



All Companies

01/30/2018
Page No. 1

COMMISSION STATEMENT February 01, 2018

EDWARD F. TATE
4620 CUMMINGS DRIVE E

Fort Worth, TX 76180-

BROKER #: 9526
BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD F. TATE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/31/2017	TRAILS	-ALLIANCE BERNSTEIN	B	200.46	200.46	0.00	200.46	65.0	130.30
12/31/2017	TRAILS	-FIDELITY ADVISOR FUNDS	B	0.44	0.44	0.00	0.44	65.0	0.29
12/31/2017	TRAILS	-PUTNAM	B	121.03	121.03	0.00	121.03	65.0	78.67
12/31/2017	TRAILS	COLLEGEBOUND 529	B	13.00	13.00	0.00	13.00	65.0	8.45
01/02/2018	MATHEW, JOHN	COLLEGEBOUND 529	B	100.00	3.50	0.00	3.50	65.0	2.28
01/16/2018	00074538041 HOLT, ROY	AMERICAN FUNDS INVESTMENT COMP OF A B		150.00	3.00	0.00	3.00	65.0	1.95
01/16/2018	00074538041 HOLT, ROY	AMERICAN FUNDS WA MUTUAL INVES B		150.00	3.00	0.00	3.00	65.0	1.95
01/16/2018	00074658668 JONES, REYNOLD C.	AMERICAN FUNDS CAPITAL INCOME BUILD B		50.00	1.38	0.00	1.38	65.0	0.90
01/16/2018	00074658668 JONES, REYNOLD C.	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	1.38	0.00	1.38	65.0	0.90
01/16/2018	00074658668 JONES, REYNOLD C.	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	1.38	0.00	1.38	65.0	0.90
01/16/2018	00074658668 JONES, REYNOLD C.	AMERICAN FUNDS WA MUTUAL INVES B		50.00	1.38	0.00	1.38	65.0	0.90
01/16/2018	00074976150 EVERETT JR,	WASHINGTON MUTUAL INVESTORS FUND-52 B		100.00	2.75	0.00	2.75	65.0	1.79
	MICHAEL/AIDAN								
01/16/2018	00081207203 EVERETT, MORGAN	AMERICAN FUNDS INVESTMENT COMP OF A B		200.00	5.50	0.00	5.50	65.0	3.58
01/16/2018	00081329147 HAWKINS, KENZI	THE GROWTH FUND OF AMERICA-529A B		100.00	4.25	0.00	4.25	65.0	2.76
01/16/2018	00081802103 NICHOLS, ANGELA	AMERICAN FUNDS CAPITAL WORLD GROWT B		150.00	7.50	0.00	7.50	65.0	4.88
01/16/2018	00084081417 EVERETT JR,	WASHINGTON MUTUAL INVESTORS FUND-52 B		100.00	2.75	0.00	2.75	65.0	1.79
	MICHAEL/LUCAS								
01/16/2018	00087426832 EVERETT, LUCAS	WASHINGTON MUTUAL INVESTORS FUND-52 B		100.00	2.75	0.00	2.75	65.0	1.79
01/11/2018	04892926623 BALLARD,	ALLIANCE PREMIER GROWTH FD CL A B		100.00	4.00	0.00	4.00	65.0	2.60
	ALEXANDRIA								
01/02/2018	07900035229 LUNDREN,	ALLIANCE PREMIER GROWTH FD CL B B		100.00	4.00	0.00	4.00	65.0	2.60
	TERRY/STEPHANIE								
* MUTUAL FUNDS SUBTOTAL *				\$1,884.93	\$383.45	\$0.00	\$383.45		\$249.28

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/01/2018	TRAIL COMMISSIONS	WELLS FARGO FUNDS FEES		0.00	18.07	0.00	18.07	65.0	11.74
02/01/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	60.44	0.00	60.44	65.0	39.29
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$78.51	\$0.00	\$78.51		\$51.03
*** BROKER TRANSACTIONS TOTAL ***				\$1,884.93	\$461.96	\$0.00	\$461.96		\$300.31

COMMISSION STATEMENT for EDWARD F. TATE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

02/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
02/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$300.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-26.82

Check Amount	\$145.99
Year-to-date Earnings	\$145.99