



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 17, 2017

01/13/2017
 Page No. 1

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
 11617 Coldwater Road
 Suite 103
 Fort Wayne, IN 46845

BROKER #: L131
 BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^12/30/2016	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	5.88	0.00	5.88	90.0	0.00
^12/30/2016	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	8.50	0.00	8.50	90.0	0.00
^12/30/2016	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	114.50	4.87	0.00	4.87	90.0	0.00
^12/30/2016	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	114.50	4.87	0.00	4.87	90.0	0.00
^12/30/2016	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	11.77	0.00	11.77	90.0	0.00
^12/30/2016	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	5.88	0.00	5.88	90.0	0.00
^12/30/2016	TOURNEY, JOSEPH	AM FUNDS AMCAP A	200.00	8.50	0.00	8.50	90.0	0.00
^12/30/2016	TOURNEY, JOSEPH	AM FUNDS AMCAP A	120.00	5.10	0.00	5.10	90.0	0.00
^12/30/2016	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	0.00
^12/28/2016	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	0.00
^ / /	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.84	0.00	0.84	90.0	0.00
^ / /	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	1.02	0.00	1.02	90.0	0.00
^12/27/2016	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	2.38	0.00	2.38	90.0	0.00
^ / /	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	1.94	0.00	1.94	90.0	0.00
^ / /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	18.62	0.00	18.62	90.0	0.00
^ / /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	13.18	0.00	13.18	90.0	0.00
^12/30/2016	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^12/30/2016	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^01/03/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.30	0.00	0.30	90.0	0.00
^ / /	CRAWFORD, JOHN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.03	0.00	0.03	90.0	0.00
^ / /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	4.81	0.00	4.81	90.0	0.00
^ / /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.31	0.00	0.31	90.0	0.00
^ / /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	2.86	0.00	2.86	90.0	0.00
^ / /	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.37	0.00	0.37	90.0	0.00
^12/30/2016	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.39	0.00	0.39	90.0	0.00
^ / /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	4.42	0.00	4.42	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^ / /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.40	0.00	0.40	90.0	0.00
^12/30/2016	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^01/03/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.70	0.00	0.70	90.0	0.00
^ / /	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.81	0.00	0.81	90.0	0.00
^01/03/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	1.19	0.00	1.19	90.0	0.00
^ / /	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	4.42	0.00	4.42	90.0	0.00
^01/03/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.70	0.00	0.70	90.0	0.00
^ / /	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.81	0.00	0.81	90.0	0.00
^ / /	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	1.08	0.00	1.08	90.0	0.00
^12/30/2016	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^01/03/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^ / /	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	1.99	0.00	1.99	90.0	0.00
^ / /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	1.14	0.00	1.14	90.0	0.00
^ / /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	1.14	0.00	1.14	90.0	0.00
^12/30/2016	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	1.83	0.00	1.83	90.0	0.00
^12/30/2016	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^12/30/2016	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^ / /	SITES, EDWARD	COLLEGECHOICE ADV 529 2016 ENROLLME	0.00	0.20	0.00	0.20	90.0	0.00
^ / /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	3.41	0.00	3.41	90.0	0.00
^ / /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	1.86	0.00	1.86	90.0	0.00
^ / /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.09	0.00	0.09	90.0	0.00
^ / /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	1.91	0.00	1.91	90.0	0.00
^01/03/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.30	0.00	0.30	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	1.23	0.00	1.23	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	2.20	0.00	2.20	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	2.78	0.00	2.78	90.0	0.00
^ / /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	4.06	0.00	4.06	90.0	0.00
^ / /	FIRKS, RICHARD	OPPENHEIMER CORE BOND FUND C	0.00	10.97	0.00	10.97	90.0	0.00
^ / /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	4.08	0.00	4.08	90.0	0.00
^ / /	SALGUERO, ANNA	OPPENHEIMER EQUITY A	0.00	0.43	0.00	0.43	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	0.90	0.00	0.90	90.0	0.00
^ / /	FIRKS, RICHARD	OPPENHEIMER GLOBAL HIGH YIELD FUND	0.00	11.11	0.00	11.11	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.83	0.00	0.83	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^ / /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.75	0.00	0.75	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.83	0.00	0.83	90.0	0.00
^ / /	FIRKS, RICHARD	OPPENHEIMER LIMITED-TERM GOV'T FUND	0.00	13.32	0.00	13.32	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	2.65	0.00	2.65	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	1.84	0.00	1.84	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	5.85	0.00	5.85	90.0	0.00
^ / /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	7.44	0.00	7.44	90.0	0.00
^ / /	FIRKS, RICHARD	OPPENHEIMER SENIOR FLOATING RATE C	0.00	11.54	0.00	11.54	90.0	0.00
^ / /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.36	0.00	0.36	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	1.39	0.00	1.39	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	5.14	0.00	5.14	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,982.84	\$234.05	\$0.00	\$234.05		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$1,982.84	\$234.05	\$0.00	\$234.05		\$0.00

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

12/30/2016	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.76	0.00	1.76	90.0	1.58
12/30/2016	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.55	0.00	2.55	90.0	2.30
12/30/2016	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	114.50	1.46	0.00	1.46	90.0	1.31
12/30/2016	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	114.50	1.46	0.00	1.46	90.0	1.31
12/30/2016	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.53	0.00	3.53	90.0	3.18
12/30/2016	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.76	0.00	1.76	90.0	1.58
12/30/2016	TOURNEY, JOSEPH	AM FUNDS AMCAP A	200.00	2.55	0.00	2.55	90.0	2.30
12/30/2016	TOURNEY, JOSEPH	AM FUNDS AMCAP A	120.00	1.53	0.00	1.53	90.0	1.38
12/30/2016	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.50	0.00	1.50	90.0	1.35
12/28/2016	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.75	0.00	0.75	90.0	0.68
/ /	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.25	0.00	0.25	90.0	0.23
/ /	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.31	0.00	0.31	90.0	0.28
12/27/2016	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	0.71	0.00	0.71	90.0	0.64
/ /	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	0.58	0.00	0.58	90.0	0.52
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	5.59	0.00	5.59	90.0	5.03
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	3.95	0.00	3.95	90.0	3.56

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
12/30/2016	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
12/30/2016	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
01/03/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.09	0.00	0.09	90.0	0.08
/ /	CRAWFORD, JOHN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.44	0.00	1.44	90.0	1.30
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.09	0.00	0.09	90.0	0.08
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.86	0.00	0.86	90.0	0.77
/ /	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.11	0.00	0.11	90.0	0.10
12/30/2016	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.12	0.00	0.12	90.0	0.11
/ /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	1.33	0.00	1.33	90.0	1.20
/ /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.12	0.00	0.12	90.0	0.11
12/30/2016	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
01/03/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.21	0.00	0.21	90.0	0.19
/ /	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.24	0.00	0.24	90.0	0.22
01/03/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.36	0.00	0.36	90.0	0.32
/ /	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	1.33	0.00	1.33	90.0	1.20
01/03/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.21	0.00	0.21	90.0	0.19
/ /	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.24	0.00	0.24	90.0	0.22
/ /	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.32	0.00	0.32	90.0	0.29
12/30/2016	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
01/03/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
/ /	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.60	0.00	0.60	90.0	0.54
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.34	0.00	0.34	90.0	0.31
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.34	0.00	0.34	90.0	0.31
12/30/2016	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.55	0.00	0.55	90.0	0.50
12/30/2016	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
12/30/2016	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
/ /	SITES, EDWARD	COLLEGECHOICE ADV 529 2016 ENROLLME	0.00	0.06	0.00	0.06	90.0	0.05
/ /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	1.02	0.00	1.02	90.0	0.92
/ /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	0.56	0.00	0.56	90.0	0.50
/ /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.03	0.00	0.03	90.0	0.03
/ /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	0.57	0.00	0.57	90.0	0.51
01/03/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.09	0.00	0.09	90.0	0.08

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.37	0.00	0.37	90.0	0.33
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	0.66	0.00	0.66	90.0	0.59
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	0.83	0.00	0.83	90.0	0.75
/ /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	1.22	0.00	1.22	90.0	1.10
/ /	FIRKS, RICHARD	OPPENHEIMER CORE BOND FUND C	0.00	3.29	0.00	3.29	90.0	2.96
/ /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	1.22	0.00	1.22	90.0	1.10
/ /	SALGUERO, ANNA	OPPENHEIMER EQUITY A	0.00	0.13	0.00	0.13	90.0	0.12
/ /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	0.27	0.00	0.27	90.0	0.24
/ /	FIRKS, RICHARD	OPPENHEIMER GLOBAL HIGH YIELD FUND	0.00	3.33	0.00	3.33	90.0	3.00
/ /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.25	0.00	0.25	90.0	0.23
/ /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.23	0.00	0.23	90.0	0.21
/ /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.25	0.00	0.25	90.0	0.23
/ /	FIRKS, RICHARD	OPPENHEIMER LIMITED-TERM GOV'T FUND	0.00	4.00	0.00	4.00	90.0	3.60
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.80	0.00	0.80	90.0	0.72
/ /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.55	0.00	0.55	90.0	0.50
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	1.76	0.00	1.76	90.0	1.58
/ /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.23	0.00	2.23	90.0	2.01
/ /	FIRKS, RICHARD	OPPENHEIMER SENIOR FLOATING RATE C	0.00	3.46	0.00	3.46	90.0	3.11
/ /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.11	0.00	0.11	90.0	0.10
/ /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	0.42	0.00	0.42	90.0	0.38
/ /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	1.54	0.00	1.54	90.0	1.39
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,982.84	\$70.22	\$0.00	\$70.22		\$63.25
*** SPLIT TRANSACTIONS TOTAL ***			\$1,982.84	\$70.22	\$0.00	\$70.22		\$63.25

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
01/17/2017		*PERSHING: BOOKS & RECORDS	0.00					0.00
01/17/2017		*PERSHING: BOOKS & RECORDS	0.00					-0.90
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-0.90

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$63.25
Override Transactions	\$0.00
Adjustments	\$-0.90
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$29.48
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$91.83
Year-to-date Gross	\$70.22
Year-to-date Earnings	\$63.25

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed