



# COMMISSION STATEMENT

## May 21, 2018

**GRADY GORMAN**  
7105 West 44th Ave  
Wheat Ridge, CO 80033

**BROKER #: A97**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
05/11/2018	***-**-6680 - BACA, PHILIP	HELIX TCS INC COM	2,500.00	85.00	18.00	67.00	75.0	50.25
	ACCOUNT #: LSR012642	SELL 2000 Units @1.2500						
05/14/2018	***-**-14IN - BACA, JOAQUIN	HELIX TCS INC COM	2,500.00	81.00	18.00	63.00	75.0	47.25
	ACCOUNT #: LSR012637	SELL 2000 Units @1.2500						
* STOCKS SUBTOTAL *			<b>\$5,000.00</b>	<b>\$166.00</b>	<b>\$36.00</b>	<b>\$130.00</b>		<b>\$97.50</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/21/2018	***-**-667I - BENDER, RYAN	AMCAP FUND-A	0.00	1.66	0.00	1.66	75.0	1.25
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
05/21/2018	***-**-08IR - CAPUNE, MEGHAN	AMCAP FUND-A	0.00	1.32	0.00	1.32	75.0	0.99
	ACCOUNT #: LSR012363	BUY 1 Units @0.0000						
05/21/2018	***-**-408I - CAPUNE, MEGHAN	AMCAP FUND-A	0.00	1.58	0.00	1.58	75.0	1.19
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
05/21/2018	***-**-408I - CAPUNE, MEGHAN	AMERICAN MUTUAL FUND-A	0.00	0.74	0.00	0.74	75.0	0.56
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
05/21/2018	***-**-408I - CAPUNE, MEGHAN	CAPITAL INCOME BUILDER-A	0.00	0.45	0.00	0.45	75.0	0.34
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
05/21/2018	***-**-667I - BENDER, RYAN	EUROPACIFIC GROWTH FUND-A	0.00	1.03	0.00	1.03	75.0	0.77
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
05/21/2018	***-**-408I - CAPUNE, MEGHAN	EUROPACIFIC GROWTH FUND-A	0.00	0.57	0.00	0.57	75.0	0.43
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
05/21/2018	***-**-667I - BENDER, RYAN	FUNDAMENTAL INVESTORS-A	0.00	1.61	0.00	1.61	75.0	1.21
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
05/21/2018	***-**-408I - CAPUNE, MEGHAN	FUNDAMENTAL INVESTORS-A	0.00	1.06	0.00	1.06	75.0	0.80
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
05/21/2018	***-**-463I - MACKEY, LAURA	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	2.04	0.00	2.04	75.0	1.53
	ACCOUNT #: LSR011920	BUY 1 Units @0.0000						
05/21/2018	***-**-463I - MACKEY, LAURA	HCM TACTICAL GROWTH FND CL A-NFS	0.00	2.10	0.00	2.10	75.0	1.58
	ACCOUNT #: LSR011920	BUY 1 Units @0.0000						
05/21/2018	***-**-408I - CAPUNE, MEGHAN	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
05/21/2018	***-**-408I - CAPUNE, MEGHAN	NEW PERSPECTIVE FUND-A	0.00	0.40	0.00	0.40	75.0	0.30
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/21/2018	***-**-667I - BENDER, RYAN	SMALLCAP WORLD FUND-A	0.00	1.46	0.00	1.46	75.0	1.10
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
05/21/2018	***-**-408I - CAPUNE, MEGHAN	SMALLCAP WORLD FUND-A	0.00	0.37	0.00	0.37	75.0	0.28
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
05/21/2018	***-**-408I - CAPUNE, MEGHAN	THE BOND FUND OF AMERICA-A	0.00	0.16	0.00	0.16	75.0	0.12
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
05/21/2018	***-**-408I - CAPUNE, MEGHAN	THE GROWTH FUND OF AMERICA-A	0.00	0.49	0.00	0.49	75.0	0.37
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
05/21/2018	***-**-408O - CAPUNE, MEGHAN	VIRTUS NEWFLEET MLT SECTOR SH TERM	0.00	10.91	0.00	10.91	75.0	8.18
	ACCOUNT #: LSR012365	BUY 1 Units @0.0000						
05/21/2018	***-**-08IR - CAPUNE, MEGHAN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.70	0.00	0.70	75.0	0.53
	ACCOUNT #: LSR012363	BUY 1 Units @0.0000						
05/21/2018	***-**-408I - CAPUNE, MEGHAN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.81	0.00	0.81	75.0	0.61
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$29.59</b>	<b>\$0.00</b>	<b>\$29.59</b>		<b>\$22.24</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$5,000.00</b>	<b>\$195.59</b>	<b>\$36.00</b>	<b>\$159.59</b>		<b>\$119.74</b>



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
Broker Transactions \$119.74								
Split Transactions \$0.00								
Override Transactions \$0.00								
Adjustments \$0.00								
Payroll Draw \$0.00								
Base Salary \$0.00								
Prior Period Balance \$0.00								
FINRA Assessment \$0.00								
SIPC Assessment \$0.00								
Net Commission Earned \$119.74								
Year-to-date Earnings \$2,878.32								