

COMMISSION STATEMENT

July 31, 2018

 GEORGE OFFUTT-D067
 146 Pinehurst

 BROKER #: D067
 BRANCH: DMT

Meadowlakes, TX 78654

COMMISSION STATEMENT for GEORGE OFFUTT-D067

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/23/2018	mfc668438	OFFUTT 668438	MFT AIM CHARTER FUND - CLASS A	B	0.00	1.41	0.00	1.41	90.0	1.27
07/23/2018	mfc668438	OFFUTT 668438	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	2.47	0.00	2.47	90.0	2.22
07/23/2018	mfc668438	OFFUTT 668438	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	2.51	0.00	2.51	90.0	2.26
07/23/2018	mfc668438	OFFUTT 668438	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	5.24	0.00	5.24	90.0	4.72
07/23/2018	mfc668438	OFFUTT 668438	MFT AIM INTERNATIONAL GROWTH FUND - B	B	0.00	11.34	0.00	11.34	90.0	10.21
07/23/2018	mfc668438	OFFUTT 668438	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	5.06	0.00	5.06	90.0	4.55
07/23/2018	mfc668438	OFFUTT 668438	MFT ALLIANCE BERNSTEIN	B	0.00	1.88	0.00	1.88	90.0	1.69
07/23/2018	mfc668438	OFFUTT 668438	MFT ALLIANCE BERNSTEIN	B	0.00	3.12	0.00	3.12	90.0	2.81
07/23/2018	mfc668438	OFFUTT 668438	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	2.79	0.00	2.79	90.0	2.51
07/23/2018	mfc668438	OFFUTT 668438	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	3.27	0.00	3.27	90.0	2.94
07/23/2018	mfc668438	OFFUTT 668438	MFT ALLIANZ NFJ SMALL CAP VALUE	B	0.00	0.66	0.00	0.66	90.0	0.59
07/23/2018	mfc668438	OFFUTT 668438	MFT AMERICAN BALANCED FUND-A	B	0.00	0.09	0.00	0.09	90.0	0.08
07/23/2018	mfc668438	OFFUTT 668438	MFT BLACKROCK GLOBAL RESOURCES FUND B	B	0.00	0.71	0.00	0.71	90.0	0.64
07/23/2018	mfc668438	OFFUTT 668438	MFT BLACKROCK GLOBAL RESOURCES FUND B	B	0.00	0.82	0.00	0.82	90.0	0.74
07/23/2018	mfc668438	OFFUTT 668438	MFT BLK 091928788	B	0.00	2.03	0.00	2.03	90.0	1.83
07/23/2018	mfc668438	OFFUTT 668438	MFT COLUMBIA SMALL CAP VALUE FUND I B	B	0.00	2.70	0.00	2.70	90.0	2.43
07/23/2018	mfc668438	OFFUTT 668438	MFT COLUMBIA SELECT INTL EQUITY	B	0.00	2.30	0.00	2.30	90.0	2.07
07/23/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	0.35	0.00	0.35	90.0	0.32
07/23/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	1.35	0.00	1.35	90.0	1.22
07/23/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	2.44	0.00	2.44	90.0	2.20
07/23/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	3.30	0.00	3.30	90.0	2.97
07/23/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	6.40	0.00	6.40	90.0	5.76
07/23/2018	mfc668438	OFFUTT 668438	MFT DELAWARE DIVIDEND INCOME FUND A	B	0.00	2.46	0.00	2.46	90.0	2.21
07/23/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	3.09	0.00	3.09	90.0	2.78
07/23/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	4.20	0.00	4.20	90.0	3.78
07/23/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	4.59	0.00	4.59	90.0	4.13
07/23/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE CORE EQUITY FUND-A	B	0.00	3.74	0.00	3.74	90.0	3.37
07/23/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE REAL ESTATE SECURITIES	B	0.00	0.87	0.00	0.87	90.0	0.78
07/23/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	1.69	0.00	1.69	90.0	1.52
07/23/2018	mfc668438	OFFUTT 668438	MFT EATON VANCE NATIONAL MUNICIPAL	B	0.00	10.79	0.00	10.79	90.0	9.71
07/23/2018	mfc668438	OFFUTT 668438	MFT EHV 277902813	B	0.00	1.15	0.00	1.15	90.0	1.04

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07/23/2018	mfc668438 OFFUTT 668438	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	4.90	0.00	4.90	90.0	4.41
07/23/2018	mfc668438 OFFUTT 668438	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	7.97	0.00	7.97	90.0	7.17
07/23/2018	mfc668438 OFFUTT 668438	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	13.59	0.00	13.59	90.0	12.23
07/23/2018	mfc668438 OFFUTT 668438	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	18.11	0.00	18.11	90.0	16.30
07/23/2018	mfc668438 OFFUTT 668438	MFT INVESCO LOW VOLATILITY EQTY	B	0.00	6.79	0.00	6.79	90.0	6.11
07/23/2018	mfc668438 OFFUTT 668438	MFT IVY GLOBAL NATURAL RESOURCES	B	0.00	0.79	0.00	0.79	90.0	0.71
07/23/2018	mfc668438 OFFUTT 668438	MFT IVY SCIENCE AND TECHNOLOGY FUND	B	0.00	1.27	0.00	1.27	90.0	1.14
07/23/2018	mfc668438 OFFUTT 668438	MFT OPPENHEIMERFUNDS 68380E304	B	0.00	1.15	0.00	1.15	90.0	1.04
07/23/2018	mfc668438 OFFUTT 668438	MFT OPPENHEIMER SMALL & MID CAP	B	0.00	4.15	0.00	4.15	90.0	3.74
07/23/2018	mfc668438 OFFUTT 668438	MFT TEMP FRGN FND - CL A	B	0.00	0.89	0.00	0.89	90.0	0.80
07/23/2018	mfc668438 OFFUTT 668438	MFT TEMP WORLD FND - CL A	B	0.00	1.55	0.00	1.55	90.0	1.40
07/23/2018	mfc668438 OFFUTT 668438	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	1.15	0.00	1.15	90.0	1.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$157.13	\$0.00	\$157.13		\$141.44
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$157.13	\$0.00	\$157.13		\$141.44

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

07/05/2018	00000275988 JACKSON, TANYA	MF COLUMBIA HIGH YIELD BOND FUND-A	B	15.00	0.53	0.00	0.53	90.0	0.48
07/05/2018	07320103858 JACKSON, TANYA	MF COLUMBIA SELECT INTL EQUITY	B	20.00	0.75	0.00	0.75	90.0	0.68
07/05/2018	07790166208 JACKSON, TANYA	MF COLUMBIA GLOBAL EQUITY VALUE-A	B	40.00	1.50	0.00	1.50	90.0	1.35
07/05/2018	09690115201 JACKSON, TANYA	MF COLUMBIA SMALL CAP VALUE FUND I	B	25.00	0.94	0.00	0.94	90.0	0.85
* MUTUAL FUNDS SUBTOTAL *				\$100.00	\$3.72	\$0.00	\$3.72		\$3.36

PRODUCT CATEGORY: VARIABLE ANNUITIES

07/20/2018	(None) OFFUTT, GEORGE	VA PRUDENTIAL LIFE	B	0.00	21.00	0.00	21.00	90.0	18.90
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$21.00	\$0.00	\$21.00		\$18.90

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018	(None) VARIOUS, OFFUTT	MFT DAVIS	B	0.00	196.16	0.00	196.16	90.0	176.54
06/30/2018	(None) BLACK, JESSICA	MFT TEXAS 529 PLAN	B	0.00	1.42	0.00	1.42	90.0	1.28
07/05/2018	00000275988 JACKSON, TANYA	MFT COLUMBIA HIGH YIELD BOND FUND-A	B	0.00	1.90	0.00	1.90	90.0	1.71
07/09/2018	00001323509 OFFUTT, BRADLEY	MFT DEUTSCHE CROCI	B	0.00	1.32	0.00	1.32	90.0	1.19
07/09/2018	00001323509 OFFUTT, BRADLEY	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	2.06	0.00	2.06	90.0	1.85
07/09/2018	00026254076 HILL, GERALD	MFT DEUTSCHE CROCI	B	0.00	57.11	0.00	57.11	90.0	51.40
07/09/2018	00026254076 HILL, GERALD	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	72.87	0.00	72.87	90.0	65.58
07/09/2018	00133414408 OFFUTT, BRIAN	MFT DEUTSCHE CROCI	B	0.00	30.10	0.00	30.10	90.0	27.09
07/09/2018	00133414408 OFFUTT, BRIAN	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	43.20	0.00	43.20	90.0	38.88
07/09/2018	00133414409 OFFUTT, LINDA	MFT DEUTSCHE CROCI	B	0.00	46.11	0.00	46.11	90.0	41.50
07/09/2018	00133414409 OFFUTT, LINDA	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	63.91	0.00	63.91	90.0	57.52
07/09/2018	00133414830 OFFUTT, GEORGE	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	26.73	0.00	26.73	90.0	24.06
07/09/2018	00133414920 OFFUTT, BRADLEY	MFT DEUTSCHE CROCI	B	0.00	11.40	0.00	11.40	90.0	10.26
07/09/2018	00133414920 OFFUTT, BRADLEY	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	12.58	0.00	12.58	90.0	11.32
07/09/2018	04715331851 CRADDICK,	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	12.63	0.00	12.63	90.0	11.37

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07/09/2018 04725938328	BLACK, JESSICA	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	6.52	0.00	6.52	90.0	5.87
07/09/2018 04725938328	BLACK, JESSICA	MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	4.30	0.00	4.30	90.0	3.87
07/09/2018 04725938328	BLACK, JESSICA	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	2.35	0.00	2.35	90.0	2.12
07/09/2018 04725938328	BLACK, JESSICA	MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	3.71	0.00	3.71	90.0	3.34
07/09/2018 04725938328	BLACK, JESSICA	MFT ALLIANCEBERNSTEIN REAL ESTATE	B	0.00	2.68	0.00	2.68	90.0	2.41
07/09/2018 04725938328	BLACK, JESSICA	MFT ALLIANCEBERNSTEIN INTL VALUE A	B	0.00	1.84	0.00	1.84	90.0	1.66
07/05/2018 07320103858	JACKSON, TANYA	MFT COLUMBIA SELECT INTL EQUITY	B	0.00	1.93	0.00	1.93	90.0	1.74
07/05/2018 07790166208	JACKSON, TANYA	MFT COLUMBIA GLOBAL EQUITY VALUE-A	B	0.00	5.82	0.00	5.82	90.0	5.24
07/05/2018 09690115201	JACKSON, TANYA	MFT COLUMBIA SMALL CAP VALUE FUND I	B	0.00	5.85	0.00	5.85	90.0	5.27
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$614.50	\$0.00	\$614.50		\$553.07
*** DIRECT TRANSACTIONS TOTAL ***				\$100.00	\$639.22	\$0.00	\$639.22		\$575.33

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

07/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
07/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
07/31/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	298.54
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$243.54
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$243.54

BROKER COMMISSION TOTALS

Broker Transactions	\$716.77
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$243.54
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$960.31
Year-to-date Commissions	\$9,100.80