



# COMMISSION STATEMENT

## February 05, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
01/31/2018	***-**-9806 - KERINS, MARILYN	GENWORTH 37250G327	0.00	11.73	0.00	11.73	90.0	10.56
	ACCOUNT #: T06433169	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			<b>\$0.00</b>	<b>\$11.73</b>	<b>\$0.00</b>	<b>\$11.73</b>		<b>\$10.56</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$0.00</b>	<b>\$11.73</b>	<b>\$0.00</b>	<b>\$11.73</b>		<b>\$10.56</b>

### VERRIDE TRANSACTIONS

#### **BROKER: CHRISTOPHER MILGROOM**

02/05/2018	***-**-9109 - PASCH, ROBERT	BLACKROCK FUNDS	0.00	4.78	0.00	4.78	15.0	0.72
	ACCOUNT #: 51554475	BUY 0 Units @0.0000						
02/05/2018	***-**-844P - COLIN CAMPBELL	BLACKROCK FUNDS	0.00	0.32	0.00	0.32	15.0	0.05
	ACCOUNT #: 40959967	BUY 0 Units @0.0000						
02/05/2018	***-**-9109 - PASCH, ROBERT	BLACKROCK TRAILS	0.00	4.78	0.00	4.78	15.0	0.72
	ACCOUNT #: 51554475	BUY 0 Units @0.0000						
02/05/2018	***-**-9375 - KATZ, ADAM J	BLACKROCK TRAILS	0.00	0.27	0.00	0.27	15.0	0.04
	ACCOUNT #: 5290566834	BUY 0 Units @0.0000						
01/22/2018	***-**-461I - CAMPBELL, COLIN	CAPITAL WORLD GROWTH AND INCOME FUN	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @56.0100						
01/16/2018	***-**-3804 - LETOURNEAU,	EQUITY INCOME FUND, INC. A	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 08352639846	BUY 0 Units @0.0000						
01/22/2018	***-**-461I - CAMPBELL, COLIN	EUROPACIFIC GROWTH FUND-A	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @61.9100						
01/16/2018	***-**-3804 - LETOURNEAU,	GLOBAL OPPORTUNITIES A	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 02156825863	BUY 0 Units @0.0000						
01/27/2018	***-**-6062 - FIGUERAS,	ING ADVANTAGE SELECT	0.00	11.72	0.00	11.72	15.0	1.76
	ACCOUNT #: R077163-ZS	BUY 0 Units @0.0000						
01/27/2018	***-**-2107 - FIGUERAS, DAURIS	ING ADVANTAGE SELECT	0.00	25.63	0.00	25.63	15.0	3.84
	ACCOUNT #: R077170-ZS	BUY 0 Units @0.0000						
02/01/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	450.00	4.50	0.00	4.50	15.0	0.68
	ACCOUNT #: R074824-ZS	BUY 0 Units @0.0000						
01/31/2018	***-**-6647 - CABLE, ROBERT	JOHN HANCOCK 41014C325	0.00	182.97	0.00	182.97	15.0	27.45
	ACCOUNT #: 8069017	BUY 0 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: CHRISTOPHER MILGROOM (continued)</u></b>								
01/16/2018	***-**-3804 - LETOURNEAU, ACCOUNT #: 01952878018	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	15.0	0.36
02/05/2018	***-**-345P - WIEBE, PHILIP ACCOUNT #: 02000070602	PORTFOLIO PLAN C BUY 0 Units @0.0000	150.00	1.50	0.00	1.50	15.0	0.23
01/22/2018	***-**-461I - CAMPBELL, COLIN ACCOUNT #: 00084984880	THE GROWTH FUND OF AMERICA-A BUY 3 Units @55.0700	180.00	4.95	0.00	4.95	15.0	0.74
* CHRISTOPHER MILGROOM SUBTOTAL *			<u>\$1,290.00</u>	<u>\$258.46</u>	<u>\$0.00</u>	<u>\$258.46</u>		<u>\$38.79</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$1,290.00</u>	<u>\$258.46</u>	<u>\$0.00</u>	<u>\$258.46</u>		<u>\$38.79</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$10.56
Split Transactions	\$0.00
Override Transactions	\$38.79
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$85.49
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$134.84
Year-to-date Earnings	\$10.56