



Concorde Investment Services, LLC

05/30/2018

Page No. 1

# COMMISSION STATEMENT

May 31, 2018

**ACCOUNT HOUSE**

1120 E. LONG LAKE ROAD  
SUITE 207  
TROY, MI 48085-

**BROKER #: G999**

BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/16/2018	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	381.13	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	-401.19	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-1,000.00	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-350.00	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	950.00	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	332.50	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	AM FUNDS GLOBAL BALANCED A	2,850.00	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	AM FUNDS GLOBAL BALANCED A	-4,000.00	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	AM FUNDS GLOBAL BALANCED A	3,800.00	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	AM FUNDS GLOBAL BALANCED A	-3,000.00	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	AM FUNDS GLOBAL BALANCED A	-4,000.00	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	AM FUNDS GLOBAL BALANCED A	3,830.00	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	214.66	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	965.98	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-1,016.82	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-1,016.82	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	965.98	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-225.96	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	964.45	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	-1,004.64	0.00	0.00	0.00	100.0	0.00
05/22/2018	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	950.00	0.00	0.00	0.00	100.0	0.00
05/22/2018	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-1,000.00	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	210.95	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	-213.08	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	960.30	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-241.20	0.00	0.00	0.00	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/16/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-1,010.84	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	233.36	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	855.02	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	310.92	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-321.36	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-883.74	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	777.29	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-803.40	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-229.50	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	226.06	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	309.78	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	866.55	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	791.20	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-314.50	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-803.25	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-879.75	0.00	0.00	0.00	100.0	0.00
05/14/2018	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	47.50	0.00	0.00	0.00	100.0	0.00
05/14/2018	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	-50.00	0.00	0.00	0.00	100.0	0.00
05/15/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	99.00	0.00	0.00	0.00	100.0	0.00
05/15/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-100.00	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	RESOURCE REAL ESTATE DIVERSIFIED IN	990.00	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	RESOURCE REAL ESTATE DIVERSIFIED IN	-1,000.00	0.00	0.00	0.00	100.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$-983.42</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	966.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	966.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	966.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	1,449.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	420.21	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	2,898.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	24.15	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	217.35	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	966.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.50	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.50	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.50	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	4,114.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	1,398.76	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	483.50	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	25,574.56	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ANWORTH MTG ASSET CORP COM	-50,105.10	0.00	0.00	0.00	100.0	0.00
05/15/2018	CONCORDE, VARIOUS	APOLLO COML REAL ESTATE FIN INC COM	3,660.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	APOLLO COML REAL ESTATE FIN INC COM	39,023.24	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	APOLLO COML REAL ESTATE FIN INC COM	6,716.06	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	APOLLO COML REAL ESTATE FIN INC COM	-49,399.20	0.00	0.00	0.00	100.0	0.00
05/15/2018	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	2,423.17	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	2,796.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	1,864.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	932.00	0.00	1.00	-1.00	100.0	-1.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
05/15/2018	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	932.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	932.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	39,501.96	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	-49,381.16	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	17,425.45	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	-1,494.30	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	-498.10	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	-1,494.30	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	-996.20	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	-498.10	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	-499.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	-499.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	-499.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	-998.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	-499.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	-499.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	-489.02	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	-499.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	-7,963.54	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	CHINA FUND INC	22,201.30	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	CHINA FUND INC	-2,220.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	CHINA FUND INC	-2,220.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	CHINA FUND INC	-5,550.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	CHINA FUND INC	-2,220.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	CHINA FUND INC	-6,661.29	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	CHINA FUND INC	-3,330.00	0.00	1.00	-1.00	100.0	-1.00
05/11/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	-4,870.25	0.00	1.00	-1.00	100.0	-1.00
05/11/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	-15,381.36	0.00	1.00	-1.00	100.0	-1.00
05/11/2018	CONCORDE, VARIOUS	COLONY NORTHSTAR CR REAL ESTATE CL	20,251.61	0.00	0.00	0.00	100.0	0.00
05/15/2018	CONCORDE, VARIOUS	CYS INVTS INC COM	-49,340.12	0.00	0.00	0.00	100.0	0.00
05/15/2018	CONCORDE, VARIOUS	CYS INVTS INC COM	1,451.98	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	CYS INVTS INC COM	37,987.18	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	CYS INVTS INC COM	1,916.61	0.00	1.00	-1.00	100.0	-1.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
05/15/2018	CONCORDE, VARIOUS	CYS INVTs INC COM	7,984.57	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	727.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	727.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	727.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	4,943.60	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	727.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	1,599.40	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	727.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	1,890.20	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	727.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	290.80	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	727.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	727.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	3,635.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	727.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	1,454.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	727.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	21,097.21	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	727.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	727.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	2,181.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	727.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	1,454.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	727.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	727.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	DDR CORP COM ISIN#US23317H1023	-49,450.28	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	34,311.60	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	-1,717.50	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	-3,434.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	-1,716.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	-1,716.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	-1,717.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	-1,716.00	0.00	1.00	-1.00	100.0	-1.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
05/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	-22,295.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	FIREEYE INC COM	11,446.25	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	FIREEYE INC COM	-2,288.89	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	FIREEYE INC COM	-9,157.37	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	GOLAR LNG PARTNERS LP COM UNIT REPS	-39,120.05	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	GOLAR LNG PARTNERS LP COM UNIT REPS	4,117.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	GOLAR LNG PARTNERS LP COM UNIT REPS	2,058.99	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	GOLAR LNG PARTNERS LP COM UNIT REPS	32,944.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	HOLLY ENERGY PARTNERS L P COM UNIT	-38,966.72	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	HOLLY ENERGY PARTNERS L P COM UNIT	7,795.37	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	HOLLY ENERGY PARTNERS L P COM UNIT	31,171.40	0.00	1.00	-1.00	100.0	-1.00
05/17/2018	CONCORDE, VARIOUS	ISHARES TR S&P U S PFD STK INDEX FD	30,662.77	0.00	0.00	0.00	100.0	0.00
05/17/2018	CONCORDE, VARIOUS	ISHARES TR S&P U S PFD STK INDEX FD	-2,438.04	0.00	1.00	-1.00	100.0	-1.00
05/17/2018	CONCORDE, VARIOUS	ISHARES TR S&P U S PFD STK INDEX FD	-24,530.22	0.00	1.00	-1.00	100.0	-1.00
05/17/2018	CONCORDE, VARIOUS	ISHARES TR S&P U S PFD STK INDEX FD	-3,694.50	0.00	1.00	-1.00	100.0	-1.00
05/11/2018	CONCORDE, VARIOUS	NATIONAL GRID PLC SPON ADR NEW 2017	4,597.64	0.00	0.00	0.00	100.0	0.00
05/11/2018	CONCORDE, VARIOUS	NATIONAL GRID PLC SPON ADR NEW 2017	-3,275.79	0.00	1.00	-1.00	100.0	-1.00
05/11/2018	CONCORDE, VARIOUS	NATIONAL GRID PLC SPON ADR NEW 2017	-1,321.85	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM NEW	9,045.63	0.00	0.00	0.00	100.0	0.00
05/15/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM NEW	-7,247.37	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM NEW	-1,798.27	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	NIGHTSTAR THERAPEUTICS LIMITED SHS	9,800.00	0.00	0.00	0.00	100.0	0.00
05/15/2018	CONCORDE, VARIOUS	NIGHTSTAR THERAPEUTICS LIMITED SHS	-7,000.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	NIGHTSTAR THERAPEUTICS LIMITED SHS	-126.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	NIGHTSTAR THERAPEUTICS LIMITED SHS	-1,274.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	NIGHTSTAR THERAPEUTICS LIMITED SHS	-1,400.00	0.00	1.00	-1.00	100.0	-1.00
05/23/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	-6,478.40	0.00	0.00	0.00	100.0	0.00
05/23/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	3,500.00	0.00	1.00	-1.00	100.0	-1.00
05/23/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	2,978.40	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	QUALITY SYS INC	8,940.00	0.00	0.00	0.00	100.0	0.00
05/16/2018	CONCORDE, VARIOUS	QUALITY SYS INC	-1,490.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	QUALITY SYS INC	-1,490.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	QUALITY SYS INC	-1,490.00	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
05/16/2018	CONCORDE, VARIOUS	QUALITY SYS INC	-1,490.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	QUALITY SYS INC	-1,475.10	0.00	1.00	-1.00	100.0	-1.00
05/16/2018	CONCORDE, VARIOUS	QUALITY SYS INC	-1,504.90	0.00	1.00	-1.00	100.0	-1.00
05/17/2018	CONCORDE, VARIOUS	RETAIL PPTYS AMER INC CL A	-1,120.01	0.00	1.00	-1.00	100.0	-1.00
05/17/2018	CONCORDE, VARIOUS	RETAIL PPTYS AMER INC CL A	-2,240.02	0.00	1.00	-1.00	100.0	-1.00
05/17/2018	CONCORDE, VARIOUS	RETAIL PPTYS AMER INC CL A	-1,120.01	0.00	1.00	-1.00	100.0	-1.00
05/17/2018	CONCORDE, VARIOUS	RETAIL PPTYS AMER INC CL A	-1,120.01	0.00	1.00	-1.00	100.0	-1.00
05/17/2018	CONCORDE, VARIOUS	RETAIL PPTYS AMER INC CL A	-896.01	0.00	1.00	-1.00	100.0	-1.00
05/17/2018	CONCORDE, VARIOUS	RETAIL PPTYS AMER INC CL A	-7,840.07	0.00	1.00	-1.00	100.0	-1.00
05/17/2018	CONCORDE, VARIOUS	RETAIL PPTYS AMER INC CL A	14,336.13	0.00	0.00	0.00	100.0	0.00
05/15/2018	CONCORDE, VARIOUS	SANGAMO BIOSCIENCES INC COM	-1,890.63	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	SANGAMO BIOSCIENCES INC COM	-5,668.13	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	SANGAMO BIOSCIENCES INC COM	7,558.75	0.00	0.00	0.00	100.0	0.00
05/14/2018	CONCORDE, VARIOUS	SPDR DOW JONES INDL AVERAGE ETF TR	5,237.61	0.00	1.00	-1.00	100.0	-1.00
05/14/2018	CONCORDE, VARIOUS	SPDR DOW JONES INDL AVERAGE ETF TR	10,475.22	0.00	1.00	-1.00	100.0	-1.00
05/14/2018	CONCORDE, VARIOUS	SPDR DOW JONES INDL AVERAGE ETF TR	9,228.17	0.00	1.00	-1.00	100.0	-1.00
05/14/2018	CONCORDE, VARIOUS	SPDR DOW JONES INDL AVERAGE ETF TR	-24,941.00	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE, VARIOUS	TIER REIT INC COM NEW	21,158.90	0.00	0.00	0.00	100.0	0.00
05/18/2018	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-2,940.16	0.00	1.00	-1.00	100.0	-1.00
05/18/2018	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-18,218.76	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	9,346.30	0.00	0.00	0.00	100.0	0.00
05/15/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	-187.20	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	-937.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	-93.60	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	-6,071.65	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	-936.00	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	-1,120.80	0.00	1.00	-1.00	100.0	-1.00
05/17/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	993.00	0.00	1.00	-1.00	100.0	-1.00
05/17/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	1,986.00	0.00	1.00	-1.00	100.0	-1.00
05/17/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	1,986.00	0.00	1.00	-1.00	100.0	-1.00
05/17/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	248.25	0.00	1.00	-1.00	100.0	-1.00
05/17/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	9,651.53	0.00	1.00	-1.00	100.0	-1.00
05/17/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	-14,864.85	0.00	0.00	0.00	100.0	0.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
05/15/2018	CONCORDE, VARIOUS	TWO HBRS INVT CORP COM NEW	9,976.77	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	TWO HBRS INVT CORP COM NEW	39,901.44	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	TWO HBRS INVT CORP COM NEW	-49,878.08	0.00	0.00	0.00	100.0	0.00
05/14/2018	CONCORDE, VARIOUS	VANGUARD S&P 500 ETF	1,757.84	0.00	1.00	-1.00	100.0	-1.00
05/14/2018	CONCORDE, VARIOUS	VANGUARD S&P 500 ETF	6,278.00	0.00	1.00	-1.00	100.0	-1.00
05/14/2018	CONCORDE, VARIOUS	VANGUARD S&P 500 ETF	1,757.84	0.00	1.00	-1.00	100.0	-1.00
05/14/2018	CONCORDE, VARIOUS	VANGUARD S&P 500 ETF	1,004.48	0.00	1.00	-1.00	100.0	-1.00
05/14/2018	CONCORDE, VARIOUS	VANGUARD S&P 500 ETF	2,260.08	0.00	1.00	-1.00	100.0	-1.00
05/14/2018	CONCORDE, VARIOUS	VANGUARD S&P 500 ETF	753.36	0.00	1.00	-1.00	100.0	-1.00
05/14/2018	CONCORDE, VARIOUS	VANGUARD S&P 500 ETF	8,789.20	0.00	1.00	-1.00	100.0	-1.00
05/14/2018	CONCORDE, VARIOUS	VANGUARD S&P 500 ETF	-22,600.80	0.00	0.00	0.00	100.0	0.00
05/18/2018	WERBEL PS PLAN	VGTEL INC COM	-6.25	0.00	18.00	-18.00	100.0	-18.00
05/15/2018	CONCORDE, VARIOUS	WESTERN ASSET MTG CAP CORP COM	-50,629.00	0.00	0.00	0.00	100.0	0.00
05/15/2018	CONCORDE, VARIOUS	WESTERN ASSET MTG CAP CORP COM	8,102.80	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	WESTERN ASSET MTG CAP CORP COM	1,012.99	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	WESTERN ASSET MTG CAP CORP COM	2,532.13	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	WESTERN ASSET MTG CAP CORP COM	38,981.25	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	WHITESTONE REIT CL B SHS BEN INT IS	-49,051.38	0.00	0.00	0.00	100.0	0.00
05/15/2018	CONCORDE, VARIOUS	WHITESTONE REIT CL B SHS BEN INT IS	1,167.50	0.00	1.00	-1.00	100.0	-1.00
05/15/2018	CONCORDE, VARIOUS	WHITESTONE REIT CL B SHS BEN INT IS	47,883.90	0.00	1.00	-1.00	100.0	-1.00
* STOCKS SUBTOTAL *			\$-5.85	\$0.00	\$183.00	\$-183.00		\$-183.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-989.27	\$0.00	\$183.00	\$-183.00		\$-183.00

**DIRECT TRANSACTIONS****PRODUCT CATEGORY: INTERVAL FUNDS**

05/21/2018	HERNDON, TIMOTHY	BR TOTAL INC & REAL ESTATE FUND A	5,369.74	1.10	0.00	1.10	100.0	1.10
05/21/2018	CICONE, SISENANDA	BR TOTAL INC & REAL ESTATE FUND A	6,931.75	1.42	0.00	1.42	100.0	1.42
05/21/2018	MCGINNIS TRUST	BR TOTAL INC & REAL ESTATE FUND A	34,931.24	7.18	0.00	7.18	100.0	7.18
* INTERVAL FUNDS SUBTOTAL *			\$47,232.73	\$9.70	\$0.00	\$9.70		\$9.70

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
05/07/2018	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$50.00</b>	<b>\$2.50</b>	<b>\$0.00</b>	<b>\$2.50</b>		<b>\$2.50</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/15/2018	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
05/15/2018	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
05/07/2018	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
05/07/2018	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
05/08/2018	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	5.00	0.00	5.00	100.0	5.00
05/07/2018	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
05/15/2018	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
05/07/2018	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
05/15/2018	MARSH, KEITH	AM FUNDS NEW ECONOMY A	200.00	5.50	0.00	5.50	100.0	5.50
05/15/2018	BUGGS, MICHELLE	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	100.0	2.50
05/07/2018	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
05/07/2018	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
05/11/2018	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	100.0	5.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,300.00</b>	<b>\$44.50</b>	<b>\$0.00</b>	<b>\$44.50</b>		<b>\$44.50</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/15/2018	FORMELLA, ANNETTE	GENWORTH INS	25.00	0.25	0.00	0.25	100.0	0.25
05/15/2018	FORMELLA, DAVID	GENWORTH INS	35.00	0.35	0.00	0.35	100.0	0.35
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$60.00</b>	<b>\$0.60</b>	<b>\$0.00</b>	<b>\$0.60</b>		<b>\$0.60</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
05/09/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	1.75	0.00	1.75	100.0	1.75
05/09/2018	PAI, YOGISH & ANITA	PAC LIFE VUL	700.00	14.00	0.00	14.00	100.0	14.00
05/10/2018	BENNETT, CATHERINE	PAC LIFE VUL	66.00	1.32	0.00	1.32	100.0	1.32
05/18/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	4.65	0.00	4.65	100.0	4.65
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$766.00</b>	<b>\$21.72</b>	<b>\$0.00</b>	<b>\$21.72</b>		<b>\$21.72</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/14/2018	PILLAI, VADIVEL	JH FINANCIAL INDUSTRIES A	0.00	1.32	0.00	1.32	100.0	1.32
05/14/2018	KASSA, GETACHEW FOLY	JH FINANCIAL INDUSTRIES A	0.00	0.79	0.00	0.79	100.0	0.79
05/14/2018	HABTE, BISLAT	JH FINANCIAL INDUSTRIES A	0.00	3.73	0.00	3.73	100.0	3.73

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/14/2018	SCHMIDT, MICHAEL	JH GOVERNMENT INCOME A	0.00	6.55	0.00	6.55	100.0	6.55
05/14/2018	KABTYIMER, BETELEHEM	JH REGIONAL BANK A	0.00	3.45	0.00	3.45	100.0	3.45
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$15.84</b>	<b>\$0.00</b>	<b>\$15.84</b>		<b>\$15.84</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
05/10/2018	LUND, VARIOUS	ADV CENTURY	0.00	489.12	0.00	489.12	100.0	489.12
04/17/2018	FORMELLA, ANNETTE	GENWORTH INS	0.00	2.50	0.00	2.50	100.0	2.50
04/17/2018	FORMELLA, DAVID	GENWORTH INS	0.00	2.47	0.00	2.47	100.0	2.47
05/10/2018	RAYNUSH, MARTHA	OHIO NATL ONCORE PREMIER	0.00	84.45	0.00	84.45	100.0	84.45
05/14/2018	WARREN, DEBORAH	PAC LIFE INNOVATIVE SELECT	0.00	391.18	0.00	391.18	100.0	391.18
04/02/2018	BREWER, VARIOUS	TRANSAMERICA EXTRA	0.00	29.18	0.00	29.18	100.0	29.18
04/04/2018	BELTRAN, RANDOLPH	TRANSAMERICA EXTRA	0.00	87.61	0.00	87.61	100.0	87.61
04/27/2018	LEONARD, NITA	TRANSAMERICA EXTRA	0.00	38.56	0.00	38.56	100.0	38.56
04/12/2018	WILLIAMS, JESSIE	TRANSAMERICA LIBERTY	0.00	58.62	0.00	58.62	100.0	58.62
04/24/2018	MORRISON, BARRETT	TRANSAMERICA PRINCIPIUM	0.00	68.53	0.00	68.53	100.0	68.53
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,252.22</b>	<b>\$0.00</b>	<b>\$1,252.22</b>		<b>\$1,252.22</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$49,408.73</b>	<b>\$1,347.08</b>	<b>\$0.00</b>	<b>\$1,347.08</b>		<b>\$1,347.08</b>

**VERRIDE TRANSACTIONS****BROKER: ERIC HORNYAK #10**

05/08/2018	CESUL, DAWN	AM FUNDS CAPITAL INCOME BUILDER 529	33.00	1.24	0.00	1.24	0.0	1.24
05/08/2018	CESUL, DAWN	AM FUNDS CAPITAL INCOME BUILDER 529	66.00	2.48	0.00	2.48	0.0	2.48
05/08/2018	CESUL, DAWN	AM FUNDS GROWTH FUND OF AMERICA 529	33.00	1.24	0.00	1.24	0.0	1.24
05/08/2018	CESUL, DAWN	AM FUNDS GROWTH FUND OF AMERICA 529	66.00	2.48	0.00	2.48	0.0	2.48
05/08/2018	CESUL, DAWN	AM FUNDS ICA 529A	34.00	1.28	0.00	1.28	0.0	1.28
05/08/2018	CESUL, DAWN	AM FUNDS ICA 529A	68.00	2.55	0.00	2.55	0.0	2.55
05/14/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	50.00	3.00	0.00	3.00	0.0	3.00
05/15/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
05/15/2018	DORAN, PATRICK	FA 529 PORT 2019 C	200.00	0.50	0.00	0.50	0.0	0.50
05/15/2018	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
05/15/2018	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
05/07/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
05/07/2018	STEINER, DANIEL	FA 529 PORT 2025 A	120.00	5.00	0.00	5.00	0.0	5.00

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JIM LUND #10</u></b>								
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	6.00	0.00	6.00	0.0	6.00
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.00	0.00	6.00	0.0	6.00
* JIM LUND SUBTOTAL *			\$1,170.00	\$40.77	\$0.00	\$40.77		\$40.77
<b><u>BROKER: ERIC HORNYAK #29</u></b>								
/ /	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	1.07	0.00	1.07	0.0	1.07
04/30/2018	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	0.20	0.00	0.20	0.0	0.20
* ERIC HORNYAK SUBTOTAL *			\$0.00	\$1.27	\$0.00	\$1.27		\$1.27
<b><u>BROKER: THOMAS BOAKS #33</u></b>								
/ /	SKIBA, TODD	BLK GLOBAL ALLOCATION A	0.00	6.43	0.00	6.43	0.0	6.43
04/30/2018	WITT, AMANDA	BLK GLOBAL ALLOCATION A	0.00	0.33	0.00	0.33	0.0	0.33
04/30/2018	PITTE, JOELA	BLK GLOBAL ALLOCATION A	0.00	2.18	0.00	2.18	0.0	2.18
04/30/2018	PUDIL, HSIU CHIN	GENWORTH TRAILS	363.34	0.06	0.00	0.06	0.0	0.06
04/30/2018	LASALLE, BARBARA	GENWORTH TRAILS	347,142.87	289.29	0.00	289.29	0.0	289.29
04/30/2018	GEORGE, CAROLE	GENWORTH TRAILS	155,288.19	129.41	0.00	129.41	0.0	129.41
05/16/2018	POHL, JEANNETTE	OHIO NATL ONCORE FLEX	0.00	58.46	0.00	58.46	0.0	58.46
* THOMAS BOAKS SUBTOTAL *			\$502,794.40	\$486.16	\$0.00	\$486.16		\$486.16
<b><u>BROKER: BARTHOLOMEW MACKLER #35</u></b>								
05/03/2018	MUNACO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	19.67	0.00	19.67	0.0	19.67
05/03/2018	MARSH, JANICE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	8.66	0.00	8.66	0.0	8.66
05/03/2018	MARSH/SEP, GARY	COLUMBIA SELIGMAN COMM AND INFO A	0.00	8.60	0.00	8.60	0.0	8.60
04/30/2018	HORTON, RICHARD	GRIFFIN INST'L ACCESS R E FUND	37,764.58	7.76	0.00	7.76	0.0	7.76
04/30/2018	JOHNSON, WILLIAM	GRIFFIN INST'L ACCESS R E FUND	13,279.53	2.73	0.00	2.73	0.0	2.73
04/30/2018	CASGRAIN, GAYL	GRIFFIN INST'L ACCESS R E FUND	23,806.53	4.89	0.00	4.89	0.0	4.89
04/30/2018	BORR, RUDOLPH	GRIFFIN INST'L ACCESS R E FUND C	18,289.58	15.03	0.00	15.03	0.0	15.03
04/30/2018	QUENNEVILLE, JEROME	GRIFFIN INST'L ACCESS R E FUND C	38,740.02	31.84	0.00	31.84	0.0	31.84
04/30/2018	LOWELL, THOMAS	GRIFFIN INST'L ACCESS R E FUND C	53,836.61	44.25	0.00	44.25	0.0	44.25
04/30/2018	LICHOROBIEC, RICHARD	GRIFFIN INST'L ACCESS R E FUND C	42,350.49	34.81	0.00	34.81	0.0	34.81
04/30/2018	PRICE, BONNIE	GRIFFIN INST'L ACCESS R E FUND C	39,605.37	32.55	0.00	32.55	0.0	32.55
05/14/2018	SNYDER, MICHAEL C	PAC LIFE VUL	65.00	0.65	0.00	0.65	0.0	0.65
* BARTHOLOMEW MACKLER SUBTOTAL *			\$267,737.71	\$211.44	\$0.00	\$211.44		\$211.44

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: TIMOTHY BROWN #36</u></b>								
/ /	MAIMER, MELISSA	PROFUNDS MONEY MARKET-SVC	0.00	0.42	0.00	0.42	0.0	0.42
/ /	SARAN, AKHTIAR	PROFUNDS MONEY MARKET-SVC	0.00	0.62	0.00	0.62	0.0	0.62
/ /	MAIMER, MELISSA	PROFUNDS MONEY MARKET-SVC	0.00	0.42	0.00	0.42	0.0	0.42
/ /	SARAN, AKHTIAR	PROFUNDS MONEY MARKET-SVC	0.00	0.62	0.00	0.62	0.0	0.62
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	3.13	0.00	3.13	0.0	3.13
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	9.40	0.00	9.40	0.0	9.40
<b>* TIMOTHY BROWN SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$14.61</b>	<b>\$0.00</b>	<b>\$14.61</b>		<b>\$14.61</b>
<b><u>BROKER: BRUCE BAXTER #38</u></b>								
05/01/2018	RIEGEL, DAVID	ING ACCESS	10.38	0.03	0.00	0.03	0.0	0.03
05/15/2018	LAMBERT, JOHN DOUGLAS	ING ACCESS	41,382.06	103.46	0.00	103.46	0.0	103.46
05/01/2018	LAMBERT, DONNA	ING PREMIUM PLUS	87,967.39	54.98	0.00	54.98	0.0	54.98
05/01/2018	LAMBERT, JOHN	ING PREMIUM PLUS	87,967.39	54.98	0.00	54.98	0.0	54.98
/ /	GOLSCH, LORI	PROFUNDS 74318A257	0.00	0.01	0.00	0.01	0.0	0.01
/ /	DENNIS, MARK	PROFUNDS MONEY MARKET-SVC	0.00	0.11	0.00	0.11	0.0	0.11
/ /	MCMULLEN, PATRICK	PROFUNDS MONEY MARKET-SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	BAXTER, MEGAN	PROFUNDS MONEY MARKET-SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	DIXON, ALLEN	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	HUBER, JAMES	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS MONEY MARKET-SVC	0.00	0.21	0.00	0.21	0.0	0.21
/ /	DENNIS, MARK	PROFUNDS MONEY MARKET-SVC	0.00	0.11	0.00	0.11	0.0	0.11
/ /	MCMULLEN, PATRICK	PROFUNDS MONEY MARKET-SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	BAXTER, MEGAN	PROFUNDS MONEY MARKET-SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	DIXON, ALLEN	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	HUBER, JAMES	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS MONEY MARKET-SVC	0.00	0.21	0.00	0.21	0.0	0.21
/ /	DENNIS, MARK	PROFUNDS RISING RATES SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS RISING RATES SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	DENNIS, MARK	PROFUNDS RISING RATES SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	BAXTER, MEGAN	PROFUNDS RISING RATES SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS RISING RATES SVC	0.00	0.07	0.00	0.07	0.0	0.07
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: BRUCE BAXTER #38 </u></b>								
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.09	0.00	0.09	0.0	0.09
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.16	0.00	0.16	0.0	0.16
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	8.52	0.00	8.52	0.0	8.52
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	25.57	0.00	25.57	0.0	25.57
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.07	0.00	0.07	0.0	0.07
/ /	DENNIS, MARK	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	DENNIS, MARK	PROFUNDS US GOV PLUS SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS US GOV PLUS SVC	0.00	0.03	0.00	0.03	0.0	0.03
* BRUCE BAXTER SUBTOTAL *			\$217,327.22	\$249.15	\$0.00	\$249.15		\$249.15
<b><u> BROKER: ROBERT (RUSTY) TWEED #58 </u></b>								
05/03/2018	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	2.76	0.00	2.76	0.0	2.76
05/03/2018	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.85	0.00	0.85	0.0	0.85
05/03/2018	UMBRELLO, ALBERT	COLUMBIA LARGECAP GROWTH A	0.00	7.16	0.00	7.16	0.0	7.16
05/03/2018	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.05	0.00	6.05	0.0	6.05
05/14/2018	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	2.24	0.00	2.24	0.0	2.24
05/14/2018	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	2.22	0.00	2.22	0.0	2.22
05/14/2018	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.05	0.00	1.05	0.0	1.05
05/14/2018	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.73	0.00	1.73	0.0	1.73
05/14/2018	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	2.21	0.00	2.21	0.0	2.21
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$0.00	\$26.27	\$0.00	\$26.27		\$26.27
<b><u> BROKER: JIM LUND #60 </u></b>								
05/15/2018	WURMLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
05/07/2018	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	4.00
05/17/2018	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	1.20
05/15/2018	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	9.50

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JIM LUND #60</u></b>								
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	11.76	0.00	11.76	0.0	11.76
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	3.92	0.00	3.92	0.0	3.92
* JIM LUND SUBTOTAL *			\$820.00	\$34.38	\$0.00	\$34.38		\$34.38
<b><u>BROKER: RENE CASTRO #92</u></b>								
05/14/2018	BUSTOS, ARMANDO	JH HIGH YIELD A	0.00	2.26	0.00	2.26	0.0	2.26
05/14/2018	BUSTOS, ARMANDO	JH STRATEGIC INCOME A	0.00	8.89	0.00	8.89	0.0	8.89
* RENE CASTRO SUBTOTAL *			\$0.00	\$11.15	\$0.00	\$11.15		\$11.15
<b><u>BROKER: DANIEL COLLINS #106</u></b>								
05/14/2018	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES A	0.00	1.87	0.00	1.87	0.0	1.87
05/14/2018	EVANSUSA, DENISE	JH LARGE CAP EQUITY A	0.00	2.89	0.00	2.89	0.0	2.89
05/14/2018	EVANSUSA, DENISE	JH NEW OPPORTUNITIES A	0.00	2.05	0.00	2.05	0.0	2.05
05/14/2018	EVANSUSA, DENISE	JH STRATEGIC GROWTH A	0.00	2.23	0.00	2.23	0.0	2.23
* DANIEL COLLINS SUBTOTAL *			\$0.00	\$9.04	\$0.00	\$9.04		\$9.04
<b><u>BROKER: JAY MCCLELLAN #120</u></b>								
05/03/2018	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	3.23	0.00	3.23	0.0	3.23
05/15/2018	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	2.50	0.00	2.50	0.0	2.50
04/30/2018	CIPRIAN, JOHN	GRIFFIN INST'L ACCESS R E FUND	11,317.04	2.33	0.00	2.33	0.0	2.33
04/30/2018	FORTKAMP TRUST, LAVERN & MARY	GRIFFIN INST'L ACCESS R E FUND	20,019.97	4.11	0.00	4.11	0.0	4.11
05/14/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	1.62	0.00	1.62	0.0	1.62
05/14/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	1.39	0.00	1.39	0.0	1.39
05/14/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	7.57	0.00	7.57	0.0	7.57
05/14/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	2.20	0.00	2.20	0.0	2.20
05/14/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	1.78	0.00	1.78	0.0	1.78
05/14/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	1.72	0.00	1.72	0.0	1.72
04/30/2018	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	21,481.24	4.41	0.00	4.41	0.0	4.41
* JAY MCCLELLAN SUBTOTAL *			\$52,868.25	\$32.86	\$0.00	\$32.86		\$32.86
<b><u>BROKER: TIMOTHY KULHANEK #145</u></b>								
05/18/2018	NORDHUES, RONOTTA	AMERITAS MEDLEY	0.00	26.12	0.00	26.12	0.0	26.12
05/14/2018	MUCHMORE PHELPS, SUSAN	AMERITAS OVERTURE APPLAUSE II	0.00	6.87	0.00	6.87	0.0	6.87
* TIMOTHY KULHANEK SUBTOTAL *			\$0.00	\$32.99	\$0.00	\$32.99		\$32.99

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: WILLIAM WALSH #187 </u></b>								
03/04/2018	WALSH, VARIOUS	JOHN HANCOCK LIFE INS CO	120,625.36	25.07	0.00	25.07	0.0	25.07
		* WILLIAM WALSH SUBTOTAL *	\$120,625.36	\$25.07	\$0.00	\$25.07		\$25.07
<b><u> BROKER: MEREDITH MCCUTCHEN #221 </u></b>								
05/11/2018	ROBERTS, GLORIA	COLONY NORTHSTAR CR REAL ESTATE CL	-20,251.61	250.00	23.58	226.42	0.0	226.42
05/03/2018	TALBERT, ANN	COLUMBIA LARGE CAP GROWTH C	0.00	8.36	0.00	8.36	0.0	8.36
05/03/2018	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND-C	0.00	7.22	0.00	7.22	0.0	7.22
		* MEREDITH MCCUTCHEN SUBTOTAL *	\$-20,251.61	\$265.58	\$23.58	\$242.00		\$242.00
<b><u> BROKER: WILLIAM THOMAS #239 </u></b>								
05/10/2018	BROOKS, CHARLES	NWL BOA NG FP VUL	3,000.00	60.00	0.00	60.00	0.0	60.00
		* WILLIAM THOMAS SUBTOTAL *	\$3,000.00	\$60.00	\$0.00	\$60.00		\$60.00
<b><u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u></b>								
/ /	WAX, LUBENA	BLACKROCK 19452P735	0.00	3.08	0.00	3.08	0.0	3.08
04/30/2018	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.04	0.00	0.04	0.0	0.04
04/30/2018	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.06	0.00	0.06	0.0	0.06
04/30/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	25.90	0.00	25.90	0.0	25.90
04/30/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.63	0.00	8.63	0.0	8.63
04/30/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	10.52	0.00	10.52	0.0	10.52
04/30/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	31.57	0.00	31.57	0.0	31.57
04/30/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.33	0.00	8.33	0.0	8.33
04/30/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.78	0.00	2.78	0.0	2.78
05/07/2018	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	1.00
05/15/2018	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.60	0.00	0.60	0.0	0.60
05/17/2018	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	1.52
		* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *	\$282.00	\$94.03	\$0.00	\$94.03		\$94.03
<b><u> BROKER: JOSEPH, M138 MICHALETZ #286 </u></b>								
04/30/2018	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	0.00	3.39	0.00	3.39	0.0	3.39
04/30/2018	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	0.00	1.13	0.00	1.13	0.0	1.13
05/14/2018	WILKERSON, LAURA	JH STRATEGIC INCOME A	0.00	7.53	0.00	7.53	0.0	7.53
05/18/2018	ANDERSEN, DAVID	JNL PERSPECTIVE L	550.00	22.00	0.00	22.00	0.0	22.00
05/14/2018	SCHLOMANN, DAVID	MFS GROWTH ALLOCATION C	-8,239.07	150.00	22.00	128.00	0.0	128.00
04/09/2018	JACKSON, SHARON	TRANS LIFE LANDMARK 2003 VA	0.00	390.33	0.00	390.33	0.0	390.33

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JOSEPH, M138 MICHALETZ #286 </u></b>								
04/17/2018	ROBINSON, CHARLES	TRANS LIFE LANDMARK 2003 VA	0.00	96.14	0.00	96.14	0.0	96.14
05/14/2018	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	1,166.66	11.67	0.00	11.67	0.0	11.67
05/14/2018	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	240.00	2.40	0.00	2.40	0.0	2.40
05/15/2018	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	0.0	1.00
05/15/2018	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.50
04/19/2018	STEVENS, JANICE	TRANSAMERICA CATALYST	0.00	3.87	0.00	3.87	0.0	3.87
04/04/2018	SEVERSON, STEVEN	TRANSAMERICA EXTRA	0.00	156.61	0.00	156.61	0.0	156.61
04/09/2018	VOIGHT, RICKY	TRANSAMERICA EXTRA	0.00	554.67	0.00	554.67	0.0	554.67
04/09/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	0.00	21.52	0.00	21.52	0.0	21.52
04/11/2018	MICHALETZ, CHRISTINE	TRANSAMERICA EXTRA	0.00	5.81	0.00	5.81	0.0	5.81
04/13/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	0.00	16.54	0.00	16.54	0.0	16.54
04/13/2018	VOIGHT, RICKY	TRANSAMERICA EXTRA	0.00	5.87	0.00	5.87	0.0	5.87
04/13/2018	TRIPP, TIMOTHY	TRANSAMERICA EXTRA	0.00	22.23	0.00	22.23	0.0	22.23
04/14/2018	GRAFF, JOYCE	TRANSAMERICA EXTRA	0.00	42.20	0.00	42.20	0.0	42.20
04/14/2018	GRAFF, JOYCE	TRANSAMERICA EXTRA	0.00	15.00	0.00	15.00	0.0	15.00
04/17/2018	ELLIS, ROSEMARY	TRANSAMERICA EXTRA	0.00	192.29	0.00	192.29	0.0	192.29
04/19/2018	WETZELL, THOMAS	TRANSAMERICA EXTRA	0.00	1,182.97	0.00	1,182.97	0.0	1,182.97
04/19/2018	VANDERHOOF, DONALD	TRANSAMERICA EXTRA	0.00	7.11	0.00	7.11	0.0	7.11
04/19/2018	LEVIK, LADONNA	TRANSAMERICA EXTRA	0.00	189.94	0.00	189.94	0.0	189.94
04/21/2018	VOTCA, JOHN	TRANSAMERICA EXTRA	0.00	50.35	0.00	50.35	0.0	50.35
04/21/2018	GRISWOLD, ELLEN	TRANSAMERICA EXTRA	0.00	31.87	0.00	31.87	0.0	31.87
04/23/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	0.00	27.89	0.00	27.89	0.0	27.89
04/27/2018	BOESCH, RODNEY	TRANSAMERICA EXTRA	0.00	46.40	0.00	46.40	0.0	46.40
04/01/2018	BOESCH, JEFFERY	TRANSAMERICA EXTRA 89352F889	0.00	203.87	0.00	203.87	0.0	203.87
04/07/2018	MICHALETZ, JOSEPH	TRANSAMERICA EXTRA 89352F889	0.00	11.35	0.00	11.35	0.0	11.35
04/10/2018	HORNING, DONALD	TRANSAMERICA EXTRA 89352F889	0.00	90.37	0.00	90.37	0.0	90.37
04/16/2018	FEMRITE, LYLE	TRANSAMERICA EXTRA 89352F889	0.00	62.88	0.00	62.88	0.0	62.88
04/23/2018	PAULSEN, TAMI	TRANSAMERICA EXTRA 89352F889	0.00	58.68	0.00	58.68	0.0	58.68
04/23/2018	PAULSEN, BRYAN	TRANSAMERICA EXTRA 89352F889	0.00	156.94	0.00	156.94	0.0	156.94
04/23/2018	FOSTER, RODNEY	TRANSAMERICA EXTRA 89352F889	0.00	1,132.31	0.00	1,132.31	0.0	1,132.31
04/23/2018	FOSTER, BARBARA	TRANSAMERICA EXTRA 89352F889	0.00	254.51	0.00	254.51	0.0	254.51
04/02/2018	PLOTZ, LEO	TRANSAMERICA LANDMARK	0.00	271.92	0.00	271.92	0.0	271.92
04/05/2018	TURNER, WENDELL	TRANSAMERICA LANDMARK	0.00	101.31	0.00	101.31	0.0	101.31

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JOSEPH, M138 MICHALETZ #286 </u></b>								
04/07/2018	SHORE, ROSALIND	TRANSAMERICA LANDMARK	0.00	119.64	0.00	119.64	0.0	119.64
04/09/2018	BRIELMAIER, MARK	TRANSAMERICA LANDMARK	0.00	64.63	0.00	64.63	0.0	64.63
04/15/2018	JOHNSON, PAUL	TRANSAMERICA LANDMARK	0.00	411.78	0.00	411.78	0.0	411.78
04/18/2018	KLEMENT, LINDA	TRANSAMERICA LANDMARK	0.00	12.41	0.00	12.41	0.0	12.41
04/20/2018	BERGLING, PAUL	TRANSAMERICA LANDMARK	0.00	455.10	0.00	455.10	0.0	455.10
04/22/2018	DALLMANN, MARGARET	TRANSAMERICA LANDMARK	0.00	135.46	0.00	135.46	0.0	135.46
04/22/2018	BERGLING, SHARON	TRANSAMERICA LANDMARK	0.00	650.08	0.00	650.08	0.0	650.08
04/26/2018	DALLMANN, HOWARD	TRANSAMERICA LANDMARK	0.00	11.48	0.00	11.48	0.0	11.48
04/28/2018	KLEMENT, LINDA	TRANSAMERICA LANDMARK	0.00	59.93	0.00	59.93	0.0	59.93
04/29/2018	SANDER, GLORIAN	TRANSAMERICA LANDMARK	0.00	191.81	0.00	191.81	0.0	191.81
04/04/2018	HULST, TIMOTHY	TRANSAMERICA LIBERTY	0.00	47.69	0.00	47.69	0.0	47.69
04/11/2018	HAUGH, JOANNE	TRANSAMERICA LIBERTY	0.00	32.02	0.00	32.02	0.0	32.02
04/24/2018	MICHALETZ, GERALD	TRANSAMERICA LIBERTY	0.00	67.79	0.00	67.79	0.0	67.79
04/24/2018	AYERS, KATHRYN	TRANSAMERICA LIBERTY	0.00	188.21	0.00	188.21	0.0	188.21
05/16/2018	FOELS, TAMMY	TRANSAMERICA LIFE	40.09	1.00	0.00	1.00	0.0	1.00
* JOSEPH, M138 MICHALETZ SUBTOTAL *			\$-6,092.32	\$8,052.40	\$22.00	\$8,030.40		\$8,030.40
<b><u> BROKER: JACK, M137 MICHALETZ #287 </u></b>								
05/07/2018	GEHRKE, ROBERT	AMERITAS MEDLEY	0.00	33.53	0.00	33.53	0.0	33.53
05/14/2018	BLOCK, EUGENE	JH DISCIPLINED VALUE INT'L C	0.00	1.31	0.00	1.31	0.0	1.31
05/14/2018	ROBERTS, MICHELLE	JH DISCIPLINED VALUE INT'L C	0.00	0.22	0.00	0.22	0.0	0.22
05/14/2018	WALLEM, HARRY	JH FINANCIAL INDUSTRIES A	0.00	2.50	0.00	2.50	0.0	2.50
05/14/2018	BLOCK, EUGENE	JH INCOME B	0.00	1.08	0.00	1.08	0.0	1.08
05/14/2018	WALLEM, HARRY	JH LARGE CAP EQUITY A	0.00	3.99	0.00	3.99	0.0	3.99
05/14/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY A	0.00	1.71	0.00	1.71	0.0	1.71
05/14/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY B	0.00	1.03	0.00	1.03	0.0	1.03
05/14/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY C	0.00	1.84	0.00	1.84	0.0	1.84
05/14/2018	ROBERTS, MICHELLE	JH LARGE CAP EQUITY C	0.00	0.06	0.00	0.06	0.0	0.06
05/14/2018	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	5.78	0.00	5.78	0.0	5.78
05/14/2018	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT B	0.00	1.50	0.00	1.50	0.0	1.50
05/14/2018	OLSON, HARLAN	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	3.12	0.00	3.12	0.0	3.12
05/14/2018	HERMEL, ROBERT	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	4.89	0.00	4.89	0.0	4.89
05/14/2018	WALLEM, HARRY	JH NEW OPPORTUNITIES A	0.00	2.22	0.00	2.22	0.0	2.22
05/14/2018	BLOCK, EUGENE	JH NEW OPPORTUNITIES A	0.00	0.66	0.00	0.66	0.0	0.66

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: JACK, M137 MICHALETZ #287</u></b>								
05/14/2018	BLOCK, EUGENE	JH NEW OPPORTUNITIES C	0.00	1.40	0.00	1.40	0.0	1.40
05/14/2018	BLOCK, EUGENE	JH STRATEGIC GROWTH A	0.00	0.22	0.00	0.22	0.0	0.22
05/14/2018	BLOCK, EUGENE	JH STRATEGIC GROWTH C	0.00	1.87	0.00	1.87	0.0	1.87
05/14/2018	WALLEM, HARRY	JH STRATEGIC INCOME A	0.00	2.59	0.00	2.59	0.0	2.59
05/14/2018	BLOCK, EUGENE	JH STRATEGIC INCOME A	0.00	0.48	0.00	0.48	0.0	0.48
05/14/2018	BLOCK, EUGENE	JH STRATEGIC INCOME C	0.00	1.28	0.00	1.28	0.0	1.28
05/14/2018	ROBERTS, MICHELLE	JH STRATEGIC INCOME C	0.00	0.81	0.00	0.81	0.0	0.81
05/14/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	0.00	8.33	0.00	8.33	0.0	8.33
05/14/2018	WALLEM, HARRY	JN DISCIPLINED VALUE INT'L A	0.00	1.90	0.00	1.90	0.0	1.90
05/14/2018	BLOCK, EUGENE	JN DISCIPLINED VALUE INT'L A	0.00	0.59	0.00	0.59	0.0	0.59
05/14/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	0.00	8.92	0.00	8.92	0.0	8.92
05/14/2018	ROBERTS, MICHELLE	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	0.11	0.00	0.11	0.0	0.11
05/14/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	7.33	0.00	7.33	0.0	7.33
05/14/2018	HENZE, DUANE	JOHN HANCOCK LIFESTYLE AGGR PORTFOL	0.00	0.02	0.00	0.02	0.0	0.02
05/14/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	0.00	6.79	0.00	6.79	0.0	6.79
05/14/2018	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	50.00	2.50	12.00	-9.50	0.0	-9.50
05/15/2018	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.00	1.00	12.00	-11.00	0.0	-11.00
05/14/2018	ROBERTS, MICHELLE	SMALL CAP CORE A	0.00	0.07	0.00	0.07	0.0	0.07
04/01/2018	GRONAU, ROLAND	TRANS LIFE LANDMARK 2003 VA	0.00	19.86	0.00	19.86	0.0	19.86
05/07/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	73.28	0.73	0.00	0.73	0.0	0.73
05/07/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	73.28	0.73	0.00	0.73	0.0	0.73
05/15/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	2.00
05/15/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	2.00
05/15/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	53.20	0.53	0.00	0.53	0.0	0.53
05/15/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	53.20	0.53	0.00	0.53	0.0	0.53
05/15/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.41
05/15/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.41
05/15/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	68.71	0.69	0.00	0.69	0.0	0.69
05/15/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	68.71	0.69	0.00	0.69	0.0	0.69
05/15/2018	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.50
05/15/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	69.18	0.69	0.00	0.69	0.0	0.69
05/15/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	69.18	0.69	0.00	0.69	0.0	0.69
05/07/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	93.75	0.94	0.00	0.94	0.0	0.94

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JACK, M137 MICHALETZ #287</u></b>								
05/07/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	93.75	0.94	0.00	0.94	0.0	0.94
05/15/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	1.50
05/15/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	1.50
05/15/2018	REED, JOANN	TRANSAMERICA AA MOD GROWTH C	18,804.59	188.05	0.00	188.05	0.0	188.05
04/01/2018	STEVENS, DOUGLAS	TRANSAMERICA CLASSIC	0.00	2.69	0.00	2.69	0.0	2.69
04/24/2018	KIRCHNER, JACOB	TRANSAMERICA CLASSIC	0.00	3.93	0.00	3.93	0.0	3.93
04/08/2018	KIRCHNER, DUANE	TRANSAMERICA EXTRA	0.00	37.72	0.00	37.72	0.0	37.72
04/09/2018	DRESSEN, KATHRYN	TRANSAMERICA EXTRA	0.00	286.02	0.00	286.02	0.0	286.02
04/20/2018	GEWERTH, CHERYL	TRANSAMERICA EXTRA	0.00	16.77	0.00	16.77	0.0	16.77
04/21/2018	KRONBACK, EUGENE	TRANSAMERICA EXTRA	0.00	49.88	0.00	49.88	0.0	49.88
04/29/2018	BULLER, CAROL	TRANSAMERICA EXTRA	0.00	11.25	0.00	11.25	0.0	11.25
04/29/2018	KIRCHNER, JANET	TRANSAMERICA EXTRA	0.00	26.49	0.00	26.49	0.0	26.49
04/01/2018	IRELAND, DANIEL	TRANSAMERICA LANDMARK	0.00	89.44	0.00	89.44	0.0	89.44
04/16/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	0.00	16.06	0.00	16.06	0.0	16.06
04/16/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	0.00	16.50	0.00	16.50	0.0	16.50
04/25/2018	FITTERER, DELORIS	TRANSAMERICA LANDMARK	0.00	43.41	0.00	43.41	0.0	43.41
04/29/2018	ATKINSON, VONDA	TRANSAMERICA LANDMARK 89352F772	0.00	46.09	0.00	46.09	0.0	46.09
04/08/2018	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	0.00	72.95	0.00	72.95	0.0	72.95
04/13/2018	BECKWITH, ALTON	TRANSAMERICA LIBERTY	0.00	73.63	0.00	73.63	0.0	73.63
<b>* JACK, M137 MICHALETZ SUBTOTAL *</b>			<b>\$20,502.09</b>	<b>\$1,127.87</b>	<b>\$24.00</b>	<b>\$1,103.87</b>		<b>\$1,103.87</b>
<b><u>BROKER: 46G-JOE/JACK MICHALETZ #290</u></b>								
04/30/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	1.32	0.00	1.32	0.0	1.32
05/08/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	1.32	0.00	1.32	0.0	1.32
04/30/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	1.06	0.00	1.06	0.0	1.06
05/08/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	1.06	0.00	1.06	0.0	1.06
04/30/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	1.06	0.00	1.06	0.0	1.06
05/08/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	1.06	0.00	1.06	0.0	1.06
04/30/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.79	0.00	0.79	0.0	0.79
05/08/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.79	0.00	0.79	0.0	0.79
<b>* 46G-JOE/JACK MICHALETZ SUBTOTAL *</b>			<b>\$844.80</b>	<b>\$8.46</b>	<b>\$0.00</b>	<b>\$8.46</b>		<b>\$8.46</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: OVERRIDE REJECT #360</u></b>								
05/07/2018	KOTLARCZYK, JOSEPH	ING PREMIUM PLUS	117,500.50	73.44	0.00	73.44	0.0	73.44
		<b>* OVERRIDE REJECT SUBTOTAL *</b>	<b>\$117,500.50</b>	<b>\$73.44</b>	<b>\$0.00</b>	<b>\$73.44</b>		<b>\$73.44</b>
<b><u>BROKER: L149-ROBERT LUCHSINGER #388</u></b>								
05/02/2018	GORMAN, LORAINÉ	ING EMPIRE INNOVATIONS STD OPT A	93,420.61	233.55	0.00	233.55	0.0	23.36
05/10/2018	TIERNAN, JOAN	ING EMPIRE INNOVATIONS STD OPT A	101,232.75	253.08	0.00	253.08	0.0	25.31
05/10/2018	WESTRENEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.49	0.00	1.49	0.0	0.15
05/10/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	12.53	0.00	12.53	0.0	1.25
05/10/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.31	0.00	3.31	0.0	0.33
05/10/2018	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.43	0.00	1.43	0.0	0.14
		<b>* L149-ROBERT LUCHSINGER SUBTOTAL *</b>	<b>\$194,653.36</b>	<b>\$505.39</b>	<b>\$0.00</b>	<b>\$505.39</b>		<b>\$50.54</b>
<b><u>BROKER: DAVID EURTON #414</u></b>								
03/31/2018	LUKSCH, MARY	JNL PERSPECTIVE II 04/14	-180,614.83	-112.88	0.00	-112.88	0.0	-112.88
04/30/2018	LUKSCH, MARY	JNL PERSPECTIVE II 04/14	-183,344.69	-114.59	0.00	-114.59	0.0	-114.59
04/10/2018	SAHARA, IRENE	TA LANDMARK VA	0.00	165.49	0.00	165.49	0.0	165.49
04/23/2018	SAHARA, IRENE	TA LANDMARK VA	0.00	99.04	0.00	99.04	0.0	99.04
04/30/2018	DIXON REV, ROSE	TA LANDMARK VA	0.00	326.47	0.00	326.47	0.0	326.47
04/05/2018	RICE, DORIS	TRANSAMERICA B-SHARE	0.00	76.07	0.00	76.07	0.0	76.07
04/12/2018	SCHOLTEN, JOANNE	TRANSAMERICA B-SHARE	0.00	110.99	0.00	110.99	0.0	110.99
04/02/2018	KONKOLY, ROSE	TRANSAMERICA PRINCIPIUM	0.00	210.74	0.00	210.74	0.0	210.74
		<b>* DAVID EURTON SUBTOTAL *</b>	<b>\$-363,959.52</b>	<b>\$761.33</b>	<b>\$0.00</b>	<b>\$761.33</b>		<b>\$761.33</b>
		<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>	<b>\$1,109,822.24</b>	<b>\$12,123.66</b>	<b>\$69.58</b>	<b>\$12,054.08</b>		<b>\$11,599.23</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,164.08
Split Transactions	\$0.00
Override Transactions	\$11,599.23
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,763.31
Year-to-date Gross	\$69,806.91
Year-to-date Earnings	\$204,759.07