



All Companies
COMMISSION STATEMENT
April 02, 2018

03/29/2018
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RAYMOND C. ASHLEY
4911 Fall Creek Hwy

BROKER #: 8975
BRANCH: HOME OFFICE

Granbury, TX 76049

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/15/2018	00075619572	CORBET, MICHELLE	MAMERICAN FUNDS BD FD OF AMER	FD B	250.00	5.00	0.00	5.00	90.0	4.50
03/15/2018	00075619572	CORBET, MICHELLE	MAMERICAN FUNDS CAPITAL WORLD	BD FD B	250.00	5.00	0.00	5.00	90.0	4.50
03/15/2018	00075619572	CORBET, MICHELLE	MAMERICAN FUNDS HIGH INCOME TR	FD B	250.00	5.00	0.00	5.00	90.0	4.50
03/15/2018	00075619572	CORBET, MICHELLE	MAMERICAN FUNDS INTERM BD FD OF AMER	B	250.00	5.00	0.00	5.00	90.0	4.50
03/12/2018	00087617160	DYKES, RICKIE	GROWTH PORTFOLIO-A	B	500.00	25.00	0.00	25.00	90.0	22.50
03/16/2018	00088819064	BARNARD, NONA L.	AMERICAN FUNDS WA MUTUAL	INVEST B	250.00	9.38	0.00	9.38	90.0	8.44
03/12/2018	00207272799	ASHLEY/BRUMWELL, SUSAN/SAVANNAH	T ROWE PRICE NEW HORIZONS 529 C2	B	125.00	1.25	0.00	1.25	90.0	1.13
03/12/2018	00207272803	ASHLEY/BRUMWELL, SUSAN/SAVANNAH	EQUITY PORTFOLIO C2	B	125.00	1.25	0.00	1.25	90.0	1.13
03/05/2018	00521418830	KELLY, JOHN/MARY	FIDELITY ADVISORS EQUITY INCM FD CL	B	176.25	5.29	0.00	5.29	90.0	4.76
03/05/2018	00521418830	KELLY, JOHN/MARY	FIDELITY ADVISORS EQUITY INCM FD CL	B	58.75	1.76	0.00	1.76	90.0	1.58
03/06/2018	07150213641	BLAKE/MANSFIELD, FRANCES/MORGAN	FIXED INCOME PORTFOLIO C2	B	200.00	2.00	0.00	2.00	90.0	1.80
03/12/2018	07150513926	BRUMWELL, SAMANTHA	COLLEGE PORTFOLIO C2	B	250.00	2.50	0.00	2.50	90.0	2.25
03/12/2018	07150513930	BRUMWELL, SAMANTHA	PORTFOLIO 2021-2024 C2	B	250.00	2.50	0.00	2.50	90.0	2.25
* MUTUAL FUNDS SUBTOTAL *					\$2,935.00	\$70.93	\$0.00	\$70.93		\$63.84

PRODUCT CATEGORY: STOCKS

03/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	89.49	89.49	0.00	89.49	90.0	80.54
* STOCKS SUBTOTAL *				\$89.49	\$89.49	\$0.00	\$89.49		\$80.54

PRODUCT CATEGORY: VARIABLE ANNUITIES

03/15/2018	CORBET, JEFFERY L	-JOHN HANCOCK	B	300.00	18.00	0.00	18.00	90.0	16.20
* VARIABLE ANNUITIES SUBTOTAL *				\$300.00	\$18.00	\$0.00	\$18.00		\$16.20

PRODUCT CATEGORY: RIA

01/12/2018	17946488	MABRY, CHRISTOPHER D.	SIGNAL SECURITIES RIA	B	0.00	903.34	0.00	903.34	90.0	813.01
01/12/2018	30932836	BARNETT, RHANDY L	SIGNAL SECURITIES RIA	B	0.00	270.34	0.00	270.34	90.0	243.31
01/12/2018	77430114	WATSON, RICHARD S	SIGNAL SECURITIES RIA	B	0.00	81.34	0.00	81.34	90.0	73.21
01/12/2018	81766746	KELLY, JOHN	SIGNAL SECURITIES RIA	B	0.00	240.34	0.00	240.34	90.0	216.31

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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

* RIA SUBTOTAL *	\$0.00	\$1,495.36	\$0.00	\$1,495.36		\$1,345.84
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PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/02/2018 TRAIL COMMISSIONS	JOHN HANCOCK FEES	0.00	7.23	0.00	7.23	90.0	6.51
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$7.23	\$0.00	\$7.23		\$6.51
*** BROKER TRANSACTIONS TOTAL ***		\$3,324.49	\$1,681.01	\$0.00	\$1,681.01		\$1,512.93

SPLIT TRANSACTIONSBROKER: JOINT ASHLEY/ASHLEY #8030

03/15/2018 TRICO ELECTRI C, GBM MGMT GROUP	NATIONWIDE QUALIFIED PLAN	B	6,246.46	168.60	0.00	168.60	90.0	151.74
01/12/2018 30367258 BURLESON, JAMES/MARTHA	SIGNAL SECURITIES RIA	B	0.00	152.50	0.00	152.50	90.0	137.25
* JOINT ASHLEY/ASHLEY SUBTOTAL *		\$6,246.46	\$321.10	\$0.00	\$321.10		\$288.99	
*** SPLIT TRANSACTIONS TOTAL ***		\$6,246.46	\$321.10	\$0.00	\$321.10		\$288.99	

ADJUSTMENTS

04/02/2018	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00		-27.00
04/02/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-54.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,512.93
Split Transactions	\$288.99
Override Transactions	\$0.00
Adjustments	\$-54.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,747.42
Year-to-date Earnings	\$25,916.50