



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 31, 2018

08/17/2018
Page No. 1

ACCOUNT HOUSE
1120 E. LONG LAKE ROAD
SUITE 207
TROY, MI 48085-

BROKER #: G999
BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/15/2018	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.00	1.00	3.00	-2.00	100.0	-2.00
08/15/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-100.00	0.00	0.00	0.00	100.0	0.00
08/15/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	99.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$99.00	\$1.00	\$3.00	\$-2.00		\$-2.00
*** CLEARING TRANSACTIONS TOTAL ***			\$99.00	\$1.00	\$3.00	\$-2.00		\$-2.00
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
08/06/2018	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
* 529 PLANS SUBTOTAL *			\$50.00	\$2.50	\$0.00	\$2.50		\$2.50
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/06/2018	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
08/06/2018	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	150.00	7.50	0.00	7.50	100.0	7.50
08/08/2018	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	5.00	0.00	5.00	100.0	5.00
08/06/2018	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
08/06/2018	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
08/06/2018	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
08/06/2018	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	150.00	7.50	0.00	7.50	100.0	7.50
08/09/2018	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	100.0	5.00
* MUTUAL FUNDS SUBTOTAL *			\$1,050.00	\$36.50	\$0.00	\$36.50		\$36.50
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/10/2018	LUND, VARIOUS	ADV CENTURY	0.00	275.76	0.00	275.76	100.0	275.76
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$275.76	\$0.00	\$275.76		\$275.76

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
08/08/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	5.94	0.00	5.94	100.0	5.94
08/10/2018	BENNETT, CATHERINE	PAC LIFE VUL	66.00	1.32	0.00	1.32	100.0	1.32
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$66.00	\$7.26	\$0.00	\$7.26		\$7.26
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/17/2018	FORMELLA, ANNETTE	GENWORTH INS	0.00	2.66	0.00	2.66	100.0	2.66
07/17/2018	FORMELLA, DAVID	GENWORTH INS	0.00	2.63	0.00	2.63	100.0	2.63
08/10/2018	RAYNUSH, MARTHA	OHIO NATL ONCORE PREMIER	0.00	85.03	0.00	85.03	100.0	85.03
08/03/2018	PISCIOTTI, ERNEST	PAC LIFE PACIFIC CHOICE	0.00	346.14	0.00	346.14	100.0	346.14
07/31/2018	DIXON REV, ROSE	TA LANDMARK VA	0.00	331.87	0.00	331.87	100.0	331.87
07/01/2018	GRONAU, ROLAND	TRANS LIFE LANDMARK 2003 VA	0.00	19.79	0.00	19.79	100.0	19.79
07/09/2018	JACKSON, SHARON	TRANS LIFE LANDMARK 2003 VA	0.00	383.91	0.00	383.91	100.0	383.91
07/17/2018	ROBINSON, CHARLES	TRANS LIFE LANDMARK 2003 VA	0.00	94.92	0.00	94.92	100.0	94.92
07/19/2018	STEVENS, JANICE	TRANSAMERICA CATALYST	0.00	4.06	0.00	4.06	100.0	4.06
07/01/2018	STEVENS, DOUGLAS	TRANSAMERICA CLASSIC	0.00	2.81	0.00	2.81	100.0	2.81
07/24/2018	KIRCHNER, JACOB	TRANSAMERICA CLASSIC	0.00	4.16	0.00	4.16	100.0	4.16
07/02/2018	BREWER, VARIOUS	TRANSAMERICA EXTRA	0.00	29.60	0.00	29.60	100.0	29.60
07/04/2018	SEVERSON, STEVEN	TRANSAMERICA EXTRA	0.00	158.62	0.00	158.62	100.0	158.62
07/04/2018	BELTRAN, RANDOLPH	TRANSAMERICA EXTRA	0.00	85.07	0.00	85.07	100.0	85.07
07/08/2018	KIRCHNER, DUANE	TRANSAMERICA EXTRA	0.00	38.48	0.00	38.48	100.0	38.48
07/09/2018	DRESSEN, KATHRYN	TRANSAMERICA EXTRA	0.00	290.86	0.00	290.86	100.0	290.86
07/09/2018	VOIGHT, RICKY	TRANSAMERICA EXTRA	0.00	552.71	0.00	552.71	100.0	552.71
07/09/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	0.00	21.82	0.00	21.82	100.0	21.82
07/11/2018	MICHALETZ, CHRISTINE	TRANSAMERICA EXTRA	0.00	5.84	0.00	5.84	100.0	5.84
07/13/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	0.00	12.48	0.00	12.48	100.0	12.48
07/13/2018	TRIPP, TIMOTHY	TRANSAMERICA EXTRA	0.00	22.48	0.00	22.48	100.0	22.48
07/14/2018	GRAFF, JOYCE	TRANSAMERICA EXTRA	0.00	40.86	0.00	40.86	100.0	40.86
07/14/2018	GRAFF, JOYCE	TRANSAMERICA EXTRA	0.00	13.91	0.00	13.91	100.0	13.91
07/17/2018	ELLIS, ROSEMARY	TRANSAMERICA EXTRA	0.00	187.98	0.00	187.98	100.0	187.98
07/19/2018	WETZELL, THOMAS	TRANSAMERICA EXTRA	0.00	1,202.91	0.00	1,202.91	100.0	1,202.91
07/19/2018	VANDERHOOF, DONALD	TRANSAMERICA EXTRA	0.00	7.38	0.00	7.38	100.0	7.38
07/19/2018	LEVIK, LADONNA	TRANSAMERICA EXTRA	0.00	182.25	0.00	182.25	100.0	182.25
07/20/2018	GEWERTH, CHERYL	TRANSAMERICA EXTRA	0.00	16.96	0.00	16.96	100.0	16.96
07/21/2018	VOTCA, JOHN	TRANSAMERICA EXTRA	0.00	50.69	0.00	50.69	100.0	50.69

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/21/2018	KRONBACK, EUGENE	TRANSAMERICA EXTRA	0.00	50.22	0.00	50.22	100.0	50.22
07/21/2018	GRISWOLD, ELLEN	TRANSAMERICA EXTRA	0.00	33.08	0.00	33.08	100.0	33.08
07/23/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	0.00	28.45	0.00	28.45	100.0	28.45
07/27/2018	BOESCH, RODNEY	TRANSAMERICA EXTRA	0.00	47.19	0.00	47.19	100.0	47.19
07/27/2018	LEONARD, NITA	TRANSAMERICA EXTRA	0.00	37.80	0.00	37.80	100.0	37.80
07/29/2018	BULLER, CAROL	TRANSAMERICA EXTRA	0.00	11.39	0.00	11.39	100.0	11.39
07/29/2018	KIRCHNER, JANET	TRANSAMERICA EXTRA	0.00	26.83	0.00	26.83	100.0	26.83
07/07/2018	MICHALETZ, JOSEPH	TRANSAMERICA EXTRA 89352F889	0.00	11.58	0.00	11.58	100.0	11.58
07/10/2018	HORNING, DONALD	TRANSAMERICA EXTRA 89352F889	0.00	90.46	0.00	90.46	100.0	90.46
07/16/2018	FEMRITE, LYLE	TRANSAMERICA EXTRA 89352F889	0.00	60.19	0.00	60.19	100.0	60.19
07/23/2018	PAULSEN, TAMI	TRANSAMERICA EXTRA 89352F889	0.00	59.67	0.00	59.67	100.0	59.67
07/23/2018	PAULSEN, BRYAN	TRANSAMERICA EXTRA 89352F889	0.00	159.66	0.00	159.66	100.0	159.66
07/23/2018	FOSTER, RODNEY	TRANSAMERICA EXTRA 89352F889	0.00	1,150.64	0.00	1,150.64	100.0	1,150.64
07/23/2018	FOSTER, BARBARA	TRANSAMERICA EXTRA 89352F889	0.00	259.71	0.00	259.71	100.0	259.71
07/01/2018	IRELAND, DANIEL	TRANSAMERICA LANDMARK	0.00	91.32	0.00	91.32	100.0	91.32
07/02/2018	PLOTZ, LEO	TRANSAMERICA LANDMARK	0.00	278.40	0.00	278.40	100.0	278.40
07/05/2018	TURNER, WENDELL	TRANSAMERICA LANDMARK	0.00	111.89	0.00	111.89	100.0	111.89
07/07/2018	SHORE, ROSALIND	TRANSAMERICA LANDMARK	0.00	122.62	0.00	122.62	100.0	122.62
07/09/2018	BRIELMAIER, MARK	TRANSAMERICA LANDMARK	0.00	65.73	0.00	65.73	100.0	65.73
07/15/2018	JOHNSON, PAUL	TRANSAMERICA LANDMARK	0.00	415.40	0.00	415.40	100.0	415.40
07/16/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	0.00	16.25	0.00	16.25	100.0	16.25
07/16/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	0.00	16.70	0.00	16.70	100.0	16.70
07/18/2018	KLEMENT, LINDA	TRANSAMERICA LANDMARK	0.00	12.30	0.00	12.30	100.0	12.30
07/20/2018	BERGLING, PAUL	TRANSAMERICA LANDMARK	0.00	460.97	0.00	460.97	100.0	460.97
07/22/2018	DALLMANN, MARGARET	TRANSAMERICA LANDMARK	0.00	138.41	0.00	138.41	100.0	138.41
07/22/2018	BERGLING, SHARON	TRANSAMERICA LANDMARK	0.00	664.24	0.00	664.24	100.0	664.24
07/25/2018	FITTERER, DELORIS	TRANSAMERICA LANDMARK	0.00	43.78	0.00	43.78	100.0	43.78
07/26/2018	DALLMANN, HOWARD	TRANSAMERICA LANDMARK	0.00	11.68	0.00	11.68	100.0	11.68
07/28/2018	KLEMENT, LINDA	TRANSAMERICA LANDMARK	0.00	60.70	0.00	60.70	100.0	60.70
07/29/2018	SANDER, GLORIAN	TRANSAMERICA LANDMARK	0.00	192.53	0.00	192.53	100.0	192.53
07/29/2018	ATKINSON, VONDA	TRANSAMERICA LANDMARK 89352F772	0.00	46.58	0.00	46.58	100.0	46.58
07/04/2018	HULST, TIMOTHY	TRANSAMERICA LIBERTY	0.00	47.45	0.00	47.45	100.0	47.45
07/08/2018	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	0.00	73.48	0.00	73.48	100.0	73.48

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/11/2018	HAUGH, JOANNE	TRANSAMERICA LIBERTY	0.00	32.04	0.00	32.04	100.0	32.04
07/12/2018	WILLIAMS, JESSIE	TRANSAMERICA LIBERTY	0.00	55.50	0.00	55.50	100.0	55.50
07/13/2018	BECKWITH, ALTON	TRANSAMERICA LIBERTY	0.00	72.58	0.00	72.58	100.0	72.58
07/24/2018	MICHALETZ, GERALD	TRANSAMERICA LIBERTY	0.00	66.15	0.00	66.15	100.0	66.15
07/24/2018	AYERS, KATHRYN	TRANSAMERICA LIBERTY	0.00	188.64	0.00	188.64	100.0	188.64
07/24/2018	MORRISON, BARRETT	TRANSAMERICA PRINCIPIUM	0.00	69.55	0.00	69.55	100.0	69.55
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$9,580.64	\$0.00	\$9,580.64		\$9,580.64
*** DIRECT TRANSACTIONS TOTAL ***			\$1,166.00	\$9,902.66	\$0.00	\$9,902.66		\$9,902.66
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: JIM LUND #10</u>								
08/06/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	3.00	0.00	3.00	0.0	3.00
08/06/2018	STEINER, DANIEL	FA 529 PORT 2025 A	120.00	3.00	0.00	3.00	0.0	3.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.60	0.00	3.60	0.0	3.60
* JIM LUND SUBTOTAL *			\$220.00	\$9.60	\$0.00	\$9.60		\$9.60
<u>BROKER: THOMAS BOAKS #33</u>								
07/31/2018	PUDIL, HSIU CHIN	COMMONWEALTH PLUS	367.83	0.06	0.00	0.06	0.0	0.06
07/30/2018	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
07/31/2018	LASALLE, BARBARA	RETIRE READY CHOICE	350,877.43	292.40	0.00	292.40	0.0	292.40
07/31/2018	GEORGE, CAROLE	RETIRE READY CHOICE	159,467.45	132.89	0.00	132.89	0.0	132.89
* THOMAS BOAKS SUBTOTAL *			\$510,742.71	\$425.65	\$0.00	\$425.65		\$425.65
<u>BROKER: BARTHOLOMEW MACKLER #35</u>								
08/03/2018	MUNACO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	21.21	0.00	21.21	0.0	21.21
08/03/2018	MARSH, JANICE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	4.49	0.00	4.49	0.0	4.49
08/03/2018	MARSH/SEP, GARY	COLUMBIA SELIGMAN COMM AND INFO A	0.00	13.53	0.00	13.53	0.0	13.53
* BARTHOLOMEW MACKLER SUBTOTAL *			\$0.00	\$39.23	\$0.00	\$39.23		\$39.23
<u>BROKER: BRUCE BAXTER #38</u>								
08/01/2018	RIEGEL, DAVID	ING ACCESS	10.58	0.03	0.00	0.03	0.0	0.03
08/03/2018	BAXTER, VARIOUS	TRANSAMERICA LIFE	374,846.33	749.69	0.00	749.69	0.0	749.69
* BRUCE BAXTER SUBTOTAL *			\$374,856.91	\$749.72	\$0.00	\$749.72		\$749.72

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ROBERT (RUSTY) TWEED #58</u>								
08/03/2018	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	0.09	0.00	0.09	0.0	0.09
08/03/2018	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.89	0.00	0.89	0.0	0.89
08/03/2018	UMBRELLO, ALBERT	COLUMBIA LARGECAP GROWTH A	0.00	7.97	0.00	7.97	0.0	7.97
08/03/2018	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.53	0.00	6.53	0.0	6.53
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$0.00	\$15.48	\$0.00	\$15.48		\$15.48
<u>BROKER: JAY MCCLELLAN #120</u>								
08/03/2018	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	3.36	0.00	3.36	0.0	3.36
/ /	ZEHRRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.86	0.00	2.86	0.0	2.86
* JAY MCCLELLAN SUBTOTAL *			\$0.00	\$6.22	\$0.00	\$6.22		\$6.22
<u>BROKER: JEFFREY GOVE #143</u>								
08/06/2018	JOHNSON, GREGORY	AMERITAS OVERTURE APPLAUSE II	0.00	3.36	0.00	3.36	0.0	3.36
08/10/2018	MICKELSEN, CLYDE	PAC LIFE VUL	500.00	10.00	0.00	10.00	0.0	10.00
* JEFFREY GOVE SUBTOTAL *			\$500.00	\$13.36	\$0.00	\$13.36		\$13.36
<u>BROKER: MEREDITH MCCUTCHEN #221</u>								
08/03/2018	TALBERT, ANN	COLUMBIA LARGECAP GROWTH A	0.00	1.21	0.00	1.21	0.0	1.21
08/03/2018	TALBERT, ANN	COLUMBIA LARGECAP GROWTH C	0.00	4.45	0.00	4.45	0.0	4.45
08/03/2018	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND A	0.00	1.05	0.00	1.05	0.0	1.05
08/03/2018	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND-C	0.00	3.90	0.00	3.90	0.0	3.90
* MEREDITH MCCUTCHEN SUBTOTAL *			\$0.00	\$10.61	\$0.00	\$10.61		\$10.61
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
08/07/2018	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	1.00
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$100.00	\$1.00	\$0.00	\$1.00		\$1.00
<u>BROKER: MARC, L134 LINSKY #263</u>								
08/05/2018	MCFADDEN, ANDREW	ING LANDMARK	360,506.91	901.27	0.00	901.27	90.0	901.27
08/03/2018	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	79.72	0.00	79.72	90.0	79.72
07/24/2018	GALERMAN, ALAN	TRANSAMERICA LIBERTY	0.00	110.97	0.00	110.97	90.0	110.97
08/09/2018	LINSKY, VARIOUS	TRANSAMERICA TRAILS	20,310.09	8.46	0.00	8.46	90.0	8.46
* MARC, L134 LINSKY SUBTOTAL *			\$380,817.00	\$1,100.42	\$0.00	\$1,100.42		\$1,100.42

