



Concorde Investment Services, LLC

06/29/2017
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COMMISSION STATEMENT

June 30, 2017

JOHN ROSS
7261 W. Central Ave.

Toledo, OH 43617

BROKER #: R119
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/08/2017	ENGLAND, HELEN	AM FUNDS BOND FUND OF AMERICA A	19,697.96	590.94	0.00	590.94	92.0	543.66
06/08/2017	ENGLAND, HELEN	AM FUNDS BOND FUND OF AMERICA A	4,964.50	148.94	0.00	148.94	92.0	137.02
06/22/2017	SHOEMAKER, MARY BETH	AM FUNDS BOND FUND OF AMERICA A	3,566.12	106.98	0.00	106.98	92.0	98.42
06/05/2017	RUMSCHLAG, DOUGLAS	AM FUNDS EUROPACIFIC GROWTH FUND A	77.64	2.14	0.00	2.14	92.0	1.97
06/12/2017	HENDERSON, BARBARA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	5.00	0.00	5.00	92.0	4.60
06/05/2017	RUMSCHLAG, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	77.64	2.14	0.00	2.14	92.0	1.97
06/05/2017	HAUSER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	200.00	3.20	0.00	3.20	92.0	2.94
06/05/2017	HAUSER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	20.00	0.32	0.00	0.32	92.0	0.29
06/05/2017	TARRIS, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	200.00	3.20	0.00	3.20	92.0	2.94
06/05/2017	MCFARLAND, BRANDON	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	92.0	1.47
06/15/2017	SEYMOUR, DONALD	AM FUNDS NEW ECONOMY C	200.00	2.00	0.00	2.00	92.0	1.84
06/20/2017	SEYMOUR, DONALD	AM FUNDS NEW ECONOMY C	200.00	2.00	0.00	2.00	92.0	1.84
06/16/2017	GURNEY, ERICA	AM FUNDS NEW WORLD A	25.00	1.25	0.00	1.25	92.0	1.15
06/15/2017	ROSS, MICHELLE L HUDS	AM FUNDS SHORT TERM BND FUND OF AM	1,500.00	30.00	0.00	30.00	92.0	27.60
06/15/2017	MOLNAR, COURTNEY	AM FUNDS SHORT TERM BND FUND OF AM	50.00	1.00	0.00	1.00	92.0	0.92
06/12/2017	HENDERSON, BARBARA	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	92.0	2.30
06/15/2017	SEYMOUR, DONALD	AM FUNDS SMALLCAP WORLD C	200.00	2.00	0.00	2.00	92.0	1.84
06/20/2017	SEYMOUR, DONALD	AM FUNDS SMALLCAP WORLD C	200.00	2.00	0.00	2.00	92.0	1.84
06/15/2017	SEYMOUR, DONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	200.00	2.00	0.00	2.00	92.0	1.84
06/20/2017	SEYMOUR, DONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	200.00	2.00	0.00	2.00	92.0	1.84
06/07/2017	SEYMOUR, DONALD	AMERICAN FUNDS 2015 TARGET DATE-C	254.11	2.54	0.00	2.54	92.0	2.34
* MUTUAL FUNDS SUBTOTAL *			\$32,082.97	\$913.75	\$0.00	\$913.75		\$840.63
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/20/2017	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
06/26/2017	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
* VARIABLE ANNUITIES SUBTOTAL *			\$190.00	\$10.45	\$0.00	\$10.45		\$9.61

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
*** BROKER TRANSACTIONS TOTAL ***			\$32,272.97	\$924.20	\$0.00	\$924.20		\$850.24
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: THOMAS HALSEY #82</u>								
06/22/2017	DERR, LUCINDA	AM GEN POLARIS PLATINUM III	51,894.57	1,037.89	0.00	1,037.89	85.0	72.65
06/22/2017	DERR, LUCINDA	AM GEN POLARIS PLATINUM III	29,591.92	591.84	0.00	591.84	85.0	41.43
05/31/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.43	0.00	0.43	85.0	0.03
05/31/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.43	0.00	0.43	85.0	0.03
06/15/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	0.09
06/15/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	0.09
05/31/2017	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	1.20	0.00	1.20	85.0	0.08
05/31/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	7.90	0.00	7.90	85.0	0.55
06/15/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	300.00	11.25	0.00	11.25	85.0	0.79
05/31/2017	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	1.19	0.00	1.19	85.0	0.08
05/31/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	11.31	0.00	11.31	85.0	0.79
06/20/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
* THOMAS HALSEY SUBTOTAL *			\$81,886.49	\$1,668.69	\$0.00	\$1,668.69		\$116.80
<u>BROKER: ROBERT THOMPSON #83</u>								
06/20/2017	WALTER, JEFFREY	AM FUNDS BOND FUND OF AMERICA A	873.87	24.03	0.00	24.03	90.0	0.48
06/14/2017	RUTLEDGE, JOANNE	GOOD HARBOR TACTICAL CORE US FD A	0.00	4.84	0.00	4.84	90.0	0.10
05/31/2017	SHAFFER, CLARK	ICON BOND FUND C	0.00	14.72	0.00	14.72	90.0	0.29
05/31/2017	RICE, CHERYL	ICON BOND FUND C	0.00	2.25	0.00	2.25	90.0	0.05
05/31/2017	GECIK, STANLEY	ICON BOND FUND C	0.00	4.58	0.00	4.58	90.0	0.09
05/31/2017	RECKNER, GARTH	ICON BOND FUND C	0.00	1.16	0.00	1.16	90.0	0.02
06/07/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
06/19/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
06/19/2017	FURNEY, DANNY	NW DESTINATION B2 05	150,000.00	5,250.00	0.00	5,250.00	90.0	105.00
* ROBERT THOMPSON SUBTOTAL *			\$151,173.87	\$5,321.84	\$0.00	\$5,321.84		\$106.43
*** OVERRIDE TRANSACTIONS TOTAL ***			\$233,060.36	\$6,990.53	\$0.00	\$6,990.53		\$223.23

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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BROKER COMMISSION TOTALS

Broker Transactions	\$850.24
Split Transactions	\$0.00
Override Transactions	\$223.23
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,073.47
Year-to-date Gross	\$58,877.38
Year-to-date Earnings	\$57,047.32