



COMMISSION STATEMENT

August 20, 2018

JEFFRY PROUL
950 Glenn Drive
Suite 100
Folsom, CA 95630

BROKER #: 940
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 08/13/2018 | ***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976486 | AMERICAN FUNDS 2030 TARGET DATE-A BUY 8 Units @15.2600 | 125.00 | 3.44 | 0.00 | 3.44 | 75.0 | 2.58 |
| 08/13/2018 | ***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976486 | AMERICAN FUNDS 2030 TARGET DATE-A BUY 8 Units @15.2600 | 125.00 | 3.44 | 0.00 | 3.44 | 75.0 | 2.58 |
| 08/13/2018 | ***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976498 | AMERICAN FUNDS 2030 TARGET DATE-A BUY 25 Units @15.2600 | 375.00 | 10.31 | 0.00 | 10.31 | 75.0 | 7.73 |
| 08/13/2018 | ***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976498 | AMERICAN FUNDS 2030 TARGET DATE-A BUY 131 Units @15.2600 | 2,000.00 | 55.00 | 0.00 | 55.00 | 75.0 | 41.25 |
| 08/16/2018 | ***-**-4496 - HARRY, KIRK ACCOUNT #: 00058823513 | EUROPACIFIC GROWTH FUND-A BUY 9 Units @52.0600 | 479.17 | 4.79 | 0.00 | 4.79 | 75.0 | 3.59 |
| 08/16/2018 | ***-**-3875 - HARRY, JOHN ACCOUNT #: 00058823514 | EUROPACIFIC GROWTH FUND-A BUY 11 Units @52.0600 | 563.35 | 5.63 | 0.00 | 5.63 | 75.0 | 4.22 |
| 08/16/2018 | ***-**-9346 - KEY-BELL INC ACCOUNT #: 00058823515 | EUROPACIFIC GROWTH FUND-A BUY 3 Units @52.0600 | 150.00 | 1.50 | 0.00 | 1.50 | 75.0 | 1.13 |
| 08/16/2018 | ***-**-2069 - CORPORATION, ACCOUNT #: 00058823519 | EUROPACIFIC GROWTH FUND-A BUY 10 Units @52.0600 | 521.26 | 5.21 | 0.00 | 5.21 | 75.0 | 3.91 |
| 08/16/2018 | ***-**-2069 - CORPORATION, ACCOUNT #: 00058836715 | EUROPACIFIC GROWTH FUND-A BUY 14 Units @52.0600 | 721.23 | 7.21 | 0.00 | 7.21 | 75.0 | 5.41 |
| 08/16/2018 | ***-**-2069 - CORPORATION, ACCOUNT #: 00086889126 | EUROPACIFIC GROWTH FUND-A BUY 2 Units @52.0600 | 87.50 | 0.88 | 0.00 | 0.88 | 75.0 | 0.66 |
| 08/02/2018 | ***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916 | FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.4000 | -3.96 | -0.20 | 0.00 | -0.20 | 75.0 | -0.15 |
| 08/02/2018 | ***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916 | FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.4000 | -3.96 | -0.20 | 0.00 | -0.20 | 75.0 | -0.15 |
| 08/03/2018 | ***-**-7470 - BAILEY, DYLAN ACCOUNT #: 03900233790 | FIDELITY ADV FREEDOM 2025-CL A BUY 3 Units @14.4300 | 40.41 | 2.02 | 0.00 | 2.02 | 75.0 | 1.52 |
| 08/03/2018 | ***-**-7470 - BAILEY, DYLAN ACCOUNT #: 03900233790 | FIDELITY ADV FREEDOM 2025-CL A BUY 3 Units @14.4300 | 40.41 | 2.02 | 0.00 | 2.02 | 75.0 | 1.52 |
| 08/10/2018 | ***-**-0806 - ALEIXO, JEFFREY ACCOUNT #: 03900227458 | FIDELITY ADV FREEDOM 2025-CL A BUY 1 Units @14.0700 | 10.22 | 0.28 | 0.00 | 0.28 | 75.0 | 0.21 |
| 08/03/2018 | ***-**-5505 - OREA, STEPHANIE ACCOUNT #: 03900185962 | FIDELITY ADV FREEDOM 2050-CL A BUY 4 Units @12.9000 | 45.70 | 2.29 | 0.00 | 2.29 | 75.0 | 1.72 |



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|---|--------------------------------|--------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/03/2018 | ***-**-5505 - OREA, STEPHANIE | FIDELITY ADV FREEDOM 2050-CL A | 45.70 | 2.29 | 0.00 | 2.29 | 75.0 | 1.72 |
| | ACCOUNT #: 03900185962 | BUY 4 Units @12.9000 | | | | | | |
| 08/03/2018 | ***-**-8161 - PARALES, NICOLE | FIDELITY ADV FREEDOM 2050-CL A | 41.76 | 2.09 | 0.00 | 2.09 | 75.0 | 1.57 |
| | ACCOUNT #: 03900185999 | BUY 3 Units @12.9000 | | | | | | |
| 08/03/2018 | ***-**-8161 - PARALES, NICOLE | FIDELITY ADV FREEDOM 2050-CL A | 41.76 | 2.09 | 0.00 | 2.09 | 75.0 | 1.57 |
| | ACCOUNT #: 03900185999 | BUY 3 Units @12.9000 | | | | | | |
| 08/03/2018 | ***-**-3406 - RODRIGUEZ, MARIA | FIDELITY ADV FREEDOM 2050-CL A | 41.82 | 2.09 | 0.00 | 2.09 | 75.0 | 1.57 |
| | ACCOUNT #: 03900186010 | BUY 3 Units @12.9000 | | | | | | |
| 08/03/2018 | ***-**-3406 - RODRIGUEZ, MARIA | FIDELITY ADV FREEDOM 2050-CL A | 41.82 | 2.09 | 0.00 | 2.09 | 75.0 | 1.57 |
| | ACCOUNT #: 03900186010 | BUY 3 Units @12.9000 | | | | | | |
| 08/03/2018 | ***-**-4265 - | FIDELITY ADV FREEDOM 2050-CL A | 46.66 | 2.33 | 0.00 | 2.33 | 75.0 | 1.75 |
| | ACCOUNT #: 03900186012 | BUY 4 Units @12.9000 | | | | | | |
| 08/03/2018 | ***-**-4265 - | FIDELITY ADV FREEDOM 2050-CL A | 150.00 | 7.50 | 0.00 | 7.50 | 75.0 | 5.63 |
| | ACCOUNT #: 03900186012 | BUY 12 Units @12.9000 | | | | | | |
| 08/03/2018 | ***-**-0806 - ALEIXO, JEFFREY | FIDELITY ADV FREEDOM 2050-CL A | 201.92 | 10.10 | 0.00 | 10.10 | 75.0 | 7.58 |
| | ACCOUNT #: 03900186019 | BUY 16 Units @12.9000 | | | | | | |
| 08/03/2018 | ***-**-0806 - ALEIXO, JEFFREY | FIDELITY ADV FREEDOM 2050-CL A | 201.92 | 10.10 | 0.00 | 10.10 | 75.0 | 7.58 |
| | ACCOUNT #: 03900186019 | BUY 16 Units @12.9000 | | | | | | |
| 08/03/2018 | ***-**-6251 - NAKABA, RANDALL | FIDELITY ADV FREEDOM 2050-CL A | 37.50 | 1.88 | 0.00 | 1.88 | 75.0 | 1.41 |
| | ACCOUNT #: 03900186024 | BUY 3 Units @12.9000 | | | | | | |
| 08/03/2018 | ***-**-6251 - NAKABA, RANDALL | FIDELITY ADV FREEDOM 2050-CL A | 37.50 | 1.88 | 0.00 | 1.88 | 75.0 | 1.41 |
| | ACCOUNT #: 03900186024 | BUY 3 Units @12.9000 | | | | | | |
| 08/03/2018 | ***-**-9826 - NERSESIAN, POLET | FIDELITY ADV FREEDOM 2050-CL A | 57.69 | 2.88 | 0.00 | 2.88 | 75.0 | 2.16 |
| | ACCOUNT #: 03900186038 | BUY 4 Units @12.9000 | | | | | | |
| 08/03/2018 | ***-**-9826 - NERSESIAN, POLET | FIDELITY ADV FREEDOM 2050-CL A | 57.69 | 2.88 | 0.00 | 2.88 | 75.0 | 2.16 |
| | ACCOUNT #: 03900186038 | BUY 4 Units @12.9000 | | | | | | |
| 08/03/2018 | ***-**-2535 - ALCAZAR, MARIA | FIDELITY ADV FREEDOM 2050-CL A | 44.73 | 2.24 | 0.00 | 2.24 | 75.0 | 1.68 |
| | ACCOUNT #: 03900186047 | BUY 3 Units @12.9000 | | | | | | |
| 08/03/2018 | ***-**-2535 - ALCAZAR, MARIA | FIDELITY ADV FREEDOM 2050-CL A | 44.73 | 2.24 | 0.00 | 2.24 | 75.0 | 1.68 |
| | ACCOUNT #: 03900186047 | BUY 3 Units @12.9000 | | | | | | |
| 08/16/2018 | ***-**-4496 - HARRY, KIRK | THE GROWTH FUND OF AMERICA-A | 479.17 | 4.79 | 0.00 | 4.79 | 75.0 | 3.59 |
| | ACCOUNT #: 00058823513 | BUY 9 Units @54.6800 | | | | | | |



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|---|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/16/2018 | ***-**-3875 - HARRY, JOHN | THE GROWTH FUND OF AMERICA-A | 563.35 | 5.63 | 0.00 | 5.63 | 75.0 | 4.22 |
| | ACCOUNT #: 00058823514 | BUY 10 Units @54.6800 | | | | | | |
| 08/16/2018 | ***-**-9346 - KEY-BELL INC | THE GROWTH FUND OF AMERICA-A | 150.00 | 1.50 | 0.00 | 1.50 | 75.0 | 1.13 |
| | ACCOUNT #: 00058823515 | BUY 3 Units @54.6800 | | | | | | |
| 08/16/2018 | ***-**-2069 - CORPORATION, | THE GROWTH FUND OF AMERICA-A | 521.26 | 5.21 | 0.00 | 5.21 | 75.0 | 3.91 |
| | ACCOUNT #: 00058823519 | BUY 10 Units @54.6800 | | | | | | |
| 08/16/2018 | ***-**-2069 - CORPORATION, | THE GROWTH FUND OF AMERICA-A | 721.24 | 7.21 | 0.00 | 7.21 | 75.0 | 5.41 |
| | ACCOUNT #: 00058836715 | BUY 13 Units @54.6800 | | | | | | |
| 08/16/2018 | ***-**-2069 - CORPORATION, | THE GROWTH FUND OF AMERICA-A | 37.50 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| | ACCOUNT #: 00086889126 | BUY 1 Units @54.6800 | | | | | | |
| * MUTUAL FUNDS SUBTOTAL * | | | \$8,842.05 | \$183.02 | \$0.00 | \$183.02 | | \$137.32 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| / / | ***-**-1522 - PROUL, JEFFRY | AIM GLOBAL HEALTH CARE FUND CLASS A | 0.00 | 4.92 | 0.00 | 4.92 | 75.0 | 3.69 |
| | ACCOUNT #: LSR101168 | BUY 1 Units @0.0000 | | | | | | |
| / / | ***-**-2742 - CLASEN, SHIRLEY | EUROPACIFIC GROWTH FUND-A | 0.00 | 5.86 | 0.00 | 5.86 | 75.0 | 4.40 |
| | ACCOUNT #: LSR102512 | BUY 1 Units @0.0000 | | | | | | |
| / / | ***-**-1240 - RODMAN, BRIAN | FIDELITY ADV GROWTH & INCOME CL A | 0.00 | 0.76 | 0.00 | 0.76 | 75.0 | 0.57 |
| | ACCOUNT #: LSR103420 | BUY 1 Units @0.0000 | | | | | | |
| / / | ***-**-5390 - REPELLA, CRAIG | FIDELITY ADVISOR BALANCED CLASS T | 0.00 | 42.27 | 0.00 | 42.27 | 75.0 | 31.70 |
| | ACCOUNT #: LSR102520 | BUY 1 Units @0.0000 | | | | | | |
| / / | ***-**-1522 - PROUL, JEFFRY | INVESCO AMERICAN FRANCHISE FUND -CL | 0.00 | 2.70 | 0.00 | 2.70 | 75.0 | 2.03 |
| | ACCOUNT #: LSR101168 | BUY 1 Units @0.0000 | | | | | | |
| / / | ***-**-2742 - CLASEN, SHIRLEY | INVESCO AMERICAN FRANCHISE FUND -CL | 0.00 | 0.67 | 0.00 | 0.67 | 75.0 | 0.50 |
| | ACCOUNT #: LSR102512 | BUY 1 Units @0.0000 | | | | | | |
| / / | ***-**-1240 - RODMAN, BRIAN | INVESCO AMERICAN FRANCHISE FUND -CL | 0.00 | 0.57 | 0.00 | 0.57 | 75.0 | 0.43 |
| | ACCOUNT #: LSR103420 | BUY 1 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$57.75 | \$0.00 | \$57.75 | | \$43.32 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$8,842.05 | \$240.77 | \$0.00 | \$240.77 | | \$180.64 |



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|-------------------------------------|--------|------------|----------------------|---------------------|--------------------|-----------------------|------|----------------------|
| <div>BROKER COMMISSION TOTALS</div> | | | | | | | | |
| | | | | | | Broker Transactions | | \$180.64 |
| | | | | | | Split Transactions | | \$0.00 |
| | | | | | | Override Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | | Prior Period Balance | | \$0.00 |
| | | | | | | FINRA Assessment | | \$0.00 |
| | | | | | | SIPC Assessment | | \$0.00 |
| | | | | | | Net Commission Earned | | \$180.64 |
| | | | | | | Year-to-date Earnings | | \$44,732.78 |