

COMMISSION STATEMENT

June 09, 2017

BILLY BEHRENS
183 GRIFFEN AVENUE

BROKER #: 72
BRANCH: HOME OFFICE

SCARSDALE, NY 10583

COMMISSION STATEMENT for BILLY BEHRENS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

05/17/2017	75501360	BEHRENS, MICHAEL	CHARTER COMMUNICATIONS INC NEW CL A S	955.68	50.00	0.00	50.00	50.0	0.00
05/17/2017	75501360	BEHRENS, MICHAEL	TIME WARNER INC	3,204.63	81.60	0.00	81.60	50.0	0.00
05/18/2017	75501373	BANDLER, BABETTE	CITIGROUP INC COM	59,750.00	55.00	0.00	55.00	50.0	0.00
05/18/2017	75501373	BANDLER, BABETTE	FLEETCOR TECHNOLOGIES INC	40,200.00	55.00	0.00	55.00	50.0	0.00
05/09/2017	75501378	BANDLER, LIONEL	TEJON RANCH CO	21,760.00	55.00	0.00	55.00	50.0	0.00
05/11/2017	75501378	BANDLER, LIONEL	CALPINE CORPORATION	23,720.00	55.00	0.00	55.00	50.0	0.00
04/26/2017	75501468	HAUSHALTER, HARRY	STMICROELECTRONICS NV SHS N Y REGIS B	39,445.00	250.00	0.00	250.00	50.0	0.00
05/25/2017	75501468	HAUSHALTER, HARRY	QORVO INC COM	137,287.50	250.00	0.00	250.00	50.0	0.00
05/25/2017	75501469	SKROCKI, RICHARD	INVESCO MUNICIPAL TRUST	1,395.53	70.00	0.00	70.00	50.0	0.00
05/19/2017	75599830	RF LAFFERTY & CO	PTC INC COM	81,524.82	0.00	0.00	0.00	50.0	0.00
05/19/2017	75599830	RF LAFFERTY & CO	PTC INC COM	81,524.82	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *				\$490,767.98	\$921.60	\$0.00	\$921.60		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/09/2017	75501457	CANIZARES, JORGE	MFS VALUE FUND-A	0.00	0.01	0.00	0.01	50.0	0.00
05/09/2017	75501472	SKROCKI, RICHARD	LORD ABBETT NEW JERSEY TAX FREE INC B	0.00	9.38	0.00	9.38	50.0	4.69
05/09/2017	75501489	CONLON, ITA	FRANKLIN NEW YORK TAX-FREE INCOME F B	0.00	15.72	0.00	15.72	50.0	7.86
05/09/2017	75501489	CONLON, ITA	ROCHESTER FUND MUNICIPALS A	0.00	24.32	0.00	24.32	50.0	12.16
05/09/2017	75501492	VECKER, LAWRENCE	**VOYA SER FD INC MIDCAP	0.00	2.86	0.00	2.86	50.0	1.43
05/09/2017	75501530	GREENE, HADLEY	LORD ABBETT SHORT DURATION INCOME F B	0.00	20.64	0.00	20.64	50.0	10.32
05/09/2017	75501530	GREENE, HADLEY	LORD ABBETT SECS TR VALUE OPPTYS FD B	0.00	5.61	0.00	5.61	50.0	2.81
05/09/2017	75501909	DERBY, ROSEMARIE	PUTNAM NEW OPPORTUNITIES FUND CLASS B	0.00	0.76	0.00	0.76	50.0	0.00
05/09/2017	75501931	MELANSON, MARK	BOND-DEBENTURE FUND CLASS A	0.00	3.27	0.00	3.27	50.0	1.64
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$82.57	\$0.00	\$82.57		\$40.91
*** BROKER TRANSACTIONS TOTAL ***				\$490,767.98	\$1,004.17	\$0.00	\$1,004.17		\$40.91

COMMISSION STATEMENT for BILLY BEHRENS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
06/09/2017		OTHER: OTHER-FINRA AND SIPC CHARGES		0.00	0.00	0.00	0.00		20.09
06/09/2017		OTHER: OTHER-TRADE THAT WAS DONE AT		0.00	0.00	0.00	0.00		25.00
06/09/2017		:		0.00	0.00	0.00	0.00		0.00
06/09/2017		:		0.00	0.00	0.00	0.00		0.00
06/09/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$25.09

BROKER COMMISSION TOTALS

Broker Transactions	\$40.91
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$25.09
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.26
SIPC Assessment	\$-18.83

Check Amount	\$45.91
Year-to-date Earnings	\$3,930.38