

COMMISSION STATEMENT

November 30, 2017

KEVIN CORY

BROKER #: 87

BRANCH: HOME OFFICE

, NY -

COMMISSION STATEMENT for KEVIN CORY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

11/09/2017	75502145	KUSTES, DONALD	BLACKSTONE / GSO STRATEGIC CR FD CO B	4,719.00	0.00	0.00	0.00	0.0	0.00
11/09/2017	75502145	KUSTES, DONALD	GAMCO GLOBAL GOLD NATURAL RESOURCES S	3,810.00	0.00	0.00	0.00	0.0	0.00
11/09/2017	75502145	KUSTES, DONALD	GENERAL ELECTRIC CO B	2,989.50	0.00	0.00	0.00	0.0	0.00
11/14/2017	75502145	KUSTES, DONALD	GENERAL ELECTRIC CO B	2,672.25	0.00	0.00	0.00	0.0	0.00
11/14/2017	75502145	KUSTES, DONALD	PJT PARTNERS INC CLASS A COM S	385.70	0.00	0.00	0.00	0.0	0.00
11/17/2017	75502145	KUSTES, DONALD	DORCHESTER MINERALS L P S	8,985.00	0.00	0.00	0.00	0.0	0.00
11/17/2017	75502145	KUSTES, DONALD	VIACOM INC CLASS B B	4,996.00	0.00	0.00	0.00	0.0	0.00
11/20/2017	75502145	KUSTES, DONALD	SEABRIDGE GOLD INC S	1,117.50	0.00	0.00	0.00	0.0	0.00
11/17/2017	75502146	KUSTES, DONALD	APOLLO GLOBAL MANAGEMENT LLC CL A S B	2,923.50	0.00	0.00	0.00	0.0	0.00
11/17/2017	75502146	KUSTES, DONALD	BLACKSTONE / GSO STRATEGIC CR FD CO B	2,362.50	0.00	0.00	0.00	0.0	0.00
11/17/2017	75502146	KUSTES, DONALD	GENERAL ELECTRIC CO B	1,827.00	0.00	0.00	0.00	0.0	0.00
11/20/2017	75502146	KUSTES, DONALD	TECHTRONIC INDUSTRIES CO LTD-SPONSO B	3,138.00	0.00	0.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *				\$39,925.95	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: RIA

11/07/2017	75502145	KUSTES, DONALD	FAS FEES	B	-343.64	257.73	0.00	257.73	0.0	0.00
11/07/2017	75502146	KUSTES, DONALD	FAS FEES	B	-142.98	92.94	0.00	92.94	0.0	0.00
* RIA SUBTOTAL *					\$-486.62	\$350.67	\$0.00	\$350.67		\$0.00
*** BROKER TRANSACTIONS TOTAL ***					\$39,439.33	\$350.67	\$0.00	\$350.67		\$0.00

ADJUSTMENTS

11/30/2017		OTHER: OTHER-COLLIDION		0.00	0.00	0.00	0.00		3,600.00
11/30/2017		OTHER: OTHER-WEALTH MANAGEMENT		0.00	0.00	0.00	0.00		-75.00
11/30/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$3,517.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$3,517.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-553.63
FINRA Assessment	\$-0.44
SIPC Assessment	\$-0.88

Check Amount	\$2,962.05
Year-to-date Earnings	\$3,515.68