

All Companies
STATEMENT
March 20, 2018

ERIK EXISTING DODDS-LPCM

BROKER #: 3055
BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for ERIK EXISTING DODDS-LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/08/2018 #	SINGLETON, AUDREY	IAFEES	B	-117.62	90.18	0.00	0.00	90.18	89.0	80.26
* MUTUAL FUNDS SUBTOTAL *				<u>\$-117.62</u>	<u>\$90.18</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$90.18</u>		<u>\$80.26</u>

PRODUCT CATEGORY: RIA

01/01/0018 #87706954	NICHOLSON, JAMES	ENVESTNET	B	0.00	-29.44	0.00	0.00	-29.44	89.0	-26.20
01/01/0018 #87706988	PLOEN, ALLISON	ENVESTNET	B	0.00	113.99	0.00	0.00	113.99	89.0	101.45
01/02/0018 #87707008	DAVENPORT, BLAKE	ENVESTNET	B	0.00	1.98	0.00	0.00	1.98	89.0	1.76
01/02/0018 #87706976	MARIANO, RUSSELL	ENVESTNET	B	0.00	208.51	0.00	0.00	208.51	89.0	185.57
01/03/0018 #87706921	CHU, WILLIAM	ENVESTNET	B	0.00	119.52	0.00	0.00	119.52	89.0	106.37
01/04/0018 #87707103		ENVESTNET	B	0.00	24.75	0.00	0.00	24.75	89.0	22.03
01/04/0018 #87707102		ENVESTNET	B	0.00	62.12	0.00	0.00	62.12	89.0	55.29
01/04/0018 #87707079	ALTMAN, CONNIE	ENVESTNET	B	0.00	77.05	0.00	0.00	77.05	89.0	68.57
01/04/0018 #87707081	DITTMANN, WALTER	ENVESTNET	B	0.00	56.47	0.00	0.00	56.47	89.0	50.26
01/04/0018 #87707080	DITTMANN, TERESE	ENVESTNET	B	0.00	144.57	0.00	0.00	144.57	89.0	128.67
01/04/0018 #87707012	DOULOS, MICHAEL	ENVESTNET	B	0.00	19.00	0.00	0.00	19.00	89.0	16.91
01/04/0018 #87707075	GALLO, SEBASTIANO	ENVESTNET	B	0.00	248.46	0.00	0.00	248.46	89.0	221.13
01/04/0018 #87707076	GALLO, SONNIA	ENVESTNET	B	0.00	44.30	0.00	0.00	44.30	89.0	39.43
01/04/0018 #87707034	PICCIONE,	ENVESTNET	B	0.00	132.10	0.00	0.00	132.10	89.0	117.57
01/05/0018 #87706926	MILLER, DANIEL	ENVESTNET	B	0.00	372.05	0.00	0.00	372.05	89.0	331.12
01/05/0018 #87707037	SCHNECK, LINDA	ENVESTNET	B	0.00	55.29	0.00	0.00	55.29	89.0	49.21
01/05/0018 #87707089	WALDRIP, DEBRA	ENVESTNET	B	0.00	163.35	0.00	0.00	163.35	89.0	145.38
01/07/0018 #87706970	MARIANO, DANIELLE	ENVESTNET	B	0.00	215.63	0.00	0.00	215.63	89.0	191.91
01/09/0018 #87706920	CHU, WILLIAM	ENVESTNET	B	0.00	241.82	0.00	0.00	241.82	89.0	215.22
01/09/0018 #87706986	EDWARDS, HAROLD	ENVESTNET	B	0.00	33.07	0.00	0.00	33.07	89.0	29.43
01/09/0018 #87707124		ENVESTNET	B	0.00	22.51	0.00	0.00	22.51	89.0	20.03
01/09/0018 #87707021	PARKER-KELLY, MURIEL	ENVESTNET	B	0.00	61.98	0.00	0.00	61.98	89.0	55.16
01/09/0018 #87706926	MILLER, DANIEL	ENVESTNET	B	0.00	54.64	0.00	0.00	54.64	89.0	48.63
02/07/2018 #92117887701	DOULOS, FRANCES	FTJ	B	0.00	3.92	0.00	0.00	3.92	89.0	3.49
* RIA SUBTOTAL *				<u>\$0.00</u>	<u>\$2,447.64</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$2,447.64</u>		<u>\$2,178.39</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$-117.62</u>	<u>\$2,537.82</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$2,537.82</u>		<u>\$2,258.65</u>

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ADJUSTMENTS

03/20/2018		CRD FEES: CRD FEES								-50.00
		*** ADJUSTMENTS TOTAL ***								\$-50.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,258.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-50.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,208.65
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