

8:37 AM

03/07/18

Accrual Basis

**Dominion Investor Services**  
**Vendor Open Balance**  
All Transactions

Type	Date	Num	Memo	Due Date	Open Balance	Amount
<b>ELLIS, DOUG-D335</b>						
Credit	02/28/2018	COM...			-53.50	-53.50
Credit	03/01/2018	E&O			-120.00	-120.00
Total ELLIS, DOUG-D335					-173.50	-173.50
<b>TOTAL</b>					<b>-173.50</b>	<b>-173.50</b>

**COMMISSION STATEMENT**  
**February 28, 2018**

DOUG ELLIS-D335

BROKER #: D335  
BRANCH: DMN

, TX

COMMISSION STATEMENT for DOUG ELLIS-D335

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/12/2018	04000252256	SWANSON, ADAM	MF	THE NEW ECONOMY FUND-C	B	200.00	2.00	0.00	2.00	75.0	1.50
* MUTUAL FUNDS SUBTOTAL *						\$200.00	\$2.00	\$0.00	\$2.00		\$1.50
*** DIRECT TRANSACTIONS TOTAL ***						\$200.00	\$2.00	\$0.00	\$2.00		\$1.50

ADJUSTMENTS

02/28/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
02/28/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-55.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-53.50
Year-to-date Commissions	\$731.63