

All Companies
STATEMENT
March 20, 2018

RICHARD KLEIN - LPCM
24 Aster Court

BROKER #: 3292
BRANCH: LIBERTY PARTNERS CAP

Commack, NY 11725-

COMMISSION STATEMENT for RICHARD KLEIN - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
03/01/2018 #538462	TCA KLEIN VAR	LPCM AGGR 40/40/20	B	108.00	221.05	0.00	0.00	221.05	85.0	221.05
03/01/2018 #538462	TCA KLEIN VAR	LPCM MOD 30/30/40	B	105.00	216.37	0.00	0.00	216.37	85.0	216.37
03/01/2018 #538462	TCA KLEIN VAR	TCA PLATFORM FEES	B	108.00	-54.14	0.00	0.00	-54.14	85.0	-54.14
03/01/2018 #538462	TCA KLEIN VAR	TCA PLATFORM FEES	B	105.00	-52.99	0.00	0.00	-52.99	85.0	-52.99
* RIA SUBTOTAL *				<u>\$426.00</u>	<u>\$330.29</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$330.29</u>		<u>\$330.29</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$426.00</u>	<u>\$330.29</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$330.29</u>		<u>\$330.29</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$330.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$330.29
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