



COMMISSION STATEMENT

January 08, 2018

SAMUEL GALATIOTO
134 Liberty Street
Tustin, CA 949

BROKER #: A37
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u> | | | | | | | | |
| 12/28/2017 | ***-**-27IO - JANOLO, VICTORIA | GUGGENHEIM DEFINED PORTFOLIOS UNIT | 7,753.42 | 0.00 | 24.00 | -24.00 | 100.0 | -24.00 |
| | ACCOUNT #: LSR010300 | SELL 695 Units @11.1560 | | | | | | |
| 12/28/2017 | ***-**-27IO - JANOLO, VICTORIA | GUGGENHEIM DEFINED PORTFOLIOS UNIT | 5,532.77 | 0.00 | 24.00 | -24.00 | 100.0 | -24.00 |
| | ACCOUNT #: LSR010300 | SELL 435 Units @12.7190 | | | | | | |
| * UNIT INVESTMENT TRUSTS SUBTOTAL * | | | \$13,286.19 | \$0.00 | \$48.00 | \$-48.00 | | \$-48.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 01/02/2018 | ***-**-660J - MILBURN, DON | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 0.02 | 0.00 | 0.02 | 70.0 | 0.01 |
| | ACCOUNT #: LSR010050 | BUY 1 Units @0.0000 | | | | | | |
| 01/02/2018 | ***-**-660K - MILBURN, DON | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 0.10 | 0.00 | 0.10 | 70.0 | 0.07 |
| | ACCOUNT #: LSR010051 | BUY 1 Units @0.0000 | | | | | | |
| 01/02/2018 | ***-**-505K - MILBURN, SALLY | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 0.67 | 0.00 | 0.67 | 70.0 | 0.47 |
| | ACCOUNT #: LSR010052 | BUY 1 Units @0.0000 | | | | | | |
| 01/02/2018 | ***-**-74IO - SERNA, DEBRA | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 1.14 | 0.00 | 1.14 | 70.0 | 0.80 |
| | ACCOUNT #: LSR010054 | BUY 1 Units @0.0000 | | | | | | |
| 01/02/2018 | ***-**-30IO - STILL, GORDON | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 0.04 | 0.00 | 0.04 | 70.0 | 0.03 |
| | ACCOUNT #: LSR010055 | BUY 1 Units @0.0000 | | | | | | |
| 01/02/2018 | ***-**-90IO - GIBBONS, JEFFREY | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 0.14 | 0.00 | 0.14 | 70.0 | 0.10 |
| | ACCOUNT #: LSR010057 | BUY 1 Units @0.0000 | | | | | | |
| 01/02/2018 | ***-**-85IO - GIBBONS, LEORA | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 0.19 | 0.00 | 0.19 | 70.0 | 0.13 |
| | ACCOUNT #: LSR010059 | BUY 1 Units @0.0000 | | | | | | |
| 01/02/2018 | ***-**-92IO - CUZICK, JOANIE | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 4.39 | 0.00 | 4.39 | 70.0 | 3.07 |
| | ACCOUNT #: LSR010065 | BUY 1 Units @0.0000 | | | | | | |
| 01/02/2018 | ***-**-49IO - CUZICK, MICHAEL | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 5.84 | 0.00 | 5.84 | 70.0 | 4.09 |
| | ACCOUNT #: LSR010066 | BUY 1 Units @0.0000 | | | | | | |
| 01/02/2018 | ***-**-25IO - KESHEN, MARY LOU | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 0.13 | 0.00 | 0.13 | 70.0 | 0.09 |
| | ACCOUNT #: LSR010085 | BUY 1 Units @0.0000 | | | | | | |
| 01/02/2018 | ***-**-27IO - JANOLO, VICTORIA | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 0.15 | 0.00 | 0.15 | 70.0 | 0.11 |
| | ACCOUNT #: LSR010300 | BUY 1 Units @0.0000 | | | | | | |
| 01/02/2018 | ***-**-71IO - JACOBSON, DEBRA | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 9.71 | 0.00 | 9.71 | 70.0 | 6.80 |
| | ACCOUNT #: LSR010305 | BUY 1 Units @0.0000 | | | | | | |
| 01/02/2018 | ***-**-37IO - PASCUA, DAVID | FIDELITY GOVT MMKT DAILY MONEY CLAS | 0.00 | 0.03 | 0.00 | 0.03 | 70.0 | 0.02 |
| | ACCOUNT #: LSR010716 | BUY 1 Units @0.0000 | | | | | | |



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|---|---|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00 | \$22.55 | \$0.00 | \$22.55 | | \$15.79 |
| PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS | | | | | | | | |
| 12/31/2017 | ***-**-51IR - DIETLIN, FBO ACCOUNT #: E1565770 | PRUDENTIAL AS ADVISORS PLAN III BUY 0 Units @0.0000 | 0.00 | 9.32 | 0.00 | 9.32 | 70.0 | 6.52 |
| 12/31/2017 | ***-**-15IN - JACOBSON, ERIC ACCOUNT #: E0954000 | PRUDENTIAL VA TRAILS 74429E479 BUY 0 Units @0.0000 | 0.00 | 95.27 | 0.00 | 95.27 | 70.0 | 66.69 |
| | | * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | \$0.00 | \$104.59 | \$0.00 | \$104.59 | | \$73.21 |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$13,286.19 | \$127.14 | \$48.00 | \$79.14 | | \$41.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$41.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$38.25 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

| | |
|------------------------------|----------------|
| Balance Forward | \$79.25 |
| Year-to-date Earnings | \$79.25 |