



COMMISSION STATEMENT

July 31, 2017

KEITH LAYMAN
4321 Flagstaff

BROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
07/17/2017	HAAJ, JUDITH	COLUMBIA ETF TR CORE BD ETF	-3,104.95	0.00	0.00	0.00	90.0	0.00
		* STOCKS SUBTOTAL *	\$-3,104.95	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
07/18/2017	ALLEN, MARK	CLS INVESTMENTS	22,744.35	57.33	0.00	57.33	90.0	51.60
07/18/2017	ALLEN, RHONDA	CLS INVESTMENTS	16,119.57	40.63	0.00	40.63	90.0	36.57
07/18/2017	AMBRIOLE, WESLEY	CLS INVESTMENTS	12,816.52	32.31	0.00	32.31	90.0	29.08
07/18/2017	AMBRIOLE, WESLEY	CLS INVESTMENTS	3,950.56	9.96	0.00	9.96	90.0	8.96
07/18/2017	AMBRIOLE, WESLEY	CLS INVESTMENTS	5,076.34	11.05	0.00	11.05	90.0	9.95
07/18/2017	AMBRIOLE, WESLEY	CLS INVESTMENTS	49,999.99	127.77	0.00	127.77	90.0	114.99
07/18/2017	ARCHBOLD, DANNY	CLS INVESTMENTS	7,016.70	17.69	0.00	17.69	90.0	15.92
07/18/2017	ARCHBOLD, PAMELA	CLS INVESTMENTS	14,128.10	35.61	0.00	35.61	90.0	32.05
07/18/2017	ARCHBOLD, PAMELA	CLS INVESTMENTS	9,931.16	25.03	0.00	25.03	90.0	22.53
07/18/2017	ARCHBOLD, DANNY	CLS INVESTMENTS	4,766.76	12.01	0.00	12.01	90.0	10.81
07/18/2017	BAILEY, KEVIN	CLS INVESTMENTS	45,392.22	105.42	0.00	105.42	90.0	94.88
07/18/2017	BAILEY, KEVIN	CLS INVESTMENTS	49,999.99	135.02	0.00	135.02	90.0	121.52
07/18/2017	BAILEY, BRUCE	CLS INVESTMENTS	56,341.16	137.74	0.00	137.74	90.0	123.97
07/18/2017	BAILEY, BRUCE	CLS INVESTMENTS	24,193.86	65.25	0.00	65.25	90.0	58.73
07/18/2017	BAILEY, LOIS	CLS INVESTMENTS	60,095.70	146.92	0.00	146.92	90.0	132.23
07/18/2017	BAILEY, LOIS	CLS INVESTMENTS	25,806.13	69.59	0.00	69.59	90.0	62.63
07/18/2017	BUNN, LINDA	CLS INVESTMENTS	4,716.79	11.89	0.00	11.89	90.0	10.70
07/18/2017	BYRUM, GERALD	CLS INVESTMENTS	92,222.42	225.02	0.00	225.02	90.0	202.52
07/18/2017	BYRUM, GERALD	CLS INVESTMENTS	24,971.25	70.37	0.00	70.37	90.0	63.33
07/18/2017	BYRUM, GERALD	CLS INVESTMENTS	92,434.74	225.54	0.00	225.54	90.0	202.99
07/18/2017	BYRUM, GERALD	CLS INVESTMENTS	25,028.74	70.53	0.00	70.53	90.0	63.48
07/18/2017	CONTI, JAMES	CLS INVESTMENTS	43,151.34	108.77	0.00	108.77	90.0	97.89
07/18/2017	COSTANZA, JOSEPH	CLS INVESTMENTS	20,420.90	51.47	0.00	51.47	90.0	46.32

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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/18/2017	DAVID, SCOTT	CLS INVESTMENTS	27,342.08	64.01	0.00	64.01	90.0	57.61
07/18/2017	DAVID, SCOTT	CLS INVESTMENTS	24,688.47	67.13	0.00	67.13	90.0	60.42
07/18/2017	DAVID, SCOTT	CLS INVESTMENTS	28,032.09	65.63	0.00	65.63	90.0	59.07
07/18/2017	DAVID, SCOTT	CLS INVESTMENTS	25,311.52	68.83	0.00	68.83	90.0	61.95
07/18/2017	FORTE, ANDREW	CLS INVESTMENTS	754.46	1.90	0.00	1.90	90.0	1.71
07/18/2017	GALENTINE, STEPHANIE	CLS INVESTMENTS	23,844.06	60.10	0.00	60.10	90.0	54.09
07/18/2017	GALENTINE, ASHLEY	CLS INVESTMENTS	37,375.45	88.82	0.00	88.82	90.0	79.94
07/18/2017	GALENTINE, ASHLEY	CLS INVESTMENTS	49,999.99	131.42	0.00	131.42	90.0	118.28
07/18/2017	GEVERINK, ANN	CLS INVESTMENTS	2,488.32	5.39	0.00	5.39	90.0	4.85
07/18/2017	GEVERINK, ANN	CLS INVESTMENTS	35,486.92	90.32	0.00	90.32	90.0	81.29
07/18/2017	GEVERINK, RAYMOND	CLS INVESTMENTS	1,017.65	2.20	0.00	2.20	90.0	1.98
07/18/2017	GEVERINK, RAYMOND	CLS INVESTMENTS	14,513.07	36.94	0.00	36.94	90.0	33.25
07/18/2017	GOULD, NORMAN	CLS INVESTMENTS	8,203.53	18.89	0.00	18.89	90.0	17.00
07/18/2017	GOULD, NORMAN	CLS INVESTMENTS	49,999.99	127.81	0.00	127.81	90.0	115.03
07/18/2017	GREENWALT, ROBIN	CLS INVESTMENTS	45,592.08	114.92	0.00	114.92	90.0	103.43
07/18/2017	HOLLIS, TONYUA	CLS INVESTMENTS	24,585.51	0.00	0.00	0.00	90.0	0.00
07/18/2017	HOLLIS, TONYUA	CLS INVESTMENTS	2,496.85	0.00	0.00	0.00	90.0	0.00
07/18/2017	HOLLIS, TONYUA	CLS INVESTMENTS	17,772.63	0.00	0.00	0.00	90.0	0.00
07/18/2017	HOLLIS, TONYUA	CLS INVESTMENTS	114.14	0.00	0.00	0.00	90.0	0.00
07/18/2017	HOLLIS, TONYUA	CLS INVESTMENTS	103.04	0.00	0.00	0.00	90.0	0.00
07/18/2017	HOLLIS, TONYUA	CLS INVESTMENTS	46,678.87	117.66	0.00	117.66	90.0	105.89
07/18/2017	HOLLIS, JAMES	CLS INVESTMENTS	34,107.52	80.53	0.00	80.53	90.0	72.48
07/18/2017	HOLLIS, JAMES	CLS INVESTMENTS	24,889.45	68.18	0.00	68.18	90.0	61.36
07/18/2017	HOLLIS, JAMES	CLS INVESTMENTS	34,410.50	81.24	0.00	81.24	90.0	73.12
07/18/2017	HOLLIS, JAMES	CLS INVESTMENTS	25,110.54	68.78	0.00	68.78	90.0	61.90
07/18/2017	HOUSER, TRACY	CLS INVESTMENTS	31,202.37	73.80	0.00	73.80	90.0	66.42
07/18/2017	HOUSER, TRACY	CLS INVESTMENTS	49,999.99	130.88	0.00	130.88	90.0	117.79
07/18/2017	ISLEY, CURTIS	CLS INVESTMENTS	33,719.28	85.00	0.00	85.00	90.0	76.50
07/18/2017	KAUFFMAN, KATIE	CLS INVESTMENTS	34,682.44	87.42	0.00	87.42	90.0	78.68
07/18/2017	KAUFFMAN, KATIE	CLS INVESTMENTS	9,243.59	23.30	0.00	23.30	90.0	20.97
07/18/2017	LESHER, DAVID	CLS INVESTMENTS	50,659.55	121.37	0.00	121.37	90.0	109.23
07/18/2017	LESHER, DAVID	CLS INVESTMENTS	24,872.15	69.00	0.00	69.00	90.0	62.10
07/18/2017	LESHER, DAVID	CLS INVESTMENTS	51,180.36	122.63	0.00	122.63	90.0	110.37

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/18/2017	LESHER, DAVID	CLS INVESTMENTS	25,127.84	69.71	0.00	69.71	90.0	62.74
07/18/2017	MAIERS, GREGORY	CLS INVESTMENTS	187.59	0.43	0.00	0.43	90.0	0.39
07/18/2017	MAIERS, GREGORY	CLS INVESTMENTS	49,999.99	126.07	0.00	126.07	90.0	113.46
07/18/2017	MARTIN, REBECCA	CLS INVESTMENTS	27,775.28	70.00	0.00	70.00	90.0	63.00
07/18/2017	MURPHY, SUSAN	CLS INVESTMENTS	42,999.00	108.38	0.00	108.38	90.0	97.54
07/18/2017	PARROT, CHARLES	CLS INVESTMENTS	37,853.45	95.41	0.00	95.41	90.0	85.87
07/18/2017	PEE, MICHAEL	CLS INVESTMENTS	19,961.91	46.73	0.00	46.73	90.0	42.06
07/18/2017	PEE, MICHAEL	CLS INVESTMENTS	49,999.99	129.62	0.00	129.62	90.0	116.66
07/18/2017	PEPPLE, GLEN	CLS INVESTMENTS	148,960.71	361.31	0.00	361.31	90.0	325.18
07/18/2017	PEPPLE, GLEN	CLS INVESTMENTS	49,999.99	140.19	0.00	140.19	90.0	126.17
07/18/2017	PLATT, GINA	CLS INVESTMENTS	20,596.12	51.91	0.00	51.91	90.0	46.72
07/18/2017	PLATT, GINA	CLS INVESTMENTS	8,148.67	20.54	0.00	20.54	90.0	18.49
07/18/2017	PLATT, GINA	CLS INVESTMENTS	3,086.85	6.68	0.00	6.68	90.0	6.01
07/18/2017	PLATT, GINA	CLS INVESTMENTS	49,999.99	127.13	0.00	127.13	90.0	114.42
07/18/2017	REESE, BARRY	CLS INVESTMENTS	34,204.64	86.22	0.00	86.22	90.0	77.60
07/18/2017	RUSK, RANDALL	CLS INVESTMENTS	26,011.46	65.56	0.00	65.56	90.0	59.00
07/18/2017	SCHISLER, SHARON	CLS INVESTMENTS	26,204.94	66.05	0.00	66.05	90.0	59.45
07/18/2017	SENGER, LYNDIA	CLS INVESTMENTS	49,496.82	124.75	0.00	124.75	90.0	112.28
07/18/2017	SHEARIN, DAVID	CLS INVESTMENTS	82,294.77	199.59	0.00	199.59	90.0	179.63
07/18/2017	SHEARIN, DAVID	CLS INVESTMENTS	49,999.99	133.87	0.00	133.87	90.0	120.48
07/18/2017	SHERBURNE, SUSAN	CLS INVESTMENTS	46,276.71	116.64	0.00	116.64	90.0	104.98
07/18/2017	SIEBER, AARON	CLS INVESTMENTS	89,654.48	217.89	0.00	217.89	90.0	196.10
07/18/2017	SIEBER, AARON	CLS INVESTMENTS	49,999.99	134.12	0.00	134.12	90.0	120.71
07/18/2017	SORG, BECKY	CLS INVESTMENTS	14,032.47	32.21	0.00	32.21	90.0	28.99
07/18/2017	SORG, BECKY	CLS INVESTMENTS	20,745.29	55.46	0.00	55.46	90.0	49.91
07/18/2017	SORG, BECKY	CLS INVESTMENTS	19,788.40	45.41	0.00	45.41	90.0	40.87
07/18/2017	SORG, BECKY	CLS INVESTMENTS	29,254.70	78.20	0.00	78.20	90.0	70.38
07/18/2017	TRESSLER, KENT	CLS INVESTMENTS	10,103.37	22.29	0.00	22.29	90.0	20.06
07/18/2017	TRESSLER, KENT	CLS INVESTMENTS	49,999.99	129.21	0.00	129.21	90.0	116.29
07/18/2017	TULLOCH, CAROLYN	CLS INVESTMENTS	31,451.75	79.27	0.00	79.27	90.0	71.34
07/18/2017	WEAVER, JEFFREY	CLS INVESTMENTS	24,460.17	61.65	0.00	61.65	90.0	55.49
07/18/2017	LESHER, LINDA	CLS INVESTMENTS	63,159.68	152.44	0.00	152.44	90.0	137.20
07/18/2017	LESHER, LINDA	CLS INVESTMENTS	24,950.91	69.65	0.00	69.65	90.0	62.69

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/18/2017	LESHER, LINDA	CLS INVESTMENTS	63,408.15	153.04	0.00	153.04	90.0	137.74
07/18/2017	LESHER, LINDA	CLS INVESTMENTS	25,049.08	69.92	0.00	69.92	90.0	62.93
07/26/2017	ALLAN, BETTY	ITS MANAGEMENT	41,206.34	77.90	0.00	77.90	90.0	70.11
07/26/2017	BLAIR, BRIAN	ITS MANAGEMENT	36,959.44	93.16	0.00	93.16	90.0	83.84
07/26/2017	BRUNTON, RYAN	ITS MANAGEMENT	54,128.05	102.33	0.00	102.33	90.0	92.10
07/26/2017	CLARK, KATHLEEN	ITS MANAGEMENT	22,185.17	41.94	0.00	41.94	90.0	37.75
07/26/2017	SMITH, DAWN	ITS MANAGEMENT	36,519.08	92.05	0.00	92.05	90.0	82.85
07/26/2017	DISLER, SHARON	ITS MANAGEMENT	33,345.78	63.04	0.00	63.04	90.0	56.74
07/26/2017	ELLIOTT, RANDALL	ITS MANAGEMENT	72,166.41	90.95	0.00	90.95	90.0	81.86
07/26/2017	FISCHER, HELEN	ITS MANAGEMENT	105,581.71	199.60	0.00	199.60	90.0	179.64
07/26/2017	HUESMAN, PATRICIA	ITS MANAGEMENT	268,464.88	507.51	0.00	507.51	90.0	456.76
07/26/2017	JACKSON, NICK	ITS MANAGEMENT	40,027.27	100.89	0.00	100.89	90.0	90.80
07/26/2017	JAMSCO INC	ITS MANAGEMENT	226,160.39	285.03	0.00	285.03	90.0	256.53
07/26/2017	LAYMAN, ROBERT	ITS MANAGEMENT	2,896.25	3.61	0.00	3.61	90.0	3.25
07/26/2017	MCBRIDE, KEITH	ITS MANAGEMENT	58,472.38	147.38	0.00	147.38	90.0	132.64
07/26/2017	MYERS, HELEN	ITS MANAGEMENT	20,971.20	26.43	0.00	26.43	90.0	23.79
07/26/2017	OAR, WARREN	ITS MANAGEMENT	186,625.65	588.00	0.00	588.00	90.0	529.20
07/26/2017	RICHARDSON, AMY	ITS MANAGEMENT	65,554.49	123.93	0.00	123.93	90.0	111.54
07/26/2017	RICHARDSON, JARRED	ITS MANAGEMENT	65,526.75	123.87	0.00	123.87	90.0	111.48
07/26/2017	ROBINSON, DAVID	ITS MANAGEMENT	27,271.92	34.03	0.00	34.03	90.0	30.63
07/26/2017	ROTHGEB, CRAIG	ITS MANAGEMENT	26,078.66	55.26	0.00	55.26	90.0	49.73
07/26/2017	ROTHGEB, KELLY	ITS MANAGEMENT	12,715.44	25.46	0.00	25.46	90.0	22.91
07/26/2017	SMITH, BRENT	ITS MANAGEMENT	22,632.81	42.79	0.00	42.79	90.0	38.51
07/26/2017	SMITH, NICOLE	ITS MANAGEMENT	40,215.21	101.37	0.00	101.37	90.0	91.23
07/26/2017	STENDAHL, RANDALL	ITS MANAGEMENT	29,226.92	55.25	0.00	55.25	90.0	49.73
07/26/2017	WHITE, LOU ANN	ITS MANAGEMENT	5,730.70	10.84	0.00	10.84	90.0	9.76
07/26/2017	WILLIAMS, BARBARA	ITS MANAGEMENT	32,333.86	40.75	0.00	40.75	90.0	36.68
07/26/2017	WILLING, AARON	ITS MANAGEMENT	37,201.98	93.77	0.00	93.77	90.0	84.39
07/26/2017	VAN AUKEN, KAREN	ITS MANAGEMENT	4,252.37	-2.48	0.00	-2.48	90.0	-2.23
07/26/2017	HUESMAN, PATRICIA	ITS MANAGEMENT	9,019.29	-3.89	0.00	-3.89	90.0	-3.50
07/26/2017	LIPSCOMB, JOAN	ITS MANAGEMENT	56,689.79	-27.95	0.00	-27.95	90.0	-25.16
07/26/2017	LIPSCOMB, JOAN	ITS MANAGEMENT	50,367.93	-21.73	0.00	-21.73	90.0	-19.56
07/26/2017	LIPSCOMB, SAMUEL	ITS MANAGEMENT	57,146.05	-27.01	0.00	-27.01	90.0	-24.31

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/26/2017	ROSS, DEBRA	ITS MANAGEMENT	122,146.36	-22.59	0.00	-22.59	90.0	-20.33
07/20/2017	PEE, MICHAEL	QUARTERLY FEE	0.00	187.99	0.00	187.99	90.0	169.19
07/18/2017	ARCHBOLD, DANNY	TD AMERITRADE	0.00	992.68	0.00	992.68	90.0	893.41
07/18/2017	BAILEY, BRUCE	TD AMERITRADE	0.00	745.34	0.00	745.34	90.0	670.81
07/18/2017	BERCOT, THERESA	TD AMERITRADE	0.00	414.86	0.00	414.86	90.0	373.37
07/18/2017	BERGER, LARRY	TD AMERITRADE	0.00	501.99	0.00	501.99	90.0	451.79
07/18/2017	BOYD, LARRY	TD AMERITRADE	0.00	166.51	0.00	166.51	90.0	149.86
07/18/2017	BOYD, BONNE	TD AMERITRADE	0.00	123.72	0.00	123.72	90.0	111.35
07/18/2017	BOYD, BONNE	TD AMERITRADE	0.00	116.04	0.00	116.04	90.0	104.44
07/18/2017	BOYD, LARRY	TD AMERITRADE	0.00	122.14	0.00	122.14	90.0	109.93
07/18/2017	BRUNTON, WILLIAM	TD AMERITRADE	0.00	350.66	0.00	350.66	90.0	315.59
07/18/2017	BUSH, RANDALL	TD AMERITRADE	0.00	402.58	0.00	402.58	90.0	362.32
07/18/2017	BUSH, SANDRA	TD AMERITRADE	0.00	491.28	0.00	491.28	90.0	442.15
07/18/2017	BYRUM JR, GERALD	TD AMERITRADE	0.00	169.02	0.00	169.02	90.0	152.12
07/18/2017	CALKINS, BARBARA	TD AMERITRADE	0.00	95.33	0.00	95.33	90.0	85.80
07/18/2017	CLARK, PATRICIA	TD AMERITRADE	0.00	140.99	0.00	140.99	90.0	126.89
07/18/2017	CLARK, PATRICIA	TD AMERITRADE	0.00	154.09	0.00	154.09	90.0	138.68
07/18/2017	COLCORD, ALNETTA	TD AMERITRADE	0.00	855.39	0.00	855.39	90.0	769.85
07/18/2017	DAILEY, RITA	TD AMERITRADE	0.00	545.42	0.00	545.42	90.0	490.88
07/18/2017	DELAGARZA, MARK	TD AMERITRADE	0.00	254.29	0.00	254.29	90.0	228.86
07/18/2017	DERBYSHIRE, KAREN	TD AMERITRADE	0.00	565.02	0.00	565.02	90.0	508.52
07/18/2017	DERBYSHIRE, JAMES	TD AMERITRADE	0.00	262.95	0.00	262.95	90.0	236.66
07/18/2017	DIETSCH, RHONDA	TD AMERITRADE	0.00	164.77	0.00	164.77	90.0	148.29
07/18/2017	DIETSCH, DANIEL	TD AMERITRADE	0.00	181.75	0.00	181.75	90.0	163.58
07/18/2017	DILLIE, MAXIE	TD AMERITRADE	0.00	88.66	0.00	88.66	90.0	79.79
07/18/2017	DODGE, CAROLYN	TD AMERITRADE	0.00	566.13	0.00	566.13	90.0	509.52
07/18/2017	DURKES, DEBRA	TD AMERITRADE	0.00	99.53	0.00	99.53	90.0	89.58
07/18/2017	FLORES, PATRICIA	TD AMERITRADE	0.00	405.82	0.00	405.82	90.0	365.24
07/18/2017	GEVERINK, ANN	TD AMERITRADE	0.00	137.39	0.00	137.39	90.0	123.65
07/18/2017	GREATHEAD, PHILIP	TD AMERITRADE	0.00	228.75	0.00	228.75	90.0	205.88
07/18/2017	GRIDER, SHARON	TD AMERITRADE	0.00	87.43	0.00	87.43	90.0	78.69
07/18/2017	GRIDER, SHARON	TD AMERITRADE	0.00	180.78	0.00	180.78	90.0	162.70
07/18/2017	GUNSOLLEY, MARY	TD AMERITRADE	0.00	372.60	0.00	372.60	90.0	335.34

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/18/2017	HARMEYER, SHARYN	TD AMERITRADE	0.00	665.04	0.00	665.04	90.0	598.54
07/18/2017	HINCKS, ELIZABETH A LIF	TD AMERITRADE	0.00	344.94	0.00	344.94	90.0	310.45
07/18/2017	HINCKS, ELIZABETH A LIF	TD AMERITRADE	0.00	206.11	0.00	206.11	90.0	185.50
07/18/2017	HODAPP, CYNTHIA	TD AMERITRADE	0.00	239.46	0.00	239.46	90.0	215.51
07/18/2017	IGNEY, SHELLEY	TD AMERITRADE	0.00	211.43	0.00	211.43	90.0	190.29
07/18/2017	IGNEY, SHELLEY	TD AMERITRADE	0.00	112.14	0.00	112.14	90.0	100.93
07/18/2017	ISLEY, MICHAEL	TD AMERITRADE	0.00	722.23	0.00	722.23	90.0	650.01
07/18/2017	JORDAN, NEAL	TD AMERITRADE	0.00	983.10	0.00	983.10	90.0	884.79
07/18/2017	JORDAN, PAM	TD AMERITRADE	0.00	919.70	0.00	919.70	90.0	827.73
07/18/2017	KANOST, RUTH	TD AMERITRADE	0.00	192.46	0.00	192.46	90.0	173.21
07/18/2017	KEMP, DAVID	TD AMERITRADE	0.00	194.38	0.00	194.38	90.0	174.94
07/18/2017	KLITZMAN, RANDALL	TD AMERITRADE	0.00	212.35	0.00	212.35	90.0	191.12
07/18/2017	KLITZMAN, MARY	TD AMERITRADE	0.00	226.43	0.00	226.43	90.0	203.79
07/18/2017	LOVETT, DAVID	TD AMERITRADE	0.00	187.37	0.00	187.37	90.0	168.63
07/18/2017	LOWDEN, JAMES	TD AMERITRADE	0.00	731.17	0.00	731.17	90.0	658.05
07/18/2017	LOWDEN TRUST, KATHERINE	TD AMERITRADE	0.00	426.37	0.00	426.37	90.0	383.73
07/18/2017	MAIERS, NANCY	TD AMERITRADE	0.00	173.07	0.00	173.07	90.0	155.76
07/18/2017	MAIERS, GREGORY	TD AMERITRADE	0.00	115.87	0.00	115.87	90.0	104.28
07/18/2017	MARTIN, NINA	TD AMERITRADE	0.00	242.63	0.00	242.63	90.0	218.37
07/18/2017	MAYER, PEGGY	TD AMERITRADE	0.00	203.93	0.00	203.93	90.0	183.54
07/18/2017	MILLER, REBECCA	TD AMERITRADE	0.00	133.08	0.00	133.08	90.0	119.77
07/18/2017	MILLER, THOMAS	TD AMERITRADE	0.00	206.99	0.00	206.99	90.0	186.29
07/18/2017	MILLS, ROBERT	TD AMERITRADE	0.00	141.65	0.00	141.65	90.0	127.49
07/18/2017	MULDOON, DANIEL	TD AMERITRADE	0.00	291.15	0.00	291.15	90.0	262.04
07/18/2017	MURPHY, WILLIAM	TD AMERITRADE	0.00	1,275.27	0.00	1,275.27	90.0	1,147.74
07/18/2017	MURPHY, SUSAN	TD AMERITRADE	0.00	690.39	0.00	690.39	90.0	621.35
07/18/2017	PEE, MICHAEL	TD AMERITRADE	0.00	694.53	0.00	694.53	90.0	625.08
07/18/2017	PEE, MICHAEL	TD AMERITRADE	0.00	162.44	0.00	162.44	90.0	146.20
07/18/2017	PEPPLE, GLEN	TD AMERITRADE	0.00	268.91	0.00	268.91	90.0	242.02
07/18/2017	PEPPLE, NICOLE	TD AMERITRADE	0.00	112.56	0.00	112.56	90.0	101.30
07/18/2017	PIER, SPENCER	TD AMERITRADE	0.00	50.13	0.00	50.13	90.0	45.12
07/18/2017	RAABE, RICHARD	TD AMERITRADE	0.00	130.10	0.00	130.10	90.0	117.09
07/18/2017	REINHART, RUSSELL	TD AMERITRADE	0.00	315.48	0.00	315.48	90.0	283.93

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/18/2017	REINHART, ROCHELLE	TD AMERITRADE	0.00	19.96	0.00	19.96	90.0	17.96
07/18/2017	REMENSCHNEIDER, MARY	TD AMERITRADE	0.00	623.83	0.00	623.83	90.0	561.45
07/18/2017	ROTHGEB, CRAIG	TD AMERITRADE	0.00	127.70	0.00	127.70	90.0	114.93
07/18/2017	RUSK, RANDALL	TD AMERITRADE	0.00	488.37	0.00	488.37	90.0	439.53
07/18/2017	SAMMETINGER, EVELYN	TD AMERITRADE	0.00	182.03	0.00	182.03	90.0	163.83
07/18/2017	SAMMETINGER, EVELYN	TD AMERITRADE	0.00	142.04	0.00	142.04	90.0	127.84
07/18/2017	SAUTER, DOROTHY	TD AMERITRADE	0.00	70.24	0.00	70.24	90.0	63.22
07/18/2017	SAUTER, DOROTHY	TD AMERITRADE	0.00	224.43	0.00	224.43	90.0	201.99
07/18/2017	SAUTER, JOHN	TD AMERITRADE	0.00	49.52	0.00	49.52	90.0	44.57
07/18/2017	SCHAEFER, LOLA	TD AMERITRADE	0.00	47.78	0.00	47.78	90.0	43.00
07/18/2017	SENGER, SALLY	TD AMERITRADE	0.00	346.83	0.00	346.83	90.0	312.15
07/18/2017	SENGER, SALLY	TD AMERITRADE	0.00	54.80	0.00	54.80	90.0	49.32
07/18/2017	SHANK, DEAN	TD AMERITRADE	0.00	394.35	0.00	394.35	90.0	354.92
07/18/2017	SIEBER, AARON	TD AMERITRADE	0.00	328.90	0.00	328.90	90.0	296.01
07/18/2017	SIMPSON, DAYNA	TD AMERITRADE	0.00	125.66	0.00	125.66	90.0	113.09
07/18/2017	SIMPSON, DAYNA	TD AMERITRADE	0.00	133.83	0.00	133.83	90.0	120.45
07/18/2017	SORG, BECKY	TD AMERITRADE	0.00	402.69	0.00	402.69	90.0	362.42
07/18/2017	STANSBERRY, NANCY	TD AMERITRADE	0.00	616.91	0.00	616.91	90.0	555.22
07/18/2017	STOPPENHAGEN, DENNIS	TD AMERITRADE	0.00	722.68	0.00	722.68	90.0	650.41
07/18/2017	SULLIVAN, DIAN	TD AMERITRADE	0.00	294.41	0.00	294.41	90.0	264.97
07/18/2017	THOMAS, THELMA	TD AMERITRADE	0.00	231.61	0.00	231.61	90.0	208.45
07/18/2017	TULLOCH, CAROLYN	TD AMERITRADE	0.00	126.98	0.00	126.98	90.0	114.28
07/18/2017	TULLOCH, DAVID	TD AMERITRADE	0.00	532.41	0.00	532.41	90.0	479.17
07/18/2017	TYGER, CATHIE	TD AMERITRADE	0.00	492.99	0.00	492.99	90.0	443.69
07/18/2017	WALLACE, FREDERICK	TD AMERITRADE	0.00	208.33	0.00	208.33	90.0	187.50
07/18/2017	WEBER, KAY	TD AMERITRADE	0.00	314.77	0.00	314.77	90.0	283.29
07/18/2017	WEIMER, THOMAS	TD AMERITRADE	0.00	702.73	0.00	702.73	90.0	632.46
07/18/2017	WILLING, PATRICIA	TD AMERITRADE	0.00	227.91	0.00	227.91	90.0	205.12
07/18/2017	WILLING, LEONARD	TD AMERITRADE	0.00	107.21	0.00	107.21	90.0	96.49
07/18/2017	LIPSCOMB, JOAN	TD AMERITRADE	0.00	687.10	0.00	687.10	90.0	618.39
07/18/2017	NEWBAUER, CAMERON	TD AMERITRADE	0.00	357.48	0.00	357.48	90.0	321.73
07/18/2017	SENGER, LYNDIA	TD AMERITRADE	0.00	605.90	0.00	605.90	90.0	545.31
07/18/2017	VAN CLEAVE, BLAKE	TD AMERITRADE	0.00	399.13	0.00	399.13	90.0	359.22

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
			* RIA SUBTOTAL *	\$4,816,869.42	\$42,682.33	\$0.00	\$42,682.33	\$38,414.25
			*** BROKER TRANSACTIONS TOTAL ***	\$4,813,764.47	\$42,682.33	\$0.00	\$42,682.33	\$38,414.25

<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
07/17/2017	BURTNETTE, PATRICIA	AMERICAN HIGH INCOME TRUST CLASS F-	5,000.00	0.00	0.00	0.00	90.0	0.00
07/17/2017	BURTNETTE, PATRICIA	ANHEUSER BUSCH INBEV SA NV SPONSORE	14,922.64	0.00	0.00	0.00	90.0	0.00
07/17/2017	BURTNETTE, PATRICIA	BP PLC SPONS ADR	10,011.05	0.00	0.00	0.00	90.0	0.00
07/18/2017	SCHENKEL, ROBERT	CLS INVESTMENTS	39,309.89	34.68	0.00	34.68	90.0	31.21
07/17/2017	BURTNETTE, PATRICIA	DODGE & COX INCOME FUND	2,500.00	0.00	0.00	0.00	90.0	0.00
07/17/2017	BURTNETTE, PATRICIA	ENERGY TRANSFER PARTNERS L P NEW UN	5,051.04	0.00	0.00	0.00	90.0	0.00
07/26/2017	FORT WAYNE, CITY OF	FIDELITY INVESTMENTS	0.00	1,229.75	0.00	1,229.75	90.0	1,106.78
07/17/2017	BURTNETTE, PATRICIA	IRON MTN INC NEW COM	9,988.47	0.00	0.00	0.00	90.0	0.00
07/26/2017	BECKER, PATRICIA	ITS MANAGEMENT	31,652.11	27.93	0.00	27.93	90.0	25.14
07/26/2017	GATER, CAREY	ITS MANAGEMENT	55,607.00	36.79	0.00	36.79	90.0	33.11
07/13/2017	FIRE POLICE CITY, 401K	PRINCIPAL LIFE INS	0.00	873.98	0.00	873.98	90.0	786.58
07/20/2017	FIRE POLICE CITY, DB PLAN	PRINCIPAL LIFE INS	0.00	703.48	0.00	703.48	90.0	633.13
07/17/2017	BURTNETTE, PATRICIA	T ROWE PRICE GLOBAL MULTI-SECTOR BO	2,500.00	0.00	0.00	0.00	90.0	0.00
07/18/2017	DORSETT, JERRY	TD AMERITRADE	0.00	33.69	0.00	33.69	90.0	30.32
07/18/2017	DORSETT, LARA	TD AMERITRADE	0.00	63.82	0.00	63.82	90.0	57.44
07/18/2017	EISERLE, DAVID	TD AMERITRADE	0.00	230.99	0.00	230.99	90.0	207.89
07/18/2017	FOLTZ, SCOTT	TD AMERITRADE	0.00	24.27	0.00	24.27	90.0	21.84
07/18/2017	FOLTZ, KATHRYN	TD AMERITRADE	0.00	38.67	0.00	38.67	90.0	34.80
07/18/2017	JACOBS, BARRY	TD AMERITRADE	0.00	81.99	0.00	81.99	90.0	73.79
07/18/2017	KLINE, THOMAS	TD AMERITRADE	0.00	49.63	0.00	49.63	90.0	44.67
07/18/2017	MOUNSEY, CHRISTINE	TD AMERITRADE	0.00	114.00	0.00	114.00	90.0	102.60
			* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *	\$176,542.20	\$3,543.67	\$0.00	\$3,543.67	\$3,189.30
			*** SPLIT TRANSACTIONS TOTAL ***	\$176,542.20	\$3,543.67	\$0.00	\$3,543.67	\$3,189.30

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
07/31/2017		*MANAGED FEE EXPENSE: ACCT MINIMUM	0.00					-6.77
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-6.77

BROKER COMMISSION TOTALS

Broker Transactions	\$38,414.25
Split Transactions	\$3,189.30
Override Transactions	\$0.00
Adjustments	\$-6.77
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$41,596.78
Year-to-date Gross	\$256,375.97
Year-to-date Earnings	\$230,597.13

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