



All Companies
COMMISSION STATEMENT
June 01, 2018

05/30/2018
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WILLIAM L. BROOKMAN
1840 Hurstview Drive

BROKER #: 9081
BRANCH: HOME OFFICE

HURST, TX 76054

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/07/2018	00074687854	MIZELL, NICOLE							
		THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
05/07/2018	00074687854	MIZELL, NICOLE							
		THE INVESTMENT COMPANY OF AMERICA-5	B	50.00	0.50	0.00	0.50	90.0	0.45
05/07/2018	00083143340	MIZELL, NICOLE							
		THE INVESTMENT COMPANY OF AMERICA-5	B	100.00	1.00	0.00	1.00	90.0	0.90
05/07/2018	00085317312	MIZELL, NICOLE							
		THE INVESTMENT COMPANY OF AMERICA-5	B	100.00	1.00	0.00	1.00	90.0	0.90
05/15/2018	00088728542	CABLE, MARTHA I.							
		AMERICAN BALANCED FUND-529C	B	25.00	0.25	0.00	0.25	90.0	0.23
05/15/2018	00088728542	CABLE, MARTHA I.							
		FUNDAMENTAL INVESTORS-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
05/15/2018	00088728542	CABLE, MARTHA I.							
		NEW PERSPECTIVE FUND-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
05/15/2018	00088728542	CABLE, MARTHA I.							
		WASHINGTON MUTUAL INVESTORS FUND-52	B	25.00	0.25	0.00	0.25	90.0	0.23
* MUTUAL FUNDS SUBTOTAL *				\$500.00	\$5.00	\$0.00	\$5.00		\$4.52
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	25632715	DIAZ, CARMEN A							
		SIGNAL SECURITIES RIA	B	0.00	119.00	0.00	119.00	90.0	107.10
04/13/2018	49283223	HERNANDEZ & DIAZ,							
		SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
		HUMBERTO/CARMEN							
04/13/2018	62315710	HERNANDEZ & DIAZ,							
		SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
		HUMBERTO/CARMEN							
* RIA SUBTOTAL *				\$0.00	\$150.00	\$0.00	\$150.00		\$135.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	TRAIL COMMISSIONS	WELLS FARGO FUNDS FEES		0.00	3.35	0.00	3.35	90.0	3.02
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3.35	\$0.00	\$3.35		\$3.02
*** BROKER TRANSACTIONS TOTAL ***				\$500.00	\$158.35	\$0.00	\$158.35		\$142.54

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

06/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
06/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-7.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-107.50

BROKER COMMISSION TOTALS

Broker Transactions	\$142.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-107.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$35.04
Year-to-date Earnings	\$13,329.05