

**COMMISSION STATEMENT****July 31, 2018**

R145-RICHARD RODRIGUEZ, JR.
3854 E. County Road 466
Suite A
Oxford, FL 34484

BROKER #: R145
BRANCH: RICHARD RODRIGUEZ JR

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|----------------|-----------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u> | | | | | | | | |
| 06/12/2018 | MINTZ, DOUGLAS | HPI REAL ESTATE FUND VI LLC | 50,000.00 | 3,000.00 | 0.00 | 3,000.00 | 90.0 | 2,700.00 |
| * PRIVATE PLACEMENT SUBTOTAL * | | | \$50,000.00 | \$3,000.00 | \$0.00 | \$3,000.00 | | \$2,700.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| / / | HALL, MICHAEL | INA COLLEGE PORT CLASS A | 0.00 | 18.66 | 0.00 | 18.66 | 90.0 | 16.79 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$18.66 | \$0.00 | \$18.66 | | \$16.79 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$50,000.00 | \$3,018.66 | \$0.00 | \$3,018.66 | | \$2,716.79 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$2,716.79 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$2,716.79 |
| Year-to-date Gross | \$52,129.60 |
| Year-to-date Earnings | \$46,916.63 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed