



Concorde Investment Services, LLC

09/13/2017  
Page No. 1

# **COMMISSION STATEMENT** **September 15, 2017**

**DELMAR (BO)-M143 MCKINNEY**  
5 Red Bud Trail

**BROKER #: M143**  
**BRANCH: EAGLE WEALTH MANAGEM**

Round Rock, TX 78655-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
09/01/2017	WIESNER, BETTY	EXCHANGERIGHT NET LEASED PF 16 DST	499,706.87	34,979.48	0.00	34,979.48	90.0	31,481.53
09/01/2017	WIESNER, BETTY	INLAND SELF STORAGE PF V DST	118,000.00	5,900.00	0.00	5,900.00	90.0	5,310.00
* 1031 EXCHANGES SUBTOTAL *			<b>\$617,706.87</b>	<b>\$40,879.48</b>	<b>\$0.00</b>	<b>\$40,879.48</b>		<b>\$36,791.53</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/05/2017	ASPRA, TRISSA	AM FUNDS AMCAP A	75.00	3.19	12.00	-8.81	90.0	-7.93
08/31/2017	ENOCHS, LELAND	AM FUNDS EUROPACIFIC GROWTH FUND A	105.00	2.10	0.00	2.10	90.0	1.89
08/31/2017	ENOCHS, LELAND	AM FUNDS EUROPACIFIC GROWTH FUND A	270.82	5.42	0.00	5.42	90.0	4.88
08/31/2017	ENOCHS, DONNA	AM FUNDS EUROPACIFIC GROWTH FUND A	8.25	0.17	0.00	0.17	90.0	0.15
08/31/2017	ENOCHS, DONNA	AM FUNDS EUROPACIFIC GROWTH FUND A	253.96	5.08	0.00	5.08	90.0	4.57
08/31/2017	ENOCHS, LELAND	AM FUNDS FUNDAMENTAL INVESTORS A	105.00	2.10	0.00	2.10	90.0	1.89
08/31/2017	ENOCHS, LELAND	AM FUNDS FUNDAMENTAL INVESTORS A	270.84	5.42	0.00	5.42	90.0	4.88
08/31/2017	ENOCHS, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	8.25	0.17	0.00	0.17	90.0	0.15
08/31/2017	ENOCHS, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	253.96	5.08	0.00	5.08	90.0	4.57
08/31/2017	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
08/31/2017	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
08/30/2017	SCOTT, MONYUAN	AM FUNDS GROWTH FUND OF AMERICA A	-10,000.00	22.00	22.00	0.00	90.0	0.00
08/31/2017	ENOCHS, LELAND	AM FUNDS GROWTH FUND OF AMERICA A	105.00	2.10	0.00	2.10	90.0	1.89
08/31/2017	ENOCHS, LELAND	AM FUNDS GROWTH FUND OF AMERICA A	270.84	5.42	0.00	5.42	90.0	4.88
08/31/2017	BRUECKNER, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA A	325.00	5.20	0.00	5.20	90.0	4.68
08/31/2017	ENOCHS, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	8.25	0.17	0.00	0.17	90.0	0.15
08/31/2017	ENOCHS, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	253.96	5.08	0.00	5.08	90.0	4.57
09/05/2017	ASPRA, TRISSA	AM FUNDS GROWTH FUND OF AMERICA A	75.00	3.19	12.00	-8.81	90.0	-7.93
08/31/2017	ENOCHS, LELAND	AM FUNDS WASHINGTON MUTUAL INVESTOR	105.00	2.10	0.00	2.10	90.0	1.89
08/31/2017	ENOCHS, LELAND	AM FUNDS WASHINGTON MUTUAL INVESTOR	270.84	5.42	0.00	5.42	90.0	4.88
08/31/2017	ENOCHS, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	8.25	0.17	0.00	0.17	90.0	0.15
08/31/2017	ENOCHS, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	253.97	5.08	0.00	5.08	90.0	4.57

