



Concorde Investment Services, LLC

07/12/2017
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COMMISSION STATEMENT

July 15, 2017

DOUGLAS SNIDER
1622 170th Pl SE

BROKER #: S123
BRANCH: DOUGLAS SNIDER

Bothell, WA 98012

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	HART, JOHN	HARTFORD THE DIRECTOR M PLUS	146,215.35	91.38	0.00	91.38	80.0	73.10
* VARIABLE ANNUITIES SUBTOTAL *			\$146,215.35	\$91.38	\$0.00	\$91.38		\$73.10
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/20/2017	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.82	0.00	2.82	80.0	2.26
06/20/2017	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.47	0.00	15.47	80.0	12.38
06/14/2017	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.76	0.00	1.76	80.0	1.41
06/14/2017	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.76	0.00	3.76	80.0	3.01
06/19/2017	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	8.82	0.00	8.82	80.0	7.06
06/19/2017	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	23.98	0.00	23.98	80.0	19.18
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$56.61	\$0.00	\$56.61		\$45.30
*** BROKER TRANSACTIONS TOTAL ***			\$146,215.35	\$147.99	\$0.00	\$147.99		\$118.40
<u>ADJUSTMENTS</u>								
07/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-3.48
07/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-578.48

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$118.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-578.48
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-286.14
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-746.22
Year-to-date Gross	\$5,817.60
Year-to-date Earnings	\$4,601.30