

**COMMISSION STATEMENT****October 31, 2017**DAVID KERN
4321 Flagstaff CoveBROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/19/2017	PICCIANO, DEAN	PACIFIC FINANCIAL	121,926.29	87.58	0.00	87.58	90.0	78.82
10/18/2017	RIEBE, ALAN	SAWTOOTH	0.00	532.48	0.00	532.48	90.0	479.23
10/12/2017	FREISTROFFER, HENRY	TD AMERITRADE	521,788.03	854.87	0.00	854.87	90.0	769.38
10/12/2017	FREISTROFFER, HENRY	TD AMERITRADE	6,477.22	10.61	0.00	10.61	90.0	9.55
* RIA SUBTOTAL *			\$650,191.54	\$1,485.54	\$0.00	\$1,485.54		\$1,336.98
*** BROKER TRANSACTIONS TOTAL ***			\$650,191.54	\$1,485.54	\$0.00	\$1,485.54		\$1,336.98

BROKER COMMISSION TOTALS

Broker Transactions	\$1,336.98
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,336.98
Year-to-date Gross	\$5,738.26
Year-to-date Earnings	\$5,164.44

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed