



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 31, 2017

05/25/2017
 Page No. 1

DAVID ZUPEK
 6226 Bankers Rd.
 Ste. 4
 Racine, WI 53403

BROKER #: Z116
 BRANCH: TADA WEALTH ADVISORS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: NON-TRADED BDC</u>								
05/10/2017	RUGH, BARBARA	FS INVESTMENT CORP IV CLASS T	0.00	24.88	0.00	24.88	90.0	22.39
		* NON-TRADED BDC SUBTOTAL *	\$0.00	\$24.88	\$0.00	\$24.88		\$22.39
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/12/2017	RACK, JOAN	AM GEN POLARIS CHOICE III	54,969.07	137.42	0.00	137.42	90.0	123.68
05/15/2017	ZUPEK, STEVEN	JNL PERSPECTIVE II 04/14	245,742.16	4,914.84	0.00	4,914.84	90.0	4,423.36
05/15/2017	MARTIN, CHARMAINE	JNL PERSPECTIVE II 05/05	50.00	3.75	0.00	3.75	90.0	3.38
05/15/2017	HUDEC, JOANNE	SECURITY LIFE OF DENVER VUL-DB	300.00	7.50	0.00	7.50	90.0	6.75
		* VARIABLE ANNUITIES SUBTOTAL *	\$301,061.23	\$5,063.51	\$0.00	\$5,063.51		\$4,557.17
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/17/2017	COTE, WILLIAM	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	14.00	0.00	14.00	90.0	12.60
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$14.00	\$0.00	\$14.00		\$12.60
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/04/2017	HARTMAN, BRIAN	ING ESII	0.00	2.67	0.00	2.67	90.0	2.40
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$2.67	\$0.00	\$2.67		\$2.40
		*** BROKER TRANSACTIONS TOTAL ***	\$301,061.23	\$5,105.06	\$0.00	\$5,105.06		\$4,594.56

