



Concorde Investment Services, LLC

01/27/2017  
Page No. 1

## COMMISSION STATEMENT

January 31, 2017

FRANK DUNN  
56328 Fireglass Loop  
P.O. Box 3628  
Bend, OR 97701

BROKER #: D116  
BRANCH: FRANK DUNN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
01/18/2017	KRAMER, LISA	HPI REAL ESTATE OPP FUND IV LLC	200,000.00	14,000.00	0.00	14,000.00	90.0	12,600.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$200,000.00	\$14,000.00	\$0.00	\$14,000.00		\$12,600.00
<b><u>PRODUCT CATEGORY: REIT</u></b>								
12/31/2016	WETHINGTON JR, ROBERT	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	263.93	0.00	263.93	90.0	237.54
12/31/2016	REIFMAN FAMILY TRUST	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	201.09	0.00	201.09	90.0	180.98
12/31/2016	WETHINGTON, JUDITH	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	87.98	0.00	87.98	90.0	79.18
		* REIT SUBTOTAL *	\$0.00	\$553.00	\$0.00	\$553.00		\$497.70
		*** BROKER TRANSACTIONS TOTAL ***	\$200,000.00	\$14,553.00	\$0.00	\$14,553.00		\$13,097.70
<b><u>ADJUSTMENTS</u></b>								
01/31/2017		*CONCIERGE SVC: 185002-KRAMER	0.00					-50.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-50.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$13,097.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-50.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$13,047.70
Year-to-date Gross	\$34,353.00
Year-to-date Earnings	\$30,917.70