



All Companies
COMMISSION STATEMENT
May 01, 2018

04/27/2018
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RAY WALKER
P. O. Box 88

BROKER #: 2954
BRANCH: HOME OFFICE

Cranfills Gap, TX 76637-

COMMISSION STATEMENT for RAY WALKER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/31/2018	TRAILS	COLLEGEBOUND 529	B	228.19	228.19	0.00	228.19	85.0	193.96
* MUTUAL FUNDS SUBTOTAL *				\$228.19	\$228.19	\$0.00	\$228.19		\$193.96

PRODUCT CATEGORY: STOCKS

04/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	529.61	529.61	0.00	529.61	85.0	450.17
* STOCKS SUBTOTAL *				\$529.61	\$529.61	\$0.00	\$529.61		\$450.17

PRODUCT CATEGORY: RIA

04/19/2018	25597640 MACLEAN, JOHN R & CAROL	SIGNAL SECURITIES RIA	B	1,000,000.00	724.66	0.00	724.66	85.0	615.96
04/17/2018	26246524 JOSE, JOHN STUART	SIGNAL SECURITIES RIA	B	0.00	59.67	0.00	59.67	85.0	50.72
04/17/2018	26706109 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	140.00	0.00	140.00	85.0	119.00
04/17/2018	34585794 MACLEAN III, JOHN R.	SIGNAL SECURITIES RIA	B	0.00	75.33	0.00	75.33	85.0	64.03
04/17/2018	35483887 LEE, KATIE LYNN	SIGNAL SECURITIES RIA	B	0.00	1,220.67	0.00	1,220.67	85.0	1,037.57
04/17/2018	37021536 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	1,962.33	0.00	1,962.33	85.0	1,667.98
04/17/2018	40184316 MACLEAN III, JOHN R.	SIGNAL SECURITIES RIA	B	0.00	480.00	0.00	480.00	85.0	408.00
04/17/2018	44016824 MARTIN, AIMEE WILSON	SIGNAL SECURITIES RIA	B	0.00	187.33	0.00	187.33	85.0	159.23
04/17/2018	44459525 MILLIANS, DAN R & SHARON	SIGNAL SECURITIES RIA	B	0.00	346.33	0.00	346.33	85.0	294.38
04/17/2018	45082495 MACLEAN, JOHN R & CAROL	SIGNAL SECURITIES RIA	B	0.00	132.33	0.00	132.33	85.0	112.48
04/17/2018	50342706 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	1,330.00	0.00	1,330.00	85.0	1,130.50
04/17/2018	51306592 MILLIANS, SHARON S.	SIGNAL SECURITIES RIA	B	0.00	630.33	0.00	630.33	85.0	535.78
04/17/2018	58909071 RICE, CHRISTEL E	SIGNAL SECURITIES RIA	B	0.00	72.67	0.00	72.67	85.0	61.77
04/17/2018	66032684 MILES O'LOUGHLIN, MARITAL TRUST	SIGNAL SECURITIES RIA	B	0.00	1,515.00	0.00	1,515.00	85.0	1,287.75
04/17/2018	70019589 CLANCY, LISE A	SIGNAL SECURITIES RIA	B	0.00	19.33	0.00	19.33	85.0	16.43
04/17/2018	75505044 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	76.33	0.00	76.33	85.0	64.88

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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

04/17/2018	78212467 ALVARADO, ANNA KINGSLEY	SIGNAL SECURITIES RIA	B	0.00	534.00	0.00	534.00	85.0	453.90
04/17/2018	79494777 MACLEAN, CAROL JEAN	SIGNAL SECURITIES RIA	B	0.00	80.33	0.00	80.33	85.0	68.28
04/17/2018	86198628 KELTNER, DAVID E & LARIS	SIGNAL SECURITIES RIA	B	0.00	24.67	0.00	24.67	85.0	20.97
04/17/2018	91894239 PRICE, MICHAEL D	SIGNAL SECURITIES RIA	B	0.00	74.33	0.00	74.33	85.0	63.18
04/17/2018	97572617 RALPH, JEAN M	SIGNAL SECURITIES RIA	B	0.00	207.67	0.00	207.67	85.0	176.52
04/17/2018	99078640 O'LOUGHLIN, LESLIE WILSON	SIGNAL SECURITIES RIA	B	0.00	870.67	0.00	870.67	85.0	740.07

* RIA SUBTOTAL *	\$1,000,000.00	\$10,763.98	\$0.00	\$10,763.98	\$9,149.38
*** BROKER TRANSACTIONS TOTAL ***	\$1,000,757.80	\$11,521.78	\$0.00	\$11,521.78	\$9,793.51

ADJUSTMENTS

05/01/2018	CABLE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-35.00
05/01/2018	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-60.50
05/01/2018	MISC: LONG DISTANCE CHARGES	0.00	0.00	0.00	0.00	-25.00
05/01/2018	RENT: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-225.00
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-345.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$9,793.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-345.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-248.02

Check Amount	\$9,199.99
Year-to-date Earnings	\$76,746.89