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
05/15/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	65.00	0.23	0.00	0.23	90.0	0.21
05/15/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	11.89	0.04	0.00	0.04	90.0	0.04
05/09/2017	WALCZYK, ANNA	MFS BLENDED RESEARCH CORE FD B	250.00	3.50	0.00	3.50	90.0	3.15
05/09/2017	WALCZYK, ANNA	MFS BLENDED RESEARCH CORE FD B	30.00	0.42	0.00	0.42	90.0	0.38
05/10/2017	DABROWSKI, PAUL	MFS BLENDED RESEARCH CORE FD B	114.50	1.60	0.00	1.60	90.0	1.44
05/10/2017	GUTT, MARTIN	MFS BLENDED RESEARCH CORE FD B	100.00	1.40	0.00	1.40	90.0	1.26
05/16/2017	SIENKIEWICZ, TOMASZ	MFS BLENDED RESEARCH CORE FD B	25.00	0.35	0.00	0.35	90.0	0.32
05/10/2017	DABROWSKI, PAUL	MFS BOND FUND B	114.50	1.60	0.00	1.60	90.0	1.44
05/09/2017	DABROWSKI, AGNIESZKA	MFS DIVERSIFIED INCOME FUND C	135.00	0.47	0.00	0.47	90.0	0.42
05/09/2017	WALCZYK, ANNA	MFS GLOBAL REAL ESTATE FUND B	250.00	3.50	0.00	3.50	90.0	3.15
05/09/2017	WALCZYK, ANNA	MFS GLOBAL REAL ESTATE FUND B	30.00	0.42	0.00	0.42	90.0	0.38
05/09/2017	TULEWICZ, KRYSZYNA	MFS GLOBAL REAL ESTATE FUND B	7.69	0.11	0.00	0.11	90.0	0.10
05/09/2017	TULEWICZ, KRYSZYNA	MFS GLOBAL REAL ESTATE FUND B	4.61	0.06	0.00	0.06	90.0	0.05
05/15/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	52.22	0.73	0.00	0.73	90.0	0.66
05/15/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	348.11	4.87	0.00	4.87	90.0	4.38
05/05/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION 529C	200.00	0.70	0.00	0.70	90.0	0.63
05/09/2017	WALCZYK, ANNA	MFS GROWTH ALLOCATION B	250.00	3.50	0.00	3.50	90.0	3.15
05/09/2017	WALCZYK, ANNA	MFS GROWTH ALLOCATION B	30.00	0.42	0.00	0.42	90.0	0.38
05/09/2017	TULEWICZ, KRYSZYNA	MFS GROWTH ALLOCATION B	4.61	0.06	0.00	0.06	90.0	0.05
05/09/2017	TULEWICZ, KRYSZYNA	MFS GROWTH ALLOCATION B	7.68	0.11	0.00	0.11	90.0	0.10
05/10/2017	DABROWSKI, PAUL	MFS GROWTH ALLOCATION B	114.50	1.60	0.00	1.60	90.0	1.44
05/15/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	30.61	0.43	0.00	0.43	90.0	0.39
05/15/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	51.00	0.71	0.00	0.71	90.0	0.64
05/15/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	52.22	0.73	0.00	0.73	90.0	0.66
05/15/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	348.12	4.87	0.00	4.87	90.0	4.38
05/15/2017	PROTTO, CAMILLA	MFS GROWTH B	75.00	1.05	0.00	1.05	90.0	0.95
05/15/2017	WIKAR, HALINA	MFS GROWTH C	65.00	0.23	0.00	0.23	90.0	0.21
05/15/2017	WIKAR, HALINA	MFS GROWTH C	11.89	0.04	0.00	0.04	90.0	0.04
05/15/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	30.60	0.43	0.00	0.43	90.0	0.39
05/15/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	51.01	0.71	0.00	0.71	90.0	0.64
05/15/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	390.00	1.37	0.00	1.37	90.0	1.23
05/15/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	71.30	0.25	0.00	0.25	90.0	0.23
05/09/2017	DABROWSKI, AGNIESZKA	MFS MODERATE ALLOCATION C	135.00	0.47	0.00	0.47	90.0	0.42

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172								
05/15/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	130.00	0.46	0.00	0.46	90.0	0.41
05/15/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	23.77	0.08	0.00	0.08	90.0	0.07
05/09/2017	WALCZYK, ANNA	MFS UTILITIES B	250.00	3.50	0.00	3.50	90.0	3.15
05/09/2017	WALCZYK, ANNA	MFS UTILITIES B	30.00	0.42	0.00	0.42	90.0	0.38
05/09/2017	TULEWICZ, KRYSTYNA	MFS UTILITIES B	4.62	0.06	0.00	0.06	90.0	0.05
05/09/2017	TULEWICZ, KRYSTYNA	MFS UTILITIES B	7.69	0.11	0.00	0.11	90.0	0.10
05/10/2017	DABROWSKI, PAUL	MFS UTILITIES B	114.50	1.60	0.00	1.60	90.0	1.44
05/10/2017	GUTT, MARTIN	MFS UTILITIES B	100.00	1.40	0.00	1.40	90.0	1.26
05/15/2017	WIKAR, SYLVIA	MFS UTILITIES B	30.60	0.43	0.00	0.43	90.0	0.39
05/15/2017	WIKAR, SYLVIA	MFS UTILITIES B	51.00	0.71	0.00	0.71	90.0	0.64
05/16/2017	SIENKIEWICZ, TOMASZ	MFS UTILITIES B	25.00	0.35	0.00	0.35	90.0	0.32
05/09/2017	DABROWSKI, AGNIESZKA	MFS UTILITIES C	270.00	0.95	0.00	0.95	90.0	0.86
05/09/2017	WALCZYK, ANNA	MFS VALUE B	250.00	3.50	0.00	3.50	90.0	3.15
05/09/2017	WALCZYK, ANNA	MFS VALUE B	30.00	0.42	0.00	0.42	90.0	0.38
05/09/2017	TULEWICZ, KRYSTYNA	MFS VALUE B	7.69	0.11	0.00	0.11	90.0	0.10
05/09/2017	TULEWICZ, KRYSTYNA	MFS VALUE B	4.61	0.06	0.00	0.06	90.0	0.05
05/15/2017	WIKAR, SYLVIA	MFS VALUE B	30.60	0.43	0.00	0.43	90.0	0.39
05/15/2017	WIKAR, SYLVIA	MFS VALUE B	51.00	0.71	0.00	0.71	90.0	0.64
05/15/2017	PROTTO, CAMILLA	MFS VALUE B	75.00	1.05	0.00	1.05	90.0	0.95
05/15/2017	SZAJEWSKI, REGINA	MFS VALUE B	53.79	0.75	0.00	0.75	90.0	0.68
05/15/2017	SZAJEWSKI, REGINA	MFS VALUE B	358.67	5.02	0.00	5.02	90.0	4.52
* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *			\$5,355.60	\$59.10	\$0.00	\$59.10		\$53.24
*** SPLIT TRANSACTIONS TOTAL ***			\$5,355.60	\$59.10	\$0.00	\$59.10		\$53.24

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90</u>								
05/15/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	1,100.00	19.25	0.00	19.25	0.0	17.33
05/15/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	237.70	4.16	0.00	4.16	0.0	3.74
* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *			\$1,337.70	\$23.41	\$0.00	\$23.41		\$21.07
<u>BROKER: DAVE/MIKE ZUPEK/VENTURINI #129</u>								
05/14/2017	MUELLER, KENNETH	ADVANTAGE	0.00	63.08	0.00	63.08	0.0	56.77
05/15/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	0.0	2.25
05/15/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	0.0	2.25
05/15/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	0.0	0.15
05/15/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	0.0	0.15
05/15/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	0.0	0.15
* DAVE/MIKE ZUPEK/VENTURINI SUBTOTAL *			\$225.00	\$68.59	\$0.00	\$68.59		\$61.72
<u>BROKER: HALINA WIKAR #171</u>								
05/08/2017	RACZKA, PHILLIP	MFS AGGRESS GROWTH ALLOCATION 529A	75.00	3.75	0.00	3.75	55.0	1.31
05/15/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	330.03	3.30	0.00	3.30	55.0	1.16
05/15/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	99.01	0.99	0.00	0.99	55.0	0.35
05/15/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	108.66	1.09	0.00	1.09	55.0	0.38
05/15/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	65.20	0.65	0.00	0.65	55.0	0.23
05/08/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.07
05/15/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	108.66	1.09	0.00	1.09	55.0	0.38
05/15/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	65.19	0.65	0.00	0.65	55.0	0.23
05/15/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	330.03	3.30	0.00	3.30	55.0	1.16
05/15/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	99.01	0.99	0.00	0.99	55.0	0.35
05/15/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	130.00	1.30	0.00	1.30	55.0	0.46
05/15/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	23.77	0.24	0.00	0.24	55.0	0.08
05/15/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.07
05/08/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.11
05/15/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	247.52	2.48	0.00	2.48	55.0	0.87
05/15/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	74.26	0.74	0.00	0.74	55.0	0.26
05/15/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.11
05/03/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	20.00	0.20	0.00	0.20	55.0	0.07
05/11/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	20.00	0.20	0.00	0.20	55.0	0.07
05/15/2017	THOMAS, SYLVIA	MFS INTERNATIONAL NEW DISCOVERY C	52.16	0.52	0.00	0.52	55.0	0.18

