

**COMMISSION STATEMENT****February 28, 2018**JAMES, M127 MAHER
3082 Quail Ridge CircleBROKER #: M127
BRANCH: JAMES MAHER

Rochester Hills, MI 48309-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: RIA								
01/17/2018	BROEREN, LISA	RBC	0.00	13.56	0.00	13.56	90.0	12.20
01/17/2018	BROEREN, LISA	RBC	0.00	184.39	0.00	184.39	90.0	165.95
01/17/2018	FINDLING, DARREN	RBC	0.00	42.19	0.00	42.19	90.0	37.97
01/17/2018	NUNEZ, LYNDA	RBC	0.00	79.57	0.00	79.57	90.0	71.61
02/02/2018	HATHAWAY, RICHARD	RBC	419,552.48	781.63	0.00	781.63	90.0	703.47
* RIA SUBTOTAL *			\$419,552.48	\$1,101.34	\$0.00	\$1,101.34		\$991.20
*** DIRECT TRANSACTIONS TOTAL ***			\$419,552.48	\$1,101.34	\$0.00	\$1,101.34		\$991.20
ADJUSTMENTS								
02/28/2018		*MANAGED FEE EXPENSE: RBC QTRLY FEE	0.00					-25.00
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*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-75.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$991.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-75.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$916.20
Year-to-date Gross	\$1,101.34
Year-to-date Earnings	\$991.20