

All Companies
STATEMENT
July 20, 2018

JOSHUA STROUD - LPCM
1742 Delrose Ave

BROKER #: 3018
BRANCH: LIBERTY PARTNERS CAP

Springfield, OR 97477-

COMMISSION STATEMENT for JOSHUA STROUD - LPCM

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | POSTAGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|---------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|---------|-------------------|------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

| | | | | | | | | | | |
|-------------------------|------------------|---------------------|---|------|-------|------|------|-------|------|-------|
| 06/03/0018 #954051931 | SCHROTBURG, CHAD | CLS INVESTMENT FEES | B | 0.00 | 10.49 | 0.00 | 0.00 | 10.49 | 91.0 | 9.55 |
| 06/01/2018 #92116648901 | SOPER, DENNIS | FTJ | B | 0.00 | 36.42 | 0.00 | 0.00 | 36.42 | 91.0 | 33.14 |
| 06/01/2018 #92116649901 | SOPER, DENNIS | FTJ | B | 0.00 | 37.47 | 0.00 | 0.00 | 37.47 | 91.0 | 34.10 |
| 06/01/2018 #92116649902 | SOPER, DENNIS | FTJ | B | 0.00 | 31.91 | 0.00 | 0.00 | 31.91 | 91.0 | 29.04 |
| 06/01/2018 #92116649903 | SOPER, DENNIS | FTJ | B | 0.00 | 29.03 | 0.00 | 0.00 | 29.03 | 91.0 | 26.42 |
| 06/01/2018 #92116649904 | SOPER, DENNIS | FTJ | B | 0.00 | 22.67 | 0.00 | 0.00 | 22.67 | 91.0 | 20.63 |
| 06/01/2018 #92116718801 | LEE, LYNN | FTJ | B | 0.00 | 11.59 | 0.00 | 0.00 | 11.59 | 91.0 | 10.55 |
| 06/01/2018 #921167188 | LEE, LYNN | FTJ | B | 0.00 | 0.09 | 0.00 | 0.00 | 0.09 | 91.0 | 0.08 |
| 06/01/2018 #92116769801 | PRUITT, ROSEANNE | FTJ | B | 0.00 | 15.91 | 0.00 | 0.00 | 15.91 | 91.0 | 14.48 |
| 06/01/2018 #92116769802 | PRUITT, ROSEANNE | FTJ | B | 0.00 | 13.07 | 0.00 | 0.00 | 13.07 | 91.0 | 11.89 |
| 06/01/2018 #92116769803 | PRUITT, ROSEANNE | FTJ | B | 0.00 | 13.13 | 0.00 | 0.00 | 13.13 | 91.0 | 11.95 |
| 06/01/2018 #92116769804 | PRUITT, ROSEANNE | FTJ | B | 0.00 | 10.68 | 0.00 | 0.00 | 10.68 | 91.0 | 9.72 |
| 06/01/2018 #92116770301 | PRUITT, ROSEANNE | FTJ | B | 0.00 | 88.84 | 0.00 | 0.00 | 88.84 | 91.0 | 80.84 |
| 06/01/2018 #92116855601 | CRUMPACKER, | FTJ | B | 0.00 | 37.39 | 0.00 | 0.00 | 37.39 | 91.0 | 34.02 |
| 06/01/2018 #92116855602 | CRUMPACKER, | FTJ | B | 0.00 | 31.90 | 0.00 | 0.00 | 31.90 | 91.0 | 29.03 |
| 06/01/2018 #92116855603 | CRUMPACKER, | FTJ | B | 0.00 | 28.44 | 0.00 | 0.00 | 28.44 | 91.0 | 25.88 |
| 06/01/2018 #92116855604 | CRUMPACKER, | FTJ | B | 0.00 | 22.03 | 0.00 | 0.00 | 22.03 | 91.0 | 20.05 |
| 06/01/2018 #92116910501 | HASCALL, GREG | FTJ | B | 0.00 | 31.47 | 0.00 | 0.00 | 31.47 | 91.0 | 28.64 |
| 06/01/2018 #92116910502 | HASCALL, GREG | FTJ | B | 0.00 | 25.08 | 0.00 | 0.00 | 25.08 | 91.0 | 22.82 |
| 06/01/2018 #92116910503 | HASCALL, GREG | FTJ | B | 0.00 | 5.53 | 0.00 | 0.00 | 5.53 | 91.0 | 5.03 |
| 06/01/2018 #92116912901 | HASCALL, CONNIE | FTJ | B | 0.00 | 2.58 | 0.00 | 0.00 | 2.58 | 91.0 | 2.35 |
| 06/01/2018 #92116912902 | HASCALL, CONNIE | FTJ | B | 0.00 | 2.03 | 0.00 | 0.00 | 2.03 | 91.0 | 1.85 |
| 06/01/2018 #92116912903 | HASCALL, CONNIE | FTJ | B | 0.00 | 0.51 | 0.00 | 0.00 | 0.51 | 91.0 | 0.46 |
| 06/01/2018 #92116913901 | HASCALL, CONNIE | FTJ | B | 0.00 | 2.72 | 0.00 | 0.00 | 2.72 | 91.0 | 2.48 |
| 06/01/2018 #92116913902 | HASCALL, CONNIE | FTJ | B | 0.00 | 2.15 | 0.00 | 0.00 | 2.15 | 91.0 | 1.96 |
| 06/01/2018 #92116913903 | HASCALL, CONNIE | FTJ | B | 0.00 | 0.54 | 0.00 | 0.00 | 0.54 | 91.0 | 0.49 |
| 06/01/2018 #92116985101 | OLSEN, BREKKE | FTJ | B | 0.00 | 8.98 | 0.00 | 0.00 | 8.98 | 91.0 | 8.17 |
| 06/01/2018 #92116985102 | OLSEN, BREKKE | FTJ | B | 0.00 | 7.42 | 0.00 | 0.00 | 7.42 | 91.0 | 6.75 |
| 06/01/2018 #92116985103 | OLSEN, BREKKE | FTJ | B | 0.00 | 1.57 | 0.00 | 0.00 | 1.57 | 91.0 | 1.43 |
| 06/01/2018 #92116986101 | OLSEN, BREKKE | FTJ | B | 0.00 | 0.74 | 0.00 | 0.00 | 0.74 | 91.0 | 0.67 |
| 06/01/2018 #92116986102 | OLSEN, BREKKE | FTJ | B | 0.00 | 0.58 | 0.00 | 0.00 | 0.58 | 91.0 | 0.53 |

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|------------------------------|--------------|-------------------|-----|----------------------|---------------------|--------------------|---------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | | |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | | | |
| 06/01/2018 | #92116986103 | OLSEN, BREKKE | FTJ | B | 0.00 | 0.12 | 0.00 | 0.00 | 0.12 | 91.0 0.11 |
| 06/01/2018 | #92117246701 | EATON, SHAWN | FTJ | B | 0.00 | 12.16 | 0.00 | 0.00 | 12.16 | 91.0 11.07 |
| 06/01/2018 | #92117246702 | EATON, SHAWN | FTJ | B | 0.00 | 10.01 | 0.00 | 0.00 | 10.01 | 91.0 9.11 |
| 06/01/2018 | #92117246703 | EATON, SHAWN | FTJ | B | 0.00 | 2.33 | 0.00 | 0.00 | 2.33 | 91.0 2.12 |
| 06/01/2018 | #92117269901 | LINBARGER, | FTJ | B | 0.00 | 1.96 | 0.00 | 0.00 | 1.96 | 91.0 1.78 |
| 06/01/2018 | #92117274901 | MICKELSON, MARITA | FTJ | B | 0.00 | 8.58 | 0.00 | 0.00 | 8.58 | 91.0 7.81 |
| 06/01/2018 | #92117274902 | MICKELSON, MARITA | FTJ | B | 0.00 | 7.19 | 0.00 | 0.00 | 7.19 | 91.0 6.54 |
| 06/01/2018 | #92117274903 | MICKELSON, MARITA | FTJ | B | 0.00 | 1.56 | 0.00 | 0.00 | 1.56 | 91.0 1.42 |
| 06/01/2018 | #92117277401 | BUSH, JASON | FTJ | B | 0.00 | 4.48 | 0.00 | 0.00 | 4.48 | 91.0 4.08 |
| 06/01/2018 | #92117277402 | BUSH, JASON | FTJ | B | 0.00 | 3.82 | 0.00 | 0.00 | 3.82 | 91.0 3.48 |
| 06/01/2018 | #92117277403 | BUSH, JASON | FTJ | B | 0.00 | 1.77 | 0.00 | 0.00 | 1.77 | 91.0 1.61 |
| 06/01/2018 | #92117279401 | GABRIO, | FTJ | B | 0.00 | 5.96 | 0.00 | 0.00 | 5.96 | 91.0 5.42 |
| 06/01/2018 | #92117279402 | GABRIO, | FTJ | B | 0.00 | 1.04 | 0.00 | 0.00 | 1.04 | 91.0 0.95 |
| 06/01/2018 | #92117378801 | MICKELSON, MARITA | FTJ | B | 0.00 | 12.03 | 0.00 | 0.00 | 12.03 | 91.0 10.95 |
| 06/01/2018 | #92117378802 | MICKELSON, MARITA | FTJ | B | 0.00 | 10.22 | 0.00 | 0.00 | 10.22 | 91.0 9.30 |
| 06/01/2018 | #92117378803 | MICKELSON, MARITA | FTJ | B | 0.00 | 2.19 | 0.00 | 0.00 | 2.19 | 91.0 1.99 |
| 06/01/2018 | #92117451701 | CALDERON, MATTHEW | FTJ | B | 0.00 | 0.42 | 0.00 | 0.00 | 0.42 | 91.0 0.38 |
| 06/01/2018 | #92117490101 | PLEICH JT TEN, | FTJ | B | 0.00 | 0.04 | 0.00 | 0.00 | 0.04 | 91.0 0.04 |
| 06/01/2018 | #92117956501 | APPLEGATE, JACK | FTJ | B | 0.00 | 0.33 | 0.00 | 0.00 | 0.33 | 91.0 0.30 |
| 06/01/2018 | #92117956502 | APPLEGATE, JACK | FTJ | B | 0.00 | 0.26 | 0.00 | 0.00 | 0.26 | 91.0 0.24 |
| 06/01/2018 | #92117956503 | APPLEGATE, JACK | FTJ | B | 0.00 | 0.06 | 0.00 | 0.00 | 0.06 | 91.0 0.05 |
| 06/01/2018 | #92118480501 | RICE, ROBERT | FTJ | B | 0.00 | 0.34 | 0.00 | 0.00 | 0.34 | 91.0 0.31 |
| 06/01/2018 | #92118480502 | RICE, ROBERT | FTJ | B | 0.00 | 0.34 | 0.00 | 0.00 | 0.34 | 91.0 0.31 |
| 06/01/2018 | #92118480503 | RICE, ROBERT | FTJ | B | 0.00 | 0.16 | 0.00 | 0.00 | 0.16 | 91.0 0.15 |
| 06/01/2018 | #92118659601 | APPLEGATE, | FTJ | B | 0.00 | 0.18 | 0.00 | 0.00 | 0.18 | 91.0 0.16 |
| 06/01/2018 | #92118659602 | APPLEGATE, | FTJ | B | 0.00 | 0.11 | 0.00 | 0.00 | 0.11 | 91.0 0.10 |
| 06/01/2018 | #92118659603 | APPLEGATE, | FTJ | B | 0.00 | 0.03 | 0.00 | 0.00 | 0.03 | 91.0 0.03 |
| 06/01/2018 | #92118666101 | PARK, SANDY | FTJ | B | 0.00 | 10.21 | 0.00 | 0.00 | 10.21 | 91.0 9.29 |
| 06/01/2018 | #92118666102 | PARK, SANDY | FTJ | B | 0.00 | 8.20 | 0.00 | 0.00 | 8.20 | 91.0 7.46 |
| 06/01/2018 | #92118666103 | PARK, SANDY | FTJ | B | 0.00 | 2.09 | 0.00 | 0.00 | 2.09 | 91.0 1.90 |
| 06/01/2018 | #92118673101 | PARK, SANDY | FTJ | B | 0.00 | 52.11 | 0.00 | 0.00 | 52.11 | 91.0 47.42 |
| 06/01/2018 | #92118673102 | PARK, SANDY | FTJ | B | 0.00 | 42.10 | 0.00 | 0.00 | 42.10 | 91.0 38.31 |
| 06/01/2018 | #92118673103 | PARK, SANDY | FTJ | B | 0.00 | 10.69 | 0.00 | 0.00 | 10.69 | 91.0 9.73 |
| 06/01/2018 | #92118712701 | WEBSTER, JOHN | FTJ | B | 0.00 | 0.27 | 0.00 | 0.00 | 0.27 | 91.0 0.25 |
| 06/01/2018 | #92118712702 | WEBSTER, JOHN | FTJ | B | 0.00 | 0.21 | 0.00 | 0.00 | 0.21 | 91.0 0.19 |
| 06/01/2018 | #92118712703 | WEBSTER, JOHN | FTJ | B | 0.00 | 0.05 | 0.00 | 0.00 | 0.05 | 91.0 0.05 |
| 06/01/2018 | #92118780401 | BAIN, MELISSA | FTJ | B | 0.00 | 13.29 | 0.00 | 0.00 | 13.29 | 91.0 12.09 |
| 06/01/2018 | #92118780402 | BAIN, MELISSA | FTJ | B | 0.00 | 10.45 | 0.00 | 0.00 | 10.45 | 91.0 9.51 |
| 06/01/2018 | #92118780403 | BAIN, MELISSA | FTJ | B | 0.00 | 2.65 | 0.00 | 0.00 | 2.65 | 91.0 2.41 |
| 06/01/2018 | #92118790701 | HANSEN, HEATHER | FTJ | B | 0.00 | 13.59 | 0.00 | 0.00 | 13.59 | 91.0 12.37 |

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|-------------------------------------|--------------|------------------|------------------------|------------------------------------------|---------------------|--------------------|---------------|-------------------|-------------------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | | |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | | | |
| 06/01/2018 | #92118790702 | HANSEN, HEATHER | FTJ | B | 0.00 | 10.79 | 0.00 | 0.00 | 10.79 | 91.0 9.82 |
| 06/01/2018 | #92118790703 | HANSEN, HEATHER | FTJ | B | 0.00 | 2.62 | 0.00 | 0.00 | 2.62 | 91.0 2.38 |
| 06/01/2018 | #92118891901 | JOHNSON, MORGAN | FTJ | B | 0.00 | 0.17 | 0.00 | 0.00 | 0.17 | 91.0 0.15 |
| 06/01/2018 | #92118891902 | JOHNSON, MORGAN | FTJ | B | 0.00 | 0.08 | 0.00 | 0.00 | 0.08 | 91.0 0.07 |
| 06/01/2018 | #92118891903 | JOHNSON, MORGAN | FTJ | B | 0.00 | 0.03 | 0.00 | 0.00 | 0.03 | 91.0 0.03 |
| 06/01/2018 | #92119295801 | PARKER, JENNIFER | FTJ | B | 0.00 | 2.30 | 0.00 | 0.00 | 2.30 | 91.0 2.09 |
| 06/01/2018 | #92119295802 | PARKER, JENNIFER | FTJ | B | 0.00 | 1.17 | 0.00 | 0.00 | 1.17 | 91.0 1.06 |
| 06/01/2018 | #92119295803 | PARKER, JENNIFER | FTJ | B | 0.00 | 1.11 | 0.00 | 0.00 | 1.11 | 91.0 1.01 |
| 06/01/2018 | #92119295804 | PARKER, JENNIFER | FTJ | B | 0.00 | 1.28 | 0.00 | 0.00 | 1.28 | 91.0 1.16 |
| 06/01/2018 | #92127568801 | BUSH, JASON | FTJ | B | 0.00 | 1.06 | 0.00 | 0.00 | 1.06 | 91.0 0.96 |
| 06/01/2018 | #92127568802 | BUSH, JASON | FTJ | B | 0.00 | 0.84 | 0.00 | 0.00 | 0.84 | 91.0 0.76 |
| 06/01/2018 | #92127568803 | BUSH, JASON | FTJ | B | 0.00 | 0.21 | 0.00 | 0.00 | 0.21 | 91.0 0.19 |
| 06/01/2018 | #921193520 | EATON, SHAWN | FTJ | B | 0.00 | 0.82 | 0.00 | 0.00 | 0.82 | 91.0 0.75 |
| 06/01/2018 | #92119352001 | EATON, SHAWN | FTJ | B | 0.00 | 20.48 | 0.00 | 0.00 | 20.48 | 91.0 18.64 |
| 07/01/2018 | #558687 | TCA STROUD VAR | DO NOT SELL SECURITIES | B | 164.92 | -0.04 | 0.00 | 0.00 | -0.04 | 100.0 -0.04 |
| 07/01/2018 | #556999 | TCA STROUD VAR | DO NOT SELL SECURITIES | B | 13,777.35 | -4.01 | 0.00 | 0.00 | -4.01 | 100.0 -4.01 |
| 07/01/2018 | #558657 | TCA STROUD VAR | DO NOT SELL SECURITIES | B | 182.47 | -0.05 | 0.00 | 0.00 | -0.05 | 100.0 -0.05 |
| 07/01/2018 | #558683 | TCA STROUD VAR | DO NOT SELL SECURITIES | B | 2,372.45 | -0.69 | 0.00 | 0.00 | -0.69 | 100.0 -0.69 |
| 07/01/2018 | #557000 | TCA STROUD VAR | DO NOT SELL SECURITIES | B | 31,086.21 | -9.06 | 0.00 | 0.00 | -9.06 | 100.0 -9.06 |
| 07/01/2018 | #557000 | TCA STROUD VAR | LPCM MOD 30/30/40 | B | 16,314.31 | 23.79 | 0.00 | 0.00 | 23.79 | 100.0 23.79 |
| 07/01/2018 | #558690 | TCA STROUD VAR | LPCM MOD 30/30/40 | B | 64,775.25 | 94.46 | 0.00 | 0.00 | 94.46 | 100.0 94.46 |
| 07/01/2018 | #561379 | TCA STROUD VAR | LPCM MOD 30/30/40 | B | 158,342.78 | 230.92 | 0.00 | 0.00 | 230.92 | 100.0 230.92 |
| 07/01/2018 | #557000 | TCA STROUD VAR | LPCM MOD 30/30/40 | B | 16,314.31 | -8.15 | 0.00 | 0.00 | -8.15 | 100.0 -8.15 |
| 07/01/2018 | #558690 | TCA STROUD VAR | LPCM MOD 30/30/40 | B | 64,775.25 | -32.38 | 0.00 | 0.00 | -32.38 | 100.0 -32.38 |
| 07/01/2018 | #561379 | TCA STROUD VAR | LPCM MOD 30/30/40 | B | 158,342.78 | -79.17 | 0.00 | 0.00 | -79.17 | 100.0 -79.17 |
| 07/01/2018 | #557814 | TCA STROUD VAR | TCA NULL MODEL | B | 528.37 | -0.15 | 0.00 | 0.00 | -0.15 | 100.0 -0.15 |
| 07/01/2018 | #556999 | TCA STROUD VAR | TCA NULL MODEL | B | 4,537.89 | -1.32 | 0.00 | 0.00 | -1.32 | 100.0 -1.32 |
| | | | | * RIA SUBTOTAL * | \$531,514.34 | \$1,043.67 | \$0.00 | \$0.00 | \$1,043.67 | \$969.01 |
| | | | | *** BROKER TRANSACTIONS TOTAL *** | \$531,514.34 | \$1,043.67 | \$0.00 | \$0.00 | \$1,043.67 | \$969.01 |

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|------------|--------|------------|-----|----------------------|---------------------|--------------------|---------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|---------|-------------------|------|----------------------|

ADJUSTMENTS

| | | | | | | | | | | |
|---------------------------|--|----------------------------------|--|--|--|--|--|--|--|-----------|
| 07/20/2018 | | ADMIN FEE: ADMIN FEE | | | | | | | | -320.00 |
| 07/20/2018 | | E & O INSURANCE: E & O INSURANCE | | | | | | | | -179.00 |
| 07/20/2018 | | CIRCLEBLACK: CIRCLEBLACK | | | | | | | | -175.00 |
| 07/20/2018 | | ASSISTANT FEE: ASSISTANT FEE | | | | | | | | -59.00 |
| *** ADJUSTMENTS TOTAL *** | | | | | | | | | | \$-733.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$969.01 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-733.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|--------------|----------|
| Check Amount | \$236.01 |
|--------------|----------|