



Concorde Investment Services, LLC

COMMISSION STATEMENT

June 30, 2018

07/05/2018
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RANDY STOLTZ
14617 N. 45th Place

BROKER #: S149
BRANCH: RANDY STOLTZ

Phoenix, AZ 85032-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/21/2018	MCGAHIE, ROBERT	OPPENHEIMER STEELPATH MLP ALPHA PLU	1,000.00	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,000.00	\$0.00	\$0.00	\$0.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$1,000.00	\$0.00	\$0.00	\$0.00		\$0.00

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
06/11/2018	LOUGHLIN, MADELINE	PT HOTEL VALUE AND INCOME FUND II	64,000.00	3,840.00	0.00	3,840.00	90.0	3,456.00
06/26/2018	EVERROAD, ANDREW	PT HOTEL VALUE AND INCOME FUND II	50,000.00	3,000.00	0.00	3,000.00	90.0	2,700.00
05/31/2018	LOUGHLIN, ROBERT	SHOPOFF COMMERCIAL GRWTH & INC FD 3	31,000.00	2,170.00	0.00	2,170.00	90.0	1,953.00
06/11/2018	MAU, MILENA	SHOPOFF COMMERCIAL GRWTH & INC FD 3	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
* PRIVATE PLACEMENT SUBTOTAL *			\$170,000.00	\$10,760.00	\$0.00	\$10,760.00		\$9,684.00

<u>PRODUCT CATEGORY: NON-TRADED BDC</u>								
06/04/2018	GIBBONS, THOMAS	FS INVESTMENT CORP IV CLASS T	0.00	91.68	0.00	91.68	90.0	82.51
06/04/2018	MATSON, FRED	FS INVESTMENT CORP IV CLASS T	0.00	44.95	0.00	44.95	90.0	40.46
06/04/2018	GESKE, GREGG	FS INVESTMENT CORP IV CLASS T	0.00	32.46	0.00	32.46	90.0	29.21
* NON-TRADED BDC SUBTOTAL *			\$0.00	\$169.09	\$0.00	\$169.09		\$152.18

<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/15/2018	PERSON, DEETTE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	90.0	2.25
06/15/2018	PERSON, DEETTE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	90.0	2.25
06/15/2018	PERSON, DEETTE	AM FUNDS GROWTH FUND OF AMERICA A	75.00	3.75	0.00	3.75	90.0	3.38
06/15/2018	PERSON, DEETTE	AM FUNDS NEW ECONOMY A	75.00	3.75	0.00	3.75	90.0	3.38
06/15/2018	PERSON DEETTE D PERSON, LARRY	FIDELITY ADV NEW INSIGHTS C	150.00	1.25	0.00	1.25	90.0	1.13
06/15/2018	PERSON DEETTE D PERSON, LARRY	FIDELITY STRATEGIC DIV & INCOME C	125.00	1.25	0.00	1.25	90.0	1.13
* MUTUAL FUNDS SUBTOTAL *			\$525.00	\$15.00	\$0.00	\$15.00		\$13.52

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
06/13/2018	STILES, BENE OF KEITH VOK,	PAC LIFE PACIFIC CHOICE	0.00	170.10	0.00	170.10	90.0	153.09
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$170.10	\$0.00	\$170.10		\$153.09
*** DIRECT TRANSACTIONS TOTAL ***			\$170,525.00	\$11,114.19	\$0.00	\$11,114.19		\$10,002.79

BROKER COMMISSION TOTALS

Broker Transactions	\$10,002.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,002.79
Year-to-date Gross	\$108,100.94
Year-to-date Earnings	\$96,930.95

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