



All Companies

07/30/2018  
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# COMMISSION STATEMENT August 01, 2018

JAMES M WAGNER  
1616 REDWOOD DR.

BROKER #: 9634  
BRANCH: HOME OFFICE

Corinth, TX 76210-

## COMMISSION STATEMENT for JAMES M WAGNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: RIA

07/13/2018	10040858	KELLY, LINDA MAY	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	80.0	14.40
07/13/2018	11850980	ESHBAUGH, MARIE ANN	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	80.0	8.00
07/13/2018	13943878	BRYANT, TRAVIS/ELIZABET	SIGNAL SECURITIES RIA	B	0.00	57.33	0.00	57.33	80.0	45.86
07/13/2018	17370769	BRYANT, TRAVIS/ELIZABET	SIGNAL SECURITIES RIA	B	0.00	52.00	0.00	52.00	80.0	41.60
07/13/2018	18535467	POLING, REBECCA J	SIGNAL SECURITIES RIA	B	0.00	39.67	0.00	39.67	80.0	31.74
07/13/2018	19298013	KELLY, LINDA MAY	SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00	80.0	52.80
07/13/2018	19469299	HARRIS, AVRILLE	SIGNAL SECURITIES RIA	B	0.00	1.33	0.00	1.33	80.0	1.06
07/13/2018	20830445	BENZING, LINDA TRAMMEL	SIGNAL SECURITIES RIA	B	0.00	3.67	0.00	3.67	80.0	2.94
07/13/2018	21091566	ERWIN, REBECCA A	SIGNAL SECURITIES RIA	B	0.00	29.33	0.00	29.33	80.0	23.46
07/13/2018	22829176	SCOTT, DAVID/SARAH	SIGNAL SECURITIES RIA	B	0.00	1,138.67	0.00	1,138.67	80.0	910.94
07/13/2018	22918120	KORN, MARTIN & LINDA	SIGNAL SECURITIES RIA	B	0.00	180.33	0.00	180.33	80.0	144.26
07/13/2018	25156947	SCOTT, DAVID/SARAH	SIGNAL SECURITIES RIA	B	0.00	233.33	0.00	233.33	80.0	186.66
07/13/2018	25839119	ESHBAUGH, MARIE ANN	SIGNAL SECURITIES RIA	B	0.00	302.67	0.00	302.67	80.0	242.14
07/13/2018	26186475	POLING, REBECCA J	SIGNAL SECURITIES RIA	B	0.00	503.33	0.00	503.33	80.0	402.66
07/13/2018	27001361	LANDEN, DIANNE M	SIGNAL SECURITIES RIA	B	0.00	29.33	0.00	29.33	80.0	23.46
07/13/2018	29729793	HAMMETT, KIMBERLY Z	SIGNAL SECURITIES RIA	B	0.00	41.00	0.00	41.00	80.0	32.80
07/13/2018	30264063	FLORY, JUDY ANN	SIGNAL SECURITIES RIA	B	0.00	20.67	0.00	20.67	80.0	16.54
07/13/2018	30943140	BENZING, ALLEN J	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	80.0	3.74
07/13/2018	36980599	FLORY, JEFFREY JAY	SIGNAL SECURITIES RIA	B	0.00	107.67	0.00	107.67	80.0	86.14
07/13/2018	40815510	VALLIERE, RALPH R	SIGNAL SECURITIES RIA	B	0.00	675.00	0.00	675.00	80.0	540.00
07/13/2018	43937292	SCOTT, DAVID/SARAH	SIGNAL SECURITIES RIA	B	0.00	16.33	0.00	16.33	80.0	13.06
07/13/2018	45378328	HUGGINS, SHEILA J	SIGNAL SECURITIES RIA	B	0.00	65.33	0.00	65.33	80.0	52.26
07/13/2018	47057974	LITTLETON, LYNN	SIGNAL SECURITIES RIA	B	0.00	187.33	0.00	187.33	80.0	149.86
07/13/2018	47494579	KELLY, LINDA MAY	SIGNAL SECURITIES RIA	B	0.00	110.33	0.00	110.33	80.0	88.26
07/13/2018	47600328	POLING, REBECCA J	SIGNAL SECURITIES RIA	B	0.00	97.00	0.00	97.00	80.0	77.60
07/13/2018	53797024	LANDEN, DIANNE M	SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00	80.0	16.00
07/13/2018	54775680	SCOTT, DAVID/SARAH	SIGNAL SECURITIES RIA	B	0.00	1,184.00	0.00	1,184.00	80.0	947.20
07/13/2018	54925593	JOHNSON, CAROL L	SIGNAL SECURITIES RIA	B	0.00	728.33	0.00	728.33	80.0	582.66

