

All Companies
STATEMENT
June 20, 2018

JAMES FULTON
11276 Rt 322
SUITE 620
Shipperville, PA 16254

BROKER #: 183
BRANCH: LA BRANCH

COMMISSION STATEMENT for JAMES FULTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/02/2018	#66196689901	JOHNSON, PATTY HARTFORD SMART529 STATIC GROWTH A	0.00	0.89	93.0	0.00	0.83	0.00	0.83
05/02/2018	#66752257501	BELLIS, KATHRYN HARTFORD SMART529 STATIC GROWTH A	0.00	0.89	93.0	0.00	0.83	0.00	0.83
05/02/2018	#66752257502	BELLIS, KATHRYN HARTFORD SMART529 STATIC GROWTH A	0.00	0.89	93.0	0.00	0.83	0.00	0.83
05/08/2018	#	POWNEY, SUSAN HARTFORD HEALTH CARE FUND CLASS A	5,077.46	20.00	93.0	0.00	18.60	20.00	-1.40
05/08/2018	#	POWNEY, SUSAN HARTFORD MUT FDS INC EQUITY INCOME	36,398.74	20.00	93.0	0.00	18.60	20.00	-1.40
* MUTUAL FUNDS SUBTOTAL *			\$41,476.20	\$42.67		\$0.00	\$39.69	\$40.00	\$-0.31
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/01/0018	#142014A85191424871	POWNEY, SECURITY BEENFIT SBGTL	40.00	2.00	93.0	0.00	1.86	0.00	1.86
05/01/0018	#142014A80169683976	FRAZIER, SECURITY BEENFIT SBGTL	5,075.16	1.06	93.0	0.00	0.99	0.00	0.99
05/01/0018	#142014A85191424871	POWNEY, SECURITY BEENFIT SBGTL	3,946.00	0.82	93.0	0.00	0.76	0.00	0.76
05/15/2018	#1010686900	HOOK, LINDA PERSPECTIVE II	50.00	3.75	93.0	0.00	3.49	0.00	3.49
05/21/2018	#1016965398	MCANINCH, DEBORA ELITE ACCESS	300.00	15.75	93.0	0.00	14.65	0.00	14.65
05/31/2018	#1012671012	CALDWELL, BRIAN ELITE ACCESS	25,898.05	8.63	93.0	0.00	8.03	0.00	8.03
05/31/2018	#1012670598	MCANINCH, DEBORA ELITE ACCESS	35,642.71	11.88	93.0	0.00	11.05	0.00	11.05
05/31/2018	#1013102925	MCGROARTY, ELITE ACCESS	41,855.27	13.95	93.0	0.00	12.97	0.00	12.97
05/31/2018	#1013353863	BELLIS, KATHRYN ELITE ACCESS	3,400.28	1.13	93.0	0.00	1.05	0.00	1.05
* VARIABLE ANNUITIES SUBTOTAL *			\$116,207.47	\$58.97		\$0.00	\$54.85	\$0.00	\$54.85
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	#	POWNEY, SUSAN DAIN TRAILER	0.00	1.04	93.0	0.00	0.97	0.00	0.97
05/09/2018	#	POWNEY, SUSAN DAIN TRAILER	0.00	7.52	93.0	0.00	6.99	0.00	6.99
05/09/2018	#	BELLIS, KATHRYN DAIN TRAILER	0.00	0.13	93.0	0.00	0.12	0.00	0.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$8.69		\$0.00	\$8.08	\$0.00	\$8.08
*** BROKER TRANSACTIONS TOTAL ***			\$157,683.67	\$110.33		\$0.00	\$102.62	\$40.00	\$62.62

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ADJUSTMENTS

06/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
06/20/2018		ADMIN FEE: ADMIN FEE							-390.00
*** ADJUSTMENTS TOTAL ***									<u>\$-569.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$62.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-418.47
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-924.85</u>
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