

COMMISSION STATEMENT

July 31, 2018

STEPHEN SUSLOW
14 WEST 12TH STREET
APT 6B
NEW YORK, NY 10011

BROKER #: S01 S22
BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
06/28/2018	75500864	WINICK, JESSE		ALTABA INC COM	B	709,800.00	0.00	7.00	-7.00 85.0 -7.00
06/29/2018	75500864	WINICK, JESSE		ALTABA INC COM	B	70,200.00	0.00	7.00	-7.00 85.0 -7.00
07/20/2018	75500864	WINICK, JESSE		AT&T INC	B	315,000.00	0.00	7.00	-7.00 85.0 -7.00
06/28/2018	75500881	SUSLOW, RONNIE		ALTABA INC COM	B	780,000.00	0.00	7.00	-7.00 85.0 -7.00
06/29/2018	75500881	SUSLOW, RONNIE		NUVEEN NEW YORK AMT FREE MUNICIPAL I S		244,605.00	0.00	7.00	-7.00 85.0 -7.00
06/29/2018	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		296,816.42	0.00	7.00	-7.00 85.0 -7.00
07/20/2018	75500918	SUSLOW, ELISSA		NUVEEN NEW YORK AMT FREE MUNICIPAL I S		305,000.00	0.00	7.00	-7.00 85.0 -7.00
06/28/2018	75500933	LAWRENCE, IRA		WALGREEN BOOTS ALLIANCE INC COM	B	60,007.00	10.00	7.00	3.00 85.0 1.50
06/28/2018	75500933	LAWRENCE, IRA		WALGREEN BOOTS ALLIANCE INC COM	S	-60,007.00	-10.00	-7.00	-3.00 85.0 -1.50 C
06/29/2018	75500933	LAWRENCE, IRA		TENCENT HOLDINGS LIMITED UNSPONSORE S		50,450.00	10.00	7.00	3.00 85.0 1.50
07/02/2018	75500933	LAWRENCE, IRA		WALGREEN BOOTS ALLIANCE INC COM	B	60,410.00	10.00	7.00	3.00 85.0 1.50
07/03/2018	75500933	LAWRENCE, IRA		AMGEN INC	S	93,500.00	10.00	7.00	3.00 85.0 1.50
07/06/2018	75500933	LAWRENCE, IRA		WALGREEN BOOTS ALLIANCE INC COM	S	63,490.00	10.00	7.00	3.00 85.0 1.50
07/09/2018	75500933	LAWRENCE, IRA		PFIZER INCORPORATED	B	37,205.00	10.00	7.00	3.00 85.0 1.50
07/13/2018	75500933	LAWRENCE, IRA		PFIZER INCORPORATED	S	37,490.00	10.00	7.00	3.00 85.0 1.50
07/19/2018	75500933	LAWRENCE, IRA		INTERNATIONAL BUSINESS MACHINES COR S		74,490.00	10.00	7.00	3.00 85.0 1.50
07/19/2018	75500933	LAWRENCE, IRA		TENCENT HOLDINGS LIMITED UNSPONSORE B		47,955.00	10.00	7.00	3.00 85.0 1.50
07/30/2018	75500933	LAWRENCE, IRA		TENCENT HOLDINGS LIMITED UNSPONSORE B		46,710.00	10.00	7.00	3.00 85.0 1.50
08/03/2018	75500933	LAWRENCE, IRA		OPERA LIMITED AMERICAN DEPOSITARY S B		23,240.00	10.00	7.00	3.00 85.0 1.50
08/09/2018	75500933	LAWRENCE, IRA		YANDEX N V CLASS A	B	32,098.70	10.00	7.00	3.00 85.0 1.50
07/25/2018	75500953	SUSLOW, STEPHEN		NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		124,900.00	0.00	7.00	-7.00 85.0 -7.00
06/28/2018	75501161	LAWRENCE, DAVID		WALGREEN BOOTS ALLIANCE INC COM	B	60,007.00	10.00	7.00	3.00 85.0 1.50
07/06/2018	75501161	LAWRENCE, DAVID		WALGREEN BOOTS ALLIANCE INC COM	S	63,980.00	10.00	7.00	3.00 85.0 1.50
07/16/2018	75501161	LAWRENCE, DAVID		VOYAGER THERAPEUTICS INC COM	B	17,610.00	10.00	7.00	3.00 85.0 1.50
07/17/2018	75501161	LAWRENCE, DAVID		VOYAGER THERAPEUTICS INC COM	S	19,821.50	10.00	7.00	3.00 85.0 1.50
07/31/2018	75501161	LAWRENCE, DAVID		OPERA LIMITED AMERICAN DEPOSITARY S B		24,200.00	10.00	7.00	3.00 85.0 1.50
08/07/2018	75501161	LAWRENCE, DAVID		OPERA LIMITED AMERICAN DEPOSITARY S S		27,625.12	10.00	7.00	3.00 85.0 1.50
08/10/2018	75501161	LAWRENCE, DAVID		APPIAN CORP CL A	S	37,390.00	10.00	7.00	3.00 85.0 1.50
07/30/2018	75501189	IRA S LAWRENCE TTEE		OPERA LIMITED AMERICAN DEPOSITARY S B		12,285.80	10.00	7.00	3.00 85.0 1.50
07/30/2018	75501189	IRA S LAWRENCE TTEE		OPERA LIMITED AMERICAN DEPOSITARY S B		12,140.00	10.00	7.00	3.00 85.0 1.50
07/10/2018	75501196	LAWRENCE, ADELLE		VOYAGER THERAPEUTICS INC COM	B	18,210.00	10.00	7.00	3.00 85.0 1.50

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/26/2018	75501196	LAWRENCE, ADELLE		TENCENT HOLDINGS LIMITED UNSPONSORE B	47,610.00	10.00	7.00	3.00	85.0 1.50
06/28/2018	75502141	BOSGANG, ALVIN		ALTABA INC COM B	2,823,600.00	0.00	7.00	-7.00	85.0 -7.00
06/28/2018	75502141	BOSGANG, ALVIN		ALTABA INC COM B	100,750.00	0.00	7.00	-7.00	85.0 -7.00
07/03/2018	75502141	BOSGANG, ALVIN		ALTABA INC COM B	30,600.00	0.00	7.00	-7.00	85.0 -7.00
07/03/2018	75502141	BOSGANG, ALVIN		ALTABA INC COM B	231,000.00	0.00	7.00	-7.00	85.0 -7.00
07/03/2018	75502141	BOSGANG, ALVIN		ALTABA INC COM B	1,410,500.00	0.00	7.00	-7.00	85.0 -7.00
07/03/2018	75502141	BOSGANG, ALVIN		ALTABA INC COM B	148,200.00	0.00	7.00	-7.00	85.0 -7.00
07/06/2018	75502141	BOSGANG, ALVIN		ALTABA INC COM S	4,483,500.00	0.00	7.00	-7.00	85.0 -7.00
07/06/2018	75502141	BOSGANG, ALVIN		CITIGROUP INC COM S	9,849,000.00	0.00	7.00	-7.00	85.0 -7.00
07/19/2018	75502141	BOSGANG, ALVIN		ALTABA INC COM B	425,600.00	0.00	7.00	-7.00	85.0 -7.00
08/08/2018	75502141	BOSGANG, ALVIN		ALTABA INC COM B	2,448,600.00	0.00	7.00	-7.00	85.0 -7.00
08/08/2018	75502141	BOSGANG, ALVIN		ALTABA INC COM B	14,091,300.00	0.00	7.00	-7.00	85.0 -7.00
08/09/2018	75502141	BOSGANG, ALVIN		ALTABA INC COM B	77,500.00	0.00	7.00	-7.00	85.0 -7.00
				* STOCKS SUBTOTAL *	\$39,874,389.54	\$220.00	\$294.00	\$-74.00	\$-107.00

