



Concorde Investment Services, LLC

09/13/2017  
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# **COMMISSION STATEMENT** **September 15, 2017**

G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRELLI/  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

**BROKER #: G0B**  
**BRANCH: FORTITUDE INVESTMENT**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
^09/01/2017	SKOLNICK, SHEILA	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	100.0	0.00
		<b>* PRIVATE PLACEMENT SUBTOTAL *</b>	<b>\$100,000.00</b>	<b>\$7,000.00</b>	<b>\$0.00</b>	<b>\$7,000.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
^08/15/2017	DOBUCKI, CHARLES	PASSCO HAVEN DST	185,000.00	11,100.00	0.00	11,100.00	100.0	0.00
		<b>* 1031 EXCHANGES SUBTOTAL *</b>	<b>\$185,000.00</b>	<b>\$11,100.00</b>	<b>\$0.00</b>	<b>\$11,100.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^08/07/2017	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	54.91	0.00	54.91	100.0	0.00
^08/07/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	5.30	0.00	5.30	100.0	0.00
^08/16/2017	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.02	0.00	2.02	100.0	0.00
^08/17/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	13.05	0.00	13.05	100.0	0.00
^08/17/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.32	0.00	5.32	100.0	0.00
^08/17/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.81	0.00	2.81	100.0	0.00
^08/17/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.10	0.00	9.10	100.0	0.00
^08/17/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.37	0.00	2.37	100.0	0.00
^08/17/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.75	0.00	10.75	100.0	0.00
^08/17/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.31	0.00	3.31	100.0	0.00
^08/17/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.08	0.00	8.08	100.0	0.00
^08/17/2017	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.47	0.00	5.47	100.0	0.00
^08/17/2017	STARK, LINDA	MAINSTAY MAP A	0.00	1.90	0.00	1.90	100.0	0.00
^08/17/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	1.79	0.00	1.79	100.0	0.00
^08/10/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	8.56	0.00	8.56	100.0	0.00
^08/21/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	10.42	0.00	10.42	100.0	0.00
^08/09/2017	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.33	0.00	0.33	100.0	0.00
^08/09/2017	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.85	0.00	0.85	100.0	0.00
^08/18/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.82	0.00	0.82	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$147.16	\$0.00	\$147.16	\$0.00
*** BROKER TRANSACTIONS TOTAL ***	\$285,000.00	\$18,247.16	\$0.00	\$18,247.16	\$0.00

ADJUSTMENTS

09/15/2017	*TECH: ADMIN FULMER	0.00	0.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.11
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.11
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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^Date - Split Trade - full Investment Amount & Gross Commission displayed