



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**May 31, 2017**

05/25/2017  
 Page No. 1

DOUGLAS NEVIN  
 600 Johnson Ave.  
 Suite B5  
 Bohemia, NY 11716

BROKER #: N118  
 BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	4.54	0.00	4.54	90.0	4.09
05/09/2017	WESTRENEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.21	0.00	1.21	90.0	1.09
05/09/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	10.96	0.00	10.96	90.0	9.86
05/09/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.54	0.00	3.54	90.0	3.19
05/09/2017	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLIO	0.00	1.30	0.00	1.30	90.0	1.17
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$21.55	\$0.00	\$21.55		\$19.40
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/05/2017	OHNGEMACH, ROBERT	PAC LIFE INNOVATIVE SELECT	0.00	83.82	0.00	83.82	90.0	75.44
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$83.82	\$0.00	\$83.82		\$75.44
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/21/2017	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.05	0.00	4.05	90.0	3.65
04/21/2017	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.16	0.00	3.16	90.0	2.84
04/13/2017	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	21.70	0.00	21.70	90.0	19.53
04/20/2017	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.71	0.00	2.71	90.0	2.44
04/20/2017	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	0.83	0.00	0.83	90.0	0.75
04/20/2017	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.53	0.00	3.53	90.0	3.18
04/20/2017	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.44	0.00	1.44	90.0	1.30
04/20/2017	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.05	0.00	1.05	90.0	0.95
04/20/2017	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.32	0.00	1.32	90.0	1.19
04/20/2017	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	5.21	0.00	5.21	90.0	4.69
04/20/2017	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	2.14	0.00	2.14	90.0	1.93
04/20/2017	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.63	0.00	0.63	90.0	0.57
04/20/2017	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.05	0.00	5.05	90.0	4.55
04/20/2017	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	3.53	0.00	3.53	90.0	3.18
04/20/2017	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	8.27	0.00	8.27	90.0	7.44

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/20/2017	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.12	0.00	1.12	90.0	1.01
04/20/2017	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.79	0.00	1.79	90.0	1.61
04/20/2017	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.22	0.00	5.22	90.0	4.70
04/12/2017	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.12	0.00	0.12	90.0	0.11
04/12/2017	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.47	0.00	0.47	90.0	0.42
04/12/2017	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.21	0.00	4.21	90.0	3.79
04/12/2017	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.75	0.00	1.75	90.0	1.58
04/12/2017	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.74	0.00	0.74	90.0	0.67
04/12/2017	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.12	0.00	1.12	90.0	1.01
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$81.16</b>	<b>\$0.00</b>	<b>\$81.16</b>		<b>\$73.09</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
05/05/2017	KOPROWSKI, RICHARD	ING EMPIRE INNOVATIONS STD OPT A	0.00	437.17	0.00	437.17	90.0	393.45
05/10/2017	TIERNAN, JOAN	ING EMPIRE INNOVATIONS STD OPT A	0.00	243.83	0.00	243.83	90.0	219.45
05/09/2017	MANNETTA, VIRGINIA	PAC LIFE INNOVATIVE SELECT NY	0.00	235.76	0.00	235.76	90.0	212.18
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$916.76</b>	<b>\$0.00</b>	<b>\$916.76</b>		<b>\$825.08</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$1,103.29</b>	<b>\$0.00</b>	<b>\$1,103.29</b>		<b>\$993.01</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$993.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$993.01
Year-to-date Gross	\$29,851.88
Year-to-date Earnings	\$26,642.59