

COMMISSION STATEMENT

January 15, 2018

GEORGE OFFUTT-D067

BROKER #: D067

BRANCH: DMT

, TX

COMMISSION STATEMENT for GEORGE OFFUTT-D067

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/05/2018	00000275988 JACKSON, TANYA	MF COLUMBIA HIGH YIELD BOND FUND-A	B	15.00	0.53	0.00	0.53	90.0	0.48
01/05/2018	07320103858 JACKSON, TANYA	MF COLUMBIA SELECT INTL EQUITY	B	20.00	0.75	0.00	0.75	90.0	0.68
01/05/2018	07790166208 JACKSON, TANYA	MF COLUMBIA GLOBAL EQUITY VALUE-A	B	40.00	1.50	0.00	1.50	90.0	1.35
01/05/2018	09690115201 JACKSON, TANYA	MF COLUMBIA SMALL CAP VALUE FUND I	B	25.00	0.94	0.00	0.94	90.0	0.85
* MUTUAL FUNDS SUBTOTAL *				\$100.00	\$3.72	\$0.00	\$3.72		\$3.36
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/17/2017	(None) HILL, GERALD	VA AMERITAS	B	0.00	19.17	0.00	19.17	90.0	17.25
12/17/2017	(None) MARKHAM, EDWARD	VA AMERITAS	B	0.00	15.97	0.00	15.97	90.0	14.37
12/17/2017	(None) OFFUTT, MELANIE	VA AMERITAS	B	0.00	0.15	0.00	0.15	90.0	0.14
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$35.29	\$0.00	\$35.29		\$31.76
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017	(None) VARIOUS, OFFUTT	MFT OPPENHEIMER	B	0.00	546.57	0.00	546.57	90.0	491.91
12/31/2017	(None) VARIOUS, OFFUTT	MFT OPPENHEIMER	B	0.00	47.55	0.00	47.55	90.0	42.80
12/31/2017	(None) VARIOUS, OFFUTT	MFT SCHOLAR'S EDGE	B	0.00	71.54	0.00	71.54	90.0	64.39
01/01/2018	00115758853 BRADSTREET, DUSTI	MFT AIM CHARTER FUND - CLASS A	B	0.00	12.90	0.00	12.90	90.0	11.61
01/01/2018	00352904440 OFFUTT, MEGAN	MFT MFS AGGRESS GROWTH ALLOCATION	B	0.00	8.77	0.00	8.77	90.0	7.89
01/01/2018	00671281053 GUFFEE, CLARENCE	MFT INVESCO SENIOR LOAN FUND -	B	0.00	62.52	0.00	62.52	90.0	56.27
01/01/2018	03809068863 JACKSON, TANYA	MFT AIM INTERNATIONAL GROWTH FUND -	B	0.00	1.24	0.00	1.24	90.0	1.12
01/01/2018	03809068863 JACKSON, TANYA	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.78	0.00	1.78	90.0	1.60
01/01/2018	04028023713 BRADSTREET, DUSTI	MFT AIM CHARTER FUND CLASS B	B	0.00	0.82	0.00	0.82	90.0	0.74
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$753.69	\$0.00	\$753.69		\$678.33
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
12/31/2017	(None) OFFUTT, GEORGE	VAT PRUDENTIAL LIFE	B	0.00	8.05	0.00	8.05	90.0	7.25
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$8.05	\$0.00	\$8.05		\$7.25
*** DIRECT TRANSACTIONS TOTAL ***				\$100.00	\$800.75	\$0.00	\$800.75		\$720.70

BROKER COMMISSION TOTALS

Broker Transactions	\$720.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$720.70
Year-to-date Commissions	\$1,017.79