



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JOHN AMBRUSCO</u></b>								
03/15/2018	***-**-615P - AMBRUSCO, JOHN	FA 529 PORT 2025-CL A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00625334479	BUY 1 Units @20.1100						
03/26/2018	***-**-5627 - AMBRUSCO, SUSAN	VICTORY TRAILS	0.00	1.37	0.00	1.37	0.5	0.01
		BUY 0 Units @0.0000						
		<b>* JOHN AMBRUSCO SUBTOTAL *</b>	<b>\$25.00</b>	<b>\$2.62</b>	<b>\$0.00</b>	<b>\$2.62</b>		<b>\$0.02</b>
<b><u>BROKER: EDWARD FATLA</u></b>								
03/15/2018	***-**-275P - SLUSI, VICTORIA	AMCAP FUND-A	4,284.80	85.70	0.00	85.70	0.5	0.43
	ACCOUNT #: 00087654215	BUY 123 Units @34.7300						
03/16/2018	***-**-275P - SLUSI, VICTORIA	AMCAP FUND-A	60.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: 00087654215	BUY 2 Units @34.7300						
03/16/2018	***-**-590I - SLUSI, PAUL	AMCAP FUND-A	60.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: 00087654256	BUY 2 Units @34.7300						
03/15/2018	***-**-275P - SLUSI, VICTORIA	AMERICAN BALANCED FUND-A	4,284.80	85.70	0.00	85.70	0.5	0.43
	ACCOUNT #: 00087654215	BUY 154 Units @27.8900						
03/16/2018	***-**-275P - SLUSI, VICTORIA	AMERICAN BALANCED FUND-A	60.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: 00087654215	BUY 2 Units @27.8600						
03/16/2018	***-**-590I - SLUSI, PAUL	AMERICAN BALANCED FUND-A	60.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: 00087654256	BUY 2 Units @27.8600						
03/16/2018	***-**-590I - SLUSI, PAUL	AMERICAN FUNDS 2020 TARGET DATE-A	60.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: 00087654256	BUY 5 Units @12.9600						
03/15/2018	***-**-275P - SLUSI, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	4,284.80	85.70	0.00	85.70	0.5	0.43
	ACCOUNT #: 00087654215	BUY 306 Units @13.9800						
03/16/2018	***-**-275P - SLUSI, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	60.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: 00087654215	BUY 4 Units @13.9800						
03/15/2018	***-**-275P - SLUSI, VICTORIA	THE INCOME FUND OF AMERICA-A	4,284.80	85.70	0.00	85.70	0.5	0.43
	ACCOUNT #: 00087654215	BUY 182 Units @23.5800						
03/16/2018	***-**-275P - SLUSI, VICTORIA	THE INCOME FUND OF AMERICA-A	60.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: 00087654215	BUY 3 Units @23.6100						
03/16/2018	***-**-590I - SLUSI, PAUL	THE INCOME FUND OF AMERICA-A	60.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: 00087654256	BUY 3 Units @23.6100						
03/15/2018	***-**-275P - SLUSI, VICTORIA	THE INVESTMENT COMPANY OF AMERICA-A	4,284.80	85.70	0.00	85.70	0.5	0.43
	ACCOUNT #: 00087654215	BUY 101 Units @42.5900						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: EDWARD FATLA (continued)</u></b>								
03/16/2018	***-**-275P - SLUSI, VICTORIA	THE INVESTMENT COMPANY OF AMERICA-A	60.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: 00087654215	BUY 1 Units @42.3900						
03/16/2018	***-**-590I - SLUSI, PAUL	THE INVESTMENT COMPANY OF AMERICA-A	60.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: 00087654256	BUY 1 Units @42.3900						
* EDWARD FATLA SUBTOTAL *			<b>\$22,024.00</b>	<b>\$440.50</b>	<b>\$0.00</b>	<b>\$440.50</b>		<b>\$2.25</b>
<b><u>BROKER: STEVEN JOEL</u></b>								
03/16/2018	***-**-0821 - PIZANO,	AMERICAN FUNDS 2040 TARGET DATE-A	65.43	2.78	0.00	2.78	0.5	0.01
	ACCOUNT #: 04000084453	BUY 4 Units @16.4900						
03/16/2018	***-**-0821 - PIZANO,	AMERICAN FUNDS 2040 TARGET DATE-A	174.48	7.42	0.00	7.42	0.5	0.04
	ACCOUNT #: 04000084453	BUY 11 Units @16.4900						
03/16/2018	***-**-03IN - SINGER, CRAIG	AMERICAN FUNDS 2050 TARGET DATE-A	240.00	10.20	0.00	10.20	0.5	0.05
	ACCOUNT #: 04000083887	BUY 15 Units @16.4400						
03/16/2018	***-**-03IN - SINGER, CRAIG	AMERICAN FUNDS 2050 TARGET DATE-A	800.00	34.00	0.00	34.00	0.5	0.17
	ACCOUNT #: 04000083887	BUY 49 Units @16.4400						
03/16/2018	***-**-2300 - GOMEZ, LAURA	AMERICAN FUNDS 2050 TARGET DATE-A	37.50	1.59	0.00	1.59	0.5	0.01
	ACCOUNT #: 04000084436	BUY 2 Units @16.4400						
03/16/2018	***-**-2300 - GOMEZ, LAURA	AMERICAN FUNDS 2050 TARGET DATE-A	37.50	1.59	0.00	1.59	0.5	0.01
	ACCOUNT #: 04000084436	BUY 2 Units @16.4400						
03/16/2018	***-**-0477 - ELIAS, ADI	AMERICAN FUNDS 2050 TARGET DATE-A	75.00	3.19	0.00	3.19	0.5	0.02
	ACCOUNT #: 04000084445	BUY 5 Units @16.4400						
03/16/2018	***-**-0477 - ELIAS, ADI	AMERICAN FUNDS 2050 TARGET DATE-A	180.00	7.65	0.00	7.65	0.5	0.04
	ACCOUNT #: 04000084445	BUY 11 Units @16.4400						
* STEVEN JOEL SUBTOTAL *			<b>\$1,609.91</b>	<b>\$68.42</b>	<b>\$0.00</b>	<b>\$68.42</b>		<b>\$0.35</b>
<b><u>BROKER: JOHN KELLY</u></b>								
03/12/2018	***-**-8489 - BARNICLE, ALANA	AMERICAN FUNDS 2050 TARGET DATE-A	4,500.00	225.00	0.00	225.00	0.5	1.13
	ACCOUNT #: 00089590415	BUY 269 Units @16.7300						
03/15/2018	***-**-015P - GARCHA,	EUROPACIFIC GROWTH FUND-529A	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 00087584124	BUY 1 Units @60.2400						
03/15/2018	***-**-015P - GARCHA,	EUROPACIFIC GROWTH FUND-529A	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 00087584201	BUY 1 Units @60.2400						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: JOHN KELLY (continued) </u></b>								
03/26/2018	***-**-0726 - DAHL, KEVIN	FEDERATED KAUFMAN SMALL-CAP FUND CL	0.00	1.97	0.00	1.97	0.5	0.01
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
03/26/2018	***-**-7034 - DAHL, ROXANNE	FEDERATED KAUFMAN SMALL-CAP FUND CL	0.00	4.11	0.00	4.11	0.5	0.02
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
03/26/2018	***-**-0726 - DAHL, KEVIN	FRANKLIN INCOME FUND CLASS C	0.00	101.50	0.00	101.50	0.5	0.51
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
03/26/2018	***-**-0726 - DAHL, KEVIN	GLOBAL FUND A	0.00	1.21	0.00	1.21	0.5	0.01
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
03/26/2018	***-**-7034 - DAHL, ROXANNE	GLOBAL FUND A	0.00	2.36	0.00	2.36	0.5	0.01
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
03/26/2018	***-**-83IN - BARNETT, ROBERT	INTERNATIONAL GROWTH FUND A	0.00	3.19	0.00	3.19	0.5	0.02
	ACCOUNT #: LSR010053	BUY 1 Units @0.0000						
03/26/2018	***-**-0726 - DAHL, KEVIN	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	1.14	0.00	1.14	0.5	0.01
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
03/26/2018	***-**-7034 - DAHL, ROXANNE	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	1.41	0.00	1.41	0.5	0.01
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
03/19/2018	***-**-0795 - SWARTZ, SHARI	PACIFIC LIFE DTCC RENEWAL	100.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: VP60737990	BUY 0 Units @0.0000						
03/19/2018	***-**-0304 - LOUGHRIDGE,	PACIFIC LIFE DTCC RENEWAL	1,666.00	24.99	0.00	24.99	0.5	0.12
	ACCOUNT #: VP60638610	BUY 0 Units @0.0000						
03/19/2018	***-**-655I - SWARTZ, MICHAEL	PACIFIC LIFE DTCC RENEWAL	100.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: VP60738000	BUY 0 Units @0.0000						
03/19/2018	***-**-921I - OSBORNE, DARIN	PACIFIC LIFE DTCC RENEWAL	1,546.50	30.93	0.00	30.93	0.5	0.15
	ACCOUNT #: VP60570240	BUY 0 Units @0.0000						
03/20/2018	***-**-03UG - KELLY, ALISON	PACIFIC LIFE DTCC RENEWAL	0.00	92.87	0.00	92.87	0.5	0.46
	ACCOUNT #: VP60330320	BUY 0 Units @0.0000						
03/20/2018	***-**-8258 - IFG, KELLY,J	PACIFIC LIFE DTCC RENEWAL	412.00	8.24	0.00	8.24	0.5	0.04
	ACCOUNT #: VP60088260	BUY 0 Units @0.0000						
03/20/2018	***-**-5757 - DEGROOT, ROBERT	PACIFIC LIFE DTCC RENEWAL	950.00	19.00	0.00	19.00	0.5	0.10
	ACCOUNT #: VP60706860	BUY 0 Units @0.0000						
03/20/2018	***-**-209I - SPARACO, MICHAEL	PACIFIC LIFE DTCC RENEWAL	1,510.67	30.21	0.00	30.21	0.5	0.15
	ACCOUNT #: VP60570220	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: JOHN KELLY (continued)</u></b>								
03/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE BOND FUND OF AMERICA-529A BUY 4 Units @13.0900	50.00	1.50	0.00	1.50	0.5	0.01
03/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE BOND FUND OF AMERICA-529A BUY 4 Units @13.0900	50.00	1.50	0.00	1.50	0.5	0.01
03/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE GROWTH FUND OF AMERICA-529A BUY 1 Units @55.2800	50.00	1.88	0.00	1.88	0.5	0.01
03/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE GROWTH FUND OF AMERICA-529A BUY 1 Units @55.2800	50.00	1.88	0.00	1.88	0.5	0.01
03/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @43.3800	50.00	1.88	0.00	1.88	0.5	0.01
03/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @43.3800	50.00	1.88	0.00	1.88	0.5	0.01
<b>* JOHN KELLY SUBTOTAL *</b>			<b>\$11,185.17</b>	<b>\$564.41</b>	<b>\$0.00</b>	<b>\$564.41</b>		<b>\$2.85</b>
<b><u>BROKER: JESS LITTLE</u></b>								
03/26/2018	***-**-955I - JONES, DAVID ACCOUNT #: LSR012455	LORD ABBETT MULTI ASSET GROWTH A BUY 1 Units @0.0000	0.00	5.58	0.00	5.58	0.5	0.03
03/12/2018	***-**-489P - LANGENDORF, EDIE ACCOUNT #: 00062016311	THE INVESTMENT COMPANY OF AMERICA-A BUY 5 Units @43.1700	200.00	4.00	0.00	4.00	0.5	0.02
<b>* JESS LITTLE SUBTOTAL *</b>			<b>\$200.00</b>	<b>\$9.58</b>	<b>\$0.00</b>	<b>\$9.58</b>		<b>\$0.05</b>
<b><u>BROKER: ANNE PLATSKY</u></b>								
03/12/2018	***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	AMCAP FUND-A BUY 6 Units @34.7600	200.00	2.40	0.00	2.40	0.5	0.01
03/26/2018	***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	CAPITAL GROWTH FUND A BUY 1 Units @0.0000	0.00	13.01	0.00	13.01	0.5	0.07
03/26/2018	***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	CAPITAL GROWTH FUND A BUY 1 Units @0.0000	0.00	13.23	0.00	13.23	0.5	0.07
03/26/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	CAPITAL GROWTH FUND A BUY 1 Units @0.0000	0.00	45.52	0.00	45.52	0.5	0.23
03/26/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	CAPITAL INCOME A BUY 1 Units @0.0000	0.00	18.71	0.00	18.71	0.5	0.09



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ANNE PLATSKY (continued)</u></b>								
03/15/2018	***-**-852I - BRYSON, LENNOX ACCOUNT #: 00066780144	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @55.9600	100.00	5.00	0.00	5.00	0.5	0.03
03/26/2018	***-**-7254 - GREEN, MICHAEL ACCOUNT #: 05163225106	DELAWARE SELECT GROWTH FUND A CLASS BUY 0 Units @0.0000	200.00	4.00	0.00	4.00	0.5	0.02
03/15/2018	***-**-852I - BRYSON, LENNOX ACCOUNT #: 00066780144	EUROPACIFIC GROWTH FUND-A BUY 2 Units @61.7600	100.00	5.00	0.00	5.00	0.5	0.03
03/26/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FRANKLIN DYNATECH FUND CLASS A BUY 1 Units @0.0000	0.00	26.64	0.00	26.64	0.5	0.13
03/26/2018	***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	FRANKLIN FEDERAL TAX FREE INCOME FU BUY 1 Units @0.0000	0.00	2.64	0.00	2.64	0.5	0.01
03/26/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FRANKLIN GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	25.20	0.00	25.20	0.5	0.13
03/26/2018	***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	FRANKLIN HIGH-YIELD TAX-FREE INCOME BUY 1 Units @0.0000	0.00	2.29	0.00	2.29	0.5	0.01
03/26/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	25.10	0.00	25.10	0.5	0.13
03/26/2018	***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	3.51	0.00	3.51	0.5	0.02
03/15/2018	***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	FUNDAMENTAL INVESTORS-A BUY 1 Units @67.9800	100.00	5.00	0.00	5.00	0.5	0.03
03/26/2018	***-**-150I - SPRING, HENRY ACCOUNT #: LSR101109	GOLDMAN SACHS ASSET ALLOC GRWTH STR BUY 1 Units @0.0000	0.00	1.33	0.00	1.33	0.5	0.01
03/26/2018	***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	GOLDMAN SACHS ASSET ALLOC GRWTH STR BUY 1 Units @0.0000	0.00	6.36	0.00	6.36	0.5	0.03
03/26/2018	***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	GOLDMAN SACHS GROWTH AND INCOME CLA BUY 1 Units @0.0000	0.00	2.50	0.00	2.50	0.5	0.01
03/26/2018	***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	GOLDMAN SACHS GROWTH AND INCOME CLA BUY 1 Units @0.0000	0.00	11.05	0.00	11.05	0.5	0.06
03/26/2018	***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	GOLDMAN SACHS HIGH YIELD CLASS A BUY 1 Units @0.0000	0.00	3.18	0.00	3.18	0.5	0.02
03/26/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	INTERNATIONAL BOND A BUY 1 Units @0.0000	0.00	21.29	0.00	21.29	0.5	0.11



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: ANNE PLATSKY (continued) </u></b>								
03/15/2018	***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	NEW PERSPECTIVE FUND-A BUY 2 Units @48.6400	100.00	5.00	0.00	5.00	0.5	0.03
03/26/2018	***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	OPPENHEIMER STRATEGIC INCOME FD CL BUY 1 Units @0.0000	0.00	21.69	0.00	21.69	0.5	0.11
03/26/2018	***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	STRUCTURED SMALL CAP VALUE FUND A BUY 1 Units @0.0000	0.00	8.12	0.00	8.12	0.5	0.04
03/26/2018	***-**-150I - SPRING, HENRY ACCOUNT #: LSR101109	STRUCTURED SMALL CAP VALUE FUND A BUY 1 Units @0.0000	0.00	1.12	0.00	1.12	0.5	0.01
03/26/2018	***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	STRUCTURED U.S. EQUITY CLASS A BUY 1 Units @0.0000	0.00	8.35	0.00	8.35	0.5	0.04
03/26/2018	***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	STRUCTURED U.S. EQUITY CLASS A BUY 1 Units @0.0000	0.00	11.13	0.00	11.13	0.5	0.06
03/15/2018	***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	THE GROWTH FUND OF AMERICA-A BUY 2 Units @56.6000	100.00	5.00	0.00	5.00	0.5	0.03
03/12/2018	***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	THE INVESTMENT COMPANY OF AMERICA-A BUY 9 Units @42.7300	400.00	4.80	0.00	4.80	0.5	0.02
<b> * ANNE PLATSKY SUBTOTAL *</b>			<b>\$1,300.00</b>	<b>\$308.17</b>	<b>\$0.00</b>	<b>\$308.17</b>		<b>\$1.59</b>
<b><u> BROKER: JEFFRY PROUL </u></b>								
03/13/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN FUNDS 2055 TARGET DATE-A BUY 10 Units @20.2400	200.00	5.50	0.00	5.50	0.5	0.03
03/13/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN FUNDS 2055 TARGET DATE-A BUY 2 Units @20.2400	43.20	1.19	0.00	1.19	0.5	0.01
03/16/2018	***-**-4496 - HARRY, KIRK ACCOUNT #: 00058823513	EUROPACIFIC GROWTH FUND-A BUY 8 Units @58.1100	479.17	4.79	0.00	4.79	0.5	0.02
03/16/2018	***-**-3875 - HARRY, JOHN ACCOUNT #: 00058823514	EUROPACIFIC GROWTH FUND-A BUY 10 Units @58.1100	563.35	5.63	0.00	5.63	0.5	0.03
03/16/2018	***-**-9346 - KEY-BELL INC ACCOUNT #: 00058823515	EUROPACIFIC GROWTH FUND-A BUY 3 Units @58.1100	150.00	1.50	0.00	1.50	0.5	0.01
03/16/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058823519	EUROPACIFIC GROWTH FUND-A BUY 9 Units @58.1100	521.26	5.21	0.00	5.21	0.5	0.03
03/16/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058836715	EUROPACIFIC GROWTH FUND-A BUY 11 Units @58.1100	647.21	6.47	0.00	6.47	0.5	0.03



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: JEFFRY PROUL (continued)</u></b>								
03/15/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	26.40	1.32	0.00	1.32	0.5	0.01
	ACCOUNT #: 03900219123	BUY 2 Units @16.2500						
03/15/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	26.40	1.32	0.00	1.32	0.5	0.01
	ACCOUNT #: 03900219123	BUY 2 Units @16.2500						
03/15/2018	***-**-46IN - CALLES, DALE	GOLDMAN SACHS GROWTH AND INCOME CLA	100.00	5.00	0.00	5.00	0.5	0.03
	ACCOUNT #: 00519094815	BUY 3 Units @39.3500						
03/13/2018	***-**-645P - CALLES, LORIANN	THE GROWTH FUND OF AMERICA-A	90.00	2.48	0.00	2.48	0.5	0.01
	ACCOUNT #: 00081807366	BUY 2 Units @55.4600						
03/13/2018	***-**-645P - CALLES, LORIANN	THE GROWTH FUND OF AMERICA-A	150.00	4.13	0.00	4.13	0.5	0.02
	ACCOUNT #: 00081807366	BUY 3 Units @55.4600						
03/16/2018	***-**-4496 - HARRY, KIRK	THE GROWTH FUND OF AMERICA-A	479.17	4.79	0.00	4.79	0.5	0.02
	ACCOUNT #: 00058823513	BUY 9 Units @53.2600						
03/16/2018	***-**-3875 - HARRY, JOHN	THE GROWTH FUND OF AMERICA-A	563.35	5.63	0.00	5.63	0.5	0.03
	ACCOUNT #: 00058823514	BUY 11 Units @53.2600						
03/16/2018	***-**-9346 - KEY-BELL INC	THE GROWTH FUND OF AMERICA-A	150.00	1.50	0.00	1.50	0.5	0.01
	ACCOUNT #: 00058823515	BUY 3 Units @53.2600						
03/16/2018	***-**-2069 - CORPORATION,	THE GROWTH FUND OF AMERICA-A	521.26	5.21	0.00	5.21	0.5	0.03
	ACCOUNT #: 00058823519	BUY 10 Units @53.2600						
03/16/2018	***-**-2069 - CORPORATION,	THE GROWTH FUND OF AMERICA-A	647.22	6.47	0.00	6.47	0.5	0.03
	ACCOUNT #: 00058836715	BUY 12 Units @53.2600						
<b>* JEFFRY PROUL SUBTOTAL *</b>			<b>\$5,357.99</b>	<b>\$68.14</b>	<b>\$0.00</b>	<b>\$68.14</b>		<b>\$0.36</b>
<b><u>BROKER: RANDOLPH SANDUSKY</u></b>								
03/23/2018	***-**-85IN - GREENWELL,	JACKSON NATIONAL PERSPECTIVE II	1,000.00	52.50	0.00	52.50	0.5	0.26
	ACCOUNT #: 1013608870	BUY 0 Units @0.0000						
<b>* RANDOLPH SANDUSKY SUBTOTAL *</b>			<b>\$1,000.00</b>	<b>\$52.50</b>	<b>\$0.00</b>	<b>\$52.50</b>		<b>\$0.26</b>
<b><u>BROKER: STEVEN SCHOENBRUN</u></b>								
03/15/2018	***-**-61IN - HAMANO, CLAYTON	AMERICAN BALANCED FUND-A	143.00	2.86	0.00	2.86	0.5	0.01
	ACCOUNT #: 00062664398	BUY 5 Units @27.8900						
03/15/2018	***-**-21IN - ONDRULA, DAVID	AMERICAN BALANCED FUND-A	1,200.00	24.00	0.00	24.00	0.5	0.12
	ACCOUNT #: 00084567285	BUY 43 Units @27.8900						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: STEVEN SCHOENBRUN (continued)</u> </b>								
03/15/2018	***-**-61IN - HAMANO, CLAYTON	CAPITAL INCOME BUILDER-A	143.00	2.86	0.00	2.86	0.5	0.01
	ACCOUNT #: 00062664398	BUY 2 Units @63.1100						
03/15/2018	***-**-21IN - ONDRULA, DAVID	CAPITAL INCOME BUILDER-A	1,200.00	24.00	0.00	24.00	0.5	0.12
	ACCOUNT #: 00084567285	BUY 19 Units @63.1100						
03/15/2018	***-**-61IN - HAMANO, CLAYTON	CAPITAL WORLD GROWTH AND INCOME FUN	143.00	2.86	0.00	2.86	0.5	0.01
	ACCOUNT #: 00062664398	BUY 3 Units @54.0900						
03/15/2018	***-**-21IN - ONDRULA, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	1,200.00	24.00	0.00	24.00	0.5	0.12
	ACCOUNT #: 00084567285	BUY 22 Units @54.0900						
03/15/2018	***-**-61IN - HAMANO, CLAYTON	FUNDAMENTAL INVESTORS-A	143.00	2.86	0.00	2.86	0.5	0.01
	ACCOUNT #: 00062664398	BUY 2 Units @65.7100						
03/15/2018	***-**-21IN - ONDRULA, DAVID	FUNDAMENTAL INVESTORS-A	1,200.00	24.00	0.00	24.00	0.5	0.12
	ACCOUNT #: 00084567285	BUY 18 Units @65.7100						
03/15/2018	***-**-61IN - HAMANO, CLAYTON	INTERNATIONAL GROWTH AND INCOME-A	143.00	2.86	0.00	2.86	0.5	0.01
	ACCOUNT #: 00062664398	BUY 4 Units @36.7600						
03/15/2018	***-**-61IN - HAMANO, CLAYTON	NEW WORLD FUND-A	143.00	2.86	0.00	2.86	0.5	0.01
	ACCOUNT #: 00062664398	BUY 2 Units @71.3400						
03/15/2018	***-**-61IN - HAMANO, CLAYTON	SMALLCAP WORLD FUND-A	143.00	2.86	0.00	2.86	0.5	0.01
	ACCOUNT #: 00062664398	BUY 2 Units @59.4700						
03/15/2018	***-**-21IN - ONDRULA, DAVID	U.S. GOVERNMENT SECURITIES FUND CLA	1,200.00	24.00	0.00	24.00	0.5	0.12
	ACCOUNT #: 00084567285	BUY 87 Units @13.7400						
<b> * STEVEN SCHOENBRUN SUBTOTAL * </b>			<b> \$7,001.00 </b>	<b> \$140.02 </b>	<b> \$0.00 </b>	<b> \$140.02 </b>		<b> \$0.67 </b>
<b> <u>BROKER: RICHARD SOWERS</u> </b>								
03/26/2018	***-**-559I - HUBBARD, JAMES	SAMMONS MF TRAILS	0.00	15.56	0.00	15.56	0.5	0.08
	ACCOUNT #: 7200005537	BUY 0 Units @0.0000						
03/26/2018	***-**-854I - SQUIRE, CAROLYN	SAMMONS MF TRAILS	0.00	3.72	0.00	3.72	0.5	0.02
	ACCOUNT #: 7200007202	BUY 0 Units @0.0000						
03/26/2018	***-**-7488 - SQUIRE, BARRY	SAMMONS MF TRAILS	0.00	7.18	0.00	7.18	0.5	0.04
	ACCOUNT #: 7200007204	BUY 0 Units @0.0000						
03/26/2018	***-**-199I - MITCHEN, RICHARD	SAMMONS MF TRAILS	0.00	12.78	0.00	12.78	0.5	0.06
	ACCOUNT #: 7200011695	BUY 0 Units @0.0000						
03/26/2018	***-**-224I - WILSON, FRANCIS	SAMMONS MF TRAILS	0.00	5.52	0.00	5.52	0.5	0.03
	ACCOUNT #: 7200036196	BUY 0 Units @0.0000						





# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: RICHARD SOWERS (continued)</u> </b>								
03/26/2018	***-**-52IN - LORD, GEORGE	SAMMONS MF TRAILS	0.00	26.49	0.00	26.49	0.5	0.13
	ACCOUNT #: 7200036994	BUY 0 Units @0.0000						
03/26/2018	***-**-32RI - MITCHEN, DORIS	SAMMONS MF TRAILS	0.00	12.59	0.00	12.59	0.5	0.06
	ACCOUNT #: 7200011766	BUY 0 Units @0.0000						
* RICHARD SOWERS SUBTOTAL *			\$0.00	\$83.84	\$0.00	\$83.84		\$0.42
<b> <u>BROKER: TODD JESSOP</u> </b>								
03/12/2018	***-**-6026 - GAGNIER, TRACY	ALLIANCEBERNSTEIN GLOBAL TECHNOLOGY	50.00	1.60	0.00	1.60	0.5	0.01
	ACCOUNT #: 04715343255	BUY 0 Units @100.5600						
03/12/2018	***-**-6026 - GAGNIER, TRACY	ALLIANCEBERNSTEIN GROWTH B	50.00	1.60	0.00	1.60	0.5	0.01
	ACCOUNT #: 04715343255	BUY 1 Units @42.2400						
03/15/2018	***-**-5910 - MCCANN,	AMERICAN BALANCED FUND-529A	25.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: 00085464503	BUY 1 Units @28.5800						
03/15/2018	***-**-5910 - MCCANN,	AMERICAN MUTUAL FUND-529A	25.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: 00085464503	BUY 1 Units @43.0400						
03/26/2018	***-**-360I - OAKEY, SUSANNE	CALAMOS GROWTH FUND CLASS A	0.00	5.29	0.00	5.29	0.5	0.03
	ACCOUNT #: LSR003204	BUY 1 Units @0.0000						
03/26/2018	***-**-22IO - RICHARDSON, FBO	CALAMOS GROWTH FUND CLASS A	0.00	5.24	0.00	5.24	0.5	0.03
	ACCOUNT #: LSR003409	BUY 1 Units @0.0000						
03/26/2018	***-**-68IN - NOLL, CHERYL	CALAMOS GROWTH FUND CLASS A	0.00	3.81	0.00	3.81	0.5	0.02
	ACCOUNT #: LSR003450	BUY 1 Units @0.0000						
03/26/2018	***-**-982I - CONSTANTINO, FBO	CALAMOS GROWTH FUND CLASS A	0.00	3.34	0.00	3.34	0.5	0.02
	ACCOUNT #: LSR003735	BUY 1 Units @0.0000						
03/15/2018	***-**-5910 - MCCANN,	CAPITAL INCOME BUILDER-529A	25.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: 00085464503	BUY 0 Units @64.7500						
03/13/2018	***-**-657I - JESSOP, SEAN	CAPITAL INCOME BUILDER-A	200.00	8.50	0.00	8.50	0.5	0.04
	ACCOUNT #: 00084419361	BUY 3 Units @65.5700						
03/15/2018	***-**-5910 - MCCANN,	CAPITAL WORLD GROWTH AND INCOME-529	25.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: 00085464503	BUY 0 Units @55.3400						
03/26/2018	***-**-926I - SINCLAIR, LINDA	FIRST EAGLE GOLD FUND CLASS A	0.00	1.70	0.00	1.70	0.5	0.01
	ACCOUNT #: LSR010408	BUY 1 Units @0.0000						
03/26/2018	***-**-3757 - TILLOTSON, CHAD	FRANKLIN COREFOLIO ALLOCATION CL A	0.00	3.59	0.00	3.59	0.5	0.02
	ACCOUNT #: LSR105260	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: TODD JESSOP (continued) </u></b>								
03/26/2018	***-**-090I - CLARK, FBO	FRANKLIN INCOME FUND CLASS A	0.00	1.93	0.00	1.93	0.5	0.01
	ACCOUNT #: LSR000310	BUY 1 Units @0.0000						
03/26/2018	***-**-678I - STAFFORD, SHARON	FRANKLIN INCOME FUND CLASS A	0.00	1.26	0.00	1.26	0.5	0.01
	ACCOUNT #: LSR000574	BUY 1 Units @0.0000						
03/26/2018	***-**-22IO - RICHARDSON, FBO	FRANKLIN INCOME FUND CLASS A	0.00	2.22	0.00	2.22	0.5	0.01
	ACCOUNT #: LSR003409	BUY 1 Units @0.0000						
03/26/2018	***-**-98IN - MODICA, MARLA	FRANKLIN INCOME FUND CLASS C	0.00	3.93	0.00	3.93	0.5	0.02
	ACCOUNT #: LSR000752	BUY 1 Units @0.0000						
03/26/2018	***-**-71IN - COONER, VERA	FRANKLIN INCOME FUND CLASS C	0.00	7.43	0.00	7.43	0.5	0.04
	ACCOUNT #: LSR003441	BUY 1 Units @0.0000						
03/26/2018	***-**-640I - GRAY, CAROLYN	FRK TEMP FOUNDING FUNDS ALLOC FND C	0.00	3.78	0.00	3.78	0.5	0.02
	ACCOUNT #: LSR003654	BUY 1 Units @0.0000						
03/26/2018	***-**-89BI - SENICK, ROBIN	FRK TEMP FOUNDING FUNDS ALLOC FND C	0.00	3.28	0.00	3.28	0.5	0.02
	ACCOUNT #: LSR006793	BUY 1 Units @0.0000						
03/26/2018	***-**-54RI - WRISLEY, PAUL	GLOBAL FUND A	0.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: LSR108871	BUY 1 Units @0.0000						
03/26/2018	***-**-57IR - WRISLEY, CAROLYN	GLOBAL FUND A	0.00	1.35	0.00	1.35	0.5	0.01
	ACCOUNT #: LSR108880	BUY 1 Units @0.0000						
03/26/2018	***-**-332I - FISHER, MICHAEL	HARTFORD CHECKS AND BALANCED FUND C	0.00	3.59	0.00	3.59	0.5	0.02
	ACCOUNT #: LSR000167	BUY 1 Units @0.0000						
03/26/2018	***-**-177J - ROGER ROGERS,	HARTFORD CHECKS AND BALANCED FUND C	0.00	4.79	0.00	4.79	0.5	0.02
	ACCOUNT #: LSR001317	BUY 1 Units @0.0000						
03/26/2018	***-**-153I - RICHARDSON, LISA	HARTFORD CHECKS AND BALANCED FUND C	0.00	3.39	0.00	3.39	0.5	0.02
	ACCOUNT #: LSR003387	BUY 1 Units @0.0000						
03/26/2018	***-**-22IO - RICHARDSON, FBO	HARTFORD CHECKS AND BALANCED FUND C	0.00	7.10	0.00	7.10	0.5	0.04
	ACCOUNT #: LSR003409	BUY 1 Units @0.0000						
03/26/2018	***-**-71IN - COONER, VERA	HARTFORD CHECKS AND BALANCED FUND C	0.00	5.62	0.00	5.62	0.5	0.03
	ACCOUNT #: LSR003441	BUY 1 Units @0.0000						
03/26/2018	***-**-640I - GRAY, CAROLYN	HARTFORD CHECKS AND BALANCED FUND C	0.00	1.18	0.00	1.18	0.5	0.01
	ACCOUNT #: LSR003654	BUY 1 Units @0.0000						
03/26/2018	***-**-090I - CLARK, FBO	HARTFORD GLOBAL ALL ASSET FD CL A	0.00	2.69	0.00	2.69	0.5	0.01
	ACCOUNT #: LSR000310	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: TODD JESSOP (continued)</u></b>								
03/26/2018	***-**-0517 - RUSSELL, EDWARD	INTERNATIONAL BOND A	0.00	2.54	0.00	2.54	0.5	0.01
	ACCOUNT #: LSR105333	BUY 1 Units @0.0000						
03/26/2018	***-**-3757 - TILLOTSON, CHAD	LORD ABBETT AFFILIATED FUND CLASS A	0.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: LSR105260	BUY 1 Units @0.0000						
03/26/2018	***-**-0610 - EVANS, FBO	LORD ABBETT ALPHA STRATEGY CL A	0.00	2.41	0.00	2.41	0.5	0.01
	ACCOUNT #: LSR010410	BUY 1 Units @0.0000						
03/26/2018	***-**-7751 - PRENDERGAST,	LORD ABBETT FLOATING RATE FD CL C	0.00	27.79	0.00	27.79	0.5	0.14
	ACCOUNT #: LSR010082	BUY 1 Units @0.0000						
03/05/2018	***-**-175P - BISHOP, MASON	MAIN STREET FUND A	75.00	2.85	0.00	2.85	0.5	0.01
	ACCOUNT #: 07007921710	BUY 0 Units @0.0000						
03/26/2018	***-**-451N - RYAN, JOHN	MAINSTAY MAP FUND A	0.00	2.78	0.00	2.78	0.5	0.01
	ACCOUNT #: LSR000582	BUY 1 Units @0.0000						
03/26/2018	***-**-6731 - RICHARDSON, FBO	MAINSTAY MAP FUND A	0.00	1.87	0.00	1.87	0.5	0.01
	ACCOUNT #: LSR000639	BUY 1 Units @0.0000						
03/26/2018	***-**-090J - CLARK, WILLIAM	MAINSTAY MAP FUND A	0.00	1.69	0.00	1.69	0.5	0.01
	ACCOUNT #: LSR000914	BUY 1 Units @0.0000						
03/26/2018	***-**-028J - CLARK, MARY	MAINSTAY MAP FUND A	0.00	2.90	0.00	2.90	0.5	0.01
	ACCOUNT #: LSR000922	BUY 1 Units @0.0000						
03/26/2018	***-**-177J - ROGER ROGERS,	MAINSTAY MAP FUND A	0.00	1.49	0.00	1.49	0.5	0.01
	ACCOUNT #: LSR001317	BUY 1 Units @0.0000						
03/26/2018	***-**-601N - OAKEY, SUSANNE	MAINSTAY MAP FUND A	0.00	1.34	0.00	1.34	0.5	0.01
	ACCOUNT #: LSR003158	BUY 1 Units @0.0000						
03/26/2018	***-**-360I - OAKEY, SUSANNE	MAINSTAY MAP FUND A	0.00	4.56	0.00	4.56	0.5	0.02
	ACCOUNT #: LSR003204	BUY 1 Units @0.0000						
03/26/2018	***-**-1531 - RICHARDSON, LISA	MID CAP VALUE FUND A	0.00	1.66	0.00	1.66	0.5	0.01
	ACCOUNT #: LSR003387	BUY 1 Units @0.0000						
03/26/2018	***-**-549I - REIMANN, FBO	MUTUAL BEACON FUND - CLASS C	0.00	4.72	0.00	4.72	0.5	0.02
	ACCOUNT #: LSR001570	BUY 1 Units @0.0000						
03/26/2018	***-**-7485 - PFENDLER,	MUTUAL SERIES SHARES CLASS A	0.00	1.53	0.00	1.53	0.5	0.01
	ACCOUNT #: LSR102555	BUY 1 Units @0.0000						
03/26/2018	***-**-441R - HALLAHAN,	MUTUAL SHRS FND - CL C	0.00	7.29	0.00	7.29	0.5	0.04
	ACCOUNT #: LSR010360	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: TODD JESSOP (continued) </u></b>								
03/26/2018	***-**-22IO - RICHARDSON, FBO	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	2.82	0.00	2.82	0.5	0.01
	ACCOUNT #: LSR003409	BUY 1 Units @0.0000						
03/26/2018	***-**-47IB - WILDE, NANCY	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	2.11	0.00	2.11	0.5	0.01
	ACCOUNT #: LSR009504	BUY 1 Units @0.0000						
03/26/2018	***-**-35IN - WICK, MARTHA	OPPENHEIMER INTL BOND CLASS B	0.00	2.79	0.00	2.79	0.5	0.01
	ACCOUNT #: LSR009091	BUY 1 Units @0.0000						
03/05/2018	***-**-917I - BISHOP, JULIA	OPPENHEIMER STRATEGIC INCOME FD CL	75.00	2.40	0.00	2.40	0.5	0.01
	ACCOUNT #: 02309378545	BUY 0 Units @0.0000						
03/26/2018	***-**-96I2 - PTAK, ROSETTE	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	3.27	0.00	3.27	0.5	0.02
	ACCOUNT #: LSR006050	BUY 1 Units @0.0000						
03/26/2018	***-**-0874 - RUSSELL, DOROTHY	PIMCO HIGH YIELD CLASS A	0.00	1.84	0.00	1.84	0.5	0.01
	ACCOUNT #: LSR105341	BUY 1 Units @0.0000						
03/26/2018	***-**-775I - PRENDERGAST,	PIMCO INCOME FUND CL C	0.00	20.73	0.00	20.73	0.5	0.10
	ACCOUNT #: LSR010082	BUY 1 Units @0.0000						
03/26/2018	***-**-775I - PRENDERGAST,	PIMCO INCOME FUND CL C	0.00	7.97	0.00	7.97	0.5	0.04
	ACCOUNT #: LSR010082	BUY 1 Units @0.0000						
03/26/2018	***-**-47IR - REDDING, PHYLLIS	RISING DIVIDENDS FUND A	0.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: LSR006068	BUY 1 Units @0.0000						
03/26/2018	***-**-68FB - HIGLEY, ROGER	RISING DIVIDENDS FUND A	0.00	4.13	0.00	4.13	0.5	0.02
	ACCOUNT #: LSR105597	BUY 1 Units @0.0000						
03/26/2018	***-**-96I2 - PTAK, ROSETTE	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	2.91	0.00	2.91	0.5	0.01
	ACCOUNT #: LSR006050	BUY 1 Units @0.0000						
03/26/2018	***-**-59IR - HALLAHAN, ELLEN	TEMP FRGN FND - CL A	0.00	2.48	0.00	2.48	0.5	0.01
	ACCOUNT #: LSR010357	BUY 1 Units @0.0000						
03/26/2018	***-**-7485 - PFENDLER,	TEMPLETON GROWTH FUND CLASS A	0.00	1.15	0.00	1.15	0.5	0.01
	ACCOUNT #: LSR102555	BUY 1 Units @0.0000						
03/26/2018	***-**-253M - SMOUSE, ROSE	VICTORY TRAILS	0.00	5.60	0.00	5.60	0.5	0.03
		BUY 0 Units @0.0000						
03/26/2018	***-**-22IO - RICHARDSON, FBO	VOYA MULTI MANAGER INTL SMALL CAP A	0.00	2.07	0.00	2.07	0.5	0.01
	ACCOUNT #: LSR003409	BUY 1 Units @0.0000						
<b> * TODD JESSOP SUBTOTAL * </b>			<b>\$550.00</b>	<b>\$228.34</b>	<b>\$0.00</b>	<b>\$228.34</b>		<b>\$1.19</b>



