

# COMMISSION STATEMENT

June 30, 2018

JOYCE BRISKIN-D009

BROKER #: D009

BRANCH: DMO

, TX

## COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>CLEARING TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
06/05/2018	368255014	ROERINK, BEVERLY	MF	SMALLCAP WORLD FUND-A	B	-992.55	0.00	5.00	-5.00 90.0 -4.50
06/05/2018	368255014	ROERINK, BEVERLY	MF	THORNBURG INVESTMENT INCOME	B	-992.55	0.00	5.00	-5.00 90.0 -4.50
06/05/2018	368255014	ROERINK, BEVERLY	MF	THORNBURG INTERNATIONAL GROWTH	B	-992.55	0.00	5.00	-5.00 90.0 -4.50
06/05/2018	368255014	ROERINK, BEVERLY	MF	THORNBURG CORE GROWTH CL A	B	-992.55	0.00	5.00	-5.00 90.0 -4.50
06/05/2018	368255014	ROERINK, BEVERLY	MF	VALUE A	B	-992.55	0.00	5.00	-5.00 90.0 -4.50
				<b>* MUTUAL FUNDS SUBTOTAL *</b>		<b>\$-4,962.75</b>	<b>\$0.00</b>	<b>\$25.00</b>	<b>\$-25.00 \$-22.50</b>

## PRODUCT CATEGORY: STOCKS

06/06/2018	122134292	ARMSTRONG, SHANNON	S	HOLLYFRONTIER CORP	S	-13,225.73	247.09	0.00	247.09 90.0 222.38
06/06/2018	122134292	ARMSTRONG, SHANNON	S	HOLLYFRONTIER CORP	S	-1,468.45	27.48	15.00	12.48 90.0 11.23
06/15/2018	122134292	ARMSTRONG, SHANNON	S	MARATHON PETROLEUM CORP	S	-7,180.02	148.43	15.00	133.43 90.0 120.09
06/15/2018	122134292	ARMSTRONG, SHANNON	S	MARATHON PETROLEUM CORP	S	-2,154.74	44.53	0.00	44.53 90.0 40.08
06/19/2018	122134292	ARMSTRONG, SHANNON	S	SYSTEMAX INC	S	-10,516.36	210.95	15.00	195.95 90.0 176.36
06/25/2018	122134292	ARMSTRONG, SHANNON	S	BROOKS AUTOMATION INC	S	-10,210.62	206.29	15.00	191.29 90.0 172.16
06/26/2018	122134292	ARMSTRONG, SHANNON	S	MERCER INTERNATIONAL INC-SBI	B	2,219.72	43.52	0.00	43.52 90.0 39.17
06/26/2018	122134292	ARMSTRONG, SHANNON	S	MERCER INTERNATIONAL INC-SBI	B	7,986.28	156.53	15.00	141.53 90.0 127.38
06/06/2018	123383825	AKIN, DREW	S	HOLLYFRONTIER CORP	S	-7,428.40	138.50	0.00	138.50 90.0 124.65
06/06/2018	123383825	AKIN, DREW	S	HOLLYFRONTIER CORP	S	-7,056.98	131.58	0.00	131.58 90.0 118.42
06/06/2018	123383825	AKIN, DREW	S	HOLLYFRONTIER CORP	S	-369.07	6.93	15.00	-8.07 90.0 -7.26
06/08/2018	123383825	AKIN, DREW	S	BROOKS AUTOMATION INC	B	9,455.41	188.96	15.00	173.96 90.0 156.56
06/15/2018	123383825	AKIN, DREW	S	MARATHON PETROLEUM CORP	S	-9,334.78	192.95	15.00	177.95 90.0 160.16
06/26/2018	123383825	AKIN, DREW	S	MERCER INTERNATIONAL INC-SBI	B	591.14	11.99	0.00	11.99 90.0 10.79
06/26/2018	123383825	AKIN, DREW	S	MERCER INTERNATIONAL INC-SBI	B	2,257.07	45.77	0.00	45.77 90.0 41.19
06/26/2018	123383825	AKIN, DREW	S	MERCER INTERNATIONAL INC-SBI	B	6,110.87	123.87	15.00	108.87 90.0 97.98
06/06/2018	215652386	DOUGHERTY, JOHN	S	HOLLYFRONTIER CORP	S	-7,427.41	138.49	0.00	138.49 90.0 124.64
06/06/2018	215652386	DOUGHERTY, JOHN	S	HOLLYFRONTIER CORP	S	-7,425.95	138.50	15.00	123.50 90.0 111.15
06/25/2018	215652386	DOUGHERTY, JOHN	S	MARATHON PETROLEUM CORP	S	-9,013.72	188.07	15.00	173.07 90.0 155.76
06/26/2018	215652386	DOUGHERTY, JOHN	S	MERCER INTERNATIONAL INC-SBI	B	1,252.71	24.56	0.00	24.56 90.0 22.10
06/26/2018	215652386	DOUGHERTY, JOHN	S	MERCER INTERNATIONAL INC-SBI	B	1,790.01	35.06	15.00	20.06 90.0 18.05
06/26/2018	215652386	DOUGHERTY, JOHN	S	MERCER INTERNATIONAL INC-SBI	B	1,789.59	35.09	0.00	35.09 90.0 31.58
06/26/2018	215652386	DOUGHERTY, JOHN	S	MERCER INTERNATIONAL INC-SBI	B	1,790.10	35.10	0.00	35.10 90.0 31.59

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/26/2018 215652386	DOUGHERTY, JOHN	S MERCER INTERNATIONAL INC-SBI	B	3,579.18	70.18	0.00	70.18	90.0	63.16
06/08/2018 368270423	ARMSTRONG, SHANNON	S BROOKS AUTOMATION INC	B	10,238.99	200.54	15.00	185.54	90.0	166.99
06/15/2018 368270423	ARMSTRONG, SHANNON	S MARATHON PETROLEUM CORP	S	-5,025.28	103.90	15.00	88.90	90.0	80.01
06/15/2018 368270423	ARMSTRONG, SHANNON	S MARATHON PETROLEUM CORP	S	-4,309.48	89.06	0.00	89.06	90.0	80.15
06/26/2018 368270423	ARMSTRONG, SHANNON	S MERCER INTERNATIONAL INC-SBI	B	376.18	7.63	0.00	7.63	90.0	6.87
06/26/2018 368270423	ARMSTRONG, SHANNON	S MERCER INTERNATIONAL INC-SBI	B	8,582.90	174.00	15.00	159.00	90.0	143.10
06/15/2018 368298595	ANDERSON, PATRICIA	S MARATHON PETROLEUM CORP	S	-7,200.48	127.97	15.00	112.97	90.0	101.67
06/08/2018 368349505	DOUGHERTY, JOHN	S BROOKS AUTOMATION INC	B	10,238.99	200.54	15.00	185.54	90.0	166.99
06/06/2018 368438115	PAULSON, ANTHONY	S HOLLYFRONTIER CORP	S	-7,348.61	137.29	0.00	137.29	90.0	123.56
06/06/2018 368438115	PAULSON, ANTHONY	S HOLLYFRONTIER CORP	S	-6,613.75	123.56	0.00	123.56	90.0	111.20
06/06/2018 368438115	PAULSON, ANTHONY	S HOLLYFRONTIER CORP	S	-732.41	13.73	15.00	-1.27	90.0	-1.14
06/08/2018 368438115	PAULSON, ANTHONY	S BROOKS AUTOMATION INC	B	5,067.36	124.11	15.00	109.11	90.0	98.20
06/25/2018 368438115	PAULSON, ANTHONY	S MARATHON PETROLEUM CORP	S	-6,922.24	156.22	15.00	141.22	90.0	127.10
06/26/2018 368438115	PAULSON, ANTHONY	S MERCER INTERNATIONAL INC-SBI	B	1,783.71	36.21	0.00	36.21	90.0	32.59
06/26/2018 368438115	PAULSON, ANTHONY	S MERCER INTERNATIONAL INC-SBI	B	1,783.71	36.21	0.00	36.21	90.0	32.59
06/26/2018 368438115	PAULSON, ANTHONY	S MERCER INTERNATIONAL INC-SBI	B	1,783.71	36.21	0.00	36.21	90.0	32.59
06/26/2018 368438115	PAULSON, ANTHONY	S MERCER INTERNATIONAL INC-SBI	B	1,783.71	36.21	0.00	36.21	90.0	32.59
06/26/2018 368438115	PAULSON, ANTHONY	S MERCER INTERNATIONAL INC-SBI	B	1,786.16	36.21	15.00	21.21	90.0	19.09
06/06/2018 400566872	JBRISKIN HOLDINGS	S HOLLYFRONTIER CORP	S	-7,427.41	138.49	0.00	138.49	90.0	124.64
06/06/2018 400566872	JBRISKIN HOLDINGS	S HOLLYFRONTIER CORP	S	-7,424.96	138.49	15.00	123.49	90.0	111.14
06/25/2018 400566872	JBRISKIN HOLDINGS	S MARATHON PETROLEUM CORP	S	-9,181.79	20.00	15.00	5.00	90.0	4.50
06/26/2018 400566872	JBRISKIN HOLDINGS	S MERCER INTERNATIONAL INC-SBI	B	1,251.29	24.54	0.00	24.54	90.0	22.09
06/26/2018 400566872	JBRISKIN HOLDINGS	S MERCER INTERNATIONAL INC-SBI	B	1,790.01	35.06	15.00	20.06	90.0	18.05
06/26/2018 400566872	JBRISKIN HOLDINGS	S MERCER INTERNATIONAL INC-SBI	B	1,789.59	35.09	0.00	35.09	90.0	31.58
06/26/2018 400566872	JBRISKIN HOLDINGS	S MERCER INTERNATIONAL INC-SBI	B	5,370.29	105.29	0.00	105.29	90.0	94.76
06/08/2018 677650476	BRISKIN, JOSHUA	S BROOKS AUTOMATION INC	B	10,058.45	20.00	15.00	5.00	90.0	4.50
06/15/2018 677650476	BRISKIN, JOSHUA	S MARATHON PETROLEUM CORP	S	-7,315.52	15.38	0.00	15.38	90.0	13.84
06/15/2018 677650476	BRISKIN, JOSHUA	S MARATHON PETROLEUM CORP	S	-2,192.20	4.62	15.00	-10.38	90.0	-9.34
06/18/2018 677650476	BRISKIN, JOSHUA	S WESTERN DIGITAL CORPORATION	S	-9,471.83	20.00	15.00	5.00	90.0	4.50
* STOCKS SUBTOTAL *				\$-71,471.06	\$4,786.98	\$405.00	\$4,381.98		\$3,943.78

