



# COMMISSION STATEMENT

## March 19, 2018

**GRADY GORMAN**  
7105 West 44th Ave  
Wheatridge, CO 80033

**BROKER #: A97**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/19/2018	***-**-667I - BENDER, RYAN	AMCAP FUND-A	0.00	1.55	0.00	1.55	75.0	1.16
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
03/19/2018	***-**-08IR - CAPUNE, MEGHAN	AMCAP FUND-A	0.00	1.23	0.00	1.23	75.0	0.92
	ACCOUNT #: LSR012363	BUY 1 Units @0.0000						
03/19/2018	***-**-408I - CAPUNE, MEGHAN	AMCAP FUND-A	0.00	1.48	0.00	1.48	75.0	1.11
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
03/19/2018	***-**-408I - CAPUNE, MEGHAN	AMERICAN MUTUAL FUND-A	0.00	0.70	0.00	0.70	75.0	0.53
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
03/19/2018	***-**-408I - CAPUNE, MEGHAN	CAPITAL INCOME BUILDER-A	0.00	0.42	0.00	0.42	75.0	0.32
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
03/19/2018	***-**-667I - BENDER, RYAN	EUROPACIFIC GROWTH FUND-A	0.00	0.97	0.00	0.97	75.0	0.73
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
03/19/2018	***-**-408I - CAPUNE, MEGHAN	EUROPACIFIC GROWTH FUND-A	0.00	0.54	0.00	0.54	75.0	0.41
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
03/19/2018	***-**-667I - BENDER, RYAN	FUNDAMENTAL INVESTORS-A	0.00	1.53	0.00	1.53	75.0	1.15
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
03/19/2018	***-**-408I - CAPUNE, MEGHAN	FUNDAMENTAL INVESTORS-A	0.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
03/19/2018	***-**-408I - CAPUNE, MEGHAN	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
03/19/2018	***-**-408I - CAPUNE, MEGHAN	NEW PERSPECTIVE FUND-A	0.00	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
03/19/2018	***-**-667I - BENDER, RYAN	SMALLCAP WORLD FUND-A	0.00	1.36	0.00	1.36	75.0	1.02
	ACCOUNT #: LSR011753	BUY 1 Units @0.0000						
03/19/2018	***-**-408I - CAPUNE, MEGHAN	SMALLCAP WORLD FUND-A	0.00	0.35	0.00	0.35	75.0	0.26
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
03/19/2018	***-**-408I - CAPUNE, MEGHAN	THE BOND FUND OF AMERICA-A	0.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
03/19/2018	***-**-408I - CAPUNE, MEGHAN	THE GROWTH FUND OF AMERICA-A	0.00	0.46	0.00	0.46	75.0	0.35
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
03/19/2018	***-**-4080 - CAPUNE, MEGHAN	VIRTUS NEWFLEET MLT SECTOR SH TERM	0.00	10.24	0.00	10.24	75.0	7.68
	ACCOUNT #: LSR012365	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

March 19, 2018

GRADY GORMAN  
7105 West 44th Ave  
Wheatridge, CO 80033

BROKER #: A97  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/19/2018	***-**-08IR - CAPUNE, MEGHAN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.67	0.00	0.67	75.0	0.50
	ACCOUNT #: LSR012363	BUY 1 Units @0.0000						
03/19/2018	***-**-408I - CAPUNE, MEGHAN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: LSR012364	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$23.92</b>	<b>\$0.00</b>	<b>\$23.92</b>		<b>\$17.96</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$23.92</b>	<b>\$0.00</b>	<b>\$23.92</b>		<b>\$17.96</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$17.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>