

COMMISSION STATEMENT

April 03, 2017

ERIC BELZ

1802 LITTLE JOHN STREET

MONTGOMERY, TX 77316

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

03/02/2017	000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763	B	175.00	8.75	0.00	8.75	90.0	7.88
03/07/2017	0000000000888774	COLEMAN, FRK AGGRESSIVE GRWTH - CL A	B	108.75	3.05	0.00	3.05	90.0	2.75
03/21/2017	0000000000888774	COLEMAN, FRK AGGRESSIVE GRWTH - CL A	B	108.75	3.05	0.00	3.05	90.0	2.75
03/02/2017	0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND	B	500.00	5.00	0.00	5.00	90.0	4.50
03/14/2017	0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND	B	500.00	5.00	0.00	5.00	90.0	4.50
03/07/2017	0000000004328098	COLEMAN, FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	362.50	10.15	0.00	10.15	90.0	9.14
03/21/2017	0000000004328098	COLEMAN, FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	362.50	10.15	0.00	10.15	90.0	9.14
03/07/2017	0000000004468094	COLEMAN, MUTUAL DISC FND - CL A	B	145.00	4.06	0.00	4.06	90.0	3.65
03/21/2017	0000000004468094	COLEMAN, MUTUAL DISC FND - CL A	B	145.00	4.06	0.00	4.06	90.0	3.65
03/02/2017	0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A	B	125.00	6.25	0.00	6.25	90.0	5.63
03/14/2017	0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A	B	125.00	6.25	0.00	6.25	90.0	5.63
03/02/2017	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS	B	175.00	8.75	0.00	8.75	90.0	7.88
03/14/2017	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS	B	175.00	8.75	0.00	8.75	90.0	7.88
03/07/2017	000019411906629	COLEMAN, FRK STRAT INC FND - CL A	B	108.75	3.05	0.00	3.05	90.0	2.75
03/21/2017	000019411906629	COLEMAN, FRK STRAT INC FND - CL A	B	108.75	3.05	0.00	3.05	90.0	2.75
03/02/2017	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	125.00	5.00	0.00	5.00	90.0	4.50
03/14/2017	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	125.00	5.00	0.00	5.00	90.0	4.50
03/03/2017	00056956375	FERRADO, MICHAEL AMCAP FUND-A	B	200.00	10.00	0.00	10.00	90.0	9.00
03/20/2017	00056956375	FERRADO, MICHAEL AMCAP FUND-A	B	200.00	10.00	0.00	10.00	90.0	9.00
03/09/2017	00063004460	SCHENK, STEVEN WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	4.69	0.00	4.69	90.0	4.22
03/20/2017	00064802351	SCHENK, ERIC THE GROWTH FUND OF AMERICA-A	B	40.00	1.70	0.00	1.70	90.0	1.53
03/20/2017	00064818808	SCHENK, ERIC AMCAP FUND-A	B	33.00	1.40	0.00	1.40	90.0	1.26
03/07/2017	00075280585	MILLER, SAMANTHA AMERICAN BALANCED FUND-A	B	225.00	8.44	0.00	8.44	90.0	7.60
03/07/2017	00075280585	MILLER, SAMANTHA THE GROWTH FUND OF AMERICA-A	B	225.00	8.44	0.00	8.44	90.0	7.60
03/20/2017	00075280585	MILLER, SAMANTHA AMERICAN BALANCED FUND-A	B	225.00	8.44	0.00	8.44	90.0	7.60
03/20/2017	00075280585	MILLER, SAMANTHA THE GROWTH FUND OF AMERICA-A	B	225.00	8.44	0.00	8.44	90.0	7.60
03/15/2017	00084040075	WILLIAMS, ALYSSA GROWTH PORTFOLIO-C	B	100.00	1.00	0.00	1.00	90.0	0.90
03/15/2017	00088094822	WILLIAMS, ALYSSA GLOBAL BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/07/2017	00453533309	BURNS, KATHLEEN PUTNAM 746764778	B	150.00	4.13	0.00	4.13	90.0	3.72
03/07/2017	00453533309	BURNS, KATHLEEN PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	150.00	4.13	0.00	4.13	90.0	3.72
03/20/2017	00453533309	BURNS, KATHLEEN PUTNAM 746764778	B	150.00	4.13	0.00	4.13	90.0	3.72

