



Concorde Investment Services, LLC

09/28/2017  
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## COMMISSION STATEMENT

September 30, 2017

RENE CASTRO  
1425 W. FOOTHILL  
STE 125  
UPLAND, CA 91786

BROKER #: C119 Terminated 02/15/2013  
BRANCH: RENE CASTRO

| DATE   | CLIENT          | INVESTMENT            | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|-----------------|-----------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>            |                 |                       |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b> |                 |                       |                      |                     |                    |                   |      |                      |
| 09/15/2017                                   | BUSTOS, ARMANDO | JH HIGH YIELD A       | 0.00                 | 2.40                | 0.00               | 2.40              | 0.0  | 0.00                 |
| 09/15/2017                                   | BUSTOS, ARMANDO | JH STRATEGIC INCOME A | 0.00                 | 9.37                | 0.00               | 9.37              | 0.0  | 0.00                 |
| * MUTUAL FUNDS SUBTOTAL *                    |                 |                       | \$0.00               | \$11.77             | \$0.00             | \$11.77           |      | \$0.00               |
| *** BROKER TRANSACTIONS TOTAL ***            |                 |                       | \$0.00               | \$11.77             | \$0.00             | \$11.77           |      | \$0.00               |

| <b><u>BROKER COMMISSION TOTALS</u></b> |        |
|--|--------|
| Broker Transactions                    | \$0.00 |
| Split Transactions                     | \$0.00 |
| Override Transactions                  | \$0.00 |
| Adjustments                            | \$0.00 |
| Payroll Draw                           | \$0.00 |
| Base Salary                            | \$0.00 |
| Prior Period Balance                   | \$0.00 |
| FINRA Assessment                       | \$0.00 |
| SIPC Assessment                        | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                       |          |
|-----------------------|----------|
| Balance Forward       | \$0.00   |
| Year-to-date Gross    | \$457.39 |
| Year-to-date Earnings | \$0.00   |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed