

STATEMENT

February 20, 2018

FRANK AUDITORE
19 WALDEN DR

BROKER #: 015
BRANCH: LA BRANCH

EASTON, MA 02375-

COMMISSION STATEMENT for FRANK AUDITORE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/08/2018 #00088451605	WOOSTER, TIMOTHY	FUNDAMENTAL INVESTORS INC CLASS A	B	125.00	5.31	0.00	0.00	5.31	85.0	4.51
01/08/2018 #00088451605	WOOSTER, TIMOTHY	WASHINGTON MUTUAL INVS FD CLASS A	B	125.00	5.31	0.00	0.00	5.31	85.0	4.51
* MUTUAL FUNDS SUBTOTAL *				\$250.00	\$10.62	\$0.00	\$0.00	\$10.62		\$9.02

PRODUCT CATEGORY: STOCKS

01/02/2018 #	SKEIBER, STANLEY	TENCENT HOLDINGS LIMITED UNSPONSORE	B	2,698.45	85.00	19.95	0.00	65.05	85.0	55.29
01/04/2018 #	PARENT, JANICE	ETF MANAGERS TRUST ETFMG ALTERNATIV	B	3,447.55	88.07	19.95	0.00	68.12	85.0	57.90
01/10/2018 #	LORDAN, MARIE	APPLE INC	S	1,392.32	20.00	19.95	0.00	0.05	85.0	0.04
01/19/2018 #	SOUTHWORTH, WAYNE	BANK OF AMERICA CORP	S	12,665.00	395.00	19.95	0.00	375.05	85.0	318.79
01/19/2018 #	SOUTHWORTH, WAYNE	VANGUARD SECTOR INDEX FDS VANGUARD	S	23,818.18	695.00	19.95	0.00	675.05	85.0	573.79
01/26/2018 #	GRUSKOWSKI, CRAIG	YY INC ADS REPSTG COM CL A	S	24,811.68	995.00	19.95	0.00	975.05	85.0	828.79
01/29/2018 #	SKEIBER, STANLEY	TENCENT HOLDINGS LIMITED UNSPONSORE	B	3,000.90	95.00	19.95	0.00	75.05	85.0	63.79
* STOCKS SUBTOTAL *				\$71,834.08	\$2,373.07	\$139.65	\$0.00	\$2,233.42		\$1,898.39

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/03/2018 #00957868270		PIONEER 724010103	B	0.00	21.01	0.00	0.00	21.01	85.0	17.86
01/03/2018 #00959308513		PIONEER 724010103	B	0.00	57.85	0.00	0.00	57.85	85.0	49.17
01/03/2018 #06414900401		PIONEER FUND INC-CL A	B	0.00	119.89	0.00	0.00	119.89	85.0	101.91
01/03/2018 #00081003604		PIONEER GROWTH OPPORTUNITIES FUND A	B	0.00	8.63	0.00	0.00	8.63	85.0	7.34
01/03/2018 #00081003613		PIONEER GROWTH OPPORTUNITIES FUND A	B	0.00	8.63	0.00	0.00	8.63	85.0	7.34
01/10/2018 #	VARIAS, CUSTOMER	DAIN TRAILER	B	0.00	0.01	0.00	0.00	0.01	85.0	0.01
01/10/2018 #	SEMERARO, SHEILA	DAIN TRAILER	B	0.00	0.84	0.00	0.00	0.84	85.0	0.71
01/10/2018 #	SEMERARO, SHEILA	DAIN TRAILER	B	0.00	0.87	0.00	0.00	0.87	85.0	0.74
01/10/2018 #	ROBERTS, FREDERICK	DAIN TRAILER	B	0.00	4.21	0.00	0.00	4.21	85.0	3.58
01/10/2018 #	ROBERTS, ELEANOR	DAIN TRAILER	B	0.00	7.80	0.00	0.00	7.80	85.0	6.63
01/10/2018 #	ROBERTS, ELEANOR	DAIN TRAILER	B	0.00	6.76	0.00	0.00	6.76	85.0	5.75
01/10/2018 #	JOHNSTON, WILLIAM	DAIN TRAILER	B	0.00	19.10	0.00	0.00	19.10	85.0	16.24
01/10/2018 #	JOHNSTON, WILLIAM	DAIN TRAILER	B	0.00	15.61	0.00	0.00	15.61	85.0	13.27
01/10/2018 #	JOHNSTON, WILLIAM	DAIN TRAILER	B	0.00	6.22	0.00	0.00	6.22	85.0	5.29
01/10/2018 #	BLISS, JEFFREY	DAIN TRAILER	B	0.00	4.75	0.00	0.00	4.75	85.0	4.04
01/10/2018 #	MACDOUGALL, WILLIAM	DAIN TRAILER	B	0.00	3.77	0.00	0.00	3.77	85.0	3.20
01/10/2018 #	VALLARIO, DEBRA-ANN	DAIN TRAILER	B	0.00	4.20	0.00	0.00	4.20	85.0	3.57

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/16/2018	#00090974478	JOHNSTON, WILLIAM	DEUTSCHE CALIFORNIA TAX FREE	B	0.00	149.25	0.00	0.00	149.25	85.0 126.86
02/07/2018	#01485656068	AUDITORE, MARK	FIDELITY ADV BIOTECHNOLOGY-CL A	B	0.00	0.72	0.00	0.00	0.72	85.0 0.61
02/07/2018	#01488943000	AUDITORE, MARK	FIDELITY ADV BIOTECHNOLOGY-CL A	B	0.00	15.90	0.00	0.00	15.90	85.0 13.52
02/07/2018	#01485656068	AUDITORE, MARK	FIDELITY ADV HEALTH CARE-CL A	B	0.00	0.72	0.00	0.00	0.72	85.0 0.61
02/07/2018	#01488943000	AUDITORE, MARK	FIDELITY ADV HEALTH CARE-CL A	B	0.00	15.04	0.00	0.00	15.04	85.0 12.78
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$471.78	\$0.00	\$0.00	\$471.78	\$401.03
*** BROKER TRANSACTIONS TOTAL ***					\$72,084.08	\$2,855.47	\$139.65	\$0.00	\$2,715.82	\$2,308.44

ADJUSTMENTS

02/20/2018	ADMIN FEE: ADMIN FEE	-320.00
02/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
02/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-33.73
DEBIT BALANCE		
*** ADJUSTMENTS TOTAL ***		\$-532.73

BROKER COMMISSION TOTALS

Broker Transactions	\$2,308.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-532.73
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,775.71
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