16/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-6,081.00	22.75	0.00	22.75	80.0	18.20
01/16/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		1,848.00	22.75	0.00	22.75	80.0	18.20
01/16/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 B		119,706.00	18.50	0.00	18.50	80.0	14.80
01/16/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 01-18-1 S		-100,776.00	18.50	0.00	18.50	80.0	14.80
01/16/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-21-18 B		5,867.00	18.50	0.00	18.50	80.0	14.80
01/16/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-21-18 S		-2,433.00	18.50	0.00	18.50	80.0	14.80
01/16/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 B		41,506.00	13.40	0.00	13.40	80.0	10.72
01/16/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 S		-37,656.00	13.40	0.00	13.40	80.0	10.72
01/16/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 B		58,009.00	15.95	0.00	15.95	80.0	12.76

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/16/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 S		-47,053.02	15.95	0.00	15.95	80.0	12.76
01/16/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 01-18 S		-10,594.08	23.60	0.00	23.60	80.0	18.88
01/16/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 01-18 B		7,720.02	17.65	0.00	17.65	80.0	14.12
01/16/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-15 S		-1,980.00	11.70	0.00	11.70	80.0	9.36
01/16/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-15 S		-3,520.00	11.70	0.00	11.70	80.0	9.36
01/16/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-15 S		-4,320.00	11.70	0.00	11.70	80.0	9.36
01/16/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-15 S		-4,120.00	11.70	0.00	11.70	80.0	9.36
01/16/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 07-20-18 S		-3,220.00	13.40	0.00	13.40	80.0	10.72
01/16/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 07-20-18 B		4,490.00	13.40	0.00	13.40	80.0	10.72
01/16/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-18-19@ S		-12,553.92	37.20	0.00	37.20	80.0	29.76
01/16/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-18-19@ B		9,763.04	23.60	0.00	23.60	80.0	18.88
01/17/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 06-15-1 B		27,144.00	12.55	0.00	12.55	80.0	10.04
01/17/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-9,339.00	31.25	0.00	31.25	80.0	25.00
01/17/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		2,823.00	31.25	0.00	31.25	80.0	25.00
01/17/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 06-15-1 S		-30,468.00	15.10	0.00	15.10	80.0	12.08
01/17/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-23-1 B		16,576.00	16.80	0.00	16.80	80.0	13.44
01/17/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-23-1 S		-12,988.00	16.80	0.00	16.80	80.0	13.44
01/17/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-02-18 B		2,098.00	14.25	0.00	14.25	80.0	11.40
01/17/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-02-18 S		-2,458.00	18.50	0.00	18.50	80.0	14.80
01/17/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-02-18 S		2,458.00	-18.50	0.00	-18.50	80.0	-14.80 C
01/17/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-02-18 S		-2,458.00	18.50	0.00	18.50	80.0	14.80
01/17/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-23-18 S		-3,362.00	11.70	0.00	11.70	80.0	9.36
01/17/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-23-18 B		2,340.00	10.85	0.00	10.85	80.0	8.68
01/18/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		1,873.85	56.75	0.00	56.75	80.0	45.40
01/18/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-78.00	11.70	0.00	11.70	80.0	9.36
01/18/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-2,386.06	55.05	0.00	55.05	80.0	44.04
01/18/2018	QGW001166 KOONDEL	PUT 100 BANK OF AMERICA CORPORATION S		-351.00	11.70	0.00	11.70	80.0	9.36
01/18/2018	QGW001166 KOONDEL	PUT 100 BANK OF AMERICA CORPORATION B		338.00	11.70	0.00	11.70	80.0	9.36
01/18/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-916.00	16.80	0.00	16.80	80.0	13.44
01/18/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP B		564.00	16.80	0.00	16.80	80.0	13.44
01/18/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP B		208.00	13.40	0.00	13.40	80.0	10.72
01/18/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-260.00	13.40	0.00	13.40	80.0	10.72
01/19/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 09-21-1 B		41,756.00	13.40	0.00	13.40	80.0	10.72
01/19/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 09-21-1 S		-48,246.00	15.10	0.00	15.10	80.0	12.08
01/19/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-20-18 B		13,356.96	30.40	0.00	30.40	80.0	24.32
01/19/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-20-18 S		-8,089.92	30.40	0.00	30.40	80.0	24.32
01/19/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 B		62,937.04	16.80	0.00	16.80	80.0	13.44
01/19/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 S		-50,973.04	16.80	0.00	16.80	80.0	13.44
01/19/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 07-20-18@ S		-990.00	18.50	0.00	18.50	80.0	14.80
01/19/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 07-20-18@ B		828.00	15.10	0.00	15.10	80.0	12.08

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: OPTIONS									
01/22/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-18-19 B		1,796.00	13.40	0.00	13.40	80.0	10.72
01/22/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-18-19 S		-1,280.00	13.40	0.00	13.40	80.0	10.72
01/22/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-21-18 B		3,364.02	15.10	0.00	15.10	80.0	12.08
01/22/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-21-18 S		-2,707.98	15.10	0.00	15.10	80.0	12.08
01/22/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-49,836.00	13.40	0.00	13.40	80.0	10.72
01/22/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		46,284.00	13.40	0.00	13.40	80.0	10.72
01/22/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-20-18 B		8,228.00	18.50	0.00	18.50	80.0	14.80
01/22/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-20-18 S		-6,460.00	18.50	0.00	18.50	80.0	14.80
01/22/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-16-18 B		10,582.84	38.90	0.00	38.90	80.0	31.12
01/22/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-16-18 S		-6,513.04	38.90	0.00	38.90	80.0	31.12
01/22/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-21-18 B		-3,364.02	-15.10	0.00	-15.10	80.0	-12.08 C
01/22/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-21-18 B		3,364.02	15.10	0.00	15.10	80.0	12.08
01/22/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-20-18 S		6,460.00	-18.50	0.00	-18.50	80.0	-14.80 C
01/22/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-20-18 S		-6,460.00	18.50	0.00	18.50	80.0	14.80
01/22/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-21-18 S		2,707.98	-15.10	0.00	-15.10	80.0	-12.08 C
01/22/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-21-18 S		-2,707.98	15.10	0.00	15.10	80.0	12.08
01/22/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-20-18 B		-8,228.00	-18.50	0.00	-18.50	80.0	-14.80 C
01/22/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-20-18 B		8,228.00	18.50	0.00	18.50	80.0	14.80
01/22/2018	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 02- B		525.00	31.25	0.00	31.25	80.0	25.00
01/22/2018	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 04- S		-1,350.00	31.25	0.00	31.25	80.0	25.00
01/22/2018	QGW001166 KOONDEL	CALL 100 QUALCOMM INC EXP 02-02-18@ S		-525.00	14.25	0.00	14.25	80.0	11.40
01/22/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-144.00	13.40	0.00	13.40	80.0	10.72
01/22/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-180.00	13.40	0.00	13.40	80.0	10.72
01/22/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-184.00	13.40	0.00	13.40	80.0	10.72
01/22/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-190.00	14.25	0.00	14.25	80.0	11.40
01/22/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-176.00	13.40	0.00	13.40	80.0	10.72
01/22/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-120.00	13.40	0.00	13.40	80.0	10.72
01/23/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-20-1 B		41,938.98	15.10	0.00	15.10	80.0	12.08
01/23/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-20-1 S		-27,384.00	15.10	0.00	15.10	80.0	12.08
01/23/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 B		11,035.00	10.85	0.00	10.85	80.0	8.68
01/23/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 S		-8,325.00	10.85	0.00	10.85	80.0	8.68
01/23/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-60,523.00	13.40	0.00	13.40	80.0	10.72
01/23/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-26,134.00	11.70	0.00	11.70	80.0	9.36
01/23/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		89,624.00	16.80	0.00	16.80	80.0	13.44
01/23/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		21,108.00	11.70	0.00	11.70	80.0	9.36
01/23/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-09-18 S		-825.00	12.55	0.00	12.55	80.0	10.04
01/23/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-09-18 S		-1,780.00	13.40	0.00	13.40	80.0	10.72
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 06-15-1 S		-39,055.00	14.25	0.00	14.25	80.0	11.40
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-20-1 B		13,841.00	11.70	0.00	11.70	80.0	9.36
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-20-1 B		15,996.00	12.55	0.00	12.55	80.0	10.04

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-20-1 S		-9,217.00	11.70	0.00	11.70	80.0	9.36
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 03-16-1 B		25,747.04	16.80	0.00	16.80	80.0	13.44
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-20-1 S		-8,970.00	12.55	0.00	12.55	80.0	10.04
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-38,254.00	13.40	0.00	13.40	80.0	10.72
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		57,223.98	17.65	0.00	17.65	80.0	14.12
01/24/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-02-18 B		15,205.08	47.40	0.00	47.40	80.0	37.92
01/24/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-02-18 S		-5,269.00	47.40	0.00	47.40	80.0	37.92
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 03-16-1 S		-20,466.00	8.85	0.00	8.85	80.0	7.08
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 03-16-1 S		-31,251.00	14.75	0.00	14.75	80.0	11.80
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 03-16-1 S		-16,074.00	16.80	0.00	16.80	80.0	13.44
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 03-16-1 B		32,809.98	9.10	0.00	9.10	80.0	7.28
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 03-16-1 B		45,491.04	13.65	0.00	13.65	80.0	10.92
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 03-16-1 S		20,466.00	-8.85	0.00	-8.85	80.0	-7.08 C
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 03-16-1 S		-20,466.00	8.85	0.00	8.85	80.0	7.08
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 03-16-1 B		-32,809.98	-9.10	0.00	-9.10	80.0	-7.28 C
01/24/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 03-16-1 B		32,809.98	9.10	0.00	9.10	80.0	7.28
01/24/2018	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 05- S		-6,200.00	52.50	0.00	52.50	80.0	42.00
01/24/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		3,053.86	55.05	0.00	55.05	80.0	44.04
01/24/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 04-20 S		-1,500.00	12.55	0.00	12.55	80.0	10.04
01/24/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 04-20 S		-1,710.00	12.55	0.00	12.55	80.0	10.04
01/24/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 06-15-18 S		-4,580.00	11.70	0.00	11.70	80.0	9.36
01/24/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 06-15-18 S		-7,100.00	11.70	0.00	11.70	80.0	9.36
01/24/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 03-02-18 B		3,815.01	12.55	0.00	12.55	80.0	10.04
01/24/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 03-02-18 S		-5,479.98	15.10	0.00	15.10	80.0	12.08
01/24/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 03-02-18 B		-3,815.01	-12.55	0.00	-12.55	80.0	-10.04 C
01/24/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 03-02-18 B		3,815.01	12.55	0.00	12.55	80.0	10.04
01/25/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-20-18 B		5,276.00	16.80	0.00	16.80	80.0	13.44
01/25/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-20-18 S		-4,141.04	16.80	0.00	16.80	80.0	13.44
01/25/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 B		115,687.00	21.05	0.00	21.05	80.0	16.84
01/25/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 S		-87,942.01	21.05	0.00	21.05	80.0	16.84
01/25/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-15 S		-7,860.00	12.55	0.00	12.55	80.0	10.04
01/25/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 06-15-18 S		-2,200.00	11.70	0.00	11.70	80.0	9.36
01/25/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-02-18 S		-830.00	11.70	0.00	11.70	80.0	9.36
01/25/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-02-18 S		-1,060.00	11.70	0.00	11.70	80.0	9.36
01/25/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-100.00	13.40	0.00	13.40	80.0	10.72
01/25/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-132.00	13.40	0.00	13.40	80.0	10.72
01/26/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-21-18 B		12,344.94	32.95	0.00	32.95	80.0	26.36
01/26/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-21-18 S		-5,319.00	32.95	0.00	32.95	80.0	26.36
01/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 B		58,872.00	15.10	0.00	15.10	80.0	12.08
01/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 S		-66,264.00	16.80	0.00	16.80	80.0	13.44

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-26,610.00	11.70	0.00	11.70	80.0	9.36
01/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		24,975.00	11.70	0.00	11.70	80.0	9.36
01/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-43,093.00	13.40	0.00	13.40	80.0	10.72
01/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		18,400.00	11.70	0.00	11.70	80.0	9.36
01/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		17,627.00	11.70	0.00	11.70	80.0	9.36
01/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-23-1 B		35,898.03	15.95	0.00	15.95	80.0	12.76
01/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-23-1 S		-13,908.00	12.55	0.00	12.55	80.0	10.04
01/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-23-1 S		-4,322.00	10.85	0.00	10.85	80.0	8.68
01/26/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-23-1 S		-11,931.99	12.55	0.00	12.55	80.0	10.04
01/26/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-02-18 B		6,245.91	32.95	0.00	32.95	80.0	26.36
01/26/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-02-18 S		-3,663.09	32.95	0.00	32.95	80.0	26.36
01/26/2018	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 02- B		18,315.00	48.25	0.00	48.25	80.0	38.60
01/26/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-22,365.00	48.25	0.00	48.25	80.0	38.60
01/26/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 04-20-18 S		-10,393.98	15.10	0.00	15.10	80.0	12.08
01/26/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 04-20-18 B		8,754.00	12.55	0.00	12.55	80.0	10.04
01/26/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-09-18 S		-3,038.00	13.40	0.00	13.40	80.0	10.72
01/26/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-09-18 S		-2,620.00	11.70	0.00	11.70	80.0	9.36
01/26/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-09-18 B		1,802.00	10.85	0.00	10.85	80.0	8.68
01/26/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-09-18 B		2,325.00	11.70	0.00	11.70	80.0	9.36
01/26/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-09-18 S		3,038.00	-13.40	0.00	-13.40	80.0	-10.72 C
01/26/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-09-18 S		-3,038.00	13.40	0.00	13.40	80.0	10.72
01/26/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-09-18 S		2,620.00	-11.70	0.00	-11.70	80.0	-9.36 C
01/26/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-09-18 S		-2,620.00	11.70	0.00	11.70	80.0	9.36
01/26/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-09-18 B		-1,802.00	-10.85	0.00	-10.85	80.0	-8.68 C
01/26/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-09-18 B		1,802.00	10.85	0.00	10.85	80.0	8.68
01/26/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-09-18 B		-2,325.00	-11.70	0.00	-11.70	80.0	-9.36 C
01/26/2018	QGW001166 KOONDEL	PUT 100 AMAZON COM INC EXP 02-09-18 B		2,325.00	11.70	0.00	11.70	80.0	9.36
01/26/2018	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 03-02-18@ S		-1,025.00	14.25	0.00	14.25	80.0	11.40
01/26/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-222.00	12.55	0.00	12.55	80.0	10.04
01/26/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-309.00	12.55	0.00	12.55	80.0	10.04
01/26/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-132.00	13.40	0.00	13.40	80.0	10.72
01/26/2018	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-116.00	13.40	0.00	13.40	80.0	10.72
01/29/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 04-2 S		-82,118.00	13.40	0.00	13.40	80.0	10.72
01/29/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 04-2 B		112,224.00	15.10	0.00	15.10	80.0	12.08
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-20-1 B		37,068.00	14.25	0.00	14.25	80.0	11.40
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-20-1 B		39,571.02	15.10	0.00	15.10	80.0	12.08
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-20-1 S		-56,737.01	19.35	0.00	19.35	80.0	15.48
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 S		-153,349.95	21.05	0.00	21.05	80.0	16.84
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 B		64,400.98	9.45	0.00	9.45	80.0	7.56
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 B		120,741.01	17.55	0.00	17.55	80.0	14.04

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 S		-16,933.00	11.70	0.00	11.70	80.0	9.36
