

Bill Payment Stub

Check Date:	2/7/2018
Check No.:	ACH
Check Amount:	2,272.40

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: TOM BRISTER
32180 INVERNESS DR.
EVERGREEN, CO 80439

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
1/31/2018	Bill	COMMISSIONS	2,512.40	2,512.40		2,512.40
2/1/2018	Credit	E&O	-120.00	-120.00		-120.00
2/1/2018	Credit	E&O-ALEX BRISTER	-120.00	-120.00		-120.00

COMMISSION STATEMENT

January 31, 2018

TOM BRISTER-D011

BROKER #: D011

BRANCH: DMD

, TX

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
01/16/2018 307663507	GOTHARD, CAROLYN	S	TEMPLETON DRAGON FUND	B	5,102.23	18.00	15.00	3.00 87.5	2.63
01/19/2018 368431614	STUBBS, JACQUELINE	S	TEMPLETON DRAGON FUND	B	16,020.17	18.00	15.00	3.00 87.5	2.63
01/16/2018 368434427	BRISTER, THOMAS	S	TEMPLETON DRAGON FUND	B	7,994.99	18.00	15.00	3.00 87.5	2.63
01/16/2018 368462732	GOTHARD, CAROLYN	S	TEMPLETON DRAGON FUND	B	13,878.05	18.00	15.00	3.00 87.5	2.63
01/16/2018 676424960	BRISTER, THOMAS	S	TEMPLETON DRAGON FUND	B	9,961.32	18.00	15.00	3.00 87.5	2.63
01/18/2018 676424960	BRISTER, THOMAS	S	NEUBERGER BERMAN REAL ESTATE	S	-4,908.44	11.77	0.00	11.77 87.5	10.30
01/18/2018 676424960	BRISTER, THOMAS	S	NEUBERGER BERMAN REAL ESTATE	S	-511.77	1.22	0.00	1.22 87.5	1.07
01/18/2018 676424960	BRISTER, THOMAS	S	NEUBERGER BERMAN REAL ESTATE	S	-511.77	1.22	0.00	1.22 87.5	1.07
01/18/2018 676424960	BRISTER, THOMAS	S	NEUBERGER BERMAN REAL ESTATE	S	-511.77	1.22	0.00	1.22 87.5	1.07
01/18/2018 676424960	BRISTER, THOMAS	S	NEUBERGER BERMAN REAL ESTATE	S	-511.77	1.22	0.00	1.22 87.5	1.07
01/18/2018 676424960	BRISTER, THOMAS	S	NEUBERGER BERMAN REAL ESTATE	S	-509.32	1.22	15.00	-13.78 87.5	-12.06
01/18/2018 676424960	BRISTER, THOMAS	S	NEUBERGER BERMAN REAL ESTATE	S	-481.06	1.15	0.00	1.15 87.5	1.01
01/18/2018 676424960	BRISTER, THOMAS	S	NEUBERGER BERMAN REAL ESTATE	S	-71.65	0.17	0.00	0.17 87.5	0.15
01/18/2018 676424960	BRISTER, THOMAS	S	NEUBERGER BERMAN REAL ESTATE	S	-5.12	0.01	0.00	0.01 87.5	0.01
01/19/2018 676424960	BRISTER, THOMAS	S	COHEN & STEERS QUALITY INCOME	S	-7,492.98	18.00	15.00	3.00 87.5	2.63
01/24/2018 676424960	BRISTER, THOMAS	S	AMPLIFY TRANSFORMATIONAL DATA	B	4,985.77	18.00	15.00	3.00 87.5	2.63
01/19/2018 677592886	DALEY, LONNY	S	FREEPORT-MCMORAN INC CL B	S	-9,751.32	147.00	15.00	132.00 87.5	115.50
01/19/2018 677592886	DALEY, LONNY	S	TEMPLETON DRAGON FUND	B	14,694.22	217.00	15.00	202.00 87.5	176.75
01/19/2018 677592886	DALEY, LONNY	S	TRINITY INDUSTRIES INC	S	-2,698.07	40.00	15.00	25.00 87.5	21.88
01/24/2018 677663636	BAKER, CLAUDE	S	MCDERMOTT INTERNATIONAL INC	S	-10,274.12	157.00	15.00	142.00 87.5	124.25
01/16/2018 799810243	WILFORD (TOD), DAN	S	HEALTHCARE RLTY TR COM	S	-24,587.30	375.00	15.00	360.00 87.5	315.00
01/16/2018 799810243	WILFORD (TOD), DAN	S	TEMPLETON DRAGON FUND	B	20,011.68	18.00	15.00	3.00 87.5	2.63
* STOCKS SUBTOTAL *					\$30,333.74	\$1,097.98	\$210.00	\$887.98	\$777.04

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/23/2018 mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	0.69	0.00	0.69 87.5	0.60
01/23/2018 mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	2.02	0.00	2.02 87.5	1.77
01/23/2018 mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	14.48	0.00	14.48 87.5	12.67
01/23/2018 mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	53.15	0.00	53.15 87.5	46.51
01/23/2018 mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	76.19	0.00	76.19 87.5	66.67
01/23/2018 mfc564136	BRISTER T 564136	MFT FEDERATED EQUITY INCOME FUND	B	0.00	0.38	0.00	0.38 87.5	0.33
01/23/2018 mfc564136	BRISTER T 564136	MFT FIRST EAGLE GLOBAL FUND CLASS C B	B	0.00	30.81	0.00	30.81 87.5	26.96

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/23/2018	mfc564136 BRISTER T 564136	MFT JP MORGAN INCOME BUILDER FD CL	B	0.00	10.10	0.00	10.10	87.5	8.84
01/23/2018	mfc564136 BRISTER T 564136	MFT PIMCO SHORT TERM FD CL C	B	0.00	7.96	0.00	7.96	87.5	6.97
01/23/2018	mfc564136 BRISTER T 564136	MFT PRINCIPAL INVESTORS SAM CONSERV	B	0.00	3.97	0.00	3.97	87.5	3.47
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	1.62	0.00	1.62	87.5	1.42
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	2.27	0.00	2.27	87.5	1.99
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	2.27	0.00	2.27	87.5	1.99
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	23.72	0.00	23.72	87.5	20.76
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	27.39	0.00	27.39	87.5	23.97
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	28.81	0.00	28.81	87.5	25.21
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	53.76	0.00	53.76	87.5	47.04
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	2.21	0.00	2.21	87.5	1.93
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	2.66	0.00	2.66	87.5	2.33
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	3.63	0.00	3.63	87.5	3.18
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	39.93	0.00	39.93	87.5	34.94
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	59.50	0.00	59.50	87.5	52.06
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	63.84	0.00	63.84	87.5	55.86
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	107.74	0.00	107.74	87.5	94.27
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS EQTY GROWTH	B	0.00	1.91	0.00	1.91	87.5	1.67
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS MOD STRATEGY	B	0.00	23.39	0.00	23.39	87.5	20.47
01/23/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS MOD STRATEGY	B	0.00	23.96	0.00	23.96	87.5	20.97
01/23/2018	mfc564136 BRISTER T 564136	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	8.44	0.00	8.44	87.5	7.39
01/23/2018	mfc564136 BRISTER T 564136	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	3.76	0.00	3.76	87.5	3.29
01/23/2018	mfc564136 BRISTER T 564136	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	20.76	0.00	20.76	87.5	18.17
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$701.32	\$0.00	\$701.32		\$613.70
*** CLEARING TRANSACTIONS TOTAL ***				\$30,333.74	\$1,799.30	\$210.00	\$1,589.30		\$1,390.74

