



# COMMISSION STATEMENT

## May 14, 2018

ALAN FISHMAN  
3 Buckley Place  
Hartsdale, NY 10530

BROKER #: 851  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/30/2018	***-**-71IN - GERHARD, LIEPOLD	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	15.57	0.00	15.57	75.0	11.68
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
04/30/2018	***-**-619I - BLUMEN, IAN	FRANKLIN BALANCE SHEET FUND CLASS A	0.00	68.47	0.00	68.47	75.0	51.35
	ACCOUNT #: 000015013299403	BUY 0 Units @0.0000						
04/30/2018	***-**-619I - BLUMEN, IAN	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	122.43	0.00	122.43	75.0	91.82
	ACCOUNT #: 000015811742741	BUY 0 Units @0.0000						
04/30/2018	***-**-71IN - GERHARD, LIEPOLD	FRANKLIN TEMP 529 645769787	0.00	17.04	0.00	17.04	75.0	12.78
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
04/30/2018	***-**-71IN - GERHARD, LIEPOLD	FRANKLIN TEMP 645769480	0.00	89.65	0.00	89.65	75.0	67.24
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
04/30/2018	***-**-71IN - GERHARD, LIEPOLD	FRK SMALL MID CAP GRWTH 529 PORTFL-	0.00	11.29	0.00	11.29	75.0	8.47
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
04/30/2018	***-**-619I - BLUMEN, IAN	FRK VAL FND - CL A	0.00	81.38	0.00	81.38	75.0	61.04
	ACCOUNT #: 000028210803424	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$405.83</b>	<b>\$0.00</b>	<b>\$405.83</b>		<b>\$304.38</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$405.83</b>	<b>\$0.00</b>	<b>\$405.83</b>		<b>\$304.38</b>



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				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
<div style="text-align:right;"><u>BROKER COMMISSION TOTALS</u></div>							
						Broker Transactions	\$304.38
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$57.23
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
Net Commission Earned							\$361.61
Year-to-date Earnings							\$6,953.86