

COMMISSION STATEMENT**January 31, 2018**

WILLIAM DIPENICE
110 SOUTH BUCKHOUT STREET

BROKER #: 74
BRANCH: HOME OFFICE

IRVINGTON, NY 10533

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS**PRODUCT CATEGORY: STOCKS**

01/05/2018	75502048	FERRUZZI, KENNETH		PRICELINE GROUP INC (THE)	S	3,619.28	0.00	0.00	0.00	80.0	0.00
01/11/2018	75502048	FERRUZZI, KENNETH		SNYDERS-LANCE INC COM	S	1,450.70	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		ACADIA HEALTHCARE CO INC COM	S	33.89	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		AETNA INC NEW	S	186.84	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		ALEXION PHARMACEUTICALS INC	S	121.12	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		AMAZON.COM INC	S	1,300.24	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		AMETEK INC NEW	S	306.60	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		ANSYS INC	S	323.00	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		APPLE INC	S	1,071.06	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		BROADCOM LIMITED SHS	S	269.06	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		CHURCH & DWIGHT CO INC	S	149.79	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		COGNIZANT TECHNOLOGY SOLUTIONS CORP S	S	231.09	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		COMCAST CORP CL A	S	467.83	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		COSTCO WHOLESALE CORP-NEW	S	384.46	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		DANAHER CORPORATION	S	200.76	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		ECOLAB INC	S	279.54	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		EOG RES INC	S	228.88	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		EPAM SYSTEMS INC	S	226.34	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		FACEBOOK INC CL A	S	726.48	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		FIDELITY NATIONAL INFORMATION SERVI S	S	98.47	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		FORTINET INC	S	92.22	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		FORTIVE CORPORATION COM	S	74.56	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		GLOBUS MEDICAL INC	S	173.96	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		ILLINOIS TOOL WORKS INC	S	172.31	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		INCYTE GENOMICS INC	S	92.37	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		INTERCONTINENTAL EXCHANGE INC	S	75.50	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		KLA-TENCOR CORP COM	S	114.95	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		LIGAND PHARMACEUTICALS INC	S	154.14	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		LILLY ELI & CO	S	85.63	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		LKQ CORPORATION	S	128.94	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		MAXIMUS INC	S	139.94	0.00	0.00	0.00	80.0	0.00

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
01/19/2018	75502048	FERRUZZI, KENNETH		MICROSOFT CORP	S	629.13	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		PAYPAL HOLDINGS INC COM	S	167.10	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		PEPSICO INC	S	241.04	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		ROSS STORES INC	S	583.17	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		SAGE THERAPEUTICS INC COM	S	338.23	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		SALESFORCE.COM INC	S	224.12	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		STRYKER CORP	S	321.86	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		ULTA SALON COSMETICS & FRAGRANCE IN	S	245.89	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		UNITEDHEALTH GROUP INC	S	241.90	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		VERISK ANALYTICS INC COM	S	98.66	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		VF CORPORATION	S	318.96	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		VISA INC CL A COMMON STOCK	S	368.10	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		WASTE CONNECTIONS INC COM	S	648.99	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		XPO LOGISTICS INC COM	S	189.78	0.00	0.00	0.00	80.0	0.00
01/19/2018	75502048	FERRUZZI, KENNETH		YUM BRANDS INC	S	167.10	0.00	0.00	0.00	80.0	0.00
01/25/2018	75502048	FERRUZZI, KENNETH		ABBVIE INC	B	2,472.59	0.00	0.00	0.00	80.0	0.00
01/25/2018	75502048	FERRUZZI, KENNETH		AETNA INC NEW	S	1,541.55	0.00	0.00	0.00	80.0	0.00
01/25/2018	75502048	FERRUZZI, KENNETH		FORTIVE CORPORATION COM	B	1,056.02	0.00	0.00	0.00	80.0	0.00
01/25/2018	75502048	FERRUZZI, KENNETH		LKQ CORPORATION	B	895.57	0.00	0.00	0.00	80.0	0.00
01/26/2018	75502048	FERRUZZI, KENNETH		GLOBUS MEDICAL INC	B	978.50	0.00	0.00	0.00	80.0	0.00
01/26/2018	75502048	FERRUZZI, KENNETH		LILLY ELI & CO	S	514.57	0.00	0.00	0.00	80.0	0.00
01/26/2018	75502048	FERRUZZI, KENNETH		MAXLINEAR INC COMMON STOCK	B	1,273.23	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502049	MORRIS, MICHAEL		ANADARKO PETROLEUM CORP	B	1,509.96	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502049	MORRIS, MICHAEL		BIOVERATIV INC COM	S	1,977.08	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502049	MORRIS, MICHAEL		LIBERTY INTERACTIVE CORPORATION QVC	S	1,098.40	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502049	MORRIS, MICHAEL		PENTAIR PLC SHS	S	1,247.71	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502049	MORRIS, MICHAEL		SEAGATE TECHNOLOGY PLC	S	1,590.60	0.00	0.00	0.00	80.0	0.00
01/02/2018	75502050	DIPENICE, WILLIAM		APTIV PLC SHS COM	B	2,987.99	0.00	0.00	0.00	80.0	0.00
01/02/2018	75502050	DIPENICE, WILLIAM		CHENIERE ENERGY INC COM	S	601.60	0.00	0.00	0.00	80.0	0.00
01/02/2018	75502050	DIPENICE, WILLIAM		GOLDMAN SACHS GROUP INC	S	511.44	0.00	0.00	0.00	80.0	0.00
01/02/2018	75502050	DIPENICE, WILLIAM		VISA INC CL A COMMON STOCK	S	917.28	0.00	0.00	0.00	80.0	0.00
01/08/2018	75502050	DIPENICE, WILLIAM		AUTODESK INC	S	889.53	0.00	0.00	0.00	80.0	0.00
01/08/2018	75502050	DIPENICE, WILLIAM		CHARTER COMMUNICATIONS INC NEW CL A	S	1,791.31	0.00	0.00	0.00	80.0	0