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JOSEPH, M138 MICHALETZ #286</u>								
08/06/2018	OTTO, CHRISTOPHER	LINCOLN LIFE	270.00	4.05	0.00	4.05	0.0	4.05
07/01/2018	BOESCH, JEFFERY	TRANSAMERICA EXTRA 89352F889	0.00	207.95	0.00	207.95	0.0	207.95
* JOSEPH, M138 MICHALETZ SUBTOTAL *			\$270.00	\$212.00	\$0.00	\$212.00		\$212.00
<u>BROKER: JACK, M137 MICHALETZ #287</u>								
08/06/2018	GEHRKE, ROBERT	AMERITAS MEDLEY	0.00	33.77	0.00	33.77	0.0	33.77
08/07/2018	STRADTMAN, ROBERT	LINCOLN LIFE	117,816.02	58.91	0.00	58.91	0.0	58.91
* JACK, M137 MICHALETZ SUBTOTAL *			\$117,816.02	\$92.68	\$0.00	\$92.68		\$92.68
<u>BROKER: OVERRIDE REJECT #360</u>								
08/07/2018	KOTLARCZYK, JOSEPH	ING PREMIUM PLUS	119,374.99	74.61	0.00	74.61	0.0	74.61
08/09/2018	KASIAN, JUSTIN PETER	PAC LIFE VUL	306,983.34	306.98	0.00	306.98	0.0	306.98
08/09/2018	PAI, YOGISH & ANITA	PAC LIFE VUL	700.00	14.00	0.00	14.00	0.0	14.00
08/02/2018	WESTRENNEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.66	0.00	1.66	0.0	1.66
08/02/2018	CHAPMAN, CLARENCE	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	0.29	0.00	0.29	0.0	0.29
08/02/2018	WESTRENNEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.50	0.00	1.50	0.0	1.50
* OVERRIDE REJECT SUBTOTAL *			\$427,058.33	\$399.04	\$0.00	\$399.04		\$399.04
<u>BROKER: ROBERT L149 LUCHSINGER #388</u>								
08/02/2018	GORMAN, LORAIN	ING EMPIRE INNOVATIONS STD OPT A	95,435.51	238.59	0.00	238.59	0.0	23.86
08/02/2018	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.80	0.00	2.80	0.0	0.28
08/02/2018	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	2.38	0.00	2.38	0.0	0.24
08/02/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	14.73	0.00	14.73	0.0	1.47
08/02/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	1.94	0.00	1.94	0.0	0.19
08/02/2018	TIERNAN, JOAN	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.69	0.00	1.69	0.0	0.17
08/02/2018	TIERNAN, JOAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.44	0.00	1.44	0.0	0.14
* ROBERT L149 LUCHSINGER SUBTOTAL *			\$95,435.51	\$263.57	\$0.00	\$263.57		\$26.35
<u>BROKER: MARK-S148 SMITH #401</u>								
08/06/2018	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	300.00	3.00	0.00	3.00	0.0	1.56
* MARK-S148 SMITH SUBTOTAL *			\$300.00	\$3.00	\$0.00	\$3.00		\$1.56
<u>BROKER: DAVID EURTON #414</u>								
07/10/2018	SAHARA, IRENE	TA LANDMARK VA	0.00	165.28	0.00	165.28	0.0	165.28
07/23/2018	SAHARA, IRENE	TA LANDMARK VA	0.00	99.80	0.00	99.80	0.0	99.80
07/05/2018	RICE, DORIS	TRANSAMERICA B-SHARE	0.00	74.52	0.00	74.52	0.0	74.52

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: DAVID EURTON #414</u>								
07/12/2018	SCHOLTEN, JOANNE	TRANSAMERICA B-SHARE	0.00	111.33	0.00	111.33	0.0	111.33
		* DAVID EURTON SUBTOTAL *	\$0.00	\$450.93	\$0.00	\$450.93		\$450.93
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$1,908,116.48	\$3,792.51	\$0.00	\$3,792.51		\$3,553.85

BROKER COMMISSION TOTALS

Broker Transactions	\$9,900.66
Split Transactions	\$0.00
Override Transactions	\$3,553.85
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$13,454.51
Year-to-date Gross	\$103,501.44
Year-to-date Earnings	\$375,632.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed