

## COMMISSION STATEMENT

October 31, 2017

THANASI TOMARAS

1750 SPLIT FORK DRIVE

OLDSMAR, FL 34677

BROKER #: 65

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for THANASI TOMARAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
10/02/2017	000000000013262	MANARINO, RITA FRANKLIN/TEMPLETON FUNDS-353533722	B	180.00	1.80	0.00	1.80	60.0	1.08
10/02/2017	000000000013262	MANARINO, RITA FRANKLIN/TEMPLETON FUNDS-353533722	B	27.00	0.27	0.00	0.27	60.0	0.16
10/02/2017	0000000000350951	MANARINO, RITA FRANKLIN/TEMPLETON 354713455	B	27.00	0.27	0.00	0.27	60.0	0.16
10/02/2017	0000000000350951	MANARINO, RITA FRANKLIN/TEMPLETON 354713455	B	180.00	1.80	0.00	1.80	60.0	1.08
10/02/2017	0000000000402002	MANARINO, RITA FRANKLIN/TEMPLETON 354713711	B	300.00	3.00	0.00	3.00	60.0	1.80
10/02/2017	0000000000402002	MANARINO, RITA FRANKLIN/TEMPLETON 354713711	B	45.00	0.45	0.00	0.45	60.0	0.27
10/02/2017	0000000001726355	MANARINO, RITA FRANKLIN/TEMPLETON 88018W203	B	36.00	0.36	0.00	0.36	60.0	0.22
10/02/2017	0000000001726355	MANARINO, RITA FRANKLIN/TEMPLETON 88018W203	B	240.00	2.40	0.00	2.40	60.0	1.44
10/02/2017	0000000004560138	MANARINO, RITA MUTUAL DISC FND - CL C	B	300.00	3.00	0.00	3.00	60.0	1.80
10/02/2017	0000000004560138	MANARINO, RITA MUTUAL DISC FND - CL C	B	45.00	0.45	0.00	0.45	60.0	0.27
10/16/2017	000060177715451	SPENNATO, FRANKLIN GROWTH FUND CLASS A	B	100.00	5.00	0.00	5.00	60.0	3.00
10/30/2017	75501587	KOWALSKI, WILLIAM WELLS FARGO FDS TR ABSOLUTE RETURN	S	11,452.42	0.00	0.00	0.00	60.0	0.00
10/26/2017	75502132	PIXLEY, DANIEL FIDELITY PURITAN FUND	S	6,700.00	0.00	0.00	0.00	60.0	0.00
10/26/2017	75502132	PIXLEY, DANIEL MAINSTAY FDS TR BALANCED FD CL I	S	6,700.00	0.00	0.00	0.00	60.0	0.00
10/26/2017	75502132	PIXLEY, DANIEL TRANSAMERICA MULTI-MANAGED BALANCED S	S	6,700.00	0.00	0.00	0.00	60.0	0.00
10/26/2017	75502133	PIXLEY, DEBRA FIDELITY ADVISOR STRATEGIC DIVIDEND S	S	8,000.00	0.00	0.00	0.00	60.0	0.00
10/26/2017	75502136	PIXLEY, DANIEL WELLS FARGO INDEX ASSET ALLOCATION S	S	6,000.00	0.00	0.00	0.00	60.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$47,032.42</b>	<b>\$18.80</b>	<b>\$0.00</b>	<b>\$18.80</b>		<b>\$11.28</b>

**PRODUCT CATEGORY: STOCKS**

10/19/2017	75501515	CARTER, GLENN EVERSOURCE ENERGY COM	S	3,087.01	79.97	0.00	79.97	60.0	47.98
10/09/2017	75501521	MANARINO, RITA CLEVELAND CLIFFS INC COM	B	17,149.75	243.29	0.00	243.29	60.0	145.97
10/09/2017	75501521	MANARINO, RITA CVR REFINING LP COM UNIT REPSTG LTD S	S	15,750.00	240.98	0.00	240.98	60.0	144.59
10/10/2017	75501521	MANARINO, RITA WESTPORT FUEL SYSTEMS INC	S	12,000.00	133.22	0.00	133.22	60.0	79.93
09/29/2017	75501522	CODDINGTON, WALTER GROUPON INC COM CL A	S	25,500.00	223.35	0.00	223.35	60.0	134.01
10/02/2017	75501522	CODDINGTON, WALTER CLEVELAND CLIFFS INC COM	B	17,825.00	297.83	0.00	297.83	60.0	178.70
09/29/2017	75501524	CODDINGTON, WALTER GROUPON INC COM CL A	S	25,500.00	223.35	0.00	223.35	60.0	134.01
10/02/2017	75501524	CODDINGTON, WALTER CLEVELAND CLIFFS INC COM	B	17,825.00	297.83	0.00	297.83	60.0	178.70
10/02/2017	75501525	SMITH, MARENE CLEAN ENERGY FUELS CORP	B	4,136.55	51.00	0.00	51.00	60.0	30.60
10/12/2017	75501526	THOMPSON, ARLENE AT&T INC	B	24,810.80	114.81	0.00	114.81	60.0	68.89
09/28/2017	75501540	TOMARAS, ATHANASIOS CLEAN ENERGY FUELS CORP	B	8,177.40	53.03	0.00	53.03	60.0	31.82

COMMISSION STATEMENT for THANASI TOMARAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<b>PRODUCT CATEGORY: STOCKS</b>									
09/28/2017	75501546 CHINN, VIOLET	PROSHARES TRUST ULTRA GOLD MINERS	B	12,412.86	151.81	0.00	151.81	60.0	91.09
09/29/2017	75501546 CHINN, VIOLET	CLEVELAND CLIFFS INC COM	B	10,725.00	164.31	0.00	164.31	60.0	98.59
10/09/2017	75501546 CHINN, VIOLET	CVR REFINING LP COM UNIT REPSTG LTD S	S	21,600.00	307.73	0.00	307.73	60.0	184.64
10/13/2017	75501552 JONES, KAREN	CLEAN ENERGY FUELS CORP	B	5,945.00	148.63	0.00	148.63	60.0	89.18
10/13/2017	75501552 JONES, KAREN	TWITTER INC	B	8,204.50	112.60	0.00	112.60	60.0	67.56
10/27/2017	75501552 JONES, KAREN	TWITTER INC	S	9,064.00	120.13	0.00	120.13	60.0	72.08
10/02/2017	75501562 WILLIAM B JONES TTEE	CLEVELAND CLIFFS INC COM	B	18,250.00	301.54	0.00	301.54	60.0	180.92
10/04/2017	75501562 WILLIAM B JONES TTEE	WTS MYND ANALYTICS INC WARRANT	S	3,339.00	166.95	0.00	166.95	60.0	100.17
10/04/2017	75501562 WILLIAM B JONES TTEE	WTS MYND ANALYTICS INC WARRANT	B	-3,339.00	-166.95	0.00	-166.95	60.0	-100.17 C
10/04/2017	75501562 WILLIAM B JONES TTEE	WTS MYND ANALYTICS INC WARRANT	S	3,339.00	150.00	0.00	150.00	60.0	90.00
10/09/2017	75501562 WILLIAM B JONES TTEE	MYND ANALYTICS INC COMMON STOCK	B	2,760.00	96.60	0.00	96.60	60.0	57.96
10/10/2017	75501562 WILLIAM B JONES TTEE	CVR REFINING LP COM UNIT REPSTG LTD S	S	28,375.00	244.34	0.00	244.34	60.0	146.60
10/13/2017	75501562 WILLIAM B JONES TTEE	AKERS BIOSCIENCES INC COM	B	1,570.50	78.53	0.00	78.53	60.0	47.12
10/25/2017	75501562 WILLIAM B JONES TTEE	EXELON CORPORATION	S	10,000.00	96.39	0.00	96.39	60.0	57.83
10/19/2017	75501576 FAIRBAIRN, KAREN	EVERSOURCE ENERGY COM	S	3,086.00	79.96	0.00	79.96	60.0	47.98
10/24/2017	75501577 FORD, ANITA	AKERS BIOSCIENCES INC COM	B	4,849.50	169.74	0.00	169.74	60.0	101.84
10/17/2017	75501579 FORD, ANITA	CLEVELAND CLIFFS INC COM	B	10,872.75	198.46	0.00	198.46	60.0	119.08
10/25/2017	75501579 FORD, ANITA	EXELON CORPORATION	S	10,000.00	72.29	0.00	72.29	60.0	43.37
10/13/2017	75501585 ESPINOSA, MANUEL	ALCOA CORPORATION COMMON STOCK	S	3,816.81	72.09	0.00	72.09	60.0	43.25
10/13/2017	75501585 ESPINOSA, MANUEL	ARCONIC INC COM	S	6,570.29	65.19	0.00	65.19	60.0	39.11
10/13/2017	75501585 ESPINOSA, MANUEL	VEREIT INC COM	B	10,148.40	120.35	0.00	120.35	60.0	72.21
10/16/2017	75501589 KIERNAN, WILLIAM	CAESARS ENTERTAINMENT CORPORATION	S	6,694.62	53.89	0.00	53.89	60.0	32.33
09/29/2017	75501594 THEOPHILOPOULOS,	GROUPON INC COM CL A	S	12,750.00	105.66	0.00	105.66	60.0	63.40
10/02/2017	75501594 THEOPHILOPOULOS,	CLEVELAND CLIFFS INC COM	B	11,067.75	200.16	0.00	200.16	60.0	120.10
10/13/2017	75501595 MOWATT, EVELYN	ALTRIA GROUP INC	S	5,325.76	105.00	0.00	105.00	60.0	63.00
10/13/2017	75501595 MOWATT, EVELYN	CLEVELAND CLIFFS INC COM	B	7,932.21	158.92	0.00	158.92	60.0	95.35
10/09/2017	75501605 DEAL, PATRICIA	CVR REFINING LP COM UNIT REPSTG LTD S	S	21,800.00	154.45	0.00	154.45	60.0	92.67
10/10/2017	75501605 DEAL, PATRICIA	CLEVELAND CLIFFS INC COM	B	12,183.48	221.52	0.00	221.52	60.0	132.91
10/23/2017	75501605 DEAL, PATRICIA	PROSHARES TRUST ULTRA GOLD MINERS	B	12,486.03	254.08	0.00	254.08	60.0	152.45
10/24/2017	75501605 DEAL, PATRICIA	PEPSICO INC	S	6,132.50	105.00	0.00	105.00	60.0	63.00
10/04/2017	75501616 WINSTEAD, BOGART	WTS MYND ANALYTICS INC WARRANT	S	3,429.00	171.45	0.00	171.45	60.0	102.87
10/04/2017	75501616 WINSTEAD, BOGART	WTS MYND ANALYTICS INC WARRANT	B	-3,429.00	-171.45	0.00	-171.45	60.0	-102.87 C
10/04/2017	75501616 WINSTEAD, BOGART	WTS MYND ANALYTICS INC WARRANT	S	3,429.00	150.00	0.00	150.00	60.0	90.00
10/09/2017	75501616 WINSTEAD, BOGART	MYND ANALYTICS INC COMMON STOCK	B	2,752.76	96.35	0.00	96.35	60.0	57.81
10/13/2017	75501616 WINSTEAD, BOGART	WALTER ENERGY INC COM	S	50.00	0.00	0.00	0.00	60.0	0.00
10/09/2017	75501617 WINSTEAD, BOGART	CVR REFINING LP COM UNIT REPSTG LTD S	S	22,100.00	207.10	0.00	207.10	60.0	124.26
10/09/2017	75501618 WINSTEAD, LINDA	CVR REFINING LP COM UNIT REPSTG LTD S	S	26,750.00	297.55	0.00	297.55	60.0	178.53
10/13/2017	75501618 WINSTEAD, LINDA	TWITTER INC	B	13,992.75	168.99	0.00	168.99	60.0	101.39
10/27/2017	75501618 WINSTEAD, LINDA	TWITTER INC	S	15,562.50	181.16	0.00	181.16	60.0	108.70
10/17/2017	75501708 KILGO, PIERCE	INVESCO HIGH INCOME TR II	S	2,996.40	52.98	0.00	52.98	60.0	31.79

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
10/17/2017	75501708 KILGO, PIERCE	NRG ENERGY INC	S	895.90	50.00	0.00	50.00	60.0	30.00
10/09/2017	75501712 CALLIMANIS, STEFANIE	WESTPORT FUEL SYSTEMS INC	S	7,020.00	102.96	0.00	102.96	60.0	61.78
10/11/2017	75501712 CALLIMANIS, STEFANIE	CLEVELAND CLIFFS INC COM	B	7,276.72	153.08	0.00	153.08	60.0	91.85
10/20/2017	75501718 RAUSCHERT, MARGUERITE	GENERAL ELECTRIC CO	S	6,675.00	101.79	0.00	101.79	60.0	61.07
10/20/2017	75501719 RAUSCHERT, MARGUERITE	GENERAL ELECTRIC CO	S	4,450.00	73.26	0.00	73.26	60.0	43.96
10/10/2017	75501804 WINNER, HAROLD	WESTPORT FUEL SYSTEMS INC	S	8,020.00	51.46	0.00	51.46	60.0	30.88
10/19/2017	75501810 POST, DAVID	WALTER ENERGY INC COM	S	12.00	0.00	0.00	0.00	60.0	0.00
09/29/2017	75501979 PIXLEY, WANETA	GROUPON INC COM CL A	S	25,500.00	223.35	0.00	223.35	60.0	134.01
10/02/2017	75501979 PIXLEY, WANETA	CLEVELAND CLIFFS INC COM	B	14,260.00	247.37	0.00	247.37	60.0	148.42
10/10/2017	75501979 PIXLEY, WANETA	CVR REFINING LP COM UNIT REPSTG LTD S	S	17,025.00	210.08	0.00	210.08	60.0	126.05
10/10/2017	75502033 GLAWSON, CORRINE	CVR REFINING LP COM UNIT REPSTG LTD S	S	17,025.00	252.10	0.00	252.10	60.0	151.26
10/10/2017	75502099 CROCKETT, SAM	CLEAN ENERGY FUELS CORP	B	9,592.00	189.46	0.00	189.46	60.0	113.68
10/10/2017	75502099 CROCKETT, SAM	CVR REFINING LP COM UNIT REPSTG LTD S	S	17,593.50	174.92	0.00	174.92	60.0	104.95
10/10/2017	75502099 CROCKETT, SAM	PROSHARES TRUST ULTRA GOLD MINERS	B	13,254.00	185.67	0.00	185.67	60.0	111.40
10/26/2017	75502132 PIXLEY, DANIEL	JUST ENERGY GROUP INC	B	11,168.00	257.15	0.00	257.15	60.0	154.29
10/26/2017	75502132 PIXLEY, DANIEL	QUALCOMM INC	B	8,140.98	143.82	0.00	143.82	60.0	86.29
10/26/2017	75502133 PIXLEY, DEBRA	UNITED STATES STL CORP NEW	B	6,985.00	155.07	0.00	155.07	60.0	93.04
10/26/2017	75502136 PIXLEY, DANIEL	TWITTER INC	B	5,745.00	124.90	0.00	124.90	60.0	74.94
<b>* STOCKS SUBTOTAL *</b>				<b>\$717,994.98</b>	<b>\$9,918.60</b>	<b>\$0.00</b>	<b>\$9,918.60</b>		<b>\$5,951.17</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
10/16/2017	75501589 KIERNAN, WILLIAM	CAESARS ENTMT CORP SR NT CONV	S	4,804.20	0.00	0.00	0.00	60.0	0.00
10/19/2017	75501810 POST, DAVID	LOUISIANA PUB FACS AUTH REV CARRIAG S	S	4,697.90	70.00	0.00	70.00	60.0	42.00
<b>* BONDS SUBTOTAL *</b>				<b>\$9,502.10</b>	<b>\$70.00</b>	<b>\$0.00</b>	<b>\$70.00</b>		<b>\$42.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
10/23/2017	(None) VARIOUS, TOMARAS	JACKSON	B	0.00	1,508.76	0.00	1,508.76	60.0	905.26
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$1,508.76</b>	<b>\$0.00</b>	<b>\$1,508.76</b>		<b>\$905.26</b>
<b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b>									
10/31/2017	VARIOUS, TOMARAS	TRANSAMERICA	B	0.00	215.40	0.00	215.40	60.0	129.24
<b>* MISCELLANEOUS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$215.40</b>	<b>\$0.00</b>	<b>\$215.40</b>		<b>\$129.24</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
10/10/2017	75501512 CARTER, GLENN	JOHN HANCOCK FDS III STRATEGIC GROW B	B	0.00	2.07	0.00	2.07	60.0	1.24
10/10/2017	75501512 CARTER, GLENN	LIFESTYLE GROWTH PORTFOLIO A	B	0.00	1.94	0.00	1.94	60.0	1.16
10/10/2017	75501514 CRUZAN, DOUGLAS	MAIN STREET FUND A	B	0.00	7.06	0.00	7.06	60.0	4.24
10/10/2017	75501516 HELLER-CRUZAN, HAIDI	MAIN STREET FUND A	B	0.00	11.40	0.00	11.40	60.0	6.84
10/10/2017	75501519 MANARINO, RITA	FIDELITY ADV GROWTH OPPORT CL T	B	0.00	7.99	0.00	7.99	60.0	4.79
10/10/2017	75501519 MANARINO, RITA	FRANKLIN INCOME FUND CLASS A	B	0.00	0.93	0.00	0.93	60.0	0.56
10/10/2017	75501521 MANARINO, RITA	INVESCO HIGH YIELD MUNICIPAL FUND C B	B	0.00	14.58	0.00	14.58	60.0	8.75

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/10/2017	75501521	MANARINO, RITA	WELLS FARGO FDS ASSET ALLOCATION FD B	0.00	10.51	0.00	10.51	60.0	6.31
10/10/2017	75501541	PARE, CAROL	WELLS FARGO C&B LARGE CAP VAL FD AD B	0.00	1.35	0.00	1.35	60.0	0.81
10/10/2017	75501541	PARE, CAROL	WELLS FARGO FUNDS INTRINSIC VALUE F B	0.00	1.24	0.00	1.24	60.0	0.74
10/10/2017	75501541	PARE, CAROL	WELLS FARGO FUNDS SMALL CAP VALUE F B	0.00	1.31	0.00	1.31	60.0	0.79
10/10/2017	75501541	PARE, CAROL	WELLS FARGO FUNDS COMMON STOCK FUND B	0.00	1.21	0.00	1.21	60.0	0.73
10/10/2017	75501541	PARE, CAROL	WELLS FARGO FUNDS ASIA PACIFIC FUND B	0.00	1.29	0.00	1.29	60.0	0.77
10/10/2017	75501541	PARE, CAROL	WELLS FARGO FUNDS SHT TRM HIGH YIEL B	0.00	0.64	0.00	0.64	60.0	0.38
10/10/2017	75501541	PARE, CAROL	WELLS FARGO SPECIAL MID CAP VALUE F B	0.00	1.32	0.00	1.32	60.0	0.79
10/10/2017	75501541	PARE, CAROL	WELLS FARGO CAPITAL GROWTH FUND ADM B	0.00	1.39	0.00	1.39	60.0	0.83
10/10/2017	75501541	PARE, CAROL	WELLS FARGO FDS GOVT SECS FD(NEW) A B	0.00	0.90	0.00	0.90	60.0	0.54
10/10/2017	75501545	WATT, EDITH	BLACKROCK GLOBAL ALLOCATION FD INC B	0.00	18.53	0.00	18.53	60.0	11.12
10/10/2017	75501545	WATT, EDITH	BLACKROCK NATIONAL MUNICIPAL FUND C B	0.00	13.92	0.00	13.92	60.0	8.35
10/10/2017	75501546	CHINN, VIOLET	AMERICAN BALANCED FUND-A B	0.00	9.01	0.00	9.01	60.0	5.41
10/10/2017	75501546	CHINN, VIOLET	NEW WORLD FUND-A B	0.00	5.09	0.00	5.09	60.0	3.05
10/10/2017	75501552	JONES, KAREN	ALLIANCEBERNSTEIN INTL VALUE A B	0.00	2.19	0.00	2.19	60.0	1.31
10/10/2017	75501552	JONES, KAREN	DAVIS NEW YORK VENTURE CLASS A B	0.00	3.09	0.00	3.09	60.0	1.85
10/10/2017	75501552	JONES, KAREN	PIMCO TOTAL RETURN CLASS A B	0.00	2.56	0.00	2.56	60.0	1.54
10/10/2017	75501560	JONES, WANDA	WELLS FARGO FDS TR ABSOLUTE RETURN B	0.00	23.47	0.00	23.47	60.0	14.08
10/10/2017	75501569	JAMES P JONES TRUST	**PRUDENTIAL QMA MID CAP VALUE B	0.00	34.32	0.00	34.32	60.0	20.59
10/10/2017	75501569	JAMES P JONES TRUST	**PRUDENTIAL JENNISON EQUITY B	0.00	31.46	0.00	31.46	60.0	18.88
10/10/2017	75501569	JAMES P JONES TRUST	**PRUDENTIAL JENNISON SMALL B	0.00	36.56	0.00	36.56	60.0	21.94
10/10/2017	75501569	JAMES P JONES TRUST	**PRUDENTIAL FINANCIAL B	0.00	16.98	0.00	16.98	60.0	10.19
10/10/2017	75501570	CARTER, GLENN	JOHN HANCOCK INVT TR DISCIPLINED VA B	0.00	0.38	0.00	0.38	60.0	0.23
10/10/2017	75501578	HARRIS, WAYNE	AMERICAN BALANCED FUND-A B	0.00	24.99	0.00	24.99	60.0	14.99
10/10/2017	75501578	HARRIS, WAYNE	AMERICAN HIGH-INCOME TRUST-A B	0.00	8.33	0.00	8.33	60.0	5.00
10/10/2017	75501578	HARRIS, WAYNE	CAPITAL INCOME BUILDER-A B	0.00	21.81	0.00	21.81	60.0	13.09
10/10/2017	75501578	HARRIS, WAYNE	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	21.17	0.00	21.17	60.0	12.70
10/10/2017	75501578	HARRIS, WAYNE	THE GROWTH FUND OF AMERICA-A B	0.00	9.53	0.00	9.53	60.0	5.72
10/10/2017	75501581	VARNEY, ELAINE	JANUS INVT FD GLOBAL LIFE SCIENCES B	0.00	9.99	0.00	9.99	60.0	5.99
10/10/2017	75501581	VARNEY, ELAINE	JOHN HANCOCK INVT TR DISCIPLINED VA B	0.00	2.58	0.00	2.58	60.0	1.55
10/11/2017	75501581	VARNEY, ELAINE	DELAWARE INTERNATIONAL VALUE EQUITY B	0.00	2.92	0.00	2.92	60.0	1.75
10/10/2017	75501587	KOWALSKI, WILLIAM	WELLS FARGO FDS TR ABSOLUTE RETURN B	0.00	2.33	0.00	2.33	60.0	1.40
10/10/2017	75501591	THEOPHILOPOULOS, C	DREYFUS RESH GROWTH FD INC SHS CL A B	0.00	1.20	0.00	1.20	60.0	0.72
10/10/2017	75501594	THEOPHILOPOULOS,	DREYFUS RESH GROWTH FD INC SHS CL A B	0.00	0.53	0.00	0.53	60.0	0.32
10/10/2017	75501616	WINSTEAD, BOGART	AMERICAN HIGH-INCOME TRUST-A B	0.00	11.56	0.00	11.56	60.0	6.94
10/10/2017	75501616	WINSTEAD, BOGART	CAPITAL INCOME BUILDER-A B	0.00	17.56	0.00	17.56	60.0	10.54
10/10/2017	75501616	WINSTEAD, BOGART	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	19.44	0.00	19.44	60.0	11.66
10/10/2017	75501616	WINSTEAD, BOGART	CAPITAL WORLD BOND FUND-A B	0.00	15.18	0.00	15.18	60.0	9.11
10/10/2017	75501616	WINSTEAD, BOGART	EUROPACIFIC GROWTH FUND-A B	0.00	6.06	0.00	6.06	60.0	3.64
10/10/2017	75501616	WINSTEAD, BOGART	FUNDAMENTAL INVESTORS-A B	0.00	25.90	0.00	25.90	60.0	15.54

