

COMMISSION STATEMENT

May 31, 2018

JAY TOMLINSON
474 GREGORY AVENUEBROKER #: I50
BRANCH: HOME OFFICE

WEEHAWKEN, NJ 07086

COMMISSION STATEMENT for JAY TOMLINSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/15/2018	QGV001928	FEDERATED KAUFMANN MICROSEMI CORP	S	-11,664,257.26	6,807.28	10.00	6,797.28	70.0	4,755.10
05/15/2018	QGV001936	FEDERATED KAUFMANN MICROSEMI CORP	S	-302,809.28	176.72	10.00	166.72	70.0	113.70
04/30/2018	QGV004229	INTERACTIVE BROKERS IMMUNOMEDICS INC COM	S	-192,033.92	260.00	10.00	250.00	70.0	172.00
05/01/2018	QGV004229	INTERACTIVE BROKERS IMMUNOMEDICS INC COM	S	-349,148.04	465.00	10.00	455.00	70.0	315.50
04/30/2018	QGW001778	INTERACTIVE BROKERS FENNEC PHARMACEUTICALS INC SHS ISIN S	S	-2,625.00	25.00	10.00	15.00	70.0	7.50
05/01/2018	QGW001778	INTERACTIVE BROKERS FENNEC PHARMACEUTICALS INC SHS ISIN S	S	-7,502.46	50.00	10.00	40.00	70.0	25.00
05/02/2018	QGW001778	INTERACTIVE BROKERS FENNEC PHARMACEUTICALS INC SHS ISIN S	S	-133,922.76	316.50	10.00	306.50	70.0	211.55
05/02/2018	QGW001778	INTERACTIVE BROKERS FENNEC PHARMACEUTICALS INC SHS ISIN S	S	133,922.76	-316.50	-10.00	-306.50	70.0	-211.55 C
05/02/2018	QGW001778	INTERACTIVE BROKERS FENNEC PHARMACEUTICALS INC SHS ISIN S	S	-133,922.76	316.50	10.00	306.50	70.0	211.55
05/09/2018	QGW001778	INTERACTIVE BROKERS CANADIAN DOLLAR CURRENCY	S	27,374.25	42.17	10.00	32.17	70.0	19.52
05/14/2018	QGW001778	INTERACTIVE BROKERS XENON PHARMACEUTICALS INC COM ISIN# B	B	120,768.00	600.00	10.00	590.00	70.0	410.00
05/15/2018	QGW001778	INTERACTIVE BROKERS MYND ANALYTICS INC COMMON STOCK	S	-178,004.58	1,428.57	10.00	1,418.57	70.0	990.00
05/16/2018	QGW001778	INTERACTIVE BROKERS ONCOSEC MED INC COM NEW	B	88,355.00	500.00	10.00	490.00	70.0	340.00
05/17/2018	QGW001778	INTERACTIVE BROKERS CUE BIOPHARMA INC COM	S	-81,852.72	114.72	10.00	104.72	70.0	70.30
05/17/2018	QGW001778	INTERACTIVE BROKERS ONCOSEC MED INC COM NEW	B	24,450.00	150.00	10.00	140.00	70.0	95.00
05/18/2018	QGW001778	INTERACTIVE BROKERS CUE BIOPHARMA INC COM	S	-61,473.24	85.28	10.00	75.28	70.0	49.70
05/18/2018	QGW001778	INTERACTIVE BROKERS CUE BIOPHARMA INC COM	S	-21,258.91	231.54	10.00	221.54	70.0	152.08
* STOCKS SUBTOTAL *				-12,733,940.92	\$11,252.78	\$150.00	\$11,102.78		\$7,726.95
*** BROKER TRANSACTIONS TOTAL ***				-12,733,940.92	\$11,252.78	\$150.00	\$11,102.78		\$7,726.95

ADJUSTMENTS

05/31/2018	COMMISSION INCOME: PREVIOUS FUNDS D	0.00	0.00	0.00	0.00	12,203.63
05/31/2018	OTHER: MONIES DUE- DAWSON	0.00	0.00	0.00	0.00	-25,200.00
05/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
05/31/2018	OTHER: OTHER	0.00	0.00	0.00	0.00	-154.44
05/31/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
05/31/2018	OTHER: REAL TICK	0.00	0.00	0.00	0.00	-425.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-13,603.81

BROKER COMMISSION TOTALS

Broker Transactions	\$7,726.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-13,603.81
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-14.07
SIPC Assessment	\$-28.13

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-5,919.06
Year-to-date Earnings	\$-4,796.52