

**COMMISSION STATEMENT****June 30, 2018**

ROBERT, L117 LUCHSINGER
600 Johnson Ave.
Suite B5
Bohemia, NY 11716

BROKER #: L117
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/08/2018	HAZEN, JAMES	PACIFIC LIFE FUNDS	147.29	1.47	0.00	1.47	95.0	1.40
06/11/2018	HAZEN, JAMES	PACIFIC LIFE FUNDS	131.73	1.32	0.00	1.32	95.0	1.25
06/22/2018	HANAK, EMMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	297.65	12.65	0.00	12.65	95.0	12.02
/ /	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	0.00	1.12	0.00	1.12	95.0	1.06
06/04/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
06/11/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
06/11/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
06/04/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
06/04/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
06/11/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
06/11/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
06/04/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
06/11/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
06/11/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
06/11/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	247.50	0.67	0.00	0.67	95.0	0.64
06/04/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
06/08/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	200.00	0.45	0.00	0.45	95.0	0.43
06/11/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
06/11/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
/ /	ALBANESE, MICHAEL	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	1.00	0.00	1.00	95.0	0.95
/ /	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	1.12	0.00	1.12	95.0	1.06
06/04/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
06/11/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
06/11/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
* MUTUAL FUNDS SUBTOTAL *			\$1,642.73	\$29.72	\$0.00	\$29.72		\$28.20

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/05/2018	GREVE, DONNA	COLUMBIA ACORN A	0.00	4.11	0.00	4.11	95.0	3.90
06/05/2018	GREVE, DONNA	COLUMBIA DISCIPLINED GROWTH FUND-A	0.00	4.08	0.00	4.08	95.0	3.88
06/05/2018	GREVE, DONNA	COLUMBIA EQUITY VALUE A	0.00	4.84	0.00	4.84	95.0	4.60
06/05/2018	LUCHSINGER, ELIZABETH	COLUMBIA GREATER CHINA A	0.00	5.64	0.00	5.64	95.0	5.36
06/05/2018	GREVE, DONNA	COLUMBIA LARGECAP GROWTH A	0.00	4.02	0.00	4.02	95.0	3.82
06/05/2018	GREVE UTMA NY, PATRICK	COLUMBIA LARGECAP GROWTH FUND-V	0.00	0.00	0.00	0.00	95.0	0.00
06/05/2018	GREVE UTMA NY, GEORGE	COLUMBIA LARGECAP GROWTH FUND-V	0.00	0.00	0.00	0.00	95.0	0.00
06/05/2018	532 COUNTY RT 111	COLUMBIA LG VALUE QUANTITATIVE T	0.00	0.00	0.00	0.00	95.0	0.00
06/05/2018	LUCHSINGER, ELIZABETH	COLUMBIA MIDCAP VALUE A	0.00	4.39	0.00	4.39	95.0	4.17
06/05/2018	GREVE, DONNA	COLUMBIA SELIGMAN COMM AND INFO A	0.00	3.84	0.00	3.84	95.0	3.65
06/05/2018	GREVE, DONNA	COLUMBIA SELIGMAN GLOBAL TECH A	0.00	3.83	0.00	3.83	95.0	3.64
06/05/2018	BREITFELLER, CYNTHIA	COLUMBIA STRATEGIC INCOME A	0.00	0.42	0.00	0.42	95.0	0.40
06/11/2018	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.69	0.00	2.69	95.0	2.56
06/11/2018	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.98	0.00	2.98	95.0	2.83
06/11/2018	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	1.50	0.00	1.50	95.0	1.43
06/11/2018	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	2.30	0.00	2.30	95.0	2.19
06/11/2018	CHAPMAN, CLARENCE	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	0.28	0.00	0.28	95.0	0.27
06/11/2018	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	1.43	0.00	1.43	95.0	1.36
06/11/2018	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	1.76	0.00	1.76	95.0	1.67
06/11/2018	ROBERTO, JOSEPH	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	6.58	0.00	6.58	95.0	6.25
06/11/2018	BREITFELLER, CYNTHIA	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	0.22	0.00	0.22	95.0	0.21
06/11/2018	CASKIN, KENNETH	SUNAMERICA FOCUSED MULTI ASSET A	0.00	8.00	0.00	8.00	95.0	7.60
06/11/2018	BREITFELLER, CYNTHIA	SUNAMERICA SENIOR FLOATING RATE A	0.00	0.82	0.00	0.82	95.0	0.78
06/11/2018	TIERNAN, JOAN	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.68	0.00	1.68	95.0	1.60
06/11/2018	TIERNAN, JOAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.41	0.00	1.41	95.0	1.34
06/11/2018	SEAMAN, PATRICIA	SUNAMERICA US GOVT SECURITIES CL A	0.00	6.33	0.00	6.33	95.0	6.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$73.15	\$0.00	\$73.15		\$69.52
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/11/2018	MINARDI, LOUIS	ING EMPIRE INNOVATIONS STD OPT A	77,680.12	194.20	0.00	194.20	95.0	184.49
06/04/2018	GALLAS, MATTHEW	PAC LIFE INNOVATIVE SELECT	0.00	431.51	0.00	431.51	95.0	409.93
06/07/2018	GARDNER, MARILYN	PAC LIFE INNOVATIVE SELECT	0.00	469.82	0.00	469.82	95.0	446.33
06/14/2018	MINARDI, DONNA	PAC LIFE INNOVATIVE SELECT NY	0.00	174.06	0.00	174.06	95.0	165.36
06/14/2018	KNAACK, PATRICIA	PAC LIFE INNOVATIVE SELECT NY	0.00	859.16	0.00	859.16	95.0	816.20

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
06/15/2018	KNAACK, PATRICIA	PAC LIFE INNOVATIVE SELECT NY	0.00	371.33	0.00	371.33	95.0	352.76
06/15/2018	SALANITRO, ISABEL	PAC LIFE INNOVATIVE SELECT NY	0.00	425.15	0.00	425.15	95.0	403.89
06/04/2018	KAMPMANN, ROBERT	PAC LIFE PACIFIC CHOICE	0.00	1,750.00	0.00	1,750.00	95.0	1,662.50
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$77,680.12	\$4,675.23	\$0.00	\$4,675.23		\$4,441.46
*** DIRECT TRANSACTIONS TOTAL ***			\$79,322.85	\$4,778.10	\$0.00	\$4,778.10		\$4,539.18

VERRIDE TRANSACTIONS**BROKER: L149-ROBERT LUCHSINGER #388**

06/14/2018	ROARKE, MARY ELIZABETH	ING RELIASTAR	61,916.52	139.31	0.00	139.31	0.0	55.72
06/11/2018	WESTRENEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	1.60	0.00	1.60	0.0	0.64
06/11/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	14.59	0.00	14.59	0.0	5.84
06/11/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	1.93	0.00	1.93	0.0	0.77
06/11/2018	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.47	0.00	1.47	0.0	0.59
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$61,916.52	\$158.90	\$0.00	\$158.90		\$63.56
*** OVERRIDE TRANSACTIONS TOTAL ***			\$61,916.52	\$158.90	\$0.00	\$158.90		\$63.56

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BROKER COMMISSION TOTALS

Broker Transactions	\$4,539.18
Split Transactions	\$0.00
Override Transactions	\$63.56
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,602.74
Year-to-date Gross	\$117,937.63
Year-to-date Earnings	\$115,997.82