COMMISSION STATEMENT for JAMES M WAGNER

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BROKER TRANSACTIONSPRODUCT CATEGORY: RIA

07/13/2018	57171238	HARRIS, AVRILLE		SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	80.0	12.80
07/13/2018	57366997	ZANDER, JENNIFER L		SIGNAL SECURITIES RIA	B	0.00	51.33	0.00	51.33	80.0	41.06
07/13/2018	64853567	HARRIS, AVRILLE		SIGNAL SECURITIES RIA	B	0.00	105.67	0.00	105.67	80.0	84.54
07/13/2018	67428720	HANLEY, RHONDA R		SIGNAL SECURITIES RIA	B	0.00	36.00	0.00	36.00	80.0	28.80
07/13/2018	69154032	PESENKO, RICHARD D		SIGNAL SECURITIES RIA	B	0.00	148.67	0.00	148.67	80.0	118.94
07/13/2018	70538739	ZUPANCIC, JILL O		SIGNAL SECURITIES RIA	B	0.00	19.33	0.00	19.33	80.0	15.46
07/13/2018	71473807	POLING, REBECCA J		SIGNAL SECURITIES RIA	B	0.00	23.33	0.00	23.33	80.0	18.66
07/13/2018	71490463	PESENKO, RICHARD D		SIGNAL SECURITIES RIA	B	0.00	5.00	0.00	5.00	80.0	4.00
07/13/2018	72154080	POLING, REBECCA J		SIGNAL SECURITIES RIA	B	0.00	31.33	0.00	31.33	80.0	25.06
07/13/2018	74912863	BENZING, ALLEN J		SIGNAL SECURITIES RIA	B	0.00	21.00	0.00	21.00	80.0	16.80
07/13/2018	75355277	JOHNSON, CAROL L		SIGNAL SECURITIES RIA	B	0.00	0.33	0.00	0.33	80.0	0.26
07/13/2018	76564602	KORN, MARTIN & LINDA		SIGNAL SECURITIES RIA	B	0.00	53.67	0.00	53.67	80.0	42.94
07/13/2018	77729049	GOMEZ, ADRIANA H		SIGNAL SECURITIES RIA	B	0.00	158.33	0.00	158.33	80.0	126.66
07/13/2018	82493669	ZUPANCIC, JILL O		SIGNAL SECURITIES RIA	B	0.00	89.67	0.00	89.67	80.0	71.74
07/13/2018	85700172	HUGGINS, SHEILA J		SIGNAL SECURITIES RIA	B	0.00	43.67	0.00	43.67	80.0	34.94
07/13/2018	89362682	HAMMETT, KIMBERLY Z		SIGNAL SECURITIES RIA	B	0.00	22.33	0.00	22.33	80.0	17.86
07/13/2018	91197931	BENZING, ALLEN J		SIGNAL SECURITIES RIA	B	0.00	100.67	0.00	100.67	80.0	80.54
07/13/2018	98573966	POLING, REBECCA J		SIGNAL SECURITIES RIA	B	0.00	35.67	0.00	35.67	80.0	28.54
07/13/2018	99643452	HAMMETT, ROBERT		SIGNAL SECURITIES RIA	B	0.00	35.33	0.00	35.33	80.0	28.26
WILLIAM											

\* RIA SUBTOTAL \*

\$0.00

\$6,919.98

\$0.00

\$6,919.98

\$5,535.96

\*\*\* BROKER TRANSACTIONS TOTAL \*\*\*

\$0.00

\$6,919.98

\$0.00

\$6,919.98

\$5,535.96

SPLIT TRANSACTIONSBROKER: WAGNER/BARFIELD #9036

07/13/2018 48948925	PANNECK, DEBRA G.	SIGNAL SECURITIES RIA	B	0.00	74.31	0.00	74.31	80.0	59.45
* WAGNER/BARFIELD SUBTOTAL *				\$0.00	\$74.31	\$0.00	\$74.31		\$59.45
*** SPLIT TRANSACTIONS TOTAL ***				\$0.00	\$74.31	\$0.00	\$74.31		\$59.45

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ADJUSTMENTS

08/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
08/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
08/01/2018		TECH: WAGNER ASSISTANT TECH FEES		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-147.50

BROKER COMMISSION TOTALS

Broker Transactions	\$5,535.96
Split Transactions	\$59.45
Override Transactions	\$0.00
Adjustments	\$-147.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-100.22

Check Amount	\$5,347.69
Year-to-date Earnings	\$47,285.02