PRODUCT CATEGORY: OPTIONS

07/05/2018	75500791	SUSLOVICH, ESTHER	PUT T	07/13/18	31	S	350.00	0.00	7.00	-7.00	85.0 -7.00
08/01/2018	75500791	SUSLOVICH, ESTHER	PUT VZ	08/10/18	49.50	S	147.00	0.00	7.00	-7.00	85.0 -7.00
08/07/2018	75500837	BELL, MARTIN	PUT VZ	08/17/18	50.50	S	480.00	0.00	7.00	-7.00	85.0 -7.00
07/05/2018	75500838	BELL, MARTIN	PUT T	07/13/18	31	S	195.00	0.00	7.00	-7.00	85.0 -7.00
07/27/2018	75500859	LABELLA, CHARLES	PUT VZ	08/17/18	49	S	400.00	0.00	7.00	-7.00	85.0 -7.00
07/09/2018	75500864	WINICK, JESSE	PUT T	07/20/18	31.50	S	1,058.42	105.00	7.00	98.00	85.0 82.25
07/10/2018	75500864	WINICK, JESSE	PUT AABA	07/13/18	78	S	2,400.00	240.00	7.00	233.00	85.0 197.00
07/17/2018	75500864	WINICK, JESSE	CALL AABA	07/27/18	78.50	S	2,300.00	230.00	7.00	223.00	85.0 188.50
07/20/2018	75500864	WINICK, JESSE	CALL T	07/27/18	32.50	S	1,620.00	162.00	7.00	155.00	85.0 130.70
07/27/2018	75500864	WINICK, JESSE	PUT AABA	08/03/18	76	S	1,700.00	170.00	7.00	163.00	85.0 137.50
08/03/2018	75500864	WINICK, JESSE	CALL T	08/17/18	33	S	1,948.70	194.00	7.00	187.00	85.0 157.90
08/06/2018	75500864	WINICK, JESSE	PUT VZ	08/17/18	51	S	800.00	80.00	7.00	73.00	85.0 61.00
08/08/2018	75500864	WINICK, JESSE	PUT VZ	08/17/18	52	S	1,280.00	128.00	7.00	121.00	85.0 101.80
06/29/2018	75500881	SUSLOW, RONNIE	PUT AABA	06/29/18	76.50	B	14,001.60	0.00	7.00	-7.00	85.0 -7.00
07/09/2018	75500881	SUSLOW, RONNIE	PUT AABA	07/13/18	78	S	800.00	0.00	7.00	-7.00	85.0 -7.00
07/10/2018	75500881	SUSLOW, RONNIE	PUT AABA	07/13/18	78	S	1,440.00	0.00	7.00	-7.00	85.0 -7.00
07/16/2018	75500881	SUSLOW, RONNIE	CALL AABA	07/27/18	78	S	2,000.00	0.00	7.00	-7.00	85.0 -7.00
07/16/2018	75500881	SUSLOW, RONNIE	PUT T	07/20/18	31.50	S	800.00	0.00	7.00	-7.00	85.0 -7.00
07/18/2018	75500881	SUSLOW, RONNIE	CALL AABA	07/27/18	78.50	S	420.00	0.00	7.00	-7.00	85.0 -7.00
07/20/2018	75500881	SUSLOW, RONNIE	CALL T	07/27/18	32.50	S	4,254.17	0.00	7.00	-7.00	85.0 -7.00
07/20/2018	75500881	SUSLOW, RONNIE	PUT AABA	08/03/18	76	S	2,000.00	0.00	7.00	-7.00	85.0 -7.00
07/20/2018	75500881	SUSLOW, RONNIE	PUT T	07/20/18	31.50	B	3,712.50	0.00	7.00	-7.00	85.0 -7.00
07/25/2018	75500881	SUSLOW, RONNIE	CALL AABA	07/27/18	78.50	B	380.00	0.00	7.00	-7.00	85.0 -7.00
07/25/2018	75500881	SUSLOW, RONNIE	CALL AABA	07/27/18	78	B	3,580.00	0.00	7.00	-7.00	85.0 -7.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
07/26/2018	75500881	SUSLOW, RONNIE	CALL T	07/27/18	32.50	B	6,272.50	0.00	7.00	-7.00	85.0	-7.00
07/26/2018	75500881	SUSLOW, RONNIE	PUT T	08/03/18	31	S	6,785.42	0.00	7.00	-7.00	85.0	-7.00
08/03/2018	75500881	SUSLOW, RONNIE	CALL T	08/17/18	33	S	1,299.13	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75500881	SUSLOW, RONNIE	PUT VZ	08/17/18	51	S	800.00	0.00	7.00	-7.00	85.0	-7.00
08/08/2018	75500881	SUSLOW, RONNIE	PUT AABA	08/10/18	76.50	S	1,000.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75500881	SUSLOW, RONNIE	CALL AABA	08/10/18	72.50	S	700.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75500881	SUSLOW, RONNIE	CALL AABA	08/10/18	71.50	S	960.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75500881	SUSLOW, RONNIE	PUT AABA	08/10/18	76.50	S	400.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75500881	SUSLOW, RONNIE	PUT AABA	08/10/18	76.50	S	415.08	0.00	7.00	-7.00	85.0	-7.00