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/20/2017	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	150.00	4.13	0.00	4.13	90.0	3.72
03/13/2017	00487862253	PERUGINI, MILDRED	PUTNAM 746802800 B	150.00	4.13	0.00	4.13	90.0	3.72
03/13/2017	00487862253	PERUGINI, MILDRED	PUTNAM FUND FOR GROWTH & INCOME CLA B	100.00	2.75	0.00	2.75	90.0	2.48
03/13/2017	00487862253	PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS B	150.00	4.13	0.00	4.13	90.0	3.72
03/16/2017	00493247534	PLAN	PUTNAM 74680E101 B	150.00	5.63	0.00	5.63	90.0	5.07
03/13/2017	00503977532	SCHAEFER, DOREEN	PUTNAM 746809201 B	25.00	1.00	0.00	1.00	90.0	0.90
03/13/2017	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS B B	25.00	1.00	0.00	1.00	90.0	0.90
03/07/2017	00514953902	STELLATO, BRIAN	PUTNAM 746809102 B	100.00	5.00	0.00	5.00	90.0	4.50
03/07/2017	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A B	100.00	5.00	0.00	5.00	90.0	4.50
03/20/2017	00514953902	STELLATO, BRIAN	PUTNAM 746809102 B	100.00	5.00	0.00	5.00	90.0	4.50
03/20/2017	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A B	100.00	5.00	0.00	5.00	90.0	4.50
03/13/2017	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	174.00	4.79	0.00	4.79	90.0	4.31
03/16/2017	00550827572	PLAN	PUTNAM 74680E101 B	150.00	5.63	0.00	5.63	90.0	5.07
03/07/2017	00551218283	C GARRUPPO,	PUTNAM 746802800 B	12.50	0.63	0.00	0.63	90.0	0.57
03/07/2017	00551218283	C GARRUPPO,	PUTNAM 746763200 B	25.00	1.25	0.00	1.25	90.0	1.13
03/07/2017	00551218283	C GARRUPPO,	PUTNAM-746764109 B	12.50	0.63	0.00	0.63	90.0	0.57
03/20/2017	00551218283	C GARRUPPO,	PUTNAM 746802800 B	12.50	0.63	0.00	0.63	90.0	0.57
03/20/2017	00551218283	C GARRUPPO,	PUTNAM 746763200 B	25.00	1.25	0.00	1.25	90.0	1.13
03/20/2017	00551218283	C GARRUPPO,	PUTNAM-746764109 B	12.50	0.63	0.00	0.63	90.0	0.57
03/13/2017	00551279988	AZZATO, JOSEPH	PUTNAM 746802800 B	50.00	2.50	0.00	2.50	90.0	2.25
03/13/2017	00551279988	AZZATO, JOSEPH	PUTNAM FUND FOR GROWTH & INCOME CLA B	50.00	2.50	0.00	2.50	90.0	2.25
03/07/2017	00551371986	ROSSO, RONALD	PUTNAM 746747104 B	25.00	1.25	0.00	1.25	90.0	1.13
03/07/2017	00551371986	ROSSO, RONALD	PUTNAM 746802800 B	40.00	2.00	0.00	2.00	90.0	1.80
03/07/2017	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS B	35.00	1.75	0.00	1.75	90.0	1.58
03/20/2017	00551371986	ROSSO, RONALD	PUTNAM 746747104 B	25.00	1.25	0.00	1.25	90.0	1.13
03/20/2017	00551371986	ROSSO, RONALD	PUTNAM 746802800 B	40.00	2.00	0.00	2.00	90.0	1.80
03/20/2017	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS B	35.00	1.75	0.00	1.75	90.0	1.58
* MUTUAL FUNDS SUBTOTAL *				\$7,447.00	\$248.02	\$0.00	\$248.02		\$223.35
<u>PRODUCT CATEGORY: STOCKS</u>									
03/17/2017	QGW035123	BOWLEY, KEVIN	TRANSOCEAN LTD US LISTED	B	19,500.00	195.00	17.00	178.00	160.20
03/10/2017	QGW035172	O'NEILL, RORY	GWG HLDGS INC COM	B	1,876.72	36.06	17.00	19.06	17.15
03/17/2017	QGW035248	BELZ, ERIC	TRANSOCEAN LTD US LISTED	B	19,500.00	195.00	17.00	178.00	160.20
03/17/2017	QGW035255	BROCHARD, MARIA	OPKO HEALTH INC	B	18,000.00	195.00	17.00	178.00	160.20
03/17/2017	QGW035347	MODUGNO, ROCCO	FLEX LTD ORDINARY SHARES	S	-32,000.00	295.00	17.00	278.00	250.20
03/17/2017	QGW035362	MOON, STEVEN	TRANSOCEAN LTD US LISTED	B	24,700.00	165.00	17.00	148.00	133.20
03/07/2017	QGW035701	SZARKA, MICHAEL	ALCOA CORPORATION COMMON STOCK	S	-7,939.23	130.00	17.00	113.00	101.70
02/28/2017	QGW035719	FAMILY 2011	NINTENDO CO LTD-ADR NEW	B	26,175.40	192.00	17.00	175.00	157.50
03/06/2017	QGW035917	BOVE, DOMINICK	SNAP INC CLASS A COMMON STOCK	B	25,050.00	145.00	17.00	128.00	115.20
03/17/2017	QGW035982	PANASUK, JOHN	TRANSOCEAN LTD US LISTED	B	26,000.00	185.00	17.00	168.00	151.20

