

All Companies  
**STATEMENT**  
**August 20, 2018**

GLENN GERSON  
11190 SW Springtree Terrace  
SUITE 205  
Port St. Lucie, FL 34987

BROKER #: U01  
BRANCH: LU BRANCH

COMMISSION STATEMENT for GLENN GERSON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
07/02/2018 #	GRABEL, GARY	VODAFONE GROUP PLC SPONSORED ADR NO	65,000.00	842.97	90.0	20.00	778.67	19.95	758.72
07/17/2018 #	GRABEL, GARY	VODAFONE GROUP PLC SPONSORED ADR NO	18,200.00	375.87	90.0	20.00	358.28	19.95	338.33
07/19/2018 #	SHETTY, BAJJAL	***AMARIN CORPORATION PLC	8,400.00	391.74	90.0	20.00	372.57	19.95	352.62
07/19/2018 #	SHETTY, BAJJAL	GERON CORP	10,494.00	422.17	90.0	20.00	399.95	19.95	380.00
07/20/2018 #	GRABEL, GARY	ARENA PHARMACEUTICALS INC COMMON ST	42,000.00	1,260.00	90.0	20.00	1,154.00	19.95	1,134.05
07/20/2018 #	GRABEL, GARY	ENDOCYTE INC	75,000.00	2,250.00	90.0	20.00	2,045.00	19.95	2,025.05
07/20/2018 #	GRABEL, GARY	VODAFONE GROUP PLC SPONSORED ADR NO	10,400.00	233.46	90.0	20.00	230.11	19.95	210.16
<b>* STOCKS SUBTOTAL *</b>			<b>\$229,494.00</b>	<b>\$5,776.21</b>		<b>\$140.00</b>	<b>\$5,338.58</b>	<b>\$139.65</b>	<b>\$5,198.93</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
07/06/2018 #	FANG, MING	CALL BMY 12/21/18 60	2,200.00	110.00	90.0	20.00	119.00	28.95	90.05
07/19/2018 #	SHETTY, BAJJAL	CALL AMRN 09/21/18 6	2,880.00	144.00	90.0	20.00	149.60	46.95	102.65
07/20/2018 #	SHETTY, BAJJAL	CALL GERN 12/21/18 4	3,300.00	165.00	90.0	20.00	168.50	46.95	121.55
07/27/2018 #	GRABEL, GARY	PUT FB 11/16/18 140	3,020.00	151.00	90.0	20.00	155.90	37.95	117.95
07/27/2018 #	GRABEL, GARY	PUT T 01/18/19 27	2,550.00	127.50	90.0	20.00	134.75	64.95	69.80
<b>* OPTIONS SUBTOTAL *</b>			<b>\$13,950.00</b>	<b>\$697.50</b>		<b>\$100.00</b>	<b>\$727.75</b>	<b>\$225.75</b>	<b>\$502.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
07/11/2018 #	WEI, HONG SHENG	DAIN TRAILER	0.00	15.29	90.0	0.00	13.76	0.00	13.76
07/11/2018 #	LIANG, YUN PING	DAIN TRAILER	0.00	0.66	90.0	0.00	0.59	0.00	0.59
07/11/2018 #	PAN, CHIN YI	DAIN TRAILER	0.00	0.41	90.0	0.00	0.37	0.00	0.37
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$16.36</b>		<b>\$0.00</b>	<b>\$14.72</b>	<b>\$0.00</b>	<b>\$14.72</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$243,444.00</b>	<b>\$6,490.07</b>		<b>\$240.00</b>	<b>\$6,081.05</b>	<b>\$365.40</b>	<b>\$5,715.65</b>

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ADJUSTMENTS

08/20/2018		BETA BACK OFFICE: ADMIN FEE							-390.00
08/20/2018		E & O INSURANCE: E & O INSURANCE							-249.00
08/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-2.00
		DEBIT BALANCE							
08/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-2.00
		DEBIT BALANCE							
08/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-2.00
		DEBIT BALANCE							
08/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-2.00
		DEBIT BALANCE							

\*\*\* ADJUSTMENTS TOTAL \*\*\*

\$-647.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,715.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-647.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount

\$5,068.65