03/23/2018 Page No. 1

COMMISSION STATEMENT

March 23, 2018

MICHAEL MELBY

3200 N. Central Ave. #200 Phoenix, AZ 85012

BROKER #: 73605

BRANCH: 70A11 - SAI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|-----------|--------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|----------------------|
| Compan | y: Securities Ame | erica | | | | | |
| | | BROKER TRANS | ACTIONS | | | | |
| PRODUCT | CATEGORY: MUTUAL FUNDS | | | | | | |
| 03/22/201 | 8 MELBY (73605), MICHAEL | SAI SECURITES INCOME | 0.00 | 21.28 | 0.00 | 21.28 | 6.38 |
| | | * MUTUAL FUNDS SUBTOTAL * | \$0.00 | \$21.28 | \$0.00 | \$21.28 | \$6.38 |
| PRODUCT | CATEGORY: TRAILERS | | | | | | |
| 03/22/201 | 8 MELBY (73605), MICHAEL | SAI.TRAILS | 0.00 | 5,571.15 | 0.00 | 5,571.15 | 1,671.35 |
| | | * TRAILERS SUBTOTAL * | \$0.00 | \$5,571.15 | \$0.00 | \$5,571.15 | \$1,671.35 |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$0.00 | \$5,592.43 | \$0.00 | \$5,592.43 | \$1,677.73 |
| | | SPLIT TRANSA | <u>ACTIONS</u> | | | | |
| BROKER: | MELBY/SMYTHE #73916 | | | | | | |
| 03/22/201 | 8 MELBY/SMYTHE (73916) | SAI.TRAILS | 0.00 | 115.75 | 0.00 | 69.45 | 20.84 |
| | | * MELBY/SMYTHE SUBTOTAL * | \$0.00 | \$115.75 | \$0.00 | \$69.45 | \$20.84 |
| BROKER: | MELBY/HUNTER #97651 | | | | | | |
| 03/22/201 | 8 SOLLE/HUNTER (97651) | SAI.TRAILS | 0.00 | 336.49 | 0.00 | 201.89 | 60.57 |
| | | * MELBY/HUNTER SUBTOTAL * | \$0.00 | \$336.49 | \$0.00 | \$201.89 | \$60.57 |
| | | *** SPLIT TRANSACTIONS TOTAL *** | \$0.00 | \$452.24 | \$0.00 | \$271.34 | \$81.41 |

Page No.

| COMMISSION | STATEMENT | for | MICHAEL | MELBY |
|------------|-----------|-----|---------|-------|
| | | | | |

INVESTMENT GROSS CLEARING BROKER NET CLIENT INVESTMENT DATE AMOUNT COMMISSION CHARGE COMMISSION COMMISSION

Company: Securities America (continued)

ADJUSTMENTS

| 21. SAI SIPC PE 3/16/18 | -2.75 |
|-------------------------|-------|
|-------------------------|-------|

| PC PE | 3/10/10 | | | | | | -2.75 |
|-------|-----------------|-----------|--------|--------|---------------------|------------|------------|
| | *** ADJUSTMENTS | TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-2.75 |
| | | | | | BROKER | COMMISSION | 1 TOTALS |
| | | | | | Broker Transactions | | \$1,677.73 |
| | | | | | Split Transa | actions | \$81.41 |
| | | | | | Override Transa | actions | \$0.00 |
| | | | | | Adjus | stments | \$-2.75 |
| | | | | | Prior Period I | Balance | \$0.00 |
| | | | | | NASD Asse | essment | \$0.00 |
| | | | | | SIPC Asse | essment | \$0.00 |
| | | | | | Check | Amount | \$1,756.39 |

Year-to-date Earnings

\$28,765.67