

**COMMISSION STATEMENT****April 15, 2018**BRION, N121 NORBY
4905 Prairie LaneBROKER #: N121
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #10</u>								
04/02/2018	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
04/02/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	3.01	0.00	3.01	90.0	2.71
04/02/2018	HEEN, JEREMY	COLLEGE 2021 FUND-529A	370.00	5.18	0.00	5.18	90.0	4.66
04/02/2018	HEEN, JEREMY	COLLEGE 2027 FUND-529A	245.00	3.43	0.00	3.43	90.0	3.09
04/02/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	180.00	3.15	0.00	3.15	90.0	2.84
04/02/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	160.00	2.80	0.00	2.80	90.0	2.52
04/02/2018	HEEN, JEREMY	COLLEGE 2033 FUND-529A	190.00	2.66	0.00	2.66	90.0	2.39
* BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL *			\$1,585.00	\$24.17	\$0.00	\$24.17		\$21.76
<u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #332</u>								
03/15/2018	KASMER, SARA	COLLEGE 2033 FUND-529A	0.00	0.10	0.00	0.10	90.0	0.09
03/20/2018	AAFEDT, PAMELA	HPI FUND VI LLC	67,000.00	2,010.00	0.00	2,010.00	90.0	1,809.00
03/20/2018	AAFEDT, DEAN	HPI FUND VI LLC	100,000.00	3,000.00	0.00	3,000.00	90.0	2,700.00
04/03/2018	TAMEZ, JAMIE	HPI REAL ESTATE FUND VI LLC	50,000.00	0.00	0.00	0.00	90.0	0.00
04/03/2018	AAFEDT, PAMELA	MOODY NATIONAL REIT II INC	48,000.00	1,440.00	0.00	1,440.00	90.0	1,296.00
* BRENT/BRION TRU- BLB LEE/NORBY SUBTOTAL *			\$265,000.00	\$6,450.10	\$0.00	\$6,450.10		\$5,805.09
<u>BROKER: JEN-BRENT-BRION TRU-JBB DONAIS-L #333</u>								
03/15/2018	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.17	0.00	0.17	90.0	0.15
03/15/2018	ERNST, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
03/28/2018	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
03/20/2018	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.08	0.00	0.08	90.0	0.07
03/23/2018	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	0.19	0.00	0.19	90.0	0.17
03/19/2018	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.06	0.00	0.06	90.0	0.05
03/19/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.51	0.00	0.51	90.0	0.46
03/27/2018	ERNST, TERRI	TOUCHSTONE SMALL COMPANY FUND CLASS	0.00	0.05	0.00	0.05	90.0	0.05
* JEN-BRENT-BRION TRU-JBB DONAIS-L SUBTOTAL *			\$0.00	\$1.15	\$0.00	\$1.15		\$1.04

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #335</u>								
04/02/2018	MANN, REID	HPI REAL ESTATE FUND VI LLC	75,000.00	0.00	0.00	0.00	90.0	0.00
* BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL *			\$75,000.00	\$0.00	\$0.00	\$0.00		\$0.00
*** SPLIT TRANSACTIONS TOTAL ***			\$341,585.00	\$6,475.42	\$0.00	\$6,475.42		\$5,827.89

ADJUSTMENTS

04/15/2018		*CONCIERGE SVC: 211549 LYNDE	0.00					-20.00
04/15/2018		*CONCIERGE SVC: 211585 MANN	0.00					-20.00
04/15/2018		*CONCIERGE SVC: 211589 MANN	0.00					-20.00
04/15/2018		*CONCIERGE SVC: 211724 MANN	0.00					-20.00
04/15/2018		*CONCIERGE SVC: 212007 TAMEZ	0.00					-25.00
04/15/2018		*CONCIERGE SVC: 212087 TAMEZ	0.00					-25.00
04/15/2018		*CONCIERGE SVC: 212290 AAFEDT	0.00					-20.00
04/15/2018		*CONCIERGE SVC: 212303 AAFEDT	0.00					-20.00
04/15/2018		*CONCIERGE SVC: 212322 AAFEDT	0.00					-25.00
04/15/2018		*CONCIERGE SVC: 212329 AAFEDT	0.00					-25.00
04/15/2018		*CONCIERGE SVC: 212977 TAMEZ	0.00					-25.00
04/15/2018		*CONCIERGE SVC: 213025 MANN	0.00					-20.00
04/15/2018		*CONCIERGE SVC: 213031 MANN	0.00					-20.00
04/15/2018		*CONCIERGE SVC: 213037 MANN	0.00					-20.00
04/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
04/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-1.65
04/15/2018		*PERSHING: WIRED FUNDS FEE	0.00					-3.20
04/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.50
04/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.60
04/15/2018		*RBC: W/O DB 7A502930	0.00					-10.91
04/15/2018		*RBC: WEALTHSTATION - BUMGARDNER	0.00					-4.00
04/15/2018		*TECH: CURRENT MONTH TECH	0.00					-275.00
04/15/2018		*TECHNOLOGY: ADMIN - BUMGARDNER	0.00					-16.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-917.86

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$5,827.89
Override Transactions	\$0.00
Adjustments	\$-917.86
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,910.03
Year-to-date Gross	\$32,775.10
Year-to-date Earnings	\$28,951.31