



Concorde Investment Services, LLC

05/25/2017

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COMMISSION STATEMENT**May 31, 2017****BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAUP**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

BROKER #: BUM

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
^05/11/2017	TROVATO, JOSEPH	AXA 00248C360	50,750.00	3,552.50	0.00	3,552.50	100.0	0.00
^05/11/2017	TROVATO, JOSEPH	AXA 00248C360	152,250.00	10,657.50	0.00	10,657.50	100.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$203,000.00	\$14,210.00	\$0.00	\$14,210.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^04/06/2017	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.61	0.00	3.61	100.0	0.00
^04/06/2017	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.86	0.00	3.86	100.0	0.00
^04/13/2017	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	2.06	0.00	2.06	100.0	0.00
^04/13/2017	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	8.24	0.00	8.24	100.0	0.00
^04/12/2017	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	4.90	0.00	4.90	100.0	0.00
^04/18/2017	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	1.90	0.00	1.90	100.0	0.00
^04/18/2017	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	3.13	0.00	3.13	100.0	0.00
^04/18/2017	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.30	0.00	1.30	100.0	0.00
^04/28/2017	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.05	0.00	2.05	100.0	0.00
^04/28/2017	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.12	0.00	1.12	100.0	0.00
^04/28/2017	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.05	0.00	3.05	100.0	0.00
^04/28/2017	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.40	0.00	0.40	100.0	0.00
^04/28/2017	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.38	0.00	2.38	100.0	0.00
^04/28/2017	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.56	0.00	1.56	100.0	0.00
^04/28/2017	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.87	0.00	0.87	100.0	0.00
^04/28/2017	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.94	0.00	1.94	100.0	0.00
^04/17/2017	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	21.08	0.00	21.08	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$63.45	\$0.00	\$63.45		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$203,000.00	\$14,273.45	\$0.00	\$14,273.45		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00