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

01/16/2018	(None) 0045801164	MF SCHOLARS CHOICE	B	0.00	6.00	0.00	6.00	87.5	5.25
01/16/2018	00064805200 SCHMIDT,	MF NEW PERSPECTIVE FUND-A	B	70.00	3.50	0.00	3.50	87.5	3.06
01/16/2018	00064805200 SCHMIDT,	MF THE INVESTMENT COMPANY OF	B	70.00	3.50	0.00	3.50	87.5	3.06
01/16/2018	00086110559 DRAUGHN, DUSTIN	MF THE INVESTMENT COMPANY OF	B	300.00	11.25	0.00	11.25	87.5	9.84
01/17/2018	00086110559 DRAUGHN, DUSTIN	MF THE INVESTMENT COMPANY OF	B	4,078.03	152.93	0.00	152.93	87.5	133.81
01/17/2018	00086110559 DRAUGHN, DUSTIN	MF THE INVESTMENT COMPANY OF	B	-4,078.03	-152.93	0.00	-152.93	87.5	-133.81
* MUTUAL FUNDS SUBTOTAL *				\$440.00	\$24.25	\$0.00	\$24.25		\$21.21

PRODUCT CATEGORY: VARIABLE ANNUITIES

01/16/2018	(None) GREGERSON	VA PHOENIX LIFE	B	0.00	4.00	0.00	4.00	87.5	3.50
01/16/2018	(None) WOODS	VA PHOENIX LIFE	B	0.00	6.66	0.00	6.66	87.5	5.83
01/18/2018	(None) BLACKWELL, JACQU	VA AMERITAS	B	0.00	3.00	0.00	3.00	87.5	2.63
01/18/2018	(None) BLACKWELL, JACQU	VA AMERITAS	B	0.00	6.48	0.00	6.48	87.5	5.67
01/18/2018	(None) JOHNSON, JORDAN	VA AMERITAS	B	0.00	8.00	0.00	8.00	87.5	7.00

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/18/2018 (None)	JOHNSONS, KARLYN	VA AMERITAS	B	0.00	8.00	0.00	8.00	87.5	7.00
01/18/2018 (None)	JOHNSON, MORGAN	VA AMERITAS	B	0.00	8.00	0.00	8.00	87.5	7.00
01/18/2018 (None)	JOHNSON, TRISTIN	VA AMERITAS	B	0.00	8.00	0.00	8.00	87.5	7.00
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$52.14	\$0.00	\$52.14		\$45.63

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/31/2017 (None)	MOINAT, IRIS	MFT COLUMBIA THREADNEEDLE	B	0.00	24.04	0.00	24.04	87.5	21.04
12/31/2017 (None)	ROBBINS, BRIAN	MFT PIMCO 12B-1	B	0.00	5.09	0.00	5.09	87.5	4.45
12/31/2017 (None)	JOHNSON, WAYNE	MFT SCHOLARS CHOICE	B	0.00	6.15	0.00	6.15	87.5	5.38
12/31/2017 (None)	GRAHAM, DAVID	MFT SCHOLARS CHOICE	B	0.00	18.71	0.00	18.71	87.5	16.37
12/31/2017 (None)	SCHMITODDG	MFT SCHOLARS CHOICE	B	0.00	3.94	0.00	3.94	87.5	3.45
12/31/2017 (None)	TRIPOALLAV	MFT SCHOLARS CHOICE	B	0.00	61.16	0.00	61.16	87.5	53.52
12/31/2017 (None)	JOHNSON, WAYNE	MFT SCHOLARS CHOICE	B	0.00	0.28	0.00	0.28	87.5	0.25
01/03/2018 00002111292	SHULTS, LINDA	MFT ALLIANZ NFJ LARGE CAP VALUE	B	0.00	6.69	0.00	6.69	87.5	5.85
01/03/2018 00002111292	SHULTS, LINDA	MFT ALLIANZ RCM TECHNOLOGY FUND	B	0.00	4.53	0.00	4.53	87.5	3.96
01/03/2018 00002265213	SHULTS, LINDA	MFT ALLIANZ NFJ LARGE CAP VALUE	B	0.00	5.92	0.00	5.92	87.5	5.18
01/03/2018 00002265213	SHULTS, LINDA	MFT ALLIANZ RCM TECHNOLOGY FUND	B	0.00	3.94	0.00	3.94	87.5	3.45
01/03/2018 00003118148	LADNER, MARY	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	14.92	0.00	14.92	87.5	13.06
01/03/2018 00003118148	LADNER, MARY	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	40.56	0.00	40.56	87.5	35.49
01/03/2018 00003402351	GREGERSEN, ARLENE	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	7.49	0.00	7.49	87.5	6.55
01/03/2018 00003402351	GREGERSEN, ARLENE	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	20.24	0.00	20.24	87.5	17.71
01/03/2018 00003403110	ROBBINS, BRIAN	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	18.39	0.00	18.39	87.5	16.09
01/03/2018 00003403110	ROBBINS, BRIAN	MFT ALLIANZ RCM TECHNOLOGY FUND	B	0.00	6.88	0.00	6.88	87.5	6.02
01/03/2018 00003403110	ROBBINS, BRIAN	MFT PIM 018919704	B	0.00	10.93	0.00	10.93	87.5	9.56
01/03/2018 00003426996	POST, ANTOINETTE	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	12.20	0.00	12.20	87.5	10.68
01/03/2018 00003426996	POST, ANTOINETTE	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	33.44	0.00	33.44	87.5	29.26
01/03/2018 00003432283	POST, KERRI	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	28.82	0.00	28.82	87.5	25.22
01/03/2018 01001352895	JACQUELINE C	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	7.21	0.00	7.21	87.5	6.31
01/03/2018 01001352895	JACQUELINE C	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	19.59	0.00	19.59	87.5	17.14
01/03/2018 01200597381	SHULTS, JACKIE	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	6.40	0.00	6.40	87.5	5.60
01/03/2018 01200597399	SHULTS, LINDA	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	6.40	0.00	6.40	87.5	5.60
01/03/2018 01241026895	GRAHAM, DAVID	MFT ALLIANZGI GLOBAL NATURAL	B	0.00	12.34	0.00	12.34	87.5	10.80
01/03/2018 01241026895	GRAHAM, DAVID	MFT ALLIANZGI GLOBAL NATURAL	B	0.00	37.03	0.00	37.03	87.5	32.40
01/03/2018 02048295782	DALEY, LINDA	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	1.59	0.00	1.59	87.5	1.39
01/03/2018 02048295782	DALEY, LINDA	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	4.32	0.00	4.32	87.5	3.78
01/03/2018 02048313759	MOONEY, JOSEPH	MFT ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	14.87	0.00	14.87	87.5	13.01
01/03/2018 02048313759	MOONEY, JOSEPH	MFT ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	44.60	0.00	44.60	87.5	39.03
01/03/2018 08050003151	CASSELMAN, MEGAN	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	8.11	0.00	8.11	87.5	7.10
01/03/2018 08050003151	CASSELMAN, MEGAN	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	24.32	0.00	24.32	87.5	21.28
01/03/2018 08050004399	EVELYN C HIBMA,	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	4.21	0.00	4.21	87.5	3.68
01/03/2018 08050005985	FAIRBANKS,	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	71.06	0.00	71.06	87.5	62.18
01/03/2018 08050005985	FAIRBANKS,	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	213.19	0.00	213.19	87.5	186.54
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$809.56	\$0.00	\$809.56		\$708.38

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
01/19/2018 (None)	BRISTER, LYDIA	VAT PRUDENTIAL LIFE	B	0.00	10.00	0.00	10.00	87.5	8.75
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$10.00	\$0.00	\$10.00		\$8.75
*** DIRECT TRANSACTIONS TOTAL ***				\$440.00	\$895.95	\$0.00	\$895.95		\$783.97

