

All Companies  
**STATEMENT**  
August 20, 2018

HENRY RAMSEY  
1224 Saint Michael St.

BROKER #: 082  
BRANCH:

Gonzales, TX 78629

COMMISSION STATEMENT for HENRY RAMSEY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/02/2018	#01001300082	MONDRAGON, ABEL	INVESCO VAN KAMPEN HIGH YIELD MUNIC	600.00	19.50	75.0	0.00	14.63	0.00	14.63
07/09/2018	#00086010680	MONDRAGON, ABEL	AMERICAN BALANCED FUND INC CLASS A	504.00	13.86	75.0	0.00	10.40	0.00	10.40
07/09/2018	#00086010680	MONDRAGON, ABEL	AMERICAN BALANCED FUND INC CLASS A	504.00	13.86	75.0	0.00	10.40	0.00	10.40
07/09/2018	#00086010680	MONDRAGON, ABEL	AMERICAN BALANCED FUND INC CLASS A	3,000.00	82.50	75.0	0.00	61.88	0.00	61.88
07/09/2018	#00086010680	MONDRAGON, ABEL	AMERICAN BALANCED FUND INC CLASS A	3,000.00	82.50	75.0	0.00	61.88	0.00	61.88
* MUTUAL FUNDS SUBTOTAL *				<b>\$7,608.00</b>	<b>\$212.22</b>		<b>\$0.00</b>	<b>\$159.19</b>	<b>\$0.00</b>	<b>\$159.19</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/01/2018	#06900204626	VARIOUS RAMSEY	INVESCO GOLD & PRECIOUS METALS CL A	0.00	169.38	75.0	0.00	127.04	0.00	127.04
07/01/2018	#06900206858	VARIOUS RAMSEY	INVESCO MONEY MARKET FUND - CLASS A	0.00	11.09	75.0	0.00	8.32	0.00	8.32
07/01/2018	#00672050453	VARIOUS RAMSEY	INVESCO U.S. GOVERNMENT FUND CL A	0.00	24.65	75.0	0.00	18.49	0.00	18.49
07/01/2018	#00672050863	VARIOUS RAMSEY	INVESCO U.S. GOVERNMENT FUND CL A	0.00	24.65	75.0	0.00	18.49	0.00	18.49
07/01/2018	#00672050453	VARIOUS RAMSEY	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	7.54	75.0	0.00	5.66	0.00	5.66
07/01/2018	#00672050863	VARIOUS RAMSEY	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	7.54	75.0	0.00	5.66	0.00	5.66
07/01/2018	#06900142636	VARIOUS RAMSEY	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	7.44	75.0	0.00	5.58	0.00	5.58
07/01/2018	#06900231444	VARIOUS RAMSEY	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	7.56	75.0	0.00	5.67	0.00	5.67
07/01/2018	#06900231445	VARIOUS RAMSEY	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	5.03	75.0	0.00	3.77	0.00	3.77
07/01/2018	#06900212605	VARIOUS RAMSEY	INVESCO VAN KAMPEN GROWTH & INCOME	0.00	65.73	75.0	0.00	49.30	0.00	49.30
07/01/2018	#01001362698	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	145.81	75.0	0.00	109.36	0.00	109.36
07/01/2018	#00061558072	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	182.62	75.0	0.00	136.97	0.00	136.97
07/01/2018	#01001249897	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	5.20	75.0	0.00	3.90	0.00	3.90
07/01/2018	#01001271347	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	143.86	75.0	0.00	107.90	0.00	107.90
07/01/2018	#01001300082	MONDRAGON, ABEL	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	62.51	75.0	0.00	46.88	0.00	46.88
07/01/2018	#06900213267	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	53.35	75.0	0.00	40.01	0.00	40.01
07/01/2018	#06910640475	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	319.33	75.0	0.00	239.50	0.00	239.50
07/01/2018	#00671330717	VARIOUS RAMSEY	INVESCO VAN KAMPEN MID CAP GROWTH F	0.00	0.51	75.0	0.00	0.38	0.00	0.38
07/01/2018	#06900143812	VARIOUS RAMSEY	INVESCO VAN KAMPEN MID CAP GROWTH F	0.00	5.25	75.0	0.00	3.94	0.00	3.94
07/01/2018	#06900196722	VARIOUS RAMSEY	INVESCO VAN KAMPEN MID CAP GROWTH F	0.00	3.01	75.0	0.00	2.26	0.00	2.26

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/01/2018	#08190128296	SERVICES SIMPLE	MFS TOTAL RETURN FUND-A	0.00	77.22	75.0	0.00	57.92	0.00	57.92
07/01/2018	#08191187092	PETTIT, RONALD	MFS TOTAL RETURN FUND-A	0.00	9.32	75.0	0.00	6.99	0.00	6.99
07/03/2018	#00965249498		PIONEER 724010103	0.00	12.81	75.0	0.00	9.61	0.00	9.61
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,351.41		\$0.00	\$1,013.60	\$0.00	\$1,013.60
*** BROKER TRANSACTIONS TOTAL ***				\$7,608.00	\$1,563.63		\$0.00	\$1,172.79	\$0.00	\$1,172.79

ADJUSTMENTS

08/20/2018		BETA BACK OFFICE: ADMIN FEE								-320.00
08/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
*** ADJUSTMENTS TOTAL ***										\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,172.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-756.47
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward \$-82.68