

All Companies
STATEMENT
May 20, 2018

GREG TIRITA
3 Winesap Dr
SUITE 620
Oxford, CT 06478

BROKER #: 161
BRANCH:

COMMISSION STATEMENT for GREG TIRITA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/20/2018	#07007329729	LETTIERI, OPPENHEIMER MAIN STREET FUND CL A	50.00	1.50	90.0	0.00	1.35	0.00	1.35
03/20/2018	#07007329729	LETTIERI, OPPENHEIMER MAIN STREET FUND CL A	50.00	1.50	90.0	0.00	1.35	0.00	1.35
03/20/2018	#02510638308	LETTIERI AZ, SMALL- & MID- CAP VALUE FUND A	50.00	2.38	90.0	0.00	2.14	0.00	2.14
03/20/2018	#02510648406	LETTIERI 30, SMALL- & MID- CAP VALUE FUND A	50.00	1.50	90.0	0.00	1.35	0.00	1.35
03/20/2018	#02510638308	LETTIERI AZ, SMALL- & MID- CAP VALUE FUND A	50.00	2.38	90.0	0.00	2.14	0.00	2.14
03/20/2018	#02510648406	LETTIERI 30, SMALL- & MID- CAP VALUE FUND A	50.00	1.50	90.0	0.00	1.35	0.00	1.35
04/06/2018	#07007329729	LETTIERI, OPPENHEIMER MAIN STREET FUND CL A	50.00	1.50	90.0	0.00	1.35	0.00	1.35
04/06/2018	#02510638308	LETTIERI AZ, SMALL- & MID- CAP VALUE FUND A	50.00	2.38	90.0	0.00	2.14	0.00	2.14
04/06/2018	#02510648406	LETTIERI 30, SMALL- & MID- CAP VALUE FUND A	50.00	1.50	90.0	0.00	1.35	0.00	1.35
04/17/2018	#00087188313	WILLIAMS, TI NEW PERSPECTIVE FUND INC CL A	250.00	12.50	90.0	0.00	11.25	0.00	11.25
* MUTUAL FUNDS SUBTOTAL *			\$700.00	\$28.64		\$0.00	\$25.77	\$0.00	\$25.77
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/30/0201	#5500007035	VALENTINE, SECURITY BEENFIT SGBTL	0.00	588.84	90.0	0.00	529.96	0.00	529.96
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$588.84		\$0.00	\$529.96	\$0.00	\$529.96
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/31/2018	#07002684852	VARIOUS TIRITA OPPENHEIMER MAIN STREET FUND CL A	0.00	2.70	90.0	0.00	2.43	0.00	2.43
03/31/2018	#07004775941	VARIOUS TIRITA OPPENHEIMER MAIN STREET FUND CL A	0.00	2.57	90.0	0.00	2.31	0.00	2.31
03/31/2018	#07004775963	VARIOUS TIRITA OPPENHEIMER MAIN STREET FUND CL A	0.00	24.15	90.0	0.00	21.74	0.00	21.74
03/31/2018	#07004776271	VARIOUS TIRITA OPPENHEIMER MAIN STREET FUND CL A	0.00	2.57	90.0	0.00	2.31	0.00	2.31
03/31/2018	#07007329729	LETTIERI, OPPENHEIMER MAIN STREET FUND CL A	0.00	2.82	90.0	0.00	2.54	0.00	2.54
03/31/2018	#07008110712	VARIOUS TIRITA OPPENHEIMER MAIN STREET FUND CL A	0.00	6.41	90.0	0.00	5.77	0.00	5.77
03/31/2018	#07009577672	VARIOUS TIRITA OPPENHEIMER MAIN STREET FUND CL A	0.00	29.35	90.0	0.00	26.42	0.00	26.42
03/31/2018	#02510638308	LETTIERI AZ, SMALL- & MID- CAP VALUE FUND A	0.00	5.52	90.0	0.00	4.97	0.00	4.97
03/31/2018	#02510648406	LETTIERI 30, SMALL- & MID- CAP VALUE FUND A	0.00	5.60	90.0	0.00	5.04	0.00	5.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$81.69		\$0.00	\$73.53	\$0.00	\$73.53
*** BROKER TRANSACTIONS TOTAL ***			\$700.00	\$699.17		\$0.00	\$629.26	\$0.00	\$629.26

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ADJUSTMENTS

05/20/2018		ADMIN FEE: ADMIN FEE							-320.00
05/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$629.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-77.26
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$53.00
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