

COMMISSION STATEMENT

February 28, 2018

ARTHUR KITTELSTAD
3316 N FRONTAGE ROAD

BROKER #: 6
BRANCH: HOME OFFICE

PLANT CITY, FL 33565

COMMISSION STATEMENT for ARTHUR KITTELSTAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

02/20/2018	QGW001604	HERZOG, CHRISTOPHER	OPKO HEALTH INC	S	-1,302.98	50.00	10.00	40.00	85.0	32.50
02/20/2018	QGW018483	KNUDSON, BENJAMIN	OPKO HEALTH INC	S	-2,647.96	65.00	10.00	55.00	85.0	45.25
02/20/2018	QGW018525	WAYMEL, RAYMOND	DINEEQUITY INC	S	-20,164.20	497.00	10.00	487.00	85.0	412.45
02/05/2018	QGW018541	LUNCEFORD, WENDELL	ALTRIA GROUP INC	S	-6,853.26	178.00	10.00	168.00	85.0	141.30
02/20/2018	QGW018541	LUNCEFORD, WENDELL	DINEEQUITY INC	S	-10,048.50	265.00	10.00	255.00	85.0	215.25
02/13/2018	QGW018590	NEWTON, ROBERT	CONTROL4 CORP COM	B	2,481.99	60.00	10.00	50.00	85.0	41.00
01/30/2018	QGW018632	ROHDE, JEFFREY	OPKO HEALTH INC	S	-2,058.75	60.00	10.00	50.00	85.0	41.00
01/31/2018	QGW018657	KNAUF, STEPHEN	NUVEEN PREFERRED AND INCOME 2022 TE B	B	9,487.20	237.00	10.00	227.00	85.0	191.45
02/06/2018	QGW018798	SENTZ, ROBERT	NUVEEN PREFERRED AND INCOME 2022 TE B	B	9,652.80	192.00	10.00	182.00	85.0	153.20
01/30/2018	QGW018889	DICE, GEORGE	NUVEEN PREFERRED AND INCOME 2022 TE B	B	4,748.40	135.00	10.00	125.00	85.0	104.75
01/30/2018	QGW018954	EGGLESTON, LYNN	GAMCO NATURAL RESOURCES GOLD & INCO B	B	4,750.69	142.00	10.00	132.00	85.0	110.70
02/20/2018	QGW024754	KNUDSON, SUSAN	ALPS SECTOR DIVIDEND DOGS ETF	B	13,747.35	398.00	10.00	388.00	85.0	328.30
02/20/2018	QGW024770	HOBGOOD, RUTH	ALPS SECTOR DIVIDEND DOGS ETF	B	6,867.27	169.00	10.00	159.00	85.0	133.65
02/08/2018	QGW024838	STEPANEK, DENNIS	ISHARES CORE S&P 500 ETF	B	19,500.00	195.00	10.00	185.00	85.0	155.75
02/08/2018	QGW024838	STEPANEK, DENNIS	SPDR DOW JONES INDL AVERAGE ETF TR	B	19,200.00	192.00	10.00	182.00	85.0	153.20
01/30/2018	QGW024895	ROHDE, JEFFREY	LAS VEGAS SANDS CORP	S	-7,848.00	204.00	10.00	194.00	85.0	163.40
02/13/2018	QGW024986	PENNINGTON, CHARLES	ISHARES NASDAQ BIOTECHNOLOGY INDEX	B	3,194.46	75.00	10.00	65.00	85.0	53.75
02/12/2018	QGW025082	LEITI, KEVIN	OPKO HEALTH INC	S	-591.00	50.00	10.00	40.00	85.0	32.50
02/08/2018	QGW030694	ABLES, FRANCES	NUVEEN PREFERRED AND INCOME 2022 TE B	B	4,855.00	135.00	10.00	125.00	85.0	104.75
02/02/2018	QGW031189	JOHNSON, KENNETH	ABBOTT LABORATORIES	S	-12,408.68	294.00	10.00	284.00	85.0	239.90
02/13/2018	QGW031700	BARFIELD, BARBARA	OPKO HEALTH INC	S	-1,729.53	60.00	10.00	50.00	85.0	41.00
02/12/2018	QGW038564	WILLIS, LARRY	APOLLO COMMERCIAL REAL ESTATE FINAN B	B	17,615.20	437.00	10.00	427.00	85.0	361.45
02/12/2018	QGW038903	LEITI, KEVIN	NUVEEN PREFERRED AND INCOME 2022 TE B	B	9,553.28	247.00	10.00	237.00	85.0	199.95
				* STOCKS SUBTOTAL *	\$60,000.78	\$4,337.00	\$230.00	\$4,107.00		\$3,456.45

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/16/2018	QGW018491	HOBGOOD, ROBIN	BOND A	B	0.00	3.07	0.00	3.07	85.0	2.61
02/16/2018	QGW018574	STEPANEK, CATHERINE	JOHN HANCOCK FDS II NEW OPPORTUNITI B	B	0.00	6.18	0.00	6.18	85.0	5.25
02/16/2018	QGW018798	SENTZ, ROBERT	LARGE CAP EQUITY A	B	0.00	0.14	0.00	0.14	85.0	0.12
02/16/2018	QGW019150	RICHARDSON, MARY	JOHN HANCOCK FDS III STRATEGIC GROW B	B	0.00	0.08	0.00	0.08	85.0	0.07
02/21/2018	QGW024838	STEPANEK, DENNIS	JP MORGAN LIMITED DURATION BOND FUN B	B	0.00	6.62	0.00	6.62	85.0	5.63

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/16/2018	QGW024879	DERTOUZOS, JAMES	JOHN HANCOCK FDS II NEW OPPORTUNITI B	0.00	2.81	0.00	2.81	85.0	2.39
02/16/2018	QGW024879	DERTOUZOS, JAMES	REGIONAL BANK A B	0.00	2.49	0.00	2.49	85.0	2.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$21.39	\$0.00	\$21.39		\$18.19
*** BROKER TRANSACTIONS TOTAL ***				\$60,000.78	\$4,358.39	\$230.00	\$4,128.39		\$3,474.64

ADJUSTMENTS

02/28/2018		OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
02/28/2018		OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-15.00
02/28/2018		OTHER: OTHER-CHARGE .005	0.00	0.00	0.00	0.00	-24.08
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-59.08

BROKER COMMISSION TOTALS

Broker Transactions	\$3,474.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-59.08
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.45
SIPC Assessment	\$-10.90

Check Amount	\$3,399.21
Year-to-date Earnings	\$13,320.44