

# STATEMENT

## February 20, 2018

SCOTT MACNEILAGE  
8826 N Bank Dr  
SUITE 620  
Ventura, CA 93004

BROKER #: 050  
BRANCH:

### COMMISSION STATEMENT for SCOTT MACNEILAGE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
01/16/2018	#01482511589 RUBIOSANDD	FIDELITY ADV	100.00	1.00	75.0	0.00	0.75	0.00	0.75
* MUTUAL FUNDS SUBTOTAL *			\$100.00	\$1.00		\$0.00	\$0.75	\$0.00	\$0.75
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
01/04/2018	# MATHIS, DENNIS	DIREXION SHARES ETF TRUST DAILY FIN	850.69	50.00	75.0	0.00	37.50	18.00	19.50
01/04/2018	# MATHIS, DENNIS	PROSHARES TRUST PROSHARES SHORT S&P	1,245.83	50.00	75.0	0.00	37.50	18.00	19.50
* STOCKS SUBTOTAL *			\$2,096.52	\$100.00		\$0.00	\$75.00	\$36.00	\$39.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
12/29/2017	#77899 CALENDER'S, MARIE	LINCOLN	0.00	2.51	75.0	0.00	1.88	0.00	1.88
12/29/2017	#77899 CALENDER'S, MARIE	LINCOLN	0.00	10.04	75.0	0.00	7.53	0.00	7.53
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$12.55		\$0.00	\$9.41	\$0.00	\$9.41
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
02/07/2018	#00702703950 VARIOUS	FA 529 LIMITED TERM BOND PORTFOLIO	0.00	0.14	75.0	0.00	0.11	0.00	0.11
02/07/2018	#00534236732 VARIOUS	FA 529 DIVERSIFIED INTL PORT-CL A	0.00	1.85	75.0	0.00	1.39	0.00	1.39
02/07/2018	#00702703950 VARIOUS	FA 529 HIGH INCOME PORT-CL A	0.00	0.09	75.0	0.00	0.07	0.00	0.07
02/07/2018	#01440047817 VARIOUS	FA 529 SMALL CAP PORT-CL A	0.00	1.18	75.0	0.00	0.89	0.00	0.89
02/07/2018	#00701810756 VARIOUS	FA 529 VALUE STRATEGIES	0.00	2.57	75.0	0.00	1.93	0.00	1.93
02/07/2018	#00701810756 VARIOUS	FA 529 VALUE STRATEGIES PORT-CL C	0.00	2.23	75.0	0.00	1.67	0.00	1.67
02/07/2018	#01482511589 RUBIOSANDD	FIDELITY ADV	0.00	2.23	75.0	0.00	1.67	0.00	1.67
02/07/2018	#00702703950 VARIOUS	FIDELITY STRATIGIC	0.00	0.30	75.0	0.00	0.23	0.00	0.23
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$10.59		\$0.00	\$7.96	\$0.00	\$7.96
*** BROKER TRANSACTIONS TOTAL ***			\$2,196.52	\$124.14		\$0.00	\$93.12	\$36.00	\$57.12

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ADJUSTMENTS

02/20/2018		BETA BACK OFFICE: ADMIN FEE							-320.00
02/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$57.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-441.88</u>
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