



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**May 31, 2017**

05/25/2017  
Page No. 1

ROY PFLEGER II  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: P123  
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
04/17/2017	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.07	0.00	4.07	75.0	3.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$4.07	\$0.00	\$4.07		\$3.05
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$4.07	\$0.00	\$4.07		\$3.05

<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</b>								
04/17/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.08	0.00	0.08	75.0	0.06
04/17/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.63	0.00	0.63	75.0	0.47
04/17/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.04	0.00	1.04	75.0	0.78
04/18/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.62	0.00	0.62	75.0	0.47
04/18/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.56	0.00	0.56	75.0	0.42
04/18/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.25	0.00	0.25	75.0	0.19
04/18/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.21	0.00	0.21	75.0	0.16
04/18/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.42	0.00	0.42	75.0	0.32
04/18/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	4.43	0.00	4.43	75.0	3.32
04/18/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.26	0.00	0.26	75.0	0.20
04/18/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.29	0.00	0.29	75.0	0.22
04/18/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.20	0.00	0.20	75.0	0.15
04/28/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.18	0.00	0.18	75.0	0.14
04/13/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.35	0.00	0.35	75.0	0.26
04/13/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.60	0.00	1.60	75.0	1.20
04/24/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.76	0.00	0.76	75.0	0.57
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$0.00	\$11.88	\$0.00	\$11.88		\$8.93
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$11.88	\$0.00	\$11.88		\$8.93

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3.05
Split Transactions	\$8.93
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$11.98</b>
<b>Year-to-date Gross</b>	<b>\$29,557.86</b>
<b>Year-to-date Earnings</b>	<b>\$22,145.03</b>