

# COMMISSION STATEMENT

June 15, 2018

TOM BRISTER-D011

BROKER #: D011

BRANCH: DMD

, TX

## COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
06/01/2018	00074778210	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
06/01/2018	00074778210	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
06/01/2018	00074778243	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
06/01/2018	00074778243	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
06/01/2018	00086110551	SWISHER, DAWN	MF	FUNDAMENTAL INVESTORS-A	B	300.00	11.25	0.00	11.25	87.5	9.84
* MUTUAL FUNDS SUBTOTAL *						\$900.00	\$33.77	\$0.00	\$33.77		\$29.56
PRODUCT CATEGORY: MISCELLANEOUS											
05/31/2018	VARIOUS, BRISTER T	MI	PVG		B	0.00	450.22	0.00	450.22	87.5	393.94
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$450.22	\$0.00	\$450.22		\$393.94
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
06/01/2018	00056812178	MOLAN, MARK	MFT	AMERICAN MUTUAL FUND-A	B	0.00	23.31	0.00	23.31	87.5	20.40
06/01/2018	00059063691	STUBBS/SEP, DAVID	MFT	CAPITAL INCOME BUILDER-A	B	0.00	25.40	0.00	25.40	87.5	22.23
06/01/2018	00064805200	SCHMIDT,	MFT	NEW PERSPECTIVE FUND-A	B	0.00	1.09	0.00	1.09	87.5	0.95
06/01/2018	00064805200	SCHMIDT,	MFT	THE INVESTMENT COMPANY OF	B	0.00	5.75	0.00	5.75	87.5	5.03
06/01/2018	00068527137	KINZELMAN, PHOEBE	MFT	THE INVESTMENT COMPANY OF	B	0.00	10.35	0.00	10.35	87.5	9.06
06/01/2018	00068527137	KINZELMAN, PHOEBE	MFT	THE INVESTMENT COMPANY OF	B	0.00	17.05	0.00	17.05	87.5	14.92
06/01/2018	00074778210	OLSON, ANDREW	MFT	THE INCOME FUND OF AMERICA-529A	B	0.00	11.32	0.00	11.32	87.5	9.91
06/01/2018	00074778243	OLSON, ANDREW	MFT	THE INCOME FUND OF AMERICA-529A	B	0.00	23.16	0.00	23.16	87.5	20.27
06/01/2018	00086110551	SWISHER, DAWN	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	13.84	0.00	13.84	87.5	12.11
06/01/2018	00086110551	SWISHER, DAWN	MFT	FUNDAMENTAL INVESTORS-C	B	0.00	9.89	0.00	9.89	87.5	8.65
06/01/2018	00086110559	DRAUGHN, DUSTIN	MFT	INVESTMENT COMPANY OF AMERICA	B	0.00	10.53	0.00	10.53	87.5	9.21
06/01/2018	00086110559	DRAUGHN, DUSTIN	MFT	THE INVESTMENT COMPANY OF	B	0.00	13.39	0.00	13.39	87.5	11.72
06/01/2018	00930237814	STUBBS COMPRO,	MFT	AMERICAN MUTUAL FUND-A	B	0.00	60.99	0.00	60.99	87.5	53.37
06/01/2018	04000954663	DRAUGHN, DUSTIN	MFT	AMCAP FUND-A	B	0.00	0.16	0.00	0.16	87.5	0.14
06/01/2018	04000954663	DRAUGHN, DUSTIN	MFT	SMALLCAP WORLD FUND-A	B	0.00	0.05	0.00	0.05	87.5	0.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$226.28	\$0.00	\$226.28		\$198.01
*** DIRECT TRANSACTIONS TOTAL ***						\$900.00	\$710.27	\$0.00	\$710.27		\$621.51

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>									
<b>BROKER: ALEX BRISTER-D010 #14</b>									
06/04/2018	04000508909	GERRIE, ANDREW	MF AMERICAN FUNDS 2040 TARGET	B	500.00	13.75	0.00	13.75	5.16
06/01/2018	00064364960	WIEBER, SANDRA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.80	0.00	16.80	6.30
06/01/2018	00067067479	TICKLE, MICHAEL	MFT NEW PERSPECTIVE FUND-A	B	0.00	24.23	0.00	24.23	9.09
06/01/2018	00067067479	TICKLE, MICHAEL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	22.85	0.00	22.85	8.57
06/01/2018	00067067479	TICKLE, MICHAEL	MFT THE INVESTMENT COMPANY OF	B	0.00	17.98	0.00	17.98	6.74
06/01/2018	00067670267	SCHMIDT, JACK	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	9.08	0.00	9.08	3.41
06/01/2018	00067670267	SCHMIDT, JACK	MFT NEW PERSPECTIVE FUND-F1	B	0.00	10.88	0.00	10.88	4.08
06/01/2018	00067670267	SCHMIDT, JACK	MFT SHORT-TERM BOND FUND OF	B	0.00	2.97	0.00	2.97	1.11
06/01/2018	00067670267	SCHMIDT, JACK	MFT THE INVESTMENT COMPANY OF	B	0.00	17.30	0.00	17.30	6.49
06/01/2018	00068717375	GERRIE/AUTH PER,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.23	0.00	0.23	0.09
06/01/2018	00068717375	GERRIE/AUTH PER,	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.00	0.00	2.00	0.75
06/01/2018	00068717375	GERRIE/AUTH PER,	MFT SMALLCAP WORLD FUND-A	B	0.00	0.24	0.00	0.24	0.09
06/01/2018	00068781179	GERRIE, SARA	MFT AMCAP FUND-A	B	0.00	40.78	0.00	40.78	15.29
06/01/2018	00068781179	GERRIE, SARA	MFT THE INVESTMENT COMPANY OF	B	0.00	5.86	0.00	5.86	2.20
06/01/2018	00083404474	BARNES, WENDY	MFT AMCAP FUND-A	B	0.00	29.33	0.00	29.33	11.00
06/01/2018	04000508909	GERRIE, ANDREW	MFT AMERICAN FUNDS 2040 TARGET	B	0.00	3.60	0.00	3.60	1.35
<b>* ALEX BRISTER-D010 SUBTOTAL *</b>					<b>\$500.00</b>	<b>\$217.88</b>	<b>\$0.00</b>	<b>\$217.88</b>	<b>\$81.72</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>					<b>\$500.00</b>	<b>\$217.88</b>	<b>\$0.00</b>	<b>\$217.88</b>	<b>\$81.72</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$621.51
Split Transactions	\$0.00
Override Transactions	\$81.72
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$703.23</b>
<b>Year-to-date Commissions</b>	<b>\$14,364.80</b>