

**COMMISSION STATEMENT****July 31, 2018**

DANIEL, R132 RAUPP
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: R132
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/17/2018	COPPOLA, PAOLO	TD AMERITRADE	0.00	2,112.46	0.00	2,112.46	97.0	2,049.09
07/17/2018	PAVIS, NANCY	TD AMERITRADE	0.00	148.41	0.00	148.41	97.0	143.96
07/17/2018	PAVIS, NANCY	TD AMERITRADE	0.00	2,048.57	0.00	2,048.57	97.0	1,987.11
* RIA SUBTOTAL *			\$0.00	\$4,309.44	\$0.00	\$4,309.44		\$4,180.16
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$4,309.44	\$0.00	\$4,309.44		\$4,180.16

SPLIT TRANSACTIONS**BROKER: DAN/ROY/JEFF FT-R137 RAUPP/PFLEG/ #346**

07/11/2018	CIPRIANO, CARA	SEI	17,123.84	21.41	0.00	21.41	97.0	20.77
07/11/2018	RASMUSSEN JR, HARRY	SEI	4,296.58	5.37	0.00	5.37	97.0	5.21
07/11/2018	RASMUSSEN, MELANIE	SEI	16,246.57	20.31	0.00	20.31	97.0	19.70
* DAN/ROY/JEFF FT-R137 RAUPP/PFLEG/ SUBTOTAL *			\$37,666.99	\$47.09	\$0.00	\$47.09		\$45.68
*** SPLIT TRANSACTIONS TOTAL ***			\$37,666.99	\$47.09	\$0.00	\$47.09		\$45.68

OVERRIDE TRANSACTIONS**BROKER: ROY, P123 PFLEGER II #244**

07/11/2018	ABRAHAM, BLESSY	SEI	12,831.75	32.08	0.00	32.08	75.0	4.01
07/11/2018	LAURO, MICHAEL	SEI	2,988.38	7.47	0.00	7.47	75.0	0.93
07/11/2018	O'HARA, LORRAINE	SEI	1,830.99	4.58	0.00	4.58	75.0	0.57
07/11/2018	O'HARA, LORRAINE	SEI	21,417.57	53.55	0.00	53.55	75.0	6.69
07/11/2018	O'HARA, LORRAINE	SEI	128,311.32	320.78	0.00	320.78	75.0	40.10
07/11/2018	DAVIS, BRIDGET	SEI	107,183.35	267.96	0.00	267.96	75.0	33.50
07/11/2018	MASTROSERIO, MICHELLE	SEI	64,747.16	161.87	0.00	161.87	75.0	20.23
07/11/2018	ABELLA, CARMELA	SEI	2,372.80	7.12	0.00	7.12	75.0	0.89

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ALAN, L133 LICHTENSTEIN #249</u>								
		* ROY, P123 PFLEGER II SUBTOTAL *	\$341,683.32	\$855.41	\$0.00	\$855.41		\$106.92
<u>BROKER: ALAN, L133 LICHTENSTEIN #249</u>								
07/13/2018	WITKIN, CAROL ANN	EQIS CAPITAL MGMT	26,084.99	10.87	0.00	10.87	75.0	1.36
07/11/2018	HECKER, THOMAS	SEI	53,539.24	100.39	0.00	100.39	75.0	12.55
07/11/2018	MOYKA, PAMELA	SEI	11,148.64	20.90	0.00	20.90	75.0	2.61
		* ALAN, L133 LICHTENSTEIN SUBTOTAL *	\$90,772.87	\$132.16	\$0.00	\$132.16		\$16.52
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$432,456.19	\$987.57	\$0.00	\$987.57		\$123.44

ADJUSTMENTS

07/31/2018		*MANAGED FEE EXPENSE: TDAMERITRADE	0.00					-11.32
		ACCT MIN. FEE						
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-11.32

BROKER COMMISSION TOTALS

Broker Transactions	\$4,180.16
Split Transactions	\$45.68
Override Transactions	\$123.44
Adjustments	\$-11.32
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$6.21
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,344.17
Year-to-date Gross	\$12,539.34
Year-to-date Earnings	\$12,810.57

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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