



All Companies

02/27/2018
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COMMISSION STATEMENT March 01, 2018

ROTHSCHILD/POOL

BROKER #: 9629
BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for ROTHSCCHILD/POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
02/15/2018	00061957268 SMITH, MARK	AMERICAN FUNDS INVESTMENT COMP OF A B		100.00	0.00	0.00	0.00	100.0	0.00
02/15/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS INCOME FD OF AMERI B		50.00	0.00	0.00	0.00	100.0	0.00
02/15/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	0.00	0.00	0.00	100.0	0.00
02/15/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	0.00	0.00	0.00	100.0	0.00
02/15/2018	00067724745 ANGELO, CONCORDIA/MILES	AMERICAN FUNDS - GENERAL B		30.00	0.00	0.00	0.00	100.0	0.00
02/15/2018	00067724745 ANGELO, CONCORDIA/MILES	AMERICAN MUTUAL FUND-529A B		30.00	0.00	0.00	0.00	100.0	0.00
02/15/2018	00067724745 ANGELO, CONCORDIA/MILES	CAPITAL WORLD BOND FUND-529A B		30.00	0.00	0.00	0.00	100.0	0.00
02/15/2018	00067724745 ANGELO, CONCORDIA/MILES	THE INCOME FUND OF AMERICA-529A B		30.00	0.00	0.00	0.00	100.0	0.00
02/15/2018	00067724745 ANGELO, CONCORDIA/MILES	THE NEW ECONOMY FUND-529A B		30.00	0.00	0.00	0.00	100.0	0.00
02/15/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B		75.52	0.00	0.00	0.00	100.0	0.00
02/15/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B		75.52	0.00	0.00	0.00	100.0	0.00
02/15/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B		77.81	0.00	0.00	0.00	100.0	0.00
02/15/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B		77.81	0.00	0.00	0.00	100.0	0.00
02/15/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD B		75.52	0.00	0.00	0.00	100.0	0.00
02/15/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD B		75.52	0.00	0.00	0.00	100.0	0.00
02/16/2018	00081247942 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS INVESTMENT COMP OF A B		250.00	0.00	0.00	0.00	100.0	0.00
02/15/2018	00082838137 HODGES, MICHAEL L	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$1,157.70	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITIES									
01/09/2018	ANGELO, CONCORDIA/DOMIN	-PACIFIC LIFE INSURANCE B		145.50	0.00	0.00	0.00	100.0	0.00
01/09/2018	ANGELO, CONCORDIA/XAVIE	-PACIFIC LIFE INSURANCE B		189.50	0.00	0.00	0.00	100.0	0.00
01/09/2018	ANGELO, CONCORDIA/MIKE	-PACIFIC LIFE INSURANCE B		169.00	0.00	0.00	0.00	100.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *				\$504.00	\$0.00	\$0.00	\$0.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***				\$1,661.70	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$0.00