



All Companies

06/14/2018

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# COMMISSION STATEMENT

June 15, 2018

JOHN J. O'REILLY  
25042 SUMMIT CREEK

BROKER #: 9585  
BRANCH: HOME OFFICE

San Antonio, TX 78258-

## COMMISSION STATEMENT for JOHN J. O'REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

04/30/2018	TRAILS	BLACK ROCK	B	0.47	0.47	0.00	0.47	70.0	0.33
05/23/2018	GONZALES, JACOB L.	FORESTERS FINANCIAL	B	60.00	3.00	0.00	3.00	70.0	2.10
05/29/2018	000000000092231 TARPINIAN, JOSEPH	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	2.50	0.00	2.50	70.0	1.75
05/29/2018	000000000092243 JONES, KAREN	FRK INTL SMALL CAP GROWTH FUND - CL B	B	55.00	1.54	0.00	1.54	70.0	1.08
05/29/2018	000000001177637 JONES, KAREN M.	FRK AGGRESSIVE GRWTH - CL A	B	96.25	2.70	0.00	2.70	70.0	1.89
06/01/2018	000000001292996 SCOTT, TAMMY	FRANKLIN RISING DIVIDEND 2 FD	B	50.00	0.50	0.00	0.50	70.0	0.35
05/29/2018	000006982462769 JONES, KAREN	TEMPLETON GLOBAL BD FD CL I	B	27.50	0.77	0.00	0.77	70.0	0.54
05/29/2018	000015813931074 TARPINIAN, JOSEPH	FRANKLIN RISING DIVIDEND FD CL I	B	50.00	2.50	0.00	2.50	70.0	1.75
05/29/2018	000015813931100 JONES, KAREN	FRANKLIN RISING DIVIDEND FD CL I	B	96.25	2.70	0.00	2.70	70.0	1.89
05/30/2018	00084586485 GUAJARDO, JORGE	THE GROWTH FUND OF AMERICA-C	B	150.00	1.50	0.00	1.50	70.0	1.05
05/22/2018	00084750749 ARTAIL, KIMBERLY	CAPITAL WORLD GROWTH AND INCOME-529 B	B	50.00	0.50	0.00	0.50	70.0	0.35
06/04/2018	00084988489 KINZIE, CAROLINE	INTERNATIONAL GROWTH AND INCOME-529 B	B	50.00	2.50	0.00	2.50	70.0	1.75
05/23/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	4.94	0.04	0.00	0.04	70.0	0.03
05/30/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	5.44	0.04	0.00	0.04	70.0	0.03
06/05/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	5.96	0.04	0.00	0.04	70.0	0.03
05/29/2018	02000035695 RIOS, ELVIRA	-SCHOLARS EDGE 529 PLAN	B	50.00	1.50	0.00	1.50	70.0	1.05
05/22/2018	1952247729 KINZIE, MELANIE	INTERNATIONAL DIVERSIFIED FUND A	B	50.00	2.38	0.00	2.38	70.0	1.67
05/21/2018	1970871068 MUSQUIZ, CYNTHIA	INTERNATIONAL DIVERSIFIED FUND C	B	30.00	0.30	0.00	0.30	70.0	0.21
05/21/2018	2270927927 MUSQUIZ, CYNTHIA	OPPENHEIMER QUEST VALUE FD CL C	B	45.00	0.45	0.00	0.45	70.0	0.32
05/21/2018	2322742234 MUSQUIZ, CYNTHIA	OPPENHEIMER STRATEGIC INCOME FD CL B	B	15.00	0.15	0.00	0.15	70.0	0.11
05/23/2018	2513644465 WONG, FRANCESCA	OPPENHEIMER QUEST SMALL CAP VALUE B	B	150.00	7.13	0.00	7.13	70.0	4.99
05/21/2018	2931797433 MUSQUIZ, CYNTHIA	SENIOR FLOATING RATE FUND C	B	15.00	0.15	0.00	0.15	70.0	0.11
05/24/2018	3305138454 DYKES, LORI LEE	OPPENHEIMER GLOBAL FD CL A	B	56.25	2.67	0.00	2.67	70.0	1.87
05/21/2018	4120809215 MUSQUIZ, CYNTHIA	OPPENHEIMER GOLD & SPECIAL MINER B	B	15.00	0.15	0.00	0.15	70.0	0.11
05/21/2018	5020458436 MUSQUIZ, CYNTHIA	OPPENHEIMER DISCOVERY FD CL C	B	30.00	0.30	0.00	0.30	70.0	0.21
05/21/2018	5920295900 MUSQUIZ, CYNTHIA	REAL ESTATE FUND C	B	15.00	0.15	0.00	0.15	70.0	0.11
05/21/2018	7872043426 MUSQUIZ, CYNTHIA	OPPENHEIMER DEVELOPING MARKET FD CL B	B	30.00	0.30	0.00	0.30	70.0	0.21
05/21/2018	8270776754 MUSQUIZ, CYNTHIA	OPPENHEIMER INTL GROWTH FD CL C	B	45.00	0.45	0.00	0.45	70.0	0.32

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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

05/22/2018	8351853291 KINZIE, MELANIE	OPPENHEIMER QUEST CAPITAL	VALUE B	25.00	1.19	0.00	1.19	70.0	0.83
05/21/2018	8370475684 MUSQUIZ, CYNTHIA	EQUITY INCOME FUND, INC. C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/24/2018	8806365271 DYKES, LORI LEE	OPPENHEIMER INTL BD FD CL A	B	18.75	0.75	0.00	0.75	70.0	0.53
05/22/2018	8809188872 KINZIE, MELANIE	OPPENHEIMER INTL BD FD CL A	B	25.00	1.00	0.00	1.00	70.0	0.70
* MUTUAL FUNDS SUBTOTAL *				<u>\$1,426.81</u>	<u>\$40.92</u>	<u>\$0.00</u>	<u>\$40.92</u>		<u>\$28.69</u>

PRODUCT CATEGORY: VARIABLE ANNUITIES

05/30/2018	ALLISON, KYLER	-PACIFIC LIFE INSURANCE	B	1,000.00	20.00	0.00	20.00	70.0	14.00
* VARIABLE ANNUITIES SUBTOTAL *				<u>\$1,000.00</u>	<u>\$20.00</u>	<u>\$0.00</u>	<u>\$20.00</u>		<u>\$14.00</u>

PRODUCT CATEGORY: RIA

06/01/2018	69084796 HETTINGER, ANDREW JASON	SIGNAL SECURITIES RIA	B	0.00	-36.51	0.00	-36.51	70.0	-25.56
* RIA SUBTOTAL *				<u>\$0.00</u>	<u>\$-36.51</u>	<u>\$0.00</u>	<u>\$-36.51</u>		<u>\$-25.56</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	440.58	0.00	440.58	70.0	308.42
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$440.58</u>	<u>\$0.00</u>	<u>\$440.58</u>		<u>\$308.42</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$2,426.81</u>	<u>\$464.99</u>	<u>\$0.00</u>	<u>\$464.99</u>		<u>\$325.55</u>

ADJUSTMENTS

06/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-27.50</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$325.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$298.05
Year-to-date Earnings	\$25,310.98