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: HALINA WIKAR #171</u>								
05/15/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	330.03	3.30	0.00	3.30	55.0	1.16
05/15/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	99.01	0.99	0.00	0.99	55.0	0.35
05/15/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	108.66	1.09	0.00	1.09	55.0	0.38
05/15/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	13.04	0.13	0.00	0.13	55.0	0.05
05/08/2017	RACZKA, PHILLIP	MFS RESEARCH INTERNATIONAL FUND 529	75.00	3.75	0.00	3.75	55.0	1.31
05/16/2017	GRESZTA, LIDIA	MFS UTILITIES B	300.00	12.00	0.00	12.00	55.0	4.20
05/04/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09
05/15/2017	WIKAR, HALINA	MFS UTILITIES C	260.00	2.60	0.00	2.60	55.0	0.91
05/15/2017	WIKAR, HALINA	MFS UTILITIES C	47.54	0.48	0.00	0.48	55.0	0.17
05/15/2017	WAL, SEBASTIAN	MFS UTILITIES C	412.54	4.13	0.00	4.13	55.0	1.45
05/15/2017	WAL, SEBASTIAN	MFS UTILITIES C	123.76	1.24	0.00	1.24	55.0	0.43
05/15/2017	THOMAS, SYLVIA	MFS UTILITIES C	108.67	1.09	0.00	1.09	55.0	0.38
05/15/2017	THOMAS, SYLVIA	MFS UTILITIES C	65.20	0.65	0.00	0.65	55.0	0.23
05/16/2017	GRESZTA, LIDIA	MFS VALUE B	700.00	28.00	0.00	28.00	55.0	9.80
05/15/2017	WIKAR, HALINA	MFS VALUE C	260.00	2.60	0.00	2.60	55.0	0.91
05/15/2017	WIKAR, HALINA	MFS VALUE C	47.54	0.48	0.00	0.48	55.0	0.17
* HALINA WIKAR SUBTOTAL *			\$4,924.49	\$85.27	\$0.00	\$85.27		\$29.89
<u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u>								
05/15/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
05/15/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
05/12/2017	ROSE, PETER	CARTER VALIDUS MC REIT II CLASS T	0.00	41.42	0.00	41.42	0.0	37.28
05/12/2017	ALLEN, SUSAN	CARTER VALIDUS MC REIT II CLASS T	0.00	20.71	0.00	20.71	0.0	18.64
05/12/2017	DURBANO, LAURA	CARTER VALIDUS MC REIT II CLASS T	0.00	16.57	0.00	16.57	0.0	14.91
05/12/2017	MAY, ROBERT	CARTER VALIDUS MC REIT II CLASS T	0.00	57.53	0.00	57.53	0.0	51.78
05/10/2017	SKOWRON, ALEKSANDER	FS INVESTMENT CORP IV CLASS T	0.00	14.78	0.00	14.78	0.0	13.30
05/10/2017	GIBSON, PAMELA	FS INVESTMENT CORP IV CLASS T	0.00	27.71	0.00	27.71	0.0	24.94
05/23/2017	LUNDA, MICHAEL	GPB AUTOMOTIVE PORTFOLIO LP	25,000.00	1,750.00	0.00	1,750.00	0.0	1,575.00
04/19/2017	HOEY, SANDRA	SEALEY STRATEGIC EQUITY PARTNERS LP	50,000.00	3,500.00	0.00	3,500.00	0.0	3,150.00
05/05/2017	O'HARA, THOMAS	TRILINC GLOBAL IMPACT FUND C	0.00	23.86	0.00	23.86	0.0	21.47
* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *			\$75,075.00	\$5,454.08	\$0.00	\$5,454.08		\$4,908.67
*** OVERRIDE TRANSACTIONS TOTAL ***			\$81,562.19	\$5,631.35	\$0.00	\$5,631.35		\$5,021.35

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BROKER COMMISSION TOTALS

Broker Transactions	\$4,594.56
Split Transactions	\$53.24
Override Transactions	\$5,021.35
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$9,669.15
Year-to-date Gross	\$81,551.37
Year-to-date Earnings	\$124,636.75