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BROKER

R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT April 03, 2017

THANASI TOMARAS

1750 SPLIT FORK DRIVE

OLDSMAR, FL 34677

BROKER #:

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

COMMISSION STATEMENT for THANASI TOMARAS

INVESTMENT

				INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKER TR	ANS	ACTIONS					
PRODUCT CAT	EGORY: MUTUAL FUNDS								
02/27/2017 00	00000000013262 MANARINO,	RITA FRANKLIN/TEMPLETON FUNDS-353533722	В	180.00	1.80	0.00	1.80	60.0	0.00
02/27/2017 00	0000000013262 MANARINO,	RITA FRANKLIN/TEMPLETON FUNDS-353533722	В	27.00	0.27	0.00	0.27	60.0	0.00
02/27/2017 00	0000000350951 MANARINO,	RITA FRANKLIN/TEMPLETON 354713455	В	180.00	1.80	0.00	1.80	60.0	0.00
02/27/2017 00	0000000350951 MANARINO,	RITA FRANKLIN/TEMPLETON 354713455	В	27.00	0.27	0.00	0.27	60.0	0.00
02/27/2017 00	00000000402002 MANARINO,	RITA FRANKLIN/TEMPLETON 354713711	В	45.00	0.45	0.00	0.45	60.0	0.00
02/27/2017 00	00000000402002 MANARINO,	RITA FRANKLIN/TEMPLETON 354713711	В	300.00	3.00	0.00	3.00	60.0	0.00
02/27/2017 00	0000001726355 MANARINO,	RITA FRANKLIN/TEMPLETON 88018W203	В	240.00	2.40	0.00	2.40	60.0	0.00
02/27/2017 00	0000001726355 MANARINO,	RITA FRANKLIN/TEMPLETON 88018W203	В	36.00	0.36	0.00	0.36	60.0	0.00
02/27/2017 00	0000004560138 MANARINO,	RITA MUTUAL DISC FND - CL C	В	45.00	0.45	0.00	0.45	60.0	0.00
02/27/2017 00	0000004560138 MANARINO,	RITA MUTUAL DISC FND - CL C	В	300.00	3.00	0.00	3.00	60.0	0.00
03/13/2017 00	0000004560138 MANARINO,	RITA MUTUAL DISC FND - CL C	В	543.75	5.44	0.00	5.44	60.0	0.00
03/15/2017 00	00060177715451 SPENNATO,	FRANKLIN GROWTH FUND CLASS A	В	100.00	5.00	0.00	5.00	60.0	0.00
		* MUTUAL FUNDS SUBTOTAL	*	\$2,023.75	\$24.24	\$0.00	\$24.24		\$0.00
PRODUCT CAT	EGORY: STOCKS								
03/08/2017 75	5501512 CARTER, GLENN	CHESAPEAKE ENERGY CORP	В	2,630.00	74.77	0.00	74.77	60.0	44.86
02/28/2017 75	5501513 CRUZAN, DOUGLAS	AMEREN CORP	S	6,770.00	107.67	0.00	107.67	60.0	64.60
02/28/2017 75	5501513 CRUZAN, DOUGLAS	NUVEEN CREDIT STRATEGIES INCOME FUN	S	5,313.35	125.71	0.00	125.71	60.0	75.43
02/28/2017 75	5501513 CRUZAN, DOUGLAS	PIMCO MUNICIPAL INCOME FUNDS II	S	1,884.00	56.53	0.00	56.53	60.0	33.92
02/28/2017 75	5501513 CRUZAN, DOUGLAS	RAYMOND JAMES FINANCIAL INC	S	9,841.25	116.30	0.00	116.30	60.0	69.78
03/08/2017 75	5501515 CARTER, GLENN	ALAMOS GOLD INC COM CL A	S	39.12	0.00	0.00	0.00	60.0	0.00
03/08/2017 75	5501515 CARTER, GLENN	AURICO METALS INC COM	S	1.54	0.00	0.00	0.00	60.0	0.00
03/08/2017 75	5501515 CARTER, GLENN	CHESAPEAKE ENERGY CORP	В	10,520.00	214.77	0.00	214.77	60.0	128.86
03/08/2017 75	5501515 CARTER, GLENN	CHEVRON CORPORATION	В	4,239.66	57.59	0.00	57.59	60.0	34.55
03/08/2017 75	5501515 CARTER, GLENN	PROLOGIS INC	В	4,320.64	58.27	0.00	58.27	60.0	34.96
03/07/2017 75	5501518 MANARINO, RITA	WEATHERFORD INTERNATIONAL PLC USD0.	S	10,350.00	96.95	0.00	96.95	60.0	58.17
03/07/2017 75	5501522 CODDINGTON, WALTE	ER NIMBLE STORAGE INC	S	24,960.00	327.27	0.00	327.27	60.0	196.36
03/07/2017 75	5501522 CODDINGTON, WALTE	ER WEATHERFORD INTERNATIONAL PLC USD0.	S	27,600.00	420.14	0.00	420.14	60.0	252.08
03/08/2017 75	5501522 CODDINGTON, WALTE	ER ATLANTIC POWER CORPORATION	В	12,500.00	348.31	0.00	348.31	60.0	208.99
03/08/2017 75	5501522 CODDINGTON, WALTE	ER CHESAPEAKE ENERGY CORP	В	15,324.00	295.41	0.00	295.41	60.0	177.25

INVESTMENT

GROSS

CLEARING

NET

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BROKER

## PROMET TRANSACTIONS **RODUCT CATEGORY: SPOCKS **RODUCT CATEGORY: SPOCK	TRADE DATE	CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
## PRODUCT CATEGORY: STOCKS									
13.7036/2017 75501522 COMBINETON, MALTER NIMBLE STORAGE INC			BROKER TRAN	SACTIONS					
13/07/2017 TSD1524 CODDINGTON, MALTER NUMBER STORAGE INC	PRODUCT CAT	TEGORY: STOCKS							
13/07/2017 75501524 CODDINGTON, MAITER MEATHERPORD INTERNATIONAL PLC USDO. S 20,700.00 348.31 0.00 348.31 0.00 294.89 0.00	03/08/2017 75	5501522 CODDINGTON, WALTER	OPKO HEALTH INC B	15,796.00	260.77	0.00	260.77	60.0	156.46
33/08/2017 75501524 CODDINGTON, MALTER CHESAPEARE ENERGY CORP B 15,264.00 294.89 0.00 294.89 60.0 176.93	03/07/2017 75	5501524 CODDINGTON, WALTER	NIMBLE STORAGE INC S	24,960.00	327.27	0.00	327.27	60.0	196.36
13,086/2017 75501524 COUDDINGTON, WALTER CRESPAGE NERROY CORP 15,264.00 294.88 0.00 294.89 60.0 176.93	03/07/2017 75	5501524 CODDINGTON, WALTER	WEATHERFORD INTERNATIONAL PLC USD0. S	20,700.00	341.26	0.00	341.26	60.0	204.76
33/08/2017 75501524 CODDINGTON, MALTER GROUPEN INC COM CL A B 10,270.00 231.97 0.00 231.97 60.0 139.18	03/08/2017 75	5501524 CODDINGTON, WALTER	ATLANTIC POWER CORPORATION B	12,500.00	348.31	0.00	348.31	60.0	208.99
13/08/2017 75501526 CONDINGTON, MALEER OPKO HEALTH INC B 15,836,00 261,11 0.00 261,11 60.0 156,67 13/07/2017 75501526 THOMPSON, ARLENE NEMBLE STORAGE INC S 18,720,00 292,82 0.00 292,82 60.0 175,69 13/07/2017 75501526 THOMPSON, ARLENE NEMBLE STORAGE INC S 17,250,00 292,82 0.00 292,82 60.0 175,69 13/07/2017 75501526 THOMPSON, ARLENE NEMBLE STORAGE INC S 17,250,00 292,82 0.00 292,82 60.0 175,69 13/07/2017 75501526 THOMPSON, ARLENE NEMBLE STORAGE INC S 18,720,00 266,87 0.00 285,16 60.0 122,68 13/07/2017 75501527 THOMPSON, JOHN NIMBLE STORAGE INC S 18,720,00 266,87 0.00 286,87 60.0 150,12 13/07/2017 75501527 THOMPSON, JOHN NIMBLE STORAGE INC S 18,720,00 292,82 0.00 292,82	03/08/2017 75	5501524 CODDINGTON, WALTER	CHESAPEAKE ENERGY CORP B	15,264.00	294.89	0.00	294.89	60.0	176.93
13/07/2017 75501526 THOMPSON, ARLENE NITHELS TOTAGE INC \$ 18,720.00 266.87 0.00 292.82 0.00 292.82 0.00 292.82 0.00 150.72 03/07/2017	03/08/2017 75	5501524 CODDINGTON, WALTER	GROUPON INC COM CL A B	10,270.00	231.97	0.00	231.97	60.0	139.18
32/07/2017 75501526 THOMPSON, ARLENE WEATHERFORD INTERNATIONAL PLC USIDO. S 17,250.00 292.82 0.00 292.82 60.0 175.69	03/08/2017 75	5501524 CODDINGTON, WALTER	OPKO HEALTH INC B	15,836.00	261.11	0.00	261.11	60.0	156.67
13/08/2017 75501526 THOMPSON, ARLENE ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 255.16 60.0 153.70 153.70 150.00	03/07/2017 75	5501526 THOMPSON, ARLENE	NIMBLE STORAGE INC S	18,720.00	266.87	0.00	266.87	60.0	160.12
13,08/2017 75501527 THOMPSON, ARLENE CHESAPERAE ENERGY CORP B 13,045.00 256.67 0.00 256.87	03/07/2017 75	5501526 THOMPSON, ARLENE	WEATHERFORD INTERNATIONAL PLC USD0. S	17,250.00	292.82	0.00	292.82	60.0	175.69
13/07/2017 75501527 THOMPSON, JOHN NIMBLE STORAGE INC S 18,720.00 266.87 0.00 266.87 60.0 160.12	03/08/2017 75	5501526 THOMPSON, ARLENE	ATLANTIC POWER CORPORATION B	10,000.00	287.75	0.00	287.75	60.0	172.65
13/07/2017 75501527 THOMPSON, JOHN WEATHERFORD INTERNATIONAL PLC USDO. S 17,250.00 292.82 0.00 292.82 60.0 175.69	03/08/2017 75	5501526 THOMPSON, ARLENE	CHESAPEAKE ENERGY CORP B	13,045.00	256.16	0.00	256.16	60.0	153.70
17.65 18.08 18.08 18.08 18.08 18.08 18.08 18.00 18.08 18.0	03/07/2017 75	5501527 THOMPSON, JOHN	NIMBLE STORAGE INC S	18,720.00	266.87	0.00	266.87	60.0	160.12
13/08/2017 75501546 CHINN, VIOLET CVR REFINING LP COM UNIT REPSTG LTD S 13,000.00 176.96 0.00 176.96 0.00 176.96 0.00 155.94 0.00 259.88 0	03/07/2017 75	5501527 THOMPSON, JOHN	WEATHERFORD INTERNATIONAL PLC USD0. S	17,250.00	292.82	0.00	292.82	60.0	175.69
12/28/2017 75501546 CHINN, VIOLET	03/08/2017 75	5501527 THOMPSON, JOHN	ATLANTIC POWER CORPORATION B	10,000.00	287.75	0.00	287.75	60.0	172.65
12/28/2017 75501546 CHINN, VIOLET NIMBLE STORAGE INC S 13,650.00 259.78 0.00 259.78 60.0 155.87 13/03/2017 75501546 CHINN, VIOLET CVR REFINING LP COM UNIT REPSTG LITD B 15,900.00 242.29 0.00 242.29 60.0 145.37 13/03/2017 75501546 CHINN, VIOLET WEATHERFORD INTERNATIONAL PLC USDO. S 20,700.00 341.26 0.00 341.26 60.0 204.76 13/08/2017 75501546 CHINN, VIOLET ATLANTIC POWER CORPORATION B 9,800.00 245.45 0.00 245.45 60.0 147.27 13/08/2017 75501546 CHINN, VIOLET ATLANTIC POWER CORPORATION B 9,800.00 245.45 0.00 245.45 60.0 147.27 13/08/2017 75501546 CHINN, VIOLET CHESAPBAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 13/08/2017 75501546 CHINN, VIOLET GROUPON INC COM CL A B B 8,200.00 145.40 0.00 243.36 60.0 145.27 13/21/2017 75501550 A CUNNINGHAM, TR OF WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 243.36 0.00 243.36 60.0 145.02 13/03/21/2017 75501550 A CUNNINGHAM, TR OF CHESAPBAKE ENERGY CORP B 13,095.00 256.60 0.00 243.36 60.0 145.02 13/03/2017 75501555 GROW, AMANDA CYPRESS SEMICONDUCTOR CORP S 7,387.38 153.17 0.00 153.17 60.0 91.90 13/03/2017 75501555 GROW, AMANDA ATLANTIC POWER CORPORATION B 6,982.50 209.48 0.00 209.48 60.0 125.69 13/03/2017 75501552 WILLIAM B JONES TITEE ATLANTIC POWER CORPORATION B 12,250.00 346.13 0.00 346.13 60.0 242.68 13/03/2017 75501562 WILLIAM B JONES TITEE ATLANTIC POWER CORPORATION B 12,250.00 346.13 0.00 346.13 60.0 243.60 0.00 243.60	03/08/2017 75	5501527 THOMPSON, JOHN	CHESAPEAKE ENERGY CORP B	13,020.00	255.94	0.00	255.94	60.0	153.56
03/03/2017 75501546 CHINN, VIOLET	02/28/2017 75	5501546 CHINN, VIOLET	CVR REFINING LP COM UNIT REPSTG LTD S	13,800.00	176.96	0.00	176.96	60.0	106.18
03/07/2017 75501546 CHINN, VIOLET MEATHERFORD INTERNATIONAL PLC USDO. S 20,700.00 341.26 0.00 341.26 60.0 204.76 03/08/2017 75501546 CHINN, VIOLET ATLANTIC POWER CORPORATION B 9,800.00 281.04 0.00 281.04 60.0 168.62 03/08/2017 75501546 CHINN, VIOLET ATLANTIC POWER CORPORATION B 9,800.00 245.45 0.00 245.45 60.0 147.27 03/08/2017 75501546 CHINN, VIOLET CHESAPEAKE ENREGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 13/08/2017 75501546 CHINN, VIOLET GROUPON INC COM CL A B 8,200.00 194.54 0.00 194.54 60.0 116.72 03/08/2017 755015546 CHINN, VIOLET VALEANT PHARMACEUTICALS INTERNATION B 12,766.90 203.35 0.00 203.35 60.0 122.01 03/07/2017 75501550 A CUNNINGHAM, TR OF CHESAPEAKE ENREGY CORP B 13,809.00 243.36 0.00 243.36 60.0 146.02 03/03/03/2017 75501550 A CUNNINGHAM, TR OF CHESAPEAKE ENREGY CORP B 13,809.00 256.60 0.00 256.60 60.0 153.96 03/03/2017 75501554 GROW, AMANDA ATLANTIC POWER CORPORATION B 6,982.50 209.48 0.00 299.48 60.0 125.69 03/08/2017 75501555 WILLIAM B JONES TITE CVR REFINING LP COM UNIT REPSTG LTD B 13,597.90 214.47 0.00 214.47 60.0 128.68 03/08/2017 75501550 WILLIAM B JONES TITE CVR REFINING LP COM UNIT REPSTG LTD B 13,597.90 214.47 0.00 214.47 60.0 128.68 03/08/2017 75501562 WILLIAM B JONES TITE CVR REFINING LP COM UNIT REPSTG LTD B 13,597.90 214.47 0.00 214.47 60.0 128.68 03/08/2017 75501562 WILLIAM B JONES TITE CVR REFINING LP COM UNIT REPSTG LTD B 13,597.90 214.47 0.00 214.47 60.0 128.68 03/08/2017 75501562 WILLIAM B JONES TITE CVR REFINING LP COM UNIT REPSTG LTD B 12,250.00 346.13 0.00 346.13 60.0 207.68 03/08/2017 75501562 WILLIAM B JONES TITE CVR REFINING LP COM UNIT REPSTG LTD B 12,500.00 327.62 0.00 327.62 60.0 196.57 03/08/2017 75501562 WILLIAM B JONES TITE CVR REFINING LP CORPORATION B 12,250.00 346.13 0.00 346.13 60.0 299.33 60.0 199.60 13/08/2017 75501562 WILLIAM B JONES TITE CVR REFINING LP CORPORATION B 12,250.00 346.13 0.00 327.62 60.0 196.57 03/08/2017 75501562 WILLIAM B JONES TITE CORPORATION B 12,250.00 327.62 0.00 327.62 60.0 196.57 03/08/2017 75501562 WILLIAM B JONES TITE CORPOR	02/28/2017 75	5501546 CHINN, VIOLET	NIMBLE STORAGE INC S	13,650.00	259.78	0.00	259.78	60.0	155.87
03/08/2017 75501546 CHINN, VIOLET ATLANTIC POWER CORPORATION B 9,800.00 281.04 0.00 281.04 60.0 168.62 03/08/2017 75501546 CHINN, VIOLET ATLANTIC POWER CORPORATION B 9,800.00 245.45 0.00 245.45 60.0 147.27 03/08/2017 75501546 CHINN, VIOLET CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/08/2017 75501546 CHINN, VIOLET GROUPON INC COM CL A B 8,200.00 194.54 0.00 194.54 60.0 116.72 03/21/2017 75501546 CHINN, VIOLET VALEANT PHARMACEUTICALS INTERNATION B 12,766.90 203.35 0.00 203.35 60.0 122.01 03/07/2017 75501550 A CUNNINGHAM, TR OF CHESAPEAKE ENERGY CORP B 13,005.00 243.36 0.00 243.36 60.0 146.02 03/03/13/2017 75501550 A CUNNINGHAM, TR OF CHESAPEAKE ENERGY CORP B 13,005.00 256.60 0.00 256.60 60.0 153.96 03/03/2017 75501554 GROW, AMANDA CYPRESS SEMICONDUCTOR CORP S 7,387.38 153.17 0.00 153.17 60.0 153.17 60.0 153.17 60.0 153.07 60.0 122.01 03/03/2017 75501554 GROW, AMANDA ATLANTIC POWER CORPORATION B 6,982.50 209.48 0.00 209.48 60.0 125.69 03/03/03/2017 75501554 GROW, AMANDA ATLANTIC POWER CORPORATION B 6,982.50 209.48 0.00 209.48 60.0 125.69 03/03/03/2017 75501556 WILLIAMB JONES TITEE CARRESTORD INTERNATIONAL PIC USDD. S 27,600.00 420.14 0.00 420.14 60.0 252.08 03/08/2017 75501562 WILLIAMB JONES TITEE WEATHERFORD INTERNATIONAL PIC USDD. S 27,600.00 420.14 0.00 420.14 60.0 252.08 03/08/2017 75501562 WILLIAMB JONES TITEE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 176.50 03/14/2017 75501562 WILLIAMB JONES TITE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 176.50 03/14/2017 75501562 WILLIAMB JONES TITE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 176.50 03/14/2017 75501562 WILLIAMB JONES TITE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 176.50 03/14/2017 75501562 WILLIAMB JONES TITE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 176.50 03/14/2017 75501562 WILLIAMB JONES TITE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 242.55 0.00 242.55 60.0 145.52 03/14/2017 75501562 WILLIAMB JONES TITE CHESAPEAKE ENERGY CORP B 15,774.00 299.	03/03/2017 75	5501546 CHINN, VIOLET	CVR REFINING LP COM UNIT REPSTG LTD B	15,900.00	242.29	0.00	242.29	60.0	145.37
03/08/2017 75501546 CHINN, VIOLET ATLANTIC POWER CORPORATION B 9,800.00 245.45 0.00 245.45 60.0 147.27 03/08/2017 75501546 CHINN, VIOLET CHESAPEAKE EMERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/08/2017 75501546 CHINN, VIOLET GROUPON INC COM CL A B 8,200.00 194.54 0.00 194.54 0.00 194.54 0.00 146.02 03/21/2017 75501546 CHINN, VIOLET VALEANT PHARMACCUTICALS INTERNATION B 12,766.90 203.35 0.00 203.35 60.0 122.01 03/08/2017 75501550 A CUNNINGHAM, TR OF WEATHERFORD INTERNATIONAL PLC USDD. S 13,800.00 243.36 0.00 243.36 60.0 146.02 03/13/2017 75501550 A CUNNINGHAM, TR OF CHESAPEAKE ENERGY CORP B 13,095.00 256.60 0.00 256.60 60.0 153.19 03/06/2017 75501554 GROW, AMANDA CYPRESS SEMICONDUCTOR CORP S 7,387.38 153.17 0.00 153.17 60.0 91.90 03/06/2017 75501555 GROW, AMANDA ATLANTIC POWER CORPORATION B 6,982.50 209.48 0.00 209.48 60.0 125.69 03/03/03/2017 75501552 WILLIAM B JONES TIEE CVR REFINING LP COM UNIT REPSTG LTD B 13,597.90 214.47 0.00 214.47 60.0 128.68 03/08/2017 75501562 WILLIAM B JONES TIEE WEATHERFORD INTERNATIONAL PLC USDD. S 27,600.00 420.14 0.00 420.14 60.0 252.08 03/08/2017 75501562 WILLIAM B JONES TIEE MEATHERFORD INTERNATIONAL PLC USDD. S 25,000.0 37.62 0.00 327.62 0.00	03/07/2017 75	5501546 CHINN, VIOLET	WEATHERFORD INTERNATIONAL PLC USD0. S	20,700.00	341.26	0.00	341.26	60.0	204.76
03/08/2017 75501546 CHINN, VIOLET CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/08/2017 75501546 CHINN, VIOLET GROUPON INC COM CL A B 8,200.00 194.54 0.00 194.54 60.0 116.72 03/21/2017 75501546 CHINN, VIOLET VALEANT PHARMACEUTICALS INTERNATION B 12,766.90 203.35 0.00 203.35 60.0 122.01 03/07/2017 75501550 A CUNNINGHAM, TR OF WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 243.36 0.00 243.36 60.0 146.02 03/31/3/2017 75501550 A CUNNINGHAM, TR OF CHESAPEAKE ENERGY CORP B 13,095.00 256.60 0.00 256.60 60.0 153.96 03/03/2017 75501554 GROW, AMANDA CYPERSS SEMICONDUCTOR CORP S 7,387.38 153.17 0.00 153.17 60.0 91.90 03/08/2017 75501554 GROW, AMANDA ATLANTIC POWER CORPORATION B 6,982.50 209.48 0.00 209.48 60.0 125.69 03/03/2017 75501555 A CUNNINGHAM, TR OF CHESAPEAKE ENERGY CORP B 2,630.00 74.77 0.00 74.77 60.0 44.86 03/03/2017 75501552 WILLIAM B JONES TITEE WEATHERFORD INTERNATIONAL PLC USDO. S 27,600.00 420.14 0.00 214.47 60.0 128.68 03/08/2017 75501562 WILLIAM B JONES TITEE WEATHERFORD INTERNATIONAL PLC USDO. S 25,020.00 327.62 0.00 327.62 60.0 129.33 60.0 179.60 03/11/2017 75501562 WILLIAM B JONES TITEE ATLANTIC POWER CORPORATION B 12,250.00 327.62 0.00 327.62 60.0 196.57 03/13/2017 75501562 WILLIAM B JONES TITEE ATLANTIC POWER CORPORATION B 12,250.00 327.62 0.00 327.62 60.0 196.57 03/13/2017 75501562 WILLIAM B JONES TITEE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/14/2017 75501562 WILLIAM B JONES TITEE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/14/2017 75501562 WILLIAM B JONES TITEE VALEANT PHARMACEUTICALS INTERNATION B 16,050.00 242.55 0.00 242.55 60.0 165.52 03/13/2017 75501562 WILLIAM B JONES TITEE VALEANT PHARMACEUTICALS INTERNATION B 16,050.00 242.55 0.00 242.55 60.0 165.52 03/13/2017 75501569 JAMES P JONES TRUST WALEANT PHARMACEUTICALS INTERNATION B 15,930.00 242.55 0.00 242.55 60.0 145.52 03/13/2017 75501569 JAMES P JONES TRUST WALEANT PHARMACEUTICALS INTERNATION B 15,930.00 242.55 0.00 242.55 60.0 145.55 03/13/2017 75501569 JAMES P JO	03/08/2017 75	5501546 CHINN, VIOLET	ATLANTIC POWER CORPORATION B	9,800.00	281.04	0.00	281.04	60.0	168.62
103/08/2017 75501546 CHINN, VIOLET GROUPON INC COM CL A B 8,200.00 194.54 0.00 194.54 60.0 116.72 (197.2017 75501546 CHINN, VIOLET VALEANT PHARMACEUTICALS INTERNATION B 12,766.90 203.35 0.00 203.35 60.0 122.01 (197.2017 75501550 A CUNNINGHAM, TR OF WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 243.36 0.00 243.36 60.0 146.02 (197.2017 75501550 A CUNNINGHAM, TR OF CHESAPEAKE ENERGY CORP B 13,095.00 256.60 0.00 256.60 60.0 153.17 60.0	03/08/2017 75	5501546 CHINN, VIOLET	ATLANTIC POWER CORPORATION B	9,800.00	245.45	0.00	245.45	60.0	147.27
03/21/2017 75501546 CHINN, VIOLET VALEANT PHARMACEUTICALS INTERNATION B 12,766.90 203.35 0.00 203.35 60.0 122.01 03/07/2017 75501550 A CUNNINGHAM, TR OF WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 243.36 0.00 243.36 60.0 146.02 03/13/2017 75501550 A CUNNINGHAM, TR OF CHESAPEAKE ENERGY CORP B 13,095.00 256.60 0.00 256.60 60.0 153.96 03/03/2017 75501554 GROW, AMANDA CYPRESS SEMICONDUCTOR CORP S 7,387.38 153.17 0.00 153.17 60.0 91.90 03/06/2017 75501554 GROW, AMANDA ATLANTIC POWER CORPORATION B 6,982.50 209.48 0.00 209.48 60.0 125.69 03/03/03/2017 75501557 A CUNNINGHAM, TR OF CHESAPEAKE ENERGY CORP B 2,630.00 74.77 0.00 74.77 60.0 44.86 03/03/03/2017 75501562 WILLIAM B JONES TITEE CVR REFINING LP COM UNIT REPSTG LTD B 13,597.90 214.47 0.00 214.47 60.0 128.68 03/03/2017 75501562 WILLIAM B JONES TITEE WEATHERFORD INTERNATIONAL PLC USDO. S 27,600.00 420.14 0.00 420.14 60.0 252.08 03/08/2017 75501562 WILLIAM B JONES TITEE ATLANTIC POWER CORPORATION B 12,250.00 346.13 0.00 346.13 60.0 207.68 03/03/2017 75501562 WILLIAM B JONES TITEE NIMBLE STORAGE INC S 25,020.00 327.62 0.00 327.62 60.0 196.57 03/13/2017 75501562 WILLIAM B JONES TITEE VALEANT PHARMACEUTICALS INTERNATION B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/14/2017 75501562 WILLIAM B JONES TITE VALEANT PHARMACEUTICALS INTERNATION B 16,050.00 243.60 0.00 243.60 0.00 105.86 60.0 63.52 03/03/14/2017 75501562 WILLIAM B JONES TITE VALEANT PHARMACEUTICALS INTERNATION B 15,930.00 242.55 0.00 242.55 60.0 165.86 0.00 03/07/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 162.24 0.00 162.24 60.0 97.34 03/07/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 162.24 0.00 162.24 60.0 97.34 03/07/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 242.55 60.0 172.65 03/07/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 287.75 60.0 172.65 03/07/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 287.75 60.0	03/08/2017 75	5501546 CHINN, VIOLET	CHESAPEAKE ENERGY CORP B	15,774.00	299.33	0.00	299.33	60.0	179.60
03/07/2017 75501550 A CUNNINGHAM, TR OF WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 243.36 0.00 243.36 60.0 146.02 03/13/2017 75501550 A CUNNINGHAM, TR OF CHESAPEAKE ENERGY CORP B 13,095.00 256.60 0.00 256.60 60.0 153.96 03/03/2017 75501554 GROW, AMANDA CYPRESS SEMICONDUCTOR CORP S 7,387.38 153.17 0.00 153.17 60.0 91.90 03/06/2017 75501554 GROW, AMANDA ATLANTIC POWER CORPORATION B 6,982.50 209.48 0.00 209.48 60.0 125.69 03/08/2017 75501562 WILLIAM B JONES TITEE CVR REFINING LP COM UNIT REPSTG LTD B 13,597.90 214.47 0.00 214.47 60.0 128.68 03/03/07/2017 75501562 WILLIAM B JONES TITEE WEATHERFORD INTERNATIONAL PLC USDO. S 27,600.00 420.14 0.00 420.14 60.0 252.08 03/08/2017 75501562 WILLIAM B JONES TITEE ATLANTIC POWER CORPORATION B 12,250.00 346.13 0.00 346.13 60.0 207.68 03/03/13/2017 75501562 WILLIAM B JONES TITEE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 196.57 03/13/2017 75501562 WILLIAM B JONES TITEE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/14/2017 75501562 WILLIAM B JONES TITEE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/14/2017 75501562 WILLIAM B JONES TITEE VALEANT PHARMACEUTICALS INTERNATION B 16,050.00 243.60 0.00 243.60 60.0 165.86 0.00 179.60 03/14/2017 75501562 WILLIAM B JONES TITEE VALEANT PHARMACEUTICALS INTERNATION B 15,930.00 242.55 0.00 242.55 60.0 145.53 03/07/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 162.24 0.00 162.24 60.0 97.34 03/08/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 287.75 0.00 287.75 60.0 172.65	03/08/2017 75	5501546 CHINN, VIOLET	GROUPON INC COM CL A B	8,200.00	194.54	0.00	194.54	60.0	116.72
03/13/2017 75501550 A CUNNINGHAM, TR OF CHESAPEAKE ENERGY CORP B 13,095.00 256.60 0.00 256.60 60.0 153.96 03/03/2017 75501554 GROW, AMANDA CYPRESS SEMICONDUCTOR CORP S 7,387.38 153.17 0.00 153.17 60.0 91.90 03/06/2017 75501554 GROW, AMANDA ATLANTIC POWER CORPORATION B 6,982.50 209.48 0.00 209.48 60.0 125.69 03/08/2017 75501557 A CUNNINGHAM, TR OF CHESAPEAKE ENERGY CORP B 2,630.00 74.77 0.00 74.77 60.0 44.86 03/03/2017 75501562 WILLIAM B JONES TITEE CVR REFINING LP COM UNIT REPSTG LTD B 13,597.90 214.47 0.00 214.47 60.0 128.68 03/07/2017 75501562 WILLIAM B JONES TITEE WEATHERFORD INTERNATIONAL PLC USDO. S 27,600.00 420.14 0.00 420.14 60.0 252.08 03/08/2017 75501562 WILLIAM B JONES TITEE ATLANTIC POWER CORPORATION B 12,250.00 346.13 0.00 346.13 60.0 207.68 03/08/2017 75501562 WILLIAM B JONES TITEE ATLANTIC POWER CORPORATION B 12,250.00 327.62 0.00 327.62 60.0 196.57 03/13/2017 75501562 WILLIAM B JONES TITEE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/14/2017 75501562 WILLIAM B JONES TITEE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/14/2017 75501562 WILLIAM B JONES TITEE VALEANT PHARMACEUTICALS INTERNATION B 16,050.00 243.60 0.00 243.60 60.0 146.16 03/17/2017 75501562 WILLIAM B JONES TITEE VALEANT PHARMACEUTICALS INTERNATION S 17,250.00 105.86 0.00 105.86 60.0 63.52 03/07/2017 75501562 WILLIAM B JONES TITEE VALEANT PHARMACEUTICALS INTERNATION B 15,930.00 242.55 0.00 242.55 60.0 145.53 03/07/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 162.24 0.00 162.24 60.0 97.34 03/08/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 162.24 0.00 162.24 60.0 97.34 03/08/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 287.75 60.0 172.65 03/07/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 287.75 60.0 172.65 03/07/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 287.75 60.0 172.65 03/07/2017 75501569 JAMES	03/21/2017 75	5501546 CHINN, VIOLET	VALEANT PHARMACEUTICALS INTERNATION B	12,766.90	203.35	0.00	203.35	60.0	122.01
03/03/2017 75501554 GROW, AMANDA CYPRESS SEMICONDUCTOR CORP S 7,387.38 153.17 0.00 153.17 60.0 91.90 03/06/2017 75501554 GROW, AMANDA ATLANTIC POWER CORPORATION B 6,982.50 209.48 0.00 209.48 60.0 125.69 03/08/2017 75501557 A CUNNINGHAM, TR OF CHESAPEAKE ENERGY CORP B 2,630.00 74.77 0.00 74.77 60.0 44.86 03/03/2017 75501562 WILLIAM B JONES TITEE CVR REFINING LP COM UNIT REPSTG LTD B 13,597.90 214.47 0.00 214.47 60.0 128.68 03/07/2017 75501562 WILLIAM B JONES TITEE WEATHERFORD INTERNATIONAL PLC USDO. S 27,600.00 420.14 0.00 420.14 60.0 252.08 03/08/2017 75501562 WILLIAM B JONES TITEE ATLANTIC POWER CORPORATION B 12,250.00 346.13 0.00 346.13 60.0 207.68 03/08/2017 75501562 WILLIAM B JONES TITEE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/14/2017 75501562 WILLIAM B JONES TITEE VALEANT PHARMACEUTICALS INTERNATION B 16,050.00 243.60 0.00 243.60 60.0 146.16 03/17/2017 75501562 WILLIAM B JONES TITEE VALEANT PHARMACEUTICALS INTERNATION B 15,930.00 242.55 0.00 242.55 60.0 145.53 03/07/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 162.24 0.00 162.24 60.0 97.34 03/08/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 287.75 60.0 172.65	03/07/2017 75	5501550 A CUNNINGHAM, TR OF	WEATHERFORD INTERNATIONAL PLC USD0. S	13,800.00	243.36	0.00	243.36	60.0	146.02
03/06/2017 75501554 GROW, AMANDA ATLANTIC POWER CORPORATION B 6,982.50 209.48 0.00 209.48 60.0 125.69 03/08/2017 75501557 A CUNNINGHAM, TR OF CHESAPEAKE ENERGY CORP B 2,630.00 74.77 0.00 74.77 60.0 44.86 03/03/2017 75501562 WILLIAM B JONES TITE CVR REFINING LP COM UNIT REPSTG LTD B 13,597.90 214.47 0.00 214.47 60.0 128.68 03/07/2017 75501562 WILLIAM B JONES TITE WEATHERFORD INTERNATIONAL PLC USDO. S 27,600.00 420.14 0.00 420.14 60.0 252.08 03/08/2017 75501562 WILLIAM B JONES TITE ATLANTIC POWER CORPORATION B 12,250.00 346.13 0.00 346.13 60.0 207.68 03/08/2017 75501562 WILLIAM B JONES TITE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/14/2017 75501562 WILLIAM B JONES TITE VALEANT PHARMACEUTICALS INTERNATION B 16,050.00 243.60 0.00 243.60 60.0 105.86 60.0 30/07/2017 75501562 WILLIAM B JONES TITE VALEANT PHARMACEUTICALS INTERNATION B 15,930.00 242.55 0.00 242.55 60.0 145.53 03/07/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 162.24 0.00 162.24 60.0 97.34 03/08/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 287.75 0.00 287.75 60.0 172.65	03/13/2017 75	5501550 A CUNNINGHAM, TR OF	CHESAPEAKE ENERGY CORP B	13,095.00	256.60	0.00	256.60	60.0	153.96
03/08/2017 75501557 A CUNNINGHAM, TR OF CHESAPEAKE ENERGY CORP B 2,630.00 74.77 0.00 74.77 60.0 44.86 03/03/2017 75501562 WILLIAM B JONES TTEE CVR REFINING LP COM UNIT REPSTG LTD B 13,597.90 214.47 0.00 214.47 60.0 128.68 03/07/2017 75501562 WILLIAM B JONES TTEE WEATHERFORD INTERNATIONAL PLC USDO. S 27,600.00 420.14 0.00 420.14 60.0 252.08 03/08/2017 75501562 WILLIAM B JONES TTEE ATLANTIC POWER CORPORATION B 12,250.00 346.13 0.00 346.13 60.0 207.68 03/08/2017 75501562 WILLIAM B JONES TTEE NIMBLE STORAGE INC S 25,020.00 327.62 0.00 327.62 60.0 196.57 03/13/2017 75501562 WILLIAM B JONES TTEE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/14/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION B 16,050.00 243.60 0.00 243.60 60.0 146.16 03/17/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION S 17,250.00 105.86 0.00 105.86 60.0 63.52 03/21/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 162.24 0.00 162.24 60.0 97.34 03/08/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 287.75 60.0 172.65	03/03/2017 75	5501554 GROW, AMANDA	CYPRESS SEMICONDUCTOR CORP S	7,387.38	153.17	0.00	153.17	60.0	91.90
128.68 129.03/2017 75501562 WILLIAM B JONES TTEE CVR REFINING LP COM UNIT REPSTG LTD B 129.03/07/2017 75501562 WILLIAM B JONES TTEE WEATHERFORD INTERNATIONAL PLC USDO. S 129.08 129.09 129.09 120.09	03/06/2017 75	5501554 GROW, AMANDA	ATLANTIC POWER CORPORATION B	6,982.50	209.48	0.00	209.48	60.0	125.69
03/07/2017 75501562 WILLIAM B JONES TTEE WEATHERFORD INTERNATIONAL PLC USDO. S 27,600.00 420.14 0.00 420.14 60.0 252.08 03/08/2017 75501562 WILLIAM B JONES TTEE ATLANTIC POWER CORPORATION B 12,250.00 346.13 0.00 346.13 60.0 207.68 03/08/2017 75501562 WILLIAM B JONES TTEE NIMBLE STORAGE INC S 25,020.00 327.62 0.00 327.62 60.0 196.57 03/13/2017 75501562 WILLIAM B JONES TTEE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/14/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION B 16,050.00 243.60 0.00 243.60 60.0 146.16 03/17/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION S 17,250.00 105.86 0.00 105.86 60.0 63.52 03/21/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION B 15,930.00 242.55 0.00 242.55 60.0 145.53 03/07/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 162.24 0.00 162.24 60.0 97.34 03/08/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 287.75 60.0 172.65	03/08/2017 75	5501557 A CUNNINGHAM, TR OF	CHESAPEAKE ENERGY CORP B	2,630.00	74.77	0.00	74.77	60.0	44.86
03/08/2017 75501562 WILLIAM B JONES TTEE ATLANTIC POWER CORPORATION B 12,250.00 346.13 0.00 346.13 60.0 207.68 03/08/2017 75501562 WILLIAM B JONES TTEE NIMBLE STORAGE INC S 25,020.00 327.62 0.00 327.62 60.0 196.57 03/13/2017 75501562 WILLIAM B JONES TTEE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/14/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION B 16,050.00 243.60 0.00 243.60 60.0 146.16 03/17/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION S 17,250.00 105.86 0.00 105.86 60.0 63.52 03/21/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION B 15,930.00 242.55 0.00 242.55 60.0 145.53 03/07/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 162.24 0.00 162.24 60.0 97.34 03/08/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 287.75 60.0 172.65	03/03/2017 75	5501562 WILLIAM B JONES TTEE	CVR REFINING LP COM UNIT REPSTG LTD B	13,597.90	214.47	0.00	214.47	60.0	128.68
03/08/2017 75501562 WILLIAM B JONES TTEE NIMBLE STORAGE INC S 25,020.00 327.62 0.00 327.62 60.0 196.57 03/13/2017 75501562 WILLIAM B JONES TTEE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/14/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION B 16,050.00 243.60 0.00 243.60 60.0 146.16 03/17/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION S 17,250.00 105.86 0.00 105.86 60.0 63.52 03/21/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION B 15,930.00 242.55 0.00 242.55 60.0 145.53 03/07/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 162.24 0.00 162.24 60.0 97.34 03/08/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 287.75 60.0 172.65	03/07/2017 75	5501562 WILLIAM B JONES TTEE	WEATHERFORD INTERNATIONAL PLC USD0. S	27,600.00	420.14	0.00	420.14	60.0	252.08
03/13/2017 75501562 WILLIAM B JONES TTEE CHESAPEAKE ENERGY CORP B 15,774.00 299.33 0.00 299.33 60.0 179.60 03/14/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION B 16,050.00 243.60 0.00 243.60 60.0 146.16 03/17/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION S 17,250.00 105.86 0.00 105.86 60.0 63.52 03/21/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION B 15,930.00 242.55 0.00 242.55 60.0 145.53 03/07/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 162.24 0.00 162.24 60.0 97.34 03/08/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 287.75 60.0 172.65	03/08/2017 75	5501562 WILLIAM B JONES TTEE	ATLANTIC POWER CORPORATION B	12,250.00	346.13	0.00	346.13	60.0	207.68
03/14/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION B 16,050.00 243.60 0.00 243.60 60.0 146.16 03/17/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION S 17,250.00 105.86 0.00 105.86 60.0 63.52 03/21/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION B 15,930.00 242.55 0.00 242.55 60.0 145.53 03/07/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 162.24 0.00 162.24 60.0 97.34 03/08/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 287.75 60.0 172.65	03/08/2017 75	5501562 WILLIAM B JONES TTEE	NIMBLE STORAGE INC S	25,020.00	327.62	0.00	327.62	60.0	196.57
03/17/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION S 17,250.00 105.86 0.00 105.86 60.0 63.52 03/21/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION B 15,930.00 242.55 0.00 242.55 60.0 145.53 03/07/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 162.24 0.00 162.24 60.0 97.34 03/08/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 287.75 60.0 172.65	03/13/2017 75	5501562 WILLIAM B JONES TTEE	CHESAPEAKE ENERGY CORP B	15,774.00	299.33	0.00	299.33	60.0	179.60
03/21/2017 75501562 WILLIAM B JONES TTEE VALEANT PHARMACEUTICALS INTERNATION B 15,930.00 242.55 0.00 242.55 60.0 145.53 03/07/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 162.24 0.00 162.24 60.0 97.34 03/08/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 287.75 60.0 172.65	03/14/2017 75	5501562 WILLIAM B JONES TTEE	VALEANT PHARMACEUTICALS INTERNATION B	16,050.00	243.60	0.00	243.60	60.0	146.16
03/07/2017 75501569 JAMES P JONES TRUST WEATHERFORD INTERNATIONAL PLC USDO. S 13,800.00 162.24 0.00 162.24 60.0 97.34 03/08/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 287.75 60.0 172.65	03/17/2017 75	5501562 WILLIAM B JONES TTEE	VALEANT PHARMACEUTICALS INTERNATION S	17,250.00	105.86	0.00	105.86	60.0	63.52
03/08/2017 75501569 JAMES P JONES TRUST ATLANTIC POWER CORPORATION B 10,000.00 287.75 0.00 287.75 60.0 172.65	03/21/2017 75	5501562 WILLIAM B JONES TTEE	VALEANT PHARMACEUTICALS INTERNATION B	15,930.00	242.55	0.00	242.55	60.0	145.53
, , , , , , , , , , , , , , , , , , ,	03/07/2017 75	5501569 JAMES P JONES TRUST	WEATHERFORD INTERNATIONAL PLC USDO. S	13,800.00	162.24	0.00	162.24	60.0	97.34
3/14/2017 75501569 JAMES P JONES TRUST VALEANT PHARMACEUTICALS INTERNATION B 16,050.00 243.60 0.00 243.60 60.0 146.16	03/08/2017 75	5501569 JAMES P JONES TRUST	ATLANTIC POWER CORPORATION B	10,000.00	287.75	0.00	287.75	60.0	172.65
	03/14/2017 75	5501569 JAMES P JONES TRUST	VALEANT PHARMACEUTICALS INTERNATION B	16,050.00	243.60	0.00	243.60	60.0	146.16

INVESTMENT

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BROKER

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKER TE	RANSA	CTIONS					
	TEGORY: STOCKS			15 050 00	105.06		105.06		
	5501569 JAMES P JONES TRUST	VALEANT PHARMACEUTICALS INTERNATIO		17,250.00	105.86	0.00	105.86		63.52
	5501569 JAMES P JONES TRUST	VALEANT PHARMACEUTICALS INTERNATIO		15,930.00	242.55	0.00	242.55		145.53
	5501570 CARTER, GLENN	ATLANTIC POWER CORPORATION	В	4,900.00	147.00	0.00	147.00		88.20
	5501576 FAIRBAIRN, KAREN	ATLANTIC POWER CORPORATION	В	7,350.00	220.50	0.00	220.50		132.30
	5501576 FAIRBAIRN, KAREN	CHEVRON CORPORATION	В	4,239.66	57.59	0.00	57.59		34.55
	5501576 FAIRBAIRN, KAREN	PROLOGIS INC	В	4,320.64	58.27	0.00	58.27		34.96
	5501577 FORD, ANITA	NIMBLE STORAGE INC	S	24,960.00	327.27	0.00	327.27		196.36
	5501577 FORD, ANITA	CHESAPEAKE ENERGY CORP	В	15,714.00	298.81	0.00	298.81		179.29
	5501577 FORD, ANITA	ISHARES IBOXX \$ INVESTMENT GRADE C		3,532.06	60.31	0.00	60.31		36.19
	5501577 FORD, ANITA	WISDOMTREE EMERGING CURRENCY STRAT		3,036.16	75.11	0.00	75.11		45.07
	5501580 FORD, ANITA	WEATHERFORD INTERNATIONAL PLC USDO		15,870.00	318.54	0.00	318.54		191.12
	5501580 FORD, ANITA	ATLANTIC POWER CORPORATION	В	12,250.00	346.13	0.00	346.13		207.68
	5501580 FORD, ANITA	VALEANT PHARMACEUTICALS INTERNATIO		16,063.65	243.71	0.00	243.71		146.23
	5501582 DENOTTER, DOLORES	CLEAN ENERGY FUELS CORP	S	2,503.00	87.61	0.00	87.61		52.57
	5501585 ESPINOSA, MANUEL	INVESCO SENIOR INCOME TRUST COM	В	19,736.64	253.59	0.00	253.59		152.15
	5501585 ESPINOSA, MANUEL	THL CREDIT SENIOR LOAN FUND COM	В	39,386.28	265.62	0.00	265.62		159.37
	5501585 ESPINOSA, MANUEL	WESTERN ASSET CORPORATE LN FD INC		39,465.99	250.29	0.00	250.29		150.17
	5501587 KOWALSKI, WILLIAM	NIMBLE STORAGE INC	S	7,488.00	84.21	0.00	84.21		50.53
	5501587 KOWALSKI, WILLIAM	ATLANTIC POWER CORPORATION	В	7,540.00	223.67	0.00	223.67		134.20
	5501588 KIERNAN, ILONA	ALTRIA GROUP INC	S	5,301.11	105.00	0.00	105.00		63.00
	5501588 KIERNAN, ILONA	ENBRIDGE INC	S	8,522.55	120.98	0.00	120.98		72.59
	5501588 KIERNAN, ILONA	JOHNSON & JOHNSON	S	7,170.69	105.00	0.00	105.00		63.00
	5501588 KIERNAN, ILONA	PHILIP MORRIS INTERNATIONAL INC	S	5,485.50	105.00	0.00	105.00		63.00
	5501594 THEOPHILOPOULOS,	WEATHERFORD INTERNATIONAL PLC USD0	. S	10,695.00	169.83	0.00	169.83		101.90
	5501594 THEOPHILOPOULOS,	GROUPON INC COM CL A	В	10,222.50	231.55	0.00	231.55		138.93
	5501595 MOWATT, EVELYN	WEATHERFORD INTERNATIONAL PLC USD0		8,280.00	82.12	0.00	82.12	60.0	49.27
03/07/2017 7	5501596 THEOPHILOPOULOS,	WEATHERFORD INTERNATIONAL PLC USD0	. S	5,520.00	100.66	0.00	100.66		60.40
	5501602 MELONI, GAIL	ATLANTIC POWER CORPORATION	В	9,600.00	189.51	0.00	189.51	60.0	113.71
03/01/2017 7	5501602 MELONI, GAIL	MORGAN STANLEY DEP SHS REPSTG 1/10	0 S	47,068.80	277.93	0.00	277.93	60.0	166.76
03/01/2017 7	5501602 MELONI, GAIL	NEXTERA ENERGY CAP HLDGS INC GTD J	RВ	47,631.60	275.00	0.00	275.00	60.0	165.00
02/28/2017 7	5501603 PARE, CAROL	STATE STREET CORP	S	4,721.40	71.88	0.00	71.88	60.0	43.13
02/28/2017 7	5501605 DEAL, PATRICIA	CVR REFINING LP COM UNIT REPSTG LT	D S	13,800.00	176.96	0.00	176.96	60.0	106.18
03/01/2017 7	5501605 DEAL, PATRICIA	NIMBLE STORAGE INC	S	13,860.00	261.93	0.00	261.93	60.0	157.16
03/03/2017 7	5501605 DEAL, PATRICIA	CVR REFINING LP COM UNIT REPSTG LT	DВ	15,900.00	242.29	0.00	242.29	60.0	145.37
03/06/2017 7	5501605 DEAL, PATRICIA	GROUPON INC COM CL A	В	12,000.00	266.43	0.00	266.43	60.0	159.86
03/08/2017 7	5501605 DEAL, PATRICIA	CHESAPEAKE ENERGY CORP	В	15,804.00	299.59	0.00	299.59	60.0	179.75
03/08/2017 7	5501605 DEAL, PATRICIA	CVR REFINING LP COM UNIT REPSTG LT	D S	3,450.00	70.48	0.00	70.48	60.0	42.29
03/15/2017 7	5501605 DEAL, PATRICIA	VALEANT PHARMACEUTICALS INTERNATIO	N B	16,335.00	246.08	0.00	246.08	60.0	147.65
03/17/2017 7	5501605 DEAL, PATRICIA	VALEANT PHARMACEUTICALS INTERNATION	N S	17,250.00	105.86	0.00	105.86	60.0	63.52
03/21/2017 7	5501605 DEAL, PATRICIA	VALEANT PHARMACEUTICALS INTERNATIO	N B	15,930.00	242.55	0.00	242.55	60.0	145.53

COMMISSION STATEMENT for THANASI TOMARAS

INVESTMENT

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CLEARING

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BROKER

TRADE DATE	CLIENT	INVESTMENT B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKER TRANS	BACTIONS					
PRODUCT CAT	EGORY: STOCKS							
03/07/2017 75	5501616 WINSTEAD, BOGART	NIMBLE STORAGE INC S	24,960.00	327.27	0.00	327.27	60.0	196.36
03/07/2017 75	5501616 WINSTEAD, BOGART	WEATHERFORD INTERNATIONAL PLC USD0. S	20,700.00	341.26	0.00	341.26	60.0	204.76
03/08/2017 75	5501616 WINSTEAD, BOGART	ATLANTIC POWER CORPORATION B	12,250.00	346.13	0.00	346.13	60.0	207.68
03/08/2017 75	5501616 WINSTEAD, BOGART	CHESAPEAKE ENERGY CORP B	15,563.20	297.49	0.00	297.49	60.0	178.49
03/03/2017 75	5501617 WINSTEAD, BOGART	CVR REFINING LP COM UNIT REPSTG LTD B	21,200.00	305.41	0.00	305.41	60.0	183.25
03/07/2017 75	5501617 WINSTEAD, BOGART	NIMBLE STORAGE INC S	24,960.00	327.27	0.00	327.27	60.0	196.36
03/07/2017 75	5501617 WINSTEAD, BOGART	WEATHERFORD INTERNATIONAL PLC USD0. S	20,700.00	341.26	0.00	341.26	60.0	204.76
03/08/2017 75	5501617 WINSTEAD, BOGART	ATLANTIC POWER CORPORATION B	10,000.00	287.75	0.00	287.75	60.0	172.65
03/08/2017 75	5501617 WINSTEAD, BOGART	CHESAPEAKE ENERGY CORP B	15,564.00	297.50	0.00	297.50	60.0	178.50
03/14/2017 75	5501617 WINSTEAD, BOGART	VALEANT PHARMACEUTICALS INTERNATION B	16,050.00	243.60	0.00	243.60	60.0	146.16
03/17/2017 75	5501617 WINSTEAD, BOGART	VALEANT PHARMACEUTICALS INTERNATION S	17,250.00	105.86	0.00	105.86	60.0	63.52
03/20/2017 75	5501617 WINSTEAD, BOGART	WEATHERFORD INTERNATIONAL PLC USD0. S	12,140.00	190.74	0.00	190.74	60.0	114.44
03/21/2017 75	5501617 WINSTEAD, BOGART	VALEANT PHARMACEUTICALS INTERNATION B	15,930.00	242.55	0.00	242.55	60.0	145.53
02/28/2017 75	5501618 WINSTEAD, LINDA	CVR REFINING LP COM UNIT REPSTG LTD S	13,800.00	176.96	0.00	176.96	60.0	106.18
03/03/2017 75	5501618 WINSTEAD, LINDA	CVR REFINING LP COM UNIT REPSTG LTD B	15,900.00	242.29	0.00	242.29	60.0	145.37
03/07/2017 75	5501618 WINSTEAD, LINDA	NIMBLE STORAGE INC S	18,720.00	266.87	0.00	266.87	60.0	160.12
03/07/2017 75	5501618 WINSTEAD, LINDA	WEATHERFORD INTERNATIONAL PLC USD0. S	17,250.00	292.82	0.00	292.82	60.0	175.69
03/08/2017 75	5501618 WINSTEAD, LINDA	ATLANTIC POWER CORPORATION B	10,000.00	287.75	0.00	287.75	60.0	172.65
03/08/2017 75	5501618 WINSTEAD, LINDA	CHESAPEAKE ENERGY CORP B	15,504.00	296.98	0.00	296.98	60.0	178.19
03/07/2017 75	5501704 PETERSON, RICHARD	NIMBLE STORAGE INC S	24,960.00	327.27	0.00	327.27	60.0	196.36
03/13/2017 75	5501704 PETERSON, RICHARD	CHESAPEAKE ENERGY CORP B	13,145.00	257.03	0.00	257.03	60.0	154.22
03/07/2017 75	5501707 GASHI, ASPA	NIMBLE STORAGE INC S	24,960.00	327.27	0.00	327.27	60.0	196.36
03/07/2017 75	5501707 GASHI, ASPA	WEATHERFORD INTERNATIONAL PLC USD0. S	20,700.00	284.39	0.00	284.39	60.0	170.63
03/13/2017 75	5501707 GASHI, ASPA	CHESAPEAKE ENERGY CORP B	15,864.00	300.11	0.00	300.11	60.0	180.07
03/14/2017 75	5501707 GASHI, ASPA	VALEANT PHARMACEUTICALS INTERNATION B	16,050.00	243.60	0.00	243.60	60.0	146.16
03/17/2017 75	5501707 GASHI, ASPA	VALEANT PHARMACEUTICALS INTERNATION S	17,250.00	105.86	0.00	105.86	60.0	63.52
03/21/2017 75	5501707 GASHI, ASPA	VALEANT PHARMACEUTICALS INTERNATION B	15,930.00	242.55	0.00	242.55	60.0	145.53
03/07/2017 75	5501708 KILGO, PIERCE	WEATHERFORD INTERNATIONAL PLC USD0. S	10,350.00	193.91	0.00	193.91	60.0	116.35
03/13/2017 75	5501708 KILGO, PIERCE	ATLANTIC POWER CORPORATION B	7,725.00	229.16	0.00	229.16	60.0	137.50
03/13/2017 75	5501708 KILGO, PIERCE	CHESAPEAKE ENERGY CORP B	10,340.00	213.20	0.00	213.20	60.0	127.92
03/07/2017 75	5501709 KILGO, PIERCE	NIMBLE STORAGE INC S	12,480.00	193.09	0.00	193.09	60.0	115.85
03/07/2017 75	5501709 KILGO, PIERCE	WEATHERFORD INTERNATIONAL PLC USD0. S	13,800.00	243.36	0.00	243.36	60.0	146.02
03/13/2017 75	5501709 KILGO, PIERCE	ATLANTIC POWER CORPORATION B	7,725.00	229.16	0.00	229.16	60.0	137.50
03/13/2017 75	5501709 KILGO, PIERCE	CHESAPEAKE ENERGY CORP B	10,356.00	213.34	0.00	213.34	60.0	128.00
03/07/2017 75	5501710 KILGO, PIERCE	NIMBLE STORAGE INC S	12,480.00	160.91	0.00	160.91	60.0	96.55
03/07/2017 75	5501710 KILGO, PIERCE	WEATHERFORD INTERNATIONAL PLC USD0. S	13,800.00	243.36	0.00	243.36	60.0	146.02
03/07/2017 75	5501711 KILGO, JOAN	NIMBLE STORAGE INC S	11,232.00	176.40	0.00	176.40	60.0	105.84
03/13/2017 75	5501711 KILGO, JOAN	ATLANTIC POWER CORPORATION B	7,650.00	228.50	0.00	228.50	60.0	137.10
03/13/2017 75	5501711 KILGO, JOAN	CHESAPEAKE ENERGY CORP B	10,340.00	213.20	0.00	213.20	60.0	127.92
03/07/2017 75	5501713 AURIEMMA, LOUISE	NIMBLE STORAGE INC S	18,720.00	266.87	0.00	266.87	60.0	160.12

INVESTMENT

TRADE DATE

CLIENT

COMMISSION STATEMENT for THANASI TOMARAS

B/S

INVESTMENT

AMOUNT

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RATE

RR	OKER TRANSA	CTIONS				
PRODUCT CATEGORY: STOCKS	ORDIN TIGHNOTI	CIIOND				
03/07/2017 75501713 AURIEMMA, LOUISE WEATHERFORD INTERNATIONAL	PLC USDO. S	13,800.00	243.36	0.00	243.36 60.0	146.0
03/08/2017 75501713 AURIEMMA, LOUISE ATLANTIC POWER CORPORATIO		9,800.00	286.01	0.00	286.01 60.0	171.6
03/08/2017 75501713 AURIEMMA, LOUISE CHESAPEAKE ENERGY CORP	. В	15,774.00	299.33	0.00	299.33 60.0	179.6
03/07/2017 75501714 AURIEMMA, LOUISE NIMBLE STORAGE INC	S	18,720.00	266.87	0.00	266.87 60.0	160.1
03/07/2017 75501714 AURIEMMA, LOUISE WEATHERFORD INTERNATIONAL	-	13,800.00	243.36	0.00	243.36 60.0	146.0
03/08/2017 75501714 AURIEMMA, LOUISE ATLANTIC POWER CORPORATIO		9,800.00	286.01	0.00	286.01 60.0	171.6
03/08/2017 75501714 AURIEMMA, LOUISE CHESAPEAKE ENERGY CORP	В	15,774.00	299.33	0.00	299.33 60.0	179.6
03/21/2017 75501714 AURIEMMA, LOUISE VALEANT PHARMACEUTICALS I	NTERNATION B	15,927.30	242.53	0.00	242.53 60.0	145.5
03/09/2017 75501717 DEKOVESSEY, MARY JANE AMERICAN EXPRESS COMPANY	(N.Y) S	3,966.13	51.63	0.00	51.63 60.0	30.9
03/07/2017 75501718 RAUSCHERT, MARGUERITE NIMBLE STORAGE INC	S	24,960.00	327.27	0.00	327.27 60.0	196.3
03/07/2017 75501718 RAUSCHERT, MARGUERITE WEATHERFORD INTERNATIONAL	PLC USD0. S	15,180.00	307.01	0.00	307.01 60.0	184.2
3/08/2017 75501718 RAUSCHERT, MARGUERITE ATLANTIC POWER CORPORATIO	N B	10,000.00	287.75	0.00	287.75 60.0	172.6
03/08/2017 75501718 RAUSCHERT, MARGUERITE CHESAPEAKE ENERGY CORP	В	15,714.00	298.81	0.00	298.81 60.0	179.2
03/07/2017 75501719 RAUSCHERT, MARGUERITE NIMBLE STORAGE INC	S	24,960.00	327.27	0.00	327.27 60.0	196.3
3/08/2017 75501719 RAUSCHERT, MARGUERITE ATLANTIC POWER CORPORATIO	N B	10,000.00	287.75	0.00	287.75 60.0	172.6
3/08/2017 75501719 RAUSCHERT, MARGUERITE CHESAPEAKE ENERGY CORP	В	15,654.00	298.28	0.00	298.28 60.0	178.9
3/21/2017 75501720 STAUBLY, NORMAN PANDORA MEDIA INC	S	584.51	50.00	0.00	50.00 60.0	0.0
2/28/2017 75501724 PAZAR, SAM NIMBLE STORAGE INC	S	22,750.00	333.80	0.00	333.80 60.0	200.2
3/03/2017 75501724 PAZAR, SAM NEXTERA ENERGY CAP HLDGS	INC GTD JR B	23,820.00	281.89	0.00	281.89 60.0	169.1
3/03/2017 75501724 PAZAR, SAM NIMBLE STORAGE INC	В	21,996.00	274.52	0.00	274.52 60.0	164.7
3/07/2017 75501724 PAZAR, SAM NIMBLE STORAGE INC	S	31,200.00	374.77	0.00	374.77 60.0	224.8
3/07/2017 75501724 PAZAR, SAM WEATHERFORD INTERNATIONAL	PLC USD0. S	41,400.00	549.97	0.00	549.97 60.0	329.9
3/08/2017 75501724 PAZAR, SAM CHESAPEAKE ENERGY CORP	В	15,780.00	299.38	0.00	299.38 60.0	179.6
3/14/2017 75501724 PAZAR, SAM VALEANT PHARMACEUTICALS I	NTERNATION B	21,400.00	306.58	0.00	306.58 60.0	183.9
3/17/2017 75501724 PAZAR, SAM VALEANT PHARMACEUTICALS I	NTERNATION S	23,000.00	131.62	0.00	131.62 60.0	78.9
3/21/2017 75501724 PAZAR, SAM VALEANT PHARMACEUTICALS I	NTERNATION B	15,930.00	242.55	0.00	242.55 60.0	145.5
03/27/2017 75501724 PAZAR, SAM SUBURBAN PROPANE PARTNERS	LP UNIT L B	19,942.50	255.44	0.00	255.44 60.0	153.2
3/28/2017 75501724 PAZAR, SAM THL CREDIT SENIOR LOAN FU	ND COM B	39,416.81	265.70	0.00	265.70 60.0	159.4
3/28/2017 75501724 PAZAR, SAM WESTERN ASSET CORPORATE L	N FD INC CB	39,437.20	250.23	0.00	250.23 60.0	150.1
2/28/2017 75501979 PIXLEY, WANETA CVR REFINING LP COM UNIT	REPSTG LTD S	15,134.00	197.11	0.00	197.11 60.0	118.2
2/28/2017 75501979 PIXLEY, WANETA CYPRESS SEMICONDUCTOR COR	P S	13,520.00	235.86	0.00	235.86 60.0	141.5
2/28/2017 75501979 PIXLEY, WANETA NIMBLE STORAGE INC	S	18,200.00	281.72	0.00	281.72 60.0	169.0
3/03/2017 75501979 PIXLEY, WANETA CVR REFINING LP COM UNIT	REPSTG LTD B	15,900.00	242.29	0.00	242.29 60.0	145.3
3/07/2017 75501979 PIXLEY, WANETA WEATHERFORD INTERNATIONAL	PLC USD0. S	17,250.00	292.82	0.00	292.82 60.0	175.6
3/08/2017 75501979 PIXLEY, WANETA ATLANTIC POWER CORPORATIO	N B	12,250.00	346.13	0.00	346.13 60.0	207.6
3/14/2017 75501979 PIXLEY, WANETA VALEANT PHARMACEUTICALS I	NTERNATION B	16,050.00	243.60	0.00	243.60 60.0	146.1
3/17/2017 75501979 PIXLEY, WANETA VALEANT PHARMACEUTICALS I	NTERNATION S	17,250.00	105.86	0.00	105.86 60.0	63.5
3/21/2017 75501979 PIXLEY, WANETA VALEANT PHARMACEUTICALS I	NTERNATION B	15,930.00	242.55	0.00	242.55 60.0	145.5
02/28/2017 75502033 GLAWSON, CORRINE CVR REFINING LP COM UNIT	REPSTG LTD S	13,800.00	176.96	0.00	176.96 60.0	106.1
03/03/2017 75502033 GLAWSON, CORRINE CVR REFINING LP COM UNIT	REPSTG LTD B	15,900.00	242.29	0.00	242.29 60.0	145.3

TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRANS	ACTIONS					
PRODUCT CATEGORY: STOCKS							
03/07/2017 75502033 GLAWSON, CORRINE	NIMBLE STORAGE INC S	18,720.00	266.87	0.00	266.87	60.0	160.1
03/07/2017 75502033 GLAWSON, CORRINE	WEATHERFORD INTERNATIONAL PLC USD0. S	17,250.00	292.82	0.00	292.82	60.0	175.6
03/08/2017 75502033 GLAWSON, CORRINE	CHESAPEAKE ENERGY CORP B	13,150.00	257.08	0.00	257.08	60.0	154.2
03/14/2017 75502033 GLAWSON, CORRINE	VALEANT PHARMACEUTICALS INTERNATION B	16,050.00	243.60	0.00	243.60	60.0	146.1
03/17/2017 75502033 GLAWSON, CORRINE	VALEANT PHARMACEUTICALS INTERNATION S	17,250.00	105.86	0.00	105.86	60.0	63.5
03/21/2017 75502033 GLAWSON, CORRINE	VALEANT PHARMACEUTICALS INTERNATION B	15,930.00	242.55	0.00	242.55	60.0	145.5
	* STOCKS SUBTOTAL *	\$2,717,930.12	\$42,387.67	\$0.00	\$42,387.67		\$25,402.6
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS						
3/10/2017 75501512 CARTER, GLENN	JOHN HANCOCK FDS III STRATEGIC GROW B	0.00	1.69	0.00	1.69	60.0	1.0
3/10/2017 75501512 CARTER, GLENN	LIFESTYLE GROWTH PORTFOLIO A B	0.00	1.66	0.00	1.66	60.0	1.0
3/10/2017 75501514 CRUZAN, DOUGLAS	MAIN STREET FUND A B	0.00	6.09	0.00	6.09	60.0	3.6
3/10/2017 75501516 HELLER-CRUZAN, HAIDI	MAIN STREET FUND A B	0.00	9.84	0.00	9.84	60.0	5.9
3/10/2017 75501519 MANARINO, RITA	FIDELITY ADV GROWTH OPPORT CL T B	0.00	6.39	0.00	6.39	60.0	3.8
3/10/2017 75501519 MANARINO, RITA	FRANKLIN INCOME FUND CLASS A B	0.00	0.83	0.00	0.83	60.0	0.
3/10/2017 75501521 MANARINO, RITA	WELLS FARGO FDS ASSET ALLOCATION FD B	0.00	9.11	0.00	9.11	60.0	5.
3/10/2017 75501541 PARE, CAROL	WELLS FARGO C&B LARGE CAP VAL FD AD B	0.00	1.16	0.00	1.16	60.0	0.
3/10/2017 75501541 PARE, CAROL	WELLS FARGO FUNDS INTRINSIC VALUE F B	0.00	1.10	0.00	1.10	60.0	0.
3/10/2017 75501541 PARE, CAROL	WELLS FARGO FUNDS SMALL CAP VALUE F B	0.00	1.19	0.00	1.19	60.0	0.
3/10/2017 75501541 PARE, CAROL	WELLS FARGO FUNDS COMMON STOCK FUND B	0.00	1.10	0.00	1.10	60.0	0.0
3/10/2017 75501541 PARE, CAROL	WELLS FARGO FUNDS ASIA PACIFIC FUND B	0.00	1.04	0.00	1.04	60.0	0.0
3/10/2017 75501541 PARE, CAROL	WELLS FARGO FUNDS SHT TRM HIGH YIEL B	0.00	0.59	0.00	0.59	60.0	0.0
3/10/2017 75501541 PARE, CAROL	WELLS FARGO SPECIAL MID CAP VALUE F B	0.00	1.20	0.00	1.20	60.0	0.
3/10/2017 75501541 PARE, CAROL	WELLS FARGO CAPITAL GROWTH FUND ADM B	0.00	1.12	0.00	1.12	60.0	0.6
3/10/2017 75501541 PARE, CAROL	WELLS FARGO FDS GOVT SECS FD(NEW) A B	0.00	0.83	0.00	0.83	60.0	0.0
3/10/2017 75501545 WATT, EDITH	BLACKROCK GLOBAL ALLOCATION FD INC B	0.00	16.21	0.00	16.21	60.0	9.
3/10/2017 75501545 WATT, EDITH	BLACKROCK NATIONAL MUNICIPAL FUND CB	0.00	12.60	0.00	12.60		7.5
3/10/2017 75501546 CHINN, VIOLET	AMERICAN BALANCED FUND-A B	0.00	15.29	0.00	15.29	60.0	9.1
3/10/2017 75501546 CHINN, VIOLET	NEW WORLD FUND-A B	0.00	4.06	0.00	4.06	60.0	2.
3/10/2017 75501552 JONES, KAREN	ALLIANCEBERNSTEIN INTL VALUE A B	0.00	1.82	0.00	1.82		1.
3/10/2017 75501552 JONES, KAREN	DAVIS NEW YORK VENTURE CLASS A B	0.00	2.69	0.00	2.69		1.0
3/10/2017 75501560 JONES, WANDA	WELLS FARGO FDS TR ABSOLUTE RETURN B	0.00	20.62	0.00	20.62		12.
3/10/2017 75501569 JAMES P JONES TRUST	**PRUDENTIAL QMA MID CAP VALUE B	0.00	33.31	0.00		60.0	19.
3/10/2017 75501569 JAMES P JONES TRUST	**PRUDENTIAL JENNISON EQUITY B	0.00	28.17	0.00		60.0	16.
3/10/2017 75501569 JAMES P JONES TRUST	**PRUDENTIAL JENNISON SMALL B	0.00	32.62	0.00	32.62		19.
3/10/2017 75501569 JAMES P JONES TRUST	**PRUDENTIAL FINANCIAL B	0.00	14.39	0.00		60.0	8.0
3/10/2017 75501570 CARTER, GLENN	JOHN HANCOCK INVT TR DISCIPLINED VA B	0.00	0.31	0.00		60.0	0.0
3/10/2017 75501578 HARRIS, WAYNE	AMERICAN BALANCED FUND-A B	0.00	21.88	0.00	21.88		13.1
3/10/2017 75501578 HARRIS, WAYNE	AMERICAN HIGH-INCOME TRUST-A B	0.00	7.51	0.00		60.0	4.5
3/10/2017 75501578 HARRIS, WAYNE	CAPITAL INCOME BUILDER-A B	0.00	18.81	0.00	18.81	60.0	11.

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/10/2017 7	75501578 HA	ARRIS, WAYNE	CAPITAL WORLD GROWTH AND INCOME	E FUN B	0.00	17.60	0.00	17.60	60.0	10.56
03/10/2017 7	75501578 HA	ARRIS, WAYNE	THE GROWTH FUND OF AMERICA-A	В	0.00	8.09	0.00	8.09	60.0	4.85
03/10/2017 7	75501581 VA	ARNEY, ELAINE	DELAWARE INTERNATIONAL VALUE EQ	QUITY B	0.00	2.36	0.00	2.36	60.0	1.42
03/10/2017 7	75501581 VA	ARNEY, ELAINE	JANUS INVT FD GLOBAL LIFE SCIEN	NCES B	0.00	8.18	0.00	8.18	60.0	4.91
03/10/2017 7	75501581 VA	ARNEY, ELAINE	JOHN HANCOCK INVT TR DISCIPLINE	ED VA B	0.00	2.11	0.00	2.11	60.0	1.27
03/10/2017 7	75501587 KC	OWALSKI, WILLIAM	WELLS FARGO FDS TR ABSOLUTE RET	TURN B	0.00	2.05	0.00	2.05	60.0	1.23
03/10/2017 7	75501591 TH	HEOPHILOPOULOS, C	DREYFUS RESH GROWTH FD INC SHS	CL AB	0.00	1.03	0.00	1.03	60.0	0.62
03/10/2017 7	75501594 TH	HEOPHILOPOULOS,	DREYFUS RESH GROWTH FD INC SHS	CL AB	0.00	0.45	0.00	0.45	60.0	0.00
03/10/2017 7	75501616 WI	INSTEAD, BOGART	AMERICAN HIGH-INCOME TRUST-A	В	0.00	10.75	0.00	10.75	60.0	6.45
03/10/2017 7	75501616 WI	INSTEAD, BOGART	CAPITAL INCOME BUILDER-A	В	0.00	15.47	0.00	15.47	60.0	9.28
03/10/2017 7	75501616 WI	INSTEAD, BOGART	CAPITAL WORLD BOND FUND-A	В	0.00	13.49	0.00	13.49	60.0	8.09
03/10/2017 7	75501616 WI	INSTEAD, BOGART	CAPITAL WORLD GROWTH AND INCOME	E FUN B	0.00	16.39	0.00	16.39	60.0	9.83
03/10/2017 7	75501616 WI	INSTEAD, BOGART	EUROPACIFIC GROWTH FUND-A	В	0.00	4.78	0.00	4.78	60.0	2.87
03/10/2017 7	75501616 WI	INSTEAD, BOGART	FUNDAMENTAL INVESTORS-A	В	0.00	22.34	0.00	22.34	60.0	13.40
03/10/2017 7	75501616 WI	INSTEAD, BOGART	INTERMEDIATE BOND FUND OF AMERI	ICA-A B	0.00	3.57	0.00	3.57	60.0	2.14
03/10/2017 7	75501616 WI	INSTEAD, BOGART	NEW WORLD FUND-A	В	0.00	6.61	0.00	6.61	60.0	3.97
03/10/2017 7	75501616 WI	INSTEAD, BOGART	SMALLCAP WORLD FUND-A	В	0.00	7.00	0.00	7.00	60.0	4.20
03/10/2017 7	75501616 WI	INSTEAD, BOGART	THE BOND FUND OF AMERICA-A	В	0.00	9.93	0.00	9.93	60.0	5.96
03/10/2017 7	75501705 TU	JRNER, MARIA	**PRUDENTIAL JENNISON EQUITY	В	0.00	2.66	0.00	2.66	60.0	1.60
03/10/2017 7	75501717 DE	EKOVESSEY, MARY JANI	EMFS GROWTH FUND CL C	В	0.00	20.46	0.00	20.46	60.0	12.28
03/10/2017 7	75501717 DE	EKOVESSEY, MARY JANI	EMFS VALUE FUND-C	В	0.00	20.23	0.00	20.23	60.0	12.14
			* MUTUAL FUND 12B1 TRAILS SUBTO	TAL *	\$0.00	\$470.78	\$0.00	\$470.78		\$280.66
			*** BROKER TRANSACTIONS TOTA	L ***	\$2,719,953.87	\$42,882.69	\$0.00	\$42,882.69		\$25,683.32
							B	ROKER COMMI	SSION	TOTALS
							Broke	r Transactions		\$25,683.32
							Split	Transactions		\$0.00
							Override	e Transactions		\$0.00
								Adinatmenta		\$0.00

Year-to-date Earnings	\$39,968.36
Check Amount	\$24,825.67
SIPC Assessment	\$-804.05
FINRA Assessment	\$-53.60
Prior Period Balance	·
-	\$0.00
- Base Salary	\$0.00
Payroll Draw	\$0.00
Adjustments	\$0.00
Override Transactions	\$0.00
Split Transactions	\$0.00
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