



COMMISSION STATEMENT

April 30, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CHRISTOPHER MILGROOM</u>								
04/26/2018	***-**-9375 - KATZ, ADAM J	BLACKROCK FUNDS	0.00	0.26	0.00	0.26	15.0	0.04
	ACCOUNT #: 5290566834	BUY 0 Units @0.0000						
04/30/2018	***-**-9109 - PASCH, ROBERT	BLACKROCK TRAILS	0.00	1.71	0.00	1.71	15.0	0.26
	ACCOUNT #: 51554475	BUY 0 Units @0.0000						
04/30/2018	***-**-80-P - PALM BEACH	BLACKROCK TRAILS	0.00	4.36	0.00	4.36	15.0	0.65
	ACCOUNT #: 51556504	BUY 0 Units @0.0000						
04/30/2018	***-**-2111 - SPECTRUM TOURS	BLACKROCK TRAILS	0.00	0.18	0.00	0.18	15.0	0.03
	ACCOUNT #: 52067550	BUY 0 Units @0.0000						
04/30/2018	***-**-9109 - PASCH, ROBERT	BLACKROCK TRAILS	0.00	1.71	0.00	1.71	15.0	0.26
	ACCOUNT #: 51554475	BUY 0 Units @0.0000						
04/30/2018	***-**-80-P - PALM BEACH	BLACKROCK TRAILS	0.00	4.36	0.00	4.36	15.0	0.65
	ACCOUNT #: 51556504	BUY 0 Units @0.0000						
04/30/2018	***-**-2111 - SPECTRUM TOURS	BLACKROCK TRAILS	0.00	0.18	0.00	0.18	15.0	0.03
	ACCOUNT #: 52067550	BUY 0 Units @0.0000						
04/30/2018	***-**-844P - COLIN CAMPBELL	BLACKROCK TRAILS	0.00	0.32	0.00	0.32	15.0	0.05
	ACCOUNT #: 40959967	BUY 0 Units @0.0000						
04/20/2018	***-**-461I - CAMPBELL, COLIN	CAPITAL WORLD GROWTH AND INCOME FUN	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @53.7600						
04/16/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @53.5100						
04/26/2018	***-**-844P - COLIN CAMPBELL	EATON VANCE MF TRAILS	0.00	0.23	0.00	0.23	15.0	0.03
	ACCOUNT #: 5045816922	BUY 0 Units @0.0000						
04/16/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @58.4700						
04/18/2018	***-**-425P - SMOLLER, MICHAEL	EUROPACIFIC GROWTH FUND-A	3,250.00	65.00	0.00	65.00	15.0	9.75
	ACCOUNT #: 00068829975	BUY 55 Units @59.1800						
04/20/2018	***-**-461I - CAMPBELL, COLIN	EUROPACIFIC GROWTH FUND-A	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @59.1400						
04/16/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @64.9600						
04/21/2018	***-**-545P - MARTIN,	ING ADVANTAGE SELECT	0.00	33.16	0.00	33.16	15.0	4.97
	ACCOUNT #: R104873-ZS	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: CHRISTOPHER MILGROOM (continued)</u>								
04/24/2018	***-**-9807 - IONESCU, EMANUEL	ING ADVANTAGE SELECT	0.00	3.20	0.00	3.20	15.0	0.48
	ACCOUNT #: R080009-ZS	BUY 0 Units @0.0000						
04/27/2018	***-**-6062 - FIGUERAS,	ING ADVANTAGE SELECT	0.00	11.11	0.00	11.11	15.0	1.67
	ACCOUNT #: R077163-ZS	BUY 0 Units @0.0000						
04/27/2018	***-**-2107 - FIGUERAS, DAURIS	ING ADVANTAGE SELECT	0.00	26.52	0.00	26.52	15.0	3.98
	ACCOUNT #: R077170-ZS	BUY 0 Units @0.0000						
04/17/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	16.08	0.12	0.00	0.12	15.0	0.02
	ACCOUNT #: 07123000351	BUY 1 Units @13.2000						
04/16/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @53.0800						
04/18/2018	***-**-425P - SMOLLER, MICHAEL	THE GROWTH FUND OF AMERICA-A	3,250.00	65.00	0.00	65.00	15.0	9.75
	ACCOUNT #: 00068829975	BUY 60 Units @54.2100						
04/20/2018	***-**-461I - CAMPBELL, COLIN	THE GROWTH FUND OF AMERICA-A	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @54.1200						
* CHRISTOPHER MILGROOM SUBTOTAL *			\$7,356.08	\$240.51	\$0.00	\$240.51		\$36.08
*** OVERRIDE TRANSACTIONS TOTAL ***			\$7,356.08	\$240.51	\$0.00	\$240.51		\$36.08



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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$36.08
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$56.70
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$92.78
Year-to-date Earnings	\$1,437.14