



COMMISSION STATEMENT

January 01, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/15/2017	***-**-40CL - LUPIANI, CLIENTS	FA 529 COLLEGE PORT-CL C	200.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: 00625374335	BUY 13 Units @15.4100						
12/15/2017	***-**-40CL - LUPIANI, CLIENTS	FA 529 PORT 2019-CL C	150.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: 00625374327	BUY 8 Units @18.2800						
* MUTUAL FUNDS SUBTOTAL *			\$350.00	\$3.50	\$0.00	\$3.50		\$3.15
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/18/2017	***-**-575I - PALELLA, CHARLES	PRUDENTIAL VA TRAILS 74429P144	100.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: E1764889	BUY 0 Units @0.0000						
12/21/2017	***-**-0588 - RUSSELL, JUDY	PRUDENTIAL VA TRAILS 74429P144	2,000.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: E1638235	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$2,100.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/26/2017	***-**-50IN - RAYMOND, TODD	BLACKROCK GLOBAL ALLOCATION FUND IN	0.00	4.38	0.00	4.38	90.0	3.94
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
12/26/2017	***-**-50IN - RAYMOND, TODD	BLACKROCK GLOBAL DIVIDEND PORT CL A	0.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
12/26/2017	***-**-50IN - RAYMOND, TODD	BLACKROCK HEALTH SCIENCES FUND CLAS	0.00	0.67	0.00	0.67	90.0	0.60
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
12/26/2017	***-**-50IN - RAYMOND, TODD	BLACKROCK SMALL CAP GROWTH EQUITY C	0.00	0.83	0.00	0.83	90.0	0.75
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
12/26/2017	***-**-50IN - RAYMOND, TODD	BLACKROCK TOTAL RETURN FUND CL A	0.00	1.42	0.00	1.42	90.0	1.28
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
12/26/2017	***-**-01IN - SAUTTER, HOWARD	CAPITAL GROWTH FUND A	0.00	1.77	0.00	1.77	90.0	1.59
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
12/26/2017	***-**-22IN - SAUTTER, TERRIE	CAPITAL GROWTH FUND A	0.00	2.08	0.00	2.08	90.0	1.87
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
12/26/2017	***-**-588X - RUSSELL, JUDY	GOLD & SPECIAL MINERALS A	0.00	1.26	0.00	1.26	90.0	1.13
	ACCOUNT #: LSR011098	BUY 1 Units @0.0000						
12/26/2017	***-**-853J - SAUTTER	GOLDMAN SACHS CORE PLUS FIXED INCOM	0.00	0.14	0.00	0.14	90.0	0.13
	ACCOUNT #: LSR006386	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/26/2017	***-**-01IN - SAUTTER, HOWARD	GOLDMAN SACHS CORE PLUS FIXED INCOM	0.00	2.02	0.00	2.02	90.0	1.82
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
12/26/2017	***-**-22IN - SAUTTER, TERRIE	GOLDMAN SACHS CORE PLUS FIXED INCOM	0.00	4.49	0.00	4.49	90.0	4.04
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
12/26/2017	***-**-6468 - WARNER, JANET	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	0.32	0.00	0.32	90.0	0.29
	ACCOUNT #: LSR010223	BUY 1 Units @0.0000						
12/26/2017	***-**-68IN - WARNER, JANET	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	0.40	0.00	0.40	90.0	0.36
	ACCOUNT #: LSR010222	BUY 1 Units @0.0000						
12/26/2017	***-**-207J - LUTE, MRS	PIONEER AMT-FREE MUNICIPAL FUND A	0.00	0.70	0.00	0.70	90.0	0.63
	ACCOUNT #: LSR010583	BUY 1 Units @0.0000						
12/26/2017	***-**-207J - LUTE, MRS	PIONEER MID CAP VALUE FUND A	0.00	1.34	0.00	1.34	90.0	1.21
	ACCOUNT #: LSR010583	BUY 1 Units @0.0000						
12/26/2017	***-**-107J - SMITH, KRISTEN	PUTNAM EQUITY INCOME FUND-A	0.00	0.42	0.00	0.42	90.0	0.38
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
12/26/2017	***-**-107J - SMITH, KRISTEN	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	0.83	0.00	0.83	90.0	0.75
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
12/26/2017	***-**-107J - SMITH, KRISTEN	PUTNAM INVESTORS FUND-A	0.00	0.29	0.00	0.29	90.0	0.26
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
12/26/2017	***-**-107J - SMITH, KRISTEN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.56	0.00	0.56	90.0	0.50
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
12/26/2017	***-**-43IR - D'ANGELO, DONNA	ROCHESTER FUND MUNICIPALS A	0.00	1.51	0.00	1.51	90.0	1.36
	ACCOUNT #: LSR003301	BUY 1 Units @0.0000						
12/26/2017	***-**-30IN - HALL, CHEREEZE	ROCHESTER FUND MUNICIPALS C	0.00	0.89	0.00	0.89	90.0	0.80
	ACCOUNT #: LSR010611	BUY 1 Units @0.0000						
12/26/2017	***-**-31IN - SMITH, MS VIVICA	ROCHESTER FUND MUNICIPALS C	0.00	0.81	0.00	0.81	90.0	0.73
	ACCOUNT #: LSR011147	BUY 1 Units @0.0000						
12/26/2017	***-**-66IN - BENNETT, KALENA	ROCHESTER FUND MUNICIPALS C	0.00	0.89	0.00	0.89	90.0	0.80
	ACCOUNT #: LSR011317	BUY 1 Units @0.0000						
12/26/2017	***-**-01IN - SAUTTER, HOWARD	STRUCTURED U.S. EQUITY CLASS A	0.00	1.54	0.00	1.54	90.0	1.39
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
12/26/2017	***-**-22IN - SAUTTER, TERRIE	STRUCTURED U.S. EQUITY CLASS A	0.00	4.08	0.00	4.08	90.0	3.67
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/28/2017	***-**-1625 - SAFRANEK, EARL &	VICTORY TRAILS	0.00	0.60	0.00	0.60	90.0	0.54
	ACCOUNT #: 0002735827	BUY 0 Units @0.0000						
12/28/2017	***-**-7637 - SAFRANEK,	VICTORY TRAILS	0.00	1.40	0.00	1.40	90.0	1.26
	ACCOUNT #: 0002980852	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$36.30	\$0.00	\$36.30		\$32.67
*** BROKER TRANSACTIONS TOTAL ***			\$2,450.00	\$39.80	\$0.00	\$39.80		\$35.82

VERRIDE TRANSACTIONS

BROKER: BARRY BRESIN

12/28/2017	***-**-16IN - STERN, PATRICIA	LIVEWELL MUTUAL FUND	0.00	16.32	0.00	16.32	10.0	1.63
	ACCOUNT #: 7200027673	BUY 0 Units @0.0000						
* BARRY BRESIN SUBTOTAL *			\$0.00	\$16.32	\$0.00	\$16.32		\$1.63

BROKER: FREDERIC SCARPULLA

12/26/2017	***-**-63IR - DIAMOND, ANN	FEDERATED STRATEGIC INCOME FUND CLA	0.00	0.63	0.00	0.63	10.0	0.06
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
12/26/2017	***-**-92IR - CHEN, CYNTHIA	GLOBAL OPPORTUNITIES A	0.00	1.57	0.00	1.57	10.0	0.16
	ACCOUNT #: LSR011412	BUY 1 Units @0.0000						
12/26/2017	***-**-63IR - DIAMOND, ANN	LORD ABBETT SHORT DURATION INCOME C	0.00	0.26	0.00	0.26	10.0	0.03
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
12/26/2017	***-**-20IN - GIANGRECO, TERRY	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	109.55	0.00	109.55	10.0	10.96
	ACCOUNT #: LSR010603	BUY 1 Units @0.0000						
12/26/2017	***-**-92IR - CHEN, CYNTHIA	SELECT VALUE FUND A	0.00	1.08	0.00	1.08	10.0	0.11
	ACCOUNT #: LSR011412	BUY 1 Units @0.0000						
* FREDERIC SCARPULLA SUBTOTAL *			\$0.00	\$113.09	\$0.00	\$113.09		\$11.32

BROKER: WILLIAM AGNELLO

12/26/2017	***-**-613K - HAGER	DREYFUS LIMITED TERM HIGH YIELD FUN	0.00	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: LSR006980	BUY 1 Units @0.0000						
12/26/2017	***-**-963I - GAMMARIELLO,	FEDERATED REAL RETRN BOND FUND CL A	0.00	1.06	0.00	1.06	20.0	0.21
	ACCOUNT #: LSR007102	BUY 1 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: WILLIAM AGNELLO (continued)</u>								
12/26/2017	***-**-963I - Gammariello, ACCOUNT #: LSR007102	FEDERATED TOTAL RETURN BOND CL A BUY 1 Units @0.0000	0.00	2.00	0.00	2.00	20.0	0.40
12/26/2017	***-**-87IN - IRWIN, IRENE ACCOUNT #: LSR010100	MAIN STREET FUND A BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	20.0	0.01
12/26/2017	***-**-59T2 - ETHEL CUP IRREV ACCOUNT #: LSR006912	MAINSTAY COMMON STOCK FUND CLASS A BUY 1 Units @0.0000	0.00	6.74	0.00	6.74	20.0	1.35
12/26/2017	***-**-28IN - CHIAVETTA, ACCOUNT #: LSR008842	MAINSTAY FLOATING RATE FUND CL A BUY 1 Units @0.0000	0.00	1.94	0.00	1.94	20.0	0.39
12/26/2017	***-**-87IN - IRWIN, IRENE ACCOUNT #: LSR010100	OPPENHEIMER DISCOVERY CLASS A BUY 1 Units @0.0000	0.00	0.18	0.00	0.18	20.0	0.04
12/26/2017	***-**-93UT - CUP CUST, MARCIE ACCOUNT #: LSR011103	PORT SERIES: EQUITY INV FD A BUY 1 Units @0.0000	0.00	0.32	0.00	0.32	20.0	0.06
12/26/2017	***-**-93UT - CUP CUST, MARCIE ACCOUNT #: LSR011103	PORT SERIES: EQUITY INV FD B BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	20.0	0.01
* WILLIAM AGNELLO SUBTOTAL *			\$0.00	\$14.22	\$0.00	\$14.22		\$2.85
<u>BROKER: JAMES LOMBARDO</u>								
12/15/2017	***-**-6195 - BACKUS, THOMAS ACCOUNT #: 03908954377	INVESCO AMERICAN FRANCHISE FUND -CL BUY 2 Units @21.0200	50.00	2.50	0.00	2.50	10.0	0.25
12/15/2017	***-**-4379 - MATICIC, JOSEPH ACCOUNT #: 00461190200	PUTNAM EQUITY INCOME FUND-A BUY 2 Units @26.2600	50.00	2.50	0.00	2.50	10.0	0.25
* JAMES LOMBARDO SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$0.50
*** OVERRIDE TRANSACTIONS TOTAL ***			\$100.00	\$148.63	\$0.00	\$148.63		\$16.30



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BROKER COMMISSION TOTALS								
Broker Transactions \$35.82								
Split Transactions \$0.00								
Override Transactions \$16.30								
Adjustments \$0.00								
Payroll Draw \$0.00								
Base Salary \$0.00								
Prior Period Balance \$0.00								
FINRA Assessment \$0.00								
SIPC Assessment \$0.00								
Please Retain for Your Records								
THERE WILL BE NO PAYMENT THIS PERIOD								
					Balance Forward \$52.12			
					Year-to-date Earnings \$52.12			