

All Companies
STATEMENT
April 20, 2018

DEAN WELLS
689 W Morgan St
SUITE 620
Spencer, IN 47460

BROKER #: 156
BRANCH:

COMMISSION STATEMENT for DEAN WELLS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/08/0018	#62060070404	LEFFEL, KEVIN	COLLEGE C	26.25	0.26	90.0	0.00	0.23	0.23
02/08/0018	#62060070404	LEFFEL, KEVIN	LARGE CAP INDEX PORTFOLIO CLASS C	33.75	0.34	90.0	0.00	0.31	0.31
02/08/0018	#62060070404	LEFFEL, KEVIN	PIMCO TOTAL RETURN PORTFOLIO CLASS	15.00	0.15	90.0	0.00	0.14	0.14
03/04/0018	#62664558602	BLANDFORD,	COLLEGE PORTFOLIO CLASS C	200.00	2.00	90.0	0.00	1.80	1.80
03/04/0018	#62281123602	BLANDFORD,	LARGE CAP INDEX PORTFOLIO CLASS A	25.00	1.19	90.0	0.00	1.07	1.07
03/15/2018	# YAPP, BERNADETTE		ARTISAN FUNDS INC MID CAP FUND	300.00	0.00	90.0	0.00	0.00	-20.00
03/16/2018	#00214767874		PUTNAM EQUITY INCOME FUND NEW-CL A	25.00	1.25	90.0	0.00	1.13	1.13
03/16/2018	#00214738435		PUTNAM GROWTH OPPORTUNITIES FUND-CL	25.00	1.25	90.0	0.00	1.13	1.13
			* MUTUAL FUNDS SUBTOTAL *	\$650.00	\$6.44	\$0.00	\$5.81	\$20.00	\$-14.19
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
03/05/0018	#00007718267	BRINSON,	BRIGHTHOUSE MVLAC	0.00	0.18	90.0	0.00	0.16	0.16
03/05/0018	#00007718268	BRINSON,	BRIGHTHOUSE MVLAC	0.00	0.39	90.0	0.00	0.35	0.35
03/05/0018	#00007718269	BRINSON,	BRIGHTHOUSE MVLAC	0.00	0.35	90.0	0.00	0.32	0.32
			* VARIABLE ANNUITIES SUBTOTAL *	\$0.00	\$0.92	\$0.00	\$0.83	\$0.00	\$0.83
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00075993930	DAVIES, DAVE	AMCAP FUND INC CLASS A	0.00	2.65	90.0	0.00	2.39	2.39
03/01/2018	#00081632391	HOOVER, DANIEL	AMCAP FUND INC CLASS A	0.00	6.50	90.0	0.00	5.85	5.85
03/01/2018	#00083302166	04/05/2006, UDT	AMCAP FUND INC CLASS A	0.00	1.09	90.0	0.00	0.98	0.98
03/01/2018	#00929134691	IN/UTMA	AMCAP FUND INC CLASS A	0.00	6.03	90.0	0.00	5.43	5.43
03/01/2018	#00929134692	IN/UTMA	AMCAP FUND INC CLASS A	0.00	2.49	90.0	0.00	2.24	2.24
03/01/2018	#00929134693	IN/UTMA	AMCAP FUND INC CLASS A	0.00	5.72	90.0	0.00	5.15	5.15
03/01/2018	#00089585778		AMERICAN BALANCED FUND INC CLASS A	0.00	1.17	90.0	0.00	1.05	1.05
03/01/2018	#00089589105		AMERICAN BALANCED FUND INC CLASS A	0.00	0.63	90.0	0.00	0.57	0.57
03/01/2018	#00929134691	IN/UTMA	AMERICAN BALANCED FUND INC CLASS A	0.00	4.58	90.0	0.00	4.12	4.12
03/01/2018	#00929134692	IN/UTMA	AMERICAN BALANCED FUND INC CLASS A	0.00	1.32	90.0	0.00	1.19	1.19
03/01/2018	#00929134693	IN/UTMA	AMERICAN BALANCED FUND INC CLASS A	0.00	4.35	90.0	0.00	3.92	3.92
03/01/2018	#00083302166	04/05/2006, UDT	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	1.08	90.0	0.00	0.97	0.97

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00073380549	FLORES, KATHRYN AMERICAN FUNDS 023375405	0.00	4.34	90.0	0.00	3.91	0.00	3.91
03/01/2018	#00073380549	FLORES, KATHRYN AMERICAN FUNDS 643822406	0.00	4.61	90.0	0.00	4.15	0.00	4.15
03/01/2018	#00082116492	KOGER, CONNIE AMERICAN FUNDS INCOME SERIES U S GO	0.00	0.22	90.0	0.00	0.20	0.00	0.20
03/01/2018	#00083302166	04/05/2006, UDT AMERICAN MUTUAL FUND INC CLASS A	0.00	1.03	90.0	0.00	0.93	0.00	0.93
03/01/2018	#00087294755	AMERICAN MUTUAL FUND INC CLASS A	0.00	9.90	90.0	0.00	8.91	0.00	8.91
03/01/2018	#00089585778	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.21	90.0	0.00	1.09	0.00	1.09
03/01/2018	#00089589105	AMERICAN MUTUAL FUND INC CLASS A	0.00	0.66	90.0	0.00	0.59	0.00	0.59
03/01/2018	#00082515422	YEISER, THOMAS CAPITAL INCOME BUILDER FD CL A	0.00	3.74	90.0	0.00	3.37	0.00	3.37
03/01/2018	#00083163028	CAPITAL INCOME BUILDER FD CL A	0.00	7.23	90.0	0.00	6.51	0.00	6.51
03/01/2018	#00064822273	CAPITAL WORLD GROWTH & INCOME FUND	0.00	3.39	90.0	0.00	3.05	0.00	3.05
03/01/2018	#00081842320	STURGEON, CAPITAL WORLD GROWTH & INCOME FUND	0.00	20.70	90.0	0.00	18.63	0.00	18.63
03/01/2018	#00082515422	YEISER, THOMAS CAPITAL WORLD GROWTH & INCOME FUND	0.00	4.50	90.0	0.00	4.05	0.00	4.05
03/01/2018	#00083163028	CAPITAL WORLD GROWTH & INCOME FUND	0.00	15.62	90.0	0.00	14.06	0.00	14.06
03/01/2018	#00087229992	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.24	90.0	0.00	0.22	0.00	0.22
03/01/2018	#00083319810	UNTIL AGE 21, CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.37	90.0	0.00	1.23	0.00	1.23
03/01/2018	#00084191746	NEWGENT, DAVID CAPITAL WORLD GROWTH & INCOME FUND	0.00	6.16	90.0	0.00	5.54	0.00	5.54
03/01/2018	#00084199984	NEWGENT, DAVID CAPITAL WORLD GROWTH & INCOME FUND	0.00	4.39	90.0	0.00	3.95	0.00	3.95
03/01/2018	#00083319810	UNTIL AGE 21, CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.44	90.0	0.00	0.40	0.00	0.40
03/01/2018	#00064822273	EURO PACIFIC GROWTH FUND CLASS A	0.00	2.99	90.0	0.00	2.69	0.00	2.69
03/01/2018	#00075993930	DAVIES, DAVE GLOBAL BALANCED FUND-A	0.00	1.81	90.0	0.00	1.63	0.00	1.63
03/01/2018	#00087229992	GLOBAL GROWTH PORTFOLIO-A	0.00	0.25	90.0	0.00	0.23	0.00	0.23
03/01/2018	#00084191746	NEWGENT, DAVID GROWTH FUND AMER INC CL C	0.00	12.22	90.0	0.00	11.00	0.00	11.00
03/01/2018	#00084199984	NEWGENT, DAVID GROWTH FUND AMER INC CL C	0.00	8.66	90.0	0.00	7.79	0.00	7.79
03/01/2018	#00064822273	GROWTH FUND AMERICA INC CLASS A	0.00	10.85	90.0	0.00	9.77	0.00	9.77
03/01/2018	#00083163028	GROWTH FUND AMERICA INC CLASS A	0.00	13.21	90.0	0.00	11.89	0.00	11.89
03/01/2018	#00089585778	GROWTH FUND AMERICA INC CLASS A	0.00	1.35	90.0	0.00	1.22	0.00	1.22
03/01/2018	#00089589105	GROWTH FUND AMERICA INC CLASS A	0.00	0.73	90.0	0.00	0.66	0.00	0.66
03/01/2018	#00081842320	STURGEON, INCOME FUND OF AMERICA INC CLASS A	0.00	6.71	90.0	0.00	6.04	0.00	6.04
03/01/2018	#00082515422	YEISER, THOMAS INCOME FUND OF AMERICA INC CLASS A	0.00	4.42	90.0	0.00	3.98	0.00	3.98
03/01/2018	#00089585778	INCOME FUND OF AMERICA INC CLASS A	0.00	1.15	90.0	0.00	1.04	0.00	1.04
03/01/2018	#00089589105	INCOME FUND OF AMERICA INC CLASS A	0.00	0.62	90.0	0.00	0.56	0.00	0.56
03/01/2018	#00064822273	INVESTMENT CO AMER CLASS A	0.00	10.52	90.0	0.00	9.47	0.00	9.47
03/01/2018	#00081632391	HOOVER, DANIEL INVESTMENT CO AMER CLASS A	0.00	6.66	90.0	0.00	5.99	0.00	5.99
03/01/2018	#00082515422	YEISER, THOMAS INVESTMENT CO AMER CLASS A	0.00	3.89	90.0	0.00	3.50	0.00	3.50
03/01/2018	#00083302166	04/05/2006, UDT INVESTMENT CO AMER CLASS A	0.00	1.07	90.0	0.00	0.96	0.00	0.96
03/01/2018	#00087294755	INVESTMENT CO AMER CLASS A	0.00	10.49	90.0	0.00	9.44	0.00	9.44

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<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00081632391	HOOVER, DANIEL	NEW ECONOMY FUND SBI CLASS A	0.00	8.82	90.0	0.00	7.94	7.94
03/01/2018	#00082515422	YEISER, THOMAS	NEW ECONOMY FUND SBI CLASS A	0.00	15.65	90.0	0.00	14.09	14.09
03/01/2018	#00993518657	DAVIES, DAVE	NEW WORLD FUND INC NEW	0.00	0.63	90.0	0.00	0.57	0.57
03/01/2018	#00084191746	NEWGENT, DAVID	SMALLCAP WORLD FUND INC CL C	0.00	7.83	90.0	0.00	7.05	7.05
03/01/2018	#00084199984	NEWGENT, DAVID	SMALLCAP WORLD FUND INC CL C	0.00	5.70	90.0	0.00	5.13	5.13
03/01/2018	#00064822273		SMALLCAP WORLD FUND INC CLASS A	0.00	10.36	90.0	0.00	9.32	9.32
03/01/2018	#00075993930	DAVIES, DAVE	SMALLCAP WORLD FUND INC CLASS A	0.00	2.34	90.0	0.00	2.11	2.11
03/01/2018	#00082515422	YEISER, THOMAS	SMALLCAP WORLD FUND INC CLASS A	0.00	3.04	90.0	0.00	2.74	2.74
03/01/2018	#00083163028		SMALLCAP WORLD FUND INC CLASS A	0.00	6.59	90.0	0.00	5.93	5.93
03/01/2018	#00929134691	IN/UTMA	SMALLCAP WORLD FUND INC CLASS A	0.00	7.38	90.0	0.00	6.64	6.64
03/01/2018	#00929134692	IN/UTMA	SMALLCAP WORLD FUND INC CLASS A	0.00	3.75	90.0	0.00	3.38	3.38
03/01/2018	#00929134693	IN/UTMA	SMALLCAP WORLD FUND INC CLASS A	0.00	6.99	90.0	0.00	6.29	6.29
03/01/2018	#00073380549	FLORES, KATHRYN	THE INVESTMENT COMPANY OF AMERICA F	0.00	4.43	90.0	0.00	3.99	3.99
03/01/2018	#00084191746	NEWGENT, DAVID	WASHINGTON MUTUAL INVESTORS FUND-C	0.00	10.95	90.0	0.00	9.86	9.86
03/01/2018	#00084199984	NEWGENT, DAVID	WASHINGTON MUTUAL INVESTORS FUND-C	0.00	7.42	90.0	0.00	6.68	6.68
03/01/2018	#00064822273		WASHINGTON MUTUAL INVS FD CLASS A	0.00	11.26	90.0	0.00	10.13	10.13
03/01/2018	#00087294755		WASHINGTON MUTUAL INVS FD CLASS A	0.00	10.74	90.0	0.00	9.67	9.67
03/02/2018	#08900012357	MONIX, EDWARD	PRINCIPAL FDS INC GLOBAL DIVERSIFIE	0.00	0.29	90.0	0.00	0.26	0.26
03/02/2018	#08900012357	MONIX, EDWARD	PRINCIPAL FDS INC SML MIDCAP DIVIDE	0.00	0.36	90.0	0.00	0.32	0.32
03/02/2018	#08900039892	WELLS UTMA IN,	PRINCIPAL INVS FD INC	0.00	0.11	90.0	0.00	0.10	0.10
03/02/2018	#08900039893	WELLS UTMA IN,	PRINCIPAL INVS FD INC	0.00	0.11	90.0	0.00	0.10	0.10
03/02/2018	#08900039898	WELLS UTMA IN,	PRINCIPAL INVS FD INC	0.00	0.09	90.0	0.00	0.08	0.08
03/02/2018	#08900012357	MONIX, EDWARD	PRINCIPAL INVS FD INC CAPITAL APPRE	0.00	0.38	90.0	0.00	0.34	0.34
03/02/2018	#08900012357	MONIX, EDWARD	PRINCIPAL INVS FD INC EQUITY INCOME	0.00	0.35	90.0	0.00	0.32	0.32
03/02/2018	#08900012357	MONIX, EDWARD	PRINCIPAL INVS FD INC MIDCAP BLEND	0.00	0.39	90.0	0.00	0.35	0.35
03/02/2018	#08900012357	MONIX, EDWARD	PRINCIPAL INVS FD INC REAL ESTATE S	0.00	0.27	90.0	0.00	0.24	0.24
03/02/2018	#00015232446	FYAN, THOMAS	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	2.59	90.0	0.00	2.33	2.33
03/02/2018	#08900023334	DUNCAN, LACEY	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	0.79	90.0	0.00	0.71	0.71
03/02/2018	#08900044381	BLANDFORD,	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	1.38	90.0	0.00	1.24	1.24
03/02/2018	#08900044535	BLANDFORD,	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	1.12	90.0	0.00	1.01	1.01
03/02/2018	#08900056028	WELLS, MICHELLE	PRINCIPAL INVS FD INC STRTIC ASST M	0.00	1.15	90.0	0.00	1.04	1.04
03/02/2018	#08900014069	WILLIAMS,	S A M BALANCED PORTFOLIO (C)	0.00	12.31	90.0	0.00	11.08	11.08
03/02/2018	#08900032969	MCKINZIE, SARAH	S A M CONSERVATIVE GROWTH PORT (C)	0.00	2.55	90.0	0.00	2.30	2.30
03/02/2018	#08900032968	MCKINZIE,	S A M STRATEGIC GROWTH PORTFOLIO (C	0.00	8.50	90.0	0.00	7.65	7.65
03/09/2018	#	LEFFEL, KEVIN	DAIN TRAILER	0.00	13.42	90.0	0.00	12.08	12.08
03/09/2018	#	CRAIG, CHERYL	DAIN TRAILER	0.00	1.49	90.0	0.00	1.34	1.34

