

**COMMISSION STATEMENT****April 15, 2018**

HERBERT, A123 ALSTON  
4200 Park Blvd  
Suite 154  
Oakland, CA 94602

BROKER #: A123  
BRANCH: HERBERT ALSTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: A132 - HERB/FRED ALSTON/CHASALOW #413</u></b>								
03/29/2018	CHASALOW, FRED	ALLIANZ ALTERITY OPTIONS	99,831.78	166.47	0.00	166.47	75.0	124.85
03/29/2018	CHASALOW, SANDRA	ALLIANZ ALTERITY OPTIONS	209,263.74	348.95	0.00	348.95	75.0	261.71
03/29/2018	MCKEE, MARY	ALLIANZ ALTERITY OPTIONS	266,743.99	444.80	0.00	444.80	75.0	333.60
03/29/2018	CHASALOW, SANDRA	ALLIANZ HIGH FIVE ENHANCED	96,569.80	80.51	0.00	80.51	75.0	60.38
03/14/2018	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	4.88	0.00	4.88	75.0	3.66
03/14/2018	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	0.72	0.00	0.72	75.0	0.54
03/14/2018	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	3.13	0.00	3.13	75.0	2.35
03/01/2018	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.62	0.00	9.62	75.0	7.22
03/01/2018	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.77	0.00	4.77	75.0	3.58
03/01/2018	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.77	0.00	4.77	75.0	3.58
03/01/2018	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.71	0.00	2.71	75.0	2.03
03/01/2018	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.84	0.00	3.84	75.0	2.88
03/01/2018	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.28	0.00	1.28	75.0	0.96
03/01/2018	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.93	0.00	1.93	75.0	1.45
03/23/2018	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	8.73	0.00	8.73	75.0	6.55
03/23/2018	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.33	0.00	4.33	75.0	3.25
03/23/2018	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.33	0.00	4.33	75.0	3.25
03/23/2018	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.45	0.00	2.45	75.0	1.84
03/23/2018	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.48	0.00	3.48	75.0	2.61
03/23/2018	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.16	0.00	1.16	75.0	0.87
03/23/2018	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.75	0.00	1.75	75.0	1.31
04/05/2018	ALLEN, JEFFREY	CALL 100 AT&T INC COM EXP 06-15-18@	-128.00	17.34	17.34	0.00	75.0	0.00
04/05/2018	ALLEN, JEFFREY	CALL 100 KIMBERLY CLARK CORP COM EX	-1,225.00	17.34	16.34	1.00	75.0	0.75
03/14/2018	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	0.76	0.00	0.76	75.0	0.57
03/16/2018	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	1.79	0.00	1.79	75.0	1.34
03/16/2018	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	7.07	0.00	7.07	75.0	5.30

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: A132 - HERB/FRED ALSTON/CHASALOW #413</u></b>								
03/21/2018	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	1.34	0.00	1.34	75.0	1.01
* A132 - HERB/FRED ALSTON/CHASALOW SUBTOTAL *			\$671,056.31	\$1,150.25	\$33.68	\$1,116.57		\$837.44
*** SPLIT TRANSACTIONS TOTAL ***			\$671,056.31	\$1,150.25	\$33.68	\$1,116.57		\$837.44

**ADJUSTMENTS**

04/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
04/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					545.00
04/15/2018		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
04/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - ALLEN	0.00					-10.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - BRADY	0.00					-10.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - MCDONALD	0.00					-10.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - WERBER	0.00					-10.00
04/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$837.44
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$837.44
Year-to-date Gross	\$11,119.47
Year-to-date Earnings	\$8,225.10