

FINANCIAL WEST GROUP
 4510 E THOUSAND OAKS BLVD.
 WESTLAKE VILLAGE, CA 91361
 (805) 497-9222

SUMMARY COMMISSION STATEMENT

February 25, 2018

PAUL FRAIN
 15041 HARDAGE STREET
 LARGO, FL 33774

BROKER #: 1907
 BRANCH: FRAIN/SHEARIN
 1440 74TH AVENUE NO
 SEMINOLE, FL 33776

| | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING / EXECUTION CHARGE | BROKER COMMISSION |
|--|----------------------|---------------------|-----------------------------------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | |
| SECONDARY LIMITED PARTNERSHIP | \$0.00 | \$7,446.13 | \$0.00 | \$6,552.59 |
| BROKER TRANSACTIONS TOTAL | \$0.00 | \$7,446.13 | \$0.00 | \$6,552.59 |
| | | | | |
| TOTALS TRANSACTIONS TOTAL | \$0.00 | \$7,446.13 | \$0.00 | \$6,552.59 |
| <u>BROKER COMMISSION TOTALS</u> | | | | |
| Broker Transactions | | | | \$6,552.59 |
| Split Transactions | | | | \$0.00 |
| Override Transactions | | | | \$0.00 |
| Adjustments | | | | \$0.00 |
| Prior Period Balance | | | | \$0.00 |
| FINRA Assessment | | | | \$-18.62 |
| SIPC Assessment | | | | \$-18.62 |
| DTCC Assessment | | | | \$0.00 |
| Check Amount | | | | \$6,515.35 |
| | | | | |
| Year-to-Date Gross Earnings | | | | \$7,446.13 |