PRODUCT CATEGORY: MISCELLANEOUS

06/06/2018 a03156871	BRISKIN-D009, JOYCE MI	MUTUAL FUND MISC FEE 368255014	S	0.00	5.00	0.00	5.00	90.0	4.50
06/06/2018 a03156871	BRISKIN-D009, JOYCE MI	MUTUAL FUND MISC FEE 368255014	S	0.00	5.00	0.00	5.00	90.0	4.50
06/06/2018 a03156871	BRISKIN-D009, JOYCE MI	MUTUAL FUND MISC FEE 368255014	S	0.00	5.00	0.00	5.00	90.0	4.50
06/06/2018 a03156871	BRISKIN-D009, JOYCE MI	MUTUAL FUND MISC FEE 368255014	S	0.00	5.00	0.00	5.00	90.0	4.50
06/06/2018 a03156871	BRISKIN-D009, JOYCE MI	MUTUAL FUND MISC FEE 368255014	S	0.00	5.00	0.00	5.00	90.0	4.50
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$25.00	\$0.00	\$25.00		\$22.50

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/21/2018 mfc156871	BRISKIN 156871	MFT BLACKROCK ADV SMALL CAP GROW EQ	B	0.00	4.77	0.00	4.77	90.0	4.29
06/21/2018 mfc156871	BRISKIN 156871	MFT COLUMBIA HIGH YIELD MUNI FD A	B	0.00	3.95	0.00	3.95	90.0	3.56
06/21/2018 mfc156871	BRISKIN 156871	MFT DELAWARE GROWTH OPPORTUNITIES	B	0.00	4.61	0.00	4.61	90.0	4.15
06/21/2018 mfc156871	BRISKIN 156871	MFT DELAWARE HIGH YIELD	B	0.00	1.34	0.00	1.34	90.0	1.21
06/21/2018 mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP CORE FD A	B	0.00	2.66	0.00	2.66	90.0	2.39

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				INVESTMENT	GROSS	CLEARING	NET	BROKER		
TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
06/21/2018	mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	1.15	0.00	1.15	90.0	1.04
06/21/2018	mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	9.28	0.00	9.28	90.0	8.35
06/21/2018	mfc156871	BRISKIN 156871	MFT DREYFUS OPPORTUNISTIC MIDCAP	B	0.00	4.74	0.00	4.74	90.0	4.27
06/21/2018	mfc156871	BRISKIN 156871	MFT DREYFUS OPPORTUNISTIC MIDCAP	B	0.00	5.06	0.00	5.06	90.0	4.55
06/21/2018	mfc156871	BRISKIN 156871	MFT EATON VANCE NATIONAL MUNICIPAL	B	0.00	2.14	0.00	2.14	90.0	1.93
06/21/2018	mfc156871	BRISKIN 156871	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.56	0.00	6.56	90.0	5.90
06/21/2018	mfc156871	BRISKIN 156871	MFT HARTFORD GLOBAL EQUITY INCOME	B	0.00	0.29	0.00	0.29	90.0	0.26
06/21/2018	mfc156871	BRISKIN 156871	MFT INTERNATIONAL SMALL COMPANY A	B	0.00	19.15	0.00	19.15	90.0	17.24
06/21/2018	mfc156871	BRISKIN 156871	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.06	0.00	4.06	90.0	3.65
06/21/2018	mfc156871	BRISKIN 156871	MFT OPPENHEIMER MAIN STREET	B	0.00	2.20	0.00	2.20	90.0	1.98
06/21/2018	mfc156871	BRISKIN 156871	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	2.44	0.00	2.44	90.0	2.20
06/21/2018	mfc156871	BRISKIN 156871	MFT QUEST BALANCED A	B	0.00	1.15	0.00	1.15	90.0	1.04
06/21/2018	mfc156871	BRISKIN 156871	MFT QUEST BALANCED A	B	0.00	2.15	0.00	2.15	90.0	1.94
06/21/2018	mfc156871	BRISKIN 156871	MFT SMALLCAP WORLD FUND-A	B	0.00	11.68	0.00	11.68	90.0	10.51
06/21/2018	mfc156871	BRISKIN 156871	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.93	0.00	4.93	90.0	4.44
06/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD MID CAP FUND-A	B	0.00	2.59	0.00	2.59	90.0	2.33
06/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD GROWTH	B	0.00	1.78	0.00	1.78	90.0	1.60
06/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD INTL CAPITAL APP.	B	0.00	0.25	0.00	0.25	90.0	0.23
06/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD SMALL CAP GROWTH	B	0.00	1.18	0.00	1.18	90.0	1.06
06/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD VALUE	B	0.00	1.09	0.00	1.09	90.0	0.98
06/21/2018	mfc156871	BRISKIN 156871	MFT THE INVESTMENT COMPANY OF	B	0.00	61.13	0.00	61.13	90.0	55.02
06/21/2018	mfc156871	BRISKIN 156871	MFT THE NEW ECONOMY FUND-A	B	0.00	6.82	0.00	6.82	90.0	6.14
06/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	10.43	0.00	10.43	90.0	9.39
06/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG CORE GROWTH CL A	B	0.00	10.80	0.00	10.80	90.0	9.72
06/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERM MUNI NATL FD	B	0.00	25.73	0.00	25.73	90.0	23.16
06/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	3.38	0.00	3.38	90.0	3.04
06/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	1.48	0.00	1.48	90.0	1.33
06/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	11.28	0.00	11.28	90.0	10.15
06/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	24.09	0.00	24.09	90.0	21.68
06/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	44.04	0.00	44.04	90.0	39.64
06/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	5.14	0.00	5.14	90.0	4.63
06/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	10.82	0.00	10.82	90.0	9.74
06/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	12.72	0.00	12.72	90.0	11.45
06/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	20.26	0.00	20.26	90.0	18.23
06/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA SMALL/MID CAP	B	0.00	1.89	0.00	1.89	90.0	1.70
06/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA SMALL/MID CAP	B	0.00	5.80	0.00	5.80	90.0	5.22
06/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893961813	B	0.00	1.48	0.00	1.48	90.0	1.33
06/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893961813	B	0.00	4.24	0.00	4.24	90.0	3.82
06/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	1.06	0.00	1.06	90.0	0.95
06/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	3.31	0.00	3.31	90.0	2.98
06/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	3.36	0.00	3.36	90.0	3.02
06/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	8.13	0.00	8.13	90.0	7.32
06/21/2018	mfc156871	BRISKIN 156871	MFT VALUE A	B	0.00	11.20	0.00	11.20	90.0	10.08
06/21/2018	mfc156871	BRISKIN 156871	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.56	0.00	0.56	90.0	0.50

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06/21/2018	mfc156871 BRISKIN 156871	MFT VOYA SENIOR INCOME FUND C	B	0.00	59.81	0.00	59.81	90.0	53.83
06/21/2018	mfc156871 BRISKIN 156871	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.27	0.00	1.27	90.0	1.14
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$451.43</b>	<b>\$0.00</b>	<b>\$451.43</b>		<b>\$406.31</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-76,433.81</b>	<b>\$5,263.41</b>	<b>\$430.00</b>	<b>\$4,833.41</b>		<b>\$4,350.09</b>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

06/05/2018 (None) NIX	MF NEST ADVISOR	B	100.00	3.00	0.00	3.00	90.0	2.70
06/05/2018 (None) NIX	MF NEST ADVISOR	B	200.00	6.00	0.00	6.00	90.0	5.40
06/15/2018 00551287150 SMITH,	MF PUTNAM 529 AGE-BASED 2001-A	B	500.00	10.00	0.00	10.00	90.0	9.00
06/15/2018 00551287155 SMITH,	MF PUTNAM 529 AGE-BASED 2000-A	B	500.00	10.00	0.00	10.00	90.0	9.00
06/15/2018 03810613137 SULTEMEIER,	MF AIM MID-CAP EQUITY FUND CLASS A	B	100.00	4.00	0.00	4.00	90.0	3.60
06/15/2018 03810613137 SULTEMEIER,	MF INVESCO VALUE OPPORTUNITIES	B	100.00	4.00	0.00	4.00	90.0	3.60
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$1,500.00</b>	<b>\$37.00</b>	<b>\$0.00</b>	<b>\$37.00</b>	<b>\$33.30</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/31/2018 (None) VARIOUS, BRISKIN	MFT DELWARE	B	0.00	64.30	0.00	64.30	90.0	57.87
05/31/2018 (None) VARIOUS, BRISKIN	MFT HARTFORD MUTUAL FUIND	B	0.00	15.71	0.00	15.71	90.0	14.14
06/08/2018 00000112453 STRUNK, VANESSA	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	8.07	0.00	8.07	90.0	7.26
06/08/2018 00000112453 STRUNK, VANESSA	MFT THORNBURG CORE GROWTH CL C	B	0.00	11.34	0.00	11.34	90.0	10.21
06/08/2018 00000113382 CUPIT-BROUGHT,	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	1.95	0.00	1.95	90.0	1.76
06/08/2018 00000113382 CUPIT-BROUGHT,	MFT THORNBURG INVESTMENT INCOME	B	0.00	2.71	0.00	2.71	90.0	2.44
06/08/2018 00000113382 CUPIT-BROUGHT,	MFT THORNBURG CORE GROWTH CL A	B	0.00	2.72	0.00	2.72	90.0	2.45
06/08/2018 00000113382 CUPIT-BROUGHT,	MFT VALUE A	B	0.00	2.68	0.00	2.68	90.0	2.41
06/08/2018 00000113664 JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	9.34	0.00	9.34	90.0	8.41
06/08/2018 00000113664 JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	16.89	0.00	16.89	90.0	15.20
06/08/2018 00000113664 JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	14.75	0.00	14.75	90.0	13.28
06/08/2018 00000113664 JACQUELINE J	MFT VALUE A	B	0.00	14.39	0.00	14.39	90.0	12.95
06/08/2018 00000113666 JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	9.34	0.00	9.34	90.0	8.41
06/08/2018 00000113666 JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	16.89	0.00	16.89	90.0	15.20
06/08/2018 00000113666 JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	14.75	0.00	14.75	90.0	13.28
06/08/2018 00000113666 JACQUELINE J	MFT VALUE A	B	0.00	14.39	0.00	14.39	90.0	12.95
06/08/2018 00000113668 JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	9.34	0.00	9.34	90.0	8.41
06/08/2018 00000113668 JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	16.89	0.00	16.89	90.0	15.20
06/08/2018 00000113668 JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	14.75	0.00	14.75	90.0	13.28
06/08/2018 00000113668 JACQUELINE J	MFT VALUE A	B	0.00	14.39	0.00	14.39	90.0	12.95
06/08/2018 00000113670 JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	9.34	0.00	9.34	90.0	8.41
06/08/2018 00000113670 JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	16.89	0.00	16.89	90.0	15.20
06/08/2018 00000113670 JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	14.75	0.00	14.75	90.0	13.28
06/08/2018 00000113670 JACQUELINE J	MFT VALUE A	B	0.00	14.39	0.00	14.39	90.0	12.95
06/08/2018 00000114566 JACQUELINE J	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	2.61	0.00	2.61	90.0	2.35
06/08/2018 00000114566 JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	1.74	0.00	1.74	90.0	1.57

