

STATEMENT May 20, 2018

STEVE DAVENPORT

204-B E Washington St SUITE 620 Glasgow, KY 42141

BROKER #: 051

BRANCH:

COMMISSION STATEMENT for STEVE DAVENPORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRAN	SACTIONS						
PRODUCT	CATEGORY: STOCKS								
03/29/201	8 # HEADRICK, KERRY	ALIBABA GROUP HOLDING LTD SPONSORED	4,581.31	75.00	90.0	0.00	67.50	18.00	49.50
03/29/201	8 # UST, THE PERKINS FAM	HOME DEPOT INC	53,114.10	50.00	90.0	0.00	45.00	18.00	27.00
03/29/201	8 # HEADRICK, KERRY	MOMO INC ADR	7,404.04	75.00	90.0	0.00	67.50	18.00	49.50
04/02/201	8 # UST, THE PERKINS FAM	HOME DEPOT INC	51,540.90	50.00	90.0	0.00	45.00	18.00	27.00
04/02/201	8 # BRUTON, WENDELL	NVIDIA CORP	66,004.80	50.00	90.0	0.00	45.00	18.00	27.00
04/02/201	8 # BRUTON, WENDELL	O REILLY AUTOMOTIVE INC	70,727.70	50.00	90.0	0.00	45.00	18.00	27.00
04/02/201	8 #	PEABODY ENERGY CORPORATION COMMON S	7,164.81	75.00	90.0	0.00	67.50	18.00	49.50
04/04/201	8 # BRUTON, WENDELL	DOLLAR TREE INC	29,086.08	50.00	90.0	0.00	45.00	18.00	27.00
04/04/201	8 # BRUTON, WENDELL	O REILLY AUTOMOTIVE INC	72,484.44	50.00	90.0	0.00	45.00	18.00	27.00
04/05/201	8 # BRUTON, WENDELL	BOEING CO	99,745.80	50.00	90.0	0.00	45.00	18.00	27.00
04/06/201	8 # BRUTON, WENDELL	BLUEBIRD BIO INC	47,617.50	50.00	90.0	0.00	45.00	18.00	27.00
04/06/201	8 # BRUTON, WENDELL	BOEING CO	97,378.74	50.00	90.0	0.00	45.00	18.00	27.00
04/06/201	8 # DAVENPORT, JAMES	CELGENE CORP	88,000.00	50.00	90.0	0.00	45.00	18.00	27.00
04/06/201	8 # BRUTON, WENDELL	O REILLY AUTOMOTIVE INC	70,855.80	50.00	90.0	0.00	45.00	18.00	27.00
04/09/201	8 # BRUTON, WENDELL	BLUEBIRD BIO INC	52,267.50	50.00	90.0	0.00	45.00	18.00	27.00
04/09/201	8 # UST, THE PERKINS FAM	HOME DEPOT INC	52,545.00	100.00	90.0	0.00	90.00	18.00	72.00
04/10/201	8 # BRUTON, WENDELL	BOEING CO	99,334.38	50.00	90.0	0.00	45.00	18.00	27.00
04/10/201	8 # BRUTON, WENDELL	NVIDIA CORP	68,100.00	50.00	90.0	0.00	45.00	18.00	27.00
04/12/201	8 # BRUTON, WENDELL	CATERPILLAR INC	45,244.08	50.00	90.0	0.00	45.00	18.00	27.00
04/13/201	8 # BRUTON, WENDELL	***CHINA LODGING GROUP LTD	40,697.58	50.00	90.0	0.00	45.00	18.00	27.00
04/13/201	8 # DAVENPORT, JAMES	CELGENE CORP	71,600.00	50.00	90.0	0.00	45.00	18.00	27.00
04/16/201	8 # DAVENPORT, JAMES	CELGENE CORP	18,000.00	50.00	90.0	0.00	45.00	18.00	27.00
04/24/201	8 # BRUTON, WENDELL	BOEING CO	98,808.30	50.00	90.0	0.00	45.00	18.00	27.00
04/24/201	8 # BRUTON, WENDELL	CATERPILLAR INC	43,794.15	50.00	90.0	0.00	45.00	18.00	27.00
04/24/201	8 # BRUTON, WENDELL	LOCKHEED MARTIN CORP	100,106.00	50.00	90.0	0.00	45.00	18.00	27.00
04/25/201	8 # BRUTON, WENDELL	BOEING CO	102,552.03	50.00	90.0	0.00	45.00	18.00	27.00
04/26/201	8 # BRUTON, WENDELL	O REILLY AUTOMOTIVE INC	77,017.05	50.00	90.0	0.00	45.00	18.00	27.00

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DATE CLIEN	ĪТ	INVESTMENT			INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
			* S	TOCKS SUBTOTAL *	\$1,635,772.09	\$1,475.00		\$0.00	\$1,327.50	\$486.00	\$841.50
PRODUCT CATEGO	RY: BONDS										
04/18/2018 # CAU	DEL, CHARLES	DELL INC NT	CPN 6.5%		23,550.00	240.00	90.0	0.00	216.00	25.00	191.00
04/18/2018 # CAU	DEL, CHARLES	MBIA INC DE	В		23,765.00	560.00	90.0	0.00	504.00	25.00	479.00
			*	BONDS SUBTOTAL *	\$47,315.00	\$800.00	_	\$0.00	\$720.00	\$50.00	\$670.00
PRODUCT CATEGO	DV. ODTIONS				. ,	•				,	,
03/29/2018 # DAV		CALL CELG	03/29/18	88	2,300.00	50.00	90.0	0.00	45.00	30.50	14.50
03/29/2018 # DAV		CALL WHR	03/29/18	152.50	866.00	50.00	90.0	0.00	45.00	30.50	14.50
04/02/2018 # DAV		CALL SPXW	04/06/18	2575	2,700.00	50.00	90.0	0.00	45.00	21.00	24.00
04/02/2018 # DAV		PUT CELG	04/06/18	88	850.00	50.00	90.0	0.00	45.00	30.50	14.50
04/02/2018 # DAV		PUT WHR	04/06/18	152.50	2,250.00	50.00	90.0	0.00	45.00	30.50	14.50
04/03/2018 # DAV		CALL CELG	04/06/18	88	550.00	50.00	90.0	0.00	45.00	30.50	14.50
	, THE PERKINS FAM	CALL HD	04/06/18	175	354.00	50.00	90.0	0.00	45.00	21.75	23.25
04/03/2018 # DAV		CALL SPXW	04/06/18	2575	3,930.00	50.00	90.0	0.00	45.00	21.00	24.00
04/03/2018 # SIM		CALL VHC	12/22/12	32	3,750.00	40.00	90.0	0.00	36.00	24.25	11.75
04/03/2018 # DAV		CALL WHR	04/06/18	152.50	1,250.00	50.00	90.0	0.00	45.00	30.50	14.50
04/04/2018 # DAV		PUT WHR	04/06/18	148	675.00	50.00	90.0	0.00	45.00	24.25	20.75
04/06/2018 # DAV		CALL CELG	04/06/18	88	1,000.00	50.00	90.0	0.00	45.00	30.50	14.50
04/06/2018 # DAV	ENPORT, JAMES	PUT WHR	04/06/18	152.50	1,500.00	50.00	90.0	0.00	45.00	30.50	14.50
04/09/2018 # DAV	ENPORT, JAMES	CALL CELG	04/13/18	89.50	800.00	50.00	90.0	0.00	45.00	30.50	14.50
04/09/2018 # DAV	ENPORT, JAMES	CALL TSLA	04/13/18	300	2,198.00	50.00	90.0	0.00	45.00	21.00	24.00
04/10/2018 # DAV	ENPORT, JAMES	PUT CELG	04/13/18	87	750.00	50.00	90.0	0.00	45.00	30.50	14.50
04/10/2018 # UST	, THE PERKINS FAM	PUT HD	04/13/18	172.50	704.00	50.00	90.0	0.00	45.00	24.25	20.75
04/12/2018 # DAV	ENPORT, JAMES	PUT CELG	04/13/18	87	400.00	50.00	90.0	0.00	45.00	30.50	14.50
04/13/2018 # DAV	ENPORT, JAMES	CALL TSLA	04/13/18	300	400.00	50.00	90.0	0.00	45.00	21.00	24.00
04/16/2018 # UST	, THE PERKINS FAM	CALL FB	06/15/18	180	807.00	50.00	90.0	0.00	45.00	21.75	23.25
04/17/2018 # UST	, THE PERKINS FAM	CALL CELG	10/19/18	110	1,386.00	50.00	90.0	0.00	45.00	25.50	19.50
04/17/2018 # DAV	ENPORT, JAMES	CALL WHR	04/20/18	155	950.00	50.00	90.0	0.00	45.00	30.50	14.50
04/17/2018 # DAV	ENPORT, JAMES	CALL WYNN	04/20/18	192.50	1,023.00	50.00	90.0	0.00	45.00	24.25	20.75
04/18/2018 # DAV	ENPORT, JAMES	CALL TSLA	04/20/18	297.50	700.00	50.00	90.0	0.00	45.00	21.00	24.00
04/18/2018 # UST	, THE PERKINS FAM	CALL ULTA	06/15/18	255	1,557.00	50.00	90.0	0.00	45.00	21.75	23.25
04/20/2018 # DAV	ENPORT, JAMES	CALL WYNN	04/20/18	192.50	250.00	50.00	90.0	0.00	45.00	24.25	20.75
04/23/2018 # UST	, THE PERKINS FAM	CALL AAPL	05/04/18	175	735.00	50.00	90.0	0.00	45.00	24.25	20.75
04/23/2018 # DAV	ENPORT, JAMES	CALL TSLA	04/27/18	297.50	820.00	50.00	90.0	0.00	45.00	21.00	24.00
04/23/2018 # CAU	DEL, CHARLES	CALL VRX	10/19/18	20	725.00	50.00	90.0	0.00	45.00	24.25	20.75
04/23/2018 # CAU	DEL, CHARLES	CALL X	07/20/18	40	621.00	50.00	90.0	0.00	45.00	21.75	23.25
04/23/2018 # DAV	ENPORT, JAMES	PUT PYPL	04/27/18	77.50	1,580.00	50.00	90.0	0.00	45.00	30.50	14.50
04/24/2018 # DAV	ENPORT, JAMES	PUT GS	04/27/18	247.50	600.00	50.00	90.0	0.00	45.00	21.75	23.25

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			BROKER TRAN	SACTIONS						
PRODUCT CATEGORY: OPTIONS										
04/25/2018 # DAVENPORT, JAMES	CALL PYPL (04/27/18	78.50	800.00	50.00	90.0	0.00	45.00	30.50	14.50
04/25/2018 # DAVENPORT, JAMES	PUT NVDA (04/27/18	217.50	738.00	50.00	90.0	0.00	45.00	21.00	24.00
04/26/2018 # UST, THE PERKINS FAM	CALL FB 0	06/01/18	175	1,425.00	50.00	90.0	0.00	45.00	21.75	23.25
04/26/2018 # DAVENPORT, JAMES	CALL GS C	04/27/18	242.50	240.00	50.00	90.0	0.00	45.00	21.75	23.25
04/26/2018 # UST, THE PERKINS FAM	CALL PYPL 0	06/15/18	80	2,760.00	75.00	90.0	0.00	67.50	36.75	30.75
04/27/2018 # DAVENPORT, JAMES	PUT GS C	04/27/18	247.50	2,250.00	50.00	90.0	0.00	45.00	21.75	23.25
04/27/2018 # DAVENPORT, JAMES	PUT PYPL C	04/27/18	77.50	2,000.00	50.00	90.0	0.00	45.00	30.50	14.50
04/27/2018 # DAVENPORT, JAMES	PUT X C	04/27/18	33	615.00	60.00	90.0	0.00	54.00	36.75	17.25
04/27/2018 # DAVENPORT, JAMES	PUT X C	04/27/18	34	900.00	75.00	90.0	0.00	67.50	43.00	24.50
		* OPT	ONS SUBTOTAL *	\$50,709.00	\$2,100.00	_	\$0.00	\$1,890.00	\$1,090.25	\$799.75
PRODUCT CATEGORY: MUTUAL FUND	12B1 TRAILS									
04/10/2018 # ANDERSON, JOHN	DAIN TRAILER			0.00	5.73	90.0	0.00	5.16	0.00	5.16
04/10/2018 # ANDERSON, JOHN	DAIN TRAILER			0.00	5.19	90.0	0.00	4.67	0.00	4.67
04/10/2018 # ANDERSON, RUTH	DAIN TRAILER			0.00	6.96	90.0	0.00	6.26	0.00	6.26
04/10/2018 # ANDERSON, RUTH	DAIN TRAILER			0.00	6.29	90.0	0.00	5.66	0.00	5.66
04/10/2018 # ANDERSON, RUTH	DAIN TRAILER			0.00	8.92	90.0	0.00	8.03	0.00	8.03
04/10/2018 # ANDERSON, JOHN	DAIN TRAILER			0.00	2.76	90.0	0.00	2.48	0.00	2.48
04/10/2018 # ANDERSON, JOHN	DAIN TRAILER			0.00	3.67	90.0	0.00	3.30	0.00	3.30
04/10/2018 # ANDERSON, JOHN	DAIN TRAILER			0.00	4.47	90.0	0.00	4.02	0.00	4.02
	* MUTUAL FUN	ND 12B1 TR	AILS SUBTOTAL *	\$0.00	\$43.99		\$0.00	\$39.58	\$0.00	\$39.58
	*** BROKE	ER TRANSAC	TIONS TOTAL ***	\$1,733,796.09	\$4,418.99		\$0.00	\$3,977.08	1,626.25	\$2,350.83
			ADJUSTM	ENTS						
05/20/2018	ADMIN FEE: AD	DMIN FEE								-320.00
05/20/2018			INSURANCE							-179.00
2 4 0 11100111102										

-132.00

\$-631.00

QUOTES: QUOTES

*** ADJUSTMENTS TOTAL ***

05/20/2018

BROKER COMMISSION TOTALS

Broker	Transactions	\$2,350.83
Split	Transactions	\$0.00
Override	Transactions	\$0.00
	Adjustments	\$-631.00
	Payroll Draw	\$0.00
	Base Salary	\$0.00
Prior Pe	riod Balance	\$0.00
FINR	A Assessment	\$0.00
SIP	C Assessment	\$0.00

Check Amount \$1,719.83