



Concorde Investment Services, LLC

09/28/2017
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COMMISSION STATEMENT **September 30, 2017**

ROBERT SMITH
5000 Meadows Road
Suite 230
Lake Oswego, OR 97035

BROKER #: S117
BRANCH: ROBERT SMITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
09/12/2017	BECK, KAREN	BLUEROCK SONOMA POINTE DST	301,449.97	18,087.00	0.00	18,087.00	92.0	16,640.04
08/25/2017	SNOWLEY, LORIN	INLAND TEXAS HEALTHCARE PF II DST	139,490.00	6,974.50	0.00	6,974.50	92.0	6,416.54
* 1031 EXCHANGES SUBTOTAL *			\$440,939.97	\$25,061.50	\$0.00	\$25,061.50		\$23,056.58
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	RUPP, CHARLES	AM FUNDS AMCAP 529A	0.00	0.67	0.00	0.67	92.0	0.62
09/01/2017	RUPP, GAIL	AM FUNDS AMCAP 529A	0.00	0.98	0.00	0.98	92.0	0.90
09/01/2017	O'BRIEN, GERALD	AM FUNDS AMCAP 529A	0.00	2.05	0.00	2.05	92.0	1.89
09/01/2017	RUPP, CHARLES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.72	0.00	0.72	92.0	0.66
09/01/2017	O'BRIEN, GERALD	AM FUNDS AMERICAN BALANCED 529A	0.00	2.03	0.00	2.03	92.0	1.87
09/01/2017	RUPP, CHARLES	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.76	0.00	0.76	92.0	0.70
09/01/2017	O'BRIEN, GERALD	AM FUNDS AMERICAN MUTUAL 529A	0.00	2.08	0.00	2.08	92.0	1.91
09/01/2017	O'BRIEN, GERALD	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.94	0.00	1.94	92.0	1.78
09/01/2017	GLADE, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.26	0.00	0.26	92.0	0.24
09/01/2017	GLADE, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.26	0.00	0.26	92.0	0.24
09/01/2017	GLADE, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.26	0.00	0.26	92.0	0.24
09/01/2017	GLADE, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.26	0.00	0.26	92.0	0.24
09/01/2017	O'BRIEN, GERALD	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	1.90	0.00	1.90	92.0	1.75
09/01/2017	O'BRIEN, GERALD	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	2.19	0.00	2.19	92.0	2.01
09/01/2017	RUPP, CHARLES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.83	0.00	0.83	92.0	0.76
09/01/2017	RUPP, GAIL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.75	0.00	0.75	92.0	0.69
09/01/2017	O'BRIEN, GERALD	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.19	0.00	2.19	92.0	2.01
09/01/2017	O'BRIEN, GERALD	AM FUNDS ICA 529A	0.00	1.04	0.00	1.04	92.0	0.96
09/01/2017	GLADE, PATRICIA	AM FUNDS NEW ECONOMY 529A	0.00	0.32	0.00	0.32	92.0	0.29
09/01/2017	GLADE, PATRICIA	AM FUNDS NEW ECONOMY 529A	0.00	0.32	0.00	0.32	92.0	0.29
09/01/2017	GLADE, PATRICIA	AM FUNDS NEW ECONOMY 529A	0.00	0.32	0.00	0.32	92.0	0.29
09/01/2017	GLADE, PATRICIA	AM FUNDS NEW ECONOMY 529A	0.00	0.32	0.00	0.32	92.0	0.29

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	RUPP, CHARLES	AM FUNDS NEW ECONOMY 529A	0.00	1.24	0.00	1.24	92.0	1.14
09/01/2017	RUPP, GAIL	AM FUNDS NEW ECONOMY 529A	0.00	0.74	0.00	0.74	92.0	0.68
09/01/2017	O'BRIEN, GERALD	AM FUNDS NEW ECONOMY 529A	0.00	2.10	0.00	2.10	92.0	1.93
09/01/2017	O'BRIEN, GERALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	2.12	0.00	2.12	92.0	1.95
09/01/2017	GLADE, PATRICIA	AM FUNDS NEW WORLD 529A	0.00	0.24	0.00	0.24	92.0	0.22
09/01/2017	GLADE, PATRICIA	AM FUNDS NEW WORLD 529A	0.00	0.24	0.00	0.24	92.0	0.22
09/01/2017	GLADE, PATRICIA	AM FUNDS NEW WORLD 529A	0.00	0.24	0.00	0.24	92.0	0.22
09/01/2017	GLADE, PATRICIA	AM FUNDS NEW WORLD 529A	0.00	0.24	0.00	0.24	92.0	0.22
09/01/2017	O'BRIEN, GERALD	AM FUNDS NEW WORLD 529A	0.00	0.90	0.00	0.90	92.0	0.83
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$30.51	\$0.00	\$30.51		\$28.04
*** BROKER TRANSACTIONS TOTAL ***			\$440,939.97	\$25,092.01	\$0.00	\$25,092.01		\$23,084.62

BROKER COMMISSION TOTALS

Broker Transactions	\$23,084.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$23,084.62
Year-to-date Gross	\$822,008.92
Year-to-date Earnings	\$821,043.98

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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