

COMMISSION STATEMENT**February 01, 2018**

TRACY CUDA
3200 N. Central Ave
Suite 200
Phoenix, AZ 85012

BROKER #: 73670
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities America**BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

02/01/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-100.00	0.00	-100.00	-36.55
02/01/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	205.60	0.00	205.60	75.15
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$105.60</u>	<u>\$0.00</u>	<u>\$105.60</u>	<u>\$38.60</u>

PRODUCT CATEGORY: RIA

02/01/2018	CUDA (73670), TRACY	SAI ADVISORY INCOME (CUE RIA)	0.00	-93.62	0.00	-93.62	-34.22
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$-93.62</u>	<u>\$0.00</u>	<u>\$-93.62</u>	<u>\$-34.22</u>

PRODUCT CATEGORY: TRAILERS

02/01/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	387.59	0.00	387.59	141.66
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$387.59</u>	<u>\$0.00</u>	<u>\$387.59</u>	<u>\$141.66</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$399.57</u>	<u>\$0.00</u>	<u>\$399.57</u>	<u>\$146.04</u>

OVERRIDE TRANSACTIONS**BROKER: JODI GALLOVICH #73712**

02/01/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	1,929.28	0.00	1,929.28	259.49
02/01/2018	GALLOVICH (73712), JODI	SAI VARIABLE INCOME	0.00	1,330.12	0.00	1,330.12	178.90
02/01/2018	GALLOVICH (73712), JODI	SAI.TRAILS	0.00	25,780.67	0.00	25,780.67	3,467.50
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$29,040.07</u>	<u>\$0.00</u>	<u>\$29,040.07</u>	<u>\$3,905.89</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$29,040.07</u>	<u>\$0.00</u>	<u>\$29,040.07</u>	<u>\$3,905.89</u>

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities America (continued)

ADJUSTMENTS

18. SAI SIPC FOR PE 01/19/2018							-8.79
33. SAI SIPC FOR PE 01/26/2018							-10.28
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-19.07

BROKER COMMISSION TOTALS

Broker Transactions	\$146.04
Split Transactions	\$0.00
Override Transactions	\$3,905.89
Adjustments	\$-19.07
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,032.86
Year-to-date Earnings	\$33,005.32