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: BERT GOLDBERG</u></b>								
03/12/2018	***-**-515P - BAUMANN, ROBERT	AMCAP FUND-A	156.00	4.29	0.00	4.29	0.5	0.02
	ACCOUNT #: 00063922931	BUY 4 Units @35.4800						
03/12/2018	***-**-195P - BAUMANN, TERESA	AMCAP FUND-A	168.16	4.62	0.00	4.62	0.5	0.02
	ACCOUNT #: 00063922933	BUY 5 Units @35.4800						
03/13/2018	***-**-515P - BAUMANN, ROBERT	AMCAP FUND-A	130.00	3.58	0.00	3.58	0.5	0.02
	ACCOUNT #: 00063922931	BUY 4 Units @35.2700						
03/13/2018	***-**-195P - BAUMANN, TERESA	AMCAP FUND-A	168.16	4.62	0.00	4.62	0.5	0.02
	ACCOUNT #: 00063922933	BUY 5 Units @35.2700						
03/12/2018	***-**-515P - BAUMANN, ROBERT	AMERICAN MUTUAL FUND-A	156.00	4.29	0.00	4.29	0.5	0.02
	ACCOUNT #: 00063922931	BUY 4 Units @43.0100						
03/12/2018	***-**-195P - BAUMANN, TERESA	AMERICAN MUTUAL FUND-A	168.16	4.62	0.00	4.62	0.5	0.02
	ACCOUNT #: 00063922933	BUY 4 Units @43.0100						
03/13/2018	***-**-515P - BAUMANN, ROBERT	AMERICAN MUTUAL FUND-A	130.00	3.58	0.00	3.58	0.5	0.02
	ACCOUNT #: 00063922931	BUY 3 Units @42.8500						
03/13/2018	***-**-195P - BAUMANN, TERESA	AMERICAN MUTUAL FUND-A	168.16	4.62	0.00	4.62	0.5	0.02
	ACCOUNT #: 00063922933	BUY 4 Units @42.8500						
03/12/2018	***-**-515P - BAUMANN, ROBERT	CAPITAL INCOME BUILDER-A	144.00	3.96	0.00	3.96	0.5	0.02
	ACCOUNT #: 00063922931	BUY 2 Units @64.7900						
03/13/2018	***-**-515P - BAUMANN, ROBERT	CAPITAL INCOME BUILDER-A	120.00	3.30	0.00	3.30	0.5	0.02
	ACCOUNT #: 00063922931	BUY 2 Units @64.5500						
03/26/2018	***-**-184I - SPORRER, SHIRLEY	FIRST EAGLE GOLD FUND CLASS A	0.00	1.36	0.00	1.36	0.5	0.01
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
03/26/2018	***-**-00IR - SPORRER, JERALD	FIRST EAGLE GOLD FUND CLASS A	0.00	1.58	0.00	1.58	0.5	0.01
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
03/26/2018	***-**-0724 - STROMMESEN,	FRANKLIN INCOME FUND CLASS A	0.00	4.07	0.00	4.07	0.5	0.02
	ACCOUNT #: LSR105090	BUY 1 Units @0.0000						
03/26/2018	***-**-1788 - STROMMESEN,	FRANKLIN INCOME FUND CLASS A	0.00	2.63	0.00	2.63	0.5	0.01
	ACCOUNT #: LSR105104	BUY 1 Units @0.0000						
03/26/2018	***-**-9761 - MCCARTHY, NANCY	FRANKLIN INCOME FUND CLASS A	0.00	2.34	0.00	2.34	0.5	0.01
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
03/26/2018	***-**-568J - MARSHALL, BRUCE	FRANKLIN INCOME FUND CLASS A	0.00	6.50	0.00	6.50	0.5	0.03
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: BERT GOLDBERG (continued)</u></b>								
03/26/2018	***-**-5712 - RAMSEY, GUSSIE	FRANKLIN INCOME FUND CLASS A	0.00	12.14	0.00	12.14	0.5	0.06
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
03/26/2018	***-**-10TR - SAUDER TRUST	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	76.85	0.00	76.85	0.5	0.38
	ACCOUNT #: LSR010138	BUY 1 Units @0.0000						
03/26/2018	***-**-92IO - SAUDER	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	40.75	0.00	40.75	0.5	0.20
	ACCOUNT #: LSR105538	BUY 1 Units @0.0000						
03/12/2018	***-**-515P - BAUMANN, ROBERT	FUNDAMENTAL INVESTORS-A	144.00	3.96	0.00	3.96	0.5	0.02
	ACCOUNT #: 00063922931	BUY 2 Units @67.2700						
03/12/2018	***-**-195P - BAUMANN, TERESA	FUNDAMENTAL INVESTORS-A	155.22	4.27	0.00	4.27	0.5	0.02
	ACCOUNT #: 00063922933	BUY 2 Units @67.2700						
03/13/2018	***-**-515P - BAUMANN, ROBERT	FUNDAMENTAL INVESTORS-A	120.00	3.30	0.00	3.30	0.5	0.02
	ACCOUNT #: 00063922931	BUY 2 Units @66.7600						
03/13/2018	***-**-195P - BAUMANN, TERESA	FUNDAMENTAL INVESTORS-A	155.22	4.27	0.00	4.27	0.5	0.02
	ACCOUNT #: 00063922933	BUY 2 Units @66.7600						
03/26/2018	***-**-184I - SPORRER, SHIRLEY	NATIONWIDE INVESTOR DESTIN CONSERV	0.00	3.11	0.00	3.11	0.5	0.02
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
03/12/2018	***-**-515P - BAUMANN, ROBERT	THE GROWTH FUND OF AMERICA-A	144.00	3.96	0.00	3.96	0.5	0.02
	ACCOUNT #: 00063922931	BUY 3 Units @55.8800						
03/12/2018	***-**-195P - BAUMANN, TERESA	THE GROWTH FUND OF AMERICA-A	155.22	4.27	0.00	4.27	0.5	0.02
	ACCOUNT #: 00063922933	BUY 3 Units @55.8800						
03/13/2018	***-**-515P - BAUMANN, ROBERT	THE GROWTH FUND OF AMERICA-A	120.00	3.30	0.00	3.30	0.5	0.02
	ACCOUNT #: 00063922931	BUY 2 Units @55.4600						
03/13/2018	***-**-195P - BAUMANN, TERESA	THE GROWTH FUND OF AMERICA-A	155.22	4.27	0.00	4.27	0.5	0.02
	ACCOUNT #: 00063922933	BUY 3 Units @55.4600						
03/12/2018	***-**-195P - BAUMANN, TERESA	THE INCOME FUND OF AMERICA-A	155.22	4.27	0.00	4.27	0.5	0.02
	ACCOUNT #: 00063922933	BUY 6 Units @24.2100						
03/13/2018	***-**-195P - BAUMANN, TERESA	THE INCOME FUND OF AMERICA-A	155.22	4.27	0.00	4.27	0.5	0.02
	ACCOUNT #: 00063922933	BUY 6 Units @24.1200						
03/12/2018	***-**-515P - BAUMANN, ROBERT	THE INVESTMENT COMPANY OF AMERICA-A	156.00	4.29	0.00	4.29	0.5	0.02
	ACCOUNT #: 00063922931	BUY 4 Units @43.6200						
03/12/2018	***-**-195P - BAUMANN, TERESA	THE INVESTMENT COMPANY OF AMERICA-A	168.14	4.62	0.00	4.62	0.5	0.02
	ACCOUNT #: 00063922933	BUY 4 Units @43.6200						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: BERT GOLDBERG (continued)</u></b>								
03/13/2018	***-**-515P - BAUMANN, ROBERT	THE INVESTMENT COMPANY OF AMERICA-A	130.00	3.58	0.00	3.58	0.5	0.02
	ACCOUNT #: 00063922931	BUY 3 Units @43.4000						
03/13/2018	***-**-195P - BAUMANN, TERESA	THE INVESTMENT COMPANY OF AMERICA-A	168.14	4.62	0.00	4.62	0.5	0.02
	ACCOUNT #: 00063922933	BUY 4 Units @43.4000						
03/12/2018	***-**-515P - BAUMANN, ROBERT	THE NEW ECONOMY FUND-A	144.00	3.96	0.00	3.96	0.5	0.02
	ACCOUNT #: 00063922931	BUY 3 Units @51.6200						
03/12/2018	***-**-195P - BAUMANN, TERESA	THE NEW ECONOMY FUND-A	155.22	4.27	0.00	4.27	0.5	0.02
	ACCOUNT #: 00063922933	BUY 3 Units @51.6200						
03/13/2018	***-**-515P - BAUMANN, ROBERT	THE NEW ECONOMY FUND-A	120.00	3.30	0.00	3.30	0.5	0.02
	ACCOUNT #: 00063922931	BUY 2 Units @51.2500						
03/13/2018	***-**-195P - BAUMANN, TERESA	THE NEW ECONOMY FUND-A	155.22	4.27	0.00	4.27	0.5	0.02
	ACCOUNT #: 00063922933	BUY 3 Units @51.2500						
03/12/2018	***-**-515P - BAUMANN, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	156.00	4.29	0.00	4.29	0.5	0.02
	ACCOUNT #: 00063922931	BUY 3 Units @48.5200						
03/12/2018	***-**-195P - BAUMANN, TERESA	WASHINGTON MUTUAL INVESTORS FUND-A	168.16	4.62	0.00	4.62	0.5	0.02
	ACCOUNT #: 00063922933	BUY 3 Units @48.5200						
03/13/2018	***-**-515P - BAUMANN, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	130.00	3.58	0.00	3.58	0.5	0.02
	ACCOUNT #: 00063922931	BUY 3 Units @48.2400						
03/13/2018	***-**-195P - BAUMANN, TERESA	WASHINGTON MUTUAL INVESTORS FUND-A	168.16	4.62	0.00	4.62	0.5	0.02
	ACCOUNT #: 00063922933	BUY 3 Units @48.2400						
<b>* BERT GOLDBERG SUBTOTAL *</b>			<b>\$4,787.00</b>	<b>\$282.97</b>	<b>\$0.00</b>	<b>\$282.97</b>		<b>\$1.39</b>
<b><u>BROKER: LINDA BLACK</u></b>								
03/26/2018	***-**-231S - CANTATORE,	1919 FINANCIAL NFS	0.00	1.09	0.00	1.09	0.5	0.01
	ACCOUNT #: LSR006823	BUY 1 Units @0.0000						
03/15/2018	***-**-285P - SCHUSTER, SUSAN	AMERICAN FUNDS 02630R732	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 00085847526	BUY 3 Units @19.8500						
03/15/2018	***-**-285P - SCHUSTER, SUSAN	AMERICAN FUNDS 02630R732	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 00085847539	BUY 3 Units @19.8500						
03/26/2018	***-**-076I - CROWE, DEANNA	EQUITY INCOME FUND, INC. A	0.00	1.18	0.00	1.18	0.5	0.01
	ACCOUNT #: LSR007811	BUY 1 Units @0.0000						
03/26/2018	***-**-717X - VIRTIS, PATRICIA	FRANKLIN INCOME FUND CLASS A	0.00	1.15	0.00	1.15	0.5	0.01
	ACCOUNT #: LSR010310	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: LINDA BLACK (continued)</u></b>								
03/26/2018	***-**-829X - PRESTIGE, BRENDA	FRANKLIN INCOME FUND CLASS A	0.00	1.29	0.00	1.29	0.5	0.01
	ACCOUNT #: LSR010314	BUY 1 Units @0.0000						
03/26/2018	***-**-650J - LONGERBEAM,	FRANKLIN INCOME FUND CLASS C	0.00	4.80	0.00	4.80	0.5	0.02
	ACCOUNT #: LSR011736	BUY 1 Units @0.0000						
03/26/2018	***-**-829I - PRESTIDGE,	FRANKLIN U.S. GOVERNMENT SECURITIES	0.00	2.33	0.00	2.33	0.5	0.01
	ACCOUNT #: LSR005118	BUY 1 Units @0.0000						
03/26/2018	***-**-31IN - CANTATORE,	FRANKLIN VIRGINIA TAX FREE A	0.00	2.95	0.00	2.95	0.5	0.01
	ACCOUNT #: LSR006831	BUY 1 Units @0.0000						
03/26/2018	***-**-116I - GAVELEK, CYNTHIA	GOLDMAN SACHS SMALL/ MID CAP GRWTH	0.00	1.24	0.00	1.24	0.5	0.01
	ACCOUNT #: LSR010199	BUY 1 Units @0.0000						
03/26/2018	***-**-717X - VIRTIS, PATRICIA	HARTFORD BALANCED INCOME FD CL A	0.00	2.99	0.00	2.99	0.5	0.01
	ACCOUNT #: LSR010310	BUY 1 Units @0.0000						
03/26/2018	***-**-829X - PRESTIGE, BRENDA	HARTFORD BALANCED INCOME FD CL A	0.00	2.57	0.00	2.57	0.5	0.01
	ACCOUNT #: LSR010314	BUY 1 Units @0.0000						
03/26/2018	***-**-29IN - PRESTIDGE,	HARTFORD BALANCED INCOME FD CL A	0.00	1.10	0.00	1.10	0.5	0.01
	ACCOUNT #: LSR010317	BUY 1 Units @0.0000						
03/26/2018	***-**-20IN - GAVIN, ANNE	HARTFORD GROWTH OPPORTUNITY CLASS C	0.00	8.15	0.00	8.15	0.5	0.04
	ACCOUNT #: LSR011851	BUY 1 Units @0.0000						
03/26/2018	***-**-332I - GALLUCCI, NANCY	LORD ABBETT CONVERT FUND CL C	0.00	6.16	0.00	6.16	0.5	0.03
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
03/26/2018	***-**-464I - GALLUCCI,	LORD ABBETT CONVERT FUND CL C	0.00	10.68	0.00	10.68	0.5	0.05
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						
03/26/2018	***-**-332I - GALLUCCI, NANCY	LORD ABBETT CORE FIXED INCOME CL C	0.00	2.81	0.00	2.81	0.5	0.01
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
03/26/2018	***-**-464I - GALLUCCI,	LORD ABBETT CORE FIXED INCOME CL C	0.00	2.83	0.00	2.83	0.5	0.01
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						
03/26/2018	***-**-332I - GALLUCCI, NANCY	LORD ABBETT FLOATING RATE FD CL C	0.00	5.13	0.00	5.13	0.5	0.03
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
03/26/2018	***-**-464I - GALLUCCI,	LORD ABBETT FLOATING RATE FD CL C	0.00	9.07	0.00	9.07	0.5	0.05
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						
03/26/2018	***-**-20IN - GAVIN, ANNE	LORD ABBETT FLOATING RATE FD CL C	0.00	2.44	0.00	2.44	0.5	0.01
	ACCOUNT #: LSR011851	BUY 1 Units @0.0000						





# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: LINDA BLACK (continued) </u></b>								
03/26/2018	***-**-332I - GALLUCCI, NANCY	LORD ABBETT HIGH YIELD CLASS C	0.00	2.58	0.00	2.58	0.5	0.01
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
03/26/2018	***-**-464I - GALLUCCI,	LORD ABBETT HIGH YIELD CLASS C	0.00	3.21	0.00	3.21	0.5	0.02
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						
03/26/2018	***-**-332I - GALLUCCI, NANCY	LORD ABBETT SHORT DURATION INCOME C	0.00	2.30	0.00	2.30	0.5	0.01
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
03/26/2018	***-**-464I - GALLUCCI,	LORD ABBETT SHORT DURATION INCOME C	0.00	2.87	0.00	2.87	0.5	0.01
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						
03/26/2018	***-**-426I - CROWE, MICHAEL	MS INST GLO OPP FD A NFS	0.00	6.45	0.00	6.45	0.5	0.03
	ACCOUNT #: LSR007820	BUY 1 Units @0.0000						
03/26/2018	***-**-076I - CROWE, DEANNA	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	1.18	0.00	1.18	0.5	0.01
	ACCOUNT #: LSR007811	BUY 1 Units @0.0000						
03/26/2018	***-**-076I - CROWE, DEANNA	OPPENHEIMER MAIN STREET OPPORTUNITY	0.00	9.41	0.00	9.41	0.5	0.05
	ACCOUNT #: LSR007811	BUY 1 Units @0.0000						
03/26/2018	***-**-426I - CROWE, MICHAEL	OPPENHEIMER MAIN STREET OPPORTUNITY	0.00	3.50	0.00	3.50	0.5	0.02
	ACCOUNT #: LSR007820	BUY 1 Units @0.0000						
03/26/2018	***-**-076I - CROWE, DEANNA	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	8.54	0.00	8.54	0.5	0.04
	ACCOUNT #: LSR007811	BUY 1 Units @0.0000						
03/26/2018	***-**-426I - CROWE, MICHAEL	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	3.10	0.00	3.10	0.5	0.02
	ACCOUNT #: LSR007820	BUY 1 Units @0.0000						
03/26/2018	***-**-499I - BLACKWELL,	PIMCO ALL ASSET ALL AUTHORITY A	0.00	2.02	0.00	2.02	0.5	0.01
	ACCOUNT #: LSR011765	BUY 1 Units @0.0000						
03/26/2018	***-**-499I - BLACKWELL,	PIMCO DIDIDEND AND INCOME FND CL A-	0.00	2.15	0.00	2.15	0.5	0.01
	ACCOUNT #: LSR011765	BUY 1 Units @0.0000						
03/26/2018	***-**-499I - BLACKWELL,	PIMCO HIGH YIELD CLASS A	0.00	1.04	0.00	1.04	0.5	0.01
	ACCOUNT #: LSR011765	BUY 1 Units @0.0000						
03/26/2018	***-**-499I - BLACKWELL,	PIMCO SENIOR FLOATING RATE CL A-NFS	0.00	1.98	0.00	1.98	0.5	0.01
	ACCOUNT #: LSR011765	BUY 1 Units @0.0000						
03/26/2018	***-**-332I - GALLUCCI, NANCY	PIMCO SENIOR FLOATING RATE CL C-NFS	0.00	1.74	0.00	1.74	0.5	0.01
	ACCOUNT #: LSR011409	BUY 1 Units @0.0000						
03/26/2018	***-**-464I - GALLUCCI,	PIMCO SENIOR FLOATING RATE CL C-NFS	0.00	2.91	0.00	2.91	0.5	0.01
	ACCOUNT #: LSR011411	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: LINDA BLACK (continued)</u></b>								
03/26/2018	***-**-464I - GALLUCCI, ACCOUNT #: LSR011411	PIMCO SHORT TERM CLASS C BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	0.5	0.01
03/26/2018	***-**-464I - GALLUCCI, ACCOUNT #: LSR011411	PIMCO SHORT TERM CLASS C BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	0.5	0.01
03/26/2018	***-**-332I - GALLUCCI, NANCY ACCOUNT #: LSR011409	PIMCO TOTAL RETURN C BUY 1 Units @0.0000	0.00	2.03	0.00	2.03	0.5	0.01
03/26/2018	***-**-464I - GALLUCCI, ACCOUNT #: LSR011411	PIMCO TOTAL RETURN C BUY 1 Units @0.0000	0.00	2.54	0.00	2.54	0.5	0.01
03/26/2018	***-**-499I - BLACKWELL, ACCOUNT #: LSR011765	PIMCO GLOBAL BOND CL A BUY 1 Units @0.0000	0.00	1.04	0.00	1.04	0.5	0.01
03/26/2018	***-**-33IR - BONNER, RACHEL ACCOUNT #: LSR011356	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 1 Units @0.0000	0.00	2.27	0.00	2.27	0.5	0.01
03/26/2018	***-**-33IR - BONNER, RACHEL ACCOUNT #: LSR011356	PUTNAM SMALL CAP VALUE FUND-A BUY 1 Units @0.0000	0.00	1.63	0.00	1.63	0.5	0.01
03/26/2018	***-**-8362 - SIKORA, AMY ACCOUNT #: 7200026907	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	37.91	0.00	37.91	0.5	0.19
03/26/2018	***-**-426I - CROWE, MICHAEL ACCOUNT #: LSR007820	TEMPLETON GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	2.07	0.00	2.07	0.5	0.01
03/16/2018	***-**-29IR - PHILLIPS, ACCOUNT #: LSR010507	THE GROWTH FUND OF AMERICA-A BUY 2 Units @56.5100	97.03	1.85	0.00	1.85	0.5	0.01
03/26/2018	***-**-362K - SIKORA, AMY ACCOUNT #: LSR011287	THE HARTFORD DIVIDEND & GROWTH FUND BUY 1 Units @0.0000	0.00	1.94	0.00	1.94	0.5	0.01
03/26/2018	***-**-362K - SIKORA, AMY ACCOUNT #: LSR011287	TOLLKEEPER A BUY 1 Units @0.0000	0.00	1.64	0.00	1.64	0.5	0.01
<b>* LINDA BLACK SUBTOTAL *</b>			<b>\$197.03</b>	<b>\$185.62</b>	<b>\$0.00</b>	<b>\$185.62</b>		<b>\$0.95</b>
<b><u>BROKER: SARA BERKOWICZ</u></b>								
03/26/2018	***-**-1202 - MCCORMACK, JAMI ACCOUNT #: LSR105988	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 1 Units @0.0000	0.00	15.15	0.00	15.15	0.5	0.08
<b>* SARA BERKOWICZ SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$15.15</b>	<b>\$0.00</b>	<b>\$15.15</b>		<b>\$0.08</b>



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: JOSEPH FERRY (continued)</u></b>								
03/13/2018	***-**-0891 - RAFAEL, MANUEL	AMERICAN FUNDS 2015 TARGET DATE-A	108.01	2.16	0.00	2.16	0.5	0.01
	ACCOUNT #: 00084671422	BUY 9 Units @11.9300						
03/13/2018	***-**-8521 - ECKER, DAVID	AMERICAN FUNDS 2015 TARGET DATE-A	1,153.84	23.08	0.00	23.08	0.5	0.12
	ACCOUNT #: 00084671526	BUY 97 Units @11.9300						
03/13/2018	***-**-8521 - ECKER, DAVID	AMERICAN FUNDS 2015 TARGET DATE-A	346.16	6.92	0.00	6.92	0.5	0.03
	ACCOUNT #: 00084671526	BUY 29 Units @11.9300						
03/13/2018	***-**-640I - SILVA, VICTOR	AMERICAN FUNDS 2020 TARGET DATE-A	107.68	2.15	0.00	2.15	0.5	0.01
	ACCOUNT #: 00087410616	BUY 8 Units @13.0100						
03/13/2018	***-**-640I - SILVA, VICTOR	AMERICAN FUNDS 2020 TARGET DATE-A	64.61	1.29	0.00	1.29	0.5	0.01
	ACCOUNT #: 00087410616	BUY 5 Units @13.0100						
03/13/2018	***-**-3575 - LUCARELLI, DONNA	AMERICAN FUNDS 2025 TARGET DATE-A	91.16	1.82	0.00	1.82	0.5	0.01
	ACCOUNT #: 00085997645	BUY 7 Units @14.0200						
03/13/2018	***-**-3575 - LUCARELLI, DONNA	AMERICAN FUNDS 2025 TARGET DATE-A	91.16	1.82	0.00	1.82	0.5	0.01
	ACCOUNT #: 00085997645	BUY 7 Units @14.0200						
03/13/2018	***-**-3231 - CAIZA, HILDA	AMERICAN FUNDS 2025 TARGET DATE-A	120.72	2.41	0.00	2.41	0.5	0.01
	ACCOUNT #: 00085997891	BUY 9 Units @14.0200						
03/13/2018	***-**-3231 - CAIZA, HILDA	AMERICAN FUNDS 2025 TARGET DATE-A	90.55	1.81	0.00	1.81	0.5	0.01
	ACCOUNT #: 00085997891	BUY 6 Units @14.0200						
03/13/2018	***-**-94CL - FERRY, CLIENTS	AMERICAN FUNDS 2025 TARGET DATE-A	66.23	1.32	0.00	1.32	0.5	0.01
	ACCOUNT #: 00087410573	BUY 5 Units @14.0200						
03/13/2018	***-**-94CL - FERRY, CLIENTS	AMERICAN FUNDS 2025 TARGET DATE-A	66.23	1.32	0.00	1.32	0.5	0.01
	ACCOUNT #: 00087410573	BUY 5 Units @14.0200						
03/14/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	0.5	0.09
	ACCOUNT #: 04000812746	BUY 23 Units @15.6400						
03/12/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	0.5	0.02
	ACCOUNT #: 04000563724	BUY 7 Units @15.9600						
03/12/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	0.5	0.01
	ACCOUNT #: 04000563724	BUY 3 Units @15.9600						
03/13/2018	***-**-111I - OJA, MARISSA	AMERICAN FUNDS 2035 TARGET DATE-A	71.76	1.44	0.00	1.44	0.5	0.01
	ACCOUNT #: 00087410497	BUY 5 Units @15.7200						
03/13/2018	***-**-111I - OJA, MARISSA	AMERICAN FUNDS 2035 TARGET DATE-A	71.76	1.44	0.00	1.44	0.5	0.01
	ACCOUNT #: 00087410497	BUY 5 Units @15.7200						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JOSEPH FERRY (continued)</u></b>								
03/14/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	0.5	0.09
	ACCOUNT #: 04000813270	BUY 22 Units @16.2300						
03/13/2018	***-**-2796 - ESTRELLA, JUDITH	AMERICAN FUNDS 2040 TARGET DATE-A	264.00	5.28	0.00	5.28	0.5	0.03
	ACCOUNT #: 00084671437	BUY 16 Units @16.1400						
03/13/2018	***-**-2796 - ESTRELLA, JUDITH	AMERICAN FUNDS 2040 TARGET DATE-A	132.00	2.64	0.00	2.64	0.5	0.01
	ACCOUNT #: 00084671437	BUY 8 Units @16.1400						
03/14/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	0.5	0.08
	ACCOUNT #: 04000812733	BUY 18 Units @16.6700						
03/13/2018	***-**-6980 - ANDRADE,	AMERICAN FUNDS 2045 TARGET DATE-A	138.48	2.77	0.00	2.77	0.5	0.01
	ACCOUNT #: 00084670745	BUY 8 Units @16.4200						
03/13/2018	***-**-6980 - ANDRADE,	AMERICAN FUNDS 2045 TARGET DATE-A	138.48	2.77	0.00	2.77	0.5	0.01
	ACCOUNT #: 00084670745	BUY 8 Units @16.4200						
03/13/2018	***-**-6931 - MILANO, ANTHONY	AMERICAN FUNDS 2045 TARGET DATE-A	101.43	2.03	0.00	2.03	0.5	0.01
	ACCOUNT #: 00084671439	BUY 6 Units @16.4200						
03/13/2018	***-**-6931 - MILANO, ANTHONY	AMERICAN FUNDS 2045 TARGET DATE-A	101.43	2.03	0.00	2.03	0.5	0.01
	ACCOUNT #: 00084671439	BUY 6 Units @16.4200						
03/12/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00081526588	BUY 3 Units @16.3400						
03/12/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	0.5	0.03
	ACCOUNT #: 00081526588	BUY 11 Units @16.3400						
03/12/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	0.5	0.01
	ACCOUNT #: 04000563702	BUY 6 Units @16.3400						
03/12/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	50.30	1.38	0.00	1.38	0.5	0.01
	ACCOUNT #: 04000563702	BUY 3 Units @16.3400						
03/13/2018	***-**-6979 - ECKER, ASHLEY	AMERICAN FUNDS 2050 TARGET DATE-A	238.44	4.77	0.00	4.77	0.5	0.02
	ACCOUNT #: 00084671531	BUY 15 Units @16.0900						
03/13/2018	***-**-6979 - ECKER, ASHLEY	AMERICAN FUNDS 2050 TARGET DATE-A	238.44	4.77	0.00	4.77	0.5	0.02
	ACCOUNT #: 00084671531	BUY 15 Units @16.0900						
03/13/2018	***-**-838I - TYSZKA, SEAN	AMERICAN FUNDS 2060 TARGET DATE-A	51.38	1.03	0.00	1.03	0.5	0.01
	ACCOUNT #: 04000234338	BUY 4 Units @13.1800						
03/13/2018	***-**-838I - TYSZKA, SEAN	AMERICAN FUNDS 2060 TARGET DATE-A	51.38	1.03	0.00	1.03	0.5	0.01
	ACCOUNT #: 04000234338	BUY 4 Units @13.1800						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JOSEPH FERRY (continued)</u></b>								
03/13/2018	***-**-1540 - FROSCENO, KAYLA	AMERICAN FUNDS 2060 TARGET DATE-A	95.37	1.91	0.00	1.91	0.5	0.01
	ACCOUNT #: 04000234351	BUY 7 Units @13.1800						
03/13/2018	***-**-1540 - FROSCENO, KAYLA	AMERICAN FUNDS 2060 TARGET DATE-A	71.53	1.43	0.00	1.43	0.5	0.01
	ACCOUNT #: 04000234351	BUY 5 Units @13.1800						
03/14/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: 04000827629	BUY 6 Units @13.0800						
03/14/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: 04000827629	BUY 6 Units @13.0800						
03/13/2018	***-**-88SI - CROSSMAN, ROBERT	AMERICAN HIGH-INCOME TRUST-A	70.00	1.40	0.00	1.40	0.5	0.01
	ACCOUNT #: 00086854812	BUY 7 Units @10.5400						
03/13/2018	***-**-88SI - CROSSMAN, ROBERT	AMERICAN MUTUAL FUND-A	140.00	2.80	0.00	2.80	0.5	0.01
	ACCOUNT #: 00086854812	BUY 3 Units @42.4100						
03/13/2018	***-**-88SI - CROSSMAN, ROBERT	AMERICAN MUTUAL FUND-A	60.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: 00086854812	BUY 1 Units @42.4100						
03/26/2018	***-**-862I - CARBONE, WILLIAM	CAPITAL APPRECIATION A	0.00	3.93	0.00	3.93	0.5	0.02
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
03/07/2018	***-**-32SI - GAUDIOSI, JANE	CAPITAL APPRECIATION C	650.00	6.50	0.00	6.50	0.5	0.03
	ACCOUNT #: 03222239534	BUY 0 Units @0.0000						
03/13/2018	***-**-88SI - CROSSMAN, ROBERT	CAPITAL INCOME BUILDER-A	70.00	1.40	0.00	1.40	0.5	0.01
	ACCOUNT #: 00086854812	BUY 1 Units @63.8900						
03/15/2018	***-**-985P - PAPST, MEREDITH	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	3.44	0.00	3.44	0.5	0.02
	ACCOUNT #: 00067807144	BUY 2 Units @54.6500						
03/07/2018	***-**-632I - GAUDIOSI, JANE	CORE BOND FND C	975.00	9.75	0.00	9.75	0.5	0.05
	ACCOUNT #: 02870589061	BUY 0 Units @0.0000						
03/26/2018	***-**-862I - CARBONE, WILLIAM	EQUITY INCOME FUND, INC. A	0.00	6.12	0.00	6.12	0.5	0.03
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
03/26/2018	***-**-968X - GAW, LELAND	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	6.09	0.00	6.09	0.5	0.03
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
03/26/2018	***-**-862I - CARBONE, WILLIAM	GLOBAL FUND A	0.00	4.44	0.00	4.44	0.5	0.02
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
03/26/2018	***-**-862I - CARBONE, WILLIAM	INTERNATIONAL BOND A	0.00	2.64	0.00	2.64	0.5	0.01
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: JOSEPH FERRY (continued) </u></b>								
03/07/2018	***-**-32SI - GAUDIOSI, JANE	LIMITED-TERM GOVERNMENT FUND C	975.00	9.75	0.00	9.75	0.5	0.05
	ACCOUNT #: 08571249215	BUY 0 Units @0.0000						
03/07/2018	***-**-632I - GAUDIOSI, JANE	MAIN STREET FUND C	1,625.00	16.25	0.00	16.25	0.5	0.08
	ACCOUNT #: 07024390705	BUY 0 Units @0.0000						
03/26/2018	***-**-862I - CARBONE, WILLIAM	OPPENHEIMER CORE BOND FUND A	0.00	4.61	0.00	4.61	0.5	0.02
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
03/07/2018	***-**-32SI - GAUDIOSI, JANE	OPPENHEIMER GLOBAL CLASS C	650.00	6.50	0.00	6.50	0.5	0.03
	ACCOUNT #: 03322789446	BUY 0 Units @0.0000						
03/07/2018	***-**-32SI - GAUDIOSI, JANE	OPPENHEIMER RISING DIVIDENDS FUND C	975.00	9.75	0.00	9.75	0.5	0.05
	ACCOUNT #: 02270965107	BUY 0 Units @0.0000						
03/26/2018	***-**-862I - CARBONE, WILLIAM	OPPENHEIMER U.S. GOVT TR CLASS A	0.00	4.55	0.00	4.55	0.5	0.02
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
03/26/2018	***-**-078I - PELLETIER,	SAMMONS LIVEWELL MUTUAL FUND	458.33	4.58	0.00	4.58	0.5	0.02
	ACCOUNT #: 7200000132	BUY 0 Units @0.0000						
03/26/2018	***-**-90SI - MACARON, SHADY	SAMMONS MF TRAILS	0.00	70.38	0.00	70.38	0.5	0.35
	ACCOUNT #: 7200000120	BUY 0 Units @0.0000						
03/26/2018	***-**-187I - HENEERY, KEVIN	SAMMONS MF TRAILS	0.00	33.10	0.00	33.10	0.5	0.17
	ACCOUNT #: 7200000440	BUY 0 Units @0.0000						
03/26/2018	***-**-027I - ADAMAITIS,	SAMMONS MF TRAILS	0.00	9.23	0.00	9.23	0.5	0.05
	ACCOUNT #: 7200011626	BUY 0 Units @0.0000						
03/26/2018	***-**-118I - MORRO, CHARLOTTE	SAMMONS MF TRAILS	0.00	8.05	0.00	8.05	0.5	0.04
	ACCOUNT #: 7200021809	BUY 0 Units @0.0000						
03/07/2018	***-**-32SI - GAUDIOSI, JANE	STRATEGIC INCOME FUND C	650.00	6.50	0.00	6.50	0.5	0.03
	ACCOUNT #: 02322798092	BUY 0 Units @0.0000						
03/15/2018	***-**-985P - PAPST, MEREDITH	WASHINGTON MUTUAL INVESTORS FUND-A	150.00	4.13	0.00	4.13	0.5	0.02
	ACCOUNT #: 00067807144	BUY 3 Units @47.8300						
<b>* JOSEPH FERRY SUBTOTAL *</b>			<b>\$15,032.68</b>	<b>\$419.77</b>	<b>\$0.00</b>	<b>\$419.77</b>		<b>\$2.12</b>



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: SANDINO VERRELLI</u></b>								
03/26/2018	***-**-3580 - BALAGTAS, ACCOUNT #: LSR007714	FRANKLIN CONNECTICUT TAX FREE INCOM BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	0.5	0.01
03/26/2018	***-**-092K - LENNON, JENNY ACCOUNT #: 7200007783	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	4.21	0.00	4.21	0.5	0.02
* SANDINO VERRELLI SUBTOTAL *			\$0.00	\$5.21	\$0.00	\$5.21		\$0.03
<b><u>BROKER: ROGER LUNDY</u></b>								
03/22/2018	***-**-7751 - CENTER SCHOOL, ACCOUNT #: 58880531	NATIONWIDE GVA TRAILS BUY 1 Units @0.0000	0.00	8.53	0.00	8.53	0.5	0.04
03/22/2018	***-**-7751 - CENTER SCHOOL, ACCOUNT #: 58880531	NATIONWIDE GVA TRAILS BUY 1 Units @0.0000	0.00	446.99	0.00	446.99	0.5	2.23
* ROGER LUNDY SUBTOTAL *			\$0.00	\$455.52	\$0.00	\$455.52		\$2.27
<b><u>BROKER: ALEX GEORGIEV</u></b>								
03/26/2018	***-**-925P - DANTUONO, LINDA ACCOUNT #: 2000344921	AGE BASED PORTFOLIO 50 A BUY 0 Units @0.0000	0.00	1.50	0.00	1.50	0.5	0.01
03/15/2018	***-**-69IN - FOULK, FLOYD ACCOUNT #: 03310275876	ALLIANCEBERNSTEIN GROWTH A BUY 1 Units @82.6900	50.00	2.00	0.00	2.00	0.5	0.01
03/15/2018	***-**-0920 - NOONAN, SAMANTHA ACCOUNT #: 00083202391	AMERICAN BALANCED FUND-529A BUY 2 Units @28.8100	50.00	2.50	0.00	2.50	0.5	0.01
03/15/2018	***-**-765P - BOWLER, ACCOUNT #: 00087610452	AMERICAN BALANCED FUND-529A BUY 2 Units @28.8100	50.00	2.50	0.00	2.50	0.5	0.01
03/15/2018	***-**-345P - MACADIE, SEAN ACCOUNT #: 00088120469	AMERICAN BALANCED FUND-529A BUY 3 Units @28.8100	100.00	5.00	0.00	5.00	0.5	0.03
03/16/2018	***-**-733I - ANDERSEN, ACCOUNT #: 00085128729	AMERICAN BALANCED FUND-529A BUY 1 Units @28.5500	25.00	1.06	0.00	1.06	0.5	0.01
03/16/2018	***-**-95IN - LARSON, JOEL ACCOUNT #: 00086113566	AMERICAN BALANCED FUND-A BUY 2 Units @28.5900	50.00	2.13	0.00	2.13	0.5	0.01
03/16/2018	***-**-8981 - HELTMAN, JOHN ACCOUNT #: 04000050648	AMERICAN FUNDS 02629M587 BUY 4 Units @12.5100	50.00	1.75	0.00	1.75	0.5	0.01
03/15/2018	***-**-549I - BOXMAN, KENNETH ACCOUNT #: 00068811528	AMERICAN FUNDS 02629M645 BUY 5 Units @9.9300	50.00	1.00	0.00	1.00	0.5	0.01





# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: ALEX GEORGIEV (continued)</u></b>								
03/15/2018	***-**-205P - BISCOTTI, ACCOUNT #: 00064937834	AMERICAN FUNDS 2030 TARGET DATE-A BUY 2 Units @15.4100	30.00	1.13	0.00	1.13	0.5	0.01
03/15/2018	***-**-60RI - DUGGAN, TERESA ACCOUNT #: 00073561351	AMERICAN FUNDS 2030 TARGET DATE-A BUY 10 Units @15.4100	150.00	5.63	0.00	5.63	0.5	0.03
03/12/2018	***-**-345P - ACCOUNT #: 00067128072	AMERICAN FUNDS 2035 TARGET DATE-A BUY 197 Units @15.7100	3,090.00	49.44	0.00	49.44	0.5	0.25
03/15/2018	***-**-32SI - FERRARA, FABIO ACCOUNT #: 00082423834	AMERICAN FUNDS 2035 TARGET DATE-A BUY 28 Units @16.0700	450.00	19.13	0.00	19.13	0.5	0.10
03/15/2018	***-**-765P - PETERSON, AMY ACCOUNT #: 00073810635	AMERICAN FUNDS 2040 TARGET DATE-A BUY 6 Units @16.5100	100.00	4.25	0.00	4.25	0.5	0.02
03/15/2018	***-**-525P - FERRARA, ACCOUNT #: 00082423808	AMERICAN FUNDS 2040 TARGET DATE-A BUY 27 Units @16.5100	450.00	19.13	0.00	19.13	0.5	0.10
03/15/2018	***-**-504I - MARSHALL, ACCOUNT #: 00086714414	AMERICAN FUNDS 2045 TARGET DATE-A BUY 12 Units @16.7000	200.00	7.50	0.00	7.50	0.5	0.04
03/15/2018	***-**-11SI - KEOGH, JOSHUA ACCOUNT #: 00087537776	AMERICAN FUNDS 2050 TARGET DATE-A BUY 31 Units @16.4500	508.50	21.61	0.00	21.61	0.5	0.11
03/15/2018	***-**-94SI - BOGGS, BRENT ACCOUNT #: 00087537777	AMERICAN FUNDS 2050 TARGET DATE-A BUY 30 Units @16.4500	500.25	21.26	0.00	21.26	0.5	0.11
03/15/2018	***-**-9004 - KRASNER, DAVID ACCOUNT #: 04000492680	AMERICAN FUNDS 2055 TARGET DATE-A BUY 21 Units @20.4700	420.00	17.85	0.00	17.85	0.5	0.09
03/20/2018	***-**-845I - LAMPERT, ELLEN ACCOUNT #: LSR012230	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 7 Units @15.6000	105.00	3.93	0.00	3.93	0.5	0.02
03/20/2018	***-**-845I - LAMPERT, ELLEN ACCOUNT #: LSR012230	AMERICAN FUNDS GRWTH & INCOME PORT BUY 7 Units @16.0700	105.00	3.93	0.00	3.93	0.5	0.02
03/16/2018	***-**-245P - GALFORD, DAVID ACCOUNT #: 00084737271	AMERICAN MUTUAL FUND-529A BUY 1 Units @43.2600	50.00	2.50	0.00	2.50	0.5	0.01
03/26/2018	***-**-6508 - WILLIAMS, DANA ACCOUNT #: LSR104264	CALAMOS GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	2.98	0.00	2.98	0.5	0.01
03/26/6201	***-**-029I - BRENNEMAN, EMILY ACCOUNT #: 00007164450	CALVERT AGGRESSIVE ALLOCATION FUND- BUY 0 Units @0.0000	0.00	1.00	0.00	1.00	0.5	0.01
03/26/6201	***-**-584I - MARTORELLA, ACCOUNT #: 00007180767	CALVERT AGGRESSIVE ALLOCATION FUND- BUY 0 Units @0.0000	0.00	2.00	0.00	2.00	0.5	0.01



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: ALEX GEORGIEV (continued)</u></b>								
03/26/6201	***-**-462I - SMITH, SUZETTE ACCOUNT #: 00007252534	CALVERT AGGRESSIVE ALLOCATION FUND- BUY 0 Units @0.0000	0.00	6.00	0.00	6.00	0.5	0.03
03/26/6201	***-**-091I - STENTZ, DANIEL ACCOUNT #: 00007164422	CALVERT CONSERVATIVE ALLOCATION FUN BUY 0 Units @0.0000	0.00	1.81	0.00	1.81	0.5	0.01
03/26/6201	***-**-86CL - ASHER, CLIENTS	CALVERT CONSERVATIVE ALLOCATION FUN BUY 0 Units @0.0000	0.00	1.01	0.00	1.01	0.5	0.01
03/26/6201	***-**-311I - SILVER, RICHARD ACCOUNT #: 00007164426	CALVERT MODERATE ALLOCATION FUND-A BUY 0 Units @0.0000	0.00	2.62	0.00	2.62	0.5	0.01
03/26/6201	***-**-454I - LYLES, ROBYNE ACCOUNT #: 00007164434	CALVERT MODERATE ALLOCATION FUND-A BUY 0 Units @0.0000	0.00	9.34	0.00	9.34	0.5	0.05
03/26/6201	***-**-700I - THISTEL, MARK ACCOUNT #: 00007164445	CALVERT MODERATE ALLOCATION FUND-A BUY 0 Units @0.0000	0.00	9.34	0.00	9.34	0.5	0.05
03/26/6201	***-**-462I - SMITH, SUZETTE ACCOUNT #: 00007252534	CALVERT MODERATE ALLOCATION FUND-A BUY 0 Units @0.0000	0.00	6.00	0.00	6.00	0.5	0.03
03/26/6201	***-**-00IN - BECKER, DONALD ACCOUNT #: 7220068	CALVERT SOCIAL INVESTMENT FUND BOND BUY 0 Units @0.0000	0.00	2.71	0.00	2.71	0.5	0.01
03/26/6201	***-**-626I - TAYLOR, JAMES ACCOUNT #: 00007164449	CALVERT SOCIAL INVESTMENT FUND BOND BUY 0 Units @0.0000	0.00	4.38	0.00	4.38	0.5	0.02
03/26/6201	***-**-626I - TAYLOR, JAMES ACCOUNT #: 00007164449	CALVERT SOCIAL INVESTMENT FUND BOND BUY 0 Units @0.0000	0.00	4.38	0.00	4.38	0.5	0.02
03/05/2018	***-**-56IN - SHIELDS-FUSILLI, ACCOUNT #: 02706103493	CAPITAL APPRECIATION A BUY 0 Units @0.0000	400.00	16.00	0.00	16.00	0.5	0.08
03/08/2018	***-**-03IN - IADIANO, EDNA ACCOUNT #: 03211107536	CAPITAL APPRECIATION A BUY 0 Units @0.0000	62.80	1.26	0.00	1.26	0.5	0.01
03/15/2018	***-**-266I - GLICKMAN, PAMELA ACCOUNT #: 04000763706	CAPITAL INCOME BUILDER-529A BUY 1 Units @64.4100	66.66	2.50	0.00	2.50	0.5	0.01
03/15/2018	***-**-765P - BOWLER, ACCOUNT #: 00087610452	CAPITAL INCOME BUILDER-529A BUY 1 Units @65.2600	50.00	2.50	0.00	2.50	0.5	0.01
03/16/2018	***-**-733I - ANDERSEN, ACCOUNT #: 00085128729	CAPITAL INCOME BUILDER-529A BUY 0 Units @64.7300	25.00	1.06	0.00	1.06	0.5	0.01
03/12/2018	***-**-16IN - MIDDLETON, BETTY ACCOUNT #: 00060743431	CAPITAL INCOME BUILDER-A BUY 1 Units @64.7900	50.00	1.38	0.00	1.38	0.5	0.01



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
03/15/2018	***-**-76IR - BOWLER, ACCOUNT #: 00085699343	CAPITAL INCOME BUILDER-A BUY 1 Units @65.2800	50.00	2.50	0.00	2.50	0.5	0.01
03/15/2018	***-**-655P - DUDAS, DANIELLE ACCOUNT #: 00075306339	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @55.5200	50.00	2.13	0.00	2.13	0.5	0.01
03/15/2018	***-**-34IR - HARDY, VICTOR ACCOUNT #: 00084794059	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @55.9600	75.00	3.75	0.00	3.75	0.5	0.02
03/15/2018	***-**-385P - LITMAN, WENDY ACCOUNT #: 00074632301	CAPITAL WORLD GROWTH AND INCOME-529 BUY 1 Units @54.4800	50.00	1.38	0.00	1.38	0.5	0.01
03/16/2018	***-**-245P - GALFORD, DAVID ACCOUNT #: 00084737271	CAPITAL WORLD GROWTH AND INCOME-529 BUY 1 Units @55.7300	50.00	2.50	0.00	2.50	0.5	0.01
03/15/2018	***-**-105P - REDDIAR, ACCOUNT #: 00068500024	COLLEGE 2018 FUND-529A BUY 23 Units @10.7400	250.00	5.00	0.00	5.00	0.5	0.03
03/15/2018	***-**-205P - VAN DYK, ACCOUNT #: 00084319083	COLLEGE 2018 FUND-529A BUY 46 Units @10.9300	500.00	17.50	0.00	17.50	0.5	0.09
03/15/2018	***-**-549I - BOXMAN, KENNETH ACCOUNT #: 00084815726	COLLEGE 2021 FUND-529A BUY 4 Units @11.4700	50.00	1.75	0.00	1.75	0.5	0.01
03/12/2018	***-**-845P - BLUM, ANDREW ACCOUNT #: 00082640817	COLLEGE 2024 FUND-529A BUY 8 Units @12.1500	100.00	2.00	0.00	2.00	0.5	0.01
03/15/2018	***-**-915P - ANAND, POOJA ACCOUNT #: 00087471634	COLLEGE 2024 FUND-529A BUY 20 Units @12.3300	250.00	8.75	0.00	8.75	0.5	0.04
03/12/2018	***-**-5184 - BLUM, ANDREW ACCOUNT #: 00084715208	COLLEGE 2027 FUND-529A BUY 8 Units @13.0400	100.00	2.00	0.00	2.00	0.5	0.01
03/12/2018	***-**-4651 - FELICE, ACCOUNT #: 00084886630	COLLEGE 2027 FUND-529A BUY 23 Units @13.1700	300.00	8.25	0.00	8.25	0.5	0.04
03/12/2018	***-**-5184 - BLUM, ANDREW ACCOUNT #: 00086418332	COLLEGE 2030 FUND-529A BUY 7 Units @14.2700	100.00	2.00	0.00	2.00	0.5	0.01
03/15/2018	***-**-1014 - ACCOUNT #: 04000140755	COLLEGE 2030 FUND-529A BUY 17 Units @14.4400	250.00	8.75	0.00	8.75	0.5	0.04
03/12/2018	***-**-70IN - PESCHE, SUSAN ACCOUNT #: 00061196180	EUROPACIFIC GROWTH FUND-A BUY 1 Units @59.7600	75.00	1.50	0.00	1.50	0.5	0.01
03/26/2018	***-**-8170 - YB & J ACCOUNT #: LSR011351	FIRST EAGLE GLOBAL FUND CLASS A BUY 1 Units @0.0000	0.00	1.75	0.00	1.75	0.5	0.01



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
03/26/2018	***-**-0940 - BONNEY LLC, ACCOUNT #: LSR009423	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	4.60	0.00	4.60	0.5	0.02
03/26/2018	***-**-8658 - SOLOFF, MIRIAM ACCOUNT #: LSR105201	FRK AGGRESSIVE GRWTH - CL A BUY 1 Units @0.0000	0.00	2.65	0.00	2.65	0.5	0.01
03/26/2018	***-**-24SI - GARRETT, ACCOUNT #: LSR004987	FT MODERATE TARGET FUND - CLASS A BUY 1 Units @0.0000	0.00	7.48	0.00	7.48	0.5	0.04
03/16/2018	***-**-733I - ANDERSEN, ACCOUNT #: 00085128729	FUNDAMENTAL INVESTORS-529A BUY 0 Units @67.1500	25.00	1.06	0.00	1.06	0.5	0.01
03/26/2018	***-**-4701 - HAUSMAN, GE ACCOUNT #: 5290189470	FUTURE SCHOLAR 529 TRAILS BUY 0 Units @0.0000	0.00	3.21	0.00	3.21	0.5	0.02
03/26/2018	***-**-805P - CIEZA, ELZABETH ACCOUNT #: 05290090629	FUTURE SCHOLAR 529 TRAILS BUY 0 Units @0.0000	0.00	6.35	0.00	6.35	0.5	0.03
03/19/2018	***-**-6856 - FLOM, MEGAN ACCOUNT #: T02769407	GENWORTH 37250G301 BUY 0 Units @0.0000	208.34	2.09	0.00	2.09	0.5	0.01
03/19/2018	***-**-9505 - NESSIM, SAMIA ACCOUNT #: T02776362	GENWORTH 37250G301 BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	0.5	0.01
03/20/2018	***-**-8359 - SOLIMAN, NERMINE ACCOUNT #: T02776351	GENWORTH 37250G301 BUY 0 Units @0.0000	120.00	1.20	0.00	1.20	0.5	0.01
03/23/2018	***-**-5676 - FARAGALLA, AMAL ACCOUNT #: T02737840	GENWORTH 37250G459 BUY 0 Units @0.0000	40.00	1.20	0.00	1.20	0.5	0.01
03/01/2018	***-**-80CL - MCCUNE, CLIENTS ACCOUNT #: 07500778635	GLOBAL FUND A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	0.5	0.01
03/01/2018	***-**-80CL - MCCUNE, CLIENTS ACCOUNT #: 02150881958	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	50.00	2.00	0.00	2.00	0.5	0.01
03/26/2018	***-**-468I - FERA, ANGELO ACCOUNT #: LSR009598	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	3.32	0.00	3.32	0.5	0.02
03/26/2018	***-**-141I - FELICETTI, MARY ACCOUNT #: LSR009695	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	4.14	0.00	4.14	0.5	0.02
03/26/2018	***-**-989I - MCCUNE, FRANK ACCOUNT #: LSR010237	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	3.71	0.00	3.71	0.5	0.02
03/07/2018	***-**-62IN - FARMER, BRUCE ACCOUNT #: 04104165623	GOLD & SPECIAL MINERALS A BUY 0 Units @0.0000	125.00	5.00	0.00	5.00	0.5	0.03



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: ALEX GEORGIEV (continued)</u> </b>								
03/26/2018	***-**-371I - MASELLI, PIER ACCOUNT #: LSR009172	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	3.66	0.00	3.66	0.5	0.02
03/26/2018	***-**-141I - FELICETTI, MARY ACCOUNT #: LSR009695	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	1.61	0.00	1.61	0.5	0.01
03/26/2018	***-**-521O - MCCUNE, ELAINE ACCOUNT #: LSR009962	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	1.08	0.00	1.08	0.5	0.01
03/18/2018	***-**-896I - BISCHOFF, EMILE ACCOUNT #: R018548-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	7.16	0.00	7.16	0.5	0.04
03/21/2018	***-**-82CL - ROSENBERG, D, ACCOUNT #: VR05048820	INNOVATIVE-SELECT BUY 0 Units @0.0000	0.00	151.53	0.00	151.53	0.5	0.76
03/12/2018	***-**-16IN - MIDDLETON, BETTY ACCOUNT #: 00060743431	INTERMEDIATE BOND FUND OF AMERICA-A BUY 4 Units @13.5000	50.00	1.00	0.00	1.00	0.5	0.01
03/12/2018	***-**-97IN - ANDERSON, THOMAS ACCOUNT #: 04038905578	INVESCO AMERICAN FRANCHISE FUND -CL BUY 2 Units @23.1000	50.00	2.50	0.00	2.50	0.5	0.01
03/15/2018	***-**-45IR - HAHN, SHAUN ACCOUNT #: 03909298923	IVK AMERICAN VALUE FUND - CLASS A BUY 1 Units @40.9300	50.00	2.50	0.00	2.50	0.5	0.01
03/20/2018	***-**-75IR - SZYMANSKI, ACCOUNT #: 1201606480	JACKSON NATIONAL PERSPECTIVE II NY BUY 0 Units @0.0000	50.00	3.50	0.00	3.50	0.5	0.02
03/26/2018	***-**-468I - FERA, ANGELO ACCOUNT #: LSR009598	LIMITED TERM GOVERNMENT A BUY 1 Units @0.0000	0.00	1.62	0.00	1.62	0.5	0.01
03/19/2018	***-**-65CL - LIFEMARK, ACCOUNT #: F1522780	LINCOLN 008148728 BUY 0 Units @0.0000	648.00	12.96	0.00	12.96	0.5	0.06
03/20/2018	***-**-0527 - LAVISADEH, ACCOUNT #: F1519393	LINCOLN 008148728 BUY 0 Units @0.0000	60.00	1.20	0.00	1.20	0.5	0.01
03/20/2018	***-**-1850 - LEDERGERBER, ACCOUNT #: F1522963	LINCOLN 008148728 BUY 0 Units @0.0000	400.00	8.00	0.00	8.00	0.5	0.04
03/20/2018	***-**-3787 - KURTZ, MICHAEL ACCOUNT #: F1523994	LINCOLN 008148728 BUY 0 Units @0.0000	630.00	12.60	0.00	12.60	0.5	0.06
03/20/2018	***-**-5338 - HAEFLIGER, ACCOUNT #: F1525199	LINCOLN 008148728 BUY 0 Units @0.0000	215.00	4.30	0.00	4.30	0.5	0.02
03/20/2018	***-**-6414 - FANOUS, REDA ACCOUNT #: F1526468	LINCOLN 008148728 BUY 0 Units @0.0000	178.00	3.56	0.00	3.56	0.5	0.02



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: ALEX GEORGIEV (continued)</u></b>								
03/20/2018	***-**-6650 - LIRA, MIGUEL	LINCOLN 008148728	200.00	4.00	0.00	4.00	0.5	0.02
	ACCOUNT #: F1526823	BUY 0 Units @0.0000						
03/20/2018	***-**-4575 - POTROS, AZER	LINCOLN 008148728	59.00	1.18	0.00	1.18	0.5	0.01
	ACCOUNT #: F1527735	BUY 0 Units @0.0000						
03/20/2018	***-**-0480 - GOBRIAL, SUZAN	LINCOLN 008148728	60.00	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: F1527744	BUY 0 Units @0.0000						
03/05/2018	***-**-56IN - SHIELDS-FUSILLI,	MAIN STREET FUND A	400.00	16.00	0.00	16.00	0.5	0.08
	ACCOUNT #: 07000388435	BUY 0 Units @0.0000						
03/08/2018	***-**-03IN - IADIANO, EDNA	MAIN STREET FUND A	314.00	6.28	0.00	6.28	0.5	0.03
	ACCOUNT #: 07005288902	BUY 0 Units @0.0000						
03/08/2018	***-**-03IN - IADIANO, EDNA	MAIN STREET FUND A	172.37	3.45	0.00	3.45	0.5	0.02
	ACCOUNT #: 07005288902	BUY 0 Units @0.0000						
03/26/2018	***-**-4726 - SOIFER, SEP	MAIN STREET FUND A	0.00	2.54	0.00	2.54	0.5	0.01
	ACCOUNT #: LSR105236	BUY 1 Units @0.0000						
03/19/2018	***-**-2444 - TARAN, STEPHEN	MASS INVESTORS GROWTH STOCK FUND-B	230.00	9.20	0.00	9.20	0.5	0.05
	ACCOUNT #: 08187067461	BUY 9 Units @25.1800						
03/19/2018	***-**-2444 - TARAN, STEPHEN	MASS INVESTORS GROWTH STOCK FUND-B	79.50	3.18	0.00	3.18	0.5	0.02
	ACCOUNT #: 08187067461	BUY 3 Units @25.1800						
03/20/2018	***-**-650I - CHMIELEWSKI,	MASS MUTUAL DTCC ARTISTRY	150.00	8.25	0.00	8.25	0.5	0.04
	ACCOUNT #: ARN5466944EE	BUY 0 Units @0.0000						
03/20/2018	***-**-451I - MCLAUGHLIN,	MASS MUTUAL DTCC ARTISTRY	500.00	27.50	0.00	27.50	0.5	0.14
	ACCOUNT #: ARN5466953EE	BUY 0 Units @0.0000						
03/16/2018	***-**-1789 - TUNZI, UMBERTO	MASSACHUSETTS INVESTORS TRUST-A	200.00	10.00	0.00	10.00	0.5	0.05
	ACCOUNT #: 08184428526	BUY 6 Units @35.2600						
03/19/2018	***-**-2444 - TARAN, STEPHEN	MFS CORE EQUITY FUND-B	230.00	9.20	0.00	9.20	0.5	0.05
	ACCOUNT #: 08187067457	BUY 8 Units @28.1100						
03/19/2018	***-**-2444 - TARAN, STEPHEN	MFS CORE EQUITY FUND-B	79.50	3.18	0.00	3.18	0.5	0.02
	ACCOUNT #: 08187067457	BUY 3 Units @28.1100						
03/19/2018	***-**-8490 - BEAYON, RANDALL	MFS CORE EQUITY FUND-B	51.01	2.04	0.00	2.04	0.5	0.01
	ACCOUNT #: 08187065472	BUY 2 Units @28.1100						
03/19/2018	***-**-8490 - BEAYON, RANDALL	MFS CORE EQUITY FUND-B	51.01	2.04	0.00	2.04	0.5	0.01
	ACCOUNT #: 08187065472	BUY 2 Units @28.1100						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: ALEX GEORGIEV (continued)</u></b>								
03/26/2018	***-**-60TR - BLANCHARD TRUST, ACCOUNT #: LSR010327	MS INST GLO OPP FD A NFS BUY 1 Units @0.0000	0.00	9.62	0.00	9.62	0.5	0.05
03/26/2018	***-**-017J - TAZUMA, MIYOKO ACCOUNT #: LSR009466	MUTUAL SERIES SHARES CLASS A BUY 1 Units @0.0000	0.00	2.37	0.00	2.37	0.5	0.01
03/20/2018	***-**-72IN - EMMERT, EVA ACCOUNT #: 015278558	NATIONWIDE CUSIP 638660589-NFS BUY 0 Units @0.0000	120.00	6.30	0.00	6.30	0.5	0.03
03/22/2018	***-**-1426 - RICKENBAKER, ACCOUNT #: N100506630	NATIONWIDE NEXT GENERATION II BUY 1 Units @75.0000	75.00	1.50	0.00	1.50	0.5	0.01
03/22/2018	***-**-2750 - TAVERAS, JOSE ACCOUNT #: N101302760	NATIONWIDE NEXT GENERATION II BUY 1 Units @185.0000	185.00	3.70	0.00	3.70	0.5	0.02
03/22/2018	***-**-1305 - TAVERAS, MARIA ACCOUNT #: N101302770	NATIONWIDE NEXT GENERATION II BUY 1 Units @75.0000	75.00	1.50	0.00	1.50	0.5	0.01
03/12/2018	***-**-70IN - PESCHE, SUSAN ACCOUNT #: 00061196180	NEW PERSPECTIVE FUND-A BUY 5 Units @47.4400	250.00	5.00	0.00	5.00	0.5	0.03
03/12/2018	***-**-70IN - PESCHE, SUSAN ACCOUNT #: 00061196180	NEW WORLD FUND-A BUY 2 Units @71.7800	125.00	2.50	0.00	2.50	0.5	0.01
03/26/2018	***-**-925P - DANTUONO, LINDA ACCOUNT #: 02000095991	OPP AGES 12-14 YEARS A BUY 0 Units @0.0000	0.00	1.50	0.00	1.50	0.5	0.01
03/26/2018	***-**-375P - JOHNSON, MARK ACCOUNT #: 02000103700	OPPENHEIMER 529 NEW MEXICO 80706110 BUY 0 Units @0.0000	0.00	3.00	0.00	3.00	0.5	0.02
03/08/2018	***-**-03IN - IADIANO, EDNA ACCOUNT #: 00100087231	OPPENHEIMER MAIN ST SMALL CAP FND A BUY 0 Units @0.0000	62.80	1.26	0.00	1.26	0.5	0.01
03/08/2018	***-**-03IN - IADIANO, EDNA ACCOUNT #: 08477490593	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	62.80	1.26	0.00	1.26	0.5	0.01
03/26/2018	***-**-371I - MASELLI, PIER ACCOUNT #: LSR009172	OPPENHEIMER STRATEGIC INCOME FD CL BUY 1 Units @0.0000	0.00	2.79	0.00	2.79	0.5	0.01
03/26/2018	***-**-468I - FERA, ANGELO ACCOUNT #: LSR009598	OPPENHEIMER STRATEGIC INCOME FD CL BUY 1 Units @0.0000	0.00	2.91	0.00	2.91	0.5	0.01
03/26/2018	***-**-989I - MCCUNE, FRANK ACCOUNT #: LSR010237	OPPENHEIMER STRATEGIC INCOME FD CL BUY 1 Units @0.0000	0.00	1.11	0.00	1.11	0.5	0.01
03/23/2018	***-**-9556 - CASE, ACCOUNT #: VP60784810	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	0.00	9.19	0.00	9.19	0.5	0.05



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
03/23/2018	***-**-6644 - CHRISTOPHERSEN, ACCOUNT #: VP60960550	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	5,000.00	100.00	0.00	100.00	0.5	0.50
03/19/2018	***-**-576I - ZUBER, WAYNE ACCOUNT #: VR08028914	PACIFIC LIFE INNOV-SELECT BUY 0 Units @0.0000	0.00	23.15	0.00	23.15	0.5	0.12
03/26/2018	***-**-33IR - MURRAY, NANCY ACCOUNT #: 8564000	PENN MUTUAL VA TRAILS BUY 1 Units @0.0000	0.00	6.54	0.00	6.54	0.5	0.03
03/26/2018	***-**-33IR - MURRAY, NANCY ACCOUNT #: 8564001	PENN MUTUAL VA TRAILS BUY 1 Units @0.0000	0.00	1.47	0.00	1.47	0.5	0.01
03/26/2018	***-**-33IR - MURRAY, NANCY ACCOUNT #: 8564000	PENN MUTUAL VA TRAILS BUY 1 Units @0.0000	0.00	6.54	0.00	6.54	0.5	0.03
03/26/2018	***-**-33IR - MURRAY, NANCY ACCOUNT #: 8564001	PENN MUTUAL VA TRAILS BUY 1 Units @0.0000	0.00	1.47	0.00	1.47	0.5	0.01
03/20/2018	***-**-03CL - GEORGIEV, ACCOUNT #: 11140937	PHOENIX VL BUY 1 Units @0.0000	0.00	2.00	0.00	2.00	0.5	0.01
03/20/2018	***-**-03CL - GEORGIEV, ACCOUNT #: 11145175	PHOENIX VL BUY 1 Units @0.0000	0.00	1.60	0.00	1.60	0.5	0.01
03/26/2018	***-**-371I - MASELLI, PIER ACCOUNT #: LSR009172	PIMCO INV GRADE CORP BUY 1 Units @0.0000	0.00	4.25	0.00	4.25	0.5	0.02
03/01/2018	***-**-32IR - SCHALLENBERGER, ACCOUNT #: 05550735108	PORT SERIES: EQUITY INV FD A BUY 0 Units @0.0000	100.00	4.75	0.00	4.75	0.5	0.02
03/26/2018	***-**-1356 - RASOE, PETER ACCOUNT #: 06000001800	PORTFOLIO 2017-2020 A BUY 0 Units @0.0000	100.00	3.75	0.00	3.75	0.5	0.02
03/26/2018	***-**-1356 - RASOE, PETER ACCOUNT #: 06140001812	PORTFOLIO 2021-2024 A BUY 0 Units @0.0000	100.00	3.75	0.00	3.75	0.5	0.02
03/26/2018	***-**-00IR - BARTON, MARVELLA ACCOUNT #: 00014203079	PRINCIPAL LARGE CAP GROWTH CL A BUY 0 Units @0.0000	75.00	3.56	0.00	3.56	0.5	0.02
03/16/2018	***-**-74IN - MAROLT, PATRICK ACCOUNT #: 00088342598	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 0 Units @102.2000	25.00	1.25	0.00	1.25	0.5	0.01
03/26/2018	***-**-577I - GOLDBERG, BEN ACCOUNT #: LSR108510	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 1 Units @0.0000	0.00	1.46	0.00	1.46	0.5	0.01
03/26/2018	***-**-8658 - SOLOFF, MIRIAM ACCOUNT #: LSR105201	PUTNAM RESEARCH FUND-A BUY 1 Units @0.0000	0.00	2.18	0.00	2.18	0.5	0.01





# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
03/01/2018	***-**-71IN - MASELLI, PIER	ROCH. LIMITED TERM NEW YORK MUNICI	100.00	1.50	0.00	1.50	0.5	0.01
	ACCOUNT #: 03551245112	BUY 0 Units @0.0000						
03/26/2018	***-**-57IR - JONES, CAROLYN	SUNAMERICA FOCUSED ALPHA GROWTH CL	30.00	1.50	0.00	1.50	0.5	0.01
	ACCOUNT #: 00009176166	BUY 0 Units @0.0000						
03/26/2018	***-**-371I - MASELLI, PIER	TEMPLETON CHINA WORLD FUND - CLASS	0.00	2.90	0.00	2.90	0.5	0.01
	ACCOUNT #: LSR009172	BUY 1 Units @0.0000						
03/26/2018	***-**-521O - MCCUNE, ELAINE	TEMPLETON CHINA WORLD FUND - CLASS	0.00	2.57	0.00	2.57	0.5	0.01
	ACCOUNT #: LSR009962	BUY 1 Units @0.0000						
03/26/2018	***-**-316I - MCCUNE, MICHAEL	TEMPLETON CHINA WORLD FUND - CLASS	0.00	2.32	0.00	2.32	0.5	0.01
	ACCOUNT #: LSR009995	BUY 1 Units @0.0000						
03/12/2018	***-**-70IN - PESCHE, SUSAN	THE GROWTH FUND OF AMERICA-A	100.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 00061196180	BUY 2 Units @55.3000						
03/15/2018	***-**-295P - AMSEL, PATTI	THE GROWTH FUND OF AMERICA-A	1,168.93	32.15	0.00	32.15	0.5	0.16
	ACCOUNT #: 00073772424	BUY 21 Units @55.2800						
03/15/2018	***-**-805P - DUDAS, DEAN	THE GROWTH FUND OF AMERICA-A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 07366655519	BUY 1 Units @56.1600						
03/15/2018	***-**-34IR - HARDY, VICTOR	THE GROWTH FUND OF AMERICA-A	75.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 00084794059	BUY 1 Units @56.6000						
03/15/2018	***-**-266I - GLICKMAN, PAMELA	THE INCOME FUND OF AMERICA-529A	66.67	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 04000763706	BUY 3 Units @24.0200						
03/16/2018	***-**-733I - ANDERSEN,	THE INCOME FUND OF AMERICA-529A	25.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: 00085128729	BUY 1 Units @24.1900						
03/15/2018	***-**-266I - GLICKMAN, PAMELA	THE INVESTMENT COMPANY OF AMERICA-5	66.67	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 04000763706	BUY 2 Units @43.3800						
03/15/2018	***-**-325P - COLLINS, GENNY	THE INVESTMENT COMPANY OF AMERICA-5	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00082376045	BUY 1 Units @43.6100						
03/15/2018	***-**-325P - COLLINS, GENNY	THE INVESTMENT COMPANY OF AMERICA-5	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00082376306	BUY 1 Units @43.6100						
03/15/2018	***-**-97TR - GOERS, SHARON	THE INVESTMENT COMPANY OF AMERICA-A	200.00	10.00	0.00	10.00	0.5	0.05
	ACCOUNT #: 00075198612	BUY 5 Units @44.0600						
03/12/2018	***-**-70IN - PESCHE, SUSAN	THE NEW ECONOMY FUND-A	75.00	1.50	0.00	1.50	0.5	0.01
	ACCOUNT #: 00061196180	BUY 1 Units @51.0900						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALEX GEORGIEV (continued)</u></b>								
03/26/2018	***-**-7139 - MAYER AND, JERRY	VICTORY TRAILS BUY 0 Units @0.0000	0.00	11.92	0.00	11.92	0.5	0.06
03/26/2018	***-**-4952 - KRET, JEFFRY	VICTORY TRAILS BUY 0 Units @0.0000	0.00	2.03	0.00	2.03	0.5	0.01
03/26/2018	***-**-5313 - O'NEIL, VIDA	VICTORY TRAILS BUY 0 Units @0.0000	0.00	4.25	0.00	4.25	0.5	0.02
03/26/2018	***-**-86UT - MELEGRITO,	VICTORY TRAILS BUY 0 Units @0.0000	0.00	3.63	0.00	3.63	0.5	0.02
03/26/2018	***-**-638J - BACKHAUS, LINDA	VICTORY TRAILS BUY 0 Units @0.0000	0.00	7.18	0.00	7.18	0.5	0.04
03/26/2018	***-**-1977 - MOCK, H. DAVID	VICTORY TRAILS BUY 0 Units @0.0000	0.00	13.03	0.00	13.03	0.5	0.07
03/26/2018	***-**-19IN - BURKE, MICHAEL	VICTORY TRAILS BUY 0 Units @0.0000	0.00	1.12	0.00	1.12	0.5	0.01
03/26/2018	***-**-2480 - OPEL, JEFFREY	VICTORY TRAILS BUY 0 Units @0.0000	0.00	9.65	0.00	9.65	0.5	0.05
03/26/2018	***-**-89IN - FRYE, NEVERTA	VICTORY TRAILS BUY 0 Units @0.0000	0.00	28.00	0.00	28.00	0.5	0.14
03/26/2018	***-**-3267 - WOOD, MARGARET ACCOUNT #: 87501463	VICTORY TRAILS BUY 0 Units @0.0000	0.00	17.25	0.00	17.25	0.5	0.09
03/26/2018	***-**-6094 - VITALE IV,	VIRTUS MF BUY 0 Units @0.0000	100.00	4.26	0.00	4.26	0.5	0.02
03/26/2018	***-**-0048 - HARRISON, SARAH ACCOUNT #: LSR101702	VOYA GLOBAL REAL ESTATE CLASS A BUY 1 Units @0.0000	0.00	1.85	0.00	1.85	0.5	0.01
03/23/2018	***-**-65CL - LIFEMARK,	VOYA INS TRAILS BUY 1 Units @0.0000	0.00	4.40	0.00	4.40	0.5	0.02
03/12/2018	***-**-70IN - PESCHE, SUSAN ACCOUNT #: 00061196180	WASHINGTON MUTUAL INVESTORS FUND-A BUY 3 Units @48.0200	125.00	2.50	0.00	2.50	0.5	0.01
03/16/2018	***-**-099I - KREJCA, KIM ACCOUNT #: 00061695961	WASHINGTON MUTUAL INVESTORS FUND-A BUY 135 Units @48.2000	6,500.00	243.75	0.00	243.75	0.5	1.22
<b>* ALEX GEORGIEV SUBTOTAL *</b>			<b>\$30,451.81</b>	<b>\$1,376.61</b>	<b>\$0.00</b>	<b>\$1,376.61</b>		<b>\$7.04</b>



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: MICHAEL GAROFALO</u></b>								
03/12/2018	***-**-5144 - WINTER, LAURA	AMERICAN BALANCED FUND-C	200.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 00084354308	BUY 7 Units @27.2600						
03/26/2018	***-**-566I - DURKIN, FBO MRS	CAPITAL APPRECIATION A	0.00	5.45	0.00	5.45	0.5	0.03
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
03/15/2018	***-**-72IR - SHAW, SUSAN	EUROPACIFIC GROWTH FUND-A	50.00	1.38	0.00	1.38	0.5	0.01
	ACCOUNT #: 00082013491	BUY 1 Units @60.3200						
03/26/2018	***-**-228I - BUCHANAN,	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	5.90	0.00	5.90	0.5	0.03
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
03/26/2018	***-**-400O - ROMIG, MARK	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	8.53	0.00	8.53	0.5	0.04
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
03/26/2018	***-**-228I - BUCHANAN,	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	1.97	0.00	1.97	0.5	0.01
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
03/26/2018	***-**-400O - ROMIG, MARK	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	2.84	0.00	2.84	0.5	0.01
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
03/26/2018	***-**-276I - GASSWINT, SUSAN	FRANKLIN INCOME FUND CLASS C	0.00	27.61	0.00	27.61	0.5	0.14
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
03/12/2018	***-**-5144 - WINTER, LAURA	FUNDAMENTAL INVESTORS-C	200.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 00084354308	BUY 3 Units @64.4800						
03/26/2018	***-**-566I - DURKIN, FBO MRS	GLOBAL FUND A	0.00	9.08	0.00	9.08	0.5	0.05
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
03/21/2018	***-**-837I - KUNKEL, JANET	HARTFORD BALANCED INCOME FD CL C	14,700.00	138.00	0.00	138.00	0.5	0.69
	ACCOUNT #: LSR012410	BUY 1050 Units @14.0000						
03/26/2018	***-**-934I - BEAVERSON, MARY	HARTFORD BALANCED INCOME FD CL C	0.00	9.79	0.00	9.79	0.5	0.05
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
03/26/2018	***-**-184I - BEAVERSON, ROYCE	HARTFORD BALANCED INCOME FD CL C	0.00	12.76	0.00	12.76	0.5	0.06
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
03/26/2018	***-**-566I - DURKIN, FBO MRS	HARTFORD BALANCED INCOME FD CL C	0.00	51.05	0.00	51.05	0.5	0.26
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
03/26/2018	***-**-859X - FREY, RYAN	HARTFORD BALANCED INCOME FD CL C	0.00	62.83	0.00	62.83	0.5	0.31
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
03/26/2018	***-**-630X - WEAVER, NICOLE	HARTFORD BALANCED INCOME FD CL C	0.00	58.76	0.00	58.76	0.5	0.29
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: MICHAEL GAROFALO (continued) </u></b>								
03/26/2018	***-**-260X - ILGENFRITZ, CORY	HARTFORD BALANCED INCOME FD CL C	0.00	20.69	0.00	20.69	0.5	0.10
	ACCOUNT #: LSR011554	BUY 1 Units @0.0000						
03/26/2018	***-**-181X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	20.52	0.00	20.52	0.5	0.10
	ACCOUNT #: LSR011556	BUY 1 Units @0.0000						
03/26/2018	***-**-055X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	16.26	0.00	16.26	0.5	0.08
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
03/26/2018	***-**-352I - KUNKEL, RAY	HARTFORD BALANCED INCOME FD CL C	0.00	12.50	0.00	12.50	0.5	0.06
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
03/26/2018	***-**-21IR - ILGENFRITZ,	HARTFORD CHECKS AND BALANCED FUND C	0.00	1.02	0.00	1.02	0.5	0.01
	ACCOUNT #: LSR011824	BUY 1 Units @0.0000						
03/26/2018	***-**-859X - FREY, RYAN	HARTFORD CORE EQUITY CLASS C	0.00	52.89	0.00	52.89	0.5	0.26
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
03/26/2018	***-**-630X - WEAVER, NICOLE	HARTFORD CORE EQUITY CLASS C	0.00	61.14	0.00	61.14	0.5	0.31
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
03/26/2018	***-**-2600 - ILGENFRITZ, TOD	HARTFORD CORE EQUITY CLASS C	0.00	19.57	0.00	19.57	0.5	0.10
	ACCOUNT #: LSR011549	BUY 1 Units @0.0000						
03/26/2018	***-**-373X - MANGANELLO, TIRA	HARTFORD CORE EQUITY CLASS C	0.00	18.73	0.00	18.73	0.5	0.09
	ACCOUNT #: LSR011551	BUY 1 Units @0.0000						
03/26/2018	***-**-352I - KUNKEL, RAY	HARTFORD CORE EQUITY CLASS C	0.00	14.03	0.00	14.03	0.5	0.07
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
03/21/2018	***-**-837I - KUNKEL, JANET	HARTFORD SCHRODERS US SM/MID OPPOR	14,700.00	138.00	0.00	138.00	0.5	0.69
	ACCOUNT #: LSR012410	BUY 1010 Units @14.5500						
03/26/2018	***-**-566I - DURKIN, FBO MRS	MAIN STREET FUND A	0.00	10.61	0.00	10.61	0.5	0.05
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
03/21/2018	***-**-352I - KUNKEL, RAY	MFS TOTAL RETURN FUND-C	15,000.01	141.00	0.00	141.00	0.5	0.71
	ACCOUNT #: LSR011585	BUY 792 Units @18.9400						
03/21/2018	***-**-837I - KUNKEL, JANET	MFS TOTAL RETURN FUND-C	19,600.00	187.00	0.00	187.00	0.5	0.94
	ACCOUNT #: LSR012410	BUY 1035 Units @18.9400						
03/26/2018	***-**-162I - ANDERSON, THOMAS	PIMCO DIVERSIFIED INCOME FND CL A-N	0.00	6.00	0.00	6.00	0.5	0.03
	ACCOUNT #: LSR012358	BUY 1 Units @0.0000						
03/26/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	0.00	38.29	0.00	38.29	0.5	0.19
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: MICHAEL GAROFALO (continued)</u> </b>								
03/26/2018	***-**-831I - REED, JACK	PIMCO INCOME FUND CL C	0.00	8.45	0.00	8.45	0.5	0.04
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
03/26/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	0.00	14.73	0.00	14.73	0.5	0.07
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
03/26/2018	***-**-831I - REED, JACK	PIMCO INCOME FUND CL C	0.00	3.25	0.00	3.25	0.5	0.02
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
03/26/2018	***-**-992I - SMITH, FBO MR	PUTNAM EQUITY INCOME FUND-C	0.00	23.52	0.00	23.52	0.5	0.12
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
03/26/2018	***-**-566I - DURKIN, FBO MRS	QUEST OPPORTUNITY VALUE A	0.00	6.33	0.00	6.33	0.5	0.03
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
03/15/2018	***-**-32IR - SHAW, WILLIAM	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.38	0.00	1.38	0.5	0.01
	ACCOUNT #: 00082011931	BUY 1 Units @43.0400						
	<b>* MICHAEL GAROFALO SUBTOTAL *</b>		<b>\$64,500.01</b>	<b>\$1,215.86</b>	<b>\$0.00</b>	<b>\$1,215.86</b>		<b>\$6.08</b>
<b> <u>BROKER: ARCHIBALD MCMICHAEL</u> </b>								
03/26/2018	***-**-326I - SPASIC, KELLY	FRANKLIN INCOME FUND CLASS A	0.00	8.27	0.00	8.27	0.5	0.04
	ACCOUNT #: LSR008940	BUY 1 Units @0.0000						
03/26/2018	***-**-59IN - FACHET,	FRANKLIN INCOME FUND CLASS A	0.00	6.41	0.00	6.41	0.5	0.03
	ACCOUNT #: LSR009202	BUY 1 Units @0.0000						
03/26/2018	***-**-74IN - MINA, JUDY	FRANKLIN INCOME FUND CLASS A	0.00	3.05	0.00	3.05	0.5	0.02
	ACCOUNT #: LSR010088	BUY 1 Units @0.0000						
03/26/2018	***-**-62IN - ZIMMERMAN,	FRANKLIN INCOME FUND CLASS A	0.00	8.17	0.00	8.17	0.5	0.04
	ACCOUNT #: LSR010153	BUY 1 Units @0.0000						
03/26/2018	***-**-26IN - SPASIC, KELLY	FRK AGGRESSIVE GRWTH - CL A	0.00	5.82	0.00	5.82	0.5	0.03
	ACCOUNT #: LSR010097	BUY 1 Units @0.0000						
03/26/2018	***-**-52IN - GOODHART,	GOLDMAN SACHS DYNAMIC ALLOCATION A	0.00	2.90	0.00	2.90	0.5	0.01
	ACCOUNT #: LSR010182	BUY 1 Units @0.0000						
03/26/2018	***-**-978J - OVRUTSKY, VICTOR	OPPENHEIMERSTEELPATH MLP SELECT 40	0.00	4.75	0.00	4.75	0.5	0.02
	ACCOUNT #: LSR008869	BUY 1 Units @0.0000						
03/26/2018	***-**-62IN - ZIMMERMAN,	PIMCO STOCKSPUS CLASS A-NFS	0.00	8.91	0.00	8.91	0.5	0.04
	ACCOUNT #: LSR010153	BUY 1 Units @0.0000						
	<b>* ARCHIBALD MCMICHAEL SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$48.28</b>	<b>\$0.00</b>	<b>\$48.28</b>		<b>\$0.23</b>



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: EDDIE WILLIAMS</u></b>								
03/15/2018	***-**-02UT - POTTS, JASON	AMERICAN FUNDS GROWTH PORTFOLIO A	100.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 00087584548	BUY 5 Units @19.8700						
03/21/2018	***-**-17IN - LEAK, ROBERT	ING VA TRAILS 380789818	0.00	298.59	0.00	298.59	0.5	1.49
	ACCOUNT #: I085359-LM	BUY 0 Units @0.0000						
03/15/2018	***-**-2011 - WILLIAMS, LARRY	THE GROWTH FUND OF AMERICA-A	150.00	7.50	0.00	7.50	0.5	0.04
	ACCOUNT #: 04000491691	BUY 3 Units @56.6000						
03/15/2018	***-**-251I - POTTS, JOHN	THE INVESTMENT COMPANY OF AMERICA-A	200.00	10.00	0.00	10.00	0.5	0.05
	ACCOUNT #: 00084603939	BUY 5 Units @44.0600						
		<b>* EDDIE WILLIAMS SUBTOTAL *</b>	<b>\$450.00</b>	<b>\$319.84</b>	<b>\$0.00</b>	<b>\$319.84</b>		<b>\$1.60</b>
<b><u>BROKER: DRAKE AGUILLARD</u></b>								
03/21/2018	***-**-154X - STREET, ROSALIND	AMERICAN BALANCED FUND-A	8,200.00	392.00	0.00	392.00	0.5	1.96
	ACCOUNT #: LSR012411	BUY 287 Units @28.6200						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	FRANKLIN BALANCE SHEET FUND CLASS A	0.00	5.43	0.00	5.43	0.5	0.03
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	FRANKLIN BIOTECHNOLOGY DISCOVERY FU	0.00	5.62	0.00	5.62	0.5	0.03
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	FRANKLIN CONV SEC FUND - CLASS A	0.00	5.54	0.00	5.54	0.5	0.03
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-800I - ORTEGO, RONALD	FRANKLIN CONVERTIBLE SECURITIES CLA	0.00	43.23	0.00	43.23	0.5	0.22
	ACCOUNT #: LSR010431	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	FRANKLIN DYNATECH FUND CLASS A	0.00	7.39	0.00	7.39	0.5	0.04
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-68IR - CRAWFORD, KEVIN	FRANKLIN GROWTH FUND CLASS A	0.00	1.91	0.00	1.91	0.5	0.01
	ACCOUNT #: LSR012287	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	FRANKLIN GROWTH FUND CLASS A	0.00	6.97	0.00	6.97	0.5	0.03
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-68IN - CRAWFORD, KEVIN	FRANKLIN INCOME FUND CLASS A	0.00	1.14	0.00	1.14	0.5	0.01
	ACCOUNT #: LSR012288	BUY 1 Units @0.0000						
03/26/2018	***-**-800I - ORTEGO, RONALD	FRANKLIN INCOME FUND CLASS C	0.00	19.60	0.00	19.60	0.5	0.10
	ACCOUNT #: LSR010431	BUY 1 Units @0.0000						
03/26/2018	***-**-516I - BERTHELOT,	FRANKLIN INCOME FUND CLASS C	0.00	1.47	0.00	1.47	0.5	0.01
	ACCOUNT #: LSR011181	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DRAKE AGUILLARD (continued)</u></b>								
03/26/2018	***-**-31IN - BERTHELOT, STARR	FRANKLIN INCOME FUND CLASS C	0.00	1.67	0.00	1.67	0.5	0.01
	ACCOUNT #: LSR011183	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	FRANKLIN REAL RETURN FUND - CL A	0.00	5.23	0.00	5.23	0.5	0.03
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-68IR - CRAWFORD, KEVIN	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	1.78	0.00	1.78	0.5	0.01
	ACCOUNT #: LSR012287	BUY 1 Units @0.0000						
03/26/2018	***-**-68IR - CRAWFORD, KEVIN	FRANKLIN SMALL-MID CAP GROWTH FUND	0.00	1.78	0.00	1.78	0.5	0.01
	ACCOUNT #: LSR012287	BUY 1 Units @0.0000						
03/26/2018	***-**-800I - ORTEGO, RONALD	FRANKLIN SMALL-MID CAP GROWTH FUND	0.00	34.47	0.00	34.47	0.5	0.17
	ACCOUNT #: LSR010431	BUY 1 Units @0.0000						
03/26/2018	***-**-800I - ORTEGO, RONALD	FRANKLIN STRATEGIC INCOME C	0.00	19.81	0.00	19.81	0.5	0.10
	ACCOUNT #: LSR010431	BUY 1 Units @0.0000						
03/26/2018	***-**-68IR - CRAWFORD, KEVIN	FRANKLIN TEMP 88019R484	0.00	1.29	0.00	1.29	0.5	0.01
	ACCOUNT #: LSR012287	BUY 1 Units @0.0000						
03/26/2018	***-**-516I - BERTHELOT,	FRANKLIN TOTAL RETURN FUND - CLASS	0.00	1.26	0.00	1.26	0.5	0.01
	ACCOUNT #: LSR011181	BUY 1 Units @0.0000						
03/26/2018	***-**-800I - ORTEGO, RONALD	FRANKLIN U.S.GOV'T FD CLASS C	0.00	13.92	0.00	13.92	0.5	0.07
	ACCOUNT #: LSR010431	BUY 1 Units @0.0000						
03/26/2018	***-**-31IN - BERTHELOT, STARR	FRANKLIN U.S.GOV'T FD CLASS C	0.00	1.80	0.00	1.80	0.5	0.01
	ACCOUNT #: LSR011183	BUY 1 Units @0.0000						
03/26/2018	***-**-800I - ORTEGO, RONALD	FRANKLIN UTILITIES FUND - CLASS C	0.00	19.69	0.00	19.69	0.5	0.10
	ACCOUNT #: LSR010431	BUY 1 Units @0.0000						
03/26/2018	***-**-516I - BERTHELOT,	FRK ADJ US GOVT SEC FUND - CLS C	0.00	1.73	0.00	1.73	0.5	0.01
	ACCOUNT #: LSR011181	BUY 1 Units @0.0000						
03/26/2018	***-**-800I - ORTEGO, RONALD	FRK AGE HIGH INC FND - CL C	0.00	19.19	0.00	19.19	0.5	0.10
	ACCOUNT #: LSR010431	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	FRK EQUITY INC FND - CL A	0.00	8.20	0.00	8.20	0.5	0.04
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	FRK GOLD FUND - CLASS A	0.00	3.05	0.00	3.05	0.5	0.02
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-800I - ORTEGO, RONALD	FRK GRWTH FND - CL C	0.00	9.76	0.00	9.76	0.5	0.05
	ACCOUNT #: LSR010431	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: DRAKE AGUILLARD (continued)</u></b>								
03/26/2018	***-**-68IR - CRAWFORD, KEVIN	FRK REAL EST SEC FND - CL A	0.00	1.17	0.00	1.17	0.5	0.01
	ACCOUNT #: LSR012287	BUY 1 Units @0.0000						
03/26/2018	***-**-800I - ORTEGO, RONALD	FRK REAL ESTATE SEC CL C	0.00	25.25	0.00	25.25	0.5	0.13
	ACCOUNT #: LSR010431	BUY 1 Units @0.0000						
03/26/2018	***-**-800I - ORTEGO, RONALD	FRK RISING DIV FND - CL C	0.00	37.05	0.00	37.05	0.5	0.19
	ACCOUNT #: LSR010431	BUY 1 Units @0.0000						
03/26/2018	***-**-516I - BERTHELOT,	FRK RISING DIV FND - CL C	0.00	2.67	0.00	2.67	0.5	0.01
	ACCOUNT #: LSR011181	BUY 1 Units @0.0000						
03/26/2018	***-**-31IN - BERTHELOT, STARR	FRK RISING DIV FND - CL C	0.00	3.01	0.00	3.01	0.5	0.02
	ACCOUNT #: LSR011183	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	FRK STRAT INC FND - CL A	0.00	9.35	0.00	9.35	0.5	0.05
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	FRK TOTAL RETURN FND - CL A	0.00	4.95	0.00	4.95	0.5	0.02
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	FRK VAL FND - CL A	0.00	6.32	0.00	6.32	0.5	0.03
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	FT HARD CURRENCY CL A	0.00	2.81	0.00	2.81	0.5	0.01
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-800I - ORTEGO, RONALD	MUTUAL DISC FND - CL C	0.00	27.33	0.00	27.33	0.5	0.14
	ACCOUNT #: LSR010431	BUY 1 Units @0.0000						
03/26/2018	***-**-31IN - BERTHELOT, STARR	MUTUAL DISC FND - CL C	0.00	2.63	0.00	2.63	0.5	0.01
	ACCOUNT #: LSR011183	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	MUTUAL EURO FND - CL A	0.00	7.59	0.00	7.59	0.5	0.04
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	MUTUAL FINL SRVCS FND - CL A	0.00	6.22	0.00	6.22	0.5	0.03
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	8.28	0.00	8.28	0.5	0.04
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-68IR - CRAWFORD, KEVIN	MUTUAL SERIES QUALIFIED CLASS A	0.00	1.47	0.00	1.47	0.5	0.01
	ACCOUNT #: LSR012287	BUY 1 Units @0.0000						
03/26/2018	***-**-68IN - CRAWFORD, KEVIN	MUTUAL SERIES SHARES CLASS A	0.00	1.60	0.00	1.60	0.5	0.01
	ACCOUNT #: LSR012288	BUY 1 Units @0.0000						





# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: DRAKE AGUILLARD (continued)</u> </b>								
03/26/2018	***-**-868I - CRAWFORD, KEVIN	MUTUAL SERIES SHARES CLASS A	0.00	5.51	0.00	5.51	0.5	0.03
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-68IN - CRAWFORD, KEVIN	TEMP FRGN FND - CL A	0.00	1.45	0.00	1.45	0.5	0.01
	ACCOUNT #: LSR012288	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	TEMP FRGN FND - CL A	0.00	6.49	0.00	6.49	0.5	0.03
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-68IR - CRAWFORD, KEVIN	TEMP GLOBAL BOND FND - CL A	0.00	1.18	0.00	1.18	0.5	0.01
	ACCOUNT #: LSR012287	BUY 1 Units @0.0000						
03/26/2018	***-**-68IN - CRAWFORD, KEVIN	TEMP GLOBAL BOND FND - CL A	0.00	1.58	0.00	1.58	0.5	0.01
	ACCOUNT #: LSR012288	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	TEMP GLOBAL BOND FND - CL A	0.00	8.59	0.00	8.59	0.5	0.04
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-68IN - CRAWFORD, KEVIN	TEMPLETON CHINA WORLD FUND - CLASS	0.00	1.29	0.00	1.29	0.5	0.01
	ACCOUNT #: LSR012288	BUY 1 Units @0.0000						
03/26/2018	***-**-868I - CRAWFORD, KEVIN	TEMPLETON CHINA WORLD FUND - CLASS	0.00	7.39	0.00	7.39	0.5	0.04
	ACCOUNT #: LSR012289	BUY 1 Units @0.0000						
03/26/2018	***-**-800I - ORTEGO, RONALD	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	19.93	0.00	19.93	0.5	0.10
	ACCOUNT #: LSR010431	BUY 1 Units @0.0000						
03/26/2018	***-**-516I - BERTHELOT,	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	1.34	0.00	1.34	0.5	0.01
	ACCOUNT #: LSR011181	BUY 1 Units @0.0000						
03/26/2018	***-**-31IN - BERTHELOT, STARR	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	1.53	0.00	1.53	0.5	0.01
	ACCOUNT #: LSR011183	BUY 1 Units @0.0000						
03/26/2018	***-**-68IR - CRAWFORD, KEVIN	TEMPLETON GROWTH FUND CLASS A	0.00	1.34	0.00	1.34	0.5	0.01
	ACCOUNT #: LSR012287	BUY 1 Units @0.0000						
<b> * DRAKE AGUILLARD SUBTOTAL * </b>			<b> \$8,200.00 </b>	<b> \$840.25 </b>	<b> \$0.00 </b>	<b> \$840.25 </b>		<b> \$4.28 </b>
<b> <u>BROKER: WESLEY ROWLANDS</u> </b>								
02/02/2018	***-**-7316 - FISHER, THOMAS	ALLIANZ VISION VA	199,999.58	11,999.97	0.00	11,999.97	0.5	60.00
		BUY 1 Units @215000.000						
02/02/2018	***-**-7316 - FISHER, THOMAS	STRUCTURED CAPITAL STRATEGIES PLUS	187,102.89	11,226.17	0.00	11,226.17	0.5	56.13
		BUY 1 Units @200000.000						
<b> * WESLEY ROWLANDS SUBTOTAL * </b>			<b> \$387,102.47 </b>	<b> \$23,226.14 </b>	<b> \$0.00 </b>	<b> \$23,226.14 </b>		<b> \$116.13 </b>



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: SAMUEL GALATIOTO</u></b>								
03/26/2018	***-**-31IR - PLATT, ROGER	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	2.70	0.00	2.70	0.5	0.01
	ACCOUNT #: LSR011466	BUY 1 Units @0.0000						
03/26/2018	***-**-31IO - PLATT, ROGER	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	1.49	0.00	1.49	0.5	0.01
	ACCOUNT #: LSR011467	BUY 1 Units @0.0000						
03/26/2018	***-**-31IR - PLATT, ROGER	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	1.60	0.00	1.60	0.5	0.01
	ACCOUNT #: LSR011466	BUY 1 Units @0.0000						
		<b>* SAMUEL GALATIOTO SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$5.79</b>	<b>\$0.00</b>	<b>\$5.79</b>		<b>\$0.03</b>
<b><u>BROKER: LARRY SKARSET</u></b>								
03/26/2018	***-**-17TR - KAY TTEE,	FRANKLIN INCOME FUND CLASS C	0.00	5.19	0.00	5.19	0.5	0.03
	ACCOUNT #: LSR010113	BUY 1 Units @0.0000						
03/26/2018	***-**-498I - SKARSET, LARRY	TEMPLETON GROWTH FUND CLASS A	0.00	1.67	0.00	1.67	0.5	0.01
	ACCOUNT #: LSF000259	BUY 1 Units @0.0000						
03/26/2018	***-**-498I - SKARSET, LARRY	THE HARTFORD CAPITAL APPRECIATION F	0.00	17.60	0.00	17.60	0.5	0.09
	ACCOUNT #: LSF000259	BUY 1 Units @0.0000						
03/26/2018	***-**-498I - SKARSET, LARRY	THE HARTFORD MID CAP FUND-A	0.00	8.55	0.00	8.55	0.5	0.04
	ACCOUNT #: LSF000259	BUY 1 Units @0.0000						
03/26/2018	***-**-50TR - HULEGAARD TTEE,	THE HARTFORD MID CAP FUND-A	0.00	3.37	0.00	3.37	0.5	0.02
	ACCOUNT #: LSR010112	BUY 1 Units @0.0000						
		<b>* LARRY SKARSET SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$36.38</b>	<b>\$0.00</b>	<b>\$36.38</b>		<b>\$0.19</b>
<b><u>BROKER: ROBERT ADAMS</u></b>								
03/16/2018	***-**-76IN - KELLY, JOHN	FA 529 PORT 2019-CL A	100.00	2.75	0.00	2.75	0.5	0.01
	ACCOUNT #: 01460724956	BUY 5 Units @21.2000						
03/15/2018	***-**-05CL - ADAMS, CLIENTS	FA 529 PORT 2022-CL A	150.00	5.63	0.00	5.63	0.5	0.03
	ACCOUNT #: 01460802760	BUY 5 Units @28.1700						
03/16/2018	***-**-76IN - KELLY, JOHN	FA 529 PORT 2022-CL A	100.00	2.75	0.00	2.75	0.5	0.01
	ACCOUNT #: 01460724972	BUY 4 Units @27.8700						
		<b>* ROBERT ADAMS SUBTOTAL *</b>	<b>\$350.00</b>	<b>\$11.13</b>	<b>\$0.00</b>	<b>\$11.13</b>		<b>\$0.05</b>



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: ALPHONSO HOUSTON</u></b>								
03/26/2018	***-**-53IN - HOUSTON, AL	FRANKLIN INCOME FUND CLASS A	0.00	10.43	0.00	10.43	0.5	0.05
	ACCOUNT #: LSF000264	BUY 1 Units @0.0000						
		<b>* ALPHONSO HOUSTON SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$10.43</b>	<b>\$0.00</b>	<b>\$10.43</b>		<b>\$0.05</b>
<b><u>BROKER: JOSEPH STURGES</u></b>								
03/26/2018	***-**-851I - CARROLL, ROBERT	PIMCO TOTAL RETURN FND IV CLASS A-N	0.00	1.69	0.00	1.69	0.5	0.01
	ACCOUNT #: LSR012127	BUY 1 Units @0.0000						
		<b>* JOSEPH STURGES SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$1.69</b>	<b>\$0.00</b>	<b>\$1.69</b>		<b>\$0.01</b>
<b><u>BROKER: JON HEDDLESON</u></b>								
03/15/2018	***-**-48IN - COOPER, BRIANNE	AMERICAN BALANCED FUND-A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00086664615	BUY 1 Units @28.8500						
03/16/2018	***-**-88IN - HAYES, JOSHUA	AMERICAN FUNDS 2050 TARGET DATE-A	150.00	7.50	0.00	7.50	0.5	0.04
	ACCOUNT #: 00086747463	BUY 9 Units @16.5700						
03/13/2018	***-**-99IN - ENDERLE, ANGELA	AMERICAN GLOBAL BALANCED CLASS A	100.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 00085935182	BUY 3 Units @34.5500						
03/15/2018	***-**-14CL - HEDDELSO, JON	AMERICAN GLOBAL BALANCED CLASS A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00085916338	BUY 1 Units @34.9700						
03/15/2018	***-**-05IN - LEIKALA, JOSH	AMERICAN GLOBAL BALANCED CLASS A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00085956564	BUY 1 Units @34.9700						
03/15/2018	***-**-43IN - SCHNEIDER, JEFF	CAPITAL INCOME BUILDER-529A	66.00	2.81	0.00	2.81	0.5	0.01
	ACCOUNT #: 00083718452	BUY 1 Units @64.7500						
03/13/2018	***-**-39IN - ENDERLE, CHAD	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 00085935118	BUY 1 Units @65.2300						
03/13/2018	***-**-75IN - WELLS, CAROL	CAPITAL INCOME BUILDER-A	75.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 00953303928	BUY 1 Units @66.0900						
03/15/2018	***-**-77IN - CULVER, VICTORIA	CAPITAL INCOME BUILDER-A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00085376656	BUY 1 Units @65.2800						
03/13/2018	***-**-45IN - INGERSOLL, LORNA	CAPITAL WORLD GROWTH AND INCOME FUN	57.69	2.45	0.00	2.45	0.5	0.01
	ACCOUNT #: 00075090099	BUY 1 Units @55.8100						
03/13/2018	***-**-45IN - INGERSOLL, LORNA	CAPITAL WORLD GROWTH AND INCOME FUN	34.62	1.47	0.00	1.47	0.5	0.01
	ACCOUNT #: 00075090099	BUY 1 Units @55.8100						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JON HEDDLESON (continued)</u></b>								
03/13/2018	***-**-75IN - WELLS, CAROL	CAPITAL WORLD GROWTH AND INCOME FUN	75.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 00977979325	BUY 1 Units @56.2500						
03/15/2018	***-**-77IN - CULVER, VICTORIA	CAPITAL WORLD GROWTH AND INCOME FUN	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00085376656	BUY 1 Units @55.9600						
03/15/2018	***-**-43IN - SCHNEIDER,	CAPITAL WORLD GROWTH AND INCOME-529	66.00	2.81	0.00	2.81	0.5	0.01
	ACCOUNT #: 00083718452	BUY 1 Units @55.3400						
03/15/2018	***-**-55IN - CZECH, MANDY	EUROPACIFIC GROWTH FUND-529A	75.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 00084938764	BUY 1 Units @61.0400						
03/13/2018	***-**-07IN - WARD, DANIEL	EUROPACIFIC GROWTH FUND-A	27.00	1.15	0.00	1.15	0.5	0.01
	ACCOUNT #: 00075090142	BUY 0 Units @61.0400						
03/13/2018	***-**-07IN - WARD, DANIEL	EUROPACIFIC GROWTH FUND-A	27.00	1.15	0.00	1.15	0.5	0.01
	ACCOUNT #: 00075090142	BUY 0 Units @61.0400						
03/15/2018	***-**-27IN - DIETERICH, DONNA	FUNDAMENTAL INVESTORS-529A	25.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: 00085649975	BUY 0 Units @67.3400						
03/15/2018	***-**-27IN - DIETERICH, DONNA	FUNDAMENTAL INVESTORS-529A	25.00	1.06	0.00	1.06	0.5	0.01
	ACCOUNT #: 00085650042	BUY 0 Units @67.3400						
03/15/2018	***-**-27IN - DIETERICH, DONNA	GLOBAL BALANCED FUND-529A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00085649975	BUY 1 Units @34.6600						
03/15/2018	***-**-27IN - DIETERICH, DONNA	GLOBAL BALANCED FUND-529A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00085650042	BUY 1 Units @34.6600						
03/02/2018	***-**-83IN - GRIMM, LISA	GLOBAL OPPORTUNITIES A	25.00	1.19	0.00	1.19	0.5	0.01
	ACCOUNT #: 02155916636	BUY 0 Units @0.0000						
03/14/2018	***-**-83IN - GRIMM, LISA	GLOBAL OPPORTUNITIES A	25.00	1.19	0.00	1.19	0.5	0.01
	ACCOUNT #: 02155916636	BUY 0 Units @0.0000						
03/15/2018	***-**-27IN - DIETERICH, DONNA	NEW PERSPECTIVE FUND-529A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00085649975	BUY 1 Units @47.6800						
03/15/2018	***-**-27IN - DIETERICH, DONNA	NEW PERSPECTIVE FUND-529A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00085650042	BUY 1 Units @47.6800						
03/13/2018	***-**-39IN - ENDERLE, CHAD	NEW PERSPECTIVE FUND-A	100.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 00085935118	BUY 2 Units @48.0800						
03/15/2018	***-**-43IN - SCHNEIDER,	NEW WORLD FUND-529A	68.00	2.89	0.00	2.89	0.5	0.01
	ACCOUNT #: 00083718452	BUY 1 Units @72.5800						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	--------------------	-------------------	------	----------------------

## OVERRIDE TRANSACTIONS (continued)

### BROKER: JON HEDDLESON (continued)

03/13/2018	***-**-99IN - ENDERLE, ANGELA	NEW WORLD FUND-A	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 00085935182	BUY 1 Units @72.9000						
03/15/2018	***-**-14CL - HEDDELSO	NEW WORLD FUND-A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00085916338	BUY 0 Units @73.8000						
03/26/2018	***-**-79IN - HARTLEY,	PIM 693390379	22.00	1.05	0.00	1.05	0.5	0.01
	ACCOUNT #: 04006018289	BUY 0 Units @0.0000						
03/15/2018	***-**-48IN - COOPER, BRIANNE	SMALLCAP WORLD FUND-A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00086664615	BUY 0 Units @61.5200						
03/13/2018	***-**-45IN - INGERSOLL, LORNA	THE GROWTH FUND OF AMERICA-A	57.69	2.45	0.00	2.45	0.5	0.01
	ACCOUNT #: 00075090099	BUY 1 Units @56.3400						
03/13/2018	***-**-45IN - INGERSOLL, LORNA	THE GROWTH FUND OF AMERICA-A	34.61	1.47	0.00	1.47	0.5	0.01
	ACCOUNT #: 00075090099	BUY 1 Units @56.3400						
03/13/2018	***-**-99IN - ENDERLE, ANGELA	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 00085935182	BUY 1 Units @43.8500						
03/15/2018	***-**-27IN - DIETERICH, DONNA	THE NEW ECONOMY FUND-529A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00085649975	BUY 1 Units @51.4500						
03/15/2018	***-**-27IN - DIETERICH, DONNA	THE NEW ECONOMY FUND-529A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00085650042	BUY 1 Units @51.4500						
03/13/2018	***-**-39IN - ENDERLE, CHAD	WASHINGTON MUTUAL INVESTORS FUND-A	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 00085935118	BUY 1 Units @48.7400						
03/15/2018	***-**-14CL - HEDDELSO	WASHINGTON MUTUAL INVESTORS FUND-A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00085916338	BUY 1 Units @48.9800						
* JON HEDDLESON SUBTOTAL *			\$1,885.61	\$83.25	\$0.00	\$83.25		\$0.46

### BROKER: TIMOTHY STATES

03/21/2018	***-**-702I - GRAY, LEON	CEMEX S A B DE C V SPONSOR ADR NEW	538.39	18.42	0.00	18.42	0.5	0.09
	ACCOUNT #: LSR010621	SELL 79 Units @6.8150						
03/20/2018	***-**-407I - LEWIS, FBO	FACEBOOK INC COM USD0.000006 CL A	22,631.40	182.30	0.00	182.30	0.5	0.91
	ACCOUNT #: LSR010564	SELL 135 Units @167.6400						
03/20/2018	***-**-837I - LEWIS, FBO V	FACEBOOK INC COM USD0.000006 CL A	16,747.00	118.14	0.00	118.14	0.5	0.59
	ACCOUNT #: LSR010565	SELL 100 Units @167.4700						
03/20/2018	***-**-837I - LEWIS, FBO V	FACEBOOK INC COM USD0.000006 CL A	14,234.10	113.16	0.00	113.16	0.5	0.57
	ACCOUNT #: LSR010565	SELL 85 Units @167.4600						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: TIMOTHY STATES (continued)</u></b>								
03/20/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	FACEBOOK INC COM USD0.000006 CL A SELL 165 Units @167.5900	27,652.35	181.70	0.00	181.70	0.5	0.91
03/20/2018	***-**-587I - KEARNEY, FBO MS ACCOUNT #: LSR010590	FACEBOOK INC COM USD0.000006 CL A SELL 115 Units @165.8500	19,072.75	182.70	0.00	182.70	0.5	0.91
03/20/2018	***-**-58IN - LUTZ, SAMUEL ACCOUNT #: LSR010594	FACEBOOK INC COM USD0.000006 CL A SELL 158 Units @167.1400	26,408.12	231.84	0.00	231.84	0.5	1.16
03/20/2018	***-**-735I - ANTOINE, FBO MS ACCOUNT #: LSR010596	FACEBOOK INC COM USD0.000006 CL A SELL 55 Units @165.4300	9,098.65	133.90	0.00	133.90	0.5	0.67
03/20/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	FACEBOOK INC COM USD0.000006 CL A SELL 125 Units @167.3800	20,922.50	207.50	0.00	207.50	0.5	1.04
03/20/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	FACEBOOK INC COM USD0.000006 CL A SELL 92 Units @167.5150	15,411.38	159.16	0.00	159.16	0.5	0.80
03/20/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	FACEBOOK INC COM USD0.000006 CL A SELL 122 Units @166.9500	20,367.90	182.56	0.00	182.56	0.5	0.91
03/20/2018	***-**-09IO - BRITT, EDWINA ACCOUNT #: LSR011800	FACEBOOK INC COM USD0.000006 CL A SELL 100 Units @164.4900	16,449.00	133.00	0.00	133.00	0.5	0.67
03/22/2018	***-**-233J - SCALES, DARYL ACCOUNT #: LSR011378	FACEBOOK INC COM USD0.000006 CL A BUY 100 Units @164.7460	16,474.60	118.00	0.00	118.00	0.5	0.59
03/20/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	GLOBAL OPPORTUNITIES A BUY 124 Units @80.8600	10,000.04	457.00	0.00	457.00	0.5	2.29
03/26/2018	***-**-437K - SIGUE, JOCELYN ACCOUNT #: LSR012251	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	2.16	0.00	2.16	0.5	0.01
03/26/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	1.07	0.00	1.07	0.5	0.01
03/26/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	1.39	0.00	1.39	0.5	0.01
03/26/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	1.18	0.00	1.18	0.5	0.01
03/26/2018	***-**-58TR - HOUSING ACCOUNT #: LSR010838	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	2.39	0.00	2.39	0.5	0.01
03/26/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	5.27	0.00	5.27	0.5	0.03



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>Override Transactions (continued)</b>								
<b><u>BROKER: TIMOTHY STATES (continued)</u></b>								
03/20/2018	***-**-09IO - BRITT, EDWINA	NEKTAR THERAPEUTICS	16,221.60	132.00	0.00	132.00	0.5	0.66
	ACCOUNT #: LSR011800	BUY 150 Units @108.1440						
03/21/2018	***-**-407I - LEWIS, FBO	NEKTAR THERAPEUTICS	10,799.00	133.00	0.00	133.00	0.5	0.67
	ACCOUNT #: LSR010564	BUY 100 Units @107.9900						
03/21/2018	***-**-837I - LEWIS, FBO V	NEKTAR THERAPEUTICS	10,819.70	133.00	0.00	133.00	0.5	0.67
	ACCOUNT #: LSR010565	BUY 100 Units @108.1970						
03/21/2018	***-**-826I - CLARK, FBO MS	NEKTAR THERAPEUTICS	10,819.10	133.00	0.00	133.00	0.5	0.67
	ACCOUNT #: LSR010588	BUY 100 Units @108.1910						
03/21/2018	***-**-587I - KEARNEY, FBO MS	NEKTAR THERAPEUTICS	10,875.00	133.00	0.00	133.00	0.5	0.67
	ACCOUNT #: LSR010590	BUY 100 Units @108.7500						
03/21/2018	***-**-58IN - LUTZ, SAMUEL	NEKTAR THERAPEUTICS	10,866.00	133.00	0.00	133.00	0.5	0.67
	ACCOUNT #: LSR010594	BUY 100 Units @108.6600						
03/21/2018	***-**-735I - ANTOINE, FBO MS	NEKTAR THERAPEUTICS	10,332.58	133.10	0.00	133.10	0.5	0.67
	ACCOUNT #: LSR010596	BUY 95 Units @108.7640						
03/21/2018	***-**-74IN - DAVIS, MISCHA	NEKTAR THERAPEUTICS	10,857.70	133.00	0.00	133.00	0.5	0.67
	ACCOUNT #: LSR010627	BUY 100 Units @108.5770						
03/21/2018	***-**-574I - DAVIS, MISCHA	NEKTAR THERAPEUTICS	10,861.80	133.00	0.00	133.00	0.5	0.67
	ACCOUNT #: LSR010630	BUY 100 Units @108.6180						
03/21/2018	***-**-736J - HIGHSMITH SR,	NEKTAR THERAPEUTICS	5,437.00	59.00	0.00	59.00	0.5	0.30
	ACCOUNT #: LSR010785	BUY 50 Units @108.7400						
03/21/2018	***-**-736J - HIGHSMITH SR,	NEKTAR THERAPEUTICS	5,437.00	74.00	0.00	74.00	0.5	0.37
	ACCOUNT #: LSR010785	BUY 50 Units @108.7400						
03/26/2018	***-**-826I - CLARK, FBO MS	OPPENHEIMER GLOBAL VALUE FUND CL A	0.00	1.53	0.00	1.53	0.5	0.01
	ACCOUNT #: LSR010588	BUY 1 Units @0.0000						
03/26/2018	***-**-574I - DAVIS, MISCHA	OPPENHEIMER GLOBAL VALUE FUND CL A	0.00	1.55	0.00	1.55	0.5	0.01
	ACCOUNT #: LSR010630	BUY 1 Units @0.0000						
<b>* TIMOTHY STATES SUBTOTAL *</b>			<b>\$349,334.66</b>	<b>\$3,765.02</b>	<b>\$0.00</b>	<b>\$3,765.02</b>		<b>\$18.90</b>



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: JUAN LEWIS</u></b>								
03/26/2018	***-**-720I - LAZARUS, JORGE	PUTNAM TAX-FREE HIGH YIELD FUND CLA	0.00	2.04	0.00	2.04	0.5	0.01
	ACCOUNT #: LSR010717	BUY 1 Units @0.0000						
		<b>* JUAN LEWIS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$2.04</b>	<b>\$0.00</b>	<b>\$2.04</b>		<b>\$0.01</b>
<b><u>BROKER: WILMA SHERIDAN</u></b>								
03/26/2018	***-**-036I - NAGEL, CLIFFORD	FRANKLIN INCOME FUND CLASS A	0.00	19.22	0.00	19.22	0.5	0.10
	ACCOUNT #: LSR010937	BUY 1 Units @0.0000						
03/26/2018	***-**-887I - LAWSON, BONNIE	FRANKLIN INCOME FUND CLASS A	0.00	2.19	0.00	2.19	0.5	0.01
	ACCOUNT #: LSR010971	BUY 1 Units @0.0000						
03/26/2018	***-**-427I - BELLO, JUANITA	FRANKLIN INCOME FUND CLASS A	0.00	20.12	0.00	20.12	0.5	0.10
	ACCOUNT #: LSR010986	BUY 1 Units @0.0000						
03/26/2018	***-**-673I - BELLO, STANLEY	FRK TOTAL RETURN FND - CL A	0.00	1.05	0.00	1.05	0.5	0.01
	ACCOUNT #: LSR010984	BUY 1 Units @0.0000						
03/26/2018	***-**-673I - BELLO, STANLEY	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.24	0.00	2.24	0.5	0.01
	ACCOUNT #: LSR010984	BUY 1 Units @0.0000						
03/26/2018	***-**-887I - LAWSON, BONNIE	MUTUAL SERIES SHARES CLASS A	0.00	4.01	0.00	4.01	0.5	0.02
	ACCOUNT #: LSR010971	BUY 1 Units @0.0000						
03/26/2018	***-**-673I - BELLO, STANLEY	MUTUAL SERIES SHARES CLASS A	0.00	3.64	0.00	3.64	0.5	0.02
	ACCOUNT #: LSR010984	BUY 1 Units @0.0000						
03/26/2018	***-**-887I - LAWSON, BONNIE	TEMP FRGN FND - CL A	0.00	1.59	0.00	1.59	0.5	0.01
	ACCOUNT #: LSR010971	BUY 1 Units @0.0000						
03/26/2018	***-**-673I - BELLO, STANLEY	TEMP FRGN FND - CL A	0.00	2.79	0.00	2.79	0.5	0.01
	ACCOUNT #: LSR010984	BUY 1 Units @0.0000						
		<b>* WILMA SHERIDAN SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$56.85</b>	<b>\$0.00</b>	<b>\$56.85</b>		<b>\$0.29</b>
<b><u>BROKER: DAVID GRIFFITH</u></b>								
03/16/2018	***-**-76IN - BOSHART-LOWE,	AMERICAN FUNDS MORTGAGE FD CL C	10,000.00	100.00	0.00	100.00	0.5	0.50
	ACCOUNT #: LSR011840	BUY 1019 Units @9.8100						
03/16/2018	***-**-76IR - BOSHART-LOWE,	AMERICAN FUNDS MORTGAGE FD CL C	5,700.00	57.00	0.00	57.00	0.5	0.29
	ACCOUNT #: LSR011841	BUY 581 Units @9.8100						
03/16/2018	***-**-801I - HAGAN III,	AMERICAN GLOBAL BALANCED CL C	160.00	1.60	0.00	1.60	0.5	0.01
	ACCOUNT #: 00088485981	BUY 5 Units @32.8500						





# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: DAVID GRIFFITH (continued)</u></b>								
03/16/2018	***-**-753I - SABANOSH, ALAN	APPLE INC UNSOLICITED ORDER	3,572.00	49.49	0.00	49.49	0.5	0.25
	ACCOUNT #: LSR010909	SELL 20 Units @178.6000						
03/20/2018	***-**-01IN - HAGAN III,	CAMECO CORP COM NPV ISIN #CA13321L1	3,129.00	37.99	0.00	37.99	0.5	0.19
	ACCOUNT #: LSR011014	BUY 350 Units @8.9400						
03/26/2018	***-**-656I - SMOLA, STANLEY	CAPITAL APPRECIATION C	0.00	9.29	0.00	9.29	0.5	0.05
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
03/21/2018	***-**-034J - NIKODEM, JOSEPH	CREE INC UNSOLICITED ORDER	4,312.00	59.49	0.00	59.49	0.5	0.30
	ACCOUNT #: LSR010887	SELL 100 Units @43.1200						
03/21/2018	***-**-423I - SAVICKI, RICHARD	CREE INC UNSOLICITED ORDER	6,478.35	99.49	0.00	99.49	0.5	0.50
	ACCOUNT #: LSR010889	SELL 150 Units @43.1890						
03/19/2018	***-**-474J - HAGAN JR,	DENBURY RES INC DEL COM USD0.001	5,950.00	52.49	0.00	52.49	0.5	0.26
	ACCOUNT #: LSR010993	SELL 2500 Units @2.3800						
03/20/2018	***-**-57IN - DIPERNA, ROBERT	DENBURY RES INC DEL COM USD0.001	2,450.00	22.49	0.00	22.49	0.5	0.11
	ACCOUNT #: LSR011053	SELL 1000 Units @2.4500						
03/21/2018	***-**-804I - RIEBEN, ANNE	DENBURY RES INC DEL COM USD0.001	2,660.00	22.49	0.00	22.49	0.5	0.11
	ACCOUNT #: LSR010877	SELL 1000 Units @2.6600						
03/21/2018	***-**-679I - MURSCH JR,	DENBURY RES INC DEL COM USD0.001	2,650.00	17.49	0.00	17.49	0.5	0.09
	ACCOUNT #: LSR010888	SELL 1000 Units @2.6500						
03/21/2018	***-**-60IN - SCHRADER, KEVIN	DENBURY RES INC DEL COM USD0.001	3,975.00	32.49	0.00	32.49	0.5	0.16
	ACCOUNT #: LSR010897	SELL 1500 Units @2.6500						
03/21/2018	***-**-24TR - SCOTELLARO, L	DENBURY RES INC DEL COM USD0.001	3,945.00	32.49	0.00	32.49	0.5	0.16
	ACCOUNT #: LSR010973	SELL 1500 Units @2.6300						
03/21/2018	***-**-22IN - GRACIE, JULIA	DENBURY RES INC DEL COM USD0.001	3,990.00	32.99	0.00	32.99	0.5	0.16
	ACCOUNT #: LSR011019	SELL 1500 Units @2.6600						
03/21/2018	***-**-762I - STORSBERG,	DENBURY RES INC DEL COM USD0.001	2,660.00	22.49	0.00	22.49	0.5	0.11
	ACCOUNT #: LSR011688	SELL 1000 Units @2.6600						
03/21/2018	***-**-762I - STORSBERG,	DENBURY RES INC DEL COM USD0.001	5,340.00	12.49	0.00	12.49	0.5	0.06
	ACCOUNT #: LSR011688	SELL 2000 Units @2.6700						
03/26/2018	***-**-077I - GAUTHIER, LOUIS	EQUITY INCOME FUND, INC. A	0.00	1.43	0.00	1.43	0.5	0.01
	ACCOUNT #: LSR010901	BUY 1 Units @0.0000						
03/26/2018	***-**-41IN - HULL, ELMINA	EQUITY INCOME FUND, INC. A	0.00	3.46	0.00	3.46	0.5	0.02
	ACCOUNT #: LSR010999	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: DAVID GRIFFITH (continued)</u></b>								
03/26/2018	***-**-369I - DAVIS, BRUCE ACCOUNT #: LSR011029	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	1.82	0.00	1.82	0.5	0.01
03/26/2018	***-**-718J - LOWE, GREGORY ACCOUNT #: LSR011914	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	1.10	0.00	1.10	0.5	0.01
03/15/2018	***-**-5485 - GAETANO, ACCOUNT #: 00082975488	EUROPACIFIC GROWTH FUND-A BUY 1 Units @61.2700	33.00	1.40	0.00	1.40	0.5	0.01
03/26/2018	***-**-61IN - GORCZYNSKI, ACCOUNT #: LSR010844	GLOBAL OPPORTUNITIES FUND C BUY 1 Units @0.0000	0.00	49.68	0.00	49.68	0.5	0.25
03/26/2018	***-**-24TR - SCOTELLARO, L ACCOUNT #: LSR010973	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	1.04	0.00	1.04	0.5	0.01
03/26/2018	***-**-369I - DAVIS, BRUCE ACCOUNT #: LSR011029	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	1.96	0.00	1.96	0.5	0.01
03/21/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	INTEL CORP SOLICITED ORDER SELL 100 Units @51.7600	5,176.00	49.49	0.00	49.49	0.5	0.25
03/26/2018	***-**-319I - HILLEBRAND, JOAN ACCOUNT #: LSR011002	INTERNATIONAL DIVERSIFIED FUND B BUY 1 Units @0.0000	0.00	3.83	0.00	3.83	0.5	0.02
03/26/2018	***-**-077I - GAUTHIER, LOUIS ACCOUNT #: LSR010901	INTERNATIONAL GROWTH FUND A BUY 1 Units @0.0000	0.00	1.94	0.00	1.94	0.5	0.01
03/26/2018	***-**-656I - SMOLA, STANLEY ACCOUNT #: LSR010868	INTERNATIONAL GROWTH FUND C BUY 1 Units @0.0000	0.00	9.13	0.00	9.13	0.5	0.05
03/21/2018	***-**-22IN - GRACIE, JULIA ACCOUNT #: LSR011019	ISHARES MSCI BRAZIL ETF SELL 100 Units @44.4900	4,449.00	60.49	0.00	60.49	0.5	0.30
03/22/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	LORD ABBETT VALUE OPPORTUNITY FD CL BUY 459 Units @17.4400	8,000.01	13.99	0.00	13.99	0.5	0.07
03/20/2018	***-**-686I - STRUMPFLE, MARK ACCOUNT #: 00060352385	MFS GLOBAL TOTAL RETURN FUND-B BUY 4 Units @18.4700	75.00	3.00	0.00	3.00	0.5	0.02
03/26/2018	***-**-656I - SMOLA, STANLEY ACCOUNT #: LSR010868	MUTUAL SHRS FND - CL C BUY 1 Units @0.0000	0.00	1.96	0.00	1.96	0.5	0.01
03/16/2018	***-**-077I - GAUTHIER, LOUIS ACCOUNT #: LSR010901	NORDIC AMERICAN TANKERS LIMITE COM BUY 1400 Units @2.2700	3,178.00	24.49	0.00	24.49	0.5	0.12
03/16/2018	***-**-76IN - BOSHART-LOWE, ACCOUNT #: LSR011840	NORDIC AMERICAN TANKERS LIMITE COM BUY 2000 Units @2.2700	4,540.00	22.49	0.00	22.49	0.5	0.11



