

**COMMISSION STATEMENT****December 15, 2017****BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAUP**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

**BROKER #: BUM**

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^11/07/2017	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.84	0.00	3.84	100.0	0.00
^11/07/2017	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.69	0.00	1.69	100.0	0.00
^11/07/2017	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.11	0.00	4.11	100.0	0.00
^11/22/2017	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	2.16	0.00	2.16	100.0	0.00
^11/22/2017	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	8.65	0.00	8.65	100.0	0.00
^11/10/2017	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	5.24	0.00	5.24	100.0	0.00
^11/20/2017	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	2.06	0.00	2.06	100.0	0.00
^11/20/2017	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	3.40	0.00	3.40	100.0	0.00
^11/22/2017	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.12	0.00	1.12	100.0	0.00
^11/20/2017	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.80	0.00	1.80	100.0	0.00
^11/20/2017	CHEW, ROLAND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.70	0.00	1.70	100.0	0.00
^11/20/2017	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.31	0.00	0.31	100.0	0.00
^11/20/2017	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.91	0.00	2.91	100.0	0.00
^11/20/2017	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.38	0.00	0.38	100.0	0.00
^11/20/2017	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.27	0.00	2.27	100.0	0.00
^11/20/2017	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.45	0.00	1.45	100.0	0.00
^11/20/2017	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.81	0.00	0.81	100.0	0.00
^11/20/2017	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.80	0.00	1.80	100.0	0.00
^11/16/2017	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	21.34	0.00	21.34	100.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$67.04</b>	<b>\$0.00</b>	<b>\$67.04</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$67.04</b>	<b>\$0.00</b>	<b>\$67.04</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
12/15/2017		*: MISC TRANSFER TO COLLECTION TOMM	0.00					0.00
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.01</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed