



Concorde Investment Services, LLC

11/30/2017

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COMMISSION STATEMENT**November 30, 2017**BARTHOLOMEW MACKLER
1359 W. Fairview LaneBROKER #: M121
BRANCH: CONCORDE FINANCIAL G

Rochester Hills, MI 48306

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
10/31/2017	MACKLER, VARIOUS	GRIFFIN INST ACCESS CREDIT FD CL F	0.00	145.97	0.00	145.97	90.0	131.37
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$145.97	\$0.00	\$145.97		\$131.37
<u>PRODUCT CATEGORY: 529 PLANS</u>								
11/16/2017	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	50.00	1.00	0.00	1.00	90.0	0.90
11/16/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	90.0	0.90
		* 529 PLANS SUBTOTAL *	\$100.00	\$2.00	\$0.00	\$2.00		\$1.80
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/08/2017	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	5.00	0.00	5.00	90.0	4.50
11/15/2017	MARSH, KEITH	AM FUNDS NEW ECONOMY A	200.00	7.50	0.00	7.50	90.0	6.75
		* MUTUAL FUNDS SUBTOTAL *	\$300.00	\$12.50	\$0.00	\$12.50		\$11.25
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/14/2017	PILLAI, VADIVEL	JH FINANCIAL INDUSTRIES A	0.00	1.33	0.00	1.33	90.0	1.20
11/14/2017	KASSA, GETACHEW FOLY	JH FINANCIAL INDUSTRIES A	0.00	0.80	0.00	0.80	90.0	0.72
11/14/2017	HABTE, BISLAT	JH FINANCIAL INDUSTRIES A	0.00	3.76	0.00	3.76	90.0	3.38
11/14/2017	SCHMIDT, MICHAEL	JH GOVERNMENT INCOME A	0.00	6.89	0.00	6.89	90.0	6.20
11/14/2017	KABTYIMER, BETELEHEM	JH REGIONAL BANK A	0.00	3.36	0.00	3.36	90.0	3.02
11/14/2017	ABERRA, KONJIT	JH REGIONAL BANK A	0.00	2.40	0.00	2.40	90.0	2.16
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$18.54	\$0.00	\$18.54		\$16.68
		*** DIRECT TRANSACTIONS TOTAL ***	\$400.00	\$179.01	\$0.00	\$179.01		\$161.10

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
11/30/2017		*RENEWAL: NATIONWIDE INS RENEWAL	0.00					-5.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-5.00

BROKER COMMISSION TOTALS

Broker Transactions	\$161.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-5.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$156.10
Year-to-date Gross	\$71,973.13
Year-to-date Earnings	\$69,219.83

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