

**COMMISSION STATEMENT****April 15, 2018**BRENT, L137 LEE
13423 Kings View LaneBROKER #: L137
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/11/2018	HUGHES, MITCH	SEI	11,970.65	40.40	0.00	40.40	90.0	36.36
			* RIA SUBTOTAL *	\$11,970.65	\$40.40	\$0.00	\$40.40	\$36.36
			*** DIRECT TRANSACTIONS TOTAL ***	\$11,970.65	\$40.40	\$0.00	\$40.40	\$36.36

SPLIT TRANSACTIONS**BROKER: BRENT/BRION TRU- BLB LEE/NORBY #332**

04/11/2018	SIKOLE, ROBERT	SEI	217,739.44	367.43	0.00	367.43	90.0	330.69
04/11/2018	BARTINGALE, JAMES	SEI	165,373.37	279.07	0.00	279.07	90.0	251.16
04/11/2018	DEVLIN, KIMBERLY	SEI	70,651.70	110.39	0.00	110.39	90.0	99.35
04/11/2018	DEVLIN, DON	SEI	83,757.38	130.87	0.00	130.87	90.0	117.78
04/11/2018	DEVLIN, KYLE	SEI	20,159.25	31.50	0.00	31.50	90.0	28.35
04/11/2018	DEVLIN, DON	SEI	5,658.93	9.55	0.00	9.55	90.0	8.60
04/11/2018	LIESENER, MARTY	SEI	41,430.58	69.91	0.00	69.91	90.0	62.92
04/11/2018	BARTINGALE, JAMES	SEI	77,352.18	127.97	0.00	127.97	90.0	115.17
04/11/2018	BENSON, JEFFREY	SEI	143,282.06	241.79	0.00	241.79	90.0	217.61
04/11/2018	DEVLIN, DON	SEI	33,935.22	57.26	0.00	57.26	90.0	51.53
04/11/2018	LIESENER, MARTY	SEI	9.51	0.00	0.00	0.00	90.0	0.00
04/11/2018	LYNDE, STEVEN	SEI	112,009.81	175.01	0.00	175.01	90.0	157.51
04/11/2018	LYNDE, LANA	SEI	23,883.93	37.32	0.00	37.32	90.0	33.59
04/11/2018	SIKOLE, ROBERT	SEI	74.53	0.12	0.00	0.12	90.0	0.11
04/11/2018	HARMEL, ERIC	SEI	10,864.30	18.33	0.00	18.33	90.0	16.50
04/11/2018	HEEN, LINDA	SEI	181.52	0.08	0.00	0.08	90.0	0.07
04/11/2018	BUMGARDNER, POLLY	SEI	1,099.82	1.37	0.00	1.37	90.0	1.23
04/11/2018	BUMGARDNER, DOUGLAS	SEI	1,099.82	1.37	0.00	1.37	90.0	1.23
04/11/2018	BLACKLANCE, IVAN	SEI	12,784.51	19.97	0.00	19.97	90.0	17.97

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #332</u>								
04/11/2018	BLACKLANCE, LORIELLE	SEI	9,470.35	14.80	0.00	14.80	90.0	13.32
04/11/2018	HARMEL, ERIC	SEI	102.94	0.04	0.00	0.04	90.0	0.04
04/11/2018	WEBER, DANIEL	SEI	54,558.03	20.46	0.00	20.46	90.0	18.41
04/11/2018	KRINGEN, STUART	SEI	330,945.08	124.10	0.00	124.10	90.0	111.69
04/11/2018	BENSON, JEFFREY	SEI	16,275.93	25.43	0.00	25.43	90.0	22.89
04/11/2018	BARTINGALE, JAMES	SEI	6,923.99	10.82	0.00	10.82	90.0	9.74
04/11/2018	FORD, CHRISTOPHER	SEI	1,124.59	1.76	0.00	1.76	90.0	1.58
04/11/2018	LEACH, BRANDON	SEI	1,151.77	1.80	0.00	1.80	90.0	1.62
04/11/2018	BLACKLANCE, GRACE	SEI	1,106.36	1.73	0.00	1.73	90.0	1.56
04/11/2018	AAFEDT, DEAN	SEI	141,541.10	30.08	0.00	30.08	90.0	27.07
04/11/2018	AAFEDT, DEAN	SEI	178,524.32	34.96	0.00	34.96	90.0	31.46

