

STATEMENT

February 20, 2018

THOMAS MCGUIRE
16 Wiltshire Dr
SUITE 620
Hattiesburg, MS 39402

BROKER #: 131
BRANCH:

COMMISSION STATEMENT for THOMAS MCGUIRE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/02/2018	#00089032670	CAROSSELLO, JANE COLLEGE 2030 FUND-529A	2,000.00	70.00	93.0	0.00	65.10	0.00	65.10
01/12/2018	#00085409045	DANIELS, AMERICAN BALANCED FUND-529C	5,000.00	50.00	93.0	0.00	46.50	0.00	46.50
01/12/2018	#00085409047	DANIELS, AMERICAN BALANCED FUND-529C	5,000.00	50.00	93.0	0.00	46.50	0.00	46.50
01/22/2018	#08188849698	KNIGHT, THOMAS MFS TOTAL RETURN FUND-B	50.00	2.00	93.0	0.00	1.86	0.00	1.86
* MUTUAL FUNDS SUBTOTAL *			\$12,050.00	\$172.00		\$0.00	\$159.96	\$0.00	\$159.96
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
/ /	#3202168798	SPARKS, MARRETA BRIGHTOUSE FINANCIAL	0.00	692.33	93.0	0.00	643.87	0.00	643.87
/ /	#3202573763	PARKER, LOUIS BRIGHTOUSE FINANCIAL	0.00	92.99	93.0	0.00	86.48	0.00	86.48
/ /	#3202193285	GARDNER, JOHN BRIGHTOUSE FINANCIAL	0.00	93.38	93.0	0.00	86.84	0.00	86.84
01/06/0018	#C006650SV	SPARKS, MARRETA VOYA FINANCIAL	0.00	509.98	93.0	0.00	474.28	0.00	474.28
12/31/2017	#1018873628	DAVIS, MARY ELITE ACCESS L	41,670.37	34.73	93.0	0.00	32.30	0.00	32.30
12/31/2017	#009629647A	GRAY, LARRY L SERIES	102,329.14	110.86	93.0	0.00	103.10	0.00	103.10
12/31/2017	#000549627	SPARKS, MARRETA PRUDETIAL TRAILS	0.00	26.21	93.0	0.00	24.38	0.00	24.38
12/31/2017	#000549627	SPARKS, MARRETA PRUDETIAL TRAILS	0.00	26.21	93.0	0.00	24.38	0.00	24.38
01/31/2018	#1018873628	DAVIS, MARY ELITE ACCESS L	42,643.20	35.54	93.0	0.00	33.05	0.00	33.05
01/31/2018	#009629647A	GRAY, LARRY L SERIES	105,258.63	114.03	93.0	0.00	106.05	0.00	106.05
* VARIABLE ANNUITIES SUBTOTAL *			\$291,901.34	\$1,736.26		\$0.00	\$1,614.73	\$0.00	\$1,614.73
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018	# LYNN, JOHN	DAIN TRAILER	0.00	0.63	93.0	0.00	0.59	0.00	0.59
01/10/2018	# LYNN, JOHN	DAIN TRAILER	0.00	1.31	93.0	0.00	1.22	0.00	1.22
01/10/2018	# LYNN, JOHN	DAIN TRAILER	0.00	1.87	93.0	0.00	1.74	0.00	1.74
01/10/2018	# LYNN, JOHN	DAIN TRAILER	0.00	2.73	93.0	0.00	2.54	0.00	2.54
01/10/2018	# LYNN, JOHN	DAIN TRAILER	0.00	0.66	93.0	0.00	0.61	0.00	0.61
01/10/2018	# MOSS, WILLIAM	DAIN TRAILER	0.00	2.54	93.0	0.00	2.36	0.00	2.36
01/10/2018	# MOSS, WILLIAM	DAIN TRAILER	0.00	5.40	93.0	0.00	5.02	0.00	5.02
01/10/2018	# MOSS, CARLA	DAIN TRAILER	0.00	5.50	93.0	0.00	5.12	0.00	5.12
01/10/2018	# MOSS, CARLA	DAIN TRAILER	0.00	63.49	93.0	0.00	59.05	0.00	59.05

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<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018	# MOSS, CARLA	DAIN TRAILER	0.00	44.08	93.0	0.00	40.99	0.00	40.99
01/10/2018	# MOSS, CARLA	DAIN TRAILER	0.00	21.16	93.0	0.00	19.68	0.00	19.68
01/10/2018	# MOSS, CARLA	DAIN TRAILER	0.00	13.14	93.0	0.00	12.22	0.00	12.22
01/10/2018	# MOSS, CARLA	DAIN TRAILER	0.00	28.84	93.0	0.00	26.82	0.00	26.82
01/10/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	3.33	93.0	0.00	3.10	0.00	3.10
01/10/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	2.45	93.0	0.00	2.28	0.00	2.28
01/10/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	2.19	93.0	0.00	2.04	0.00	2.04
01/10/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	2.06	93.0	0.00	1.92	0.00	1.92
01/10/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	3.11	93.0	0.00	2.89	0.00	2.89
01/10/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	2.43	93.0	0.00	2.26	0.00	2.26
01/10/2018	# YAWN, FAITH	DAIN TRAILER	0.00	5.08	93.0	0.00	4.72	0.00	4.72
01/10/2018	# YAWN, FAITH	DAIN TRAILER	0.00	4.60	93.0	0.00	4.28	0.00	4.28
01/10/2018	# YAWN, FAITH	DAIN TRAILER	0.00	5.46	93.0	0.00	5.08	0.00	5.08
01/10/2018	# YAWN, FAITH	DAIN TRAILER	0.00	4.16	93.0	0.00	3.87	0.00	3.87
01/10/2018	# YAWN, FAITH	DAIN TRAILER	0.00	11.15	93.0	0.00	10.37	0.00	10.37
01/10/2018	# DAUGHDRILL, MARY	DAIN TRAILER	0.00	0.99	93.0	0.00	0.92	0.00	0.92
01/10/2018	# DAUGHDRILL, MARY	DAIN TRAILER	0.00	3.67	93.0	0.00	3.41	0.00	3.41
01/10/2018	# DAUGHDRILL, MARY	DAIN TRAILER	0.00	1.01	93.0	0.00	0.94	0.00	0.94
01/10/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	13.48	93.0	0.00	12.54	0.00	12.54
01/10/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	65.77	93.0	0.00	61.17	0.00	61.17
01/10/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	119.89	93.0	0.00	111.50	0.00	111.50
01/10/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	80.95	93.0	0.00	75.28	0.00	75.28
01/10/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	17.00	93.0	0.00	15.81	0.00	15.81
01/10/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	1.09	93.0	0.00	1.01	0.00	1.01
01/10/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	27.63	93.0	0.00	25.70	0.00	25.70
01/10/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	32.84	93.0	0.00	30.54	0.00	30.54
01/10/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	6.86	93.0	0.00	6.38	0.00	6.38
01/10/2018	# HUNT, MINNIE	DAIN TRAILER	0.00	60.11	93.0	0.00	55.90	0.00	55.90
01/10/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	3.89	93.0	0.00	3.62	0.00	3.62
01/10/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	2.99	93.0	0.00	2.78	0.00	2.78
01/10/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	2.30	93.0	0.00	2.14	0.00	2.14
01/10/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	3.77	93.0	0.00	3.51	0.00	3.51
01/10/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	23.82	93.0	0.00	22.15	0.00	22.15
01/10/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	19.75	93.0	0.00	18.37	0.00	18.37
01/10/2018	# MCGEHEE, DONNA	DAIN TRAILER	0.00	5.22	93.0	0.00	4.85	0.00	4.85
01/10/2018	# MCGEHEE, DONNA	DAIN TRAILER	0.00	14.97	93.0	0.00	13.92	0.00	13.92

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PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
01/10/2018 #	MCGEHEE, DONNA	DAIN TRAILER	0.00	33.22	93.0	0.00	30.89	0.00	30.89
01/12/2018 #	MOSS, CARLA	DAIN TRAILER	0.00	45.20	93.0	0.00	42.04	0.00	42.04
01/17/2018 #	PARKER, VERONICA	DAIN TRAILER	0.00	4.32	93.0	0.00	4.02	0.00	4.02
01/17/2018 #	PARKER, VERONICA	DAIN TRAILER	0.00	12.96	93.0	0.00	12.05	0.00	12.05
01/17/2018 #	SPARKS, THOMAS	DAIN TRAILER	0.00	41.50	93.0	0.00	38.60	0.00	38.60
01/17/2018 #	SPARKS, THOMAS	DAIN TRAILER	0.00	124.50	93.0	0.00	115.79	0.00	115.79
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,007.07		\$0.00	\$936.60	\$0.00	\$936.60
*** BROKER TRANSACTIONS TOTAL ***			\$303,951.34	\$2,915.33		\$0.00	\$2,711.29	\$0.00	\$2,711.29

ADJUSTMENTS

02/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
02/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,711.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,212.29
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