

COMMISSION STATEMENT July 15, 2018

JIM HUGHES-D349

BROKER #: D349

BRANCH: DMV

, TX

COMMISSION STATEMENT for JIM HUGHES-D349

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
06/26/2018 (None)	HUGHES, VARIOUS	MF MAINSTAY	B	0.00	30.56	0.00	30.56	80.0	24.45
06/25/2018 000000000055083	MEDLEY, DONNA	MF FRANKLIN REAL RETURN	B	250.00	2.50	0.00	2.50	80.0	2.00
07/02/2018 0000000001642697	RODGEKATH	MF FRK TEMP FOUNDING FUNDS ALLOC	B	100.00	1.00	0.00	1.00	80.0	0.80
06/25/2018 0000000003912312	ADAMS, MICH	MF MUTUAL SHRS FND - CL C	B	300.00	3.00	0.00	3.00	80.0	2.40
06/25/2018 0000000004070696	MEDLEY, DONNA	MF MUTUAL DISC FND - CL C	B	250.00	2.50	0.00	2.50	80.0	2.00
07/02/2018 0000000007053662	DUFREMAXA	MF CUSIP 645769696	B	100.00	5.00	0.00	5.00	80.0	4.00
07/02/2018 0000000012760498	POLLOSTEP	MF FRANKLIN INCOME FUND CLASS C	B	458.33	4.58	0.00	4.58	80.0	3.66
07/05/2018 0000000012879742	HUFFMMICHR	MF FRANKLIN INCOME FUND CLASS C	B	200.00	2.00	0.00	2.00	80.0	1.60
06/28/2018 000090237684460	ADAMSJOSCN	MF FRANKLIN INCOME FUND CLASS A	B	300.00	12.00	0.00	12.00	80.0	9.60
* MUTUAL FUNDS SUBTOTAL *				\$1,958.33	\$63.14	\$0.00	\$63.14		\$50.51
PRODUCT CATEGORY: VARIABLE ANNUITIES									
07/05/2018 (None)	ELWELL, JOSEPH	VA SECURITY BENEFIT LIFE	B	0.00	28.67	0.00	28.67	80.0	22.94
07/05/2018 (None)	POWELL, CANDACE	VA SECURITY BENEFIT LIFE	B	0.00	55.51	0.00	55.51	80.0	44.41
07/02/2018 00711540132	GILLIS, MARY	VA HARTFORD LIFE	B	50.00	3.00	0.00	3.00	80.0	2.40
07/02/2018 00711540132	LOVELADY, JERRY	VA HARTFORD LIFE	B	604.72	42.33	0.00	42.33	80.0	33.86
07/02/2018 00711540132	ESTER, NORMAN	VA HARTFORD LIFE	B	236.22	16.54	0.00	16.54	80.0	13.23
06/18/2018 00712821077	LOVELADY, JERRY	VA HARTFORD LIFE	B	0.00	42.33	0.00	42.33	80.0	33.86
06/18/2018 00712821077	ESTER, NORMAN	VA HARTFORD LIFE	B	0.00	16.54	0.00	16.54	80.0	13.23
* VARIABLE ANNUITIES SUBTOTAL *				\$890.94	\$204.92	\$0.00	\$204.92		\$163.93
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
06/28/2018 (None)	POWELL, CANDACE	VAT SECURITY BENEFIT LIFE	B	0.00	10.53	0.00	10.53	80.0	8.42
06/28/2018 (None)	POWELL, CANDACE	VAT SECURITY BENEFIT LIFE	B	0.00	65.51	0.00	65.51	80.0	52.41
06/28/2018 (None)	TAYLOR, JEWELL	VAT SECURITY BENEFIT LIFE	B	0.00	1.09	0.00	1.09	80.0	0.87
06/28/2018 (None)	FISHBACK, CECILE	VAT SECURITY BENEFIT LIFE	B	0.00	0.71	0.00	0.71	80.0	0.57
06/28/2018 (None)	BALDWIN, PATRICIA	VAT SECURITY BENEFIT LIFE	B	0.00	0.54	0.00	0.54	80.0	0.43
06/28/2018 (None)	ELWELL, JOSEPH	VAT SECURITY BENEFIT LIFE	B	0.00	29.50	0.00	29.50	80.0	23.60
06/30/2018 (None)	HUGHES, VARIOUS	VAT HARTFORD LIFE	B	0.00	769.13	0.00	769.13	80.0	615.30
07/09/2018 (None)	ADVANTAGE	VAT MASS MUTUAL	B	0.00	721.91	0.00	721.91	80.0	577.53
07/09/2018 (None)	WEAVERMOT	VAT MASS MUTUAL	B	0.00	3,466.85	0.00	3,466.85	80.0	2,773.48
07/03/2018 VM15001220	MATTHEWS, LATISHA	VAT PACIFIC LIFE VA 69448B191	B	0.00	10.75	0.00	10.75	80.0	8.60

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07/03/2018	VM17002103 WEBB, BRIAN	VAT PACIFIC LIFE VA 69448B191	B	0.00	14.92	0.00	14.92	80.0	11.94
07/03/2018	VM17004814 DAVIES, ANDREW	VAT PACIFIC LIFE VA 69448B191	B	0.00	6.54	0.00	6.54	80.0	5.23
07/03/2018	VM17004943 WINN, TYLER	VAT PACIFIC LIFE VA 69448B191	B	0.00	10.83	0.00	10.83	80.0	8.66
07/03/2018	VR11009288 DRAKE, JORDAN	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	20.83	0.00	20.83	80.0	16.66
07/03/2018	VR11010756 OGDEN, KRISTI	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	13.73	0.00	13.73	80.0	10.98
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$5,143.37	\$0.00	\$5,143.37		\$4,114.68
*** DIRECT TRANSACTIONS TOTAL ***				\$2,849.27	\$5,411.43	\$0.00	\$5,411.43		\$4,329.12

BROKER COMMISSION TOTALS

Broker Transactions	\$4,329.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-50.03
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$4,279.09
Year-to-date Commissions	\$24,602.49