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/09/2018 # BUELL, ROBERTA	DAIN TRAILER	0.00	2.12	90.0	0.00	1.91	0.00	1.91
03/09/2018 # BUELL, ROBERTA	DAIN TRAILER	0.00	2.82	90.0	0.00	2.54	0.00	2.54
03/09/2018 # BUELL, ROBERTA	DAIN TRAILER	0.00	2.06	90.0	0.00	1.85	0.00	1.85
03/09/2018 # YAPP, BERNADETTE	DAIN TRAILER	0.00	0.43	90.0	0.00	0.39	0.00	0.39
03/09/2018 # MCCALLISTER, ROBIN	DAIN TRAILER	0.00	0.58	90.0	0.00	0.52	0.00	0.52
03/09/2018 # MCCALLISTER, ROBIN	DAIN TRAILER	0.00	0.98	90.0	0.00	0.88	0.00	0.88
03/09/2018 # MCCALLISTER, ROBIN	DAIN TRAILER	0.00	0.81	90.0	0.00	0.73	0.00	0.73
03/09/2018 # WELLS, INA	DAIN TRAILER	0.00	2.58	90.0	0.00	2.32	0.00	2.32
03/15/2018 # CRAIG, CHERYL	DAIN TRAILER	0.00	0.39	90.0	0.00	0.35	0.00	0.35
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$409.26		\$0.00	\$368.41	\$0.00	\$368.41
*** BROKER TRANSACTIONS TOTAL ***		\$650.00	\$416.62		\$0.00	\$375.05	\$20.00	\$355.05

ADJUSTMENTS

04/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
04/20/2018	CIRCLEBLACK: CIRCLEBLACK	-175.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
*** ADJUSTMENTS TOTAL ***		\$-959.00

BROKER COMMISSION TOTALS

Broker Transactions	\$355.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-959.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-2,595.97
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward \$-3,199.92