



COMMISSION STATEMENT

March 26, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 03/13/2018 | ***-**-381I - ZARNOCK, MARTIN | AMCAP FUND-A | 5.53 | 0.11 | 0.00 | 0.11 | 75.0 | 0.08 |
| | ACCOUNT #: 00073744276 | BUY 0 Units @34.9100 | | | | | | |
| 03/13/2018 | ***-**-381I - ZARNOCK, MARTIN | AMCAP FUND-A | 62.50 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| | ACCOUNT #: 00073744276 | BUY 2 Units @34.9100 | | | | | | |
| 03/13/2018 | ***-**-4540 - NELSON, RORY | AMCAP FUND-A | 6.24 | 0.12 | 0.00 | 0.12 | 75.0 | 0.09 |
| | ACCOUNT #: 00073748857 | BUY 0 Units @34.9100 | | | | | | |
| 03/13/2018 | ***-**-4540 - NELSON, RORY | AMCAP FUND-A | 18.75 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| | ACCOUNT #: 00073748857 | BUY 1 Units @34.9100 | | | | | | |
| 03/13/2018 | ***-**-381I - ZARNOCK, MARTIN | AMERICAN BALANCED FUND-A | 5.53 | 0.11 | 0.00 | 0.11 | 75.0 | 0.08 |
| | ACCOUNT #: 00073744276 | BUY 0 Units @28.0800 | | | | | | |
| 03/13/2018 | ***-**-381I - ZARNOCK, MARTIN | AMERICAN BALANCED FUND-A | 62.50 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| | ACCOUNT #: 00073744276 | BUY 2 Units @28.0800 | | | | | | |
| 03/15/2018 | ***-**-5376 - CARROCK, LOUIS | AMERICAN BALANCED FUND-A | 100.00 | 5.00 | 0.00 | 5.00 | 75.0 | 3.75 |
| | ACCOUNT #: 00069250497 | BUY 3 Units @28.8500 | | | | | | |
| 03/13/2018 | ***-**-5124 - DEE, ALAN | AMERICAN FUNDS 2020 TARGET DATE-A | 11.25 | 0.23 | 0.00 | 0.23 | 75.0 | 0.17 |
| | ACCOUNT #: 00086069280 | BUY 1 Units @13.0100 | | | | | | |
| 03/13/2018 | ***-**-5124 - DEE, ALAN | AMERICAN FUNDS 2020 TARGET DATE-A | 25.00 | 0.50 | 0.00 | 0.50 | 75.0 | 0.38 |
| | ACCOUNT #: 00086069280 | BUY 2 Units @13.0100 | | | | | | |
| 03/13/2018 | ***-**-1060 - DUNLAP, DONNA | AMERICAN FUNDS 2020 TARGET DATE-A | 40.71 | 1.53 | 0.00 | 1.53 | 75.0 | 1.15 |
| | ACCOUNT #: 00085629087 | BUY 3 Units @13.2800 | | | | | | |
| 03/13/2018 | ***-**-1060 - DUNLAP, DONNA | AMERICAN FUNDS 2020 TARGET DATE-A | 50.00 | 1.88 | 0.00 | 1.88 | 75.0 | 1.41 |
| | ACCOUNT #: 00085629087 | BUY 4 Units @13.2800 | | | | | | |
| 03/15/2018 | ***-**-4459 - CRAFT, ROSEANNE | AMERICAN FUNDS 2020 TARGET DATE-A | 100.00 | 4.25 | 0.00 | 4.25 | 75.0 | 3.19 |
| | ACCOUNT #: 00081619958 | BUY 8 Units @13.3200 | | | | | | |
| 03/01/2018 | ***-**-6382 - ZOGBY, KAREN | AMERICAN FUNDS 2030 TARGET DATE-A | -100.00 | -5.00 | 0.00 | -5.00 | 75.0 | -3.75 |
| | ACCOUNT #: 00089152479 | BUY 7 Units @15.3500 | | | | | | |
| 03/13/2018 | ***-**-8758 - RIOLO, LAWRENCE | AMERICAN FUNDS 2035 TARGET DATE-A | 51.08 | 1.92 | 0.00 | 1.92 | 75.0 | 1.44 |
| | ACCOUNT #: 04000070058 | BUY 3 Units @16.0500 | | | | | | |
| 03/13/2018 | ***-**-8758 - RIOLO, LAWRENCE | AMERICAN FUNDS 2035 TARGET DATE-A | 49.21 | 1.85 | 0.00 | 1.85 | 75.0 | 1.39 |
| | ACCOUNT #: 04000070058 | BUY 3 Units @16.0500 | | | | | | |
| 03/15/2018 | ***-**-3335 - SPENCER, DAVID | CAPITAL APPRECIATION C | 25.00 | 0.25 | 0.00 | 0.25 | 75.0 | 0.19 |
| | ACCOUNT #: 03220677006 | BUY 0 Units @0.0000 | | | | | | |



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|---|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 03/13/2018 | ***-**-2354 - PENDOLF, NANCY | CAPITAL INCOME BUILDER CLASS C | 1.63 | 0.02 | 0.00 | 0.02 | 75.0 | 0.02 |
| | ACCOUNT #: 00083468545 | BUY 0 Units @62.2800 | | | | | | |
| 03/13/2018 | ***-**-2354 - PENDOLF, NANCY | CAPITAL INCOME BUILDER CLASS C | 6.25 | 0.06 | 0.00 | 0.06 | 75.0 | 0.05 |
| | ACCOUNT #: 00083468545 | BUY 0 Units @62.2800 | | | | | | |
| 03/13/2018 | ***-**-2542 - OGIYEVICH, | CAPITAL INCOME BUILDER CLASS C | 3.70 | 0.04 | 0.00 | 0.04 | 75.0 | 0.03 |
| | ACCOUNT #: 00083468551 | BUY 0 Units @62.2800 | | | | | | |
| 03/13/2018 | ***-**-2542 - OGIYEVICH, | CAPITAL INCOME BUILDER CLASS C | 12.50 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| | ACCOUNT #: 00083468551 | BUY 0 Units @62.2800 | | | | | | |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA | CAPITAL INCOME BUILDER CLASS C | 3.17 | 0.03 | 0.00 | 0.03 | 75.0 | 0.02 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @62.2800 | | | | | | |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA | CAPITAL INCOME BUILDER CLASS C | 35.77 | 0.36 | 0.00 | 0.36 | 75.0 | 0.27 |
| | ACCOUNT #: 00083468583 | BUY 1 Units @62.2800 | | | | | | |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA | CAPITAL WORLD BOND FUND-C | 1.58 | 0.02 | 0.00 | 0.02 | 75.0 | 0.02 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @19.9100 | | | | | | |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA | CAPITAL WORLD BOND FUND-C | 17.88 | 0.18 | 0.00 | 0.18 | 75.0 | 0.14 |
| | ACCOUNT #: 00083468583 | BUY 1 Units @19.9100 | | | | | | |
| 03/13/2018 | ***-**-381I - ZARNOCK, MARTIN | CAPITAL WORLD GROWTH AND INCOME FUN | 7.40 | 0.15 | 0.00 | 0.15 | 75.0 | 0.11 |
| | ACCOUNT #: 00073744335 | BUY 0 Units @54.3800 | | | | | | |
| 03/13/2018 | ***-**-381I - ZARNOCK, MARTIN | CAPITAL WORLD GROWTH AND INCOME FUN | 31.25 | 0.63 | 0.00 | 0.63 | 75.0 | 0.47 |
| | ACCOUNT #: 00073744335 | BUY 1 Units @54.3800 | | | | | | |
| 03/13/2018 | ***-**-9027 - NELSON, | CAPITAL WORLD GROWTH AND INCOME FUN | 5.45 | 0.11 | 0.00 | 0.11 | 75.0 | 0.08 |
| | ACCOUNT #: 00073744742 | BUY 0 Units @54.3800 | | | | | | |
| 03/13/2018 | ***-**-9027 - NELSON, | CAPITAL WORLD GROWTH AND INCOME FUN | 31.25 | 0.63 | 0.00 | 0.63 | 75.0 | 0.47 |
| | ACCOUNT #: 00073744742 | BUY 1 Units @54.3800 | | | | | | |
| 03/13/2018 | ***-**-7287 - VINCI, DAVID | CAPITAL WORLD GROWTH AND INCOME FUN | 20.40 | 0.77 | 0.00 | 0.77 | 75.0 | 0.58 |
| | ACCOUNT #: 00085629076 | BUY 0 Units @55.5200 | | | | | | |
| 03/13/2018 | ***-**-7287 - VINCI, DAVID | CAPITAL WORLD GROWTH AND INCOME FUN | 53.00 | 1.99 | 0.00 | 1.99 | 75.0 | 1.49 |
| | ACCOUNT #: 00085629076 | BUY 1 Units @55.5200 | | | | | | |
| 03/13/2018 | ***-**-2354 - PENDOLF, NANCY | CAPITAL WORLD GROWTH AND INCOME FUN | 1.63 | 0.02 | 0.00 | 0.02 | 75.0 | 0.02 |
| | ACCOUNT #: 00083468545 | BUY 0 Units @52.3800 | | | | | | |
| 03/13/2018 | ***-**-2354 - PENDOLF, NANCY | CAPITAL WORLD GROWTH AND INCOME FUN | 6.25 | 0.06 | 0.00 | 0.06 | 75.0 | 0.05 |
| | ACCOUNT #: 00083468545 | BUY 0 Units @52.3800 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 03/13/2018 | ***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @52.3800 | 3.70 | 0.04 | 0.00 | 0.04 | 75.0 | 0.03 |
| 03/13/2018 | ***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @52.3800 | 12.50 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @52.3800 | 3.17 | 0.03 | 0.00 | 0.03 | 75.0 | 0.02 |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @52.3800 | 35.75 | 0.36 | 0.00 | 0.36 | 75.0 | 0.27 |
| 03/15/2018 | ***-**-9311 - YOZZO, JOSEPH ACCOUNT #: 00068838818 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @54.0900 | 125.00 | 2.50 | 0.00 | 2.50 | 75.0 | 1.88 |
| 03/15/2018 | ***-**-1701 - NOFFER, THOMAS ACCOUNT #: 00083444381 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @55.5200 | 50.00 | 2.13 | 0.00 | 2.13 | 75.0 | 1.60 |
| 03/01/2018 | ***-**-8166 - SUYDAM, JERRY ACCOUNT #: 08370430485 | EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000 | 87.50 | 0.88 | 0.00 | 0.88 | 75.0 | 0.66 |
| 03/15/2018 | ***-**-9311 - YOZZO, JOSEPH ACCOUNT #: 00068838818 | EUROPACIFIC GROWTH FUND-A BUY 3 Units @59.7000 | 166.00 | 3.32 | 0.00 | 3.32 | 75.0 | 2.49 |
| 03/13/2018 | ***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857 | FUNDAMENTAL INVESTORS-A BUY 0 Units @66.0700 | 6.23 | 0.12 | 0.00 | 0.12 | 75.0 | 0.09 |
| 03/13/2018 | ***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857 | FUNDAMENTAL INVESTORS-A BUY 0 Units @66.0700 | 18.75 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | FUNDAMENTAL INVESTORS-C BUY 0 Units @63.9800 | 2.90 | 0.03 | 0.00 | 0.03 | 75.0 | 0.02 |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | FUNDAMENTAL INVESTORS-C BUY 1 Units @63.9800 | 32.79 | 0.33 | 0.00 | 0.33 | 75.0 | 0.25 |
| 03/05/2018 | ***-**-3203 - COMPION, ELLEN ACCOUNT #: 03307715985 | GLOBAL FUND A BUY 0 Units @0.0000 | 25.00 | 1.19 | 0.00 | 1.19 | 75.0 | 0.89 |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | INCOME FUND OF AMERICA CLASS C BUY 0 Units @22.9500 | 2.90 | 0.03 | 0.00 | 0.03 | 75.0 | 0.02 |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | INCOME FUND OF AMERICA CLASS C BUY 1 Units @22.9500 | 32.79 | 0.33 | 0.00 | 0.33 | 75.0 | 0.25 |
| 03/01/2018 | ***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 07024172894 | MAIN STREET FUND C BUY 0 Units @0.0000 | 75.00 | 0.75 | 0.00 | 0.75 | 75.0 | 0.56 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-8166 - SUYDAM, JERRY | MAIN STREET FUND C | 87.50 | 0.88 | 0.00 | 0.88 | 75.0 | 0.66 |
| | ACCOUNT #: 07024172916 | BUY 0 Units @0.0000 | | | | | | |
| 03/15/2018 | ***-**-3335 - SPENCER, DAVID | MAIN STREET FUND C | 25.00 | 0.25 | 0.00 | 0.25 | 75.0 | 0.19 |
| | ACCOUNT #: 07022642860 | BUY 0 Units @0.0000 | | | | | | |
| 03/13/2018 | ***-**-4540 - NELSON, RORY | NEW PERSPECTIVE FUND-A | 6.24 | 0.12 | 0.00 | 0.12 | 75.0 | 0.09 |
| | ACCOUNT #: 00073748857 | BUY 0 Units @47.1000 | | | | | | |
| 03/13/2018 | ***-**-4540 - NELSON, RORY | NEW PERSPECTIVE FUND-A | 18.75 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| | ACCOUNT #: 00073748857 | BUY 0 Units @47.1000 | | | | | | |
| 03/13/2018 | ***-**-381I - ZARNOCK, MARTIN | NEW WORLD FUND-A | 5.51 | 0.11 | 0.00 | 0.11 | 75.0 | 0.08 |
| | ACCOUNT #: 00073744276 | BUY 0 Units @71.4100 | | | | | | |
| 03/13/2018 | ***-**-381I - ZARNOCK, MARTIN | NEW WORLD FUND-A | 62.50 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| | ACCOUNT #: 00073744276 | BUY 1 Units @71.4100 | | | | | | |
| 03/13/2018 | ***-**-7287 - VINCI, DAVID | NEW WORLD FUND-A | 20.40 | 0.77 | 0.00 | 0.77 | 75.0 | 0.58 |
| | ACCOUNT #: 00085629076 | BUY 0 Units @72.9000 | | | | | | |
| 03/13/2018 | ***-**-7287 - VINCI, DAVID | NEW WORLD FUND-A | 53.00 | 1.99 | 0.00 | 1.99 | 75.0 | 1.49 |
| | ACCOUNT #: 00085629076 | BUY 1 Units @72.9000 | | | | | | |
| 03/15/2018 | ***-**-9311 - YOZZO, JOSEPH | NEW WORLD FUND-A | 125.00 | 2.50 | 0.00 | 2.50 | 75.0 | 1.88 |
| | ACCOUNT #: 00068838818 | BUY 2 Units @71.3400 | | | | | | |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA | NEW WORLD FUND-C | 2.90 | 0.03 | 0.00 | 0.03 | 75.0 | 0.02 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @67.2000 | | | | | | |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA | NEW WORLD FUND-C | 32.79 | 0.33 | 0.00 | 0.33 | 75.0 | 0.25 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @67.2000 | | | | | | |
