



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**May 31, 2018**

05/30/2018  
Page No. 1

TIMOTHY, S118 STAUFFER  
333 Fiddlers Cove

BROKER #: S118  
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

| DATE   | CLIENT                   | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>CLEARING TRANSACTIONS</u></b>                          |                          |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: STOCKS</u></b>                       |                          |                                     |                      |                     |                    |                   |      |                      |
| 05/17/2018   | SHERILL, TIMOTHY         | KINDER MORGAN INC                   | -2,281.15            | 30.00               | 22.50              | 7.50              | 90.0 | 6.75                 |
| 05/11/2018   | MORR TRUST, PATTY        | NATIONAL GRID PLC SPON ADR NEW 2017 | -4,597.64            | 42.00               | 22.50              | 19.50             | 90.0 | 17.55                |
| * STOCKS SUBTOTAL *  |                          |                                     | <b>\$-6,878.79</b>   | <b>\$72.00</b>      | <b>\$45.00</b>     | <b>\$27.00</b>    |      | <b>\$24.30</b>       |
| *** CLEARING TRANSACTIONS TOTAL ***                          |                          |                                     | <b>\$-6,878.79</b>   | <b>\$72.00</b>      | <b>\$45.00</b>     | <b>\$27.00</b>    |      | <b>\$24.30</b>       |
| <b><u>DIRECT TRANSACTIONS</u></b>                            |                          |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>                 |                          |                                     |                      |                     |                    |                   |      |                      |
| / /  | SAUDERS, RITA            | PROFUNDS MONEY MARKET-SVC           | 0.00                 | 4.36                | 0.00               | 4.36              | 90.0 | 3.92                 |
| * MUTUAL FUNDS SUBTOTAL *                                    |                          |                                     | <b>\$0.00</b>        | <b>\$4.36</b>       | <b>\$0.00</b>      | <b>\$4.36</b>     |      | <b>\$3.92</b>        |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>      |                          |                                     |                      |                     |                    |                   |      |                      |
| / /  | SAUDERS, RITA            | PROFUNDS MONEY MARKET-SVC           | 0.00                 | 4.36                | 0.00               | 4.36              | 90.0 | 3.92                 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL *                         |                          |                                     | <b>\$0.00</b>        | <b>\$4.36</b>       | <b>\$0.00</b>      | <b>\$4.36</b>     |      | <b>\$3.92</b>        |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b> |                          |                                     |                      |                     |                    |                   |      |                      |
| 05/13/2018   | RAMIREZ, HERLINDA GARCIA | ING ESII                            | 11,727.19            | 7.33                | 0.00               | 7.33              | 90.0 | 6.60                 |
| 05/13/2018   | NUTTLE, DARREN           | ING PREMIUM PLUS                    | 17,187.72            | 10.74               | 0.00               | 10.74             | 90.0 | 9.67                 |
| 04/30/2018   | CROWDER, CHAR            | PAC LIFE INNOVATIVE SELECT          | 0.00                 | 105.54              | 0.00               | 105.54            | 90.0 | 94.99                |
| 05/17/2018   | BOYLAN, NANCY            | PAC LIFE INNOVATIVE SELECT          | 0.00                 | 223.13              | 0.00               | 223.13            | 90.0 | 200.82               |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *                    |                          |                                     | <b>\$28,914.91</b>   | <b>\$346.74</b>     | <b>\$0.00</b>      | <b>\$346.74</b>   |      | <b>\$312.08</b>      |
| *** DIRECT TRANSACTIONS TOTAL ***                            |                          |                                     | <b>\$28,914.91</b>   | <b>\$355.46</b>     | <b>\$0.00</b>      | <b>\$355.46</b>   |      | <b>\$319.92</b>      |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

|                       |          |
|-----------------------|----------|
| Broker Transactions   | \$344.22 |
| Split Transactions    | \$0.00   |
| Override Transactions | \$0.00   |
| Adjustments           | \$0.00   |
| Payroll Draw          | \$0.00   |
| Base Salary           | \$0.00   |
| Prior Period Balance  | \$0.00   |
| FINRA Assessment      | \$0.00   |
| SIPC Assessment       | \$0.00   |

|                       |             |
|-----------------------|-------------|
| Check Amount          | \$344.22    |
| Year-to-date Gross    | \$58,281.10 |
| Year-to-date Earnings | \$51,844.30 |