

**COMMISSION STATEMENT****January 15, 2018**BRION NORBY
4905 Prairie LaneBROKER #: N121
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
01/10/2018	NORBY, CHERISE	SEI	153,803.76	384.51	0.00	384.51	90.0	346.06
01/10/2018	NORBY, CHERISE	SEI	5,178.89	12.95	0.00	12.95	90.0	11.66
01/10/2018	NORBY, BRION	SEI	8,271.08	20.68	0.00	20.68	90.0	18.61
* RIA SUBTOTAL *			\$167,253.73	\$418.14	\$0.00	\$418.14		\$376.33
*** DIRECT TRANSACTIONS TOTAL ***			\$167,253.73	\$418.14	\$0.00	\$418.14		\$376.33

SPLIT TRANSACTIONS**BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332**

01/09/2018	HELLER, GREG	PERSHING	179,491.38	276.62	0.00	276.62	90.0	248.96
01/09/2018	HELLER, GREG	PERSHING	35,718.98	55.05	0.00	55.05	90.0	49.55
01/09/2018	HICKEL, TONY	PERSHING	136,304.44	243.67	0.00	243.67	90.0	219.30
01/09/2018	WEBER, DANIEL	PERSHING	56,482.18	87.05	0.00	87.05	90.0	78.35
01/09/2018	WEBER, TICINIE	PERSHING	22,216.24	34.24	0.00	34.24	90.0	30.82
01/09/2018	WEBER, DANIEL	PERSHING	11,785.63	18.17	0.00	18.17	90.0	16.35
01/09/2018	LIESENER, MARTY	PERSHING	34,082.04	52.53	0.00	52.53	90.0	47.28
01/09/2018	MILLER, MELINDA	PERSHING	2,353.97	3.63	0.00	3.63	90.0	3.27
01/09/2018	MILLER, MELINDA	PERSHING	38,076.41	58.68	0.00	58.68	90.0	52.81
01/09/2018	MILLER, CHARLES	PERSHING	45,469.05	70.07	0.00	70.07	90.0	63.06
01/09/2018	MILLER, CHARLES	PERSHING	96,718.55	149.06	0.00	149.06	90.0	134.15
01/09/2018	BROE, CRAIG	PERSHING	124,031.88	191.15	0.00	191.15	90.0	172.04
01/09/2018	HICKEL, TONY	PERSHING	3,943.01	7.05	0.00	7.05	90.0	6.35
01/09/2018	DEVLIN, DON	PERSHING	3,367.65	6.02	0.00	6.02	90.0	5.42
01/09/2018	LIESENER TRUST, VICKI	PERSHING	12,265.25	21.93	0.00	21.93	90.0	19.74
01/09/2018	FEE, ERIC	PERSHING	175,065.60	312.96	0.00	312.96	90.0	281.66
01/09/2018	EBEL, LUANE	PERSHING	27,361.62	47.29	0.00	47.29	90.0	42.56

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
01/09/2018	AAFEDT, LUKAS	PERSHING	5,000.00	8.64	0.00	8.64	90.0	7.78
01/09/2018	EBEL, DWIGHT	PERSHING	24,000.00	41.48	0.00	41.48	90.0	37.33
01/09/2018	EBEL, LUANE	PERSHING	24,000.00	41.48	0.00	41.48	90.0	37.33
01/10/2018	BARTINGALE, JAMES	SEI	7,015.99	10.97	0.00	10.97	90.0	9.87
01/10/2018	BENSON, JEFFREY	SEI	145,648.74	245.79	0.00	245.79	90.0	221.21
01/10/2018	BLACKLANCE, GRACE	SEI	691.69	0.55	0.00	0.55	90.0	0.50
01/10/2018	BLACKLANCE, LORIELLE	SEI	8,850.67	13.83	0.00	13.83	90.0	12.45
01/10/2018	BUMGARDNER, POLLY	SEI	809.63	0.65	0.00	0.65	90.0	0.59
01/10/2018	BUMGARDNER, DOUGLAS	SEI	809.63	0.65	0.00	0.65	90.0	0.59
01/10/2018	DEVLIN, DON	SEI	84,067.94	131.36	0.00	131.36	90.0	118.22
01/10/2018	DEVLIN, KYLE	SEI	19,926.02	31.14	0.00	31.14	90.0	28.03
01/10/2018	DEVLIN, KIMBERLY	SEI	71,464.89	111.67	0.00	111.67	90.0	100.50
01/10/2018	DEVLIN, DON	SEI	34,109.96	57.56	0.00	57.56	90.0	51.80
01/10/2018	DEVLIN, DON	SEI	5,577.82	9.42	0.00	9.42	90.0	8.48
01/10/2018	FORD, CHRISTOPHER	SEI	673.02	0.54	0.00	0.54	90.0	0.49
01/10/2018	HARMEL, ERIC	SEI	103.30	0.04	0.00	0.04	90.0	0.04
01/10/2018	HARMEL, ERIC	SEI	10,956.61	18.49	0.00	18.49	90.0	16.64
01/10/2018	HEEN, LINDA	SEI	5,323.73	2.33	0.00	2.33	90.0	2.10
01/10/2018	BLACKLANCE, IVAN	SEI	12,063.26	18.85	0.00	18.85	90.0	16.97
01/10/2018	KRINGEN, STUART	SEI	331,983.68	124.50	0.00	124.50	90.0	112.05
01/10/2018	LEACH, BRANDON	SEI	714.23	0.57	0.00	0.57	90.0	0.51
01/10/2018	LYNDE, STEVEN	SEI	53,037.81	82.87	0.00	82.87	90.0	74.58
01/10/2018	LYNDE, LANA	SEI	24,097.75	37.66	0.00	37.66	90.0	33.89
01/10/2018	LIESENER, MARTY	SEI	51,068.85	86.18	0.00	86.18	90.0	77.56
01/10/2018	LIESENER, MARTY	SEI	982.53	0.62	0.00	0.62	90.0	0.56
01/10/2018	TREADWAY, GARY	SEI	708.75	0.57	0.00	0.57	90.0	0.51
01/10/2018	WEBER, DANIEL	SEI	54,745.32	20.53	0.00	20.53	90.0	18.48
01/10/2018	BARTINGALE, JAMES	SEI	166,978.94	281.78	0.00	281.78	90.0	253.60
01/10/2018	BARTINGALE, JAMES	SEI	82,480.36	136.64	0.00	136.64	90.0	122.98
01/10/2018	BENSON, JEFFREY	SEI	16,484.65	25.76	0.00	25.76	90.0	23.18
01/10/2018	SIKOLE, ROBERT	SEI	219,560.05	370.51	0.00	370.51	90.0	333.46
01/10/2018	SIKOLE, ROBERT	SEI	74.58	0.13	0.00	0.13	90.0	0.12
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$2,468,744.28	\$3,548.93	\$0.00	\$3,548.93		\$3,194.07

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
12/11/2017	SINNESS, STEVEN	AM FUNDS CAPITAL INCOME BUILDER A	10,000.00	0.00	0.00	0.00	90.0	0.00
12/11/2017	SINNESS, STEVEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.00	0.00	0.00	90.0	0.00
12/11/2017	SINNESS, STEVEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.00	0.00	0.00	90.0	0.00
12/11/2017	SINNESS, STEVEN	AM FUNDS ICA A	0.00	0.00	0.00	0.00	90.0	0.00
12/11/2017	SINNESS, STEVEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.00	0.00	0.00	90.0	0.00
12/11/2017	SINNESS, STEVEN	AM FUNDS INCOME FUND OF AMERICA A	10,000.00	0.00	0.00	0.00	90.0	0.00
12/11/2017	SINNESS, STEVEN	AM FUNDS NEW WORLD A	0.00	0.00	0.00	0.00	90.0	0.00
12/11/2017	SINNESS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	0.00	0.00	0.00	90.0	0.00
12/04/2017	POWELL, WILLIAM	BLACKROCK MULTI-ASSET INCOME PORTFO	8,060.68	0.00	0.00	0.00	90.0	0.00
12/11/2017	SINNESS, STEVEN	CITIGROUP INC COM NEW ISIN#US172967	302.74	0.00	2.42	-2.42	90.0	-2.18
12/11/2017	SINNESS, STEVEN	DUKE ENERGY CORP NEW COM	5,253.60	0.00	2.42	-2.42	90.0	-2.18
09/29/2017	ALEXANDER, MICHELLE	ISHARES CORE S&P SMALL CAP ETF	-6,517.28	-11.55	-2.42	-9.13	90.0	-8.22
09/29/2017	ALEXANDER, MICHELLE	ISHARES CORE S&P SMALL CAP ETF	6,517.28	0.00	2.42	-2.42	90.0	-2.18
12/08/2017	ALEXANDER, MICHELLE	ISHARES CORE S&P SMALL CAP ETF	5,267.81	0.00	2.42	-2.42	90.0	-2.18
09/29/2017	ALEXANDER, MICHELLE	ISHARES S&P 500 VALUE ETF	-6,564.21	-11.55	-2.42	-9.13	90.0	-8.22
09/29/2017	ALEXANDER, MICHELLE	ISHARES S&P 500 VALUE ETF	6,564.21	0.00	2.42	-2.42	90.0	-2.18
12/08/2017	ALEXANDER, MICHELLE	ISHARES S&P 500 VALUE ETF	11,093.33	0.00	2.42	-2.42	90.0	-2.18
09/29/2017	ALEXANDER, MICHELLE	ISHARES TR BARCLAYS 1-3 YR TREAS BD	-8,701.44	-13.67	-2.42	-11.25	90.0	-10.13
09/29/2017	ALEXANDER, MICHELLE	ISHARES TR BARCLAYS 1-3 YR TREAS BD	8,701.44	0.00	2.42	-2.42	90.0	-2.18
12/08/2017	ALEXANDER, MICHELLE	ISHARES TR BARCLAYS 1-3 YR TREAS BD	3,359.20	0.00	2.42	-2.42	90.0	-2.18
09/29/2017	ALEXANDER, MICHELLE	ISHARES TR BARCLAYS 20+ YR TREAS BD	-4,366.60	-10.75	-2.42	-8.33	90.0	-7.50
09/29/2017	ALEXANDER, MICHELLE	ISHARES TR BARCLAYS 20+ YR TREAS BD	4,366.60	0.00	2.42	-2.42	90.0	-2.18
12/08/2017	ALEXANDER, MICHELLE	ISHARES TR BARCLAYS 20+ YR TREAS BD	1,517.16	0.00	2.42	-2.42	90.0	-2.18
09/29/2017	ALEXANDER, MICHELLE	ISHARES TR BARCLAYS INTER CR BD FD	-32,863.40	-34.65	-2.42	-32.23	90.0	-29.01
09/29/2017	ALEXANDER, MICHELLE	ISHARES TR BARCLAYS INTER CR BD FD	32,863.44	0.00	2.42	-2.42	90.0	-2.18
10/25/2017	ALEXANDER, MICHELLE	ISHARES TR BARCLAYS INTER CR BD FD	-109.77	-2.97	-2.42	-0.55	90.0	-0.50
10/25/2017	ALEXANDER, MICHELLE	ISHARES TR BARCLAYS INTER CR BD FD	109.77	0.00	2.42	-2.42	90.0	-2.18
12/08/2017	ALEXANDER, MICHELLE	ISHARES TR BARCLAYS INTER CR BD FD	11,476.61	0.00	2.42	-2.42	90.0	-2.18
09/29/2017	ALEXANDER, MICHELLE	ISHARES TR CORE S&P MID-CAP ETF	-10,902.50	-11.55	-2.42	-9.13	90.0	-8.22
09/29/2017	ALEXANDER, MICHELLE	ISHARES TR CORE S&P MID-CAP ETF	10,902.53	0.00	2.42	-2.42	90.0	-2.18
12/08/2017	ALEXANDER, MICHELLE	ISHARES TR CORE S&P MID-CAP ETF	3,401.80	0.00	2.42	-2.42	90.0	-2.18
09/29/2017	ALEXANDER, MICHELLE	ISHARES TR IBOXX USD INVT GRADE COR	-10,908.00	-11.55	-2.42	-9.13	90.0	-8.22
09/29/2017	ALEXANDER, MICHELLE	ISHARES TR IBOXX USD INVT GRADE COR	10,908.00	0.00	2.42	-2.42	90.0	-2.18

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<u>SPLIT TRANSACTIONS</u>								
BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333								
12/08/2017	ALEXANDER, MICHELLE	ISHARES TR IBOXX USD INVT GRADE COR	1,088.60	0.00	2.42	-2.42	90.0	-2.18
09/29/2017	ALEXANDER, MICHELLE	ISHARES TR RUSSELL 1000 GROWTH INDE	-6,476.60	-11.55	-2.42	-9.13	90.0	-8.22
09/29/2017	ALEXANDER, MICHELLE	ISHARES TR RUSSELL 1000 GROWTH INDE	6,476.60	0.00	2.42	-2.42	90.0	-2.18
12/08/2017	ALEXANDER, MICHELLE	ISHARES TR RUSSELL 1000 GROWTH INDE	2,006.48	0.00	2.42	-2.42	90.0	-2.18
09/29/2017	ALEXANDER, MICHELLE	ISHARES TR S&P 500 GROWTH ETF	-4,284.30	-10.63	-2.42	-8.21	90.0	-7.39
09/29/2017	ALEXANDER, MICHELLE	ISHARES TR S&P 500 GROWTH ETF	4,284.30	0.00	2.42	-2.42	90.0	-2.18
12/08/2017	ALEXANDER, MICHELLE	ISHARES TR S&P 500 GROWTH ETF	4,562.70	0.00	2.42	-2.42	90.0	-2.18
09/29/2017	ALEXANDER, MICHELLE	ISHARES TR S&P 500 INDEX FD	-67,793.40	-34.65	-2.42	-32.23	90.0	-29.01
09/29/2017	ALEXANDER, MICHELLE	ISHARES TR S&P 500 INDEX FD	67,793.38	0.00	2.42	-2.42	90.0	-2.18
10/25/2017	ALEXANDER, MICHELLE	ISHARES TR S&P 500 INDEX FD	-1,028.92	-4.95	-2.42	-2.53	90.0	-2.28
10/25/2017	ALEXANDER, MICHELLE	ISHARES TR S&P 500 INDEX FD	1,028.92	0.00	2.42	-2.42	90.0	-2.18
12/08/2017	ALEXANDER, MICHELLE	ISHARES TR S&P 500 INDEX FD	19,492.10	0.00	2.42	-2.42	90.0	-2.18
09/29/2017	ALEXANDER, MICHELLE	POWERSHARES GLOBAL EXCHANGE TRADED	-51,532.80	-22.26	-2.42	-19.84	90.0	-17.86
09/29/2017	ALEXANDER, MICHELLE	POWERSHARES GLOBAL EXCHANGE TRADED	51,532.80	0.00	2.42	-2.42	90.0	-2.18
10/25/2017	ALEXANDER, MICHELLE	POWERSHARES GLOBAL EXCHANGE TRADED	-59.03	-2.97	-2.42	-0.55	90.0	-0.50
10/25/2017	ALEXANDER, MICHELLE	POWERSHARES GLOBAL EXCHANGE TRADED	59.03	0.00	2.42	-2.42	90.0	-2.18
12/08/2017	ALEXANDER, MICHELLE	POWERSHARES GLOBAL EXCHANGE TRADED	3,320.56	0.00	2.42	-2.42	90.0	-2.18
12/11/2017	HATLESTAD, PATRICK	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.00	0.00	0.00	90.0	0.00
12/11/2017	DONAI, DONALD	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.00	0.00	0.00	90.0	0.00
12/11/2017	DONAI, DONALD	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.00	0.00	0.00	90.0	0.00
12/11/2017	VESTAL, KATHY	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.00	0.00	0.00	90.0	0.00
08/22/2017	ALEXANDER, MICHELLE	SCHWAB STRATEGIC TR INTL EQUITY ETF	-22,227.30	-2.97	-2.42	-0.55	90.0	-0.50
08/22/2017	ALEXANDER, MICHELLE	SCHWAB STRATEGIC TR INTL EQUITY ETF	22,227.29	0.00	2.42	-2.42	90.0	-2.18
12/11/2017	MORRIS, PATRICK	T ROWE PRICE GROWTH STOCK 87287T123	0.00	0.00	0.00	0.00	90.0	0.00
12/11/2017	MORRIS, SANDRA PACKER	T ROWE PRICE GROWTH STOCK 87287T123	0.00	0.00	0.00	0.00	90.0	0.00
12/11/2017	MORRIS, PATRICK	T ROWE PRICE INTERNATIONAL	0.00	0.00	0.00	0.00	90.0	0.00
12/11/2017	MORRIS, SANDRA PACKER	T ROWE PRICE INTERNATIONAL	0.00	0.00	0.00	0.00	90.0	0.00
12/08/2017	ALEXANDER, MICHELLE	VANGUARD INDEX FDS VANGUARD GROWTH	14,958.49	0.00	2.42	-2.42	90.0	-2.18
09/29/2017	ALEXANDER, MICHELLE	VANGUARD INTL EQUITY INDEX FDS MSCI	-13,243.10	-30.81	-2.42	-28.39	90.0	-25.55
09/29/2017	ALEXANDER, MICHELLE	VANGUARD INTL EQUITY INDEX FDS MSCI	13,243.10	0.00	2.42	-2.42	90.0	-2.18
10/25/2017	ALEXANDER, MICHELLE	VANGUARD INTL EQUITY INDEX FDS MSCI	-355.72	-2.97	-2.42	-0.55	90.0	-0.50
10/25/2017	ALEXANDER, MICHELLE	VANGUARD INTL EQUITY INDEX FDS MSCI	355.72	0.00	2.42	-2.42	90.0	-2.18
12/08/2017	ALEXANDER, MICHELLE	VANGUARD INTL EQUITY INDEX FDS MSCI	4,940.21	0.00	2.42	-2.42	90.0	-2.18

