



COMMISSION STATEMENT

July 30, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/16/2018	***-**-6195 - BACKUS, THOMAS	INVESCO AMERICAN FRANCHISE FUND -CL	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 03908954377	BUY 2 Units @23.8800						
07/16/2018	***-**-4379 - MATICIC, JOSEPH	PUTNAM EQUITY INCOME FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00461190200	BUY 2 Units @26.5400						
07/27/2018	***-**-8806 - MABRY, REBECCA	THE BOND FUND OF AMERICA-A	75.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 00082729374	BUY 6 Units @13.0200						
07/27/2018	***-**-8806 - MABRY, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 2 Units @48.0400						
* MUTUAL FUNDS SUBTOTAL *			<u>\$250.00</u>	<u>\$10.06</u>	<u>\$0.00</u>	<u>\$10.06</u>		<u>\$8.05</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$250.00</u>	<u>\$10.06</u>	<u>\$0.00</u>	<u>\$10.06</u>		<u>\$8.05</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$8.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$67.81
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$75.86
Year-to-date Earnings	\$4,378.40