



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 30, 2017

04/27/2017
Page No. 1

MICHELE BOYER
9561 Deerhorn Court
see rep list for mailing addre
Parker, CO 80134

BROKER #: B144 Terminated 09/15/2016
BRANCH: MICHELE BOYER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
03/31/2017	MEDIGER, KYLE	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	29.59	0.00	29.59	0.0	0.00
		* REIT SUBTOTAL *	\$0.00	\$29.59	\$0.00	\$29.59		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/10/2017	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	22.64	0.23	0.00	0.23	0.0	0.00
04/19/2017	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	30.16	0.30	0.00	0.30	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	36.63	0.64	0.00	0.64	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	10.99	0.19	0.00	0.19	0.0	0.00
04/10/2017	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	18.00	0.32	0.00	0.32	0.0	0.00
04/10/2017	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	18.00	0.32	0.00	0.32	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	46.56	0.81	0.00	0.81	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	13.97	0.24	0.00	0.24	0.0	0.00
04/19/2017	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	22.50	0.39	0.00	0.39	0.0	0.00
04/19/2017	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	22.50	0.39	0.00	0.39	0.0	0.00
04/10/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	21.57	0.22	0.00	0.22	0.0	0.00
04/10/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	21.57	0.22	0.00	0.22	0.0	0.00
04/10/2017	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	404.54	4.05	0.00	4.05	0.0	0.00
04/10/2017	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	121.36	1.21	0.00	1.21	0.0	0.00
04/10/2017	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	156.00	1.56	0.00	1.56	0.0	0.00
04/10/2017	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	156.00	1.56	0.00	1.56	0.0	0.00
04/19/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	31.50	0.32	0.00	0.32	0.0	0.00
04/19/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	31.50	0.32	0.00	0.32	0.0	0.00
04/19/2017	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	528.86	5.29	0.00	5.29	0.0	0.00
04/19/2017	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	158.65	1.59	0.00	1.59	0.0	0.00
04/19/2017	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	195.00	1.95	0.00	1.95	0.0	0.00
04/19/2017	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	195.00	1.95	0.00	1.95	0.0	0.00
04/10/2017	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	37.07	0.37	0.00	0.37	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/10/2017	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	37.07	0.37	0.00	0.37	0.0	0.00
04/19/2017	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	43.79	0.44	0.00	0.44	0.0	0.00
04/19/2017	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	43.79	0.44	0.00	0.44	0.0	0.00
04/10/2017	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	108.00	1.89	0.00	1.89	0.0	0.00
04/10/2017	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	108.00	1.89	0.00	1.89	0.0	0.00
04/19/2017	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	135.00	2.36	0.00	2.36	0.0	0.00
04/19/2017	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	135.00	2.36	0.00	2.36	0.0	0.00
04/10/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	21.57	0.22	0.00	0.22	0.0	0.00
04/10/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	21.57	0.22	0.00	0.22	0.0	0.00
04/10/2017	EMERSON, JAMES	INVESCO CHARTER FUND C	173.38	1.73	0.00	1.73	0.0	0.00
04/10/2017	EMERSON, JAMES	INVESCO CHARTER FUND C	52.01	0.52	0.00	0.52	0.0	0.00
04/19/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	31.50	0.32	0.00	0.32	0.0	0.00
04/19/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	31.50	0.32	0.00	0.32	0.0	0.00
04/19/2017	EMERSON, JAMES	INVESCO CHARTER FUND C	226.66	2.27	0.00	2.27	0.0	0.00
04/19/2017	EMERSON, JAMES	INVESCO CHARTER FUND C	68.00	0.68	0.00	0.68	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO COMSTOCK A	36.62	0.64	0.00	0.64	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO COMSTOCK A	10.99	0.19	0.00	0.19	0.0	0.00
04/10/2017	NOEL, ANTHONY	INVESCO COMSTOCK A	18.00	0.32	0.00	0.32	0.0	0.00
04/10/2017	NOEL, ANTHONY	INVESCO COMSTOCK A	18.00	0.32	0.00	0.32	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO COMSTOCK A	46.56	0.81	0.00	0.81	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO COMSTOCK A	13.98	0.24	0.00	0.24	0.0	0.00
04/19/2017	NOEL, ANTHONY	INVESCO COMSTOCK A	22.50	0.39	0.00	0.39	0.0	0.00
04/19/2017	NOEL, ANTHONY	INVESCO COMSTOCK A	22.50	0.39	0.00	0.39	0.0	0.00
04/10/2017	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	37.08	0.37	0.00	0.37	0.0	0.00
04/10/2017	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	37.08	0.37	0.00	0.37	0.0	0.00
04/10/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	42.78	0.43	0.00	0.43	0.0	0.00
04/10/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	21.39	0.21	0.00	0.21	0.0	0.00
04/19/2017	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	43.79	0.44	0.00	0.44	0.0	0.00
04/19/2017	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	43.79	0.44	0.00	0.44	0.0	0.00
04/19/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	57.50	0.58	0.00	0.58	0.0	0.00
04/19/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	28.75	0.29	0.00	0.29	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	29.30	0.51	0.00	0.51	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	8.79	0.15	0.00	0.15	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/19/2017	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	37.25	0.65	0.00	0.65	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	11.18	0.20	0.00	0.20	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO ENERGY FUND A	32.97	0.58	0.00	0.58	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO ENERGY FUND A	9.89	0.17	0.00	0.17	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO ENERGY FUND A	41.91	0.73	0.00	0.73	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO ENERGY FUND A	12.57	0.22	0.00	0.22	0.0	0.00
04/10/2017	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	39.39	0.39	0.00	0.39	0.0	0.00
04/10/2017	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	39.39	0.39	0.00	0.39	0.0	0.00
04/19/2017	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	46.52	0.47	0.00	0.47	0.0	0.00
04/19/2017	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	46.52	0.47	0.00	0.47	0.0	0.00
04/10/2017	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	207.14	2.07	0.00	2.07	0.0	0.00
04/10/2017	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	207.14	2.07	0.00	2.07	0.0	0.00
04/19/2017	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	262.80	2.63	0.00	2.63	0.0	0.00
04/19/2017	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	262.80	2.63	0.00	2.63	0.0	0.00
04/10/2017	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	18.00	0.32	0.00	0.32	0.0	0.00
04/10/2017	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	18.00	0.32	0.00	0.32	0.0	0.00
04/19/2017	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	22.50	0.39	0.00	0.39	0.0	0.00
04/19/2017	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	22.50	0.39	0.00	0.39	0.0	0.00
04/10/2017	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	39.39	0.39	0.00	0.39	0.0	0.00
04/10/2017	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	39.39	0.39	0.00	0.39	0.0	0.00
04/19/2017	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	46.52	0.47	0.00	0.47	0.0	0.00
04/19/2017	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	46.52	0.47	0.00	0.47	0.0	0.00
04/10/2017	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	11.33	0.11	0.00	0.11	0.0	0.00
04/19/2017	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	15.07	0.15	0.00	0.15	0.0	0.00
04/10/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	32.09	0.32	0.00	0.32	0.0	0.00
04/10/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	16.04	0.16	0.00	0.16	0.0	0.00
04/19/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	43.12	0.43	0.00	0.43	0.0	0.00
04/19/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	21.56	0.22	0.00	0.22	0.0	0.00
04/10/2017	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	39.39	0.39	0.00	0.39	0.0	0.00
04/10/2017	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	39.39	0.39	0.00	0.39	0.0	0.00
04/19/2017	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	46.52	0.47	0.00	0.47	0.0	0.00
04/19/2017	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	46.52	0.47	0.00	0.47	0.0	0.00
04/10/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	42.76	0.43	0.00	0.43	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/10/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	21.39	0.21	0.00	0.21	0.0	0.00
04/19/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	57.51	0.58	0.00	0.58	0.0	0.00
04/19/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	28.75	0.29	0.00	0.29	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	54.94	0.96	0.00	0.96	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	16.48	0.29	0.00	0.29	0.0	0.00
04/10/2017	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	12.00	0.21	0.00	0.21	0.0	0.00
04/10/2017	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	12.00	0.21	0.00	0.21	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	69.85	1.22	0.00	1.22	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	20.95	0.37	0.00	0.37	0.0	0.00
04/19/2017	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	15.00	0.26	0.00	0.26	0.0	0.00
04/19/2017	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	15.00	0.26	0.00	0.26	0.0	0.00
04/10/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	32.09	0.32	0.00	0.32	0.0	0.00
04/10/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	16.04	0.16	0.00	0.16	0.0	0.00
04/19/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	43.12	0.43	0.00	0.43	0.0	0.00
04/19/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	21.56	0.22	0.00	0.22	0.0	0.00
04/10/2017	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	22.64	0.23	0.00	0.23	0.0	0.00
04/19/2017	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	30.16	0.30	0.00	0.30	0.0	0.00
04/10/2017	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	33.97	0.34	0.00	0.34	0.0	0.00
04/19/2017	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	45.23	0.45	0.00	0.45	0.0	0.00
04/10/2017	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	12.00	0.21	0.00	0.21	0.0	0.00
04/10/2017	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	12.00	0.21	0.00	0.21	0.0	0.00
04/19/2017	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	15.00	0.26	0.00	0.26	0.0	0.00
04/19/2017	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	15.00	0.26	0.00	0.26	0.0	0.00
04/10/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	32.09	0.32	0.00	0.32	0.0	0.00
04/10/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	16.04	0.16	0.00	0.16	0.0	0.00
04/19/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	43.12	0.43	0.00	0.43	0.0	0.00
04/19/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	21.56	0.22	0.00	0.22	0.0	0.00
04/10/2017	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	22.64	0.23	0.00	0.23	0.0	0.00
04/19/2017	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	30.16	0.30	0.00	0.30	0.0	0.00
04/10/2017	KINNEY, THOMAS	INVESCO MONEY MARKET C	132.00	1.32	0.00	1.32	0.0	0.00
04/10/2017	KINNEY, THOMAS	INVESCO MONEY MARKET C	132.00	1.32	0.00	1.32	0.0	0.00
04/19/2017	KINNEY, THOMAS	INVESCO MONEY MARKET C	165.00	1.65	0.00	1.65	0.0	0.00
04/19/2017	KINNEY, THOMAS	INVESCO MONEY MARKET C	165.00	1.65	0.00	1.65	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/10/2017	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	39.39	0.39	0.00	0.39	0.0	0.00
04/10/2017	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	39.39	0.39	0.00	0.39	0.0	0.00
04/19/2017	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	46.52	0.47	0.00	0.47	0.0	0.00
04/19/2017	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	46.52	0.47	0.00	0.47	0.0	0.00
04/10/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	32.09	0.32	0.00	0.32	0.0	0.00
04/10/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	16.04	0.16	0.00	0.16	0.0	0.00
04/19/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	43.12	0.43	0.00	0.43	0.0	0.00
04/19/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	21.56	0.22	0.00	0.22	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	36.63	0.64	0.00	0.64	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	10.99	0.19	0.00	0.19	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	46.56	0.81	0.00	0.81	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	13.97	0.24	0.00	0.24	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO SUMMIT FUND A	54.94	0.96	0.00	0.96	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO SUMMIT FUND A	16.48	0.29	0.00	0.29	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO SUMMIT FUND A	69.85	1.22	0.00	1.22	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO SUMMIT FUND A	20.95	0.37	0.00	0.37	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO TECHNOLOGY A	32.97	0.58	0.00	0.58	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO TECHNOLOGY A	9.89	0.17	0.00	0.17	0.0	0.00
04/10/2017	NOEL, ANTHONY	INVESCO TECHNOLOGY A	18.00	0.32	0.00	0.32	0.0	0.00
04/10/2017	NOEL, ANTHONY	INVESCO TECHNOLOGY A	18.00	0.32	0.00	0.32	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO TECHNOLOGY A	41.91	0.73	0.00	0.73	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO TECHNOLOGY A	12.57	0.22	0.00	0.22	0.0	0.00
04/19/2017	NOEL, ANTHONY	INVESCO TECHNOLOGY A	22.50	0.39	0.00	0.39	0.0	0.00
04/19/2017	NOEL, ANTHONY	INVESCO TECHNOLOGY A	22.50	0.39	0.00	0.39	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO UTILITIES A	32.97	0.58	0.00	0.58	0.0	0.00
04/10/2017	HAWKINS, DAMON	INVESCO UTILITIES A	9.89	0.17	0.00	0.17	0.0	0.00
04/10/2017	NOEL, ANTHONY	INVESCO UTILITIES A	18.00	0.32	0.00	0.32	0.0	0.00
04/10/2017	NOEL, ANTHONY	INVESCO UTILITIES A	18.00	0.32	0.00	0.32	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO UTILITIES A	41.91	0.73	0.00	0.73	0.0	0.00
04/19/2017	HAWKINS, DAMON	INVESCO UTILITIES A	12.57	0.22	0.00	0.22	0.0	0.00
04/19/2017	NOEL, ANTHONY	INVESCO UTILITIES A	22.50	0.39	0.00	0.39	0.0	0.00
04/19/2017	NOEL, ANTHONY	INVESCO UTILITIES A	22.50	0.39	0.00	0.39	0.0	0.00
04/03/2017	ROFFEY, TASHA	PIMCO COMMODITY REAL RETURN STRATEG	0.00	3.80	0.00	3.80	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/03/2017	ROFFEY, TASHA	PIMCO TOTAL RETURN A	0.00	8.17	0.00	8.17	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$8,234.86	\$109.54	\$0.00	\$109.54		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$8,234.86	\$139.13	\$0.00	\$139.13		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$2,200.91
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed