

COMMISSION STATEMENT**February 15, 2018**

TRACY CUDA
 3200 N. Central Ave
 Suite 200
 Phoenix, AZ 85012

BROKER #: 73670
 BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities America**BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

02/15/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-150.00	0.00	-150.00	-54.83
02/15/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	162.50	0.00	162.50	59.39
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$12.50</u>	<u>\$0.00</u>	<u>\$12.50</u>	<u>\$4.56</u>

PRODUCT CATEGORY: TRAILERS

02/15/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	22,220.47	0.00	22,220.47	8,121.58
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$22,220.47</u>	<u>\$0.00</u>	<u>\$22,220.47</u>	<u>\$8,121.58</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$22,232.97</u>	<u>\$0.00</u>	<u>\$22,232.97</u>	<u>\$8,126.14</u>

OVERRIDE TRANSACTIONS**BROKER: JODI GALLOVICH #73712**

02/15/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	5,517.03	0.00	5,517.03	742.04
02/15/2018	GALLOVICH (73712), JODI	SAI.TRAILS	0.00	61,860.45	0.00	61,860.45	8,320.23
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$67,377.48</u>	<u>\$0.00</u>	<u>\$67,377.48</u>	<u>\$9,062.27</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$67,377.48</u>	<u>\$0.00</u>	<u>\$67,377.48</u>	<u>\$9,062.27</u>

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities America (continued)

ADJUSTMENTS

17. SAI SIPC PE 2/9/18							-101.84
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-101.84

BROKER COMMISSION TOTALS

Broker Transactions	\$8,126.14
Split Transactions	\$0.00
Override Transactions	\$9,062.27
Adjustments	\$-101.84
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$17,086.57
Year-to-date Earnings	\$72,722.25