



COMMISSION STATEMENT

August 13, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/01/2018	***-**-31RI - BRUNO, CAROL	PUTNAM EQUITY INCOME FUND-A	100.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 00551361268	BUY 4 Units @26.9900						
		* MUTUAL FUNDS SUBTOTAL *	\$100.00	\$5.00	\$0.00	\$5.00		\$3.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/07/2018	***-**-721O - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 01488781368	BUY 0 Units @0.0000						
08/07/2018	***-**-721O - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	0.49	0.00	0.49	70.0	0.34
	ACCOUNT #: 01488781475	BUY 0 Units @0.0000						
08/07/2018	***-**-49IN - TALLO, ROSE	FA 529 MID CAP PORT - CL A	0.00	0.20	0.00	0.20	70.0	0.14
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
08/07/2018	***-**-49IN - TALLO, ROSE	FA 529 NEW INSIGHTS PORT-CL A	0.00	0.48	0.00	0.48	70.0	0.34
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
08/07/2018	***-**-49IN - TALLO, ROSE	FA 529 VALUE STRATEGIES PORT-CL A	0.00	0.79	0.00	0.79	70.0	0.55
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
08/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV ASSET MANAGER 60% CL A	0.00	1.05	0.00	1.05	70.0	0.74
	ACCOUNT #: 01445267972	BUY 0 Units @0.0000						
08/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV ASSET MANAGER 60% CL A	0.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: 01445268004	BUY 0 Units @0.0000						
08/07/2018	***-**-472I - PARADIS, URSULA	FIDELITY ADV STRATEGIC INCOME CL A	0.00	2.95	0.00	2.95	70.0	2.07
	ACCOUNT #: 01455315984	BUY 0 Units @0.0000						
08/07/2018	***-**-1111 - ROMANOVSKY,	FIDELITY ADV TOTAL BOND-CL T	0.00	2.89	0.00	2.89	70.0	2.02
	ACCOUNT #: 01456178423	BUY 0 Units @0.0000						
08/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV TRAILS 31580T877	0.00	0.04	0.00	0.04	70.0	0.03
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
08/13/2018	***-**-52IN - BRODER, RUTH	RESOURCE REAL ESTATE TRAILS	0.00	3.11	0.00	3.11	70.0	2.18
		BUY 0 Units @0.0000						
08/13/2018	***-**-543I - RISKALLA,	RESOURCE REAL ESTATE TRAILS	0.00	2.86	0.00	2.86	70.0	2.00
	ACCOUNT #: 20033401	BUY 0 Units @0.0000						
08/13/2018	***-**-76TR - STEELE	RESOURCE REAL ESTATE TRAILS	0.00	2.05	0.00	2.05	70.0	1.44
	ACCOUNT #: 20033530	BUY 0 Units @0.0000						
08/13/2018	***-**-846I - BREEN, KENNETH	RESOURCE REAL ESTATE TRAILS	0.00	3.37	0.00	3.37	70.0	2.36
	ACCOUNT #: 020033838	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</u>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$22.06	\$0.00	\$22.06		\$15.46
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
08/13/2018	***-**-76IN - FENGLER, KERI	SECURITY BENEFIT VA TRAIL	0.00	11.10	0.00	11.10	70.0	7.77
	ACCOUNT #: 46762776	BUY 1 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$11.10	\$0.00	\$11.10		\$7.77
		*** BROKER TRANSACTIONS TOTAL ***	\$100.00	\$38.16	\$0.00	\$38.16		\$26.73

BROKER COMMISSION TOTALS

Broker Transactions	\$26.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$25.68
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$52.41
Year-to-date Earnings	\$24,503.06