08/10/2018	75500881	SUSLOW, RONNIE	CALL AABA	08/10/18	71.50	S	2,620.00	0.00	7.00	-7.00	85.0	-7.00
06/29/2018	75500882	SUSLOW, RONNIE	PUT AABA	06/29/18	77	B	40,425.71	0.00	7.00	-7.00	85.0	-7.00
06/29/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/29/18	77	B	16,170.29	0.00	7.00	-7.00	85.0	-7.00
06/29/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/29/18	76.50	B	7,000.80	0.00	7.00	-7.00	85.0	-7.00
06/29/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/29/18	75.50	B	15,066.75	0.00	7.00	-7.00	85.0	-7.00
07/09/2018	75500918	SUSLOW, ELISSA	PUT T	07/20/18	31.50	S	1,079.58	0.00	7.00	-7.00	85.0	-7.00
07/12/2018	75500918	SUSLOW, ELISSA	CALL AABA	07/20/18	78	S	1,680.00	0.00	7.00	-7.00	85.0	-7.00
07/12/2018	75500918	SUSLOW, ELISSA	CALL AABA	07/20/18	78.50	S	940.00	0.00	7.00	-7.00	85.0	-7.00
07/12/2018	75500918	SUSLOW, ELISSA	CALL AABA	07/20/18	79	S	340.00	0.00	7.00	-7.00	85.0	-7.00
07/16/2018	75500918	SUSLOW, ELISSA	PUT T	07/20/18	31.50	S	304.00	0.00	7.00	-7.00	85.0	-7.00
07/20/2018	75500918	SUSLOW, ELISSA	CALL T	07/27/18	32.50	S	5,955.83	0.00	7.00	-7.00	85.0	-7.00
07/20/2018	75500918	SUSLOW, ELISSA	PUT T	07/20/18	31.50	B	5,197.50	0.00	7.00	-7.00	85.0	-7.00
07/26/2018	75500918	SUSLOW, ELISSA	CALL T	07/27/18	32.50	B	8,781.50	0.00	7.00	-7.00	85.0	-7.00
07/26/2018	75500918	SUSLOW, ELISSA	PUT T	08/03/18	31	S	9,499.58	0.00	7.00	-7.00	85.0	-7.00
08/03/2018	75500918	SUSLOW, ELISSA	CALL T	08/17/18	33	S	1,732.17	0.00	7.00	-7.00	85.0	-7.00
08/08/2018	75500918	SUSLOW, ELISSA	PUT VZ	08/17/18	52	S	1,280.00	0.00	7.00	-7.00	85.0	-7.00
08/08/2018	75500918	SUSLOW, ELISSA	PUT VZ	08/10/18	52	S	200.00	0.00	7.00	-7.00	85.0	-7.00
08/10/2018	75500918	SUSLOW, ELISSA	PUT AABA	08/17/18	78	S	5,700.00	0.00	7.00	-7.00	85.0	-7.00
08/10/2018	75500918	SUSLOW, ELISSA	PUT AABA	08/17/18	78	B	5,400.00	0.00	7.00	-7.00	85.0	-7.00
07/05/2018	75500919	SUSLOW, ELISSA	PUT T	07/13/18	31	S	150.00	0.00	7.00	-7.00	85.0	-7.00
08/01/2018	75500919	SUSLOW, ELISSA	PUT VZ	08/10/18	49.50	S	133.00	0.00	7.00	-7.00	85.0	-7.00
06/29/2018	75500954	SUSLOW, STEPHEN	PUT AABA	07/13/18	78	S	27,654.00	0.00	7.00	-7.00	85.0	-7.00
06/29/2018	75500954	SUSLOW, STEPHEN	PUT AABA	07/13/18	76	S	7,403.00	0.00	7.00	-7.00	85.0	-7.00
06/29/2018	75500954	SUSLOW, STEPHEN	PUT AABA	07/13/18	78	S	42,234.00	0.00	7.00	-7.00	85.0	-7.00
06/29/2018	75500954	SUSLOW, STEPHEN	PUT AABA	07/13/18	77	S	65,348.00	0.00	7.00	-7.00	85.0	-7.00
06/29/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/29/18	76.50	B	14,001.60	0.00	7.00	-7.00	85.0	-7.00
06/29/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/29/18	76	B	5,545.00	0.00	7.00	-7.00	85.0	-7.00
06/29/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/29/18	75.50	B	5,022.25	0.00	7.00	-7.00	85.0	-7.00
07/11/2018	75500954	SUSLOW, STEPHEN	PUT AABA	07/13/18	77	B	46,265.63	0.00	7.00	-7.00	85.0	-7.00
07/11/2018	75500954	SUSLOW, STEPHEN	PUT AABA	08/03/18	77	S	56,756.74	0.00	7.00	-7.00	85.0	-7.00
07/13/2018	75500954	SUSLOW, STEPHEN	PUT AABA	07/13/18	78	B	9,681.44	0.00	7.00	-7.00	85.0	-7.00

