

COMMISSION STATEMENT

December 31, 2017

RICHARD BELZ
40 Wall Street

New York, NY 10005

BROKER #: 77
BRANCH: HOME OFFICE

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

12/04/2017	000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763	B	237.50	8.91	12.00	-3.09	90.0	-3.98
12/19/2017	0000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763	B	237.50	8.91	12.00	-3.09	90.0	-3.98
11/28/2017	0000000000888774	COLEMAN, FRK AGGRESSIVE GRWTH - CL A	B	108.75	3.05	12.00	-8.95	90.0	-9.26
12/12/2017	0000000000888774	COLEMAN, FRK AGGRESSIVE GRWTH - CL A	B	90.00	2.52	12.00	-9.48	90.0	-9.73
12/04/2017	0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND	B	500.00	5.00	12.00	-7.00	90.0	-7.50
12/19/2017	0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND	B	500.00	5.00	12.00	-7.00	90.0	-7.50
11/28/2017	0000000004328098	COLEMAN, FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	362.50	10.15	12.00	-1.85	90.0	-2.87
12/12/2017	0000000004328098	COLEMAN, FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	300.00	8.40	12.00	-3.60	90.0	-4.44
11/28/2017	0000000004468094	COLEMAN, MUTUAL DISC FND - CL A	B	145.00	4.06	12.00	-7.94	90.0	-8.35
12/12/2017	0000000004468094	COLEMAN, MUTUAL DISC FND - CL A	B	120.00	3.36	12.00	-8.64	90.0	-8.98
12/05/2017	0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A	B	125.00	6.25	12.00	-5.75	90.0	-6.38
12/19/2017	0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A	B	125.00	6.25	12.00	-5.75	90.0	-6.38
12/04/2017	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS	B	237.50	8.91	12.00	-3.09	90.0	-3.98
12/19/2017	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS	B	237.50	8.91	12.00	-3.09	90.0	-3.98
11/28/2017	000019411906629	COLEMAN, FRK STRAT INC FND - CL A	B	108.75	3.05	12.00	-8.95	90.0	-9.26
12/12/2017	000019411906629	COLEMAN, FRK STRAT INC FND - CL A	B	90.00	2.52	12.00	-9.48	90.0	-9.73
12/05/2017	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	125.00	5.00	12.00	-7.00	90.0	-7.50
12/19/2017	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	125.00	5.00	12.00	-7.00	90.0	-7.50
12/04/2017	00056956375	FERRADO, MICHAEL AMCAP FUND-A	B	300.00	12.75	12.00	0.75	90.0	-0.53
12/19/2017	00056956375	FERRADO, MICHAEL AMCAP FUND-A	B	2,100.00	89.25	12.00	77.25	90.0	68.33
12/04/2017	00061842239	SCHENK, STEVEN AMCAP FUND-A	B	100.00	3.75	12.00	-8.25	90.0	-8.63
12/12/2017	00075280585	MILLER, SAMANTHA AMERICAN BALANCED FUND-A	B	450.00	12.38	12.00	0.38	90.0	-0.86
12/12/2017	00075280585	MILLER, SAMANTHA THE GROWTH FUND OF AMERICA-A	B	450.00	12.38	12.00	0.38	90.0	-0.86
12/15/2017	00084040075	WILLIAMS, ALYSSA CAPITAL WORLD GROWTH AND INCOME FUN B	B	100.00	4.25	12.00	-7.75	90.0	-8.18
12/15/2017	00084040075	WILLIAMS, ALYSSA WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	4.25	12.00	-7.75	90.0	-8.18
12/15/2017	00088094822	WILLIAMS, ALYSSA GLOBAL BALANCED FUND-C	B	50.00	0.50	12.00	-11.50	90.0	-11.55
11/24/2017	00453533309	BURNS, KATHLEEN PUTNAM 746933100	B	150.00	4.13	12.00	-7.87	90.0	-8.28
11/24/2017	00453533309	BURNS, KATHLEEN PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	150.00	4.13	12.00	-7.87	90.0	-8.28
11/20/2017	00503977532	SCHAEFER, DOREEN PUTNAM 746809102	B	25.00	1.25	12.00	-10.75	90.0	-10.88
11/20/2017	00503977532	SCHAEFER, DOREEN PUTNAM GLOBAL EQUITY FUND CLASS A	B	25.00	1.25	12.00	-10.75	90.0	-10.88
12/04/2017	00503977532	SCHAEFER, DOREEN PUTNAM 746809102	B	25.00	1.25	12.00	-10.75	90.0	-10.88

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/04/2017	00503977532 SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	25.00	1.25	12.00	-10.75	90.0	-10.88
11/24/2017	00514953902 STELLATO, BRIAN	PUTNAM 746809102	B	100.00	5.00	12.00	-7.00	90.0	-7.50
11/24/2017	00514953902 STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	100.00	5.00	12.00	-7.00	90.0	-7.50
11/20/2017	00515030771 ONORATO, LAURA	PUTNAM 746933100	B	258.00	7.10	12.00	-4.90	90.0	-5.61
11/20/2017	00515030771 ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	174.00	4.79	12.00	-7.21	90.0	-7.69
11/20/2017	00515030771 ONORATO, LAURA	PUTNAM-746764109	B	168.00	4.62	12.00	-7.38	90.0	-7.84
12/04/2017	00515030771 ONORATO, LAURA	PUTNAM 746933100	B	258.00	7.10	12.00	-4.90	90.0	-5.61
12/04/2017	00515030771 ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	174.00	4.79	12.00	-7.21	90.0	-7.69
12/04/2017	00515030771 ONORATO, LAURA	PUTNAM-746764109	B	168.00	4.62	12.00	-7.38	90.0	-7.84
11/24/2017	00551218283 C GARRUPPO,	PUTNAM 746802800	B	25.00	1.25	12.00	-10.75	90.0	-10.88
11/24/2017	00551218283 C GARRUPPO,	PUTNAM 746763200	B	50.00	2.50	12.00	-9.50	90.0	-9.75
11/24/2017	00551218283 C GARRUPPO,	PUTNAM-746764109	B	25.00	1.25	12.00	-10.75	90.0	-10.88
11/20/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746745108	B	50.00	2.50	12.00	-9.50	90.0	-9.75
11/20/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746933100	B	40.00	2.00	12.00	-10.00	90.0	-10.20
11/20/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746802800	B	50.00	2.50	12.00	-9.50	90.0	-9.75
11/20/2017	00551279988 AZZATO, JOSEPH	PUTNAM-746764109	B	60.00	3.00	12.00	-9.00	90.0	-9.30
12/04/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746745108	B	50.00	2.50	12.00	-9.50	90.0	-9.75
12/04/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746933100	B	40.00	2.00	12.00	-10.00	90.0	-10.20
12/04/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746802800	B	50.00	2.50	12.00	-9.50	90.0	-9.75
12/04/2017	00551279988 AZZATO, JOSEPH	PUTNAM-746764109	B	60.00	3.00	12.00	-9.00	90.0	-9.30
11/29/2017	00551371986 ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.25	12.00	-10.75	90.0	-10.88
11/29/2017	00551371986 ROSSO, RONALD	PUTNAM 746802800	B	40.00	2.00	12.00	-10.00	90.0	-10.20
11/29/2017	00551371986 ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.75	12.00	-10.25	90.0	-10.43
12/01/2017	QGW037111 GILMARTIN, MARGARET	CAPITAL INCOME BUILDER-A	S	-10,108.58	35.00	12.00	23.00	90.0	19.50
12/01/2017	QGW037111 GILMARTIN, MARGARET	THE HARTFORD BALANCED INCOME FUND C	S	-9,414.87	0.00	12.00	-12.00	90.0	-12.00
12/05/2017	QGW037111 GILMARTIN, MARGARET	PRINCIPAL PREFERRED SECURITIES FUND	S	-6,092.67	0.00	12.00	-12.00	90.0	-12.00
12/05/2017	QGW037129 GILMARTIN, MARGARET	PRINCIPAL PREFERRED SECURITIES FUND	S	-6,185.20	35.00	12.00	23.00	90.0	19.50
12/05/2017	QGW038374 VINCENTI, ROBERT	BLACKROCK HIGH YIELD BOND FUND INST	S	-808.56	0.00	12.00	-12.00	90.0	-12.00
12/05/2017	QGW038374 VINCENTI, ROBERT	EDGEWOOD GROWTH FUND INSTITUTIONAL	S	-2,349.60	35.00	12.00	23.00	90.0	19.50
12/05/2017	QGW038374 VINCENTI, ROBERT	MFS CORPORATE BOND FUND CLASS I	S	-3,348.46	0.00	12.00	-12.00	90.0	-12.00
12/05/2017	QGW038374 VINCENTI, ROBERT	TCW FDS INC TOTAL RETURN BOND FD CL	S	-3,743.29	35.00	12.00	23.00	90.0	19.50
12/05/2017	QGW038382 VINCENTI, ROBERT	BLACKROCK HIGH YIELD BOND FUND INST	S	-683.93	0.00	12.00	-12.00	90.0	-12.00
12/05/2017	QGW038382 VINCENTI, ROBERT	BLACKROCK GLOBAL LONG/SHORT CREDIT	S	-1,359.80	0.00	12.00	-12.00	90.0	-12.00
12/05/2017	QGW038382 VINCENTI, ROBERT	GLENMEDE SMALL CAP EQUITY INSTITUTI	S	-1,372.00	35.00	12.00	23.00	90.0	19.50
12/05/2017	QGW038382 VINCENTI, ROBERT	OPPENHEIMER INTERNATIONAL GROWTH FU	S	-1,381.12	0.00	12.00	-12.00	90.0	-12.00
12/05/2017	QGW038382 VINCENTI, ROBERT	PIMCO TOTAL RETURN FUND CLASS P	S	-2,563.28	35.00	12.00	23.00	90.0	19.50
12/05/2017	QGW038382 VINCENTI, ROBERT	TCW SELECT EQUITIES FD CLASS I	S	-3,708.65	35.00	12.00	23.00	90.0	19.50
12/04/2017	QGW038556 LAPINTA, LEONARD	OPPENHEIMER CASH RESERVES N	S	-18,019.73	25.00	12.00	13.00	90.0	10.50
12/04/2017	QGW038556 LAPINTA, LEONARD	QUEST VALUE A	S	-20,000.00	0.00	12.00	-12.00	90.0	-12.00
* MUTUAL FUNDS SUBTOTAL *				\$-81,314.74	\$601.04	\$840.00	\$-238.96		\$-299.14

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/15/2017	QGW031783 JACKSON, FRANK	TWITTER INC	S	-22,000.00	242.00	0.00	242.00	90.0	217.80
12/14/2017	QGW034027 HALL, JONATHAN	KRATOS DEFENSE & SEC SOLUTIONS	S	-5,391.20	95.00	0.00	95.00	90.0	85.50
12/15/2017	QGW035040 THUILLOT, CHARLES	TWITTER INC	S	-31,500.00	277.20	0.00	277.20	90.0	249.48
12/15/2017	QGW035198 WULFF, HANS	TWITTER INC	S	-22,000.00	242.00	0.00	242.00	90.0	217.80
12/14/2017	QGW035206 SKAHILL, ROBERT	SACHEM CAPITAL CORP COMMON SHARES	B	9,188.50	260.00	0.00	260.00	90.0	234.00
12/14/2017	QGW035214 BIANCO, BARBARA	NORWEGIAN CRUISE LINE HLDGS LTD SHS	S	-10,639.36	145.00	0.00	145.00	90.0	130.50
12/14/2017	QGW035214 BIANCO, BARBARA	VUZIX CORP COM NEW	B	11,880.00	145.00	0.00	145.00	90.0	130.50
12/15/2017	QGW035248 BELZ, ERIC	TWITTER INC	S	-31,500.00	277.20	0.00	277.20	90.0	249.48
12/15/2017	QGW035404 RICCA, MARIA	TWITTER INC	S	-8,800.00	127.60	0.00	127.60	90.0	114.84
12/19/2017	QGW035479 ROBERTS, KATHLEEN	ADIANT PLC ORDINARY SHARES	S	-1,286.09	40.00	0.00	40.00	90.0	36.00
12/19/2017	QGW035479 ROBERTS, KATHLEEN	COHERUS BIOSCIENCES INC COM	S	-8,975.00	55.00	0.00	55.00	90.0	49.50
11/30/2017	QGW035511 LYDEN, MARY	SNAP INC CLASS A COMMON STOCK	B	6,932.50	55.00	0.00	55.00	90.0	49.50
12/15/2017	QGW035552 KMIECIAK, ZBIGNIEW	TWITTER INC	S	-31,500.00	277.20	0.00	277.20	90.0	249.48
11/29/2017	QGW035594 DUSATKO, ANNE	MANHATTAN BRDG CAP INC COM	S	-1,494.00	13.28	0.00	13.28	90.0	11.95
12/04/2017	QGW035594 DUSATKO, ANNE	VUZIX CORP COM NEW	B	16,320.00	185.00	0.00	185.00	90.0	166.50
12/06/2017	QGW035610 DAVIS, VICTORIA	ALCOA CORPORATION COMMON STOCK	S	-6,762.16	90.00	0.00	90.00	90.0	81.00
12/06/2017	QGW035610 DAVIS, VICTORIA	ARCONIC INC COM	S	-12,125.00	135.00	0.00	135.00	90.0	121.50
12/15/2017	QGW035610 DAVIS, VICTORIA	SACHEM CAPITAL CORP COMMON SHARES	B	3,910.00	190.00	0.00	190.00	90.0	171.00
12/21/2017	QGW035644 MONGELUZZI, LINDA	PAYPAL HOLDINGS INC COM	B	74,276.00	425.00	0.00	425.00	90.0	382.50
12/04/2017	QGW035651 YONGE, DAVID	PAYPAL HOLDINGS INC COM	B	11,939.40	15.00	0.00	15.00	90.0	13.50
12/15/2017	QGW035669 KOLLAR, ROBERT	TWITTER INC	S	-42,000.00	369.60	0.00	369.60	90.0	332.64
12/15/2017	QGW035685 EICHENHOLZ, SONDR	TWITTER INC	S	-25,200.00	277.20	0.00	277.20	90.0	249.48
12/19/2017	QGW035685 EICHENHOLZ, SONDR	SACHEM CAPITAL CORP COMMON SHARES	B	19,550.00	600.00	0.00	600.00	90.0	540.00
12/15/2017	QGW035701 SZARKA, MICHAEL	TWITTER INC	S	-31,500.00	277.20	0.00	277.20	90.0	249.48
12/26/2017	QGW035719 FAMILY 2011	GWG HLDGS INC COM	S	-28,415.84	215.00	0.00	215.00	90.0	193.50
12/14/2017	QGW035735 STELLATO, MICHAEL	VUZIX CORP COM NEW	B	24,180.00	295.00	0.00	295.00	90.0	265.50
12/15/2017	QGW035768 MASSA, PATRICIA	TWITTER INC	S	-42,000.00	369.60	0.00	369.60	90.0	332.64
12/15/2017	QGW035784 SHEEHAN, DAVID	TWITTER INC	S	-17,600.00	227.04	0.00	227.04	90.0	204.34
12/04/2017	QGW035800 SHEEHAN, MARY	COHERUS BIOSCIENCES INC COM	S	-9,050.00	65.00	0.00	65.00	90.0	58.50
12/19/2017	QGW035800 SHEEHAN, MARY	OPKO HEALTH INC	S	-3,367.91	55.00	0.00	55.00	90.0	49.50
12/19/2017	QGW035800 SHEEHAN, MARY	OPKO HEALTH INC	S	3,367.91	-55.00	0.00	-55.00	90.0	-49.50 C
12/19/2017	QGW035800 SHEEHAN, MARY	OPKO HEALTH INC	S	-3,367.91	55.00	0.00	55.00	90.0	49.50
12/21/2017	QGW035842 SZABO, JOSEPH	FAT BRANDS INC COMMON STOCK	S	-3,975.00	55.00	0.00	55.00	90.0	49.50
12/15/2017	QGW035917 BOVE, DOMINICK	TWITTER INC	S	-44,000.00	387.20	0.00	387.20	90.0	348.48
12/15/2017	QGW036071 HENNEMANN, DENNIS	TWITTER INC	S	-29,400.00	323.40	0.00	323.40	90.0	291.06
12/22/2017	QGW036089 HENNEMANN, PATRICIA	SACHEM CAPITAL CORP COMMON SHARES	B	27,370.00	840.00	0.00	840.00	90.0	756.00
12/01/2017	QGW036170 STAPLES, CHARLES	SACHEM CAPITAL CORP COMMON SHARES	B	9,403.92	255.00	0.00	255.00	90.0	229.50
12/15/2017	QGW036337 FARREN, JOSEPHINE	TWITTER INC	S	-13,200.00	170.28	0.00	170.28	90.0	153.25
12/15/2017	QGW036956 BROCHARD, THOMAS	TWITTER INC	S	-22,000.00	242.00	0.00	242.00	90.0	217.80
12/01/2017	QGW037129 GILMARTIN, MARGARET	SACHEM CAPITAL CORP COMMON SHARES	B	785.91	23.03	0.00	23.03	90.0	20.73

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
12/14/2017	QGW037129	GILMARTIN, MARGARET	SACHEM CAPITAL CORP COMMON SHARES	B	13,528.60	360.00	0.00	360.00	90.0	324.00
12/05/2017	QGW038374	VINCENTI, ROBERT	ISHARES 1 TO 3 YEAR TREASURY BOND	E S	-3,104.67	30.00	0.00	30.00	90.0	27.00
12/05/2017	QGW038374	VINCENTI, ROBERT	ISHARES IBOX \$ INVESTMENT GRADE CO	S	-3,383.84	30.00	0.00	30.00	90.0	27.00
12/05/2017	QGW038374	VINCENTI, ROBERT	ISHARES TR U S TREAS BD ETF	S	-1,004.04	30.00	0.00	30.00	90.0	27.00
12/05/2017	QGW038374	VINCENTI, ROBERT	VANGUARD INDEX FUNDS VANGUARD GROWT	S	-2,363.78	30.00	0.00	30.00	90.0	27.00
12/05/2017	QGW038374	VINCENTI, ROBERT	VANGUARD INDEX FUNDS VANGUARD VALUE	S	-4,635.49	30.00	0.00	30.00	90.0	27.00
12/05/2017	QGW038374	VINCENTI, ROBERT	VANGUARD TOTAL INTERNATIONAL BOND	E S	-385.71	30.00	0.00	30.00	90.0	27.00
12/01/2017	QGW038556	LAPINTA, LEONARD	PAYPAL HOLDINGS INC COM	B	75,149.70	285.00	0.00	285.00	90.0	256.50
12/01/2017	QGW038556	LAPINTA, LEONARD	SACHEM CAPITAL CORP COMMON SHARES	B	2,346.00	57.00	0.00	57.00	90.0	51.30
12/14/2017	QGW038556	LAPINTA, LEONARD	VUZIX CORP COM NEW	B	23,900.00	365.00	0.00	365.00	90.0	328.50
12/20/2017	QGW038556	LAPINTA, LEONARD	SACHEM CAPITAL CORP COMMON SHARES	B	19,550.00	600.00	0.00	600.00	90.0	540.00
12/18/2017	QGW039026	WOLF, KIM	SACHEM CAPITAL CORP COMMON SHARES	B	39,060.90	925.00	0.00	925.00	90.0	832.50
* STOCKS SUBTOTAL *					\$-131,287.66	\$11,110.03	\$0.00	\$11,110.03		\$9,999.03

PRODUCT CATEGORY: OPTIONS

12/05/2017	QGW031783	JACKSON, FRANK	CALL 100 SNAP INC CL A EXP 01-19-18	S	-240.00	25.00	13.50	11.50	90.0	9.00
12/20/2017	QGW031783	JACKSON, FRANK	PUT 100 VUZIX CORP COM NEW EXP 02-1	S	-1,200.00	99.00	22.00	77.00	90.0	67.10
12/04/2017	QGW035040	THUILOT, CHARLES	CALL 100 VUZIX CORP COM NEW EXP 07-	S	-700.00	71.00	17.00	54.00	90.0	46.90
12/07/2017	QGW035123	BOWLEY, KEVIN	CALL 100 SIRIUS XM HLDGS INC COM EX	S	-160.00	25.00	17.00	8.00	90.0	5.50
12/21/2017	QGW035172	O'NEILL, RORY	CALL 100 TWITTER INC COM EXP 01-19-	S	-330.00	41.00	13.50	27.50	90.0	23.40
12/05/2017	QGW035180	PUE, MARYANN	CALL 100 SNAP INC CL A EXP 01-19-18	S	-360.00	38.00	14.25	23.75	90.0	19.95
12/05/2017	QGW035198	WULFF, HANS	CALL 100 SNAP INC CL A EXP 01-19-18	S	-400.00	40.00	14.50	25.50	90.0	21.50
12/20/2017	QGW035198	WULFF, HANS	PUT 100 VUZIX CORP COM NEW EXP 02-1	S	-1,120.00	99.00	20.75	78.25	90.0	68.35
12/20/2017	QGW035214	BIANCO, BARBARA	CALL 100 KRATOS DEFENSE & SEC SOLUT	S	-468.00	45.00	15.25	29.75	90.0	25.25
12/20/2017	QGW035248	BELZ, ERIC	PUT 100 VUZIX CORP COM NEW EXP 04-2	S	-1,750.00	99.00	18.25	80.75	90.0	70.85
12/20/2017	QGW035248	BELZ, ERIC	PUT 100 VUZIX CORP COM NEW EXP 02-1	S	-750.00	82.00	18.25	63.75	90.0	55.55
12/28/2017	QGW035248	BELZ, ERIC	CALL 100 NABORS INDS LTD SHS ISIN#B	S	-300.00	32.00	17.00	15.00	90.0	11.80
12/22/2017	QGW035255	BROCHARD, MARIA	PUT 100 VUZIX CORP COM NEW EXP 07-2	S	-660.00	59.00	13.50	45.50	90.0	39.60
11/30/2017	QGW035396	MOYNIHAN, MICHAEL	CALL 100 SNAP INC CL A EXP 01-19-18	S	-330.00	35.00	14.50	20.50	90.0	17.00
12/22/2017	QGW035404	RICCA, MARIA	PUT 100 VUZIX CORP COM NEW EXP 02-1	S	-1,140.00	99.00	21.50	77.50	90.0	67.60
11/29/2017	QGW035511	LYDEN, MARY	CALL 100 SNAP INC CL A EXP 01-19-18	S	-165.00	17.50	13.25	4.25	90.0	2.50
11/30/2017	QGW035511	LYDEN, MARY	CALL 100 SNAP INC CL A EXP 01-19-18	S	-165.00	17.50	13.25	4.25	90.0	2.50
12/20/2017	QGW035537	LYDEN, MARY	PUT 100 VUZIX CORP COM NEW EXP 02-1	S	-900.00	89.00	19.50	69.50	90.0	60.60
12/20/2017	QGW035545	KMIECIAK, INES	CALL 100 TWITTER INC COM EXP 01-19-	S	-1,272.00	99.00	18.00	81.00	90.0	71.10
12/28/2017	QGW035560	AMENITA, FREDERICK	CALL 100 NABORS INDS LTD SHS ISIN#B	S	-209.00	22.00	14.75	7.25	90.0	5.05
12/19/2017	QGW035594	DUSATKO, ANNE	PUT 100 VUZIX CORP COM NEW EXP 04-2	S	-1,600.00	99.00	17.00	82.00	90.0	72.10
12/20/2017	QGW035594	DUSATKO, ANNE	PUT 100 VUZIX CORP COM NEW EXP 07-2	S	-2,400.00	99.00	17.00	82.00	90.0	72.10
12/20/2017	QGW035610	DAVIS, VICTORIA	PUT 100 VUZIX CORP COM NEW EXP 07-2	S	-2,300.00	99.00	17.00	82.00	90.0	72.10
12/05/2017	QGW035644	MONGELUZZI, LINDA	CALL 100 TRANSOCEAN LTD REG SHS ISI	S	-330.00	33.00	15.75	17.25	90.0	13.95
12/21/2017	QGW035644	MONGELUZZI, LINDA	CALL 100 TWITTER INC COM EXP 01-19-	S	-1,400.00	99.00	18.25	80.75	90.0	70.85

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
12/21/2017	QGW035644 MONGELUZZI, LINDA	PUT 100 VUZIX CORP COM NEW EXP 02-1 S		-900.00	91.00	19.50	71.50	90.0	62.40
12/28/2017	QGW035644 MONGELUZZI, LINDA	PUT 100 OPKO HEALTH INC COM EXP 01- S		-1,050.00	99.00	19.50	79.50	90.0	69.60
12/14/2017	QGW035651 YONGE, DAVID	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-2,200.00	24.00	17.00	7.00	90.0	4.60
12/05/2017	QGW035669 KOLLAR, ROBERT	CALL 100 SNAP INC CL A EXP 01-19-18 S		-390.00	40.00	14.50	25.50	90.0	21.50
12/15/2017	QGW035669 KOLLAR, ROBERT	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-2,200.00	99.00	17.00	82.00	90.0	72.10
12/05/2017	QGW035685 EICHENHOLZ, SONDR	CALL 100 SNAP INC CL A EXP 01-19-18 S		-320.00	37.00	14.00	23.00	90.0	19.30
12/20/2017	QGW035685 EICHENHOLZ, SONDR	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-1,650.00	99.00	15.75	83.25	90.0	73.35
12/05/2017	QGW035719 FAMILY 2011	CALL 100 SNAP INC CL A EXP 01-19-18 S		-448.00	58.00	14.00	44.00	90.0	38.20
12/05/2017	QGW035719 FAMILY 2011	CALL 100 SNAP INC CL A EXP 01-19-18 S		448.00	-58.00	-14.00	-44.00	90.0	-38.20 C
12/05/2017	QGW035719 FAMILY 2011	CALL 100 SNAP INC CL A EXP 01-19-18 S		-448.00	58.00	14.00	44.00	90.0	38.20
12/12/2017	QGW035719 FAMILY 2011	CALL 100 VUZIX CORP COM NEW EXP 04- S		-1,300.00	110.00	17.00	93.00	90.0	82.00
12/18/2017	QGW035727 HERDTER, CYNTHIA	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-1,500.00	99.00	17.00	82.00	90.0	72.10
12/19/2017	QGW035735 STELLATO, MICHAEL	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-1,600.00	99.00	17.00	82.00	90.0	72.10
12/05/2017	QGW035768 MASSA, PATRICIA	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-420.00	43.00	17.00	26.00	90.0	21.70
11/30/2017	QGW035776 ZIMMERMAN, FRANCINE	CALL 100 SNAP INC CL A EXP 01-19-18 S		-525.00	51.00	15.75	35.25	90.0	30.15
12/20/2017	QGW035776 ZIMMERMAN, FRANCINE	PUT 100 VUZIX CORP COM NEW EXP 02-1 S		-1,190.00	99.00	20.75	78.25	90.0	68.35
12/20/2017	QGW035784 SHEEHAN, DAVID	PUT 100 VUZIX CORP COM NEW EXP 02-1 S		-1,320.00	99.00	22.00	77.00	90.0	67.10
11/30/2017	QGW035834 CHAUDHRY, JAHANZEB	CALL 100 SNAP INC CL A EXP 01-19-18 S		-350.00	35.00	14.50	20.50	90.0	17.00
12/04/2017	QGW035834 CHAUDHRY, JAHANZEB	CALL 100 NABORS INDS LTD SHS ISIN#B S		-300.00	33.00	17.00	16.00	90.0	12.70
12/04/2017	QGW035834 CHAUDHRY, JAHANZEB	PUT 100 PAYPAL HLDGS INC COM EXP 12 S		-1,300.00	99.00	14.50	84.50	90.0	74.60
12/06/2017	QGW035834 CHAUDHRY, JAHANZEB	CALL 100 OPKO HEALTH INC COM EXP 12 S		-600.00	62.00	27.00	35.00	90.0	28.80
12/06/2017	QGW035834 CHAUDHRY, JAHANZEB	CALL 100 VUZIX CORP COM NEW EXP 01- S		-585.00	48.00	21.75	26.25	90.0	21.45
12/22/2017	QGW035834 CHAUDHRY, JAHANZEB	PUT 100 VUZIX CORP COM NEW EXP 02-1 S		-1,200.00	99.00	22.00	77.00	90.0	67.10
12/05/2017	QGW035891 DICKIE, JAYNE	CALL 100 SNAP INC CL A EXP 01-19-18 S		-276.00	35.00	13.50	21.50	90.0	18.00
12/05/2017	QGW035917 BOVE, DOMINICK	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-420.00	46.00	17.00	29.00	90.0	24.40
12/28/2017	QGW035982 PANASUK, JOHN	CALL 100 NABORS INDS LTD SHS ISIN#B S		-500.00	49.00	18.25	30.75	90.0	25.85
11/30/2017	QGW035990 HERDTER, RICHARD	PUT 100 VUZIX CORP COM NEW EXP 01-1 S		-600.00	61.00	19.50	41.50	90.0	35.40
12/05/2017	QGW035990 HERDTER, RICHARD	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-330.00	35.00	15.75	19.25	90.0	15.75
12/26/2017	QGW035990 HERDTER, RICHARD	PUT 100 VUZIX CORP COM NEW EXP 02-1 S		-900.00	91.00	19.50	71.50	90.0	62.40
11/30/2017	QGW036030 BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 01-19-18 S		-525.00	52.00	15.75	36.25	90.0	31.05
12/04/2017	QGW036030 BALLO, CATHERINE	CALL 100 VUZIX CORP COM NEW EXP 01- S		-500.00	51.00	17.00	34.00	90.0	28.90
12/22/2017	QGW036030 BALLO, CATHERINE	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-2,200.00	99.00	17.00	82.00	90.0	72.10
12/20/2017	QGW036055 MATACOTTA, CORINNA	CALL 100 TWITTER INC COM EXP 01-19- S		-560.00	62.00	14.50	47.50	90.0	41.30
12/05/2017	QGW036063 PICCININNI,	CALL 100 SNAP INC CL A EXP 01-19-18 S		-255.00	30.00	13.25	16.75	90.0	13.75
12/20/2017	QGW036071 HENNEMANN, DENNIS	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-1,650.00	99.00	15.75	83.25	90.0	73.35
12/18/2017	QGW036089 HENNEMANN, PATRICIA	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-1,500.00	99.00	17.00	82.00	90.0	72.10
12/05/2017	QGW036238 LENTO, JOHN	CALL 100 SNAP INC CL A EXP 01-19-18 S		-304.00	30.00	14.00	16.00	90.0	13.00
12/21/2017	QGW036279 MIKO, ARTHUR	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-361.00	22.00	16.75	5.25	90.0	3.05
12/05/2017	QGW036311 FAST, KENNETH	CALL 100 SNAP INC CL A EXP 01-19-18 S		-640.00	65.00	14.50	50.50	90.0	44.00
12/04/2017	QGW036329 WOLF, KIM	CALL 100 VUZIX CORP COM NEW EXP 01- S		-500.00	51.00	17.00	34.00	90.0	28.90

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
12/05/2017	QGW036329	WOLF, KIM		CALL 100 NABORS INDS LTD SHS ISIN#B S	-500.00	49.00	17.00	32.00	90.0	27.10
12/14/2017	QGW036329	WOLF, KIM		PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-2,200.00	99.00	17.00	82.00	90.0	72.10
12/27/2017	QGW036329	WOLF, KIM		CALL 100 TRANSOCEAN LTD REG SHS ISI S	-140.00	22.00	17.00	5.00	90.0	2.80
12/20/2017	QGW036337	FARREN, JOSEPHINE		PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-2,240.00	99.00	20.00	79.00	90.0	69.10
12/21/2017	QGW036386	FRANCK, RACHEL		CALL 100 TWITTER INC COM EXP 01-19- S	-560.00	60.00	14.50	45.50	90.0	39.50
12/04/2017	QGW036394	LIPANI, CHRISTINE		CALL 100 TRANSOCEAN LTD REG SHS ISI S	-280.00	29.00	15.50	13.50	90.0	10.60
12/22/2017	QGW036725	CIRNIGLIARO,		PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-1,300.00	99.00	17.00	82.00	90.0	72.10
12/15/2017	QGW036899	SARLO, JESSICA		PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-1,500.00	99.00	17.00	82.00	90.0	72.10
12/28/2017	QGW036899	SARLO, JESSICA		CALL 100 NABORS INDS LTD SHS ISIN#B S	-380.00	41.00	17.00	24.00	90.0	19.90
12/20/2017	QGW036956	BROCHARD, THOMAS		CALL 100 SNAP INC CL A EXP 02-16-18 S	-1,100.00	99.00	14.50	84.50	90.0	74.60
12/28/2017	QGW037087	01/19/2016, U/A		CALL 100 NABORS INDS LTD SHS ISIN#B S	-532.00	58.00	19.00	39.00	90.0	33.20
12/28/2017	QGW037103	BURNS, KATHLEEN		PUT 100 OPKO HEALTH INC COM EXP 01- S	-1,050.00	99.00	19.50	79.50	90.0	69.60
12/14/2017	QGW037111	GILMARTIN, MARGARET		PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-2,200.00	99.00	17.00	82.00	90.0	72.10
12/05/2017	QGW038002	WICKERS, SCOTT		PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-1,200.00	99.00	17.00	82.00	90.0	72.10
12/14/2017	QGW038002	WICKERS, SCOTT		PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-2,200.00	99.00	17.00	82.00	90.0	72.10
12/20/2017	QGW038002	WICKERS, SCOTT		PUT 100 VUZIX CORP COM NEW EXP 02-1 S	-640.00	59.00	17.00	42.00	90.0	36.10
12/20/2017	QGW038374	VINCENTI, ROBERT		PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-2,200.00	99.00	17.00	82.00	90.0	72.10
12/20/2017	QGW038374	VINCENTI, ROBERT		PUT 100 VUZIX CORP COM NEW EXP 02-1 S	-1,050.00	99.00	20.75	78.25	90.0	68.35
12/22/2017	QGW038978	KMIECIAK, INES		PUT 100 VUZIX CORP COM NEW EXP 02-1 S	-660.00	63.00	17.50	45.50	90.0	39.20
* OPTIONS SUBTOTAL *				\$-75,950.00	\$5,533.00	\$1,393.25	\$4,139.75	\$3,586.45		

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/01/2017	00053700704	GRIFFIN, STEFANI		AMCAP FUND-A	B	0.00	57.12	0.00	57.12	90.0	51.41
12/01/2017	00056682995	ROBBINS, JAMES		AMCAP FUND-A	B	0.00	34.97	0.00	34.97	90.0	31.47
12/01/2017	00056682996	ROBBINS, JANET		AMCAP FUND-A	B	0.00	2.50	0.00	2.50	90.0	2.25
12/01/2017	00056723599	RICCA, MARIA		THE BOND FUND OF AMERICA-A	B	0.00	12.69	0.00	12.69	90.0	11.42
12/01/2017	00056956375	FERRADO, MICHAEL		AMCAP FUND-A	B	0.00	13.54	0.00	13.54	90.0	12.19
12/01/2017	00057513163	MILLER, DIANNE		AMCAP FUND-A	B	0.00	77.52	0.00	77.52	90.0	69.77
12/01/2017	00058583141	TREZZA, JOANN		AMCAP FUND-A	B	0.00	84.16	0.00	84.16	90.0	75.74
12/01/2017	00059611396	KOWAL, WALTER		AMCAP FUND-A	B	0.00	6.61	0.00	6.61	90.0	5.95
12/01/2017	00059888150	TREZZA, JOANN		AMCAP FUND-A	B	0.00	77.22	0.00	77.22	90.0	69.50
12/01/2017	00061323101	MAUCELI, PAUL		AMCAP FUND-A	B	0.00	12.40	0.00	12.40	90.0	11.16
12/01/2017	00061841289	ALOISIO, LISA		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.87	0.00	3.87	90.0	3.48
12/01/2017	00061842239	SCHENK, STEVEN		AMCAP FUND-A	B	0.00	19.05	0.00	19.05	90.0	17.15
12/01/2017	00062493467	RICCA, MARIA		THE BOND FUND OF AMERICA-A	B	0.00	2.40	0.00	2.40	90.0	2.16
12/01/2017	00063004460	SCHENK, STEVEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	32.02	0.00	32.02	90.0	28.82
12/01/2017	00063313715	FUSCO, CAROL		AMCAP FUND-A	B	0.00	17.61	0.00	17.61	90.0	15.85
12/01/2017	00064137468	RICCA, MARIA		THE BOND FUND OF AMERICA-A	B	0.00	10.75	0.00	10.75	90.0	9.68
12/01/2017	00064418411	RIVERA, JANICE		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.67	0.00	2.67	90.0	2.40
12/01/2017	00064802351	SCHENK, ERIC		THE GROWTH FUND OF AMERICA-A	B	0.00	19.93	0.00	19.93	90.0	17.94

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00064818808 SCHENK, ERIC	AMCAP FUND-A	B	0.00	11.85	0.00	11.85	90.0	10.67
12/01/2017	00064857417 JT TEN	THE GROWTH FUND OF AMERICA-A	B	0.00	5.32	0.00	5.32	90.0	4.79
12/01/2017	00065040606 CONWAY, THOMAS	AMCAP FUND-A	B	0.00	32.77	0.00	32.77	90.0	29.49
12/01/2017	00066734344 CONWAY, KIMBERLY	AMCAP FUND-A	B	0.00	55.39	0.00	55.39	90.0	49.85
12/01/2017	00066867117 MAUCELI, PAUL	THE GROWTH FUND OF AMERICA-A	B	0.00	2.43	0.00	2.43	90.0	2.19
12/01/2017	00067171812 CONWAY, THOMAS	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	28.35	0.00	28.35	90.0	25.52
12/01/2017	00068558102 MONGIELLO, JERRI	AMCAP FUND-A	B	0.00	8.10	0.00	8.10	90.0	7.29
12/01/2017	00068558102 MONGIELLO, JERRI	AMERICAN BALANCED FUND-A	B	0.00	60.26	0.00	60.26	90.0	54.23
12/01/2017	00068698821 RIVERA, JANICE	AMCAP FUND-A	B	0.00	4.59	0.00	4.59	90.0	4.13
12/01/2017	00069108583 LAROSA, LAURA	AMERICAN BALANCED FUND INC CL F1	B	0.00	2.07	0.00	2.07	90.0	1.86
12/01/2017	00069108583 LAROSA, LAURA	GROWTH FUND OF AMERICA CLASS F	B	0.00	6.48	0.00	6.48	90.0	5.83
12/01/2017	00069108583 LAROSA, LAURA	WASHINGTON MUTUAL INVESTORS FUND-F1	B	0.00	6.34	0.00	6.34	90.0	5.71
12/01/2017	00073762934 GRIFFIN, STEFANI	AMCAP FUND-A	B	0.00	3.73	0.00	3.73	90.0	3.36
12/01/2017	00073828945 GRIFFIN, STEFANI	AMCAP FUND-A	B	0.00	2.09	0.00	2.09	90.0	1.88
12/01/2017	00075280585 MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	0.00	33.26	0.00	33.26	90.0	29.93
12/01/2017	00075280585 MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	0.00	28.07	0.00	28.07	90.0	25.26
12/01/2017	00075362368 DIGRAZIANO,	THE GROWTH FUND OF AMERICA-A	B	0.00	6.35	0.00	6.35	90.0	5.72
12/01/2017	00081397372 BALTRUSAITIS, ALGY	AMCAP FUND-A	B	0.00	35.01	0.00	35.01	90.0	31.51
12/01/2017	00081397372 BALTRUSAITIS, ALGY	THE GROWTH FUND OF AMERICA-A	B	0.00	11.63	0.00	11.63	90.0	10.47
12/01/2017	00081397372 BALTRUSAITIS, ALGY	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	53.46	0.00	53.46	90.0	48.11
12/01/2017	00082094062 DIGRAZIANO,	AMCAP FUND-A	B	0.00	2.63	0.00	2.63	90.0	2.37
12/01/2017	00082474305 CIRNIGLIARO,	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	16.40	0.00	16.40	90.0	14.76
12/01/2017	00082474305 CIRNIGLIARO,	GROWTH FUND OF AMERICA CLASS F	B	0.00	7.02	0.00	7.02	90.0	6.32
12/01/2017	00082575128 DEGRANDIS, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	6.68	0.00	6.68	90.0	6.01
12/01/2017	00082575128 DEGRANDIS, JOHN	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	14.00	0.00	14.00	90.0	12.60
12/01/2017	00082575128 DEGRANDIS, JOHN	GROWTH FUND OF AMERICA CLASS F	B	0.00	5.92	0.00	5.92	90.0	5.33
12/01/2017	00082575128 DEGRANDIS, JOHN	THE GROWTH FUND OF AMERICA-C	B	0.00	2.81	0.00	2.81	90.0	2.53
12/01/2017	00083557278 PETTIT, SAMUEL	AMERICAN BALANCED FUND-529A	B	0.00	12.89	0.00	12.89	90.0	11.60
12/01/2017	00083568398 PETTIT, MARIA	AMERICAN BALANCED FUND-A	B	0.00	4.91	0.00	4.91	90.0	4.42
12/01/2017	00084040075 WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	0.15	0.00	0.15	90.0	0.14
12/01/2017	00084040075 WILLIAMS, ALYSSA	GROWTH AND INCOME PORTFOLIO-C	B	0.00	2.74	0.00	2.74	90.0	2.47
12/01/2017	00084040075 WILLIAMS, ALYSSA	GROWTH AND INCOME PORTFOLIO-F1	B	0.00	0.05	0.00	0.05	90.0	0.05
12/01/2017	00084040075 WILLIAMS, ALYSSA	GROWTH PORTFOLIO-C	B	0.00	13.12	0.00	13.12	90.0	11.81
12/01/2017	00084040075 WILLIAMS, ALYSSA	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.15	0.00	0.15	90.0	0.14
12/01/2017	00084330892 DIGRAZIANO,	THE GROWTH FUND OF AMERICA-A	B	0.00	2.19	0.00	2.19	90.0	1.97
12/01/2017	00086766383 DIGRAZIANO,	THE GROWTH FUND OF AMERICA-A	B	0.00	1.82	0.00	1.82	90.0	1.64
12/01/2017	00088094822 WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C	B	0.00	4.14	0.00	4.14	90.0	3.73
12/01/2017	00089866552 UNTIL AGE 21,	AMCAP FUND-A	B	0.00	0.65	0.00	0.65	90.0	0.59
12/21/2017	QGW031783 JACKSON, FRANK	FRANKLIN GROWTH FUND CLASS A	B	0.00	4.04	0.00	4.04	90.0	3.64
12/15/2017	QGW034035 FAMILY 2012 IRREV	PUTNAM 746745207	B	0.00	21.26	0.00	21.26	90.0	19.13

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/15/2017	QGW034035	FAMILY 2012 IRREV	PUTNAM 746802511	B	0.00	9.92	0.00	9.92	90.0	8.93
12/21/2017	QGW035503	SIMPSON, DAVID	GLOBAL OPPORTUNITIES A	B	0.00	1.13	0.00	1.13	90.0	1.02
12/11/2017	QGW036774	STOCKWELL, GLENN	ALLIANCEBERNSTEIN GROWTH AND INC A	B	0.00	3.98	0.00	3.98	90.0	3.58
12/11/2017	QGW036774	STOCKWELL, GLENN	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	11.35	0.00	11.35	90.0	10.22
12/22/2017	QGW036774	STOCKWELL, GLENN	DREYFUS RESH GROWTH FD INC SHS CL A	B	0.00	9.32	0.00	9.32	90.0	8.39
12/21/2017	QGW036980	MARCOLINI, COREY	ROCHESTER FUND MUNICIPALS A	B	0.00	19.88	0.00	19.88	90.0	17.89
12/15/2017	QGW037111	GILMARTIN, MARGARET	CAPITAL INCOME BUILDER-A	B	0.00	2.06	0.00	2.06	90.0	1.85
12/18/2017	QGW037111	GILMARTIN, MARGARET	PRINCIPAL PREFERRED SECURITIES FUND	B	0.00	1.25	0.00	1.25	90.0	1.13
12/18/2017	QGW037111	GILMARTIN, MARGARET	THE HARTFORD BALANCED INCOME FUND	C B	0.00	1.91	0.00	1.91	90.0	1.72
12/18/2017	QGW037129	GILMARTIN, MARGARET	PRINCIPAL PREFERRED SECURITIES FUND	B	0.00	5.09	0.00	5.09	90.0	4.58
12/18/2017	QGW037129	GILMARTIN, MARGARET	THE HARTFORD CAPITAL APPRECIATION	F B	0.00	5.22	0.00	5.22	90.0	4.70
12/15/2017	QGW038002	WICKERS, SCOTT	FIDELITY ADVISOR BALANCED CLASS A	B	0.00	2.74	0.00	2.74	90.0	2.47
12/15/2017	QGW038002	WICKERS, SCOTT	FIDELITY ADVISOR EQUITY INCOME CL A	B	0.00	2.86	0.00	2.86	90.0	2.57
12/22/2017	QGW038002	WICKERS, SCOTT	BLACKROCK HIGH YIELD BOND FUND	CLAS B	0.00	1.67	0.00	1.67	90.0	1.50
12/22/2017	QGW038002	WICKERS, SCOTT	BLACKROCK BASIC VALUE FUND INC	CLAS B	0.00	3.25	0.00	3.25	90.0	2.93
12/22/2017	QGW038002	WICKERS, SCOTT	BLACKROCK EMERGING MARKETS FUND,	IN B	0.00	3.35	0.00	3.35	90.0	3.02
12/22/2017	QGW038002	WICKERS, SCOTT	BLACKROCK CAP APPRECIATION FD INC	C B	0.00	3.81	0.00	3.81	90.0	3.43
12/22/2017	QGW038002	WICKERS, SCOTT	BLACKROCK GLOBAL ALLOCATION FUND	IN B	0.00	2.87	0.00	2.87	90.0	2.58
12/22/2017	QGW038002	WICKERS, SCOTT	BLACKROCK VALUE OPPORTUNITIES FUND	B	0.00	1.39	0.00	1.39	90.0	1.25
12/22/2017	QGW038002	WICKERS, SCOTT	BLACKROCK SMALL CAP GROWTH FUND	II B	0.00	1.44	0.00	1.44	90.0	1.30
12/22/2017	QGW038002	WICKERS, SCOTT	BLACKROCK STRATEGIC INCOME OPPORTUN	B	0.00	1.36	0.00	1.36	90.0	1.22
12/21/2017	QGW038556	LAPINTA, LEONARD	GLOBAL FUND A	B	0.00	5.68	0.00	5.68	90.0	5.11
12/21/2017	QGW038556	LAPINTA, LEONARD	OPPENHEIMER DEVELOPING MARKETS	CLAS B	0.00	6.64	0.00	6.64	90.0	5.98
12/21/2017	QGW038556	LAPINTA, LEONARD	QUEST VALUE A	B	0.00	12.09	0.00	12.09	90.0	10.88
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,128.41	\$0.00	\$1,128.41		\$1,015.64
*** BROKER TRANSACTIONS TOTAL ***					\$-288,552.40	\$18,372.48	\$2,233.25	\$16,139.23		\$14,301.98

ADJUSTMENTS

12/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
12/31/2017		OTHER: OTHER		0.00	0.00	0.00	0.00		-150.00
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00		\$-170.00

BROKER COMMISSION TOTALS

Broker Transactions	\$14,301.98
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-170.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-22.97
SIPC Assessment	\$-45.93

Check Amount	\$14,063.08
Year-to-date Earnings	\$26,785.91