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: DAVID GRIFFITH (continued)</u></b>								
03/19/2018	***-**-77TR - MARRONE TTEE ACCOUNT #: LSR011159	NORDIC AMERICAN TANKERS LIMITE COM BUY 2200 Units @2.2600	4,972.00	18.49	0.00	18.49	0.5	0.09
03/21/2018	***-**-86IO - STRUMPFLER, MARK ACCOUNT #: LSR012376	NORDIC AMERICAN TANKERS LIMITE COM BUY 3000 Units @2.1400	6,420.00	62.49	0.00	62.49	0.5	0.31
03/26/2018	***-**-077I - GAUTHIER, LOUIS ACCOUNT #: LSR010901	OPP PORT SERIES: ACTIVE ALLOC A BUY 1 Units @0.0000	0.00	2.25	0.00	2.25	0.5	0.01
03/26/2018	***-**-61IN - GORCZYNSKI, ACCOUNT #: LSR010844	OPPENHEIMER GLBL REAL ESTATE FUND C BUY 1 Units @0.0000	0.00	12.19	0.00	12.19	0.5	0.06
03/26/2018	***-**-656I - SMOLA, STANLEY ACCOUNT #: LSR010868	OPPENHEIMER GLBL REAL ESTATE FUND C BUY 1 Units @0.0000	0.00	3.05	0.00	3.05	0.5	0.02
03/26/2018	***-**-61IN - GORCZYNSKI, ACCOUNT #: LSR010844	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 1 Units @0.0000	0.00	38.67	0.00	38.67	0.5	0.19
03/26/2018	***-**-621I - DANIELS SR, JOHN ACCOUNT #: LSR010950	OPPENHEIMER SMALL & MID CAP VALUE F BUY 1 Units @0.0000	0.00	3.75	0.00	3.75	0.5	0.02
03/19/2018	***-**-77TR - MARRONE TTEE ACCOUNT #: LSR011159	PETROLEO BRASILEIRO SA PETROBRAS AD SELL 300 Units @13.7700	4,131.00	56.49	0.00	56.49	0.5	0.28
03/21/2018	***-**-369I - DAVIS, BRUCE ACCOUNT #: LSR011029	PETROLEO BRASILEIRO SA PETROBRAS AD SELL 264 Units @14.3900	3,798.96	57.21	0.00	57.21	0.5	0.29
03/19/2018	***-**-77TR - MARRONE TTEE ACCOUNT #: LSR011159	PETROLEO BRASILEIRO SA PETROBRAS SP SELL 500 Units @12.7500	6,375.00	82.49	0.00	82.49	0.5	0.41
03/21/2018	***-**-679I - MURSCH JR, ACCOUNT #: LSR010888	PETROLEO BRASILEIRO SA PETROBRAS SP SELL 100 Units @13.2050	1,320.50	11.75	0.00	11.75	0.5	0.06
03/21/2018	***-**-679I - MURSCH JR, ACCOUNT #: LSR010888	PETROLEO BRASILEIRO SA PETROBRAS SP SELL 100 Units @13.2050	1,320.50	26.75	0.00	26.75	0.5	0.13
03/21/2018	***-**-24TR - SCOTELLARO, L ACCOUNT #: LSR010973	PETROLEO BRASILEIRO SA PETROBRAS SP SELL 400 Units @13.2900	5,316.00	74.49	0.00	74.49	0.5	0.37
03/21/2018	***-**-69IN - HAUCK, JUDITH ACCOUNT #: LSR010980	PETROLEO BRASILEIRO SA PETROBRAS SP SELL 200 Units @13.4050	2,681.00	33.49	0.00	33.49	0.5	0.17
03/21/2018	***-**-244I - BURTON, RICHARD ACCOUNT #: LSR011997	PL PORTFOLIO OPTIMIZATION MODERATE SELL 680 Units @14.4200	9,809.26	1.99	0.00	1.99	0.5	0.01
03/26/2018	***-**-369J - DAVIS, BRUCE ACCOUNT #: LSR011027	PUTNAM UTILITIES GROWTH AND INCOME BUY 1 Units @0.0000	0.00	1.89	0.00	1.89	0.5	0.01



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: DAVID GRIFFITH (continued)</u></b>								
03/26/2018	***-**-355I - CRIMMINS, KAREN	PUTNAM 1	0.00	1.60	0.00	1.60	0.5	0.01
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
03/26/2018	***-**-187I - WASZKIEWICZ, FBO	PUTNAM 1	0.00	1.46	0.00	1.46	0.5	0.01
	ACCOUNT #: LSR011144	BUY 1 Units @0.0000						
03/26/2018	***-**-231J - MISHLANIE JR,	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	2.27	0.00	2.27	0.5	0.01
	ACCOUNT #: LSR010927	BUY 1 Units @0.0000						
03/26/2018	***-**-869I - CASEY, JOHN	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.11	0.00	1.11	0.5	0.01
	ACCOUNT #: LSR011323	BUY 1 Units @0.0000						
03/26/2018	***-**-973I - CHAMBERS, ROGER	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	11.26	0.00	11.26	0.5	0.06
	ACCOUNT #: LSR011018	BUY 1 Units @0.0000						
03/26/2018	***-**-21IN - DANIELS, JOHN	PUTNAM DIVERSIFIED INCOME-B	0.00	2.40	0.00	2.40	0.5	0.01
	ACCOUNT #: LSR010967	BUY 1 Units @0.0000						
03/26/2018	***-**-41IN - HULL, ELMINA	PUTNAM DIVERSIFIED INCOME-B	0.00	5.48	0.00	5.48	0.5	0.03
	ACCOUNT #: LSR010999	BUY 1 Units @0.0000						
03/26/2018	***-**-21IN - DANIELS, JOHN	PUTNAM EQUITY INCOME FUND-B	0.00	4.29	0.00	4.29	0.5	0.02
	ACCOUNT #: LSR010967	BUY 1 Units @0.0000						
03/26/2018	***-**-355I - CRIMMINS, KAREN	PUTNAM EQUITY INCOME FUND-B	0.00	1.02	0.00	1.02	0.5	0.01
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
03/26/2018	***-**-187I - WASZKIEWICZ, FBO	PUTNAM EQUITY INCOME FUND-B	0.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: LSR011144	BUY 1 Units @0.0000						
03/26/2018	***-**-753I - SABANOSH, ALAN	PUTNAM EUROPE EQUITY CLASS C	0.00	15.43	0.00	15.43	0.5	0.08
	ACCOUNT #: LSR010909	BUY 1 Units @0.0000						
03/26/2018	***-**-53TR - SABANOSH, ALAN R	PUTNAM EUROPE EQUITY CLASS C	0.00	10.73	0.00	10.73	0.5	0.05
	ACCOUNT #: LSR010917	BUY 1 Units @0.0000						
03/26/2018	***-**-467J - PICKARD, BRIAN	PUTNAM EUROPE EQUITY CLASS C	0.00	8.27	0.00	8.27	0.5	0.04
	ACCOUNT #: LSR010943	BUY 1 Units @0.0000						
03/26/2018	***-**-231J - MISHLANIE JR,	PUTNAM EUROPE EQUITY FUND-B	0.00	1.24	0.00	1.24	0.5	0.01
	ACCOUNT #: LSR010927	BUY 1 Units @0.0000						
03/26/2018	***-**-21IN - DANIELS, JOHN	PUTNAM EUROPE EQUITY FUND-B	0.00	1.26	0.00	1.26	0.5	0.01
	ACCOUNT #: LSR010967	BUY 1 Units @0.0000						
03/26/2018	***-**-29IO - LORUSSO, VINCENT	PUTNAM EUROPE EQUITY FUND-B	0.00	1.21	0.00	1.21	0.5	0.01
	ACCOUNT #: LSR011681	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DAVID GRIFFITH (continued)</u></b>								
03/26/2018	***-**-21IN - DANIELS, JOHN	PUTNAM GLOBAL NAT RESOURCES-B	0.00	1.39	0.00	1.39	0.5	0.01
	ACCOUNT #: LSR010967	BUY 1 Units @0.0000						
03/26/2018	***-**-34IN - SHEFFER, EUGENE	PUTNAM GLOBAL NAT RESOURCES-B	0.00	1.47	0.00	1.47	0.5	0.01
	ACCOUNT #: LSR011000	BUY 1 Units @0.0000						
03/26/2018	***-**-187I - WASZKIEWICZ, FBO	PUTNAM GLOBAL NAT RESOURCES-B	0.00	1.03	0.00	1.03	0.5	0.01
	ACCOUNT #: LSR011144	BUY 1 Units @0.0000						
03/26/2018	***-**-41TR - DAMSKY, BARRY	PUTNAM GLOBAL NAT RESOURCES-B	0.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: LSR011340	BUY 1 Units @0.0000						
03/26/2018	***-**-753I - SABANOSH, ALAN	PUTNAM GLOBAL UTILITIES CL C	0.00	4.71	0.00	4.71	0.5	0.02
	ACCOUNT #: LSR010909	BUY 1 Units @0.0000						
03/26/2018	***-**-369I - DAVIS, BRUCE	PUTNAM INTERNATIONAL EQUITY-A	0.00	1.22	0.00	1.22	0.5	0.01
	ACCOUNT #: LSR011029	BUY 1 Units @0.0000						
03/26/2018	***-**-61IN - GORCZYNSKI,	PUTNAM MID-CAP VALUE FUND-C	0.00	46.01	0.00	46.01	0.5	0.23
	ACCOUNT #: LSR010844	BUY 1 Units @0.0000						
03/26/2018	***-**-231J - MISHLANIE JR,	PUTNAM UTILITIES GR & INC-B	0.00	2.44	0.00	2.44	0.5	0.01
	ACCOUNT #: LSR010927	BUY 1 Units @0.0000						
03/26/2018	***-**-369I - DAVIS, BRUCE	PUTNAM UTILITIES GR & INC-B	0.00	1.35	0.00	1.35	0.5	0.01
	ACCOUNT #: LSR011029	BUY 1 Units @0.0000						
03/26/2018	***-**-29IO - LORUSSO, VINCENT	PUTNAM UTILITIES GR & INC-B	0.00	1.63	0.00	1.63	0.5	0.01
	ACCOUNT #: LSR011681	BUY 1 Units @0.0000						
03/26/2018	***-**-76IR - BOSHART-LOWE,	PUTNAM UTILITIES GR & INC-B	0.00	1.05	0.00	1.05	0.5	0.01
	ACCOUNT #: LSR011841	BUY 1 Units @0.0000						
03/26/2018	***-**-231J - MISHLANIE JR,	QUEST BALANCED B	0.00	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: LSR010927	BUY 1 Units @0.0000						
03/26/2018	***-**-29IN - THIBAUT,	QUEST BALANCED FUND C	0.00	9.31	0.00	9.31	0.5	0.05
	ACCOUNT #: LSR011094	BUY 1 Units @0.0000						
03/26/2018	***-**-24TR - SCOTELLARO, L	QUEST INTERNATIONAL VALUE FUND, INC	0.00	2.85	0.00	2.85	0.5	0.01
	ACCOUNT #: LSR010973	BUY 1 Units @0.0000						
03/26/2018	***-**-61IN - GORCZYNSKI,	QUEST INTERNATIONAL VALUE FUND, INC	0.00	38.38	0.00	38.38	0.5	0.19
	ACCOUNT #: LSR010844	BUY 1 Units @0.0000						
03/12/2018	***-**-29IN - THIBAUT,	REAL ESTATE FUND C	100.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: 05920285846	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: DAVID GRIFFITH (continued)</u></b>								
03/20/2018	***-**-01IN - HAGAN III, ACCOUNT #: LSR011014	RISING DIVIDENDS FUND A SELL 116 Units @19.4600	2,250.00	1.99	0.00	1.99	0.5	0.01
03/26/2018	***-**-077I - GAUTHIER, LOUIS ACCOUNT #: LSR010901	RISING DIVIDENDS FUND A BUY 1 Units @0.0000	0.00	1.94	0.00	1.94	0.5	0.01
03/26/2018	***-**-474J - HAGAN JR, ACCOUNT #: LSR010993	RISING DIVIDENDS FUND A BUY 1 Units @0.0000	0.00	1.37	0.00	1.37	0.5	0.01
03/26/2018	***-**-01IN - HAGAN III, ACCOUNT #: LSR011014	RISING DIVIDENDS FUND A BUY 1 Units @0.0000	0.00	1.87	0.00	1.87	0.5	0.01
03/26/2018	***-**-369I - DAVIS, BRUCE ACCOUNT #: LSR011029	RISING DIVIDENDS FUND A BUY 1 Units @0.0000	0.00	2.22	0.00	2.22	0.5	0.01
03/26/2018	***-**-05IN - HULL, JEFFERY ACCOUNT #: LSR011187	SENIOR FLOATING RATE FUND C BUY 1 Units @0.0000	0.00	7.79	0.00	7.79	0.5	0.04
03/16/2018	***-**-753I - SABANOSH, ALAN ACCOUNT #: LSR010909	SKYWORKS SOLUTIONS INC COM UNSOLICI SELL 20 Units @110.8460	2,216.92	38.60	0.00	38.60	0.5	0.19
03/26/2018	***-**-21IN - DANIELS, JOHN ACCOUNT #: LSR010967	SMALL- & MID-CAP GROWTH FUND A BUY 1 Units @0.0000	0.00	2.25	0.00	2.25	0.5	0.01
03/15/2018	***-**-5485 - GAETANO, ACCOUNT #: 00082975488	SMALLCAP WORLD FUND-A BUY 1 Units @61.0300	33.00	1.40	0.00	1.40	0.5	0.01
03/19/2018	***-**-580I - GRIFFITH, DAVID ACCOUNT #: LSF000295	STEIN MART INC COM BUY 7000 Units @1.4300	10,010.00	1.00	0.00	1.00	0.5	0.01
03/19/2018	***-**-467J - PICKARD, BRIAN ACCOUNT #: LSR010943	STEIN MART INC COM BUY 3000 Units @1.4190	4,257.00	39.49	0.00	39.49	0.5	0.20
03/19/2018	***-**-474J - HAGAN JR, ACCOUNT #: LSR010993	STEIN MART INC COM BUY 6000 Units @1.4300	8,580.00	129.49	0.00	129.49	0.5	0.65
03/19/2018	***-**-22IN - GRACIE, JULIA ACCOUNT #: LSR011019	STEIN MART INC COM BUY 4000 Units @1.4300	5,720.00	59.49	0.00	59.49	0.5	0.30
03/19/2018	***-**-77TR - MARRONE TTEE ACCOUNT #: LSR011159	STEIN MART INC COM BUY 4400 Units @1.4300	6,292.00	59.49	0.00	59.49	0.5	0.30
03/19/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	STEIN MART INC COM BUY 3300 Units @1.4100	4,653.00	31.99	0.00	31.99	0.5	0.16
03/20/2018	***-**-59IN - MORGAN, WILLIAM ACCOUNT #: LSR010842	STEIN MART INC COM BUY 2600 Units @1.4800	3,848.00	39.49	0.00	39.49	0.5	0.20



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: DAVID GRIFFITH (continued)</u></b>								
03/20/2018	***-**-57IN - DIPERNA, ROBERT	STEIN MART INC COM	3,552.00	49.49	0.00	49.49	0.5	0.25
	ACCOUNT #: LSR011053	BUY 2400 Units @1.4800						
03/21/2018	***-**-61IN - GORCZYNSKI,	STEIN MART INC COM	17,160.00	169.49	0.00	169.49	0.5	0.85
	ACCOUNT #: LSR010844	BUY 12000 Units @1.4300						
03/21/2018	***-**-361J - ALOISIO,	STEIN MART INC COM	5,560.00	69.49	0.00	69.49	0.5	0.35
	ACCOUNT #: LSR010864	BUY 4000 Units @1.3900						
03/21/2018	***-**-361J - ALOISIO,	STEIN MART INC COM	9,800.00	169.49	0.00	169.49	0.5	0.85
	ACCOUNT #: LSR010886	BUY 7000 Units @1.4000						
03/21/2018	***-**-034J - NIKODEM, JOSEPH	STEIN MART INC COM	8,400.00	139.49	0.00	139.49	0.5	0.70
	ACCOUNT #: LSR010887	BUY 6000 Units @1.4000						
03/21/2018	***-**-679I - MURSCH JR,	STEIN MART INC COM	4,200.00	59.49	0.00	59.49	0.5	0.30
	ACCOUNT #: LSR010888	BUY 3000 Units @1.4000						
03/21/2018	***-**-423I - SAVICKI, RICHARD	STEIN MART INC COM	4,935.00	59.49	0.00	59.49	0.5	0.30
	ACCOUNT #: LSR010889	BUY 3500 Units @1.4100						
03/21/2018	***-**-60IN - SCHRADER, KEVIN	STEIN MART INC COM	5,720.00	99.49	0.00	99.49	0.5	0.50
	ACCOUNT #: LSR010897	BUY 4000 Units @1.4300						
03/21/2018	***-**-25IR - PERRITANO,	STEIN MART INC COM	2,816.00	39.49	0.00	39.49	0.5	0.20
	ACCOUNT #: LSR010923	BUY 2000 Units @1.4080						
03/21/2018	***-**-24TR - SCOTELLARO, L	STEIN MART INC COM	7,100.00	119.49	0.00	119.49	0.5	0.60
	ACCOUNT #: LSR010973	BUY 5000 Units @1.4200						
03/21/2018	***-**-69IN - HAUCK, JUDITH	STEIN MART INC COM	2,679.00	39.49	0.00	39.49	0.5	0.20
	ACCOUNT #: LSR010980	BUY 1900 Units @1.4100						
03/21/2018	***-**-05IN - HULL, JEFFERY	STEIN MART INC COM	7,854.00	99.49	0.00	99.49	0.5	0.50
	ACCOUNT #: LSR011187	BUY 5500 Units @1.4280						
03/21/2018	***-**-510I - PEREZ, STACEY	STEIN MART INC COM	8,400.00	149.49	0.00	149.49	0.5	0.75
	ACCOUNT #: LSR011827	BUY 6000 Units @1.4000						
03/21/2018	***-**-244I - BURTON, RICHARD	STEIN MART INC COM	2,820.00	31.99	0.00	31.99	0.5	0.16
	ACCOUNT #: LSR011997	BUY 2000 Units @1.4100						
03/21/2018	***-**-86IO - STRUMPFLER, MARK	STEIN MART INC COM	2,826.00	39.49	0.00	39.49	0.5	0.20
	ACCOUNT #: LSR012376	BUY 2000 Units @1.4130						
03/26/2018	***-**-25IN - PERRITANO,	TA ASSET ALLOC MODERATE PORT CL A	0.00	1.71	0.00	1.71	0.5	0.01
	ACCOUNT #: LSR010924	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: DAVID GRIFFITH (continued)</u> </b>								
03/26/2018	***-**-423I - SAVICKI, RICHARD	TEMPLETON EMERGING MARKETS BALANCED	0.00	7.77	0.00	7.77	0.5	0.04
	ACCOUNT #: LSR010889	BUY 1 Units @0.0000						
03/26/2018	***-**-425I - PERRITANO,	TEMPLETON EMERGING MARKETS BALANCED	0.00	11.99	0.00	11.99	0.5	0.06
	ACCOUNT #: LSR010922	BUY 1 Units @0.0000						
03/26/2018	***-**-319I - HILLEBRAND, JOAN	TEMPLETON EMERGING MARKETS BALANCED	0.00	8.61	0.00	8.61	0.5	0.04
	ACCOUNT #: LSR011002	BUY 1 Units @0.0000						
03/26/2018	***-**-973I - CHAMBERS, ROGER	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	5.44	0.00	5.44	0.5	0.03
	ACCOUNT #: LSR011018	BUY 1 Units @0.0000						
03/26/2018	***-**-05IN - HULL, JEFFERY	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	3.99	0.00	3.99	0.5	0.02
	ACCOUNT #: LSR011187	BUY 1 Units @0.0000						
03/15/2018	***-**-5485 - GAETANO,	THE GROWTH FUND OF AMERICA-A	34.00	1.45	0.00	1.45	0.5	0.01
	ACCOUNT #: 00082975488	BUY 1 Units @56.1600						
03/26/2018	***-**-94UT - MISHLANIE,	TRANSAMERICA BALANCED - A	0.00	4.12	0.00	4.12	0.5	0.02
	ACCOUNT #: LSR010931	BUY 1 Units @0.0000						
03/26/2018	***-**-41IN - HULL, ELMINA	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	3.84	0.00	3.84	0.5	0.02
	ACCOUNT #: LSR010999	BUY 1 Units @0.0000						
03/26/2018	***-**-41IN - HULL, ELMINA	TRANSAMERICA MULT MAN ALT	0.00	3.19	0.00	3.19	0.5	0.02
	ACCOUNT #: LSR010999	BUY 1 Units @0.0000						
03/20/2018	***-**-57IN - DIPERNA, ROBERT	VALE S.A. SPONS ADR REPR 1 COM NPV	1,265.10	40.49	0.00	40.49	0.5	0.20
	ACCOUNT #: LSR011053	SELL 100 Units @12.6510						
03/26/2018	***-**-656I - SMOLA, STANLEY	VOYA GLOBAL REAL ESTATE CLASS C	0.00	4.83	0.00	4.83	0.5	0.02
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
03/21/2018	***-**-423I - SAVICKI, RICHARD	WALMART STORES INC UNSOLICITED ORDE	4,426.05	61.49	0.00	61.49	0.5	0.31
	ACCOUNT #: LSR010889	SELL 50 Units @88.5210						
<b> * DAVID GRIFFITH SUBTOTAL * </b>			<b> \$286,073.65 </b>	<b> \$3,506.04 </b>	<b> \$0.00 </b>	<b> \$3,506.04 </b>		<b> \$17.66 </b>
<b> <u>BROKER: KENT MCNARY</u> </b>								
03/26/2018	***-**-286I - MCNARY, FBO	MAIN STREET FUND A	0.00	1.51	0.00	1.51	0.5	0.01
	ACCOUNT #: LSR011118	BUY 1 Units @0.0000						
03/22/2018	***-**-451I - BIOMEDICAL,	NATIONWIDE GVA TRAILS	0.00	169.34	0.00	169.34	0.5	0.85
	ACCOUNT #: 23980212	BUY 1 Units @0.0000						
<b> * KENT MCNARY SUBTOTAL * </b>			<b> \$0.00 </b>	<b> \$170.85 </b>	<b> \$0.00 </b>	<b> \$170.85 </b>		<b> \$0.86 </b>





# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: PAUL AGLIATA</u></b>								
03/26/2018	***-**-632J - STEVENS, KEVIN	FIRST EAGLE GOLD FUND CLASS A	0.00	7.93	0.00	7.93	0.5	0.04
	ACCOUNT #: LSR011316	BUY 1 Units @0.0000						
03/26/2018	***-**-853O - MCGILL, TOD	FIRST EAGLE GOLD FUND CLASS A	0.00	3.28	0.00	3.28	0.5	0.02
	ACCOUNT #: LSR011720	BUY 1 Units @0.0000						
03/26/2018	***-**-64IO - RUIZ, HENRY	FRANKLIN INCOME FUND CLASS A	0.00	2.85	0.00	2.85	0.5	0.01
	ACCOUNT #: LSR011230	BUY 1 Units @0.0000						
03/26/2018	***-**-232X - STEVENS, NSPS	FRANKLIN INCOME FUND CLASS A	0.00	5.66	0.00	5.66	0.5	0.03
	ACCOUNT #: LSR011243	BUY 1 Units @0.0000						
03/26/2018	***-**-802X - SWAIN, TRACEY	FRANKLIN INCOME FUND CLASS A	0.00	5.68	0.00	5.68	0.5	0.03
	ACCOUNT #: LSR011245	BUY 1 Units @0.0000						
03/26/2018	***-**-02IN - SWAIN, TRACEY	FRANKLIN INCOME FUND CLASS A	0.00	2.10	0.00	2.10	0.5	0.01
	ACCOUNT #: LSR011246	BUY 1 Units @0.0000						
03/26/2018	***-**-397I - KRAMER, MARK	FRANKLIN INCOME FUND CLASS A	0.00	5.06	0.00	5.06	0.5	0.03
	ACCOUNT #: LSR011273	BUY 1 Units @0.0000						
03/26/2018	***-**-632J - STEVENS, KEVIN	FRANKLIN INCOME FUND CLASS A	0.00	2.10	0.00	2.10	0.5	0.01
	ACCOUNT #: LSR011316	BUY 1 Units @0.0000						
03/26/2018	***-**-835I - BONNET, JUDITH	FRANKLIN INCOME FUND CLASS C	0.00	8.23	0.00	8.23	0.5	0.04
	ACCOUNT #: LSR011251	BUY 1 Units @0.0000						
03/19/2018	***-**-19IN - KRAMER, ZOEY	FRANKLIN RISING DIVIDENDS FD CLASS	100.01	5.00	0.00	5.00	0.5	0.03
	ACCOUNT #: LSR011471	BUY 2 Units @64.4000						
03/26/2018	***-**-64IO - RUIZ, HENRY	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	5.26	0.00	5.26	0.5	0.03
	ACCOUNT #: LSR011230	BUY 1 Units @0.0000						
03/26/2018	***-**-232X - STEVENS, NSPS	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	9.22	0.00	9.22	0.5	0.05
	ACCOUNT #: LSR011243	BUY 1 Units @0.0000						
03/26/2018	***-**-802X - SWAIN, TRACEY	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	9.24	0.00	9.24	0.5	0.05
	ACCOUNT #: LSR011245	BUY 1 Units @0.0000						
03/26/2018	***-**-02IN - SWAIN, TRACEY	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	4.12	0.00	4.12	0.5	0.02
	ACCOUNT #: LSR011246	BUY 1 Units @0.0000						
03/26/2018	***-**-835I - BONNET, JUDITH	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	2.04	0.00	2.04	0.5	0.01
	ACCOUNT #: LSR011251	BUY 1 Units @0.0000						
03/26/2018	***-**-97IN - KRAMER, MARK	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	22.19	0.00	22.19	0.5	0.11
	ACCOUNT #: LSR011272	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: PAUL AGLIATA (continued)</u></b>								
03/26/2018	***-**-397I - KRAMER, MARK ACCOUNT #: LSR011273	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	9.91	0.00	9.91	0.5	0.05
03/26/2018	***-**-238X - WRIGHT, DEBORAH ACCOUNT #: LSR011278	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	8.16	0.00	8.16	0.5	0.04
03/26/2018	***-**-32IR - STEVENS, KEVIN ACCOUNT #: LSR011312	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	1.78	0.00	1.78	0.5	0.01
03/26/2018	***-**-632J - STEVENS, KEVIN ACCOUNT #: LSR011316	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	4.12	0.00	4.12	0.5	0.02
03/26/2018	***-**-234X - SCHOMP, NSPS ACCOUNT #: LSR011341	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	6.77	0.00	6.77	0.5	0.03
03/26/2018	***-**-32IR - STEVENS, ACCOUNT #: LSR011487	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	1.69	0.00	1.69	0.5	0.01
03/26/2018	***-**-853I - MCGILL, YVONNE ACCOUNT #: LSR011719	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	1.06	0.00	1.06	0.5	0.01
03/26/2018	***-**-853O - MCGILL, TOD ACCOUNT #: LSR011720	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	7.98	0.00	7.98	0.5	0.04
03/26/2018	***-**-835I - BONNET, JUDITH ACCOUNT #: LSR011251	FRK RISING DIV FND - CL C BUY 1 Units @0.0000	0.00	3.45	0.00	3.45	0.5	0.02
03/26/2018	***-**-802X - SWAIN, TRACEY ACCOUNT #: LSR011245	MORGAN STANLEY GROWTH PORT A-NFS BUY 1 Units @0.0000	0.00	7.33	0.00	7.33	0.5	0.04
03/26/2018	***-**-238X - WRIGHT, DEBORAH ACCOUNT #: LSR011278	MORGAN STANLEY GROWTH PORT A-NFS BUY 1 Units @0.0000	0.00	8.38	0.00	8.38	0.5	0.04
03/26/2018	***-**-853O - MCGILL, TOD ACCOUNT #: LSR011720	MORGAN STANLEY GROWTH PORT A-NFS BUY 1 Units @0.0000	0.00	8.38	0.00	8.38	0.5	0.04
03/26/2018	***-**-64IO - RUIZ, HENRY ACCOUNT #: LSR011230	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 1 Units @0.0000	0.00	4.71	0.00	4.71	0.5	0.02
03/26/2018	***-**-232X - STEVENS, NSPS ACCOUNT #: LSR011243	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 1 Units @0.0000	0.00	8.91	0.00	8.91	0.5	0.04
03/26/2018	***-**-397I - KRAMER, MARK ACCOUNT #: LSR011273	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 1 Units @0.0000	0.00	8.66	0.00	8.66	0.5	0.04
03/26/2018	***-**-64IO - RUIZ, HENRY ACCOUNT #: LSR011230	MUTUAL SERIES SHARES CLASS A BUY 1 Units @0.0000	0.00	4.78	0.00	4.78	0.5	0.02



