

COMMISSION STATEMENT

February 28, 2018

LOST Z-ESCHEATMENT

BROKER #: 79

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for LOST Z-ESCHEATMENT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/26/2018 75501148	WARK, TIMOTHY	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.06	0.00	0.06	80.0	0.05
02/09/2018 75501693	KRAUS, MICHELLE	**GABELLI SMALL CAP GROWTH	B	0.00	0.57	0.00	0.57	80.0	0.46
02/09/2018 75501693	KRAUS, MICHELLE	**GABELLI UTILITIES FUND	B	0.00	0.36	0.00	0.36	80.0	0.29
02/09/2018 75501693	KRAUS, MICHELLE	GABELLI VALUE 25 FD INC	B	0.00	0.31	0.00	0.31	80.0	0.25
02/09/2018 75501693	KRAUS, MICHELLE	GAMCO GOLD FD	B	0.00	0.33	0.00	0.33	80.0	0.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1.63	\$0.00	\$1.63		\$1.31
*** BROKER TRANSACTIONS TOTAL ***				\$0.00	\$1.63	\$0.00	\$1.63		\$1.31

BROKER COMMISSION TOTALS

Broker Transactions	\$1.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1.31
Year-to-date Earnings	\$2.59