

STATEMENT

June 20, 2018

NORM PIETTE
2911 Su Siempre Place
SUITE 620
Escondido, CA 92025

BROKER #: 143
BRANCH:

COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/07/2018	#01440268678	AZCONA, VALERIE FA 529 PORT 2025-CL A	50.00	2.50	93.0	0.00	2.33	0.00	2.33
05/15/2018	#00086988893	S TRUST CO R/O AMCAP FUND-529A	50.00	1.38	93.0	0.00	1.28	0.00	1.28
05/15/2018	#00086988893	S TRUST CO R/O CAPITAL WORLD BOND FUND-529A	50.00	1.38	93.0	0.00	1.28	0.00	1.28
05/16/2018	#01479116327	JACOBS, DUSTIN FIDELITY ADV NEW INSIGHTS-CL A	225.00	6.19	93.0	0.00	5.76	0.00	5.76
05/18/2018	#01475349922	SMITH, JOSH FIDELITY ADV INDUSTRIALS-CL C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
05/21/2018	#00088670069	AMCAP FUND INC CLASS A	200.00	4.00	93.0	0.00	3.72	0.00	3.72
05/21/2018	#00067617189	MERRILL, TODD GROWTH FUND AMERICA INC CLASS A	300.00	6.00	93.0	0.00	5.58	0.00	5.58
05/21/2018	#00082724941	LAKE, JOSHUA GROWTH FUND AMERICA INC CLASS A	100.00	2.00	93.0	0.00	1.86	0.00	1.86
05/24/2018	#01425568712	MERRILL, TODD FIDELITY ADV NEW INSIGHTS-CL A	250.00	6.88	93.0	0.00	6.40	0.00	6.40
* MUTUAL FUNDS SUBTOTAL *			\$1,325.00	\$31.33		\$0.00	\$29.14	\$0.00	\$29.14
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/31/2018	#1014333661	WESTFALL, TERRI PERSP II 09/12	60,000.13	25.00	93.0	0.00	23.25	0.00	23.25
* VARIABLE ANNUITIES SUBTOTAL *			\$60,000.13	\$25.00		\$0.00	\$23.25	\$0.00	\$23.25
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	#000060175940176	BOSSALLER, FRANKLIN GROWTH FUND - CLASS A	0.00	30.10	93.0	0.00	27.99	0.00	27.99
04/30/2018	#000060176499459	BOSSALLER, FRANKLIN GROWTH FUND - CLASS A	0.00	31.56	93.0	0.00	29.35	0.00	29.35
04/30/2018	#000060177808167	BOSSALLER, FRANKLIN GROWTH FUND - CLASS A	0.00	4.54	93.0	0.00	4.22	0.00	4.22
04/30/2018	#000090229344659	BOSSALLER, FRANKLIN INCOME SERIES CL A	0.00	23.86	93.0	0.00	22.19	0.00	22.19
04/30/2018	#000090238971938	FRANKLIN INCOME SERIES CL A	0.00	8.53	93.0	0.00	7.93	0.00	7.93
04/30/2018	#000013813255606	BOSSALLER, FRANKLIN INVESTORS SECS TR ADJUSTAB	0.00	6.22	93.0	0.00	5.78	0.00	5.78
04/30/2018	#000000000029001	BOSSALLER, FRANKLIN INVS SECS TR ADJ U S GOVT	0.00	5.90	93.0	0.00	5.49	0.00	5.49
04/30/2018	#000000000149132	BOSSALLER, FRANKLIN REAL RETURN FUND - CL A	0.00	22.01	93.0	0.00	20.47	0.00	20.47
04/30/2018	#000028211733364	BOSSALLER, FRANKLIN SMALL CAP VALUE FUND CLASS	0.00	17.58	93.0	0.00	16.35	0.00	16.35
04/30/2018	#000019412073456	BOSSALLER, FRANKLIN STRATEGIC INCOME FUND - CL	0.00	12.96	93.0	0.00	12.05	0.00	12.05
04/30/2018	#000019412360962	JACOBS, FRANKLIN STRATEGIC INCOME FUND - CL	0.00	4.84	93.0	0.00	4.50	0.00	4.50
04/30/2018	#000019414022018	BOSSALLER, FRANKLIN STRATEGIC INCOME FUND - CL	0.00	3.64	93.0	0.00	3.39	0.00	3.39
04/30/2018	#000010186224112	BOSSALLER, FRANKLIN TEMPLETON FUNDS U S GOVT S	0.00	2.24	93.0	0.00	2.08	0.00	2.08

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	#000010186796786 BOSSALLER,	FRANKLIN TEMPLETON FUNDS U S GOVT S	0.00	2.39	93.0	0.00	2.22	0.00	2.22
04/30/2018	#000000001383254	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	5.35	93.0	0.00	4.98	0.00	4.98
04/30/2018	#000000004892877 BOSSALLER,	MUTUAL GLOBAL DISCOVERY FUND CL A	0.00	30.19	93.0	0.00	28.08	0.00	28.08
04/30/2018	#000000005720937	MUTUAL GLOBAL DISCOVERY FUND CL A	0.00	4.60	93.0	0.00	4.28	0.00	4.28
04/30/2018	#000000002261067 BOSSALLER,	MUTUAL SERIES FUND INC BEACON FUND	0.00	32.96	93.0	0.00	30.65	0.00	30.65
04/30/2018	#000000002719627	MUTUAL SERIES FUND INC BEACON FUND	0.00	14.75	93.0	0.00	13.72	0.00	13.72
05/07/2018	#01440268678 AZCONA, VALERIE	FA 529 PORT 2025-CL A	0.00	1.82	93.0	0.00	1.69	0.00	1.69
05/07/2018	#01468237324 VARIOUS PIETTE	FA EQUITY INCOME FUND	0.00	0.20	93.0	0.00	0.19	0.00	0.19
05/07/2018	#01430481000 VARIOUS PIETTE	FA FOREIGN LARGE GROWTH	0.00	8.27	93.0	0.00	7.69	0.00	7.69
05/07/2018	#01436951972 VARIOUS PIETTE	FA FOREIGN LARGE GROWTH	0.00	1.26	93.0	0.00	1.17	0.00	1.17
05/07/2018	#01436958837 VARIOUS PIETTE	FA FOREIGN LARGE GROWTH	0.00	0.65	93.0	0.00	0.60	0.00	0.60
05/07/2018	#01468200199 VARIOUS PIETTE	FA INTERNATIONAL GROWTH FUND - CLAS	0.00	1.50	93.0	0.00	1.40	0.00	1.40
05/07/2018	#01430481000 VARIOUS PIETTE	FA INTERNATIONAL SMALL CAP FUND	0.00	5.60	93.0	0.00	5.21	0.00	5.21
05/07/2018	#01436951972 VARIOUS PIETTE	FA INTERNATIONAL SMALL CAP FUND	0.00	1.55	93.0	0.00	1.44	0.00	1.44
05/07/2018	#01436958837 VARIOUS PIETTE	FA INTERNATIONAL SMALL CAP FUND	0.00	0.77	93.0	0.00	0.72	0.00	0.72
05/07/2018	#01447744085 VARIOUS PIETTE	FA SHORT TERM BOND FUND	0.00	0.36	93.0	0.00	0.33	0.00	0.33
05/07/2018	#01471524056 VARIOUS PIETTE	FA SHORT TERM BOND FUND	0.00	0.36	93.0	0.00	0.33	0.00	0.33
05/07/2018	#01447744085 VARIOUS PIETTE	FID INSTITUTIONAL ASSET MANAGEMENT	0.00	3.84	93.0	0.00	3.57	0.00	3.57
05/07/2018	#00701925208 VARIOUS PIETTE	FIDELITY ADV ASSET MANAGER 20 PERCE	0.00	6.94	93.0	0.00	6.45	0.00	6.45
05/07/2018	#01485567794 VARIOUS PIETTE	FIDELITY ADV ASSET MANAGER 30 PERCE	0.00	0.88	93.0	0.00	0.82	0.00	0.82
05/07/2018	#01485567885	FIDELITY ADV ASSET MANAGER 30 PERCE	0.00	0.06	93.0	0.00	0.06	0.00	0.06
05/07/2018	#01485567935 VARIOUS PIETTE	FIDELITY ADV ASSET MANAGER 30 PERCE	0.00	0.85	93.0	0.00	0.79	0.00	0.79
05/07/2018	#01425568647 VARIOUS PIETTE	FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	19.39	93.0	0.00	18.03	0.00	18.03
05/07/2018	#01425568712 MERRILL, TODD	FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	8.87	93.0	0.00	8.25	0.00	8.25
05/07/2018	#01425568902 VARIOUS PIETTE	FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	9.79	93.0	0.00	9.10	0.00	9.10
05/07/2018	#01425569215 VARIOUS PIETTE	FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	5.09	93.0	0.00	4.73	0.00	4.73
05/07/2018	#01435869704 VARIOUS PIETTE	FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	1.36	93.0	0.00	1.26	0.00	1.26
05/07/2018	#01435869704 VARIOUS PIETTE	FIDELITY ADV ASSET MANAGER 85 PERCE	0.00	0.70	93.0	0.00	0.65	0.00	0.65
05/07/2018	#00701925208 VARIOUS PIETTE	FIDELITY ADV CONSUMER STAPLES-CL A	0.00	11.27	93.0	0.00	10.48	0.00	10.48
05/07/2018	#01436951972 VARIOUS PIETTE	FIDELITY ADV CONSUMER STAPLES-CL A	0.00	4.65	93.0	0.00	4.32	0.00	4.32
05/07/2018	#01436958837 VARIOUS PIETTE	FIDELITY ADV CONSUMER STAPLES-CL A	0.00	2.34	93.0	0.00	2.18	0.00	2.18
05/07/2018	#01485567794 VARIOUS PIETTE	FIDELITY ADV CONSUMER STAPLES-CL A	0.00	0.46	93.0	0.00	0.43	0.00	0.43
05/07/2018	#01485567885	FIDELITY ADV CONSUMER STAPLES-CL A	0.00	0.03	93.0	0.00	0.03	0.00	0.03
05/07/2018	#01485567935 VARIOUS PIETTE	FIDELITY ADV CONSUMER STAPLES-CL A	0.00	0.44	93.0	0.00	0.41	0.00	0.41
05/07/2018	#01475316616 VARIOUS PIETTE	FIDELITY ADV DIVERSIFIED STOCK CL A	0.00	1.13	93.0	0.00	1.05	0.00	1.05
05/07/2018	#01475316640 PIETTE, SHARON	FIDELITY ADV DIVERSIFIED STOCK CL A	0.00	1.13	93.0	0.00	1.05	0.00	1.05

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/07/2018	#01485567976	VARIOUS PIETTE FIDELITY ADV DIVERSIFIED STOCK CL A	0.00	2.24	93.0	0.00	2.08	0.00	2.08
05/07/2018	#01456252806	VARIOUS PIETTE FIDELITY ADV EMERGING ASIA-CL A	0.00	0.05	93.0	0.00	0.05	0.00	0.05
05/07/2018	#01456252848	VARIOUS PIETTE FIDELITY ADV EMERGING ASIA-CL A	0.00	0.09	93.0	0.00	0.08	0.00	0.08
05/07/2018	#01450086176	VARIOUS PIETTE FIDELITY ADV EMERGING MKTS-CL T	0.00	3.43	93.0	0.00	3.19	0.00	3.19
05/07/2018	#01430481000	VARIOUS PIETTE FIDELITY ADV EQUITY INCOME-CL A	0.00	10.22	93.0	0.00	9.50	0.00	9.50
05/07/2018	#01430481000	VARIOUS PIETTE FIDELITY ADV FLOATING RATE HIGH INC	0.00	3.26	93.0	0.00	3.03	0.00	3.03
05/07/2018	#01485567794	VARIOUS PIETTE FIDELITY ADV FLOATING RATE HIGH INC	0.00	1.25	93.0	0.00	1.16	0.00	1.16
05/07/2018	#01485567885	FIDELITY ADV FLOATING RATE HIGH INC	0.00	0.08	93.0	0.00	0.07	0.00	0.07
05/07/2018	#01485567935	VARIOUS PIETTE FIDELITY ADV FLOATING RATE HIGH INC	0.00	1.20	93.0	0.00	1.12	0.00	1.12
05/07/2018	#00701925208	VARIOUS PIETTE FIDELITY ADV HEALTH CARE-CL A	0.00	16.74	93.0	0.00	15.57	0.00	15.57
05/07/2018	#01436951972	VARIOUS PIETTE FIDELITY ADV HEALTH CARE-CL A	0.00	2.89	93.0	0.00	2.69	0.00	2.69
05/07/2018	#01436958837	VARIOUS PIETTE FIDELITY ADV HEALTH CARE-CL A	0.00	1.45	93.0	0.00	1.35	0.00	1.35
05/07/2018	#01475349922	SMITH, JOSH FIDELITY ADV INDUSTRIALS-CL C	0.00	3.76	93.0	0.00	3.50	0.00	3.50
05/07/2018	#01436951972	VARIOUS PIETTE FIDELITY ADV INFLATION PROTECTED BD	0.00	1.69	93.0	0.00	1.57	0.00	1.57
05/07/2018	#01436958837	VARIOUS PIETTE FIDELITY ADV INFLATION PROTECTED BD	0.00	0.85	93.0	0.00	0.79	0.00	0.79
05/07/2018	#01485567794	VARIOUS PIETTE FIDELITY ADV INFLATION PROTECTED BD	0.00	4.57	93.0	0.00	4.25	0.00	4.25
05/07/2018	#01485567885	FIDELITY ADV INFLATION PROTECTED BD	0.00	0.31	93.0	0.00	0.29	0.00	0.29
05/07/2018	#01485567935	VARIOUS PIETTE FIDELITY ADV INFLATION PROTECTED BD	0.00	4.38	93.0	0.00	4.07	0.00	4.07
05/07/2018	#01442009617	VARIOUS PIETTE FIDELITY ADV INTL DISCOVERY-CL A	0.00	0.57	93.0	0.00	0.53	0.00	0.53
05/07/2018	#01455708758	SKALKA, VINCENT FIDELITY ADV INTL DISCOVERY-CL A	0.00	3.46	93.0	0.00	3.22	0.00	3.22
05/07/2018	#01456252806	VARIOUS PIETTE FIDELITY ADV INTL DISCOVERY-CL A	0.00	0.05	93.0	0.00	0.05	0.00	0.05
05/07/2018	#01456252848	VARIOUS PIETTE FIDELITY ADV INTL DISCOVERY-CL A	0.00	0.09	93.0	0.00	0.08	0.00	0.08
05/07/2018	#01475316616	VARIOUS PIETTE FIDELITY ADV INTL DISCOVERY-CL A	0.00	1.47	93.0	0.00	1.37	0.00	1.37
05/07/2018	#01475316640	PIETTE, SHARON FIDELITY ADV INTL DISCOVERY-CL A	0.00	1.47	93.0	0.00	1.37	0.00	1.37
05/07/2018	#01442009617	VARIOUS PIETTE FIDELITY ADV INTL DISCOVERY-CL T	0.00	1.18	93.0	0.00	1.10	0.00	1.10
05/07/2018	#01471524056	VARIOUS PIETTE FIDELITY ADV INTL DISCOVERY-CL T	0.00	1.74	93.0	0.00	1.62	0.00	1.62
05/07/2018	#01450086176	VARIOUS PIETTE FIDELITY ADV MATERIALS-CL T	0.00	2.10	93.0	0.00	1.95	0.00	1.95
05/07/2018	#01468200199	VARIOUS PIETTE FIDELITY ADV MID CAP II-CL C	0.00	3.72	93.0	0.00	3.46	0.00	3.46
05/07/2018	#00701925208	VARIOUS PIETTE FIDELITY ADV MID CAP-CL A	0.00	15.17	93.0	0.00	14.11	0.00	14.11
05/07/2018	#01468200199	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS CL C	0.00	4.03	93.0	0.00	3.75	0.00	3.75
05/07/2018	#01475366827	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS CL C	0.00	4.19	93.0	0.00	3.90	0.00	3.90
05/07/2018	#01475366850	DELONCANTHF FIDELITY ADV NEW INSIGHTS CL C	0.00	2.99	93.0	0.00	2.78	0.00	2.78
05/07/2018	#01442009617	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS FD CL T	0.00	1.43	93.0	0.00	1.33	0.00	1.33
05/07/2018	#01447744085	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS FD CL T	0.00	1.68	93.0	0.00	1.56	0.00	1.56
05/07/2018	#01450086176	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS FD CL T	0.00	9.09	93.0	0.00	8.45	0.00	8.45
05/07/2018	#01471524056	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS FD CL T	0.00	4.04	93.0	0.00	3.76	0.00	3.76

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/07/2018	#00701925208	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	2.83	93.0	0.00	2.63	0.00	2.63
05/07/2018	#01425568712	MERRILL, TODD FIDELITY ADV NEW INSIGHTS-CL A	0.00	4.82	93.0	0.00	4.48	0.00	4.48
05/07/2018	#01430481000	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	9.93	93.0	0.00	9.23	0.00	9.23
05/07/2018	#01442009617	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.89	93.0	0.00	0.83	0.00	0.83
05/07/2018	#01455086924	JACOBS, DUSTIN FIDELITY ADV NEW INSIGHTS-CL A	0.00	10.35	93.0	0.00	9.63	0.00	9.63
05/07/2018	#01455708758	SKALKA, VINCENT FIDELITY ADV NEW INSIGHTS-CL A	0.00	17.61	93.0	0.00	16.38	0.00	16.38
05/07/2018	#01456252806	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.33	93.0	0.00	0.31	0.00	0.31
05/07/2018	#01456252848	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.58	93.0	0.00	0.54	0.00	0.54
05/07/2018	#01479116327	JACOBS, DUSTIN FIDELITY ADV NEW INSIGHTS-CL A	0.00	1.44	93.0	0.00	1.34	0.00	1.34
05/07/2018	#01485567794	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.92	93.0	0.00	0.86	0.00	0.86
05/07/2018	#01485567885	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.06	93.0	0.00	0.06	0.00	0.06
05/07/2018	#01485567935	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.88	93.0	0.00	0.82	0.00	0.82
05/07/2018	#01485567976	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	2.23	93.0	0.00	2.07	0.00	2.07
05/07/2018	#01450086176	VARIOUS PIETTE FIDELITY ADV SMALL CAP-CL T	0.00	4.59	93.0	0.00	4.27	0.00	4.27
05/07/2018	#01430481000	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	17.20	93.0	0.00	16.00	0.00	16.00
05/07/2018	#01436951972	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	2.63	93.0	0.00	2.45	0.00	2.45
05/07/2018	#01436958837	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	1.16	93.0	0.00	1.08	0.00	1.08
05/07/2018	#01455708758	SKALKA, VINCENT FIDELITY ADV TOTAL BOND-CL A	0.00	7.84	93.0	0.00	7.29	0.00	7.29
05/07/2018	#01456252806	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	0.13	93.0	0.00	0.12	0.00	0.12
05/07/2018	#01456252848	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	0.23	93.0	0.00	0.21	0.00	0.21
05/07/2018	#01485567976	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	1.00	93.0	0.00	0.93	0.00	0.93
05/07/2018	#01455086924	JACOBS, DUSTIN FIDELITY ADVISOR EMERGING MARKET FU	0.00	1.45	93.0	0.00	1.35	0.00	1.35
05/07/2018	#01468200199	VARIOUS PIETTE FIDELITY ADVISOR EMERGING MARKETS F	0.00	1.59	93.0	0.00	1.48	0.00	1.48
05/07/2018	#01436951972	VARIOUS PIETTE FIDELITY ADVISOR SER 1	0.00	2.97	93.0	0.00	2.76	0.00	2.76
05/07/2018	#01436958837	VARIOUS PIETTE FIDELITY ADVISOR SER 1	0.00	1.49	93.0	0.00	1.39	0.00	1.39
05/07/2018	#01455708758	SKALKA, VINCENT FIDELITY ADVISOR SER 1	0.00	5.16	93.0	0.00	4.80	0.00	4.80
05/07/2018	#01456252806	VARIOUS PIETTE FIDELITY ADVISOR SER 1	0.00	0.11	93.0	0.00	0.10	0.00	0.10
05/07/2018	#01456252848	VARIOUS PIETTE FIDELITY ADVISOR SER 1	0.00	0.19	93.0	0.00	0.18	0.00	0.18
05/07/2018	#01430481000	VARIOUS PIETTE FIDELITY ADVISOR SER II MID CAP II	0.00	12.02	93.0	0.00	11.18	0.00	11.18
05/07/2018	#01455086924	JACOBS, DUSTIN FIDELITY ADVISOR SER II MID CAP II	0.00	3.02	93.0	0.00	2.81	0.00	2.81
05/07/2018	#01475316616	VARIOUS PIETTE FIDELITY ADVISOR SER II MID CAP II	0.00	2.15	93.0	0.00	2.00	0.00	2.00
05/07/2018	#01475316640	PIETTE, SHARON FIDELITY ADVISOR SER II MID CAP II	0.00	2.15	93.0	0.00	2.00	0.00	2.00
05/07/2018	#01485567976	VARIOUS PIETTE FIDELITY ADVISOR SER II MID CAP II	0.00	2.71	93.0	0.00	2.52	0.00	2.52
05/07/2018	#01430481000	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	5.91	93.0	0.00	5.50	0.00	5.50
05/07/2018	#01436951972	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	2.07	93.0	0.00	1.93	0.00	1.93
05/07/2018	#01436958837	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	1.04	93.0	0.00	0.97	0.00	0.97

COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/07/2018	#01442009617	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.41	93.0	0.00	0.38	0.00	0.38
05/07/2018	#01455086924	JACOBS, DUSTIN FIDELITY ADVISOR STRATEGIC INCOME F	0.00	3.88	93.0	0.00	3.61	0.00	3.61
05/07/2018	#01455708758	SKALKA, VINCENT FIDELITY ADVISOR STRATEGIC INCOME F	0.00	11.85	93.0	0.00	11.02	0.00	11.02
05/07/2018	#01456252806	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.26	93.0	0.00	0.24	0.00	0.24
05/07/2018	#01456252848	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.45	93.0	0.00	0.42	0.00	0.42
05/07/2018	#01485567794	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	3.92	93.0	0.00	3.65	0.00	3.65
05/07/2018	#01485567885	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.26	93.0	0.00	0.24	0.00	0.24
05/07/2018	#01485567935	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	3.76	93.0	0.00	3.50	0.00	3.50
05/07/2018	#01442009617	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.43	93.0	0.00	0.40	0.00	0.40
05/07/2018	#01450086176	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	1.74	93.0	0.00	1.62	0.00	1.62
05/07/2018	#01485567885	FIDELITY ADVISORS BALANCED	0.00	13.29	93.0	0.00	12.36	0.00	12.36
05/07/2018	#01430481000	VARIOUS PIETTE FIDELITY HEREFORD STR TR GOVT MONEY	0.00	10.53	93.0	0.00	9.79	0.00	9.79
05/07/2018	#01485567794	VARIOUS PIETTE FIDELITY HEREFORD STR TR GOVT MONEY	0.00	1.89	93.0	0.00	1.76	0.00	1.76
05/07/2018	#01485567885	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.13	93.0	0.00	0.12	0.00	0.12
05/07/2018	#01485567935	VARIOUS PIETTE FIDELITY HEREFORD STR TR GOVT MONEY	0.00	1.82	93.0	0.00	1.69	0.00	1.69
05/07/2018	#01485567976	VARIOUS PIETTE FIDELITY HEREFORD STR TR GOVT MONEY	0.00	6.64	93.0	0.00	6.18	0.00	6.18
05/07/2018	#01475316616	VARIOUS PIETTE FIDELITY INVT TR ADVISOR EMRGING MK	0.00	1.51	93.0	0.00	1.40	0.00	1.40
05/07/2018	#01475316640	PIETTE, SHARON FIDELITY INVT TR ADVISOR EMRGING MK	0.00	1.51	93.0	0.00	1.40	0.00	1.40
05/07/2018	#01485567794	VARIOUS PIETTE FIDELITY INVT TR ADVISOR GBL COMMOD	0.00	0.21	93.0	0.00	0.20	0.00	0.20
05/07/2018	#01485567885	FIDELITY INVT TR ADVISOR GBL COMMOD	0.00	0.01	93.0	0.00	0.01	0.00	0.01
05/07/2018	#01485567935	VARIOUS PIETTE FIDELITY INVT TR ADVISOR GBL COMMOD	0.00	0.20	93.0	0.00	0.19	0.00	0.19
05/07/2018	#01468598287	VARIOUS PIETTE FIDELITY LEVERAGED COMPANY STOCK FU	0.00	0.26	93.0	0.00	0.24	0.00	0.24
05/07/2018	#01468237324	VARIOUS PIETTE FIDELITY SMALL CAP BLEND	0.00	0.14	93.0	0.00	0.13	0.00	0.13
05/07/2018	#01436951972	VARIOUS PIETTE TREASURY FUND - DAILY MONEY CLASS	0.00	3.08	93.0	0.00	2.86	0.00	2.86
05/07/2018	#01436958837	VARIOUS PIETTE TREASURY FUND - DAILY MONEY CLASS	0.00	1.69	93.0	0.00	1.57	0.00	1.57
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$684.26		\$0.00	\$636.38	\$0.00	\$636.38
*** BROKER TRANSACTIONS TOTAL ***			\$61,325.13	\$740.59		\$0.00	\$688.77	\$0.00	\$688.77

COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

06/20/2018		BETA BACK OFFICE: ADMIN FEE							-390.00
06/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-569.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$688.77
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$119.77
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