

**COMMISSION STATEMENT****March 15, 2018**

JIM LUND
2060 Huntington Drive
Suite 1
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.44	0.00	1.44	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.44	0.00	1.44	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.48	0.00	3.48	0.0	0.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	12.61	0.00	12.61	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	2.50	0.00	2.50	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.63	0.00	0.63	0.0	0.00
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	2.98	0.00	2.98	0.0	0.00
/ /	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	1.64	0.00	1.64	0.0	0.00
03/01/2018	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	125.00	6.25	0.00	6.25	0.0	0.00
* 529 PLANS SUBTOTAL *			\$125.00	\$32.97	\$0.00	\$32.97		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	0.00
02/21/2018	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$320.00	\$3.20	\$0.00	\$3.20		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$445.00	\$36.17	\$0.00	\$36.17		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$1,419.23
Year-to-date Earnings	\$0.00