

COMMISSION STATEMENT

December 15, 2017

OVERRIDE REJECT

BROKER #: C999

BRANCH: CIS HOME OFFICE

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
11/20/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.03	0.00	5.03	0.0	0.00
11/20/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.24	0.00	21.24	0.0	0.00
11/16/2017	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.18	0.00	5.18	0.0	0.00
11/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	29.50	0.00	29.50	0.0	0.00
11/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.26	0.00	15.26	0.0	0.00
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$76.21	\$0.00	\$76.21		\$0.00
		*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$76.21	\$0.00	\$76.21		\$0.00
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	BROKER COMMIS							
	Broker Transactions Split Transactions						\$0.00	
							\$0.00	
	Override Transactions						\$0.00	
Adjustments							\$0.00	
Payroll Draw							\$0.00	
Base Salary							\$0.00	
Prior Period Balance								\$0.00
						NRA Assessment		\$0.00
					SI	IPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Balance Forward						1	\$0.00	
					Year-to-date Gross			\$15,348.50
					Year-to	o-date Earnings	3	\$0.00

^{** -} Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed