



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 15, 2018

06/15/2018
Page No. 1

MARYANN, F118 FENBERT
1120 E. Long Lake Road
Suite 250
Troy, MI 48085

BROKER #: F118
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/04/2018	ROBINSON, HARRY	AM FUNDS INCOME FUND OF AMERICA A	4,600.00	0.00	0.00	0.00	80.0	0.00
05/09/2018	ROBINSON, HARRY	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.09	0.00	11.09	80.0	8.87
05/09/2018	HECHT, ROBERT	DAVIS NEW YORK VENTURE A	0.00	34.46	0.00	34.46	80.0	27.57
05/09/2018	ROBINSON, HARRY	DAVIS NEW YORK VENTURE A	0.00	5.27	0.00	5.27	80.0	4.22
05/09/2018	BLOSSER, EDNA	FIDELITY ADV HEALTH CARE T	0.00	10.14	0.00	10.14	80.0	8.11
05/09/2018	DZIUBA, ELIZABETH	FRANKLIN INCOME A	0.00	5.45	0.00	5.45	80.0	4.36
05/09/2018	HECHT, ROBERT	PIONEER GROWTH OPPORTUNITIES A	0.00	5.88	0.00	5.88	80.0	4.70
* MUTUAL FUNDS SUBTOTAL *			\$4,600.00	\$72.29	\$0.00	\$72.29		\$57.83
*** CLEARING TRANSACTIONS TOTAL ***			\$4,600.00	\$72.29	\$0.00	\$72.29		\$57.83
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/22/2018	FENBERT, MICHAEL	AM FUNDS NEW PERSPECTIVE A	50.00	1.88	0.00	1.88	80.0	1.50
* MUTUAL FUNDS SUBTOTAL *			\$50.00	\$1.88	\$0.00	\$1.88		\$1.50
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2018	BESSETTE MD, PHYLLIS TORRES	PRU PREMIER RETIREMENT L	643,073.11	535.89	0.00	535.89	80.0	428.71
05/31/2018	BESSETTE MD, PHYLLIS TORRES	PRU PREMIER RETIREMENT L	643,796.26	536.50	0.00	536.50	80.0	429.20
* VARIABLE ANNUITIES SUBTOTAL *			\$1,286,869.37	\$1,072.39	\$0.00	\$1,072.39		\$857.91
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	DZIUBA, ELIZABETH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	14.60	0.00	14.60	80.0	11.68
06/01/2018	BRADLEY, PANSY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.55	0.00	0.55	80.0	0.44
06/01/2018	BRADLEY, PANSY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.11	0.00	3.11	80.0	2.49
06/01/2018	FENBERT, MICHAEL	AM FUNDS AMERICAN MUTUAL A	0.00	52.53	0.00	52.53	80.0	42.02
06/01/2018	TRIBBLE, GERALD	AM FUNDS BOND FUND OF AMERICA A	0.00	90.71	0.00	90.71	80.0	72.57

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/01/2018	TRIBBLE, NANCY	AM FUNDS BOND FUND OF AMERICA A	0.00	35.82	0.00	35.82	80.0	28.66
06/01/2018	DZIUBA, ELIZABETH	AM FUNDS BOND FUND OF AMERICA A	0.00	1.37	0.00	1.37	80.0	1.10
06/01/2018	FENBERT, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.47	0.00	12.47	80.0	9.98
06/01/2018	WEBER, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	58.70	0.00	58.70	80.0	46.96
06/01/2018	HECHT, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.55	0.00	4.55	80.0	3.64
06/01/2018	WEBER, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	29.96	0.00	29.96	80.0	23.97
06/01/2018	MOSS, RYAN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	13.05	0.00	13.05	80.0	10.44
06/01/2018	MOSS, RYAN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	13.15	0.00	13.15	80.0	10.52
06/01/2018	PARRISH, PATRICK	AM FUNDS ICA A	0.00	0.17	0.00	0.17	80.0	0.14
06/01/2018	WILLOUGHBY, CATHERINE	AM FUNDS ICA A	0.00	0.06	0.00	0.06	80.0	0.05
06/01/2018	GRAVES, JAMES	AM FUNDS ICA A	0.00	2.57	0.00	2.57	80.0	2.06
06/01/2018	ALEXANDER, MARYANN	AM FUNDS ICA A	0.00	9.85	0.00	9.85	80.0	7.88
06/01/2018	TRIBBLE, NANCY	AM FUNDS ICA A	0.00	33.84	0.00	33.84	80.0	27.07
06/01/2018	KURETICH, APRIL	AM FUNDS ICA A	0.00	26.16	0.00	26.16	80.0	20.93
06/01/2018	KURETICH, APRIL	AM FUNDS ICA A	0.00	2.11	0.00	2.11	80.0	1.69
06/01/2018	CHINOSKI, DEBORAH	AM FUNDS ICA A	0.00	3.71	0.00	3.71	80.0	2.97
06/01/2018	MOSS, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	36.26	0.00	36.26	80.0	29.01
06/01/2018	FENBERT, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	32.98	0.00	32.98	80.0	26.38
06/01/2018	BRADLEY, PANSY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.18	0.00	0.18	80.0	0.14
06/01/2018	BRADLEY, PANSY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.03	0.00	1.03	80.0	0.82
/ /	SWEET, ANNE MARIE	FIDELITY ADV GROWTH OPP	0.00	11.14	0.00	11.14	80.0	8.91
/ /	HECHT, ROBERT	FIDELITY ADV HEALTH CARE T	0.00	0.55	0.00	0.55	80.0	0.44
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$491.18	\$0.00	\$491.18		\$392.96
*** DIRECT TRANSACTIONS TOTAL ***			\$1,286,919.37	\$1,565.45	\$0.00	\$1,565.45		\$1,252.37

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
06/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2018		*RBC: MONTHLY WEALTHSTATION	0.00					-25.00
06/15/2018		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-600.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,310.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-600.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-174.26
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$535.94
Year-to-date Gross	\$9,782.94
Year-to-date Earnings	\$7,826.27

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