

All Companies  
**STATEMENT**  
**July 20, 2018**

PAT CORCORAN

**BROKER #: 702**  
**BRANCH: LG BRANCH**

, NV -

COMMISSION STATEMENT for PAT CORCORAN

| DATE | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | RATE | POSTAGE | NET<br>COMMISSION | CLEARING<br>CHARGE | BROKER<br>COMMISSION |
|------|--------|------------|----------------------|---------------------|------|---------|-------------------|--------------------|----------------------|
|------|--------|------------|----------------------|---------------------|------|---------|-------------------|--------------------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

|                           |         |                           |                 |               |      |               |               |               |               |
|---------------------------|---------|---------------------------|-----------------|---------------|------|---------------|---------------|---------------|---------------|
| 06/18/2018 #03811057458   | VARIOUS | INVESCO CHARTER FUND CL A | 108.00          | 5.40          | 85.0 | 0.00          | 4.59          | 0.00          | 4.59          |
| * MUTUAL FUNDS SUBTOTAL * |         |                           | <b>\$108.00</b> | <b>\$5.40</b> |      | <b>\$0.00</b> | <b>\$4.59</b> | <b>\$0.00</b> | <b>\$4.59</b> |

PRODUCT CATEGORY: STOCKS

|              |                    |                                     |           |        |      |      |        |       |        |
|--------------|--------------------|-------------------------------------|-----------|--------|------|------|--------|-------|--------|
| 05/30/2018 # | CORCORAN, PAT      | APACHE CORP                         | 40,490.14 | 35.00  | 85.0 | 0.00 | 29.75  | 18.00 | 11.75  |
| 06/04/2018 # | CORCORAN, PAT      | APACHE CORP                         | 39,159.94 | 35.00  | 85.0 | 0.00 | 29.75  | 18.00 | 11.75  |
| 06/04/2018 # | CORCORAN, JAMES    | HELIOS AND MATHESON ANALYTICS       | 1,099.39  | 50.00  | 85.0 | 0.00 | 42.50  | 18.00 | 24.50  |
| 06/06/2018 # | MCGILL, SEAMUS     | APACHE CORP                         | 39,389.90 | 378.94 | 85.0 | 0.00 | 322.10 | 18.00 | 304.10 |
| 06/06/2018 # | TEGMEYER, KATHLEEN | APACHE CORP                         | 27,593.93 | 232.56 | 85.0 | 0.00 | 197.68 | 18.00 | 179.68 |
| 06/06/2018 # | CORCORAN, JAMES    | APACHE CORP                         | 27,565.93 | 284.87 | 85.0 | 0.00 | 242.14 | 18.00 | 224.14 |
| 06/06/2018 # | RICHARDSON, KYANN  | APACHE CORP                         | 39,439.90 | 378.94 | 85.0 | 0.00 | 322.10 | 18.00 | 304.10 |
| 06/06/2018 # | CORCORAN, PAT      | FIDUS INVESTMENT CORPORATION        | 31,342.51 | 50.00  | 85.0 | 0.00 | 42.50  | 18.00 | 24.50  |
| 06/06/2018 # | LIV TRUST, AGNES   | PIEDMONT OFFICE RLTY TR INC COM CL  | 30,946.40 | 294.86 | 85.0 | 0.00 | 250.63 | 18.00 | 232.63 |
| 06/06/2018 # | TEGMEYER, KATHLEEN | PPL CORPORATION                     | 20,159.74 | 193.88 | 85.0 | 0.00 | 164.80 | 18.00 | 146.80 |
| 06/07/2018 # | CORCORAN, PAT      | APACHE CORP                         | 41,310.20 | 35.00  | 85.0 | 0.00 | 29.75  | 18.00 | 11.75  |
| 06/07/2018 # | MCGILL, SEAMUS     | APACHE CORP                         | 40,962.00 | 75.00  | 85.0 | 0.00 | 63.75  | 18.00 | 45.75  |
| 06/07/2018 # | TEGMEYER, KATHLEEN | APACHE CORP                         | 28,837.47 | 60.00  | 85.0 | 0.00 | 51.00  | 18.00 | 33.00  |
| 06/07/2018 # | CORCORAN, JAMES    | APACHE CORP                         | 28,771.55 | 60.00  | 85.0 | 0.00 | 51.00  | 18.00 | 33.00  |
| 06/07/2018 # | RICHARDSON, KYANN  | APACHE CORP                         | 40,650.06 | 75.00  | 85.0 | 0.00 | 63.75  | 18.00 | 45.75  |
| 06/12/2018 # | RODRIGUEZ, VICTOR  | EDUCATION REALTY TRUST INC COM NEW  | 27,911.10 | 274.86 | 85.0 | 0.00 | 233.63 | 18.00 | 215.63 |
| 06/12/2018 # | TEGMEYER, KATHLEEN | PPL CORPORATION                     | 20,585.08 | 75.00  | 85.0 | 0.00 | 63.75  | 18.00 | 45.75  |
| 06/18/2018 # | RICHARDSON, KYANN  | AMERICAN OUTDOOR BRANDS CORPORATION | 6,445.05  | 50.00  | 85.0 | 0.00 | 42.50  | 18.00 | 24.50  |
| 06/18/2018 # | TEGMEYER, KATHLEEN | BANK OF AMERICA CORP                | 26,447.40 | 266.83 | 85.0 | 0.00 | 226.81 | 18.00 | 208.81 |
| 06/18/2018 # | CORCORAN, PAT      | FIDUS INVESTMENT CORPORATION        | 12,106.76 | 35.00  | 85.0 | 0.00 | 29.75  | 18.00 | 11.75  |
| 06/18/2018 # | CORCORAN, PAT      | FIREEYE INC COM                     | 8,448.50  | 35.00  | 85.0 | 0.00 | 29.75  | 18.00 | 11.75  |
| 06/18/2018 # | LIV TRUST, AGNES   | OFS CAPITAL CORPORATION             | 18,134.25 | 185.78 | 85.0 | 0.00 | 157.91 | 18.00 | 139.91 |
| 06/18/2018 # | CORCORAN, PAT      | PARK HOTELS & RESORTS INC           | 41,651.07 | 50.00  | 85.0 | 0.00 | 42.50  | 18.00 | 24.50  |
| 06/18/2018 # | CORCORAN, JAMES    | PARK HOTELS & RESORTS INC           | 30,748.80 | 297.55 | 85.0 | 0.00 | 252.92 | 18.00 | 234.92 |

COMMISSION STATEMENT for PAT CORCORAN

| DATE                              | CLIENT             | INVESTMENT                         | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | RATE | POSTAGE | NET<br>COMMISSION | CLEARING<br>CHARGE | BROKER<br>COMMISSION |
|-----------------------------------|--------------------|------------------------------------|----------------------|---------------------|------|---------|-------------------|--------------------|----------------------|
| BROKER TRANSACTIONS               |                    |                                    |                      |                     |      |         |                   |                    |                      |
| PRODUCT CATEGORY: STOCKS          |                    |                                    |                      |                     |      |         |                   |                    |                      |
| 06/18/2018 #                      | TEGMEYER, KATHLEEN | TUPPERWARE BRANDS CORPORATION      | 20,521.47            | 156.88              | 85.0 | 0.00    | 133.35            | 18.00              | 115.35               |
| 06/18/2018 #                      | MCGILL, SEAMUS     | TUPPERWARE BRANDS CORPORATION      | 48,331.00            | 397.59              | 85.0 | 0.00    | 337.95            | 18.00              | 319.95               |
| 06/18/2018 #                      | RICHARDSON, KYANN  | TUPPERWARE BRANDS CORPORATION      | 48,407.00            | 397.59              | 85.0 | 0.00    | 337.95            | 18.00              | 319.95               |
| 06/18/2018 #                      | LIV TRUST, AGNES   | TUPPERWARE BRANDS CORPORATION      | 22,262.48            | 203.94              | 85.0 | 0.00    | 173.35            | 18.00              | 155.35               |
| 06/20/2018 #                      | MITCHELL, MELISSA  | FIREEYE INC COM                    | 9,019.01             | 157.93              | 85.0 | 0.00    | 134.24            | 18.00              | 116.24               |
| 06/20/2018 #                      | MITCHELL, MELISSA  | POINTS INTERNATIONAL LTD           | 9,467.60             | 156.88              | 85.0 | 0.00    | 133.35            | 18.00              | 115.35               |
| 06/25/2018 #                      | RICHARDSON, KYANN  | EDUCATION REALTY TRUST INC COM NEW | 41,553.00            | 347.94              | 85.0 | 0.00    | 295.75            | 18.00              | 277.75               |
| * STOCKS SUBTOTAL *               |                    |                                    | \$869,758.63         | \$5,327.82          |      | \$0.00  | \$4,528.66        | \$558.00           | \$3,970.66           |
| *** BROKER TRANSACTIONS TOTAL *** |                    |                                    | \$869,866.63         | \$5,333.22          |      | \$0.00  | \$4,533.25        | \$558.00           | \$3,975.25           |

ADJUSTMENTS

|                           |                                  |           |
|---------------------------|----------------------------------|-----------|
| 07/20/2018                | BETA BACK OFFICE: ADMIN FEE      | -320.00   |
| 07/20/2018                | E & O INSURANCE: E & O INSURANCE | -179.00   |
| *** ADJUSTMENTS TOTAL *** |                                  | \$-499.00 |

BROKER COMMISSION TOTALS

|                       |            |
|-----------------------|------------|
| Broker Transactions   | \$3,975.25 |
| Split Transactions    | \$0.00     |
| Override Transactions | \$0.00     |
| Adjustments           | \$-499.00  |
| Payroll Draw          | \$0.00     |
| Base Salary           | \$0.00     |
| Prior Period Balance  | \$0.00     |
| FINRA Assessment      | \$0.00     |
| SIPC Assessment       | \$0.00     |
| Check Amount          | \$3,476.25 |