

COMMISSION STATEMENT March 15, 2018

B151-JASON/MARIA BAIRD/BONGIOVANNI

BROKER #: B151 Terminated 12/31/2016

BRANCH: SECURE ASSET FINANCI

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DIRECT TRANS	ACTIONS					
PRODUCT CA	TEGORY: VARIABLE ANNUITI	IES						
03/07/2018	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	0.00
02/22/2018	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	2.88	0.00	2.88	0.0	0.00
02/28/2018	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	0.0	0.00
02/28/2018	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	350.00	1.75	0.00	1.75	0.0	0.00
03/03/2018	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	1.25	0.00	1.25	0.0	0.00
		* VARIABLE ANNUITIES SUBTOTAL *	\$829.58	\$7.99	\$0.00	\$7.99		\$0.00
PRODUCT CA	TEGORY: VARIABLE UNIVERS	SAL LIFE						
02/20/2018	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	0.00
		* VARIABLE UNIVERSAL LIFE SUBTOTAL *	\$152.00	\$1.52	\$0.00	\$1.52		\$0.00
PRODUCT CA	TEGORY: MUTUAL FUND 12B1	L TRAILS						
03/01/2018	GAGNON, JOHN	AM FUNDS AMERICAN BALANCED C	0.00	15.83	0.00	15.83	0.0	0.00
03/01/2018	BRINICH, EVA	AM FUNDS AMERICAN BALANCED C	0.00	5.18	0.00	5.18	0.0	0.00
03/01/2018	ROMA, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	23.20	0.00	23.20	0.0	0.00
03/01/2018	SLACK, DONITA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	46.33	0.00	46.33	0.0	0.00
03/01/2018	SLACK, DONITA	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	53.68	0.00	53.68	0.0	0.00
03/01/2018	GAGNON, JOHN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.37	0.00	17.37	0.0	0.00
03/01/2018	GAGNON, JOHN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	20.01	0.00	20.01	0.0	0.00
03/01/2018	GAGNON, JOHN	AM FUNDS INCOME FUND OF AMERICA C	0.00	13.11	0.00	13.11	0.0	0.00
01/31/2018	WAX, LUBENA	BLACKROCK 19452P735	0.00	2.70	0.00	2.70	0.0	0.00
01/31/2018	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.06	0.00	0.06	0.0	0.00
01/31/2018	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.04	0.00	0.04	0.0	0.00
01/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	27.52	0.00	27.52	0.0	0.00
01/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	9.17	0.00	9.17	0.0	0.00
01/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	11.45	0.00	11.45	0.0	0.00
01/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.80	0.00	2.80	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DIRECT TRANS	SACTIONS					
PRODUCT CA	ATEGORY: MUTUAL FUND 1	.2B1 TRAILS						
01/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.40	0.00	8.40	0.0	0.00
01/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	34.34	0.00	34.34	0.0	0.00
03/02/2018	CROCKETT, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	3.88	0.00	3.88	0.0	0.00
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$295.07	\$0.00	\$295.07		\$0.00
		*** DIRECT TRANSACTIONS TOTAL ***	\$981.58	\$304.58	\$0.00	\$304.58		\$0.00
					<u>]</u>	BROKER COMMI	SSION	TOTALS
					Broke	r Transactions		\$0.00
					Spli	t Transactions		\$0.00
					Override Transactions			\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
					Prior	Period Balance		\$0.00
					FI	NRA Assessment		\$0.00
					S	IPC Assessment		\$0.00
			Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD		Balance Forward			\$0.00
					Yea	r-to-date Gross	3	\$706.38
					Year-t	o-date Earning:	s	\$0.00