

STATEMENT

May 20, 2018

NICK CONSOLES

1 Merrill Industrial Dr Suite
SUITE 620
Hampton, NH 03842

BROKER #: 155

BRANCH:

COMMISSION STATEMENT for NICK CONSOLES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
04/02/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.00	0.15
04/02/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.00	0.15
04/02/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	100.00	1.00	75.0	0.00	0.75	0.00	0.75
04/02/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	12.60	0.13	75.0	0.00	0.10	0.00	0.10
04/02/2018	#04000769139	COSTA, JANICE 2055 TARGET DATE RETIREMENT FUND	139.60	6.98	75.0	0.00	5.24	0.00	5.24
04/02/2018	#04000769139	COSTA, JANICE 2055 TARGET DATE RETIREMENT FUND	139.60	6.98	75.0	0.00	5.24	0.00	5.24
04/02/2018	#04000542788	AMERICAN FUNDS 02631C445	2.78	0.14	75.0	0.00	0.11	0.00	0.11
04/02/2018	#04000542788	AMERICAN FUNDS 02631C445	1.19	0.06	75.0	0.00	0.05	0.00	0.05
04/02/2018	#04000542764	PATENAUE, RYAN AMERICAN FUNDS 02631C445	61.24	3.06	75.0	0.00	2.30	0.00	2.30
04/02/2018	#04000542764	PATENAUE, RYAN AMERICAN FUNDS 02631C445	61.24	3.06	75.0	0.00	2.30	0.00	2.30
04/02/2018	#04000542766	HUFF, KENNETH AMERICAN FUNDS 2030 TARGET DATE-A	21.55	1.08	75.0	0.00	0.81	0.00	0.81
04/02/2018	#04000542766	HUFF, KENNETH AMERICAN FUNDS 2030 TARGET DATE-A	21.55	1.08	75.0	0.00	0.81	0.00	0.81
04/02/2018	#04000542779	COTE, GREGORY AMERICAN FUNDS 2030 TARGET DATE-A	501.24	25.06	75.0	0.00	18.80	0.00	18.80
04/02/2018	#04000542779	COTE, GREGORY AMERICAN FUNDS 2030 TARGET DATE-A	150.35	7.52	75.0	0.00	5.64	0.00	5.64
04/02/2018	#04000769148	LECLAIR, AMERICAN FUNDS 2035 TARGET DATE-A	235.75	11.79	75.0	0.00	8.84	0.00	8.84
04/02/2018	#04000769148	LECLAIR, AMERICAN FUNDS 2035 TARGET DATE-A	70.71	3.54	75.0	0.00	2.66	0.00	2.66
04/02/2018	#04000542790	AMERICAN FUNDS 2040 TARGET DATE-A	68.38	3.42	75.0	0.00	2.57	0.00	2.57
04/02/2018	#04000542790	AMERICAN FUNDS 2040 TARGET DATE-A	68.38	3.42	75.0	0.00	2.57	0.00	2.57
04/02/2018	#04000542781	AMERICAN FUNDS 2045 TARGET DATE-A	54.73	2.74	75.0	0.00	2.06	0.00	2.06
04/02/2018	#04000542781	AMERICAN FUNDS 2045 TARGET DATE-A	54.73	2.74	75.0	0.00	2.06	0.00	2.06
04/02/2018	#04000544326	AMERICAN FUNDS 2045 TARGET DATE-A	223.73	11.19	75.0	0.00	8.39	0.00	8.39
04/02/2018	#04000544326	AMERICAN FUNDS 2045 TARGET DATE-A	134.22	6.71	75.0	0.00	5.03	0.00	5.03
04/06/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.00	0.15
04/06/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.00	0.15
04/06/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	125.00	1.25	75.0	0.00	0.94	0.00	0.94
04/06/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	12.60	0.13	75.0	0.00	0.10	0.00	0.10
04/12/2018	#00089628297	KOWALSKISU 2025 TARGET DATE RETIRMENT	40.11	0.40	75.0	0.00	0.30	0.00	0.30
04/12/2018	#00089628297	KOWALSKISU 2025 TARGET DATE RETIRMENT	24.07	0.24	75.0	0.00	0.18	0.00	0.18

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/13/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.00	0.15
04/13/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.00	0.15
04/13/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	12.60	0.13	75.0	0.00	0.10	0.00	0.10
04/13/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	100.00	1.00	75.0	0.00	0.75	0.00	0.75
04/24/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.00	0.15
04/24/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.00	0.15
04/24/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	13.86	0.14	75.0	0.00	0.11	0.00	0.11
04/24/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	200.00	2.00	75.0	0.00	1.50	0.00	1.50
04/25/2018	#00089628297	KOWALSKISU 2025 TARGET DATE RETIRMENT	32.94	0.33	75.0	0.00	0.25	0.00	0.25
04/25/2018	#00089628297	KOWALSKISU 2025 TARGET DATE RETIRMENT	19.76	0.20	75.0	0.00	0.15	0.00	0.15
04/26/2018	#04000769139	COSTA, JANICE 2055 TARGET DATE RETIREMENT FUND	139.60	6.98	75.0	0.00	5.24	0.00	5.24
04/26/2018	#04000769139	COSTA, JANICE 2055 TARGET DATE RETIREMENT FUND	139.60	6.98	75.0	0.00	5.24	0.00	5.24
04/26/2018	#04000542764	PATENAUDE, RYAN AMERICAN FUNDS 02631C445	69.89	3.49	75.0	0.00	2.62	0.00	2.62
04/26/2018	#04000542764	PATENAUDE, RYAN AMERICAN FUNDS 02631C445	69.89	3.49	75.0	0.00	2.62	0.00	2.62
04/26/2018	#04000542766	HUFF, KENNETH AMERICAN FUNDS 2030 TARGET DATE-A	21.10	1.06	75.0	0.00	0.80	0.00	0.80
04/26/2018	#04000542766	HUFF, KENNETH AMERICAN FUNDS 2030 TARGET DATE-A	21.10	1.06	75.0	0.00	0.80	0.00	0.80
04/26/2018	#04000542779	COTE, GREGORY AMERICAN FUNDS 2030 TARGET DATE-A	539.75	26.99	75.0	0.00	20.24	0.00	20.24
04/26/2018	#04000542779	COTE, GREGORY AMERICAN FUNDS 2030 TARGET DATE-A	161.92	8.10	75.0	0.00	6.08	0.00	6.08
04/26/2018	#04000769148	LECLAIR, AMERICAN FUNDS 2035 TARGET DATE-A	251.18	12.56	75.0	0.00	9.42	0.00	9.42
04/26/2018	#04000769148	LECLAIR, AMERICAN FUNDS 2035 TARGET DATE-A	75.34	3.77	75.0	0.00	2.83	0.00	2.83
04/26/2018	#04000542790	AMERICAN FUNDS 2040 TARGET DATE-A	77.96	3.90	75.0	0.00	2.93	0.00	2.93
04/26/2018	#04000542790	AMERICAN FUNDS 2040 TARGET DATE-A	77.96	3.90	75.0	0.00	2.93	0.00	2.93
04/26/2018	#04000542781	AMERICAN FUNDS 2045 TARGET DATE-A	60.94	3.05	75.0	0.00	2.29	0.00	2.29
04/26/2018	#04000542781	AMERICAN FUNDS 2045 TARGET DATE-A	60.94	3.05	75.0	0.00	2.29	0.00	2.29
04/26/2018	#04000544326	AMERICAN FUNDS 2045 TARGET DATE-A	205.65	10.28	75.0	0.00	7.71	0.00	7.71
04/26/2018	#04000544326	AMERICAN FUNDS 2045 TARGET DATE-A	342.76	17.14	75.0	0.00	12.86	0.00	12.86
* MUTUAL FUNDS SUBTOTAL *			\$5,179.05	\$224.92		\$0.00	\$168.81	\$0.00	\$168.81
*** BROKER TRANSACTIONS TOTAL ***			\$5,179.05	\$224.92		\$0.00	\$168.81	\$0.00	\$168.81

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ADJUSTMENTS

05/20/2018		BETA BACK OFFICE: ADMIN FEE							-320.00
05/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$168.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,999.41
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-2,329.60</u>
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