

COMMISSION STATEMENT August 15, 2018

 GREG PHILLIPS-D366
 809 Jefferson Avenue

 BROKER #: D366
 BRANCH: DMD

LaPorte, IN 46350

COMMISSION STATEMENT for GREG PHILLIPS-D366

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/20/2018 (None)	HARTMAN, ERI	MF	OPPENHEIMER	B	200.00	8.00	0.00	8.00	72.5 5.80
07/24/2018 (None)	HARAZINOVA, TIFFANY	MF	COLLEGE CHOICE ADVISOR	B	150.00	7.13	0.00	7.13	72.5 5.17
07/31/2018 (None)	BALLINGER, TRACY	MF	COLLEGE CHOICE ADVISOR	B	100.00	4.75	0.00	4.75	72.5 3.44
07/30/2018 00082600419	WELLS, KELLY	MF	THE INVESTMENT COMPANY OF	B	50.00	1.88	0.00	1.88	72.5 1.36
08/01/2018 00082600607	WELLS, TIMOTHY	MF	THE INVESTMENT COMPANY OF	B	50.00	1.88	0.00	1.88	72.5 1.36
* MUTUAL FUNDS SUBTOTAL *				\$550.00	\$23.64	\$0.00	\$23.64		\$17.13
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/31/2018 1017327263	AKE, LILIANA	VA	ELITE ACCESS	B	161,552.18	33.66	0.00	33.66	72.5 24.40
* VARIABLE ANNUITIES SUBTOTAL *				\$161,552.18	\$33.66	\$0.00	\$33.66		\$24.40
*** DIRECT TRANSACTIONS TOTAL ***				\$162,102.18	\$57.30	\$0.00	\$57.30		\$41.53

BROKER COMMISSION TOTALS

Broker Transactions	\$41.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$41.53
Year-to-date Commissions	\$4,482.43