

Bill Payment Stub

Check Date:	3/7/2018
Check No.:	ACH
Check Amount:	8,894.26

Dominion Investor Services P.O. Box 4768 Horseshoe Bay, TX 78657-4768	Paid To: RICHARD A. DURAND 12919 KINGS FOREST SAN ANTONIO, TX 78230
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Date	Type	Reference	Original Amt.	Balance	Discount	Payment
2/28/2018	Bill	COMMISSIONS	9,014.26	9,014.26		9,014.26
3/1/2018	Credit	E&O	-120.00	-120.00		-120.00

COMMISSION STATEMENT

February 28, 2018

RICHARD DURAND-D020

BROKER #: D020

BRANCH: DMN

, TX

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS
PRODUCT CATEGORY: MUTUAL FUNDS

02/16/2018	646100327	RITCHIE, MATTHEW	MF	THE GROWTH FUND OF AMERICA-A	S	416.66	11.39	2.50	8.89	85.0	7.56
01/30/2018	677236136	SCHNEIDER, OLIVER	MF	OPPENHEIMER STEELPATH MLP ALPHA	S	-57,836.22	0.00	5.00	-5.00	85.0	-4.25
01/30/2018	677236136	SCHNEIDER, OLIVER	MF	REAL ESTATE FUND A	S	-8,792.69	0.00	5.00	-5.00	85.0	-4.25
				* MUTUAL FUNDS SUBTOTAL *		\$-66,212.25	\$11.39	\$12.50	\$-1.11		\$-0.94

PRODUCT CATEGORY: STOCKS

02/21/2018	123746900	AFT MINERALS LTD	S	AT&T INC	B	110,932.15	500.00	15.00	485.00	85.0	412.25
02/21/2018	123746900	AFT MINERALS LTD	S	EXXON-MOBIL CORPORATION	B	114,351.85	500.00	15.00	485.00	85.0	412.25
01/31/2018	214443197	DURAND, RICHARD	S	CEVA INC	S	-74,571.79	18.00	15.00	3.00	85.0	2.55
01/31/2018	214443197	DURAND, RICHARD	S	MARATHON OIL CORPORATION	S	-36,440.71	18.00	15.00	3.00	85.0	2.55
02/02/2018	214443197	DURAND, RICHARD	S	TWITTER INC	S	-38,843.80	18.00	15.00	3.00	85.0	2.55
02/13/2018	214443197	DURAND, RICHARD	S	TWITTER INC	B	66,740.25	18.00	15.00	3.00	85.0	2.55
02/01/2018	215168539	DOYLE II TTEE, JOHN	S	ETFS PLATINUM TRUST	B	9,654.34	140.00	15.00	125.00	85.0	106.25
02/22/2018	215168539	DOYLE II TTEE, JOHN	S	THE BLACKSTONE GROUP L.P.	B	17,142.40	225.00	15.00	210.00	85.0	178.50
02/22/2018	275964370	FERRO, RENEE	S	CASCADIAN THERAPEUTICS INC NEW	S	-877.39	21.69	0.00	21.69	85.0	18.44
02/22/2018	275964370	FERRO, RENEE	S	CASCADIAN THERAPEUTICS INC NEW	S	-738.46	18.31	15.00	3.31	85.0	2.81
02/26/2018	275964370	FERRO, RENEE	S	CLOVIS ONCOLOGY INC	B	5,630.35	70.00	15.00	55.00	85.0	46.75
02/22/2018	276073491	FERRO, JOSEPH	S	CASCADIAN THERAPEUTICS INC NEW	S	-983.95	15.03	0.00	15.03	85.0	12.78
02/22/2018	276073491	FERRO, JOSEPH	S	CASCADIAN THERAPEUTICS INC NEW	S	-983.95	15.03	0.00	15.03	85.0	12.78
02/22/2018	276073491	FERRO, JOSEPH	S	CASCADIAN THERAPEUTICS INC NEW	S	-983.95	15.03	0.00	15.03	85.0	12.78
02/22/2018	276073491	FERRO, JOSEPH	S	CASCADIAN THERAPEUTICS INC NEW	S	-983.95	15.03	0.00	15.03	85.0	12.78
02/22/2018	276073491	FERRO, JOSEPH	S	CASCADIAN THERAPEUTICS INC NEW	S	-735.51	11.27	15.00	-3.73	85.0	-3.17
02/22/2018	276073491	FERRO, JOSEPH	S	CASCADIAN THERAPEUTICS INC NEW	S	-236.14	3.61	0.00	3.61	85.0	3.07
02/26/2018	276073491	FERRO, JOSEPH	S	CLOVIS ONCOLOGY INC	B	3,193.21	90.00	34.00	56.00	85.0	47.60
02/02/2018	306712582	GUERRA, RICARDO	S	BANK OF AMERICA CORPORATION	S	-3,149.58	65.00	15.00	50.00	85.0	42.50
02/06/2018	368262501	W HERNANDEZ, DR JOE	S	AT&T INC	B	38,620.72	50.00	15.00	35.00	85.0	29.75
02/06/2018	368262501	W HERNANDEZ, DR JOE	S	VANGUARD INDEX FDS S&P 500 ETF	S	-6,005.16	50.00	15.00	35.00	85.0	29.75
01/31/2018	368357897	DOYLE, ROSEMARY	S	BP PLC-SPONS ADR	B	8,601.95	50.00	15.00	35.00	85.0	29.75
01/31/2018	368357897	DOYLE, ROSEMARY	S	QBE INSURANCE GROUP LTD ISIN	S	-8,527.35	50.00	15.00	35.00	85.0	29.75
02/01/2018	368364342	DOYLE, JOHN	S	CLOVIS ONCOLOGY INC	B	9,058.44	140.00	15.00	125.00	85.0	106.25
01/30/2018	400617109	CHASE, JP MORGAN	S	DR PEPPER SNAPPLE GROUP INC	S	-236,409.13	200.00	15.00	185.00	85.0	157.25

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
02/14/2018 676403778	FERRO, JOSEPH	S AKEBIA THERAPEUTICS INC	S	-5,716.58	85.00	15.00	70.00	85.0	59.50
02/14/2018 676403778	FERRO, JOSEPH	S CLOVIS ONCOLOGY INC	B	12,546.98	100.00	15.00	85.00	85.0	72.25
01/30/2018 677236136	SCHNEIDER, OLIVER	S ANADARKO PETROLEUM CORP	S	-4,132.72	84.98	15.00	69.98	85.0	59.48
01/30/2018 677236136	SCHNEIDER, OLIVER	S BAKER HUGHES A GE CO LLC CL A	S	-3,172.13	74.05	15.00	59.05	85.0	50.19
01/30/2018 677236136	SCHNEIDER, OLIVER	S CHEVRON CORPORATION	S	-11,728.17	171.40	15.00	156.40	85.0	132.94
01/30/2018 677236136	SCHNEIDER, OLIVER	S CONSUMER STAPLES SELECT SECTOR	S	-12,691.13	182.36	15.00	167.36	85.0	142.26
01/30/2018 677236136	SCHNEIDER, OLIVER	S CORNING INC	S	-12,914.38	184.90	15.00	169.90	85.0	144.42
01/30/2018 677236136	SCHNEIDER, OLIVER	S GRANITE CONSTRUCTION INC	S	-11,643.20	170.43	15.00	155.43	85.0	132.12
01/30/2018 677236136	SCHNEIDER, OLIVER	S HALLIBURTON COMPANY	S	-5,760.72	103.50	15.00	88.50	85.0	75.23
01/30/2018 677236136	SCHNEIDER, OLIVER	S HOME DEPOT INCORPORATED	S	-16,439.91	225.01	15.00	210.01	85.0	178.51
01/30/2018 677236136	SCHNEIDER, OLIVER	S HORMEL FOODS CORPORATION	S	-9,721.19	148.56	15.00	133.56	85.0	113.53
01/30/2018 677236136	SCHNEIDER, OLIVER	S ISHARES US AEROSPACE & DEFENSE	S	-11,906.06	173.42	15.00	158.42	85.0	134.66
01/30/2018 677236136	SCHNEIDER, OLIVER	S MARATHON OIL CORPORATION	S	-6,139.78	107.81	15.00	92.81	85.0	78.89
01/30/2018 677236136	SCHNEIDER, OLIVER	S NVIDIA CORPORATION	S	-22,034.10	288.66	15.00	273.66	85.0	232.61
01/30/2018 677236136	SCHNEIDER, OLIVER	S SPDR FD UTILITIES INDX TRADING	S	-10,180.65	153.79	15.00	138.79	85.0	117.97
01/30/2018 677236136	SCHNEIDER, OLIVER	S SPDR GOLD TRUST GOLD SHS	S	-15,359.60	212.72	15.00	197.72	85.0	168.06
01/30/2018 677236136	SCHNEIDER, OLIVER	S SPDR S&P HOMEBUILDERS ETF	S	-13,696.09	193.79	15.00	178.79	85.0	151.97
01/30/2018 677236136	SCHNEIDER, OLIVER	S SPDR S&P OIL & GAS EXPLORATI	S	-4,786.51	92.42	15.00	77.42	85.0	65.81
01/30/2018 677236136	SCHNEIDER, OLIVER	S SUMMIT MATERIALS INC - CL A	S	-9,631.51	147.54	15.00	132.54	85.0	112.66
01/30/2018 677236136	SCHNEIDER, OLIVER	S TETRA TECH INC NEW	S	-11,912.88	173.50	15.00	158.50	85.0	134.73
02/06/2018 706810903	TREADWELL, DENNIS	S CATERPILLAR INC	B	15,232.86	225.00	15.00	210.00	85.0	178.50
02/05/2018 707149578	THOMAS, MARGARET	S LAS VEGAS SANDS CORP	S	-74,166.63	500.00	15.00	485.00	85.0	412.25
02/22/2018 707149578	THOMAS, MARGARET	S AT&T INC	B	74,119.25	400.00	15.00	385.00	85.0	327.25
02/22/2018 707149578	THOMAS, MARGARET	S EXXON-MOBIL CORPORATION	B	75,981.45	400.00	15.00	385.00	85.0	327.25
* STOCKS SUBTOTAL *				\$-122,398.56	\$6,915.84	\$664.00	\$6,251.84		\$5,314.11

PRODUCT CATEGORY: MISCELLANEOUS

01/31/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 677236136	S	0.00	10.00	0.00	10.00	85.0	8.50
01/31/2018 a03884599	VARIOUS, DURAND	MI MUTUAL FUND MISC FEE 677236136	S	0.00	10.00	0.00	10.00	85.0	8.50
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$20.00	\$0.00	\$20.00		\$17.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/22/2018 mfc884599	DURAND 884599	MFT AMCAP FUND-A	B	0.00	2.36	0.00	2.36	85.0	2.01
02/22/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	1.20	0.00	1.20	85.0	1.02
02/22/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	2.74	0.00	2.74	85.0	2.33
02/22/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	2.74	0.00	2.74	85.0	2.33
02/22/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.23	0.00	4.23	85.0	3.60
02/22/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.29	0.00	4.29	85.0	3.65
02/22/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	4.65	0.00	4.65	85.0	3.95
02/22/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.09	0.00	5.09	85.0	4.33
02/22/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.30	0.00	5.30	85.0	4.51
02/22/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.30	0.00	5.30	85.0	4.51
02/22/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	5.30	0.00	5.30	85.0	4.51
02/22/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	6.89	0.00	6.89	85.0	5.86
02/22/2018 mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	11.19	0.00	11.19	85.0	9.51