COMMISSION STATEMENT for THANASI TOMARAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/10/2017	75501616	WINSTEAD, BOGART	INTERMEDIATE BOND FUND OF AMERICA-A	B	0.00	3.85	0.00	3.85	60.0	2.31
10/10/2017	75501616	WINSTEAD, BOGART	NEW WORLD FUND-A	B	0.00	8.29	0.00	8.29	60.0	4.97
10/10/2017	75501616	WINSTEAD, BOGART	SMALLCAP WORLD FUND-A	B	0.00	8.41	0.00	8.41	60.0	5.05
10/10/2017	75501705	TURNER, MARIA	**PRUDENTIAL JENNISON EQUITY	B	0.00	3.00	0.00	3.00	60.0	1.80
10/10/2017	75501710	KILGO, PIERCE	FIRST EAGLE GLOBAL FUND CLASS C	B	0.00	221.85	0.00	221.85	60.0	133.11
10/10/2017	75501710	KILGO, PIERCE	FRANKLIN INCOME FUND CLASS C	B	0.00	27.55	0.00	27.55	60.0	16.53
10/17/2017	75501710	KILGO, PIERCE	**IVA FIDUCIARY TR	B	0.00	5.05	0.00	5.05	60.0	3.03
10/10/2017	75501717	DEKOVESSEY, MARY JANE	MFS GROWTH FUND CL C	B	0.00	23.25	0.00	23.25	60.0	13.95
10/10/2017	75501717	DEKOVESSEY, MARY JANE	MFS VALUE FUND-C	B	0.00	22.31	0.00	22.31	60.0	13.39
10/10/2017	75502136	PIXLEY, DANIEL	WELLS FARGO INDEX ASSET ALLOCATION	B	0.00	1.57	0.00	1.57	60.0	0.94
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$790.90	\$0.00	\$790.90		\$474.55
*** BROKER TRANSACTIONS TOTAL ***					\$774,529.50	\$12,522.46	\$0.00	\$12,522.46		\$7,513.50

**BROKER COMMISSION TOTALS**

Broker Transactions	\$7,513.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-15.38
SIPC Assessment	\$-30.77

<b>Check Amount</b>	<b>\$7,467.35</b>
<b>Year-to-date Earnings</b>	<b>\$32,206.46</b>