



Concorde Investment Services, LLC

01/29/2018

Page No. 1

COMMISSION STATEMENT

January 31, 2018

ACCOUNT HOUSE

1120 E. LONG LAKE ROAD
SUITE 207
TROY, MI 48085-

BROKER #: G999

BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/25/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA C	9,900.00	0.00	0.00	0.00	100.0	0.00
01/25/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA C	-10,000.00	0.00	0.00	0.00	100.0	0.00
01/24/2018	CONCORDE, VARIOUS	BLACKROCK HEALTH SCIENCES OPPORTUNI	-155,500.00	0.00	0.00	0.00	100.0	0.00
01/24/2018	CONCORDE, VARIOUS	BLACKROCK HEALTH SCIENCES OPPORTUNI	153,945.00	0.00	0.00	0.00	100.0	0.00
01/24/2018	CONCORDE, VARIOUS	BLACKROCK TECHNOLOGY OPPORTUNITIES	172,755.00	0.00	0.00	0.00	100.0	0.00
01/24/2018	CONCORDE, VARIOUS	BLACKROCK TECHNOLOGY OPPORTUNITIES	-174,500.00	0.00	0.00	0.00	100.0	0.00
01/18/2018	CONCORDE, VARIOUS	FIDELITY SELECT ENERGY PORTFOLIO	7,000.00	0.00	13.00	-13.00	100.0	-13.00
01/18/2018	CONCORDE, VARIOUS	FIDELITY SELECT ENERGY PORTFOLIO	-7,000.00	0.00	-13.00	13.00	100.0	13.00
01/18/2018	CONCORDE, VARIOUS	FIDELITY SELECT GOLD PORT	4,200.00	0.00	13.00	-13.00	100.0	-13.00
01/18/2018	CONCORDE, VARIOUS	FIDELITY SELECT GOLD PORT	-4,200.00	0.00	-13.00	13.00	100.0	13.00
01/24/2018	CONCORDE, VARIOUS	FT UNIT 7038 GLOBAL EQUITY INCOME S	27,440.23	0.00	17.50	-17.50	100.0	-17.50
01/24/2018	CONCORDE, VARIOUS	FT UNIT 7038 GLOBAL EQUITY INCOME S	-28,000.11	0.00	17.50	-17.50	100.0	-17.50
01/19/2018	CONCORDE, VARIOUS	JPMORGAN LARGE-CAP GROWTH FUND CLAS	5,940.00	0.00	0.00	0.00	100.0	0.00
01/19/2018	CONCORDE, VARIOUS	JPMORGAN LARGE-CAP GROWTH FUND CLAS	-6,000.00	0.00	0.00	0.00	100.0	0.00
01/22/2018	CONCORDE, VARIOUS	JPMORGAN LARGE-CAP GROWTH FUND CLAS	14,355.00	0.00	0.00	0.00	100.0	0.00
01/22/2018	CONCORDE, VARIOUS	JPMORGAN LARGE-CAP GROWTH FUND CLAS	-14,500.00	0.00	0.00	0.00	100.0	0.00
01/10/2018	CONCORDE, VARIOUS	MFS AGGRESSIVE GROWTH ALLOCATION C	4,950.00	0.00	0.00	0.00	100.0	0.00
01/10/2018	CONCORDE, VARIOUS	MFS AGGRESSIVE GROWTH ALLOCATION C	-5,000.00	0.00	0.00	0.00	100.0	0.00
01/16/2018	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	-50.00	0.00	0.00	0.00	100.0	0.00
01/16/2018	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	47.50	0.00	0.00	0.00	100.0	0.00
01/16/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	99.00	0.00	0.00	0.00	100.0	0.00
01/16/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-100.00	0.00	0.00	0.00	100.0	0.00
01/18/2018	CONCORDE, VARIOUS	T ROWE PRICE GLOBAL MULTI-SECTOR BO	5,600.00	0.00	0.00	0.00	100.0	0.00
01/18/2018	CONCORDE, VARIOUS	T ROWE PRICE GLOBAL MULTI-SECTOR BO	-5,600.00	0.00	0.00	0.00	100.0	0.00
01/10/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	198.00	0.00	0.00	0.00	100.0	0.00
01/10/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-200.00	0.00	0.00	0.00	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/23/2018	CONCORDE, VARIOUS	WESTERN ASSET MACRO OPPORTUNITIES F	29,700.00	0.00	0.00	0.00	100.0	0.00
01/23/2018	CONCORDE, VARIOUS	WESTERN ASSET MACRO OPPORTUNITIES F	-30,000.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-4,520.38	\$0.00	\$35.00	\$-35.00		\$-35.00
<u>PRODUCT CATEGORY: STOCKS</u>								
01/12/2018	CONCORDE, VARIOUS	GENERAL CANNABIS CORP COM	7,253.00	0.00	0.00	0.00	100.0	0.00
01/12/2018	CONCORDE, VARIOUS	GENERAL CANNABIS CORP COM	-728.00	0.00	1.00	-1.00	100.0	-1.00
01/12/2018	CONCORDE, VARIOUS	GENERAL CANNABIS CORP COM	-6,525.00	0.00	1.00	-1.00	100.0	-1.00
01/12/2018	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	1,885.50	0.00	1.00	-1.00	100.0	-1.00
01/12/2018	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	1,885.50	0.00	1.00	-1.00	100.0	-1.00
01/12/2018	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	1,885.50	0.00	1.00	-1.00	100.0	-1.00
01/12/2018	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	1,885.50	0.00	1.00	-1.00	100.0	-1.00
01/12/2018	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	1,885.50	0.00	1.00	-1.00	100.0	-1.00
01/12/2018	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	1,885.50	0.00	1.00	-1.00	100.0	-1.00
01/12/2018	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	7,542.32	0.00	1.00	-1.00	100.0	-1.00
01/12/2018	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-18,855.30	0.00	0.00	0.00	100.0	0.00
01/16/2018	CONCORDE, VARIOUS	GROWGENERATION CORP COM	868.00	0.00	1.00	-1.00	100.0	-1.00
01/16/2018	CONCORDE, VARIOUS	GROWGENERATION CORP COM	3,472.00	0.00	1.00	-1.00	100.0	-1.00
01/16/2018	CONCORDE, VARIOUS	GROWGENERATION CORP COM	-4,340.00	0.00	0.00	0.00	100.0	0.00
01/18/2018	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	5,509.72	0.00	13.50	-13.50	100.0	-13.50
01/18/2018	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	-5,509.72	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-154.06	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-1,386.54	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-1,386.54	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-4,354.66	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-816.50	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-4,354.66	0.00	-13.50	13.50	100.0	13.50
01/18/2018	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	8,805.83	0.00	1.00	-1.00	100.0	-1.00
01/18/2018	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	1,553.97	0.00	1.00	-1.00	100.0	-1.00
01/18/2018	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	-10,359.80	0.00	0.00	0.00	100.0	0.00
01/11/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-13,860.33	0.00	1.00	-1.00	100.0	-1.00
01/11/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-2,101.75	0.00	1.00	-1.00	100.0	-1.00
01/11/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-2,520.54	0.00	1.00	-1.00	100.0	-1.00
01/11/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-2,520.36	0.00	1.00	-1.00	100.0	-1.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
01/11/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM	21,003.00	0.00	0.00	0.00	100.0	0.00
01/25/2018	CONCORDE, VARIOUS	NUSTAR ENERGY L P COM UNITS REPSTG	-17,555.20	0.00	0.00	0.00	100.0	0.00
01/25/2018	CONCORDE, VARIOUS	NUSTAR ENERGY L P COM UNITS REPSTG	7,022.08	0.00	1.00	-1.00	100.0	-1.00
01/25/2018	CONCORDE, VARIOUS	NUSTAR ENERGY L P COM UNITS REPSTG	10,533.12	0.00	1.00	-1.00	100.0	-1.00
01/03/2018	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-167.70	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-821.73	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-821.73	0.00	-13.50	13.50	100.0	13.50
01/18/2018	CONCORDE, VARIOUS	POWERSHARES DWA CONSUMER STAPLES MO	5,555.15	0.00	13.50	-13.50	100.0	-13.50
01/18/2018	CONCORDE, VARIOUS	POWERSHARES DWA CONSUMER STAPLES MO	-5,555.15	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-577.13	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-115.43	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-577.13	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-349.02	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-1,745.10	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-1,745.10	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-331.97	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-1,659.83	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-1,659.83	0.00	-13.50	13.50	100.0	13.50
01/23/2018	CONCORDE, VARIOUS	STONEMOR PARTNERS L P COM UNITS	3,361.56	0.00	1.00	-1.00	100.0	-1.00
01/23/2018	CONCORDE, VARIOUS	STONEMOR PARTNERS L P COM UNITS	7,373.57	0.00	1.00	-1.00	100.0	-1.00
01/23/2018	CONCORDE, VARIOUS	STONEMOR PARTNERS L P COM UNITS	-10,735.20	0.00	0.00	0.00	100.0	0.00
01/12/2018	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-9,014.66	0.00	1.00	-1.00	100.0	-1.00
01/12/2018	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-17,774.88	0.00	1.00	-1.00	100.0	-1.00
01/12/2018	CONCORDE, VARIOUS	TIER REIT INC COM NEW	26,789.54	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-575.89	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-575.89	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-82.27	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-11,673.25	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-2,390.24	0.00	-13.50	13.50	100.0	13.50
01/03/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-11,673.25	0.00	-13.50	13.50	100.0	13.50
* STOCKS SUBTOTAL *			\$-49,995.48	\$0.00	\$-301.00	\$301.00		\$301.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
01/24/2018	CONCORDE, VARIOUS	FT UNIT 7094 EQUITY CLOSED END PORT	27,439.93	0.00	17.50	-17.50	100.0	-17.50
01/24/2018	CONCORDE, VARIOUS	FT UNIT 7094 EQUITY CLOSED END PORT	-28,000.02	0.00	17.50	-17.50	100.0	-17.50
01/24/2018	CONCORDE, VARIOUS	FT UNIT 7131 MLP CLOSED END PORTFOL	-28,000.43	0.00	17.50	-17.50	100.0	-17.50
01/24/2018	CONCORDE, VARIOUS	FT UNIT 7131 MLP CLOSED END PORTFOL	27,440.37	0.00	17.50	-17.50	100.0	-17.50
01/24/2018	CONCORDE, VARIOUS	FT UNIT 7157 US EQUITY CLOSED END P	27,447.38	0.00	17.50	-17.50	100.0	-17.50
01/24/2018	CONCORDE, VARIOUS	FT UNIT 7157 US EQUITY CLOSED END P	-28,007.47	0.00	17.50	-17.50	100.0	-17.50
01/24/2018	CONCORDE, VARIOUS	FT UNIT 7194 DIVID & INCOME SELECT	27,442.11	0.00	17.50	-17.50	100.0	-17.50
01/24/2018	CONCORDE, VARIOUS	FT UNIT 7194 DIVID & INCOME SELECT	-28,002.03	0.00	17.50	-17.50	100.0	-17.50
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$-2,240.16	\$0.00	\$140.00	\$-140.00		\$-140.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-56,756.02	\$0.00	\$-126.00	\$126.00		\$126.00

DIRECT TRANSACTIONS**PRODUCT CATEGORY: INTERVAL FUNDS**

01/19/2018	HERNDON, TIMOTHY	BR TOTAL INC & REAL ESTATE FUND A	5,267.71	1.12	0.00	1.12	100.0	1.12
01/19/2018	CICONE, SISENANDA	BR TOTAL INC & REAL ESTATE FUND A	6,781.98	1.44	0.00	1.44	100.0	1.44
01/19/2018	MCGINNIS TRUST	BR TOTAL INC & REAL ESTATE FUND C	34,176.54	7.26	0.00	7.26	100.0	7.26
* INTERVAL FUNDS SUBTOTAL *			\$46,226.23	\$9.82	\$0.00	\$9.82		\$9.82

PRODUCT CATEGORY: 529 PLANS

01/08/2018	ROBINSON, SARAH	AMERICAN FUNDS COLLEGE 2033 FUND-52	250.00	8.75	0.00	8.75	100.0	8.75
* 529 PLANS SUBTOTAL *			\$250.00	\$8.75	\$0.00	\$8.75		\$8.75

PRODUCT CATEGORY: MUTUAL FUNDS

01/16/2018	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
01/16/2018	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
01/09/2018	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.28	0.00	1.28	100.0	1.28
01/10/2018	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	100.0	2.81
01/16/2018	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.13	0.00	1.13	100.0	1.13
01/10/2018	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	100.0	2.81
01/17/2018	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
01/17/2018	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
01/08/2018	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
01/09/2018	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.28	0.00	1.28	100.0	1.28

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	100.0	1.88
01/16/2018	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
01/16/2018	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.13	0.00	1.13	100.0	1.13
01/08/2018	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
01/09/2018	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.28	0.00	1.28	100.0	1.28
01/16/2018	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.13	0.00	1.13	100.0	1.13
01/09/2018	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.28	0.00	1.28	100.0	1.28
01/16/2018	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.13	0.00	1.13	100.0	1.13
01/16/2018	BUGGS, MICHELLE	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	100.0	2.50
01/09/2018	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.28	0.00	1.28	100.0	1.28
01/10/2018	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	100.0	5.00
01/16/2018	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.13	0.00	1.13	100.0	1.13
01/16/2018	KEMP, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	100.0	2.13
* MUTUAL FUNDS SUBTOTAL *			\$1,350.00	\$43.44	\$0.00	\$43.44		\$43.44
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/09/2018	ARREDONDO, JAQUELINE	ING RELIASTAR	600.00	24.00	0.00	24.00	100.0	24.00
01/10/2018	BRYAN, TRICIA	ING RELIASTAR	300.00	12.00	0.00	12.00	100.0	12.00
01/10/2018	CAMPBELL, ERIN	ING RELIASTAR	700.00	36.75	0.00	36.75	100.0	36.75
01/10/2018	COHEN, JOELLE	ING RELIASTAR	150.00	10.13	0.00	10.13	100.0	10.13
01/10/2018	DEMUNDA, ALLYSON	ING RELIASTAR	550.00	22.00	0.00	22.00	100.0	22.00
01/10/2018	FLAXENBURG, SIMON	ING RELIASTAR	500.00	26.25	0.00	26.25	100.0	26.25
01/10/2018	HO, PAULINA	ING RELIASTAR	1,375.00	55.00	0.00	55.00	100.0	55.00
01/10/2018	LUND, VARIOUS	ING RELIASTAR	500.00	20.00	0.00	20.00	100.0	20.00
01/10/2018	LAUER, ALI	ING RELIASTAR	350.00	14.00	0.00	14.00	100.0	14.00
01/10/2018	LEXIUS, ERIC	ING RELIASTAR	500.00	26.25	0.00	26.25	100.0	26.25
01/10/2018	LEXIUS, KARINA	ING RELIASTAR	300.00	15.75	0.00	15.75	100.0	15.75
01/10/2018	LOVELAND, JULIE	ING RELIASTAR	750.00	30.00	0.00	30.00	100.0	30.00
01/10/2018	MARTINEZ, RICHARD	ING RELIASTAR	1,000.00	40.00	0.00	40.00	100.0	40.00
01/10/2018	MOLIK, DANIEL	ING RELIASTAR	500.00	26.25	0.00	26.25	100.0	26.25
01/10/2018	MOLIK, REBECA	ING RELIASTAR	500.00	20.00	0.00	20.00	100.0	20.00
01/10/2018	MORGAN, MICHAEL	ING RELIASTAR	300.00	15.75	0.00	15.75	100.0	15.75
01/10/2018	MORROW, DANIEL	ING RELIASTAR	200.00	8.00	0.00	8.00	100.0	8.00
01/10/2018	PAGE, ANDREA	ING RELIASTAR	100.00	4.00	0.00	4.00	100.0	4.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/10/2018	LUND, VARIOUS	ING RELIASTAR	200.00	10.50	0.00	10.50	100.0	10.50
01/10/2018	RUNNELS, JANICE	ING RELIASTAR	400.00	16.00	0.00	16.00	100.0	16.00
01/10/2018	LUND, VARIOUS	ING RELIASTAR	535.00	28.09	0.00	28.09	100.0	28.09
01/10/2018	TAM, YIM	ING RELIASTAR	500.00	20.00	0.00	20.00	100.0	20.00
01/10/2018	WARD, TIFFANY	ING RELIASTAR	400.00	16.00	0.00	16.00	100.0	16.00
01/11/2018	JOHNSON, DAVID	ING RELIASTAR	200.00	8.00	0.00	8.00	100.0	8.00
01/02/2018	AUFFANT, KATHERINE	ADV CENTURY	500.00	20.00	0.00	20.00	100.0	20.00
01/02/2018	CORUGEDO, JUAN	ADV CENTURY	400.00	21.00	0.00	21.00	100.0	21.00
01/02/2018	GAR, LEE ANNE	ADV CENTURY	200.00	10.50	0.00	10.50	100.0	10.50
01/02/2018	STARK, TONI	ADV CENTURY	2,000.00	105.00	0.00	105.00	100.0	105.00
01/16/2018	BODNAR, AMY	ADV CENTURY	650.00	26.00	0.00	26.00	100.0	26.00
01/16/2018	CORUGEDO, JENNIFER	ADV CENTURY	300.00	15.75	0.00	15.75	100.0	15.75
01/16/2018	ROBERTSON, JEFFERY	ADV CENTURY	1,000.00	40.00	0.00	40.00	100.0	40.00
01/16/2018	TEANEY, GRETCHEN	ADV CENTURY	687.00	27.48	0.00	27.48	100.0	27.48
01/17/2018	DUB, DAWN	ADV CENTURY	200.00	10.50	0.00	10.50	100.0	10.50
01/17/2018	MORALES, CHRISTINE	ADV CENTURY	500.00	26.25	0.00	26.25	100.0	26.25
01/16/2018	FORMELLA, ANNETTE	GENWORTH INS	25.00	0.25	0.00	0.25	100.0	0.25
01/16/2018	FORMELLA, DAVID	GENWORTH INS	35.00	0.35	0.00	0.35	100.0	0.35
* VARIABLE ANNUITIES SUBTOTAL *			\$17,907.00	\$807.80	\$0.00	\$807.80		\$807.80
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
01/02/2018	KENNARD, DEAN	PAC LIFE VUL	223.83	4.48	0.00	4.48	100.0	4.48
01/02/2018	PAI, ANITHA	PAC LIFE VUL	138.17	2.76	0.00	2.76	100.0	2.76
01/02/2018	PAI, ANITHA	PAC LIFE VUL	86.83	1.74	0.00	1.74	100.0	1.74
01/03/2018	GOVE, VARIOUS	PAC LIFE VUL	13.20	0.13	0.00	0.13	100.0	0.13
01/03/2018	GOVE, VARIOUS	PAC LIFE VUL	286.80	2.87	0.00	2.87	100.0	2.87
01/03/2018	GOVE, VARIOUS	PAC LIFE VUL	11,873.22	5.94	0.00	5.94	100.0	5.94
01/05/2018	GOVE, VARIOUS	PAC LIFE VUL	3,364.24	1.68	0.00	1.68	100.0	1.68
01/09/2018	PAI, YOGISH & ANITA	PAC LIFE VUL	700.00	14.00	0.00	14.00	100.0	14.00
01/12/2018	GOVE, VARIOUS	PAC LIFE VUL	100.00	1.00	0.00	1.00	100.0	1.00
01/16/2018	GOVE, VARIOUS	PAC LIFE VUL	60.00	0.60	0.00	0.60	100.0	0.60
01/16/2018	GOVE, VARIOUS	PAC LIFE VUL	100.00	1.00	0.00	1.00	100.0	1.00
01/16/2018	GOVE, VARIOUS	PAC LIFE VUL	50.00	0.25	0.00	0.25	100.0	0.25
01/16/2018	GOVE, VARIOUS	PAC LIFE VUL	60.00	0.30	0.00	0.30	100.0	0.30

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
01/16/2018	GOVE, VARIOUS	PAC LIFE VUL	50.00	0.50	0.00	0.50	100.0	0.50
01/17/2018	GOVE, VARIOUS	PAC LIFE VUL	50.00	0.50	0.00	0.50	100.0	0.50
01/18/2018	GOVE, VARIOUS	PAC LIFE VUL	50.00	0.50	0.00	0.50	100.0	0.50
01/19/2018	GOVE, VARIOUS	PAC LIFE VUL	63.00	0.63	0.00	0.63	100.0	0.63
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$17,269.29	\$38.88	\$0.00	\$38.88		\$38.88
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/11/2017	WIDENER, SUE	AMERICAN FUNDS	0.00	22.31	0.00	22.31	100.0	22.31
12/11/2017	KNOX, JEFFRY	AMERICAN FUNDS	0.00	141.68	0.00	141.68	100.0	141.68
12/11/2017	GIBBS, SHASHA	AMERICAN FUNDS	0.00	20.42	0.00	20.42	100.0	20.42
12/11/2017	KACZOR, LISA	AMERICAN FUNDS	0.00	6.26	0.00	6.26	100.0	6.26
12/11/2017	GIBBS, SHASHA	AMERICAN FUNDS	0.00	19.46	0.00	19.46	100.0	19.46
12/11/2017	WIDENER, SUE	AMERICAN FUNDS	0.00	25.12	0.00	25.12	100.0	25.12
01/02/2018	TSEREGOUNIS, SPYROS	DAVIS GOVERNMENT BOND A	0.00	19.23	0.00	19.23	100.0	19.23
01/02/2018	KATEHI, PISTI	DAVIS GOVERNMENT BOND A	0.00	8.22	0.00	8.22	100.0	8.22
01/02/2018	WERNER, DOROTHY	DAVIS GOVERNMENT BOND A	0.00	33.71	0.00	33.71	100.0	33.71
01/02/2018	LEWIS, JOAN	DAVIS GOVERNMENT BOND A	0.00	1.45	0.00	1.45	100.0	1.45
01/02/2018	KNOCH JR, WALTER	DAVIS GOVERNMENT MONEY MARKET A	0.00	0.00	0.00	0.00	100.0	0.00
01/02/2018	OLWEEAN UGMA-MI, SARA	DAVIS GOVERNMENT MONEY MARKET A	0.00	0.00	0.00	0.00	100.0	0.00
01/02/2018	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	176.18	0.00	176.18	100.0	176.18
01/02/2018	COCKRILL, JUDITH	DAVIS NEW YORK VENTURE A	0.00	32.60	0.00	32.60	100.0	32.60
01/02/2018	POLANT, LOUIS	DAVIS NEW YORK VENTURE A	0.00	23.22	0.00	23.22	100.0	23.22
01/02/2018	GARCIA, ADELA	DAVIS NEW YORK VENTURE A	0.00	15.36	0.00	15.36	100.0	15.36
01/02/2018	TSEREGOUNIS, SPYROS	DAVIS OPPORTUNITY A	0.00	25.61	0.00	25.61	100.0	25.61
12/31/2017	KLEMP, DANIAL	DELAWARE VALUE A	0.00	8.11	0.00	8.11	100.0	8.11
12/31/2017	SIDLOROBEG	DELAWARE VALUE A	0.00	35.23	0.00	35.23	100.0	35.23
01/16/2018	PILLAI, VADIVEL	JH FINANCIAL INDUSTRIES A	0.00	1.37	0.00	1.37	100.0	1.37
01/16/2018	KASSA, GETACHEW FOLY	JH FINANCIAL INDUSTRIES A	0.00	0.82	0.00	0.82	100.0	0.82
01/16/2018	HABTE, BISLAT	JH FINANCIAL INDUSTRIES A	0.00	3.86	0.00	3.86	100.0	3.86
01/16/2018	SCHMIDT, MICHAEL	JH GOVERNMENT INCOME A	0.00	6.89	0.00	6.89	100.0	6.89
01/16/2018	KABTYIMER, BETELEHEM	JH REGIONAL BANK A	0.00	3.48	0.00	3.48	100.0	3.48
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$630.59	\$0.00	\$630.59		\$630.59

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
12/31/2017	NTC & CO	ING EMPIRE INNOVATIONS STD OPT A	146,883.69	367.21	0.00	367.21	100.0	367.21
01/02/2018	NTC & CO	ING EMPIRE INNOVATIONS STD OPT A	115,097.65	287.74	0.00	287.74	100.0	287.74
12/28/2017	LIGON, NANCY	ING LANDMARK	0.00	133.12	0.00	133.12	100.0	133.12
01/01/2018	RADDEN, JACOB	MFS AGGRESS GROWTH ALLOCATION 529C	0.00	30.16	0.00	30.16	100.0	30.16
12/31/2017	GOODING, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	13.25	0.00	13.25	100.0	13.25
01/12/2018	DURZO, ROSINA	PAC LIFE INNOVATIVE SELECT	0.00	73.07	0.00	73.07	100.0	73.07
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$261,981.34	\$904.55	\$0.00	\$904.55		\$904.55
*** DIRECT TRANSACTIONS TOTAL ***			\$344,983.86	\$2,443.83	\$0.00	\$2,443.83		\$2,443.83

VERRIDE TRANSACTIONS**BROKER: BARTHOLOMEW MACKLER #10**

01/18/2018	HARRISON, DAVID	AM FUNDS BOND FUND OF AMERICA 529A	50.00	1.00	0.00	1.00	0.0	1.00
01/16/2018	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	50.00	1.00	0.00	1.00	0.0	1.00
01/19/2018	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	50.00	1.00	0.00	1.00	0.0	1.00
01/16/2018	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	0.0	1.00
01/18/2018	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	0.0	1.00
01/18/2018	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.00	0.00	2.00	0.0	2.00
01/18/2018	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	0.0	1.00
01/19/2018	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	0.0	1.00
01/18/2018	HARRISON, SHARON	AM FUNDS NEW PERSPECTIVE 529A	50.00	1.00	0.00	1.00	0.0	1.00
01/18/2018	HARRISON, DAVID	AM FUNDS NEW PERSPECTIVE 529A	50.00	1.00	0.00	1.00	0.0	1.00
/ /	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	3.00	0.00	3.00	0.0	3.00
01/16/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	0.70	0.00	0.70	0.0	0.70
01/16/2018	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
01/16/2018	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
01/16/2018	MACUCH, PAUL	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
01/16/2018	DORAN, PATRICK	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
01/16/2018	LORA, MARCOS	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
01/16/2018	LORA, CATALINA	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
01/16/2018	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
01/16/2018	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAMES PANOS #10</u>								
01/16/2018	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
01/16/2018	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
01/16/2018	LORA, ROLANDO	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
01/16/2018	MACUCH, PAUL	FA 529 PORT 2028 C	100.00	1.00	0.00	1.00	0.0	1.00
01/16/2018	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	200.00	10.00	0.00	10.00	0.0	10.00
01/04/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	37.50	1.88	0.00	1.88	0.0	1.88
01/04/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	37.50	1.88	0.00	1.88	0.0	1.88
01/04/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	31.25	1.56	0.00	1.56	0.0	1.56
01/04/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	31.25	1.56	0.00	1.56	0.0	1.56
* DANIEL COLLINS SUBTOTAL *			\$2,177.50	\$44.48	\$0.00	\$44.48		\$44.48
<u>BROKER: DANIEL WOLF #11</u>								
01/01/2018	SAVELA, RONALD	INVESCO ENERGY FUND A	0.00	0.89	0.00	0.89	0.0	0.89
01/24/2018	CARIGNAN, NANCY	MML CM LIFE VUL	57,895.33	7.24	0.00	7.24	0.0	7.24
* DANIEL WOLF SUBTOTAL *			\$57,895.33	\$8.13	\$0.00	\$8.13		\$8.13
<u>BROKER: EDWARD MCMANUS #25</u>								
01/02/2018	COLVARD, DAVID	PUTNAM EUROPE EQUITY A	0.00	0.27	0.00	0.27	0.0	0.27
01/02/2018	COLVARD, DAVID	PUTNAM GLOBAL EQUITY A	0.00	0.05	0.00	0.05	0.0	0.05
01/02/2018	COLVARD, DAVID	PUTNAM INTERNATIONAL EQUITY-A	0.00	0.18	0.00	0.18	0.0	0.18
01/02/2018	COLVARD, DAVID	PUTNAM MULTI CAP GROWTH A	0.00	0.48	0.00	0.48	0.0	0.48
* EDWARD MCMANUS SUBTOTAL *			\$0.00	\$0.98	\$0.00	\$0.98		\$0.98
<u>BROKER: ERIC HORNYAK #29</u>								
/ /	CROSSFIRE GROUP Q3AM	AM CENTURY HERITAGE	0.00	0.04	0.00	0.04	0.0	0.04
* ERIC HORNYAK SUBTOTAL *			\$0.00	\$0.04	\$0.00	\$0.04		\$0.04
<u>BROKER: THOMAS BOAKS #33</u>								
01/04/2018	HAYES, REBECCA	ALGER CAPITAL APPRECIATION A	0.00	0.20	0.00	0.20	0.0	0.20
01/04/2018	MARTIN, DAVID	ALGER CAPITAL APPRECIATION A	0.00	0.42	0.00	0.42	0.0	0.42
01/04/2018	HAYES, REBECCA	ALGER GROWTH & INCOME A	0.00	0.15	0.00	0.15	0.0	0.15
01/04/2018	HAYES, REBECCA	ALGER LARGECAP GROWTH A	0.00	0.08	0.00	0.08	0.0	0.08
01/04/2018	MARTIN, DAVID	ALGER LARGECAP GROWTH A	0.00	0.18	0.00	0.18	0.0	0.18
01/04/2018	HAYES, REBECCA	ALGER MIDCAP GROWTH A	0.00	0.14	0.00	0.14	0.0	0.14
01/04/2018	MARTIN, DAVID	ALGER MIDCAP GROWTH A	0.00	0.06	0.00	0.06	0.0	0.06

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: THOMAS BOAKS #33</u>								
01/04/2018	MARTIN, DAVID	ALGER SMALLCAP GROWTH A	0.00	0.24	0.00	0.24	0.0	0.24
01/02/2018	BOAKS, CHRISTOPHER	DAVIS NEW YORK VENTURE A	0.00	0.47	0.00	0.47	0.0	0.47
12/29/2017	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
01/02/2018	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	0.00	28.95	0.00	28.95	0.0	28.95
01/02/2018	KANDT, TRACY	DAVIS NEW YORK VENTURE C	0.00	94.37	0.00	94.37	0.0	94.37
01/02/2018	BURESS, KELLY	DAVIS NEW YORK VENTURE C	0.00	6.46	0.00	6.46	0.0	6.46
01/02/2018	HEAD, JOHN	DAVIS NEW YORK VENTURE C	0.00	8.07	0.00	8.07	0.0	8.07
01/16/2018	BORDERS, BARBARA	DWSCUDDER DEUTSCHE GNMA FD A	0.00	2.20	0.00	2.20	0.0	2.20
12/29/2017	PUDIL, HSIU CHIN	GENWORTH TRAILS	0.00	0.08	0.00	0.08	0.0	0.08
12/29/2017	LASALLE, BARBARA	GENWORTH TRAILS	0.00	290.13	0.00	290.13	0.0	290.13
12/29/2017	GEORGE, CAROLE	GENWORTH TRAILS	0.00	131.24	0.00	131.24	0.0	131.24
01/01/2018	ROSS JT TEN, LAWRENCE	INVESCO US GOVT A	0.00	5.42	0.00	5.42	0.0	5.42
* THOMAS BOAKS SUBTOTAL *			\$30.00	\$569.16	\$0.00	\$569.16		\$569.16
<u>BROKER: BARTHOLOMEW MACKLER #35</u>								
01/07/2018	LAW, KEVIN	ALLIANZ FIXED INDEXED ANNUITY	0.00	112.13	0.00	112.13	0.0	112.13
01/08/2018	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	5.00	0.00	5.00	0.0	5.00
01/16/2018	MARSH, KEITH	AM FUNDS NEW ECONOMY A	200.00	7.50	0.00	7.50	0.0	7.50
01/13/2018	SANDERS, OLIVER	AM GEN POLARIS CHOICE III	59,268.53	148.17	0.00	148.17	0.0	148.17
01/02/2018	FOXWORTH, ROBERT	BRIGHTHOUSE	82,515.19	206.29	0.00	206.29	0.0	206.29
01/04/2018	MUNACO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	19.72	0.00	19.72	0.0	19.72
01/04/2018	MARSH, JANICE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	8.68	0.00	8.68	0.0	8.68
01/04/2018	MARSH/SEP, GARY	COLUMBIA SELIGMAN COMM AND INFO A	0.00	8.62	0.00	8.62	0.0	8.62
01/02/2018	MORALES, KARALEE	DAVIS APPRECIATION AND INCOME A	0.00	4.50	0.00	4.50	0.0	4.50
01/02/2018	DRAPER, MARK	DAVIS APPRECIATION AND INCOME A	0.00	13.39	0.00	13.39	0.0	13.39
01/02/2018	MUNACO, FRANK	DAVIS FINANCIAL A	0.00	11.21	0.00	11.21	0.0	11.21
01/02/2018	NICHOLS, THOMAS	DAVIS GOVERNMENT BOND A	0.00	3.70	0.00	3.70	0.0	3.70
01/02/2018	FARMERS MKT INC	DAVIS NEW YORK VENTURE A	0.00	2.87	0.00	2.87	0.0	2.87
01/02/2018	MUNACO, FRANK	DAVIS NEW YORK VENTURE A	0.00	21.17	0.00	21.17	0.0	21.17
01/02/2018	ELIAS, JOHN	DAVIS NEW YORK VENTURE A	0.00	1.52	0.00	1.52	0.0	1.52
01/02/2018	RADATZ, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	7.47	0.00	7.47	0.0	7.47
01/02/2018	KULHANEK, MICHAEL	DAVIS NEW YORK VENTURE A	0.00	0.93	0.00	0.93	0.0	0.93
01/02/2018	MORALES, KARALEE	DAVIS NEW YORK VENTURE A	0.00	4.67	0.00	4.67	0.0	4.67
01/02/2018	DRAPER, MARK	DAVIS NEW YORK VENTURE A	0.00	16.08	0.00	16.08	0.0	16.08

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: BARTHOLOMEW MACKLER #35</u>								
01/02/2018	NICHOLS, THOMAS	DAVIS NEW YORK VENTURE B	0.00	9.19	0.00	9.19	0.0	9.19
01/02/2018	MUNACO, FRANK	DAVIS OPPORTUNITY A	0.00	15.58	0.00	15.58	0.0	15.58
01/02/2018	NICHOLS, THOMAS	DAVIS OPPORTUNITY A	0.00	9.73	0.00	9.73	0.0	9.73
01/16/2018	TUMINO TRUST, RAPHAEL	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	14.52	0.00	14.52	0.0	14.52
01/16/2018	HOCKADAY, DAVID	DWS DEUTSCHE HIGH INCOME FUND A	0.00	22.06	0.00	22.06	0.0	22.06
01/16/2018	MORRISON TRUST, PHYLLIS	DWS DEUTSCHE HIGH INCOME FUND A	0.00	5.97	0.00	5.97	0.0	5.97
01/16/2018	CHATTULANI, DIANA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	15.86	0.00	15.86	0.0	15.86
01/16/2018	SCHERER, DANA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	6.39	0.00	6.39	0.0	6.39
01/16/2018	SZOCINSKI, CASIMER	DWS DEUTSCHE HIGH INCOME FUND A	0.00	2.67	0.00	2.67	0.0	2.67
01/16/2018	HOCKADAY, DAVID	DWS DEUTSCHE INTERNATIONAL FUND-A	0.00	12.02	0.00	12.02	0.0	12.02
01/01/2018	MARKEL, DENNIS	MFS GROWTH A	0.00	23.24	0.00	23.24	0.0	23.24
01/16/2018	SNYDER, MICHAEL C	PAC LIFE VUL	65.00	0.65	0.00	0.65	0.0	0.65
01/02/2018	SCHNARS, MICHAEL	PUTNAM AMERICAN GOVT INCOME-A	0.00	1.49	0.00	1.49	0.0	1.49
01/02/2018	SCHNARS, MICHAEL	PUTNAM CONV SECURITIES A	0.00	6.40	0.00	6.40	0.0	6.40
01/02/2018	SCHNARS, MICHAEL	PUTNAM EUROPE EQUITY A	0.00	1.36	0.00	1.36	0.0	1.36
01/02/2018	SCHNARS, MICHAEL	PUTNAM GLOBAL EQUITY A	0.00	8.08	0.00	8.08	0.0	8.08
01/02/2018	SCHNARS, MICHAEL	PUTNAM GLOBAL HEALTH CARE A	0.00	2.30	0.00	2.30	0.0	2.30
01/02/2018	SCHNARS, MICHAEL	PUTNAM GROWTH OPP A	0.00	1.62	0.00	1.62	0.0	1.62
01/02/2018	SCHNARS, MICHAEL	PUTNAM INTL CAPITAL OPPS-A	0.00	2.79	0.00	2.79	0.0	2.79
01/02/2018	SCHNARS, MICHAEL	PUTNAM INVESTORS A	0.00	0.98	0.00	0.98	0.0	0.98
01/02/2018	SCHNARS, MICHAEL	PUTNAM MULTI CAP GROWTH A	0.00	2.07	0.00	2.07	0.0	2.07
01/03/2018	MACKLER, PATRICK	TRANSAMERICA AA MODERATE A	0.00	1.18	0.00	1.18	0.0	1.18
01/03/2018	TERRELL, KATHLEEN	TRANSAMERICA AA MODERATE C	0.00	9.70	0.00	9.70	0.0	9.70
01/03/2018	KRYSTOFF, LAURA	TRANSAMERICA AA MODERATE C	0.00	31.55	0.00	31.55	0.0	31.55
01/03/2018	MACKLER, PATRICK	TRANSAMERICA MULTI MGR INTL A	0.00	1.01	0.00	1.01	0.0	1.01
* BARTHOLOMEW MACKLER SUBTOTAL *			\$142,148.72	\$812.03	\$0.00	\$812.03		\$812.03
<u>BROKER: TIMOTHY BROWN #36</u>								
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	3.13	0.00	3.13	0.0	3.13
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	9.40	0.00	9.40	0.0	9.40
* TIMOTHY BROWN SUBTOTAL *			\$0.00	\$12.53	\$0.00	\$12.53		\$12.53

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: BRUCE BAXTER #38 </u>								
01/01/2018	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	0.00	16.24	0.00	16.24	0.0	16.24
01/01/2018	BAXTER-SAVAGE, MEGAN	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	45.08	0.00	45.08	0.0	45.08
01/01/2018	BRENNEMAN, W JOHN	MFS GROWTH A	0.00	7.29	0.00	7.29	0.0	7.29
01/01/2018	WARTHMAN, JODY	MFS GROWTH A	0.00	0.92	0.00	0.92	0.0	0.92
01/01/2018	BAXTER, RYAN	MFS GROWTH ALLOCATION A	0.00	5.59	0.00	5.59	0.0	5.59
01/01/2018	BAXTER, SARAH	MFS GROWTH ALLOCATION A	0.00	5.89	0.00	5.89	0.0	5.89
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.12	0.00	0.12	0.0	0.12
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	8.52	0.00	8.52	0.0	8.52
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	25.57	0.00	25.57	0.0	25.57
* BRUCE BAXTER SUBTOTAL *			\$0.00	\$115.34	\$0.00	\$115.34		\$115.34
<u> BROKER: MELANIE DICENSO #43 </u>								
01/16/2018	VANDERKHOVE, HANNAH	DWS DEUTSCHE EQUITY DIVIDEND FUND-A	0.00	0.52	0.00	0.52	0.0	0.52
01/16/2018	NASH, SUZANNE	DWS GLOBAL INCOME BUILDER A	0.00	0.05	0.00	0.05	0.0	0.05
01/02/2018	JOSEPH, CATHERINE	PUTNAM EUROPE EQUITY A	0.00	0.10	0.00	0.10	0.0	0.10
01/02/2018	JOSEPH, CATHERINE	PUTNAM GROWTH OPP A	0.00	0.37	0.00	0.37	0.0	0.37
01/02/2018	JOSEPH, CATHERINE	PUTNAM INTERNATIONAL EQUITY-A	0.00	0.17	0.00	0.17	0.0	0.17
01/02/2018	WRIGHT, ANGELA	PUTNAM MULTI CAP GROWTH A	0.00	21.99	0.00	21.99	0.0	21.99
01/02/2018	JOSEPH, CATHERINE	PUTNAM MULTI CAP GROWTH A	0.00	0.05	0.00	0.05	0.0	0.05
01/02/2018	WRIGHT, ANGELA	PUTNAM MULTI-CAP VALUE A	0.00	4.13	0.00	4.13	0.0	4.13
* MELANIE DICENSO SUBTOTAL *			\$0.00	\$27.38	\$0.00	\$27.38		\$27.38
<u> BROKER: JOHN BEAN #45 </u>								
01/04/2018	GOOD HOPE LUTHERAN	PIMCO LOW DURATION CLASS A	0.00	20.69	0.00	20.69	0.0	20.69
01/04/2018	STUMP, DAVID	PIMCO TOTAL RETURN D	0.00	1.40	0.00	1.40	0.0	1.40
01/04/2018	HENRY, REBECCA	PIMCO TOTAL RETURN D	0.00	1.25	0.00	1.25	0.0	1.25
* JOHN BEAN SUBTOTAL *			\$0.00	\$23.34	\$0.00	\$23.34		\$23.34

