



# COMMISSION STATEMENT

January 08, 2018

DAVID BRODER  
143 Longfellow Rd  
Shelton, CT 06484

BROKER #: 198  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/04/2018	***-**-925P - FENGLER, DANIEL	CHET 529	200.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 68939901201	BUY 0 Units @0.0000						
01/04/2018	***-**-752I - BRODER, RUTH	FIDELITY ADV BALANCED CL A	9,999.99	500.00	18.00	482.00	70.0	337.40
	ACCOUNT #: LSR010670	BUY 445 Units @22.4500						
12/28/2017	***-**-736I - UNGER, HELEN	PACIFIC FUNDS FLOAT RATE INCOME CL	100.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011196	SELL 10 Units @10.0900						
12/28/2017	***-**-736I - UNGER, HELEN	PACIFIC FUNDS STRATEGIC INC FD A	100.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011196	SELL 9 Units @10.8700						
12/28/2017	***-**-721I - GUARNA, CATERINA	PUTNAM DIVERSIFIED INCOME FUND CLAS	4,500.00	157.50	18.00	139.50	70.0	97.65
	ACCOUNT #: LSR012322	BUY 612 Units @7.3500						
* MUTUAL FUNDS SUBTOTAL *			<b>\$14,899.99</b>	<b>\$662.50</b>	<b>\$36.00</b>	<b>\$626.50</b>		<b>\$438.55</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/02/2018	***-**-26IR - CORDONE, EILEEN	TRANSAMERICA CUSIP 89353N105-NFS	150.00	10.80	0.00	10.80	70.0	7.56
	ACCOUNT #: 119193LK8	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$150.00</b>	<b>\$10.80</b>	<b>\$0.00</b>	<b>\$10.80</b>		<b>\$7.56</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
01/08/2018	***-**-76IN - FENGLER, KERI	SECURITY BENEFIT VUL TRAILS	0.00	10.83	0.00	10.83	70.0	7.58
	ACCOUNT #: 46762776	BUY 1 Units @0.0000						
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			<b>\$0.00</b>	<b>\$10.83</b>	<b>\$0.00</b>	<b>\$10.83</b>		<b>\$7.58</b>
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
01/08/2018	***-**-26IN - SANTOS, FATIMA	CHET 529	200.00	5.00	0.00	5.00	70.0	3.50
		BUY 0 Units @0.0000						
01/08/2018	***-**-26IN - SANTOS, FATIMA	CHET 529	0.00	3.53	0.00	3.53	70.0	2.47
	ACCOUNT #: 68526609201	BUY 0 Units @0.0000						
01/08/2018	***-**-925P - FENGLER, DANIEL	CHET 529	0.00	1.65	0.00	1.65	70.0	1.16
	ACCOUNT #: 68939901201	BUY 0 Units @0.0000						
01/08/2018	***-**-925P - FENGLER, DANIEL	CHET 529	0.00	0.21	0.00	0.21	70.0	0.15
	ACCOUNT #: 68939901202	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			<b>\$200.00</b>	<b>\$10.39</b>	<b>\$0.00</b>	<b>\$10.39</b>		<b>\$7.28</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
01/02/2018	***-**-732J - FARQUHAR, ACCOUNT #: LSR012313	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	70.0	0.00
01/02/2018	***-**-657I - BERRIEN, ALLEN ACCOUNT #: LSR010323	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.05	0.00	0.05	70.0	0.04
01/02/2018	***-**-226O - MAYERSON, MS ACCOUNT #: LSR010890	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.60	0.00	0.60	70.0	0.42
01/02/2018	***-**-43IN - RISKALLA, ACCOUNT #: LSR010472	PIMCO COMMODITIES PLUS STRATEGY CL BUY 1 Units @0.0000	0.00	2.69	0.00	2.69	70.0	1.88
01/02/2018	***-**-57IO - SOCHA, CYNTHIA ACCOUNT #: LSR010500	PIMCO COMMODITIES PLUS STRATEGY CL BUY 1 Units @0.0000	0.00	1.78	0.00	1.78	70.0	1.25
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$5.12</b>	<b>\$0.00</b>	<b>\$5.12</b>		<b>\$3.59</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
12/31/2017	***-**-69IR - RICH, PATRICIA ACCOUNT #: E0962772	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	7.03	0.00	7.03	70.0	4.92
12/31/2017	***-**-69IR - RICH, PATRICIA ACCOUNT #: E0962772	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	7.03	0.00	7.03	70.0	4.92
12/31/2017	***-**-246I - DEPOALO, PETER ACCOUNT #: E0997928	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	122.10	0.00	122.10	70.0	85.47
12/31/2017	***-**-59NQ - LIBBY, AMY ACCOUNT #: 922198678	LINCOLN NATIONAL LIFE CUSIP 53404B6 BUY 0 Units @0.0000	0.00	32.97	0.00	32.97	70.0	23.08
12/31/2017	***-**-56NQ - LIBBY, BRIAN ACCOUNT #: 924692121	LINCOLN NATIONAL LIFE CUSIP 53404B6 BUY 0 Units @0.0000	0.00	21.47	0.00	21.47	70.0	15.03
12/31/2017	***-**-36NQ - LIBBY, GREGORY ACCOUNT #: 924692122	LINCOLN NATIONAL LIFE CUSIP 53404B6 BUY 0 Units @0.0000	0.00	34.10	0.00	34.10	70.0	23.87
12/31/2017	***-**-846I - BREEN, KENNETH ACCOUNT #: 922228517	LINCOLN NATIONAL LIFE CUSIP 53404P6 BUY 0 Units @0.0000	0.00	209.70	0.00	209.70	70.0	146.79
12/31/2017	***-**-673I - CZUBIK, ACCOUNT #: 924686423	LINCOLN NATIONAL LIFE CUSIP 53404P6 BUY 0 Units @0.0000	0.00	580.83	0.00	580.83	70.0	406.58
12/31/2017	***-**-216I - COOK, CHARLES ACCOUNT #: 922305048	LINCOLN NATIONAL LIFE CUSIP 53404P6 BUY 0 Units @0.0000	0.00	690.48	0.00	690.48	70.0	483.34
12/31/2017	***-**-1111 - ROMANOVSKY, ACCOUNT #: E1352162	PREMIER RETIREMENT L SERIES BUY 0 Units @0.0000	0.00	306.38	0.00	306.38	70.0	214.47



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
12/31/2017	***-**-287I - AMANTE, JAMES	PREMIER RETIREMENT L SERIES	0.00	52.69	0.00	52.69	70.0	36.88
	ACCOUNT #: E1574098	BUY 0 Units @0.0000						
12/31/2017	***-**-962I - MATERA, PATRICK	PREMIER RETIREMENT L SERIES	0.00	291.37	0.00	291.37	70.0	203.96
	ACCOUNT #: E1341347	BUY 0 Units @0.0000						
12/31/2017	***-**-951I - MURPHY, DEBRA	PREMIER RETIREMENT L SERIES	0.00	74.84	0.00	74.84	70.0	52.39
	ACCOUNT #: E1331899	BUY 0 Units @0.0000						
12/31/2017	***-**-647I - MCCANN, EDWARD	PREMIER RETIREMENT L SERIES	0.00	332.71	0.00	332.71	70.0	232.90
	ACCOUNT #: E1250308	BUY 0 Units @0.0000						
12/31/2017	***-**-543I - RISKALLA,	PREMIER RETIREMENT L SERIES	0.00	406.86	0.00	406.86	70.0	284.80
	ACCOUNT #: E1256206	BUY 0 Units @0.0000						
12/31/2017	***-**-244I - SHELDON, LEANNE	PRUDENTIAL AS ADVISORS PLAN III	0.00	36.85	0.00	36.85	70.0	25.80
	ACCOUNT #: E1146531	BUY 0 Units @0.0000						
12/31/2017	***-**-223I - GAY, LISA	PRUDENTIAL PREMIER RETIREMENT X	0.00	81.94	0.00	81.94	70.0	57.36
	ACCOUNT #: E1057593	BUY 0 Units @0.0000						
12/31/2017	***-**-52IN - BRODER, RUTH	PRUDENTIAL VA TRAILS 74430A235	0.00	282.79	0.00	282.79	70.0	197.95
	ACCOUNT #: E0763037	BUY 0 Units @0.0000						
12/31/2017	***-**-52IN - BRODER, RUTH	PRUDENTIAL VA TRAILS 74430A235	0.00	70.70	0.00	70.70	70.0	49.49
	ACCOUNT #: E0763037	BUY 0 Units @0.0000						
01/08/2018	***-**-9632 - H3 PET SUPPLY	QUALIFIED PLAN SUMMARY	0.00	314.96	0.00	314.96	70.0	220.47
	ACCOUNT #: E1567244	BUY 0 Units @0.0000						
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$3,957.80</b>	<b>\$0.00</b>	<b>\$3,957.80</b>		<b>\$2,770.47</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$15,249.99</b>	<b>\$4,657.44</b>	<b>\$36.00</b>	<b>\$4,621.44</b>		<b>\$3,235.03</b>



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				INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT			AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS									
						Broker Transactions			\$3,129.68
						Split Transactions			\$0.00
						Override Transactions			\$0.00
						Adjustments			\$0.00
						Payroll Draw			\$0.00
						Base Salary			\$0.00
						Prior Period Balance			\$0.00
						FINRA Assessment			\$0.00
						SIPC Assessment			\$0.00
						Net Commission Earned			\$3,235.03
						Year-to-date Earnings			\$6,495.06