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
01/11/2018	75502051	DIPENICE, NINA		SNYDERS-LANCE INC COM	S	2,701.30	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		ABIOMED INC	S	227.52	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		ALEXION PHARMACEUTICALS INC	S	123.57	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		ANSYS INC	S	162.63	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		CHURCH & DWIGHT CO INC	S	49.93	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		COGNIZANT TECHNOLOGY SOLUTIONS CORP	S	156.20	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		COSTCO WHOLESALE CORP-NEW	S	193.84	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		FACEBOOK INC CL A	S	183.89	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		FIDELITY NATIONAL INFORMATION SERVI	S	98.91	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		FORTINET INC	S	45.48	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		FORTIVE CORPORATION COM	S	74.04	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		GLOBUS MEDICAL INC	S	43.73	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		ILLINOIS TOOL WORKS INC	S	172.57	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		INTERCONTINENTAL EXCHANGE INC	S	151.22	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		LIGAND PHARMACEUTICALS INC	S	159.85	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		LILLY ELI & CO	S	85.05	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		MICROSOFT CORP	S	364.04	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		NETFLIX COM INC	S	225.21	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		NEVRO CORP COM	S	79.78	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		PAYPAL HOLDINGS INC COM	S	83.71	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		PEPSICO INC	S	121.54	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		SAGE THERAPEUTICS INC COM	S	337.95	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		SALESFORCE.COM INC	S	112.47	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		STRYKER CORP	S	161.98	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		UNITEDHEALTH GROUP INC	S	242.94	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		VF CORPORATION	S	160.36	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		VISA INC CL A COMMON STOCK	S	247.34	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		WASTE CONNECTIONS INC COM	S	144.20	0.00	0.00	0.00	80.0	0.00
01/22/2018	75502051	DIPENICE, NINA		XPO LOGISTICS INC COM	S	193.70	0.00	0.00	0.00	80.0	0.00
01/25/2018	75502051	DIPENICE, NINA		ABBVIE INC	B	4,622.66	0.00	0.00	0.00	80.0	0.00
01/25/2018	75502051	DIPENICE, NINA		AETNA INC NEW	S	3,275.80	0.00	0.00	0.00	80.0	0.00
01/25/2018	75502051	DIPENICE, NINA		FORTIVE CORPORATION COM	B	1,734.89	0.00	0.00	0.00	80.0	0.00
01/25/2018	75502051	DIPENICE, NINA		LKQ CORPORATION	B	1,449.96	0.00	0.00	0.00	80.0	0.00
01/26/2018	75502051	DIPENICE, NINA									

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
01/25/2018	75502083	MAISELL, ADRIANE		FORTIVE CORPORATION COM	B	829.73	0.00	0.00	0.00	80.0	0.00
01/25/2018	75502083	MAISELL, ADRIANE		LKQ CORPORATION	B	682.34	0.00	0.00	0.00	80.0	0.00
01/26/2018	75502083	MAISELL, ADRIANE		GLOBUS MEDICAL INC	B	698.93	0.00	0.00	0.00	80.0	0.00
01/26/2018	75502083	MAISELL, ADRIANE		LILLY ELI & CO	S	686.09	0.00	0.00	0.00	80.0	0.00
01/26/2018	75502083	MAISELL, ADRIANE		MAXLINEAR INC COMMON STOCK	B	1,083.60	0.00	0.00	0.00	80.0	0.00
				* STOCKS SUBTOTAL *		\$96,355.99	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: RIA											
01/17/2018	75502048	FERRUZZI, KENNETH		FAS FEES PT. I/III	B	-191.13	95.56	0.00	95.56	80.0	76.45
01/17/2018	75502049	MORRIS, MICHAEL		FAS FEES PT. I/III	B	-225.37	125.21	0.00	125.21	80.0	100.17
01/17/2018	75502050	DIPENICE, WILLIAM		FAS FEES PT. I/III	B	-148.64	74.32	0.00	74.32	80.0	59.46
01/17/2018	75502051	DIPENICE, NINA		FAS FEES PT. I/III	B	-361.68	197.72	0.00	197.72	80.0	158.18
01/17/2018	75502052	DIPENICE, WILLIAM		FAS FEES PT. I/III	B	-39.86	29.89	0.00	29.89	80.0	23.91
01/17/2018	75502083	MAISELL, ADRIANE		FAS FEES PT. I/III	B	-165.14	82.57	0.00	82.57	80.0	66.06
				* RIA SUBTOTAL *		\$-1,131.82	\$605.27	\$0.00	\$605.27		\$484.23
				*** BROKER TRANSACTIONS TOTAL ***		\$95,224.17	\$605.27	\$0.00	\$605.27		\$484.23
ADJUSTMENTS											
01/31/2018				E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-250.00
01/31/2018				OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
01/31/2018				OTHER: OTHER-WEALTH MANAGEMENT		0.00	0.00	0.00	0.00		-25.00
01/31/2018				OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
				*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-303.00

BROKER COMMISSION TOTALS

Broker Transactions	\$484.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-303.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-150.00
FINRA Assessment	\$-0.76
SIPC Assessment	\$-1.51

Check Amount	\$28.96
Year-to-date Earnings	\$28.96