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 S		-15,507.00	11.70	0.00	11.70	80.0	9.36
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 S		-21,477.00	12.55	0.00	12.55	80.0	10.04
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-142,921.02	19.35	0.00	19.35	80.0	15.48
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		20,434.00	11.70	0.00	11.70	80.0	9.36
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		17,767.00	11.70	0.00	11.70	80.0	9.36
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		35,276.00	13.40	0.00	13.40	80.0	10.72
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		24,147.00	12.55	0.00	12.55	80.0	10.04
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-20-1 B		-37,068.00	-14.25	0.00	-14.25	80.0	-11.40 C
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 04-20-1 B		37,068.00	14.25	0.00	14.25	80.0	11.40
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 B		-64,400.98	-9.45	0.00	-9.45	80.0	-7.56 C
01/29/2018	QGW001166 KOONDEL	CALL 100 AMAZON COM INC EXP 07-20-1 B		64,400.98	9.45	0.00	9.45	80.0	7.56
01/29/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		2,511.00	48.25	0.00	48.25	80.0	38.60
01/29/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-2,961.00	48.25	0.00	48.25	80.0	38.60
01/29/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-15 S		-1,950.00	12.55	0.00	12.55	80.0	10.04
01/29/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-15 S		-3,300.00	12.55	0.00	12.55	80.0	10.04
01/29/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-15 S		-2,700.00	11.70	0.00	11.70	80.0	9.36
01/29/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 04-20 S		-2,580.00	12.55	0.00	12.55	80.0	10.04
01/29/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 04-20 S		-2,300.00	11.70	0.00	11.70	80.0	9.36
01/29/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 04-20 S		-3,000.00	11.70	0.00	11.70	80.0	9.36
01/29/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 06-15 S		-2,550.00	12.55	0.00	12.55	80.0	10.04
01/29/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 04-20 S		-8,300.00	11.70	0.00	11.70	80.0	9.36
01/30/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-46,602.99	12.55	0.00	12.55	80.0	10.04
01/30/2018	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		60,344.00	13.40	0.00	13.40	80.0	10.72
01/30/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-16-18 B		5,519.03	19.35	0.00	19.35	80.0	15.48
01/30/2018	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 03-16-18 S		-3,692.04	19.35	0.00	19.35	80.0	15.48
01/30/2018	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		2,552.00	52.50	0.00	52.50	80.0	42.00
01/30/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 04-20 S		-11,590.00	16.80	0.00	16.80	80.0	13.44
01/30/2018	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 04-20 B		7,970.00	13.40	0.00	13.40	80.0	10.72
01/04/2018	QGW001174 KOONDEL	CALL 100 APPLE INC COM EXP 02-02-18 S		-213.00	11.70	0.00	11.70	80.0	9.36
01/04/2018	QGW001174 KOONDEL	PUT 100 APPLE INC COM EXP 02-02-18@ S		-206.00	11.70	0.00	11.70	80.0	9.36
01/10/2018	QGW001174 KOONDEL	CALL 100 BANK AMER CORP COM EXP 01- B		36.00	10.85	0.00	10.85	80.0	8.68
01/10/2018	QGW001174 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- S		-97.00	10.85	0.00	10.85	80.0	8.68
01/10/2018	QGW001174 KOONDEL	CALL 100 BANK AMER CORP COM EXP 01- B		-36.00	-10.85	0.00	-10.85	80.0	-8.68 C
01/10/2018	QGW001174 KOONDEL	CALL 100 BANK AMER CORP COM EXP 01- B		36.00	10.85	0.00	10.85	80.0	8.68
01/19/2018	QGW001174 KOONDEL	CALL 100 BANK AMER CORP COM EXP 01- S		-65.00	10.85	0.00	10.85	80.0	8.68
01/19/2018	QGW001174 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- B		130.00	10.85	0.00	10.85	80.0	8.68
01/02/2018	QGW001190 ASSOCIATES, BKI	CALL 100 ALPHABET INC CL C EXP 01-1 B		1,853.00	11.70	0.00	11.70	80.0	9.36
01/02/2018	QGW001190 ASSOCIATES, BKI	CALL 100 ALPHABET INC CL C EXP 01-1 S		-1,419.00	11.70	0.00	11.70	80.0	9.36
01/02/2018	QGW001190 ASSOCIATES, BKI	CALL 100 ALPHABET INC CL C EXP 01-1 S		-470.00	10.85	0.00	10.85	80.0	8.68

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/05/2018	QGW001190	ASSOCIATES, BKI	CALL 100	APPLE INC COM EXP 02-09-18 S	-420.99	12.55	0.00	12.55	80.0 10.04
01/05/2018	QGW001190	ASSOCIATES, BKI	PUT 100	APPLE INC COM EXP 02-09-18@ S	-605.01	12.55	0.00	12.55	80.0 10.04
01/10/2018	QGW001190	ASSOCIATES, BKI	CALL 100	ALPHABET INC CL C EXP 01-1 S	-6,366.00	11.70	0.00	11.70	80.0 9.36
01/10/2018	QGW001190	ASSOCIATES, BKI	CALL 100	ALPHABET INC CL C EXP 01-1 B	5,386.00	11.70	0.00	11.70	80.0 9.36
01/10/2018	QGW001190	ASSOCIATES, BKI	CALL 100	ALPHABET INC CL C EXP 01-1 B	2,244.00	11.70	0.00	11.70	80.0 9.36
01/10/2018	QGW001190	ASSOCIATES, BKI	CALL 100	ALPHABET INC CL C EXP 01-1 B	1,700.00	10.85	0.00	10.85	80.0 8.68
01/10/2018	QGW001190	ASSOCIATES, BKI	CALL 100	ALPHABET INC CL C EXP 01-1 S	-744.00	11.70	0.00	11.70	80.0 9.36
01/10/2018	QGW001190	ASSOCIATES, BKI	CALL 100	ALPHABET INC CL C EXP 01-1 B	-2,244.00	-11.70	0.00	-11.70	80.0 -9.36 C
01/10/2018	QGW001190	ASSOCIATES, BKI	CALL 100	ALPHABET INC CL C EXP 01-1 B	2,244.00	11.70	0.00	11.70	80.0 9.36
01/10/2018	QGW001190	ASSOCIATES, BKI	CALL 100	ALPHABET INC CL C EXP 01-1 S	744.00	-11.70	0.00	-11.70	80.0 -9.36 C
01/10/2018	QGW001190	ASSOCIATES, BKI	CALL 100	ALPHABET INC CL C EXP 01-1 S	-744.00	11.70	0.00	11.70	80.0 9.36
12/27/2017	QGW001828	BRIMBERG BIOTECH	CALL 100	EXELIXIS INC COM EXP 01-19 S	3,075.00	-300.00	0.00	-300.00	80.0 -240.00 C
12/27/2017	QGW001828	BRIMBERG BIOTECH	CALL 100	EXELIXIS INC COM EXP 01-19 S	-3,075.00	150.00	0.00	150.00	80.0 120.00
12/27/2017	QGW001828	BRIMBERG BIOTECH	CALL 100	EXELIXIS INC COM EXP 01-19 S	1,450.00	-200.00	0.00	-200.00	80.0 -160.00 C
12/27/2017	QGW001828	BRIMBERG BIOTECH	CALL 100	EXELIXIS INC COM EXP 01-19 S	-1,450.00	100.00	0.00	100.00	80.0 80.00
01/12/2018	QGW001828	BRIMBERG BIOTECH	CALL 100	EXELIXIS INC COM EXP 02-16 S	-650.00	100.00	0.00	100.00	80.0 80.00
01/12/2018	QGW001828	BRIMBERG BIOTECH	CALL 100	EXELIXIS INC COM EXP 02-16 S	650.00	-100.00	0.00	-100.00	80.0 -80.00 C
01/12/2018	QGW001828	BRIMBERG BIOTECH	CALL 100	EXELIXIS INC COM EXP 02-16 S	-650.00	50.00	0.00	50.00	80.0 40.00
01/17/2018	QGW004905	CAPRARO, DAVID	CALL 100	UNITI GROUP INC EXP 01-19- B	150.00	50.00	0.00	50.00	80.0 40.00
01/19/2018	QGW004905	CAPRARO, DAVID	PUT 100	APPLE INC COM EXP 01-19-18@ B	200.00	62.50	0.00	62.50	80.0 50.00
01/09/2018	QGW005308	HANNIGAN, PAMELA	CALL 100	ISHARES TR NASDAQ BIOTECHN B	2,050.00	75.52	0.00	75.52	80.0 60.42
01/24/2018	QGW005308	HANNIGAN, PAMELA	CALL 100	ISHARES TR NASDAQ BIOTECHN S	-3,620.00	93.04	0.00	93.04	80.0 74.43
01/02/2018	QGW005936	RABBITO, RICHARD	CALL 100	UNITED PARCEL SVC INC CL B B	840.00	35.00	0.00	35.00	80.0 28.00
01/03/2018	QGW005936	RABBITO, RICHARD	CALL 100	UNITED PARCEL SVC INC CL B B	339.00	35.00	0.00	35.00	80.0 28.00
01/05/2018	QGW005936	RABBITO, RICHARD	CALL 100	UNITED PARCEL SVC INC CL B S	-1,912.00	35.00	0.00	35.00	80.0 28.00
01/05/2018	QGW005936	RABBITO, RICHARD	CALL 100	UNITED PARCEL SVC INC CL B S	-448.00	35.00	0.00	35.00	80.0 28.00
01/08/2018	QGW005936	RABBITO, RICHARD	CALL 100	GENERAL ELECTRIC CO COM EX B	1,770.00	35.00	0.00	35.00	80.0 28.00
01/08/2018	QGW005936	RABBITO, RICHARD	CALL 100	UNITED PARCEL SVC INC CL B B	460.00	35.00	0.00	35.00	80.0 28.00
01/16/2018	QGW005936	RABBITO, RICHARD	CALL 100	GENERAL ELECTRIC CO COM EX S	-1,140.00	35.00	0.00	35.00	80.0 28.00
01/16/2018	QGW005936	RABBITO, RICHARD	CALL 100	UNITED PARCEL SVC INC CL B S	-977.00	35.00	0.00	35.00	80.0 28.00
01/16/2018	QGW005936	RABBITO, RICHARD	PUT 100	GENERAL ELECTRIC CO COM EXP B	800.00	35.00	0.00	35.00	80.0 28.00
01/17/2018	QGW005936	RABBITO, RICHARD	CALL 100	HOME DEPOT INC COM EXP 03- B	812.00	35.00	0.00	35.00	80.0 28.00
01/17/2018	QGW005936	RABBITO, RICHARD	PUT 100	GENERAL ELECTRIC CO COM EXP S	-1,280.00	35.00	0.00	35.00	80.0 28.00
01/18/2018	QGW005936	RABBITO, RICHARD	CALL 100	UNITED PARCEL SVC INC CL B B	740.00	35.00	0.00	35.00	80.0 28.00
01/22/2018	QGW005936	RABBITO, RICHARD	CALL 100	VERIZON COMMUNICATIONS INC B	460.00	35.00	0.00	35.00	80.0 28.00
01/23/2018	QGW005936	RABBITO, RICHARD	CALL 100	VERIZON COMMUNICATIONS INC S	-520.00	35.00	0.00	35.00	80.0 28.00
01/24/2018	QGW005936	RABBITO, RICHARD	CALL 100	JP MORGAN CHASE & CO COM I B	432.00	35.00	0.00	35.00	80.0 28.00
01/30/2018	QGW006371	TRAN, SCOTT	CALL 100	AMBARELLA INC SHS ISIN#KYG S	-546.00	50.00	0.00	50.00	80.0 40.00
01/30/2018	QGW006371	TRAN, SCOTT	CALL 100	AMBARELLA INC SHS ISIN#KYG S	546.00	-50.00	0.00	-50.00	80.0 -40.00 C
01/30/2018	QGW006371	TRAN, SCOTT	CALL 100	AMBARELLA INC SHS ISIN#KYG S	-546.00	50.00	0.00	50.00	80.0 40.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
12/29/2017	QGW009730	SHARTSIS, JAY	CALL 100 WESTERN	S	-8,100.00	20.00	0.00	20.00	80.0	16.00
12/29/2017	QGW009730	SHARTSIS, JAY	CALL 100 WESTERB	B	1,575.00	20.00	0.00	20.00	80.0	16.00
12/29/2017	QGW009730	SHARTSIS, JAY	PUT 100 PROSHARES TR II PROSHARES S B		990.00	20.00	0.00	20.00	80.0	16.00
12/29/2017	QGW009730	SHARTSIS, JAY	PUT 100 WAL MART	B	6,720.00	20.00	0.00	20.00	80.0	16.00
01/02/2018	QGW009730	SHARTSIS, JAY	PUT 100 WAL MART	S	-2,598.00	20.00	0.00	20.00	80.0	16.00
01/04/2018	QGW009730	SHARTSIS, JAY	CALL 100 PROSHARES TR II SHORT VIX S		-2,880.00	20.00	0.00	20.00	80.0	16.00
01/05/2018	QGW009730	SHARTSIS, JAY	PUT 100 WAL MART STORES INC COM EXP S		-1,600.00	20.00	0.00	20.00	80.0	16.00
01/22/2018	QGW009730	SHARTSIS, JAY	CALL 100 BARCLAYS BK PLC IPATH S&P S		-4,950.00	20.00	0.00	20.00	80.0	16.00
01/22/2018	QGW009730	SHARTSIS, JAY	PUT 100 KOHLS CORP COM EXP 02-16-18 S		-750.00	20.00	0.00	20.00	80.0	16.00
01/22/2018	QGW009730	SHARTSIS, JAY	PUT 100 OVERSTOCK COM INC DEL COM E S		-320.00	20.00	0.00	20.00	80.0	16.00
01/25/2018	QGW009730	SHARTSIS, JAY	CALL 100 BARCLAYS BK PLC IPATH S&P B		3,050.00	20.00	0.00	20.00	80.0	16.00
01/25/2018	QGW009730	SHARTSIS, JAY	PUT 100 SPDR S&P 500 ETF TR TR UNIT B		2,579.00	20.00	0.00	20.00	80.0	16.00
01/30/2018	QGW009730	SHARTSIS, JAY	PUT 100 SPDR S&P 500 ETF TR TR UNIT S		-3,240.00	20.00	0.00	20.00	80.0	16.00
01/10/2018	QGW013104	GRASS, BRIAN	CALL 100 UNITED STS STL CORP NEW CO S		-822.00	20.00	0.00	20.00	80.0	16.00
01/04/2018	QGW014391	DARWISH, MENACHEM	PUT 100 ENERGOUS CORP COM EXP 02-16 S		-5,250.00	35.00	0.00	35.00	80.0	28.00
01/08/2018	QGW014391	DARWISH, MENACHEM	CALL 100 ENERGOUS CORP COM EXP 01-1 B		21,200.00	35.00	0.00	35.00	80.0	28.00
01/19/2018	QGW014391	DARWISH, MENACHEM	CALL 100 VALERO ENERGY CORP NEW COM S		-111,600.00	35.00	0.00	35.00	80.0	28.00
01/23/2018	QGW014391	DARWISH, MENACHEM	CALL 100 MACQUARIE INFRASTRUCTURE C B		19,200.00	35.00	0.00	35.00	80.0	28.00
01/03/2018	QGW021743	HANNIGAN, PAMELA	CALL 100 SELECT SECTOR SPDR TR MATL B		2,250.00	111.38	0.00	111.38	80.0	89.10
01/04/2018	QGW021743	HANNIGAN, PAMELA	CALL 100 MICRON TECHNOLOGY INC COM B		6,500.00	129.79	0.00	129.79	80.0	103.83
01/05/2018	QGW021743	HANNIGAN, PAMELA	CALL 100 MICRON TECHNOLOGY INC COM S		-6,588.00	130.77	0.00	130.77	80.0	104.62
01/05/2018	QGW021743	HANNIGAN, PAMELA	CALL 100 SELECT SECTOR SPDR TR MATL B		3,370.00	123.56	0.00	123.56	80.0	98.85
01/05/2018	QGW021743	HANNIGAN, PAMELA	CALL 100 SELECT SECTOR SPDR TR MATL B		750.00	63.00	0.00	63.00	80.0	50.40
01/09/2018	QGW021743	HANNIGAN, PAMELA	CALL 100 FREEPORT-MCMORAN INC CL B S		-2,265.00	133.59	0.00	133.59	80.0	106.87
01/09/2018	QGW021743	HANNIGAN, PAMELA	CALL 100 FREEPORT-MCMORAN INC CL B S		2,265.00	-133.59	0.00	-133.59	80.0	-106.87 C
01/09/2018	QGW021743	HANNIGAN, PAMELA	CALL 100 FREEPORT-MCMORAN INC CL B S		-2,265.00	105.00	0.00	105.00	80.0	84.00
01/23/2018	QGW021743	HANNIGAN, PAMELA	CALL 100 ETF MANAGERS TR ETFMG ALTE B		4,400.00	133.76	0.00	133.76	80.0	107.01
01/30/2018	QGW021743	HANNIGAN, PAMELA	CALL 100 ETF MANAGERS TR ETFMG ALTE S		-1,500.00	100.65	0.00	100.65	80.0	80.52
01/30/2018	QGW021743	HANNIGAN, PAMELA	CALL 100 SELECT SECTOR SPDR TR MATL S		-3,460.00	124.45	0.00	124.45	80.0	99.56
