



BROKER #: A09      Terminated 12/31/2017  
BRANCH #01016 - 16 - CASPER

| DATE   | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT            | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION   | RATE   | BROKER<br>COMMISSION |
|--|--------|------------|---------------------------------|---------------------|--------------------|---|--------|----------------------|
| <div>ADJUSTMENTS</div>   |        |            |                                 |                     |                    |   |        |                      |
| /  | /      |            | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00                | 0.00               | 0.00  | 0.00   | 0.00                 |
|  |        |            | *** ADJUSTMENTS TOTAL ***       | \$0.00              | \$0.00             | \$0.00  | \$0.00 | \$0.00               |
|  |        |            |                                 |                     |                    | <div>BROKER COMMISSION TOTALS</div>                               |        |                      |
|  |        |            |                                 |                     |                    | Broker Transactions   |        | \$0.00               |
|  |        |            |                                 |                     |                    | Split Transactions  |        | \$0.00               |
|  |        |            |                                 |                     |                    | Override Transactions   |        | \$0.00               |
|  |        |            |                                 |                     |                    | Adjustments   |        | \$0.00               |
|  |        |            |                                 |                     |                    | Payroll Draw  |        | \$0.00               |
|  |        |            |                                 |                     |                    | Base Salary   |        | \$0.00               |
|  |        |            |                                 |                     |                    | Prior Period Balance  |        | \$14.47              |
|  |        |            |                                 |                     |                    | FINRA Assessment  |        | \$0.00               |
|  |        |            |                                 |                     |                    | SIPC Assessment   |        | \$0.00               |
| Please Retain for Your Records<br>THERE WILL BE NO PAYMENT THIS PERIOD |        |            |                                 |                     |                    | <div>Balance Forward\$14.47<br/>Year-to-date Earnings\$0.00</div> |        |                      |