

**COMMISSION STATEMENT****April 30, 2018**JEFFREY, K119 KAUFMAN
801 Gray Oak DriveBROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-----------------------------------|---------------------------|---------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: RIA | | | | | | | | |
| 04/13/2018 | KAUFMAN, JEFFREY | TD AMERITRADE | 19,911.89 | 49.64 | 0.00 | 49.64 | 90.0 | 44.68 |
| 04/13/2018 | ELDRIDGE, DEE | TD AMERITRADE | 279,572.27 | 697.02 | 0.00 | 697.02 | 90.0 | 627.32 |
| 04/13/2018 | GUIER, CLINT | TD AMERITRADE | 42,349.89 | 105.58 | 0.00 | 105.58 | 90.0 | 95.02 |
| 04/13/2018 | TURNER IRR TRUST, MELISSA | TD AMERITRADE | 338,113.09 | 842.97 | 0.00 | 842.97 | 90.0 | 758.67 |
| 04/13/2018 | REID, SANDRA | TD AMERITRADE | 1,402,045.58 | 3,495.51 | 0.00 | 3,495.51 | 90.0 | 3,145.96 |
| 04/13/2018 | GUIER, CARROL | TD AMERITRADE | 1,087,373.45 | 2,710.99 | 0.00 | 2,710.99 | 90.0 | 2,439.89 |
| 04/13/2018 | STEVENSON, RUTH | TD AMERITRADE | 195,289.57 | 486.89 | 0.00 | 486.89 | 90.0 | 438.20 |
| 04/13/2018 | CROWLEY, MICHA | TD AMERITRADE | 93,133.55 | 232.20 | 0.00 | 232.20 | 90.0 | 208.98 |
| 04/13/2018 | CROWLEY, ROBERT | TD AMERITRADE | 71,202.46 | 177.52 | 0.00 | 177.52 | 90.0 | 159.77 |
| 04/13/2018 | GRIFFITH, CHERYL | TD AMERITRADE | 20,679.82 | 51.56 | 0.00 | 51.56 | 90.0 | 46.40 |
| 04/13/2018 | TURNER, GARY | TD AMERITRADE | 525,309.53 | 1,309.68 | 0.00 | 1,309.68 | 90.0 | 1,178.71 |
| 04/13/2018 | TURNER, GARY | TD AMERITRADE | 412,219.23 | 1,027.72 | 0.00 | 1,027.72 | 90.0 | 924.95 |
| 04/13/2018 | RICHARDSON, BOBBY | TD AMERITRADE | 139,949.74 | 348.92 | 0.00 | 348.92 | 90.0 | 314.03 |
| 04/13/2018 | WOODMAN, HOLLY | TD AMERITRADE | 135,450.48 | 337.70 | 0.00 | 337.70 | 90.0 | 303.93 |
| 04/13/2018 | KILEY, CHRISTINE | TD AMERITRADE | 290,672.53 | 724.69 | 0.00 | 724.69 | 90.0 | 652.22 |
| 04/13/2018 | KOERNER, WANDA | TD AMERITRADE | 49,668.35 | 123.83 | 0.00 | 123.83 | 90.0 | 111.45 |
| 04/13/2018 | MCNERNEY, DAN | TD AMERITRADE | 311,719.34 | 777.16 | 0.00 | 777.16 | 90.0 | 699.44 |
| 04/13/2018 | CROWLEY, ROBERT | TD AMERITRADE | 163,257.02 | 407.02 | 0.00 | 407.02 | 90.0 | 366.32 |
| 04/13/2018 | MEDLEY, LISA | TD AMERITRADE | 301,073.23 | 750.62 | 0.00 | 750.62 | 90.0 | 675.56 |
| 04/13/2018 | ELDER, RONALD | TD AMERITRADE | 317,379.72 | 791.28 | 0.00 | 791.28 | 90.0 | 712.15 |
| 04/13/2018 | DOWNES, STEVEN | TD AMERITRADE | 13,025.95 | 32.48 | 0.00 | 32.48 | 90.0 | 29.23 |
| 04/13/2018 | HAGUE, VICTORIA | TD AMERITRADE | 44,281.46 | 110.40 | 0.00 | 110.40 | 90.0 | 99.36 |
| 04/13/2018 | HAGUE, VICTORIA | TD AMERITRADE | 61,929.62 | 154.40 | 0.00 | 154.40 | 90.0 | 138.96 |
| 04/13/2018 | HAGUE, VICTORIA | TD AMERITRADE | 132,228.23 | 329.66 | 0.00 | 329.66 | 90.0 | 296.69 |
| 04/13/2018 | TURNER, COREY | TD AMERITRADE | 191,723.62 | 478.00 | 0.00 | 478.00 | 90.0 | 430.20 |
| 04/13/2018 | TURNER, JOSHUA | TD AMERITRADE | 270,944.53 | 675.51 | 0.00 | 675.51 | 90.0 | 607.96 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|

DIRECT TRANSACTIONS

PRODUCT CATEGORY: RIA

| | | | | | | | | |
|-----------------------------------|-----------------|---------------|----------------|-------------|--------|-------------|------|-------------|
| 04/13/2018 | MCKENZIE, BYRON | TD AMERITRADE | 25,000.00 | 60.27 | 0.00 | 60.27 | 90.0 | 54.24 |
| * RIA SUBTOTAL * | | | \$6,935,504.15 | \$17,289.22 | \$0.00 | \$17,289.22 | | \$15,560.29 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$6,935,504.15 | \$17,289.22 | \$0.00 | \$17,289.22 | | \$15,560.29 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$15,560.29 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$15,560.29 |
| Year-to-date Gross | \$32,658.41 |
| Year-to-date Earnings | \$29,392.59 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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