01/30/2018	QGW021743	HANNIGAN, PAMELA	CALL 100 SELECT SECTOR SPDR TR MATL S		-3,320.00	50.00	0.00	50.00	80.0	40.00
01/30/2018	QGW021743	HANNIGAN, PAMELA	CALL 100 SELECT SECTOR SPDR TR MATL B		931.00	78.20	0.00	78.20	80.0	62.56
01/03/2018	QGW029019	SHARTSIS, JAY	PUT 100 PROSHARES TR II PROSHARES S B		5,300.00	20.00	0.00	20.00	80.0	16.00
01/23/2018	QGW030629	BEGLEY, MICHAEL	CALL 100 LOWES COS INC COM EXP 07-2 B		1,180.00	20.00	0.00	20.00	80.0	16.00
01/24/2018	QGW030629	BEGLEY, MICHAEL	CALL 100 AMAZON COM INC EXP 02-09-1 B		2,000.00	20.00	0.00	20.00	80.0	16.00
01/29/2018	QGW030629	BEGLEY, MICHAEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-2,305.00	20.00	0.00	20.00	80.0	16.00
01/30/2018	QGW030629	BEGLEY, MICHAEL	CALL 100 AMAZON COM INC EXP 02-02-1 B		2,300.00	20.00	0.00	20.00	80.0	16.00
01/30/2018	QGW030629	BEGLEY, MICHAEL	CALL 100 AMAZON COM INC EXP 02-09-1 S		-2,875.00	20.00	0.00	20.00	80.0	16.00
01/30/2018	QGW030629	BEGLEY, MICHAEL	CALL 100 MICROSOFT CORP COM EXP 06- S		-1,244.00	20.00	0.00	20.00	80.0	16.00
01/08/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 VUZIX CORP COM NEW EXP 01- B		1,310.00	20.00	0.00	20.00	80.0	16.00
01/08/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 VUZIX CORP COM NEW EXP 07- S		-1,410.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/11/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 JOHNSON & JOHNSON COM EXP B	1,845.00	20.00	0.00	20.00	80.0	16.00
01/11/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 JOHNSON & JOHNSON COM EXP S	-565.00	20.00	0.00	20.00	80.0	16.00
01/22/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 EXXON MOBIL CORP COM EXP 0 S	-558.00	20.00	0.00	20.00	80.0	16.00
01/22/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 ONEOK INC NEW COM EXP 01-1 S	-1,125.00	20.00	0.00	20.00	80.0	16.00
01/23/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 HOME DEPOT INC COM EXP 05- S	-662.00	20.00	0.00	20.00	80.0	16.00
01/23/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 RIOT BLOCKCHAIN INC COM EX S	-660.00	20.00	0.00	20.00	80.0	16.00
01/23/2018	QGW030702	RUDOLPH, MARTIN	CALL 100 TAHOE RES INC COM ISIN#CA8 S	-670.00	20.00	0.00	20.00	80.0	16.00
01/03/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 NETFLIX INC COM EXP 01-18- B	7,392.00	20.00	0.00	20.00	80.0	16.00
01/03/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 NETFLIX INC COM EXP 01-18-1 S	-2,010.00	20.00	0.00	20.00	80.0	16.00
01/08/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 04-20-18 B	770.00	20.00	0.00	20.00	80.0	16.00
01/11/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 06-15-18 B	560.00	20.00	0.00	20.00	80.0	16.00
01/11/2018	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 07-20-18 S	-1,110.00	20.00	0.00	20.00	80.0	16.00
01/12/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 BRISTOL MYERS SQUIBB CO CO S	-250.00	20.00	0.00	20.00	80.0	16.00
01/18/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 EXXON MOBIL CORP COM EXP 0 S	-621.00	20.00	0.00	20.00	80.0	16.00
01/22/2018	QGW030728	RUDOLPH, MARTIN	CALL 100 NETFLIX INC COM EXP 01-26- B	3,760.00	20.00	0.00	20.00	80.0	16.00
01/22/2018	QGW030744	RUDOLPH, CAROL	CALL 100 MOMO INC ADR ISIN#US60879B S	-210.00	20.00	0.00	20.00	80.0	16.00
01/12/2018	QGW031049	WINNICK, CHARLES	CALL 100 BRISTOL MYERS SQUIBB CO CO S	-1,230.00	20.00	0.00	20.00	80.0	16.00
01/30/2018	QGW034019	BEGLEY, HOLLY	CALL 100 PRUDENTIAL FINL INC COM EX B	860.00	20.00	0.00	20.00	80.0	16.00
01/03/2018	QGW035099	BELZ, ERIC	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-1,080.00	20.00	0.00	20.00	80.0	16.00
01/23/2018	QGW036410	BELZ, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 02- S	-1,650.00	20.00	0.00	20.00	80.0	16.00
01/29/2018	QGW036410	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 10-1 S	-2,600.00	20.00	0.00	20.00	80.0	16.00
01/29/2018	QGW036410	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 10-1 S	2,600.00	-20.00	0.00	-20.00	80.0	-16.00 C
01/04/2018	QGW036436	BELZ, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 04- S	-2,025.00	20.00	0.00	20.00	80.0	16.00
01/04/2018	QGW036436	BELZ, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 04- S	2,025.00	-20.00	0.00	-20.00	80.0	-16.00 C
01/04/2018	QGW036436	BELZ, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 04- S	-2,025.00	20.00	0.00	20.00	80.0	16.00
01/05/2018	QGW036436	BELZ, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 02- S	-1,350.00	20.00	0.00	20.00	80.0	16.00
01/05/2018	QGW036436	BELZ, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 02- S	1,350.00	-20.00	0.00	-20.00	80.0	-16.00 C
01/05/2018	QGW036436	BELZ, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 02- S	-1,350.00	20.00	0.00	20.00	80.0	16.00
01/11/2018	QGW036436	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 02-1 S	-1,275.00	20.00	0.00	20.00	80.0	16.00
01/23/2018	QGW036436	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-400.00	20.00	0.00	20.00	80.0	16.00
01/26/2018	QGW036436	BELZ, KATHLEEN	CALL 100 OPKO HEALTH INC COM EXP 03 S	-885.00	20.00	0.00	20.00	80.0	16.00
01/29/2018	QGW036436	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 10-1 S	-2,600.00	20.00	0.00	20.00	80.0	16.00
01/29/2018	QGW036436	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 10-1 S	2,600.00	-20.00	0.00	-20.00	80.0	-16.00 C
01/29/2018	QGW036436	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 10-1 S	-2,600.00	20.00	0.00	20.00	80.0	16.00
01/26/2018	QGW036444	BELZ, RICHARD	PUT 100 VUZIX CORP COM NEW EXP 03-1 S	-5,250.00	20.00	0.00	20.00	80.0	16.00
01/10/2018	QGW036451	BELZ, RICHARD	CALL 100 OPKO HEALTH INC COM EXP 02 S	-800.00	20.00	0.00	20.00	80.0	16.00
01/10/2018	QGW036451	BELZ, RICHARD	PUT 100 PAYPAL HLDGS INC COM EXP 01 S	-1,790.00	20.00	0.00	20.00	80.0	16.00
01/11/2018	QGW036451	BELZ, RICHARD	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-1,250.00	20.00	0.00	20.00	80.0	16.00
01/17/2018	QGW036451	BELZ, RICHARD	CALL 100 NABORS INDS LTD SHS ISIN#B S	-380.00	20.00	0.00	20.00	80.0	16.00
01/22/2018	QGW036451	BELZ, RICHARD	CALL 100 NABORS INDS LTD SHS ISIN#B S	-940.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: OPTIONS									
01/23/2018	QGW036451	BELZ, RICHARD	PUT 100 VUZIX CORP COM NEW EXP 02-1 S	-3,960.00	20.00	0.00	20.00	80.0	16.00
01/29/2018	QGW036451	BELZ, RICHARD	CALL 100 WYNN RESORTS LTD COM EXP 0 S	-7,500.00	20.00	0.00	20.00	80.0	16.00
01/17/2018	QGW036659	SHARTSIS, JAY	CALL 100 BARCLAYS BK PLC IPATH S&P S	-441.00	20.00	0.00	20.00	80.0	16.00
01/22/2018	QGW036659	SHARTSIS, JAY	CALL 100 BARCLAYS BK PLC IPATH S&P S	-702.00	20.00	0.00	20.00	80.0	16.00
01/24/2018	QGW036659	SHARTSIS, JAY	CALL 100 BARCLAYS BK PLC IPATH S&P B	891.00	20.00	0.00	20.00	80.0	16.00
01/30/2018	QGW036659	SHARTSIS, JAY	CALL 100 BARCLAYS BK PLC IPATH S&P S	-435.00	20.00	0.00	20.00	80.0	16.00
12/29/2017	QGW038200	CARROLL, DAVID	CALL 100 ARCONIC INC COM EXP 01-19- B	2,048.00	50.00	0.00	50.00	80.0	40.00
12/29/2017	QGW038200	CARROLL, DAVID	CALL 100 ARCONIC INC S	-3,992.00	50.00	0.00	50.00	80.0	40.00
12/29/2017	QGW038200	CARROLL, DAVID	PUT 100 SODR S & P 500 S	-16,425.00	50.00	0.00	50.00	80.0	40.00
12/29/2017	QGW038200	CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT B	16,245.00	50.00	0.00	50.00	80.0	40.00
01/04/2018	QGW038200	CARROLL, DAVID	PUT 100 AT&T INC COM EXP 01-19-18@4 B	6,120.00	50.00	0.00	50.00	80.0	40.00
01/04/2018	QGW038200	CARROLL, DAVID	PUT 100 SODR S & P 500 S	-5,888.00	50.00	0.00	50.00	80.0	40.00
01/19/2018	QGW038200	CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-2,079.00	50.00	0.00	50.00	80.0	40.00
01/10/2018	QGW038259	ROSS, THOMAS	PUT 100 NEWELL BRANDS INC COM EXP 0 S	-1,624.02	70.00	0.00	70.00	80.0	56.00
01/10/2018	QGW038259	ROSS, THOMAS	PUT 100 NEWELL BRANDS INC COM EXP 0 S	1,624.02	-70.00	0.00	-70.00	80.0	-56.00 C
01/03/2018	QGW038853	KAZLOWSKI, PHILIP	CALL 100 ENERGY TRANSFER OF PARTNER S	-435.00	30.00	0.00	30.00	80.0	24.00
01/03/2018	QGW038853	KAZLOWSKI, PHILIP	CALL 100 ENERGY TRANSFER OF PARTNER B	150.00	30.00	0.00	30.00	80.0	24.00
01/23/2018	QGW038853	KAZLOWSKI, PHILIP	PUT 100 CENTURYLINK INC COM EXP 02- B	102.00	15.00	0.00	15.00	80.0	12.00
12/29/2017	QGW038887	ANDERSON, JAMES	PUT 100 ENERGOUS B	612.00	20.00	0.00	20.00	80.0	16.00
12/29/2017	QGW038887	ANDERSON, JAMES	PUT 100 MICRON S	-365.00	20.00	0.00	20.00	80.0	16.00
12/29/2017	QGW038887	ANDERSON, JAMES	PUT 100 MICRON B	545.00	20.00	0.00	20.00	80.0	16.00
01/02/2018	QGW038887	ANDERSON, JAMES	PUT 100 ENERGOUS S	-560.00	20.00	0.00	20.00	80.0	16.00
01/09/2018	QGW038887	ANDERSON, JAMES	CALL 100 ADVANCED MICRO DEVICES INC B	448.00	20.00	0.00	20.00	80.0	16.00
01/09/2018	QGW038887	ANDERSON, JAMES	CALL 100 OVERSTOCK COM INC DEL COM S	-2,350.00	20.00	0.00	20.00	80.0	16.00
01/12/2018	QGW038887	ANDERSON, JAMES	CALL 100 DISNEY WALT CO DISNEY COM B	924.00	20.00	0.00	20.00	80.0	16.00
01/18/2018	QGW038887	ANDERSON, JAMES	PUT 100 ENERGOUS S	-404.00	20.00	0.00	20.00	80.0	16.00
01/19/2018	QGW038887	ANDERSON, JAMES	CALL 100 DISNEY WALT CO DISNEY COM B	654.00	20.00	0.00	20.00	80.0	16.00
01/19/2018	QGW038887	ANDERSON, JAMES	CALL 100 GENERAL ELECTRIC CO COM EX B	520.00	20.00	0.00	20.00	80.0	16.00
01/19/2018	QGW038887	ANDERSON, JAMES	CALL 100 SQUARE INC CL A EXP 03-16- B	1,100.00	20.00	0.00	20.00	80.0	16.00
01/23/2018	QGW038887	ANDERSON, JAMES	CALL 100 ADVANCED MICRO DEVICES INC S	-784.00	20.00	0.00	20.00	80.0	16.00
01/23/2018	QGW038887	ANDERSON, JAMES	CALL 100 DISNEY WALT CO DISNEY COM S	-486.00	20.00	0.00	20.00	80.0	16.00
01/23/2018	QGW038887	ANDERSON, JAMES	CALL 100 GENERAL ELECTRIC CO COM EX S	-360.00	10.00	0.00	10.00	80.0	8.00
01/23/2018	QGW038887	ANDERSON, JAMES	CALL 100 GENERAL ELECTRIC CO COM EX S	-340.00	10.00	0.00	10.00	80.0	8.00
01/23/2018	QGW038887	ANDERSON, JAMES	CALL 100 MICROSOFT CORP COM EXP 02- B	456.00	20.00	0.00	20.00	80.0	16.00
01/23/2018	QGW038887	ANDERSON, JAMES	CALL 100 TWITTER INC COM EXP 02-16- B	480.00	20.00	0.00	20.00	80.0	16.00
01/24/2018	QGW038887	ANDERSON, JAMES	CALL 100 INTEL CORP COM EXP 02-16-1 B	808.00	20.00	0.00	20.00	80.0	16.00
01/25/2018	QGW038887	ANDERSON, JAMES	CALL 100 KLA-TENCOR CORP COM EXP 02 B	620.00	20.00	0.00	20.00	80.0	16.00
01/26/2018	QGW038887	ANDERSON, JAMES	CALL 100 APPLE INC COM EXP 02-16-18 B	1,396.00	20.00	0.00	20.00	80.0	16.00
01/26/2018	QGW038887	ANDERSON, JAMES	CALL 100 INTEL CORP COM EXP 02-16-1 S	-1,035.00	20.00	0.00	20.00	80.0	16.00
01/26/2018	QGW038887	ANDERSON, JAMES	CALL 100 KLA-TENCOR CORP COM EXP 02 S	-460.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/29/2018	QGW038887	ANDERSON, JAMES	CALL 100 APPLE INC COM EXP 02-09-18 B	816.00	20.00	0.00	20.00	80.0	16.00
01/29/2018	QGW038887	ANDERSON, JAMES	CALL 100 TWITTER INC COM EXP 02-16- S	-856.00	20.00	0.00	20.00	80.0	16.00
01/30/2018	QGW038887	ANDERSON, JAMES	CALL 100 INTEL CORP COM EXP 02-16-1 S	-1,950.00	20.00	0.00	20.00	80.0	16.00
01/30/2018	QGW038887	ANDERSON, JAMES	CALL 100 MICROSOFT CORP COM EXP 03- B	950.00	20.00	0.00	20.00	80.0	16.00
01/30/2018	QGW038887	ANDERSON, JAMES	PUT 100 NETFLIX INC COM EXP 02-16-1 B	844.00	20.00	0.00	20.00	80.0	16.00
01/05/2018	QGW039075	CAPRARO IRREVOCABLE	CALL 100 INTERNATIONAL BUSINESS MAC S	-1,620.00	102.37	0.00	102.37	80.0	81.90
01/05/2018	QGW039075	CAPRARO IRREVOCABLE	CALL 100 INTERNATIONAL BUSINESS MAC S	1,620.00	-102.37	0.00	-102.37	80.0	-81.90 C
01/05/2018	QGW039075	CAPRARO IRREVOCABLE	CALL 100 INTERNATIONAL BUSINESS MAC S	-1,620.00	40.00	0.00	40.00	80.0	32.00
01/05/2018	QGW039075	CAPRARO IRREVOCABLE	CALL 100 MCDONALDS CORP EXP 01-19-1 B	2,340.00	20.00	0.00	20.00	80.0	16.00
01/05/2018	QGW039075	CAPRARO IRREVOCABLE	CALL 100 MCDONALDS CORP EXP 01-19-1 S	-1,900.00	20.00	0.00	20.00	80.0	16.00
01/05/2018	QGW039075	CAPRARO IRREVOCABLE	PUT 100 CENTURYLINK INC COM EXP 01- S	-5,220.00	200.00	0.00	200.00	80.0	160.00
01/05/2018	QGW039075	CAPRARO IRREVOCABLE	PUT 100 INTERNATIONAL BUSINESS MACH S	-6,549.00	25.00	0.00	25.00	80.0	20.00
01/10/2018	QGW039075	CAPRARO IRREVOCABLE	CALL 100 INTERNATIONAL BUSINESS MAC B	1,690.00	40.00	0.00	40.00	80.0	32.00
01/10/2018	QGW039075	CAPRARO IRREVOCABLE	PUT 100 INTERNATIONAL BUSINESS MACH B	4,050.00	55.00	0.00	55.00	80.0	44.00
01/16/2018	QGW039075	CAPRARO IRREVOCABLE	CALL 100 CENTURYLINK INC COM EXP 02 S	-2,000.00	80.00	0.00	80.00	80.0	64.00
01/16/2018	QGW039075	CAPRARO IRREVOCABLE	PUT 100 CENTURYLINK INC COM EXP 02- S	-2,113.00	80.00	0.00	80.00	80.0	64.00
01/17/2018	QGW039075	CAPRARO IRREVOCABLE	CALL 100 FRONTIER COMMUNICATIONS CO B	3,000.00	100.00	0.00	100.00	80.0	80.00
01/19/2018	QGW039075	CAPRARO IRREVOCABLE	CALL 100 CENTURYLINK INC COM EXP 02 S	-1,770.00	30.00	0.00	30.00	80.0	24.00
01/23/2018	QGW039075	CAPRARO IRREVOCABLE	PUT 100 CENTURYLINK INC COM EXP 02- S	-1,651.00	80.00	0.00	80.00	80.0	64.00
01/23/2018	QGW039075	CAPRARO IRREVOCABLE	PUT 100 FRONTIER COMMUNICATIONS COR S	-4,500.00	100.00	0.00	100.00	80.0	80.00
01/24/2018	QGW039075	CAPRARO IRREVOCABLE	PUT 100 INVITAE CORP COM EXP 02-16- S	-3,000.00	90.00	0.00	90.00	80.0	72.00
01/24/2018	QGW039075	CAPRARO IRREVOCABLE	PUT 100 INVITAE CORP COM EXP 02-16- S	3,000.00	-90.00	0.00	-90.00	80.0	-72.00 C
01/24/2018	QGW039075	CAPRARO IRREVOCABLE	PUT 100 INVITAE CORP COM EXP 02-16- S	-3,000.00	90.00	0.00	90.00	80.0	72.00
01/26/2018	QGW039075	CAPRARO IRREVOCABLE	PUT 100 FRONTIER COMMUNICATIONS COR S	-2,500.00	50.00	0.00	50.00	80.0	40.00
01/26/2018	QGW039075	CAPRARO IRREVOCABLE	PUT 100 FRONTIER COMMUNICATIONS COR S	-5,250.00	150.00	0.00	150.00	80.0	120.00
* OPTIONS SUBTOTAL *				\$-54,465.42	\$10,752.62	\$0.00	\$10,752.62		\$8,602.10

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/17/2018	QGW005472	KRELL, MOSHE	PRINCIPAL PREFERRED SECURITIES FUND B	0.00	19.51	0.00	19.51	80.0	15.61
01/17/2018	QGW005472	KRELL, MOSHE	PRINCIPAL GLOBAL DIVERSIFIED INCOME B	0.00	42.30	0.00	42.30	80.0	33.84
01/18/2018	QGW005472	KRELL, MOSHE	EUROPACIFIC GROWTH FUND CLASS C B	0.00	20.33	0.00	20.33	80.0	16.26
01/22/2018	QGW005472	KRELL, MOSHE	OPPENHEIMERFUNDS 683940886 B	0.00	14.42	0.00	14.42	80.0	11.54
01/22/2018	QGW005472	KRELL, MOSHE	OPPENHEIMER ROCHESTER LIMITED TERM B	0.00	34.37	0.00	34.37	80.0	27.50
01/23/2018	QGW005472	KRELL, MOSHE	JANUS HENDERSON GLOBAL EQUITY INCOM B	0.00	29.51	0.00	29.51	80.0	23.61
01/24/2018	QGW005472	KRELL, MOSHE	MAINSTAY CUSHING MLP PREMIER FUND C B	0.00	22.10	0.00	22.10	80.0	17.68
01/25/2018	QGW005472	KRELL, MOSHE	COHEN & STEERS GLOBAL REALTY SHARES B	0.00	34.81	0.00	34.81	80.0	27.85
01/10/2018	QGW006884	VOGEL, NEALA	COLUMBIA SELIGMAN COMMUNICATIONS AN B	0.00	6.50	0.00	6.50	80.0	5.20
01/16/2018	QGW006884	VOGEL, NEALA	DAVIS NEW YORK VENTURE CLASS A B	0.00	4.30	0.00	4.30	80.0	3.44
01/19/2018	QGW006884	VOGEL, NEALA	MFS RESEARCH FUND-A B	0.00	3.71	0.00	3.71	80.0	2.97
01/22/2018	QGW006884	VOGEL, NEALA	PUTNAM 746809102 B	0.00	2.84	0.00	2.84	80.0	2.27

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/22/2018	QGW006884	VOGEL, NEALA	TEMPLETON GROWTH FUND CLASS A	B	0.00	3.38	0.00	3.38	80.0	2.70
01/25/2018	QGW007122	CUSTODIAN, DIANNE	INVESCO GROWTH AND INCOME FUND CL A B	B	0.00	7.58	0.00	7.58	80.0	6.06
01/25/2018	QGW007130	CUSTODIAN, DIANNE	INVESCO GROWTH AND INCOME FUND CL A B	B	0.00	3.24	0.00	3.24	80.0	2.59
01/12/2018	QGW007411	OPPELT, MARION	WELLS FARGO OPPORTUNITY FD ADMIN	B	0.00	1.91	0.00	1.91	80.0	1.53
01/22/2018	QGW008203	MCGOWAN, DIANE	FRK FL TF INC FND - CL A	B	0.00	1.13	0.00	1.13	80.0	0.90
01/22/2018	QGW008203	MCGOWAN, DIANE	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	2.51	0.00	2.51	80.0	2.01
01/18/2018	QGW022154	MANOS, WAYNE	RMB MENDON FINANCIAL LNG/SHRT FUND	B	0.00	10.24	0.00	10.24	80.0	8.19
01/18/2018	QGW022154	MANOS, WAYNE	RMB MENDON FINANCIAL SERVICES FUND	B	0.00	20.60	0.00	20.60	80.0	16.48
01/19/2018	QGW022154	MANOS, WAYNE	FINANCIAL INDUSTRIES A	B	0.00	6.48	0.00	6.48	80.0	5.18
01/22/2018	QGW022154	MANOS, WAYNE	PUTNAM 746802800	B	0.00	0.69	0.00	0.69	80.0	0.55
01/23/2018	QGW022154	MANOS, WAYNE	GOLDMAN SACHS CAPITAL GROWTH FUND C B	B	0.00	8.75	0.00	8.75	80.0	7.00
01/23/2018	QGW022154	MANOS, WAYNE	JANUS HENDERSON EUROPEAN FOCUS FUND B	B	0.00	10.88	0.00	10.88	80.0	8.70
01/12/2018	QGW023731	REILLY, DAVID	DELAWARE REAL ESTATE INVESTMENT TRU B	B	0.00	0.28	0.00	0.28	80.0	0.22
01/12/2018	QGW023731	REILLY, DAVID	FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	0.62	0.00	0.62	80.0	0.50
01/12/2018	QGW023731	REILLY, DAVID	WELLS FARGO ADVANTAGE SMALL-CAP VAL B	B	0.00	0.56	0.00	0.56	80.0	0.45
01/18/2018	QGW023731	REILLY, DAVID	ALLIANCEBERNSTEIN GROWTH AND INC A B	B	0.00	0.82	0.00	0.82	80.0	0.66
01/18/2018	QGW023731	REILLY, DAVID	THE GROWTH FUND OF AMERICA-A	B	0.00	1.09	0.00	1.09	80.0	0.87
01/18/2018	QGW023731	REILLY, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A B	B	0.00	1.24	0.00	1.24	80.0	0.99
01/19/2018	QGW023731	REILLY, DAVID	MFS INTERNATIONAL NEW DISCOVERY FUN B	B	0.00	0.28	0.00	0.28	80.0	0.22
01/19/2018	QGW023731	REILLY, DAVID	MFS TOTAL RETURN FUND-A	B	0.00	0.72	0.00	0.72	80.0	0.58
01/22/2018	QGW023731	REILLY, DAVID	CAPITAL INCOME A	B	0.00	1.97	0.00	1.97	80.0	1.58
01/22/2018	QGW023731	REILLY, DAVID	GLOBAL FUND A	B	0.00	0.51	0.00	0.51	80.0	0.41
01/22/2018	QGW023731	REILLY, DAVID	TEMP FRGN FND - CL A	B	0.00	0.32	0.00	0.32	80.0	0.26
01/25/2018	QGW023731	REILLY, DAVID	AIM SMALL CAP GROWTH FUND - CLASS A B	B	0.00	1.39	0.00	1.39	80.0	1.11
01/18/2018	QGW028250	SAG LLC	CAPITAL WORLD GROWTH & INCOME FUND B	B	0.00	25.67	0.00	25.67	80.0	20.54
01/22/2018	QGW031999	HEIDENREICH, CLAIRE	FRANKLIN INCOME FUND CLASS A	B	0.00	0.43	0.00	0.43	80.0	0.34
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$347.99	\$0.00	\$347.99	\$278.39	
*** BROKER TRANSACTIONS TOTAL ***					\$2,336,128.44	\$21,619.19	\$0.00	\$21,619.19	\$17,295.32	

BROKER COMMISSION TOTALS

Broker Transactions	\$17,295.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-27.02
SIPC Assessment	\$-54.05

Check Amount	\$17,214.25
Year-to-date Earnings	\$17,214.25