

**COMMISSION STATEMENT****May 31, 2018**JOEL, W143 WOODS
1412 Blue Quail RunBROKER #: W143
BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
04/30/2018	PENNOCK, BARBARA	FLEX PLAN FEES	41,500.65	103.88	0.00	103.88	90.0	93.49
04/30/2018	CARROLL, BETTY	FLEX PLAN FEES	24,994.38	62.55	0.00	62.55	90.0	56.30
04/30/2018	SENESE, CARMEN	FLEX PLAN FEES	147,168.83	368.08	0.00	368.08	90.0	331.27
04/30/2018	MORGAN IRREV TRUST, CAROLYN	FLEX PLAN FEES	65,785.00	164.67	0.00	164.67	90.0	148.20
04/30/2018	BOREN, GENE	FLEX PLAN FEES	133,660.59	334.56	0.00	334.56	90.0	301.10
04/30/2018	BOREN, GENE	FLEX PLAN FEES	104,485.46	261.54	0.00	261.54	90.0	235.39
04/30/2018	MCFADDEN, JOLAYNE	FLEX PLAN FEES	81,430.24	137.17	0.00	137.17	90.0	123.45
04/30/2018	PARKIN, KELSIE	FLEX PLAN FEES	23,390.70	42.03	0.00	42.03	90.0	37.83
04/30/2018	PHILLIPS, LELAND	FLEX PLAN FEES	40,255.14	59.99	0.00	59.99	90.0	53.99
04/30/2018	PHIPPS, LESLIE	FLEX PLAN FEES	25,227.70	63.15	0.00	63.15	90.0	56.84
04/30/2018	JOHNSON, LONNIE	FLEX PLAN FEES	29,513.79	73.83	0.00	73.83	90.0	66.45
04/30/2018	HIPKINS, MICHAEL	FLEX PLAN FEES	101,856.92	252.76	0.00	252.76	90.0	227.48
04/30/2018	MURPHY, MICHAEL	FLEX PLAN FEES	86,366.59	74.94	0.00	74.94	90.0	67.45
04/30/2018	THREADGILL, MICHELLE	FLEX PLAN FEES	53,174.54	133.07	0.00	133.07	90.0	119.76
04/30/2018	EUBANKS, MILDRED	FLEX PLAN FEES	25,519.23	63.88	0.00	63.88	90.0	57.49
04/30/2018	MCFADDEN, PHILIP	FLEX PLAN FEES	89,563.75	153.91	0.00	153.91	90.0	138.52
04/30/2018	MCFADDEN, PHILIP	FLEX PLAN FEES	75,811.71	127.62	0.00	127.62	90.0	114.86
04/30/2018	ENSMINGER, RICHARD	FLEX PLAN FEES	48,598.94	59.76	0.00	59.76	90.0	53.78
04/30/2018	HARTMAN, SAMMYE	FLEX PLAN FEES	51,102.79	127.92	0.00	127.92	90.0	115.13
04/30/2018	THREADGILL, HEATHER	FLEX PLAN FEES	25,125.43	62.88	0.00	62.88	90.0	56.59
05/25/2018	MCCORMICK, ELLA	FLEX PLAN FEES	80,000.00	640.00	0.00	640.00	90.0	576.00
* RIA SUBTOTAL *			\$1,354,532.38	\$3,368.19	\$0.00	\$3,368.19		\$3,031.37
*** DIRECT TRANSACTIONS TOTAL ***			\$1,354,532.38	\$3,368.19	\$0.00	\$3,368.19		\$3,031.37

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$3,031.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,031.37
Year-to-date Gross	\$8,820.19
Year-to-date Earnings	\$7,938.18