* BRENT/BRION TRU- BLB LEE/NORBY SUBTOTAL *

\$1,763,072.32

\$1,945.29

\$0.00

\$1,945.29

\$1,750.75

BROKER: JEN-BRENT-BRION TRU-JBB DONAIS-L #333

03/16/2018	POWELL, WILLIAM	BLACKROCK STRATEGIC INCOME OPPT POR	12,385.89	0.00	0.00	0.00	90.0	0.00
03/16/2018	POWELL, WILLIAM	ISHARES TIPS BOND ETF	5,945.67	0.00	2.42	-2.42	90.0	-2.18
03/06/2018	POWELL, JANICE	ISHARES TR S&P 500 INDEX FD	274.13	0.00	2.42	-2.42	90.0	-2.18
03/16/2018	POWELL, WILLIAM	PAYDEN CORPORTATE BOND FUND	4,000.00	0.00	0.00	0.00	90.0	0.00
03/16/2018	POWELL, WILLIAM	PIMCO INCOME FUND CLASS P	10,000.00	0.00	2.53	-2.53	90.0	-2.28
03/06/2018	POWELL, JANICE	POWERSHARES ACTIVELY MANAGED EXCHAN	2,881.40	0.00	2.42	-2.42	90.0	-2.18
03/06/2018	SCHWAN, BRIAN	POWERSHARES ACTIVELY MANAGED EXCHAN	1,282.57	0.00	2.42	-2.42	90.0	-2.18
03/06/2018	SCHWAN, BRIAN	POWERSHARES ACTIVELY MANAGED EXCHAN	7,080.51	0.00	2.42	-2.42	90.0	-2.18
03/06/2018	POWELL, JANICE	POWERSHARES DB COMMODITY INDEX TRAC	3,037.72	0.00	2.42	-2.42	90.0	-2.18
03/06/2018	SCHWAN, BRIAN	POWERSHARES DB COMMODITY INDEX TRAC	1,342.64	0.00	2.42	-2.42	90.0	-2.18
03/06/2018	SCHWAN, BRIAN	POWERSHARES DB COMMODITY INDEX TRAC	7,367.74	0.00	2.42	-2.42	90.0	-2.18
03/06/2018	POWELL, JANICE	POWERSHARES EXCHANGE-TRADED FD TR I	23.14	0.00	2.42	-2.42	90.0	-2.18
03/06/2018	SCHWAN, BRIAN	POWERSHARES EXCHANGE-TRADED FD TR I	46.29	0.00	2.42	-2.42	90.0	-2.18
03/09/2018	HATLESTAD, PATRICK	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.00	0.00	0.00	90.0	0.00
03/09/2018	DONAI, DONALD	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.00	0.00	0.00	90.0	0.00
03/09/2018	DONAI, DONALD	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.00	0.00	0.00	90.0	0.00
03/09/2018	VESTAL, KATHY	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.00	0.00	0.00	90.0	0.00
02/28/2018	SINNESS, STEVEN	SPDR INDEX SHARES FUNDS	20,133.54	0.00	0.00	0.00	90.0	0.00
03/06/2018	POWELL, JANICE	SPDR PORTFOLIO SMALL CAP ETF	30.33	0.00	0.00	0.00	90.0	0.00
03/06/2018	SCHWAN, BRIAN	SPDR PORTFOLIO SMALL CAP ETF	60.66	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEN-BRENT-BRION TRU-JBB DONAIS-L #333</u>								
02/28/2018	SINNESS, STEVEN	SPDR SERIES TRUST	12,856.40	0.00	0.00	0.00	90.0	0.00
03/09/2018	MORRIS, PATRICK	T ROWE PRICE GROWTH STOCK 87287T123	0.00	0.00	0.00	0.00	90.0	0.00
03/09/2018	MORRIS, SANDRA PACKER	T ROWE PRICE GROWTH STOCK 87287T123	0.00	0.00	0.00	0.00	90.0	0.00
03/09/2018	MORRIS, PATRICK	T ROWE PRICE INTERNATIONAL	0.00	0.00	0.00	0.00	90.0	0.00
03/09/2018	MORRIS, SANDRA PACKER	T ROWE PRICE INTERNATIONAL	0.00	0.00	0.00	0.00	90.0	0.00
03/16/2018	POWELL, WILLIAM	USAA INTERMEDIATE-TERM BOND	4,000.00	0.00	2.53	-2.53	90.0	-2.28
03/06/2018	POWELL, JANICE	VANGUARD INTL EQUITY INDEX FD INC F	110.00	0.00	2.42	-2.42	90.0	-2.18
03/06/2018	SCHWAN, BRIAN	VANGUARD INTL EQUITY INDEX FD INC F	55.00	0.00	2.42	-2.42	90.0	-2.18
03/06/2018	SCHWAN, BRIAN	VANGUARD INTL EQUITY INDEX FD INC F	550.00	0.00	2.42	-2.42	90.0	-2.18
03/09/2018	HATLESTAD, PATRICK	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.00	0.00	0.00	90.0	0.00
* JEN-BRENT-BRION TRU-JBB DONAIS-L SUBTOTAL *			\$93,463.63	\$0.00	\$36.52	\$-36.52		\$-32.90
<u>BROKER: JEN-BRENT-BRION TRU-BJB DONAIS-L #334</u>								
03/07/2018	LARAMIE, JAMES	ISHARES CORE S&P SMALL CAP ETF	12,171.90	0.00	3.74	-3.74	90.0	-3.37
03/07/2018	LARAMIE, JAMES	ISHARES S&P 500 VALUE ETF	11,969.02	0.00	3.74	-3.74	90.0	-3.37
03/07/2018	LARAMIE, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	15,270.44	0.00	3.74	-3.74	90.0	-3.37
03/07/2018	LARAMIE, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	7,348.87	0.00	3.74	-3.74	90.0	-3.37
03/07/2018	LARAMIE, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	56,620.88	0.00	3.74	-3.74	90.0	-3.37
03/07/2018	LARAMIE, JAMES	ISHARES TR CORE S&P MID-CAP ETF	20,469.83	0.00	3.74	-3.74	90.0	-3.37
03/07/2018	LARAMIE, JAMES	ISHARES TR IBOX USD INVT GRADE COR	18,634.40	0.00	3.74	-3.74	90.0	-3.37
03/07/2018	LARAMIE, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	12,762.98	0.00	3.74	-3.74	90.0	-3.37
03/07/2018	LARAMIE, JAMES	ISHARES TR S&P 500 GROWTH ETF	8,472.06	0.00	3.74	-3.74	90.0	-3.37
03/07/2018	LARAMIE, JAMES	ISHARES TR S&P 500 INDEX FD	127,625.94	0.00	3.74	-3.74	90.0	-3.37
03/07/2018	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	14,752.17	0.00	3.74	-3.74	90.0	-3.37
03/09/2018	PATOROS, JOHN	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.00	0.00	0.00	90.0	0.00
03/07/2018	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	24,696.64	0.00	3.74	-3.74	90.0	-3.37
03/07/2018	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	18,612.48	0.00	3.74	-3.74	90.0	-3.37
03/07/2018	LARAMIE, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	47,079.52	0.00	3.74	-3.74	90.0	-3.37
* JEN-BRENT-BRION TRU-BJB DONAIS-L SUBTOTAL *			\$396,487.13	\$0.00	\$52.36	\$-52.36		\$-47.18
<u>BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #335</u>								
04/09/2018	RASMUSSEN, CLINTON	JEFFERSON NATIONAL	366,675.05	458.35	0.00	458.35	90.0	412.52
04/10/2018	PACHECO, MICHAEL	SEI	0.00	11.14	0.00	11.14	90.0	10.03
04/11/2018	HAUGEN, PATRICK	SEI	110,691.10	149.43	0.00	149.43	90.0	134.49

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #335</u>								
04/11/2018	JOHNSON, BRAD	SEI	17,619.10	23.79	0.00	23.79	90.0	21.41
04/11/2018	JOHNSON, SHARI	SEI	18,946.99	25.58	0.00	25.58	90.0	23.02
04/11/2018	JOHNSON, BRAD	SEI	2,365.66	3.19	0.00	3.19	90.0	2.87
04/11/2018	JOHNSON, BRAD	SEI	29,827.24	40.27	0.00	40.27	90.0	36.24
04/11/2018	HAUGEN, PATRICK	SEI	186,144.34	251.29	0.00	251.29	90.0	226.16
04/11/2018	FREIH, DIANE	SEI	156,850.09	211.75	0.00	211.75	90.0	190.58
04/11/2018	GRANT, KATHLEEN	SEI	192,198.26	288.29	0.00	288.29	90.0	259.46
04/11/2018	ERICKSTAD, SAMUEL	SEI	67,259.92	90.80	0.00	90.80	90.0	81.72
04/11/2018	HARSHBARGER, RORY	SEI	88,546.89	119.54	0.00	119.54	90.0	107.59
04/11/2018	HEEN, JEREMY	SEI	266,445.48	359.70	0.00	359.70	90.0	323.73
04/11/2018	JOHNSON, SHARI	SEI	16,752.09	22.61	0.00	22.61	90.0	20.35
04/11/2018	MARISTUEN, JON	SEI	198,127.96	267.47	0.00	267.47	90.0	240.72
04/11/2018	KRINGEN, MARK	SEI	1,044,537.30	313.36	0.00	313.36	90.0	282.02
04/11/2018	RETZLAFF, SANDRA	SEI	17,346.78	5.21	0.00	5.21	90.0	4.69
04/11/2018	SKAARE, LARRY	SEI	20,434.26	6.13	0.00	6.13	90.0	5.52
04/11/2018	JORGENSEN, BLAINE	SEI	1,740,780.15	522.23	0.00	522.23	90.0	470.01
04/11/2018	MARISTUEN, JON	SEI	3,655.25	4.57	0.00	4.57	90.0	4.11
04/11/2018	JORGENSEN, BLAINE	SEI	769,956.80	769.95	0.00	769.95	90.0	692.96
04/11/2018	KASMER, JOHN	SEI	122,030.23	36.61	0.00	36.61	90.0	32.95
04/11/2018	KRINGEN, MARK	SEI	1,567.98	1.33	0.00	1.33	90.0	1.20
04/11/2018	KRINGEN, MARK	SEI	197,709.52	168.05	0.00	168.05	90.0	151.25
04/11/2018	HAUGEN, PATRICK	SEI	39,924.01	8.65	0.00	8.65	90.0	7.79
04/09/2019	SMITH, AUSTIN	SEI	0.00	30.17	0.00	30.17	90.0	27.15
* BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL *			\$5,676,392.45	\$4,189.46	\$0.00	\$4,189.46		\$3,770.54
<u>BROKER: TYLER-BRENT-BRIO TRU-TBB LIFFFRIG-L #336</u>								
04/11/2018	LOOMIS, MATT	SEI	13,653.61	5.55	0.00	5.55	90.0	5.00
04/11/2018	ERICKSON, AARON	SEI	108,383.48	44.03	0.00	44.03	90.0	39.63
* TYLER-BRENT-BRIO TRU-TBB LIFFFRIG-L SUBTOTAL *			\$122,037.09	\$49.58	\$0.00	\$49.58		\$44.63
<u>BROKER: BRE/BRI/JEN/TY TRU- L139 LEE/NOR/DO #358</u>								
04/11/2018	SORENSEN, CURTIS	SEI	706,810.39	174.93	0.00	174.93	90.0	157.44
* BRE/BRI/JEN/TY TRU- L139 LEE/NOR/DO SUBTOTAL *			\$706,810.39	\$174.93	\$0.00	\$174.93		\$157.44
*** SPLIT TRANSACTIONS TOTAL ***			\$8,758,263.01	\$6,359.26	\$88.88	\$6,270.38		\$5,643.28

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ADJUSTMENTS

04/15/2018		*FINRA: NC RA REG FEE	0.00					-75.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-75.00

BROKER COMMISSION TOTALS

Broker Transactions	\$36.36
Split Transactions	\$5,643.28
Override Transactions	\$0.00
Adjustments	\$-75.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-292.03
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,312.61
Year-to-date Gross	\$19,581.48
Year-to-date Earnings	\$17,088.70

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