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ROBERT (RUSTY) TWEED #58</u>								
01/04/2018	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	2.73	0.00	2.73	0.0	2.73
01/04/2018	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.89	0.00	0.89	0.0	0.89
01/04/2018	UMBRELLO, ALBERT	COLUMBIA LARGECAP GROWTH A	0.00	7.20	0.00	7.20	0.0	7.20
01/04/2018	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.07	0.00	6.07	0.0	6.07
01/01/2018	DESIANO, ELIZABETH	INVESCO AMERICAN FRANCHISE A	0.00	6.36	0.00	6.36	0.0	6.36
01/01/2018	DESIANO, ELIZABETH	INVESCO CHARTER FUND A	0.00	6.08	0.00	6.08	0.0	6.08
01/01/2018	MCCUTCHAN, BARBARA	INVESCO MONEY MARKET C	0.00	3.28	0.00	3.28	0.0	3.28
01/01/2018	MCCUTCHAN, BARBARA	INVESCO SMALL CAP EQUITY FUND	0.00	18.01	0.00	18.01	0.0	18.01
01/01/2018	DESIANO, ELIZABETH	INVESCO VALUE OPP A	0.00	5.96	0.00	5.96	0.0	5.96
01/16/2018	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	2.32	0.00	2.32	0.0	2.32
01/16/2018	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	2.30	0.00	2.30	0.0	2.30
01/16/2018	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.11	0.00	1.11	0.0	1.11
01/16/2018	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.82	0.00	1.82	0.0	1.82
01/16/2018	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	2.20	0.00	2.20	0.0	2.20
01/03/2018	ENGSTROM, NOAH	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	4.83	0.00	4.83	0.0	4.83
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$0.00	\$71.16	\$0.00	\$71.16		\$71.16
<u>BROKER: JIM LUND #60</u>								
01/16/2018	WURLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
01/05/2018	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	4.00
01/02/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
01/17/2018	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	1.20
01/10/2018	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	9.50
01/11/2018	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	247.50	11.76	0.00	11.76	0.0	11.76
01/11/2018	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	82.50	3.92	0.00	3.92	0.0	3.92
* JIM LUND SUBTOTAL *			\$1,350.00	\$36.38	\$0.00	\$36.38		\$36.38
<u>BROKER: JAMES PANOS #61</u>								
01/02/2018	BROPHY, CHARLES	BRIGHTHOUSE	399,616.06	999.04	0.00	999.04	0.0	999.04
01/02/2018	YFANTOPULOS, GEORGE	BRIGHTHOUSE	259,516.00	648.79	0.00	648.79	0.0	648.79
01/02/2018	KAVANAUGH, MARJORIE	BRIGHTHOUSE	53,840.25	134.60	0.00	134.60	0.0	134.60
01/02/2018	BROPHY, VIRGINIA	BRIGHTHOUSE	158,354.90	395.89	0.00	395.89	0.0	395.89
01/02/2018	KAVANAUGH, MARJORIE	BRIGHTHOUSE	60,661.71	151.65	0.00	151.65	0.0	151.65
01/02/2018	CHIARELLA, LAWRENCE	BRIGHTHOUSE	292,620.31	731.55	0.00	731.55	0.0	731.55

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAMES PANOS #61</u>								
01/02/2018	MONAGHAN, THOMAS	BRIGHTHOUSE	132,657.65	331.64	0.00	331.64	0.0	331.64
01/02/2018	VITUKEVICH, MICHAEL	BRIGHTHOUSE	176,593.51	441.48	0.00	441.48	0.0	441.48
* JAMES PANOS SUBTOTAL *			\$1,533,860.39	\$3,834.64	\$0.00	\$3,834.64		\$3,834.64
<u>BROKER: RENE CASTRO #92</u>								
01/06/2018	PIRIO, PAUL	ING PREMIUM PLUS	149,319.47	93.32	0.00	93.32	0.0	93.32
01/16/2018	BUSTOS, ARMANDO	JH HIGH YIELD A	0.00	2.38	0.00	2.38	0.0	2.38
01/16/2018	BUSTOS, ARMANDO	JH STRATEGIC INCOME A	0.00	9.32	0.00	9.32	0.0	9.32
* RENE CASTRO SUBTOTAL *			\$149,319.47	\$105.02	\$0.00	\$105.02		\$105.02
<u>BROKER: JAMES AULENTI #101</u>								
01/08/2018	TEODORESCU, ANTON	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	82.90	0.00	82.90	0.0	82.90
* JAMES AULENTI SUBTOTAL *			\$0.00	\$82.90	\$0.00	\$82.90		\$82.90
<u>BROKER: DANIEL COLLINS #106</u>								
01/07/2018	CHANDLER, MARY	ALLIANZ FIXED INDEXED ANNUITY	0.00	149.16	0.00	149.16	0.0	149.16
01/02/2018	WILLIAMS, JESSIE	BRIGHTHOUSE	226,732.62	566.83	0.00	566.83	0.0	566.83
01/02/2018	PETITT, WILLIAM	BRIGHTHOUSE	33,406.03	83.52	0.00	83.52	0.0	83.52
01/02/2018	COOPER, CHARLES	BRIGHTHOUSE	47,863.20	119.66	0.00	119.66	0.0	119.66
01/02/2018	DAUBERT, D	BRIGHTHOUSE	20,958.74	52.40	0.00	52.40	0.0	52.40
01/04/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	37.50	1.88	0.00	1.88	0.0	1.88
01/04/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	37.50	1.88	0.00	1.88	0.0	1.88
01/04/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	31.25	1.56	0.00	1.56	0.0	1.56
01/04/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	31.25	1.56	0.00	1.56	0.0	1.56
01/04/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	37.50	1.88	0.00	1.88	0.0	1.88
01/04/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	37.50	1.88	0.00	1.88	0.0	1.88
01/04/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	31.25	1.56	0.00	1.56	0.0	1.56
01/04/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	31.25	1.56	0.00	1.56	0.0	1.56
01/04/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	37.50	1.88	0.00	1.88	0.0	1.88
01/04/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	37.50	1.88	0.00	1.88	0.0	1.88
01/04/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	31.25	1.56	0.00	1.56	0.0	1.56
01/04/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	31.25	1.56	0.00	1.56	0.0	1.56
01/16/2018	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES A	0.00	1.93	0.00	1.93	0.0	1.93
01/16/2018	EVANSUSA, DENISE	JH LARGE CAP EQUITY A	0.00	3.04	0.00	3.04	0.0	3.04
01/16/2018	EVANSUSA, DENISE	JH NEW OPPORTUNITIES A	0.00	2.10	0.00	2.10	0.0	2.10

