



# COMMISSION STATEMENT

April 16, 2018

SAMUEL BARBER  
327 Church St  
Naugatuck, CT 06770

BROKER #: B06  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/13/2018	***-**-176J - MANDEL, MICHAEL	COHEN & STEERS REALTY SHARES-NFS	16,098.85	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000225	SELL 273 Units @59.0400						
04/13/2018	***-**-176J - MANDEL, MICHAEL	DFA INTERNATIONAL CORE EQUITY-NFS	25,121.87	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000225	SELL 1716 Units @14.6400						
04/13/2018	***-**-176J - MANDEL, MICHAEL	DFS EMERGING MRKTS CORE EQU PORT F-	16,657.27	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000225	SELL 706 Units @23.5800						
04/13/2018	***-**-176J - MANDEL, MICHAEL	FIRST EAGLE GLOBAL CLASS I-NFS	10,186.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000225	SELL 172 Units @59.1800						
* MUTUAL FUNDS SUBTOTAL *			<b>\$68,063.99</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
04/10/2018	***-**-76FB - MANDEL, MICHAEL	VANGUARD FTSE DEVELOPED MARKET ETF	538.27	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000216	SELL 12 Units @44.8560						
* STOCKS SUBTOTAL *			<b>\$538.27</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>								
04/16/2018	***-**-24FB - IMBRIALE, DONALD	MORNINGSTAR ADVISOR FEE	0.00	871.43	0.00	871.43	75.0	653.57
		BUY 1 Units @0.0000						
04/16/2018	***-**-57FB - LINDSEY, HEATHER	MORNINGSTAR ADVISOR FEE	0.00	411.59	0.00	411.59	75.0	308.69
		BUY 1 Units @0.0000						
04/16/2018	***-**-34FB - RODESCHINI,	MORNINGSTAR ADVISOR FEE	0.00	1,214.83	0.00	1,214.83	75.0	911.12
		BUY 1 Units @0.0000						
04/16/2018	***-**-04FB - WARD, DANIELLE	MORNINGSTAR ADVISOR FEE	0.00	195.54	0.00	195.54	75.0	146.66
		BUY 1 Units @0.0000						
04/16/2018	***-**-31FB - BARNARD,	MORNINGSTAR ADVISOR FEE	0.00	507.81	0.00	507.81	75.0	380.86
		BUY 1 Units @0.0000						
04/16/2018	***-**-45FB - RODESCHINI, LISA	MORNINGSTAR ADVISOR FEE	0.00	128.71	0.00	128.71	75.0	96.53
		BUY 1 Units @0.0000						
04/16/2018	***-**-74IO - BRENNAN, JOHN	MORNINGSTAR ADVISOR FEE	0.00	606.46	0.00	606.46	75.0	454.85
		BUY 1 Units @0.0000						
04/16/2018	***-**-65FB - OAKLEY, EDWARD	MORNINGSTAR ADVISOR FEE	149.36	149.36	0.00	149.36	75.0	112.02
	ACCOUNT #: 100990833	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: RIA (continued)</b>								
04/16/2018	***-**-68FB - NILAND, MARY	MORNINGSTAR ADVISOR FEE	8.84	8.84	0.00	8.84	75.0	6.63
	ACCOUNT #: 101299826	BUY 0 Units @0.0000						
04/16/2018	***-**-94FB - FINELLI, NANCY	MORNINGSTAR ADVISOR FEE	295.82	295.82	0.00	295.82	75.0	221.87
	ACCOUNT #: 101065148	BUY 0 Units @0.0000						
04/16/2018	***-**-05FB - SMITH, MELANIE	MORNINGSTAR ADVISOR FEE	174.85	174.85	0.00	174.85	75.0	131.14
	ACCOUNT #: 101291348	BUY 0 Units @0.0000						
04/16/2018	***-**-41FB - FURNARY, TIMOTHY	MORNINGSTAR ADVISOR FEE	63.63	63.63	0.00	63.63	75.0	47.72
	ACCOUNT #: 101291375	BUY 0 Units @0.0000						
04/16/2018	***-**-49FB - LINHART, ZACHARY	MORNINGSTAR ADVISOR FEE	50.51	50.51	0.00	50.51	75.0	37.88
	ACCOUNT #: 101293381	BUY 0 Units @0.0000						
04/16/2018	***-**-95FB - GUTHRIE, RYAN	MORNINGSTAR ADVISOR FEE	255.25	255.25	0.00	255.25	75.0	191.44
	ACCOUNT #: 101293948	BUY 0 Units @0.0000						
04/16/2018	***-**-17FB - REAGAN, MATTHEW	MORNINGSTAR ADVISOR FEE	124.65	124.65	0.00	124.65	75.0	93.49
	ACCOUNT #: 101294130	BUY 0 Units @0.0000						
04/16/2018	***-**-2696 - IGNACIO, CECILIA	MORNINGSTAR ADVISOR FEE	271.16	271.16	0.00	271.16	75.0	203.37
	ACCOUNT #: 101299004	BUY 0 Units @0.0000						
04/16/2018	***-**-12FB - NORIEGA, CARLOS	MORNINGSTAR ADVISOR FEE	299.22	299.22	0.00	299.22	75.0	224.42
	ACCOUNT #: 101300883	BUY 0 Units @0.0000						
04/16/2018	***-**-76FB - MANDEL, MICHAEL	MORNINGSTAR ADVISOR FEE	92.17	92.17	0.00	92.17	75.0	69.13
	ACCOUNT #: 101302798	BUY 0 Units @0.0000						
04/16/2018	***-**-20FB - CAREY, EDWARD	MORNINGSTAR ADVISOR FEE	9.83	9.83	0.00	9.83	75.0	7.37
	ACCOUNT #: 101319871	BUY 0 Units @0.0000						
04/16/2018	***-**-20FB - BARBER, SAMUEL	MORNINGSTAR ADVISOR FEE	15.52	15.52	0.00	15.52	75.0	11.64
	ACCOUNT #: 101325569	BUY 0 Units @0.0000						
04/16/2018	***-**-29FB - MOORE, ERIN	MORNINGSTAR ADVISOR FEE	23.04	23.04	0.00	23.04	75.0	17.28
	ACCOUNT #: 101309538	BUY 0 Units @0.0000						
04/16/2018	***-**-04FB - WARD, DANIELLE	MORNINGSTAR ADVISOR FEE	13.81	13.81	0.00	13.81	75.0	10.36
	ACCOUNT #: 101318537	BUY 0 Units @0.0000						
04/16/2018	***-**-63FB - BARBER,	MORNINGSTAR ADVISOR FEE	49.21	49.21	0.00	49.21	75.0	36.91
	ACCOUNT #: 101137382	BUY 0 Units @0.0000						
04/16/2018	***-**-74IO - BRENNAN, JOHN	MORNINGSTAR ADVISOR FEE	225.99	225.99	0.00	225.99	75.0	169.49
	ACCOUNT #: 101091155	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: RIA (continued)</b>								
04/16/2018	***-**-68FB - NILAND, MARY	MORNINGSTAR ADVISOR FEE	24.13	24.13	0.00	24.13	75.0	18.10
	ACCOUNT #: 101299838	BUY 0 Units @0.0000						
04/16/2018	***-**-3472 - ONG-VALENCIA,	MORNINGSTAR ADVISOR FEE	7.76	7.76	0.00	7.76	75.0	5.82
	ACCOUNT #: 101305718	BUY 0 Units @0.0000						
04/10/2018	***-**-90IO - ADOVASIO, LUKE	NFS MANAGED FEES	0.00	786.18	0.00	786.18	75.0	589.64
		BUY 1 Units @274204.250						
04/10/2018	***-**-76FB - MANDEL, MICHAEL	NFS MANAGED FEES	0.00	283.40	0.00	283.40	75.0	212.55
		BUY 1 Units @75780.5200						
04/10/2018	***-**-74IO - BRENNAN, JOHN	NFS MANAGED FEES	0.00	2,379.02	0.00	2,379.02	75.0	1,784.27
		BUY 1 Units @742476.620						
04/10/2018	***-**-76FB - MANDEL, MICHAEL	NFS MANAGED FEES-PARTIAL DEBIT	0.00	96.41	0.00	96.41	75.0	72.31
		BUY 1 Units @25778.8000						
* RIA SUBTOTAL *			\$2,154.75	\$9,636.13	\$0.00	\$9,636.13		\$7,227.13
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
04/16/2018	***-**-7340 - RODESCHINI,	CLEARBRIDGE AGGRESSIVE GROWTH C	0.00	8.89	0.00	8.89	75.0	6.67
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
04/16/2018	***-**-71IO - ALBERTO, MICHAEL	IVY ASSET STRATEGY FUND CLASS A	0.00	1.37	0.00	1.37	75.0	1.03
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
04/16/2018	***-**-17IR - REAGAN, MATTHEW	IVY ASSET STRATEGY FUND CLASS A	0.00	1.40	0.00	1.40	75.0	1.05
	ACCOUNT #: LSR011947	BUY 1 Units @0.0000						
04/16/2018	***-**-63IO - BARBER,	JPMORGAN EQUITY INCOME FUND CLASS C	0.00	0.07	0.00	0.07	75.0	0.05
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
04/16/2018	***-**-63IO - BARBER,	JPMORGAN EQUITY INCOME FUND CLASS C	0.00	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
04/16/2018	***-**-7340 - RODESCHINI,	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	33.99	0.00	33.99	75.0	25.49
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
04/16/2018	***-**-67IO - FERNANDEZ, ANA	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	1.74	0.00	1.74	75.0	1.31
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
04/16/2018	***-**-7340 - RODESCHINI,	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	11.33	0.00	11.33	75.0	8.50
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
04/16/2018	***-**-67IO - FERNANDEZ, ANA	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	0.58	0.00	0.58	75.0	0.44
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/16/2018	***-**-71IO - ALBERTO, MICHAEL	LARGE CAP GROWTH FUND - A	0.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
04/16/2018	***-**-63IO - BARBER,	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
04/16/2018	***-**-7340 - RODESCHINI,	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	4.57	0.00	4.57	75.0	3.43
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
04/16/2018	***-**-2440 - RICHTER, DIANA	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	8.68	0.00	8.68	75.0	6.51
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
04/16/2018	***-**-63IO - BARBER,	WESTERN ASSET CORE BOND FUND CL C	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
04/16/2018	***-**-2440 - RICHTER, DIANA	WESTERN ASSET MANAGED MUNI CL C	0.00	14.60	0.00	14.60	75.0	10.95
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$88.03</b>	<b>\$0.00</b>	<b>\$88.03</b>		<b>\$66.05</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$70,757.01</b>	<b>\$9,724.16</b>	<b>\$0.00</b>	<b>\$9,724.16</b>		<b>\$7,293.18</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$7,293.18
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$7,293.18
Year-to-date Earnings	\$20,775.31