



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**March 15, 2017**

03/14/2017  
 Page No. 1

MARYANN FENBERT  
 1120 E. Long Lake Road  
 Suite 100  
 Troy, MI 48085

BROKER #: F118  
 BRANCH: CONCORDE FINANCIAL G

| DATE   | CLIENT                | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|-----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>            |                       |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b> |                       |                                     |                      |                     |                    |                   |      |                      |
| 03/01/2017                                   | BRADLEY, PANSY        | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00                 | 18.83               | 0.00               | 18.83             | 80.0 | 15.06                |
| 03/01/2017                                   | DZIUBA, ELIZABETH     | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00                 | 14.45               | 0.00               | 14.45             | 80.0 | 11.56                |
| 03/01/2017                                   | FENBERT, MICHAEL      | AM FUNDS AMERICAN MUTUAL A          | 0.00                 | 44.66               | 0.00               | 44.66             | 80.0 | 35.73                |
| 02/28/2017                                   | TRIBBLE, NANCY        | AM FUNDS BOND FUND OF AMERICA A     | 7,451.54             | 149.03              | 0.00               | 149.03            | 80.0 | 119.22               |
| 03/01/2017                                   | TRIBBLE, GERALD       | AM FUNDS BOND FUND OF AMERICA A     | 0.00                 | 93.27               | 0.00               | 93.27             | 80.0 | 74.62                |
| 03/01/2017                                   | TRIBBLE, NANCY        | AM FUNDS BOND FUND OF AMERICA A     | 0.00                 | 30.28               | 0.00               | 30.28             | 80.0 | 24.22                |
| 03/01/2017                                   | DZIUBA, ELIZABETH     | AM FUNDS BOND FUND OF AMERICA A     | 0.00                 | 11.33               | 0.00               | 11.33             | 80.0 | 9.06                 |
| 03/01/2017                                   | FENBERT, MICHAEL      | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 9.82                | 0.00               | 9.82              | 80.0 | 7.86                 |
| 03/01/2017                                   | WEBER, DAVID          | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 46.21               | 0.00               | 46.21             | 80.0 | 36.97                |
| 03/01/2017                                   | HECHT, ROBERT         | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 3.59                | 0.00               | 3.59              | 80.0 | 2.87                 |
| 03/01/2017                                   | WEBER, DAVID          | AM FUNDS EUROPACIFIC GROWTH FUND A  | 0.00                 | 22.71               | 0.00               | 22.71             | 80.0 | 18.17                |
| 03/01/2017                                   | MOSS, RYAN            | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00                 | 9.60                | 0.00               | 9.60              | 80.0 | 7.68                 |
| 03/01/2017                                   | MOSS, RYAN            | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00                 | 9.66                | 0.00               | 9.66              | 80.0 | 7.73                 |
| 02/28/2017                                   | TRIBBLE, NANCY        | AM FUNDS ICA A                      | 7,451.53             | 149.03              | 0.00               | 149.03            | 80.0 | 119.22               |
| 03/01/2017                                   | PARRISH, PATRICK      | AM FUNDS ICA A                      | 0.00                 | 0.15                | 0.00               | 0.15              | 80.0 | 0.12                 |
| 03/01/2017                                   | WILLOUGHBY, CATHERINE | AM FUNDS ICA A                      | 0.00                 | 0.06                | 0.00               | 0.06              | 80.0 | 0.05                 |
| 03/01/2017                                   | GRAVES, JAMES         | AM FUNDS ICA A                      | 0.00                 | 2.14                | 0.00               | 2.14              | 80.0 | 1.71                 |
| 03/01/2017                                   | ALEXANDER, MARYANN    | AM FUNDS ICA A                      | 0.00                 | 8.18                | 0.00               | 8.18              | 80.0 | 6.54                 |
| 03/01/2017                                   | TRIBBLE, NANCY        | AM FUNDS ICA A                      | 0.00                 | 23.74               | 0.00               | 23.74             | 80.0 | 18.99                |
| 03/01/2017                                   | KURETICH, APRIL       | AM FUNDS ICA A                      | 0.00                 | 22.48               | 0.00               | 22.48             | 80.0 | 17.98                |
| 03/01/2017                                   | KURETICH, APRIL       | AM FUNDS ICA A                      | 0.00                 | 1.76                | 0.00               | 1.76              | 80.0 | 1.41                 |
| 03/01/2017                                   | CHINOSKI, DEBORAH     | AM FUNDS ICA A                      | 0.00                 | 3.09                | 0.00               | 3.09              | 80.0 | 2.47                 |
| 02/09/2017                                   | ROBINSON, HARRY       | AM FUNDS INCOME FUND OF AMERICA A   | 0.00                 | 11.32               | 0.00               | 11.32             | 80.0 | 9.06                 |
| 02/22/2017                                   | FENBERT, MICHAEL      | AM FUNDS NEW PERSPECTIVE A          | 50.00                | 1.88                | 0.00               | 1.88              | 80.0 | 1.50                 |
| 03/01/2017                                   | MOSS, MICHAEL         | AM FUNDS NEW PERSPECTIVE A          | 0.00                 | 27.31               | 0.00               | 27.31             | 80.0 | 21.85                |
| 03/01/2017                                   | FENBERT, MICHAEL      | AM FUNDS NEW PERSPECTIVE A          | 0.00                 | 23.29               | 0.00               | 23.29             | 80.0 | 18.63                |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE   | CLIENT            | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>            |                   |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b> |                   |                                     |                      |                     |                    |                   |      |                      |
| 03/01/2017                                   | FENBERT, MICHAEL  | AM FUNDS NEW PERSPECTIVE B          | 0.00                 | 0.91                | 0.00               | 0.91              | 80.0 | 0.73                 |
| 03/01/2017                                   | BRADLEY, PANSY    | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00                 | 5.58                | 0.00               | 5.58              | 80.0 | 4.46                 |
| 02/21/2017                                   | HECHT, ROBERT     | DAVIS NEW YORK VENTURE A            | 0.00                 | 30.10               | 0.00               | 30.10             | 80.0 | 24.08                |
| 02/21/2017                                   | ROBINSON, HARRY   | DAVIS NEW YORK VENTURE A            | 0.00                 | 4.60                | 0.00               | 4.60              | 80.0 | 3.68                 |
| / /  | SWEET, ANNE MARIE | FIDELITY ADV GROWTH OPP             | 0.00                 | 10.21               | 0.00               | 10.21             | 80.0 | 8.17                 |
| / /  | HECHT, ROBERT     | FIDELITY ADV HEALTH CARE T          | 0.00                 | 3.53                | 0.00               | 3.53              | 80.0 | 2.82                 |
| 02/09/2017                                   | BLOSSER, EDNA     | FIDELITY ADV HEALTH CARE T          | 0.00                 | 8.27                | 0.00               | 8.27              | 80.0 | 6.62                 |
| 02/09/2017                                   | DZIUBA, ELIZABETH | FRANKLIN INCOME A                   | 0.00                 | 15.52               | 0.00               | 15.52             | 80.0 | 12.42                |
| 02/21/2017                                   | HECHT, ROBERT     | PIONEER GROWTH OPPORTUNITIES A      | 0.00                 | 4.70                | 0.00               | 4.70              | 80.0 | 3.76                 |
| * MUTUAL FUNDS SUBTOTAL *                    |                   |                                     | \$14,953.07          | \$821.29            | \$0.00             | \$821.29          |      | \$657.02             |
| *** BROKER TRANSACTIONS TOTAL ***            |                   |                                     | \$14,953.07          | \$821.29            | \$0.00             | \$821.29          |      | \$657.02             |
| <b><u>ADJUSTMENTS</u></b>                    |                   |                                     |                      |                     |                    |                   |      |                      |
| 03/15/2017                                   |                   | *E & O INSURANCE: CURRENT MONTH INS | 0.00                 |                     |                    |                   |      | -300.00              |
| 03/15/2017                                   |                   | *TECHNOLOGY: CURRENT MONTH TECH     | 0.00                 |                     |                    |                   |      | -275.00              |
| *** ADJUSTMENTS TOTAL ***                    |                   |                                     | \$0.00               | \$0.00              | \$0.00             | \$0.00            |      | \$-575.00            |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

|                       |           |
|-----------------------|-----------|
| Broker Transactions   | \$657.02  |
| Split Transactions    | \$0.00    |
| Override Transactions | \$0.00    |
| Adjustments           | \$-575.00 |
| Payroll Draw          | \$0.00    |
| Base Salary           | \$0.00    |
| Prior Period Balance  | \$-192.10 |
| FINRA Assessment      | \$0.00    |
| SIPC Assessment       | \$0.00    |

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THERE WILL BE NO CHECK THIS PERIOD

|                              |                   |
|------------------------------|-------------------|
| <b>Balance Forward</b>       | <b>\$-110.08</b>  |
| <b>Year-to-date Gross</b>    | <b>\$8,206.71</b> |
| <b>Year-to-date Earnings</b> | <b>\$6,565.37</b> |