

All Companies  
**STATEMENT**  
**May 20, 2018**

MICHAEL GORMAN  
3030 River Birch

BROKER #: 278  
BRANCH: LA BRANCH

Pearland, TX 77584-

COMMISSION STATEMENT for MICHAEL GORMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	---------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/02/2018 #01448531648	TRINHCUN	FA 529 MID CAP PORT-CL A	B	200.00	5.50	0.00	0.00	5.50	92.0	5.06
04/02/2018 #01448561397	TRINHCUN	FA 529 MID CAP PORT-CL A	B	100.00	2.75	0.00	0.00	2.75	92.0	2.53
04/02/2018 #01448531648	TRINHCUN	FA 529 NEW INSIGHTS PORT-CL A	B	100.00	2.75	0.00	0.00	2.75	92.0	2.53
04/02/2018 #01448561397	TRINHCUN	FA 529 NEW INSIGHTS PORT-CL A	B	300.00	8.25	0.00	0.00	8.25	92.0	7.59
04/02/2018 #01448561397	TRINHCUN	FA 529 SMALL CAP PORT-CL A	B	100.00	2.75	0.00	0.00	2.75	92.0	2.53
04/02/2018 #01448531648	TRINHCUN	FIDELITY STRATIGIC	B	200.00	6.00	0.00	0.00	6.00	92.0	5.52
* MUTUAL FUNDS SUBTOTAL *				\$1,000.00	\$28.00	\$0.00	\$0.00	\$28.00		\$25.76

PRODUCT CATEGORY: STOCKS

03/28/2018 #	REMOND, MARIA DE LOURDE	ARK ETF TR	S	4,439.70	0.00	0.00	0.00	0.00	92.0	0.00
03/28/2018 #	REMOND, SANJAY	ARK ETF TR	S	6,906.20	0.00	0.00	0.00	0.00	92.0	0.00
03/28/2018 #	DALTON, DAVID	ARK ETF TRUST ARK INNOVATION ETF	S	15,605.50	0.00	0.00	0.00	0.00	92.0	0.00
03/28/2018 #	HANSEN, LAURI	ETF SER SOLUTIONS	S	13,409.25	60.00	19.95	0.00	40.05	92.0	36.85
03/28/2018 #	HANSEN, LAURI	FIRST TRUST EXCHANGE TRADED FUND TR	S	14,198.80	60.00	19.95	0.00	40.05	92.0	36.85
03/28/2018 #	DALTON, DAVID	ISHARES U S TECHNOLOGY ETF	S	16,405.00	0.00	0.00	0.00	0.00	92.0	0.00
04/02/2018 #	REMOND, MARIA DE LOURDE	SECTOR SPDR TRUST SHS BEN INT TECHN S	S	4,141.48	0.00	0.00	0.00	0.00	92.0	0.00
04/02/2018 #	REMOND, SANJAY	SECTOR SPDR TRUST SHS BEN INT TECHN S	S	6,371.50	0.00	0.00	0.00	0.00	92.0	0.00
04/04/2018 #	HANSEN, ROBERT	ARK ETF TR	S	47,021.00	0.00	0.00	0.00	0.00	92.0	0.00
04/09/2018 #	HOLLINGSWORTH, SHARON	NETFLIX COM INC	B	14,899.00	60.00	19.95	0.00	40.05	92.0	36.85
04/17/2018 #	HOLLINGSWORTH, SHARON	ISHARES TRUST DOW JONES US AEROSPAC B	B	15,241.49	60.00	19.95	0.00	40.05	92.0	36.85
04/17/2018 #	HOLLINGSWORTH, SHARON	MASCO CORP	B	14,900.04	60.00	19.95	0.00	40.05	92.0	36.85
04/17/2018 #	SALEEM, HASHIR	WAL-MART STORES INC	B	29,906.06	60.00	19.95	0.00	40.05	92.0	36.85
04/25/2018 #	NGUYEN, BINH	ARK ETF TRUST ARK INNOVATION ETF	S	14,728.80	60.00	19.95	0.00	40.05	92.0	36.85
04/25/2018 #	DURHAM, PATRICIA	EXCHANGE TRADED CONCEPTS TR EMQQ EM S	S	2,160.00	0.00	0.00	0.00	0.00	92.0	0.00
* STOCKS SUBTOTAL *				\$220,333.82	\$420.00	\$139.65	\$0.00	\$280.35		\$257.95