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BROKER TRANSACTIONS														
PRODUCT CATEGORY: OPTIONS														
07/13/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	07/13/18	76	B	3,484.99	0.00	7.00	-7.00	85.0	-7.00	
07/13/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	07/13/18	78	B	22,053.00	0.00	7.00	-7.00	85.0	-7.00	
07/13/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	07/20/18	75.50	S	12,984.54	0.00	7.00	-7.00	85.0	-7.00	
07/13/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	07/20/18	76	S	4,016.79	0.00	7.00	-7.00	85.0	-7.00	
07/13/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	07/27/18	76.50	S	27,002.00	0.00	7.00	-7.00	85.0	-7.00	
07/20/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	07/20/18	75.50	B	18,026.07	0.00	7.00	-7.00	85.0	-7.00	
07/20/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	07/20/18	76	B	5,457.94	0.00	7.00	-7.00	85.0	-7.00	
07/20/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	08/03/18	75.50	S	21,502.92	0.00	7.00	-7.00	85.0	-7.00	
07/20/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	08/03/18	76	S	6,106.60	0.00	7.00	-7.00	85.0	-7.00	
07/23/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	07/27/18	76.50	B	35,413.94	0.00	7.00	-7.00	85.0	-7.00	
07/23/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	08/10/18	76.50	S	43,294.93	0.00	7.00	-7.00	85.0	-7.00	
08/01/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	08/03/18	76	B	5,500.00	0.00	7.00	-7.00	85.0	-7.00	
08/01/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	08/03/18	77	B	51,180.00	0.00	7.00	-7.00	85.0	-7.00	
08/01/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	08/10/18	77	S	60,120.00	0.00	7.00	-7.00	85.0	-7.00	
08/01/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	08/10/18	76	S	7,000.00	0.00	7.00	-7.00	85.0	-7.00	
08/03/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	08/17/18	75.50	S	35,600.00	0.00	7.00	-7.00	85.0	-7.00	
08/03/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	08/03/18	75.50	B	32,360.33	0.00	7.00	-7.00	85.0	-7.00	
08/08/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	08/10/18	77	B	89,800.00	0.00	7.00	-7.00	85.0	-7.00	
08/08/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	08/10/18	76.50	B	59,000.00	0.00	7.00	-7.00	85.0	-7.00	
08/08/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	08/10/18	76	B	10,690.00	0.00	7.00	-7.00	85.0	-7.00	
08/10/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	08/17/18	75.50	B	36,400.00	0.00	7.00	-7.00	85.0	-7.00	
08/03/2018	75500986	SUSLOVICH, JACOB	CALL	T	08/17/18	33.50	S	480.00	0.00	7.00	-7.00	85.0	-7.00	
08/08/2018	75501098	WINICK FAMILY	PUT	VZ	08/17/18	51.50	S	800.00	80.00	7.00	73.00	85.0	61.00	
07/10/2018	75501215	SISTERS TWO LLC	PUT	T	07/20/18	31.50	S	560.00	0.00	7.00	-7.00	85.0	-7.00	
07/20/2018	75501215	SISTERS TWO LLC	PUT	T	08/03/18	31	S	3,880.00	0.00	7.00	-7.00	85.0	-7.00	
07/20/2018	75501215	SISTERS TWO LLC	PUT	T	07/20/18	31.50	B	2,970.00	0.00	7.00	-7.00	85.0	-7.00	
08/03/2018	75501215	SISTERS TWO LLC	CALL	T	08/17/18	33.50	S	480.00	0.00	7.00	-7.00	85.0	-7.00	
07/05/2018	75502117	BLUMA ROTTERMANN	TTEE	PUT	T	07/13/18	31.50	S	972.00	97.00	7.00	90.00	85.0	75.45
07/09/2018	75502117	BLUMA ROTTERMANN	TTEE	PUT	T	07/20/18	31	S	600.00	60.00	7.00	53.00	85.0	44.00
07/09/2018	75502117	BLUMA ROTTERMANN	TTEE	PUT	VZ	07/20/18	48	S	500.00	50.00	7.00	43.00	85.0	35.50
07/27/2018	75502117	BLUMA ROTTERMANN	TTEE	PUT	VZ	08/17/18	49	S	1,440.00	144.00	7.00	137.00	85.0	115.40
08/07/2018	75502117	BLUMA ROTTERMANN	TTEE	PUT	VZ	08/17/18	51	S	800.00	80.00	7.00	73.00	85.0	61.00
06/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	07/13/18	75	S	411,013.00	2,100.00	7.00	2,093.00	85.0	1,778.00	
06/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	07/13/18	78	S	559,330.00	2,550.00	7.00	2,543.00	85.0	2,160.50	
06/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	07/13/18	76	S	758,178.00	3,150.00	7.00	3,143.00	85.0	2,670.50	
06/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	07/13/18	78	S	646,917.00	2,460.00	7.00	2,453.00	85.0	2,084.00	
06/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	07/13/18	77	S	213,508.00	750.00	7.00	743.00	85.0	630.50	
06/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	07/13/18	77.50	S	356,283.34	1,120.00	7.00	1,113.00	85.0	945.00	
06/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	07/13/18	78	S	284,674.00	807.00	7.00	800.00	85.0	678.95	
06/29/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	77.50	B	257,918.34	0.00	7.00	-7.00	85.0	-7.00	

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
06/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	77.50	B	318,036.67	0.00	7.00	-7.00	85.0	-7.00
06/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	77	B	185,226.00	0.00	7.00	-7.00	85.0	-7.00
06/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	76.50	B	550,562.00	0.00	7.00	-7.00	85.0	-7.00
06/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	76	B	605,634.00	0.00	7.00	-7.00	85.0	-7.00
06/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	75.50	B	426,700.00	0.00	7.00	-7.00	85.0	-7.00
06/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	75	B	286,685.00	0.00	7.00	-7.00	85.0	-7.00
07/05/2018	75502141	BOSGANG, ALVIN	CALL AABA	07/06/18	73.50	S	13,920.00	0.00	7.00	-7.00	85.0	-7.00
07/05/2018	75502141	BOSGANG, ALVIN	CALL C	07/06/18	67	S	26,424.00	1,321.00	7.00	1,314.00	85.0	1,115.85
07/05/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/06/18	76	B	89,801.00	0.00	7.00	-7.00	85.0	-7.00
07/05/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/06/18	76.50	B	248,048.00	0.00	7.00	-7.00	85.0	-7.00
07/05/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/06/18	77	B	70,750.00	0.00	7.00	-7.00	85.0	-7.00
07/05/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/06/18	77.50	B	195,388.00	0.00	7.00	-7.00	85.0	-7.00
07/05/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/06/18	78	B	92,772.00	0.00	7.00	-7.00	85.0	-7.00
07/05/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/27/18	78	S	99,188.00	271.00	7.00	264.00	85.0	223.35
07/05/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/27/18	77.50	S	213,762.00	627.00	7.00	620.00	85.0	525.95
07/05/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/27/18	77	S	79,660.00	255.00	7.00	248.00	85.0	209.75
07/05/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/27/18	76.50	S	292,408.00	1,044.00	7.00	1,037.00	85.0	880.40
07/05/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/27/18	76	S	112,329.00	450.00	7.00	443.00	85.0	375.50
07/11/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/13/18	77	B	165,234.38	0.00	7.00	-7.00	85.0	-7.00
07/11/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/13/18	77.50	B	831,307.00	0.00	7.00	-7.00	85.0	-7.00
07/11/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/13/18	78	B	642,312.00	0.00	7.00	-7.00	85.0	-7.00
07/11/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	77.50	S	974,380.00	3,370.00	7.00	3,363.00	85.0	2,857.50
07/11/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	78	S	722,636.00	2,307.00	7.00	2,300.00	85.0	1,953.95
07/11/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	77	S	202,702.66	750.00	7.00	743.00	85.0	630.50
07/12/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/13/18	76	B	12,040.00	0.00	7.00	-7.00	85.0	-7.00
07/12/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/13/18	78	B	22,340.00	0.00	7.00	-7.00	85.0	-7.00
07/12/2018	75502141	BOSGANG, ALVIN	PUT AABA	12/15/17	68	S	3,300.00	150.00	7.00	143.00	85.0	120.50
07/12/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	76	S	24,640.00	150.00	7.00	143.00	85.0	120.50
07/12/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	76.50	S	38,140.00	210.00	7.00	203.00	85.0	171.50
07/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/13/18	75	B	94,996.00	0.00	7.00	-7.00	85.0	-7.00
07/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/13/18	78	B	205,730.56	0.00	7.00	-7.00	85.0	-7.00
07/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/13/18	76	B	348,499.01	0.00	7.00	-7.00	85.0	-7.00
07/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/13/18	78	B	330,795.00	0.00	7.00	-7.00	85.0	-7.00
07/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/20/18	75.50	S	275,921.46	2,550.00	7.00	2,543.00	85.0	2,160.50
07/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	12/15/17	68	S	176,600.00	2,100.00	7.00	2,093.00	85.0	1,778.00
07/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/20/18	76	S	241,007.21	1,595.00	7.00	1,588.00	85.0	1,348.75
07/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/27/18	76.50	S	405,030.00	2,250.00	7.00	2,243.00	85.0	1,905.50
07/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/27/18	76	S	190,552.00	1,200.00	7.00	1,193.00	85.0	1,013.00
07/16/2018	75502141	BOSGANG, ALVIN	CALL AABA	07/27/18	78	S	5,400.00	270.00	7.00	263.00	85.0	222.50
07/17/2018	75502141	BOSGANG, ALVIN	CALL AABA	07/27/18	78	S	7,560.00	378.00	7.00	371.00	85.0	314.30

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
07/17/2018	75502141	BOSGANG, ALVIN	CALL AABA	07/27/18	78	S	3,340.00	150.00	7.00	143.00	85.0	120.50
07/18/2018	75502141	BOSGANG, ALVIN	CALL AABA	07/27/18	78	S	4,620.00	231.00	7.00	224.00	85.0	189.35
07/18/2018	75502141	BOSGANG, ALVIN	CALL AABA	07/27/18	78	S	6,000.00	300.00	7.00	293.00	85.0	248.00
07/19/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	76	S	7,240.00	362.00	7.00	355.00	85.0	300.70
07/19/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	76	S	6,000.00	300.00	7.00	293.00	85.0	248.00
07/20/2018	75502141	BOSGANG, ALVIN	CALL AABA	05/11/18	72.50	S	325,600.00	2,100.00	7.00	2,093.00	85.0	1,778.00
07/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/20/18	75.50	B	383,053.93	0.00	7.00	-7.00	85.0	-7.00
07/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	12/15/17	68	B	247,200.00	0.00	7.00	-7.00	85.0	-7.00
07/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/20/18	76	B	312,194.06	0.00	7.00	-7.00	85.0	-7.00
07/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	75.50	S	456,937.08	2,550.00	7.00	2,543.00	85.0	2,160.50
07/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	76	S	349,297.40	1,716.00	7.00	1,709.00	85.0	1,451.60
07/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	76	S	10,800.00	540.00	7.00	533.00	85.0	452.00
07/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	76	S	6,000.00	300.00	7.00	293.00	85.0	248.00
07/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	76	S	11,900.00	595.00	7.00	588.00	85.0	498.75
07/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	76	S	4,840.00	242.00	7.00	235.00	85.0	198.70
07/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	76.50	S	3,670.00	0.00	7.00	-7.00	85.0	-7.00
07/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	76	S	5,360.00	268.00	7.00	261.00	85.0	220.80
07/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/27/18	78	B	90,895.00	0.00	7.00	-7.00	85.0	-7.00
07/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/27/18	77.50	B	192,754.00	0.00	7.00	-7.00	85.0	-7.00
07/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/27/18	77	B	69,700.00	0.00	7.00	-7.00	85.0	-7.00
07/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/27/18	76.50	B	777,690.06	0.00	7.00	-7.00	85.0	-7.00
07/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/27/18	76	B	329,728.00	0.00	7.00	-7.00	85.0	-7.00
07/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/13/18	77 ALTABA I	S	101,292.00	271.00	7.00	264.00	85.0	223.35
07/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76.50	S	219,312.00	627.00	7.00	620.00	85.0	525.95
07/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	77	S	81,610.00	255.00	7.00	248.00	85.0	209.75
07/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76.50	S	946,427.07	3,279.00	7.00	3,272.00	85.0	2,780.15
07/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76	S	422,282.00	1,650.00	7.00	1,643.00	85.0	1,395.50
07/30/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	77.50	B	1,107,895.00	0.00	7.00	-7.00	85.0	-7.00
07/30/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	78	B	785,457.00	0.00	7.00	-7.00	85.0	-7.00
07/30/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/17/18	78	S	582,013.00	1,500.00	7.00	1,493.00	85.0	1,268.00
07/30/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/17/18	77.50	S	838,578.00	2,250.00	7.00	2,243.00	85.0	1,905.50
07/30/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	75.50	B	429,702.00	0.00	7.00	-7.00	85.0	-7.00
07/30/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	76	B	453,180.00	0.00	7.00	-7.00	85.0	-7.00
07/30/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	76.50	B	62,700.00	0.00	7.00	-7.00	85.0	-7.00
07/30/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	77	B	228,200.00	0.00	7.00	-7.00	85.0	-7.00
07/30/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/13/18	77 ALTABA I	S	302,008.00	807.00	7.00	800.00	85.0	678.95
07/30/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76.50	S	405,859.00	1,120.00	7.00	1,113.00	85.0	945.00
07/30/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	77	S	255,050.00	750.00	7.00	743.00	85.0	630.50
07/30/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76.50	S	71,700.00	225.00	7.00	218.00	85.0	184.25
07/30/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76	S	531,961.00	1,866.00	7.00	1,859.00	85.0	1,579.10

