BROKER

All Companies

R.F. Lafferty & Co., Inc.

## **COMMISSION STATEMENT**

**January 31, 2018** 

ROBERT STRAVINSKI

11384 HIGHBROOK COURT

POTOMAC FALLS, VA 20165

#### BROKER #: 58

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

### COMMISSION STATEMENT for ROBERT STRAVINSKI

INVESTMENT

TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
TRADE DATE	CHIENI		IMARSIMENI	2,5	AMOUNI	COMMISSION	CHARGE	COMMISSION	KAIL	COMMISSION
			BROKER T	rans.	ACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNI	os								
01/10/2018 0	0061056926 LORENZEN,	CAROLYN	EUROPACIFIC GROWTH FUND-A	В	50.00	1.00	0.00	1.00	80.0	0.80
01/10/2018 0	0061056926 LORENZEN,	CAROLYN	NEW PERSPECTIVE FUND-A	В	50.00	1.00	0.00	1.00	80.0	0.80
01/10/2018 0	0061056926 LORENZEN,	CAROLYN	THE INVESTMENT COMPANY OF AMERICA	A-A B	50.00	1.00	0.00	1.00	80.0	0.80
01/02/2018 0	0075318858 MARSHALL,	KADEN	AMCAP FUND-529A	В	50.00	1.88	0.00	1.88	80.0	1.50
01/02/2018 0	0075318858 MARSHALL,	KADEN	AMERICAN MUTUAL FUND-529A	В	50.00	1.88	0.00	1.88	80.0	1.50
01/02/2018 0	0075318858 MARSHALL,	KADEN	EUROPACIFIC GROWTH FUND-529A	В	50.00	1.88	0.00	1.88	80.0	1.50
01/02/2018 0	0082140659 MARSHALL,	KADEN	AMCAP FUND-529A	В	50.00	1.88	0.00	1.88	80.0	1.50
01/02/2018 0	0082140659 MARSHALL,	KADEN	AMERICAN MUTUAL FUND-529A	В	50.00	1.88	0.00	1.88	80.0	1.50
01/02/2018 0	0082140659 MARSHALL,	KADEN	EUROPACIFIC GROWTH FUND-529A	В	50.00	1.88	0.00	1.88	80.0	1.50
12/28/2017 0	0082988425 RIGGLEMAN,	, LUTHER	AMCAP FUND-A	В	11.70	0.32	0.00	0.32	80.0	0.26
12/28/2017 0	0082988425 RIGGLEMAN,	, LUTHER	AMCAP FUND-A	В	10.80	0.30	0.00	0.30	80.0	0.24
12/28/2017 0	0082988425 RIGGLEMAN,	, LUTHER	CAPITAL WORLD GROWTH AND INCOME I	FUN B	3.90	0.11	0.00	0.11	80.0	0.09
12/28/2017 0	0082988425 RIGGLEMAN,	, LUTHER	CAPITAL WORLD GROWTH AND INCOME I	FUN B	3.60	0.10	0.00	0.10	80.0	0.08
12/28/2017 0	0082988425 RIGGLEMAN,	, LUTHER	INTERMEDIATE BOND FUND OF AMERICA	А-А В	1.95	0.04	0.00	0.04	80.0	0.03
12/28/2017 0	0082988425 RIGGLEMAN,	, LUTHER	INTERMEDIATE BOND FUND OF AMERICA	А-А В	1.80	0.04	0.00	0.04	80.0	0.03
12/28/2017 0	0082988425 RIGGLEMAN,	, LUTHER	THE GROWTH FUND OF AMERICA-A	В	11.70	0.32	0.00	0.32	80.0	0.26
12/28/2017 0	0082988425 RIGGLEMAN,	, LUTHER	THE GROWTH FUND OF AMERICA-A	В	10.80	0.30	0.00	0.30	80.0	0.24
12/28/2017 0	0082988425 RIGGLEMAN,	, LUTHER	THE INVESTMENT COMPANY OF AMERICA	А-А В	3.90	0.11	0.00	0.11	80.0	0.09
12/28/2017 0	0082988425 RIGGLEMAN,	, LUTHER	THE INVESTMENT COMPANY OF AMERICA	А-А В	3.60	0.10	0.00	0.10	80.0	0.08
12/28/2017 0	0082988425 RIGGLEMAN,	, LUTHER	THE NEW ECONOMY FUND-A	В	3.90	0.11	0.00	0.11	80.0	0.09
12/28/2017 0	0082988425 RIGGLEMAN,	, LUTHER	THE NEW ECONOMY FUND-A	В	3.60	0.10	0.00	0.10	80.0	0.08
01/05/2018 0	0082988425 RIGGLEMAN,	, LUTHER	AMCAP FUND-A	В	11.70	0.32	0.00	0.32	80.0	0.26
01/05/2018 0	0082988425 RIGGLEMAN,	, LUTHER	AMCAP FUND-A	В	10.80	0.30	0.00	0.30	80.0	0.24
01/05/2018 0	0082988425 RIGGLEMAN,	, LUTHER	CAPITAL WORLD GROWTH AND INCOME I	FUN B	3.90	0.11	0.00	0.11	80.0	0.09
01/05/2018 0	0082988425 RIGGLEMAN,	, LUTHER	CAPITAL WORLD GROWTH AND INCOME I	FUN B	3.60	0.10	0.00	0.10	80.0	0.08
01/05/2018 0	0082988425 RIGGLEMAN,	, LUTHER	INTERMEDIATE BOND FUND OF AMERICA	А-А В	1.95	0.04	0.00	0.04	80.0	0.03
01/05/2018 0	0082988425 RIGGLEMAN,	, LUTHER	INTERMEDIATE BOND FUND OF AMERICA	А-А В	1.80	0.04	0.00	0.04	80.0	0.03
01/05/2018 0	0082988425 RIGGLEMAN,	, LUTHER	THE GROWTH FUND OF AMERICA-A	В	11.70	0.32	0.00	0.32	80.0	0.26
01/05/2018 0	0082988425 RIGGLEMAN,	, LUTHER	THE GROWTH FUND OF AMERICA-A	В	10.80	0.30	0.00	0.30	80.0	0.24
01/05/2018 0	0082988425 RIGGLEMAN,	, LUTHER	THE INVESTMENT COMPANY OF AMERICA	А-А В	3.90	0.11	0.00	0.11	80.0	0.09
01/05/2018 0	0082988425 RIGGLEMAN,	, LUTHER	THE INVESTMENT COMPANY OF AMERICA	А-А В	3.60	0.10	0.00	0.10	80.0	0.08

INVESTMENT

TRADE DATE

CLIENT

	BROKER TRANS	SACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS												
01/05/2018 00082988425 RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A B	3.90	0.11	0.00	0.11 80.0	0.09						
01/05/2018 00082988425 RIGGLEMAN, LUTHER			0.10	0.00	0.10 80.0	0.08						
01/08/2018 00082988425 RIGGLEMAN, LUTHER			0.32	0.00	0.32 80.0	0.26						
01/08/2018 00082988425 RIGGLEMAN, LUTHER			0.30	0.00	0.30 80.0	0.24						
01/08/2018 00082988425 RIGGLEMAN, LUTHER			0.11	0.00	0.11 80.0	0.09						
01/08/2018 00082988425 RIGGLEMAN, LUTHER		3.60	0.10	0.00	0.10 80.0	0.08						
01/08/2018 00082988425 RIGGLEMAN, LUTHER		1.95	0.04	0.00	0.04 80.0	0.03						
01/08/2018 00082988425 RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	1.80	0.04	0.00	0.04 80.0	0.03						
01/08/2018 00082988425 RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A B	11.70	0.32	0.00	0.32 80.0	0.26						
01/08/2018 00082988425 RIGGLEMAN, LUTHER		10.80	0.30	0.00	0.30 80.0	0.24						
01/08/2018 00082988425 RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	3.90	0.11	0.00	0.11 80.0	0.09						
01/08/2018 00082988425 RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	3.60	0.10	0.00	0.10 80.0	0.08						
01/08/2018 00082988425 RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A B	3.90	0.11	0.00	0.11 80.0	0.09						
01/08/2018 00082988425 RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A B	3.60	0.10	0.00	0.10 80.0	0.08						
01/10/2018 00082988425 RIGGLEMAN, LUTHER		11.70	0.32	0.00	0.32 80.0	0.26						
01/10/2018 00082988425 RIGGLEMAN, LUTHER	AMCAP FUND-A B	10.80	0.30	0.00	0.30 80.0	0.24						
01/10/2018 00082988425 RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	3.90	0.11	0.00	0.11 80.0	0.09						
01/10/2018 00082988425 RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	3.60	0.10	0.00	0.10 80.0	0.08						
01/10/2018 00082988425 RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	1.95	0.04	0.00	0.04 80.0	0.03						
01/10/2018 00082988425 RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	1.80	0.04	0.00	0.04 80.0	0.03						
01/10/2018 00082988425 RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A B	11.70	0.32	0.00	0.32 80.0	0.26						
01/10/2018 00082988425 RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A B	10.80	0.30	0.00	0.30 80.0	0.24						
01/10/2018 00082988425 RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	3.90	0.11	0.00	0.11 80.0	0.09						
01/10/2018 00082988425 RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	3.60	0.10	0.00	0.10 80.0	0.08						
01/10/2018 00082988425 RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A B	3.90	0.11	0.00	0.11 80.0	0.09						
01/10/2018 00082988425 RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A B	3.60	0.10	0.00	0.10 80.0	0.08						
12/28/2017 00082994053 SMITH, CHARLOTTE	AMCAP FUND-A B	6.00	0.17	0.00	0.17 80.0	0.14						
12/28/2017 00082994053 SMITH, CHARLOTTE	AMCAP FUND-A B	7.20	0.20	0.00	0.20 80.0	0.16						
12/28/2017 00082994053 SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A B	6.00	0.17	0.00	0.17 80.0	0.14						
12/28/2017 00082994053 SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A B	7.20	0.20	0.00	0.20 80.0	0.16						
12/28/2017 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A B	3.00	0.08	0.00	0.08 80.0	0.06						
12/28/2017 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A B	3.60	0.10	0.00	0.10 80.0	0.08						
12/28/2017 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A B	3.00	0.08	0.00	0.08 80.0	0.06						
12/28/2017 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A B	3.60	0.10	0.00	0.10 80.0	0.08						
	THE GROWTH FUND OF AMERICA-A B	7.50	0.21	0.00	0.21 80.0	0.17						
12/28/2017 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A B	9.00	0.25	0.00	0.25 80.0	0.20						
12/28/2017 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B	4.50	0.12	0.00	0.12 80.0	0.10						
12/28/2017 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B	5.40	0.15	0.00	0.15 80.0	0.12						
	AMCAP FUND-A B	6.00	0.17	0.00	0.17 80.0	0.14						
	AMCAP FUND-A B	7.20	0.20	0.00	0.20 80.0	0.16						

INVESTMENT

AMOUNT

B/S

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

Page No.

RATE

BROKER

COMMISSION

2

Page No. 3 02/28/2018

INVESTMENT

GROSS

CLEARING

NET

BROKER

TRADE DATE	CLIENT	INVESTMENT B	INVEST: S/S AMOU		GROSS COMMISSION	CLEARING	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRA	NSACTION	1 <u>S</u>					
PRODUCT CAT	regory: Mutual funds								
01/05/2018 00	0082994053 SMITH, CHARLOT	E CAPITAL INCOME BUILDER-A	В	6.00	0.17	0.00	0.17	80.0	0.14
01/05/2018 00	0082994053 SMITH, CHARLOT	E CAPITAL INCOME BUILDER-A	В	7.20	0.20	0.00	0.20	80.0	0.16
01/05/2018 00	0082994053 SMITH, CHARLOT	E EUROPACIFIC GROWTH FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.06
01/05/2018 00	0082994053 SMITH, CHARLOT	E EUROPACIFIC GROWTH FUND-A	В	3.60	0.10	0.00	0.10	80.0	0.08
01/05/2018 00	0082994053 SMITH, CHARLOT	E SMALLCAP WORLD FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.06
01/05/2018 00	0082994053 SMITH, CHARLOT	E SMALLCAP WORLD FUND-A	В	3.60	0.10	0.00	0.10	80.0	0.08
01/05/2018 00	0082994053 SMITH, CHARLOT	THE GROWTH FUND OF AMERICA-A	В	7.50	0.21	0.00	0.21	80.0	0.17
01/05/2018 00	0082994053 SMITH, CHARLOT	THE GROWTH FUND OF AMERICA-A	В	9.00	0.25	0.00	0.25	80.0	0.20
01/05/2018 00	0082994053 SMITH, CHARLOT	THE INVESTMENT COMPANY OF AMERICA-A	В	4.50	0.12	0.00	0.12	80.0	0.10
01/05/2018 00	0082994053 SMITH, CHARLOT	THE INVESTMENT COMPANY OF AMERICA-A	В	5.40	0.15	0.00	0.15	80.0	0.12
01/08/2018 00	0082994053 SMITH, CHARLOT	E AMCAP FUND-A	В	6.00	0.17	0.00	0.17	80.0	0.14
01/08/2018 00	0082994053 SMITH, CHARLOT	E AMCAP FUND-A	В	7.20	0.20	0.00	0.20	80.0	0.16
01/08/2018 00	0082994053 SMITH, CHARLOT	E CAPITAL INCOME BUILDER-A	В	6.00	0.17	0.00	0.17	80.0	0.14
01/08/2018 00	0082994053 SMITH, CHARLOT	CE CAPITAL INCOME BUILDER-A	В	7.20	0.20	0.00	0.20	80.0	0.16
01/08/2018 00	0082994053 SMITH, CHARLOT	E EUROPACIFIC GROWTH FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.06
01/08/2018 00	0082994053 SMITH, CHARLOT	E EUROPACIFIC GROWTH FUND-A	В	3.60	0.10	0.00	0.10	80.0	0.08
01/08/2018 00	0082994053 SMITH, CHARLOT	E SMALLCAP WORLD FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.06
01/08/2018 00	0082994053 SMITH, CHARLOT	E SMALLCAP WORLD FUND-A	В	3.60	0.10	0.00	0.10	80.0	0.08
01/08/2018 00	0082994053 SMITH, CHARLOT	THE GROWTH FUND OF AMERICA-A	В	7.50	0.21	0.00	0.21	80.0	0.17
01/08/2018 00	0082994053 SMITH, CHARLOT	THE GROWTH FUND OF AMERICA-A	В	9.00	0.25	0.00	0.25	80.0	0.20
01/08/2018 00	0082994053 SMITH, CHARLOT	THE INVESTMENT COMPANY OF AMERICA-A	В	4.50	0.12	0.00	0.12	80.0	0.10
01/08/2018 00	0082994053 SMITH, CHARLOT	THE INVESTMENT COMPANY OF AMERICA-A	В	5.40	0.15	0.00	0.15	80.0	0.12
01/10/2018 00	0082994053 SMITH, CHARLOT	E AMCAP FUND-A	В	6.00	0.17	0.00	0.17	80.0	0.14
01/10/2018 00	0082994053 SMITH, CHARLOT	E AMCAP FUND-A	В	7.20	0.20	0.00	0.20	80.0	0.16
01/10/2018 00	0082994053 SMITH, CHARLOT	CE CAPITAL INCOME BUILDER-A	В	6.00	0.17	0.00	0.17	80.0	0.14
01/10/2018 00	0082994053 SMITH, CHARLOT	CE CAPITAL INCOME BUILDER-A	В	7.20	0.20	0.00	0.20	80.0	0.16
01/10/2018 00	0082994053 SMITH, CHARLOT	E EUROPACIFIC GROWTH FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.06
01/10/2018 00	0082994053 SMITH, CHARLOT	E EUROPACIFIC GROWTH FUND-A	В	3.60	0.10	0.00	0.10	80.0	0.08
01/10/2018 00	0082994053 SMITH, CHARLOT	E SMALLCAP WORLD FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.06
01/10/2018 00	0082994053 SMITH, CHARLOT	E SMALLCAP WORLD FUND-A	В	3.60	0.10	0.00	0.10	80.0	0.08
01/10/2018 00	0082994053 SMITH, CHARLOT	THE GROWTH FUND OF AMERICA-A	В	7.50	0.21	0.00	0.21	80.0	0.17
01/10/2018 00	0082994053 SMITH, CHARLOT	THE GROWTH FUND OF AMERICA-A	В	9.00	0.25	0.00	0.25	80.0	0.20
01/10/2018 00	0082994053 SMITH, CHARLOT	THE INVESTMENT COMPANY OF AMERICA-A	В	4.50	0.12	0.00	0.12	80.0	0.10
01/10/2018 00	0082994053 SMITH, CHARLOT	THE INVESTMENT COMPANY OF AMERICA-A	В	5.40	0.15	0.00	0.15	80.0	0.12
01/22/2018 00	0085961816 MARSHALL, MYRA	NEW PERSPECTIVE FUND-529A	В	50.00	1.38	0.00	1.38	80.0	1.10
01/22/2018 00	0085961816 MARSHALL, MYRA	NEW WORLD FUND-529A	В	50.00	1.38	0.00	1.38	80.0	1.10
01/22/2018 00	0085961816 MARSHALL, MYRA	THE NEW ECONOMY FUND-529A	В	50.00	1.38	0.00	1.38	80.0	1.10
12/28/2017 00	0087267645 GILLESPIE, JAS	N AMERICAN BALANCED FUND-A	В	4.05	0.11	0.00	0.11	80.0	0.09
12/28/2017 00	0087267645 GILLESPIE, JAS	N AMERICAN BALANCED FUND-A	В	2.70	0.07	0.00	0.07	80.0	0.06
12/28/2017 00	0087267645 GILLESPIE, JAS	ON AMERICAN FUNDS 02629W106	В	4.05	0.11	0.00	0.11	80.0	0.09

INVESTMENT

TRADE DATE

CLIENT

	BBOKED	TRANSAC'	TTONG				
	AAAOAG	IRANDAC	IIONS				
PRODUCT CATEGORY: MUTUAL FUNDS		_	0.70				0.05
12/28/2017 00087267645 GILLESPIE, JASON		В	2.70	0.07	0.00	0.07 80.0	0.06
12/28/2017 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	4.05	0.11	0.00	0.11 80.0	0.09
12/28/2017 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	2.70	0.07	0.00	0.07 80.0	0.06
12/28/2017 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	6.08	0.17	0.00	0.17 80.0	0.14
12/28/2017 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	4.05	0.11	0.00	0.11 80.0	0.09
12/28/2017 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	12.14	0.33	0.00	0.33 80.0	0.26
12/28/2017 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	8.10	0.22	0.00	0.22 80.0	0.18
12/28/2017 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	4.05	0.11	0.00	0.11 80.0	0.09
12/28/2017 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	2.70	0.07	0.00	0.07 80.0	0.06
12/28/2017 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	6.08	0.17	0.00	0.17 80.0	0.14
12/28/2017 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	4.05	0.11	0.00	0.11 80.0	0.09
01/05/2018 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	4.05	0.11	0.00	0.11 80.0	0.09
01/05/2018 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	2.70	0.07	0.00	0.07 80.0	0.06
01/05/2018 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	4.05	0.11	0.00	0.11 80.0	0.09
01/05/2018 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	2.70	0.07	0.00	0.07 80.0	0.06
01/05/2018 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	4.05	0.11	0.00	0.11 80.0	0.09
01/05/2018 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	2.70	0.07	0.00	0.07 80.0	0.06
01/05/2018 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	6.08	0.17	0.00	0.17 80.0	0.14
01/05/2018 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	4.05	0.11	0.00	0.11 80.0	0.09
01/05/2018 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	12.14	0.33	0.00	0.33 80.0	0.26
01/05/2018 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	8.10	0.22	0.00	0.22 80.0	0.18
01/05/2018 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	4.05	0.11	0.00	0.11 80.0	0.09
01/05/2018 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	2.70	0.07	0.00	0.07 80.0	0.06
01/05/2018 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	6.08	0.17	0.00	0.17 80.0	0.14
01/05/2018 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	4.05	0.11	0.00	0.11 80.0	0.09
01/08/2018 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	4.05	0.11	0.00	0.11 80.0	0.09
01/08/2018 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	2.70	0.07	0.00	0.07 80.0	0.06
01/08/2018 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	4.05	0.11	0.00	0.11 80.0	0.09
01/08/2018 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	2.70	0.07	0.00	0.07 80.0	0.06
01/08/2018 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	4.05	0.11	0.00	0.11 80.0	0.09
01/08/2018 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	2.70	0.07	0.00	0.07 80.0	0.06
01/08/2018 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	6.08	0.17	0.00	0.17 80.0	0.14
01/08/2018 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	4.05	0.11	0.00	0.11 80.0	0.09
01/08/2018 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	12.14	0.33	0.00	0.33 80.0	0.26
01/08/2018 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	8.10	0.22	0.00	0.22 80.0	0.18
01/08/2018 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	4.05	0.11	0.00	0.11 80.0	0.09
01/08/2018 00087267645 GILLESPIE, JASON		В	2.70	0.07	0.00	0.07 80.0	0.06
01/08/2018 00087267645 GILLESPIE, JASON		В	6.08	0.17	0.00	0.17 80.0	0.14
01/08/2018 00087267645 GILLESPIE, JASON		В	4.05	0.11	0.00	0.11 80.0	0.09
01/10/2018 00087267645 GILLESPIE, JASON		В	4.05	0.11	0.00	0.11 80.0	0.09
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Page No.

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BROKER

COMMISSION

4

02/28/2018 Page No. 5
COMMISSION STATEMENT for ROBERT STRAVINSKI

INVESTMENT

TRADE DATE

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TRADE DATE	CHIMI	INVESIMENT	ט / ט	AMOUNT	COMMISSION	СПИКОВ	COMMISSION	KAIL	COMMISSION
		BROKER TE	RANSA	CTIONS					
PRODUCT CAT	TEGORY: MUTUAL FUNDS								
01/10/2018 00	0087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	2.70	0.07	0.00	0.07	80.0	0.06
01/10/2018 00	0087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	4.05	0.11	0.00	0.11	80.0	0.09
01/10/2018 00	0087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	2.70	0.07	0.00	0.07	80.0	0.06
01/10/2018 00	0087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	4.05	0.11	0.00	0.11	80.0	0.09
01/10/2018 00	0087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	2.70	0.07	0.00	0.07	80.0	0.06
01/10/2018 00	0087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	6.08	0.17	0.00	0.17	80.0	0.14
01/10/2018 00	0087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	4.05	0.11	0.00	0.11	80.0	0.09
01/10/2018 00	0087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	12.14	0.33	0.00	0.33	80.0	0.26
01/10/2018 00	0087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	8.10	0.22	0.00	0.22	80.0	0.18
01/10/2018 00	0087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	4.05	0.11	0.00	0.11	80.0	0.09
01/10/2018 00	0087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	2.70	0.07	0.00	0.07	80.0	0.06
01/10/2018 00	0087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	6.08	0.17	0.00	0.17	80.0	0.14
01/10/2018 00	0087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	4.05	0.11	0.00	0.11	80.0	0.09
12/28/2017 00	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.00	0.69	0.00	0.69	80.0	0.55
12/28/2017 00	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	18.50	0.51	0.00	0.51	80.0	0.41
01/05/2018 00	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.00	0.69	0.00	0.69	80.0	0.55
01/05/2018 00	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	18.50	0.51	0.00	0.51	80.0	0.41
01/08/2018 00	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.00	0.69	0.00	0.69	80.0	0.55
01/08/2018 00	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	18.50	0.51	0.00	0.51	80.0	0.41
01/10/2018 00	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.00	0.69	0.00	0.69	80.0	0.55
01/10/2018 00	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	18.50	0.51	0.00	0.51	80.0	0.41
12/29/2017 00	0088550230 SWANSON,	AMCAP FUND-A	В	37.50	1.03	0.00	1.03	80.0	0.82
12/29/2017 00	0088550230 SWANSON,	AMCAP FUND-A	В	100.00	2.75	0.00	2.75	80.0	2.20
12/29/2017 00	0088550230 SWANSON,	AMERICAN HIGH-INCOME TRUST-A	В	18.75	0.52	0.00	0.52	80.0	0.42
12/29/2017 00	0088550230 SWANSON,	AMERICAN HIGH-INCOME TRUST-A	В	50.00	1.38	0.00	1.38	80.0	1.10
12/29/2017 00	0088550230 SWANSON,	CAPITAL WORLD BOND FUND-A	В	18.75	0.52	0.00	0.52	80.0	0.42
12/29/2017 00	0088550230 SWANSON,	CAPITAL WORLD BOND FUND-A	В	50.00	1.38	0.00	1.38	80.0	1.10
12/29/2017 00	0088550230 SWANSON,	NEW PERSPECTIVE FUND-A	В	37.50	1.03	0.00	1.03	80.0	0.82
12/29/2017 00	0088550230 SWANSON,	NEW PERSPECTIVE FUND-A	В	100.00	2.75	0.00	2.75	80.0	2.20
12/29/2017 00	0088550230 SWANSON,	SMALLCAP WORLD FUND-A	В	46.87	1.29	0.00	1.29	80.0	1.03
12/29/2017 00	0088550230 SWANSON,	SMALLCAP WORLD FUND-A	В	125.00	3.44	0.00	3.44	80.0	2.75
12/29/2017 00	0088550230 SWANSON,	THE GROWTH FUND OF AMERICA-A	В	28.13	0.77	0.00	0.77	80.0	0.62
12/29/2017 00	0088550230 SWANSON,	THE GROWTH FUND OF AMERICA-A	В	75.00	2.06	0.00	2.06	80.0	1.65
01/16/2018 00	0088550230 SWANSON,	AMCAP FUND-A	В	37.50	1.03	0.00	1.03	80.0	0.82
01/16/2018 00	0088550230 SWANSON,	AMCAP FUND-A	В	100.00	2.75	0.00	2.75	80.0	2.20
01/16/2018 00	0088550230 SWANSON,	AMERICAN HIGH-INCOME TRUST-A	В	18.75	0.52	0.00	0.52	80.0	0.42
01/16/2018 00	0088550230 SWANSON,	AMERICAN HIGH-INCOME TRUST-A	В	50.00	1.38	0.00	1.38	80.0	1.10
01/16/2018 00	0088550230 SWANSON,	CAPITAL WORLD BOND FUND-A	В	18.75	0.52	0.00	0.52	80.0	0.42
01/16/2018 00	0088550230 SWANSON,	CAPITAL WORLD BOND FUND-A	В	50.00	1.38	0.00	1.38	80.0	1.10
01/16/2018 00	0088550230 SWANSON,	NEW PERSPECTIVE FUND-A	В	37.50	1.03	0.00	1.03	80.0	0.82

INVESTMENT

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	<del></del>								
		BROKER	TRANS	ACTIONS					
PRODUCT CAT	EGORY: MUTUAL FUNDS								
1/16/2018 00	088550230 SWANSON,	NEW PERSPECTIVE FUND-A	В	100.00	2.75	0.00	2.75	80.0	2.20
1/16/2018 00	088550230 SWANSON,	SMALLCAP WORLD FUND-A	В	46.87	1.29	0.00	1.29	80.0	1.03
1/16/2018 00	088550230 SWANSON,	SMALLCAP WORLD FUND-A	В	125.00	3.44	0.00	3.44	80.0	2.75
1/16/2018 00	088550230 SWANSON,	THE GROWTH FUND OF AMERICA-A	В	28.13	0.77	0.00	0.77	80.0	0.62
1/16/2018 00	088550230 SWANSON,	THE GROWTH FUND OF AMERICA-A	В	75.00	2.06	0.00	2.06	80.0	1.65
2/29/2017 04	000174210 GALLAGHER, AMY	AMERICAN FUNDS 2040 TARGET DATE	E-A B	137.81	3.79	0.00	3.79	80.0	3.03
2/29/2017 04	000174210 GALLAGHER, AMY	AMERICAN FUNDS 2040 TARGET DATE	Е-А В	59.00	1.62	0.00	1.62	80.0	1.30
1/16/2018 04	000174210 GALLAGHER, AMY	AMERICAN FUNDS 2040 TARGET DATE	Е-А В	137.81	3.79	0.00	3.79	80.0	3.03
1/16/2018 04	000174210 GALLAGHER, AMY	AMERICAN FUNDS 2040 TARGET DATE	Е-А В	59.00	1.62	0.00	1.62	80.0	1.30
2/28/2017 04	000615610 VARIOUS,	02630R203	В	10.00	0.28	0.00	0.28	80.0	0.22
2/28/2017 04	000615610 VARIOUS,	02630R203	В	6.93	0.19	0.00	0.19	80.0	0.15
2/28/2017 04	000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SER GLO	BAL GB	10.00	0.28	0.00	0.28	80.0	0.22
2/28/2017 04	000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SER GLO	BAL GB	6.93	0.19	0.00	0.19	80.0	0.15
1/05/2018 04	000615610 VARIOUS,	02630R203	В	10.00	0.28	0.00	0.28	80.0	0.22
1/05/2018 04	000615610 VARIOUS,	02630R203	В	6.93	0.19	0.00	0.19	80.0	0.15
1/05/2018 04	000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SER GLO	BAL GB	10.00	0.28	0.00	0.28	80.0	0.22
1/05/2018 04	000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SER GLO	BAL GB	6.93	0.19	0.00	0.19	80.0	0.15
1/08/2018 04	000615610 VARIOUS,	02630R203	В	10.00	0.28	0.00	0.28	80.0	0.22
1/08/2018 04	000615610 VARIOUS,	02630R203	В	7.31	0.20	0.00	0.20	80.0	0.16
1/08/2018 04	000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SER GLO	BAL GB	10.00	0.28	0.00	0.28	80.0	0.22
1/08/2018 04	000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SER GLO	BAL G B	7.31	0.20	0.00	0.20	80.0	0.16
1/10/2018 04	000615610 VARIOUS,	02630R203	В	10.00	0.28	0.00	0.28	80.0	0.22
1/10/2018 04	000615610 VARIOUS,	02630R203	В	6.80	0.19	0.00	0.19	80.0	0.15
1/10/2018 04	000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SER GLO	BAL G B	10.00	0.28	0.00	0.28	80.0	0.22
1/10/2018 04	000615610 VARIOUS,	AMERICAN FDS PORTFOLIO SER GLO	BAL G B	6.80	0.19	0.00	0.19	80.0	0.15
2/28/2017 04	000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGET DATE	E-A B	30.00	0.83	0.00	0.83	80.0	0.66
2/28/2017 04	000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGET DATE	E-A B	57.60	1.58	0.00	1.58	80.0	1.26
1/05/2018 04	000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGET DATE	E-A B	30.00	0.83	0.00	0.83	80.0	0.66
1/05/2018 04	000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGET DATE	Е-А В	57.60	1.58	0.00	1.58	80.0	1.26
1/08/2018 04	000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGET DATE	Е-А В	30.00	0.83	0.00	0.83	80.0	0.66
1/08/2018 04	000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGET DATE	E-A B	57.60	1.58	0.00	1.58	80.0	1.26
1/10/2018 04	000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGET DATE	E-A B	30.00	0.83	0.00	0.83	80.0	0.66
1/10/2018 04	000615620 VARIOUS,	AMERICAN FUNDS 2025 TARGET DATE	Е-А В	57.60	1.58	0.00	1.58	80.0	1.26
2/28/2017 04	000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGET DATE	E-A B	25.00	0.69	0.00	0.69	80.0	0.55
2/28/2017 04	000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGET DATE	E-A B	16.00	0.44	0.00	0.44	80.0	0.35
1/05/2018 04	000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGET DATE	E-A B	25.00	0.69	0.00	0.69	80.0	0.55
1/05/2018 04	000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGET DATE	Е-А В	16.00	0.44	0.00	0.44	80.0	0.35
1/08/2018 04	000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGET DATE		25.00	0.69	0.00		80.0	0.55
	· ·								
1/08/2018 04	000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGET DATE	E−A B	16.00	0.44	0.00	0.44	80.0	0.35

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TI	RANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS								
01/10/2018 04000615623 VARIOUS,	AMERICAN FUNDS 2045 TARGET DATE-A	В	16.00	0.44	0.00	0.44	80.0	0.35
01/05/2018 04000615627 VARIOUS,	02631C445	В	30.00	0.83	0.00	0.83	80.0	0.66
01/05/2018 04000615627 VARIOUS,	02631C445	В	2.50	0.07	0.00	0.07	80.0	0.06
01/08/2018 04000615627 VARIOUS,	02631C445	В	30.00	0.83	0.00	0.83	80.0	0.66
01/08/2018 04000615627 VARIOUS,	02631C445	В	6.25	0.17	0.00	0.17	80.0	0.14
01/10/2018 04000615627 VARIOUS,	02631C445	В	30.00	0.83	0.00	0.83	80.0	0.66
01/10/2018 04000615627 VARIOUS,	02631C445	В	6.25	0.17	0.00	0.17	80.0	0.14
12/28/2017 04000636626 VARIOUS,	AMERICAN FUNDS 2035 TARGET DATE-A	В	22.00	0.61	0.00	0.61	80.0	0.49
12/28/2017 04000636626 VARIOUS,	AMERICAN FUNDS 2035 TARGET DATE-A	В	19.94	0.55	0.00	0.55	80.0	0.44
01/05/2018 04000636626 VARIOUS,	AMERICAN FUNDS 2035 TARGET DATE-A	В	22.00	0.61	0.00	0.61	80.0	0.49
01/05/2018 04000636626 VARIOUS,	AMERICAN FUNDS 2035 TARGET DATE-A	В	19.93	0.55	0.00	0.55	80.0	0.44
01/08/2018 04000636626 VARIOUS,	AMERICAN FUNDS 2035 TARGET DATE-A	В	22.00	0.61	0.00	0.61	80.0	0.49
01/08/2018 04000636626 VARIOUS,	AMERICAN FUNDS 2035 TARGET DATE-A	В	19.36	0.53	0.00	0.53	80.0	0.42
01/10/2018 04000636626 VARIOUS,	AMERICAN FUNDS 2035 TARGET DATE-A	В	22.00	0.61	0.00	0.61	80.0	0.49
01/10/2018 04000636626 VARIOUS,	AMERICAN FUNDS 2035 TARGET DATE-A	В	19.43	0.53	0.00	0.53	80.0	0.42
	* MUTUAL FUNDS SUBTOTAL	*	\$4,283.62	\$119.76	\$0.00	\$119.76		\$95.88
PRODUCT CATEGORY: MISCELLANEOUS								
01/31/2018 VARIOUS, STRAVINSKI	ADP	В	0.00	998.24	0.00	998.24	80.0	798.59
01/31/2018 VARIOUS, STRAVINSKI	AMERICAN CENTURY	В	0.00	7.67	0.00	7.67	80.0	6.14
01/31/2018 VARIOUS, STRAVINSKI	BLACKROCK	В	0.00	1,085.72	0.00	1,085.72	80.0	868.58
01/31/2018 VARIOUS, STRAVINSKI	FIDELITY	В	0.00	1,026.72	0.00	1,026.72	80.0	821.38
01/31/2018 VARIOUS, STRAVINSKI	GREAT WEST LIFE	В	0.00	2,230.40	0.00	2,230.40	80.0	1,784.32
01/31/2018 VARIOUS, STRAVINSKI	HANCOCK	В	0.00	8.44	0.00	8.44	80.0	6.75
01/31/2018 VARIOUS, STRAVINSKI	INVESCO	В	0.00	722.76	0.00	722.76	80.0	578.21
01/31/2018 VARIOUS, STRAVINSKI	JANUS	В	0.00	124.71	0.00	124.71	80.0	99.77
01/31/2018 VARIOUS, STRAVINSKI	OPPENHEIMER	В	0.00	277.06	0.00	277.06	80.0	221.65
01/31/2018 VARIOUS, STRAVINSKI	PIMCO	В	0.00	15.10	0.00	15.10	80.0	12.08
01/31/2018 VARIOUS, STRAVINSKI	PRINCIPAL	В	0.00	1,710.52	0.00	1,710.52	80.0	1,368.42
01/31/2018 VARIOUS, STRAVINSKI	T ROWE	В	0.00	23.26	0.00	23.26	80.0	18.61
01/31/2018 VARIOUS, STRAVINSKI	TRANSAMERICA	В	0.00	450.29	0.00	450.29	80.0	360.23
01/31/2018 VARIOUS, STRAVINSKI	VOYA	В	0.00	72.31	0.00	72.31	80.0	57.85
	* MISCELLANEOUS SUBTOTAL	*	\$0.00	\$8,753.20	\$0.00	\$8,753.20		\$7,002.58
	*** BROKER TRANSACTIONS TOTAL *	**	\$4,283.62	\$8,872.96	\$0.00	\$8,872.96		\$7,098.46

# 02/28/2019

02/28/2018		COMMISSION ST	ATEMENT for	ROBERT STRAVINS	SKI			]	Page No. 8
TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			ADJUSTMEN	ITS					
01/31/2018		E & O INSURANCE: E & O INSU	RANCE	0.00	0.00	0.00	0.00		-200.00
01/31/2018		OTHER: COMPLIANCE AND TECHN	OLOGY	0.00	0.00	0.00	0.00		-20.00
01/31/2018		OTHER: OTHER-VIRTRU		0.00	0.00	0.00	0.00		-7.00
01/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-5.00
01/31/2018		OTHER: OTHER-SIPC AND FINRA		0.00	0.00	0.00	0.00		-33.13
		*** ADJUSTMENTS	TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-265.13
						<u>E</u>	BROKER COMMI	SSION	TOTALS
						Broke	r Transactions		\$7,098.46
						Split	t Transactions		\$0.00
						Override	e Transactions		\$0.00
							Adjustments		\$-265.13
							Payroll Draw		\$0.00
							Base Salary		\$0.00
							_		

\$0.00

\$-0.15

\$-0.30

\$6,832.88

\$6,832.88

Prior Period Balance

Year-to-date Earnings

FINRA Assessment

SIPC Assessment

Check Amount