COMMISSION STATEMENT for RICHARD DURAND-D020

				INVESTMENT		GROSS	CLEARING	NET	BROKER	
TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
02/22/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	20.90	0.00	20.90	85.0	17.77
02/22/2018	mfc884599	DURAND 884599	MFT AMERICAN BALANCED FUND-A	B	0.00	56.31	0.00	56.31	85.0	47.86
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL APPRECIATION A	B	0.00	0.39	0.00	0.39	85.0	0.33
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.69	0.00	1.69	85.0	1.44
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.06	0.00	4.06	85.0	3.45
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.87	0.00	5.87	85.0	4.99
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.15	0.00	6.15	85.0	5.23
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.75	0.00	6.75	85.0	5.74
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	1.42	0.00	1.42	85.0	1.21
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-F1	B	0.00	0.45	0.00	0.45	85.0	0.38
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.16	0.00	1.16	85.0	0.99
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.16	0.00	1.16	85.0	0.99
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.49	0.00	6.49	85.0	5.52
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.09	0.00	0.09	85.0	0.08
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.91	0.00	0.91	85.0	0.77
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.90	0.00	0.90	85.0	0.77
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.96	0.00	0.96	85.0	0.82
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.12	0.00	1.12	85.0	0.95
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.64	0.00	1.64	85.0	1.39
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.70	0.00	1.70	85.0	1.45
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.71	0.00	1.71	85.0	1.45
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.99	0.00	1.99	85.0	1.69
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.03	0.00	2.03	85.0	1.73
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.20	0.00	2.20	85.0	1.87
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.69	0.00	2.69	85.0	2.29
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.81	0.00	2.81	85.0	2.39
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.61	0.00	4.61	85.0	3.92
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.46	0.00	5.46	85.0	4.64
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.46	0.00	5.46	85.0	4.64
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.46	0.00	5.46	85.0	4.64
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.77	0.00	6.77	85.0	5.75
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.05	0.00	7.05	85.0	5.99
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.35	0.00	7.35	85.0	6.25
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	8.12	0.00	8.12	85.0	6.90
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	21.14	0.00	21.14	85.0	17.97
02/22/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	97.51	0.00	97.51	85.0	82.88
02/22/2018	mfc884599	DURAND 884599	MFT COHEN & STEERS INTL REALTY FD	B	0.00	7.19	0.00	7.19	85.0	6.11
02/22/2018	mfc884599	DURAND 884599	MFT DAVIS NEW YORK VENTURE CLASS C	B	0.00	17.10	0.00	17.10	85.0	14.54
02/22/2018	mfc884599	DURAND 884599	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	0.15	0.00	0.15	85.0	0.13
02/22/2018	mfc884599	DURAND 884599	MFT EMERGING GROWTH FUND A	B	0.00	1.24	0.00	1.24	85.0	1.05
02/22/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	0.79	0.00	0.79	85.0	0.67
02/22/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	1.13	0.00	1.13	85.0	0.96
02/22/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.67	0.00	0.67	85.0	0.57
02/22/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	1.88	0.00	1.88	85.0	1.60

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
02/22/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	6.47	0.00	6.47	85.0	5.50
02/22/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	6.59	0.00	6.59	85.0	5.60
02/22/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	13.41	0.00	13.41	85.0	11.40
02/22/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	3.35	0.00	3.35	85.0	2.85
02/22/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	11.16	0.00	11.16	85.0	9.49
02/22/2018	mfc884599	DURAND 884599	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	13.02	0.00	13.02	85.0	11.07
02/22/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	1.56	0.00	1.56	85.0	1.33
02/22/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND	B	0.00	2.25	0.00	2.25	85.0	1.91
02/22/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.43	0.00	0.43	85.0	0.37
02/22/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.96	0.00	1.96	85.0	1.67
02/22/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.19	0.00	2.19	85.0	1.86
02/22/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.21	0.00	2.21	85.0	1.88
02/22/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.66	0.00	3.66	85.0	3.11
02/22/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.73	0.00	3.73	85.0	3.17
02/22/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	4.63	0.00	4.63	85.0	3.94
02/22/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	7.38	0.00	7.38	85.0	6.27
02/22/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	24.76	0.00	24.76	85.0	21.05
02/22/2018	mfc884599	DURAND 884599	MFT GLOBAL FUND A	B	0.00	4.31	0.00	4.31	85.0	3.66
02/22/2018	mfc884599	DURAND 884599	MFT GLOBAL OPPORTUNITIES A	B	0.00	4.57	0.00	4.57	85.0	3.88
02/22/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.59	0.00	1.59	85.0	1.35
02/22/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.30	0.00	2.30	85.0	1.96
02/22/2018	mfc884599	DURAND 884599	MFT HARTFORD DIVIDEND & GROWTH FD	B	0.00	5.47	0.00	5.47	85.0	4.65
02/22/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	1.33	0.00	1.33	85.0	1.13
02/22/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	1.54	0.00	1.54	85.0	1.31
02/22/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	11.56	0.00	11.56	85.0	9.83
02/22/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL SMALL COMPANY A	B	0.00	1.30	0.00	1.30	85.0	1.11
02/22/2018	mfc884599	DURAND 884599	MFT LIFESTYLE GROWTH PORTFOLIO A	B	0.00	5.81	0.00	5.81	85.0	4.94
02/22/2018	mfc884599	DURAND 884599	MFT MAIN STREET FUND A	B	0.00	1.17	0.00	1.17	85.0	0.99
02/22/2018	mfc884599	DURAND 884599	MFT MAIN STREET FUND A	B	0.00	2.31	0.00	2.31	85.0	1.96
02/22/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	3.40	0.00	3.40	85.0	2.89
02/22/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	3.42	0.00	3.42	85.0	2.91
02/22/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	3.05	0.00	3.05	85.0	2.59
02/22/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	3.08	0.00	3.08	85.0	2.62
02/22/2018	mfc884599	DURAND 884599	MFT NEW OPPORTUNITIES A	B	0.00	17.35	0.00	17.35	85.0	14.75
02/22/2018	mfc884599	DURAND 884599	MFT NEW WORLD FUND-A	B	0.00	1.43	0.00	1.43	85.0	1.22
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	1.22	0.00	1.22	85.0	1.04
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	1.29	0.00	1.29	85.0	1.10
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	0.56	0.00	0.56	85.0	0.48
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	1.62	0.00	1.62	85.0	1.38
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	1.77	0.00	1.77	85.0	1.50
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	3.33	0.00	3.33	85.0	2.83
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	3.45	0.00	3.45	85.0	2.93
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	4.41	0.00	4.41	85.0	3.75
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	5.26	0.00	5.26	85.0	4.47

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	11.59	0.00	11.59	85.0	9.85
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	0.55	0.00	0.55	85.0	0.47
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	1.18	0.00	1.18	85.0	1.00
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	2.62	0.00	2.62	85.0	2.23
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	2.75	0.00	2.75	85.0	2.34
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER INTERNATIONAL	B	0.00	0.56	0.00	0.56	85.0	0.48
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER INTERNATIONAL	B	0.00	2.74	0.00	2.74	85.0	2.33
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER INTERNATIONAL	B	0.00	8.89	0.00	8.89	85.0	7.56
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER SENIOR FLOATING	B	0.00	0.43	0.00	0.43	85.0	0.37
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER DISCOVERY CLASS A	B	0.00	0.62	0.00	0.62	85.0	0.53
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	2.29	0.00	2.29	85.0	1.95
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER U.S. GOVT TR CLASS	B	0.00	0.52	0.00	0.52	85.0	0.44
02/22/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER SMALL & MID CAP	B	0.00	0.90	0.00	0.90	85.0	0.77
02/22/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON VALUE FUND	B	0.00	2.45	0.00	2.45	85.0	2.08
02/22/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	0.75	0.00	0.75	85.0	0.64
02/22/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	3.34	0.00	3.34	85.0	2.84
02/22/2018	mfc884599	DURAND 884599	MFT QUEST VALUE A	B	0.00	0.57	0.00	0.57	85.0	0.48
02/22/2018	mfc884599	DURAND 884599	MFT REAL ESTATE FUND A	B	0.00	1.79	0.00	1.79	85.0	1.52
02/22/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-C	B	0.00	1.36	0.00	1.36	85.0	1.16
02/22/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-C	B	0.00	2.65	0.00	2.65	85.0	2.25
02/22/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.34	0.00	0.34	85.0	0.29
02/22/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.36	0.00	0.36	85.0	0.31
02/22/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	1.00	0.00	1.00	85.0	0.85
02/22/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	3.13	0.00	3.13	85.0	2.66
02/22/2018	mfc884599	DURAND 884599	MFT TEMP WORLD FND - CL C	B	0.00	16.08	0.00	16.08	85.0	13.67
02/22/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.46	0.00	1.46	85.0	1.24
02/22/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.52	0.00	1.52	85.0	1.29
02/22/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.22	0.00	2.22	85.0	1.89
02/22/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.46	0.00	2.46	85.0	2.09
02/22/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.64	0.00	3.64	85.0	3.09
02/22/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.65	0.00	5.65	85.0	4.80
02/22/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.15	0.00	6.15	85.0	5.23
02/22/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.15	0.00	6.15	85.0	5.23
02/22/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.28	0.00	7.28	85.0	6.19
02/22/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.11	0.00	11.11	85.0	9.44
02/22/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	27.32	0.00	27.32	85.0	23.22
02/22/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	29.37	0.00	29.37	85.0	24.96
02/22/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	0.47	0.00	0.47	85.0	0.40
02/22/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	1.06	0.00	1.06	85.0	0.90
02/22/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	77.82	0.00	77.82	85.0	66.15
02/22/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CONSERVATIVE ALLOC	B	0.00	3.42	0.00	3.42	85.0	2.91
02/22/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.01	0.00	4.01	85.0	3.41
02/22/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.53	0.00	4.53	85.0	3.85
02/22/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.84	0.00	4.84	85.0	4.11

COMMISSION STATEMENT for RICHARD DURAND-D020

				INVESTMENT		GROSS	CLEARING	NET	BROKER		
TRADE DATE	CLIENT			INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
02/22/2018	mfc884599	DURAND 884599		MFT THE INVESTMENT COMPANY OF	B	0.00	5.23	0.00	5.23	85.0	4.45
02/22/2018	mfc884599	DURAND 884599		MFT THE INVESTMENT COMPANY OF	B	0.00	5.73	0.00	5.73	85.0	4.87
02/22/2018	mfc884599	DURAND 884599		MFT THE INVESTMENT COMPANY OF	B	0.00	5.73	0.00	5.73	85.0	4.87
02/22/2018	mfc884599	DURAND 884599		MFT THE INVESTMENT COMPANY OF	B	0.00	9.07	0.00	9.07	85.0	7.71
02/22/2018	mfc884599	DURAND 884599		MFT THE INVESTMENT COMPANY OF	B	0.00	9.23	0.00	9.23	85.0	7.85
02/22/2018	mfc884599	DURAND 884599		MFT THE INVESTMENT COMPANY OF	B	0.00	27.35	0.00	27.35	85.0	23.25
02/22/2018	mfc884599	DURAND 884599		MFT THE INVESTMENT COMPANY OF	B	0.00	33.96	0.00	33.96	85.0	28.87
02/22/2018	mfc884599	DURAND 884599		MFT TRANS AMERICA IDEX 13	B	0.00	45.37	0.00	45.37	85.0	38.56
02/22/2018	mfc884599	DURAND 884599		MFT TRANSAMERICA MULTI-MANAGED	B	0.00	155.36	0.00	155.36	85.0	132.06
02/22/2018	mfc884599	DURAND 884599		MFT TRANSAMERICA ASSET ALLOC	B	0.00	43.78	0.00	43.78	85.0	37.21
02/22/2018	mfc884599	DURAND 884599		MFT TRANSAMERICA SHORT TERM BOND FD	B	0.00	636.94	0.00	636.94	85.0	541.40
02/22/2018	mfc884599	DURAND 884599		MFT TRANSAMERICA INTL EQUITY FD A	B	0.00	45.46	0.00	45.46	85.0	38.64
02/22/2018	mfc884599	DURAND 884599		MFT TRANSAMERICA LARGE CAP VALUE FD	B	0.00	45.14	0.00	45.14	85.0	38.37
02/22/2018	mfc884599	DURAND 884599		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.67	0.00	0.67	85.0	0.57
02/22/2018	mfc884599	DURAND 884599		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.69	0.00	0.69	85.0	0.59
02/22/2018	mfc884599	DURAND 884599		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.86	0.00	0.86	85.0	0.73
02/22/2018	mfc884599	DURAND 884599		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.79	0.00	1.79	85.0	1.52
02/22/2018	mfc884599	DURAND 884599		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.06	0.00	2.06	85.0	1.75
02/22/2018	mfc884599	DURAND 884599		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.62	0.00	2.62	85.0	2.23
02/22/2018	mfc884599	DURAND 884599		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.65	0.00	2.65	85.0	2.25
02/22/2018	mfc884599	DURAND 884599		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.99	0.00	3.99	85.0	3.39
02/22/2018	mfc884599	DURAND 884599		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.21	0.00	4.21	85.0	3.58
02/22/2018	mfc884599	DURAND 884599		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.77	0.00	5.77	85.0	4.90
02/22/2018	mfc884599	DURAND 884599		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.77	0.00	5.77	85.0	4.90
02/22/2018	mfc884599	DURAND 884599		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.77	0.00	5.77	85.0	4.90
02/22/2018	mfc884599	DURAND 884599		MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.49	0.00	7.49	85.0	6.37
02/22/2018	mfc884599	DURAND 884599		MFT WELLS FARGO GOVT SEC FD CL A	B	0.00	5.65	0.00	5.65	85.0	4.80
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$1,988.85	\$0.00	\$1,988.85		\$1,690.64
*** CLEARING TRANSACTIONS TOTAL ***						\$-188,610.81	\$8,936.08	\$676.50	\$8,259.58		\$7,020.81

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

02/15/2018	00067562789	PEREZ, GRACIELA	MF	AMERICAN HIGH-INCOME TRUST-A	B	150.00	4.50	0.00	4.50	85.0	3.83
02/20/2018	00086533271	VILLA, KELLIE	MF	THE NEW ECONOMY FUND-529A	B	200.00	5.50	0.00	5.50	85.0	4.68
02/20/2018	00660109470	HANSON, CATHY	MF	INVESCO AMERICAN FRANCHISE FUND	B	100.00	4.00	0.00	4.00	85.0	3.40
* MUTUAL FUNDS SUBTOTAL *						\$450.00	\$14.00	\$0.00	\$14.00		\$11.91

PRODUCT CATEGORY: VARIABLE ANNUITIES

02/18/2018 (None) MATTE, JOSEPH	VA	AMERITAS	B	0.00	5.93	0.00	5.93	85.0	5.04
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$5.93	\$0.00	\$5.93		\$5.04

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/31/2018 (None)	VARIOUS, DURAND	MFT COLUMBIA THREADNEEDLE	B	0.00	59.31	0.00	59.31	85.0	50.41
01/31/2018 (None)	VARIOUS, DURAND	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	31.81	0.00	31.81	85.0	27.04
02/09/2018 08308608103	CORNELISON,	MFT WELLS FARGO MUNICIPAL BOND-A	B	0.00	0.75	0.00	0.75	85.0	0.64
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$91.87	\$0.00	\$91.87		\$78.09
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
02/06/2018 (None)	DOYLE, ROSEMARY	VAT TRANSAMERICA LIFE	B	0.00	226.63	0.00	226.63	85.0	192.64
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$226.63	\$0.00	\$226.63		\$192.64
*** DIRECT TRANSACTIONS TOTAL ***				\$450.00	\$338.43	\$0.00	\$338.43		\$287.68

ADJUSTMENTS

02/28/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
02/28/2018	:	BROKER WORKSTATION-CARSON	0.00	0.00	0.00	0.00	-30.00
02/28/2018	:	BROKER WORKSTATION-GIFFEN	0.00	0.00	0.00	0.00	-30.00
02/28/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
02/28/2018	:	E-MAIL ACCOUNT-CARSON	0.00	0.00	0.00	0.00	-25.00
02/28/2018	:	MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	1,845.77
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$1,705.77

BROKER COMMISSION TOTALS

Broker Transactions	\$7,308.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$1,705.77
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$9,014.26
Year-to-date Commissions	\$37,075.58