

STATEMENT

January 20, 2018

PAT CORCORAN

BROKER #: 702

BRANCH: LG BRANCH

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COMMISSION STATEMENT for PAT CORCORAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/29/2017 #	BOETTGER, AGNES	AMERICAN OUTDOOR BRANDS CORPORATION	11,359.09	137.84	80.0	0.00	110.27	18.00	92.27
11/29/2017 #	CORCORAN, PAT	SAILPOINT TECHNOLOGIES	1,684.81	33.23	80.0	0.00	26.58	18.00	8.58
11/29/2017 #	CORCORAN, JAMES	SAILPOINT TECHNOLOGIES	7,158.65	75.00	80.0	0.00	60.00	18.00	42.00
11/30/2017 #	RICHARDSON, SAMUEL	ALLY FINANCIAL INC	14,884.28	75.68	80.0	0.00	60.54	18.00	42.54
11/30/2017 #	LIV TRUST, AGNES	BANK OF AMERICA CORP	23,979.35	179.64	80.0	0.00	143.71	18.00	125.71
12/04/2017 #	MCGILL, SEAMUS	CONSOLIDATED COMMUNICATIONS HLDGS I	20,797.65	176.83	80.0	0.00	141.46	18.00	123.46
12/04/2017 #	MCGILL, SEAMUS	SAILPOINT TECHNOLOGIES	20,786.96	228.95	80.0	0.00	183.16	18.00	165.16
12/06/2017 #	RODRIGUEZ, VICTOR	OMEGA HEALTHCARE INVESTORS INC	1,389.95	40.00	80.0	0.00	32.00	18.00	14.00
12/06/2017 #	LIV TRUST, AGNES	POINTS INTERNATIONAL LTD	8,418.17	117.88	80.0	0.00	94.30	18.00	76.30
12/06/2017 #	RICHARDSON, SAMUEL	SAILPOINT TECHNOLOGIES	14,104.40	172.56	80.0	0.00	138.05	18.00	120.05
12/06/2017 #	LIV TRUST, AGNES	SAILPOINT TECHNOLOGIES	14,907.00	173.84	80.0	0.00	139.07	18.00	121.07
12/07/2017 #	CORCORAN, PAT	AMERICAN OUTDOOR BRANDS CORPORATION	31,932.73	50.00	80.0	0.00	40.00	18.00	22.00
12/07/2017 #	RICHARDSON, SAMUEL	AMERICAN OUTDOOR BRANDS CORPORATION	15,615.73	184.56	80.0	0.00	147.65	18.00	129.65
12/07/2017 #	RICHARDSON, SAMUEL	DUFF & PHELPS GLOBAL UTILITY INCOME	15,499.89	177.54	80.0	0.00	142.03	18.00	124.03
12/07/2017 #	CORCORAN, PAT	SAILPOINT TECHNOLOGIES	32,919.90	50.00	80.0	0.00	40.00	18.00	22.00
12/07/2017 #	RICHARDSON, SAMUEL	SAILPOINT TECHNOLOGIES	15,002.10	145.33	80.0	0.00	116.26	18.00	98.26
12/07/2017 #	CORCORAN, JAMES	SAILPOINT TECHNOLOGIES	23,684.90	50.00	80.0	0.00	40.00	18.00	22.00
12/07/2017 #	LIV TRUST, AGNES	SAILPOINT TECHNOLOGIES	15,583.00	146.55	80.0	0.00	117.24	18.00	99.24
12/08/2017 #	MCGILL, SEAMUS	SAILPOINT TECHNOLOGIES	22,286.54	248.92	80.0	0.00	199.14	18.00	181.14
12/12/2017 #	MCGILL, SEAMUS	SAILPOINT TECHNOLOGIES	20,458.20	229.84	80.0	0.00	183.87	18.00	165.87
12/12/2017 #	CORCORAN, PAT	SAILPOINT TECHNOLOGIES	37,000.00	150.00	80.0	0.00	120.00	18.00	102.00
12/12/2017 #	RICHARDSON, SAMUEL	SAILPOINT TECHNOLOGIES	14,605.00	178.93	80.0	0.00	143.14	18.00	125.14
12/12/2017 #	CORCORAN, JAMES	SAILPOINT TECHNOLOGIES	21,822.00	237.98	80.0	0.00	190.38	18.00	172.38
12/12/2017 #	LIV TRUST, AGNES	SAILPOINT TECHNOLOGIES	15,298.50	188.26	80.0	0.00	150.61	18.00	132.61
12/13/2017 #	RICHARDSON, KYANN	OMEGA HEALTHCARE INVESTORS INC	25,803.08	60.00	80.0	0.00	48.00	18.00	30.00
12/13/2017 #	RICHARDSON, KYANN	SAILPOINT TECHNOLOGIES	18,477.30	193.50	80.0	0.00	154.80	18.00	136.80
12/18/2017 #	CORCORAN, PAT	SAILPOINT TECHNOLOGIES	14,123.30	30.00	80.0	0.00	24.00	18.00	6.00

COMMISSION STATEMENT for PAT CORCORAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
* STOCKS SUBTOTAL *			\$479,582.48	\$3,732.86		\$0.00	\$2,986.26	\$486.00	\$2,500.26
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
01/02/2018	#00550583522	UGMA/UTMA, PUTNAM CAP. OPPORTUNITIES-A	0.00	1.11	80.0	0.00	0.89	0.00	0.89
01/02/2018	#00441258960	ESA, COVERDELL PUTNAM DYNAMIC ASSET ALL CON-A	0.00	3.97	80.0	0.00	3.18	0.00	3.18
01/02/2018	#00441289729	PLAN PUTNAM DYNAMIC ASSET ALL CON-A	0.00	0.05	80.0	0.00	0.04	0.00	0.04
01/02/2018	#00441352750	PLAN PUTNAM DYNAMIC ASSET ALL CON-A	0.00	0.55	80.0	0.00	0.44	0.00	0.44
01/02/2018	#00451439709	ESA, COVERDELL PUTNAM DYNAMIC ASSET ALL CON-A	0.00	1.09	80.0	0.00	0.87	0.00	0.87
01/02/2018	#00550583522	UGMA/UTMA, PUTNAM INTL CAPITAL OPPS-A	0.00	0.78	80.0	0.00	0.62	0.00	0.62
01/02/2018	#00550186120	PLAN PUTNAM U S GOVERNMENT INCOME TRUST-	0.00	0.67	80.0	0.00	0.54	0.00	0.54
01/02/2018	#00550186121	PLAN PUTNAM U S GOVERNMENT INCOME TRUST-	0.00	0.07	80.0	0.00	0.06	0.00	0.06
01/02/2018	#00550583522	UGMA/UTMA, PUTNAM U S GOVERNMENT INCOME TRUST-	0.00	0.96	80.0	0.00	0.77	0.00	0.77
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$9.25		\$0.00	\$7.41	\$0.00	\$7.41
*** BROKER TRANSACTIONS TOTAL ***			\$479,582.48	\$3,742.11		\$0.00	\$2,993.67	\$486.00	\$2,507.67

ADJUSTMENTS

01/20/2018	BETA BACK OFFICE: ADMIN FEE	-289.00
01/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-468.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,507.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-468.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,039.67
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