

## COMMISSION STATEMENT

August 31, 2017

COLIN GLENNON  
711 ELLINGTON ROADBROKER #: 17  
BRANCH: HOME OFFICE

RIDGEWOOD, NJ 07450

COMMISSION STATEMENT for COLIN GLENNON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

08/09/2017 75502121	GLENNON, COLIN	GABELLI EQUITY TRUST INC	B	1,109.19	20.00	0.00	20.00	50.0	0.00
* STOCKS SUBTOTAL *				\$1,109.19	\$20.00	\$0.00	\$20.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/09/2017 75501429	COMACK, KATHLEEN	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	6.54	0.00	6.54	50.0	3.27
08/09/2017 75501609	BUCHNER, LORETTA	GOLDMAN SACHS U S EQUITY INSIGHTS F B		0.00	3.90	0.00	3.90	50.0	1.95
08/09/2017 75501609	BUCHNER, LORETTA	GOLDMAN SACHS TOLLKEEPER FUND CLASS B		0.00	16.28	0.00	16.28	50.0	8.14
08/09/2017 75501609	BUCHNER, LORETTA	JOHN HANCOCK FDS III STRATEGIC GROW B		0.00	2.16	0.00	2.16	50.0	1.08
08/09/2017 75501668	PARKER, FRANCINE	FRK SM CAP GRWTH FND II - CL A	B	0.00	5.43	0.00	5.43	50.0	2.72
08/09/2017 75501668	PARKER, FRANCINE	GLOBAL OPPORTUNITIES A	B	0.00	8.26	0.00	8.26	50.0	4.13
08/09/2017 75501669	PARKER, JAMES	FRANKLIN U.S. GOVERNMENT SECURITIES B		0.00	0.27	0.00	0.27	50.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$42.84	\$0.00	\$42.84		\$21.29
*** BROKER TRANSACTIONS TOTAL ***				\$1,109.19	\$62.84	\$0.00	\$62.84		\$21.29

BROKER COMMISSION TOTALS

Broker Transactions	\$21.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.08
SIPC Assessment	\$-0.16

Check Amount	\$21.05
Year-to-date Earnings	\$175.51