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: DANIEL COLLINS #106</u>								
01/16/2018	EVANSUSA, DENISE	JH STRATEGIC GROWTH A	0.00	2.22	0.00	2.22	0.0	2.22
* DANIEL COLLINS SUBTOTAL *			\$329,373.09	\$1,001.50	\$0.00	\$1,001.50		\$1,001.50
<u>BROKER: JAY MCCLELLAN #120</u>								
01/07/2018	OVERHOLSER, DONALD	ALLIANZ FIXED INDEXED ANNUITY	0.00	133.64	0.00	133.64	0.0	133.64
01/04/2018	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	3.31	0.00	3.31	0.0	3.31
01/16/2018	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	2.50	0.00	2.50	0.0	2.50
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.90	0.00	2.90	0.0	2.90
01/01/2018	DICKEY, CYNTHIA	INVESCO CHARTER FUND A	0.00	5.73	0.00	5.73	0.0	5.73
01/01/2018	ZEHRINGER, SHARON	INVESCO DEVELOPING MARKETS A	0.00	23.81	0.00	23.81	0.0	23.81
01/01/2018	ZEHRINGER, JAMES	INVESCO DEVELOPING MARKETS A	0.00	21.32	0.00	21.32	0.0	21.32
01/01/2018	ZEHRINGER, SHARON	INVESCO DEVELOPING MARKETS A	0.00	19.24	0.00	19.24	0.0	19.24
01/01/2018	ZEHRINGER, CJAMES	INVESCO DEVELOPING MARKETS A	0.00	19.31	0.00	19.31	0.0	19.31
01/01/2018	ZEHRINGER, JAMES	INVESCO DEVELOPING MARKETS A	0.00	1.27	0.00	1.27	0.0	1.27
01/01/2018	ZEHRINGER TRUST	INVESCO TECHNOLOGY A	0.00	0.70	0.00	0.70	0.0	0.70
01/08/2018	CRABTREE, GARY	MET LIFE 10922P124	7,995.75	1.66	0.00	1.66	0.0	1.66
01/08/2018	CRABTREE, GARY	MET LIFE 10922P124	11,273.17	2.34	0.00	2.34	0.0	2.34
01/08/2018	CRABTREE, GARY	MET LIFE 10922P124	6,752.22	1.40	0.00	1.40	0.0	1.40
01/08/2018	CRABTREE, GARY	MET LIFE 10922P124	8,272.35	1.72	0.00	1.72	0.0	1.72
01/08/2018	CRABTREE, GARY	MET LIFE 10922P124	36,484.17	7.59	0.00	7.59	0.0	7.59
01/08/2018	CRABTREE, GARY	MET LIFE 10922P124	9,027.21	1.88	0.00	1.88	0.0	1.88
12/31/2017	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	21,461.09	4.56	0.00	4.56	0.0	4.56
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.64	0.00	1.64	0.0	1.64
01/03/2018	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	12.82	0.00	12.82	0.0	12.82
01/03/2018	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	7.82	0.00	7.82	0.0	7.82
* JAY MCCLELLAN SUBTOTAL *			\$101,315.96	\$277.16	\$0.00	\$277.16		\$277.16
<u>BROKER: TIMOTHY KULHANEK #145</u>								
01/10/2018	GOVE, VARIOUS	AMERITAS MEDLEY	25,698.09	16.06	0.00	16.06	0.0	16.06
01/05/2018	BEBENSEE, CHELSIE	PRINCIPAL FUNDS SAM BALANCED PORT A	150.00	7.13	0.00	7.13	0.0	7.13
* TIMOTHY KULHANEK SUBTOTAL *			\$25,848.09	\$23.19	\$0.00	\$23.19		\$23.19

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CHARLIE ARRINGTON #174</u>								
01/01/2018	NTC	INVESCO AMERICAN FRANCHISE A	0.00	19.25	0.00	19.25	0.0	19.25
01/01/2018	MURASZENSKI, LAWRENCE	INVESCO AMERICAN VALUE A	0.00	9.69	0.00	9.69	0.0	9.69
01/01/2018	MATHEIS LIV TRUST, BERNICE	INVESCO ENERGY FUND A	0.00	2.47	0.00	2.47	0.0	2.47
01/01/2018	MURASZENSKI, LAWRENCE	INVESCO ENERGY FUND A	0.00	5.29	0.00	5.29	0.0	5.29
01/01/2018	MURASZENSKI, LAWRENCE	INVESCO INTERNATIONAL ALLOCATION A	0.00	3.87	0.00	3.87	0.0	3.87
01/01/2018	MURASZENSKI, LAWRENCE	INVESCO INTERNATIONAL TOTAL RETURN	0.00	3.04	0.00	3.04	0.0	3.04
01/01/2018	MURASZENSKI, LAWRENCE	INVESCO US GOVT A	0.00	8.78	0.00	8.78	0.0	8.78
* CHARLIE ARRINGTON SUBTOTAL *			\$0.00	\$52.39	\$0.00	\$52.39		\$52.39
<u>BROKER: BRUCE CARNICK #175</u>								
01/02/2018	VANGORDER, MARY	DAVIS NEW YORK VENTURE A	0.00	95.07	0.00	95.07	0.0	95.07
01/02/2018	WOLAK, LORRAINE	DAVIS NEW YORK VENTURE A	0.00	52.94	0.00	52.94	0.0	52.94
* BRUCE CARNICK SUBTOTAL *			\$0.00	\$148.01	\$0.00	\$148.01		\$148.01
<u>BROKER: WILLIAM WALSH #187</u>								
01/09/2018	MCCLAIN, SMITH & WENZ	GWL GRP ANNUITY 401K	100.00	-564.14	0.00	-564.14	0.0	-564.14
01/01/2018	HESSELL, LOUIS	INVESCO CHARTER FUND A	0.00	54.75	0.00	54.75	0.0	54.75
01/01/2018	HESSELL, LOUIS	INVESCO CHARTER FUND B	0.00	4.13	0.00	4.13	0.0	4.13
01/01/2018	HESSELL, LOUIS	INVESCO EQUITY AND INCOME A	0.00	31.23	0.00	31.23	0.0	31.23
01/01/2018	HESSELL, LOUIS	INVESCO EQUITY AND INCOME FUND CL B	0.00	4.48	0.00	4.48	0.0	4.48
12/31/2017	WALSH, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	13.25	0.00	13.25	0.0	13.25
12/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	603.66	0.00	603.66	0.0	603.66
12/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	72.63	0.00	72.63	0.0	72.63
* WILLIAM WALSH SUBTOTAL *			\$100.00	\$219.99	\$0.00	\$219.99		\$219.99
<u>BROKER: MICHELE BOYER #189</u>								
12/31/2017	MEDIGER, KYLE	GRIFFIN CAPITAL ESSENTIAL ASSET II	11,406.39	28.75	0.00	28.75	0.0	28.75
01/01/2018	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	0.00	7.72	0.00	7.72	0.0	7.72
01/01/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	0.00	32.08	0.00	32.08	0.0	32.08
01/01/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	0.00	26.60	0.00	26.60	0.0	26.60
01/01/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	0.00	45.61	0.00	45.61	0.0	45.61
01/01/2018	EVERY, CHARLES	INVESCO EQUITY AND INCOME C	0.00	161.87	0.00	161.87	0.0	161.87
01/01/2018	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	0.00	4.13	0.00	4.13	0.0	4.13
01/01/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	0.00	36.39	0.00	36.39	0.0	36.39
01/01/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	0.00	55.60	0.00	55.60	0.0	55.60

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MICHELE BOYER #189</u>								
01/01/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	0.00	34.96	0.00	34.96	0.0	34.96
01/01/2018	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	0.00	9.58	0.00	9.58	0.0	9.58
01/01/2018	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	0.00	4.44	0.00	4.44	0.0	4.44
01/01/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	0.00	37.41	0.00	37.41	0.0	37.41
01/01/2018	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	0.00	6.14	0.00	6.14	0.0	6.14
01/01/2018	BARTELS, CHRIS	INVESCO MONEY MARKET C	0.00	2.29	0.00	2.29	0.0	2.29
01/01/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	0.00	36.85	0.00	36.85	0.0	36.85
01/04/2018	ROFFEY, TASHA	PIMCO COMMODITY REAL RETURN STRATEG	0.00	3.85	0.00	3.85	0.0	3.85
01/04/2018	ROFFEY, TASHA	PIMCO TOTAL RETURN A	0.00	8.66	0.00	8.66	0.0	8.66
* MICHELE BOYER SUBTOTAL *			\$11,406.39	\$542.93	\$0.00	\$542.93		\$542.93
<u>BROKER: MICHAEL PALMISANO #196</u>								
01/01/2018	STEGEER, GENE	INVESCO AMER VAL 00143M828	0.00	8.69	0.00	8.69	0.0	8.69
01/01/2018	STEGEER, GENE	INVESCO DIVERSIFIED DIVIDEND FD-CL	0.00	9.76	0.00	9.76	0.0	9.76
01/01/2018	STEGEER, GENE	INVESCO EQUITY AND INCOME C	0.00	9.80	0.00	9.80	0.0	9.80
01/01/2018	STEGEER, GENE	INVESCO REAL ESTATE FUND CL C	0.00	2.17	0.00	2.17	0.0	2.17
01/01/2018	STEGEER, GENE	INVESCO TECHNOLOGY C	0.00	8.24	0.00	8.24	0.0	8.24
01/01/2018	STEGEER, GENE	INVESCO VALUE OPPORTUNITIES FUND CL	0.00	9.83	0.00	9.83	0.0	9.83
* MICHAEL PALMISANO SUBTOTAL *			\$0.00	\$48.49	\$0.00	\$48.49		\$48.49
<u>BROKER: MEREDITH MCCUTCHEN #221</u>								
01/04/2018	TALBERT, ANN	COLUMBIA LARGECAP GROWTH C	0.00	8.87	0.00	8.87	0.0	8.87
01/04/2018	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND-C	0.00	7.78	0.00	7.78	0.0	7.78
* MEREDITH MCCUTCHEN SUBTOTAL *			\$0.00	\$16.65	\$0.00	\$16.65		\$16.65
<u>BROKER: MARIA BONGIOVANNI #237</u>								
01/02/2018	NORCROSS, NANCY	BRIGHTHOUSE	41,540.10	103.85	0.00	103.85	0.0	103.85
01/02/2018	NORCROSS, NANCY	BRIGHTHOUSE	36,841.06	92.10	0.00	92.10	0.0	92.10
01/02/2018	NORCROSS, NANCY	BRIGHTHOUSE	36,598.03	91.50	0.00	91.50	0.0	91.50
* MARIA BONGIOVANNI SUBTOTAL *			\$114,979.19	\$287.45	\$0.00	\$287.45		\$287.45
<u>BROKER: WILLIAM THOMAS #239</u>								
01/16/2018	KUPPE, MARK	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
01/17/2018	THOMAS, MARIA	NWL NEXT GEN II FP VUL	250.00	5.00	0.00	5.00	0.0	5.00
* WILLIAM THOMAS SUBTOTAL *			\$450.00	\$9.00	\$0.00	\$9.00		\$9.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u>								
01/01/2018	BANKS, MICHAEL	INVESCO BALANCED RISK ALLOCATION A	0.00	4.72	0.00	4.72	0.0	4.72
01/01/2018	BANKS, DIANE	INVESCO BALANCED RISK ALLOCATION A	0.00	2.58	0.00	2.58	0.0	2.58
01/07/2018	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	1.00
01/15/2018	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	0.0	0.90
01/16/2018	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	2.84	0.00	2.84	0.0	2.84
01/15/2018	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	2.10	0.00	2.10	0.0	2.10
01/15/2018	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	0.0	1.11
01/15/2018	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	4.92	0.00	4.92	0.0	4.92
01/16/2018	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.89	0.00	0.89	0.0	0.89
01/17/2018	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	1.52
01/03/2018	COCHRILL, NATHAN	TRANSAMERICA AA GROWTH A	0.00	3.62	0.00	3.62	0.0	3.62
01/03/2018	PUVALOWSKI, WILLIAM	TRANSAMERICA AA GROWTH A	0.00	2.50	0.00	2.50	0.0	2.50
01/03/2018	COCHRILL, MELANIE	TRANSAMERICA AA MOD GROWTH A	0.00	9.79	0.00	9.79	0.0	9.79
01/03/2018	COCHRILL, MELANIE	TRANSAMERICA AA MOD GROWTH A	0.00	3.54	0.00	3.54	0.0	3.54
01/03/2018	CROCKETT, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	4.30	0.00	4.30	0.0	4.30
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$1,325.78	\$46.33	\$0.00	\$46.33		\$46.33
<u> BROKER: L149-ROBERT LUCHSINGER #388 </u>								
01/08/2018	LEAVY JT TEN, FLORENCE	AM FUNDS ICA A	15,000.00	750.00	0.00	750.00	0.0	75.00
01/24/2018	LUCHSINGER, VARIOUS	AMERICAN FUNDS PERSHING	0.00	208.03	0.00	208.03	0.0	20.80
01/12/2018	FOELL, DIANA	ING EMPIRE INNOVATIONS STD OPT A	15,128.83	37.82	0.00	37.82	0.0	3.78
01/14/2018	PANDOLFO, CATHERINE	ING EMPIRE INNOVATIONS STD OPT A	207,228.77	518.07	0.00	518.07	0.0	51.81
12/29/2017	KNAACK, PATRICIA	PACIFIC LIFE	0.00	27.00	0.00	27.00	0.0	2.70
12/29/2017	ROARKE, MARY ELIZABETH	PACIFIC LIFE	0.00	81.51	0.00	81.51	0.0	8.15
12/29/2017	NEVIN, DOUGLAS	PACIFIC LIFE	0.00	0.28	0.00	0.28	0.0	0.03
12/29/2017	COSTELLO, KEVIN	PACIFIC LIFE	0.00	9.70	0.00	9.70	0.0	0.97
12/29/2017	MALIA, EDWARD	PACIFIC LIFE	0.00	5.57	0.00	5.57	0.0	0.56
12/29/2017	CAPASSO, DEBRA	PACIFIC LIFE	0.00	34.02	0.00	34.02	0.0	3.40
12/29/2017	KNAACK, PATRICIA	PACIFIC LIFE	0.00	1.28	0.00	1.28	0.0	0.13
12/29/2017	MALIA, EDWARD	PACIFIC LIFE	0.00	7.19	0.00	7.19	0.0	0.72
12/29/2017	LOITSCH, DIANE	PACIFIC LIFE	0.00	10.35	0.00	10.35	0.0	1.04
12/29/2017	CAPASSO, MICHAEL	PACIFIC LIFE	0.00	30.43	0.00	30.43	0.0	3.04
12/29/2017	ROARKE, MARY ELIZABETH	PACIFIC LIFE	0.00	84.63	0.00	84.63	0.0	8.46
12/29/2017	MALIA, EDWARD	PACIFIC LIFE	0.00	9.60	0.00	9.60	0.0	0.96

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
12/29/2017	CASKIN, KENNETH	PACIFIC LIFE	0.00	4.48	0.00	4.48	0.0	0.45
12/29/2017	COSTELLO, KEVIN	PACIFIC LIFE	0.00	0.09	0.00	0.09	0.0	0.01
12/29/2017	CIOS, ANNA	PACIFIC LIFE	0.00	1.33	0.00	1.33	0.0	0.13
12/29/2017	TERMINE, MASON	PACIFIC LIFE	0.00	1.33	0.00	1.33	0.0	0.13
12/29/2017	CIOS, ROCCO	PACIFIC LIFE	0.00	1.60	0.00	1.60	0.0	0.16
12/29/2017	CAPASSO, CRISTINA	PACIFIC LIFE	0.00	9.46	0.00	9.46	0.0	0.95
12/29/2017	CAPASSO, LAURA	PACIFIC LIFE	0.00	7.55	0.00	7.55	0.0	0.76
12/29/2017	CASKIN, MEGAN	PACIFIC LIFE	0.00	1.49	0.00	1.49	0.0	0.15
12/29/2017	TERMINE, MILO	PACIFIC LIFE	0.00	1.11	0.00	1.11	0.0	0.11
12/29/2017	SEAMAN, SUSAN	PACIFIC LIFE	0.00	2.51	0.00	2.51	0.0	0.25
12/29/2017	SEAMAN, SUSAN	PACIFIC LIFE	0.00	2.82	0.00	2.82	0.0	0.28
12/29/2017	SEAMAN, SUSAN	PACIFIC LIFE	0.00	5.50	0.00	5.50	0.0	0.55
12/29/2017	CHIAPPERINO, LORRAINE	PACIFIC LIFE	0.00	10.66	0.00	10.66	0.0	1.07
12/29/2017	SEAMAN, SUSAN	PACIFIC LIFE	0.00	2.80	0.00	2.80	0.0	0.28
12/29/2017	TERMINE, ALLISON	PACIFIC LIFE	0.00	7.90	0.00	7.90	0.0	0.79
12/29/2017	TERMINE, ALLISON	PACIFIC LIFE	0.00	6.26	0.00	6.26	0.0	0.63
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	5.20	0.00	5.20	0.0	0.52
01/08/2018	WESTRENEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.52	0.00	1.52	0.0	0.15
01/08/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	12.40	0.00	12.40	0.0	1.24
01/08/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.99	0.00	3.99	0.0	0.40
01/08/2018	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.50	0.00	1.50	0.0	0.15
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$237,357.60	\$1,906.98	\$0.00	\$1,906.98		\$190.71
<u>BROKER: MARK-S148 SMITH #401</u>								
01/05/2018	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	300.00	3.00	0.00	3.00	0.0	1.56
* MARK-S148 SMITH SUBTOTAL *			\$300.00	\$3.00	\$0.00	\$3.00		\$1.56
*** OVERRIDE TRANSACTIONS TOTAL ***			\$2,709,237.51	\$10,326.58	\$0.00	\$10,326.58		\$8,608.87

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$2,569.83
Split Transactions	\$0.00
Override Transactions	\$8,608.87
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$11,178.70
Year-to-date Gross	\$7,323.53
Year-to-date Earnings	\$23,530.03