

**COMMISSION STATEMENT****November 30, 2017**JEFFREY KAUFMAN
801 Gray Oak Drive

Columbia, MO 65201

BROKER #: K119
BRANCH: JEFFREY KAUFMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
11/13/2017	GUIER, CARROL	TD AMERITRADE	1,064,292.72	2,361.86	0.00	2,361.86	90.0	2,125.67
11/13/2017	STEVENSON, RUTH	TD AMERITRADE	200,000.00	378.08	0.00	378.08	90.0	340.27
11/13/2017	CROWLEY, MICHA	TD AMERITRADE	53,782.40	89.88	0.00	89.88	90.0	80.89
* RIA SUBTOTAL *			\$1,318,075.12	\$2,829.82	\$0.00	\$2,829.82		\$2,546.83
*** DIRECT TRANSACTIONS TOTAL ***			\$1,318,075.12	\$2,829.82	\$0.00	\$2,829.82		\$2,546.83

BROKER COMMISSION TOTALS

Broker Transactions	\$2,546.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,546.83
Year-to-date Gross	\$6,493.50
Year-to-date Earnings	\$5,844.14

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed