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COMMISSION STATEMENT

April 13, 2018

SYLVIA LUM
3200 N. Central Ave. 200
Phoenix, AZ 85012

BROKER #: 74013

BRANCH: 04-#70A11 HOME OFFIC

| | | | INVESTMENT | GROSS | CLEARING | NET | BROKER |
|------|--------|------------|------------|------------|----------|------------|------------|
| DATE | CLIENT | INVESTMENT | AMOUNT | COMMISSION | CHARGE | COMMISSION | COMMISSION |

Company: Securities America

ADJUSTMENTS

| PRIOR PERIOD BALANCE(SEE BELOW) | | | | | 0.00 |
|---------------------------------|--|--------|-----------------|------------|------------|
| *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| | | | BROKER | COMMISSION | TOTALS |
| | | | Broker Transa | \$0.00 | |
| | | | Split Transa | \$0.00 | |
| | | | Override Transa | actions | \$0.00 |
| | | | Adjus | stments | \$0.00 |
| | | | Prior Period E | Balance | \$-16.04 |
| | | | NASD Asse | essment | \$0.00 |
| | | | SIPC Asse | essment | \$0.00 |
| | | . – | | | |
| | ase Retain for You WILL BE NO CHECK ' | | Balance E | orward | \$-16.04 |
| | | | Year-to-date Ea | rnings | \$3,562.50 |