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
07/30/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	75.50	S	505,363.00	1,950.00	7.00	1,943.00	85.0	1,650.50
08/02/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	74	S	16,445.00	300.00	7.00	293.00	85.0	248.00
08/02/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	74.50	S	14,000.00	300.00	7.00	293.00	85.0	248.00
08/02/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	75.50	S	10,055.00	300.00	7.00	293.00	85.0	248.00
08/02/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	75	S	12,258.00	300.00	7.00	293.00	85.0	248.00
08/03/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	74	S	31,044.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	74.50	S	26,560.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	75.50	S	15,880.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2018	75502141	BOSGANG, ALVIN	CALL AABA	05/11/18	72.50	B	505,428.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	75	S	23,610.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	75.50	B	161,801.67	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	74	S	9,640.00	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	74.50	S	7,280.00	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	75	S	3,460.00	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/13/18	77	ALTABA I B	547,930.00	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76.50	B	830,555.00	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	77	B	112,500.00	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76.50	B	209,740.00	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76	B	203,280.00	0.00	7.00	-7.00	85.0	-7.00
08/07/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	75	S	7,108.00	300.00	7.00	293.00	85.0	248.00
08/08/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/10/18	74	S	2,005.00	100.00	7.00	93.00	85.0	78.00
08/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/17/18	78	S	35,216.00	1,760.00	7.00	1,753.00	85.0	1,489.00
08/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	77	B	106,080.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76.50	B	83,780.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76	B	1,035,350.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	75.50	B	642,659.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76.50	S	10,376.92	518.00	7.00	511.00	85.0	433.30
08/10/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/17/18	78	S	29,174.00	1,458.00	7.00	1,451.00	85.0	1,232.30
08/10/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/17/18	78	B	713,400.00	0.00	7.00	-7.00	85.0	-7.00
08/10/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/17/18	77.50	B	984,105.00	0.00	7.00	-7.00	85.0	-7.00
* OPTIONS SUBTOTAL *							\$32,719,111.76	\$67,545.00	\$1,498.00	\$66,047.00		\$55,915.25
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS												
07/31/2018	FEE COUNT - 2						0.00	30.08	0.00	30.08	85.0	25.57
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *							\$0.00	\$30.08	\$0.00	\$30.08		\$25.57
*** BROKER TRANSACTIONS TOTAL ***							\$72,593,501.30	\$67,795.08	\$1,792.00	\$66,003.08		\$55,833.82

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

07/31/2018		OTHER: OTHER-HEALTH INSURANCE		0.00	0.00	0.00	0.00		-556.05
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-556.05

BROKER COMMISSION TOTALS

Broker Transactions	\$55,833.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-556.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-84.74
SIPC Assessment	\$-169.49

Check Amount	\$55,023.54
Year-to-date Earnings	\$251,841.72