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/05/2017	LAWHON, JACKIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	-500.00	0.00	12.00	-12.00	90.0	-10.80
/ /	MICHNA JT TEN WROS, JIMMY	HARTFORD CAPITAL APPRECIATION A	0.00	2.91	0.00	2.91	90.0	2.62
/ /	EWING, JOHN	HARTFORD GROWTH ALLOCATION A	0.00	0.59	0.00	0.59	90.0	0.53
/ /	SMITH, MARLO	HARTFORD GROWTH ALLOCATION A	0.00	1.39	0.00	1.39	90.0	1.25
/ /	MICHNA JT TEN WROS, JIMMY	HARTFORD MIDCAP A	0.00	0.43	0.00	0.43	90.0	0.39
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$-7,272.81</b>	<b>\$95.48</b>	<b>\$58.00</b>	<b>\$37.48</b>		<b>\$33.73</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
09/05/2017	NORMAN, ISAAC	AT&T INC COM	37,028.70	199.00	23.50	175.50	90.0	157.95
<b>* STOCKS SUBTOTAL *</b>			<b>\$37,028.70</b>	<b>\$199.00</b>	<b>\$23.50</b>	<b>\$175.50</b>		<b>\$157.95</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/31/2017	MASON, JOHN	JNL PERSPECTIVE ADV II 5/05	443,304.75	369.42	0.00	369.42	90.0	332.48
08/31/2017	MASON, JOHN	JNL PERSPECTIVE L	440,891.37	367.41	0.00	367.41	90.0	330.67
08/31/2017	PEMBERTON, PATRICIA	JOHN HANCOCK VENTURE	18,297.47	3.81	0.00	3.81	90.0	3.43
08/23/2017	MCRIGHT, BETTY	PAC LIFE VALUE	0.00	17.60	0.00	17.60	90.0	15.84
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$902,493.59</b>	<b>\$758.24</b>	<b>\$0.00</b>	<b>\$758.24</b>		<b>\$682.42</b>
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
09/11/2017	LUERSEN, JENNIFER	FT UNIT 6710 TARGET VIP 3Q 17 TERM	38,904.73	486.31	38.00	448.31	90.0	403.48
09/11/2017	LUERSEN, JENNIFER	FT UNIT 6734 SABRIENT FORWARD LOOKI	20,009.23	250.16	38.00	212.16	90.0	190.94
09/11/2017	LUERSEN, JENNIFER	FT UNIT 6736 DORSEY WRIGHT RELATIVE	35,900.81	448.90	38.00	410.90	90.0	369.81
09/11/2017	LUERSEN, JENNIFER	FT UNIT 6780 SABRIENT SMALL CAP GRO	35,001.95	437.61	38.00	399.61	90.0	359.65
09/11/2017	LUERSEN, JENNIFER	FT UNIT 6821 SABRIENT DEFENSIVE EQU	31,705.01	396.31	38.00	358.31	90.0	322.48
09/11/2017	LUERSEN, JENNIFER	FT UNIT 6859 SABRIENT BAKERS DOZEN	37,504.90	468.70	38.00	430.70	90.0	387.63
<b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>			<b>\$199,026.63</b>	<b>\$2,487.99</b>	<b>\$228.00</b>	<b>\$2,259.99</b>		<b>\$2,033.99</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/08/2017	BUROW, PAUL	ALLIANCEBERNSTEIN INTL VALUE A	0.00	0.92	0.00	0.92	90.0	0.83
08/17/2017	WIESNER, BETTY	AM CENT EQUITY INCOME	0.00	3.03	0.00	3.03	90.0	2.73
08/15/2017	ROSENTHAL, LUCILLE	AM FUNDS AMCAP A	0.00	11.99	0.00	11.99	90.0	10.79
08/15/2017	LUEDTKE, LOIS	AM FUNDS AMCAP A	0.00	12.07	0.00	12.07	90.0	10.86
08/15/2017	ASPRA, TRISSA	AM FUNDS AMCAP A	0.00	2.60	0.00	2.60	90.0	2.34
08/15/2017	NMN & NJN MGMT TRUST	AM FUNDS AMCAP A	0.00	1.58	0.00	1.58	90.0	1.42
08/15/2017	MICHNA, RHYS	AM FUNDS AMCAP A	0.00	1.32	0.00	1.32	90.0	1.19

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/15/2017	SCOTT, MONYAN	AM FUNDS AMCAP A	0.00	27.46	0.00	27.46	90.0	24.71
08/15/2017	SPECKELS, MELBA	AM FUNDS AMERICAN BALANCED A	0.00	1.25	0.00	1.25	90.0	1.13
08/15/2017	FUESSEL, NORMAN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.34	0.00	3.34	90.0	3.01
08/15/2017	FUESSEL NE & NA TR, NORMAN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	34.99	0.00	34.99	90.0	31.49
08/15/2017	SPECKELS, MELBA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.63	0.00	1.63	90.0	1.47
08/15/2017	ROSENTHAL, LUCILLE	AM FUNDS AMERICAN MUTUAL A	0.00	18.28	0.00	18.28	90.0	16.45
08/15/2017	FUESSEL IRREV TR, NORMAN	AM FUNDS AMERICAN MUTUAL A	0.00	8.45	0.00	8.45	90.0	7.61
08/15/2017	NMN & NJN MGMT TRUST	AM FUNDS AMERICAN MUTUAL A	0.00	0.97	0.00	0.97	90.0	0.87
08/15/2017	SCOTT, MONYAN	AM FUNDS AMERICAN MUTUAL A	0.00	23.71	0.00	23.71	90.0	21.34
08/15/2017	IVICIC, MARY	AM FUNDS AMERICAN MUTUAL A	0.00	2.77	0.00	2.77	90.0	2.49
08/15/2017	CHEATHAM, JIMMY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.23	0.00	11.23	90.0	10.11
08/15/2017	PRAESEL, ARTHUR	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.84	0.00	21.84	90.0	19.66
08/15/2017	ROSENTHAL, LUCILLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	33.51	0.00	33.51	90.0	30.16
08/15/2017	FUESSEL, NORMAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	20.65	0.00	20.65	90.0	18.59
08/15/2017	FUESSEL NE & NA TR, NORMAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	18.87	0.00	18.87	90.0	16.98
08/15/2017	BRUECKNER, KIMBERLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.40	0.00	5.40	90.0	4.86
08/15/2017	KELLEY, DOLORES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.26	0.00	6.26	90.0	5.63
08/15/2017	LOGHRY, DORIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	90.0	0.05
08/15/2017	PEKAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.74	0.00	7.74	90.0	6.97
08/15/2017	SPECKELS, WARREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.38	0.00	1.38	90.0	1.24
08/15/2017	SPECKELS, MELBA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.05	0.00	2.05	90.0	1.85
08/15/2017	BRANSON, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.26	0.00	3.26	90.0	2.93
08/15/2017	MORRIS, JANINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.21	0.00	9.21	90.0	8.29
08/15/2017	SCOTT, MONYAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.59	0.00	21.59	90.0	19.43
08/15/2017	URBAN, LONNIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.52	0.00	1.52	90.0	1.37
08/15/2017	MOORE, TERRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	33.30	0.00	33.30	90.0	29.97
08/15/2017	ROSENTHAL, LUCILLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	31.06	0.00	31.06	90.0	27.95
08/15/2017	FUESSEL IRREV TR, NORMAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.66	0.00	8.66	90.0	7.79
08/15/2017	BRUECKNER, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.18	0.00	15.18	90.0	13.66
08/15/2017	KELLEY, DOLORES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.08	0.00	5.08	90.0	4.57
08/15/2017	NMN & NJN MGMT TRUST	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.15	0.00	1.15	90.0	1.04
08/15/2017	SCOTT, MONYAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	31.19	0.00	31.19	90.0	28.07
08/15/2017	HOHMAN, JEROME	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.05	0.00	9.05	90.0	8.15

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/15/2017	DROMGOOLE, NONA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.22	0.00	6.22	90.0	5.60
08/15/2017	URBAN, LONNIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.69	0.00	1.69	90.0	1.52
08/15/2017	IVICIC, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.30	0.00	1.30	90.0	1.17
08/15/2017	FUESSEL NE & NA TR, NORMAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	18.32	0.00	18.32	90.0	16.49
08/15/2017	LUEDTKE, LOIS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	17.26	0.00	17.26	90.0	15.53
08/15/2017	KELLEY, DOLORES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.44	0.00	4.44	90.0	4.00
08/15/2017	ROSENTHAL, LUCILLE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	38.60	0.00	38.60	90.0	34.74
08/15/2017	BRUECKNER, KIMBERLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	19.28	0.00	19.28	90.0	17.35
08/15/2017	NMN & NJN MGMT TRUST	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.56	0.00	1.56	90.0	1.40
08/15/2017	SCOTT, MONYAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	39.17	0.00	39.17	90.0	35.25
08/15/2017	DROMGOOLE, NONA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.35	0.00	7.35	90.0	6.62
08/15/2017	EWING LIVING TRUST, JACK &	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.00	0.00	7.00	90.0	6.30
08/15/2017	IVICIC, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.50	0.00	3.50	90.0	3.15
08/15/2017	ROSENTHAL, LUCILLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.87	0.00	12.87	90.0	11.58
08/15/2017	FUESSEL IRREV TR, NORMAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	51.37	0.00	51.37	90.0	46.23
08/15/2017	LUEDTKE, LOIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	37.70	0.00	37.70	90.0	33.93
08/15/2017	ASPRA, TRISSA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.84	0.00	2.84	90.0	2.56
08/15/2017	BRUECKNER, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	19.70	0.00	19.70	90.0	17.73
08/15/2017	ROSIPAL, HERBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.13	0.00	0.13	90.0	0.12
08/15/2017	KELLEY, DOLORES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.80	0.00	5.80	90.0	5.22
08/15/2017	LAWHON, JACKIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.39	0.00	0.39	90.0	0.35
08/15/2017	NMN & NJN MGMT TRUST	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.57	0.00	1.57	90.0	1.41
08/15/2017	BORGNE JR, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.75	0.00	6.75	90.0	6.08
08/15/2017	LAWHON, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.84	0.00	6.84	90.0	6.16
08/15/2017	SPECKELS, WARREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.06	0.00	2.06	90.0	1.85
08/15/2017	MICHNA, RHYS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.31	0.00	1.31	90.0	1.18
08/15/2017	MORRIS, JANINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	18.78	0.00	18.78	90.0	16.90
08/15/2017	SCOTT, MONYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	42.47	0.00	42.47	90.0	38.22
08/15/2017	LAWHON, BRAEDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.86	0.00	0.86	90.0	0.77
08/15/2017	DROMGOOLE, NONA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.54	0.00	7.54	90.0	6.79
08/15/2017	MOORE, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.26	0.00	2.26	90.0	2.03
08/15/2017	OHE, DRAKE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.20	0.00	1.20	90.0	1.08
08/15/2017	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.80	0.00	0.80	90.0	0.72

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/15/2017	URBAN, LONNIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.14	0.00	2.14	90.0	1.93
08/15/2017	LAWHON, MAISEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.59	0.00	0.59	90.0	0.53
08/15/2017	IVICIC, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.16	0.00	3.16	90.0	2.84
08/15/2017	DROMGOOLE, NONA	AM FUNDS ICA A	0.00	6.12	0.00	6.12	90.0	5.51
08/15/2017	EWING JR, JACK	AM FUNDS ICA A	0.00	8.88	0.00	8.88	90.0	7.99
08/15/2017	CHEATHAM, JIMMY	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.77	0.00	10.77	90.0	9.69
08/15/2017	PRAESEL, ARTHUR	AM FUNDS INCOME FUND OF AMERICA A	0.00	26.13	0.00	26.13	90.0	23.52
08/15/2017	ROSENTHAL, LUCILLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	38.84	0.00	38.84	90.0	34.96
08/15/2017	FUESSEL, NORMAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	19.79	0.00	19.79	90.0	17.81
08/15/2017	FUESSEL NE & NA TR, NORMAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	17.63	0.00	17.63	90.0	15.87
08/15/2017	BRUECKNER, KIMBERLY	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.46	0.00	10.46	90.0	9.41
08/15/2017	KELLEY, DOLORES	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.00	0.00	5.00	90.0	4.50
08/15/2017	SPECKELS, MELBA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.99	0.00	1.99	90.0	1.79
08/15/2017	FISCHER, HAROLD	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.62	0.00	1.62	90.0	1.46
08/15/2017	HAMITER, DONNIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.53	0.00	10.53	90.0	9.48
08/15/2017	MORRIS, JANINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.60	0.00	13.60	90.0	12.24
08/15/2017	SCOTT, MONYAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	28.32	0.00	28.32	90.0	25.49
08/15/2017	KLEMT, RUTH	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.80	0.00	1.80	90.0	1.62
08/15/2017	WILSON, MARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.02	0.00	5.02	90.0	4.52
08/15/2017	EWING LIVING TRUST, JACK &	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.24	0.00	16.24	90.0	14.62
08/15/2017	KETTLE, MARVIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.67	0.00	3.67	90.0	3.30
08/15/2017	NMN & NJN MGMT TRUST	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.62	0.00	0.62	90.0	0.56
08/15/2017	DROMGOOLE, NONA	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.78	0.00	4.78	90.0	4.30
08/15/2017	BRUECKNER, KIMBERLY	AM FUNDS NEW ECONOMY A	0.00	13.94	0.00	13.94	90.0	12.55
08/15/2017	WILSON, MARY	AM FUNDS NEW ECONOMY A	0.00	11.46	0.00	11.46	90.0	10.31
08/15/2017	BRUECKNER, KIMBERLY	AM FUNDS NEW PERSPECTIVE A	0.00	12.09	0.00	12.09	90.0	10.88
08/15/2017	MORRIS, JANINE	AM FUNDS NEW PERSPECTIVE A	0.00	20.48	0.00	20.48	90.0	18.43
08/15/2017	SCOTT, MONYAN	AM FUNDS NEW PERSPECTIVE A	0.00	36.42	0.00	36.42	90.0	32.78
08/15/2017	NMN & NJN MGMT TRUST	AM FUNDS NEW WORLD A	0.00	0.31	0.00	0.31	90.0	0.28
08/15/2017	DROMGOOLE, NONA	AM FUNDS NEW WORLD A	0.00	4.62	0.00	4.62	90.0	4.16
08/15/2017	BRUECKNER, KIMBERLY	AM FUNDS SMALLCAP WORLD A	0.00	10.66	0.00	10.66	90.0	9.59
08/15/2017	NMN & NJN MGMT TRUST	AM FUNDS SMALLCAP WORLD A	0.00	1.38	0.00	1.38	90.0	1.24
08/15/2017	DROMGOOLE, NONA	AM FUNDS SMALLCAP WORLD A	0.00	1.74	0.00	1.74	90.0	1.57

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/15/2017	FUESSEL, NORMAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	15.27	0.00	15.27	90.0	13.74
08/15/2017	FUESSEL IRREV TR, NORMAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.30	0.00	8.30	90.0	7.47
08/15/2017	FUESSEL NE & NA TR, NORMAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	65.13	0.00	65.13	90.0	58.62
08/15/2017	BRUECKNER, KIMBERLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.07	0.00	12.07	90.0	10.86
08/15/2017	KORNEGAY, ALISA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.30	0.00	0.30	90.0	0.27
08/15/2017	KELLEY, DOLORES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.20	0.00	8.20	90.0	7.38
08/15/2017	LAWHON, JACKIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	47.14	0.00	47.14	90.0	42.43
08/15/2017	SPECKELS, WARREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.59	0.00	2.59	90.0	2.33
08/15/2017	SPECKELS, MELBA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.75	0.00	1.75	90.0	1.58
08/15/2017	SPECKELS, MELBA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.59	0.00	1.59	90.0	1.43
08/15/2017	GILLIGAN, DOROTHY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.25	0.00	7.25	90.0	6.53
08/15/2017	STOLTE, VERNALINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.58	0.00	0.58	90.0	0.52
08/15/2017	MORRIS, JANINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	19.53	0.00	19.53	90.0	17.58
08/15/2017	SMITH, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.42	0.00	1.42	90.0	1.28
08/15/2017	BUROW, PAUL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.40	0.00	1.40	90.0	1.26
08/15/2017	DALJO LTD PT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	33.99	0.00	33.99	90.0	30.59
08/15/2017	HOHMAN, JEROME	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.57	0.00	5.57	90.0	5.01
08/15/2017	WILSON, MARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	17.27	0.00	17.27	90.0	15.54
08/15/2017	WIESNER, BETTY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.57	0.00	3.57	90.0	3.21
08/15/2017	EWING LIVING TRUST, JACK &	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	23.85	0.00	23.85	90.0	21.47
08/15/2017	URBAN, LONNIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.93	0.00	1.93	90.0	1.74
08/15/2017	IVICIC, MARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.49	0.00	3.49	90.0	3.14
08/25/2017	EWING JR, JACK	BLK GLOBAL ALLOCATION C	0.00	16.19	0.00	16.19	90.0	14.57
08/25/2017	EWING LIVING TRUST, JACK &	BLK GLOBAL ALLOCATION C	0.00	58.03	0.00	58.03	90.0	52.23
08/18/2017	SMITH, JUDY	CALAMOS GROWTH C	0.00	35.78	0.00	35.78	90.0	32.20
08/17/2017	WIESNER, BETTY	COLUMBIA ACORN A	0.00	1.63	0.00	1.63	90.0	1.47
08/17/2017	MCELROY, BENNETT	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	9.21	0.00	9.21	90.0	8.29
08/17/2017	CHEATHAM, JIMMY	FEDERATED CLOVER VALUE A	0.00	1.84	0.00	1.84	90.0	1.66
08/17/2017	CHEATHAM, JIMMY	FEDERATED EQUITY INCOME A	0.00	9.81	0.00	9.81	90.0	8.83
08/17/2017	CHEATHAM, JIMMY	FEDERATED KAUFMANN FUND A	0.00	2.54	0.00	2.54	90.0	2.29
08/17/2017	CHEATHAM, JIMMY	FEDERATED MDT MID CAP GROWTH STRATE	0.00	3.10	0.00	3.10	90.0	2.79
08/17/2017	ESCHBERGER TRUST, JACK & AGNES	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.65	0.00	0.65	90.0	0.59
08/17/2017	NMN & NUN MGMT TRUST	FIDELITY ADV NEW INSIGHTS-CL A	0.00	1.50	0.00	1.50	90.0	1.35

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/17/2017	NAIZER TRUST, NOLAN	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.34	0.00	0.34	90.0	0.31
08/17/2017	NAIZER TRUST, NOEL	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.22	0.00	0.22	90.0	0.20
08/17/2017	WIESNER, BETTY	FIDELITY ADV NEW INSIGHTS-CL A	0.00	3.52	0.00	3.52	90.0	3.17
08/17/2017	MCELROY, BENNETT	FIDELITY ADV STRATEGIC INCOME A	0.00	4.06	0.00	4.06	90.0	3.65
08/14/2017	COOPER, ADAM	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	3.98	0.00	3.98	90.0	3.58
08/14/2017	COOPER, ADAM	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	5.78	0.00	5.78	90.0	5.20
08/14/2017	COOPER, ADAM	FRANKLIN MUTUAL QUEST A	0.00	5.58	0.00	5.58	90.0	5.02
08/14/2017	COOPER, ADAM	FRANKLIN RISING DIVIDENDS A	0.00	5.20	0.00	5.20	90.0	4.68
08/21/2017	ENOCHS, LELAND	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	9.62	0.00	9.62	90.0	8.66
08/21/2017	ENOCHS, LELAND	GOLDMAN SACHS FOCUSED INTL EQUITY F	0.00	2.77	0.00	2.77	90.0	2.49
08/21/2017	HAMITER, DONNIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.64	0.00	0.64	90.0	0.58
08/21/2017	STOLTE, VERNALINE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.31	0.00	0.31	90.0	0.28
08/21/2017	BURKHART, KRISTI	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	1.62	0.00	1.62	90.0	1.46
08/16/2017	MCRIGHT, WILLIAM	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.58	0.00	1.58	90.0	1.42
08/16/2017	MCRIGHT, BETTY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.59	0.00	0.59	90.0	0.53
08/16/2017	BELL, EDDY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.04	0.00	3.04	90.0	2.74
08/16/2017	BELL, EDDY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.08	0.00	3.08	90.0	2.77
08/16/2017	BELL, PATTI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.39	0.00	1.39	90.0	1.25
08/16/2017	STAGNER TRUST, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.97	0.00	6.97	90.0	6.27
08/16/2017	PAULSEN, ROGER	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	7.56	0.00	7.56	90.0	6.80
08/16/2017	BAGGETT, PETE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	7.27	0.00	7.27	90.0	6.54
08/16/2017	BAGGETT, JARROD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.13	0.00	3.13	90.0	2.82
08/16/2017	LUERSEN, JENNIFER	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	10.42	0.00	10.42	90.0	9.38
08/16/2017	CHEATHAM, JIMMY	HARTFORD BALANCED A	0.00	0.91	0.00	0.91	90.0	0.82
08/16/2017	FLEMING, JERRY	HARTFORD BALANCED A	0.00	1.54	0.00	1.54	90.0	1.39
08/16/2017	RAESZ TTEE, BARBARA	HARTFORD BALANCED ALLOCATION A	0.00	3.98	0.00	3.98	90.0	3.58
08/16/2017	RAESZ TTEE, BARBARA	HARTFORD BALANCED ALLOCATION A	0.00	2.08	0.00	2.08	90.0	1.87
08/16/2017	TAISLER, JESSE	HARTFORD CAPITAL APP II A	0.00	1.72	0.00	1.72	90.0	1.55
08/16/2017	MASON, ELEANOR	HARTFORD CAPITAL APP II A	0.00	1.18	0.00	1.18	90.0	1.06
08/16/2017	SCOTT, MONYUAN	HARTFORD CAPITAL APP II A	0.00	16.29	0.00	16.29	90.0	14.66
08/16/2017	CHEATHAM, JIMMY	HARTFORD CAPITAL APPRECIATION A	0.00	9.92	0.00	9.92	90.0	8.93
08/16/2017	ROSENTHAL, LUCILLE	HARTFORD CAPITAL APPRECIATION A	0.00	11.62	0.00	11.62	90.0	10.46
08/16/2017	BRUECKNER, KIMBERLY	HARTFORD CAPITAL APPRECIATION A	0.00	13.20	0.00	13.20	90.0	11.88

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<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/16/2017	HAMANN, LARRY	HARTFORD CAPITAL APPRECIATION A	0.00	9.67	0.00	9.67	90.0	8.70
08/16/2017	FLEMING, JERRY	HARTFORD CAPITAL APPRECIATION A	0.00	5.92	0.00	5.92	90.0	5.33
08/16/2017	TAISLER, JESSE	HARTFORD CAPITAL APPRECIATION A	0.00	1.92	0.00	1.92	90.0	1.73
08/16/2017	MOORE, TERRY	HARTFORD CAPITAL APPRECIATION C	0.00	24.97	0.00	24.97	90.0	22.47
08/16/2017	CHEATHAM, JIMMY	HARTFORD DIVIDEND & GROWTH A	0.00	4.76	0.00	4.76	90.0	4.28
08/16/2017	BRUECKNER, KIMBERLY	HARTFORD DIVIDEND & GROWTH A	0.00	5.49	0.00	5.49	90.0	4.94
08/16/2017	HAMANN, LARRY	HARTFORD DIVIDEND & GROWTH A	0.00	4.16	0.00	4.16	90.0	3.74
08/16/2017	FLEMING, JERRY	HARTFORD DIVIDEND & GROWTH A	0.00	5.07	0.00	5.07	90.0	4.56
08/16/2017	TAISLER, JESSE	HARTFORD DIVIDEND & GROWTH A	0.00	1.91	0.00	1.91	90.0	1.72
08/16/2017	RAESZ TTEE, BARBARA	HARTFORD GROWTH ALLOCATION A	0.00	3.86	0.00	3.86	90.0	3.47
08/16/2017	RAESZ TTEE, BARBARA	HARTFORD GROWTH ALLOCATION A	0.00	2.13	0.00	2.13	90.0	1.92
08/16/2017	EWING, JOHN	HARTFORD GROWTH ALLOCATION A	0.00	0.86	0.00	0.86	90.0	0.77
08/16/2017	ROSENTHAL, LUCILLE	HARTFORD GROWTH OPP A	0.00	10.95	0.00	10.95	90.0	9.86
08/16/2017	SCOTT, MONYAN	HARTFORD GROWTH OPP A	0.00	19.08	0.00	19.08	90.0	17.17
08/16/2017	ROSENTHAL, LUCILLE	HARTFORD INT'L GROWTH A	0.00	7.10	0.00	7.10	90.0	6.39
08/16/2017	CHEATHAM, JIMMY	HARTFORD MIDCAP A	0.00	7.09	0.00	7.09	90.0	6.38
08/16/2017	FLEMING, JERRY	HARTFORD MIDCAP A	0.00	6.23	0.00	6.23	90.0	5.61
08/16/2017	ROSENTHAL, LUCILLE	HARTFORD MIDCAP VALUE A	0.00	12.64	0.00	12.64	90.0	11.38
08/16/2017	MASON, ELEANOR	HARTFORD MIDCAP VALUE A	0.00	0.76	0.00	0.76	90.0	0.68
08/16/2017	BRUECKNER, KIMBERLY	HARTFORD SMALL-COMPANY FUND CLASS A	0.00	5.54	0.00	5.54	90.0	4.99
08/16/2017	TAISLER, JESSE	HARTFORD VALUE OPPORTUNITY FUND CLA	0.00	1.92	0.00	1.92	90.0	1.73
08/16/2017	MASON, ELEANOR	HARTFUND EQUITY INCIME FUND A	0.00	0.66	0.00	0.66	90.0	0.59
08/02/2017	BEEMAN, MICHAEL	HIGHLAND FLOATING RATE OPPORTUNITIE	0.00	0.61	0.00	0.61	90.0	0.55
08/18/2017	ESCHBERGER TRUST, JACK & AGNESJH	DISCIPLINED VALUE A	0.00	1.22	0.00	1.22	90.0	1.10
08/18/2017	ROSENTHAL, LUCILLE	JH DISCIPLINED VALUE A	0.00	0.62	0.00	0.62	90.0	0.56
08/18/2017	SCARBOROUGH, ROBERT	JH DISCIPLINED VALUE A	0.00	0.97	0.00	0.97	90.0	0.87
08/18/2017	NMN & NUN MGMT TRUST	JH DISCIPLINED VALUE A	0.00	2.98	0.00	2.98	90.0	2.68
08/18/2017	NAIZER TRUST, NOLAN	JH DISCIPLINED VALUE A	0.00	0.56	0.00	0.56	90.0	0.50
08/18/2017	NAIZER TRUST, NOEL	JH DISCIPLINED VALUE A	0.00	0.41	0.00	0.41	90.0	0.37
08/18/2017	OLSON, DALE	JH DISCIPLINED VALUE A	0.00	2.39	0.00	2.39	90.0	2.15
08/18/2017	KETTLE, MARVIN	JH DISCIPLINED VALUE A	0.00	1.05	0.00	1.05	90.0	0.95
08/18/2017	MCELROY, BENNETT	JH STRATEGIC INCOME A	0.00	4.10	0.00	4.10	90.0	3.69
08/18/2017	SCARBOROUGH, ROBERT	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.73	0.00	0.73	90.0	0.66

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/18/2017	NMN & NUN MGMT TRUST	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	1.78	0.00	1.78	90.0	1.60
08/18/2017	NAIZER TRUST, NOLAN	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.10	0.00	0.10	90.0	0.09
08/18/2017	NAIZER TRUST, NOEL	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.18	0.00	0.18	90.0	0.16
08/18/2017	OLSON, DALE	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.55	0.00	0.55	90.0	0.50
08/18/2017	MASON, JOHN	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	6.11	0.00	6.11	90.0	5.50
08/18/2017	KETTLE, MARVIN	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.36	0.00	0.36	90.0	0.32
08/21/2017	CHEATHAM, JIMMY	LORD ABBETT AFFILIATED A	0.00	1.81	0.00	1.81	90.0	1.63
08/21/2017	SPECKELS, MELBA	LORD ABBETT AFFILIATED A	0.00	0.78	0.00	0.78	90.0	0.70
08/21/2017	CHEATHAM, JIMMY	LORD ABBETT ALPHA STRATEGY A	0.00	3.84	0.00	3.84	90.0	3.46
08/21/2017	FUESSEL NE & NA TR, NORMAN	LORD ABBETT BOND DEBENTURE A	0.00	6.14	0.00	6.14	90.0	5.53
08/21/2017	CHEATHAM, JIMMY	LORD ABBETT CALIBRATED DIVIDEND GRO	0.00	2.21	0.00	2.21	90.0	1.99
08/21/2017	FUESSEL IRREV TR, NORMAN	LORD ABBETT CALIBRATED DIVIDEND GRO	0.00	11.87	0.00	11.87	90.0	10.68
08/21/2017	BRUECKNER, KIMBERLY	LORD ABBETT CALIBRATED DIVIDEND GRO	0.00	7.78	0.00	7.78	90.0	7.00
08/21/2017	SPECKELS, MELBA	LORD ABBETT CALIBRATED DIVIDEND GRO	0.00	0.94	0.00	0.94	90.0	0.85
08/21/2017	CHEATHAM, JIMMY	LORD ABBETT DEVELOPING GROWTH A	0.00	3.74	0.00	3.74	90.0	3.37
08/21/2017	FUESSEL IRREV TR, NORMAN	LORD ABBETT DEVELOPING GROWTH A	0.00	13.64	0.00	13.64	90.0	12.28
08/21/2017	BRUECKNER, KIMBERLY	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	10.30	0.00	10.30	90.0	9.27
08/21/2017	SPECKELS, MELBA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.77	0.00	0.77	90.0	0.69
08/21/2017	SPECKELS, MELBA	LORD ABBETT GROWTH OPPORTUNITIES FU	0.00	0.60	0.00	0.60	90.0	0.54
08/21/2017	STAGNER TRUST, JAMES	LORD ABBETT INVT TR FLTG RATE A	0.00	6.69	0.00	6.69	90.0	6.02
08/21/2017	CHEATHAM, JIMMY	LORD ABBETT MID CAP STOCK A	0.00	7.41	0.00	7.41	90.0	6.67
08/21/2017	FUESSEL IRREV TR, NORMAN	LORD ABBETT MID CAP STOCK A	0.00	17.58	0.00	17.58	90.0	15.82
08/21/2017	BRUECKNER, KIMBERLY	LORD ABBETT MID CAP STOCK A	0.00	10.48	0.00	10.48	90.0	9.43
08/15/2017	ROSENTHAL, LUCILLE	LTD TERM TAX-EX BOND FUND OF AMERIC	0.00	4.85	0.00	4.85	90.0	4.37
08/17/2017	PEARSON, GRANT	MFS LIFETIME RETIREMENT INCOME FUND	0.00	43.24	0.00	43.24	90.0	38.92
08/17/2017	MCELROY, BENNETT	MFS VALUE A	0.00	9.73	0.00	9.73	90.0	8.76
08/16/2017	MASON, JOHN	NEUBERGER BERMAN LONG SHORT FUND CL	0.00	5.03	0.00	5.03	90.0	4.53
08/21/2017	WIESNER, BETTY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.60	0.00	1.60	90.0	1.44
08/16/2017	FUESSEL IRREV TR, NORMAN	PUTNAM ASSET ALLOC GROWTH A	0.00	16.99	0.00	16.99	90.0	15.29
08/14/2017	BELL, EDDY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	10.91	0.00	10.91	90.0	9.82
08/14/2017	STAGNER TRUST, JAMES	RESOURCE R E DIVERSIFIED INC FUND A	0.00	6.96	0.00	6.96	90.0	6.26
08/14/2017	LUERSEN, JENNIFER	RESOURCE R E DIVERSIFIED INC FUND A	0.00	9.94	0.00	9.94	90.0	8.95
08/17/2017	KURTH, KARIANNE	TRANSAMERICA AA GROWTH C	0.00	39.05	0.00	39.05	90.0	35.15

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
08/17/2017	KURTH, DEREK	TRANSAMERICA AA GROWTH C	0.00	46.76	0.00	46.76	90.0	42.08
08/17/2017	KURTH, DEREK	TRANSAMERICA AA GROWTH C	0.00	28.71	0.00	28.71	90.0	25.84
08/17/2017	KURTH, DEREK	TRANSAMERICA AA GROWTH C	0.00	1.98	0.00	1.98	90.0	1.78
08/17/2017	KURTH, DEREK	TRANSAMERICA AA GROWTH C	0.00	1.98	0.00	1.98	90.0	1.78
08/17/2017	HERNANDEZ-GAGE, VIRGINIA	TRANSAMERICA AA MOD GROWTH C	0.00	4.33	0.00	4.33	90.0	3.90
08/17/2017	KURTH, DEREK	TRANSAMERICA AA MOD GROWTH C	0.00	6.54	0.00	6.54	90.0	5.89
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$2,281.50</b>	<b>\$0.00</b>	<b>\$2,281.50</b>		<b>\$2,053.41</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,748,982.98</b>	<b>\$46,701.69</b>	<b>\$309.50</b>	<b>\$46,392.19</b>		<b>\$41,753.03</b>

**ADJUSTMENTS**

09/15/2017		*CONCIERGE SVC: 198331 198336 WIESN	0.00					-100.00
09/15/2017		*CONCIERGE SVC: 198522 GORDON	0.00					-50.00
09/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
09/15/2017		*PERSHING: UIT PROSPECTUS MAILING	0.00					-2.20
09/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-727.20</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$41,753.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-727.20
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$41,025.83
Year-to-date Gross	\$206,753.42
Year-to-date Earnings	\$183,409.09