



All Companies

05/30/2018
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COMMISSION STATEMENT June 01, 2018

ROTHSCHILD/POOL

BROKER #: 9629
BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for ROTHSCCHILD/POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/18/2018	00061397698	RIVARD, JOHN M	AMERICAN FUNDS INVESTMENT COMP OF A B	65.00	0.00	0.00	0.00	100.0	0.00
05/15/2018	00061957268	SMITH, MARK	AMERICAN FUNDS INVESTMENT COMP OF A B	100.00	0.00	0.00	0.00	100.0	0.00
05/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS BD FD OF AMER FD B	62.50	0.00	0.00	0.00	100.0	0.00
05/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS INCOME FD OF AMERI B	62.50	0.00	0.00	0.00	100.0	0.00
05/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS NEW PERSPECTIVE FD B	62.50	0.00	0.00	0.00	100.0	0.00
05/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS WA MUTUAL INVES B	62.50	0.00	0.00	0.00	100.0	0.00
05/15/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS INCOME FD OF AMERI B	50.00	0.00	0.00	0.00	100.0	0.00
05/15/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	0.00	0.00	0.00	100.0	0.00
05/15/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	0.00	0.00	0.00	100.0	0.00
05/15/2018	00067724745	ANGELO, CONCORDIA/MILES	AMERICAN MUTUAL FUND-529A B	30.00	0.00	0.00	0.00	100.0	0.00
05/15/2018	00067724745	ANGELO, CONCORDIA/MILES	CAPITAL WORLD BOND FUND-529A B	30.00	0.00	0.00	0.00	100.0	0.00
05/15/2018	00067724745	ANGELO, CONCORDIA/MILES	THE INCOME FUND OF AMERICA-529A B	30.00	0.00	0.00	0.00	100.0	0.00
05/15/2018	00067724745	ANGELO, CONCORDIA/MILES	THE NEW ECONOMY FUND-529A B	110.00	0.00	0.00	0.00	100.0	0.00
05/07/2018	00068080307	PILAND, CALLEY S	AMERICAN FUNDS CAPITAL INCOME BUILD B	104.00	0.00	0.00	0.00	100.0	0.00
05/07/2018	00068080307	PILAND, CALLEY S	AMERICAN FUNDS INVESTMENT COMP OF A B	208.00	0.00	0.00	0.00	100.0	0.00
05/07/2018	00068080307	PILAND, CALLEY S	AMERICAN FUNDS NEW PERSPECTIVE FD B	105.00	0.00	0.00	0.00	100.0	0.00
05/11/2018	00069023045	EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B	203.08	0.00	0.00	0.00	100.0	0.00
05/11/2018	00069023045	EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B	203.08	0.00	0.00	0.00	100.0	0.00
05/11/2018	00069023045	EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B	209.24	0.00	0.00	0.00	100.0	0.00
05/11/2018	00069023045	EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B	209.24	0.00	0.00	0.00	100.0	0.00
05/11/2018	00069023045	EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD B	203.08	0.00	0.00	0.00	100.0	0.00
05/11/2018	00069023045	EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD B	203.08	0.00	0.00	0.00	100.0	0.00
05/15/2018	00082838137	HODGES, MICHAEL L	AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	0.00	0.00	0.00	100.0	0.00
05/15/2018	00084382054	ROBERTS, ALEXXA	AMERICAN FUNDS BD FD OF AMER FD B	50.00	0.00	0.00	0.00	100.0	0.00
05/15/2018	00084382054	ROBERTS, ALEXXA	AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	0.00	0.00	0.00	100.0	0.00
05/15/2018	00084382054	ROBERTS, ALEXXA	AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	0.00	0.00	0.00	100.0	0.00
05/15/2018	00085777888	BABAO,	NEW PERSPECTIVE FUND-529C B	100.00	0.00	0.00	0.00	100.0	0.00

COMMISSION STATEMENT for ROTHSCHILD/POOL

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

ROMMEL/ISABELLE									
05/15/2018	00085777888	BABAO, THE GROWTH FUND OF AMERICA-529C	B	100.00	0.00	0.00	0.00	100.0	0.00
ROMMEL/ISABELLE									
05/15/2018	00085777916	BABAO, AMERICAN BALANCED FUND-529C	B	100.00	0.00	0.00	0.00	100.0	0.00
ROMMEL/RAPHAEL									
05/15/2018	00085777916	BABAO, CAPITAL INCOME BUILDER-529C	B	100.00	0.00	0.00	0.00	100.0	0.00
ROMMEL/RAPHAEL									
05/15/2018	00085777916	BABAO, THE BOND FUND OF AMERICA-529C	B	100.00	0.00	0.00	0.00	100.0	0.00
ROMMEL/RAPHAEL									
05/15/2018	00085777916	BABAO, THE INCOME FUND OF AMERICA-529C	B	50.00	0.00	0.00	0.00	100.0	0.00
ROMMEL/RAPHAEL									
05/15/2018	00085777916	BABAO, U.S. GOVERNMENT SECURITIES FUND-529	B	100.00	0.00	0.00	0.00	100.0	0.00
ROMMEL/RAPHAEL									
05/07/2018	04000826735	WELBORN, THOMAS A. AMERICAN FUNDS 2045 TARGET DATE-A	B	417.00	0.00	0.00	0.00	100.0	0.00
05/18/2018	04000984802	MCMANN, CAROL RTMT INCOME PORTFOLIO MODERATE-A	B	20,000.00	0.00	0.00	0.00	100.0	0.00
BLANTON									

* MUTUAL FUNDS SUBTOTAL *	\$23,679.80	\$0.00	\$0.00	\$0.00		\$0.00
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PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***				\$23,679.80	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$0.00