

**COMMISSION STATEMENT****February 15, 2018**

J1D-DAN/JON PAUL FT-NY RAUPP/CIRELLI  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

**BROKER #:** J1D  
**BRANCH:** FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^12/31/2017	PALM BEACH	ADP TRAILS	0.00	673.54	0.00	673.54	100.0	0.00
^12/31/2017	ENDOCRINOLGY & DIABE	ADP TRAILS	0.00	8.66	0.00	8.66	100.0	0.00
^12/31/2017	MORONEY'S RELIGIOUS	ADP TRAILS	0.00	472.90	0.00	472.90	100.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,155.10</b>	<b>\$0.00</b>	<b>\$1,155.10</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^01/24/2018	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	4.53	0.00	4.53	100.0	0.00
^01/22/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.47	0.00	13.47	100.0	0.00
^01/22/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.36	0.00	11.36	100.0	0.00
^01/22/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	34.09	0.00	34.09	100.0	0.00
^01/22/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.76	0.00	10.76	100.0	0.00
^01/22/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	32.27	0.00	32.27	100.0	0.00
^01/19/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.74	0.00	10.74	100.0	0.00
^01/19/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.22	0.00	32.22	100.0	0.00
^01/19/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.87	0.00	6.87	100.0	0.00
^01/19/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	20.62	0.00	20.62	100.0	0.00
^01/19/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.66	0.00	10.66	100.0	0.00
^01/19/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.99	0.00	31.99	100.0	0.00
^01/19/2018	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.46	0.00	4.46	100.0	0.00
^01/22/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	4.02	0.00	4.02	100.0	0.00
^01/22/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.92	0.00	4.92	100.0	0.00
^01/22/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	3.48	0.00	3.48	100.0	0.00
^01/24/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.83	0.00	0.83	100.0	0.00
^01/24/2018	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	17.79	0.00	17.79	100.0	0.00
^01/24/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.71	0.00	0.71	100.0	0.00
^01/16/2018	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	141.15	0.00	141.15	100.0	0.00
^01/12/2018	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	4.68	0.00	4.68	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$401.62	\$0.00	\$401.62	\$0.00
*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$1,556.72	\$0.00	\$1,556.72	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.03
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.03
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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^Date - Split Trade - full Investment Amount & Gross Commission displayed