| 03/05/2018 | ***-**-3203 - COMPION, ELLEN | OPPENHEIMER CORE BOND FUND A | 25.00 | 1.00 | 0.00 | 1.00 | 75.0 | 0.75 |
| | ACCOUNT #: 02852429284 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1837 - WAMPFLER-SUYDAM, | OPPENHEIMER GLOBAL CLASS C | 75.00 | 0.75 | 0.00 | 0.75 | 75.0 | 0.56 |
| | ACCOUNT #: 03322626976 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1837 - WAMPFLER-SUYDAM, | OPPENHEIMER MAIN STREET OPPORTUNITY | 100.00 | 1.00 | 0.00 | 1.00 | 75.0 | 0.75 |
| | ACCOUNT #: 07331164691 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8166 - SUYDAM, JERRY | OPPENHEIMER MAIN STREET OPPORTUNITY | 87.50 | 0.88 | 0.00 | 0.88 | 75.0 | 0.66 |
| | ACCOUNT #: 07331164724 | BUY 0 Units @0.0000 | | | | | | |
| 03/05/2018 | ***-**-3203 - COMPION, ELLEN | OPPENHEIMER SMALL & MID CAP VALUE F | 25.00 | 1.19 | 0.00 | 1.19 | 75.0 | 0.89 |
| | ACCOUNT #: 02514937295 | BUY 0 Units @0.0000 | | | | | | |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 03/26/2018 | ***-**-9311 - YOZZO, JOSEPH | PIM72200Q216 BUY 0 Units @0.0000 | 150.00 | 1.50 | 0.00 | 1.50 | 75.0 | 1.13 |
| 03/01/2018 | ***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 02592264273 | QUEST BALANCED FUND C BUY 0 Units @0.0000 | 75.00 | 0.75 | 0.00 | 0.75 | 75.0 | 0.56 |
| 03/01/2018 | ***-**-8166 - SUYDAM, JERRY ACCOUNT #: 02592264306 | QUEST BALANCED FUND C BUY 0 Units @0.0000 | 87.50 | 0.88 | 0.00 | 0.88 | 75.0 | 0.66 |
| 03/05/2018 | ***-**-3203 - COMPION, ELLEN ACCOUNT #: 06000770220 | SELECT VALUE FUND A BUY 0 Units @0.0000 | 25.00 | 1.19 | 0.00 | 1.19 | 75.0 | 0.89 |
| 03/13/2018 | ***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744276 | SMALLCAP WORLD FUND-A BUY 0 Units @59.7400 | 5.53 | 0.11 | 0.00 | 0.11 | 75.0 | 0.08 |
| 03/13/2018 | ***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744276 | SMALLCAP WORLD FUND-A BUY 1 Units @59.7400 | 62.50 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| 03/13/2018 | ***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857 | SMALLCAP WORLD FUND-A BUY 0 Units @59.7400 | 6.24 | 0.12 | 0.00 | 0.12 | 75.0 | 0.09 |
| 03/13/2018 | ***-**-4540 - NELSON, RORY ACCOUNT #: 00073748857 | SMALLCAP WORLD FUND-A BUY 0 Units @59.7400 | 18.75 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| 03/13/2018 | ***-**-7287 - VINCI, DAVID ACCOUNT #: 00085629076 | SMALLCAP WORLD FUND-A BUY 0 Units @60.9900 | 20.40 | 0.77 | 0.00 | 0.77 | 75.0 | 0.58 |
| 03/13/2018 | ***-**-7287 - VINCI, DAVID ACCOUNT #: 00085629076 | SMALLCAP WORLD FUND-A BUY 1 Units @60.9900 | 53.00 | 1.99 | 0.00 | 1.99 | 75.0 | 1.49 |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | SMALLCAP WORLD FUND-C BUY 0 Units @51.1600 | 2.90 | 0.03 | 0.00 | 0.03 | 75.0 | 0.02 |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | SMALLCAP WORLD FUND-C BUY 1 Units @51.1600 | 32.79 | 0.33 | 0.00 | 0.33 | 75.0 | 0.25 |
| 03/13/2018 | ***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335 | THE BOND FUND OF AMERICA-A BUY 1 Units @12.9200 | 7.40 | 0.15 | 0.00 | 0.15 | 75.0 | 0.11 |
| 03/13/2018 | ***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335 | THE BOND FUND OF AMERICA-A BUY 2 Units @12.9200 | 31.25 | 0.63 | 0.00 | 0.63 | 75.0 | 0.47 |
| 03/13/2018 | ***-**-7287 - VINCI, DAVID ACCOUNT #: 00085629076 | THE BOND FUND OF AMERICA-A BUY 2 Units @13.0900 | 20.40 | 0.61 | 0.00 | 0.61 | 75.0 | 0.46 |
| 03/13/2018 | ***-**-7287 - VINCI, DAVID ACCOUNT #: 00085629076 | THE BOND FUND OF AMERICA-A BUY 4 Units @13.0900 | 53.00 | 1.59 | 0.00 | 1.59 | 75.0 | 1.19 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 03/15/2018 | ***-**-9311 - YOZZO, JOSEPH | THE BOND FUND OF AMERICA-A | 125.00 | 2.50 | 0.00 | 2.50 | 75.0 | 1.88 |
| | ACCOUNT #: 00068838818 | BUY 10 Units @12.9200 | | | | | | |
| 03/13/2018 | ***-**-2354 - PENDOLF, NANCY | THE BOND FUND OF AMERICA-C | 1.64 | 0.02 | 0.00 | 0.02 | 75.0 | 0.02 |
| | ACCOUNT #: 00083468545 | BUY 0 Units @12.6000 | | | | | | |
| 03/13/2018 | ***-**-2354 - PENDOLF, NANCY | THE BOND FUND OF AMERICA-C | 6.25 | 0.06 | 0.00 | 0.06 | 75.0 | 0.05 |
| | ACCOUNT #: 00083468545 | BUY 0 Units @12.6000 | | | | | | |
| 03/13/2018 | ***-**-2542 - OGIYEVICH, | THE BOND FUND OF AMERICA-C | 3.71 | 0.04 | 0.00 | 0.04 | 75.0 | 0.03 |
| | ACCOUNT #: 00083468551 | BUY 0 Units @12.6000 | | | | | | |
| 03/13/2018 | ***-**-2542 - OGIYEVICH, | THE BOND FUND OF AMERICA-C | 12.50 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| | ACCOUNT #: 00083468551 | BUY 1 Units @12.6000 | | | | | | |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA | THE BOND FUND OF AMERICA-C | 2.39 | 0.02 | 0.00 | 0.02 | 75.0 | 0.02 |
| | ACCOUNT #: 00083468583 | BUY 0 Units @12.6000 | | | | | | |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA | THE BOND FUND OF AMERICA-C | 26.83 | 0.27 | 0.00 | 0.27 | 75.0 | 0.20 |
| | ACCOUNT #: 00083468583 | BUY 2 Units @12.6000 | | | | | | |
| 03/13/2018 | ***-**-381I - ZARNOCK, MARTIN | THE GROWTH FUND OF AMERICA-A | 7.40 | 0.15 | 0.00 | 0.15 | 75.0 | 0.11 |
| | ACCOUNT #: 00073744335 | BUY 0 Units @54.8900 | | | | | | |
| 03/13/2018 | ***-**-381I - ZARNOCK, MARTIN | THE GROWTH FUND OF AMERICA-A | 31.25 | 0.63 | 0.00 | 0.63 | 75.0 | 0.47 |
| | ACCOUNT #: 00073744335 | BUY 1 Units @54.8900 | | | | | | |
| 03/13/2018 | ***-**-9027 - NELSON, | THE GROWTH FUND OF AMERICA-A | 5.45 | 0.11 | 0.00 | 0.11 | 75.0 | 0.08 |
| | ACCOUNT #: 00073744742 | BUY 0 Units @54.8900 | | | | | | |
| 03/13/2018 | ***-**-9027 - NELSON, | THE GROWTH FUND OF AMERICA-A | 31.25 | 0.63 | 0.00 | 0.63 | 75.0 | 0.47 |
| | ACCOUNT #: 00073744742 | BUY 1 Units @54.8900 | | | | | | |
| 03/13/2018 | ***-**-7287 - VINCI, DAVID | THE GROWTH FUND OF AMERICA-A | 20.40 | 0.77 | 0.00 | 0.77 | 75.0 | 0.58 |
| | ACCOUNT #: 00085629076 | BUY 0 Units @56.0400 | | | | | | |
| 03/13/2018 | ***-**-7287 - VINCI, DAVID | THE GROWTH FUND OF AMERICA-A | 53.00 | 1.99 | 0.00 | 1.99 | 75.0 | 1.49 |
| | ACCOUNT #: 00085629076 | BUY 1 Units @56.0400 | | | | | | |
| 03/15/2018 | ***-**-1701 - NOFFER, THOMAS | THE GROWTH FUND OF AMERICA-A | 50.00 | 2.13 | 0.00 | 2.13 | 75.0 | 1.60 |
| | ACCOUNT #: 00083444381 | BUY 1 Units @56.1600 | | | | | | |
| 03/13/2018 | ***-**-2354 - PENDOLF, NANCY | THE GROWTH FUND OF AMERICA-C | 1.63 | 0.02 | 0.00 | 0.02 | 75.0 | 0.02 |
| | ACCOUNT #: 00083468545 | BUY 0 Units @49.5600 | | | | | | |
| 03/13/2018 | ***-**-2354 - PENDOLF, NANCY | THE GROWTH FUND OF AMERICA-C | 6.25 | 0.06 | 0.00 | 0.06 | 75.0 | 0.05 |
| | ACCOUNT #: 00083468545 | BUY 0 Units @49.5600 | | | | | | |



COMMISSION STATEMENT

March 26, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 03/13/2018 | ***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @49.5600 | 3.70 | 0.04 | 0.00 | 0.04 | 75.0 | 0.03 |
| 03/13/2018 | ***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @49.5600 | 12.50 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @49.5600 | 2.38 | 0.02 | 0.00 | 0.02 | 75.0 | 0.02 |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | THE GROWTH FUND OF AMERICA-C BUY 1 Units @49.5600 | 26.83 | 0.27 | 0.00 | 0.27 | 75.0 | 0.20 |
| 03/13/2018 | ***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335 | THE INCOME FUND OF AMERICA-A BUY 0 Units @23.8800 | 7.38 | 0.15 | 0.00 | 0.15 | 75.0 | 0.11 |
| 03/13/2018 | ***-**-381I - ZARNOCK, MARTIN ACCOUNT #: 00073744335 | THE INCOME FUND OF AMERICA-A BUY 1 Units @23.8800 | 31.25 | 0.63 | 0.00 | 0.63 | 75.0 | 0.47 |
| 03/13/2018 | ***-**-9027 - NELSON, ACCOUNT #: 00073744742 | THE INCOME FUND OF AMERICA-A BUY 0 Units @23.8800 | 5.45 | 0.11 | 0.00 | 0.11 | 75.0 | 0.08 |
| 03/13/2018 | ***-**-9027 - NELSON, ACCOUNT #: 00073744742 | THE INCOME FUND OF AMERICA-A BUY 1 Units @23.8800 | 31.25 | 0.63 | 0.00 | 0.63 | 75.0 | 0.47 |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | U.S. GOVERNMENT SECURITIES FUND-C BUY 0 Units @13.3600 | 2.11 | 0.02 | 0.00 | 0.02 | 75.0 | 0.02 |
| 03/13/2018 | ***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583 | U.S. GOVERNMENT SECURITIES FUND-C BUY 2 Units @13.3600 | 23.85 | 0.24 | 0.00 | 0.24 | 75.0 | 0.18 |
| 03/13/2018 | ***-**-9027 - NELSON, ACCOUNT #: 00073744742 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @47.7400 | 5.45 | 0.11 | 0.00 | 0.11 | 75.0 | 0.08 |
| 03/13/2018 | ***-**-9027 - NELSON, ACCOUNT #: 00073744742 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @47.7400 | 31.25 | 0.63 | 0.00 | 0.63 | 75.0 | 0.47 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$3,404.49 | \$71.60 | \$0.00 | \$71.60 | | \$53.77 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 03/26/2018 | ***-**-949I - ZAGER, YVONNE ACCOUNT #: LSR012050 | ALLIANZ OCC RENAISSANCE FUND CLASS BUY 1 Units @0.0000 | 0.00 | 3.98 | 0.00 | 3.98 | 75.0 | 2.99 |
| 03/01/2018 | ***-**-1837 - WAMPFLER-SUYDAM, ACCOUNT #: 02870496166 | CORE BOND FND C BUY 0 Units @0.0000 | 25.00 | 0.25 | 0.00 | 0.25 | 75.0 | 0.19 |
| 03/26/2018 | ***-**-781I - GOULD, ROBERT ACCOUNT #: LSR012003 | LORD ABBETT AFFILIATED CLASS C BUY 1 Units @0.0000 | 0.00 | 12.52 | 0.00 | 12.52 | 75.0 | 9.39 |



COMMISSION STATEMENT

March 26, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/26/2018 | ***-**-84IN - ROBINSON, CHERYL | OPPENHEIMER INTERNATIONAL DIVERSIFI | 0.00 | 0.54 | 0.00 | 0.54 | 75.0 | 0.41 |
| | ACCOUNT #: LSR012404 | BUY 1 Units @0.0000 | | | | | | |
| 03/26/2018 | ***-**-781I - GOULD, ROBERT | PUTNAM EQUITY INCOME FUND-M | 0.00 | 4.66 | 0.00 | 4.66 | 75.0 | 3.50 |
| | ACCOUNT #: LSR012003 | BUY 1 Units @0.0000 | | | | | | |
| 03/26/2018 | ***-**-13IN - KUPIEC, RICHARD | ROCHESTER FUND MUNICIPALS A | 0.00 | 1.54 | 0.00 | 1.54 | 75.0 | 1.16 |
| | ACCOUNT #: LSR012014 | BUY 1 Units @0.0000 | | | | | | |
| 03/26/2018 | ***-**-84IN - ROBINSON, CHERYL | SELECT VALUE FUND A | 0.00 | 0.56 | 0.00 | 0.56 | 75.0 | 0.42 |
| | ACCOUNT #: LSR012404 | BUY 1 Units @0.0000 | | | | | | |
| 03/26/2018 | ***-**-381I - ZARNOCK, MARTIN | VOYA SMALL CAP OPPORTUNITIES CL C | 0.00 | 9.27 | 0.00 | 9.27 | 75.0 | 6.95 |
| | ACCOUNT #: LSR012021 | BUY 1 Units @0.0000 | | | | | | |
| 03/26/2018 | ***-**-381J - ZARNOCK, MARTIN | VOYA SMALL CAP OPPORTUNITIES CL C | 0.00 | 10.49 | 0.00 | 10.49 | 75.0 | 7.87 |
| | ACCOUNT #: LSR012033 | BUY 1 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$25.00 | \$43.81 | \$0.00 | \$43.81 | | \$32.88 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$3,429.49 | \$115.41 | \$0.00 | \$115.41 | | \$86.65 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$86.65 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

| | |
|------------------------------|---------------|
| Balance Forward | \$0.00 |
| Year-to-date Earnings | \$0.00 |