OVERRIDE TRANSACTIONSBROKER: ALEX BRISTER-D010 #14

01/04/2018 (None)	VARIOUS, BRISTER A	MF SCHOLARS CHOICE	B	0.00	4.50	0.00	4.50		1.69
01/12/2018 00068781179	GERRIE, SARA	MF AMCAP FUND-A	B	300.00	8.25	0.00	8.25		3.09
01/16/2018 00083404474	BARNES, WENDY	MF AMCAP FUND-A	B	500.00	18.75	0.00	18.75		7.03
01/04/2018 676429808	BRISTER, THOMAS	MF AMERICAN FDS FUNDAMENTAL INVSTR	S	3,012.45	0.00	5.00	-5.00		-1.88
01/04/2018 676429808	BRISTER, THOMAS	MF EUROPACIFIC GROWTH FUND-F2	S	5,362.45	0.00	5.00	-5.00		-1.88
01/04/2018 676429808	BRISTER, THOMAS	MF FUNDAMENTAL INVESTORS-A	S	-2,131.21	0.00	5.00	-5.00		-1.88
01/04/2018 676429808	BRISTER, THOMAS	MF INTERNATIONAL GROWTH AND	S	-5,377.18	0.00	5.00	-5.00		-1.88
01/18/2018 (None)	BRISTER, GARETT	VA AMERITAS	B	0.00	1.27	0.00	1.27		0.48
01/05/2018 a03652653	BRISTER-D010, ALEX	MI MUTUAL FUND MISC FEE 676429808	S	0.00	10.00	0.00	10.00		3.75
01/05/2018 a03652653	BRISTER-D010, ALEX	MI MUTUAL FUND MISC FEE 676429808	S	0.00	10.00	0.00	10.00		3.75
01/05/2018 a03652653	BRISTER-D010, ALEX	MI MUTUAL FUND MISC FEE 676429808	S	0.00	10.00	0.00	10.00		3.75
01/05/2018 a03652653	BRISTER-D010, ALEX	MI MUTUAL FUND MISC FEE 676429808	S	0.00	10.00	0.00	10.00		3.75
12/31/2017 (None)	LIERHEIMER, VICTORIA	MFT PIMCO 12B-1	B	0.00	23.09	0.00	23.09		8.66
12/31/2017 (None)	WALKER, SARAH	MFT PIMCO 12B-1	B	0.00	7.33	0.00	7.33		2.75
12/31/2017 (None)	NOWICKI, EVETTE	MFT PIMCO 12B-1	B	0.00	0.90	0.00	0.90		0.34
12/31/2017 (None)	WIEBER, JOSHUA	MFT SCHOLARS CHOICE	B	0.00	5.59	0.00	5.59		2.10
12/31/2017 (None)	GERRIE, SARA	MFT SCHOLARS CHOICE	B	0.00	121.25	0.00	121.25		45.47
12/31/2017 (None)	GERRIE, SARA	MFT SCHOLARS CHOICE	B	0.00	116.01	0.00	116.01		43.50
12/31/2017 (None)	GERRIE, SARA	MFT SCHOLARS CHOICE	B	0.00	119.76	0.00	119.76		44.91
12/31/2017 (None)	LIERHEIMER, VICTORIA	MFT SCHOLARS CHOICE	B	0.00	24.50	0.00	24.50		9.19
12/31/2017 (None)	BRISTER, THOMAS	MFT SCHOLARS CHOICE	B	0.00	14.48	0.00	14.48		5.43
12/31/2017 (None)	BRISTER, THOMAS	MFT SCHOLARS CHOICE	B	0.00	0.70	0.00	0.70		0.26
12/31/2017 (None)	NEWKIRK, JOHN	MFT SCHOLARS CHOICE	B	0.00	4.20	0.00	4.20		1.58
12/31/2017 (None)	NEWKIRK, JOHN	MFT SCHOLARS CHOICE	B	0.00	9.71	0.00	9.71		3.64
12/31/2017 (None)	NEWKIRK, JOHN	MFT SCHOLARS CHOICE	B	0.00	9.03	0.00	9.03		3.39
12/31/2017 (None)	BRISTER, THOMAS	MFT SCHOLARS CHOICE	B	0.00	1.76	0.00	1.76		0.66
01/03/2018 01200976205	RIMBEY, PAMELA	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	14.54	0.00	14.54		5.45
01/03/2018 01200976205	RIMBEY, PAMELA	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	39.71	0.00	39.71		14.89
01/03/2018 01200976213	RUSSELL, KATHLEEN	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	7.36	0.00	7.36		2.76
01/03/2018 01200976213	RUSSELL, KATHLEEN	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	20.01	0.00	20.01		7.50
01/03/2018 01220231615	ROSE, HEATHER	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	5.23	0.00	5.23		1.96
01/03/2018 01240215275	HUNT, CYNTHIA	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	3.50	0.00	3.50		1.31
01/03/2018 01241725892	EVANS, SHELLEY	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	0.76	0.00	0.76		0.29
01/03/2018 01241747409	KEFFLER, EMILY	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	1.09	0.00	1.09		0.41
01/23/2018 mfc652653	BRISTER A 652653	MFT AIM GLOBAL VALUE FUND - CLASS A B	B	0.00	2.78	0.00	2.78		1.04

