



All Companies

07/12/2018

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# COMMISSION STATEMENT

July 16, 2018

JOHN J. O'REILLY  
25042 SUMMIT CREEK

BROKER #: 9585  
BRANCH: HOME OFFICE

San Antonio, TX 78258-

## COMMISSION STATEMENT for JOHN J. O'REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	-OPPENHEIMER FUNDS	B	376.43	376.43	0.00	376.43	70.0	263.50
06/29/2018	RIOS/GARZA, ELVIRA MARICRUZ	-SCHOLARS EDGE 529 PLAN	B	50.00	1.50	0.00	1.50	70.0	1.05
06/30/2018	TRAILS	-SCHOLARS EDGE 529 PLAN	B	32.43	32.43	0.00	32.43	70.0	22.70
06/30/2018	TRAILS	FORESTERS FINANCIAL	B	11.42	11.42	0.00	11.42	70.0	7.99
06/30/2018	TRAILS	FORESTERS FINANCIAL	B	29.01	29.01	0.00	29.01	70.0	20.31
06/26/2018	000000000092231 TARPINIAN, JOSEPH	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	2.50	0.00	2.50	70.0	1.75
06/26/2018	000000000092243 JONES, KAREN	FRK INTL SMALL CAP GROWTH FUND - CL B	B	55.00	1.54	0.00	1.54	70.0	1.08
06/26/2018	000000001177637 JONES, KAREN M.	FRK AGGRESSIVE GRWTH - CL A	B	96.25	2.70	0.00	2.70	70.0	1.89
07/02/2018	000000001292996 SCOTT, TAMMY	FRANKLIN RISING DIVIDEND 2 FD	B	50.00	0.50	0.00	0.50	70.0	0.35
06/26/2018	000006982462769 JONES, KAREN	TEMPLETON GLOBAL BD FD CL I	B	27.50	0.77	0.00	0.77	70.0	0.54
06/26/2018	000015813931074 TARPINIAN, JOSEPH	FRANKLIN RISING DIVIDEND FD CL I	B	50.00	2.50	0.00	2.50	70.0	1.75
06/26/2018	000015813931100 JONES, KAREN	FRANKLIN RISING DIVIDEND FD CL I	B	96.25	2.70	0.00	2.70	70.0	1.89
06/29/2018	00084586485 GUAJARDO, JORGE	THE GROWTH FUND OF AMERICA-C	B	150.00	1.50	0.00	1.50	70.0	1.05
07/02/2018	00084988489 KINZIE, CAROLINE	INTERNATIONAL GROWTH AND INCOME-529 B	B	50.00	2.50	0.00	2.50	70.0	1.75
06/26/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	4.78	0.04	0.00	0.04	70.0	0.03
07/03/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	3.70	0.03	0.00	0.03	70.0	0.02
06/21/2018	1952247729 KINZIE, MELANIE	INTERNATIONAL DIVERSIFIED FUND A	B	50.00	2.38	0.00	2.38	70.0	1.67
06/25/2018	1970871068 MUSQUIZ, CYNTHIA	INTERNATIONAL DIVERSIFIED FUND C	B	30.00	0.30	0.00	0.30	70.0	0.21
06/25/2018	2270927927 MUSQUIZ, CYNTHIA	OPPENHEIMER QUEST VALUE FD CL C	B	45.00	0.45	0.00	0.45	70.0	0.32
06/25/2018	2322742234 MUSQUIZ, CYNTHIA	OPPENHEIMER STRATEGIC INCOME FD CL B	B	15.00	0.15	0.00	0.15	70.0	0.11
06/21/2018	2513644465 WONG, FRANCESCA	OPPENHEIMER QUEST SMALL CAP VALUE B	B	150.00	7.13	0.00	7.13	70.0	4.99
06/25/2018	2931797433 MUSQUIZ, CYNTHIA	SENIOR FLOATING RATE FUND C	B	15.00	0.15	0.00	0.15	70.0	0.11
06/26/2018	3305138454 DYKES, LORI LEE	OPPENHEIMER GLOBAL FD CL A	B	56.25	2.67	0.00	2.67	70.0	1.87
06/25/2018	4120809215 MUSQUIZ, CYNTHIA	OPPENHEIMER GOLD & SPECIAL MINER B	B	15.00	0.15	0.00	0.15	70.0	0.11
06/25/2018	5020458436 MUSQUIZ, CYNTHIA	OPPENHEIMER DISCOVERY FD CL C	B	30.00	0.30	0.00	0.30	70.0	0.21
06/25/2018	5920295900 MUSQUIZ, CYNTHIA	REAL ESTATE FUND C	B	15.00	0.15	0.00	0.15	70.0	0.11
06/25/2018	7872043426 MUSQUIZ, CYNTHIA	OPPENHEIMER DEVELOPING MARKET FD CL B	B	30.00	0.30	0.00	0.30	70.0	0.21
06/25/2018	8270776754 MUSQUIZ, CYNTHIA	OPPENHEIMER INTL GROWTH FD CL C	B	45.00	0.45	0.00	0.45	70.0	0.32

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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

06/21/2018	8351853291	KINZIE, MELANIE	OPPENHEIMER QUEST CAPITAL	VALUE B	25.00	1.19	0.00	1.19	70.0	0.83
06/25/2018	8370475684	MUSQUIZ, CYNTHIA	EQUITY INCOME FUND, INC. C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/26/2018	8806365271	DYKES, LORI LEE	OPPENHEIMER INTL BD FD CL A	B	18.75	0.75	0.00	0.75	70.0	0.53
06/21/2018	8809188872	KINZIE, MELANIE	OPPENHEIMER INTL BD FD CL A	B	25.00	1.00	0.00	1.00	70.0	0.70
* MUTUAL FUNDS SUBTOTAL *					<u>\$1,757.77</u>	<u>\$486.19</u>	<u>\$0.00</u>	<u>\$486.19</u>		<u>\$340.37</u>

PRODUCT CATEGORY: VARIABLE ANNUITIES

06/18/2018	ANDRADE, MONICO V.	-PACIFIC LIFE INSURANCE	B	616.00	6.16	0.00	6.16	70.0	4.31
06/19/2018	WONG, GARY	-PACIFIC LIFE INSURANCE	B	770.56	7.70	0.00	7.70	70.0	5.39
06/20/2018	MCCANN, FRED E	-PACIFIC LIFE INSURANCE	B	1,050.00	10.50	0.00	10.50	70.0	7.35
* VARIABLE ANNUITIES SUBTOTAL *				\$2,436.56	\$24.36	\$0.00	\$24.36		\$17.05

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/16/2018 TRAIL COMMISSIONS	INVESCO LTD FEES	0.00	3.07	0.00	3.07	70.0	2.15
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$3.07	\$0.00	\$3.07		\$2.15
	*** BROKER TRANSACTIONS TOTAL ***	\$4,194.33	\$513.62	\$0.00	\$513.62		\$359.57

ADJUSTMENTS

07/16/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-27.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$359.57
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$332.07
Year-to-date Earnings	\$29,499.21