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: PAUL AGLIATA (continued) </u></b>								
03/26/2018	***-**-232X - STEVENS, NSPS	MUTUAL SERIES SHARES CLASS A	0.00	9.08	0.00	9.08	0.5	0.05
	ACCOUNT #: LSR011243	BUY 1 Units @0.0000						
03/26/2018	***-**-802X - SWAIN, TRACEY	MUTUAL SERIES SHARES CLASS A	0.00	9.13	0.00	9.13	0.5	0.05
	ACCOUNT #: LSR011245	BUY 1 Units @0.0000						
03/26/2018	***-**-02IN - SWAIN, TRACEY	MUTUAL SERIES SHARES CLASS A	0.00	3.60	0.00	3.60	0.5	0.02
	ACCOUNT #: LSR011246	BUY 1 Units @0.0000						
03/26/2018	***-**-397I - KRAMER, MARK	TEMP GLOBAL BOND FND - CL A	0.00	7.90	0.00	7.90	0.5	0.04
	ACCOUNT #: LSR011273	BUY 1 Units @0.0000						
03/26/2018	***-**-694I - CONNORS, BRIAN	TEMP GLOBAL BOND FND - CL A	0.00	1.17	0.00	1.17	0.5	0.01
	ACCOUNT #: LSR011342	BUY 1 Units @0.0000						
03/26/2018	***-**-835I - BONNET, JUDITH	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	1.24	0.00	1.24	0.5	0.01
	ACCOUNT #: LSR011251	BUY 1 Units @0.0000						
03/26/2018	***-**-97IN - KRAMER, MARK	TEMPLETON GROWTH FUND CLASS A	0.00	9.15	0.00	9.15	0.5	0.05
	ACCOUNT #: LSR011272	BUY 1 Units @0.0000						
03/26/2018	***-**-400I - SASKOWITZ,	TEMPLETON GROWTH FUND CLASS A	0.00	3.17	0.00	3.17	0.5	0.02
	ACCOUNT #: LSR011353	BUY 1 Units @0.0000						
03/26/2018	***-**-853O - MCGILL, TOD	TRANSAMERICA CAPITAL GROWTH CL A	0.00	8.73	0.00	8.73	0.5	0.04
	ACCOUNT #: LSR011720	BUY 1 Units @0.0000						
<b>* PAUL AGLIATA SUBTOTAL *</b>			<b>\$100.01</b>	<b>\$249.20</b>	<b>\$0.00</b>	<b>\$249.20</b>		<b>\$1.28</b>
<b><u> BROKER: DENNIS CONNOR </u></b>								
03/26/2018	***-**-101I - LEMOINE, STEVEN	ALLIANZ NFJ LARGE CAP VALUE FUND CL	0.00	3.55	0.00	3.55	0.5	0.02
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/26/2018	***-**-033I - KATZENBERGER,	ALLIANZ NFJ LARGE CAP VALUE FUND CL	0.00	1.24	0.00	1.24	0.5	0.01
	ACCOUNT #: LSR011507	BUY 1 Units @0.0000						
03/26/2018	***-**-101I - LEMOINE, STEVEN	ALLIANZ OCC RENAISSANCE FUND CLASS	0.00	5.16	0.00	5.16	0.5	0.03
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/26/2018	***-**-033I - KATZENBERGER,	ALLIANZ OCC RENAISSANCE FUND CLASS	0.00	1.87	0.00	1.87	0.5	0.01
	ACCOUNT #: LSR011507	BUY 1 Units @0.0000						
03/26/2018	***-**-70IN - CUTILLO, JAMES	ALLIANZ OCC RENAISSANCE FUND CLASS	0.00	1.71	0.00	1.71	0.5	0.01
	ACCOUNT #: LSR011647	BUY 1 Units @0.0000						
03/26/2018	***-**-671O - EVA JAYNE	ALLIANZGI ULTRA MICRO CAP CL A	0.00	1.15	0.00	1.15	0.5	0.01
	ACCOUNT #: LSR011403	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: DENNIS CONNOR (continued)</u></b>								
03/26/2018	***-**-101I - LEMOINE, STEVEN	CALAMOS GROWTH AND INCOME CLASS A	0.00	7.02	0.00	7.02	0.5	0.04
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/26/2018	***-**-101I - LEMOINE, STEVEN	CALAMOS GROWTH FUND CLASS A	0.00	7.67	0.00	7.67	0.5	0.04
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/26/2018	***-**-033I - KATZENBERGER,	CALAMOS GROWTH FUND CLASS A	0.00	4.24	0.00	4.24	0.5	0.02
	ACCOUNT #: LSR011507	BUY 1 Units @0.0000						
03/26/2018	***-**-101I - LEMOINE, STEVEN	CALAMOS HIGH INCOME CLASS A	0.00	4.48	0.00	4.48	0.5	0.02
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/05/2018	***-**-4110 - KAVANAGH,	EQUITY INCOME FUND, INC. A	37.50	1.78	0.00	1.78	0.5	0.01
	ACCOUNT #: 08352452703	BUY 0 Units @0.0000						
03/05/2018	***-**-02IN - MANCHESTER,	EQUITY INCOME FUND, INC. A	75.00	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: 08352452714	BUY 0 Units @0.0000						
03/26/2018	***-**-101I - LEMOINE, STEVEN	FEDERATED KAUFMANN FUND	0.00	11.42	0.00	11.42	0.5	0.06
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/05/2018	***-**-52IN - METZLER, JANET	GLOBAL OPPORTUNITIES A	37.50	1.78	0.00	1.78	0.5	0.01
	ACCOUNT #: 02154900346	BUY 0 Units @0.0000						
03/05/2018	***-**-0075 - MEHIT, LAURA	GLOBAL OPPORTUNITIES A	21.25	1.01	0.00	1.01	0.5	0.01
	ACCOUNT #: 02156080382	BUY 0 Units @0.0000						
03/26/2018	***-**-70IN - CUTILLO, JAMES	GLOBAL OPPORTUNITIES A	0.00	2.87	0.00	2.87	0.5	0.01
	ACCOUNT #: LSR011647	BUY 1 Units @0.0000						
03/05/2018	***-**-52IN - METZLER, JANET	INTERNATIONAL GROWTH FUND A	37.50	1.78	0.00	1.78	0.5	0.01
	ACCOUNT #: 08251985687	BUY 0 Units @0.0000						
03/26/2018	***-**-1810 - STEVEN A	INTERNATIONAL GROWTH FUND A	0.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: LSR011388	BUY 1 Units @0.0000						
03/05/2018	***-**-02IN - MANCHESTER,	INTERNATIONAL SMALL COMPANY A	75.00	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: 08152119953	BUY 0 Units @0.0000						
03/05/2018	***-**-4110 - KAVANAGH,	INTERNATIONAL SMALL COMPANY A	37.50	1.78	0.00	1.78	0.5	0.01
	ACCOUNT #: 08152121988	BUY 0 Units @0.0000						
03/26/2018	***-**-1810 - STEVEN A	INTERNATIONAL SMALL COMPANY A	0.00	2.87	0.00	2.87	0.5	0.01
	ACCOUNT #: LSR011388	BUY 1 Units @0.0000						
03/26/2018	***-**-572I - MELIN, LUCILLE	INTERNATIONAL SMALL COMPANY A	0.00	2.04	0.00	2.04	0.5	0.01
	ACCOUNT #: LSR011508	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DENNIS CONNOR (continued)</u></b>								
03/05/2018	***-**-0075 - MEHIT, LAURA	MAIN STREET FUND A	41.25	1.96	0.00	1.96	0.5	0.01
	ACCOUNT #: 07005702293	BUY 0 Units @0.0000						
03/15/2018	***-**-8973 - MIRABELL,	MIDCAP GROWTH - CLASS A	30.00	1.50	0.00	1.50	0.5	0.01
	ACCOUNT #: 00500433776	BUY 2 Units @15.2700						
03/26/2018	***-**-1832 - WEISMAN, DORIS	MUTUAL EURO FND - CL A	0.00	1.78	0.00	1.78	0.5	0.01
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
03/26/2018	***-**-1832 - WEISMAN, DORIS	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	3.19	0.00	3.19	0.5	0.02
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
03/05/2018	***-**-0075 - MEHIT, LAURA	OPPENHEIMER DEVELOPING MARKETS CLAS	21.25	1.01	0.00	1.01	0.5	0.01
	ACCOUNT #: 07859297629	BUY 0 Units @0.0000						
03/26/2018	***-**-1832 - WEISMAN, DORIS	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	4.59	0.00	4.59	0.5	0.02
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
03/26/2018	***-**-255I - GRAHAM, DEBORAH	OPPENHEIMER DISCOVERY CLASS A	0.00	2.99	0.00	2.99	0.5	0.01
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
03/05/2018	***-**-02IN - MANCHESTER,	OPPENHEIMER MAIN STREET SMALL CAP F	75.00	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: 08477443689	BUY 0 Units @0.0000						
03/26/2018	***-**-4250 - KEKKONEN,	OPPENHEIMER ROCHEST NATIONAL MUNI C	0.00	58.97	0.00	58.97	0.5	0.29
	ACCOUNT #: LSR011491	BUY 1 Units @0.0000						
03/05/2018	***-**-4110 - KAVANAGH,	OPPENHEIMER SMALL & MID CAP VALUE F	37.50	1.78	0.00	1.78	0.5	0.01
	ACCOUNT #: 02514874144	BUY 0 Units @0.0000						
03/26/2018	***-**-101I - LEMOINE, STEVEN	PIMCO EMERG MKTS BOND NFS	0.00	6.57	0.00	6.57	0.5	0.03
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/26/2018	***-**-033I - KATZENBERGER,	PIMCO EMERG MKTS BOND NFS	0.00	1.72	0.00	1.72	0.5	0.01
	ACCOUNT #: LSR011507	BUY 1 Units @0.0000						
03/26/2018	***-**-101I - LEMOINE, STEVEN	PIMCO HIGH YIELD CLASS A	0.00	6.16	0.00	6.16	0.5	0.03
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/26/2018	***-**-101I - LEMOINE, STEVEN	PIMCO LONG TERM US GOV'T	0.00	6.13	0.00	6.13	0.5	0.03
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/26/2018	***-**-70IN - CUTILLO, JAMES	PIMCO REAL ESTATE REAL	0.00	1.62	0.00	1.62	0.5	0.01
	ACCOUNT #: LSR011647	BUY 1 Units @0.0000						
03/26/2018	***-**-6710 - EVA JAYNE	PIMCO STOCKSPUS SMALL FUND A	0.00	6.33	0.00	6.33	0.5	0.03
	ACCOUNT #: LSR011403	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DENNIS CONNOR (continued) </u></b>								
03/26/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	PIMCO STOCKSPUS SMALL FUND A BUY 1 Units @0.0000	0.00	6.59	0.00	6.59	0.5	0.03
03/26/2018	***-**-572I - MELIN, LUCILLE ACCOUNT #: LSR011508	PUTNAM CAPITAL SPECTRUM FUND CL A BUY 1 Units @0.0000	0.00	1.39	0.00	1.39	0.5	0.01
03/05/2018	***-**-52IN - METZLER, JANET ACCOUNT #: 06000341495	SELECT VALUE FUND A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	0.5	0.01
03/05/2018	***-**-52IN - METZLER, JANET ACCOUNT #: 07210302126	SMALL- & MID-CAP GROWTH FUND A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	0.5	0.01
03/05/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 07210729069	SMALL- & MID-CAP GROWTH FUND A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	0.5	0.01
03/05/2018	***-**-02IN - MANCHESTER, ACCOUNT #: 07210729080	SMALL- & MID-CAP GROWTH FUND A BUY 0 Units @0.0000	75.00	3.00	0.00	3.00	0.5	0.02
03/05/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 07210834460	SMALL- & MID-CAP GROWTH FUND A BUY 0 Units @0.0000	21.25	1.01	0.00	1.01	0.5	0.01
03/26/2018	***-**-1832 - WEISMAN, DORIS ACCOUNT #: LSR011330	TEMP GLOBAL BOND FND - CL A BUY 1 Units @0.0000	0.00	2.45	0.00	2.45	0.5	0.01
03/26/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: 5009484840	VICTORY TRAILS BUY 0 Units @0.0000	0.00	12.86	0.00	12.86	0.5	0.06
03/26/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	VOYA HIGH YIELD BOND CLASS A BUY 1 Units @0.0000	0.00	2.01	0.00	2.01	0.5	0.01
03/26/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	VOYA LARGE CAP GROWTH CLASS A BUY 1 Units @0.0000	0.00	3.19	0.00	3.19	0.5	0.02
03/26/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	VOYA LARGE CAP VALUE CLASS A BUY 1 Units @0.0000	0.00	2.45	0.00	2.45	0.5	0.01
03/26/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	VOYA MIDCAP OPPORTUNITIES CL A BUY 1 Units @0.0000	0.00	2.62	0.00	2.62	0.5	0.01
03/26/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	VOYA SMALL CAP OPPORTUNITIES CL A BUY 1 Units @0.0000	0.00	1.28	0.00	1.28	0.5	0.01
03/26/2018	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	VOYA SMALL COMPANY CLASS A BUY 1 Units @0.0000	0.00	1.91	0.00	1.91	0.5	0.01
<b> * DENNIS CONNOR SUBTOTAL * </b>			<b>\$735.00</b>	<b>\$228.95</b>	<b>\$0.00</b>	<b>\$228.95</b>		<b>\$1.19</b>



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: WILLIAM FRANCIS</u></b>								
03/15/2018	***-**-0485 - WHALEN, STEPHEN	ALLIANCE BERNSTEIN LARGE-CAP GROWTH	40.00	1.60	0.00	1.60	0.5	0.01
	ACCOUNT #: 04752066331	BUY 1 Units @37.9100						
03/15/2018	***-**-3569 - ANDERSON,	AMCAP FUND-A	90.00	2.48	0.00	2.48	0.5	0.01
	ACCOUNT #: 00088334300	BUY 3 Units @35.0900						
03/19/2018	***-**-2447 - FISCHER, MAX	AMERICAN HIGH-INCOME MUNI BOND FUND	14,993.00	394.30	0.00	394.30	0.5	1.97
	ACCOUNT #: LSR012557	BUY 922 Units @16.2600						
03/15/2018	***-**-3569 - ANDERSON,	AMERICAN MUTUAL FUND-A	50.00	1.38	0.00	1.38	0.5	0.01
	ACCOUNT #: 00088334300	BUY 1 Units @42.4900						
03/19/2018	***-**-2447 - FISCHER, MAX	AMERICAN TAX EXEMPT OF NEW YORK CL	34,984.01	944.06	0.00	944.06	0.5	4.72
	ACCOUNT #: LSR012557	BUY 3183 Units @10.9900						
03/15/2018	***-**-3281 - DEWITT JR, LEE	BALANCED STRATEGY FUND CLASS A	100.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 02400113961	BUY 8 Units @12.2500						
03/15/2018	***-**-3987 - LINGE, MARY	EQUITY INCOME FUND, INC. A	100.00	4.75	0.00	4.75	0.5	0.02
	ACCOUNT #: 08351856019	BUY 0 Units @0.0000						
03/26/2018	***-**-637I - BRUSIE, DOROTHY	EQUITY INCOME FUND, INC. A	0.00	2.46	0.00	2.46	0.5	0.01
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
03/26/2018	***-**-85FB - LAURICELLA, ADAM	FINANCIAL PLAN	0.00	250.00	0.00	250.00	0.5	1.25
		BUY 0 Units @0.0000						
03/15/2018	***-**-3569 - ANDERSON,	FUNDAMENTAL INVESTORS-A	50.00	1.38	0.00	1.38	0.5	0.01
	ACCOUNT #: 00088334300	BUY 1 Units @66.3900						
03/26/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY EPOCH GLOBAL EQUITY YLD A	0.00	5.21	0.00	5.21	0.5	0.03
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
03/26/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY HIGH-YIELD CORPORATE BOND	0.00	12.13	0.00	12.13	0.5	0.06
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
03/26/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY SHORT DURATION HIGH YLD A	0.00	5.83	0.00	5.83	0.5	0.03
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
03/15/2018	***-**-4642 - COOKE, ROBERT	MAINSTAY TOTAL RETURN BOND FUND CL	200.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 00055761480	BUY 19 Units @10.3800						
03/26/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY TOTAL RETURN FUND A	0.00	5.32	0.00	5.32	0.5	0.03
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
03/26/2018	***-**-548I - MOLONEY, JAMES	MAINSTAY UNCONSTRND BOND OPPORTUNIT	0.00	6.66	0.00	6.66	0.5	0.03
	ACCOUNT #: LSR011545	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: WILLIAM FRANCIS (continued) </u></b>								
03/26/2018	***-**-548I - MOLONEY, JAMES	MAINSTAY US EQUITY OPPORTUNITIES CL	0.00	3.33	0.00	3.33	0.5	0.02
	ACCOUNT #: LSR011545	BUY 1 Units @0.0000						
03/26/2018	***-**-091I - GLASSFORD,	OPP PORT SERIES: MOD INV FD A	0.00	1.74	0.00	1.74	0.5	0.01
	ACCOUNT #: LSR011526	BUY 1 Units @0.0000						
03/15/2018	***-**-3987 - LINGE, MARY	OPPENHEIMER CORP. BOND FUND A	50.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: 00100031714	BUY 0 Units @0.0000						
03/26/2018	***-**-637I - BRUSIE, DOROTHY	OPPENHEIMER GLOBAL HIGH YIELD CL A	0.00	1.92	0.00	1.92	0.5	0.01
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
03/15/2018	***-**-3987 - LINGE, MARY	OPPENHEIMER INTERNATIONAL DIVERSIFI	50.00	2.38	0.00	2.38	0.5	0.01
	ACCOUNT #: 01952249841	BUY 0 Units @0.0000						
03/26/2018	***-**-637I - BRUSIE, DOROTHY	OPPENHEIMER SENIOR FLOATING RATE PL	0.00	1.98	0.00	1.98	0.5	0.01
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
03/26/2018	***-**-445J - NORTH, CHARLES	ROCHESTER FUND MUNICIPALS A	0.00	16.22	0.00	16.22	0.5	0.08
	ACCOUNT #: LSR011778	BUY 1 Units @0.0000						
03/19/2018	***-**-2447 - FISCHER, MAX	TAX-EXEMPT BOND FUND OF AMERICA	49,979.00	1,356.42	0.00	1,356.42	0.5	6.78
	ACCOUNT #: LSR012557	BUY 3772 Units @13.2500						
03/15/2018	***-**-3569 - ANDERSON,	THE BOND FUND OF AMERICA-A	75.00	2.06	0.00	2.06	0.5	0.01
	ACCOUNT #: 00088334300	BUY 6 Units @13.0600						
03/15/2018	***-**-3569 - ANDERSON,	THE GROWTH FUND OF AMERICA-A	70.00	1.93	0.00	1.93	0.5	0.01
	ACCOUNT #: 00088334300	BUY 1 Units @55.2800						
03/15/2018	***-**-3569 - ANDERSON,	THE INCOME FUND OF AMERICA-A	50.00	1.38	0.00	1.38	0.5	0.01
	ACCOUNT #: 00088334300	BUY 2 Units @23.8200						
03/15/2018	***-**-3569 - ANDERSON,	THE NEW ECONOMY FUND-A	65.00	1.79	0.00	1.79	0.5	0.01
	ACCOUNT #: 00088334300	BUY 1 Units @51.1700						
03/14/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	252.36	4.04	0.00	4.04	0.5	0.02
	ACCOUNT #: 01293376129	BUY 5 Units @47.1600						
<b> * WILLIAM FRANCIS SUBTOTAL * </b>			<b>\$101,198.37</b>	<b>\$3,038.75</b>	<b>\$0.00</b>	<b>\$3,038.75</b>		<b>\$15.20</b>





# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: DEVIN BORN</u></b>								
03/16/2018	***-**-26IN - NYBERG-PENNELL, ACCOUNT #: LSR011843	AMERIGAS PARTNERS LP UTS RPSTG COM BUY 200 Units @41.8280	8,365.60	79.94	0.00	79.94	0.5	0.40
03/21/2018	***-**-25IO - SMITH, CAROL ACCOUNT #: LSM000178	AT&T INC COM SELL 140 Units @36.1150	5,056.10	15.00	0.00	15.00	0.5	0.08
03/16/2018	***-**-26IN - NYBERG-PENNELL, ACCOUNT #: LSR011843	ENERGY TRANSFER PARTNERS LP UNIT LT BUY 100 Units @17.1190	1,711.90	30.00	0.00	30.00	0.5	0.15
03/16/2018	***-**-26IN - NYBERG-PENNELL, ACCOUNT #: LSR011843	ENERGY TRANSFER PARTNERS LP UNIT LT BUY 100 Units @17.1190	1,711.90	9.38	0.00	9.38	0.5	0.05
03/16/2018	***-**-26IN - NYBERG-PENNELL, ACCOUNT #: LSR011843	ENERGY TRANSFER PARTNERS LP UNIT LT BUY 200 Units @17.1190	3,423.80	35.40	0.00	35.40	0.5	0.18
03/16/2018	***-**-26IN - NYBERG-PENNELL, ACCOUNT #: LSR011843	ENERGY TRANSFER PARTNERS LP UNIT LT BUY 100 Units @17.1190	1,711.90	5.82	0.00	5.82	0.5	0.03
03/16/2018	***-**-26IN - NYBERG-PENNELL, ACCOUNT #: LSR011843	ENERGY TRANSFER PARTNERS LP UNIT LT BUY 43 Units @17.1200	736.16	2.51	0.00	2.51	0.5	0.01
03/16/2018	***-**-26IN - NYBERG-PENNELL, ACCOUNT #: LSR011843	ENERGY TRANSFER PARTNERS LP UNIT LT BUY 57 Units @17.1190	975.78	3.31	0.00	3.31	0.5	0.02
03/26/2018	***-**-05IR - BORN, SHAWN ACCOUNT #: LSM000165	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	2.97	0.00	2.97	0.5	0.01
03/26/2018	***-**-2332 - BACHMAN, TORI ACCOUNT #: 6060001269	EQUITY PORTFOLIO A BUY 0 Units @0.0000	60.00	2.55	0.00	2.55	0.5	0.01
03/12/2018	***-**-03IN - COLLINS, CHRISTY ACCOUNT #: 00700912066	FA 529 PORT 2019-OLD CL A BUY 9 Units @21.2600	200.00	6.00	0.00	6.00	0.5	0.03
03/12/2018	***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499630	FA 529 PORT 2022-CL A BUY 2 Units @28.6300	50.00	2.50	0.00	2.50	0.5	0.01
03/12/2018	***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499655	FA 529 PORT 2022-CL A BUY 2 Units @28.6300	50.00	2.50	0.00	2.50	0.5	0.01
03/26/2018	***-**-87IR - BORN, LAUREN ACCOUNT #: LSM000173	FEDERATED BOND CLASS A-NFS BUY 1 Units @0.0000	0.00	1.81	0.00	1.81	0.5	0.01
03/26/2018	***-**-514J - WESSNER, LINDA ACCOUNT #: LSR011799	FEDERATED BOND CLASS A-NFS BUY 1 Units @0.0000	0.00	1.17	0.00	1.17	0.5	0.01
03/26/2018	***-**-514J - WESSNER, LINDA ACCOUNT #: LSR011799	FEDERATED CAPITAL INCOME FUND BUY 1 Units @0.0000	0.00	4.19	0.00	4.19	0.5	0.02



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: DEVIN BORN (continued) </u></b>								
03/05/2018	***-**-817J - ESHBACH, DANIEL	FEDERATED KAUFMAN SMALL-CAP FUND CL	7,500.00	375.00	0.00	375.00	0.5	1.88
	ACCOUNT #: 09999348808	BUY 203 Units @36.9300						
03/05/2018	***-**-817J - ESHBACH, DANIEL	FEDERATED KAUFMANN FUND	7,500.00	375.00	0.00	375.00	0.5	1.88
	ACCOUNT #: 09999348737	BUY 1161 Units @6.4600						
03/26/2018	***-**-514J - WESSNER, LINDA	FEDERATED KAUFMANN FUND	0.00	3.39	0.00	3.39	0.5	0.02
	ACCOUNT #: LSR011799	BUY 1 Units @0.0000						
03/12/2018	***-**-4593 - TOWER, GERTRUDE	FIDELITY ADV MF 040813	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 01471499648	BUY 2 Units @21.7900						
03/15/2018	***-**-4794 - BARRETT, JAMES	FIDELITY ADV TOTAL BOND-CL A	300.00	11.25	0.00	11.25	0.5	0.06
	ACCOUNT #: 00700238868	BUY 28 Units @10.8500						
03/12/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD A	100.00	3.50	0.00	3.50	0.5	0.02
	ACCOUNT #: 00777296924	BUY 28 Units @3.6000						
03/12/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD A	100.00	3.50	0.00	3.50	0.5	0.02
	ACCOUNT #: 00777296925	BUY 28 Units @3.6000						
03/21/2018	***-**-33IN - SCHWEMMER, JOHN	ISHARES TR DOW JONES US MEDICAL DEV	10,030.25	23.94	0.00	23.94	0.5	0.12
	ACCOUNT #: LSR012199	BUY 53 Units @189.2500						
03/21/2018	***-**-33IN - SCHWEMMER, JOHN	ISHARES U S FINANCIAL SERVICES ETF	9,951.19	23.56	0.00	23.56	0.5	0.12
	ACCOUNT #: LSR012199	BUY 72 Units @138.2110						
03/12/2018	***-**-03IN - COLLINS, CHRISTY	JOHN HANCOCK BLUE CHIP GROWTH CL A	100.00	3.75	0.00	3.75	0.5	0.02
	ACCOUNT #: 00003377198	BUY 2 Units @42.3700						
03/12/2018	***-**-0738 - WESSNER, TODD	JOHN HANCOCK DISCIPLINED VALUE A	99.29	2.83	0.00	2.83	0.5	0.01
	ACCOUNT #: 00002492630	BUY 4 Units @24.0800						
03/12/2018	***-**-3295 - KUNKEL, WILLIAM	LIFESTYLE AGGRESSIVE PORTFOLIO A	46.76	1.33	0.00	1.33	0.5	0.01
	ACCOUNT #: 00002492633	BUY 3 Units @17.8000						
03/12/2018	***-**-3295 - KUNKEL, WILLIAM	LIFESTYLE AGGRESSIVE PORTFOLIO A	46.76	1.33	0.00	1.33	0.5	0.01
	ACCOUNT #: 00002492633	BUY 3 Units @17.8000						
03/23/2018	***-**-393I - HIMMELBERGER,	NFS FINANCIAL PLANNING FEE	0.00	180.00	0.00	180.00	0.5	0.90
	ACCOUNT #: LSM-000154	BUY 0 Units @0.0000						
03/23/2018	***-**-715I - HIMMELBERGER,	NFS FINANCIAL PLANNING FEE	0.00	180.00	0.00	180.00	0.5	0.90
	ACCOUNT #: LSM-000155	BUY 0 Units @0.0000						
03/23/2018	***-**-005I - BORN, SHAWN	NFS FINANCIAL PLANNING FEE	0.00	180.00	0.00	180.00	0.5	0.90
	ACCOUNT #: LSM-000166	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: DEVIN BORN (continued)</u></b>								
03/23/2018	***-**-25IO - SMITH, CAROL	NFS FINANCIAL PLANNING FEE	0.00	275.00	0.00	275.00	0.5	1.38
	ACCOUNT #: LSM-000178	BUY 0 Units @0.0000						
03/23/2018	***-**-01IO - RATH, ROBERT	NFS FINANCIAL PLANNING FEE	0.00	180.00	0.00	180.00	0.5	0.90
	ACCOUNT #: LSM-000189	BUY 0 Units @0.0000						
03/26/2018	***-**-225I - GANGEWERE, MARK	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	2.32	0.00	2.32	0.5	0.01
	ACCOUNT #: LSR011891	BUY 1 Units @0.0000						
03/18/2018	***-**-2999 - GODFREY, MICHAEL	PACIFIC LIFE PORTFOLIOS TRAILS	0.00	17.57	0.00	17.57	0.5	0.09
	ACCOUNT #: VR06088505	BUY 0 Units @0.0000						
03/26/2018	***-**-36IO - CEGANICK,	PIMCO TOTAL RETURN CLASS A	0.00	2.04	0.00	2.04	0.5	0.01
	ACCOUNT #: LSM000176	BUY 1 Units @0.0000						
03/26/2018	***-**-72IO - BUSHBY, JANET	PUTNAM ABSOLUTE RETURN 500 FUND CLA	0.00	1.19	0.00	1.19	0.5	0.01
	ACCOUNT #: LSR011729	BUY 1 Units @0.0000						
03/26/2018	***-**-33IN - SCHWEMMER, JOHN	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	3.32	0.00	3.32	0.5	0.02
	ACCOUNT #: LSR012199	BUY 1 Units @0.0000						
03/26/2018	***-**-87IR - BORN, LAUREN	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	1.73	0.00	1.73	0.5	0.01
	ACCOUNT #: LSM000173	BUY 1 Units @0.0000						
03/26/2018	***-**-33IO - GARGIULO, PAUL	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	1.69	0.00	1.69	0.5	0.01
	ACCOUNT #: LSR011694	BUY 1 Units @0.0000						
03/26/2018	***-**-4593 - TOWER, GERTRUDE	PUTNAM HIGH YIELD ADVANTAGE CLASS B	0.00	6.17	0.00	6.17	0.5	0.03
	ACCOUNT #: LSM000148	BUY 1 Units @0.0000						
03/26/2018	***-**-38IO - SCHWEMMER, MARY	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	3.43	0.00	3.43	0.5	0.02
	ACCOUNT #: LSR011798	BUY 1 Units @0.0000						
03/26/2018	***-**-34IO - KAUFFMAN, GARY	PUTNAM INCOME FUND B	0.00	3.67	0.00	3.67	0.5	0.02
	ACCOUNT #: LSM000145	BUY 1 Units @0.0000						
03/26/2018	***-**-33IO - GARGIULO, PAUL	PUTNAM INCOME FUND B	0.00	4.72	0.00	4.72	0.5	0.02
	ACCOUNT #: LSR011694	BUY 1 Units @0.0000						
03/26/2018	***-**-17IO - KILLIAN, FRANCES	THE HARTFORD CAPITAL APPRECIATION F	0.00	30.59	0.00	30.59	0.5	0.15
	ACCOUNT #: LSM000170	BUY 1 Units @0.0000						
03/26/2018	***-**-57IN - NAUGLE, DENNIS	THE HARTFORD HIGH YIELD FUND-A	0.00	6.12	0.00	6.12	0.5	0.03
	ACCOUNT #: LSM000136	BUY 1 Units @0.0000						
03/26/2018	***-**-33IN - SCHWEMMER, JOHN	THE HARTFORD HIGH YIELD FUND-A	0.00	7.73	0.00	7.73	0.5	0.04
	ACCOUNT #: LSR012199	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DEVIN BORN (continued)</u></b>								
03/26/2018	***-**-01IO - RATH, ROBERT	THE HARTFORD MID CAP FUND-A	0.00	15.01	0.00	15.01	0.5	0.08
	ACCOUNT #: LSM000189	BUY 1 Units @0.0000						
03/21/2018	***-**-33IN - SCHWEMMER, JOHN	VANGUARD HIGH YIELD CORPORATE BOND	20,000.00	32.00	0.00	32.00	0.5	0.16
	ACCOUNT #: LSR012199	BUY 3466 Units @5.7700						
		<b>* DEVIN BORN SUBTOTAL *</b>	<b>\$79,877.39</b>	<b>\$2,170.23</b>	<b>\$0.00</b>	<b>\$2,170.23</b>		<b>\$10.90</b>
<b><u>BROKER: GRADY GORMAN</u></b>								
03/26/2018	***-**-463I - MACKEY, LAURA	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	1.95	0.00	1.95	0.5	0.01
	ACCOUNT #: LSR011920	BUY 1 Units @0.0000						
03/26/2018	***-**-463I - MACKEY, LAURA	HCM TACTICAL GROWTH FND CL A-NFS	0.00	2.00	0.00	2.00	0.5	0.01
	ACCOUNT #: LSR011920	BUY 1 Units @0.0000						
		<b>* GRADY GORMAN SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$3.95</b>	<b>\$0.00</b>	<b>\$3.95</b>		<b>\$0.02</b>
<b><u>BROKER: ROBERT PEDERSEN</u></b>								
03/26/2018	***-**-903I - DONNELLY, VERNON	FRANKLIN CONSERVATIV ALLOCATION CLA	0.00	10.09	0.00	10.09	0.5	0.05
	ACCOUNT #: LSR011877	BUY 1 Units @0.0000						
03/26/2018	***-**-19IO - ZUKOWSKI, SANDRA	FRANKLIN CONSERVATIV ALLOCATION CLA	0.00	4.05	0.00	4.05	0.5	0.02
	ACCOUNT #: LSR011986	BUY 1 Units @0.0000						
03/26/2018	***-**-51IO - RIEBS, TERESA	FRK TEMP FOUNDING FUNDS ALLOC FND C	0.00	4.46	0.00	4.46	0.5	0.02
	ACCOUNT #: LSR012118	BUY 1 Units @0.0000						
03/26/2018	***-**-903I - DONNELLY, VERNON	FRK UTIL FND - CL A	0.00	5.63	0.00	5.63	0.5	0.03
	ACCOUNT #: LSR011877	BUY 1 Units @0.0000						
03/26/2018	***-**-19IO - ZUKOWSKI, SANDRA	FRK UTIL FND - CL A	0.00	2.20	0.00	2.20	0.5	0.01
	ACCOUNT #: LSR011986	BUY 1 Units @0.0000						
03/26/2018	***-**-51IO - RIEBS, TERESA	FRK UTIL FND - CL A	0.00	2.45	0.00	2.45	0.5	0.01
	ACCOUNT #: LSR012118	BUY 1 Units @0.0000						
03/24/2018	***-**-9917 - NEUFELD, BETTY	PRINCIPAL 74254L168	6,809.83	1.70	0.00	1.70	0.5	0.01
	ACCOUNT #: 5494130	BUY 0 Units @0.0000						
03/23/2018	***-**-1485 - MORENO,	PRINCIPAL CUSIP	150.00	3.00	0.00	3.00	0.5	0.02
	ACCOUNT #: 5124137	BUY 0 Units @0.0000						
03/26/2018	***-**-9413 - TANWANI,	PRINCIPAL VA	0.00	6.78	0.00	6.78	0.5	0.03
	ACCOUNT #: 5490557	BUY 1 Units @0.0000						
		<b>* ROBERT PEDERSEN SUBTOTAL *</b>	<b>\$6,959.83</b>	<b>\$40.36</b>	<b>\$0.00</b>	<b>\$40.36</b>		<b>\$0.20</b>



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: DAVID IOBST</u></b>								
03/16/2018	***-**-5144 - DI BLASI, ACCOUNT #: 04000741295	AMERICAN FUNDS 2025 TARGET DATE-A BUY 1 Units @14.4600	20.67	1.03	0.00	1.03	0.5	0.01
03/16/2018	***-**-5144 - DI BLASI, ACCOUNT #: 04000741295	AMERICAN FUNDS 2025 TARGET DATE-A BUY 1 Units @14.4600	20.67	1.03	0.00	1.03	0.5	0.01
03/13/2018	***-**-211J - IOBST, DAVID ACCOUNT #: 04000427881	COLLEGE 2030 FUND-529A BUY 7 Units @14.4800	100.00	3.50	0.00	3.50	0.5	0.02
* DAVID IOBST SUBTOTAL *			<b>\$141.34</b>	<b>\$5.56</b>	<b>\$0.00</b>	<b>\$5.56</b>		<b>\$0.04</b>
<b><u>BROKER: JOHN TRUMAN</u></b>								
03/26/2018	***-**-034I - FRANKLIN, JEAN ACCOUNT #: LSR011981	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	1.96	0.00	1.96	0.5	0.01
03/26/2018	***-**-706I - SAVAGE, KEVIN ACCOUNT #: LSR012412	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	13.48	0.00	13.48	0.5	0.07
03/26/2018	***-**-784I - PARKS, CARL ACCOUNT #: LSR012273	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	4.59	0.00	4.59	0.5	0.02
03/26/2018	***-**-9175 - GARDNER, JAMES ACCOUNT #: LSR012304	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	9.26	0.00	9.26	0.5	0.05
03/26/2018	***-**-171O - BALLARD, SCOTT ACCOUNT #: LSR012284	FRK CA TF INC FND - CL A BUY 1 Units @0.0000	0.00	3.26	0.00	3.26	0.5	0.02
03/26/2018	***-**-758I - EBERT, KIMBERLY ACCOUNT #: LSR012072	MUTUAL DISC FND - CL C BUY 1 Units @0.0000	0.00	1.46	0.00	1.46	0.5	0.01
03/26/2018	***-**-784I - PARKS, CARL ACCOUNT #: LSR012273	PIMCO FLOATING INCOME FD CL A BUY 1 Units @0.0000	0.00	4.87	0.00	4.87	0.5	0.02
03/26/2018	***-**-9175 - GARDNER, JAMES ACCOUNT #: LSR012304	PIMCO FLOATING INCOME FD CL A BUY 1 Units @0.0000	0.00	6.48	0.00	6.48	0.5	0.03
03/26/2018	***-**-034I - FRANKLIN, JEAN ACCOUNT #: LSR011981	PIMCO INV GRADE CORP BUY 1 Units @0.0000	0.00	4.42	0.00	4.42	0.5	0.02
03/26/2018	***-**-78IO - SWECKARD, KEVIN ACCOUNT #: LSR012025	PIMCO INV GRADE CORP BUY 1 Units @0.0000	0.00	3.04	0.00	3.04	0.5	0.02
03/26/2018	***-**-784I - PARKS, CARL ACCOUNT #: LSR012273	PIMCO INV GRADE CORP BUY 1 Units @0.0000	0.00	4.77	0.00	4.77	0.5	0.02
03/26/2018	***-**-171I - BALLARD, SCOTT ACCOUNT #: LSR012282	PIMCO INV GRADE CORP BUY 1 Units @0.0000	0.00	1.36	0.00	1.36	0.5	0.01



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: JOHN TRUMAN (continued)</u> </b>								
03/26/2018	***-**-9175 - GARDNER, JAMES	PIMCO INV GRADE CORP	0.00	7.18	0.00	7.18	0.5	0.04
	ACCOUNT #: LSR012304	BUY 1 Units @0.0000						
03/26/2018	***-**-9175 - GARDNER, JAMES	TEMP FRGN FND - CL A	0.00	5.95	0.00	5.95	0.5	0.03
	ACCOUNT #: LSR012304	BUY 1 Units @0.0000						
03/15/2018	***-**-8642 - LORD, BENJAMIN	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00084039536	BUY 1 Units @56.6000						
03/26/2018	***-**-051R - WELCH, PAMELA	TRANSAMERICA ASSET ALL CONV PORT CL	0.00	1.67	0.00	1.67	0.5	0.01
	ACCOUNT #: LSR012011	BUY 1 Units @0.0000						
<b> * JOHN TRUMAN SUBTOTAL * </b>			<b> \$50.00 </b>	<b> \$76.25 </b>	<b> \$0.00 </b>	<b> \$76.25 </b>		<b> \$0.39 </b>
<b> <u>BROKER: ALEXANDER HACKLEY</u> </b>								
03/26/2018	***-**-848I - SCHNEIDER,	HARTFORD BALANCED INCOME FD CL A	0.00	4.35	0.00	4.35	0.5	0.02
	ACCOUNT #: LSR011876	BUY 1 Units @0.0000						
03/26/2018	***-**-978I - LITTON, KENT	HARTFORD BALANCED INCOME FD CL A	0.00	7.69	0.00	7.69	0.5	0.04
	ACCOUNT #: LSR011882	BUY 1 Units @0.0000						
03/26/2018	***-**-679I - BURGDORF, SANDRA	HARTFORD BALANCED INCOME FD CL A	0.00	3.82	0.00	3.82	0.5	0.02
	ACCOUNT #: LSR011921	BUY 1 Units @0.0000						
03/26/2018	***-**-502I - GRANDSTAFF,	HARTFORD BALANCED INCOME FD CL A	0.00	1.72	0.00	1.72	0.5	0.01
	ACCOUNT #: LSR011929	BUY 1 Units @0.0000						
03/26/2018	***-**-266I - RONS, JANICE	HARTFORD BALANCED INCOME FD CL A	0.00	5.00	0.00	5.00	0.5	0.03
	ACCOUNT #: LSR012038	BUY 1 Units @0.0000						
03/26/2018	***-**-632J - HIGGINSON,	HARTFORD BALANCED INCOME FD CL A	0.00	5.60	0.00	5.60	0.5	0.03
	ACCOUNT #: LSR012042	BUY 1 Units @0.0000						
03/26/2018	***-**-82TR - DAVIES, JANET	HARTFORD BALANCED INCOME FD CL A	0.00	5.77	0.00	5.77	0.5	0.03
	ACCOUNT #: LSR012422	BUY 1 Units @0.0000						
03/26/2018	***-**-14IN - MEDLICOTT,	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	2.08	0.00	2.08	0.5	0.01
	ACCOUNT #: LSR011899	BUY 1 Units @0.0000						
03/26/2018	***-**-502J - GRANDSTAFF,	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	8.43	0.00	8.43	0.5	0.04
	ACCOUNT #: LSR011931	BUY 1 Units @0.0000						
03/26/2018	***-**-32IR - HIGGINSON,	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	1.42	0.00	1.42	0.5	0.01
	ACCOUNT #: LSR012041	BUY 1 Units @0.0000						
03/26/2018	***-**-626I - STERTZ, DALE	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	7.78	0.00	7.78	0.5	0.04
	ACCOUNT #: LSR012238	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: ALEXANDER HACKLEY (continued)</u> </b>								
03/26/2018	***-**-632I - HIGGINSON, ACCOUNT #: LSR012342	HCM DIVIDEND SECTOR PLUS FND CL A-N BUY 1 Units @0.0000	0.00	3.21	0.00	3.21	0.5	0.02
03/26/2018	***-**-25IR - HIGGINSON, GAIL ACCOUNT #: LSR012345	HCM DIVIDEND SECTOR PLUS FND CL A-N BUY 1 Units @0.0000	0.00	1.09	0.00	1.09	0.5	0.01
03/26/2018	***-**-471O - DAVIES, ROBERT ACCOUNT #: LSR012157	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	0.5	0.01
03/20/2018	***-**-9402 - VALENZUELA, ACCOUNT #: 1016267798	PERSPECTIVE II DTCC BUY 0 Units @0.0000	100.00	7.00	0.00	7.00	0.5	0.04
03/26/2018	***-**-934I - HARVEY, CHERYL ACCOUNT #: LSR011885	PUTNAM EQUITY INCOME FUND-A BUY 1 Units @0.0000	0.00	1.70	0.00	1.70	0.5	0.01
<b> * ALEXANDER HACKLEY SUBTOTAL * </b>			<b> \$100.00 </b>	<b> \$67.66 </b>	<b> \$0.00 </b>	<b> \$67.66 </b>		<b> \$0.37 </b>
<b> <u>BROKER: SAMUEL BARBER</u> </b>								
03/26/2018	***-**-244O - RICHTER, DIANA ACCOUNT #: LSR011984	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	10.46	0.00	10.46	0.5	0.05
03/22/2018	***-**-20FB - CAREY, EDWARD	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	6.18	0.00	6.18	0.5	0.03
03/26/2018	***-**-734O - RODESCHINI, ACCOUNT #: LSR011934	OPPENHEIMER GLOBAL CLASS C BUY 1 Units @0.0000	0.00	23.33	0.00	23.33	0.5	0.12
03/26/2018	***-**-244O - RICHTER, DIANA ACCOUNT #: LSR011984	PIMCO H/Y-NFS BUY 1 Units @0.0000	0.00	22.28	0.00	22.28	0.5	0.11
03/26/2018	***-**-244O - RICHTER, DIANA ACCOUNT #: LSR011984	PIMCO H/Y-NFS BUY 1 Units @0.0000	0.00	8.57	0.00	8.57	0.5	0.04
03/26/2018	***-**-734O - RODESCHINI, ACCOUNT #: LSR011934	PIMCO LOW DURATION INCOME FUND CL C BUY 1 Units @0.0000	0.00	7.62	0.00	7.62	0.5	0.04
03/26/2018	***-**-734O - RODESCHINI, ACCOUNT #: LSR011934	PIMCO LOW DURATION INCOME FUND CL C BUY 1 Units @0.0000	0.00	7.62	0.00	7.62	0.5	0.04
03/26/2018	***-**-244O - RICHTER, DIANA ACCOUNT #: LSR011984	PIMCO TOTAL RETURN C BUY 1 Units @0.0000	0.00	9.93	0.00	9.93	0.5	0.05
03/26/2018	***-**-244O - RICHTER, DIANA ACCOUNT #: LSR011984	PIMCO TOTAL RETURN C BUY 1 Units @0.0000	0.00	3.82	0.00	3.82	0.5	0.02
03/26/2018	***-**-734O - RODESCHINI, ACCOUNT #: LSR011934	TEMPLETON GLOBAL BOND FUND - CLASS BUY 1 Units @0.0000	0.00	4.15	0.00	4.15	0.5	0.02



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: SAMUEL BARBER (continued)</u></b>								
03/26/2018	***-**-2440 - RICHTER, DIANA	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	7.89	0.00	7.89	0.5	0.04
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
		<b>* SAMUEL BARBER SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$111.85</b>	<b>\$0.00</b>	<b>\$111.85</b>		<b>\$0.56</b>
<b><u>BROKER: GARRETT MORETZ</u></b>								
03/22/2018	***-**-36CL - MORETZ, CLIENTS	EQIS ADVISOR FEES	0.00	2,141.78	0.00	2,141.78	0.5	10.71
		BUY 1 Units @0.0000						
03/21/2018	***-**-2650 - RUSSELL, CHARLES	ISHARES IBOX \$ INVESTOP INVESTMENT	1,275.60	35.97	0.00	35.97	0.5	0.18
	ACCOUNT #: LSR012102	SELL 11 Units @115.9640						
03/21/2018	***-**-2650 - RUSSELL, CHARLES	VANGUARD INDEX FDS MIDCAP GROWTH IN	1,074.38	32.60	0.00	32.60	0.5	0.16
	ACCOUNT #: LSR012102	SELL 8 Units @134.2970						
03/21/2018	***-**-2650 - RUSSELL, CHARLES	VANGUARD INDEX FDS VANGUARD GROWTH	1,182.64	34.44	0.00	34.44	0.5	0.17
	ACCOUNT #: LSR012102	SELL 8 Units @147.8300						
03/21/2018	***-**-2650 - RUSSELL, CHARLES	VANGUARD INDEX FDS VANGUARD SMALL C	1,014.61	31.63	0.00	31.63	0.5	0.16
	ACCOUNT #: LSR012102	SELL 6 Units @169.1010						
		<b>* GARRETT MORETZ SUBTOTAL *</b>	<b>\$4,547.23</b>	<b>\$2,276.42</b>	<b>\$0.00</b>	<b>\$2,276.42</b>		<b>\$11.38</b>
<b><u>BROKER: STANLEY (DARRELL) KEATHLEY</u></b>								
03/22/2018	***-**-814I - SELVIDGE, SHELIA	CAPITAL INCOME BUILDER-A	16,660.03	815.00	0.00	815.00	0.5	4.08
	ACCOUNT #: LSR012009	BUY 262 Units @63.6100						
03/26/2018	***-**-820J - PFAFF, ROBERT	FIRST EAGLE GLOBAL FUND CLASS A	0.00	2.67	0.00	2.67	0.5	0.01
	ACCOUNT #: LSR012004	BUY 1 Units @0.0000						
03/26/2018	***-**-29IR - CLARK, RONALD	FIRST EAGLE GLOBAL FUND CLASS A	0.00	1.52	0.00	1.52	0.5	0.01
	ACCOUNT #: LSR012121	BUY 1 Units @0.0000						
03/26/2018	***-**-820J - PFAFF, ROBERT	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	8.59	0.00	8.59	0.5	0.04
	ACCOUNT #: LSR012004	BUY 1 Units @0.0000						
03/26/2018	***-**-814I - SELVIDGE, SHELIA	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	9.15	0.00	9.15	0.5	0.05
	ACCOUNT #: LSR012009	BUY 1 Units @0.0000						
03/26/2018	***-**-3970 - BISHOP, RICHARD	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	1.90	0.00	1.90	0.5	0.01
	ACCOUNT #: LSR012040	BUY 1 Units @0.0000						
03/26/2018	***-**-926I - VONSTEMPA, JOYCE	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	7.41	0.00	7.41	0.5	0.04
	ACCOUNT #: LSR012180	BUY 1 Units @0.0000						





# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: STANLEY (DARRELL) KEATHLEY (continued)</u> </b>								
03/22/2018	***-**-814I - SELVIDGE, SHELIA VICTORY CEMP US 500 ENH VOL WTD IND		30,940.00	1,529.00	0.00	1,529.00	0.5	7.65
	ACCOUNT #: LSR012009	BUY 1791 Units @17.2800						
		<b>* STANLEY (DARRELL) KEATHLEY SUBTOTAL *</b>	<b>\$47,600.03</b>	<b>\$2,375.24</b>	<b>\$0.00</b>	<b>\$2,375.24</b>		<b>\$11.89</b>
<b> <u>BROKER: JEFFREY HANRETTY</u> </b>								
03/26/2018	***-**-949I - ZAGER, YVONNE	ALLIANZ OCC RENAISSANCE FUND CLASS	0.00	3.98	0.00	3.98	0.5	0.02
	ACCOUNT #: LSR012050	BUY 1 Units @0.0000						
03/13/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	62.50	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00073744276	BUY 2 Units @34.9100						
03/13/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	62.50	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00073744276	BUY 2 Units @28.0800						
03/15/2018	***-**-5376 - CARROCK, LOUIS	AMERICAN BALANCED FUND-A	100.00	5.00	0.00	5.00	0.5	0.03
	ACCOUNT #: 00069250497	BUY 3 Units @28.8500						
03/13/2018	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	40.71	1.53	0.00	1.53	0.5	0.01
	ACCOUNT #: 00085629087	BUY 3 Units @13.2800						
03/13/2018	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	50.00	1.88	0.00	1.88	0.5	0.01
	ACCOUNT #: 00085629087	BUY 4 Units @13.2800						
03/15/2018	***-**-4459 - CRAFT, ROSEANNE	AMERICAN FUNDS 2020 TARGET DATE-A	100.00	4.25	0.00	4.25	0.5	0.02
	ACCOUNT #: 00081619958	BUY 8 Units @13.3200						
03/01/2018	***-**-6382 - ZOGBY, KAREN	AMERICAN FUNDS 2030 TARGET DATE-A	-100.00	-5.00	0.00	-5.00	0.5	-0.03
	ACCOUNT #: 00089152479	BUY 7 Units @15.3500						
03/13/2018	***-**-8758 - RIOLO, LAWRENCE	AMERICAN FUNDS 2035 TARGET DATE-A	51.08	1.92	0.00	1.92	0.5	0.01
	ACCOUNT #: 04000070058	BUY 3 Units @16.0500						
03/13/2018	***-**-8758 - RIOLO, LAWRENCE	AMERICAN FUNDS 2035 TARGET DATE-A	49.21	1.85	0.00	1.85	0.5	0.01
	ACCOUNT #: 04000070058	BUY 3 Units @16.0500						
03/13/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	53.00	1.99	0.00	1.99	0.5	0.01
	ACCOUNT #: 00085629076	BUY 1 Units @55.5200						
03/15/2018	***-**-9311 - YOZZO, JOSEPH	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00068838818	BUY 2 Units @54.0900						
03/15/2018	***-**-1701 - NOFFER, THOMAS	CAPITAL WORLD GROWTH AND INCOME FUN	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00083444381	BUY 1 Units @55.5200						
03/15/2018	***-**-9311 - YOZZO, JOSEPH	EUROPACIFIC GROWTH FUND-A	166.00	3.32	0.00	3.32	0.5	0.02
	ACCOUNT #: 00068838818	BUY 3 Units @59.7000						



# COMMISSION STATEMENT

## March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: JEFFREY HANRETTY (continued)</u></b>								
03/05/2018	***-**-3203 - COMPION, ELLEN	GLOBAL FUND A	25.00	1.19	0.00	1.19	0.5	0.01
	ACCOUNT #: 03307715985	BUY 0 Units @0.0000						
03/26/2018	***-**-781I - GOULD, ROBERT	LORD ABBETT AFFILIATED CLASS C	0.00	12.52	0.00	12.52	0.5	0.06
	ACCOUNT #: LSR012003	BUY 1 Units @0.0000						
03/13/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	62.50	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00073744276	BUY 1 Units @71.4100						
03/13/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	53.00	1.99	0.00	1.99	0.5	0.01
	ACCOUNT #: 00085629076	BUY 1 Units @72.9000						
03/15/2018	***-**-931I - YOZZO, JOSEPH	NEW WORLD FUND-A	125.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00068838818	BUY 2 Units @71.3400						
03/05/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER CORE BOND FUND A	25.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: 02852429284	BUY 0 Units @0.0000						
03/01/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER MAIN STREET OPPORTUNITY	100.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: 07331164691	BUY 0 Units @0.0000						
03/05/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER SMALL & MID CAP VALUE F	25.00	1.19	0.00	1.19	0.5	0.01
	ACCOUNT #: 02514937295	BUY 0 Units @0.0000						
03/26/2018	***-**-931I - YOZZO, JOSEPH	PIM72200Q216	150.00	1.50	0.00	1.50	0.5	0.01
		BUY 0 Units @0.0000						
03/26/2018	***-**-781I - GOULD, ROBERT	PUTNAM EQUITY INCOME FUND-M	0.00	4.66	0.00	4.66	0.5	0.02
	ACCOUNT #: LSR012003	BUY 1 Units @0.0000						
03/26/2018	***-**-13IN - KUPIEC, RICHARD	ROCHESTER FUND MUNICIPALS A	0.00	1.54	0.00	1.54	0.5	0.01
	ACCOUNT #: LSR012014	BUY 1 Units @0.0000						
03/05/2018	***-**-3203 - COMPION, ELLEN	SELECT VALUE FUND A	25.00	1.19	0.00	1.19	0.5	0.01
	ACCOUNT #: 06000770220	BUY 0 Units @0.0000						
03/13/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	62.50	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00073744276	BUY 1 Units @59.7400						
03/13/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	53.00	1.99	0.00	1.99	0.5	0.01
	ACCOUNT #: 00085629076	BUY 1 Units @60.9900						
03/13/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	53.00	1.59	0.00	1.59	0.5	0.01
	ACCOUNT #: 00085629076	BUY 4 Units @13.0900						
03/15/2018	***-**-931I - YOZZO, JOSEPH	THE BOND FUND OF AMERICA-A	125.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00068838818	BUY 10 Units @12.9200						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: JEFFREY HANRETTY (continued)</u> </b>								
03/13/2018	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	53.00	1.99	0.00	1.99	0.5	0.01
	ACCOUNT #: 00085629076	BUY 1 Units @56.0400						
03/15/2018	***-**-1701 - NOFFER, THOMAS	THE GROWTH FUND OF AMERICA-A	50.00	2.13	0.00	2.13	0.5	0.01
	ACCOUNT #: 00083444381	BUY 1 Units @56.1600						
03/26/2018	***-**-381I - ZARNOCK, MARTIN	VOYA SMALL CAP OPPORTUNITIES CL C	0.00	9.27	0.00	9.27	0.5	0.05
	ACCOUNT #: LSR012021	BUY 1 Units @0.0000						
03/26/2018	***-**-381J - ZARNOCK, MARTIN	VOYA SMALL CAP OPPORTUNITIES CL C	0.00	10.49	0.00	10.49	0.5	0.05
	ACCOUNT #: LSR012033	BUY 1 Units @0.0000						
	<b>* JEFFREY HANRETTY SUBTOTAL *</b>		<b>\$1,797.00</b>	<b>\$90.59</b>	<b>\$0.00</b>	<b>\$90.59</b>		<b>\$0.49</b>
<b> <u>BROKER: ALFREDO BALLESTEROS</u> </b>								
03/15/2018	***-**-8759 - BELMONT, CHELSIE	AMERICAN BALANCED FUND-A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00089762271	BUY 1 Units @28.8500						
03/20/2018	***-**-51VL - BALLESTEROS, VL	PACIFIC LIFE DTCC RENEWAL	157.00	1.57	0.00	1.57	0.5	0.01
	ACCOUNT #: VP60123270	BUY 0 Units @0.0000						
03/13/2018	***-**-4729 - WONG, VALERIE	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00086993821	BUY 1 Units @56.7900						
03/13/2018	***-**-4729 - WONG, VALERIE	THE INVESTMENT COMPANY OF AMERICA-A	50.00	2.50	0.00	2.50	0.5	0.01
	ACCOUNT #: 00086993821	BUY 1 Units @44.4400						
	<b>* ALFREDO BALLESTEROS SUBTOTAL *</b>		<b>\$282.00</b>	<b>\$7.82</b>	<b>\$0.00</b>	<b>\$7.82</b>		<b>\$0.04</b>
<b> <u>BROKER: JOHN BUSEY</u> </b>								
03/26/2018	***-**-005I - KUCK, LAURA	FRK TEMP FOUNDING FUNDS ALLOC FND C	0.00	18.73	0.00	18.73	0.5	0.09
	ACCOUNT #: LSR012243	BUY 1 Units @0.0000						
	<b>* JOHN BUSEY SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$18.73</b>	<b>\$0.00</b>	<b>\$18.73</b>		<b>\$0.09</b>
<b> <u>BROKER: JOSHUA WEAVER</u> </b>								
02/21/2018	***-**-7661 - LUNDY, AARON	LIVEWELL MUTUAL FUND	10,215.13	102.15	0.00	102.15	0.5	0.51
		BUY 0 Units @0.0000						
03/26/2018	***-**-2428 - KEARNS, KEVIN	SAMMONS LIVEWELL MUTUAL FUND	500.00	5.00	0.00	5.00	0.5	0.03
	ACCOUNT #: 7200046534	BUY 0 Units @0.0000						
03/26/2018	***-**-3803 - MACK, LISA	SAMMONS MF TRAILS	100.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: 7200044839	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: JOSHUA WEAVER (continued)</u> </b>								
03/26/2018	***-**-9557 - HORSLEY, THOMAS	SAMMONS MF TRAILS	100.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: 7200044854	BUY 0 Units @0.0000						
03/26/2018	***-**-2428 - KEARNS, KEVIN	SAMMONS MF TRAILS	1,000.00	10.00	0.00	10.00	0.5	0.05
	ACCOUNT #: 7200046534	BUY 0 Units @0.0000						
03/26/2018	***-**-3189 - LUNDY, SUSAN	SAMMONS MF TRAILS	0.00	4.00	0.00	4.00	0.5	0.02
		BUY 0 Units @0.0000						
* JOSHUA WEAVER SUBTOTAL *			<b>\$11,915.13</b>	<b>\$123.15</b>	<b>\$0.00</b>	<b>\$123.15</b>		<b>\$0.63</b>
<b> <u>BROKER: STEPHEN CARVER</u> </b>								
03/21/2018	***-**-691I - HEINZ, MARK	ABERCROMBIE & FITCH CO CL A	1,081.92	2.48	0.00	2.48	0.5	0.01
	ACCOUNT #: LSR012513	BUY 46 Units @23.5200						
03/21/2018	***-**-691I - HEINZ, MARK	ABERCROMBIE & FITCH CO CL A	1,881.60	30.40	0.00	30.40	0.5	0.15
	ACCOUNT #: LSR012513	BUY 80 Units @23.5200						
03/21/2018	***-**-691I - HEINZ, MARK	ABERCROMBIE & FITCH CO CL A	4,092.31	66.12	0.00	66.12	0.5	0.33
	ACCOUNT #: LSR012513	BUY 174 Units @23.5190						
03/21/2018	***-**-691I - HEINZ, MARK	ABERCROMBIE & FITCH CO CL A	2,352.00	38.00	0.00	38.00	0.5	0.19
	ACCOUNT #: LSR012513	BUY 100 Units @23.5200						
03/21/2018	***-**-691I - HEINZ, MARK	ABERCROMBIE & FITCH CO CL A	2,352.00	38.00	0.00	38.00	0.5	0.19
	ACCOUNT #: LSR012513	BUY 100 Units @23.5200						
03/21/2018	***-**-042I - HEINZ, CHERI	ABERCROMBIE & FITCH CO CL A	11,743.50	175.00	0.00	175.00	0.5	0.88
	ACCOUNT #: LSR012514	BUY 500 Units @23.4870						
03/21/2018	***-**-55IR - WISE, MARY	ARLINGTON ASSET INVT CORP CL A NEW	11,608.00	185.00	0.00	185.00	0.5	0.93
	ACCOUNT #: LSR012558	BUY 1000 Units @11.6080						
03/16/2018	***-**-926I - AUSTIN, MARGIE	AT&T INC COM	261.07	22.86	0.00	22.86	0.5	0.11
	ACCOUNT #: LSR012540	SELL 7 Units @37.2950						
03/22/2018	***-**-447I - HEINZ-OTTE,	ATLAS FINANCIAL HOLDINGS INC COM NP	5,681.00	75.00	0.00	75.00	0.5	0.38
	ACCOUNT #: LSR012465	SELL 500 Units @11.3620						
03/20/2018	***-**-691I - HEINZ, MARK	CORECIVIC INC COM UNSOLICITED ORDER	5,358.45	76.00	0.00	76.00	0.5	0.38
	ACCOUNT #: LSR012513	SELL 257 Units @20.8500						
03/20/2018	***-**-042I - HEINZ, CHERI	CORECIVIC INC COM UNSOLICITED ORDER	4,071.60	96.00	0.00	96.00	0.5	0.48
	ACCOUNT #: LSR012514	SELL 195 Units @20.8800						
03/20/2018	***-**-691I - HEINZ, MARK	ELLINGTON RESIDENTIAL MORTGA UNSOLI	17,062.50	276.00	0.00	276.00	0.5	1.38
	ACCOUNT #: LSR012513	SELL 1500 Units @11.3750						



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: STEPHEN CARVER (continued)</u></b>								
03/20/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	ELLINGTON RESIDENTIAL MORTGA UNSOLI SELL 1000 Units @11.3880	11,388.00	226.00	0.00	226.00	0.5	1.13
03/26/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	FEDERATED MDT SMALL CAP CORE FUND BUY 1 Units @0.0000	0.00	1.08	0.00	1.08	0.5	0.01
03/26/2018	***-**-703I - RHODE, SUSAN ACCOUNT #: LSR012463	FEDERATED MDT SMALL CAP GROWTH BUY 1 Units @0.0000	0.00	1.34	0.00	1.34	0.5	0.01
03/26/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	FEDERATED MDT SMALL CAP GROWTH BUY 1 Units @0.0000	0.00	2.69	0.00	2.69	0.5	0.01
03/26/2018	***-**-84IO - COILE, ROBERT ACCOUNT #: LSR012460	FRK HIGH INC FND - CL A BUY 1 Units @0.0000	0.00	1.08	0.00	1.08	0.5	0.01
03/26/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	FRK HIGH INC FND - CL A BUY 1 Units @0.0000	0.00	1.21	0.00	1.21	0.5	0.01
03/21/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	GENERAL MOTORS CO COM USD0.01 ISIN BUY 100 Units @37.3150	3,731.50	58.00	0.00	58.00	0.5	0.29
03/21/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	GENERAL MOTORS CO COM USD0.01 ISIN BUY 100 Units @37.3150	3,731.50	73.00	0.00	73.00	0.5	0.37
03/21/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	GENERAL MOTORS CO COM USD0.01 ISIN BUY 100 Units @37.3150	3,731.50	73.00	0.00	73.00	0.5	0.37
03/21/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	GENERAL MOTORS CO COM USD0.01 ISIN BUY 100 Units @37.3450	3,734.50	49.67	0.00	49.67	0.5	0.25
03/21/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	GENERAL MOTORS CO COM USD0.01 ISIN BUY 200 Units @37.3490	7,469.80	129.33	0.00	129.33	0.5	0.65
03/19/2018	***-**-20IR - COOK, TAMI ACCOUNT #: LSR012545	JPMORGAN SMALL CAP GROWTH CLASS A BUY 327 Units @18.3500	5,999.99	267.00	0.00	267.00	0.5	1.34
03/19/2018	***-**-61IR - COOK, ALAN ACCOUNT #: LSR012546	JPMORGAN SMALL CAP GROWTH CLASS A BUY 327 Units @18.3500	5,999.99	267.00	0.00	267.00	0.5	1.34
03/20/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	JUST ENERGY GROUP INC COM NPV ISIN BUY 3000 Units @4.5650	13,695.00	165.00	0.00	165.00	0.5	0.83
03/21/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	JUST ENERGY GROUP INC COM NPV ISIN BUY 3000 Units @4.5350	13,605.00	175.00	0.00	175.00	0.5	0.88
03/21/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	JUST ENERGY GROUP INC COM NPV ISIN BUY 1200 Units @4.5490	5,458.80	61.00	0.00	61.00	0.5	0.31



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: STEPHEN CARVER (continued)</u></b>								
03/21/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	JUST ENERGY GROUP INC COM NPV ISIN BUY 100 Units @4.5490	454.90	6.33	0.00	6.33	0.5	0.03
03/21/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	JUST ENERGY GROUP INC COM NPV ISIN BUY 100 Units @4.5490	454.90	6.33	0.00	6.33	0.5	0.03
03/21/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	JUST ENERGY GROUP INC COM NPV ISIN BUY 200 Units @4.5490	909.80	12.67	0.00	12.67	0.5	0.06
03/21/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	JUST ENERGY GROUP INC COM NPV ISIN BUY 100 Units @4.5490	454.90	6.33	0.00	6.33	0.5	0.03
03/21/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	JUST ENERGY GROUP INC COM NPV ISIN BUY 100 Units @4.5490	454.90	6.33	0.00	6.33	0.5	0.03
03/21/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	JUST ENERGY GROUP INC COM NPV ISIN BUY 1000 Units @4.5490	4,549.00	63.33	0.00	63.33	0.5	0.32
03/21/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	JUST ENERGY GROUP INC COM NPV ISIN BUY 200 Units @4.5490	909.80	12.67	0.00	12.67	0.5	0.06
03/21/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	JUST ENERGY GROUP INC COM NPV ISIN BUY 3000 Units @4.5390	13,617.00	175.00	0.00	175.00	0.5	0.88
03/22/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	SEAGATE TECHNOLOGY PLC COM USD0.000 BUY 500 Units @59.1450	29,572.50	570.00	0.00	570.00	0.5	2.85
03/22/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	SEAGATE TECHNOLOGY PLC COM USD0.000 BUY 200 Units @58.7300	11,746.00	206.00	0.00	206.00	0.5	1.03
03/22/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	SEAGATE TECHNOLOGY PLC COM USD0.000 BUY 200 Units @58.6800	11,736.00	206.00	0.00	206.00	0.5	1.03
03/19/2018	***-**-361J - COOK, ALAN ACCOUNT #: LSR012544	ULTRA CLEAN HLDGS INC SELL 396 Units @20.3710	8,066.92	190.08	0.00	190.08	0.5	0.95
03/20/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	ULTRA CLEAN HLDGS INC SELL 240 Units @20.2900	4,869.60	76.20	0.00	76.20	0.5	0.38
03/20/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	ULTRA CLEAN HLDGS INC SELL 100 Units @20.2900	2,029.00	38.00	0.00	38.00	0.5	0.19
03/20/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	ULTRA CLEAN HLDGS INC SELL 10 Units @20.2710	202.71	3.80	0.00	3.80	0.5	0.02
03/19/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	USD PARTNERS LP COM UT REP LTD UNSO SELL 1674 Units @10.8000	18,079.20	310.80	0.00	310.80	0.5	1.55



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: STEPHEN CARVER (continued)</u></b>								
03/19/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	USD PARTNERS LP COM UT REP LTD UNSO SELL 1326 Units @10.8930	14,444.12	265.20	0.00	265.20	0.5	1.33
		<b>* STEPHEN CARVER SUBTOTAL *</b>	<b>\$268,642.78</b>	<b>\$4,787.33</b>	<b>\$0.00</b>	<b>\$4,787.33</b>		<b>\$23.99</b>
<b><u>BROKER: LARRY KOVAL</u></b>								
03/12/2018	***-**-614J - PONGRACZ, ANDREW ACCOUNT #: 00074796753	AMERICAN BALANCED FUND-529A BUY 2 Units @28.8600	50.00	2.13	0.00	2.13	0.5	0.01
03/12/2018	***-**-614J - PONGRACZ, ANDREW ACCOUNT #: 00074796753	THE GROWTH FUND OF AMERICA-529A BUY 1 Units @56.1700	50.00	2.13	0.00	2.13	0.5	0.01
		<b>* LARRY KOVAL SUBTOTAL *</b>	<b>\$100.00</b>	<b>\$4.26</b>	<b>\$0.00</b>	<b>\$4.26</b>		<b>\$0.02</b>
<b><u>BROKER: JAMES (DABNEY) MCMURRAY</u></b>								
03/22/2018	***-**-904I - VARNER, JOSEPH ACCOUNT #: LSR012488	AT&T INC COM BUY 480 Units @35.5970	17,086.56	367.40	0.00	367.40	0.5	1.84
03/21/2018	***-**-302J - MOORE, DEBORAH ACCOUNT #: LSR012452	BLACKSTONE GROUP L P COM UNIT REPST BUY 100 Units @33.3250	3,332.50	37.00	0.00	37.00	0.5	0.19
03/21/2018	***-**-302J - MOORE, DEBORAH ACCOUNT #: LSR012452	BLACKSTONE GROUP L P COM UNIT REPST BUY 30 Units @33.3300	999.90	15.90	0.00	15.90	0.5	0.08
03/21/2018	***-**-302J - MOORE, DEBORAH ACCOUNT #: LSR012452	BLACKSTONE GROUP L P COM UNIT REPST BUY 100 Units @33.3200	3,332.00	29.06	0.00	29.06	0.5	0.15
03/22/2018	***-**-904I - VARNER, JOSEPH ACCOUNT #: LSR012488	BLACKSTONE GROUP L P COM UNIT REPST BUY 100 Units @32.4270	3,242.70	78.00	0.00	78.00	0.5	0.39
03/21/2018	***-**-32IN - CAMPBELL, ACCOUNT #: LSR012533	COLONY NORTHSTAR INC CL A COM SOLIC SELL 18 Units @5.8310	104.96	14.00	0.00	14.00	0.5	0.07
03/21/2018	***-**-32IN - CAMPBELL, ACCOUNT #: LSR012533	COLONY NORTHSTAR INC CL A COM SOLIC SELL 100 Units @5.8310	583.10	3.20	0.00	3.20	0.5	0.02
03/21/2018	***-**-32IN - CAMPBELL, ACCOUNT #: LSR012533	COLONY NORTHSTAR INC CL A COM SOLIC SELL 100 Units @5.8350	583.50	9.92	0.00	9.92	0.5	0.05
03/21/2018	***-**-32IN - CAMPBELL, ACCOUNT #: LSR012533	COLONY NORTHSTAR INC CL A COM SOLIC SELL 100 Units @5.8330	583.30	9.91	0.00	9.91	0.5	0.05
03/21/2018	***-**-32IN - CAMPBELL, ACCOUNT #: LSR012533	COLONY NORTHSTAR INC CL A COM SOLIC SELL 100 Units @5.8310	583.10	9.91	0.00	9.91	0.5	0.05



# COMMISSION STATEMENT

March 26, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: JAMES (DABNEY) MCMURRAY (continued)</u> </b>								
03/26/2018	***-**-73IN - LEE, HELEN	FRANKLIN INCOME FUND CLASS A	0.00	5.42	0.00	5.42	0.5	0.03
	ACCOUNT #: LSR012444	BUY 1 Units @0.0000						
03/21/2018	***-**-32IN - CAMPBELL,	NORTHSTAR REALTY EUROPE CORP COM UN	1,530.86	31.52	0.00	31.52	0.5	0.16
	ACCOUNT #: LSR012533	SELL 122 Units @12.5480						
<b> * JAMES (DABNEY) MCMURRAY SUBTOTAL * </b>			<b> \$31,962.48 </b>	<b> \$611.24 </b>	<b> \$0.00 </b>	<b> \$611.24 </b>		<b> \$3.08 </b>
<b> *** OVERRIDE TRANSACTIONS TOTAL *** </b>			<b> \$1,837,629.58 </b>	<b> \$60,414.60 </b>	<b> \$0.00 </b>	<b> \$60,414.60 </b>		<b> \$303.09 </b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>