

COMMISSION STATEMENT

December 31, 2017

JOHN BOYCE
15408 DUNCAN HILL ROADBROKER #: 41
BRANCH: HOME OFFICE

BULTER, MD 21023

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

11/29/2017	75500360	BOYCE, ANN HAGERTY	STITCH FIX INC CLASS A COMMON STOCK S	6,279.52	50.00	10.00	40.00	70.0	25.00
12/01/2017	75500360	BOYCE, ANN HAGERTY	MASTERCARD INCORPORATED B	30,080.00	35.00	10.00	25.00	70.0	14.50
12/01/2017	75500360	BOYCE, ANN HAGERTY	PACWEST BANCORP B	23,855.00	35.00	10.00	25.00	70.0	14.50
12/06/2017	75500360	BOYCE, ANN HAGERTY	ANADARKO PETROLEUM CORP S	4,801.00	20.00	10.00	10.00	70.0	4.00
12/06/2017	75500360	BOYCE, ANN HAGERTY	PAN AMERICAN SILVER CORP S	28,960.00	40.00	10.00	30.00	70.0	18.00
12/06/2017	75500360	BOYCE, ANN HAGERTY	TENCENT HOLDINGS LIMITED UNSPONSORE S	14,076.30	30.00	10.00	20.00	70.0	11.00
12/13/2017	75500360	BOYCE, ANN HAGERTY	ALIBABA GROUP HOLDING LTD SPONSORED B	17,558.16	30.00	10.00	20.00	70.0	11.00
12/13/2017	75500360	BOYCE, ANN HAGERTY	ULTA SALON COSMETICS & FRAGRANCE IN S	21,576.00	30.00	10.00	20.00	70.0	11.00
12/18/2017	75500360	BOYCE, ANN HAGERTY	VANECK VECTORS ETF TR JR GOLD MINER B	28,800.00	50.00	10.00	40.00	70.0	25.00
12/19/2017	75500360	BOYCE, ANN HAGERTY	VANECK VECTORS ETF TR JR GOLD MINER B	19,200.00	20.00	10.00	10.00	70.0	4.00
12/27/2017	75500360	BOYCE, ANN HAGERTY	WHEATON PRECIOUS METALS CORP COM S	44,266.38	60.00	10.00	50.00	70.0	32.00
11/29/2017	75500361	BOYCE, JOHN C	NVIDIA CORP S	39,775.04	50.00	10.00	40.00	70.0	25.00
11/29/2017	75500361	BOYCE, JOHN C	SQUARE INC CLASS A COM S	19,151.35	50.00	10.00	40.00	70.0	25.00
12/01/2017	75500361	BOYCE, JOHN C	ALIBABA GROUP HOLDING LTD SPONSORED B	17,865.62	30.00	10.00	20.00	70.0	11.00
12/05/2017	75500361	BOYCE, JOHN C	GOLD RESOURCE CORP S	4,336.68	25.00	10.00	15.00	70.0	7.50
12/05/2017	75500361	BOYCE, JOHN C	MOMO INC ADR S	9,530.72	30.00	10.00	20.00	70.0	11.00
12/05/2017	75500361	BOYCE, JOHN C	TEXAS ROADHOUSE INC S	10,332.16	25.00	10.00	15.00	70.0	7.50
12/06/2017	75500361	BOYCE, JOHN C	GLOBAL X FDS GLOBAL X URANIUM ETF S	7,875.00	30.00	10.00	20.00	70.0	11.00
12/06/2017	75500361	BOYCE, JOHN C	HECLA MINING COMPANY B	28,000.00	50.00	10.00	40.00	70.0	25.00
12/06/2017	75500361	BOYCE, JOHN C	WESTERN DIGITAL CORP S	7,800.00	20.00	10.00	10.00	70.0	4.00
12/11/2017	75500361	BOYCE, JOHN C	INTELLICHECK MOBILISA INC COM NEW S	9,104.60	40.00	10.00	30.00	70.0	18.00
12/12/2017	75500361	BOYCE, JOHN C	FERRELLGAS PARTNERS LP UNITS LTD PA B	8,507.40	40.00	10.00	30.00	70.0	18.00
12/12/2017	75500361	BOYCE, JOHN C	MGT CAP INVTS INC COM NEW B	7,440.00	30.00	10.00	20.00	70.0	11.00
12/27/2017	75500361	BOYCE, JOHN C	HECLA MINING COMPANY S	4,073.00	20.00	10.00	10.00	70.0	4.00
12/27/2017	75500361	BOYCE, JOHN C	HECLA MINING COMPANY S	12,419.38	30.00	10.00	20.00	70.0	11.00
12/27/2017	75500361	BOYCE, JOHN C	HECLA MINING COMPANY S	19,581.75	40.00	10.00	30.00	70.0	18.00
11/29/2017	75500366	BOYCE, JOHN C	BITCOIN INVT TR SHS B	26,442.00	20.00	10.00	10.00	70.0	4.00
11/29/2017	75500366	BOYCE, JOHN C	VANECK VECTORS ETF TR JR GOLD MINER S	159.55	0.00	10.00	-10.00	70.0	-10.00
11/29/2017	75500366	BOYCE, JOHN C	VANECK VECTORS ETF TR JR GOLD MINER S	26,414.75	50.00	10.00	40.00	70.0	25.00
12/04/2017	75500366	BOYCE, JOHN C	TENCENT HOLDINGS LIMITED UNSPONSORE B	14,789.40	30.00	10.00	20.00	70.0	11.00
12/04/2017	75500366	BOYCE, JOHN C	VANECK VECTORS ETF TR JR GOLD MINER S	15,084.00	30.00	10.00	20.00	70.0	11.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/18/2017	75500366	BOYCE, JOHN C		BITCOIN INVT TR SHS	B	16,127.55	50.00	10.00	40.00 70.0 25.00
12/18/2017	75500366	BOYCE, JOHN C		VANECK VECTORS ETF TR JR GOLD MINER S		16,010.50	40.00	10.00	30.00 70.0 18.00
12/20/2017	75500366	BOYCE, JOHN C		BITCOIN INVT TR SHS	S	35,924.25	30.00	10.00	20.00 70.0 11.00
12/20/2017	75500366	BOYCE, JOHN C		BITCOIN INVT TR SHS	S	12,425.00	30.00	10.00	20.00 70.0 11.00
11/29/2017	75500368	PETR, THOMAS		BAIDU INC SPONSORED ADR REPSTG ORD S		474,840.20	120.00	10.00	110.00 70.0 74.00
12/01/2017	75500368	PETR, THOMAS		PACWEST BANCORP	B	239,225.92	300.00	10.00	290.00 70.0 200.00
12/05/2017	75500368	PETR, THOMAS		BAIDU INC SPONSORED ADR REPSTG ORD S		696,660.30	180.00	10.00	170.00 70.0 116.00
12/05/2017	75500368	PETR, THOMAS		CISCO SYS INC	B	74,816.00	120.00	10.00	110.00 70.0 74.00
12/05/2017	75500368	PETR, THOMAS		MICROSOFT CORP	B	409,675.00	250.00	10.00	240.00 70.0 165.00
12/05/2017	75500368	PETR, THOMAS		PACWEST BANCORP	B	96,828.00	120.00	10.00	110.00 70.0 74.00
12/07/2017	75500368	PETR, THOMAS		RALPH LAUREN CORPORATION CL A	B	398,752.38	240.00	10.00	230.00 70.0 158.00
12/13/2017	75500368	PETR, THOMAS		BITCOIN INVT TR SHS	B	250,890.00	60.00	10.00	50.00 70.0 32.00
12/14/2017	75500368	PETR, THOMAS		ALIBABA GROUP HOLDING LTD SPONSORED S		340,408.00	120.00	10.00	110.00 70.0 74.00
12/18/2017	75500368	PETR, THOMAS		BITCOIN INVT TR SHS	B	323,500.00	200.00	10.00	190.00 70.0 130.00
12/18/2017	75500368	PETR, THOMAS		BITCOIN INVT TR SHS	B	345,854.95	200.00	10.00	190.00 70.0 130.00
12/18/2017	75500368	PETR, THOMAS		RALPH LAUREN CORPORATION CL A	S	387,761.20	240.00	10.00	230.00 70.0 158.00
12/18/2017	75500368	PETR, THOMAS		TENCENT HOLDINGS LIMITED UNSPONSORE S		102,320.00	120.00	10.00	110.00 70.0 74.00
12/19/2017	75500368	PETR, THOMAS		ALIBABA GROUP HOLDING LTD SPONSORED S		342,336.00	120.00	10.00	110.00 70.0 74.00
12/19/2017	75500368	PETR, THOMAS		TENCENT HOLDINGS LIMITED UNSPONSORE S		152,611.20	180.00	10.00	170.00 70.0 116.00
12/20/2017	75500368	PETR, THOMAS		BITCOIN INVT TR SHS	S	237,001.00	50.00	10.00	40.00 70.0 25.00
12/20/2017	75500368	PETR, THOMAS		BITCOIN INVT TR SHS	S	498,350.00	200.00	10.00	190.00 70.0 130.00
12/06/2017	75500370	HUBARD, MARGARET		GOLD RESOURCE CORP	S	3,803.00	40.00	10.00	30.00 70.0 18.00
12/11/2017	75500370	HUBARD, MARGARET		WHEATON PRECIOUS METALS CORP COM	B	9,000.00	203.43	10.00	193.43 70.0 132.40
12/06/2017	75500385	HUBARD, JOHN		APPLE INC	B	16,935.99	90.00	10.00	80.00 70.0 53.00
12/06/2017	75500385	HUBARD, JOHN		GAMCO GLOBAL GOLD NATURAL RESOURCES S		30,845.72	90.00	10.00	80.00 70.0 53.00
12/06/2017	75500385	HUBARD, JOHN		MICROSOFT CORP	B	16,571.32	90.00	10.00	80.00 70.0 53.00
12/20/2017	75500385	HUBARD, JOHN		VANECK VECTORS ETF TR JR GOLD MINER B		35,000.00	523.20	10.00	513.20 70.0 356.24
12/20/2017	75500385	HUBARD, JOHN		VANECK VECTORS ETF TR JR GOLD MINER S		-35,000.00	-523.20	-10.00	-513.20 70.0 -356.24 C
12/20/2017	75500385	HUBARD, JOHN		VANECK VECTORS ETF TR JR GOLD MINER B		35,000.00	50.00	10.00	40.00 70.0 25.00
12/22/2017	75500385	HUBARD, JOHN		CISCO SYS INC	B	15,410.64	60.00	10.00	50.00 70.0 32.00
12/22/2017	75500385	HUBARD, JOHN		WHEATON PRECIOUS METALS CORP COM	S	21,931.30	100.00	10.00	90.00 70.0 60.00
12/12/2017	75500391	NIEDRINGHAUS, WALLACE		MGT CAP INVTs INC COM NEW	B	10,950.00	50.00	10.00	40.00 70.0 25.00
12/22/2017	75500424	JOHN B HUBARD TTEE		ANADARKO PETROLEUM CORP	S	52,104.10	125.00	10.00	115.00 70.0 77.50
12/22/2017	75500424	JOHN B HUBARD TTEE		BLACKSTONE MORTGAGE TRUST INC	B	32,517.30	125.00	10.00	115.00 70.0 77.50
12/22/2017	75500424	JOHN B HUBARD TTEE		CISCO SYS INC	B	21,239.35	100.00	10.00	90.00 70.0 60.00
12/22/2017	75500424	JOHN B HUBARD TTEE		WHEATON PRECIOUS METALS CORP COM	S	21,951.30	100.00	10.00	90.00 70.0 60.00
12/27/2017	75500424	JOHN B HUBARD TTEE		MARTIN MIDSTREAM PARTNERS L P	S	15,960.00	68.40	10.00	58.40 70.0 37.88
12/19/2017	75500428	JOHN B HUBARD TTEE		JOHNSON & JOHNSON	S	56,756.00	75.00	10.00	65.00 70.0 42.50
12/19/2017	75500428	JOHN B HUBARD TTEE		KRAFT HEINZ COMPANY (THE) COMMON ST S		39,760.00	75.00	10.00	65.00 70.0 42.50
11/30/2017	75500443	GOOD, TIMOTHY		RANDGOLD RESOURCES LTD ADR	B	103,500.00	50.00	10.00	40.00 70.0 25.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/06/2017	75500443	GOOD, TIMOTHY		AGNICO-EAGLE MINES LTD	S	21,005.05	50.00	10.00	40.00 70.0 25.00
12/06/2017	75500443	GOOD, TIMOTHY		CONOCOPHILLIPS	S	10,212.68	30.00	10.00	20.00 70.0 11.00
12/06/2017	75500443	GOOD, TIMOTHY		GILEAD SCIENCES INC	S	14,674.68	30.00	10.00	20.00 70.0 11.00
12/06/2017	75500443	GOOD, TIMOTHY		HECLA MINING COMPANY	S	17,883.00	60.00	10.00	50.00 70.0 32.00
12/06/2017	75500443	GOOD, TIMOTHY		RANDGOLD RESOURCES LTD ADR	B	11,500.00	105.00	10.00	95.00 70.0 63.50
12/06/2017	75500443	GOOD, TIMOTHY		SEABRIDGE GOLD INC	S	10,325.00	40.00	10.00	30.00 70.0 18.00
12/18/2017	75500445	GOOD, TIMOTHY		CUI GLOBAL INC	B	19,447.50	150.00	10.00	140.00 70.0 95.00
12/18/2017	75500445	GOOD, TIMOTHY		ENZO BIOCHEM INC	B	8,795.10	75.00	10.00	65.00 70.0 42.50
12/19/2017	75500456	GREEN, H FRANKLIN		BANK OF AMERICA CORP	B	7,369.60	75.00	10.00	65.00 70.0 42.50
12/19/2017	75500456	GREEN, H FRANKLIN		INTEL CORP	B	11,529.15	75.00	10.00	65.00 70.0 42.50
12/22/2017	75500456	GREEN, H FRANKLIN		BLACKSTONE MORTGAGE TRUST INC	B	8,154.43	60.00	10.00	50.00 70.0 32.00
11/29/2017	75500463	BADEN, JOHN		APPLIED MINERALS INC	S	72.10	10.00	10.00	0.00 70.0 -3.00
11/29/2017	75500463	BADEN, JOHN		BB&T CORP	S	42,060.55	90.00	10.00	80.00 70.0 53.00
11/29/2017	75500463	BADEN, JOHN		COEUR MINING INC	S	76.33	0.00	10.00	-10.00 70.0 -10.00
11/29/2017	75500463	BADEN, JOHN		NEW ZEALAND ENERGY CORP	S	20.59	0.00	10.00	-10.00 70.0 -10.00
11/29/2017	75500463	BADEN, JOHN		PLATINUM GROUP METALS LTD	S	35.08	0.00	10.00	-10.00 70.0 -10.00
11/29/2017	75500463	BADEN, JOHN		YAMANA GOLD INC	S	267.34	15.00	10.00	5.00 70.0 0.50
11/29/2017	75500464	BADEN, JOHN		APPLIED MINERALS INC	S	36.05	0.00	10.00	-10.00 70.0 -10.00
11/29/2017	75500464	BADEN, JOHN		FRONTIER COMMUNICATIONS CORPORATION	S	279.18	0.00	10.00	-10.00 70.0 -10.00
11/29/2017	75500464	BADEN, JOHN		NEW ZEALAND ENERGY CORP	S	36.29	0.00	10.00	-10.00 70.0 -10.00
11/29/2017	75500464	BADEN, JOHN		SEADRILL LIMITED SHS	S	124.00	0.00	10.00	-10.00 70.0 -10.00
11/29/2017	75500464	BADEN, JOHN		TVI PACIFIC INC	S	16.50	0.00	10.00	-10.00 70.0 -10.00
11/29/2017	75500464	BADEN, JOHN		TVI PACIFIC INC	S	20.00	0.00	10.00	-10.00 70.0 -10.00
12/06/2017	75500464	BADEN, JOHN		WELLS FARGO & CO	S	4,399.51	30.00	10.00	20.00 70.0 11.00
12/20/2017	75500522	LEESE, JEFFREY		TRANS LUX CORPORATION	S	1,061.00	25.00	10.00	15.00 70.0 7.50
11/29/2017	75500525	BADEN, JOHN		TOTAL S A 1 ADR REPRESENTING 1 ORD	S	11,330.50	60.00	10.00	50.00 70.0 32.00
12/04/2017	75500525	BADEN, JOHN		CHEMOURS COMPANY (THE) COM	S	2,003.70	50.00	10.00	40.00 70.0 25.00
11/29/2017	75500639	BADEN, MATTHEW		BB&T CORP	S	15,625.19	60.00	10.00	50.00 70.0 32.00
12/18/2017	75501269	KNOX, DAVID		CSX CORPORATION COM	B	10,501.32	75.00	10.00	65.00 70.0 42.50
12/07/2017	75502122	BURGHART, ROBIN		WALT DISNEY CO	S	63,000.00	704.08	10.00	694.08 70.0 482.86
11/29/2017	QGV002942	ASSOCIATES, F/A/O		FCB FINL HLDGS COM SHS A	B	183,841.52	140.40	10.00	130.40 70.0 88.28
11/29/2017	QGV002942	ASSOCIATES, F/A/O		HUNTINGTON BANCSHARES INC	B	30,505.54	63.30	10.00	53.30 70.0 34.31
11/29/2017	QGV002942	ASSOCIATES, F/A/O		IBERIABANK CORP COM	B	163,757.94	84.40	10.00	74.40 70.0 49.08
11/29/2017	QGV002942	ASSOCIATES, F/A/O		ROYAL DUTCH SHELL PLC SPONSORED ADR	B	5,751.49	3.48	10.00	-6.52 70.0 -7.56
11/29/2017	QGV002942	ASSOCIATES, F/A/O		ROYAL DUTCH SHELL PLC SPONSORED ADR	B	40,389.30	25.20	10.00	15.20 70.0 7.64
11/30/2017	QGV002942	ASSOCIATES, F/A/O		CITIGROUP INC COM	B	53,402.62	28.20	10.00	18.20 70.0 9.74
11/30/2017	QGV002942	ASSOCIATES, F/A/O		HUNTINGTON BANCSHARES INC	B	3,628.22	7.44	10.00	-2.56 70.0 -4.79
11/30/2017	QGV002942	ASSOCIATES, F/A/O		PACWEST BANCORP	B	38,799.64	32.36	10.00	22.36 70.0 12.65
11/30/2017	QGV002942	ASSOCIATES, F/A/O		PNC FINANCIAL SVCS GROUP INC	B	53,423.23	15.12	10.00	5.12 70.0 0.58
12/04/2017	QGV002942	ASSOCIATES, F/A/O		ANDEAVOR LOGISTICS LP COM UNIT LTD	B	342,030.55	292.00	10.00	282.00 70.0 194.40

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/04/2017	QGV002942 ASSOCIATES, F/A/O	ONEOK INC	B	298,965.00	228.00	10.00	218.00	70.0	149.60
12/04/2017	QGV002942 ASSOCIATES, F/A/O	SONOCO PRODS CO COM	B	4,383.15	3.16	10.00	-6.84	70.0	-7.79
12/04/2017	QGV002942 ASSOCIATES, F/A/O	SONOCO PRODS CO COM	B	37,262.79	26.80	10.00	16.80	70.0	8.76
12/11/2017	QGV002942 ASSOCIATES, F/A/O	ALLSCRIPTS HEALTHCARE SOLUTIONS INC	B	920,075.72	1,307.00	10.00	1,297.00	70.0	904.90
12/11/2017	QGV002942 ASSOCIATES, F/A/O	BROOKS AUTOMATION INC NEW COM	B	920,981.19	768.40	10.00	758.40	70.0	527.88
12/12/2017	QGV002942 ASSOCIATES, F/A/O	MICROSOFT CORP	B	7,273.34	3.40	10.00	-6.60	70.0	-7.62
12/12/2017	QGV002942 ASSOCIATES, F/A/O	NATIONAL INSTRUMENTS CORP	S	-9,178.02	8.68	10.00	-1.32	70.0	-3.92
12/12/2017	QGV002942 ASSOCIATES, F/A/O	PFIZER INCORPORATED	B	93,661.50	102.52	10.00	92.52	70.0	61.76
12/13/2017	QGV002942 ASSOCIATES, F/A/O	MARSH & MCLENNAN COMPANIES INC	S	-105,527.14	50.40	10.00	40.40	70.0	25.28
12/13/2017	QGV002942 ASSOCIATES, F/A/O	MARSH & MCLENNAN COMPANIES INC	S	-11,853.84	5.64	10.00	-4.36	70.0	-6.05
12/13/2017	QGV002942 ASSOCIATES, F/A/O	PFIZER INCORPORATED	B	62,705.70	68.40	10.00	58.40	70.0	37.88
12/20/2017	QGV002942 ASSOCIATES, F/A/O	AMGEN INC	B	46,499.23	10.40	10.00	0.40	70.0	-2.72
12/20/2017	QGV002942 ASSOCIATES, F/A/O	EATON CORPORATION PLC	B	51,924.93	26.80	10.00	16.80	70.0	8.76
12/20/2017	QGV002942 ASSOCIATES, F/A/O	GENERAL MILLS INC	B	49,308.50	34.00	10.00	24.00	70.0	13.80
12/20/2017	QGV002942 ASSOCIATES, F/A/O	MARSH & MCLENNAN COMPANIES INC	S	-61,092.03	29.60	10.00	19.60	70.0	10.72
12/27/2017	QGV002942 ASSOCIATES, F/A/O	HALLIBURTON COMPANY	B	132,469.68	108.76	10.00	98.76	70.0	66.13
11/29/2017	QGV002959 PERSHING LLC	AEHR TEST SYS COM	S	-69,654.00	260.00	10.00	250.00	70.0	172.00
11/29/2017	QGV002959 PERSHING LLC	APPLIED MINERALS INC	S	-8,219.06	50.00	10.00	40.00	70.0	25.00
11/29/2017	QGV002959 PERSHING LLC	FCB FINL HLDGS COM SHS A	B	194,316.82	148.40	10.00	138.40	70.0	93.88
11/29/2017	QGV002959 PERSHING LLC	HUNTINGTON BANCSHARES INC	B	11,710.66	24.30	10.00	14.30	70.0	7.01
11/29/2017	QGV002959 PERSHING LLC	IBERIABANK CORP COM	B	172,295.09	88.80	10.00	78.80	70.0	52.16
11/29/2017	QGV002959 PERSHING LLC	PURE CYCLE CORP COM	S	-330,905.27	472.29	10.00	462.29	70.0	320.60
11/29/2017	QGV002959 PERSHING LLC	ROYAL DUTCH SHELL PLC SPONSORED ADR	B	172,809.19	104.56	10.00	94.56	70.0	63.19
11/30/2017	QGV002959 PERSHING LLC	AEHR TEST SYS COM	S	-55,613.81	216.59	10.00	206.59	70.0	141.61
11/30/2017	QGV002959 PERSHING LLC	HUNTINGTON BANCSHARES INC	B	119,058.13	244.14	10.00	234.14	70.0	160.90
11/30/2017	QGV002959 PERSHING LLC	PURE CYCLE CORP COM	S	-64,638.10	92.24	10.00	82.24	70.0	54.57
12/01/2017	QGV002959 PERSHING LLC	AEHR TEST SYS COM	S	-62,313.87	245.63	10.00	235.63	70.0	161.94
12/01/2017	QGV002959 PERSHING LLC	PURE CYCLE CORP COM	S	-178,954.38	263.82	10.00	253.82	70.0	174.67
12/04/2017	QGV002959 PERSHING LLC	AEHR TEST SYS COM	S	-92,241.33	370.73	10.00	360.73	70.0	249.51
12/04/2017	QGV002959 PERSHING LLC	APPLIED MINERALS INC	S	-6,300.13	50.00	10.00	40.00	70.0	25.00
12/04/2017	QGV002959 PERSHING LLC	PURE CYCLE CORP COM	S	-110,970.01	164.82	10.00	154.82	70.0	105.37
12/04/2017	QGV002959 PERSHING LLC	SONOCO PRODS CO COM	B	131,217.06	94.60	10.00	84.60	70.0	56.22
12/04/2017	QGV002959 PERSHING LLC	SONOCO PRODS CO COM	B	14,460.19	10.40	10.00	0.40	70.0	-2.72
12/05/2017	QGV002959 PERSHING LLC	AEHR TEST SYS COM	S	-99,371.53	377.05	10.00	367.05	70.0	253.94
12/05/2017	QGV002959 PERSHING LLC	APPLIED MINERALS INC	S	-28,785.00	50.00	10.00	40.00	70.0	25.00
12/05/2017	QGV002959 PERSHING LLC	PURE CYCLE CORP COM	S	-68,051.11	101.05	10.00	91.05	70.0	60.74
12/06/2017	QGV002959 PERSHING LLC	AEHR TEST SYS COM	S	-83,401.78	313.93	10.00	303.93	70.0	209.75
12/06/2017	QGV002959 PERSHING LLC	APPLIED MINERALS INC	S	-11,371.20	50.00	10.00	40.00	70.0	25.00
12/07/2017	QGV002959 PERSHING LLC	APPLIED MINERALS INC	S	-15,590.40	50.00	10.00	40.00	70.0	25.00
12/08/2017	QGV002959 PERSHING LLC	AEHR TEST SYS COM	S	-305,451.78	1,195.74	10.00	1,185.74	70.0	827.02

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
12/08/2017	QGV002959	PERSHING LLC		APPLIED MINERALS INC	S	-3,675.69	50.00	10.00	40.00	70.0	25.00
12/11/2017	QGV002959	PERSHING LLC		AEHR TEST SYS COM	S	-139,120.59	537.00	10.00	527.00	70.0	365.90
12/11/2017	QGV002959	PERSHING LLC		ALLSCRIPTS HEALTHCARE SOLUTIONS INC	B	975,266.18	1,385.40	10.00	1,375.40	70.0	959.78
12/11/2017	QGV002959	PERSHING LLC		APPLIED MINERALS INC	S	-4,629.18	50.00	10.00	40.00	70.0	25.00
12/11/2017	QGV002959	PERSHING LLC		BROOKS AUTOMATION INC NEW COM	B	976,355.12	814.60	10.00	804.60	70.0	560.22
12/12/2017	QGV002959	PERSHING LLC		AEHR TEST SYS COM	S	-348,088.00	1,338.80	10.00	1,328.80	70.0	927.16
12/12/2017	QGV002959	PERSHING LLC		APPLIED MINERALS INC	S	-580.00	50.00	10.00	40.00	70.0	25.00
12/12/2017	QGV002959	PERSHING LLC		MICROSOFT CORP	B	231,976.75	108.44	10.00	98.44	70.0	65.91
12/12/2017	QGV002959	PERSHING LLC		NATIONAL INSTRUMENTS CORP	S	-301,436.47	285.08	10.00	275.08	70.0	189.56
12/12/2017	QGV002959	PERSHING LLC		PFIZER INCORPORATED	B	230,042.59	251.80	10.00	241.80	70.0	166.26
12/12/2017	QGV002959	PERSHING LLC		PFIZER INCORPORATED	B	25,652.62	28.00	10.00	18.00	70.0	9.60
12/13/2017	QGV002959	PERSHING LLC		AEHR TEST SYS COM	S	-373,686.00	1,415.96	10.00	1,405.96	70.0	981.17
12/13/2017	QGV002959	PERSHING LLC		MARSH & MCLENNAN COMPANIES INC	S	-391,765.27	186.40	10.00	176.40	70.0	120.48
12/13/2017	QGV002959	PERSHING LLC		MARSH & MCLENNAN COMPANIES INC	S	-39,363.30	18.80	10.00	8.80	70.0	3.16
12/14/2017	QGV002959	PERSHING LLC		AEHR TEST SYS COM	S	-285,045.64	1,004.00	10.00	994.00	70.0	692.80
12/14/2017	QGV002959	PERSHING LLC		ALLSCRIPTS HEALTHCARE SOLUTIONS INC	B	32,404.78	45.20	10.00	35.20	70.0	21.64
12/14/2017	QGV002959	PERSHING LLC		BROOKS AUTOMATION INC NEW COM	B	32,479.93	53.20	10.00	43.20	70.0	27.24
12/15/2017	QGV002959	PERSHING LLC		AEHR TEST SYS COM	S	-137,240.13	451.24	10.00	441.24	70.0	305.87
12/20/2017	QGV002959	PERSHING LLC		AMGEN INC	B	19,672.75	4.40	10.00	-5.60	70.0	-6.92
12/20/2017	QGV002959	PERSHING LLC		APPLIED MINERALS INC	S	-40,496.13	50.00	10.00	40.00	70.0	25.00
12/20/2017	QGV002959	PERSHING LLC		EATON CORPORATION PLC	B	20,149.97	10.40	10.00	0.40	70.0	-2.72
12/20/2017	QGV002959	PERSHING LLC		GENERAL MILLS INC	B	20,303.50	14.00	10.00	4.00	70.0	-0.20
12/20/2017	QGV002959	PERSHING LLC		MARSH & MCLENNAN COMPANIES INC	S	-23,115.90	11.20	10.00	1.20	70.0	-2.16
12/26/2017	QGV002959	PERSHING LLC		APPLIED MINERALS INC	S	-5,888.96	50.00	10.00	40.00	70.0	25.00
12/27/2017	QGV002959	PERSHING LLC		APPLIED MINERALS INC	S	-1,800.00	50.00	10.00	40.00	70.0	25.00
12/27/2017	QGV002959	PERSHING LLC		HALLIBURTON COMPANY	B	35,565.60	29.20	10.00	19.20	70.0	10.44
11/29/2017	QGV006034	BANK OF AMERICA		FCB FINL HLDGS COM SHS A	B	17,284.25	13.20	10.00	3.20	70.0	-0.76
11/29/2017	QGV006034	BANK OF AMERICA		HUNTINGTON BANCSHARES INC	B	2,024.06	4.20	10.00	-5.80	70.0	-7.06
11/29/2017	QGV006034	BANK OF AMERICA		IBERIABANK CORP COM	B	13,969.87	7.20	10.00	-2.80	70.0	-4.96
11/29/2017	QGV006034	BANK OF AMERICA		ROYAL DUTCH SHELL PLC SPONSORED ADR	B	4,167.15	2.60	10.00	-7.40	70.0	-8.18
11/30/2017	QGV006034	BANK OF AMERICA		HUNTINGTON BANCSHARES INC	B	12,192.62	24.90	10.00	14.90	70.0	7.43
12/04/2017	QGV006034	BANK OF AMERICA		ANDEAVOR LOGISTICS LP COM UNIT LTD	B	23,426.75	20.00	10.00	10.00	70.0	4.00
12/04/2017	QGV006034	BANK OF AMERICA		ONEOK INC	B	18,619.75	14.20	10.00	4.20	70.0	-0.06
12/04/2017	QGV006034	BANK OF AMERICA		SONOCO PRODS CO COM	B	2,502.72	1.80	10.00	-8.20	70.0	-8.74
12/11/2017	QGV006034	BANK OF AMERICA		ALLSCRIPTS HEALTHCARE SOLUTIONS INC	B	54,204.92	77.00	10.00	67.00	70.0	43.90
12/11/2017	QGV006034	BANK OF AMERICA		BROOKS AUTOMATION INC NEW COM	B	86,057.33	71.80	10.00	61.80	70.0	40.26
12/12/2017	QGV006034	BANK OF AMERICA		PFIZER INCORPORATED	B	4,397.59	4.80	10.00	-5.20	70.0	-6.64
12/13/2017	QGV006034	BANK OF AMERICA		MARSH & MCLENNAN COMPANIES INC	S	-6,700.14	3.20	10.00	-6.80	70.0	-7.76
12/13/2017	QGV006034	BANK OF AMERICA		MICROSOFT CORP	B	23,934.40	11.20	10.00	1.20	70.0	-2.16
12/13/2017	QGV006034	BANK OF AMERICA		PFIZER INCORPORATED	B	26,035.70	28.40	10.00	18.40	70.0	9.88

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
12/14/2017	QGV006034	BANK OF AMERICA	ALLSCRIPTS HEALTHCARE SOLUTIONS INC	B	32,404.78	45.20	10.00	35.20	70.0	21.64	
12/20/2017	QGV006034	BANK OF AMERICA	AMGEN INC	B	4,471.08	1.00	10.00	-9.00	70.0	-9.30	
12/20/2017	QGV006034	BANK OF AMERICA	EATON CORPORATION PLC	B	3,875.00	2.00	10.00	-8.00	70.0	-8.60	
12/20/2017	QGV006034	BANK OF AMERICA	GENERAL MILLS INC	B	3,480.60	2.40	10.00	-7.60	70.0	-8.32	
12/20/2017	QGV006034	BANK OF AMERICA	MARSH & MCLENNAN COMPANIES INC	S	-4,127.84	2.00	10.00	-8.00	70.0	-8.60	
12/22/2017	QGV006034	BANK OF AMERICA	GENERAL ELECTRIC CO	S	-83,934.50	192.40	10.00	182.40	70.0	124.68	
12/26/2017	QGV006034	BANK OF AMERICA	CALLON PETROLEUM CO-DEL	B	31,131.04	52.20	10.00	42.20	70.0	26.54	
12/26/2017	QGV006034	BANK OF AMERICA	CIRCOR INTL INC COM	B	19,207.96	16.00	10.00	6.00	70.0	1.20	
12/26/2017	QGV006034	BANK OF AMERICA	FORUM ENERGY TECHNOLOGIES INC COM I	B	23,863.84	31.40	10.00	21.40	70.0	11.98	
12/26/2017	QGV006034	BANK OF AMERICA	GLATFELTER P H CO COM	B	27,812.40	51.60	10.00	41.60	70.0	26.12	
12/26/2017	QGV006034	BANK OF AMERICA	MACOM TECHNOLOGY SOLUTIONS HLDGS IN	B	39,542.68	48.40	10.00	38.40	70.0	23.88	
12/26/2017	QGV006034	BANK OF AMERICA	PHYSICIANS RLTY TR COM	B	34,137.60	76.80	10.00	66.80	70.0	43.76	
12/27/2017	QGV006034	BANK OF AMERICA	HALLIBURTON COMPANY	B	65,577.12	53.84	10.00	43.84	70.0	27.69	
* STOCKS SUBTOTAL *					\$10,337,471.04	\$25,036.72	\$2,020.00	\$23,016.72		\$15,505.71	
PRODUCT CATEGORY: OPTIONS											
12/05/2017	75500360	BOYCE, ANN HAGERTY	PUT C	09/21/18 67.50	B	1,310.00	30.00	10.00	20.00	70.0	11.00
12/05/2017	75500360	BOYCE, ANN HAGERTY	PUT C	12/30/16 60	S	3,200.00	30.00	10.00	20.00	70.0	11.00
12/13/2017	75500360	BOYCE, ANN HAGERTY	PUT BABA	07/20/18 175	S	15,190.00	40.00	10.00	30.00	70.0	18.00
12/27/2017	75500360	BOYCE, ANN HAGERTY	PUT WPM	06/15/18 22.50	S	3,800.00	40.00	10.00	30.00	70.0	18.00
12/08/2017	75500361	BOYCE, JOHN C	PUT HL	01/19/18 7	B	7,436.00	20.00	10.00	10.00	70.0	4.00
12/27/2017	75500361	BOYCE, JOHN C	PUT HL	01/18/19 5	S	12,800.00	40.00	10.00	30.00	70.0	18.00
12/06/2017	75500370	HUBARD, MARGARET	PUT BABA	06/15/18 145	B	19,740.00	50.00	10.00	40.00	70.0	25.00
12/06/2017	75500370	HUBARD, MARGARET	PUT BABA	01/18/19 180	S	38,100.00	60.00	10.00	50.00	70.0	32.00
12/06/2017	75500370	HUBARD, MARGARET	PUT MMLP	01/19/18 25	B	24,140.00	50.00	10.00	40.00	70.0	25.00
12/06/2017	75500370	HUBARD, MARGARET	PUT MMLP	07/20/18 25	S	25,440.00	50.00	10.00	40.00	70.0	25.00
12/06/2017	75500385	HUBARD, JOHN	PUT DIS	09/21/18 100	S	2,850.00	40.00	10.00	30.00	70.0	18.00
12/20/2017	75500385	HUBARD, JOHN	PUT KHC	01/19/18 85	B	7,100.00	40.00	10.00	30.00	70.0	18.00
12/20/2017	75500385	HUBARD, JOHN	PUT KHC	07/20/18 85	S	8,700.00	40.00	10.00	30.00	70.0	18.00
12/13/2017	75500424	JOHN B HUBARD TTEE	PUT KHC	01/19/18 85	B	5,800.00	40.00	10.00	30.00	70.0	18.00
12/13/2017	75500424	JOHN B HUBARD TTEE	PUT KHC	07/20/18 85	S	7,840.00	40.00	10.00	30.00	70.0	18.00
12/22/2017	75500424	JOHN B HUBARD TTEE	PUT APC	08/17/18 55	S	6,000.00	40.00	10.00	30.00	70.0	18.00
12/27/2017	75500424	JOHN B HUBARD TTEE	PUT MMLP	04/20/18 15	S	3,760.00	60.00	10.00	50.00	70.0	32.00
* OPTIONS SUBTOTAL *					\$193,206.00	\$710.00	\$170.00	\$540.00		\$327.00	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
12/11/2017	75500378	CLOUGH, CHARLES EVANS	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	49.08	0.00	49.08	70.0	34.36	
12/11/2017	75500389	STEM, RICHARD	COLUMBIA FDS SER TR II MASS SELIGMA	B	0.00	4.07	0.00	4.07	70.0	2.85	
12/11/2017	75500389	STEM, RICHARD	COLUMBIA SELIGMAN COMMUNICATIONS AN	B	0.00	8.48	0.00	8.48	70.0	5.94	
12/11/2017	75500394	SKELTON, NANCY	NEW WORLD FUND-A	B	0.00	5.14	0.00	5.14	70.0	3.60	

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$66.77	\$0.00	\$66.77	\$46.75
*** BROKER TRANSACTIONS TOTAL ***	\$10,530,677.04	\$25,813.49	\$2,190.00	\$23,623.49	\$15,879.46

ADJUSTMENTS

12/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
12/31/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
12/31/2017	OTHER: OTHER-STATE REGIST	0.00	0.00	0.00	0.00	-782.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-810.00

BROKER COMMISSION TOTALS

Broker Transactions	\$15,879.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-810.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-32.27
SIPC Assessment	\$-64.53

Check Amount	\$14,972.66
Year-to-date Earnings	\$77,874.45