



All Companies

02/27/2018
Page No. 1

COMMISSION STATEMENT March 01, 2018

KAREN CARTER BROOKMAN
1840 Hurstview Drive

BROKER #: 9102
BRANCH: HOME OFFICE

Hurst, TX 76054

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
01/31/2018	TRAILS	EATON VANCE - GENERAL	B	22.52	22.52	0.00	22.52	90.0	20.27
02/15/2018	00067657556 GEIKEN, DARRYL	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/15/2018	00067657556 GEIKEN, DARRYL	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
* MUTUAL FUNDS SUBTOTAL *				\$122.52	\$23.52	\$0.00	\$23.52		\$21.17
PRODUCT CATEGORY: STOCKS									
02/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	0.88	0.88	0.00	0.88	90.0	0.79
* STOCKS SUBTOTAL *				\$0.88	\$0.88	\$0.00	\$0.88		\$0.79
PRODUCT CATEGORY: VARIABLE ANNUITIES									
02/16/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	103.59	103.59	0.00	103.59	90.0	93.23
02/09/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	173.96	173.96	0.00	173.96	90.0	156.56
* VARIABLE ANNUITIES SUBTOTAL *				\$277.55	\$277.55	\$0.00	\$277.55		\$249.79
PRODUCT CATEGORY: RIA									
01/12/2018	12706161 HALVORSON, DAVID E	SIGNAL SECURITIES RIA	B	0.00	361.00	0.00	361.00	90.0	324.90
01/12/2018	37791527 NORIS, SCOTT A	SIGNAL SECURITIES RIA	B	0.00	542.67	0.00	542.67	90.0	488.40
01/12/2018	82968618 BROWN, JACKIE L	SIGNAL SECURITIES RIA	B	0.00	90.33	0.00	90.33	90.0	81.30
* RIA SUBTOTAL *				\$0.00	\$994.00	\$0.00	\$994.00		\$894.60
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
03/01/2018	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	66.57	0.00	66.57	90.0	59.91
03/01/2018	TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES		0.00	59.74	0.00	59.74	90.0	53.77
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$126.31	\$0.00	\$126.31		\$113.68
*** BROKER TRANSACTIONS TOTAL ***				\$400.95	\$1,422.26	\$0.00	\$1,422.26		\$1,280.03

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

03/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
03/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,280.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,152.53
Year-to-date Earnings	\$30,136.96