



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 31, 2017

01/27/2017
 Page No. 1

DAVID KERN
 4321 Flagstaff Cove

BROKER #: K123
 BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	DAVIS, PATRICIA	PROFUNDS BASIC MATERIALS SVC	0.00	0.05	0.00	0.05	90.0	0.05
/ /	COPELAND, DANIEL	PROFUNDS BASIC MATERIALS SVC	0.00	0.01	0.00	0.01	90.0	0.01
/ /	COPELAND, DANIEL	PROFUNDS BASIC MATERIALS SVC	0.00	0.03	0.00	0.03	90.0	0.03
/ /	DAVIS, PATRICIA	PROFUNDS OIL & GAS SVC	0.00	0.07	0.00	0.07	90.0	0.06
/ /	COPELAND, DANIEL	PROFUNDS OIL & GAS SVC	0.00	0.01	0.00	0.01	90.0	0.01
/ /	COPELAND, DANIEL	PROFUNDS OIL & GAS SVC	0.00	0.04	0.00	0.04	90.0	0.04
/ /	DAVIS, PATRICIA	PROFUNDS PRECIOUS METALS SVC	0.00	0.19	0.00	0.19	90.0	0.17
/ /	COPELAND, DANIEL	PROFUNDS PRECIOUS METALS SVC	0.00	0.04	0.00	0.04	90.0	0.04
/ /	COPELAND, DANIEL	PROFUNDS PRECIOUS METALS SVC	0.00	0.11	0.00	0.11	90.0	0.10
/ /	DAVIS, PATRICIA	PROFUNDS REAL ESTATE SVC	0.00	0.16	0.00	0.16	90.0	0.14
/ /	COPELAND, DANIEL	PROFUNDS REAL ESTATE SVC	0.00	0.03	0.00	0.03	90.0	0.03
/ /	COPELAND, DANIEL	PROFUNDS REAL ESTATE SVC	0.00	0.10	0.00	0.10	90.0	0.09
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$0.84	\$0.00	\$0.84		\$0.77
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/17/2017	KERN, LEESA	AMERITAS LOWLOAD VUL	1,556.75	0.13	0.00	0.13	90.0	0.12
01/17/2017	KERN, HELMBERGER	AMERITAS LOWLOAD VUL	1,113.84	0.09	0.00	0.09	90.0	0.08
01/17/2017	FORTNEY, ELIZABETH	JNL ELITE ACCESS	100.00	1.25	0.00	1.25	90.0	1.13
01/17/2017	FREISTROFFER, HENRY	JNL PERSPECTIVE L	3,000.00	120.00	0.00	120.00	90.0	108.00
/ /	MARATHON LABELS 401K	NWL FLEX ADVANTAGE	221,833.14	45.58	0.00	45.58	90.0	41.02
/ /	NEW HAVEN PRINT &	NWL FLEX ADVANTAGE	740,624.14	182.62	0.00	182.62	90.0	164.36
/ /	MARATHON LABELS 401K	NWL POOLED FXD	51,284.16	10.54	0.00	10.54	90.0	9.49
/ /	NEW HAVEN PRINT &	NWL POOLED FXD	2,886.77	0.71	0.00	0.71	90.0	0.64
* VARIABLE ANNUITIES SUBTOTAL *			\$1,022,398.80	\$360.92	\$0.00	\$360.92		\$324.84

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/21/2017	DAVIS, PATRICIA	PROFUNDS	0.00	1.40	0.00	1.40	90.0	1.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.40	\$0.00	\$1.40		\$1.26
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/17/2017	MILLER, ANGELA	LINCOLN BENEFIT LIFE	0.00	4.44	0.00	4.44	90.0	4.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$4.44	\$0.00	\$4.44		\$4.00
*** BROKER TRANSACTIONS TOTAL ***			\$1,022,398.80	\$367.60	\$0.00	\$367.60		\$330.87

BROKER COMMISSION TOTALS

Broker Transactions	\$330.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$330.87
Year-to-date Gross	\$16,364.87
Year-to-date Earnings	\$14,765.65

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