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/23/2018	mfc652653	BRISTER A 652653	MFT ALLIANZ NFJ INTL VALUE FD CL C	B	0.00	10.55	0.00	10.55	3.96
01/23/2018	mfc652653	BRISTER A 652653	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	2.69	0.00	2.69	1.01
01/23/2018	mfc652653	BRISTER A 652653	MFT ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	12.93	0.00	12.93	4.85
01/23/2018	mfc652653	BRISTER A 652653	MFT ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	34.46	0.00	34.46	12.92
01/23/2018	mfc652653	BRISTER A 652653	MFT ALLIANZGI SHRT DURATION HIGH	B	0.00	8.34	0.00	8.34	3.13
01/23/2018	mfc652653	BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	0.40	0.00	0.40	0.15
01/23/2018	mfc652653	BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	2.96	0.00	2.96	1.11
01/23/2018	mfc652653	BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	9.58	0.00	9.58	3.59
01/23/2018	mfc652653	BRISTER A 652653	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.30	0.00	0.30	0.11
01/23/2018	mfc652653	BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.86	0.00	3.86	1.45
01/23/2018	mfc652653	BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.17	0.00	2.17	0.81
01/23/2018	mfc652653	BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND CLASS C	B	0.00	3.83	0.00	3.83	1.44
01/23/2018	mfc652653	BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.18	0.00	0.18	0.07
01/23/2018	mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.33	0.00	0.33	0.12
01/23/2018	mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.07	0.00	3.07	1.15
01/23/2018	mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.59	0.00	3.59	1.35
01/23/2018	mfc652653	BRISTER A 652653	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	8.39	0.00	8.39	3.15
01/23/2018	mfc652653	BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	2.30	0.00	2.30	0.86
01/23/2018	mfc652653	BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	6.04	0.00	6.04	2.27
01/23/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.22	0.00	1.22	0.46
01/23/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.29	0.00	1.29	0.48
01/23/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.85	0.00	1.85	0.69
01/23/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	2.74	0.00	2.74	1.03
01/23/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	26.57	0.00	26.57	9.96
01/23/2018	mfc652653	BRISTER A 652653	MFT NEW WORLD FUND-A	B	0.00	1.53	0.00	1.53	0.57
01/23/2018	mfc652653	BRISTER A 652653	MFT PIM 018918664	B	0.00	4.79	0.00	4.79	1.80
01/23/2018	mfc652653	BRISTER A 652653	MFT PIM 018918664	B	0.00	4.97	0.00	4.97	1.86
01/23/2018	mfc652653	BRISTER A 652653	MFT PIMCO STOCKSPLUS A	B	0.00	2.54	0.00	2.54	0.95
01/23/2018	mfc652653	BRISTER A 652653	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	1.27	0.00	1.27	0.48
01/23/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	0.15	0.00	0.15	0.06
01/23/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	1.67	0.00	1.67	0.63
01/23/2018	mfc652653	BRISTER A 652653	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.39	0.00	5.39	2.02
01/23/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.77	0.00	1.77	0.66
01/23/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	2.14	0.00	2.14	0.80
01/23/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	3.77	0.00	3.77	1.41
01/23/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	11.67	0.00	11.67	4.38
01/23/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.26	0.00	0.26	0.10
01/23/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.42	0.00	1.42	0.53
01/23/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.74	0.00	1.74	0.65
* ALEX BRISTER-D010 SUBTOTAL *					\$1,666.51	\$820.78	\$20.00	\$800.78	\$300.28
*** OVERRIDE TRANSACTIONS TOTAL ***					\$1,666.51	\$820.78	\$20.00	\$800.78	\$300.28

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
01/31/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
01/31/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
01/31/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		92.41
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$37.41</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,174.71
Split Transactions	\$0.00
Override Transactions	\$300.28
Adjustments	\$37.41
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,512.40
Year-to-date Commissions	\$4,551.17