BROKER



## **COMMISSION STATEMENT**

**January 31, 2018** 

INVESTMENT

MARC LINSKY

104 B. Centre Blvd

Marlton, NJ 08053

BROKER #: L134

GROSS

BRANCH: E STREET FINANCIAL L

CLEARING

NET

DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		CLEARING TRANS	SACTIONS					
		CHERTING TIGHT	<u> </u>					
	ATEGORY: STOCKS	NIIGRAD EMEDOV I D COM INTEG DEDCEC	17 555 20	22.00	22.75	0.75	00 0	0.69
01/25/2018	PRATOLA, PRESTON	NUSTAR ENERGY L P COM UNITS REPSTG	17,555.20	22.00	22.75		90.0	-0.68
01/25/2018 01/25/2018	PRATOLA, PRESTON PRATOLA, PRESTON	PPL CORPORATION WINDSTREAM HLDGS INC COM NEW	15,993.30 3,459.00	22.00 22.00	22.75 25.00	-0.75 -3.00	90.0	-0.68 -2.70
01/25/2016	PRAIGLA, PRESION						90.0	
		* STOCKS SUBTOTAL *	\$37,007.50	\$66.00	\$70.50	\$-4.50		\$-4.06
		*** CLEARING TRANSACTIONS TOTAL ***	\$37,007.50	\$66.00	\$70.50	\$-4.50		\$-4.06
		DIRECT TRANSA	ACTIONS					
PRODUCT CA	TEGORY: PRIVATE PLACEME	NT						
01/02/2018	OTT, THOMAS	COTTONWOOD RESIDENTIAL 2016 PREFR'D	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
01/11/2018	FARBMAN, ERIC	COTTONWOOD RESIDENTIAL 2016 PREFR'D	100,000.00	7,000.00	0.00	7,000.00	90.0	6,300.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$125,000.00	\$8,750.00	\$0.00	\$8,750.00		\$7,875.00
PRODUCT CA	TEGORY: 529 PLANS							
01/16/2018	BENDICK, ETHEL	AM FUNDS AMCAP 529A	400.00	20.00	0.00	20.00	90.0	18.00
01/16/2018	BENDICK, ETHEL	AM FUNDS BOND FUND OF AMERICA 529A	300.00	9.00	0.00	9.00	90.0	8.10
01/16/2018	BENDICK, ETHEL	AM FUNDS CAPITAL INCOME BUILDER 529	300.00	15.00	0.00	15.00	90.0	13.50
		* 529 PLANS SUBTOTAL *	\$1,000.00	\$44.00	\$0.00	\$44.00		\$39.60
PRODUCT CA	TEGORY: MUTUAL FUNDS							
01/16/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	10.13
		* MUTUAL FUNDS SUBTOTAL *	\$225.00	\$11.25	\$0.00	\$11.25		\$10.13
PRODUCT CA	TEGORY: VARIABLE ANNUIT	IES						
01/02/2018	LINSKY, MARC	BRIGHTHOUSE	37,181.64	92.95	0.00	92.95	90.0	83.66
01/02/2018	FLYNN, JOHN	BRIGHTHOUSE	116,303.08	290.76	0.00	290.76	90.0	261.68
01/02/2018	CARROLLTON, STEVE	MET LIFE 10922M105	67,231.87	84.04	0.00	84.04	90.0	75.64
01/02/2018	GARAFOLA, MICHAEL	MET LIFE 10922M303	275,358.22	344.20	0.00	344.20	90.0	309.78

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

<sup>\*\* -</sup> Indicates adjustment is not included in Totals or Commissions

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DIRECT TRANSA	ACTIONS					
PRODUCT CA	TEGORY: VARIABLE ANNUI	TIES						
01/09/2018	FARBMAN, ERIC	METLIFE SHIELD LEVEL SELECT 6 YR	100,000.00	6,000.00	0.00	6,000.00	90.0	5,400.00
		* VARIABLE ANNUITIES SUBTOTAL *	\$596,074.81	\$6,811.95	\$0.00	\$6,811.95		\$6,130.76
PRODUCT CA	TEGORY: VARIABLE UNIVE	RSAL LIFE						
01/03/2018	PISANI, CARLA	LINCOLN LIFE	541.00	25.70	0.00	25.70	90.0	23.13
01/03/2018	PISANI, NICHOLAS	LINCOLN LIFE	250.00	10.00	0.00	10.00	90.0	9.00
		* VARIABLE UNIVERSAL LIFE SUBTOTAL *	\$791.00	\$35.70	\$0.00	\$35.70		\$32.13
PRODUCT CA	TEGORY: MUTUAL FUND 12	B1 TRAILS						
01/08/2018	KROSNOWSKI, THOMAS	ALLIANCEBERNSTEIN BALANCED SHARES A	0.00	0.01	0.00	0.01	90.0	0.01
01/08/2018	KROSNOWSKI SR, THOMAS	ALLIANCEBERNSTEIN BALANCED SHARES A	0.00	0.02	0.00	0.02	90.0	0.02
01/08/2018	KROSNOWSKI SR, THOMAS	ALLIANCEBERNSTEIN BALANCED SHARES A	0.00	0.36	0.00	0.36	90.0	0.32
01/24/2018	LINSKY, VARIOUS	AMERICAN FUNDS PERSHING	0.00	8,681.98	0.00	8,681.98	90.0	7,813.78
01/24/2018	LINSKY, VARIOUS	AMERICAN FUNDS PERSHING	0.00	144.39	0.00	144.39	90.0	129.95
01/02/2018	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	4.06	0.00	4.06	90.0	3.65
01/02/2018	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	6.24	0.00	6.24	90.0	5.62
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$8,837.06	\$0.00	\$8,837.06		\$7,953.35
PRODUCT CA	TEGORY: VARIABLE ANNUI	TY 12B1 TRAILS						
01/17/2018	LINSKY, VARIOUS	TRANSAMERICA	735.00	3.68	0.00	3.68	90.0	3.31
01/05/2018	KROSNOWSKI, LISA	ING PREMIUM PLUS	36,686.80	68.79	0.00	68.79	90.0	61.91
01/03/2018	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	84.55	0.00	84.55	90.0	76.10
01/10/2018	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	56.85	0.00	56.85	90.0	51.17
01/12/2018	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	223.33	0.00	223.33	90.0	201.00
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$37,421.80	\$437.20	\$0.00	\$437.20		\$393.49
		*** DIRECT TRANSACTIONS TOTAL ***	\$760,512.61	\$24,927.16	\$0.00	\$24,927.16		\$22,434.46

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		SPLIT TRANSA	CTIONS					
BROKER: R1	.34-DAN/JEFF/JON P/ F							
01/24/2018	FORT R134, VARIOUS	AMERICAN FUNDS PERSHING	0.00	0.59	0.00	0.59	90.0	0.53
	* R13	34-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *	\$0.00	\$0.59	\$0.00	\$0.59		\$0.53
DDOWED D1			40.00	<b>40.</b> 33	40.00	<b>#0.33</b>		¥0.55
12/31/2017	.24-JONP/MARC/DAN/J F		42,298.70	37.32	0.00	27 20	90.0	33.59
12/31/2017	MORONEY JR, JOHN FLAHERTY, PATRICIA	GRIFFIN CAPITAL ESSENTIAL ASSET II GRIFFIN CAPITAL ESSENTIAL ASSET II	42,298.70 38,021.30	37.32	0.00	37.32		30.19
12/31/2017	MORONEY JR, JOHN	GRIFFIN CAPITAL ESSENTIAL ASSET II	2,376.33	2.10	0.00		90.0	1.89
12/31/2017	FLAHERTY, STEPHEN	GRIFFIN CAPITAL ESSENTIAL ASSET II	95,053.26	83.86	0.00		90.0	75.47
01/24/2018	FORT P124, VARIOUS	PERSHING	0.00	48.52	0.00		90.0	43.67
		24-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *	\$177,749.59	\$205.34	\$0.00	\$205.34		\$184.81
BROKER: C1	.48 DAN/MARC FT-CHARL	LEY/LINSKY #315						
01/24/2018	FORT C148, VARIOUS	PERSHING	0.00	106.56	0.00	106.56	90.0	95.90
01/10/2018	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	-1,842.16	0.00	0.00	0.00	90.0	0.00
	*	* C148 DAN/MARC FT-CHARLEY/LINSKY SUBTOTAL *	\$-1,842.16	\$106.56	\$0.00	\$106.56		\$95.90
		*** SPLIT TRANSACTIONS TOTAL ***	\$175,907.43	\$312.49	\$0.00	\$312.49		\$281.24
		ADJUSTME	NTS					
			<u> </u>					
01/31/2018		*FEDERAL EXPRESS: 6-058-91957 1/17/	0.00					-40.29
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-40.29

## BROKER COMMISSION TOTALS \$22,430.40 Broker Transactions \$281.24 Split Transactions \$0.00 Override Transactions \$-40.29 Adjustments Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$22,671.35 Check Amount \$78,277.49 Year-to-date Gross \$70,273.05 Year-to-date Earnings