

**COMMISSION STATEMENT****April 30, 2018**

REBECCA, F124 FREEMAN
63 Putnam Street
Suite 202
Saratoga Springs, NY 12866

BROKER #: F124
BRANCH: REBECCA FREEMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/17/2018	ALPER, PETER	ASSETMARK	105,619.90	329.14	0.00	329.14	90.0	296.23
04/17/2018	ALPER, PETER	ASSETMARK	152,276.24	189.81	0.00	189.81	90.0	170.83
04/17/2018	ALPER, PETER	ASSETMARK	5,965.52	18.59	0.00	18.59	90.0	16.73
04/17/2018	IPPOLITO, LISA	ASSETMARK	396,524.76	939.11	0.00	939.11	90.0	845.20
04/17/2018	IPPOLITO, LISA	ASSETMARK	266,410.63	332.08	0.00	332.08	90.0	298.87
04/17/2018	LUNT, MARJORIE	ASSETMARK	394,952.53	492.31	0.00	492.31	90.0	443.08
04/17/2018	LUNT, MARJORIE	ASSETMARK	39,991.76	99.70	0.00	99.70	90.0	89.73
04/17/2018	WAITE, BARBARA	ASSETMARK	185,001.99	230.60	0.00	230.60	90.0	207.54
04/17/2018	WAITE, BARBARA	ASSETMARK	304,467.98	379.52	0.00	379.52	90.0	341.57
04/16/2018	ANDERSON, CAROLYN	TD AMERITRADE	0.00	198.39	0.00	198.39	90.0	178.55
04/16/2018	BERNARD, CRAIG	TD AMERITRADE	0.00	221.74	0.00	221.74	90.0	199.57
04/16/2018	BERNARD, CRAIG	TD AMERITRADE	0.00	321.25	0.00	321.25	90.0	289.13
04/16/2018	BRENNAN, WILLIAM	TD AMERITRADE	0.00	691.00	0.00	691.00	90.0	621.90
04/16/2018	COATS, STEVEN	TD AMERITRADE	0.00	1,258.42	0.00	1,258.42	90.0	1,132.58
04/16/2018	COATS, STEVEN	TD AMERITRADE	0.00	1,052.76	0.00	1,052.76	90.0	947.48
04/16/2018	FOX, JENNIFER	TD AMERITRADE	0.00	954.67	0.00	954.67	90.0	859.20
04/16/2018	FOX, KURT	TD AMERITRADE	0.00	237.33	0.00	237.33	90.0	213.60
04/16/2018	FRITZ, JEFFREY	TD AMERITRADE	0.00	108.18	0.00	108.18	90.0	97.36
04/16/2018	HALL, WILMA	TD AMERITRADE	0.00	94.33	0.00	94.33	90.0	84.90
04/16/2018	HAWKE, SHARON	TD AMERITRADE	0.00	693.97	0.00	693.97	90.0	624.57
04/16/2018	HAWKE, SHARON	TD AMERITRADE	0.00	78.15	0.00	78.15	90.0	70.34
04/16/2018	HIGGINS, ALEXANDRA	TD AMERITRADE	0.00	48.20	0.00	48.20	90.0	43.38
04/16/2018	HIGGINS, ALEXANDRA	TD AMERITRADE	0.00	293.98	0.00	293.98	90.0	264.58
04/16/2018	HIGGINS, ALEXANDRA	TD AMERITRADE	0.00	30.86	0.00	30.86	90.0	27.77
04/16/2018	HIGGINS, ANNE	TD AMERITRADE	0.00	467.45	0.00	467.45	90.0	420.71
04/16/2018	HAVLICEK, BRIGITTE	TD AMERITRADE	0.00	19.34	0.00	19.34	90.0	17.41

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/16/2018	HAVLICEK, BRIGITTE	TD AMERITRADE	0.00	8.08	0.00	8.08	90.0	7.27
04/16/2018	KNOWLTON, JACKSON	TD AMERITRADE	0.00	135.17	0.00	135.17	90.0	121.65
04/16/2018	MARTINEZ, YVONNE	TD AMERITRADE	0.00	14.54	0.00	14.54	90.0	13.09
04/16/2018	MCCLANAHAN, ARTHUR	TD AMERITRADE	0.00	1,221.70	0.00	1,221.70	90.0	1,099.53
04/16/2018	MCMORRIS, NANCY	TD AMERITRADE	0.00	14.89	0.00	14.89	90.0	13.40
04/16/2018	QUIGLEY, MARY	TD AMERITRADE	0.00	58.89	0.00	58.89	90.0	53.00
04/16/2018	RADER, FRANK	TD AMERITRADE	0.00	784.54	0.00	784.54	90.0	706.09
04/16/2018	SKASKIW, ROBIN	TD AMERITRADE	0.00	319.54	0.00	319.54	90.0	287.59
04/16/2018	STERN, AMELIA	TD AMERITRADE	0.00	566.62	0.00	566.62	90.0	509.96
04/16/2018	STERN, JONATHAN	TD AMERITRADE	0.00	305.07	0.00	305.07	90.0	274.56
04/16/2018	WILSON, GEORGE	TD AMERITRADE	0.00	44.47	0.00	44.47	90.0	40.02
04/16/2018	WILSON, GEORGE	TD AMERITRADE	0.00	834.56	0.00	834.56	90.0	751.10
04/16/2018	WILSON, DELORES	TD AMERITRADE	0.00	58.90	0.00	58.90	90.0	53.01
* RIA SUBTOTAL *			\$1,851,211.31	\$14,147.85	\$0.00	\$14,147.85		\$12,733.08
*** DIRECT TRANSACTIONS TOTAL ***			\$1,851,211.31	\$14,147.85	\$0.00	\$14,147.85		\$12,733.08
<u>ADJUSTMENTS</u>								
04/30/2018		*MANAGED FEE EXPENSE: ACCT. MIN. FEE	0.00					-51.68
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-51.68

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BROKER COMMISSION TOTALS

Broker Transactions	\$12,733.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-51.68
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,681.40
Year-to-date Gross	\$36,528.32
Year-to-date Earnings	\$32,875.56