PRODUCT CATEGORY: VARIABLE ANNUITIES

03/01/0018 #1087490000	LA DENTAL II, `	JOHN HANCOCK ARA	B	358,027.39	119.12	0.00	0.00	119.12	92.0	109.59
* VARIABLE ANNUITIES SUBTOTAL *				\$358,027.39	\$119.12	\$0.00	\$0.00	\$119.12		\$109.59

COMMISSION STATEMENT for MICHAEL GORMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>										
04/06/2018	#01448531648	TRINHCUN	FA 529 MID CAP PORT-CL A	B	0.00	2.61	0.00	0.00	2.61	92.0 2.40
04/06/2018	#01448561397	TRINHCUN	FA 529 MID CAP PORT-CL A	B	0.00	4.90	0.00	0.00	4.90	92.0 4.51
04/06/2018	#01448531648	TRINHCUN	FA 529 NEW INSIGHTS PORT-CL A	B	0.00	5.99	0.00	0.00	5.99	92.0 5.51
04/06/2018	#01448561397	TRINHCUN	FA 529 NEW INSIGHTS PORT-CL A	B	0.00	12.29	0.00	0.00	12.29	92.0 11.31
04/06/2018	#01448561397	TRINHCUN	FA 529 SMALL CAP PORT-CL A	B	0.00	3.90	0.00	0.00	3.90	92.0 3.59
04/06/2018	#01448531648	TRINHCUN	FIDELITY STRATIGIC	B	0.00	1.36	0.00	0.00	1.36	92.0 1.25
04/10/2018	#	NG, LISA	DAIN TRAILER	B	0.00	2.85	0.00	0.00	2.85	92.0 2.62
04/10/2018	#	NGUYEN, ROSA	DAIN TRAILER	B	0.00	3.40	0.00	0.00	3.40	92.0 3.13
04/10/2018	#	NGUYEN, ROSA	DAIN TRAILER	B	0.00	4.94	0.00	0.00	4.94	92.0 4.54
04/10/2018	#	HANSEN, ROBERT	DAIN TRAILER	B	0.00	5.58	0.00	0.00	5.58	92.0 5.13
04/10/2018	#	HANSEN, ROBERT	DAIN TRAILER	B	0.00	18.21	0.00	0.00	18.21	92.0 16.75
04/10/2018	#	HANSEN, LAURI	DAIN TRAILER	B	0.00	5.58	0.00	0.00	5.58	92.0 5.13
04/10/2018	#	CLOPTON, DANIEL	DAIN TRAILER	B	0.00	3.22	0.00	0.00	3.22	92.0 2.96
04/10/2018	#	CLOPTON, DANIEL	DAIN TRAILER	B	0.00	2.57	0.00	0.00	2.57	92.0 2.36
04/10/2018	#	LONG, CHARLES	DAIN TRAILER	B	0.00	16.09	0.00	0.00	16.09	92.0 14.80
04/10/2018	#	LONG, CHARLES	DAIN TRAILER	B	0.00	6.40	0.00	0.00	6.40	92.0 5.89
04/10/2018	#	LONG, CHARLES	DAIN TRAILER	B	0.00	7.31	0.00	0.00	7.31	92.0 6.73
04/10/2018	#	LONG, CHARLES	DAIN TRAILER	B	0.00	30.23	0.00	0.00	30.23	92.0 27.81
04/10/2018	#	LONG, CHARLES	DAIN TRAILER	B	0.00	21.72	0.00	0.00	21.72	92.0 19.98
04/10/2018	#	LONG, CHARLES	DAIN TRAILER	B	0.00	14.65	0.00	0.00	14.65	92.0 13.48
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>					<b>\$0.00</b>	<b>\$173.80</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$173.80</b>	<b>\$159.88</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>					<b>\$579,361.21</b>	<b>\$740.92</b>	<b>\$139.65</b>	<b>\$0.00</b>	<b>\$601.27</b>	<b>\$553.18</b>

**ADJUSTMENTS**

05/20/2018	ADMIN FEE: ADMIN FEE	-390.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-249.00
05/20/2018	CIRCLEBLACK: CIRCLEBLACK	-175.00
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$-814.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$553.18
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-814.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-260.82</b>
------------------------	------------------