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<u>SPLIT TRANSACTIONS</u>								
BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333								
09/29/2017	ALEXANDER, MICHELLE	VANGUARD SCOTTSDALE FUNDS	-10,924.10	-20.57	-2.42	-18.15	90.0	-16.34
09/29/2017	ALEXANDER, MICHELLE	VANGUARD SCOTTSDALE FUNDS	10,924.06	0.00	2.42	-2.42	90.0	-2.18
10/25/2017	ALEXANDER, MICHELLE	VANGUARD SCOTTSDALE FUNDS	-151.62	-2.97	-2.42	-0.55	90.0	-0.50
10/25/2017	ALEXANDER, MICHELLE	VANGUARD SCOTTSDALE FUNDS	151.62	0.00	2.42	-2.42	90.0	-2.18
12/08/2017	ALEXANDER, MICHELLE	VANGUARD SCOTTSDALE FUNDS	855.47	0.00	2.42	-2.42	90.0	-2.18
09/29/2017	ALEXANDER, MICHELLE	VANGUARD TAX-MANAGED INTL FD FTSE D	-26,400.80	-52.43	-2.42	-50.01	90.0	-45.01
09/29/2017	ALEXANDER, MICHELLE	VANGUARD TAX-MANAGED INTL FD FTSE D	26,400.80	0.00	2.42	-2.42	90.0	-2.18
10/25/2017	ALEXANDER, MICHELLE	VANGUARD TAX-MANAGED INTL FD FTSE D	-350.18	-5.50	-2.42	-3.08	90.0	-2.77
10/25/2017	ALEXANDER, MICHELLE	VANGUARD TAX-MANAGED INTL FD FTSE D	350.18	0.00	2.42	-2.42	90.0	-2.18
12/08/2017	ALEXANDER, MICHELLE	VANGUARD TAX-MANAGED INTL FD FTSE D	21,429.07	0.00	2.42	-2.42	90.0	-2.18
12/06/2017	MORRIS, SANDRA PACKER	WASATCH INTERNATIONAL OPPORTUNITIES	3,000.00	0.00	0.00	0.00	90.0	0.00
12/11/2017	HATLESTAD, PATRICK	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.00	0.00	0.00	90.0	0.00
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$145,386.61	\$-313.47	\$41.14	\$-354.61		\$-319.29

BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334								
09/07/2017	HUGGINS, JAMES	***TECK RESOURCES LIMITED	-486.00	-8.00	-3.52	-4.48	90.0	-4.03
09/07/2017	HUGGINS, JAMES	***TECK RESOURCES LIMITED	486.00	0.00	3.52	-3.52	90.0	-3.17
09/07/2017	HUGGINS, JAMES	BRIGHTHOUSE FINL INC COM	-106.01	-8.00	-3.52	-4.48	90.0	-4.03
09/07/2017	HUGGINS, JAMES	BRIGHTHOUSE FINL INC COM	106.01	0.00	3.52	-3.52	90.0	-3.17
09/07/2017	HUGGINS, JAMES	CBS CORP CL B COM	-1,461.42	-8.16	-3.52	-4.64	90.0	-4.18
09/07/2017	HUGGINS, JAMES	CBS CORP CL B COM	1,461.42	0.00	3.52	-3.52	90.0	-3.17
09/07/2017	HUGGINS, JAMES	CONSOL ENERGY INC	-796.46	-8.00	-3.52	-4.48	90.0	-4.03
09/07/2017	HUGGINS, JAMES	CONSOL ENERGY INC	796.46	0.00	3.52	-3.52	90.0	-3.17
09/07/2017	HUGGINS, JAMES	DIAMONDBACK ENERGY INC COM	-908.03	-8.00	-3.52	-4.48	90.0	-4.03
09/07/2017	HUGGINS, JAMES	DIAMONDBACK ENERGY INC COM	908.03	0.00	3.52	-3.52	90.0	-3.17
09/07/2017	HUGGINS, JAMES	INTERCONTINENTALEXCHANGE GROUP INC	-1,090.89	-8.00	-3.52	-4.48	90.0	-4.03
09/07/2017	HUGGINS, JAMES	INTERCONTINENTALEXCHANGE GROUP INC	1,090.89	0.00	3.52	-3.52	90.0	-3.17
09/07/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	-9,954.56	-16.80	-3.52	-13.28	90.0	-11.95
09/07/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	9,954.56	0.00	3.52	-3.52	90.0	-3.17
09/07/2017	HUGGINS, JAMES	ISHARES TR CORE S&P MID-CAP ETF	-25,095.80	-33.60	-3.52	-30.08	90.0	-27.07
09/07/2017	HUGGINS, JAMES	ISHARES TR CORE S&P MID-CAP ETF	25,095.81	0.00	3.52	-3.52	90.0	-3.17
09/07/2017	HUGGINS, JAMES	ISHARES TR S&P 500 INDEX FD	-155,963.00	-117.60	-3.52	-114.08	90.0	-102.67
09/07/2017	HUGGINS, JAMES	ISHARES TR S&P 500 INDEX FD	155,962.86	0.00	3.52	-3.52	90.0	-3.17
12/18/2017	HUGGINS, JAMES	ISHARES TRUST	272.50	0.00	3.52	-3.52	90.0	-3.17

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BJB JEN-BRENT-BRION TRU-DONAIIS-LEE- #334								
01/09/2018	KINCAID INDUSTRIES	PERSHING	237,998.49	46.95	0.00	46.95	90.0	42.26
01/09/2018	KINCAID INDUSTRIES	PERSHING	63,332.24	12.49	0.00	12.49	90.0	11.24
12/11/2017	PATOROS, JOHN	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.00	0.00	0.00	90.0	0.00
09/07/2017	HUGGINS, JAMES	SCHWAB CHARLES CORP NEW COM	-1,985.89	-9.84	-3.52	-6.32	90.0	-5.69
09/07/2017	HUGGINS, JAMES	SCHWAB CHARLES CORP NEW COM	1,985.89	0.00	3.52	-3.52	90.0	-3.17
12/18/2017	HUGGINS, JAMES	SCHWAB STRATEGIC TR US LARGE-CAP GR	71.28	0.00	3.52	-3.52	90.0	-3.17
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	-9,120.81	-4.32	0.00	-4.32	90.0	-3.89
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	9,120.81	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	-9,120.81	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	9,120.81	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	9,120.81	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	-9,120.81	-4.32	0.00	-4.32	90.0	-3.89
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	-9,647.58	-4.32	0.00	-4.32	90.0	-3.89
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	9,647.58	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	-9,647.58	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	9,647.58	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	9,647.58	4.32	0.00	4.32	90.0	3.89
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	-9,647.58	-4.32	0.00	-4.32	90.0	-3.89
12/18/2017	HUGGINS, JAMES	U S CONCRETE INC COM NEW	474.00	0.00	3.52	-3.52	90.0	-3.17
12/18/2017	HUGGINS, JAMES	UNITEDHEALTH GROUP INC COM	667.23	0.00	3.52	-3.52	90.0	-3.17
08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	-22,454.10	-4.32	-3.52	-0.80	90.0	-0.72
08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	22,454.14	0.00	3.52	-3.52	90.0	-3.17
08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	-22,454.10	0.00	-3.52	3.52	90.0	3.17
08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	22,454.14	0.00	3.52	-3.52	90.0	-3.17
08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	22,454.14	4.32	3.52	0.80	90.0	0.72
08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	-22,454.10	-4.32	-3.52	-0.80	90.0	-0.72
08/22/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	18,710.57	4.32	3.52	0.80	90.0	0.72
08/22/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	-18,710.60	-4.32	-3.52	-0.80	90.0	-0.72
08/22/2017	HUGGINS, JAMES	VANGUARD SCOTTSDALE FUNDS	18,710.57	0.00	3.52	-3.52	90.0	-3.17
08/22/2017	HUGGINS, JAMES	VANGUARD SCOTTSDALE FUNDS	-18,710.60	0.00	-3.52	3.52	90.0	3.17
08/22/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	-18,710.60	-4.32	-3.52	-0.80	90.0	-0.72
08/22/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	18,710.57	0.00	3.52	-3.52	90.0	-3.17
* BJB JEN-BRENT-BRION TRU-DONAIIS-LEE- SUBTOTAL *			\$302,815.64	\$-188.16	\$14.08	\$-202.24		\$-182.04

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
01/09/2018	RASMUSSEN, CLINTON	PERSHING	106,744.89	131.60	0.00	131.60	90.0	118.44
01/09/2018	RASMUSSEN, CLINTON	PERSHING	97,405.35	120.09	0.00	120.09	90.0	108.08
01/09/2018	RASMUSSEN, PAMELA	PERSHING	28,749.48	35.44	0.00	35.44	90.0	31.90
01/09/2018	RASMUSSEN, PAMELA	PERSHING	20,440.78	25.20	0.00	25.20	90.0	22.68
01/09/2018	FREIH, DIANE	PERSHING	65,012.14	80.15	0.00	80.15	90.0	72.14
01/09/2018	KEMPER, JEROME	PERSHING	225,980.02	278.60	0.00	278.60	90.0	250.74
01/09/2018	LEE, DONALD	PERSHING	141,325.99	174.24	0.00	174.24	90.0	156.82
01/09/2018	LEE, CONNIE	PERSHING	63,326.11	78.07	0.00	78.07	90.0	70.26
01/09/2018	LEE, CONNIE	PERSHING	16,074.89	19.82	0.00	19.82	90.0	17.84
01/09/2018	MONETTE, PEGGY	PERSHING	230,928.91	284.71	0.00	284.71	90.0	256.24
01/09/2018	MONETTE, PEGGY	PERSHING	42,216.50	52.05	0.00	52.05	90.0	46.85
01/09/2018	RETZLAFF, SANDRA	PERSHING	83,144.62	102.51	0.00	102.51	90.0	92.26
01/09/2018	RETZLAFF, SANDRA	PERSHING	27,395.28	33.78	0.00	33.78	90.0	30.40
01/09/2018	SKAARE, LARRY	PERSHING	34,259.69	49.00	0.00	49.00	90.0	44.10
01/09/2018	SKAARE, LARRY	PERSHING	217,130.79	310.53	0.00	310.53	90.0	279.48
01/09/2018	SKAARE, LARRY	PERSHING	19,679.22	28.14	0.00	28.14	90.0	25.33
01/09/2018	RUFFIE, DAVID	PERSHING	272,239.40	389.34	0.00	389.34	90.0	350.41
01/09/2018	RUFFIE, TWYLA	PERSHING	9,224.64	13.19	0.00	13.19	90.0	11.87
01/09/2018	HEEN, JEREMY	PERSHING	23,705.86	33.90	0.00	33.90	90.0	30.51
01/09/2018	HEEN, KRISTA	PERSHING	107,569.41	153.84	0.00	153.84	90.0	138.46
01/09/2018	KASMER, SARA	PERSHING	42,245.57	60.42	0.00	60.42	90.0	54.38
01/09/2018	AAFEDT, LUKAS	PERSHING	37,683.10	53.89	0.00	53.89	90.0	48.50
01/10/2018	DEAVER, ROSS	SEI	35,777.78	48.30	0.00	48.30	90.0	43.47
01/10/2018	ERICKSTAD, SAMUEL	SEI	67,904.25	91.67	0.00	91.67	90.0	82.50
01/10/2018	HARSHBARGER, RORY	SEI	89,393.90	120.68	0.00	120.68	90.0	108.61
01/10/2018	HEEN, JEREMY	SEI	258,073.77	348.40	0.00	348.40	90.0	313.56
01/10/2018	JORGENSEN, BLAINE	SEI	2,143,912.50	643.18	0.00	643.18	90.0	578.86
01/10/2018	JORGENSEN, BLAINE	SEI	619,470.36	619.47	0.00	619.47	90.0	557.52
01/10/2018	KASMER, JOHN	SEI	383,518.41	115.06	0.00	115.06	90.0	103.55
01/10/2018	KASMER, TRACEY	SEI	111,170.26	33.35	0.00	33.35	90.0	30.02
01/10/2018	MARISTUEN, JON	SEI	3,713.32	4.64	0.00	4.64	90.0	4.18
01/10/2018	PACHECO, MICHAEL	SEI	8,034.90	10.85	0.00	10.85	90.0	9.77
01/10/2018	RETZLAFF, SANDRA	SEI	17,417.06	5.22	0.00	5.22	90.0	4.70

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
01/10/2018	SKAARE, LARRY	SEI	20,513.73	6.16	0.00	6.16	90.0	5.54
01/10/2018	SMITH, AUSTIN	SEI	22,260.21	30.05	0.00	30.05	90.0	27.05
01/10/2018	HAUGEN, PATRICK	SEI	187,931.96	253.71	0.00	253.71	90.0	228.34
01/10/2018	HAUGEN, PATRICK	SEI	113,745.69	153.56	0.00	153.56	90.0	138.20
01/10/2018	FREIH, DIANE	SEI	157,908.88	213.18	0.00	213.18	90.0	191.86
01/10/2018	GRANT, GREGORY	SEI	79,809.56	119.72	0.00	119.72	90.0	107.75
01/10/2018	GRANT, KATHLEEN	SEI	114,322.89	171.48	0.00	171.48	90.0	154.33
01/10/2018	JOHNSON, BRAD	SEI	17,802.20	24.03	0.00	24.03	90.0	21.63
01/10/2018	JOHNSON, SHARI	SEI	16,930.72	22.86	0.00	22.86	90.0	20.57
01/10/2018	JOHNSON, BRAD	SEI	2,396.61	3.24	0.00	3.24	90.0	2.92
01/10/2018	JOHNSON, SHARI	SEI	19,144.07	25.84	0.00	25.84	90.0	23.26
01/10/2018	JOHNSON, BRAD	SEI	29,599.97	39.96	0.00	39.96	90.0	35.96
01/10/2018	KRINGEN, MARK	SEI	2,002.85	1.70	0.00	1.70	90.0	1.53
01/10/2018	KRINGEN, MARK	SEI	1,048,222.79	314.47	0.00	314.47	90.0	283.02
01/10/2018	KRINGEN, MARK	SEI	204,778.39	174.06	0.00	174.06	90.0	156.65
01/10/2018	MARISTUEN, JON	SEI	200,918.57	271.24	0.00	271.24	90.0	244.12
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$7,889,158.24	\$6,374.59	\$0.00	\$6,374.59		\$5,737.16
<u>BROKER: TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L #336</u>								
01/09/2018	HENNEN, ANN	PERSHING	22,714.73	9.75	0.00	9.75	90.0	8.78
01/09/2018	HENNEN, STUART	PERSHING	21,090.26	9.05	0.00	9.05	90.0	8.15
01/09/2018	HENNEN, ANN	PERSHING	539,457.64	231.45	0.00	231.45	90.0	208.31
01/10/2018	LOOMIS, MATT	SEI	12,451.11	4.67	0.00	4.67	90.0	4.20
01/10/2018	ERICKSON, AARON	SEI	109,466.50	26.77	0.00	26.77	90.0	24.09
* TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L SUBTOTAL *			\$705,180.24	\$281.69	\$0.00	\$281.69		\$253.53
<u>BROKER: L139-BRE/BRI/JEN/TY TRU-LEE/NOR/DON #358</u>								
01/10/2018	SORENSEN, CURTIS	SEI	695,719.34	172.19	0.00	172.19	90.0	154.97
* L139-BRE/BRI/JEN/TY TRU-LEE/NOR/DON SUBTOTAL *			\$695,719.34	\$172.19	\$0.00	\$172.19		\$154.97
*** SPLIT TRANSACTIONS TOTAL ***			\$12,207,004.35	\$9,875.77	\$55.22	\$9,820.55		\$8,838.40

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ADJUSTMENTS

01/15/2018		*RBC: W/O DB BAL MORRIS	0.00					-9.23
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-9.23

BROKER COMMISSION TOTALS

Broker Transactions	\$376.33
Split Transactions	\$8,838.40
Override Transactions	\$0.00
Adjustments	\$-9.23
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-126.38
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$9,079.12
Year-to-date Gross	\$10,293.91
Year-to-date Earnings	\$9,214.73

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