



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**January 31, 2017**

01/27/2017  
Page No. 1

JOSEPH MICHALETZ  
41474 Judson Bottom Road  
  
North Mankato, MI 56003-

BROKER #: M138  
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
01/18/2017	ROTSKOFF TRUST, KENNETH	HPI REAL ESTATE OPP FUND IV LLC	250,000.00	17,500.00	0.00	17,500.00	93.0	16,275.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$250,000.00	\$17,500.00	\$0.00	\$17,500.00		\$16,275.00
<b><u>PRODUCT CATEGORY: OIL &amp; GAS</u></b>								
12/22/2016	LANGHORST, STEVE	US ENERGY ROYALTY EXCHANGE I	280,000.00	16,800.00	0.00	16,800.00	93.0	15,624.00
12/22/2016	MICHALETZ, CHRISTINE	US ENERGY ROYALTY EXCHANGE I	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
12/22/2016	WINGERT, CHARLES	US ENERGY ROYALTY EXCHANGE I	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
12/22/2016	ROBINSON TRUST, MARILYN	US ENERGY ROYALTY EXCHANGE I	150,000.00	9,000.00	0.00	9,000.00	93.0	8,370.00
12/22/2016	GEESLIN, RITA	US ENERGY ROYALTY EXCHANGE I	35,000.00	2,100.00	0.00	2,100.00	93.0	1,953.00
12/22/2016	DIVENTURES LLC	US ENERGY ROYALTY EXCHANGE I	1,225,000.00	73,500.00	0.00	73,500.00	93.0	68,355.00
12/23/2016	BOHLENDER, RODNEY	US ENERGY ROYALTY EXCHANGE I	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
12/29/2016	VOIGT, KEVIN	US ENERGY ROYALTY EXCHANGE I	45,000.00	2,700.00	0.00	2,700.00	93.0	2,511.00
12/29/2016	HYLEN, DARRELL	US ENERGY ROYALTY EXCHANGE I	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
01/04/2017	LOCATION, LOCATION, LOCATION	US ENERGY ROYALTY EXCHANGE I	400,000.00	24,000.00	0.00	24,000.00	93.0	22,320.00
01/18/2017	MONTAG, MICHAEL	US ENERGY ROYALTY EXCHANGE I	73,569.00	4,414.14	0.00	4,414.14	93.0	4,105.15
		* OIL & GAS SUBTOTAL *	\$2,558,569.00	\$153,514.14	\$0.00	\$153,514.14		\$142,768.15
<b><u>PRODUCT CATEGORY: 1031 EXCHANGE</u></b>								
01/23/2017	SEITZ, MARGARET	INLAND INDIANAPOLIS MEDICAL OFF DST	88,023.42	4,401.17	0.00	4,401.17	93.0	4,093.09
01/23/2017	SEITZ, MARGARET	INLAND NAT'L MULTI-FMLY PF I DST	88,023.41	4,401.17	0.00	4,401.17	93.0	4,093.09
01/23/2017	ROBINSON TRUST, MARILYN	INLAND SELF-STORAGE PORTFOLIO II DS	117,062.51	5,853.13	0.00	5,853.13	93.0	5,443.41
01/20/2017	BRADFORD HOLDINGS GH	INLAND ZERO COUPON PHARMACY VII DST	925,000.00	46,250.00	0.00	46,250.00	93.0	43,012.50
01/20/2017	BRADFORD HOLD'GS MAN	INLAND ZERO COUPON PHARMACY VII DST	906,500.00	45,325.00	0.00	45,325.00	93.0	42,152.25
		* 1031 EXCHANGE SUBTOTAL *	\$2,124,609.34	\$106,230.47	\$0.00	\$106,230.47		\$98,794.34

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/31/2016	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	0.00	1.01	0.00	1.01	93.0	0.94
12/31/2016	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	0.00	3.02	0.00	3.02	93.0	2.81
01/23/2017	POLZIN, RICHARD	GPB AUTOMOTIVE PORTFOLIO LP	60,000.00	0.00	0.00	0.00	93.0	0.00
01/26/2017	FITTERER, LISA	GPB AUTOMOTIVE PORTFOLIO LP	60,000.00	0.00	0.00	0.00	93.0	0.00
01/17/2017	STEFFEN, MARVIN	JH STRATEGIC GROWTH A	0.00	7.01	0.00	7.01	93.0	6.52
01/17/2017	STEFFEN, MARVIN	JH STRATEGIC INCOME A	0.00	11.24	0.00	11.24	93.0	10.45
01/17/2017	WILKERSON, LAURA	JH STRATEGIC INCOME A	0.00	7.98	0.00	7.98	93.0	7.42
01/03/2017	IRLBECK, CALEB	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	0.66	0.00	0.66	93.0	0.61
01/03/2017	IRLBECK, AVA	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	1.15	0.00	1.15	93.0	1.07
01/03/2017	IRLBECK, KERSTEN	MFS AGGRESS GROWTH ALLOCATION 529C	0.00	1.14	0.00	1.14	93.0	1.06
01/03/2017	IRLBECK, CALEB	MFS GROWTH ALLOCATION 529A	0.00	0.35	0.00	0.35	93.0	0.33
01/03/2017	IRLBECK, AVA	MFS GROWTH ALLOCATION 529A	0.00	0.71	0.00	0.71	93.0	0.66
01/03/2017	BOESCH, DEBRA	MFS RESEARCH A	0.00	44.54	0.00	44.54	93.0	41.42
01/03/2017	BRETZMAN, NICOLE	MFS RESEARCH A	0.00	1.08	0.00	1.08	93.0	1.00
01/03/2017	LEVIK, LADONNA	MFS RESEARCH A	0.00	8.52	0.00	8.52	93.0	7.92
01/03/2017	URBAN, CURTIS	MFS RESEARCH A	0.00	17.18	0.00	17.18	93.0	15.98
01/03/2017	BURRUS, ALYSSA	MFS RESEARCH A	0.00	0.85	0.00	0.85	93.0	0.79
01/03/2017	URBAN, ANDREW	MFS RESEARCH A	0.00	1.92	0.00	1.92	93.0	1.79
01/03/2017	BOESCH, DEBRA	MFS RESEARCH B	0.00	35.38	0.00	35.38	93.0	32.90
01/03/2017	URBAN, CURTIS	MFS RESEARCH B	0.00	11.48	0.00	11.48	93.0	10.68
01/03/2017	BOESCH, DEBRA	MFS RESEARCH FUND-C	0.00	17.93	0.00	17.93	93.0	16.67
01/23/2017	BOESCH, DEBRA	MFS RESEARCH FUND-C	6,500.00	65.00	0.00	65.00	93.0	60.45
01/04/2017	BOESCH JT TEN, DEBRA	PIONEER INDEPENDENCE A	0.00	7.03	0.00	7.03	93.0	6.54
01/04/2017	GARTNER, MARIE	PIONEER VALUE A	0.00	19.05	0.00	19.05	93.0	17.72
01/03/2017	CORDS, SCOTT	PUTNAM CAPITAL SPECTRUM C	0.00	47.74	0.00	47.74	93.0	44.40
01/03/2017	CORDS, GRETCHEN	PUTNAM CAPITAL SPECTRUM C	0.00	73.27	0.00	73.27	93.0	68.14
01/03/2017	CORDS, RANDALL	PUTNAM EQUITY SPECTRUM C	0.00	38.94	0.00	38.94	93.0	36.21
01/03/2017	HINTON, EMILY	PUTNAM MULTI CAP GROWTH A	0.00	0.87	0.00	0.87	93.0	0.81
01/23/2017	POLZIN, RICHARD	SEALY STRATEGIC EQUITY PARTNERS LP	60,000.00	0.00	0.00	0.00	93.0	0.00
01/24/2017	CORDS, STEVEN	THE OSTERWEIS STRATEGIC INCOME FUND	-19,668.70	22.00	22.00	0.00	93.0	0.00
01/12/2017	WETZELL, ELI	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	93.0	9.30
01/12/2017	WETZELL, BECKETT	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	93.0	9.30
01/12/2017	WETZELL, PEYTON	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	93.0	9.30

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/12/2017	WETZELL, CHRISTOPHER	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	93.0	9.30
01/13/2017	WETZELL, BRADY	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	93.0	9.30
01/17/2017	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	93.0	0.93
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$171,931.30</b>	<b>\$498.05</b>	<b>\$22.00</b>	<b>\$476.05</b>		<b>\$442.72</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/17/2017	SHORE, JERRY	JNL PERSPECTIVE L	18,421.26	736.85	0.00	736.85	93.0	685.27
01/18/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	155.00	6.20	0.00	6.20	93.0	5.77
01/03/2017	STANTON, KAREN	METLIFE SERIES L	125,561.71	313.90	0.00	313.90	93.0	291.93
01/03/2017	LELAND, CRAIG	METLIFE SERIES L	310,620.44	776.55	0.00	776.55	93.0	722.19
01/03/2017	WOLFF, WALTER	METLIFE SERIES L	33,532.20	83.83	0.00	83.83	93.0	77.96
01/03/2017	HEIDTMAN, JOYCE	METLIFE SERIES L	89,430.26	223.58	0.00	223.58	93.0	207.93
01/03/2017	BERGLING, PAUL	METLIFE SERIES L	718,133.89	1,795.33	0.00	1,795.33	93.0	1,669.66
01/03/2017	FRANKLIN, WILLIAM	METLIFE SERIES L	243,073.66	607.68	0.00	607.68	93.0	565.14
01/03/2017	MILLER, KAREN	METLIFE SERIES L	327,438.94	818.60	0.00	818.60	93.0	761.30
01/03/2017	OTTO, CHRISTOPHER	METLIFE SERIES L	85,697.96	214.24	0.00	214.24	93.0	199.24
01/03/2017	BIRK, MARILYN	METLIFE SERIES L	91,321.42	228.30	0.00	228.30	93.0	212.32
01/03/2017	KIECKER, MARK	METLIFE SERIES L	149,842.43	374.61	0.00	374.61	93.0	348.39
01/03/2017	MILLER, KAREN	METLIFE SERIES L	63,791.90	159.48	0.00	159.48	93.0	148.32
01/03/2017	HINTON, TIMOTHY	METLIFE SERIES L	21,051.36	52.63	0.00	52.63	93.0	48.95
01/03/2017	ROSS TRUST, MARY JO	METLIFE SERIES L	60,611.39	151.53	0.00	151.53	93.0	140.92
01/03/2017	WOLFF, LINDA	METLIFE SERIES L	143,756.50	359.39	0.00	359.39	93.0	334.23
01/03/2017	HEIDTMAN, JOYCE	METLIFE SERIES L	61,450.24	153.63	0.00	153.63	93.0	142.88
01/03/2017	WALLACE, DEANNE	METLIFE SERIES L	94,007.83	235.02	0.00	235.02	93.0	218.57
01/03/2017	BRIELMAIER, MARK	METLIFE SERIES L	262,975.76	657.44	0.00	657.44	93.0	611.42
01/03/2017	SWANSON, DARLA	METLIFE SERIES L	221,591.43	553.98	0.00	553.98	93.0	515.20
01/03/2017	ARNOLD, RICHARD	METLIFE SERIES L	124,589.06	311.47	0.00	311.47	93.0	289.67
01/03/2017	BIRK, DAVID	METLIFE SERIES L	1,323,988.40	3,309.97	0.00	3,309.97	93.0	3,078.27
01/03/2017	FRANKLIN, ROSALIE	METLIFE SERIES L	93,371.84	233.43	0.00	233.43	93.0	217.09
01/03/2017	JONES, THOMAS	METLIFE SERIES L	153,171.93	382.93	0.00	382.93	93.0	356.12
01/03/2017	TOMM, JODI	METLIFE SERIES L	125,817.52	314.54	0.00	314.54	93.0	292.52
01/03/2017	HANSGEN, DIANE	METLIFE SERIES L	258,662.72	646.66	0.00	646.66	93.0	601.39
01/03/2017	BERGLING, SHARON	METLIFE SERIES L	232,945.46	582.36	0.00	582.36	93.0	541.59
01/03/2017	ROY, JAMES	METLIFE SERIES L	206,721.69	516.80	0.00	516.80	93.0	480.62

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/03/2017	JONES, LINDA	METLIFE SERIES L	37,791.48	94.48	0.00	94.48	93.0	87.87
01/03/2017	SANDER, GLORIAN	METLIFE SERIES L	305,945.36	764.86	0.00	764.86	93.0	711.32
01/03/2017	ERICKSON, RONALD	METLIFE SERIES L	328,922.73	822.31	0.00	822.31	93.0	764.75
01/03/2017	VETTER, BRIAN	METLIFE SERIES L	146,397.10	365.99	0.00	365.99	93.0	340.37
01/03/2017	ROSSING, PATRICIA	METLIFE SERIES L	375,233.62	938.08	0.00	938.08	93.0	872.41
01/03/2017	WALLACE, LLOYD	METLIFE SERIES L	372,860.63	932.15	0.00	932.15	93.0	866.90
01/03/2017	MAINE, DOUGLAS	METLIFE SERIES L	236,170.20	590.43	0.00	590.43	93.0	549.10
01/03/2017	OTTO, JOLENE	METLIFE SERIES L	138,114.16	345.29	0.00	345.29	93.0	321.12
01/03/2017	HUSBYN, ROGER	METLIFE SERIES L	158,237.79	395.59	0.00	395.59	93.0	367.90
01/03/2017	FLO, DAVID	METLIFE SERIES L	544,639.44	1,361.60	0.00	1,361.60	93.0	1,266.29
01/03/2017	BIRK, DAVID	METLIFE SERIES L	130,669.43	326.67	0.00	326.67	93.0	303.80
01/03/2017	KIECKER, DENISE	METLIFE SERIES L	101,539.75	253.85	0.00	253.85	93.0	236.08
01/03/2017	KELLOGG, J EDWARD	METLIFE SERIES L	482,625.45	1,206.56	0.00	1,206.56	93.0	1,122.10
01/03/2017	WEIBLE, DEAN	METLIFE SERIES L	388,568.81	971.42	0.00	971.42	93.0	903.42
01/03/2017	HANSGEN, DIANE	METLIFE SERIES L	273,949.08	684.87	0.00	684.87	93.0	636.93
01/03/2017	FLOR, PATRICIA	METLIFE SERIES L	92,956.85	232.39	0.00	232.39	93.0	216.12
01/03/2017	AYERS, KATHRYN	METLIFE SERIES L	361,025.91	902.56	0.00	902.56	93.0	839.38
01/03/2017	ARNOLD, RICHARD	METLIFE SERIES L	413,345.13	1,033.36	0.00	1,033.36	93.0	961.02
01/03/2017	FRANKLIN, WILLIAM	METLIFE SERIES L	286,027.05	715.07	0.00	715.07	93.0	665.02
01/03/2017	OLINGER, THOMAS	METLIFE SERIES L	56,089.86	140.22	0.00	140.22	93.0	130.40
01/03/2017	WERKMEISTER, DAVID	METLIFE SERIES L	644,212.22	1,610.53	0.00	1,610.53	93.0	1,497.79
01/03/2017	BOUDREAU, DIANE	METLIFE USA SERIES VA	350,447.75	876.12	0.00	876.12	93.0	814.79
01/20/2017	BOESCH, JEFFERY	TRANSAMERICA EXTRA 89352F889	6,500.00	71.50	0.00	71.50	93.0	66.50
01/20/2017	BERGLING, PAUL	TRANSAMERICA LANDMARK	280.00	14.00	0.00	14.00	93.0	13.02
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$11,874,284.00</b>	<b>\$30,450.83</b>	<b>\$0.00</b>	<b>\$30,450.83</b>		<b>\$28,319.26</b>
<b><u>PRODUCT CATEGORY: LIFE INSURANCE</u></b>								
01/17/2017	PROKOP, MATTHEW	LINCOLN LIFE	1,800.00	45.00	0.00	45.00	93.0	41.85
01/24/2016	ANOTHER ATTIC MGMT	PRUDENTIAL VUL	0.00	3,712.96	0.00	3,712.96	93.0	3,453.05
01/17/2017	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	0.00	1.00	0.00	1.00	93.0	0.93
<b>* LIFE INSURANCE SUBTOTAL *</b>			<b>\$1,800.00</b>	<b>\$3,758.96</b>	<b>\$0.00</b>	<b>\$3,758.96</b>		<b>\$3,495.83</b>

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/07/2017	BOUDREAU, WILLIAM	JOHN HANCOCK FREEDOM 529	0.00	10.72	0.00	10.72	93.0	9.97
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$10.72	\$0.00	\$10.72		\$9.97
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
01/09/2017	SOUKUP, LAVERN	PAC LIFE PORTFOLIOS	0.00	12.13	0.00	12.13	93.0	11.28
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$12.13	\$0.00	\$12.13		\$11.28
*** BROKER TRANSACTIONS TOTAL ***			\$16,981,193.64	\$311,975.30	\$22.00	\$311,953.30		\$290,116.55

**SPLIT TRANSACTIONS****BROKER: 46G-JOE/JACK MICHALETZ #290**

01/25/2017	HART, JACOB	SPDR BLACKSTONE / GSO SENIOR LOAN E	-808.38	22.00	22.50	-0.50	93.0	-0.47
* 46G-JOE/JACK MICHALETZ SUBTOTAL *			\$-808.38	\$22.00	\$22.50	\$-0.50		\$-0.47
*** SPLIT TRANSACTIONS TOTAL ***			\$-808.38	\$22.00	\$22.50	\$-0.50		\$-0.47

**OVERRIDE TRANSACTIONS****BROKER: JACK MICHALETZ #287**

01/17/2017	BLOCK, EUGENE	JH DISCIPLINED VALUE INT'L C	0.00	1.10	0.00	1.10	0.0	1.02
01/17/2017	ROBERTS, MICHELLE	JH DISCIPLINED VALUE INT'L C	0.00	0.19	0.00	0.19	0.0	0.18
01/17/2017	WALLEM, HARRY	JH FINANCIAL INDUSTRIES A	0.00	2.26	0.00	2.26	0.0	2.10
01/17/2017	BLOCK, EUGENE	JH INCOME B	0.00	1.40	0.00	1.40	0.0	1.30
01/17/2017	WALLEM, HARRY	JH LARGE CAP EQUITY A	0.00	3.50	0.00	3.50	0.0	3.26
01/17/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY A	0.00	1.49	0.00	1.49	0.0	1.39
01/17/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY B	0.00	1.32	0.00	1.32	0.0	1.23
01/17/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY B	0.00	0.22	0.00	0.22	0.0	0.20
01/17/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY C	0.00	1.62	0.00	1.62	0.0	1.51
01/17/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	0.00	1.44	0.00	1.44	0.0	1.34
01/17/2017	ROBERTS, MICHELLE	JH LARGE CAP EQUITY C	0.00	0.05	0.00	0.05	0.0	0.05
01/17/2017	TOLZMANN, RICHARD	JH LIFESTYLE AGGRESSIVE PORTFOLIO C	0.00	6.20	0.00	6.20	0.0	5.77
01/17/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	4.79	0.00	4.79	0.0	4.45
01/17/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT B	0.00	2.28	0.00	2.28	0.0	2.12
01/17/2017	OLSON, HARLAN	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	2.76	0.00	2.76	0.0	2.57

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JACK MICHALETZ #287</u></b>								
01/17/2017	HERMEL, ROBERT	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	4.30	0.00	4.30	0.0	4.00
01/17/2017	SCHWARTZ, TRAVIS	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	2.92	0.00	2.92	0.0	2.72
01/17/2017	WALLEM, HARRY	JH NEW OPPORTUNITIES A	0.00	2.03	0.00	2.03	0.0	1.89
01/17/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES A	0.00	0.60	0.00	0.60	0.0	0.56
01/17/2017	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.06	0.00	0.06	0.0	0.06
01/17/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES C	0.00	1.29	0.00	1.29	0.0	1.20
01/17/2017	ROBERTS, MICHELLE	JH SMALL COMPANY FUND A	0.00	0.07	0.00	0.07	0.0	0.07
01/17/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH A	0.00	0.28	0.00	0.28	0.0	0.26
01/17/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH A	0.00	2.11	0.00	2.11	0.0	1.96
01/17/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH C	0.00	1.47	0.00	1.47	0.0	1.37
01/17/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	0.00	1.37	0.00	1.37	0.0	1.27
01/17/2017	WALLEM, HARRY	JH STRATEGIC INCOME A	0.00	2.57	0.00	2.57	0.0	2.39
01/17/2017	BLOCK, EUGENE	JH STRATEGIC INCOME A	0.00	1.18	0.00	1.18	0.0	1.10
01/17/2017	BLOCK, EUGENE	JH STRATEGIC INCOME C	0.00	1.28	0.00	1.28	0.0	1.19
01/17/2017	ROBERTS, MICHELLE	JH STRATEGIC INCOME C	0.00	0.82	0.00	0.82	0.0	0.76
01/17/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	0.00	4.93	0.00	4.93	0.0	4.58
01/17/2017	WALLEM, HARRY	JN DISCIPLINED VALUE INT'L A	0.00	1.58	0.00	1.58	0.0	1.47
01/17/2017	BLOCK, EUGENE	JN DISCIPLINED VALUE INT'L A	0.00	1.76	0.00	1.76	0.0	1.64
11/14/2016	ROY, DEBRA	JNL PERSPECTIVE II 04/14	-143,237.05	-7,878.04	0.00	-7,878.04	0.0	-7,326.58
11/14/2016	ROY, DEBRA	JNL PERSPECTIVE II 04/14	143,237.05	2,864.74	0.00	2,864.74	0.0	2,664.21
12/16/2016	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	-40,000.00	-2,200.00	0.00	-2,200.00	0.0	-2,046.00
12/16/2016	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	40,000.00	800.00	0.00	800.00	0.0	744.00
12/27/2016	WATTS, BAMBI	JNL PERSPECTIVE II 04/14	-4,457.67	-245.17	0.00	-245.17	0.0	-228.01
12/27/2016	WATTS, BAMBI	JNL PERSPECTIVE II 04/14	4,457.67	89.15	0.00	89.15	0.0	82.91
01/09/2017	WATTS, BAMBI	JNL PERSPECTIVE II 04/14	-646.35	-35.55	0.00	-35.55	0.0	-33.06
01/09/2017	WATTS, BAMBI	JNL PERSPECTIVE II 04/14	646.35	12.93	0.00	12.93	0.0	12.02
01/17/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	0.00	4.90	0.00	4.90	0.0	4.56
01/17/2017	ROBERTS, MICHELLE	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	0.11	0.00	0.11	0.0	0.10
01/17/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	4.24	0.00	4.24	0.0	3.94
01/17/2017	HENZE, DUANE	JOHN HANCOCK LIFESTYLE AGGR PORTFOL	0.00	0.02	0.00	0.02	0.0	0.02
01/17/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	0.00	3.50	0.00	3.50	0.0	3.26
01/03/2017	WOLFE JR, THEODORE	MET LIFE USA SERIES C SHARE	662,159.98	2,069.25	0.00	2,069.25	0.0	1,924.40
12/25/2016	LORENTZ, ANDREW	METLIFE LIFE INS	0.00	280.00	0.00	280.00	0.0	260.40

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JACK MICHALETZ #287</u></b>								
01/04/2017	ESLINGER, JEREMY	PIONEER INDEPENDENCE A	0.00	2.86	0.00	2.86	0.0	2.66
01/17/2017	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	50.00	2.50	12.00	-9.50	0.0	-8.84
01/17/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	-9.77
01/17/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	-9.77
01/17/2017	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.00	1.00	12.00	-11.00	0.0	-10.23
01/17/2017	PROKOP, MATTHEW	TRANSAMERICA AA GROWTH A	588.09	27.93	0.00	27.93	0.0	25.97
01/09/2017	SIEBERG, KYLE	TRANSAMERICA AA GROWTH C	19.94	0.20	0.00	0.20	0.0	0.19
01/09/2017	SIEBERG, KYLE	TRANSAMERICA AA GROWTH C	19.94	0.20	0.00	0.20	0.0	0.19
01/09/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	42.18	0.42	0.00	0.42	0.0	0.39
01/09/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	42.18	0.42	0.00	0.42	0.0	0.39
01/09/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	76.53	0.77	0.00	0.77	0.0	0.72
01/09/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	76.53	0.77	0.00	0.77	0.0	0.72
01/10/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
01/10/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
01/10/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
01/10/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
01/10/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	53.59	0.54	0.00	0.54	0.0	0.50
01/10/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	53.59	0.54	0.00	0.54	0.0	0.50
01/12/2017	TRANS MIN ACT - MN, UNIF	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	0.0	9.30
01/17/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	1.86
01/17/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	1.86
01/17/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.47
01/09/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	659.14	6.59	0.00	6.59	0.0	6.13
01/09/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	219.72	2.20	0.00	2.20	0.0	2.05
01/09/2017	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	587.36	5.87	0.00	5.87	0.0	5.46
01/09/2017	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	19.58	0.20	0.00	0.20	0.0	0.19
01/09/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	64.27	0.64	0.00	0.64	0.0	0.60
01/09/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	64.27	0.64	0.00	0.64	0.0	0.60
01/13/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	350.00	3.50	0.00	3.50	0.0	3.26
01/13/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	350.00	3.50	0.00	3.50	0.0	3.26
01/13/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	350.00	3.50	0.00	3.50	0.0	3.26
01/17/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	100.00	1.00	0.00	1.00	0.0	0.93
01/17/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	200.00	2.00	0.00	2.00	0.0	1.86

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: JACK MICHALETZ #287</u></b>								
01/17/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	100.00	1.00	0.00	1.00	0.0	0.93
		* JACK MICHALETZ SUBTOTAL *	\$668,259.41	\$-4,080.75	\$48.00	\$-4,128.75		\$-3,839.69
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$668,259.41	\$-4,080.75	\$48.00	\$-4,128.75		\$-3,839.69

**BROKER COMMISSION TOTALS**

Broker Transactions	\$290,116.55
Split Transactions	\$-0.47
Override Transactions	\$-3,839.69
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$286,276.39
Year-to-date Gross	\$554,151.10
Year-to-date Earnings	\$517,803.68

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