

COMMISSION STATEMENT**February 22, 2018**

TRACY CUDA
 3200 N. Central Ave
 Suite 200
 Phoenix, AZ 85012

BROKER #: 73670
 BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities America**BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

02/21/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-395.00	0.00	-395.00	-144.37
02/21/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	375.57	0.00	375.57	137.27
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$-19.43</u>	<u>\$0.00</u>	<u>\$-19.43</u>	<u>\$-7.10</u>

PRODUCT CATEGORY: RIA

02/21/2018	CUDA (73670), TRACY	SAI ADVISORY INCOME (CUE RIA)	0.00	2,352.51	0.00	2,352.51	859.84
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$2,352.51</u>	<u>\$0.00</u>	<u>\$2,352.51</u>	<u>\$859.84</u>

PRODUCT CATEGORY: TRAILERS

02/21/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	1,871.42	0.00	1,871.42	684.00
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$1,871.42</u>	<u>\$0.00</u>	<u>\$1,871.42</u>	<u>\$684.00</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$4,204.50</u>	<u>\$0.00</u>	<u>\$4,204.50</u>	<u>\$1,536.74</u>

OVERRIDE TRANSACTIONS**BROKER: JODI GALLOVICH #73712**

02/21/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	16.55	0.00	16.55	2.23
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$16.55</u>	<u>\$0.00</u>	<u>\$16.55</u>	<u>\$2.23</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$16.55</u>	<u>\$0.00</u>	<u>\$16.55</u>	<u>\$2.23</u>

COMMISSION STATEMENT for TRACY CUDA

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Company: Securities America (continued)

ADJUSTMENTS

17. SAI SIPC FOR PE 02/16/2018							-76.89
31. SAI 01/10/18 INVESTIGO CHARGE							-60.00
38. SAI 02/14/18 INVESTIGO CHARGE							-60.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-196.89

BROKER COMMISSION TOTALS

Broker Transactions	\$1,536.74
Split Transactions	\$0.00
Override Transactions	\$2.23
Adjustments	\$-196.89
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,342.08
Year-to-date Earnings	\$74,064.33