

**COMMISSION STATEMENT****June 15, 2018**WILLIAM WALSH
2565 West MapleBROKER #: W127 Terminated 08/17/2017
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/09/2018	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.03	0.00	0.03	0.0	0.00
05/09/2018	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.04	0.00	0.04	0.0	0.00
05/09/2018	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.13	0.00	0.13	0.0	0.00
05/09/2018	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.20	0.00	0.20	0.0	0.00
05/09/2018	WALSH, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.12	0.00	0.12	0.0	0.00
05/09/2018	MURPHY, CAROL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.38	0.00	17.38	0.0	0.00
05/09/2018	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.36	0.00	2.36	0.0	0.00
05/09/2018	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.42	0.00	0.42	0.0	0.00
05/09/2018	MURPHY, CAROL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	11.38	0.00	11.38	0.0	0.00
05/09/2018	MURPHY, CAROL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.01	0.00	0.01	0.0	0.00
05/09/2018	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.13	0.00	1.13	0.0	0.00
05/09/2018	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	12.24	0.00	12.24	0.0	0.00
05/09/2018	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	10.91	0.00	10.91	0.0	0.00
05/09/2018	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	0.76	0.00	0.76	0.0	0.00
05/09/2018	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.17	0.00	1.17	0.0	0.00
05/09/2018	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	0.46	0.00	0.46	0.0	0.00
05/09/2018	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	0.69	0.00	0.69	0.0	0.00
05/09/2018	MURPHY, CAROL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.00	0.00	5.00	0.0	0.00
05/09/2018	MURPHY, CAROL	IVY ASSET STRATEGY FUND CLASS A	0.00	6.00	0.00	6.00	0.0	0.00
05/09/2018	MURPHY, CAROL	JH REGIONAL BANK A	0.00	8.85	0.00	8.85	0.0	0.00
05/09/2018	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.20	0.00	1.20	0.0	0.00
05/09/2018	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	7.60	0.00	7.60	0.0	0.00
05/09/2018	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	7.10	0.00	7.10	0.0	0.00
05/09/2018	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.20	0.00	0.20	0.0	0.00
05/09/2018	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.31	0.00	0.31	0.0	0.00
05/24/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.28	0.00	1.28	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/24/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.49	0.00	0.49	0.0	0.00
05/24/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.53	0.00	0.53	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$97.99	\$0.00	\$97.99		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$0.00	\$97.99	\$0.00	\$97.99		\$0.00

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2018	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	10,748.04	2.24	0.00	2.24	0.0	0.00
04/30/2018	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	10,748.04	2.24	0.00	2.24	0.0	0.00
05/31/2018	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	10,997.41	2.29	0.00	2.29	0.0	0.00
05/31/2018	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	10,997.41	2.29	0.00	2.29	0.0	0.00
04/30/2018	BJEDOV, MARK	PRU AS APEX II	14,170.87	2.95	0.00	2.95	0.0	0.00
04/30/2018	BJEDOV, MARK	PRU AS APEX II	14,170.87	11.81	0.00	11.81	0.0	0.00
05/31/2018	BJEDOV, MARK	PRU AS APEX II	14,447.53	3.01	0.00	3.01	0.0	0.00
05/31/2018	BJEDOV, MARK	PRU AS APEX II	14,447.53	12.04	0.00	12.04	0.0	0.00
04/30/2018	BOMSTA, MERIANNE	PRU AS XTRA CREDIT	55,918.17	11.65	0.00	11.65	0.0	0.00
05/31/2018	BOMSTA, MERIANNE	PRU AS XTRA CREDIT	57,210.21	11.92	0.00	11.92	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$213,856.08	\$62.44	\$0.00	\$62.44		\$0.00

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.01	\$0.00	\$0.01		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$213,856.08	\$62.45	\$0.00	\$62.45		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$4,393.85
Year-to-date Earnings	\$0.00