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/08/2018 00000114566	JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	2.35	0.00	2.35	90.0	2.12
06/08/2018 00000114566	JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	2.71	0.00	2.71	90.0	2.44
06/08/2018 00000114566	JACQUELINE J	MFT VALUE A	B	0.00	2.77	0.00	2.77	90.0	2.49
06/08/2018 00000188402	DIAZ, LETICIA	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	0.86	0.00	0.86	90.0	0.77
06/08/2018 00000188402	DIAZ, LETICIA	MFT THORNBURG INVESTMENT INCOME	B	0.00	0.35	0.00	0.35	90.0	0.32
06/08/2018 00000188402	DIAZ, LETICIA	MFT VALUE A	B	0.00	0.88	0.00	0.88	90.0	0.79
06/08/2018 00000188591	SMITH, DANIEL	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	107.01	0.00	107.01	90.0	96.31
06/08/2018 00000188591	SMITH, DANIEL	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	64.82	0.00	64.82	90.0	58.34
06/08/2018 00000188591	SMITH, DANIEL	MFT THORNBURG INVESTMENT INCOME	B	0.00	92.26	0.00	92.26	90.0	83.03
06/08/2018 00000188591	SMITH, DANIEL	MFT THORNBURG CORE GROWTH CL A	B	0.00	116.22	0.00	116.22	90.0	104.60
06/08/2018 00000188591	SMITH, DANIEL	MFT VALUE A	B	0.00	87.54	0.00	87.54	90.0	78.79
06/08/2018 00203705761	MORGAN, FRANK	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	13.47	0.00	13.47	90.0	12.12
06/08/2018 00203705761	MORGAN, FRANK	MFT THORNBURG INVESTMENT INCOME	B	0.00	18.39	0.00	18.39	90.0	16.55
06/08/2018 00203705761	MORGAN, FRANK	MFT THORNBURG CORE GROWTH CL A	B	0.00	20.00	0.00	20.00	90.0	18.00
06/08/2018 00203705761	MORGAN, FRANK	MFT VALUE A	B	0.00	19.36	0.00	19.36	90.0	17.42
06/08/2018 00203706218	LAMBERT,	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	33.90	0.00	33.90	90.0	30.51
06/08/2018 00203706218	LAMBERT,	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	17.44	0.00	17.44	90.0	15.70
06/08/2018 00203715420	LEWIS, ELIZABETH	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	19.09	0.00	19.09	90.0	17.18
06/08/2018 00203715420	LEWIS, ELIZABETH	MFT THORNBURG INVESTMENT INCOME	B	0.00	49.86	0.00	49.86	90.0	44.87
06/08/2018 00203715420	LEWIS, ELIZABETH	MFT THORNBURG CORE GROWTH CL A	B	0.00	15.74	0.00	15.74	90.0	14.17
06/08/2018 00203715420	LEWIS, ELIZABETH	MFT VALUE A	B	0.00	14.98	0.00	14.98	90.0	13.48
06/08/2018 05400002892	LAMBERT JT TEN,	MFT THORNBURG INVESTMENT INCOME	B	0.00	98.53	0.00	98.53	90.0	88.68
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,133.84	\$0.00	\$1,133.84		\$1,020.50
*** DIRECT TRANSACTIONS TOTAL ***				\$1,500.00	\$1,170.84	\$0.00	\$1,170.84		\$1,053.80

ADJUSTMENTS TRANSACTIONS

BROKER: #0

06/30/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
06/30/2018	: E&O	0.00	0.00	0.00	0.00	-120.00
06/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
06/30/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	214.78
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$39.78
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$39.78

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,403.89
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$39.78
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$5,443.67</b>
<b>Year-to-date Commissions</b>	<b>\$51,338.49</b>