



Concorde Investment Services, LLC

03/06/2017
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COMMISSION STATEMENT **February 28, 2017**

ROBERT LUCHSINGER
600 Johnson Ave.
Suite B5
Bohemia, NY 11716

BROKER #: L117
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/06/2017	PRYDETKE, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.39	0.00	1.39	95.0	1.32
02/06/2017	PRYDETKE, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	30.00	0.60	0.00	0.60	95.0	0.57
02/15/2017	PRYDETKE, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.39	0.00	1.39	95.0	1.32
02/15/2017	PRYDETKE, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	30.00	0.60	0.00	0.60	95.0	0.57
02/06/2017	PRYDETKE, WALTER	OPPENHEIMER GLOBAL A	69.71	1.39	0.00	1.39	95.0	1.32
02/06/2017	PRYDETKE, WALTER	OPPENHEIMER GLOBAL A	30.00	0.60	0.00	0.60	95.0	0.57
02/15/2017	PRYDETKE, WALTER	OPPENHEIMER GLOBAL A	69.71	1.39	0.00	1.39	95.0	1.32
02/15/2017	PRYDETKE, WALTER	OPPENHEIMER GLOBAL A	30.00	0.60	0.00	0.60	95.0	0.57
02/06/2017	PRYDETKE, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.84	0.00	0.84	95.0	0.80
02/06/2017	PRYDETKE, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	18.00	0.36	0.00	0.36	95.0	0.34
02/15/2017	PRYDETKE, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.84	0.00	0.84	95.0	0.80
02/15/2017	PRYDETKE, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	18.00	0.36	0.00	0.36	95.0	0.34
02/06/2017	PRYDETKE, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.56	0.00	0.56	95.0	0.53
02/06/2017	PRYDETKE, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	12.00	0.24	0.00	0.24	95.0	0.23
02/15/2017	PRYDETKE, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.56	0.00	0.56	95.0	0.53
02/15/2017	PRYDETKE, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	12.00	0.24	0.00	0.24	95.0	0.23
02/10/2017	ALBANESE, MICHAEL	OPPENHEIMER MAIN ST SMALL & MID CAP	100.00	1.00	0.00	1.00	95.0	0.95
02/06/2017	PRYDETKE, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.39	0.00	1.39	95.0	1.32
02/06/2017	PRYDETKE, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	30.00	0.60	0.00	0.60	95.0	0.57
02/15/2017	PRYDETKE, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.39	0.00	1.39	95.0	1.32
02/15/2017	PRYDETKE, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	30.00	0.60	0.00	0.60	95.0	0.57
02/06/2017	HAZEN, JAMES	PAC LIFE FUNDS STRATEGIC INC FUND	147.30	1.47	0.00	1.47	95.0	1.40
02/10/2017	HAZEN, JAMES	PAC LIFE FUNDS STRATEGIC INC FUND	134.23	1.34	0.00	1.34	95.0	1.27
02/10/2017	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.07	0.00	2.07	95.0	1.97
02/10/2017	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	1.05	0.00	1.05	95.0	1.00
02/10/2017	CHAPMAN, CLARENCE	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	0.21	0.00	0.21	95.0	0.20

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/10/2017	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.07	0.00	1.07	95.0	1.02
02/10/2017	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.31	0.00	1.31	95.0	1.24
02/10/2017	ROBERTO, JOSEPH	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	5.74	0.00	5.74	95.0	5.45
02/10/2017	BREITFELLER, CYNTHIA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	0.16	0.00	0.16	95.0	0.15
02/10/2017	BREITFELLER, CYNTHIA	SUNAMERICA SENIOR FLOATING RATE A	0.00	0.78	0.00	0.78	95.0	0.74
02/10/2017	SEAMAN, PATRICIA	SUNAMERICA US GOVT SECURITIES CL A	0.00	6.38	0.00	6.38	95.0	6.06
* MUTUAL FUNDS SUBTOTAL *			\$1,179.23	\$38.52	\$0.00	\$38.52		\$36.59
<u>PRODUCT CATEGORY: STOCKS</u>								
02/15/2017	LUCHSINGER, ROBERT	CHESAPEAKE ENERGY CORP	-5,064.72	30.00	23.20	6.80	95.0	6.46
02/15/2017	LUCHSINGER, ROBERT	GENERAL ELECTRIC CO COM	6,049.00	30.00	22.50	7.50	95.0	7.13
02/15/2017	LUCHSINGER, ROBERT	INSYS THERAPEUTICS INC NEW COM NEW	5,297.50	30.00	22.75	7.25	95.0	6.89
02/15/2017	LUCHSINGER, ROBERT	SHOPIFY INC CL A ISIN#CA82509L1076	6,113.90	30.00	22.50	7.50	95.0	7.13
02/15/2017	HANDLER, EMMA	TIER REIT INC COM NEW	-3,610.10	30.00	22.50	7.50	95.0	7.13
* STOCKS SUBTOTAL *			\$8,785.58	\$150.00	\$113.45	\$36.55		\$34.74
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/10/2017	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	750.00	41.25	0.00	41.25	95.0	39.19
02/06/2017	PATTERSON, BARBARA	PAC LIFE INNOVATIVE SELECT	0.00	593.01	0.00	593.01	95.0	563.36
02/06/2017	HANDLER, EMMA	PAC LIFE INNOVATIVE SELECT	0.00	138.46	0.00	138.46	95.0	131.54
02/06/2017	ALBANESE, MICHAEL	PAC LIFE INNOVATIVE SELECT NY	0.00	1,250.00	0.00	1,250.00	95.0	1,187.50
02/09/2017	BURKHARD, BARBARA	PAC LIFE INNOVATIVE SELECT NY	0.00	378.21	0.00	378.21	95.0	359.30
02/09/2017	FOURNIER, ELIZABETH	PAC LIFE INNOVATIVE SELECT NY	0.00	177.07	0.00	177.07	95.0	168.22
02/10/2017	HANAK, SUSAN	PAC LIFE INNOVATIVE SELECT NY	0.00	225.15	0.00	225.15	95.0	213.89
01/07/2017	SALANITRO, ISABEL	TRANSAMERICA LIBERTY	5,816.10	4.85	0.00	4.85	95.0	4.61
01/15/2017	MAZZA, JOSEPH	TRANSAMERICA LIBERTY	101,560.93	84.63	0.00	84.63	95.0	80.40
01/28/2017	LUCHSINGER, JANE	TRANSAMERICA LIBERTY	13,522.58	11.27	0.00	11.27	95.0	10.71
* VARIABLE ANNUITIES SUBTOTAL *			\$121,649.61	\$2,903.90	\$0.00	\$2,903.90		\$2,758.72
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
02/20/2017	MAZZA, JOSEPH	ING EMPIRE INNOVATIONS STD OPT A	0.00	1,405.89	0.00	1,405.89	95.0	1,335.60
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,405.89	\$0.00	\$1,405.89		\$1,335.60
*** BROKER TRANSACTIONS TOTAL ***			\$131,614.42	\$4,498.31	\$113.45	\$4,384.86		\$4,165.65

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$4,165.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,165.65
Year-to-date Gross	\$35,760.77
Year-to-date Earnings	\$33,734.46