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/17/2017	QGW036030	BALLO, CATHERINE	ATLAS AIR WORLDWIDE HLDGS INC FORME S	-52,500.00	325.00	17.00	308.00	90.0	277.20
03/17/2017	QGW036030	BALLO, CATHERINE	TRANSOCEAN LTD US LISTED	B 26,000.00	185.00	17.00	168.00	90.0	151.20
03/07/2017	QGW036048	FITZPATRICK, BARRY	ALCOA CORPORATION COMMON STOCK	S -10,005.54	140.00	17.00	123.00	90.0	110.70
03/07/2017	QGW036048	FITZPATRICK, BARRY	ARCONIC INC COM	S -22,468.51	195.00	17.00	178.00	90.0	160.20
03/17/2017	QGW036048	FITZPATRICK, BARRY	OPKO HEALTH INC	B 18,000.00	185.00	17.00	168.00	90.0	151.20
03/17/2017	QGW036105	ARDOLINO, SUSAN	TRANSOCEAN LTD US LISTED	B 7,800.00	155.00	17.00	138.00	90.0	124.20
02/24/2017	QGW036311	FAST, KENNETH	ALCOA CORPORATION COMMON STOCK	S -13,095.73	115.00	17.00	98.00	90.0	88.20
02/24/2017	QGW036311	FAST, KENNETH	ARCONIC INC COM	S -33,916.15	225.00	17.00	208.00	90.0	187.20
03/08/2017	QGW036394	LIPANI, CHRISTINE	FORD MOTOR CO PAR \$0.01	S -5,009.08	30.00	17.00	13.00	90.0	11.70
03/08/2017	QGW036394	LIPANI, CHRISTINE	HERC HLDGS INC COM	S -3,030.10	40.00	17.00	23.00	90.0	20.70
03/08/2017	QGW036394	LIPANI, CHRISTINE	HERTZ GLOBAL HLDGS INC NEW COM	S -4,190.30	35.00	17.00	18.00	90.0	16.20
* STOCKS SUBTOTAL *				\$28,447.48	\$3,363.06	\$357.00	\$3,006.06		\$2,705.45
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/06/2017	QGW035040	THUILOT, CHARLES	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,620.00	99.00	22.00	77.00	90.0	69.30
03/08/2017	QGW035040	THUILOT, CHARLES	PUT 100 ARCONIC INC COM EXP 04-21-1 S	-660.00	72.00	20.75	51.25	90.0	46.13
03/08/2017	QGW035040	THUILOT, CHARLES	PUT 100 OPKO HEALTH INC COM EXP 04- S	-900.00	88.00	22.00	66.00	90.0	59.40
03/08/2017	QGW035040	THUILOT, CHARLES	PUT 100 YAHOO INC COM EXP 04-21-17@ S	-530.00	59.00	19.50	39.50	90.0	35.55
03/15/2017	QGW035040	THUILOT, CHARLES	PUT 100 NORWEGIAN CRUISE LINE HLDGS S	-2,500.00	99.00	19.50	79.50	90.0	71.55
03/20/2017	QGW035040	THUILOT, CHARLES	PUT 100 COHERUS BIOSCIENCES INC COM S	-1,280.00	97.00	19.50	77.50	90.0	69.75
03/08/2017	QGW035198	WULFF, HANS	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,720.00	99.00	22.00	77.00	90.0	69.30
03/15/2017	QGW035198	WULFF, HANS	PUT 100 NORWEGIAN CRUISE LINE HLDGS S	-2,550.00	99.00	19.50	79.50	90.0	71.55
03/13/2017	QGW035248	BELZ, ERIC	CALL 100 YAHOO INC COM EXP 04-21-17 S	-435.00	49.00	18.25	30.75	90.0	27.68
03/13/2017	QGW035248	BELZ, ERIC	CALL 100 YAHOO INC COM EXP 04-21-17 S	-435.00	49.00	18.25	30.75	90.0	27.68
03/01/2017	QGW035412	AMENITA, PATRICIA	CALL 100 VUZIX CORP COM NEW EXP 04- S	-1,100.00	99.00	22.00	77.00	90.0	69.30
03/06/2017	QGW035511	LYDEN, MARY	CALL 100 VUZIX CORP COM NEW EXP 04- S	-1,500.00	99.00	23.25	75.75	90.0	68.18
03/06/2017	QGW035511	LYDEN, MARY	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,620.00	99.00	22.00	77.00	90.0	69.30
03/21/2017	QGW035511	LYDEN, MARY	PUT 100 NORWEGIAN CRUISE LINE HLDGS S	-2,600.00	99.00	19.50	79.50	90.0	71.55
03/08/2017	QGW035545	KMIECIAK, INES	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,400.00	99.00	22.00	77.00	90.0	69.30
03/08/2017	QGW035594	DUSATKO, ANNE	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,700.00	99.00	22.00	77.00	90.0	69.30
03/07/2017	QGW035701	SZARKA, MICHAEL	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-960.00	91.00	20.00	71.00	90.0	63.90
03/08/2017	QGW035735	STELLATO, MICHAEL	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,540.00	99.00	22.00	77.00	90.0	69.30
03/01/2017	QGW035768	MASSA, PATRICIA	CALL 100 VUZIX CORP COM NEW EXP 04- S	-1,100.00	99.00	22.00	77.00	90.0	69.30
03/01/2017	QGW035768	MASSA, PATRICIA	CALL 100 VUZIX CORP COM NEW EXP 04- S	1,100.00	-99.00	-22.00	-77.00	90.0	-69.30 C
03/01/2017	QGW035768	MASSA, PATRICIA	CALL 100 VUZIX CORP COM NEW EXP 04- S	-1,100.00	99.00	22.00	77.00	90.0	69.30
03/06/2017	QGW035768	MASSA, PATRICIA	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,580.00	99.00	22.00	77.00	90.0	69.30
03/08/2017	QGW035776	ZIMMERMAN, FRANCINE	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,680.00	99.00	22.00	77.00	90.0	69.30
03/15/2017	QGW035776	ZIMMERMAN, FRANCINE	PUT 100 NORWEGIAN CRUISE LINE HLDGS S	-510.00	19.80	17.50	2.30	90.0	2.07
03/21/2017	QGW035776	ZIMMERMAN, FRANCINE	PUT 100 NORWEGIAN CRUISE LINE HLDGS S	-2,040.00	79.20	19.00	60.20	90.0	54.18
03/01/2017	QGW035784	SHEEHAN, DAVID	CALL 100 VUZIX CORP COM NEW EXP 04- S	-1,100.00	99.00	22.00	77.00	90.0	69.30

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/08/2017	QGW035784	SHEEHAN, DAVID	CALL 100 ATLAS AIR WORLDWIDE HLDGS S	-625.00	61.00	18.25	42.75	90.0	38.48
03/06/2017	QGW035792	NOLTE, DONNA	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-858.00	81.00	19.75	61.25	90.0	55.13
03/09/2017	QGW035826	ORTIZ, DENISE	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-891.00	99.00	19.25	79.75	90.0	71.78
03/07/2017	QGW035917	BOVE, DOMINICK	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,100.00	99.00	22.00	77.00	90.0	69.30
03/06/2017	QGW035982	PANASUK, JOHN	CALL 100 VUZIX CORP COM NEW EXP 04- S	-1,300.00	99.00	22.00	77.00	90.0	69.30
03/06/2017	QGW035982	PANASUK, JOHN	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,580.00	99.00	22.00	77.00	90.0	69.30
03/07/2017	QGW035990	HERDTER, RICHARD	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-795.00	72.00	20.75	51.25	90.0	46.13
03/20/2017	QGW035990	HERDTER, RICHARD	CALL 100 KRATOS DEFENSE & SEC SOLUT S	-420.00	44.10	22.25	21.85	90.0	19.67
03/09/2017	QGW036014	TUNJIAN, CRAIG	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,980.00	99.00	22.00	77.00	90.0	69.30
03/06/2017	QGW036030	BALLO, CATHERINE	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,560.00	99.00	22.00	77.00	90.0	69.30
03/22/2017	QGW036030	BALLO, CATHERINE	PUT 100 ATLAS AIR WORLDWIDE HLDGS I S	-1,150.00	99.00	19.50	79.50	90.0	71.55
03/07/2017	QGW036048	FITZPATRICK, BARRY	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,200.00	99.00	20.75	78.25	90.0	70.43
02/28/2017	QGW036071	HENNEMANN, DENNIS	CALL 100 SIRIUS XM HLDGS INC COM EX S	-80.00	9.66	19.50	-9.84	90.0	-8.86
03/06/2017	QGW036071	HENNEMANN, DENNIS	CALL 100 SIRIUS XM HLDGS INC COM EX S	-24.00	2.90	17.75	-14.85	90.0	-13.37
03/13/2017	QGW036071	HENNEMANN, DENNIS	CALL 100 SIRIUS XM HLDGS INC COM EX S	-72.00	8.70	19.25	-10.55	90.0	-9.50
03/13/2017	QGW036071	HENNEMANN, DENNIS	CALL 100 SIRIUS XM HLDGS INC COM EX S	-72.00	8.70	19.25	-10.55	90.0	-9.50
03/08/2017	QGW036246	LENTO, REGINA	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-700.00	52.00	19.50	32.50	90.0	29.25
03/08/2017	QGW036279	MIKO, ARTHUR	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,634.00	99.00	21.75	77.25	90.0	69.53
03/06/2017	QGW036329	WOLF, KIM	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,620.00	99.00	22.00	77.00	90.0	69.30
03/13/2017	QGW036329	WOLF, KIM	CALL 100 VUZIX CORP COM NEW EXP 04- S	-1,300.00	99.00	22.00	77.00	90.0	69.30
03/13/2017	QGW036329	WOLF, KIM	CALL 100 VUZIX CORP COM NEW EXP 04- S	-1,300.00	99.00	22.00	77.00	90.0	69.30
03/08/2017	QGW036394	LIPANI, CHRISTINE	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-1,190.00	99.00	20.50	78.50	90.0	70.65
* OPTIONS SUBTOTAL *				\$-54,511.00	\$3,716.06	\$952.50	\$2,763.56		\$2,487.24
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/13/2017	QGW031783	JACKSON, FRANK	DREYFUS RESH GROWTH FD INC SHS CL A B	0.00	2.37	0.00	2.37	90.0	2.13
03/13/2017	QGW031783	JACKSON, FRANK	FRANKLIN GROWTH FUND CLASS A B	0.00	3.23	0.00	3.23	90.0	2.91
03/17/2017	QGW034035	FAMILY 2012 IRREV	PUTNAM 746761204 B	0.00	13.69	0.00	13.69	90.0	12.32
03/17/2017	QGW034035	FAMILY 2012 IRREV	PUTNAM 746802511 B	0.00	6.78	0.00	6.78	90.0	6.10
03/22/2017	QGW035503	SIMPSON, DAVID	GLOBAL OPPORTUNITIES A B	0.00	0.79	0.00	0.79	90.0	0.71
03/22/2017	QGW036477	MARCOLINI, MARANN	ROCHESTER FUND MUNICIPALS A B	0.00	11.26	0.00	11.26	90.0	10.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$38.12	\$0.00	\$38.12		\$34.30
*** BROKER TRANSACTIONS TOTAL ***				\$-18,616.52	\$7,365.26	\$1,309.50	\$6,055.76		\$5,450.34

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

04/03/2017		OTHER: TECHNOLOGY		0.00	0.00	0.00	0.00		-150.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-150.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,450.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-150.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-9.21
SIPC Assessment	\$-138.10

Check Amount	\$5,153.03
Year-to-date Earnings	\$25,122.44