



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**August 31, 2017**

08/29/2017  
Page No. 1

DAMIAN DERING  
165 Culver Blvd  
Suite A  
Playa Del Rey, CA 90293

BROKER #: D127  
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
08/11/2017	AMIN FOUNDATION	TRILINC GLOBAL IMPACT FUND C	0.00	20.53	0.00	20.53	92.0	18.89
		* PRIVATE PLACEMENT SUBTOTAL *	\$0.00	\$20.53	\$0.00	\$20.53		\$18.89
<b><u>PRODUCT CATEGORY: REIT</u></b>								
08/08/2017	NELSON TRUST, LOUISE	CARTER VALIDUS MC REIT II CLASS A	50,000.00	3,500.00	0.00	3,500.00	92.0	3,220.00
08/14/2017	GIBSON, CARLA	CARTER VALIDUS MC REIT II CLASS A	46,000.00	3,220.00	0.00	3,220.00	92.0	2,962.40
		* REIT SUBTOTAL *	\$96,000.00	\$6,720.00	\$0.00	\$6,720.00		\$6,182.40
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/25/2017	PEARSON, ROBERT	AM FUNDS AMCAP 529C	200.00	2.00	0.00	2.00	92.0	1.84
08/25/2017	PEARSON, ROBERT	AM FUNDS AMCAP 529C	200.00	2.00	0.00	2.00	92.0	1.84
08/25/2017	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
08/25/2017	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
08/07/2017	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	500.00	18.75	0.00	18.75	92.0	17.25
08/25/2017	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
08/25/2017	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
08/16/2017	MARTINEZ, ELSA	ASCENSUS NEA AGE-BASED GROWTH 6-10	500.00	20.00	0.00	20.00	92.0	18.40
08/15/2017	MORALES, ERIC	JH 529 PORT 2017-2020 A	200.00	8.50	0.00	8.50	92.0	7.82
08/15/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
08/15/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
08/10/2017	ANDREWS, LISA	OPPENHEIMER GLOBAL A	1,000.00	47.50	0.00	47.50	92.0	43.70
08/07/2017	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	500.00	5.00	0.00	5.00	92.0	4.60
		* MUTUAL FUNDS SUBTOTAL *	\$4,000.00	\$122.51	\$0.00	\$122.51		\$112.71
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/11/2017	BAUTISTA, MARYANN	TRANSAMERICA LIBERTY	192,142.11	160.12	0.00	160.12	92.0	147.31
		* VARIABLE ANNUITIES SUBTOTAL *	\$192,142.11	\$160.12	\$0.00	\$160.12		\$147.31

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
*** BROKER TRANSACTIONS TOTAL ***			\$292,142.11	\$7,023.16	\$0.00	\$7,023.16		\$6,461.31

BROKER COMMISSION TOTALS

Broker Transactions	\$6,461.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,461.31
Year-to-date Gross	\$115,765.16
Year-to-date Earnings	\$105,842.19

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed