



COMMISSION STATEMENT

July 23, 2018

LARRY SKARSET
3458 Bonita Avenue
Santa Clara, CA 95051

BROKER #: A41
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/09/2018	***-**-6127 - STARK, TAMMY	FRANKLIN GROWTH FUND CLASS A	34.00	1.70	0.00	1.70	50.0	0.85
	ACCOUNT #: 000060176563746	BUY 0 Units @107.6800						
07/09/2018	***-**-6127 - STARK, TAMMY	FRANKLIN RISING DIVIDENDS FD CLASS	36.00	1.80	0.00	1.80	50.0	0.90
	ACCOUNT #: 000015815360427	BUY 1 Units @66.1800						
07/09/2018	***-**-6127 - STARK, TAMMY	FRK AGGRESSIVE GRWTH - CL A	34.00	1.70	0.00	1.70	50.0	0.85
	ACCOUNT #: 000000001147089	BUY 1 Units @44.3600						
07/09/2018	***-**-6127 - STARK, TAMMY	FRK SM CAP GRWTH FND II - CL A	6.00	0.30	0.00	0.30	50.0	0.15
	ACCOUNT #: 000000001185038	BUY 0 Units @27.2600						
07/09/2018	***-**-6127 - STARK, TAMMY	FRK TOTAL RETURN FND - CL A	20.00	0.80	0.00	0.80	50.0	0.40
	ACCOUNT #: 000000000615613	BUY 2 Units @9.9000						
07/09/2018	***-**-6127 - STARK, TAMMY	FRK VAL FND - CL A	6.00	0.30	0.00	0.30	50.0	0.15
	ACCOUNT #: 000028211923761	BUY 0 Units @62.2200						
07/09/2018	***-**-6127 - STARK, TAMMY	MUTUAL GLOBAL DISCOVERY FUND - CLAS	30.00	1.50	0.00	1.50	50.0	0.75
	ACCOUNT #: 000000005981410	BUY 1 Units @33.9100						
07/09/2018	***-**-6127 - STARK, TAMMY	TEMP FRGN FND - CL A	34.00	1.70	0.00	1.70	50.0	0.85
	ACCOUNT #: 000004978028124	BUY 4 Units @8.3500						
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$9.80	\$0.00	\$9.80		\$4.90
<u>PRODUCT CATEGORY: STOCKS</u>								
07/13/2018	***-**-98IN - SKARSET, LARRY	KROGER CO	8,500.50	104.40	11.99	92.41	50.0	46.21
	ACCOUNT #: LSF000260	BUY 300 Units @28.3350						
07/13/2018	***-**-17TR - KAY TTEE,	NUVEEN DOW 30 DYNAMIC OVERWRITE FD	3,703.80	79.95	19.00	60.95	50.0	30.48
	ACCOUNT #: LSR010113	BUY 200 Units @18.5190						
* STOCKS SUBTOTAL *			\$12,204.30	\$184.35	\$30.99	\$153.36		\$76.69
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
07/13/2018	***-**-17TR - KAY TTEE,	QUALITY CARE PPTYS INC COM SOLICITE	3,260.00	77.02	24.00	53.02	50.0	26.51
	ACCOUNT #: LSR010113	SELL 160 Units @20.3750						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$3,260.00	\$77.02	\$24.00	\$53.02		\$26.51



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/23/2018	***-**-50TR - HULEGAARD TTEE, ACCOUNT #: LSR010112	AIM GLOBAL AGGRESSIVE GROWTH FUND C BUY 1 Units @0.0000	0.00	2.72	0.00	2.72	50.0	1.36
07/23/2018	***-**-98IN - SKARSET, LARRY ACCOUNT #: LSF000260	CAPITAL WORLD GROWTH AND INCOME-F1 BUY 1 Units @0.0000	0.00	3.81	0.00	3.81	50.0	1.91
07/17/2018	***-**-17TR - KAY TTEE, ACCOUNT #: LSR010113	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	5.52	0.00	5.52	50.0	2.76
07/23/2018	***-**-498I - SKARSET, LARRY ACCOUNT #: LSF000259	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	4.49	0.00	4.49	50.0	2.25
07/23/2018	***-**-17TR - KAY TTEE, ACCOUNT #: LSR010113	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	2.54	0.00	2.54	50.0	1.27
07/23/2018	***-**-455K - RALLES, CAROL ACCOUNT #: LSR010275	PIMCO TOTAL RETURN CLASS A BUY 1 Units @0.0000	0.00	2.45	0.00	2.45	50.0	1.23
07/23/2018	***-**-71IN - PEERY, JASON ACCOUNT #: LSR010111	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	5.99	0.00	5.99	50.0	3.00
07/23/2018	***-**-498I - SKARSET, LARRY ACCOUNT #: LSF000259	THE HARTFORD CAPITAL APPRECIATION F BUY 1 Units @0.0000	0.00	19.69	0.00	19.69	50.0	9.85
07/23/2018	***-**-498I - SKARSET, LARRY ACCOUNT #: LSF000259	THE HARTFORD MID CAP FUND-A BUY 1 Units @0.0000	0.00	9.87	0.00	9.87	50.0	4.94
07/23/2018	***-**-50TR - HULEGAARD TTEE, ACCOUNT #: LSR010112	THE HARTFORD MID CAP FUND-A BUY 1 Units @0.0000	0.00	3.89	0.00	3.89	50.0	1.95
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$60.97	\$0.00	\$60.97		\$30.52
*** BROKER TRANSACTIONS TOTAL ***			\$15,664.30	\$332.14	\$54.99	\$277.15		\$138.62



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$138.62
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$25.58
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$164.20
						Year-to-date Earnings		\$2,201.91